

2010 Catalog Of

Federal Domestic Assistance



Executive Office
of the President
Office of Management
and Budget
Washington, DC 20503



U.S. General Services
Administration
Washington, DC 20405

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INTRODUCTION

Catalog of Federal Domestic Assistance

The Catalog of Federal Domestic Assistance is a government-wide compendium of Federal programs, projects, services, and activities that provide assistance or benefits to the American public. It contains financial and nonfinancial assistance programs administered by departments and establishments of the Federal government.

In 1984, Public Law 98-169 authorized the transfer of responsibilities of the Federal Program Information Act from the Office of Management and Budget to the General Services Administration (GSA). The transfer took place in July 1984. These responsibilities include the dissemination of Federal domestic assistance program information through the Catalog of Federal Domestic Assistance, pursuant to the Federal Program Information Act, Public Law 95-220, as amended by Public Law 98-169. GSA now maintains the Federal assistance information database from which program information is obtained. The Office of Management and Budget serves as an intermediary agent between the Federal agencies and GSA, thus providing oversight to the necessary collection of Federal domestic assistance program data.

As the basic reference source of Federal programs, the primary purpose of the Catalog is to assist users in identifying programs that meet specific objectives of the potential applicant, and to obtain general information on Federal assistance programs. In addition, the intent of the Catalog is to improve coordination and communication between the Federal government and State and local governments.

The Catalog provides the user with access to programs administered by Federal departments and agencies in a single publication. Program information is cross referenced by functional classification (Functional Index), subject (Subject Index), applicant (Applicant Index), deadline(s) for program application submission (Deadlines Index), and authorizing legislation (Authorization Index). These are valuable resource tools that, if used carefully, can make it easier to identify specific areas of program interest more efficiently.

Other sections of the Catalog provide users with information on programs added and deleted since the last edition of the Catalog, a crosswalk of program numbers and title changes, regional and local offices, intergovernmental review requirements, definitions of the types of assistance under which programs are administered, proposal writing, grant application procedures, and additional sources of information on Federal programs and services.

Programs selected for inclusion in the Federal assistance data base are defined as any function of a Federal agency that provides assistance or benefits for a State or States, territorial possession, county, city, other political subdivision, grouping, or instrumentality thereof; any domestic profit or nonprofit corporation, institution, or individual, other than an agency of the Federal government.

A "Federal domestic assistance program" may in practice be called a program, an activity, a service, a project, a process, or some other name, regardless of whether it is identified as a separate program by statute or regulation. It will be identified in terms of its legal authority, administering office, funding, purpose, benefits, and beneficiaries.

"Assistance" or "benefits" refers to the transfer of money, property, services, or anything of value, the principal purpose of which is to accomplish a public purpose of support or stimulation authorized by Federal statute. Assistance includes, but is not limited to grants, loans, loan guarantees, scholarships, mortgage loans, insurance, and other types of financial assistance, including cooperative agreements; property, technical assistance, counseling, statistical, and other expert information; and service activities of regulatory agencies. It does not include the provision of conventional public information services.

For years, GSA has published a printed version of the Catalog of Federal Domestic Assistance (CFDA or Catalog), as required by legislation. That same legislation allowed GSA to distribute free copies of the printed Catalog to designated recipients. In fiscal year 2003, nearly 10,000 paper copies of the Catalog were distributed at no cost to the recipients

Current legislation, however, authorizes GSA to determine in what form to prepare and publish the Catalog. Consistent with the Administration's Electronic-Government initiatives, the Government Paperwork Elimination Act, and a move to a paper free environment, GSA will now disseminate the Catalog electronically through the CFDA website on the Internet. As a result, effective immediately, GSA will no longer print and distribute free copies of the Catalog.

The Internet and GSA's free CFDA website at <http://www.cfda.gov> will be the primary means of disseminating the Catalog. The CFDA website will also contain a PDF file version of the Catalog that, when printed by any user, will have the same layout as the printed document that the Government Printing Office (GPO) has provided.

GPO will continue printing and selling the CFDA to interested buyers. For information about purchasing the Catalog of Federal Domestic Assistance from GPO, call the Superintendent of Documents at 202-512-1800 or toll free at 866-512-1800, or you may reach GPO's on-line bookstore at <http://bookstore.gpo.gov>.

Types Of Assistance

Currently, programs in the Catalog are being classified by GSA into 15 types of assistance. (Cooperative Agreements as a type of assistance is used for programs administered under that mechanism. However, the definition does not appear in this section.) Benefits and services of the programs are provided through seven financial types of assistance and eight nonfinancial types of assistance. The following list defines the types of assistance that are available through the programs. Code letters below (A through O) which identify the type of assistance) will follow program titles in the Agency Index, Applicant Eligibility Index, the Functional Index, Deadlines Index, and in the list of added programs.

- A. Formula Grants - Allocations of money to States or their subdivisions in accordance with distribution formulas prescribed by law or administrative regulation, for activities of a continuing nature not confined to a specific project.
- B. Project Grants - The funding, for fixed or known periods, of specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants.
- C. Direct Payments for Specified Use - Financial assistance from the Federal government provided directly to individuals, private firms, and other private institutions to encourage or subsidize a particular activity by conditioning the receipt of the assistance on a particular performance by the recipient. This does not include solicited contracts for the procurement of goods and services for the Federal government.
- D. Direct Payments with Unrestricted Use - Financial assistance from the Federal government provided directly to beneficiaries who satisfy Federal eligibility requirements with no restrictions being imposed on the recipient as to how the money is spent. Included are payments under retirement, pension, and compensatory programs.
- E. Direct Loans - Financial assistance provided through the lending of Federal monies for a specific period of time, with a reasonable expectation of repayment. Such loans may or may not require the payment of interest.
- F. Guaranteed/Insured Loans - Programs in which the Federal government makes an arrangement to identify a lender against part or all of any defaults by those responsible for repayment of loans.
- G. Insurance - Financial assistance provided to assure reimbursement for losses

sustained under specified conditions. Coverage may be provided directly by the Federal government or through private carriers and may or may not involve the payment of premiums.

- H. Sale, Exchange, or Donation of Property and Goods - Programs which provide for the sale, exchange, or donation of Federal real property, personal property, commodities, and other goods including land, buildings, equipment, food and drugs. This does not include the loan of, use of, or access to Federal facilities or property.
- I. Use of Property, Facilities, and Equipment - Programs which provide for the loan of, use of, or access to Federal facilities or property wherein the federally owned facilities or property do not remain in the possession of the recipient of the assistance.
- J. Provision of Specialized Services - Programs that provide Federal personnel directly to perform certain tasks for the benefit of communities or individuals. These services may be performed in conjunction with nonfederal personnel, but they involve more than consultation, advice, or counseling.
- K. Advisory Services and Counseling - Programs which provide Federal specialists to consult, advise, or counsel communities or individuals to include conferences, workshops, or personal contacts. This may involve the use of published information, but only in a secondary capacity.
- L. Dissemination of Technical Information - Programs that provide for the publication and distribution of information or data of a specialized or technical nature frequently through clearinghouses or libraries. This does not include conventional public information services designed for general public consumption.
- M. Training - Programs that provide instructional activities conducted directly by a Federal agency for individuals not employed by the Federal government.
- N. Investigation of Complaints - Federal administrative agency activities that are initiated in response to requests, either formal or informal, to examine or investigate claims of violations of Federal statutes, policies, or procedure. The origination of such claims must come from outside the Federal government.
- O. Federal Employment - Programs that reflect the Governmentwide responsibilities of the Office of Personnel Management in the recruitment and hiring of Federal civilian agency personnel.

Contents of the Catalog

The Catalog contains Federal domestic assistance programs available to: State and local governments (including the District of Columbia and federally-recognized Indian tribal governments); Territories (and possessions) of the United States; domestic public, quasi-public, and private profit and nonprofit organizations and institutions; specialized groups; and individuals.

Programs in the Catalog provide information on the:

- Federal agency administering a program;
- Authorization upon which a program is based;
- Objectives and goals of a program;
- Types of financial and nonfinancial assistance offered under a program;
- Uses and restrictions placed upon a program; Eligibility requirements;
- Application and award process;
- Amount of obligations for the past, current, and future fiscal years;
- Regulations, guidelines and literature relevant to a program; Information contacts at the headquarters, regional, and local offices;
- Programs that are related based upon program objectives and uses;
- Examples of funded projects;
- Criteria for selecting proposals; and
- Individual agency policies and Federal management policy directives pertaining to a program.

Programs in the Catalog provide a wide range of benefits and services, which have been grouped into 20 basic functional categories, and 176 subcategories that identify specific areas of interest. Listed below are the 20 basic categories in which all programs have been grouped by primary purpose.

- Agriculture

- Business and Commerce
- Community Development
- Consumer Protection
- Cultural Affairs
- Disaster Prevention and Relief
- Education Employment, Labor, and Training
- Energy
- Environmental Quality
- Food and Nutrition
- Health
- Housing
- Income Security and Social Services
- Information and Statistics
- Law, Justice, and Legal Services
- Natural Resources
- Regional Development
- Science and Technology
- Transportation

Programs in the Catalog also include service activities of regulatory agencies.

The Catalog does not include:

- Solicited contracts administered under procurement laws and regulations for the purchase of goods and services for the Federal government;
- Foreign activities except as such programs have direct economic benefit in the domestic economy. (A program that provides both domestic and foreign assistance will be included with the description of the program oriented toward the domestic aspect.);
- Personnel recruitment programs of individual Federal departments which offer employment opportunities as part of normal recruiting operations, (the overall government-wide programs of the Office of Personnel Management are included);
- Benefits or assistance available only to current employees of the Federal government either civilian or military;
- New programs proposed in the Budget for which appropriations have not been enacted; or
- Programs that are no longer active due to expired authorization or appropriation.

Organization of the Catalog

The Catalog is divided into three basic sections -- the indices, the program descriptions, and the appendices.

INDICES --To locate specific programs of interest, determine eligibility, and to obtain information on the dates applications for assistance should be submitted to the funding agency, users may consult the Agency Program Index, the Functional Index, or the Subject Index; the Applicant Eligibility Index, and the Index listing deadlines for program applications.

The Agency Index Summary provides a description of the functions and activities of Federal agencies responsible for administering programs in the Catalog. Program numbers are also listed with the administering agency.

The Agency Program Index lists all programs in the Catalog in numerical order by the five-digit program identification number, the program title, the Federal agency responsible for administering the program, and whether the program offers financial assistance, nonfinancial assistance, or a combination of both.

The Functional Index Summary lists the basic functional categories and the subcategories that further identify specific areas of interest. Following the Summary is the Functional Index listing each program number and title under the appropriate basic category and subcategory.

The Subject Index provides a detailed listing of programs by various topics, popular name, general functional terms, categories of services, and selected beneficiaries, and is followed by the applicable program numbers.

The American Recovery and Reinvestment Act Index lists CFDA programs that are funded in whole or in part by the American Recovery and Reinvestment Act (ARRA) of 2009, Public Law 111.5.

The Applicant Eligibility Index is a listing in program number sequence, along with program titles, indicating the applicants eligible to apply. The index lists programs that may be applied for by:

- Any of the several States of the United States, the District of Columbia, or any agency or instrumentality of a State exclusive of State institutions of higher education and hospitals;
- Local governments which include a county, parish, municipality, city, town, township, village, State-designated Indian tribal government, local public authority, school district, special district, intrastate district, council of governments, sponsor group representative organizations, and other regional or interstate government entity, or any agency or instrumentality of a local government;
- U.S. Territories (and possessions) of the United States which include the Commonwealths of Puerto Rico and the Northern Mariana Islands, the Virgin Islands, Guam, Trust Territory of the Pacific Islands, and American Samoa;
- Federally-recognized Indian Tribal governments which include the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including any Native village as defined in Section 3 of the Alaska Native Claims Settlement Act, 85 Stat. 688) certified by the Secretary of the Interior as eligible for the special programs and services provided through the Bureau of Indian Affairs;
- Nonprofit organizations and institutions which include quasi-public, public and private institutions of higher education and hospitals, Native American Indian Organizations, and other quasi-public and private nonprofit organizations such as, but not limited to, community action agencies (CAAs), Head Start agencies, research institutes, educational associations, and health centers. Excluded under this definition are government-owned contractor operated facilities or research centers providing support for mission-oriented, large scale programs that are government-owned or controlled, or are designed as federally-funded research and development centers; and
- Private individuals such as Native Americans, homeowners, students, farmers, artists, scientists, consumers, small business, refugees, aliens, veterans, senior citizens, low-income persons, health and education professionals, builders, contractors, developers, handicapped persons, the physically afflicted.

The Deadlines Index (for program applications) is a listing of program numbers and titles and the deadline date(s) by which funding agencies must receive applications. This information is also contained in the Deadlines Section of the program descriptions.

Users should also consult the following listings:

The Archived Programs list identifies programs that have been archived since the previous edition of the Catalog due to: expiration of budget authority; rescission of budget authority for the current fiscal year; program consolidation which has rendered the former program number(s) obsolete; the replacement of a categorical grant program by a block grant program; the replacement of two or more categorical programs by a block grant program; the abolishment of an agency; or the criteria for including a program in the Catalog no longer valid being (e.g., a program which no longer operates under Federal funding).

The Added Programs list identifies programs that have been added since the previous edition of the Catalog due to: the appropriation of new budget authority; the consolidation of two or more programs creating a new program; the splitting of elements from a former program creating two or more new programs; the transformation of a single categorical grant program into a block program; or the consolidation of two or more categorical grant programs into a block program.

The Crosswalk of Changes to Program Numbers and Titles which lists programs that have undergone a title change, or a program number change due to restructuring of programs, or reorganization of a Federal agency.

PROGRAM DESCRIPTIONS -- The center section of the printed Catalog and the listings available on this web site contain descriptions of Federal programs listed by program number in the same numerical sequence as in the Agency Program Index. Detailed information concerning programs is contained under the description headings of each Catalog program. The following is an explanation of each program description heading followed by examples of the type of information found under each heading.

NOTE: The program used in the example below does not exist. It is provided for the purpose of illustration only.

PROGRAM NUMBER, TITLE, AND POPULAR NAME -- Each program in the Catalog is preceded by a five-digit program identification number. The first two digits identify the Federal department or agency that administers the program, and the last three numbers are assigned in numerical sequence. Thus, program number 10.500 is administered by the Department of Agriculture, 11.500 by the Department of Commerce, 12.500 by the Department of Defense, 93.500 by the Department of Health and Human Services, and so on. (In the numerical sequence of program numbers, some numbers do not appear due to program deletions or consolidations. To accommodate users' systems and records, the numbers are not reassigned to other programs but are reserved for the reinstated programs.) The program title is the descriptive name given to a program. The popular name, which is less descriptive than the program title, is the name by which programs are commonly known or most often used by applicants and agencies.

Example: 93.259 Mental Health -- Children's Services

FEDERAL AGENCY -- The Federal agency is the Federal department, agency, commission, council or instrumentality of the government, and the primary organizational sub-unit (the administering office) that has direct operational responsibility for managing a program.

Example: SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION -- This section lists the legal authority upon which a program is based (acts, amendments to acts, Public Law numbers, titles, sections, Statute Codes, citations to the U.S. Code, Executive Orders, Presidential Reorganization Plans, and Memoranda from an agency head). Information provided here is used to produce Appendix II, the Authorization Appendix.

Example: Community Mental Health Centers Act of 1975, Part A, Section 203(e), Public Law 94-63, 42 U.S.C. 2689.

OBJECTIVES -- This is a brief statement of specific objectives stated in terms of what the program is intended to accomplish along with the goals toward which the program is directed.

Example: To stimulate innovative approaches to children's mental health problems emphasizing prevention and coordination of community services; to expand training activities; and, to broaden resources for children's mental health services.

TYPES OF ASSISTANCE -- This section indicates the form in which the assistance is transmitted from the Federal government and is initially received for use or distribution by the applicant.

Example: Project Grants.

USES AND USE RESTRICTIONS -- This section describes the potential uses for the assistance provided to meet stated objectives, and the specific restrictions placed upon the use of funds. The section cites one or more applications depending upon the nature of a particular program. Since this section translates objectives into the uses of a program, users may develop a clearer understanding of the program's objectives.

Example: Support for Continuation Grants only. Program authorizes funds on a

matching basis for initial staffing of facilities offering mental health services for children. Staffing grants may be used for a portion of the costs of professional and technical personnel to operate a facility for child mental health services; a higher percentage may be paid if an area has been designated a poverty area by the Secretary, HHS. The proposed program must provide consultation and coordinating services with other community agencies serving children in service area, and must include a plan with the means by which it will be evaluated.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility -- This section indicates who can apply to the Federal government for assistance and the criteria the potential applicant must satisfy. For example, individuals may be eligible for research grants, and the criteria to be satisfied may be that they have a professional or scientific degree, 3 years of research experience, and be a citizen of the United States. Universities, medical schools, hospitals, or State and local governments may also be eligible. Where State governments are eligible, the type of State agency will be indicated (State welfare agency or State agency on aging) and the criteria that they must satisfy.

Certain programs in the Catalog (e.g., the Pell Grant program which provides grants to students) involve intermediate levels of application processing, i.e., applications are transmitted through colleges or universities that are neither the direct applicant nor the ultimate beneficiary. For these programs, the criteria that the intermediaries must satisfy are also indicated, along with intermediaries who are not eligible.

Example: To be eligible for staffing grants, applicants must be part of, or affiliated with, a community mental health center, unless there is no center serving the community. Applicants may then be any public or private nonprofit agency providing, or coordinating with programs that will provide a full range of mental health services for children and their families residing in the service area.

Beneficiary Eligibility -- This section lists the ultimate beneficiaries of a program, the criteria they must satisfy and who specifically is not eligible. The applicant and beneficiary will generally be the same for programs that provide assistance directly from a Federal agency. However, financial assistance that passes through State or local governments will have different applicants and beneficiaries since the assistance is transmitted to private sector beneficiaries who are not obligated to request or apply for the assistance.

Example: Children and their families in the service area as well as personnel of schools and other community agencies serving children.

Credentials/Documentation -- This is a brief description of the credentials or documentation required prior to, or along with, an application for assistance. The eligibility factors that must be proven, certified, or established are indicated in this section. This section also indicates whether OMB Circular No. A-87 requirements, "Cost Principles Applicable to Grants and Contracts with State and Local Governments," are applicable. In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Proof of nonprofit status is required of nonprofit organizations and institutions. This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination -- This section indicates whether any prior coordination or approval is required with governmental or nongovernmental units prior to the submission of a formal application to the Federal funding agency. For example, programs may require: (1) State agency approval prior to the submission of an application to a Federal agency; (2) the submission of environmental impact information as required by the National Environmental Policy Act of 1969, and Executive Order 11514 of March 4, 1970; (3) coordination with the policies of the recently revised OMB Circular No. A-102, "Uniform Administrative Requirements for Grants and Cooperative Agreements

to State and Local Governments" (referenced here for construction, land acquisition, and land development projects for which Federal funding exceeds \$100,000); (4) coverage for eligibility under Executive Order 12372, "Intergovernmental Review of Federal Programs"; or (5) a preapplication or preapplication conference. Applicants should also ascertain from the Federal agency the existence of other circular requirements not indicated by this section, and from the State, any State requirements that may be in effect. In cases where E.O. 12372 is not applicable to the program, a disclaimer statement is included referencing the exclusion, e.g., "This program is excluded from coverage under E.O. 12372."

Example: Preapplication consultation with the Substance Abuse and Mental Health Services Administration (SAMHSA) Branch of the HHS Regional Office is not mandatory. Application must be accompanied by evidence of approval and recommendation by the appropriate State agency or agencies. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A102, must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure -- This section discusses the basic procedural steps required by the Federal agency in the application process, beginning with the lowest level (e.g., State and local government units, institutions or organizations) and ending eventually with the Federal government. Each program will indicate whether applications are to be submitted to the Federal headquarters, regional or local office, or to a State or local government office. Numerous programs in the Catalog require the standard application forms in OMB Circular No. A-102 (Attachment M). Other applications may be in the form of a written request to the funding agency stating the need for assistance and requesting available services, or a formal proposal prepared in response to an announcement in the Federal Register or the Commerce Business Daily. Also indicated in this section is guidance concerning the applicability of OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." In cases where specific Federal circulars or other regulatory requirements are not applicable to the program, disclaimer statements may be included referencing the requirement(s) from which the program is excluded, e.g., "This program is excluded from coverage under (applicable requirement)."

Example: Continuation Application Form ADM-115 should be used for staffing. Instructions and consultation may be obtained from the mental health section of the appropriate HHS Regional Office. Applications are sent to the Regional Office with copies to Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure -- This section lists the basic procedural steps for awarding assistance, beginning with the organizational components of the Federal agency that has final approval authority for the application and ending with the lowest level at which Federal resources are expended. Also indicated is whether assistance passes through the initial applicant for further distribution by intermediate level applicants to groups or individuals in the private sector.

Accepted applications are subject to evaluation by the headquarters, regional, local or district office to determine the feasibility of the proposed project to include consistency with Federal and individual agency policies concerning its scope and purpose. Grant payments may be made by a letter of credit, advance by Treasury check, or reimbursement by Treasury check. The headquarters office may make awards directly to the applicant, an agency field office, a regional office, or by an authorized county office.

Example: The Regional Health Administrator makes awards to approved applicants.

Deadlines -- When available, this section indicates the deadlines for applications to the funding agency that will be stated in terms of the date(s) or between what dates the application should be received. Reference is made to new applications, continuations, renewals, and supplementals. Application deadline information is

also indicated in the Deadlines Index, in the agency's program guidelines, or announced in the Federal Register. Where not available, applicants should contact the funding agency for deadline information.

Example: Staffing: Determined by award period (contact the Regional Office).

Range of Approval or Disapproval Time -- This section informs the applicant of the representative range of time required for the application to be processed (in terms of days or months) at the Federal level. Example: From 90 to 120 days.

Appeals -- In some cases, there are no provisions for appeal. Where applicable, this section discusses appeal procedures or allowable rework time for resubmission of applications to be processed by the funding agency. Appeal procedures vary with individual programs and are either listed in this section or applicants are referred to appeal procedures documented in the relevant Code of Federal Regulations (CFR).

Example: Not applicable. (An appeal was not applicable in this case. A related program (93.231) allows applicants to reapply if revised applications are submitted.)

Renewals -- This section advises the applicant as to whether renewals or extensions of applications are available and indicates the appropriate procedures to follow. In some instances, renewal procedures may be the same as for the application procedure, e.g., for projects of a non-continuing nature renewals will be treated as new, competing applications; for projects of an ongoing nature, renewals may be given annually.

Example: This program is renewed annually. Grantees are required to update their plan and submit a current year budget.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements -- This section indicates the formula and matching requirements prescribed in the allocation of funds or maintenance of effort requirements. A formula may be based on population, per capita income, and other statistical factors. Applicants are informed whether there are any matching requirements to be met when participating in the cost of a project. In general, the matching share represents that portion of the project costs not borne by the Federal government. Usually, a minimum percentage for matching share is prescribed by program legislation, and matching share requirements are included in the grant agreement. Attachment F of OMB Circular No. A-102 sets forth the criteria and procedures for the evaluation of matching share requirements which may be cash or in-kind contributions made by State and local governments or other agencies, institutions, private organizations, or individuals to satisfy matching requirements of Federal grants or loans.

Cash contributions represent the grantees' cash outlay, including the outlay of money contributed to the grantee by other public agencies, institutions, private organizations, or individuals. When authorized by Federal regulation, Federal funds received from other grants may be considered as the grantees' cash contribution.

In-kind contributions represent the value of noncash contributions provided by the grantee, other public agencies and institutions, private organizations or individuals. In-kind contributions may consist of charges for real property and equipment, and value of goods and services directly benefiting and specifically identifiable to the grant program. When authorized by Federal legislation, property purchased with Federal funds may be considered as grantees' in-kind contribution.

Maintenance of effort (MOE) is a requirement contained in certain legislation, regulations, or administrative policies stating that a grantee must maintain a specified level of financial effort in a specific area in order to receive Federal grant funds, and that the Federal grant funds may be used only to supplement, not supplant, the level of grantee funds. Programs that have maintenance of effort requirements and have total allocations over \$100 million (current FY) should have the following statement in this section: This program has

maintenance of effort (MOE) requirements; see funding agency for further details.

Example: Staffing: Federal funds on a decreasing percentage basis over a period of 8 years 90 percent - 1st and 2nd years; 80 percent - 3rd year; 75 percent - 4th and 5th years; 70 percent - 6th, 7th and 8th years. Nonpoverty areas are entitled to: 80 percent - 1st and 2nd years; 75 percent - 3rd year; 60 percent - 4th year; 45 percent - 5th year; 30 percent - 6th, 7th and 8th years.

Length and Time Phasing of Assistance -- This section indicates the time period during which the assistance is normally available, whether there are any restrictions placed on the time permitted to use the funds awarded, and the timing of disbursement of the assistance, e.g., lump sum, annually, quarterly, or as required. Example: Staffing grants are limited to 8 years by law. Payments are made on a Monthly Cash Request System or under a Letter of Credit.

POST ASSISTANCE REQUIREMENTS:

Reports -- This section indicates whether program reports, expenditure reports, cash reports or performance monitoring is required by the Federal funding agency, and specifies at what time intervals (monthly, annually, etc.) this must be accomplished.

Example: Interim progress reports must be submitted annually as part of a non-competing application; reports of expenditures are due annually. Immediate reporting of any inventions is required.

Audits -- This section discusses audits required by the Federal agency. The procedures and requirements for State and local governments and nonprofit entities are set forth in OMB Circular No. A-133. These requirements pertain to awards made within the respective State's fiscal year -- not the Federal fiscal year, as some State and local governments may use the calendar year or other variation of time span designated as the fiscal year period, rather than that commonly known as the Federal fiscal year (from October 1st through September 30th).

Example: In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have an audit made for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records -- This section indicates the record retention requirements and the type of records the Federal agency may require. Not included are the normally imposed requirements of the General Accounting Office. For programs falling under the purview of OMB Circular No. A-102, record retention is set forth in Attachment C. For other programs, record retention is governed by the funding agency's requirements.

Example: Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

FINANCIAL INFORMATION:

Account Identification -- This 11-digit budget account identification code represents the account that funds a particular program. This code should be consistent with the code given for the program area as specified in Appendix III of the Budget of the United States Government. (See Appendix III for further information on the meaning of the 11 digits of this code.)

Example: 75-1361-0-1-550.

Obligations -- The dollar amounts listed in this section represent obligations for the past fiscal year (PY), estimates for the current fiscal year (CY), and estimates for the budget fiscal year (BY) as reported by the Federal agencies. In each succeeding edition of the Catalog, the dollar amounts are revised to reflect changes that may result from supplemental appropriations or amendments. Each program indicates what the obligation figures represent in terms of the type of

assistance provided. Obligations for nonfinancial assistance programs indicate the administrative expenses involved in the operation of a program as an indication of the magnitude of the services being provided, or the items involved in obligations.

Example: (Grants) PY \$19,853,000; CY est. \$20,407,000; and BY est. \$14,830,000.

Range and Average of Financial Assistance -- This section lists the representative range (smallest to largest) of the amount of financial assistance available. These figures are based upon funds awarded in the past fiscal year and the current fiscal year to date. Also indicated is an approximate average amount of awards that were made in the past and current fiscal years.

Example: Formula: \$26,355 to \$691,481; \$235,305.

PROGRAM ACCOMPLISHMENTS -- This section briefly describes the accomplishments of a program using quantitative data, focusing on program output, results achieved, or services rendered during the past fiscal year, the current fiscal year, and projections for the coming fiscal year.

Example: In the past fiscal year, 140 applications were received and 140 staffing awards were issued. Approximately 147 continuation grants were funded during the current fiscal year and 103 are estimated to be funded in the budget fiscal year.

REGULATIONS, GUIDELINES, AND LITERATURE -- This section lists the title, number, and price of guidelines, handbooks, manuals, and other officially published information pertinent to a program. Since program regulations are published first in the Federal Register (FR) and later in the Code of Federal Regulations (CFR), citations to the CFR are listed.

Example: Interim guidelines available in application kits.

INFORMATION CONTACTS:

Regional or Local Office -- This section lists the agency contact person, address and telephone number of the Federal Regional or Local Office(s) to be contacted for detailed information regarding a program such as: (1) current availability of funds and the likelihood of receiving assistance within a given period; (2) preapplication and application forms required; (3) whether a preapplication conference is recommended; (4) assistance available in preparation of applications; (5) whether funding decisions are made at the headquarters, regional or local level; (6) application renewal procedures (including continuations and supplementals) or appeal procedures for rejected applications; and (7) recently published program guidelines and material.

However, for most programs in the Catalog, this section will instruct the reader to consult Appendix IV of the Catalog (Agency Regional and Local Office Addresses) due to the volume of Regional and Local Office Contacts for most agencies. For those agencies with fewer contacts, the actual information will be provided in this section.

Example: Substance Abuse and Mental Health Services Administration (SAMSHA) Branch of the appropriate HHS Regional Office (see Appendix IV of the Catalog for listing).

(Appendix IV Listing) Region 1
(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
William Farrow
John F. Kennedy Federal Building
Government Center
Boston, MA 02203
(617) 123-4567
(Use same number for FTS)

Headquarters Office -- This section lists names and addresses of the office at the headquarters level with direct operational responsibility for managing a program. A telephone number is provided in cases where a Regional or Local

Office is not normally able to answer detailed inquiries concerning a program. Also listed are the name(s) and telephone number(s) of the information contact person(s) who can provide additional program information to applicants.

Example: Dr. Steven Sharfstein, Acting Director, Division of Mental Health Service Programs, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 123-4567. Use same number for FTS.

RELATED PROGRAMS -- This section of the program description lists all programs in the Catalog that are closely related based on objectives and program uses. Applicants should also refer to these programs, as they may provide additional assistance in a related area of interest.

Example: 93.232, Maternal and Child Health Services; 93.233, Maternal and Child Health Training; 93.242, Mental Health Research Grants; 93.295, Community Mental Health Centers-Comprehensive Support; 93.630, Developmental Disabilities-Basic Support.

EXAMPLES OF FUNDED PROJECTS -- This section indicates the different types of projects which have been funded in the past. Only projects funded under Project Grants or Direct Payments for Specified Use should be listed here. The examples give potential applicants an idea of the types of projects that may be accepted for funding. The agency should list at least five examples of the most recently funded projects.

Example: Awards are made only for staffing of facilities offering mental health services for children.

CRITERIA FOR SELECTING PROPOSALS -- This section indicates the criteria used by the Federal grantor agency to evaluate proposals in order to inform potential applicants of the application review process and the criteria used to award funds for projects.

Example: The criteria for selecting proposals are based upon the extent the project will contribute to needed services and training, capability of applicant to provide services and training, more effective utilization of personnel providing mental health services, and development of new methods or information.

APPENDICES -- The last section of the Catalog contains the following appendices: Programs Requiring Executive Order 12372 Review (Appendix I); Authorization Appendix (Appendix II); Budget Functional Code Appendix (Appendix III); Agency Regional and Local Office Addresses (Appendix IV); Sources of Additional Information (Appendix V); Developing and Writing Grants Proposals (Appendix VI); and in the December update to the Catalog, Historical Profile of Catalog Programs (Appendix VII).

Appendix I -- Programs Requiring Executive Order 12372 Review:
This Appendix gives a brief description of Executive Order 12372. The description explains its purpose, identifies a listing of all Catalog program numbers and titles to which it applies, the general procedures to follow in applying for assistance, and the State Single Point of Contact List to which the States may refer for application coordination purposes.

Appendix II -- Authorization Appendix:
This appendix lists Acts, Executive Orders and Public Law numbers that mandate programs in the Catalog. Acts and Executive Order citations are listed in alphabetic sequence and Public Law citations are listed numerically by the Congress. The citations are followed by their corresponding program numbers.

Appendix III -- Budget Functional Code Appendix:
This appendix lists programs by the Budget functional classification. The three digits listed are the major and minor functional classifications used to identify the major purpose of the programs.

Appendix IV -- Agency Regional and Local Office Addresses:
This appendix lists the names, addresses and telephone numbers of the regional and local offices that should be contacted for detailed information concerning a program and for initiating the process for applying for assistance. In cases where

a Federal agency does not have a regional or local office, the headquarters office listed in the program description should be contacted.

Appendix V -- Sources of Additional Information:

Information pertaining to Federal programs is available from Federal Information Centers and Federal Executive Boards as listed in this Appendix. Also listed are other government sources of information, including the 24 U.S. locations for the Government Printing Office Bookstores that sell the Catalog and other Federal publications.

Appendix VI -- Developing and Writing Grants Proposals:

General overview of the grants proposal process and suggested guidelines for developing and writing a well-prepared proposal to obtain Federal funding.

Appendix VII -- Historical Profile of Catalog Programs:

This appendix lists all programs that have been published in the Catalog of Federal Domestic Assistance beginning with the 1965 edition, and the subsequent action taken related to those programs. It can be found on the CFDA Web Site: <http://www.cfda.gov>

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The following is a summary description of the functions and activities of federal agencies responsible for administering programs listed in the Catalog. The CFDA program numbers are also listed next to the administering agency.

DEPARTMENT OF AGRICULTURE (10.001-10.999)

Through leadership and partnership with USDA agencies: ensure the provision of information, technical assistance, and training to all USDA customers with emphasis on under-served populations, to assure they have full access to all USDA programs and services.

Maintains effective competition and fair trade practices in the marketing of livestock, poultry for the protection of livestock and poultry producers.

Administers programs to make food assistance available to people who need it. These programs are operated in cooperation with State and local governments.

Participates in a nationwide system of agricultural research program planning and coordination between the States and the Department of Agriculture to encourage and assist in the establishment and maintenance of cooperation within and among the States and between the States and their Federal research partners.

The primary function is to administer the Acts of Congress that authorize Federal appropriations for agricultural research carried on by the State agricultural experiment stations of the 50 States, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia the Northern Marinas, approved schools of forestry, the 1890 land-grant institutions and Tuskegee University, colleges of veterinary medicine, and other eligible institutions. The Extension activities of the Cooperative State Research, Education, and Extension Service coordinates and provides administrative, technical, and other services to a nationwide Cooperative Extension System, in partnership with State and local governments and the private sector. The primary function of this system is to take the research findings of the Department of Agriculture, the State Land-Grant Colleges and programs administered by the Department of Agriculture, and to develop and deliver informal, out-of-school educational programs. These programs communicate and demonstrate to people how they can apply research findings to identify and solve farm, home, and community problems. This work is carried out through extension offices in each State, Puerto Rico, Guam, the Virgin Islands, the District of Columbia, American Samoa, and Micronesia, plus 16, 1890 land-grant universities and Tuskegee University as the State partner.

Agricultural Marketing Service (10.153, 10.155-10.156, 10.162-10.165, 10.167-10.170)

Administers standardization, grading, voluntary inspection, market news, marketing orders, regulatory, and related programs.

Agricultural Research Service (10.001, 10.700)

Conducts research to develop new knowledge and technology to ensure an abundance of high quality agricultural commodities and products at reasonable prices to meet the increasing needs of an expanding economy and to provide for the continued improvement in the standard of living of all Americans. It conducts basic, applied, and developmental research on animal and plant production use and improvement of soil, water, and air processing, storage, distribution, food safety, consumer services and human nutrition research, and food and agriculture sciences. The National Agricultural Library of ARS disseminates useful information about agricultural and other related sciences to scientists and researchers, administrators and managers, farmers, and to the general public provides library services, such as bibliographies, reference services and document delivery.

Animal and Plant Health Inspection Service (10.025, 10.028)

Conducts regulatory and control programs to protect and improve animal and plant health for the benefit of man and the environment and to control or reduce damage caused by nuisance mammals and birds and those mammal and bird

species that are reservoirs for zoonotic diseases, except for urban rodent control.

Departmental Management (10.443)

DM's mission is to provide management leadership to ensure that USDA administrative programs, policies, advice and counsel meet the needs of USDA program organizations, consistent with laws and mandates; and provide safe and efficient facilities and services to customers.

Economic Research Service (10.256)

The Economic Research Service produces economic and other social science information as a service to the general public and to help Congress and the administration develop, administer, and evaluate agricultural and rural policies and programs.

Farm Service Agency (10.051, 10.053-10.056, 10.069, 10.085, 10.088, 10.090, 10.092-10.093, 10.099, 10.404, 10.406-10.407, 10.435, 10.437, 10.449, 10.451)

Administers domestic commodity price and income support, farm loan, disaster assistance, and conservation cost-share programs for the Department of Agriculture.

Food Safety and Inspection Service (10.475, 10.477, 10.479)

Assures that meat, poultry and egg products moving in interstate and foreign commerce are safe, wholesome, unadulterated and accurately labeled, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. The Meat, Poultry, and Egg Products Inspection Programs provide continuous in plant inspection of all domestic plants preparing meat, poultry, and egg products for sale or distribution in commerce. In addition, these acts include the review of inspection systems in foreign establishments that prepare meat, poultry, or egg products for export to the United States under inspection programs that are equivalent to the U.S. program. FSIS provides technical and financial assistance to States which maintain meat and poultry inspection programs equal to Federal inspection. FSIS also enters into Cooperative Agreements with Academic institutions; State, local and tribal government agencies; and non-profit organizations to improve Food Safety and Food Defense.

Food and Nutrition Service (10.551, 10.553, 10.555-10.561, 10.565-10.569, 10.572, 10.574, 10.576, 10.578-10.580, 10.582-10.583, 10.585)

The Food and Nutrition Service (FNS) administers the USDA food assistance programs. These programs, which serve one in six Americans, represent our Nation's commitment to the principle that no one in this country should fear hunger or experience want. They provide a Federal safety net to people in need. The goals of the programs are to provide needy persons with access to a more nutritious diet, to improve the eating habits of the Nation's children, and to help America's farmers by providing an outlet for distributing foods purchased under farmer assistance authorities. The Service works in partnership with the States in all its programs. State and local agencies determine most administrative details regarding distribution of food benefits and eligibility of participants and FNS provides commodities and funding for additional food and to cover administrative costs.

Foreign Agricultural Service (10.777, 10.961-10.962)

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The export promotion and service agency for the U.S. Department of Agriculture. Stimulates overseas markets for U.S. agricultural products. FAS fulfills its role as the promotional agency for the world's largest agricultural export business through its network of agricultural counselors, attaches, and trade officers stationed overseas and its backup team of analysts, marketing specialists, negotiators, and related specialists. FAS maintains a worldwide agricultural intelligence and reporting system through its attached service. FAS also has a continuing market development program to develop, service, and expand commercial export markets for U.S. agricultural products. By virtue of the Agricultural Trade Act of 1978, FAS received authority to open at least 6 and not more than 25 agricultural trade offices overseas to develop, maintain and expand international markets for U.S. agricultural commodities. Ten of these offices have been established and are located in such key markets as West Germany, Saudi Arabia, Singapore, and Mexico City. To improve access for U.S. farm products abroad, FAS international trade policy specialists coordinate and direct USDA's responsibilities in international trade agreement programs and negotiations. FAS also manages the Public Law 480 Program, Titles I and III (Food for Peace Program), and the Commodity Credit Corporation (CCC) Export Credit Guarantee Programs. Public Law 480 is aimed at long-range improvement in the economies of developing countries. Commercial programs promote commercial agricultural exports by providing credit guarantees to exporters which are used to obtain additional U.S. exports. Title I, the concession sales section of Public Law 480, provides for low-interest, long-term credit to recipients of U.S. farm commodities. The Title II program authorizes the donation of agricultural commodities to foreign governments, voluntary relief agencies, or intergovernmental organizations. Title III permits multiyear programming and forgiveness of dollar payments, provided the recipient country undertakes specific agricultural and economic development projects for commodities delivered under Title I agreements. FAS focuses on sharing knowledge of agriculture through development assistance and cooperation with other countries. Primary activities are providing technical assistance and training in agriculture to other countries, particularly the developing world; working with international food and agricultural organizations to solve world food problems; and sponsoring scientific exchanges and research that will help farmers both at home and abroad.

Forest Service (10.652, 10.664-10.666, 10.672, 10.674-10.676, 10.678-10.684, 10.687-10.691, 10.693-10.695)

Cares for the land and serves people. Promotes the sustainability of ecosystems by ensuring their health, diversity, and productivity, which is coupled with this service ethic: work collaboratively and use appropriate scientific information in caring for the land and serving people. Provides financial, technical and scientific assistance to outside organizations in order to achieve these goals when authorized by statute. These land and service ethics are applied by the Forest Service through ecosystem management. Ecosystem management is the integration of ecological, economic, and social factors in order to maintain and enhance the quality of the environment to meet current and future needs. The four strategic goals of the Forest Service are to: (1) protect ecosystems, (2) restore deteriorated ecosystems, (3) provide multiple benefits for people within the capabilities of ecosystems, and (4) ensure organizational effectiveness. The Forest Service Natural Resource Agenda identifies four key areas of national focus. They are: watershed, health and restoration; sustainable forest ecosystem management; forest roads management; and recreation enhancement. Implementation of the agenda will help bring people together and help them find ways to live within the limits of the land. This in turn will ensure that future generations will forever be endowed with the rich natural bounty of our Nation.

National Institute of Food and Agriculture (10.200, 10.202-10.203, 10.205-10.207, 10.210, 10.212, 10.215-10.217, 10.219-10.223, 10.225-10.228, 10.303-10.320, 10.500)

The National Institute of Food and Agriculture (NIFA) is an agency within the U.S. Department of Agriculture (USDA), part of the executive branch of the

Federal Government. Congress created NIFA through the Food, Conservation, and Energy Act of 2008. NIFA replaced the former Cooperative State Research, Education, and Extension Service (CSREES), which had been in existence since 1994. NIFA's unique mission is to advance knowledge for agriculture, the environment, human health and well-being, and communities by supporting research, education, and extension programs in the Land-Grant University System and other partner organizations. NIFA doesn't perform actual research, education, and extension but rather helps fund it at the state and local level and provides program leadership in these areas.

Natural Resources Conservation Service (10.072, 10.901-10.905, 10.907, 10.912-10.914, 10.916-10.917, 10.920-10.923)

Develops and carries out a national soil and water conservation program in cooperation with landowners, operators and other land users and developers, community planning agencies and regional resource groups, Federal, State, and local government agencies; also assists in agricultural pollution control, environmental improvement, and rural community development. Preserves, protects, and restores valued wetlands, and improves wildlife and migratory bird habitat. Supports the objectives of the Nation's commitment to the 1973 International Boundary and Water Commission Agreement concerning the quality of water in the Colorado River delivered downstream to users in the United States and the Republic of Mexico. Conserves water; preserves, maintains, and improves migratory waterfowl habitat and other wildlife resources. Encourages good forestry management through the development, management, and protection of non-industrial private forest lands, to increase the production of timber and enhance other forest resources.

Risk Management Agency (10.456)

The Risk Management Agency (RMA) is part of USDA. RMA's role is to help producers manage their business risks through effective, market-based risk management solutions. RMA's mission is to promote, support, and regulate sound risk management solutions to preserve and strengthen the economic stability of America's agricultural producers. As part of this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC). RMA was created in 1996; the FCIC was founded in 1938. RMA, via the FCIC, provides crop insurance to American producers. Private-sector insurance companies sell and service the policies. RMA develops and/or approves the premium rate, administers premium and expense subsidy, approves and supports products, and reinsures the insurance companies. RMA through the formation of partnerships with public and private organizations fund projects that:

- Create new products, seek enhancements in existing products, and expand the use of non-insurance risk management tools that will be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production. Risk management tools include a variety of risk management options and strategies developed to assist producers in mitigating the risks inherent in agricultural production. Risk management tools may include financial management tools to mitigate price and production risks; tools to enhance measurement and prediction of risks in order to facilitate risk diversification; tools to improve production management, harvesting, record keeping or marketing.
- Provide women, limited resource, socially disadvantaged, and other traditionally underserved producers of Priority Commodities with risk management training, informational opportunities, and assistance necessary to understand: (1) The kind of risks addressed by existing and emerging risk management tools; (2) The features and appropriate use of existing and emerging risk management tools; and (3) How to make sound risk management decisions.
- Deliver crop insurance education and information to U.S. agricultural producers in certain States that have been designated as historically underserved with respect to crop insurance. The states, collectively referred to as Targeted States, are Connecticut, Delaware, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming.
- Deliver risk

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management education and information in the management of production, marketing, and financial risk to U.S. agricultural producers. The program gives priority to educating producers of crops currently not insured under Federal crop insurance, specialty crops, and underserved commodities, including livestock and forage.

Rural Business-Cooperative Service (10.865)

The mission of the Rural Business-Cooperative Service is to enhance the quality of life for all rural Americans by providing leadership in building competitive businesses and sustainable cooperatives that can prosper in the global marketplace.

Rural Development (10.352, 10.405, 10.410-10.411, 10.415, 10.417, 10.420, 10.433, 10.438, 10.441-10.442, 10.444-10.446, 10.448, 10.759-10.763, 10.766-10.771, 10.773, 10.780-10.781, 10.788-10.789, 10.850-10.851, 10.854-10.855, 10.857-10.859, 10.861, 10.863-10.864, 10.886)

Rural Development is working to eliminate substandard housing from rural America by helping rural people buy, build or rent decent housing. We also create jobs by funding the growth and creation of rural businesses and cooperatives. In a typical year, Rural Development programs create or preserve more than 150,000 rural jobs, enable 40,000 to 50,000 rural Americans to buy homes and help 450,000 low-income rural people rent apartments or other housing. Other Rural Development programs help rural communities build or improve community facilities, such as schools, health clinics and fire stations. We also have programs that help rural communities build or extend utilities, including water, electricity and telecommunications services.

Rural Housing Service (10.447)

Provides credit to families and communities that still do not have effective access to credit because of the isolated nature or small scale of the rural market and provides subsidies to those low income families and communities that could not otherwise afford rent or debt service payments.

Rural Utilities Service (10.787, 10.862)

Electricity, telephone, water and waste disposal services have been taken for granted in American cities since at least the 1920's. But if you lived in a rural area only 60 years ago, chances are you went without these necessities of modern life and high standard of living they make possible. Modern utilities came to rural America through some of the most successful government initiatives in American history, carried out through the U.S. Department of Agriculture (USDA) working with rural cooperatives, nonprofit organizations, public bodies, and for-profit utilities.

The Office of the Chief Economist (10.290)

The Office of the Chief Economist (OCE) advises the Secretary on the economic implications of policies and programs affecting the U.S. food and fiber system and rural areas as well as coordinates, reviews, and approves the Department's commodity and farm sector forecasts. OCE staff also coordinates USDA's Agricultural Outlook Forum, which has been hosted annually since 1923.

DEPARTMENT OF COMMERCE (11.001-11.999)

Economic Development Administration (11.010, 11.313)

The EDA's mission is to lead the federal economic development agenda by promoting innovation and competitiveness, preparing American regions for growth and success in the worldwide economy.

Minority Business Development Agency (11.805)

Assists minority businesses in achieving effective and equitable participation in the American free enterprise system and in overcoming social and economic disadvantages that have limited their participation in the past. The agency provides national policies and leadership in forming and strengthening a partnership of business, industry, and government with the Nation's minority businesses. Management and technical assistance is provided to minority firms on request, primarily through a network of local business development organizations funded by the Agency.

National Oceanic and Atmospheric Administration (NOAA) (11.432, 11.482)

Reports the weather of the U.S. and its possessions and provides weather forecasts to the general public, issues warnings against such destructive natural events as hurricanes, tornadoes, floods, and tsunamis and provides special services in support of aviation, marine activities, agriculture, forestry, urban air-quality control, and other weather-sensitive activities; monitors and reports all nonfederal weather modification activities conducted in the U.S.; conducts an integrated program of management, research, and services related to the protection and rational use of living marine mammals; prepares and issues nautical and aeronautical charts, provides the Nation's precise geodetic surveys, and conducts broad research programs in marine and atmospheric sciences, solar-terrestrial physics, and experimental meteorology, including weather modifications; predicts tides, currents, and the state of the oceans, conducts research and development aimed at providing alternatives to ocean dumping; provides Federal leadership in promoting wise and balanced management of the Nation's coastal zone; provides satellite observations of the environment by establishing and operating a national environmental satellite system; conducts integrated program of research and services relating to the oceans and inland waters, the lower and upper atmosphere, space environment, and the earth; acquires, stores, and disseminates worldwide environmental data through a system of meteorological, oceanographic, geodetic, and seismological data centers; develops a system of data buoys for automatically obtaining and disseminating marine environmental data; promotes the development of technology to meet future needs of the marine community.

National Telecommunications and Information Administration (11.550, 11.553, 11.557-11.559)

Exercises significant responsibility in the areas of communication security, privacy protection, and the application of public service satellites, public telecommunications facilities planning and construction; formulates policies to support the development, growth and regulation of telecommunications, information, and related industries; furthers the efficient development and use of telecommunications and information services; provides policy and management for the use of electromagnetic spectrum; provides telecommunications facilities grants to public service users.

DEPARTMENT OF DEFENSE (12.001-12.999)

Principal advisor to the Under Secretary of Defense (Policy) and the Secretary of Defense on national security strategy and defense strategy and in the requirements, forces and contingency plans necessary to implement those strategies.

Civil functions of the Department of the Army include the Civil Works Program, the administration of Arlington and Soldiers' Home National Cemeteries, and other related matters. The Army's Civil Works Program, a responsibility of the

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Corps of Engineers is the Nation's major Federal water resources development activity and involves engineering works such as major dams, reservoirs, levees, harbors, waterways, locks, and many other types of structures. These works provide flood protection for cities and major river valleys, reduce the cost of transportation, supply water for municipal and industrial use, generate hydroelectric power, provide recreational opportunities for vast numbers of people, regulate the rivers for many purposes including the improvement of water quality and the enhancement of fish and wildlife, protect the shores of the oceans and lakes, and provide still other types of benefits. Planning assistance is also provided to States and other nonfederal entities for the comprehensive management of water resources, including pollution abatement works. In addition, through the Civil Works Program the Federal government protects the navigable waters of the United States under legislation empowering the Secretary of the Army to prohibit activities which would reduce the value of such waters to the Nation.

Includes two lead offices: the Office of Naval Research and the Office of Naval Technology. The Chief of Naval Research is responsible for the Department of Navy Research (6.1) and Exploratory Development (6.2) Programs. The Chief of Naval Research is responsible to the Secretary of the Navy through the Assistant Secretary (Research, Engineering and Systems) and is a principal adviser to the latter. The Chief of Naval Research is also responsible for providing leadership, management, and direction to the Department of Navy research and exploratory development programs and other research, development, technology, and equipment programs assigned to and conducted by the Office of the Chief of Naval Research; developing and formulating viable and responsive naval research and technology requirements based on current and projected Navy and Marine Corps long-range objectives and considerations of national security; and coordinating naval research and promoting cooperative research efforts within the Department of the Navy with other elements of the Department of Defense, National Science Foundation, National Aeronautics and Space Administration, Department of Energy, and other government research groups. The Office of Naval Research also conducts research in conjunction with the research and development conducted by other Department of Navy activities, coordinates the Naval Basic Research Program, and conducts a contract management program with educational institutions in support of all Federal agencies. The Office of Naval Technology, established in October 1980, manages the Department of Navy's Exploratory Development (6.2) Program, assessing, planning, programming, budgeting, directing, and monitoring the program, and manages the oversight activities in regard to the Industrial Independent Research and Development Program.

The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrative, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department.

The Air Force Materiel Command researches, develops, tests, acquires, delivers, and logistically supports every Air Force weapons system. It has responsibilities from inception of a weapons system through its operational life and final disposition. The Air Force Materiel Command operates major product centers, logistics centers, test centers, and laboratories. It was created in July 1992 through the integration of the Air Force Logistics Command and the Air Force Systems Command.

The Defense Advanced Research Projects Agency is a separately organized agency within the Department of Defense under a Director appointed by the Secretary of Defense. The Agency engages in advanced, basic, and applied research and development projects essential to the Department of Defense, and conducts prototype projects that embody technology that may be incorporated into joint programs, programs in support of deployed U.S. forces, or selected Military Department programs and, on request, assists the Military Departments in their prototype efforts. In this regard, the Agency arranges, manages, and directs the performance of work connected with assigned advanced projects by the Military Departments, other government agencies, individuals, private business entities, as appropriate; recommends through the Under Secretary of

Defense for Acquisition to the Secretary of Defense assignment of advanced projects to the Agency; keeps the Under Secretary, the Organization of Joint Chiefs of Staff, the Military Departments, and other Department of Defense agencies informed on significant new developments and technological advances within assigned projects; and performs other such functions as the Secretary of Defense or the Under Secretary may assign.

Defense Logistics Agency (12.357)

Provides effective and economical support to the military services, other Department of Defense components, Federal civil agencies, foreign governments, and others as authorized, for assigned material commodities and items of supply, logistics services directly associated with the supply management function, contract administration services, and other support services as directed by the Secretary of Defense.

Department of the Army, Office of the Chief of Engineers (12.117-12.129)

No Description Provided

National Guard Bureau (12.404)

Provides a combat-ready reserve force and facilities for training and administering the Army National Guard units in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the Virgin Islands.

Office of the Secretary of Defense (12.599, 12.630-12.631)

The Secretary's responsibilities include matters pertaining to organization, training, logistical support, maintenance, welfare of personnel, administrations, recruiting, research and development, and other activities prescribed by the President or the Secretary of Defense. The principal assistant to the Secretary is the Under Secretary, who acts with the full authority of the Secretary on all affairs of the Department.

U.S. Army Materiel Command (12.431, 12.560)

The Commanding General, United States Army Materiel Command, develops and provides materiel and related services to the Army, To Army elements of unified commands and specified commands, to Department of Defense agencies, and to other United States and foreign agencies as directed. His principal missions are to equip and sustain a trained, ready Army; to provide equipment and services to other nations through the security assistance program; to develop and acquire non-major systems and equipment; to provide development and acquisition support to program managers; to define, develop, and acquire superior technologies; to maintain the mobilization capabilities necessary to support the Army in emergencies; and to continue to improve productivity and quality of life.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT (14.001-14.999)

The Assistant Secretary for Housing-Federal Housing Commissioner directs the Department's housing functions. He or she oversees aid for the construction and financing of new and rehabilitated housing and the preservation of existing housing. The Assistant Secretary is responsible for: Underwriting single family, multifamily, property improvement, and manufactured home loans; administering special purpose programs designed specifically for the elderly, the handicapped, and the chronically mentally ill; administering assisted housing programs for low-income families who are experiencing difficulties affording standard housing; administering grants to fund resident ownership of multifamily housing properties; protecting consumers against fraudulent

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practices of land developers and promoters, and administering housing development grants, where HUD provides grants to cities, urban counties, and States acting on behalf of local governments to support the development of rental housing in areas with severe rental housing shortages. Grantees use the HUD funds to provide capital grants or loans, interest-reduction payments, rental subsidies, or other types of assistance to facilitate the construction or substantial rehabilitation of rental projects by private owners.

The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and development, increase their capacity to govern, and provide shelter and services for homeless people. In addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME), providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time homebuyers and new construction when a jurisdiction is determined to need new rental housing; the Department's programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Care, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; The Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and Empowerment Zones and Enterprise Communities.

The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds for the development, operation, and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and homeownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; homeownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects.

The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

Office of Community Planning and Development (14.218, 14.225, 14.227-14.228, 14.231, 14.235, 14.238-14.239, 14.241, 14.246-14.249, 14.251-14.252, 14.259-14.263)

The Assistant Secretary for Community Planning and Development administers the grant programs that help communities plan and finance their growth and

development, increase their capacity to govern, and provide shelter and services for homeless people. In addition, the Assistant Secretary is responsible for: Community Development Block Grant (CDBG) Programs for Entitlement Communities, States and HUD-administered Small Cities, Section 108 Community Development Loan Guarantees, and Special Purpose Grants for Insular Areas, Historically Black Colleges and Universities, and Technical Assistance; Home Investment in Affordable Housing (HOME) providing Federal assistance for use by participating jurisdictions or Indian tribes for housing rehabilitation, tenant-based assistance, assistance to first time home buyers and new construction when a jurisdiction is determined to need new rental housing; the Department's programs to address homelessness, including the Supportive Housing Program (Transitional Housing and Permanent Housing components), Shelter Plus Case, Surplus Property for Use to Assist the Homeless, Section 8 Moderate Rehabilitation Single Room Occupancy (SRO) Program, Housing Opportunities for Persons with AIDS, and Emergency Shelter Grants; the Youthbuild Program to provide opportunities and assistance to very low-income young adults who have dropped out of high school; Consolidated Plans; and Empowerment Zones and Enterprise Communities.

Office of Fair Housing and Equal Opportunity (14.400-14.401, 14.408, 14.416-14.420)

The Assistant Secretary for Fair Housing and Equal Opportunity (FHEO) supervises HUD's housing and community development activities to promote fair housing and equal opportunity for all, regardless of race, religion, sex, or national origin. He or she also promotes equal opportunity for disabled people and families with children. FHEO administers: Fair Housing laws and regulations; and the Fair Housing Assistance Program that provides financial and technical assistance to State and local government agencies to implement local fair housing laws and ordinances.

Office of Healthy Homes and Lead Hazard Control (14.900, 14.902, 14.905-14.906, 14.913-14.914)

The Office is responsible for: Increasing awareness of the public and building industry of the dangers of lead-based paint poisoning and the options for detection, risk reduction, and abatement; encouraging the development of safer, more effective, and less costly methods for detection, risk reduction, and abatement; encouraging State and local governments to develop lead-based paint programs covering primary prevention, public education, certification of contractors, hazard reduction, financing, and enforcement.

Office of Housing-Federal Housing Commissioner (14.103, 14.108, 14.110, 14.112, 14.117, 14.119, 14.122-14.123, 14.126-14.129, 14.133-14.135, 14.138-14.139, 14.142, 14.149, 14.151, 14.155, 14.157, 14.159, 14.162-14.163, 14.167-14.169, 14.171-14.172, 14.175, 14.181, 14.183-14.184, 14.188-14.189, 14.191, 14.195, 14.198-14.199, 14.311, 14.313-14.318, 14.321)

The Assistant Secretary for Housing-Federal Housing Commissioner directs the Department's housing functions. He or she oversees aid for the construction and financing of new and rehabilitated housing and the preservation of existing housing. The Assistant Secretary is responsible for: Underwriting single family, multifamily, property improvement, and manufactured home loans; administering special purpose programs designed specifically for the elderly, the handicapped, and the chronically mentally ill; administering assisted housing programs for low-income families who are experiencing difficulties affording standard housing; administering grants to fund resident ownership of multifamily housing properties; protecting consumers against fraudulent practices of land developers and promoters, and administering housing development grants, where HUD provides grants to cities, urban counties, and States acting on behalf of local governments to support the development of rental housing in areas with severe rental housing shortages. Grantees use the

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HUD funds to provide capital grants or loans, interest-reduction payments, rental subsidies, or other types of assistance to facilitate the construction or substantial rehabilitation of rental projects by private owners.

Office of Policy Development and Research (14.506, 14.514-14.516, 14.519-14.520, 14.523-14.525)

The Assistant Secretary for Policy Development and Research (PD&R) supervises the Department's research activities and the development of its policies and is responsible for experimental housing and technical studies. PD&R develops a research agenda to reflect the overall policy needs of the Department; performs background analyses, studies, and priority assessments concerning housing and community development issues; provides economic analyses and recommendations, performs housing and financial market research, and designs and monitors several housing related data series; evaluates existing and new HUD programs to determine whether these programs are reaching their intended beneficiaries and providing the intended results, whether program costs are reasonable, and whether programs are having any unintended effects; analyzes the adequacy of existing and proposed program information systems and implement improvements or create systems to support new programs; evaluate new housing and construction materials and techniques and develop ways to encourage use of new technology; supports the Secretary in carrying out his regulatory oversight of the Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation and prepares annual reports to the Congress; manages research contracts, cooperative agreements, and grants; and administers the Office of University Partnerships and oversees grants awarded for general research and technology, the Community Development Work Study Program, Hispanic-Serving Institutions Work Study Program, and the Community Outreach Programs.

Office of Public and Indian Housing (14.850, 14.856, 14.862, 14.865-14.867, 14.869-14.874, 14.877-14.882, 14.884, 14.886-14.887, 14.889-14.891)

The Assistant Secretary for Public and Indian Housing (PIH) directs the Department's low-income public housing program. This program provides funds for the development, operation, and modernization of public housing, including such housing for American Indians. It also promotes resident management and ownership of public housing. PIH administers Public and Indian Housing Programs, including rental and home-ownership programs and provides technical and financial assistance in planning, developing, and managing low-income projects; provides operating subsidies for Public Housing Agencies (PHAs) and Indian Housing Authorities (IHAs), including procedures for the review of the management of PHAs and IHAs; administers the Capital Fund Program for the modernization of low-income housing projects to upgrade living conditions, correct physical deficiencies, and achieve operating efficiency and economy; administers the Resident Initiatives Program for resident participation, resident management; home-ownership, economic development and supportive services, and drug-free neighborhood programs; implements and monitors program requirements related to program eligibility and admission of families to public and assisted housing, tenant income and rent requirements pertaining to continued occupancy; administers the HOPE VI and Vacancy Reductions Programs; administers the Section 8 Housing Choice Vouchers Program and the Moderate Rehabilitation Program; coordinates all departmental housing and community development programs for Indian and Alaska Natives; and awards grants to PHAs and IHAs for the construction, acquisition and operations of Public and Indian Housing Projects.

DEPARTMENT OF THE INTERIOR (15.001-15.999)

The U.S. Department of the Interior uses sound science to manage and sustain Americas lands, water, wildlife, and energy resources, honors our nations responsibilities to tribal nations, and advocates for Americas island

communities. As keepers our nations legacy, we manage the resources in our care to benefit Americans now and in the future. Under the leadership of Secretary Ken Salazar, our department and its employees are developing and implementing the cutting-edge science and expert management techniques that make this possible.

Bureau of Indian Affairs (15.020-15.022, 15.024-15.026, 15.029-15.038, 15.040, 15.047, 15.051-15.053, 15.057-15.058, 15.060-15.063, 15.065, 15.108, 15.113, 15.141)

Administers Federal Indian policy and discharges the Federal trust responsibility for American Indian tribes and Alaska Natives. Provides services directly, or through self-determination contract, grant and compact agreements with tribes and tribal organizations, to over 1.2 million American Indians and Alaska Natives to strengthen tribal governments, enhance the quality of life, promote economic opportunity, and protect and improve trust assets. The Bureau administers more than 43 million acres of tribally-owned land, over 10 million acres of individually-owned land held in trust status, and 417,000 acres of Federally-owned land.

Bureau of Indian Education (15.028, 15.042-15.046, 15.059, 15.114, 15.130)

As stated in Title 25 CFR Part 32.3, BIEs mission is to provide quality education opportunities from early childhood through life in accordance with a tribes needs for cultural and economic well-being, in keeping with the wide diversity of Indian tribes and Alaska Native villages as distinct cultural and governmental entities. Further, the BIE is to manifest consideration of the whole person by taking into account the spiritual, mental, physical, and cultural aspects of the individual within his or her family and tribal or village context.

Bureau of Land Management (15.214, 15.222, 15.224-15.225, 15.227-15.241)

Has responsibility for the total management of 341 million acres of public lands located primarily in the Far West and Alaska and scattered parcels located in other States. In addition to minerals management responsibilities on the public lands and the Outer Continental Shelf, the Bureau is responsible for subsurface resource management of an additional 169 million acres where mineral rights have been reserved to the Federal Government. Resources managed by the Bureau include timber, minerals, oil and gas, geothermal energy, wildlife habitat, endangered plant and animal species, rangeland vegetation, recreation and cultural values, wild and scenic rivers, designated conservation and wilderness areas, and open space; provides for the protection (including fire suppression), orderly development, and use of public lands and resources under principles of multiple use and sustained yield. Land use plans are developed with public involvement to provide orderly use and development while maintaining and enhancing the quality of the environment; manages watersheds to protect soil and enhance water quality; makes land available through sale to individuals, organizations, local governments, and other Federal agencies when such transfer is in the public interest. Lands may be leased to State and local government agencies and to nonprofit organizations for certain purposes; the Bureau issues rights-of-way, in certain instances, for crossing Federal lands under other agencies' jurisdictions; is responsible for the survey of Federal lands and establishes and maintains public land records and records of mining claims; and also administers a program of payments in lieu of taxes based on the amount of Federally-owned land in counties and other units of local government.

Bureau of Reclamation (15.510-15.514, 15.516-15.534, 15.536-15.546)

The Bureau of Reclamation manages, develops, and protects water and related resources in an environmentally and economically sound manner in the interest of the American public. The agency is the nation's second largest wholesale

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water supplier, administering 348 reservoirs with 245 million acre-feet of water. One out of five western farmers uses Reclamation water to irrigate 10 million acres of land, producing 60 percent of the nation's vegetables and 25 percent of its fruits and nuts. The agency also serves as the fifth largest electric utility in the 17 States west of the Mississippi River. Reclamation encourages and supports water conservation and environmental restoration through partnerships, incentive programs, and challenge grants. It also supports efforts to meet increasing water demands through water reclamation, recycling, and reuse.

Fish and Wildlife Service (15.605, 15.608, 15.611, 15.614-15.616, 15.619-15.623, 15.626, 15.628-15.631, 15.634-15.637, 15.639-15.643, 15.645, 15.647, 15.649, 15.656-15.658)

The Office of the Assistant Secretary for Fish and Wildlife and Parks has responsibility for programs associated with the use, management and conservation of natural resources, lands and cultural facilities associated with the National Park and National Refuge Systems, and the conservation and enhancement of fish, wildlife, vegetation, and habitat. The Office represents the Department in the coordination of marine ecosystems and biological resources programs with other Federal agencies. It also exercises secretarial direction and supervision over the United States Fish and Wildlife Service and the National Park Service.

National Park Service (15.407, 15.937-15.938)

Administers for the American people an extensive system of national parks, monuments, historic sites, and recreation areas. The objectives of the National Park Service are to administer the properties under its jurisdiction for the enjoyment and education of our citizens, to protect the natural environment of the areas, and to assist States, local governments, and citizen groups in the development of park areas, the protection of the natural environment, and the preservation of historic properties.

Office of Insular Affairs (15.875)

The Secretary of the Interior has administrative responsibility for coordinating federal policy in the territories of American Samoa, Guam, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands, and the responsibility to administer and oversee U.S. federal assistance provided to the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau under the Compacts of Free Association. The Office of Insular Affairs executes these responsibilities on behalf of the Secretary.

Office of Surface Mining (15.250, 15.252-15.255)

Creation of a nationwide program that protects society and the environment from the adverse effects of coal mining operations, while ensuring an adequate supply of coal to meet the Nation's energy needs. Major objectives of the Office include establishment of minimum national standards for regulating the surface effects of coal mining, assistance to the States in developing and implementing regulatory programs, and promotion of the reclamation of previously mined areas.

The Bureau of Ocean Energy Management, Regulation, and Enforcement (15.421-15.428)

The Bureau of Ocean Energy Management, Regulation, and Enforcement (BOEMRE) assesses the nature, extent, recoverability, and value of leasable minerals on the Outer Continental Shelf. It ensures the orderly and timely inventory and development and the efficient recovery of mineral resources; encourages utilization of the best available and safest technology; and safeguards against fraud, waste, and abuse.

U.S. Geological Survey (15.805, 15.807-15.820, 15.978)

Perform surveys, investigations, and research covering topography, geology, biology, hydrology, and the mineral and water resources of the United States; classify lands as to their mineral and water resources; and publish and disseminate data relative to the foregoing activities.

DEPARTMENT OF JUSTICE (16.001-16.999)

Is responsible for enhancing the capacity and capability of State and local jurisdictions to prepare for and respond to incidents of domestic terrorism involving chemical and biological agents, radiological and explosive devices, and other weapons of mass destruction (WMD). It awards grants for equipment and provides training and technical assistance for State and local first responders.

Provides policy coordination and general management authority over the Office of Justice Programs, which includes the Bureau of Justice Assistance, the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the Office for Victims of Crime. While each OJP Bureau and Office retains independent authority in awarding funds to carry out its programs, together these components function as a single agency whose goal is to promote innovative programs and to foster improvements in the Nation's criminal and juvenile justice systems.

Bureau of Justice Assistance (16.571, 16.578, 16.585, 16.593, 16.596, 16.606-16.611, 16.614-16.616, 16.735, 16.737-16.738, 16.740, 16.745-16.746, 16.751-16.755, 16.812, 16.814-16.816)

Administers programs designed to assist State and local governments with drug, crime, and violence control and prevention efforts, as well as criminal justice system improvements. The Edward Byrne Memorial State and Local Law Enforcement Assistance Program, which was established by the Anti-Drug Abuse Act of 1986, provides assistance to States to subgrant funds to locals in 26 broad purpose areas, as well as limited discretionary grant funds. The Public Safety Officers' Benefits Programs, the Regional Information Sharing Systems, the State Criminal Alien Assistance Program, and the Local Law Enforcement Block Grants Program are also part of BJA's portfolio. Through its programs, BJA serves as a partner with State and local criminal justice systems. Program innovations of State and locals from across the country are tested, translated into implementation strategies, and demonstrated. Training and technical assistance on program development, implementation, evaluation, and site specific problems are available to State and local agencies. Currently, BJA is providing broad assistance in the areas of community justice and strategic planning.

Bureau of Justice Statistics (16.550, 16.554, 16.734, 16.739, 16.813)

The primary source for justice statistics in the United States. BJS collects, analyzes, publishes, and disseminates information on crime, criminal offenders, victims of crime, and the operation of justice systems at all levels of government. BJS maintains more than two dozen major data collection series and publishes a wide variety of reports annually which receive nationwide distribution. Core statistical efforts include annual data on criminal victimization, populations under correctional supervision, federal criminal offenders, federal case processing, and criminal Justice expenditures and employment. Periodic data series are undertaken to provide statistical information on felony convictions, state court case processing, the composition and characteristics of correctional populations, prosecutorial practices and policies, and the administration of law enforcement agencies and correctional facilities. BJS conducts special studies and analyses on policy relevant issues and emerging areas in interest. In addition, BJS provides financial and technical support to State and local governments in developing capabilities in criminal justice statistics and improving their criminal history records and information systems.

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Civil Rights Division (16.100-16.101, 16.103-16.105, 16.109-16.110)

Enforces the Civil Rights Acts of 1957, 1960, 1964, and 1968; the Voting Rights Act of 1965, as amended; the Equal Credit Opportunity Act; the Americans with Disabilities Act; the National Voter Registration Act; the Uniformed and Overseas Citizens Absentee Voting Act; the Voting Accessibility for the Elderly and Handicapped Act; and additional civil rights provisions contained in other laws and regulations. These laws prohibit discrimination in education, employment, credit, housing, public accommodations and facilities, voting, and certain federally funded and conducted programs. In addition, the Division prosecutes actions under several criminal civil rights statutes which were designed to preserve personal liberties and safety. The Division also enforces the Civil Rights of Institutionalized Persons Act of 1980, which authorizes the Attorney General to seek relief for persons confined in public institutions where conditions exist that deprive residents of their constitutional rights; the Freedom of Access to Clinic Entrances Act; the Police Misconduct Provision of the Violent Crime Control and Law Enforcement Act of 1994; and, Section 102 of the Immigration Reform and Control Act of 1986, as amended, which prohibits discrimination on the basis of national origin and citizenship status as well as document abuse and retaliation under the Immigration and Nationality Act.

Executive Office for Weed and Seed (16.595)

Provides a coordinative function in bringing together Federal, State and local governments, the community, and the private sector to form a partnership to create a safe, drug-free environment. The core elements of the Weed and Seed strategy are enhanced law enforcement; community policing as a bridge between law enforcement and social revitalization efforts; prevention, early intervention, and treatment efforts; and neighborhood restoration, including opportunities for economic development. Weed and Seed is primarily a strategy rather than a grant program.

National Institute of Justice (16.560, 16.562, 16.566, 16.741-16.742, 16.748)

Supports basic and applied research into criminal justice issues. Innovative approaches to controlling criminal behavior and improving law enforcement and criminal justice are tested and evaluated. Research results are disseminated through a wide variety of mechanisms including the quarterly "NIJ Reports" and a Research in Brief series designed for policy makers and criminal justice professionals. The Institute maintains a national and international clearinghouse on criminal justice issues. Its services are available to policymakers, criminal justice professionals, and the general public.

Office of Community Oriented Policing Services (16.710)

The Office seeks to expand the number of police officers and improve cooperative efforts between law enforcement agencies and members of the community to enhance public safety. The agency provides grants which fund new officers' salaries, training in community policing and problem-solving, and other innovative programs designed to bring law enforcement agencies into partnership with members of the community to address local crime problems.

Office of Juvenile Justice and Delinquency Prevention (16.523, 16.540-16.541, 16.543-16.544, 16.548, 16.726-16.727, 16.730-16.731, 16.756-16.758)

Federal leadership in responding to the problems confronting the Nation's juvenile justice system is vested in the Office of Juvenile Justice and Delinquency Prevention (OJJDP). Established in 1974 by the Juvenile Justice and Delinquency Prevention Act. OJJDP is the Federal agency responsible for providing a comprehensive, coordinated approach to preventing and controlling

juvenile crime and improving the juvenile justice system. OJJDP administers State Formula grants, State Challenge Grants, and the Title V Community Prevention Grants in States and territories; funds gang and mentoring programs under Parts D and G of the Act; funds numerous projects through its Special Emphasis Discretionary Grant Program and its National Institute for Juvenile Justice and Delinquency Prevention; and coordinates Federal activities related to juvenile justice and delinquency prevention. OJJDP also serves as the staff agency for the Coordinating Council on the Juvenile Justice and Delinquency Prevention, coordinates the concentration of the Federal Efforts Program, and administers both the Title IV Missing and Exploited Children's Program and programs under the Victims of Child Abuse Act of 1990.

Office of Victims of Crime (16.320-16.321, 16.575-16.576, 16.582-16.583, 16.801, 16.807)

The mission is to enhance the Nation's capacity to assist crime victims and to provide leadership in changing attitudes, policies, and practices to promote justice and healing for all victims of crime. OVC works with national, international, State, military, and tribal victim assistance and criminal justice agencies, as well as other professional organizations, to promote fundamental rights and comprehensive services for crime victims. OVC improves the criminal justice system response to victims of crime, including Native American crime victims, through the delivery of direct service and funding, training and technical assistance, and through monitoring the implementation of statutes providing victims rights and assistance.

Violence Against Women Office (16.018, 16.020, 16.590, 16.684)

The mission is to restructure and strengthen the criminal justice system's response to crimes of violence committed against women, in particular, domestic violence, sexual assault, and stalking, and enhance the services available to victims of such violence through the award of formula and discretionary grants to States, units of local government, Indian tribal governments, and other public and private entities in rural States.

DEPARTMENT OF LABOR (17.001-17.999)

The Office of the Assistant Secretary for Veterans' Employment and Training (VETS) is responsible for the administration, formulating and implementation of policy and procedures affecting veterans as well as veteran's employment and training programs nationwide. VETS serves as a national leader on Veterans policy and procedure to the Department of Labor (DOL) and other Federal agencies. VETS fulfills a wide range of obligations prescribed by the Secretary of Labor regarding the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. The VETS staff works closely with and provides technical assistance to State Employment Security Agencies and Job Training Partnership Act grant recipients to ensure that veterans are provided the priority services required by law. They also coordinate with employers, labor unions, veterans' service organizations, and community organizations through planned public information and outreach activities. Federal contractors are provided management assistance in complying with their veterans affirmative action and reporting obligations. Also administered by the Assistant Secretary through the Service is the Job Training Partnership Act, Title IV, Part C grant program designed to meet the employment and training needs of service-connected disabled veterans, Vietnam-era veterans, and veterans recently separated from military service. Job Training Partnership Act grants are awarded and monitored through the Services' national office and field staff. The Assistant Secretary also administers through the Service the Homeless Veterans Reintegration project grant program to provide employment, training, housing assistance, and supportive services to help homeless veterans reenter mainstream society. Homeless Veterans Reintegration Project grants are awarded and monitored

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through the Services' national office and field staff. Certain other Service staff also administer the veterans Uniformed Services Employment and Reemployment Rights Act program. They provide assistance to help restore job, seniority, and pension rights to veterans following absences from work for active military service and to protect employment and retention rights of members of the Reserve or National Guard. Other staff provide assistance to preference eligible veterans to ensure that they are not denied their veterans' preference benefits (preference in Federal government hiring and preference retention in reduction in force (RIF), under the Veterans Employment Opportunities Act (VEOA)).

The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor (DOL) and other Federal agencies. ODEP was established to bring a heightened, permanent focus to address the significant employment obstacles faced by individuals with disabilities and to ensure coordination among Federal agencies on matters related to or affecting people with disabilities. ODEP's research provides new knowledge used to develop evidence-based disability employment policies and practices for dissemination to workforce systems and partners. ODEP works to achieve its mission through collaborating on inter- and intra-agency initiatives, commissions, councils, and workgroups; building partnerships with Federal, state, local, and non-governmental stakeholders; reviewing Federal legislation and policies; designing and conducting research studies; highlighting and promoting policies and practices that increase the employment of people with disabilities; and implementing education and outreach initiatives. ODEP's stakeholders include Federal, state, and local government agencies, private and public employers and their employees, educational and training institutions, individuals with disabilities and their families, and the disability community.

Bureau of Labor Statistics (17.002-17.005)

The Bureau of Labor Statistics (BLS) is the principal fact-finding agency for the Federal Government in the broad field of labor economics and statistics. The BLS is an independent national statistical agency that collects, processes, analyzes, and disseminates essential statistical data to the American public, the U.S. Congress, other Federal agencies, State and local governments, business, and labor. The BLS also serves as a statistical resource to the Department of Labor. BLS data must satisfy a number of criteria, including relevance to current social and economic issues, timeliness in reflecting today's rapidly changing economic conditions, accuracy and consistently high statistical quality, and impartiality in both subject matter and presentation.

Employee Benefits Security Administration (17.150-17.151)

The Employee Retirement Income Security Act of 1974 (ERISA), (88 Stat. 829; 29 U.S.C. 1001 note), requires administrators of private pension and welfare plans to provide plan participants with easily understandable summaries of plans; to file those summaries in the Office of Pension and Welfare Benefit Programs; and to report annually on the financial operation of the plans and bonding of persons charged with handling plan funds and assets. Plan Administrators must also meet strict fiduciary responsibility standards which are enforced by the Office of Pension and Welfare Benefit Programs. Vesting, participation, and funding standards are, for the most part, administered by the Internal Revenue Service.

Employment Training Administration (17.201, 17.207, 17.225, 17.235, 17.245, 17.258-17.262, 17.264-17.282)

The Employment and Training Administration(ETA) administers and oversees a number of programs designed to assist unemployed, unskilled, dislocated workers and the economically disadvantaged get the job training and other services needed to become fully productive members of society, while

improving the skills and productivity of those already employed. ETA fulfills a wide range of responsibilities assigned to the Secretary of Labor relating to employment services, job training, and unemployment insurance. ETA funds training programs which enable workers to attain the skills needed for employment; administers a Federal-State employment service system which helps people find jobs and employers find workers. It also offers wage replacement programs for workers who lose their jobs through no fault of their own, such as the Unemployment Insurance (UI) and the Trade Adjustment Assistance (TAA) programs. Special efforts are made to address the unique job market problems of groups having difficulty entering or returning to the work force, such as older workers, school dropouts, displaced homemakers and individuals with disabilities. ETA also is responsible for promoting apprenticeship standards and programs and conducting programs of research, development and evaluation. The Job Training Partnership Act, enacted in 1982, is designed to provide training and related education and employment services to economically disadvantaged adults and youth to ensure that they have the required marketable skills leading to productive, unsubsidized employment. JTPA also provides reemployment and retraining services for workers dislocated through plant closings or mass layoffs. Block grants are provided to the States and U.S. territories for the operation of the program. JTPA provides for a summer youth employment and training program and Job Corps as well as special activities which offer basic skills training, job training and support services for special targeted groups such as Native Americans, and seasonal and migrant farmworkers. Implementing regulations for JTPA issued by the Department of Labor are contained in Title 20 of the Code of Federal Regulations, Parts 626-638 and 675-684. Effective July 1, 2000, JTPA is repealed and replaced by the Workforce Investment Act (WIA). WIA establishes, with States and local communities, a revitalized workforce investment system that provides workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and provides employers with skilled workers. WIA is administered through State and local Workforce Investment Boards and required partnerships of local One-Stop Career Centers. Funds are used for youth, adult and dislocated worker employment and training activities. The Act also authorizes a number of national programs and the Job Corps. The U.S. Employment Service (ES) seeks to match workers looking for employment with employers seeking workers, and is operated by the States. Programs such as Alien Labor Certification are also administered under the ES by State ES agencies through reimbursement agreements with the Department of Labor. The Senior Community Service Employment Program (SCSEP), or Older Worker Program is authorized by the Older Americans Act of 1965. It provides subsidized part-time community service work for unemployed low-income people ages 55 and over. The Assistant Secretary for Employment and Training is responsible for ensuring that programs funded through ETA are free from unlawful discrimination, fraud, and abuse. It is the policy of ETA to ensure full compliance with constitutional, statutory, and regulatory provisions and to promote equal opportunity, affirmative action, and fund integrity in programs to which ETA extends financial assistance.

Mine Safety and Health Administration (17.600-17.604)

Develops and promulgates mandatory safety and health standards, ensures compliance with such standards, assesses civil penalties for violations, investigates accidents, cooperates with and provides assistance to the States in the development of effective State mine safety and health programs, improves and expands training programs in cooperation with the States and the mining industry, and, in coordination with the Department of Health and Human Services contributes to the improvement and expansion of mine health research and development. All of these activities are aimed at preventing and reducing mine accidents and occupational diseases in the mining industry.

Occupational Safety and Health Administration (17.502-17.505)

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Develops and promulgates occupational safety and health standards; develops and issues regulations; conducts investigations and inspections to determine the status of compliance with safety and health standards and regulations; and issues citations and proposes penalties for noncompliance with safety and health standards and regulations.

Office of Disability Employment Policy (17.720)

The Office of Disability Employment Policy (ODEP) provides national disability employment policy leadership by developing and influencing the implementation of disability employment policies and practices affecting the employment of people with disabilities. ODEP's response to low employment rates among such a large group of Americans is unique, comprehensive, and aggressive, and includes the active involvement and cooperation of Federal, State, and local public and private entities, including employers. ODEP also leads efforts to achieve the employment related goals of the President's New Freedom Initiative. ODEP addresses the significant barriers to employment faced by individuals with disabilities by developing and disseminating national, State, and local disability employment policy; by fostering implementation of innovative strategies and practices among employers and throughout the various systems serving people with disabilities; by conducting disability-related research to build knowledge to inform policy development; and by providing technical assistance to service delivery systems and employers to increase employment opportunities and the recruitment, retention, and promotion of people with disabilities.

Office of Federal Contract Compliance Programs (17.301)

Office of Federal Contract Compliance Programs (OFCCP) is responsible for ensuring that contractors doing business with the Federal government do not discriminate and take affirmative action.

Office of Labor-Management Standards (17.309, 17.311)

The Office of Labor-Management Standards conducts criminal and civil investigations to safeguard the financial integrity of unions and to ensure union democracy, and conducts investigative audits of labor unions to uncover and remedy criminal and civil violations of the Labor-Management Reporting and Disclosure Act and related studies.

Office of Workers' Compensation Programs (17.302, 17.307, 17.310)

The Office of Workers' Compensation Programs administers four major disability compensation programs which provide wage replacement benefits, medical treatment, vocational rehabilitation and other benefits to certain workers or their dependents who experience work-related injury or occupational disease.

Office of the Secretary, Women's Bureau (17.700)

Formulates standards and policies that promote the welfare of wage earning women, improve their working conditions, increase their efficiency, advance their opportunities for profitable employment, and investigate and report on all matters pertinent to the welfare of women in industry. <104Office of Disability Employment Policy (17.720) Established to bring a heightened and permanent long-term focus to the goal of increasing employment of persons with disabilities. This is achieved through policy analysis, technical assistance, development of best practices, and outreach to persons with disabilities and employers. The Office develops and implements innovative pilot programs that integrate both youth and adults with significant disabilities into mainstream workforce programs.

Veteran's Employment and Training Service (17.801-17.807)

The Veterans Employment and Training Service (VETS) is responsible for administering veterans employment and training programs and activities to ensure that legislative and regulatory mandates are accomplished. The field staff works closely with and provides technical assistance to State employment security agencies and to Workforce Investment Act and Homeless Veterans Reintegration Programs grant recipients to ensure that veterans are provided the priority services required by law. They also coordinate with employers, labor unions, veterans service organizations, and community organizations through planned public information and outreach activities. VETS provides training to separating service members through its transition assistance program. Federal contractors are provided management assistance in complying with their veterans affirmative action and reporting obligations. VETS protects the employment and reemployment rights of servicemembers and veterans by investigating complaints received under the Uniformed Services Employment and Reemployment Rights Act concerning denial of veterans preference in Federal hiring and also complaints concerning job, seniority, and pension rights to veterans following absences from work for active military service. VETS also protects employment and reemployment rights of members of the Reserve and National Guard.

Wage and Hour Division (17.303, 17.306, 17.308)

The DOL Wage and Hour Division (WHD) is responsible for enforcing some of our nation's most comprehensive federal labor laws on topics, including the minimum wage, overtime pay, recordkeeping, youth employment and special employment, family and medical leave, migrant workers, lie detector tests, worker protections in certain temporary worker programs, and the prevailing wages for government service and construction contracts.

U.S. DEPARTMENT OF STATE (19.001-19.999)

Advance freedom for the benefit of the American people and the international community by helping to build and sustain a more democratic, secure, and prosperous world composed of well-governed states that respond to the needs of their people, reduce widespread poverty, and act responsibly within the international system

Bureau of Democracy, Human Rights and Labor (19.345)

The Bureau of Democracy, Human Rights and Labor (DRL) leads the U.S. efforts to promote democracy, protect human rights and international religious freedom, and advance labor rights globally. The mission of DRL is to Work to strengthen or defend the three core pillars of an effective democracy: (1) unequivocal protection of universal rights and freedom, including free and fair elections and freedom of speech, assembly, press, religion, and internationally recognized worker rights; (2) good governance, where transparent and accountable institutions operate under the rule of law; and (3) a robust, active civil society with an independent media that can keep government true and honest, keep citizens engaged, and keep reforms on track.

Bureau of Educational and Cultural Affairs (19.022, 19.025)

Conducts a wide variety of communication activities, from academic and cultural exchanges to press, radio, television, film, seminar, library, and cultural center programs, abroad in order to strengthen foreign understanding of American society, obtain greater support of U.S. policies, and increase understanding between the United States and other countries.

Bureau of Near Eastern Affairs (19.021, 19.500)

No Description Provided

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Bureau of Personnel (19.020)

The Bureau handles recruitment, assignment evaluation, promotion, discipline, career development, and retirement policies and programs for the Department's Foreign and Civil Service employees.

DEPARTMENT OF TRANSPORTATION (20.001-20.999)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and 42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our nation's highways. To accomplish this mission the FMCSA focuses its efforts on reducing the number and severity of large truck-involved crashes through safety regulation and research, safety in commercial operations through training and enforcement, improvements to the commercial driver's license program, and enhancing highway and transportation systems infrastructure. To accomplish these activities, the FMCSA works closely in partnership with other Federal and State agencies, private organizations and individuals. The FMCSA works with various governmental agencies, the commercial motor vehicle industry and other interested groups to promote truck and bus safety by addressing vehicle safety issues such as the mechanical condition of trucks and buses, infrastructure improvements including high profile grade crossings, and commercial operations such as commercial driver license and hours- of-service regulations.

Federal Aviation Administration (FAA) (20.106, 20.108-20.109)

Regulates air commerce in a manner that promotes its development and safety and fulfills the requirements of national defense; controls the use of navigable airspace of the United States and regulates both civil and military operations in such airspace in the interest of safety and efficiency; promotes, encourages, and develops civil aeronautics; consolidates research and development with respect to air navigation facilities; installs and operates air navigation facilities; develops and operates a common system of air traffic control and navigation for both civil and military aircraft; and develops and implements, programs and regulations to control aircraft noise, sonic boom, and other environmental effects of civil aviation.

Federal Highway Administration (FHWA) (20.200, 20.205, 20.215, 20.219, 20.223, 20.240)

Coordinates highways with other modes of transportation to achieve the most effective balance of transportation systems and facilities under cohesive Federal transportation policies pursuant to the Act. FHWA is concerned with the total operation and environment of highway systems, including highway safety. In administering its highway transportation programs, it gives full consideration to the impacts of highway development and travel; transportation needs; engineering and safety aspects; social, economic, and environmental effects; and project costs. It ensures balanced treatment of these factors by utilizing a systematic, interdisciplinary approach in providing for safe and efficient highway transportation.

Federal Motor Carrier Safety Administration (FMCSA) (20.218, 20.231-20.235, 20.237-20.239, 20.242)

Under the authority of the motor carrier safety provisions of Title 49 of the United States Code, the agency exercises Federal regulatory jurisdiction over the safety performance of all commercial motor carriers engaged in interstate commerce. It deals with more than 500,000 carriers, approximately 13,000 passenger carriers and 42,000 hazardous material carriers. The primary mission of the Agency is to improve the safety of commercial vehicle operations on our

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Federal Railroad Administration (FRA) (20.301, 20.313-20.321)

Promulgates and enforces rail safety regulations, administer railroad financial assistance programs, conduct research and development in support of improved railroad safety and national rail transportation policy, provide for the rehabilitation of Northeast Corridor rail passenger service, and consolidate government support of rail transportation activities. Railroad Safety: The Administration administers and enforces the Federal laws and related regulations designed to promote safety on railroads; exercises jurisdiction over all areas of rail safety under the Rail Safety Act of 1970, such as track maintenance, inspection standards, equipment standards, and operating practices. It also administers and enforces regulations resulting from railroad safety legislation for locomotives, signals, safety appliances, power brakes, hours of service, transportation of explosives and other dangerous articles, and reporting and investigation of railroad accidents. Railroad and related industry equipment, facilities, and records are inspected and required reports reviewed. Research and Development: A ground transportation research and development program is administered to advance all aspects of intercity ground transportation and railroad safety pertaining to the physical sciences and engineering, in order to improve railroad safety and ensure that railroads continue to be a viable national transportation resource. Transportation Test Center: This 50-square-mile facility, located near Pueblo, CO, provides testing for advanced and conventional systems and techniques designed to improve ground transportation. The facility has been managed and staffed for the Administration by the Association of American Railroads since October 1, 1982. The United States and Canadian Governments and private industry use this facility to explore, under controlled conditions, the operation of both conventional and advanced systems. It is used by the Urban Mass Transportation Administration for testing of urban rapid transit vehicles. Policy: Program management for new and revised policies, plans and projects related to railroad transportation economics, finance, system planning, and operations is provided; appropriate studies and analyses are performed; relevant tests, demonstrations, and evaluations are conducted; and labor/management programs are evaluated. Analyses of issues before regulatory agencies are carried out and recommendations are made to the Secretary as to the positions to be taken by DOT. Passenger and Freight Services: The Administration administers a program of Federal assistance for national, regional, and local rail services. Programs include rail freight service assistance programs; rail service continuation programs and State rail planning; and rail passenger service on a national, regional, and local basis. The agency also administers programs to develop, implement, and administer rail system policies, plans and programs for the Northeast Corridor in support of applicable provisions of the Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 501), and related legislation.

Federal Transit Administration (FTA) (20.500, 20.505, 20.507, 20.509, 20.513-20.516, 20.518-20.523)

Assists in the development of improved mass transportation facilities, equipment, techniques, and methods, with the cooperation of mass transportation

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companies both public and private; encourages the planning and establishment of areawide urban mass transportation systems needed for economical and desirable urban development, with the cooperation of mass transportation companies both public and private; and provides assistance to State and local governments and their instrumentalities in financing such systems, to be operated by public or private mass transportation companies as determined by local needs.

Maritime Administration (MARAD) (20.802-20.803, 20.806-20.808, 20.812-20.814, 20.816)

Administers programs to aid in the development, promotion, and operation of the U.S. Merchant Marine; organizes and directs emergency merchant ship operations; administers subsidy programs through the Maritime Subsidy Board, under which the Federal government, subject to statutory limitations, pays the difference between certain costs of operating ships under the U.S. flag and foreign competitive flags on essential services, and the difference between the costs of constructing ships in U.S. and foreign shipyards; provides financing guarantees for the construction, reconstruction, and reconditioning of ships; and enters into capital construction fund agreements which grant tax deferrals on moneys to be used for the acquisition, construction, or reconstruction of ships; constructs or supervises the construction of merchant-type ships for the Federal government; it helps industry generate increased business for U.S. ships and conducts programs to develop ports, facilities, and internodal transport, and to promote domestic shipping. Administers a War Risk Insurance program insuring operators and seamen against losses caused by hostile action if domestic commercial insurance is not available; Under emergency conditions, charters Government-owned ships to U.S. operators, requisitions or procures ships owned by U.S. citizens, and allocates them to meet defense needs. It maintains a National Defense Reserve Fleet of Government-owned ships that it operates through general agents when required in national defense interests. An element of this activity is the Ready Reserve Force consisting of a number of ships available for quick-response activation; regulates sales to aliens and transfers to foreign registry of ships that are fully or partially owned by U.S. citizens; also disposes of Government-owned ships found nonessential for national defense; operates the U.S. Merchant Marine Academy, Kings Point, NY, where young people are trained to become merchant marine officers, and conducts training in shipboard firefighting at Earle, NJ, and Toledo, OH. It also administers a Federal assistance program for the maritime academies operated by California, Maine, Massachusetts, Michigan, New York, and Texas.

National Highway Traffic Safety Administration (NHTSA) (20.600-20.602, 20.607-20.614)

Carries out programs relating to the safety performance of motor vehicles and related equipment, motor vehicle drivers and pedestrians and a uniform nationwide speed limit under the National Traffic and Motor Vehicle Safety Act of 1966 (80 Stat. 718), as amended. Under the authority of the Motor Vehicle Information and Cost Saving Act (86 Stat. 947), as amended, the Administration carries out programs and studies aimed at reducing economic losses in motor vehicle crashes and repairs, through general motor vehicle programs; administers the Federal odometer law; and promulgates average fuel economy standards for passenger and nonpassenger motor vehicles. Under the authority of the Clean Air amendments of 1970 (84 Stat. 1700), the Administration certifies as to the consistency of Environmental Protection Agency State grants with any highway safety program developed pursuant to section 402 of Title 23 of the United States Code. The National Highway Traffic Safety Administration was established to carry out a congressional mandate to reduce the mounting number of deaths, injuries, and economic losses resulting from traffic accidents on the Nation's highways and to provide motor vehicle damage susceptibility and ease of repair information, motor vehicle inspection demonstrations, and protection of purchasers of motor vehicles having altered odometers, and to provide average standards for greater vehicle mileage per gallon of fuel for vehicle under 10,000 pounds (gross vehicle weight).

Office of the Secretary (OST) Administration Secretariate (20.900-20.901, 20.904-20.905, 20.907, 20.910, 20.930)

Develops and evaluates public policy related to the transportation industries and their economic regulation; assures that the Department's regulatory programs remain consistent with established policy and maintains oversight of all departmental safety regulatory actions; proposes and coordinates on transportation-related legislation involving the private sector; provides analyses of current and emerging transportation policy issues to assess their economic and institutional implication, particularly with regard to Federal assistance, public trust funds, user charges, nondiscrimination of the handicapped in the provision of public transportation services, and energy and environmental aspects; undertakes studies and analyses to aid in the resolution of safety problems; develops policies to support the Department in aviation and maritime multilateral and bilateral negotiations with foreign governments and participates on the U.S. negotiating delegations; develops policies on a wide range of international transportation and trade matters; furnishes guidance to the United States Trade Representative's Trade Policy Committee in efforts to improve the U.S. balance of payments; coordinates efforts to combat transport-related terrorist acts and drug smuggling; arranges and coordinates cooperative agreements with foreign governments for the exchange of state-of-the-art scientific and technical information; provides assistance to the Agency for International Development's transportation programs in developing countries; and participates on the U.S.-Saudi Arabian Joint Commission for Economic Cooperation. The Assistant Secretary also: develops, coordinates, and carries out U.S. Government policy relating to the economic regulation of the airline industry, including licensing of U.S. and foreign carriers to serve in international air transportation and carrier fitness determinations; processes and resolves complaints concerning unfair competitive practices in international fares, rates, and tariff filings; establishes international and intra-Alaska mail rates; and determines the disposition of requests for approval and immunization from the antitrust laws of international aviation agreements. The Assistant Secretary also administers the essential air service program, which involves: establishing appropriate subsidy levels for subsidized carriers; processing applications to terminate, suspend, or reduce air service below the defined essential level; determining which carrier among various applicants should be selected to provide subsidized service; and continuously reviewing essential air service definitions for each community.

Pipeline and Hazardous Materials Safety Administration (20.700, 20.703, 20.710, 20.720-20.722)

Responsible for a number of programs involving safety regulation, emergency preparedness, and research and development. Emphasis is given to hazardous material transportation and pipeline safety, transportation emergency preparedness, and safety training.

Research and Innovative Technology Administration (20.701, 20.761-20.762, 20.764, 20.931)

Research and Innovative Technology Administration (RITA) coordinates the U.S. Department of Transportation's research and education programs, and is working to bring advanced technologies into the transportation system. RITA also offers vital transportation statistics and analysis, and supports national efforts to improve education and training in transportation-related fields. RITA works to ensure that the nation's transportation research investments produce results for the American people.

DEPARTMENT OF THE TREASURY (21.001-21.999)

The Department of the Treasury's mission highlights its role as the steward of U.S. economic and financial systems, and as an influential participant in the global economy. The Treasury Department is the executive agency responsible for promoting economic prosperity and ensuring the financial security of the

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United States. The Department is responsible for a wide range of activities such as advising the President on economic and financial issues, encouraging sustainable economic growth, and fostering improved governance in financial institutions. The Department of the Treasury operates and maintains systems that are critical to the nation's financial infrastructure, such as the production of coin and currency, the disbursement of payments to the American public, revenue collection, and the borrowing of funds necessary to run the federal government. The Department works with other federal agencies, foreign governments, and international financial institutions to encourage global economic growth, raise standards of living, and to the extent possible, predict and prevent economic and financial crises. The Treasury Department also performs a critical and far-reaching role in enhancing national security by implementing economic sanctions against foreign threats to the U.S., identifying and targeting the financial support networks of national security threats, and improving the safeguards of our financial systems.

Community Development Financial Institutions Fund (21.010-21.012, 21.020-21.021)

Through monetary awards and the allocation of tax credits, the CDFI Fund helps promote access to capital and local economic growth in urban and rural low-income communities across the nation.

Through its various programs, the CDFI Fund enables locally based organizations to further goals such as: economic development (job creation, business development, and commercial real estate development); affordable housing (housing development and homeownership); and community development financial services (provision of basic banking services to underserved communities and financial literacy training).

Internal Revenue Service (IRS) (21.003-21.004, 21.006, 21.008, 21.013)

Administers and enforces the Internal Revenue laws and related statutes, except those relating to alcohol, tobacco, firearms, and explosives. The IRS mission is to encourage and achieve the highest possible degree of voluntary compliance with the tax laws and regulations and to conduct itself so as to warrant the highest degree of public confidence in the integrity and efficiency of the Service. Accomplishment of this mission involves advising the public of its rights and responsibilities; communicating requirements of the law to the public; assisting taxpayers in complying with the laws and regulations, and taking those enforcement actions necessary for fair, effective, and impartial tax administration. Basic IRS activities include ensuring satisfactory resolution of taxpayer complaints, providing taxpayer service and education; determination, assessment, and collection of internal revenue taxes; determination of pension plan qualifications and exempt organization status; and preparation and issuance of rulings and regulations to supplement the provisions of the Internal Revenue Code.

APPALACHIAN REGIONAL COMMISSION (23.001-23.999)

The Appalachian Regional Commission is a Federal-State governmental agency concerned with the economic, physical, and social development of the 13-State Appalachian region, which includes parts of Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and all of West Virginia. The comprehensive goals of the Commission are to provide the people of Appalachia with the health and skills they need to compete for opportunities and to develop a self-sustaining economy and environment capable of supporting a population with rising incomes and standards of living and increasing employment opportunities. To

accomplish this task, the Commission has concentrated on areas of development in which there remain great needs throughout the region: community development and housing, education, the environment, health and child development, industrial development and management, tourism, and transportation.

OFFICE OF PERSONNEL MANAGEMENT (27.001-27.999)

Administers a merit system for Federal employment, which includes recruiting, examining, training, and promoting people on the basis of their knowledge and skills, regardless of their race, religion, sex, political influence, or other nonmerit factors. OPM's role is to ensure that the Federal government provides an array of personnel services to applicants and employees. Through a range of programs designed to develop and encourage the effectiveness of the Government employee, OPM supports Government program managers in their personnel management responsibilities and provides benefits to employees and to retired employees and their survivors.

U.S. COMMISSION ON CIVIL RIGHTS (29.001-29.999)

Collects and studies information on discrimination or denials of equal protection of the laws because of race, color, religion, sex, age, disability or, national origin, or in the administration of justice in such areas as voting rights, enforcement of Federal civil rights laws, and equality of opportunity in education, employment, and housing.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION (30.001-30.999)

Eliminates discrimination based on race, color, religion, sex, national origin, age or disability in hiring, promotion, firing, wages, testing, training, apprenticeship, and all other conditions of employment. The Commission also promotes voluntary action programs by employers, unions, and community organizations to make equal employment opportunity an actuality. EEOC also has oversight responsibility for all compliance and enforcement activities relating to equal employment opportunity among Federal employees and applicants, including discrimination against individuals with disabilities.

EXPORT - IMPORT BANK OF THE UNITED STATES (31.1-31.999)

The Export-Import Bank of the United States (Ex-Im Bank) is the official export credit agency of the United States. Ex-Im Bank's mission is to assist in financing the export of U.S. goods and services to international markets.

Ex-Im Bank enables U.S. companies large and small to turn export opportunities into real sales that help to maintain and create U.S. jobs and contribute to a stronger national economy.

Ex-Im Bank does not compete with private sector lenders but provides export financing products that fill gaps in trade financing. We assume credit and country risks that the private sector is unable or unwilling to accept. We also help to level the playing field for U.S. exporters by matching the financing that other governments provide to their exporters.

Ex-Im Bank provides working capital guarantees (pre-export financing); export credit insurance; and loan guarantees and direct loans (buyer financing). No transaction is too large or too small. On average, 85% of our transactions directly benefit U.S. small businesses.

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With more than 70 years of experience, Ex-Im Bank has supported more than \$400 billion of U.S. exports, primarily to developing markets worldwide.

FEDERAL COMMUNICATIONS COMMISSION (32.001-32.999)

Regulates interstate and foreign communications by radio, television, wire, satellite, and cable. It is responsible for the orderly development and operation of broadcast services and the provision of rapid, efficient nationwide and worldwide telephone and telegraph services at reasonable rates. Its responsibilities also include the use of communications for promoting safety of life and property and for strengthening the national defense.

FEDERAL MARITIME COMMISSION (33.001-33.999)

Regulates the waterborne foreign and domestic offshore commerce of the United States, assures that United States international trade is open to all nations on fair and equitable terms, and protects against unauthorized, concerted activity in the waterborne commerce of the United States. This is accomplished through maintaining surveillance over steamship conferences and common carriers by water; assuring that only the rates on file with the Commission are charged; conducting analysis and appropriate disposition of agreements between persons subject to the Shipping Act of 1984 and the Shipping Act, 1916; guaranteeing equal treatment to shippers, carriers, and other persons subject to the shipping statutes; and ensuring that adequate levels of financial responsibility are maintained for indemnification of passengers.

FEDERAL MEDIATION AND CONCILIATION SERVICE (34.001-34.999)

Assists labor and management in resolving disputes bargaining contract negotiation through voluntary mediation and arbitration services; provides training to unions and management in cooperative processes to improve long-term relationship under the Labor-Management Cooperation Act of 1978, including Federal sector partnership training authorized by Executive Order 12871; provides alternative dispute resolution services and training to government agencies, including the facilitation of regulatory negotiations under Administrative Dispute Resolution and Negotiated Rulemaking Acts of 1990; awards competitive grants to joint labor-management committees to encourage innovative approaches to cooperative efforts.

FEDERAL TRADE COMMISSION (36.001-36.999)

Maintains competitive enterprise as the keystone of the American economic system. Although the duties of the Commission are many and varied, the foundation of public policy underlying all these duties is essentially the same: to prevent the free enterprise system from being fettered by monopoly or restraints on trade or corrupted by unfair or deceptive trade practices. In brief, the Commission is charged with keeping competition both free and fair.

GENERAL SERVICES ADMINISTRATION (39.001-39.999)

Establishes policy and provides for the Government an economical and efficient system for the management of its property including construction and operation of buildings, procurement and distribution of supplies, utilization and disposal of property, transportation, and travel management, and management of Government-wide information technology solutions and network services. GSA is organized much like a large corporation doing business in a number of different fields. It consists of operating services and supporting staff offices,

with functions carried out at three levels of organization: The Central office, regional offices, and field activities. (See Appendix IV of the Catalog for a listing of these offices.) Various publications and catalogs published by GSA include: 1) The Catalog of Federal Domestic Assistance published jointly by GSA and the Office of Management and Budget; and 2) the Consumer Information Catalog which lists selected Federal publications of interest to consumers. GSA also administers the Federal Information Centers (FCIC) which are focal points for Information about the Federal government's services, programs, and regulations.

GOVERNMENT PRINTING OFFICE (40.001-40.999)

Executes orders for printing and binding placed by Congress and the departments and establishments of the Federal government. It furnishes blank paper, inks, and similar supplies to all governmental activities on order. It prepares catalogs and distributes and sells Government publications. GPO invites bids from commercial suppliers on a wide variety of printing and binding services, awards and administers contracts, and maintains liaison between ordering agencies and contractors. GPO sells through mail orders and Government bookstores approximately 10,000 publications that originate in various Government agencies, and administers the depository library program through which selected Government publications are made available in libraries throughout the country.

LIBRARY OF CONGRESS (42.001-42.999)

Under the organic law, the Library's first responsibility is service to Congress. One department, the Congressional Research Service, functions exclusively for the legislative branch of the Government. As the Library has developed, its range of service has come to include the entire governmental establishment in all its branches and the public at large, so that it has become a national library for the United States. The Library's extensive collections are universal in scope. They include books and pamphlets on every subject and in a multitude of languages. Among them are the most comprehensive collections of Chinese, Japanese, and Russian language books outside Asia and the Soviet Union; volumes relating to science and legal materials, outstanding for American and foreign law; the world's largest collection of published aeronautical literature; and the most extensive collection in the western hemisphere of books printed before 1501 A.D. The manuscript collections relate to manifold aspects of American history and civilization and include the personal papers of most of the Presidents from George Washington through Calvin Coolidge. The music collections contain volumes and pieces (manuscript and published) from classic works to the newest popular compositions. Other materials available for research include maps and views; photographic records from the daguerreotype to the latest news photo; recordings, including folksongs and other music, speeches, and poetry readings; prints, drawings, and posters; government documents, newspapers, and periodicals from all over the world; and motion pictures, microforms, and audio and video tapes. Admission to the various research facilities of the Library is free. No introduction or credentials are required for persons over high school age who wish to read in the general reading rooms; however, certain collections, like those of the Manuscript, Rare Book and Special Collections, Motion Picture, Broadcasting, and Recorded Sound Divisions, can be used only by those with a serious purpose for doing so. The Library is also responsible for copyrights, which are registered by the Copyright Office. All copyrightable works, whether published or unpublished, are subject to a system of statutory protection that gives the copyright owner certain exclusive rights, including the right to reproduce the copyrighted work and distribute it to the public by sale, rental, lease, or lending. Works of authorship include books, periodicals, and other literary works, musical compositions, song lyrics, dramas and dramatic musical compositions, pictorial, graphic, and sculptural works pantomimes and choreographic works, motion pictures and other audiovisual works, and sound recordings. The Library extends its service through an interlibrary loan system; the photoduplication, at reasonable cost,

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subject to conditions of law, copyright, and deposit of books, manuscripts, maps, and newspapers, and prints in its collections; the sale of sound recordings, which are released by its Recording Laboratory; the exchange of duplicates with other institutions; the sale of printed catalog cards and magnetic tapes, and the publication in book format or microform of cumulative catalogs, which make available the results of the expert bibliographical and cataloging work of its technical personnel; a centralized acquisitions program whereby the Library of Congress acquires material published all over the world, catalogs it promptly, and distributes cataloging information in machine readable form as well as other means to the Nation's libraries; a cooperative catalog program, whereby the cataloging of data by name authority and bibliographic records, prepared by other libraries becomes part of the Library of Congress data base and distribute them through the MARC Distribution Service; a cataloging-in-publication program in cooperation with American publishers for printing and cataloging information in current books; the National Serials Data Program, a national center that maintains a record of serial titles to which International Standard Serial Numbers have been assigned and serves, with this file, as the United States Register; and the development of general schemes of classification (Library of Congress and Dewey Decimal), subject headings, and cataloging, embracing the entire field of printed matter. The American Folklife Center in the Library of Congress, has a coordinative function both in and outside the Federal establishment to carry out appropriate programs to support, preserve, and present American folklife through such activities as the collection and maintenance of archives, scholarly research, field projects, performances, exhibitions, festivals, workshops, publications, and audiovisual presentations. The Center for the Book was established in the Library of Congress to provide a program for investigating the transmission of human knowledge and to heighten public interest in the role of books and printing in the diffusion of knowledge. Drawing on the resources of the Library of Congress, the Center works closely with other organizations to explore important issues in the book and educational communities, to encourage reading, and to encourage research about books and about reading. Its goal is to serve as a useful catalyst by bringing together authors, publishers, librarians, booksellers, educators, scholars, and readers to discuss common concerns and work toward the solution of common problems. The Library provides technical information related to the preservation and restoration of library and archival material. A series of leaflets on various preservation and conservation topics has been prepared by the Preservation Office. Information and publications are available from the National Preservation Program Office, Library of Congress. A free national library program of braille and recorded materials for blind and physically handicapped persons is administered by the National Library Service for the Blind and Physically Handicapped (NLS), Library of Congress. With the cooperation of authors and publishers who grant permission to use copyrighted works, NLS selects and produces full-length books and magazines in braille on recorded disc and cassette. Reading material are distributed to a cooperating network of regional and subregional (local) libraries where they are circulated to eligible borrowers. Reading material and playback machines are sent to borrowers and returned to libraries by postage-free mail. Established by an act of Congress in 1931 to serve blind adults, the program was expanded in 1952 to include children, in 1962 to provide music materials, and again in 1966 to include individuals with other physical impairments that prevent the reading of standard print. Information and publications are available from the National Library Service for the Blind and Physically Handicapped, Library of Congress, Washington, DC 20542.

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION (43.001-43.999)

Conducts research to solve problems of flight within and outside Earth atmosphere. Develops, constructs, tests, and operates aeronautical and space vehicles. Conducts activities required for the exploration of space with manned and unmanned vehicles; arranges for the most effective utilization of the scientific and engineering resources of the United States with other nations

engaged in aeronautical and space activities for peaceful purposes.

NATIONAL CREDIT UNION ADMINISTRATION (44.001-44.999)

The National Credit Union Administration Board is responsible for chartering, insuring, supervising, and examining Federal credit unions and administering the National Credit Union Share Insurance Fund. The Board also manages the Central Liquidity Facility, a mixed-ownership Government corporation whose purpose is to supply emergency loans to member credit unions.

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES (45.200-45.299)

Coordinates the activities of the two Endowments and related programs of other Federal agencies.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES (45.300-45.399)

The Institute of Museum and Library Services is the primary source of federal support for the nation's 122,000 libraries and 17,500 museums. Its mission is to grow and sustain a "Nation of Learners" because lifelong learning is essential to a democratic society and individual success. Through its grant making, convenings, research, and publications, the Institute empowers museums and libraries nationwide to provide leadership and services to enhance learning in families and communities, sustain cultural heritage, build twenty-first-century skills, and increase civic participation. IMLS supports adult education and literacy through a number of competitive and state grants and programs, such as Museums for America grants, State Library programs, Native American/ Native Hawaiian Library Services, National Leadership Grants, 21st Century Museum Professionals, the Laura Bush 21st Century Librarian Program, and the Partnership for a Nation of Learners program.

NATIONAL ENDOWMENT FOR THE ARTS (45.1-45.99)

The National Endowment for the Arts is a public agency dedicated to supporting excellence in the arts, both new and established bringing the arts to all Americans, and providing leadership in arts education. The National Endowment for the Arts is the largest annual funder of the arts in the United States. An independent federal agency, the National Endowment for the Arts is the official arts organization of the United States government. The National Endowment for the Arts awards more than \$100 million annually investing in every state which in turn generates more than \$700 million in additional support. The Arts Endowment has played a transformative and sustaining role in the development of regional theater, opera, dance, orchestras, museums, and other arts both contemporary and traditional that Americans now enjoy.

NATIONAL ENDOWMENT FOR THE HUMANITIES (45.100-45.199)

Promotes and supports the production and dissemination of knowledge in the humanities. The term "humanities" includes, but is not limited to, the study of the following: language, both modern and classical; linguistics; literature; history; jurisprudence; philosophy; archaeology; comparative religion; ethics; the history, criticism, and theory of the arts; and those aspects of the social sciences that employ historical or philosophical approaches. The Endowment makes grants to individuals, groups, or institutions (schools, colleges, universities, museums, public television stations, libraries, public agencies, and nonprofit private groups) to increase understanding and appreciation of the

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humanities.

NATIONAL LABOR RELATIONS BOARD (46.001-46.999)

Administers the Nation's principal law, the National Labor Relations Act. The NLRB is vested with the power to prevent or remedy unfair labor practices and to safeguard employees' rights to organize and determine through elections whether to have unions as their bargaining representatives.

NATIONAL SCIENCE FOUNDATION (47.001-47.999)

Promotes the progress of science and engineering through the support of research and education programs. Its major emphasis is on high quality, science-driven basic research, the search for improved understanding of the fundamental laws of nature upon which our future well-being as a Nation depends. The National Science Foundation also supports applied research in several areas. Its educational programs are aimed at ensuring increasing understanding of science and engineering at all educational levels and at training an adequate supply of scientists and engineers to meet our country's needs.

RAILROAD RETIREMENT BOARD (57.001-57.999)

Administers comprehensive retirement-survivor and unemployment-sickness benefit programs for the Nation's railroad workers and their families, under the Railroad Retirement and Railroad Unemployment Insurance Acts. The Board participates in the administration of the Social Security Act and the Health Insurance for the Aged Act insofar as they affect railroad retirement beneficiaries.

SECURITIES AND EXCHANGE COMMISSION (58.001-58.999)

Administers Federal securities laws that seek to provide for investors; to ensure that securities markets are fair and honest; and, when necessary, to provide the means to enforce securities laws through sanctions.

SMALL BUSINESS ADMINISTRATION (59.001-59.999)

Aids, counsels, assists, and protects the interests of small business; ensures that small business concerns receive a fair proportion of Government purchases, contracts, and subcontracts, as well as of the sales of Government property; makes loans to small business concerns, State and local development companies, and the victims of floods or other catastrophes, or certain types of economic injury; and licenses, regulates, and makes loans to small business investment companies.

DEPARTMENT OF VETERANS AFFAIRS (64.001-64.999)

Administers the National Cemetery Administration, which provides cemeterial services to veterans and other eligibles as prescribed by the Secretary of Veterans Affairs pursuant to the provisions of the National Cemeteries Act of 1973 and other statutory authorities and regulations. These services also include providing headstones and markers for the graves of eligibles in national and State veterans cemeteries and for veterans interred in private cemeteries. Monetary aid to States for establishment, expansion, and improvement of veterans' cemeteries is also available.

ENVIRONMENTAL PROTECTION AGENCY (66.001-66.999)

The mission of EPA is to protect human health and the environment.

EPA's purpose is to ensure that: all Americans are protected from significant risks to human health and the environment where they live, learn and work; national efforts to reduce environmental risk are based on the best available scientific information; federal laws protecting human health and the environment are enforced fairly and effectively; environmental protection is an integral consideration in U.S. policies concerning natural resources, human health, economic growth, energy, transportation, agriculture, industry, and international trade, and these factors are similarly considered in establishing environmental policy; all parts of society -- communities, individuals, businesses, and state, local and tribal governments -- have access to accurate information sufficient to effectively participate in managing human health and environmental risks; environmental protection contributes to making our communities and ecosystems diverse, sustainable and economically productive; and the United States plays a leadership role in working with other nations to protect the global environment.

Office of Administration and Resources Management (66.508, 66.518)

Provides for support grants as an alternative grant delivery mechanism to allow a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control.

Office of Air and Radiation (66.001, 66.032-66.034, 66.036-66.042)

Develops national programs, technical policies, and regulations for air pollution control; establishes national standards for ambient air quality, and emission standards for stationary sources: mobile sources and fuels; monitors acid deposition; environmental radiation, and other pollutants; provides technical, training, and financial support to states, tribes, and local governments. Also, develops national partnership programs and policies to address climate change and indoor pollution, and demonstrates new low emission vehicle technology.

Office of Enforcement and Compliance Assurance (66.305, 66.309-66.310, 66.312, 66.604, 66.700-66.701, 66.709)

Develops national strategies for the control of toxic substances; directing the pesticides and toxic substances enforcement activities; developing criteria for assessing chemical substances, standards for test protocols for chemicals, rules and procedures for industry reporting and regulations for the control of substances deemed to be hazardous to man or the environment; and evaluating and assessing the impact of existing chemicals, new chemicals, and chemicals with new uses to determine the hazard and, if needed, develop appropriate restrictions. Additional activities include control and regulation of pesticides and reduction in their use to ensure human safety and protection of environmental quality; establishment of tolerance levels for pesticides that occur in or on food; monitoring of pesticide residue levels in food, humans, and nontarget fish and wildlife and their environments; and investigation of pesticide accidents. It also coordinates activities under its statutory responsibilities with other agencies for assessment and control of toxic substances and pesticides.

Office of Environmental Information (66.608, 66.612)

The Office of Environmental Information (OEI) is headed by the EPA's Chief Information Officer and is responsible for managing the life cycle of information to support EPA's mission of protecting human health and the environment. OEI

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works to identify and implement innovative information technology and information management solutions that strengthen EPA's ability to achieve its mission. OEI does this by ensuring the quality of EPA's information, the efficiency and reliability of EPA's technology, data collection and exchange efforts, and access services.

Office of International and Tribal Affairs (66.473, 66.931)

EPA's Office of International and Tribal Affairs (OITA) plays a crucial role in advancing the United States' international environmental priorities. Working with the experts from EPA's other program and regional offices, other government agencies, and other nations and international organizations, OITA identifies international environmental issues and helps implement technical and policy options to address them.

OITA is comprised of a multi-disciplinary staff who respect and reflect the diversity of the global community. OITA's mission is to protect human health and environment while advancing U.S. national interests through international environmental collaboration.

Office of Pollution Prevention and Toxics Substances (66.707-66.708, 66.714-66.718)

The Office of the Assistant Administrator for Pesticides and Toxic Substances has responsibility for Agency strategies for implementation and integration of the pollution prevention, pesticides and toxic substances programs and developing and operating Agency programs and policies for assessment and control of pesticides and toxic substances as well as recommending policies and developing operating programs for implementing the Pollution Prevention Act. It is responsible for developing recommendations for Agency priorities for research, monitoring regulatory and information gathering activities relating to implementing the Pollution Prevention Act, pesticides and toxic substances; and monitoring and assessing pollution prevention, pesticides and toxic substances program operations in EPA Headquarters and Regional Offices.

Office of Research and Development (66.509-66.514, 66.516-66.517)

The Office of Research and Development is responsible for a national research program in pursuit of technological controls of all forms of pollution. It directly supervises the research activities of EPA's national laboratories and gives technical policy direction to those laboratories that support the program responsibilities of EPA's regional offices. Close coordination of the various research programs is designed to yield a synthesis of knowledge from the biological, physical, and social sciences that can be interpreted in terms of total human and environmental needs. General functions include management of selected demonstration programs, planning for Agency environmental quality monitoring programs, coordination of Agency monitoring efforts with those of other Federal agencies, the States, and other public bodies, and dissemination of Agency research, development, and demonstration results.

Office of Solid Waste and Emergency Response (66.035, 66.801-66.802, 66.804-66.806, 66.808-66.810, 66.812-66.818)

Provides policy, guidance, and direction for EPA's hazardous waste and emergency response programs. The functions of these programs include development of policies, standards, and regulations for hazardous waste treatment, storage, and disposal; national management of the Superfund toxic waste cleanup program; development of guidelines for the emergency preparedness and "Community Right To Know" programs; development of guidelines and standards for underground storage tanks; enforcement of applicable laws and regulations; analysis of technologies and methods for the recovery of useful energy from solid waste; and provision of technical assistance in the development, management, and operation of waste management activities.

Office of Water (66.418-66.419, 66.424, 66.432-66.433, 66.436-66.437, 66.439, 66.454, 66.456, 66.458, 66.460-66.463, 66.466-66.469, 66.471-66.472, 66.474-66.475, 66.478-66.481, 66.926)

Develops national programs, technical policies, and regulations for water pollution control and water supply; ground water protection; marine and estuarine protection; enforcement of standards; water quality standards and effluent guidelines development; technical direction, support, and evaluation of regional water activities; development of programs for technical assistance and technology transfer; and provision of training in the field of water quality.

Office of the Administrator (66.605, 66.609-66.611, 66.940, 66.950-66.952)

Provides for support grants as an alternative grant delivery mechanism to allow a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control.

Office of the Chief Financial Officer (66.202-66.203)

The Immediate Office of the Chief Financial Officer performs the following functions: Develops, manages, and supports a goal-based management system for the Agency that involves strategic planning and accountability for environmental, fiscal, and managerial results and Manages the Agency-wide budget, resources management and financial management functions including program analysis and annual planning, budget formulation, preparation and execution; controls and systems for payroll and disbursements.

Region 3 (66.113)

EPA's Region 3 office protects human health and the environment in Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, and West Virginia.

Region 6 (66.124-66.125)

EPA's Region 6 protects human health and the environment in New Mexico, Texas, Oklahoma, Arkansas, and Louisiana.

Region 7 (66.111)

EPA's Region 7 office protects human health and the environment in Iowa, Kansas, Missouri, and Nebraska.

Region 9 (66.600)

EPA provides financial assistance grants to qualified applicants to support a variety of environmental programs and activities. EPA Region 9 works within the context of EPA's national grants program to provide funding opportunities specific to EPA's Pacific Southwest Region.

Region 10 (66.119-66.123)

EPA's Region 10 office serves Alaska, Idaho, Oregon, Washington, and 271 Native Tribes. Our Regional Administrator is appointed by the President of the United States to lead our staff of about 650 employees and oversee an annual budget of about \$500 million. Our main office is located in Seattle, Washington.

NATIONAL GALLERY OF ART (68.001-68.999)

The National Gallery's collections embrace every major school of western European art from the 13th century to the present and of American art from

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colonial days to the present. A professor-in-residence position is filled annually by a distinguished scholar in the field of art history; graduate and postgraduate research is conducted under a fellowship program; programs for children and the general public are conducted daily; and Extension Programs produces and distributes education resources for loan throughout the world; audiovisual materials include films, slide teaching programs, videocassettes, videodiscs, and CD-ROMS.

OVERSEAS PRIVATE INVESTMENT CORPORATION (70.001-70.999)

Offers United States investors assistance in finding investment opportunities, insurance, and loans and loan guaranties to help finance their projects in developing countries. It encourages investment projects that will help the social and economic development of these countries.

NUCLEAR REGULATORY COMMISSION (77.001-77.999)

Licenses and regulates the civilian uses of nuclear energy to protect the public health and safety and the environment. It does this by licensing persons and companies to build and operate nuclear reactors and other facilities and to own and use nuclear materials. The NCR makes rules and sets standards for these types of licenses. The NRC also carefully inspects the activities of the persons and companies licensed to ensure that they do not violate the safety rules of the Commission.

COMMODITY FUTURES TRADING COMMISSION (78.001-78.999)

Promotes healthy economic growth, protects the rights of customers, and ensures fairness and integrity in the marketplace through regulation of futures trading. To this end it also engages in the analysis of economic issues affected by or affecting futures trading.

DEPARTMENT OF ENERGY (81.001-81.999)

DOE is major government enterprise. If included among the Nation's Fortune 500 firms, it would rank in the top 50. Its \$16.5 billion appropriation comprises close to 3 percent of total Federal discretionary spending DOE Funds the largest environmental cleanup in history, and research and development that supports the Nation's defense and its energy and economic security. DOE employs over 11,000 Federal employees and about 108,000 contract employees. DOE owns and manages over 50 major installations located on 2.4 million acres in 35 States and is the fourth largest Federal landowner in the United States. DOE is an energy policy, supply, and technology enterprise. It invests in developing a secure, clean, and sustainable energy system. It helps the Nation meet its environmental challenges by administering the largest pollution prevention and efficiency program in the world, with partners from every sector of the economy. It enhances the Nation's energy security by increasing the diversity of energy, and fuel choices and sources; bringing renewable energy sources into the market, strengthening domestic production of oil and gas, maintaining the U.S. nuclear energy option, and increasing the efficiency with which we use energy and generate electricity. The Department also maintains the Strategic Petroleum Reserve and operates five Power Marketing Administrations that sell and distribute over \$3 billion of electric power generated at Federal hydroelectric plants. DOE is a national security enterprise. It is a key player in the Administration's furtherance of the Comprehensive Test Ban Treaty and its overall goal of reducing the global danger from nuclear weapons. It ensures the safety and reliability of the U.S. nuclear weapons stockpile without underground testing. At the same time, it manages and safely dismantles excess nuclear

materials, and ensures the security of vital departmental nuclear assets. It provides policy and technical assistance to curb global proliferation of weapons of mass destruction, emphasizing U.S. nonproliferation, arms control, and nuclear safety objectives in the states of the former Soviet union and worldwide. Further, it develops and ensures the safety and reliability of nuclear reactor plants to power U.S. Navy warships. DOE is an environmental remediation enterprise. It cleans up the 50-year environmental legacy left at the industrial complexes where nuclear weapons were designed and manufactured. It manages the problems associated with the large quantities of various types of radioactive wastes, surplus nuclear materials, and spent nuclear fuels that remain at the sites of the Nation's nuclear weapons facilities and at nuclear energy research and development sites. In addition, it must address the growing inventory of spent nuclear fuel from commercial nuclear reactors that is awaiting disposal. These wastes must be dealt with responsibly to ensure the safety and health of the public. DOE is a science and technology enterprise. At the center of all we do are our 27 laboratories, our additional scientific user facilities, and our researchers at the Nation's universities. These form the backbone of U.S. scientific leadership by conducting and facilitating breakthrough research in energy sciences and technology, high energy physics, global climate change, genomics, superconducting materials, accelerator technologies, environmental sciences, and super-computing in support of DOE's mission. The laboratories, described as the crown jewels of the Nation's science establishment, and the Department's funding of research at universities have resulted in 66 Nobel prize winners, including three in 1996.

The Department is also an investor in the Nation's most precious resource - its youth - by supporting science and mathematics education in our schools through grants, educational programs, and fellowships. DOE is global enterprise. The outcome of our work is the technology that stimulates the private market for the expansion of clean energy to meet national and global energy requirements of almost 500 quadrillion Btu's by the year 2010 - a staggering 36 percent increase over 1995. Overseas energy market needs include coal, nuclear power, oil and gas exploration, energy efficiency, and renewable energy technologies that are available for export now or that will soon be available for the international marketplace. DOE supports the export of U.S. energy services and technologies by assisting the nations in Asia, South America, Eastern Europe, Africa, and the states of the former Soviet Union in developing private markets for environmentally responsible, sustainable energy. These alliances support U.S. competitiveness in a global economy of growing energy infrastructure requirements and create jobs in the U.S. at all skill levels.

DEPARTMENT OF EDUCATION (84.001-84.999)

Administers programs designed to fund activities that assist students with limited English proficiency and minority languages populations by providing support for programs, activities, and management initiatives meeting the special educational needs of those populations.

Provides national leadership in expanding fundamental knowledge and improving education. The Office is responsible for conducting and supporting education-related research activities; monitoring the state of education through the collection and analysis of statistical data; promoting the use and application of research and development to improve instructional practices in the classroom; and disseminating these findings to States and local education entities.

The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid.

The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include assistance for the improvement and expansion of American educational

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resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities. The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program.

The Assistant Secretary for Vocational and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training.

The Secretary of Education advises the President on education plans, policies, and programs of the Federal government. The Secretary directs Department staff in carrying out the approved programs and activities of the Department and promotes general public understanding of the Department's goals, programs, and objectives. The Secretary also carries out certain Federal responsibilities for four federally aided corporations: The American Printing House of the Blind, Gallaudet University, Howard University, and the National Technical Institute for the Deaf.

Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf.

Each regional office serves as a center for the dissemination of information and provides technical assistance to State and local educational agencies and other institutions and individuals interested in Federal education activities. At present, offices are located in 10 cities: Atlanta, Boston, Chicago, Dallas, Denver, Kansas City, New York, Philadelphia, San Francisco, and Seattle.

Institute of Education Sciences (84.305, 84.324, 84.372, 84.384)

The Education Sciences Reform Act of 2002 established a new organization within the U.S. Department of Education, the Institute of Education Sciences. Our mission is to provide rigorous evidence on which to ground education practice and policy. By identifying what works, what doesn't, and why, we intend to improve the outcomes of education for all students, particularly those at risk of failure. The Institute funds hundreds of research studies on ways to improve academic achievement, conducts large-scale evaluations of federal education programs and reports a wide array of statistics on the condition of education such as the National Assessment of Educational Progress. We support the building of an infrastructure for evidence-based education through activities such as grants to states to develop longitudinal data systems. We disseminate evidence on education to the public and practitioners through the What Works

Clearinghouse and the ERIC education database, conferences, publications and products and 10 regional education laboratories and 10 national research and development centers.

Office of Educational Research and Improvement (84.287)

Provides national leadership in expanding fundamental knowledge and improving education. The Office is responsible for conducting and supporting education-related research activities; monitoring the state of education through the collection and analysis of statistical data; promoting the use and application of research and development to improve instructional practices in the classroom; and disseminating these findings to States and local education entities.

Office of Elementary and Secondary Education (84.004, 84.010-84.011, 84.013, 84.040-84.041, 84.060, 84.141, 84.144, 84.149, 84.184, 84.186, 84.196, 84.206, 84.213-84.214, 84.256, 84.258, 84.283, 84.293, 84.299, 84.310, 84.318, 84.330, 84.332, 84.356, 84.358-84.360, 84.362, 84.364-84.369, 84.371, 84.374, 84.377, 84.403, 84.410)

The Assistant Secretary for Elementary and Secondary Education formulates policy for, directs, and coordinates the activities relating to preschool, elementary, and secondary education. Included are programs of grants to State educational agencies and local school districts, postsecondary schools, and nonprofit organizations for State and local reform, compensatory, migrant, and Indian education; drug-free schools; other school improvement programs; and impact aid.

Office of Human Resources and Administration (84.145)

No Description Provided

Office of Innovation and Improvement (84.083, 84.165, 84.215, 84.282, 84.286, 84.295, 84.336, 84.350-84.351, 84.354, 84.361, 84.363, 84.370, 84.396)

The Office of Innovation and Improvement (OII) oversees competitive grant programs that support innovations in the educational system and disseminates the lessons learned from these innovative practices. OII also helps coordinate policies related to parental options and choice. OII administers, coordinates, and recommends programs and policy for improving the quality of activities designed to support and test innovations throughout the K12 system in areas such as parental choice, teacher quality, use of technology in education, and arts in education. OII encourages the establishment of charter schools through

Office of Postsecondary Education (84.015-84.019, 84.021-84.022, 84.031, 84.042, 84.044, 84.047, 84.066, 84.103, 84.116, 84.120, 84.153, 84.170, 84.185, 84.200, 84.217, 84.220, 84.229, 84.269, 84.274, 84.333-84.335, 84.337, 84.345, 84.375, 84.378, 84.381-84.383, 84.407)

The Assistant Secretary for Postsecondary Education formulates policy, directs, and coordinates programs for assistance to postsecondary educational institutions and students pursuing a postsecondary education. Programs include assistance for the improvement and expansion of American educational resources for international studies and services, grants to improve instruction in crucial academic subjects, and construction assistance for academic facilities.

Office of Safe and Drug-Free Schools (84.304)

The Office of Safe and Drug-Free Schools (OSDFS) administers, coordinates, and recommends policy for improving quality and excellence of programs and activities that are designed to: 1) Provide financial assistance for drug and

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violence prevention activities and activities that promote the health and well being of students in elementary and secondary schools, and institutions of higher education. Activities may be carried out by State and local educational agencies and by other public and private nonprofit organizations. 2) Participate in the formulation and development of ED program policy and legislative proposals and in overall Administration policies related to violence and drug prevention; drafting program regulations. 3) Participate in interagency committees, groups, and partnerships related to drug and violence prevention, coordinating with other Federal agencies on issues related to comprehensive school health, and advising the Secretary on the formulation of comprehensive school health education policy. 4) Participate with other Federal agencies in the development of a national research agenda for drug and violence prevention. 5) Administer the Department's programs relating to character and civics education.

Office of Special Education and Rehabilitative Services (84.027, 84.126, 84.128-84.129, 84.132-84.133, 84.160-84.161, 84.169, 84.173, 84.177, 84.181, 84.187, 84.224, 84.234-84.235, 84.240, 84.246, 84.250, 84.263-84.265, 84.275, 84.315, 84.323, 84.325-84.329, 84.343, 84.373, 84.380, 84.391-84.393, 84.406)

Provides leadership to ensure that people with disabilities have services, resources, and equal opportunities to learn, work, and live as fully integrated, contributing members of society. The Office coordinates the activities of the Office of Special Education Programs, which works to help States provide quality educational opportunities and early intervention services to help students with disabilities achieve their goals. Through the Rehabilitation Services Administration, the Office supports State vocational rehabilitation programs that give disabled people the education, job training, and job placement services they need to gain meaningful employment. Programs include support for training of teachers and other professional personnel; grants for research; financial aid to help States initiate, expand, and improve their resources; and media services and captioned films for the deaf.

Office of Student Financial Assistance Programs (84.007, 84.032-84.033, 84.037, 84.063, 84.069, 84.268, 84.376)

The Office is headed by the Department's Chief Operating Officer and is the Federal Government's first performance based organization. The Office directs and coordinates activities that provide financial assistance through grants and work and loan programs to students pursuing a postsecondary education. Federal student financial aid programs include Stafford Loans, Parent Loans for Undergraduate Students (PLUS), Supplemental Loans to Students (SLS), Federal Insured Student Loans (FISL), consolidated loans, Perkins Loans, income contingent loans, Pell Grants, College Work-Study, Supplemental Educational Opportunity Grants (SEOG), and State Student Incentives Grants (SSIG). The Office provides leadership and direction for the Department in meeting its goals of excellence in credit management and debt collection through the collection of defaulted loans under the Guaranteed Student Loan Program and the Law Enforcement Education Program, and the collection of overpayments in the Pell Grant Program and Supplemental Educational Opportunities Program.

Office of Vocational and Adult Education (84.002, 84.048, 84.051, 84.101, 84.191, 84.243, 84.245, 84.259, 84.331)

The Assistant Secretary for Vocational and Adult Education administers programs of grants, contracts, and technical assistance for vocational and technical education, and for adult education and literacy. The Office is also responsible for coordinating these programs with other Education Department and Federal programs supporting services and research for adult education, literacy, and occupational training.

BARRY GOLDWATER SCHOLARSHIP AND

EXCELLENCE IN EDUCATION FOUNDATION (85.200-85.299)

Established in 1983 to honor former Senator Barry Goldwater through the operation of an education scholarship program, financed by a permanent trust fund endowment, designed to encourage outstanding students to pursue careers in mathematics, the natural sciences, and engineering.

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION (85.100-85.199)

Established by Congress in 1992 to award fellowships to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind.

HARRY S TRUMAN SCHOLARSHIP FOUNDATION (85.1-85.99)

Awards college scholarships of up to \$5 thousand annually for 4 years to persons who demonstrate outstanding potential for and who are preparing to pursue a career in public service.

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION (85.500-85.599)

Fellowships are awarded that seek to strengthen secondary school teaching of the principles, framing, and development of the U.S. Constitution. The program works to contribute to a deeper understanding of American government and to foster in both teachers and students the spirit of civic participation that inspired the Nation's founders.

MILLENNIUM CHALLENGE CORPORATION (85.700-85.799)

The Millennium Challenge Corporation (MCC) forms partnerships with some of the world's poorest countries, but only those committed to: good governance, economic freedom, and investments in their citizens. MCC provides these well-performing countries with large-scale grants to fund country-led solutions for reducing poverty through sustainable economic growth. MCC grants complement other U.S. and international development programs.

MORRIS K. UDALL FOUNDATION (85.400-85.499)

Scholarships, internships, and fellowships are financed by a permanent trust fund endowment. Programs are designed to develop increased opportunities for Americans to prepare for and pursue careers related to the environment and for Native Americans and Alaska Natives to pursue careers in health care and tribal public policy.

SMITHSONIAN INSTITUTION (85.600-85.699)

The Smithsonian Institution is an independent trust instrumentality of the United States which comprises the world's largest museum and research complex. The Smithsonian includes 19 museums and galleries, the National Zoo, and research facilities in several States and the Republic of Panama. It holds more than 136 million artifacts and specimens in its trust for the American people. The Smithsonian is dedicated to public education, national service, and scholarship in the arts, science, history, and culture. The Smithsonian Institution was created by an act of Congress on August 10, 1846 (20 U.S.C. 41 et seq.), to carry out the

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terms of the will of British scientist James Smithson (1765-1829), who in 1826 had bequeathed his entire estate to the United States to found at Washington, under the name of the Smithsonian Institution, an establishment for increase and diffusion of knowledge among men. On July 1, 1836, Congress accepted the legacy and pledged the faith of the United States to the charitable trust. In September 1838, Smithson's legacy, which amounted to more than 100,000 gold sovereigns, was delivered to the mint at Philadelphia. Congress vested responsibility for administering the trust in the Secretary of the Smithsonian and the Smithsonian Board of Regents, composed of the Chief Justice, the Vice President, three Members of the Senate, three Members of the House of Representatives, and nine citizen members appointed by joint resolution of Congress.

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS (85.300-85.399)

Established by Congress in 1968 to honor the legacy and ideals of Woodrow Wilson by promoting research and dialogue on issues that link the world of scholarship and the world of public affairs. The Center achieves this mission through sustaining a community of scholars in Washington, and through sponsoring a regular program of meetings on the humanities and international affairs. The Center welcomes scholars of every nationality and from a wide variety of backgrounds including government, the corporate world, the professions, and academe.

PENSION BENEFIT GUARANTY CORPORATION (86.001-86.999)

The Pension Benefit Guaranty Corporation guarantees payment of nonforfeitable pension benefits in covered, private-sector-defined benefit pension plans. Title IV of the Employee Retirement Income Security Act of 1974 (29 U.S.C. 1301 et seq.) provides for coverage of most private-sector-defined benefit pension plans that provide a benefit based on factors such as age, years of service, and average or highest salary. The Corporation administers two benefit insurance programs separately covering single-employer and multi-employer plans. Nearly 40 million workers participate in more than 112,000 covered plans. Single-Employer Insurance: Under the single-employer program, the Corporation guarantees payment of a covered plan's basic benefits if that plan terminates without sufficient assets to pay those guaranteed benefits. Multi-employer Insurance: Under Title IV of the Act, as originally enacted, the Corporation guaranteed nonforfeitable benefits for multi-employer plans in a similar fashion as for single-employer plans. However, the payment of guaranteed benefits was at the agency's discretion under the provisions of the law that remained in force until August 1, 1980. The Multi-employer Pension Plan Amendments Act of 1980 (29 U.S.C. 1001) revised the law applicable to multi-employer pension plans by changing the insurable event from plan termination to plan insolvency. In accordance with the Act, the Corporation provides financial assistance to plans that are unable to pay basic benefits. The plans are obligated to repay such assistance. The act also made employers withdrawing from a plan liable to the plan for a portion of its unfunded vested benefits. Premium Collections: All defined benefit pension plans covered by Title IV of Employee Retirement Income Security Act are required to pay premiums under prescribed rates to the Corporation.

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD (88.001-88.999)

Ensures compliance to Federal laws requiring accessibility for physically handicapped persons in certain federally funded buildings and facilities throughout the Nation. This includes setting guidelines and requirements for accessibility standards prescribed by Federal agencies, providing technical assistance to organizations agencies and individuals requesting help in solving

accessible design and construction problems, and conducting research to determine appropriate specifications for accessibility.

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (89.001-89.999)

Establishes policies and procedures for managing the records of the United States Government. NARA assists Federal agencies in adequately documenting their activities, administering their records management programs, scheduling their records, and retiring their noncurrent records to Federal Records Centers. The mission of the National Archives and Records Administration is to ensure, for the Citizen and the public servant, for the President and the Congress and the Courts, ready access to essential evidence. Managing the Presidential Libraries system, assisting the National Historical Publications and Records Commission in its grant program for State and local records and edited publications of prominent Americans, and publishing the laws, regulations, Presidential, and other public documents are also key functions of the National Archives and Records Administration.

BROADCASTING BOARD OF GOVERNORS (90.500-90.599)

The Broadcasting Board of Governors (BBG) encompasses all U.S. civilian international broadcasting, including the Voice of America (VOA), Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia (RFA), Radio and TV Marti, and the Middle East Broadcasting Networks (MBN) -- Radio Sawa and Alhurra Television. BBG broadcasters distribute programming in 59 languages to an estimated weekly audience of 171 million people via radio, TV, the Internet and other news media. The BBG works to serve as an example of a free and professional press, reaching a worldwide audience with new, information, and relevant discussions.

DELTA REGIONAL AUTHORITY (90.200-90.299)

To assist the eight-state, 240-county Mississippi Delta region in obtaining the transportation and basic public infrastructure, skills training, and opportunities for economic development essential to strong local economies. The DRA was created as a Federal-State partnership. DRA will focus on: basic public infrastructure in distressed counties and isolated area of distress; transportation infrastructure facilitating the economic development of the region; business development; and job training or employment-related education.

DENALI COMMISSION (90.100-90.199)

Created by Congress in 1998, the Denali Commission is the Federal government and the State of Alaska working together to assist the most remote of American citizens to help themselves move closer to economic self-sufficiency, while preserving ancient cultural values and new opportunities to people living in American's last frontier. With the creation of the Denali Commission, Congress acknowledged the need for increased inter-agency cooperation and focus on these remote communities. This Federal-State partnership is intended to promote rural development, provide power generation and transmission facilities, modern communication systems, water and sewer systems and other infrastructure needs.

JAPAN U.S. FRIENDSHIP COMMISSION (90.300-90.399)

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship

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Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

U.S. ELECTION ASSISTANCE COMMISSION (90.400-90.499)

The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. According to the text of HAVA, the law was enacted to, establish a program to provide funds to States to replace punch card voting systems, to establish the Election Assistance Commission to assist in the administration of Federal elections and to otherwise provide assistance with the administration of certain Federal election laws and programs, to establish minimum election administration standards for States and units of local government with responsibility for the administration of Federal elections, and for other purposes. Excerpt from The Help America Vote Act of 2002 The Help America Vote Act of 2002 (HAVA) requires the Election Assistance Commission (EAC) to: Generate technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Otherwise provide information and guidance with respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year.

About the U.S. Election Assistance Commission. The U.S. Election Assistance Commission (EAC) was established by the Help America Vote Act of 2002 (HAVA). Central to its role, the Commission serves as a national clearinghouse and resource for information and review of procedures with respect to the administration of Federal elections. HAVA requires the EAC to: Develop technical guidance on the administration of federal elections. Produce voluntary voting systems guidelines. Research and report on matters that affect the administration of federal elections. Provide information and guidance with respect to laws, procedures, and technologies affecting the administration of Federal elections. Administer payments to States to meet HAVA requirements. Provide grants for election technology development and for pilot programs to test election technology. Manage funds targeted to certain programs designed to encourage youth participation in elections. Develop a national program for the testing, certification, and decertification of voting systems. Maintain the national mail voter registration form that was developed in accordance with the National Voter Registration Act of 1993 (NVRA), report to Congress every two years on the impact of the NVRA on the administration of federal elections, and provide information to States on their responsibilities under that law. Audit persons who received federal funds authorized by HAVA from the General Services Administration or the Election Assistance Commission. Submit an annual report to Congress describing EAC activities for the previous fiscal year. For additional information, visit the U.S. Election Assistance Commission online at

www.eac.gov.

UNITED STATES INSTITUTE OF PEACE (91.001-91.999)

The United States Institute of Peace was established as an independent, Federal, nonprofit corporation by act of October 19, 1984 (22 U.S.C. 4603). It was established to strengthen the Nation's capacity to promote international peace and the peaceful resolution of conflicts among the peoples and nations of the world. The purpose of the Institute is to develop and disseminate knowledge about the peaceful resolution of international conflict. To accomplish this the Institute has set the following goals: To provide creative practical insights through research, education, and training on negotiation, mediation, and other skills to those actively engaged in resolving international conflicts; to expand the body of knowledge about the nature and processes of peace, war, and international conflict management; and to disseminate information to the public about these subjects. Among the Institute's instruments are grants, fellowships, a library, and in-house projects. The grants program provides financial support to nonprofit organizations, including private colleges and universities; official public institutions, including public schools, colleges, universities, libraries, and Federal, State, and local agencies; and individuals, whether or not they are associated with nonprofit or official public institutions. The Jennings Randolph Program for International Peace offers senior fellowships annually to practitioners or scholars in the U.S. and around the world who join the Institute for about ten months to work on projects concerning the sources and nature of international conflict and ways of managing conflict and sustaining peace. The program also awards Peace Scholar Dissertation Fellowships annually to outstanding doctoral students enrolled in an accredited university in the U.S. who are researching or writing a dissertation that promises to contribute knowledge relevant to the formulation of policy on international peace and conflict issues. The Jeannette Rankin Library Program is developing four main components on international peacemaking: a specialized research library; a network with and support for other libraries, both specialized and public; an oral history resource; and bibliographic as well as other data bases. Institute-directed projects under the Education and Public Information Program include an educational TV program and cassette programs on such topics as U.S.-Soviet summitry and a National Peace Essay Contest for high school students. The in-house Research and Studies is completing a broad, systematic examination of peace and conflict management theories and features workshops and seminars. Institute publications include biennial report to Congress and the President; a newsletter, The United States Institute of Peace Journal; and short issue papers, in brief. For more information please visit the Institute's web sit at www.usip.org.

DEPARTMENT OF HEALTH AND HUMAN SERVICES (93.001-93.999)

The Secretary of HHS advises the President on health, welfare, and income security plans, policies, and programs of the Federal government. The Secretary administers these functions through the Office of the Secretary and the Department's 11 operating divisions, including a budget of \$460 billion and a workforce of 65,000 employees. Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments. Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention. Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes. Administers the Medicare and Medicaid programs, that provide health care to America's aged and indigenous

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populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

Administration for Children and Families (93.009-93.010, 93.086-93.087, 93.090-93.093, 93.235, 93.254, 93.508, 93.550-93.551, 93.556-93.558, 93.560, 93.563-93.564, 93.566-93.570, 93.575-93.576, 93.579, 93.581, 93.583-93.584, 93.586-93.587, 93.590-93.605, 93.612-93.613, 93.616-93.618, 93.623, 93.630-93.632, 93.643, 93.645, 93.647-93.648, 93.652, 93.658-93.659, 93.667, 93.669-93.671, 93.674, 93.676, 93.708-93.711, 93.713-93.714, 93.716)

Provides services and assistance for 60 programs to needy children and families, administers the new State-Federal welfare program, Temporary Assistance to Needy Families, administers the national child support enforcement system, and the Head Start program, provides funds to assist low-income families in paying for child care, and supports State programs to provide for foster care and adoption assistance.

Administration on Aging (93.041-93.045, 93.047-93.048, 93.051-93.054, 93.071-93.072, 93.517-93.518, 93.705-93.707, 93.725)

Supports a nationwide aging network, providing services to the elderly, especially to enable them to remain independent. AOA supports some 240 million meals for the elderly each year, including home-delivered "meals on wheels," helps provide transportation and at-home services, supports ombudsman services for elderly, and provides policy leadership on aging issues.

Agency for Healthcare Research and Quality (93.225-93.226, 93.715)

Supports cross-cutting research on health care systems, health care quality and cost issues, and effectiveness of medical treatments.

Agency for Toxic Substances and Disease Registry (93.161, 93.202, 93.204, 93.206, 93.240)

Works with States and other Federal agencies to prevent exposure to hazardous substances from waste sites. The agency conducts public health assessments, health studies, surveillance activities, and health education training in communities around waste sites on the U.S. Environmental Protection Agency's National Priorities List.

Centers for Disease Control and Prevention (93.061-93.066, 93.068-93.070, 93.073, 93.116, 93.118, 93.135-93.136, 93.184-93.185, 93.197, 93.262, 93.268-93.270, 93.283, 93.338, 93.507, 93.520-93.521, 93.523-93.524, 93.712, 93.717, 93.723-93.724, 93.729-93.730, 93.919, 93.938-93.947, 93.977-93.978, 93.988, 93.991, 93.993)

Provides a system of health surveillance to monitor and prevent the outbreak of diseases. With the assistance of States and other partners, CDC guards against international disease transmission, maintains national health statistics and provides for immunization services, and supports research into disease and injury prevention.

Centers for Medicare and Medicaid Services (93.506, 93.720, 93.760, 93.767-93.770, 93.773-93.775, 93.777-93.780, 93.784,

93.789-93.791, 93.793)

Administers the Medicare and Medicaid programs, that provide health care to America's aged and indigenous populations. About one in every four Americans, including nearly 18 million children and nursing homes for low-income elderly persons are covered. CMS also administers the new Children's Health Insurance Program through approved State plans that cover more than 2.2 million children.

Food and Drug Administration (93.103, 93.448)

Assures the safety of foods and cosmetics, and the safety and efficacy of pharmaceuticals, biological products, and medical devices.

Health Resources and Services Administration (93.107, 93.110, 93.117, 93.124, 93.127, 93.129-93.130, 93.134, 93.145, 93.153, 93.155-93.157, 93.162, 93.165, 93.178, 93.186, 93.189, 93.191, 93.211-93.212, 93.223-93.224, 93.234, 93.236, 93.241, 93.247, 93.249-93.251, 93.253, 93.255-93.257, 93.259, 93.264-93.267, 93.288, 93.300-93.301, 93.303, 93.342, 93.358-93.359, 93.364-93.365, 93.400-93.412, 93.414-93.420, 93.440, 93.501-93.505, 93.509-93.510, 93.512-93.516, 93.522, 93.526-93.527, 93.703, 93.822, 93.824, 93.884, 93.887-93.888, 93.908, 93.912-93.914, 93.917-93.918, 93.923-93.926, 93.928, 93.932, 93.964-93.965, 93.969, 93.994)

Helps provide health resources for medically underserved populations. HRSA Supports a nationwide network of 643 community and migrant health centers, and 144 primary care programs for the homeless and residents of public housing, serving 8.1 million Americans each year. HRSA also works to build the health care workforce and maintains the National Health Service Corps oversees, the Nation's organ transplantation system, works to decrease infant mortality and improve child health, and provides services to people with AIDS through the Ryan White CARE Act programs.

Indian Health Service (93.123, 93.164, 93.193, 93.210, 93.228, 93.231, 93.237, 93.284, 93.441-93.445, 93.722, 93.933, 93.954, 93.970-93.972)

Supports a network of 37 hospitals, 60 health centers, 3 school health centers, 46 health stations and 34 urban Indian health centers to provide services to nearly 1.5 million American Indians and Alaska Natives of 557 federally recognized tribes.

National Institutes of Health (93.113, 93.121, 93.140, 93.142-93.143, 93.172-93.173, 93.187, 93.209, 93.213, 93.220, 93.232-93.233, 93.242, 93.273, 93.279-93.282, 93.285-93.286, 93.307-93.308, 93.310, 93.361, 93.389, 93.393-93.399, 93.701-93.702, 93.704, 93.837-93.839, 93.846-93.847, 93.853, 93.855-93.856, 93.859, 93.865-93.867, 93.879, 93.936, 93.989)

Works with 17 separate institutes, serves as the world's premier medical research organization, supporting some 35,000 research projects nationwide in diseases like cancer, Alzheimer's, diabetes, arthritis, heart ailments and AIDS.

Office of Disease Prevention and Health Promotion (93.990)

No Description Provided

Office of Minority Health (93.004, 93.006, 93.105, 93.137, 93.910)

No Description Provided

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Office of Population Affairs (93.111, 93.217, 93.260, 93.974, 93.995)

No Description Provided

Office of the Secretary (93.001, 93.007-93.008, 93.015, 93.017-93.019, 93.088-93.089, 93.290, 93.295-93.297, 93.360, 93.451-93.453, 93.500, 93.511, 93.519, 93.525, 93.718-93.719, 93.721, 93.726-93.728, 93.731, 93.889)

The Department of Health and Human Services (HHS) is the United States government's principal agency for protecting the health of all Americans and providing essential human services, especially for those who are least able to help themselves. The work of HHS is conducted by the Office of the Secretary and 11 agencies. The agencies perform a wide variety of tasks and services, including research, public health, food and drug safety, grants and other funding, health insurance, and many others. The subdivisions of the Office of the Secretary provide direct support for the Secretary's initiatives.

President's Council on Physical Fitness and Sports (93.289)

The President's Council on Physical Fitness and Sports is an advisory committee of volunteer citizens who advise the President through the Secretary of Health and Human Services about physical activity, fitness, and sports in America. Through its programs and partnerships with the public, private and non-profit sectors, the Council serves as a catalyst to promote health, physical activity, fitness, and enjoyment for people of all ages, backgrounds and abilities through participation in physical activity and sports. The twenty Council members are appointed and serve at the pleasure of the President.

Program Support Center (93.291)

A service-for-fee organization, utilizes a pioneering business enterprise approach to provide government support services throughout HHS as well as other Departments and Federal agencies. Administrative operations, financial management and human resources are solution-and customer-oriented, state-of-the-art and highly responsive to customer needs.

Substance Abuse and Mental Health Services Administration (93.104, 93.138, 93.150, 93.243, 93.275-93.276, 93.958-93.959, 93.975, 93.982)

Works to improve the quality and availability of substance abuse prevention, addiction treatment, and mental health services.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE (94.001-94.999)

The Corporation for National Service is a federal agency working in partnership with state and local governments and non-profit organizations. The Corporation administers the AmeriCorps national service program, which includes national and state grant programs, AmeriCorps*VISTA and the AmeriCorps*National Civilian Community Corps; the National Senior Service Corps (Foster Grandparents, Senior Companions and the Retired and Senior Volunteer Program); and the Learn and Serve America service-learning program. The Corporation's mission is to engage Americans of all backgrounds in community-based service. This service addresses the nation's education, public safety, human and environmental needs to achieve direct and demonstrable results. In doing so, the Corporation fosters civic responsibility, encourages community teamwork, and provides educational opportunity for those who make a substantial commitment to service.

EXECUTIVE OFFICE OF THE PRESIDENT (95.001-95.999)

SOCIAL SECURITY ADMINISTRATION (96.001-96.999)

On March 31, 1995, the Social Security Administration (SSA) became an independent agency. SSA administers a national program of contributory social insurance. Employees, employers, and the self-employed pay contributions which are pooled in special trust funds. When earnings cease or are reduced because the worker retires, dies, or becomes disabled, monthly cash benefits are paid to replace part of the earnings the family has lost. Part of the workers contribution goes into a separate hospital insurance trust fund. This fund helps disabled workers, retirees, and their dependents with their hospital bills. They may also elect to receive assistance with medical expenses. This is done by the workers paying a percentage of supplementary medical insurance premiums. The Federal government pays the balance. Together, these two programs are often referred to as "Medicare." Medicare protection is also provided, under certain conditions, to Railroad Retirement beneficiaries based on a disability. The principal functions of SSA include, but are not limited to; research and recommendations oriented to the problems of poverty; health care for the aged, blind, and disabled; long-range planning, design, and development of SSA administrative plans; data processing systems used in establishing and maintaining records essential to its' various programs; statistical measurement and systematic evaluation of its' programs; policy guidance for the administration of the OASDI and SSI programs; and development of programs and materials to assure that Congress, Federal and State agencies, and the general public have an adequate understanding of the protections, rights, and responsibilities under SSA administered programs. In addition, SSA, through a world-wide organization of ten regional offices, six program service centers, and over 1,300 field offices, guides and directs all aspects of the cash benefit program operations of SSA

DEPARTMENT OF HOMELAND SECURITY (97.001-97.999)

On January 23, 2002, the Department of Homeland Security (DHS) was created through the Homeland Security Act of 2002. The Act provides grants award authority for DHS to award grants, cooperative agreements and other assistance to non-Federal entities in order to assist them with homeland security and disaster preparedness, security, migration and recovery measures. The primary mission of the Department is to prevent terrorist attacks within the United States; reduce the vulnerability of the United States to terrorism; minimize damage, and assist in the recovery from terrorist attacks that do occur within the United States; carry out all the functions of entities transferred to the Department, including by acting as focal point regarding natural and manmade crises and emergency planning; ensure that the function of the agencies and subdivisions within the Department that are not related directly to securing the homeland are not diminished or neglected except by a specific explicit Act of Congress; and monitor connection between illegal drug trafficking and terrorism, coordinate efforts to serve such connections, and otherwise contribute to efforts to interdict illegal drug trafficking.

AGENCY FOR INTERNATIONAL DEVELOPMENT (98.001-98.999)

To promote transformational development in less-developed and selected middle-income countries. Transformational development brings far-reaching, fundamental changes in governance and institutional capacity, human capacity, and economic structure. Such development helps a country sustain further

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economic and social progress without depending on foreign aid. The goal of achieving transformational development pertains to stable developing countries which have significant need for concessional assistance and are committed to promoting economic freedom, ruling justly, and investing in people.

AGENCY PROGRAM INDEX

This index provides a program list by agency showing the CFDA number and program title. It also distinguishes between programs that provide financial assistance and those that provide non-financial assistance in the form of services and technical assistance. The letter(s) in parentheses following the program title indicates the type of assistance available through that program. The following letter codes are used:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Program Description	Financial	Non-Financial
DEPARTMENT OF AGRICULTURE		
AGRICULTURAL MARKETING SERVICE		
10.153 Market News (L)		X
10.155 Marketing Agreements and Orders (J,K)		X
10.156 Federal-State Marketing Improvement Program (B)	X	
10.162 Inspection Grading and Standardization (J)		X
10.163 Market Protection and Promotion (J,K,M)		X
10.164 Wholesale Farmers and Alternative Market Development (K,M)		X
10.165 Perishable Agricultural Commodities Act (N)		X
10.167 Transportation Services (K,M)		X
10.168 Farmers' Market Promotion Program (B)	X	
10.169 Specialty Crop Block Grant Program (B)	X	
10.170 Specialty Crop Block Grant Program - Farm Bill (B)	X	
AGRICULTURAL RESEARCH SERVICE		
10.001 Agricultural Research_Basic and Applied Research (B)	X	
10.700 National Agricultural Library (L)		X
ANIMAL AND PLANT HEALTH INSPECTION SERVICE		
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)	X	
10.028 Wildlife Services (B)	X	
DEPARTMENTAL MANAGEMENT		
10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)	X	
ECONOMIC RESEARCH SERVICE		
10.256 Consumer Data Initiative (CDI) (L)		X
FARM SERVICE AGENCY		
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X	
10.053 Dairy Indemnity Program (D)	X	
10.054 Emergency Conservation Program (C)	X	
10.055 Direct and Counter-cyclical Payments Program (C)	X	
10.056 Farm Storage Facility Loans (E)	X	
10.069 Conservation Reserve Program (C)	X	
10.085 Tobacco Transition Payment Program (D)	X	
10.088 Livestock Indemnity Program (C)	X	

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Program Description	Financial	Non-Financial
10.090 Supplemental Revenue Assistance Program (C)	X	
10.092 Tree Assistance Program (D)	X	
10.093 Voluntary Public Access and Habitat Incentive Program (A)	X	
10.099 Conservation Loans (E,F)	X	
10.404 Emergency Loans (E)	X	
10.406 Farm Operating Loans (E,F)	X	
10.407 Farm Ownership Loans (E,F)	X	
10.435 State Mediation Grants (B)	X	
10.437 Interest Assistance Program (F)	X	
10.449 Boll Weevil Eradication Loan Program (E)	X	
10.451 Noninsured Assistance (D)	X	
FOOD AND NUTRITION SERVICE		
10.551 Supplemental Nutrition Assistance Program (C)	X	
10.553 School Breakfast Program (A)	X	
10.555 National School Lunch Program (A)	X	
10.556 Special Milk Program for Children (A)	X	
10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)	X	
10.558 Child and Adult Care Food Program (A)	X	
10.559 Summer Food Service Program for Children (A)	X	
10.560 State Administrative Expenses for Child Nutrition (A)	X	
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)	X	
10.565 Commodity Supplemental Food Program (A,H)	X	
10.566 Nutrition Assistance For Puerto Rico (C)	X	
10.567 Food Distribution Program on Indian Reservations (B,H)	X	
10.568 Emergency Food Assistance Program (Administrative Costs) (A,B)	X	
10.569 Emergency Food Assistance Program (Food Commodities) (A)	X	
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)	X	
10.574 Team Nutrition Grants (B)	X	
10.576 Senior Farmers Market Nutrition Program (B)	X	
10.578 WIC Grants To States (WGS) (B)	X	
10.579 Child Nutrition Discretionary Grants Limited Availability (B)	X	
10.580 Supplemental Nutrition Assistance Program, Outreach/Participation Program (B)	X	
10.582 Fresh Fruit and Vegetable Program (B)	X	
10.583 Hunger Free Communities (B)	X	
10.585 FNS Food Safety Grants (B)	X	
FOOD SAFETY AND INSPECTION SERVICE		
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)	X	
10.477 Meat, Poultry, and Egg Products Inspection (J)		X
10.479 Food Safety Cooperative Agreements (B)	X	
FOREIGN AGRICULTURAL SERVICE		
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (C)	X	
10.961 Scientific Cooperation and Research (B,C,M)	X	
10.962 Cochran Fellowship Program-International Training-Foreign Participant (C)	X	
FOREST SERVICE		
10.652 Forestry Research (B)	X	
10.664 Cooperative Forestry Assistance (A,B)	X	
10.665 Schools and Roads - Grants to States (A)	X	
10.666 Schools and Roads - Grants to Counties (A)	X	
10.672 Rural Development, Forestry, and Communities (B)	X	
10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)	X	
10.675 Urban and Community Forestry Program (B)	X	
10.676 Forest Legacy Program (B)	X	
10.678 Forest Stewardship Program (B)	X	
10.679 Collaborative Forest Restoration (B)	X	

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Program Description	Financial	Non-Financial
10.680 Forest Health Protection (A,B)	X	
10.681 Wood Education and Resource Center (WERC) (B)	X	
10.682 National Forest Foundation (C)	X	
10.683 National Fish and Wildlife Foundation (C)	X	
10.684 International Forestry Programs (B)	X	
10.687 Recovery Act of 2009: Capital Improvement and Maintenance (B)	X	
10.688 Recovery Act of 2009: Wildland Fire Management (B)	X	
10.689 Community Forest and Open Space Conservation Program (CFP) (B)	X	
10.690 Lake Tahoe Erosion Control Grant Program (B)	X	
10.691 Good Neighbor Authority (B)	X	
10.693 Watershed Restoration and Enhancement Agreement Authority (B)	X	
10.694 Southwest Forest Health and Wildfire Prevention (C)	X	
10.695 Eastern Nevada Landscape Restoration Project (ENLRP) (B)	X	
NATIONAL INSTITUTE OF FOOD AND AGRICULTURE		
10.200 Grants for Agricultural Research, Special Research Grants (B)	X	
10.202 Cooperative Forestry Research (A)	X	
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)	X	
10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)	X	
10.206 Grants for Agricultural Research_Competitive Research Grants (B)	X	
10.207 Animal Health and Disease Research (A)	X	
10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants (B)	X	
10.212 Small Business Innovation Research (B)	X	
10.215 Sustainable Agriculture Research and Education (B)	X	
10.216 1890 Institution Capacity Building Grants (B)	X	
10.217 Higher Education Challenge Grants (B)	X	
10.219 Biotechnology Risk Assessment Research (B)	X	
10.220 Higher Education Multicultural Scholars Program (B)	X	
10.221 Tribal Colleges Education Equity Grants (B)	X	
10.222 Tribal Colleges Endowment Program (A)	X	
10.223 Hispanic Serving Institutions Education Grants (B)	X	
10.225 Community Food Projects (B)	X	
10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)	X	
10.227 1994 Institutions Research Program (B)	X	
10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)	X	
10.303 Integrated Programs (B)	X	
10.304 Homeland Security_Agricultural (B)	X	
10.305 International Science and Education Grants (B)	X	
10.306 Biodiesel (B)	X	
10.307 Organic Agriculture Research and Extension Initiative (B)	X	
10.308 Resident Instruction Grants for Insular Area Activities (B)	X	
10.309 Specialty Crop Research Initiative (B)	X	
10.310 Agriculture and Food Research Initiative (AFRI) (B)	X	
10.311 Beginning Farmer and Rancher Development Program (B)	X	
10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)	X	
10.313 Veterinary Medicine Loan Repayment Program (C)	X	
10.314 New ERA Rural Technology Competitive Grants Program (B)	X	
10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (B)	X	
10.316 Healthy Urban Food Enterprise Development Center (HUFED) (B)	X	
10.317 Food Aid Nutrition Enhancement Program (B)	X	
10.318 Women and Minorities in Science, Technology, Engineering, and (B)	X	
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)	X	
10.320 Sun Grant Program (B)	X	
10.500 Cooperative Extension Service (A,B)	X	
NATURAL RESOURCES CONSERVATION SERVICE		
10.072 Wetlands Reserve Program (C)	X	
10.901 Resource Conservation and Development (K)		X

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.902 Soil and Water Conservation (K)		X
10.903 Soil Survey (L)		X
10.904 Watershed Protection and Flood Prevention (K)		X
10.905 Plant Materials for Conservation (J)		X
10.907 Snow Survey and Water Supply Forecasting (L)		X
10.912 Environmental Quality Incentives Program (C)	X	
10.913 Farm and Ranch Lands Protection Program (C)	X	
10.914 Wildlife Habitat Incentive Program (C)	X	
10.916 Watershed Rehabilitation Program (K)		X
10.917 Agricultural Management Assistance (C)	X	
10.920 Grassland Reserve Program (C)	X	
10.921 Conservation Security Program (C)	X	
10.922 Healthy Forests Reserve Program (HFRP) (C)	X	
10.923 Emergency Watershed Protection Program (B)	X	
RISK MANAGEMENT AGENCY		
10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)	X	
RURAL BUSINESS-COOPERATIVE SERVICE		
10.865 Biorefinery Assistance (F)	X	
RURAL DEVELOPMENT		
10.352 Value-Added Producer Grants (B)	X	
10.405 Farm Labor Housing Loans and Grants (B,F)	X	
10.410 Very Low to Moderate Income Housing Loans (E,F)	X	
10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans (E)	X	
10.415 Rural Rental Housing Loans (B,E)	X	
10.417 Very Low-Income Housing Repair Loans and Grants (B,E)	X	
10.420 Rural Self-Help Housing Technical Assistance (B)	X	
10.433 Rural Housing Preservation Grants (B)	X	
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)	X	
10.441 Technical and Supervisory Assistance Grants (B)	X	
10.442 Housing Application Packaging Grants (B)	X	
10.444 Direct Housing_Natural Disaster Loans and Grants (B,E)	X	
10.445 Direct Housing_Natural Disaster (E)	X	
10.446 Rural Community Development Initiative (B)	X	
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	X	
10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (B)	X	
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)	X	
10.761 Technical Assistance and Training Grants (B)	X	
10.762 Solid Waste Management Grants (B)	X	
10.763 Emergency Community Water Assistance Grants (B)	X	
10.766 Community Facilities Loans and Grants (B,E,F)	X	
10.767 Intermediary Relending Program (E)	X	
10.768 Business and Industry Loans (F)	X	
10.769 Rural Business Enterprise Grants (B)	X	
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)	X	
10.771 Rural Cooperative Development Grants (B)	X	
10.773 Rural Business Opportunity Grants (B)	X	
10.780 Community Facilities Loans and Grants (B,E)	X	
10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)	X	
10.788 Very Low to Moderate Income Housing Loans - Direct (E,F)	X	
10.789 Very Low to Moderate Income Housing Loans - Guaranteed (E,F)	X	
10.850 Rural Electrification Loans and Loan Guarantees (E)	X	
10.851 Rural Telephone Loans and Loan Guarantees (E,F)	X	
10.854 Rural Economic Development Loans and Grants (B,E)	X	
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)	X	
10.857 State Bulk Fuel Revolving Fund Grants (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
10.858 Denali Commission Grants and Loans (B,E)	X	
10.859 Assistance to High Energy Cost Rural Communities (B,E)	X	
10.861 Public Television Station Digital Transition Grant Program (B)	X	
10.863 Community Connect Grant Program (B)	X	
10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)	X	
10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)	X	
RURAL HOUSING SERVICE		
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)	X	
RURAL UTILITIES SERVICE		
10.787 Broadband Initiatives Program (B,E)	X	
10.862 Household Water Well System Grant Program (B)	X	
THE OFFICE OF THE CHIEF ECONOMIST		
10.290 Agricultural Market and Economic Research (B)	X	
DEPARTMENT OF COMMERCE		
11.802 Minority Business Resource Development (B)	X	
ECONOMIC DEVELOPMENT ADMINISTRATION		
11.010 Community Trade Adjustment Assistance (B)	X	
11.313 Trade Adjustment Assistance for Firms (B)	X	
MINORITY BUSINESS DEVELOPMENT AGENCY		
11.805 MBDA Business Center (B)	X	
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA)		
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)	X	
11.482 Coral Reef Conservation Program (B)	X	
NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION		
11.550 Public Telecommunications Facilities Planning and Construction (B)	X	
11.553 Special Projects (B)	X	
11.557 Broadband Technology Opportunities Program (BTOP) (B)	X	
11.558 State Broadband Data and Development Grant Program (B)	X	
11.559 Low-Power Television and Translator Upgrade Program (B)	X	
DEPARTMENT OF DEFENSE		
DEFENSE LOGISTICS AGENCY		
12.357 ROTC Language and Culture Training Grants (B)	X	
DEPARTMENT OF THE ARMY, OFFICE OF THE CHIEF OF ENGINEERS		
12.117 ARRA Cooperative Agreements, New Mexico (B)	X	
12.118 North Dakota Environmental Infrastructure (Section 594) - ARRA (B)	X	
12.119 Northern Wisconsin Environmental Infrastructure (Section 154) - ARRA (B)	X	
12.120 Northeastern Minnesota Environmental Infrastructure (Section 569) - ARRA (B)	X	
12.121 Montana, Nevada, New Mexico, Utah, Idaho, & Wyoming Environmental Infrastructure (Section 595) - ARRA (B)	X	
12.122 Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota - ARRA (B)	X	
12.123 South Central Pennsylvania Environmental Infrastructure (Section 313) - ARRA (B)	X	
12.124 Mississippi Environmental Infrastructure (Section 592) - ARRA (B)	X	
12.125 Southern WV Environmental Infrastructure (Section 340) - ARRA (B)	X	
12.126 Central WV Environmental Infrastructure (Section 571) - ARRA (B)	X	
12.127 Southern and Eastern KY Environmental Infrastructure (Section 531) - ARRA (B)	X	
12.128 Florida Keys Water Quality Improvement Program (Section 109) - ARRA (B)	X	
12.129 Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota (B)	X	

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Program Description	Financial	Non-Financial
NATIONAL GUARD BUREAU		
12.404 National Guard ChalleNge Program (A)	X	
OFFICE OF THE SECRETARY OF DEFENSE		
12.599 Congressionally Directed Assistance (B)	X	
12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)	X	
12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (B)	X	
U.S. ARMY MATERIEL COMMAND		
12.431 Basic Scientific Research (B)	X	
12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation (B)	X	
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT		
14.703 Sustainable Communities Regional Planning Grant Program (B)	X	
14.704 Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (B)	X	
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT		
14.218 Community Development Block Grants/Entitlement Grants (A)	X	
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)	X	
14.227 Community Development Block Grants/Technical Assistance Program (B)	X	
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)	X	
14.231 Emergency Shelter Grants Program (A)	X	
14.235 Supportive Housing Program (B,C)	X	
14.238 Shelter Plus Care (B)	X	
14.239 Home Investment Partnerships Program (A)	X	
14.241 Housing Opportunities for Persons with AIDS (A,B)	X	
14.246 Community Development Block Grants/Brownfields Economic Development Initiative (B)	X	
14.247 Self-Help Homeownership Opportunity Program (B)	X	
14.248 Community Development Block Grants_Section 108 Loan Guarantees (F)	X	
14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)	X	
14.251 Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (B)	X	
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)	X	
14.259 CPD's Transformation Initiative Technical Assistance (B)	X	
14.260 Veterans Homelessness Prevention Demonstration Program (B)	X	
14.261 Homeless Management Information Systems Technical Assistance (B)	X	
14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance (B)	X	
14.263 Rural Innovation Fund (B)	X	
OFFICE OF FAIR HOUSING AND EQUAL OPPORTUNITY		
14.400 Equal Opportunity in Housing (N)		X
14.401 Fair Housing Assistance Program_State and Local (B)	X	
14.408 Fair Housing Initiatives Program (B)	X	
14.416 Education and Outreach Initiatives (B)	X	
14.417 Fair Housing Organizaition Initiatives (B)	X	
14.418 Private Enforcement Initiatives (B)	X	
14.419 Section 3 Technical Assistance (B)	X	
14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (B)	X	
OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL		
14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)	X	
14.902 Lead Technical Studies Grants (B)	X	
14.905 Lead Hazard Reduction Demonstration Grant Program (B)	X	
14.906 Healthy Homes Technical Studies Grants (B)	X	
14.913 Healthy Homes Production Grant Program (B)	X	
14.914 Asthma Interventions in Public and Assisted Multifamily Housing (B)	X	
OFFICE OF HOUSING-FEDERAL HOUSING COMMISSIONER		
14.103		

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (C,F)		
14.108 Rehabilitation Mortgage Insurance (F)	X	
14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)	X	
14.112 Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (F)	X	
14.117 Mortgage Insurance_Homes (F)	X	
14.119 Mortgage Insurance_Homes for Disaster Victims (F)	X	
14.122 Mortgage Insurance_Homes in Urban Renewal Areas (F)	X	
14.123 Mortgage Insurance_Housing in Older, Declining Areas (F)	X	
14.126 Mortgage Insurance_Cooperative Projects (F)	X	
14.127 Mortgage Insurance_Manufactured Home Parks (F)	X	
14.128 Mortgage Insurance_Hospitals (F)	X	
14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)	X	
14.133 Mortgage Insurance_Purchase of Units in Condominiums (F)	X	
14.134 Mortgage Insurance_Rental Housing (F)	X	
14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)	X	
14.138 Mortgage Insurance_Rental Housing for the Elderly (F)	X	
14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas (F)	X	
14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)	X	
14.149 Rent Supplements_Rental Housing for Lower Income Families (C)	X	
14.151 Supplemental Loan Insurance_Multifamily Rental Housing (F)	X	
14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)	X	
14.157 Supportive Housing for the Elderly (C)	X	
14.159 Section 245 Graduated Payment Mortgage Program (F)	X	
14.162 Mortgage Insurance_Combination and Manufactured Home Lot Loans (F)	X	
14.163 Mortgage Insurance_Single Family Cooperative Housing (F)	X	
14.167 Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d) (F)	X	
14.168 Land Sales-Certain Subdivided Land (Interstate Land Sales Registration) and Real Estate Settlement Procedures Act (L,N)		X
14.169 Housing Counseling Assistance Program (B)	X	
14.171 Manufactured Home Construction and Safety Standards (L,N)		X
14.172 Mortgage Insurance_Growing Equity Mortgages (F)	X	
14.175 Adjustable Rate Mortgages (F)	X	
14.181 Supportive Housing for Persons with Disabilities (C)	X	
14.183 Home Equity Conversion Mortgages (F)	X	
14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)	X	
14.188 Housing Finance Agencies (HFA) Risk Sharing (F)	X	
14.189 Qualified Participating Entities (QPE) Risk Sharing (F)	X	
14.191 Multifamily Housing Service Coordinators (B)	X	
14.195 Section 8 Housing Assistance Payments Program (C)	X	
14.198 Good Neighbor Next Door Sales Program (H)		X
14.199 Multifamily Property Disposition (H)		X
14.311 Single Family Property Disposition (H)		X
14.313 Dollar Home Sales (H)		X
14.314 Assisted Living Conversion for Eligible Multifamily Housing Projects (B)	X	
14.315 Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (B)	X	
14.316 Housing Counseling Training Program (B)	X	
14.317 Section 8 Housing Assistance Payments Program Special Allocations (Recovery Act Funded) (C)	X	
14.318 Assisted Housing Stability and Energy and Green Retrofit Investments Program (Recovery Act Funded) (B)	X	
14.321 FHA Technical Assistance Training Transformation Initiative (M)		X
OFFICE OF POLICY DEVELOPMENT AND RESEARCH		
14.506 General Research and Technology Activity (J)		X
14.514 Hispanic-Serving Institutions Assisting Communities (B)	X	
14.515 Alaska Native/Native Hawaiian Institutions Assisting Communities (B)	X	
	X	

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Program Description	Financial	Non-Financial
14.516 Doctoral Dissertation Research Grants (B)	X	
14.519 Tribal Colleges and Universities Program (B)	X	
14.520 Historically Black Colleges and Universities Program (B)	X	
14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)	X	
14.524 Transformation Initiative Research Grants: Natural Experiments (B)	X	
14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (B)	X	
OFFICE OF PUBLIC AND INDIAN HOUSING		
14.850 Public and Indian Housing (C)	X	
14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (C)	X	
14.862 Indian Community Development Block Grant Program (B)	X	
14.865 Public and Indian Housing_Indian Loan Guarantee Program (F)	X	
14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)	X	
14.867 Indian Housing Block Grants (A)	X	
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)	X	
14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)	X	
14.871 Section 8 Housing Choice Vouchers (C)	X	
14.872 Public Housing Capital Fund (A)	X	
14.873 Native Hawaiian Housing Block Grants (B)	X	
14.874 Loan Guarantees for Native Hawaiian Housing (F)	X	
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)	X	
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)	X	
14.879 Mainstream Vouchers (C)	X	
14.880 Family Unification Program (FUP) (C)	X	
14.881 Moving to Work Demonstration Program (A)	X	
14.882 Native American Housing Block Grants (Formula) Recovery Act Funded (B)	X	
14.884 Public Housing Capital Fund Competitive (Recovery Act Funded) (B)	X	
14.886 Indian Community Development Block Grant Program (Recovery Act Funded) (B)	X	
14.887 Native American Housing Block Grants (Competitive) Recovery Act Funded (B)	X	
14.889 Choice Neighborhoods (B)	X	
14.890 Capital Fund Education and Training Community Facilities (B)	X	
14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)		X
DEPARTMENT OF THE INTERIOR		
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program. (B)	X	
BUREAU OF INDIAN AFFAIRS		
15.020 Aid To Tribal Governments (C)	X	
15.021 Consolidated Tribal Government Program (C)	X	
15.022 Tribal Self-Governance (C)	X	
15.024 Indian Self-Determination Contract Support (C)	X	
15.025 Services to Indian Children, Elderly and Families (C)	X	
15.026 Indian Adult Education (C)	X	
15.029 Tribal Courts (C)	X	
15.030 Indian Law Enforcement (C)	X	
15.031 Indian Community Fire Protection (C)	X	
15.032 Indian Economic Development (C)	X	
15.033 Road Maintenance_Indian Roads (C)	X	
15.034 Agriculture on Indian Lands (C,J,K)	X	
15.035 Forestry on Indian Lands (C,J,K)	X	
15.036 Indian Rights Protection (C)	X	
15.037 Water Resources on Indian Lands (C,J,K)	X	
15.038 Minerals and Mining on Indian Lands (C,J,L)	X	
15.040 Real Estate Programs_Indian Lands (C)	X	
15.047 Indian Education Facilities, Operations, and Maintenance (C)	X	
15.051 Endangered Species on Indian Lands (C,K,L)	X	
15.052 Litigation Support for Indian Rights (C)	X	
15.053 Attorney Fees_Indian Rights (C)	X	

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Program Description	Financial	Non-Financial
15.057 Navajo-Hopi Indian Settlement Program (C,J)	X	
15.058 Indian Post Secondary Schools (M)		X
15.060 Indian Vocational Training_United Tribes Technical College (D,M)	X	
15.061 Indian Job Placement_United Sioux Tribes Development Corporation (D,K)	X	
15.062 Replacement and Repair of Indian Schools (C)	X	
15.063 Improvement and Repair of Indian Detention Facilities (C)	X	
15.065 Safety of Dams on Indian Lands (C)	X	
15.108 Indian Employment Assistance (C)	X	
15.113 Indian Social Services_Welfare Assistance (C)	X	
15.141 Indian Housing Assistance (B,L)	X	
BUREAU OF INDIAN EDUCATION		
15.028 Tribally Controlled Community College Endowments (B)	X	
15.042 Indian School Equalization Program (C)	X	
15.043 Indian Child and Family Education (B,M)	X	
15.044 Indian Schools_Student Transportation (C)	X	
15.045 Assistance for Indian Children with Severe Disabilities (C)	X	
15.046 Administrative Cost Grants for Indian Schools (B)	X	
15.059 Indian Graduate Student Scholarships (B)	X	
15.114 Indian Education_Higher Education Grant Program (B)	X	
15.130 Indian Education_Assistance to Schools (C)	X	
BUREAU OF LAND MANAGEMENT		
15.214 Non-Sale Disposals of Mineral Material (H)		X
15.222 Cooperative Inspection Agreements with States and Tribes (B)	X	
15.224 Cultural Resource Management (B,H,I,J,K,L,M,N)	X	
15.225 Recreation Resource Management (B,I,J,K,L,M)	X	
15.227 Distribution of Receipts to State and Local Governments (C,D)	X	
15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)	X	
15.229 Wild Horse and Burro Resource Management (B,I,J,K,L,M)	X	
15.230 Invasive and Noxious Plant Management (B,K,M)	X	
15.231 Fish, Wildlife and Plant Conservation Resource Management (B,I,J,K,L,M)	X	
15.232 Wildland Fire Research and Studies Program (B)	X	
15.233 Forests and Woodlands Resource Management (B,K,M)	X	
15.234 Secure Rural Schools and Community Self-Determination (B)	X	
15.235 Southern Nevada Public Land Management (B)	X	
15.236 Environmental Quality and Protection Resource Management (B,K,L,M)	X	
15.237 Rangeland Resource Management (B,K,M)	X	
15.238 Challenge Cost Share (B,I,K,M)	X	
15.239 Management Initiatives (B,I,K,M)	X	
15.240 Helium Resource Management (B)	X	
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,I,M)	X	
BUREAU OF RECLAMATION		
15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)	X	
15.511 Cultural Resources Management (B)	X	
15.512 Central Valley Project Improvement Act, Title XXXIV (B)	X	
15.513 Dutch John Federal Property and Disposition Assistance Act (B)	X	
15.514 Reclamation States Emergency Drought Relief (B,J,K)	X	
15.516 Fort Peck Reservation Rural Water System (B)	X	
15.517 Fish and Wildlife Coordination Act (B)	X	
15.518 Garrison Diversion Unit (B)	X	
15.519 Indian Tribal Water Resources Development, Management, and Protection (B)	X	
15.520 Lewis and Clark Rural Water System (B)	X	
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)	X	
15.522 Mni Wiconi Rural Water Supply Project (B)	X	
15.523 Perkins County Rural Water System (B)	X	
15.524 Recreation Resources Management (B)	X	

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Program Description	Financial	Non-Financial
15.525 Rocky Boy's/North Central Montana Regional Water System (B)	X	
15.526 San Gabriel Basin Restoration Project (B)	X	
15.527 San Luis Unit, Central Valley Project (B)	X	
15.528 St. Mary Storage Unit Facilities Rehabilitation Project (B)	X	
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)	X	
15.530 Water Conservation Field Services Program (WCFSP) (B)	X	
15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (B)	X	
15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)	X	
15.533 California Water Security and Environmental Enhancement (B)	X	
15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)	X	
15.536 Deschutes River Conservancy (DRC) (B)	X	
15.537 Middle Rio Grande Endangered Species Collaborative Program (B)	X	
15.538 Lower Colorado River Multi-Species Conservation Program. (B)	X	
15.539 Equus Beds Division Aquifer Storage Recharge Project (B)	X	
15.540 Lake Mead/Las Vegas Wash Program (B)	X	
15.541 Colorado River Basin Projects Act of 1968 (B)	X	
15.542 Arizona Water Settlement Act of 2004 (B)	X	
15.543 Lake Tahoe Regional Wetlands Development Program (B)	X	
15.544 Platte River Recovery Implementation Program (B)	X	
15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (B)	X	
15.546 Youth Conservation Program (B)	X	
FISH AND WILDLIFE SERVICE		
15.605 Sport Fish Restoration Program (A)	X	
15.608 Fish and Wildlife Management Assistance (J)		X
15.611 Wildlife Restoration (A)	X	
15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)	X	
15.615 Cooperative Endangered Species Conservation Fund (B)	X	
15.616 Clean Vessel Act (B)	X	
15.619 Rhinoceros and Tiger Conservation Fund (B)	X	
15.620 African Elephant Conservation Fund (B)	X	
15.621 Asian Elephant Conservation Fund (B)	X	
15.622 Sportfishing and Boating Safety Act (B)	X	
15.623 North American Wetlands Conservation Fund (B)	X	
15.626 Firearm and Bow Hunter Education and Safety Program (A)	X	
15.628 Multistate Conservation Grant Program (B)	X	
15.629 Great Apes Conservation Fund (B)	X	
15.630 Coastal Program (C)	X	
15.631 Partners for Fish and Wildlife (C)	X	
15.634 State Wildlife Grants (A,B)	X	
15.635 Neotropical Migratory Bird Conservation (B)	X	
15.636 Alaska Subsistence Management (B)	X	
15.637 Migratory Bird Joint Ventures (B)	X	
15.639 Tribal Wildlife Grants Program (B)	X	
15.640 Wildlife Without Borders- Latin America and the Caribbean (B)	X	
15.641 Wildlife Without Borders-Mexico (B)	X	
15.642 Challenge Cost Share (B)	X	
15.643 Alaska Migratory Bird Co-Management Council (B)	X	
15.645 Marine Turtle Conservation Fund (B)	X	
15.647 Migratory Bird Conservation (B,C)	X	
15.649 Service Training and Technical Assistance (Generic Training) (B)	X	
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	X	
15.657 Endangered Species Conservation Recovery Implementation Funds (B)	X	
15.658 Natural Resource Damage Assessment, Restoration and Implementation (B)	X	
NATIONAL PARK SERVICE		
15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)	X	

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Program Description	Financial	Non-Financial
15.937 Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)	X	
15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B,H,I,K,L,M)	X	
OFFICE OF INSULAR AFFAIRS		
15.875 Economic, Social, and Political Development of the Territories (B,D)	X	
OFFICE OF SURFACE MINING		
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)	X	
15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)	X	
15.253 Not-for-Profit AMD Reclamation (B)	X	
15.254 Summer Watershed Intern (C)	X	
15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (B)	X	
THE BUREAU OF OCEAN ENERGY MANAGEMENT, REGULATION, AND ENFORCEMENT		
15.421 Alaska Coastal Marine Institute (B)	X	
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)	X	
15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)	X	
15.424 Marine Minerals Activities (B)	X	
15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (B)	X	
15.426 Coastal Impact Assistance Program (CIAP) (A)	X	
15.427 Federal Oil and Gas Royalty Management (B)	X	
15.428 Marine Gas Hydrate Research Activities (B)	X	
U.S. GEOLOGICAL SURVEY		
15.805 Assistance to State Water Resources Research Institutes (A,B)	X	
15.807 Earthquake Hazards Reduction Program (B)	X	
15.808 U.S. Geological Survey_ Research and Data Collection (B)	X	
15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)	X	
15.810 National Cooperative Geologic Mapping Program (B)	X	
15.811 Gap Analysis Program (B)	X	
15.812 Cooperative Research Units Program (B)	X	
15.813 Cooperative Research Units Training Program (B)	X	
15.814 National Geological and Geophysical Data Preservation Program (B)	X	
15.815 National Land Remote Sensing_ Education Outreach and Research (B)	X	
15.816 Minerals Resources External Research Program (B)	X	
15.817 National Geospatial Program: Building The National Map (B)	X	
15.818 Volcano Hazards Program Research and Monitoring. (B)	X	
15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)	X	
15.820 National Climate Change and Wildlife Service Center (B)	X	
15.978 Upper Mississippi River System Long Term Resource Monitoring Program (B)	X	
DEPARTMENT OF JUSTICE		
16.015 Missing Alzheimer's Disease Patient Assistance Program (B)	X	
16.123 Community-Based Violence Prevention Program (B)	X	
16.203 Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (B,M)	X	
16.750 Support for Adam Walsh Act Implementation Grant Program (B)	X	
16.800 Recovery Act - Internet Crimes against Children Task Force Program (ICAC) (B)	X	
16.802 Recovery Act - State Victim Compensation Formula Grant Program (B)	X	
16.803 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (A,B)	X	
16.804 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (A,B)	X	
16.808 Recovery Act - Edward Byrne Memorial Competitive Grant Program (B)	X	
16.809 Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (B)	X	
16.810 Recovery Act Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (B)	X	
16.811 Recovery Act - Correctional Facilities On Tribal Lands (B)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
BUREAU OF JUSTICE ASSISTANCE		
16.571 Public Safety Officers' Benefits Program (D)	X	
16.578 Federal Surplus Property Transfer Program (H)		X
16.585 Drug Court Discretionary Grant Program (B)	X	
16.593 Residential Substance Abuse Treatment for State Prisoners (A)	X	
16.596 Correctional Grant Program for Indian Tribes (B)	X	
16.606 State Criminal Alien Assistance Program (C)	X	
16.607 Bulletproof Vest Partnership Program (C)	X	
16.608 Tribal Court Assistance Program (B)	X	
16.609 Project Safe Neighborhoods (B)	X	
16.610 Regional Information Sharing Systems (B)	X	
16.611 Closed-Circuit Televising of Child Victims of Abuse (B)	X	
16.614 State and Local Anti-Terrorism Training (K,L,M)		X
16.615 Public Safety Officers' Educational Assistance (D)	X	
16.616 Indian Country Alcohol and Drug Prevention (B)	X	
16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program (B)	X	
16.737 Gang Resistance Education and Training (B)	X	
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)	X	
16.740 Statewide Automated Victim Information Notification (SAVIN) Program (B)	X	
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)	X	
16.746 Capital Case Litigation (B)	X	
16.751 Edward Byrne Memorial Competitive Grant Program (B)	X	
16.752 Economic High-Tech and Cyber Crime Prevention (B)	X	
16.753 Congressionally Recommended Awards (B)	X	
16.754 Harold Rogers Prescription Drug Monitoring Program (B)	X	
16.755 Southwest Border Prosecution Initiative Program (D)	X	
16.812 Second Chance Act Prisoner Reentry Initiative (B)	X	
16.814 Northern Border Prosecution Initiative Program (D)	X	
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)	X	
16.816 John R. Justice Prosecutors and Defenders Incentive Act (A)	X	
BUREAU OF JUSTICE STATISTICS		
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)	X	
16.554 National Criminal History Improvement Program (NCHIP) (B)	X	
16.734 Special Data Collections and Statistical Studies (B)	X	
16.739 National Prison Rape Statistics Program (B)	X	
16.813 NICS Act Record Improvement Program (B)	X	
CIVIL RIGHTS DIVISION		
16.100 Desegregation of Public Education (J)		X
16.101 Equal Employment Opportunity (J)		X
16.103 Fair Housing and Equal Credit Opportunity (J)		X
16.104 Protection of Voting Rights (J)		X
16.105 Civil Rights of Institutionalized Persons (J)		X
16.109 Civil Rights Prosecution (B)	X	
16.110 Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (B,J,N)	X	
EXECUTIVE OFFICE FOR WEED AND SEED		
16.595 Community Capacity Development Office (B,J)	X	
NATIONAL INSTITUTE OF JUSTICE		
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)	X	
16.562 Criminal Justice Research and Development Graduate Research Fellowships (B)	X	
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X	
16.741 Forensic DNA Backlog Reduction Program (A)	X	
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)	X	
16.748 Convicted Offender and/or Arrestee DNA Backlog Reduction Program (A)	X	

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
OFFICE OF COMMUNITY ORIENTED POLICING SERVICES		
16.710 Public Safety Partnership and Community Policing Grants (B)	X	
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION		
16.523 Juvenile Accountability Block Grants (A,B)	X	
16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)	X	
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	
16.543 Missing Children's Assistance (B)	X	
16.544 Youth Gang Prevention (B)	X	
16.548 Title V_Delinquency Prevention Program (A)	X	
16.726 Juvenile Mentoring Program (B)	X	
16.727 Enforcing Underage Drinking Laws Program (B)	X	
16.730 Reduction and Prevention of Children's Exposure to Violence (B)	X	
16.731 Tribal Youth Program (B)	X	
16.756 Court Appointed Special Advocates (B)	X	
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)	X	
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)	X	
OFFICE OF VICTIMS OF CRIME		
16.320 Services for Trafficking Victims (B,C)	X	
16.321 Antiterrorism Emergency Reserve (B)	X	
16.575 Crime Victim Assistance (A)	X	
16.576 Crime Victim Compensation (A)	X	
16.582 Crime Victim Assistance/Discretionary Grants (B,C)	X	
16.583 Children's Justice Act Partnerships for Indian Communities (B,C)	X	
16.801 Recovery Act - State Victim Assistance Formula Grant Program (B)	X	
16.807 Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (B,C)	X	
VIOLENCE AGAINST WOMEN OFFICE		
16.018 Services to Advocate for and Respond to Youth (B)	X	
16.020 Children and Youth Exposed (B)	X	
16.590 The Community-Defined Solutions to Violence Against Women Grant Program (B)	X	
16.684 Supporting Teens through Education and Protection Act of 2005 (STEP Act) (B)	X	
DEPARTMENT OF LABOR		
BUREAU OF LABOR STATISTICS		
17.002 Labor Force Statistics (B,L)	X	
17.003 Prices and Cost of Living Data (L)		X
17.004 Productivity and Technology Data (L)		X
17.005 Compensation and Working Conditions (B,L)	X	
EMPLOYEE BENEFITS SECURITY ADMINISTRATION		
17.150 Employee Benefits Security Administration (L)		X
17.151 Employee Benefits Security Administration (EBSA) (G)	X	
EMPLOYMENT TRAINING ADMINISTRATION		
17.201 Registered Apprenticeship and Other Training (M)		X
17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)	X	
17.225 Unemployment Insurance (A,D)	X	
17.235 Senior Community Service Employment Program (A,B)	X	
17.245 Trade Adjustment Assistance (B)	X	
17.258 WIA Adult Program (A)	X	
17.259 WIA Youth Activities (A)	X	
17.260 WIA Dislocated Workers (A,B)	X	
17.261 WIA Pilots, Demonstrations, and Research Projects (B)	X	
17.262 WIA Evaluation (B)	X	
17.264		

AGENCY PROGRAM INDEX

Program Description	Financial	Non-Financial
National Farmworker Jobs Program (A)		
17.265 Native American Employment and Training (B)	X	
17.266 Work Incentive Grants (B)	X	
17.267 Incentive Grants - WIA Section 503 (A)	X	
17.268 H-1B Job Training Grants (B)	X	
17.269 Community Based Job Training Grants (B)	X	
17.270 Reintegration of Ex-Offenders (B)	X	
17.271 Work Opportunity Tax Credit Program (WOTC) (A)	X	
17.272 Permanent Labor Certification for Foreign Workers (J)		X
17.273 Temporary Labor Certification for Foreign Workers (A,J,O)	X	
17.274 Youthbuild (B)	X	
17.275 Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors (B)	X	
17.276 Health Coverage Tax Credit (HCTC) (B)	X	
17.277 Workforce Investment Act (WIA) National Emergency Grants (B)	X	
17.278 WIA Dislocated Worker Formula Grants (A)	X	
17.279 Green Jobs Innovation Fund Grants (B)	X	
17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (B)	X	
17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (B,C)	X	
17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)	X	
MINE SAFETY AND HEALTH ADMINISTRATION		
17.600 Mine Health and Safety Grants (B)	X	
17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)		X
17.602 Mine Health and Safety Education and Training (M)		X
17.603 Brookwood-Sago Grant (B)	X	
17.604 Safety and Health Grants (B)	X	
OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION		
17.502 Occupational Safety and Health_Susan Harwood Training Grants (B)	X	
17.503 Occupational Safety and Health_State Program (B)	X	
17.504 Consultation Agreements (B)	X	
17.505 OSHA Data Initiative (B)	X	
OFFICE OF DISABILITY EMPLOYMENT POLICY		
17.720 Disability Employment Policy Development (B)	X	
OFFICE OF FEDERAL CONTRACT COMPLIANCE PROGRAMS		
17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (N)		X
OFFICE OF LABOR-MANAGEMENT STANDARDS		
17.309 Labor Organization Reports (K,L,N)		X
17.311 Federal Transit Employee Protection Certifications: Office of Labor-Management Standards (B)	X	
OFFICE OF THE SECRETARY, WOMEN'S BUREAU		
17.700 Women's Bureau (K)		X
OFFICE OF WORKERS' COMPENSATION PROGRAMS		
17.302 Longshore and Harbor Workers' Compensation (D)	X	
17.307 Coal Mine Workers' Compensation (D)	X	
17.310 Energy Employees Occupational Illness Compensation (D)	X	
VETERAN'S EMPLOYMENT AND TRAINING SERVICE		
17.801 Disabled Veterans' Outreach Program (DVOP) (A)	X	
17.802 Veterans' Employment Program (B)	X	
17.803 Uniformed Services Employment and Reemployment Rights (K,L,N)		X
17.804 Local Veterans' Employment Representative Program (A)	X	
17.805 Homeless Veterans Reintegration Project (B)	X	

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Program Description	Financial	Non-Financial
17.806 Veteran's Preference in Federal Employment (O)		X
17.807 Transition Assistance Program (B)	X	
WAGE AND HOUR DIVISION		
17.303 Wage and Hour Standards (K,N)		X
17.306 Consumer Credit Protection (K,N)		X
17.308 Farm Labor Contractor Registration (K,N)		X
U.S. DEPARTMENT OF STATE		
BUREAU OF DEMOCRACY, HUMAN RIGHTS AND LABOR		
19.345 International Programs to Support Democracy, Human Rights and Labor (B)	X	
BUREAU OF EDUCATIONAL AND CULTURAL AFFAIRS		
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)	X	
19.025 U.S. Ambassadors Fund for Cultural Preservation (B)	X	
BUREAU OF NEAR EASTERN AFFAIRS		
19.021 Investing in People in The Middle East and North Africa (B)	X	
19.500 Middle East Partnership Initiative (MEPI) (B)	X	
BUREAU OF PERSONNEL		
19.020 Charles B. Rangel International Affairs Program (B)	X	
DEPARTMENT OF TRANSPORTATION		
20.241 Federal Motor Carrier Safety Administration National Training Center (A)	X	
20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (B)	X	
FEDERAL AVIATION ADMINISTRATION (FAA)		
20.106 Airport Improvement Program (B,K)	X	
20.108 Aviation Research Grants (B,I)	X	
20.109 Air Transportation Centers of Excellence (B,I,J)	X	
FEDERAL HIGHWAY ADMINISTRATION (FHWA)		
20.200 Highway Research and Development Program (B)	X	
20.205 Highway Planning and Construction (A,B)	X	
20.215 Highway Training and Education (B,M)	X	
20.219 Recreational Trails Program (A)	X	
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)	X	
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)	X	
FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION (FMCSA)		
20.218 National Motor Carrier Safety (A)	X	
20.231 Performance and Registration Information Systems Management (B)	X	
20.232 Commercial Driver's License Program Improvement Grant (B)	X	
20.233 Border Enforcement Grants (B)	X	
20.234 Safety Data Improvement Program (B)	X	
20.235 Commercial Motor Vehicle Operator Training Grants (B)	X	
20.237 Commercial Vehicle Information Systems and Networks (B)	X	
20.238 Commercial Drivers License Information System (CDLIS) Modernization Grant (B)	X	
20.239 Motor Carrier Research and Technology Programs (B)	X	
20.242 Medical Programs (B)	X	
FEDERAL RAILROAD ADMINISTRATION (FRA)		
20.301 Railroad Safety (B)	X	
20.313 Railroad Research and Development (B)	X	
20.314 Railroad Development (B)	X	
20.315 National Railroad Passenger Corporation Grants (B)	X	
20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)	X	

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Program Description	Financial	Non-Financial
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)	X	
20.318 Maglev Project Selection Program - SAFETEA-LU (B)	X	
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)	X	
20.320 Rail Line Relocation and Improvement (B)	X	
20.321 RAILROAD SAFETY TECHNOLOGY GRANTS (B)	X	
FEDERAL TRANSIT ADMINISTRATION (FTA)		
20.500 Federal Transit_Capital Investment Grants (A,B)	X	
20.505 Metropolitan Transportation Planning (A)	X	
20.507 Federal Transit_Formula Grants (A)	X	
20.509 Formula Grants for Other Than Urbanized Areas (A)	X	
20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)	X	
20.514 Public Transportation Research (B,L,M)	X	
20.515 State Planning and Research (A)	X	
20.516 Job Access_Reverse Commute (B)	X	
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)	X	
20.519 Clean Fuels (B)	X	
20.520 Paul S. Sarbanes Transit in the Parks (B)	X	
20.521 New Freedom Program (A)	X	
20.522 Alternatives Analysis (B)	X	
20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)	X	
MARITIME ADMINISTRATION (MARAD)		
20.802 Federal Ship Financing Guarantees (F)	X	
20.803 Maritime War Risk Insurance (G)	X	
20.806 State Maritime Schools (C,I)	X	
20.807 U.S. Merchant Marine Academy (M)		X
20.808 Capital Construction Fund (C)	X	
20.812 Construction Reserve Fund (C)	X	
20.813 Maritime Security Fleet Program (C)	X	
20.814 Assistance to Small Shipyards (C)	X	
20.816 Americas Marine Highway Grants (B)	X	
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA)		
20.600 State and Community Highway Safety (A)	X	
20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)	X	
20.602 Occupant Protection Incentive Grants (B)	X	
20.607 Alcohol Open Container Requirements (B)	X	
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)	X	
20.609 Safety Belt Performance Grants (B)	X	
20.610 State Traffic Safety Information System Improvement Grants (B)	X	
20.611 Incentive Grant Program to Prohibit Racial Profiling (B)	X	
20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)	X	
20.613 Child Safety and Child Booster Seats Incentive Grants (B)	X	
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (B)	X	
OFFICE OF THE SECRETARY (OST) ADMINISTRATION SECRETARIATE		
20.900 Transportation_Consumer Affairs (N)		X
20.901 Payments for Essential Air Services (C)	X	
20.904 Bonding Assistance Program (C,G,J)	X	
20.905 Disadvantaged Business Enterprises_Short Term Lending Program (F)	X	
20.907 Entrepreneurial Training and Technical Assistance Women and Girls Program (B)	X	
20.910 Assistance to small and disadvantaged businesses (B)	X	
20.930 Payments for Small Community Air Service Development (B)	X	
PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION		
20.700 Pipeline Safety Program Base Grants (A)	X	
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)	X	

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Program Description	Financial	Non-Financial
20.710 Technical Assistance Grants (B)	X	
20.720 State Damage Prevention Program Grants (B)	X	
20.721 PHMSA Pipeline Safety Program One Call Grant (B)	X	
20.722 Technology Development Grant (B)	X	
RESEARCH AND INNOVATIVE TECHNOLOGY ADMINISTRATION		
20.701 University Transportation Centers Program (B)	X	
20.761 Biobased Transportation Research (B)	X	
20.762 Research Grants (B)	X	
20.764 Hydrogen Storage Research and Development (B)	X	
20.931 Transportation Planning, Research and Education (B)	X	
DEPARTMENT OF THE TREASURY		
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)	X	
COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND		
21.010 Financial Education and Counseling Pilot Program (B)	X	
21.011 Capital Magnet Fund (B)	X	
21.012 Native Initiatives (B)	X	
21.020 Community Development Financial Institutions Program (B)	X	
21.021 Bank Enterprise Award Program (B)	X	
INTERNAL REVENUE SERVICE (IRS)		
21.003 Taxpayer Service (K)		X
21.004 Exchange of Federal Tax Information With State Tax Agencies (J)		X
21.006 Tax Counseling for the Elderly (B)	X	
21.008 Low Income Taxpayer Clinics (B)	X	
21.013 Qualifying Therapeutic Discovery Project Credit (B)	X	
APPALACHIAN REGIONAL COMMISSION		
23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)	X	
23.002 Appalachian Area Development (B)	X	
23.003 Appalachian Development Highway System (B)	X	
23.009 Appalachian Local Development District Assistance (B)	X	
23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)	X	
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION		
30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964 (K,N)		X
30.005 Employment Discrimination_Private Bar Program (J)		X
30.008 Employment Discrimination_Age Discrimination in Employment (K,N)		X
30.010 Employment Discrimination Equal Pay Act (K,N)		X
30.011 Employment Discrimination_Title I of The Americans with Disabilities Act (K,N)		X
FEDERAL MARITIME COMMISSION		
33.001 Shipping_Dispute Resolution and Investigation of Complaints (N)		X
FEDERAL MEDIATION AND CONCILIATION SERVICE		
34.002 Labor Management Cooperation (B)	X	
GENERAL SERVICES ADMINISTRATION		
39.002 Disposal of Federal Surplus Real Property (H)		X
39.003 Donation of Federal Surplus Personal Property (H)		X
39.007 Sale of Federal Surplus Personal Property (H)		X
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES		
45.201 Arts and Artifacts Indemnity (G)	X	
NATIONAL ENDOWMENT FOR THE ARTS		

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Program Description	Financial	Non-Financial
45.024 Promotion of the Arts_Grants to Organizations and Individuals (B)	X	
45.025 Promotion of the Arts_Partnership Agreements (B,K)	X	
NATIONAL ENDOWMENT FOR THE HUMANITIES		
45.129 Promotion of the Humanities_Federal/State Partnership (A)	X	
45.130 Promotion of the Humanities_Challenge Grants (B)	X	
45.149 Promotion of the Humanities_Division of Preservation and Access (B)	X	
45.160 Promotion of the Humanities_Fellowships and Stipends (B)	X	
45.161 Promotion of the Humanities_Research (B)	X	
45.162 Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (B)	X	
45.163 Promotion of the Humanities_Professional Development (B)	X	
45.164 Promotion of the Humanities_Public Programs (B)	X	
45.168 Promotion of the Humanities_We the People (B)	X	
45.169 Promotion of the Humanities_Office of Digital Humanities (B)	X	
NATIONAL LABOR RELATIONS BOARD		
46.001 Labor-Management Relations (J,N)		X
RAILROAD RETIREMENT BOARD		
57.001 Social Insurance for Railroad Workers (D)	X	
57.005 Economic Recovery Payments (D)	X	
SMALL BUSINESS ADMINISTRATION		
59.058 Federal and State Technology Partnership Program (B)	X	
DEPARTMENT OF VETERANS AFFAIRS		
64.027 Post-9/11 Veterans Educational Assistance (C)	X	
64.028 Post-9/11 Veterans Educational Assistance (C)	X	
64.029 Purchase Care Program (C)	X	
ENVIRONMENTAL PROTECTION AGENCY		
66.050 Potomac Highlands Implementation Grants (B)	X	
OFFICE OF ADMINISTRATION AND RESOURCES MANAGEMENT		
66.508 Senior Environmental Employment Program (B)	X	
66.518 State Senior Environmental Employment Program (B)	X	
OFFICE OF AIR AND RADIATION		
66.001 Air Pollution Control Program Support (B)	X	
66.032 State Indoor Radon Grants (B)	X	
66.033 Ozone Transport Commission (B)	X	
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	X	
66.036 Clean School Bus USA (B)	X	
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)	X	
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)	X	
66.039 National Clean Diesel Emissions Reduction Program (B)	X	
66.040 State Clean Diesel Grant Program (A)	X	
66.041 Climate Showcase Communities Grant Program (B)	X	
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	
OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE		
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	X	
66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)	X	
66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)	X	
66.312 State Environmental Justice Cooperative Agreement Program (B)	X	
66.604 Environmental Justice Small Grant Program (B)	X	

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Program Description	Financial	Non-Financial
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)	X	
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)	X	
66.709 Multi-Media Capacity Building Grants for States and Tribes (B)	X	
OFFICE OF ENVIRONMENTAL INFORMATION		
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)	X	
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)	X	
OFFICE OF INTERNATIONAL AND TRIBAL AFFAIRS		
66.473 Direct Implementation Tribal Cooperative Agreements (B)	X	
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)	X	
OFFICE OF POLLUTION PREVENTION AND TOXICS SUBSTANCES		
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)	X	
66.708 Pollution Prevention Grants Program (B)	X	
66.714 Pesticide Environmental Stewardship Regional Grants (B)	X	
66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)	X	
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)	X	
66.717 Source Reduction Assistance (B)	X	
66.718 National Community-Based Lead Outreach and Training Grant Program (B)	X	
OFFICE OF RESEARCH AND DEVELOPMENT		
66.509 Science To Achieve Results (STAR) Research Program (B)	X	
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	
66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)	X	
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)	X	
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	
66.516 P3 Award: National Student Design Competition for Sustainability (B)	X	
66.517 Regional Applied Research Efforts (RARE) (B)	X	
OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE		
66.035 Community Action for a Renewed Environment (CARE) Program (B)	X	
66.801 Hazardous Waste Management State Program Support (A)	X	
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)	X	
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)	X	
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)	X	
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	
66.808 Solid Waste Management Assistance Grants (B)	X	
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)	X	
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)	X	
66.812 Hazardous Waste Management Grant Program for Tribes (B)	X	
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X	
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)	X	
66.815 Brownfield Job Training Cooperative Agreements (B)	X	
66.816 Headquarters and Regional Underground Storage Tanks Program (B)	X	
66.817 State and Tribal Response Program Grants (A)	X	
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)	X	
OFFICE OF THE ADMINISTRATOR		
66.605 Performance Partnership Grants (A,B)	X	
66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)	X	
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)	X	
66.611 Environmental Policy and Innovation Grants (B)	X	
66.940 Environmental Policy and State Sustainability Grants (B)	X	
66.950 National Environmental Education Training Program (B)	X	

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Program Description	Financial	Non-Financial
66.951 Environmental Education Grants (B)	X	
66.952 National Network for Environmental Management Studies Fellowship Program (B)	X	
OFFICE OF THE CHIEF FINANCIAL OFFICER		
66.202 Congressionally Mandated Projects (B)	X	
66.203 Environmental Finance Center Grants (B)	X	
OFFICE OF WATER		
66.418 Construction Grants for Wastewater Treatment Works (B)	X	
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)	X	
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	
66.432 State Public Water System Supervision (A)	X	
66.433 State Underground Water Source Protection (A)	X	
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	
66.437 Long Island Sound Program (B)	X	
66.439 Targeted Watersheds Grants (B)	X	
66.454 Water Quality Management Planning (A)	X	
66.456 National Estuary Program (B)	X	
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)	X	
66.460 Nonpoint Source Implementation Grants (A)	X	
66.461 Regional Wetland Program Development Grants (B)	X	
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)	X	
66.463 Water Quality Cooperative Agreements (B)	X	
66.466 Chesapeake Bay Program (B)	X	
66.467 Wastewater Operator Training Grant Program (B)	X	
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)	X	
66.469 Great Lakes Program (B,LL)	X	
66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)	X	
66.472 Beach Monitoring and Notification Program Implementation Grants (A)	X	
66.474 Water Protection Grants to the States (A)	X	
66.475 Gulf of Mexico Program (B)	X	
66.478 Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)	X	
66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)	X	
66.480 Assessment and Watershed Protection Program Grants (B)	X	
66.481 Lake Champlain Basin Program (B)	X	
66.926 Indian Environmental General Assistance Program (GAP) (B)	X	
REGION 10		
66.119 West Coast Estuaries Initiative (B)	X	
66.120 Puget Sound Watershed Management Assistance (B)	X	
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)	X	
66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)	X	
66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)	X	
REGION 3		
66.113 Region 3 Environmental Priority Projects (B)	X	
REGION 6		
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)	X	
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)	X	
REGION 7		
66.111 Regional Environmental Priority Projects (B)	X	
REGION 9		
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)	X	

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Program Description	Financial	Non-Financial
NUCLEAR REGULATORY COMMISSION		
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)	X	
77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B,C)	X	
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)	X	
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)	X	
DEPARTMENT OF ENERGY		
81.003 Granting of Patent Licenses (L)		X
81.022 Used Energy-Related Laboratory Equipment Grants (B)	X	
81.036 Inventions and Innovations (B,K,L)	X	
81.041 State Energy Program (A,B,L)	X	
81.042 Weatherization Assistance for Low-Income Persons (A,L)	X	
81.049 Office of Science Financial Assistance Program (B)	X	
81.057 University Coal Research (B)	X	
81.064 Office of Scientific and Technical Information (L)		X
81.079 Regional Biomass Energy Programs (B)	X	
81.086 Conservation Research and Development (B)	X	
81.087 Renewable Energy Research and Development (B)	X	
81.089 Fossil Energy Research and Development (B)	X	
81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)	X	
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)	X	
81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)	X	
81.112 Stewardship Science Grant Program (B)	X	
81.113 Defense Nuclear Nonproliferation Research (B)	X	
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)	X	
81.119 State Energy Program Special Projects (B)	X	
81.121 Nuclear Energy Research, Development and Demonstration (B)	X	
81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)	X	
81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)	X	
81.124 Predictive Science Academic Alliance Program (B)	X	
81.126 Federal Loan Guarantees for Innovative Energy Technologies (E,F)	X	
81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)	X	
81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)	X	
81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)	X	
81.130 Carbon Capture and Storage-FutureGen 2.0 (B)	X	
81.131 Expand and Extend Clean Coal Power Initiative (B)	X	
81.132 Geologic Sequestration Site Characterization (B)	X	
81.133 Geologic Sequestration Training and Research Grant Program (B)	X	
81.135 Advanced Research and Projects Agency Energy Financial Assistance Program (B)	X	
81.136 Long-Term Surveillance and Maintenance (B)	X	
DEPARTMENT OF EDUCATION		
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)	X	
84.385 Teacher Incentive Fund, Recovery Act (B)	X	
84.386 Education Technology State Grants, Recovery Act (A)	X	
84.387 Education for Homeless Children and Youth, Recovery Act (A)	X	
84.388 School Improvement Grants, Recovery Act (A)	X	
84.389 Title I Grants to Local Educational Agencies, Recovery Act (A)	X	
84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act (A)	X	
84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (A)	X	
84.395 State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act (B)	X	
84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (A)	X	
84.398 Independent Living State Grants, Recovery Act (A)	X	
84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act (A)	X	
84.400 Centers for Independent Living, Recovery Act. (B)	X	

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Program Description	Financial	Non-Financial
84.401 Impact Aid School Construction, Recovery Act (B)	X	
84.402 Consolidated Grants to the Outlying Areas, Recovery Act (A)	X	
84.404 Impact Aid -- School Construction Formula Grants, Recovery Act (A)	X	
84.405 Teacher Quality Partnerships, Recovery Act (B)	X	
84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)	X	
84.409 Civil Legal Assistance Attorney Student Loan Repayment Program (E)	X	
INSTITUTE OF EDUCATION SCIENCES		
84.305 Education Research, Development and Dissemination (B)	X	
84.324 Research in Special Education (B)	X	
84.372 Statewide Data Systems (B)	X	
84.384 Statewide Data Systems, Recovery Act (B)	X	
OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT		
84.287 Twenty-First Century Community Learning Centers (A)	X	
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION		
84.004 Civil Rights Training and Advisory Services (B)	X	
84.010 Title I Grants to Local Educational Agencies (A)	X	
84.011 Migrant Education_State Grant Program (A)	X	
84.013 Title I State Agency Program for Neglected and Delinquent Children (A)	X	
84.040 Impact Aid_Facilities Maintenance (B)	X	
84.041 Impact Aid (A,B)	X	
84.060 Indian Education_Grants to Local Educational Agencies (A)	X	
84.141 Migrant Education_High School Equivalency Program (B)	X	
84.144 Migrant Education_Coordination Program (B)	X	
84.149 Migrant Education_College Assistance Migrant Program (B)	X	
84.184 Safe and Drug-Free Schools and Communities_National Programs (B)	X	
84.186 Safe and Drug-Free Schools and Communities_State Grants (A)	X	
84.196 Education for Homeless Children and Youth (A)	X	
84.206 Javits Gifted and Talented Students Education Grant Program (B)	X	
84.213 Even Start_State Educational Agencies (A)	X	
84.214 Even Start_Migrant Education (B)	X	
84.256 Territories and Freely Associated States Education Grant Program (B)	X	
84.258 Even Start_Indian Tribes and Tribal Organizations (B)	X	
84.283 Comprehensive Centers (B)	X	
84.293 Foreign Language Assistance (B)	X	
84.299 Indian Education -- Special Programs for Indian Children (B)	X	
84.310 Parental Information and Resource Centers (B)	X	
84.318 Educational Technology State Grants (A)	X	
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)	X	
84.332 Comprehensive School Reform Demonstration (B)	X	
84.356 Alaska Native Educational Programs (B)	X	
84.358 Rural Education (A)	X	
84.359 Early Reading First (B)	X	
84.360 High School Graduation Initiative (B)	X	
84.362 Native Hawaiian Education (B)	X	
84.364 Improving Literacy Through School Libraries (B)	X	
84.365 English Language Acquisition Grants (A)	X	
84.366 Mathematics and Science Partnerships (B)	X	
84.367 Improving Teacher Quality State Grants (A)	X	
84.368 Grants for Enhanced Assessment Instruments (B)	X	
84.369 Grants for State Assessments and Related Activities (A)	X	
84.371 Striving Readers (A,B)	X	
84.374 Teacher Incentive Fund (B)	X	
84.377 School Improvement Grants (A)	X	
84.403 Consolidated Grant to the Outlying Areas (A)	X	
84.410 Education Jobs Fund (A)	X	

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Program Description	Financial	Non-Financial
OFFICE OF HUMAN RESOURCES AND ADMINISTRATION		
84.145 Federal Real Property Assistance Program (H)		X
OFFICE OF INNOVATION AND IMPROVEMENT		
84.083 Women's Educational Equity Program (B)	X	
84.165 Magnet Schools Assistance (B)	X	
84.215 Fund for the Improvement of Education (B)	X	
84.282 Charter Schools (B)	X	
84.286 Ready to Teach (B)	X	
84.295 Ready-To-Learn Television (B)	X	
84.336 Teacher Quality Partnership Grants (B)	X	
84.350 Transition to Teaching (B)	X	
84.351 Arts in Education (B)	X	
84.354 Credit Enhancement for Charter School Facilities (B)	X	
84.361 Voluntary Public School Choice (B)	X	
84.363 School Leadership (B)	X	
84.370 DC School Choice Incentive Program (B)	X	
84.396 State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act (B)	X	
OFFICE OF POSTSECONDARY EDUCATION		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	X	
84.016 Undergraduate International Studies and Foreign Language Programs (B)	X	
84.017 International Research and Studies (B)	X	
84.018 Overseas Programs_Special Bilateral Projects (B)	X	
84.019 Overseas Programs - Faculty Research Abroad (B)	X	
84.021 Overseas Programs - Group Projects Abroad (B)	X	
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)	X	
84.031 Higher Education_Institutional Aid (B)	X	
84.042 TRIO_Student Support Services (B)	X	
84.044 TRIO_Talent Search (B)	X	
84.047 TRIO_Upward Bound (B)	X	
84.066 TRIO_Educational Opportunity Centers (B)	X	
84.103 TRIO Staff Training Program (B)	X	
84.116 Fund for the Improvement of Postsecondary Education (B)	X	
84.120 Minority Science and Engineering Improvement (B)	X	
84.153 Business and International Education Projects (B)	X	
84.170 Javits Fellowships (B)	X	
84.185 Byrd Honors Scholarships (A)	X	
84.200 Graduate Assistance in Areas of National Need (B)	X	
84.217 TRIO_McNair Post-Baccalaureate Achievement (B)	X	
84.220 Centers for International Business Education (B)	X	
84.229 Language Resource Centers (B)	X	
84.269 Institute for International Public Policy (B)	X	
84.274 American Overseas Research Centers (B)	X	
84.333 Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (B)	X	
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)	X	
84.335 Child Care Access Means Parents in School (B)	X	
84.337 International Education_Technological Innovation and Cooperation for Foreign Information Access (B)	X	
84.345 Underground Railroad Educational and Cultural Program (B)	X	
84.375 Academic Competitiveness Grants (C)	X	
84.378 College Access Challenge Grant Program (A)	X	
84.381 Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)	X	
84.382 Strengthening Minority-Serving Institutions (B)	X	
84.383 Homeless Education Disaster Assistance Program (A)	X	
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)	X	

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Program Description	Financial	Non-Financial
OFFICE OF SAFE AND DRUG-FREE SCHOOLS		
84.304 Civic Education - We the People and the Cooperative Education Exchange Program (B)	X	
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES		
84.027 Special Education_Grants to States (A)	X	
84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)	X	
84.128 Rehabilitation Services_Service Projects (B)	X	
84.129 Rehabilitation Long-Term Training (B)	X	
84.132 Centers for Independent Living (B)	X	
84.133 National Institute on Disability and Rehabilitation Research (B)	X	
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	X	
84.161 Rehabilitation Services_Client Assistance Program (A)	X	
84.169 Independent Living_State Grants (A)	X	
84.173 Special Education_Preschool Grants (A)	X	
84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)	X	
84.181 Special Education-Grants for Infants and Families (A)	X	
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)	X	
84.224 Assistive Technology (A)	X	
84.234 Projects with Industry (B)	X	
84.235 Rehabilitation Services Demonstration and Training Programs (B)	X	
84.240 Program of Protection and Advocacy of Individual Rights (A)	X	
84.246 Rehabilitation Short-Term Training (B)	X	
84.250 Rehabilitation Services_American Indians with Disabilities (B)	X	
84.263 Rehabilitation Training_Experimental and Innovative Training (B)	X	
84.264 Rehabilitation Training_Continuing Education (B)	X	
84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)	X	
84.275 Rehabilitation Training_General Training (B)	X	
84.315 Capacity Building for Traditionally Underserved Populations (B)	X	
84.323 Special Education - State Personnel Development (B)	X	
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)	X	
84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)	X	
84.327 Special Education_Technology and Media Services for Individuals with Disabilities (B)	X	
84.328 Special Education_Parent Information Centers (B)	X	
84.329 Special Education_Studies and Evaluations (B)	X	
84.343 Assistive Technology_State Grants for Protection and Advocacy (A)	X	
84.373 Special Education_Technical Assistance on State Data Collection (B)	X	
84.380 Special Education -- Olympic Education Programs (B)	X	
84.391 Special Education Grants to States, Recovery Act (A)	X	
84.392 Special Education - Preschool Grants, Recovery Act (A)	X	
84.393 Special Education - Grants for Infants and Families, Recovery Act (A)	X	
84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act. (B)	X	
OFFICE OF STUDENT FINANCIAL ASSISTANCE PROGRAMS		
84.007 Federal Supplemental Educational Opportunity Grants (C)	X	
84.032 Federal Family Education Loans (F)	X	
84.033 Federal Work-Study Program (C)	X	
84.037 Perkins Loan Cancellations (C,D)	X	
84.063 Federal Pell Grant Program (C)	X	
84.069 Leveraging Educational Assistance Partnership (A)	X	
84.268 Federal Direct Student Loans (E)	X	
84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants (C)	X	
OFFICE OF VOCATIONAL AND ADULT EDUCATION		
84.002 Adult Education - Basic Grants to States (A)	X	
84.048 Career and Technical Education -- Basic Grants to States (A)	X	
84.051 Career and Technical Education -- National Programs (B)	X	
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)	X	

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Program Description	Financial	Non-Financial
84.191 Adult Education_National Leadership Activities (B,C)	X	
84.243 Tech-Prep Education (A)	X	
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)	X	
84.259 Native Hawaiian Career and Technical Education (B)	X	
84.331 Grants to States for Workplace and Community Transition Training for Incarcerated Individuals (A)	X	
CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION		
85.101 Homeland Security Award (D)	X	
85.102 Christopher Columbus Awards (B)	X	
85.104 Life Sciences Awards (C,D)	X	
85.105 Agriscience Awards (C,D)	X	
MILLENNIUM CHALLENGE CORPORATION		
85.002 MCC Foreign Assistance for Overseas Programs (B,C)	X	
85.003 MCC Domestic Assistance for Overseas Programs (B,C)	X	
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION		
89.001 National Archives Reference Services_Historical Research (I,K,L)		X
89.003 National Historical Publications and Records Grants (B)	X	
89.005 Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (C)	X	
BROADCASTING BOARD OF GOVERNORS		
90.500 International Broadcasting Independent Grantee Organizations (B)	X	
JAPAN U.S. FRIENDSHIP COMMISSION		
90.300 Japan-U.S. Friendship Commission Grants (B)	X	
U.S. ELECTION ASSISTANCE COMMISSION		
90.400 Help America Vote College Program (B)	X	
90.403 U.S. Election Assistance Commission Research Grants (B)	X	
UNITED STATES INSTITUTE OF PEACE		
91.001 Annual Grant Competition (B)	X	
91.002 Priority Grant Competition (B)	X	
91.003 91.003 Annual Senior Fellowship Competition (B)	X	
DEPARTMENT OF HEALTH AND HUMAN SERVICES		
ADMINISTRATION FOR CHILDREN AND FAMILIES		
93.009 Compassion Capital Fund (B)	X	
93.010 Community-Based Abstinence Education (CBAE) (B)	X	
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)	X	
93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)	X	
93.090 Guardianship Assistance (A)	X	
93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants (B)	X	
93.092 Personal Responsibility Education Program (A,B)	X	
93.093 Demonstration Projects to Address Health Professions Workforce Needs (B)	X	
93.235 Abstinence Education Program (A)	X	
93.254 Infant Adoption Awareness Training (B)	X	
93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)	X	
93.550 Transitional Living for Homeless Youth (B)	X	
93.551 Abandoned Infants (B)	X	
93.556 Promoting Safe and Stable Families (A,B)	X	
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)	X	
93.558 Temporary Assistance for Needy Families (A)	X	
93.560 Family Support Payments to States_Assistance Payments (A)	X	
93.563 Child Support Enforcement (A)	X	

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Program Description	Financial	Non-Financial
93.564 Child Support Enforcement Research (B)	X	
93.566 Refugee and Entrant Assistance_State Administered Programs (A)	X	
93.567 Refugee and Entrant Assistance_Voluntary Agency Programs (B)	X	
93.568 Low-Income Home Energy Assistance (A)	X	
93.569 Community Services Block Grant (A)	X	
93.570 Community Services Block Grant_Discretionary Awards (B)	X	
93.575 Child Care and Development Block Grant (A)	X	
93.576 Refugee and Entrant Assistance_Discretionary Grants (B)	X	
93.579 U.S. Repatriation (B)	X	
93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)	X	
93.583 Refugee and Entrant Assistance_Wilson/Fish Program (B)	X	
93.584 Refugee and Entrant Assistance_Targeted Assistance Grants (A)	X	
93.586 State Court Improvement Program (A)	X	
93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)	X	
93.590 Community-Based Child Abuse Prevention Grants (A)	X	
93.591 Family Violence Prevention and Services/Grants For Battered Women's Shelters_Grants to State Domestic Violence Coalitions (A)	X	
93.592 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants (B)	X	
93.593 Job Opportunities for Low-Income Individuals (B)	X	
93.594 Tribal Work Grants (A)	X	
93.595 Welfare Reform Research, Evaluations and National Studies (B)	X	
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)	X	
93.597 Grants to States for Access and Visitation Programs (B)	X	
93.598 Services to Victims of a Severe Form of Trafficking (B)	X	
93.599 Chafee Education and Training Vouchers Program (ETV) (A)	X	
93.600 Head Start (B)	X	
93.601 Child Support Enforcement Demonstrations and Special Projects (B)	X	
93.602 Assets for Independence Demonstration Program (B)	X	
93.603 Adoption Incentive Payments (A)	X	
93.604 Assistance for Torture Victims (B)	X	
93.605 Family Connection Grants (B)	X	
93.612 Native American Programs (B)	X	
93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)		X
93.616 Mentoring Children of Prisoners (B)	X	
93.617 Voting Access for Individuals with Disabilities_Grants to States (A)	X	
93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A)	X	
93.623 Basic Center Grant (B)	X	
93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)	X	
93.631 Developmental Disabilities Projects of National Significance (B)	X	
93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)	X	
93.643 Children's Justice Grants to States (A)	X	
93.645 Child Welfare Services_State Grants (A)	X	
93.647 Social Services Research and Demonstration (B)	X	
93.648 Child Welfare Research Training or Demonstration (B)	X	
93.652 Adoption Opportunities (B)	X	
93.658 Foster Care_Title IV-E (A,B)	X	
93.659 Adoption Assistance (A)	X	
93.667 Social Services Block Grant (A)	X	
93.669 Child Abuse and Neglect State Grants (A)	X	
93.670 Child Abuse and Neglect Discretionary Activities (B)	X	
93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (A)	X	
93.674 Chafee Foster Care Independence Program (A)	X	
93.676 Unaccompanied Alien Children Program (B)	X	
93.708 ARRA - Head Start (B)	X	
93.709 ARRA - Early Head Start (B)	X	
93.710 ARRA - Community Services Block Grant (A)	X	
93.711 ARRA Strengthening Communities Fund (B)	X	

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Program Description	Financial	Non-Financial
93.713 ARRA Child Care and Development Block Grant (A)	X	
93.714 ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)	X	
93.716 ARRA Temporary Assistance for Needy Families (TANF) Supplemental Grants (A)	X	
ADMINISTRATION ON AGING		
93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)	X	
93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (A)	X	
93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (A)	X	
93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)	X	
93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)	X	
93.047 Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (B)	X	
93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (B)	X	
93.051 Alzheimer's Disease Demonstration Grants to States (B)	X	
93.052 National Family Caregiver Support, Title III, Part E (A)	X	
93.053 Nutrition Services Incentive Program (A)	X	
93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)	X	
93.071 Medicare Enrollment Assistance Program (A,B)	X	
93.072 Lifespan Respite Care Program (B)	X	
93.517 Affordable Care Act Aging and Disability Resource Center (B)	X	
93.518 93.518 - Affordable Care Act - Medicare Improvements for Patients and Providers (A,B)	X	
93.705 Aging Home-Delivered Nutrition Services for States (A)	X	
93.706 Aging Nutrition Services for Native Americans (B)	X	
93.707 Aging Congregate Nutrition Services for States (A)	X	
93.725 ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (B)	X	
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY		
93.225 National Research Service Awards_Health Services Research Training (B)	X	
93.226 Research on Healthcare Costs, Quality and Outcomes (B)	X	
93.715 Recovery Act Comparative Effectiveness Research - AHRQ (B)	X	
AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY		
93.161 Health Program for Toxic Substances and Disease Registry (B)	X	
93.202 Capacity Building Among American Indian Tribes (B)	X	
93.204 Surveillance of Hazardous Substance Emergency Events (B)	X	
93.206 Human Health Studies_Applied Research and Development (B)	X	
93.240 State Capacity Building (B)	X	
CENTERS FOR DISEASE CONTROL AND PREVENTION		
93.061 Innovations in Applied Public Health Research (B)	X	
93.062 Biomonitoring Programs for State Public Health Laboratories (B)	X	
93.063 Centers for Genomics and Public Health (B)	X	
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)	X	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)	X	
93.066 State Vital Statistics Improvement Program (B)	X	
93.068 Chronic Diseases: Research, Control, and Prevention (B)	X	
93.069 Public Health Emergency Preparedness (B)	X	
93.070 Environmental Public Health and Emergency Response (B)	X	
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)	X	
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)	X	
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)	X	
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)	X	
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)	X	
93.184 Disabilities Prevention (B)	X	
93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)	X	
93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and		

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Program Description	Financial	Non-Financial
Surveillance of Blood Lead Levels in Children (B)		
93.262 Occupational Safety and Health Program (B,M)	X	
93.268 Immunization Grants (B)	X	
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	X	
93.270 Adult Viral Hepatitis Prevention and Control (B)	X	
93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance (B)	X	
93.338 Foreign Public Health Construction (B)	X	
93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes (B)	X	
93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)	X	
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements (B)	X	
93.523 The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (B)	X	
93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance (B)	X	
93.712 ARRA - Immunization (B)	X	
93.717 ARRA - Preventing Healthcare-Associated Infections (B)	X	
93.723 ARRA - Prevention and Wellness-State, Territories and Pacific Islands (B)	X	
93.724 ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (B)	X	
93.729 ARRA Health Information Technology and Public Health (B)	X	
93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program (B)	X	
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	X	
93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)	X	
93.939 HIV Prevention Activities_Non-Governmental Organization Based (B)	X	
93.940 HIV Prevention Activities_Health Department Based (B)	X	
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)	X	
93.942 Research, Treatment and Education Programs on Lyme Disease in the United States (B)	X	
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)	X	
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	X	
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)	X	
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	X	
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)	X	
93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants (B)	X	
93.978 Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants (B)	X	
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)	X	
93.991 Preventive Health and Health Services Block Grant (A)	X	
93.993 Public Health Research Accreditation Project (B)	X	
CENTERS FOR MEDICARE AND MEDICAID SERVICES		
93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)	X	
93.720 ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (B)	X	
93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)	X	
93.767 Children's Health Insurance Program (A)	X	
93.768 Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)	X	
93.769 Demonstration to Maintain Independence and Employment (B)	X	
93.770 Medicare_Prescription Drug Coverage (C)	X	
93.773 Medicare_Hospital Insurance (C)	X	
93.774 Medicare_Supplementary Medical Insurance (C)	X	
93.775 State Medicaid Fraud Control Units (A)	X	
93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)	X	
93.778 Medical Assistance Program (A)	X	
93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)	X	

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Program Description	Financial	Non-Financial
93.780 Grants to States for Operation of Qualified High-Risk Pools (A)	X	
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)	X	
93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (B)	X	
93.790 Alternate Non-Emergency Service Providers or Networks (B)	X	
93.791 Money Follows the Person Rebalancing Demonstration (B)	X	
93.793 Medicaid Transformation Grants (B)	X	
FOOD AND DRUG ADMINISTRATION		
93.103 Food and Drug Administration_Research (B)	X	
93.448 Food Safety and Security Monitoring Project (B)	X	
HEALTH RESOURCES AND SERVICES ADMINISTRATION		
93.107 Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)	X	
93.110 Maternal and Child Health Federal Consolidated Programs (B)	X	
93.117 Preventive Medicine Residency Program (B,M)	X	
93.124 Nurse Anesthetist Traineeships (A)	X	
93.127 Emergency Medical Services for Children (B)	X	
93.129 Technical and Non-Financial Assistance to Health Centers (B)	X	
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)	X	
93.134 Grants to Increase Organ Donations (B)	X	
93.145 AIDS Education and Training Centers (B)	X	
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	X	
93.155 Rural Health Research Centers (B)	X	
93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B,M)	X	
93.157 Centers of Excellence (A,B)	X	
93.162 National Health Service Corps Loan Repayment Program (C)	X	
93.165 Grants to States for Loan Repayment Program (B,C)	X	
93.178 Nursing Workforce Diversity (B)	X	
93.186 National Research Service Award in Primary Care Medicine (M)		X
93.189 Health Education Training Centers Continuing Educational Support for Health Professionals Serving in Underserved Communities (B)	X	
93.191 Patient Navigator and Chronic Disease Prevention Program (B)	X	
93.211 Telehealth Programs (B)	X	
93.212 Chiropractic Demonstration Project Grants (B)	X	
93.223 Development and Coordination of Rural Health Services (B)	X	
93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)	X	
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)	X	
93.236 Grants for Dental Public Health Residency Training (B)	X	
93.241 State Rural Hospital Flexibility Program (B)	X	
93.247 Advanced Nursing Education Grant Program (B)	X	
93.249 Public Health Training Centers Grant Program (B)	X	
93.250 Geriatric Academic Career Awards (B)	X	
93.251 Universal Newborn Hearing Screening (B)	X	
93.253 Poison Center Support and Enhancement Grant Program (B)	X	
93.255 Children's Hospitals Graduate Medical Education Payment (C)	X	
93.256 State Health Access Program (B)	X	
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	X	
93.259 Rural Access to Emergency Devices Grant (B)	X	
93.264 Nurse Faculty Loan Program (NFLP) (E)	X	
93.265 Comprehensive Geriatric Education Program(CGEP) (B)	X	
93.266 Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)	X	
93.267 State Grants for Protection and Advocacy Services (A)	X	
93.288 National Health Service Corps Scholarship Program (C)	X	
93.300 National Center for Health Workforce Analysis (B)	X	
93.301 Small Rural Hospital Improvement Grant Program (B)	X	
93.303 Nursing Scholarship Program (C)	X	

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Program Description	Financial	Non-Financial
93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (E)	X	
93.358 Advanced Nursing Education Traineeships (A)	X	
93.359 Nurse Education, Practice and Retention Grants (B)	X	
93.364 Nursing Student Loans (E)	X	
93.365 Sickle Cell Treatment Demonstration Program (B)	X	
93.400 National Health Service Corps Scholarship Program (C)	X	
93.401 ARRA - National Health Service Corps Loan Repayment (C)	X	
93.402 ARRA - State Loan Repayment Program (B,C)	X	
93.403 ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (B)	X	
93.404 ARRA Dental Public Health Residency Training Grants (B)	X	
93.405 ARRA - Public Health Traineeship Program (A)	X	
93.406 Nursing Education Loan Repayment Program (NELRP) (C)	X	
93.407 ARRA - Scholarships for Disadvantaged Students (B)	X	
93.408 ARRA - Nurse Faculty Loan Program (B)	X	
93.409 Faculty Loan Repayment Programs (C)	X	
93.410 ARRA Licensure Portability Grant Program (B)	X	
93.411 ARRA Equipment to Enhance Training for Health Professionals (B)	X	
93.412 ARRA - Preventive Medicine Residency Program (B,M)	X	
93.414 ARRA - State Primary Care Offices (B)	X	
93.415 ARRA - Centers of Excellence (B)	X	
93.416 ARRA - Health Careers Opportunity Program (B)	X	
93.417 ARRA - Nursing Workforce Diversity (B)	X	
93.418 ARRA - Emergency Medical Services for Children (B)	X	
93.419 ARRA - Maternal and Child Health (MCH) Pediatric Research Network Program (B)	X	
93.420 ARRA - Community Health Applied Research Network (B,C)	X	
93.440 National Project Management of the Healthcare Communities Knowledge Gateway (B)	X	
93.501 Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures (B)	X	
93.502 Affordable Care Act (ACA) Infrastructure to Expand Access to Care (B)	X	
93.503 Affordable Care Act (ACA) Nursing and Home Health Aides Training Program (B)	X	
93.504 Affordable Care Act (ACA) Family to Family Health Information Centers (B)	X	
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)	X	
93.509 Affordable Care Act (ACA) State Health Care Workforce Development Grants (B)	X	
93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)	X	
93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (B)	X	
93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)	X	
93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)	X	
93.515 Affordable Care Act (ACA) Nurse-Managed Health Clinics (B)	X	
93.516 Affordable Care Act (ACA) Public Health Training Centers Program (B)	X	
93.522 Affordable Care Act (ACA) Prevention Center for Healthy Weight (B)	X	
93.526 Affordable Care Act (ACA) Grants for Capital Development in Health Centers (B)	X	
93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)	X	
93.703 ARRA Grants to Health Center Programs (B)	X	
93.822 Health Careers Opportunity Program (B)	X	
93.824 Area Health Education Centers Infrastructure Development Awards (B)	X	
93.884 Grants for Training in Primary Care Medicine and Dentistry (B)	X	
93.887 Health Care and Other Facilities (B)	X	
93.888 Specially Selected Health Projects (B)	X	
93.908 Nursing Education Loan Repayment Program (C)	X	
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)	X	
93.913 Grants to States for Operation of Offices of Rural Health (B)	X	
93.914 HIV Emergency Relief Project Grants (B)	X	
93.917 HIV Care Formula Grants (A)	X	
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)	X	
93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)	X	
93.924 Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership (B,C)	X	
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)	X	

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Program Description	Financial	Non-Financial
93.926 Healthy Start Initiative (B)	X	
93.928 Special Projects of National Significance (B)	X	
93.932 Native Hawaiian Health Systems (B)	X	
93.964 Public Health Traineeships (A)	X	
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	X	
93.969 Geriatric Education Centers (B)	X	
93.994 Maternal and Child Health Services Block Grant to the States (A)	X	
INDIAN HEALTH SERVICE		
93.123 Health Professions Pregraduate Scholarship Program for Indians (M)		X
93.164 Indian Health Service Educational Loan Repayment (C)	X	
93.193 Urban Indian Health Services (B)	X	
93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)	X	
93.228 Indian Health Service_Health Management Development Program (B)	X	
93.231 Epidemiology Cooperative Agreements (B)	X	
93.237 Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (B)	X	
93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (B)	X	
93.441 Indian Self-Determination (C)	X	
93.442 Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)	X	
93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)	X	
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)	X	
93.445 Indian Health Service Sanitation Facilities Construction Program (J)		X
93.722 ARRA - IHS Tribal Agreements (B)	X	
93.933 Demonstration Projects for Indian Health (B)	X	
93.954 Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (M)		X
93.970 Health Professions Recruitment Program for Indians (M)		X
93.971 Health Professions Preparatory Scholarship Program for Indians (M)		X
93.972 Health Professions Scholarship Program (M)		X
NATIONAL INSTITUTES OF HEALTH		
93.113 Environmental Health (B)	X	
93.121 Oral Diseases and Disorders Research (B)	X	
93.140 Intramural Research Training Award (B)	X	
93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)	X	
93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)	X	
93.172 Human Genome Research (B)	X	
93.173 Research Related to Deafness and Communication Disorders (B)	X	
93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)	X	
93.209 Contraception and Infertility Research Loan Repayment Program (C)	X	
93.213 Research and Training in Complementary and Alternative Medicine (B,M)	X	
93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (B)	X	
93.232 Loan Repayment Program for General Research (B)	X	
93.233 National Center on Sleep Disorders Research (B)	X	
93.242 Mental Health Research Grants (B)	X	
93.273 Alcohol Research Programs (B)	X	
93.279 Drug Abuse and Addiction Research Programs (B,M)	X	
93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)	X	
93.281 Mental Health Research Career/Scientist Development Awards (B)	X	
93.282 Mental Health National Research Service Awards for Research Training (M)		X
93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)	X	
93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)	X	
93.307 Minority Health and Health Disparities Research (B)	X	
93.308 Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research (C)	X	
93.310 Trans-NIH Research Support (B,M)	X	
93.361 Nursing Research (B)	X	
93.389 National Center for Research Resources (B)	X	
93.393 Cancer Cause and Prevention Research (B)	X	
93.394 Cancer Detection and Diagnosis Research (B)	X	

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Program Description	Financial	Non-Financial
93.395 Cancer Treatment Research (B)	X	
93.396 Cancer Biology Research (B)	X	
93.397 Cancer Centers Support Grants (B)	X	
93.398 Cancer Research Manpower (B)	X	
93.399 Cancer Control (B)	X	
93.701 Trans-NIH Recovery Act Research Support (B)	X	
93.702 National Center for Research Resources, Recovery Act Construction Support (B)	X	
93.704 Trans-NIH Recovery Act Loan Repayment Support (C)	X	
93.837 Cardiovascular Diseases Research (B)	X	
93.838 Lung Diseases Research (B)	X	
93.839 Blood Diseases and Resources Research (B)	X	
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X	
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	
93.855 Allergy, Immunology and Transplantation Research (B)	X	
93.856 Microbiology and Infectious Diseases Research (B)	X	
93.859 Biomedical Research and Research Training (B)	X	
93.865 Child Health and Human Development Extramural Research (B)	X	
93.866 Aging Research (B)	X	
93.867 Vision Research (B)	X	
93.879 Medical Library Assistance (B)	X	
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B)	X	
93.989 International Research and Research Training (B,M)	X	
OFFICE OF DISEASE PREVENTION AND HEALTH PROMOTION		
93.990 National Health Promotion (B)	X	
OFFICE OF MINORITY HEALTH		
93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)	X	
93.006 State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)	X	
93.105 Bilingual/Bicultural Service Demonstration Grants (B)	X	
93.137 Community Programs to Improve Minority Health Grant Program (B)	X	
93.910 Family and Community Violence Prevention Program (B)	X	
OFFICE OF POPULATION AFFAIRS		
93.111 Adolescent Family Life Research Grants (B)	X	
93.217 Family Planning_Services (B)	X	
93.260 Family Planning_Personnel Training (B)	X	
93.974 Family Planning_Service Delivery Improvement Research Grants (B)	X	
93.995 Adolescent Family Life_Demonstration Projects (B)	X	
OFFICE OF THE SECRETARY		
93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)		X
93.007 Public Awareness Campaigns on Embryo Adoption (B)	X	
93.008 Medical Reserve Corps Small Grant Program (B)	X	
93.015 HIV Prevention Programs for Women (B)	X	
93.017 Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (B)	X	
93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)	X	
93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)	X	
93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)	X	
93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)	X	
93.290 National Community Centers of Excellence in Women's Health (B)	X	
93.295 Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)	X	
93.296 State Partnership Grant Program to Improve Minority Health (B)	X	
93.297 Teenage Pregnancy Prevention Program (B)	X	
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure		

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Program Description	Financial	Non-Financial
Development (B)		
93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS. (B)	X	
93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)	X	
93.453 Coalition for a Healthier Community (B)	X	
93.500 Pregnancy Assistance Fund Program (B)	X	
93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)	X	
93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)	X	
93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)	X	
93.718 Health Information Technology Regional Extension Centers Program (B)	X	
93.719 ARRA - State Grants to Promote Health Information Technology (B)	X	
93.721 ARRA - Health Information Technology Professionals in Health Care (B)	X	
93.726 ARRA Accelerating Adoption of Comparative Effectiveness Research (CER) (B)	X	
93.727 ARRA - Health Information Technology - Beacon Communities (B)	X	
93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)	X	
93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (B)	X	
93.889 National Bioterrorism Hospital Preparedness Program (A)	X	
PRESIDENT'S COUNCIL ON PHYSICAL FITNESS AND SPORTS		
93.289 President's Council on Fitness, Sports, and Nutrition (K)		X
PROGRAM SUPPORT CENTER		
93.291 Surplus Property Utilization (H)		X
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION		
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)	X	
93.138 Protection and Advocacy for Individuals with Mental Illness (A)	X	
93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)	X	
93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)	X	
93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)	X	
93.276 Drug-Free Communities Support Program Grants (B)	X	
93.958 Block Grants for Community Mental Health Services (A)	X	
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)	X	
93.975 National All Schedules Prescription Electronic Reporting Grant (A)	X	
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)	X	
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE		
94.002 Retired and Senior Volunteer Program (B)	X	
94.003 State Commissions (B)	X	
94.006 AmeriCorps (B)	X	
94.007 Program Development and Innovation Grants (B)	X	
94.009 Training and Technical Assistance (L,M)		X
94.011 Foster Grandparent Program (B)	X	
94.013 Volunteers in Service to America (J)		X
94.016 Senior Companion Program (B)	X	
94.019 Social Innovation Fund (B)	X	
94.020 CNCS Disaster Response Cooperative Agreement (B)	X	
94.021 Volunteer Generation Fund (B)	X	
94.022 Nonprofit Capacity Building (B)	X	
EXECUTIVE OFFICE OF THE PRESIDENT		
95.001 High Intensity Drug Trafficking Areas Program (B)	X	
DEPARTMENT OF HOMELAND SECURITY		
97.005 State and Local Homeland Security National Training Program (B)	X	
97.007 Homeland Security Preparedness Technical Assistance Program (B)	X	
97.008 Non-Profit Security Program (B)	X	
97.009 Cuban/Haitian Entrant Program (B)	X	
97.010 Citizenship Education and Training (B)	X	

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97.019 National Fire Academy Fellowship Program (M)		X
97.022 Flood Insurance (G)	X	
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)	X	
97.024 Emergency Food and Shelter National Board Program (B)	X	
97.025 National Urban Search and Rescue (US&R) Response System (B)	X	
97.026 Emergency Management Institute_Training Assistance (C)	X	
97.027 Emergency Management Institute (EMI)_Independent Study Program (M)		X
97.028 Emergency Management Institute (EMI)_Resident Educational Program (M)		X
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97.030 Community Disaster Loans (E)	X	
97.031 Cora Brown Fund (C)	X	
97.032 Crisis Counseling (B)	X	
97.033 Disaster Legal Services (C)	X	
97.034 Disaster Unemployment Assistance (C,J)	X	
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97.041 National Dam Safety Program (B)	X	
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97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)	X	
97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)	X	
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X	
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97.056 Port Security Grant Program (B)	X	
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97.059 Truck Security Program (B)	X	
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97.072 National Explosives Detection Canine Team Program (B)	X	
97.073 State Homeland Security Program (SHSP) (A)	X	
97.075 Rail and Transit Security Grant Program (B)	X	
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97.077 Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (B,H,I,L)	X	
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97.080 Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)	X	
97.082 Earthquake Consortium (B)	X	
97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)	X	
97.084 Hurricane Katrina Case Management Initiative Program (B)	X	
97.086 Homeland Security Outreach, Education, and Technical Assistance (B,L)	X	

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APPLICANT ELIGIBILITY INDEX

The alphabet(s) in parenthesis following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Definitions of the types of applicants used in this Index are given below.

Individual - Any person or persons, as individuals, groups, or profit making organizations. Such persons and groups do not represent Federally Recognized Indian Tribal Governments. Includes Indians or other Native Americans who apply as individuals rather than as a member of a tribe or other Indian organization.

Local - Agencies or instrumentalities of political subdivisions within a State, to include cities, towns, townships, parishes, municipalities, villages, counties, school districts, and other special local districts. Included under local are Indian tribes on State reservations, Indian bands and group, Pueblos, Indian school boards, and State designated Indian tribes. Local does not include institutions of higher education and hospitals.

Nonprofit - A public or private agency or organization established by charter to perform specialized functions or services for the benefit of all or part of the general public. Functions or services are provided without charge or at cost, and earn no profit. The agency or organization has no shareholders to receive dividends.

State - Any agency or instrumentality of the fifty States of the United States, and the District of Columbia. State does not include the political subdivisions of the State, but does include institutions of higher education and hospitals.

U.S. Territories - Any agency or instrumentality of the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Trust Territories of the Pacific Islands, and Mariana Islands. Included are the political subdivisions of the territories, institutions of higher education, and hospitals.

Federally Recognized Indian Tribal Organizations - The governing body or a governmental agency of an Indian Tribe, nation, or other organized group or community recognized and certified by the Secretary of the Interior. Included are Native villages as defined in the Alaska Native Claims Settlement Act.

(Note: The information contained in this Index was taken from the Applicant Eligibility section of the program descriptions and from other sources. As a potential applicant, if you feel you also qualify to apply for assistance under a particular program but the Index says otherwise, always contact the agency contact persons. As regarding whether or not a potential applicant is eligible for a program, the agency is always the best source.)

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
DEPARTMENT OF AGRICULTURE						
10.001 Agricultural Research_Basic and Applied Research (B)	X	X	X	X	X	X
10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)		X	X	X		
10.028 Wildlife Services (B)	X	X	X	X		X
10.051 Commodity Loans and Loan Deficiency Payments (D,E)	X					
10.053 Dairy Indemnity Program (D)	X					
10.054 Emergency Conservation Program (C)	X					
10.055 Direct and Counter-cyclical Payments Program (C)	X					
10.056 Farm Storage Facility Loans (E)	X	X	X	X	X	X
10.069 Conservation Reserve Program (C)	X	X		X		
10.072 Wetlands Reserve Program (C)	X	X		X		
10.085 Tobacco Transition Payment Program (D)	X					
10.088 Livestock Indemnity Program (C)	X					
10.090 Supplemental Revenue Assistance Program (C)	X					

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Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.092 Tree Assistance Program (D)	X					
10.093 Voluntary Public Access and Habitat Incentive Program (A)						X
10.099 Conservation Loans (E,F)	X					
10.153 Market News (L)	X	X	X	X	X	X
10.155 Marketing Agreements and Orders (J,K)	X					
10.156 Federal-State Marketing Improvement Program (B)				X		
10.162 Inspection Grading and Standardization (J)						
10.163 Market Protection and Promotion (J,K,M)	X	X	X	X	X	X
10.164 Wholesale Farmers and Alternative Market Development (K,M)				X		
10.165 Perishable Agricultural Commodities Act (N)	X					
10.167 Transportation Services (K,M)	X	X	X	X	X	X
10.168 Farmers' Market Promotion Program (B)		X				
10.169 Specialty Crop Block Grant Program (B)				X		
10.170 Specialty Crop Block Grant Program - Farm Bill (B)				X		
10.200 Grants for Agricultural Research, Special Research Grants (B)			X	X		
10.202 Cooperative Forestry Research (A)				X		
10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)			X	X		
10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)			X	X		
10.206 Grants for Agricultural Research_Competitive Research Grants (B)	X		X	X		
10.207 Animal Health and Disease Research (A)			X	X		
10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants (B)			X	X		
10.212 Small Business Innovation Research (B)						
10.215 Sustainable Agriculture Research and Education (B)			X	X		
10.216 1890 Institution Capacity Building Grants (B)			X	X		
10.217 Higher Education Challenge Grants (B)			X	X		
10.219 Biotechnology Risk Assessment Research (B)		X	X	X		X
10.220 Higher Education Multicultural Scholars Program (B)			X	X		X
10.221 Tribal Colleges Education Equity Grants (B)		X		X		
10.222 Tribal Colleges Endowment Program (A)		X		X		
10.223 Hispanic Serving Institutions Education Grants (B)			X	X		
10.225 Community Food Projects (B)						
10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)			X	X		
10.227 1994 Institutions Research Program (B)		X		X		
10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)			X	X		
10.256 Consumer Data Initiative (CDI) (L)	X	X	X	X	X	X
10.290 Agricultural Market and Economic Research (B)			X			
10.303 Integrated Programs (B)			X	X		
10.304 Homeland Security_Agricultural (B)	X	X	X	X	X	X
10.305 International Science and Education Grants (B)			X	X		
10.306 Biodiesel (B)			X	X		
10.307 Organic Agriculture Research and Extension Initiative (B)	X		X	X		
10.308 Resident Instruction Grants for Insular Area Activities (B)			X	X		
10.309 Specialty Crop Research Initiative (B)	X	X	X	X	X	X
10.310 Agriculture and Food Research Initiative (AFRI) (B)	X		X	X		
10.311 Beginning Farmer and Rancher Development Program (B)		X	X	X		X
10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI) (B)			X	X		
10.313 Veterinary Medicine Loan Repayment Program (C)	X					
10.314 New ERA Rural Technology Competitive Grants Program (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (B)			X	X		
10.316 Healthy Urban Food Enterprise Development Center (HUFED) (B)	X		X			
10.317 Food Aid Nutrition Enhancement Program (B)			X	X		
10.318 Women and Minorities in Science, Technology, Engineering, and (B)	X	X	X	X		X
10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)	X		X	X		
10.320 Sun Grant Program (B)			X			
10.352 Value-Added Producer Grants (B)						
10.404 Emergency Loans (E)	X					
10.405 Farm Labor Housing Loans and Grants (B,F)	X	X	X	X		
10.406 Farm Operating Loans (E,F)	X					
10.407 Farm Ownership Loans (E,F)	X					
10.410 Very Low to Moderate Income Housing Loans (E,F)	X					
10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans (E)			X			
10.415 Rural Rental Housing Loans (B,E)	X	X	X	X		
10.417 Very Low-Income Housing Repair Loans and Grants (B,E)	X					
10.420 Rural Self-Help Housing Technical Assistance (B)		X	X			
10.433 Rural Housing Preservation Grants (B)			X		X	
10.435 State Mediation Grants (B)				X		
10.437 Interest Assistance Program (F)	X					
10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)						
10.441 Technical and Supervisory Assistance Grants (B)			X			X
10.442 Housing Application Packaging Grants (B)						
10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)				X		
10.444 Direct Housing_Natural Disaster Loans and Grants (B,E)	X	X	X		X	X
10.445 Direct Housing_Natural Disaster (E)	X	X	X		X	X
10.446 Rural Community Development Initiative (B)			X			X
10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)	X	X	X	X	X	X
10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (C)	X					
10.449 Boll Weevil Eradication Loan Program (E)						
10.451 Noninsured Assistance (D)		X				
10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)		X		X		
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)				X		
10.477 Meat, Poultry, and Egg Products Inspection (J)	X					
10.479 Food Safety Cooperative Agreements (B)		X		X		
10.500 Cooperative Extension Service (A,B)	X	X	X	X	X	X
10.551 Supplemental Nutrition Assistance Program (C)				X	X	
10.553 School Breakfast Program (A)			X	X		
10.555 National School Lunch Program (A)			X	X		
10.556 Special Milk Program for Children (A)				X		
10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)		X	X	X		X
10.558 Child and Adult Care Food Program (A)			X	X		
10.559 Summer Food Service Program for Children (A)			X	X		
10.560 State Administrative Expenses for Child Nutrition (A)				X		
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)						
10.565 Commodity Supplemental Food Program (A,H)				X		X
10.566 Nutrition Assistance For Puerto Rico (C)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.567 Food Distribution Program on Indian Reservations (B,H)						X
10.568 Emergency Food Assistance Program (Administrative Costs) (A,B)						
10.569 Emergency Food Assistance Program (Food Commodities) (A)						
10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)		X		X		
10.574 Team Nutrition Grants (B)				X		
10.576 Senior Farmers Market Nutrition Program (B)		X		X		
10.578 WIC Grants To States (WGS) (B)				X		
10.579 Child Nutrition Discretionary Grants Limited Availability (B)		X	X	X		X
10.580 Supplemental Nutrition Assistance Program, Outreach/Participation Program (B)				X		
10.582 Fresh Fruit and Vegetable Program (B)				X		
10.583 Hunger Free Communities (B)		X	X	X		X
10.585 FNS Food Safety Grants (B)			X	X		
10.652 Forestry Research (B)		X	X	X		
10.664 Cooperative Forestry Assistance (A,B)	X					X
10.665 Schools and Roads - Grants to States (A)				X		
10.666 Schools and Roads - Grants to Counties (A)						
10.672 Rural Development, Forestry, and Communities (B)		X		X		
10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)	X	X		X		
10.675 Urban and Community Forestry Program (B)		X	X	X		
10.676 Forest Legacy Program (B)				X		
10.678 Forest Stewardship Program (B)				X		
10.679 Collaborative Forest Restoration (B)		X	X			
10.680 Forest Health Protection (A,B)	X	X	X	X	X	X
10.681 Wood Education and Resource Center (WERC) (B)		X	X	X		X
10.682 National Forest Foundation (C)						
10.683 National Fish and Wildlife Foundation (C)			X			
10.684 International Forestry Programs (B)			X	X		X
10.687 Recovery Act of 2009: Capital Improvement and Maintenance (B)	X	X	X	X		X
10.688 Recovery Act of 2009: Wildland Fire Management (B)	X	X	X	X		X
10.689 Community Forest and Open Space Conservation Program (CFP) (B)		X	X			X
10.690 Lake Tahoe Erosion Control Grant Program (B)		X				
10.691 Good Neighbor Authority (B)				X		
10.693 Watershed Restoration and Enhancement Agreement Authority (B)	X	X				X
10.694 Southwest Forest Health and Wildfire Prevention (C)						
10.695 Eastern Nevada Landscape Restoration Project (ENLRP) (B)						
10.700 National Agricultural Library (L)	X	X	X	X		
10.759 Part 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (B)		X	X	X		
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)		X	X			X
10.761 Technical Assistance and Training Grants (B)						
10.762 Solid Waste Management Grants (B)		X				
10.763 Emergency Community Water Assistance Grants (B)		X				
10.766 Community Facilities Loans and Grants (B,E,F)		X	X	X		X
10.767 Intermediary Relending Program (E)		X		X		X
10.768 Business and Industry Loans (F)	X	X				X
10.769 Rural Business Enterprise Grants (B)		X	X	X		X
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)		X	X			X
10.771 Rural Cooperative Development Grants (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
10.773 Rural Business Opportunity Grants (B)	X	X	X	X	X	X
10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (C)			X			
10.780 Community Facilities Loans and Grants (B,E)		X	X	X		X
10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)		X			X	X
10.787 Broadband Initiatives Program (B,E)		X	X	X		X
10.788 Very Low to Moderate Income Housing Loans - Direct (E,F)	X	X				
10.789 Very Low to Moderate Income Housing Loans - Guaranteed (E,F)	X	X				
10.850 Rural Electrification Loans and Loan Guarantees (E)		X				
10.851 Rural Telephone Loans and Loan Guarantees (E,F)			X			
10.854 Rural Economic Development Loans and Grants (B,E)	X	X	X	X	X	X
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)		X	X	X		
10.857 State Bulk Fuel Revolving Fund Grants (B)		X			X	
10.858 Denali Commission Grants and Loans (B,E)					X	
10.859 Assistance to High Energy Cost Rural Communities (B,E)		X			X	
10.861 Public Television Station Digital Transition Grant Program (B)		X	X	X		
10.862 Household Water Well System Grant Program (B)						
10.863 Community Connect Grant Program (B)		X		X		
10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)						
10.865 Biorefinery Assistance (F)	X	X	X	X	X	X
10.886 Rural Broadband Access Loans and Loan Guarantees (E,F)		X	X	X		
10.901 Resource Conservation and Development (K)		X	X	X		
10.902 Soil and Water Conservation (K)	X	X		X		
10.903 Soil Survey (L)	X	X	X	X	X	X
10.904 Watershed Protection and Flood Prevention (K)		X	X	X		
10.905 Plant Materials for Conservation (J)				X		
10.907 Snow Survey and Water Supply Forecasting (L)	X	X	X	X	X	X
10.912 Environmental Quality Incentives Program (C)	X					X
10.913 Farm and Ranch Lands Protection Program (C)		X		X		
10.914 Wildlife Habitat Incentive Program (C)	X	X	X	X	X	X
10.916 Watershed Rehabilitation Program (K)		X		X		
10.917 Agricultural Management Assistance (C)				X		
10.920 Grassland Reserve Program (C)	X	X	X	X	X	X
10.921 Conservation Security Program (C)	X	X	X	X	X	X
10.922 Healthy Forests Reserve Program (HFRP) (C)						
10.923 Emergency Watershed Protection Program (B)	X	X	X	X	X	X
10.961 Scientific Cooperation and Research (B,C,M)			X	X	X	X
10.962 Cochran Fellowship Program-International Training-Foreign Participant (C)			X			

DEPARTMENT OF COMMERCE

11.010 Community Trade Adjustment Assistance (B)		X	X	X		X
11.313 Trade Adjustment Assistance for Firms (B)			X			
11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)				X		
11.482 Coral Reef Conservation Program (B)	X	X	X	X	X	X
11.550 Public Telecommunications Facilities Planning and Construction (B)		X	X	X		X
11.553 Special Projects (B)	X	X	X	X	X	X
11.557 Broadband Technology Opportunities Program (BTOP) (B)		X	X	X		X
11.558 State Broadband Data and Development Grant Program (B)				X		
11.559 Low-Power Television and Translator Upgrade Program (B)						
11.802 Minority Business Resource Development (B)		X	X	X		X
11.805 MBDA Business Center (B)		X	X			X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
DEPARTMENT OF DEFENSE						
12.117						X
12.118		X	X			X
12.119		X	X			X
12.120		X	X	X		X
12.121		X	X			X
12.122						X
12.123		X	X			X
12.124		X	X			X
12.125		X	X			X
12.126		X	X			X
12.127		X	X			X
12.128		X				
12.129						X
12.357					X	
12.404						
12.431		X	X	X		
12.560	X	X	X	X	X	X
12.599	X	X	X	X	X	X
12.630			X			
12.631	X					
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT						
14.103	X					
14.108	X					
14.110	X					
14.112	X	X	X	X	X	X
14.117	X					
14.119	X					
14.122	X	X	X	X	X	X
14.123	X					
14.126			X			
14.127	X					
14.128						
14.129						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
14.133 Mortgage Insurance_Purchase of Units in Condominiums (F)	X					
14.134 Mortgage Insurance_Rental Housing (F)	X					
14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)	X		X			
14.138 Mortgage Insurance_Rental Housing for the Elderly (F)			X			
14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas (F)	X		X			
14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)	X					
14.149 Rent Supplements_Rental Housing for Lower Income Families (C)	X					
14.151 Supplemental Loan Insurance_Multifamily Rental Housing (F)	X		X			
14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)	X	X	X	X	X	X
14.157 Supportive Housing for the Elderly (C)						
14.159 Section 245 Graduated Payment Mortgage Program (F)	X	X	X	X	X	X
14.162 Mortgage Insurance_Combination and Manufactured Home Lot Loans (F)	X	X	X	X	X	X
14.163 Mortgage Insurance_Single Family Cooperative Housing (F)	X					
14.167 Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d) (F)	X					
14.168 Land Sales-Certain Subdivided Land (Interstate Land Sales Registration) and Real Estate Settlement Procedures Act (L,N)	X					
14.169 Housing Counseling Assistance Program (B)		X	X	X		
14.171 Manufactured Home Construction and Safety Standards (L,N)	X	X	X	X	X	X
14.172 Mortgage Insurance_Growing Equity Mortgages (F)	X	X	X	X	X	X
14.175 Adjustable Rate Mortgages (F)	X					
14.181 Supportive Housing for Persons with Disabilities (C)						
14.183 Home Equity Conversion Mortgages (F)						
14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)			X			
14.188 Housing Finance Agencies (HFA) Risk Sharing (F)	X	X	X	X	X	X
14.189 Qualified Participating Entities (QPE) Risk Sharing (F)	X	X	X	X	X	X
14.191 Multifamily Housing Service Coordinators (B)						
14.195 Section 8 Housing Assistance Payments Program (C)						
14.198 Good Neighbor Next Door Sales Program (H)						
14.199 Multifamily Property Disposition (H)						
14.218 Community Development Block Grants/Entitlement Grants (A)		X				
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)						
14.227 Community Development Block Grants/Technical Assistance Program (B)		X	X	X		X
14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)				X		
14.231 Emergency Shelter Grants Program (A)		X	X			
14.235 Supportive Housing Program (B,C)		X	X			
14.238 Shelter Plus Care (B)		X		X		X
14.239 Home Investment Partnerships Program (A)		X		X		X
14.241 Housing Opportunities for Persons with AIDS (A,B)		X		X		
14.246 Community Development Block Grants/Brownfields Economic Development Initiative (B)		X				
14.247 Self-Help Homeownership Opportunity Program (B)						
14.248 Community Development Block Grants_Section 108 Loan						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Guarantees (F)						
14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)						
14.251 Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (B)		X	X	X		
14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)						
14.259 CPD's Transformation Initiative Technical Assistance (B)		X	X	X	X	X
14.260 Veterans Homelessness Prevention Demonstration Program (B)						
14.261 Homeless Management Information Systems Technical Assistance (B)		X				
14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance (B)		X				
14.263 Rural Innovation Fund (B)			X	X		X
14.311 Single Family Property Disposition (H)	X	X	X	X	X	X
14.313 Dollar Home Sales (H)		X				
14.314 Assisted Living Conversion for Eligible Multifamily Housing Projects (B)			X			
14.315 Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (B)						
14.316 Housing Counseling Training Program (B)			X			
14.317 Section 8 Housing Assistance Payments Program Special Allocations (Recovery Act Funded) (C)	X	X	X	X	X	X
14.318 Assisted Housing Stability and Energy and Green Retrofit Investments Program (Recovery Act Funded) (B)	X					
14.321 FHA Technical Assistance Training Transformation Initiative (M)						
14.400 Equal Opportunity in Housing (N)	X	X	X	X	X	X
14.401 Fair Housing Assistance Program_State and Local (B)						
14.408 Fair Housing Initiatives Program (B)		X		X		
14.416 Education and Outreach Initiatives (B)		X		X		
14.417 Fair Housing Organizaition Initiatives (B)						
14.418 Private Enforcement Initiatives (B)						
14.419 Section 3 Technical Assistance (B)		X		X		
14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (B)		X		X		
14.506 General Research and Technology Activity (J)						
14.514 Hispanic-Serving Institutions Assisting Communities (B)			X			
14.515 Alaska Native/Native Hawaiian Institutions Assisting Communities (B)			X			
14.516 Doctoral Dissertation Research Grants (B)			X			
14.519 Tribal Colleges and Universities Program (B)			X			
14.520 Historically Black Colleges and Universities Program (B)			X			
14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)		X	X	X		
14.524 Transformation Initiative Research Grants: Natural Experiments (B)			X	X		
14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants (B)			X	X		
14.703 Sustainable Communities Regional Planning Grant Program (B)		X	X	X	X	X
14.704 Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (B)		X			X	X
14.850 Public and Indian Housing (C)		X				
14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (C)						
14.862 Indian Community Development Block Grant Program (B)						X
14.865 Public and Indian Housing_Indian Loan Guarantee Program					X	

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
(F)						
14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)						
14.867 Indian Housing Block Grants (A)						X
14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)						X
14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)			X			X
14.871 Section 8 Housing Choice Vouchers (C)			X	X		
14.872 Public Housing Capital Fund (A)		X		X		
14.873 Native Hawaiian Housing Block Grants (B)						
14.874 Loan Guarantees for Native Hawaiian Housing (F)						
14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)						X
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)		X				
14.879 Mainstream Vouchers (C)			X	X		
14.880 Family Unification Program (FUP) (C)			X	X		
14.881 Moving to Work Demonstration Program (A)		X				
14.882 Native American Housing Block Grants (Formula) Recovery Act Funded (B)						
14.884 Public Housing Capital Fund Competitive (Recovery Act Funded) (B)						
14.886 Indian Community Development Block Grant Program (Recovery Act Funded) (B)						
14.887 Native American Housing Block Grants (Competitive) Recovery Act Funded (B)						
14.889 Choice Neighborhoods (B)		X				
14.890 Capital Fund Education and Training Community Facilities (B)		X				
14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)						
14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing (B)		X		X		X
14.902 Lead Technical Studies Grants (B)		X	X	X		X
14.905 Lead Hazard Reduction Demonstration Grant Program (B)		X		X		X
14.906 Healthy Homes Technical Studies Grants (B)		X	X	X		X
14.913 Healthy Homes Production Grant Program (B)		X	X	X		X
14.914 Asthma Interventions in Public and Assisted Multifamily Housing (B)		X	X	X		X

DEPARTMENT OF THE INTERIOR

15.020 Aid To Tribal Governments (C)						X
15.021 Consolidated Tribal Government Program (C)						X
15.022 Tribal Self-Governance (C)						X
15.024 Indian Self-Determination Contract Support (C)						X
15.025 Services to Indian Children, Elderly and Families (C)						X
15.026 Indian Adult Education (C)						X
15.028 Tribally Controlled Community College Endowments (B)						X
15.029 Tribal Courts (C)						X
15.030 Indian Law Enforcement (C)						X
15.031 Indian Community Fire Protection (C)						X
15.032 Indian Economic Development (C)						X
15.033 Road Maintenance_Indian Roads (C)						X
15.034 Agriculture on Indian Lands (C,J,K)						X
15.035 Forestry on Indian Lands (C,J,K)						X
15.036 Indian Rights Protection (C)						X
15.037 Water Resources on Indian Lands (C,J,K)		X				X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.038 Minerals and Mining on Indian Lands (C,J,L)						X
15.040 Real Estate Programs_Indian Lands (C)						X
15.042 Indian School Equalization Program (C)						X
15.043 Indian Child and Family Education (B,M)						X
15.044 Indian Schools_Student Transportation (C)						X
15.045 Assistance for Indian Children with Severe Disabilities (C)						
15.046 Administrative Cost Grants for Indian Schools (B)						X
15.047 Indian Education Facilities, Operations, and Maintenance (C)						X
15.051 Endangered Species on Indian Lands (C,K,L)						X
15.052 Litigation Support for Indian Rights (C)						X
15.053 Attorney Fees_Indian Rights (C)						X
15.057 Navajo-Hopi Indian Settlement Program (C,J)						X
15.058 Indian Post Secondary Schools (M)						
15.059 Indian Graduate Student Scholarships (B)						
15.060 Indian Vocational Training_United Tribes Technical College (D,M)						
15.061 Indian Job Placement_United Sioux Tribes Development Corporation (D,K)						
15.062 Replacement and Repair of Indian Schools (C)						X
15.063 Improvement and Repair of Indian Detention Facilities (C)						X
15.065 Safety of Dams on Indian Lands (C)						X
15.108 Indian Employment Assistance (C)	X					X
15.113 Indian Social Services_Welfare Assistance (C)	X					X
15.114 Indian Education_Higher Education Grant Program (B)	X					X
15.130 Indian Education_Assistance to Schools (C)				X		X
15.141 Indian Housing Assistance (B,L)	X					X
15.214 Non-Sale Disposals of Mineral Material (H)			X	X		
15.222 Cooperative Inspection Agreements with States and Tribes (B)				X		X
15.224 Cultural Resource Management (B,H,I,J,K,L,M,N)	X	X	X	X	X	X
15.225 Recreation Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.227 Distribution of Receipts to State and Local Governments (C,D)		X		X		
15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)		X	X	X		
15.229 Wild Horse and Burro Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.230 Invasive and Noxious Plant Management (B,K,M)		X		X		
15.231 Fish, Wildlife and Plant Conservation Resource Management (B,I,J,K,L,M)	X	X	X	X	X	X
15.232 Wildland Fire Research and Studies Program (B)	X	X	X	X	X	X
15.233 Forests and Woodlands Resource Management (B,K,M)	X	X	X	X	X	X
15.234 Secure Rural Schools and Community Self-Determination (B)		X	X	X		
15.235 Southern Nevada Public Land Management (B)		X				
15.236 Environmental Quality and Protection Resource Management (B,K,L,M)	X	X	X	X	X	X
15.237 Rangeland Resource Management (B,K,M)	X	X	X	X	X	X
15.238 Challenge Cost Share (B,I,K,M)	X	X	X	X	X	X
15.239 Management Initiatives (B,I,K,M)	X	X	X	X	X	X
15.240 Helium Resource Management (B)			X			
15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,I,M)						X
15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)				X		
15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)		X		X		X
15.253 Not-for-Profit AMD Reclamation (B)						
15.254 Summer Watershed Intern (C)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (B)		X	X	X		X
15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)	X	X	X	X		
15.421 Alaska Coastal Marine Institute (B)				X		
15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)						
15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)				X		
15.424 Marine Minerals Activities (B)				X		
15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (B)						
15.426 Coastal Impact Assistance Program (CIAP) (A)						
15.427 Federal Oil and Gas Royalty Management (B)				X		X
15.428 Marine Gas Hydrate Research Activities (B)			X	X		
15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)		X		X		
15.511 Cultural Resources Management (B)		X	X	X		X
15.512 Central Valley Project Improvement Act, Title XXXIV (B)		X		X		
15.513 Dutch John Federal Property and Disposition Assistance Act (B)				X		
15.514 Reclamation States Emergency Drought Relief (B,J,K)						
15.516 Fort Peck Reservation Rural Water System (B)						X
15.517 Fish and Wildlife Coordination Act (B)		X	X	X		X
15.518 Garrison Diversion Unit (B)		X				X
15.519 Indian Tribal Water Resources Development, Management, and Protection (B)		X				X
15.520 Lewis and Clark Rural Water System (B)						
15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)				X		
15.522 Mni Wiconi Rural Water Supply Project (B)						X
15.523 Perkins County Rural Water System (B)				X		
15.524 Recreation Resources Management (B)		X		X		
15.525 Rocky Boy's/North Central Montana Regional Water System (B)						X
15.526 San Gabriel Basin Restoration Project (B)						
15.527 San Luis Unit, Central Valley Project (B)		X	X	X		X
15.528 St. Mary Storage Unit Facilities Rehabilitation Project (B)				X		X
15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)		X	X	X		X
15.530 Water Conservation Field Services Program (WCFSP) (B)		X	X	X		
15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (B)		X		X		X
15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)		X	X	X		X
15.533 California Water Security and Environmental Enhancement (B)				X		
15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)						X
15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program. (B)			X	X		X
15.536 Deschutes River Conservancy (DRC) (B)						
15.537 Middle Rio Grande Endangered Species Collaborative Program (B)			X			X
15.538 Lower Colorado River Multi-Species Conservation Program. (B)	X	X	X	X	X	X
15.539 Equus Beds Division Aquifer Storage Recharge Project (B)		X		X		
15.540 Lake Mead/Las Vegas Wash Program (B)						
15.541 Colorado River Basin Projects Act of 1968 (B)		X				
15.542 Arizona Water Settlement Act of 2004 (B)		X				X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
15.543 Lake Tahoe Regional Wetlands Development Program (B)		X	X	X		X
15.544 Platte River Recovery Implementation Program (B)						
15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (B)		X				
15.546 Youth Conservation Program (B)		X	X	X		X
15.605 Sport Fish Restoration Program (A)				X		
15.608 Fish and Wildlife Management Assistance (J)				X		X
15.611 Wildlife Restoration (A)				X		
15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)				X		
15.615 Cooperative Endangered Species Conservation Fund (B)				X		
15.616 Clean Vessel Act (B)				X		
15.619 Rhinoceros and Tiger Conservation Fund (B)		X	X	X		
15.620 African Elephant Conservation Fund (B)	X	X	X	X	X	X
15.621 Asian Elephant Conservation Fund (B)		X	X	X		
15.622 Sportfishing and Boating Safety Act (B)				X		
15.623 North American Wetlands Conservation Fund (B)				X		
15.626 Firearm and Bow Hunter Education and Safety Program (A)				X		
15.628 Multistate Conservation Grant Program (B)				X		
15.629 Great Apes Conservation Fund (B)		X	X	X		
15.630 Coastal Program (C)	X	X	X	X		X
15.631 Partners for Fish and Wildlife (C)						X
15.634 State Wildlife Grants (A,B)				X		
15.635 Neotropical Migratory Bird Conservation (B)	X		X	X		
15.636 Alaska Subsistence Management (B)	X		X	X		X
15.637 Migratory Bird Joint Ventures (B)		X	X	X		X
15.639 Tribal Wildlife Grants Program (B)						X
15.640 Wildlife Without Borders- Latin America and the Caribbean (B)		X	X	X		
15.641 Wildlife Without Borders-Mexico (B)		X	X	X		
15.642 Challenge Cost Share (B)		X	X	X		
15.643 Alaska Migratory Bird Co-Management Council (B)		X	X			X
15.645 Marine Turtle Conservation Fund (B)						
15.647 Migratory Bird Conservation (B,C)		X		X		X
15.649 Service Training and Technical Assistance (Generic Training) (B)						
15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)	X	X	X	X	X	X
15.657 Endangered Species Conservation Recovery Implementation Funds (B)		X	X	X		X
15.658 Natural Resource Damage Assessment, Restoration and Implementation (B)	X	X	X	X	X	X
15.805 Assistance to State Water Resources Research Institutes (A,B)				X		
15.807 Earthquake Hazards Reduction Program (B)		X	X	X		
15.808 U.S. Geological Survey_ Research and Data Collection (B)		X	X	X		
15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)		X	X	X		X
15.810 National Cooperative Geologic Mapping Program (B)				X		
15.811 Gap Analysis Program (B)		X	X	X		
15.812 Cooperative Research Units Program (B)						
15.813 Cooperative Research Units Training Program (B)		X		X		
15.814 National Geological and Geophysical Data Preservation Program (B)				X		
15.815 National Land Remote Sensing_ Education Outreach and Research (B)		X	X	X		
15.816 Minerals Resources External Research Program (B)		X	X	X		
15.817 National Geospatial Program: Building The National Map						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
(B)						
15.818 Volcano Hazards Program Research and Monitoring. (B)		X		X		
15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)			X	X		
15.820 National Climate Change and Wildlife Service Center (B)			X			
15.875 Economic, Social, and Political Development of the Territories (B,D)						
15.937 Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)						
15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B,H,I,K,L,M)						
15.978 Upper Mississippi River System Long Term Resource Monitoring Program (B)		X		X		

DEPARTMENT OF JUSTICE

16.015 Missing Alzheimer's Disease Patient Assistance Program (B)		X	X			X
16.018 Services to Advocate for and Respond to Youth (B)			X			X
16.020 Children and Youth Exposed (B)			X			X
16.100 Desegregation of Public Education (J)	X					
16.101 Equal Employment Opportunity (J)	X	X	X	X	X	X
16.103 Fair Housing and Equal Credit Opportunity (J)	X	X	X	X	X	X
16.104 Protection of Voting Rights (J)	X	X	X	X	X	X
16.105 Civil Rights of Institutionalized Persons (J)	X	X	X	X	X	X
16.109 Civil Rights Prosecution (B)	X	X	X	X	X	X
16.110 Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (B,J,N)						
16.123 Community-Based Violence Prevention Program (B)		X	X	X		X
16.203 Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (B,M)		X		X		
16.320 Services for Trafficking Victims (B,C)		X		X		X
16.321 Antiterrorism Emergency Reserve (B)			X	X		
16.523 Juvenile Accountability Block Grants (A,B)		X		X		X
16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)				X		
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)	X	X	X	X	X	X
16.543 Missing Children's Assistance (B)	X	X		X		X
16.544 Youth Gang Prevention (B)	X		X			
16.548 Title V_Delinquency Prevention Program (A)		X		X		
16.550 State Justice Statistics Program for Statistical Analysis Centers (B)				X		
16.554 National Criminal History Improvement Program (NCHIP) (B)				X		
16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)		X		X		
16.562 Criminal Justice Research and Development_Graduate Research Fellowships (B)			X	X		
16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)	X					
16.571 Public Safety Officers' Benefits Program (D)	X					
16.575 Crime Victim Assistance (A)			X	X		
16.576 Crime Victim Compensation (A)				X		
16.578 Federal Surplus Property Transfer Program (H)				X		
16.582 Crime Victim Assistance/Discretionary Grants (B,C)			X	X		
16.583 Children's Justice Act Partnerships for Indian Communities (B,C)		X				X
16.585 Drug Court Discretionary Grant Program (B)		X	X	X	X	X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
16.590 The Community-Defined Solutions to Violence Against Women Grant Program (B)		X	X	X		X
16.593 Residential Substance Abuse Treatment for State Prisoners (A)		X		X		
16.595 Community Capacity Development Office (B,J)		X		X		
16.596 Correctional Grant Program for Indian Tribes (B)						X
16.606 State Criminal Alien Assistance Program (C)		X		X		
16.607 Bulletproof Vest Partnership Program (C)				X		
16.608 Tribal Court Assistance Program (B)						X
16.609 Project Safe Neighborhoods (B)		X		X		
16.610 Regional Information Sharing Systems (B)		X		X		
16.611 Closed-Circuit Televising of Child Victims of Abuse (B)		X		X		
16.614 State and Local Anti-Terrorism Training (K,L,M)		X		X		
16.615 Public Safety Officers' Educational Assistance (D)						
16.616 Indian Country Alcohol and Drug Prevention (B)						X
16.684 Supporting Teens through Education and Protection Act of 2005 (STEP Act) (B)		X	X	X	X	X
16.710 Public Safety Partnership and Community Policing Grants (B)		X	X	X	X	X
16.726 Juvenile Mentoring Program (B)			X	X		
16.727 Enforcing Underage Drinking Laws Program (B)				X		
16.730 Reduction and Prevention of Children's Exposure to Violence (B)			X	X		
16.731 Tribal Youth Program (B)						X
16.734 Special Data Collections and Statistical Studies (B)		X	X	X		X
16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program (B)				X		
16.737 Gang Resistance Education and Training (B)		X		X		
16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)		X		X		
16.739 National Prison Rape Statistics Program (B)	X	X	X	X		
16.740 Statewide Automated Victim Information Notification (SAVIN) Program (B)				X		
16.741 Forensic DNA Backlog Reduction Program (A)		X		X		
16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)		X		X		
16.745 Criminal and Juvenile Justice and Mental Health Collaboration Program (B)		X		X		X
16.746 Capital Case Litigation (B)				X		
16.748 Convicted Offender and/or Arrestee DNA Backlog Reduction Program (A)				X		
16.750 Support for Adam Walsh Act Implementation Grant Program (B)		X		X		X
16.751 Edward Byrne Memorial Competitive Grant Program (B)		X	X	X		X
16.752 Economic High-Tech and Cyber Crime Prevention (B)		X	X	X		X
16.753 Congressionally Recommended Awards (B)		X	X	X		X
16.754 Harold Rogers Prescription Drug Monitoring Program (B)				X		
16.755 Southwest Border Prosecution Initiative Program (D)		X				
16.756 Court Appointed Special Advocates (B)						
16.757 Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)		X	X			
16.758 Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)		X	X			
16.800 Recovery Act - Internet Crimes against Children Task Force Program (ICAC) (B)						
16.801 Recovery Act - State Victim Assistance Formula Grant Program (B)				X	X	
16.802 Recovery Act - State Victim Compensation Formula Grant Program (B)				X	X	

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
16.803 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (A,B)						
16.804 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (A,B)				X		
16.807 Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (B,C)		X				X
16.808 Recovery Act - Edward Byrne Memorial Competitive Grant Program (B)		X		X		
16.809 Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (B)		X	X	X		
16.810 Recovery Act Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (B)		X	X	X		
16.811 Recovery Act - Correctional Facilities On Tribal Lands (B)						
16.812 Second Chance Act Prisoner Reentry Initiative (B)		X	X	X		X
16.813 NICS Act Record Improvement Program (B)				X		
16.814 Northern Border Prosecution Initiative Program (D)				X		
16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)						X
16.816 John R. Justice Prosecutors and Defenders Incentive Act (A)				X		

DEPARTMENT OF LABOR

17.002 Labor Force Statistics (B,L)	X	X	X	X	X	X
17.003 Prices and Cost of Living Data (L)	X	X	X	X	X	X
17.004 Productivity and Technology Data (L)	X	X	X	X	X	X
17.005 Compensation and Working Conditions (B,L)	X	X	X	X	X	X
17.150 Employee Benefits Security Administration (L)	X					
17.151 Employee Benefits Security Administration (EBSA) (G)	X					
17.201 Registered Apprenticeship and Other Training (M)	X					X
17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)				X		
17.225 Unemployment Insurance (A,D)						
17.235 Senior Community Service Employment Program (A,B)			X	X		
17.245 Trade Adjustment Assistance (B)		X				
17.258 WIA Adult Program (A)				X	X	
17.259 WIA Youth Activities (A)		X		X		
17.260 WIA Dislocated Workers (A,B)				X	X	
17.261 WIA Pilots, Demonstrations, and Research Projects (B)		X	X	X		
17.262 WIA Evaluation (B)		X	X	X		X
17.264 National Farmworker Jobs Program (A)		X		X		
17.265 Native American Employment and Training (B)		X				X
17.266 Work Incentive Grants (B)		X		X		X
17.267 Incentive Grants - WIA Section 503 (A)				X		
17.268 H-1B Job Training Grants (B)			X			
17.269 Community Based Job Training Grants (B)		X		X		
17.270 Reintegration of Ex-Offenders (B)		X	X	X	X	X
17.271 Work Opportunity Tax Credit Program (WOTC) (A)				X		
17.272 Permanent Labor Certification for Foreign Workers (J)					X	
17.273 Temporary Labor Certification for Foreign Workers (A,J,O)					X	
17.274 Youthbuild (B)		X	X			X
17.275 Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors (B)		X		X		
17.276 Health Coverage Tax Credit (HCTC) (B)				X		
17.277 Workforce Investment Act (WIA) National Emergency Grants (B)		X				

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
17.278 WIA Dislocated Worker Formula Grants (A)				X	X	
17.279 Green Jobs Innovation Fund Grants (B)		X	X	X		
17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (B)		X	X	X		
17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (B,C)		X	X	X		
17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)		X	X	X		
17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (N)						
17.302 Longshore and Harbor Workers' Compensation (D)	X					
17.303 Wage and Hour Standards (K,N)	X					
17.306 Consumer Credit Protection (K,N)	X					
17.307 Coal Mine Workers' Compensation (D)	X					
17.308 Farm Labor Contractor Registration (K,N)	X					
17.309 Labor Organization Reports (K,L,N)	X					
17.310 Energy Employees Occupational Illness Compensation (D)	X					
17.311 Federal Transit Employee Protection Certifications: Office of Labor-Management Standards (B)						
17.502 Occupational Safety and Health_Susan Harwood Training Grants (B)			X			
17.503 Occupational Safety and Health_State Program (B)				X		
17.504 Consultation Agreements (B)				X		
17.505 OSHA Data Initiative (B)				X		
17.600 Mine Health and Safety Grants (B)				X		
17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)	X	X	X	X	X	X
17.602 Mine Health and Safety Education and Training (M)	X	X	X	X	X	X
17.603 Brookwood-Sago Grant (B)				X		
17.604 Safety and Health Grants (B)			X	X		
17.700 Women's Bureau (K)	X					
17.720 Disability Employment Policy Development (B)		X	X	X		X
17.801 Disabled Veterans' Outreach Program (DVOP) (A)					X	
17.802 Veterans' Employment Program (B)		X		X		
17.803 Uniformed Services Employment and Reemployment Rights (K,L,N)	X					
17.804 Local Veterans' Employment Representative Program (A)					X	
17.805 Homeless Veterans Reintegration Project (B)		X		X		
17.806 Veteran's Preference in Federal Employment (O)						
17.807 Transition Assistance Program (B)				X		
U.S. DEPARTMENT OF STATE						
19.020 Charles B. Rangel International Affairs Program (B)			X			
19.021 Investing in People in The Middle East and North Africa (B)			X			
19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)	X		X			
19.025 U.S. Ambassadors Fund for Cultural Preservation (B)			X			
19.345 International Programs to Support Democracy, Human Rights and Labor (B)			X			
19.500 Middle East Partnership Initiative (MEPI) (B)		X	X	X		
DEPARTMENT OF TRANSPORTATION						
20.106 Airport Improvement Program (B,K)					X	X
20.108 Aviation Research Grants (B,I)				X		
20.109 Air Transportation Centers of Excellence (B,I,J)				X		
20.200 Highway Research and Development Program (B)				X		
20.205 Highway Planning and Construction (A,B)				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
20.215 Highway Training and Education (B,M)		X		X		
20.218 National Motor Carrier Safety (A)				X		
20.219 Recreational Trails Program (A)				X		
20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)		X		X		
20.231 Performance and Registration Information Systems Management (B)				X		
20.232 Commercial Driver's License Program Improvement Grant (B)	X	X	X	X	X	X
20.233 Border Enforcement Grants (B)				X		
20.234 Safety Data Improvement Program (B)						
20.235 Commercial Motor Vehicle Operator Training Grants (B)		X	X	X		
20.237 Commercial Vehicle Information Systems and Networks (B)				X		
20.238 Commercial Drivers License Information System (CDLIS) Modernization Grant (B)				X		
20.239 Motor Carrier Research and Technology Programs (B)	X			X		
20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)				X		
20.241 Federal Motor Carrier Safety Administration National Training Center (A)	X			X		
20.242 Medical Programs (B)	X		X	X		
20.301 Railroad Safety (B)		X				
20.313 Railroad Research and Development (B)						
20.314 Railroad Development (B)		X		X		
20.315 National Railroad Passenger Corporation Grants (B)						
20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)				X		
20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)				X		
20.318 Maglev Project Selection Program - SAFETEA-LU (B)				X		
20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)				X		
20.320 Rail Line Relocation and Improvement (B)						
20.321 RAILROAD SAFETY TECHNOLOGY GRANTS (B)		X				
20.500 Federal Transit_Capital Investment Grants (A,B)		X	X	X		X
20.505 Metropolitan Transportation Planning (A)				X		
20.507 Federal Transit_Formula Grants (A)		X		X		
20.509 Formula Grants for Other Than Urbanized Areas (A)		X	X	X		
20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)		X	X	X		
20.514 Public Transportation Research (B,L,M)		X	X	X		
20.515 State Planning and Research (A)						
20.516 Job Access_Reverse Commute (B)		X	X	X		
20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)						
20.519 Clean Fuels (B)		X		X		
20.520 Paul S. Sarbanes Transit in the Parks (B)		X		X		
20.521 New Freedom Program (A)		X		X		
20.522 Alternatives Analysis (B)		X		X		
20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)		X				X
20.600 State and Community Highway Safety (A)				X		X
20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)				X		
20.602 Occupant Protection Incentive Grants (B)				X		
20.607 Alcohol Open Container Requirements (B)				X		
20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)				X		
20.609 Safety Belt Performance Grants (B)				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
20.610 State Traffic Safety Information System Improvement Grants (B)				X		
20.611 Incentive Grant Program to Prohibit Racial Profiling (B)				X		
20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)				X		
20.613 Child Safety and Child Booster Seats Incentive Grants (B)				X		
20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (B)				X		
20.700 Pipeline Safety Program Base Grants (A)						
20.701 University Transportation Centers Program (B)			X			
20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)				X		X
20.710 Technical Assistance Grants (B)						
20.720 State Damage Prevention Program Grants (B)				X		
20.721 PHMSA Pipeline Safety Program One Call Grant (B)						
20.722 Technology Development Grant (B)		X	X			
20.761 Biobased Transportation Research (B)			X			
20.762 Research Grants (B)			X			
20.764 Hydrogen Storage Research and Development (B)			X			
20.802 Federal Ship Financing Guarantees (F)	X					
20.803 Maritime War Risk Insurance (G)						
20.806 State Maritime Schools (C,I)				X		
20.807 U.S. Merchant Marine Academy (M)	X					
20.808 Capital Construction Fund (C)	X					
20.812 Construction Reserve Fund (C)	X	X	X	X	X	X
20.813 Maritime Security Fleet Program (C)						
20.814 Assistance to Small Shipyards (C)		X		X		
20.816 Americas Marine Highway Grants (B)		X	X	X		X
20.900 Transportation_Consumer Affairs (N)	X	X	X	X	X	X
20.901 Payments for Essential Air Services (C)						
20.904 Bonding Assistance Program (C,G,J)						
20.905 Disadvantaged Business Enterprises_Short Term Lending Program (F)						
20.907 Entrepreneurial Training and Technical Assistance Women and Girls Program (B)			X			
20.910 Assistance to small and disadvantaged businesses (B)			X			
20.930 Payments for Small Community Air Service Development (B)		X				
20.931 Transportation Planning, Research and Education (B)				X		
20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (B)		X				

DEPARTMENT OF THE TREASURY

21.003 Taxpayer Service (K)	X	X	X	X	X	X
21.004 Exchange of Federal Tax Information With State Tax Agencies (J)						
21.006 Tax Counseling for the Elderly (B)			X			
21.008 Low Income Taxpayer Clinics (B)						
21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)		X				X
21.010 Financial Education and Counseling Pilot Program (B)						
21.011 Capital Magnet Fund (B)						
21.012 Native Initiatives (B)						
21.013 Qualifying Therapeutic Discovery Project Credit (B)						
21.020 Community Development Financial Institutions Program (B)						
21.021 Bank Enterprise Award Program (B)						

APPALACHIAN REGIONAL COMMISSION

23.001 Appalachian Regional Development (See individual						
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APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Appalachian Programs) (B)						
23.002 Appalachian Area Development (B)		X		X		
23.003 Appalachian Development Highway System (B)				X		
23.009 Appalachian Local Development District Assistance (B)		X				
23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)	X	X	X	X	X	X
APPALACHIAN REGIONAL COMMISSION						
23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)			X			
23.002 Appalachian Area Development (B)		X		X		
23.003 Appalachian Development Highway System (B)				X		
23.009 Appalachian Local Development District Assistance (B)		X				
23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)	X	X	X	X	X	X
APPALACHIAN REGIONAL COMMISSION						
23.001 Appalachian Regional Development (See individual Appalachian Programs) (B)			X			
23.002 Appalachian Area Development (B)		X		X		
23.003 Appalachian Development Highway System (B)				X		
23.009 Appalachian Local Development District Assistance (B)		X				
23.011 Appalachian Research, Technical Assistance, and Demonstration Projects (B)	X	X	X	X	X	X
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K,N)	X	X	X	X	X	X
30.005 Employment Discrimination Private Bar Program (J)	X					
30.008 Employment Discrimination Age Discrimination in Employment (K,N)	X					
30.010 Employment Discrimination Equal Pay Act (K,N)	X	X	X	X	X	X
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K,N)	X	X	X	X	X	X
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K,N)	X	X	X	X	X	X
30.005 Employment Discrimination Private Bar Program (J)	X					
30.008 Employment Discrimination Age Discrimination in Employment (K,N)	X					
30.010 Employment Discrimination Equal Pay Act (K,N)	X	X	X	X	X	X
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K,N)	X	X	X	X	X	X
EQUAL EMPLOYMENT OPPORTUNITY COMMISSION						
30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964 (K,N)	X	X	X	X	X	X
30.005 Employment Discrimination Private Bar Program (J)	X					
30.008 Employment Discrimination Age Discrimination in Employment (K,N)	X					
30.010 Employment Discrimination Equal Pay Act (K,N)	X	X	X	X	X	X
30.011 Employment Discrimination Title I of The Americans with Disabilities Act (K,N)	X	X	X	X	X	X
FEDERAL MARITIME COMMISSION						
33.001 Shipping Dispute Resolution and Investigation of Complaints (N)	X	X	X	X	X	X
			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
FEDERAL MEDIATION AND CONCILIATION SERVICE						
34.002 Labor Management Cooperation (B)		X		X		
FEDERAL MEDIATION AND CONCILIATION SERVICE						
34.002 Labor Management Cooperation (B)		X		X		
GENERAL SERVICES ADMINISTRATION						
39.002 Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003 Donation of Federal Surplus Personal Property (H)		X	X	X		X
39.007 Sale of Federal Surplus Personal Property (H)	X	X	X	X	X	X
GENERAL SERVICES ADMINISTRATION						
39.002 Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003 Donation of Federal Surplus Personal Property (H)		X	X	X		X
39.007 Sale of Federal Surplus Personal Property (H)	X	X	X	X	X	X
GENERAL SERVICES ADMINISTRATION						
39.002 Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003 Donation of Federal Surplus Personal Property (H)		X	X	X		X
39.007 Sale of Federal Surplus Personal Property (H)	X	X	X	X	X	X
GENERAL SERVICES ADMINISTRATION						
39.002 Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003 Donation of Federal Surplus Personal Property (H)		X	X	X		X
39.007 Sale of Federal Surplus Personal Property (H)	X	X	X	X	X	X
GENERAL SERVICES ADMINISTRATION						
39.002 Disposal of Federal Surplus Real Property (H)	X	X	X	X		
39.003 Donation of Federal Surplus Personal Property (H)		X	X	X		X
39.007 Sale of Federal Surplus Personal Property (H)	X	X	X	X	X	X
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES						
45.201 Arts and Artifacts Indemnity (G)		X	X	X		
FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES						
45.201 Arts and Artifacts Indemnity (G)		X	X	X		
NATIONAL ENDOWMENT FOR THE ARTS						
45.024 Promotion of the Arts_Grants to Organizations and Individuals (B)	X	X	X	X		X
45.025 Promotion of the Arts_Partnership Agreements (B,K)				X		
NATIONAL ENDOWMENT FOR THE HUMANITIES						
45.129 Promotion of the Humanities_Federal/State Partnership (A)						
45.130 Promotion of the Humanities_Challenge Grants (B)			X			
45.149 Promotion of the Humanities_Division of Preservation and Access (B)			X			
45.160 Promotion of the Humanities_Fellowships and Stipends (B)	X					
45.161 Promotion of the Humanities_Research (B)			X			
45.162 Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (B)			X			
45.163 Promotion of the Humanities_Professional Development (B)			X			
45.164 Promotion of the Humanities_Public Programs (B)			X			
45.168 Promotion of the Humanities_We the People (B)						
45.169 Promotion of the Humanities_Office of Digital Humanities (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
NATIONAL LABOR RELATIONS BOARD						
46.001 Labor-Management Relations (J,N)	X		X			
NATIONAL LABOR RELATIONS BOARD						
46.001 Labor-Management Relations (J,N)	X		X			
RAILROAD RETIREMENT BOARD						
57.001 Social Insurance for Railroad Workers (D)	X					
57.005 Economic Recovery Payments (D)						
RAILROAD RETIREMENT BOARD						
57.001 Social Insurance for Railroad Workers (D)	X					
57.005 Economic Recovery Payments (D)						
SMALL BUSINESS ADMINISTRATION						
59.058 Federal and State Technology Partnership Program (B)		X	X	X		
DEPARTMENT OF VETERANS AFFAIRS						
64.027 Post-9/11 Veterans Educational Assistance (C)						
64.028 Post-9/11 Veterans Educational Assistance (C)	X					
64.029 Purchase Care Program (C)	X	X	X	X	X	X
ENVIRONMENTAL PROTECTION AGENCY						
66.001 Air Pollution Control Program Support (B)		X		X		X
66.032 State Indoor Radon Grants (B)				X		X
66.033 Ozone Transport Commission (B)		X		X		
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		X	X	X		X
66.035 Community Action for a Renewed Environment (CARE) Program (B)		X	X	X		
66.036 Clean School Bus USA (B)		X	X	X		X
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)		X	X	X		X
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)						X
66.039 National Clean Diesel Emissions Reduction Program (B)		X	X	X		X
66.040 State Clean Diesel Grant Program (A)				X		
66.041 Climate Showcase Communities Grant Program (B)		X				X
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	X	X		X	X
66.050 Potomac Highlands Implementation Grants (B)		X	X	X		
66.111 Regional Environmental Priority Projects (B)	X		X	X		X
66.113 Region 3 Environmental Priority Projects (B)		X	X	X		
66.119 West Coast Estuaries Initiative (B)		X				X
66.120 Puget Sound Watershed Management Assistance (B)		X				X
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)						X
66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)		X	X			X
66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)		X	X			X
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)		X		X		
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)		X				

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.202 Congressionally Mandated Projects (B)		X	X	X		
66.203 Environmental Finance Center Grants (B)			X			
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)		X	X	X		X
66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)			X	X		X
66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)			X	X		
66.312 State Environmental Justice Cooperative Agreement Program (B)				X		
66.418 Construction Grants for Wastewater Treatment Works (B)		X		X		X
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)				X		X
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	X	X	X		X
66.432 State Public Water System Supervision (A)				X		X
66.433 State Underground Water Source Protection (A)				X		X
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	X	X	X		X
66.437 Long Island Sound Program (B)			X	X		
66.439 Targeted Watersheds Grants (B)		X	X	X		X
66.454 Water Quality Management Planning (A)				X		
66.456 National Estuary Program (B)	X		X	X		
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)				X		X
66.460 Nonpoint Source Implementation Grants (A)				X		X
66.461 Regional Wetland Program Development Grants (B)		X		X		X
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)			X			X
66.463 Water Quality Cooperative Agreements (B)			X	X		X
66.466 Chesapeake Bay Program (B)		X	X	X		
66.467 Wastewater Operator Training Grant Program (B)			X	X		X
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)				X		X
66.469 Great Lakes Program (B,I,L)			X	X		
66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)				X		
66.472 Beach Monitoring and Notification Program Implementation Grants (A)		X		X		X
66.473 Direct Implementation Tribal Cooperative Agreements (B)						X
66.474 Water Protection Grants to the States (A)				X		X
66.475 Gulf of Mexico Program (B)		X	X	X		X
66.478 Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)		X	X			
66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)				X		X
66.480 Assessment and Watershed Protection Program Grants (B)	X	X	X	X		X
66.481 Lake Champlain Basin Program (B)			X	X		
66.508 Senior Environmental Employment Program (B)						
66.509 Science To Achieve Results (STAR) Research Program (B)		X	X	X		X
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	X	X	X		X
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	X	X	X		X
66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)		X	X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)	X	X	X	X	X	X
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	X	X	X	X	X
66.516 P3 Award: National Student Design Competition for Sustainability (B)			X			
66.517 Regional Applied Research Efforts (RARE) (B)	X		X	X		X
66.518 State Senior Environmental Employment Program (B)						
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)						
66.604 Environmental Justice Small Grant Program (B)		X	X			X
66.605 Performance Partnership Grants (A,B)				X		X
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)				X		X
66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)			X	X		X
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)			X	X		X
66.611 Environmental Policy and Innovation Grants (B)	X	X	X	X	X	X
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)			X	X		X
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)				X		X
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)				X		X
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)				X		X
66.708 Pollution Prevention Grants Program (B)				X		X
66.709 Multi-Media Capacity Building Grants for States and Tribes (B)		X		X		X
66.714 Pesticide Environmental Stewardship Regional Grants (B)				X		X
66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)						X
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)	X	X	X	X		X
66.717 Source Reduction Assistance (B)		X	X	X		X
66.718 National Community-Based Lead Outreach and Training Grant Program (B)		X	X			
66.801 Hazardous Waste Management State Program Support (A)				X		
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)				X		X
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)				X		X
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)				X		X
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	X	X	X	X	X
66.808 Solid Waste Management Assistance Grants (B)		X	X	X		X
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)				X		X
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)		X		X		X
66.812 Hazardous Waste Management Grant Program for Tribes (B)						X
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X		X	X		X
66.814 Brownfields Training, Research, and Technical Assistance						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Grants and Cooperative Agreements (B)						
66.815 Brownfield Job Training Cooperative Agreements (B)		X	X	X		X
66.816 Headquarters and Regional Underground Storage Tanks Program (B)		X		X		X
66.817 State and Tribal Response Program Grants (A)				X		X
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)		X	X	X		X
66.926 Indian Environmental General Assistance Program (GAP) (B)						X
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)		X	X	X		X
66.940 Environmental Policy and State Sustainability Grants (B)			X	X		X
66.950 National Environmental Education Training Program (B)			X			
66.951 Environmental Education Grants (B)			X	X		
66.952 National Network for Environmental Management Studies Fellowship Program (B)	X	X	X	X	X	X

ENVIRONMENTAL PROTECTION AGENCY

66.001 Air Pollution Control Program Support (B)		X		X		X
66.032 State Indoor Radon Grants (B)				X		X
66.033 Ozone Transport Commission (B)		X		X		
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		X	X	X		X
66.035 Community Action for a Renewed Environment (CARE) Program (B)		X	X	X		
66.036 Clean School Bus USA (B)		X	X	X		X
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)		X	X	X		X
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)						X
66.039 National Clean Diesel Emissions Reduction Program (B)		X	X	X		X
66.040 State Clean Diesel Grant Program (A)				X		
66.041 Climate Showcase Communities Grant Program (B)		X				X
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	X	X		X	X
66.050 Potomac Highlands Implementation Grants (B)		X	X	X		
66.111 Regional Environmental Priority Projects (B)	X		X	X		X
66.113 Region 3 Environmental Priority Projects (B)		X	X	X		
66.119 West Coast Estuaries Initiative (B)		X				X
66.120 Puget Sound Watershed Management Assistance (B)		X				X
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)						X
66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)		X	X			X
66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)		X	X			X
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)		X		X		
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)		X				
66.202 Congressionally Mandated Projects (B)		X	X	X		
66.203 Environmental Finance Center Grants (B)			X			
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)		X	X	X		X
66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)			X	X		X
66.310 Capacity Building Grants and Cooperative Agreements for		X	X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)						
66.312 State Environmental Justice Cooperative Agreement Program (B)				X		
66.418 Construction Grants for Wastewater Treatment Works (B)		X		X		X
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)				X		X
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	X	X	X		X
66.432 State Public Water System Supervision (A)				X		X
66.433 State Underground Water Source Protection (A)				X		X
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	X	X	X		X
66.437 Long Island Sound Program (B)			X	X		
66.439 Targeted Watersheds Grants (B)		X	X	X		X
66.454 Water Quality Management Planning (A)				X		
66.456 National Estuary Program (B)	X		X	X		
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)				X		X
66.460 Nonpoint Source Implementation Grants (A)				X		X
66.461 Regional Wetland Program Development Grants (B)		X		X		X
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)			X			X
66.463 Water Quality Cooperative Agreements (B)			X	X		X
66.466 Chesapeake Bay Program (B)		X	X	X		
66.467 Wastewater Operator Training Grant Program (B)			X	X		X
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)				X		X
66.469 Great Lakes Program (B,I,L)			X	X		
66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)				X		
66.472 Beach Monitoring and Notification Program Implementation Grants (A)		X		X		X
66.473 Direct Implementation Tribal Cooperative Agreements (B)						X
66.474 Water Protection Grants to the States (A)				X		X
66.475 Gulf of Mexico Program (B)		X	X	X		X
66.478 Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)		X	X			
66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)				X		X
66.480 Assessment and Watershed Protection Program Grants (B)	X	X	X	X		X
66.481 Lake Champlain Basin Program (B)			X	X		
66.508 Senior Environmental Employment Program (B)						
66.509 Science To Achieve Results (STAR) Research Program (B)		X	X	X		X
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	X	X	X		X
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	X	X	X		X
66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)		X	X	X		X
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)	X	X	X	X	X	X
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	X	X	X	X	X
66.516 P3 Award: National Student Design Competition for Sustainability (B)			X			
66.517 Regional Applied Research Efforts (RARE) (B)	X		X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.518 State Senior Environmental Employment Program (B)						
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)						
66.604 Environmental Justice Small Grant Program (B)		X	X			X
66.605 Performance Partnership Grants (A,B)				X		X
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)				X		X
66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)			X	X		X
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)			X	X		X
66.611 Environmental Policy and Innovation Grants (B)	X	X	X	X	X	X
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)			X	X		X
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)				X		X
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)				X		X
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)				X		X
66.708 Pollution Prevention Grants Program (B)				X		X
66.709 Multi-Media Capacity Building Grants for States and Tribes (B)		X		X		X
66.714 Pesticide Environmental Stewardship Regional Grants (B)				X		X
66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)						X
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)	X	X	X	X		X
66.717 Source Reduction Assistance (B)		X	X	X		X
66.718 National Community-Based Lead Outreach and Training Grant Program (B)		X	X			
66.801 Hazardous Waste Management State Program Support (A)				X		
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)				X		X
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)				X		X
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)				X		X
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	X	X	X	X	X
66.808 Solid Waste Management Assistance Grants (B)		X	X	X		X
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)				X		X
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)		X		X		X
66.812 Hazardous Waste Management Grant Program for Tribes (B)						X
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X		X	X		X
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)		X	X	X		
66.815 Brownfield Job Training Cooperative Agreements (B)		X	X	X		X
66.816 Headquarters and Regional Underground Storage Tanks Program (B)		X		X		X
66.817 State and Tribal Response Program Grants (A)				X		X
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)		X	X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.926 Indian Environmental General Assistance Program (GAP) (B)						X
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)		X	X	X		X
66.940 Environmental Policy and State Sustainability Grants (B)			X	X		X
66.950 National Environmental Education Training Program (B)			X			
66.951 Environmental Education Grants (B)			X	X		
66.952 National Network for Environmental Management Studies Fellowship Program (B)	X	X	X	X	X	X

ENVIRONMENTAL PROTECTION AGENCY

66.001 Air Pollution Control Program Support (B)		X		X		X
66.032 State Indoor Radon Grants (B)				X		X
66.033 Ozone Transport Commission (B)		X		X		
66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)		X	X	X		X
66.035 Community Action for a Renewed Environment (CARE) Program (B)		X	X	X		
66.036 Clean School Bus USA (B)		X	X	X		X
66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)		X	X	X		X
66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)						X
66.039 National Clean Diesel Emissions Reduction Program (B)		X	X	X		X
66.040 State Clean Diesel Grant Program (A)				X		
66.041 Climate Showcase Communities Grant Program (B)		X				X
66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	X	X	X		X	X
66.050 Potomac Highlands Implementation Grants (B)		X	X	X		
66.111 Regional Environmental Priority Projects (B)	X		X	X		X
66.113 Region 3 Environmental Priority Projects (B)		X	X	X		
66.119 West Coast Estuaries Initiative (B)		X				X
66.120 Puget Sound Watershed Management Assistance (B)		X				X
66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)						X
66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)		X	X			X
66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)		X	X			X
66.124 Coastal Wetlands Planning Protection and Restoration Act (B)		X		X		
66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)		X				
66.202 Congressionally Mandated Projects (B)		X	X	X		
66.203 Environmental Finance Center Grants (B)			X			
66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)		X	X	X		X
66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)			X	X		X
66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)			X	X		
66.312 State Environmental Justice Cooperative Agreement Program (B)				X		
66.418 Construction Grants for Wastewater Treatment Works (B)		X		X		X
66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)				X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	X	X	X	X		X
66.432 State Public Water System Supervision (A)				X		X
66.433 State Underground Water Source Protection (A)				X		X
66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	X	X	X	X		X
66.437 Long Island Sound Program (B)			X	X		
66.439 Targeted Watersheds Grants (B)		X	X	X		X
66.454 Water Quality Management Planning (A)				X		
66.456 National Estuary Program (B)	X		X	X		
66.458 Capitalization Grants for Clean Water State Revolving Funds (A)				X		X
66.460 Nonpoint Source Implementation Grants (A)				X		X
66.461 Regional Wetland Program Development Grants (B)		X		X		X
66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)			X			X
66.463 Water Quality Cooperative Agreements (B)			X	X		X
66.466 Chesapeake Bay Program (B)		X	X	X		
66.467 Wastewater Operator Training Grant Program (B)			X	X		X
66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)				X		X
66.469 Great Lakes Program (B,I,L)			X	X		
66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)				X		
66.472 Beach Monitoring and Notification Program Implementation Grants (A)		X		X		X
66.473 Direct Implementation Tribal Cooperative Agreements (B)						X
66.474 Water Protection Grants to the States (A)				X		X
66.475 Gulf of Mexico Program (B)		X	X	X		X
66.478 Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)		X	X			
66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)				X		X
66.480 Assessment and Watershed Protection Program Grants (B)	X	X	X	X		X
66.481 Lake Champlain Basin Program (B)			X	X		
66.508 Senior Environmental Employment Program (B)						
66.509 Science To Achieve Results (STAR) Research Program (B)		X	X	X		X
66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)	X	X	X	X		X
66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)	X	X	X	X		X
66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)		X	X	X		X
66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)	X	X	X	X	X	X
66.514 Science To Achieve Results (STAR) Fellowship Program (B)	X	X	X	X	X	X
66.516 P3 Award: National Student Design Competition for Sustainability (B)			X			
66.517 Regional Applied Research Efforts (RARE) (B)	X		X	X		X
66.518 State Senior Environmental Employment Program (B)						
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)						
66.604 Environmental Justice Small Grant Program (B)		X	X			X
66.605 Performance Partnership Grants (A,B)				X		X
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)				X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)			X	X		X
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)			X	X		X
66.611 Environmental Policy and Innovation Grants (B)	X	X	X	X	X	X
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)			X	X		X
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)				X		X
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)				X		X
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)				X		X
66.708 Pollution Prevention Grants Program (B)				X		X
66.709 Multi-Media Capacity Building Grants for States and Tribes (B)		X		X		X
66.714 Pesticide Environmental Stewardship Regional Grants (B)				X		X
66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)						X
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)	X	X	X	X		X
66.717 Source Reduction Assistance (B)		X	X	X		X
66.718 National Community-Based Lead Outreach and Training Grant Program (B)		X	X			
66.801 Hazardous Waste Management State Program Support (A)				X		
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)				X		X
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)				X		X
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)				X		X
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)	X	X	X	X	X	X
66.808 Solid Waste Management Assistance Grants (B)		X	X	X		X
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)				X		X
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)		X		X		X
66.812 Hazardous Waste Management Grant Program for Tribes (B)						X
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)	X		X	X		X
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)		X	X	X		
66.815 Brownfield Job Training Cooperative Agreements (B)		X	X	X		X
66.816 Headquarters and Regional Underground Storage Tanks Program (B)		X		X		X
66.817 State and Tribal Response Program Grants (A)				X		X
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)		X	X	X		X
66.926 Indian Environmental General Assistance Program (GAP) (B)						X
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)		X	X	X		X
66.940 Environmental Policy and State Sustainability Grants (B)			X	X		X
66.950 National Environmental Education Training Program (B)			X			
66.951 Environmental Education Grants (B)			X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
66.952 National Network for Environmental Management Studies Fellowship Program (B)	X	X	X	X	X	X
NUCLEAR REGULATORY COMMISSION						
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)			X			
77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B,C)			X	X		X
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)			X	X		
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)				X		
NUCLEAR REGULATORY COMMISSION						
77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)			X			
77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B,C)			X	X		X
77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)			X	X		
77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)				X		
DEPARTMENT OF ENERGY						
81.003 Granting of Patent Licenses (L)	X	X	X	X	X	X
81.022 Used Energy-Related Laboratory Equipment Grants (B)			X			
81.036 Inventions and Innovations (B,K,L)	X	X	X	X	X	X
81.041 State Energy Program (A,B,L)				X		
81.042 Weatherization Assistance for Low-Income Persons (A,L)				X		X
81.049 Office of Science Financial Assistance Program (B)		X	X	X		
81.057 University Coal Research (B)			X	X		
81.064 Office of Scientific and Technical Information (L)	X	X	X	X	X	X
81.079 Regional Biomass Energy Programs (B)			X	X		
81.086 Conservation Research and Development (B)		X		X		
81.087 Renewable Energy Research and Development (B)		X		X		
81.089 Fossil Energy Research and Development (B)	X	X	X	X	X	X
81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)				X		
81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)				X		X
81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)			X			
81.112 Stewardship Science Grant Program (B)			X	X		
81.113 Defense Nuclear Nonproliferation Research (B)			X	X		
81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)			X			
81.119 State Energy Program Special Projects (B)				X		
81.121 Nuclear Energy Research, Development and Demonstration (B)		X	X	X		
81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)		X	X	X		
81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)			X	X		
81.124 Predictive Science Academic Alliance Program (B)			X			
81.126 Federal Loan Guarantees for Innovative Energy Technologies (E,F)		X	X	X		
81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)				X		
81.128						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)						
81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)	X	X	X	X	X	X
81.130 Carbon Capture and Storage-FutureGen 2.0 (B)	X	X	X	X	X	X
81.131 Expand and Extend Clean Coal Power Initiative (B)			X			
81.132 Geologic Sequestration Site Characterization (B)	X		X			
81.133 Geologic Sequestration Training and Research Grant Program (B)			X	X		
81.135 Advanced Research and Projects Agency Energy Financial Assistance Program (B)	X	X	X	X	X	X
81.136 Long-Term Surveillance and Maintenance (B)		X	X	X		X
DEPARTMENT OF EDUCATION						
84.002 Adult Education - Basic Grants to States (A)		X		X		
84.004 Civil Rights Training and Advisory Services (B)		X	X			
84.007 Federal Supplemental Educational Opportunity Grants (C)			X	X		
84.010 Title I Grants to Local Educational Agencies (A)				X		X
84.011 Migrant Education_State Grant Program (A)				X		
84.013 Title I State Agency Program for Neglected and Delinquent Children (A)				X		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)			X			
84.016 Undergraduate International Studies and Foreign Language Programs (B)			X	X		
84.017 International Research and Studies (B)	X		X			
84.018 Overseas Programs_Special Bilateral Projects (B)	X					
84.019 Overseas Programs - Faculty Research Abroad (B)			X			
84.021 Overseas Programs - Group Projects Abroad (B)		X	X	X		
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)			X			
84.027 Special Education_Grants to States (A)		X		X		
84.031 Higher Education_Institutional Aid (B)			X	X		
84.032 Federal Family Education Loans (F)	X	X	X	X	X	X
84.033 Federal Work-Study Program (C)			X	X		
84.037 Perkins Loan Cancellations (C,D)			X	X		
84.040 Impact Aid_Facilities Maintenance (B)		X				
84.041 Impact Aid (A,B)		X				
84.042 TRIO_Student Support Services (B)		X	X	X		
84.044 TRIO_Talent Search (B)		X	X	X		
84.047 TRIO_Upward Bound (B)			X	X		
84.048 Career and Technical Education -- Basic Grants to States (A)				X		
84.051 Career and Technical Education -- National Programs (B)			X	X		
84.060 Indian Education_Grants to Local Educational Agencies (A)		X				X
84.063 Federal Pell Grant Program (C)	X					
84.066 TRIO_Educational Opportunity Centers (B)		X	X	X		
84.069 Leveraging Educational Assistance Partnership (A)		X		X		
84.083 Women's Educational Equity Program (B)	X	X	X	X		
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)						X
84.103 TRIO Staff Training Program (B)			X	X		
84.116 Fund for the Improvement of Postsecondary Education (B)		X	X			
84.120 Minority Science and Engineering Improvement (B)			X			
84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)				X		
84.128 Rehabilitation Services_Service Projects (B)		X	X	X X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.129 Rehabilitation Long-Term Training (B)		X	X	X		
84.132 Centers for Independent Living (B)		X	X	X		
84.133 National Institute on Disability and Rehabilitation Research (B)	X	X	X	X		
84.141 Migrant Education_High School Equivalency Program (B)			X			
84.144 Migrant Education_Coordination Program (B)		X		X		
84.145 Federal Real Property Assistance Program (H)		X		X		
84.149 Migrant Education_College Assistance Migrant Program (B)			X			
84.153 Business and International Education Projects (B)			X			
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)		X	X	X		
84.161 Rehabilitation Services_Client Assistance Program (A)				X		
84.165 Magnet Schools Assistance (B)		X				
84.169 Independent Living_State Grants (A)				X		
84.170 Javits Fellowships (B)	X	X	X	X	X	X
84.173 Special Education_Preschool Grants (A)				X		
84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)				X		
84.181 Special Education-Grants for Infants and Families (A)				X		
84.184 Safe and Drug-Free Schools and Communities_National Programs (B)		X	X	X		
84.185 Byrd Honors Scholarships (A)				X		
84.186 Safe and Drug-Free Schools and Communities_State Grants (A)				X		
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)				X		
84.191 Adult Education_National Leadership Activities (B,C)			X			
84.196 Education for Homeless Children and Youth (A)				X		
84.200 Graduate Assistance in Areas of National Need (B)			X	X		
84.206 Javits Gifted and Talented Students Education Grant Program (B)				X		X
84.213 Even Start_State Educational Agencies (A)				X		
84.214 Even Start_Migrant Education (B)				X		
84.215 Fund for the Improvement of Education (B)				X		X
84.217 TRIO_McNair Post-Baccalaureate Achievement (B)			X	X		
84.220 Centers for International Business Education (B)			X	X		X
84.224 Assistive Technology (A)		X		X		
84.229 Language Resource Centers (B)		X		X		
84.234 Projects with Industry (B)			X			
84.235 Rehabilitation Services Demonstration and Training Programs (B)			X	X		
84.240 Program of Protection and Advocacy of Individual Rights (A)		X	X			
84.243 Tech-Prep Education (A)				X		
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)						X
84.246 Rehabilitation Short-Term Training (B)		X	X	X		
84.250 Rehabilitation Services_American Indians with Disabilities (B)		X				X
84.256 Territories and Freely Associated States Education Grant Program (B)						
84.258 Even Start_Indian Tribes and Tribal Organizations (B)						X
84.259 Native Hawaiian Career and Technical Education (B)			X			
84.263 Rehabilitation Training_Experimental and Innovative Training (B)			X	X		
84.264 Rehabilitation Training_Continuing Education (B)		X	X	X		
84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.268 Federal Direct Student Loans (E)	X					
84.269 Institute for International Public Policy (B)			X			
84.274 American Overseas Research Centers (B)			X	X		
84.275 Rehabilitation Training_General Training (B)		X	X	X		
84.282 Charter Schools (B)		X	X	X		
84.283 Comprehensive Centers (B)			X			
84.286 Ready to Teach (B)						
84.287 Twenty-First Century Community Learning Centers (A)		X	X	X		
84.293 Foreign Language Assistance (B)		X		X		
84.295 Ready-To-Learn Television (B)						
84.299 Indian Education -- Special Programs for Indian Children (B)		X	X			
84.304 Civic Education - We the People and the Cooperative Education Exchange Program (B)						
84.305 Education Research, Development and Dissemination (B)		X	X			
84.310 Parental Information and Resource Centers (B)		X				
84.315 Capacity Building for Traditionally Underserved Populations (B)			X			
84.318 Educational Technology State Grants (A)				X		X
84.323 Special Education - State Personnel Development (B)				X		
84.324 Research in Special Education (B)		X	X	X		
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.327 Special Education_Technology and Media Services for Individuals with Disabilities (B)		X	X	X		
84.328 Special Education_Parent Information Centers (B)						
84.329 Special Education_Studies and Evaluations (B)		X	X	X		
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)		X		X		
84.331 Grants to States for Workplace and Community Transition Training for Incarcerated Individuals (A)				X		
84.332 Comprehensive School Reform Demonstration (B)		X		X		
84.333 Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (B)			X			
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)			X	X		
84.335 Child Care Access Means Parents in School (B)		X	X			
84.336 Teacher Quality Partnership Grants (B)		X				
84.337 International Education_Technological Innovation and Cooperation for Foreign Information Access (B)			X			
84.343 Assistive Technology_State Grants for Protection and Advocacy (A)				X		
84.345 Underground Railroad Educational and Cultural Program (B)			X			
84.350 Transition to Teaching (B)		X	X	X		
84.351 Arts in Education (B)			X	X		
84.354 Credit Enhancement for Charter School Facilities (B)		X		X		
84.356 Alaska Native Educational Programs (B)						
84.358 Rural Education (A)		X				
84.359 Early Reading First (B)		X	X			
84.360 High School Graduation Initiative (B)		X		X		
84.361 Voluntary Public School Choice (B)		X	X	X		
84.362 Native Hawaiian Education (B)		X	X	X		
84.363 School Leadership (B)			X	X		
84.364 Improving Literacy Through School Libraries (B)			X	X		
84.365 English Language Acquisition Grants (A)		X		X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.366				X		
84.367				X		
84.368						
84.369				X		
84.370						
84.371		X				
84.372				X		
84.373				X		
84.374		X		X		
84.375	X					
84.376	X					
84.377				X		
84.378		X		X		
84.379		X		X		
84.380						
84.381			X	X		
84.382		X				X
84.383				X		
84.384				X		
84.385		X		X		
84.386				X		
84.387		X		X		
84.388						
84.389		X		X		
84.390				X		
84.391				X		
84.392				X		
84.393	X			X		
84.394		X		X		
84.395				X		
84.396						
84.397		X		X		
84.398		X		X		
84.399		X		X		
84.400			X			X
84.401		X				
84.402						
84.403						
84.404		X				
84.405						
84.406			X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)			X	X		
84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)	X		X			
84.409 Civil Legal Assistance Attorney Student Loan Repayment Program (E)				X		
84.410 Education Jobs Fund (A)						
DEPARTMENT OF EDUCATION						
84.002 Adult Education - Basic Grants to States (A)		X		X		
84.004 Civil Rights Training and Advisory Services (B)		X	X			
84.007 Federal Supplemental Educational Opportunity Grants (C)			X	X		
84.010 Title I Grants to Local Educational Agencies (A)				X		X
84.011 Migrant Education_State Grant Program (A)				X		
84.013 Title I State Agency Program for Neglected and Delinquent Children (A)				X		
84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)			X			
84.016 Undergraduate International Studies and Foreign Language Programs (B)			X	X		
84.017 International Research and Studies (B)	X		X			
84.018 Overseas Programs_Special Bilateral Projects (B)	X					
84.019 Overseas Programs - Faculty Research Abroad (B)			X			
84.021 Overseas Programs - Group Projects Abroad (B)		X	X	X		
84.022 Overseas Programs - Doctoral Dissertation Research Abroad (B)			X			
84.027 Special Education_Grants to States (A)		X		X		
84.031 Higher Education_Institutional Aid (B)			X	X		
84.032 Federal Family Education Loans (F)	X	X	X	X	X	X
84.033 Federal Work-Study Program (C)			X	X		
84.037 Perkins Loan Cancellations (C,D)			X	X		
84.040 Impact Aid_Facilities Maintenance (B)		X				
84.041 Impact Aid (A,B)		X				
84.042 TRIO_Student Support Services (B)		X	X	X		
84.044 TRIO_Talent Search (B)		X	X	X		
84.047 TRIO_Upward Bound (B)			X	X		
84.048 Career and Technical Education -- Basic Grants to States (A)				X		
84.051 Career and Technical Education -- National Programs (B)			X	X		
84.060 Indian Education_Grants to Local Educational Agencies (A)		X				X
84.063 Federal Pell Grant Program (C)	X					
84.066 TRIO_Educational Opportunity Centers (B)		X	X	X		
84.069 Leveraging Educational Assistance Partnership (A)		X		X		
84.083 Women's Educational Equity Program (B)	X	X	X	X		
84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)						X
84.103 TRIO Staff Training Program (B)			X	X		
84.116 Fund for the Improvement of Postsecondary Education (B)		X	X			
84.120 Minority Science and Engineering Improvement (B)			X			
84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)				X		
84.128 Rehabilitation Services_Service Projects (B)		X	X	X		
84.129 Rehabilitation Long-Term Training (B)		X	X	X		
84.132 Centers for Independent Living (B)		X	X	X		
84.133 National Institute on Disability and Rehabilitation Research (B)	X	X	X	X		
84.141 Migrant Education_High School Equivalency Program (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.144 Migrant Education_Coordination Program (B)		X		X		
84.145 Federal Real Property Assistance Program (H)		X		X		
84.149 Migrant Education_College Assistance Migrant Program (B)			X			
84.153 Business and International Education Projects (B)			X			
84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)		X	X	X		
84.161 Rehabilitation Services_Client Assistance Program (A)				X		
84.165 Magnet Schools Assistance (B)		X				
84.169 Independent Living_State Grants (A)				X		
84.170 Javits Fellowships (B)	X	X	X	X	X	X
84.173 Special Education_Preschool Grants (A)				X		
84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)				X		
84.181 Special Education-Grants for Infants and Families (A)				X		
84.184 Safe and Drug-Free Schools and Communities_National Programs (B)		X	X	X		
84.185 Byrd Honors Scholarships (A)				X		
84.186 Safe and Drug-Free Schools and Communities_State Grants (A)				X		
84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)				X		
84.191 Adult Education_National Leadership Activities (B,C)			X			
84.196 Education for Homeless Children and Youth (A)				X		
84.200 Graduate Assistance in Areas of National Need (B)			X	X		
84.206 Javits Gifted and Talented Students Education Grant Program (B)				X		X
84.213 Even Start_State Educational Agencies (A)				X		
84.214 Even Start_Migrant Education (B)				X		
84.215 Fund for the Improvement of Education (B)				X		X
84.217 TRIO_McNair Post-Baccalaureate Achievement (B)			X	X		
84.220 Centers for International Business Education (B)			X	X		X
84.224 Assistive Technology (A)		X		X		
84.229 Language Resource Centers (B)		X		X		
84.234 Projects with Industry (B)			X			
84.235 Rehabilitation Services Demonstration and Training Programs (B)			X	X		
84.240 Program of Protection and Advocacy of Individual Rights (A)		X	X			
84.243 Tech-Prep Education (A)				X		
84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)						X
84.246 Rehabilitation Short-Term Training (B)		X	X	X		
84.250 Rehabilitation Services_American Indians with Disabilities (B)		X				X
84.256 Territories and Freely Associated States Education Grant Program (B)						
84.258 Even Start_Indian Tribes and Tribal Organizations (B)						X
84.259 Native Hawaiian Career and Technical Education (B)			X			
84.263 Rehabilitation Training_Experimental and Innovative Training (B)			X	X		
84.264 Rehabilitation Training_Continuing Education (B)		X	X	X		
84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)				X		
84.268 Federal Direct Student Loans (E)	X					
84.269 Institute for International Public Policy (B)			X			
84.274 American Overseas Research Centers (B)			X	X		
84.275 Rehabilitation Training_General Training (B)		X	X	X		
84.282 Charter Schools (B)		X	X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.283 Comprehensive Centers (B)			X			
84.286 Ready to Teach (B)						
84.287 Twenty-First Century Community Learning Centers (A)		X	X	X		
84.293 Foreign Language Assistance (B)		X		X		
84.295 Ready-To-Learn Television (B)						
84.299 Indian Education -- Special Programs for Indian Children (B)		X	X			
84.304 Civic Education - We the People and the Cooperative Education Exchange Program (B)						
84.305 Education Research, Development and Dissemination (B)		X	X			
84.310 Parental Information and Resource Centers (B)		X				
84.315 Capacity Building for Traditionally Underserved Populations (B)			X			
84.318 Educational Technology State Grants (A)				X		X
84.323 Special Education - State Personnel Development (B)				X		
84.324 Research in Special Education (B)		X	X	X		
84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)		X	X	X		
84.327 Special Education_Technology and Media Services for Individuals with Disabilities (B)		X	X	X		
84.328 Special Education_Parent Information Centers (B)						
84.329 Special Education_Studies and Evaluations (B)		X	X	X		
84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)		X		X		
84.331 Grants to States for Workplace and Community Transition Training for Incarcerated Individuals (A)				X		
84.332 Comprehensive School Reform Demonstration (B)		X		X		
84.333 Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (B)			X			
84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)			X	X		
84.335 Child Care Access Means Parents in School (B)		X	X			
84.336 Teacher Quality Partnership Grants (B)		X				
84.337 International Education_Technological Innovation and Cooperation for Foreign Information Access (B)			X			
84.343 Assistive Technology_State Grants for Protection and Advocacy (A)				X		
84.345 Underground Railroad Educational and Cultural Program (B)			X			
84.350 Transition to Teaching (B)		X	X	X		
84.351 Arts in Education (B)			X	X		
84.354 Credit Enhancement for Charter School Facilities (B)		X		X		
84.356 Alaska Native Educational Programs (B)						
84.358 Rural Education (A)		X				
84.359 Early Reading First (B)		X	X			
84.360 High School Graduation Initiative (B)		X		X		
84.361 Voluntary Public School Choice (B)		X	X	X		
84.362 Native Hawaiian Education (B)		X	X	X		
84.363 School Leadership (B)			X	X		
84.364 Improving Literacy Through School Libraries (B)			X	X		
84.365 English Language Acquisition Grants (A)		X		X		
84.366 Mathematics and Science Partnerships (B)				X		
84.367 Improving Teacher Quality State Grants (A)				X		
84.368 Grants for Enhanced Assessment Instruments (B)						
84.369 Grants for State Assessments and Related Activities (A)				X		
84.370 DC School Choice Incentive Program (B)						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
84.371 Striving Readers (A,B)		X				
84.372 Statewide Data Systems (B)				X		
84.373 Special Education_Technical Assistance on State Data Collection (B)				X		
84.374 Teacher Incentive Fund (B)		X		X		
84.375 Academic Competitiveness Grants (C)	X					
84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants (C)	X					
84.377 School Improvement Grants (A)				X		
84.378 College Access Challenge Grant Program (A)		X		X		
84.379 Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)		X		X		
84.380 Special Education -- Olympic Education Programs (B)						
84.381 Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)			X	X		
84.382 Strengthening Minority-Serving Institutions (B)		X				X
84.383 Homeless Education Disaster Assistance Program (A)				X		
84.384 Statewide Data Systems, Recovery Act (B)				X		
84.385 Teacher Incentive Fund, Recovery Act (B)		X		X		
84.386 Education Technology State Grants, Recovery Act (A)				X		
84.387 Education for Homeless Children and Youth, Recovery Act (A)		X		X		
84.388 School Improvement Grants, Recovery Act (A)						
84.389 Title I Grants to Local Educational Agencies, Recovery Act (A)		X		X		
84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act (A)				X		
84.391 Special Education Grants to States, Recovery Act (A)				X		
84.392 Special Education - Preschool Grants, Recovery Act (A)				X		
84.393 Special Education - Grants for Infants and Families, Recovery Act (A)	X			X		
84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (A)		X		X		
84.395 State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act (B)				X		
84.396 State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act (B)						
84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (A)		X		X		
84.398 Independent Living State Grants, Recovery Act (A)		X		X		
84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act (A)		X		X		
84.400 Centers for Independent Living, Recovery Act. (B)			X			X
84.401 Impact Aid School Construction, Recovery Act (B)		X				
84.402 Consolidated Grants to the Outlying Areas, Recovery Act (A)						
84.403 Consolidated Grant to the Outlying Areas (A)						
84.404 Impact Aid -- School Construction Formula Grants, Recovery Act (A)		X				
84.405 Teacher Quality Partnerships, Recovery Act (B)						
84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act. (B)			X	X		X
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)			X	X		
84.408 Postsecondary Education Scholarships for Veteran's Dependents (C)	X		X			
84.409 Civil Legal Assistance Attorney Student Loan Repayment						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Program (E)						
84.410 Education Jobs Fund (A)						
CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION						
85.101 Homeland Security Award (D)	X	X	X	X	X	X
85.102 Christopher Columbus Awards (B)	X	X	X	X	X	X
85.104 Life Sciences Awards (C,D)	X	X	X	X	X	X
85.105 Agriscience Awards (C,D)	X	X	X	X	X	X
CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION						
85.101 Homeland Security Award (D)	X	X	X	X	X	X
85.102 Christopher Columbus Awards (B)	X	X	X	X	X	X
85.104 Life Sciences Awards (C,D)	X	X	X	X	X	X
85.105 Agriscience Awards (C,D)	X	X	X	X	X	X
CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION						
85.101 Homeland Security Award (D)	X	X	X	X	X	X
85.102 Christopher Columbus Awards (B)	X	X	X	X	X	X
85.104 Life Sciences Awards (C,D)	X	X	X	X	X	X
85.105 Agriscience Awards (C,D)	X	X	X	X	X	X
MILLENNIUM CHALLENGE CORPORATION						
85.002 MCC Foreign Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
85.003 MCC Domestic Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
MILLENNIUM CHALLENGE CORPORATION						
85.002 MCC Foreign Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
85.003 MCC Domestic Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
MILLENNIUM CHALLENGE CORPORATION						
85.002 MCC Foreign Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
85.003 MCC Domestic Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
MILLENNIUM CHALLENGE CORPORATION						
85.002 MCC Foreign Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
85.003 MCC Domestic Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
MILLENNIUM CHALLENGE CORPORATION						
85.002 MCC Foreign Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
85.003 MCC Domestic Assistance for Overseas Programs (B,C)	X	X	X	X	X	X
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION						
89.001 National Archives Reference Services_Historical Research (I,K,L)	X	X	X	X	X	X
89.003 National Historical Publications and Records Grants (B)		X	X	X		X
89.005 Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (C)		X		X		
BROADCASTING BOARD OF GOVERNORS						
90.500 International Broadcasting Independent Grantee Organizations (B)			X			
BROADCASTING BOARD OF GOVERNORS						
				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
90.500 International Broadcasting Independent Grantee Organizations (B)			X			
BROADCASTING BOARD OF GOVERNORS						
90.500 International Broadcasting Independent Grantee Organizations (B)			X			
JAPAN U.S. FRIENDSHIP COMMISSION						
90.300 Japan-U.S. Friendship Commission Grants (B)			X	X		
U.S. ELECTION ASSISTANCE COMMISSION						
90.400 Help America Vote College Program (B)				X		
90.403 U.S. Election Assistance Commission Research Grants (B)		X	X	X		
UNITED STATES INSTITUTE OF PEACE						
91.001 Annual Grant Competition (B)	X		X			
91.002 Priority Grant Competition (B)	X		X			
91.003 91.003 Annual Senior Fellowship Competition (B)	X		X			
DEPARTMENT OF HEALTH AND HUMAN SERVICES						
93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)	X	X	X	X	X	X
93.004 Cooperative Agreements to Improve the Health Status of Minority Populations (B)		X	X	X		X
93.006 State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)						
93.007 Public Awareness Campaigns on Embryo Adoption (B)			X			
93.008 Medical Reserve Corps Small Grant Program (B)						
93.009 Compassion Capital Fund (B)				X		X
93.010 Community-Based Abstinence Education (CBAE) (B)		X	X	X		X
93.015 HIV Prevention Programs for Women (B)		X	X	X		X
93.017 Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (B)			X			
93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)						
93.019 Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)						
93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)				X		
93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (A)				X		
93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (A)				X		
93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)				X		
93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)				X		
93.047 Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (B)		X				X
93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (B)			X			
93.051 Alzheimer's Disease Demonstration Grants to States (B)				X		
93.052 National Family Caregiver Support, Title III, Part E (A)		X		X	X	
93.053 Nutrition Services Incentive Program (A)				X	X	X
93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)						X
93.061 Innovations in Applied Public Health Research (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.062 Biomonitoring Programs for State Public Health Laboratories (B)				X		
93.063 Centers for Genomics and Public Health (B)			X	X		
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)		X	X	X	X	
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)				X		
93.066 State Vital Statistics Improvement Program (B)			X			
93.068 Chronic Diseases: Research, Control, and Prevention (B)		X	X	X		X
93.069 Public Health Emergency Preparedness (B)		X		X		
93.070 Environmental Public Health and Emergency Response (B)						X
93.071 Medicare Enrollment Assistance Program (A,B)						
93.072 Lifespan Respite Care Program (B)				X		
93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)			X	X	X	
93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)		X	X	X		
93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)		X	X	X		X
93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)		X	X	X		X
93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)			X	X		
93.090 Guardianship Assistance (A)				X		X
93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants (B)						
93.092 Personal Responsibility Education Program (A,B)		X	X	X		X
93.093 Demonstration Projects to Address Health Professions Workforce Needs (B)			X	X		X
93.103 Food and Drug Administration Research (B)		X		X	X	X
93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)		X		X		
93.105 Bilingual/Bicultural Service Demonstration Grants (B)		X		X		X
93.107 Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)			X	X		
93.110 Maternal and Child Health Federal Consolidated Programs (B)		X	X	X		X
93.111 Adolescent Family Life Research Grants (B)		X	X	X		X
93.113 Environmental Health (B)		X	X	X		
93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)		X		X		
93.117 Preventive Medicine Residency Program (B,M)		X	X	X		X
93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)		X	X	X		
93.121 Oral Diseases and Disorders Research (B)			X			
93.123 Health Professions Pregraduate Scholarship Program for Indians (M)						
93.124 Nurse Anesthetist Traineeships (A)			X	X		
93.127 Emergency Medical Services for Children (B)			X	X		
93.129 Technical and Non-Financial Assistance to Health Centers (B)		X	X	X		X
93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)				X		
93.134 Grants to Increase Organ Donations (B)			X	X		X
93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)			X	X		
93.136 Injury Prevention and Control Research and State and Community Based Programs (B)		X	X	X		X
93.137 Community Programs to Improve Minority Health Grant						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Program (B)						
93.138 Protection and Advocacy for Individuals with Mental Illness (A)				X		
93.140 Intramural Research Training Award (B)	X					
93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)		X	X	X		
93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)		X	X	X		
93.145 AIDS Education and Training Centers (B)		X	X	X		
93.150 Projects for Assistance in Transition from Homelessness (PATH) (A)				X		
93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)		X	X	X	X	
93.155 Rural Health Research Centers (B)		X	X	X		X
93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B,M)			X	X		
93.157 Centers of Excellence (A,B)			X	X		
93.161 Health Program for Toxic Substances and Disease Registry (B)	X	X		X		
93.162 National Health Service Corps Loan Repayment Program (C)	X					
93.164 Indian Health Service Educational Loan Repayment (C)						
93.165 Grants to States for Loan Repayment Program (B,C)				X	X	
93.172 Human Genome Research (B)	X		X			
93.173 Research Related to Deafness and Communication Disorders (B)			X			
93.178 Nursing Workforce Diversity (B)		X	X	X		X
93.184 Disabilities Prevention (B)		X	X	X		X
93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)		X	X	X		
93.186 National Research Service Award in Primary Care Medicine (M)		X	X	X		
93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)	X	X	X	X	X	X
93.189 Health Education Training Centers Continuing Educational Support for Health Professionals Serving in Underserved Communities (B)			X			
93.191 Patient Navigator and Chronic Disease Prevention Program (B)			X			
93.193 Urban Indian Health Services (B)						
93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)		X	X	X		X
93.202 Capacity Building Among American Indian Tribes (B)						X
93.204 Surveillance of Hazardous Substance Emergency Events (B)				X		X
93.206 Human Health Studies_Applied Research and Development (B)			X	X		X
93.209 Contraception and Infertility Research Loan Repayment Program (C)						
93.210 Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)						X
93.211 Telehealth Programs (B)		X	X	X		X
93.212 Chiropractic Demonstration Project Grants (B)			X	X		
93.213 Research and Training in Complementary and Alternative Medicine (B,M)		X	X	X		
93.217 Family Planning_Services (B)		X	X	X		
93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (B)		X	X	X	X	

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.223 Development and Coordination of Rural Health Services (B)		X	X	X		X
93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)		X	X	X		
93.225 National Research Service Awards_Health Services Research Training (B)		X	X	X		
93.226 Research on Healthcare Costs, Quality and Outcomes (B)		X	X	X		
93.228 Indian Health Service_Health Management Development Program (B)						X
93.231 Epidemiology Cooperative Agreements (B)		X				X
93.232 Loan Repayment Program for General Research (B)	X			X	X	
93.233 National Center on Sleep Disorders Research (B)	X	X	X			
93.234 Traumatic Brain Injury State Demonstration Grant Program (B)						
93.235 Abstinence Education Program (A)				X	X	
93.236 Grants for Dental Public Health Residency Training (B)			X	X	X	X
93.237 Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (B)		X				X
93.240 State Capacity Building (B)		X		X		
93.241 State Rural Hospital Flexibility Program (B)				X		
93.242 Mental Health Research Grants (B)	X	X	X	X	X	X
93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)		X	X	X		X
93.247 Advanced Nursing Education Grant Program (B)			X			
93.249 Public Health Training Centers Grant Program (B)			X			
93.250 Geriatric Academic Career Awards (B)	X		X			
93.251 Universal Newborn Hearing Screening (B)			X	X		
93.253 Poison Center Support and Enhancement Grant Program (B)		X	X	X	X	X
93.254 Infant Adoption Awareness Training (B)		X	X	X		
93.255 Children's Hospitals Graduate Medical Education Payment (C)			X	X		
93.256 State Health Access Program (B)					X	
93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)		X	X	X		
93.259 Rural Access to Emergency Devices Grant (B)		X		X		X
93.260 Family Planning_Personnel Training (B)		X	X	X		
93.262 Occupational Safety and Health Program (B,M)		X		X		
93.264 Nurse Faculty Loan Program (NFLP) (E)			X	X		
93.265 Comprehensive Geriatric Education Program(CGEP) (B)			X	X		
93.266 Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)			X			
93.267 State Grants for Protection and Advocacy Services (A)				X		
93.268 Immunization Grants (B)		X		X	X	
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	X	X	X	X	X	X
93.270 Adult Viral Hepatitis Prevention and Control (B)	X	X	X	X	X	X
93.273 Alcohol Research Programs (B)	X	X	X	X		
93.275 Substance Abuse and Mental Health Services-Access to Recovery (B)		X		X		X
93.276 Drug-Free Communities Support Program Grants (B)			X			X
93.279 Drug Abuse and Addiction Research Programs (B,M)	X	X	X	X		
93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)						
93.281 Mental Health Research Career/Scientist Development Awards (B)	X	X	X	X		
93.282 Mental Health National Research Service Awards for						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Research Training (M)						
93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance (B)	X	X	X	X		
93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (B)			X			X
93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)						
93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)			X			
93.288 National Health Service Corps Scholarship Program (C)	X					
93.289 President's Council on Fitness, Sports, and Nutrition (K)	X	X	X	X	X	X
93.290 National Community Centers of Excellence in Women's Health (B)			X	X		
93.291 Surplus Property Utilization (H)		X	X	X		
93.295 Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)		X	X	X		
93.296 State Partnership Grant Program to Improve Minority Health (B)				X		
93.297 Teenage Pregnancy Prevention Program (B)			X			
93.300 National Center for Health Workforce Analysis (B)		X	X	X		
93.301 Small Rural Hospital Improvement Grant Program (B)		X	X	X		X
93.303 Nursing Scholarship Program (C)						
93.307 Minority Health and Health Disparities Research (B)						
93.308 Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research (C)						
93.310 Trans-NIH Research Support (B,M)		X	X	X		
93.338 Foreign Public Health Construction (B)			X			
93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (E)			X	X		
93.358 Advanced Nursing Education Traineeships (A)		X	X	X		X
93.359 Nurse Education, Practice and Retention Grants (B)		X	X	X		
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)			X	X		X
93.361 Nursing Research (B)	X	X	X	X	X	X
93.364 Nursing Student Loans (E)			X			
93.365 Sickle Cell Treatment Demonstration Program (B)			X	X		
93.389 National Center for Research Resources (B)		X	X	X		
93.393 Cancer Cause and Prevention Research (B)		X	X	X		
93.394 Cancer Detection and Diagnosis Research (B)		X	X	X		
93.395 Cancer Treatment Research (B)		X	X	X		
93.396 Cancer Biology Research (B)		X	X	X		
93.397 Cancer Centers Support Grants (B)		X	X	X		
93.398 Cancer Research Manpower (B)			X			
93.399 Cancer Control (B)		X	X	X		
93.400 National Health Service Corps Scholarship Program (C)	X					
93.401 ARRA - National Health Service Corps Loan Repayment (C)						
93.402 ARRA - State Loan Repayment Program (B,C)					X	
93.403 ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (B)			X	X		
93.404 ARRA Dental Public Health Residency Training Grants (B)			X	X		
93.405 ARRA - Public Health Traineeship Program (A)			X	X		
93.406 Nursing Education Loan Repayment Program (NELRP) (C)		X				
93.407 ARRA - Scholarships for Disadvantaged Students (B)			X	X		
93.408	X		X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
ARRA - Nurse Faculty Loan Program (B)						
93.409 Faculty Loan Repayment Programs (C)						
93.410 ARRA Licensure Portability Grant Program (B)				X		
93.411 ARRA Equipment to Enhance Training for Health Professionals (B)			X	X		
93.412 ARRA - Preventive Medicine Residency Program (B,M)			X	X		
93.414 ARRA - State Primary Care Offices (B)			X	X		
93.415 ARRA - Centers of Excellence (B)			X	X		
93.416 ARRA - Health Careers Opportunity Program (B)			X			
93.417 ARRA - Nursing Workforce Diversity (B)						
93.418 ARRA - Emergency Medical Services for Children (B)				X		
93.419 ARRA - Maternal and Child Health (MCH) Pediatric Research Network Program (B)						
93.420 ARRA - Community Health Applied Research Network (B,C)			X			
93.440 National Project Management of the Healthcare Communities Knowledge Gateway (B)			X			
93.441 Indian Self-Determination (C)		X				
93.442 Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)				X		X
93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)						X
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)						X
93.445 Indian Health Service Sanitation Facilities Construction Program (J)						X
93.448 Food Safety and Security Monitoring Project (B)		X		X		X
93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS. (B)						
93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)			X			X
93.453 Coalition for a Healthier Community (B)		X	X	X		
93.500 Pregnancy Assistance Fund Program (B)		X		X		
93.501 Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures (B)		X	X	X		X
93.502 Affordable Care Act (ACA) Infrastructure to Expand Access to Care (B)			X	X		
93.503 Affordable Care Act (ACA) Nursing and Home Health Aides Training Program (B)			X	X		X
93.504 Affordable Care Act (ACA) Family to Family Health Information Centers (B)		X	X	X	X	X
93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)					X	
93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)					X	
93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes (B)		X			X	X
93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)						X
93.509 Affordable Care Act (ACA) State Health Care Workforce Development Grants (B)						
93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)			X			
93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)						
93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (B)						X
93.513 Affordable Care Act (ACA) Advanced Nursing Education			X	X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Expansion Initiative (B)						
93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)		X	X	X		
93.515 Affordable Care Act (ACA) Nurse-Managed Health Clinics (B)			X			
93.516 Affordable Care Act (ACA) Public Health Training Centers Program (B)			X			
93.517 Affordable Care Act Aging and Disability Resource Center (B)						
93.518 93.518 - Affordable Care Act - Medicare Improvements for Patients and Providers (A,B)						
93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)				X		
93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)		X			X	X
93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements (B)					X	
93.522 Affordable Care Act (ACA) Prevention Center for Healthy Weight (B)		X		X	X	X
93.523 The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (B)		X		X	X	
93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance (B)						
93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)					X	
93.526 Affordable Care Act (ACA) Grants for Capital Development in Health Centers (B)		X	X	X		
93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)		X	X	X		
93.550 Transitional Living for Homeless Youth (B)		X	X	X		X
93.551 Abandoned Infants (B)		X	X	X		X
93.556 Promoting Safe and Stable Families (A,B)				X		X
93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)						
93.558 Temporary Assistance for Needy Families (A)					X	X
93.560 Family Support Payments to States_Assistance Payments (A)					X	
93.563 Child Support Enforcement (A)				X		
93.564 Child Support Enforcement Research (B)				X		
93.566 Refugee and Entrant Assistance_State Administered Programs (A)				X		
93.567 Refugee and Entrant Assistance_Voluntary Agency Programs (B)						
93.568 Low-Income Home Energy Assistance (A)			X	X		X
93.569 Community Services Block Grant (A)				X		X
93.570 Community Services Block Grant_Discretionary Awards (B)			X	X		
93.575 Child Care and Development Block Grant (A)					X	X
93.576 Refugee and Entrant Assistance_Discretionary Grants (B)		X		X		
93.579 U.S. Repatriation (B)						
93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)						X
93.583 Refugee and Entrant Assistance_Wilson/Fish Program (B)				X		
93.584 Refugee and Entrant Assistance_Targeted Assistance Grants (A)				X		
93.586 State Court Improvement Program (A)		X	X	X	X	

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)						X
93.590 Community-Based Child Abuse Prevention Grants (A)				X		
93.591 Family Violence Prevention and Services/Grants For Battered Women's Shelters_Grants to State Domestic Violence Coalitions (A)						
93.592 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants (B)			X			X
93.593 Job Opportunities for Low-Income Individuals (B)			X			
93.594 Tribal Work Grants (A)						X
93.595 Welfare Reform Research, Evaluations and National Studies (B)		X	X	X		
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)					X	X
93.597 Grants to States for Access and Visitation Programs (B)					X	
93.598 Services to Victims of a Severe Form of Trafficking (B)		X	X	X		
93.599 Chafee Education and Training Vouchers Program (ETV) (A)				X		X
93.600 Head Start (B)		X	X			X
93.601 Child Support Enforcement Demonstrations and Special Projects (B)		X	X	X		
93.602 Assets for Independence Demonstration Program (B)		X	X	X		X
93.603 Adoption Incentive Payments (A)				X		
93.604 Assistance for Torture Victims (B)			X			
93.605 Family Connection Grants (B)		X	X	X		
93.612 Native American Programs (B)						X
93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)	X	X	X	X	X	X
93.616 Mentoring Children of Prisoners (B)		X	X	X		X
93.617 Voting Access for Individuals with Disabilities_Grants to States (A)				X		
93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A)				X		
93.623 Basic Center Grant (B)		X	X	X		X
93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)				X		
93.631 Developmental Disabilities Projects of National Significance (B)		X		X		
93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)						
93.643 Children's Justice Grants to States (A)				X		
93.645 Child Welfare Services_State Grants (A)				X		X
93.647 Social Services Research and Demonstration (B)			X	X		X
93.648 Child Welfare Research Training or Demonstration (B)		X	X	X		X
93.652 Adoption Opportunities (B)		X	X	X		
93.658 Foster Care_Title IV-E (A,B)				X		X
93.659 Adoption Assistance (A)				X		X
93.667 Social Services Block Grant (A)				X		
93.669 Child Abuse and Neglect State Grants (A)				X		
93.670 Child Abuse and Neglect Discretionary Activities (B)		X	X	X		
93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (A)				X		X
93.674 Chafee Foster Care Independence Program (A)				X		X
93.676 Unaccompanied Alien Children Program (B)		X		X		
93.701 Trans-NIH Recovery Act Research Support (B)						
93.702 National Center for Research Resources, Recovery Act Construction Support (B)			X			
93.703 ARRA Grants to Health Center Programs (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.704 Trans-NIH Recovery Act Loan Repayment Support (C)						
93.705 Aging Home-Delivered Nutrition Services for States (A)				X		
93.706 Aging Nutrition Services for Native Americans (B)						X
93.707 Aging Congregate Nutrition Services for States (A)				X		
93.708 ARRA - Head Start (B)		X	X	X		X
93.709 ARRA - Early Head Start (B)		X	X	X		X
93.710 ARRA - Community Services Block Grant (A)				X		X
93.711 ARRA - Strengthening Communities Fund (B)						X
93.712 ARRA - Immunization (B)				X		
93.713 ARRA - Child Care and Development Block Grant (A)					X	X
93.714 ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)					X	X
93.715 Recovery Act - Comparative Effectiveness Research - AHRQ (B)		X	X	X		X
93.716 ARRA - Temporary Assistance for Needy Families (TANF) Supplemental Grants (A)						
93.717 ARRA - Preventing Healthcare-Associated Infections (B)				X		
93.718 Health Information Technology Regional Extension Centers Program (B)						
93.719 ARRA - State Grants to Promote Health Information Technology (B)				X		
93.720 ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (B)				X		
93.721 ARRA - Health Information Technology Professionals in Health Care (B)			X			
93.722 ARRA - IHS Tribal Agreements (B)						X
93.723 ARRA - Prevention and Wellness-State, Territories and Pacific Islands (B)						
93.724 ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (B)						
93.725 ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (B)					X	
93.726 ARRA - Accelerating Adoption of Comparative Effectiveness Research (CER) (B)		X	X	X		X
93.727 ARRA - Health Information Technology - Beacon Communities (B)		X	X	X	X	X
93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)			X			
93.729 ARRA - Health Information Technology and Public Health (B)				X	X	
93.730 ARRA - Prevention Research Centers Comparative Effectiveness Research Program (B)						
93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (B)			X			
93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)			X			
93.767 Children's Health Insurance Program (A)				X		
93.768 Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)				X		
93.769 Demonstration to Maintain Independence and Employment (B)				X		
93.770 Medicare Prescription Drug Coverage (C)						
93.773 Medicare Hospital Insurance (C)	X					
93.774 Medicare Supplementary Medical Insurance (C)	X					
93.775 State Medicaid Fraud Control Units (A)				X		
93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)				X		

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.778 Medical Assistance Program (A)		X		X		
93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)			X	X		
93.780 Grants to States for Operation of Qualified High-Risk Pools (A)				X		
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)			X	X		
93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (B)				X		
93.790 Alternate Non-Emergency Service Providers or Networks (B)				X		
93.791 Money Follows the Person Rebalancing Demonstration (B)				X		
93.793 Medicaid Transformation Grants (B)				X		
93.822 Health Careers Opportunity Program (B)		X	X	X		
93.824 Area Health Education Centers Infrastructure Development Awards (B)		X	X	X		
93.837 Cardiovascular Diseases Research (B)	X	X	X			
93.838 Lung Diseases Research (B)	X	X	X			
93.839 Blood Diseases and Resources Research (B)	X	X	X			
93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)	X	X	X	X		
93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)	X	X	X	X		
93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)	X	X	X	X	X	
93.855 Allergy, Immunology and Transplantation Research (B)	X	X	X	X		
93.856 Microbiology and Infectious Diseases Research (B)	X	X	X	X		
93.859 Biomedical Research and Research Training (B)	X	X	X	X		
93.865 Child Health and Human Development Extramural Research (B)	X	X	X	X		
93.866 Aging Research (B)	X	X	X	X	X	X
93.867 Vision Research (B)	X		X	X		
93.879 Medical Library Assistance (B)	X	X	X	X		
93.884 Grants for Training in Primary Care Medicine and Dentistry (B)		X	X	X		
93.887 Health Care and Other Facilities (B)			X	X		X
93.888 Specially Selected Health Projects (B)		X	X	X		X
93.889 National Bioterrorism Hospital Preparedness Program (A)		X		X		
93.908 Nursing Education Loan Repayment Program (C)	X					
93.910 Family and Community Violence Prevention Program (B)		X	X	X		X
93.912 Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)		X	X	X		X
93.913 Grants to States for Operation of Offices of Rural Health (B)				X		
93.914 HIV Emergency Relief Project Grants (B)		X	X	X		X
93.917 HIV Care Formula Grants (A)				X		
93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)		X	X	X		X
93.919 Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)				X		X
93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)			X	X		
93.924 Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership (B,C)			X	X		
93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)		X	X	X		
93.926 Healthy Start Initiative (B)		X	X	X		X
93.928 Special Projects of National Significance (B)		X	X	X	X	X
93.932 Native Hawaiian Health Systems (B)			X			

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
93.933 Demonstration Projects for Indian Health (B)		X	X			X
93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B)				X	X	
93.938 Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)			X	X		
93.939 HIV Prevention Activities_Non-Governmental Organization Based (B)			X			
93.940 HIV Prevention Activities_Health Department Based (B)		X		X		
93.941 HIV Demonstration, Research, Public and Professional Education Projects (B)		X	X	X		
93.942 Research, Treatment and Education Programs on Lyme Disease in the United States (B)		X	X	X		
93.943 Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)		X	X	X		
93.944 Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)		X		X		
93.945 Assistance Programs for Chronic Disease Prevention and Control (B)			X	X		
93.946 Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)				X		
93.947 Tuberculosis Demonstration, Research, Public and Professional Education (B)		X	X	X		
93.954 Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (M)						X
93.958 Block Grants for Community Mental Health Services (A)				X		
93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)				X		X
93.964 Public Health Traineeships (A)			X	X		
93.965 Coal Miners Respiratory Impairment Treatment Clinics and Services (B)		X	X	X		X
93.969 Geriatric Education Centers (B)			X			
93.970 Health Professions Recruitment Program for Indians (M)		X	X			X
93.971 Health Professions Preparatory Scholarship Program for Indians (M)	X					
93.972 Health Professions Scholarship Program (M)	X					
93.974 Family Planning_Service Delivery Improvement Research Grants (B)			X	X		
93.975 National All Schedules Prescription Electronic Reporting Grant (A)				X		
93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants (B)		X		X		
93.978 Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants (B)		X	X	X		
93.982 Mental Health Disaster Assistance and Emergency Mental Health (B)		X	X	X		
93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)				X		
93.989 International Research and Research Training (B,M)			X	X		
93.990 National Health Promotion (B)			X			
93.991 Preventive Health and Health Services Block Grant (A)				X		X
93.993 Public Health Research Accreditation Project (B)			X			
93.994 Maternal and Child Health Services Block Grant to the States (A)				X		
93.995 Adolescent Family Life_Demonstration Projects (B)		X	X	X		

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
94.002 Retired and Senior Volunteer Program (B)		X		X		X
94.003 State Commissions (B)				X	X	
94.006 AmeriCorps (B)		X	X	X	X	X
94.007 Program Development and Innovation Grants (B)		X	X	X		X
94.009 Training and Technical Assistance (L,M)		X	X	X		
94.011 Foster Grandparent Program (B)		X		X		X
94.013 Volunteers in Service to America (J)		X	X	X		X
94.016 Senior Companion Program (B)		X	X	X		X
94.019 Social Innovation Fund (B)		X	X	X	X	X
94.020 CNCS Disaster Response Cooperative Agreement (B)						
94.021 Volunteer Generation Fund (B)						
94.022 Nonprofit Capacity Building (B)						

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 High Intensity Drug Trafficking Areas Program (B)

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 High Intensity Drug Trafficking Areas Program (B)

DEPARTMENT OF HOMELAND SECURITY

97.005 State and Local Homeland Security National Training Program (B)						
97.007 Homeland Security Preparedness Technical Assistance Program (B)						
97.008 Non-Profit Security Program (B)		X				
97.009 Cuban/Haitian Entrant Program (B)	X		X			
97.010 Citizenship Education and Training (B)			X			
97.012 Boating Safety Financial Assistance (A)						
97.013 State Access to the Oil Spill Liability Trust Fund (B)				X		
97.016 Reimbursement for Firefighting on Federal Property (C)				X		
97.018 National Fire Academy Training Assistance (C)			X			
97.019 National Fire Academy Fellowship Program (M)						
97.022 Flood Insurance (G)		X				
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)						
97.024 Emergency Food and Shelter National Board Program (B)						
97.025 National Urban Search and Rescue (US&R) Response System (B)						
97.026 Emergency Management Institute_Training Assistance (C)		X				
97.027 Emergency Management Institute (EMI)_Independent Study Program (M)		X				
97.028 Emergency Management Institute (EMI)_Resident Educational Program (M)		X		X		
97.029 Flood Mitigation Assistance (B)		X	X	X		X
97.030 Community Disaster Loans (E)		X				
97.031 Cora Brown Fund (C)	X					
97.032 Crisis Counseling (B)						
97.033 Disaster Legal Services (C)						
97.034 Disaster Unemployment Assistance (C,J)						
97.036 Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)		X		X		
97.039 Hazard Mitigation Grant (B)		X	X	X		X
97.040 Chemical Stockpile Emergency Preparedness Program (B)		X				
97.041 National Dam Safety Program (B)						
97.042 Emergency Management Performance Grants (A)				X		
97.043 State Fire Training Systems Grants (B)						
97.044 Assistance to Firefighters Grant (B)		X				
97.045						

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
Cooperating Technical Partners (B)						
97.046 Fire Management Assistance Grant (B,J)				X		X
97.047 Pre-Disaster Mitigation (B)		X		X		X
97.048 Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)	X					
97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)	X					
97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X					
97.052 Emergency Operations Centers (B)						
97.053 Citizen Corps (B)						
97.055 Interoperable Emergency Communications (B)		X				
97.056 Port Security Grant Program (B)						
97.057 Intercity Bus Security Grants (B)			X			
97.058 Operation Safe Commerce (OSC) Cooperative Agreement Program (B)			X			
97.059 Truck Security Program (B)			X			
97.061 Centers for Homeland Security (B)			X			
97.062 Scholars and Fellows, and Educational Programs (B,C)						
97.065 Homeland Security Advanced Research Projects Agency (B)			X			
97.066 Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)						
97.067 Homeland Security Grant Program (A)		X		X		
97.069 Aviation Research Grants (B,I)			X			
97.070 Map Modernization Management Support (B)		X		X		
97.071 Metropolitan Medical Response System (B)		X		X		
97.072 National Explosives Detection Canine Team Program (B)						
97.073 State Homeland Security Program (SHSP) (A)						
97.075 Rail and Transit Security Grant Program (B)						
97.076 National Center for Missing and Exploited Children (NCMEC) (B)						
97.077 Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (B,H,I,L)		X	X	X		
97.078 Buffer Zone Protection Program (BZPP) (B)		X		X		X
97.080 Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)		X	X	X		X
97.082 Earthquake Consortium (B)						
97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)		X				
97.084 Hurricane Katrina Case Management Initiative Program (B)						
97.086 Homeland Security Outreach, Education, and Technical Assistance (B,L)		X	X	X		X
97.088 Disaster Assistance Projects (B)	X	X	X	X		X
97.089 Driver's License Security Grant Program (B)				X		
97.090 Law Enforcement Officer Reimbursement Agreement Program (C)						
97.091 Homeland Security Biowatch Program (B,I)		X		X		
97.092 Repetitive Flood Claims (B)				X		X
97.093 Fire Service Hazardous Materials Preparedness and Response (B)						
97.094 Prevention Advocacy Resources and Data Exchange Program (B)				X		
97.095 Safe Kids Worldwide (B)			X			
97.097 Training Resource and Data Exchange (B)						
97.098 Disaster Donations Management Program (B)		X		X		
97.099 Residential Fire Safety & Fire Sprinkler Initiatives (B)						
97.103 Degrees at a Distance Program (B)			X	X		X

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)						
97.105 Firefighter Health and Safety (B)	X	X	X	X	X	X
97.106 Securing the Cities (B,H,I,L)						
97.107 National Incident Management System (NIMS) (B)		X	X	X		X
97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)	X	X	X	X		X
97.109 Disaster Housing Assistance Grant (B)	X					
97.110 Severe Loss Repetitive Program (B)		X				X
97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)		X		X		
97.112 Border Infrastructure Improvement Projects (B)		X				
97.113 Rail and Transit Security Grant Program (ARRA) (B)						
97.114 Emergency Food and Shelter National Board Program (ARRA) (B)						
97.115 Assistance to Firefighters Grant (ARRA) (B)		X				
97.116 Port Security Grant Program (ARRA) (B)						
97.117 TSA Airport Checked Baggage Inspection System Program (ARRA) (B)						
97.118 Advanced Surveillance Program (ASP) (B)						
97.119 2010 Olympics First Responder Training (B)						
97.120 Border Interoperability Demonstration Project (B)						
97.121 Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)		X				
97.122 Bio-Preparedness Collaboratory (B)						
97.123 Multi-State Information Sharing and Analysis Center (B)						
97.124 Interoperable Communications and Training Project (B)			X			
97.125 Virginia Operational Integration Cyber Center of Excellence (B)		X		X		

DEPARTMENT OF HOMELAND SECURITY

97.005 State and Local Homeland Security National Training Program (B)						
97.007 Homeland Security Preparedness Technical Assistance Program (B)						
97.008 Non-Profit Security Program (B)		X				
97.009 Cuban/Haitian Entrant Program (B)	X		X			
97.010 Citizenship Education and Training (B)			X			
97.012 Boating Safety Financial Assistance (A)						
97.013 State Access to the Oil Spill Liability Trust Fund (B)				X		
97.016 Reimbursement for Firefighting on Federal Property (C)				X		
97.018 National Fire Academy Training Assistance (C)			X			
97.019 National Fire Academy Followship Program (M)						
97.022 Flood Insurance (G)		X				
97.023 Community Assistance Program State Support Services Element (CAP-SSSE) (B)						
97.024 Emergency Food and Shelter National Board Program (B)						
97.025 National Urban Search and Rescue (US&R) Response System (B)						
97.026 Emergency Management Institute_Training Assistance (C)		X				
97.027 Emergency Management Institute (EMI)_Independent Study Program (M)		X				
97.028 Emergency Management Institute (EMI)_Resident Educational Program (M)		X		X		
97.029 Flood Mitigation Assistance (B)		X	X	X		X
97.030 Community Disaster Loans (E)		X				
97.031 Cora Brown Fund (C)	X					

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
97.032	Crisis Counseling (B)					
97.033	Disaster Legal Services (C)					
97.034	Disaster Unemployment Assistance (C,J)					
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)		X	X		
97.039	Hazard Mitigation Grant (B)		X	X		X
97.040	Chemical Stockpile Emergency Preparedness Program (B)		X			
97.041	National Dam Safety Program (B)					
97.042	Emergency Management Performance Grants (A)			X		
97.043	State Fire Training Systems Grants (B)					
97.044	Assistance to Firefighters Grant (B)		X			
97.045	Cooperating Technical Partners (B)			X		X
97.046	Fire Management Assistance Grant (B,J)			X		X
97.047	Pre-Disaster Mitigation (B)		X	X		X
97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)	X				
97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)	X				
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)	X				
97.052	Emergency Operations Centers (B)					
97.053	Citizen Corps (B)					
97.055	Interoperable Emergency Communications (B)		X			
97.056	Port Security Grant Program (B)					
97.057	Intercity Bus Security Grants (B)		X			
97.058	Operation Safe Commerce (OSC) Cooperative Agreement Program (B)		X			
97.059	Truck Security Program (B)		X			
97.061	Centers for Homeland Security (B)		X			
97.062	Scholars and Fellows, and Educational Programs (B,C)					
97.065	Homeland Security Advanced Research Projects Agency (B)		X			
97.066	Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)					
97.067	Homeland Security Grant Program (A)		X	X		
97.069	Aviation Research Grants (B,I)		X			
97.070	Map Modernization Management Support (B)		X	X		
97.071	Metropolitan Medical Response System (B)		X	X		
97.072	National Explosives Detection Canine Team Program (B)					
97.073	State Homeland Security Program (SHSP) (A)					
97.075	Rail and Transit Security Grant Program (B)					
97.076	National Center for Missing and Exploited Children (NCMEC) (B)					
97.077	Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (B,H,I,L)		X	X	X	
97.078	Buffer Zone Protection Program (BZPP) (B)		X	X		X
97.080	Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)		X	X		X
97.082	Earthquake Consortium (B)					
97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)		X			
97.084	Hurricane Katrina Case Management Initiative Program (B)					
97.086	Homeland Security Outreach, Education, and Technical Assistance (B,L)		X	X		X
97.088	Disaster Assistance Projects (B)	X	X	X		X
97.089	Driver's License Security Grant Program (B)			X		
97.090	Law Enforcement Officer Reimbursement Agreement Program (C)					

APPLICANT ELIGIBILITY INDEX

Program Description	Individual	Local	NonProfit	State	U.S. Territories	Federal Tribal Governments
97.091 Homeland Security Biowatch Program (B,I)		X		X		
97.092 Repetitive Flood Claims (B)				X		X
97.093 Fire Service Hazardous Materials Preparedness and Response (B)						
97.094 Prevention Advocacy Resources and Data Exchange Program (B)				X		
97.095 Safe Kids Worldwide (B)			X			
97.097 Training Resource and Data Exchange (B)						
97.098 Disaster Donations Management Program (B)		X		X		
97.099 Residential Fire Safety & Fire Sprinkler Initiatives (B)						
97.103 Degrees at a Distance Program (B)			X			
97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)						
97.105 Firefighter Health and Safety (B)	X	X	X	X	X	X
97.106 Securing the Cities (B,H,I,L)						
97.107 National Incident Management System (NIMS) (B)		X	X	X		X
97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)	X	X	X	X		X
97.109 Disaster Housing Assistance Grant (B)	X					
97.110 Severe Loss Repetitive Program (B)		X				X
97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)		X		X		
97.112 Border Infrastructure Improvement Projects (B)		X				
97.113 Rail and Transit Security Grant Program (ARRA) (B)						
97.114 Emergency Food and Shelter National Board Program (ARRA) (B)						
97.115 Assistance to Firefighters Grant (ARRA) (B)		X				
97.116 Port Security Grant Program (ARRA) (B)						
97.117 TSA Airport Checked Baggage Inspection System Program (ARRA) (B)						
97.118 Advanced Surveillance Program (ASP) (B)						
97.119 2010 Olympics First Responder Training (B)						
97.120 Border Interoperability Demonstration Project (B)						
97.121 Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)		X				
97.122 Bio-Preparedness Collaboratory (B)						
97.123 Multi-State Information Sharing and Analysis Center (B)						
97.124 Interoperable Communications and Training Project (B)			X			
97.125 Virginia Operational Integration Cyber Center of Excellence (B)		X		X		

DEADLINES INDEX

This index provides a chronological list of program deadlines as submitted by the administering agency.

DECEMBER 31, 2009

10.053 Dairy Indemnity Program (D)

15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)

JANUARY 01, 2010

91.003 91.003 Annual Senior Fellowship Competition (B)

JANUARY 07, 2010

15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)

JANUARY 11, 2010

11.010 Community Trade Adjustment Assistance (B)

84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (A)

84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (A)

JANUARY 14, 2010

93.721 ARRA - Health Information Technology Professionals in Health Care (B)

JANUARY 22, 2010

93.721 ARRA - Health Information Technology Professionals in Health Care (B)

JANUARY 25, 2010

93.721 ARRA - Health Information Technology Professionals in Health Care (B)

93.721 ARRA - Health Information Technology Professionals in Health Care (B)

93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)

JANUARY 26, 2010

94.003 State Commissions (B)

JANUARY 31, 2010

20.807 U.S. Merchant Marine Academy (M)

66.817 State and Tribal Response Program Grants (A)

FEBRUARY 05, 2010

93.172 Human Genome Research (B)

FEBRUARY 12, 2010

85.105 Agriscience Awards (C,D)

FEBRUARY 15, 2010

20.602 Occupant Protection Incentive Grants (B)

93.066 State Vital Statistics Improvement Program (B)

FEBRUARY 16, 2010

20.215 Highway Training and Education (B,M)

FEBRUARY 18, 2010

90.400 Help America Vote College Program (B)

FEBRUARY 25, 2010

85.101 Homeland Security Award (D)

85.104 Life Sciences Awards (C,D)

MARCH 01, 2010

20.807 U.S. Merchant Marine Academy (M)

34.002 Labor Management Cooperation (B)

MARCH 02, 2010

45.163 Promotion of the Humanities_Professional Development (B)

MARCH 15, 2010

20.215 Highway Training and Education (B,M)

MARCH 17, 2010

59.058 Federal and State Technology Partnership Program (B)

MARCH 25, 2010

94.021 Volunteer Generation Fund (B)

MARCH 30, 2010

84.048 Career and Technical Education -- Basic Grants to States (A)

84.243 Tech-Prep Education (A)

MARCH 31, 2010

90.400 Help America Vote College Program (B)

APRIL 01, 2010

20.215 Highway Training and Education (B,M)

93.297 Teenage Pregnancy Prevention Program (B)

APRIL 06, 2010

85.105 Agriscience Awards (C,D)

APRIL 13, 2010

85.104 Life Sciences Awards (C,D)

APRIL 16, 2010

93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)

APRIL 20, 2010

11.010 Community Trade Adjustment Assistance (B)

APRIL 30, 2010

93.583 Refugee and Entrant Assistance_Wilson/Fish Program (B)

MAY 01, 2010

10.560 State Administrative Expenses for Child Nutrition (A)

17.600 Mine Health and Safety Grants (B)

MAY 03, 2010

93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)

MAY 07, 2010

17.267 Incentive Grants - WIA Section 503 (A)

85.101 Homeland Security Award (D)

MAY 10, 2010

10.092 Tree Assistance Program (D)

20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)

DEADLINES INDEX

84.173 Special Education_Preschool Grants (A)

MAY 15, 2010

93.603 Adoption Incentive Payments (A)

MAY 18, 2010

94.021 Volunteer Generation Fund (B)

MAY 27, 2010

17.309 Labor Organization Reports (K,L,N)

JUNE 01, 2010

20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)

21.006 Tax Counseling for the Elderly (B)

21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)

93.093 Demonstration Projects to Address Health Professions Workforce Needs (B)

93.297 Teenage Pregnancy Prevention Program (B)

93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)

JUNE 07, 2010

14.261 Homeless Management Information Systems Technical Assistance (B)

14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance (B)

JUNE 09, 2010

93.420 ARRA - Community Health Applied Research Network (B,C)

JUNE 18, 2010

20.930 Payments for Small Community Air Service Development (B)

JUNE 21, 2010

17.267 Incentive Grants - WIA Section 503 (A)

20.520 Paul S. Sarbanes Transit in the Parks (B)

JUNE 28, 2010

20.520 Paul S. Sarbanes Transit in the Parks (B)

JUNE 30, 2010

20.722 Technology Development Grant (B)

34.002 Labor Management Cooperation (B)

93.093 Demonstration Projects to Address Health Professions Workforce Needs (B)

93.599 Chafee Education and Training Vouchers Program (ETV) (A)

93.674 Chafee Foster Care Independence Program (A)

JULY 01, 2010

14.867 Indian Housing Block Grants (A)

17.002 Labor Force Statistics (B,L)

20.609 Safety Belt Performance Grants (B)

20.611 Incentive Grant Program to Prohibit Racial Profiling (B)

20.613 Child Safety and Child Booster Seats Incentive Grants (B)

JULY 02, 2010

93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS. (B)

JULY 06, 2010

10.092 Tree Assistance Program (D)

JULY 09, 2010

10.405 Farm Labor Housing Loans and Grants (B,F)

20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)

21.006 Tax Counseling for the Elderly (B)

21.009 Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)

JULY 15, 2010

20.232 Commercial Driver's License Program Improvement Grant (B)

20.237 Commercial Vehicle Information Systems and Networks (B)

93.994 Maternal and Child Health Services Block Grant to the States (A)

JULY 16, 2010

21.008 Low Income Taxpayer Clinics (B)

AUGUST 01, 2010

20.218 National Motor Carrier Safety (A)

20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)

93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)

AUGUST 02, 2010

10.320 Sun Grant Program (B)

93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS. (B)

AUGUST 10, 2010

93.975 National All Schedules Prescription Electronic Reporting Grant (A)

AUGUST 11, 2010

45.169 Promotion of the Humanities_Office of Digital Humanities (B)

AUGUST 13, 2010

20.930 Payments for Small Community Air Service Development (B)

AUGUST 15, 2010

10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)

10.565 Commodity Supplemental Food Program (A,H)

14.218 Community Development Block Grants/Entitlement Grants (A)

93.273 Alcohol Research Programs (B)

93.777 State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)

AUGUST 16, 2010

14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)

14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)

AUGUST 20, 2010

45.161 Promotion of the Humanities_Research (B)

AUGUST 31, 2010

20.233 Border Enforcement Grants (B)

SEPTEMBER 01, 2010

11.559 Low-Power Television and Translator Upgrade Program (B)

45.168 Promotion of the Humanities_We the People (B)

93.568 Low-Income Home Energy Assistance (A)

93.714 ARRA Emergency Contingency Fund for Temporary Assistance for

DEADLINES INDEX

Needy Families (TANF) State Program (A)

93.958 Block Grants for Community Mental Health Services (A)

SEPTEMBER 08, 2010

91.003 91.003 Annual Senior Fellowship Competition (B)

SEPTEMBER 09, 2010

84.410 Education Jobs Fund (A)

93.051 Alzheimer's Disease Demonstration Grants to States (B)

93.071 Medicare Enrollment Assistance Program (A,B)

SEPTEMBER 10, 2010

93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)

SEPTEMBER 15, 2010

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

45.162 Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (B)

SEPTEMBER 30, 2010

10.780 Community Facilities Loans and Grants (B,E)

10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)

10.787 Broadband Initiatives Program (B,E)

20.607 Alcohol Open Container Requirements (B)

20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)

20.700 Pipeline Safety Program Base Grants (A)

93.089 Emergency System for Advance Registration of Volunteer Health Professionals (B)

93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)

OCTOBER 01, 2010

10.761 Technical Assistance and Training Grants (B)

10.762 Solid Waste Management Grants (B)

14.408 Fair Housing Initiatives Program (B)

15.628 Multistate Conservation Grant Program (B)

15.641 Wildlife Without Borders-Mexico (B)

15.645 Marine Turtle Conservation Fund (B)

20.106 Airport Improvement Program (B,K)

20.721 PHMSA Pipeline Safety Program One Call Grant (B)

45.160 Promotion of the Humanities_Fellowships and Stipends (B)

45.160 Promotion of the Humanities_Fellowships and Stipends (B)

91.001 Annual Grant Competition (B)

91.002 Priority Grant Competition (B)

93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)

93.273 Alcohol Research Programs (B)

93.959 Block Grants for Prevention and Treatment of Substance Abuse (A)

OCTOBER 07, 2010

45.163 Promotion of the Humanities_Professional Development (B)

OCTOBER 15, 2010

15.640 Wildlife Without Borders- Latin America and the Caribbean (B)

OCTOBER 27, 2010

14.261 Homeless Management Information Systems Technical Assistance (B)

14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance (B)

OCTOBER 28, 2010

45.161 Promotion of the Humanities_Research (B)

NOVEMBER 01, 2010

10.763 Emergency Community Water Assistance Grants (B)

15.619 Rhinoceros and Tiger Conservation Fund (B)

15.620 African Elephant Conservation Fund (B)

15.621 Asian Elephant Conservation Fund (B)

15.629 Great Apes Conservation Fund (B)

15.810 National Cooperative Geologic Mapping Program (B)

NOVEMBER 02, 2010

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

NOVEMBER 03, 2010

20.721 PHMSA Pipeline Safety Program One Call Grant (B)

NOVEMBER 15, 2010

14.239 Home Investment Partnerships Program (A)

20.232 Commercial Driver's License Program Improvement Grant (B)

93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)

93.778 Medical Assistance Program (A)

NOVEMBER 16, 2010

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

DECEMBER 31, 2010

10.761 Technical Assistance and Training Grants (B)

10.762 Solid Waste Management Grants (B)

JANUARY 05, 2011

45.164 Promotion of the Humanities_Public Programs (B)

JANUARY 12, 2011

45.164 Promotion of the Humanities_Public Programs (B)

JANUARY 31, 2011

20.106 Airport Improvement Program (B,K)

FEBRUARY 15, 2011

93.778 Medical Assistance Program (A)

FEBRUARY 28, 2011

10.681 Wood Education and Resource Center (WERC) (B)

93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)

MARCH 01, 2011

15.623 North American Wetlands Conservation Fund (B)

20.807 U.S. Merchant Marine Academy (M)

45.163 Promotion of the Humanities_Professional Development (B)

45.163 Promotion of the Humanities_Professional Development (B)

MARCH 09, 2011

93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)

93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)

MARCH 15, 2011

45.163 Promotion of the Humanities_Professional Development (B)

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MARCH 23, 2011

93.612 Native American Programs (B)

APRIL 01, 2011

15.619 Rhinoceros and Tiger Conservation Fund (B)

15.620 African Elephant Conservation Fund (B)

15.621 Asian Elephant Conservation Fund (B)

15.629 Great Apes Conservation Fund (B)

15.645 Marine Turtle Conservation Fund (B)

APRIL 15, 2011

15.640 Wildlife Without Borders- Latin America and the Caribbean (B)

MAY 01, 2011

15.639 Tribal Wildlife Grants Program (B)

45.160 Promotion of the Humanities_Fellowships and Stipends (B)

45.160 Promotion of the Humanities_Fellowships and Stipends (B)

MAY 04, 2011

45.130 Promotion of the Humanities_Challenge Grants (B)

MAY 24, 2011

93.052 National Family Caregiver Support, Title III, Part E (A)

MAY 27, 2011

93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)

JUNE 01, 2011

45.129 Promotion of the Humanities_Federal/State Partnership (A)

JUNE 15, 2011

45.162 Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (B)

93.778 Medical Assistance Program (A)

95.001 High Intensity Drug Trafficking Areas Program (B)

JUNE 30, 2011

15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)

JULY 01, 2011

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

JULY 14, 2011

45.149 Promotion of the Humanities_Division of Preservation and Access (B)

JULY 15, 2011

20.237 Commercial Vehicle Information Systems and Networks (B)

AUGUST 01, 2011

90.300 Japan-U.S. Friendship Commission Grants (B)

AUGUST 15, 2011

93.778 Medical Assistance Program (A)

AUGUST 16, 2011

14.239 Home Investment Partnerships Program (A)

SEPTEMBER 30, 2011

20.106 Airport Improvement Program (B,K)

OCTOBER 01, 2011

45.168 Promotion of the Humanities_We the People (B)

93.273 Alcohol Research Programs (B)

DECEMBER 15, 2011

93.273 Alcohol Research Programs (B)

MAY 03, 2012

59.058 Federal and State Technology Partnership Program (B)

JULY 19, 2013

93.563 Child Support Enforcement (A)

FUNCTIONAL INDEX

This index lists CFDA programs grouped by functional area as designated by the administering agency. The letter(s) in parentheses following the program title indicates the type of assistance available through that program. The following letter codes are used:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

AGRICULTURAL

Resource Conservation and Development

- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.054 Emergency Conservation Program (C)
- 10.069 Conservation Reserve Program (C)
- 10.072 Wetlands Reserve Program (C)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.225 Community Food Projects (B)
- 10.406 Farm Operating Loans (E,F)
- 10.449 Boll Weevil Eradication Loan Program (E)
- 10.682 National Forest Foundation (C)
- 10.683 National Fish and Wildlife Foundation (C)
- 10.689 Community Forest and Open Space Conservation Program (CFP) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.691 Good Neighbor Authority (B)
- 10.763 Emergency Community Water Assistance Grants (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (C)
- 10.864 Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
- 10.901 Resource Conservation and Development (K)
- 10.902 Soil and Water Conservation (K)
- 10.905 Plant Materials for Conservation (J)
- 10.912 Environmental Quality Incentives Program (C)
- 10.913 Farm and Ranch Lands Protection Program (C)
- 10.914 Wildlife Habitat Incentive Program (C)
- 10.916 Watershed Rehabilitation Program (K)
- 10.920 Grassland Reserve Program (C)
- 10.921 Conservation Security Program (C)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.236 Environmental Quality and Protection Resource Management (B,K,L,M)
- 15.238 Challenge Cost Share (B,I,K,M)
- 15.518 Garrison Diversion Unit (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program. (B)
- 15.649 Service Training and Technical Assistance (Generic Training) (B)

Production and Operation

- 10.055 Direct and Counter-cyclical Payments Program (C)
- 10.056 Farm Storage Facility Loans (E)
- 10.088 Livestock Indemnity Program (C)
- 10.099 Conservation Loans (E,F)
- 10.307 Organic Agriculture Research and Extension Initiative (B)
- 10.317 Food Aid Nutrition Enhancement Program (B)
- 10.352 Value-Added Producer Grants (B)
- 10.404 Emergency Loans (E)
- 10.406 Farm Operating Loans (E,F)
- 10.407 Farm Ownership Loans (E,F)
- 10.435 State Mediation Grants (B)
- 10.437 Interest Assistance Program (F)
- 10.681 Wood Education and Resource Center (WERC) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (C)
- 10.865 Biorefinery Assistance (F)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.238 Challenge Cost Share (B,I,K,M)

Marketing

- 10.053 Dairy Indemnity Program (D)
- 10.085 Tobacco Transition Payment Program (D)
- 10.153 Market News (L)
- 10.155 Marketing Agreements and Orders (J,K)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.162 Inspection Grading and Standardization (J)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.164 Wholesale Farmers and Alternative Market Development (K,M)
- 10.165 Perishable Agricultural Commodities Act (N)
- 10.167 Transportation Services (K,M)
- 10.168 Farmers' Market Promotion Program (B)
- 10.169 Specialty Crop Block Grant Program (B)
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Program Descriptions Not Updated for FY 2010

This index lists those CFDA programs previously published in the Catalog, but were not updated by their issuing agencies in FY 2010. The program descriptions can be found on the CFDA web site at www.cfda.gov, but do not appear elsewhere in this Catalog. Please contact the issuing agency for further information on the programs listed below.

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NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

- 43.001 Aerospace Education Services Program (L)
- 43.002 Technology Transfer (L)

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

- 45.301 Museums for America (B,C)
- 45.302 Museum Assessment Program (C)
- 45.303 Conservation Project Support (B,C)
- 45.304 Conservation Assessment Program (C)
- 45.307 21st Century Museum Professionals (B)
- 45.308 Native American/Native Hawaiian Museum Services Program (B)
- 45.309 Museum Grants for African American History and Culture (B)
- 45.310 Grants to States (A)
- 45.311 Native American and Native Hawaiian Library Services (B)
- 45.312 National Leadership Grants (B)
- 45.313 Laura Bush 21st Century Librarian Program (B)

NATIONAL SCIENCE FOUNDATION

- 47.041 Engineering Grants (B)
- 47.049 Mathematical and Physical Sciences (B)
- 47.050 Geosciences (B)
- 47.070 Computer and Information Science and Engineering (B)
- 47.074 Biological Sciences (B)
- 47.075 Social, Behavioral, and Economic Sciences (B)
- 47.076 Education and Human Resources (B)
- 47.078 Polar Programs (B)
- 47.079 International Science and Engineering (OISE) (B)
- 47.080 Office of Cyberinfrastructure (B)

Program Descriptions Not Updated for FY 2010

47.081 Office of Experimental Program to Stimulate Competitive Research (B)

SECURITIES AND EXCHANGE COMMISSION

58.001 Securities_Investigation of Complaints and SEC Information (L,N)

DEPARTMENT OF VETERANS AFFAIRS

64.007 Blind Rehabilitation Centers (J)
64.008 Veterans Domiciliary Care (J)
64.009 Veterans Medical Care Benefits (J)
64.010 Veterans Nursing Home Care (J)
64.011 Veterans Dental Care (J)
64.012 Veterans Prescription Service (H)
64.013 Veterans Prosthetic Appliances (J)
64.014 Veterans State Domiciliary Care (A)
64.015 Veterans State Nursing Home Care (A)
64.016 Veterans State Hospital Care (A)
64.018 Sharing Specialized Medical Resources (J)
64.019 Veterans Rehabilitation_Alcohol and Drug Dependence (J)
64.022 Veterans Home Based Primary Care (J)
64.024 VA Homeless Providers Grant and Per Diem Program (B)
64.026 Veterans State Adult Day Health Care (B)
64.100 Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (C)
64.103 Life Insurance for Veterans (E)
64.105 Pension to Veterans Surviving Spouses, and Children (D)
64.106 Specially Adapted Housing for Disabled Veterans (C)
64.109 Veterans Compensation for Service-Connected Disability (D)
64.110 Veterans Dependency and Indemnity Compensation for Service-Connected Death (D)
64.114 Veterans Housing_Guaranteed and Insured Loans (F)
64.115 Veterans Information and Assistance (K)
64.117 Survivors and Dependents Educational Assistance (C)
64.118 Veterans Housing_Direct Loans for Certain Disabled Veterans (E)
64.119 Veterans Housing_Manufactured Home Loans (F)
64.120 Post-Vietnam Era Veterans' Educational Assistance (C)
64.124 All-Volunteer Force Educational Assistance (D)
64.126 Native American Veteran Direct Loan Program (E)
64.127 Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (D)
64.128 Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida or Other Covered Birth Defects (C)

NATIONAL GALLERY OF ART

68.001 National Gallery of Art Extension Service (I)

OVERSEAS PRIVATE INVESTMENT CORPORATION

70.003 Foreign Investment Insurance (G)

COMMODITY FUTURES TRADING COMMISSION

78.004 Commodity Futures Reparations Claims (N)

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

85.200 Barry M. Goldwater Scholarship Program (C)

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

85.001 Harry S Truman Scholarship Program (C)

SMITHSONIAN INSTITUTION

85.601 Smithsonian Institution Fellowship Program (B)

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

85.300 Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (B)

PENSION BENEFIT GUARANTY CORPORATION

86.001 Pension Plan Termination Insurance (G)

ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD

88.001 Architectural and Transportation Barriers Compliance Board (L)

DELTA REGIONAL AUTHORITY

90.200 Delta Regional Development (B)
90.201 Delta Area Economic Development (B)
90.202 Delta Local Development District Assistance (B)

U.S. ELECTION ASSISTANCE COMMISSION

90.401 Help America Vote Act Requirements Payments (C)
90.402 Help America Vote Mock Election Program (B)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.003 Public Health and Social Services Emergency Fund (B)
93.012 Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (B)
93.014 Steps to Healthier Girls Program (B)
93.239 Policy Research and Evaluation Grants (B)
93.294 Mentoring Partnership Program - Protege (B)

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.004 Learn and Serve America_School and Community Based Programs (B)
94.005 Learn and Serve America_Higher Education (B)

SOCIAL SECURITY ADMINISTRATION

96.001 Social Security_Disability Insurance (C,D)
96.002 Social Security_Retirement Insurance (D)
96.004 Social Security_Survivors Insurance (C,D)
96.006 Supplemental Security Income (C,D)
96.007 Social Security_Research and Demonstration (B)
96.008 Social Security - Work Incentives Planning and Assistance Program (B)
96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (B)
96.020 Special Benefits for Certain World War II Veterans (D)

AGENCY FOR INTERNATIONAL DEVELOPMENT

98.001 USAID Foreign Assistance for Programs Overseas (B)
98.002 Cooperative Development Program (CDP) (B)
98.003 Ocean Freight Reimbursement Program (OFR) (B)
98.004 Non-Governmental Organization Strengthening (NGO) (B)
98.005 Institutional Capacity Building (ICB) (B)
98.006 Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (B)
98.007 Food for Peace Development Assistance Program (DAP) (B,H)
98.008 Food for Peace Emergency Program (EP) (B,H)
98.009 John Ogonowski Farmer-to-Farmer Program (B)
98.010 Denton Program (J)
98.011 Global Development Alliance (B)
98.012 USAID Development Partnerships for University Cooperation and Development (B)

ARCHIVED PROGRAMS

This index provides a list of CFDA programs archived within the fiscal year. Administering agencies archive programs for a variety of reasons to include budget authority expiration, program consolidation, or change in operational status. The date archived is provided for each program.

DEPARTMENT OF AGRICULTURE

10.029 Avian Influenza Indemnity Program

Archived on 08/19/10

10.066 Livestock Assistance Program

Archived on 08/20/10

10.070 Colorado River Basin Salinity Control Program

Archived on 08/19/10

10.073 Crop Disaster Program

Archived on 08/20/10

10.077 Livestock Compensation Program

Archived on 08/20/10

10.079 Bill Emerson Humanitarian Trust

Archived on 08/23/10

10.082 Tree Assistance Program

Archived on 08/20/10

10.084 Dairy Market Loss Assistance Program

Archived on 08/20/10

10.454 Dairy Options Pilot Program

Archived on 08/19/10

10.457 Commodity Partnerships for Risk Management Education

Archived on 08/19/10

10.607 Section 416(b)

Archived on 09/08/10

10.670 National Forest Dependent Rural Communities

Archived on 08/09/10

10.671 Southeast Alaska Economic Disaster Fund

Archived on 08/09/10

10.677 Forest Land Enhancement Program

Archived on 08/09/10

10.685 Community Wood Energy Program

Archived on 08/09/10

10.686 Forest Biomass for Energy

Archived on 08/09/10

10.772 Empowerment Zones Program

Archived on 08/24/10

10.774 National Sheep Industry Improvement Center

Archived on 08/09/10

10.775 Renewable Energy Systems and Energy Efficiency Improvements Program

Archived on 08/24/10

10.860 Rural Business Investment Program

Archived on 08/24/10

10.906 Watershed Surveys and Planning

Archived on 08/20/10

10.910 Rural Abandoned Mine Program

Archived on 08/19/10

10.918 Ground and Surface Water Conservation Environmental Quality Incentives Program

Archived on 09/09/10

10.919 Klamath Basin Environmental Quality Incentives Program

Archived on 09/09/10

DEPARTMENT OF COMMERCE

11.009 TV Converter Box Coupon Program Consumer Education and Technical Assistance

Archived on 08/12/10

11.554 Low-Power Television and Translator Digital-to-Analog Conversion

Archived on 08/10/10

11.555 Public Safety Interoperable Communications Grant Program

Archived on 08/18/10

11.556 TV Converter Box Coupon Program

Archived on 08/10/10

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.197 Multifamily Assisted Housing Reform and Affordability Act

Archived on 08/06/10

14.250 Rural Housing and Economic Development

Archived on 07/07/10

14.253 Community Development Block Grant ARRA Entitlement Grants (CDBG-R)(Recovery Act Funded)

Archived on 07/08/10

14.254 Community Development Block Grants/Special Purpose Grants/Insular Areas (Recovery Act Funded)

Archived on 07/08/10

14.255 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (Recovery Act Funded)

Archived on 07/08/10

14.256 Neighborhood Stabilization Program (Recovery Act Funded)

Archived on 07/08/10

14.257 Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)

Archived on 07/08/10

14.258 Tax Credit Assistance Program (Recovery Act Funded)

Archived on 07/08/10

14.517 Early Doctoral Student Research Grants

Archived on 07/12/10

14.876 Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities

Archived on 06/07/10

14.883 Native Hawaiian Housing Block Grants (Recovery Act Funded)

Archived on 07/13/10

14.885 Public Housing Capital Fund Stimulus (Formula) Recovery Act Funded

Archived on 07/13/10

14.901 Healthy Homes Demonstration Grants

Archived on 06/30/10

14.907 Lead-Based Paint Hazard Control in Privately-Owned Housing (Recovery Act Funded)

Archived on 06/30/10

14.908 Healthy Homes Demonstration Grants (Recovery Act Funded)

ARCHIVED PROGRAMS

Archived on 06/30/10

14.909 Lead Hazard Reduction Demonstration Grant Program (Recovery Act Funded)

Archived on 06/30/10

14.910 Healthy Homes Technical Studies Grants (Recovery Act Funded)

Archived on 06/30/10

14.911 Green and Healthy Housing Technical Studies Grants

Archived on 06/30/10

14.912 Lead Hazard Control Capacity Building

Archived on 06/30/10

DEPARTMENT OF THE INTERIOR

15.027 Assistance to Tribally Controlled Community Colleges and Universities

Archived on 08/10/10

15.064 Structural Fire Protection_Bureau of Indian Affairs Facilities

Archived on 08/10/10

15.133 Native American Business Development Institute

Archived on 09/17/10

15.515 Federal Resource Protection

Archived on 09/20/10

15.633 Landowner Incentive Program

Archived on 08/10/10

DEPARTMENT OF JUSTICE

16.108 Americans With Disabilities Act Technical Assistance Program

Archived on 08/18/10

16.202 Prisoner Reentry Initiative Demonstration (Offender Reentry)

Archived on 08/16/10

16.547 Victims of Child Abuse

Archived on 08/16/10

16.586 Violent Offender Incarceration and Truth in Sentencing Incentive Grants

Archived on 08/16/10

16.744 Anti-Gang Initiative

Archived on 08/16/10

DEPARTMENT OF TRANSPORTATION

20.615 E-911 Grant Program

Archived on 06/11/10

20.704 RITA Hydrogen

Archived on 07/25/10

20.763 Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle

Archived on 07/27/10

20.932 Surface Transportation _ Discretionary Grants for Capital Investment.

Archived on 07/07/10

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.002 Employment Discrimination_State and Local Fair Employment Practices Agency Contracts

Archived on 05/26/10

30.009 Employment Discrimination Project Contracts_Indian Tribes

Archived on 05/26/10

GENERAL SERVICES ADMINISTRATION

39.009 Federal Citizen Information Center

Archived on 08/27/10

DEPARTMENT OF EDUCATION

84.038 Federal Perkins Loan Program_Federal Capital Contributions

Archived on 09/03/10

84.257 National Institute for Literacy

Archived on 05/18/10

84.357 Reading First State Grants

Archived on 09/19/10

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.103 Freida J. Riley Teacher Award

Archived on 01/11/10

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.013 Ambassadors for Change Program

Archived on 09/17/10

93.100 Health Disparities in Minority Health

Archived on 09/08/10

93.192 Quentin N. Burdick Program for Rural Interdisciplinary Training

Archived on 06/30/10

93.229 Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks

Archived on 12/18/09

93.230 Consolidated Knowledge Development and Application (KD&A) Program

Archived on 12/18/09

93.238 Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement

Archived on 12/18/09

93.244 Mental Health Clinical and AIDS Service-Related Training Grants

Archived on 12/18/09

93.252 Healthy Communities Access Program

Archived on 09/10/10

93.271 Alcohol Research Career Development Awards for Scientists and Clinicians

Archived on 09/10/10

93.272 Alcohol National Research Service Awards for Research Training

Archived on 09/10/10

93.890 Healthy Communities Access Program (HCAP) Demonstration Authority

Archived on 06/29/10

93.891 Alcohol Research Center Grants

Archived on 09/10/10

93.952 Trauma Care Systems Planning and Development

Archived on 06/30/10

93.962 Health Administration Traineeships Program

Archived on 06/30/10

93.996 Bioterrorism Training and Curriculum Development Program

Archived on 09/08/10

DEPARTMENT OF HOMELAND SECURITY

97.004 State Domestic Preparedness Equipment Support Program

Archived on 06/16/10

97.011 Boating Safety

Archived on 08/26/10

ARCHIVED PROGRAMS

97.015 Secret Service_Training Activities

Archived on 07/28/10

97.020 Hazardous Materials Training Program

Archived on 07/20/10

97.021 Hazardous Materials Assistance Program

Archived on 07/20/10

97.060 Port Security Research and Development Grant

Archived on 07/20/10

97.064 Debris Removal Insurance

Archived on 06/17/10

97.068 Competitive Training Grant

Archived on 07/28/10

97.074 Law Enforcement Terrorism Prevention Program (LETPP)

Archived on 06/16/10

97.079 Public Alert Radios for Schools

Archived on 08/05/10

97.081 Law Enforcement Training and Technical Assistance

Archived on 08/16/10

97.085 9/11 Heroes Stamp Program

Archived on 06/16/10

97.087 Alternative Housing Pilot Program

Archived on 07/18/10

97.096 Commercial Equipment Direct Assistance Program (CEDAP)

Archived on 07/21/10

97.100 Airport Checked Baggage Screening Program

Archived on 07/21/10

ADDED PROGRAMS

This index provides a list of CFDA programs added to the catalog within the fiscal year. The date added is provided for each program.

DEPARTMENT OF AGRICULTURE

10.088 Livestock Indemnity Program

Added on 08/03/10

10.090 Supplemental Revenue Assistance Program

Added on 05/17/10

10.092 Tree Assistance Program

Added on 08/16/10

10.093 Voluntary Public Access and Habitat Incentive Program

Added on 08/12/10

10.099 Conservation Loans

Added on 09/08/10

10.313 Veterinary Medicine Loan Repayment Program

Added on 08/02/10

10.317 Food Aid Nutrition Enhancement Program

Added on 03/01/10

10.318 Women and Minorities in Science, Technology, Engineering, and

Added on 03/24/10

10.319 Farm Business Management and Benchmarking Competitive Grants Program

Added on 03/17/10

10.320 Sun Grant Program

Added on 03/24/10

10.583 Hunger Free Communities

Added on 04/15/10

10.585 FNS Food Safety Grants

Added on 03/17/10

10.693 Watershed Restoration and Enhancement Agreement Authority

Added on 12/20/09

10.694 Southwest Forest Health and Wildfire Prevention

Added on 05/21/10

10.695 Eastern Nevada Landscape Restoration Project (ENLRP)

Added on 06/15/10

10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship

Added on 09/07/10

10.865 Biorefinery Assistance

Added on 12/23/09

DEPARTMENT OF COMMERCE

11.482 Coral Reef Conservation Program

Added on 07/02/10

11.802 Minority Business Resource Development

Added on 05/12/10

11.805 MBDA Business Center

Added on 09/17/10

DEPARTMENT OF DEFENSE

12.117 ARRA Cooperative Agreements, New Mexico

Added on 01/29/10

12.118 North Dakota Environmental Infrastructure (Section 594) - ARRA

Added on 01/29/10

12.119 Northern Wisconsin Environmental Infrastructure (Section 154) - ARRA

Added on 01/29/10

12.120 Northeastern Minnesota Environmental Infrastructure (Section 569) - ARRA

Added on 01/29/10

12.121 Montana, Nevada, New Mexico, Utah, Idaho, & Wyoming Environmental Infrastructure (Section 595) - ARRA

Added on 02/04/10

12.122 Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota - ARRA

Added on 02/04/10

12.123 South Central Pennsylvania Environmental Infrastructure (Section 313) - ARRA

Added on 02/04/10

12.124 Mississippi Environmental Infrastructure (Section 592) - ARRA

Added on 03/05/10

12.125 Southern WV Environmental Infrastructure (Section 340) - ARRA

Added on 03/22/10

12.126 Central WV Environmental Infrastructure (Section 571) - ARRA

Added on 03/22/10

12.127 Southern and Eastern KY Environmental Infrastructure (Section 531) - ARRA

Added on 03/22/10

12.128 Florida Keys Water Quality Improvement Program (Section 109) - ARRA

Added on 03/22/10

12.129 Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota

Added on 04/12/10

12.357 ROTC Language and Culture Training Grants

Added on 09/07/10

12.560 DOD, NDEP, DOTC-STEM Education Outreach Implementation

Added on 04/18/10

12.599 Congressionally Directed Assistance

Added on 03/05/10

12.631 Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART)

Added on 04/18/10

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.259 CPD's Transformation Initiative Technical Assistance

Added on 07/19/10

14.260 Veterans Homelessness Prevention Demonstration Program

Added on 09/10/10

14.261 Homeless Management Information Systems Technical Assistance

Added on 09/10/10

14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance

Added on 09/10/10

14.263 Rural Innovation Fund

ADDED PROGRAMS

Added on 09/29/10

14.321 FHA Technical Assistance Training Transformation Initiative

Added on 09/19/10

14.419 Section 3 Technical Assistance

Added on 07/16/10

14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance

Added on 07/23/10

14.523 Transformation Initiative Research Grants: Sustainable Community Research Grant Program

Added on 07/12/10

14.524 Transformation Initiative Research Grants: Natural Experiments

Added on 07/12/10

14.525 Transformation Initiative Research Grants: Demonstration and Related Small Grants

Added on 07/12/10

14.703 Sustainable Communities Regional Planning Grant Program

Added on 07/06/10

14.704 Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants

Added on 07/06/10

14.889 Choice Neighborhoods

Added on 07/12/10

14.890 Capital Fund Education and Training Community Facilities

Added on 07/12/10

14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA)

Added on 07/12/10

14.913 Healthy Homes Production Grant Program

Added on 07/12/10

14.914 Asthma Interventions in Public and Assisted Multifamily Housing

Added on 07/12/10

DEPARTMENT OF THE INTERIOR

15.542 Arizona Water Settlement Act of 2004

Added on 07/28/10

15.543 Lake Tahoe Regional Wetlands Development Program

Added on 07/28/10

15.544 Platte River Recovery Implementation Program

Added on 07/30/10

15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project

Added on 07/30/10

15.546 Youth Conservation Program

Added on 07/30/10

15.937 Redwood National Park Cooperative Management with the State of California

Added on 03/11/10

15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History

Added on 04/22/10

DEPARTMENT OF JUSTICE

16.018 Services to Advocate for and Respond to Youth

Added on 01/22/10

16.020 Children and Youth Exposed

Added on 01/22/10

16.123 Community-Based Violence Prevention Program

Added on 03/18/10

16.684 Supporting Teens through Education and Protection Act of 2005 (STEP Act)

Added on 09/10/10

16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance

Added on 04/18/10

16.816 John R. Justice Prosecutors and Defenders Incentive Act

Added on 05/06/10

DEPARTMENT OF LABOR

17.262 WIA Evaluation

Added on 08/23/10

17.279 Green Jobs Innovation Fund Grants

Added on 07/28/10

17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants

Added on 08/27/10

17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training

Added on 08/27/10

17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants

Added on 07/28/10

U.S. DEPARTMENT OF STATE

19.020 Charles B. Rangel International Affairs Program

Added on 03/01/10

19.021 Investing in People in The Middle East and North Africa

Added on 07/09/10

19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants

Added on 07/16/10

19.025 U.S. Ambassadors Fund for Cultural Preservation

Added on 07/09/10

19.345 International Programs to Support Democracy, Human Rights and Labor

Added on 12/23/09

DEPARTMENT OF TRANSPORTATION

20.242 Medical Programs

Added on 02/04/10

20.321 RAILROAD SAFETY TECHNOLOGY GRANTS

Added on 03/03/10

20.816 Americas Marine Highway Grants

Added on 07/15/10

20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II

Added on 04/05/10

DEPARTMENT OF THE TREASURY

21.011 Capital Magnet Fund

Added on 02/05/10

21.012 Native Initiatives

Added on 06/07/10

ADDED PROGRAMS

21.013 Qualifying Therapeutic Discovery Project Credit

Added on 07/22/10

SMALL BUSINESS ADMINISTRATION

59.058 Federal and State Technology Partnership Program

Added on 03/17/10

DEPARTMENT OF VETERANS AFFAIRS

64.027 Post-9/11 Veterans Educational Assistance

Added on 06/01/10

64.028 Post-9/11 Veterans Educational Assistance

Added on 08/06/10

64.029 Purchase Care Program

Added on 08/30/10

ENVIRONMENTAL PROTECTION AGENCY

66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program

Added on 03/15/10

66.050 Potomac Highlands Implementation Grants

Added on 06/08/10

66.124 Coastal Wetlands Planning Protection and Restoration Act

Added on 04/05/10

66.125 Lake Pontchartrain Basin Restoration Program (PRP)

Added on 04/05/10

DEPARTMENT OF ENERGY

81.130 Carbon Capture and Storage-FutureGen 2.0

Added on 09/22/10

DEPARTMENT OF EDUCATION

84.368 Grants for Enhanced Assessment Instruments

Added on 05/03/10

84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education

Added on 05/19/10

84.408 Postsecondary Education Scholarships for Veteran's Dependents

Added on 06/07/10

84.409 Civil Legal Assistance Attorney Student Loan Repayment Program

Added on 06/20/10

84.410 Education Jobs Fund

Added on 08/13/10

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.105 Agriscience Awards

Added on 02/19/10

MILLENNIUM CHALLENGE CORPORATION

85.002 MCC Foreign Assistance for Overseas Programs

Added on 06/13/10

85.003 MCC Domestic Assistance for Overseas Programs

Added on 06/18/10

U.S. ELECTION ASSISTANCE COMMISSION

90.403 U.S. Election Assistance Commission Research Grants

Added on 03/29/10

UNITED STATES INSTITUTE OF PEACE

91.003 91.003 Annual Senior Fellowship Competition

Added on 06/27/10

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance

Added on 02/16/10

93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants

Added on 01/29/10

93.092 Personal Responsibility Education Program

Added on 05/10/10

93.093 Demonstration Projects to Address Health Professions Workforce Needs

Added on 04/23/10

93.297 Teenage Pregnancy Prevention Program

Added on 04/01/10

93.338 Foreign Public Health Construction

Added on 08/04/10

93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development

Added on 05/11/10

93.420 ARRA - Community Health Applied Research Network

Added on 12/30/09

93.440 National Project Management of the Healthcare Communities Knowledge Gateway

Added on 07/14/10

93.445 Indian Health Service Sanitation Facilities Construction Program

Added on 03/12/10

93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS.

Added on 07/29/10

93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS

Added on 09/20/10

93.453 Coalition for a Healthier Community

Added on 09/20/10

93.500 Pregnancy Assistance Fund Program

Added on 05/10/10

93.501 Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures

Added on 06/02/10

93.502 Affordable Care Act (ACA) Infrastructure to Expand Access to Care

Added on 06/02/10

93.503 Affordable Care Act (ACA) Nursing and Home Health Aides Training Program

Added on 06/02/10

93.504 Affordable Care Act (ACA) Family to Family Health Information Centers

Added on 06/07/10

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program

Added on 05/28/10

93.506 ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers

Added on 06/03/10

ADDED PROGRAMS

93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes

Added on 07/08/10

93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program

Added on 06/24/10

93.509 Affordable Care Act (ACA) State Health Care Workforce Development Grants

Added on 06/16/10

93.510 Affordable Care Act (ACA) Primary Care Residency Expansion Program

Added on 06/16/10

93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review

Added on 06/04/10

93.512 Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST)

Added on 06/16/10

93.513 Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative

Added on 06/16/10

93.514 Affordable Care Act (ACA) Expansion of Physician Assistant Training Program

Added on 06/16/10

93.515 Affordable Care Act (ACA) Nurse-Managed Health Clinics

Added on 06/16/10

93.516 Affordable Care Act (ACA) Public Health Training Centers Program

Added on 07/08/10

93.517 Affordable Care Act Aging and Disability Resource Center

Added on 07/09/10

93.518 93.518 - Affordable Care Act - Medicare Improvements for Patients and Providers

Added on 07/09/10

93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants

Added on 07/13/10

93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work

Added on 07/21/10

93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements

Added on 07/30/10

93.522 Affordable Care Act (ACA) Prevention Center for Healthy Weight

Added on 07/12/10

93.523 The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities

Added on 07/21/10

93.524 The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance

Added on 07/21/10

93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges

Added on 07/28/10

93.526 Affordable Care Act (ACA) Grants for Capital Development in Health Centers

Added on 08/31/10

93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program

Added on 08/06/10

93.726 ARRA Accelerating Adoption of Comparative Effectiveness Research (CER)

Added on 01/01/10

93.729 ARRA Health Information Technology and Public Health

Added on 02/03/10

93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program

Added on 01/28/10

93.731 ARRA - Prevention and Wellness - Leveraging National Organizations

Added on 02/12/10

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

94.019 Social Innovation Fund

Added on 01/28/10

94.020 CNCS Disaster Response Cooperative Agreement

Added on 12/20/09

94.021 Volunteer Generation Fund

Added on 04/27/10

94.022 Nonprofit Capacity Building

Added on 12/20/09

EXECUTIVE OFFICE OF THE PRESIDENT

95.001 High Intensity Drug Trafficking Areas Program

Added on 07/18/10

DEPARTMENT OF HOMELAND SECURITY

97.120 Border Interoperability Demonstration Project

Added on 02/26/10

97.121 Radiological/Nuclear Detection Pilot Evaluations Program

Added on 04/13/10

97.122 Bio-Preparedness Collaboratory

Added on 05/06/10

97.123 Multi-State Information Sharing and Analysis Center

Added on 06/15/10

97.124 Interoperable Communications and Training Project

Added on 09/10/10

97.125 Virginia Operational Integration Cyber Center of Excellence

Added on 10/01/10

CROSSWALK OF CHANGES TO PROGRAM NUMBERS AND TITLES

This index highlights those CFDA programs that had a program title or number change within the fiscal year. The historical crosswalk in Appendix VII reflects the full program change history.

Title Changes

DEPARTMENT OF COMMERCE

11.432 National Oceanic and Atmospheric Administration (NOAA)
Cooperative Institutes

DEPARTMENT OF DEFENSE

12.404 National Guard ChalleNGe Program

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.906 Healthy Homes Technical Studies Grants

DEPARTMENT OF JUSTICE

16.544 Youth Gang Prevention
16.590 The Community-Defined Solutions to Violence Against Women Grant
Program
16.609 Project Safe Neighborhoods
16.748 Convicted Offender and/or Arrestee DNA Backlog Reduction Program

DEPARTMENT OF TRANSPORTATION

20.232 Commercial Driver's License Program Improvement Grant
20.238 Commercial Drivers License Information System (CDLIS)
Modernization Grant
20.505 Metropolitan Transportation Planning
20.614 National Highway Traffic Safety Administration (NHTSA)
Discretionary Safety Grants
20.907 Entrepreneurial Training and Technical Assistance Women and Girls
Program

ENVIRONMENTAL PROTECTION AGENCY

66.039 National Clean Diesel Emissions Reduction Program
66.931 International Financial Assistance Projects Sponsored by the Office of
International and Tribal Affairs
66.940 Environmental Policy and State Sustainability Grants
66.950 National Environmental Education Training Program

DEPARTMENT OF EDUCATION

84.013 Title I State Agency Program for Neglected and Delinquent Children
84.083 Women's Educational Equity Program
84.101 Career and Technical Education - Grants to Native Americans and
Alaska Natives
84.187 Supported Employment Services for Individuals with the Most
Significant Disabilities
84.256 Territories and Freely Associated States Education Grant Program
84.304 Civic Education - We the People and the Cooperative Education
Exchange Program
84.318 Educational Technology State Grants
84.360 High School Graduation Initiative
84.364 Improving Literacy Through School Libraries
84.396 State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3)
Fund, Recovery Act

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.107 Area Health Education Centers Point of Service Maintenance and
Enhancement Awards
93.117 Preventive Medicine Residency Program
93.189 Health Education Training Centers Continuing Educational Support for
Health Professionals Serving in Underserved Communities
93.191 Patient Navigator and Chronic Disease Prevention Program
93.211 Telehealth Programs
93.247 Advanced Nursing Education Grant Program

93.253 Poison Center Support and Enhancement Grant Program
93.256 State Health Access Program
93.289 President's Council on Fitness, Sports, and Nutrition
93.358 Advanced Nursing Education Traineeships
93.403 ARRA Grants for Training in Primary Care Medicine and Dentistry
Training and Enhancement
93.703 ARRA Grants to Health Center Programs
93.824 Area Health Education Centers Infrastructure Development Awards
93.912 Rural Health Care Services Outreach, Rural Health Network
Development and Small Health Care Provider Quality Improvement
Program

DEPARTMENT OF HOMELAND SECURITY

97.005 State and Local Homeland Security National Training Program
97.008 Non-Profit Security Program
97.019 National Fire Academy Fellowship Program
97.048 Federal Disaster Assistance to Individuals and Households in
Presidential Declared Disaster Areas
97.055 Interoperable Emergency Communications
97.089 Driver's License Security Grant Program
97.105 Firefighter Health and Safety

PROGRAM DESCRIPTIONS

The following section provides the complete program text for each CFDA program as submitted by the administering agency.

DEPARTMENT OF AGRICULTURE

10.001 AGRICULTURAL RESEARCH_BASIC AND APPLIED RESEARCH

(Extramural Research).

FEDERAL AGENCY:

Agricultural Research Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Public Law 99-198, 7 U.S.C 427-427i, 1624.

OBJECTIVES:

To make agricultural research discoveries, evaluate alternative ways of attaining research goals, and provide scientific technical information.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with and is correlated with the Agricultural Research Service's in-house research programs and projects. Limited discretionary research funds are periodically made available.

Applicant Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Beneficiary Eligibility:

Usually nonprofit institutions of higher education or other nonprofit research organizations, whose primary purpose is conducting scientific research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Letters should be submitted to the Agricultural Research Service, Department of Agriculture. Give name of applicants, location of facilities, and State of incorporation, if any.

Award Procedure:

A peer review panel considers each proposal, evaluates the qualifications of applicants in line with research to be undertaken and determines priority for final negotiations of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: None.

Reports:

Progress reports, final technical reports, financial statements, and inventions and subaward reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As performed by cognizant audit agency.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report or, for awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, as authorized by the Federal awarding agency.

Account Identification:

12-1400-0-1-352.

Obligations:

(Project Grants) FY 09 \$23,616,205; FY 10 est \$21,500,000; FY 11 est \$21,500,000

Range and Average of Financial Assistance:

\$1,000 to \$25,000. Average \$3,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015 and 7 CFR 3019.

Regional or Local Office:

See Regional Agency Offices. See the Agricultural Research Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Kathleen S. Townson, 5601 Sunnyside Ave, MS-5110, Betsville, Maryland 20705 Email: kathleen.townson@ars.usda.gov Phone: (301) 504-1702.

Website Address:

<http://www.ars.usda.gov>.

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206 Grants for Agricultural Research_Competitive Research Grants; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 10.700 National Agricultural Library

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Peer review.

10.025 PLANT AND ANIMAL DISEASE, PEST CONTROL, AND ANIMAL CARE

FEDERAL AGENCY:

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Public Law 107-171, E U.S.C 10401-10418; Animal Welfare Act, as amended, 7 U.S.C 2131-2155; Plant Protection Act, Public Law 106-224, 7 U.S.C 7701-7772.

OBJECTIVES:

To protect U.S. agriculture from economically injurious plant and animal diseases and pests, ensure the safety and potency of veterinary biologic, and ensure the humane treatment of animals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Conduct surveys, inspections to detect and appraise infestations, eradication and control activities, and carry out regulatory actions to prevent interstate spread of infestations and diseases.

Applicant Eligibility:

Foreign, State, local, and U.S. Territorial government agencies, nonprofit institutions of higher education, and nonprofit associations or organizations requiring Federal support to eradicate, control, or assess the status of injurious plant and animal diseases and pests that are a threat to regional or national agriculture and conduct related demonstration projects.

Beneficiary Eligibility:

Farmers, ranchers, agriculture producers, State, local, U.S. Territorial government agencies, public and private institutions and organizations benefit from Federal assistance to eradicate or control injurious plant and animal diseases and pests that are a threat to regional or national agriculture.

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A letter from applicants seeking support or cooperation with the Animal and Plant Health Inspection Service. Applicants must indicate the nature and severity of the problem to be considered and explain how they will address the problem. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in her or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Comply with E.O. 12372, "Intergovernmental Review of Federal Programs," and submit a completed Standard Form 424.1, "Department of Agriculture, Application for Federal Assistance (Non-construction)" and project proposal (work plan), financial plan, curriculum vitae, and other required certifications to the appropriate APHIS area, regional, or Headquarters Office. See Regional and Local Office Address Listing.

Award Procedure:

Applications are approved by the Administrator or Authorized Departmental Officers (ADOs) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

None.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Recipients share some project or program costs. The cost-sharing arrangements are developed between USDA and the recipients in advance of the program unless otherwise stated by Congress.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 1 year from the date of award. Funds are made available as required to cover expenditures. See the following for information on how assistance is awarded/released: Funds are provided to recipients when requests for advance or reimbursement are received and approved by the Agency.

Reports:

Program reports are not applicable. Cash reports are not applicable. Requirements are specifically indicated in the award documents and may vary for given programs; however, quarterly financial reports, annual progress reports, final financial and final summary progress reports are generally required. SF-425, Federal Financial Report. Narrative performance progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local governments, and Non-profit Organizations," State and local governments, and non-profit organizations that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in A-133.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-9971-0-7-352; 12-1600-0-1-352.

Obligations:

(Salaries) FY 09 \$222,545,408; FY 10 est \$240,845,328; FY 11 est \$193,393,130 - APHIS has a difference between budget authority and obligations because there is carryover funding available from no year funding.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Selected examples of progress: Brucellosis class free status States- FY 2009 48 States and 3 Territories; Tuberculosis-accredited free States, FY 2009 49 States and 2 Territories. Fiscal Year 2010: Selected examples of progress: Brucellosis class free status States- FY 2010 est. 48 States and 3 Territories; Tuberculosis-accredited free States, FY 2010 45 States and 2 Territories. Fiscal Year 2011: Selected examples of progress: Brucellosis class free status States- FY 2011 est. 49 States and 3 Territories; Tuberculosis-accredited free States, FY 2011 est. 47 States and 2 Territories.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR 3017, Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug- Free Workplace (Grants); 7 CFR 3018, New Restrictions on Lobbying; 7 CFR 3019, Uniform Federal Assistance Regulations for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, 7 CFR 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for addresses of regional offices of the Animal and Plant Health Inspection Service.

Headquarters Office:

Eileen M. Berke, 4700 River Road, Unit 55, Suite 3B06, Riverdale, Maryland 20737 Email: eileen.m.berke@aphis.usda.gov Phone: (301) 734-8330.

Website Address:

<http://www.aphis.usda.gov>.

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.028 Wildlife Services; 10.202 Cooperative Forestry Research; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research; 10.902 Soil and Water Conservation; 12.100 Aquatic Plant Control; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.028 WILDLIFE SERVICES**FEDERAL AGENCY:**

Animal and Plant Health Inspection Service, Department of Agriculture

AUTHORIZATION:

Animal Damage Control Act of 1931, 7 U.S.C 426,426b, 426c.

OBJECTIVES:

To reduce damage caused by mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, (except for urban rodent control through control and research activities). Wherever feasible, humane methods will be emphasized.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Works closely with State departments of fish and game, agriculture, health, and counties in joint efforts to alleviate wild animal damage. Conduct surveys, campaigns to reduce wild animal damage including bird problems at airports, develop methods to control wild animal damage, and provide technical advice and assistance. For direct technical assistance, State fish and game departments should be contacted.

Applicant Eligibility:

State and local governments, federally recognized Indian tribal governments, public/private nonprofit organizations, nonprofit institutions of higher education, and individuals.

Beneficiary Eligibility:

States, local jurisdictions, U.S. Territorial government agencies, federally recognized Indian tribal governments, public and private institutions and organizations, farmers, ranchers, agricultural producers, and land/property owners benefit from Federal assistance in the control of nuisance mammals and birds and those mammal and bird species that are reservoirs for zoonotic diseases, except for urban rodent control. [COMMENT: PLEASE EXPLAIN WHAT IS MEANT BY "EXCEPT FOR RODENT CONTROL."].

Credentials/Documentation:

Curriculum vitae for principal investigator, except for State, local, and Territorial government cooperators. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are approved by the Administrator or authorized departmental officers (ADO's) upon determination that the project will contribute toward accomplishment of the Agency's overall mission and meet any established project evaluation/selection criteria.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of annual funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to one year from the date of award. See the following for information on how assistance is awarded/released: Funds are provided to recipients when requests for advance or reimbursement are received and approved by the Agency.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Instruction provided in the Notice of Award. Grantees are expected to maintain separate records for each grant to ensure that funds are used for the purpose for which the grant was made. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

12-1600-0-1-352.

Obligations:

(Salaries) FY 09 \$4,955,960; FY 10 est \$5,509,659; FY 11 est \$5,055,079 - APHIS has a difference between budget authority and obligations because there is carryover funding available from no year funding.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015, Uniform Federal Assistance Regulations; 7 CFR 3017, Governmentwide Debarment and Suspension (Nonprocurement) and Governmentwide Requirements for Drug- Free Workplace (Grants); 7 CFR 3018, New Restrictions on Lobbying; 7 CFR 3019, Uniform Federal Assistance Regulations for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, 7 CFR 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Eileen M. Berke, 4700 River Road, Unit 55, Suite 3B06, Riverdale, Maryland 20737 Email: eileen.m.berke@aphis.usda.gov Phone: (301) 734-8330.

Website Address:

<http://www.aphis.usda.gov>.

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.652 Forestry Research; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Relevance to agency program mission and qualification of principle investigator and institution.

10.051 COMMODITY LOANS AND LOAN DEFICIENCY PAYMENTS

(Price Supports)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Public Law 110-246.

OBJECTIVES:

To improve and stabilize farm income, to assist in bringing about a better balance between supply and demand of the commodities, and to assist farmers in the orderly marketing of their crops.

TYPES OF ASSISTANCE:

DIRECT LOANS; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Loans and loan deficiency payments (LDP's) give farmers a means of promoting more orderly marketing. Loans to producers may be "nonrecourse" which means that producers have the option of forfeiting the collateral to CCC at loan maturity in full satisfaction of the loan obligation; or "recourse" for low quality grain, or un-ginned seed cotton, which means that producers must repay the loans by maturity." If market prices are above the support level producers may repay their loans at the original loan principal plus interest and market their commodities. When market prices are low, most nonrecourse commodity loan repayments are less than the original loan principal plus interest. Eligible commodities for loans are feed grains, wheat, rice, peanuts, tobacco, upland cotton, extra-long staple cotton, sugar, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, and sunflower seed. LDP's are offered for feed grains, wheat, upland cotton, rice, soybeans, crambe, canola, flaxseed, mustard seed, rapeseed, safflower, and sunflower seed. If the loan repayment rates for these commodities are less than the established loan levels, producers may, for most commodities that are eligible for a nonrecourse loan, agree to forego such loan and elect to receive an LDP. The LDP payment rate equals the amount by which the loan rate exceeds the loan repayment rate in effect at the time the LDP application is approved, or the delivery date for commodities delivered directly to processor, buyer, or warehouse from the field. LDP's and any gain realized from repaying a loan at a level lower than the original loan level are subject to a \$75,000 per "person" payment limitation, except for crops produced in 1999, for which the payment limitation is \$150,000 per "person".

Applicant Eligibility:

Owner, landlord, tenant, or sharecropper on an eligible farm that has produced the eligible commodities or, in the case of sugar, a processor or refiner who meets program requirements as announced by the Secretary.

Beneficiary Eligibility:

Owner, landlord, tenant, or sharecropper on a farm that has produced the eligible commodities, meets program requirements as announced by the Secretary, and maintains beneficial interest in the commodity.

Credentials/Documentation:

Record of farming operation must be on file in the FSA county office. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. In the case of warehouse-stored commodities, producer or Cooperative Marketing Association presents warehouse receipts to the FSA county office (warehouse-stored peanut and tobacco loans are made through producer associations). In the case of farm-stored commodities (including sugar), producer/processor or Cooperative Marketing Association requests a loan at the FSA county office.

Award Procedure:

Applications are approved by the FSA upon determination that applicant and commodity are eligible.

Deadlines:

Sep 30, 2009 Loans and LDP's are available for wheat, barley, oats, canola, flaxseed, crambe, and rapeseed and loans are available for quota peanuts pledged as collateral for farm-stored loans through March 31 of the year following the year in which the crop is normally harvested. Loans and LDP's are available for rice, corn, grain sorghum, cotton, soybeans, safflower, sunflower seed and mustard seed through May 31 of the year following the year in which the crop is normally harvested. Loans are available for sugar through September 30.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approximately 3 days.

Appeals:

From 60 to 90 days. Applications may be reviewed by county, State, or national offices.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is generally available for 9 months or less, and is normally disbursed on a lump-sum basis. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic and required spot checks of farm-stored grain will be made by the county FSA office. Recipients are subject to audit by Office of Inspector General, USDA.

Records:

Not applicable.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Loans) FY 09 \$8,290,909,000; FY 10 est \$8,593,372,000; FY 11 est \$8,345,955,000. (Direct Payments with Unrestricted Use) FY 09 \$145,497,000; FY 10 est \$178,555,000; FY 11 est \$23,258,000 - Loan Deficiency Payments.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register 7 CFR, Chapter XIV, Parts 1421, 1425, 1427, 1430, 1434, 1435, 1446, and 1464; announcements issued to news media and letters to producers; " FSA Commodity Fact Sheets, " no cost: The Price Support Program," BI-4-USDA, no cost; Farm Service Agency, Department of Agriculture, STOP 0532, 1400 Independence Avenue S.W., Washington, DC 20250-0532.

Regional or Local Office:

None. Consult the local telephone directory for location of the FSA county office. If no listing, get in touch with appropriate FSA State office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Raellen Erickson, 1400 Independence Avenue, SW, Stop 0512, Washington, District of Columbia 20250-0512 Email: Raellen.Erickson@wdc.usda.gov Phone: (202) 720-7901 Fax: (202) 690-3307.

Website Address:

<http://www.fsa.usda.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.053 DAIRY INDEMNITY PROGRAM**FEDERAL AGENCY:**

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Act of August 13, 1968, 82 Stat. 750, 7 U.S.C 450j-450l, as amended by Food, Conservation, and Energy Act of 2008, Title 1, Section 1505.

OBJECTIVES:

To protect dairy farmers and manufacturers of dairy products who through no fault of their own, are directed to remove their milk or dairy products from commercial markets because of contamination from pesticides which have been approved for use by the Federal government. Dairy farmers can also be indemnified because of contamination with chemicals or toxic substances, nuclear radiation or fallout.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Fair market value for the milk is paid to the dairy farmer who is unable to market because of any of the violating substances, and the fair market value of the dairy product is paid to the manufacturer who is unable to market because of pesticide residue. No payment may be made to any dairy farmer or any manufacturer whose milk or dairy product was removed from the market as a result of his negligence or his willful failure to follow procedures prescribed by the Federal government.

Applicant Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is also available in Puerto Rico.

Beneficiary Eligibility:

Dairy farmers whose milk has been removed from the market by a public agency because of residue of any violating substance in such milk. Manufacturers of dairy products whose product has been removed from the market by a public agency because of pesticide residue in such product. This program is available in Puerto Rico.

Credentials/Documentation:

In the case of a dairy farmer, the notice removing the milk from the market along with a record of past marketing records for milk to determine the quantity and value of the milk not marketed, the violating substance involved and the uses of such violating substances during the previous 24 months. In the case of the manufacturer of dairy products, the notice removing the product from the market and sufficient data to determine the value of the product. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Producers must file an application for payment on Form FSA-373 with the local county FSA office. Manufacturers must file information on the cause and amount of their loss with the local county FSA office.

Award Procedure:

Initial approval is made by the county FSA committee. Final approval is made by the Price Support Division in Washington, DC.

Deadlines:

Dec 31, 2009 Claims must be filed by December 31 following the fiscal year in which the loss is incurred.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Applicants may appeal to the county Farm Service Agency Committee and to the FSA, Department of Agriculture, Appeals and Litigation Group, 1400 Independence Avenue, SW., Washington, DC 20250-0570.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payment is made by Commodity Credit Corporation (CCC) check after claim approval. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The dairy farmer and the manufacturer of dairy products must keep any records in applying for a payment for 3 years following the year in which an application for payment was filed.

Account Identification:

12-3314-0-1-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$650,788; FY 10 est \$1,208,601; FY 11 est \$876,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations were published in the Federal Register, 7 CFR, 760, and announced through the news media, Handbook 3-LD, Circulars and regulations issued by FSA.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the county FSA office. If no listing, contact the appropriate State FSA office listed under the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Raellen Erickson, 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0512 Phone: (202) 720-7320.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.054 EMERGENCY CONSERVATION PROGRAM

(ECP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Credit Act of 1978, Public Law 95-95-334, 92 Stat. 420, 16 U.S.C 2201-2205.

OBJECTIVES:

To enable farmers to perform emergency conservation measures to control wind erosion on farmlands, to rehabilitate farmlands damaged by wind erosion, floods, hurricanes, or other natural disasters and to carry out emergency water conservation or water enhancing measures during periods of severe drought.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Following a natural disaster, the county FSA committee determines, with concurrence from the State FSA committee, to make the program available in the county. Emergency cost-sharing is limited to new conservation problems created by natural disasters which, if not treated will impair or endanger the land, materially affect the productive capacity of the land, represent damage that is unusual in character and, except for wind erosion, is not the type that would recur frequently in the same area and will be so costly to rehabilitate that Federal assistance is or will be required to return the land to productive agricultural use. Eligible drought situations for water enhancing measures must be determined by the Deputy Administrator for Farm Programs, FSA.

Applicant Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

Any agricultural producer who as owner, landlord, tenant, or sharecropper on a

farm or ranch, including associated groups, and bears a part of the cost of an approved conservation practice in a disaster area, is eligible to apply for cost-share conservation assistance. This program is also available in Guam, Commonwealth of the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

Credentials/Documentation:

Identification as an eligible person and proof of contribution to the cost of performing the conservation practice. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible persons may submit an application on Form AD-245, for cost-sharing, at the county FSA office for the county in which the affected land is located. .

Award Procedure:

The county FSA committee reviews, prioritizes, and may approve applications in whole or in part. Approvals cannot exceed the county allocation of Federal funds for that purpose.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. From 2 to 3 weeks.

Appeals:

Participants may appeal to county FSA committee, State FSA committee, or National Appeals Division (NAD) on any determination. Matters that are generally applicable to all producers are not appealable.

Renewals:

Certain approvals may be extended by the FSA county committee, when necessary, with proper justification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Practice cost-share approvals are given on a fiscal year basis. The approvals specify the time that the practice must be carried out. Payment is by check or electronic funds transfer following completion of the measure. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Maintained in the county FSA office and Federal record centers for a specified number of years.

Account Identification:

12-3316-0-1-453.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$153,044,356; FY 10 est \$95,000,000; FY 11 est \$37,188,556

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations published in the Federal Register at 7 CFR, Part 701.

Program is announced through the news media in the county area designated as a disaster area.

Regional or Local Office:

See Regional Agency Offices. Farmers are advised to contact their local county FSA office after a natural disaster has occurred to determine whether the program is available in the county and to determine eligibility for emergency cost-share assistance. Consult the local telephone directory for location of the county FSA office. If no listing, get in touch with the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog.

Headquarters Office:

Katina Hanson, 1400 Independence Avenue, SW Room 4710, Washington, District of Columbia 20250 Email: Katina.Hanson@wdc.usda.gov Phone: 202) 720-0062 Fax: (202) 720-4619.

Website Address:

<http://www.fsa.usda.gov> .

RELATED PROGRAMS:

10.404 Emergency Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.055 DIRECT AND COUNTER-CYCLICAL PAYMENTS PROGRAM (DCP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Farm Security and Rural Investment Act of 2002, Public Law 107-171, as amended by Food, Conservation, and Energy Act of 2008, Title 1.

OBJECTIVES:

To provide income support to eligible producers of covered commodities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

With respect to Fiscal Year 2002 payments, CCC will offer to enter into a contract with eligible producers of covered commodities on October 1, 2002 through the date announced by CCC. With respect to Fiscal Years 2003 through 2007, CCC shall offer to annually enter into a contract with an eligible producer on a farm having base acreage with respect to a covered commodity at the beginning of each such fiscal year 2003 through 2007 through the date announced by CCC for each such year.

Applicant Eligibility:

To be eligible for payments under DCP, owners, operators, landlords, tenants, or sharecroppers must (1) share in the risk of producing a crop on base acres on a farm enrolled in DCP, and be entitled to share in the crop available for marketing from the base acres, or would have shared had a crop been produced; (2) annually report the use of the farm's cropland acreage; (3) comply with conservation and wetland protection requirements on all of their land; (4) comply with planting flexibility requirements; (5) use the base acres for agricultural or related activities; and (5) protect all base acres from erosion, including providing sufficient cover as determined necessary by the county FSA committee, and control weeds.

Beneficiary Eligibility:

DCP provides payments to eligible producers on farms enrolled for the 2002 through 2007 crop years.

Credentials/Documentation:

As a condition of eligibility for direct and counter-cyclical payments, the operator or owner must submit a report of all cropland acreage on the farm. Reports of production evidence for all covered commodities shall be provided to the county committee of the county where the farm is administratively located, by farm and crop in such manner as required by CCC on a CCC-approved standard, uniform form designated by CCC. When disposition

of production has been through commercial channels, CCC may require the producer to furnish documentary evidence in order to verify the information provided on the report of production. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The CCC-509 must be submitted. The following documents are required and applicable determinations must be made before the county committee can approve a producer's share on the CCC-509 for payment: (1) a farm operating plan (CCC-502 and related forms); (2) an average adjusted gross income certification (CCC-526); (3) a certification of compliance with highly erodible land and wetland conservation provisions (AD-1026). A certification of the acreage of all cropland on the farm (FSA-578) is needed before final payments can be issued.

Award Procedure:

Producers may elect to receive their direct payments in two installments per year: (1) the first payment, available in December of the fiscal year, is up to 50 percent of the total payment; (2) the balance of the total direct payment is available in October of the fiscal year after the fiscal year the payment is earned. Producers who do not elect to take the first direct payment will receive the entire direct payment at this time. Producers may elect to receive up to three counter-cyclical payments per year: (1) first partial payments are available in October of the calendar year in which the crop is harvested. These payments cannot exceed 35 percent of the total projected payment; (2) second partial payments, up to 70 percent of the projected payment, minus the amount of the first partial payment, are available the following February (the year after the crop is harvested); (3) final payments are made after the end of the marketing year for the crop. Producers who do not elect to take the first and second advance payments will receive the entire counter-cyclical payment at this time.

Deadlines:

Dec 22, 2008 to Jun 01, 2009 Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

From 15 to 30 days. Approval of payments depends on farmer compliance with conservation and wetland protection requirements on all of the producers' farms, planting flexibility requirements and other eligibility requirements mentioned above, and is fairly routine and prompt.

Appeals:

From 15 to 30 days. A producer may obtain reconsideration and review of any adverse determination made under this part in accordance with the appeal regulations found at parts 11 and 780 of Title 7 Agriculture.

Renewals:

Farm producers must apply for DCP on an annual basis and can opt out of participating in DCP for any year if they so choose.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The DCP payment schedule for 2002 and 2003 crop years is in the hyperlink provided below. The payment schedule for the 2004-2007 crop years parallels the schedule for the 2003 crop year, though the Deficit Reduction Act of 2005 reduced the advance direct payment rate to a maximum of 22 percent.
<http://www.fsa.usda.gov/pas/publications/facts/html/dcp03.htm>. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients under this program are subject to audit by the Office of the Regional Inspector General, USDA.

Records:

An owner or any other individual or entity receiving assistance for DCP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$731,087,000; FY 10 est \$1,219,205,000; FY 11 est \$738,412,000 - Counter-Cyclical Payments. (Direct Payments with Unrestricted Use) FY 09 \$5,222,325,000; FY 10 est \$5,144,270,000; FY 11 est \$4,962,893,000 - Direct Payments.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the counties. Regulations published in the Federal Register, 7 CFR Part 1412.

Regional or Local Office:

See Regional Agency Offices. Consult the local phone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office listed in the Farm Service Agency section of Appendix IV of the Catalog or on the WEB at <http://www.fsa.usda.gov/eds/>.

Headquarters Office:

Candace Thompson, 1400 Independence Avenue, SW, Stop 0517, Washington, District of Columbia 20250-0517 Email: candy.thompson@wdc.usda.gov Phone: (202) 720-7641 Fax: (202) 690-2130.

Website Address:

<http://www.fsa.usda.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.056 FARM STORAGE FACILITY LOANS

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Title 1, Part Subtitle 5, Section 1614.

OBJECTIVES:

To encourage the construction of on farm grain storage capacity and to help farmers adapt to identity preserved storage and handling requirements for genetically enhanced production.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loans are used to finance the purchase and construction of new storage

structures, handling equipment and drying equipment, and to finance the remodeling of existing storage structures. The loan amount is limited to \$100,000 times the number of borrowers liable for each loan. The following are security requirements for farm storage facility loans: All loans must be secured by a promissory note and security agreement, as well as a UCC-1 describing the storage facility and accompanying equipment; and Severance agreements from all lien holders on the real estate where the facility will be located or from owners of real estate when the loan applicant is not the landowner, except when CCC holds the first lien on the real estate. Severance agreements will not be required if the borrower increases the down payment from 15 percent to 20 percent. For loans that exceed \$50,000 or the borrower's aggregate outstanding loan balance exceeds \$50,000, the borrower must be able to provide at least one of the following: A first lien on the real estate on which the facility is located; Real estate owned by the borrower other than where the facility is located, provided the real estate offered is sufficient to secure the loan; or A letter of credit from a financial institution in an amount sufficient to protect CCC's interest for each year the loan has an outstanding balance. Facility Loan Terms. The following are the terms for farm storage facility loans: A 15 percent cash down payment is required; thus, CCC's loan is limited to 85 percent of the net cost of the eligible storage facility and permanent drying and handling equipment (subject to the applicant's storage needs test). The down payment cannot include any trade-in, discount, rebate, deferred payment, or post-dated check.

Loan terms available are seven (7) years, ten (10) years or twelve (12) years depending on the amount of the loan. Interest rate is fixed for the loan term based on the rate in effect during the month the loan is initially approved. The interest rate is equivalent to the rate of interest charged on Treasury Securities of comparable term and maturity. Loans are to be repaid in equal amortized installments. Loan will not be disbursed until the facility has been erected and inspected with the exception of one (1) qualifying partial disbursement. Cost of Obtaining a Loan. Each applicant will be charged a nonrefundable \$100 application fee. CCC will pay all collateral lien searches and recording fees for filing Form UCC-1 and credit reports. Applicants pay all other fees, such as severance agreements, attorney fees, real estate lien search fees, and instrument filing fees. For loans over \$50,000, applicants will be required to pay the cost of obtaining a title search/opinion or title insurance. Persons Required to Sign the Note. The following persons are required to sign the loan agreement: For sole proprietorships and joint ventures, all individuals, including spouses, if applicable. For general partnerships, any member unless the Articles of Partnership are more restrictive. For corporations and limited partnerships, an individual with signature authority on file with FSA. Where to File the Application

Loan applications should be filed in the administrative FSA Office that maintains the farm's records. More Information: For more information about FSA programs, contact your local FSA office or USDA Service Center, or visit the World Wide Web at www.fsa.usda.gov. The Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance program. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at (202) 720-2600 (voice and TDD). To file a complaint of Discrimination, write to USDA, Director, Office of Civil Rights, 1400 Independence Avenue, SW., Washington, DC 20250-9410, or call (800) 795-3272 (voice) or (202) 720-6382 (TDD). USDA is an equal opportunity provider and employer.

- Fact Sheets
- News Releases
- Emergency Designation News Releases
- Spotlights
- Fence Post
- Media Relations Contacts
- Public Service Announcements
- Meetings & Events
- County Committee Elections
- Speeches and Presentations
- Media Gallery

Subscriptions
RSS Feeds
eFOIA
FSA Widgets
View FSA Biographies
Email Updates
RSS Feeds
FSA Widgets
Ask FSA

To view PDF files you must have Adobe Acrobat Reader installed on your computer.

To view Flash files you must have Macromedia Flash Player installed on your computer.

Applicant Eligibility:

An eligible borrower is any person who, as landowner, landlord, operator, producer, tenant, leaseholder, or sharecropper: (1) Has a satisfactory credit history and demonstrates an ability to repay the debt arising under this program using a financial statement acceptable to CCC prepared within 90 days of the date of application; (2) has no delinquent Federal debt defined by the Debt Collection Improvement Act of 1996 at the time of loan disbursement; (3) is a producer of a facility loan commodity as defined by CCC; (4) demonstrates a need for increased storage capacity as defined by CCC if the applicant is applying for a loan for a storage structure; (5) provides proof of crop insurance offered under the Federal Crop Insurance Program for crops of economic significance on all farms operated by the borrower in the county where the storage facility is located; (6) is in compliance with USDA provisions for highly erodible land and wetlands provisions according to 7 CFR Part 12; (7) demonstrates compliance with any applicable local zoning, land use, and building codes for the applicable farm storage facility structures; (8) provides proof of flood insurance if CCC determines such insurance is necessary to protect the interests of CCC, and proof of all peril structural insurance, to CCC annually; (9) demonstrates compliance with the National Environmental Policy Act regulations at 40 CFR, Parts 1500- 1508; and (10) has not been convicted under Federal or State law of a controlled substance violation under 7 CFR Part 718.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Landowners, landlords, operators, producers, tenants, leaseholders, or sharecroppers are the beneficiaries.

Credentials/Documentation:

Applicants must establish that they have a need for additional storage capacity. The applicant must establish that he has the ability to repay the loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application Form CCC-185 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their application forms.

Award Procedure:

FSA State and County Committees are authorized to approve these loans after applicants are determined eligible.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval process may take from 2 to 6 weeks.

Appeals:

Applicants for loans may appeal adverse actions taken against them. The applicant is given an opportunity to appeal the decision to the National Appeals Division.

Renewals:

The loan term is 7 years. The term of the loan may not be extended. The loan is repaid in equal annual installments of principal and interest amortized over the loan term.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The amount of the loan is determined after construction takes place. A 15 percent down payment is required. The loan is disbursed by check as soon as the cost is determined, all loan documents have been prepared and all security documents have been filed. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Borrowers are required to annually submit proof of crop insurance, flood insurance (if applicable), hazard insurance, and property taxes.

Account Identification:

12-3301-0-1-351; 12-4158-0-3-351.

Obligations:

(Direct Loans) FY 09 \$200,000,000; FY 10 est \$152,500,000; FY 11 est \$152,500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) A fact sheet, press release, forms, and directives are available. Regulations at 7 CFR Part 1436 were first published in the Federal Register under an interim rule on May 11, 2000. After comments were evaluated, regulations at 7 CFR Part 1436 were revised with the publication of a final rule on January 18, 2001.

Regional or Local Office:

See Regional Agency Offices. Consult the appropriate FSA State office listed in Appendix IV of the Catalog.

Headquarters Office:

Raellen Erickson, USDA-FSA-PSD, Stop 0512, 1400 Independence Ave., SW, Washington, District of Columbia 20250-0512 Phone: (202) 720-7901.

Website Address:

<http://www.fsa.usda.gov/dafp/psd/FSFL.html> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.069 CONSERVATION RESERVE PROGRAM (CRP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, as amended, Section 1231, 16 U.S.C 3831-3835a.

OBJECTIVES:

To protect the Nation's long-term capability to produce food and fiber; to reduce soil erosion and sedimentation, improve water quality, and create a better habitat for wildlife.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible owners or operators may place highly erodible or other environmentally sensitive land into a 10-15 year contract. The participant, in return for annual payments, agrees to implement a conservation plan approved by the local conservation district for converting highly erodible cropland or other environmentally sensitive land to a long-term resource conserving cover i.e., eligible land must be planted with a vegetative cover, such as, perennial grasses, legumes, fobs, shrubs, or trees. The participant agrees to reduce the aggregate total of allotments and quotas by an amount based on the ratio of the total cropland acreage on each farm, to the total acreage on each farm subject to the CRP contract. Financial and technical assistance are available to participants to assist in the establishment of a long-term resource conserving cover.

Applicant Eligibility:

An individual, partnership, association, Indian Tribal ventures corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, a political subdivision of a State, or any agency thereof may submit an offer to enroll acreage.

Beneficiary Eligibility:

If their offer is accepted for enrollment, an individual, partnership, association, Indian Tribal ventures, corporation, estate, trust, other business enterprises or other legal entities and, whenever applicable, a State, political subdivision of State, or any agency thereof may earn benefits.

Credentials/Documentation:

The land must be owned or operated for not less than 12 months prior to the close of the signup period, unless the land was acquired by will or succession or FSA determines that ownership was not acquired for the purpose of placing the land in the CRP. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FSA has three methods for enrolling acreage in the CRP. One method is a continuous signup process where acreage suitable for certain environmental priority practices, including but not limited to grass waterways, riparian buffers or filterstrips, and acreage within wellhead protection areas may be offered and accepted without going through a competitive offer process. The second method is similar to continuous signup and is available only in distinct geographic areas. These areas are targeted by FSA and State governments under partnership agreements. The third method is to offer acreage during a general signup period where eligible offers to enroll highly erodible and other environmentally sensitive acreage are submitted and ranked competitively based on an environmental benefits index. Regardless of the method of enrollment, the local FSA office that serves the area in which the farm or ranch is located will provide the maximum payment rate CCC will accept to enroll certain acreage in the program. Producers may submit a rental rate per acre offered that may be equal to or less than CCC's maximum payment rate.

Award Procedure:

FSA will notify persons whose offers are determined acceptable as soon as practicable after the close of the signup.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 7 to 120 days.

Appeals:

Producers may appeal any determination to county FSA committee, State committee, or National Appeals Division. Matters that are generally applicable to all producers are not appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual rental payments in cash or generic commodity certificates will be made for 10-15 years. If cost-share assistance to establish the appropriate cover was requested, a payment will be made after the practice is successfully established according to applicable guidelines. FSA may provide certain incentives for restoring wetlands or other lands. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

Maintained in county FSA office and Federal Record centers for a specified number of years.

Account Identification:

12-4336-0-1-302; 12-3319-0-1-302.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$1,855,274,000; FY 10 est \$1,871,662,000; FY 11 est \$1,811,579,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in the Federal Register, 7 CFR Part 1410.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the county FSA office, under U.S. Government, Department of Agriculture. If no listing, contact the appropriate State FSA office listed in the FSA section of Appendix IV of the Catalog.

Headquarters Office:

Shawn Bucholz, 1400 Independence Avenue, SW, CEPD- Stop 0513., Washington, District of Columbia 20250-0513 Email: shawn.bucholz@wdc.usda.gov Phone: (202) 720-0084.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.072 Wetlands Reserve Program; 10.901 Resource Conservation and Development; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.072 WETLANDS RESERVE PROGRAM

(WRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Title XII, Public Law 99-198, as amended by Food, Agriculture, Conservation, and Trade Act of 1990, Title XIV, Section 1237, Public Law 101-624, 104 Stat. 3584, 7 U.S.C 3837.

OBJECTIVES:

To assist landowners in restoring and protecting wetlands on eligible lands on which they agree to enter into a permanent or 30- yearlong-term easement (30-year contract for Indian tribes) , or a restoration cost-share agreement with the Secretary. The goal of WRP is to maximize wetland functions and values and wildlife benefits on every acre enrolled in the program. Total acreage enrollment limitation is 3,041,200,275,000 acres.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible landowners may offer farmed wetlands, prior converted wetlands, wetlands farmed under natural condition, former or degraded wetlands on lands that have been used or are currently being used for the production of food and fiber, including rangeland and forest production land, lands substantially altered by flooding, certain riparian areas, along with certain adjacent areas, to be placed under a permanent or 30-year easement, or restoration cost-share agreement. A deed restriction covering the land approved under easement must be recorded in the local land deeds office. Subject to the acceptance of an offer by the Federal Government, the landowner will receive in cash an amount specified in the WRP contract as determined by a method prescribed by the Secretary. The landowner shall ensure that the easement granted to Natural Resources Conservation Service (NRCS) is superior to the rights of all others and shall agree to implement a wetland restoration plan designed to restore and maintain the easement area. The plan will include a designated access route to be used as necessary for easement management and monitoring. The landowner will receive financial and technical assistance to install necessary restoration practices on the land under easement or the practice will be installed by the Secretary. The landowner shall agree to a permanent retirement of crop acreage bases, allotments, and quotas to the extent that the sum of the crop acreage bases and allotments will not exceed the remaining cropland of the present farm or subsequently reconstituted farm. In cases involving restoration participants receive restoration cost-share and technical assistance and no easement payment.

Applicant Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business or other legal entities and, Indian tribe.

Beneficiary Eligibility:

An individual landowner, partnership, association, corporation, estate, trust, other business enterprises or other legal entities and, Indian tribe.

Credentials/Documentation:

The landowner must have owned the land offered for at least the preceding 12 months prior to the end of the period in which the intent to participate in an easement is declared unless the land was acquired by will or succession as a result of the death of the previous owner; or the Department determines that the new owner did not acquire such land for the purpose of placing it in the WRP. The 12-month requirement is not applicable to restoration agreement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit an application to enroll to the local NRCS office that serves the area in which the farm or ranch is located during the designated sign-up period.

Award Procedure:

The States will provide a list of potential acceptable offers and request for allocation of funds. The Department will allocate funding in a manner designed to achieve cost effectiveness and maximum wetland restoration based wildlife benefits. The States will notify the landowners of the status of their application.

This process will be completed as soon as practical after funding becomes available. For all tentatively accepted applications, a determination of easement compensation value will be made according to the current procedures as prescribed by the Secretary.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with the NRCS. Landowner application may remain on sign-up list for subsequent funding consideration.

Appeals:

Landowner may appeal certain determinations to the National Appeals Division.

Renewals:

The land offered may be re-offered in a future sign-up unless land or landowner is ineligible.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Lump sum payments or no less than 5 nor more than 30 annual payments of equal or unequal value are made for easements.

Cost-share payments of 100 percent of the cost of implementing the Wetland Restoration Plan will be paid for a permanent easement with 75 percent of permanent easement amounts being paid for 30-year easements, 30-year contracts and restoration cost-share agreements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. Cost share payments for implementation of easement practices will be made when a specific practice has been implemented by either the landowner or contractor. See the following for information on how assistance is awarded/released: Cash easement payments will be made in a lump sum amount, or in annual installments beginning at closing. Cost share payments for implementation of easement practices will be made when a specific practice has been implemented by either the landowner or contractor.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be filed in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-4336-0-1-302; 12-1080-0-1-302.

Obligations:

(Salaries) FY 09 \$30,768,632; FY 10 est \$36,389,000; FY 11 est \$27,120,000. (Project Grants (Discretionary)) FY 09 \$404,942,681; FY 10 est \$452,472,100; FY 11 est \$475,106,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is announced through news media and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV of the Catalog.

Headquarters Office:

Ken Murray, 1400 Independence Ave, SW, South Building, Room 6238, Washington, District of Columbia 20013 Email: Ken.Murray@wdc.usda.gov Phone: (202) 720-0982.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All offers are screened at both the local and State level to determine the acceptability of the application to ensure that offers will not be accepted in excess of the value as determined by the method prescribed by the Secretary. Offers will be evaluated based on the environmental benefits and government expenditures on restoration and easement purchase and the requirement that wildlife benefits be maximized.

10.085 TOBACCO TRANSITION PAYMENT PROGRAM

(TTPP)

FEDERAL AGENCY:

COMMODITY CREDIT CORPORATION, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

The Fair and Equitable Tobacco Reform Act of 2004.

OBJECTIVES:

The Fair and Equitable Tobacco Reform Act of 2004 repeals the federal tobacco price support/production control program, provides compensation payments to tobacco quota owners for the elimination of their government-created asset (quota), and provides transition payments to active tobacco producers.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use.

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible quota holder means only a person who, as of October 22, 2004, has either a fee simple interest or life estate interest in the farm for which FSA established a farm basic marketing quota for the 2004 marketing year. The wetlands and highly erodible land provisions of part 12 of Title 7, the controlled substance provisions of part 718 of Title 7, and the payment limitation provisions of part 1400 of Title 7 shall not be applicable to payments made under 7 CFR Part 1463 to an eligible quota holder. Eligible tobacco producer means an owner, operator, landlord, tenant, or sharecropper who shared in the risk of producing tobacco on a farm where tobacco was produced, or considered planted, pursuant to a tobacco poundage quota or acreage allotment assigned to the farm for the 2002, 2003, or 2004 marketing years. The wetlands and highly erodible land provisions of part 12 of Title 7 and the controlled substance provisions of part 718 of Title 7 shall be applicable to payments made under 7 CFR Part 1463 to an eligible tobacco producer. However, the payment limitation provisions of part 1400 of Title 7 shall not be applicable to payments made under this part to an eligible tobacco producer.

Beneficiary Eligibility:

Tobacco quota holders, tobacco producers, and eligible assignees.

Credentials/Documentation:

Eligible quota holder means only a person who, as of October 22, 2004, has either a fee simple interest or life estate interest in the farm for which FSA

established a farm basic marketing quota for the 2004 marketing year. To be eligible for TTPP, quota holders are the owners of a farm with an established 2004 basic marketing quota on their farm as of October 22, 2004, the date the President signed the bill that ends the quota system. Eligible tobacco producer means an owner, operator, landlord, tenant, or sharecropper who shared in the risk of producing tobacco on a farm where tobacco was produced, or considered planted, pursuant to a tobacco poundage quota or acreage allotment assigned to the farm for the 2002, 2003, or 2004 marketing years. For purposes of determining if an eligible tobacco producer has shared in the risk of producing a crop in the 2002, 2003, or 2004 crop years, CCC will consider evidence presented by a producer that includes, but is not limited to: written leases; contracts for the purchase of tobacco; crop insurance documents; or receipts for the purchase of items used in the production of tobacco.

Preapplication Coordination:

There is no preapplication coordination related to this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

Applicants contact their local county Farm Service Agency Office or U.S. Department of Agriculture Service Center for sign-up information.

Award Procedure:

CCC will make a payment to each eligible producer in an amount equal to 10 percent of the total amount due under a contract entered into except that in the case an application was filed after June 17, 2005, the applicant will receive only the TTPP payments that have not been made as of the date the contract is approved.

Deadlines:

Please contact the program contact listed in the Information Contacts section below.

Range of Approval/Disapproval Time:

1 to 60 days.

Appeals:

A person may obtain reconsideration and review of any adverse determinations in accordance with the appeal regulations found at parts 11 and 780 of Title 7.

Renewals:

None.

Formula and Matching Requirements:

Eligible tobacco quota holders will receive \$7 per pound based upon their basic quota at the 2002 marketing year level. Producers of quota tobacco will receive up to \$3 per pound payment based on their share of the risk in the 2002, 2003, and 2004 crops of quota tobacco. The annual payment will be calculated by multiplying the eligible producer's Base Quota Level (BQL) for each farm for crop years 2002, 2003, or 2004 by \$0.10 per pound per year. For flue-cured and burley producers, the BQL will be equal to the 2002 effective quota produced on the farm. For tobacco other than flue-cured and burley, the producer's BQL will be equal to the 2002 basic allotment multiplied by the farm's 3-year average yield for the years 2001, 2002, and 2003.

Length and Time Phasing of Assistance:

The Tobacco Transition Payment Program (TTPP) provides payments to tobacco quota holders and tobacco producers beginning in 2005 and ending in 2014.

Reports:

None.

Audits:

Recipients under this program are subject to audit by the Office of the Regional Inspector General, Department of Agriculture.

Records:

Tobacco quota holders or any other individual or entity receiving payment for TTPP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which payment was received.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments) FY 07 \$952,000,000; FY 08 est not available; and FY 09 est not reported. (NOTE: Estimated to be \$10 billion from FY 2007 through FY 2014.)

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through news media and in letters to agricultural producers in the county. Regulations published in 7 CFR Part 1463, 2005, 2014 Tobacco Transition Program.

Regional or Local Office:

Farm Service Agency state offices can inform applicants of county office locations where applicants may sign up.

Headquarters Office:

Department of Agriculture, Farm Service Agency, Tobacco Branch, STOP 0514, 1400 Independence Avenue, S.W., Washington, DC. 20250-0540, Telephone: (202) 720-2715.

Website Address:

www.fsa.usda.gov/tobacco and
<http://www.fsa.usda.gov/pas/publications/facts/html/tpp05.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting eligibility requirements may receive assistance subject to the national payment factor. Applications are non-competitive.

10.088 LIVESTOCK INDEMNITY PROGRAM

LIP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food, Conservation, and Energy Act of 2008; Public Law 110-246, Public Law 110-246.

OBJECTIVES:

The Livestock Indemnity Program (LIP) compensates producers for livestock death losses in excess of normal mortality due to adverse weather that occurred on or after January 1, 2008 and before October 1, 2011. No State, county or other trigger will be used to define an eligible LIP area. Sign up will begin when an eligible disaster is apparent.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The assistance will be used to provide relief to eligible producers due to adverse weather, as determined by the Secretary of Agriculture (henceforth the Secretary), during the calendar year. An eligible producer on a farm means an individual or entity that, as determined by the Secretary, assumes the production and market risks associated with the agricultural production of crops or livestock. An individual or entity is a citizen of the United States (U.S.); a resident alien; a partnership of citizens of the U.S.; or a corporation, limited liability corporation, or other farm organizational structure organized under State law. Eligible livestock is an adult/non-adult beef or dairy cattle, adult/non-adult buffalo/beefalo, equine maintained for commercial use as part of the farming operation, elk, reindeer, deer, sheep, alpacas, emus, swine, goats, llamas, and poultry. Eligible adverse weather events are wildfire (must be related to an adverse weather event), blizzard, tornado, lightning, ice storms, earthquakes, flooding, extreme cold or heat. If an eligible livestock dies due to a disease, it must be related to or exacerbated by an eligible adverse weather event to be an eligible cause of livestock deaths under LIP. Drought is not an

eligible adverse weather event except when associated with anthrax, a condition that occurs because of drought and results in the death of eligible livestock.

Applicant Eligibility:

An eligible producer may use assistance for livestock death losses in excess of the normal mortality due to adverse weather.

Beneficiary Eligibility:

Beneficiary eligibility is extended to an eligible livestock producer who is an owner, cash share lessee, a contract grower of covered livestock that provides the pasture land or grazing land (including cash-leased pasture land or grazing land for the livestock) that is physically located in a county affected by drought.

The term "eligible livestock producer" does not include an owner, ash or share lessee, or contract grower of livestock that rents or leases pasture land or grazing land owned by another person on a rate-of-gain basis. The eligible producer on a farm must have during the 60 calendar days before the beginning date of a qualifying drought or fire, owned, ashed or share leased, or been a contract grower of eligible covered livestock.

Credentials/Documentation:

Proof of death of livestock must be provided and may include but is not limited to, any or a combination of the following: rendering truck receipts or certificates; national guard receipts of carcass removal; veterinary records; tax assessor records; private insurance documents; a measurement service may be requested by the producer and completed by FSA. Documentation must provide sufficient data that identifies the kind/type/weight range of livestock and the number of livestock. A third party certification may be accepted only when participant certifies in writing that no other form of proof of death is available and includes the number and physical location of livestock in inventory at time death occurs. Documentation must also be provided to support the number of livestock in inventory at the time the death occurred. The third party providing the certification must be a reliable source in a position to have knowledge of the dead livestock and shall not be affiliated with the operation such as a hired hand or a family member, etc. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A notice of loss must be filed within 30 days of when the loss is apparent.

Award Procedure:

Indemnity payments to an eligible producer on a farm shall be made at a rate of 75 percent of the market value of the applicable livestock on the day before the date of death of the livestock, as determined by the Secretary for each specific livestock category; individual producers' eligible losses; and calculations will be performed separately by eligible livestock (by type, kind, and weight range) and producer type.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There are no restrictions place on the time permitted to spend the money awarded. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-5531-0-3-351.

Obligations:

(Direct Payments for Specified Use) FY 09 \$292,200; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Lenior J. Simmons, FSA DAFO PECD, 1400 Independence Ave SW, Room 3640-S, Washington, District of Columbia 20250 Email: Lenior.Simmons@wdc.usda.gov Phone: (202) 720-9070.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.090 SUPPLEMENTAL REVENUE ASSISTANCE PROGRAM**SURE****FEDERAL AGENCY:**

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Trade Act of 1974, Section 902, Public Law 93-618; The Food, Conservation, and Energy Act of 2008 (The Farm Bill), Title X11, Part B, Section 12033, Public Law 110-246, 7 U.S.C 1501; The American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; The Food, Conservation, and Energy Act of 2008, Title XV, Section 15101, Public Law 110-246.

OBJECTIVES:

The objective of the SURE program is to provide financial assistance for farm revenue losses due to natural disaster. Assistance is provided from the Agricultural Disaster Relief Trust Fund (Trust Fund) established under Public Law 110-246. The Secretary of Agriculture (henceforth the Secretary) has the authority to use the Trust Fund to make crop disaster assistance payments to eligible producers on farms in disaster counties whose actual production is less than 50 percent of their normal production. A disaster county means a county included in the geographic area covered by a qualifying natural disaster declaration by the Secretary. SURE is the 2008 Farm Bill's successor to the prior Crop Disaster Programs. SURE is legislated through fiscal year 2011.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

SURE assistance can provide benefit payments to eligible producers who have suffered eligible crop losses due to a natural disaster. To be eligible for crop

loss assistance, a producer must show that the actual production on the farm for at least one crop of economic significance is reduced for at least 10 percent due to disaster, adverse weather, or disaster-related conditions. Payment limitations apply for SURE. For 2008, no person may receive more than \$100,000 total for the 2008 program year under the Emergency Assistance for Livestock, Honeybees, and Farm Raised Fish Program (ELAP), Livestock Forage Disaster Program (LFP), Livestock Indemnity Program (LIP), and SURE. For 2009 and subsequent program years, no person or legal entity, excluding joint ventures and general partnerships may receive, directly or indirectly, more than \$100,000 total per program year under ELAP, LFP, LIP and SURE. Producers or legal entities whose average adjusted gross income (AGI) exceeds \$2.5 million in crop year 2008 shall not be eligible for benefit payments unless 75 percent or more of the income is from agriculture. For 2009 and subsequent years, the average AGI income cap changes to \$500,000 of non-farm income, with no on-farm income cap. SURE assistance will be provided to an eligible producer in the amount equal to 60 percent of the difference between the SURE Guarantee and the total farm revenue. The SURE guarantee for the farm cannot be greater than 90 percent of the total of the normal production of the farm. There are specific eligibility criteria a producer must meet before becoming eligible for assistance. To be eligible, a producer (for all of the farming operation's crops that are planted or intended nationwide) must have obtained a policy or plan of crop insurance such as Catastrophic Risk Protection (CAT) for all insurable crops and/or Non-insured Crop Disaster Assistance Program (NAP) coverage for non-insurable crops that are of economic significance. However, statute does not require coverage for grazed crops for the SURE program. Sales closing dates for insurance and application closing dates for NAP have passed for the 2008 crop year for all insurable and non-insurable crops. A waiver was authorized in Public Law 110-246 to allow producers to pay within 90 calendar days of the enactment, a buy-in-fee to be eligible for Supplemental Agricultural Disaster Assistance for crops for which the producer did not obtain crop insurance or NAP coverage for 2008. The administrative service fee is equal to the lesser of \$100 per crop per county, or \$300 per producer per county, not to exceed \$900 per multi-county producer. The final date for the buy-in fee was September 16, 2008. The American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5, authorized an additional waiver which allows producers another opportunity to pay a buy-in-fee for 2008 Supplemental Agricultural Disaster Assistance. The buy-in fee must be paid within 90 calendar days of the enactment of ARRA. The buy-in fee is \$100 per crop, but not more than \$300 per producer per administrative county, or \$900 total per producer for all counties less any previously paid fees for NAP relief and other buy-in. Producers must buy-in for all non-insurable and insurable crops that are not already covered by NAP, crop insurance, or meet the previous waivers. The fee is nonrefundable and due at the time a producer files FSA-752 and/or FSA-753. Crop insurance and NAP coverage are not a requirement if the producer is considered a Socially Disadvantaged, Limited Resource, or Beginning Farmer or Rancher.

Applicant Eligibility:

An eligible applicant or eligible "producer on a farm", is an individual or entity who assumes the production and market risks associated with the agricultural production of crops or livestock. An individual or entity is a citizen of the United States (U.S.), a resident alien, or a partnership of citizens of the U.S.

Beneficiary Eligibility:

The SURE program will provide financial assistance to an eligible producer on a farm who has insurable or noninsurable commodity or agricultural commodity (except livestock) for which the producer on a farm is eligible to obtain a policy or plan of insurance. Benefits are also extended to the Socially Disadvantaged Farmer or Rancher who has been subject to racial or ethnic prejudice because of his or her identity as members of a group without regard to their individual qualities; a beginning farmer or rancher who has not operated a farm or ranch for more than 10 years and materially and substantially participates in the operation; and limited resource producer with direct or indirect gross farm sales not more than \$100,000 in both of the previous two years, adjusted upwards for any general inflation since fiscal year 2004, and if the total household income is at or below the national poverty level for a family of four or less than 50 percent of county median household income in both of the previous two years.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An eligible producer must provide a total crop acreage report (FSA-578) including crops on cropland and non-cropland in all counties that the producer has interest. The total farm acreage report includes native and improved grass that will be hayed or grazed, all grazing land and uninsurable land by either crop insurance or NAP coverage and file an AD-1026.

Award Procedure:

The applicant will submit the required forms to applicants local FSA office. Applications will be reviewed and the award will be distributed based on 60 percent of the difference of the SURE guarantee and total farm revenue calculation. Total farm revenue include estimated crop value, crop insurance indemnities, NAP payments, Market Assistance Loan proceeds, other disaster payments, DCP payments (15% of direct payments, plus all Counter-Cyclical and ACRE payments. To assist farmers and ranches in evaluating their options with SURE, USDA has created a SURE calculator. To utilize the calculator, visit the website www.fsa.usda.gov. The calculator and instructions linked on the FSA website are for informational purposes only. This calculator in no way binds FSA to potential payments under SURE and should not be relied on as the sole source of information to make final management decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 60 to 90 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

12-5531-0-3-351.

Obligations:

(Direct Payments for Specified Use) FY 09 \$0; FY 10 est \$738,000,000; FY 11 est \$842,000,000 - No Recovery Act SURE funding can be obligated beyond Fiscal Year 2010, FY 2010 Recovery Act is \$230,000,000 This is a new program, so there was no funding in FY 2008 and 2009.

Range and Average of Financial Assistance:

This is a new program. Range and average of financial assistance can not be determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Steve Peterson, USDA, Deputy Administrator for Farm Programs, Production, Emergencies, and Compliance Division, Disaster Assistance Branch, 14th and Independence Ave SW, Stop 0517, Washington, District of Columbia 20250
Email: Steve.Peterson@wdc.usda.gov Phone: (202) 720-5172 Fax: (202) 720-0051.

Website Address:

<http://www.fsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.092 TREE ASSISTANCE PROGRAM

TAP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008 (2008 Farm Bill), Title XII, Part A, Section 12033 and 15101, Public Law 110-246, 7 U.S.C 1501; The American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

The Tree Assistance Program (TAP) provides financial assistance to qualifying orchardists and nursery tree growers to replant or rehabilitate eligible trees, bushes and vines affected by natural disasters and suffered mortality losses in excess of 15 percent, after adjustment for normal mortality occurring on or after January 1, 2008, and before October 1, 2011. TAP was authorized by the 2008 Farm Bill and is funded through the Agricultural Disaster Relief Trust Fund under Section 902 of the Trade Act of 1974.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

Assistance will be provided for eligible trees, bushes, and vines from which an annual crop is produced for commercial purposes. Nursery trees include ornamental, fruit, nut and Christmas trees produced for commercial sale. Trees used for pulp or timber are ineligible.

Applicant Eligibility:

An eligible applicant is an orchardist or a nursery tree grower who has planted trees for commercial purposes but lost the trees as a direct result of a natural disaster. The term "tree" includes a tree, bush, and vine. An eligible orchardist is a person who produces annual crops from trees for commercial use. An eligible nursery tree grower is a person who produces nursery, ornamental fruit, nut or Christmas trees for commercial sale.

Beneficiary Eligibility:

Beneficiary eligibility will be provided to an owner who has trees, bushes or vines lost by a natural disaster who suffer qualifying losses in excess of 15 percent (adjusted for normal mortality) from an eligible natural disaster for the individual stand; must have owned the eligible trees, bushes and vines when the natural disaster occurred; however, eligible growers are not required to own the land on which eligible trees, bushes and vines are planted; and must replace eligible trees, bushes and vines within 12 months from the date the application is approved.

Credentials/Documentation:

In general, orchardists and nursery tree growers must have obtained a policy or plan of insurance for all their insurable or NAP eligible crops through either Federal Crop Insurance Act or FSA's Non-insured Crop Disaster Assistance Program (NAP). Eligible producers who meet the definition of "Socially

Disadvantaged', "Limited Resource", or "Beginning Farmer or Rancher' do not have to meet this requirement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For 2009 through 2011 TAP payment limitations, no person or legal entity may receive, directly or indirectly, more than \$100,000 per program year. For 2008, payments are limited per "person" according to payment limitations rules in effect for 2008. For cumulative total quantity of acres planted to trees, bushes, or vines where a producer may receive TAP payments for losses occurring between January 1, 2008 and September 30, 2011, cannot exceed 500 acres. The Adjusted Gross Income (AGI) limitations for 2009 and subsequent program years for producers or legal entities whose average non farm AGI exceeds \$500,000 are not eligible and for the 2008 program year, producers are not eligible if their average AGI is \$2.5 million or greater, unless 75% or more of their AGI is from agriculture.

In order for a participant to obtain a TAP payment, the eligible applicant must (1) File an application (FSA-899) at the FSA administrative county office that maintains the applicants farm records for the agricultural operation, within the applicable sign-up deadline. A complete application includes, a completed Form FSA-899; certification that Risk Management Purchase Requirement has been met on all crops on the farm; must have an acreage report for the farming operation; a written estimate of the number of trees, bushes, or vines lost or damaged is certified by the producer or a qualified expert, including the number of acres on which the loss occurred; and sufficient evidence of the loss to allow the county FSA committee to calculate whether an eligible loss occurred. (2) FSA staff will make a field visit and validate which practices are appropriate to address the losses. After verification, FSA will inform the producer of the approved eligible practices and estimated payment. Additional information may be requested to assist FSA representative in making its determination. (3) Applicant must complete the approved practices within 12 months of FSA approval. Payments will be made after all practices are completed on the stand. Producers that suffer multiple losses during the calendar year may file multiple applications for payment.

Award Procedure:

For tree, bush or vine replacement including replanting and the cost of seedlings, the payment calculation is the lesser of the following:

- 1) 70% of the actual cost of the practice, or
- 2) the amount calculated for each practice using the established rates.
- 3) For salvaging existing trees, bushes, or vines, including the cost of pruning, removal, or other costs, the payment calculation is the lesser of the following: 50% of the actual cost of the practice, or the amount calculated for each practice using the established rates.

Deadlines:

May 10, 2010 to Jul 06, 2010 orchardists and nursery tree growers may apply to receive TAP benefits at local FSA county offices beginning May 10, 2010. For losses suffered during calendar year 2008 and 2009 and for losses suffered January 01 2010 through May 7, 2010, applications and supporting documentation must be submitted by July 6, 2010. For those losses that occur after May 7, 2010, applications and supporting documentation must be submitted within 90 days from either the date of the disaster or when the disaster becomes apparent to the producer.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The orchardist or nursery tree grower must replace eligible trees, bushes and vines within 12 months from the date the application is approved. Payment is made by check or direct deposit after determining applicant eligibility. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

Applicants receiving assistance for TAP shall maintain and retain financial books and records which will permit verification of all transactions for at least 3 years, following the end of the calendar year in which assistance was provided.

Account Identification:

12-5531-0-3-351 - The Agricultural Disaster Relief Trust Fund.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$0; FY 10 est \$5,000,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program is announced through press releases, news media, and newsletters.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lenior J. Simmons, USDA, DAFP, PECD, GRS, 1400 Independence Ave SW Stop 0517, Washington, District of Columbia 20250 Email: Lenior.Simmons@wdc.usda.gov Phone: (202) 720-9070.

Website Address:

www.fsa.usda.gov/tap

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.093 VOLUNTARY PUBLIC ACCESS AND HABITAT INCENTIVE PROGRAM

VPA-HIP

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Food Security Act of 1985, Public Law 99-198, 15 U.S.C 714b and 714c.

OBJECTIVES:

The primary objective of the VPA-HIP is to encourage owners and operators of privately-held farm, ranch, and forest land to voluntarily make that land available for access by the public for wildlife-dependent recreation, including hunting or fishing, under programs implemented by state or tribal governments.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The funding provided by the VPA-HIP will help states and tribal governments address many issues that can greatly increase access and recreational experiences. Grant recipients will be able to use the funding to provide higher rental payments, provide technical and conservation services to landowners, and increase acreage enrolled for public access. Twenty-six states have public access programs for hunting, fishing, and other related activities. These programs provide rental payments and other incentives, such as technical or conservation services to landowners who allow the public to hunt, fish, or otherwise appropriately recreate on their land. Only states and tribal governments are eligible for VPA-HIP grant.

Applicant Eligibility:

Only States and Tribal governments are eligible for VPA-HIP. An eligible State government means any State or local government, including State, city, town, or county government. An eligible Tribal Government means any Federally-recognized Indian tribe, band, nation, or other organized group, or community, including pueblos, rancherias, colonies and any Alaska Native Village, or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C 1601-1629H), which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.

Beneficiary Eligibility:

The beneficiary eligibility is extended to the public for the purposes of expanding existing public access programs or create new public access programs or provide incentives to improve habitat on enrolled program lands.

Proposals for grant money should be submitted to the local State or Tribal governments by owners and operators of privately-held farm, ranch, and forest land.

An eligible owner is one who has legal ownership of farmland. An eligible operator (individual, entity, or joint operation) who is determined by the FSA county committee to be in control of the farming operations on the farm.

For the purposes of receiving grant money, an appropriate wildlife habitat should be suitable or proper, as determined by the applicable State or tribal government, to support fish and wildlife populations in the area. A eligible farmland or ranch land means the sum of the Direct and Counter-Cyclical (DCP) cropland, forest, acreage planted to an eligible crop acreage and other land on the farm. Eligible forest land is at least 120 feet wide and one acre in size with at least 10 percent cover (or equivalent stocking) by live trees of any size, including land that formerly had such tree cover and that will be naturally or artificially regenerated. Forest land includes transition zones, such as areas between forest and nonforest lands that have at least 10 percent cover (or equivalent stocking) with live trees and forest areas adjacent to urban and built-up lands. Roadside, streamside, and shelterbelt strips of trees must have a crown width of at least 120 feet and continuous length of at least 363 feet to qualify as forest land. Unimproved roads and trails, streams, and clearings in forest areas are classified as forest if they are less than 120 feet wide or an acre in size. Tree-covered areas in agricultural production settings, such as fruit orchards, or tree-covered areas in urban settings, such as city parks, are not considered forest land. Eligible privately-held land means farm, ranch, or forest land that is owned or operated by an individual or entity that is not an entity of any government unit or Tribe.

Credentials/Documentation:

Funding priority will be given to proposals that will use the grant money in a public access program to address these objectives:

Maximize participation by landowners;

Ensure that land enrolled in the program has appropriate wildlife habitat;

Provide incentives to strengthen wildlife habitat improvement efforts on Conservation Reserve Enhancement Program (CREP) land;

Supplement funding and services from other federal, state, tribal government or private resource that is provided in the form of cash or in-kind services; and

Provide information to the public about the location of public access land. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. CCC will issue periodic Request for Applications (RFA) on the federal government grants portal www.grants.gov.

Applicants must file an original and one hard copy of the required forms and an application. A State or tribal government must include all proposed activity under a single application per RFA review period. Multiple applications from an applicant during a single RFA period will not be considered. The applicant is the individual State or Tribe; any application from any unit of the State or tribal government must be coordinated for a single submission of one application from the State or Tribe. Incomplete applications will not be considered for funding. However, incomplete applications may be returned, and may be resubmitted, if time permits.

Data furnished by grant applicants will be used to determine eligibility for the VPA-HIP benefits. Furnishing the data is voluntary; however, the failure to provide data could result in program benefits being withheld or denied.

The following forms must be completed, signed, and submitted as part of the application; other forms may be required, as specified in the applicable RFA:

1. Application for Federal Assistance;
2. Budget Information-Non-Construction Programs; and
3. Assurances-Non-Construction Programs.

Each application must contain the following elements; additional required elements may be specified in the applicable RFA:

Title page;
Table of contents;
Executive summary, which includes activities which provide a summary of the application that briefly describes activities proposed to be funded under this grant; objectives, funding, performance which includes the tasks to be accomplished, the amount of funding requested, how the work will be performed, whether organizational staff, consultants or contractors will be used, and whether other resources will be used.

Award Procedure:

Grants will awarded through a competitive RFA process. Before receiving grant funding, the grantee will be required to sign an agreement similar in form and substance to the form of agreement published within or as an appendix to the RFA. The agreement will require the grantee to commit to do all the following:

1. Take all practicable steps to develop continuing sources of financial support from other Federal, State, tribal government, or private resources;
2. Make arrangements for the monitoring and evaluation of the activities related to implementation of the public access program of the owners or operators that enroll farm, ranch, and forest land; and
3. Provide an accounting for the money received by the grantee.

The grantee will be required to monitor funds or services as specified and must agree to that monitoring before grant funds are awarded. The grantee must also certify that the grant funds and services will not be used for ineligible purposes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals will be handled according to 7 CFR parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for VPA-HIP will be available through FY 2012. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Grantees must provide to FSA a Financial Status Report listing expenditures according to agreed upon budget categories on a periodic basis as specified in the grant document. Annual performance reports that compare accomplishments to the objectives stated in the application that also identify all tasks completed to date and provide documentation supporting the reported results, if the original schedule provided in the work plan is not being met, the report must discuss the problems or delays that may affect completion of the project, and list objectives for the next reporting period, and discuss compliance with any special conditions on the use of award funds. CCC will incorporate performance criteria in grant award documentation and will regularly evaluate the progress and performance of grant awardees. Final project performance reports are due within 90 days of the completion of the project.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees must comply with the audit requirements of 7 CFR part 3052.

Records:

No Data Available.

Account Identification:

12-4336-0-3-336.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$16,667,000; FY 11 est \$16,667,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, Uniform Federal Assistance Regulations; 7 CFR Part 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 7 CFR Part 3017, Government wide Debarment and Suspension (non procurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; 7 CFR Part 3052, Audits of States, Local Governments and Non-profit Organizations

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kelly Novak, USDA, FSA, 14th & Independence Ave SW Stop XXXX, Washington, District of Columbia 20250 Email: kelly.novak@wdc.usda.gov Phone: 202-720-4053.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Incomplete applications will not be evaluated. All applications will be evaluated using the evaluation criteria and scored in accordance with the RFA. All eligible applicants will be ranked in order and a list will be provided to the FSA Deputy Administrator along with funding level recommendations.

10.099 CONSERVATION LOANS

Conservation Loans

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

The Consolidated Farm and Rural Development Act, Title XIV, Part A, Section 304, 7 U.S.C 1924, as amended by The Food, Conservation, and Energy Act of 2008, Title V, Part A, Section 5002, Public Law 110-296, 7 U.S.C 1926.

OBJECTIVES:

To provide financial assistance to eligible applicants for implementing qualified conservation practices as outlined in a conservation plan developed and approved by the Natural Resources and Conservation Services (NRCS).

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Loan funds may be used for conservation activities included in a conservation plan approved by NRCS.

Applicant Eligibility:

An applicant must (1) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on more than three occasions prior to April 4, 1996 to receive a guaranteed loan, and for a direct loan, must not have received debt forgiveness from the Agency on any direct or guaranteed loan; (2) be a U.S. citizen, non-citizen national or qualified alien; (3) possess the legal capacity to incur the obligations of the loan; (4) for a direct loan, have the necessary education and/or experience, training, and managerial ability to operate a farm; and (5) for a direct loan, fulfill the Agency's borrower training requirements. If the applicant is an entity, it must be controlled by farmers engaged primarily and directly in farming in the U.S., after the loan is made.

Unlike other Agency loan programs, Conservation Loans are not limited to applicants who are unable to obtain sufficient credit from other sources at reasonable rates and terms or to those who are the owner or operator of a not larger than family size farm. However, the applicant's operation must realistically project the ability to repay the loan. Assistance is authorized for eligible applicants in the 50 States, the Commonwealth of the Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and, to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

Applicants are the direct beneficiaries and must meet the applicant eligibility requirements. Families, individual, and entities who are farmers are the beneficiaries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The following forms must be submitted by applicants:

For Direct Loans
FSA-2001 Request for Direct Loan Assistance
Must be submitted with supporting information at the applicant's local FSA

county office.

For Loan Guarantees

FSA-2211 Application for Guarantee or FSA-2212 Preferred Lender Application for Guarantee

Must be submitted with supporting information with the prospective lender

Applicants for direct and guaranteed loans must provide an approved NRCS conservation plan that includes the conservation measure for which loan funds are requested.

Award Procedure:

Certification as to eligibility is made by the authorized agency official and an approval determination is made by the authorized agency official based on loan feasibility, soundness, and adequate security.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The authorized agency official must approve or disapprove a completed guaranteed application within 30 days, and a completed direct loan application within 60 days.

Appeals:

Applicants for direct and guaranteed loans may appeal an adverse decision. The applicant is given an opportunity to appeal the decision to the National Appeals Division. The applicant may in the final step of the appeal process ask for a review of the decision by the Director of the National Appeals Division in Washington, DC.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred since the denial decision.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. The selected criteria identify essential elements considered necessary to compare the needs of the various States, and to make the best use of available program funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are scheduled for repayment over periods up to 20 years depending on the type of security available. The interest rate for guaranteed loans is negotiated between the lender and borrower. The interest rate for direct loans is determined by the Secretary of Agriculture. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-4140-0-3-351 - Guaranteed Conservation Loans; 12-1140-0-1-351 - Direct Conservation Loans.

Obligations:

(Direct Loans) FY 09 \$0; FY 10 est \$75,000,000; FY 11 est \$75,000,000 - USDA's Farm Service Agency makes and guarantees conservation loans. FY 2010 funding for direct conservation loans \$75,000,000. FY 2011 estimated funding for direct conservation loans \$75,000,000. (Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$75,000,000; FY 11 est \$75,000,000 - USDA's Farm Service Agency makes and guarantees conservation loans. FY 2010 funding for guaranteed conservation loans \$75,000,000. FY 2011 estimated funding for guaranteed conservation loans \$75,000,000.

Range and Average of Financial Assistance:

Maximum indebtedness for direct loans, combined; farm ownership, conservation, soil and water, and recreation \$300,000.

Maximum indebtedness for guaranteed loans combined: farm ownership, conservation, and soil and water loan indebtedness of \$1,112,000 (for FY 2010, amount adjusted annually for inflation).

Estimated range and average of financial assistance is non applicable for FY 2010. New Program being implemented beginning October 1, 2010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 762 and 764
FSA Handbooks 2-FLP and 3-FLP
FSA Fact Sheets

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate FSA State Office listed in Appendix IV of the Catalog. (What catalog).

Headquarters Office:

Connie Holman, USDA, FSA, Deputy Administrator For Farm Loan Programs, Loan Making Division/ Funds Management Branch, 1400 Independence Ave SW, Stop 0522, Washington, District of Columbia 20250 Email: connie.holman@wdc.usda.gov Phone: (202) 690-0756 Fax: (202) 720-6797.

Website Address:

<http://www.fsa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.153 MARKET NEWS

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Cotton Statistics and Estimates Act, 7 U.S.C. 471- 473, 473b, 475-476; Tobacco Inspection Act, 7 U.S.C. 511h; Agricultural Marketing Act of 1946, 7 U.S.C. 1622k; Tobacco Statistics Act, 7 U.S.C. 501-508; Naval Stores Act, 7 U.S.C. 91-99; Cotton Futures Act, 7 U.S.C. 15b; Peanut Statistics Act, 7 U.S.C. 951-957; Food Security Act of 1985, as amended.

OBJECTIVES:

To provide timely and accurate information on prices, demand, movement, volume, and quality on all major U.S. agricultural commodities.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The information can be used by anyone as a basis for judging prevailing commodity values. Market news information widely distributed through a variety of electronic sources as well as fax and paper copies.

Applicant Eligibility:

Any public or private organization, or individual, including those located in the U.S. Territories, may subscribe to existing market news reports or bulletins pertaining to specific agricultural commodities.

Beneficiary Eligibility:

Anyone availing themselves of this service.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for Market News should be made to the appropriate commodity division of the AMS field office (see Appendix IV of the Catalog for listing of office locations).

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in subscription. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 09 \$33,117,000; FY 10 est \$34,222,000; FY 11 est \$34,761,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

MB-40, "The Federal- State Market News Services;" MB-41, "Market News Service on Cotton;" MB-61, "The Market News Service on Fruits, Vegetables, Ornamental and Specialty Crops;" MB-44, "The Market News Service on Grain;" MB-63 "Market News Service on Dairy, MB-60 Market News Service and Poultry Products;" MB-43, "Market News Service for Tobacco and Naval Stores;" Annual Market Statistical Summaries are available for sale only through the AMS commodity divisions.

Regional or Local Office:

See Regional Agency Offices. AMS field offices are listed in Appendix IV of the Catalog.

Headquarters Office:

David Shipman, 1400 Independence Ave SW , Washington, District of Columbia 20250 Phone: (202) 720-4276.

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.700 National Agricultural Library; 10.950 Agricultural Statistics Reports; 11.001 Census Bureau Data Products; 11.110 Manufacturing

and Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.155 MARKETING AGREEMENTS AND ORDERS

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Agreement Act of 1937, as amended, 7 U.S.C. 601, 602, 608a-e, 612, 614, 624, 671-674; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203, 7 U.S.C. 4501- 4513; Agriculture and Food Act of 1981, 7 U.S.C. 2242a.

OBJECTIVES:

Marketing Agreements and Orders are designed to stabilize market conditions and improve returns to producers through the establishment of minimum prices, regulating the quality and quantity of commodities sold through commercial channels, and providing market promotion.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The provisions of orders and agreements are designed specifically to meet the marketing and economic problems of the commodity or area covered. For dairy products, the orders establish orderly marketing conditions in the sale of milk by dairy farmers to handlers, whereas fruit, vegetable, nut, and grass seed, are established to regulate the quantity and quality of products sold in commercial channels.

Applicant Eligibility:

Marketing orders are issued by the Secretary of Agriculture only after a public hearing where milk, fruit and vegetable producers, marketers, and consumers testify, and after farmers vote approval through a referendum. Growers of certain fruits, vegetables, and specialty crops (like nuts, raisins, olives, and hops). Dairy farmers are the primary applicants.

Beneficiary Eligibility:

The beneficiaries are producers of milk, fruit and vegetable products.

Credentials/Documentation:

Evidence (usually hearings) required indicating that agreement or order will assist in the orderly marketing of a commodity. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Made in the form of a letter to the Secretary of Agriculture fully documenting the need for an agreement or order.

Award Procedure:

Decision to establish or not to establish an agreement and order is made by the Secretary of Agriculture after public hearings and referendums are conducted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Subject to time required to hold public hearings, investigations and to conduct a referendum. Approximately 1 year after start of public hearings.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration of agreement and order. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order. Quarterly and yearly financial and statistical reports are to be made to the applicable AMS commodity division by producers or handlers as provided for by each agreement and order. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Financial and program audits pertaining to marketing agreements and order transactions are made periodically.

Records:

Financial and statistical records relating to the operation of the agreement and order are to be maintained for 3 years.

Account Identification:

12-5209-0-2-605.

Obligations:

(Salaries) FY 09 \$17,124,000; FY 10 est \$20,056,000; FY 11 est \$20,283,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

AH-421, Compilation of Agricultural Marketing Agreement Act; PA947, Can a Marketing Order Help?; PA-1095, Marketing Agreements and Orders for Fruits and Vegetables; AMS-565, Sources of Milk for Federal Order Markets by State and County; AMS-559, Questions and Answers on Federal Milk Marketing Orders; MB-27, The Federal Milk Marketing Order Program; SB-625, Federal Milk Order Market Statistics, Annual Summary; SB-624, Dairy Market Statistics, Annual Summary; MB-63, Market News Service on Dairy Products; How to Buy Dairy Products, HG-201; How to Buy Cheese, HG-193; How to Buy Butter, HG-148; Dairy Products Grading Services, MB-69; Judging and Scoring Milk and Cheese, FB-2259.

Regional or Local Office:

None.

Headquarters Office:

David Shipman, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-4276.

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.051 Commodity Loans and Loan Deficiency Payments; 10.500 Cooperative Extension Service; 36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**10.156 FEDERAL-STATE MARKETING IMPROVEMENT PROGRAM
FEDERAL AGENCY:**

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, Section 204b, 7 U.S.C. 1623(b).

OBJECTIVES:

To assist in exploring new market opportunities for U.S. food and agricultural products and to encourage research and innovation aimed at improving the efficiency and performance of the marketing system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Proposals may deal with barriers, challenges or opportunities manifesting at any stage of the marketing chain including direct, wholesale, and retail. Proposals may involve small, medium or large scale agricultural entities but should potentially benefit multiple producers. Proprietary proposals that benefit one business or individual will not be considered. Proposals that address issues of importance at the State, regional or national level are appropriate for FSMIP. FSMIP also seeks unique proposals on a smaller scale that may serve as pilot projects or case studies useful as a model for others. Of particular interest are proposals that reflect a collaborative approach between the States, academia, the farm sector and other appropriate entities and stakeholders.

Applicant Eligibility:

State Departments of Agriculture, State Agricultural Experiment Stations and other appropriate State agencies.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

A grant agreement is entered into between the administering Federal agency and the State agency that submits the application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit forms SF-424 and SF-424A, a narrative description of the proposal, and a supplemental budget. Supporting documents such as letters of support may be submitted.

Award Procedure:

The requests are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Grantees are notified of the amount awarded by allotment letter. Grant payments are made on a reimbursable basis.

Deadlines:

Feb 11, 2009 Mid-February.

Range of Approval/Disapproval Time:

> 180 Days. 4-5 months from the application deadline.

Appeals:

No formal appeal procedure.

Renewals:

> 180 Days. Awards are generally for one year. Additional time to complete the project may be requested.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50.0%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments are made to the States on an as needed, reimbursable basis over the grant period. Advance payments may be requested. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

States must keep all records relating to the grant for 3 years.

Account Identification:

12-2501-0-1-352.

Obligations:

(Project Grants (for specified projects)) FY 09 \$1,672,000; FY 10 est \$1,684,000; FY 11 est \$2,634,000

Range and Average of Financial Assistance:

\$21,000 to \$135,000. Average: \$51,385.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 23 projects were funded out of 62 submitted. Fiscal Year 2010: In fiscal year 2010, 22 projects were funded. Fiscal Year 2011: In fiscal year 2011, it is estimated that 35 projects will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

A request for proposals is announced each fall via agency press release, Federal Register notice, a letter to state departments of agriculture, and the program web site. Additional information about the Federal-State Marketing Improvement Program as well as links to final project reports are available at the program web site.

Regional or Local Office:

None.

Headquarters Office:

Janise Zygmunt, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-8043.

Website Address:

<http://www.ams.usda.gov/tmd/fsmip.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Project selection is based on several factors: relative need or importance of the problem to be addressed; benefits likely to be derived in relation to the funds requested; level of state and other nonfederal support; potential impact on other states or issues of national importance; measures to be used in evaluating the impact of the project; and unique innovative features of the project.

10.162 INSPECTION GRADING AND STANDARDIZATION

(Agricultural Fair Practices Act)

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1622a-J, 1-n; Cotton Statistics and Estimates Act, 7 U.S.C. 473d; Cotton Futures Act, 7 U.S.C. 15b; Cotton Standards Act, 7 U.S.C. 51-65; Naval Stores Act, 7 U.S.C. 91-99; Tobacco Inspection Act, 7 U.S.C. 511 i-q; Wool Standards Act, 7 U.S.C. 415b-d; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C. 1031-1056; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 4501-4513; Tobacco Statistics Act, 7 U.S.C. 501-508; Food Security Act of 1985, as amended.

OBJECTIVES:

To develop and apply standards of quality and condition for agricultural

commodities; to participate and assist in the development of international agricultural standards; and to conduct quarterly inspections of egg handlers and hatcheries.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

USDA grades are used by the owners of agricultural commodities and by dealers in these commodities to Hatcheries and shell egg handlers, except for producer-packers with an annual production from 3,000 hens or less packing for the retail consumer are required to be checked quarterly to determine the disposition of certain undergrade eggs.

Applicant Eligibility:

Any owner of or dealer in agricultural commodities who (a) has a financial interest in the commodity to be graded and (b) is located within the United States and its Territories. All hatcheries and shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located within the U.S. or its Territories.

Beneficiary Eligibility:

Buyers and sellers of agricultural commodities. Shell egg handlers having an annual production from 3,000 or more hens who pack for the retail consumer and are located in the U.S. or its Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Request for grading of a commodity must be submitted to local AMS grading office in writing. Eligible hatcheries apply for registration by contacting: Chief, Poultry Grading Branch, Agricultural Marketing Service, Department of Agriculture, Washington, DC 20250.

Award Procedure:

Eligible hatcheries and shell egg handlers must complete registration forms.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

In cases in which applicants do not agree with the grade given, they may appeal for a review of the grade to the local AMS office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration of agreements. See the following for information on how assistance is awarded/released: N/A.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-2500-0-1-352; 12-8015-0-7-352.

Obligations:

(Salaries) FY 09 \$199,976,000; FY 10 est \$219,406,000; FY 11 est \$223,872,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 26-32, 41-70, 160- 171, 205; AH-533, "USDA Standards for Food and Farm Products;" Marketing Bulletin No. 37, "The Story of Cotton;" "Annual Report on Tobacco Statistics, AH-566, The Classification of Cotton;" Misc. Pub. No. 867, "Tobacco in the U.S.;" quarterly report-- "Tobacco Stocks Reports as of Jan. 1, April 1, July 1, and October 1;" MS-82, "Cotton Testing Service;" AMS-180 MB-41, "Market News Service on Cotton;" MB-43, 7 CFR 2851, 2852, 2853, 2855, 2856, 2858, 2870, 28171; AH-341, "USDA Standards for Food and Farm Products." AH-31, "Poultry Grading Manual." AH-75, "Egg Grading Manual." Egg and Egg Products Inspection Regulations (7 CFR Part 2859); POB-FL-33, Tobacco Market Review for Flue-cured; POB-LA-34, Tobacco Market Review for Burley; POB-LA-33, Tobacco Market Review for Fire and Dark Air.

Regional or Local Office:

See Regional Agency Offices. Local Agricultural Marketing Service inspection, grading, and classing offices are listed in Appendix IV of the Catalog.

Headquarters Office:

David Shipman, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-4276.

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.163 MARKET PROTECTION AND PROMOTION

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946, 7 U.S.C. 1621-1627, 7 U.S.C. 2101-2119; Egg Research and Consumer Information Act, 7 U.S.C. 2701-2718; Export Apple and Pear Act, 7 U.S.C. 581-590; Export Grape and Plum Act, 7 U.S.C. 591-599; Federal Seed Act, 7 U.S.C. 1551-1611; National Wool Act of 1954, as amended, 7 U.S.C. 1787; Plant Variety Protection Act, 7 U.S.C. 2321-2331, 2351-2357, 2371-2372, 2401- 2404, 2421-2427, 2441-2443, 2461-2463, 2481-2486, 2501-2504, 2531-2532, 2541-2545, 2561-2569, 2581-2583; 7 U.S.C. 4301-4319; Cotton Research and Promotion Act, 7 U.S.C. 2101-2118; Dairy and Tobacco Adjustment Act of 1983, 7 U.S.C. 5111; Agricultural Fair Practices Act, 7 U.S.C. 2301-2306; Capper Volstead Act, 7 U.S.C. 291-292; Potato Research and Promotion Act, 7 U.S.C. 2611-2627; Beef Promotion and Research Act of 1985, 7 U.S.C. 2901-2911; Pork Promotion, Research, and Consumer Information Act of 1985, 7 U.S.C. 1612-1631; Dairy Research and Promotion Act, 7 U.S.C. 4501-4513, 4532; Watermelon Research and Promotion Act, 7 U.S.C. 4901-4916; Honey Research Promotion and Consumer Information Act, 7 U.S.C. 4601-4612; Soybean Promotion, Research and Consumer Information Act of 1990, 7 U.S.C. 6301-6311; Mushroom Promotion, Research and Consumer Information Act of 1990, 7 U.S.C. 6101-6112; Fluid Milk Promotion Act of 1990, 7 U.S.C. 6401-6417; Organic Foods Production Act of 1990, 7 U.S.C. 6501-6522; Fresh Cut Flowers and Fresh Cut Greens Promotion and Information Act of 1993, 7 U.S.C. 6801- 6814; Popcorn Promotion Research, and Consumer Information Act, 7 U.S.C. 7481-7491; National Kiwifruit Research, Promotion, and Consumer Information Act, 7

U.S.C. 7461-7473.

OBJECTIVES:

The Federal Seed Program prevents mislabeled seed from moving in interstate commerce by providing for random sampling of seeds to insure accurate labeling of seed characteristics. The Plant Variety Protection Program offers legal protection to developers of new varieties of plants which reproduce by providing the equivalent of a patent to the plant's developer. The Research and Promotion Programs provide for the collection of an assessment for each commodity covered by statutes to enable the commodity industries to carry out research and promotion activities. It is the responsibility of the Agricultural Marketing Service to assure responsible use of these assessments in meeting the research and promotion objective. The Dairy and Tobacco Adjustment Act authorized the establishment of a National dairy promotion research and nutrition education program. To eliminate deceptive, unfair and fraudulent trade practices in the processing and marketing of food and agricultural products; provide assistance to those striving to achieve this objective. The Pesticide Data Program works with participating States to collect and analyze data on pesticide use and residue levels for selected commodities in the nation's food supply. This program provides statistically based data to Federal agencies for use in making policy decisions for regulatory and educational purposes.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROVISION OF SPECIALIZED SERVICES; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided to assure fair and open marketing distribution system for agricultural products.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, and universities may be eligible for cooperative agreements.

Beneficiary Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service.

Credentials/Documentation:

As provided for in applicable Acts as referred to in the Authorization Section above. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Procedures vary as provided for in applicable Acts. Contact appropriate area offices as listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided in agreements. See the following for information on how

assistance is awarded/released: As provided for in agreements.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

As provided for in applicable Acts.

Account Identification:

12-2500-0-1-352.

Obligations:

(Salaries) FY 09 \$39,738,000; FY 10 est \$43,217,000; FY 11 est \$47,770,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PA-655 "Export Fruit Acts-Questions and Answers," AMS-592, Facts About Naming and Labeling Varieties of Seed," "The Plant Variety Protection Act," requirements under the Federal Seed Act for Labeling treated Seed," 7 CFR 33-35, 101- 151,201-202; PA-1005, "Farmers Rights Under the Agricultural Fair Practices Act."

Regional or Local Office:

See Regional Agency Offices. Regional and local offices are listed in Appendix IV of the Catalog.

Headquarters Office:

David Shipman, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-4276.

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

10.155 Marketing Agreements and Orders; 36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.164 WHOLESALE FARMERS AND ALTERNATIVE MARKET DEVELOPMENT

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Marketing Act of 1946.

OBJECTIVES:

To provide technical assistance to States and municipalities interested in creating or upgrading markets and marketing facilities.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided in cooperation with other government agencies and private industry to reduce marketing costs.

Applicant Eligibility:

Other government agencies and private industry. State, trade associations, universities, and other nonprofit organizations are eligible to apply for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, marketing agencies, and general public.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, or OMB Circular No. A-21 for Educational Institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA. The standard application forms as furnished by the Federal agency must be used for this program.

Award Procedure:

As provided for in the Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in the Act.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in agreements. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

As provided for in the Agreement.

Account Identification:

12-2500-0-1-352.

Obligations:

(Advisory Services and Counseling) FY 09 \$2,910,000; FY 10 est \$2,903,000; FY 11 est \$3,843,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, the cumulative number of farmers markets established was 5,274. The number of educational and resource materials published and activities conducted was 35. Fiscal Year 2010: For 2010, the cumulative number of farmers markets established is estimated to be 6,123. The number of educational and resource materials and activities conducted is estimated to be 35. Fiscal Year 2011: For 2011, the cumulative number of farmers markets

established is estimated to be 6,166. The number of educational and resource materials and activities conducted is estimated to be 40.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Barbara Robinson, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 690-1305.

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.165 PERISHABLE AGRICULTURAL COMMODITIES ACT

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Perishable Agricultural Commodities Act of 1930, as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497.

OBJECTIVES:

To reduce unfair and fraudulent practices in the marketing of perishable agricultural commodities in interstate and foreign commerce. To prevent the destruction or dumping, without good and sufficient cause of farm produce received in interstate commerce by commission merchants and others and to require them truly and correctly to account for all farm produce received by them.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Assistance provided to assure a fair and open marketing distribution system for agricultural products. No specific restrictions.

Applicant Eligibility:

Business and industry or individuals may apply for a PACA license.

Beneficiary Eligibility:

Business and industry or individuals may apply for a PACA license.

Credentials/Documentation:

As provided for in the Perishable Agricultural Commodities Act, 1930 as amended, 7 U.S.C. 499a-4995; Produce Agency Act, 7 U.S.C. 491-497. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact appropriate area offices listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As provided for in applicable Acts.

Renewals:

As provided for in applicable Acts.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released:

As provided for in applicable Acts.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. As provided for in applicable Acts.

Records:

As provided for in applicable Acts.

Account Identification:

12-5070-0-2-352.

Obligations:

(Investigation of Complaints) FY 09 \$9,793,000; FY 10 est \$10,623,000; FY 11 est \$10,846,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Perishable Agricultural Commodities Act of 1930, and Regulations (other than rules of practice).

Regional or Local Office:

See Regional Agency Offices. Regional and local offices listed in Appendix IV of the Catalog.

Headquarters Office:

David Shipman, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-4276.

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.167 TRANSPORTATION SERVICES

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Agricultural Adjustment Act of 1938, 7 U.S.C 1281 et seq.; Agricultural Marketing Act of 1946, 7 U.S.C 1621; Agricultural Trade and Assistance Act of 1954, 7 U.S.C 1427,1431,1691; Rural Development Act of 1972, 7 U.S.C 2662-2663; Cooperative Marketing Act of 1926, 7 U.S.C 291-292; International Carriage of Perishable Foodstuff Act of 1982.

OBJECTIVES:

The Transportation Services program develops and promotes efficient agriculture transportation systems to help improve farm income, expand exports and meet the needs of rural America. The program provides technical and administrative direction, coordination, and leadership in the development and

execution of agricultural transportation policies. Transportation Services provides a basis for Federal-State decision-makers in regulatory, policy and legislative matters in order to assure the transportation needs of rural communities and agriculture.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; TRAINING

USES AND USE RESTRICTIONS:

Assistance provided where it is determined that there is a significant regional or policy issue with potentially broad implications.

Applicant Eligibility:

Any State government, public and private organization and institution, business and industry, or individual may apply for technical assistance or service. State, trade associations, universities, and nonprofit organizations may be eligible for cooperative agreements.

Beneficiary Eligibility:

Producers, processors, and general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No formal application procedure specified; informal correspondence or personal contact is permissible. Contact Deputy Administrator, Transportation and Marketing Programs, Agricultural Marketing Service, USDA.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As provided for in agreements. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

12-2500-0-1-352.

Obligations:

(Advisory Services and Counseling) FY 09 \$2,829,000; FY 10 est \$2,921,000; FY 11 est \$2,941,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Barbara Robinson, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 690-1305.

Website Address:

<http://www.ams.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.168 FARMERS' MARKET PROMOTION PROGRAM

(Farmers' Market Promotion Program)

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10605 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171.

OBJECTIVES:

To increase domestic consumption of agricultural commodities assisting in the improvement and expansion of domestic farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer market opportunities; and develop, or aid in the development of, new farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer infrastructures.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must improve and expand, or assist in the improvement and expansion of, domestic farmers markets, roadside stands, community-supported agriculture programs, and other direct producer-to-consumer market opportunities through innovative approaches to market operations and management, improving access to relevant marketing and financial information, and consumer-based education and market access. Eligible entities must apply for FMPP funds on behalf of direct marketing operations that include two or more farmers/farm vendors who produce and sell their own products through a common distribution channel. Use of funds are based on documented needs, excluding, however, routine operational expenses such as management salaries or other salaries associated with normal operation of farm markets/marketing entities, utility bills, and insurance premiums. FMPP grant funds cannot be used to pay for acquisition, repair, rehabilitation, or construction of a building or facility; acquisition of land; political or lobbying activities; or any activities prohibited by 7 CFR parts 3015 and 3019. Additional detail and justification must be provided for any purchase that is expected to exceed \$5,000.

Applicant Eligibility:

Agricultural cooperatives, local governments, nonprofit corporations, public benefit corporations, economic development corporations, regional farmers market authorities, and Tribal governments. Projects must be located within the 50 States or the District of Columbia.

Beneficiary Eligibility:

Direct marketing operations that include two or more farm vendors who produce and sell their own products through a common marketing channel, and consumers.

Credentials/Documentation:

Applicants must provide documentation as to how they meet the definition of an eligible entity. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit forms SF-424, SF-424A, and SF-424B, an eligibility statement, a narrative description of the proposal including project title, executive summary, goals, background, workplan and resource requirements, and expected results, a supplemental budget summary, and any supporting documents such as letters of support.

Award Procedure:

Applications are reviewed and approved or disapproved by the Agricultural Marketing Service in competition with other applications received. Grantees are notified of the amount awarded by allotment letter. Grant payments are made on a reimbursable basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 to 180 days from the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are not to exceed 18 months. Grant payments are made on an as-needed basis over the grant period. Advance payments may be requested. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No program reports are required. As provided for in agreements. Progress reports are required at the midpoint of projects approved for one year and at 6-month intervals for projects of longer duration. A final report of results and accomplishments and form SF 269A must be submitted no later than 90 days after the grant ends. As provided for in agreements. As provided for in agreements.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Grantees and sub-grantees must keep all records relating to the grant for 3 years from the date the SF-269A is submitted to the Agricultural Marketing Service.

Account Identification:

12-2501-0-1-352.

Obligations:

(Project Grants) FY 09 \$4,970,000; FY 10 est \$5,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

The maximum grant is \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 86 grants were awarded. Fiscal Year 2010: In FY 2010, 86 grants are estimated to be awarded. Fiscal Year 2011: In FY 2011, 165 grants are estimated to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for the Farmer Market Promotion Program are posted at <http://www.ams.usda.gov/tmd/MSB/index.htm> or may be obtained from the Farmers Market Promotion Program contact.

Regional or Local Office:

None.

Headquarters Office:

Errol Bragg, 1400 Independence Ave SW, Washington, District of Columbia 20250 Phone: (202) 720-8317.

Website Address:

<http://www.ams.usda.gov/tmd/MSB/index.ht>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the following criteria: need for the project, direct benefit to farmers/vendors, project innovation, degree of collaboration/partnerships and farmer/producer participation, quantitative evaluation and measurement of the project's impact, long-term project sustainability, transferability of project outcomes, and reasonableness of the budget.

10.169 SPECIALTY CROP BLOCK GRANT PROGRAM

(SPECIALTY CROP BLOCK GRANT PROGRAM)

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Specialty Crops Competitiveness Act of 2004, Section 101, 7 U.S.C. 1621 note.

OBJECTIVES:

To increase fruit, vegetable, and nut consumption and improve the competitiveness of United States specialty crop producers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must enhance the competitiveness of specialty crops.

Applicant Eligibility:

State department of agriculture, agency, commission, or department of a State government responsible for agriculture within the State.

Beneficiary Eligibility:

Producers, processors, marketing agencies, universities, and general public.

Credentials/Documentation:

A grant agreement is entered into between the Agricultural Marketing Service and the State department of agriculture that submits the application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. The State department of agriculture submits SF-424 and a State plan identifying the lead agency charged with the responsibility of carrying out the plan and indicating how the grant funds will be utilized to enhance the competitiveness of specialty crops.

Award Procedure:

Applications are reviewed by the Agricultural Marketing Service. Grantees are notified of the amount awarded by allotment letter. Grant payments are made by the electronic transfer system.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 to 180 days from the application deadline.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for 3 years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 1290.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are advanced in one lump sum. Applicants generally have 3 years to use the funds, but extensions may be granted. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No program reports are required. As provided for in agreements. Annual performance reports are required. A final performance report and financial report are required within 90 days after the expiration date of the grant period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The State is required to conduct an audit of the expenditures of specialty crop block grant funds in accordance with Government Auditing Standards not later than 60 days after expiration of the grant period. Not later than 30 days after completion of the audit, the State shall submit a copy of the audit results with an executive summary to the Agricultural Marketing Service.

Records:

The State shall retain financial and other records relating to the funds and these programs for a period of three years after expiration of the grant period or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

(Formula Grants) FY 09 \$7,796,000; FY 10 est \$843,000; FY 11 est \$0 - This program was not funded in FY 2009 or 2010 and is not expected to be funded in FY 2011.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Trista Etzig, 1400 Independence Ave. SW, Rm. 2077, Stop 0235, Washington, District of Columbia 20250 Email: trista.etzig@ams.usda.gov Phone: (202) 690-4942.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated based on the potential for enhancing the competitiveness of specialty crops.

10.170 SPECIALTY CROP BLOCK GRANT PROGRAM - FARM BILL

FEDERAL AGENCY:

Agricultural Marketing Service, Department of Agriculture

AUTHORIZATION:

Specialty Crops Competitiveness Act of 2004, Section 101, 7 U.S.C. 1621 note; Food, Conservation, and Energy Act of 2008 (Public Law 110-246).

OBJECTIVES:

To increase fruit, vegetable, and nut consumption and improve the competitiveness of United States specialty crop producers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must enhance the competitiveness of specialty crops. Funds under this program may be used to support horticulture, including turfgrass sod and herbal crops; horticulture is not eligible under 10.169. Use of funds will be monitored to ensure that funds are promoting specialty crops as defined under the Specialty Crop Competitiveness Act of 2004.

Applicant Eligibility:

State department of agriculture, agency, commission, or department of a State government responsible for agriculture within the State. Guam, American Samoa, U.S. Virgin Islands, and Northern Mariana Islands are eligible to receive grants under this program; they are not eligible under 10.169.

Beneficiary Eligibility:

State department of agriculture, agency, commission, or department of a State government responsible for agriculture within the State.

Credentials/Documentation:

A grant agreement is entered into between the Agricultural Marketing Service and the State department of agriculture that submits the application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The State department of agriculture submits SF-424 and a State plan identifying the lead agency charged with the responsibility of carrying out the plan and indicating how the grant funds will be utilized to enhance the competitiveness of specialty crops. In developing their plans, States must take into consideration the views of beginners and socially disadvantaged farmers and ranchers, and take steps to perform outreach to these groups. State plans should be developed through a competitive process in order to ensure maximum public input and benefit. The States should develop a transparent process of receiving and considering public

comment. States are encouraged to submit grant plans that include multi-State and regional project proposals.

Award Procedure:

Applications are reviewed by the Agricultural Marketing Service. Grantees are notified of the amount awarded by allotment letter. Grant payments are made by the electronic transfer system.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 to 180 days from the application deadline.

Appeals:

No formal appeal procedure.

Renewals:

Awards are for 3 years. Additional time to complete the project may be requested.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are advanced in one lump sum. Applicants have up to 3 years to use the funds. See the following for information on how assistance is awarded/released: As provided for in agreements.

Reports:

No program reports are required. As provided for in agreements. Annual performance reports are required. A final performance report and financial report are required within 90 days after the expiration date of the grant period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The State is required to conduct an audit of the expenditures of specialty crop block grant funds. Not later than 30 days after completion of the audit, the State shall submit a copy of the audit results with an executive summary to the Agricultural Marketing Service.

Records:

The State shall retain financial and other records relating to the funds and these programs for a period of three years after expiration of the grant period or until final resolution of any audit findings or litigation claims relating to this program.

Account Identification:

12-2501-0-1-352.

Obligations:

(Formula Grants) FY 09 \$48,990,000; FY 10 est \$55,000,000; FY 11 est \$55,000,000

Range and Average of Financial Assistance:

As provided for in applicable statutes.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Trista Etzig 1400 Independence Ave. SW, Rm. 2077, Stop 0235, Washington,

District of Columbia 20250 Email: trista.etzig@ams.usda.gov Phone: 2026904942

Website Address:

None.

RELATED PROGRAMS:

10.169 Specialty Crop Block Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.200 GRANTS FOR AGRICULTURAL RESEARCH, SPECIAL RESEARCH GRANTS

Special Research Grants (SRGP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 2 (c), Public Law 89-106, 7 U.S.C. 450i(c), as amended.

OBJECTIVES:

To carry out research, to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the nation and to facilitate or expand on-going State-Federal food and agricultural research programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Awards are generally limited to high priority problems of a regional or national scope. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Funds shall not be used for tuition remission.

Generally, Indirect Costs are generally not allowable for this program. Please refer to RFA, Part IV.D. Applications Funding Restrictions for specific details.

However, the following programs are EXCEPTIONS to the general rule:

- (1) Aquaculture Centers [7 U.S.C. 3322(d)]
- (2) Critical Agricultural Materials (Pub. L. 95-592)
- (3) Federal Administration - Research
- (4) Pest Management Alternatives (aka PMAP) and
- (5) Supplemental and Alternative Crops [7 U.S.C. 3319d (c)].

For the above-referenced five (5) EXCEPTIONS to the general rule, the following provisions are applicable:

Section 7132 of the Food, Conservation, and Energy Act of 2008 amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs for grants to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

The following Special Note is also applicable to the above-referenced five (5) exceptions to the Indirect Cost provisions:

****Special Note - Use of Indirect Costs as In-Kind Matching Contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

For Special Research Grants: State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations and individuals having a demonstrable capacity to conduct research activities to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual. Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at (888) 227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:
Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the

preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:
Specific deadline dates are announced on the Agencies website: <http://www.nifa.usda.gov>
Contact the headquarters, as appropriate, for application deadlines. See Section : 152 for specific details.
Further, dates for specific deadlines are announced in the RFA each fiscal year.
Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

Generally, NIFA does NOT require matching or cost sharing support for this program.

However, the Rangeland Research Program is an EXCEPTION to the general rule. The following represents pertinent information regarding the Rangeland Research Program [7 U.S.C. 3333(a)(1)]:

Pursuant to Section 1480 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 as amended [7 USC 3333(b)(1)], applicants are required to provide 50 percent matching funds from non-federal sources for all proposed Federal funds sought in the application. Non-federal matching contributions, such as cash and third party in kind, are accepted under this program as qualified by 7 USC 3015 and 7 USC 3019.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the

cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$126,979,367; FY 10 est \$137,208,585; FY 11 est \$23,500,934 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: prior obligations or grants are still being tracked and future funding may be approved or available later. Fiscal Year 2010: prior obligations or grants are still being tracked and future funding may be approved or available later. Fiscal Year 2011: prior obligations or grants are still being tracked and future funding may be approved or available later.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Competitive Programs, 1400 Independence Avenue, SW, STOP 2240, Washington, District of Columbia 20024 Phone: (202) 401-1761.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206 Grants for Agricultural Research_Competitive Research Grants; 10.207 Animal Health and Disease Research; 10.215 Sustainable Agriculture Research and Education; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.202 COOPERATIVE FORESTRY RESEARCH

McIntire-Stennis Cooperative Forestry Research Act (M/S) Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Executive Order Public Law 87-778 (76 Stat. 806, 16 U.S.C. 582a, et seq.) signed into law on October 10, 1962 is also known as the McIntire-Stennis Cooperative Forestry Research Act.

OBJECTIVES:

The purpose of this funding is to increase forestry research in the production, utilization, and protection of forestland; to train future forestry scientists; and to involve other disciplines in forestry research. Funding should also address the high priority issues described in the current M/S Strategic Plan: Sustaining Healthy and Productive Forests: An Investment in Americas Competitive Position in the Global Marketplace: (1) science of integration; (2) forest ecosystem services; (3) human attitudes and behaviors; (4) conflict, uncertainty, and decision-making; (5) technological advancements, productivity, and forest applications; and (6) urban ecosystems.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The McIntire-Stennis Cooperative Forestry Research Act (M/S) grant is used to assist all states in carrying out a program of state forestry research at state forestry schools and colleges and developing a trained pool of forest scientists capable of conducting needed forestry research, which should include: (1) ecological restoration; (2) catastrophe management; (3) valuing and trading ecological services; (4) energy conservation, biomass energy and bio-based materials development; (5) forest fragmentation; (6) carbon sequestration and climate change; and (7) ways of fostering healthy forests and a globally competitive forest resources sector. Additionally, M/S funds should be allocated to the following high priority issues: (1) science of integration (ecosystem or landscape approaches including interdisciplinary multi-state projects); (2) forest ecosystem services; (3) human attitudes and behaviors; (4) conflict, uncertainty, and decision-making; (5) technological advancements (biotechnology, nanotechnology and geospatial technology), productivity, and forest applications; and (6) urban ecosystems. Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Applications may be submitted by State-certified Schools of Forestry as stipulated in accordance with Section 2 of Public Law 87-788, McIntire-Stennis Act. Section 7412 of the Food, Conservation, and Energy Act of 2008 amended Section 2 of the McIntire-Stennis Cooperative Forestry Act (16 U.S.C. 582a-1) to include the 1890 Land-grant Institutions and made this change effective October 1, 2008. (1) Approved CSREES M/S Projects, CSREES will fund the M/S Program for authorized activities. Funds must be expended on approved M/S projects. (2) Cost-Sharing and Matching funds are mandated in Section 4 of the McIntire-Stennis Act (16 U.S.C. 582a-3). 7 CFR 3015.50-56 prescribes the standards applicable to determining the allowability of cash and in-kind contributions for matching funds. Matching funds also must be expended on approved M/S projects. Formula grant recipients are to provide matching (as detailed in Part VIII.A.6 of the Formula Grant Opportunity (FGO)), either cash or in-kind, on a dollar-for-dollar basis (100 percent) on all Federal funds allotted. Eligible institutions located in insular areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) are not required to match amounts at or below \$100,000, if the allocation is below \$200,000. (3) Waiver of Indirect Costs. Only applicable to Insular Areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) for amounts at or below \$200,000. (4) Indirect Costs and Tuition Remission. In accordance with Section 1473 of the National

Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as an M/S formula grant or matching expenditure. Fully discretionary.

Applicant Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available to Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana Islands, and Micronesia.

Beneficiary Eligibility:

Funds are appropriated by Congress for distribution to State institutions certified as eligible by a State representative designated by the Governor of each State. Funds are apportioned among States by the Secretary of Agriculture after consultation with a National Advisory Council representing the State-certified forestry schools and other groups concerned with forestry research. This program is also available in Guam, Puerto Rico, the Virgin Islands, American Samoa, Northern Mariana, and Micronesia.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual. Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, CSREES Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (FGOs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this FGO, if such information has not been provided previously under this or another CSREES program. CSREES will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

NIFA Applications (R&R Other Project Information - Section 4.4) requires a response to the question regarding "Actual or Potential Impact on the Environment." For this program the response is "No.". Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.Gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. All individual MS projects, as well as the annual Programs of Research, are reviewed and approved by the National Program Leader (NPL). Funds are not released on a quarterly basis unless an annual Program of Research is approved by the NPL.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Public Law 87-778. 76 Stat. 806, 16 U.S.C. 582a, et seq. Matching Requirements: Percent: 100%. Funding is provided to the States through a formula-based allocation process which depends on several factors. First, a base amount (approximately \$25,000) is allocated to each State; however, this base amount is excluded from the formula. The balance of funding to each State is determined through a ranking process and dependent upon the following three factors: (1) forty percent of the remaining balance is allocated based on the area of non-Federal commercial forest land; (2) forty percent is allocated based upon the volume of timber cut annually from stock; and (3) twenty percent is allocated based on the total expenditures for forestry research from non-Federal sources. Funds are then distributed to the eligible State-certified Institutions within the State as determined by the Governors designee. Formula grant recipients are to provide matching [as detailed in Part VIII.A.6 of the Formula Grant Opportunity (FGO)], either cash or in-kind, on a dollar-for-dollar basis (100 percent) on all Federal funds allotted. Eligible institutions located in insular areas (i.e., American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the Virgin Islands) are not required to match amounts at or below \$100,000, if the allocation is below \$200,000. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

M/S funds are expected to be fully expended in the fiscal year (FY) of appropriation; however funds may be carried forward one (1) additional fiscal year (FY). These carryover funds must be fully expended by September 30 of the following year. No prior approval to carryover these funds is required from NIFA. Method of awarding/releasing assistance: quarterly.

Reports:

Institutions are expected to submit an annual Program of Research, a listing of all approved McIntire-Stennis projects for said institution. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; CSREES-2008, Assurance Form; and Project Proposal through the Current Research Information System prior to the initiation of each M/S project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a CRIS Form AD-421, Accomplishments Report, annually for each eligible project. Reports from institutions reporting on a calendar year shall be submitted by April 1, 2010 for the preceding calendar year. Reports from institutions reporting on a fiscal year shall be submitted by February 1, 2010 for the preceding fiscal year.

An Accomplishments Report, CRIS Form AD-421, shall be submitted to CSREES for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Financial and Staff Support Report, shall be submitted to CSREES annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and are to be submitted by February 1, 2010.

Institutions are required to submit the SF-425, Federal Financial Report, per Agency instructions. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via CSREES Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, CSREES Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Financial Operations Branch (FOB)
Formula Grants Section (F/S)
Office of Extramural Programs (OEP)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrantsquestions@nifa.usda.gov. Grantees also are required to submit annual and summary progress reports via CSREES Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, CSREES Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB

Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$26,004,126; FY 10 est \$27,389,470; FY 11 est \$27,389,470 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Specific details will be provided by Program Unit at a future date. Fiscal Year 2010: Specific details will be provided by Program Unit at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the McIntire-Stennis Cooperative Forestry Research Program, (manual is currently under review); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Natural Resources and Environment 1400 Independence Avenue, SW, STOP 2210 , Washington , District of Columbia 20250-2210 Phone: (202) 720-4318 and 401-4190 Fax: (202) 401-1706 .

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.203 PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT

Hatch Act and The Hatch Act of 1887 aka

PAYMENTS TO AGRICULTURAL EXPERIMENT STATIONS UNDER THE HATCH ACT:

(A) The Hatch Act of 1887 (Regular Research)

(B) The Hatch Act of 1887 (Multistate Research Fund)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Hatch Act of 1887, as amended; Public Law 84-352, 7 U.S.C. 361a-361i; Education Amendments of 1972, Section 506, Public Law 92-318; Public Law 93-471; Public Law 95-113; Education Amendments of 1980, Section 1361, Public Law 96-374, 7 U.S.C. 301; Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185.

, Public Law 084-352, 7 U.S.C 361.

OBJECTIVES:

To support agricultural research at State Agricultural Experiment Stations. Its purpose is to promote efficient production, marketing, distribution, and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life. Up to 25 percent (25%) of funds to be used for integrated cooperative research and extension activities.

TWO (2) PROGRAMS ARE FUNDED UNDER CFDA 10.203. SPECIFIC OBJECTIVES ARE AS FOLLOWS:

(A) The Hatch Act of 1887 (Regular Research)

The Hatch Act of 1887 provides the basis for Federal funding for agricultural research activities at the State Agricultural Experiment Stations in the 50 States, the District of Columbia, and the Insular Areas.

State Agricultural Experiment Stations are eligible for funds appropriated under this Act according to the following formula: The previous years base plus the current year increase as follows:

Three percent (3%) for Federal Administration,

Twenty percent (20%) equally,

Twenty-six percent (26%) in an amount which bears the same ratio to the total amount to be allotted as the rural population of the State bears to the total rural population of all the States as determined by the last preceding decennial census;

Twenty-six percent (26%) t in an amount which bears the same ratio to the total amount to be allotted as the farm population of the State bears to the total farm population of all the States as determined by the last preceding decennial census; and

Twenty-five percent (25%) for the Hatch Multistate Research Fund.

(B) The Hatch Act of 1887 (Multistate Research)

Not less than twenty-five percent (25%) of the total Hatch Act of 1887 funding is allotted to the States for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State. These funds are designated as the Multistate Research Fund, State Agricultural Experiment Stations. Funds are allocated on a prorata basis and allocations are adjusted to support national and regional projects. These projects and their associated budgets are reviewed and approved annually.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

USES:

Money appropriated pursuant to this Act shall also be available, in addition to meeting expenses for research and investigations conducted under authority of Section 2, for printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research. The State Agricultural Experiment Stations are authorized to plan and conduct any research authorized under Section 2 of this Act in cooperation with each other and such other agencies and individuals as may contribute to the solution of the agricultural problems involved, and moneys appropriated pursuant to this Act shall be available for paying the necessary expenses of planning, coordinating, and conducting such cooperative research. Up to twenty-five percent (25%) of funds to be used for integrated cooperative research and extension activities.

(A) The Hatch Act of 1887 (Regular Research)

This grant is used to support continuing agricultural research at institutions eligible to receive funds under the Act approved July 2, 1862 (12 Stat. 503, as amended) (1862 Land-Grant Institutions), as well as State agricultural

experiment stations. Funds appropriated under this section shall be used to conduct original and other researches, investigations, and experiments bearing directly on and contributing to the establishment and maintenance of a permanent and effective agricultural industry of the United States, including researches basic to the problems of agriculture in its broadest aspects, and such investigations as have for their purpose the development and improvement of the rural home and rural life and the maximum contribution by agriculture to the welfare of the consumer, as may be deemed advisable, having due regard to the varying conditions and needs of the respective States. Further, funds may be used printing and disseminating the results of such research, retirement of employees subject to the provisions of an Act approved March 4, 1940 (54 Stat. 39), administrative planning and direction, and for the purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting research.

(B) The Hatch Act of 1887 (Multistate Research)

In addition to the uses applicable to the Regular Research funds, Multistate Research funds must be used for cooperative research employing multidisciplinary approaches in which a State agricultural experiment station, working with another State agricultural experiment station, the Agricultural Research Service, or a college or university, cooperates to solve problems that concern more than one (1) State.

These funds are known as the Hatch Multistate Research Fund (MRF).

RESTRICTIONS:

(A) The Hatch Act of 1887 (Regular Research)

(1) Approved NIFA Hatch Projects

Hatch Federal funding must be used on approved Hatch projects including Hatch Multistate Research Fund (MRF) projects.

(2) Matching

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

NIFA may consider and approve matching waivers submitted by State Agricultural Experiment Stations in the Insular Areas and the District of Columbia.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Hatch formula grant expenditures.

(B) The Hatch Act of 1887 (Multistate Research)

(1) Approved NIFA Hatch MRF Projects

Hatch MRF Federal funding must be used on approved Hatch MRF projects.

(2) Matching

Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of

non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. ... The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

NIFA may consider and approve matching waivers submitted by State Agricultural Experiment Stations in the Insular Areas and the District of Columbia.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Hatch MRF formula grant expenditures. Section 3(d)(1) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for agricultural research and for the establishment and maintenance of facilities for the performance of the research. However, section 3(d)(4) of the Hatch Act of 1887 (7 U.S.C. 361c(d)(4)) provides that Effective beginning for fiscal year (FY) 2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of American Samoa, the Commonwealth of Puerto Rico, Guam, Micronesia, Northern Mariana Islands, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than fifty percent (50%) of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. ... The Secretary may waive the matching fund requirement [of fifty percent (50%)] for any fiscal year (FY) if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year (FY).

Section 7403 of the Food, Conservation, and Energy Act of 2008 amended section 3(d)(4) of the Hatch Act to subject the District of Columbia to the same matching requirements as the insular 1862 Land-Grant Institutions upon enactment (May 22, 2008).

Applicant Eligibility:

(A) The Hatch Act of 1887 (Regular Research)

Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(B) The Hatch Act of 1887 (Multistate Research)

Hatch Act funds are provided for agricultural research on an annual basis to the State Agricultural Experiment Stations (SAESs) which were established under the direction of the college or university or agricultural departments of the college or university in each State in accordance with the act approved July 2, 1862 (7 U.S.C. 301 et seq.); or such other substantially equivalent arrangements as any State shall determine.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

Beneficiary Eligibility:

Funds under the Hatch Act are allocated in accordance with the statutory formula stated in the Act to the State agricultural experiment stations of the 50 States, the District of Columbia, Guam, Puerto Rico, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. These institutions have been identified and declared eligible by their respective State legislatures.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one (1) free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (FGOs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one (1) time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this FGO, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one (1) of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372.

Pre-award form submissions must be submitted to the Hatch as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Current Research Information System (CRIS), and together satisfy all legislative and regulatory

pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

Specific project details and pertinent information should be entered in the Current Research Information System (CRIS) as in prior years. CRIS is the U.S. Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part VI.C. of the Formula Grant Opportunity (FGO) for specific CRIS requirements. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. State Five (5) year plans of work must be submitted to and approved by NIFA before funds are released. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.Gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

The Office of Planning and Accountability and CRIS Office will notify the OEP Financial Operations Branch regarding each institutions compliance with the Plan of Work reporting requirements [i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results]. If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Financial Operations Branch will notify the institutions when all requirements have been met or approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Section :094 - Deadlines:

Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title The Hatch Act of 1887, Public Law 084-352. 7 U.S.C. 361c(d)(1)

Formula: Payments to States for fiscal year (FY) 1955 was a fixed base and sums appropriated in excess of 1955 level use the following fund allocation statistical factors:

- (1) Twenty percent (20%) allotted equally to each State;
- (2) Not less than Fifty-two percent (52%) to States as follows: One-half (1/2) in an amount which bears the same ratio as the rural population of the State bears to the total rural population of all the States (and the source is the 1990 Decennial Census); and one-half (1/2) in an amount which bears the same ratio as the farm population of the State bears to the total farm population of all the States (and the source is the 1990 Decennial Census); and
- (3) At least Twenty-five percent (25%) to States for cooperative research in which two (2) or more State agricultural experiment stations are cooperating to

solve problems of agriculture in more than one (1) State.
Matching Requirements: Percent: 100%. (A) The Hatch Act of 1887 (Regular Research) (B) The Hatch Act of 1887 (Multistate Research)

100 percent. However, the state agricultural experiment stations in the District of Columbia and the insular areas shall provide non-Federal matching funds equal to not less than 50% of the formula funds distributed by the Secretary of Agriculture. This requirement may be waived by the Secretary.

(B) The Hatch Act of 1887 (Multistate Research)
Required Multistate Match amounts are disclosed in FGO.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Hatch funds are expected to be fully expended in the fiscal year (FY) of appropriation; however, funds may be carried over for up to one (1) year after the end of the year for which they were appropriated. No prior approval is required to carry over funds for one (1) additional year; however, no additional carryover requests may be considered or approved, as no legislative authority to do so is provided. Method of awarding/releasing assistance: quarterly.

Reports:

Institutions are expected to submit an annual Program of Research, a listing of all approved Hatch projects for said institution. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; NIFA-2008, Assurance Form; and Project Proposal through the Current Research Information System prior to the initiation of each Hatch project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a CRIS Form AD-421,

Accomplishments Report, annually for each eligible project. Reports from institutions reporting on a calendar year shall be submitted by April 1 for the preceding calendar year. Reports from institutions reporting on a fiscal year (FY) shall be submitted by February 1 for the preceding fiscal year (FY).

An Accomplishments Report, CRIS Form AD-421, shall be submitted to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Funding and Staff Support Report, shall be submitted to NIFA annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year (FY) basis and are to be submitted by February 1.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual

Report of Accomplishments and Results. Both reports were due by April 1. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1. Institutions

are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Section (F/S)
Financial Operations Branch (FOB)
Office of Extramural Programs (OEP)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298
Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrantsquestions@nifa.usda.gov. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$195,303,449; FY 10 est \$202,125,161; FY 11 est \$202,125,161 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Multi-state allocations represent 25% of all increases above the FY 1955 base year.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO). See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Specific details will be provided by Program Unit at a future

date. Fiscal Year 2010: Specific details will be provided by Program Unit at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the Hatch (Experiment Station) Act as amended, Agricultural Handbook No. 381 revised November 1980; Manual for Cooperative Regional Research, revised 1986; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Plant and Animal Systems 1400 Independence Avenue, SW, STOP 2220, Washington, District of Columbia 20250-2220 Phone: (202) 401-5877 or (202) 720-0747 Fax: (202) 401-4888.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206 Grants for Agricultural Research Competitive Research Grants; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Will be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.205 PAYMENTS TO 1890 LAND-GRANT COLLEGES AND TUSKEGEE UNIVERSITY

Evans-Allen Research and/or Agricultural Research at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95-113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions under the Act of August 30, 1890 (26 Stat. 417419, as amended; 7 U.S.C. 321326 and 328), including Tuskegee University and West Virginia State University. Amended by Public Law 95-547, Public Law 97-98, Public Law 99-198, Public Law 101-624, Public Law 104-127 and Public Law 105-185., 7 U.S.C 321-326 and 328.

OBJECTIVES:

To support continuing agricultural research at colleges eligible to receive funds under the Act of August 30, 1890 (26 Stat. 417-419), as amended; 7 U.S.C. 321-326 and 328), including Tuskegee University and West Virginia State University. Its purpose is to promote efficient production, marketing, distribution and utilization of products of the farm as essential to the health and welfare of people and to promote a sound prosperous agriculture and rural life.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This grant is used to support continuing agricultural research at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University. Funds appropriated under this section shall be used for expenses of conducting agricultural research, printing, disseminating the results of such research, contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331), administrative planning and direction, and purchase and rental of land and the construction, acquisition, alteration, or repair of buildings necessary for conducting agricultural research. The eligible institutions are authorized to plan and conduct agricultural research in cooperation with each other and such agencies, institutions and individuals as may contribute to the solution to the solution of agricultural problems, and funds appropriated pursuant to section 1445 shall be available for paying the necessary expenses of planning, coordinating and conducting such cooperative research. RESTRICTIONS: (1) Approved NIFA Evans-Allen Research Projects NIFA will fund the Evans-Allen Research Program for authorized activities. Funds may be used on approved Evans-Allen Research Program projects.

(2) Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

Please note that while Federal funds must be expended on agricultural research, the matching funds may be used for agricultural research, agricultural extension, or qualifying educational activities. NIFA may consider and approve matching waivers above the 50 percent level.

(3) Indirect Costs and Tuition Remission

In accordance with NARETPA section 1473 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Evans-Allen Research Program formula grant expenditures.

Applicant Eligibility:

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University.

Beneficiary Eligibility:

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special

Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (FGOs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this FGO, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372.

Pre-award form submissions must be submitted to the Hatch as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system and the Current Research Information System (CRIS), and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-Grant Institution must submit both a Five (5) Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

Specific project details and pertinent information should be entered in the Current Research Information System (CRIS) as in prior years. CRIS is the

U.S. Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part VI.C. of the Formula Grant Opportunity (FGO) for specific CRIS requirements. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

The Office of Planning and Accountability and the OEP Formula Grants Section will be reviewing submitted documents to determine if all program, financial, and administrative requirements have been met and are current. To receive an annual allotment of funds, each eligible institution or State must first submit a plan of work to NIFA for approval. During the grant period, the Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved. The Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title Evans-Allen Research, Public Law 95-113. 7 U.S.C. 321326 and 328

The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Funds up to the total amount made available to all eligible institutions in the fiscal year ending September 30, 1978, under section 2 of the Act of August 4, 1965 (79 Stat.431; 7 U.S.C. 450i), shall be allocated among the eligible institutions in the same proportion as funds made available under section 2 of the Act of August 4, 1965, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent shall be allotted among eligible institutions in equal proportions; 40 per cent shall be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institution are located, as

determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance shall be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. In computing the distribution of the allocated funds, the allotments to Tuskegee University and Alabama A&M University shall be determined as if each institution were in a separate State.

Matching Requirements: Percent: 100%. NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ...

Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement..

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Evans-Allen Research Program funds are fiscal year appropriated and expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to one additional year. Please note there is no limitation on the amount of Federal funds that may be carried over for this one additional fiscal year. No prior approval from NIFA is required. Since this limitation is legislatively mandated, NIFA is not authorized to grant any carryover requests beyond this one additional year. Method of awarding/releasing assistance: quarterly.

Reports:

The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address:

<http://pow.NIFA.usda.gov>. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; NIFA-2008, Assurance Form; and Project Listing through the Current Research Information System at the initiation of each Evans-Allen Research project. Each eligible institution shall submit a CRIS Form AD-421, Accomplishments Report, annually.

Reports from institutions reporting on a calendar year should have been submitted by April 1, for the preceding calendar year. Reports from institutions reporting on a fiscal year should have been submitted by February 1, for the preceding fiscal year. An Accomplishments Report, CRIS Form AD-421, shall be submitted to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project. A CRIS Form AD-419, Financial and Staff Support Report, shall be submitted to NIFA annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and should have been submitted by February 1. NIFA uses the SF-425, Federal Financial Report to monitor cash. The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address: <http://pow.NIFA.usda.gov>.

Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports: Formula Grants Section (F/S), Financial Operations Branch (FOB), Office of Extramural Programs (OEP), National Institute of Food and Agriculture (NIFA), U.S. Department of Agriculture (USDA), STOP 2298, 1400 Independence Avenue, SW, Washington, DC 20250-2298, Telephone: (202) 401-6520, Fax: (202) 690-3002, E-mail:

formulagrantsquestions@nifa.usda.gov. Pre-award form submissions must be submitted to the Evans-Allen Research Program as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of

Work (POW) and Current Research Information System (CRIS) systems, and together satisfy all legislative and regulatory pre-award requirements. The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1 online at the web address:

<http://pow.NIFA.usda.gov>. Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 Land-Grant Institution, including Tuskegee University and West Virginia State University, must submit both a Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results. Both reports are due April 1. Specific project details and pertinent information should be entered in CRIS as in prior years. CRIS is the Department of Agriculture's documentation and reporting system for ongoing agricultural, food and nutrition, and forestry research and education. CRIS contains over 30,000 descriptions of current, publicly-supported research and education activities. This work is sponsored or conducted by the USDA research agencies, the State agricultural experiment stations, the State Land-Grant Institutions and universities, State schools of forestry, cooperating schools of veterinary medicine, and USDA grant recipients. See Part IV.C. of the Formula Grant Opportunity (FGO) for specific CRIS requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53. (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three (3) year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$42,926,170; FY 10 est \$45,750,258; FY 11 est \$45,750,258 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

FY08: Financial assistance amounts ranged from 69,020 to 500,000. Average across 18 grants was \$350,185

See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocations.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Specific details will be provided by Program Unit at a future date. Fiscal Year 2010: Specific details will be provided by Program Unit at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for Evans-Allen Cooperative Agricultural Research, May 1982; (manual is currently under review); 7 CFR Part 3015, USDA

Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Science and Education Resources Development 1400 Independence Avenue, SW, STOP 2251, Washington , District of Columbia 20250-2251 Phone: (202) 401-2855 or (202) 690-0402 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.206 Grants for Agricultural Research_Competitive Research Grants; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Information to be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.206 GRANTS FOR AGRICULTURAL RESEARCH_COMPETITIVE RESEARCH GRANTS

NATIONAL RESEARCH INITIATIVE COMPETITIVE GRANTS PROGRAM (NRI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i] ., 7 U.S.C 450i.

OBJECTIVES:

To support research, education and extension grants that address key problems of national, regional and multistate importance in sustaining all components of agriculture (farming, ranching, forestry, aquaculture, rural communities, human nutrition and obesity, food and fiber processing, etc.) Providing this support to US agriculture requires that the NRI advance fundamental. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed practical decisions. All grants were awarded on a competitive basis.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NIFA Competitive Programs Unit administers the NRI. The purpose of the NRI Competitive Grants Program was to support research grants and integrated research, extension, and education grants that address key problems of National, regional, and multi-state importance in sustaining all components of agriculture (farming, ranching, forestry including urban and agroforestry, aquaculture, rural communities, human nutrition, processing, etc.). Providing this support requires that NRI advances fundamental sciences in support of agriculture and coordinates opportunities to build on these discoveries. Building on these discoveries will necessitate new efforts in education and extension that deliver science-based knowledge to people, allowing them to make informed

practical decisions. Hence, the NRI accepted applications for fundamental research, mission-linked research, and integrated research, extension, and education projects. However, applicants should know that the NRI utilized no more than twenty-two percent (22%) of available funds to support integrated projects and that these funds were not distributed uniformly, but targeted to specific priorities. Targeted priorities for integrated projects were clearly identified within the detailed descriptions of program offerings [see Part II, E of the Fiscal Year (FY) 08 Request for Applications (RFAs)]. Funds may not be used for the renovation or refurbishment of research spaces (including energy retrofitting); purchase or installations of fixed equipment in such spaces; or planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Fully discretionary.

Applicant Eligibility:

For research projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals were eligible to apply for and to receive a competitive grant. The Agricultural Research Enhancement Awards (AREA) have some notable differences from these requirements. See Part II C, 2. of Fiscal Year (FY) 08 Requests for Applications (RFAs).

For integrated projects, the eligibility requirements for the NRI were as follows: except where otherwise prohibited by law, State agricultural experiment stations, all colleges and universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies, and national laboratories were eligible to apply for and receive a competitive grant. The bridge grants have some notable differences from these requirements. See Part II, C, 3(b) of Fiscal Year (FY) 08 RFAs for details.

Unsolicited applications were not considered and applications from scientists at non-United States organizations were not accepted. Award recipients may subcontract to organizations not eligible to apply provided such organizations were necessary for the conduct of the project.

Beneficiary Eligibility:

For research grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, other U.S. research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For integrated research, education and extension grants eligibility includes State Agricultural Experiment Stations, U.S. colleges/universities, research foundations maintained by colleges or universities, private research organizations with established and demonstrated capacities to perform research or technology transfer, Federal research agencies and national laboratories. Proposals from scientists at non-U.S. organizations will not be considered for support.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web

site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the RFAs. Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but were not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one (1) time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there were factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs were published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Award Procedure:

Applications were subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who were specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications were judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Reviewers were selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each

application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

094 Deadlines:

Dates for specific deadlines were announced in the RFA each fiscal year (FY).

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal, were submitted at the announced deadline. Renewals were treated in competition with all other pending proposals. Authority has been repealed Repealed per Section 7406 of the Food, Conservation, and Energy Act of 2008 The Agriculture and Food Research Initiative (AFRI) was established to replace NRI. (See CFDA 10.310.).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds were awarded competitively. No formula grants were awarded under this authority.

For equipment grants Unless criteria was met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant.

For applied research if the grant was commodity-specific; and not of national scope, the grant recipient was required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Normally, competitive research projects were supported for periods of up to four (4) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees were required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Non-profit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds were used for authorized purposes. Grant-related records were subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Prior obligations or grants are still being tracked and future funding may be approved or available later. The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years. NRI was REPEALED per Section 7406 of the Food, Conservation, and Energy Act of 2008 (FCEA). The Agriculture and Food Research Initiative (AFRI) was established to replace NRI. (See CFDA 10.310.).

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant were established by the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: prior obligations or grants are still being tracked and future funding may be approved or available later. Fiscal Year 2010: NRI was REPEALED per Section 7406 of the Food, Conservation, and Energy Act of 2008 (FCEA). The Agriculture and Food Research Initiative (AFRI) was established to replace NRI. (See CFDA 10.310.). Fiscal Year 2011: prior obligations or grants are still being tracked and future funding may be approved or available later.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations

(OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Competitive Programs, 1400 Independence Avenue, SW., Washington, District of Columbia 20250-2240 Phone: (202) 401-1782.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: NRI was REPEALED per Section 7406 of the Food, Conservation, and Energy Act of 2008 (FCEA). The Agriculture and Food Research Initiative (AFRI) was established to replace NRI. (See CFDA 10.310.). Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.207 ANIMAL HEALTH AND DISEASE RESEARCH

ANIMAL HEALTH AND DISEASE RESEARCH (AHDR)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Executive Order National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1433, Public Law 95-113, as amended; Section 1429, Public Law 97-98; Public Law 99-198; Public Law 101-624; Public Law 104-127; Public Law 105-185. , Public Law 95-113.

OBJECTIVES:

The purpose of this funding is to increase animal health and disease research activities at accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research.

To support animal health and disease research at eligible Schools and Colleges of Veterinary Medicine, and State Agricultural Experiment Stations whose purpose is to improve the health and productivity of food animals and horses through effective prevention, control or treatment of disease, reduction of losses from transportation and other hazards, and protect human health through control of animal diseases transmissible to people.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The National Institute of Food and Agriculture (NIFA) requests applications for the FY 2009 formula grants authorized under authorized under section 1433 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) for the Animal Health and Disease Research (AHDR) Program. These grants are used to assist all states in carrying out a program of

research activities designed to (1) to meet expenses of conducting animal health and disease research, publishing and disseminating the results of such research, and contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3940, as amended; 7 U.S.C. 331); (2) for administrative planning and direction; and (3) to purchase equipment and supplies necessary for conducting such research.

States are eligible for funds appropriated under this Act according to the following formula: One half of the State allotment will be distributed among the States in the proportion that the value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in each State bears to the total value of and income to producers from domestic livestock, poultry, and commercial aquaculture species in all the States, based on the most current inventory of all cattle, sheep, swine, horses, poultry, and commercial aquaculture species published by the U.S. Department of Agriculture. The remainder of the State allotment will be distributed among the States in the proportion that the animal health and disease research capacity of the eligible institutions in each State bears to the total animal health and disease research capacity in all the States, as determined by NIFA.

Research on animal health and disease of food animals and horses conducted in eligible institutions under the following categories: (1) Infectious diseases; (2) internal and external parasites; (3) noninfectious diseases, toxins, poisons, transportation losses, predators and other hazards; (4) diseases and parasites of wildlife transmissible to food animals and horses; and (5) diseases and parasites of animals transmissible to people. (1) Approved NIFA AHDR Projects AHDR Federal funding must be used on AHDR approved projects.

(2) Matching

7 U.S.C. 3200 provides that No funds in excess of \$100,000 shall be paid by the Federal Government to any State under this subchapter during any fiscal year in excess of the amount from non-Federal sources made available to and budgeted for expenditure by eligible institutions in the State during the same fiscal year for animal health and disease research. The Secretary is authorized to make such payments in excess of \$100,000 on the certificate of the appropriate official of the eligible institution having charge of the animal health and disease research for which such payments are to be made. If any eligible institution certified for receipt of matching funds fails to make available and budget for expenditure for animal health and disease research in any fiscal year sums at least equal to the amount for which it is certified, the difference between the Federal matching funds available and the funds made available to and budgeted for expenditure by the eligible institution shall be reapportioned by the Secretary among other eligible institutions of the same State, if there are any which qualify therefor, and, if there are none, the Secretary shall reapportion such difference among the other States.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as AHDR formula grant expenditures.

Applicant Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Applications may be submitted by accredited State veterinary schools or colleges or agricultural experiment stations that conduct animal health and disease research in accordance with NARETPA section 1433(c): Auburn University, Agricultural Experiment Station; Auburn University, School of Veterinary Medicine; Tuskegee University, School of Veterinary Medicine; University of Alaska, Agricultural Experiment Station; University of Arizona, Agricultural Experiment Station; University of Arkansas, Agricultural Experiment Station; University of California-Oakland, Agricultural Experiment Station; University of California-Davis, School of Veterinary Medicine; Colorado State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Connecticut-Storrs, Agricultural Experiment Station; University of Delaware, Agricultural Experiment Station;

University of Florida, Agricultural Experiment Station; University of Florida, College of Veterinary Medicine; University of Georgia, Agricultural Experiment Station; University of Georgia, College of Veterinary Medicine; University of Hawaii, Agricultural Experiment Station; University of Idaho, Agricultural Experiment Station; University of Illinois, Agricultural Experiment Station and College of Veterinary Medicine; Purdue University, Agricultural Experiment Station and College of Veterinary Medicine; Iowa State University, Agricultural and Home Economics Experiment Station; Iowa State University, College of Veterinary Medicine; Kansas State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Kentucky, Agricultural Experiment Station; Louisiana State University, Agricultural Experiment Station; Louisiana State University, College of Veterinary Medicine; University of Maine, Agricultural Experiment Station; University of Maryland, Agricultural Experiment Station; University of Massachusetts, Agricultural Experiment Station; Tufts University, School of Veterinary Medicine; Michigan State University, Agricultural Experiment Station and College of Veterinary Medicine; University of Minnesota, Agricultural Experiment Station; University of Minnesota, College of Veterinary Medicine; Mississippi State University, Agricultural and Forestry Experiment Station and College of Veterinary Medicine; University of Missouri, Agricultural Experiment Station; University of Missouri, College of Veterinary Medicine; Montana State University, Agricultural Experiment Station; University of Nebraska, Agricultural Experiment Station; University of Nevada, Agricultural Experiment Station; University of New Hampshire, Agricultural Experiment Station; Rutgers University, Agricultural Experiment Station; New Mexico State University, Agricultural Experiment Station; Cornell University, Agricultural Experiment Station; Cornell University, College of Veterinary Medicine; North Carolina State University, Agricultural Experiment Station; North Carolina State University, College of Veterinary Medicine; North Dakota State University, Agricultural Experiment Station; Ohio State University, Ohio Agricultural Research & Development Center; Ohio State University, College of Veterinary Medicine; Oklahoma State University, Agricultural Experiment Station and College of Veterinary Medicine; Oregon State University, Agricultural Experiment Station; Pennsylvania State University, Agricultural Experiment Station; University of Pennsylvania, College of Veterinary Medicine; University of Puerto Rico, Agricultural Experiment Station; University of Rhode Island, Agricultural Experiment Station; Clemson University, Agricultural Experiment Station; South Dakota State University, Agricultural Experiment Station; University of Tennessee, Agricultural Experiment Station; University of Tennessee, College of Veterinary Medicine; Texas A&M University, Agricultural Experiment Station and College of Veterinary Medicine; Utah State University, Agricultural Experiment Station; University of Vermont, Agricultural Experiment Station; Virginia Polytechnic Institute and State University, Agricultural Experiment Station and College of Veterinary Medicine; Washington State University, Agricultural Experiment Station and College of Veterinary Medicine; West Virginia University, Agricultural and Forestry Experiment Station; University of Wisconsin, Agricultural Experiment Station and College of Veterinary Medicine; and University of Wyoming, Agricultural Experiment Station.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

Beneficiary Eligibility:

Eligibility is restricted to the following public nonprofit institutions having demonstrable capacity in animal disease research: (1) Schools and Colleges of Veterinary Medicine; and (2) State Agricultural Experiment Stations. Funds are appropriated by Congress for distribution to States and eligible State institutions according to the statutory formula stated in the Act.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an

individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (FGOs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this FGO, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All Formula Grant Opportunities (FGOs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the FGO. Applications must follow the instructions provided per Grants.gov. Applicants are required to submit applications in response to both an interim FGO and a final FGO. The final FGO reflects the final formula allocations for the current fiscal year (FY).

Award Procedure:

Fund will be released on a quarterly basis to the institutions. See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the FGO each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: 7 U.S.C. 3200 provides that No funds in excess of \$100,000 shall be paid by the Federal Government to any State under this subchapter during any fiscal year in excess of the amount from non-Federal sources made available to and budgeted for expenditure by eligible institutions in the State during the same fiscal year for animal health and disease research. The Secretary is authorized to make such payments in excess of \$100,000 on the certificate of the appropriate official of the eligible institution having charge of the animal health and disease research for which such payments are to be made. If any eligible institution certified for receipt of matching funds fails to make available and budget for expenditure for animal health and disease research in any fiscal year sums at least equal to the amount for which it is certified, the difference between the Federal matching funds available and the funds made available to and budgeted for expenditure by the eligible institution shall be reapportioned by the Secretary among other eligible institutions of the same State, if there are any which qualify therefore, and, if there are none, the Secretary shall reapportion such difference among the other States.. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

AHDR funds must be fully expended in the fiscal year of appropriation; no waiver requests may be considered and approved as no carryover authority is provided in the authorizing legislation. Method of awarding/releasing assistance: quarterly.

Reports:

Institutions are expected to submit an annual Program of Research, a listing of all approved AHDR projects for said institution. Institutions must submit a Form AD-416, Work Unit Description; Form AD-417, Project Classification; NIFA-2008, Assurance Form; and Project Proposal through the Current Research Information System prior to the initiation of each AHDR project. The project must undergo a review process and be approved before it is incorporated into the Program of Research. Each institution shall submit a CRIS Form AD-421, Accomplishments Report, annually for each eligible project. Reports from institutions reporting on a calendar year shall be submitted by April 1, 2010 for the preceding calendar year.

Reports from institutions reporting on a fiscal year shall be submitted by February 1, 2010 for the preceding fiscal year.

An Accomplishments Report, CRIS Form AD-421, shall be submitted to NIFA for each completed or terminated project. Such reports shall be submitted at the same time as are progress reports on active projects and should include a brief summary of accomplishments for the entire life of the project.

A CRIS Form AD-419, Funding and Staff Support Report, shall be submitted to NIFA annually for all projects. CRIS Form AD-419 reports are also required for expenditures on all State projects that are to be included in the non-Federal funds and matching funds computation. Reports shall be made on a fiscal year basis and are to be submitted by February 1, 2010.

Financial reporting via the SF-269, Financial Status Report, for FY 2009 is due to the OEP Formula Grants Section on December 31, 2010. The SF-272, Federal Cash Transactions Report, or ST-425, Federal Financial Report for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) usually on the 15th day of November, February, May, and August for the preceding calendar quarter. DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

Grantees also are required to submit annual and summary progress reports via NIFAS Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under

OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee. Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Financial Operations Branch (FOB)

Formula Grants Section (F/S)

Office of Extramural Programs (OEP)

National Institute of Food and Agriculture (NIFA)

U.S. Department of Agriculture (USDA)

STOP 2298

1400 Independence Avenue, SW

Washington, DC 20250-2298

Telephone: (202) 401-6520

Fax: (202) 690-3002

E-mail: formulagrantsquestions@nifa.usda.gov. Grantees also are required to submit annual and summary progress reports via NIFAS Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$2,725,600; FY 10 est \$2,735,240; FY 11 est \$2,735,240 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Specific details will be provided by Program Unit at a future date. Fiscal Year 2010: Specific details will be provided by Program Unit at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Manual for the Continuing Animal Health and Disease Research Program, (dated March, 2000 - Manual is currently under review); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for

Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Veterinary Science, 1400 Independence Avenue, SW, STOP 2220, Washington, District of Columbia 20250-2220 Phone: (202) 401-4952 Fax: (202) 401-6156 .

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Formula Grant Opportunity (FGO).

10.210 FOOD AND AGRICULTURAL SCIENCES NATIONAL NEEDS GRADUATE FELLOWSHIP GRANTS

National Needs Fellowship (NFF)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7106 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246) amends the legislative authority for this program contained in Section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152) to include the University of the District of Columbia as an eligible applicant. , 7 U.S.C 3152.

OBJECTIVES:

The purpose of the NNF Grants Program is to train students for Masters and/or doctoral degrees and provide additional postdoctoral training for Fellows who have completed their doctoral degrees at colleges and universities that have demonstrable teaching and research competencies in the food and agricultural sciences. Fellowships and IRTA awards are specifically intended to support traineeship programs that encourage outstanding students to pursue and complete their degrees or obtain postdoctoral training in areas where there is a national need for the development of scientific and professional expertise in the food and agricultural sciences. Fellowships and IRTA awards invest in graduate training and relevant international experiential learning for a cadre of diverse individuals who demonstrate their potential to successfully complete graduate degree programs in disciplines relevant to the mission of the USDA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Competitive annual grants providing for a limited number of graduate stipends are awarded to selected U.S. institutions of higher education so as to distribute support for graduate study to promising food and agricultural scientists and professionals. Individuals selected initially by the institution must enroll in a program leading to a graduate, master's or doctoral degree in one of the targeted national needs areas of the food and agricultural sciences. Such persons may not have been enrolled previously in the program at the same degree level. Current fellows are eligible to receive supplemental grants of \$3,000 to cover travel and living expenses for special international study or thesis/dissertation research experiences. Funding for the supplemental grants will be awarded on a competitive basis using no-year funds drawn from expired fellowship grants with unspent funds remaining as set forth in the annual program guidelines. No indirect cost allowed. Institutional allowance in lieu of indirect cost. NNF stipend funds for fellowship must be used to: (1) support the same Fellow at the Masters degree level who maintains satisfactory progress and is eligible to receive grant support for a maximum of twenty-four (24) months during a thirty-month period, and/or (2) support the same Fellow at the doctoral degree level who maintains satisfactory progress and is eligible to receive grant

support for a maximum of thirty-six (36) months within a forty-two-month period. Institutions must use a minimum stipend of \$18,500 per year for Masters Fellows and \$24,500 per year for Doctoral Fellows.

If funds remain as a result of USDA Fellows completing their studies early (less than twenty-four months for a Masters degree or less than thirty-six months for a doctoral degree) or Fellowships being terminated prematurely, such funds may be used, within the time remaining on the award, to support: (1) pursuit of a doctoral degree in a TESA in the food and agricultural sciences by a Masters degree level Fellow at the grantee institution, or (2) a Replacement Fellow. The tenure of such a Replacement Fellow is limited to whatever time is remaining on the grant before it expires; however, an institution may not appoint a Replacement Fellow unless there is time for the student to complete at least one semester/quarter of study before the expiration date of the grant.

Replacement Fellows must meet all of the eligibility criteria and other requirements established for Fellow selection and are subject to the same provisions as other Fellows during their tenure in the program. Replacement Fellows are entitled, during their tenure, to the same benefits that other Fellows receive from the grant award and National Needs Graduate and Postdoctoral Fellowship Grants Program. Institutions may, but are not required to, continue a Replacement Fellow's tuition and other benefits beyond the expiration date of the grant using institutional or other funds. A Fellow may not be simultaneously supported under multiple National Needs Graduate and Postdoctoral Fellowship Grants Program awards.

Remaining funds cannot be used to increase annual Fellowship stipends provided to existing Fellows. If less than one semester/quarter remains on the award, the grantee must refund unexpended monies to CSREES. Such funds cannot be used to increase the annual stipend amounts for current USDA Graduate Fellows.

Should a USDA Fellow require additional time beyond the grant period to complete his or her degree, it is expected that the grantee will consider alternatives to continue supporting the Fellow through other means.

Tuition and fees are the responsibility of the Fellow unless an institution elects to use its cost-of-education institutional allowance for this purpose or elects to pay such costs out of non-USDA monies. No dependency allowances are provided to any USDA Graduate Fellows. Stipend payments and special international study or thesis/dissertation research travel allowances may be made to Fellows by the institution, in accordance with standard institutional procedures for graduate fellowships and assistantships.

When grants are for IRTAs that will be used by To-Be-Recruited Fellows: The IRTA funds for To-Be-Recruited fellows will be withheld until the Project Director has submitted, to the NNF Program Office, all of the required documentation for eligibility of the Graduate Fellow for the IRTA as well as the required specifics outlined in the RFA for the IRTA. The information must be submitted for approval, no less than 150 days prior to the use of the IRTA funds (see PART IV, B, 3, b, Section 1). This requirement will become a condition of the award. Fully discretionary.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities that confer a master's or doctoral degree in at least one area of the food and agricultural sciences targeted for national needs fellowships. As defined in Section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3103), the terms "college" and "university" mean "an educational institution in any State which: (a) Admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (b) is legally authorized within such State to provide a program of education beyond secondary education; (c) provides an educational program for which a bachelor's degree or any other higher degree is awarded; (d) is a public or other nonprofit institution; and (e) is accredited by a nationally recognized accrediting agency or association." Eligibility also applies to research foundations maintained by eligible colleges or universities.

Beneficiary Eligibility:

Funds awarded in this program are used to support the training of graduate

students to obtain either a master's or doctoral degree in one of the targeted specializations of the food and agricultural sciences.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at (888) 227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

An Environmental Impact statement (EIS) (actual or potential) is not required for this program. However the NIFA Application (R&R Other Project Information Part 4.4) requires a statement to that effect. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal.

Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants cover a five (5) year period, however, fellows are supported for three (3) years for a doctoral degree or two (2) years for a masters during that five (5) year period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project

outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$1,352,100; FY 10 est \$6,544,127; FY 11 est \$3,657,727 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial

Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Science and Education Resources Development 1400 Independence Avenue, SW, STOP 2251, Washington, District of Columbia 20024 Phone: (202) 401-2855 or (202) 720-1973

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Grants for fellowships support are awarded to colleges and universities determined through peer review to be characterized by excellence in the chosen targeted need area. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) The degree to which the proposal clearly establishes that the proposed program of graduate training will result in the development of outstanding scientific/professional expertise related to the national need area and in a reasonable period of time; (2) the degree to which the proposed program of study reflects special features such as a combination-disciplinary, approach (inter-disciplinary, multi-disciplinary or cross-disciplinary), a unique collateral specialization in a related discipline, experimental learning opportunities, a unique mentoring program, seminars, or a multi-university collaborative approach; (3) the degree to which the proposal establishes that the institution's faculty, facilities and equipment, instructional support resources, and other attributes are excellent for providing outstanding graduate study and research at the forefront of science and technology related to the chosen area of national need; (4) the degree to which the institution's plans and procedures for recruitment and selection of academically outstanding fellows and for monitoring and facilitating fellow's progress through a program of study reflect excellence as documented in the proposal; (5) the degree to which supporting summary data substantiate program quality in the targeted national need area; and (6) the quality of the proposal as reflected by its substantive content, organization, clarity, and accuracy; (7) any additional evaluation criteria as cited in the annual program announcement published in the Federal Register.

10.212 SMALL BUSINESS INNOVATION RESEARCH

(SBIR Program - Phase I and II)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program solicitation is issued pursuant to the Small Business Innovation Development Act of 1982, Public Law 97-219, as amended (15 U.S.C. 638) and Section 630 of the Act making appropriations for Agriculture, Rural Development and Related Agencies programs for fiscal year ending September 30, 1987, and for other purposes, as made applicable by Section 101(a) of Public Law 99-591, 100 Stat. 3341.

OBJECTIVES:

To stimulate technological innovation in the private sector, strengthen the role of small businesses in meeting Federal research and development needs, increase private sector commercialization of innovations derived from USDA-supported research and development efforts, and foster and encourage participation, by women-owned and socially disadvantaged small business firms in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The selected areas for research are Forests and Related Resources; Plant Production and Protection-Biology; Animal Production and Protection; Soil and Water Resources; Food Science and Nutrition; Rural and Community

Development; Aquaculture; Industrial Applications; Marketing and Trade; Wildlife; Animal Manure Management, Small and Mid-size Farms, Plant Production and Protection-Engineering. The Small Business Innovation Research Program is carried out in three separate phases: Phase I projects are supported to determine the scientific or technical feasibility of ideas submitted by proposes on the selected research areas; Phase II awards are made to firms with approaches that appear sufficiently promising as a result of Phase I studies. Only those small businesses previously receiving Phase I awards in either of the two preceding fiscal years are eligible to submit Phase II proposals. Phase II projects are limited to \$350,000 for a period normally not to exceed 24 months; Phase III is to be conducted by the small business concern (including joint ventures and limited partnerships), and will be non-SBIR funded through the exercising of a follow-on funding commitment. The purpose of Phase III is to stimulate technological innovation and the national return on investment from research through the pursuit of commercialization objectives resulting from the USDA-supported work carried out in Phases I and II. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Fully discretionary.

Applicant Eligibility:

Applicant Eligibility (1) is organized for profit, with a place of business located in the United States, which operates primarily within the United States, or which makes a significant contribution to the United States economy through the payment of taxes or use of American products, materials or labor; (2) is in the legal form of an individual proprietorship, partnership, limited liability company, corporation, joint venture, association, trust or cooperative, except that where the form is a joint venture, there can be no more than 49 percent participation by foreign business entities in the joint venture; (3) is at least 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, except in the case of a joint venture, where each entity in the venture must be 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in the United States; and (4) has, including its affiliates, not more than 500 employees. The term "affiliates" is defined in greater detail in 13 CFR 121.103. The term "number of employees" is defined in 13 CFR 121.106.

Beneficiary Eligibility:

Small businesses.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at (888) 227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information

relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/> <http://www.grants.gov> Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Phase I applications may be revised and resubmitted during a later funding cycle, provided the subject matter of the proposal is not changed, and the topic

area under which the proposal was originally submitted is still listed in the solicitation. Phase I grantees may apply for a Phase II grant only once for each Phase I project funded.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under this authority. CSREES does not require matching or cost sharing support for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Phase I grants are normally limited to 8 months. Phase II grants are normally limited to 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations). Awards Management Branch, Office of Extramural Programs National Institute of Food and Agriculture (NIFA), Department of Agriculture (USDA), STOP 2271, 1400 Independence Avenue, SW Washington, DC 20250-2271, Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet the terms and conditions of grants and other agreements. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General. Federal audits will be made in accordance with the policies of the Federal Acquisition Regulations, 48 CFR Part 42, to ensure that funds have been applied efficiently, economically, and effectively.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$15,833,247; FY 10 est \$19,244,791; FY 11 est

\$19,244,791 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: TO BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: TO BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Competitive Programs, SBIR, 1400 Independence Avenue, SW, STOP 2240, Washington , District of Columbia 20024 Phone: 202-401-5823; 401-6852; 401-1719 Fax: (202) 401-6070.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(a) The proposing firm must qualify as a small business concern as specified in the SBIR solicitation; (b) The proposal must meet the Proposal Content and Format requirements as described in subsection 3.3 of the SBIR solicitation; (c) Proposals must be limited to one research problem (see subsection 3.1 of the SBIR solicitation); (d) The proposed budget must be within the dollar limit identified in subsection 1.2 of the SBIR solicitation; (e) The proposed duration of Phase I projects should normally not exceed 8 months, except in special, justified circumstances, and the duration of Phase II projects should normally not exceed 24 months. Where a proposed research project requires more than 8 months to complete in Phase I, a longer grant period, not to exceed 20 months, may be considered. An applicant of a Phase I project with an anticipated duration beyond 8 months should specify and justify the length of duration in the proposal at the time of its submission to USDA in order for it to be considered; (f) Proposals must cover scientific research activities only (see subsection 3.1 of the SBIR solicitation); (g) The proposed Phase I research must fall within a solicited topic area; (h) A proposal must contain adequate scientific/technical information to state clearly the research plan and objectives. USDA reserves the right not to submit for review any proposal which it finds to have insufficient scientific/technical information; (i) A resubmitted proposal must address concerns of the previous review panel. USDA reserves the right not to submit for review any proposal found not to be responsive to the previous review; and (j) Is it clear that the project director will work a minimum of 51 percent of his/her time for the small business firm during the period of the grant and that the small business firm will conduct a minimum of two-thirds of the research effort?.

10.215 SUSTAINABLE AGRICULTURE RESEARCH AND

EDUCATION

SARE

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801) . , 7 U.S.C 5801; Section 1622 of FACTA (7 U.S.C. 5812), and for selected national projects, 7 U.S.C 5812.

OBJECTIVES:

The purpose of the Sustainable Agriculture Research and Education Program (SARE) program is to encourage research designed to increase our knowledge concerning agricultural production systems that: (1) maintain and enhance the quality and productivity of the soil; (2) conserve soil, water, energy, natural resources, and fish and wildlife habitat; (3) maintain and enhance the quality of surface and ground water; (4) protect the health and safety of persons involved in the food and farm system; (5) promote the well being of animals; and (6) increase employment opportunities in agriculture (section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801). This request for applications (RFA) is for the regional SARE host institutions to apply for funds to carry out the regional SARE programs under the direction of the SARE Regional Administrative Councils (section 1622 of FACTA (7 U.S.C. 5812)), and for selected national projects. Most SARE competitive grants are made by the SARE regions as subawards. Typically, approximately 85% of the award to a SARE regional host institution is used for subawards. Individuals and organizations interested in applying for SARE competitive grants should NOT respond to this funding opportunity; rather they should apply to the appropriate SARE regional program. (See <http://www.nifa.usda.gov/fo/sustainableagricultureresearchandeducation.cfm>).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents.

Section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended (7 U.S.C. 3319), prohibits indirect costs.

Funds shall not be used for tuition remission.

Funds shall not be used for fixed equipment. Fully discretionary.

Applicant Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Beneficiary Eligibility:

Land-grant colleges or universities, other universities, State agricultural experiment stations, State cooperative extension services, nonprofit organizations, and individuals with demonstrable expertise, or Federal or State governmental entities.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special

Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Regional technical committees will review, rank and prioritize proposals received from public and private organizations and make recommendations for funding to the regional administrative councils. Regional administrative councils will approve proposals for funding and associated plans of work and submit them to USDA. Research and education subcommittee on sustainable agriculture will review plans of work and the Department will give final approval.

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal.

Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. NIFA does not require matching or cost sharing support for this program.

Funds are awarded competitively. No formula grants are awarded under this authority.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS).

This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$13,477,464; FY 10 est \$13,572,000; FY 11 est \$14,040,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: TO BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: TO BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal

Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Economic and Community Systems
1400 Independence Avenue, SW, STOP 2215., Washington , District of Columbia 20024 Phone: (202) 720-5384 or (202) 720-7947

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.206 Grants for Agricultural Research_Competitive Research Grants; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Funding is anticipated for projects similar to FYs 2008 and 2009. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.216 1890 INSTITUTION CAPACITY BUILDING GRANTS

1890 Capacity

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Authority for this program is contained in section 1417 (b)(4) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) [7 U.S.C. 3152(b)(4)] (b)(4)), 7 U.S.C 3152(b)(4).

OBJECTIVES:

To build the research and teaching capacities of the 1890 land-grant institutions and Tuskegee University through cooperative programs with Federal and nonfederal entities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For teaching grants, funds may be used in the following targeted areas:

- (1) Curricula design and materials development;
- (2) faculty preparation and enhancement for teaching;
- (3) instruction delivery systems;
- (4) scientific instrumentation for teaching;
- (5) student experiential learning; and
- (6) student recruitment and retention.

For research grants, funds may be used to address high- priority research initiatives in areas where there is a present or anticipated need for increased capabilities and in which it is feasible for applicants to develop programs recognized for their excellence.

Research areas include, but are not limited to:

(1) Studies and experimentation in food and agricultural sciences;
(2) centralized research support systems; and
(3) technology delivery systems. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, or repairs are incidental to the major purpose for which a grant is issued.

Indirect Costs: Not to exceed 22% of Federal Funds awarded. Fully discretionary.

Applicant Eligibility:

The 1890 land-grant institutions and Tuskegee University. The 1890 land-Grant Institutions are: Alabama A&M University; Alcorn State University; University of Arkansas-Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Lincoln University (MO); Langston University; University of Maryland-Eastern Shore; North Carolina A&T State University; Prairie View A&M University; South Carolina State University; Southern University and A&M College; Tennessee State University; Virginia State University; and West Virginia State College.

Beneficiary Eligibility:

The seventeen 1890 land-grant institutions and Tuskegee University, non-1890 academic institutions, private industry, and the Department of Agriculture.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program.

NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial

assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with Section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural

Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions of time as appropriate up to maximum of five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$31,315,260; FY 11 est \$17,225,580 -

The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program Accomplishments will be provided by program unit at a future date . Fiscal Year 2010: Program Accomplishments will be provided by program unit at a future date . Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development, Multicultural Alliances Unit, 1400 Independence Avenue, SW., STOP 2251, Washington, District of Columbia 20024 Phone: (202) 401-2855; 690-0402 Fax: (202) 720-3945.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.200 Grants for Agricultural Research, Special Research Grants; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.217 HIGHER EDUCATION CHALLENGE GRANTS

Challenge or HEC Grants

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, Section 1405, 7 U.S.C 3121,1417(b)(1); 7 U.S.C 3152(b)(1).

OBJECTIVES:

To increase institutional capacities to respond to State, regional, national, or international educational needs by strengthening college and university teaching programs in the food and agricultural sciences.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, faculty preparation and enhancement for teaching, instruction delivery systems, scientific instrumentation for teaching, student experiential

learning, and student recruitment and retention, as cited in the annual program announcement in the Federal Register. student tuition remission, room and board, fees or other financial assistance (scholarships, fellowships, etc.). Also, funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or to plan, repair, rehabilitate, acquire, or construct buildings or facilities (i.e., greenhouses, laboratories, or other structures). Promotional items (e.g., T-shirts and other give-a-ways) and food functions (e.g., cookouts or other social meal gatherings) are considered entertainment expenses, and are also not allowed under this grants program. Federal Cost Principles governing this grant program state that items not eligible for Federal funding may also not be used as an applicants contribution toward meeting matching requirements.

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

All U.S. public and private nonprofit colleges and universities offering a baccalaureate or first professional degree in at least one discipline or area of the food and agricultural sciences.

Beneficiary Eligibility:

All U.S. colleges and universities having a demonstrable capacity to teach the food and agricultural sciences.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual. Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR

Assistance Center can be reached at (888) 227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Formal proposals should be submitted to the Proposal Services Unit, NIFA, as outlined in the solicitation of applications. Application procedures are contained in the program regulations and required forms are contained in the Grant Application Kit. This program is subject to the regulatory provisions of USDA, 7 CFR Part 3015, et seq., and all successor regulations.

Award Procedure:

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:
Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 100%. A grant recipient is required to match the USDA funds awarded on a dollar-for-dollar basis from non-Federal sources. Matching funds are not required to come in any particular amount from any one source. However, all matching funds pledged in this application must

be accompanied by documentation.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a one (1) to three (3) year period and may receive no-cost extensions as appropriate up to a maximum of five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$5,407,624; FY 10 est \$5,407,624; FY 11 est \$7,827,840 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some

cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program Accomplishments will be provided by program unit at a future date . Fiscal Year 2010: Program Accomplishments will be provided by program unit at a future date . Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance) and 7 CFR Part 3405, Higher Education Challenge Grants, Administrative Provisions, and Grant Application Kit.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development, 1400 Independence Avenue, SW., STOP 2251, Washington , District of Columbia 20024 Phone: (202) 401-2855 or 720-1973 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.206 Grants for Agricultural Research_Competitive Research Grants; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.219 BIOTECHNOLOGY RISK ASSESSMENT RESEARCH

Biotechnology Risk Assessment Research Grants Program (BRAG)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Food, Agriculture, Conservation, and Trade Act of 1990, Section 1668 , 7 U.S.C 7901; Farm Security and Rural Investment Act of 2002, Section 7210 , Public Law 101-171 , 7 U.S.C 5921.

OBJECTIVES:

The purpose of the BRAG program is to assist Federal regulatory agencies in making science-based decisions about the effects of introducing into the environment genetically engineered organisms, including plants, microorganisms (including fungi, bacteria, and viruses), arthropods, fish, birds, mammals and other animals excluding humans. Investigations of effects on both managed and natural environments are relevant. The BRAG program accomplishes its purpose by providing Federal regulatory agencies with scientific information relevant to regulatory considerations derived from the risk assessment research that the program funds.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research objectives. Funds may not be used for purposes other than those approved in the grant award documents. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in the Office of Management and Budget (OMB) Circular No. A-21, (codified at 2 CFR 220), some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing. Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded. **Special Note on Indirect Costs as in-kind matching contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Any public or private research or educational institution or organization.

Beneficiary Eligibility:

Any public or private research or educational institution or organization.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual. Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at (888) 227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include,

but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB

Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$2,391,511; FY 10 est \$3,810,820; FY 11 est \$3,810,820 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Plant and Animal Systems, 1400 Independence Avenue, SW., STOP 2251, Washington , District of Columbia 20024 Phone: (202) 401-5877.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.206 Grants for Agricultural Research_Competitive Research Grants; 10.207 Animal Health and Disease Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.220 HIGHER EDUCATION MULTICULTURAL SCHOLARS PROGRAM

Minority Scholars Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Legislative authority for this program is contained in section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152). USDA/NIFA administers this

federal assistance grant program to support food and agricultural sciences baccalaureate and Doctor of Veterinary Medicine (D.V.M.) degree training of the next generation of scientists, policy makers, and educators in the Food and Agricultural Sciences. USDA initiated the Higher Education Multicultural Scholars Program in FY 1994. This program, functioning collaboratively with eligible higher education institutions, is developing intellectual capital to secure the preeminence of U.S. food and agricultural systems., 7 U.S.C 3152.

OBJECTIVES:

To increase the ethnic and cultural diversity of the food and agricultural scientific and professional work force, and to advance the educational achievement of minority Americans, by providing grants to colleges and universities that have a demonstrable capacity to attract, educate, and graduate minority students for careers as agriscience and agribusiness professionals, and have unique capabilities for achieving the objective of full representation of minority groups in the fields of agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive annual grants to selected U.S. institutions of higher education provide for a limited number of four-year undergraduate scholarships to support minority students pursuing baccalaureate degrees in the food and agricultural sciences. Institutions also receive an annual cost-of-education allowance for each scholar supported by a grant. The scholars are selected by the institutions and students must apply for the scholarships through procedures established by the individual institutions. No scholarship grants are made directly to students and students cannot apply directly to USDA for scholarships. Scholarship monies may be used for tuition, fees, room, board, and other educational expenses as announced in a Federal Register notice inviting applications for new awards. The institutional cost-of-education allowance may be used by the selected institutions to defray program expenses including, but not limited to, travel and publications costs incurred for student recruitment, and salaries of project personnel. Pursuant to 7 U.S.C. 3152(b), no indirect costs may be recovered under the MSP Program. Funds are restricted to the clearly designated uses found within this RFA.

For Award Category No. 2 Proposals, the budget sharing criteria are: (i) no single institution may receive more than forty percent (40%) and (ii) any institution may not receive less than fifteen percent (15%) of the requested funding for the baccalaureate and/or D.V.M. degree level training project (see Part II, C).

When grant is for SEL that will be used by To-Be-Recruited Scholars: The SEL funds for To-Be-Recruited Scholars will be withheld until the Project Director has submitted, to the MSP Grants Program Office, all of the required documentation for eligibility of the MSP/SEL Scholar(s) for the SEL as well as the required specifics outlined in the RFA for the SEL. The information must be submitted for approval, no less than 150 days prior to the use of the SEL funds (see Part IV, B., 3, b, Section 1).

Indirect cost is not allowable. Fully discretionary.

Applicant Eligibility:

Proposals may be submitted by all U.S. colleges and universities with baccalaureate or higher degree programs in agriculture, natural resources, forestry, veterinary medicine, home economics, and disciplines closely allied to the food and agricultural system, including land-grant colleges and universities, colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences, and other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences.

Beneficiary Eligibility:

Funds awarded under this program are used to support full-time undergraduate students pursuing a baccalaureate degree in an area of the food and agricultural sciences or a closely allied field. Persons eligible to receive scholarships under this program are students who either are enrolled or have been accepted as

full-time baccalaureate or DVM degree candidates, and who are members of groups traditionally under-represented in food and agricultural scientific and professional fields.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal.

Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a five (5) year period, however, scholars are supported for four (4) years during that five (5) year period. One (1) year of the grant period is available for student recruitment and for short interruptions of a scholar's educational advancement due to personal circumstances or emergencies. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$2,088,894; FY 11 est \$1,177,107 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal

Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development, Multicultural Scholars Program, 1400 Independence Avenue, SW., STOP 2251, Washington, District of Columbia 20024 Phone: (202) 401-2855 or 720-1973 Fax: (202) 720-1973.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.221 TRIBAL COLLEGES EDUCATION EQUITY GRANTS

Higher Education Native American Formula and/or Higher Education Native American Institutions

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Authority for the Tribal Colleges Education Equity Grants (TCEG) program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note) as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements., 7 U.S.C 301 note.

OBJECTIVES:

This grants program is designed to promote and strengthen higher education instruction in the food and agricultural sciences at the 33 Tribal Colleges designated as 1994 Land-Grant Institutions in the Equity in Educational Land-Grant Status Act of 1994, as amended. Plans of Work should focus on undergraduate and/or graduate studies in the food and agricultural sciences in one or more of the following areas: Curricula Design and Materials Development, Faculty Development and Preparation for Teaching, Instruction Delivery Systems, Student Experiential Learning, Equipment and Instrumentation for Teaching, or Student Recruitment and Retention.

The purpose of the TCEG Program is to provide funding to enhance educational opportunities for Native Americans in the food and agricultural sciences. The TCEG program is intended to strengthen institutional capacity to deliver relevant formal education opportunities. The TCEG is intended to be a component of the applicant 1994 institutions land grant roadmap or strategic planning process. To the extent practicable, priorities should reflect the following national critical needs areas:

1. Sustainable energy
2. Global food security and hunger
3. Climate change
4. Nutrition and preventing childhood obesity
5. Food safety

6. Sustainable rural economies

Awards are made upon approval of each institutions application for a grant that relate to an institutions long-range goals. As indicated in Part 1, C. of the RFA, an application may address one (1) or more of the following program areas:

- Curricula Design and Materials Development
- Faculty Development and Preparation for Teaching
- Instruction Delivery Systems
- Student Experiential Learning
- Equipment and Instrumentation for Teaching
- Student Recruitment and Retention

Recognizing that strengthening instructional programs is a long-term ongoing process, the TCEG program is interested in funding subsequent phases of previously funded projects in order to strengthen institutional capacity, and institutions are encouraged to build on a theme over several grant awards to reach institutional long-range goals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Tribal College Equity Program (TCEG) provides funds to enhance educational opportunities for Native Americans by strengthening instructional programs in the food and agricultural sciences at the thirty four (34) tribal colleges designated as the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions).

Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Funding Restrictions:

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award.

Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

Indirect costs for the Equity program are not required and a 1994 institution may take less than the allowable amount or forego indirect costs altogether - adjust budgets accordingly.

Neither research nor extension projects are supported under the TCEG Program.

The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project.

Grant funds may not be used for endowment investing.

Special Notices:

1. NIFA will withhold all funds for a TCEG award to an applicant requesting indirect costs if the applicant has not negotiated an indirect cost rate with its cognizant federal agency.
2. If a grantee is in the process of negotiating an indirect cost rate with its federal agency, NIFA will withhold all funds from that grantee until the indirect cost rate has been established.
3. If an institutions indirect cost rate has expired or will expire in the near future, a clear statement on renegotiation efforts must be included in the

application. (See Part IV, B.5. of the RFA - Budget Justification.)

4. It is incumbent on all applicants to have a current indirect cost rate or begin negotiations to establish an indirect cost rate prior to the TCEG submission deadline. Because it may take several months to obtain an indirect cost rate, applicants needing an indirect cost rate are encouraged to start work on establishing these rates well in advance of submitting a TCEG application.
5. In lieu of requesting indirect costs (if the applicant does not have a negotiated rate), an applicant may prepare a budget in which all charges in the budget are included as direct costs.

NIFA does not require matching support for this program. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended. This Act, as amended in Section 533(a), requires that each 1994 Land-Grant Institution be accredited or making progress towards accreditation and be recognized as a legal entity. If accreditation is being sought, a college must demonstrate its progress towards accreditation by a letter from a nationally recognized accreditation agency affirming receipt of application for an accreditation site visit or other such documentation.

An applicant's failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives.

Beneficiary Eligibility:

The 1994 Institutions--Bay Mills Community College, Black feet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dull knife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stone child Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crown point Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation; and Little Priest Tribal College.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All Requests for Applications (RFAs) are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications were subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who were specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications were judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Reviewers were selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website.

Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. There is no statutory formula for this program. However, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Equity Funds for ineligible 1994 institutions or of those who fail to apply by the application submission date will be redistributed equally among the remaining eligible 1994 institutions.

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements. Method of awarding/releasing assistance: by letter of credit.

Reports:

Award Terms and Conditions:

Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc.

Current Research Information System Required Reporting:

Grantees are required to submit initial project information and annual and summary reports to NIFA's Current Research Information System (CRIS). The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparing and submission of project documentation will be provided to the applicant by the Agency Contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required. For more information about CRIS, visit <http://cris.nifa.usda.gov>.

Annual Performance Report:

Annual performance reports are due 30 days after the anniversary date of the award and should be submitted to the NIFA Current Research Information

System (CRIS).

Annual performance reports must include the following information:

- 1) a comparison of actual accomplishments with the goals established for the reporting period;
- 2) if established goals were not met, the reasons
- 3) documentation of outputs; i.e., significant activities, including dissemination activities, events, services or products that contribute toward achieving the goals and objectives of the project
- 4) outcomes/impacts; i.e., a change in knowledge, actions or conditions;
- 5) any other indication of increased capacity for carrying out the land grant mission

Final Technical Report:

A final technical report must be submitted to CRIS within 90 days after the expiration date of the project. The expiration date is specified in the award documents and modifications thereto, if any. Generally, the final technical report should be a summary of the completed project, including:

1. A review of project objectives and accomplishments;
2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes;
3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project;
4. A description of the projects impact on the PD(s), the institution(s) involved, and the community;
5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award; and,

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. NIFA uses SF-425, Federal Financial Report to monitor cash. Award Terms and Conditions:

Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc.

Current Research Information System Required Reporting:

Grantees are required to submit initial project information and annual and summary reports to NIFA's Current Research Information System (CRIS). The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparing and submission of project documentation will be provided to the applicant by the Agency Contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required. For more information about CRIS, visit <http://cris.nifa.usda.gov>.

Annual Performance Report:

Annual performance reports are due 30 days after the anniversary date of the award and should be submitted to the NIFA Current Research Information System (CRIS).

Annual performance reports must include the following information:

- 1) a comparison of actual accomplishments with the goals established for the reporting period;
- 2) if established goals were not met, the reasons
- 3) documentation of outputs; i.e., significant activities, including dissemination activities, events, services or products that contribute toward achieving the goals and objectives of the project
- 4) outcomes/impacts; i.e., a change in knowledge, actions or conditions;
- 5) any other indication of increased capacity for carrying out the land grant mission

Final Technical Report:

A final technical report must be submitted to CRIS within 90 days after the expiration date of the project. The expiration date is specified in the award documents and modifications thereto, if any. Generally, the final technical report should be a summary of the completed project, including:

1. A review of project objectives and accomplishments;
2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes;
3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project;
4. A description of the projects impact on the PD(s), the institution(s) involved, and the community;
5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award; and,

Quarterly Reports of Federal Cash Transactions (SF-272) were required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and were submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986

Are cash reports required?

  A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271
1400 Independence Avenue, SW

Washington, DC 20250-2271

Telephone: (202) 401-4986. Award Terms and Conditions:

Awards are accompanied by provisions including Terms and Conditions for the funded project. Grantees must stay informed and guided by the Terms and Conditions of the award regarding required reports, program/project data collection, program income, release of information, sharing of findings, data, and other project products, patents, inventions, copyrights, etc.

Current Research Information System Required Reporting:

Grantees are required to submit initial project information and annual and summary reports to NIFA s Current Research Information System (CRIS). The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparing and submission of project documentation will be provided to the applicant by the Agency Contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required. For more information about CRIS, visit <http://cris.nifa.usda.gov>.

Annual Performance Report:

Annual performance reports are due 30 days after the anniversary date of the award and should be submitted to the NIFA Current Research Information System (CRIS).

Annual performance reports must include the following information:

- 1) a comparison of actual accomplishments with the goals established for the reporting period;
- 2) if established goals were not met, the reasons
- 3) documentation of outputs; i.e., significant activities, including dissemination activities, events, services or products that contribute toward achieving the goals and objectives of the project
- 4) outcomes/impacts; i.e., a change in knowledge, actions or conditions;
- 5) any other indication of increased capacity for carrying out the land grant mission

Final Technical Report:

A final technical report must be submitted to CRIS within 90 days after the expiration date of the project. The expiration date is specified in the award documents and modifications thereto, if any. Generally, the final technical report should be a summary of the completed project, including:

1. A review of project objectives and accomplishments;
2. A description of outcomes resulting from the project and activities undertaken to disseminate these outcomes;
3. An explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project;
4. A description of the projects impact on the PD(s), the institution(s) involved, and the community;
5. Any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53. (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$3,342,000; FY 10 est \$3,342,000; FY 11 est \$3,342,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 Institutions) for Education capacity building and funds are to be distributed equally among institutions that meet eligibility requirements. Equity Funds for ineligible 1994 institutions or of those who fail to apply by the application submission date will be redistributed equally among the remaining eligible 1994 institutions.

Under this RFA, only new applications for four-year continuation awards may be submitted to the TCEG Program. These are project applications not previously submitted to TCEG. All new applications will be reviewed by a review panel using the process and criteria described in Part V of the RFA Application Review Requirements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Science and Education Resources Development, 1400 Independence Avenue, SW, STOP 2250., Washington , District of Columbia 20250-2250 Phone: (202) 401-2855 or (202) 690-0402 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.222 Tribal Colleges Endowment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.222 TRIBAL COLLEGES ENDOWMENT PROGRAM

Tribal Colleges Endowment Program
aka 1994 Institutions Endowment Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Title V, Part C of the Improving Americas Schools Act of 1994, Public law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law

105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits., 7 U.S.C 301 note.

OBJECTIVES:

To enhance educational opportunities at the thirty-four (34) Land Grant Institutions by strengthening their teaching programs in the food and agricultural sciences in targeted need areas.

The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of:

- 1) Curricula design and instructional materials
- 2) Faculty development and preparation for teaching
- 3) Instruction delivery systems
- 4) Student experiential learning
- 5) Equipment & instrumentation for teaching
- 6) Student recruitment and retention

Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Tribal Colleges Endowment Fund benefits the 1994 land-grant institutions by promoting capacity development in teaching programs in the food and agricultural sciences. The Tribal Colleges Endowment Fund, as a teaching capacity development program, is a companion program of the Tribal Colleges Equity Grants Program. It differs primarily from the Equity Grants Program in two (2) respects, namely:

Endowment funds may be escrowed indefinitely and used for major obligations relating to the allowable activities.

As of October 1, 2001, Endowment funds also may be used for facility renovation, repair, construction. and maintenance in support of and in addition to the six (6) targeted areas of support listed below.

Interest distribution from an endowed corpus based on a formula specific to this legislation.

The legislation designates that the interest from the endowment funds benefit the 1994 land-Grant institutions by supporting teaching programs in the food and agricultural sciences in the target areas of:

- 1) Curricula design and instructional materials
- 2) Faculty development and preparation for teaching
- 3) Instruction delivery systems
- 4) Student experiential learning
- 5) Equipment & instrumentation for teaching
- 6) Student recruitment and retention

Additionally, endowment funds released on or after October 1, 2001, also may be used for facility renovation, repair, construction and maintenance to support teaching programs in the food and agriculture sciences in addition to the above six (6) areas, to support the land-grant mission. Interest distribution from an endowed corpus based on a formula specific to this legislation.

Distribution funds received prior to October, 2001 may not be used for new construction.

Institutions that intend to use their Endowment Funds for new construction should submit their plans to USDA, NIFA, National Program Leader, Multicultural Alliances for approval. Institutions that list plans for new construction in their Planning Document will be sent specific guidelines.

Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 534(a)(3) of the Equity in Educational Land-Grant

Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) to require that all eligible recipients of the 1994 Institutions Endowment Fund meet certain accreditation requirements in order to receive interest distributions from that fund. Those LGIs that do not meet these requirements will have their share of funds redistributed to those 1994 institutions which meet accreditation requirements.

Applicant Eligibility:

Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization.

Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) by adding at the end the following: (34) Iisagvik College.

The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation, D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Iisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community College.

Beneficiary Eligibility:

Eligibility is defined by legislation. An institution must be an accredited 1994 Land Grant Institution with current accreditation from a recognized accreditation organization.

Section 7402 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246), amends Section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note: Public Law 103-382) by adding at the end the following: (34) Iisagvik College.

The complete listing of 1994 Land-Grant Institutions (LGIs) is as follows:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Chief Dull Knife College, College of the Menominee Nation, D-Q University, Dine College, Fond du Lac Tribal and Community College, Fort Belknap College, Fort Berthold Community College, Fort Peck Community College, Haskell Indian Nations University, Iisagvik College, Institute of American Indian Arts, Leech Lake Tribal College, Little Big Horn College, Little Priest Tribal College, Navajo Technical College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Saginaw Chippewa Tribal College, Salish Kootenai College, Si Tanka University, Sinte Gleska University, Sisseton Wahpeton College, Sitting Bull College, Southwestern Indian Polytechnic Institute, Stone Child College, Tohono Oodham Community College, Turtle Mountain Community College, United Tribes Technical College, Lac Courte Oreilles Ojibwa Community College, and White Earth Tribal and Community College.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one (1) free of charge. You will receive a DUNS number within several days of your request. Please

note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. There is no application process. Please refer to Section :093 for specific details regarding the Award Procedure.

Award Procedure:

On the termination of each fiscal year, the Secretary of Agriculture withdraws the earned interest from the Endowment fund and distributes it to the 1994s on a pro rata basis. The pro rata basis formula is as follows: 60 percent is based on the Indian student count for each institution; 40 percent is distributed in equal shares.

Interest distributions are made on an annual basis.

The interest earned from the endowment corpus is distributed to each 1994 Institution based on a 60-40 percentage formula:

60 percent (60%) is based on the number of American Indian students enrolled (based on the annual Student Indian Count as defined in Section 390(3) of the Carl D. Perkins Vocational and Applied Technology Education Act) will be furnished to the agency by the American Indian Higher Education Consortium - AIHEC) and

40 percent (40%) on an equal base formula.

The computation is made by NIFA and a letter of notification is sent to each 1994 institution President.

The Endowment Interest distribution is available to the 1994 Institutions to be drawn down at their discretion through the electronic payment systems of either the Department of Health and Human Services (PMS) or through the Treasury ASAP system.

Upon notification of their annual distribution, 1994s are required to submit a Planning Document (subject to approval) on how their Endowment funds will be spent.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not applicable, each year of funding is awarded as a new grant.

Formula and Matching Requirements:

Statutory Formula: Title Equity in Educational Land-Grant Status Act of 1994, Public Law 103-382. Title V, Part C of the Improving Americas Schools Act of 1994, Public Law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law 105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Interest distributions are made on an annual basis.

The Endowment Interest distribution is no-year funds and can be used or held indefinitely. Method of awarding/releasing assistance: by letter of credit.

Reports:

As part of its oversight and accountability responsibilities, NIFA requires that the following documents be submitted by September 30 of each year or within 90 days after having received the interest distribution notification:

Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.)

Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds.

Annual SF- 425, Federal Financial Report, per Agency instructions.

SPECIAL NOTE:

Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution.

Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds. NIFA uses the SF-425, Federal Financial Report to monitor cash. As part of its oversight and accountability responsibilities, NIFA requires that the following documents be submitted by September 30 of each year or within 90 days after having received the interest distribution notification:

Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.)

Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds.

Annual SF- 425, Federal Financial Report, per Agency instructions.

SPECIAL NOTE:

Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution.

Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds. Institutions are required to submit the SF-425, Federal Financial Report, per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grants Section (F/S)
Financial Operations Branch (FOB)
Office of Extramural Programs (OEP)

National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298

Telephone: (202) 401-6520
Fax: (202) 690-3002

E-mail: formulagrantsquestions@nifa.usda.gov. As part of its oversight and accountability responsibilities, NIFA requires that the following documents be submitted by September 30 of each year or within 90 days after having received the interest distribution notification:

Planning Document College intent to utilize the Endowment Funds (Institutions are encouraged to engage in long-range planning and the sharing of ideas within the Tribal College Community.)

Annual Technical/Progress Report How funds were spent over the past year and any impact of those funds.

Annual SF- 425, Federal Financial Report, per Agency instructions.

SPECIAL NOTE:

Specific and detailed guidance regarding the format and content of the Planning Document and Annual Technical/Progress Report accompany the annual Interest Distribution letters which are sent to the Presidents of each 1994 Land-Grant Institution.

Further, the reports are required to keep Congress informed of progress and accomplishments regarding the utilization of the Endowment funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$3,669,843; FY 10 est \$4,096,122; FY 11 est \$4,464,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

The Endowment Interest distribution increases each year in proportion to the Endowment Corpus and the interest earnings for each year; as well as the number of American Indians enrolled at each institution. The highest amount awarded was in 2009 was \$299,509 and the lowest amount was \$57,866. Three Institutions received amounts over \$200,000; 11 Institutions received amounts over \$100,000 and 18 Institutions received amounts under \$99,000.

NOTE: For Fiscal Year (FY) 2009, two (2) LGIs did not meet eligibility criteria for interest distribution.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: It is anticipated that similar accomplishments will be attained in Fiscal Year (FY) 2010. Fiscal Year 2010: It is anticipated that similar accomplishments will be attained in Fiscal Year (FY) 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 301 note; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Science and Education Resources Development (SERD), Multicultural Alliances, 1400 Independence Avenue, SW, STOP 2250, Washington , District of Columbia 20250-2250 Phone: (202) 690-0402 and 401-2855 Fax: (202) 720-3398.

Website Address:

http://www.nifa.usda.gov/nea/education/in_focus/multicultural_if_1994_endowment.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Funds are being escrowed to build a reserve that will be used to plan and develop curricula for two additional degrees focusing on healthful nutrition classes; employ adequate trained staff to ensure culturally important learning styles vs. faculty instruction; and partner with an 1862 University, American Dietetic Association, local college culinary arts program, and Indian health services, to develop formal and community educational programming in diets, tourism management, Type 2 diabetes, and culinary arts. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.223 HISPANIC SERVING INSTITUTIONS EDUCATION GRANTS

HSI Grants

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of section 1455 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) (7 U.S.C. 3241) pursuant to section 815 of Public Law 104-127 and Public Law 110-246. Section 1455 of NARETPA, as reauthorized by section 7128 of Public Law 110-246, provides the Secretary of Agriculture with the authority to make competitive grants (or grants without regard to any requirement for competition) to Hispanic-Serving Institutions for the purpose of promoting and strengthening the ability of Hispanic-Serving Institutions to carry out education, applied research, and related community development programs., 7 U.S.C 3241.

OBJECTIVES:

The objective of this program is to promote and strengthen the ability of Hispanic-Serving Institutions to carry out higher education programs in the food and agricultural sciences. These programs aim to attract outstanding students and produce graduates capable of enhancing the Nation's food and agricultural scientific and professional work force. Grants under this program

will be awarded to: (1) support the activities of consortia of Hispanic-Serving Institutions to enhance educational equity for underrepresented students; (2) strengthen institutional educational capacities including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international educational needs in the food and agricultural sciences; (3) attract and support undergraduate and graduate students from underrepresented groups in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States, beginning with the mentoring of students at the high school level and continuing with the provision of financial support for students through their attainment of a doctoral degree; and (4) facilitate cooperative initiatives between two or more Hispanic-Serving Institutions, or between Hispanic-Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to support one or more of the following target areas or additional target areas as identified in the annual program announcement: Curricula design; materials development; library resources; faculty preparation and enhancement for teaching; instruction delivery systems; scientific instrumentation for teaching; student experiential learning; and student recruitment and retention. 1. Award Limitations
For FY 2009, a lead institution may receive up to two awards. Institutions could serve as collaborators in many applications and awards.

2. Funding Limitations

Please see Part II, C.3., Award Size, for maximum budget requests for regular or collaboration project applications. For FY 2009, there is no limitation on the amount of funding a single awardee may receive either as a lead institution, a cooperating institution, a subawardee or any combination thereof. The lead institution on a Collaboration Project must retain at least 25 percent and no more than 75 percent of the funds awarded.

3. Use of Funds for Facilities

Under the Hispanic-Serving Institutions Education Grants Program, the use of grant funds to plan, acquire, or construct a building or facility is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are incidental to the major purpose for which a grant is issued.

4. Indirect Costs

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

**Special Note on Indirect Costs as in-kind matching contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees'

indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Hispanic serving institutions are eligible to receive funds under this program. "Hispanic serving institutions" means an institution of higher education which, at the time of application, has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students, and which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such certificate; (2) is a public or other nonprofit institutions accredited by a nationally recognized accrediting body; and (3) is legally authorized to provide a program of education beyond the secondary level for which a 2-year associate, baccalaureate, or higher degree is awarded. Applications may be submitted by, and awards may only be made to, public or other non-profit Hispanic-Serving Institutions as defined in the definitions section of this solicitation. For the purposes of this program, the individual branches of a State university system or public system of higher education that are separately accredited as degree granting institutions are treated as separate institutions eligible for awards. Accreditation must be by an agency or association recognized by the Secretary, U.S. Department of Education. Institutions also must be legally authorized to offer at least a two-year program of study creditable toward an associate's or bachelor's degree. Separate branches or campuses of a college or university that are not individually accredited as degree granting institutions are not treated as separate institutions. To be eligible for competitive consideration for an award under this program, a Hispanic-Serving Institution must at the time of application: (1) certify that it has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic students; and (2) provide assurances that not less than 50 percent of the institution's Hispanic students are low-income individuals as defined in the definitions section of this solicitation.

Beneficiary Eligibility:

Hispanic serving institutions, as identified above, are eligible to receive funds under this program.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below: <http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133

and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$5,964,818; FY 10 est \$8,844,818; FY 11 est \$8,844,818 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development, Multicultural Alliances , 1400 Independence Avenue, SW., STOP 2251, Washington , District of Columbia 20024 Phone: (202) 401-2855 or 720-2082 Fax: (202) 720-4924.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.225 COMMUNITY FOOD PROJECTS

Community Food Project Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Community Food Projects Competitive Grants Program (CFPCGP) legislative authority is located in Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Pub. L. 110-246), which authorizes a program of federal grants to establish and carry out Community Food Projects., 7 U.S.C 2034.

OBJECTIVES:

To support the development of community food projects designed to meet the food needs of low-income people; increase the self-reliance of communities in providing for their own needs; and promote comprehensive responses to local food, farm, and nutrition issues.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Community food projects are intended to take a comprehensive approach to developing long-term solutions that help to ensure food security in communities by linking the food sector to community development, economic opportunity, and environmental enhancement. Comprehensive solutions may include elements such as: (1) Improved access to high quality, affordable food among low-income households; (2) support for local food systems, from urban gardening to local farms that provide high quality fresh food, ideally with minimal adverse environmental impact; and (3) expanded economic opportunities for community residents through local business or other economic development, improved employment opportunities, job training, youth apprenticeship, school-to-work transition, and the like. Any solution proposed must tie into community food needs. Successful applicants must provide matching funds, either in cash or in-kind amounting to at least 50 percent of the total cost of the project during the term of the grant award. 1. Construction and Renovation:

With prior approval, and in accordance with applicable Federal cost principles, grant funds may be used to plan, acquire, or construct a building or facility, or to acquire land; and for improvements, alterations, renovations, or repairs to land or buildings, necessary to carry out a funded project under this program. However, requests to use grant funds for such purposes must demonstrate that such expenditures are essential to achieving the major purpose for which the grant request is made.

2. Indirect Costs:
Full Negotiated Rate.

Applicant Eligibility:

Proposals may be submitted by private nonprofit entities. Because projects must promote comprehensive responses to local food, farm, and nutrition issues, applicants are encouraged to seek and create partnership among public, private nonprofit and private for-profit organizations or firms. To be further eligible for a grant, a private nonprofit applicant must meet three mandatory requirements: 1. Have experience in the area of: (a) community food work, particularly concerning small and medium-sized farms, including the provision of food to people in low-income communities and the development of new markets in low-income communities for agricultural producers; or (b) job training and business development activities in low-income communities; 2. demonstrate competency to implement a project, provide fiscal accountability and oversight, collect data, and prepare reports and other appropriate documentation; and 3. demonstrate a willingness to share information with researchers, practitioners, and other interested parties.

Beneficiary Eligibility:

Low income people.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note,

individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an

individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. Successful CFP applicants and PP award applicants MUST provide matching on a dollar-for-dollar basis (100%) for all federal funds awarded.

Matching funds are not required for T & TA grants.

The legislation establishing the FSLC requires that as a condition to receiving a grant from NIFA, the NGO must contribute in-kind resources toward implementing the grant. To comply with this provision, NIFA has determined that applicants must provide at least 25 percent of total project resources on an in-kind basis during the term of the grant award. The Federal share of FSLC costs can be no more than 75 percent of total project costs.

CFP, PP and FSCL grantees may provide matching funds through cash and/or in-kind contributions, including third-party in-kind contributions fairly evaluated, including facilities. The non-federal share of the funding may come from state government, local government, other non-profit entities, or private sources. Examples of qualifying matching contributions may include direct costs such as: rent for office space used exclusively for the funded project; duplication or postage costs; and staff time from an entity other than the applicant for job training or nutrition education.

****SPECIAL NOTES:**

(1) Use of Indirect Costs as In-Kind Matching Contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may

split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent.

(2) MATCHING FUNDS ARE NOT REQUIRED FOR TRAINING AND TECHNICAL ASSISTANCE (T & TA) GRANTS.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch

Office of Extramural Programs

National Institute of Food and Agriculture (NIFA)

U.S. Department of Agriculture (USDA)

STOP 2271

1400 Independence Avenue, SW

Washington, DC 20250-2271. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to

ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-3505-0-1-605.

Obligations:

(Project Grants) FY 09 \$9,600,000; FY 10 est \$4,800,000; FY 11 est \$4,800,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

The FY 09 number is \$10 million or \$9.6 million after the Federal Administration set aside. No funds were provided in FY 08 due to an issue with the dates in the authorizing legislation. However, the FY 08 funds were made available in FY 09 along with the FY 09 funds.

The FY 10 number of \$4.8 is correct. This is the amount available after the Federal Administration set aside is assessed.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Competitive Programs, 1400 Independence Avenue, SW., STOP 2240, Washington, District of Columbia 20024 Phone: (202) 401-1761 or 205-0241 Fax: (202) 401-6488.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.572 WIC Farmers' Market Nutrition Program (FMNP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

1. The applicability and merit of the proposed project in regard to its ability to: Meet the food needs of low-income people in the proposed community for providing for its own food needs; and promote comprehensive responses to local food, farm, and nutrition needs;

2. the capacity to become self-sustaining once Federal funding ends; and

3. organizational and staff qualifications and experience; and 4. additional criteria will be considered relative to the extent the proposed project contributes to:

- (a) developing linkages between two or more sectors of the food system;
- (b) supporting the development of entrepreneurial projects;
- (c) developing innovative linkages between the for-profit and nonprofit food sectors;
- (d) encouraging long-term planning activities and multi-system, interagency approaches; and (e) incorporating linkages to one or more ongoing USDA themes or initiatives referred to in the program guidelines and/or annual proposal solicitation.

10.226 SECONDARY AND TWO-YEAR POSTSECONDARY AGRICULTURE EDUCATION CHALLENGE GRANTS

SPECA Grants Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)), 7 U.S.C 3152 (j); Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S. Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture (USDA), who has delegated the authority to the Director of the National Institute of Food and Agriculture (NIFA), will award grants to: (a) promote and strengthen secondary and 2-year postsecondary agriscience and agribusiness education, and Agriculture in the K-12 Classroom, in order to help ensure the existence in the United States of a qualified workforce to serve the food and agricultural sciences system; and (b) promote complementary and synergistic linkages among secondary, 2-year postsecondary, and higher education programs in the food and agricultural sciences in order to attain excellence in education and to encourage more young Americans to pursue and complete a baccalaureate or higher degree in the food and agricultural sciences., 7 U.S.C 3121.

OBJECTIVES:

The purpose of the Secondary Education, Two-Year Postsecondary Education, and Agriculture in the K-12 Classroom Challenge (SPECA) Grants Program is to: enhance curricula in agricultural education; increase faculty teaching competencies; interest young people in pursuing higher education in order to prepare for scientific and professional careers in the food and agricultural sciences; promote the incorporation of agriscience and agribusiness subject matter into other instructional programs, particularly classes in science, business, and consumer education; facilitate joint initiatives by the grant recipient with other secondary schools, institutions of higher education that award an associate's degree, institutions of higher education that award a bachelor's degree, and nonprofit organizations supporting agriscience and agribusiness education, to maximize the development and use of resources, such as faculty, facilities, and equipment, to improve agriscience and agribusiness education; support other initiatives designed to meet local, State, regional, or national needs related to promoting excellence in agriscience and agribusiness education; and support current Agriculture in the Classroom programs for grades K-12.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used only in targeted areas, e.g., curricula design and materials development, promotion of teaching competencies, promotion of agriscience and agribusiness career information, instruction delivery systems, student experiential learning, and educational activities that increase the diversity of students pursuing degrees in agriscience and agribusiness. CSREES has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Tuition remission not allowed.

Section 7132 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246), amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Beneficiary Eligibility:

Public secondary schools or public or private nonprofit junior and community colleges.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR

Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 100%. A grant recipient is required to match the USDA funds awarded on a dollar-for-dollar (100%) basis from non-Federal sources. Matching funds are not required to come in any particular amount from any one source. However, all matching funds pledged in this application must be accompanied by documentation. See Part IV, B.5. SF 424 (R&R) Budget Fed and Non-Fed, Field K-Budget Justification, for additional details.

**Note #2 - Use of Indirect Costs as In-Kind Matching Contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards

Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$911,454; FY 10 est \$911,454; FY 11 est \$3,343,680 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development, 1400 Independence Avenue, SW., STOP 2251, Washington, District of Columbia 20024 Phone: (202) 401-2855 or 720-1973 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216 1890 Institution Capacity Building Grants; 10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.227 1994 INSTITUTIONS RESEARCH PROGRAM

Tribal Colleges Research Grants Program (TCRGP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended (7 U.S.C. 3121), designates the U. S. Department of Agriculture (USDA) as the lead Federal Agency for agricultural research, extension, and teaching in the food and agricultural sciences. Authority for this program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture may award competitive grants, as defined in section 536 of the Equity in Educational Land Grant Status Act of 1994 (7 U.S.C. 301 note), to assist the 1994 Land-Grant Institutions in conducting agricultural research that addresses high priority concerns of tribal, national or multi-state significance., 7 U.S.C 301 note.

OBJECTIVES:

The Tribal Colleges Research Grants Program (TCRGP) (aka 1994 Institutions Research Program) is a competitive grants program supporting fundamental and/ or applied agricultural research projects that address high priority concerns of tribal, national or multi-state significance. The program funds investigative and analytical studies and experimentation in the food and agricultural sciences (as defined in section 1404 of the NARETPA (7 U.S.C. 3103) and Part VIII, E. of this RFA). TCRGP seeks to advance the body of knowledge in the basic and applied natural and social sciences within the food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This research grants program is authorized to conduct agricultural research that addresses high priority concerns of tribal, national or multi-State significance. NIFA has determined that grant funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

**Special Note on Indirect Costs as in-kind matching contributions. Indirect

costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Orielles Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian National University, Leech Lake Tribal College, College of the Menominee Nation, and Little Priest Tribal College.

Beneficiary Eligibility:

Bay Mills Community College, Blackfeet Community College, Cankdeska Cikana Community College, Cheyenne River Community College, Dine Community College, D-Q University, Dullknife Memorial College, Fond Du Lac Community College, Fort Belknap Community College, Fort Berthold Community College, Fort Peck Community College, LacCourte Oriellese Ojibwa Community College, Little Big Horn Community College, Nebraska Indian Community College, Northwest Indian College, Oglala Lakota College, Salish Kootenai College, Sinte Gleska University, Sisseton Wahpeton Community College, Sitting Bull College, Stonechild Community College, Turtle Mountain Community College, United Tribes Technical College, Southwest Indian Polytechnic Institute, Institute of American Indian Arts, Crownpoint Institute of Technology, Haskell Indian Nations University, Leech Lake Tribal College, College of the Menominee Nation, and Little Priest Tribal College.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals

authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and [Grants.gov](http://www.grants.gov). Applicants must complete the [Grants.gov](http://www.grants.gov) registration process. Please see the following [Grants.gov](http://www.grants.gov) link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per [Grants.gov](http://www.grants.gov) and in the Agency guide to submitting applications via [Grants.gov](http://www.grants.gov).

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers

and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the [Grants.gov](http://www.grants.gov) website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e]. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System

(CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$2,210,445; FY 10 est \$1,678,480; FY 11 est \$1,678,480 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development, 1400 Independence Avenue, SW., STOP 2251, Washington, District of Columbia 20024 Phone: (202) 401-2855 Fax: (202) 720-4924

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for

Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.228 ALASKA NATIVE SERVING AND NATIVE HAWAIIAN SERVING INSTITUTIONS EDUCATION GRANTS

ANNH Grants Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of section 759 of Public Law 106-78 (7 U.S.C. 3242) which was amended and redesignated as section 1419B of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977 (7 U.S.C. 3155). As legislatively reauthorized in the Public Law 110-246, the purpose of this program continues to promote and strengthen the ability of eligible Alaska Native-Serving Institutions and Native Hawaiian-Serving Institutions to carry out education, applied research, and related community development programs within a broadly defined area of food and agricultural sciences and related disciplines. In FY 2009, the Secretary will make competitive grant awards to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the states of Alaska and Hawaii., 7 U.S.C 3242.

OBJECTIVES:

The purpose of this program is to promote and strengthen the ability of Alaska Native-Serving Institutions and Native Hawaiian-Serving (ANNH) Institutions to carry out education, applied research, and related community development programs. NIFA intends this program to address educational needs, as determined by each institution, within a broadly defined area of food and agricultural sciences and related disciplines.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used to enhance educational equity for under-represented students in order to prepare them for careers related to the food, agricultural, and natural resource systems of the United States; to strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified educational needs in the food and agricultural sciences; and to facilitate activities and cooperative initiatives between AN/NH Serving Institutions, or between AN/NH Serving Institutions and units of State government or the private sector, to maximize the development and use of resources, faculty, facilities, and equipment, to improve food and agricultural sciences teaching programs. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project.

Grant funds may not be used for endowment investing.

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the

National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Individual public or private, non-profit Alaska Native-Serving and Native Hawaiian-Serving Institutions of higher education that meet the definitions of Alaska Native-Serving Institution or Native Hawaiian Serving Institution established in Title III, Part A of the Higher Education Act of 1965, as amended (20 U.S.C. 1059d.) are eligible institutions under this program.

Beneficiary Eligibility:

Alaska Native Serving Institutions and Native Hawaiian Serving Institutions.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on

an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.

Funds are awarded competitively. No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and

implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$3,068,160; FY 10 est \$3,072,000; FY 11 est \$3,072,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: WILL BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development, Multicultural Alliances 1400 Independence Avenue, SW., STOP 2251, Washington , District of Columbia 20024 Phone: (202) 401-2855 or 720-0384 Fax: (202) 720-4924

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants; 10.220 Higher Education Multicultural Scholars Program; 10.223 Hispanic Serving Institutions Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.256 CONSUMER DATA INITIATIVE (CDI)

FEDERAL AGENCY:

ECONOMIC RESEARCH SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

FY 2006 Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 109-97, 7 U.S.C. 292, 411, 427, 1441a, 1621-1627, 1704, 1761-68, 2201, 2202, 3103, 3291, 3311, 3504; 22 U.S.C. 3101; 42 U.S.C. 1891-93; 44 U.S.C. 3501-11; 50 U.S.C. 2061 et seq, 2251 et seq.

OBJECTIVES:

To provide economic and other social science information and analysis for public and private decisions on agriculture, food, natural resources, and rural America. ERS produces such information for use by the general public and to help the executive and legislative branches develop, administer, and evaluate agricultural and rural policies and programs.

TYPES OF ASSISTANCE:

Dissemination of Technical Information.

USES AND USE RESTRICTIONS:

ERS performs economic research and analyses related to U.S. and world agriculture that addresses a multitude of economic concerns and decision making needs of Federal, State, and local governments, farmers, farm organizations, farm suppliers, marketers, processors, and consumers. There are no restrictions on the use of ERS produced information.

Applicant Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Beneficiary Eligibility:

Any individual or organization in the U.S. and U.S. Territories is eligible to receive the popular or technical research publications that convey the research results, although there may be a fee.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedures:

Requests for technical and additional information, contact: Chief, Publishing and Communications Branch, ERS, USDA, 1800 M Street, N.W., Washington, DC 20036-5831.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

None.

Audits:

None.

Records:

None.

Account Identification:

12-1701-6-1-352.

Obligations:

(Salaries and Expenses) FY 07 \$84,222,000; FY 08 est not available; and FY

09 est not reported. (Note: Includes reimbursable and all obligations, including those from nonfederal funding).

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research results are available in the agency's publications and periodicals, which can be purchased through the National Technical Information Service (1-800) 999-6779). Reports are also available on the ERS website: <http://www.ers.usda.gov>. Computerized bibliographic data are accessible through AG-ECON and AGRICOLA.

Regional or Local Office:

None.

Headquarters Office:

Director, Extramural Agreement Division, ARS, Room 5601, Sunnyside Avenue, Mail Stop 5110, Beltsville, MD 20705-5110. Telephone: (301) 504-1147.

Website Address:

<http://www.ers.usda.gov>.

RELATED PROGRAMS:

10.001, Agricultural Research_Basic and Applied Research; 10.153, Market News; 10.200, Grants for Agricultural Research, Special Research Grants; 10.202, Cooperative Forestry Research; 10.203, Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500, Cooperative Extension Service; 10.700, National Agricultural Library.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

10.290 AGRICULTURAL MARKET AND ECONOMIC RESEARCH

FEDERAL AGENCY:

The Office of the Chief Economist, Department of Agriculture

AUTHORIZATION:

7 U.S.C. Section 3318, 7 CFR Section 2.29.

OBJECTIVES:

To conduct cooperative economic research and analyses in support of the Office of the Chief Economist's responsibility to advise the Secretary of Agriculture on the economic implications of Department policies, programs, and proposed legislation. These research projects and analyses cover the nation's agricultural commodity markets; risk analyses and cost-benefit analyses related to international food and agriculture; sustainable development; energy issues related to the agricultural economy; agricultural labor; global climate change; and any other topics that support the Department's consideration or review of policies and programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research is conducted that is in cooperation with the Office of the Chief Economist's in-house research and analysis programs and projects. Limited discretionary funds are periodically made available.

Applicant Eligibility:

Applicants are usually nonprofit institutions of higher education or other nonprofit research organizations whose primary purpose is conducting economic research.

Beneficiary Eligibility:

Beneficiaries are usually nonprofit institutions of higher education or other nonprofit research organizations whose primary purpose is conducting scientific research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Upon request of the Office of the Chief Economist, proposals should be submitted to the Office of the Chief Economist, Department of Agriculture.

Award Procedure:

The Office of the Chief Economist considers proposals received, evaluates the qualifications of the proposal with respect to the research issue, and, in consultation with the selected cooperator institution, establishes a funding level and the specific deliverables due at the conclusion of the agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements are normally for a one year period but can be extended upon mutual agreement. See the following for information on how assistance is awarded/released: assistance is awarded based on agreement with cooperator.

Reports:

Reports are set at time of award between recipient and OCE. Cash reports are not applicable. A progress report is negotiated with the recipient at the time of award. An expenditure report is required if included in the initial agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

12-0705-0-1-352.

Obligations:

(Cooperative Agreements) FY 09 \$616,000; FY 10 est \$588,000; FY 11 est \$588,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Chief Economist 1400 Independence Avenue SW
Whitten Building Room 112-A
, Washington, District of Columbia 20250 Phone: 202-

Website Address:

<http://www.usda.gov/oce>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.303 INTEGRATED PROGRAMS

(Integrated Programs)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626), as reauthorized by Section 7306 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246), authorized the Secretary of Agriculture to establish a competitive grants program that provides funding for integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities [as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)], as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB). Section 7129 of the FCEA amended section 406(b) of AREERA (7 U.S.C. 7626(b)), adding Hispanic-serving agricultural colleges and universities (HSACUs) as eligible entities for competitive funds awarded under this authority (see Part III.B. of RFA for more information).
, 7 U.S.C 7626; Section 2(c)(1)(B) of Public Law 89106, as amended.

, Public Law 89-106.

OBJECTIVES:

GENERAL:

NIFA Integrated Programs provide support for integrated research, education, and extension activities. Integrated, multi-functional projects are particularly effective in addressing important agricultural issues through the conduct of problem-focused research that is combined with education and extension of knowledge to those in need of solutions. These activities address critical national, regional, and multi-state agricultural issues, priorities, or problems. Integrated Programs hold the greatest potential to produce and disseminate knowledge and technology directly to end users while providing for educational opportunities to assure agricultural expertise in future generations. See individual program Requests for Applications for additional information about the topics.

SEVERAL PROGRAMS ARE FUNDED UNDER CFDA 10.303. SPECIFIC OBJECTIVES ARE AS FOLLOWS:

Integrated Research, Education, and Extension Competitive Grants Program:
National Integrated Water Quality Program

The goal of the National Integrated Water Quality Program is to improve the quality of our Nation's surface water and groundwater resources through research, education, and extension activities. Projects funded through this program will facilitate achieving this goal by advancing and disseminating the knowledge base available to agricultural and rural communities. Funded projects should lead to science-based decision-making and management practices that improve the quality of the Nation's surface water and groundwater resources in agricultural and rural watersheds. See RFA for priority areas.

**Integrated Research, Education, and Extension Competitive Grants Program:
National Integrated Food Safety Initiative**

The purpose of the National Integrated Food Safety Initiative is to support food safety projects that demonstrate an integrated approach to solving problems in applied food safety research, education, or extension. Various models for integration of applied research, education, and extension will be considered for funding. Applications describing multi-state, multi-institutional, multidisciplinary, and multifunctional activities (and combinations thereof) are encouraged. Applicants are strongly encouraged to address at least two of the three functional areas of research, education, and extension (i.e., research and extension, research and education, or extension and education).

**Integrated Research, Education, And Extension Competitive Grants Program:
Regional Integrated Pest Management Centers**

The goal of the Regional Integrated Pest Management Centers (IPM Centers) is to promote the development and implementation of IPM by facilitating collaboration across states, disciplines, and purposes. IPM Centers will establish and maintain information networks, build partnerships to address pest management challenges and opportunities, evaluate the impact of IPM implementation, communicate positive outcomes to key stakeholders, and manage funding resources effectively. Successful applicants to this program will demonstrate the capacity and commitment necessary to advance the goals of the National Roadmap for Integrated Pest Management (www.ipmcenters.org/IPMRoadMap.pdf), and evaluate the progress of this advancement. The IPM Roadmap addresses pest management needs for production agriculture, natural resources and recreational environments, and residential and public areas.

Integrated Pest Management: Crops at Risk Program

The goal of the CAR program is to enhance the development and implementation of innovative, ecologically based sustainable IPM system(s). Preferably, this should involve a diversity of tactics and approaches for a single or specific food or fiber commodity in commercial production for pre- and/or post-harvest system(s). The program addresses either a major acreage or high value crop commodity such as key fruits and vegetables. The primary emphasis is on crop productivity and profitability, while addressing critical environmental quality and human health issues. The CAR program will fund integrated multifunctional/multidisciplinary research, education, and extension projects for crops with high priority IPM needs as identified by stakeholders.

Integrated Pest Management: Risk Avoidance and Mitigation Program

The goal of the Risk Avoidance and Mitigation (RAMP) program is to enhance the development and implementation of innovative, ecologically based sustainable IPM strategies and system(s) for (a) multi-crop food and fiber production systems; (b) an area-wide or a landscape scale agroecosystem; or (c) a documented pesticide impact on water, human or environmental health. RAMP applications may address major acreage agricultural production systems, high value crops such as key fruit and vegetable systems, or other agroecosystems. The primary emphasis of the application should be on productivity and profitability while addressing critical environmental quality and human health issues. The intent of RAMP is to fund medium-term projects that emphasize systems approaches.

**Integrated Research, Education, and Extension Competitive Grants Program:
Integrated Pest Management: Methyl Bromide Transitions Program**

The goal of the Methyl Bromide Transitions (MBT) program is to support the discovery and implementation of practical pest management alternatives to methyl bromide uses or minimize methyl bromide emissions for which the United States is requesting critical use exemptions. The program is focused on integrated commercial or field scale research that targets short- to medium-term

solutions.

Integrated Organic Program

The purpose of the Integrated Organic Program is to solve critical organic agriculture issues, priorities, or problems through the integration of research, education, and extension activities. The Organic Transitions Program (ORG) funds the development and implementation of research, extension, and higher education programs to improve the competitiveness of organic producers and producers who are adopting organic practices. Funding opportunities for the ORG Program is included in the same Request for Applications (RFA) as the Organic Research and Extension Initiative (OREI).

PLEASE NOTE: THIS PROGRAM DOES NOT FUND START UP BUSINESSES.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This research, education, and extension competitive grants program provides funding for integrated, multi-functional agricultural research, extension, and education activities which addresses priorities in United States agriculture. THE FOLLOWING USES AND RESTRICTIONS ARE APPLICABLE TO:

- (1) Critical Issues (FDC 37610) and
- (2) Regional Rural Development Centers (FDC 51150) (Section 2(c)(1)(B) of Public Law 89-106, as amended):

Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Tuition remission is not allowable.

Indirect costs are not allowable.

THE FOLLOWING USES AND RESTRICTIONS ARE APPLICABLE TO THE FOLLOWING PROGRAMS WHICH ARE AUTHORIZED UNDER (Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

- (1) Water Quality (FDC 51130)
- (2) Food Safety (FDC 51110)
- (3) Regional Pest Management Centers (FDC 51120)
- (4) Crops at Risk (FDC 51100)
- (5) Risk Mitigation Program (FDC 51101)
- (6) Methyl Bromide Transition Program (FDC 51102) and
- (7) Organic Transition - Risk Assessment (FDC 51106)

Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act of 2008 (FCEA), amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total

Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded. If no rate has been established the applicant may indicate "Nonewill negotiate" and a reasonable dollar amount for indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if a proposal is recommended for funding, an indirect cost rate proposal must be submitted prior to award to support the amount of indirect costs requested. NIFA will request an indirect cost rate proposal and provide instructions, as necessary. An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs. If indirect costs are not charged, the phrase "None requested" should be written in this space.

The maximum allowed indirect cost of 22 percent may be claimed under the Federal portion of the award, or the maximum allowed indirect cost of 22 percent may be claimed as matching contributions (if no indirect costs are requested). However, the maximum allowed indirect cost of 22 percent may not be claimed on both the Federal portion of the award and as matching contributions. (Note: An awardee may, as an example, request 11 percent of indirect costs on both the Federal portion of the award and as matching contributions. Or, an awardee may request any other, similar percentage combination that, when combined, does not exceed the 22 percent maximum indirect cost allowed.) Nevertheless, the total combined percent of requested and contributed matching indirect costs cannot exceed 22%.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Beneficiary Eligibility:

State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research and extension institutions and organizations, Federal agencies, private organizations or corporations, and individuals to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization

within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. GENERAL RULES:

- (a) Funds are awarded competitively.
- (b) No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

SPECIFIC PROVISIONS:

Regarding Critical Issues and Regional Rural Development Centers (Section 2(c)(1)(B) of Public Law 89-106, as amended):

NIFA does not require matching or cost sharing support for the above-referenced programs.

However, the provisions indicated below are applicable to the following programs which are authorized under Section 406 of the Agricultural Research, Extension, and

Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

- (1) Water Quality
- (2) Food Safety
- (3) Regional Pest Management Centers
- (4) Crops at Risk
- (5) Risk Mitigation
- (6) Methyl Bromide Transition and
- (7) Organic Transition - Risk Assessment

Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural

Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

If a grant provides a particular benefit to a specific agricultural commodity, and not of national scope, the grant recipient is required to match the USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions. (See Part IV, B., 6. of the RFA for details.)

NIFA may waive the matching funds requirement for a grant if NIFA determines that:

(1) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(2) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Regarding the Critical Issues and Regional Rural Development Centers (Section 2(c)(1)(B) of Public Law 89106, as amended) , normally, competitive research projects will be supported for periods of up to three (3) years.

However, for the other programs (under Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626):

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
Department of Agriculture(USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 09 \$41,632,987; FY 10 est \$45,342,472; FY 11 est \$1,927,820 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

The FY 2011 President's budget request proposes the elimination of all Section 406 programs funded under the Integrated Activities Account. This is a decrease in funding of \$45 million dollars and would result in a large decrease in obligations in FY 2011.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader for Water Quality, Natural Resources and Environment (NRE), 1400 Independence Avenue, SW, STOP 2210,

ADDITIONAL CONTACTS:

USDA, NIFA, National Program Leader; Food Science and Food Safety; Plant and Animal Systems Unit; 1400 Independence Ave., SW; STOP 2220 Washington, DC 20250-2220; Telephone: (202) 401-1954; Fax: (202) 401-1602;

AND

USDA, NIFA, National Program Leader, Plant Systems Section, Plant and Animal Systems Unit; 1400 Independence Ave., SW; STOP 2220; Washington,

DC 20250-2220;

Telephone: (202) 401-4939 or (202) 401-4891 or (202) 401-1112 or (202) 401-3356; fax: 202-401-4888;

, Washington , District of Columbia 20024 Phone: (202) 401-4555 and 205-5952 and Fax: (202) 401-1706.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.304 HOMELAND SECURITY_AGRICULTURAL

FOOD AND AGRICULTURE DEFENSE INITIATIVE (FADI)
(aka Homeland Security Program)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), Section 1472, 7 U.S.C. 3318. , 7 U.S.C 3318.

OBJECTIVES:

To provide funding to protect the food supply and agricultural production. This is accomplished by providing federal assistance, through existing cooperative agreements, to four (4) national agricultural homeland security networks: the National Animal Health Laboratory Network (NAHLN), the National Plant Diagnostic Network (NPDN), the Extension Disaster Education Network (EDEN), and the Pest Information Platform for Education and Extension (ipmPIPE).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These funds are subject to the provisions of 31 U.S.C. 1301 requiring their use only for the purpose for which they were appropriated. Funding is limited to support for the four (4) aforementioned agrosecurity networks. Indirect costs are not allowable costs under this program, and no funds will be approved for this purpose. Further, costs that are a part of an institution's indirect cost pool (e.g., administrative or clerical salaries) may not be reclassified as direct costs for the purpose of making them allowable. Fully discretionary.

Applicant Eligibility:

In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be: State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Beneficiary Eligibility:

In accordance with section 1472(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, (NARETPA) applicant may be: State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or education institutions and

organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food and agricultural sciences of the Department of Agriculture.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (FGOs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this FGO, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. The National Institute of Food and Agriculture (NIFA) solicits proposals to fund existing and new cooperative agreements through a request for application process. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

In Fiscal Year (FY) 2002, a panel of USDA scientists knowledgeable in the

Nation's plant and animal disease diagnostic capability, recommended to the Secretary a plan of initiating a comprehensive national network of laboratories for the rapid detection and diagnosis of plant and animal diseases. The institutions selected by the panel for initial inclusion in the network were recommended using the following criteria:

- (1) Existing scientific capacity;
- (2) Existing laboratory capacity which could be modified to meet Biosafety Level 3 requirements;
- (3) Both animal and plant diagnostic capabilities;
- (4) Geographical location meeting one or more of the following requirements: located in an area with a high risk of disease/pest incursions; located in an area with high concentration of vulnerable agricultural activity; complements or supplements regional Federal laboratory activity or is in an area not effectively served by an existing Federal facility;
- (5) State-of-the-art communication and information technology systems. Must be capable of managing secure communications with other laboratories and central reporting unit; and
- (6) An effective outreach/extension system.

These recommendations were utilized to select the original cooperative agreement recipients and are annually evaluated by USDA/NIFA in network planning.

Proposals are subject to a merit review process conducted by NIFA staff members.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the RFA each fiscal year (FY).

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a Cooperative Agreement under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Grant terms and conditions will specify reporting requirements.

Additional reporting may be mutually negotiated and articulated in the cooperative agreement. NIFA uses SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Grant terms and conditions will specify reporting requirements.

Additional reporting may be mutually negotiated and articulated in the cooperative agreement. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Grant terms and conditions will specify reporting requirements.

Additional reporting may be mutually negotiated and articulated in the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0115-0-1-352.

Obligations:

(Cooperative Agreements) FY 09 \$9,431,000; FY 10 est \$9,397,780; FY 11 est \$9,397,780 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

It is anticipated that awards will range from \$250,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: It is anticipated that accomplishments will be consistent with the prior year. Fiscal Year 2010: It is anticipated that accomplishments will be consistent with the prior year. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Plant and Animal Systems 1400 Independence Avenue, SW, STOP 2220., Washington , District of Columbia 20250-2220 Phone: (202) 401-6301 Fax: (202) 401-6156

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.310 Agriculture and Food Research Initiative (AFRI) ; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Information about the four (4) networks funded by the program can be viewed at:

NPDN: www.npdn.org

NAHLN: www.aphis.usda.gov/animal_health/nahln

EDEN: www.eden.lsu.edu

ipmPIPE: www.ipmPIPE.org. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In Fiscal Year (FY) 2002, a panel of USDA scientists knowledgeable in the Nation's plant and animal disease diagnostic capability, recommended to the Secretary a plan of initiating a comprehensive national network of laboratories for the rapid detection and diagnosis of plant and animal diseases. The institutions selected by the panel for initial inclusion in the network were recommended using the following criteria:

- (1) Existing scientific capacity;
- (2) Existing laboratory capacity which could be modified to meet Biosafety Level 3 requirements;
- (3) Both animal and plant diagnostic capabilities;
- (4) Geographical location meeting one or more of the following requirements: located in an area with a high risk of disease/pest incursions; located in an area with high concentration of vulnerable agricultural activity; complements or supplements regional Federal laboratory activity or is in an area not effectively served by an existing Federal facility;
- (5) State-of-the-art communication and information technology systems. Must be capable of managing secure communications with other laboratories and central reporting unit; and
- (6) An effective outreach/extension system.

These recommendations were utilized to select the original cooperative agreement recipients and are annually evaluated by USDA/NIFA in network planning.

10.305 INTERNATIONAL SCIENCE AND EDUCATION GRANTS (INTERNATIONAL SCIENCE AND EDUCATION (ISE) COMPETITIVE GRANTS PROGRAM)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 229 of the Agricultural Research, Extension and Education Reform Act of 1998 (AREERA) amended Section 1459 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. Section 3292b) to authorize the Secretary of Agriculture to make competitive grants to colleges and universities in order to strengthen United States economic competitiveness and to promote international market development.

Section 7141 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8701 note) authorized the International Science and Education Competitive Grants Program (ISE).

, 7 U.S.C 3292b.

OBJECTIVES:

The purpose of ISE is to support the internationalization of food, agriculture and related programs at U.S. universities and colleges. It is intended that ISE will improve the ability of American students, business people, and community members to compete more effectively in the global world of agriculture. ISE projects are to strengthen the global competence and competitiveness of American colleges, universities and businesses in the food, agriculture, and related sectors. In addition, ISE projects must be directed to agricultural research, extension, and/or teaching activities that enhance the capabilities of American colleges and universities to conduct international collaborative research, extension and teaching. Our success in the global arena will increasingly require U.S. colleges and universities to produce graduates who can operate competently in domestic and international settings. Through their outreach efforts, universities and colleges also play a critical role in helping U.S. producers successfully operate in foreign markets.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funded projects will respond to specific needs and strengths of the university or college that will enable it to strengthen the global competence of students, faculty and staff in agriculture and related areas and enhance business performance in international agriculture and related sectors through extension, research and/or teaching programs. Each institution may submit no more than two proposals as the lead institution. No institution acting as the principal or lead institution will receive more than one grant during the current competition.

Therefore, institutions are encouraged to establish on-campus quality control mechanisms to ensure that only the highest quality proposals are submitted for consideration.

Program funds may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities (i.e., greenhouses, laboratories, or other structures).

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardees' indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by colleges and universities and defined in section 1404 of NARETPA. The terms college and university mean an educational institution in any State which (1) admits as regular students only persons having a certificate of graduation from a school providing secondary education, or the recognized equivalent of such a certificate; (2) is legally authorized within such State to provide a program of education beyond secondary education; (3) provides an education program for which a bachelor's degree or any other higher degree is awarded; (4) is a public or other nonprofit institution; and (5) is accredited by a nationally recognized accrediting agency or association.

Beneficiary Eligibility:

Colleges and universities; public or other nonprofit institutions.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on

as an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW

Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 09 \$3,345,918; FY 10 est \$2,864,582; FY 11 est \$2,849,155 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development (SERD), International Programs 1400 Independence Avenue, SW., STOP 2251, Washington, District of Columbia 20024 Phone: (202) 401-2855 or 690-3852 Fax: (202) 690-2355

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.207 Animal Health and Disease Research; 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants; 10.216

1890 Institution Capacity Building Grants; 10.219 Biotechnology Risk Assessment Research; 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants; 10.250 Agricultural and Rural Economic Research; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.306 BIODIESEL

(Biodiesel Fuel Education Program)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 9006 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) to re-establish the Biodiesel Fuel Education Program which requires that the Secretary make competitive grants to eligible entities to educate governmental and private entities that operate vehicle fleets, other interested entities (as determined by the Secretary), and the public about the benefits of biodiesel fuel use. The Secretary delegated this authority to the Chief Economist, who in turn delegated this authority to the Director of the Office of Energy Policy and New Uses (OEPNU). OEPNU has joined with NIFA for assistance in administering Biodiesel Education under the authorities found in the Economy Act (31 U.S.C. 1535) and Section 1472 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3318). Specific Biodiesel Education administrative provisions are found in 7 CFR 2903., 7 U.S.C 3318.

OBJECTIVES:

The goals of the Biodiesel Fuel Education Program as originally established in Section 9004 of the Farm Security Investment Act of 2002 (7 U.S.C. 8104) were to stimulate biodiesel consumption and to stimulate the development of a biodiesel infrastructure. The information and outreach activities to raise awareness of the benefits of biodiesel fuel use complemented the incentives provided by the Energy Policy Act of 2005 (EPA) (Public Law 109-58), and the Energy Independence and Security Act of 2007 (Public Law 110-140). As a result of increased awareness and consumption of biodiesel over the past 5 years, the FY 2008 Biodiesel Education program will focus on educational programs which will support advances in infrastructure, technology transfer, fuel quality, fuel safety and increasing feedstock production.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This funding is used for education and outreach activities. Program funds may not be used for renovation or refurbishment or research space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition or construction of buildings or facilities. Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds may not be used for any purposes other than those approved in the grant award documents.

When preparing budgets, applicants should limit their requests for recovery of indirect costs to their institutions official negotiated indirect cost rate. If no rate has been established the applicant may indicate "Nonewill negotiate" and a reasonable dollar amount for indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if a proposal is recommended for funding, an indirect cost rate proposal must be submitted prior to award to support the amount of indirect costs requested. CSREES will request an indirect cost rate proposal and provide instructions, as necessary.

An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs. If indirect costs are not charged, the phrase "None

requested" should be written in this space. Grantees electing this alternative will not be allowed to charge, as direct costs, indirect costs that otherwise would be in the grantee's indirect cost pool under the applicable Office of Management and Budget cost principles. Grantees who request no indirect costs will not be permitted to revise their budgets at a later date to charge indirect costs to grant funds.

No other indirect cost recovery caps apply to these funds.

Funds made available under Biodiesel Education shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Applicant Eligibility:

Eligibility is restricted to nonprofit organizations or institution of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Beneficiary Eligibility:

Nonprofit organizations or institutions of higher education (as defined in section 101 of the Higher Education Act of 1965 (20 U.S.C. 1001)).

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil

Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Awards are made as continuation grants, and subsequent annual funding is provided based on demonstrated progress.

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years.

Same level of funding for five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$960,000; FY 10 est \$960,000; FY 11 est \$960,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Plant and Animal Systems (PAS), 1400 Independence Avenue, SW, STOP 2220, Washington, District of Columbia 20024 Phone: (202) 401-5877, 401-6443 or 401- Fax: (202) 401-5179

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Represents Continuation Awards; hence, the projects funded are the same as FY 2008. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.307 ORGANIC AGRICULTURE RESEARCH AND EXTENSION

INITIATIVE

ORGANIC AGRICULTURE RESEARCH AND EXTENSION INITIATIVE (OREI)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended section 1672B of the Food, Agriculture, Conservation, and Trade (FACT) Act of 1990 (7 U.S.C. 5925b) amending and re-authorizing the Organic Agriculture Research and Extension Initiative (OREI). The FACT Act, as amended, authorizes the Secretary of Agriculture, in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB), to make competitive grants to support research and extension activities regarding organically grown and processed agricultural commodities for eight legislatively-defined goals. See RFA Part I.B. for further general information and specific details. , 7 U.S.C 5925b.

OBJECTIVES:

Facilitate the development of organic agriculture production, breeding, and processing methods. Functionally identify soil microbial communities and ways to manage microbial dynamics to enhance nutrient cycling and disease suppression; Develop systemic approaches to weed, insect and disease management; Prevent, control, and treat internal and external parasites in various livestock species; and Breed crops for disease and insect resistance, good yield in a biologically diverse system, compatibility with intercrops, good response to organic fertility sources, horizontal resistance (traits determined by multiple genes). Evaluate the potential economic benefits to producers and processors who use organic methods. Analyze potential economic costs, returns and risks of organic production systems; Use environmental valuation tools to quantify externalities of producing food, and compare externalities of producing organic and conventional food; - Analyze price and market structures, including ability of small, medium-, and large-scale growers to access different markets, in order to frame policies that minimize concentration within the industry; and Analyze marketing channels to document how organic food is distributed, what share of the organic food dollar is returned to the farmer, and the implications of large-scale manufacturers entering the organic market. Explore international trade opportunities for organically grown and processed agricultural commodities. Compare compatibility of certification standards used in different parts of the world, with the ultimate goal of harmonization and reciprocity; Undertake marketing studies of international consumer demand for U.S. produced organic goods; and Perform Welfare analyses (quantified gains and losses for producers and consumers) of trade policies affecting international competitiveness, including implementation of the National Organic Program, domestic support programs such as the Conservation Security Act, country of origin labeling, GMO labeling, etc. Determine desirable traits for organic commodities. Examine relationships between nutrients in the soil and nutrients in the food grown on that soil, including long-term soil nutrient and crop nutrient profiles under conventional and organic management; Perform comparisons of nutrient levels between organic and conventional crops and relationship, if any, between taste and nutrient profile; Investigate the role of post-harvest handling and treatment in the maintenance of quality in fresh market organic products; and Determine the reasons for consumer preferences for organic goods. Identify marketing and policy constraints on the expansion of organic agriculture. Analyze opportunities and constraints to organic agriculture resulting from provisions of the Farm Security and Rural Investment Act of 2002; Investigate specific barriers to markets, such as scale-based regulations that restrict family farm access to processors and/or markets; Study negative lender perception of organic farming and ways to change this; and Analyze regulatory barriers, such as lack of access to Federal farm programs, and developing solutions to these challenges. Conduct advanced on-farm research and development that emphasizes observation of, experimentation with, and innovation for working organic farms, including research relating to production and marketing and to socioeconomic conditions. (Note: Many topics from other goal areas can be conducted on working farms.) Develop rigorous on-farm systems research designs; and Conduct long-term, interdisciplinary systems research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Integrated Organic Program is particularly interested in proposed projects that emphasize research and outreach that assist farmers and ranchers with whole farm planning and ecosystem integration. Projects should plan to deliver applied production information to producers. Fieldwork for both program areas must be done on certified organic land or on land in transition to organic certification, as appropriate to project goals and objectives. Refer to the USDA National Organic Program (<http://www.ams.usda.gov/nop>) for organic production standards. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 19 percent to 22 percent of total Federal funds provided under the award. Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

**Special Note on Indirect Costs as in-kind matching contributions. Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent.

Applicant Eligibility:

Applications may be submitted by State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals. For both ORG and OREI, all award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project.

Beneficiary Eligibility:

State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, national laboratories, private organizations or corporations, and individuals.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of

Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to

minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines: Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website.

Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time: From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 100%. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

Cost Sharing or Matching:

(1) General Requirement

The Secretary shall require the recipient of a grant under this section to provide funds or in-kind support from non-Federal sources in an amount at least equal to the amount provided by the Federal Government.

See R&R Budget section regarding matching funds Part IV, B., 6.of this RFA for more details.

(2) Waiver

NIFA may waive the matching funds requirement specified in the above paragraph for a grant if NIFA determines that:

(a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's

Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants) FY 09 \$17,221,900; FY 10 est \$19,117,032; FY 11 est \$19,117,032 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal

Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Plant and Animal Systems (PAS), 1400 Independence Avenue, SW, STOP 2220, Washington, District of Columbia 20024 Phone: (202) 401-5877 or 401-3356 Fax: (202) 401-4888

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.308 RESIDENT INSTRUCTION GRANTS FOR INSULAR AREA ACTIVITIES

RESIDENT INSTRUCTION GRANTS FOR INSULAR AREA ACTIVITIES

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

This program is administered under the provisions of the Farm Security and Rural Investment Act of 2002 (Section 7501 of Public Law 107-171) as reauthorized in Section 7143 of Public Law 110-246, which amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3101 et seq.) by providing for a program of resident instruction grants for insular areas (7 U.S.C. 3363). Funds are available for a program of competitive grants, with funds to be awarded to one or more individual, eligible institutions of higher education in Insular Areas (Insular Area Institutions) or consortia of such eligible institutions, to carry out teaching and education programs in the food and agricultural sciences., 7 U.S.C 3363.

OBJECTIVES:

In General. -The Secretary of Agriculture shall make competitive grants to eligible institutions to-

(1) strengthen institutional educational capacities, including libraries, curriculum, faculty, scientific instrumentation, instruction delivery systems, and student recruitment and retention, in order to respond to identified State, regional, national, or international education needs in the food and agricultural sciences;

(2) attract and support undergraduate and graduate students in order to educate them in identified areas of national need in the food and agriculture sciences;

(3) facilitate cooperative initiatives between two or more insular area eligible institutions, or between those institutions and units of State Government or organizations in the private sector, to maximize the development and use of resources such as faculty, facilities, and equipment to improve food and agricultural sciences teaching programs; and

(4) conduct undergraduate scholarship programs to assist in meeting national needs for training food and agricultural scientists.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The purpose of the Resident Instruction Grants Program for Institutions of Higher Education in Insular Areas (RIIA) is to promote and strengthen the ability of Insular Area Institutions to carry out teaching and education programs within the broadly defined food and agricultural sciences-related disciplines. Food and agricultural sciences include programs in agriculture, food and fiber, renewable energy and natural resources, forestry, aquaculture, veterinary medicine, family and consumer sciences, home economics, nutrition and dietetics, rural human ecology, rural economic and community development, and other higher education activities and fields of study related to the production, processing, marketing, distribution, conservation, utilization, consumption, research, and development of food and agriculturally-related products and services. Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. Funds may not be used for any purposes other than those approved in the grant award documents. The use of grant funds to plan, acquire, or construct a building or facility is not allowed under this program. With prior approval, and in accordance with the cost principles set forth in OMB Circular No. A-21, some grant funds may be used for minor alterations, renovations, or repairs deemed necessary to retrofit existing teaching or research spaces in order to carry out a funded project. However, requests to use grant funds for such purposes must demonstrate that the alterations, renovations, or repairs are essential to achieving the major purpose of the project. Grant funds may not be used for endowment investing. Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded. Fully discretionary.

Applicant Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. (2) The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Beneficiary Eligibility:

The Secretary of Agriculture shall ensure that each eligible institution, prior to receiving grant funds under subsection (a), shall have a significant demonstrable commitment to higher education programs in the food and agricultural sciences and to each specific subject area for which grant funds under this section are to be used. (2) The Secretary of Agriculture may require that any grant awarded under this section contain provisions that require funds to be targeted to meet the needs identified in section 1402.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to

collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to

producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year. Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>
<http://www.grants.gov>

Section :095 - Range of Approval/Disapproval Time:
From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements. Funds are awarded competitively.

No formula grants are awarded under Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 [7 U.S.C. 3319e].

NIFA does not require matching or cost sharing support for this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
Department of Agriculture (SDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$765,500; FY 10 est \$859,446; FY 11 est \$859,446 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2010: DATA MUST BE PROVIDED BY PROGRAM UNIT AT A FUTURE DATE. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs - General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Science and Education Resources Development (SERD), Higher Education Programs 1400 Independence Avenue, SW, STOP 2251,, Washington , District of Columbia 20024 Phone: (202) 401-2855 or 720-1973 Fax: (202) 720-2030

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.309 SPECIALTY CROP RESEARCH INITIATIVE

SCRI

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Specialty Crop Research Initiative (SCRI) is authorized by Section 7311 of the Food, Conservation, and Energy Act of 2008, which added section 412 to the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA). Section 412 of AREERA establishes a specialty crop research and extension initiative to address the critical needs of the specialty crop industry by developing and disseminating science-based tools to address needs of specific crops and their regions.

OBJECTIVES:

The Specialty Crop Research Initiative (SCRI) was established to solve critical industry issues through research and extension activities. SCRI will give priority to projects that are multistate, multi-institutional, or trans-disciplinary; and include explicit mechanisms to communicate results to producers and the public. Projects must address at least one of five focus areas: research in plant breeding, genetics, and genomics to improve crop characteristics; efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators; efforts to improve production efficiency, productivity, and profitability over the long term; new innovations and technology, including improved mechanization and technologies that delay or inhibit ripening; and methods to prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops including fresh produce.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives.

Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents. **INDIRECT COSTS:**

Not to exceed 22% of Federal Funds awarded.

Applicants may use both the unrecovered indirect costs associated with the Federal Budget and the unrecovered indirect costs associated with the Non-Federal Budget to meet their matching requirements. Indirect costs may not be recovered on third-party matching contributions.

Applicant Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations, individuals, or groups consisting of two or more of these entities.

Beneficiary Eligibility:

Applications may be submitted by Federal agencies, national laboratories, colleges and universities, research institutions and organizations, private organizations or corporations, State agricultural experiment stations,

individuals, or groups consisting of two or more of these entities.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Notice of Intent to Submit an Application: Prospective applicants are asked to email a notification of intent to submit an application. The notification of intent to submit is not required and does not enter into the review of a subsequent application. The information it contains will be used to help program staff plan the review and estimate the potential review workload. This email should include the following information:

Descriptive (draft) title of proposed research;
Name of the Project Director and applicant name if applicable;
Names of other potential co-Project Directors and their affiliations, if applicable;
Focus area(s) addressed, (see Part I(B) for specific details);
Likely type of proposal (Coordinated Agricultural Projects, Standard Research and Extension Projects, Regional Partnerships for Innovation Projects, eXtension Projects, and Research and Extension Planning Projects); and
Subject line of email should read: SCRI Intent to Submit. Emails should be sent to scri@csrees.usda.gov. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process.

Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section :094 - Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

Section :095 - Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal should be submitted at the announced deadline. Renewals are treated in competition with all other pending proposals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 100%. Applicants may use both the unrecovered indirect costs associated with the Federal Budget and the unrecovered indirect costs associated with the Non-Federal Budget to meet their matching requirements. Indirect costs may not be recovered on third-party

matching contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed ten (10) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," and implemented in 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations." This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1502-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$46,653,354; FY 10 est \$46,668,427; FY 11 est \$46,668,427 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Information to be provided by Program at a Future Date.

Fiscal Year 2010: Information to be provided by Program at a Future Date.

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader; Plant and Animal Systems 1400 Independence Avenue, SW, STOP 2220, Washington, District of Columbia 20024 Phone: (202) 401-3356 or (202) 720-4807

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the request for applications.

10.310 AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI)

AFRI

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Competitive, Special and Facilities Research Grant Act, Section 2(2)(b), [7 U.S.C. 450i], 7 U.S.C 450i.

OBJECTIVES:

To establish a new competitive grant program to provide funding for fundamental and applied research, extension, and education to address food and agricultural sciences.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research, extension and education objectives to address food and agricultural sciences. The competitive grants program shall address the following areas:

A) Plant health and production and plant products;

B) Animal health and production and animal products;

C) Food safety, nutrition, and health;

D) Renewable energy, natural resources, and environment;

E) Agriculture systems and technology; and

F) Agriculture economics and rural communities.

Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. Fully discretionary.

Applicant Eligibility:

This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative Request for Applications for the complete eligibility requirements.

Beneficiary Eligibility:

This initiative supports integrated and non-integrated programs. Please refer to Part III, A of the current Agriculture and Food Research Initiative (AFRI) Request for Applications (RFA) for the complete eligibility requirements.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted

organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:
http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, CSREES Application Kit for Research and Extension Programs.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. This information collection is approved under OMB Control No. 0524-0039, CSREES Application Kit for Research and Extension Programs.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Funds are awarded competitively. No formula grants are awarded under this authority.

For equipment grants Unless criteria is met to qualify for waiver by the Secretary, matching shall not exceed 50 percent of the cost of the special research equipment or other equipment acquired using funds from the grant.

For applied research if the grant is commodity-specific; and not of national scope, the grant recipient is required to match USDA funds awarded on a dollar-for-dollar basis from non-Federal sources with cash and/or in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed ten (10) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. Quarterly Reports of Federal Cash Transactions (SF-272) are required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and are submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or "Federal Financial Report" (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Non-profit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants) FY 09 \$143,804,230; FY 10 est \$377,724,798; FY 11 est \$397,533,280 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Information to be provided by Program at a future date.
Fiscal Year 2010: Information to be provided by Program at a future date.
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Competitive Programs 1400 Independence Avenue, SW., STOP 2240,, Washington, District of Columbia 20024 Phone: (202) 401-1782

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.219 Biotechnology Risk Assessment Research; 10.250 Agricultural and Rural Economic Research; 10.500 Cooperative Extension Service; 10.652 Forestry Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.311 BEGINNING FARMER AND RANCHER DEVELOPMENT PROGRAM

BFRDP

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f].

, 7 U.S.C 3319f.

OBJECTIVES:

To support the nations beginning farmers and ranchers by making competitive grants to new and established local and regional training, education, outreach, and technical assistance initiatives that address the needs of beginning farmers and ranchers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive grants are made to support:

- (A) mentoring, apprenticeships, and internships;
- (B) resources and referral;
- (C) assisting beginning farmers or ranchers in acquiring land from retiring farmers and ranchers;
- (D) innovative farm and ranch transfer strategies;
- (E) entrepreneurship and business training;
- (F) model land leasing contracts;
- (G) financial management training;
- (H) whole farm planning;
- (I) conservation assistance;
- (J) risk management education;
- (K) diversification and marketing strategies;
- (L) curriculum development;
- (M) understanding the impact of concentration and globalization;
- (N) basic livestock and crop farming practices;
- (O) the acquisition and management of agricultural credit;
- (P) environmental compliance;
- (Q) information processing; and
- (R) other similar subject areas of use to beginning farmers or ranchers.

In addition, cooperative agreements may be awarded to establish Education Teams:

(1) In General:

In carrying out this section, the Secretary shall establish beginning farmer and rancher education teams to develop curricula and conduct educational programs and workshops for beginning farmers or ranchers in diverse geographical areas of the United States.

(2) Curriculum:

In promoting the development of curricula, the Secretary shall, to the maximum extent practicable, include modules tailored to specific audiences of beginning farmers or ranchers, based on crop or regional diversity.

(3) Composition:

In establishing an education team for a specific program or workshop, the Secretary shall, to the maximum extent practicable:

(A) obtain the short-term services of specialists with knowledge and expertise in programs serving beginning farmers or ranchers; and

(B) use officers and employees of the Department with direct experience in programs of the Department that may be taught as part of the curriculum for the program or workshop.

(4) Cooperation:

(A) In General:

In carrying out this subsection, the Secretary shall cooperate, to the maximum extent practicable, with:

- (i) State cooperative extension services;
- (ii) Federal and State agencies;
- (iii) community-based and nongovernmental organizations;
- (iv) colleges and universities (including an institution awarding an associates degree) or foundations maintained by a college or university; and
- (v) other appropriate partners, as determined by the Secretary.

(B) Cooperative Agreement:

Notwithstanding chapter 63 of title 31, United States Code, the Secretary may enter into a cooperative agreement to reflect the terms of any cooperation under subparagraph (A).

An award also may be made to establish a Curriculum and Training Clearinghouse.

The Secretary shall establish an online clearinghouse that makes available to beginning farmers or ranchers education curricula and training materials and programs, which may include online courses for direct use by beginning farmers or ranchers.

Set-Aside:

Not less than 25 percent of funds used to carry out this subsection for a fiscal year shall be used to support programs and services that address the needs of:

- (A) limited resource beginning farmers or ranchers (as defined by the Secretary);
- (B) socially disadvantaged beginning farmers or ranchers (as defined in section 355(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2003(e)); and
- (C) farmworkers desiring to become farmers or ranchers. Set-Aside: Not less than 25 percent of funds used to carry out this subsection for a fiscal year shall be used to support programs and services that address the needs of:
 - (A) limited resource beginning farmers or ranchers (as defined by the Secretary);
 - (B) socially disadvantaged beginning farmers or ranchers (as defined in section 355(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 2003(e)); and
 - (C) farmworkers desiring to become farmers or ranchers.

Prohibition:

A grant made under this subsection may not be used for the planning, repair, rehabilitation, acquisition, or construction of a building or facility. Fully discretionary.

Applicant Eligibility:

The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Beneficiary Eligibility:

The recipient must be a collaborative, State, tribal, local, or regionally-based network or partnership of public or private entities, which may include: state cooperative extension service; community-based and nongovernmental organization; college or university (including institutions awarding associate degrees); or any other appropriate partner. Others may be eligible to apply. Please refer to Part III of the current BFRDP Request for Applications for complete eligibility requirements.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You

will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following

factors:

(a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;

(b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria:

In making grants under this subsection, the Secretary shall evaluate:

(A) relevancy;

(B) technical merit;

(C) achievability;

(D) the expertise and track record of 1 or more applicants;

(E) the adequacy of plans for the participatory evaluation process, outcome-based reporting, and the communication of findings and results beyond the immediate target audience; and

(F) other appropriate factors, as determined by the Secretary.

Regional Balance:

In making grants under this subsection, the Secretary shall, to the maximum extent practicable, ensure geographical diversity.

Priority:

In making grants under this subsection, the Secretary shall give priority to partnerships and collaborations that are led by or include nongovernmental and community based organizations with expertise in new agricultural producer training and outreach.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Funds are awarded competitively. No formula grants are awarded under Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f].

To be eligible to receive a grant under this program, a recipient must provide a match in the form of cash or in-kind contributions in an amount equal to 25 percent of the funds provided by the grant.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission. Quarterly Reports of Federal Cash Transactions (SF-272) are required by the Department of Health and Human Services (DHHS) (www.dpm.psc.gov) and are submitted online through the DHHS Payment Management System (PMS) website. If you become delinquent in these reports, you will not be able to access your funds.

A final Financial Status Report (SF-269) or "Federal Financial Report" (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53. (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements) FY 09 \$17,280,000; FY 10 est \$18,145,504; FY 11 est \$18,145,504 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement or RFA. However, the amount of the competitive grants shall not exceed \$ 250,000 for each year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To be provided by Program at a future date. Fiscal Year 2010: To be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Economic and Community Systems or Competitive Programs 1400 Independence Avenue, SW., STOP 2215 or STOP 2240, Washington, District of Columbia 20024 Phone: (202) 690-3162

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.312 BIOMASS RESEARCH AND DEVELOPMENT INITIATIVE COMPETITIVE GRANTS PROGRAM (BRDI)

BRDI

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Collaboration between Department of Energy (DOE) and Department of Agriculture (USDA) on a Biomass Research and Development Initiative is directed under various statutory authorities, primarily the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-426) and the Energy Policy Act of 2005 (EPAAct 2005)(Public Law 109-58; Section 941). USDAs authorization appears in Section 9008 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-426) which replaced section 9008 of the Farm Security, and Rural Investment Act of 2002 (FSRIA) (Public Law 107-171) [7 U.S.C. 8108]; thereby amending section 307 of the Biomass Research and Development Act of 2000 (BRDA) (7 U.S.C. 7624 note, and 7 U.S.C. 8101 note; Public Law 106224). Pursuant to Executive Order 13134,

BRDA can be found at (7 U.S.C .8601 et seq). BRDA authorizes the Biomass Research and Development. , Public Law 109-58, 7 U.S.C 8606.

OBJECTIVES:

To carry out research on and development and demonstration of (A) biofuels and biobased products; and (B) the methods, practices, and technologies, for the production of biofuels and biobased products.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees).

Funds may not be used for any purposes other than those approved in the grant award documents. Indirect costs may not exceed 22% of Federal Funds Awarded.

Matching Requirements:

20% for Research and Development Projects / 50% for Demonstration and Commercial Projects. Fully discretionary.

Applicant Eligibility:

Eligible entities include:

- (A) an institution of higher education;
- (B) a National Laboratory;
- (C) a Federal research agency;
- (D) a State research agency;
- (E) a private sector entity;
- (F) a nonprofit organization; or
- (G) a consortium of 2 or more entities described in subparagraphs (A) through (F).

Beneficiary Eligibility:

Eligible entities include:

- (A) an institution of higher education;
- (B) a National Laboratory;
- (C) a Federal research agency;
- (D) a State research agency;
- (E) a private sector entity;
- (F) a nonprofit organization; or
- (G) a consortium of 2 or more entities described in subparagraphs (A) through (F).

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for

Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov. This information collection is approved under OMB Control No. 0524-0039, CSREES Application Kit for Research and Extension Programs.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFAs for each fiscal year.

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are announced in the RFAs for each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds are awarded competitively. No formula grants are awarded under this authority.

The minimum required recipient cost share must be at least 20% of the total allowable costs for Research and Development (R&D) projects and 50% of the total allowable costs for Demonstration projects.

Applicant cost share must come from non-Federal sources unless otherwise allowed by law.

No blending of R&D and Demonstration or associated cost share will be permitted.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of a competitive grant under this authority may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Non-profit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1003-0-1-352.

Obligations:

(Project Grants) FY 09 \$19,136,786; FY 10 est \$26,880,000; FY 11 est \$28,800,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To be provided by Program at a future date. Fiscal Year 2010: To be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (OMB Circular A-110); 2 CFR Part 220, Cost Principles for Educational Institutions (OMB Circular A-21); 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87); 2 CFR Part 230, Cost Principles for Non-profit Organizations (OMB Circular A-122); 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader; Plant and Animal Systems, 1400 Independence Avenue, S.W., STOP 2220, , Washington, District of Columbia 20024 Phone: (202) 401-3356

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.212 Small Business Innovation Research; 10.215 Sustainable Agriculture Research and Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

**10.313 VETERINARY MEDICINE LOAN REPAYMENT PROGRAM
VLMRP****FEDERAL AGENCY:**

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NAREPTA), Section 1415A [7 U.S.C. 3151a].

OBJECTIVES:

The program responds to the current shortage of large animal veterinarians in rural areas by encouraging veterinarians, through loan repayment, to provide veterinary service in designated shortage areas.

To carry out a program of entering into agreements with veterinarians under which the Secretary shall pay specified amounts of the principal and interest of qualifying educational loans of the veterinarians.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

(1) SERVICE IN SHORTAGE SITUATIONS. The Secretary shall carry out a program of entering into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations. For each year of such service under an agreement under this paragraph, the Secretary shall pay an amount, as determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.

(2) SERVICE TO FEDERAL GOVERNMENT IN EMERGENCY SITUATIONS.

(A) IN GENERAL. The Secretary may enter into agreements of 1 year duration with veterinarians who have agreements pursuant to paragraph (1) for such veterinarians to provide services to the Federal Government in emergency situations, as determined by the Secretary, under terms and conditions specified in the agreement. Pursuant to an agreement under this paragraph, the Secretary shall pay an amount, in addition to the amount paid pursuant to the agreement in paragraph (1), as determined by the Secretary and specified in the agreement, of the principal and interest of qualifying educational loans of the veterinarians.

(B) REQUIREMENTS. Agreements entered into under this paragraph shall include the following:

- (i) A veterinarian shall not be required to serve more than 60 working days per year of the agreement.
- (ii) A veterinarian who provides service pursuant to the agreement shall receive a salary commensurate with the duties and shall be reimbursed for travel and per diem expenses as appropriate for the duration of the service.

None of the funds appropriated to the Secretary under subsection (f) may be used to carry out section 5379 of title 5, United States Code. None of the funds appropriated to the Secretary under subsection (f) may be used to carry out section 5379 of title 5, United States Code. Fully discretionary. Agreements with veterinarians under which the Secretary shall pay specified amounts of the principal and interest of qualifying educational loans of the veterinarians.

Applicant Eligibility:

The Secretary may enter into agreements with veterinarians under which the veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Beneficiary Eligibility:

The Secretary may enter into agreements with veterinarians under which the

veterinarians agree to provide, for a period of time as determined by the Secretary and specified in the agreement, veterinary services in veterinarian shortage situations.

Credentials/Documentation:

Applicants must furnish the information required in the request for applications (RFAs), which include: (1) a Loan Information Form for each loan to be considered for repayment; (2) an Intent of Employment form providing assurance that the applicant will be engaged in employment at a NIFA-designated veterinary shortage area for not less than three years from the anticipated effective date of the VMLRP contract between the individual and NIFA; and (3) a signed VMLRP Contract by which the applicant agrees to serve the obligated minimum period of three years providing veterinary service in a designated veterinary shortage area. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per the VMLRP website at www.nifa.usda.gov/vmlrp. Qualified individuals interested in participating in the VMLRP should visit the VMLRP website for all application forms and requirements. The application will be evaluated by a peer review panel, convened for this purpose by the NIFA. In selecting applicants for participation in the VMLRP, the panel will consider eligibility status, quality of the applicant, and the applicants career plan focus. All selections are subject to final approval by the VMLRP manager. The NIFA will notify the State Animal Health Official and the applicant of the outcome of the review.

Award Procedure:

Once the applicant is selected to participate in the VMLRP, the applicant and the NIFA Director (or Secretary of Agriculture) will sign a written binding contract stating the terms and conditions for participating in the VMLRP. The effective date of the contract will be the date it is signed by the NIFA Director, or the date employment/service begins at an NIFA-designated veterinary shortage area, whichever is later.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Dates for specific deadlines are announced in the RFAs or guidelines for each fiscal year.

Appeals:

Not Applicable.

Renewals:

A VMLRP participant completing the initial 3-year period of qualified veterinary service has an option to extend the obligatory period of service on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The VMLRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service. Method of awarding/releasing assistance: quarterly.

Reports:

1) Quarterly Report: VMLRP recipients will be required to verify that the terms of the VMLRP contract are being met on a quarterly basis. Subsequent quarterly loan repayments will not be disbursed until this verification is provided. This report will be due ten business days after the end of each 3

month interval during the VMLRP contract for the previous 3 month period and must include the following information: (a) A listing of states, counties, and/or insular areas served (b) A listing of veterinary services and activities provided in the shortage situation (c) Percentage time (on a 40-hour week basis) providing service to veterinary shortage situation identified in the agreement. Program participants are responsible for notifying NIFA of any changes in the service being provided in the specified shortage situation during the 3-year period. It is strongly recommended that program participants advise NIFA of these changes at least 2 months in advance to allow sufficient processing time. Failure to provide the updated information may result in the termination of the VMLRP contract and the program participant may be subject to penalties as outlined in Section C, Paragraph 3 of the contract.

SPECIAL NOTES: See below for information regarding submission of the Termination Report. Please refer to 7 CFR Part 3431 and annual RFA for further details. Cash reports are not applicable. See above for information regarding submission of Quarterly Reports. See below for information regarding submission of the Termination Report.

SPECIAL NOTE: Please refer to 7 CFR Part 3431 and annual RFA for further details. Expenditure reports are not applicable. 2) Termination Report: At the end of the term of the agreement, the recipient and his/her supervisor will each prepare and submit to NIFA a Termination Report that addresses the following, as well as any other information the participants wish to share with NIFAs VMLRP leadership:

- a. A summary of the services provided toward mitigation of the original veterinary shortage situation, as well as other veterinary services provided to the local community, institution, or other employing entity,
- b. An assessment of the current state of veterinary services in the recipients service area and adjacent service areas, or specialty area, with emphasis on identification of ongoing shortage situations.
- c. An assessment of future veterinary service needs and trends in the recipients service (or specialty area) and, to the extent such information may be known, adjacent service areas, with emphasis on identification of ongoing shortage situations, either geographic or disciplinary.
- d. An appraisal of the overall effectiveness of the VMLRP in addressing the specific veterinary shortage situation served by the awardee, including the role of the mentor (where applicable). The Termination Report must be submitted by email attachment to vmlrp@nifa.usda.gov, preferably as an MS Word or WordPerfect document. The subject line and the first line of the body of the email should include, in the following order: Termination Report: <Last name of recipient>, <award number>, <shortage situation code>.

SPECIAL NOTES:

See above for specific details regarding submission of the Quarterly Reports. Please refer to 7 CFR Part 3431 and annual RFA for further details.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Please refer to 7 CFR Part 3431 for guidance.

Records:

Please refer to 7 CFR Part 3431 for guidance.

Account Identification:

12-1500-0-1-352.

Obligations:

(Direct Payments for Specified Use) FY 09 \$0; FY 10 est \$8,606,987; FY 11 est \$4,320,000 - No funding was obligated in FY 2009 because this is a new program and we had our first application cycle in FY 2010. These are "no-year" funds. Hence, carryover and FY '10 funds awarded in FY '10 are reflected. Further, FY 11 funds will be awarded in FY '11.

The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

Minimum and maximum amounts of funding per grant are established by the annual program announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Final Rule for the VMLRP was published in the Federal Register on April 19, 2010, Document 2010-8628 [FR Vol 75, No. 74 - (Pages 20239 - 20248).

Regional or Local Office:

None.

Headquarters Office:

Department of Agriculture, National Institute of Food and Agriculture, National Program Leader, Plant & Animal Systems, 1400 Independence Avenue, SW., STOP 2220, Washington, District of Columbia 20250-2220 Phone: (202) 401-4952.

Website Address:

<http://www.nifa.usda.gov/vmlrp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In carrying out its review, the peer review panel will take into account the quality of match between an applicants professional training and experience and the circumstances and needs of the designated shortage situation the applicant proposes to fill. The following evaluation criteria will be considered in the context of the factors and circumstances necessary for 1) most effective mitigation of the specific veterinary shortage situation, 2) prioritizing the relatively more severe shortages and, 3) achieving the highest likelihood that awardees will realize employment satisfaction and professional success during and beyond the term of the VMLRP agreement. Additional guidelines are described in the RFAs.

10.314 NEW ERA RURAL TECHNOLOGY COMPETITIVE GRANTS PROGRAM

Rural Technology Program (RTP)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 , 7 U.S.C 3319e.

OBJECTIVES:

To establish a competitive grants program to provide funding for technology development, applied research, and training to aid in the development of an agriculture-based renewable energy workforce.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants

USES AND USE RESTRICTIONS:

In General:

In carrying out this section, the Secretary shall establish a program to make grant funds available for approved technology development, applied research, and training to aid in the development of an agriculture-based renewable energy workforce. Grant funds must be used for allowable costs necessary to conduct the program. The initiative shall support the following fields:

- (A) bioenergy;
- (B) pulp and paper manufacturing; and
- (C) agriculture-based renewable energy resources.

Grant Priority:

In providing grants under this section, the Secretary shall give preference to eligible entities working in partnership

- (1) to improve information-sharing capacity; and
- (2) to maximize the ability to meet the following requirements for funding delineated in (A), (B) and (C) of Section :081 below.

Applicant Eligibility:

Applications may be submitted by either: (1) public or private nonprofit community colleges, or (2) advanced technological centers. Must see RFA (Part III.A) for specific eligibility requirements.

Beneficiary Eligibility:

Applications may be submitted by either: (1) public or private nonprofit community colleges, or (2) advanced technological centers. Must see RFA (Part III.A) for specific eligibility requirements.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. 091 Preapplication Coordination:

All RFAs are published on the Agencys website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp.

:092 Application Procedure:

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to

submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the RFA. Priority: In providing grants under this section, the Secretary shall give preference to eligible entities: (1) to improve information-sharing capacity; and (2) to maximize the ability to meet the requirements as delineated in (A), (B) and (C) of Section :081 above.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch,

Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$692,870; FY 10 est \$809,870; FY 11 est \$809,870 - Computation of estimated amount available: Appropriations \$750,000 LESS: Federal Administration \$30,000 and Small Business set-aside \$18,000 = \$ 702,000.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To be provided by Program at a future date. Fiscal Year 2010: To be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for

Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations and 7 CFR Part 3021, Government wide Requirements for Drug-Free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, Science and Education Resources Development (SERD) - Higher Education USDA, NIFA, National Program Leader, Science and Education Resources Development (SERD) Higher Education, 1400 Independence Avenue, SW, STOP 2251, Washington, DC 20024. Telephone: (202) 720-2067 and Facsimile: (202) 720-3945. , Washington, District of Columbia 20024 Phone: 202-720-2067 Fax: 202-720-3945

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.217 Higher Education Challenge Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.315 TRADE ADJUSTMENT ASSISTANCE FOR FARMERS TRAINING COORDINATION PROGRAM (TAAF)

Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Subtitle C of Title 1 of the Trade Adjustment Assistance Reform Act of 2002 (Public Law 107-210), amended the Trade Act of 1974 (Public Law 93-618) establishing the Trade Adjustment Assistance for Farmers (TAAF) program. The American Recovery and Reinvestment Act of 2009 (ARRA) (Public Law 111-5) reauthorizes and amends the TAAF Program to provide both technical assistance and cash benefits to eligible producers of raw agricultural commodities and fishermen impacted by increased imports contributing to a specific decrease in the national average price, or quantity of production, or value of production of, or cash receipts for the agricultural commodity or fishery products compared to the average of the three (3) preceding marketing years. ARRA amendments to the TAAF program add certain classes of fisherman, increase the reporting requirements and overall transparency of the program, clarify eligibility determinations and associated processes, and clarify the roles and responsibilities of the applicants and the Federal partners., Public Law 107-210.

OBJECTIVES:

The purpose of the TAAF program is to provide U.S. agricultural producers whose commodities have been certified as affected by imports, and who have been approved through individual application, with the knowledge, skills and tools needed to make adjustments to their operations, business plans, marketing plans for their operations, with the goal of enhancing farm profitability and ability to adjust to imports. Producers of commodities certified by the USDA Foreign Agricultural Service (FAS) and who meet the eligibility requirements by USDA Farm Service Agency (FSA) are eligible for TAAF program participation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

General Uses:

Through this RFA NIFA requests applications for the TAAF Training Coordination Program to establish a National TAAF Training Coordination Center responsible for the development and delivery of training and technical assistance to TAAF-eligible producers for business planning, diversification of enterprises, marketing, and other beneficial subjects as needed to aid producers

in adjusting to the impact of imported commodities. The National TAAF Training Coordination Center will design and administer the training requirements of TAAF, develop communications regarding TAAF training and technical assistance, and comply with reporting requirements as described under Part I(B) and in Part VI(D). The successful National TAAF Training Coordination Program applicant will enter into a cooperative agreement with NIFA for establishment of the National TAAF Training Coordination Center to coordinate and implement the training and technical assistance component of the TAAF program under section 296(b) of the Trade Act of 1974 (19 U.S.C. 2401e). Please be advised that the following components of the TAAF program will be administered by FAS/FSA: certification of agricultural commodities eligibility certifications, approval of applications for cash benefits, and payments to implement business plans.

FAS, NIFA, FSA, and the Agricultural Marketing Service (AMS) will all be involved in the TAAF outreach effort. FAS has established a website for TAAF which is now linked to USDA, FSA, NIFA, AMS websites and can be found at: <http://www.fas.usda.gov/ITP/TAA/taa.asp>. Implementing regulations governing the TAAF program (including specific requirements for the provision of training and technical assistance) are expected to be published shortly in the Federal Register (as a Final Rule).

Applicants to the National TAAF Training Coordination Program must show their capacity to provide TAAF program coordination and training as described below:

1. Coordination of TAAF

Awardee will establish a National TAAF Training Coordination Center The National TAAF Training Coordination Center will:

- o Develop rapid response implementation procedures for TAAF training and associated reporting activities;
- o Contract with or make grants to state Cooperative Extension services or other qualified provider(s) to deliver TAAF training and technical assistance to TAAF-eligible producers;
- o Conduct regional training workshops for TAAF trainers on existing or emerging TAAF topics;
- o Develop a National and regional outreach and communication plan in concert with relevant agencies of the Department of Agriculture to promote the TAAF program;
- o Provide effective TAAF communication at intra- and inter-regional and National levels, including use of media outlets and the distribution of TAAF materials;
- o Provide a National one-stop source of TAAF information (National TAAF Training Coordination Center website).
- o Coordinate with and report to CSREES, and report training activities and performance measures into associated ARRA data management systems (as described in Part VI. (D));

2. Training and Technical Assistance for TAAF

Provide Initial Technical Assistance Training that will:

- o Help TAAF-eligible producers improve their yield and marketing of certified agricultural commodities; and/or
- o Enhance the feasibility and desirability of initiating production of one or more alternative agricultural commodities in exchange for agricultural commodities certified as eligible for TAAF.

Provide Intensive Technical Assistance Training that includes:

- o A series of courses to further assist TAAF-eligible producers in improving their competitiveness producing certified agricultural commodities with respect to which the producer was certified under this chapter; or one or more alternative agricultural commodities;
- o Assistance to TAAF-eligible producers with developing an initial business plan based on the initial technical assistance training that can be approved in accordance with the requirements that will be set out in 7 CFR 1580.32. An approved plan must reflect the skills gained by the producer through the intensive technical assistance courses, and demonstrate how the producer will apply those skills to make adjustments to its business and marketing plans and

operations with the goal of enhancing its farm profitability and ability to adjust to imports; and

o Assistance in developing a long-term business adjustment plan that meets regulatory requirements and includes steps to materially contribute to the economic adjustment of producers to changing market conditions, while taking into consideration the interests of the workers employed by the producer and demonstrating that the producer will have sufficient resources to implement the business plan. Indirect costs may not exceed ten percent (10%) of the total Federal funds provided under this award (TFFA). The recovery of indirect costs on the cooperative agreement made by NIFA under this program, as well as subawards may not exceed the lesser of the institutions official negotiated indirect cost rate or the equivalent of 10 percent of total Federal funds awarded.

NIFA has determined that funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Applicant Eligibility:

Applications may be submitted by organizations and institutions that are part of the Land Grant system and/or State Cooperative Extension services.

Beneficiary Eligibility:

Applications may be submitted by organizations and institutions that are part of the Land Grant system and/or State Cooperative Extension services.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs).

Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a

determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. NIFA does not require matching or cost sharing support for this program, and matching resources will not be factored into the review process as evaluation criteria. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Three (3) year project period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Funded projects will be expected to verify program accomplishments. Detailed information on the performance measures for TAAF can be found on the OMB website at: <http://www.whitehouse.gov/omb/expectmore/detail/10003023.2006.html#performanceMeasures>.

Successful applicants will be responsible for reporting to NIFA, and reporting activities into associated ARRA data management systems.

NIFA Reports:

CRIS Reports Grantees are required to submit annual and summary evaluation reports via NIFA's Current Research Information System (CRIS) <http://cwf.uvm.edu/cris>. When access to the web is unavailable, please contact the CRIS Office at 202-690-0009 or e-mail cdeckers@cris.csrees.usda.gov. CRIS is an electronic, Web-based inventory system that facilitates both grantee submissions of project outcomes and public access to information on Federally-funded projects.

CRIS reports are required to include the subaward and subcontract level information as agreed to in the Terms and Conditions of Award.

PLEASE NOTE: These reports are made to NIFA by the awardee via CRIS.

ARRA Reports:

Funds awarded pursuant to this RFA were made available by section 1887 of the American Recovery and Reinvestment Tax Act of 2009 (Div. B of ARRA). Specific reporting requirements under ARRA will be identified in the Terms and Conditions of the Award.

1. **Award Level Transaction Data Feed** Awardees are required to submit bimonthly reports to NIFA detailing funds distribution as technical training assistance is initiated (this information ultimately feeds to the USAspending.gov).

Report frequency is currently bimonthly, however the frequency of report transmissions may change to be required weekly within the terms of the award. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

PLEASE NOTE: These reports are made to NIFA by the awardee as agreed to in the Terms and Conditions of the award.

2. **Funding Notifications** This is a cumulative report that is to be completed immediately upon release of any type of public notification (local, regional, or National) including: press releases, significant web updates, public presentations that refer to TAAF, TAAF training opportunity announcements, and any other public sharing of information related to the use of ARRA funds. Detailed information can be at the OMB website at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-15.pdf or via the direct link for OMB Guidance: M-09-15).

PLEASE NOTE: These reports are made to NIFA by the awardee as agreed to in the Terms and Conditions of the award.

Quarterly Recipient Reporting Beginning October 1, 2009, this reporting is mandated in 1512 of the ARRA. Detailed information can be at the OMB

website at:

http://www.recovery.gov/?q=content/program-plan&program_id=7544 or via the direct link for OMB Guidance: M-09-21. A centralized reporting tool is being implemented to facilitate and ease the reporting process. Section 1512 reporting requirements were further elucidated by OMB Webinars presented during the week of July 20, 2009 (archived on the OMB Webinar website).

The initial report deadline is October 10, 2009 and will recur quarterly for the duration of the award. Data validation is performed by FAS. Any corrections will be returned to the awardee and must be addressed during the last week of the month in which they were returned. The final draft of each report will be moved to Recovery.gov on the last day of the report month.

PLEASE NOTE: These reports are made to www.FederalReporting.Gov by the TAAF Training Coordination Program awardee as agreed to in the Terms and Conditions of the award.

3. Performance Measures:

The measures have been revised to enrich the performance metrics for Recovery targets. In some instances, targets will not be available until additional baseline data has been collected. Please refer to Performance Measures published on the Recovery.gov for the FAS TAAF Recovery Plan which are available via the direct link or at the following website: TAAF Performance Measures or http://www.recovery.gov/?q=content/program-plan&program_id=7544

A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. NIFA uses the SF-425, Federal Financial Report to monitor cash. Funded projects will be expected to verify program accomplishments. Detailed information on the performance measures for TAAF can be found on the OMB website at: <http://www.whitehouse.gov/omb/expectmore/detail/10003023.2006.html#performanceMeasures>.

Successful applicants will be responsible for reporting to NIFA, and reporting activities into associated ARRA data management systems.

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1400 Independence Avenue, SW
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Telephone: (202) 401-4986.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1408-0-1-352.

Obligations:

(Cooperative Agreements) FY 09 \$17,640,000; FY 10 est \$0; FY 11 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

The following specific details were provided by the Budget Office:
FY 09 - Total \$19,600,000 of which federal administration set aside is \$1,960,000 and \$17,640,000 is available for award. There is no set aside for SBIR or Biotech Risk.

FY 10 - Assume the same as in FY 09. Do not know how much will be available for sure as of now.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Information will be provided by Program staff at a future date. Fiscal Year 2010: Information will be provided by Program staff at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) and Government wide Requirements for Drug-Free Workplace (Grants); 7 CFR Part 3018, New Restrictions on Lobbying; and 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader for Trade Adjustment Assistance, Economic and Community Systems (ECS) Unit; 1400 Independence Avenue, SW, STOP 2215, Washington, District of Columbia 20250-2215 Phone: (202) 720-7947 and (202) 401-218 Fax: (202) 690-3162

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.316 HEALTHY URBAN FOOD ENTERPRISE DEVELOPMENT CENTER (HUFED)

HUFED

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246), Section (h) (9) (A), 7 U.S.C 2034.

OBJECTIVES:

To establish a competitive grants program to support a Healthy Urban Food Enterprise Development Center to increase access to healthy affordable foods, including locally produced agricultural products, to underserved communities. The purpose of the Center is to increase access to healthy affordable foods, including locally produced agricultural products, to underserved communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Secretary shall offer to provide a grant to a nonprofit organization to establish and support a healthy urban food enterprise development center to carry out the purpose described in Section :050 - Objectives.

Assistance will be provided to support a community food project. The term community food project means a community-based project that- (A) requires a 1-time contribution of Federal assistance to become self-sustaining; and H. R. 6124247

(B) is designed

- (i)(I) to meet the food needs of low-income individuals;
- (II) to increase the self-reliance of communities in providing for the food needs of the communities; and
- (III) to promote comprehensive responses to local food, farm, and nutrition issues; or
- (ii) to meet specific State, local, or neighborhood food and agricultural needs, including needs relating to
 - (I) infrastructure improvement and development;
 - (II) planning for long-term solutions; or
 - (III) the creation of innovative marketing activities that mutually benefit agricultural producers and low-income consumers.

The term Center means the healthy urban food enterprise development center established under Section :050 - Objectives.

The term underserved community means a community (including an urban or rural community or an Indian tribe) that, as determined by the Secretary, has (A) limited access to affordable, healthy foods, including fresh fruits and vegetables; (B) a high incidence of a diet-related disease (including obesity) as compared to the national average; (C) a high rate of hunger or food insecurity; or (D) severe or persistent poverty.

ACTIVITIES

TECHNICAL ASSISTANCE AND INFORMATION:

The Center shall collect, develop, and provide technical assistance and information to small and medium-sized agricultural producers, food wholesalers and retailers, schools, and other individuals and entities regarding best practices and the availability of assistance for aggregating, storing, H. R. 6124248 processing, and marketing locally produced agricultural products and increasing the availability of such products in underserved communities.

AUTHORITY TO SUBGRANT:

The Center may provide subgrants to eligible entities

(i) to carry out feasibility studies to establish businesses for the purpose described in Section :050 - Objectives; and

(ii) to establish and otherwise assist enterprises that process, distribute, aggregate, store, and market healthy affordable foods.

Grant Priority:

In providing technical assistance and grants under the Activities section above, the Center shall give priority to applications that include projects

(A) to benefit underserved communities; and

(B) to develop market opportunities for small and mid-sized farm and ranch operations. **LIMITATION ON ADMINISTRATIVE EXPENSES.**

Not more than 10 percent of the total amount allocated for this subsection in a given fiscal year may be used for administrative expenses.

Indirect Costs:Fully negotiated rate.

****Special Note on Indirect Costs as in-kind matching contributions.** Indirect costs may be claimed under the Federal portion of the award budget or, alternatively, indirect costs may be claimed as a matching contribution (if no indirect costs are requested under the Federal portion of the award budget). However, unless explicitly authorized in the RFA, indirect costs may not be claimed on both the Federal portion of the award budget and as a matching contribution, unless the total claimed on both the Federal portion of the award budget and as a matching contribution does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. An awardee may split the allocation between the Federal and non-Federal portions of the budget only if the total amount of indirect costs charged to the project does not exceed the maximum allowed indirect costs or the institutions negotiated indirect cost rate, whichever is less. For example, if an awardee's indirect costs are capped at 22 percent pursuant to section 1462(a) of NARETPA (7 U.S.C. 3310(a)), the awardee may request 11 percent of the indirect costs on both the Federal portion of the award and as a matching contribution. Or, the awardee may request any similar percentage that, when combined, does not exceed the maximum indirect cost rate of 22 percent. Fully discretionary.

Applicant Eligibility:

Nonprofit organizations are eligible to apply for and receive awards under the HUFED Center authority (7 U.S.C. 2034 (h)(2)).

Beneficiary Eligibility:

Nonprofit organizations are eligible to apply for and receive awards under the HUFED Center authority (7 U.S.C. 2034 (h)(2)).

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Priority:

In providing technical assistance and grants under Section :070 - Activities, the Center shall give priority to applications that include projects

- (A) to benefit underserved communities; and
- (B) to develop market opportunities for small and mid-sized farm and ranch operations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

If applicable, information regarding renewals will be announced in the Request for Applications (RFA) each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed three (3) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

For each fiscal year for which the nonprofit organization described in Section :070 above receives funds, the organization shall submit to the Secretary a report describing the activities carried out in the preceding fiscal year, including

(A) a description of technical assistance provided by the Center;

(B) the total number and a description of the subgrants provided under Section :070 above;

(C) a complete listing of cases in which the activities of the Center have resulted in increased access to healthy, affordable foods, such as fresh fruit and vegetables, particularly for school-aged children and individuals in low- income communities; and

(D) a determination of whether the activities identified in subparagraph (C) (above) are sustained during the years following the initial provision of technical assistance and subgrants under this section. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, CSREES Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA's Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, CSREES Current Research Information System (CRIS).

CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on

Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants) FY 09 \$900,000; FY 10 est \$900,000; FY 11 est \$900,000 - Computation represents \$1,000,000 Appropriation LESS \$ 100,000 for Federal Administration (10% of Appropriation per Sec. 4402 FCEA).

(A) IN GENERAL:

Out of any funds in the Treasury not otherwise appropriated, the Secretary of the Treasury shall transfer to the Secretary to carry out this subsection \$1,000,000 for each of fiscal years 2009 through 2011.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or RFA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To be provided by Program at a future date. Fiscal Year 2010: To be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Grant Programs General Grant Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement); 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Competitive Programs 1400 Independence Avenue, SW, STOP 2240,, Washington, District of Columbia 20024 Phone: (202) 205-0241 Fax: (202) 401-6071

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.225 Community Food Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.317 FOOD AID NUTRITION ENHANCEMENT PROGRAM

FANEP

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Subtitle K of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319e).

OBJECTIVES:

To develop and field test new food products designed to improve the nutritional delivery of humanitarian food assistance provided through the McGovern Dole (section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o-1)) and the Food for Peace title II (7 U.S.C. 1691 et seq.) programs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Secretary may award competitive grants for collaborative projects that are mutually beneficial to the United States and other countries and encourage private sector involvement.

Applicant Eligibility:

081 Applicant Eligibility: To receive funding under this section, an entity shall be

1) State agricultural experiment stations; (2) colleges and universities; (3) university research foundations; (4) other research institutions and organizations; (5) Federal agencies, (6) national laboratories; (7) private organizations or corporations; (8) individuals who are U.S. citizens or permanent residents; and (9) any group consisting of 2 or more entities identified in (1) through (8) (as defined in section 1472(c) of NARETPA). Eligible institutions do not include foreign and international organizations.

Beneficiary Eligibility:

081 Applicant Eligibility: To receive funding under this section, an entity shall be

1) State agricultural experiment stations; (2) colleges and universities; (3) university research foundations; (4) other research institutions and organizations; (5) Federal agencies, (6) national laboratories; (7) private organizations or corporations; (8) individuals who are U.S. citizens or permanent residents; and (9) any group consisting of 2 or more entities identified in (1) through (8) (as defined in section 1472(c) of NARETPA). Eligible institutions do not include foreign and international organizations.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR

Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
 - (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
 - (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
 - (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
 - (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
 - (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.
- Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Method of awarding/releasing assistance: lump sum.

Reports:

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Cash reports are not applicable. Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
U.S. Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations (2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)) grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-0-352.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$3,725,994; FY 11 est \$0

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual

program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Newly authorized program and first funded in FY 2010.
Fiscal Year 2010: Newly authorized program and first funded in FY 2010.
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Competitive Programs, 1400 Independence Avenue, SW, STOP 2251, Washington, District of Columbia 20024 Phone: (202) 720-1973.

Website Address:

<http://www.nifa.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Newly authorized program and first funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the RFAs.

10.318 WOMEN AND MINORITIES IN SCIENCE, TECHNOLOGY, ENGINEERING, AND

WAMS; Women and Minorities in Science, Technology, Engineering, and Mathematics Fields (STEM) program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 7204 of the Food Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) amends section 1672 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACT) (7 U.S.C. 5925) to authorize the Secretary of Agriculture to make competitive grants to support research and extension activities. The Secretary shall make these grants in consultation with the National Agricultural Research, Extension, and Education, and Economics Advisory Board.

OBJECTIVES:

The Women and Minorities (WAMS) in Science, Technology, Engineering and Mathematics (STEM) Fields program was authorized to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary. The goal of the WAMS program is to develop and implement robust partnerships to increase the representation, participation, and entrepreneurship of women in STEM careers, thereby contributing to national economic prosperity. The purpose of the grant program is to support projects that: Provide STEM knowledge, skills and competency to Women and Minorities from Rural Areas with successful placement (a) in the workforce in STEM fields, or (b) as innovators and entrepreneurs adding value to the STEM fields in areas that have relevancy to the USDA Secretary's priorities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for allowable costs necessary to conduct approved fundamental and applied research and extension grants to increase participation by women and underrepresented minorities from rural areas in the fields of science, technology, engineering and mathematics, with priority given to eligible institutions that carry out continuing programs funded by the Secretary. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents.

Indirect Costs:

Not to exceed 22 percent of Federal Funds awarded. Fully discretionary.

Applicant Eligibility:

State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Beneficiary Eligibility:

State agricultural experiment stations; colleges and universities; university research foundations; other research institutions and organizations; Federal agencies; national laboratories; private organizations or corporations; individuals; or any group consisting of 2 or more of these entities.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun and Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual. Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at (888) 227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp.

Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. Grant recipients are required to match the USDA funds awarded on dollar-for-dollar basis from non-Federal sources with cash and in-kind contributions.

NIFA may waive the matching funds requirement for a grant if NIFA determines that:

(a) the results of the project, while of particular benefit to a specific agricultural commodity, are likely to be applicable to agricultural commodities generally; or

(b) the project involves a minor commodity, the project deals with scientifically important research, and the grant recipient is unable to satisfy the matching funds requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants under this program may not exceed 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch, Office of Extramural Programs, National Institute of Food and Agriculture, Department of Agriculture, STOP 2271, 1400 Independence Avenue, SW., Washington, DC 20250-2271, Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFAs Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$364,000; FY 11 est \$364,000 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Newly authorized program - funding provided for FY '10. Fiscal Year 2010: To be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide

Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Science and Education Resources Development, Higher Education Programs 1400 Independence Avenue, SW., STOP 2251, Washington , District of Columbia 20024-2251 Phone: (202) 401-2855 Fax: (202) 720-2030.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.319 FARM BUSINESS MANAGEMENT AND BENCHMARKING COMPETITIVE GRANTS PROGRAM

FBMB Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

Section 1672D of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 5925f).

OBJECTIVES:

To establish a competitive research and extension grants program for the purpose of: (1) improving the farm management knowledge and skills of agricultural producers; and (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

In general:

The Secretary may make competitive research and extension grants for the purpose of:

- (1) improving the farm management knowledge and skills of agricultural producers; and
- (2) establishing and maintaining a national, publicly available farm financial management database to support improved farm management.

Selection criteria:

In allocating funds made available to carry out this section, the Secretary may give priority to grants that:

- (1) demonstrate an ability to work directly with agricultural producers;
- (2) collaborate with farm management and producer associations;
- (3) address the farm management needs of a variety of crops and regions of the United States; and
- (4) use and support the national farm financial management database.

Administration:

Paragraphs (4), (7), (8), and (11)(B) of subsection (b) of section 450i of this title shall apply with respect to the making of grants under this section.

Authorization of appropriations:

There are authorized to be appropriated such sums as are necessary to carry out this section. NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities. Fully discretionary.

Applicant Eligibility:

Applications may be submitted by qualified public and private entities.

Pursuant to 7 U.S.C. 450i(7), this includes:

- (A) State agricultural experiment stations;
- (B) colleges and universities;
- (C) university research foundations;
- (D) other research institutions and organizations;
- (E) Federal agencies;
- (F) national laboratories;
- (G) private organizations or corporations;
- (H) individuals; or
- (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Beneficiary Eligibility:

Applications may be submitted by qualified public and private entities.

Pursuant to 7 U.S.C. 450i(7), this includes:

- (A) State agricultural experiment stations;
- (B) colleges and universities;
- (C) university research foundations;
- (D) other research institutions and organizations;
- (E) Federal agencies;
- (F) national laboratories;
- (G) private organizations or corporations;
- (H) individuals; or
- (I) any group consisting of 2 or more of the entities described in subparagraphs (A) through (H).

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicant's failure to meet an eligibility criterion by the time of an application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to

submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organization's registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;

(d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;

(e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and

(f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

:094 Deadlines:

Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>

<http://www.grants.gov>

:095 Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. NIFA does not require matching or cost sharing support for this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of competitive project grants and/or cooperative agreements under this program may not exceed five (5) years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. NIFA uses the SF-425, Federal financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations). Awards Management Branch, Office of Extramural Programs, National Institute of Food and Agriculture, Department of Agriculture, STOP 2271, 1400 Independence Avenue, SW, Washington, DC 20250-2271
Telephone: (202) 401-4986. Grantees also are required to submit annual and

summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53. (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$0; FY 10 est \$1,438,500; FY 11 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Newly authorized program - initial funding for FY '10. Fiscal Year 2010: To be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader, Economic and Community Systems (ECS) 1400 Independence Avenue, SW, STOP 2215, , Washington, District of Columbia 20024 Phone: (202) 720-7947 Fax: (202) 690-3162

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA).

10.320 SUN GRANT PROGRAM

Sun Grant Program

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

The Sun Grant Program, was originally established in section 778 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2004 (Public Law 108-199), which amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) adding section 9011, entitled the Sun Grant Research Initiative Act of 2003. Section 7526 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8114) establishes the Sun Grant Program, replacing the Sun Grant Research Initiative Act of 2003. Authority for the Sun Grant Program is delegated to the National Institute of Food and Agriculture in title 7 Part 2.66 subpart (a) section (153) of the Code of Federal Regulations.

OBJECTIVES:

This program responds to the need to enhance national energy security with biobased energy technology; promote diversification and environmental sustainability through biobased technology; promote economic diversification in the rural U.S. through biobased technology; and enhance the efficiency of biobased technology research and development (R&D) through collaborations among USDA; Department of Energy (DOE); and Land Grant Institutions.

Grants will be awarded to the sun grant centers and subcenter for the purposes of carrying out a competitive grants program and to conduct multi-institutional and multistate research, extension, and education programs on technology development; and integrated research, extension, and education programs on technology implementation.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Sun Grant Program was established to:

- (1) Enhance national energy security with biobased energy tech;
- (2) Promote diversification and environmental sustainability through biobased tech;
- (3) Promote economic diversification in the rural US through biobased technology; and
- (4) Enhance the efficiency of biobased technology R&D through collaborations among the United States Department of Agriculture, Department of Energy, and Land Grant Institutions.

A Sun Grant Center or Subcenter shall use 75 percent of the funds to provide competitive grants to entities that are

- (i) eligible to receive grants under subsection (b)(7) of the Competitive, Special, and Facilities Research Grant Act (7 U.S.C. 450i(b)(7)); and
- (ii) located in the region covered by the Sun Grant Center or Subcenter. Grant funds must be used for allowable costs necessary to conduct approved research and extension objectives. Funds shall not be used for the construction of a new building or facility or the acquisition, expansion, remodeling, or alteration of an existing building or facility (including site grading and improvement, and architect fees). Funds may not be used for any purposes other than those approved in the grant award documents. Fully discretionary.

Applicant Eligibility:

Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Beneficiary Eligibility:

Only the Sun Grant Centers and Subcenter as specifically designated in 7 U.S.C. 8114 are eligible to apply for funding under this program.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun and Bradstreet hotline at (1-866) 705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual. Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at (888) 227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs. Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following: Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Preapplication coordination is not applicable.

Award Procedure:

All RFAs are published on the Agencies website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information: http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372. Applications should be submitted as outlined in the RFA. Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov. Proposals submitted by Sun Grant Centers or the Subcenter are reviewed by a panel of qualified NIFA scientists and appropriate persons in accordance with the provisions of 7 U.S.C. 8114. Proposals are funded to the extent permitted by available funds on the basis of merit and compliance with provisions found in applicable legislation and regulations. Competitive grant applications awarded by the Sun Grant Centers or the Subcenter are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose,

the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA. Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;

(c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application. Evaluation Criteria will be delineated in the solicitation available from the Sun Grant Centers or the Subcenter.

Deadlines:

Aug 02, 2010: Dates for specific deadlines are announced in the RFA each fiscal year. Dates for specific deadlines are announced in the RFA each fiscal year.

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:
<http://www.nifa.usda.gov/>

<http://www.grants.gov>.

Range of Approval/Disapproval Time:

From 30 to 180 days.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Funds allocated for competitive grants must be matched in an amount of 20 percent from non-Federal sources by all subawardees. Matching requirements do not apply to fundamental research. Additionally, the Sun Grant Center or Subcenter may reduce or eliminate the requirement for non-Federal funds for applied research if the Sun Grant Center or Subcenter determines that the reduction is necessary and appropriate pursuant to specific guidance issued by NIFA. Specific matching provisions are announced in the request for applications or guidelines for each fiscal year.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of project grants and/or cooperative agreements under this program may not exceed 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Pursuant to 7 U.S.C. 8114, not later than 90 days after the end of each fiscal year, a Sun Grant Center or Subcenter receiving a grant shall submit to NIFA a report that describes the policies, priorities, and operations of the program

carried out by the center or Subcenter during the fiscal year, including (1) the results of all peer and merit review procedures conducted in association with the 75 percent of funds designated for competitive grants in 7 U.S.C. 8114; and

(2) a description of progress made in facilitating the priorities planned for addressing the bioenergy, biomass, and gasification research priorities of the Department of Agriculture and the Department of Energy at the State and regional levels. The plan must be jointly developed in cooperation with land-grant colleges and universities and private industry. NIFA uses the SF-425, Federal financial Report to monitor cash. These are required on a quarterly basis and due within 30 days after the close of each quarter. Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Pursuant to 7 U.S.C. 8114, not later than 90 days after the end of each fiscal year, a Sun Grant Center or Subcenter receiving a grant shall submit to NIFA a report that describes the policies, priorities, and operations of the program carried out by the center or Subcenter during the fiscal year, including (1) the results of all peer and merit review procedures conducted in association with the 75% of funds designated for competitive grants in 7 USC 8114; and (2) a description of progress made in facilitating the priorities planned for addressing the bioenergy, biomass, and gasification research priorities of the Department of Agriculture and the Department of Energy at the State and regional levels. The plan must be jointly developed in cooperation with land-grant colleges and universities and private industry. A final Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch
Office of Extramural Programs
National Institute of Food and Agriculture
Department of Agriculture
STOP 2271

1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986. Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Pursuant to 7 U.S.C. 8114, not later than 90 days after the end of each fiscal year, a Sun Grant Center or Subcenter receiving a grant shall submit to NIFA a report that describes the policies, priorities, and operations of the program carried out by the center or Subcenter during the fiscal year, including (1) the results of all peer and merit review procedures conducted in association with the 75 percent of funds designated for competitive grants in 7 U.S.C. 8114; and

(2) a description of progress made in facilitating the priorities planned for addressing the bioenergy, biomass, and gasification research priorities of the Department of Agriculture and the Department of Energy at the State and regional levels. The plan must be jointly developed in cooperation with land-grant colleges and universities and private industry.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133 and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least 3 years. Records must be retained beyond the 3-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-1500-0-1-352.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$0; FY 10 est \$2,106,000; FY 11 est \$0 - The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

Of available funds there will be equal distribution among each of the Sun Grant Centers in compliance with 7 U.S.C. 8114 and with the Terms and Conditions of the award with a portion of the funds allocated to the western Sun Grant Center to be made available to the western insular Pacific sun grant Subcenter in an amount as determined appropriate by NIFA.

For competitive grants made by the Sun Grant Centers or the Subcenter, if minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Newly authorized program - initially funded for FY '10. Fiscal Year 2010: To be provided by Program at a future date. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

National Program Leader; Plant and Animal Systems, National Institute of Food and Agriculture, USDA; 1400 Independence Avenue, S.W., STOP 2220, Washington, District of Columbia 20250-2220 Phone: (202) 401-3356.

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.306 BIODIESEL; 10.310 Agriculture and Food Research Initiative (AFRI) ; 10.312 Biomass Research and Development Initiative Competitive Grants Program (BRDI)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the request for applications in accordance with 7 U.S.C. 8114 and applicable Terms and Conditions of the award.

10.352 VALUE-ADDED PRODUCER GRANTS

(VAPG)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Agriculture Risk Protection Act of 2000, Title VII, as amended by The Farm Security and Rural Investment Act of 2002, Title VII.

OBJECTIVES:

To assist eligible independent agricultural commodity producers, agriculture producer groups, farmer and rancher cooperatives, and majority-controlled producer-based businesses in developing strategies and business plans to further refine or enhance their products, thereby increasing their value to end users and increasing returns to producers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The VAPG program offers two types of grants. Planning grants provide funding for the activities necessary to determine the viability of a potential value-added venture, including feasibility studies and business plans. Working capital grants provide funds to pay the day-to-day expenses of the venture associated with marketing a value-added product. An application may be for either a Planning Grant or a Working Capital Grant, but not both.

Applicant Eligibility:

Eligible applicants are independent producers, agriculture producer groups, farmer- and rancher-cooperatives, and majority-controlled producer-based business ventures.

Beneficiary Eligibility:

Agricultural producers.

Credentials/Documentation:

Applicants must provide a budget supporting the work plan that details all sources and uses of funds during the project period. Applicants are required to verify matching funds, both cash and in-kind, such that USDA can verify all representations. Applicants must certify that matching funds will be available at the time grant funds are received. Matching funds must be spent in advance of grant funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA will solicit applications on a competitive basis by publication of a notice in the Federal Register and through the Grants.gov Federal Web site. Unless otherwise specified, applicants must file an original and one copy of the proposal and all required forms or as otherwise instructed in the annual announcement. An applicant must submit Form SF-424, Form SF-424A, Form SF-424B, and other information as directed. Each proposal must contain the following elements and any additional elements published in the announcement: (1) Title Page; (2) Table of Contents; (3) Executive Summary, including a clear statement whether the application is for a Planning Grant or a Working Capital Grant and the amount requested; (4) Eligibility; (5) Proposal Narrative including, but not limited to: (i) Project Title; (ii) Information Sheet; (iii) Goals of the Project; (iv) Work Plan; (v) Performance Evaluation Criteria; (vi) Proposal Evaluation Criteria; (6) Verification of Matching Funds; and (7) Certification that matching funds will be available at the same time grant funds are anticipated to be spent and that matching funds will be spent in advance of grant funding.

Award Procedure:

The Agency conducts an initial screening of all proposals to determine eligibility and completeness to allow for an informed review. Applications will be evaluated by experts selected by the Agency. After evaluation and scoring according to established criteria, the Administrator of Rural Business Cooperative Service reserves the right to award limited additional points: to ensure geographic distribution, for innovative projects, or to projects in underserved areas. Applications are funded in rank order until all available funds have been obligated. Final processing of the awards and monitoring of projects are the responsibility of the appropriate Rural Development State Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 100 to 150 days after the application is filed with the Rural Development-Cooperative Programs National Office.

Appeals:

Applicants may appeal any adverse decisions to USDA's National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: 7 CFR 4284.908 Use of grant and matching funds: (b) Grant funds may be used to pay up to 50 percent costs. Applicants will be required to contribute at least 50 percent in cash or in-kind contributions. The maximum grant amount of a planning grant is \$100,000 and of a working capital grant is \$300,000. Matching funds include cash or confirmed funding commitments from nonfederal sources. In-kind contributions that conform to the provisions of 7 CFR 3015.50 and 7 CFR 3019.23, as applicable, can be used as matching funds. Examples of in-kind contributions include volunteer services furnished by professional and technical personnel, donated supplies and equipment, and donated office space. Matching funds must be provided in advance of grant funding such that for every dollar of grant advanced not less than an equal amount of matching funds shall have been funded prior to submitting the request for reimbursement. Matching funds are subject to the same use restrictions as grant funds. Funds used for an ineligible purpose will not be considered matching funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds may be disbursed over a period of a year after obligation. No-cost extensions may be granted on a limited basis at the discretion of the Agency. Method of awarding/releasing assistance: quarterly.

Reports:

Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed. Cash reports are not applicable. Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed. Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed. Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Those nonfederal entities exempt

from Circular A-133 are subject to the audit requirements outlined in the Grant Agreement.

Records:

The Grantee will maintain adequate records and accounts to assure that grant and matching funds are used for authorized purposes. Records required by Federal law and regulations will also be maintained by the Rural Development State Office and the Rural Development-Cooperative Programs National Office.

Account Identification:

12-1900-0-1-452.

Obligations:

(Salaries) FY 09 \$193,000; FY 10 est \$27,400,000; FY 11 est \$20,400,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 1951; 7 CFR 3015; 7 CFR 3019; 7 CFR 4284, Subpart A--General Requirements for Cooperative Services Grant Programs, 4284.1 through 4284.100; and, Subpart J - Value-Added Producer Grants, 4284.901 through 4284.1000. See the RBS website for additional information. You may also contact Rural Development State and Local Offices and the Rural Business Cooperative Service Web site.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog or check the RBS Web site.

Headquarters Office:

Cooperative Programs, Rural Business-Cooperative Service, Department of Agriculture, Washington, District of Columbia 20250 Phone: (202) 720-7558.

Website Address:

<http://www.rurdev.usda.gov/rbs/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Published in the Federal Register and through grants.gov.

10.404 EMERGENCY LOANS

Emergency Loans

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Subtitle C, Sections 321-330, Public Law 92-419, 7 U.S.C. 1961- 1984; Public Law 96-438; Public Law 97-35; Public Law 98-258; Public Law 99-198; Public Law 100-233; Public Law 100-387; Public Law 101-624.

OBJECTIVES:

To assist established (owner or tenant) family farmers, ranchers and aquaculture operators with loans to cover losses resulting from major and/or natural disasters, which can be used for annual farm operating expenses, and for other essential needs necessary to return disaster victims' farming operations to a financially sound basis in order that they will be able to return to private sources of credit as soon as possible.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loan funds may be used to repair, restore, or replace damaged or destroyed farm property (real and chattel) and supplies which were lost or damaged as a direct result of a natural disaster; under certain conditions, refinance secured

and unsecured debts made necessary by the disasters; finance adjustments in the farming, ranching or aquaculture operation(s) determined necessary to restore or maintain applicants' operations on a sound financial basis equivalent to their predisaster potential. The total of all actual loss loans is based on actual dollar value of production and physical losses. Loans are made at the Agency's current Emergency Loan actual loss interest rate, with a maximum limit of 100 percent of the actual production loss and 100 percent of the actual physical loss, or \$500,000 total indebtedness. Loans are made in counties: (1) Named by the Federal Emergency Management Agency as being eligible for Federal assistance under a major disaster or emergency declaration by the President; (2) designated as natural disaster areas by the Secretary of Agriculture; and (3) designated by the FSA Administrator for severe physical losses, only, as a result of a natural disaster.

Applicant Eligibility:

Requires that an applicant: (a) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on no more than 1 occasion prior to April 4, 1996. (b) be an established family farmer, rancher, or aquaculture operator (either tenant-operator or owner-operator), who was conducting a farming operation at the time of occurrence of the disaster either as an individual proprietorship, a partnership, a cooperative, a corporation, or a joint operation; (c) have suffered qualifying crop loss and/or physical property damage caused by a designated natural disaster; (d) be a citizen of the United States or legal resident alien, or be operated by citizens and/or resident aliens owning over a 50 percent interest of the farming entity; (e) be unable to obtain suitable credit from any other source(s) to qualify for subsidized loss loans; (f) have sufficient training or farming experience in managing and operating a farm or ranch (1 year's complete production and marketing cycle within the last 3 years immediately preceding the application); (g) be able to project a feasible and sound plan of operation; (h) be a capable manager of the farming, ranching, or aquaculture operations (in the case of a cooperative, corporation, partnership or joint operation, if members, stockholders, partners or joint operators own a majority interest and are related by blood or marriage, at least one member, stockholder, partner or joint operator must operate the family farm; if not related, the majority interest holder(s) must operate the family farm); (I) have legal capacity to contract for the loan; (j) obtain eligibility certification; (k) provide adequate collateral to secure the loan request; (l) have crop insurance if available for affected crops comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 (16 U.S.C. 3801 et seq.), (Title 12 and 13) the Food Security Act of 1985 and the Food, Agriculture, Conservation, and Trade Act of 1990. Applicants who cannot meet all of these requirements are not eligible. Assistance is available in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and, to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands, when those areas (by county) are designated.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria. Families, individuals and entities who are farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants must establish that substantial physical property damage and/or severe production losses, caused by the designated natural disaster, has occurred. To qualify for loss loans, the applicant must be unable to get credit elsewhere and demonstrate repayment ability on the loan. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application Form FSA 2001 provided by the Farm Service Agency must be presented, with supporting information, to the FSA county office serving the applicant's county. FSA personnel assist applicants in completing their

application forms.

Award Procedure:

FSA Farm Loan Manager, State Executive Directors, and the Administrator or his designee are authorized to approve these loans, subject to certain administrative requirements, after applicants are determined eligible.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications must be approved or disapproved within 60 calendar days after the receipt of a completed application by the County Office.

Appeals:

Applicants for loans may appeal adverse actions taken. The applicant is given an opportunity to appeal the decision to the National Appeals Division.

Renewals:

Rescheduling, reamortization, consolidation and deferment: Up to 15 years rescheduling for short and intermediate term loans made for production-type losses. Long term loans may be reamortized. However, the maximum statutory repayment period of 40 years for real-estate purposes cannot be exceeded. Loans made for similar purposes can be consolidated and rescheduled or reamortized. Generally, real estate will be needed as security when a term of more than 7 years is authorized.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This varies in accordance with individual case needs, type of disaster losses, type of security available, and borrower's repayment ability. Applicants should consult the FSA county office serving their area for specific information. See the following for information on how assistance is awarded/released: Loan funds may be dispersed in lump sum or in increments.

Reports:

No reports are required.

Audits:

Not Applicable.

Records:

Applicants must furnish 3 year history of farm production, if available, when production losses are claimed. Otherwise, FSA records of acres grown and proven yields, county or State production averages, or combinations of the above records when approved, are used. Borrowers must keep adequate records as a condition for receiving FSA financing. Specific financial information is required to be maintained for 3 years for some borrowers as a condition of receiving loan assistance.

Account Identification:

12-1140-0-3-351; 12-4140-0-3-351.

Obligations:

(Direct Loans) FY 09 \$30,402,000; FY 10 est \$40,000,000; FY 11 est \$56,000,000 - (Direct Emergency Loans) FY 2009 \$30,402,000. FY 2010 Estimated \$40,000,000. FY 2010 Estimated \$56,000,000.

Range and Average of Financial Assistance:

The maximum emergency loan amount may not exceed \$500,000. FSA made 313 Emergency Loans in FY 2009. Average loan size \$97,130. FSA estimates 330 Emergency Loans in FY 2010. Average loan size \$121,212. FSA Emergency Loan funding is estimated at \$56,000,000 for FY 2011.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 764, FSA Handbook 3 FLP, Farm Service Agency Fact Sheets, Program Aids 1610 "Farm Service Agency Producer's Guide to Loan Programs." FSA website at: www.fsa.usda.gov.

Regional or Local Office:

None. Consult the appropriate FSA State office listed in Appendix IV of the Catalog. Visit FSA website at www.fsa.usda.gov to locate nearest office.

Headquarters Office:

Trent Rogers, USDA-FSA-DAFLP-LMD, 1280 Maryland Ave, SW, Suite 240, Washington, District of Columbia 20024 Email: trent.rogers@wdc.usda.gov Phone: (202) 720-1657 Fax: (202) 690-1117.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.406 Farm Operating Loans; 10.407 Farm Ownership Loans; 10.450 Crop Insurance; 59.008 Disaster Assistance Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.405 FARM LABOR HOUSING LOANS AND GRANTS

(Labor Housing)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514 and 516, Public Laws 89-117 and 89-754, 42 U.S.C 1484 and 1486.

OBJECTIVES:

To provide decent, safe, and sanitary low-rent housing and related facilities for domestic farm laborers.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The loans and grants may be used for construction, repair, or purchase of year-round or seasonal housing; acquiring the necessary land and making improvements on land for housing; and developing related support facilities including central cooking and dining facilities, small infirmaries, laundry facilities, day care centers, other essential equipment and facilities or recreation areas. Funds may also be used to pay certain fees and interest incidental to the project. Restrictions on the use of funds are: Developers' fees, resident services, cost of unrelated commercial space, costs associated with other lenders/grantors. Housing financed with labor housing loan or grant funds must be occupied by domestic farm laborers, individuals who derive a substantial portion of their income from farm labor, and their families. The occupants must also be a U.S. citizen or permanent resident.

Applicant Eligibility:

Loans are available to farmers, family farm partnership, family farm corporations, or an association of farmers. Loans and grants are available to States, Puerto Rico, the U.S. Virgin Islands, political subdivisions of States, broad-based public or private nonprofit organizations, federally recognized Indian Tribes and non-profit corporations of farm workers. Grants are available to eligible applicants only when there is a pressing need and when it is doubtful that such facilities could be provided unless grant assistance is available.

Beneficiary Eligibility:

A domestic farm laborer is any person who receives a substantial portion of his/her income as a laborer on a farm in the United States and is either (1) a citizen of the United States, or (2) has been legally admitted for permanent residency.

Credentials/Documentation:

The applicant must furnish factual evidence of the following: (a) The number of domestic farm laborers currently being used in the area; (b) the kind of labor performed; (c) the future need for domestic farm labor in the area; (d) the kind, condition, and adequacy of housing presently used for such labor; (e) ownership of presently occupied housing; (f) ability of workers to pay necessary rent; and (g) with the exception of State and local public agencies, be unable to provide

housing from its own resources or credit on terms and conditions that would enable the applicant to provide labor housing. Costs will be determined in accordance with 7 CFR part 3015 or 3016 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For FY 2010 the Section 514 Program will be awarded through a Notice of Funding Available (NOFA) announced in the Federal Register May 10, 2010. The NOFA application period is 2 months from the date of the announcement. The NOFA deadline is July 9, 2010. Funds available for off-farm new construction and acquisition and rehabilitation are as follows: Section 514 Loan \$22,128,195; Section 516 Grant \$7,552,845; Rental Assistance (RA) and Operating Assistance (only for migrant workers) will be available for all new construction funds. Other Distribution of Funding: Loans: On-Farm-\$2,000,000; Administrator's Reserve-\$1,928,413. Grants: Technical Assistant Grants-\$0; Administrator's Reserve-\$1,698,243.

Award Procedure:

Applications will be scored on the following factors: (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. A minimum of ten percent leveraged assistance is required to earn points; however, less than ten percent and the proposal includes donated land, two points will be awarded for the donated land, (0 to 20 points); (2) Seasonal, temporary, migrant housing (5 points for up to and including 50 percent of the units; 10 points for 51 percent or more); For FY 2010, National Office initiative will be based on the presence of and extent to which a tenant services plan exists that clearly outlines services that will be provided to the residents of the proposed project. Two points will be awarded for each resident service included in the tenant services plan up to a maximum of 10 points,(0 to 10 points). Application selection process: (1) States will review and score the applications and submit a list of applications in rank and point score order to the National Office; (2) the National Office will rank applications on a nation-wide basis and will advise States of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. RHS uses a two-stage application process for the Farm Labor Housing Program. First, applicants submit preapplications, which are used to determine preliminary eligibility and feasibility. RHS then invites some applicants to submit formal applications. Section 514/516 funds are divided between off-farm housing and on-farm housing. The off-farm program provides loans and grants to organizations that assist farm workers at off-farm locations with no restrictions that workers be employed on a particular farm. Under new regulations effective June 1999, preapplications for the off-farm program funds must be submitted in response to a Notice of Funding Availability (NOFA) published in the Federal Register every year. RHS scores the preapplications, using a ranking process to determine which applicants will be invited to submit formal applications. The on-farm program makes loans to eligible farmers (or a group of farmers) to provide Housing, usually for their own laborers. Preapplications for on-farm units, or for repair and rehabilitation of existing off-farm units, may be submitted at any time are processed on a first-come, first-served basis.

Appeals:

From 15 to 30 days. A person or organization who is directly and adversely affected by an administrative decision by Rural Development should follow the procedures found in 7 CFR part 1900, Subpart B of "Rural Development Administrative Appeal Procedures."

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 10.%. Section 516 grants for off-farm FLH may not exceed the lesser of 90 percent of the total development cost (TDC) as provided in 7 CFR 3560.562(c)(1).
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are usually made for 33 years at 1 percent interest. Grants may cover up to 90 percent of development cost. The balance may be (and usually is) a Section 514 loan. RHS rental assistance (RA) subsidy can be used to limit tenant payments to 30 percent of their income. RA can be used as an operating subsidy for projects or units with Section 516 funding that serve migrants. It is RHS policy to attempt to use less than a 90 percent grant when RA is utilized.
Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Adequate operating tenant, and accounting records must be maintained by borrower.

Account Identification:

12-4141-0-3-371; 12-2004-0-1-604; 12-2081-0-4-371.

Obligations:

(Direct Loans) FY 09 \$49,370,109; FY 10 est \$37,000,000; FY 11 est \$37,000,000 - FY 2009; Loans- \$34,414,669, Grants- \$14,955,439.92.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 560 new units were built and 306 units were rehabilitated or developed as multiple family-type housing and on-farm individual-type housing units. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 3560; Subpart L

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development Area Office number. If no listing, contact appropriate Rural Development State Office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

USDA Rural Development, Preservation and Direct Loan Division, 1400 Independence Ave. SW., Mail Stop 0782, Washington, District of Columbia 202500781 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.427 Rural Rental Assistance Payments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A clearly defined need must exist to provide housing for domestic farm workers.

10.406 FARM OPERATING LOANS

Farm Operating Loans (Direct & Guaranteed)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Farm and Rural Development Act, as amended, Subtitle B, Sections 311-317, Public Law 92-419, 7 U.S.C. 1942; Agriculture Act of 1961, Title III, Public Law 87-128.

OBJECTIVES:

To enable operators of not larger than family farms through the extension of credit and supervisory assistance, to make efficient use of their land, labor, and other resources, and to establish and maintain financially viable farming and ranching operations.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Loan funds may be used to: (1) Purchase livestock, poultry, fur bearing and other farm animals, fish, and bees; (2) purchase farm equipment; (3) provide operating expenses for farm enterprise; (4) meet family subsistence needs and purchase essential home equipment; (5) refinance secured and unsecured debts subject to certain restrictions; (6) pay property taxes; (7) pay insurance premiums on real estate and personal property; and (8) finance youth projects. Use restrictions are shown under Applicant Eligibility.

Applicant Eligibility:

Except for youth loans, individual applicants must: (1) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on more than 3 occasions prior to April 4, 1996 to receive a guaranteed loan; (2) have the necessary education and/or farm experience or training (1 year's complete production and marketing cycle within the last 5 years); (3) do not exceed the limitation on the number of years that assistance may be received; (4) possess the legal capacity to incur the obligations of the loan; (5) be unable to obtain sufficient credit elsewhere at reasonable rates, and terms; (6) project the ability to repay the loan; (7) be a citizen or permanent resident of the United States; (8) after the loan is closed, be an owner/tenant operator of a family farm; and (9) comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 of the Food Security Act of 1985 (FSA); Certain corporations, cooperatives, partnerships and joint operations ("entities") operating family-sized farms are also eligible for farm operating loans. In brief, entity applicants must meet some of the same eligibility requirements as individual applicants. In addition, if members, stockholders or shareholders of the entity are related by blood or marriage, at least one stockholder, shareholder partner or joint operator must operate the family-sized farm. In the case when members are not related by blood or marriage, the majority interest holders in the entity must actually operate the family-sized farm to be eligible. The entity must be authorized to operate a farm in the State in which it is located. Limited resource applicants must meet the above requirements. In addition, they must have a low income and show a need for increased farm income. In the case of limited resource entities, all the partners, joint operators, members, or stockholders must be citizens and the entity must be the owner-operator of the family farm with at least one partner, joint operator, member or stockholder operating the farm. Assistance is authorized for eligible applicants in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands. To be eligible to obtain a direct loan, a borrower must agree to abide by any "borrower training" requirements. Applicants/borrowers requesting guaranteed loan assistance must meet all lender requirements.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries and must meet the applicant eligibility requirements. Families, individuals, and entities who are farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants must prove that credit is not available elsewhere for the requested purposes. This program is excluded from coverage under OMB Circular No.

A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants file Form FSA-2001, Application for Direct Loan Assistance, with supporting information, at the local county office of the Farm Service Agency for direct loans or Form FSA-2211 with the prospective lender for loan guarantees.

Award Procedure:

Certification as to eligibility is made by the local county committee (unless that responsibility has been delegated to the Agency credit officials) and an approval determination is made by a FSA official as to loan feasibility and soundness, and whether there is adequate collateral to secure any loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The loan approval official approves or disapproves a completed application within 60 days on a direct loan application and 30 days on a guaranteed loan application.

Appeals:

From 30 to 60 days. Applicants for direct and guaranteed loans, may appeal adverse action taken. The applicant is given an opportunity to appeal the decision to the National Appeals Division. The applicant may, in the final step of the appeals process, request a review by the Director, National Appeals Division, Washington, DC. For guaranteed loans, both the lender and the applicant must request the appeal.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are scheduled for repayment over periods up to 7 years, but when justified, loans may be consolidated or rescheduled for up to 15 additional years. The interest rate for guaranteed loans is negotiated between the lender and borrower. If eligible, FSA may provide interest rate assistance on guaranteed loans at the rate of 4 percent. The interest rate for direct loans is determined by the Secretary of Agriculture. See the following for information on how assistance is awarded/released: Loans funds may be released in one lump sum or increments during the operating period.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records on production, income, expenses during the period of the loan.

Account Identification:

12-4140-0-3-351; 12-1140-0-1-351.

Obligations:

(Direct Loans) FY 09 \$1,226,464,000; FY 10 est \$1,000,000,000; FY 11 est \$900,000,000 - Direct Operating Loans FY 2009 Total Actual Obligations \$1,226,464,000. (Guaranteed/Insured Loans) FY 09 \$1,235,075,000; FY 10 est \$1,400,000,000; FY 11 est \$1,500,000,000 - Guaranteed Operating Loans FY 2009 Total Actual Obligations \$1,235,075,000.

Range and Average of Financial Assistance:

Direct Operating Loan up to \$300,000; Guaranteed Operating Loans up to \$1,112,000. (amount adjusted annually for inflation);
FY 2009: Direct Operating Loan average loan size approximately \$59,924.
FY 2009: Guaranteed Operating Loan average loan size approximately \$218,597.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Agency made 20,467 direct operating loans and 5,650 guaranteed operating loans in Fiscal Year 2009. Farm operating loans provide credit to: (1) Establish beginning farmers; (2) assist farmers suffering from the price/cost squeeze, which will enable them to remain on the farm and refinance secured and unsecured debts; and (3) expand farming operations. Fiscal Year 2010: The Agency estimates 16,000 direct operating loans being made in FY 2010 for total obligation estimated at \$ 1 billion and 6,500 guaranteed operating loans being made in FY 2010 for total obligation estimated at \$ 1.4 billion. Fiscal Year 2011: The Agency estimates 15,000 direct operating loans being made in FY 2011 for total obligation estimated at \$ 900 million and 7,000 guaranteed operating loans being made in FY 2011 for total obligation estimated at \$ 1.5 billion.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 761, 762, 764. Farm Service Agency Fact Sheets; FSA Handbook 1 FLP, 2 FLP, 3-FLP; Program Aids 1610 "Farm Service Agency Producer's Guide to Loan Programs"; 1620 "Lender's Guide to FSA Loan Programs";

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate FSA State Office listed in Appendix IV of the Catalog. Visit the FSA website at www.fsa.usda.gov.

Headquarters Office:

Trent Rogers, USDA-FSA-DAFLP-LMD, 1280 Maryland Ave, SW, Suite 240, Washington, District of Columbia 20024 Email: trent.rogers@wdc.usda.gov Phone: (202) 7201657 Fax: (202) 690-1117.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.404 Emergency Loans; 10.407 Farm Ownership Loans; 10.437 Interest Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.407 FARM OWNERSHIP LOANS

Farm Ownership Loans (Direct and Guaranteed)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Subtitle A, Sections 302, 310D, Public Laws 100-233, 87-128, 91-620, 95-334, 97-98, 7 U.S.C. 1922; Section 303, Public Laws 87-708, 90-488, 95-113, 96-438, 7 U.S.C. 1923; Section 305, Public Law 92-419, 7 U.S.C. 1925; Section 307, Public Law 97-35, 7 U.S.C. 1927; Section 308, Public Laws, 87-798, 89-240, 92-133, 7 U.S.C. 1928; 7 U.S.C. 1934.

OBJECTIVES:

To assist eligible farmers, ranchers, and aquaculture operators, including farming cooperatives, corporations, partnerships, and joint operations, through the extension of credit and supervisory assistance to: Become owner-operators of not larger than family farms; make efficient use of the land, labor, and other resources; carry on sound and successful farming operations; and enable farm families to have a reasonable standard of living.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Loan funds may be used to: (1) Enlarge, improve, and buy family farms; (2) provide necessary water and water facilities; (3) provide basic soil treatment

and land conservation measures; (4) construct, repair, and improve essential buildings needed in the operation of a family farm; (5) construct or repair farm dwellings; (6) provide facilities to produce fish under controlled conditions.

Applicant Eligibility:

An applicant must: (1) Not have caused a loss to the Agency after April 4, 1996, or received debt forgiveness on more than 3 occasions prior to April 4, 1996 to receive a guaranteed loan; (2) be unable to obtain suitable credit from other sources at reasonable rates and terms; (3) be a U.S. citizen or permanent resident and possess the legal capacity to incur the obligations of the loan; (4) have the necessary education and/or experience, training, and managerial ability to operate a family farm; (5) project the ability to repay the loan; (6) be the owner-operator of a not larger than family farm after the loan is closed; and (7) if an individual, the applicant must not have a combined farm ownership, soil and water, and recreation loan indebtedness to FSA of more than \$300,000, for direct loans; and \$1,112,000 (amount adjusted annually for inflation) for a guaranteed loan(s), or a combination of direct and guaranteed indebtedness; or a total indebtedness against the property securing the loan(s) of more than the market value of the security, whichever is the lesser amount. If a cooperative, corporation, joint operation or partnership, the entity must be controlled by farmers or ranchers engaged primarily and directly in farming in the U.S., after the loan is made, and must consist of members, stockholders, partners, or joint operators (8) have a minimum of 3 years farming experience. Assistance is authorized for eligible applicants in the 50 States, the Commonwealth of Puerto Rico, the Virgin Islands of the United States, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and, to the extent the Secretary determines it to be feasible and appropriate, the Trust Territories of the Pacific Islands. Applicants must also comply with the highly erodible land and wetland conservation provisions of Public Law 99-198 of the Food Security Act of 1985 (FSA). To be eligible to obtain a direct loan, a borrower must abide by the Agency's borrower training requirements. Applicants/borrowers requesting guaranteed loan assistance must meet all lender requirements.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries and must meet the applicant eligibility requirements. Families, individuals, and entities who are farmers, ranchers or aquaculture operators are the beneficiaries.

Credentials/Documentation:

Applicants must prove that credit is not available elsewhere for the requested purpose; and that they possess sufficient training or farm experience. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants file Form FSA 2001, Application for FSA Services, with supporting information, at the local county office of the Farm Service Agency for direct loans or Form FSA 2211 with the prospective lender for loan guarantees.

Award Procedure:

Certification as to eligibility is made the Agency credit officials and an approval determination is made by an FSA official based on loan feasibility and soundness, and adequate security.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The loan approval official must approve or disapproves a completed application within 30 days on a guaranteed loan application and 60 days on a direct loan application.

Appeals:

From 30 to 60 days. From 30 to 60 days. Applicants for direct and guaranteed loans, may appeal adverse actions taken. The applicant is given an opportunity to appeal the decision to the National Appeals Staff. The applicant, in the final

step of the appeals process, request a review by the Director, National Appeals Division, Washington, DC. For guaranteed loans, both the lender and the applicant must request the appeal.

Renewals:

Applicants may reapply at any time. Applicants denied assistance through the appeal process must establish that substantial change has occurred, since the denial decision.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans justified may be scheduled over a period up to 40 years. The interest rate for guaranteed loans is negotiated between the lender and borrower. See the following for information on how assistance is awarded/released: loan funds may be dispersed in lump sum or increments.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Records on production, income, expenses during the period of the loan.

Account Identification:

12-1140-0-1-351; 12-4140-0-3-351.

Obligations:

(Direct Loans) FY 09 \$560,000,000; FY 10 est \$600,000,000; FY 11 est \$475,000,000 - (Direct Farm Ownership Loans) FY 2009 \$560,000,000; FY 2010 est. \$600,000,000; FY 2011 est. \$475,000,000. Direct Farm Ownership Loans FY 2009 actual obligations \$560,000,000. (Guaranteed/Insured Loans) FY 09 \$1,272,711,000; FY 10 est \$1,500,000,000; FY 11 est \$1,500,000,000 - (Guaranteed Farm Ownership Loans) FY 2009 \$1,272,711,000; FY 2010 est. \$1,500,000,000; FY 2011 est. \$1,500,000,000. Guaranteed Farm Ownership Loans FY 2009 actual obligations \$1,272,000,000.

Range and Average of Financial Assistance:

Direct Farm Ownership Loans up to \$300,000; Guaranteed Farm Ownership Loans up to \$1,112,000. (amount adjusted annually for inflation). FY 2009; Direct Farm Ownership Loan average loan size approximately \$167,815. FY 2009; Guaranteed Farm Ownership Loan average loan size approximately \$345,189.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: The Agency made 3,337 Direct Farm Ownership Loans and 3,687 Guaranteed Farm Ownership Loans. Farm Operating Loans provide credit to purchase, enlarge, and improve family farms. Fiscal Year 2010: Fiscal Year 2010: The Agency estimates 3,625 Direct Farm Operating Loans and 3,700 Guaranteed Farm Ownership Loans. Fiscal Year 2011: Fiscal Year 2011: The Agency estimates 3,650 Direct Farm Operating Loans and 3,750 Guaranteed Farm Ownership Loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 761, 762, & 764. Farm Service Agency Fact Sheets; FSA Handbook 1 FLP, 2 FLP & 3 FLP; Program Aids 1610, "Farm Service Agency Producer's Guide to Loan Programs"; and 1620, "Lender's Guide to FSA Loan Programs." FSA Website: www.fsa.usda.gov

Regional or Local Office:

None. Contact the appropriate FSA State Office listed in Appendix IV of the Catalog. Visit the FSA website at www.fsa.usda.gov.

Headquarters Office:

Trent Rogers, USDA-FSA-DAFLP-LMD, 1280 Maryland Ave, SW, Suite 240, Washington, District of Columbia 20024 Email: trent.rogers@wdc.usda.gov Phone: (202) 720-1657 Fax: (202) 720-6797.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.404 Emergency Loans; 10.406 Farm Operating Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.410 VERY LOW TO MODERATE INCOME HOUSING LOANS

(Section 502 Rural Housing Loans)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949.

OBJECTIVES:

To assist very low, low-income, and moderate-income households to obtain modest, decent, safe, and sanitary housing for use as a permanent residence in rural areas.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Direct and guaranteed loans may be used to buy, build, or improve the applicant's permanent residence. New manufactured homes may be financed when they are on a permanent site, purchased from an approved dealer or contractor, and meet certain other requirements. Under very limited circumstances, homes may be re-financed with direct loans. Dwellings financed must be modest, decent, safe, and sanitary. The value of a home financed with a direct loan may not exceed the area limit. The property must be located in an eligible rural area. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's, and the Trust Territories of the Pacific Islands. Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-income is between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principal, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history. The property must be located in an eligible rural area. Direct loans are made at the interest rate specified in RD Instruction 440.1, Exhibit B (available in any Rural Development local office), and are repaid over 33 years or 38 years for applicants whose adjusted annual income does not exceed 60 percent of the area median income, if necessary to show repayment ability. Payment assistance is granted on direct loans to reduce the installment to an "effective interest rate" as low as one percent, depending on adjusted family income. Payment assistance is subject to recapture by the government when the customer no longer resides in the dwelling. There is no funding provided for deferred mortgage authority or loans for deferred mortgage assumptions. Guaranteed loans may be made to refinance either existing RD Guaranteed Housing loans or RHS Section 502 Direct Housing loans. Guaranteed loans are amortized over 30 years. The interest rate is negotiated with the lender.

Applicant Eligibility:

Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-income is between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principal, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history.

Beneficiary Eligibility:

Applicants must meet eligibility requirements.

Credentials/Documentation:

Applicants may need to submit evidence of inability to obtain credit elsewhere, verification of income, debts, and other information on the application; plans, specifications, and cost estimates. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For direct loans, application is made at the Rural Development field office serving the county where the dwelling is or will be located. For guaranteed loans, application is made to a participating private lender.

Award Procedure:

Rural Development field offices have authority to approve most Direct loan requests. Processing of guaranteed loans varies in each State. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/lcoator/app> for a State Office listing. If no backlog exists, decisions on direct loan applications are made within 30 to 60 days. Requests for guarantee loans are acted upon in 3 days of receipt of the lender's request for guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

For direct loans, from 30 to 60 days subject to availability of funds, from the time the application is filed if no backlog of applications exists. A 'pre-qualification' may be provided to potential direct loan applicants upon call or visit to a Rural Development office, though results are not binding. For guarantees, a decision is required within 3 days of loan package submission by the approved lender.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no matching requirements. A basic formula is used to allocate program funds to various States. The criteria used in the basic formula include the States percentage of the National: Number of rural occupied substandard units, rural population, rural population in places of less than 2,500 population, number of rural households between 50 and 80 percent of the area median income, and number of rural households below 50 percent of the area median income. The data source for each of these criteria is based on the latest census data available. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of quarterly allocations. Funds may be disbursed at loan closing or deposited in a supervised bank account for purchase of a building site, and purchase or repair of a dwelling, or in multiple advances for construction. For guaranteed loans, funds are disbursed after all work is complete. Applications for direct loans far exceed available funding. There is generally a waiting list for direct loan funding. Method of awarding/releasing assistance: quarterly.

Reports:

Borrowers receiving a payment subsidy must submit verification of household income annually for recalculation of benefits. No cash reports are required. Borrowers are not required to, but should retain copies of loan-related documents. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-2081-0-1-371; 12-4141-0-3-371.

Obligations:

(Direct Loans) FY 09 \$1,121,297,841; FY 10 est \$1,121,487,000; FY 11 est \$1,200,000,000. (Guaranteed/Insured Loans) FY 09 \$7,175,728,078; FY 10 est \$12,925,327,375; FY 11 est \$24,000,000,000

Range and Average of Financial Assistance:

FY09 Average: 502 Direct Loans (\$118,282); Guaranteed Loans (\$121878). Loans in high cost areas may be higher.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550 "Direct Single Family Housing Loans and Grants." For guaranteed loans, 1980-D "Subpart D -Rural Housing Loans."

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single Family Housing Direct Loan Division or Director, Single Family Housing Guaranteed Loan Division, Department of Agriculture, Rural Development, 1400 Independence Avenue, S.W., Washington, District of Columbia 20250-0787 Phone: (202) 720-1474..

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.411 RURAL HOUSING SITE LOANS AND SELF_HELP HOUSING LAND DEVELOPMENT LOANS

(Section 523 and 524 Site Loans)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 523 and 524, Public Law 89-117; Public Law 89-754, 42 U.S.C. 1490 (c) and (d).

OBJECTIVES:

To assist public or private nonprofit organizations interested in providing sites for housing; to acquire and develop land in rural areas to be subdivided as adequate building sites and sold on a cost development basis to families eligible for low and very low income loans, cooperatives, and broadly based nonprofit rural rental housing applicants.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

For the purchase and development of adequate sites, including necessary equipment which becomes a permanent part of the development; for water and sewer facilities if not available; payment of necessary engineering, legal fees, and closing costs; for needed landscaping and other necessary facilities related to buildings such as walks, parking areas, and driveways. Restrictions: loan funds may not be used for refinancing of debts, payment of any fee, or commission to any broker, negotiator, or other person for the referral of a prospective applicant or solicitation of a loan; no loan funds will be used to pay operating costs or expenses of administration other than actual cash cost of incidental administrative expenses if funds to pay those expenses are not otherwise available. Repayment of loan is expected within two years.

Applicant Eligibility:

A private or public nonprofit organization that will provide the developed sites to qualified borrowers on a cost of development basis in open country and towns of 10,000 population or less and places up to 25,000 population under certain conditions. Applicants from towns of 10,000 to 25,000 population should check with local RD office to determine if agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Sites developed with Section 524 loans must be for housing low and very low income families and may be sold to families, nonprofit organizations, public agencies and cooperatives eligible for assistance under any Section of Title V of the Housing Act of 1949, or under any other law which provides financial assistance. Sites developed with Section 523 loans must be for housing to be built by the self-help method.

Credentials/Documentation:

Applicants must furnish evidence of the following: (1) Market analysis showing need for such services; (2) legal capacity to borrow funds and develop land for sale; (3) a sound budget; and (4) general project description. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The application will be in the form of a letter to the Rural Development Manager of the Rural Development (RD). Supporting information and costs should be included as needed. This program is excluded from coverage under Departmental Regulations 3015 and 3016.

Award Procedure:

After application has been reviewed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

A uniform procedure whereby an organization which is directly or adversely affected by an administrative decision by Rural Development Rural Housing Programs is found in 7 CFR 3550.4. Applicants may request reconsideration on the basis of pertinent facts concerning his application.

Renewals:

Applicants may reapply at any time provided there is evidence of need for the

proposed building sites in the locality.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Contact Local Rural Development Office.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-2081-0-1-371; 12-4141-0-3-371.

Obligations:

(Direct Loans) FY 09 \$599,190; FY 10 est \$5,045,000; FY 11 est \$5,051,546 - (524 Site Loans). (Direct Loans) FY 09 \$0; FY 10 est \$4,970,000; FY 11 est \$4,965,517 - (523 Site Loans).

Range and Average of Financial Assistance:

Not Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

RD Instruction 444.8, "Rural Housing Site Loan Policies, Procedures, and Authorizations"; 7 CFR 1822.261-1822.278; PA-1131.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single Family Housing Processing Division, Rural Housing Service, Department of Agriculture, Washington, District of Columbia 20250 Phone: (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 10.415 Rural Rental Housing Loans; 10.420 Rural Self-Help Housing Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.415 RURAL RENTAL HOUSING LOANS

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 515 and 521, Public Law 102-550, 42 U.S.C. 1485, 1490a.

OBJECTIVES:

To provide economically designed and constructed rental and cooperative housing and related facilities suited for rural residents.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Loans can be used to construct, or to purchase and substantially rehabilitate rental or cooperative housing or to develop manufactured housing projects. Housing as a general rule will consist of multi-units with two or more family units and any appropriately related facilities. Funds may also be used to provide approved recreational and service facilities appropriate for use in connection with the housing and to buy and improve the land on which the buildings are to be located. Loans may not be made for nursing, special care, or institutional-type homes. Grants are in the form of rental assistance for some units within the underwritten property.

Applicant Eligibility:

Applicants may be individuals, cooperatives, nonprofit organizations, State or local public agencies, profit corporations, trusts, partnerships, limited partnerships, and be unable to finance the housing either with their own resources or with credit obtained from private sources. However, applicants must be able to assume the obligations of the loan, furnish adequate security, and have sufficient income for repayment. They must also have the ability and intention of maintaining and operating the housing for purposes for which the loan is made. Loans may be made in communities up to 10,000 people in MSA areas and some communities up to 20,000 population in non-MSA areas. Applicants in towns of 10,000 to 20,000 should check with their local Rural Development office to determine if the agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana's, and the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Occupants must be very low-, low- or moderate-income families households, elderly, handicapped, or disabled persons.

Credentials/Documentation:

Applicants must furnish evidence of the following: (a) A comprehensive market analysis showing the need for such service; (b) a legal capacity to incur the obligation and operate the housing; (c) a sound budget; (d) good credit history; (e) inability to obtain necessary funds from other sources on terms and conditions that would enable the applicants to rent the units for amounts that are within payment ability of eligible very low, low-, or moderate-income family, households, elderly, handicapped, or disabled occupants. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For FY 2010 the Section 515 Program will be awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register April 14, 2010. The NOFA application period is 60 days from the date of the announcement.

Award Procedure:

Applications will be scored on the following factors (the factors are the same as last year's except for the additional requirement (shown in italics) that has been added to factor 4, the National Office initiative): (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. (0 to 20 points); (2) the units to be developed are in a colonia, tribal land, EZ, EC, or REAP community, or in a place identified in the State Consolidated Plan or State Needs Assessment as a high need community for multifamily housing. ("State" refers to the State Government.) (20 points); (3) the loan request includes donated land meeting the provisions of 7 CFR 1944.215(r)(4). (5 points); and (4) in States where RHS has an on-going formal working relationship, agreement or Memorandum of Understanding (MOU) with the State to provide State resources (State funds, State RA, HOME funds, CDBG funds, or Low-Income Housing Tax Credits) for RHS proposals; or where the State provides preference or points to RHS proposals in awarding such State resources, 20 points will be provided to loan

requests that include such State resources in an amount equal to at least 5 percent of the total development cost. (National office initiative) (20 points).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 30 days, States will review and score the applications and submit a list of applications in rank and point score order to the National Office. Following the State's submission of applications to the National Office, within 30 days the National Office will rank applications on a nation-wide basis and advise States of the results.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Applicants may reapply at next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans are for up to 30 years at an effective 1 percent interest rate, and are amortized over 50 years. A current rate is used for the promissory note but thereafter is used only to determine maximum rent payments. Tenants pay basic rent or 30 percent of adjusted income, whichever is greater. RHS rental assistance subsidy can be used to limit tenant payment to 30 percent of their income. Loans made through contracts entered into on or after December 15, 1989 cannot be prepaid. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Monthly progress reports are to be made to the Rural Development Manager, during the first six months of successful operation and each month thereafter until discontinued by the Rural Development Manager. Annual reports required thereafter. Expenditure reports are not applicable. Annual reports required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For borrowers not covered under OMB Circular No. A-133, annual audits conducted in accordance with Government Auditing Standards are required from borrowers with 25 or more units in any project. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Good business records required.

Account Identification:

12-2081-0-1-371; 12-4141-0-3-371.

Obligations:

(Direct Loans) FY 09 \$40,935,632; FY 10 est \$51,000,000; FY 11 est \$63,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Refer to the NOFA which lists Offices to contact or consult your local telephone directory for Rural Development county or district office number. If no listing, get in touch with appropriate Rural Development State office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Multi-Family Housing Processing Division, Rural Development Department of Agriculture, Washington, District of Columbia 20250 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.427 Rural Rental Assistance Payments

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.417 VERY LOW-INCOME HOUSING REPAIR LOANS AND GRANTS

(Section 504 Rural Housing Loans and Grants)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92.310, 42 U.S.C. 1474.

OBJECTIVES:

Section 504 loans and grants are intended to help very low-income owner-occupants in rural areas repair their properties.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Loan funds may be used to make general repairs and improvements to properties or to remove health and safety hazards. Grant funds may be used only to pay for the costs for repairs and improvements that will remove identified health and safety hazards or to repair or remodel dwellings to make them accessible for household members with disabilities. Loans and grants are typically used for repair or replacement of heating, plumbing or electrical services, roof or basic structure as well as water and waste disposal systems, and weatherization. Loans bear an interest rate of one percent and are repaid over a period up to 20 years. In addition to the above purpose, loan funds may be used to modernize the dwelling. Maximum loan amount cannot exceed a cumulative total of \$20,000 to any eligible person and maximum lifetime grant assistance is \$7,500 to any eligible person. The house must be located in an eligible rural area which does not exceed 10,000 population. Some places with populations between 10,000 and 25,000 may be eligible if not within a Metropolitan Statistical Area (MSA). Assistance is available in States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's and the Trust Territories of the Pacific Islands.

Applicant Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a

grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Beneficiary Eligibility:

Applicants must own and occupy a home in a rural area; and be a citizen of the United States or reside in the United States after having been legally admitted for permanent residence or on indefinite parole. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for the part of the assistance received as a grant. Applicant's income may not exceed the very low-income limit set forth in RD Instructions.

Credentials/Documentation:

Evidence of ownership and verification of income and debts. Grant recipients must provide evidence of age. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application form at the Rural Development field office serving the county where the dwelling is located.

Award Procedure:

Delegated to Local or Area Loan Approval Official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days from time applications are filed if no backlog of applications exists. Applications for assistance far exceed available funding. There is generally a waiting list for funding.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The following basic formula criteria are to allocate program loan funds to various States: $(A \times .50 + B \times .50) \times \text{funds available} = \text{State basic formula allocation}$. Where "A" is State's percentage of national number of rural occupied units; and "B" is State's percentage of national number of rural households below 50 percent of area median income. The formula for grants is: $(A \times 1/3 + B \times 1/3 + C \times 1/3) \times \text{funds available} = \text{State basic allocation}$. Where "C" is State's percentage of national rural population 62 years of age and older. The data source for each of these criteria are based on the latest census data available. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of an established allocation system. Funds are usually released to borrowers/grantees as a lump sum payment for completed repairs or, as needed, for repairs in progress. See the following for information on how assistance is awarded/released: Contact regional office or headquarters as appropriate for additional information.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Borrowers and/or grantees are not required to, but should retain copies of loan or grant-related documents.

Account Identification:

12-2064-0-1-604; 12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(Direct Loans) FY 09 \$30,008,242; FY 10 est \$36,900,000; FY 11 est \$34,004,226. (Project Grants) FY 09 \$31,205,527; FY 10 est \$30,000,000; FY 11 est \$31,000,000

Range and Average of Financial Assistance:

FY 2009 average loan amount is \$6,560. FY 2009 average grant amount is \$5,521.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550, Direct Single Family Housing Loans and Grants. (no charge); Home Improvement and Repair Loans, PA-1184, no charge.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single-Family Housing Processing Division, Rural Development, Rural Housing Programs Department of Agriculture, 1400 Independence Avenue, S.W., Washington, District of Columbia 20250 Phone: (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.420 RURAL SELF-HELP HOUSING TECHNICAL ASSISTANCE

(Section 523 Technical Assistance)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 523, Public Laws 89-117 and 89-754, 42 U.S.C. 1490c.

OBJECTIVES:

To provide Self-Help Technical Assistance Grants to provide financial assistance to qualified nonprofit organizations and public bodies that will aid needy very low and low-income individuals and their families to build homes in rural areas by the self help method. Any State, political subdivision, private or public nonprofit corporation is eligible to apply. Section 523 Grants are used to pay salaries, rent, and office expenses of the nonprofit organization. Pre-development grants up to \$10,000 may be available to qualified organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible organizations may use technical assistance funds to hire the personnel to carry out a technical assistance program for self-help housing in rural areas; to pay necessary and reasonable office and administrative expenses; to purchase or rent equipment such as power tools for use by families participating in

self-help housing construction; and to pay fees for training self-help group members in construction techniques or for other professional services needed. Funds will not be used to hire personnel to perform any construction work, to buy real estate or building materials, or pay any debts, expenses or costs other than previously outlined for participating families in self-help projects.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation or a private nonprofit corporation. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, Guam, and the Northern Marianas.

Beneficiary Eligibility:

Very low and low-income rural families, usually in groups of 6 to 10 families.

Credentials/Documentation:

Applicants must furnish evidence of the need for such services, have legal capacity to incur the obligation and operate the proposed project, and be unable to obtain the necessary funds from other sources. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Form SF-424 is submitted to the Field office of the Rural Development (RD), including information attached to Part IV, fully documenting the applicant's experience, need for the grant and the proposed amount needed. Attachments relating to the size of the proposed project, estimated cost, budget and need are also required. This program is subject to provisions of Departmental Regulation 3015 and 3016.

Award Procedure:

Award is made by the National office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 190 days from the time discussion begins.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time, if needed to help low-income families build homes in rural areas by the mutual self-help method is clearly evidenced.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically for a 2-year period, however, other time periods may be authorized if appropriate. Applications for assistance far exceed available funding. There is generally a waiting list for funding. See the following for information on how assistance is awarded/released: Award is made by the National Office.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are to be made to the RD-Housing Programs District Office. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Annual audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Adequate operating records must be maintained by Grantee.

Account Identification:

12-2006-0-1-604.

Obligations:

(Project Grants) FY 09 \$26,800,000; FY 10 est \$38,700,000; FY 11 est \$37,000,000

Range and Average of Financial Assistance:

Not Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Subpart I of Part 1944; Chapter XVIII; RD Instruction 1944-I, "Self-Help Technical Assistance Grants"; (PA 1254) (no charge).

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single-Family Housing Processing Division, Rural Development, Housing Programs, Department of Agriculture, 1400 Independence Avenue, S.W., Washington, District of Columbia 20250 Phone: (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 10.411 Rural Housing Site Loans and Self-Help Housing Land Development Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are the evidence of a clearly defined need in an area for mutual self-help housing and the families in the area cannot afford or obtain a modest house by customary methods, and the applicant possesses the expertise to provide the technical assistance (TA) required.

10.433 RURAL HOUSING PRESERVATION GRANTS

Rural Housing Preservation Grants

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 533, Public Law 98-181, 42 U.S.C. 1480.

OBJECTIVES:

To assist very low- and low-income rural residents individual homeowners, rental property owners (single/multi-unit) or by providing the consumer cooperative housing projects (co-ops) the necessary assistance to repair or rehabilitate their dwellings. These objectives will be accomplished through the establishment of repair/rehabilitation, projects run by eligible applicants. This program is intended to make use of and leverage any other available housing programs which provide resources to very low and low-income rural residents to bring their dwellings up to development standards.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Organizations may use less than 20 percent of the Housing Preservation Grant funds for program administration purposes, such as to hire the personnel to carry out a project of housing rehabilitation to meet the needs of very low and low-income persons in rural areas; to pay necessary and reasonable office and administrative expenses; and to pay reasonable fees for training of organization personnel. Eighty percent or more of funds must be used for loans, grants or other assistance on individual homes, homeowners, rental properties or co-ops to pay any part of the cost for repair or rehabilitation of structures; funds may not be used to hire personnel to perform construction or to pay any debts, expenses or costs other than previously outlined and approved in the project application.

Applicant Eligibility:

Must be a State or political subdivision, public nonprofit corporation, Indian tribal corporations, authorized to receive and administer housing preservation grants, private nonprofit corporation, or a consortium of such eligible entities. Applicants must provide assistance under this program to persons residing in open country and communities with a population of 10,000 that are rural in character and places with a population of up to 20,000 under certain conditions. Applicants in towns with population of 10,000 to 20,000 should check with local Rural Development office to determine if the Agency can serve them. Assistance is authorized for eligible applicants in the United States, Puerto Rico, Virgin Islands, and the territories and possessions of the United States.

Beneficiary Eligibility:

Very low and low-income rural individuals and families who are homeowners and need resources to bring their housing up to code standards, rental property owners, or co-ops.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in rural housing rehabilitation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. In FY 09, the Section 533 program was awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register on April 27, 2010 and May 21, 2010. The NOFA application period was 60 days from the date of the announcement. The NOFA deadline was June 28, 2010.

Award Procedure:

Award is made by the Rural Development State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notice of action taken on preapplications will be generally within 60 days of final date of acceptance of preapplication.

Appeals:

Applicants may request reconsideration on the basis of pertinent facts concerning their application within 30 days of notification of action taken on the preapplication or application.

Renewals:

Applicants may apply for an additional HPG grant when they have achieved or nearly achieved the goals established for the previous or existing grant. The grantee must file a preapplication for the current fiscal year which will be

processed and compared under the project selection criteria to others submitted at that time.

Formula and Matching Requirements:

Statutory Formula: Title 7 CFR 1940-L Methodology and Formulas for Allocation of Loan and Grant Funds.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for a 12-month period. Agency consent is required for grants that exceed 1 year. See the following for information on how assistance is awarded/released: Award is made by the Rural Development State Director.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Grantees shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-2070-0-1-604.

Obligations:

(Project Grants) FY 09 \$10,088,166; FY 10 est \$10,146,815; FY 11 est \$10,146,815

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Approximately 154 grants were obligated providing assistance for 2,332 units. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944-N, Section 1944.651 through 1944.700, RD Instruction 1944-N. Regulations are available from Rural Development State offices.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Multi-Family Housing, Preservation and Direct Loan Division, Rural Development U.S. Department of Agriculture
1400 Independence Avenue, S.W., Washington, District of Columbia
20250-0788 Phone: 202-720-1604

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 10.411 Rural Housing Site Loans and Self-Help Housing Land Development Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance; 14.108 Rehabilitation Mortgage Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects must provide a feasible repair rehabilitation program and serve areas

with a concentration of substandard housing and very low and low-income persons. In addition, the following criteria will be considered in the selection of grant recipients. Each preapplication and its accompanying statement of activities will be evaluated on: (1) The percentage of very low-income persons assisted; (2) the percentage of use of HPG funds to total cost of housing preservation; (3) the applicant's administrative capacity and experience in (i) housing rehabilitation or weatherization, (ii) assisting very low and low-income persons attain housing assistance and (iii) prior programs no outstanding audits findings; (4) the proposed program will be undertaken in non-Metropolitan Statistical Areas identified by Rural Development as having populations below 10,000 or in remote parts of other rural areas, (i.e., rural areas contained in Metropolitan Statistical Areas with less than 5,000 population); (5) the program will minimize the use of grant funds for administrative purposes, i.e., less than 20 percent of grant funds; (6) the program will alleviate overcrowding in rural residences inhabited by very low and low-income families; and (7) if an existing grantee has met the objectives of its current grant.

10.435 STATE MEDIATION GRANTS

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agricultural Credit Act of 1987, as amended by Federal Crop Insurance and Department of Agriculture Reform Act of 1994.

OBJECTIVES:

To assist States in establishing and administering mediation programs and services for agricultural producers, creditors of producers (as applicable), and persons directly affected by actions of the Department of Agriculture.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are to be used for the operation and administration of a State's mediation program which has been certified by the Administrator of the Farm Service Agency (FSA) as meeting the requirements of Section 501 (c) of Title V of the Agricultural Credit Act of 1987 (U.S.C. 510), as amended. A grant will not exceed 70 percent of the total fiscal year funds that a qualifying State requires to operate and administer its mediation program, or \$500,000, whichever is less. Eligible costs are limited to those that are reasonable and necessary to carry out the mission of the State's mediation program and may include activities related to the intake and scheduling of cases, the provision of background and selected information regarding the mediation process, financial advisory and counseling services, and the mediation session.

Applicant Eligibility:

State governments.

Beneficiary Eligibility:

Agricultural producers, creditors of producers (as applicable) and persons directly affected by actions of the Department of Agriculture.

Credentials/Documentation:

Have a mediation program which has been certified by the Administrator of FSA, and for which certification has not been withdrawn; provide evidence to justify the estimated costs of operating and administering the State's mediation program. The Governor of a State or head of an entity designated by the Governor of a State must make a written request to FSA on or before August 1 of each year to receive a matching grant during the fiscal year commencing on October 1, of that same year. Request for certification are accepted after August 1 of each year; however, the State will be considered in order of date received for reserved grant funds. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. To be certified as a qualifying State, the mediation program of the State must mediate issues involving agricultural loans (regardless of whether the loans are made or guaranteed by the Secretary of Agriculture or made by third party); and may provide mediation services for disputes involving wetlands determinations, compliance with farm programs including conservation programs, rural water loan programs, grazing on National forest system lands, pesticides, and such other issues, under the jurisdiction of the Secretary meeting the requirements of Section 501 of Title V of the Agricultural Credit Act of 1987 (7 U.S.C. 510), as

amended. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by 7 CFR part 3016, must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Grant funds will be distributed on a first come, first served basis to qualifying States. If, however, when funds for a fiscal year become available, there are not sufficient funds to give all qualifying States 70 percent of their justified estimated expenses for the fiscal year, the percentage allocation will be reduced so as to give all States the same percentage of their expenses. FSA views the use of grant funds to provide financial advisory or other services in an advocacy context to be inconsistent with the premise of a mediation program, which is to provide a neutral forum for resolution of disputes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Thirty days from receipt of a complete application package.

Appeals:

If a certified State mediation program is available as part of the informal appeal hearing process, the participant will be offered the right to choose such mediation.

Renewals:

Section 506 of Title V of the Agricultural Credit Act of 1987, Public Law 100-233 and Section 1853 of the Food, Agricultural, Conservation and Trade Act of 1990, Public Law 101-624, Section 22 of the Agricultural Credit Improvement Act of 1992, Public Law 102-554, Section 282 of the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, Public Law 103-354, and the United States Grain Standards Act of 2000, Public Law 106-472.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From beginning to end of the Federal government's fiscal year. Grant will be an annual grant and will run concurrently with the Federal government's fiscal year; reimbursement by Treasury check. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Record keeping will conform to requirements of 7 CFR Part 3016.

Account Identification:

12-0170-0-1-351.

Obligations:

(Project Grants) FY 09 \$4,369,000; FY 10 est \$4,369,000; FY 11 est \$4,369,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1946 published on August 26, 1988, 53 FR 32597.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Ternechue Butler, 1400 Independence Avenue, SW, Stop 0511, Washington, District of Columbia 20250-0511 Email: ternechue.butler@wdc.usda.gov Phone: (202) 720-6870.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Certification by the Administrator, FSA, that mediation program meets the requirements of Section 501 (c) of Title V of the Agricultural Credit Act (Public Law 100-233) as amended; application meets requirements of FSA regulations to be implemented.

10.437 INTEREST ASSISTANCE PROGRAM

Interest Assistance Program (Guaranteed Operating Loans)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended.

OBJECTIVES:

To provide a 4 percent subsidy to farmers and ranchers, who do not qualify for standard commercial credit. Guaranteed loans are serviced by a lender who has entered into a Lenders Agreement with the agency.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The Interest Assistance Program can be provided for Operating Loans (OL). Under the interest assistance program, FSA will subsidize 4 percent of the interest rate on loans to qualifying borrowers. Operating Loans (OL) may be used: (1) to finance livestock or farm equipment; (2) to pay annual operating expenses or family living expenses; or (3) to refinance debts under certain conditions. Lines of Credit (LOC) are operating loans for annual operating loan purposes. Funds may be advanced and repaid (revolve) throughout the year.

Applicant Eligibility:

Individuals, partnerships, or joint operations, legal resident aliens, corporations and cooperatives that meet the eligibility requirements for a guaranteed loan and are able to project the required cash flow margins with the aid of interest assistance.

Beneficiary Eligibility:

This program aids both the borrower and lender by providing 4 percent of the interest costs and receiving up to a 90 percent guarantee on the loan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The lender must submit to the agency on behalf of the applicant, all required forms to the FSA county office, where the proposed operation will be located. Contact the lender in your area for completion of all required forms. Additional

information can be obtained by contacting the FSA office in your local area. Farm Service Agency offices are listed in the telephone directory under U.S. Government, Department of Agriculture. Visit FSA website at: www.fsa.usda.gov.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

One to 30 days.

Appeals:

At the time of any rejection FSA will notify the lender and loan applicant of appeal rights and procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Interest Assistance agreements will be granted for a term not to exceed 5 years from the date the first agreement was signed. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The type of records required will be on a case-by-case basis.

Account Identification:

12-1140-0-1-351; 12-4140-0-3-351.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$149,709,000; FY 10 est \$170,000,000; FY 11 est \$144,000,000 - Guaranteed Operating Loans with Interest Assistance: FY 2009 actual obligations were \$149,709,000
FY 2010 estimated program level funding \$170,000,000.
FY 2011 estimated program level funding \$144,000,000.

Range and Average of Financial Assistance:

Maximum Lifetime limit for interest assistance: \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2009 the Agency made 756 guaranteed operating loans with interest assistance and average loan size: \$198,000. Fiscal Year 2010: FY 2010 the Agency projects 756 guaranteed operating loans with interest assistance and average loan size: \$204,800. Fiscal Year 2011: FY 2010 the Agency projects 850 guaranteed operating loans with interest assistance and average loan size: \$169,400.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 762 and FSA Handbook 2 FLP. Farm Service Agency Fact Sheets; Program Aid 1664 "Producers Guide to FSA Loan Programs." and Program Aid 1620 "Lender's Guide to FSA Loan Programs." FSA website: www.fsa.usda.gov.

Regional or Local Office:

None. Farm Service Agency State Offices can inform applicants of county office locations where applicants may apply for assistance. FSA State Office locations are listed in Appendix IV of the Catalog. Or visit the website at: <http://www.fsa.usda.gov>. FSA has more than 2,500 county offices serving every rural county in the United States.

Headquarters Office:

Trent Rogers, USDA-FSA-DAFLP-LMD, 1280 Maryland Ave, SW, Suite 240, Washington, District of Columbia 20024 Email: trent.rogers@wdc.usda.gov

Phone: (202) 720-1657 Fax: (202) 690-1117.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.406 Farm Operating Loans; 10.407 Farm Ownership Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.438 SECTION 538 RURAL RENTAL HOUSING GUARANTEED LOANS

Rural Rental Housing Guaranteed Loans

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Section 538, Public Law 106-569, 42 U.S.C. 1490p-2.

OBJECTIVES:

This program has been designed to increase the supply of affordable multifamily housing in rural areas through partnerships between Rural Development and major lending sources, as well as State and local housing finance agencies and bond insurers. The program provides Federal credit enhancement to encourage private and public lenders to make new loans for affordable rental properties that meet program standards.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The guarantee will encourage the construction of new rural rental housing and appropriate related facilities. Housing as a general rule will consist of projects with five or more dwellings. The guarantee may not be made for nursing, special care or industrial type housing.

Applicant Eligibility:

The applicant in this program is the lender. The lender must be approved and currently active with Fannie Mae, Freddie Mac, HUD/FHA insurance programs, Ginnie Mae or be a State or local Housing Finance Agency. A member of the Federal Home Loan Bank System or other lender may be able to participate if they can demonstrate satisfactory experience with multifamily lending.

Beneficiary Eligibility:

Occupants must be families or persons with income not in excess of 115 percent of the Median Income at the time of initial occupancy.

Credentials/Documentation:

Eligible borrowers include: individual partnerships; profit and not-for-profit corporations; limited liability companies; trusts; State and local agencies; and Indian Tribes. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The lender originates the loan and performs the necessary underwriting and provides the documentation required by the RHS and request for guarantee to the RHS for consideration.

Award Procedure:

Rural Development will review all the applications for compliance with the program requirements and issue the Conditional Commitment of Guarantee

with conditions. Once the conditions are met the final Contract of Guarantee will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The review and award process is established at 60 days from the end of the NOFA.

Appeals:

Applicants may appeal adverse decisions in accordance with 7 CFR Part 11, National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: The funding takes place as outlined in the Notice of Funding Availability.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. As required by the lender and program regulations.

Records:

Good business records and any records required by the lender.

Account Identification:

12-0137-0-1-604.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$132,614,564; FY 10 est \$129,000,000; FY 11 est \$129,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Final Rule, 7 CFR 3565, became effective January 19, 2005. Also available in the Guaranteed Rural Rental Housing Program Origination and Servicing Handbook.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Multi-Family Housing Processing Division, Rural Development, Department of Agriculture, Washington, District of Columbia 20250 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Provided in the Notice of Funding Availability (NOFA).

10.441 TECHNICAL AND SUPERVISORY ASSISTANCE GRANTS

Technical and Supervisory Assistance Grants

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Section 525(a), 42 U.S.C. 1490(e)(a).

OBJECTIVES:

To assist low-income rural families in obtaining adequate housing to meet their family's needs and/or to provide the necessary guidance to promote their continued occupancy of already adequate housing. These objectives will be accomplished through the establishment or support of housing delivery and counseling projects run by eligible applicants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant purposes: Grant funds are to be used for a housing delivery system and counseling program to include a comprehensive program of technical and supervisory assistance as set forth in the grant agreement and any other special conditions as required by RHS. Uses of grant funds may include, but are not limited to: (a) The development and implementation of a program of technical and supervisory assistance as defined in RD Instruction 1944-K, 1944.506(h) and (i); (b) payment of reasonable salaries of professional, technical, and clerical staff actively assisting in the delivery of the TSA project; (c) payment of necessary and reasonable office expenses such as office supplies and office rental, office utilities, telephone services, and office equipment rental; (d) payment of necessary and reasonable administrative costs such as workers' compensation, liability insurance, audit reports, travel to and attendance at RD approved training sessions, and the employer's share of Social Security and health benefits. Payments to private retirement funds are prohibited unless prior written authorization is obtained from the Administrator; (e) payment of reasonable fees for necessary training of grantee personnel. This may include the cost of travel and per diem to attend regional training sessions when authorized by the State Director; and (f) other reasonable travel and miscellaneous expenses necessary to accomplish the objectives of the specific TSA grant which were anticipated in the individual TSA grant proposal and which were included as eligible expenses at the time of grant approval. Ineligible activities: Grant funds may not be used for: (1) Acquisition construction, repair, or rehabilitation of structures or acquisition of land, vehicles, or equipment; (2) replacement of or substitution for any financial support which would be available from any other source; (3) duplication of current services in conflict with the requirements of RD Instruction 1944-k, 1944.514(c); (4) hiring personnel to perform construction; (5) buying property of any kind from families receiving technical or supervisory assistance from the grantee under the terms of the TSA grant; (6) paying for or reimbursing the grantee for any expenses or debts incurred before RHS/RD executes the grant agreement; (7) paying any debts, expenses or costs which should be the responsibilities of the individual families receiving technical and supervisory assistance; (8) any type of political activities, and (9) other costs including contributions and donations, entertainment, fines and penalties, interest and other financial costs, legislative expenses and any excess of cost from other grant agreements. Advice and assistance may be obtained from the National Office where ineligible costs are proposed as part of the TSA project or where a proposed cost appears ineligible. The grantee may not change fees or accept compensation or gratuities from TSA recipients for the grantee's assistance under the program.

Applicant Eligibility:

Technical and Supervisory Assistance Grants may be made to public or private nonprofit corporations, agencies, institutions, organizations, Indian Tribes, and other associations. Listed below are a description and definition of eligible applicants: 1. Sponsored organization - A public purpose group other than a unit of government that is a beneficiary under a plan or program administered by a State, or political subdivision of a State or local government, and which is subject to approval by a Federal agency. Usually organized to work for a specific purpose. Examples: Community development agencies, model cities, and community action agencies; 2. public nonprofit institution/organization - A public owned agency or organization established to perform specialized

functions or services for the benefit of all or part of the general public either without charge or at cost, making no profits and having no shareholders or receive dividends, includes institutions of higher education and hospitals. 3. Federally Recognized Indian Tribal Government - the governing body or a governmental agency of an Indian tribe, nation, pueblo, or other organized group or community (including native village as defined in the Alaska Native Claims Settlement Act) certified by the Secretary of the Interior as eligible for the special programs and services provided through the Bureau of Indian Affairs; and 4. private nonprofit institution/organization - privately owned organization or institution which represent community service networks, public information, technical assistance, and public education. Operated exclusively for charitable, scientific, literary or educational purposes such that no part of its earnings is for the benefit of any private shareholder or individual, includes private institutions of higher education and hospitals. Examples: Girl Scouts, American Civil Liberties Union.

Beneficiary Eligibility:

Eligible beneficiaries must be low- income, individual/family and rural sponsored organizations.

Credentials/Documentation:

Have the financial, legal, administrative, and operational capacity to assume and carry out the responsibilities that meet this requirement of actual capacity, it must either: (a) Have necessary background and experience with proven ability to perform responsibly in the field of low-income rural housing development and counseling, or other business management or administrative experience which indicated an ability to provide responsible technical and supervisory assistance; or (b) be assisted by an organization which has such background experience and ability and which agrees in writing that it will provide, without charge, the assistance the applicant will need to carry out its responsibilities; (c) legally obligate itself to administer TSA funds, provide an adequate accounting of the expenditure of such funds, and comply with the grant agreement and RHS regulations; (d) demonstrate an understanding of the needs of low-income rural families; (e) have the ability and willingness to work within established guidelines; and (f) if the applicant is engaged in or plans to become engaged in any other activities, it must be able to provide sufficient evidence and documentation that it has adequate resources, including financial resources, to carry on any other programs or activities to which it is committed without jeopardizing the success and effectiveness of its TSA project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application Submission: (a) Upon notification that the applicant has been tentatively selected for funding, the State office will forward to the applicant a signed Form AD-622 and provide SF 424.1 with instructions to the applicant for preparation of an application; (b) upon receipt of Form AD-622, the applicant will submit an application, an original and two copies on Form SF 424.1, and provide whatever additional information is requested to the Field office within 30 days; and (c) upon receipt of an application on SF 42.1 by the Field office, a docket shall be assembled which will include the following: (1) Form SF 424.1 and the information submitted in accordance with RD Instruction 1944-k, 1944.526(a) (2); (2) Form AD-622; (3) any comments received in accordance with 7 CFR part 3015 subpart V, "Intergovernmental Review of Department of Agriculture Programs and Activities." See RD Instruction 1940-J, available in any RD office; (4) SF 424.1; (5) OGC legal administration made pursuant to RD Instruction 1944-K, 1944.526(c) (3); (6) grant agreement; (7) Form RD 1940-1, "Request for Obligation of Funds;" (8) Form RD 400-1, "Equal Opportunity Agreement;" (9) Form RD 400-4, "Assurance Agreement;" (10) Form RD 1940-20, "Request for Environmental Information;" (11) Form RD 1940- 22, "Environmental Checklist for Categorical Exclusions," Form RD 1940-21, "Environmental Assessment for Class I Actions" or exhibit H, subpart G of Part 1940 entitled, Environmental Assessment for Class II Actions; (12) the historical and archaeological assessment; and (13) the detailed budget for the agreement period based upon the needs outlined in the proposal and the comments and recommendations by RD. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Not

applicable.

Award Procedure:

Award is made by the Rural Development State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Appeal rights are available under Rural Development's Instruction 1900 Subpart B.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is normally for one to two years. Requesting TSA checks: (a) The initial TSA check may cover the applicant's needs for the first calendar month. If the first calendar month is a partial month, the check will cover the needs for the partial month and the next whole month. (b) the initial advance of TSA grant funds may not be requested simultaneously with the request for obligation of TSA grant funds. The initial advance must be requested on Form RD 440-57 in accordance with the FMI after it has been received from the Finance Office indicating that funds have been obligated; and (c) all advances will be requested only after receipt of Standard Form 279 from the grantee. The amount requested must be in accordance with the detailed budget, including amendments, as approved by RHCDS. Standard Form 270 will not be submitted more frequently than once every 30 days. In no case will additional funds be advanced if the grantee fails to submit required reports or is in violation of the grant agreement. See the following for information on how assistance is awarded/released: Award is made by the Rural Development State Director.

Reports:

Grant Evaluation, Closeout, Suspension, and Termination: (a) Grant evaluation will be an ongoing activity performed by both the grantee and RHS. The grantee will perform self-evaluations by preparing periodic project performance reports in accordance with 1944.541. RHS will also review all reports prepared and submitted by the grantee in accordance with the grant agreement and this part. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. Grant Evaluation, Closeout, Suspension, and Termination: (a) Grant evaluation will be an ongoing activity performed by both the grantee and RHS. The grantee will perform self-evaluations by preparing periodic project performance reports in accordance with 1944.541. RHS will also review all reports prepared and submitted by the grantee in accordance with the grant agreement and this part.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Within 45 days after the grant ending date, the grantee will complete closeout procedures as specified in the grant agreement.

Records:

Grantees are required to maintain financial records, supporting documents, statistical records, and all other records pertinent to the grant for a period of at least 3 years after the submission of the final Project Performance report.

Account Identification:

12-2009-0-1-604.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - While current funding is not available, funding may become available in the near future.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944, Subpart K.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

USDA, Rural Development Housing Programs, 14th Street & Independence Avenue, S.W., Washington, District of Columbia 20250 Phone: (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must provide a program of supervisory assistance as defined in 7 CFR 1944.506(h) and they must serve areas with a concentration of substandard housing and low-income and low-income minority households. In addition, the proposals will be scored on the following criteria: (1) The extent to which the program serves areas with concentrations of Rural Development single family housing loan borrowers who are delinquent in their housing loan payments and/or threatened with foreclosure. (2) The capability and past performance demonstrated by the applicant in administering its programs, the effectiveness of current efforts by the applicant to assist low-income and low-income minority families in obtaining adequate housing, the adequacy of records and practices (including personnel procedures and practices) that will be established and maintained by the applicant during the term of the agreement. (3) The narrative presentation of the applicant's proposed TSA program. (4) The extent to which the program will provide or increase the delivery of housing resources to low-income and low-income minority families who are not currently occupying adequate housing in the areas. (5) The extent to which the program will make use of other financial and contribution-in-kind resources for both technical and supervisory assistance and housing development and supporting facilities. (6) The extent to which the project will be cost effective. (7) The extent to which the program is effective in providing expected benefits to low-income families. (8) The narrative statement is based on need. (9) The services of the applicant will provide are not presently available in the proposed service area to assist low-income families in obtaining or maintaining occupancy of adequate housing and the extent of duplication of technical and supervisory assistance activities currently provided for low-income families. (10) The extent of citizen and local government participation and involvement in the development of the preapplication and project and coordination with other Federal, State or local technical and/or supervisory assistance programs.

10.442 HOUSING APPLICATION PACKAGING GRANTS

(Section 509 Grants)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, 42 U.S.C. 1479.

OBJECTIVES:

To package single family housing applications for very low- and low-income rural residents in colonias and designated counties who wish to buy, build, or repair houses for their own use and to package multi-family housing applications for organizations wishing to develop rental units for lower income families to construct new rental housing complexes or to repair and rehabilitate existing units.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants reimburse eligible organizations for part or all of the costs of conducting, administering, and coordinating an effective housing application packaging program in colonias and designated counties. Eligible organizations aid very low- and low-income individuals and families in obtaining benefit from Federal, State, and local housing programs. The following are restrictions under the housing application packaging grants: (1) These funds are available only in the areas defined in Exhibit D of RD Instruction 1944-B; (2) the packager may not charge fees or accept compensation or gratuities directly or indirectly from the very low- and low-income families being assisted under this program; (3) the packager may not represent or be associated with anyone else, other than the applicant, who may benefit in any way in the proposed transaction; (4) if the packager is compensated for this service from other sources, then the packager is not eligible for compensation from this source except as permitted by RHS; (5) grantees who are funded to do self-help housing effort; and (6) the authorized representatives must have no pecuniary interest in the award of the architectural or construction contracts, the purchase of equipment, or the purchase of the land for the housing site. Grants reimburse eligible organizations for part or all of the costs of conducting, administering, and coordinating an effective housing application packaging program in colonias and designated counties. Eligible organizations and very low- and low-income individuals and families in obtaining benefit from Federal, State, and local housing programs. The following are restrictions under the housing application packaging grants: (1) These funds are available only in the areas defined in Exhibit D of RD Instruction 1944-B; (2) the packager may not charge fees or accept compensation or gratuities directly or indirectly from the very low- and low-income families being assisted under this program; (3) the packager may not represent or be associated with anyone else, other than the applicant, who may benefit in any way in the proposed transaction; (4) if the packager is compensated for this service from other sources, then the packager is not eligible for compensation from this source except as permitted by RHS; (5) grantees who are funded to do self-help housing effort; and (6) the authorized representatives must have no pecuniary interest in the award of the architectural or construction contracts, the purchase of equipment, or the purchase of the land housing site.

Applicant Eligibility:

An eligible grantee is an organization which is defined as any of the following entities which are legally authorized to work in designated counties and/or colonias and are: (1) A State, State agency, or unit of general local government; or (2) a private nonprofit organization or corporation that is owned and controlled by private persons or interests, is organized and operated for purposes other than making gains or profits for the corporation, and is legally precluded from distributing any gains or profits to its member.

Beneficiary Eligibility:

The targeted groups are nonprofit organizations who will serve very low- and low-income families without adequate housing in the colonias and designated counties.

Credentials/Documentation:

(1) Have the financial, legal, and administrative capacity to carry out the responsibilities of packaging housing applications for very low- and low-income applicants. To meet this requirement it must have the necessary background and experience with proven ability to perform responsibly in the field of housing application packaging, low-income housing development, or other business or administrative ventures which indicate an ability to perform responsibility in this field of housing application packaging. (2) legally obligate itself to administer grant funds, provide adequate account of the expenditure of such funds, and comply with RHS regulations; (3) if the organization is a private nonprofit corporation: (a) is organized under State and local laws. (b) is qualified under Section 501(c)(3) of the Internal Revenue Code of 1986. (c) has as one of its purposes assisting very-low- and low-income families to obtain affordable housing; and (d) comply with the administrative requirements in RD Instruction 1944-B, other RHS policies and regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National

Environmental Policy Act (NEPA) of 1969. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A package will consist of the required forms for the respective loan or grant program, as listed in Exhibit C of RD Instruction 1944-B. Grantees shall submit all material requested to the local Rural Development office. In addition, an original and copy of Forms RD 400-1, "Equal Opportunity Agreement," and Form RD 400-4, "Assurance Agreement," must be submitted. A copy of a current "Certificate of Training" pertaining to the type of application will be submitted. Applicants must also provide proof of nonprofit status under Section 501 (c)(3) or Section 501 (c)(4) of the Internal Revenue Code or of their existence as a State agency or unit of government legally authorized to work in the designated county and/or colonia. An SF-270, "Request for Advance or Reimbursement," will be submitted with each package for the amount authorized for the specific loan type, as listed in Exhibit B of RD Instruction 1944-B.

Award Procedure:

Awards are made by the State Director.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The determination is made by the State Director. Grantees must attend training each year in order to qualify for assistance. A copy of a current "Certificate of Training" pertaining to the type of application package must be submitted. Generally, training must be completed prior to December 31 of any year.

Appeals:

Appeal rights are available under 7 CFR 3550.4.

Renewals:

Grantees may reapply and packages may be submitted after the annual housing application packaging orientation and training is held, and a "Certificate of Training" is issued.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The State Director will determine based on the housing funds available, how many applications can be processed for each program during the fiscal year. The grant period will end when sufficient packages are received for each designated county or colonias, or on September 30, of the fiscal year, whichever is earlier. See the following for information on how assistance is awarded/released: See above.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly reports must be submitted to the appropriate RD office servicing the designated county and/or colonias. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Annual audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

The grantee will be required to retain records for 3 years from the date Standard Form (SF) - 269A, financial status report (short form), is submitted. These records will be accessible to RD and other Federal officials in accordance with 7 CFR Part 3015. A file of packages submitted will be established in the RD office and retained in accordance with RD Instruction 2033-A.

Account Identification:

12-2009-0-1-604.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - While current funding is not available, funding may become available in the future.

Range and Average of Financial Assistance:

Fees paid for Section 502 Single Family Housing Loans, Section 504 Repair Loans and grants, and Section 514 Farm Labor Housing Loans are \$500 for each acceptable package. For other programs, refer to Exhibit B of RD Instruction 1944-B.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1944, subpart B, 7 CFR 3014 (for nonprofit organizations) and 7 CFR 3016 (for State and local governments).

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

USDA, Rural Development Housing Programs 14th Street & Independence Avenue, S.W., Washington, District of Columbia 20250 Phone: (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.410 Very Low to Moderate Income Housing Loans; 10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.415 Rural Rental Housing Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.433 Rural Housing Preservation Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.443 OUTREACH AND ASSISTANCE FOR SOCIALLY DISADVANTAGED FARMERS AND RANCHERS

FEDERAL AGENCY:

ASSISTANT SECRETARY FOR ADMINISTRATION, OFFICE OF OUTREACH, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Food, Agriculture, Conservation, and Trade Act of 1990, Section 2501, Public Law 101-624, 7 U.S.C. 2279.

OBJECTIVES:

To reverse the decline of socially disadvantaged farmers and ranchers across the United States. The intended outcome is to encourage and assist socially disadvantaged farmers and ranchers to own and operate their own farms and ranches, participate in agricultural programs, and thus allow them to become an integral part of the agricultural community and strengthen the rural economy.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide outreach, training and technical assistance to socially disadvantaged farmers and ranchers on agricultural programs.

Applicant Eligibility:

1890 Land-Grant Institutions, including Tuskegee University, Indian Tribal Community Colleges, Alaska Native cooperative colleges, Hispanic serving post-secondary educational institutions, other post-secondary educational institutions providing Agricultural education or other agriculturally-related services to socially disadvantaged farmers and ranchers in their region and community-based organizations that: (1) Have demonstrated experience in providing agricultural education or other agriculturally related services to

socially disadvantaged farmers and ranchers in their region; (2) provides documentary evidence of its past experience in working with socially disadvantaged farmers and ranchers during the 2 years preceding its application for assistance; and (3) does not engage in activities prohibited under Section 501(c)(3) of the Internal Revenue Code of 1986.

Beneficiary Eligibility:

A farmer or rancher who is a member of one or more of the following groups whose members have been subjected to racial or ethnic prejudice because of their identity as members of a group without regard to their individual qualities. Groups include, but are not limited to, African-Americans, Native Americans, Alaskan Natives, Hispanics, Asians, and Pacific Islanders. The Secretary of Agriculture will determine on a case-by-case basis whether additional groups qualify under this definition, either at the Secretary's initiative or in response to a written request with supporting explanation.

Credentials/Documentation:

Applicants must have the financial, legal, administrative, and operational capacity to carry out the objectives of the program by having experience in agriculture. Costs will be determined in accordance with OMB Circular No. A-122 "Cost Principles for Nonprofit Organizations" and OMB Circular No. A-21, "Cost Principles for Educational Institutions" as implemented by USDA regulation 7 CFR part 3019. Community-based organizations should provide a current certification of non-profit status in accordance with section 501(c)(3) of the Internal Revenue Code of 1986.

Preapplication Coordination:

Notice of fund availability and request for proposals will be published in the Federal Register. This program is excluded from coverage under E.O. 12372.

Application Procedures:

Formal proposals should be submitted as outlined in the solicitation of proposals/applications. Application procedures are contained in the 2501 Program Application booklet. This program is subject to the Administrative procedures of 7 CFR Part 26.

Award Procedure:

Proposals are reviewed and evaluated by the 2501 Program staff members with the assistance and advice of a review panel of appropriate persons that are specialists who are qualified by training and experience in the fields covered by the proposals. Proposals are ranked and recommended in order of merit and funded to the extent permitted by available funds. Final approval for those proposals selected will be made by the Awarding Official.

Deadlines:

Dates governing the acceptance, review, and selection of projects will be published in the Federal Register Notice or other applicable publication announcing the request for proposals.

Range of Approval/Disapproval Time:

Notice of action taken on proposals will generally be within 90 days of final date of submission deadline.

Appeals:

As stipulated in OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations.

Renewals:

None. Applicants that have previously received a grant, and have achieved the goals established for the previous grant, must reapply for an additional grant under the initial grant procedures.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Grants can be awarded from one to five years as stipulated by the current request for proposals notification published in the Federal Register.

Reports:

Quarterly financial and program performance/progress reports are due within 30 days after the reporting period. A final financial and performance/progress report is required within 90 days of the expiration of each agreement, which shall include an evaluation of the activities under the Agreement since the

inception of the Project.

Audits:

In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," Nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records must be retained at least three years; records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0601-0-1-351.

Obligations:

(Grants) FY 07 \$5,666,890; FY 08 est \$6,652,800; and FY 09 est not reported.

Range and Average of Financial Assistance:

FY 2001, 27 recipients - \$62,941 to \$550,000, average was approximately \$225,000; and FY 2002, 30 recipients - \$66,437 to \$276,822, average was approximately \$200,000.

PROGRAM ACCOMPLISHMENTS:

The 27 recipients serviced 22 States and 394 counties by providing assistance to more than 8,686 farmers and ranchers. These efforts have provided outreach to more than 107,566 rural constituents.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 26, "Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers Program; and 2501 Program Application Booklet.

Regional or Local Office:

Contact appropriate USDA State Office listed in Appendix IV of Catalog.

Headquarters Office:

Department of Agriculture, USDA Office of Outreach, 1400 Independence Avenue, SW., AG STOP 1710, Washington, DC 20250. Telephone: (202)720-6350. Fax: (202) 720-7489.

Website Address:

<http://www.usda.gov/agency/outreach>.

RELATED PROGRAMS:

10.224, Fund for Rural America_Research, Education, and Extension Activities; 10.350, Technical Assistance to Cooperatives; 10.450, Crop Insurance; 10.500, Cooperative Extension Service; 10.960, Technical Agricultural Assistance.

EXAMPLES OF FUNDED PROJECTS:

Under the current funded projects, the grantees proposed a one-year plan for outreach and technical assistance to encourage and assist socially disadvantaged farmers and ranchers to own and operate farms and ranches and to participate in agricultural programs.

CRITERIA FOR SELECTING PROPOSALS:

Prior to technical examination, a preliminary review will be made for responsiveness to the solicitation. Proposals that do not fall within the solicitation guidelines will be eliminated from competition. All accepted proposals will be reviewed by a review panel. In evaluating the proposal, the following will be taken into account to the degree to which the proposal demonstrates the following: (1)degree to which the proposal clearly describes its objective and evidences a high level of feasibility and consistency with USDA policy and the Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers objectives; (2)degree to which the institution or organization is committed to the project, as shown by funds, in-kind services, or historical success in meeting the objectives of the program; (3)degree to which the proposal reflects collaborative approaches in meeting with other agencies or organizations to enhance the objectives of the program. Also, the areas and

number of farmers who would benefit from the services offered; (4)degree to which the proposal contains efforts to reach persons identified as socially disadvantaged and farmers in designated socially disadvantage counties; and (5)degree to which the proposal reflects special innovative features to attract, interest, and improve the economical and social conditions of the farmer and/or rancher.

10.444 DIRECT HOUSING_ NATURAL DISASTER LOANS AND GRANTS

(SECTION 504, Rural Housing Loans and Grants)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Title V, Section 504, as amended, Public Laws 89-117, 89-754, and 92-310, 42 U.S.C. 1474; Public Law 104-134.

OBJECTIVES:

To assist qualified recipients to meet emergency assistance needs resulting from natural disaster. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). For the purpose of administering these funds, natural disaster will only include those counties identified by a Presidential declaration.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist very-low income owner- occupants to repair or replace damaged property as a direct result of a natural disaster. Loans are made in counties named by the Federal Management Agency as being eligible for Federal assistance under an emergency declaration by the President.

Applicant Eligibility:

Applicants must own and occupy the home in a rural area. Loan recipients must have sufficient income to repay the loan. Grant recipients must be 62 years of age or older and be unable to repay a loan for that part of the assistance received as a grant. The applicant's income for a loan may not exceed the very low-income limit which can be obtained in any Rural Development (RD) field office.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria.

Credentials/Documentation:

Natural disasters will only include those areas identified by a Presidential declaration. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). Applicants must establish that physical damage was caused by natural disaster. For Section 504 loans/grants, there must be evidence of ownership and verification of income and debts. For grant recipients, evidence of age is required. The applicant must be unable to get credit elsewhere and demonstrate repayment for the loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file Form RD 410-4 at the Rural Development field office serving the county where the dwelling is located.

Award Procedure:

Final approval of the application is given by the local or area office loan approval official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days subject to the availability of funds, from the time the application is filed if no backlog of applications exist.

Appeals:

Applicants may request reconsideration at the local RD field office and may appeal adverse actions in accordance with 7 CFR Part 11. Appeals may be filed within 30 days after notification of the adverse decision is received by the loan/grant applicants.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

These funds are available only if the Supplemental Emergency Funding for Natural Disaster has been appropriated. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office, as appropriate for additional information.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Borrowers are not required to, but should retain copies of loan/grant related documents.

Account Identification:

12-4215-0-3-371; 12-2081-0-1-371; 12-1953-0-1-999.

Obligations:

(Direct Loans) FY 09 \$0; FY 10 est \$5,000; FY 11 est not reported. (Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est not reported. - Grant funds are now exhausted.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3550.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single Family Housing Direct Loan Division, Rural Housing Programs, Department of Agriculture, 1400 Independence Avenue, S.W., Washington, District of Columbia 20250 Phone: (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.445 DIRECT HOUSING_NATURAL DISASTER

(Section 502 Very Low and Low Income Loans)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Title V, Section 502, as amended, Public Law 89-117, 42 U.S.C. 1471 et seq; Public Law 92- 310, 42 U.S.C. 1480, 42 U.S.C. 1472; Public Law 98-51; Public Law 100- 233; Amendment of Consolidated Farm and Rural Development Act of 1987, Section 601.

OBJECTIVES:

To assist qualified lower income rural families to meet emergency assistance needs resulting from natural disaster to buy, build, rehabilitate, or improve dwellings in rural areas. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). For the purpose of administering these funds, natural disaster will only include those areas identified by a Presidential declaration.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Direct loans may be used for construction, repair, or purchase of housing. Payment subsidy is available to eligible low and very low-income applicants. The term payment subsidy refers to either payment assistance or interest credit. A payment subsidy reduces the borrower's scheduled payment to an amount equal to what it would be if the note were amortized to as low as one percent. Payment subsidies are subject to recapture by the government when the borrower transfers title or ceases to occupy the property.

Applicant Eligibility:

Applicants must be without adequate resources to obtain housing or related facilities. Applicants must be unable to secure the necessary credit from other sources at prevailing terms and conditions for residential financing.

Beneficiary Eligibility:

Applicants/borrowers are the direct beneficiaries when they meet all eligibility criteria.

Credentials/Documentation:

Natural disasters will only include those areas identified by a Presidential declaration. Funds are only available to the extent that funds are not provided by the Federal Emergency Management Agency (FEMA). Applicants must establish that physical damage was caused by natural disaster. An applicant needs to submit evidence of inability to obtain credit elsewhere, verification of income and debts, plans, specifications, and cost estimates. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file Form RD 410-4 at the Rural Development field office serving the county where the dwelling is located.

Award Procedure:

Final approval of the application is approved by the Community Development Manager.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days subject to the availability of funds, from the time the application is filed if no backlog of applications exists.

Appeals:

Applicants may request reconsideration at the local Rural Development field office or may appeal adverse actions in accordance with RD Instruction appeal procedure. Appeals may be filed within 30 days after notification of the adverse decision is received by the loan applicant.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

These funds are available until the Supplemental Emergency Funding for Natural Disaster is exhausted. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office, as appropriate, for additional information.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Borrowers are not required to, but should retain copies of loan related documents.

Account Identification:

12-4215-0-3-371; 12-2081-0-1-371.

Obligations:

(Direct Loans) FY 09 \$48,845,553; FY 10 est \$20,000,000; FY 11 est not reported. - (Note: Funds under this program are based on supplemental funding provided by Congress in response to a natural disaster. Hurricane Emergency Supplemental funds are not included in the projected amounts).

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3550.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for Rural Development county or district office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single Family Housing Direct Loan Division, Rural Housing Programs, Department of Agriculture
1400 Independence Avenue, S.W., Washington, District of Columbia 20250
Phone: (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.446 RURAL COMMUNITY DEVELOPMENT INITIATIVE

Rural Community Development Initiative (RCDI)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010. Public Law 111-80.

OBJECTIVES:

To develop the capacity and ability of private, nonprofit community-based housing and community development organizations, and low income rural communities to improve housing, community facilities, community and economic development projects in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Rural Community Development Initiative grants may be used for but are not limited to (a) training sub-grantees to conduct a program on home-ownership education; (b) training sub-grantees to conduct a program for minority business entrepreneurs; (c) providing technical assistance to sub-grantees on how to effectively prepare a strategic plan; (d) provide technical assistance to sub-grantees on how to access alternative funding sources; (e) building organizational capacity through board training; (f) developing training tools, such as videos, workbooks, and reference guides to be used by the sub-grantee; (g) providing technical assistance and training on how to develop successful child care facilities; and (h) providing training on effective fundraising techniques.

Applicant Eligibility:

Rural Community Development Initiative grants may be made to a legally qualified private or public (including tribal) organization that provides technical assistance to nonprofit community-based housing and community development organizations, and low income rural communities. The grantee must provide a program of technical assistance to the sub-grantee. The grantee must have been legally organized for a minimum of three years prior experience working with nonprofit organizations or low-income rural communities in the areas of housing, community facilities, or community and economic development. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Subgrantees must be legally organized private, nonprofit community-based housing and community development organizations, low income rural communities, and Federally recognized Indian Tribes.

Credentials/Documentation:

Have the legal, financial, administrative, and operational capacity relative to the activity for which assistance is requested. Have necessary background and experience with proven ability to perform responsibly in the areas of housing, community facilities, or community and economic development. Have the ability to work within established guidelines. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application procedures will be announced in the Federal Register and on the Grants.gov web site.

Award Procedure:

Award is made by the Administrator, Housing and Community Facilities Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As required, 90 days to 120 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of the decision from the National Appeals

Division, USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The grantee must provide matching funds at least equal to the amount of the grant in the form of cash or committed funding. (No in-kind contributions).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals must be structured to utilize the Rural Community Development Initiative grant funds within 3 years from the execution of the Rural Community Development Initiative grant agreement. See the following for information on how assistance is awarded/released: Applications received in accordance with the Federal Register Notice of Funding Availability are evaluated and the highest scoring applicants are awarded grants subject to the availability of appropriated funds. Contact regional office or headquarters as appropriate for additional information.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Grantee submits report detailing expenditures and progress as required by the grant agreement. The grantee will perform self-evaluations by preparing quarterly financial and project performance reports in accordance with the grant agreement for RCIDI.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Periodic audits should be made as part of the grantee's system of financial management and internal control to meet terms and conditions of the grant. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

The borrower must maintain adequate records and accounts related to the technical assistance performed.

Account Identification:

12-4215-0-3-371; 12-2081-0-1-371.

Obligations:

(Project Grants) FY 09 \$4,241,055; FY 10 est \$6,256,000; FY 11 est \$6,412,200

Range and Average of Financial Assistance:

\$50,000 to \$252,000. Average \$208,669.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations 7 CFR 3015, 7 CFR 3016, 7 CFR 3019, 7 CFR 3052; H.R. 5426 Guidelines announced in NOFA, published in the Federal Register.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development State Office numbers. If no listing, contact the appropriate Rural Development State Office found on the internet at <http://www.rurdev.usda.gov>.

Headquarters Office:

Deputy Administrator, Community Programs, Department of Agriculture, Rural Development, Stop 0788
1400 Independence Avenue, S.W., Washington, District of Columbia
20250-0788 Phone: (202) 720-1490.

Website Address:

<http://www.rurdev.usda.gov/rhs/rcdi/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are received are competitively scored and the highest scoring are awarded grants until appropriated funds are exhausted. Factors considered for project selection include but are not limited to median household income, rural population, and points for evaluation criteria published in the notice of funding availability (NOFA).

10.447 THE RURAL DEVELOPMENT (RD) MULTI-FAMILY HOUSING REVITALIZATION DEMONSTRATION PROGRAM (MPR)

(Restructuring Program)

FEDERAL AGENCY:

Rural Housing Service, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514/516 and 515, and The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2008 (Public Law 110-161).

OBJECTIVES:

To preserve and revitalize existing rural rental housing and farm labor housing projects financed by RHS under Section 515 and Sections 514/516 of the Housing Act of 1949 and to ensure that sufficient resources are available in order to continue to provide safe and affordable housing for very low- and low-income residents.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be used to meet the physical needs of rental and farm labor housing properties financed under Section 515 and Sections 514/516 of the Housing Act of 1949. Related soft costs are also eligible. Owners or buyers are required to agree to a Restrictive Use Covenant for 20 years or the remaining term of any loans or the remaining term of any existing restrictive-use provisions whichever ends later. This ensures the property will be used for low-income housing as defined by the Housing Act of 1949.

Applicant Eligibility:

Owners or buyers of financially viable Section 515 financed rental or Section 514/516 labor housing properties.

Beneficiary Eligibility:

Low-income rural residents needing safe, decent, and sanitary rental housing are eligible.

Credentials/Documentation:

Applicants must provide a Capital Needs Assessment (CNA) to identify the physical needs of the property as well as the estimated cost to make the needed repairs over a 20-year period. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Multi-Family Housing Revitalization Demonstration Program (MPR)
Pre-application is available electronically at <http://www.rurdev.usda.gov/rd/nofas/index.html> or through contacting the RD State Office in the state where the project is located. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Pre-applications will be scored on the following factors: (1) Contribution of third party funds; (2) Owner contribution sufficient to pay transaction costs; (3)

Age of Project; (4) Transfer and revitalization of troubled projects; (5) Prior Agency approvals of CNAs; (6) Installation of energy generation systems; (7) Energy conservation; and (8) New tenant services to be provided by a non-profit organization at no cost to the project and that are available to all tenants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within 45 days from Pre-application submission, pre-applications will be scored and ranked, eligibility will be confirmed, and applicants will be notified of selection for participation and requested to submit full applications.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Non selected applicants may reapply under the next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Debt deferral is the lesser of the remaining term of the existing loan or 20 years. A balloon payment of accrued principal and interest will be due at the end of the deferral period. A revitalization grant for non-profit applicants/borrowers only is limited to the cost of correcting health and safety violations as identified by a CNA. A revitalization zero percent loan will be amortized over 30 years. A soft-second loan with a one percent interest rate will have its interest and principal deferred, to a balloon payment, due at the time the latest maturing Section 514 or 515 loan becomes due. An additional 30-year Section 515 loan at an effective one percent interest rate amortized over a period not to exceed 50 years. An additional 33-year Section 514 loan at an effective one percent interest rate amortized over a period not to exceed 33 years. An additional Section 516 grant not to exceed the lesser of 90 percent of the total development cost, or that portion of the total development cost which exceeds the sum of any amount provided by the applicant from their own resources plus the amount of any Section 514 loans approved for the applicant. See the following for information on how assistance is awarded/released: Typically, participants compete for funding via the NOFA; a selection process ensues based upon set criteria; applications are ranked and scored nationally. Selections are made based on the project type, ranking and scoring. States are given limits on how many applications are selected with a contingency for fall out.

Reports:

Tracking and monitoring reports are required for Rural Rental and Farm Labor Housing. Since this is a Demonstration Program, procedures for tracking and monitoring expenditures are under development. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Quarterly or annual financial statements completed using agreed-upon procedures and performance standards described in the RHS Multi-Family Housing Audit Program.

Records:

Business records must be retained.

Account Identification:

12-2081-0-1-371.

Obligations:

(Project Grants) FY 09 \$101,165,955; FY 10 est \$27,000,000; FY 11 est \$27,000,000

Range and Average of Financial Assistance:

The underwriting guidelines include, but are not limited to, the following: The maximum soft-second loan will be limited to no more than \$5,000 per unit; revitalization grants limited to \$5,000 per unit; total assistance provided from a revitalization grant, revitalization zero percent loan, and/or a soft-second loan is limited to \$10,000 per unit; and the maximum Section 515 loan or Section 514/516 loan and grant is limited to no more than \$20,000 per unit.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Restructured 178 loans for nearly 3,582 units. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Refer to the NOFA which lists contacts at the National Office and consult your local telephone directory for Rural Development District or State Office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app>.

Headquarters Office:

USDA, Rural Development, Preservation and Direct Loan Division, 1400 Independence Avenue, S.W., Mail Stop 0782, Washington, District of Columbia 20250-0781 Phone: (202) 720-1604.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.415 Rural Rental Housing Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected based on eligibility and ranking and scoring criteria listed in the NOFA.

10.448 RURAL DEVELOPMENT MULTI-FAMILY HOUSING RURAL HOUSING VOUCHER DEMONSTRATION PROGRAM

Rural Development Voucher Demonstration Program

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Omnibus Appropriations Act, 2009 (Public Law 111-8) for the Rural Development Voucher Program as authorized under Section 542 of the Housing Act of 1949, as amended, 42 U.S.C. 1471 et. seq. (without regard to Section 542(b)).

OBJECTIVES:

The Rural Housing Voucher Demonstration program is designed to provide rental housing assistance vouchers to tenants in properties that prepay their Rural Development mortgage. The amount of this subsidy is the difference between the comparable market rent and the amount of rent being paid by the tenant when prepayment occurs. Prepaying properties may be located anywhere in the 50 states, Puerto Rico, the U.S. Virgin Islands and Guam.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The monthly housing assistance payment for the Rural Development Voucher Program is the difference between the comparable market rent for the family's former Section 515 unit and the tenant's rent contribution on the date of the prepayment. The rental unit must pass a Rural Development health and safety inspection.

The owner must be willing to accept a Rural Development voucher.

Use at HUD Section 8 or other Federally-assisted public housing, is prohibited. The Rural Development voucher may not be used in combination with the Rural Development Rental Assistance program.

Applicant Eligibility:

Applicants must (a) be residing in the Section 515 project on the date of the prepayment of the Section 515 loan or upon foreclosure by Rural Development; (b) the date of the prepayment or foreclosure must be after September 30, 2005; (c) as required by 42 U.S.C. 1436a the tenant must be a citizen, U.S. non-citizen national or qualified alien and will so provide proof of citizenship.

Beneficiary Eligibility:

Applicants must be citizens, U.S. non-citizen nationals or qualified aliens and have an adjusted household income at or below 80 percent of area median income as determined annually by the U.S. Department of Housing and Urban Development (HUD) to be eligible for a rural housing voucher.

Credentials/Documentation:

The applicant must furnish factual evidence that their adjusted household's income is at or below 80 percent of area median income as determined annually by HUD and furnish proof of citizenship to be eligible, or to continue to be eligible, for a rural housing voucher. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

During the prepayment or foreclosure process, Rural Development will evaluate every tenant family to determine if it is low-income. If Rural Development determines a family is low-income, immediately prior to the foreclosure or prepayment, Rural Development will send the primary tenant a letter offering the family a voucher.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The voucher is renewable, annually, subject to the availability of appropriations to the USDA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

On an annual basis. See the following for information on how assistance is awarded/released: Assistance is awarded/released on a monthly basis.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-4141-4-0-000.

Obligations:

(Direct Payments for Specified Use) FY 09 \$6,400,000; FY 10 est \$16,000,000; FY 11 est \$18,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Director, Portfolio Management Division, USDA, Rural Development, 1400 Independence Avenue, S.W., Stop 0782, Washington, District of Columbia 20250-0782 Email: stephanie.white@wdc.usda.gov Phone: (202) 720-1615.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.449 BOLL WEEVIL ERADICATION LOAN PROGRAM

Boll Weevil Eradication Loan Program

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, Public Law 104-180, 7 U.S.C. 1989.

OBJECTIVES:

To assist producers and State governmental agencies to eradicate boll weevils from the United States.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Funds may be used to purchase or lease supplies and equipment, to pay entity operating expenses and to pay salaries and benefits. Loan funds may not be used to pay for lobbying, public relations, or related activities.

Applicant Eligibility:

Applicants may be determined eligible if the organization: (a) Meets the Animal and Plant Health Inspection Service (APHIS) cost-sharing requirements; (b) possesses a legal nonprofit corporate authority; (c) possesses the legal authority to enter into a contract; (d) operates in an area approved by a majority of cotton producers via referendum; (e) is unable to obtain funds elsewhere; and (f) may pledge producer assets as loan collateral.

Beneficiary Eligibility:

The beneficiaries of this program include the local boll weevil organization and agricultural community as well as local, State, and national governments.

Credentials/Documentation:

All applicants must be nonprofit entities, authorized to operate under the appropriate State law and for the specific purpose of eradicating boll weevils from the nation's agricultural community. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complete applications will be accepted by the National Office accompanied by documentation showing the entity's authority to borrow and the operation's ability to make the scheduled loan payment(s).

Award Procedure:

Loans will be written within specific credit guidelines as well as within the borrowing entity's documented ability to repay the loan.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Agency credit decisions will generally be issued within 60 days of the receipt of a complete loan package.

Appeals:

Not Applicable.

Renewals:

Boll weevil loans are term loans based upon certain procedural and program criteria. Although loans are not renewed, additional loan requests may be submitted by each entity for consideration.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans can be applied for at anytime during the year. The loan term varies anywhere from 1 to 7 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audited financial statements and profit and loss statements will be annually submitted for review and analysis.

Records:

Detailed records will be maintained by each entity in the respective offices. These same records will be made available to the Agency for review upon demand.

Account Identification:

12-1140-0-1-351.

Obligations:

(Direct Loans) FY 09 \$99,999,999; FY 10 est \$100,000,000; FY 11 est \$60,000,000 - FY 2009 Program Level funding at \$999,999,999. No loans were made in FY 2008 or FY 2009.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The FSA Boll Weevil Eradication program is in its 13th year. Loans have been made in most all cotton producing States. Full implementation has been accomplished and general eradication has been realized. Maintenance programs will be required perpetually. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Trent Rogers, USDA-FSA-DAFLP-LMD, 1280 Maryland Ave, SW, Suite 240, Washington, District of Columbia 20024 Email: trent.rogers@wdc.usda.gov Phone: (202) 720-1657 Fax: (202) 690-1117.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.025 Plant and Animal Disease, Pest Control, and Animal Care

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.451 NONINSURED ASSISTANCE

(NAP)

FEDERAL AGENCY:

Farm Service Agency, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996.

OBJECTIVES:

To provide crop loss assistance comparable to the catastrophic risk protection level of crop insurance to producers of commercial crops or other agricultural commodities for which the catastrophic risk protection level of crop insurance is not available.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that is produced for food or fiber and specifically includes: Floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) Who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose qualifying gross revenue is less than \$2 million for the preceding tax year for which an application for coverage is filed.

Beneficiary Eligibility:

Applicant Eligibility: Eligible crops include each commercial crop or other agricultural commodities (except livestock and their by-products; tobacco; and trees grown for wood, paper, or pulp products) that is produced for food or fiber and specifically includes: floricultural, ornamental nursery, and Christmas tree crops, turfgrass sod, seed crops, aquaculture (including ornamental fish), and industrial crops. An eligible producer is an owner, landlord, tenant, or sharecropper: (1) who shares in the risk of producing the crop; (2) who is entitled to share in the crop available for marketing or would have shared had the crop been produced; and (3) whose qualifying gross revenue is less than \$2 million for the preceding tax year for which an application for coverage is filed.

Credentials/Documentation:

Applicants must provide to the local administrative FSA office, annual certifications of acreage, yield, and production. Randomly selected producers and producers requesting program payments must also provide acceptable records of production. Applicants must provide evidence as necessary for a proper determination of their eligibility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application for coverage in the local administrative FSA office. An applicable service fee must accompany the application. Coverage is effective the later of: (1) 30 days after the application for coverage is filed; (2) date the crop is planted for yield-based crops or the beginning of the crop year for all other eligible crops. Other documentation required to fulfill the application requirements include: (1) A report of acreage, facility, etc.; (2) a notice of loss when the eligible crop is prevented from being planted as a result of eligible natural disaster or the eligible planted crop or commodity suffers loss of yield due to eligible natural disaster; (3) if the crop is harvested, a certification of production, and in the crop year for which an application for

payment is filed, acceptable evidence of production, (4) if the crop will not be harvested, a request to the local county FSA office for appraisal; and (5) a certification of gross revenue.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The county committee will normally make a decision regarding the application for payment within 60 calendar days after all required information and documentation is received.

Appeals:

Applicants may request reconsideration of a decision by the decision authority or appeal such decisions to a higher authority including the county and State FSA committees and the National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is normally provided in a single payment following approval of the application for payment. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Applicants must retain financial books and records that permit verification of all transactions for at least 3 years following the end of the calendar year in which an application for coverage is filed.

Account Identification:

12-4336-0-3-351.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$62,064,000; FY 10 est \$121,638,000; FY 11 est \$124,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Procedural handbooks and published regulations are available in county FSA offices.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the nearest county FSA office. If no listing, contact the appropriate State FSA office.

Headquarters Office:

Candace Thompson, 1400 Independence Avenue, SW, Stop 0517, Washington, District of Columbia 20250-0517 Email: candy.thompson@wdc.usda.gov Phone: (202) 720-7641 Fax: (202) 690-2130.

Website Address:

<http://www.fsa.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.404 Emergency Loans; 10.450 Crop Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.456 PARTNERSHIP AGREEMENTS TO DEVELOP NON-INSURANCE RISK MANAGEMENT TOOLS FOR PRODUCERS (FARMERS)

FEDERAL AGENCY:

Risk Management Agency, Department of Agriculture

AUTHORIZATION:

Section 522(d) of the Agricultural Risk Protection Act of 2000; 7 U.S.C. 1501 section 522(d), Public Law 106-224, 7 U.S.C 36 sec. 1522(d).

OBJECTIVES:

To develop risk management tools (risk management tools do not include insurance products, plans of insurances, policies, modifications thereof or any related material) for the U. S. agriculture producers with an emphasis on specialty crop(s) producers, livestock producers, rangeland producers and producers of underserved commodities currently covered by section 196 of the Agricultural Market Transition Act (7 U.S.C. 733).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The availability of research partnership monies (variable) is announced on a yearly basis. The partnership agreements are for the development of non-insurance risk management tools for use directly by agricultural producers. Each partnership agreement recipient must demonstrate non-financial benefits from the partnership agreement and the partner must agree to substantial involvement of RMA in the project. Indirect costs must be limited to ten percent of the total direct costs.

Applicant Eligibility:

Proposals are invited from all qualified public and private entities. Eligible applicants include all colleges and universities, Federal, State, local government agencies, Native American Tribal Organizations, nonprofit and for-profit private organizations or corporations, and other entities. Individuals are not eligible applicants.

Beneficiary Eligibility:

The research partnerships will result in the development of non-insurance risk management tools to be utilized by agricultural producers to assist them in mitigating the risks inherent in agricultural production.

Credentials/Documentation:

Although an applicant may be eligible to compete for an award based on its status as an eligible entity, other facts may exclude an applicant from receiving Federal assistance under this program (e.g., debarment and suspension; a determination of non-performance on a prior contract, cooperative agreement, grant or partnership; a determination of a violation of applicable ethical standards). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject (as applicable) to the provisions of OMB Circular Nos. A-21, A-87, A-102, A-110, A-122, A-133 and FAR, Part 31. Eligible applicants submit proposals according to published guidelines (generally in the Federal Register). Objectives will vary for the research proposals solicited and funded each year. Application procedures and guidelines may change each year. See the yearly Request for Applications (RFA) for specific guidelines.

Award Procedure:

Project funds for successful applicants are awarded to recipients based upon a merit review (panel) of all applications that meet the objectives outlined in the RFA announcement. The evaluation of each application will be conducted by a

panel of not less than three independent reviewers. The panel will be comprised of representatives from USDA, other federal agencies, and others representing public and private organizations, as needed. The panel will examine and score applications based on the evaluation criteria and weights contained in the RFA. After assigning points based upon the evaluation criteria and weights, recommended applications will be listed in rank order and presented, along with funding level recommendations, to the Manager of FCIC, who will make the final selection and funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 180 days as specified in the RFA.

Appeals:

Other - Not Specified.

Renewals:

Renewal possible depending upon agency review and needs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each approved project will be funded for a period of up to three years for the activities described in the RFA. Projects can also be in two parts with the first part including the research and feasibility studies and the second part including the development, implementation, delivery and maintenance of the non-insurance risk management tool. If the development of the tool is determined not to be feasible, the partnership may be terminated by RMA after completion of the first part, with funding reduced accordingly. See the following for information on how assistance is awarded/released: upon submission of invoices.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports. FS-269. via progress reports, site visits, and regular correspondence such as phone and e-mail.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation, statistical records and all other records pertinent to the partnership agreement must be retained for a period of at least 3 years after closing.

Account Identification:

12-4085-0-3-351.

Obligations:

(Salaries) FY 09 \$1,025,000; FY 10 est \$4,619,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Information concerning the Risk Management Agency and this partnership program can be obtained using the public website at: <http://www.rma.usda.gov>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information concerning the Risk Management Agency and associated publications can be obtained using the public website at: <http://www.rma.usda.gov>.

Regional or Local Office:

See Regional Agency Offices. USDA-Risk Management Agency, Beacon Facility, Mail Stop 0813, PO Box 419205, Telephone: (816) 926-6343. Fax: (816) 926-7343. E-mail: www.RMARED.Applications@rma.usda.gov.

Headquarters Office:

Kristin N. Chow, USDA-Risk Management Agency, Beacon Facility, Mail Stop 0813, PO Box 419205, Kansas City, Missouri 64141-6205 Email: kristin.chow@rma.usda.gov Phone: (816) 926-6399.

Website Address:

<http://www.rma.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.475 COOPERATIVE AGREEMENTS WITH STATES FOR INTRASTATE MEAT AND POULTRY INSPECTION

(Meat and Poultry Inspection State Programs)

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Agriculture Marketing Act of 1946, 7 U.S.C 1621-1627; Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C 601-624; Poultry Products Inspection Act, Public Law 90-492, 21 U.S.C 451-471; Federal-State Cooperative Act (Talmadge-Aiken), Public Law 87-718, 7 U.S.C 450.

OBJECTIVES:

To supply Federal assistance to States desiring to operate a meat and poultry inspection program in order to assure the consumer an adequate supply of safe, wholesome, and properly labeled meat and poultry products.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist States that operate meat and poultry inspection programs which are equal to Federal meat and poultry inspection.

Applicant Eligibility:

An appropriate State or U.S. Territory agency administering State or Territorial meat or poultry inspection programs under laws equal to the Federal Meat and Poultry Products Inspection Acts.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Receipt of State inspection mandatory laws, copies of regulations related to the laws, and State performance plans. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Notify the Director, Federal-State Liaison Staff, Field Operations, Food Safety and Inspection Service, U.S. Department of Agriculture, Congressional Quarterly Building, Room 329, Washington, DC 20250- 3700. Contact: Dr. Muril Prasad. Telephone: (202) 418-8897. The applicant should express their interest

and request copies of the cooperative agreements currently in use by participating States.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded on an annual basis to States. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Applicants will keep a complete accounting of the cost of developing and operating the State inspection program and will submit quarterly statements to the Food Safety and Inspection Service. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. State will arrange for an audit of the records pertaining to these agreements by the State Auditor of Public Accounts, annually or otherwise, as provided by applicable State law or regulations. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Other Non-Profit Organizations," State and local governments, that expend financial assistance of \$500,000 or more in a year in Federal awards, will have a single or a program-specific audit made for that year.

Records:

Complete accounting records shall be available to the Comptroller General of the United States and to the authorized representatives of the Secretary of Agriculture.

Account Identification:

12-3700-0-1-554.

Obligations:

(Salaries) FY 09 \$50,143,055; FY 10 est \$50,332,000; FY 11 est \$50,332,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FSIS Directive 5720.2, Revision 3, State Cooperative Inspection Programs.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Dr. Muril Prasad, Field Operations, Food Safety and Inspection Service, Department of Agriculture, Congressional Quarterly Building, Room 329, Washington, District of Columbia 20250-3700 Phone: (202) 418-8897.

Website Address:

<http://www.fsis.usda.gov>.

RELATED PROGRAMS:

10.477 Meat, Poultry, and Egg Products Inspection

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.477 MEAT, POULTRY, AND EGG PRODUCTS INSPECTION

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

Federal Meat Inspection Act, Public Law 90-201, 21 U.S.C 601-624; Humane Slaughter Act, Public Law 85-765, 7 U.S.C 1901-1906; Agriculture Marketing Act of 1946, 7 U.S.C 1621-1627; Poultry Products Inspection Act, Public Law 90-492, 21 U.S.C 451-471; Egg Products Inspection Act, Public Law 91-597, 21 U.S.C 1031-1056.

OBJECTIVES:

To assure that all meat, poultry and egg products produced in plants and shipped in commerce are federally inspected for safety, wholesomeness, and proper labeling.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Meat, poultry, and egg products plants located within the United States or its Territories engaged in slaughtering or processing meat, poultry, and egg products for shipment in commerce are required to have inspection. All plants located within the U.S. or its territories processing egg products are required to be under continuous USDA inspection. The Department of Agriculture is reimbursed for overtime and holiday inspection services. Inspection not required under law is provided on request for a fee.

Applicant Eligibility:

Any meat or poultry plant planning to engage in slaughtering or processing meat and poultry products, and all egg products processing plants for shipment in commerce. This program is available in the U.S. and its Territories.

Beneficiary Eligibility:

General public (meat, poultry, and egg products.).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A request for inspection should be submitted to the Meat, Poultry and Egg Products Inspection District Office, or to the Administrator.

Award Procedure:

Certification is made that the applicant meets the regulations on sanitation, and operating standards for the inspection of meat, poultry and egg products plant facilities and equipment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: All meat, poultry and egg products produced in plants and shipped in commerce is federally inspected for safety, wholesomeness, and proper labeling.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-3700-0-1-554; 12-8137-0-7-352.

Obligations:

(Salaries) FY 09 \$925,615,010; FY 10 est \$968,309,000; FY 11 est \$986,689,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the program inspected operations at about 6,286 establishments which produced about 112.3 billion pounds of meat, poultry and egg products. Fiscal Year 2010: The scope of program operations in fiscal year 2010 will be similar to fiscal year 2009. Fiscal Year 2011: The scope of program operations in fiscal year 2011 will be similar to fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

MPI-7, Meat and Poultry Inspection Manual; Sanitation Handbook; 9 CFR 301-592, Inspection Regulations.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses of the District Offices.

Headquarters Office:

Kenneth Petersen, Field Operations, Food Safety and Inspection Service, Department of Agriculture, Washington, District of Columbia 20250-3700
Phone: (202) 720-8803.

Website Address:

<http://www.fsis.usda.gov>.

RELATED PROGRAMS:

10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.479 FOOD SAFETY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Food Safety and Inspection Service, Department of Agriculture

AUTHORIZATION:

None.

OBJECTIVES:

To reduce the incidence of foodborne illnesses associated with meat, poultry, and egg products and to protect the food supply. FSIS is authorized to use cooperative agreements to reflect a relationship between FSIS and cooperators to carry out educational programs or special studies to improve the safety of the nation's food supply. Also, FSIS has been directed to further develop the Food Emergency Response Network, a network of Federal, state and local laboratories that provides the nation the analytic capabilities and capacity it needs to cope with agents threatening the food supply.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Available discretionary funds are contingent on annual appropriations. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public.

Applicant Eligibility:

State, local and tribal government agencies; academic institutions and non-profit organizations.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Academic institutions; State, local and tribal government agencies; and non-profit organizations are invited to submit brief proposals (two to four pages) for cooperative agreements in any of the areas described in the request for proposals along with an SF-424. These proposals will be reviewed by FSIS. The criteria used by FSIS to assess proposals are listed for each cooperative agreement program area in the request for proposals. Cooperators whose proposals are selected for further project development will need to discuss and reach agreement with FSIS on project details to permit establishment of a cooperative agreement. Unlike typical Federal grants, cooperative agreements involve a Federal agency's active participation with the cooperator during both project development and project execution. Work products, where appropriate, are to be made available for use by other government agencies and accessible by the general public. All proposals should address the following points: * Project description, including specific goals, timeline, and deliverables; * Description of national public benefit expected, including expected utility of work products, for example, training manuals, CDs, and videos; * Projected costs, including cooperator contributions; * Projected performance measures; * Primary contact, principal investigator, and other likely participants, and; * All work products may be freely reproduced and distributed by FSIS.

Award Procedure:

Cooperative agreement awards are made upon selection through a competitive process directed by the relevant FSIS program area. The program area identifies the awardees to the FSIS Administrative Services Division, which prepares and executes required documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 30 to 90 days from the deadline listed in the request for proposals.

Appeals:

Not Applicable.

Renewals:

Renewals or extensions may be available under extraordinary circumstances if approved prior to the closing date of the initial agreements.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, funding will be available for projects up to one year. Multi-year

projects will be considered, but they are subject to annual renewal and may be affected by changes in FSIS' annual budget. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. The cooperative agreement will specify the submission of performance progress reports, A comprehensive final report is required which documents all activities conducted within the partnership. Expenditure reports are not applicable. The cooperative agreement will specify the frequency of submission of performance progress reports, which will not be more frequently than quarterly or less frequently than annually. A comprehensive final report is required which documents all activities conducted within the partnership.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients are subject to audit by the Office of the Inspector General, USDA, as set forth in OMB Circular No. A-133, or in Federal Acquisition Regulations.

Records:

The recipient will maintain at its place of business all records required by the partnership agreement and the applicable OMB Circulars.

Account Identification:

12-3700-0-1-554.

Obligations:

(Cooperative Agreements) FY 09 \$9,302,882; FY 10 est \$8,879,000; FY 11 est \$8,879,000

Range and Average of Financial Assistance:

FY2009: \$15,000 to \$1,000,000; \$281,906
FY 2010: \$162,617 to \$750,000; 295,967
FY 2011: \$162,617 to \$750,000; 295,967.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

9 CFR 301-592, Inspection Regulations. Guidelines and literature can be found on FSIS website at www.fsis.usda.gov.

Regional or Local Office:

None.

Headquarters Office:

Steven Benson, Strategic Initiatives, Partnerships and Outreach Staff, Food Safety and Inspection Service, (Mail drop 405 Aerospace): Department of Agriculture, 1400 Independence Avenue, SW., Washington, District of Columbia 20250-3700 Phone: (202) 690-6520.

Website Address:

www.fsis.usda.gov/About_FSYS/Cooperative_Agreements/.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Example of funded projects are listed on FSIS web site @ www.fsis.usda.gov/About_FSYS/Cooperative_Agreements/. Fiscal Year 2010: Example of funded projects are listed on FSIS web site @ www.fsis.usda.gov/About_FSYS/Cooperative_Agreements/. Fiscal Year 2011: Example of funded projects are listed on FSIS web site @ www.fsis.usda.gov/About_FSYS/Cooperative_Agreements/.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used by the application review panel for recommending awards are listed in the request for proposals.

10.500 COOPERATIVE EXTENSION SERVICE

Cooperative Extension Service (CES)

FEDERAL AGENCY:

National Institute of Food and Agriculture, Department of Agriculture

AUTHORIZATION:

SPECIAL NOTES:

(1) Numerous Programs are funded under CFDA 10.500.
(2) Listed below are several programs, which are indicative of the types of projects currently funded under CFDA 10.500. However, the listing is not exhaustive.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects) Section 7129 of the Food, Conservation, and Energy Act of 2008 (FCEA), re-authorizes Section 3(b) & (c) of the Smith-Lever Act, allowing the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and man-made disasters.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Federal funds are available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30, 1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding, paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1949, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection.

Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications,

and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act Of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University. Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish various goals as indicated in Part I.A. of the Formula Grant Opportunity (FGO).

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life Represents a new program; pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (FORMULA)

The Renewable Resources Extension Act of 1978 (P.L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.) provides for an expanded and comprehensive extension program for forest and rangeland renewable resources. The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety

The authority for this program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662). The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I. The Rural Health and Safety Education Competitive Program addresses the Individual and Family Health Education component of the authorization.

(c) Extension Services at the 1994 Institutions

This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 201 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended. Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 institutions) for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities.

(f) Federal Administration

DIRECT APPROPRIATION

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447) (FORMULA)

Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) [7 U.S.C. 3222b], and reauthorized by Section 7123 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246) grants will be awarded under the Facilities Grants Program to the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. The Omnibus Appropriations Act, 2009 appropriates funds under Division A, Title I, 7 U.S.C 341-349.

OBJECTIVES:

GENERAL: [As reflected in Part I.B. of the Request for Applications (RFA) or Formula Grant Opportunity (FGO)]

1. 3b & c Formula Funds

a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

These funds are used by institutions eligible to receive funds under the Act of Congress approved July second, eighteen hundred and sixty-two, entitled An Act donating public lands to the several States and Territories which may provide colleges for the benefit of agriculture and the mechanic arts (Twelfth Statutes at Large, page five hundred and three) (1862 Land-grant Institutions), for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

The purpose of this funding is to conduct agricultural extension work.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

The purpose of this funding is to increase the level of agricultural extension work. These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects) Funds support innovative extension education approaches to addressing risks, hazards and disasters. The Cooperative Extension Service (CES) has an important role in reducing the impact of disasters through extension education. The Extension Special Needs program sponsors targeted projects that enable CES to assist in preparing for, providing an educational response to, and recovering from disasters. Education programming funded by this program will help communities, families and individuals become more self-sustaining by strengthening and increasing their resiliency to disaster. Some types of hazards and disaster related events funded in previous years include uncertainties caused by losses of economic infrastructure, severe weather or other natural disasters, security breaches, human disease, or high consequence animal diseases and plant pests.

There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events. The National Academy of Sciences Committee on Environment and Natural Resources and the Subcommittee on Disaster Reduction of the National Science and Technology Council have acknowledged the many effective roles that the Cooperative Extension System (CES) has played in disaster preparedness, response and remediation.

Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents. Once a disaster has occurred, the local extension outreach includes: 1) Communicating practical science-based risk information, 2) Developing relevant educational experiences and programs, 3) Working with individuals and communities to open new communication channels, and 4) Mitigating losses and facilitating recovery. Never was this more evident than after hurricanes Katrina, Rita and Wilma in 2005 and during the 2008 Midwestern Summer flooding. During and after these incidents, local Extension agents served as a critical communication node throughout affected urban and rural areas, particularly when traditional communication systems were temporarily overwhelmed or destroyed.

The Extension Special Needs RFA encourages proposals that specifically address one or more of the following six key target areas:

1. Education and Technical assistance through inter-disciplinary and multi-state disaster training programs and demonstration projects for problem solving,

especially those which build upon already existing strengths, contribute to or expand the EDEN and/or eXtension educational materials related to disaster preparation, mitigation, response and recovery;

2. Collaboration with Federal, state and local agencies and other disaster relief organizations to support education and service activities that enhance recovery of impacted rural communities, schools, businesses and agricultural-based activities;

3. Long range family, community and regional planning projects that will enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted States and across impacted regions;

4. Communication delivery of key information that meets end-users needs in a timely fashion with consideration of potentially limited communication channels due to disaster situations;

5. Dissemination of credible, science-based information that is reliable and easily accessible even if electronic access is compromised; and

6. Integrated Research and Extension Planning Projects (up to \$15,000) are intended to provide assistance to applicants in bringing together teams for the development of highly competitive grant proposals where extension personnel would apply for large grants (in excess of \$250,000) from other grant programs (e.g., the Agriculture and Food Research Initiative and etc) and lead nationally important disaster prevention, protection and mitigation projects.

Fundable projects should support education and extension activities which:

1. Reduce risk through planning, disaster preparedness and emergency response by improving communication between the public, community leaders, state and Federal agencies;

2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation;

3. Develop community networks that provide real-time disaster education information; and/or

4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eXtension Community of Practice working with disaster planning.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Formula grants authorized under the CSRS Retirement Contributions Program. These grants are used to defray the CSRS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

These grants are used to defray the FERS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)
The purpose of this funding is to support agricultural and forestry extension

activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

These grants are used to assist all States in carrying out a program of extension activities designed to employ and train professional and paraprofessional aides to engage in direct nutrition education of low-income families and in other appropriate nutrition education programs.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

The purpose of the grant program is to provide funds for projects that:

- (1) Have national or regional relevancy;
- (2) Develop new and innovative projects that can be replicated at other institutions; or

- (3) Develop a strategic framework for the nationwide forest and rangeland resources extension program.

NFF priorities are those that have been identified in the FY 2005-2009 RREA Strategic Plan:

http://www.nifa.usda.gov/nea/nre/in_focus/forests_if_rrea.html.

(b) Rural Health and Safety

As specified in 7 U.S.C. 2662, grants will be made to establish the Rural Health and Safety Education Competitive Programs carried out by the eligible organizations in rural areas. The assistance provided by these programs, to the extent practicable, shall be coordinated with and delivered in cooperation with similar services or assistance by other Federal Agencies or programs for rural residents.

(c) Extension Services at the 1994 Institutions

This program provides funding to (1) increase Extension program capacity at 1994 institutions; and (2) address special needs, take advantage of important opportunities, and/or demonstrate long-term sustained benefits of Extension projects at 1994 institutions. In FY 2009, funded projects will support one or more of the six NIFA Strategic Goals (see Part VIII, E., Definitions) outlined in the NIFA Strategic Plan for FY 2007-2012:

- 1) Enhance international competitiveness of American agriculture;

- 2) Enhance the competitiveness and sustainability of rural and farm economies;
- 3) Support increased economic opportunities and improved quality of life in rural America;
- 4) Enhance protection and safety of the Nation's agriculture and food supply;
- 5) Improve the Nation's nutrition and health; and
- 6) Protect and enhance the Nation's natural resource base and environment.

(d) Grants to Youth Serving Institutions

As specified in 7 U.S.C. 7630, grants will be made to establish pilot projects to expand the youth development programs carried out by the eligible organizations in rural areas or small towns.

Broad Purposes:

Support and enhance the goals, objectives, and priorities of the eligible youth organizations;

Support programs which address issues and needs of rural youth;

Involve youth in design and implementation of their educational activities;

Increase knowledge, skills, attitudes and behaviors necessary for rural youth to live productive, contributing, and fulfilling lives; and

Increase economic opportunities and sustainability and improve quality of life in rural communities through enhanced human, social, civic, natural, financial, cultural, and built capital.

(e) Food Animal Residue Avoidance Database Program (FARAD)

The purpose of the FARAD is to provide livestock producers, extension specialists, scientists, and veterinarians with up to date information to prevent drug, pesticide and environmental contaminant residues in food animal products.

(f) Federal Administration

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

The 1890 Facilities Grants Program provides funds for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, Tuskegee University and West Virginia State University, may participate fully in the production of human capital in the food and agricultural sciences.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section :070 Uses ONLY:

(See RFA or FGO Executive Summary and Part I.A. Background)

Other:

Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Tuition remission is not allowable.

Indirect costs are not allowable.

Other Detailed Info USES ONLY

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

These funds are used by institutions eligible to receive funds under the Act of Congress approved July second, eighteen hundred and sixty-two, entitled An Act donating public lands to the several States and Territories which may provide colleges for the benefit of agriculture and the mechanic arts (Twelfth Statutes at Large, page five hundred and three) (1862 Land-grant

Institutions), for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending or resident in 1862 Land-grant Institutions in the several communities, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

These funds are used to support extension activities identified in the eligible institutions approved 5-Year Plan of Work. Special Needs funds are allocated to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program. Funds are allocated under this program to a State Cooperative Extension Service to fulfill a purpose or overcome a condition peculiar to the State, as compared to the country as a whole, or for a purpose not normally a part of the continuing extension program.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

There has been an increase in public awareness regarding the number and severity of disaster type critical incidents involving earthquakes, tsunamis, tornadoes, wildfire, drought, contagious disease, and terrorist events. The National Academy of Sciences Committee on Environment and Natural Resources and the Subcommittee on Disaster Reduction of the National Science and Technology Council have acknowledged the many effective roles that the Cooperative Extension System (CES) has played in disaster preparedness, response and remediation.

Within the states and territories, the CES has repeatedly served as the trusted community organization that has helped to enable families, communities, and businesses to successfully prepare for, respond to and cope with disaster losses and critical incidents. Once a disaster has occurred, the local extension outreach includes: 1) Communicating practical science-based risk information, 2) Developing relevant educational experiences and programs, 3) Working with individuals and communities to open new communication channels, and 4) Mitigating losses and facilitating recovery. Never was this more evident than after hurricanes Katrina, Rita and Wilma in 2005 and during the 2008 Midwestern Summer flooding. During and after these incidents, local Extension agents served as a critical communication node throughout affected urban and rural areas, particularly when traditional communication systems were temporarily overwhelmed or destroyed.

The Extension Special Needs RFA encourages proposals that specifically address one or more of the following six key target areas:

1. Education and Technical assistance through inter-disciplinary and multi-state disaster training programs and demonstration projects for problem solving, especially those which build upon already existing strengths, contribute to or expand the EDEN and/or eXtension educational materials related to disaster preparation, mitigation, response and recovery;
2. Collaboration with Federal, state and local agencies and other disaster relief organizations to support education and service activities that enhance recovery of impacted rural communities, schools, businesses and agricultural-based activities;
3. Long range family, community and regional planning projects that will enhance implementation of programs that serve public needs in preparation for, during and after emergency situations within impacted States and across impacted regions;
4. Communication delivery of key information that meets end-users needs in a timely fashion with consideration of potentially limited communication channels due to disaster situations;
5. Dissemination of credible, science-based information that is reliable and easily accessible even if electronic access is compromised; and

6. Integrated Research and Extension Planning Projects (up to \$15,000) are intended to provide assistance to applicants in bringing together teams for the development of highly competitive grant proposals where extension personnel would apply for large grants (in excess of \$250,000) from other grant programs (e.g., the Agriculture and Food Research Initiative and etc) and lead nationally important disaster prevention, protection and mitigation projects.

Fundable projects should support education and extension activities which:

1. Reduce risk through planning, disaster preparedness and emergency response by improving communication between the public, community leaders, state and Federal agencies;
2. Develop strategies and educational materials and/or programming in the area of emergency planning, infrastructure design and disaster recovery operation;
3. Develop community networks that provide real-time disaster education information; and/or
4. Develop or expand educational materials regarding disaster issues suitable for use and distribution by the Extension Disaster Education Network (EDEN) or the eXtension Community of Practice working with disaster planning.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

These grants are used to defray the CSRS Retirement costs to the 1862 land-grant institutions for the former Schedule A Appointments who conduct agricultural extension work.

Funds are provided for the retirement costs of the former Schedule A Appointments at the 1862 land-grant institutions. These funds are allocated based on each institutions relative share of the total payments to OPM during the previous year.

The separate allocation of funds for this purpose is being phased out over a 10-year period, beginning in FY 2007. The amount available for this program is reduced each fiscal year by \$1,473,658 and the same amount is added to the funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

The Schedule A 213.3113 (a) (1) was issued by the U.S. Office of Personnel Management (OPM) for the United States Department of Agriculture (USDA) to employ individuals in the Cooperative Extension Service (CES) as a joint appointment between USDA and the land grant institution. The official title of Schedule A appointees includes the title Agent (e.g., Agent/Cooperative Extension Director; Agent/Cooperative Extension Educator) as by law only agents with a Schedule A appointment were eligible to participate in the Federal benefit plan(s).

Employment is dependent upon an individuals appointment in the CES at the university. The appointment must be a regular position with at least 50 percent of the staffs time dedicated to extension projects, and the appointee must work and be paid over a 12-month period.

Agents in the Cooperative Extension program are not classified under the General Schedule (GS) classification system or any other Federal pay system. The positions are classified by the employing land grant institution, and the salary is established according to policies and procedures at the institution. The OPM has the authority and responsibility for issuing rules and regulations covering Schedule A appointments and resulting benefits. OPM has delegated to the USDA the responsibility for overseeing and managing Cooperative Extension Schedule A appointments and the accompanying Federal benefits, (e.g., retirement and insurance plans). The Human Resources Division (HRD)/Cooperative Extension Service (CES) Team within Administrative and Financial Management (AFM) of the Agricultural Research Service (ARS) carries out this function for USDA.

Effective January 31, 2003, the authority to grant Schedule A Excepted Appointments to CES employees was terminated. Public Law 107-171, Farm Security and Rural Investment Act of 2002, dated May 13, 2002, Section 7220, terminated the Schedule A appointing authority used by USDA to grant a companion Federal appointment and Federal benefits to state/university employees of the Cooperative Extension Service. The law allowed the CES Federal appointees that were employed on the day before the date of the enactment of the Act, (i.e., May 12, 2002) to: a) continue to accrue Federal

creditable service for retirement; and b) participate in the following benefits as long as the employees continued their employment with the Cooperative Extension Service:

- 1) the Federal Employees Health Benefits Program (FEHB);
- 2) the Federal Employees' Group Life Insurance Program (FEGLI);
- 3) the Civil Service Retirement System (CSRS);
- 4) the Federal Employee Retirement System (CSRS);
- 5) the Thrift Savings Plan (TSP); and
- 6) the Federal Long Term Care Insurance Program (FLTCIP).

The Federal Office of Workers Compensation Program (OWCP) is the only Federal program that will not continue as it is not a program that the dual appointee was vested in. Claims already on file with the Department of Labor (DOL) Office of Workers Compensation Office will continue to be processed by the DOL. Using a formula based in the prior years injury or illness claims, NIFA will pay these claims on behalf of each institution and charge that institution by reducing its annual retirement allocation by the amount remitted. If the amount of claims paid exceeds the institutions annual retirement allocation, NIFA will bill the institution for any OWCP costs in excess of the annual retirement allocation.

Changes and new features for the current Federal Benefits programs such as FEHB, FELGI, TSP and FLTCIP will be offered to the CES organizations and employees that are eligible to participate in the affected program(s). However, former Federal appointees cannot participate in new Federal benefit programs that were not in effect as of May 12, 2002.

Effective January 31, 2003, CES can no longer grant Schedule A Federal appointments to new employees because they no longer have a Federal appointment authority available to make new Federal appointments. Former CES Schedule A appointees may be reappointed to another CES organization and continue their benefits as long as they do not have a break in service.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Federal funds are provided for the retirement costs of the former Schedule A Appointments at the 1862 land-grant institutions. These funds are allocated to the 1862 land-grant institutions with former Schedule A Appointments based on each institutions relative share of the total payments to OPM during the previous year.

The separate allocation of funds for this purpose is being phased out over a 10-year period, beginning in FY 2008. The amount available for this program is reduced each year by \$376,826 and this same amount is added to the funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

The Schedule A 213.3113 (a) (1) was issued by the U.S. Office of Personnel Management (OPM) for the United States Department of Agriculture (USDA) to employ individuals in the Cooperative Extension Service (CES) as a joint appointment between USDA and the land-grant institution. The official title of Schedule A appointees includes the title Agent (e.g., Agent/Cooperative Extension Director; Agent/Cooperative Extension Educator) as by law only agents with a Schedule A appointment were eligible to participate in the Federal benefit plan(s).

Employment is dependent upon an individuals appointment in the CES at the university. The appointment must be a regular position with at least 50 percent of the staffs time dedicated to extension projects, and the appointee must work and be paid over a 12 month period.

Agents in the Cooperative Extension program are not classified under the General Schedule (GS) classification system or any other Federal pay system. The positions are classified by the employing land-grant institution, and the salary is established according to policies and procedures at the institution. The OPM has the authority and responsibility for issuing rules and regulations covering Schedule A appointments and resulting benefits. OPM has delegated to the USDA the responsibility for overseeing and managing Cooperative Extension Schedule A appointments and the accompanying Federal benefits, (e.g., retirement and insurance plans). The Human Resources Division (HRD)/Cooperative Extension Service (CES) Team with Administrative and Financial Management (AFM) of the Agricultural Research Service (ARS) carries out this function for USDA.

Effective January 31, 2003, the authority to grant Schedule A Excepted Appointments to CES employees was terminated. Public Law 107-171, Farm Security and Rural Investment Act of 2002, dated May 13, 2002, Section 7220,

terminated the Schedule A appointing authority used by USDA to grant a companion Federal appointment and Federal benefits to state/university employees of the Cooperative Extension Service. The law allowed the CES Federal appointees that were employed on the day before the date of the enactment of the Act, (i.e., May 12, 2002), to: a) continue to accrue Federal creditable service for retirement; and b) participate in the following benefits as long as the employees continued their employment with the Cooperative Extension Service:

- 1) The Federal Employees Health Benefits Program (FEHB);
- 2) The Federal Employees' Group Life Insurance Program (FEGLI);
- 3) The Civil Service Retirement System (CSRS);
- 4) The Federal Employee Retirement System (FERS);
- 5) The Thrift Savings Plan (TSP); and
- 6) The Federal Long Term Care Insurance Program (FLTCIP).

The Federal Office of Workers Compensation Program (OWCP) is the only Federal program that will not continue as it is not a program that the dual appointee was vested in. Claims already on file with the Department of Labor (DOL) Office of Workers Compensation Office will continue to be processed by the DOL. Using a formula based in the prior years injury or illness claims, NIFA will pay these claims on behalf of each institution and charge that institution by reducing its annual retirement allocation by the amount remitted. If the amount of claims paid exceeds the institutions annual retirement allocation, NIFA will bill the institution for any OWCP costs in excess of the annual retirement allocation.

Changes and new features for the current Federal Benefits programs such as FEHB, FELGI, TSP and FLTCIP will be offered to the CES organizations and employees that are eligible to participate in the affected program(s). However, former Federal appointees cannot participate in new Federal benefit programs that were not in effect as of May 12, 2002.

Effective January 31, 2003, CES can no longer grant Schedule A Federal appointments to new employees because they no longer have a Federal appointment authority available to make new Federal appointments. Former CES Schedule A appointees may be reappointed to another CES organization and continue their benefits as long as they do not have a break in service.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

These funds are used by the University of the District of Columbia for the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30, 1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding, paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1949, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated

under this subsection.

Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA) Funds appropriated under this section shall be used for expenses of conducting extension programs and activities, and for contributing to the retirement of employees subject to the provisions of the Act of March 4, 1940 (54 Stat. 3040, as amended; 7 U.S.C. 331). No portion of the funds allocated under this grant will be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college course teaching, lectures in college, or any other purpose not specified in NARETPA section 1444.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension)

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Renewable Resources Extension Act-National Focus Fund Projects provide for expanded and comprehensive extension programs for forest and rangeland renewable resources programs at a national, regional, or multi-institutional level.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program is designed to meet national goals for addressing the health and access needs of rural Americans. Rural Health and Safety Education Programs will focus on issues related to aging in one or more of three areas: 1) population aging in rural areas; 2) eldercare or caregiving and its impact on rural and farm families; and/or 3) related issues of rural health care to provide older individuals and families with:

Information as to the value of good health in an era of population aging;

Information to increase the older adult individual or family's motivation to take more responsibility for their own health;

Access by older adults to health promotion and educational activities;

Trained health and allied health professionals or paraprofessionals committed to rural health and care of aging population; and

Training for volunteers and health services providers concerning health promotion and

health care services for rural older adult populations, in cooperation with the Department of

Health and Human Services.

(c) Extension Services at the 1994 Institutions

The Tribal Colleges Extension Program (TCEP) provides funding for the 1994 Land-Grant Institutions to conduct non-formal education and outreach activities to help meet the needs of the Native American people. Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions

The Rural Youth Development Grants (RYD) Program supports the expansion of effective, high quality youth development programs for youth in rural areas and small towns. The overarching theme of this grant program is Youth Build Strong Rural Communities. The sub-theme is Rural youth learn and apply leadership, citizenship, and life skills to improve their own lives and the communities in which they live. This philosophy undergirds the program design and methodologies of this grant program.

(e) Food Animal Residue Avoidance Database Program (FARAD)

No specific information provided

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Facilities awards will be made for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University and West Virginia State University may participate fully in the production of human capital in the food and agricultural sciences. Priorities for the eligible institutions are set in the facilities plan that is submitted to NIFA for review and

approval. Section :070 RESTRICTIONS (ONLY):
See RFA or FGO: Part IV.E. Funding Restrictions and Part III.B.
Cost-Sharing and Matching.)

Other

Grant funds must be used for allowable costs necessary to conduct approved integrated research, extension and education objectives to address food and agricultural sciences, in the broadest sense.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Funds may not be used for any purposes other than those approved in the grant award documents.

Tuition remission is not allowable.

Indirect costs are not allowable.

Other Detailed Info RESTRICTIONS ONLY

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)
Funding Restrictions

(1) Program and Extension Activities

Smith-Lever Act sections 3(b) and 3(c) Federal funding must be used for extension programs and activities identified in the institutions approved 5-Year Plan of Work.

(2) Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1))states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (& U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year

2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Mariana Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

NIFA may consider and approve matching waivers submitted by Insular 1862 Land-grant Institutions (American Samoa, Guam, Micronesia, Northern Marianas Islands, Puerto Rico, and the Virgin Islands).

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Sections 3(b) and 3(c) of the Smith-Lever Act formula grant expenditures.

(4) Other Restrictions

No portion of these funds may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in this Act.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)
Funding Restrictions

(1) Approved NIFA Special Needs Projects

Special Needs Federal funding must be used on extension activities identified in the institutions approved 5-Year Plan of Work.

(2) Matching

The Special Needs program requires that Federal funds be fully matched (i.e., 100 percent) by 1862 Land-grant Institutions.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as Special Needs formula grant expenditures.

(4) Other Restrictions

No portion of Federal funds allotted under Special Needs grant may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in the Smith-Lever Act.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)
Funding Restrictions

Indirect costs and tuition remission are not allowable and no funds will be approved for these purposes. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement)
(FORMULA)

Funding Restrictions

(1) Approved NIFA CSRS Retirement Contributions Program Costs
NIFA CSRS retirement allocations must be used to support CSRS retirement costs for former Schedule A Appointments.

(2) Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. NIFA may consider and approve matching waivers submitted by 1862 land-grant Institutions in insular areas.

Please note that these matching funds may be used for any extension activity identified in the state plan of work.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as CSRS retirement contribution formula grant expenditures.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement)
(FORMULA)

Funding Restrictions

(1) Approved NIFA FERS Retirement Contributions Programs
NIFA FERS retirement allocations must be used to support FERS retirement costs for former Schedule A Appointments.

(2) Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the

Smith-Lever Act (U.S.C. 343(e)(4) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

NIFA may consider and approve matching waivers submitted by 1862 land-grant Institutions in insular areas.

Please note that these matching funds may be used for any extension activity identified in the state plan of work.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as FERS retirement contribution formula grant expenditures.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Funding Restrictions

(1) Approved NIFA DCPPERA Projects

DCPPERA Federal funding must be used to support extension activities identified in the approved 5-Year Plan of Work.

(2) Matching

There is no matching requirement for these funds.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as DCPPERA formula grant expenditures.

(4) Other Restrictions

No portion of these funds may be applied, directly or indirectly, to the purchase, erection, preservation, or repair of any building or buildings, or the purchase or rental of land, or in college-course teaching, lectures in college, or any other purpose not specified in this Act.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

Funding Restrictions

(1) Approved NIFA Section 1444 Projects

Section 1444 Program funding must be used to support agricultural extension activities identified in the approved 5-Year Plan of Work.

(2) Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ... or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise

revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities. Please note that while Federal funds must be expended on agricultural extension, the matching funds may be used for agricultural research, agricultural extension, or qualifying educational activities. NIFA may consider and approve matching waivers above the 50 percent level. See Part IV.B.6. for additional information.

(3) Indirect Costs and Tuition Remission

In accordance with NARETPA section 1473, indirect costs and tuition remission are unallowable as Section 1444 Program formula grant expenditures.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Funding Restrictions

(1) Approved NIFA EFNEP Projects

EFNEP Federal funding must be used on NIFA approved EFNEP projects.

(2) Matching

There is no cost-sharing or matching requirement for this program.

(3) Indirect Costs and Tuition Remission

In accordance with section 1473 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3319), indirect costs and tuition remission are unallowable as EFNEP formula grant expenditures.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state. Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 20 percent to 22 percent of total Federal funds provided under the award. Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program provides funds to meet national goals for addressing the health and access needs of rural Americans.

NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 20 percent to 22 percent of total Federal funds provided under the award.

Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

(c) Extension Services at the 1994 Institutions

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

NIFA does not require matching support for this program, and matching resources will not be factored into the review process as evaluation criteria.

Under the TCEP, the use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, grant funds may be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.

Indirect costs are unallowable costs, and no funds will be approved for this purpose. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) Grants to Youth Serving Institutions

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act

(FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

There are no matching requirements associated with the Rural Youth Development program, and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of facility space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded. If no rate has been established the applicant may indicate "Nonewill negotiate" and a reasonable dollar amount for indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if a proposal is recommended for funding, an indirect cost rate proposal must be submitted prior to award to support the amount of indirect costs requested. NIFA will request an indirect cost rate proposal and provide instructions, as necessary. An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs. If indirect costs are not charged, the phrase "None requested" should be written in this space.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Pursuant to Sec. 604 of the Agricultural research extension and Education Reform Act of 1998 [7 USC 7642(d)], applicants requesting recovery of indirect costs should limit their requests to the lesser of their institutions official negotiated indirect costs rate or the equivalent of 19 percent of total Federal funds awarded.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

In Fiscal Year (FY) 09 NIFA assessed four percent (4%) of the appropriated amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113).

Within each institution, the research, teaching and extension programs must each receive at least 20 percent of the annual allocation. The remaining 40 percent may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent of the total allocation should be directed to research, teaching, or extension. However, if there are unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution and how previously available Federal funds were utilized.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities. In accordance with NAREPTA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions. Some funds are Fully Discretionary. Some funds are

Mandatory.

Applicant Eligibility:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Applications may be submitted by the following 1862 Land-grant Institutions: Auburn University, University of Alaska-Fairbanks, American Samoa Community College, University of Arizona, University of Arkansas, University of California, Colorado State University, University of Connecticut, University of Delaware, University of Florida, University of Georgia, University of Guam, University of Hawaii, University of Idaho, University of Illinois, Purdue University, Iowa State University, Kansas State University, University of Kentucky, Louisiana State University, University of Maine, University of Maryland-College Park, University of Massachusetts, Michigan State University, College of Micronesia, University of Minnesota, Mississippi State University, University of Missouri, Montana State University, University of Nebraska, University of Nevada-Reno, University of New Hampshire, Rutgers University, New Mexico State University, Cornell University, North Carolina State University, North Dakota State University, Northern Marianas College, Ohio State University, Oklahoma State University, Oregon State University, Pennsylvania State University, University of Puerto Rico, University of Rhode Island, Clemson University, South Dakota State University, University of Tennessee, Texas A & M University, Utah State University, University of Vermont, Virginia Polytechnic Institute & State University, University of the Virgin Islands, Washington State University, West Virginia University, University of Wisconsin, and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Applications may be submitted by the following 1862 Land-grant Institutions: University of Alaska-Fairbanks, University of Arizona, Colorado State University, University of Idaho, Kansas State University, Montana State University, University of Nebraska, University of Nevada-Reno, New Mexico State University, North Dakota State University, Oregon State University, South Dakota State University, Texas A & M University, Utah State University, University of Vermont, and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Applications may be submitted with the approval of Extension Directors of 1862 Land-grant Institutions in the 50 states, American Samoa, Guam, Micronesia, Northern Marianas, Puerto Rico, and the U.S. Virgin Islands.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicants failure to meet an eligibility criterion by the time of an application deadline will result in NIFA not accepting the application or, even though an application may be reviewed, will preclude NIFA from making an award.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Applications may be submitted by 1862 land-grant institutions that are making payments to the U.S. Office of Personnel Management for CSRS retirement costs for the former Schedule A Appointments. See Appendix A for the list of eligible institutions.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Applications may be submitted by 1862 land-grant institutions that are making payments to the U.S. Office of Personnel Management for FERS retirement costs for the former Schedule A Appointments. See Appendix A of the Formula Grant Opportunity (FGO) for the list of eligible institutions.

(f) District of Columbia Public Postsecondary Education Reorganization Act

Program (Cooperative Extension Programs) aka EUDC

The University of the District of Columbia, as the 1862 Land-Grant Institution, is the only applicant eligible for funding under the DCPPERA.

The award recipient may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

A. Eligible Applicants

Applications may be submitted by 1890 Land-Grant Universities, including Tuskegee University and West Virginia State University, that conduct agricultural extension activities in accordance with NARETPA section 1444(a)(1): Alabama A&M University; Tuskegee University; University of Arkansas - Pine Bluff; Delaware State University; Florida A&M University; Fort Valley State University; Kentucky State University; Southern University; University of Maryland Eastern Shore; Alcorn State University; Lincoln University; North Carolina A & T State University; Langston University; South Carolina State University; Tennessee State University; Prairie View A&M University; Virginia State University; and West Virginia State University. Institutions may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project or activity.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Applications may be submitted by State colleges and universities in accordance with Section 3(d) of the Smith-Lever Act: Auburn University; Alabama A & M University; Tuskegee University; University of Alaska; American Samoa Community College; University of Arizona; University of Arkansas; University of Arkansas Pine Bluff; University of California; Colorado State University; University of Connecticut; University of Delaware; Delaware State University; University of the District of Columbia; University of Florida; Florida A & M University; University of Georgia; Fort Valley State University; University of Guam; University of Hawaii; University of Idaho; University of Illinois; Purdue University; Iowa State University; Kansas State University; University of Kentucky; Kentucky State University; Louisiana State University; Southern University; University of Maine; University of Maryland (College Park); University of Maryland (Eastern Shore); University of Massachusetts; Michigan State University; College of Micronesia; University of Minnesota; Mississippi State University; Alcorn State University; University of Missouri; Lincoln University; Montana State University; University of Nebraska; University of Nevada; University of New Hampshire; Rutgers University; New Mexico State University; Cornell University; North Carolina State University; North Carolina A & T University; North Dakota State University; Northern Marianas College; Ohio State University; Oklahoma State University; Langston University; Oregon State University; Pennsylvania State University; University of Puerto Rico; University of Rhode Island; Clemson University; South Carolina State University; South Dakota State University; University of Tennessee; Tennessee State University; Texas A&M University; Prairie View A & M University; Utah State University; University of Vermont; University of the Virgin Islands; Virginia Polytechnic Institute and State University; Virginia State University; Washington State University; West Virginia University; West Virginia State University; University of Wisconsin; and University of Wyoming.

Award recipients may subcontract to organizations not eligible to apply for funding provided that such arrangements are necessary to complete the project.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension
Pertinent details will be provided at a future date.

(f) Pest Management
Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)
Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes
Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification
Pertinent details will be provided at a future date.

(j) EIPM Support
Pertinent details will be provided at a future date.

(k) EIPM Coordination
Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act
Applications may be submitted by 1862 and 1890 land-grant institutions. Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. An applicants failure to meet an eligibility criterion by the time of an application deadline will preclude NIFA from making an award.

(b) Rural Health and Safety
Land-grant colleges and universities that are eligible to receive funds under the Act of July 2, 1862 (7 U.S.C. 301 et seq.), and the Act of August 30, 1890 (7 U.S.C. 321 et seq.), including Tuskegee University, West Virginia State University and the University of the District of Columbia.

Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.

Award recipients may subward to other organizations provided such organizations are necessary for the conduct of the project. Failure to meet an eligibility criterion by the application deadline will disqualify an applicant from consideration and will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from reviewing the application and making an award.

(c) Extension Services at the 1994 Institutions
Applications may be submitted by any of the Tribal colleges and universities designated as 1994 Land-Grant Institutions under the Educational Land-Grant Status Act of 1994, as amended.
Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of project goals and objectives. An applicants failure to meet an eligibility criterion by the time of an application deadline will result in NIFA returning the application without review or, even though an application may be reviewed, will preclude NIFA from making an award.

(d) Grants to Youth Serving Institutions
Pursuant to 7 U.S.C. Section 7630, only the Girl Scouts of the United States of America, the National 4-H Council, the Boy Scouts of America, and the National FFA Organization are eligible to apply. NIFA will accept only one application from each organization. The application must be developed and submitted by the national office of each respective organization. Rural Youth Development awards will be distributed to each of the four (4) eligible organizations that submits an application in accordance with RFA requirements, if the application is found to be worthy of support through the peer review process. The amount awarded to each organization will be determined based on review and recommendations of a peer review panel.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. If an applicant fails to meet an eligibility criterion by the time of the application deadline, the application will be at risk of being excluded from NIFA review and will preclude NIFA from making an award.

(e) Food Animal Residue Avoidance Database Program (FARAD)
The Secretary shall offer to enter into a contract, grant, or cooperative agreement with 1 or more appropriate colleges and universities to operate the FARAD program.

(f) Federal Administration (DIRECT APPROPRIATION)
Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)
Eligible applicants under this RFA are the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. They are: Alabama A&M University, Tuskegee University, University of Arkansas-Pine Bluff, Delaware State University, Florida A&M University, Fort Valley State University, Kentucky State University, Southern University, University of Maryland-Eastern Shore, Lincoln University, Alcorn State University, North Carolina A&T State University, Langston University, South Carolina State University, Tennessee State University, Prairie View A&M University, Virginia State University, and West Virginia State University.

Beneficiary Eligibility:

Extension Programs at the State and county level are available to the general public.

Credentials/Documentation:

A Data Universal Number System (DUNS) number must be obtained - A DUNS number is a unique number that identifies an organization. It has been adopted by the Federal government to help track how Federal grant money is distributed. If your organization does not have a DUNS number, call the special Dun & Bradstreet hotline at 1-866-705-5711 to receive one free of charge. You will receive a DUNS number within several days of your request. Please note, individual proprietorships (i.e. farmers, ranchers) can request and receive a DUNS number, but must register with Grants.gov as an organization, not as an individual.

Registration with Central Contractor Registry (CCR) is required - The CCR is the central government repository for organizations working with the Federal government. If your organization is not already registered, identify the primary contact who should register your organization. When your organization registers with CCR, it will be required to designate an e-Business Point of Contact (e-Business POC). The e-Business POC authorizes individuals to submit grant applications on behalf of the organization and creates a special password called a Marketing Partner ID Number (M-PIN) to verify individuals authorized to submit grant applications for the organization. Visit the CCR Web site at <http://www.ccr.gov> to begin this process. It may take several days to collect the information needed for your organizations registration. The CCR Assistance Center can be reached at 888-227-2423. This information collection is approved under OMB Control No. 0524-0039, NIFA Application Kit for Research and Extension Programs.

Applicants must furnish the information required in the request for applications (RFAs). Successful applicants recommended for funding must furnish the information and assurances requested during the award documentation process. These include, but are not limited to the following:

Organizational Management Information - Specific management information relating to an applicant shall be submitted on a one time basis, with updates on an as needed basis, as part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. NIFA will provide copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors which may exclude an applicant from receiving Federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information). This information collection is

approved under OMB Circular Control No. 0524-0026, Assurance of Compliance with the Department of Agriculture Regulations Assuring Civil Rights, Compliance and Organization Information.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All RFAs are published on the Agency's website and Grants.gov. Applicants must complete the Grants.gov registration process. Please see the following Grants.gov link for more information:

http://www.grants.gov/applicants/get_registered.jsp. This program is excluded from coverage under E.O. 12372.

SPECIFIC DETAILS:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Pre-award form submissions must be submitted to the Cooperative Extension Programs at 1862 Land-grant Institutions program as a new application on the www.grants.gov website. As noted (in the FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Pre-award form submissions must be submitted to Special Needs Program as a new application on the www.grants.gov website. As noted (in the FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 202 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require approved plans of work for agricultural extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. Therefore, each 1862 Land-grant Institution must submit both a 5-Year Plan of Work Update (i.e., submitted each year as an update) and an Annual Report of Accomplishments and Results. Both reports were due by April 1.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects) Pertinent info to be determined at a later date.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Pre-award form submissions must be submitted to the CSRS Retirement Contributions Program as a new application on the www.grants.gov website. As noted (in the FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) System, and together satisfy all legislative and regulatory pre-award requirements.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Pre-award form submissions must be submitted to the FERS Retirement Contributions Program as a new application on the www.grants.gov website. As noted previously, these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) System, and together satisfy all legislative and regulatory pre-award requirements.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Pre-award form submissions must be submitted to the DCPPERA program as a new application on the www.grants.gov website. As noted in the Formula Grant Opportunity (FGO), these application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 105 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended the Smith-Lever Act and the Hatch Act to require an approved Plan of Work for extension and research activities at 1862 Land-grant Institutions in order to receive Federal funding. As stated in the Preface to the Federal Register Notice at 71 FR 4107, Although the District of Columbia receives extension funds under the District of Columbia Postsecondary Education Reorganization Act, Public Law 93471, as opposed to the Smith-Lever Act, NIFA has determined that it should be subject to the POW requirements imposed under these guidelines except where expressly excluded. Therefore, the University of the District of Columbia must submit both a 5-Year Plan of Work Update (i.e., submitted as an annual update) and an Annual Report of Accomplishments and Results. Both reports were due April 1.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA) Pre-award form submissions must be submitted to the Section 1444 Program as a new application on the www.grants.gov website. These application packages complement, rather than duplicate, the information collected via the Plan of Work (POW) system, and together satisfy all legislative and regulatory pre-award requirements.

Section 225 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) amended NARETPA to require an approved Plan of Work for agricultural extension and research activities at 1890 Land-Grant Institutions in order to receive Federal funding. Therefore, each 1890 land-grant institution, including Tuskegee University and West Virginia State University, must submit both a 5-Year Plan of Work Update and an Annual Report of Accomplishments and Results. Both reports are due April 1.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

No Standard Form (SF) 424-A, Budget Information for Non-Construction Programs, and no Budget Narrative Attachment Form are required for either the initial or the final submissions in FY 2009. However, the EFNEP-specific forms will be required.

Initial Submission:

Pre-award form submissions must be submitted to EFNEP as a new application on the www.grants.gov website. The SF-424M Application Package should include:

Application for Federal Assistance (Standard Form (SF) 424M (Mandatory)
NIFA Supplemental Information Form
Key Contacts Form

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Pertinent details will be provided at a future date.

(b) Rural Health and Safety

Pertinent details will be provided at a future date.

(c) Extension Services at the 1994 Institutions

Pertinent details will be provided at a future date.

(d) Grants to Youth Serving Institutions

Pertinent details will be provided at a future date.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Pertinent details will be provided at a future date.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Pertinent details will be provided at a future date. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as outlined in the Request for Applications (RFA) or Formula Grant Opportunity (FGO). Applications must follow the instructions provided per Grants.Gov and in the Agency guide to submitting applications via Grants.gov.

Award Procedure:

Applications are subjected to a system of peer and merit review in accordance with section 103 of the Agricultural Research, Extension and Education Reform Act of 1998 (7 U.S.C. 1613) by a panel of qualified scientists and other appropriate persons who are specialists in the field covered by the proposal. Within the limit of funds available for such purpose, the NIFA Authorized Departmental Officer (ADO) shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in the RFA.

Reviewers will be selected based upon training and experience in relevant scientific, extension, or education fields, taking into account the following factors:

- (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities;
- (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields;
- (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs;
- (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and Federal agencies, private profit and non-profit organizations) and geographic locations;
- (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and
- (f) the need to include reviewers who can judge the effective usefulness to producers and the general public of each application.

Evaluation Criteria will be delineated in the RFA or FGO.

SPECIFIC DETAILS:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Further information will be provided at a later date.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Pertinent details will be provided at a future date.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Pertinent details will be provided at a future date.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Pertinent details will be provided at a future date.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Pertinent details will be provided at a future date.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Pertinent details will be provided at a future date.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

The Office of Planning and Accountability will notify the OEP Formula Grants Section and the institution regarding each institutions compliance with the Plan of Work reporting requirements (i.e., Five (5) Year Plan of Work Update and an Annual Report of Accomplishments and Results). If all current program and administrative requirements have been met by the eligible institution, funding will continue to be released on a quarterly basis. The OEP Formula Grants Section will notify the institutions when all requirements have been met or approved.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Pertinent details will be provided at a future date.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Pertinent details will be provided at a future date.

(b) Rural Health and Safety

Pertinent details will be provided at a future date.

(c) Extension Services at the 1994 Institutions

Pertinent details will be provided at a future date.

(d) Grants to Youth Serving Institutions

Pertinent details will be provided at a future date.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Pertinent details will be provided at a future date.

(f) Federal Administration

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

Pertinent details will be provided at a future date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Dates for specific deadlines are announced in the Request for Applications (RFA) or Formula Grant Opportunity (FGO) each fiscal year (FY).

Information is also available via our website and may be obtained via the Grants.gov website. Respective links are provided below:

<http://www.nifa.usda.gov/>

<http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Specific details are provided in the Request for Applications (RFA) or Formula Grant Opportunity (FGO) each fiscal year (FY).

Formula and Matching Requirements:

Statutory Formula: Title Various. Several Formula programs are represented under CFDA 10.500. Specific details will be forthcoming at a future date.

Matching Requirements: (See RFA or FGO - Part I.A Legislative Authority & Background; Part III.B Cost Sharing or Matching and/or Part IV.D Funding Restrictions)

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

States are eligible for funds appropriated under this Act according to the following formula: Out of each annual allocation, each State will be entitled to receive a sum of money equal to the sums available from Federal cooperative extension funds for the fiscal year 1962, and subject to the same requirements as to furnishing of equivalent sums by the State, except that amounts heretofore made available to the Secretary for allotment on the basis of special needs shall continue available for use on the same basis. Further, the University of Guam and the University of the Virgin Islands will receive \$100,000 each in addition to the sums appropriated for the several States of the United States and Puerto Rico under the provisions of sections 3(b) and 3(c) of the Smith-Lever Act. Of the remainder so appropriated for each fiscal year 20 per cent will be paid to the several States in equal proportions, 40 per cent will be paid to the several States in the proportion that the rural population of each bears to the total rural population of the several States as determined by the census, and the balance will be paid to the several States in the proportion that the farm population of

each bears to the total farm population of the several

States as determined by the census. Any appropriation made under sections 3(b) and 3(c) of the Smith-Lever Act will be allotted in the first and succeeding years on the basis of the decennial census current at the time the appropriation is first made, and as to any increase, on the basis of decennial census current at the time such increase is first appropriated.

Cost Sharing or Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1)) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4)) provides that Effective beginning for fiscal year

2003, in lieu of the matching funds requirement of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marianas Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section..... The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Funds will be distributed to the institutions on a quarterly basis. See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

Cost Sharing or Matching

1862 Land-grant Institutions in the 50 States must match 100 percent of the Special Needs funds they receive with funds from non-Federal sources such as State or county appropriations. The matching must be in the form of cash. Matching funds must be used for extension activities approved in the eligible institutions 5-Year Plan of Work.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

Cost Sharing or Matching
Pursuant to rules and policies governing Section 3(b) & (c) of the Smith-Lever Act, as amended through Public Law 107-293, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work.

1. To comply with the matching requirements of the Special Needs Program, applicants are required to provide 100 percent matching funds from non-federal sources for all proposed federal funds sought in the application. In-kind and third party contributions are not allowed for the Smith-Lever Special Needs program. Grant awards cannot be issued until ALL required matching has been documented and verified.

2. Matching Alternative. Extension Directors may certify an offset of guaranteed non-Federal funds to your application. The signed certification of offset must be scanned and included as a PDF attachment (see Part IV.,B.,2.,c.,(1) for specific instructions).

3. Matching Exception. Insular Areas: In lieu of the matching funds requirement, the insular areas of the Commonwealth of Puerto Rico, Guam, and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the grants awarded. The Secretary may waive this matching fund requirement for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year. Insular applicants may submit a waiver request as a PDF attachment to the application (see Part IV.,B.,2.,c.,(2) for specific instructions).

For all projects:

Matching: Applications shall include written verification of commitments of

matching support from non-federal sources. Written verification means: The sources and the amount of all matching support from outside the applicant organization should be summarized on a separate page and placed in the application as a part of the Budget

Justification attachment (see Field K on the Form SF 424 (R&R) Budget). Include the matching amount, the budget category for the match, and detail how the matching support, from each source, will be used (e.g., salary and position supported). Additionally, all pledge agreements must be included as a PDF attachment in Field K as well.

The value of applicant contributions to the project shall be established in accordance with the applicable cost principles. Applicants should refer to OMB Circulars A-21, Cost Principles for Educational Institutions; A-87, Cost Principles for State, Local, and Tribal Governments; 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (OMB Circular A110); for further guidance and other requirements relating to allowable costs.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Federal funding is distributed according to an administrative formula described in the Formula Grant Opportunity (FGO). See Appendix A of the FGO for specific allocations.

NIFA CSRS retirement allocations must be used to support CSRS retirement costs for former Schedule A Appointments.

Cost Sharing or Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marian Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Federal funds are distributed according to an administrative formula described in the Formula Grant Opportunity (FGO). See Appendix A of the FGO for specific allocations.

Cost Sharing or Matching

Section 3(e)(1) of the Smith-Lever Act (7 U.S.C. 343(e)(1) states, with regard to institutions in the 50 states, no allotment shall be made to a State under subsection (b) or (c), and no payments from the allotment shall be made to a State, in excess of the amount that the State makes available out of non-Federal funds for cooperative extension work. However, section 3(e)(4) of the Smith-Lever Act (U.S.C. 343(e)(4) provides that Effective beginning for fiscal year 2003, in lieu of the matching funds requirements of paragraph (1), the insular areas of [American Samoa,] the Commonwealth of Puerto Rico, Guam, [Micronesia, the Northern Marian Islands,] and the Virgin Islands of the United States shall provide matching funds from non-Federal sources in an amount equal to not less than 50 percent of the formula funds distributed by the Secretary to each of the insular areas, respectively, under this section. The Secretary may waive the matching fund requirement [of 50 percent] for any fiscal year if the Secretary determines that the government of the insular area will be unlikely to meet the matching requirement for the fiscal year.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

There is no matching requirement for these funds.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

The 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University, are eligible for funds appropriated under this Act according to the following formula: Any funds annually appropriated under NARETPA section 1444 up to the amount appropriated for the fiscal year ending September 30, 1978, pursuant to section 3(d) of the Act of May 8, 1914, as amended, for eligible institutions, will be allocated among the eligible institutions in the same proportion as funds appropriated under section 3(d) of the Act of May 8, 1914, as amended, for the fiscal year ending September 30, 1978, are allocated among the eligible institutions. Of the funds in excess of that amount, 20 per cent will be allotted among the eligible institutions in equal proportions; 40 per cent will be allotted among the eligible institutions in the proportion that the rural population of the State in which each eligible institution is located bears to the total rural population of all the States in which eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated; and the balance will be allotted among the eligible institutions in the proportion that the farm population of the State in which each eligible institution is located bears to the total farm population of all the States in which the eligible institutions are located, as determined by the last preceding decennial census current at the time each such additional sum is first appropriated. For purposes of computing the distribution, the allotments to Tuskegee University and Alabama A&M University will be determined as if each institution were in a separate State.

Cost Sharing or Matching

NARETPA section 1449 (7 U.S.C. 3222d) states that the State shall provide matching funds from non-Federal sources. Such matching funds shall be for an amount equal to not less than ... 100 percent of the formula [grant] funds to be distributed to the eligible institution for fiscal year 2007 and each fiscal year thereafter. ... Notwithstanding [redistributing the funds], the Secretary may waive the matching funds requirement ... above the 50 percent level for any fiscal year for an eligible institution of a State if the Secretary determines that the State will be unlikely to satisfy the matching requirement.

7 CFR 3419.1 defines matching funds as funds from non-Federal sources made available by the State to the eligible institutions ... [for] programs or activities that fall within the purposes of agricultural research and cooperative extension under sections 1444 and 1445 of NARETPA ...or [for] qualifying educational activities. Matching funds means cash contributions and excludes in-kind matching contributions. It defines non-Federal sources as funds made available by the State to the eligible institution either through direct appropriation or under any authority (other than authority to charge tuition and fees paid by students) provided by a State to an eligible institution to raise revenue, such as gift acceptance authority or user fees. Finally, it defines qualifying educational activities as programs that address food and agricultural sciences components of an eligible institution.

7 CFR 3419.6 states that The required matching funds for the formula programs shall be used by an eligible institution for agricultural research and extension activities that have been approved in the plan of work required under sections 1444(d) and 1445(c) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977... or for .. qualifying education activities.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish the following:

Notwithstanding section 3(d) of the Smith-Lever Act of May 8, 1914 (7 U.S.C. 343(d)) for administration, technical, and other services for coordinating the extension work of the Department and the several States, Territories, and

possessions the remainder shall be allocated among the States as follows:

1. 1862 Institutions shall receive a base in an amount equaling their FY 1981 allocation.
2. Four percent (4%) shall be available for administrative expenses.
3. \$100,000 will be distributed to each 1862 and 1890 Land-Grant Institution including the University of the District of Columbia.
4. For fiscal year (FY) 2009 10 percent of funds appropriated for EFNEP in excess of funds appropriated in FY 2007 will be allocated to the 1890 Institutions in an amount that bearing the same ratio to the population living at or below 125 percent of the poverty level (as prescribed by the Office of Management and Budget and as adjusted pursuant to section 673(2) of the Community Services Block Grant Act (42 U.S.C.9902(2))) in the State where the 1890 Institution is located; bears to the total population living at or below 125 percent of the poverty level in all States where 1890 Institutions are located; as determined by the most recent decennial census at the time when the appropriated amount first exceeds levels appropriated for EFNEP in FY 2007. This FY 2009 rate of 10 percent is to increase by 1 percentage point annually until FY 2014 maxing out at 15 percent and remaining at that level for every year thereafter.
5. The remainder will be allocated to each 1862 Land-Grant Institutions in an amount that bears the same ratio to the total amount allocated as the population living at or below 125 percent of the poverty level in the State; bears to the total population living at or below 125 percent of poverty level in all States; as determined by the most recent decennial census at the time at which each such additional amount is first appropriated.

Cost Sharing or Matching

There is no cost-sharing or matching requirement for these funds.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

The majority of the appropriated funds are distributed to eligible institutions

based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state. Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 20 percent to 22 percent of total Federal funds provided under the award.

Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

(b) Rural Health and Safety

The Rural Health and Safety Education Competitive Grants Program provides funds to meet national goals for addressing the health and access needs of rural Americans.

NIFA does not require matching or cost sharing support for this program and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of research, education, or extension space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act amended section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), increasing the limit on recovery of indirect costs from 20 percent to 22 percent of total Federal funds provided under the award.

Therefore, the recovery of indirect costs on awards made by NIFA under this program area may not exceed the lesser of the institution's official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded.

(c) Extension Services at the 1994 Institutions

Appropriated funds are to be awarded to the 1994 Land-Grant Institutions for Extension work and funds are to be distributed on the basis of a competitive application process.

NIFA does not require matching support for this program, and matching resources will not be factored into the review process as evaluation criteria.

Under the TCEP, the use of grant funds to plan, acquire, or construct a building or facility, or to acquire land, is not allowed. With prior approval, in accordance with the cost principles set forth in OMB Circular No. A-21, grant funds may be used to purchase equipment, or for improvements, alterations, renovations, or repairs to land, buildings, or equipment, deemed necessary to retrofit existing spaces and resources in order to carry out a funded project under this grant. However, requests to use grant funds for such purposes must demonstrate that such expenditures are incidental to the major purpose for which the grant request is made and no more than twenty-five percent of the project budget may be used to purchase equipment. Any equipment purchased with Federal funds is the property of the grantee or the subgrantee, as appropriate.

Indirect costs are unallowable costs, and no funds will be approved for this purpose. Costs that are a part of the institutions indirect cost pool may not be reclassified as direct costs for the purpose of making them allowable.

(d) Grants to Youth Serving Institutions

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the

redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

There are no matching requirements associated with the Rural Youth Development program, and matching resources will not be factored into the review process as evaluation criteria.

NIFA has determined that grant funds awarded under this authority may not be used for the renovation or refurbishment of facility space; the purchase or installation of fixed equipment in such space; or the planning, repair, rehabilitation, acquisition, or construction of buildings or facilities.

Section 7132 of the Food, Conservation, and Energy Act of 2008, amended the National Agriculture Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310(a)), limiting indirect costs to 22 percent of the total Federal funds provided under each award. Therefore, when preparing budgets, applicants should limit their requests for recovery of indirect costs to the lesser of their institutions official negotiated indirect cost rate or the equivalent of 22 percent of total Federal funds awarded. If no rate has been established the applicant may indicate "Nonewill negotiate" and a reasonable dollar amount for indirect costs may be requested, which will be subject to approval by USDA. In the latter case, if a proposal is recommended for funding, an indirect cost rate proposal must be submitted prior to award to support the amount of indirect costs requested. NIFA will request an indirect cost rate proposal and provide instructions, as necessary. An applicant may elect not to charge indirect costs and, instead, use all grant funds for direct costs. If indirect costs are not charged, the phrase "None requested" should be written in this space.

(e) Food Animal Residue Avoidance Database Program (FARAD)
Pursuant to Sec. 604 of the Agricultural research extension and Education Reform Act of 1998 [7 USC 7642(d)], applicants requesting recovery of indirect costs should limit their requests to the lesser of their institutions official negotiated indirect costs rate or the equivalent of 19 percent of total Federal funds awarded.

(f) Federal Administration (DIRECT APPROPRIATION)
Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)
NIFA assessed four percent (4%) of the appropriated amount for Federal Administration, distributed sixty percent (60%) of the balance equally, and distributed the remaining forty percent (40%) based on the formula found in Section 1444 of NAREPTA of 1977, as amended (Pub. L. No. 95-113). Within each institution, the research, teaching and extension programs must each receive at least 20 percent of the annual allocation. The remaining 40 percent may be allocated based on institutional needs for research, teaching, or extension. No more than 60 percent of the total allocation should be directed to research, teaching, or extension. However, if there are unique situations in your institution which warrant a deviation from these guidelines, we will consider requests for reallocation. Such reallocations must be justified by the submission of a situation statement which describes the research, teaching, and extension facilities needs in your institution and how previously available Federal funds were utilized.

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria. The obligation and expenditure of funds awarded under these grants are limited to equipment, land, buildings and other related costs which are or will be used in the administration and conduct of approved research, teaching and extension activities. In accordance with NAREPTA Section 1447 (e), funds provided for this program may not be used for the payment of any overhead costs of the eligible institutions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

(See RFA or FGO: Part II.A. Available Funding; also can be posted in other sections of the RFA/FGO)

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b &

c Formula Funds) (FORMULA)

Funds will be distributed to the institutions on a quarterly basis. See Appendix A of the Formula Grant Opportunity (FGO) for the specific allocation.

Funds appropriated under sections 3(b) and 3(c) of the Smith-Lever Act are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to four years after the end of the year for which they were appropriated.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Special Needs funds are expected to be fully expended in the fiscal year of appropriation; however, funds may be carried over for up to four years after the end of the year for which they were appropriated.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)
Special Needs projects have typically ranged from \$30,000 to \$120,000 in award size, however, the amount requested may vary depending on the urgency of the need(s) needs being addressed by the proposed project. Special Needs Program encourages applications that specifically address one or more of the following six key target areas:

1. Education and Technical assistance applications may be for project periods of up to 5 years;
2. Collaboration applications may be for project periods of up to 5 years;
3. Long range family, community and regional planning applications may be for project periods of up to 5 years;
4. Communication delivery applications may be for project periods of up to 5 years;
5. Dissemination of credible, science-based information applications may be for project periods of up to 5 years; and
6. Integrated Research and Extension Planning Projects applications may be for project periods of up to 1 year with proposed budgets not to exceed \$15,000 per proposal.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

CSRS Retirement Contributions Program funds are expected to be fully expended in the fiscal year of appropriation; however, funds allocated may be carried over for up to four years from the year end for which they were appropriated.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

FERS Retirement Contributions Program funds are expected to be fully expended in the fiscal year of appropriation; however, funds allocated may be carried over for up to four years from the year end for which they were appropriated.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

DCPPERA funds are expected to be fully expended in the fiscal year of appropriation; however funds may be carried over for up to four (4) years after the end of the year for which they were appropriated.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)
Section 1444 Program funds are expected to be fully expended in the fiscal year of appropriation; however, no more than 20 per cent of the funds received by an institution in any fiscal year may be carried forward to the succeeding fiscal year. No prior approval from NIFA is required. Since these limitations are legislatively mandated, NIFA is not authorized to approve any carryover requests beyond the 20 percent and one-year limitations. 

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

EFNEP funds are expected to be fully expended in the fiscal year of appropriation; however funds may be carried over for up to four (4) years after the end of the year for which they were appropriated.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

 

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

The project period ranges from 18-24 months, and may be extended by NIFA without additional financial support for such additional period(s) as the ADO determines may be necessary to complete or fulfill the purposes of an approved project. In no case shall the total project period exceed five years. Any extension of time shall be conditioned upon prior request by the awardee and approval in writing by the ADO, unless prescribed otherwise in the terms and conditions of award.

(b) Rural Health and Safety

The project period shall not exceed 1 year. Project period may be extended by NIFA without additional financial support for such additional period(s) as the ADO determines may be necessary to complete or fulfill the purposes of an approved project. In no case shall the total project period exceed five years. Any extension of time shall be conditioned upon prior request by the awardee and approval in writing by the ADO, unless prescribed otherwise in the terms and conditions of award.

(c) Extension Services at the 1994 Institutions

Continuation grants to Increase Extension Program Capacity may be funded for up to four years for competitively-selected proposals, one from each eligible institutions. Applicants may request up to \$85,000 for each year of funding requested. Funding is awarded on an annual basis (within that specified time period) and subject to the availability of annual appropriations and satisfactory progress of the project.

All 1994 Institutions are invited to submit applications under the Extension Special Emphasis Project type. There is no limit to the number of applications a 1994 Institution, or a consortium of 1994 Institutions, may submit under the Extension Special Emphasis Project type. Each application submitted by a

single institution or multiple institutions may request up to \$100,000. The project period may not exceed three years. These will not be awarded as continuation grants.

(d) Grants to Youth Serving Institutions

A proposal may request a grant for a duration of 18 months. The project period may be extended by NIFA without additional financial support, for such additional period(s) as the ADO determines may be necessary to complete or fulfill the purposes of an approved project, but in no case shall the total project period exceed five years. Any extension of time shall be conditioned upon prior request by the awardee and approval in writing by the ADO, unless prescribed otherwise in the terms and conditions of award.

(e) Food Animal Residue Avoidance Database Program (FARAD)

The term of the contract, grant or cooperative agreement shall be three (3) years, with options to extend the term triennially.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

This RFA is for renewal applications only. Eligible applicants must submit a renewal application. This is a project application that requests additional funding to continue the project that was approved in an original or amended award. Applicants should request funding for an additional year at the amount for their eligible organization.

Section 1447 of NARETPA of 1977 [7 U.S.C. 3222b] designates distribution of these funds. The program was reauthorized through FY 2012 by Section 7123 of FCEA (Pub. L. 110-246). Future funding will be strictly subject to new appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

The Plan of Work Update and Annual Report of Accomplishments and Results were due by April 1, and should have been submitted online at the web address: <http://pow.nifa.usda.gov>.

Financial reporting via the SF-269, Financial Status Report, or SF-425, Federal Financial Report is due to the Formula Grants Section, Financial Operations Branch of the Office of Extramural Programs on April 1st of each year until the formula grant is closed. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) on the 15th day of November, February, May, and August for the preceding calendar quarter (see the DHHS-PMS website for specific dates). DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

The Plan of Work Update and Annual Report of Accomplishments and Results were due April 1, and should have been submitted online at the web address: <http://pow.nifa.usda.gov>.

Financial reporting via the SF-269, Financial Status Report or SF-425 Federal Financial Report is due to the Formula Grants Section, Financial Operations Branch, of the Office of Extramural Programs on April 1st of each year until the formula grant is closed. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) on the 15th day of November, February, May, and August for the preceding calendar quarter (see the DHHS website for specific dates). DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects)

(NOTE: Expected Outcomes and Reporting Requirements are NOT reflected in the RFA. Hence, standard reporting will be utilized.)

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Financial reporting via the SF-269, Financial Status Report or SF-425, Federal Financial Report is due to the Formula Grants Section, Financial Operations Branch within the Office of Extramural Programs on April 1. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) on the 15th day of November, February, May, and August for the preceding calendar quarter. See the DHHS-PMS website for specific dates. DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Financial reporting via the SF-269, Financial Status Report or SF-425 Federal Financial Report is due to the Formula Grants Section, Financial Operations Branch within the Office of Extramural Programs on April 1. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) on the 15th day of November, February, May, and August for the preceding calendar quarter. See the DHHS-PMS website for specific dates. DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

The Plan of Work Update and Annual Report of Accomplishments and Results must be submitted by April 1, online at the web address: <http://pow.nifa.usda.gov>.

Form NIFA-REPT (Revised) Supplement to Annual Report of Accomplishments and Results, Multistate Extension Activities and Integrated Activities, should have been submitted to the OEP Formula Grants Section by April 1, for each fiscal year (FY).

Financial reporting via the SF-269, Financial Status Report or SF-425, Federal Financial Report is due to the Formula Grants Section, Financial Operation Branch of the Office of Extramural Programs on April 1st of each year until the formula grant is closed. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) on the 15th day of November, February, May, and August for the preceding calendar quarter. See the website for specific dates. DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

The Plan of Work Update and Annual Report of Accomplishments and Results should have been submitted by April 1, 2009 online at the web address: <http://pow.nifa.usda.gov>.

Financial reporting via the SF-269, Financial Status Report or SF-425 Federal Financial Report is due to Formula Grants Section of the Office of Extramural Programs on April 1st of each year until the formula grant is closed. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) usually on the 15th day of November, February, May, and August for the preceding calendar quarter. See the website for specific dates. DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

States must provide a state program report, using the State Reporting System version 5 (SRS5) which was due November 1.

Financial reporting via the SF-269, Financial Status Report or SF-425, Federal Financial Report is due to the OEP Formula Grants Section, Financial Operations Branch of the Office of Extramural programs by April 1. The SF-272, Federal Cash Transactions Report, for each calendar quarter is due online at the DHHS website (www.dpm.psc.gov) usually on the 15th day of November, February, May, and August for the preceding calendar quarter. See website for specific dates. DHHS may restrict payment requests from the grantees account if the SF-272 report is not submitted on time.

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life

Pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act

Grantees are required to submit initial project information and annual and summary reports to NIFA's Current Research Information System (CRIS). The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparing and submitting project documentation will be provided to the applicant by the agency contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required. For more information about CRIS, visit <http://cris.nifa.usda.gov>.

Annually, each grantee must submit a CRIS AD-421 Progress Report (OMB No. 0524-0042) and a one-page popular accomplishment report, prepared in Word or PDF format. The format and guidelines regarding the content of the popular report will be provided by the RREA Program Managers to the Project Directors of funded projects.

Additionally, when the project is complete, the grantee is required to submit a Final Technical Report to the NIFA National Program Leaders, Renewable Resources Extension Act. The Final Technical Report should summarize all work conducted and detail the outcomes, accomplishments and outputs of the funded project. This report should not exceed 15 single-spaced, typed pages.

At a minimum, Project Directors are expected to attend and present their projects and accomplishments as submitted papers to the appropriate Biennial Conference of the Association of Natural Resources Extension Professionals (ANREP). Additional conference presentations may also be utilized, but not

substituted for the ANREP Conference without submitting a specific request to do so to the Program Contact.

Additional annual reports may be requested to provide timely information for the Secretary of Agriculture and Congress. The agency contact below will request additional reports as needed.

(b) Rural Health and Safety

Grantees are required to submit initial project information and annual and summary reports to NIFAs Current Research Information System (CRIS). In addition, grantees are required to submit quarterly progress reports to the Agency contact. The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparing and submitting project documentation will be provided to the applicant by the agency contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required. For more information about CRIS, visit <http://cris.nifa.usda.gov>.

(c) Extension Services at the 1994 Institutions

Grantees are required to submit initial project information and annual and summary reports to

NIFAs Current Research Information System (CRIS). The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparing and submission of project documentation will be provided to the applicant by the Agency Contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required. For more information about CRIS, visit <http://cris.nifa.usda.gov>.

Project Directors are required to attend a NIFA Project Directors' meeting and/or the NIFA Administrative Officers Meeting at least once during the duration of the project. Reasonable travel expenses for such annual NIFA Project Director Meeting and/or NIFA Administrative Officers Meeting should be included as part of the project budget.

Annual Performance Report

In the case of Increase Extension Program Capacity continuation grants, annual performance reports are due 90 days prior to the anniversary date of the award and should be submitted to the NIFA Current Research Information System (CRIS). In the case of Extension Special Emphasis projects, annual performance reports are due 90 days after the anniversary date of the award and should be submitted to CRIS. Annual performance reports must include the following information: (a) a comparison of actual accomplishments with the goals established for the reporting period (where the output of the project can be expressed readily in numbers, a computation of the cost per unit of output should be submitted, if the information is considered useful); (b) if established goals were not met, the reasons; and (c) additional pertinent information including, when appropriate, analysis and explanation of cost overruns or unexpectedly high unit costs.

Final Technical Report

A final technical report must be submitted to CRIS within 90 days after the expiration date of the project. The expiration date is specified in the award documents and modifications thereto, if any. Generally, the final technical report should be a summary of the completed project, including: (a) a review of project objectives and accomplishments; (b) a description of outcomes resulting from the project and activities undertaken to disseminate these outcomes; (c) an explanation of partnerships and collaborative ventures that resulted from the project, including future initiatives that are planned as a result of the project; (d) a description of the projects impact on the PD(s), the institution(s) involved, and the community; and (e) any pertinent data on project personnel and beneficiaries. The final technical report also must contain any other information specified in the terms and conditions of the award.

(d) Grants to Youth Serving Institutions

Previously funded Rural Youth Development applicants must prepare an annual report to NIFA that details all significant activities towards achieving the outputs and outcomes of the project as supported by evaluation methodologies. Provide tables, graphs and figures to facilitate comparing targeted results with actual results. Include success stories and pictures. Reports should include sections that will report:

- Outcomes for youth, adults and community stakeholders as indicated in the Rural Youth Development program Logic Model (See RFA) and other organization specific outcomes.
- Location of funded projects (could be shown on a map of the United States).
- Summarized outputs of participants and programs as contained in the Output Measures Report that was developed and used by funded organizations
- Examples (program descriptions) of community capital built in one or more areas of human, social, civic, natural, built (infrastructures), financial, and cultural. Information can be used from the Community Action report that was developed and used by the funded organizations.
- Anecdotal quotes from participants and supporting information.

Summary reports shall be by year and cumulative.

At the end of the grantee's final year, the grantee must prepare a final report to NIFA that summarizes all significant activities towards achieving the outcomes of the project, while providing final population demographics and trends in the evaluation results. Final reports should include the same bulleted information shown immediately above. Provide tables, graphs, pictures, and other figures to facilitate comparing targeted results with actual results, aggregated for the entire project. List all educational materials produced by the project. Include success stories. Reports must be received within 90 days of the termination of the award.

Projects shall submit program results to the NIFA Current Research Information System (CRIS) data base.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Grantees are required to submit an analysis of program strengths and opportunities in meeting national food safety priorities, and steps to be taken, if any, to strengthen program planning and execution.

Grantees are also required to submit initial project information and annual and summary reports to NIFAs Current Research Information System (CRIS). The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparation and submission of project documentation will be provided to the applicant by the agency contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required.

(f) Federal Administration (DIRECT APPROPRIATION)

Pertinent details will be provided at a future date.

(g) 1890 Facilities (Section 1447)

NIFA expects the awarded funds to be used for the acquisition and improvement of agricultural and food sciences facilities and equipment, including libraries, so that the 1890 land-grant institutions, including Tuskegee University and West Virginia State University, may participate fully in human capital development in the food and agricultural sciences.

1) Performance Reports:

Awardees of Federal funds are responsible for monitoring day-to-day project performance to ensure that project goals and performance schedules are met, contain costs, and accurately report progress to NIFA. An annual performance report is due in NIFA 90 days after completion of the first 12 months of the initial grant award and annually thereafter during the project period. Please report all work performed during the previous 12-month period, including any

funded or unfunded time extensions. The performance report is to be submitted through the CRIS system as described below and should not exceed five (5) pages in length and shall include the following information:

A comparison of actual accomplishments against the goals and/or objectives established for the facilities program during the reporting period, including relevant percentage-of-completion data and a computation of square footage costs for all projects undertaken;

The reason for slippage if approved goals were not met;

Include additional pertinent information including for example, analysis and explanation of cost overruns or unexpectedly high unit costs. Report and explain any favorable or adverse conditions, changes, or situations which are expected to impact the scope, size, cost, or completion schedule for the project, along with a discussion of proposed or actual actions taken by your institution to resolve any problems encountered;

In subsequent years, if the proposal represents any changes to your approved Five-Year Plan, please provide a description of, and justification for, the change so that our records can be updated;

A summary of activities to be undertaken during the next 12-month period;

A listing of architectural, engineering, and construction contracts entered into during this reporting period only for each program component in the format provided in the RFA and/or FGO.

2) Additional Performance Report Emphasis:

Additionally, performance reports should include the following:

A. New Facilities Constructed:

1. Facility Name, Cost, Square Footage, and Funds Leveraged if any
2. Facility Uses for Teaching, Research and Extension
3. Narrative of Programs Supported and Emphasis Areas
4. Photographs

B. Facilities Renovated

1. Facility Name, cost
2. Facility Uses for Teaching, Research, and Extension
3. Narrative of Programs Supported and Emphasis Areas
4. Photographs

C. Major Land Purchases

1. Size and Cost
2. Facility Uses for Teaching, Research, and Extension
3. Photographs of Any Farm Activities

D. Major Laboratory, and Farm Equipment and Miscellaneous

E. Impact

1. Describe how the facilities program for FY 2008 impacted the programs in food and agricultural sciences (Teaching, Research, and Extension).

3). Current Research Information System (CRIS) Reports:

Grantees are required to submit initial project information and semi-annual performance reports via the NIFA Current Research Information System (CRIS). The CRIS database contains narrative project information, progress/impact statements, and final technical reports that are made available to the public. For applications recommended for funding, instructions on preparing and submission of project documentation will be provided to the applicant by the agency contact. Documentation must be submitted to CRIS before NIFA funds will be released. Project reports will be requested by the CRIS office when required. For more information about CRIS, visit <http://cris.nifa.usda.gov>. NIFA uses the SF-425, Federal Financial Report to monitor cash. Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects. A final Financial Status Report (SF-269) or Federal Financial Report (SF-425) is due within 90 days of the expiration date of the grant and should be submitted to the Awards Management Branch, Office of Extramural Programs at the address listed below, in accordance with instructions contained in 2 CFR 3430.55 (also refer to Section 3015.82 of the Uniform Federal Assistance Regulations).

Awards Management Branch

Office of Extramural Programs
Cooperative State Research, Education,
and Extension Service
U.S. Department of Agriculture
STOP 2271
1400 Independence Avenue, SW
Washington, DC 20250-2271

Telephone: (202) 401-4986

FOR FORMULA GRANTS:

Institutions are required to submit the SF-425, Federal Financial Report per Agency instructions. The office listed below provides agency oversight of these reports:

Formula Grants Section (F/S)
Financial Operations Branch (FOB)
Office of Extramural Programs (OEP)
National Institute of Food and Agriculture (NIFA)
U.S. Department of Agriculture (USDA)
STOP 2298
1400 Independence Avenue, SW
Washington, DC 20250-2298

Telephone: (202) 401-6520

Fax: (202) 690-3002

E-mail: formulagrants@nifa.usda.gov. Grantees also are required to submit annual and summary progress reports via NIFA Current Research Information System (CRIS). This reporting requirement was approved under OMB Control No. 0524-0042, NIFA Current Research Information System (CRIS). CRIS is an electronic, web-based inventory system that facilitates both grantee submission of project outcomes and public access to information on Federally-funded projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with guidelines established in the revised OMB Circular No. A-133, Audits of States, Local Governments, and Nonprofit Organizations, and implemented in 7 CFR 3052. This program is also subject to audit by the cognizant Federal audit agency and the USDA Office of Inspector General.

Records:

In accordance with the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-profit Organizations [2 CFR 215, Subpart C, Section 215.53, (OMB Circular A-110)] grantees shall maintain separate records for each grant to ensure that funds are used for authorized purposes. Grant-related records are subject to inspection during the life of the grant and must be retained at least three (3) years. Records must be retained beyond the three-year period if litigation is pending or audit findings have not been resolved.

Account Identification:

12-0502-0-1-352.

Obligations:

(Project Grants) FY 09 \$453,700,320; FY 10 est \$472,594,441; FY 11 est \$455,117,695 - CFDA Funds include FORMULA APPORTIONMENTS AND PROJECT GRANTS. However, CFDA system only allowed one (1) option to be selected.

The difference between the appropriation and obligation numbers reflects legislative authorized set-asides deducted as appropriate, and in some cases the availability of obligational authority from prior years.

Range and Average of Financial Assistance:

If minimum or maximum amounts of funding are established, these will be announced in the Initial and/or Final Formula Grant Opportunity (FGO).

If minimum or maximum amounts of funding per competitive project grant or cooperative agreement are established, these will be announced in the annual program announcement or Request for Application (RFA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Specific details will be provided by Program at a future date.
Fiscal Year 2010: Specific details will be provided by Program at a future date.
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3430, Competitive and Noncompetitive Non-Formula Federal Assistance Programs General Award Administrative Provisions and Program-Specific Administrative Provisions; 7 CFR Part 3015, USDA Uniform Federal Assistance Regulations; 7 CFR Part 3017, Government wide Debarment and Suspension (Nonprocurement) ; 7 CFR Part 3018, New Restrictions on Lobbying; 7 CFR Part 3019, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations; and 7 CFR Part 3021 USDA implementation of Governmentwide Requirements for Drug-free Workplace (Financial Assistance).

Regional or Local Office:

None.

Headquarters Office:

USDA, NIFA, National Program Leader for Various Programs (Numerous Individuals) 1400 Independence Avenue, SW, STOP 2210., Washington , District of Columbia 20250-2210 Phone: (202) 401-4555 and 205-5952 and

Website Address:

<http://www.nifa.usda.gov/>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.025 Plant and Animal Disease, Pest Control, and Animal Care; 10.053 Dairy Indemnity Program; 10.153 Market News; 10.155 Marketing Agreements and Orders; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University; 10.477 Meat, Poultry, and Egg Products Inspection; 10.652 Forestry Research; 10.902 Soil and Water Conservation; 15.611 Wildlife Restoration; 23.009 Appalachian Local Development District Assistance; 93.103 Food and Drug Administration_Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that similar projects will be funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Within guidelines established for the program as described in the Request for Application (RFA) or Formula Grant Opportunity (FGO).

**10.551 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM
SNAP**

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food and Nutrition Act of 1977, as amended, Public Law 95- 113, 91 Stat. 958, 7 U.S.C. 2011 et seq.; Public Law 95-348, Public Law 96-58, Public Law 96-249, Public Law 97-35; Public Law 97-98; Public Law 97-253, Public Law 98-204, Public Law 98-369; Public Law 99-198, Public Law 99-425; Public Law 99-498, Public Law 99-603, Public Law 100-77, Public Law 100-175, Public Law 100-232, Public Law 100-387, Public Law 100-435, Public Law 100-503, Public Law 100-707, Public Law 101-202; Public Law 101-392; Public Law 101-508; Public Law 101-624; Public Law 102-237; Public Law 102-265; Public Law 102-325; Public Law 103-31; Public Law 103-66; Public Law 103-225; Public Law 103-286; Public Law 103-296; Public Law 104-66; Public Law 104-127; Public Law 104-193; Public Law 104-208; Public Law 105-18; Public Law 105-33; Public Law 105-185; Public Law 105-379; Public

Law 106-171; Public Law 106-387; Public Law 107-171; Public Law 110-161; Public Law 110-246; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Increase the food budgets of low-income households through the provision of benefits for the purchase of nutritious food at authorized food retailers.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Households receive Supplemental Nutrition Assistance Program (SNAP) benefits for the purchase of food at authorized retailers using debit cards. The amount of benefits varies according to household size and income. Recipients may purchase only food with their benefits and only from authorized retailers.

Applicant Eligibility:

SNAP is a Federal program administered by the States. The State or U.S. Territory agency responsible for Federally aided public assistance programs submits requests for funding to USDA's Food and Nutrition Service.

Beneficiary Eligibility:

Applications for SNAP are made through a local social services agency which determines eligibility and benefit amount. Eligibility is based on family size, income, and resources. A gross income test is based on 130 percent of the poverty line, and the net income (after expenses) test is based on 100 percent of the poverty line. Households with elderly and disabled members need only meet the net income test. The resource limit is \$2,000 (\$3,000 for a household with an elderly or disabled member). Able-bodied adults with certain limited exceptions must meet a work requirement. Eligibility is limited to citizens and, most but not all, immigrants granted permanent legal status.

Credentials/Documentation:

Eligibility is determined by local social service offices based on National eligibility standards. Households must provide verification of identity, income, and resources. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Food and Nutrition Act of 2008, as amended, requires each State agency to submit to the Food and Nutrition Service for approval, a plan of operation specifying the manner in which SNAP will be conducted in every political subdivision within the State.

Award Procedure:

The Secretary of Agriculture approves State plans of operation submitted by the State agency that operates public assistance programs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Full implementation of the Nationwide mandate was completed in fiscal year 1975, except for certain Indian reservations which continued in the Food Distribution Program.

Appeals:

Other - Not Specified.

Renewals:

Program continues once approved, unless there is a substantial failure to comply with regulations or withdrawal on the part of the State.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Necessary, reasonable, and allowable administrative expenses by State agencies are reimbursed by the Federal government at approximately 50 percent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of assistance period varies and time phasing is not applicable. See the following for information on how assistance is awarded/released: Monthly allotments are made available to eligible individuals and families through debit cards.

Reports:

Annual budget summary statements; monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report are all required. Monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients. Quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; and cumulative totals for the fiscal year. Monthly and annual quality control reports; quarterly financial status report; quarterly report on claims against households; monthly issuance reconciliation report; monthly issuance and participation estimates; report of disqualified recipients; and cumulative totals for the fiscal year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Programs operated by the State or on behalf of the State are subject to audit by Office of Inspector General, USDA.

Records:

Records of households certified, benefits issued, and records relating to any financial transaction are required and must be maintained for 3 years.

Account Identification:

12-3505-0-1-605.

Obligations:

(Formula Grants) FY 09 \$53,931,355,000; FY 10 est \$63,247,459,000; FY 11 est \$74,490,250,000 - Pursuant to the Recovery Act authorization, American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Recovery Act), the SNAP funding level for Federal Fiscal Year 09 has been increased by \$4,803,560,000.

Pursuant to the Recovery Act authorization, American Recovery and Reinvestment Act of 2010, Public Law 111-5 (Recovery Act), the SNAP funding level for Federal Fiscal Year 10 has been increased by \$10,054,551,000. The supplemental funding added to SNAP for Federal Fiscal Year 10 is \$10,054,551,000.

Pursuant to the Recovery Act authorization, American Recovery and Reinvestment Act of 2011, Public Law 111-5 (Recovery Act), the SNAP funding level for Federal Fiscal Year 10 has been increased by \$11,670,027,000. The supplemental funding added to SNAP for Federal Fiscal Year 10 is \$11,670,027,000.

Range and Average of Financial Assistance:

Varies by income and family size. The average benefit for a household of 4 in FY09 was \$275.52.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: SNAP provides nutrition benefits to nearly 41 million low income people. Fiscal Year 2010: \$64,620,320,000. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 271-282.

Additional publications may be available at www.fns.usda.gov/snap.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Jessica Shahin, Supplemental Nutrition Assistance Program, Food and Nutrition Service, Department of Agriculture, 3101 Park Center Dr., Alexandria, Virginia 22302 Email: Jessica.Shahin@fns.usda.gov Phone: (703) 305-202. Fax: (703) 305-2454.

Website Address:

<http://www.fns.usda.gov/snap/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.553 SCHOOL BREAKFAST PROGRAM

School Breakfast Program

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1773, 1779, Public Laws 104-193, 100-435, 99-661, 97-35. American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To assist States in providing a nutritious nonprofit breakfast service for school children, through cash grants and food donations.

TYPES OF ASSISTANCE:

Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Federally appropriated School Breakfast Program funds are available to reimburse participating public and nonprofit private schools, of high school grade and under and residential child care institutions, for breakfasts, meeting the nutritional requirements prescribed by the Secretary of Agriculture, served to eligible children. The rates of reimbursement are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for all Urban Consumers. For the period July 1, 2010 through June 30, 2011, the rates of reimbursement are 26.0 cents for paid breakfasts, 118.0 cents for each reduced price breakfast, and 148.0 cents for each free breakfast. The maximum reduced price charged for breakfast is 30 cents. Schools serving 40 percent or more free and reduced price lunches in the second preceding school year get up to 146.0 cents and 176.0 cents for each breakfast served to children qualifying, respectively, for a reduced price and a free breakfast. All participating schools must agree to serve free and reduced price meals to eligible children, and to operate the program on a nonprofit basis for all children regardless of race, sex, color, National origin, age, or disability. School aged children receive one of three benefit levels based on an application used to determine need.

Please refer to Program Regulations (CFR)
Part 210 -- National School Lunch Program
Part 215 -- Special Milk Program
Part 220 -- School Breakfast Program
Part 235 -- State Administrative Expense
Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

State and U.S. Territory agencies; (except territories subject to the requirements to the Compact of Free Association) public and nonprofit private schools of high school grade and under; public and nonprofit private residential child care institutions, except Job Corps Centers. Schools desiring to participate must agree to operate a nonprofit breakfast program that is available to all children regardless of race, sex, color, National origin, age, or disability.

Beneficiary Eligibility:

All children attending schools where the breakfast program is operating may participate. Breakfast is served free to children who are determined by local educational agencies to have household income levels at or below 130 percent, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the federal poverty line, respectively. Meals served to non-needy children also get cash assistance. Such determinations are made in accordance with income eligibility guidelines prescribed by the Secretary and cross reference household income with household size. The Secretary prescribes these income eligibility guidelines by July 1; the statistical source used in making such revisions is the Federal Income Poverty Guidelines. Children from households certified to receive Supplemental Nutrition Assistance Program (SNAP), the Food Distribution Program on Indian Reservations, Temporary Assistance for Needy Families and some children in Head Start Programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, and migrant children are automatically eligible for free meals.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Public schools in all States make application to the State Educational Agency unless the State applies or is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. Nonprofit private schools should also apply to the State Educational Agency in most States. In some States, however, the State Educational Agency is prohibited by statute from disbursing Federal funds to nonprofit private schools. In such instances, the application will be referred to the alternate State agency or the appropriate Food and Nutrition Service regional office.

Award Procedure:

When application is approved, schools make agreements with appropriate agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Agreements become effective upon approval by the State Agency or FNSRO, where applicable.

Appeals:

None. The State Agency or Food and Nutrition Service regional office, where applicable, is responsible for determining a school's eligibility.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Federal funds are made available for breakfast assistance in accordance with Section 4 of the Child Nutrition Act on a performance basis by: (1) multiplying the number of paid breakfasts served to eligible children during the fiscal year by a National Average Payment (NAP) prescribed by the Secretary; (2) multiplying the number of breakfasts served free to eligible children by a NAP prescribed by the Secretary for free breakfasts; and, (3) multiplying the number of reduced price breakfasts served to eligible children by a NAP prescribed by the Secretary for reduced-priced breakfasts. The amount of Federal funds given the grantee is the sum of the products obtained

from these three computations, plus an additional 6 cents for every breakfast served. Schools with a high percentage of needy may receive additional payments. The statistical factors used in this formula are: (1) The NAP's; (2) the number of paid breakfasts served; and (3) the number of breakfasts served free or at reduced price to eligible children. The NAP's are prescribed by the Secretary and adjusted each July 1, in accordance with the Food Away From Home component of the Consumer Price Index for All Urban Consumers. The source of this adjustment factor is the Bureau of Labor Statistics. The source of the numbers of breakfasts served, including the number served free or at reduced price, is the monthly programmatic reports submitted to Food and Nutrition Service. This program has no maintenance of effort (MOE) requirements.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal-year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State Agencies, schools and institutions file monthly reports on the number of meals served, by type, to claim for reimbursement. Schools and institutions must submit final meal claims to the State no later than 60 days after claiming month. States must submit final program reports to Food and Nutrition Service no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. States must submit a final fiscal year grant closeout report to the Food and Nutrition Service no later than 120 days after the end of the fiscal year to which they pertain. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Schools must maintain full and accurate records of the breakfast program. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, records shall be retained beyond the 3 year period until audit findings have been resolved.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 09 \$2,568,996,185; FY 10 est \$2,897,802,000; FY 11 est \$311,863,000

Range and Average of Financial Assistance:

Federal cash assistance for school year 2009 ranged from 26.0 to 279.0 cents per meal depending on income levels of the individual participant and upon the number of free and reduced price meals served by the school. Individual State grants vary according to participation in the program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations 7 CFR Part 220-School Breakfast Program regulations, 7 CFR Part 245-Determining Eligibility for Free and Reduced Price Meals and Free Milk in Schools.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302
Phone: (703) 305-2590.

Website Address:

http://www.fns.usda.gov

RELATED PROGRAMS:

10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 84.010 Title I Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.555 NATIONAL SCHOOL LUNCH PROGRAM

School Lunch Program

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751 1760, 1779;.

OBJECTIVES:

To assist States, through cash grants and food donations, in making the school lunch program available to school children and to encourage the domestic consumption of nutritious agricultural commodities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federally appropriated National School Lunch Program funds are available to each State agency to reimburse participating public and nonprofit private schools, of high school grades or under, including residential child care institutions, for lunches meeting the nutritional requirements prescribed by the Secretary of Agriculture, served to eligible children. Schools meeting eligibility criteria may be reimbursed for snacks served to children enrolled in eligible after school hour care programs. Participating schools are reimbursed at rates that are adjusted on an annual basis to reflect changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers. For the period July 1, 2010, through June 30, 2011, the rates of reimbursement are 26.00 cents general cash assistance for paid lunches, 232.00 cents reduced price lunches and 272.00 cents for each free lunch. The maximum reduced price charge for lunch is 40 cents. Schools that served 60 percent or more free or reduced price lunches in the second preceding school year received an additional 2 cents general cash assistance for all lunches served. The reimbursement for snacks in after school care programs is 74.00 cents for each free snacks, 37.00 cents for each reduced price snack and 6.00 cents for each paid snack. In addition to cash reimbursement, the national average value of donated commodities for each lunch is 19.50 cents, for the period July 1, 2010, through June 30, 2011, and is adjusted annually to reflect changes in the Price Index for Food Used in Schools and Institutions. All participating schools must agree to serve free and reduced price meals to eligible children. Refer to Program Regulations (CFR)

Part 210 -- National School Lunch Program

Part 215 -- Special Milk Program

Part 220 -- School Breakfast Program

Part 235 -- State Administrative Expense

Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

State and U.S. Territory agencies (except territories subject to the Compact of Free Association), public and nonprofit private schools of high school grade and under; public and nonprofit private residential child care institutions, except Job Corps Centers, residential summer camps that participate in the Summer Food Service Program for children and private foster homes. Schools and residential child care institutions desiring to participate must agree to operate a nonprofit food service that is available to all children regardless of race, sex, color, National origin, age, or disability.

American Recovery Act and Reinvestment Act of 2009, Public Law 111-5 funds are available for certain equipment purchases. Those wishing to access these funds may do so under program 10.579, Child Nutrition Discretionary Grants.

Beneficiary Eligibility:

All children enrolled in schools where the lunch program is operating may participate. Lunch is served free to children who are determined by local educational agencies to have household income levels at or below 130 percent of poverty, and at a reduced price to children from households with incomes higher than 130 but at or below 185 percent of the poverty line respectively. Such determinations are made in accordance with income eligibility guidelines prescribed by the Secretary and cross reference household income with household size. The Secretary announces these income eligibility guidelines by July 1. The statistical source used in making such revisions is the Federal Income Poverty Guidelines. Children from households certified to receive Supplemental Nutrition Assistance Program (SNAP) benefits, the Food Distribution Program on Indian Reservations, Temporary Assistance for Needy Families and some children in Head Start Programs are automatically eligible for free meals. Homeless children, children in certain runaway and homeless youth grant programs, and migrant children are also automatically eligible for free meals.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The application forms as furnished by FNS or the State agency, as applicable, must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public schools in all States make application to the State educational agency unless the State applies and is approved for a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. Nonprofit private schools should also apply to the State educational agency in most States. In some States, however, the State educational agency is prohibited by statute from disbursing Federal funds to nonprofit private schools. In such instances, the application should be submitted to the alternate State agency or the appropriate Food and Nutrition Service regional office.

Award Procedure:

The State agency, or Food and Nutrition Service Regional Office (FNSRO) where applicable, reviews the written application of a school or a residential child care institution and, upon determination of eligibility, makes a written agreement with the school or institution for participation in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time Agreements become effective upon approval by the State agency or FNSRO, where applicable.

Appeals:

The State agency or FNSRO, where applicable, is responsible for determining a school's eligibility.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: The funding formula for the general cash for food assistance phase of the program is set forth in Section 4 of the National School Lunch Act, as amended; the formula for special cash assistance is described in

Section 11 of the Act. The program is funded on a performance basis. For every lunch served during the applicable fiscal year, the State receives a payment from funds made available under Section 4. In addition, the State receives a payment from Section 11 funds for each lunch served to a child eligible for free or reduced price lunches. The amounts of such per-lunch payments are determined by "National Average Payment" (NAP) factors. The amount due the State under this program is obtained by: (1) multiplying the total number of eligible paid lunches and free and reduced price lunches served during the fiscal year by the Section 4 NAP factor for lunches; (2) multiplying the number of free lunches served by the Section 11 NAP factor for free lunches; (3) multiplying the number of lunches served at reduced price by the Section 11 NAP factor for reduced price lunches; (4) multiplying the total number of lunches served during the fiscal year in school food authorities which served 60 percent or more free or reduced price lunches in the second preceding school year by \$.02; and (5) adding together the four products obtained thereby. The NAP factors are prescribed by the Secretary in accordance with Sections 4 and 11, respectively, of the Act; the numbers of lunches are obtained from programmatic reports submitted to Food and Nutrition Service. In addition, the funding formula for snacks in after school care programs is described in Section 17 A of the Act. Section 11(a)(3) of the Act requires that the NAP factors be adjusted annually, according to changes in the Food Away From Home series of the Consumer Price Index for All Urban Consumers; the source is the Bureau of Labor Statistics. Each State must appropriate from State revenues and use, for program purposes, an amount equal to 30 percent of the Section 4 funds made available to the State in the 1980-81 school year. Also, commodity schools are eligible to receive donated commodities equal in value to the sum of section 6 and section 4 assistance rates, except that up to 5 cents of this amount may be received in cash for processing and handling commodities. In addition, commodity schools are eligible for Section 11 free and reduced price meal reimbursements.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal-year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies and schools file monthly reports on the number of meals served, by type, to claim program funds. School food authorities must submit final meal claims to the State no later than 60 days after the claiming month, and States must submit final program reports to Food and Nutrition Service no later than 90 days after the claiming month. States must submit final fiscal year grant close out reports to Food and Nutrition Service within 120 days after the end of the fiscal year to which they pertain. No cash reports are required. No progress reports are required. States must submit final fiscal year grant close out reports to Food and Nutrition Service within 120 days after the end of the fiscal year to which they pertain. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Schools must maintain full and accurate records of their lunch programs. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3 year period as long as required for the resolution of audit findings.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 09 \$8,983,711,452; FY 10 est \$10,218,168,000; FY 11 est \$10,713,047,000 - American Recovery Act and Reinvestment Act of 2009,

Public Law 111-5 funds are available for certain equipment purchases. Those wishing to access these funds may do so under program 10.579, Child Nutrition Discretionary Grants.

Range and Average of Financial Assistance:

State grants vary according to participation in the program. For school year 2010-2011, reimbursements for meals range from 6.0 cents for "paid" snacks to 466.00 cents for free lunches in Alaskan school districts serving more than 60 percent free and reduced price lunches.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, a total of 5.18 billion lunches were served in the National School Lunch Program. Of these, 62.5% were provided free or at reduced price. The number of schools and residential child care institutions participating in the program was 101,605 in FY 2009 and 101,589 in FY 2010. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, Food and Nutrition Service, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302 Email: Cindy.Long@fns.usda.gov Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.556 Special Milk Program for Children; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 84.010 Title I Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.556 SPECIAL MILK PROGRAM FOR CHILDREN

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1772 and 1779.

OBJECTIVES:

To provide subsidies to schools and institutions to encourage the consumption of fluid milk by children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to State agencies to encourage the consumption of fluid milk by children in public and private nonprofit schools of high school grade and under, public and private nonprofit nursery schools, child-care centers, settlement houses, summer camps, and similar nonprofit institutions devoted to the care and training of children, except Job Corps Centers, provided that these schools and institutions do not participate in a meal service program authorized under the National School Lunch Act or the Child Nutrition Act of 1966. The Child Nutrition Amendments of 1986 expanded eligibility in the program to include children in split session kindergarten and pre-kindergarten programs in nonprofit schools and institutions who do not have access to the Federal meal service program operating in schools the children attend. Disbursement to States is made on the basis of the number of half pints of milk served to non-needy children, using a reimbursement rate specified by law. Milk served free to eligible needy children is reimbursed at the average cost of a half pint of milk. Please refer to Program Regulations (CFR):

Part 215 -- Special Milk Program
Part 235 -- State Administrative Expense
Part 245 -- Free and Reduced Price Eligibility.

Applicant Eligibility:

Any State or U.S. Territory (except territories subject to the requirements of the Compact of Free Association). Any public and nonprofit private school of high school grade or under, and public and private nonprofit residential and nonresidential child care institutions, except Job Corps Centers, may participate in the Special Milk Program upon request if it does not participate in a meal service program authorized under the National School Lunch Act or the Child Nutrition Act of 1966. This generally includes nonprofit nursery schools, child-care centers, settlement houses and summer camps. Schools with split session kindergarten and pre-kindergarten programs can receive subsidies for milk served to children in the split session kindergartens and pre-kindergartens who do not have access to another meal service program operating in the school. All schools and child care institutions which participate must agree to operate the program on a nonprofit basis for all children without regard to race, sex, color, National origin, age or disability.

Beneficiary Eligibility:

All children enrolled in schools and institutions in which the Special Milk Program is in operation who do not have access to other Child Nutrition Programs, may participate in the program.

Credentials/Documentation:

Applicant organizations must furnish evidence of nonprofit status. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public schools in all States make application to the State educational agency unless the state applies and is approved a waiver to designate an alternate agency. Appropriate forms may be obtained from this agency. In most cases, nonprofit private schools and institutions also apply to the State educational agency. However, in some States, the State educational agency is prohibited by State statute from disbursing Federal funds to nonprofit private schools and institutions. In such instances, the application will be referred to the appropriate alternate State agency or the appropriate Food and Nutrition Service regional office (FNSRO).

Award Procedure:

The State agency or the FNSRO, where applicable, reviews the written application of school or child care institution and, upon determination of eligibility, makes a written agreement with school or sponsor for participation in the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Agreements are effective upon approval of the State Agency or the FNSRO, where applicable.

Appeals:

None. State Agencies or the Food and Nutrition Service Regional Offices, where applicable, are responsible for determining eligibility of a school or institution.

Renewals:

Permanent, amended as necessary.

Formula and Matching Requirements:

Statutory Formula: The reimbursement rate for each paid half pint of milk served to children with household income levels above 130 percent of the poverty line in schools and institutions that participate in the Special Milk Program during school year 2010-11 is 17.5 cents, and the reimbursement rate for each 1/2 pint of milk served free (in pricing programs with a free milk option) to children with household income levels at or below 130 percent of poverty is the average cost of 1/2 pint of milk. This rate is adjusted each school year to correspond to the change in the Producer Price Index for Fluid Milk Products published by the Bureau of Labor Statistics. The statistical factor used for beneficiary eligibility for free milk is 130 percent of the poverty line and the source is the Federal income poverty guidelines. There is no matching guideline. The cost of milk in excess of the Federal reimbursement must be borne by sources within the States (7 CFR Part 215). Disbursement to States is based on the number of half pints served to children. This program has no maintenance of effort (MOE) requirement.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement on a fiscal year basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies, schools and institutions file monthly reports on program operations to claim for reimbursement. Schools must submit final claims to the State agency no later than 60 days after the claiming month, and States must submit final program reports to Food and Nutrition Service no later than 90 days after the claiming month. For each fiscal year, States must submit final grant close out reports to the Food and Nutrition Service not later than 120 days after the close of the fiscal year to which they pertain. No cash reports are required. No progress reports are required. For each fiscal year, States must submit final grant close out reports to the Food and Nutrition Service not later than 120 days after the close of the fiscal year to which they pertain. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Schools and institutions must maintain full and accurate records of Special Milk Program operations. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain except that, if audit findings have not been resolved, the records shall be retained as long as required for their resolution. This program is subject to periodic audits.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 09 \$1,436,574; FY 10 est \$11,888,000; FY 11 est \$10,597,000

Range and Average of Financial Assistance:

For fiscal year 2010 average Federal assistance is 17.5 cents for each half-pint of milk served to children with income levels above 130 percent of poverty. State grants vary according to participation in the program. Average Federal assistance for half-pints served free is 17.5 cents.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 215, "Special Milk Program for Children," and 7 CFR Part 245, "Determining Eligibility of Free and Reduced Price Meals and Free Milk in Schools."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302
Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov/cnd>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558 Child and Adult Care Food Program; 10.559 Summer Food Service Program for Children; 84.010 Title I Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.557 SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN

WIC Program

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.
American Recovery and Reinvestment Act of 2009, Public Law 111-5, Public Law 108-108-265, 7 U.S.C 1746.

OBJECTIVES:

To provide low-income pregnant, breastfeeding and postpartum women, infants, and children to age five determined to be at nutritional risk, at no cost, supplemental nutritious foods, nutrition education, and referrals to health and social services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants are made to State health departments or comparable agencies, Indian tribes, bands, or intertribal councils, or groups recognized by the Bureau of Indian Affairs, Department of the Interior, or the Indian Health Service of the Department of Health and Human Services. These agencies distribute funds to participating local public or nonprofit private health or welfare agencies. Funds are expended to pay for supplemental foods, nutrition education and health care referrals for participants, as well as specified nutrition services and administrative costs, including certification services. State agencies are provided Federal funds according to legislative and regulatory formulas. Only local agencies qualifying under State agency applications with formal agreements may operate WIC programs.

Applicant Eligibility:

A local agency is eligible to apply to deliver locally the services of the WIC Program, provided that: (1) it serves a population of low-income women, infants, and children at nutritional risk; and (2) it is a public or private nonprofit health or human service agency. All local agencies must apply through the responsible State, Indian Tribal Organization or U.S. Territory agency.

Beneficiary Eligibility:

Pregnant, breastfeeding and postpartum women, infants, and children up to 5 years of age are eligible if: (1) they are individually determined by a competent professional to be in need of the special supplemental foods supplied by the program because of nutritional risk; and (2) meet an income standard, or receive or have certain family members that receive benefits under the Food Stamp, Medicaid or Temporary Assistance for Needy Families Programs. They must also reside in the State in which benefits are received.

Credentials/Documentation:

Individuals are certified as meeting an income standard, or as participating in certain other means-tested Federal programs. Certification regarding nutritional need for supplemental foods is determined by local level professionals. As of April 1999, all State agencies were using uniform criteria to determine nutrition

risk. Costs are determined in accordance with OMB Circular No. A-87 for State and local governments. The State designated official responsible for ensuring that the program is operated in accordance with program requirements must sign the State Plan and Federal-State Agreement pursuant to program regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Local agencies and retailers must apply to the State agency in writing. Individual participants apply for WIC benefits at an approved local agency.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies for distribution to approved local agencies subject to available funds.

Deadlines:

Aug 15, 2010 A State plan for the next fiscal year is required by August 15. Only substantive changes to the currently approved State plan must be submitted.

Range of Approval/Disapproval Time:

Approval determination is made within 30 days of submission of a completed plan or amendment.

Appeals:

Local agency or food vendor appeals: The State agency provides a hearing appeal procedure for a food vendor or local agency adversely affected by certain State or local agency actions. The adverse action may be postponed until a hearing decision is reached. Participant appeals: The State agency provides a hearing appeal procedure for any individual that receives a State or local agency action that results in a claim for repayment of the cash value of improperly issued benefits, denial of participation, or disqualification from the WIC Program.

Renewals:

The program is authorized through September 30, 2010; it presently operates under the authority of the Child Nutrition Act of 1966, as amended.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 246, Public Law Child Nutrition Act of 1966, as amended. Grants are allocated on the basis of formulas determined by the Department of Agriculture which allocate funds for food benefits, and nutrition services and administration costs. This program has no matching requirements. No matching funds are required, but some States contribute nonfederal funds in support of a larger WIC Program in their State. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are released for the fiscal year using an electronic transfer system. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: quarterly.

Reports:

Monthly report of participation, value of food or food instruments issued. Recovery Act Funds require separate reporting requirements which are being developed for tracking and accounting for FY 09.. Operating expenses, and funds withdrawn from the Federal letter of credit. Monthly report of participation. Operating expenses, and funds withdrawn from the Federal letter of credit. Financial Management Reviews and Management Evaluations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Full and complete records concerning program operations including financial operations, food delivery systems, food instrument issuance and redemption, equipment purchases and inventory, certification, nutrition education, civil rights and fair hearing procedures.

Account Identification:

12-3510-0-1-605.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$7,763,400,000; FY 11 est not reported. - FY 09 \$6,985,791,742; FY10 (est) \$7,672,544,000; FY11 (est) \$7,984,046,000-Pursuant to the Recovery Act authorization, "American Recovery and Reinvestment Act of 2009", Public Law 111-5 ("Recovery Act"), WIC's obligation for Federal Fiscal Year 09 included \$38,175,022 of Recovery Act funds.

Range and Average of Financial Assistance:

For fiscal year 2009, FNS approved the operation of the WIC Program in 90 State agencies. This figure includes 50 States, 34 Indian agencies, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Marianas and the District of Columbia. During fiscal year 2009, an average of approximately 9,121,799 women, infants and children received WIC benefits every month. Although food package costs varied widely among the States, the monthly average food package cost for fiscal year 2009 was approximately \$42.41 per person. Even though the application of these Recovery Act funds were tracked and reported separately from normal financial reporting requirements, the participation and food cost calculations reported here include supplemental funding spent for FY 09.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Unavailable. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 246; "WIC State Plan Guidance" is available at no charge from FNS.

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Debra R. Whitford, Food and Nutrition Service, Supplemental Food Programs Division, 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Debbie.Whitford@fns.usda.gov Phone: (703) 305-2746 Fax: (703) 305-2196.

Website Address:

<http://www.fns.usda.gov/wic>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP); 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.558 CHILD AND ADULT CARE FOOD PROGRAM

CACFP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, Sections 9, 11, 14,

16 and 17, as amended, 89 Stat. 522-525, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children and elderly or impaired adults enrolled in nonresidential day care facilities, children attending afterschool care programs in low-income areas, and children residing in emergency shelters.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available for disbursement to eligible institutions to reimburse their costs in providing meals and snacks to children and adults enrolled in nonresidential day care, children attending afterschool care programs, and children residing in emergency shelters. Disbursement is made on the basis of the number of breakfasts, lunches, suppers, and snacks served, using annually adjusted reimbursement rates specified by law. The program allows reimbursement for up to two meals and one snack served each day to children through the age of 12, children of migrant workers through the age of 15, and persons with disabilities, in child care centers and day care homes. Adult day care centers receive reimbursement for up to three meals served each day to enrolled adults who are functionally impaired or 60 and older. The program also provides reimbursement to emergency shelters for up to three meals served to residents through age 18. In at-risk afterschool care programs, reimbursement is available for one snack served each day to children through age 18. Reimbursement may also be available for one additional meal in afterschool care programs in eligible states. All program meals must meet minimum requirements of the United States Department of Agriculture (USDA). Funds are also paid to participating State agencies for administrative expenses related to program staffing and oversight.

Applicant Eligibility:

The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. Where the State does not currently administer the program, an applicant institution may sign an agreement and receive funds directly from USDA.

Beneficiary Eligibility:

Approved institutions providing nonresidential day care services may participate in the program. Eligible public and nonprofit private organizations may include day care centers, outside-school-hours care centers, settlement houses, family and group day care homes, Head Start programs, and institutions providing day care services to children with disabilities. Private for-profit centers may also participate if at least 25 percent of the children in care (enrolled or licensed capacity, whichever is less) are eligible for free or reduced price school meals or receive benefits under Title XX (of the Social Security Act). Also eligible for participation are nonprofit centers which provide nonresidential adult day care, and private for-profit centers if the center receives compensation under Title XIX (of the Social Security Act) or Title XX, and at least 25 percent of the adults enrolled in the center receive benefits under Title XIX, Title XX, or a combination of both. Emergency shelters which provide shelter and meals to children experiencing homelessness, and at-risk afterschool care programs in low-income areas are also eligible. Any eligible institution may participate in the Child and Adult Care Food Program upon request with State agency approval.

Credentials/Documentation:

The allowability of costs incurred by States in administering the program will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019). Applicants that are not public or proprietary institutions must furnish evidence of tax-exempt status under the Internal Revenue Code of 1986. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process required by the State. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official

designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Institutions apply to the responsible State agency. Where the State does not administer the program, the application is submitted to the USDA Food and Nutrition Service (FNS) Regional Office. This program is subject to the provisions of USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019).

Award Procedure:

When the application is approved, the institution signs an agreement with the administering agency.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The administering agency must provide a hearing procedure for local institution grievances.

Renewals:

Not less frequently than every three years.

Formula and Matching Requirements:

Statutory Formula: Program funds are provided to the States through letters of credit to reimburse institutions for costs of food service operations, including administrative expenses. Appropriate rates of reimbursement, multiplied by the number of meals served to enrolled participants, represent the basic program payment that an institution receives for each meal served. The assigned rates of reimbursement are adjusted annually on July 1. For child care centers, adult day care centers, emergency shelters, and at-risk afterschool care programs, the annual adjustment reflects changes in the Food Away from Home series of the Consumer Price Index for All Urban Consumers. For day care homes, the adjustment reflects changes in the Food at Home series of the Consumer Price Index for All Urban Consumers. Donated foods or cash in lieu of donated foods are also made available. Program payments to child care or adult day care centers depend on the number and types of meals served to enrolled participants, multiplied by the appropriate rate of reimbursement. Rates for meals served to enrolled children and eligible adults in day care centers are determined by the participants' eligibility for free, reduced price, or paid meals using USDA Income Eligibility Guidelines. All resident children through age 18 in eligible emergency shelters receive free meals without application. At-risk afterschool care programs, which must be located in low-income areas, are reimbursed at the free rate for all snacks--and meals in eligible States--served to children through age 18. Sponsoring organizations of day care homes are reimbursed at a gradational administrative rate based on the number of homes they operate. The level of reimbursement for meals served to enrolled children in day care homes is determined by economic need based on either the location of the day care home, the income of the day care provider, or the income of an individual child's household. Meals served in day care homes to the provider's own children are reimbursable only if those children are determined eligible for free and reduced price meals, and at least one other nonresident child is participating in the meal service. The reimbursement for food service is passed on by the sponsoring organization to the day care facility. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For the period covered by the agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Institutions file monthly reports on program operations to claim reimbursement for meals served. They must submit final meal claims no later than 60 days after the claiming month. States must then submit final program reports to FNS no later than 90 days after the claiming month. No cash reports are required. No progress reports are required. No expenditure reports are required. No

performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133. For-profit institutions are subject to audit by their administering State agencies.

Records:

Institutions must maintain full and accurate records of program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 09 \$2,451,682,273; FY 10 est \$2,615,929,000; FY 11 est \$2,728,754,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 226 Regulations and the Child and Adult Care Food Program fact sheet are available at no charge. Administrative and nutrition guidance is available at no charge to program participants from the administering agency.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long 3101 Park Center Drive Room 640, Alexandria, Virginia 22302
Phone: 703-305-2590

Website Address:

<http://fns.usda.gov>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.559 Summer Food Service Program for Children; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**10.559 SUMMER FOOD SERVICE PROGRAM FOR CHILDREN
SFSP**

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, Sections 9, 13 and 14, as amended, 42 U.S.C. 1758, 1761 and 1762a.

OBJECTIVES:

To assist States, through grants-in-aid and other means, to conduct nonprofit food service programs for low-income children during the summer months and at other approved times, when schools are out of session or are closed for vacation.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available for disbursement to eligible service institutions (sponsors) which provide free meals to children in areas where at least 50 percent of the children meet the income eligibility criteria for free and reduced price lunches. Meals may be served to children 18 and younger, and to individuals over 18 who participate in State-approved school programs for persons with disabilities. The program generally operates during the months of May through September at site locations where regularly scheduled food services are provided for children. Sites may also participate in the program from September through May if an area school is closed because of an emergency situation. Sponsors operating food programs for children on school vacation under a continuous year-round calendar may apply for participation in other months. Reimbursement may be paid for one meal and one snack or two meals per child each day. Camps and sites primarily serving children of migrant workers may be approved to serve up to three reimbursable meals each day. Meals must meet minimum requirements of the United States Department of Agriculture (USDA). Funds are also paid to participating State agencies for administrative expenses related to program staffing and oversight.

Applicant Eligibility:

The State or U.S. Territory agency applies for and signs an agreement to receive Federal funds for disbursement. Where the State does not currently administer the program, an applicant institution may sign an agreement and receive funds directly from USDA.

Beneficiary Eligibility:

A service institution that conducts a regularly scheduled program for children from areas in which poor economic conditions exist is eligible to participate as a sponsor in the program. Sponsors include public or private nonprofit school food authorities; public or private nonprofit colleges or universities operating the National Youth Sports Program during the months of May to September; and units of local, municipal, county, or State governments. Other private nonprofit organizations are eligible to participate under certain conditions. Sponsors which develop food service programs for children during school vacations under a continuous school calendar may also participate. Public or private nonprofit residential or nonresidential summer camps may also participate; however, at camps, reimbursement will only be paid for meals served to enrolled children who are individually determined to be eligible for free and reduced price school meals under USDA Income Eligibility Guidelines.

Credentials/Documentation:

The allowability of costs incurred by States in administering the Program will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process required by the State. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Service institutions may apply directly to the responsible State agency. Where the State does not administer the program, the application is directed to the USDA Food and Nutrition Service regional office. This program is subject to the provisions of USDA's Uniform Federal Assistance Regulations (7 CFR Parts 3016 and 3019).

Award Procedure:

When an application is approved, the sponsor enters into an agreement with the administering agency.

Deadlines:

Feb 15, 2009 A State Management Administration Plan is annually due on February 15. States establish the deadline date for acceptance of sponsor applications.

Range of Approval/Disapproval Time:

Not applicable to States. The administering agency must make a decision within 30 calendar days of receiving a complete and correct sponsor application. If an incorrect application is received, the State agency must notify the applicant within 15 days and provide technical assistance to complete the application.

Appeals:

The administering agency must have a hearing procedure for local institution grievances.

Renewals:

Annually.

Formula and Matching Requirements:

Statutory Formula:

Matching requirements are not applicable to this program.

This program does not have MOE requirements. Program funds are earned by the States and institutions on a per meal reimbursement basis with rates adjusted annually on January 1, to reflect changes in the "Food Away from Home" series of the Consumer Price Index for All Urban Consumers.

Length and Time Phasing of Assistance:

For the period covered by the agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

Sponsors file monthly reports on program operations to claim reimbursement for meals served. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in OMB Circular A-133.

Records:

Service institutions must maintain full and accurate records of program operations for a period of 3 years after the end of the fiscal year to which they pertain. However, where there are unresolved audit findings, records must be retained until there is satisfactory resolution of all audit issues.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 09 \$356,332,519; FY 10 est \$376,521,000; FY 11 est \$397,226,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 225 regulations and the Summer Food Service Program fact sheet, are available at no charge. Administrative and nutrition guidance is available at no charge to program participants from the administering agency.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive, Room 640, Alexandria, Virginia 22302
Phone: (703) 305-2590.

Website Address:

<http://fns.usda.gov/cnd>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.560 STATE ADMINISTRATIVE EXPENSES FOR CHILD NUTRITION

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act of 1966, as amended, 42 U.S.C. 1776, 1779.

OBJECTIVES:

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA donated commodities to schools or child or adult care institutions are also provided with State Administrative Expense (SAE) funds.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

SAE funds must be used for purposes specified in the legislation, consistent with the cost principles and constraints on allowable and unallowable costs, and indirect cost rates as prescribed in OMB Circular No. A-87. These funds may be used, under certain conditions, for the procurement of supplies, equipment, and services.

Applicant Eligibility:

State agencies responsible for the conduct of Child Nutrition Programs, and agencies responsible for the distribution of USDA donated commodities to schools, and/or child or adult care institutions, including agencies in the U.S. Territories.

Beneficiary Eligibility:

State agencies responsible for the conduct of Child Nutrition Programs, and agencies responsible for the distribution of USDA donated commodities to schools, and/or child or adult care institutions, including agencies in the U.S. Territories.

Credentials/Documentation:

Costs are to be determined and documented in accordance with agency regulations (7 CFR 235) and USDA Uniform Federal Assistance Regulations (7 CFR Parts 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The State agency enters into a written agreement with the Food and Nutrition Service (FNS) on a form prescribed by the FNS. The standard application forms as furnished by the Federal agency must be used for this program.

Award Procedure:

Release of funds to a State agency is contingent upon receipt and approval of their plan for disbursement of SAE funds. A State agency must submit an initial Plan in fiscal year 1997 and their estimated funding needs for the duration of fiscal year 1997. Thereafter, in accordance with Public Law 104-193 which

deleted the requirement for an annual plan unless there are substantive changes, a State agency would only submit revisions to the initial plan.

Deadlines:

May 01, 2010 Data on additional funds needed or funds not needed and to be returned as applicable, are due by May 1 of each year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Annually.

Formula and Matching Requirements:

Statutory Formula: For the National School Lunch Program, the School Breakfast Program, and the Special Milk Program, funds are allocated on the basis of an amount equal to one percent of the total funds used in the State for these programs during the second preceding year based on FNS program and fiscal data. However, no State receives less than \$200,000 or the amount it received in fiscal year 1981, whichever is larger. For the Child and Adult Care Food Program, a sliding grant is made based on FNS program and fiscal data. In addition, the Secretary has discretionary authority to provide funds to States for program improvement, based on FNS program and fiscal data. The authorizing legislation which establishes eligibility for SAE funds is the Child Nutrition Act of 1966, as amended, Section 7 (42 U.S.C. 1776). This program has a State Funding Requirement (SFR). See funding agency for details. Any reduction of funds from State sources below the fiscal year 1977 level will result in a withdrawal of Federal funds under this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funded each fiscal year during the period covered by the agreement. A State agency may carry over up to twenty percent of SAE funds allocated to it for obligation and expenditure during the succeeding fiscal year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each State agency shall report information on the use of SAE funds. Cash reports are not applicable. Progress reports are not applicable. Each State agency shall submit quarterly financial status information on SAE funds on forms provided by FNS and will continue to report information on the use of SAE funds, after the end of the fiscal year to which they pertain, until all unpaid obligations have been liquidated. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in A-133.

Records:

Each State agency shall keep records on administrative expenses conforming with the applicable SAE plan and shall maintain current accounting records of SAE funds that shall adequately identify fund authorizations, obligations, unobligated balances, assets, liabilities, outlays, and income.

Account Identification:

12-3539-0-1-605.

Obligations:

(Formula Grants) FY 09 \$174,133,982; FY 10 est \$192,227,332; FY 11 est \$208,653,000

Range and Average of Financial Assistance:

From \$76,297 to 7,453,406; Average:\$770,909.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations 7 CFR Part 235, "State Administrative Expense Funds."

Regional or Local Office:

None. See Appendix IV of the Catalog.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640, Alexandria, Virginia 22302
Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov/cnd>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program;
10.556 Special Milk Program for Children; 10.558 Child and Adult Care Food
Program; 10.559 Summer Food Service Program for Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.561 STATE ADMINISTRATIVE MATCHING GRANTS FOR THE SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

Supplemental Nutrition Assistance Program (Administrative Match)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Food and Nutrition Act of 2008, as amended, Section 16, Public Law 95-113, 91 Stat. 958, 7 U.S.C. 2025; Public Law 99-198, Public Law 105-33, Public Law 105-185, Public Law 110-246, American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide Federal financial aid to State agencies for costs incurred to operate the Supplemental Nutrition Assistance Program (SNAP).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To provide Federal funding for administrative costs incurred by State and local agencies to operate SNAP. Unless authorized by Federal legislation, outlays charged to other Federal grants or to Federal contracts may not be considered as State agency costs. Submission of claims for payments of administrative costs shall be in accordance with 7 CFR 277 of the SNAP Regulations. Unless authorized by Federal legislation, outlays charged to other Federal grants or to Federal contracts may not be considered as State agency costs.

Applicant Eligibility:

Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.).

Beneficiary Eligibility:

Agreements are between USDA-FNS and State cooperators. (U.S. Territories qualify as States for grant purposes.).

Credentials/Documentation:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR part 3016, must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State governments may apply for Federal financial aid in accordance with requirements outlined in 7 CFR Parts, 271 and 277.

Award Procedure:

Food and Nutrition Service funds State Agency cooperator. No preapplication forms are required. A plan of operation must be submitted by the State agency prior to approval for funding. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Deadlines:

Aug 15, 2009 Budgets are due to FNS August 15.

Range of Approval/Disapproval Time:

30 days.

Appeals:

Not Applicable.

Renewals:

Annually, as of October 1.

Formula and Matching Requirements:

Statutory Formula: Title Food and Nutrition Act of 2008. Federal agency reimburses 50 percent of reasonable, necessary, and allowable State administrative expenses.

Matching Requirements: Percent: 50%. Federal agency reimburses 50 percent of reasonable, allowable, and necessary State administrative expenses. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annually by fiscal year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Reports are submitted as required by 7 CFR Parts 272 and 277. Financial Management Reviews, Management Evaluations, and Quality Control Reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, "Audits of State Local Governments, and Nonprofit Organizations," State and local government, and Nonprofit organizations that expend Federal financial assistance of \$500,000 or more within any fiscal year shall have either a single audit or (in certain cases) a program specific audit made for that year. Audits may be conducted less

frequently under conditions specified in OMB Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all records pertinent to a grant program shall be retained for a period of 3 years. Additionally, those record retention requirements outlined in 7 CFR Part 3016, apply to this program.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 09 \$3,269,810,000; FY 10 est \$3,458,769,000; FY 11 est \$3,384,000,000 - Pursuant to the Recovery Act authorization, American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Recovery Act), \$145,000,000 in 100 percent Federal funds was provided for State Administrative Expenses in FY09 and \$150,000,000 was provided in FY10. The Department of Defense Appropriation added an additional \$400,000,000 in 100 percent Federal administrative funds in FY10.

Range and Average of Financial Assistance:

Unavailable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 275, 276, 277 and 3016.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Associate Administrator Jessica Shahin, Supplemental Nutrition Assistance Program, 3101 Park Center Dr., Alexandria, Virginia 22302 Email: Jessica.Shahin@fns.usda.gov Phone: (703) 305-2026.

Website Address:

<http://www.fns.usda.gov/fsp/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.565 COMMODITY SUPPLEMENTAL FOOD PROGRAM

Commodity Supplemental Food Program

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Agriculture and Consumer Protection Act of 1973, Sections 4(a) and 5, as amended, Public Law 93-86, 7 U.S.C. 612c (note); Food and Agriculture Act of 1977, as amended, Public Law 95-113, 91 Stat. 980 (7 U.S.C. 612c note); Public Law 97-98, 95 Stat. 1293 (7 U.S.C. 612c note); Public Law 98-8, 97 Stat. 35 (7 U.S.C. 612c note); Public Law 98-92, 97 Stat. 611 (7 U.S.C. 612c note); Public Law 99-198, 99 Stat. 1590 (7 U.S.C. 612c note); Public Law 100-202; Public Law 101-624, 101 Stat. 3806 (7 U.S.C. 612c note); Public Law 104-127, 110 Stat. 1028 (7 U.S.C. 612c note); Public Law 107-171, 116 Stat. 134 (7 U.S.C. 7901 note); Public Law 110-246, 122 Stat. 1886 (7 U.S.C. 612c note).

OBJECTIVES:

To improve the health and nutritional status of low-income pregnant, postpartum and breastfeeding women, infants, and children up to, and including, age 5, and elderly persons age 60 years and older through the donation of supplemental USDA foods.

TYPES OF ASSISTANCE:

FORMULA GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

USDA foods and administrative grants are made to State agencies, Indian tribes or tribal organizations recognized by the Department of the Interior, or, the appropriate area office of the Indian Health Service of the Department of Health and Human Services. These agencies distribute USDA foods and funds to participating local public or private nonprofit agencies. Federal funding for administrative costs may only be used in making these donated foods and nutrition education available to eligible beneficiaries. USDA foods can only be made available to eligible beneficiaries and cannot be sold, exchanged, or otherwise disposed of without prior specific approval by the Department.

Applicant Eligibility:

Agreements are made between the Department and the State agency or an Indian tribe or tribal organization recognized by the Department of the Interior for the administration of the program or the appropriate area office of the Indian Health Service of the Department of Health and Human Services.

Beneficiary Eligibility:

To be certified as eligible to receive USDA foods through the program, each applicant must be categorically eligible as an infant, child up to and including age five, a pregnant, postpartum or breastfeeding woman, or an elderly person 60 years of age or older, residing in an area where the program operates. States establish income eligibility guidelines for women, infants, and children, which must be set between 100 and 185 percent of Federal poverty income guidelines. At State discretion, women, infant, and children participants may be considered income eligible if participating in existing Federal, State, or local food, health, or welfare programs for low-income persons if the income eligibility limits for the program are equal to or lower than the established limits for the Commodity Supplemental Food Program. Women, infants, and children who receive Supplemental Nutrition Assistance Program benefits, Temporary Assistance for Needy Families, or Medicaid are considered automatically eligible for Commodity Supplemental Food Program. States establish income guidelines for elderly persons, which must be set at or below 130 percent of Federal poverty income guidelines. States may require that participants be at nutritional risk, as determined by a physician or local agency staff. States may also require that an individual reside within the service area of the local agency at the time of application for program benefits. However, States may not require that the individual reside within the area for any fixed period of time.

Credentials/Documentation:

Participants are provided donated foods by local agency personnel or its designee. Costs will be determined in accordance with 2 CFR Part 225 (OMB Circular No. A-87) and 2 CFR Part 230 (OMB Circular No. A-122). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The State agency must require each agency that desires approval as a local agency to submit an application that contains sufficient information to enable the State agency to make a determination of eligibility for that agency. Individual participants may apply for program benefits at an approved local agency.

Award Procedure:

USDA foods and administrative funds are awarded by the Department to State agencies in accordance with the priorities and funding formulas set forth in program regulations and legislation. State agencies, in turn, distribute USDA foods and administrative funds to the local level.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A determination will be made within 30 days of submission of a completed

plan.

Appeals:

Local agency appeals: The State agency provides a hearing appeal procedure for a local agency adversely affected by a State agency action. The right to appeal is granted whenever an action would adversely affect participation.

Individual participant appeals: The State agency provides a hearing appeal procedure for any individual who receives an adverse action which may include the denial or discontinuance of program benefits, disqualification from the program, or a claim to repay the value of USDA foods received as the result of fraud.

Renewals:

None.

Formula and Matching Requirements:

Statutory Formula: Administrative Funds: Public Law 107-171 requires the USDA to provide State agencies with a grant per assigned caseload slot to pay for administrative program costs.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

USDA Foods are made available on an ongoing basis. Administrative funds are provided to States annually, each fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

FNS153, Monthly Report of the Commodity Supplemental Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS153 on a monthly basis. In the report, State agencies must report the number of program participants by population category, the receipt and distribution of commodities, including inventory and other data, and on a quarterly basis information on administrative funds expenditures. SF-425 - Federal Financial Report. State agencies must submit the SF425 to report the financial status of the program at the close of the fiscal year. This report must be submitted within 90 days after the end of the fiscal year. FNS153, Monthly Report of the Commodity Supplemental Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS153 on a monthly basis. In the report, State agencies must report the number of program participants by population category, the receipt and distribution of commodities, including inventory and other data, and on a quarterly basis information on administrative funds expenditures. FNS153, Monthly Report of the Commodity Supplemental Food Program and Quarterly Administrative Financial Status Report. The State agency must submit the FNS153 on a monthly basis. In the report, State agencies must report information on administrative funds expenditures on a quarterly basis, as well as other data. State agencies must monitor local agency performance to insure local agencies are meeting all regulatory requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provision of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," State and local governments, and nonprofit organizations that expend Federal financial assistance of \$500,000 or more within any FY must have either a single or (in certain cases) a program specific audit made for that year. Audits may be conducted less frequently under conditions specified in OMB Circular No. A-133.

Records:

Each State agency must maintain accurate and complete records of all receipts and dispositions as detailed in 7 CFR 247.29(a). Records must be retained for 3 FYs from the end of the FY to which they pertain, or if they are related to unsolved claims, actions, audits or investigations until those activities have been resolved.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 09 \$165,463,692; FY 10 est \$171,434,000; FY 11 est \$176,788,000

Range and Average of Financial Assistance:

On average, \$897,705 in appropriated administrative funding was allocated to each State for FY09. Funding ranged from \$6,503 to \$8,664,883 per State.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 247 is available without charge from the Department.

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Cathie McCullough, 3101 Park Center Drive, Food Distribution Division, Food and Nutrition Service, Department of Agriculture, Alexandria, Virginia 22302-1594 Phone: (703) 305-2680.

Website Address:

<http://www.fns.usda.gov/fdd/programs/csfp/default.htm>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.556 Special Milk Program for Children; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.558 Child and Adult Care Food Program; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.566 NUTRITION ASSISTANCE FOR PUERTO RICO

NAP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Omnibus Budget Reconciliation Act of 1981, as amended, Title 1, Section 116; Public Law 97-35; Public Law 97-253; Public Law 98-204; Public Law 99-114; Public Law 99-157; Public Law 99-182; Public Law 99-198; Public Law 101-624; Public Law 103-66; Public Law 104-127; Public Law 106-387; Public Law 107-171. American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

A cash grant alternative to the Special Nutrition Assistance Program (SNAP) to improve diets of needy persons residing in the Commonwealth of Puerto Rico.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Households receive money to purchase food.

Applicant Eligibility:

The Commonwealth of Puerto Rico alone is eligible.

Beneficiary Eligibility:

Low-income individuals and families are eligible for benefits as determined by the Commonwealth.

Credentials/Documentation:

The Commonwealth is required to document the classes of households that receive benefits and the level of those benefits. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Commonwealth is required to submit an annual plan of operation to the Food and Nutrition Service for approval.

Award Procedure:

The Secretary of Agriculture designates the Commonwealth agency or agencies to operate the program upon submission of the request.

Deadlines:

Jul 01, 2009 July 1 for plan submission.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Program continues once approved unless there is a substantial failure to comply with regulations or withdrawal on the part of the Commonwealth.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. The Department of Agriculture pays 100 percent of the cost of benefits and 50 percent of administrative costs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for one fiscal year at a time. Assistance is provided through the electronic transfer system, consistent with Treasury Fiscal Requirement Manual, Volume I, Part 6, Section 2030. Method of awarding/releasing assistance: by letter of credit.

Reports:

The content and frequency of reports is specified by the Commonwealth's plan of operation. The content and frequency of reports is specified by the Commonwealth's plan of operation. The content and frequency of reports is specified by the Commonwealth's plan of operation. Financial Management Reviews and Management Evaluations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Commonwealth is required to conduct an audit of expenditures at least once every two years, and is subject to audit by the Office of Inspector General, USDA.

Records:

The content and maintenance of records is specified by the Commonwealth's plan of operation.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 09 \$1,760,435,000; FY 10 est \$1,746,351,000; FY 11 est \$1,735,873,000 - Pursuant to the Recovery Act authorization, American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Recovery Act), NAP funding level for Federal Fiscal Year 09 was increased by \$240,133,000.

Pursuant to the Recovery Act authorization, American Recovery and Reinvestment Act of 2010, Public Law 111-5 (Recovery Act), NAP funding level for Federal Fiscal Year 10 was increased by \$254,217,000.

Pursuant to the Recovery Act authorization, American Recovery and Reinvestment Act of 2011, Public Law 111-5 (Recovery Act), NAP funding level for Federal Fiscal Year 10 has been increased by \$246,695,000.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: The Commonwealth was able to raise benefit levels for all recipients and the program served an average of 1.2 million recipients. Fiscal Year 2010: ARRA is 254,217,000 for FFY 2010. Fiscal Year 2011: ARRA is 264,695,000 for FFY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Mid-Atlantic Regional Office, Food and Nutrition Service, Department of Agriculture, Robbinsville, NJ 08691-1598. Contact: Cathie McCullough. Telephone: (609) 259-5025, FTS: (609) 259-5025.

Headquarters Office:

Regional Administrator Yvette Jackson, 300 Corporate Blvd., Robbinsville, New Jersey 08691 Email: Yvette.Jackson@fns.usda.gov Phone: (609) 610-5114.

Website Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Only Puerto Rico may apply.

10.567 FOOD DISTRIBUTION PROGRAM ON INDIAN RESERVATIONS

FDPIR

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5); Food and Nutrition Act of 2008 (Public Law 110-246); Agriculture and Consumer Protection Act of 1973 (Public Law 93-86) , 7 U.S.C 2011-2036.

OBJECTIVES:

The Food Distribution Program on Indian Reservations (FDPIR) is a nutrition assistance program that may be operated on an Indian reservation at the request of a tribe or Indian Tribal Organization (ITO). Eligible low-income households receive a supplemental monthly food package and nutrition education. Households may not participate in FDPIR and the Supplemental Nutrition Assistance Program (SNAP) at the same time.

TYPES OF ASSISTANCE:

PROJECT GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

USDA provides donated foods to authorized ITOs and State Distributing Agencies (SDA) for distribution, free of charge, to qualifying households. FNS makes available to the ITOs and SDAs a minimum of 75% of the administrative funds necessary to operate the program. The ITOs and SDAs must follow national policies in certifying eligible households and issuing USDA donated foods, and must comply with program and financial reporting requirements. The USDA foods may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of USDA. The Federal funds provided must be used to meet expenses incurred

in operating FDPIR. These funds may not be used to purchase real property (land or buildings).

Applicant Eligibility:

The administration of FDPIR is limited to ITOs or to SDAs that assume administration on behalf of/at the request of a tribe(s).

Beneficiary Eligibility:

FDPIR eligibility is limited to low-income households residing on participating reservations or low-income Indian Tribal Households (see definition at 7 CFR 253.2) residing in approved areas near a reservation or in approved service areas in Oklahoma. The ITOs/SDAs are responsible for certifying households based on national eligibility criteria. The income standards vary by household size and are based on 100% of the Federal Poverty Guidelines adjusted by the applicable SNAP standard deduction.

Credentials/Documentation:

Applicant ITO/SDA administrators must submit Form SF-424 (Application for Federal Assistance) and a Plan of Operations. ITOs and SDAs must comply with federal financial management policies and regulations. Applicant households must submit a completed application form and provide documentation in accordance with federal regulations at 7 CFR 253.6. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. ITOs and SDAs that want to administer FDPIR must submit Form SF-424 (Application for Federal Assistance) to the appropriate FNS regional office, as well as a Plan of Operation. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016, must be used for this program.

Award Procedure:

Administrative funding is provided annually by FNS to ITOs and SDAs for use in administering the program. Program benefits for eligible households are provided in the form of a monthly food package, selected by the household in accordance with federal guide rates that are based on household size. ITOs and SDAs order from a list of about 115 available foods and the foods are delivered to the ITOs/SDAs on a predetermined schedule set by the ITO/SDA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

FNS must determine within 30 days of the receipt of a completed application if an ITO/State agency is capable of administering the program. ITOs and SDAs must make foods available to eligible households within 7 days of receipt of the completed application form (1 day for households with no income).

Appeals:

Not Applicable.

Renewals:

Approval to administer the program continues unless a withdrawal is requested or required because of program irregularities, or funding is unavailable. Administrative funding is provided on an annual basis; a new Form SF-424 must be submitted each year. Households are assigned certification periods of varying lengths based on household circumstances. Household certification periods may not exceed one year, except elderly or disabled households may be certified for up to 24 months if household circumstances are stable.

Formula and Matching Requirements:

Statutory Formula: Title 7, Part 253.11.

Matching Requirements: Percent: 25%. The 25% match requirement may be

waived, if compelling justification is provided by the ITO/SDA. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Administrative funding for ITOs/State agencies expires at the end of each fiscal year. Food donations for household distribution are continuous. Method of awarding/releasing assistance: by letter of credit.

Reports:

Form FNS-152, Monthly Distribution of Donate Food to Family Units, captures, on a monthly basis, the number of households certified and number of households/individuals participating. It also captures food inventory (i.e., receipts, distributions, and remaining inventory at end of month). Form FNS-101, Participation in Food Program-by Race, captures racial ethnic data for the month of July each year. No cash reports are required. No progress reports are required. Form SF-425, Federal Financial Report, captures, on a quarterly basis, Federal funding authorized, obligated, and obligated but not liquidated. Also captures non-federal funds used for the program. ITOs and SDAs are required to conduct annual reviews of program operations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each ITO and SDA must maintain accurate and complete program records and reports, including household case files, for 3 years following the close of the fiscal year or until any outstanding audit, claim or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 09 \$115,914,000; FY 10 est \$113,797,000; FY 11 est \$111,040,000 - The amounts include federal administrative funds provided to the ITOs/SDAs that administer FDPIR and funds used to purchase foods for distribution to eligible program participants. They also reflect \$1 million made available each year for enhanced Nutrition Education activities. (Salaries) FY 09 not reported.; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

In FY 2009, the monthly per person food package was approximately \$61. Federal administrative funding to the ITOs and State agencies varies based on number of persons served in each program and the unique operational needs of each program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 253; 7 CFR Part 254; 7 CFR Part 250; FNS Handbook 501

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Nancy L. Theodore, 3101 Park Center Drive, Room 506, Alexandria, Virginia 22302 Email: nancy.theodore@fns.usda.gov Phone: (703) 305-2675 Fax: (703) 305-1410.

Website Address:

<http://www.fns.usda.gov/fdd/programs/fdpi/default.htm>

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.568 EMERGENCY FOOD ASSISTANCE PROGRAM (ADMINISTRATIVE COSTS)

TEFAP

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Sections 204 and 209, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7508; Federal Supplemental Compensation Act of 1982 Amendments, Section 2, as amended, Public Law 98-92, 97 Stat. 608; Food Security Act of 1985, as amended, Section 1569, Public Law 99-198, 99 Stat. 1354; Public Law 100-77, 101 Stat. 536; Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882.

OBJECTIVES:

To help supplement the diets of low-income persons by making funds available to States for processing, storage and distribution costs incurred by State agencies and local organizations in providing food assistance to needy persons. To provide funds to enhance the infrastructure of the emergency feeding network.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:

Administrative funds are provided to State agencies to cover administrative costs incurred at the State and local level in the operation of the program. Funds may only be used for approved administrative expenses, and the State agency is required to pass-through at least 40% of the funds to emergency feeding organizations or expend such funds on their behalf. In addition, the States must match, either in cash or in-kind, the amount of administrative funds not passed-through to emergency feeding organizations. TEFAP Infrastructure Grants are awarded directly to the eligible Emergency Feeding Organizations and can be used for any approved administrative expense. TEFAP Infrastructure Grants require no match.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor, legislature or other authority may receive these administrative funds to support the distribution of commodities to the needy. TEFAP Infrastructure Grants are awarded directly to eligible emergency feeding organizations that participate in TEFAP.

Beneficiary Eligibility:

Public or private non-profit organizations which provide food assistance to the needy, such as food banks, food pantries, and soup kitchens.

Credentials/Documentation:

State agencies and local organizations are required to document their use of the administrative funds, and only those administrative costs permitted under OMB Circular No. A-87, as implemented at 2 CFR Part 225, for State and local governments will be allowed. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Administrative funds are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the National number of persons with income below the poverty level

(60 percent) and of unemployed persons (40 percent). At a minimum, States must make at least 40 percent of the administrative grant available to emergency feeding organizations, or expend such funds on their behalf. TEFAP Infrastructure Grants are awarded directly to eligible emergency feeding organizations based on competitive grant procedures.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Local organizations receiving administrative funds must have an agreement with the State agency or with another local organization participating in the program. Such agreements are ongoing and amended as necessary. TEFAP Infrastructure Grants are a one-time infusion of funds.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251.3.

Matching Requirements: Percent: 100%. States are required to pass-through to emergency feeding organizations at least 40 percent of the administrative funds allocated to the State. States are required to match, either as cash or in-kind, 100 percent of the administrative funds not passed-through to emergency feeding organizations. TEFAP Infrastructure Grants do not require any match or pass-through.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are provided through the electronic transfer system as they are needed for actual expenditures on a fiscal year basis. Any funds which are made available through the initial allocation or a subsequent reallocation that are not used are turned back to the Federal government, and reallocated according to the legislatively mandated formula. Awards made under TEFAP Infrastructure Grants must be spent by September 30, 2011. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Quarterly and annual financial reports are made on FNS-667. TEFAP Infrastructure Grants will make semi-annual and final financial reports on the SF-425. Final program reports are required of TEFAP Infrastructure Grants. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 09 \$49,500,000; FY 10 est \$49,500,000; FY 11 est \$50,000,000. (Formula Grants) FY 09 \$25,000,000; FY 10 est \$25,000,000; FY 11 est \$0 - Of the \$50,000,000 in TEFAP administrative funds provided by the Recovery Act, \$25,000,000 was made available to States in Fiscal Year 2009; the remaining \$25,000,000 was made available to States in Fiscal Year 2010. (Project Grants) FY 09 \$0; FY 10 est \$6,000,000; FY 11 est \$0 - At least \$3 million will be available to fund infrastructure projects in rural areas as defined in the request for application.

Range and Average of Financial Assistance:

In Fiscal Year 2010, the range for assistance was from \$7,075 to \$6,409,704; the average amount of assistance was \$900,000. For American Reinvestment and Recovery Act funding, the range for assistance was \$3,573 to \$3,237,223; the average amount of assistance was \$454,545.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Provide funds to State agencies and local organizations to process, store, and distribute USDA and privately-donated food to needy persons and to organizations for use in preparing meals at congregate meal sites. Fiscal Year 2010: Provide funds to State agencies and local organizations to process, store, and distribute USDA and privately-donated food to needy persons and to organizations for use in preparing meals at congregate meal sites. Fiscal Year 2011: Provide funds to State agencies and local organizations to process, store, and distribute USDA and privately-donated food to needy persons and to organizations for use in preparing meals at congregate meal sites.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on commodity handling.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Cathie McCullough, USDA Food and Nutrition Service, Food Distribution Division, Room 500, Park Office Center, 3101 Park Center Dr., Alexandria, Virginia 22302 Email: cathie.mccullough@fns.usda.gov Phone: (703) 305-2680 Fax: (703) 305-2964.

Website Address:

<http://www.fns.usda.gov/fdd/programs/tefap/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program; 10.569 Emergency Food Assistance Program (Food Commodities)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.569 EMERGENCY FOOD ASSISTANCE PROGRAM (FOOD COMMODITIES)

TEFAP, USDA Foods, Commodities

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Emergency Food Assistance Act of 1983, Public Law 98-8, 97 Stat. 35, 7 U.S.C 7501; Hunger Prevention Act of 1988, Public Law 100-435, 102 Stat. 1647; Public Law 101-624, 104 Stat. 3359; Public Law 104-193, 110 Stat. 2105; Public Law 104-127, 110 Stat. 1029; Public Law 107-171, 116 Stat. 330; Public Law 110-246, 122 Stat. 1882; American Recovery Act and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To help supplement the diets of low-income persons by making donated foods available to the State agencies that are responsible for the distribution of commodities within the States.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Commodities can only be used to provide food assistance to needy persons. Commodities may be provided for household consumption or for congregate feeding. The State agency must give priority to local organizations that provide nutrition assistance to relieve situations of emergency and distress.

Applicant Eligibility:

State agencies that are designated as distributing agencies by the Governor,

legislature or other authority may receive and distribute these donated food commodities. States can distribute these foods to eligible recipient agencies, such as food banks, food pantries, soup kitchens, and other eligible agencies.

Beneficiary Eligibility:

Low-income and needy individuals, including persons that are homeless, unemployed, underemployed, or receiving public assistance. The State agency must establish income based eligibility criteria to ensure the commodities are provided to the needy. Persons interesting in receiving TEFAP should contact their State agency (<http://www.fns.usda.gov/fdd/contacts/SdaContacts.htm>) for more information on how to access the program.

Credentials/Documentation:

Needy individuals receiving commodities for home consumption must establish eligibility to participate in the program on the basis of State eligibility standards. All individuals seeking food assistance at sites which serve prepared meals are presumed to be eligible to receive meals. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. All States are eligible to participate; the State does not need to complete an application.

Award Procedure:

Food commodities are allocated among the States on the basis of a legislatively-mandated formula that takes into account each State's relative share of the National number of persons with income below the poverty level (60 percent) and of unemployed persons (40 percent).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State agreements are ongoing and amended as necessary. Individuals receiving food for home consumption are recertified periodically. Persons receiving commodities in the form of meals from sites which serve prepared meals are presumed to be eligible.

Formula and Matching Requirements:

Statutory Formula: Title Agriculture, Chapter 7, Part 251. Commodities are allocated among the States based on a legislatively-mandated formula. The allocation formula is based in part (60 percent) on the number of people whose income is below the poverty level and in part (40 percent) on the number unemployed persons.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normal records accounting for receipts and dispersals; retention required for 3 years following the close of the fiscal year or until any outstanding audit, claim, or litigation is settled.

Account Identification:

12-3505-0-1-605.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$60,000,000; FY 11 est \$0 - Section 748 of Public Law 111-80, the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010 provided a one-time infusion of \$60,000,000 for the purchase cheese and other dairy products for use in TEFAP. (Formula Grants) FY 09 \$100,000,000; FY 10 est \$0; FY 11 est \$0 - The \$100,000,000 in TEFAP food funds provided by the Recovery Act were allocated in FY 2009. (Formula Grants) FY 09 \$250,000,000; FY 10 est \$248,000,000; FY 11 est \$246,500,000

Range and Average of Financial Assistance:

In Fiscal Year 2010, the range of awards was from \$35,099 to \$31,798,888; the average award was \$4,464,949. For the Section 748 dairy funds, the range of awards was \$8,551 to \$7,747,409; the average award was \$1,087,829.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Provided commodities to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2010: Provided commodities to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals. Fiscal Year 2011: Provided commodities to State and local agencies for distribution to needy persons for use at home or for use at sites which serve prepared meals.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 251; refer to 7 CFR Part 250 for applicable provisions on commodity handling.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Cathie McCullough, USDA Food and Nutrition Service, Food Distribution Division, Room 500, Park Office Center, 3101 Park Center Drive, Alexandria, Virginia 22302 Email: cathie.mccullough@fns.usda.gov Phone: (703) 305-2680 Fax: (703) 305-2964.

Website Address:

<http://www.fns.usda.gov/fdd/programs/tefap/default.htm>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.568 Emergency Food Assistance Program (Administrative Costs)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.572 WIC FARMERS' MARKET NUTRITION PROGRAM (FMNP)

Farmers' Market

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

WIC Farmers' Market Nutrition Act of 1992, Public Law 102-314, 106 Stat. 281; Child Nutrition Act of 1966, as amended, Section 17(m), 42 U.S.C. 1786.

OBJECTIVES:

To provide fresh, nutritious unprepared locally grown fruits and vegetables from farmers markets to women, infants, and children who participate in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC); and to expand the awareness and use of farmers' markets and increase

sales at such markets.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants are made to State health, agriculture and other agencies (or Indian tribes, bands, or intertribal councils or groups recognized by the Department of the Interior, or the Indian Health Service of the Department of Health and Human Services. Participating State agencies must provide program income or State, local, or private funds for the program in an amount that is equal to at least 30 percent of the administrative cost of the program except Indian Tribal Organizations which may provide a negotiated match contribution that is less than 30 percent but not less than 10 percent. The matching requirement may be satisfied from State contributions that are made for similar programs. Federal FMNP benefits (coupons) may be issued only to participants in the Special Supplemental Nutrition Program for Women, Infants and Children (WIC) or to persons who are on a waiting list to receive WIC benefits. Participating State agencies must provide program income or State, local, or private funds for the program in an amount that is equal to at least 30 percent of the administrative cost of the program except Indian Tribal Organizations which may receive a negotiated match contribution that is less than 30 percent but not less than 10 percent. The matching requirement may be satisfied from State contributions that are made for similar programs.

Applicant Eligibility:

All State agencies that desire to receive a FMNP grant must submit, for each fiscal year, a State Plan to the Food and Nutrition Service (FNS), USDA. New State agencies are selected based on the availability of funds after base grants for currently participating State agencies are funded. Local FMNP sites are selected by participating State agencies based on concentration of eligible WIC participants and access to farmers' markets.

Beneficiary Eligibility:

WIC participants (i.e., pregnant, postpartum or breastfeeding women; infants over 4 months of age; and children up to 5 years of age) and (at the State's discretion) those who are on a waiting list to receive WIC benefits are eligible to receive FMNP coupons. State agencies may also designate subcategories of WIC participants, e.g., pregnant and breastfeeding women only, to be FMNP recipients.

Credentials/Documentation:

A signed and executed Federal/State Agreement (FNS-339) is necessary before funds can be allocated to a participating FMNP State agency. Costs will be determined in accordance with 7 CFR Part 3016, and FNS guidelines and instructions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application is made through submission of a "State Plan of Program Operation and Administration" as required by law. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Farmers or farmers' markets (depending on whether State agencies have agreements with farmers or markets) located in communities with WIC Program sites may apply to be authorized to accept FMNP coupons under the program. The State agency reviews the applications for completeness and compliance with authorization requirements. The State agency contacts the farmer/farmers' market to inform them of qualification. Individual participants apply for FMNP benefits at approved local WIC agencies.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. . If the available funds are insufficient to meet the base grant levels for current FMNP grantees, a pro-rata reduction will be applied to the grant levels awarded to all participating FMNP State agencies. If additional funds become available for the FMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

Nov 15, 2009 FMNP State Plans for the current fiscal year are due to the appropriate FNS Regional office by November 15.

Range of Approval/Disapproval Time:

In general, FNS will announce FMNP awards, and notify each State agency that did not receive an award, approximately 60 days after the date State Plans are due to FNS.

Appeals:

All decisions by the FNS are final.

Renewals:

This Program is authorized through September 30, 2010.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Statutory formula can be found at Section 17(m)(3) of the Child Nutrition Act. As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income or State, local or private funds equal to at least 30 percent of its administrative program cost. Provided that sufficient funds are available, each current State agency will be funded at its most recent year's level. Remaining funds are divided between current States for expansion and new States, based on a 75 to 25 percent ratio, respectively.

Matching Requirements: Percent: 10%. Matching Requirements: Percent: 30%. As a prerequisite to the receipt of Federal funds, a State agency must agree to contribute program income or State, local or private funds equal to at least 30 percent of its administrative program cost. Indian Tribal Organizations may provide a negotiated match contribution that is less than 30 percent but not less than 10 percent. State contributions for similar programs may satisfy the State matching requirement.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

FMNP funds are provided using Federal Reserve Bank letters of credit and end on November 30 of each year. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual reports submitted to FNS specifying the following data: Number and type of recipients (Federal and nonfederal); number of authorized farmers, farmers' markets, and farm or roadside stands; value of coupons issued and redeemed; and financial expenditure data. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the FMNP funds. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$20,000,000; FY 11 est \$20,000,000

Range and Average of Financial Assistance:

FY 09 grants ranged from \$6,337 to \$3,446,188.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 2.2 million participants, 17,543 farmers, 3,635 farmers markets, 2,662 roadside stands. Fiscal Year 2010: Unavailable. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 248, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018

Regional or Local Office:

See Regional Agency Offices. See Food and Nutrition Service updated regional office listed in Appendix IV.

Headquarters Office:

Debra Whitford, Food and Nutrition Service, Supplemental Food Programs Division, 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Debbie.Whitford@fns.usda.gov Phone: (703) 305-2746 Fax: (703) 305-2196.

Website Address:

<http://www.fns.usda.gov/wic/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children; 10.565 Commodity Supplemental Food Program; 10.576 Senior Farmers Market Nutrition Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 45 State agencies and federally recognized Indian tribal organizations. Fiscal Year 2010: 44 State agencies and federally recognized Indian tribal organizations. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

10.574 TEAM NUTRITION GRANTS

(Team Nutrition Training Grants)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Child Nutrition Act, Section 19, as amended, 42 U.S.C. 1788.

OBJECTIVES:

Team Nutrition Training Grants for Healthy School Meals have been identified in USDA's national Strategic Plan for Training and Technical Assistance as one of the anchor delivery systems for supporting the implementation of USDA's nutrition requirements and the Dietary Guideline for Americans in school meals. Team Nutrition's (TN) goal is to improve children's lifelong eating and physical activity habits by using the principles of the Dietary Guidelines for Americans and the Food Guide System. TN Training Grants offer funding to state agencies to establish or enhance sustainable infrastructures for implementing TN using three behavior-focused strategies: (1) provide training and technical assistance to child nutrition food service professionals to enable them to prepare and serve nutritious meals that appeal to students; (2) promote nutrition curriculum and education in schools through multiple communication channels (i.e., food services initiatives, classroom activities, school wide events, home activities, community programs and events, and media events and coverage) to reinforce positive nutrition messages and encourage students to make healthy food and physical activity choices as part of a healthy lifestyle; (3) build school and community support for creating healthy school environments that are conducive to healthy eating and physical activity.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Team Nutrition Training Grants provide funding to assist State agencies in achieving the TN goal of improving children's lifelong eating and physical activity habits using the most current Dietary Guidelines for Americans. States may award sub-grants to local school districts following the established oversight procedure as well as the Guidance for Interpreting Cost Principles of Sub-Grants. Team Nutrition Training Grants provide funding to assist State agencies in achieving the TN goal of improving children's lifelong eating and physical activity habits using the most current Dietary Guidelines for

Americans.

Applicant Eligibility:

State agencies that administer the National School Lunch Program may apply for a TN Training Grant. States may apply individually, or apply as a coalition within their State if there is an alternate State agency, or may establish a network and apply as a coalition of two or more different States.

Beneficiary Eligibility:

School decision makers and caregivers, school food service staff, and children, parents and teachers/educators.

Credentials/Documentation:

State agencies must administer the National School Lunch Program. Applicants must prove ability to provide a competent experienced staff and other resources to assure adequate development, supervision and execution of TN Training Grant activities. In addition, applicants are required to submit a written proposal which includes a description of the training issue or issues to be addressed, the proposed project approach, the rationale for it, specific program objectives, activities, and budget. A properly executed Federal/State Agreement between the Food and Nutrition Service and the State agency is necessary before funds can be allocated. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

State agencies are furnished with a copy of the Request for Applications (RFA) package on how to apply for a TN Training Grant. Additionally, the complete RFA is posted on the FNS Team Nutrition web site. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit a complete application to the Food and Nutrition Service by the deadline specified.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of Food and Nutrition Service staff which convenes to determine the technical merit of each grant application, provide a numerical score and make recommendations to selecting officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Once the application is received from the State agency, it is approximately 3 months before announcement of the TN Training Grant awards.

Appeals:

The solicitation will provide information on appeals.

Renewals:

The solicitation will provide information on renewals.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Within the Federal Fiscal Year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of TN Training Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 09 \$5,846,941; FY 10 est \$5,700,000; FY 11 est \$5,700,000

Range and Average of Financial Assistance:

Unavailable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018, 7 CFR Part 3019, 7 CFR Part 3021 and 7 CFR Part 3052.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Lisa Johnson, Food and Nutrition Service, Financial Management, 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Lisa.Johnson@fns.usda.gov Phone: (703) 305-2848 Fax: (703) 605-0363.

Website Address:

<http://teammnutrition.usda.gov/grants.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Technical Review Criteria, which are furnished to all applicants in the Request for Applications package are used by the review panel to score and rank TN Training Grant applications.

10.576 SENIOR FARMERS MARKET NUTRITION PROGRAM

Senior Farmers Market

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002, Public Law 107-171, 116 Stat. 334; Section 4402, 7 U.S.C. 3007.

OBJECTIVES:

(1) To provide fresh, nutritious, unprepared, locally grown fruits, vegetables, herbs and honey from farmers markets, roadside stands and community supported agriculture programs to low-income seniors, and (2) to increase the consumption of agricultural commodities by expanding, developing, or aiding in the development and expansion of domestic farmers markets, roadside stands, and community supported agriculture programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to State departments such as health, agriculture and aging, United States territories and Federally-recognized Indian tribal governments, or any other agency approved by the chief executive officer of the State. Ninety percent of grant funds may be used support the costs of the foods that are

provided under the SFMNP and ten percent may be used for administrative cost of the program.

Applicant Eligibility:

All States, United States territories and federally recognized Indian tribal governments that desire to receive a SFMNP grant must submit for review and approval, for each fiscal year, a State Plan to the USDA, Food and Nutrition Service (FNS) appropriate Regional Office. New State agencies are selected based on the availability of funds after base grants for currently participating State agencies are funded. Local SFMNP sites are selected by participating State agencies based on concentration of eligible senior participants and access to farmers' markets.

Beneficiary Eligibility:

Persons eligible for the program are low-income seniors, generally defined as individuals who are at least 60 years old and who have household incomes of not more than 185 percent of the federal poverty income guidelines (published each year by the Department of Health and Human Services). Some State agencies accept proof of participation or enrollment in another means-tested program, such as the Commodity Supplemental Food Program (CSFP) or Supplemental Nutrition Assistance Program (SNAP), for SFMNP eligibility.

Credentials/Documentation:

A signed and executed Federal/State Agreement (SF-339) is necessary before funds can be allocated to a participating SFMNP State agency. Costs will be determined in accordance with 7 CFR Part 3016, and FNS guidelines and instructions. OMB Circular No. A-87 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application is made through submission of a "State Plan of Program Operation and Administration" as required by law. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Farmers or farmers' markets (depending on whether State agencies have agreements with farmers or markets) may apply to be authorized to accept SFMNP coupons under the program. The State agency reviews the applications for completeness and compliance with authorization requirements. The State agency contacts the farmer/farmers' market to inform them of qualification. Individual participants apply for SFMNP benefits at approved SFMNP sites.

Award Procedure:

Funds are awarded by the Department on the basis of funding formulas to State agencies. If the available funds are insufficient to meet the base grant levels for current SFMNP grantees, a pro-rata reduction will be applied to the grant levels awarded to all participating SFMNP State agencies. If additional funds become available for the SFMNP, such funds would first be distributed evenly among the current grantees to make whole their base grants for that fiscal year. Grant payments are made by a letter of credit.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In general, FNS will announce SFMNP awards, and notify each State agency that did not receive an award, approximately 60 days after the date State Plans are due to FNS.

Appeals:

All decisions by the FNS are final.

Renewals:

This Program is authorized through September 30, 2012.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

SFMNP funds are provided using Federal Reserve Bank letters of credit and ends on November 30 of each year. State agencies may withdraw funds only as needed. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual reports submitted to FNS specifying the following data: Number and type of recipients (Federal and nonfederal); number of authorized farmers, farmers' markets, roadside stands and community supported agriculture (CSAs); value of coupons issued and redeemed; and financial expenditure data. Cash reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the SFMNP funds. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3507-0-1-605.

Obligations:

(Formula Grants) FY 09 \$20,600,000; FY 10 est \$20,600,000; FY 11 est \$20,600,000

Range and Average of Financial Assistance:

FY 09 grants ranged from \$9,925 to \$1,973,789.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 809,711 participants, 18,714 farmers, 3864 farmers markets, 3061 roadside stands, 159 community supported agriculture programs. Fiscal Year 2010: Fiscal Year 2010: Unavailable. Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 249, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018

Regional or Local Office:

None.

Headquarters Office:

Supplemental Food Program Division, Supplemental Food Programs Division, Food and Nutrition Service, USDA
3101 Park Center Drive, Alexandria, Virginia 22302 Email:
Debbie.Whitford@fns.usda.gov Phone: (703) 305-2746 Fax: (703) 305-2196.

Website Address:

<http://www.fns.usda.gov/fns/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 10.565 Commodity Supplemental Food Program; 10.572 WIC Farmers' Market Nutrition Program (FMNP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 50 State agencies and federally recognized Indian tribal organizations. Fiscal Year 2010: 51 State agencies and federally recognized Indian tribal organizations. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

None applicable.

10.578 WIC GRANTS TO STATES (WGS)

(WIC Grants To States)

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended.

OBJECTIVES:

To provide grants to WIC State agencies to plan, design and implement WIC electronic benefit transfer systems so that Program benefits can be provided electronically. To provide grants to WIC State agencies to fund, implement, and evaluate innovative projects that improve WIC services, to include the enhancement and modernization of clinic systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds are used to plan, develop and implement projects for WIC EBT. Grant funds are used to enhance and improve WIC service provision through the use of technology which will have a positive impact on the nutrition and health of WIC participants. State agencies that administer the WIC Program are eligible to apply for WIC technology grant funds. States may apply individually or as a coalition of States.

Applicant Eligibility:

State agencies that administer the WIC Program are eligible to apply for WIC EBT grant funds. States may apply individually or as a coalition of States.

Beneficiary Eligibility:

WIC participants be the ultimate beneficiary in that improved technology will allow for more efficient and effective clinic operations. Additionally, the WIC Program is moving towards the issuance of benefits through electronic benefit transfer. This will enhance their shopping experience and allow for greater flexibility in benefit delivery.

Credentials/Documentation:

Only State agencies and Indian Tribal Organizations that administer the WIC Program are eligible. Applicants must prove through the grant application process that adequate resources are available for project success. Applicants are required to submit a written proposal, which includes a description of the EBT pilot, budget documents, feasibility studies, cost allocation plans, and other required documents. A properly executed Federal/State agreement between FNS and the State agency is necessary before funds can be allocated. to compete, applicants are required to submit a written proposal which includes a description of the proposed project, staffing, and budget documents for review by a technical panel. Upon selection for award, a properly executed Federal/State agreement between FNS and the State agency or Indian Tribal Organization is necessary before funds can be allocated. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

WIC State agencies and Indian Tribal Organizations are given a grant solicitation package outlining the process and deadlines related to the grant application process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit a complete application to FNS by the deadline specified.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the solicitation package. Grants are awarded by a competitive process via a review panel composed of FNS staff, who make recommendations to selecting officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 3 to 6 months.

Appeals:

Not applicable.

Renewals:

Will be covered in the solicitation and the cooperative agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From 3-5 years, depending on project timeline. Obligations must occur within the specified grant period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports and financial status reports must be submitted as outlined in the cooperative/agreements. Cash reports are not applicable. Progress reports and financial status reports must be submitted as outlined in the cooperative/agreements. Progress reports and financial status reports must be submitted as outlined in the cooperative/agreements. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of technology grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3510-0-1-605.

Obligations:

(Salaries) FY 09 \$100,000,000; FY 10 est \$60,000,000; FY 11 est \$60,000,000 -

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 27 State agencies are now involved in some way in WIC EBT. Fiscal Year 2010: EBT is continuing to expand, thereby improving the method by which WIC benefits are issued and redeemed. Fiscal Year 2011: Additional State agencies will be awarded funds for new clinic system design, development and implementation. EBT will continue to expand.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Greg Walton, Food and Nutrition Service, Financial Management, 3101 Park Center Dr. , Alexandria, Virginia 22302 Email: Greg.Walton@fns.usda.gov Phone: (703) 305-1575 Fax: (703) 305-0363.

Website Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: FNS website has a status listing of EBT activity. Fiscal Year 2010: FNS website has a status listing of EBT activity. Fiscal Year 2011: 5-10 additional State agencies will be awarded funds for EBT.

CRITERIA FOR SELECTING PROPOSALS:

Technical review criteria, which are furnished to all applicants in the grant solicitation package, are used by the review panel to score and rank EBT Grant applications.

10.579 CHILD NUTRITION DISCRETIONARY GRANTS LIMITED AVAILABILITY

Child Nutrition Discretionary Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); American Recovery Act and Reinvestment Act of 2009, Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80).

OBJECTIVES:

NSLP: To assist States, through cash grants and food donations, in making the school lunch program available to school children and to encourage the domestic consumption of nutritious agricultural commodities. SBP: To assist States in providing a nutritious nonprofit breakfast service for school children, through cash grants and food donations. SMP: To provide subsidies to schools and institutions to encourage the consumption of fluid milk by children. CACFP: To assist States, through grants-in-aid and other means, to initiate and maintain nonprofit food service programs for children, elderly or impaired adults in nonresidential day care facilities and children in emergency shelters. SFSP: To assist States, through grants-in-aid and other means, to conduct nonprofit food service programs for low-income children during the summer months and at other approved times, when schools are out of session or are closed for vacation.

The Peoples' Garden School Pilot Program is designed to award grants to develop and run community gardens at eligible high-poverty schools; teach students involved in the gardens about agriculture production practices, diet, and nutrition; contribute produce to supplement food provided at eligible schools, student households, local food banks, or senior center nutrition programs; and conduct an evaluation of funded projects to learn more about the impacts of school gardens.

Administrative Review and Training (ART) Grants are available to State agencies to assist Local Educational Agencies' administrative personnel on application, certification, verification, meal counting and meal claiming procedures.

Direct Certification and Verification grants are available for assisting State agencies in improving their direct certification and direct verification processes and rates.

Direct Certification Technical Assistance grants are available to assist States agencies in improving their direct certification processes and rates.

NSLP FY10 Equipment Grants are available for certain equipment purchases at eligible school food authorities.

CACFP Wellness Grants: The 2010 Agriculture Appropriations Act (Public Law 111-80) authorized the Food and Nutrition Service to award \$8 million in grants to State Agencies administering the Child and Adult Care Food Program for projects aimed at improving the health and nutrition of children in child care settings.

Summer Demonstration Projects: The 2010 Agriculture Appropriations Act (Public Law 111-80) authorized demonstration projects to develop and test methods of providing access to food for low-income children in urban and rural areas during the summer months when schools are not in regular session, as

well as a rigorous independent evaluation of the projects regarding their effectiveness.

American Recovery Act and Reinvestment Act of 2009 grants were available for certain equipment purchases.

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TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The National School Lunch Act at 1769(g)(3) allows the Secretary of Agriculture to award grants to public schools and nonprofit entities for projects to develop, run and evaluate community gardens at eligible schools. Peoples' Garden School Pilot Program will allocate 100 percent of the funds to run and evaluate these garden projects. A total of \$1 million has been designated for the Peoples' Garden School Pilot Program.

Applicant Eligibility:

Determined by the legislation providing the grants.

Beneficiary Eligibility:

Determined by the legislation providing the grants.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Instructions provided in Request for Application. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application package may be submitted electronically via www.grants.gov [http://.grants.gov](http://www.grants.gov).

Award Procedure:

A grant review technical panel objectively reviews all complete applications according to established review criteria provided in the Request for Applications and makes recommendations to Agency officials, who make final award decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Determined by the legislation providing the grants.

Appeals:

Determined by the legislation providing the grants.

Renewals:

Peoples' Garden School Pilot Program is currently funded until September 30, 2011.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Determined by the legislation providing the grants. The Peoples' Garden School Pilot Program funds must be spent by September 30, 2011. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3 year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants (Discretionary)) FY 09 \$100,000,000; FY 10 est \$148,500,000; FY 11 est \$4,000,000 - Availability limited based on eligibility requirements or duration by current year appropriations or authorizing legislation language and Agency priorities, determined by the legislation providing the grants.

The Peoples' Garden School Pilot Program is funded during FY2010 at \$1 million.

National School Lunch Program FY 10 Equipment Grants are available for certain equipment purchases.

Range and Average of Financial Assistance:

None available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018. Determined by the legislation providing the grants.

Regional or Local Office:

See Regional Agency Offices. See the Food and Nutrition Service Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Theresa Jones, 3101 Park Center Drive, Room 732, Alexandria, Virginia 22302
Email: theresa.jones@fns.usda.gov Phone: (703) 305-2170.

Website Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: None Available. Fiscal Year 2010: None available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Determined by the legislation providing the grants. The criteria for selection under the Peoples' Garden School Pilot Program will be set forth in the Request for Applications.

10.580 SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM, OUTREACH/PARTICIPATION PROGRAM

Outreach Grants

Participation Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Section, 17a(1), Food and Nutrition Act of 2008, 7 U.S.C. 2026(a)(a); Section 17(b)(1), 7 U.S.C. 2026(b)(1); Farm Security and Rural Investment Act of 2002, Public Law 107-171; Public Law 110-246.

OBJECTIVES:

Outreach Grants: The purpose of these grants is to study effective strategies to

inform and educate eligible low-income people not currently participating in the Supplemental Nutrition Assistance Program (SNAP) about the nutrition benefits of the program, eligibility rules, and how to apply so that they can make an informed decision about whether to participate in the program.

Program Participation Grants: The purpose of the Program Participation grants is to support efforts by State agencies and their community-based and faith-based partners to develop and implement: 1) Simplified SNAP application and eligibility systems; or 2) Measures to improve access to SNAP benefits by eligible applicants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program Participation Grants: Funds for program participation projects are to be used to improve the quality and efficiency of operations within SNAP offices and no more than 25 percent of the grant funds may be used for outreach activities. Applications must comply with criteria listed in the Request for Application package.

Outreach Grants: Funds are used for research into SNAP outreach strategies to one or more of the targeted groups of people who are eligible for the program but traditionally underused food stamp benefits. Applications must comply with criteria listed in the Request for Application package. Applications must comply with criteria listed in the Request for Application package.

Applicant Eligibility:

Outreach Grants: Public organizations, with the exception of State and local SNAP agencies; and Private, nonprofit organizations; specific instructions provided in Request for Application.

Program Participation Grants: State agencies that administer the SNAP, State or local governments; agencies that provide health or welfare services; public health or educational entities and private nonprofit entities such as community-based or faith-based organizations, food banks, or other emergency feeding organizations.

Beneficiary Eligibility:

Recipients of grants will reach out to and/or serve persons potentially eligible for SNAP benefits (low-income individuals or families).

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions. Nonprofits must also submit an IRS Determination Letter, Form 501C (3). Specific instructions provided in Request for Application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Instructions provided in Request for Application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application package should be prepared in accordance with instructions in a specific Request for Application (RFA) and sent to the grant officer identified in the RFA.

Award Procedure:

A Grant Review Technical Panel objectively reviews all complete and timely applications according to established review criteria provided in the Request for Applications and makes recommendations to Agency officials, who make final award decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Provided in Request for Application.

Appeals:

Instructions provided in Request for Application.

Renewals:

Instructions provided in Request for Application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Provided in Request for Application. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State agencies must maintain records as necessary to support the use of SNAP Grant funds in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3505-0-1-605.

Obligations:

(Salaries) FY 09 \$6,000,000; FY 10 est \$6,000,000; FY 11 est \$6,000,000 - No confirmation of FY 2011 amount.

Range and Average of Financial Assistance:

See www.fns.usda.gov/snap.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See www.fns.usda.gov/snap. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Outreach Grants: 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018.

Program Participation Grants: 7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3021, 7 CFR Part 3019.

Regional or Local Office:

None. Grants Management Division, Food and Nutrition Service, Department of Agriculture, Alexandria, VA 22302. Telephone: (703) 305-2867. Contact: Leslie Byrd, Grant Officer for Outreach Grants, or Lisa Johnson, Grant Officer for Program Participation Grants.

Headquarters Office:

Grants Management Officer, Leslie Byrd, Food and Nutrition Service, Financial Management, Grants Management Branch, 3101 Park Center Drive, Alexandria, Virginia 22302 Email: Leslie.Byrd@fns.usda.gov Phone: (703) 305-2867 Fax: (703) 605-0363.

Website Address:

<http://www.fns.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See www.fns.usda.gov/snap. Fiscal Year 2010: None available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The review panel to score and rank applications uses Technical Review Criteria, which are furnished to all applicants in the Request for Applications

package.

10.582 FRESH FRUIT AND VEGETABLE PROGRAM (FFVP)**FEDERAL AGENCY:**

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

National School Lunch Act, as amended, 42 U.S.C. 1769.

OBJECTIVES:

To assist States, through cash grants, in providing free fresh fruits and vegetables to school children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under Section 19 of the National School Lunch Act, Federally appropriated Fresh Fruit and Vegetable Program funds are available to State agencies to operate during the school year. Selected low-income public and nonprofit private elementary schools are reimbursed for produce served to school children outside of the lunch or breakfast food service periods. All participating schools must agree to serve fresh fruits and vegetables free to all enrolled children, and to publicize fresh fruit and vegetable availability within the school. State agencies may select low-income public and nonprofit private elementary schools to participate.

Applicant Eligibility:

State agencies may select low-income public and nonprofit private elementary schools to participate.

Beneficiary Eligibility:

All elementary school children enrolled in schools participating in the Fresh Fruit and Vegetable Program.

Credentials/Documentation:

Applicant organizations must be currently participating in the National School Lunch Program. Must be an elementary school as defined by the State agency. Costs will be determined in accordance with USDA Uniform Federal Assistance Regulations (7 CFR Part 3015 or 3016 and 3019). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The school-level application prototype form was furnished by FNS and/or modified by the State agency. An applicant should consult the State office or official designated as the single point of contact in his or her State for the National School Lunch Program for more information on the process the State requires to be followed for the FFVP. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Public or private nonprofit elementary schools make application to the State Agency.

Award Procedure:

The elementary schools with the highest percentages of free and reduced price enrollment will be selected. The State agency then enters into an agreement with the selected schools for their participation in the program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Agreements become effective upon approval by the State Agency.

Appeals:

None. The State agency is responsible for selecting schools based on high free and reduced price enrollment percentages.

Renewals:

Annual; amended as necessary.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Permanent, amended as necessary, described by law. Method of awarding/releasing assistance: by letter of credit.

Reports:

State agencies must ensure that each school food authority with participating schools maintains and submits reimbursement claim forms to the State agency in order to receive reimbursement. State agencies must submit expenditure data quarterly via the SF-425. States must submit final fiscal year grant close out reports within 120 days after the end of the grant period. No cash reports are required. A yearly progress report is required. Program is reviewed and reported on during the regular oversight activities in the National School Lunch Program. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted less frequently under conditions specified in A-133. The FFVP is subject to audits.

Records:

Schools must maintain full and accurate records of the FFVP. Such records shall be retained for a period of 3 years after the end of the fiscal year to which they pertain, except that if audit findings have not been resolved, the records shall be retained beyond the 3-year period as long as required for the resolution of audit findings.

Account Identification:

12-3539-0-1-605.

Obligations:

(Project Grants) FY 09 \$65,000,000; FY 10 est \$101,000,000; FY 11 est \$150,000,000 - Funding will be allocated based on a funding formula. The minimum grant to each of the 50 states and the District of Columbia will equal 1 percent of the funds made available to carry out the Program for school year. The remaining funds will be allocated to each of the 50 States, the District of Columbia, Guam, Puerto Rico and the Virgin Islands based on the proportion of the state population to the U.S. population.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Long, 3101 Park Center Drive Room 640 , Alexandria, Virginia 22302
Phone: (703) 305-2590.

Website Address:

<http://www.fns.usda.gov/cnd>

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.583 HUNGER FREE COMMUNITIES

Hunger Free Communities Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

These grants will be awarded under authority provided by the Food, Conservation, and Energy Act of 2008, Section 4405, 7 U.S.C. 8701. This section allows the Secretary of Agriculture to award grants to meet the hunger free communities goals described in the H. Con. Res. 302 (102nd Congress), Public Law 102-PIL 110-246, 8701 U.S.C 4405.

OBJECTIVES:

As defined in the H.Con.Res. 302(102nd Congress), the 14 goals of the Hunger-Free Communities initiative are:

1. Having a community-based emergency food delivery network that coordinates the services of programs such as food pantries, food banks, and congregate meals facilities.
2. Assessing food insecurity problems and evaluating existing services in the community to determine necessary strategies for responding to unmet needs.
3. Establishing a group of individuals, including low-income participants, to develop and to implement policies and programs to combat food insecurity, to monitor responsiveness of existing services, and to address underlying causes and factors related to hunger.
4. Participating in federally assisted nutrition programs that should be easily accessible to targeted populations, such as the Federal programs that provide school breakfast, school lunch, summer food, child care food, and food for homeless and older individuals.
5. Effectively integrating public and private resources, including local businesses, to alleviate food insecurity.
6. Having an education program about food needs of the community and the need for increased local citizen participation in activities to alleviate food insecurity.
7. Having available information and referral services for accessing both public and private programs and services.
8. Having initiatives for alleviating food shopping constraints through the development of creative food resources such as community gardens, buying clubs, food cooperatives, community-owned and operated grocery stores, and farmers' markets.
9. Carrying out activities to identify and target food services to high-risk populations.
10. Having adequate transport and distribution of food from all resources.
11. Coordinating food services with park and recreation programs and other community-based outlets to which residents of the area would have easy access.
12. Improving public transportation, human service agencies, and food resources.
13. Having nutrition education programs for low-income citizens to enhance good food-purchasing and food-preparation skills and to heighten awareness of the connection between diet and health.
14. Having a program for collecting and distributing nutritious food, either agricultural commodities in farmers' fields or foods that have already been prepared, that would otherwise be wasted.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Grant funds may be used for any initiative that addresses the immediate food and nutrition needs of people experiencing hunger, improves access to food as part of a comprehensive service, develops new resources and strategies to help reduce hunger in the community, prevents hunger, assesses the extend and causes of hunger in the community, and/or develops a comprehensive plan to end hunger in the community. Grants may not be used for: constructing, expanding or repairing a facility or equipment; and purchasing equipment other than computer hardware and software, warehouses, storage space. \$5 million.

Applicant Eligibility:

The grant will be used by the grant recipient to provide the targeted community with assistance for a hunger free community.

Beneficiary Eligibility:

Any individual or family who is a part of the targeted community and meets the guidelines as set by the grant recipient is eligible.

Credentials/Documentation:

Financial data, DUNS number. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

The Grant solicitation will provide information on evaluation criteria. A panel will convene to assign points and finalize selection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The deadline for submission will be stipulated in the request for applications.

Appeals:

A post award discussion may take place on request.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. 20% matching is required. The matching criteria is available in the RFA. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

one year from date of award. Method of awarding/releasing assistance: by letter of credit.

Reports:

Yes, program reports are required on a quarterly basis as well as one final report. Reports required quarterly and at year's end. Reports required quarterly and at year's end. Reports are required quarterly and at year's end. Program reports will provide information on performance and progress to meet grant objectives.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$5,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Uncertain.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

legislative language

Regional or Local Office:

None.

Headquarters Office:

Pam Phillips, Office of Strategic Initiatives, Partnerships and Outreach, Food and Nutrition Service, 3101 Park Center Drive, Alexandria, Virginia 22302
Email: Pam.Phillips@fns.usda.gov Phone: (703) 305-2298.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Will be published in the grant solicitation.

10.585 FNS FOOD SAFETY GRANTS

FNS Food Safety Grants

FEDERAL AGENCY:

Food and Nutrition Service, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2010, 2010, Public Law 110-80; Richard B. Russell National School Lunch Act, Section 6(a)(3), 42 U.S.C 1755(a)(3); National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1472(b), 7 U.S.C 3318(b). , Public Law 110-80; Richard B. Russell National School Lunch Act, Section 6(a)(3), 42 U.S.C 1755(a)(3); National Agriculture Research, Extension, and Teaching Policy Act of 1977, Section 1472(b), 7 U.S.C 3318(b).

OBJECTIVES:

The Department of Agriculture, Food and Nutrition Service (FNS) is seeking to provide grants related to food safety. The current priority is to establish a Center of Excellence for School Food Safety Research to provide science-based support to improve the safety of foods provided through the FNS nutrition assistance programs, particularly those served in schools and child care settings. The Center will be established at a university that has an interdisciplinary group of faculty available to address food safety issues, including but not limited to, expertise in food safety, food microbiology, foodservice management, agricultural production (both livestock and plants), psychology, sociology, research design, and statistics. The Center will address current food safety research needs identified by FNS and Center personnel, demonstrate flexibility in addressing these needs, and provide the level of staffing to deliver timely results. FNS Food Safety Staff (FSS) has established the following objectives for the Center of Excellence for School Food Safety Research: (1) Provide an interdisciplinary and timely approach to applied food safety research needs related to FNS nutrition assistance programs, particularly the child nutrition programs, and (2) Develop written communications to convey research results to a variety of audiences, including scientists, policy makers, educators, and practitioners.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funding is provided to a university achieve the objectives of the Center of Excellence. The university may award sub-grants to other universities to obtain expertise and skills needed to accomplish the objectives of the Center. Additional grants for food safety projects may be awarded by FNS pending funding availability.

Applicant Eligibility:

Assistance may be used to conduct research or perform other tasks to benefit FNS nutrition assistance programs in the area of food safety.

Beneficiary Eligibility:

FNS nutrition assistance program decision makers, FNS nutrition assistance program providers, and children and teachers.

Credentials/Documentation:

Not currently applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Not currently applicable.

Award Procedure:

All applications that meet the published deadline for submission will be screened for completeness and conformity to the requirements as announced in the RFA package. Grants are awarded by a competitive process via a technical review panel composed of FNS and other Federal staff that will meet to determine the technical merit of each grant application, provide a numerical score, and make recommendations to selecting officials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. There are presently no open requests for applications.

Appeals:

From 30 to 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not currently applicable. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required. Quarterly budget reports are required. The grantee will work closely with the Agency on performance progress.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain records in accordance with the Grant Agreement. Such records must be retained for a period of 3 years after the date of submission of the final report for the fiscal year to which the records pertain, except that if audit findings have not been resolved, the records shall be retained beyond the three year period as long as required for the resolution of the issues raised by the audit.

Account Identification:

12-3539-0-1-605.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$800,000; FY 11 est \$0 - This is a new program so there were no obligations for FY 2009. The estimate for FY 2011 is not currently available.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3015, 7 CFR Part 3016, 7 CFR Part 3017, 7 CFR Part 3018, 7 CFR Part 3019, 7 CFR Part 3021, and 7 CFR Part 3052.

Regional or Local Office:

None.

Headquarters Office:

Brenda Halbrook Office of Emergency Management and Food Safety
Food and Nutrition Service
3101 Park Center Dr. , Alexandria, Virginia 22302 Email:
Brenda.Halbrook@fns.usda.gov Phone: 703-305-2608 Fax: 703-305-2420

Website Address:

http://www.fns.usda.gov/fns/food_safety.htm

RELATED PROGRAMS:

10.579 Child Nutrition Discretionary Grants Limited Availability

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.652 FORESTRY RESEARCH

(Research Grants & Agreements)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Forest and Rangeland Renewable Resources Research Act of 1978, Public Law 95-307, 92 Stat. 353, 16 U.S.C. 1643.

OBJECTIVES:

To extend fundamental research activities of the Forest Service by awarding grants to nonprofit organizations, institutions of higher education, and organizations engaged in renewable resources research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants will be used for research in the fields of forest management, watershed management, forest range management, wildlife habitat management, forest recreation, forest fire protection, forest insect and disease protection and control, forest products utilization, forest engineering, forest production economics, forest products marketing, forest survey, urban forestry, wilderness, and social/cultural influences. Indirect expenses, when permitted by statute, will be reimbursed according to the negotiated indirect cost rate established by the Government's cognizant audit agency. Grants are limited to 5 years duration.

Applicant Eligibility:

Grants for basic or applied research may be made to State Agricultural Experiment Stations, universities and colleges, State and local governments, U.S. Territories, nonprofit research institutions or organizations, international organizations, individuals, and for-profit organizations.

Beneficiary Eligibility:

Organizations and scientists involved in basic and applied research activities related to forest and rangeland renewable resources.

Credentials/Documentation:

The principal investigator must document competence in the field of the research proposed. Costs will be determined in accordance with OMB Circular No. A-87, A-21, or A-122, Cost Principles for State and Local Governments, Cost Principles for Educational Institutions, or Cost Principles for Nonprofit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A complete research proposal must be submitted following guidelines available in each of the offices referred to below. The proposal must explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, other resources such as equipment, facilities, and services available or needed, and a proposed budget for each

fiscal year during the life of the proposed grant. A short biographical sketch of the principal investigator and a list of his or her principal publications should be included. This program is subject to the provisions of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations, 7 CFR 3015, and 7 CFR 3019.

Award Procedure:

All research grant proposals must compete for priority, showing technical competence and demonstrating the ability to meet national or regional needs. Research grants are made only in support of forestry research. Unsolicited proposals can be awarded for innovative or unique approaches to the proposed project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 120 days.

Appeals:

Contact the Research Station for guidance.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued support should be identical to a new proposal, except that a resume of progress to date must be added.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The applicant's contribution is negotiated. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and value of resources to be contributed by the applicant.

Salaries of academic personnel for periods when they receive salary for teaching should not be included for payment from grant funds.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One to 5 years. Billings for expenses incurred may be submitted monthly, quarterly, or semi-annually. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Technical reports of progress are required semiannually; a technical final report is also required upon completion of project. Fiscal reports are required at least semiannually. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Comptroller General of the United States, his representative, or auditors of the cognizant audit agency for the educational institution involved shall have access at any reasonable time to the laboratories, offices, or other facilities of the recipient for purposes of review and observation of the status and progress of the sponsored project. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. OMB Circular No. A-133, Audits of States, Local Governments and Non-profit Organizations, is applicable.

Records:

All related data, information, records, and accounts shall be retained for 3 years beyond the expiration date of the grant unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1104-0-1-302.

Obligations:

(Project Grants) FY 09 \$18,359,151; FY 10 est \$14,974,216; FY 11 est \$13,270,000

Range and Average of Financial Assistance:

\$2,000 to \$300,000. Average: \$35,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87, as implemented by USDA Regulation 7 CFR Part 3016, are applicable to State and local government grantees. OMB Circular Nos. A-110 and A-21 are applicable to educational institutions. OMB Circular Numbers A-110 and A-122, as implemented by USDA Regulation 7 CFR Part 3019, are applicable to nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. FPL - Director Ariel Lugo
787-766-5335

IITF - Director Chris Risbrud 608-231-9318

RMRS - Director Sam Foster 970-498-1353

NRS - Director Michael Rains 610-557-4162

PNW - Director Bov Eav 503-808-2101

PSW - Director Deanna Stouder 510-559-6310

SRS - Director Jim Reaves 828-257-4300.

Headquarters Office:

Anne Bartuska, 201 14th St. SW, 1NW Yates, Washington, District of Columbia 20024 Email: abartuska@fs.fed.us Phone: (202) 205-1665

Website Address:

<http://www.fs.fed.us/links/research.html>

RELATED PROGRAMS:

10.001 Agricultural Research_Basic and Applied Research; 10.200 Grants for Agricultural Research, Special Research Grants; 10.202 Cooperative Forestry Research; 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act; 10.500 Cooperative Extension Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The development of a multidisciplinary workshop directed at evaluating the research needs, opportunities and benefits of separating, synthesizing and engineering nanostructures from wood biopolymers into advanced materials.

The effect of prescribed fire on nutrient cycle in ponderosa pine forests: design and test of a proposed experimental system for continuous press drying of paper and biological decay of logging residues.

The collaborative long term effort focused on workforce development through graduate education. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis by Research Station Directors to meet Regional or National Research needs.

10.664 COOPERATIVE FORESTRY ASSISTANCE

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313, as amended; Food, Agriculture, Conservation, and Trade Act of 1990, as amended, Public Law 101-624.

OBJECTIVES:

With respect to nonfederal forest and other rural lands to assist in the advancement of forest resources management; the encouragement of the production of timber; the control of insects and diseases affecting trees and forests; the control of rural fires; the efficient utilization of wood and wood residues, including the recycling of wood fiber; the improvement and maintenance of fish and wildlife habitat; and the planning and conduct of urban and community forestry programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Forester or equivalent agencies in forest stewardship programs on private, State, local, and other nonfederal forest and rural lands. Programs may include production of timber and efficient processing and use of wood products; developing genetically improved tree seeds; producing and distributing tree seeds and seedlings; reforestation; timber stand improvement; improving wildlife habitat; assisting private woodland owners in harvesting, processing, and marketing activities; conversion of wood to energy; protection and improvement of forest soil fertility; forest insect and disease management; urban and community forestry; Development and transfer of new and improved fire control technologies; organization of shared fire suppression resources, and achievement of more efficient State fire protection; provision of financial, technical and related assistance to local rural fire fighting forces; acquisition and loan of Federal excess property; organizational improvement; forestry resources planning; conservation of forest land; and technology transfer.

Applicant Eligibility:

State Forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

Landowners of nonfederal lands; rural community fire fighting forces; urban and municipal governments, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, as implemented by 7 CFR Part 3016. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Not applicable. Contact Regional or Area Office listed in Appendix IV of the Catalog.

Award Procedure:

Established by the Regional Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 10 to 60 days. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Amend SF-424(A) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Regional Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Statistical factors for eligibility do not apply to this program. The amount reimbursed by the Federal Government shall not exceed the amount expended by a State grantee or grantee for all programs except those covered by Section 11 of Public Law 95-313. Cost-sharing ratios for Section 11(a) Management Assistance and Section 11(c), Technology Implementation programs shall be negotiated. Section 11(b), Forest Resource Planning program funds require 20 percent matching by the State. Amount of grant based on negotiated targets to be achieved.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Electronic transfers of payments are encouraged. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Periodic and annual accomplishment reports are required. SF-425 should be used at least annually and no more than quarterly. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 09 \$115,959,000; FY 10 est \$146,241,000; FY 11 est \$168,122,000 - FY2011 projection based on current agreements and request.

Range and Average of Financial Assistance:

\$25,000 to \$6,000,000. Average \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Cooperative Forestry Staff is a partnership-driven organization. Federal funding is provided to States, local governments, and non-profit organizations to leverage additional resources and fuel innovative ideas. CF works closely with other Forest Service units and other federal agencies to enhance and maintain forests across watersheds and ecosystems, both on private and public land. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Titles 3000, 3100, 3200, 3300, 3400, 3500, 3600, 3700, 3800, and 3900 available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 and A-87, as implemented by 7 CFR Part 3016, are applicable to State and local government grantees.

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.

Headquarters Office:

Deputy Chief, State and Private Forestry, 1400 Independence Ave, SW, MS 1109, Washington, District of Columbia 20250 Phone: (202) 205-1657.

Website Address:

<http://www.fs.fed.us/spf/coop>.

RELATED PROGRAMS:

10.901 Resource Conservation and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: FOREST BIOMASS MARKET DEVELOPMENT AND SUPPLY ASSESSMENT, MULTI-JURISDICTIONAL FUEL REDUCTION AND WILDFIRE PREVENTION STRATEGY IMPLEMENTATION, "HOLDING THE LINE", YELLOWSTONE LEAFY SPURGE, HUMAN WELL-BEING BENEFITS OF URBAN FORESTS: COMPLETION OF A RESEARCH ASSESSMENT & SUMMARY, STRENGTHENING DIVERSITY IN URBAN FORESTRY SERVICE DELIVERY. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Most grants are approved on the basis of negotiated targets or objectives to be achieved. However, grants to rural communities are based on regional and area criteria for meeting the needs and opportunities of the communities. UCF community grants are based on local needs and resources. A specific project focus may be developed by State Urban Forestry Councils.

10.665 SCHOOLS AND ROADS - GRANTS TO STATES

(25 Percent Payments to States)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Secure Rural Schools and Community Self Determination Act of 2000 (16 U.S.C. 500). Public Law 106-393 and amended by 110-343 sec. 601 (Titles I, II and III).

OBJECTIVES:

To share receipts from the National Forests with the States in which the National Forests are situated.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To be used for the benefit of public schools and public roads of the county or counties in which the National Forest is situated.

Applicant Eligibility:

Grant recipients must be States (including commonwealths) or territories of the United States containing National Forest land.

Beneficiary Eligibility:

States and territories.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. None. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I- Secure Payments for States and Counties Containing Federal Land

Each county in an eligible state elected in November and December of 2008 to receive one of the following payments: A share of the states 25-percent rolling average payment OR a share of the State payment (formula payment). A county in California, Louisiana, Oregon, Texas, Pennsylvania, South Carolina, South Dakota, or Washington that elects the State payment is electing to receive a share of the States transition payment in lieu of the State payment. If no election is made the county shall be considered to have elected the State payment for the remaining 2 years.

25 Percent. A county election to receive a share of the 25 percent payment is effective for 2 years, and , a county must again make the election to receive a share of the State payment of the 25 percent payment by August 1, 2010. 25 percent payments must be used for roads or schools in accordance with 16 U.S.C. 500, the State has broad discretion as to how to allocate the payment for roads and schools, including authorizing the county to make the allocation.

State Payment. A county that initially elects to receive a share of the State payment (formula or transition payment as applicable) may not change its election in subsequent years- it will receive its share of that payment for fiscal year (FY) 2008 through FY 2011. A participating county that receives a share of the State payment that is greater than \$100,000 must make an additional election to allocate among titles by September 30 each year:

\$100,000 or less. If the county share of the State payment (or transition payment is \$100,000 or less, the county may, but is not required to, allocate its share in the same manner as a county with a share that is greater than \$100,000, but less than \$350,000.

\$100,000-- \$350,000. If the county share of the State payment (or transition payment) is more than \$100,000, but less than \$350,000, the county must allocate 15% to 20% of its share to title II, title III or a combination. The total percentage allocated to title II and/or title III must be no less than 15 percent and no greater than 20%

\$350,000 or greater. If the county share of the State payment (or transition payment) is \$350,000 or greater, the county must allocate 15 percent to 20 percent of its share to title II, title III of a combination, except that the allocation for title III projects may not exceed 7 percent. The total percentage allocated to title II and title III combined must be no less than 15 percent and no greater than 20 percent.

By law, a participating county receiving more than \$100,000 must allocate a portion of its payment to title II and/or title III by September 30 each year. For these counties, failure to submit these elections (allocations) by the deadline will result in the county being considered to have elected to return 15 percent of its share to the U.S. Treasury in lieu of allocating 15 to 20 percent for title II and/or title III projects.

Title II- Special Projects on Federal Land (Federal Financial Assistance)

Title II projects are recommended by resource advisory committees and approved by the Secretary or designee such as Forest Supervisor or District Ranger. Title II funds may be used for making additional investments in, and creating additional employment opportunities through, projects that improve the maintenance of existing infrastructure, implementing stewardship objectives that enhance forest ecosystems, and restoring and improving land health and water quality. Projects shall enjoy broad based support with objectives that may include, but not limited to:

- Road, trail, and infrastructure maintenance or obliteration;
- Soil productivity improvement;
- Improvements in forest ecosystem health;
- Watershed restoration and maintenance;
- Restoration, maintenance and improvement of wildlife and fish habitat;
- Control of noxious and exotic weeds; and
- Re-establishment of native species.

At least 50 percent of all Title II funds must be used for projects that are primarily dedicated to:

- Road maintenance, decommissioning, or obliteration; or
- Restoration of streams and watersheds.

Title II projects recommended by Resource Advisory Committees (RACs) must be within the RACs geographical boundary.

After the issuance of a decision document for the project and the exhaustion of all administrative appeals and judicial review of the project decision, the Secretary and the RAC shall enter into an agreement addressing, at a minimum, the following:

The schedule for completing the project;
The total cost of the project, including the level of agency overhead to be assessed against the project;
For a multiyear project, the estimated cost of the project for each of the fiscal years in which it will be carried out; and
The remedies for failure of the Secretary to comply with the terms of the agreement.

The Secretary may decide to cover the costs of a portion of an approved project using Federal funds appropriated or otherwise available to the Secretary for the same purposes as the project

As soon as the Secretary and the RAC have reached agreement with regard to a project to be funded, the Secretary shall transfer the proper funds to the Forest Service. The Forest Service shall not begin a project until the project funds are available.

Counties shall notify the Secretary of Agriculture of its allocation of funds to Title II no later than September 30 of each fiscal year. By September 30 of each fiscal, a RAC shall submit to the Secretary a sufficient number of project proposals that if approved, would result in the obligation of at least the full amount of the project funds reserved by the participating county in the preceding fiscal year.

Unobligated project funds shall be available for use as part of a RAC project submission in the next fiscal year. Any project funds not obligated by September 30, 2012, will be returned to the U.S. Treasury.

Title III- County Funds

Counties shall notify the Secretary of Agriculture of its election of funds in Title III no later than September 30 of each fiscal year. Title III projects are developed and selected by the counties. Projects should be advertised for 45 days to allow public comment. Proposed projects should also be sent to the Resource Advisory Committee (RAC) if one exists in the county.

Title III funds may be used to:

- (1) carry out activities under the Firewise Communities Program to provide homeowners in fire sensitive ecosystems education and assistance with implementing, techniques in home siting, home construction, and home landscaping that can increase the protection of people and property from wildfires;
- (2) reimburse the participating county for search and rescue and other emergency services, including firefighting that are performed on Federal land after the date on which the use was approved and paid for by the participating county; and
- (3) develop community wildfire protection plans in coordination with the Secretary of Agriculture.

Under the new Secure Rural Schools Act, counties receiving more than \$350,000 total payments cannot allocate more than 7 percent to title III projects, with the balance allocated to title II or returned to the U.S. Treasury.

Counties allocating funds for Title III projects must submit certification that the funds were used in accordance with Title III to the Secretary of Agriculture no later than February 1 of the year after county funds were expended. The Forest Service will notify participating counties of the process and format the Secretary will use for certification before the end of fiscal year 2009.

The authority to initiate Title III projects terminates on September 30, 2011. Funds not spent or obligated by September 30, 2012, will be returned to the U.S. Treasury.

Matching Requirements: The amount paid shall represent 25 percent of all moneys received during the preceding fiscal year from each National Forest. When a National Forest is in more than one State, territory, or county, the distributive share to each shall be proportional to its area therein. The term "moneys received" includes: 1) all collections under the Act of June 9, 1930

(Knutson-Vandenberg Act); and 2) all amounts earned by or allowed to purchasers of National Forest timber and other forest products within such State, as purchasers credits for the construction of roads on the National Forests Transportation System within such National Forests or parts thereof in connection with any Forest Service timber sales contract, as well as direct receipts from the sale of forest products, minerals and land use. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments will be made by approximately December 1 each year. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Not Applicable.

Account Identification:

12-9921-0-2-806.

Obligations:

(Project Grants (for specified projects)) FY 09 \$450,000,000; FY 10 est \$405,000,000; FY 11 est \$364,500,000

Range and Average of Financial Assistance:

Title I- \$1,305.00 to \$125,490,750.00 average: \$1,047,071 (42 states)

Title II- \$15,811 to \$14,023,009 average: \$1,727,085 (30 states)

Title III- \$10,679 to \$8,276,624 average: \$774,138 (34 states).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Title I- established a stable payment to counties to benefit public education and county road systems. Under the Secure Rural Schools Act and 1-year extension, 39 States received a total of \$2.75 billion from 2001-2007. As directed in the act 80 to 85 percent of these funds are applied to Title I. At the discretion of counties the remaining 15 to 20 percent of funds can be applied to Title II and/or Title III projects.

Title II- established a process for counties to set aside up to 15 to 20 percent of the full payment amount for use on resource improvement projects on, or near, Federal lands. The title established Resource Advisory Committees (RACs), consisting of 15 members representing commodity production and environmental, recreational, and State, local and tribal interests. The RACs function is to solicit, review and recommend resource improvement projects to the local/regional Forest Service contact. To date, RACs have recommended more than 5,100 projects at a cost of over \$230 million (Title II).

Title III- established that counties may use the 15 to 20 percent of funds to support services including search, rescue and emergency services on Federal lands; community service work camps; easements for conservation or recreational purposes; forestry -related educational activities; and fire protection/county planning.

For more information on program accomplishments by state visit:
<http://www.fs.fed.us/srs/docs/secure-rural-schools-report-2000-2007.pdf>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

See Regional Agency Offices. Rick Alexander, Secure Rural Schools Act National Program Manager, ralexander@fs.fed.us; (360) 891-5045.

10600 NE 51st Circle
Vancouver, WA 98682.

Headquarters Office:

Winona Gleason, Albuquerque Service Center, Budget and Finance, All Service Receipts, FS USDA, 110 B Sun Avenue, NE, Albuquerque, New Mexico 87109
Email: wgleason@fs.fed.us Phone: (505) 563-7467.

Website Address:

<http://www.fs.fed.us>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: From 2004 to 2007, the Rogue-Umpqua RAC approved more than \$897,000 of Title II funds for the purpose of restoring water quality and fisheries at Diamond Lake. The funds helped to build a canal to lower the lake by 8 feet and purchase a fish toxicant Rotenone (a plant-based substance, approved by the Environmental Protection Agency and not toxic to humans, other mammals and birds. It is undetectable within weeks of treatment), which was applied in September 2006. Application of the toxicant by the Oregon Department of Fish and Wildlife successfully rid the lake of an estimated 90 million tuichub (an invasive fish species). The project also provided funds for application training and wetland creation next to the lake. Due to the removal of the tuichub, zooplankton and insect populations revived, promoting a flourishing trout population. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.666 SCHOOLS AND ROADS - GRANTS TO COUNTIES

Schools and Roads - Grants to Counties

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 75-210, 7 U.S.C. 1010-1012, Bankhead-Jones Farm Tenant Act.

OBJECTIVES:

To share receipts from the National Grasslands and Land Utilization Projects (LUP) with the Counties in which the National Grasslands and LUP's are situated.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

To be used for the benefit of public schools and public roads of the county or counties in which the National Grassland or LUP's are situated.

Applicant Eligibility:

Eligible applicants are counties of the United States containing National Grassland or a LUP.

Beneficiary Eligibility:

Counties within the United States.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 7 U.S.C. 1010-1012, Public Law 75-210.

This program has no matching requirements. The amount paid shall represent 25 percent of all moneys received during the preceding calendar year from each National Grassland or LUP. When a National Grassland or LUP is in more than one State or county, the distributive share to each shall be proportional to its area therein. The term "moneys received" includes: all collections under the Act of June 9, 1930 (Knutson-Vandenberg Act); all moneys collected into the National Grassland Fund from the sale of permits or contracts for timber values, grazing fees, powerline fees, land use fees, recreational special use fees, mineral fees, and recreation user fees.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The payments will be made in March of each year. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Adhere to the standards of A-133.

Records:

n/a.

Account Identification:

12-9921-0-2-806.

Obligations:

(Formula Grants) FY 09 \$14,302,724; FY 10 est \$17,500,000; FY 11 est \$15,000,000 - Funding amounts reported on a calendar year.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Bankhead Jones Farm Tenant Act of 1937 (7 USC 1010-1012)

Regional or Local Office:

None. Rick Alexander, ralexander@fs.fed.us, (360)891-5045.

Headquarters Office:

Winona Gleason, Albuquerque Service Center, Budget and Finance, All Service Receipts, FS USDA, 110 B Sun Avenue, NE, Albuquerque, New Mexico 87109
Email: wgleason@fs.fed.us Phone: (505) 563-7467.

Website Address:

<http://www.fs.fed.us/srs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.672 RURAL DEVELOPMENT, FORESTRY, AND COMMUNITIES

(Rural Development Through Forestry)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Annual Department of the Interior, Environment, and Related Agencies Appropriations Act.

OBJECTIVES:

To help rural areas analyze and assess forest resource opportunities, maximize local economic potential through market development and expansion, and diversify communities' economic base.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, and all costs associated with making these services available to tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others who are extending services to rural communities.

Applicant Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others working in support of community identified goals.

Beneficiary Eligibility:

Tribal nations, State and Federal agencies, State Foresters, local governments, not-for-profit organizations, and others. Forest Service Regions/Areas/Institutes are encouraged to further define program policies that focus resources to meet the regional, State and local needs of communities.

Credentials/Documentation:

Assistance is in response to a community or a group of communities' request for assistance in identifying opportunities and implementing action that will promote natural resource-based economic diversification and opportunities, technology transfer, market development and/or expansion. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Not applicable. Contact Regional or Local Office listed in Appendix IV of the Catalog. No community grants are reviewed or awarded at the National Office.

Award Procedure:

Established by the Regional Office in support of an overall regional strategic plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Announced in Grants.gov Or Federal Register as funds are made available.

Appeals:

Not Applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the awarded amount or length of commitment. Other requirements may be established by the Regional Office.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The Federal contribution to the overall implementation of a planned project may have a matching requirement,

but that match is negotiated. No statutory match.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance may be a combination of different interests that seek to support planned action by the community or a group of communities to diversify the local economy and to improve its social, economic, and environmental well being. Limitation on length of funded projects will be established at the Forest Service administrative unit (generally, will not exceed 5 years). See the following for information on how assistance is awarded/released: Determined based on agreement and authority.

Reports:

Periodic and final reports are required- no more than quarterly and no less than annually. Cash reports are not applicable. Progress reports are not applicable. Periodic and final reports are required- no more than quarterly and no less than annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Special)) FY 09 \$850,000; FY 10 est \$800,000; FY 11 est \$800,000 - FY11 and FY12 request pending for these Congressionally Directed Grants.

Range and Average of Financial Assistance:

\$25,000-\$500,000; average is approximately- \$265,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Establishment of hardwood scanning center at Purdue University, woody biomass utilization demonstration and technology transfer program in Missouri, establishment of 9-county geocaching trail tourism program in northwest/northcentral Pennsylvania. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Implementation Guidelines available in all Forest Service offices. OMB Circular Nos. A-102 and A-87 are applicable to State and Local Governments, A-110 and A-21 are applicable to educational institutions, and A-110 and A-122 are applicable to Nonprofit Organizations. USDA Implementing Regulations 7 CFR 3015, 3016, 3017, 3018, and 3019 also apply depending on the type of recipient. Legislative history found in Senate and Conference reports 1988, 1989, and 1990 and Forest Service revised guidelines (May 2000).

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service.

Headquarters Office:

Ed Cesa, 180 Canfield Street, Morgantown, West Virginia 26505 Phone: (304) 285-1530 Fax: (304) 285-1505.

Website Address:

<http://www.fs.fed.us/spf/coop/programs/eap/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Establishment of hardwood scanning center at Purdue University that is focused on developing X-ray scanning technology for hardwood logs, woody biomass utilization demonstration and technology transfer program in Missouri focused on meeting Missouri's renewable energy demands, establishment of a 9-county geocaching trail tourism program in northwest/northcentral Pennsylvania focused on attracting tourists by combining tourism and GPS technology. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Regional/Area/Institute administrative units are given the flexibility to make funding decisions based on their specific community and regional priorities documented in a regional strategy for their respective administrative areas. These overall strategies are identified through input by States, tribal nations, local agencies and organizations.

10.674 FOREST PRODUCTS LAB: TECHNOLOGY MARKETING UNIT (TMU)

(TMU Biomass Grant/Assistance Program)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Appropriation through 2005 Omnibus bill, Public Law 108-447; Public Law 108-148.

OBJECTIVES:

Increase the amount of biomass used from the National Forest and associated lands involved with hazardous fuel reduction activities. The program will be designed to assist small forest products businesses, State, local and Tribal governments, school districts, communities, entrepreneurs, non-profits, special purpose districts (i.e., public utilities districts, fire districts, conservation districts, or ports), companies, and corporations turn small diameter and underutilized wood species into marketable forest products, including biomass energy. Accelerate adoption of technologies using biomass and small diameter materials to create community-based enterprises through marketing activities and demonstration projects and establish small-scale business enterprises to make use of biomass and small-diameter materials. The public goal is to reduce the cost per acre of hazardous fuel treated needed to protect communities from wildland fire and improve the overall health of the nation's forest. Program may include: (1) development of potential new products; (2) projects that showcase innovative uses for small diameter and low-valued hardwoods and softwoods; and (3) reducing the challenge to economic and market barriers to the use of the material (4) provide seed money and gap funding (5) facilitating creation/expansion of harvesting/processing/transporting enterprises around wildland urban interface areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Must significantly tie to National Forest System land hazardous fuels treatment projects. No land acquisition or construction.

Applicant Eligibility:

Entities eligible include: Non-profits, local, state, and Tribal governments, business, companies, corporation, (for Profit), special purpose districts, (public utilities districts, fire districts, conservation districts, or port). Land treatment must be adjacent National Forest System lands and may include other lands as part of the treatment activities.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Procedures for submitting grant application can be found on www.fpl.fs.fed.us/tmu or contact the Program Manager as listed under Information Contacts. Submit a narrative description along with the appropriate forms listed in 091, budget sheet, and budget justification.

Award Procedure:

Nationally competitive, merit-based.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Range of Approval/Disapproval Time: 160 days after the date of closure on a Request for Proposals.

Appeals:

Not Applicable.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. Applicants must demonstrate a 20 percent cost share from non-Federal sources, which can include in-kind contributions. In-Kind, or non-cash contributions, must be reasonable, allowable and the recipient must be able to demonstrate in the application that all costs can be shown as actual costs to the recipient in performing the project. i. All unit costs must be clearly identified in the budget justification and must be allowable, reasonable and auditable. ii. Funds paid by the Federal government under another award, unless authorized by Federal statute, may not be used for cost sharing or matching. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As determined by project, no longer than 3 years. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperatoer.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Quarterly financial reporting is required. Semi-annual performance reporting is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grant recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Grant records will be maintained for 5 years after expiration date.

Account Identification:

11-4011-0-1-302.

Obligations:

(Project Grants) FY 09 \$4,127,369; FY 10 est \$4,226,784; FY 11 est \$4,200,000 - FY2012 projected: \$4,200,000.

Range and Average of Financial Assistance:

\$50,000 to \$250,000 per award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Six Year Summary of the Woody Biomass Utilization Grant Program

Year Pre-Application Full Application Number Funded States Funded Tribes Funded

2005	159	44	19	12	1
2006	87	37	16	9	1
2007	93	36	26	13	1
2008	92	35	17	9	1
2009	109	43	28	12	1
2010	192	34	13	5	1

Most of the grants have been given to businesses that are operating on high priority National Forests (see enclosure). Most of the grants have funded equipment for efficient harvesting, transport and processing of woody biomass. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Susan LeVan Green, One Gifford Pinchot Drive, Madison, Wisconsin 53726
Email: slevan@fs.fed.us Phone: (608) 231-9518.

Website Address:

<http://www.fpl.fs.fed.us/tmu/grants.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

- Fiscal Year 2009: -Produce residential and industrial pellets from hazard fuel treatment residues for a local greenhouse.
- Purchase scragg mill and dry kiln to produce flooring and paneling for use in homes in area.
- Purchase a track-mounted mechanized harvester, feller-buncher for cut-to-length merchandizing of hazardous fuel reduction treatments; material used for shavings, energy, and timbers.
- Purchase truck for loading and transporting pole material collected from hazardous fuel treatments around town to post & pole operator.
- Purchase whole tree chipper for onsite hazardous fuel treatments; material used for biomass energy.
- Use hazardous fuel treatment to test co-firing of Southern Utility coal boiler with biomass and determine economics; material used for biomass energy.
- Purchase and install a dry kiln and resaw to improve recovery and use of hazardous fuel treatment material to produce molding and paneling.
- Replace existing boiler system in high school with a biomass heating system.
- Purchase horizontal grinder and band resaw to utilize material from hazardous fuel reduction treatments; material will be used for lumber.
- Purchase and install a new biomass boiler for producing 1.5 MW power to grid from hazardous fuel reduction treatments.
- Install recovery system to use slabs and other material that currently goes to waste; material is derived from small-diameter forest thinning material.
- Purchase horizontal grinder; material processed will be shipped to biomass power plant for energy.
- Purchase and install shaving processor to produce high-quality animal bedding from material too small to process into logs; material removed from hazardous fuel treatments.
- Purchase a horizontal feed tub grinder to use material currently left in woods after hazardous fuel treatment; material will be transported to utility for biomass energy.
- Purchase feller-buncher, tanglehead processor, and skidder in order to process hazardous fuel treatments and transport material to mill.
- Construct a 1,000 kW co-generation facility utilizing woody biomass as the primary fuel.
- Install a pressure treatment cylinder at post-and-pole facility to add value to material.
- Purchase log chipper to complete business plan and efficiently merchandise low value logs into highest value products. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants are approved on the basis of a nationwide review and are based on technical and financial merits of the project as evaluated by a panel of Federal, State, and local business experts and peers. Criteria include showing a demonstrated ability to use biomass from hazardous fuels reduction/treatment projects on and near National Forest Lands.

10.675 URBAN AND COMMUNITY FORESTRY PROGRAM

(UCF)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Title 16, Part 2105, Section 9, Public Law 95-313, 92 Stat. 365.

OBJECTIVES:

To plan for, establish, manage and protect trees, forests, green spaces and related natural resources in and adjacent to cities and towns. The urban forestry program provides ecosystem services, social and economic benefits and links governmental, private and grassroots organizations and resources to improve the quality of life in these cities and towns.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Foresters, equivalent State agencies, interested members of the public and private non-profit organizations in urban and community forestry programs in cities and communities.

070a. To provide a national competitive urban and community forestry challenge cost share grant program to assist urban and community forestry efforts across the country and address strategic urban forestry-related issues and opportunities as identified by the National Urban and Community Forestry Advisory Council. Total annual appropriations are reduced by approximately \$1,000,000 to support activities under the national competitive challenge cost share grant program identified in 070a, above.

Applicant Eligibility:

State Foresters or equivalent State agencies, interested members of the public, private non-profit organizations and others. All States, as well as the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa and Guam, and other territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters or equivalent State agencies, local governments, tribes, and non-profit organizations, and other State, local and private agencies acting through State Foresters, equivalent State agencies or other official representatives as agreed by the State Forester, equivalent State agency, and the Secretary.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 For State and local governments, as implemented by 7 CFR Part 3016. 83a. For the national competitive challenge cost share grant program. Costs will be determined in accordance with OMB Circulars: No. A-87 for State and Local government, as implemented by 7 CFR Part 3016; A-102, Grants and Agreements with State, Local and Tribal governments; A-21 Cost Principals for Educational Institutions; and A-110 Grants and Agreements with Universities, as applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Area/Station/IITF offices provide states and territories with program funding advice each year. 91a. For the national competitive challenge cost share grant program. A Request for Proposals (RFP) is advertised with the criteria and eligibility. The RFP is available in hard copy, is published in the Federal Register and is posted on www.grants.gov. An electronic mailing list is also maintained by the Washington Office Headquarters Staff. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency and required by OMB Circular A-102, as implemented by 7 CFR Part 3016 (i.e., SF-424). Contact Regional, Area or Institute Office listed

in http://www.fs.fed.us/ucf/Regional_Offices.htm.

92a. For the national competitive challenge cost share grant program. Eligible applicants prepare grant proposal narratives for submittal to www.grants.gov or via electronic means or hardcopy to the Forest Service contact person noted on the RFP, accompanied by Form SF-424, SF-424(a) and SF-424(b) and other forms furnished by the Federal agency and required by the OMB Circulars.

Award Procedure:

Established by the Washington, Regional, Area and Institute offices.
http://www.fs.fed.us/ucf/Regional_Offices.htm.

93a. For national competitive challenge cost share grant program. Established by the Washington Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established by the Washington, Regional, Area, and Institute offices.
http://www.fs.fed.us/ucf/Regional_Offices.htm.

Range of Approval/Disapproval varies between Forest Service offices depending on availability of funding.

95a. For the national competitive challenge cost share grant program. Established by the Washington Office.

Appeals:

Not Applicable.

Renewals:

Amended SF-424 (a) with SF 424 application is required to amend the allotment amount or length of commitment, other requirements may be established by the Washington, Regional, Area or Institute offices.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicant matching requirements are determined by the Washington, Regional and Area offices. If matching funds are required, the funds cannot come from Federal Sources. Cooperator matches may be cash, in-kind and non-cash contributions. Matching requirements may range from 0 percent to 50 percent of the total award amount. 101a. For the national competitive challenge cost share grant program. Applicant match requirements are determined by the Statutory authority which requires a 50:50 match. Matching funds cannot come from other federal sources. The non-Federal share of the match may be in the form of cash, services or in-kind contributions. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Electronic transfer of payments are required. Grants are normally awarded for a period of 12 months. A no cost extension justification must be received and approved by the Forest Service. See the following for information on how assistance is awarded/released: Recipients submit invoices based on actual expenditures on a monthly, quarterly or bi-annual basis as established in the award terms.

Reports:

Annual accomplishment reports are required. No cash reports are required. For the national competitive challenge cost share grant program. Narrative progress reports are required at mid-year and year-end. SF-425s are required quarterly. Invoices should provide sufficient additional detail to explain the amount requested for reimbursement. Forest Service program managers will conduct program monitoring for awards under this CFDA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Use of all funds will have to meet Federal and State audit requirements.

Records:

State and other generally accepted accounting systems are permissible, if

acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 09 \$29,541,000; FY 10 est \$30,337,000; FY 11 est \$0 - FY 2011 estimates are not available at this time.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, the Urban and Community Forestry Program provided assistance, primarily through state forestry agency partners, to 6,853 communities, home to over 173 million people, in all 50 states, the District of Columbia, U. S. Territories and affiliated Pacific Island nations. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For all grant awards under this CFDA: OMB Circular Nos. A-102 and A-87, as implemented by 7 CFR Part 3016, are applicable to State and local government grantees. Available online at:

<http://www.whitehouse.gov/omb/circulars/index.html>.

<http://www.fs.fed.us/ucf>

Regional or Local Office:

See Regional Agency Offices. For Forest Service, State and Private Forestry office addresses and telephone numbers. Visit:

http://www.fs.fed.us/ucf/contact_regional.html.

Headquarters Office:

Keith Cline, 1400 Independence Ave SW, MS-1151, Washington, District of Columbia 20250-1151 Email: kcline@fs.fed.us Phone: (202) 205-1393.

Website Address:

<http://www.fs.fed.us/ucf/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1. City of New York, Department of Parks and Recreation. Research links between urban forest Structure, community-scale air quality and respiratory health.

2. Friend's of Hawaii's Urban Forest. Develop an Urban Forestry emergency operation plan template for storm response.

3. University of Washington. Capture and communicate the full range of knowledge about the health and well-being benefits provided by urban nature, and provide outreach and teaching tools for use across the United States. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Most grants are approved on the basis of negotiated targets or objectives to be achieved. UCF community grants are based on local needs and resources.

10.676 FOREST LEGACY PROGRAM

(FLP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, 16 U.S.C. 2101, et. seq., as amended by the 1990 Farm Bill, Section 1217 of Title XII of the Food, Agriculture, Conservation and Trade Act of 1990, Public Law 101-624, 104 Stat. 3359, 16 U.S.C. 2103c; later amended by the 1996 Farm Bill, Federal Agricultural Improvement and Reform Act of 1996; Public Law 104-127; Title III, Conservation; Subtitle G Forestry; Section 374, Optional State Grants for Forest Legacy Program.

OBJECTIVES:

To effectively protect and conserve environmentally important forest areas that are threatened by conversion to nonforest uses, through conservation easements

and other mechanism. The FLP is a voluntary private land conservation partnership between the Forest Service, participating States, land trusts, private landowners, and others. The Program works with State partners and operates on a willing seller and willing buyer basis and is completely nonregulatory in its approach. No eminent domain authority or adverse condemnation is authorized for this Program. Participating States are required to complete an Assessment of Need (AON), which analyzes the need and areas of priority for the program and describes how the program will be implemented within the State.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of conveying land or interests in land to achieve land conservation objectives and preparing and periodically updating a Forest Stewardship Management Plan or a multi-resource management plan.

Applicant Eligibility:

Projects are evaluated and prioritized by State lead agencies, in consultation with the State Forest Stewardship Coordinating Committees. All States, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, and Guam, and other territories and possessions of the United States may be eligible.

Beneficiary Eligibility:

State agency, landowners of private forest lands, and land trust organizations.

Credentials/Documentation:

Each State must prepare an Assessment of Need (AON). This document analyzes the need for the program and describes how the program will be implemented within the State. The FS Regional, Area, or Institute Responsible Official must concur with the State's AON. Requirements for an eligible project are: (1) project is within an approved Forest Legacy Area and fits within the priorities of a State's AON; (2) an approved Forest Stewardship Plan or a multi-resource management plan for the project; (3) complies with FLP State and National criteria; (4) a completed grant application; (5) an approved appraisal, meeting Federal acquisition appraisal standards as outlined in the Uniform Appraisal Standards for Federal Land Acquisitions; (6) negotiated terms of a conservation easement, if applicable; and, (7) adequate non-Federal cost share. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application requirements must comply with the Code of Federal Regulations (7 CFR 3016), Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Individual participating States have application duties and procedures.

Award Procedure:

The Forest Service will conduct an annual project selection process to arrive at a prioritized national project list for inclusion in the Presidents budget. The Forest Service then issues grants to states for the project specific earmarks designated in the Congressional appropriations bills.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An annual project selection calendar with due dates will be developed each fiscal year, and will identify deadlines and approval times.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Federal Contribution cannot exceed 75 percent of total project costs, and at least a 25 percent non-Federal cost share is required. The non-Federal cost share may consist of: funds, donations, land or interests in land, in-kind contributions, direct costs, indirect costs, and others as determined by the Forest Service. Funds will be provided to the States on a per-project basis, as determined by the annual Appropriations Law. The non-Federal cost share can occur at any phase of the project within the legal grant period, including planning, developing future projects, acquisition, capital improvement, management, or administrative activities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided via grants to States. Typical grant period is two years. Grants can receive a no cost extension up to five years with justification and is approved by the Forest Service. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. States are required to submit annual progress reports on outstanding grants. States are required to monitor properties with conservation assessments. Project specific Forest Stewardship plans are required to be established and updated periodically. SF-425 reporting is due at least annually and no more than quarterly as agreed upon in the provisions of the individual agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Use of all funds will have to meet Federal and State audit requirements.

Records:

State Lead Agencies are required to retain complete grant files on each grant issued. States must report annual accomplishments data in the Forest Legacy Information System.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 09 \$57,400,000; FY 10 est \$79,400,000; FY 11 est \$100,100,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 57,400,000 was spent in 2009 to protect forests in all 50 states and territories. Fiscal Year 2010: In 2010, the program will have helped protect nearly 2 million acres of important forests. As of 2010, 50 states and territories participate in the program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Legacy Program Implementation Guidelines of 2003.

Regional or Local Office:

See Regional Agency Offices. Refer to the web site below for Regional and Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Area Director of the Forest Service. http://www.fs.fed.us/spf/coop/library/flp_region_coord.shtml.

Headquarters Office:

Nancy Parachini, 1400 Independence Ave. SW, MS-1123, Washington, District of Columbia 20850 Email: nparachini@fs.fed.us Phone: (202) 205-1618.

Website Address:

<http://www.fs.fed.us/spf/coop/programs/loa/flp.shtml>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Project evaluation and priority ranking is based on the three national core criteria (importance, threatened, and strategy, as defined in the 2003 FLP Implementation Guidelines) as well as project readiness and other evaluation considerations developed in consultation with States and FS Units.

10.678 FOREST STEWARDSHIP PROGRAM

(FSP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, As amended, Public Law 95-313; Food, Agriculture, Conservation and Trade Act of 1990, as amended, Public Law 107-171.

OBJECTIVES:

To promote and enable the long-term active management of non-industrial private and other non-federal forest land to sustain the multiple values and uses that depend on such lands.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide financial, technical, educational, and related assistance to State foresters or equivalent State officials for: The delivery of information and professional assistance to owners of nonindustrial private forest lands; The afforestation, reforestation and active management of state and other non-federal forest land; and Improved forest seedling production and distribution.

Applicant Eligibility:

State forestry or equivalent State agencies, tribes, non-profits, and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the United States Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Trust Territory of the Pacific Islands, and territories and possessions of the United States may be eligible.

Beneficiary Eligibility:

Landowners of non-federal lands, non-profit organizations, tribes and other State, local, and private agencies acting through State Foresters, equivalent State officials, or other official representatives are eligible.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and other eligible applicants prepare grant proposal narratives for submittal to Regional and Area Offices, accompanied by completed standard application forms as furnished by the Federal agency and required by OMB circular No. A-102, as implemented by 7 CFR Part 3016 (i.e., SF-424).

Award Procedure:

Established by Regional and Area Offices.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 10 to 60 days after the application is filed with the Forest Service Regional or Area Office. States and eligible applicants are requested to submit Form SF-424(A) with SF-424 application and other required narratives, forms and assurances as advised by the Regional and Area Offices within 10 to 60 days following official funding advice notification.

Appeals:

Not Applicable.

Renewals:

SF-424(A) with SF-424 application is required to amend the grant amount or length of commitment. Other requirements may be established by the Regional or Area Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Formula and Matching Requirements: Applicant matching requirements are determined by Regional and Area Offices. Matching funds cannot come from other federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Electronic transfers of grant reimbursement payments to States and other program participants is encouraged. Funds are transferred to States and participants, following the submittal of financial and narrative progress reports to Regional and Area offices as requested. Grants are normally awarded for a period of 12 to 18 months. See the following for information on how assistance is awarded/released: Payments made based on authorization of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Periodic and annual accomplishment and financial reports are required by Regional and Area offices. These reports must accompany all grantee cost-share reimbursement requests. SF-425 should be submitted at least annually and no more than quarterly. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Program grant recipients are subject to audit by the Office of Inspector General, USDA.

Records:

State and other grant recipients and Regional and Area Offices must retain records pertaining to grant payments received for a minimum period of five years. State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 09 \$27,000,000; FY 10 est \$29,369,000; FY 11 est \$29,516,000 - FY2011 projection based on current agreements and pending request.

Range and Average of Financial Assistance:

\$25,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since its establishment in 1991, Program has produced more than 300,000 multi-resource management plans encompassing more than 35 million acres of nonindustrial private forest (NIPF) land. Forest Stewardship plans lay out strategies for achieving unique landowner objectives and sustaining forest health and vigor. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual Titles 3000 and 3200 and the Forest Stewardship Program National Standards and Guidelines. OMB Circular Nos. A-102 and A-87, as amended by 7 CFR Part 3016, are applicable to State and Local Government grantees.

Regional or Local Office:

See Regional Agency Offices. Refer to the Forest Service directory for address and telephone number of the State and Private Forestry Directory in your Region or Area of the Forest Service. <https://hnm.gdci.com/directory/>.

Headquarters Office:

Karl R. Dalla Rosa, State and Private/Cooperative Forestry,
P.O. Box 96090, Washington, District of Columbia 20090-6090 Email:
kdallarosa@fs.fed.us Phone: (202) 205-6206.

Website Address:

<http://www.na.fs.fed.us/spfo/stewardship/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: INCORPORATING ECOLOGICAL CLASSIFICATION AND LANDSCAPE GOALS INTO STEWARDSHIP PLANNING, DEVELOP FOREST STEWARDSHIP PLANS FOR PRIVATE WOODLAND OWNERS. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicant grant proposals are reviewed, and funds are granted by Regional and Area Offices.

10.679 COLLABORATIVE FOREST RESTORATION

(CFRP)

FEDERAL AGENCY:

FOREST SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Title VI-Community Forest Restoration, Public Law 106-393, Section 605, Establishment of Program.

OBJECTIVES:

The purposes of the grants are: to promote healthy watersheds and reduce the threat of large, high intensity wildfires, insect infestation, and disease in the forests in New Mexico; to improve the functioning of forest ecosystems and enhance plant and wildlife biodiversity by reducing the unnaturally high number and density of small diameter trees on Federal, Tribal, State, County, and Municipal forest lands; to improve communication and joint problem solving among individuals and groups who are interested in restoring the diversity and productivity of forested watersheds in New Mexico; to improve the use of, or add value to, small diameter trees; to encourage sustainable communities and sustainable forests through collaborative partnerships, whose objectives are forest restoration; and to develop, demonstrate, and evaluate ecologically sound forest restoration techniques.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funding may be allocated for such things as technical assistance, training and education, equipment, marketing, and all costs associated with making these services available to tribal governments, educational institutions, landowners, and other interested public and private entities.

Applicant Eligibility:

Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Beneficiary Eligibility:

Local and tribal governments, educational institutions, landowners, conservation organizations, and other interested public and private entities. Grant proponents must include a diverse and balanced group of stakeholders as well as appropriate Federal, Tribal, State, County, and Municipal government

representatives in the design and implementation of the project. The projects may be entirely on, or on any combination of, Federal, Tribal, State, County, or Municipal forestlands. Processing facilities may be located on private land if they are associated with restoration activities on public land.

Credentials/Documentation:

None.

Preapplication Coordination:

The State of New Mexico Local Government Division has determined that they do not choose to exercise their option under EO 12372 to conduct an intergovernmental review of Collaborative Forest Restoration Program grant proposals. They have requested that the CFRP project proposals not be sent to their office. This program is excluded from coverage under E.O. 12372.

Application Procedures:

Applicants are required to submit form SF-424, SF-424(a), SF-424 (b), AD 1047, AD 1049, and other information described in the Request for Proposals. Proposals should be sent to: CFRP Program, Cooperative and International Forestry, USDA Forest Service, Room 329, 333 Broadway SE, Albuquerque, NM 87102.

Award Procedure:

The Collaborative Forest Restoration Program Technical Advisory Panel evaluates the proposals and provides recommendations to the Forest Service on which ones best meet the purposes, objectives, and administrative requirements of the program. The panel also evaluates the effect of each proposal on long-term forest management. The Forest Service Southwestern Regional Forester selects proposals for final award. The Secretary of Agriculture chartered the Technical Advisory Panel as a Federal Advisory Committee for two years on June 12, 2001 (CFR 1042-138) under the Federal Advisory Committee Act (Public Law 92-463). The Secretary reauthorized the Panel's charter on July 30, 2003 (CFR 1042-138).

Deadlines:

Contact the regional office for specific application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 Days.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The Federal share of an individual project cost shall not exceed 80 percent of the total cost. The 20-percent matching may be in the form of cash or in-kind contribution. Projects can not exceed a total annual cost of \$150,000, with the Federal portion not exceeding \$120,000 annually, nor exceed a total cost of \$450,000 for the project, with the Federal portion of the total cost not exceeding \$360,000 (Public Law 106-393, Title VI, Sec. 605(a) and (b)(8)).

Length and Time Phasing of Assistance:

Projects may not exceed 4 years in length (Public Law 106-393, Title VI, SEC. 605(b)(7). Assistance will be provided by no more than monthly payments.

Reports:

Periodic and final performance reports are required. Annual financial reports will also be required. Grant recipients must attend an annual workshop with other stakeholders for the purpose of discussing the cooperative forest restoration program and projects implemented under Collaborative Forest Restoration Program. Grant recipients may use project grant funds to pay for their travel and per diem expenses to attend the workshop.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems can be used if control procedures allow for proper audit and reconciliation. All grantees must maintain adequate systems for collection and recording statistical data. Project records must be maintained for a period of three years after the project has ended.

Account Identification:

12-1115-0-1-302.

Obligations:

(Grants) FY 07 \$4,100,700; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$66,361.00 to \$360,000.00.

PROGRAM ACCOMPLISHMENTS:

The Collaborative Forest Restoration Program (CFRP) Technical Advisory Panel has met four times to provide the USDA Forest Service Southwestern Regional Forester with consensus recommendations regarding which project proposals submitted for funding under the CFRP best met the objectives of the program. From 2001 to 2004 the Panel reviewed 149 grant proposals and developed consensus recommendations to fund 62 of them. The Panel adopted bylaws to guide their work. CFRP grantees and their partners met in 2003, 2004 and 2005 at the CFRP Annual Workshop to discuss projects implemented under the program. The 2006 Annual Workshop for grantees, their partners, and other interested stakeholders will be held in Albuquerque or Santa Fe in January 2006. CFRP grants support a wide variety of forest and watershed restoration, small diameter utilization, training, and multi-party monitoring and assessment projects in New Mexico. These projects are effectively engaging board and diverse interest groups in collaborative efforts to restore New Mexico's public forestlands.

REGULATIONS, GUIDELINES, AND LITERATURE:

The authorizing legislation (Public Law 106-393 Title VI), a list of the members of the Collaborative Forest Restoration Program Technical Advisory Panel, The Charter for the Technical Advisory Panel, The Technical Advisory Panel Recommendations, The Request for Proposals, Project Summaries for funded activities, and a List of Forest Service Contacts can be found on the website for the Southwestern Region of the Forest Service at www.fs.fed.us/r3/spf/cfrp.

Regional or Local Office:

Regional or Local Office: Refer to Appendix IV of the Catalog for addresses and telephone numbers of the Forest Service National Forests in New Mexico. These are the Gila, Lincoln, Cibola, Santa Fe, and Carson National Forests.

Headquarters Office:

Telephone: (505) 842-3289, USDA Forest Service Southwestern Region, Cooperative and International Forestry staff, 333 Broadway S.E., Albuquerque, NM 87122.

Website Address:

www.fs.fed.us/r3/spf/cfrp.

RELATED PROGRAMS:

10.664, Cooperative Forestry Assistance; 10.672, Rural Development, Forestry, and Communities; 10.854, Rural Economic Development Loans and Grants; 10.901, Resource Conservation and Development; 11.303, Economic Development_Technical Assistance; 11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation.

EXAMPLES OF FUNDED PROJECTS:

The following activities were among those funded in fiscal year 2004: (1) The project will remove small diameter trees, reduce fuel loads and other flammable materials on 200 acres of Forest Service land that abuts private land north of Shady Brook and around campgrounds on the west end of Taos. Emphasis will be on eliminating crowded and non-native plant and tree species by removing trees less than 12 inches in diameter. Healthy old and large trees and snags in both conifer and aspen stands will be preserved. Free firewood will be distributed to local residents and posts and poles less than 12 inches in diameter will be provided to recipients with permits. Local community members will be hired for thinning projects. The project proponents estimate that 10-12 jobs

will be created for the thinning work, and at least eight people will be trained in forest practices. (2) The project will gather and review information about the Santa Domingo Pueblo Bosque (riparian) area and upland resources to identify information gaps; establish methodologies for fuel loading inventories to establish monitoring protocols; conduct baseline surveys of vegetation; explore the feasibility of opening a tribal wood-yard for small diameter timber; involve the community in the development of the project; develop a comprehensive fuels management strategy; and conduct a pilot restoration treatment on 50 acres of Tribal Bosque and woodland. The project includes a summer education and work program for Santo Domingo youth on the restoration protection of the Rio Grande floodplain protection. (3) The project will conduct fuels reduction and forest restoration treatments to reduce hazardous fuels, improve habitat, reduce non-native species and improve watershed conditions on 225 acres of Ponderosa Pine, mixed conifer, and pinyon-juniper forest on Tribal, BLM and NM State Lands near the communities of Candy Kitchen and Fort Wingate. Logs over 9 will be milled, graded, and dried to sell to the Zuni Furniture company and others. Lower grade material will be dried and sold to the community for construction. Logs too small for milling will be made into posts and vigas with traditional Zuni carvings for local and regional markets. The grantees will also study the feasibility of biomass heat and/or power applications for the remaining materials and milling waste. High school students will collect data for multi-party monitoring and assessment reports. (4) This project will chip trees resulting from forest restoration and Wildland Urban Interface fuels reduction projects on the Gila National Forest to provide the Fort Bayard Medical Center with biomass for a new biomass fired boiler system. Gila WoodNet will purchase and install chip handling and processing equipment to be used in screening and re-chipping; purchase and install chip storage bins and equipment to deliver chips; purchase a truck for chip transport; purchase and install scales and moisture monitoring equipment for determining BTU content and value; install transfer, storage, and re-chipping facilities to receive and process biomass; and provide monitoring and system evaluation assistance to other biomass heating projects in the state. The project will create up to five permanent year-round jobs and support up to twenty other forest and wood product related jobs. (5) This project will develop and manufacture high value wood products using logs removed from forest restoration and Wildland Urban Interface fuels reduction projects on National Forest lands in Catron County. The Forestry Association will: purchase, fabricate, and install milling equipment and train local operators to manufacture products made from small diameter trees; participate in collaborative processes that incorporate forest restoration principles and work with business enterprises; mentor young people through Youth Conservation Programs; and design and coordinate community-based multi-party forest restoration monitoring efforts. (6) This project will develop maps, models and assessments of current, reference, and alternative future forest conditions and fire behavior in the Sheep Basin and Negrito Watershed areas and use a collaborative process to develop science-based prescriptions for forest restoration. Treatments will restore the size and age class distribution and spatial pattern of trees to their historic range and variability on approximately 456 acres of the Gila National Forest. The mechanical treatments will be followed by broadcast burns to reintroduce fire when it is ecologically appropriate and safe to do so. The grantee and their partners will develop a model of science-based adaptive management practices through multi-part monitoring and assessment of the ecological and socio-economic effects of the project. (7) The project will conduct forest restoration and fuels reduction treatments on approximately 249 acres of tribal and municipal land. The non-federal match includes the treatment of 267 acres of adjoining tribal land. The project acres adjoin other on-going forest restoration projects on Forest Service land (153 acres) and private land (99 acres). The harvested material will be used by local businesses to produce wood shavings for animal bedding, compost and mulch products. Data for a multi-party assessment of the ecological and socio-economic effects of the project will be collected with assistance from the Mescalero Apache School and Youth Conservation Corps. (8) The Santa Fe County Fire Department will thin trees to reduce fire risk and restore forest health on 43 square miles of high hazard County land in the Wildland Urban Interface where people have built homes and live in forested areas adjacent to County lands. The County will use a collaborative process to identify and prioritize areas to be treated, develop treatment methods, solicit proposals from local contractors, measure and assess treatment processes, implement a media campaign, analyze data, and train participants.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine if they followed the administrative requirements of the program and the proposal format described in the Request for Proposals. The Collaborative Forest Restoration Program Technical Advisory Panel will evaluate grant proposals based on the purpose and eligibility requirements described in the Community Forest Restoration Act of 2000 (Section 3 and Section 5, Title VI, Public Law 106-393). The Panel will consider the proposed projects effect on long-term management and the capability of the proponents to accomplish the project. The Panel will also examine the degree to which proposed activities complement existing projects and programs and evaluate whether the project would reduce the danger of wildland fire in high-risk areas in New Mexico.

10.680 FOREST HEALTH PROTECTION

(FHP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Cooperative Forestry Assistance Act of 1978, Public Law 95-313; Food Agriculture, Conservation and Trade Act of 1990, as amended, Public Law 101-624; Consolidated Appropriations Resolution FY2003, Public Law 108-7, Division F, Title II; subsequent appropriation acts, Public Law 108-108.

OBJECTIVES:

Protect non-Federal forest and tree resources from damaging forest insects, disease causing agents, and invasive plants; develop/improve forest health protection technologies; and monitor the health of our nation's forests.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist State Foresters, State Plant Regulatory Officials, equivalent State officials, or other official representatives, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands. Activities include: conducting surveys to detect and assess insect, disease, invasive plant and other stressors; monitoring and reporting on the health of forests and trees; recommending measures to prevent, retard, slow the spread, control, suppress or eradicate incipient, potential, threatening or emergency forest and tree pests; planning, organizing, directing, and performing such measures; providing technical and scientific information, advice, and related assistance; developing applied methods and technology to improve management of forest health; providing information on pesticides and their use; promoting implementation of appropriate silvicultural or management techniques to improve forest health; and taking other actions deemed necessary to accomplish the objectives and purposes of the Forest Health Protection program. Assistance to tribal lands held in trust by the United States Government is provided directly by the Forest Service, and thus are not included in this cooperative program. However, other tribal lands, such as Alaska Native Corporation Lands, that are not held in trust are included.

Applicant Eligibility:

State Forestry, State Agriculture or equivalent State agencies, subdivisions of states, Alaska native corporations and tribal governments, institutions (public and private), organizations (profit and non-profit), and municipalities are eligible. All States, the District of Columbia, Puerto Rico, the Virgin Islands, the Northern Mariana Islands, the Trust Territory of the Pacific Islands, and the territories and possessions of the United States are eligible.

Beneficiary Eligibility:

State Foresters, State Plant Regulatory Officials, equivalent State officials or other official representatives, tribes, subdivisions of states, agencies, institutions (public and private), organizations (profit or nonprofit), and individuals on non-Federal lands.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, as implemented by 7 CFR Part 3016. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Not applicable. Contact Regional or Area or International Institute of Tropical Forestry (IITF) Office listed in Appendix IV of the Catalog.

Award Procedure:

Established by the Regional, Area or IITF Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Submission due dates are developed for each fiscal year. Contact Region, Area or IITF office. State single point of contact will have 30 days from notification of tentative allotments to comment.

Appeals:

Not Applicable.

Renewals:

Submit SF-424(A) with SF 424 application to amend the allotment amount or length of commitment; other requirements may be established by the Regional, Area or IITF Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Funding for the Cooperative Forest health Protection program is provided to states to help support a base level of professional forest health expertise for non-federal forest managers. Grants for this program are allocated to states using a formula that is based on the number of acres of non-federal forest land within the states, other factors, and amount of funding available through annual appropriations; the purpose of this funding is to help support a base level of professional forest health expertise through non-federal forest land managers. Applicant matching for cooperative Forest health Protection programs and projects that treat specific forest health problems on non-federal lands is required and is usually about 50%. In cases where it is mutually agreed upon that an applicant will treat federal lands as part of a cooperative project or program, the Forest Service reimbursement is 100% for the federal portion. However, applicant matching rates may be adjusted in emergency or extraordinary situations. Forest Service cost-share rates for activities involving development of new and improved forest health protection methods and technologies, and the conduct of special projects and evaluations are negotiated on a project-by-project basis with the grantee. The applicant share of cooperative programs may be in the form of cash, services, or in-kind contributions. If a state elects to consolidate the annual forest service financial assistance, in lieu of functional cost sharing mechanisms, the total consolidated payment to any state during any fiscal year may not exceed the total amount of non-federal funds expended to implement its state forest resources program during that year. However, the Forest Service may exceed the non-federal amount for specific selected activities under the program as long as the total federal expenditure during any fiscal year does not exceed the total non-federal expenditure during that year under the state forest resources program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Electronic transfers of payments are encouraged. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Program reports are not applicable. Cash reports are not applicable. Periodic and annual accomplishment reports are required per the provisions in the final agreement. SF425 is required no less than annually and no more than quarterly for each agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and other generally accepted accounting systems are permissible, if acceptable to audit and reconciliation. All grantees need to maintain adequate systems for collecting and recording statistical data.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 09 \$46,292,000; FY 10 est \$48,573,000; FY 11 est \$45,913,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Annual acres of forest insect and disease surveys are approximately 500,000,000. Annual acres of insect and disease suppression are approximately 1,000,000. The number of applications received and funded is not available without an additional data gathering effort from among field line units. Grants and agreements for base forest health programs on cooperative lands are not competitive, but are of a continuing nature, annually renewable, and based on negotiated targets. Grant and agreements for pest prevention and suppression projects, methods and technology development and special projects are generally competitive and solicited through Requests for Proposals. The total percentage of applications received and funded was 100 for base programs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Forest Service Manual- Title 3400 is available in all Forest Service offices, and State Forestry agency offices. OMB Circular Nos. A-102 and A-87, as implemented by 7 CFR Part 3016, are applicable to State and local government grantees.

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog for Regional and Northeastern Area State and Private Forestry offices of the Forest Service and for addresses and telephone numbers of Regional Foresters and Northeastern Area Director of the Forest Service.

Headquarters Office:

Robert Mangold, 1601 N. Kent Street, RPC-7, Arlington, Virginia 22209
Email: rmangold@fs.fed.us Phone: (703) 605-5340.

Website Address:

<http://www.fs.fed%20us/spf/coop>.

RELATED PROGRAMS:

10.901 Resource Conservation and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Granted to Idaho Department of Lands \$78,000 to thinning stands on private lands for mitigation of western pine beetle. Granted to Colorado State Forest Service \$70,500 for thinning projects in ponderosa pine to reduce losses to mountain pine beetle on state and private lands. Granted to South Dakota Resource Conservation & Forestry \$46,902 for invasive plant treatments on state and private forest lands. Granted to New Hampshire Division of Forests & Lands \$40,000 to identify users of high risk firewood and inspect this material for signs of Asian Long-horned Beetle. Granted to Pennsylvania Bureau of Forestry \$129,800 to treat high value hemlocks in state parks and state forests for hemlock woolly adelgid. Grant to Texas Forest Service \$75,000 to conduct Cogongrass suppression on cooperative lands in Texas. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All grants and cooperative agreements are approved on the basis of negotiated targets, project objectives to be achieved, and relationship to national and regional forest health issues, priorities, and needs.

10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC) (WERC)**FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 105-277, Div. A, Section 101(e) Title III, Section 343, 112 Stat. 2681-231, 2681-297; Cooperative Forestry Assistance Act of 1978, as amended, 16 USC 2101 et, seq.; Forest and Rangeland Renewable Resources Act of 1978, as amended, 6 USC 1600-1614.

OBJECTIVES:

To provide funds, on a cost-share basis, for projects that focus on enhancing opportunities for sustained forest products production for primary and secondary hardwood industries located in the eastern hardwood forest region. In order to maintain and improve the health and stewardship of our eastern hardwood forests, local wood-using industries need to be vibrant and able to utilize a wide variety of resources from the forest. Priorities include:

Maintain the economic competitiveness of primary and secondary hardwood industries. Examples include:

- 1) Encouraging the adoption of new technology to improve competitiveness and profitability,
- 2) Bringing information and technology about processing, marketing, and business-related skills as well as urban wood utilization to existing and emerging businesses, and
- 3) Developing utilization options that improve forest stewardship and health.

Increase the knowledge and information about how the hardwood industry can contribute to the green building movement. Examples include chain of custody certification for sustainable wood products as well as life cycle analysis for a range of wood products.

Increase the knowledge, information, and promotion of how carbon sequestration by wood products can provide a competitive edge to a sustainable hardwood industry. An example includes developing specific carbon storage factors for a range of wood products.

Develop technology and markets to address urgent issues on a global or domestic scale, including:

- 1) Sanitizing wood packaging materials, firewood, and similar products to eliminate these pathways for the transport of insect and disease pests, and

- 2) Developing markets for and using unexpected increases in the volume of urban and rural wood due to new pest introductions (for example, emerald ash borer) and weather events such as tornadoes and ice storms.

Increase the sustainable use of woody biomass to meet our Nations needs for energy and raw materials. Examples include public/private partnerships for using woody biomass in heating and cooling, process energy, cogeneration, district energy systems, and solid and liquid fuel production. Projects may also develop or maintain local markets and forest industry infrastructure by using woody biomass for both energy and value-added products. Provide key information to address the economic, social and ecological aspects of woody biomass production and use.

Provide key information to address the economic, social and ecological aspects of woody biomass production and use: including sustainable supplies, harvesting techniques, forest management, plantation development, and environmental implications.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Projects need to compliment existing activities and/or focus on niches that are not being met by either industry or service providers. In addition, projects need to clearly document industry support and involvement. 100%.

Applicant Eligibility:

Nonfederal agencies; public and private agencies including State, local and

tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others.

Beneficiary Eligibility:

Nonfederal agencies; public and private agencies including State, local and tribal governments; institutions of higher education; non-profit organizations; for-profit organizations; corporations; businesses; and others located in the 35 states of the eastern hardwood forest region including: AL, AR, CT, DE, FL, GA, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NE, NH, NJ, NY, NC, OH, OK, PA, RI, SC, TN, TX, VT, VA, WV, WI.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An application package (including instructions, application and electronic location of financial forms) is developed each year that provides guidelines for applicants to follow in developing their proposal. Standard financial assistance application forms (SF-424, SF-424A and applicable Certifications) are required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

.Established by the USDA Forest Service, Northeastern Area Office, Newtown Square, PA.

Deadlines:

Feb 28, 2011 Eligible applicants are requested to submit SF-424, SF-424A, SF-424B, AD-1047, and AD-1049 with proposed narrative approximately 60 days after the request for proposals is issued (normally around December 1st).

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. SF-424A with SF-424 application is required to amend the awarded amount or length of commitment. Unsuccessful applications can be resubmitted in future years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 50%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally awarded for a period of 12 to 18 months. See the following for information on how assistance is awarded/released: Established in award terms. See the following for information on how assistance is awarded/released: Established in award terms.

Reports:

No program reports are required. No cash reports are required. Periodic and annual accomplishment and financial reports are required. In addition, a detail final report pertaining to program accomplishments is required. Invoices should provide sufficient detail to document the amount requested for reimbursement. Forest Service program staff will conduct monitoring activities to measure performance against established grant objectives. Invoices should provide sufficient detail to document the amount requested for reimbursement. Use form SF-425. Forest Service program managers will conduct monitoring activities to measure performance against established grant objectives.

Audits:

No audits are required for this program.

Records:

All recipients need to maintain records adequate to collect and report statistical data. Forest Service or its designated representatives will have access to recipient records upon request.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 09 \$1,400,000; FY 10 est \$1,400,000; FY 11 est \$1,000,000 - (Project Grants (Discretionary)): FY 08 - approximately \$1.7 million; FY 09 - approximately \$1.4 million; FY 10 - approximately \$1.4 million; FY 11 est. \$1 million.

Range and Average of Financial Assistance:

Range varies from \$10,000 to \$100,000. Average grant award is approximately \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 20 competitive grants funded, various training completed for hardwood industry. Fiscal Year 2010: 24 competitive grants funded, various training completed for hardwood industry. Fiscal Year 2011: No Current Data Available.

In addition, detailed information about competitive grants program accomplishments can be viewed at:

<http://www.na.fs.fed.us/werc/projects.shtm>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 and A-87 are applicable to State and Local Governments, A-110 and A-21 are applicable to educational institutions, and A-110 and A-122 are applicable to Nonprofit Organizations. USDA Implementing Regulations 7 CFR 3015, 3016, 3017, 3018, and 3019 also apply depending on the type of recipient. The program is announced through the Wood Education and Resource Center website and Grants.Gov website.

Regional or Local Office:

See Regional Agency Offices. USDA Forest Service, Northeastern Area, Wood Education and Resource Center, 301 Hardwood Lane, Princeton, WV 24740, Phone: (304) 487-1510; FAX: (304) 487-6661, E-mail: werc@fs.fed.us.

Headquarters Office:

Ed Cesa, 301 Hardwood Lane, Princetown, West Virginia 24740 Email: ecesa@fs.fed.us Phone: (304) 285-1530.

Website Address:

<http://www.na.fs.fed.us/werc/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: 20 competitive grants funded.

Detailed information about funded grants can be viewed at the following website: <http://www.na.fs.fed.us/werc/projects.shtm>.

Promoting Greater Use of Underutilized Eastern Hardwood Species By Improving Design, Marketing and Manufacturing Phase II (Award number 08-DG-113)

Primary project partner: North Carolina State University, Raleigh, NC This is the second phase of a previously funded project focused on developing value-added markets for underutilized eastern hardwoods by a series of workshops to wood manufacturers in the central Appalachian focused on gluing, finishing, designing and manufacturing with underutilized hardwood species such as sweetgum and sycamore.

Promoting the Use of Non-Destructive Testing Technologies for Increased Productivity and Public Safety

(Award number 08-DG-99)

Primary project partner: Allison Tree Service, Madison, WI

This project gathered information on currently available methods and techniques for decay and defect detection in standing trees. A DVD and internet video was developed to present this knowledge in a coherent, user-friendly visual educational format. The video can be viewed at: <http://www.nrri.umn.edu/cartd/forestp/treedecay.htm>.

Increasing Opportunities to Use Forests as an Energy Source (Award number

07-DG-108)

Primary project partner: National Association of State Foresters, Washington, DC

The primary goal of this project was to identify ways State Foresters could encourage and support the sustainable use of woody biomass for thermal, electric, and biofuel/bioproducts applications in the United States. This project provides the information for State Foresters, their agencies and partners, to take an informed role in leading discussions on the sustainable use of woody biomass as an energy source. The final report can be viewed at: <http://www.stateforesters.org/node/955>. Fiscal Year 2010: Fiscal Year 2010: 24 competitive grants funded. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An evaluation panel is convened on an annual basis to evaluate and rate proposals received. Proposals are evaluated on a number of criteria including alignment with WERC's mission and goals, focus on yearly priority items, clear deliverables, industry support, minimal duplication, length of time, multi-state project, training.

10.682 NATIONAL FOREST FOUNDATION

National Forest Foundation

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

National Forest Foundation Act, Public Law 101-593-Title IV-Nov. 16, 1990, as amended by Public Law 103-106 Oct. 12, 1993.

OBJECTIVES:

The purposes of the Foundation are to encourage, accept, and administer private gifts of money, and of real and personal property for the benefit of, or in connection with, the activities and services of the Forest Service of the Department of Agriculture; undertake and conduct activities that further the purposes for which units of the National Forest System are established and are administered and that are consistent with approved forest plans; and undertake, conduct and encourage educational, technical and other assistance, and other activities that support the multiple use, research, cooperative forestry and other programs administered by the Forest Service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for administrative expenses or projects on or benefitting National Forest System lands or related to Forest Service programs: Provided, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: Provided further, That the Foundation may transfer Federal funds to Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. NFF shall submit a Federal Financial Assistance application SF-424 and SF-424 annually based on the

amount of Congressionally appropriated funds each FY

Award Procedure:

not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. 50% matching funds to be provided from private contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Accomplishment reports as set forth in the annual award letter are required. No cash reports are required. No progress reports are required. Actual expenditures are detailed on each request for reimbursement and/or advance liquidation request. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

NFF shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1105-0-1-302 - Multiple account codes are used including: 1103, 1104, 1106, 1106, 1115.

Obligations:

(Direct Payments for Specified Use) FY 09 \$3,000,000; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Supported the implementation of 318 conservation, restoration or collaborative capacity-building projects on 129 National Forests and Grasslands in all nine Forest Service Regions.

Offered an integrated, nationwide peer learning program to support capacity building and knowledge sharing about collaborative stewardship amongst community-based groups and Forest Service employees. Components include peer learning opportunities, information and referral, direct technical assistance, documentation of best practices and tools, capacity-building workshops and distance trainings.

Began implementation and roll-out of Treasured Landscapes, Unforgettable Experiences conservation campaign, focusing on specific restoration sites throughout the United States. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Andrea Bedell-Loucks, 201 14th St. NW, Room 3NE, Washington, District of Columbia 20250 Email: abloucks@fs.fed.us Phone: (202) 205-8336.

Website Address:

<http://www.nationalforests.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.683 NATIONAL FISH AND WILDLIFE FOUNDATION

National Fish and Wildlife Foundation (NFWF)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Section 2(b)(2) of Public Law 98-244.

OBJECTIVES:

Created by Congress in 1984, NFWF directs public conservation dollars to the most pressing environmental needs and matches those investments with private funds. The Foundations method is simple and effective: to work with a full complement of individuals, foundations, government agencies, nonprofits, and corporations to identify and fund the nations most intractable conservation challenges.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To aid cost-share conservation projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs: Provided, That such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Federal Assistance Application, SF-424 and SF-424A, must be submitted annually based on the amount established in the Forest Service FY appropriation

Award Procedure:

Not Applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Public Law 98-244.

Matching Requirements: Percent: 50.%. Funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Annual accomplishment reporting is required. No cash reports are required. No progress reports are required. Actual expenditure detail is required in requests for reimbursement and/or liquidation of advances. The Forest Service program manager will conduct monitoring activities to track accomplishments against goals established in the award terms.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Retain all programmatic and accounting records for a period of not less than three (3) years from the completion date of awards for review by the Forest Service or its designated representative, as required.

Account Identification:

12-1103-0-1-302 - Multiple account codes are used including: 1103, 1104, 1105, 1106, 115.

Obligations:

(Direct Payments for Specified Use) FY 09 \$3,000,000; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Wildlife and Habitat Keystone Initiatives

New England Cottontail (NEC) Habitat Restoration. York and Cumberland Counties, Maine, and New Hampshire. Partners spearheaded urgently needed on the ground habitat restoration for New England cottontail (NEC). Rapid progress in conserving and restoring habitat could improve NEC populations precluding a need for USFWS listing under the ESA. Conservation partners will enter landowner agreements to lessen habitat loss and restore early successional habitat.

Conservation Registry. Oregon, Washington, and Idaho. Conservation partners created a user-friendly, web-based database to map and report information on a full range of on-the- ground conservation projects, allowing comprehensive tracking and analysis of conservation investments.

Montana Cabinet-Yaak Mountains Grizzly Bear Augmentation. Northwest Montana and Northern Idaho. This project included moving five female grizzly bears to the Cabinet Mountain Range to increase Montana's smallest population of native grizzlies. The project also includes bear monitoring and conflict assistance.

Swan Valley Grizzly Bear Monitoring (MT). The Swan Valley in western Montana. Partners collected detailed grizzly bear habitat use and mortality data to evaluate the effectiveness of management efforts to minimize bear-human conflicts and resulting mortalities.

Hydrologic Assessment of Sierra Nevada Meadow Restoration. Partners assessed meadow restoration benefits for both wildlife and downstream water users. Meadows evaluated included those selected from 10 national forests in the Sierra Nevada, from the Modoc in the north to the Sequoia in the south, which had previously eroded and lost their capacity to store water.

Freshwater Fish Habitat Keystone Initiatives

Apache Trout Keystone Initiative. Headwaters of Little Colorado River, Black River and White River in Arizona. Partners expanded habitat restoration to recovery Apache trout meta-populations in new areas and increased population sizes in marginally recovered streams.

Upper Klamath Basin Key Fisheries Restoration: Phase I. Upper Klamath Lake and the Sprague, Williamson and Wood Rivers (Klamath River headwaters). Partners developed a science-based and economically viable strategic path to recovery key fisheries, designed landowner conservation programs, and implemented restoration actions.

Bird Habitat Keystone Initiatives

The Raptor Population Index Project. United States, Canada and Mexico. Partners consolidated diurnal raptor migration counts from a network of volunteer citizen scientists to create a permanent North American monitoring system.

Golden-winged Warbler Conservation Initiative - Year 3. Summer: Appalachian and Great Lakes regions; Winter: Costa Rica, Nicaragua, Panama, Colombia & Venezuela. In year 3, partners completed research, monitoring, and management leading to on-the-ground conservation to sustain Golden-winged Warblers and associated species in North, Central, and South America.

Eastern Shrubland-Dependent Bird Recovery. Eastern United States (Bird Conservation Regions 12, 13, 14, 23, 25, 26, 27, 28, 29, and 30). Partners provided a program of technical assistance and land management activities on public and private lands to restore populations of shrubland dependent birds. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. USDA, Forest Service, National Forest System, Watershed, Fish, Wildlife, Air and Rare Plants, 201 14th Street, SW Washington, DC 20024 (street address and express mail).

Headquarters Office:

Gene DeGayner, 1400 Independence Ave. SW, MS-1121, Washington, District of Columbia 20250-1121 Email: edegayner@fs.fed.us Phone: (202) 205-1281.

Website Address:

<http://www.nfwf.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Bring Back the Natives

Trout Unlimited, Inc., Upper Deschutes Watershed Native Trout Restoration Project
Upper Deschutes Watershed Council, Whychus/Lake Creek (OR) Fish Passage and Screening - Phase I.

Siuslaw Watershed Council, Miller Creek Stream Enhancement
Montana Department of Fish, Wildlife and Parks, Lower Deer Creek
Yellowstone cutthroat trout protection

Lake County Resource Initiative, Thomas Creek Restoration Project
Mattole Restoration Council, Mattole Riparian Ecosystem Restoration
Trout Unlimited, Inc, Rio Grande Cutthroat and Gila Trout Restoration, NM
Trout Unlimited, Inc., Reconnecting brook trout habitat in New England watersheds

Wyoming Game and Fish Department, LaBarge Colorado River Cutthroat Trout Restoration Project

Upper Mississippi River Watershed Program

The Nature Conservancy Swamp White Oak Woodland Restoration (IA)
Missouri Conservation Heritage Foundation, Middle Meramec River
Conservation Opportunity Area (MO)

Trees Forever, Conservation Demonstration Sites in Flooded Watersheds (IA, IL)

Great River Greening, Anoka Sandplain Forest and Savanna Conservation (MN)

The Nature Conservancy, Oak Savanna Habitat Restoration (IN)
Great River Greening, Restoring the Lower St. Croix Floodplain and Blufflands (WI, MN)

West Wisconsin Land Trust, Inc., Maiden Rock Bluff State Natural Area Oak Savanna Restoration (WI)

Ozark Regional Land Trust, Inc., Forest Protection in the Meramec River Watershed (MO)

Minnesota Department of Natural Resources, Zumbro Bottoms Flood Plain Restoration (MN)

Wisconsin Department of Natural Resources, Glacial Lake Grantsburg Pine/Oak Barrens (WI)

Pulling Together Initiative

The Nature Conservancy, Controlling Invasive Plants through Forestry Management (VT)

Clearwater Resource Conservation and Development Council, Inc. Frank Church Wilderness Weed Management Strategy

Mountain Valleys RC&D, Maintaining Momentum & Building Support for SACWMP

Clatsop Soil & Water Conservation District, Clatsop EDRR Initiative
Eastern Nevada Landscape Coalition, Eastern Nevada/Western Utah Invasive Weed Control Program

Pennsylvania Department of Agriculture, Raccoon Mountain Cooperative Weed Management Area

Middle Fork Willamette Watershed Council, Middle Fork Willamette Watershed Invasive Weed Program

Marshall-Beltrami Soil & Water Conservation District, Marshall-Beltrami Weed Management Project

North Santiam Watershed Council, Stout to Valentine Creeks Weed Inventory and Control-OR-EDRR

Piedmont Environmental Council, EDRR Program for Wavyleaf Basketgrass in Virginia

USDA-Forest Service - Monongahela National Forest Development of West Virginia's First CWPMA

California Invasive Plant Council, Invasive Plant Risk Mapping in the Sierra Nevada

Washington State Crupina Eradication Task Force, Pulling together to eradicate common crupina (WA)

Southern Indiana Cooperative Weed Management Area, Southern Indiana Early Detection/Rapid Response Process

Shawnee Resource Conservation & Development Area, Inc., Control, spread prevention and restoration in rights-of-way

Alaska Department of Natural Resources, Canada thistle cooperative control within the Anchorage CWMA

Great Lakes Restoration Grant Program

The Nature Conservancy, Improving Aquatic Habitat in the Two Hearted River (MI)

Bad River Watershed Association, Developing a Restoration/Protection Plan for the Marengo Basin (WI)

Chesapeake Bay Small Watershed Grant Program

The Potomac Conservancy, Inc., Protecting Water Quality in Cedar Creek, Virginia

Chesapeake Bay Foundation, Inc., Upper Potomac Watershed Farm Stewardship II (MD, PA, WV)

Northern Shenandoah Valley Regional Commission, Northern Shenandoah Valley Transfer of Development Rights Program

Native Plant Conservation Initiative

Coevolution Institute, Guides, Website, and Posters for Pollinator Habitat Natureserve, Identifying Threats and Trends for Rare Plants on U.S. Forest Service Lands

University of Florida, Study of Abundance and Diversity of Native Bees (FL)

Michigan State University, Comparison of Flowering Plants for Attracting Native Bees (MI)

Regents of the University of California, Evaluation of Native Plants for Attracting Bees

Center For Plant Conservation, Ex Situ Plant Conservation of G2 species on

USFS lands

Coevolution Institute, Pollinator Field Guide, Posters, Book, & Monitoring Protocol
Botanic Gardens Conservation International (U.S.), Inc., Ex situ conservation of four Red Listed Oak species

Wildlife and Habitat Keystone Initiative

Defenders of Wildlife, Conservation Registry
Rachel Carson National Wildlife Refuge, New England Cottontail Restoration (ME)
MT Fish, Wildlife and Parks Foundation, MT Cabinet-Yaak Mountains Grizzly Bear Augmentation
University of Montana, Swan Valley Grizzly Bear Monitoring (MT)
U.S. Forest Service, Hydrologic Assessment of Sierra Nevada Meadow Restoration
American Rivers, Inc., Evaluating and Prioritizing Meadow Restoration in the Sierra
The Institute for Bird Populations, Standardizing Bird Surveys at Meadow Restoration Sites
Montana Fish, Wildlife and Parks, Bear Conflict Management in the Cabinet-Yaak region, MT
The Vital Ground Foundation, Selkirk-Cabinet-Purcell Linkage Zone Initiative (ID, MT)
Rocky Mountain Elk Foundation, Protecting the Tenderfoot Lands (MT)
Montana Fish, Wildlife and Parks, Montana Crucial Areas and Connectivity Assessment
Environmental Defense Fund, Making Meadow Restoration Work for Ranchers (CA)
Sky Island Alliance, Coronado National Forest Cienega Restoration (NM)

Freshwater Fish Keystone Initiative

Arizona Game and Fish Department, Apache Trout Initiative (AZ)
Klamath Watershed Partnership, Restoring Key Fisheries in the Upper Klamath Basin
Arizona Game and Fish Department, Apache Trout Keystone Initiative
Trout Unlimited, Inc., Meadow Restoration to Sustain Stream Flows and Native Trout

Bird Keystone Initiative

National Wild Turkey Federation, Longleaf Restoration Partnership
Hawk Migration Association of North America, The Raptor Population Index Project
Cornell University, Golden-winged Warbler Conservation Initiative
Wildlife Management Institute, Recovery of Eastern Shrubland-Dependent Birds
University of Tennessee, Eastern Grassland Bird Initiative
American Bird Conservancy, Forestland BMPs for Golden-winged Warblers in PA & MD
Quail Unlimited, Upland Habitats Partnership. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.684 INTERNATIONAL FORESTRY PROGRAMS

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

International Forestry Cooperation Act of 1990, Act of November 4, 1990, Public Law 101-513, Title VI; 104 Stat. 2070; 16 U.S.C 4501 (note), 4501-4503, 4503a-d, 4504-4505.

OBJECTIVES:

To extend Forest Service efforts to improve forest policies and practices internationally, address climate change, conserve and protect critical global forest environments and resources, and improve the lives of forest-dependent peoples by awarding grants to and entering into cooperative agreements with nonprofit organizations, multilateral organizations, and other individuals, organizations, institutions, and governments engaged in forest conservation and

management.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are used to carry out, supplement and complement Forest Service international activities in a wide range of fields, including but not limited to forest policies and regulations, forest products trade, forest management practices, wildlife management, watershed management, recreation and tourism, fire management, forest insect and disease prevention and response, invasive species preventions and response, disaster response and mitigation, landscape planning, forest product development and utilization, climate change adaptation and mitigation, forest economics, and training and institutional strengthening. Grants will be limited to five years.

Applicant Eligibility:

Potential applicants include U.S. and international organizations, educational institutions, government entities, and individuals. International applicants must be from countries sanctioned by the State Department.

Beneficiary Eligibility:

Potential beneficiaries include host-country forest management agencies, non-profit organizations, forest landowners in the target countries, forest-dependent communities and peoples in the targeted countries, and U.S. landowners and organizations involved in or concerned with invasive species mitigation, migratory species conservation, legal trade in forest products, and the impact of climate change on forests.

Credentials/Documentation:

Project managers and organizations must document competence in the field and activities of the project proposed. Eligible projects must fit within the U.S. Forest Service International Programs priorities, comply with all federal grants and agreements regulations, and be documented through a completed grant application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A complete proposal must be submitted to International Programs and explain in detail the work to be undertaken, the qualifications of key personnel involved in the work, resources such as equipment, facilities, services available or needed, and a detailed proposed budget for each fiscal year during the life of the grant. All grant proposals must show technical competence and demonstrate ability to meet international, transnational, national, regional or local needs. Proposals for federal financial assistance must also submit a SF-424, SF-424(A) and (B).

Award Procedure:

Proposals will be evaluated by the Forest Service Office of International Programs, in consultation with the U.S. State Department and the U.S. Agency for International Development, where relevant, for purposes of ensuring compliance with U.S. foreign policy and objectives. Proposed activities will be assessed for their ability to improve forest management and the welfare of forest-dependent peoples in the targeted country or countries, as well as their ability to enhance or complement existing or planned Forest Service programs and activities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 120 days.

Appeals:

Not Applicable.

Renewals:

Requests for continued support will be considered as equal in importance and in competition with pending proposals. Applications submitted for continued

support should be identical to a new proposal along with a detailed summary of progress to date. Applications for continued support must be received no later than 3 months prior to the expiration of the existing grant or agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The applicant's contribution is negotiated. The proposed budget by fiscal year shows the estimated cost of the complete project from grant funds and the value of resources to be contributed by the applicant. The non-Federal cost share may consist of: funds, donations, in-kind contributions, direct costs, indirect costs, and other as determined by the Forest Service. Funds will be provided on a per-project basis, as determined by annual budget allocations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years. Billing and invoices for expenses incurred may be submitted quarterly or semi-annually. Electronic transfers of payments are encouraged. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Final technical reports and financial status reports are due within one (1) month of completion of the project or of the expiration of the agreement, whichever is first. Cash reports are not applicable. Progress reports are not applicable. Financial status reports are required quarterly (using SF425). Technical progress reports are required semi-annually.

Audits:

Not Applicable.

Records:

All related data, information, records and accounts shall be retained for 3 years beyond the expiration date of the grant unless other disposition is specified in writing by the awarding agency.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants) FY 09 \$4,035,519; FY 10 est \$1,301,081; FY 11 est \$1,500,000 - FY08: 24 awards, \$5,587,135; FY09: 29 awards; \$4,035,519; FY10: 8 awards; \$1,301,081 Projected Funding for FY11: \$1,500,000.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Years 2008-2010, approximately 61 grants were made to support the conservation of threatened species (e.g., Siberian Tiger, Pacific Salmon, and the Giant Panda). Implemented international seminars on climate change, and protected area management for participants from over 50 countries. Increased sustainable forestry practices in over 70 countries. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please consult with International Programs for information relevant to a specific proposal.

Regional or Local Office:

See Regional Agency Offices. Alex Moad, Assistant Director for Technical Cooperation, International Programs, amoad@fs.fed.us, 202-273-4695
Vanessa Felder, Grants and Agreements Specialist, International Programs, vanessafelder@fs.fed.us, 22-273-4695.

Headquarters Office:

Vanessa Felder, 1099 14th St. NW, Washington, District of Columbia 20005
Email: vanessafelder@fs.fed.us Phone: (202) 273-4695.

Website Address:

www.fs.fed.us/global.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established on a program-by-program basis and approved based on the negotiated objectives to be achieved at international, national, regional and local levels.

10.687 RECOVERY ACT OF 2009: CAPITAL IMPROVEMENT AND MAINTENANCE

Recovery Act of 2009: CIM

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 ("Recovery Act"): For partnership agreements: Cooperative Funds and Deposits Act of December 12, 1975, Public Law 94-148, 16 U.S.C. 565a1 a3, as further authorized by Division F, Title IV, Section 417 of the Consolidated Appropriations Act 2008 (Public Law 110-161). (Participating Agreements); Interior and Related Appropriations Act of 1992. (Public Law 102-154, (Challenge Cost-Share Agreements); National Agricultural Research, Extension, and Teaching Policy Act of 1977 (Public Law 95-113), as amended by the Food Security Act of 1985 (7 U.S.C. 3318, and 3319, Public Law 99-198) and further amended by Public Law 105-198(Research); Wyden Amendment (Public Law 105-277, Section 323 as amended by Public Law 109-54, Section 434) (Participating Agreements - Watershed restoration); Exempted Agreements: National Forest Roads and Trails Act of October 13, 1964 (16 U.S.C. 532-538, Public Law 88-657) and; Southern Nevada Public Land Management Act of 1998; and Lake Tahoe Restoration Act, Public Law 106-506 as amended; and White Pine County Conservation, Recreation and Development Act of 2006; and Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended. For project grants/cooperative agreements: Cooperative Forestry Assistance Act of 1978, Public Law 95-313, 16 U.S.C. 2101 (S&PF); Farm Security and Rural Investment Act of 2002, Public Law 107-171.(S&PF); Public Law 105-277, Division A, Section 101(e), Title III, Section 343 (S&PF); Public Law 110-246, Food, Conservation and Energy Act of 2008, Title XI - ENERGY, Sections 9012 and 9013 (R&D); Public Law 105-277, Section 323, as amended by Public Law 109-54 and permanently authorized by Public Law 111-11, Section 3001, Watershed Restoration and Enhancement Act (Wyden Authority) (NFS & S&PF); Public Law 109-58, Energy Policy Act of 2005 42 U.S.C.15855 (S&PF & R&D); Public Law 109-432, Title III, Subtitle G, Eastern Nevada Landscape Restoration Project (S&PF); Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended, Title II RACs.

OBJECTIVES:

For priority road, bridge and trail maintenance and decommissioning, including related watershed restoration and ecosystem enhancement projects; facilities improvement, maintenance and renovation; and remediation of abandoned mine sites.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Road, bridge and trail maintenance and decommissioning, including related watershed restoration and ecosystem enhancement projects; facilities improvement, maintenance and renovation; and remediation of abandoned mine sites. ARRA reporting requirements must be met by all recipients of Recovery Act funding.

Applicant Eligibility:

Nonfederal agencies, state and local governments, non-profit organizations, tribal nations, individuals are eligible. Beneficiary eligibility: nonfederal agencies, state and local governments, non-profit organizations, tribal nations, individuals and the American Public at large.

Beneficiary Eligibility:

In addition to project grants and cooperative agreements, mutual benefit partnership agreements such as Challenge Cost Share Agreements, Participating Agency Agreements, Cooperative Forest Road Agreements have been awarded.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All funds have been awarded for this program. No further applications are being considered for award under this CFDA program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

No other information.

Renewals:

No other information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. Matching requirements as required under specific statutory authority (as cited above) or as may be specifically waived under the terms of the Recovery Act. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No additional funds are available for awards under this CFDA program. See the following for information on how assistance is awarded/released: Depending upon the type of assistance (grants or cooperative agreements) or partnership agreements, payments may be made on an advance basis or reimbursable basis as authorize by the specific authority under which the awards are being made.

Reports:

Accomplishment reports are a requirement of Recovery Act awards.. The report will be posted to www.federalreporting.gov and tied to www.Recovery.gov. Recipients and their subrecipients (first-tier) must have a Dun and Bradstreet Universal Numbering System (DUNS) number (www.dnb.com) and must maintain active and current profiles in the Central Contractor Registration (www.ccr.gov). (ARRA 1512, ARRA 1609). Cash reports are not applicable. Progress reports are due quarterly as published at the www.federalreporting.gov website. Invoices displaying current expenditures and cumulative expenditures are required in sufficient detail to permit a substantive review, i.e., individual expenditures should be itemized. Monitoring is an integral part of post award administration. The purpose of monitoring is to ensure that the recipient meets their programmatic and financial requirements of the award. There are several types of grant/agreement monitoring functions. These could include telephone calls, desk reviews, on-site reviews, performance reports, financial and legal reviews, or other tools.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accounting records shall be maintained in accordance with generally accepted accounting principles adequate to collect, record and document award-related expenditures. See Section 1514 and 1515 of the Recovery Act.

Account Identification:

12-1102-0-1-302.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$650,000,000; FY 11 est \$0 - This is a new program for 2009.

Range and Average of Financial Assistance:

\$25,000 - \$5 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See <http://fs.usda.gov/economicrecovery> for details on USDA, Forest Service ARRA program accomplishments. Fiscal Year 2010: See <http://fs.usda.gov/economicrecovery> for details on USDA, Forest Service ARRA program accomplishments. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

<http://www.recovery.gov> and the USDA recovery website provide award recipients with access to all OMB guidance and agency-specific guidance applicable to ARRA funding requirements.

Regional or Local Office:

None.

Headquarters Office:

Associate Chief, 201 14th Street, SW, Washington, District of Columbia 20024
Phone: (202) 205-1779.

Website Address:

<http://fs.usda.gov/economicrecovery>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: see <http://fs.usda.gov/economicrecovery>; www.usaspending.gov; and www.recovery.gov for all USDA and Forest Service ARRA program award information. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**10.688 RECOVERY ACT OF 2009: WILDLAND FIRE MANAGEMENT
ARRA WFM****FEDERAL AGENCY:**

Forest Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Recovery Act) For partnership agreements: Cooperative Funds and Deposits Act of December 12, 1975, Public Law 94-148, 16 U.S.C. 565a1 a3, as further authorized by Division F, Title IV, Section 417 of the Consolidated Appropriations Act 2008 (Public Law 110-161). (Participating Agreements); Interior and Related Appropriations Act of 1992. (Public Law 102-154, (Challenge Cost-Share Agreements); National Agricultural Research, Extension, and Teaching Policy Act of 1977 (Public Law 95-113), as amended by the Food Security Act of 1985 (7 U.S.C. 3318, and 3319, Public Law 99-198) and further amended by Public Law 105-198 (Research); Wyden Amendment (Public Law 105-277, Section 323 as amended by Public Law 109-54, Section 434) (Participating Agreements - Watershed restoration); Exempted Agreements: National Forest Roads and Trails Act of October 13, 1964 (16 U.S.C. 532-538, Public Law 88-657) and; Southern Nevada Public Land Management Act of 1998; and Lake Tahoe Restoration Act, Public Law 106-506 as amended; and White Pine County Conservation, Recreation and Development Act of 2006; and Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended. For project grants/cooperative agreements: Cooperative Forestry Assistance Act of 1978, Public Law 95-313, 16 U.S.C. 2101 (S&PF); Farm Security and Rural Investment Act of 2002, Public Law 107-171.(S&PF); Public Law 105-277, Division A, Section 101(e), Title III, Section 343 (S&PF); Public Law 110-246, Food, Conservation and Energy Act of 2008, Title XI - ENERGY, Sections 9012 and 9013 (R&D); Public Law 105-277, Section 323, as amended by Public Law 109-54 and permanently

authorized by Public Law 111-11, Section 3001, Watershed Restoration and Enhancement Act (Wyden Authority) (NFS & S&PF); Public Law 109-58, Energy Policy Act of 2005 42 U.S.C.15855 (S&PF & R&D); Public Law 109-432, Title III, Subtitle G, Eastern Nevada Landscape Restoration Project (S&PF); Secure Rural Schools and Community Self-Determination Act of 2000, as reauthorized and amended, Title II RACs.

OBJECTIVES:

Hazardous fuels reduction, forest health protection, rehabilitation and hazard mitigation activities on Federal lands, and State and private forestry activities including hazardous fuels reduction, forest health and ecosystem improvement activities on State and private lands; wood-to-energy grants to promote increased utilization of biomass from Federal, State and private lands.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Hazardous fuels reduction, forest health protection, rehabilitation and hazard mitigation activities on Federal lands, and State and private forestry activities including hazardous fuels reduction, forest health and ecosystem improvement activities on State and private lands; wood-to-energy grants to promote increased utilization of biomass from Federal, State and private lands. ARRA reporting requirements must be met by all recipients of Recovery Act funding.

Applicant Eligibility:

See 70 above for specific project areas under this CFDA. Applicant eligibility: Nonfederal agencies, state and local governments, non-profit organizations, tribal nations, individuals

Beneficiary Eligibility: Nonfederal agencies, State and local governments, non-profit organizations, tribal nations, individuals and the American Public at large.

Beneficiary Eligibility:

In addition to project grants and cooperative agreements, mutual benefit partnership agreements such as Challenge Cost Share Agreements, Participating Agency Agreements, Cooperative Forest Road Agreements have been awarded.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All funds have been awarded for this program. No further applications are being considered for award under this CFDA program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

No other information.

Renewals:

No other information.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Depending on the authority under which an award is made, matching requirements may exist. Partnership agreements generally require a minimum 20 percent contribution. Awards provided for activities on State and private lands shall not be subject to matching or cost share requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No additional funds are available for awards under this CFDA program. See the following for information on how assistance is awarded/released: Depending upon the type of assistance (grants or cooperative agreements) or partnership agreements, payments may be made on an advance basis or reimbursable basis as authorized by the specific authority under which the awards are being made.

Reports:

Accomplishment reports are a requirement of Recovery Act awards. American Recovery and Reinvestment Act (ARRA)-Sections 1512 and 1609 Reporting Requirements: The report will be posted to www.federalreporting.gov and tied to www.Recovery.gov. Recipients and their subrecipients (first-tier) must have a Dun and Bradstreet Universal Numbering System (DUNS) number (www.dnb.com) and must maintain active and current profiles in the Central Contractor Registration (www.ccr.gov). (ARRA 1512, ARRA 1609). Cash reports are not applicable. Progress reports are due quarterly as published at the www.federalreporting.gov website. Invoices displaying current expenditures and cumulative expenditures are required in sufficient detail to permit a substantive review, i.e., individual expenditures should be itemized. Monitoring is an integral part of post award administration. The purpose of monitoring is to ensure that the recipient meets their programmatic and financial requirements of the award. There are several types of grant/agreement monitoring functions. These could include telephone calls, desk reviews, on-site reviews, performance reports, financial and legal reviews, or other tools.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See <http://www.whitehouse.gov/omb/circulars/> for more information regarding OMB Circular requirements by entity type.

Records:

Accounting records shall be maintained in accordance with generally accepted accounting principles adequate to collect, record and document award-related expenditures. See Section 1514 and 1515 of the Recovery Act.

Account Identification:

12-1118-0-1-302.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$500,000,000; FY 11 est \$0 - no other information.

Range and Average of Financial Assistance:

\$25,000 - \$2 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See <http://fs.usda.gov/economicrecovery> for details on USDA, Forest Service ARRA program accomplishments. Fiscal Year 2010: See <http://fs.usda.gov/economicrecovery> for details on USDA, Forest Service ARRA program accomplishments. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

<http://www.recovery.gov> and the USDA recovery website provide award recipients with access to all OMB guidance and agency-specific guidance applicable to ARRA funding requirements.

Regional or Local Office:

None.

Headquarters Office:

Associate Chief, 201 14th Street, SW, Washington, District of Columbia 20024
Phone: (202) 205-1779.

Website Address:

<http://fsweb.wo.fs.fed.us/economicrecovery/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: see <http://fs.usda.gov/economicrecovery>; www.usaspending.gov; and www.recovery.gov for all USDA and Forest Service ARRA program award information. Fiscal Year 2010: see <http://fs.usda.gov/economicrecovery>; www.usaspending.gov; and www.recovery.gov for all USDA and Forest Service ARRA program award information. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.689 COMMUNITY FOREST AND OPEN SPACE CONSERVATION PROGRAM (CFP)

Community Forest Program (CFP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, which amends the Cooperative Forestry Assistance Act of 1978 (16 USC 2103d), Title IX, Section 8003, Public Law 110-246, 122 Stat. 1651. Program is undergoing rulemaking. A proposed rule for implementation of the Community Forest and Open Space Program is targeted for publication in the Federal Register for December 2010., Title IX, Section 8003, Public Law 110-246, 122 Stat. 1651.

OBJECTIVES:

The purpose of the CFP is to competitively award grants to enable local governments, Indian Tribes, and nonprofit organizations to establish community forests by acquiring and protecting private forest lands that will provide continuing and accessible community benefits. Community benefits provided by community forests established through this program include, but are not limited to: economic benefits through sustainable forest management; environmental benefits such as clean water and wildlife habitat; benefits from forest-based educational program, including vocational education program in forestry and serving as models to guide stewardship on private forest lands; and recreational benefits, including hunting and fishing. Public access to the community forests is required and intended to enhance public health and well-being.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Landowner participation in the program is voluntary and consists of conveying land to achieve conservation objectives. 100%.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regional/Area/IITF Offices and the State Foresters can be a resource for assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To be determined.

Award Procedure:

To be determined.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

To be determined.

Appeals:

To be determined.

Renewals:

To be determined.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Funds reimbursed by the Federal Government may not exceed 50 percent of the total project budget expended by the grantee. The non-Federal share of the match may be in the form of cash, services, or in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

To be determined. See the following for information on how assistance is awarded/released: As determined by the award terms.

Reports:

To be determined. Cash reports are not applicable. To be determined. SF 425 Federal Financial Report will be used to report total program expenditures that clearly reflect the established 50% match. The Forest Service program manager will conduct monitoring activities to measure performance against established grant objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Access to financial reports shall be provided to Forest Service or its designees as requested.

Account Identification:

12-1105-0-1-302.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$500,000; FY 11 est \$1,000,000 - Annual funding levels to be determined per Presidential budget request.

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars are applicable to awards under this program.

Regional or Local Office:

None. Forest Service S&PF, Cooperative Forestry
1400 Independence Avenue, SW
Stop Code 1123
Washington, DC 20250.

Headquarters Office:

Nancy Parachini, 1400 Independence Ave SW, MS-1123, Washington, District of Columbia 20850 Email: nparachini@fs.fed.us Phone: (202) 205-1618.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Community benefits to be achieved from the establishment of the community forest, which may include the following: (1) Any economic benefits through sustainable forest management; (2) The environmental benefits, including clean

water and wildlife habitat; (3) Forest-based educational program, including vocational education programs in forestry; (4) Benefits from serving as a model of effective forest stewardship for private landowners; and (5) Type of public access and recreational benefits, including hunting and fishing.

10.690 LAKE TAHOE EROSION CONTROL GRANT PROGRAM

Lake Tahoe Erosion Control Grant Program

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Lake Tahoe Restoration Act, Public Law 106-506, as amended by Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 2004, Public Law 108-108. New legislation has been introduced in Congress for LTRA reauthorization and revision, and is expected to be passed by November of 2010. Proposed revisions would not change overall objectives or funding opportunities for this program.

OBJECTIVES:

To make annual payments to the governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin to be used primarily for erosion control and water quality projects. The goal of the program is to provide federal assistance to address urban stormwater runoff pollution, the primary source of pollutants (fine sediment and nutrients) affecting the clarity of Lake Tahoe. This program addresses urban runoff from public roads and right of ways.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Only after water quality and soil conservation thresholds have been achieved, funds may be used to address other environmental threshold categories. Payments shall be used only to carry out a project or proposed project that is part of the environmental improvement program adopted by the Tahoe Regional Planning Agency in 1998. Funds require a 1:1 match by the states of California and Nevada. 100%.

Applicant Eligibility:

Eligible applicants: Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals).

Beneficiary Eligibility:

Beneficiary Eligibility: Governing bodies of each of the political subdivisions (including public utilities) located in the Lake Tahoe Basin.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Submittal of an annual list of priority projects, along with written proposals for each of the identified priority projects. The USFS has chosen to adopt the State guidelines for these proposals, since they meet all the needs for project narratives for federal grant considerations, with one additional requirement. That additional requirement is clear identification of sources and amounts of proposed match funding. Implementation of construction projects under awarded grants are not allowed until a signed project decision under NEPA has been made, allowing the use of federal grant funds for implementation. Local Office monitors grant awards to assure compliance of this restriction. Planning, design, and monitoring grants do not require an environmental impact assessment. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Project narratives submitted through the pre-application process are reviewed by an interagency technical advisory committee convened by the Federal grants program managers. Projects are then identified for selection for award, along with instructions for any needed revisions to the project narratives. At that time, eligible applicants submit revised narratives along with standard application forms and assurances to the Local Office, as furnished by the Federal agency.

Award Procedure:

Award letter sent to grantee, with identification of grant requirements, in letter from Local Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Extensions can be made for individual grants as long as end dates do not exceed five years post award date.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Matching requirements are specified in Public Law 106-506, as amended, Public Law 108-108, Section 337. Match must be provided on a 1:1 basis from the states of California and Nevada. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a period not to exceed 5 years. Invoices may be submitted no more frequently than monthly. See the following for information on how assistance is awarded/released: Payments made based on authorization and negotiation of provisions with cooperator.

Reports:

Bi-annual accomplishment reports are required by Local Office. No cash reports are required. No progress reports are required. Financial expenditures reporting is required. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and programmatic records must be retained for three years from the date of submission and approval of final financial reports.

Account Identification:

12-5232-0-1-302 - 1214X5232.

Obligations:

(Project Grants (Discretionary)) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

In FY 08, \$229,575 to \$2,600,000. Average award: \$1,237,878.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Over the last 3 years, our program has seen many phases of many projects be planned and implemented. These projects usually take several years of environmental planning and design work before they are implemented. The following is a partial list of the jurisdictions and their respective projects that have been funded in part by the Erosion Control Grants program: Placer County has planned or implemented projects in Kings Beach (EC and clean water pipe bypass), Tahoe Estates EC, Brockway EC, Lake Forest (EC and stream restoration), West Sunnyside EC, and Tahoe Pines EC. The Lake Forest Stream Restoration brings back to the meadow a stream that has been in an underground pipe for over 35 years. El Dorado County has planned or implemented projects in Montgomery Estates (EC), Apalachee (EC), Sawmill (EC), and Christmas Valley (EC). Washoe County has done planning and implementation in two phases of the Crystal Bay area. The City of South Lake has done project planning and implementation for several phases of the Sierra Tract, several phases Al Tahoe (EC), and two phases of the particularly difficult

Bijou area(EC). Douglas County has been working on phases of the Lake Village project. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Barb Shanley or Genevieve Villemarie, Forest Service, 35 College Drive, South Lake Tahoe, CA 96150, Telephone: (530) 543-2657 or 2783, Fax: (530) 543-2693, email: bshanley@fs.fed.us or gvillemaire@fs.fed.us.

Headquarters Office:

Barbara Shanley, 35 College Drive, South Lake Tahoe, California 96150 Email: bshanley@fs.fed.us Phone: (530) 543-2657.

Website Address:

<http://www.fs.fed.us/r5/Itbmu/ecgp/index.shtml>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Our funding is matched 1:1 by local governmental entities and goes primarily towards two types of projects. Those projects are stream and/or meadow restorations, and erosion control projects. Our purpose is to increase the quality of the water that runs into Lake Tahoe from surface roads and developed areas of the Lake Tahoe Basin Watershed. Stream/meadow restorations help to increase the quality of the water that comes through the watershed by way of ephemeral and permanent creeks and waterways. Erosion control projects seek to reduce the source of the pollutants, correct the hydrology of the area, and treat the runoff for pollutants of concern. We also fund effectiveness monitoring and some BMP research to a lesser degree. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grants must address planning, construction, or monitoring of projects identified in the Tahoe Regional Planning Agency environmental improvement program. For this, primary criteria projects are selected based on direction provided in the LTRA to provide to the maximum extent practicable that each political subdivision receives some funding and to allocate funding based on the relative need for and merits of projects.

10.691 GOOD NEIGHBOR AUTHORITY

Good Neighbor Authority

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Omnibus Appropriations Act of 2009, Public Law 111-8; FY 2005 Interior and related Agencies Appropriations Act, Public Law 108-447, 118 Stat. 3012, as amended by Consolidated Security, Disaster Assistance and Continuing Appropriations Act of 2009, Public Law 110-329. Authority due to expire September 30, 2010. Congress is considering it for renewal beyond FY 2010, Public Law 108-447, 118 Stat. 3012;.

OBJECTIVES:

To permit the State Forester of the states of Utah and Colorado to perform forest, rangeland and watershed restoration services on National Forest System lands in the states of Utah and Colorado.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Restoration services provided are on a project-by-project basis as planned or made ready for implementation under existing Forest Service authorities. Types of projects include treatment of insect infected trees, reduction of hazardous fuels, and other activities to restore or improve forest, rangeland and watershed health including fish and wildlife habitat. Projects may be completed by the state of Utah or the state of Colorado or subcontracts utilizing State contract procedures. 100%.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental documentation by the Forest Service is completed prior to inclusion under an award. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Forest Service and the states of Utah and Colorado mutually agree to projects that are appropriate under this authority.

Award Procedure:

The law authorizes sole source award of included projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Submit written request with SF-424 application and SF-424A (as applicable) to amend budget amounts or length of commitment; other requirements may be established by the affected Forest Service Supervisor's Office in consultation with the Regional Office.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As specified in award terms, but not to exceed 5 years. See the following for information on how assistance is awarded/released: As specified in award terms.

Reports:

The states of Utah and Colorado shall submit a performance report annually. No cash reports are required. No progress reports are required. Financial reports shall be submitted in accordance with award terms. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All related data, information, records and accounts shall be retained for a period of 3 years beyond the date of submission of final financial reports. Financial and programmatic records will be made available to the Forest Service or its designated agent upon request.

Account Identification:

12-1106-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$792,180; FY 10 est \$119,175; FY 11 est \$0 - Funding for FY 2011 is pending Congressional approval.

Range and Average of Financial Assistance:

\$5,000 to \$100,000. Average: \$40,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Over the past 3 years, we have been able to accomplish over 6 miles of much needed road maintenance, 37.9 miles of forest trail construction/reconstruction, 1,382 acres of fuels reduction and more than 6 water developments maintained or improved. This authority has enabled us to complete high priority and previously unfunded project work in close collaboration with various state agencies. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: Funding for FY 2011 and beyond is pending renewal of authority by Congress.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Offices in Colorado and Utah for more information.

Headquarters Office:

Earl Kerns, 740 Simms St., Golden, Colorado 80401 Phone: (801) 625-5600.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Forest trail construction/reconstruction; Forest road reconstruction/maintenance; Fuels reduction for public safety; Fish habitat improvement and fish passage creation; Wildlife and livestock water maintenance; Meadow protection and enhancement; Fencing for vegetation recovery. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All cooperative agreements are approved on the basis of negotiated targets, project objectives to be achieved and the relationship to regional forest health issues, priorities and needs.

10.693 WATERSHED RESTORATION AND ENHANCEMENT AGREEMENT AUTHORITY

Wyden Amendment

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Public Law 105-277, Section 323 as amended by Public Law 109-54 Section 434. This program has been permanently authorized, Public Law 111-11.

OBJECTIVES:

To enter into domestic cooperative agreements with willing participants for the protection, restoration, and enhancement of fish and wildlife habitat and other resources on public or private land and for the reduction of risk from natural disaster where public safety is threatened that benefit these resources within the watershed.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects that protect, enhance, or restore resources within a watershed and provide tangible benefits to achieving Forest Service goals and objectives are allowable under Wyden. Project types are not limited to actual projects on the ground; for example, stream gabion installation, check dam construction, fish habitat restoration, or culvert cleaning. Watershed analysis studies, habitat surveys and wildlife species monitoring, depending on the benefit to resources within the watershed, are also permissible under Wyden. Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act. Must be within a watershed for the stated program objectives.

Applicant Eligibility:

n/a.

Beneficiary Eligibility:

n/a.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any project carried out under Wyden authority must comply with all applicable Federal, State and local laws and regulations, policies and permit requirements; for example, National Environmental Policy Act, Clean Water Act, and Endangered Species Act. The standard application forms SF 424s as furnished by the federal agency and required by OMB A-102, as implemented by 7 CFR Part 3016 and 7 CFR Part 3019, must be used for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Forest Service and cooperator mutually agree to projects that are appropriate.

Award Procedure:

Established by Forest headquarters, Regional or Washington Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Submit written request and completed SF-424 application and SF-424A (as applicable) to amend amount or length of commitment; other requirements may be established by the affected Forest headquarters, Regional or Washington Office.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As specified on the cooperative agreement, but not to exceed 5 years. Invoices may be submitted no more frequently than monthly. See the following for information on how assistance is awarded/released: As specified on the cooperative agreement.

Reports:

No program reports are required. Recipients will complete and submit SF-425s to report expenditures and cash on hand, as applicable. Reports due at least annually and no more than quarterly. As specified in the award document, progress reporting is required to monitor progress against award objectives. Recipients will complete and submit SF-425s to report expenditures and cash on hand, as applicable. The recipient and other parties to the agreement are expected to conduct monitoring activities.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Not Applicable.

Records:

NII related data, information, records and accounts shall be retained for a period of 3 years beyond the data of submission of final financial reports and/or final payment.

Account Identification:

12-1106-0-1-302 - 12/14-5232-0-1-302.

Obligations:

(Salaries) FY 09 \$6,000,000; FY 10 est \$6,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

Varies by type of project and funding available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, as applicable to the type of recipient and 48 CFR 31.2 for Private Landowners.

Regional or Local Office:

See Regional Agency Offices. Varies by type of project and funding available.

Headquarters Office:

Ronald Dunlap, 201 14th Street NW, Room 3SE, Washington, District of Columbia 20024 Email: rdunlap@fs.fed.us Phone: (202) 205-1790.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A project on the Willamette NF in Oregon addressed the issue of floating logs in Detroit Lake that get washed in every spring and pose a hazard to boaters. The logs were removed from the lake, transported around the dam and deposited downstream as woody material in 4 miles of FS and other ownership streams occupied by ESA-listed steelhead and Coho salmon. The FS contribution to this project was \$12,000

Another project on the Ozark-St Francis National Forests in Arkansas involved restoration of old agricultural fields to native warm season grasses and herbs. Private land and National Forest lands were restored for a total of 263 acres. The FS contributed \$10,000 to this project.

A thinning and prescribed burn project on the Sequoia National Forest in California treated hazardous fuels on National Forest and adjacent Tule Indian tribal lands. The total cost of the project was \$1,400,000 and resulted in 1200 acres treated. Fiscal Year 2010: Projects will vary depending on the type of opportunities. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements are negotiated on the basis of project objectives to be achieved, with emphasis on restoration, protection, and enhancement of fish and wildlife habitat, and other resources on public and private land, the reduction of risk from natural disaster where public safety is threatened, or a combination thereof or both that benefit these resources within the watershed; availability of funding.

10.694 SOUTHWEST FOREST HEALTH AND WILDFIRE PREVENTION

Southwest Forest Health and Wildfire Prevention

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Southwest Forest Health and Wildfire Prevention Act, Public Law 108-317.

OBJECTIVES:

(1) To enhance the capacity to develop, transfer, apply, monitor, and regularly update practical science-based forest restoration treatments that will reduce the risk of severe wildfires, and improve the health of dry forest and woodland ecosystems in the interior West; (2) to synthesize and adapt scientific findings from conventional research programs to the implementation of forest and woodland restoration on a landscape scale; (3) to facilitate the transfer of interdisciplinary knowledge required to understand the socioeconomic and environmental impacts of wildfire on ecosystems and landscapes; (4) to require

the Institutes established under this Act to collaborate with Federal agencies to use ecological restoration and wildfire treatments; (5) to assist land managers in restoration-based applications and new management technologies; (6) to provide technical assistance to collaborative efforts by affected entities; and (7) to assist Federal and non-Federal land managers in providing information to the public on the role of fire and fire management.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To require the Institutes established under this Act to collaborate with Federal agencies (A) to use ecological restoration treatments to reverse declining forest health and reduce the risk of severe wildfires across the forest landscape; and (B) to design, implement, monitor, and regularly revise representative wildfire treatments based on the use of adaptive ecosystem management. . No funds made available under this Act shall be used to pay costs of constructing any facilities.

Applicant Eligibility:

none.

Beneficiary Eligibility:

One (1) Institute each of (A) the State of Arizona, to be located at Northern Arizona University; (B) the State of New Mexico, to be located at New Mexico Highlands University, while engaging the full resources of the consortium of universities represented in the Institute of Natural Resource Analysis and Management (INRAM); and (C) the State of Colorado, to be located at Colorado State University.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Institutes shall submit a Federal Financial Assistance application SF-424 annually based on the amount of appropriated funds each fiscal year.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

none.

Appeals:

none.

Renewals:

none.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

not applicable. See the following for information on how assistance is awarded/released: Method of awarding/releasing assistance: advance/reimbursable payments.

Reports:

Accomplishment reports as set forth in the annual award letter are required. No cash reports are required. Progress reports are not applicable. No cash reports are required. Actual expenditures are detailed on each request for

reimbursement and/or advance liquidation request. The Forest Service program manager shall conduct monitoring activities to track program accomplishments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Institutes shall maintain programmatic and accounting records applicable to each annual award for a period of not less than 3 years after the completion of all activities thereunder.

Account Identification:

12-1105-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$2,600,000; FY 10 est \$2,000,000; FY 11 est \$0 - No estimates are available at this time for FY 2011.

Range and Average of Financial Assistance:

not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Plan; the report entitled Protecting People and Sustaining Resources in Fire-Adapted Ecosystems A Cohesive Strategy (65 Fed. Reg. 67480); and the report entitled 10-Year Comprehensive Strategy: A Collaborative Approach for Reducing Wildland Fire Risks to Communities and the Environment of the Western Governors Association.

Regional or Local Office:

See Regional Agency Offices. Walter Dunn, Southwestern Regional Office, 333 Broadway Boulevard SE, Albuquerque, NM 87102 E-mail wdunn@fs.fed.us Phone: (505) 842-3425.

Headquarters Office:

Patricia S. Palmer, 1621 N. Kent Street, Suite 707 RPE, Arlington, Virginia 22209 Email: patriciapalmer@fs.fed.us Phone: (703) 605-4776.

Website Address:

<http://www.fs.fed.us/r3/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.695 EASTERN NEVADA LANDSCAPE RESTORATION PROJECT (ENLRP)

Eastern Nevada Landscape Restoration Project (ENLRP)

FEDERAL AGENCY:

Forest Service, Department of Agriculture

AUTHORIZATION:

Tax Relief and Health Care Act of 2006 Title III White Pine County Conservation, Recreation and Development, Subtitle G Eastern Nevada Landscape Restoration Project, Public Law 109-432.

OBJECTIVES:

To enter into domestic grants with Eastern Nevada Landscape Coalition, Great Basin Institute or other willing participants for reducing hazardous fuels and restoring native rangeland and woodland within White Pine and Lincoln counties in the state of Nevada.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects that support the Great Basin Restoration Initiative through the implementation of the Eastern Nevada Landscape Restoration Project and ensure resilient and healthy ecosystems in the Great Basin by restoring native plant communities and natural mosaic on the landscape that function within the parameters of natural fire regimes in White Pine and Lincoln counties in the state of Nevada. Activities may include scientific analyses, hazardous fuels and mechanical treatments and related work.

Applicant Eligibility:

The Eastern Nevada Landscape Coalition, Great Basin Institute and other entities.

Beneficiary Eligibility:

none.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102, as implemented by 7 CFR Part 3016 and 7 CFR Part 3019, must be used for this program (i.e., SF-424).

Award Procedure:

The Forest Service and cooperator mutually agree to projects that are appropriate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

none.

Appeals:

none.

Renewals:

Submit written request and SF-424 application and SF-424A (as applicable) to amend amount or length of commitment; other requirements may be established by the affected Forest Headquarters, Regional or Washington Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

none. See the following for information on how assistance is awarded/released: advance and/or reimbursable payments.

Reports:

As specified in the award document. Cash reports are not applicable. Progress reports are not applicable. Upon submission of request for reimbursement and/or advance liquidation, expenditures shall be itemized in accordance with the financial plan incorporated in the award document. The recipient and the Forest Service shall conduct monitoring activities to ensure accomplishment of stated objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

All related data, information, records, and accounts shall be retained for 3 years beyond the date of submission of final financial reports.

Account Identification:

12-1106-0-1-302 - 12-14X5232 - varies by project and funding availability.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$140,000; FY 11 est \$335,000 - Not separately identifiable.

Range and Average of Financial Assistance:

Varies by type of project and funding availability.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-102 as implemented by 7 CFR Part 3016, are applicable to State and Local Governments and Indian Tribes, and A-87 (relocated to 2 CFR Part 225); OMB Circular Nos. A-110 as implemented by 7 CFR Part 3019, are applicable to Institutions of Higher Education, Hospitals, and other Non-Profit Organizations, A-21 (relocated to 2 CFR Part 220) for Educational Institutions and A-122 (relocated to 2 CFR Part 230) for Non-Profit Organizations; and 48 CFR Part 31.2 for Private Landowners.

Regional or Local Office:

See Regional Agency Offices. Intermountain Region, 324 25th Street, Ogden, Utah 84401 Attention: Grants and Agreement or.

Headquarters Office:

Patricia S. Palmer, 1621 N. Kent Street, Suite 707 RPE, Arlington, Virginia 22209 Email: patricia.palmer@fs.fed.us Phone: (703) 605-4776.

Website Address:

<http://www.fs.fed.us/r4/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Plant and wildlife surveys for White Pine Sagebrush Restoration Project Area.

Plant and wildlife surveys in the White Pine County Wilderness Road Rehabilitation Project Area.

Plant surveys for North Schell Restoration Project Area.

Vegetation and soil survey data collection for analysis for Ward Mountain and Schell Creek Range on the Ely Ranger District. Fiscal Year 2010: none at this time. Fiscal Year 2011: none at this time.

CRITERIA FOR SELECTING PROPOSALS:

Domestic Grants are negotiated on the basis of project objectives to be achieved, with emphasis on restoration, protection, and enhancement of fish and wildlife habitat, and other resources on public and private land, the reduction of risk from natural disaster where public safety is threatened, or a combination thereof or both that benefit these resources within the watershed; and funding is available.

10.700 NATIONAL AGRICULTURAL LIBRARY

FEDERAL AGENCY:

Agricultural Research Service, Department of Agriculture

AUTHORIZATION:

5 U.S.C. 301, 552, 5946; 7 U.S.C. 450b, 450i, 2201, 2202, 2204, 2206, 2244, 2264, 2265, and 3318.

OBJECTIVES:

To serve as the Nation's Chief Agricultural information resource by providing agricultural information products and services through traditional library functions and through modern electronic dissemination to agencies of the USDA, public organizations, and individuals.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Anyone can use the services. Publications in the library collection are available through interlibrary loan or photo-reproduction, and the library facilities are open to anyone wishing to use them.

Applicant Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Beneficiary Eligibility:

Individuals, State and local governments, educational organizations, research societies, business and industry including those located in the U.S. Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Apply by letter to the Office of Associate Director, Public Services Division, National Agricultural Library, Beltsville, MD 20705.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Grants and Cooperative Agreements.

Reports:

Performance Reports. No cash reports are required. The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement. The frequency is outlined in the terms of the Agreement.

Audits:

Not Applicable.

Records:

None.

Account Identification:

12-1400-0-1-352.

Obligations:

(Cooperative Agreements) FY 09 \$22,700,000; FY 10 est \$22,697,472; FY 11 est \$22,697,472

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Resources number over 3.3 million items. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

"Guide to the Services of the National Agricultural Library."

Regional or Local Office:

See Regional Agency Offices. Office of the Director, National Agricultural Library, Beltsville, MD 20705-2351. Telephone: (301) 504-6780. Use the same number for FTS. Contact: Extramural Agreements Division.

Headquarters Office:

Kathleen S. Townson, 5601 Sunnyside Ave, MS-5110, Beltsville, Maryland 20705 Email: kathleen.townson@ars.usda.gov Phone: (301) 504-1702.

Website Address:

<http://www.nal.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.759 PART 1774 SPECIAL EVALUATION ASSISTANCE FOR RURAL COMMUNITIES AND HOUSEHOLDS PROGRAM (SEARCH)

SEARCH Grant Program

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

The Food, Conservation and Energy Act, Public Law 110-234 (Farm Bill) amended Section 306(a)(2) of the Consolidated Farm and Rural Development Act (CONACT) (7 U.S.C. 1926 (a)(2)). , Title 110, Part 234, Section 306(a)(2) , 7 U.S.C 1926 (a)(2)).

OBJECTIVES:

To make predevelopment planning grants for feasibility studies, design assistance, and technical assistance, to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants for water and waste disposal projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

May fund up to 100 percent of the eligible grant costs, not to exceed \$30,000. Grants can only be made to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants for water and waste disposal projects. Grants can only be made to financially distressed communities in rural areas with populations of 2,500 or fewer inhabitants.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, Public nonprofit institution/organization (includes institutions of higher education and hospitals), U.S. Territories and possessions (includes institutions of higher education and hospitals, Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations.

Beneficiary Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals), Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals, Public nonprofit institution/organization (includes institutions of higher education and hospitals), U.S. Territories and possessions (includes institutions of higher education and hospitals, Native American Organizations (includes Indian groups, cooperatives, corporations, partnerships, associations.

Credentials/Documentation:

Evidence of legal existence and authority, organizational documents, certified list of directors, evidence of tax exempt status, current audit. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Public bodies or non-profit organizations may file applications with the RD State Office for the state in which the organization's headquarters is located. Addresses may be found on the web site of the Water and Environmental Programs <http://www.usda.gov/rus/water>.

Intermediaries headquartered in the District of Columbia may file the application with the National Office, USDA, Rural Utilities Service, Water and Environmental Programs, STOP 1570, 1400 Independence Avenue SW, Washington, DC 20250-1570.

All required forms may be found on the RUS Water Programs web page at <http://www.usda.gov/rus/water/program.htm>; on that page, select Revolving Fund Program.

- (1) Application for Federal Assistance: Standard Form 424;
- (2) Budget Information Non-Construction Programs: Standard Form 424A;
- (3) Assurances Non-Construction Programs: Standard Form 424B;
- (4) Certification of Compliance with Federal Requirements/Laws (see Exhibit E);
- (5) Evidence of applicants legal existence and authority in the form of certified copies of organizational documents and a certified list of directors and officers with their respective terms;
- (6) Evidence of tax exempt status from the Internal Revenue Service;
- (7) Current audit of the organization;
- (8) Explanation of budget and accounting system in place or proposed, with the administrative cost for operating the fund expressed as a percentage of the overall budget;
- (9) Form AD1047, Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transaction.
- (10) Form AD 1049, Certification Regarding Drug-Free Workplace Requirements (Grants) Alternative I For Grantees Other Than Individuals.
- (11) Form AD 1048, Certification Regarding Debarment.
- (12) Attachment regarding assistance provided to Rural Development Employees as required by RD Instruction 1900D.
- (13) Form RD 4004, Assurance Agreement.
- (14) Form RD 4001, Equal Opportunity Agreement."

Award Procedure:

Selected applicant(s) will be notified by Form AD 622.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Generally, you have the right to appeal adverse decisions, but certain RUS decisions cannot be appealed. In the case where you are denied grant funding due to a lack of funds available for the grant program, this decision cannot be appealed. However, you may write the Area Supervisor, National Appeals Division, to review the accuracy of our finding that the decision cannot be appealed. The address for the Area Supervisor will be provided with the Form AD-622 informing you that you were eligible but not selected for funding. Your letter to the Area Supervisor must be postmarked no later than 30 days from the date of the Form AD-622 notification.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

Quarterly or semi-annual narrative reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Annual audit or Management Report

Records:

Not Applicable.

Account Identification:

12-1980-0-1-072.

Obligations:

(Project Grants) FY 09 \$5,000,000; FY 10 est \$5,000,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

Up to \$30,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1774; RUS Instruction 1780; OMB Circular A87, OMB Circular A110, OMB Circular A102 or OMB Circular A122; Executive Order 12866; and Executive Order 12988

Regional or Local Office:

None.

Headquarters Office:

Anita O'Brien, 1400 Independence Ave., SW, Stop 1570, Washington, District of Columbia 20005 Email: anita.obrien@wdc.usda.gov Phone: (202) 690-3789.

Website Address:

<http://http://www.usda.gov/rus/water>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be selected based primarily on the funding priorities in Section 1780.17 of RUS Instruction 1780. The State Program Official discretionary points stated in Section 1780.17 (e) of RUS Instruction 1780 can also include consideration of the following criteria:

- (a) Systems with limited resources.
- (b) Smallest systems with lowest incomes.
- (c) Funds availability.

10.760 WATER AND WASTE DISPOSAL SYSTEMS FOR RURAL COMMUNITIES**FEDERAL AGENCY:**

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

To provide basic human amenities, alleviate health hazards and promote the orderly growth of the rural areas of the nation by meeting the need for new and

improved rural water and waste disposal facilities.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or acquisition or refinancing of an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation or the organization's borrowing authority or the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: a poverty rate that is 60 percent of market 4.5 percent rate when the loan is required to meet health or sanitary standards and the median household income of the service area is below the higher of the poverty line or 80 percent of the statewide nonmetropolitan median household income; an intermediate rate that is 80 of halfway between 4.5 percent and market rate if the median household income of the service area is not more than 100 percent of the nonmetropolitan median household income of the State; and a market rate for those applicants that do not qualify for 4.5 percent the poverty rate or the intermediate rate. The interest rate on guaranteed loans is negotiable between the applicant and the lender.

Applicant Eligibility:

Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. Plans and specifications must be developed to comply with State and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefited user charges to a reasonable level. Normally, grants are considered only when the debt service portion of the cost to grant eligible users exceeds the following percentages of median household incomes (MHI) for the applicant service area: 0.5 percent when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's non-metropolitan household income (NMHI); 1.0 percent when the NMHI of the service area exceeds the 0.5 percent requirement but is not more than 100 percent of the State's NMHI; no RUS grant funds will be used in any project when the MHI of the service area is above the poverty line and more than 100 percent of the State's NMHI. The RUS grant may not exceed 75 percent of the eligible project development cost when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's NMHI and the project is necessary to alleviate a health or sanitary problem; 45 percent when the MHI of the service area exceeds the 75 percent requirements, but is not more than 100 percent of the State's NMHI. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Farmers, ranchers, rural residents, rural businesses and other users in eligible applicant areas.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is

required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA Rural Development (RD) offices administer the program on the local level. Application Form SF-424 is filed at the local RD Office from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

After the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the RD or RUS National level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. Funds are allocated to States based upon rural population, number of households in poverty, and unemployment. This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of RUS loan or grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period. See the following for information on how assistance is awarded/released: Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts are required to reflect the operations of the facility.

Account Identification:

12-0400-0-1-452; 12-4155-0-3-452.

Obligations:

(Direct Loans) FY 09 \$553,941,661; FY 10 est \$1,022,161,804; FY 11 est \$1,022,161,804. (Guaranteed/Insured Loans) FY 09 \$1,996,100; FY 10 est \$75,000,000; FY 11 est \$75,000,000. (Project Grants) FY 09 \$236,199,229; FY 10 est \$35,172,800; FY 11 est \$35,172,800

Range and Average of Financial Assistance:

(FY 2009) (Direct Loans) \$1,000 to \$14,000,000; \$1,282,000; (Grants) \$2,800 to \$4,700,000; \$694,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants, 7 CFR 1779, Water and Waste Disposal Program Guaranteed Loans.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities; 14.218 Community Development Block Grants/Entitlement Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: Population; low income unemployment; and health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.761 TECHNICAL ASSISTANCE AND TRAINING GRANTS

TAT Grants

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Sections 306(a)(16)(A), Public Law 99-198, 7 U.S.C. 1926(a).

OBJECTIVES:

To identify and evaluate solutions to water and waste disposal problems in rural areas; to assist applicants in preparing applications made in accordance with 7 CFR 1780; to improve operation and maintenance of water and waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to pay expenses associated with providing technical assistance and/or training (TAT) to identify and evaluate solutions to water problems relating to source, storage, treatment, and distribution, and to waste disposal problems relating to collection, treatment, and disposal; assist applicants that have filed a preapplication with RUS in the preparation of water and/or waste disposal loan and/or grant applications; and to provide training that will improve the management, operation and maintenance of water and waste disposal facilities. Grant funds may not be used to recruit applications, duplicate current services such as those performed by a consultant in developing a project, fund political activities, pay for capital assets, purchase real estate or vehicles, improve and renovate office space or repair and maintain privately owned property, pay construction or O&M costs, and pay costs incurred prior to the effective date of grants made.

Applicant Eligibility:

Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service of the United States. Applicants must have proven ability, background, experience, legal authority and actual capacity to provide technical assistance and/or training on a regional basis to associations.

Beneficiary Eligibility:

Entities that may be eligible for water and waste disposal loans and grants (10.760) such as municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, or private corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-122. Preapplication form SF 424.1, will be filed with the appropriate Rural Development (RD), formerly FMHA, State or Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Preapplications received by an RD State office will be reviewed and forwarded with any written comments within 7 working days to the RUS National Office, Washington, DC.

Deadlines:

Oct 01, 2010 to Dec 31, 2010 Preapplications must be filed between October 1 and December 31 of each fiscal year.

Range of Approval/Disapproval Time:

From 30 to 60 days. Form AD-622 "Notice of Preapplication Review Action" will normally be issued within 45 days after December 31 of each year. SF 424.1 "Application for Federal Assistance (for Non-construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the technical assistance and/or training grant project is 12 months or less. Method of awarding/releasing assistance: quarterly.

Reports:

All grantees will submit quarterly reports to the RUS National Office through the appropriate RD State Office. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$19,532,107; FY 10 est \$19,000,000; FY 11 est \$19,500,000

Range and Average of Financial Assistance:

\$80,000 to \$9,100,000. Average: \$1,953,210.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1775, Technical Assistance and Training Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for RD district office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Assistance will be directed to those applicants who: (1) Have demonstrated ability to provide technical assistance and/or training to rural associations; (2) propose to serve multi-state, regional, or nationwide areas; (3) maximize use of grant funds for direct staffing of activities that are delivered to the associations; and (4) the population of the associations served have low income.

10.762 SOLID WASTE MANAGEMENT GRANTS

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 101-624, 7 U.S.C. 1932.

OBJECTIVES:

To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to: Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas which are closed or will be closed in the near future with the development/implementation of closure plans, future land use plans, safety and maintenance planning, and closure scheduling within permit requirements. Grant funds may not be used to: Recruit preapplications/applications for any loan and/or grant program including RUS

Water and Waste Disposal Loan and/or Grant Program; duplication of current services, replacement or substitution of support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles, improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; and pay costs incurred prior to the effective date of grants made under this subpart.

Applicant Eligibility:

Entities eligible for grants are nonprofit organizations, including: Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multijurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility:

Municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-87, and A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular Nos. A-87, A-102, A-110, and A-122. Preapplication Form SF 424.1, will be filed with the appropriate Rural Development (RD), formerly FMHA, State or Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Preapplications received by an RD State Office will be reviewed and forwarded with any written comments within seven working days to the RUS approval official.

Deadlines:

Oct 01, 2010 to Dec 31, 2010 Preapplications must be filed between October 1 and December 31 of each fiscal year in which funds are appropriated.

Range of Approval/Disapproval Time:

Form AD-622, "Notice of Preapplication Review Action," will normally be issued within 45 days after December 31 of each year. SF-424.1, "Application for Federal Assistance (for Non-Construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less. See the following for information on how assistance is awarded/released: Upon request.

Reports:

All grantees will submit quarterly reports to the RUS approval official. Cash reports are not applicable. All grantees will submit quarterly reports to the RUS approval official. All grantees will submit quarterly reports to the RUS approval official. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$3,465,000; FY 10 est \$3,441,000; FY 11 est \$3,441,000

Range and Average of Financial Assistance:

\$40,000 to \$258,000. Average: \$101,911.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 34 grants were made in FY09. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1775, Technical Assistance and Training Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for RD District Office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Recipients of this program received funds to administer technical assistance. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grant funds will be directed to those applicants who: (1) Have demonstrated ability to provide technical assistance on a regional basis to rural communities; (2) propose to assist small, low income communities; (3) propose to serve multi-State, regional or nationwide areas; (4) the extent to which the proposed project is cost effective; and (5) meet the objectives of RUS Water and Waste Disposal Program.

10.763 EMERGENCY COMMUNITY WATER ASSISTANCE GRANTS

Emergency Community Water Assistance Grants

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306A; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

Through the Emergency Community Water Assistance Grant Program, the Rural Utilities Service (RUS) is authorized to help rural residents who have experienced a significant decline in quantity or quality of water to obtain adequate quantities of water that meet the standards of the Safe Drinking Water Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to extend waterlines on existing systems; to construct new water lines; to repair existing systems; to perform significant maintenance on existing systems; to construct new wells, reservoirs, transmission lines, treatment plants, storage tanks, etc.; to replace equipment; to provide connection and/or tap fees; to pay costs incurred within six months of the date an application was filed with USDA to correct an emergency situation that would have been eligible for funding under this program; to provide funds for any other appropriate related purposes, such as, legal fees; engineering fees; recording costs; environmental impact analyses; archaeological surveys; possible salvage or other mitigation measures; planning, establishing, or acquiring rights associated with developing sources of treating, storing, or distributing water; and to assist rural water systems in complying with the requirements of the Federal Water Pollution Control Act or the Safe Drinking Water Act, when failure to comply is directly related to a recent decline in quality of potable water. Grants provided under this program shall not be used to assist a rural area or community with a population in excess of 10,000; to assist a rural area that has a median household income in excess of the statewide nonmetropolitan median household income according to the most recent decennial census of the U.S.; to finance facilities which are not modest in size, design, and cost; to pay loan or grant finder's fees; to pay any annual recurring costs considered to be operational expenses; to pay rental for the use of equipment or machinery owned by the rural community; to purchase existing systems; to refinance existing indebtedness; and to make reimbursement for projects developed with other grant funds. Grants made to alleviate a significant decline in quantity or quality of water available from the water supplies in rural areas that occurred within two years of filing an application with USDA cannot exceed \$500,000. Grants for repairs, partial replacement, or significant maintenance on an established system cannot exceed \$150,000.

Applicant Eligibility:

Rural Development Utilities Programs may make grants to public bodies, private nonprofit corporations, and political subdivisions of a State, as well as Indian tribes.

Beneficiary Eligibility:

Public bodies, private nonprofit corporations, and political subdivisions of a State, as well as Indian tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Rural Development (RD) State Director will administer the program on the local level. This program is subject to the provisions of 7 CFR Parts 3015 and 3016. Application Form SF-424 will be filed with the appropriate RD District office.

Award Procedure:

Applications received will be reviewed and scored for funding priority by the RD State office. The RD State Director will request funds from the National office. Projects must compete on a national basis for available funds.

Deadlines:

Nov 01, 2010: All funding requests will be reviewed by the National office after November 1 of each year and continue until June 30 of each year. All funding requests will be reviewed by the National office after November 1 of each year and continue until June 30 of each year.

Range of Approval/Disapproval Time:

From 30 to 60 days. Within 60 days of receipt of complete application.

Appeals:

From 30 to 60 days. If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the higher management level of RUS.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$4,539,600; FY 10 est \$2,648,485; FY 11 est \$2,648,485

Range and Average of Financial Assistance:

\$15,000 to \$500,000. Average: \$302,640.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1778.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under United States Government, Department of Agriculture for Rural Development District Office number. If no listing, contact appropriate Rural Development State office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities; 10.766 Community Facilities Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The proposed projects designed to serve a rural area with a population of 5,000 or less that has a median household income of not more than 70 percent of the statewide nonmetropolitan household income and had a significant decline in quantity of water available from private individually owned wells will be given priority. Preference also will be given to proposed projects that will assist an established water system or remedy an acute shortage of quality water. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding.

10.766 COMMUNITY FACILITIES LOANS AND GRANTS

Community Facilities Loans and Grants

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 USC 1926.

OBJECTIVES:

To construct, enlarge, extend, or otherwise improve community facilities providing essential services to rural residents.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Community facilities include, but are not limited to, those providing or supporting overall community development such as hospitals, fire stations, child care facilities, food recovery and distribution centers; assisted-living facilities; group homes, mental health clinics, and shelters; and education facilities. Projects comprise community, social, health care, education, cultural, transportation, industrial park sites, fire and rescue services, access ways, and utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use. Applicant Eligibility: City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia. Direct loans - Poverty, intermediate, and market interest rate change quarterly. Repayment term is the lesser of 40 years or life of security. Guaranteed terms negotiated with lender with maximum term if guarantee being the lesser of 40 years or life of security.

Applicant Eligibility:

City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Loans for essential community facilities are made to eligible entities who provide essential community services to the population living within the service area of the facility or being served by the facility. Beneficiaries include farmers, ranchers, rural residents, rural businesses, and other users of such public facilities in eligible applicant areas as set out above.

Credentials/Documentation:

Evidence of legal capacity and financial responsibility of the applicants and the economic feasibility of the project relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires in applying for assistance if the State has selected the project for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For direct loans and grants, applicants must file Standard Form SF-424 and supporting documentation at the local Rural Development Area Office. For guaranteed loans, the lender must file Form RD 3575-1 and supporting documentation.

Award Procedure:

After the preapplication has been reviewed by the Rural Development Area Office, it is forwarded to the Rural Development State Office for review and processing instructions. Following review by the State Office, the applicant is notified about eligibility, availability of funds, and if an application should be filed. Upon completion of application processing requirements and approval by the State Office, funds are made available to the Rural Development Area Office for delivery.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 90 days after a complete application is received.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The notification will include a statement for appeal or review of the adverse decision. The applicant may request an appeal or review of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching funds are not required but may be used in connection with the funds provided from the applicant or other sources. Funds are allocated to States based upon rural population, number of households below the poverty level, and rural unemployment. The statistical factor for eligibility is cities, towns or incorporated areas under 20,000 population for direct and guaranteed loans and 20,000 population for grants. The source is "Latest Decennial Census." Grants are limited to a maximum of 75 percent of project cost.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of Community Facilities loan or grant funds. Funds will be awarded when all program requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by RHS to cover expenses for a 30-day period. See the following for information on how assistance is awarded/released: See Above.

Reports:

Periodic reports are made to Rural Development. Each borrower will monitor and report to RHS on actual performance during the construction of each project financed, or to be financed, in whole or in part with Community Facilities loan funds. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and other agreements.

Records:

The borrower must maintain adequate records and accounts of the operation of the facility developed.

Account Identification:

12-1951-0-1-452; 12-4155-0-3-452.

Obligations:

(Direct Loans) FY 09 \$295,817,353; FY 10 est \$681,787,157; FY 11 est \$290,000,000 - Actual FY 2009 and Estimated FY 2010 amounts include disaster funding appropriated for recovery of essential facilities as a result of 2005 hurricanes, 2007 tornado damage in Greensburg, Kansas, and 2008 presidentially declared disasters. (Guaranteed/Insured Loans) FY 09 \$279,877,929; FY 10 est \$292,782,492; FY 11 est \$200,000,000 - Actual figures for FY 2009 and estimated figures for FY 2010 include disaster funds appropriated for FY 2009. (Project Grants) FY 09 \$59,065,754; FY 10 est \$60,404,022; FY 11 est \$20,000,000 - Actual figures for FY 2009 and estimated figures for FY 2010 include disaster funds appropriated for recovery of essential facilities as a result of 2005 hurricanes, 2007 tornado damage in Greensburg, Kansas, and 2008 presidentially declared disasters.

Range and Average of Financial Assistance:

Direct Loans: \$5,000 to \$9,000,000. Average: \$828,407. Guaranteed Loans: \$26,000 to \$20,000,000. Average: \$2,799,966. Grants: \$300-\$445,500. Average \$37,266.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Provided assistance to 91 health care facilities serving 1,906,197 rural Americans, 308 public safety facilities serving 2,608,770 rural Americans, 142 educational institutions serving 3,225,620 rural Americans, and 173 other facilities serving 1,840,485 rural Americans. Fiscal Year 2010: We anticipate serving more than 15 million rural Americans who will receive new and improved community services from facilities funded in FY 2010. Fiscal Year 2011: We anticipate serving more than 12 million rural Americans who will receive new and improved community services from facilities funded in FY 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, subpart A, Community Facilities Loans; 7 CFR 1942, subpart C, Fire and Rescue Loans; 7 CFR 3575, subpart A, Community Facilities Guaranteed Loans; 7 CFR 3570, subpart B, Community Facilities Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for Rural Development county or Area office numbers or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Deputy Administrator, Community Programs, Department of Agriculture, Stop 0788, 1400 Independence Avenue, SW, Washington, District of Columbia 20250-0788 Phone: (202) 720-1490.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.500 Cooperative Extension Service; 10.664 Cooperative Forestry Assistance; 15.124 Indian Loans_Economic Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected for funding giving due consideration to State development strategies, serving the largest number of low income rural residents, and priority recommendations. Priority for funding will be given to those projects that will enhance public safety such as fire, police, rescue, and ambulance services, and projects for health care facilities.

10.767 INTERMEDIARY RELENDING PROGRAM**IRP****FEDERAL AGENCY:**

Rural Development, Department of Agriculture

AUTHORIZATION:

Health and Human Services Act of 1986, Section 407, 7 U.S.C 1932, as amended by Food Security Act of 1985, Section 1323, 7 U.S.C 1631, as amended by Community Economic Development Act of 1981, Section 623, 42 U.S.C 9812.

OBJECTIVES:

To finance business facilities and community development.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

An entity that receives an Intermediary Relending Program (IRP) loan from the Rural Business-Cooperative Service (RBS) is referred to as an intermediary. Intermediaries must relend all of the loan funds received from the IRP loan for business facilities or community development in rural areas. An entity that receives a loan from an intermediary is referred to as an ultimate recipient. The maximum loan to any one intermediary is \$2 million. The maximum term is 30 years and the interest rate is one percent per annum. Intermediaries may not use IRP funds to finance more than 75 percent of the cost of an ultimate recipient's project or for a loan of more than \$250,000 to one ultimate recipient. (No more than 25 percent of an IRP loan approved may be used for loans to ultimate recipients that exceed \$150,000.)

Applicant Eligibility:

Eligible intermediaries may include: Private nonprofit organizations, State or local governments, and Federally recognized Indian tribes and cooperatives.

Beneficiary Eligibility:

Ultimate recipients may include: For profit organizations, individuals, public and private nonprofit organizations.

Credentials/Documentation:

Intermediaries must have adequate legal authority and a proven record of successfully assisting rural businesses and industries. Ultimate recipients must not be located within a city with a population of 25,000 or more. Both intermediaries and ultimate recipients must be unable to obtain the loan at reasonable rates and terms through commercial credit or other Federal, State, or local programs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Potential intermediaries should file applications with the State office for the State in which the intermediary's headquarters is located. The application package

includes Form 4274-1 and a written work plan. More details are available in 7 CFR 4274.343, or from the appropriate Rural Development State Office. The Rural Development administers the program on the local level. Intermediaries develop their own application procedures for ultimate recipients.

Award Procedure:

The application of each intermediary will be evaluated by the RD State Office. Applications received by RBS will be reviewed and ranked quarterly and funded in the order of priority ranking.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicant intermediaries must show a need for the funds and limit the request to an amount they can expect to use within one year. After a loan is approved, the funds are released to the intermediary in multiple advances as required to fund loans to ultimate recipients. Method of awarding/releasing assistance: quarterly.

Reports:

Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. No cash reports are required. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts must be maintained to reflect the operations of each project.

Account Identification:

12-2069-0-1-452; 12-4233-0-3-452.

Obligations:

(Direct Loans) FY 09 \$34,000,000; FY 10 est \$34,000,000; FY 11 est \$37,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4274, Subpart D; 7 CFR 1951, Subpart R.

Regional or Local Office:

See Regional Agency Offices. RBS State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rural Business-Cooperative Service, 1400 Independence Ave SW, Room 6867, Stop 3225, South Agriculture Building, Washington, District of Columbia 20250-3225 Phone: (202) 720-1400.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.769 Rural Business Enterprise Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Factors considered in judging applications include: Financial condition, assurance of repayment ability, equity, collateral, experience and record of managing a loan program or providing other assistance to rural businesses, ability to leverage with funds from other sources, extent assistance would flow to low income persons.

10.768 BUSINESS AND INDUSTRY LOANS

B&I Guaranteed Loan Program

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1932.

OBJECTIVES:

The purpose of the B&I Guaranteed Loan Program is to improve, develop, or finance business, industry, and employment and improve the economic and environmental climate in rural communities.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The program guarantees lenders loans for real estate, machinery and equipment, working capital, and debt refinancing. Assistance is limited to rural areas. Some loan purposes such as golf courses, gambling, and agricultural production are restricted or limited. Guaranteed loan funds in the amount of 5 percent of the funds available each year until FY 2012 will be set aside for the locally or regionally produced agricultural food products initiative. Guaranteed loans are the only type of assistance under this program.

Applicant Eligibility:

A borrower may be a cooperative organization, corporation, partnership, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe on a Federal or State reservation or other Federally recognized tribal group; a public body; or an individual.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

A complete application containing such items as credit reports, financial statements, and appraisals are required as part of the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Complete the requirements of RD Instruction 4279-B, section 4279.161(b).

Award Procedure:

Applications are funded on a first-come first served basis according to priority points procedures outlined in RD Instruction 4279-B, section 4279.155.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications typically take 60 days to approve.

Appeals:

Denial for program assistance is appealable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 7 CFR Parts 4279 and 4287.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Assistance is available each fiscal year until September 30 or until expended, whichever occurs first. See the following for information on how assistance is awarded/released: Lenders loans are guaranteed so funds are not really released.

Reports:

Guaranteed borrowers and guarantors are required to submit financial statements annually. No cash reports are required. No progress reports are required. Yes, but as part of the overall financial reporting requirement. Not duplication. The Agency monitors the number of jobs created and/or saved by the borrower.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The lender is required to maintain a complete loan file no differently than it would keep for a borrower without a guarantee. This includes annual financial statements, correspondence, servicing actions, etc. The file may be inspected at the Agency's request.

Account Identification:

12-0400-0-1-452; 12-4155-0-3-452.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$949,000,000; FY 10 est \$1,328,000,000; FY 11 est \$942,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

RD Instruction 4279-A, 4279-B, and 4287-B.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

B&I Processing Branch, 1400 Independence Ave SW, Stop 3224, Washington, District of Columbia 20250-3224 Phone: (202) 690-4103.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.769 Rural Business Enterprise Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: B&I guaranteed loans have been used for a wide range of purposes; for construction, machinery and equipment, working capital, and debt refinancing for businesses/industries such as hotels, convenience stores, retailers, wholesalers, health care, real estate, mining, utilities, ethanol, biodiesel, etc. Fiscal Year 2010: B&I guaranteed loans have been and will be used for a wide range of purposes; for construction, machinery and equipment, working capital, and debt refinancing for businesses/industries such as hotels, convenience stores, retailers, wholesalers, health care, real estate, mining, utilities, ethanol, biodiesel, etc. Fiscal Year 2011: B&I guaranteed loans have been and will be used for a wide range of purposes; for construction, machinery and equipment, working capital, and debt refinancing for businesses/industries such as hotels, convenience stores, retailers, wholesalers, health care, real estate, mining, utilities, ethanol, biodiesel, etc.

CRITERIA FOR SELECTING PROPOSALS:

Businesses must demonstrate the ability to repay the loan.

10.769 RURAL BUSINESS ENTERPRISE GRANTS

(RBEG)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 310B, 7 U.S.C 1989, as amended by Consolidated Farm and Rural Development Act, 7 U.S.C 1932, as amended by Consolidated Farm and Rural Development Act.

OBJECTIVES:

To facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Rural business enterprise grant (RBEG) funds may be used to create, expand or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement to adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture and other issues of importance to farmers and other rural residents. All uses must assist a small and emerging private business enterprise except for the TDG Program. All uses must assist a small and emerging private business enterprise except for the TDG Program.

Applicant Eligibility:

Applicants eligible for RBE grants are public bodies and nonprofit corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Applicants eligible for TD grants are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or unincorporated area that has a population in excess of 50,000 inhabitants.

Beneficiary Eligibility:

A small and emerging private business enterprise which will employ 50 or less new employees and has less than \$1.0 million in projected gross revenue. Public bodies, private non-profit corporations, and Federally recognized Indian tribes receive the grant to assist a business. Grants are not made directly to the business.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility

relative to the activity for which assistance is requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Preapplication Form SF-424 is filed at the Rural Development local office. The standard application forms as furnished by the Federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program.

Award Procedure:

After the preapplication has been reviewed by the RD local office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the local office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

Adverse actions by RBS in connection with this program may be appealed by contacting the Area Supervisor of the USDA National Appeals Division. Appeals will be handled in accordance with 7 CFR 1900-B and 7 CFR part 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula or specific matching requirements. Funds are allocated to States on rural population and percent of nonmetropolitan per capita income. On occasion, the allocation to States may not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the National Office. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, 90-120 days, subject to the availability of funding. See the following for information on how assistance is awarded/released: Grant funds are disbursed in multiple draws, generally on a reimbursement basis upon the written request of the grantee.

Reports:

Periodic reports are made to RD. Cash reports are not applicable. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget. Intermediaries must submit quarterly reports on lending activity, income and expenses, financial condition and progress, and an annual budget.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations", State, local governments or Nonprofit Organizations that expend Federal financial assistance of \$500,000 or more within the fiscal year shall have an audit made for that year.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$40,200,000; FY 10 est \$42,800,000; FY 11 est \$39,000,000

Range and Average of Financial Assistance:

\$25,000 to \$500,000. Average is less than \$100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, Subpart G.

Regional or Local Office:

See Regional Agency Offices. Consult your telephone directory for RD local office number. If no listing, get in touch with appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Specialty Programs Division, Rural Business-Cooperative Service, Department of Agriculture, 1400 Independence Ave SW, Rm 6870, Stop 322, Washington, District of Columbia 20250-3222 Phone: (202) 720-1400.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.768 Business and Industry Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) a \$25,000 grant was made for business development in an Empowerment Zone; (2) a \$500,000 grant was made to establish a revolving loan fund; and (3) a \$200,000 grant was made for construction of a manufacturing building. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects selected for funding should, as much as practical, adhere to the following priorities: (1) Projects which will be located in communities having a large portion of their population with low incomes; (2) projects which will save existing jobs; (3) projects which will create jobs; and (4) projects located in areas with high unemployment rate.

10.770 WATER AND WASTE DISPOSAL LOANS AND GRANTS (SECTION 306C)

Water and Waste Disposal Loans and Grants (Section 306C)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, Section 306C, 7 U.S.C. 1926(c), as amended; Food, Agriculture, Conservation, and Trade Act of 1990, Title XXIII, Public Law 101-624.

OBJECTIVES:

Provide water and waste disposal facilities and services to low income rural communities whose residents face significant health risks.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for 100 percent of costs to: Construct, enlarge, extend, or otherwise improve a community water or waste system; extend service lines and connect individual residences to a system. Allow applicant to make grants directly to individuals to: Extend service lines, connect resident's plumbing to system, pay reasonable charges and fees for connecting to system, installation of plumbing and related fixtures, and construction in dwelling of a bathroom.

Applicant Eligibility:

Local level governments, federally recognized Indian tribes, U.S. Territories and possessions, and nonprofit associations can receive assistance under this program. Except for rural areas known as "Colonia" along the U.S./Mexico border, the projects funded under this program must primarily provide water and/or waste disposal services to residents of a county where the per capita income of the residents is not more than 70 percent of the most recent national average per capita income, as determined by the U.S. Department of Commerce, and unemployment rate of the residents is not less than 125 percent of the most recent national average unemployment rate, as determined by the Bureau of Labor Statistics. Also the residents must face significant health risks due to not having access to an affordable community water and/or waste disposal system.

Beneficiary Eligibility:

Public bodies, private nonprofit corporations, cooperatives, political subdivisions of a State, and Indian tribes.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants are required to file a application Form SF-424 with the appropriate Rural Development (RD) Office.

Award Procedure:

Applications will be reviewed and scored for funding priority by the RD State Office. Applications must compete on a national basis for available funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Applicants that are determined to be not eligible may request review of this decision at a higher management level of Rural Development Utilities Programs.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Requests for funds are granted as needed.

Reports:

Required to maintain records and accounts to assure funds are used for authorized purposes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Required to maintain records and accounts to assure funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

(Direct Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Only grant funds for Colonias have been appropriated for this program. (Project Grants (Discretionary)) FY 09 \$15,549,176; FY 10 est \$16,000,000; FY 11 est \$16,000,000 - American Tribe Grants. (Project Grants (Discretionary)) FY 09 \$24,246,968; FY 10 est \$24,500,000; FY 11 est \$24,500,000 - Colonias Grants.

Range and Average of Financial Assistance:

(Colonias Grants) FY09 \$36,000 to \$4,000,000 Average: \$1,515,435.

(Native American Tribe Grants) FY09 \$33,000 to \$1,000,000 Average: \$676,051.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1777.

Regional or Local Office:

See Regional Agency Offices. Consult local telephone directory under United States Government, Department of Agriculture, for Rural Development office number. If no listing contact appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Department of Agriculture, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A proposed project servicing a rural area with a population not in excess of 1,500, a median household income not in excess of 50 percent of the statewide no metropolitan median household income and servicing residents of a Colonia along the U.S./Mexico border will be given priority. The Rural Development State Director will rate applications on a point system and points received will be considered in selecting projects for funding. Fiscal year 2000 funding is limited to the Colonias.

10.771 RURAL COOPERATIVE DEVELOPMENT GRANTS

(RCDG)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Food, Agriculture, Conservation, and Trade Act of 1990, Section 2347, Public Law 101-624; Consolidated Farm and Rural Development Act, Section 310B(e), 7 U.S.C. 1932; Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127; 5 U.S.C. 301; 7 U.S.C. 1989; 7 U.S.C. 1991; 16 U.S.C. 1005; 62 FR 42387 Aug/7/1997; Farm Security and Rural Development Act of 2002, Public Law 107-171.

OBJECTIVES:

To improve economic conditions in rural areas through cooperative development. The primary objective of the cooperative center concept is to

provide technical assistance services, including feasibility analysis. The grant program will be used to facilitate the creation or retention of jobs in rural areas through the development of new rural cooperatives, value-added processing, and rural businesses.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for, but not limited to, the following activities to develop new cooperatives and improve existing cooperatives: applied research, feasibility, environmental, and other studies; collection, interpretation, and dissemination of principles, facts, technical knowledge, or other useful information; training and instruction; loans and grants; and technical assistance or advisory services to individuals, small businesses, cooperatives, or other similar entities in rural areas. Up to \$1.5 million may be used for applications that focus on assistance to small, minority producers through their cooperative businesses. Grant funds may be used for, but not limited to, the following activities to develop new cooperatives and improve existing cooperatives: applied research, feasibility, environmental, and other studies; collection, interpretation, and dissemination of principles, facts, technical knowledge, or other useful information; training and instruction; loans and grants; and technical assistance or advisory services to individuals, small businesses, cooperatives, or other similar entities in rural areas.

Applicant Eligibility:

Eligible applicants are nonprofit corporations and institutions of higher education. Grants may not be made to public bodies. A rural area for this program is defined as all territories of a State not within the outer boundary of any city having a population of 50,000 or more according to the latest decennial census of the United States.

Beneficiary Eligibility:

Rural residents.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, and financial responsibility relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard Federal application forms as furnished by the Federal Agency and required by Office of Management and Budget Circular Nos. A-110 and A-102 must be used for this program. An original and a copy of all Federal forms and application materials are to be filed with the appropriate Rural Development National Office. Electronic data submissions are encouraged. The National Office reviews the submittals to determine eligibility, then reviews, scores, and ranks all eligible applications.

Award Procedure:

See annual solicitation to determine the maximum amount of Federal funds to be awarded. Final processing and monitoring will be designated to the appropriate State Rural Development Office. Payments will be made by electronic funds transfer. In the event that the applicant is awarded a grant less than the amount requested, the applicant will be required to modify its application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Sixty-to-120 days from the end of the application period as indicated in the annual solicitation in the Federal Register.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. An applicant may request that the Agency's decision be reviewed by the USDA National Appeals Division.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. The total allocation is controlled by Congress. Applicants will be required to contribute at least 25 percent of the total project cost in cash or in-kind contributions that must be from nonfederal funds except that a loan from another federal source can be used. Applicants that are designated as 1994 Institutions" as defined in section 532 of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note; Pub. L. 103-382) are required to provide nonfederal financial support (matching funds) of at least 5 percent of the total project cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant program is conducted on an annual basis. Awards are made for a 12-month period. A Request for Advance or Reimbursement may be submitted monthly, but quarterly reimbursements are typical. The grantee's share of the costs will be disbursed in advance of grant funds or on a pro-rata distribution basis with grant funds during the disbursement period. Some reimbursements may be linked to submission of acceptable performance reports. Method of awarding/releasing assistance: quarterly.

Reports:

Semiannual Financial Status Report, semiannual performance report, and a final project performance report. Cash reports are not applicable. Semiannual Financial Status Report, semiannual performance report, and a final project performance report. Expenditure reports are not applicable. Semiannual Financial Status Report, semiannual performance report, and a final project performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-1900-0-1-452.

Obligations:

(Salaries) FY 09 \$8,700,000; FY 10 est \$14,000,000; FY 11 est \$20,000,000 - Up to \$1.5 million may be used for applications that focus on assistance to small, minority producers through their cooperative businesses.

Range and Average of Financial Assistance:

\$200,000 - \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4284, Subparts A and F; 7 CFR 3015; 7 CFR 3019. Final rules published in the Federal Register on April 29, 2004, revised 7 CFR 4284 Subpart F. The amendments implemented for Subpart F within 7 CFR Part 4284, conform to the regulations for the Rural Cooperative Development Grant program contained within the newly implemented subpart A that consolidates provisions common to all grant programs administered by Cooperative Services within Rural Business Cooperative Service (RBS).

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog or through the RBS Web site.

Headquarters Office:

Deputy Administrator, 1400 Independence Ave. S.W., Washington, District of Columbia 20250 Phone: (202) 720-8460.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Cooperative development centers use grant funds to provide technical assistance, applied research, technical training, and cooperative education to emerging or active rural cooperatives. Examples of recent cooperative activities include: director training, technical assistance in value-added processing and marketing, and developmental outreach. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Preference will be given to those applicants that demonstrate; a proven track record in cooperative development; in providing technical assistance in rural areas; ability to facilitate the establishment of cooperatives and new cooperative approaches; and Transferability of approach to rural areas outside of project area. Projects will be selected that contribute the most to the improvement of economic conditions of the rural area.

10.773 RURAL BUSINESS OPPORTUNITY GRANTS

(RBOG)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Section 741, Public Law 104-127.

OBJECTIVES:

To promote sustainable economic development in rural communities with exceptional needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for business and economic development. Grant funds may be used to assist in the economic development of rural areas by providing technical assistance, training, and planning for business and economic development.

Applicant Eligibility:

Grants may be made to public bodies, nonprofit corporations, Indian tribes on Federal or State reservations or other Federally recognized tribal groups, and cooperatives with members that are primarily rural residents and that conduct activities for the mutual benefit of the members.

Beneficiary Eligibility:

Rural communities and businesses in rural areas.

Credentials/Documentation:

Evidence of legal capacity. Evidence of financial strength and expertise in activities such as proposed in the application, sufficient to ensure accomplishment of the activities and objectives described in the application. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Potential applicants should file Standard Form 424.1, "Application for Federal Assistance (For Nonconstruction)," and additional material required by Agency regulations with the USDA Rural Development State Office. The application will include a written narrative and scope of work. More details are available from the Headquarters Office or any Rural Development State Office.

Award Procedure:

Applications will be given a priority score in accordance with the criteria set out in the program regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

30 days to 1 year.

Appeals:

Adverse decision may be appealed in accordance with procedures set out at 7 CFR 11.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25.%. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for complete projects is limited to projects that can be completed within 2 years. Projects of longer duration may only be funded for 1 year at a time. Method of awarding/releasing assistance: quarterly.

Reports:

Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed. Cash reports are not applicable. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed. Grantees must provide a financial report and performance activity report quarterly while the project is in process, and a project evaluation report within 1 year after the project is completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts must be maintained to reflect the operations of the project.

Account Identification:

12-0400-0-1-452.

Obligations:

(Salaries) FY 09 \$5,600,000; FY 10 est \$5,600,000; FY 11 est \$2,500,000

Range and Average of Financial Assistance:

\$5000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2007, 107 grants were made. In FY 2008 the number of grants were 38. It is anticipated that in FY 2009, approximately the same number will be funded. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Implementing regulations will be published in the Federal Register (64 FR 71984) The CFR citation is 7 CFR 4284, Subpart G.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Business and Cooperative Programs, STOP 3225, Room 6767, 1400 Independence Ave., S.W., Washington, District of Columbia 20250
Phone: (202) 720-1400.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant selection criteria include the extent to which economic development resulting from the proposed project will be sustainable over the long term; the extent to which the project should lead to improvements in the quality of economic activity within the community, such as higher wages, improved benefits, greater career potential, and the use of higher level skills; the amount of leveraging of funds from other sources; service to communities that are experiencing trauma due to a major natural disaster or the closing or major downsizing of a military facility or other major employer; service to communities that have remained consistently poor over the long term or have experienced long term population decline or job deterioration; and the extent of the project's usefulness as a best practice that may serve as a model for other communities.

10.777 NORMAN E. BORLAUG INTERNATIONAL AGRICULTURAL SCIENCE AND TECHNOLOGY FELLOWSHIP

Borlaug Fellowship Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3310; Food, Conservation and Energy Act of 2008, Section 1473G, Public Law HR-6124, 7 U.S.C 3310.

OBJECTIVES:

The Norman E. Borlaug International Agricultural Science and Technology Fellowship Program (Borlaug Fellowship Program) helps developing countries strengthen sustainable agricultural practices by providing up to 12 weeks of scientific training and collaborative research opportunities to entry- to mid-career- agricultural research scientists and policymakers from developing and middle income countries. Training venues include U.S. land-grant universities, USDA or other U.S. government agency research facilities, not-for-profit institutions, and international agricultural research centers. Upon their return home, participants will be able to apply this knowledge in their own research programs and teaching, as well as share their experiences with colleagues at their own institutions and throughout their home countries. The program fosters collaboration and networking between scientists in the developing world and the United States. Launched in 2004, the program is administered by the U.S. Department of Agriculture, in cooperation with the U.S. Agency for International Development, the Department of State, and the U.S. Trade and Development Agency.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To promote food security and economic growth by increasing scientific knowledge and collaborative research to improve agricultural productivity.

Applicant Eligibility:

The Borlaug Fellowship Program solicits proposals from U.S. land grant

universities, USDA or other U.S. government agency research facilities, not-for-profit institutions, and international agricultural research centers.

Beneficiary Eligibility:

Technical assistance and research collaboration provided through these agreements benefit foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-21 and A-122. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

All proposals are evaluated by technical specialists, which rate the qualifications of applicants and merits of the proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. See the following for information on how assistance is awarded/released: Agreements are entered into with selected institutions.

Reports:

Mentors report and Fellows report are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Program and financial records are kept in the Department.

Account Identification:

12-3200-0-1-352.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 09 \$1,647,615; FY 10 est \$1,340,181; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

\$25,000 per fellow.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ali Abdi, Department of Agriculture, Foreign Agricultural Service, 1400 Independence Avenue, S.W., Room 3224-South Building, Washington, District of Columbia 20250 Email: ali.abdi@fas.usda.gov Phone: (202) 690-0880.

Website Address:

<http://www.fas.usda.gov/icd/borlaug/Borlaug.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Research training and collaboration in such areas as plant pathology, livestock disease, forest management, GMO allergenicity, and conversion of feed stocks. Fiscal Year 2010: Research training and collaboration in such areas as biotech crops, cocoa germplasm, insect pathogens, climate change and food safety, agricultural policy leadership, irrigation and SPS. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development program area (FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD/TSED/BFP for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

10.780 COMMUNITY FACILITIES LOANS AND GRANTS

Community Programs

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926 (a)(1) and (a)(19). American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

The objective of the Community Facilities (CF) direct loan and grant program is to provide loan and/or grant funds to rural communities to construct, enlarge, expand, or otherwise improve community facilities providing essential services to rural residents.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants

USES AND USE RESTRICTIONS:

Community facilities include, but are not limited to, those providing or supporting overall community development such as hospitals, fire stations, child care facilities, food recovery and distribution centers; assisted-living facilities; group homes, mental health clinics, and shelters; and education facilities. Projects comprise community, social, health care, education, cultural, transportation, industrial park sites, fire and rescue services, access ways, and utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use. Loan funds may not be used to finance; (a) on-site utility systems or businesses; (b) industrial buildings in connection with industrial parks; (c) community antenna television services; (d) electric generation (except for hydroelectric) or transmission facilities; (e) telecommunication systems; (f) facilities which are not modest in size, design, or cost; and (g) loan or grant finder's fees.

In addition to meeting the requirements of this program, applicants must also comply with applicable provisions of the American Recovery and Reinvestment Act of 2009 (ARRA) and any related regulations and guidance. For

non-construction projects, this will include ARRA reporting requirements. For construction projects, this will include the ARRA reporting requirements as well as the Davis-Bacon Act and Buy American requirements. Contact your Rural Development State Office to determine the eligible interest rate for your area.

Applicant Eligibility:

Eligibility for CF direct loan assistance is based on; (a) the type of organization applying for the loan (public body, non-profit organization, or federally recognized Indian tribe); (b) whether the applicant can demonstrate that it is unable to finance the proposed project from its own resources or from commercial credit at reasonable rates and terms; (c) whether the applicant has authority to develop, own and operate the proposed facility; and (d) whether the applicant can legally borrow money and make payments on debts obligated.

Grant assistance may be provided to an essential community facility that must be located and primarily serve a rural area(s) and where the median household income of the service area is below the higher of the poverty line or eligible percentage (60, 70, 80, 90) of the State non metropolitan median household income. Projects are selected based on a priority point system. Projects that received priority are those that serve small or low-income communities and those that provide health care, public safety, or public and community services.

Beneficiary Eligibility:

Loans for essential community facilities are made to eligible entities who provide essential community services to the population living within the service area of the facility or being served by the facility. Beneficiaries include farmers, ranchers, rural residents, rural businesses, and other users of such public facilities in eligible applicant areas as set out above.

Credentials/Documentation:

The applicant must certify in writing and provide evidence that credit for the project is not available from commercial sources at reasonable rates and terms. Other supporting documentation necessary to make an eligibility determination should include financial statements, audits, and copies of organizational documents or existing debt instruments. The loan and/or grant docket should also include related forms, materials, and information. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All applications requesting assistance through the American Recovery and Reinvestment Act of 2009 (ARRA) funds are to be clearly identified when the applications are submitted to the USDA Rural Development Area Office. State Directors have the authority to approve loans and award grants as long as it is within the State Director's approval authority.

The Area Office will normally be the entry point for preapplications and serve as a local contact point. Applications will be filed with the Area Office and loans will be processed to the maximum extent possible by the Area Office staff. The Agency should issue Form AD-622 inviting an application within 45 calendar days from receipt of the preapplication.

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For direct loans and grants, applications are made and processed at the Rural Development field office serving the county where the facility is or will be located. Applicants must file Standard Form SF-424 and provide supporting documentation at the local Rural Development Area Office.

Award Procedure:

After the preapplication has been reviewed by the Rural Development Area Office, it is forwarded to the Rural Development State Office for review and processing instructions. Following review by the State Office, the applicant is

notified about eligibility, availability of funds, and if an application should be filed. Eligible applicants are reviewed by the department and the Office of Management and Budget and approved for funding before final processing.

Deadlines:

Mar 25, 2009 to Sep 30, 2010

Range of Approval/Disapproval Time:

Contact the State Office for Range of Approval/Disapproval Time.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The notification will include a statement for appeal or review of the adverse decision. The applicant may request an appeal or review of the decision. Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are contained in 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Request for an appeal to the NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The American Recovery and Reinvestment Act specified that funds must be expended not later than September 30, 2015. See the following for information on how assistance is awarded/released: Upon completion of application processing requirements and approval by the State Office, funds are made available to the Rural Development Area Office for delivery.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Periodic reports are made to Rural Development. Each borrower will monitor and report to RHS on actual performance during the construction of each project financed, or to be financed, in whole or in part with Community Facilities loan funds. Recipients must also comply with reporting requirements established in Division A of the American Recovery and Reinvestment Act (Public Law 111-005).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and other agreements.

Records:

The borrower must maintain adequate records and accounts of the operation of the facility developed.

Account Identification:

12-1951-0-1-452; 12-4155-0-3-452.

Obligations:

(Direct Loans) FY 09 \$204,369,381; FY 10 est \$1,101,960,000; FY 11 est \$0.
(Project Grants) FY 09 \$31,117,651; FY 10 est \$71,086,349; FY 11 est \$0

Range and Average of Financial Assistance:

Direct Loans range from \$3,000 to \$11,000,000. An average of \$847,971.
Grants range from \$570 to \$120,750. An average of \$36,753.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations, administrative notices, and other program literature can be found at the USDA website at <http://www.rurdev.usda.gov/regs>.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development local field office or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Deputy Administrator, Community Programs, Department of Agriculture, 1400 Independence Avenue, S.W., Mail Stop 0788, Washington, District of Columbia 20250-0788 Phone: (202) 720-1490.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected for funding giving due consideration to State development strategies, serving the largest number of low income rural residents, and priority recommendation. Priority for funding will be given to those projects that will enhance public safety such as fire, police, rescue, and ambulance services, and projects for health care facilities.

10.781 WATER AND WASTE DISPOSAL SYSTEMS FOR RURAL COMMUNITIES - ARRA

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

To provide basic human amenities, alleviate health hazards and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or acquisition or refinancing of an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation or the organization's borrowing authority or the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: a poverty rate that is 60 percent of market rate when the loan is required to meet health or sanitary standards and the median household income of the service area is below the higher of the poverty line or 80 percent of the statewide nonmetropolitan median household income; an intermediate rate that is 80 of market rate if the median household income of the service area is not more than 100 percent of the nonmetropolitan median household income of the State; and a market rate for those applicants that do not qualify for the poverty rate or the intermediate rate. The law authorizing the program allows a maximum repayment period of 40 years. However, the repayment period cannot exceed the useful life of the facilities financed or any statutory limitation on the applicants borrowing authority. The law authorizing the program allows a maximum repayment period of 40 years. However, the repayment period cannot exceed the useful life of the facilities financed or any statutory limitation on the applicants borrowing authority.

Applicant Eligibility:

Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. Plans and specifications must be developed to comply with State and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefited user charges to a reasonable level. Normally, grants are considered only when the debt service portion of the cost to grant eligible users exceeds the following percentages of median household incomes (MHI) for the applicant service area: 0.5 percent when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's non-metropolitan household income (NMHI); 1.0 percent when the NMHI of the service area exceeds the 0.5 percent requirement but is not more than 100 percent of the State's NMHI; no RUS grant funds will be used in any project when the MHI of the service area is above the poverty line and more than 100 percent of the State's NMHI. The RUS grant may not exceed 75 percent of the eligible project development cost when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's NMHI and the project is necessary to alleviate a health or sanitary problem; 45 percent when the MHI of the service area exceeds the 75 percent requirements, but is not more than 100 percent of the State's NMHI. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Farmers, ranchers, rural residents, rural businesses and other users in eligible applicant areas.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by OMB Circular No. A102 must be used for this program. An environmental assessment is required for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. USDA Rural Development (RD) offices administer the program on the local level. Application Form SF-424 is filed at the local RD Office from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110

Award Procedure:

the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant.

Deadlines:

Sep 28, 2009 to Sep 30, 2010

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the RD or RUS National level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of RUS loan or grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period. See the following for information on how assistance is awarded/released: Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009. See Section 1512 of The American Recovery and Reinvestment Act of 2009. Progress reports are not applicable. See Section 1512 of The American Recovery and Reinvestment Act of 2009. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts are required to reflect the operations of the facility.

Account Identification:

12-0400-0-1-452 - ARRA; 12-0400-0-1-452 - ARRA.

Obligations:

(Direct Loans) FY 09 \$884,193,114; FY 10 est \$1,274,000,000; FY 11 est \$0.
(Project Grants) FY 09 \$554,556,697; FY 10 est \$505,538,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Department of Agriculture, Rural Utilities Service, Water and Environmental Programs, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250
Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities;
14.218 Community Development Block Grants/Entitlement Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: Population; low income unemployment; and health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.787 BROADBAND INITIATIVES PROGRAM

Broadband Initiatives Program (BIP)

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Public Law 111-5, 123 Stat. 115, 7 U.S.C 901.

OBJECTIVES:

The purpose of the Broadband Initiatives Program (BIP) to make loans and grants for the purpose of facilitating broadband deployment in rural areas.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Under BIP, RUS awards grants, loans, and loan/grant combinations to facilitate broadband deployment in unserved and underserved rural areas. Additionally, the Recovery Act mandates that priority be given to projects which: (1) give end users a choice of providers; (2) serve the highest proportion of rural residents that lack access to broadband service; (3) are projects of current or former RUS borrowers (Title II borrowers); and (4) are fully funded and ready to start once Recovery Act funding is received. BIP application scoring criteria rewards projects that implement these priorities.

On July 9, 2009, RUS and the National Telecommunications and Information Administration (NTIA) published a joint Notice of Funds Availability (NOFA) in the Federal Register. On January 22, 2010, RUS published a NOFA for a second round of funding. This funding announcement focused on last mile projects, and middle mile projects generally involving current RUS program participants. The Second Round NOFA also announced the opening of a future window for specific grants for Satellite, Rural Library Broadband, and Technical Assistance. A Request for Proposals (RFP) outlining the requirements for applications for Satellite, Rural Library Broadband, and Technical Assistance Projects was published in the Federal Register on from May 7, 2010. The following terms shall apply to the loans, as well as other terms that are specified in the loan documents:

i. Interest rate. Loans shall bear interest at a rate equal to the cost of borrowing to the Department of Treasury for obligations of comparable maturity. The applicable interest rate will be set at the time of each advance.

ii. Repayment period. Unless the applicant requests a shorter repayment period, broadband loans must be repaid with interest within a period that, rounded to the nearest whole year, is equal to the expected Composite Economic Life of the assets to be financed, as determined by RUS based upon acceptable depreciation rates.

iii. Amortization period. Interest begins accruing on the date of each loan advance and interest payments are due monthly. Upon completion of the buildout, or a date certain that is determined at closing, whichever occurs first, monthly principal payments will be established in an amount that amortizes the outstanding balance over the remaining term of the loan.

iv. Fidelity bonding. Applicants must agree to obtain a fidelity bond for 15 percent of the loan amount. The fidelity bond must be obtained as a condition of loan closing. RUS may reduce the percentage required if it determines that 15 percent is not commensurate with the risk involved.

v. Security. The loan portion of the award must be adequately secured, as determined by RUS.

Applicant Eligibility:

All funds for BIP will be awarded no later than September 30, 2010.

Applicants must conform to the eligibility criteria published in the NOFAs.

Beneficiary Eligibility:

Residents of eligible rural communities and others who may receive service as a result of service provided to a rural area.

Credentials/Documentation:

Applicants must certify that the organization is in compliance, or will comply, with the Department of Agriculture assurances included in the standard application. Applicants must also provide assurances that they will substantially complete funded projects in accordance with project timelines. BIP applicants must commit to the following Nondiscrimination and Interconnection Obligations: i. Adhere to the principles contained in the FCCs Internet Policy Statement (FCC 05151, adopted August 5, 2005); ii. not favor any lawful Internet applications and content over others; iii. display any network management policies in a prominent location on the service providers web page and provide notice to customers of changes to these policies (awardees must describe any business practices or technical mechanisms they employ, other than standard best efforts Internet delivery, to allocate capacity; differentiate among applications, providers, or sources; limit usage; and manage illegal or harmful content); iv. connect to the public Internet directly or indirectly, such that the project is not an entirely private closed network; and v. offer interconnection, where technically feasible without exceeding current or reasonably anticipated capacity limitations, on reasonable rates and terms to be negotiated with requesting parties. This includes both the ability to connect to the public Internet and physical interconnection for the exchange of traffic. Applicants must disclose their proposed interconnection, nondiscrimination, and network management practices with the application. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applications are scored against objective criteria outlined in BIP NOFAs published in the Federal Register. The application window is closed. All funds for BIP will be awarded no later than September 30, 2010. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed on the basis of funding criteria as established in the Notice of Funding Availability.

Deadlines:

May 01, 2009 to Sep 30, 2010 The application window is closed. All funds for BIP will be awarded no later than September 30, 2010.

Range of Approval/Disapproval Time:

From 90 to 120 days. All awards under BIP must be made no later than September 30, 2010.

Appeals:

All award decisions are final. There is no administrative appeal process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
 This program has no matching requirements.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

While the completion time will vary depending on the complexity of the project, award recipients must substantially complete projects supported by this program no later than two years, and projects must be fully completed no later than three years, following the date of issuance of the award. See the following for information on how assistance is awarded/released: Financial assistance is generally released as required during the award.

Reports:

No program reports are required. No cash reports are required. Financial and progress reports are to be submitted in accordance with the terms and conditions of the award, and are due on a quarterly basis. RUS may establish additional reporting and information requirements for any recipient of an award. No cash reports are required. As required by Section 1512 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) and OMB guidance, each recipient is required to report the following information to RUS ten days after the end of each calendar quarter. As prescribed by the Recovery Act, these reports will include:

1. The total amount of recovery funds received from RUS.
 2. The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated allotment balances to facilitate reconciliations.
 3. A detailed list of all projects or activities for which Recovery Act funds were obligated and expended, including
 - a. The name of the project or activity;
 - b. A description of the project or activity;
 - c. An evaluation of the completion status of the project or activity;
 - d. An estimate of the number of jobs created and the number of jobs retained by the project or activity; and
 - e. For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name the person to contact at the agency if there are concerns with the infrastructure investment.
- No cash reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients or subrecipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for three years from the date on which the final expenditure report is submitted or the Loan is Paid in Full.

Account Identification:

12-1232-0-1-452.

Obligations:

(Direct Loans) FY 09 \$0; FY 10 est \$1,201,506,000; FY 11 est \$0 - All awards under BIP must be made no later than September 30, 2010. (Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$2,338,011,000; FY 11 est not reported. - All awards under BIP must be made no later than September 30, 2010.

Range and Average of Financial Assistance:

To Be Determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The application package containing the Notice of Funding Availability, application forms and guidelines are available at www.broadbandusa.gov.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Rural Utilities Service, 1400 Independence Avenue, SW, Stop 1599, Washington, District of Columbia 20250 Email: bip@wdc.usda.gov Phone: (202) 690-0525.

Website Address:

<http://www.broadbandusa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: N/A. Fiscal Year 2010: Last-mile projects, which will provide broadband service directly to households and other users in rural areas. Middle-mile projects, which will provide necessary "backbone" services such as interoffice transport, backhaul, Internet connectivity, or special access to rural areas. Fiscal Year 2011: N/A.

CRITERIA FOR SELECTING PROPOSALS:

In determining whether to approve an application, in whole or in part, and the amount of the loan, loan/grant combination or grant, RUS will consider the project's conformance with the program purposes and funding criteria set forth in the legislation and the Notice of Funding Availability.

10.788 VERY LOW TO MODERATE INCOME HOUSING LOANS - DIRECT

Section 502 Rural Housing Loans

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Title V, Section 502, as amended, Public Law 89-117, 42 U.S.C. 1471 et seq.; Public Law 92-310, 42 U.S.C. 1480, 42 U.S.C. 1472; Public Law 98-51; Public Law 100-233; Amendment of Consolidated Farm and Rural Development Act of 1987, Section 601. American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To assist very low, low income, and moderate-income households to obtain modest, decent, safe, and sanitary housing for use as a permanent residence in rural areas.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Direct and guaranteed loans may be used to buy, build, or improve the applicant's permanent residence. New manufactured homes may be financed when they are on a permanent site, purchased from an approved dealer or contractor, and meet certain other requirements. Under very limited circumstances, homes may be re-financed with direct loans. Dwellings financed must be modest, decent, safe, and sanitary. The value of a home financed with a direct loan may not exceed the area limit. The property must be located in an eligible rural area. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's, and the Trust Territories of the Pacific Islands. Direct loans are made at the interest rate specified in RD Instruction 440.1, Exhibit B (available in any Rural Development local office), and are repaid over 33 years or 38 years for applicants whose adjusted annual income does not exceed 60 percent of the area median income, if necessary to show repayment ability. Payment assistance is granted on direct loans to reduce the installment to an "effective interest rate" as low as one percent, depending on adjusted family income. Payment assistance is subject to recapture by the government when the customer no longer resides in the dwelling. There is no funding provided for deferred mortgage authority or loans for deferred mortgage assumptions. Guaranteed loans may be made to refinance either existing RD RHS Guaranteed Housing loans or Section 502

Direct Housing Loans. Guaranteed loans are amortized over 30 years. The interest rate is negotiated with the lender.

In addition to meeting the requirements of this program, applicants must also comply with applicable provisions of the American Recovery and Reinvestment Act of 2009 (ARRA) and any related regulations and guidance. For non-construction projects, this will include ARRA reporting requirements. For construction projects, this will include the ARRA reporting requirements as well as the Davis-Bacon Act requirements.

Applicant Eligibility:

Applicants must have very low-, low- or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-incomes between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principle, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 29 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history.

Beneficiary Eligibility:

Applicants must meet eligibility requirements.

Credentials/Documentation:

Applicants may need to submit evidence of inability to obtain credit elsewhere, verification of income, debts, and other information on the application; plans, specifications, and cost estimates. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For direct loans, application is made at the Rural Development field office serving the county where the dwelling is or will be located. For guaranteed loans, application is made with a participating private lender.

Award Procedure:

Rural Development field offices have authority to approve most direct loan requests. Processing of guaranteed loans varies in each State. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

If no backlog exists, decisions on direct loan applications are made within 30 to 60 days. A 'prequalification' may be provided to potential direct loan applicants upon call or visit to a Rural Development office, though results are not binding. Requests for guaranteed loans are acted upon within three days of receipt of the lender's request for guarantee.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are found at 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to the NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no matching requirements. A

basic formula is used to allocate program funds to various states. The criteria used in the basic formula include the States percentage of the National: Number of rural occupied substandard units, rural population, rural population in places of less than 2,500 population, number of rural households between 50 and 80 percent of the area median income, and number of rural households below 50 percent of the area median income. The data source for each of these criteria is based on the latest census data available.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of quarterly allocations. Funds may be disbursed at loan closing or deposited in a supervised bank account for purchase of a building site, and purchase or repair of a dwelling, or in multiple advances for construction. For guaranteed loans, funds are disbursed after all work is complete. Applications for direct loans far exceed available funding. There is generally a waiting list for direct loan funding. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Borrowers receiving a payment subsidy must submit verification of household income annually for recalculation of benefits. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Borrowers are not required to, but should retain copies of loan-related documents.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(Direct Loans) FY 09 \$267,124,146; FY 10 est \$1,000,000,000; FY 11 est not reported. (Guaranteed/Insured Loans) FY 09 \$3,352,228,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

FY09Average: 502 Direct Loans (\$131,588). Guaranteed Loans (\$109,807). Loans in high cost areas may be higher.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Direct Loans -- 7 CFR Part 3550, Direct Single Family Housing Loans and Grants. Guaranteed Loans -- 7 CFR Part 1980-D, Rural Housing Loans.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single Family Housing Direct Loan Division; Director, Single Family Housing Guaranteed Loan Division USDA, Rural Development, 1400 Independence Avenue, S.W., Washington, District of Columbia 20250-0787 Phone: (202) 720-1474; (202) 720-1452.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.789 VERY LOW TO MODERATE INCOME HOUSING LOANS - GUARANTEED

Section 502 Rural Housing Loans

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Housing Act of 1949, Title V, Section 502, as amended, Public Law 89-117, 42 U.S.C. 1471 et seq.; Public Law 92-310, 42 U.S.C. 1480, 42 U.S.C. 1472; Public Law 98-51; Public Law 100-233; Amendment of Consolidated Farm and Rural Development Act of 1987, Section 601. American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To assist very low, low-income, and moderate-income households to obtain modest, decent, safe, and sanitary housing for use as a permanent residence in rural areas.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Direct and guaranteed loans may be used to buy, build, or improve the applicant's permanent residence. New manufactured homes may be financed when they are on a permanent site, purchased from an approved dealer or contractor, and meet certain other requirements. Under very limited circumstances, homes may be re-financed with direct loans. Dwellings financed must be modest, decent, safe, and sanitary. The value of a home financed with a direct loan may not exceed the area limit. The property must be located in an eligible rural area. Assistance is available in the States, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of Northern Mariana's, and the Trust Territories of the Pacific Islands. Direct loans are made at the interest rate specified in RD Instruction 440.1, Exhibit B (available in any Rural Development local office), and are repaid over 33 years or 38 years for applicants whose adjusted annual income does not exceed 60 percent of the area median income, if necessary to show repayment ability. Payment assistance is granted on direct loans to reduce the installment to an "effective interest rate" as low as one percent, depending on adjusted family income. Payment assistance is subject to recapture by the government when the customer no longer resides in the dwelling. There is no funding provided for deferred mortgage authority or loans for deferred mortgage assumptions. Guaranteed loans may be made to refinance either existing RD RHS Guaranteed Housing loans or Section 502 Direct Housing loans. Guaranteed loans are amortized over 30 years. The interest rate is negotiated with the lender.

In addition to meeting the requirements of this program, applicants must also comply with applicable provisions of the American Recovery and Reinvestment Act of 2009 (ARRA) and any related regulations and guidance. For non-construction projects, this will include ARRA reporting requirements. For construction projects, this will include the ARRA reporting requirements as well as the Davis-Bacon Act requirements.

Applicant Eligibility:

Applicants must have very low-, low-or moderate incomes. Very low-income is defined as below 50 percent of the area median income (AMI); low-incomes between 50 and 80 percent of AMI; moderate income is below 115 percent of AMI. Families must be without adequate housing, but able to afford the housing payments, including principle, interest, taxes, and insurance (PITI). Qualifying repayment ratios are 20 percent for PITI to 41 percent for total debt. In addition, applicants must be unable to obtain credit elsewhere, yet have an acceptable credit history.

Beneficiary Eligibility:

Applicants must meet eligibility requirements.

Credentials/Documentation:

Applicants may need to submit evidence of inability to obtain credit elsewhere, verification of income, debts, and other information on the application; plans, specifications, and cost estimates. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For direct loans, application is made at the rural Development field office serving the county where the dwelling is or will be located. For guaranteed loans, application is made with a participating private lender.

Award Procedure:

Rural Development field offices have authority to approve most direct loan requests. Processing of guaranteed loans varies in each State. Consult your local telephone directory under U.S. Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

If no backlog exists, decisions on direct loan applications are made within 30 to 60 days. A 'prequalification' may be provided to potential direct loan applicants upon call or visit to a Rural Development office, though results are not binding. Requests for guarantee loans are acted upon within 3 days of receipt of the lender's request for guarantee.

Appeals:

Agency regulations providing customers with the rights for an informal review, mediation or alternative dispute resolution (ADR), or appeal to the National Appeals Division (NAD) are found at 7 CFR Part 3550. NAD regulations are found at 7 CFR Part 11. Requests for an informal review, mediation and ADR must be received within 30 days from the date of the adverse decision. Requests for an appeal to the NAD must be received within 30 days of receipt of the adverse decision.

Renewals:

Applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. A basic formula is used to allocate program funds to various states. The criteria used in the basic formula include the States percentage of the National: Number of rural occupied substandard units, rural population, rural population in places of less than 2,500 population, number of rural households between 50 and 80 percent of the area median income, and number of rural households below 50 percent of the area median income. The data source for each of these criteria is based on the latest census data available.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This assistance is available throughout the year by means of quarterly allocations. Funds may be disbursed at loan closing or deposited in a supervised bank account for purchase of a building site, and purchase or repair of a dwelling, or in multiple advances for construction. For guaranteed loans, funds are disbursed after all work is complete. Applications for direct loans far exceed available funding. There is generally a waiting list for direct loan funding. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Borrowers receiving a payment subsidy must submit verification of household income annually for recalculation of benefits. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Borrowers are not required to, but should retain copies of loan-related documents.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$9,039,510,700; FY 10 est \$1,212,076,264; FY 11 est \$0 - Includes both Purchases and Refinances.

Range and Average of Financial Assistance:

FY09 Average: Section 502 Guaranteed Loans (\$121,878). Loans in high cost areas may be higher.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guaranteed Loans -- 7 CFR Part 1980-D, Rural Housing Loans. Direct Loans - 7 CFR Part 3550 Direct Single Family Housing Loans and Grants.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory under Department of Agriculture for a Rural Development field office listing or visit the website <http://offices.sc.egov.usda.gov/locator/app> for a State Office listing.

Headquarters Office:

Director, Single Family Housing Guaranteed Loan Division; Director, Single Family Housing Direct Loan Division, USDA, Rural Development, 1400 Independence Avenue, S.W., Washington, District of Columbia 20250 Phone: (202) 720-1452; (202) 720-1474.

Website Address:

<http://www.rurdev.usda.gov>

RELATED PROGRAMS:

10.405 Farm Labor Housing Loans and Grants; 10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans; 10.417 Very Low-Income Housing Repair Loans and Grants; 10.420 Rural Self-Help Housing Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.850 RURAL ELECTRIFICATION LOANS AND LOAN GUARANTEES

(RUS)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, 7 U.S.C. 901-950.

OBJECTIVES:

To assure that people in eligible rural areas have access to electric services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Long-term, direct loans and loan guarantees to qualified organizations for the purpose of supplying or improving inadequate or unreliable electric services on a continuing basis in rural areas.

Applicant Eligibility:

Rural electric cooperatives, public utility districts, power companies, municipalities, and other qualified power suppliers including those located in the U.S. Territories.

Beneficiary Eligibility:

Persons in rural areas, who do not have electric service, have inadequate or unreliable electric service, or those currently served through RUS financing. Rural areas are defined in the Rural Electrification Act and program regulations as any area of the United States other than a city, town, or unincorporated area that has a population of greater than 20,000 inhabitants, or any area within a

service area of an entity with an outstanding Rural Electrification Act loan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for direct loan and loan guarantees are made by resolution of the board of directors of the borrowing organization. Information on submitting applications is contained in 7 CFR Part 1710 and 1714.

Award Procedure:

Depending on the amount of the loan requested, the RUS Administrator, Assistant Administrator, or appropriate Regional Director makes the final decision on approval of the loan upon a finding that it is economically feasible and serves the purposes of the Rural Electrification Act. Borrowers and Members of Congress are notified of the approval of a loan or loan guarantee directly, and the public is notified through normal media communications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Direct loans are approved generally on an average of 3 to 6 months as funding becomes available. Loan guarantees are approved generally on an average of 9 to 12 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Under certain conditions, obtaining a municipal rate will require obtaining a concurrent loan (of 10, 20, or 30 percent of the total amount required) from a supplemental financing source. The factors used for determining the amount of concurrent financing required are set forth in 7 CFR 1710.110.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct loans are generally made for a period up to 35 years, that approximates the expected useful life of the facilities financed. Provision is normally made for advances to be completed within 4 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of loan guarantees are negotiated between the borrower and the non-RUS lender, subject to RUS approval. See the following for information on how assistance is awarded/released: Direct loans are generally made for a period up to 35 years, that approximates the expected useful life of the facilities financed. Provision is normally made for advances to be completed within 4 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of loan guarantees are negotiated between the borrower and the non-RUS lender, subject to RUS approval.

Reports:

Program reports are not applicable. Cash reports are not applicable. RUS borrowers must submit to the Rural Utilities Service regularly scheduled operating reports showing financial condition and operating progress. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All other RUS borrowers have their books audited annually by a Certified Public Accountant. Information on audits of RUS borrowers is contained in 7 CFR Part 1773.

Records:

RUS borrowers keep the records prescribed for utility companies by the RUS uniform system of accounts, and by sound business practices.

Account Identification:

12-4230-0-3-271; 12-1230-0-1-271.

Obligations:

(Direct Loans) FY 09 \$98,477,000; FY 10 est \$100,000,000; FY 11 est \$100,000,000. (Guaranteed/Insured Loans) FY 09 \$6,500,000,000; FY 10 est \$6,500,000,000; FY 11 est \$4,000,000,000

Range and Average of Financial Assistance:

Direct Loans: \$550,000 to \$24,000,000,000 \$10,940,500 Average.
Guaranteed FFB: \$985,000 to \$341,000,000 \$32,012,202 average.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR XVII. RUS publishes and issues upon request bulletins bearing on the financing, construction, operation, and maintenance of rural electric systems. Also available are annual statistical summaries; and the Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250-1500 Phone: (202) 720-9540.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.851 RURAL TELEPHONE LOANS AND LOAN GUARANTEES

Rural Telephone Loans and Loan Guarantees

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Titles II and III, 7 U.S.C. 921, 922-924, and 930-940.

OBJECTIVES:

To assure that people in eligible rural areas have access to telecommunications services comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve Telecommunications service in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) not included within the boundaries of any

incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants. The population figure is obtained from the Bureau of Census. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

Applicant Eligibility:

Telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. Territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide telecommunications service to meet the needs of rural areas.

Beneficiary Eligibility:

Residents of rural areas, and others who may also receive telephone service as a result of service provided to a rural area.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must supply environmental impact information to determine whether an environmental impact assessment or an environmental impact statement will be required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The completed loan application consists of four parts: an RUS Form 490; Application for Telephone Loan or Loan Guarantee; a market survey called Area Coverage Survey (ACS); the plan and associated costs for the proposed construction called the Loan Design (LD); and other various supplementary information specified in 7 CFR 1737.22. Detailed information on applying for an RUS telephone loan or loan guarantee is in 7 CFR Part 1737, available from RUS upon request.

Award Procedure:

The completed loan application consists of four parts: an RUS Form 490; Application for Telephone Loan or Loan Guarantee; a market survey called Area Coverage Survey (ACS); the plan and associated costs for the proposed construction called the Loan Design (LD); and other various supplementary information specified in 7 CFR 1737.22. Detailed information on applying for an RUS telephone loan or loan guarantee is in 7 CFR Part 1737, available from RUS upon request.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Normal loan processing time is from 2 to 3 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct and guaranteed loans can be made not to exceed the economic life of facilities and equipment. (average loan maturity is 18 yrs). See the following for information on how assistance is awarded/released: Provision is normally made for advances to be completed within 5 years, but this period is subject to extension if required. Advances of funds are made as required by the borrower. The terms and conditions of guaranteed loans are negotiated between the borrower and the non-RUS lender, subject to RUS approval.

Reports:

RUS borrowers must submit to the Rural Utilities Service regularly scheduled

operating reports showing financial condition and operating progress. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

RUS borrowers keep the records prescribed for telephone companies by the State regulatory body having jurisdiction, or by the Federal Communications Commission if there is no such regulatory body.

Account Identification:

12-1230-0-1-271; 12-4230-0-3-271.

Obligations:

(Direct Loans) FY 09 \$145,000,000; FY 10 est \$145,000,000; FY 11 est \$145,000,000 - Hardship Loans. (Direct Loans) FY 09 \$250,000,000; FY 10 est \$250,000,000; FY 11 est \$250,000,000 - Cost of Money Loans. (Guaranteed/Insured Loans) FY 09 \$295,000,000; FY 10 est \$295,000,000; FY 11 est \$295,000,000 - FFB Treasury Loans.

Range and Average of Financial Assistance:

For FY 2009: Direct loans: \$1,678,000 to \$32,000,000; Average: \$10,675,676. Loan Guarantees: \$500,000 to \$53,000,000; Average: \$17,352,941.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 38 borrowers received loans. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR-XVII. RUS publishes and issues upon request technical operations manuals and bulletins covering financing, construction, operation and maintenance of rural telephone systems. Also available are annual statistical summaries; and Rural Electrification Act of 1936, as amended.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service Rural Utilities Service, 1400 Independence Avenue, SW., STOP 1590, Room 5151., Washington, District of Columbia 20250 Phone: (202) 720 - 9554 Fax: (202) 720 - 0810.

Website Address:

<http://www.usda.gov/rus/>

RELATED PROGRAMS:

10.886 Rural Broadband Access Loans and Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: This program has provided funding to rural homes and businesses for access to high speed broadband connections as well as other state of the art telecommunications services. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.854 RURAL ECONOMIC DEVELOPMENT LOANS AND GRANTS

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c.

OBJECTIVES:

To promote rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects, and other reasonable expenses for the purpose of fostering rural development.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects which promote rural economic development and job creation. Projects

which promote rural economic development and job creation. Maximum amount of grant is \$300,000; maximum amount of loan is \$700,000. Maximum term and interest rates vary by project. Projects which promote rural economic development and job creation. Maximum amount of grant is \$300,000; maximum amount of loan is \$700,000. Maximum term and interest rates vary by project.

Applicant Eligibility:

Electric and telephone utilities that have current loans with the Rural Utilities Service (RUS) or Rural Telephone Bank loans or guarantees outstanding and are not delinquent on any Federal debt or in bankruptcy proceedings.

Beneficiary Eligibility:

Rural/General Public.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit application as described in 7 CFR 4280.39, no State plan required.

Award Procedure:

RBS selects projects to be funded. The funds pass through the RUS utility to rural economic development projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Open ended.

Appeals:

Not Applicable.

Renewals:

Renewal of same application for 1 year. Submit new or revised application as often as desired.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. This program requires supplemental funds in the amount of 20 percent of the RBS application amounts. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

annually. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting need established in 7 CFR 4280, Subpart A. Cash reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A. Expenditure reports are not applicable. Reporting need established in 7 CFR 4280, Subpart A.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Financial audit of the RUS utility is required.

Records:

Record retention requirements established in 7 CFR 4280, Subpart A.

Account Identification:

12-3105-0-1-452; 12-3108-0-1-452; 12-4230-0-3-271.

Obligations:

(Salaries) FY 09 \$36,000,000; FY 10 est \$38,000,000; FY 11 est \$33,000,000 - Loans and Grants to establish Revolving Loan Fund Programs.

Range and Average of Financial Assistance:

Loans and Grants to establish Revolving Loan Fund Programs.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart A and the Rural Electrification Act of 1936.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for RD District Office number. If no listing, get in touch with appropriate Rural Development State Office listed in Appendix IV of the catalog.

Headquarters Office:

Specialty Lenders Division, Rural Business-Cooperative Service, Department of Agriculture, 1400 Independence Ave. S.W., Washington, District of Columbia 20250 Phone: (202) 720-1400.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR 4280.42.

10.855 DISTANCE LEARNING AND TELEMEDICINE LOANS AND GRANTS

Distance Learning and Telemedicine Loans and Grants

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title VII, Public Law 104-127, 7 U.S.C 950.

OBJECTIVES:

To encourage and improve the use of telemedicine, telecommunications, computer networks, and related advanced technologies to provide educational and medical benefits through distance learning and telemedicine projects to people living in rural areas and to improve rural opportunities.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Telecommunications, computer networks and related advanced technologies that provide educational and/or medical benefits to students, teachers, medical professionals and rural residents. Eligible costs depend on the type of financial assistance being requested; i.e., grant or loan. See 7 CFR Part 1703 for details.

Applicant Eligibility:

To be eligible to receive a grant, loan and grant combination, or loan, the applicant must be legally organized as an incorporated organization or partnership, an Indian tribe or tribal organization, a state or local unit of government, a consortium, or other legal entity. The applicant must have legal capacity to contract with RUS. The applicant also must propose to utilize the financing to: (i) operate a rural community facility; or (ii) deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance is passed through to such entities or to residents of rural areas. The applicant must not be delinquent on any Federal debt. RUS electric and telecommunications borrowers are not eligible for grants. Generally, applicants are organizations such as schools, libraries, hospitals, medical centers, or other eligible organizations that will be users of a telecommunications, computer network, or related advanced technology system

to provide educational and/or medical benefits to rural residents.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities, particularly in the areas of education, training and medical services.

Credentials/Documentation:

See 7 CFR Part 1703 for details. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Submit application Form 424; non-delinquent on any Federal obligations; consultation with USDA State Director (for grant applicants); evidence of legal existence and authority to perform the proposed grant activities; a comprehensive rural telecommunications plan; scope of work plan, executive summary for the project; financial information; a statement of experience; funding commitment from other sources; compliance with Federal statutes and regulations (compliance with scoring criteria) and environmental impact; supplemental information and required additional RUS information.

Award Procedure:

Based on a determination that the loan is economically feasible and serves the purposes of the Rural Electrification Act, loans are approved. For grants, RUS rates the applicants based on specified scoring criteria and determines awards based on those ratings.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available. Applications that have not been approved may be resubmitted each year for consideration.

Appeals:

A grant applicant may appeal the numerical scoring to the Administrator in writing within 10 days after applicant is notified of the scoring level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 15%. No matching is required for applicants requesting loans. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Applicants generally have three years to spend funds. One extension may be granted. See the following for information on how assistance is awarded/released: Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. SF 269, "Financial Status Report," is required annually. Project performance activity reports are required annually.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. For a grant recipient, an audit report is required for the years in which funds are received. For a loan recipient, an audit report is required annually until all RUS loans have been repaid.

Records:

Grantee will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Direct Loans) FY 09 \$16,256,779; FY 10 est \$0; FY 11 est \$0. (Project Grants) FY 09 \$36,287,654; FY 10 est \$32,536,626; FY 11 est \$30,000,000

Range and Average of Financial Assistance:

The minimum grant, loan, or loan/grant combination is \$50,000. The maximum varies and is not to exceed the amount established by the RUS Administrator.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 13 DLT Loans and 123 DLT Grants were awarded. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1703, subparts D through G. Distance Learning and Telemedicine Program Grant Application Guide - For grants - Distance Learning and Telemedicine Program -Grant Application Guide - Fiscal Year 2009.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9554.

Website Address:

<http://www.usda.gov/rus/telecom/dlt/dlt.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Depending on the type of financial assistance: interactive rural education networks, remote health care unit linkups, computer terminals that are required for a distance learning or telemedicine system, video and audio equipment, site development and alteration of buildings, telecommunications transmission facilities, and other telemedicine or distance learning projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR Part 1703, subparts D through G.

10.857 STATE BULK FUEL REVOLVING FUND GRANTS

(RUS)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

To provide grants to State entities for revolving funds to promote more cost-effective fuel purchases for communities where fuel cannot be shipped by surface transportation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where fuel cannot be shipped by means of surface transportation. Not more than 4 percent of the funds made available under the grant may be used for planning and administrative expenses.

Applicant Eligibility:

Applicants are limited to State entities and to entities of any Territory, possession or other area authorized to receive the services and programs of the

Rural Utilities Service or the Rural Electrification Act of 1936, as amended. Entities must be in existence as of November 9, 2000.

Beneficiary Eligibility:

Assistance must be used to improve the cost-effectiveness of fuel purchasing for communities that are dependent on fuel deliveries by water or air.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register. Requirements for applications are described in the Federal Register notice and may be requested from the Agency. Unsolicited applications may also be considered at the request of a State. Eligible State entities should provide expressions of interest in applying for grants to the Assistant Administrator, Electric Programs.

Award Procedure:

Grants are awarded competitively.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Grant approvals will generally be made within 60 days of the application closing date.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements will run for a period of three years. Extensions may be granted. See the following for information on how assistance is awarded/released: Funds will be disbursed on request of the Grantee.

Reports:

Grantee will be required to provide periodic financial and performance reports. Cash reports are not applicable. Progress reports are not applicable. Grantee will be required to provide periodic financial and performance reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantee will be required to report in accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

Records must be kept as required under USDA and State regulations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Grants to States have ranged from \$350,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250 Phone: (202) 720-9540.

Website Address:

<http://www.usda.gov/rus/electric/hecgp/index.htm>

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected competitively based on total scores received on evaluation criteria in grant announcement. Unsolicited applications from State Governments are considered on a case by case basis according to criteria in regulations.

10.858 DENALI COMMISSION GRANTS AND LOANS

(RUS)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

Grants and loans to the Denali Commission for the benefit of rural communities in Alaska with extremely high household energy costs exceeding 275 percent of the national average.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used for energy generation, transmission, or distribution facilities serving rural communities where average residential energy expenditures are at least 275 percent of the national average. Grant must be in coordination with State rural development initiatives. Planning and administrative expenses must not exceed 4 percent of the grant amount.

Applicant Eligibility:

The Denali Commission, a Federal Agency is the only eligible applicant.

Beneficiary Eligibility:

Beneficiaries are residents of rural communities in Alaska with extremely high energy costs. Eligible beneficiary communities must apply for assistance directly to the Denali Commission and eligible projects must be on Denali Commission Annual Approved Work Plan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Denali Commission submits a letter of application under a Memorandum of Understanding with USDA.

Award Procedure:

Approval of the Denali request for grant funds is made by the Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds are for three years and may be extended. See the following for information on how assistance is awarded/released: .

Reports:

The Denali Commission will provide a summary of projects approved, rural communities served, and an accounting of expenditures made under each grant in its annual report. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

The Denali Commission maintains its books and records in accordance with Federal requirements of OMB Circular A-34.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$8,500,000; FY 10 est \$8,000,000; FY 11 est \$8,000,000

Range and Average of Financial Assistance:

Grant awards have ranged from \$8,500,000 to \$18,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR part 1709.

Regional or Local Office:

None.

Headquarters Office:

Administrator, Rural Utilities Service, Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250 Phone: (202) 720-9540.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Agency Administrator makes a grant award based on Denali Letter of Request and Denali Annual Work Plan.

10.859 ASSISTANCE TO HIGH ENERGY COST RURAL COMMUNITIES

(RUS High Energy Cost Grants)

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.

OBJECTIVES:

Assistance to rural communities with extremely high energy costs.

TYPES OF ASSISTANCE:

DIRECT LOANS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to acquire, construct, extend, upgrade, and improve energy generation, transmission, or distribution facilities serving communities in which the average annual expenditure for home energy costs is at least 275 percent of the national average. Energy efficiency and on-grid and off-grid renewable energy systems are eligible. Not more than 4 percent of amounts made available in grant funds may be used for planning and administrative expenses. Funds may not be used to pay utility bills, fuel costs, or operating expenses.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States, for-profit and non-profit businesses, cooperatives, associations, organizations, and other entities organized under the laws of States, Indian tribes, tribal entities, and individuals. The governments and entities located in any U.S.

Territory/possession or other area authorized by law to receive the services and programs of the Rural Utilities Service or the Rural Electrification Act of 1936, as amended, are also eligible.

Beneficiary Eligibility:

Projects must serve rural communities in which the annual average residential expenditure for home energy is at least 275 percent of the national average. Energy cost eligibility benchmarks are included in the published Notice of Funding Availability.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant applications are accepted in response to a Notice of Funding Availability published in the Federal Register and Grants.gov. Application requirements are described in the published notice and on the agency web site. Application materials may also be requested from the Agency.

Award Procedure:

Grants will be awarded based on competitive ranking of applications according to published evaluation criteria for technical merit, community need, economic hardship, and rurality.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Selection of grant finalists will be announced approximately 90 to 180 days after the application deadline. Final Grant

awards will be approved after completion of environmental review and other pre-award requirements.

Appeals:

Applicants may appeal rejections of ineligible applications to the Administrator of the Rural Utilities Service under procedures in program regulations at 7 CFR Part 1709. Applicants may not appeal numerical rankings of competitive grant applications.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant agreements will run for the period of time necessary to complete the project and provide at least one year of operating data, generally about three years. Extensions may be granted. See the following for information on how assistance is awarded/released: Advances and reimbursements will be disbursed over the term of the grant on request of the grantee.

Reports:

Grant recipients will be required to file periodic financial and performance reports specified in the grant agreement and program regulations. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantee must maintain records in accordance with requirements of program regulations and the grant agreement.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$10,874,260; FY 10 est \$18,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Minimum award is \$75,000 and maximum is \$5,000,000. Individual grant awards have ranged from approximately \$175,000 to \$3,775,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1709.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Department of Agriculture, 1400 Independence Ave., SW, Stop 1560, Washington, District of Columbia 20250 Phone: (202) 720-9545.

Website Address:

<http://www.usda.gov/rus/electric/hecgp/index.htm>

RELATED PROGRAMS:

10.850 Rural Electrification Loans and Loan Guarantees; 10.854 Rural Economic Development Loans and Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be ranked competitively based on published evaluation criteria. All projects must serve rural communities with extremely high energy costs.

10.861 PUBLIC TELEVISION STATION DIGITAL TRANSITION GRANT PROGRAM

Public Television Station Digital Transition Grant Program

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2006; Title III, Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 109-97.

OBJECTIVES:

The Federal Communications Commission (FCC) has ordered all television broadcasters to initiate the broadcast of a digital television signal and to cease analog television broadcasts. The result of this conversion will be a new, high performance television system that is highly efficient in its use of the radio spectrum. This will free spectrum to enable the introduction of new wireless telecommunications services such as mobile wireless Internet access. Before spectrum can be reallocated to new uses, all television stations must make the transition to the new broadcast system. The FCC required all television broadcasters to have converted their transmitters to broadcast digital signals by June 12, 2009. A significant number of stations have not completed the transition, and many are rural stations that have no funding to proceed. For rural households this has meant in some cases losing the benefits of public television programming, additionally, many school systems are left without the educational programming they count on for curriculum compliance. This grant program assists rural public television stations in making the transition to digital television signal broadcasting.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to install digital television facilities through purchase or lease (up to three years of lease costs); and for associated engineering and environmental studies. All broadcast facilities acquired under this program must be capable of delivering both digital television (DTV, sometimes called standard definition) and high definition television (HDTV) at both the interim and final channel power authorizations. Grant funds may be used to fund facilities directly connected to the digital transition of the broadcast signal such as: digital transmitters; digital translators; transmitting antennas; new towers or improvements to existing towers necessary for antenna installation; tower ground infrastructure such as land, buildings, fencing, standby power, and where commercial power is unreliable, power generation and conditioning facilities; studio to transmitter/translator communication links; television program production and management equipment; datacasting equipment; and subject to RUS approval, other facilities directly necessary for the digital transition of the broadcast signal. Grants shall not be used: to fund operations or for facilities not owned by the applicant (except for leased facilities as described above); for salaries, wages, and other public television employee benefits; for construction performed or expenditures made prior to the application deadline; or for facilities funded under other grants.

Applicant Eligibility:

The applicant must be a public television station that serves a rural area regardless of whether they also serve an urban area. (Rural area means any area of the United States not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population in excess of 20,000 inhabitants.) The applicant must also be required to convert from analog to digital transmission under the rules of the FCC. For the purpose of this grant program, a public television station must be an organization which (1) qualifies for Federal funding under Section 396(k) of the Public Broadcasting Act of 1967, and (2) which is either: (a) under the rules and regulations of the FCC in effect on November 2, 1978, eligible to be licensed as a noncommercial educational broadcast station and which is owned and operated by a public

agency or nonprofit private foundation, corporation, or association; or (b) owned and operated by a municipality and which transmits only noncommercial programs for education purposes.

Beneficiary Eligibility:

Anyone who receives the improved digital television signal from a rural public television station or attends an educational institution that uses public television in its curriculum.

Credentials/Documentation:

The applicant must provide evidence demonstrating that it meets the definition of a public television station in section 81, above. The applicant must also provide evidence that the FCC has authorized the initiation of digital broadcasting at each of the Applicant's transmitter and translator sites. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An applicant must submit an application in accordance with the Program's application guide. In addition to Government-wide requirements, the applicant shall provide a description of the project including: an executive summary, a project cost spreadsheet, broadcast coverage maps, a complete narrative description, and an environmental assessment.

Award Procedure:

All complete applications from eligible applicants that meet the application deadline will be scored in a competitive process to determine which projects are funded. There are three scoring categories. The first is rurality, a measure of the rural character of the applicant's digital television coverage area. Up to 50 points can be earned in this category. The second uses the National School Lunch Program eligibility percentage as a measure of the economic need of the people in the applicant's coverage area. This category earns up to 25 points. Finally, up to 25 points can be awarded based on critical need.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A recipient generally has three years to spend funds. RUS may approve one extension. See the following for information on how assistance is awarded/released: RUS will advance funds as needed (but no more frequently than once every 30 days) to reimburse disbursements for approved grant purposes.

Reports:

Program reports are not applicable. Cash reports are not applicable. The performance and financial reports must be submitted to RUS on a quarterly basis throughout the project construction period. The recipient must provide periodic financial reports to RUS. The recipient must provide periodic performance reports and permit periodic inspection by RUS of the project. The recipient must constantly monitor performance to ensure that it is meeting time schedules, accomplishing projected work by time periods, and achieving other performance objectives. The performance and financial reports must be submitted to RUS on a quarterly basis throughout the project construction period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The recipient must provide RUS with an audit for each year in which a portion of the grant is expended.

Records:

The recipient must maintain all records needed to identify the purposes for which, and the manner in which, grant and other funds were expended on the project. The recipient must maintain such records for 3 years after the project is completed. The recipient must provide reasonable access to these records to RUS.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants) FY 09 \$5,086,460; FY 10 est \$4,500,000; FY 11 est \$4,500,000

Range and Average of Financial Assistance:

The maximum grant for an individual public television station is \$750,000. In fiscal year 2009, the average grant amount was \$508,646. The 10 grants ranged from \$265,800 to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 10 Applicants received grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1740 - Public Television Station Digital Transition Grant Program (Interim final rule with request for comments)

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9554.

Website Address:

<http://www.usda.gov/rus/telecom/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The grant will enable the purchase of a full power transmitter, translators, master control equipment, and standby power. It will also fund purchase and installation of a DTV video distribution system to mirror the programming storage functions of a similar system. In addition, the grant will fund a digital media server and automation system. Funding will cover a new tower, building, transmission equipment, and associated processing, monitoring, and test equipment. The grant will fund an emergency backup power generation system to provide a reliable broadcast during power outages. The grant will fund a microwave system. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Complete, eligible applications that meet the application deadline are scored to determine which projects are funded. There are three scoring categories: rurality, up to 50 points; economic need, up to 25 points; and critical need, up to 25 points.

10.862 HOUSEHOLD WATER WELL SYSTEM GRANT PROGRAM

(HWWS Program)

FEDERAL AGENCY:

Rural Utilities Service, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306E, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to finance the construction, refurbishing and servicing of individually-owned household water well systems in rural areas for individuals with low or moderate income.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to provide loans to eligible individuals to construct, refurbish, and service individually-owned household water well systems. Eligible individuals must own or will own the household water well systems. Funds may be used to pay administrative expenses associated with providing the loans. Grant funds may not be used to pay (1) administrative expenses exceeding 10 percent of the loans made during the calendar year; (2) administrative expenses incurred before the Rural Utilities Service executes a grant agreement; (3) delinquent debt owed to the Federal Government.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in lending activities and promoting the safe and productive use of individually-owned household water well systems and ground water.

Beneficiary Eligibility:

An individual in a household in which the combined income of all household members (for the most recent 12 months) does not exceed 100 percent of the median non-metropolitan household income for the State or territory in which the individual resides.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Application Form SF-424 is filed at the National Office in Washington, DC, from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 30 to 90 days.

Appeals:

From 60 to 90 days. If an application is rejected, the reasons for rejection are stated fully. The applicant may request a review of this decision at the RUS National level.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicants are encouraged to provide matching funds to receive scoring priorities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the RUS grant funds. See the following for information on how assistance is awarded/released: Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

Periodic reports are to be made to the RYS servicing official. Cash reports are not applicable. Periodic reports are to be made to the RYS servicing official. Periodic reports are to be made to the RYS servicing official. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of the grants and other agreements. Audits should be completed in accordance with the requirements of OMB Circular No. A-133 or RUS requirements, whichever apply.

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$993,000; FY 10 est \$993,000; FY 11 est \$993,000

Range and Average of Financial Assistance:

\$44,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1776, Household Water Well System Grant Program; The Household Water Well System Program: 2004 Grant Application Guide.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250
Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as; population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.863 COMMUNITY CONNECT GRANT PROGRAM

Community Connect Grant Program

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Appropriations Act, 2005; Title III: Rural Development Programs; Rural Utilities Service; Distance Learning, Telemedicine, and Broadband Program; Public Law 108-447.

OBJECTIVES:

To encourage community-oriented connectivity by providing grants to eligible applicants who will deploy broadband transmission service in rural communities where such service does not currently exist; who will connect all critical community facilities such as local schools, education centers, libraries, hospitals, health care providers, law enforcement agencies, public safety organizations, fire, and rescue services, as well as residents and businesses; and who will operate a community center which provides free and open access to area residents.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants will be made available, on a competitive basis, for the deployment of broadband transmission services to critical community facilities, rural residents, and rural businesses and for the construction, acquisition, expansion, and/or operation of a community center which would provide free access to broadband transmission services to community residents for at least two years. Funding is also available for end-user equipment, software, and installation costs. See 7 CFR Part 1739 for details.

Applicant Eligibility:

To be eligible for a grant, the applicant must: (a) be legally organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for profit basis, and (b) have the legal capacity and authority to own and operate the broadband facilities as proposed in its application, to enter into contracts and to otherwise comply with applicable federal statutes and regulations.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities through the availability of access to high speed broadband networks.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Details of the projects impact on the environment and historic preservation must be provided. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submit an application in accordance with 7 CFR 1739 and its accompanying application guide.

Award Procedure:

Grants are awarded on a competitive basis to applicants receiving the highest number of points.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 15%. The grant applicant must contribute a matching contribution which is at least 15 percent of the grant amount requested.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants generally have three years to spend funds. Extensions may be granted. See the following for information on how assistance is awarded/released: Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. SF 269, "Financial Status Report," is report required annually. Project performance activity reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An audit report is required for the years in which funds are received.

Records:

Grantee will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Project Grants) FY 09 \$13,385,526; FY 10 est \$24,161,951; FY 11 est \$17,976,000

Range and Average of Financial Assistance:

The Agency will publish, annually in the Federal Register, a Notice of Funds Availability that sets forth the maximum and minimum funding for each grant. The size of grants varies. .

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 22 grants were approved. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1739: Broadband Grant Program, Subpart A - Community Connect Grant Program

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program Rural Utilities Service, 1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9554.

Website Address:

<http://www.usda.gov/rus/telecom/commconnect.htm> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The grant funds are used to provide broadband to homes, businesses, and community centers via various transmission media including fiber to the premises (FTTP), DSL, wireless, microwave, and satellite mesh technology. Community centers are equipped with computers and Internet access free of charge for 2 years. Projects have created tribal websites and digital community bulletin boards to enhance communication within the tribal organization and with community members. In addition to providing residential access, the projects have enabled local governments to enhance and streamline core public services including Head Start, tribal courts, cultural and social services, community health outreach efforts, the remote monitoring of

water resources, and economic development efforts. Grant funds have addressed community challenges including the lack of a library, hospital, and police department by providing broadband Internet access to the community. Grants have been used to provide access to computer training and education in e-commerce; distance learning programs, and telemedicine applications. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.864 GRANT PROGRAM TO ESTABLISH A FUND FOR FINANCING WATER AND WASTEWATER PROJECTS

RFP Program

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 6002, Public Law 107-171, 7 U.S.C. 1926e.

OBJECTIVES:

To make grants to private, nonprofit organizations to establish a revolving loan fund to make small, short term loans for pre-development or small capital water or waste disposal projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds will be used to establish and maintain a revolving loan fund to finance pre-development costs associated with proposed water and wastewater projects or with existing water and wastewater systems, and short-term costs incurred for replacement equipment, small-scale extension of services, or other small capital projects that are not part of the regular operations and maintenance activities of existing water and wastewater systems.

Applicant Eligibility:

An applicant must be a private organization, organized as a non-profit corporation. The applicant must have the legal capacity and authority to perform the obligations of the grant. The applicant must have sufficient expertise and experience in making and servicing loans.

Beneficiary Eligibility:

Municipalities, counties, and other political subdivisions of a State, such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The loan recipient must be unable to finance the proposed project from their own resources or through commercial credit at reasonable rates and terms. The loan recipient must have or will obtain the legal authority necessary for owning, constructing, operating and maintaining the proposed service or facility, and for obtaining, giving security for, and repaying the proposed loan.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility, ability to administer and service a revolving loan fund, commitment and sources of financial resources the organization controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Water and Environmental Programs of the USDA Rural Utilities Service administers the program. Application Form SF-424 is filed at the National Office in

Washington, DC, from which assistance may be obtained.

Award Procedure:

The Water and Environmental Programs staff in Washington, DC, will review and process the application. Following completion of application processing requirements and grant approval, funds are made available to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

If an application is rejected, the reasons for rejection are stated fully. The applicant may request a review of this decision at the RUS National level.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 20%. Applicants must provide funds from other sources equal to at least 20 percent of the RFP grant.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A time limitation is not specified for the RUS grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. See the following for information on how assistance is awarded/released: Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis.

Reports:

Periodic reports are to be made to the RUS servicing official. Cash reports are not applicable. Periodic reports are to be made to the RUS servicing official. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of the grants and other agreements. Audits should be completed in accordance with the requirements of OMB Circular No. A-133 or RUS requirements, whichever apply.

Records:

Records and accounts are required to reflect the project operations.

Account Identification:

12-0400-0-1-452.

Obligations:

(Project Grants) FY 09 \$496,000; FY 10 est \$497,000; FY 11 est \$497,000

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1783, Grant Program to Establish a Fund for Financing Water and Wastewater Projects; The Revolving Fund Program 2005 Grant Application Guide.

Regional or Local Office:

See Regional Agency Offices. Consult your local telephone directory for Rural Development local number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, 1400 Independence Ave., SW, Stop 1548, Washington, District of Columbia 20250 Phone: (202) 690-2670.

Website Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.760 Water and Waste Disposal Systems for Rural Communities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

RUS assistance for grant projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: population, low income, unemployment; health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

10.865 BIOREFINERY ASSISTANCE

(Section 9003- Biorefinery Assistance Program)

FEDERAL AGENCY:

Rural Business-Cooperative Service, Department of Agriculture

AUTHORIZATION:

Title IX of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) is amended by the Food, Conservation, and Energy Act of 2008, Title IX, section 9001, subsection 9003.

OBJECTIVES:

To assist in the development of new and emerging technologies for the development of advanced biofuels, so as to: (1) increase the energy independence of the United States; (2) promote resource conservation, public health, and the environment; (3) diversify markets for agricultural and forestry products and agriculture waste material; and (4) create jobs and enhance the economic development of the rural economy.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

Loan guarantees are made to fund the development, construction, and retrofitting of commercial-scale biorefineries using eligible technology. The maximum loan guarantee is \$250 million. Mandatory funding is available through FY 2012.

Applicant Eligibility:

Eligible technologies under the program include: (A) technology that is being adopted in a viable commercial-scale operation of a biorefinery that produces an advanced biofuel; and (B) technology not described in subparagraph (A) that has been demonstrated to have technical and economic potential for commercial application in a biorefinery that produces an advanced biofuel.

Beneficiary Eligibility:

Eligible entities under the program include: individuals, entities, Indian tribes, or units of State or local government, including corporations, farm cooperatives, farmer cooperative organizations, associations of agricultural producers, National Laboratories, institutions of higher education, rural electric cooperatives, public power entities, or consortia of any of those entities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to environmental review pursuant to the National Environmental Policy Act (NEPA) of 1969. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State

for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit an original completed application with two copies to USDAs Rural Development National Office: Energy Branch, Attention: BioRefinery Assistance Program, 1400 Independence Avenue, SW, STOP 3225, Washington, DC, 20250-3225.

Award Procedure:

Based on a review of the application, the project must have eligible and have technical merit. Projects determined by the Agency to be without technical merit will not be selected for funding. The Agency will score each application in order to prioritize each proposed project. The evaluation criteria that the Agency will use to score these projects are: Whether the borrower has established a market for the advanced biofuel and the byproducts produced. Whether the area in which the borrower proposes to place the biorefinery has other similar advanced biofuel facilities. Whether the borrower is proposing to use a feedstock not previously used in the production of advanced biofuels. Whether the borrower is proposing to work with producer associations or cooperatives. The level of financial participation by the borrower, including support from non-Federal and private sources. Whether the borrower has established that the adoption of the process proposed in the application will have a positive impact on resource conservation, public health, and the environment. Whether the borrower can establish that, if adopted, the biofuels production technology proposed in the application will not have any significant negative impacts on existing manufacturing plants or other facilities that use similar feedstocks. The potential for rural economic development, including the number of local jobs created and inclusion of local banks or other capital sources in any proposed debt syndication. The level of local ownership proposed in the application. Whether the project can be replicated. The extent to which the project converts cellulosic biomass feedstocks into advanced biofuel. Whether the project is a first-of-a-kind technology, system, or process.

Deadlines:

Nov 18, 2009: To be considered for funding in the first half of Fiscal Year 2009, applications must be completed and submitted to the USDA Rural Development National Office by December 31, 2008. To be considered for funding in the second half of Fiscal Year 2009, complete applications must be submitted to the USDA Rural Development National Office between March 1, 2009, and April 30, 2009.

Range of Approval/Disapproval Time:

Approval is subject to the completion of all requirements identified in the Notice of Funding Availability.

Appeals:

An applicant may have review or appeal rights in accordance with 7 CFR Part 11.

Renewals:

Not Applicable.

Formulas and Matching Requirements:

This program has no statutory formula. Matching Requirements: Applicants must identify all sources, amounts, and status of matching funds. Borrowers shall demonstrate evidence of cash equity injection in the project of not less than 20 percent of eligible project costs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Notice of Funding Availability is applicable for one fiscal year, 2009. Anticipate the publication of regulations in fiscal year 2010. Method of awarding/releasing assistance: lump sum.

Reports:

Once the project has been constructed, the lender must provide the Agency periodic reports from the borrower commencing the first full calendar year following the year in which project construction was completed and continuing for the life of the guaranteed loan. The borrowers reports will include, but not be limited to, the information specified in the following paragraphs, as applicable. (i) The actual amount of advanced biofuels produced to assess

whether project goals are being met. (ii) If applicable, documentation that identified health and/or sanitation problem has been solved. (iii) A summary of the cost of operating and maintaining the facility. (iv) Description of any maintenance or operational problems associated with the facility. (v) Demonstration that the project is and has been in compliance with all applicable State and Federal environmental laws and regulations. (vi) The number of jobs created. (vii) A description on the status of the projects feedstock including, but not limited to, the feedstock being used, outstanding feedstock contracts, feedstock changes and interruptions, and quality of the feedstock. The lender must submit to the Agency quarterly financial statements within 45 days of the end of each quarter. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The lender must forward a copy of the borrowers annual audited financial statements within 120 days of the end of the borrowers fiscal year.

Records:

The borrower must permit representatives of the Agency (or other agencies of the U.S.) to inspect and make copies of any records pertaining to any Agency guaranteed loan during regular office hours of the borrower or at any other time upon agreement between the borrower and the Agency, as appropriate.

Account Identification:

12-3106-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 08 \$0; FY 09 est \$224,955; FY 10 est \$189,848

Range and Average of Financial Assistance:

Maximum loan guarantee cannot exceed \$250 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

headquarters Department of Agriculture, Rural Development, Business Programs, Energy Branch, 1400 Independence Avenue, SW., MS3225, Washington, District of Columbia 20250 Phone: (202) 720-1400

Website Address:

[http:// www.rurdev.usda.gov](http://www.rurdev.usda.gov)

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must meet all requirements identified in the Notice of Funding Availability, published November 2008.

10.886 RURAL BROADBAND ACCESS LOANS AND LOAN GUARANTEES

Rural Broadband Access Loans and Loan Guarantees

FEDERAL AGENCY:

Rural Development, Department of Agriculture

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title VI; 7 U.S.C. 950bb.

OBJECTIVES:

To assure that people in eligible rural communities have access to broadband service comparable in reliability and quality to the rest of the Nation.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The following, limited information is based on the Farm Bill. New regulations for this program are expected in FY 2011.

Funds can be used for the costs of the construction, improvement, or acquisition of facilities and equipment for the provision of broadband service in rural areas.

Additional restrictions may apply to projects depending on the availability of existing broadband service. A direct loan shall bear interest at an annual rate either (1) equivalent to the cost of borrowing to the Department of the Treasury for obligations of comparable maturity or (2) 4 percent. A guaranteed loan shall bear interest at an annual rate equivalent to the current applicable market rate for a loan of comparable maturity.

A direct loan or guaranteed loan will have a term of such length, not exceeding 35 years, as the borrower may request, if the Agency determines that the loan is adequately secured. In determining the term of a loan or loan guarantee, the Agency shall consider whether the recipient is or would be serving an area that is not receiving broadband services. The Agency shall consider the existing recurring revenues of the entity at the time of application in determining an adequate level of credit support. The type and amount of, and method of security used to secure, any loan or loan guarantee shall be commensurate to the risk involved with the loan or loan guarantee, particularly in any case in which the loan or loan guarantee is issued to a financially strong and stable entity. In determining the amount of, and method of security used to secure, a loan or loan guarantee, the Agency shall consider reducing the security in a rural area that does not have broadband service.

Applicant Eligibility:

An applicant must (1) demonstrate the ability to furnish, improve, or extend a broadband service to a rural area; (2) submit a satisfactory loan application; and (3) agree to complete buildout of the broadband service described in the loan application by not later than 3 years after the initial date on which proceeds from the loan made or guaranteed are made available.

An eligible entity that provides telecommunications or broadband service to at least 20 percent of the households in the United States may not receive a total amount of loans or guarantees for a fiscal year in excess of 15 percent of the funds authorized and appropriated for that fiscal year.

To be eligible for a broadband loan, an applicant may be either a nonprofit or for-profit organization, and must take one of the following forms: (1) corporation; (2) limited liability company (LLC); (3) cooperative or mutual organization; (4) Indian tribe or tribal organization as defined in 25 U.S.C. 450b; or (5) state or local government, including any agency, subdivision, or instrumentality thereof.

Beneficiary Eligibility:

Residents of eligible rural communities and others who may receive service as a result of service provided to a rural area.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must supply environmental impact information to determine whether an environmental impact assessment or an environmental impact statement will be required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. New regulations will implement the changes authorized by the 2008 Farm Bill. For information on submitting an application, please contact RUS and visit our website: www.usda.gov/rus/telecom/broadband.htm.

Award Procedure:

Depending on the amount of the application, and loan committee(s) recommendation, the RUS Administrator or Assistant Administrator Telecommunications, makes the final decision on approval of the loan or loan

guarantee. There must be a finding that the project is economically feasible, capable of delivering Broadband Service, and serves the purposes of the program. Borrowers and member of Congress are notified directly of the approval of a loan or loan guarantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. Normal processing time is four to five months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: New regulations will implement the changes authorized by the 2008 Farm Bill.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

New regulations will implement the changes authorized by the 2008 Farm Bill. See the following for information on how assistance is awarded/released: New regulations will implement the changes authorized by the 2008 Farm Bill..

Reports:

New regulations will implement the changes authorized by the 2008 Farm Bill. New regulations will implement the changes authorized by the 2008 Farm Bill. New regulations will implement the changes authorized by the 2008 Farm Bill. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All borrowers must have their books audited annually by a Certified Public Accountant. Information on audits is contained in 7 CFR Part 1773.

Records:

Not applicable.

Account Identification:

12-1232-0-1-452.

Obligations:

(Direct Loans) FY 09 \$6,042,000; FY 10 est \$95,000,000; FY 11 est \$400,000,000 - Cost of Money Loans. (Direct Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Four (4) Percent Loans. (Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

New regulations will implement the changes authorized by the 2008 Farm Bill. The smallest loan approved to date is \$362,000; the largest, \$267,298,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: RUS approved 4 Cost of Money loans. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1738 - Rural Broadband Access Loans and Loan Guarantees Notice of funds availability, Federal Register, March 4, 2005 (Volume 70, Number 42) RUS Bulletin 1738-1: Rural Broadband Access Loan and Loan Guarantee Program Application Guide.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service,

1400 Independence Avenue, S.W., STOP 1590, Washington, District of Columbia 20250 Phone: (202) 720-9554.

Website Address:

<http://www.usda.gov/rus/telecom/broadband.htm>.

RELATED PROGRAMS:

10.851 Rural Telephone Loans and Loan Guarantees

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Loans have been approved to construct wireless, DSL, cable modem, broadband over power line, and fiber to the premises (FTTP) broadband systems. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

New regulations for this program are expected to be published in the Federal Register in Fiscal year 2011.

10.901 RESOURCE CONSERVATION AND DEVELOPMENT

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Agricultural and Food Act of 1981, Public Law 97-98, 95 Stat. 1213.

OBJECTIVES:

To encourage and improve the capability of State and local units of government and local nonprofit organizations in rural areas to plan, develop and carry out programs for resource conservation and development.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance is available only to RC&D sponsors within areas authorized by the Secretary of Agriculture for assistance. Technical assistance is available for the planning and installation of approved projects specified in RC&D area plans, for land conservation, water management, community development and environmental enhancement elements.

Applicant Eligibility:

State and local governments and nonprofit organizations with authority to plan or carry out activities relating to resource use and development in multijurisdictional areas. This program is also available in Puerto Rico, the Virgin Islands, Guam and Mariana Islands.

Beneficiary Eligibility:

State and local governments and nonprofit organizations within areas designated as RC&D areas by the Secretary of Agriculture with authority to plan or carry out activities relating to resource use and development in multijurisdictional areas.

Credentials/Documentation:

Applicant agency(ies) and nonprofit organizations must document that application is made as a result of official action of the governing body. Applications are honored only from agencies for which applicant eligibility has been established. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Written application must be in the form suggested in the National Resource Conservation and Development Manual.

Award Procedure:

Details of the procedure are available from State and field offices of the Natural

Resources Conservation Service.

Deadlines:

Oct 01, 2009 October 1.

Range of Approval/Disapproval Time:

Indeterminate. Authorization of applications is dependent upon the level of appropriations for the program each year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: When financial assistance is available, local or State agencies must provide land rights needed for the installation of all projects. RC&D grant assistance (up to 25 percent not to exceed \$50,000 of the total project cost) may be provided for a project. Local or State agencies generally must provide ten percent of the total cost and be responsible for any needed operation and maintenance. Loan assistance may be provided for the local share of project cost.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Periodic inspections and reports are required to insure that structural projects for which Federal financial assistance has been provided are being properly operated and maintained in accordance with agreements. No cash reports are required. Periodic inspections and reports are required to insure that structural projects for which Federal financial assistance has been provided are being properly operated and maintained in accordance with agreements. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

None.

Account Identification:

12-1010-0-1-302.

Obligations:

(Salaries) FY 09 \$50,576,137; FY 10 est \$50,738,900; FY 11 est \$53,613,200

Range and Average of Financial Assistance:

Not Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Assistance available from the Natural Resources Conservation Service," AIB-345; "Resource Conservation and Development Manual, 390 - V, Third Ed.

Regional or Local Office:

See Regional Agency Offices. State and field offices of the Natural Resources Conservation Service are listed in Appendix IV of the Catalog. For loans, contacts should be made with the Rural Development office serving the county in which the RC&D project is located.

Headquarters Office:

Ed Burton, Resource Conservation Development Division, Natural Resources

Conservation Service, Department of Agriculture, P.O. Box 2890 ,
Washington, District of Columbia 20013 Phone: (202) 720-2847.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current
Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects are judged on relevance of the project to the individual
RC&D's area plan goals and objectives. Criteria for setting priorities are usually
published in the RC&D area plan or are available from the local sponsors of the
RC&D area. Proposals for projects should be submitted to the local USDA
designated RC&D area. If an area does not exist, contact the field or state
office of the Natural Resources Conservation Service.

10.902 SOIL AND WATER CONSERVATION

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil and Moisture Conservation Act of 1935, Public Law 74-76, Stat. , 16
U.S.C 590 a-f.

OBJECTIVES:

Provide conservation technical assistance to private landowners, conservation
districts, tribes, and other organizations through a national network of
locally-respected, technically-skilled, professional conservationists and assist
them in conserving, improving and sustaining our natural resources and
environment.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance to the general public in planning and applying natural
resource conservation practices, systems, and treatment; and furnishing
technical natural resource conservation information to State and local
governments. Technical assistance to decision-makers on lands in agriculture,
forestry and related uses for planning and applying natural resource
conservation practices, systems, and treatment; and furnishing technical natural
resource conservation information to State and local governments.

Applicant Eligibility:

The CTA Program is delivered to private individuals, groups of decision
makers, tribes, units of governments, and non-governmental organizations in all
50 States, the District of Columbia, Puerto Rico, U.S. Virgin Islands, Guam,
American Samoa, the Commonwealth of the Northern Mariana Islands, the
Federated States of Micronesia, the Republic of Palau, and the Marshall Islands.

Beneficiary Eligibility:

General public, State governments, and local governments.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from
coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is
not required for this program. This program is excluded from coverage under
E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102.
OMB Circular No. A-110 applies to this program. Contact the local Natural
Resources Conservation Service office in nearly all counties. Preapplication
coordination of this program is not required.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 09 \$724,635,350; FY 10 est \$776,089,938; FY 11 est
\$806,522,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Conquest of the Land," AIB99; "Soil Erosion by Water," AIB513; "Assistance
Available from the Natural Resources Conservation Service," AIB345;
"Controlling Erosion on Construction Sites," AIB347; "Community
Improvement Through Resource Conservation and Development," PA1077;
"Save Soil Systematically," PA1366. "List of Published Soil Surveys;" "Going
Wild with Natural resource Conservation," PA1363; "Conversation
Planning-You and Your Land," PA 1376, "Farming and Maintaining Terraces,"
LN570.

Regional or Local Office:

See Regional Agency Offices. State and local Natural Resources Conservation
Service offices (see Appendix IV of the Catalog).

Headquarters Office:

Ed Burton, 1400 Independence Ave, SW, South Building, Room 6238 ,
Washington, District of Columbia 20013 Phone: (202) 720-4527.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.500 Cooperative Extension
Service; 10.901 Resource Conservation and Development; 10.903 Soil Survey;
10.904 Watershed Protection and Flood Prevention; 10.905 Plant Materials for
Conservation; 10.907 Snow Survey and Water Supply Forecasting

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.903 SOIL SURVEY

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

The Soil Information Assistance for Community Planning and Resource Development Act of 1966 , Public Law 89-560; Soil Conservation and Domestic Allotment Act of 1935 , Public Law 74-46, 16 U.S.C 590.

OBJECTIVES:

To produce and maintain up to date soil survey information (maps, data sets, soil interpretations, and reports) of the United States for widespread use by interested agencies, organizations, and individuals and to assist in the use of this information.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Scientists and policy makers use soil survey information in studying global climate change and evaluating the sustainability and environmental impacts of land use and management practices. Soil Surveys provide input data that computer simulation models use to predict the dynamics of carbon, nutrients, and water in soils. Soil surveys are used by planners, engineers, zoning commissions, tax commissions, homeowners, farmers, ranchers, developers, landowners, and operators to evaluate soil suitability for home sites, subdivisions, commercial and industrial sites, farms, wildlife and recreational areas, prime agricultural land, hydric soils, highly erodible lands, highways, and airports and to select and implement appropriate use and management of those soils.

Applicant Eligibility:

All individuals and groups that have a need for soil survey information can access it on the Web Soil Survey at websoilsurvey.nrcs.usda.gov or by contacting the local Natural Resources Conservation Service Office.

Beneficiary Eligibility:

All individuals and groups that have a need for soil survey information are eligible to receive assistance.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may access the Web Soil Survey or request information from the local office of the Natural Resources Conservation Service, local Conservation Districts, Extension Service, the State Office of the Natural Resources Conservation Service, State Agricultural Experiment Station. Local units of government submit requests to State Conservationist, Natural Resources Conservation Service, see Appendix IV in the Catalog for addresses. Conservation District cooperators submit requests to their Conservation District board of supervisors.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Technical Assistance.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 09 \$90,234,397; FY 10 est \$97,387,200; FY 11 est \$96,060,500

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"List of Published Soil Surveys;" "Know the Soil You Build On," AIB 320; "Know Your Soil," AIB 267; "Soil Surveys Can Help You," PA-1054. These publications are available from the Office of Public Affairs, NRCS-USDA, P.O. Box 2890, Washington, DC 20013, at no charge.

Regional or Local Office:

See Regional Agency Offices. State and local offices of the Natural Resources Conservation Service; see Appendix IV of the Catalog for addresses.

Headquarters Office:

Paul W. Benedict, USDA, Natural Resources Conservation Service, Soils Survey Division,
14th and Independence Ave., SW , Washington, District of Columbia 20250
Email: Paul.Benedict@wdc.usda.gov Phone: (202) 720-1824 Fax: (202) 720-5493.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.904 WATERSHED PROTECTION AND FLOOD PREVENTION

(Watershed Program; Public Law 566 Operations Phase)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Watershed Protection and Flood Prevention Act, Public Law 83-566, 68 Stat. 666, as amended; Public Law 84-1018, 70 Stat. 1088; Public Law 85-624, 72 Stat. 563; Public Law 85-865, 72 Stat. 1605; Public Law 86-468, 74 Stat. 131, 132; Public Law 86-545, 74 Stat. 254; Public Law 87-170, 75 Stat. 408; Public Law 87-703, 76 Stat. 608; Public Law 89-337, 79 Stat. 1300; Public Law 90-361, 82 Stat. 250; Public Law 92-419, 86 Stat. 667; Public Law 95-113, 91 Stat. 913; Public Law 97-98, 95 Stat. 1213; Public Law 99-662, 100 Stat. 4196; Public Law 101-624, 104 Stat. 3359.

OBJECTIVES:

To provide technical and financial assistance in carrying out works of improvement to protect, develop, and utilize the land and water resources in watersheds.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance is provided in designing, and installing watershed works of improvement. Financial assistance is provided for sharing costs of measures for watershed protection, flood prevention, agricultural water management, sedimentation control, public water based fish, wildlife, recreation; municipal and industrial water supply; and in extending long term credit to help local interests with their share of the costs. Watershed area must not exceed 250,000 acres. Capacity of a single structure is limited to 25,000 acre-feet of total capacity and 12,500 acre-feet of floodwater detention capacity.

Applicant Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, the Virgin Islands, Guam, American Samoa, the Mariana Islands and the Trust Territories of the Pacific Islands.

Beneficiary Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may apply for assistance. This program is available in Puerto Rico, Guam, American Samoa, the Mariana Islands, and the Trust Territories of the Pacific Islands.

Credentials/Documentation:

Applications must designate the proposed project area, be properly signed and attested to by all applicants, and set forth the need for the proposed project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application forms and information are available in all NRCS offices and from designated State agencies.

Award Procedure:

Details of the procedure are available from the State and field offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Formula and Matching Requirements (101): Technical and financial assistance under which program funds provide certain prescribed services and costs and a percentage of other costs on the basis of a contract vary according to purpose of the works of improvement. For example, for construction costs under the Act, program funds provide 100 percent for flood prevention; up to 50 percent of agricultural water management, public

recreation and fish and wildlife purposes; and none of the costs for certain other nonagricultural water management purposes. All of the applicants' installation costs are eligible for program loans. Reimbursable advances are available for preservation of sites and future municipal water supply. Sponsors are required to obtain all land and water rights and permits prior to installation of project measures, and for operation and maintenance. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual operation and maintenance inspection and report. Cash reports are not applicable. Annual operation and maintenance inspection and report. Expenditure reports are not applicable. Annual operation and maintenance inspection and report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 09 \$5,109,366; FY 10 est \$25,008,607; FY 11 est \$0. (Salaries) FY 09 \$8,372,662; FY 10 est \$4,912,500; FY 11 est \$20,536,500. (Cooperative Agreements (Discretionary Grants)) FY 09 \$34,949,777; FY 10 est \$79,932,250; FY 11 est \$0. (Project Grants (Cooperative Agreements)) FY 09 \$14,078,238; FY 10 est \$10,957,800; FY 11 est \$40,572,400

Range and Average of Financial Assistance:

(per State) \$0 to \$2,164,000; \$650,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"What is a Watershed," PA-420; "Flood Plain Management - Why We Need It," PA-1276; "Multiple- Purpose Watershed Projects," PA-575; and "Small Watershed Projects," PA- 1354.

Regional or Local Office:

See Regional Agency Offices. For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Marvin Brown, P.O. Box 2890, Washington, District of Columbia 20013-2890
Email: MarvinBrown@wdc.usda.gov Phone: (202) 720-3413.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.664 Cooperative Forestry Assistance; 10.902 Soil and Water Conservation; 10.903 Soil Survey; 10.905 Plant Materials for Conservation; 10.907 Snow Survey and Water Supply Forecasting; 12.104 Flood Plain Management Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) The watershed must meet the requirements of the law; (2) an approved

watershed plan has been prepared; (3) the local sponsors should indicate willingness to carry out a watershed project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio; and (5) no critical environmental issues exist.

10.905 PLANT MATERIALS FOR CONSERVATION

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act of 1936, Public Law 74-46.

OBJECTIVES:

To assemble, evaluate, select, release, and introduce into commerce, and promote the use of new and improved plant materials for soil, water, and related resource conservation and environmental improvement programs. To develop technology for land management and restoration with plant materials. To transfer technology on plant materials.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Plant materials are used in all phases of the soil and water conservation program. This program emphasizes field testing to determine the plant's value and restoration techniques. It is limited to the properties of conservation cooperators properties in conjunction with soil conservation districts, State Agricultural Experiment Stations, State Crop Improvement Associations and other Federal and State agencies. The program also provides commercial seed growers with breeder and foundation quality seed or propagules through state Crop Improvement Associations or Foundations Seed Services. Other plants or seed are not provided to the general public. Large-scale production is conducted by cooperating commercial producers. Free plants, seed and/or grants are not available to nurseries or the public.

Applicant Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts where structured evaluations are conducted and commercial seed growers and nurserymen interested in the commercial production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands. The general public is not eligible to participate in this program.

Beneficiary Eligibility:

Cooperating State and Federal agencies and cooperators of conservation districts and commercial seed growers and nurserymen interested in the production of selected plant materials. Applicants are also eligible in Puerto Rico and the Virgin Islands.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the local Natural Resources Conservation Service office.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Other - Not Specified.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 09 \$12,471,319; FY 10 est \$11,663,600; FY 11 est \$11,197,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"National Plant Materials Manual" provides overall guidance on the operation, policy and procedures of the Plant Materials Program. Over 2,500 technical and informational documents on a wide variety of plant related topics may be found on the Programs Web site at: <http://plant-materials.nrcs.usda.gov>.

Regional or Local Office:

See Regional Agency Offices. NRCS State offices and field offices. See Appendix IV.

Headquarters Office:

John Englert, Ecological Sciences Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Email: JohnEnglert@wdc.usda.gov Phone: (202) 720-0536.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.907 SNOW SURVEY AND WATER SUPPLY FORECASTING

(Snow Surveys)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Soil Conservation and Domestic Allotment Act of 1936, Public Law 74-76.

OBJECTIVES:

To provide reliable, accurate and timely forecasts of surface water supply to water managers in the water users and water managers in the west. To efficiently obtain, manage, and disseminate high quality data and information on snow, water, climate, and hydrologic conditions. To provide climate data to support NRCS conservation planning tools.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The information is used by the owners and operators of the 30,000,000 irrigated acres in the mountain States, and the far West; as well as help farm operators, rural communities, and municipalities which use the water supply forecasts to manage water resources. The data are also used in administering international and interstate river flow distribution contracts and agreements, and in the regulation of hundreds of small and large reservoirs for irrigation, flood control, power generation, recreation, industry, and municipal supplies. The data also provide soil moisture/temperature and climate data which supports drought assessments, flood assessment, fire hazard assessment, wildlife management and climate change research. Cooperators include other Federal agencies, State agencies, municipalities, irrigation districts, water associations and private utilities, and water management officials of Canada and Mexico.

Applicant Eligibility:

General public, including those located in the U.S. Territories.

Beneficiary Eligibility:

General public, including those located in the U.S. Territories.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Individuals can make requests for reports from NRCS Field and State offices that issued them, and from the NRCS National Water and Climate Center at Portland, OR.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

12-1000-0-1-302.

Obligations:

(Salaries) FY 09 \$12,603,785; FY 10 est \$11,260,400; FY 11 est \$11,173,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Snow Surveys, AIB 536.

Regional or Local Office:

See Regional Agency Offices. State and local offices of the Natural Resources Conservation Service in Alaska, Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington, Wyoming, and the National Water & Climate Center, Portland, OR. See Appendix IV of the Catalog for addresses. California's Department of Water Resources, which coordinates and issues snow surveys for that State, and the British Columbia Department of Lands, Forests and Water Resources, supply data for the monthly west-wide summaries.

Headquarters Office:

Douglas Lawrance, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Phone: (202) 720-4630.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.912 ENVIRONMENTAL QUALITY INCENTIVES PROGRAM (EQIP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Public Law 110-246; The Food Security Act of 1985, Executive Order, Public Law -, 16 U.S.C 3801, as amended by The Federal Agriculture Improvement and Reform Act of 1996, Public Law 104-127, as amended by The Farm Security and Rural Investment Act of 2002, Public Law 107-101.

OBJECTIVES:

Promotes agricultural production, forest management, and environmental quality as compatible national goals and optimizes environmental benefits on eligible land with farmers, ranchers, and non-industrial private forest land owners. It is a voluntary program using partnerships with local, State, Tribal and Federal agencies, producer associations, and nonprofit organizations to provide flexible technical and financial assistance to install and maintain conservation practices include those related to organic production, that sustain food and fiber production. The purpose of this program is achieved through the implementation of structural, vegetative, and land management practices on eligible land. This program is funded through the Commodity Credit Corporation (CCC). NRCS provides overall program administration and management.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical assistance is provided for conservation planning, design and implementation of conservation practices for eligible participants. Financial assistance is provided for implementation of structural, and land management practices. Cost-share payments may be made to implement one or more eligible structural or vegetative practices. Incentive payments can be made to implement one or more land management practices. Sixty percent of the funding available for technical assistance, cost-share payments, incentive payments, shall be targeted at practices relating to livestock production.

Applicant Eligibility:

Agricultural producers who face serious threats to soil, water, and related

natural resources, or who need assistance with complying with Federal and State environment laws. A participant may be an owner, landlord, operator, or tenant of eligible agricultural lands or non-industrial forestlands. Limited resource producers, small-scale producers, social disadvantaged individuals, Federally recognized Indian tribal governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Beneficiary Eligibility:

To be eligible the agricultural producers must be in compliance with highly erodible land and wetland conservation provisions and in compliance with the Adjusted Gross Income (AGI) payment limitations.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable conservation plan for the farm or ranch unit of concern that incorporates needed natural resource conservation practices and provide either a social security number or individual tax identification number of all proposed beneficiaries. Applicants claiming either limited resource producer or beginning farmer classification may be asked to provide documents to justify their claim. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Program participation is voluntary. The applicant applies at the local USDA Service Center on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant develops an EQIP plan of operations that identifies what conservation practices they are proposing to implement. Technical assistance, and conservation payments may be provided to apply needed conservation practices and land use adjustments within a time schedule specified by the conservation EQIP plan of operations. A contract with a participant may apply one or more land management practices or one or more structural practices.

Award Procedure:

NRCS will give special consideration to applicants that address priority natural resource concerns designated. Applications will be periodically ranked and selected for funding based on locally developed ranking process. These criteria can be found at http://www.nrcs.usda.gov/programs/eqip/EQIP_signup/2007%20EQIP%20Signup/2007_EQIP.html.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 10 to 60 days.

Appeals:

A participant may appeal any adverse determination to the State Conservationist.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 75.%. Conservation practices may be eligible for conservation payments up to 75 percent of the total cost of establishing the practice including costs of foregone income. Limited resource producers, social disadvantaged producers and beginning farmers and ranchers may be eligible for payments up to 90 percent of the approved practice cost. This program has MOE requirements, see funding agency for further details. None.

Length and Time Phasing of Assistance:

A 2008 Farm Bill EQIP contract expires 1 year after the last planned practice is implemented but cannot be longer than 10 years. Obligations for assistance are tied to the schedule for applying conservation practices included in the EQIP

plan of operations conservation plan used as the basis for the contract. Payments are made when the participant and NRCS certify that conservation practice is completed in accordance with NRCS standards and specifications. Technical assistance may be provided by a certified Technical Service Provider (TSP). The participant may not engage a TSP prior to contract approval. This assistance will be reimbursed based upon not-to-exceed rates. These payments will be made after the services of the certified TSP have been provided in accordance with NRCS standards and specifications. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Natural Resources Conservation Service makes periodic random reviews of the operation and maintenance of the contract items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in local NRCS office and Federal record centers for specified number of years.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 09 \$296,659,663; FY 10 est \$317,670,000; FY 11 est \$325,208,000. (Direct Payments for Specified Use) FY 09 \$757,388,939; FY 10 est \$862,330,000; FY 11 est \$882,792,000

Range and Average of Financial Assistance:

Conservation payments are limited to a maximum payment limitation of \$300,000 for the life of the 2008 Farm Bill. Average contract payments are estimated to be \$19,600.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 1466. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. For a list of NRCS State offices with telephone numbers and addresses, see appropriate Appendix IV of the Catalog. Information is available on the internet at <http://www.nrcs.usda.gov/programs/eqip>.

Headquarters Office:

Tim Beard, Financial Assistance Programs Division, Natural Resources Conservation Service, Department of Agriculture, PO Box 2890, Washington, District of Columbia 20113 Email: TimBeard@wdc.usda.gov Phone: (202) 690-2621.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.901 Resource Conservation and Development; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be periodically ranked and selected for funding based on: the environmental benefits per dollar expended; the cost-effectiveness of the conservation practices; the environmental benefits derived; extent to which the contract will assist the applicant in complying with Federal, State, tribal or local environmental laws; whether the land is located in a priority area and the extent the contract will assist the priority area goals and objectives.

10.913 FARM AND RANCH LANDS PROTECTION PROGRAM

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Public Law 99-198; The Food, Conservation, and Energy Act of 2008, Public Law 110-246, 122 Stat. 1651; Farm Security and Rural Investment Act of 2002., Public Law 107-171, 116 Stat. 134.

OBJECTIVES:

To provide funding to eligible entities to purchase conservation easements to limit conversion to non-agricultural uses of farm and ranch lands that contain prime, unique, or important soils; that contain historical and archaeological resources; or that support the policy of a state or local farmland protection program.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are provided to purchase conservation easements in partnership with States, Tribes, or local government entities, or eligible nongovernmental organizations (eligible entities). The Federal share for any easement acquisition is limited to a maximum of 50 of the appraised fair market value of the conservation easement. A conservation plan is required for highly erodible lands enrolled under an FRPP easement. Conservation easements are perpetual easements. A right of enforcement is incorporated in the conservation easement deed for the protection of the Federal investment. A failure of title would require the eligible entity to reimburse the United States for the Federal share of the easement value.

Applicant Eligibility:

An eligible entities is any local or State agency, county or groups of counties, municipality, town or township, soil and water conservation district, or Indian tribe or tribal organization, that has a farmland protection program that purchases conservation easements for the purpose of protecting agricultural use and related conservation values by limiting conversion to non- agricultural uses of land, and that has pending offers may apply for funds. This program is available in all 50 States, Puerto Rico, the Virgin Islands, Guam, American Samoas, the Mariana Islands, and the Trust Territories of the Pacific Islands. Individual landowners must apply through the local agency or organization that handles farmland protection program. This program is excluded from coverage under E.O. 12372.

Beneficiary Eligibility:

Landowners must be in compliance with the Wetland Compliance (WC) and Highly Erodible Land (HEL) provisions of the Farm Bill and met the Adjusted Gross Income (AGI) limitations in the Farm Bill.

Credentials/Documentation:

Entities must prove their eligibility by providing documents indicating their commitment to long-term conservation of agricultural lands through legal devices, such as right-to-farm laws, agricultural districts, zoning, or land use planning; uses of voluntary approaches to protect farmland from conversion to non-agricultural uses; and their capability to acquire, manage, and enforce conservation easements. Programs must have a systematic plan for acquiring conservation easements, have a pr oven commitment to agricultural land protection, and sufficient funds and staff to monitor and enforce conservation easements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Entities must submit an application form and supporting data for each parcel to the appropriate State Office of the Natural Resources Conservation Service (NRCS). Environmental impact information is not required for this program.

This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are accepted on a continuous basis by the State Offices of the Natural Resources Conservation Service (NRCS). The application form and supporting information required for the application are available from the State Offices of the (NRCS) and USDA NRCS home page.

Award Procedure:

NRCS State Offices will rank parcels individually and award funding to the highest-ranked parcels. NRCS State Offices will obligate funds to the eligible entities associated with the highest-ranked parcels.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Determined by each State Office of the Natural Resources Conservation Service (NRCS).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. Each eligible entity is required to contribute cash towards the conservation easement acquisition. The eligible entity must contribute a minimum of 25 percent of the purchase price of the easement (appraised fair market value minus the landowner donation). Each eligible entity is required to fund its own administrative costs in acquiring easements, such as appraisals, surveys, title searches, and costs incurred in managing and enforcing the easements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Easements must be closed within 18 months of the end of the fiscal year in which the cooperative agreement is signed. Federal funds must be disbursed within 2 to 5 years after signing the cooperative agreement. Technical assistance to maintain the conservation plan, however, is provided by NRCS through the life of the easement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual monitoring reports on the status of each easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of easements acquired will be maintained for the life of the easements by the cooperating entity and NRCS.

Account Identification:

12-1804-0-1-302; 12-4336-0-1-302.

Obligations:

(Salaries) FY 09 \$5,851,009; FY 10 est \$5,873,000; FY 11 est \$6,265,000. (Direct Payments for Specified Use) FY 09 \$112,915,161; FY 10 est \$144,127,000; FY 11 est \$153,735,000

Range and Average of Financial Assistance:

\$2,700 to \$1,000,000 per landowner. Average: \$97,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Final Rule, Federal Register, Volume 74, Number 11, January 16, 2009
Interim Final Rule, Correction, Federal Register, Volume 76, Number 126,
July 2, 2009.

Regional or Local Office:

See Regional Agency Offices. For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Robert Glennon, Easement Programs Division, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Email: Robert.Glennon@wdc.usda.gov Phone: (202) 720-9476 Fax: (202) 720-9689.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting proposals are: the percent of prime, unique, and important farmland in the parcel to be protected; percent of cropland, pastureland, grassland, and rangeland in the parcel to be protected; ratio of the total acres of land in the parcel to be protected to average farm size in the county according to the most recent USDA Census of Agriculture; decrease in the percentage of acreage of farm and ranch land in the county in which the parcel is located between the last two USDA Censuses of Agriculture; percent population growth in the county as documented by the United States Census; population density (population per square mile) as documented by the most recent United States Census; proximity of the parcel to other protected land, such as military installations land owned in fee title by the United States or a State or local government, or by an entity whose purpose is to protect agricultural use and related conservation values, or land that is already subject to an easement or deed restriction that limits the conversion of the land to nonagricultural use; and the proximity of the parcel to other agricultural operations and infrastructure.

10.914 WILDLIFE HABITAT INCENTIVE PROGRAM

(WHIP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food, Conservation, and Energy Act of 2008, Section 2602, Public Law 110-246; Farm Security and Rural Investment Act of 2002, Section 2502, Public Law 107-171; Federal Agriculture Improvement and Reform Act of 1996, Section 387, Public Law 104-127, 110 Stat. 888, 16 U.S.C 3836a.

OBJECTIVES:

To help participants protect, restore, develop or enhance habitat for upland wildlife, wetland wildlife, threatened and endangered species, fisheries, and other types of wildlife.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Technical assistance is provided to develop a WHIP Plan of Operation (WPO) for eligible participants. Cost-share payments may be made to implement fish and wildlife habitat conservation practices. Up to seventy-five percent cost-share is available from NRCS to reimburse participants for installing conservation practices beneficial to wildlife.

Applicant Eligibility:

Applicant must meet Highly Erodible Land and Wetland Conservation (HEL/WC) requirements, Adjusted Gross Income (AGI) requirements, verification that applicant will be in control of land for the duration of a contract. A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, Pacific Islanders, State, county, or local government owned lands are encouraged to apply.

Beneficiary Eligibility:

A participant may be an owner, landlord, operator, or tenant of eligible lands. Limited resource producers, small-scale producers, producers of minority groups, Federally Recognized Indian Tribal Governments, Alaska natives, and Pacific Islanders are encouraged to apply.

Credentials/Documentation:

Evidence that applicant has control over land to be entered into contract and submits an acceptable Wildlife Habitat Development Plan for the land unit of concern that incorporates needed wildlife habitat conservation practices. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Program participation is voluntary. The applicant applies at the local USDA service center or found at <http://www.sc.egov.usda.gov> on Form NRCS-CPA-1200. Applications may be filed at any time during the year. The participant, With the Natural Resources Conservation Service develops a WPO for the land unit of concern. The participant's plan serves as the basis for the WHIP cost-share agreement. Technical assistance and cost-share payments may be provided to apply the needed wildlife habitat conservation practices within a time schedule specified by the plan. A participant may apply one or more wildlife habitat conservation practices in a cost-share agreement.

Award Procedure:

NRCS may designate a wildlife habitat type or a region of special wildlife habitat concern as a priority. The priorities are designated by the State Conservationist based on recommendations of local working groups which are convened by the local conservation district with recommendations from the State Technical Committee. NRCS will give special consideration to applicants who have WPOs that address wildlife habitat concerns consistent with National and state priorities. Applications will be ranked and selected for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A participant may appeal any adverse determination to NRCS.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25.%. Installation of cost-share practices to develop wildlife habitat can be funded by cost-sharing up to 75 percent of the total cost of establishing the conservation practice by NRCS. Program participants or nonfederal partners provide the remaining 25 percent. Other conservation practices may be required to be installed without program payments. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The WHIP cost-share agreement period is a minimum of 1 to 10 years. Obligations for assistance are tied to the schedule for applying wildlife habitat

conservation practices included in the WPO used as the basis for cost-share agreement. Payments are made when the participant and NRCS certify that a conservation practice is completed in accordance with the cost-share agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. NRCS makes periodic random reviews of the operation and maintenance of the cost-share agreement items during the life span of the conservation practice. Participants are subject to audit by the Office of Inspector General, USDA.

Records:

Maintained in the NRCS state office and Federal records centers for specified number of years.

Account Identification:

12-3322-0-1-302.

Obligations:

(Salaries) FY 09 \$20,596,887; FY 10 est \$20,543,000; FY 11 est \$17,643,000. (Direct Payments for Specified Use) FY 09 \$52,146,044; FY 10 est \$64,457,000; FY 11 est \$55,357,000

Range and Average of Financial Assistance:

Average contract payments are estimated to be \$4,500.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 636. Program is announced through news media and in announcements to agricultural producers, farm and ranch owners and operators in the county. Program manuals, handbooks, and leaflets issued by NRCS and FSA.

Regional or Local Office:

See Regional Agency Offices. For more information on this and other related conservation programs, consult the local telephone directory where your land is located for location of the USDA service center. NRCS, FSA, and many local conservation districts are located in USDA service centers. For a list of NRCS State Office telephone numbers and addresses, see Appendix IV of the Catalog or visit the NRCS National Website at <http://offices.sc.egov.usda.gov/locator/app>.

Headquarters Office:

Albert Cerna, Department of Agriculture, Natural Resources Conservation Service, P.O. Box 2890, Attn: Director, Financial Assistance Programs Division, Washington, District of Columbia 20113 Email: Albert.Cerna@wdc.usda.gov Phone: (202) 720-9358.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.901 Resource Conservation and Development; 10.902 Soil and Water Conservation; 10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.921 Conservation Security Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be ranked and selected for funding based on: The wildlife habitat benefits derived and the extent the cost-share agreement will assist in

achieving the wildlife habitat priority goals and objectives in the area the land unit is located.

10.916 WATERSHED REHABILITATION PROGRAM

(Watershed Rehabilitation; PL-566 Watershed Program)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Watershed Protection and Flood Prevention Act, , Public Law 83-566, 68 Stat. 666.

OBJECTIVES:

To provide technical and financial assistance to rehabilitate dams originally constructed with assistance of USDA Watershed Programs. Rehabilitation must extend the life of the dam and meet applicable safety and performance standards. Priority is given to dams that could result in loss of life if the dam should fail.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical assistance is provided in planning, designing, and implementing watershed rehabilitation works of improvement. Financial assistance is provided for sharing costs of measures for watershed rehabilitation projects. Watershed rehabilitation projects may include reconstruction or decommissioning of the dam and relocation or flood proofing of downstream property. Technical assistance is provided in planning, designing, and implementing watershed rehabilitation works of improvement. Financial assistance is provided for sharing costs of measures for watershed rehabilitation projects. Watershed rehabilitation projects may include reconstruction or decommissioning of the dam and relocation or flood proofing of downstream property.

Applicant Eligibility:

Sponsoring local organizations for existing watershed projects that include dams that were originally constructed with assistance from one of the following water resource programs: Public Law 78-534, Section 13 of the Flood Control Act of 1944; Public Law 156-67, the pilot watershed program authorized under the heading Flood Prevention of the Department of Agriculture Appropriation Act of 1954; Public Law 83-566, the Watershed Protection and Flood Prevention Act of 1954; and Subtitle H of Title XV of the Agriculture and Flood Act of 1981, commonly known as the Resource Conservation and Development Program.

Beneficiary Eligibility:

Any State agency, county or groups of counties, municipality, town or township, soil and water conservation district, flood prevention or flood control district, Indian tribe or tribal organization, or any other nonprofit agency with authority under State law to carry out, maintain, and operate watershed works of improvement may become a sponsoring local organization for a watershed rehabilitation project.

Credentials/Documentation:

Applications must designate the proposed dam to be rehabilitated, be properly signed and attested to by all sponsoring local organizations of the existing watershed, and set forth the need for the proposed project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The

standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental assessments or an Environmental impact statement are required for all projects. An applicant should consult the office or official designated as the Single Point of Contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Applications are evaluated by analyzing the condition of the dam and consequences of failure of the dam. The State Dam Safety Agency is also consulted for input. Details of the procedure are available from the State Offices of the Natural Resources Conservation Service.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Receipt of the application is acknowledged as soon as it is determined to be valid.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. Federal funds may be available for 65 percent of the total rehabilitation project cost, but shall not exceed 100 percent of the actual construction cost incurred. The total rehabilitation project cost includes land acquisition, easements, construction, and non-federal technical assistance and project administration. The cost of technical assistance provided by the natural Resources Conservation Service is not considered as part of the total rehabilitation project cost. The sponsoring local organizations shall be responsible for the cost of all water, mineral, and other resource rights, and cost of permits which are not part of the total rehabilitation project cost. Costs associated with adding new purposes to the watershed rehabilitation project may be cost-shared according to provisions of the Watershed Projection and Flood Prevention Act.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all works of improvement are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Annual operation and maintenance inspection and report. Cash reports are not applicable. Annual operation and maintenance inspection and report. Expenditure reports are not applicable. Annual operation and maintenance inspection and report.

Audits:

Not Applicable.

Records:

Records of operation and maintenance activities are maintained for the life of the project.

Account Identification:

12-1002-0-1-301.

Obligations:

(Salaries) FY 09 \$25,255,344; FY 10 est \$17,953,600; FY 11 est \$23,536,000. (Salaries) FY 09 \$3,516,198; FY 10 est \$9,717,275; FY 11 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 09 \$11,223,064; FY 10 est \$29,019,700; FY 11 est \$25,961,000. (Cooperative Agreements (Discretionary Grants)) FY 09 \$14,325,000; FY 10 est \$22,441,527; FY 11 est \$0

Range and Average of Financial Assistance:

\$0 to \$6,451,000; \$770,000 average per state.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Policy for implementation of this program is contained in the National Watershed Manual and NRCS General Manual. Program fact sheets, case studies, and guidelines are available from NRCS State Offices and can also be accessed through the NRCS website (www.nrcs.usda.gov).

Regional or Local Office:

See Regional Agency Offices. For list of NRCS State offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Stuart Simpson, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Email: Stuart.Simpson@wdc.usda.gov Phone: (202) 720-3413.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 12.104 Flood Plain Management Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) The existing watershed project must meet the requirements of the law; (2) operation and maintenance of the existing dam must be current; (3) the local sponsors must agree to carry out the watershed project including acquiring land rights, permits, local cost-share, and operating and maintaining the rehabilitated dam for the life of the project; (4) the project has environmental, economic and social benefits that exceed the costs for a favorable benefit-cost ratio.

10.917 AGRICULTURAL MANAGEMENT ASSISTANCE

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Agricultural Risk Protection Act of 2000, Section 133, Public Law 106-224.

OBJECTIVES:

To provide cost-share assistance to producers on private lands through contracts to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible producers in approved states may receive cost-share assistance to construct or improve water management structures or irrigation structures; plant trees for windbreaks or to improve water quality; and mitigate financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

Applicant Eligibility:

Applicants must have control of the land for a period of the contract running a minimum duration of one year after completion of the last practice, but not more than 10 years. The land must be in one of the 16 following eligible states: Connecticut, Delaware, Hawaii, Maine, Maryland, Massachusetts, Nevada, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Utah, Vermont, West Virginia, and Wyoming. This program is excluded from coverage under E.O. 12372.

Beneficiary Eligibility:

Applicants must have control of the land for a period of the contract running a minimum duration of one year after completion of the last practice, but not more than 10 years.

Credentials/Documentation:

Evidence that the applicant has control over the land for the life of the proposed contract. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Potential applicants must submit documents to the appropriate local NRCS or Conservation District office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms are available in USDA Service Centers and conservation district offices as well as in an automated format on the Internet on the USDA NRCS homepage.

Award Procedure:

Participants must sign a contract running a minimum duration of one year after completion of the last practice, but not more than 10 years based on the conservation plan to receive financial assistance. Financial assistance payments are made directly to the applicant upon satisfactory completion on identifiable units of work. Approval of contracts are made by an authorized representative of the Commodity Credit Corporation (CCC).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Variable, no specified time. Approval will depend on volume of applications received and available funds.

Appeals:

Any participant shall have the right to appeal final adverse or potentially adverse decisions made by NRCS.

Renewals:

Contracts that are scheduled for less than 10 years may be extended up to the ten-year contract limit, in order for the participant to complete the practices scheduled in the contract. However, the participant must apply all practices within ten years of signing the contract.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. AMA participants are eligible for financial assistance payments of up to 75 percent of the estimated incurred cost. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Long term contracts are to be a minimum of duration of one year after completion of the last practice, but not more than 10 years. Financial assistance is paid when the practice is performed. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Program activities are subject to audit by the Office of Inspector General, USDA.

Records:

The records for all expired or terminated contracts will be kept for 10 years following expiration or termination of the contracts.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 09 \$1,196,696; FY 10 est \$1,230,000; FY 11 est \$410,000. (Direct Payments for Specified Use) FY 09 \$6,181,443; FY 10 est \$6,270,000;

FY 11 est \$2,090,000

Range and Average of Financial Assistance:

Financial assistance payments are not to exceed \$50,000 per fiscal year. The total financial assistance payments paid per participant must not exceed \$50,000 for any fiscal year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR, Part 1465 regulations in the Federal Register, Volume 73, No. 225, page 70245, November 20, 2008; USDA/NRCS Internet homepage under Programs.

Regional or Local Office:

See Regional Agency Offices. For a list of NRCS State Offices with telephone numbers and addresses, see Appendix IV of the Catalog.

Headquarters Office:

Dave Mason, Financial Assistance Programs Division, Natural Resources Conservation Service
Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20113 Email: Dave.Mason@wdc.usda.gov Phone: (202) 720-1873 Fax: (202) 720-4625.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A State developed ranking process and ranking criteria will be used. The ranking criteria will emphasize: the construction or improvement of water management structures or irrigation structures; planting of trees for windbreaks or to improve water quality; and mitigating financial risk through production or marketing diversification or resource conservation practices, including soil erosion control, integrated pest management, or transition to organic farming.

10.920 GRASSLAND RESERVE PROGRAM

(Grassland Reserve Program (GRP))

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Farm Security and Rural Investment Act of 2002., Public Law 107-171, 16 U.S.C 3838n-3838q; The Food, Conservation, and Energy Act of 2008, Public Law 110-246.

OBJECTIVES:

To assist landowners and operators in restoring and protecting eligible grazing lands, including rangeland, pastureland and certain other lands through rental contracts and easements.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

GRP easements and rental contracts are emphasize support for working grazing lands. All participants are subject to the Adjusted Gross Income Provision set forth in 7 CFR Part 1400. This limitation provides that individuals and entities that have an average adjusted gross income exceeding \$1 million for the three tax years immediately preceding the year the contract is approved are not eligible to receive program benefits, unless 66.6 percent of the adjusted gross income is derived from farming, ranching, or forestry operations. Easements and rental contracts will (1) permit grazing on the land in a manner that is consistent with maintaining the viability of the native and natural grass, shrub, forest, and wildlife species adapted to the locality; (2) permit haying, mowing, or harvesting for seed production, except during the nesting and brood-rearing seasons for birds in the area that are in significant decline; (3) allow for fire rehabilitation and construction of firebreaks, fences, watering facilities and practices that protect and restore the grasslands functions and values; and (4)

prohibit the production of row crops, fruit trees, vineyards, or any other agricultural commodities. Both easements and rental contracts will require that the land is managed to maintain the viability of the plant community as described in the conservation or grazing management plan.

Applicant Eligibility:

GRP is available on privately owned lands. Eligible land includes grassland, land that contains forbs, or shrubs (including rangeland and pasture land; or land that is located in an area that has historically been dominated by grassland, forbs, and shrubs; and has potential to provide habitat for animal or plant populations of significant ecological value. Incidental lands, in conjunction with eligible land, may also be considered for enrollment to allow for the efficient administration of an easement or rental contract.

Beneficiary Eligibility:

Only landowners may submit applications for easements; landowners and others who have general control of the acreage may submit applications for rental contracts. Easements may also be acquired by eligible entities based on a 50 percent cost-share with the Federal government. Eligible entities are defined as units of State, local or Tribal government or nongovernmental organizations that have a charter describing a commitment to conserving ranchland, agricultural land, or grassland for grazing and conservation purposes.

Credentials/Documentation:

Eligible entities must demonstrate it has the relevant experience and resources to administer a GRP easement. Its charter or mission describes its long term commitment to conserving ranchland, agricultural land, or grassland for grazing and conservation purposes. NRCS evaluates an entities capacity to acquire, manage and enforce easements; its staffing and the ability of an entity to provide matching funds before entering into a cooperative agreement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any owner or operator or tenant meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA service centers at any time. USDA will establish criteria to evaluate and rank applications for easement and rental contract enrollment. Ranking criteria will emphasize support for high quality grasslands and shrublands; grazing operations; plant and animal biodiversity; and grasslands under threat of conversion to cropping or development. Individual project selection will be made by State level USDA officials. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are accepted in local USDA service centers at any time. Applicants are required to provide general information about the location of the project, contact information for the applicant, and designate the type of enrollment desired by the applicant (i.e. permanent easement or maximum allowed by State law, 10-year, 15-year, or 20-year rental contract). Applications can be obtained through local USDA service centers or the website of the Natural Resources Conservation Service Office. See www.nrcs.usda.gov. Select Programs from the menu, then select Grassland Reserve Program.

Award Procedure:

NRCS State Offices rank the individual parcels in the applications based on ranking criteria established by the State Technical Committee. The ranking factors are developed based on national and state priorities.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding availability.

Appeals:

Applicants or participants may appeal decisions regarding this program in accordance with 7 CFR Part 614 and Parts 11 and 780.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50.%. For GRP acquisitions made through a Cooperative Agreement, each eligible entity is required to provide, in cash, at least 50 percent of the purchase price of the conservation easement. Purchase price is defined as the appraised fair market value minus any landowner donation. The landowner donation is not a cash donation, but is merely the reduction in value the landowner will accept as payment for the sale of the conservation easement. Each eligible entity is required to fund its own administrative costs in acquiring easements, such as surveys, appraisals, deed preparation, title searches, and costs incurred in restoring, managing and enforcing the easements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Easement duration is either perpetual, or the maximum duration permitted by State law. Financial assistance for easement acquisition is provided at closing. For rental contracts, payments are made annually on the agreement anniversary date of USDA approval. For both easements and rental contracts, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Technical assistance is available for the length of the easement or rental contract. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports on the status of the easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.. No cash reports are required. Annual reports on the status of the easements acquired will be prepared by the eligible entity and submitted to the NRCS State Office. Fund disbursement reports are prepared by NRCS.. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. An audit may be made in accordance with the Office of Management and Budget (OMB) Circular No. A-102 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and local governments and other related Circulars.

Records:

Records of easements acquired will be maintained for the life of the easements by the eligible entity and NRCS.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 09 \$6,615,595; FY 10 est \$6,270,000; FY 11 est \$5,333,600. (Direct Payments for Specified Use) FY 09 \$41,042,508; FY 10 est \$93,921,000; FY 11 est \$73,742,400

Range and Average of Financial Assistance:

The 5 year average estimated cost per acre for easement acquisition was approximately \$400, ranging from \$65 per acre to over \$30,000 per acre. The average estimated cost per acre for rental contracts was \$134 per acre over the life of the rental contract. Program Accomplishments (130): Over 250 GRP easements were acquired on over 117,000 acres.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

GRP rental contracts are limited to \$50,000 per person per year. GRP restoration agreements are also limited to \$50,000 per person per year. Grassland Reserve Program Website; www.nrcs.usda.gov/programs/grp.

Regional or Local Office:

See Regional Agency Offices. Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

Ed Burton, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Email: Liz.Crane@wdc.usda.gov Phone: (202) 720-0242.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.921 CONSERVATION SECURITY PROGRAM

(CSP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Food Security Act of 1985, Executive Order, Title XII, Part Chapter 2, Section Subchapter A, Public Law 99-198, 19 U.S.C 3801et.seq., as amended by The Farm Security and Rural Investment Act of 2002, Public Law 107-171.

OBJECTIVES:

The CSP sets forth a mechanism to provide financial and technical assistance to promote the conservation and improvement of soil, water, air, energy, plant and animal life, and other conservation purposes on Tribal and private working lands. Working lands include cropland, grassland, prairie land, improved pasture, and range land, as well as forested land that is an incidental part of an agriculture operation. The goal of CSP is to identify and reward those farmers and ranchers who are meeting the highest standards of conservation and environmental management on their operations and to support ongoing stewardship of private agricultural lands by providing payments for maintaining and enhancing natural resources.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Eligible applicants may offer eligible land on a portion of their agricultural operation to enter into a five year contract, or entire agricultural operation for a five to ten year contract. Subject to the acceptance of an offer by the Federal Government, the participant shall agree to participate as outlined in the CSP stewardship plan and contract. The contract will include appropriate stewardship, new practice, existing practice, and enhancement payments. Subject to the availability of funds, CCC will make stewardship, existing practice, new practice or enhancement payments at the rates specified in this contract after a determination by CCC that an eligible practice or activity has been established in compliance with the CSP plan of operations and in accordance with appropriate standards and specifications.

Applicant Eligibility:

Applicants must have applied prior to Oct. 1, 2008. An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means an owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. An applicant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and

may not receive CSP payments.

Beneficiary Eligibility:

An individual producer, partnership, association, corporation, estate, trust, other business or other legal entities controlling eligible lands. The term producer means and owner, operator, landlord, tenant or sharecropper that shares in the risk of producing any crop or livestock; and must be entitled to share in the crop or livestock available for marketing from an agricultural operation. A participant must be in compliance with highly erodible land and wetland conservation provisions, and average adjusted gross income requirements. Lands enrolled in the Conservation Reserve Program, Wetlands Reserve Program, the Grassland Reserve Program pursuant to 16 U.S.C. 3838n, public land including land owned by a Federal, State or local unit of government are not eligible for enrollment in CSP and may not receive CSP payments.

Credentials/Documentation:

The participant must have control of the land offered for the length of the contract period. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Conduct a CSP self-assessment and benchmark condition inventory, and submit an intention to enroll to the local NRCS office that serves the area in which the agricultural operation is located during the designated sign-up period.

Award Procedure:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days after the application is filed with the NRCS.

Appeals:

An applicant or a participant may obtain administrative review of an adverse decision. Participants cannot appeal payment rates, payment limits, and cost-share percentages, eligible conservation practices, or other matters of general applicability. Before a participant can seek judicial review, the participant must exhaust all administrative appeal procedures.

Renewals:

The conservation security contract may be renewed, as appropriate, for an additional period of not less than 5, nor more than 10 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Payments may have as many as four components including a base conservation stewardship payment, existing practice payment, new practice cost-share payment, and enhancement payment. Payments will be made as described in the contract in return for the implementation and/or maintenance of a specified level of conservation treatment on all or part of the agricultural operation.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The schedule of payments will be specified in the conservation stewardship contract based on calculations identified in the rule and sign up notice. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133.

Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained by the participant, as appropriate and in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 09 \$29,883,504; FY 10 est \$23,443,000; FY 11 est \$21,286,600. (Direct Payments for Specified Use) FY 09 \$246,120,977; FY 10 est \$210,520,000; FY 11 est \$191,155,400

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is announced through news media, local conservation districts, and in letters to agricultural landowners in the county. Regulations published in the Federal Register and 7 CFR XIV.

Regional or Local Office:

See Regional Agency Offices. Consult the local telephone directory for location of the NRCS office. If no listing, contact the appropriate State NRCS office listed in the NRCS Section of Appendix IV. the Catalog.

Headquarters Office:

Dwayne Howard, Financial Assistance Programs Division, Natural Resources Conservation Service, Department of Agriculture, PO Box 2890 , Wahington, District of Columbia 20113 Email: Dwayne.Howard@wdc.usda.gov Phone: (202) 720-3524.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.912 Environmental Quality Incentives Program; 10.914 Wildlife Habitat Incentive Program; 10.917 Agricultural Management Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications which meet the sign-up criteria within the priority watersheds will be placed in an enrollment category regardless of available funding. Enrollment categories and subcategories will be funded in priority order until the available funds are exhausted.

10.922 HEALTHY FORESTS RESERVE PROGRAM (HFRP)

Healthy Forests Reserve Program (HFRP)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

Healthy Forest Restoration Act of 2003, Title V, 16 U.S.C 6571-6578.

OBJECTIVES:

To assist landowners in restoring and enhancing forest ecosystems to: promote the recovery of threatened and endangered species; improve biodiversity; and enhance carbon sequestration.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

HFRP restoration agreements and easements are designed for working Agricultural lands. HFRP is a voluntary program to assist landowners in restoring, enhancing and maintaining forest ecosystems on private lands through easements, contracts and restoration agreements. Easements, contracts, and restoration agreements shall be maintained in accordance with HFRP goals

and objectives for the duration of the restoration agreement and easement including the restoration, protection, enhancement, maintenance, and management of habitat for listed species within a forest ecosystem's functions and values. For the duration of its term, the easement shall require, at a minimum, that the landowner, and the landowner's heirs, successors and assigns, shall cooperate in the restoration, protection, enhancement, maintenance, and management of the land in accordance with the easement and with the terms of the HFRP restoration plan. In addition, the easement shall grant to the United States, through the NRCS: a right of access to the easement area; the right to permit compatible uses of the easement area, if such use is consistent with the long-term protection and enhancement of the purposes for which the easement was established; the right to determine compatible uses on the easement area and specify the amount, method, timing, intensity and duration of the compatible use; the rights, title and interest to the easement area as specified in the conservation easement deed; and the right to perform restoration, protection, enhancement, maintenance, and management activities on the easement area. The landowner shall convey title to the easement which is acceptable to the NRCS. The landowner shall warrant that the easement granted to the United States is superior to the rights of all others, except for exceptions to the title which are deemed acceptable by the NRCS.

Applicant Eligibility:

To be eligible to enroll an easement or restoration agreement in the HFRP, a person must: be the landowner of eligible private land for which enrollment is sought; agree to provide such information to the Natural Resources Conservation Service (NRCS) as the Agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes.

Beneficiary Eligibility:

Only landowners.

Credentials/Documentation:

Be the landowner of eligible land for which enrollment is sought; and agree to provide such information to NRCS as the agency deems necessary or desirable to assist in its determination of eligibility for program benefits and for other program implementation purposes. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any owner meeting eligibility criteria may submit an application for participation. Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up periods. USDA will establish criteria to evaluate and rank applications for easement and restoration agreement enrollment, criteria will be detailed in the sign-up notice. NRCS will identify through a sign-up notice process the geographic scope and ranking priorities for that particular sign-up. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are accepted in local USDA Service Center Offices during announced enrollment sign-up dates. Applicants are required to provide general information about the location of the project, contact information for the applicant, and designate the type of enrollment desired by the applicant (i.e., 10 year restoration agreement, 30 year easement or 99 year easement). Applications can be obtained through local USDA Service Center.

Award Procedure:

NRCS will not acquire any easement unless the landowner accepts the amount of the easement payment which is offered by NRCS. The easement payment may or may not equal the fair market value of the interests and rights to be conveyed by the landowner under the easement. By voluntarily participating in the program, a landowner waives any claim to additional compensation based on fair market value.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Within a Federal fiscal year (October 1 September 30) based on funding

availability.

Appeals:

A person participating in the HFRP may obtain a review of any administrative determination concerning eligibility for participation utilizing the administrative appeal regulations provided in 7 CFR Part 614.

Renewals:

The land offered may be re-offered in a future sign-up unless land or landowner is ineligible.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Easement payments may be made in a single payment or not more than 10 annual payments of equal or unequal size, as agreed to between NRCS and the landowner.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Land may be enrolled in HFRP in accordance with a 10-year restoration cost-share agreement, a 30-year easement, a 30-year contract, or a permanent easement or the maximum duration permitted by State law. 30-year contracts are only available to acreage owned by Indian Tribes. Financial assistance for easement acquisition is provided at closing. For restoration agreements, payments are made annually on the agreement anniversary date of USDA approval. For easements, contracts, and restoration agreements, if restoration activities are required, financial assistance for such activities is provided when required practices are established. Technical assistance is available for the length of the easement or restoration agreement. See the following for information on how assistance is awarded/released: None.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Recipients are subject to audit by the Office of Inspector General, USDA.

Records:

Records will be maintained in the county NRCS office, State NRCS office and Federal Record Centers for the length of the agreement. The easement (deed restriction) and applicable documents will be recorded in the local land records office for the duration of the easement. Agreements are filed with the Agency.

Account Identification:

12-1004-0-1-302.

Obligations:

(Salaries) FY 09 \$1,364,547; FY 10 est \$1,951,200; FY 11 est \$1,194,000. (Direct Payments for Specified Use) FY 09 \$1,256,457; FY 10 est \$6,530,130; FY 11 est \$18,243,000

Range and Average of Financial Assistance:

In FY 2006, NRCS had \$2.3 million available to fund the program in three states. The average estimated cost per acre for easement acquisition was approximately \$1,048.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HFRP Regulations are published in the Federal Register and 7 CFR Part 625.

Regional or Local Office:

See Regional Agency Offices. Local Service Centers can be found in the blue pages of the local telephone directory under the heading USDA Service Center or through the office locator assistance on the NRCS website found at www.nrcs.usda.gov.

Headquarters Office:

Ed Burton, Natural Resources Conservation Service, Department of Agriculture, P.O. Box 2890, Washington, District of Columbia 20013 Email: Patricia.Engler@wdc.usda.gov Phone: (202) 720-1836.

Website Address:

<http://www.nrcs.usda.gov>.

RELATED PROGRAMS:

10.069 Conservation Reserve Program; 10.072 Wetlands Reserve Program; 10.913 Farm and Ranch Lands Protection Program; 10.920 Grassland Reserve Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Secretary of Agriculture shall give priority to the enrollment of land that provides the greatest conservation benefit to primarily, species listed as endangered or threatened under 16 U.S.C. 1533; and secondarily, species that are not listed as endangered or threatened under 16 U.S.C. 1533; but are candidates for such listing, State-listed species, or special concern species. The Secretary of Agriculture shall also consider the cost-effectiveness of each agreement or easement, and associated restoration plans, so as to maximize the environmental benefits per dollar expended. Specific criteria to determine how individual proposals satisfy the statutory criteria mentioned above will be set forth in the sign-up announcement.

10.923 EMERGENCY WATERSHED PROTECTION PROGRAM

(Emergency Watershed Protection (EWP) Program)

FEDERAL AGENCY:

Natural Resources Conservation Service, Department of Agriculture

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Section 216 of the Flood Control Act of 1950, Public Law 81-516; the Agricultural Credit Act of 1978, Section 403, Public Law 95-334, 33 U.S.C 701B-1.

OBJECTIVES:

The objective of the EWP Program is to assist sponsors, landowners, and operators in implementing emergency recovery measures for runoff retardation and erosion prevention to relieve imminent hazards to life and property created by a natural disaster that causes a sudden impairment of a watershed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All EWP work must reduce threats to life and property and must be economically, environmentally, and socially defensible and sound from a technical standpoint. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the U.S. Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services. EWP funds have restrictions. EWP cannot solve problems that existed before the disaster or to improve the level of protection above that which existed before a disaster. It cannot fund operation and maintenance work or repair private or public transportation facilities or utilities. The work cannot adversely affect downstream water rights and funds cannot be used to install measures not essential to the reduction of hazards. In addition, funds cannot be used to perform work on measures installed by another federal agency.

Applicant Eligibility:

Public and private landowners are eligible for assistance but must be represented by a project sponsor. Project sponsor means a State government or a State agency or a legal subdivision thereof, local unit of government, or any Native American tribe or tribal organization as defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), with a legal interest in or responsibility for the values threatened by a watershed emergency; is capable of obtaining necessary land rights, and permits; and is capable of carrying out any operation and maintenance responsibilities that may be required. Sponsors are also responsible for furnishing the local cost share and for accomplishing the installation of work. Work can be done either through Federal or local contracts. Emergency watershed protection is authorized in the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Sponsors must submit a formal request to the State Conservationist for assistance within 60 days of the natural disaster occurrence, or 60 days from the date when access to the sites becomes available. Requests must include a statement that the sponsors understand their responsibilities and are willing to pay its cost-shared percentage as well as information pertaining to the natural disaster, including the nature, location, and scope of the problems and the assistance needed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Potential sponsors submit formal requests for assistance to the NRCS state conservationist.

Award Procedure:

Project sponsors seeking EWP assistance must apply to the STC in writing within 60 days of the natural disaster occurrence. Project sponsors may use SF 424 Application for Federal Assistance but must ensure that following information is provided: (1) A description of the problems encountered; (2) Assistance needed, including the following: Nature of the problem; Location; Scope of the problem; Commitment by the sponsor to assume the following responsibilities: local cost-share; Land rights; acquisition; Permits; Operations and Maintenance (O and M), A statement indicating that funds have either been exhausted or are insufficient to provide adequate recovery measures from the applicable hazards; A statement identifying any other State or Federal funding received or application submitted. On receipt of a formal request for EWP assistance, the State Conservationist or designee shall immediately investigate the emergency situation to determine whether EWP is applicable and to prepare an initial cost estimation for submission to the NRCS Chief or designee. The cost estimation will be submitted no later than 60 days from receipt of the formal request from the sponsor. NRCS may not commit funds until notified by the Chief, or designee, of the availability of funds. Before the release of financial assistance, NRCS will enter into a Cooperative Agreement with a sponsor that specifies the responsibilities of the sponsor under this part, including any required operation and maintenance responsibilities. NRCS will not provide funding for activities undertaken by a sponsor prior to the signing of the agreement between NRCS and the sponsor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeline is dependent upon when funding is appropriated. The EWP program is not a line-item funded program and is reliant upon emergency supplemental appropriations.

Appeals:

Only NRCS decisions relating to eligibility for the EWP Program can be appealed. These decisions may be appealed in accordance with 7 CFR Part 614 and 7 CFR 11, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 75%. NRCS may bear up to 75 percent (90 percent within limited resource areas as identified by the US Census data) of the construction cost of emergency measures. The remaining 25 percent (10 percent within limited resource areas) must come from local sources as cash or in-kind services.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Continues until all EWP Program recovery measures are installed or their installation is terminated by mutual agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Final reports are prepared for each project within 90 days of completion. A benefit and accomplishment report is prepared for the fiscal year based upon final reports. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of operation are maintained for the life of the project.

Account Identification:

12-1072-0-1-301.

Obligations:

(Salaries) FY 09 \$37,325,000; FY 10 est \$39,357,300; FY 11 est \$19,768,000. (Salaries) FY 09 \$5,093,694; FY 10 est \$14,728,800; FY 11 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 09 \$74,729,231; FY 10 est \$50,448,260; FY 11 est \$0. (Cooperative Agreements (Discretionary Grants)) FY 09 \$205,031,100; FY 10 est \$180,709,100; FY 11 est \$93,076,900

Range and Average of Financial Assistance:

Disaster Events Funded: FY 06 range \$22,211 to \$6,047,723, average \$955,410.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 624; National Emergency Watershed Protection Program Manual.

Regional or Local Office:

See Regional Agency Offices. For the list of NRCS state offices with telephone numbers and addresses, see the web site: <http://www.nrcs.usda.gov/contact/>.

Headquarters Office:

Fred Reaves, 1400 Independence Ave., P.O. Box 2890, Washington, District of Columbia 20013 Email: fred.reaves@wdc.usda.gov Phone: (202) 690-0793.

Website Address:

<http://www.nrcs.usda.gov/programs/ewp/>.

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EWP projects are eligible when it is determined that the current condition of the land or watershed impairment poses a threat to health, life, and property. Assistance includes EWP practices that provide runoff retardation and soil erosion prevention, safeguard lives and property, and relieve an imminent threat that is a result of a sudden impairment of a watershed due to a natural disaster.

10.961 SCIENTIFIC COOPERATION AND RESEARCH

Scientific Cooperation Research Program

FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977 enacted as Title XIV of the Food and Agriculture Act of 1977 (Public Law 95-113, September 29, 1977), as amended through Public Law 107-29,

November 12, 2002. Subtitle International Research, Extension, and Teaching. Section 1458, {7 U.S.C. 3291}, International Agricultural Research, Extension, and Teaching, Title XIV, Public Law 107-29, 3291 U.S.C 1458.

OBJECTIVES:

To leverage resources with domestic and international counterparts in order to advance cooperative research, education, and extension in agriculture.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS; Training

USES AND USE RESTRICTIONS:

Funds under the Scientific Cooperation Research Program may be used for direct costs of conducting approved research projects. Allowable expenses include travel for research, extension, and training purposes, supplies, equipment, and indirect costs (10% cap) necessary to implement the research projects. Funds may not be used to pay for tuition, sabbaticals, or expenses solely for conferences. Funds may not be used to pay for tuition, sabbaticals, or expenses solely for conferences.

Applicant Eligibility:

Proposals are especially encouraged from scientists early in their career, women scientists, and scientists or institutions representing under-served and under-represented communities. U.S. institutions include those in American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands.

Beneficiary Eligibility:

Research projects strive to address agricultural trade and market access issues, animal and plant health, biotechnology, food safety and security, and sustainable natural resource management in the United States and internationally.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Program guidelines with detailed application procedures are available on the internet through the USDA Foreign Agricultural Service website at: <http://www.fas.usda.gov/icd/grants/scrp.htm> or through Grants.gov, a government-wide internet portal for federal funding, at: <http://www.Grants.gov> under Catalog for Federal Domestic Assistance Number (CFDA) 10.961. Guidelines are also available directly from the Foreign Agricultural Service's Research and Scientific Exchanges Division, USDA/FAS/ICD/RSER/SCEP, Mail Stop 1084, 1400 Independence Avenue, SW, Washington, D.C. 20250-1084. Applications may be submitted either electronically or as printed copies by mail or hand delivery by the proposal deadline. Any changes to the deadline or guidelines will be accessible on the internet through <http://www.Grants.gov> under CFDA Number 10.961 or the Foreign Agricultural Service Web site at: <http://www.fas.usda.gov/>. This program is subject to the provisions of OMB Circular No. A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations and OMB Circular No. A-102, Grants and Cooperative Agreements with State and Local Governments.

Award Procedure:

Program staff members review and evaluate all proposals with the assistance and advice of qualified U.S. scientists. Proposals are selected according to how well they meet criteria that includes scientific or technical merit of the proposed activity; suitability of the investigators and institutions; feasibility of achieving proposal objectives; relevance to priority issues in U.S. trade and market access, agriculture, animal and plant health, biotechnology, food safety and security, and sustainable natural resource management. Proposals are funded to the extent permitted by available funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award and decline letters are expected to be sent to the U.S. Principal Investigators within 60 to 150 days.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized, but the agreement may not exceed five years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Matching is not required but is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Long-term research project agreements range from 1 to 3 years, with funds provided annually. See the following for information on how assistance is awarded/released: Annual funding allocations.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records must be maintained for not less than 3 years after completion or termination of the agreement.

Account Identification:

12-3200-0-1-352.

Obligations:

(Cooperative Agreements) FY 09 \$300,000; FY 10 est \$350,000; FY 11 est \$350,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular A-110, OMB Circular A-21, OMB Circular A-122, OMB Circular A-102, OMB Circular A-87, 7 CFR Part 3015 ; 7 CFR 3019.

Regional or Local Office:

None.

Headquarters Office:

Ali Abdi, USDA/FAS/OCBD/TSED, 1400 Independence Ave. SW, Room 3231-S, Washington, District of Columbia 20250 Email: Ali.Abdi@fas.usda.gov Phone: (202) 690-0880.

Website Address:

<http://www.fas.usda.gov/icd/grants/scrp.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

10.962 COCHRAN FELLOWSHIP PROGRAM-INTERNATIONAL TRAINING-FOREIGN PARTICIPANT FEDERAL AGENCY:

Foreign Agricultural Service, Department of Agriculture

AUTHORIZATION:

National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, 7 U.S.C. 3291; Food Security Act of 1985, as amended, Public Law 99-198.

OBJECTIVES:

To provide high quality training resulting in knowledge and skills that will assist eligible countries to meet the food needs of their domestic populations; and to strengthen and enhance trade linkages between eligible countries and agricultural interests in the United States.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

No funding is available for unsolicited proposals. Cochran Program funds are used for projects involved with international training activities, including course development and/or evaluation.

Applicant Eligibility:

The Cochran Program solicits proposals from U.S. institutions of higher education, nonprofit organizations, U.S. agricultural trade and market development associations, and on occasion private consultants.

Beneficiary Eligibility:

Technical assistance provided through these agreements benefits foreign governments and related agricultural institutions in their countries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications solicited by the Cochran Program should include a scope of work, budget, time frame and expected results. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

All proposals are evaluated by technical specialists, which rate the qualifications of applicants and merits of the proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Extensions may be authorized.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is phased according to the requirements of individual projects. See the following for information on how assistance is awarded/released:
Assistance is awarded by means of cost-reimbursable cooperative agreements or on occasion purchase orders.

Reports:

Training evaluation reports may be required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Program and financial records are kept in the Department.

Account Identification:

12-3200-0-1-352.

Obligations:

(Salaries) FY 09 \$530,444; FY 10 est \$1,300,000; FY 11 est \$1,000,000 - Primarily reimbursable agreements with Universities and some procurement through the private sector((taken from IAFTS and IAS reports).

Range and Average of Financial Assistance:

Range: \$700 to \$204,000
Average: \$31,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This program currently includes short term agricultural and trade-related training programs for senior and mid-level specialists and administrators in such technical areas as food safety, biotechnology, sanitary and phytosanitary issues, agribusiness development and marketing. The program also strengthens local capacities in such commodity areas as dairy, livestock, poultry, horticultural products, grains, feeds and consumer ready products. The Cochran Fellowship Program trained 395 Fellows in 52 countries in FY09. Fiscal Year 2010: Projected number of Cochran Fellows to be trained in FY 2010 is approximately 550 from 70 countries. Fiscal Year 2011: Estimated number of Cochran Fellows to be trained in FY 2011 is approximately 500 from 70 countries.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 3015

Regional or Local Office:

None.

Headquarters Office:

Scott J. Lewis 1400 Independence Ave SW, Washington, District of Columbia 20250 Email: Scott.Lewis@fas.usda.gov Phone: 2026901734 Fax: 2026900349

Website Address:

<http://www.fas.usda.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Short-term training in such areas that include but are not limited to pest risk assessment, meat and poultry inspection, fbotechnology, food safety control methods and regulatory systems, organic certification, HACCP, veterinary epidemiology, and dairy herd management. Fiscal Year 2010: Short-term training in such areas that include but are not limited to pest risk assessment, meat and poultry inspection, fbotechnology, food safety control methods and regulatory systems, organic certification, HACCP, veterinary epidemiology, and dairy herd management. Fiscal Year 2011: Short term training as described in prior fiscal years. Emerging topics include such areas as biofuels, climate change, and intellectual property rights.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are dependent upon identification of specific project requirements and program priorities developed by the Foreign Agricultural Service's Office of Capacity Building and Development(FAS/OCBD), in consultation with collaborating agencies and organizations. Contact FAS/OCBD for additional information. While this program does not fund unsolicited proposals, interested parties are welcome to submit statements of capability and expressions of interest.

DEPARTMENT OF COMMERCE

11.010 COMMUNITY TRADE ADJUSTMENT ASSISTANCE

Community Trade Adjustment Assistance (CTAA)

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Chapter 4, Title II of the Trade Act of 1974, as amended, 19 U.S.C. 2371 et

seq., (Trade Act).

OBJECTIVES:

To assist communities impacted by trade with economic adjustment through the: (1) coordination of federal, State, and local resources; (2) creation of community-based development strategies; and (3) development and provision of programs that help communities adjust to trade impacts. The Community TAA Program is designed to provide a wide range of technical, planning, and infrastructure assistance and respond adaptively to pressing trade impact issues.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Strategy investments help organize and carry out a planning process in order to develop a strategic plan tailored to the community's specific economic problems and opportunities, including amendments to existing Comprehensive Economic Development Strategy (CEDS) to address trade related challenges.

Implementation investments support one or more activities identified in an EDA-approved strategic plan. Awards may be used for activities such as developing and updating a strategic plan and for implementing the plan by carrying out projects for site acquisition and preparation, construction, rehabilitation, and equipping facilities, technical assistance, market or industry research and analysis, and other activities set out in 13 CFR 313.7. To receive assistance, a community must petition to be designated as an Impacted Community, meaning that the community is significantly affected by trade impacts. A community may receive such designation from the Secretary of Commerce if the community demonstrates that: (1) the community has a Cognizable Certification, that is Secretary of Labor has certified a group of workers in the community as eligible for TAA for Workers benefits, the Secretary of Commerce has certified a firm in the community as eligible for TAA for Firms benefits, or a group of agricultural producers in the community has been certified to receive benefits under the TAA for Farmers and Fishermen program; and (2) the Secretary determines that the community is significantly affected by the threat to, or the loss of, jobs associated with that certification. All EDA grants are discretionary. EDA does not award any formula grants.

Applicant Eligibility:

Eligible applicant for EDA investment assistance under the Community TAA program include cities, counties, or other political subdivisions of a State or a consortium of political subdivisions of a State.

Beneficiary Eligibility:

Eligible beneficiaries of EDA investment assistance under the Community TAA program include cities, counties, or other political subdivisions of a State or a consortium of political subdivisions of a State.

Credentials/Documentation:

In accordance with section 273 of the Trade Act (19 U.S.C. 2371b), to receive assistance under the Community TAA Program, a community must have one or more of the Cognizable Certifications(See also the definition of Cognizable Certification set out as 13 C.F.R. 313.2). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are evaluated by EDA Regional Office staff and approved by the Regional Director.

Deadlines:

Jan 11, 2010 to Apr 20, 2010 Applications must be received by April 20, 2010 at 5:00 pm local time to allow time for processing since program funds expire September 30, 2010.

Range of Approval/Disapproval Time:

The deadlines are set forth in the applicable announcement of federal funding opportunity (FFO).

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. The Federal share of a grant to develop a strategic plan may not exceed 75 percent and the local share must be at least 25 percent. The federal share of an implementation grant may not exceed 95 percent of the cost of the project and the community's share may not be less than 5 percent. Implementation grants may not exceed \$5,000,000.

Priority shall be given to grant applications submitted by small and medium-sized communities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:

Assistance will be awarded/released to recipients in accordance with the grant terms and conditions.

Reports:

Periodic reporting of operating results and progress, financial statements, and summary of funds spent, consistent with EDAs Government Performance Results Act (GPRA) reporting requirements and those that may be added to assess the impact of the assistance on the community, its economic resilience, and international competitiveness. When funds are advanced to recipients the DoC shall require each recipient to submit the SF272 and, when necessary, its continuation sheet, SF272a. The DoC shall use this report to monitor funds advanced to recipients and to obtain disbursement information for each agreement with the recipients. If construction is included in the project, quarterly reports on the progress of construction are required. EDA requires the submission of the SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher education are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). Commercial organizations shall be subject to the audit requirements as stipulated in the award documents. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year.

Records:

Records are required to be maintained for 3 years from the date of submission, unless otherwise provided. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant award agreement. The recipient must retain records for three years after submission of the final financial report, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$40,000,000; FY 11 est \$0 - The Community Trade Adjustment Assistance opportunity was funded only for FY 2010 under the Supplemental Appropriations Act, 2009 (Public Law No. 111-32, 123 Stat. 1859, at 1860 (2009)). Funding was not authorized in the FY 2009 or FY 2011 budgets.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Part 313

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Miriam J. Kearse, 1401 Constitution Avenue NW, Room D100, Washington, District of Columbia 20230 Email: mkearse@eda.doc.gov Phone: (202) 482-3963 Fax: (202) 482-5671.

Website Address:

<http://www.eda.gov/InvestmentsGrants/CommunityTAA.xml>

RELATED PROGRAMS:

11.313 Trade Adjustment Assistance for Firms

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Planning proposals that are intended to (1) diversify and strengthen the community's economy; (2) identify impediments to economic development that result from the impact of trade; and (3) develop a community strategic plan to address economic adjustment and workforce dislocation in the community. Preference shall be given to plans submitted by EDAs existing partnership planning grantees and that identify other federal, State and local resources available to assist the community. Implementation proposals: Preference shall be given to proposals that arise from CTAA planning activities and that promise to benefit indigenous populations, diversify the economy, and address global competition.

11.313 TRADE ADJUSTMENT ASSISTANCE FOR FIRMS

Trade Adjustment Assistance for Firms

FEDERAL AGENCY:

Economic Development Administration, Department of Commerce

AUTHORIZATION:

Trade Act of 1974, as amended (19 U.S.C. 2341 et seq.).

OBJECTIVES:

The Trade Adjustment Assistance for Firms (TAAF) program helps economically distressed U.S. business in building competitiveness strategies to increase exports and thereby create jobs. The program provides technical assistance to U.S. businesses that have lost sales and employment due to increased imports of similar or competitive goods and services. Technical assistance is provided through a nationwide network of eleven Economic Development Administration (EDA) funded Trade Adjustment Assistance Centers (TAACs) in the development of a petition EDA for certification of eligibility under the program, and in the development and implementation of business recovery plans and matching funds for projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under Chapters 3 and 5 of Title II of Trade Act of 1974, as amended (19 U.S.C. 2341 et seq.), a firm may apply to the Economic Development Administration (EDA) of the Department of Commerce for certification of eligibility to apply for trade adjustment assistance (TAA). Interested firms that believe they meet the requirements set forth in 13 CFR part 315 may contact EDA or one of the EDA-funded Trade Adjustment Assistance Centers (TAACs). TAACs will assist firms, at no cost, in completing and submitting a petition (Form ED-840P or any successor form) to EDA. Before a firm receives technical assistance under the program, the firm must have an adjustment proposal approved by EDA. Usually the adjustment proposal, which includes an unbiased diagnostic of the firm's strengths, weaknesses, opportunities and threats, is prepared by the

TAAC in cooperation with the certified firm. The adjustment proposal also identifies technical assistance tasks, which when implemented, will guide the firm toward economic recovery. The adjustment proposal must also demonstrate that the implementation of the technical assistance tasks has a reasonable chance helping the firm recover. The firm must pay at least 25 percent of the cost of preparing the adjustment proposal. After a firm's adjustment proposal is accepted by EDA, the firm is authorized to apply for technical assistance to implement the recovery strategy. The technical assistance is usually provided by a private consultant, who is jointly hired by the certified firm and the TAAC. The certified firm and the TAAC each typically pay one half of the consultant's fees. Actual provision of TAA will depend on the feasibility of the firm's adjustment proposal, compliance with the requirements of the Trade Act, EDA's regulations and guidelines, and the availability of funds. Organizations representing trade-injured industries are eligible under the Trade Act to apply to EDA for industry-wide assistance.

Applicant Eligibility:

Section 253 of the Trade Act (19 U.S.C. 2343(b)) provides that grants may be awarded to "intermediary organizations (including Trade Adjustment Assistance Centers)" to provide assistance to trade-injured firms. For an industry association or other organizations to be eligible for industry assistance under section 265 of the Trade Act (19 U.S.C. 2355(a)), the applicant must submit evidence demonstrating that the industry faces import competition and includes a substantial number of Trade Act-certified firms or worker groups.

Beneficiary Eligibility:

Only firms certified by EDA on behalf of the Secretary of Commerce are eligible for assistance under the TAA program. Industries that can demonstrate they have been injured by imports and have a substantial number of Trade Act certified firm or worker groups may also benefit. The principal benefit is cost-shared technical assistance: the TAA program pays up to one-half of the cost of assistance by private-sector consultants and contractors for operational improvements at certified firms.

Credentials/Documentation:

The TAACs act as intermediaries for this program between EDA and the firm. Entities seeking industry-wide assistance must contact EDA staff to discuss their needs, describe the import injury and determine if funding is available for industry-wide projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The nationwide network of 11 TAACs apply to EDA each year for funding for the coming fiscal year. Industry associations or other organizations seeking industry assistance must submit an application (SF-424) if invited to do so by EDA.

Award Procedure:

Awards to TAACs are made based upon submitted applications, previous performance under cooperative agreements with EDA, and the availability of funds. Acceptance of an application or amended application for a cooperative agreement does not ensure funding by EDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EDA is statutorily required to review petitions for certification of eligibility under the program within 40 days of petition acceptance; and has 60 days to make a final determination on an adjustment proposal.

Appeals:

Not Applicable.

Renewals:

EDA Cooperative Agreements are renewed annually.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, one year for TAAC investments and the amount of time needed to complete the project for industry grants. The TAA program is a nationwide federal program designed to help U.S. firms that have experienced business decline and loss of employment due to imports of like or directly competitive products. See the following for information on how assistance is awarded/released: Generally, grants are awarded annually. Grantees receive awards either in advance or monthly reimbursement.

Reports:

Periodic reporting of operating results and progress, financial statements, and summary of funds spent, consistent with EDAs Government Performance Results Act (GPRA) reporting requirements. No cash reports are required. Grantees are required to submit annual reports on the progress. EDA requires the submission of a SF-425 Federal Financial Report. EDA reserves the right to conduct site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for 3 years. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the agreement. The recipient must retain records for 3 years after submission of the final financial report, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Cooperative Agreements) FY 09 \$15,800,000; FY 10 est \$15,800,000; FY 11 est \$15,800,000

Range and Average of Financial Assistance:

Awards range between \$1M-\$1.6M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of pertinent regulations (13 CFR Part 315), guidelines, and forms are available from EDA, the TAACs or the TAAC website at www.taacenters.org. Allowable costs will be determined in accordance with OMB Circular A-87 for State, local, and Indian Tribal governments; OMB Circular A-122 for nonprofit organizations; OMB Circular A-21 for educational institutions; and 48 CFR Part 31 for commercial organizations. Uniform administrative requirements will be in accordance with 15 CFR Part 14 for institutions of higher education and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

See Regional Agency Offices. <http://www.taacenters.org/locations.html>.

Headquarters Office:

Miriam J. Kearse, 1401 Constitution Avenue NW, Room D100, Washington, District of Columbia 20230 Email: mkearse@eda.doc.gov Phone: (202) 482-3963 Fax: (202) 482-5671.

Website Address:

<http://www.eda.gov/InvestmentsGrants/TAAF>

RELATED PROGRAMS:

11.010 Community Trade Adjustment Assistance ; 17.245 Trade Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

EDA invites existing (currently funded) TAACs to submit either new or amended applications provided they have performed successfully and complied with previous conditions in their cooperative agreements with EDA and contingent upon the availability of funds. EDA also may invite new TAACs to submit applications through an applicable Federal Funding Opportunity (FFO) announcement. EDA generally evaluates existing (currently funded) TAACs based on: (i) performance under cooperative agreements with EDA and compliance with terms and conditions if such cooperative agreements; (ii) proposed scope of work, budget and application or amended application; and (iii) availability of funds.

11.432 NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION (NOAA) COOPERATIVE INSTITUTES FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Fish and Wildlife Act, 16 U.S.C 753a; Navigation and Navigable Waters, 33 U.S.C 1442; Department of Commerce Appropriation Act of 1999; Navigation and Navigable Waters, 33 U.S.C 883d; National Climate Program Act, 15 U.S.C 2904; Fish and Wildlife Coordination Act of 1956, 16 U.S.C 661; Weather Service Organic Act, 15 U.S.C 313; Federal Aviation Act, 49 U.S.C 44720(b); National Climate Program Act, 15 U.S.C 2901 et seq; Cooperative Agreements/Reimbursement to Further NOAAs Mission, 15 U.S.C 1540.

OBJECTIVES:

To increase the effectiveness of research and develop innovative approaches to education in the environmental sciences by facilitating cooperation between government, university, and nonprofit research institutions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program authorizes funds to be used for research and development, education and training, advisory services and operational systems as they relate to specific NOAA programs. Specific restrictions, other than those mandated for cooperative agreements, are evaluated on an individual program basis. Most Cooperative Institutes (CIs) operate under a Memorandum of Understanding/Memorandum of Agreement and are geographically located near a NOAA Research laboratory or a NOAA facility. In addition, 118 Stat. 71 (2004) authorizes the Secretary to designate (delegated to the Under Secretary of NOAA) CIs to use the personnel, services, or facilities of such organizations for research, education, training, and outreach to further NOAA's mission under a cooperative agreement.

Applicant Eligibility:

Single or multiple non-federal public and private non-profit universities, colleges and research institutions that collectively offer accredited graduate level degree-granting programs in NOAA related sciences.

Beneficiary Eligibility:

Organizations with interests in support of the NOAA mission to understand and predict changes in Earth's environment and conserve and manage coastal and marine resources to meet the nations' economic, social, and environmental needs.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circular Nos. A-21 for agreements with educational institutions, A-87 for State and local governments, and A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the agency and required by

OMB Circular Nos. A-21 and A-110 must be used for this program. This program is excluded from coverage under OMB Circular No. A-102. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals for new cooperative agreements are solicited with an announcement in the Federal Register. These announcements include specific information for proposal submission. All proposals are subject to the requirements of OMB Circular Nos. A-21 and A-110, as applicable.

Award Procedure:

Accepted applications are subject to peer review by a review panel assembled by the Cooperative Institute Program Manager. All applicants are notified whether or not their proposal was funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days from time of proposal submission.

Appeals:

Not Applicable.

Renewals:

Initial awards are for 5 years; renewals may be made for a period of up to 5 additional years, based upon the availability of funds and the results of an extensive scientific and administrative review, typically occurring in the fourth year of the first 5-year award..

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, five years with funding approved on individual and group proposal basis throughout the 5 years in accordance with DOC approval. See the following for information on how assistance is awarded/released: As described in Standard Terms and Agreement.

Reports:

In accordance with applicable report or announcement published in the Federal Register and/or Federal Funding Opportunities in Grants.gov. . . .

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are expected to maintain complete records for each cooperative agreement to insure funds are used for the purposes that the cooperative agreement was made. Records must be retained for 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

13-1450-0-1-306.

Obligations:

(Project Grants) FY 09 \$122,536,421; FY 10 est \$145,000,000; FY 11 est \$147,000,000

Range and Average of Financial Assistance:

Total annual funding at current Cooperative Institutes ranges from \$1,300,000 to \$30,000,000. Average funding is \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 18 NOAA Cooperative Institutes supported over 1,000 research and research support staff and 350 undergraduate and graduate students. In addition, NOAA CI researchers published over 1,600 peer-reviewed scientific publications. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable cost will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None. OAR, Dr. John Cortinas - CI Director, NOAA Research, R/LCX2, 1315 East-West Highway, Room 11308, Silver Spring, MD 20910. Telephone: 301-734-1090. E-mail: John.Cortinas@noaa.gov. NESDIS, Ingrid Guch, Chief, Cooperative Research Programs Division, World Weather Building, Suite 701, 5200 Auth Road, Camp Springs, MD 20746. Telephone: (301) 763-8282 x152. E-mail: Ingrid.Guch@noaa.gov. NMFS, Kathleen Jewett, 2725 Montlake Blvd East, Seattle, WA 98112-2097. Telephone: (206) 860-3208. E-mail: Kathleen.Jewett@noaa.gov.

Headquarters Office:

Dr. John Cortinas, OAR: Dr. John Cortinas, CI Director NOAA Research, R/LCX2, 1315 East-West Highway, Room 11308, Office: (301) 734-1090 Cell: (301) 452-3013 Fax: (301) 713-1459 E-mail: john.cortinas@noaa.gov, NESDIS: Ingrid Guch, Chief, Cooperative Research Programs Division, World Weather Building, Suite 701, 5200 Auth Road, Camp Springs, MD 20746 Office: (301) 763-8282 x152 Fax: (301) 763-8108 E-mail: ingrid.guch@noaa.gov, NMFS: Kathleen Jewett, 2725 Montlake Blvd East Seattle, WA 98112-2097 Office: (206) 860-3208 Fax: (206) 860-3442 E-mail: kathleen.jewett@noaa.gov.

, Silver Spring, Maryland 20910 Phone: (301) 734-1090.

Website Address:

<http://www.nrc.noaa.gov/ci>

RELATED PROGRAMS:

11.417 Sea Grant Support; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.430 Undersea Research; 11.431 Climate and Atmospheric Research; 11.459 Weather and Air Quality Research; 11.460 Special Oceanic and Atmospheric Projects; 11.462 Hydrologic Research; 11.468 Applied Meteorological Research; 11.473 Coastal Services Center

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Cooperative Institute for Climate Applications Research; Cooperative Institute for Climate Science; Cooperative Institute for Alaska Research; Cooperative Institute for Limnology and Ecosystem Research; Northern Gulf Institute. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to peer-review evaluations, technical reviews, administrative reviews, and external reviews, if applicable, taking into primary consideration scientific merit and application to the mission goals of NOAA.

11.482 CORAL REEF CONSERVATION PROGRAM

FEDERAL AGENCY:

National Oceanic and Atmospheric Administration (NOAA), Department of Commerce

AUTHORIZATION:

Coral Reef Conservation Act of 2000, 16 U.S.C 6401-6403.

OBJECTIVES:

To provide matching grants of financial assistance in support of coral reef conservation programs and projects that preserve, sustain, and restore U.S. and international coral reef ecosystems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awards made under this program may be used to support programmatic and project expenses related to coral reef conservation activities conducted by U.S. States and Territories, Regional Fishery Management Councils with jurisdiction over coral reefs, and International partners in four key regions, and project costs associated with research studies and conservation activities undertaken by other eligible non-governmental partner organizations in the U.S. The Coral Reef Conservation Act (16 USC6403) stipulates that at least 40% of funding must go to recipients in the Pacific and at least 40% must go to recipients in the Atlantic/Caribbean. In addition, 6403(g) requires that only projects that are consistent with the National Coral Reef Action Strategy and meet the ten specific criteria listed in that section may be funded through this program.

Applicant Eligibility:

Institutions of higher education, non-profit organizations, commercial organizations, local and Indian tribal government agencies, foreign governments, relevant Regional Fishery Management Councils (i.e., Caribbean Fishery Management Council, the Gulf of Mexico Fishery Management Council, the South Atlantic Fishery Management Council, and the Western Pacific Regional Fishery Management Council), and State, Territorial and Commonwealth resource management agencies that were appointed by their respective Governors to serve as the primary point of contact agencies for coral reef conservation activities in each of the jurisdictions of American Samoa, Florida, the Commonwealth of the Northern Mariana Islands, Guam, Hawaii, Puerto Rico, and the U.S. Virgin Islands.

Beneficiary Eligibility:

Organizations and individuals with professional expertise in managing and conserving coral reef ecosystems in the U.S. and internationally.

Credentials/Documentation:

Proposals with statements of work and estimated budgets. Costs will be determined in accordance with OMB Circulars (1) A-21 for agreements with education institutions; (2) A-87 for State and local governments; and (3) A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-applications are required for some funding categories. Environmental impact information is required for this program for projects that are not covered by the CRCPs PEA/FONSI. E.O. 12372, "Intergovernmental Review of Federal Programs" applies to some of the funding categories administered by the CRCP. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Proposals should be submitted through Grants.Gov. Applicants will need to enter the Funding Opportunity and/or CFDA number to access the application package and instructions. Application packages will then be forwarded directly to the applicable federal program. Proposals are subject to the requirements of 15 CFR Parts 14 and 24, as applicable.

Award Procedure:

Awards are made on the basis of proposals evaluations, technical reviews and administrative reviews, if applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 150 to 210 days after the proposal submission deadline.

Appeals:

Not Applicable.

Renewals:

No-cost extension requests will be considered by the federal program office only if the recipient has submitted all required performance reports. At least sixty days prior to the end of the award, recipients must discuss with their federal program officer expected reprogramming and extensions requests. After preliminary negotiation on proposed projects and extensions, formal written reprogramming and extension requests should be received by the federal program office 45 days prior to the end date of the award. The federal program office will not recommend approval for any retroactive extension request (received after the end date of the award). Recipients should submit one extension request per award. With sufficient justification, the federal program office will recommend approval of a no-cost extension. Although the federal program office discourages extensions of more than 12 months, the federal program office may recommend approval in some cases. Renewal of an award to increase funding or extend the period of performance is at the total discretion of the Department.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Per the Coral Reef Conservation Act of 2000 (16 USC 6401 et seq; specifically 6403) Federal funds may not exceed 50% of the total cost of a project unless a waiver to this requirement is obtained by the applicant. Provision of a waiver is at the discretion of the CRCP Program Manager, who was delegated authority for this purpose by the NOAA Administrator. Matching funds contributed by the applicant may be comprised of cash, in-kind contributions and/or other non-cash support. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support for projects may be provided for periods up to 3 years in annual increments. See the following for information on how assistance is awarded/released: Funds are released as required and agreed to in the Standard Terms and Conditions document applicable to each grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required on a semi-annual basis. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be retained in accordance with 15 CFR Parts 14 and 24 for institutions of higher education, other non-profit organizations, and for State and local governments.

Account Identification:

13-1450-0-1-306.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est not reported.; FY 11 est \$11,000,000 - For FY2009 and FY2010, funding for Coral Reef Conservation grants was provided within several other NOAA CFDA programs.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2009 - Since 2000, the NOAA Coral Reef Conservation Program (CRCP) has funded a number of competitive awards supporting a variety of governmental and non-governmental partners that are working to ensure the conservation of U.S. and international coral reef ecosystems. Funded efforts in the past addressed both local and national conservation priorities, and focused on key threats to coral reefs identified in the National Coral Reef Action Strategy and other policy documents such as the Coral Reef Local Action Strategies developed by States and Territories in conjunction with the

U.S. Coral Reef Task Force. Fiscal Year 2010: FY 2010 - The NOAA Coral Reef Conservation Program (CRCP) will continue to fund competitive awards supporting a variety of governmental and non-governmental partners that are working to ensure the conservation of U.S. and international coral reef ecosystems. Funded efforts address both local and national conservation priorities, and following the publication of the CRCPs 20-year Goals and Objectives and the CRCP International Strategy, are targeted to focus primarily on the three key threats to coral reef ecosystems: climate change, fishing impacts, and land-based sources of pollution as well as supporting efforts to designate and manage marine protected areas that encompass coral reefs. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Allowable costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes; OMB Circular A-122 for nonprofit and for-profit organizations; OMB Circular A-21 for institutions of higher education; and 48 CFR Part 31 for commercial organizations. Financial assistance management will be in accordance with 15 CFR Part 14 for institutions of higher education, hospitals, and other non-profit and commercial organizations, and with 15 CFR Part 24 for state and local governments. Further guidelines are outlined in Department Administrative Order 203-36, dated May 15, 1985 (Department of Commerce Grants Administration) and also the NOAA Grants and Cooperative Agreement Policy Manual. The CRCP Grant Program Implementation Guidelines are also applicable to these awards. The guidelines were first published in 2002; final revised guidelines that reflect the restructured CRCP grant program will be published in the June 2010 Omnibus FRN.

Regional or Local Office:

None. Katherine Andrews, Program Manager, NOAA Coral Reef Conservation Program, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910. (301) 713-3155. Jenny Waddell, Grants and External Funding Coordinator and CRCP Federal Program Officer, NOAA Coral Reef Conservation Program, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910. (301) 713-3155. Jennifer Koss, Federal Program Officer, NOAA Coral Reef Conservation Program and NMFS Office of Habitat Conservation, 1315 East-West Hwy, SSMC4-N/ORM1, Silver Spring, MD 20910. (301) 713-4300.

Headquarters Office:

Jenny Waddell, 1305 East-West Hwy, SSMC4-N/ORM1, Silver Spring, Maryland 20910 Phone: (301) 713-3155.

Website Address:

<http://coralreef.noaa.gov>

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.430 Undersea Research; 11.441 Regional Fishery Management Councils; 11.463 Habitat Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: Since 2000, the CRCP has provided funding to a variety of recipient types to support projects in the following categories: coral reef management, coral reef monitoring, coral reef research, international coral reef conservation, Regional Fishery Management Councils awards for coral reef fisheries projects, and general coral reef conservation. Each of these funding categories has different priorities and eligibility requirements, which are described in annual funding announcements. Examples of funded projects, which number in the hundreds, are provided below by program. Coral reef management awards provide programmatic funding to support personnel, equipment and supplies, and travel for staff within state and territorial resource management agencies. Awards also support funding for projects conducted by local staff and partners; such projects may include activities related to direct action, MPA development and management, outreach and education efforts, management-driven research projects, watershed management activities, and other conservation-focused projects. This is the largest funding category in terms of funding provided; \$3.5M was awarded in FY09. Coral reef monitoring awards provide programmatic funding to support personnel, equipment and supplies, and local travel for staff within state and territorial resource management agencies that are conducting long-term monitoring of coral reef

habitats, the communities that live in association with them, and the environmental factors that influence their condition (e.g., sediment and water quality). Funds also support data management and analysis, and data reporting efforts, such as in CRCPs State of the Reefs reports (<http://ccma.nos.noaa.gov/ecosystems/coralreef/coral2008/welcome.html>). Coral reef research awards fund members of the academic community to conduct research projects in coral reef ecosystems. These awards have been competed by regional NURP centers to leverage NOAA resources and support high-caliber science. Research results have supported management actions of Regional Fishery Management Councils and other State and Federal agencies. International coral reef conservation awards, in the form of relatively small competitive grants, have been provided to a variety of recipients around the world to conduct projects within specific themes such as coral reef MPA and MPA network development, socioeconomic training and monitoring projects, watershed management, and reduction of land-based sources of pollution to coral reefs. Support to FMCs has provided funding that made it possible for these regional councils to engage with CRCP on coral reef fishery related issues. Awards have funded personnel and staff travel as well as a variety of mapping projects (particularly in important areas for fisheries such as MPAs/closed areas, spawning aggregation sites, etc.), conferences and workshops related to coral reef fishery management, research studies and surveys, and production of outreach materials (e.g., brochures and calendars) for fishers. General coral reef conservation awards support the involvement of community groups and smaller NGOs in coral reef conservation projects and support a wide variety of activities. Awards provide small grants for projects focused on outreach, community engagement, capacity building, and various comparative studies related to fisheries, land-based sources of pollution and climate change.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are subject to office evaluations, technical reviews, administrative reviews, and externals reviews, if applicable. Recommendations for funding to the selecting official take into consideration factors such as scientific/technical merit, applicability to the goals of NOAA CRCP and jurisdictional partners, direct benefit to coral reef resources and ecosystems, past performance of the recipient, cost-effectiveness, adequacy of the project implementation plan, and availability of matching funds, as described in annual Federal Funding Opportunity notices for each competition.

11.550 PUBLIC TELECOMMUNICATIONS FACILITIES PLANNING AND CONSTRUCTION

(PTFP)

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

Communications Act of 1934, Title III, Part IV, 47 U.S.C 390-394, 397-399 (b) as amended, Public Law 87-447, 76 Stat. 64; Science, State, Justice, Commerce, and Related Agency Appropriations Act of 2006; Public Law 109-108.

OBJECTIVES:

To assist in the planning, acquisition, installation, and modernization of public telecommunications facilities, through planning grants and matching construction grants, in order to: (a) extend delivery of public telecommunications services to as many citizens of the United States and territories as possible by the most efficient and economical means, including the use of broadcast and nonbroadcast technologies; (b) increase public telecommunications services and facilities available to, operated by and owned by minorities and women; and (c) strengthen the capability of existing public television and radio stations to provide public telecommunications service to the public.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants for the planning and construction of public telecommunications facilities. Matching grants are given for apparatus necessary for the production, dissemination, interconnection, captioning, broadcast, or other distribution of noncommercial educational, cultural radio and television programs, as well as

noncommercial instructional or informational services. Buildings and renovations of buildings, land, operational expenses, and indirect costs are ineligible.

Applicant Eligibility:

A public or noncommercial educational broadcast station; a noncommercial telecommunications entity; a system of public telecommunications entities; a nonprofit foundation, corporation, institution or association organized primarily for educational or cultural purposes; State, local, and Indian Tribal governments (or an agency thereof); or a political or special purpose subdivision of a State. Special consideration is given to applications which would increase minority and women's ownership of, operation of, and participation in public telecommunications entities.

Beneficiary Eligibility:

General public and students.

Credentials/Documentation:

All applicants must supply proof of eligibility. Applicants must supply proof of their Federal Communications Commission (FCC) license, permit, or application if FCC authorization is required for any aspect of the proposed project. Applicants must provide assurances required under Program Rules and Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Program staff members are available to provide technical and other assistance in preparing application forms. Applicants must coordinate their project plans with the State educational television, radio, or telecommunications agency and must file an application with the FCC if an FCC authorization is required for the proposed project. Applicants must show evidence of participation in comprehensive planning in the area to be served including an evaluation of alternate technologies. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The application forms as furnished by the Federal Agency and approved by OMB must be used for this program. The Application Kit can be requested from the Agency or retrieved from the web-site at www.ntia.doc.gov/ptfp. By the deadline, applicants must file a completed PTFP Application Form, Project Narrative, Project Budget forms, relevant exhibits, CD-511, CD 346, SF 424B, and SF LLL. Where applicable, applicants must notify the State Telecommunications Agency, State Single Point of Contact, and the Federal Communications Commission. Applications postmarked or received after the deadline are returned to applicants.

Award Procedure:

Applications are reviewed on the basis of funding criteria for construction and planning applications as set forth in the regulations. The Administrator of NTIA may select an application for funding, in whole or in part, and will notify the applicant, the relevant State agency, the FCC, and the Corporation for Public Broadcasting.

Deadlines:

Dec 02, 2009 The Administrator of NTIA shall select and publish in the Federal Register the date by which applications must be filed in order to be considered for funding in the current fiscal year. For fiscal year 2010 consideration, the deadline for filing most applications was February 4, 2010.

Range of Approval/Disapproval Time:

From 120 to 180 days. Awards for the current fiscal year are generally announced in 180 days.

Appeals:

Not Applicable.

Renewals:

Applications that are not funded may be reactivated upon request of the applicant during the two consecutive years following the applicant's initial

receipt by the agency, as provided by program regulations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: 47 U.S.C 392 (b) and (c); 15 CFR 2301.6. The Administrator may provide up to 100 percent of the funds necessary for the planning of a public telecommunications facility. The maximum amount of a federal grant for the construction of a public telecommunication facility is 75 percent of the eligible project costs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects must be completed within a reasonable period of time, generally one to two years as specified in the award document. Prompt completion is expected. Extensions, however, may be requested in writing if circumstances require additional time. Extensions must be approved by the Grants Officer. See the following for information on how assistance is awarded/released: Financial assistance is generally released as required during the award period.

Reports:

Construction Schedules, Quarterly Performance Reports, and Annula Reports. No cash reports are required. During construction, quarterly progress reports must be submitted. No expenditure reports are required. The Federal Government maintains a ten-year reversionary interest in the use of the facilities from the date of completion. Annual reports must be submitted before April 1st of each year during such period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of construction grants shall keep a complete and itemized inventory of equipment for all public telecommunications facilities under their control. All grantees shall keep complete, current and accessible financial records on all funds associated with the total project. All records of accounts, supporting documents and project records must be retained by the recipient and subrecipient for a period of three years from the date of submission of the final Financial Status Report.

Account Identification:

13-0551-0-1-503.

Obligations:

(Project Grants) FY 09 \$19,004,894; FY 10 est \$20,771,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$5,122 to \$731,924. Average \$177,889.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Telecommunications Facilities Program and Policy Statement, Final Rules, 15 CFR 2301 printed in 61 FR 57966 (November 8, 1996). Written request to the Director, Public Telecommunications Facilities Program, will obtain application forms containing regulations, authorizing legislation, and other pertinent materials. Costs will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State, Local, and Indian Tribal Governments, and with A-122 for nonprofit organizations. Grants management will be in accordance with the provisions of OMB Circular No. A-110 for institutions of higher education and other nonprofit organizations and with 15 CFR Part 24 for State and Local Governments.

Regional or Local Office:

None.

Headquarters Office:

William Cooperman Room 4812, Department of Commerce, 1401 Constitution Avenue, NW, Washington, District of Columbia 20230 Email:

wcooperman@ntia.doc.gov Phone: (202) 482-5802 Fax: (202) 482-2156

Website Address:

http://www.ntia.doc.gov/ptfp.

RELATED PROGRAMS:

10.861 Public Television Station Digital Transition Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) A project to activate a new station, free103point9, Inc. in Acra, NY, will bring the first public radio signal to 12,574 people; 2) A project to establish a new low power digital television system for the Navajo Nation Office of Broadcast Services. The network will be based in Window Rock, AZ, and provide first service to 146,250 people on the Navajo Reservation which covers part of Utah, Colorado, Arizona, and New Mexico. The low power stations will serve Crownpoint, NM; Shiprock, NM; and Window Rock, AZ; and 3) a project to extend the distance learning system of Rowan-Cabarrus Community College (RCCC), Salisbury, NC, to an interactive distance education classroom at the North Carolina Research Campus (NCRC) in Kannapolis, by purchasing interactive classroom and connectivity equipment. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In order to achieve the objectives of Section 393 of the Communications Act, the Administrator, in determining whether to approve a construction grant application in whole or in part and the amount of such grant, will consider the projects conformance with priorities, and funding criteria set forth in the Act and the PTFP Rules and Regulations.

11.553 SPECIAL PROJECTS

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

Varies, includes American Reinvestment and Recovery Act, Public Law 111-5, 123 Stat. 115.

OBJECTIVES:

To assist various organizations identified by Congress or through non-competitive grants that promote the availability and support new sources of advanced telecommunications.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance is provided to implement specific projects identified by Congress or through non-competitive grants for projects that promote the availability and support new sources of advanced telecommunications.

Applicant Eligibility:

Organizations specifically identified by Congress in agency appropriations legislation or other authority that provides for non-competitive grants.

Beneficiary Eligibility:

Beneficiaries are those served by the organizations receiving awards.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. None.

Award Procedure:

Submit all standard forms (SF-424 Series Forms), project proposal and budget following the format specified by the Department of Commerce.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

All award decisions are final. There is no administrative appeal process.

Renewals:

Renewals are contingent upon future Congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies depending on project. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for 3 years from the date on which the final expenditure report is submitted to NIST.

Account Identification:

13-0515-0-1-376; 13-0500-0-1-376; 13-0556-0-2-376.

Obligations:

(Project Grants) FY 09 \$5,589; FY 10 est \$6,700; FY 11 est \$3,500

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wayne A. Ritchie, Department of Commerce, 1401 Constitution Ave. NW, Room 4812, NTIA/OTIA, Washington, District of Columbia 20230 Email: writch@ntia.doc.gov Phone: (202) 482-5515.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

11.557 BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM (BTOP)

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Public Law No. 111-5.

OBJECTIVES:

The purposes of the Broadband Technology Opportunities Program (BTOP) include accelerating broadband deployment in unserved and underserved areas and ensuring that strategic institutions which are likely to create jobs or provide significant public benefits have broadband connections.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive grants under the Broadband Technology Opportunities Program will be awarded to: (1) provide access to broadband service to U.S. consumers in unserved areas; (2) provide improved access to broadband in underserved areas; (3) provide broadband support and services to strategic institutions; (4) improve broadband access by public safety agencies; and (5) stimulate broadband demand, economic growth, and job creation. Competitive grants under the Broadband Technology Opportunities Program will be awarded to: (1) provide access to broadband service to U.S. consumers in unserved areas; (2) provide improved access to broadband in underserved areas; (3) provide broadband support and services to strategic institutions; (4) improve broadband access by public safety agencies; and (5) stimulate broadband demand, economic growth, and job creation.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicants must certify that the organization is in compliance, or will comply, with the Department of Commerce assurances included in the standard application. Applicants must also: provide assurances that they will substantially complete funded projects in accordance with project timelines, not to exceed two years following an award; provide a detailed explanation of how any amount received under the program will be used to carry out the purposes of the Program in an efficient and expeditious manner; show that the project would not have been implemented during the grant period without Federal grant assistance; demonstrate that it is capable of carrying out the project or function to which the application relates in a competent manner in compliance with all applicable Federal, State, and local laws; demonstrate that it will appropriate (if the applicant is a State or local government agency) or otherwise unconditionally obligate, from non-Federal sources, funds required to meet the requirements of Section 6001, subsection (f); disclose the source and amount of other Federal or State funding sources from which the applicant receives, or has applied for, funding for activities or projects to which the application relates; and provide such assurances and procedures as may be required to ensure that grant funds are used and accounted for in an appropriate manner. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Program staff members are available to provide technical and other assistance to potential applicants regarding the requirements of the Broadband Technology Opportunities Program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as approved by the Office of Management and Budget (OMB) and provided by NTIA must be used for this program, including the Standard Form 424 (Rev 4-92) and the required certifications.

Award Procedure:

Applications are reviewed on the basis of funding criteria as established in the Notice of Funding Availability. The Assistant Secretary, or its designate, may select an application for funding, in whole or in part, and will notify the applicant of final decisions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. The NTIA Administrator shall select and publish in the Federal Register the date by which new applications must be filed in order to be considered for funding in the referenced fiscal year.

Awards for the Program will be announced no later than September 30, 2010.

Appeals:

All award decisions are final. There is no administrative appeal process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Public Law 111-5-February 17, 2008. The Federal share of any project may not exceed 80 percent unless a waiver is granted to applicant.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The award period for successful applicants is up to three years, and the project must be substantially complete in two years as specified in Public Law 111-5. Financial assistance is generally released as required during the award. Funds will be awarded in full for the project, and recipients will use the advance payment method of disbursing grant funds. See the following for information on how assistance is awarded/released: Through Automated Standard Application for Payment system.

Reports:

Financial and progress reports are to be submitted in accordance with the terms and conditions of the award, and are due on a quarterly basis. The NTIA Assistant Secretary, or its designate, may establish additional reporting and information requirements for any recipient of an award.

As required by Section 1512 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) and OMB guidance, each recipient is required to report the following information to the NTIA ten days after the end of each calendar quarter. As prescribed by the Recovery Act, these reports will include:

1. The total amount of recovery funds received from NTIA.
2. The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated allotment balances to facilitate reconciliations.
3. A detailed list of all projects or activities for which Recovery Act funds were obligated and expended, including
 - a. The name of the project or activity;
 - b. A description of the project or activity;
 - c. An evaluation of the completion status of the project or activity;
 - d. An estimate of the number of jobs created and the number of jobs retained by the project or activity; and
 - e. For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name the person to contact at the agency if there are concerns with the infrastructure investment. No cash reports are required. As required by Section 1512 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) and OMB guidance, each recipient is required to report the following information to the NTIA ten days after the end of each calendar quarter. As prescribed by the Recovery Act, these reports will include:
 1. The total amount of recovery funds received from NTIA.
 2. The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated allotment

balances to facilitate reconciliations.

3. A detailed list of all projects or activities for which Recovery Act funds were obligated and expended, including

- a. The name of the project or activity;
- b. A description of the project or activity;
- c. An evaluation of the completion status of the project or activity;
- d. An estimate of the number of jobs created and the number of jobs retained by the project or activity; and
- e. For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name the person to contact at the agency if there are concerns with the infrastructure investment. Financial and progress reports are to be submitted in accordance with the terms and conditions of the award, and are due on a quarterly basis. The NTIA Assistant Secretary, or its designate, may establish additional reporting and information requirements for any recipient of an award. Progress reports and site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All BTOP awards are subject to audit by the DOCs OIG under the single audit requirements outlined in OMB Circular A-133 (<http://www.whitehouse.gov/omb/rewrite/circulars/a133/a133.html>). Colleges and universities; State, local, and tribal governments; and non-profits must adhere to the audit requirements of OMB Circular A-133. Commercial organizations must adhere to the requirements of OMB Circular A-133, B Subpart B, 235.

Non-Federal, non-profit entities are subject to the Single Audit Act of 1996 and therefore also have a single audit requirement under OMB Circular A-133. Non-Federal, non-profit entities that expend BTOP award funds in excess of \$500,000 in one year should have a program-specific audit conducted for that year. Non-Federal, non-profit entities that expend less than \$500,000 of BTOP award funds in one year are generally exempt from Federal audit requirements for that year, except in certain cases noted in OMB Circular No. A-133.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients or subrecipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for three years from the date on which the final expenditure report is submitted.

Account Identification:

13-0554-0-1-376.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$4,199,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$176,400 to \$126,323,296.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: None. Fiscal Year 2010: See under section 170. Fiscal Year 2011: None.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program regulations, application forms, and guidelines required of applicants can be found at <http://www2.ntia.doc.gov/resources>. Cost will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State and local governments, and with A-122 for nonprofit organizations. Grants management will be in accordance with the provisions of 15 CFR Part 14 for institutions of higher education, other nonprofit organizations, and for-profit organizations; and 15 CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Anthony Wilhelm Department of Commerce, Broadband Technology Opportunities Program, Office of Telecommunications Applications, 1401 Constitution Avenue, NW, Room 4812, Washington, District of Columbia 20230 Email: bttop@ntia.doc.gov Phone: (202) 482-2048.

Website Address:

<http://www.ntia.doc.gov/broadbandgrants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Comprehensive Community Infrastructure (CCI):

CCI/Infrastructure projects are designed to deploy new or improved broadband Internet facilities (e.g., laying new fiber-optic cables or upgrading wireless towers) and to connect community anchor institutions such as schools, libraries, hospitals, and public safety facilities. These networks help ensure sustainable community growth and provide the foundation for enhanced household and business broadband Internet services.

West Virginia Statewide Broadband Infrastructure Project

The West Virginia Statewide Broadband Infrastructure Project was funded to bring high-speed Internet access to a vastly underserved region by expanding the states existing microwave public safety network and adding about 2,400 miles of fiber. The expanded statewide network expects to directly connect more than 1,000 anchor institutions, including public safety agencies, public libraries, schools, government offices, and other critical community facilities at speeds of up to 45 Mbps. As a result of this project, every K12 school in the state will have a high-speed Internet connection. In addition, access to healthcare, distance learning opportunities, and broadband and video applications for emergency first responders will be greatly expanded. The project intends to spur affordable broadband service impacting more than 700,000 households, 110,000 businesses, and 1,500 anchor institutions, by allowing local Internet service providers to connect to the projects open network.

Public Computer Centers (PCC):

PCC projects are designed to establish new public computer facilities or upgrade existing ones that provide broadband access to the general public or to specific vulnerable populations, such as low-income individuals, the unemployed, seniors, children, minorities, and people with disabilities.

SmartChicago Public Computer Centers

According to a 2009 study commissioned by the City of Chicago, as many as 40 percent of city residents lack home broadband access, making libraries and other public computer centers essential resources for employment, training, and educational opportunities. Over 60 percent of Chicago libraries currently report average wait times for a computer of three hours or longer. The SmartChicago Public Computer Centers project proposes a wide-scale upgrade and expansion of workstation capacity at more than 150 locations, including city libraries, community colleges, public housing sites, workforce centers, senior centers, after-school programs, and other community locations throughout Chicago. The project will provide hundreds of thousands of hours of training, including digital literacy instruction and assistance for job seekers. The project plans a specific focus on low-income residents, at-risk youth, senior citizens, people with disabilities, and the unemployed.

Sustainable Broadband Adoption (SBA):

SBA projects are designed to focus on increasing broadband Internet usage and adoption, including among vulnerable populations where broadband technology traditionally has been underutilized. Many projects include digital literacy training and outreach campaigns to increase the relevance of broadband in peoples everyday lives.

Broadband Awareness and Adoption

The Broadband Awareness and Adoption project of the California Emerging Technology Fund (CETF) proposes to increase adoption of broadband in vulnerable and low-income communities in Los Angeles, the Central Valley, Orange County, San Diego, and the Inland Empire. CETF has identified key populations with low broadband adoption rates and developed partnerships with organizations uniquely qualified to reach out to each of these populations. Working with these partners, CETF plans to coordinate a targeted media

campaign, bolstered by outreach from trusted ambassadors and grassroots mobilization, to reach 5 million multi-lingual residents. CETF intends to provide digital literacy training for more than 678,000 low-income individuals, including more than 300,000 youth. The project expects to increase household adoption of broadband in these high-priority, low-income communities by more than 133,000 households. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In determining whether to approve an application, in whole or in part, and the amount of the grant, the NTIA Assistant Secretary, or its designate, will consider the projects conformance with the program purposes and funding criteria set forth in the legislation and the Notice of Funding Availability.

11.558 STATE BROADBAND DATA AND DEVELOPMENT GRANT PROGRAM

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

The Broadband Data Services Improvement Act, Public Law No. 110-385, 122 Stat. 4100 (October 10, 2008) and The American Recovery and Reinvestment Act of 2009, Public Law No. 111-5.

OBJECTIVES:

To establish a competitive grant program to develop and implement statewide initiatives to identify and track the availability and adoption of broadband services within each State.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding under the State Broadband Data and Development Grants Program will be awarded to provide for the collection of mapping data and the creation of a geographic broadband inventory map, within each state, so as to promote the development and maintenance of a comprehensive nationwide inventory map of existing broadband service capability and availability in the United States. Though priority will be given to mapping-related uses, other uses provided for by the statute include: identification and tracking of low broadband deployment levels, broadband adoption rates, and possible broadband suppliers; identification of barriers to adoption of broadband and related technology services; identification of broadband connection speeds; creation and facilitation of technology planning teams; facilitation of collaborations between providers and information technology companies to encourage broadband deployment and use; establishment of programs to improve computer ownership and Internet access; collection and analysis of market data on broadband use and demand; and facilitation of information exchange between public and private sectors regarding broadband use and demand. Funding under the State Broadband Data and Development Grants Program will be awarded to provide for the collection of mapping data and the creation of a geographic broadband inventory map, within each state, so as to promote the development and maintenance of a comprehensive nationwide inventory map of existing broadband service capability and availability in the United States. Though priority will be given to mapping-related uses, other uses provided for by the statute include: identification and tracking of low broadband deployment levels, broadband adoption rates, and possible broadband suppliers; identification of barriers to adoption of broadband and related technology services; identification of broadband connection speeds; creation and facilitation of technology planning teams; facilitation of collaborations between providers and information technology companies to encourage broadband deployment and use; establishment of programs to improve computer ownership and Internet access; collection and analysis of market data on broadband use and demand; and facilitation of information exchange between public and private sectors regarding broadband use and demand. Up to \$350 million.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicants must certify that the organization is in compliance or will comply with the Department of Commerce requirements as provided in the Notice of Funds Availability (NOFA) and as included in the standard application and that they have been designated by the State as the single eligible entity designated by the State to receive a grant under this Program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Program staff members are available to provide technical and other assistance to potential applicants regarding the requirements of the State Broadband Data and Development Grant Program in preparing application forms. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as approved by OMB and provided by NTIA must be used for this program, including the Standard Form 424 (Rev 4-92) and the required certifications.

Award Procedure:

Applications are reviewed on the basis of funding criteria as established in the NOFA. The Secretary of Commerce, or its designate, may select an application for funding, in whole or in part, and will notify the applicant of final decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The Secretary of Commerce, or its designate, shall select and publish in the NOFA the date by which new applications must be filed in order to be considered for funding in the referenced fiscal year.

Awards for the Program will be announced within 60 days from the filing of an application.

Appeals:

All award decisions are final. There is no administrative appeal process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Public Law 110-385-Oct. 10, 2008. To be eligible to receive a grant an eligible entity shall contribute matching non-Federal funds in an amount equal to not less than 20 percent of the total amount of the grant.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Successful applicants will implement their initiatives in the most efficient and expeditious manner practicable while complying with all applicable Federal, state and local laws and accounting for grant funds in an appropriate manner. The actual time will vary depending on the complexity of a particular project, although all projects must be completed before such deadline as the Secretary of Commerce, or its designate, shall select and publish in the NOFA. No-cost extensions may be requested in writing if circumstances require additional time, and extensions must be approved by the Grants Officer. Financial assistance is generally released as required during the award. See the following for information on how assistance is awarded/released: Financial assistance is generally released as required during the award.

Reports:

Progress reports are to be submitted. Financial reports are to be submitted. In accordance with the terms and conditions of the award and as required pursuant to section 1512 of the American Recovery and Reinvestment Act of 2009,

Public Law No. 111-5. Grant recipients are also required to make such reports available for posting on the Department of Commerce website. Grant recipients shall provide collected data of such type and in such format as required in the NOFA and shall make such data available to the Department of Commerce, or its designate, for processing and posting on the Internet. The NTIA Assistant Secretary may establish additional reporting and information requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be conducted in accordance with the terms and conditions of the award and OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients or subrecipients must be maintained in accordance with the terms of the award. Generally, the recipient must retain records for three years from the date on which the final expenditure report is submitted.

Account Identification:

13-0554-0-1-376.

Obligations:

(Project Grants) FY 09 \$325,000; FY 10 est \$330,222,000; FY 11 est \$0

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The application package containing the NOFA, application forms and guidelines can be obtained at www.ntia.doc.gov/recovery. Cost will be determined in accordance with OMB Circular Nos. A-21 for institutions of higher education, A-87 for State and local governments, and with A-122 for nonprofit organizations. Grants management will be in accordance with the provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and for-profit organizations; and 15CFR Part 24 for State and local governments.

Regional or Local Office:

None.

Headquarters Office:

Ann Neville National Telecommunications and Information Administration, Room 4812, Department of Commerce, 1401 Constitution Avenue NW, Washington, District of Columbia DC 20230 Email: aneville@ntia.doc.gov Phone: (202) 482-5802

Website Address:

<http://www.ntia.doc.gov/broadbandgrants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In determining whether to approve an application, in whole or in part, and the amount of the grant, the Secretary of Commerce, or its designate, will consider the projects conformance with the Program purposes and funding criteria set forth in the statute and the NOFA.

11.559 LOW-POWER TELEVISION AND TRANSLATOR UPGRADE PROGRAM

FEDERAL AGENCY:

National Telecommunications and Information Administration, Department of Commerce

AUTHORIZATION:

Communications Act of 1934, 47 U.S.C.309(j)(8)(E) as amended, Public Law 109-171 Deficit Reduction Act of 2005, Title III - Digital Television Transition and Public Safety, February 8, 2006.

OBJECTIVES:

The Low-Power Television and Translator Upgrade Program (Upgrade Program) reimburses licensees of eligible low-power television stations for equipment to upgrade low-power television stations from analog to digital in eligible rural communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Provides reimbursement toward the cost of equipment to upgrade low-power television stations from analog to digital broadcasting. The program will provide reimbursement for equipment to upgrade low-power stations in eligible rural communities from analog to digital.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

All applicants must supply proof of eligibility. Applicants must certify proof of Federal Communications Commission (FCC) license. Applicants must provide assurances required under Program Rules and Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The application forms as furnished by the Federal Agency and approved by OMB must be used for this program. The Application Kit can be retrieved from the web-site at www.ntia.doc.gov/lptv. By the deadlines, applicants must file a completed application form and required certifications. Applications postmarked or received after the deadline will be held until the next grant round.

Award Procedure:

Applications are reviewed for completeness and verified with FCC data. Priority compensation shall be given to eligible low-power television stations in which the license is held by a non-profit corporation or eligible low-power television stations that serve rural areas of fewer than 10,000 viewers.

Deadlines:

Sep 01, 2010: The Priority Round Closing Date. Applications for each grant round must be received at NTIA by 5 p.m., Eastern Time, the first business day of each subsequent month as long as funds are available (Closing Dates). Applications received after any of the monthly Closing Dates will be held until the next grant round.

Range of Approval/Disapproval Time:

From 60 to 90 days. The Program will conduct a grant round for those applicants that qualify for priority reimbursement, then will conduct grant rounds on a continuing basis until all funds are awarded.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments are generally within 90 days. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits may be conducted in accordance with the terms and conditions of the award and Department of Commerce Financial Assistance Standard Terms and Conditions.

Records:

Grant recipient must retain records for three years.

Account Identification:

13-5396-0-2-376.

Obligations:

(Project Grants) FY 09 \$652,000; FY 10 est \$44,166,000; FY 11 est \$2,200,000 -

Range and Average of Financial Assistance:

\$6,000-\$20,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Available from www.ntia.doc.gov/lptv

Regional or Local Office:

None.

Headquarters Office:

Mr. William Cooperman Office of Telecommunications and Information Applications/NTIA
1401 Constitution Avenue, NW
Room 4812, Washington, District of Columbia 20230 Email: lptv@ntia.doc.gov Phone: 202 482-5802 Fax: 202 482-2156

Website Address:

<http://www.ntia.doc.gov/lptv>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Priority reimbursement shall be given to eligible low-power television stations in which the license is held by a non-profit corporation and eligible low-power television stations that serve rural areas of fewer than 10,000 viewers.

11.802 MINORITY BUSINESS RESOURCE DEVELOPMENT

FEDERAL AGENCY:

Department of Commerce

AUTHORIZATION:

Executive Order 11625, October 13, 1971, 15 U.S.C 1512.

OBJECTIVES:

The objectives of the overall program are to 1) provide financial assistance awards for projects that affect or contribute to the establishment, preservation and strengthening of minority business enterprises (MBEs) as directed by congressional mandate, and 2) promote the development of novel approaches to increasing the strategic growth and global competitiveness of MBEs through the administration of pilot or demonstration projects.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Program funds in this category are restricted to the uses stipulated by congressional mandate, or for the specific uses identified as part of a pilot or demonstration project. Funds must be used for program-related costs. MBDA is subject to the availability of funds.

Applicant Eligibility:

Applicants eligible to provide services under pilot or demonstration projects are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions. Applicants for congressionally mandated projects are those specifically identified in applicable legislation.

Beneficiary Eligibility:

Congressionally mandated award beneficiaries are members of the minority business community. Pilot or demonstration project beneficiaries are minority business enterprises.

Credentials/Documentation:

Recipients are subject to the administrative requirements contained in 15 CFR Part 14 or 15 CFR Part 24, depending on the type of recipient. Eligible costs under the award will be determined in accordance with 2 C.F.R. part 220 (2007) (OMB Circular A-21, Cost Principles for Educational Organizations), 2 C.F.R. part 225 (2007) (OMB Circular A-87, Cost Principles for State, Local and Indian Tribal Governments) and 2 C.F.R. part 230 (2007) (OMB Circular A-122, Cost Principles for Nonprofit Organizations). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application packages will be made available on Grants.gov. Applicants must submit a program narrative, and applicable standard forms (SF) and Department of Commerce (CD) forms.

Award Procedure:

MBDA makes the funding recommendation to the Grants Officer. The Grants Officer will review the recommendation, process the funding request and execute the notice of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Unsuccessful applicants shall be notified in writing within ten days of notification to the successful applicant.

Appeals:

All decisions are final. There are no administrative appeals, however, unsuccessful applicants may request debriefing from MBDA.

Renewals:

Renewals are not anticipated, but may be considered based on Agency priorities, funding availability and performance of recipients.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Congressionally mandated awards typically do not require matching funds. Pilot projects may require non-Federal cost-sharing in the form of in-kind contributions, cash and/or program fees. Cost share requirements are outlined in the applicable Federal Register, Federal Funding Opportunity announcement and Grants.gov. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Congressionally mandated awards are typically funded for a one-year period. Pilot or demonstration projects may be funded as single-year or multi-year awards. See the following for information on how assistance is

awarded/released: Monthly drawdown of funds available.

Reports:

Financial (quarterly and annual) and program compliance and/or performance (semi-annual and final) reports are required. Cash reports are not applicable. At a minimum, a comprehensive final report is required. A completed SF-425 financial report is required quarterly. MBDA conducts periodic performance monitoring reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be performed in accordance with the requirements contained in Office of Management and Budget (OMB) Circular A-133. OMB Circular A-133 requires that non-profit organizations, government agencies, Indian tribes and educational institutions expending \$500,000 or more in federal funds during a one-year period conduct a single audit in accordance with guidelines outlined in the Circular. Commercial and other organizations not covered by OMB Circular A-133 will be subject to the audit requirements set forth in the terms and conditions of the award. In addition, audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

Documents, papers and financial records of grantees or subrecipients relating to the award are required to remain available to the Federal Government for three years from the date of submission of the final financial status report.

Account Identification:

13-0201-0-1-376.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$1,100,000; FY 11 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Minority Business Development Agency Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Office of Business Development, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, N.W., Washington, District of Columbia 20230 Phone: (202) 482-1940.

Website Address:

<http://www.mbda.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based on a panel evaluation of the applications. This evaluation includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources and costs.

11.805 MBDA BUSINESS CENTER

MBC

FEDERAL AGENCY:

Minority Business Development Agency, Department of Commerce

AUTHORIZATION:

Executive Order 11625, 15 U.S.C 1512.

OBJECTIVES:

The purpose of the MBDA Business Center (MBC) program is to provide strategic deal-making and business consulting services to eligible Minority Business Enterprises (MBEs). This program supports the Agency mission of promoting the growth and global competitiveness of U.S. businesses that are minority-owned. The MBC program is a key component of MBDA's overall portfolio of minority business development services, focusing on securing large public and/or private contracts and financing transactions, stimulating job creation and retention, and facilitating entry to global markets for eligible minority-owned businesses.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program funds in this category are to be used by MBC operators to provide services including, but not limited to, development of a pool of contracting and financing opportunities; direct matching of opportunities with qualified/vetted MBEs; execution of relationship management and deal sourcing initiatives; assisting MBEs in accessing global market opportunities; identification and securing of alternative sources of capital for MBEs; promotion of the advantages of achieving size and scale; educating MBEs on the benefits of strategic alternatives (i.e. mergers, acquisitions, joint ventures and/or divestitures); and provision of service referrals to MBEs of all sizes. The uses and use restrictions are more specifically defined in the applicable Announcement of Federal Funding Opportunity (FFO).

Applicant Eligibility:

Applicants eligible to operate MBC projects are nonprofit organizations, for-profit firms, State and local governments, Native American Tribal entities, and educational institutions.

Beneficiary Eligibility:

The MBC program serves all eligible minority business enterprises through direct services or through strategic partner referral. Eligible beneficiaries of this program are: African Americans, Hispanic Americans, Asian and Pacific Islander Americans, Native Americans (including Alaska Natives, Alaska Native Corporations and Tribal entities), Asian Indians and Hasidic Jews. See 15 C.F.R. 1400.1-2 and Executive Order 11625.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication conferences will be scheduled for most MBDA program competitions. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applications must be submitted electronically via Grants.Gov. Applicants must submit a program narrative, detailed budget and budget narrative and applicable standard forms (SF) and Department of Commerce (CD) forms. Application packages will be made available on Grants.gov.

Award Procedure:

Each application will be reviewed and evaluated by MBDA. The Agency will make funding recommendations to the Grants Officer. The Grants Officer will review the recommendations, process the funding requests and execute the notices of award. Name checks, verification of academic credentials and pre-award audits may be required of the recipient prior to or following an award. Electronic notification of award will be generated and forwarded to the selected recipient by the Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Unsuccessful applicants shall be notified in writing

within ten days of notification to the successful applicant.

Appeals:

All decisions are final. There are no administrative appeals; however, unsuccessful applicants may request a debriefing from MBDA.

Renewals:

From 60 to 90 days. MBC awards are typically made for a period of five years, with funding renewed on an annual basis at the discretion of MBDA and the Department of Commerce based upon the availability of funds, the MBCs performance level, and Agency priorities.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost share requirements are outlined in the applicable Federal Register Notice and the Announcement of Federal Funding Opportunity.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are generally made for a five-year period, but may be made for a shorter period at the discretion of MBDA. Method of awarding/releasing assistance: quarterly.

Reports:

Program compliance reports are required. Financial (quarterly and annual) reports are required. Performance progress reports (semi-annual and annual/final) are required for each program year. Expenditure reports are not applicable. Performance monitoring will be conducted by MBDA throughout the duration of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be performed in accordance with the requirements contained in Office of Management and Budget (OMB) Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. OMB Circular A-133 requires that non-profit organizations, government agencies, Indian tribes and educational institutions expending \$500,000 or more in federal funds during a one-year period conduct a single audit in accordance with guidelines outlined in the Circular. Commercial and other organizations not covered by OMB Circular A-133 will be subject to the audit requirements set forth in the terms and conditions of the award. In addition, audits may be conducted by the Department of Commerce Office of Inspector General and by other authorized federal agencies.

Records:

Documents, papers and financial records of grantees or subrecipients relating to the award are required to remain available to the Federal Government for three years from the date of submission of the final financial status report, unless a longer retention period is required under 15 CFR Section 14.53 or 15 CFR Section 24.42 (depending on the type of recipient).

Account Identification:

13-0201-0-1-376.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$0; FY 11 est \$9,556,012 - Program will start in FY 2011.

Range and Average of Financial Assistance:

\$225,000 to \$600,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not applicable; program will start in FY 2011. Fiscal Year 2010: Not applicable; program will start in FY 2011. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Minority Business Development Agency Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rita Gonzales, Program Manager, Office of Business Development, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, N.W., Washington, District of Columbia 20230. Phone: (202) 482-1940.

Website Address:

http://www.mbda.gov

RELATED PROGRAMS:

11.800 Minority Business Enterprise Centers; 11.801 Native American Business Enterprise Centers; 11.802 Minority Business Resource Development; 11.803 Minority Business Opportunity Center (MBOC)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications submitted are competitively evaluated and awarded. Selection criteria includes such factors as capability and experience of staff assigned to the project, techniques and methodology, resources, costs, and alignment with program priorities. Past performance under an MBDA, Department of Commerce or other federal award may also be considered when selecting proposals for an MBC award.

DEPARTMENT OF DEFENSE

12.117 ARRA COOPERATIVE AGREEMENTS, NEW MEXICO

ARRA Cooperative Agreements, New Mexico

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 2007, Section 3117, Public Law 110-114, 121 Stat. 1041.

OBJECTIVES:

To enable Native American Tribes to assist the US Army Corps of Engineers in carrying out any operation or maintenance activity associated with flood control projects located on tribal lands within the State of New Mexico.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance can be used to enable Native American Tribes to assist the US Army Corps of Engineers in carrying out any operation or maintenance activity associated with flood control projects located on tribal lands within the State of New Mexico. Use of funds restricted to specifically negotiated activities.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Proof of authority to represent said Native American Tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Task to be carried out by the recipient are identified, cost and deliverables negotiated and award made.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 30 to 60 days.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Time period generally corresponds to Federal fiscal year. Restriction on duration of task performance no funds expenditure. See the following for information on how assistance is awarded/released: Pay estimates processed upon receipt of pertinent invoices from recipient.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports on work accomplished. Quarterly financial status reports per OMB circular. Agency program manager reviews work progress, deliverables and financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Per OMB circular A-133.

Records:

No Data Available.

Account Identification:

96-9313-5-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$571,610; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew 441 G. Street. NW
, Washington, District of Columbia 20314 Email:
Donnie.L.Tew@usace.army.mil Phone: 202-761-1504

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Work to be performed by Tribe or Tribal contractor to accomplish relevant to the objectives of facility O&M.

12.118 NORTH DAKOTA ENVIRONMENTAL INFRASTRUCTURE (SECTION 594) - ARRA

North Dakota Environmental Infrastructure (Section 594) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1999, Section 594, Public Law 106-53, 113 Stat. 381.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in Ohio and North Dakota. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in Ohio and North Dakota, including projects for: wastewater treatment and related facilities; combined sewer overflow, water supply, storage, treatment, and related facilities; mine drainage; environmental restoration; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be potentially used to provide environmental assistance to non-Federal interests in Ohio and North Dakota. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in Ohio and North Dakota, including projects for: wastewater treatment and related facilities; combined sewer overflow, water supply, storage, treatment, and related facilities; mine drainage; environmental restoration; and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a project cooperation agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$17,398,000; FY 10 est \$4,677,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW, Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: ARRA funded (FY 09) North Dakota projects: Valley City Sewer and Water Improvements Project, Cass Rural Water Casselton/Kindred Project, Southeast Rural Water District Northeast Richland County Reservoir Improvements project. Fiscal Year 2010: ARRA funded (FY 10) North Dakota projects: McKenzie County Rural Water System, Mountrail Phase I, City of Zeeland Water Main Replacement, Stateline Water Co-operative Storage Improvements/Expansion, Wells Count Water System Phase 1. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects in North Dakota are recommended by North Dakota congressional offices.

12.119 NORTHERN WISCONSIN ENVIRONMENTAL INFRASTRUCTURE (SECTION 154) - ARRA

Northern Wisconsin Environmental Infrastructure (Section 154) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Consolidated Appropriations Act of 2001, Section 154, Public Law 106-554, 114 Stat. 252.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in northern Wisconsin to include the counties of Douglas, Ashland, Bayfield, and Iron. Assistance may be in the form of design and construction assistance for

water-related environmental infrastructure and resource protection and development projects in northern Wisconsin, including projects for: wastewater treatment and related facilities, water supply related facilities, environmental restoration; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This program is to provide environmental assistance to non-Federal interests in northern Wisconsin to include the counties of Douglas, Ashland, Bayfield, and Iron. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in northern Wisconsin, including projects for: wastewater treatment and related facilities, water supply related facilities, environmental restoration; and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a project cooperation agreement with a non-Federal interest to provide for design and/or construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$1,280,000; FY 10 est \$30,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW, Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: ARRA funded (FY 09) northern Wisconsin projects: Butternut Water Systems and Wastewater Improvements project, Solon Springs Wastewater Treatment Facility Improvements project. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects in northern Wisconsin are recommended by a Section 154 selection committee made up County and Tribal representatives from the included counties (Douglas, Ashland, Bayfield, and Iron) and the congressional office.

12.120 NORTHEASTERN MINNESOTA ENVIRONMENTAL INFRASTRUCTURE (SECTION 569) - ARRA

Northeastern Minnesota Environmental Infrastructure (Section 569) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1999, Section 569, Public Law 106-53, 113 Stat. 368.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in northeastern Minnesota to include the counties of Cook, Lake, St. Louis, Koochiching, Itasca, Cass, Crow Wing, Aitkin, Carlton, Pine, Kanabec, Mille Lacs, Morrison, Isanti, Chisago, Beltrami, Hubbard, and Wadena. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in northeastern Minnesota, including projects for: wastewater treatment and related facilities, water supply related facilities, environmental restoration; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This program is to provide environmental assistance to non-Federal interests in northeastern Minnesota to include the counties of Cook, Lake, St. Louis, Koochiching, Itasca, Cass, Crow Wing, Aitkin, Carlton, Pine, Kanabec, Mille Lacs, Morrison, Isanti, Chisago, Beltrami, Hubbard, and Wadena. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in northeastern Minnesota, including projects for: wastewater treatment and related facilities, water supply related facilities, environmental restoration; and

surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a project cooperation agreement with a non-Federal interest to provide for design and/or construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$2,655,000; FY 10 est \$4,242,000; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW, Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: ARRA funded (FY09) northeastern Minnesota projects: Cook Water Supply Project, Breitung Water and Wastewater Systems Improvements project, Askov Wastewater Systems Improvements project, Park Rapids Water Supply project, Duluth Wastewater CIPP and Interceptor Project, Midway Sewer Replacement project, Gary Pump Station Project, and the Bovey Capital Improvements project. ARRA funded. Fiscal Year 2010: ARRA funded (FY10) northeastern Minnesota projects: Bois Forte Water and Wastewater Systems Improvements project, Willow River Wastewater Treatment Systems Improvements Project, Hackensack Water Supply Improvements Project, Coleraine-Bovey-Taconite Wastewater Improvements Project, and the Garrison Capital Improvements project. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects in northeastern Minnesota are recommended by a Section 569 selection committee made up of other State and Federal agency representatives who work with environmental infrastructure type projects/programs.

12.121 MONTANA, NEVADA, NEW MEXICO, UTAH, IDAHO, & WYOMING ENVIRONMENTAL INFRASTRUCTURE (SECTION 595) - ARRA

Montana, Nevada, New Mexico, Utah, Idaho, & Wyoming Environmental Infrastructure (Section 595) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1999, as amended, Section 595, Public Law 106-53, 113 Stat. 383.

OBJECTIVES:

This program is to provide water-related environmental assistance and resource protection to non-Federal interests in Idaho, Montana, rural Nevada, New Mexico, rural Utah, and Wyoming. Assistance may be in the form of design and construction grants or reimbursements for water-related environmental infrastructure and resource protection and development projects, including projects for: wastewater treatment and related facilities; combined sewer overflow, water supply, storage, treatment, and related facilities; mine drainage; environmental restoration; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This program is to provide water-related environmental assistance and resource protection to non-Federal interests in Idaho, Montana, rural Nevada, New Mexico, rural Utah, and Wyoming. Assistance may be in the form of design and construction grants or reimbursements for water-related environmental infrastructure and resource protection and development projects, including projects for: wastewater treatment and related facilities; combined sewer overflow, water supply, storage, treatment, and related facilities; mine drainage; environmental restoration; and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a project cooperation agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (P.L. 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$40,186,000; FY 10 est \$5,103,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew 441 G. Street. NW

, Washington, District of Columbia 20314 Email:
Donnie.L.Tew@usace.army.mil Phone: 202-761-1504

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: FY09 rural Montana projects: Phase II, Black Eagle Water Main Replacements, Black Eagle, MT, Cut Bank Water System Improvements, City of Cut Bank, MT, NE Waterline Replacement Project, City of Miles City, MT, Kevin Water System Improvements, Toole County, Kevin, MT, The Bynum New Municipal Water System, Bynum, MT, Manhattan Water System Improvements, Town of Manhattan, MT, Wolf Creek Wastewater System Improvements, Wolf Creek, MT, Columbia Falls Waste, Superior Water System, and Bitter Root. FY09 Rural Nevada projects: Incline Village General Improvement District, NV; Yerington, NV; Virgin City, NV; North Lemmon Valley, NV; Battle Mountain, NV; Carson City, NV and West Wendover, NV. FY09 Rural New Mexico projects: Deming, NM. FY09 Rural Utah projects: Deweyville, UT; Grantsville, UT; Brigham City, UT; Mantua, UT; Blanding, UT; Honeyville, UT; Kane, UT; Emery, UT and Richmond, UT. FY09 Rural Idaho projects: Ammon, ID, Lava Hot Springs, ID, Bliss, ID, GreenLeaf, ID. Fiscal Year 2010: FY10 Rural Montana projects: Butte, Stevensville, Daly Ditches Water, and City of Troy. FY10 Rural Idaho projects: South Fork Sewer and Granite Reeder, Buhl, ID, and New Meadows, ID. Fiscal Year 2011: None.

CRITERIA FOR SELECTING PROPOSALS:

Projects in are recommended by congressional offices.

12.122 TITLE VI - CHEYENNE RIVER SIOUX TRIBE, LOWER BRULE SIOUX TRIBE, AND TERRESTRIAL WILDLIFE HABITAT RESTORATION, SOUTH DAKOTA - ARRA

Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1999, as amended, Title VI, Section 601 - 609, 106 Stat. 53.

OBJECTIVES:

Legislation exclusive to the transfer in fee title of Corps of Engineers lands at directed locations to the Cheyenne River Sioux Tribe (CRST), the South Dakota Department of Game, Fish and Parks (SDGFP), and the Lower Brule Sioux Tribe (LBST).

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Legislation exclusive to the transfer in fee title of Corps of Engineers lands at directed locations to the Cheyenne River Sioux Tribe (CRST), the South Dakota Department of Game, Fish and Parks (SDGFP), and the Lower Brule Sioux Tribe (LBST). Funds granted to the State and Tribes are limited to those directed in legislation.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Auditable documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a Cooperative Agreement with the parties designated in legislation for the purposes directed by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. Method of awarding/releasing assistance: lump sum.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (P.L. 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Grantee shall provide the U.S. Army Corps of Engineers with an annual accounting of monies received, obligated and expended for the purposes authorized by law. A final accounting in accordance with Generally Accepted Accounting Procedures and Federal Cost Accounting Standards is required in 2010 when the funds are capitalized and made available from the trust fund enacted in legislation.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$2,129,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew 441 G. Street. NW
, Washington, District of Columbia 20314 Email:
Donnie.L.Tew@usace.army.mil Phone: 202-761-1504

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.123 SOUTH CENTRAL PENNSYLVANIA ENVIRONMENTAL INFRASTRUCTURE (SECTION 313) - ARRA

South Central Pennsylvania Environmental Infrastructure (Section 313) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1992, Section 313, Public Law 102-580, 113 Stat. 310.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in south central Pennsylvania. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in south central Pennsylvania, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This program is to provide environmental assistance to non-Federal interests in south central Pennsylvania. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in south central Pennsylvania, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a Project Partnership Agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25.%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$6,550,000; FY 10 est \$2,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW, Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: ARRA funded (FY09) projects: Dunbar Boro/Twp Sewage System, Lower Ten Mile Sewer System, Pegasus Sewer and SW Pater Water System. Fiscal Year 2010: (FY10) Dunbar Boro/Twp Sewage System and Pegasus Sewer. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects in south central Pennsylvania are recommended by Pennsylvania congressional offices.

12.124 MISSISSIPPI ENVIRONMENTAL INFRASTRUCTURE (SECTION 592) - ARRA

Mississippi Environmental Infrastructure (Section 592) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1999, Section 592, Public Law 106-53,

113 Stat. 379.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in Mississippi. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in Mississippi, including projects for wastewater treatment and related facilities, elimination or control of combined sewer overflow, water supply and related facilities, environmental restoration, and surface water resource protection and development.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

This program is to provide environmental assistance to non-Federal interests in Mississippi. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in Mississippi, including projects for wastewater treatment and related facilities, elimination or control of combined sewer overflow, water supply and related facilities, environmental restoration, and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and the Non-Federal interest must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a project cooperation agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are

required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$6,568,733; FY 10 est \$24,622,213; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street, NW , Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: City of Tupelo, City of Macon, Town of Richton, and City of Pascagoula. Fiscal Year 2010: Jackson County Wastewater Authority. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects are requested by the public entities and these projects are prioritized by criteria based on non-compliance sewer or water systems with federal, state, and local government requirements; poverty/low income communities; public facilities affected, number of people impacted; project cost; and emergent issue projects.

12.125 SOUTHERN WV ENVIRONMENTAL INFRASTRUCTURE (SECTION 340) - ARRA

Southern WV Environmental Infrastructure (Section 340) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1992, Section 340, Public Law 102-580, 106 Stat. 4856.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in seventeen counties within the 3rd Congressional district in southern West Virginia. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in southern West Virginia, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program is to provide environmental assistance to non-Federal interests in seventeen counties within the 3rd Congressional district in southern West

Virginia. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in southern West Virginia, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a Project Partnership Agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Cooperative Agreements) FY 09 \$3,085,071; FY 10 est \$1,605,070; FY 11 est \$98,859

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW, Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Griffithsville, WV; Turtle Creek, WV; Mercer IV-A, WV;. Fiscal Year 2010: Cowen, WV. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Environmental Infrastructure projects in southern WV are selected through a process administered by the Corps of Engineers and the West Virginia Infrastructure and Jobs Development Council.

12.126 CENTRAL WV ENVIRONMENTAL INFRASTRUCTURE (SECTION 571) - ARRA

Central WV Environmental Infrastructure (Section 571) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1999, Section 571, Public Law 106-53, 113 Stat. 371.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in eighteen counties in the 2nd Congressional district in central West Virginia. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in southern West Virginia, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program is to provide environmental assistance to non-Federal interests in eighteen counties in the 2nd Congressional district in central West Virginia. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in southern West Virginia, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O.

12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a Project Partnership Agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Cooperative Agreements) FY 09 \$991,667; FY 10 est \$2,517,762; FY 11 est \$55,571

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW , Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Town of Clay, WV. Fiscal Year 2010: Putnam Public Service District, WV; Poca, WV; Sugarcreek Public Service District, WV; Mason

Public Service District, WV. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Environmental Infrastructure projects in southern WV are selected through a process administered by the Corps of Engineers and the West Virginia Infrastructure and Jobs Development Council.

12.127 SOUTHERN AND EASTERN KY ENVIRONMENTAL INFRASTRUCTURE (SECTION 531) - ARRA

Southern and Eastern KY Environmental Infrastructure (Section 531) - ARRA
FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1996, Section 531, Public Law 104-303.

OBJECTIVES:

To provide environmental assistance to non-Federal interests in 29 counties in southern and eastern Kentucky. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in southern and eastern Kentucky, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This program is to provide environmental assistance to non-Federal interests in 29 counties in southern and eastern Kentucky. Assistance may be in the form of design and construction assistance for water-related environmental infrastructure and resource protection and development projects in southern and eastern Kentucky, including projects for: wastewater treatment and related facilities; water supply, storage, treatment, and distribution facilities; and surface water resource protection and development. Assistance may be provided only for projects that are publically owned and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a Project Partnership Agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
 Matching Requirements: Percent: 25%.
 This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$978,971; FY 11 est \$21,029

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW, Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Williamsburg, McCreary County, KY. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Environmental Infrastructure projects in southern and eastern KY are selected through a process administered by the Corps of Engineers and the Kentucky Personal Responsibility in a Desirable Environment (PRIDE).

12.128 FLORIDA KEYS WATER QUALITY IMPROVEMENT PROGRAM (SECTION 109) - ARRA

Florida Keys Water Quality Improvement Program (Section 109) - ARRA

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Miscellaneous Appropriations Act, 2001, Section 109, Public Law 106-554.

OBJECTIVES:

In coordination with the Florida Keys Aqueduct Authority, appropriate agencies of municipalities of Monroe County, Florida, and other appropriate public agencies of the State of Florida or Monroe County, this program provides technical and financial assistance to carry out projects for the planning, design, and construction of treatment works to improve water quality in the Florida Keys National Marine Sanctuary.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

In coordination with the Florida Keys Aqueduct Authority, appropriate agencies of municipalities of Monroe County, Florida, and other appropriate public agencies of the State of Florida or Monroe County, this program provides technical and financial assistance to carry out projects for the planning, design, and construction of treatment works to improve water quality in the Florida Keys National Marine Sanctuary. Assistance may be provided only for projects that are in the Florida Keys National Marine Sanctuary and must enter into a written agreement to furnish its required cooperation for the project.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Documentation of completed adequate planning and design activities and a financial plan identifying sources of non-Federal funding. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a Project Partnership Agreement with a non-Federal interest to provide for design and construction of the project to be carried out with the assistance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
 Matching Requirements: Percent: 35%.
 This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds expire for purposes of obligation on 30 September 2010. See the following for information on how assistance is awarded/released: Assistance is incrementally released based on periodically submitted cost documentation.

Reports:

See Section 1512 of The American Recovery and Reinvestment Act of 2009 (Public Law 111-5). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

96-3134-0-0-000.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$25,408,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW , Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Key Largo, FL; Islamadora, FL; Key West, FL; Marathon, FL. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The PDT developed a master list of potential projects and then ranked them according to the water quality benefits to be derived and the need in relation to other municipalities. All priority projects were required to be in water quality "hot spots" as designated by the U.S. Environmental Protection Agency. Selected projects are consistent with the master wastewater and stormwater plans for Monroe County, FL and will have substantial water quality benefits relative to other projects under consideration.

12.129 TITLE VI - CHEYENNE RIVER SIOUX TRIBE, LOWER BRULE SIOUX TRIBE, AND TERRESTRIAL WILDLIFE HABITAT RESTORATION, SOUTH DAKOTA

Title VI - Cheyenne River Sioux Tribe, Lower Brule Sioux Tribe, and Terrestrial Wildlife Habitat Restoration, South Dakota

FEDERAL AGENCY:

Department of the Army, Office of the Chief of Engineers, Department of Defense

AUTHORIZATION:

Water Resources Development Act of 1999, as amended, Title VI, Section 601 - 609, Public Law 106-53, 113 Stat. 385.

OBJECTIVES:

Legislation exclusive to the transfer in fee title of Corps of Engineers lands at directed locations to the Cheyenne River Sioux Tribe (CRST), the South Dakota Department of Game, Fish and Parks (SDGFP), and the Lower Brule Sioux Tribe (LBST).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Legislation exclusive to the transfer in fee title of Corps of Engineers lands at directed locations to the Cheyenne River Sioux Tribe (CRST), the South Dakota Department of Game, Fish and Parks (SDGFP), and the Lower Brule Sioux Tribe (LBST). Funds granted to the State and Tribes are limited to those directed in legislation.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Auditable documentation is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Before providing assistance under this section, the Secretary of the Army shall enter into a Cooperative Agreement with the parties designated in legislation for the purposes directed by law.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

When appropriated. Funds appropriated from the Energy and Water Development Act for this program generally do not expire. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Grantee shall provide the U.S. Army Corps of Engineers with an annual accounting of monies received, obligated and expended for the purposes authorized by law. A final accounting in accordance with Generally Accepted Accounting Procedures and Federal Cost Accounting Standards is required in 2010 when the funds are capitalized and made available from the trust fund enacted in legislation.

Records:

No Data Available.

Account Identification:

96-3122-0-0-000.

Obligations:

(Cooperative Agreements) FY 09 \$2,786,090; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lee Tew, 441 G Street NW, Washington, District of Columbia 20314 Email: Donnie.L.Tew@usace.army.mil Phone: (202) 761-1504.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.357 ROTC LANGUAGE AND CULTURE TRAINING GRANTS

ROTC Project GO (Global Officers)

FEDERAL AGENCY:

Defense Logistics Agency, Department of Defense

AUTHORIZATION:

Federal Grant and Cooperative Agreement Act , Executive Order Requires each executive agency to use a procurement contract as the legal instrument reflecting a relationship between the Federal Government and a State or local government or other recipient whenever the principal purpose of the agreement is the acquisition, by purchase, lease, or barter, of property or services for the direct benefit or use of the Federal Government.

Requires each executive agency, when no substantial involvement is anticipated between the executive agency, acting for the Federal Government, and the State or local government or other recipient during performance of the contemplated activity, to use a grant agreement as the legal instrument reflecting a relationship between the Federal Government and a State or local government or other recipient whenever the principal purpose of the relationship is the transfer of money, property, services, or anything of value to the State or local government.

Requires each executive agency to use a cooperative agreement as the legal instrument reflecting a relationship between the Federal Government and a State or local government or other recipient whenever the principal purpose of the relationship is the transfer of money, property, services, or anything of value to the State or local government, and substantial involvement is anticipated between the executive agency, acting for the Federal Government, and the State or local government or other recipient during performance of the contemplated activity.

Directs the Director of the Office of Management and Budget, in cooperation with the executive agencies, to undertake a study to develop a better understanding of alternative means of implementing Federal assistance programs, and to determine the feasibility of developing a comprehensive system of guidance for Federal assistance programs.

, Public Law 095-224.

OBJECTIVES:

Project GO (Global Officers) is a DoD-funded initiative that promotes critical language education, study abroad, and intercultural dialogue opportunities within the ROTC student population in order to develop future military officers who possess the cross-cultural communication skills required for effective leadership in the 21st Century operational environment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to institutions of higher education to expand ROTC student participation in the study of critical languages and study abroad. Grant awards are only made to U.S. institutions of higher learning with ROTC units on their campuses. The National Security Education Act places no special or unique requirements on grantee institutions. Only requirements that govern all Federal grants will apply to National Security Education Program (NSEP) grants. No International exchange programs may be funded by NSEP. Finally, grant funds may be used for any appropriate, academic-oriented

experience including independent research, internships, service learning, or traditional study abroad. Funding may not be used for students in Junior ROTC or students below the legal age limit for participation in ROTC.

Applicant Eligibility:

Any accredited U.S. institution of higher education (defined in Section 1201(a) of the Higher Education Act of 1965, 20 U.S.C. 1141(a) is eligible to apply for a grant. This includes 2 and 4 year colleges and universities, both public and private. Other organizations, associations, agencies, and foreign institutions may be included in proposals but may not be direct recipients of a grant. Federal government schools are not eligible. Applicants must demonstrate an institutional commitment to increasing the critical language and cross-cultural proficiency of their ROTC students as well as proven institutional capability to provide quality instruction in these subject areas. Institutions applying for major awards greater than \$300,000 must have two or more ROTC units on their campuses and a combined ROTC student population of no less than 250 cadets/midshipmen. Institutions applying for awards under \$300,000 do not have to meet this multiple-unit and/or 250+ population requirement.

Beneficiary Eligibility:

Accredited U.S. institutions of higher education and their ROTC students.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All eligible, private and public U.S. institutions of higher education may submit proposals in response to the relevant agency announcements.

Award Procedure:

Award decisions will be based on a competitive selection of proposals resulting from an independent merit review by a panel comprised of specialists in language acquisition and study abroad as well as representatives from the military services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are negotiated and are conducted annually based on national program strategy as well as institutional performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There are no matching or cost sharing requirements but applicants are encouraged to cost share project efforts. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are single or two-year awards. Additional renewal years are possible based on performance and program needs. Method of awarding/releasing assistance: quarterly.

Reports:

Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis. Challenges, Successes, Enrollment Figures, etc., on a quarterly basis. Funds expended on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A program-specific audit will be conducted each year.

Records:

The Comptroller General of the United States or a duly authorized representative from the General Accounting Office shall, until 3 years after final payment under this grant have access to and right to examine any of the recipient's directly pertinent books, documents, papers, or other records involving transactions related to this grant.

Account Identification:

97-0100-7-3-001 - Defense-Wide Operations and Maintenance D-W O&M.

Obligations:

(Salaries) FY 09 \$4,443,900; FY 10 est \$4,443,900; FY 11 est \$4,443,900

Range and Average of Financial Assistance:

Grants range from \$50,000 to \$300,000 annually for multi-year projects.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Participants in Project GO are provided instruction in Arabic, Hindi-Urdu, Central Asian Languages, Russian, Korean, Persian, Mandarin, Swahili, Hausa, and Wolof through domestic and overseas study. Fiscal Year 2010: Participants in Project GO are provided instruction in Arabic, Hindi-Urdu, Central Asian Languages, Russian, Korean, Persian, Mandarin, Swahili, Hausa, and Wolof through domestic and overseas study. Fiscal Year 2011: Participants in Project GO are provided instruction in Arabic, Hindi-Urdu, Central Asian Languages, Russian, Korean, Persian, Mandarin, Swahili, Hausa, and Wolof through domestic and overseas study.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Awardee and any consultant/contractor operating under the terms of a grant or cooperative agreement shall comply with all Federal, State, and local laws applicable to its activities including the following: 32 CFR 32, Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations, OMB A-21, Cost Principles for Educational Institutions, OMB A-110/2 CFR 215, Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, and OMB A-133, Audits of States, Local Governments and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Alison M. Patz 1101 Wilson Boulevard, Suite 1210, Arlington, Virginia 22209-2248 Email: nsep@nsep.gov Phone: (703) 696-1991 Fax: (703) 696-5667

Website Address:

<http://www.nsep.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Project GO/ROTC Language and Culture Grants are intended to promote critical language study and overseas experience for ROTC students. Grants have funded domestic summer language institutes (ex. San Diego State University, Indiana University, University of Utah) and overseas summer language programs (ex. Arizona State University, University of Mississippi, University of Texas at Austin) across a range of languages, including Arabic, Mandarin, Persian, and Russian. Fiscal Year 2010: Project GO/ROTC Language and Culture Grants are intended to promote critical language study and overseas experience for ROTC students. Grants have funded domestic summer language institutes (ex. San Diego State University, Indiana University, University of Utah) and overseas summer language programs (ex. Arizona State University, University of Mississippi, University of Texas at Austin) across a

range of languages, including Arabic, Mandarin, Persian, and Russian. Fiscal Year 2011: Project GO/ROTC Language and Culture Grants are intended to promote critical language study and overseas experience for ROTC students. Grants have funded domestic summer language institutes (ex. San Diego State University, Indiana University, University of Utah) and overseas summer language programs (ex. Arizona State University, University of Mississippi, University of Texas at Austin) across a range of languages, including Arabic, Mandarin, Persian, and Russian.

CRITERIA FOR SELECTING PROPOSALS:

All projects must involve a comprehensive and structured language component and study abroad component although projects do not need to offer each component under their own auspices. All projects must clearly demonstrate strong recruiting and retention plans as well as plans for institutional commitment.

12.404 NATIONAL GUARD CHALLENGE PROGRAM

FEDERAL AGENCY:

DEPARTMENT OF THE ARMY, NATIONAL GUARD BUREAU,
DEPARTMENT OF DEFENSE

AUTHORIZATION:

Defense Appropriation Act, as amended, 32 U.S.C. 509; 10 U.S.C. 2193.

OBJECTIVES:

The Secretary of Defense, acting through the Chief of the National Guard Bureau, conducts a National Guard civilian youth opportunities program (to be known as the "National Guard ChalleNGe Program") to use the National Guard to provide military-based training, including supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

To carry out the National Guard ChalleNGe Program in a State, the Secretary of Defense shall enter into an agreement with the Governor of the State or, in the case of the District of Columbia, with the commanding general of the District of Columbia National Guard, under which the Governor or the commanding general will establish, organize, and administer the National Guard ChalleNGe Program in the State. The agreement may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Applicant Eligibility:

The Secretary of Defense shall provide for the conduct of the National Guard ChalleNGe Program in such States and U.S. territories and possession as the Secretary considers to be appropriate, except that Federal expenditures under the program may not exceed \$62.5M for fiscal year 01.

Beneficiary Eligibility:

The Secretary of Defense shall provide for the conduct of the National Guard ChalleNGe Program in such States and U.S. territories and possession as the Secretary considers to be appropriate, except that Federal expenditures under the program may not exceed \$62.5M for fiscal year 01.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedures:

The State shall develop a plan which includes details on student application and selection procedures, number of students to be trained, staffing, curriculum, Post-Residential phase execution, facilities and services, State public and private service to be provided and a detailed budget.

Award Procedure:

After Congress authorizes, approves, and appropriates funds for the project and the National Guard Bureau reviews and approves all necessary plans,

specifications, bidding documents, contracts and other documentation, the award can be made.

Deadlines:

Not available.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

The amount of assistance provide under this section to a State program of the National Guard ChalleNGe Program may not exceed: (1) For fiscal year 2000, 65 percent of the costs of operating the State program during the year; and (2) for fiscal year 2001 and each subsequent fiscal year, 60 percent of the costs of operating the State program during that year."

Length and Time Phasing of Assistance:

One year from the approval of the agreement.

Reports:

State must submit certified vouchers for each payment to the U.S. Property and Fiscal Officer of the State. Within 90 days after the end of each fiscal year, the Secretary of Defense shall submit to Congress a report on the design, conduct, and effectiveness of the National Guard ChalleNGe Program during the preceding fiscal year. In preparing the report, the Secretary shall coordinate with the Governor of each State in which the National Guard ChalleNGe Program is carried out and, if the program is carried out in the District of Columbia, with the commanding general of the District of Columbia National Guard.

Audits:

Governed by the Single Audit Act of 1984. The USPFO shall conduct an annual internal review of the program. The internal review shall commence 90 days after the close of the program budget year.

Records:

An inventory of program assets by each State.

Account Identification:

57-3840-0-1-051.

Obligations:

(Grants) FY 07 \$62,500,000; FY 08 est not reported; and FY 09 est not reported.

Range and Average of Financial Assistance:

Section 509(b) limits the use of Federal funds in an amount not to exceed \$62.5M for fiscal year 05.

PROGRAM ACCOMPLISHMENTS:

The ChalleNGe and Starbase programs have grown during the past 9 years from ideas into the most successful programs of their types in the United States. ChalleNGe has grown from a pilot program of 10 States to a permanent program with 25 States currently participating and more than 22,000 graduates. Starbase has grown from 1 program to the 19 that exist today, and has been adopted by the Navy and Air Force Reserve.

REGULATIONS, GUIDELINES, AND LITERATURE:

DoD and NGB guidance.

Regional or Local Office:

None.

Headquarters Office:

NGB/NGB-YP (Youth Programs Division), 1411 Jefferson Davis Highway, JP1, Arlington, VA 22202-3221. Telephone: (703) 607-5975. (Use 327-5975 for DSN).

Website Address:

<http://www.ngb.dtic.mil/indexstm/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

ChalleNGe, Youth Conservation, and STARBASE.

CRITERIA FOR SELECTING PROPOSALS:

States shall submit annual financial plans which will be reviewed, prioritized, and approved by the appropriate NGB Program Manager.

12.431 BASIC SCIENTIFIC RESEARCH

FEDERAL AGENCY:

U.S. Army Materiel Command, Department of Defense

AUTHORIZATION:

Public Laws 79-588,87-651,97-86, 10 U.S.C. 5150-5153; 10 U.S.C. 2358, as amended; 10 U.S.C. 2361; 10 U.S.C. 6304; Includes American Recovery and Re-Investment Act (ARRA) funds.

OBJECTIVES:

To support basic research that is related to or has potential for leading to the improvement of Army programs or operations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Basic Research in the mathematical, physical, engineering, biological and geosciences providing knowledge necessary for the Army to make informed decisions about further exploratory development to provide the technology base from which Army capabilities are drawn. Partial support of symposia in the listed disciplines. Programs to encourage careers in science and engineering by support to outstanding graduate, undergraduate and high school students pursuing studies in areas of Army concern. Programs to increase science and engineering graduates from under-represented minority groups. Programs providing means for universities to buy major, high-cost research equipment.

Applicant Eligibility:

Educational institutions and nonprofit scientific research organizations.

Beneficiary Eligibility:

Educational institutions and nonprofit scientific research organizations.

Credentials/Documentation:

The following documentation is required to be submitted with offeror's proposals: Cover page and information requested thereon (ARO Form 51); Statement of Disclosure Preference (AR Form 52 or 52A); Abstract; Technical Proposal; and Cost Proposal including SF 1411. The technical portion of the proposal should contain the following: A complete discussion stating the background and objectives of the proposed work; information that will identify the magnitude of the research program currently underway by the proposed principal investigator(s) such as (i) description of the work, (ii) the annual dollar volume of the effort, (iii) the names Federal, State, local agencies or other parties presently funding the work or requested to fund such work, and (iv) a complete breakdown of the time of the principal investigator and/or co-principal investigator; brief biographical information and list of recent publications of the offeror's key personnel who will be involved in the research; type of support requested, for example, facilities, equipment, materials; names of other Federal, State, local agencies or other parties receiving the proposal and/or funding the proposed effort; statement regarding possible impact if any of the proposed effort on the environment; brief description of organization; and identity of facilities to be used for the work if appropriate for an understanding of the proposal. The cost proposal must be a complete and thoroughly documented estimate of the proposed costs of the research project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All eligible organizations may submit proposals in response to ARO's general and specific broad agency

announcements (BAAs) published annually or as the need arises in the Federal Business Opportunities (www.fedbizopps.gov). Information regarding areas of scientific interest and points of contact are contained in the BAAs. Application and award procedures are also contained in the BAA brochures. Pre-proposal contacts are encouraged prior to submission of a formal application.

Award Procedure:

Upon receipt of a proposal, the ARO scientific staff will perform an initial review of its scientific merit and potential contribution to the Army mission and also determine if funds are expected to be available for the effort. Proposals not considered to have sufficient scientific merit or relevance to the Army's needs or those in areas for which funds are not expected to be available may be declined without further review. Proposals not declined as a result of the initial review may be subject to an extensive peer review by highly qualified scientists from within the government and leading scientists and other preeminent experts outside the government. Each proposal will be evaluated based on how the proposal relates to the overall ARO program rather than against other proposals for research in the same general area normally using the following factors: (1) overall scientific and/or technical merits; (2) military and program relevance; (3) capabilities, related experience, facilities, techniques or unique combinations of these; (4) qualifications, capabilities and experience of the proposed principal investigator, team leader or other key personnel; (5) record of past performance; and (6) reasonableness and realism of proposed costs. If selected for award, the proposal is incorporated into a grant document and the awardee agrees to perform the research described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formal proposals are normally reviewed and notification made within six months from submission. Grant awards are usually made within three months from notification.

Appeals:

Not Applicable.

Renewals:

Once a grant is awarded, any follow-on research proposal is treated as a new request. Follow-on work should allow for the review time noted in the "award procedure" above.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: MANTECH Funds may have a matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time for which support is requested should be consistent with the nature and complexity of the proposed research. It is preferred that proposals submitted cover a three year period with each year proposed as a severable unit. The maximum period acceptable for a research proposal is five years. Funds are normally provided in individual grants according to a quarterly schedule of payments. Method of awarding/releasing assistance: lump sum.

Reports:

Usually annual progress reports and a final report are required, but more frequent reporting may be specified in individual awards. The SF 425 Federal Reporting Form is used to report expenditures. Progress reports include a description of activities, findings, conclusions, technical progress, accomplishments and planned activities for the next reporting period. Disbursements are reported for periods of performance concurrent with technical progress reports. Technical monitors review progress reports and make periodic visits to research facilities.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that

year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their subrecipients to make audits, examinations, excerpts and transcripts.

Account Identification:

21-2040-0-1-051; 21-2041-0-1-051.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$4,373,231; FY 11 est not reported. - Total Project Grants- FY 09-\$282,227,814 ;FY 10-\$300,000,000.00 (est.)

ARRA Funding Amount Only associated with CFDA 12.431
FY 08-\$0; FY 09-\$0; FY 10-\$4,373,231.00; FY 11-\$TBD.

Range and Average of Financial Assistance:

Range: \$1,374,000.00-\$1,500,000.00/ARRA and Average Award: \$1,457,744.00/ARRA.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Army Research Office Broad Agency Announcement (BAA) is available without cost from the sponsoring agency. OMB Circular Nos. A-110, A-133, A-88, and A-21; DoD Instruction 3218.2; DoD Directive 3210.2; DoD Directive 3210.6; are applicable to the project grants.

Regional or Local Office:

None.

Headquarters Office:

Ernest Dixon, Army Research Office, 4300 South Miami Blvd. , Durham, North Carolina 27703 Email: ernie.dixon@us.army.mil Phone: (919) 549-4270 Fax: (919) 549-4388.

Website Address:

<http://www.amc.army.mil>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.560 DOD, NDEP, DOTC-STEM EDUCATION OUTREACH IMPLEMENTATION

FEDERAL AGENCY:

U.S. Army Materiel Command, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358, as amended.

OBJECTIVES:

The purpose is to obtain support for the management, development, and furthering of new and existing partnerships between the Department of Defense, National Defense Education Program, and Defense Ordnance Technology Consortium, for Science, Technology, Engineering, and Mathematics education and other outreach organizations, professional societies, and local education activities. The scope will cover all 50 states, U.S. territories, and DoDEA schools.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

1. Recommend, manage, consult, and support; development, negotiation, and pilot execution of; partnerships between DoD/ NDEP/DOTC-STEM and various education outreach organizations, professional societies, and local education groups.
2. Provide management and logistics support to convene: a series of regional and national community dialogs, professional development and planning workshops, and conferences on DoD/ NDEP/DOTC-STEM education and community outreach issues.
3. Develop concepts and tests on applying distance learning to DoD/ NDEP/DOTC-STEM outreach.
4. Provide training, marketing and outreach videos.
5. Provide programmatic support to the DOD DOTC-STEM office for student learning modules (SLM) and pre-engineering partnership (PEP) activities and their development, selection, modification, field-testing and other DoD/ NDEP/DOTC-STEM activities.
6. Support the alignment of Materials World Modules (MWM) and other DoD/ NDEP/DOTC-STEM educational outreach activities.
7. Provide an established, effective evaluation model and techniques for assessing the effectiveness of MWM and other DoD/ NDEP/DOTC-STEM outreach activities when deployed to large numbers of schools and districts.
8. Informing K-12 students of the possibility of a career in the STEM fields so as to insure that a viable workforce will be available from which DoD can recruit future scientists and engineers.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Applicants should reference the funding announcement on grants.gov. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. SF 424 R&R, SF-LLL

Award Procedure:

Grant opportunity is posted on Grants.gov and Fedbizops. All responsive proposals will be evaluated in accordance with the evaluation criteria contained in the posted announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. N/A.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Any restrictions will be discussed in the grant opportunity document. See the following for information on how assistance is awarded/released: Initial funding by lump sum followed by varying amounts of incremental funding as it becomes available.

Reports:

Grant recipient will be required to submit financial and performance (technical) reports. All financial reports and performance (technical) reports shall be submitted to the DOTC Grants Officer and to the DOTC-STEM Director. Electronic submission of performance reports is preferred. All reports will be submitted on a quarterly schedule and must be submitted no later than 30 days following the end of each quarter from the start date of the award. The comprehensive final report is due 90 days after the award expiration. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Recipients are required to maintain records adequate to document costs incurred. Financial records, supporting documents, statistical records and all other records pertinent to an agreement will be retained for a period of 3 years. The retention period starts from the date of the submission of the final expenditure report or, for grants that are renewed annually, from the date of the submission of the annual financial status report. The recipient will allow access to any pertinent books, documents, papers, and records of the recipient organization and their subrecipients to make audits, examinations, excerpts and transcripts.

Account Identification:

97-0400-1-1-001.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$4,000,000; FY 11 est not reported. - All other fiscal year funding amounts are TBD.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

William Coolbaugh, Building 322, Picatinny Arsenal, New Jersey 07806
Email: william.coolbaugh@us.army.mil Phone: (973) 724-8115.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The DOTC-STEM Program Manager may review the ranking of the proposals and recommendations of the technical review panel. The reviewers independent ratings will be reconciled into a single rating for source selection purposes and a consensus will be drawn. Recommendations will be made to the Selecting Official whereby; the technical factor is approximately equal in ranking to that of the past performance factor. And both the technical and past performance factors rankings are significantly more important than cost. The average rank order from the review panel will be the primary consideration for the Selection Official in deciding which of the new proposals will be recommended for funding to the Grants Officer on a best value basis. However, the Selecting Official will select proposals after considering the technical reviews and recommendations of the DOTC-STEM Program Manager.

12.599 CONGRESSIONALLY DIRECTED ASSISTANCE

Annual Congressionally Directed Assistance

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

Department of Defense Appropriations Act (annual).

OBJECTIVES:

To implement Congressionally directed assistance for the purposes identified in the Department of Defense (DoD) annual appropriations act. The resulting assistance instruments support a variety of Congressional priorities. DoD awards the appropriate assistance instruments for the Congressionally identified purposes consistent with its authority, including applicable requirements for merit-based or competitive processes.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Uses and use restrictions may vary in accordance with the nature of the assistance identified in DoD's annual appropriations act. DoD takes appropriate account of other appropriate sources of supporting information consistent with its authority, such as related conference report(s), committee print(s), and explanatory statement(s).

Applicant Eligibility:

Types of organizations that may apply vary with the statutory requirements and appropriate sources of supporting information. Examples of organizations that have received assistance include nonprofit organizations, educational institutions, memorial organizations, local governments and cultural organizations. Merit-based or competitive processes are followed when applicable.

Beneficiary Eligibility:

Beneficiary Eligibility (082):

Eligible beneficiaries are determined in accordance with the applicable statutory authorization.

Credentials/Documentation:

Costs will be determined in accordance applicable regulations, applicable cost principles and appropriate OMB guidance (such as OMB Circular A-21 for educational institutions). Applicants may be required to demonstrate that they have the appropriate background, training, experience, and/or equipment to carry out the purposes of the Congressionally designated assistance. Depending on the circumstances, other requirements may be imposed consistent with DoDs authority at the discretion of the responsible DoD Grants Officer to ensure Congressional intent is carried out. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The responsible DoD Grants Officer generally will engage in appropriate pre-application assistance on a case-by-case basis, depending on the needs of the applicant and nature of the subject assistance.

Generally the purposes of the directed grants are not of the nature to require environmental impact information. However, in the event that a grant is directed to a purpose that is environmentally sensitive an EIS could be required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants generally will be directed to use <http://www.grants.gov> (Grants.gov) to obtain the necessary electronic forms for applying for the subject assistance. At the appropriate time, the responsible DoD Grants Officer will notify the successful applicant in writing of DoDs intent to make an award pursuant to statutory authority.

Award Procedure:

Although assistance awards for Congressionally identified purposes typically are not implemented in the context of existing DoD programs, award procedures may be subject to statutory requirements governing competition for

such awards, as implemented in DoD regulations. DoD will administratively evaluate the adequacy of the applicants plan to fulfill the Congressionally specified purpose. If the plan is adequate, and relevant audit(s) do not disclose any issues, DoD will proceed with award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approval time varies and depends in part on how quickly DoD obtains the required information and forms. Awards may be made at any time throughout the year(s) for which funding is lawfully available.

Appeals:

None.

Renewals:

None, unless authorized by Congress in the relevant or subsequent appropriations acts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at time of award. The length and time-phasing of the assistance will be stated in the assistance instrument and appropriately limited by the lawful availability of funds. See the following for information on how assistance is awarded/released: Mutually agreeable schedule for release of funds.

Reports:

Program reports are not applicable. The SF425 is used for financial reporting. DoD includes financial reporting requirements in the terms and conditions of the assistance instruments. Generally, DoD requires quarterly reports. However, other reporting requirements may be imposed at the discretion of the responsible DoD Grants Officer, but no more often than quarterly. DoD includes progress reporting requirements in the terms and conditions of the assistance instruments. Generally, DoD requires quarterly reports. However, other reporting requirements may be imposed at the discretion of the responsible DoD Grants Officer, but no more often than quarterly. Expenditure reports are not applicable. A Program Officer reviews each progress report to track progress toward project descriptions and goals established during the application process. For construction grants, site visits may be necessary to review progress toward established goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Assistance awards are subject to inspections and audits by any authorized representative of the Federal government. DoD generally will require a copy of the designated recipients most recent audit. However, the responsible DoD Grants Officer may require other audits, consistent with applicable regulations and OMB guidance. Further, the Grants Officer may request assistance in reviewing such audits from any appropriate agency of the Federal government.

Records:

Recipients must keep thorough financial records, including (but not limited to) all documents supporting entries in accounting records. Financial records must be thorough enough for the responsible DoD Grants Officer or any other authorized representative of the Federal government to determine whether or not the assistance funds were expended consistent with the purpose of the subject assistance. Recipients must maintain all such records in accordance with the requirements in applicable OMB guidance, as implemented in the DoD Grant and Agreement Regulations.

Account Identification:

97-0010-0-1-120 - Annual O & M funding.

Obligations:

(Project Grants) FY 09 \$75,000,000; FY 10 est \$78,000,000; FY 11 est \$0 - The annual Defense Appropriations Act reveals the amount for these directed awards.

Range and Average of Financial Assistance:

Dependent on the language and direction found in the annual Defense Appropriations Act.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DoD Grants and Agreements Regulations and applicable OMB Circulars.

Regional or Local Office:

None.

Headquarters Office:

Karen Rooney, 1155 Defense Pentagon
RPN 12063
, Washington , District of Columbia 20301 Email: karen.rooney@whs.mil
Phone: (703) 588-1922 Fax: (703) 588-1990.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Congressionally identified assistance includes awards to organizations that traditionally support DoD families and activities (such as the American Red Cross and United Services Organization, the US Military Paralympics) and military museums, and military related educational institutions. Fiscal Year 2010: Congressionally identified assistance includes awards to organizations such as Red Cross, US Military Paralympics, the Go for Broke Education Organization, National World War II Memorial, and other awards for military museums and military related educational organizations. Fiscal Year 2011: This is dependent on Congressional direction stated in the annual Defense Appropriations Act.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

12.630 BASIC, APPLIED, AND ADVANCED RESEARCH IN SCIENCE AND ENGINEERING

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

10 U.S.C. 2358; 10 U.S.C. 2362.

OBJECTIVES:

To: (1) Support basic, applied, or advanced research (technology development) in mathematical, physical, engineering, environmental, and life sciences, and other fields with good, long-term potential for contributing to technology for Department of Defense missions; (2) facilitate transition of research results to practical application for defense needs; (3) improve linkages between defense research and the civilian technology and industrial bases, to promote commercial application of the results of defense research and commercial availability of technology for defense needs; (4) foster education of future scientists and engineers in disciplines critical to defense; and (5) strengthen the infrastructure for research and related science and engineering education in those disciplines.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Uses and restrictions are specified in individual program announcements (Broad Agency Announcements, or BAAs) and award documents and codified regulations applicable to awards.

Applicant Eligibility:

As stated in individual BAAs (generally, competitions are open to private and public educational institutions that carry out science and engineering research and/or related science and engineering education; however, competition may be limited to a class of such institutions, such as Historically Black Colleges and Universities or other minority institutions (HBCUs/MIs). Some awards are made to other organizations. Awards are not made to individuals.

Beneficiary Eligibility:

Beneficiaries may include individual graduate and undergraduate students (e.g., recipients of fellowships or research traineeships) in science and engineering disciplines important to defense, as well as organizations described under "Applicant Eligibility."

Credentials/Documentation:

Recipient eligibility is contingent upon submission of certifications that are required by Federal statutes and executive orders. As research recipients most commonly are institutes of higher education, other non-profits, and for-profit firms, OMB Circulars A-21 and A-122 and the cost principles in the Federal Acquisition Regulation apply. To the extent that any awards are made to states subject to those cost principles, OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Individual BAAs and grants state whether-. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Which of these circulars or alternative administrative requirements, as implemented by the DoD Grants and Agreement Regulations, applies is dependent on the type of recipient. Each BAA tells potential proposers who is eligible to apply, what activities will be supported, in which science and engineering areas they will be supported, and how to apply. Some BAAs also may require white papers before submission of full proposals.

Award Procedure:

Award decisions are based on a competitive selection of proposals, using a technical merit review. Evaluation criteria are specified in the relevant BAA. If selected for award, the proposal is the basis for the award document and the applicant agrees to perform the research or research-related activities (e.g., science or engineering education or research infrastructure-building activities) described therein.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award decision time from receipt of the proposal varies.

Appeals:

Appeal procedures are stated in applicable BAAs.

Renewals:

As specified in individual BAAs, applications for competitive new awards are permitted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. that apply to all awards. Cost-sharing or matching, if required, would be specified in the individual BAAs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for the length stated in individual BAAs. See the following for information on how assistance is awarded/released: As stated in individual BAAs.

Reports:

Individual BAAs specify report requirements for program reports, cash reports, progress reports, expenditure reports, and performance reports, as consistent with the DoD Grant and Agreement Regulations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Individual BAAs cite terms and conditions that will apply to resultant awards. Audit requirements for the types of recipients (e.g., for-profit firms) not subject to OMB Circular A-133 are consistent with the DoD Grant and Agreement Regulations.

Records:

Record keeping requirements are in accordance with the DoD Grant and Agreement Regulations.

Account Identification:

97-0401-0-1-051 - ARRA account for FY10; 97-0400-0-1-051.

Obligations:

(Project Grants) FY 09 \$35,616,000; FY 10 est \$78,000,000; FY 11 est \$50,000,000. (Project Grants (Cooperative Agreements)) FY 09 \$0; FY 10 est \$3,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

See individual BAAs for expected range of award accounts (generally vary from \$50,000 to \$3,000,000 over 1 to 5 year periods of performance).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Accomplishments include approximately 50 grants awarded to Institutions of Higher Education (IHE) that support scientific research and education in topical areas important to the defense mission (areas such as electronics and electro-optics; materials science; fluid dynamics; biotechnology; manufacturing-related research; mathematics and computer sciences; nanoscale photonic; aerospace composites; microwave effect for chemical synthesis; biodiesel and biopower from waste materials to improve energy security; and environmental science). Fiscal Year 2010: Accomplishments include approximately 50 grants awarded to IHEs that support scientific research and education in topical areas important to the defense mission (see FY09 list). Fiscal Year 2011: Accomplishments will include approximately 50 grants awarded to IHEs that support scientific research and education in topical areas important to the defense mission (see FY09 list).

REGULATIONS, GUIDELINES, AND LITERATURE:

See BAAs issued by executive agents, which implement applicable portions of the DoD Grant and Agreement Regulations.

Regional or Local Office:

None.

Headquarters Office:

Office of the Secretary of Defense (OSD) OSD does not directly execute programs within this listing. For information about open competitions or future opportunities involving OSD funding, prospective proposers should consult the BAAs issued by the agents that execute the program, listed below. No central phone number is available:

- Army Research Office (For contact info, see listing 12.431)
 - Office of Naval Research (For contact info, see listing 12.300)
 - Air Force Office of Scientific Research (For contact info, see listing 12.800)
- , Arlington, Virginia 22203 Phone: 000-000-0000

Website Address:

<http://www.aro.army.mil>; <http://www.onr.navy.mil>; <http://afosr.af.mil>

RELATED PROGRAMS:

12.300 Basic and Applied Scientific Research; 12.431 Basic Scientific Research; 12.800 Air Force Defense Research Sciences Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) University research grants in areas such as: Materials degradation and fatigue; hypervelocity flow; organic superconductors; high-frequency microelectronics; biodegradation of military toxic wastes; blue-green optoelectronic emitters; robust geometric calculations; 3-D

visualizations and design of large, manufactured objects; biometric signature for ultra-wideband radar; laser ablation of hard materials; bio-inspired self-healing of polymers and composites; and (2) instrumentation grants, to allow the purchase of more costly items of research equipment (\$50,000 and up) that can usually be funded within single-investigator research awards. Fiscal Year 2010: Same. Fiscal Year 2011: Same.

CRITERIA FOR SELECTING PROPOSALS:

As specified in individual BAAs. Generally, the principal evaluation and selection criteria are the technical merits of the proposed research (or research-related activity) and its potential relationship in the long term to missions of the Department of Defense.

Other selection criteria vary with the nature of the specific research or research-related activity. Typical examples include: Qualifications of the principal investigator and other key personnel to perform the proposed activity; adequacy of current or planned facilities and equipment to do so; and realism and reasonableness of proposed costs.

12.631 SCIENCE, TECHNOLOGY, ENGINEERING AND MATHEMATICS (STEM) EDUCATIONAL PROGRAM: SCIENCE, MATHEMATICS AND RESEARCH FOR TRANSFORMATION (SMART)

SMART Scholarship-for-Service Program

FEDERAL AGENCY:

Office of the Secretary of Defense, Department of Defense

AUTHORIZATION:

SMART Defense Education Program, Public Law 109-163, 119 Stat. 3448, 10 U.S.C 2192a, as amended by National Defense Authorization Act for Fiscal Year 2010, Public Law 110-417, 122 Stat. 4392, 10 U.S.C 2192a.

OBJECTIVES:

To: (1) increase the intellectual capacity and proficiency of future scientists and engineers in disciplines critical to defense; and (2) to increase the number of science, technology, engineering and mathematics (STEM) qualified individuals in the DoD workforce.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards are made to undergraduate and graduate students majoring in a DoD-relevant STEM field, who must be U.S. citizens and enrolled in an accredited U.S. institution of higher learning, e.g., university, college, etc. Specified in application.

Applicant Eligibility:

All applicants must be at least 18 years of age and U.S. citizens. Applicants must be an undergraduate or graduate student majoring in a DoD-relevant STEM field. Applicants participate in internships at DoD laboratories. Participants will be required to obtain and/or maintain a security clearance. Upon completion of their degree, applicants are expected to work full-time for the DoD.

Beneficiary Eligibility:

Beneficiaries are undergraduate and graduate students enrolled in a DoD-relevant STEM field.

Credentials/Documentation:

See application (e.g. proof of citizenship, official transcripts, proof of enrollment in an accredited college or university). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All eligible U.S. undergraduate and graduate students may apply.

Award Procedure:

Award decisions will be based on a competitive selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Eligible students may receive a SMART award from one to five years. See the following for information on how assistance is awarded/released: Varies depending on type of assistance.

Reports:

Program reports are not applicable. Cash reports are not applicable. Participant reports required. Expenditure reports are not applicable. Participant performance reports required (see program details).

Audits:

Not Applicable.

Records:

None.

Account Identification:

97-0400-0-1-120.

Obligations:

(Project Grants (Fellowships)) FY 09 \$32,625,000; FY 10 est \$37,300,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

Average annual award is approximately \$70,000. Awards can vary due to differences in educational level and tuition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Made 260 new STEM undergraduate and graduate scholarship-for-service awards. Transitioned approximately 75 SMART participants to the DoD workforce. Fiscal Year 2010: Estimate 225-250 new awards to be made. Transition approximately 150 new SMART participants to the DoD workforce. Fiscal Year 2011: Estimate 250-275 new awards to be made. Transition approximately 175 additional SMART participants to DoD workforce.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please view the website

Regional or Local Office:

See Regional Agency Offices. Defense, Research and Engineering (DDR&E) STEM Development Office, Naval Postgraduate School, American Society for Engineering Education.

Headquarters Office:

Deborah Shifflett, Naval Postgraduate School, SMART Program, 1 University Circle, Hermann Hall Rm 061, American Society for Engineering Education, 1818 N Street, N.W. Suite 600 Washington, DC 20036-2479, Monterey, California 93943 Email: smart@nps.edu;smart@asee.org Phone: (202) 331-3544.

Website Address:

<http://smart.asee.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SMART scholarship recipients are selected based on merit including (1) academic record and potential to succeed in their proposed study; (2) additional supporting documentation; and (3) commitment to Federal service.

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.103 INTEREST REDUCTION PAYMENTS, RENTAL AND COOPERATIVE HOUSING FOR LOWER INCOME FAMILIES

(236)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 236, Public Law 90-448, 12 U.S.C. 1715., Public Law 448-90-448, 12 U.S.C 1715.

OBJECTIVES:

To provide good quality rental and cooperative housing for persons of low-and moderate-income by providing interest reduction payments in order to lower their housing costs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Use was limited to Section 236 insured and non-insured mortgages financed by State or Local Housing Finance Agencies. Program is now inactive. No new projects are being approved. Projects with this subsidy are still active in the MF portfolio.

Applicant Eligibility:

Eligible mortgagors included nonprofit, cooperative, builder-seller, investor-sponsor, and limited-distribution sponsors. Public bodies did not qualify as mortgagors under this program.

Beneficiary Eligibility:

Families and individuals, including the elderly and handicapped or those displaced by government action or natural disaster, eligible to receive the benefits of the subsidies must at the time of admission fall within certain locally determined income limits. Families with higher incomes may occupy apartments, but may not benefit from subsidy payments.

Credentials/Documentation:

Documentation regarding the characteristics of the property and qualifications of the mortgagor was submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No applications for new projects are being accepted. Sponsors submitted FHA Form No. 2013, application and other documentation for FHA Review of Proposed Development, to local HUD field office with multifamily processing or equivalent documentation to a State or local Housing Finance Agency approved by HUD for participation in the program.

Award Procedure:

The local HUD office or State or local Housing Finance Agency reviewed the application to determine site appraisal and market analysis. Market need, correct zoning, environmental assessment and value of site fully improved were considered. The local office granted feasible proposals a tentative reservation of contract authority, and the sponsor was invited to apply for mortgage commitment, at which stage(s) further technical processing was completed. No new applications for project mortgage insurance are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR, Part 236.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The mortgage term normally extends for 40 years. Interest reduction payments may extend for the full term of the mortgage. For individual families, assistance ceases when their income rises to the point where they can afford the full market rent without the benefit of assistance. See the following for information on how assistance is awarded/released: Monthly.

Reports:

Excess income reports. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgage or mortgagor in order to determine their compliance and conformance with FHA regulations and standards.

Records:

Tenant certifications for assistance payments and annual recertification must be forwarded to the HUD Field or Area Office by the sponsor. Regular financial reports are also required. Mortgagees must service and maintain records in accordance with acceptable mortgagee practices and HUD regulations.

Account Identification:

86-0334-0-1-604; 86-0335-0-1-999.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Salaries and Expenses (not separately identifiable).

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Rental and Co-op Housing for Lower Income Families. No charge; 24 CFR 236 et seq.

Regional or Local Office:

None. Contact the appropriate local HUD Office listed in the Catalog Address Appendix IV.

Headquarters Office:

Steven A. Marin 451 7th Street, SW, Washington, District of Columbia 20410
Email: Stephen.A.Martin@hud.gov Phone: (202) 708-2495 Ext. 2492

Website Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.108 REHABILITATION MORTGAGE INSURANCE

(203(k))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 203(k).

OBJECTIVES:

To help families repair or improve, purchase and improve, or refinance and improve existing residential structures more than one year old.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. These loans may be used to rehabilitate an existing 1 to 4 unit dwelling in one of four ways: (1) Purchase a structure and the land on which the structure is located and rehabilitate it; (2) purchase a structure on another site, move it onto a new foundation on the mortgaged property and rehabilitate it; (3) refinance the existing indebtedness and rehabilitate such a structure; or (4) rehabilitate such a structure. Maximum insurable mortgage loans for an occupant mortgagor are the same as prescribed for Section 203(b) - Program 14.117. Rehabilitation cost must be at least \$5,000.

Applicant Eligibility:

Individual and families.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the borrower are assembled by the lender and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

The lender or HUD will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4587-0-3-371 - FY 2009 and future.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsghoc.cfm>), or the nearest local HUD Office. See Catalog Appendix IV for a list of offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.110 MANUFACTURED HOME LOAN INSURANCE FINANCING PURCHASE OF MANUFACTURED HOMES AS PRINCIPAL RESIDENCES OF BORROWERS

(Title I)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To make possible reasonable financing of manufactured home purchases.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. Insured loans may be used to purchase manufactured home units by buyers intending to use them as their principal places of residence. Effective March 3, 2009, the maximum amount of the loan is \$69,678, whether single or multiple modules. The borrower must give assurance that the unit will be placed on a site which complies with local zoning and land development requirements.

Applicant Eligibility:

All persons are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the manufactured home unit and the qualifications of the borrower are submitted to the lender. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted to a HUD-approved lender or through a lender's approved dealer for review and approval.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Prior to June 1, 2009, HUD had no involvement in the approval or disapproval process of a loan. Effective June 1, 2009, lenders will submit loans to HUD for a pre-closing test approval period, which will average 3 to 5 days for complete submissions. Upon successful completion of the pre-closing test phase, a lender may then be authorized to participate in the Title I Direct Endorsement Program. HUD has no other participation or regulation over the lender approval processing time.

Appeals:

The applicant may reapply.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The average loan term is between 15 to 25 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$97,185,125; FY 10 est \$120,000,000; FY 11 est \$126,000,000

Range and Average of Financial Assistance:

The maximum amount of the loan in FY 2008 was \$48,600, whether single or multiple modules. The average loan amount for FY 2008 was \$38,409. The maximum loan amount for FY 09, October 1, 2008 through February 28, 2009 was \$48,600. The maximum loan amount for FY 09 from March 1, 2009 through September 30, 2009 is \$69,678.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current

Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia
20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/title/manuf14.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**14.112 MORTGAGE INSURANCE FOR CONSTRUCTION OR
SUBSTANTIAL REHABILITATION OF CONDOMINIUM PROJECTS**

(234(d) Condominiums)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and
Urban Development

AUTHORIZATION:

National Housing Act, as amended; Housing Act of 1964, as amended, Section
234(d), Public Law 88-560; Section 234(c), Public Law 90-448, 82 Stat. 476,
507, 1969; Section 234(c), Public Law 91-152, 83 Stat. 379, 384, 12 U.S.C.
1715(y).

OBJECTIVES:

To enable sponsors to develop condominium projects in which individual units
will be sold to home buyers.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used
to finance the construction or rehabilitation of multifamily housing structures
by a sponsor intending to sell individual units as condominiums, which also
would be eligible for the benefits of mortgage insurance under Section 234(c).
The program has statutory per unit mortgage limits which vary according to the
size of the unit, the type of structure, and the location of the project. There are
also loan-to-replacement cost and debt service limitations.

Applicant Eligibility:

Private profit-motivated developers, public bodies, and other sponsors who
meet FHA requirements for mortgagors.

Beneficiary Eligibility:

All families are eligible to purchase condominium units. Mortgages for
individual units may be insured under Section 234(c). See program 14.133.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the
qualifications of the mortgagor are assembled by the mortgagee and submitted
with the application. This program is excluded from coverage under OMB
Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is
not required for this program. This program is eligible for coverage under E.O.
12372, "Intergovernmental Review of Federal Programs." An applicant should
consult the office or official designated as the single point of contact in his or
her State for more information on the process the State requires to be followed
in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This
program is excluded from coverage under OMB Circular No. A-110. The
sponsor submits a formal mortgage insurance application through a HUD
approved mortgagee to the local HUD Multifamily Hub or Program Center.

Award Procedure:

The local HUD Multifamily Hub or Program Center reviews the application to
determine whether the proposal is feasible. Considerations include market need,
zoning, architectural merits, capabilities of sponsors, availability of community
resources, etc. If the project meets program requirements, HUD issues the
lender a commitment to insure the project mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time will depend upon the degree of preparation by the sponsor and
the workload of field office.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons
for the refusal. If reapplication is desired, the applicant may modify the
application and reapply.

Renewals:

The term of a commitment to insure may be extended under certain
circumstances when more time is required.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-quarters of
the remaining economic life, whichever is less, if the project remains a rental.
See the following for information on how assistance is awarded/released:
Mortgage Insurance provided over 40 year term.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The
Department of Housing and Urban Development reserves the right to audit the
accounts of either the mortgagee or mortgagor in order to determine their
compliance and conformance with FHA regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with
acceptable mortgage practices of prudent lending institutions and the FHA
regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Loan Guarantees/Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported
under 14.135 for FY 09,
FY 10, and FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"HUD Mortgage Insurance Handbook No. 4580.1 For Condominium Housing
Insured under Section 234 (d) of the National Housing Act, 24 CFR234; "HUD
Mortgage Insurance Handbook No. 4265.1, Home Mortgage Insurance-
Condominium Units, Section 234(c)", available on <http://www.hudclips.org>.

Regional or Local Office:

See Regional Agency Offices. All projects are processed in local HUD
Multifamily Hubs or Program Centers. Persons are encouraged to
communicate with the nearest local HUD Office. HUD Multifamily Hubs and

Program Centers are listed at:
http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.117 MORTGAGE INSURANCE HOMES

(203(b))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 203(b), Public Law 73-479, 12 U.S.C. 1709, 1715(b).

OBJECTIVES:

To help people undertake home ownership.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one-to-four-family housing, as well as to refinance indebtedness on existing housing. Maximum insurable loans are as follows: In areas where 125 percent of the median house price is less than 65 percent of the Freddie Mac limit (\$417,000), FHA floor limits are set at the 65 percent limit as follows: one-family \$271,050; two-family \$347,000; three-family \$419,400; and four-family \$521,250. Any area where the limits exceed the floor is known as a high cost area. In areas where 125 percent of the median house price exceeds the 175 percent limit of \$729,000 for a one unit property, the mortgage limits are set at the 175 percent amount (ceiling) as follows: one-family \$729,750; two-family \$934,200; three-family \$1,129,250; and four-family \$1,403,400. Designated areas of limited housing opportunities and maximum mortgage amounts may be obtained from local HUD Offices.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgage and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is

referred to as Direct Endorsement.

Award Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

The lender or HUD will state the reason for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$330,458,852,841; FY 10 est \$299,953,826,000; FY 11 est \$222,867,868,000

Range and Average of Financial Assistance:

Maximum insurable loans are as follows: In areas where 125 percent of the median house price is less than 65 percent of the Freddie Mac limit (\$417,000), FHA floor limits are set at the 65 percent limit as follows: one-family \$271,050; two-family \$347,000; three-family \$419,400; and four-family \$521,250. Any area where the limits exceed the floor is known as a high cost area. In areas where 125 percent of the median house price exceeds the 175 percent limit of \$729,000 for a one unit property, the mortgage limits are set at the 175 percent amount (ceiling) as follows: one-family \$729,750; two-family \$934,200; three-family \$1,129,250; and four-family \$1,403,400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

100 Questions and Answers About Buying a New Home, no charge; The HUD Home Buying Guide, no charge; Residential Rehabilitation Program, no charge; Fact Sheet: Rehabilitation Mortgage Insurance, no charge. 24 CFR 203.50.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hshgocs.cfm>), or the nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia

20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.108 Rehabilitation Mortgage Insurance; 14.133 Mortgage Insurance_Purchase of Units in Condominiums; 14.163 Mortgage Insurance_Single Family Cooperative Housing

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.119 MORTGAGE INSURANCE_HOMES FOR DISASTER VICTIMS
(203(h))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To help victims of a major disaster undertake homeownership on a sound basis.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase or reconstruction of a one-family home that will be the principal residence of a borrower that is a victim of a major disaster. The maximum insurable loan for such an occupant mortgagor is the same as prescribed for Section 203(b) (14.117). Disaster victims are not required to meet the 3 percent minimum investment requirements. In order to qualify for assistance, the formerly occupied home must have been in an area designated by the President as a disaster area, and it must have been destroyed or damaged to such an extent that reconstruction or replacement is necessary.

Applicant Eligibility:

Anyone that is a victim of a major disaster as designated by the President is eligible to apply.

Beneficiary Eligibility:

Families or individuals that are victims of a major disaster as designated by the President.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An FHA-approved lender submits the application for review and approval or disapproval to the local HUD Field Office.

Award Procedure:

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD approved lending institution. In addition, certain lending institutions have been approved to review applications and issue an approval or rejection, without additional HUD intervention. This program is referred to as Direct Endorsement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years, except 35 years if the mortgagor is unacceptable for a 30-year term and the property was constructed subject to HUD or VA inspection. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.117 for FY 09, FY 10, and FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia
20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.122 MORTGAGE INSURANCE_HOMES IN URBAN RENEWAL AREAS
(220 Homes)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1954, Public Law 83-560, 12 U.S.C. 1715(b), 1715(k), Title

24, Part 220.

OBJECTIVES:

To help families purchase or rehabilitate homes in urban renewal areas.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance acquisition or rehabilitation of one- to 11-family housing in approved urban renewal or code enforcement areas. Maximum insurable loans for the occupant mortgagor are the same as prescribed for Section 203(b), plus \$9,165 for each family unit over four. Properties must be constructed or rehabilitated pursuant to an approved urban renewal plan.

Applicant Eligibility:

All families are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD- approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years or not in excess of three-fourths of the remaining economic life of the project. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - FY 09 Reported Under 14.133
FY 10 Reported Under 14.133
FY 11 Reported Under 14.133.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.123 MORTGAGE INSURANCE_HOUSING IN OLDER, DECLINING AREAS

(223(e))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To assist in the purchase or rehabilitation of housing in older, declining urban areas.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans under other sections of the National Housing Act, e.g., Section 221(d)(3) or (d)(4), pursuant to Section 223(e). Claims are paid from the Special Risk Insurance Fund, but this is not a separate program. The determination to use Section 223(e) is at the discretion of HUD. These loans may be used to finance the purchase, repair, rehabilitation, and construction of housing in older, declining urban areas where conditions are such that certain normal eligibility requirements for mortgage insurance under a particular program cannot be met. The property must be an acceptable risk giving consideration to the need for providing adequate housing for low-and moderate-income families.

Applicant Eligibility:

HUD-approved mortgagees.

Beneficiary Eligibility:

For single family purposes, an individual or family is eligible to apply through HUD approved mortgagees. Multifamily sponsorship is determined by applicable program requirements.

Credentials/Documentation:

For single family applications, documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the

mortgagee and submitted with the application. Documentation required for multifamily applications is determined by applicable program requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications may be submitted at any time for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may request the HUD-approved mortgagee to seek HUD reconsideration.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgages are required to service and maintain records in accordance with acceptable practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.133.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. All projects are processed in Single Family Homeownership Centers, Multifamily Hubs, or local HUD offices. Persons are encouraged to contact the Homeownership Center or HUD serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.126 MORTGAGE INSURANCE_COOPERATIVE PROJECTS

(213 Cooperatives)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 213; Housing Act of 1950, Public Law 81-475; Housing Act of 1956, Public Law 84-1020, 12 U.S.C. 1715(e); Public Law 91-152, 83 Stat. 379, 383; Public Law 84-345, 69 Stat. 635; Public Law 87-70, 75 Stat. 149, 179; Public Law 86-372, 73 Stat. 654, 656; Public Law 89-117, 79 Stat. 451, 469; Public Law 89-754, 80 Stat. 1255-66.

OBJECTIVES:

Enables nonprofit cooperative ownership housing corporations or trusts to develop or sponsor the development of housing projects to be operated as cooperatives. Section 213 allows investors to provide good quality multifamily housing to be sold to such nonprofit corporations or trusts upon completion of construction or rehabilitation.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 213 insures lenders against loss on mortgages. Insured mortgages may be used to finance construction, acquisition of existing, or rehabilitation of detached, semidetached, row, walk-up, or elevator type housing consisting of five or more units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation housing projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors are nonprofit cooperatives, ownership housing corporations or trusts which may either sponsor projects directly, sell individual units to cooperative members, or purchase projects from investor-sponsors (builders, developers, or others who meet HUD requirements).

Beneficiary Eligibility:

Members of the cooperative are eligible to occupy a dwelling in the structure whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The sponsor submits the SAMA application or feasibility letter to the local Hub or

Program Center. Following HUD's approval and issuance of a SAMA or feasibility letter, the sponsor submits a firm commitment application through a HUD-approved mortgagee to the local Multifamily Hub or Program Center for processing.

Award Procedure:

The local HUD field office decides whether to approve, or reject individual applications and whether to issue a commitment to the lender to insure the mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing times will depend upon the degree of preparation by the sponsor and HUD Multifamily Hub or Program Center workload.

Appeals:

If any application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less, except for sales type cooperatives where the maximum term is 35 years or not in excess of three-fourths of the remaining economic life, whichever is less. See the following for information on how assistance is awarded/released: Mortgage Insurance provided over the life of the loan.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with the regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under 14.117 for FY 09,

FY 10, and FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Handbooks 4550.1 and 4550.2 "Basic Cooperative Housing Insurance, and Pre-sale Management Type Co-ops", no charge; HUD Handbook 4550.3,

"Converting an Existing Project to a Cooperative", no charge; HUD Handbook 4240.3, Section 203 (n), Application Through Insurance (Single Family), no charge; HUD Handbook 4550.4, Supplementary Loan-Cooperative Housing", no charge; HUD Handbook 4550.5, "Investor-Sponsor and Nonprofit Sponsorship of Housing Cooperatives", no charge; HUD Handbook 4550.6, "Sales Type Cooperatives", no charge available on <http://www.hudclips.org>.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Multifamily Hub or Program Center with jurisdiction for the proposed property.

HUD Multifamily Hubs and Program Centers are listed on the web at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.127 MORTGAGE INSURANCE MANUFACTURED HOME PARKS

(207 - Manufactured Home Parks)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 207, Public Law 84-345, 12 U.S.C. 1713.

OBJECTIVES:

To make possible the financing of construction or rehabilitation of manufactured home parks.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The Department's Federal Housing Administration (FHA) insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance the construction or rehabilitation of manufactured home parks consisting of 5 or more spaces. The maximum mortgage limit is \$11,250 per space. In areas where cost levels so require, limits may be increased up to 140 percent on a case-by-case basis. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

Families, individuals, and elderly persons owning manufactured homes and desiring to lease spaces in a manufactured home park.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The sponsor submits a site appraisal and market analysis (SAMA) for new construction projects or a feasibility application for substantial rehabilitation projects. Following HUD's issuance of a SAMA or feasibility letter, the sponsor submits a firm commitment application through a HUD-approved lender for processing. Considerations include market need, zoning, capabilities of the borrower, and availability of community resources. Applications are submitted to the local HUD Multifamily Hub Program Center which does multifamily processing.

Award Procedure:

The HUD Multifamily Hub or Program Center decides whether to approve or reject applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time will depend upon the degree of preparation by the sponsor and by the workload of the HUD Field Office.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may reapply subject to concurrence of the lender.

Renewals:

The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less. See the following for information on how assistance is awarded/released: Over the life of the mortgage.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under FY 14.135 FY 09, FY 10, FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet, Manufactured Home Parks; 24 CFR 207.33 et seq.; Mobile Home Parks Financing, no charge; Housing Surveys, Part II; HUD Handbook 4545.1, Mobile Home Park Program, no charge, available on HUDCLIPS at

<http://www.hudclips.org>.

Regional or Local Office:

See Regional Agency Offices. All projects are processed in Local HUD Multifamily Hubs or Program Centers. Persons are encouraged to communicate with the nearest HUD Multifamily Hub or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or in the Catalog Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.128 MORTGAGE INSURANCE_HOSPITALS

(Section 242 - Mortgage Insurance for Hospitals)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

242, 1715z U.S.C.

OBJECTIVES:

To facilitate the affordable financing of hospitals for the care and treatment of persons who are acutely ill or who otherwise require medical care and related services of the kind customarily furnished only or most effectively by hospitals.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgages. The loans may be used to finance the construction, modernization, equipment, or refinancing of acute care hospitals.

Applicant Eligibility:

Qualified applicants can be either profit or not-for-profit hospitals licensed or regulated by the State, municipality, or other political subdivision. At least 50 percent of the care must be for general acute patients (this requirement has been eliminated for hospitals specifically designated as Critical Access Hospitals as part of Public Law 108-091).

Beneficiary Eligibility:

Persons needing the services of these hospitals benefit by using the modernized facilities supported by the insured mortgages.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. A Certificate of Need from the appropriate State agency is needed if that State has a Certificate of Need process. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The

sponsor submits a formal application to the Office Healthcare Programs, Room 9224, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, DC 20410.

Award Procedure:

The Federal Housing Commissioner makes final decisions to approve, hold, or reject individual applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Processing time depends upon the complexity of the proposal and the degree of preparation by the sponsor. 60 days is the goal for non-complicated applications.

Appeals:

If an application for mortgage insurance is refused, the reasons for the refusal will be stated. The applicant may reapply.

Renewals:

The term of a commitment to insure advances is typically effective for 90 days from the date of issuance and may be extended for good cause.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The mortgage term is 25 years. See the following for information on how assistance is awarded/released: insurance of advances for construction projects.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees and mortgagors are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and FHA regulations. Records must be kept in accordance with State and Federal Regulations during the construction phase.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$1,111,124,021; FY 10 est \$3,450,000,000; FY 11 est \$4,040,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ivy M. Jackson, 451 7th Street, SW Rm 9224 , Washington, District of Columbia 20410 Email: Ivy.M.Jackson@hud.gov Phone: (202) 402-2333.

Website Address:

<http://www.fha.gov/healthcare>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.129 MORTGAGE INSURANCE_NURSING HOMES, INTERMEDIATE CARE FACILITIES, BOARD AND CARE HOMES AND ASSISTED LIVING FACILITIES

(232)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 232; Housing Act of 1959, as amended, Public Law 86-372; Housing and Urban Development Act of 1969, Public Law 91-152, 73 Stat. 654, 83 Stat. 379; Public Laws 95-128, 95-557, 96-153, and 98-181.

OBJECTIVES:

To provide mortgage insurance to HUD-approved lenders to facilitate the construction or rehabilitation of nursing homes, intermediate care facilities, board and care homes and assisted living facilities, to allow purchase or refinancing with or without repairs of projects not requiring substantial rehabilitation, and to provide loan insurance to install fire safety equipment.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 232 insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance construction or renovation of facilities to accommodate 20 or more patients requiring skilled nursing care and related medical services, or those who while not in need of nursing home care, are in need of minimum but continuous care provided by licensed or trained personnel. Board and care homes and assisted living facilities are also eligible and must contain a minimum of five accommodations or units. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities may be combined in the same facility covered by an insured mortgage or may be in separate facilities. Nursing homes, intermediate care facilities, board and care homes and assisted living facilities must be licensed or regulated by the appropriate state agency, municipality, or other political subdivision where located. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers, public entities, nursing homes and private nonprofit corporations or associations.

Beneficiary Eligibility:

Residents requiring skilled nursing, custodial care, and assistance with activities of daily living are eligible to live in a structure whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. Certification of need and inspection and licensing by the State agency designated by the Public Health Service Act for the State in which the nursing home or intermediate care facility is to be located is required, or, if no State Agency exists, an alternative market study is required. A Certificate of Need may be required for a board and care home or assisted living facility. A statement from the appropriate State Agency is required stating that the State is in compliance with Section 1616 (e) of the Social Security Act. This facility must be licensed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Depending upon the project, an Environmental Impact Study may be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for mortgage insurance are assembled and underwritten by FHA-Approved Lenders before submittable to HUD for processing of the Firm Commitment (there is also a Pre-application process for projects with new units whereby HUD comments on the market). Please see the "General Overview" document posted at www.fha.gov/232lenderprocessing for a list of lenders who offer Section 232 loans.

Award Procedure:

HUD makes the final decision to approve, or reject individual projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and the completeness of the package submitted by the FHA Approved Lender.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years for new construction and substantial rehabilitation. The maximum term for existing projects without substantial rehabilitation is 35 years. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor, or lesser, in order to determine their compliance and conformance with HUD regulations and standards. Audited Annual Financial Statement are required of Mortgagor entities and Operators.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the FHA regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$1,904,573,799; FY 10 est \$2,650,000,000; FY 11 est \$3,030,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Nursing Homes (Mortgage Insurance); Minimum Property Standards for Nursing Homes; (FHA Regulations). Handbook for Nursing Homes and Intermediate Care Facilities; (4600.1); (FHA Regulations 24 CFR 232 and 200), available on HUDCLIPS at <http://www.hudclips.org>; Lean processing information available at www.fha.gov/232lenderprocessing

Regional or Local Office:

None. Applications for mortgage insurance are assembled and underwritten by FHA-Approved Lenders before submittable to HUD for processing of the Firm Commitment (there is also a Pre-application process for projects with new units whereby HUD comments on the market). Please see the "General Overview" document posted at www.fha.gov/232lenderprocessing for a list of lenders who work on Section 232 loans.

Headquarters Office:

Ivy M. Jackson, 451 7th Street, SW Rm 9224, Washington, District of Columbia 20410 Email: Ivy.M.Jackson@hud.gov Phone: (202) 402-2333.

Website Address:

<http://www.hud.gov/healthcare>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.133 MORTGAGE INSURANCE PURCHASE OF UNITS IN CONDOMINIUMS

203 (b)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 203(b) requirements.

OBJECTIVES:

To enable families to purchase or refinance eligible units in FHA-approved condominium projects.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on FHA-insured mortgage loans. These loans may be used to finance the acquisition of or refinance individual units in FHA-approved proposed, under construction, existing or converted condominium projects containing two or more units. The maximum insurance loan for an occupant mortgagor is the same as Section 203(b) Program 14.117.

Applicant Eligibility:

All families are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Eligible unit must in an FHA-approved condominium project. Documentation regarding the function and characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the loan package. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Application is approved by lender.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

An FHA-approved mortgagee who has unconditional Direct Endorsement authority underwrites and approves or rejects the loan application. If the loan is denied, the mortgagee issues a denial letter to the mortgagor advising of the reasons(s) for rejection of the loan application. The mortgagor may appeal to the mortgagee in writing for reconsideration of the initial loan decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - FY 09 is reported under 14.117
FY 10 is reported under 14.117
FY 11 is reported under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 96,119 condominium loan applications. Fiscal Year 2010: As of 5/31/10, 66,071 condominium loan applications. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Interested parties are encouraged to contact the FHA Resource Center by phone at 1-800-CALL FHA (1-800-225-5342) or by email at info@fhaoutreach.com. Interested parties may also visit the fha.gov or hud.gov websites for information.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.134 MORTGAGE INSURANCE_RENTAL HOUSING

(Section 207)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 207, Public Law 75-424, 12 U.S.C. 1713.

OBJECTIVES:

To increase the supply of good quality rental housing for middle-income families.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 207 insures lenders against the loss on mortgage defaults. Section 207 mortgage insurance, although still authorized, is no longer used for new construction and substantial rehabilitation. It is however, the primary vehicle for the Section 223(f) refinancing program. Multifamily new construction and substantial rehabilitation projects are currently insured under Section 221(d)(3) and Section 221(d)(4) programs. Insured mortgages may be used to finance the construction or rehabilitation of rental detached, semidetached, row, walk-up, or elevator type structures with 5 or more units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-value and debt service limitations.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers, and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

All families eligible to occupy dwellings in a structure whose mortgage is insured under the program, subject to normal tenant selection.

Credentials/Documentation:

Documentation regarding the characteristics of the property and qualifications of the mortgagor are submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The sponsor submits an application for a SAMA or feasibility letter. Following HUD's approval and issuance of a SAMA or feasibility letter, the Firm Commitment application is submitted to the local HUD Multifamily Hub or Program Center for processing.

Award Procedure:

If the project meets program requirements, the local HUD Multifamily Hub or Program Center issues a commitment to the lender to insure the mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time will depend upon the degree of preparation by the sponsor and

HUD Multifamily Hub or Program Center workload.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

Statutory Formula: Title 24 cfr, Part 207.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less. See the following for information on how assistance is awarded/released: Provided over life of mortgage.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under 14.135 for FY 09, FY 10, and FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 207 et seq.; Fact Sheet: Section 207 Rental Housing, no charge; HUD Handbook 4400.1, Project Mortgage Insurance Basic Section 207 Instructions, available on www.hudclips.org.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with Multifamily Hub or Program Center with jurisdiction for the proposed property. HUD Multifamily Hubs and Programs Centers are listed at http://www.hud.gov/offices/hsg/mfl/mfbroch/hubs_pcs.cfm or at the Catalog Address Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.135 MORTGAGE INSURANCE, RENTAL AND COOPERATIVE

HOUSING FOR MODERATE INCOME FAMILIES AND ELDERLY, MARKET INTEREST RATE

(221(d)(3) and (4) Multifamily - Market Rate Housing)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 221, Public Law 86-372, 12 U.S.C. 1715(1).

OBJECTIVES:

To provide good quality rental or cooperative housing for moderate income families, the elderly, and handicapped. Single Room Occupancy (SRO) may also be insured under this section (see program 14.184).

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 221(d)(3) and Section 221(d)(4) insures lenders against loss on mortgage defaults for market rate rental projects. Insured mortgages may be used to finance construction or rehabilitation of detached, semidetached, row, walkup, or elevator-type rental or cooperative housing containing 5 or more units. The program has statutory mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act. Section 221(d)(3) mortgages require appropriated credit subsidy, which is limited.

Applicant Eligibility:

Public, profit-motivated sponsors, limited distribution, nonprofit cooperative, builder-seller, investor-sponsor, and general mortgagors.

Beneficiary Eligibility:

All families are eligible to occupy dwellings in a structure whose mortgage is insured under the program, subject to normal tenant selection. There are no income limits. Projects may be designed specifically for the elderly and handicapped.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For Traditional Application Process (TAP) following HUD's issuance of a SAMA letter (new construction) or Feasibility Letter (substantial rehabilitation) the sponsor submits a formal mortgage insurance application through a HUD-approved mortgagee to the local HUD Multifamily Hub and Program Center for processing. For Multifamily Accelerated Processing (MAP), the lender submits the required exhibits, including a full underwriting package, which are then reviewed by the Multifamily Hub and Program Center before a Firm Commitment is issued.

Award Procedure:

The local HUD Multifamily Hub and Program Center reviews the application to determine whether the proposal is feasible. Considerations include market need, zoning, architectural merits, capabilities of sponsors, availability of community resources, etc. If the project meets program requirements, the HUD Multifamily Hub and Program Center issues the lender a commitment to insure the project

mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and whether or not TAP or MAP is used.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended under certain circumstances when more time is required.

Formula and Matching Requirements:

Statutory Formula: Title 24 cfr, Part 207.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less. See the following for information on how assistance is awarded/released: Over the life of the mortgage.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$6,644,700; FY 10 est \$118,000,000; FY 11 est \$64,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 221 et seq.; Fact Sheet: Rental Housing for Moderate Income Families, no charge; HUD Handbook 4560.2, Mortgage Insurance for Moderate-Income Housing Projects, Section 221 (d)(4), no charge; HUD Handbook 4560.1, Section 221(d)(3) Market Interest Rate for Project Mortgage Insurance, no charge; HUD Handbook 4560.3, Mortgage Insurance for Single Room Occupancy Projects; no charge; HUD Handbook 4550.3; Basic Cooperative Housing Insurance Handbook, no charge. Handbooks are on HUDCLIPS at <http://www.hudclips.org>. The Multifamily Accelerated Processing (MAP) Guide is on the web. Refer to HUD's MAP page at <http://www.hud.gov/offices/hsg/mfh/map/maphome.cfm>.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to contact the

Multifamily Hub or Program Center with jurisdiction for the proposed project. HUD Multifamily Hubs or Program Centers are listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or in the Catalog Address Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.138 MORTGAGE INSURANCE_RENTAL HOUSING FOR THE ELDERLY

(231)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 231, Public Law 86-372, 73 U.S.C. 654, 12 U.S.C. 1715(V).

OBJECTIVES:

To provide quality rental housing for the elderly.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 231 insures lenders against loss on mortgages. Section 231 was designed to increase the supply of rental housing specifically for the use and occupancy of elderly persons and/or persons with disabilities. Insured mortgages may be used to finance construction or rehabilitation of detached, semidetached, walk-up, or elevator type rental housing designed for occupancy by elderly or handicapped individuals and consisting of 8 or more rental units. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations.

Applicant Eligibility:

Eligible mortgagors include private profit-motivated developers, and nonprofit sponsors.

Beneficiary Eligibility:

All elderly or handicapped persons are eligible to occupy apartments in a project whose mortgage is insured under the program.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor must be submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The sponsor submits a formal application through a HUD-approved mortgagee to the local HUD Multifamily Hub or Program Center.

Award Procedure:

If the project meets program requirements, the local HUD Multifamily Hub or Program Center issues a commitment to the lender for mortgage insurance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time varies depending upon the degree of preparation by the sponsor and HUD Multifamily Hub and Program Center workload.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR, Part 231.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years or not in excess of three-fourths of the remaining economic life, whichever is less. See the following for information on how assistance is awarded/released: Over term of mortgage.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practice of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under 14.135 FY 09, FY 10, FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet, Section 231 for the Elderly; 24 CFR 231.1 et seq. Section 231, Housing for the Elderly for Project Mortgage Insurance, HUD Handbook 4570.1, no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Multifamily Hub or Program Center with jurisdiction for the proposed property. HUD Multifamily Hubs or Program Centers are listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm and in the Catalog Address Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410

Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.139 MORTGAGE INSURANCE_RENTAL HOUSING IN URBAN RENEWAL AREAS

(220 Multifamily)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 220, Public Law 83-560, 12 U.S.C. 1745(k).

OBJECTIVES:

To provide quality rental housing in urban renewal areas, code enforcement areas, and other areas designated for overall revitalization.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 220 insures lenders against loss on mortgage defaults. Insured mortgages may be used to finance proposed construction or rehabilitation of detached, semi-detached, row, walk-up, or elevator type rental housing or to finance the purchase of properties which have been rehabilitated by a local public agency. Property must consist of two or more units and must be located in an urban renewal area, urban redevelopment project, or code enforcement program area, urban area receiving rehabilitation assistance as a result of natural disaster, or area where concentrated housing, physical development and public service activities are being carried out in a coordinated manner. The program has statutory mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-replacement cost and debt service limitations. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage standards under the Davis-Bacon Act.

Applicant Eligibility:

Eligible mortgagors include private profit motivated entities, public bodies, and others who meet HUD requirements for mortgagors.

Beneficiary Eligibility:

All families eligible to occupy a dwelling in a structure whose mortgage is insured under the program, subject to normal tenant selection.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor must be submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For traditional processing, the application for a Firm Commitment is submitted by the sponsor through a HUD-approved mortgagee, and the application is processed by the Multifamily Hub or Program Center. For Multifamily

Accelerated Processing (MAP), the application for a Firm Commitment, including a full underwriting package, is submitted by the MAP leader for HUD Field Office review.

Award Procedure:

The local Multifamily Hub or Program Center reviews the application to determine whether the proposal is feasible. Considerations include market need, zoning, architectural merits, capabilities of sponsors, availability of community resources, etc. If the project meets program requirements, the Multifamily Hub or Program Center issues the lender a commitment to insure the project mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and whether or not Multifamily Accelerated Processing (MAP) or Traditional Application Processing (TAP) is used.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended under certain circumstances when more time is required to close the loan.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less. See the following for information on how assistance is awarded/released: Over the life of the mortgage.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.135 FY 09, FY 10, FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 220.1 et seq.; Fact Sheet: Urban Renewal Housing (Mortgage Insurance), no charge; Rental Housing in Urban Renewal Areas for Project

Mortgage Insurance, HUD Handbook 4555.1, no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest local HUD Multifamily Field Office listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or contact the nearest HUD Field Office listed in the Catalog Address Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: 202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.142 PROPERTY IMPROVEMENT LOAN INSURANCE FOR IMPROVING ALL EXISTING STRUCTURES AND BUILDING OF NEW NONRESIDENTIAL STRUCTURES

(Title I)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To facilitate the financing of improvements to homes and other existing structures and the building of new nonresidential structures.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on loans. Insured loans may be used to finance alterations, repairs, and improvements for existing structures and the building of new nonresidential structures which substantially protect or improve the basic livability or utility of the properties. The maximum loan amount is \$25,000 for improving a single family home or for improving or building a nonresidential structure. For improving a multifamily structure, the maximum loan amount is \$12,000 per family unit, not to exceed a total of \$60,000 for the structure.

Applicant Eligibility:

Eligible borrowers include the owner of the property to be improved, a lessee having a lease extending at least 6 months beyond maturity of the loan, or a purchaser of the property under a land installment contract.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

The responsibility for credit approval of borrowers is exercised by HUD-insured lenders. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The borrower applies directly to an insured lender, or through a lender's approved dealer.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

HUD does not participate in the approval or disapproval of individual loans.

Appeals:

Reapplication in case of refusal should be submitted to an approved lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loans mature in 20 years and 32 days or earlier. Loans may be refinanced, but may not extend beyond 30 years from the date of the original note. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$56,448,498; FY 10 est \$60,000,000; FY 11 est \$63,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact HUD at (800) 767-7468 for a program brochure #2651 and list of lenders.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.149 RENT SUPPLEMENTS_ RENTAL HOUSING FOR LOWER INCOME FAMILIES

(Rent Supplement Program)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Urban Development Act of 1965, Public Law 89-117, 12 U.S.C. 1701(s).

OBJECTIVES:

To make quality rental housing available to low income families at a cost they can afford.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

HUD makes payments to owners of approved HUD-insured and non-insured multifamily rental housing projects to supplement the partial rental payments of eligible tenants. Rental projects were required to be part of an approved workable program for community improvement or be approved by local government officials. Assistance covers the difference between the tenant's payment and the basic market rental, but may not exceed 70 percent of the market rental. The tenant's payment is between 25 and 30 percent of monthly adjusted income or 30 percent of market rental, whichever is greater. This program is inactive. No new projects are being approved; however, tenants may apply for admission to existing projects. Affordability Requirements.

Applicant Eligibility:

Eligible sponsors included nonprofit, cooperative, builder-seller, investor-sponsor, and limited-distribution mortgagors.

Beneficiary Eligibility:

Families incomes must be within the income limits prescribed for admission to Section 8 housing in order to qualify for benefits under this program. Families may continue in occupancy if 30 percent of monthly income exceeds the market rent.

Credentials/Documentation:

Applications for new projects are no longer being accepted. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No applications for new projects are being accepted.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The rent supplement contract is limited to the term of the mortgage or 40 years from the date of the first payment made under the contract, whichever is less. Individual family's assistance ceases when 30 percent of income is equal to or exceeds the market rent. See the following for information on how assistance is awarded/released: Monthly.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to maintain records in accordance with acceptable mortgage practices of lending institutions and the HUD regulations. All tenants who benefit from supplements must have their incomes recertified annually.

Account Identification:

86-0129-0-1-604 - Salaries and Expenses (not separately identifiable).

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Salaries and Expenses not separately identifiable.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet: Rent Supplements, no charge; 24 CFR 215; HUD Handbook 4350.3.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Field Office listed in Appendix IV.

Headquarters Office:

Beverly J. Miller, 451 Seventh St SW, Washington, District of Columbia 20410
Email: beverly.miller@hud.gov Phone: (202) 708-3730.

Website Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.151 SUPPLEMENTAL LOAN INSURANCE_MULTIFAMILY RENTAL HOUSING

(241(a))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Section 241, Public Law 90-448, 12 U.S.C. 1715, Public Law 94-375, 12 U.S.C. 1715z-6.

OBJECTIVES:

To provide quality rental housing for the elderly.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 241(a) insures lenders against loss on loans made either (1) to finance additions and improvements of multifamily housing projects, nursing homes, hospitals and group practice facilities already subject to HUD/FHA insured mortgages or mortgages held by HUD, or (2) to finance energy conservation improvements. The proceeds of a loan involving an insured nursing home, hospital or a group practice facility may also be used to purchase equipment to be used in the operation of such nursing home or facility. The maximum insurable loan for an insured project is an amount which, when added to the outstanding balance of the existing insured mortgage, does not exceed the amount insurable under the program pursuant to which the mortgage covering

such project or facility is insured. Where the project is covered by a mortgage held by the Secretary the principal amount of the loan shall be in an amount acceptable to the Secretary. Contractors of substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act. Section 241(a) for apartments requires appropriated credit subsidy, which is limited.

Applicant Eligibility:

Owners of a multifamily project or facility already subject to a mortgage insured by HUD or held by HUD.

Beneficiary Eligibility:

Individuals/families and owners of multifamily projects.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The sponsor submits a formal application for review and approval or disapproval through a HUD-approved mortgagee to the local HUD Multifamily Hub or Program Center.

Award Procedure:

If the proposed improvements meets program requirements, the local HUD Multifamily Hub and Program Center issues a commitment to the lender to insure the mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and workload in the HUD Multifamily Hub and Program Center.

Appeals:

If an application for mortgage insurance is refused, HUD will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

Statutory Formula: Title 24 cfr, Part 241.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

A loan for a project with a HUD-insured or HUD-held mortgage must have a maturity acceptable to HUD. See the following for information on how assistance is awarded/released: Over life of mortgage.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the lender or borrower in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$15,767,400; FY 10 est \$25,000,000; FY 11 est \$25,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR, 241 et seq.; HUD Handbook 4585.1 "Supplemental Loans for Project Mortgage Insurance," Section 241, no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

See Regional Agency Offices. All projects are processed in Regional and Local Multifamily Hub or Program Center. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub and Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or contact the nearest HUD Field Office in the Catalog Address Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.155 MORTGAGE INSURANCE FOR THE PURCHASE OR REFINANCING OF EXISTING MULTIFAMILY HOUSING PROJECTS

(Section 223(f)(207))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Section 223(f), as amended; Housing and Community Development Act of 1974, as amended, Public Law 93-383, 12 U.S.C. 1715n.

OBJECTIVES:

To provide mortgage insurance to HUD-approved lenders for the purchase or refinancing of existing multifamily housing projects, whether conventionally financed or subject to federally insured mortgages at the time of application for mortgage insurance. The program allows for the long term mortgages that can be financed with Ginnie Mae Mortgage-Backed Securities.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Section 223(f) insures lenders against loss on mortgage loans. These loans may be used to purchase or refinance existing multifamily housing projects. Only projects not requiring substantial rehabilitation are acceptable under this

section. The estimated cost of required repairs may not exceed 15 percent of the estimated value after repairs or \$6,500 per unit adjusted by a high cost factor, whichever is greater and may not involve the replacement of more than one major system. The program has statutory per unit mortgage limits which vary according to the size of the unit, the type of structure, and the location of the project. There are also loan-to-value and debt service limitations. Prevailing wage requirements under the Davis-Bacon Act do not apply to this program.

Applicant Eligibility:

Mortgagors may be either private or public. The property must consist of not less than 5 living units and must meet the following criteria: (1) the mortgage cannot exceed 85 percent of the HUD estimated value of the project; (2) three years must have elapsed from the later date of completion of project construction or substantial rehabilitation, or the beginning occupancy date of application for mortgage insurance; (3) the remaining economic life of the project must be long enough to permit at least a ten-year mortgage term or 75 percent of the estimated life of the physical improvements.

Beneficiary Eligibility:

All persons are eligible to occupy such projects subject to normal occupancy restrictions.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the purchaser or existing mortgagor in refinance projects are assembled by the mortgagee and submitted with the application. Management plan must be submitted at time of application for commitment. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant will submit a formal application for conditional or firm commitment through a HUD approved mortgagee. An environmental assessment is required.

Award Procedure:

The local HUD Multifamily Hub and Program Center reviews the application to determine proposal eligibility. If the project meets program requirements, the local HUD Multifamily Hub and Program Center issues the commitment to the lender to insure the project.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends on the degree of preparation of the sponsor, and whether MAP or TAP is used.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for denial.

Renewals:

The term of a commitment to insure may be extended under certain circumstances when more time is required to close the loan.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The mortgage term should not be less than 10 years nor should it exceed the lesser of 35 years or 75 percent of the estimated remaining economic life of the physical improvements. See the following for information on how assistance is awarded/released: Over the life of the loan.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$2,102,044,300; FY 10 est \$3,900,000,000; FY 11 est \$34,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$1,200,000,000,000. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fact Sheet, Section 223(f) Mortgage Insurance; 24 CFR 200, HUD Handbook 4565.1, Mortgage Insurance for the purchase or Refinancing of Existing Multifamily Housing Projects, Section 223(f) available on www.hudclips.org. The Multifamily Accelerated Processing (MAP) guide may be found at <http://www.hud.gov/offices/hsg/mfh/map/maphome.cfm>.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or the nearest HUD Field Office listed in Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.157 SUPPORTIVE HOUSING FOR THE ELDERLY

(Section 202)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1959 (12 U.S.C. 1701q) as amended by Section 801 of the Cranston-Gonzalez National Affordable Housing Act (Public Law 101-625 approved November 28, 1990); The Housing and Community Development Act of 1992 (Public Law 102-550 approved October 28, 1992); The Rescissions Act (Public Law 104-19 enacted on July 27, 1995); The American Homeownership and Economic Opportunity Act of 2000 (Public Law 106-569 approved December 27, 2000); and The Consolidated Appropriations Act of 2008 (Public Law 110-161 approved December 26, 2007).

OBJECTIVES:

To expand the supply of housing with supportive services for the very low income elderly, including the frail elderly.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Capital advances may be used to finance the construction or rehabilitation of a structure or portion of a structure, or the acquisition of a structure to provide supportive housing for the elderly, which may include the cost of real property acquisition, site improvement, conversion, demolition, relocation and other expenses of supportive housing for the elderly. Project rental assistance is used to cover the difference between the HUD-approved operating cost per unit and the amount the tenant pays, 30% of their adjusted income.

Applicant Eligibility:

Eligible Sponsors include private nonprofit organizations and nonprofit consumer cooperatives. Eligible Owner entities include private nonprofit corporations, nonprofit consumer cooperatives, and if the proposed project involves mixed-financing, for-profit limited partnerships with a nonprofit entity as the sole general partner. Public bodies and their instrumentalities are not eligible Section 202 applicants.

Beneficiary Eligibility:

Beneficiaries of housing developed under this program must be elderly (62 years of age or older) and have very low-incomes.

Credentials/Documentation:

The Sponsor/Owner must receive certification of eligibility from HUD. The Owner must submit financial documents to support its ability to provide a minimum capital investment of 1/2 of 1 percent of the HUD-approved capital advance amount, up to a maximum of \$25,000 for national Sponsors or up to \$10,000 if not a national Sponsor. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Notice of Fund Availability is published in the Federal Register each fiscal year announcing the availability of funds to HUD Field Offices. Applicants must submit a Request for a Fund Reservation, using Form HUD-92015-CA, Section 202 application for capital advance, in response to the Notice of Fund Availability (or a Funding Notification issued by the local HUD Field Office). The application for capital advance is used to determine the eligibility of the applicant and proposed project as well as the acceptability of the site and market, correctness of zoning and the effect on environment. All applications must be submitted via www.grant.gov.

Award Procedure:

Applications are reviewed and selected for funding within the funding allocation area. Those selected for funding must meet basic program requirements including, but not limited to: eligibility as a private nonprofit organization, commitment to provide the minimum capital investment and prior experience in housing or related supportive service activities. The request for Capital Advance Financing, using Form HUD-92013, is reviewed to update the determination of acceptability of project site and market, correctness of zoning, and effect on environment, as well as to determine the value of site, total development cost, and financial feasibility.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

At the fund reservation stage, the Sponsor usually is advised of the decision

within 4 to 5 months from the end of the application period.

Appeals:

From 1 to 15 days. During the period of competition, Sponsors whose applications are rejected by the HUD Office due to noncompliance with program requirements, have the right to appeal the rejection within 14 calendar days from the date of HUD's written notice of rejection.

Renewals:

> 180 Days. Project Rental Assistance Contract payments may not exceed 3 years. However, contracts are renewable for up to a one-year term based on availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The capital advance is not repayable so long as the project is available to very low income elderly for 40 years. Projects are expected to start construction within 18 months of the date of the fund reservation, with limited provision for extensions. Funds will be advanced on a monthly basis during construction for work in place. See the following for information on how assistance is awarded/released: Based on percentage of construction completion.

Reports:

All Owners will be required to submit form HUD-96010 Program Outcome Logic Model to HUD on an annual basis. All Owners will be required to submit a financial statement. HUD 60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons (OMB No. 2529-0043)
For each grant over \$200,000 that involves housing rehabilitation, housing construction, or other public construction, the prime recipient must submit Form HUD 60002 (24 CFR sections 135.3(a) and 135.90). No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. HUD reserves the right to audit the accounts of the Owner in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular financial reports are required. Owners must service and maintain records in accordance with acceptable mortgage practices and HUD regulations. Owners also must supply those records necessary to indicate compliance with the project rental assistance contract.

Account Identification:

86-0320-0-3-371; 86-0164-0-3-371; 86-4588-0-3-371.

Obligations:

(Direct Payments for Specified Use) FY 09 \$802,484,770; FY 10 est \$921,950,881; FY 11 est \$950,384,073

Range and Average of Financial Assistance:

\$803,900 to \$14,502,900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 3054 new units. Fiscal Year 2010: 3,000 units. Fiscal Year 2011: 0 units, PRAC renewals only.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 891; HUD Handbooks 4571.3 REV-1 and 4571.5; and Notice H 96-102, as extended.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD office listed at <http://portal.hud.gov/portal/page/portal/HUD/localoffices>.

Headquarters Office:

Alicia Anderson, 451 7th St SW Room 6152, Washington, District of Columbia 20410 Email: alicia.anderson@hud.gov Phone: (202) 708-3000.

Website Address:

<http://www.hud.gov> and www.grants.gov.

RELATED PROGRAMS:

14.181 Supportive Housing for Persons with Disabilities; 14.195 Section 8 Housing Assistance Payments Program_Special Allocations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.159 SECTION 245 GRADUATED PAYMENT MORTGAGE PROGRAM

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To facilitate early home ownership for households that expect their incomes to rise. Program allows homeowners to make smaller monthly payments initially and to increase their size gradually over time.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These mortgage loans may be used by owner-occupant mortgagors to finance the purchase of proposed, under construction, or existing single family housing, and condominiums. The mortgage calculation requires consideration of three limits, the least of which determines the maximum mortgage: 1) the statutory limit for the area; 2) the maximum mortgage as if the loan were to be insured under Section 203(b) using outstanding instructions, and, 3) a separate calculation specific to Sec. 245(a). This final calculation will almost always result in a lower amount than would be available under Section 203(b). The Section 245(a) calculation is to make certain that the loan amount with all deferred interest added does not exceed 97 percent of the appraised value including closing costs at the time the loan was made.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply for Section 245(a).

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the applicant are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the mortgage is limited to 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under 14.117 for FY 09, FY 10, FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@ifhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.162 MORTGAGE INSURANCE COMBINATION AND MANUFACTURED HOME LOT LOANS

(Title I)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Title I, Section 2, as amended, 12 U.S.C. 1703.

OBJECTIVES:

To make possible reasonable financing for the purchase of a manufactured home and a lot on which to place the home.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. Insured loans may be used to purchase manufactured homes and lots for buyers intending to use them as their principal places of residence. Effective March 3, 2009, the maximum mortgage amount is \$92,904 for a manufactured home and a suitably developed lot and \$23,226 for a developed lot only. The lot must be appraised by the HUD-approved appraiser. Maximum loan limits may be increased in designated high-cost areas.

Applicant Eligibility:

All persons are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the manufactured home unit, HUD-approved appraisal of the lot, and the qualifications of the borrower are submitted to the lender. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted to a HUD-approved lender for review and approval.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Prior to June 1, 2009, HUD had no involvement in the approval or disapproval process of a loan. Effective June 1, 2009, lenders will submit loans to HUD for a pre-closing test approval period, which will average 3 to 5 days for complete submissions. Upon successful completion of the pre-closing test phase, a lender may then be authorized to participate in the Title I Direct Endorsement Program. HUD has no other participation or regulation over the lender approval processing time.

Appeals:

The applicant may reapply.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum loan term is 20 years for a single-module home and lot; 25 years for a multiple-module home and lot; and 15 years for a lot only. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Lenders are required to service and maintain records in accordance with acceptable lending practices of prudent lending institutions and the HUD

regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - FY 09 Reported Under 14.110
FY 10 Reported Under 14.110
FY 11 Reported Under 14.110.

Range and Average of Financial Assistance:

The maximum mortgage amount is \$92,904 for a manufactured home on a suitably developed lot and \$23,226 for a developed lot only.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>

RELATED PROGRAMS:

14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers; 14.127 Mortgage Insurance_Manufactured Home Parks; 14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.163 MORTGAGE INSURANCE_SINGLE FAMILY COOPERATIVE HOUSING

(203(n))

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act; as amended, Section 203 (n), Emergency Home Purchase assistance act of 1974, Public Law 93-449, 88 Stat. 1364.

OBJECTIVES:

To provide insured financing for the purchase of the Corporate Certificate and Occupancy Certificate for a unit in a cooperative housing project. Ownership of the corporate certificate carries the right to occupy the unit located within the cooperative project.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These insured loans may be used to assist individuals or families in acquiring corporate certificates and occupancy certificates in a cooperative housing project that is covered by a blanket mortgage insured under the National Housing Act. The maximum insurable mortgage loan is limited to the maximum limit under Section 203(b) minus the portion of the unpaid balance of the project mortgage attributable to the dwelling unit.

Applicant Eligibility:

Potential owner-occupant mortgagors are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted for review and approval or disapproval to the HUD Homeownership Center having jurisdiction over the area where the property is located through a HUD approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum mortgage term is 30 years or the remaining term of the blanket mortgage covering the cooperative development, whichever is less. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving

their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgdocs.cfm>) or the nearest HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

14.117 Mortgage Insurance_Homes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.167 MORTGAGE INSURANCE_TWO YEAR OPERATING LOSS LOANS, SECTION 223(D)

(Two Year Operating Loss Loans)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, as amended, Public Law 90- 448, as amended, Public Law 91-152, 12 U.S.C. 1715x.

OBJECTIVES:

To insure a separate loan covering operating losses incurred during the first 2 years following the date of completion or any other 2-year period occurring within 10 years following the date of completion of a multifamily project with a HUD-insured first mortgage.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Eligibility is limited to currently insured HUD projects. Section 223(d) insures a loan to cover the excess of expenses over project gross income incurred during the first two years following the date of completion of the project or for any other two-year period within 10 years of project completion, for such amounts and upon such terms and conditions as HUD may prescribe. A project can receive both loans but not for the same two year period. The loan is insured by HUD under the same section as the original mortgage in an amount not exceeding the excess of operating expenses over project income. The loan term is limited to the unexpired term of the original mortgage. This program requires appropriated credit subsidy, which is limited.

Applicant Eligibility:

Owners of a multifamily project or facility subject to a mortgage insured by HUD are eligible to apply.

Beneficiary Eligibility:

Individuals/families and property owner.

Credentials/Documentation:

A formal application for insurance accompanied by a financial statement prepared by an independent certified public accountant or independent public accountant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The sponsor submits a formal application through a HUD-approved mortgagee to the local HUD Multifamily Hub or Program Center.

Award Procedure:

Information submitted by the applicant will be reviewed and analyzed using data available through office records, field review and general current working knowledge of the project and background. A determination is then made as to the maximum insurable loan amount.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing time will be dependent upon the degree of preparation by the sponsor and HUD Multifamily Hub or Program Center workload.

Appeals:

If an application for operating loss loan is refused, HUD will state the reason for the refusal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The loan may not exceed the remaining term of the insured mortgage. See the following for information on how assistance is awarded/released: over the life of the mortgage.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. HUD reserves the right to audit the accounts of the mortgagee or mortgagor to determine compliance and conformance with HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$6,644,300; FY 10 est \$4,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

Loans sizes range from \$750,000 to \$7,000,000 with an average of \$2.0 million. Project sizes range fro 117 to 210 units with an average of 151 units.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 207.4(f) et seq; HUD Handbook 4470.1 REV-2 CHG-1 (Chapter 17), "Operating Loss Loans", no charge, available on HUDCLIPS at <http://www.hudclips.org>.

Regional or Local Office:

See Regional Agency Offices. All projects are processed in HUD Multifamily Hubs or Program Centers. Persons are encouraged to communicate with the nearest local Multifamily Hub or Program Center. These offices are listed under HUD resources on the Multifamily industry website at: http://www.hud.gov/offices/hsg/mflh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410 Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.168 LAND SALES-CERTAIN SUBDIVIDED LAND (INTERSTATE LAND SALES REGISTRATION) AND REAL ESTATE SETTLEMENT PROCEDURES ACT

(Interstate Land Sales Registration Program)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Interstate Land Sales Full Disclosure Act, as amended, Public Law 90-448, Title XIV, 15 U.S.C. 1701; Public Law 96-153.

OBJECTIVES:

To provide consumer protection by prohibiting fraudulent practices and requiring full disclosure of conditions surrounding the purchase of subdivided land covered by the Act.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Developers that sell, lease, or offer to sell or lease land divided into 100 or more nonexempt lots, using any means or instruments of transportation or communication in interstate commerce or the mails must register with the Department of Housing and Urban Development. The developers must provide all purchasers with a copy of a Property Report which sets forth important facts about the development and the developer. Failure to provide a Property Report prior to the purchaser signing a purchase agreement subjects the developer to statutory and regulatory penalties. Anti-fraud provisions of the Act are applicable to subdivisions of 25 lots or more. Failure to comply with the anti-fraud provisions subjects developers to civil and criminal penalties. Other consumer protection provisions include a seven-day cooling-off period and required contractual provisions. The Act and regulations provide exemption from full disclosure where a subdivision meets certain requirements.

Applicant Eligibility:

Certain land developers are required to submit a filing in compliance with specific registration requirements.

Beneficiary Eligibility:

Any person who purchases a lot in a covered subdivision is entitled to certain rights and remedies.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Developers wishing to register a subdivision should contact the Office of RESPA and Interstate Land Sales, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Developers file an extensive statement of record (including the Property Report) disclosing all pertinent facts about the land, its ownership, control, amenities, restrictions, nature of purchase or lease transaction, and other required information. Specific

requirements set forth in Federal Register, Title 24, Chapter X, Part 1710 as amended by Public Law 96-153.

Award Procedure:

An effective date is issued after all materials have been submitted and found to be in compliance with the registration requirements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Any developer receiving a deficiency notice, notice of proceeding, suspension order, notice of exemption eligibility termination or notice of civil money penalties may request a formal hearing.

Renewals:

An annual report of activity accompanied by any applicable amendments and fees, must be submitted within 30 days of the annual anniversary of the effective date of the initial filing.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

The developer must retain copies of Property Report receipts for a period of three years from the date of execution or the term of the contract, whichever is longer.

Account Identification:

86-5270-0-2-376.

Obligations:

(Salaries) FY 09 \$303,000; FY 10 est \$540,000; FY 11 est \$540,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and Exemption Guidelines appear in 24 CFR Parts 1700, 1710, 1715, 1720, and 1730.

Regional or Local Office:

None.

Headquarters Office:

Dennis Weipert, 451 7th Street, SW (Room 9154), Washington, District of Columbia 20410 Email: Dennis.J.Weipert@hud.gov Phone: (202) 402-3006.

Website Address:

<http://www.hud.gov/offices/hsg/ramh/ils/ilshome.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.169 HOUSING COUNSELING ASSISTANCE PROGRAM

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Urban Development Act of 1968, as amended.

OBJECTIVES:

To counsel homeowners, homebuyers, prospective renters and tenants under HUD, conventional and other government programs in improving their housing conditions and in meeting the responsibilities of tenancy and homeownership.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide housing counseling grants to HUD-approved local housing counseling agencies; to HUD-approved national and regional intermediaries; Multi-state Organizations; and to State housing finance agencies. Grants provide a variety of housing counseling services, including single family home buying, homeownership, mortgage default, HECM, rental, and rental delinquency under HUD and other programs.

Applicant Eligibility:

Qualified public or private nonprofit organizations. There are three categories of eligible applicants: (1) HUD-approved local housing counseling agency; (2) HUD-approved national or regional intermediary; and (3) State housing finance agency.

Beneficiary Eligibility:

Individuals, groups of individuals, and families who are renters, tenants, homeowners, and home buyers under HUD, conventional and other government programs.

Credentials/Documentation:

To be eligible to apply for a housing counseling grant through the SuperNOFA, agencies, except state housing finance agencies must be HUD approved. HUD-approval must be secured by the SuperNOFA publication date. To become a HUD-approved housing counseling agency, applicants must (1) meet the requirements of the Housing Counseling Handbook 7610.1 as revised; (2) consult the HUD website to learn about the eligibility requirements for becoming HUD-approved and obtain application (available on the HUD website at www.hud.gov/offices/hsg/sfh/hcc/hcc_homes.cfm); and (3) return application to the HUD Homeownership Center (HOC) with jurisdiction, or, if appropriate, HUD Headquarters. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The availability of funds is announced on HUD's website at www.hud.gov. There is no application kit. For a copy of the NOFA, applicants can visit the HUD website (www.hud.gov). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit by the required date the items and forms requested in the NOFA, such as a description of the housing counseling services to be provided, and indicators by which benefits / impact will be measured.

Award Procedure:

Applicants will be evaluated competitively and ranked against all other applicants that applied in the same funding category. National and regional intermediaries are rated and ranked by HUD Headquarters. Local agencies, multi-state organizations and State housing finance agencies are rated and ranked by the Homeownership Center (HOC) with jurisdiction. A funding formula outlined in the NOFA is used to calculate awards. This program is subject to the administrative requirements established in OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments, which was implemented by 24 CFR part 85; OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements with

Institutions of Higher Education, Hospitals and Other Nonprofit Organizations, which was implemented by 24 CFR part 84; and OMB Circular A-133, Audits of States, Local Governments and Nonprofit Organizations, which was implemented by 24 CFR Parts 84 and 85. Grants awarded under this program are also subject to the cost principles in OMB Circular A-87 for State and local governments and Indian tribal governments and OMB Circular A-122 for nonprofit organizations.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award announcements typically occur 5-7 months after applications are received.

Appeals:

Applicants for housing counseling grants may appeal their denial of a grant award or the insufficiency of the grant amount to HUD.

Renewals:

Grantees must submit a new application and compete through the NOFA each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding assistance is for a discrete period of time, generally 1 year. Grantees invoice HUD on a periodic basis for housing counseling services delivered. Payments of grant funds are subsequently made to grantees via direct deposit/electronic funds transfer (DD/EFT). Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

As required in grant document and Housing Counseling Handbook, HUD 7610.1 REV-4 dated August 1995.

Account Identification:

86-0205-0-1-604.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$65,000,000; FY 10 est \$87,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range and average of financial assistance: For Fiscal Year 2008, the minimum request from a local agency was \$20,000 and the maximum request from an intermediary was \$5.5 million. The average local agency counseling grant was approximately \$36,000. The average intermediary award was approximately \$1.2 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see http://www.hud.gov/offices/hsg/sfh/hoc/hsg_hocs.cfm), or the nearest local HUD Office. See Catalog address Appendix IV for a list of offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

http://www.hud.gov/offices/hsg/sfh/hcc/hcc_home.cfm.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.171 MANUFACTURED HOME CONSTRUCTION AND SAFETY STANDARDS

(Manufactured Housing)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Manufactured Housing Construction and Safety Standards Act, Title 42, Part 5401, 42 U.S.C 5401.

OBJECTIVES:

To protect the quality, durability, safety and affordability of manufactured homes; to facilitate the availability of affordable manufactured homes and to increase home ownership for all Americans; to provide for the establishment of practical, uniform, and, to the extent possible, performance-based Federal construction standards for manufactured homes; to encourage innovative and cost-effective construction techniques for manufactured homes; and to protect residents of manufactured homes with respect to personal injuries and the amount of insurance costs and property damages in manufactured housing.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Manufacturers who build "manufactured homes", as defined by the Act, for sale in the United States are subject to the Act and must comply with preemptive Federal Manufactured Home Construction and Safety Standards (24 CFR 3280). Designs of homes are reviewed for compliance, and homes are inspected during construction by HUD-approved third-party inspection agencies. Consumers receiving defective homes have recourse, ranging from the right to be notified that their home contains a defect to correction by the manufacturer of serious defects and imminent safety hazards. Retailers are prohibited from selling homes which they know are not in compliance with the Federal Standards. As required by the 2000 amendments to the Act, the Department has now established installation standards for siting of new manufactured homes. These installation standards cover the joining of sections and anchoring, as well as stabilizing and support systems. As required by the same amendments to the Act, the Department has also established a national manufactured home dispute resolution program.

Applicant Eligibility:

Any purchaser of a manufactured home built on or after June 15, 1976 for residential use is automatically covered by the program.

Beneficiary Eligibility:

Any purchaser of a residential manufactured home built on or after June 15, 1976.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Manufacturers must have designs for each manufactured home model to be

produced, reviewed and approved by a HUD- approved third-party design inspection agency. Each home must be inspected during at least one phase of construction and every stage of the manufacturing process inspected by a third-party in-plant inspection entity. A purchaser may file a complaint with HUD or a HUD-approved State Administrative Agency (SAA).

Award Procedure:

Unless defects or noncompliance with the standards are found in homes, manufacturers may label and retailers may sell the units. If a purchaser complains, HUD or an SAA may request an investigation by the manufacturer or the dealer, and may then initiate enforcement actions under applicable regulations (24 CFR 3282). As required by the 2000 amendments to the Act, the Department has established a dispute resolution program to provide for the timely resolution of disputes between manufacturers, installers, and retailers regarding responsibility for repairs. Consumers may file complaints and otherwise participate in this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A manufacturer may appeal a preliminary determination and be granted a hearing or presentation of views by HUD or by a State Administrative Agency (SAA) whenever homes are identified as having "serious defects" or "imminent safety hazards"; and notification or correction would be required under the regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Monetary funds are not awarded. See the following for information on how assistance is awarded/released: Complaints can be filed with the office of manufactured housing programs or direct with a state administrative agency that assist with the administration and enforcement of the manufactured housing program in their state.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

A retailer is required, after selling a manufactured home, to fill out an information card listing the name of the purchaser and the home's location, and to send it to the home manufacturer. A manufacturer is required to maintain records relating to each home's production and service related work.

Account Identification:

86-5271-0-2-376.

Obligations:

(Investigation of Complaints) FY 09 \$7,814,000; FY 10 est \$16,000,000; FY 11 est \$16,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Manufactured Home Construction and Safety Standards appear in the Code of Federal Regulations at 24 CFR 3280, et seq.; Manufactured Home Procedural and Enforcement Regulations appear at 24 CFR 3282, et seq.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Cocke, 451 7th Street, SW (Room 9164), Washington, District of Columbia 20410 Email: Elizabeth.A.Cocke@hud.gov Phone: (202) 708-6424.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.172 MORTGAGE INSURANCE_GROWING EQUITY MORTGAGES (GEMs)**FEDERAL AGENCY:**

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To provide a rapid principal reduction and shorter mortgage term by increasing payments over a 10-year period, thereby expanding housing opportunities to the homebuying public.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing single family housing, as well as to refinance indebtedness on existing housing, provided certain conditions are met. The maximum insurable mortgage loan for an occupant mortgagor is the same as prescribed for Section 203(b) - Program 14.117.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD- approved mortgagee lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Mortgage terms vary according to the plan chosen. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending instructions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center (see http://www.hud.gov/offices/hsg/sfh/hoc/hsg_hocs.cfm) serving their State, or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.175 ADJUSTABLE RATE MORTGAGES

(ARMS)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

national housing act.

OBJECTIVES:

To provide mortgage insurance for an adjustable rate mortgage which offers lenders more assurance of long term profitability than a fixed rate mortgage, while offering consumer protection features.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on mortgage loans. These loans may be used to finance the purchase of proposed, under construction, or existing one- to four-family housing as well as to refinance indebtedness on existing housing. The maximum insurable mortgage loan for an occupant mortgagor is the same as prescribed for Section 203(b) - program 14.117.

Applicant Eligibility:

All persons intending to occupy the property are eligible to apply.

Beneficiary Eligibility:

Individuals/families.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee and submitted with the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Application is submitted for review and approval or disapproval to the local HUD Field Office through a HUD-approved lending institution.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

HUD will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage term may extend for 30 years. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.117.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsghoc.cfm>) or the nearest local HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/ins/singlefamily.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.181 SUPPORTIVE HOUSING FOR PERSONS WITH DISABILITIES

(Section 811)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Cranston-Gonzalez National Affordable Housing Act, Public Law 101-625; Housing and Community Development Act of 1992, Public Law 102-550; the Rescissions Act, Public Law 104-19; American Homeownership and Economic Opportunity Act of 2000, Public Law 106-569; and the Revised Continuing Appropriations Resolution, 2007, Public Law 110-5.

OBJECTIVES:

To expand the supply of supportive housing for very low-income persons with disabilities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Capital advances may be used to construct, rehabilitate or acquire structures to be used as supportive housing for low-income persons with disabilities. Project rental assistance is used to cover the difference between the HUD-approved operating costs of the project and the tenants' contributions toward rent (30 percent of adjusted income).

Applicant Eligibility:

Eligible Sponsors are nonprofit organizations with a Section 501(c)(3) tax exemption from the Internal Revenue Service. Eligible Owner entities are nonprofit organizations with a 501(c)(3) tax exemption from the Internal Revenue Service and, if the proposed project involves mixed financing, for-profit limited partnerships with a nonprofit entity as the sole general partner.

Beneficiary Eligibility:

Beneficiaries of housing developed under this program must be very low-income (equal to or less than 50% AMI) adults (18 or older) with a physical, mental, or emotional impairment that is expected to be of long-continued and indefinite duration, that substantially impedes his or her ability to live independently, and is of a nature that such ability could be improved by more suitable housing conditions.

Credentials/Documentation:

The nonprofit sponsor and owner must receive certification of eligibility from HUD. The owner must submit financial documents to support its ability to provide a minimum capital investment of 1/2 of 1 percent of the capital advance amount, up to a maximum of \$10,000. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must forward a copy of their applications to the appropriate state or local agency for a review of the supportive services plan and a completed certification from the agency as to whether the provision of services is well designed to meet the needs of the anticipated occupancy must be included in the applicant's submission of its application to the HUD Field Office. An environmental assessment is required for applications containing evidence of site control. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Notice of Funding Availability is published in the Federal Register each fiscal year announcing the availability of funds to specified allocation areas. Applicants must submit a Request for a Fund Reservation, using Form HUD-92016-CA, Section 811 application for capital advance, in response to the Notice of Fund Availability (or a Funding Notification issued by the local HUD Field Office). The application for capital advance is used to determine the eligibility of the applicant and proposed project as well as the acceptability of the site and market, correctness of zoning and the effect on environment. All applications must be submitted via www.grants.gov.

Award Procedure:

Applications are reviewed and selected for awards within the funding allocation areas. Those selected for funding must meet basic program requirements including, but not limited to: eligibility as a nonprofit entity, eligibility as a for-profit limited partnership with nonprofit entity as the sole general partner for mixed-finance projects, financial commitment and prior experience in housing or related service activities. The request for Capital Advance Financing, using Form HUD-92013 is reviewed to update the determination of acceptability of project site and market, correctness of zoning, and the effect on environment as well as to determine the value of site, total development cost, and financial feasibility.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

At the fund reservation stage, the sponsor usually is advised of the decision within 4 to 5 months from the end of the application period.

Appeals:

From 1 to 15 days. During the period of competition, Sponsors whose applications are rejected by the HUD Field Office due to noncompliance with program requirements, have the right to appeal the rejection within 14 calendar days from the date of HUD's written notice of rejection.

Renewals:

> 180 Days. After the first three years of initial PRAC (for which the owner submits monthly vouchers to HUD for payment of rental assistance) the contract is renewed on a yearly basis based on availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The capital advance is not repayable if the project is available for very low-income persons with disabilities for at least 40 years. Projects are expected to start construction within 18 months of the date of the fund reservation, with limited provision for extensions. Funds will be advanced on a monthly basis during construction. See the following for information on how assistance is awarded/released: Based on percentage of construction completion.

Reports:

All owners will be required to submit a Outcome Logic Model Report to HUD.

All owners will be required to submit an annual financial statement. No progress reports are required. No expenditure reports are required. HUD 60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons (OMB No. 2529-0043) For each grant over \$200,000 that involves housing rehabilitation, housing construction, or other public construction, the prime recipient must submit Form HUD 60002 (24 CFR sections 135.3(a) and 135.90).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. HUD reserves the right to audit the accounts of the owners in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular financial reports are required. Owners must service and maintain records in accordance with acceptable mortgage practices and HUD regulations. Owners also must supply those records necessary to indicate compliance with the project rental assistance contract.

Account Identification:

86-0164-0-3-371; 86-4588-0-3-371; 86-0320-0-3-371.

Obligations:

(Direct Payments for Specified Use) FY 09 \$283,716,145; FY 10 est \$921,950,881; FY 11 est \$950,384,073 - These amounts are estimates for capital advances based on previous funding year allocation plans and proposed budgets.

Range and Average of Financial Assistance:

\$428,000 to \$3,623,700 (Fy09).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 648 units. Fiscal Year 2010: 925 units. Fiscal Year 2011: 0 units (PRAC renewals only).

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR part 5, subpart H, and part 891, subparts A, C, and D.; HUD Handbooks 4571.2 and 4571.4; and Notice H 96-102, as extended.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD office listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Marvis S. Hayward, 451 7th St SW Room 6142, Washington, District of Columbia 20410 Email: marvis.s.hayward@hud.gov Phone: (202) 708-3000.

Website Address:

<http://www.hud.gov> and www.grants.gov.

RELATED PROGRAMS:

14.157 Supportive Housing for the Elderly; 14.195 Section 8 Housing Assistance Payments Program_Special Allocations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.183 HOME EQUITY CONVERSION MORTGAGES

(Section 255)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act.

OBJECTIVES:

To enable elderly homeowners to convert equity in their homes to monthly streams of income or lines of credit.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

HUD insures lenders against loss on reverse mortgage loans. These loans may be used to provide monthly streams of income or, except for Texas, lines of credit for older homeowners, 62 years of age or older.

Applicant Eligibility:

Eligible borrowers are persons 62 years of age or older and must complete counseling from a HUD-approved agency prior to obtaining the loan. Eligible properties include single family one-to-four unit owner-occupied dwelling units, units within FHA-approved condominium projects and Planned Unit Developments, and manufactured homes, if they meet FHA standards.

Beneficiary Eligibility:

Individuals.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are assembled by the mortgagee. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The borrower is required to receive counseling from a HUD-approved counseling agency prior to application. Approved Direct Endorsement Lenders process all aspects of the loan application and submit it to HUD for insurance endorsement.

Award Procedure:

See Application Procedure.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Mortgagee will state the reasons for refusing an application. The applicant may reapply subject to concurrence of the lender.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The mortgage has no specified term. The mortgage is due and payable at the death of the borrower(s) or if the borrower sells, conveys, or moves from the property. The mortgage may also become due and payable if the borrower fails to perform an obligation of the mortgage, such as pay taxes and hazard insurance premiums or fails to maintain the property in good condition. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the HUD

regulations.

Account Identification:

86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$15,579,827,000; FY 10 est \$16,715,037,124; FY 11 est \$15,970,860,590

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Persons are encouraged to contact the Homeownership Center serving their State (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsgdocs.cfm>) or the nearest HUD Office. See Catalog Address Appendix IV for a list of Offices.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/hecm/hecmabou.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.184 MORTGAGES INSURANCE FOR SINGLE ROOM OCCUPANCY (SRO) PROJECTS

(221(d) Single Room Occupancy)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Section 221(d), 12 U.S.C. 1751(d); Section 223(g), 12 U.S.C. 1715 (g).

OBJECTIVES:

The Single Room Occupancy (SRO) Program provides mortgage insurance for multifamily properties consisting of single-room units. There are no Federal rent subsidies involved with this SRO Program. It is aimed at those tenants who have a source of income but are priced out of the rental apartment market. SRO projects will generally require assistance from local governing bodies or charitable organizations in order to reduce the rents to affordable levels.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

The SRO Program insures lenders against loss on mortgages used to finance construction or substantial rehabilitation of projects consisting of five or more units comprised primarily of one room residential units. Projects must be designed primarily for residential use. Any commercial activity must be compatible with the use of the project and primarily for the benefit of the residents. Commercial space is limited to 10 percent of the total gross floor area (20 percent in substantial rehabilitation projects), and 15 percent of gross rental income in a project. Contractors for new construction and substantial rehabilitation projects must comply with prevailing wage requirements under the Davis-Bacon Act.

Applicant Eligibility:

Eligible applicants may be nonprofit entities; builder/sellers teamed with a nonprofit purchaser, a limited distribution entity, profit-motivated entities or

public entities. Cooperative lenders or investors are not eligible.

Beneficiary Eligibility:

Residents are subject to normal tenant selection procedures. There are no income limits for admission.

Credentials/Documentation:

Along with the Application for Mortgage Insurance, the applicant must provide a market profile demonstrating a clear need for the proposed SRO, experience profiles as to SRO operation, a certification from the local government assuring support of the project, and a relocation plan if needed. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The sponsor submits an application for a SAMA or feasibility application to the local HUD office for review. Following HUD's issuance of a SAMA or feasibility letter, the sponsor submits a firm commitment application through a HUD-approved mortgagee to the local HUD Multifamily Hub and Program Center for processing.

Award Procedure:

The project is reviewed to determine its feasibility. If the project meets program requirements, the HUD Multifamily Hub or Program Center issues a commitment to insure the mortgage.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor and the workload of the HUD Multifamily Hub or Program Center.

Appeals:

If an application for mortgage insurance is denied, HUD will state the reasons for the denial. If reapplication is desired, the applicant may reapply subject to concurrence of the lender.

Renewals:

The term of a commitment to insure may be extended when more time is required to close the loan.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR, Part 221.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The maximum mortgage term is 40 years, or not in excess of three-fourths of the remaining economic life, whichever is less. See the following for information on how assistance is awarded/released: Over the life of the mortgage.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of either the mortgagee or mortgagor to determine their compliance and conformance with

HUD regulations and standards.

Records:

Mortgagees are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and HUD regulations.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.133 for FY 09, FY 10, and FY 11.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 221.565; HUD Handbook 4560.3, Mortgage Insurance for Single Room Occupancy (SRO) Projects available on www.hudclips.org.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub and Program Center listed in http://www.hud.gov/offices/hsg/mflh/mfbroch/hubs_pcs.cfm or the nearest HUD Office listed in the Catalog Address Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.188 HOUSING FINANCE AGENCIES (HFA) RISK SHARING

(542(c) Risk Sharing Program)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1992, Section 542(c), Public Law 102-550, 12 U.S.C. 1707. HUD's fiscal year 2001 Appropriations Bill amended Section 235 of the Housing and Community Development Act of 1992 to extend the 542 Risk Sharing Program to a permanent multifamily mortgage insurance program., Title 24, Part 266.

OBJECTIVES:

Under Section 542(c), HUD provides credit enhancement for mortgages for multifamily housing projects whose loans are underwritten, processed, serviced, and disposed of by Housing Finance Agencies (HFA). HUD and the Housing Finance Agencies share in the risk of the mortgage. The program was originally designed as a pilot program to assess the feasibility of risk-sharing partnerships between HUD and qualified State and local HFAs in providing affordable housing for the Nation. The Section 542(c) program is now permanent multifamily insurance program.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Participating qualified State and local Housing Finance Agencies (HFAs) may underwrite, originate, service, and dispose of properties financed under Section

542(c). Program provides full HUD mortgage insurance to enhance HFA bonds to investment grade. HFA reimburses HUD in the event of a claim pursuant to terms of Risk Sharing Agreement. HFAs may elect to share risk with HUD from 10 to 90 percent of the loss. The program provides new independent insurance authority not under the National Housing Act.

Applicant Eligibility:

Eligible mortgagors, who include investors, builders, developers, public entities, and private nonprofit corporations or associations, may apply to a qualified HFA. To be eligible for HUD's approval, the HFA must: (1) carry the designation of "top tier" or its equivalent as evaluated by Standard and Poors or another nationally recognized rating agency; (2) receive an overall rating of "A" for the HFA for its general obligation bonds from a nationally recognized rating agency; or (3) otherwise demonstrate its capacity as a sound, well-managed agency that is experienced in financing multifamily housing.

Beneficiary Eligibility:

Individuals, families, and property owners may be eligible for affordable housing.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted with the application to a qualified HFA. Proof of nonprofit status is required of nonprofit organizations. Projects must qualify as affordable housing as defined by Section 42(g) of the Internal Revenue Code of 1986. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An applicant submits a formal application directly to a HUD-approved HFA.

Award Procedure:

The HFAs are vested with the maximum amount of processing responsibilities and decision-making to approve or reject individual projects. However, HUD retains authority for environmental review. The HUD-approved HFA is responsible for making the award once the project qualifies for mortgage insurance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Processing time depends upon the degree of preparation by the sponsor.

Appeals:

If an application for mortgage insurance is refused, HFA will state the reasons for the refusal. If reapplication is desired, the applicant may modify the application and reapply.

Renewals:

HUD will provide mortgage insurance on multifamily housing projects whose loans were underwritten, processed, serviced, and disposed of by HFAs.

Formula and Matching Requirements:

Statutory Formula: Title 24 cfr, Part 266.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No. See the following for information on how assistance is awarded/released: Over the life of the insured mortgage.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department of Housing and Urban Development reserves the right to audit the accounts of the HFA and the mortgagor in order to determine their compliance and conformance with HUD regulations and standards.

Records:

HFAs are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions and the implementing regulations. HFAs must also monitor construction/inspection process.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$23,954,550; FY 10 est \$300,000,000; FY 11 est \$370,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulatory authority for this program is regulation 24 CFR Part 266. HUD Handbook 4590.1, Housing Finance Agency Risk-Sharing Pilot Program, is located on www.hudclips.org.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest local HUD Multifamily Hubs and Program Centers listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or the nearest HUD Field Office listed in the Appendix IV of the Catalog.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/progdesc.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.189 QUALIFIED PARTICIPATING ENTITIES (QPE) RISK SHARING (542(b) Risk Sharing Program)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1992, Section 542(b), Public Law 102-550, 12 U.S.C. 1701; Public Law 106-377. HUD's fiscal year 2001 Appropriations Bill amended Section 235 of the Housing and Community Development Act of 1992 to extend the 542 Risk Sharing Program to a permanent multifamily insurance program.

OBJECTIVES:

Under this program HUD provides reinsurance on multifamily housing projects whose loans are originated, underwritten, serviced, and disposed of by qualified participating entities (QPEs) and/or its approved lenders. The program encourages the development and preservation of affordable housing. The Section 542(b) program was originally designed as a pilot to test innovative mortgage insurance and reinsurance products to provide affordable multifamily housing through a partnership between HUD and QPEs, including Government

Sponsored Enterprises, financial institutions, and the Federal Housing Finance Board.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

QPEs and/or its approved lenders may originate and underwrite affordable housing loans. HUD's mortgage credit enhancements are used to support the underwriting and production strengths of Fannie Mae, Freddie Mac, and other qualified Federal, State, and local public financial and housing institutions. In the event of a default, the QPE will pay all costs associated with loan disposition and will seek reimbursement from HUD. In most cases, the HUD risk share will be 50 percent. The program provides a new insurance authority independent of the National Housing Act.

Applicant Eligibility:

Eligible mortgagors include investors, builders, developers, public entities, and private nonprofit corporations or associations may apply to a qualified QPE and/or its lender.

Beneficiary Eligibility:

Individuals, families, and property owners may be eligible for affordable housing.

Credentials/Documentation:

Documentation regarding the characteristics of the property and the qualifications of the mortgagor are submitted to the QPE and/or its lender. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An applicant submits a formal application directly to the QPE.

Award Procedure:

QPEs are vested with the maximum amount of processing responsibilities and decision-making to approve or reject individual projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Within 15 days of HUD's receipt of a complete and acceptable Risk Sharing Request, HUD will execute the Addendum to Risk Sharing Agreement which signifies that HUD has undertaken a Risk Sharing Obligation for a specific mortgage loan.

Appeals:

If a Risk Sharing Request is refused, the QPE will state the reasons for the refusal.

Renewals:

HUD will provide reinsurance on multifamily housing projects whose loans are originated, underwritten, serviced, and disposed of by QPEs.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No. See the following for information on how assistance is awarded/released: Monthly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

QPEs are required to service and maintain records in accordance with acceptable mortgage practices of prudent lending institutions.

Account Identification:

86-4077-0-3-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$13,470,000; FY 10 est \$30,000,000; FY 11 est \$35,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, the Department insured mortgages for 21 projects with 2951 units, totaling \$96,000,000. Fiscal Year 2010: The Department estimates that the same number of mortgages will be insured for Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm or the nearest HUD Field Office listed in the Catalog Address Appendix IV.

Headquarters Office:

Joyce Allen, 451 Seventh Street SW, Washington, District of Columbia 20410
Email: joyce.allen@hud.gov Phone: (202) 708-1142.

Website Address:

<http://www.hud.gov/offices/hsg/hsgmulti.cfm>.

RELATED PROGRAMS:

14.188 Housing Finance Agencies (HFA) Risk Sharing

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.191 MULTIFAMILY HOUSING SERVICE COORDINATORS

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Affordable Housing Act, Section 808, Public Law 101-625, as amended; Housing and Community Development Act of 1992, 42 U.S.C. 13632, Public Law 104-104, as amended; American Homeownership and Economic Opportunity Act of 2000, Section 851, Public Law 106-569, approved December 27, 2000.

OBJECTIVES:

To link elderly, especially frail and disabled, or disabled non-elderly assisted housing and neighborhood residents to supportive services in the general community; to prevent premature and unnecessary institutionalization; and, to assess individual service needs, determine eligibility for public services and make resource allocation decisions which enable residents to stay in the community longer.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The service coordinator is a social service staff person hired by the development owner/management company to arrange a broad spectrum of services for residents which include, but are not limited to, the following: the provision of formal case management (i.e., the evaluation of health, psychological or social needs); educate residents and development management staff on issues related to aging-in-place and service coordination; monitor the provision of services, and act as an advocate for the resident in dealing with community service providers. The service coordinator may not serve as the

recreational or activities director for a development; provide supportive services directly, or assist with other administrative work associated with the development's operation during the time paid for by grant funds. Provided in the Notice of Funding Availability (NOFA).

Applicant Eligibility:

Eligible applicants are owners of Section 8 developments with project-based subsidy (including Rural Housing Service Section 515/8 developments); Section 202 developments as defined under 24 CFR Sections 277 and 885, and 221(d)(3) below-market interest rate and 236 developments which are insured or assisted (funded under Sections 24 CFR 221 Subpart C, 236, 277, 880, 881, 883, 885 and 886). To be eligible, developments must also be current in mortgage payments. Service coordinators for Congregate Housing Service Programs (CHSP), Section 202 developments with a Project Rental Assistance Contract (PRAC), and Section 811 developments are not eligible for funding. Owners of Section 202 PRAC developments may request an increase in their PRAC to hire a Service Coordinator by following procedures in the Office of Housing's Management Agent Handbook 4381.5, Revision-2, Change-2, Chapter 8.

Beneficiary Eligibility:

Eligible beneficiaries are residents of eligible housing or community residents who live in the vicinity of such housing. Service Coordination may be provided to elderly or disabled families. In particular, priority is given to residents who are frail (unable to perform at least three activities of daily living (ADLs)) or "at risk" elderly persons who are unable to perform 1- 2 ADLs, or non-elderly disabled or temporarily disabled residents. At least twenty-five percent of the residents of a development must be frail, at risk, or non-elderly people with disabilities for that development to be eligible for funding.

Credentials/Documentation:

As required in the NOFA published in the Federal Register. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted in accordance with the requirements in the NOFA published in the Federal Register.

Award Procedure:

As described in the NOFA. All eligible applications will be selected through a national lottery.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified in the NOFA.

Appeals:

Not Applicable.

Renewals:

At the end of the grant period, subject to the availability of appropriations, continued need, and compliance with grant requirements.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding term stated in the NOFA. Method of awarding/releasing assistance: quarterly.

Reports:

Financial and performance reporting as specified in the NOFA and grant agreement. On-site management reviews done by HUD consistent with

Development monitoring standards contained in HUD Handbook 4350.1, Chapter 6. Financial Status Report (Standard Form 269-A). Multifamily Housing Service Coordinator Semi-annual Performance Report (form HUD-92456) and HUD Logic Model (form HUD-96010). Line of Credit Control System (LOCCS) Payment Voucher (form HUD-500800-SCMF) and Financial Status Report (Standard Form 269-A). HUD Field Office Staffs are responsible for monitoring grant-funded activities and the use of grant funds.

Audits:

No audits are required for this program.

Records:

Grantees required to maintain records as required by grant agreement. These requirements conform to OMB Circular No. A-110.

Account Identification:

86-0320-0-1-604; 86-0162-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under program 14.157 for FY 09, FY 10, and FY 11.

Range and Average of Financial Assistance:

\$88,825 to \$402,196; \$171,064.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awarded 162 new Service Coordinator Grants with over \$30 million in funding
Renewed 1,136 grants with over \$50 million. Fiscal Year 2010: NOFA not yet released as of 7.2.10 estimated \$20 million in new grants and renewals with approximately \$70 million. Fiscal Year 2011: approximately \$105 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations for this program, see the Office of Housing's Management Agent Handbook 4381.5, Revision-2, Change-2, Chapter 8. (available at [HTTP://www.hud.gov](http://www.hud.gov)); the How-to Guide for Service Coordinators and the evaluation studies of the Service Coordinator Program available.

Regional or Local Office:

See Regional Agency Offices. Contact Multifamily Director in the nearest HUD Field Office. Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Carissa L. Janis, 451 7th st sw Room 6146, Washington, District of Columbia 20410 Email: carissa.l.janis@hud.gov Phone: (202) 708-3000.

Website Address:

<http://www.hud.gov> .

RELATED PROGRAMS:

14.157 Supportive Housing for the Elderly; 14.181 Supportive Housing for Persons with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Published in the NOFA.

14.195 SECTION 8 HOUSING ASSISTANCE PAYMENTS PROGRAM

(Project-based Section 8)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

United States Housing Act of 1937, Section 8(c)(9).

OBJECTIVES:

To provide rental assistance to very low income individuals and families enabling them to live in affordable decent, safe and sanitary housing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

This program is inactive. No new projects are being approved; however, tenants may apply for admission to projects with existing Section 8 assistance contracts. The assistance is paid by HUD to the owner of an assisted unit on behalf of an eligible family. The payment is the difference between the contract rent and the tenant rent. Assistance is currently available only on a renewal basis, i.e., projects currently assisted may receive a 1-year renewal, or multiple years, up to twenty years, upon expiration of the Section 8 contract.

Applicant Eligibility:

No funding is available to new applicants. Funding is currently available only for the owners of record of projects with an existing expiring project-based Section 8 contract. The project must meet minimum decent, safe, and sanitary standards.

Beneficiary Eligibility:

Families currently receiving assistance as long as their income does not exceed 80 percent of area median income adjusted for smaller or larger families.

Credentials/Documentation:

Applications for new projects are no longer being accepted. Project must meet minimum decent, safe, and sanitary standards for HUD to renew the Section 8 contract. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Project owner must notify HUD within 120 days of contract expiration that it wishes to renew the Section 8 contract.

Award Procedure:

Contract is renewed upon expiration.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approval given by date of contract expiration.

Appeals:

Not Applicable.

Renewals:

Subject to appropriations and owner's interest in renewing contract.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

1 year. See the following for information on how assistance is awarded/released: Funds are disbursed at amend rents and contract renewals anniversary dates.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. The owner must submit to HUD within 60 days after the end of each fiscal year of the project, financial statements for the project audited by an Independent Public Accountant and other statements as to project operation, financial conditions and occupancy. Annual management and occupancy review.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The owner must permit HUD to review and audit the management and maintenance of the project at any time to assure the owner is meeting its obligation to maintain the units and related facilities in decent, safe, and sanitary condition.

Records:

The owner must reexamine the income and composition of all families at least once each year and prepare and furnish other information required under the

Section 8 contract.

Account Identification:

86-0303-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 09 \$6,077,880,324; FY 10 est \$8,145,000,000; FY 11 est \$8,722,000,000

Range and Average of Financial Assistance:

Eligible tenants pay no more than 30 percent of their monthly adjusted income for rent.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 886 Section 8 Housing Assistance Payments Program - Special Allocations. 24 CFR 880 - Section 8 Housing Assistance Payments Program for New Construction; 24 CFR 881 - Section 8 Housing Assistance Payments Program for Substantial Rehabilitation; 24 CFR 883 - Section Housing Assistance State Housing Agencies; 24 CFR 884 - Section 8 Housing Assistance Payments Program for New Construction Set Aside for Section 515 Rural Rental Housing Projects; 24 CFR 891 Subpart E - Loans for Housing for the Elderly or Persons with Disabilities; HUD Section 8 Renewal Policy Guide (1/15/08).

Regional or Local Office:

See Regional Agency Offices. Persons may contact local field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Gail Williamson, 451 7th Street SW, Washington, District of Columbia 20410
Email: Gail.Williamson@hud.gov Phone: (202) 402-2473 Fax: (202) 708-3104.

Website Address:

<http://www.hud.gov/offices/hsg/mfh/mfhsec8.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.198 GOOD NEIGHBOR NEXT DOOR SALES PROGRAM

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

24 CFR 291.500-565, final rule published in Federal Register, October 31, 2006, effective December 1, 2006, following publication in the Federal Register of a proposed rule on September 8, 2005.

OBJECTIVES:

The purpose of the Good Neighbor Next Door Sales Program is to improve the quality of life in distressed urban communities. This is to be accomplished by encouraging law enforcement officers, teachers, and firefighters/emergency medical technicians, whose daily responsibilities and duties represent a nexus to the needs of the community, to purchase and live in homes in these communities.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Participants must be employed full-time as a law enforcement officer, pre-K through grade 12 teacher, firefighter or emergency medical technician and agree to live in the property purchased for 36 months from the date of occupancy following purchase of the home. Violation of the occupancy rule is under penalty of repayment of a prorata share of the discount of 50 percent of the list price. Properties offered through the program are single unit residences located in HUD-designated revitalization areas. The availability of properties is

limited. Purchasers must provide financing for the purchases by their own means and are responsible for their portion of closing costs and broker fees. Teachers and Firefighters/EMTs are restricted to purchase homes located in an area served by their employer.

Applicant Eligibility:

Eligible law enforcement officer must be (a) Employed full-time by a law enforcement agency of the federal government, a state, a unit of general local government, or an Indian tribal government ; and (b) In carrying out such full-time employment, you are sworn to uphold, and make arrests for violations of, Federal, state, county, or municipal law. A firefighter/EMT must be full-time as a firefighter or emergency medical technician by a fire department or emergency medical services responder unit of the federal government, a state, unit of general local government, or an Indian tribal government serving the area where the home is located. A teacher for the purposes of the GNND Sales Program if the person is: (a) Employed as a full-time teacher by a state-accredited public school or private school that provides direct services to students in grades pre-kindergarten through 12; and (b) The public or private school where the person is employed as a teacher serves students from the area where the home is located in the normal course of business.

Beneficiary Eligibility:

Law enforcement officers, firefighters, and EMTs purchasing a HUD-owned home in a designated revitalization area for use as their sole residence.

Credentials/Documentation:

Sales contract (HUD 9548); employer certification; second mortgage and note. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Interested persons apply by submitting an offer to purchase a property listed through HUD's property disposition program. Properties for sale are listed on the Internet at sites accessible to the general public. Offers are submitted electronically. The offer amount submitted must be the list price.

Award Procedure:

A winning offer is selected randomly each week. The selected offeror bids full price but is offered the property at a 50 percent discount off the list price, subject to the purchaser fully complying with the 36-month occupancy requirement and other program rules. The selected offeror is provided with a document package. The offeror accepts by signing and returning the sales documents. The selected offeror receives title to the home at a sales closing. The selected offeror arranges for financing of the purchase price.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The assistance is provided in exchange for the buyer's commitment to occupy the homes as a personal residence for 36 months. Each month of occupancy results in the forgiveness of one thirty sixth of the discount value. Early vacation of the premises requires repayment of a pro rata amount of the discount. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

86-4105-0-3-371; 86-4070-0-3-371; 86-4072-0-3-371; 86-4242-0-3-371; 86-4077-0-3-371; 86-4587-0-3-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - FY 09 Reported Under 14.311
FY 10 Reported Under 14.311
FY 11 Reported Under 14.311.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 434 properties sold. Fiscal Year 2010: As of 5/31/2010, there were 342 properties sold. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Regulations at 24 CFR Part 291. Fed. Register, October 31, 2006, Volume, Number, Page. Program information is available on HUD's website at <http://www.hud.gov/offices/hsg/sfh/reo/goodn/gnndabot.cfm>

Regional or Local Office:

See Regional Agency Offices. Contact HUD's Homeownership Center (HOC) serving your state. Each Homeownership Center has Good Neighbor Next Door Coordinators. HOCs and their service areas may be found on the web at <http://www.hud.gov/offices/hsg/sfh/hoc/hsghoc.cfm>. Management and Marketing (M&M) contractors provide listings of properties available for purchase through the Good Neighbor Next Door program on the web and are a source of information. Go to <http://www.hud.gov/homes/index.cfm> and click on the state of your choice.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/reo/goodn/gnndabot.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.199 MULTIFAMILY PROPERTY DISPOSITION

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

National Housing Act, Section 207(k) and (l); Housing and Community Development Amendments of 1978, Section 203; Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1997, Public Law 104-204; Departments of Veterans Affairs, Housing and Urban Development, Section 213, and Independent Agencies Appropriations Act of 1998, Public Law 105-65; Departments of Veterans Affairs, Housing and Urban Development, Section 206, and Independent Agencies Appropriations Act of 1999, Public Law 105-276; Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 2000, Public Law 106-74.

OBJECTIVES:

To manage and dispose of multifamily housing projects that are owned by the Secretary or that are subject to a mortgage held by the Secretary in a manner

that is consistent with the National Housing Act; protect the financial interests of the Federal government, and will, in the least costly fashion among reasonable available alternatives: preserve certain housing so that it can remain available to and affordable by low-income persons; preserve and revitalize residential neighborhoods; maintain the existing housing stock in a decent, safe and sanitary condition; minimize the involuntary displacement of tenants; maintain housing for the purpose of providing rental housing, cooperative housing, and home ownership opportunities for low-income persons; supporting fair housing strategies; and dispose of such projects in a manner consistent with local housing market conditions.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

This section only applies to multifamily housing projects owned by the Secretary or that are subject to a mortgage held by the Secretary for which a foreclosure sale is to be held. A property can be sold with its current project-based Section 8 contract assigned to the new owner so that contract and its requirements stay in place. A property can be sold with tenant-based Housing Choice Vouchers (14.871) being provided to eligible tenants, which give the tenants the ability to stay on-site in units that meet HUD standards, or move to another location. In both cases, the residents must meet the eligibility requirements of those programs, which are defined elsewhere. A HUD-owned property may be sold with an up-front grant to assist in paying for rehabilitation or rebuilding provided funds have been appropriated by Congress. To be eligible, in addition to the property being HUD-owned, it must have more than 50 percent of the units in the project occupied by very low-income residents at the time a disposition plan is approved by HUD, or HUD must determine it is essential, as affordable housing, to the revitalization of its community; it must be located in a housing market or submarket in which there is not sufficient habitable, affordable, rental housing, as defined in 24 CFR 290.3; it will generate, after rehabilitation or rebuilding, sufficient rental income in a competitive market to cover all operating expenses, meet after sale debt service requirements, fund required reserves and throw off positive cash flow; will provide affordable housing for at least 20 years, after the rehabilitation and/or rebuilding is completed; meets such other requirements, including deed restrictions, loan provisions, and monetary penalties for non-performance, as HUD may determine are appropriate on a case-by-case basis. The maximum that HUD will fund per project in an up-front grant or loan is 50 percent of total development cost (TDC), or \$40,000 per affordable, finished unit, whichever amount is less (not to exceed the current number of residential units in the project).

Applicant Eligibility:

These properties can be purchased by governmental entities, private individuals, corporations, or nonprofit organizations who have the requisite qualifications and agree to abide by the conditions set by HUD for the particular property sale.

Beneficiary Eligibility:

The ultimate beneficiary of those properties sold for continued use as affordable housing are low-income individuals and families.

Credentials/Documentation:

Interested parties must file HUD form 2530, "Previous Participation Clearance Application," and certify to HUD that any properties owned by the purchaser in the same geographical area of the property being purchased meet all applicable standards and housing codes. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. There is no application process. Foreclosure sales are conducted on an open, oral bid process, with sealed bids also being permitted. HUD-owned properties may be purchased without competition by governmental entities. HUD can sell some

properties non-competitively to nonprofits. All other HUD-owned properties are sold through a full and open competition, usually sealed bids, auctions, or a Request for Proposals. HUD maintains a mailing list of individuals and firms interested in buying these projects, and maintains a website which lists all properties currently available for sale.

Award Procedure:

Properties sold at foreclosure are sold to the highest responsive and responsible bidder. HUD-owned projects may be sold to governmental entities who agree to meet HUD's requirements. Certain HUD-owned projects can be sold to nonprofits who agree to meet HUD's requirements. HUD-owned properties can be sold to the highest responsive and responsible bidder, and some are sold to the proposer with the best experience and plan for the future operation of the property.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Contingent upon complexity of case.

Appeals:

Not Applicable.

Renewals:

Renewals of the Section 8 are subject to the rules of the particular program. There are no renewals of Up-Front Grants.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Yes. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

86-4077-0-3-371; 86-4072-0-1-371.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,160,683; FY 10 est \$0; FY 11 est \$27,454,246

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Interested parties can contact the Multifamily Property Disposition Centers in the Atlanta and Fort Worth HUD Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Courtland H. Wilson, 451 7th Street, SW, Washington, District of Columbia 20410 Email: Courtland.H.Wilson@hud.gov Phone: (202) 402-2542 Fax: (202) 401-5978.

Website Address:

<http://www.hud.gov/offices/hsg/mfh/pd/multifam.cfm> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.218 COMMUNITY DEVELOPMENT BLOCK GRANTS/ENTITLEMENT GRANTS

Community Development Block Grant program for Entitlement Communities.

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Part 24, Section 570, Public Law 93-383, 88 Stat. 633, 42 U.S.C 5301-5321.

OBJECTIVES:

To develop viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Recipient communities may undertake a wide range of community-based activities directed toward neighborhood revitalization, economic development, and community services, facilities, and improvements. Entitlement communities develop their programs and set their funding priorities in conformance with the statutory standards, program regulations, and other federal requirements. Specific activities that can be carried out with Community Development Block Grant (CDBG) funds include acquisition of real property; relocation; clearance and demolition; rehabilitation of residential and nonresidential structures; provision of public facilities and improvements, such as water and sewer facilities (which require reviews by the State single point of contact or a Regional Planning Agency in accordance with Executive Order 12372), streets, and neighborhood centers. Within program limitations, CDBG funds can also pay for public services. Recipients may provide assistance to microenterprises or other for-profit entities when the recipient determines that such assistance is appropriate to carry out an economic development project. Community-based development organizations may carry out neighborhood revitalization, community economic development, or energy conservation activities. Each CDBG eligible activity must meet one of three national objectives: benefit low- and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet other community development needs having a particular urgency that the grantee is unable to finance on its own. Recipients may only carry out eligible activities as listed in 24 CFR 570.201-207 or the statute.

Applicant Eligibility:

Recipients are states; cities in Metropolitan Areas designated by OMB as a central city of the Metropolitan Area; other cities over 50,000 in Metropolitan Areas; and qualified urban counties of at least 200,000 (excluding the population in entitlement cities located within the boundaries of such counties) are eligible to receive CDBG entitlement grants determined by a statutory formula.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD). The recipient must certify that at least 70 percent of the grant funds received during a 1, 2, or 3-year period, that it designates, are expended for activities that will principally benefit low- and moderate-income persons.

Credentials/Documentation:

Recipients must certify they will meet program requirements and applicable federal requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Executive Order 12372 is applicable only where a recipient proposes to use funds for the planning or construction (reconstruction or installation) of water or sewer facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372,

"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Recipients submit a 5-year Consolidated Plan, an annual action plan, SF Form 424, and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD Community development programs, including CDBG. The annual action plan must include the local community development objectives and show the proposed use of the funds. If the grantee makes a complete submission within the established deadlines, the Department will make a grant award unless a determination is made by HUD that the grantee's performance is unsatisfactory. HUD will approve the submission generally within 45 days of receipt of the annual action plan and required certifications unless a determination has been made that the grantee has failed to carry out its CDBG program in a timely manner or has failed to conform to the requirements of the statute or other applicable laws. Under such circumstances, HUD may take appropriate actions, including a reduction in the amount of the original formula-allocated grant.

Award Procedure:

None.

Deadlines:

Nov 15, 2009 to Aug 15, 2010: See below. For formula grants, action plans associated with the Consolidated Plan must be submitted based on the grantee's program year, but no earlier than November 15 or no later than August 16 of the fiscal year for which the funds are allocated.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally within 45 days.

Appeals:

Administrative appeals process followed if entitlement grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

From 15 to 30 days. Every 3 to 5 years, localities submit a new Consolidated Plan. Each year in between, localities submit an annual action plan and program certifications.

Formula and Matching Requirements:

Statutory Formula: Title 24, Part 570.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Program of assistance is for an annual activities, but activities generally may be continued beyond one year until they are deemed completed. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Consolidated Annual Performance and Evaluation Report (CAPER). The CAPER reports the recipient's program accomplishments for each program year. For 272. The recipient's efficient expenditure of grant funds is evaluated annually (through information provided in the IDIS (see below)) 60-days prior to the end of its program year. Through the program management's information system, known as the Inter-Disbursement and Information System (IDIS). Monitoring is "risk-assessment based" with high-risked grantees receiving priority status for monitoring and review.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The auditee may elect to have a program-specific audit conducted under certain limited circumstances.

Records:

The recipient must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to dwelling units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in the program regulations. Records shall be retained for a period of four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 09 \$2,544,477,000; FY 10 est \$2,758,902,000; FY 11 est \$2,760,289,000 - Allocations made to entitled metropolitan cities and urban counties.

Range and Average of Financial Assistance:

From low of \$72,231 to a high of \$178,008,585 for New York City; average grant \$2,956,494.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: There are approximately 1,155 units of local government eligible to receive entitlement grants during fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Otis D.Collins, 451 7th Street S.W. Room 7282
, Washington., District of Columbia 20410 Email: otis.d.collins@hud.gov
Phone: 202-402-3416 Fax: 202-401-2044

Website Address:

<http://www.hud.gov/offices/cpd/index.cfm>.

RELATED PROGRAMS:

14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.225 COMMUNITY DEVELOPMENT BLOCK GRANTS/SPECIAL PURPOSE GRANTS/INSULAR AREAS

Insular CDBG

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Public Law 93-383, 42 U.S.C 5306(a)(2).

OBJECTIVES:

To provide community development assistance to the Pacific Islands of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands in the Caribbean, by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Activities must be eligible under 24 CFR 570 Subpart C.

Applicant Eligibility:

The Island Governments of American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income for the territory, as adjusted by family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements as specified in 24 CFR Part 91. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circular A-110 applies as implemented at 24 CFR Part 84. 24 CFR Part 85 also applies to this program. Each Insular Area shall submit a consolidated plan or an abbreviated consolidated plan to HUD no later than 45 days before the start of its program year.

Award Procedure:

The Honolulu Field Office and the HUD San Juan Field Office are responsible for reviewing each consolidated plan or abbreviated consolidated plan and approving them if the submissions are in accordance with 24 CFR 91 and the certifications are acceptable to HUD.

Deadlines:

Nov 16, 2009 to Aug 16, 2010 Applicants must submit final statements no later than 45 days before the start of its program year.

Range of Approval/Disapproval Time:

45 days.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart O).

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available until project completion. Method of awarding/releasing assistance: by letter of credit.

Reports:

Financial management and annual performance reports as required by the Secretary. SF-272 Federal Cash Transactions Report. Annual Performance Report details the territory's performance in completing activities undertaken during the program year. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff based on risk analysis factors.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 09 \$7,000,000; FY 10 est \$6,930,000; FY 11 est \$6,930,000

Range and Average of Financial Assistance:

Funds allocated in FY 2010: American Samoa \$1,121,951; Guam \$3,050,372; Northern Marianas \$880,151; Virgin Islands \$1,877,526.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart F.

Regional or Local Office:

See Regional Agency Offices. Honolulu Field Office and the HUD San Juan Field Office as listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>.

Headquarters Office:

Diane L. Lobasso, 451 7th St SW, Washington, District of Columbia 20410
Email: Diane.L.Lobasso@hud.gov Phone: (202) 708-1322.

Website Address:

http://www.hud.gov/offices/cpd/about/cpd_programs.cfm.

RELATED PROGRAMS:

15.875 Economic, Social, and Political Development of the Territories

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Projects are selected by grantees. Fiscal Year 2011: No Current data available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.227 COMMUNITY DEVELOPMENT BLOCK GRANTS/TECHNICAL ASSISTANCE PROGRAM**FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, Section 107 (b)(4), as amended.

OBJECTIVES:

To help States, units of general local government, Indian tribes and area-wide planning organizations to plan, develop and administer local Community Development Block Grant programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be used to transfer skills and knowledge in planning, developing and administering the Community Development Block Grant programs from those individuals and institutions which possess them to eligible block grant entities and affiliated CDBG participants which need them. Project activities show how they will increase the effectiveness with which eligible block grant communities can use CDBG funds to meet community development national and local program objectives. Assistance may take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops or training sessions.

Applicant Eligibility:

States, units of general local government, national or regional nonprofit

organizations that has membership comprised predominately of entities or officials of entities of CDBG recipients, for-profit or nonprofit professional and technical services companies or firms that have demonstrated knowledge of the CDBG program and the capacity to provide technical assistance services, public or private nonprofit or for-profit organizations, including educational institutions and area-wide planning organizations. Specifications for respondents to competitive requests for proposals are stated in the request documents.

Beneficiary Eligibility:

States, units of general local government, Indian tribes and Alaska natives, entities participating or actively intending to participate in the planning, developing, or administering of a Community Development Block Grant program.

Credentials/Documentation:

Applicants need to obtain a DUNS number to receive an award and submit other documentation as described in a solicitation document. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Proposals may be submitted in response to competitions and solicitations. The Federal Register publication detailing each competition spells out the submission requirements.

Award Procedure:

The Secretary has final approval authority; applicants are notified of acceptance or rejection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, projects will be funded to operate one to three years. Assistance is released on a reimbursement basis through electronic funds transfers. See the following for information on how assistance is awarded/released: Assistance is released on a reimbursement basis through electronic funds transfer.

Reports:

quarterly and final financial and performance reports. SF-269 Financial Status report is required for each draw down and at close-out. quarterly progress reports as required by the cooperative agreement provisions. SF-269 Financial Status report is required for each draw down and at close-out. Government Technical Monitors and Government Technical Representatives are required to monitor performance and expenditures periodically.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$5,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Cooperative agreements FY 2005 range: \$100,000 - \$539,000; average \$234,750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart E, 24 CFR 571.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Holly A. Kelly, 451 7th ST. SW, Room 7218, Washington, District of Columbia 20410 Email: holly.a.kelly@hud.gov Phone: (202) 402-6324 Fax: (202) 619-5979.

Website Address:

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/index.cfm>.

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to criteria set forth in solicitation documents.

14.228 COMMUNITY DEVELOPMENT BLOCK GRANTS/STATE'S PROGRAM AND NON-ENTITLEMENT GRANTS IN HAWAII

State CDBG

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, Section 101-122, Public Law 93-383, 88 Stat. 633, 42 U.S.C 5301-5322.

OBJECTIVES:

The primary objective of this program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low- and moderate-income. Each activity funded must meet one of the program's National Objectives by: Benefiting low- and moderate-income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Thirty percent of funds available for distribution under each annual appropriation for the Community Development Block Grant (CDBG) program is allocated among the States and Puerto Rico (which is considered to be a state for the State CDBG program) for use in areas which are not a metropolitan city or part of an urban county (nonentitlement areas). All States except Hawaii have elected to administer these CDBG funds for areas that do not receive

CDBG entitlement grants (14.218). HUD awards the formula grants to these States under the CDBG/State Program. In Hawaii, HUD awards the state's share of these funds to three non-entitlement counties. States must distribute CDBG funds to units of general local government (counties, towns, etc.) in nonentitlement areas. States develop their own programs and funding priorities. Units of general local government then carry out community development activities funded by the State. Eligible activities are directed toward neighborhood revitalization, economic development, or provision of improved community facilities and services. Specific activities that can be carried out with block grant funds include: Acquisition, rehabilitation or construction of certain public works facilities and improvements, such as streets, water and sewer facilities, neighborhood centers, recreation facilities, and other public works; demolition and clearance; rehabilitation of public and private buildings including housing; code enforcement; relocation payments and assistance; economic development; planning activities; certain public services with some restrictions; and administrative expenses. The projected use of funds must be developed to ensure that all activities will meet one of the three national objectives described under "OBJECTIVES". Communities receiving CDBG funds from a state may select subgrantees to carry out approved projects. Such subgrantees may include: Neighborhood-based nonprofit organizations; local development corporations; Small Business Investment Companies; or other nonprofit organizations serving the development needs of nonentitlement areas. Grant recipients may provide assistance to for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and from making housing allowances or other income maintenance-type payments. Each State may use a limited portion of its grant, subject to a matching requirement, to administer the program. Each State may also use a limited portion of its grant, with no matching requirement, to provide technical assistance to local governments and nonprofit program recipients. At least seventy percent of the funds received must benefit low and moderate income persons.

Applicant Eligibility:

Forty-nine State governments and the Commonwealth of Puerto Rico receive funds from HUD under this program. The state of Hawaii does not participate and HUD allocates the state's share of funds to the three Hawaii non-entitled counties. Funds are allocated to each state based on a statutory formula. States must distribute the funds to units of general local government in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low and moderate income persons. For non-metropolitan areas, low and moderate income is generally defined as 80 percent of the median income for non-metropolitan areas of the State or of the county, whichever is higher, adjusted for family size. For eligible localities in metropolitan areas, low- and moderate-income is generally defined as 80 percent of the median income for the metropolitan area, adjusted for family size.

Credentials/Documentation:

Grantees must certify that they will comply with program requirements specified in 24 CFR Part 91. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States must follow the fiscal requirements contained in 24 CFR 570.489. Each state and non-entitled Hawaii county must submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including CDBG. The annual action plan must contain the method by which the State will distribute its CDBG funds.

Award Procedure:

HUD will provide funds after the State or non-entitled Hawaii county submits

its Consolidated Plan, annual action plan and Certifications.

Deadlines:

Nov 15, 2009 to Aug 16, 2010 Consolidated Plans may be submitted between November 15 and August 16 of each fiscal year in which the grantee will administer funds.

Range of Approval/Disapproval Time:

HUD will review plan submissions for completeness and consistency with the purposes of the Act, within 45 days of receipt from a grantee.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart I for states and 24 CFR Part 570 Subpart O for non-entitlement counties in Hawaii).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter V, Part 570, Subpart A, Public Law 93-383.

Matching Requirements: For state administration of the program, after an initial allowance of \$100,000 with no match, states may take an additional allowance of up to 3% of the grant amount but must match such expenditures on a dollar for dollar basis. There is no matching requirement for the non-entitlement counties in Hawaii. This program does not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is provided to States and non-entitlement counties in Hawaii on an annual basis. Individual States may impose time limitations on the implementation of grants to recipients. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual performance report covering all programs included in the Consolidated Plan as prescribed at 24 CFR 91.520. For Hawaii counties, SF-272 Federal Cash Transactions Report is required. Annual Performance and Evaluation Report details the state's performance in completing activities undertaken with each allocation of funds. Disbursement reports are a standard feature of the Integrated Disbursement and Information System used to access the grantee's line of credit. Program performance monitoring is conducted by field office staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must demonstrate that the State or non-entitlement county in Hawaii has met the requirements of Title I of the Act.

Account Identification:

86-0162-0-1-451.

Obligations:

(Formula Grants) FY 09 \$1,090,490,000; FY 10 est \$1,182,386,000; FY 11 est \$1,182,981,000

Range and Average of Financial Assistance:

State grant amounts are determined by formula. Of the 50 grants awarded to states under the State CDBG program in FY 2010, the average (mean) grant amount was \$23,531,895. Grant amounts ranged from \$2,220,908 to \$79,264,729. These totals do not include Hawaii's allocation awarded to its 3 non-entitled counties. Hawaii's share of the 2010 allocation is \$5,791,797.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 570, 24 CFR Part 91, 24 CFR Part 58.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Field Office listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>. Contact the Honolulu Field Office for the non-entitled Hawaii counties.

Headquarters Office:

Diane L. Lobasso, 451 7th St SW, Washington, District of Columbia 20410
Email: Diane.L.Lobasso@hud.gov Phone: (202) 708-1322.

Website Address:

http://www.hud.gov/offices/cpd/about/cpd_programs.cfm.

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Projects are selected by the grantee. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.231 EMERGENCY SHELTER GRANTS PROGRAM

(ESG)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended, 42 U.S.C. 11371-78.

OBJECTIVES:

To help improve the quality of emergency shelters and transitional housing for the homeless, to make available additional shelters, to meet the costs of operating shelters, to provide essential social services to homeless individuals, and to help prevent homelessness.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grantees may use the grant for one or more of the following activities relating to emergency shelter and transitional housing for the homeless: (1) renovation, major rehabilitation, or conversion of buildings for use as shelters for the homeless; (2) provision of essential services to the homeless (not more than 30 percent of the grant, unless waived by HUD); (3) payment of operations (not more than 10 percent of the grant for staff management costs), maintenance, rent, repair, security, fuel, equipment, insurance, utilities, and furnishings; and (4) homeless prevention activities (not more than 30 percent of the grant), and (5) administrative costs not to exceed five percent of the ESG grant. In the case of State grantees, the grant for administration must be shared with funded recipients.

Applicant Eligibility:

States, metropolitan cities, urban counties, and territories. Local governments receiving formula allocations may distribute all or part of their grants to nonprofit recipients to be used for ESG activities. State grantees must distribute ESG funds to local governments, or directly to nonprofit organizations with the approval of the local government. Only local governments and nonprofit organizations may apply for ESG funds directly from States. The territories receive their allocations based on their population size.

Beneficiary Eligibility:

Homeless families and individuals, and low-income persons in immediate risk of losing their housing due to eviction, foreclosure, or utility shutoffs.

Credentials/Documentation:

States, metropolitan cities, urban counties, and territories that elect to

participate in the program must submit (1) an approved Consolidated Plan; and (2) certifications that each grantee will supplement the assistance provided under the program with an equal amount of funds from other sources, except for State grantees under the \$100,000 exemption, (b) ensure that any building using ESG funds will continue as a homeless shelter for specified periods, (c) ensure that assisted rehabilitation is sufficient to make the structure safe and sanitary, (d) provide for a procedure to ensure the confidentiality of victims of domestic violence, and assist homeless individuals in obtaining appropriate supportive services and other available assistance, and (e) meet other generally applicable requirements, such as nondiscrimination and equal opportunity. Costs will be determined in accordance with OMB Circular No. A-133 for State and local governments. State grantees are exempt from matching the first \$100,000 of their award, but States must certify that they will provide the benefits of that exemption to local government and nonprofit recipients. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application Procedure: To be eligible, each grantee must have a HUD-approved Consolidated Plan, which contain descriptions of the community's homeless assistance needs, details available resources, and provides a three-to-five year plan and an annual action plan. The annual action plan specifies new projects being funded, along with any revisions in the overall document. Each participating grantee must submit certifications required by HUD.

Award Procedure:

The HUD field office reviews the grantee's Consolidated Plan and, if approved, executes a grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Consolidated plan is generally approved in 10 working days or deemed approved by HUD within 45 days of receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Each grantee must certify that it will supplement the assistance provided under the program with an equal amount of funds from other sources, except for State grantees under \$100,000 exemption. Matching Requirements: After a portion of the ESG appropriation is set aside for the territories, the amount of each grant for formula grantees is determined by the Community Planning Block Grant (CDBG) formula using several objective measures of community need, including poverty, population, housing overcrowding, age of housing and growth lag. Allocations among the territories are based on their populations. If, according to the formula, an eligible government receives less than 05. percent of the yearly appropriation, then that grant is added to the allocation for that State. A State must allocate to its local government or to nonprofit organizations the entire grant, except for the up to five percent used to administer the grant. The administrative funds must be shared with local government grantees in that State. Grantees must match grant funds with an equal amount of funds from other sources including donated material or a building, leasehold value, additional staff salaries, and volunteer time.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Length and Time Phasing of Assistance: Grant awards should be made within 45 days of HUD's receipt and approval of the Consolidated Plan. A State should make available to its

recipients all of its grant allocation within 65 days of the date of the grant award by HUD. A State recipient should have its entire grant obligated by 180 days from the date on which the State made the grant available to it, and spent the entire grant within 24 months of this date. For State homeless prevention funds, the State may continue to make available homeless prevention funds within 180 days of grant award. State recipients that are local government agencies and nonprofit organizations then have 30 days to obligate the funds, and up to 24 months to spend them. Each local government grantee should have all of its grant funds obligated by 180 days from its grant award by HUD, and entirely spent within 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

According a portion of ESG regulations, grantees and recipients must retain records for four years from the date of submission of the annual performance report.

Account Identification:

86-0192-0-1-604.

Obligations:

(Formula Grants) FY 09 \$160,000,000; FY 10 est \$160,000,000; FY 11 est \$200,000,000

Range and Average of Financial Assistance:

The 05. percent minimum entitlement allocation resulted in a \$80,000 minimum grant in 2009. The maximum entitlement allocation was \$7,969,489. The average grant was \$444,400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by streamlined final regulations published in the Federal Register on October 2, 1996, at 24 CFR Part 576. A comprehensive "Emergency Shelter Grants (ESG) Program Desk Guide" is available from HUD at 1-800-998- 9999, Or or can be found on the ESG homepage on HUD's Website at <http://www.hudhre.info/index.cfm?do=viewEsgDeskguide>.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Michael Roanhouse, Office of Special Needs Assistance Programs, Room 7262, Office of Community Planning and Development, Department of Housing and Urban Development, Washington, District of Columbia 20410 Email: Michael.Roanhouse@hud.gov Phone: (202) 708-1226.

Website Address:

<http://www.hud.gov/offices/cpd/homeless/programs/esg/index.cfm>.

RELATED PROGRAMS:

14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 97.024 Emergency Food and Shelter National Board Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed ESG projects are part of the prospective grantee's Consolidated Plan, which are reviewed according to criteria set forth in 24 CFR 91.

14.235 SUPPORTIVE HOUSING PROGRAM

(Transitional Housing; Permanent Housing for Homeless Persons with Disabilities; Innovative Supportive Housing; Supportive Services for Homeless Persons not in Conjunction with Supportive Housing; Safe Havens; and HMIS.)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, Subtitle C, as amended.

OBJECTIVES:

The Supportive Housing Program is designed to promote the development of supportive housing and supportive services to assist homeless individuals and families in the transition from homelessness and to enable them to live as independently as possible. Program funds may be used to provide: (i) transitional housing within a 24-month period as well as up to six months of follow-up services to former residents to assist their adjustment to independent living; (ii) permanent housing provided in conjunction with appropriate supportive services designed to maximize the ability of persons with disabilities to live as independently as possible; (iii) supportive housing that is, or is part of, a particularly innovative project for, or alternative method of, meeting the immediate and long-term needs of homeless individuals and families; (iv) supportive services for homeless individuals not provided in conjunction with supportive housing; (v) safe havens for homeless individuals with serious mental illness currently residing on the streets who may not yet be ready for supportive services; and (vi) Homeless Management Information Systems (HMIS) for developing management information systems to maintain data on beds, units and homeless individuals.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eight types of assistance may be provided for Supportive Housing: acquisition of structures for use as supportive housing or in providing supportive services; rehabilitation of structures for use as supportive housing or in providing supportive services; new construction of buildings for use as supportive housing under limited circumstances; leasing of structures for use as supportive housing or in providing supportive services; operating costs of supportive housing; costs of providing supportive services to homeless persons; costs of implementing and operating HMIS; and administrative costs not to exceed five percent of the SHP grant.

Applicant Eligibility:

States, local governments, other governmental entities, private nonprofit organizations, and community mental health associations that are public nonprofit organizations.

Beneficiary Eligibility:

Homeless individuals and families with children.

Credentials/Documentation:

Private nonprofit status is documented by submitting either (1) a copy of the Internal Revenue Service (IRS) ruling providing tax-exempt status under Section 501(c)(3) of the IRS Code; or (2) documentation showing that the entity is a certified United Way agency; or (3) a certification from a licensed CPA that no part of the next earnings of the organization inures to the benefit of any member, founder, contributor, or individual; that the organization has a voluntary board; that the organization practices nondiscrimination in the provision of assistance; that the organization has a functioning accounting system. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. One application package prescribing the specific information that applicants must submit is available.

The standard application forms as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan and must be permissible under applicable zoning ordinances and regulations.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and prepares a Grant Agreement for those applications selected.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications will take place in HUD Field Offices and at HUD Headquarters, and selection of successful applicants will take place at HUD Headquarters. HUD will make its final selections and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal funding decision if they believe a HUD error has occurred.

Renewals:

Information on renewals is contained in the Notice of Funding Availability and the application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients must match grants for acquisition, rehabilitation, and new construction, with an equal amount of funds from other sources. Recipients must also provide a cash match for support services and operations. The Supportive Housing Program will pay no more than 80 percent of total support service costs, 75 percent of total operating costs or 80 percent of total HMIS costs. Recipients have the responsibility to ensure that the funds that the recipient uses to satisfy HUD's match requirements are not prohibited to be used for this purpose under any statute that may govern the matching funds. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be made available for up to 3 years for leasing, operating, supportive services and HMIS costs. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each recipient must keep any records and make any reports as specified by HUD within the timeframe required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 45. Private nonprofit entity recipients are subject to the audit requirements of 24 CFR 44. Both 24 CFR Part 44 and 45 stipulate that grant recipients and their subgrantees provide audits in accordance with OMB Circular No. A-133. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must agree to participate in an evaluation of the program, and to keep any records and make any reports that HUD may require for the purpose of reporting to Congress on the cost of the program and the social, financial, and other advantages of supportive housing components as a means of assisting homeless persons.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 09 \$1,052,265,000; FY 10 est \$1,188,877,000; FY 11 est \$1,289,057,000

Range and Average of Financial Assistance:

HUD imposes the following limitations: (1) Acquisition and/or rehabilitation construction grants for the Supportive Housing Program may not exceed 200,000 (up to \$400,000 in high cost areas); new construction may not exceed \$400,000; (2) funding of up to 75 percent for operating costs; (3) funding of up to 80 percent for supportive services and HMIS costs; and (4) the program provides grants for leasing costs for up to 3 years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There are approximately 5,825 projects in grant funding to assist homeless individuals and families during fiscal year 2009. Fiscal Year 2010: There are approximately 7,700 projects in grant funding to assist homeless individuals and families during fiscal year 2010. Fiscal Year 2011: There are approximately 8,200 projects in grant funding assist homeless individuals and families during fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 583 published in the Federal Register. Other program information is available from Community Connections at (1-800) 998-9999.

Regional or Local Office:

See Regional Agency Offices. Designated contact person for the Supportive Housing Program in HUD Field Offices with a Community Planning and Development Division.

Headquarters Office:

Robyn Raysor, Office of Special Needs Assistance Programs, Community Planning and Development, Department of Housing and Urban Development, Room 7266, 451 7th Street, SW, Washington, District of Columbia 20410
Email: Robyn.S.Raysor@hud.gov Phone: (202) 708-4300.

Website Address:

<http://www.hud.gov/offices/cpd/homeless/index.cfm> and
<http://www.hudhre.info>. The hudhre website provides additional detailed instructions on eligibility and process.

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications for assistance are defined in a Notice of Funding Availability in the Federal Register.

14.238 SHELTER PLUS CARE**FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Subtitle F of Title IV of the McKinney-Vento Homeless Assistance Act of 1987 as amended, 42 U.S.C.11403.

OBJECTIVES:

The Shelter Plus Care Program provides rental assistance, in connection with supportive services funded from sources other than this program, to homeless persons with disabilities (primarily persons who are seriously mentally ill; have chronic problems with alcohol, drugs, or both, or have acquired immunodeficiency syndrome and related diseases) and their families. The program provides assistance through four components: (1) Tenant-based Rental Assistance (TRA); (2) Sponsor-based Rental Assistance (SRA); (3) Project-based Rental Assistance (PRA); (4) and Single Room Occupancy for Homeless Individuals (SRO).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Homeless persons with disabilities and their families. Homeless persons with disabilities.

Applicant Eligibility:

An eligible applicant is a State, unit of general local government, or public housing agency (PHA).

Beneficiary Eligibility:

Homeless persons with disabilities and their families. Except in single room occupancy dwellings that are only for homeless individuals with disabilities.

Credentials/Documentation:

Governmental entities and public housing agencies will certify to their eligibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An electronic application package prescribing the specific information that applicants must submit through the local Continuum of Care (CoC) is available from HUD through the annual CoC Notice of Fund Availability, found at <http://hudhre.info>. The standard electronic application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked, in accordance with the Notice of Fund Availability (NOFA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters. HUD Headquarters will make selection and obligate funds as soon as the applications can be processed.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes occurred.

Renewals:

One year funding for projects eligible for renewal as contained in the Notice of Fund Availability and application.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Recipients must match the rental assistance by supportive services that are equal in value to the aggregate amount of rental assistance and appropriate to the needs of the population to be served. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD will provide rental assistance under the TRA and SRA components for a five year period. Rental assistance under the SRO component will be for a ten-year period. If units are rehabilitated, and meet the standards required, assistance under the PRA component will be for a ten-year period; otherwise it will be for a five-year period. See the following for information on how assistance is awarded/released: HUD award notification letter.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits as stipulated in 24 CFR 45. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 09 \$432,847,000; FY 10 est \$489,041,000; FY 11 est \$530,250,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There are approximately 1,175 projects in grant funding to provide rental assistance, in connection with supportive services, for homeless persons with disabilities during the fiscal year 2009. Fiscal Year 2010: There are approximately 900 projects in grant funding to provide rental assistance, in connection with supportive services, for homeless persons with disabilities during the fiscal year 2010. Fiscal Year 2011: There is no data for this program during fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 582 Shelter Plus Care Program Final Rule.

Regional or Local Office:

See Regional Agency Offices. Designated contact person or monitor for the Shelter Plus Care Program in HUD Field Offices with a Community Planning and Development Division. Program information may also be obtained from Community Connections at HUD at (1-800)998-9999.

Headquarters Office:

Robyn Raysor, Office of Special Needs Assistance Programs, Community Planning and Development, Department of Housing and Urban Development, Room 7266, 451 7th Street, SW, Washington, District of Columbia 20410
Email: Robyn.S.Raysor@hud.gov Phone: (202) 708-4300.

Website Address:

<http://www.hud.gov/offices/cpd/homeless/index.cfm> and
<http://www.hudhre.info>. The hudhre website provides additional detailed instructions on eligibility and process.

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.241 Housing Opportunities for Persons with AIDS

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed under each Notice of Fund Availability.

14.239 HOME INVESTMENT PARTNERSHIPS PROGRAM

(HOME Program)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

National Affordable Housing Act 1990, Title II.

OBJECTIVES:

To expand the supply of affordable housing, particularly rental housing, for low and very low income Americans; to strengthen the abilities of State and local governments to design and implement strategies for achieving adequate supplies of decent, affordable housing; to provide both financial and technical assistance to participating jurisdictions, including the development of model programs for developing affordable low income housing; and to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and nonprofit organizations, in the production and operation of affordable housing.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For use by participating jurisdictions or Insular Areas for housing rehabilitation, tenant-based rental assistance, assistance to homebuyers, acquisition of housing and new construction of housing. Funding may also be used for other necessary and reasonable activities related to the development of non-luxury housing, such as site acquisition, site improvements, demolition and relocation. Ten percent of a participating jurisdiction's allocation may be used for administrative costs. Funds may not be used for public housing modernization, matching funds for other Federal programs, reserve accounts or operating subsidies for rental housing, Annual Contributions Contracts, or activities under the Low Income Housing Preservation Act except for priority purchasers. In December 2003, the American Dream Downpayment Act authorized a new program, American Dream Downpayment Initiative (ADDI), to be administered as a part of the HOME Program. ADDI will grant funds to all 50 states and local participating jurisdictions that have a population of at least 150,000 or will receive an allocation of at least \$50,000 under the ADDI formula. Participating jurisdictions may use ADDI funds to provide downpayment, closing costs and rehabilitation assistance to low-income families to help them achieve homeownership. Technical Assistance is available to help HOME participating jurisdictions design and implement HOME programs, including: improving their ability to design and implement housing strategies and incorporate energy efficiency into affordable housing; facilitating the exchange of information to help Participating Jurisdictions carry out their programs; facilitating the establishment and efficient operation of employer-assisted housing programs and land bank programs; and/or encouraging private lenders and for-profit developers of low-income housing to participate in public-private partnerships. Community Housing Development Organization Technical Assistance (CHDO TA) to promote the ability of CHDOs to maintain, rehabilitate and construct housing for low-income homeowners and tenants; and help women who reside in low- and moderate-income neighborhoods to rehabilitate and construct housing in these neighborhoods.

Applicant Eligibility:

States, cities, urban counties, and consortia (of contiguous units of general local governments with a binding agreement) are eligible to receive formula allocations; funds are also set aside for grants to Insular Areas. Technical Assistance applicants: a for-profit and non-profit professional and technical services company or firm that has demonstrated capacity to provide technical assistance services; a HOME participating jurisdiction or agency thereof; a public purpose organization responsible to the chief elected official of a PJ and established pursuant to State or local legislation; an agency or authority established by two or more PJs to carry out activities consistent with the purposes of the HOME program; a national or regional non-profit organization that has membership comprised predominantly of entities or officials of entities of PJs or PJs agencies or established organizations. CHDO Technical Assistance: public and private non-profit intermediary organizations that customarily provide services (in more than one community) related to affordable housing or neighborhood revitalization of CHDOs, or similar organizations that engage in community revitalization, including all eligible organization under section 233 of the Cranston-Gonzalez National Affordable Housing Act, as amended.

Beneficiary Eligibility:

For rental housing, at least 90 percent of HOME funds must benefit low and very low income families at 60 percent of the area median income; the remaining ten percent must benefit families below 80 percent of the area median. Assistance to homeowners and homebuyers must be to families below 80 percent of the area median.

Credentials/Documentation:

Specific requirements of 24 CFR Part 85 apply to participating jurisdictions, State recipients and any governmental subrecipient receiving HOME funds. OMB Circular No. A-122 and specific requirements of 24 CFR Part 84 apply to nonprofit subrecipients which are not governmental. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including HOME. The annual action plan must describe how the funds will be used. The technical assistance funds are awarded on a competitive basis through a national competition, under the Notice of Fund Availability. An application package prescribing the specific information that an applicant must submit will be available from HUD when the NOFA is published in the Federal Register.

Award Procedure:

The responsible HUD Field Office notifies grantees of the formula awards. HUD prepares the grant agreement for formula applicants and for those applications selected on a competitive basis.

Deadlines:

Nov 15, 2010 to Aug 16, 2011 Action plans should be submitted to HUD at least 45 days before the start of the program year. In no event will HUD accept a submission earlier than November 15 or later than August 16 of the federal fiscal year for which funds are allocated. The Notice of Funding Availability for technical assistance for competitive grants announces deadlines for applications.

Range of Approval/Disapproval Time:

HUD will review for completeness and consistency with the purposes of the Act, within 45 days of receipt from a jurisdiction. The selection of successful competitive technical assistance applicants Will take place at HUD Headquarters.

Appeals:

Formula allocations may not be appealed.

Renewals:

Every fifth year, localities submit a Consolidated Plan. Each year jurisdictions must submit an action plan and certifications. A new application procedure is initiated for each technical assistance request.

Formula and Matching Requirements:

Statutory Formula: Title HOME Investment Partnership Program, Chapter 24, Part 92, Subpart A-M. The formula is a system of factors established to reflect a jurisdiction's need for an increased supply of affordable housing for low and very low income families. Designed by HUD to meet statutory criteria, it is based on a jurisdiction's inadequate housing supply, substandard housing, the number of low-income households in housing units likely to be in need of rehabilitation, the cost of producing housing, poverty, and the relative fiscal incapacity of the jurisdiction to carry out housing activities without federal assistance. Each jurisdiction must make matching contributions for affordable housing throughout a fiscal year in an amount not less than 25 percent of the HOME funds drawn from the U.S. Treasury during the federal fiscal year for projects. Jurisdictions in fiscal distress receive full or partial (50 percent) relief from this requirement.

Matching Requirements: Percent: 25. %.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds are drawn down from the U. S. Treasury based upon individual project development progress. The HOME program uses an electronic fund transfer disbursement and information system. Once drawn down, funds must be expended within fifteen days. All funds must be committed within 24

months and expended within 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Financial management and annual performance reports. No cash reports are required. No progress reports are required. No expenditure reports are required. Annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records which enable HUD to determine whether the participating jurisdiction has met the requirements of the program must be kept for the most recent five year period. Those records required to document the period of affordability must be maintained for five years beyond the required period.

Account Identification:

86-0205-0-1-604.

Obligations:

(Formula Grants) FY 09 \$1,825,000,000; FY 10 est \$1,825,000,000; FY 11 est \$1,650,000,000 - (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.).

Range and Average of Financial Assistance:

\$274,524 to \$124,813,610; \$2,790,106.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 77,252 units completed; 17,248 families received tenant-based rental assistance. Fiscal Year 2010: 77,164 estimated units to be completed; 17,229 estimated families to receive tenant-based rental assistance. Fiscal Year 2011: 75,459 units estimated to be completed; 17,579 families estimated to receive tenant-based rental assistance.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 92.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Clifford Taffet, 451 7th Street, S.W. Room 7164, Washington, District of Columbia 20410 Email: Clifford.Taffet@hud.gov Phone: (202) 708-2685 Fax: (202) 708-1744.

Website Address:

<http://www.hud.gov/homeprogram/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.241 HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)**FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

AIDS Housing Opportunity Act, 42 U.S.C. 12901 et seq., Title 24, Part 574.

OBJECTIVES:

To provide States and localities with the resources and incentives to devise

long-term comprehensive strategies for meeting the housing needs of low-income persons and their families living with AIDS.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Grantees and project sponsors may use HOPWA funds to provide for any of the following eligible activities, subject to certain standards and limitations provided in the regulations: (1) housing information services including, but not limited to, counseling, information, and referral services to assist eligible individuals to locate, acquire, finance and maintain housing; (2) Resource identification to establish, coordinate and develop housing assistance resources; (3) Acquisition, rehabilitation, conversion, lease, and repair of facilities to provide housing and services; (4) New construction (for single room occupancy (SRO) dwellings and community residences only); (5) Project- or tenant-based rental assistance including assistance for shared housing arrangements; (6) Short-term rent, mortgage, and utility payments to prevent the homelessness of the tenant or mortgagor of a dwelling; (7) permanent housing placement assistance; (8) Supportive services and case management including, but not limited to, health, such as uncompensated costs subject to limitations at 574.310(a)(2), mental health, assessment, drug and alcohol abuse treatment and counseling, day care, nutritional services, etc.; (9) Operating costs for housing facilities including maintenance, security, operation, insurance, utilities, furnishings, equipment, supplies, and other incidental costs; (10) Technical assistance in establishing and operating a community residence, including planning and other pre-development or pre-construction expenses and including, but not limited to, costs relating to community outreach and educational activities regarding AIDS (and the fiscal year 2004 appropriation act and subsequent acts authorize the use of funds for technical assistance, training and oversight); (11) Administrative expenses: 3 percent for grantees and 7 percent for project sponsors, including costs of staff necessary to carry out eligible activities; and (12) For competitive grants only, any other activity proposed by the applicant and approved by HUD. Restrictions: Appropriate supportive services must be provided as part of any HOPWA assisted housing. General standards for housing activities are established, including minimum use periods for structures (10 years for new construction, substantial rehabilitation or acquisition and 3 years for other non-substantial rehabilitation or repair). Resident rent payments are required of program beneficiaries for rental assistance. Program beneficiaries must be low-income and living with HIV/AIDS.

Applicant Eligibility:

(1) Entitlement grants are awarded by formula to States and qualifying cities for eligible metropolitan statistical areas (EMSAs) with the largest number of cases of AIDS. The most populous city will serve as the applicant/grantee for the EMSAs award; and (2) competitively awarded grants may be awarded to: (a) States, local governments and nonprofit organizations for special projects of national significance; and (b) projects submitted by States and localities in areas that do not qualify for HOPWA formula allocations. Nonprofit organizations are eligible to apply for projects of national significance, but may also serve as a project sponsor to formula grantees. As required by the Appropriations Acts, HUD gives priority to the renewal of expiring permanent supportive housing projects.

Beneficiary Eligibility:

Eligible beneficiaries are low income persons and their families living with HIV/AIDS.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circulars Nos. A-87 and A-102 for State and local governments, and Nos. A-110 and A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All HOPWA formula grantees must submit a

Consolidated Plan and an annual action plan that includes a comprehensive AIDS housing strategy that identifies and outlines how a community has identified local housing needs and how these federal resources will be targeted to address these local priorities. The Consolidated Plan and annual action plan requirements is applicable for each of the four major formula-distribution HUD community development programs, including HOPWA.

HOPWA competitive grant funding is awarded through the Department's SuperNOFA (Notice of Funding Availability) for new competitive grants for special projects of national significance and for technical assistance activities under the Transformation Initiative.

In addition, the Appropriations Acts authorizes the Secretary of HUD to renew all expiring contracts for permanent supportive housing that were funded under section 854(c)(3) of AIDS Housing Act that meet all program requirements before awarding funds for new competitive grant applications.

Award Procedure:

HUD reviews Consolidated Plan submissions and competitive applications under the SuperNOFA. HUD prepares a Grant Agreement for formula applicants and for those applications selected on a competitive basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

HUD field offices receive and review Consolidated Plan and annual action submissions, on behalf of HOPWA formula grantees. Headquarters staff review competitive applications received for new HOPWA competitive grants of special projects of national significance and for technical assistance, training, and oversight activities.

Appeals:

Not Applicable.

Renewals:

HUD issues an annual Notice that solicits applications for expiring permanent supportive housing programs. Application deadlines vary during the fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title Formula Allocations, Subpart B - Formula Entitlements. Eligible States and qualifying cities are awarded HOPWA formula grants upon the submission and approval of a consolidated plan, pursuant to 24 CFR Part 91.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds should be expended in a timely manner or may be subject to deobligation. Grant funding should be expended within a 3-year period from the date of the signing of the grant agreement. Program requirements provide competitive grants with the allowance of a 1-year extension, if approved by HUD. Method of awarding/releasing assistance: lump sum.

Reports:

Formula grantees are required to submit a Consolidated Plan and an annual action plan that outlines how HOPWA program resources are to be targeted to address the housing needs of those living with HIV/AIDS. No cash reports are required. HOPWA formula and competitive grantees are required to submit annual performance reports. The Consolidated Annual Performance and Evaluation Report (CAPER) is required of formula grantees and provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements.

The Annual Progress Report (APR) provides annual performance reporting on program beneficiaries outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. Expenditure reports are not applicable. HUD field offices conduct annual risk

assessments of all program grantees to identify and target program compliance monitoring to be conducted during the federal fiscal year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. OMB Circular A-133 Section 220 outlines the standards for the frequency of audits which should be performed annually, unless the grantee's project sponsor is a state or local government that is required by constitution or statute to conduct a biennial audit.

Auditees shall comply with 24 CFR 85 (for governmental units) or Part 84 for private non-profit organizations.

Records:

HOPWA grantees must ensure that program records are maintained for a four-year period to document compliance with program requirements. Grantees must maintain current and accurate data on the race and ethnicity of program participants.

Account Identification:

86-0308-0-1-604.

Obligations:

(Formula Grants) FY 09 \$310,000,000; FY 10 est \$335,000,000; FY 11 est \$340,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final Rule at 24 CFR 574, as amended; and Consolidated Plan Final Rule at 24 CFR part 91.

Regional or Local Office:

None. Designated Community Planning and Development staff in HUD Headquarters and in local field offices as listed in Appendix IV of the Catalog.

Headquarters Office:

William G. Rudy, 451 7th & D Street S.W. , Washington, District of Columbia 20410 Phone: (202) 708-1934 Fax: (202) 708-9313.

Website Address:

<http://www.hud.gov/offices/cpd/aidshousing>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Additional on-line reference materials on HOPWA grant activities can be obtained through <http://www.hudhre.info> and by selecting the Housing Opportunities for Persons with AIDS (HOPWA) program. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants, the Department's SuperNOFA (Notice of Funding Availability) establishes the rating criteria and factors governing the submission of grant applications.

14.246 COMMUNITY DEVELOPMENT BLOCK GRANTS/BROWNFIELDS ECONOMIC DEVELOPMENT INITIATIVE (BEDI; Section 108)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing & Community Development Act of 1974, as amended, Public Law

93-383, 42 U.S.C 5308(q).

OBJECTIVES:

To return Brownfields to productive economic use by assisting units of general local government in carrying out economic development projects on contaminated land or buildings. BEDI grants are designed to enhance the security of loans guaranteed under the Section 108 program or improve the viability of projects financed with Section 108 funds.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be used for any activity eligible under Section 108(a) of the Housing and Community Development Act of 1974, except that fiscal year 2008/09 grant funds may not be used to fund reserves. Grant funds may only be used in conjunction with a new, that is, not yet approved, Section 108 Loan for the same project.

Applicant Eligibility:

Units of general local government eligible to receive Community Development Block Grant funds.

Beneficiary Eligibility:

The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Proposals may only be submitted in response to competitions announced in a Federal Register publication. The Federal Register publication of a Notice of Funds Availability (NOFA) will detail the purpose of each separate program, the application deadlines, the funds available for each competition, the submission requirements and the competitive factors that will be considered in awarding grants.

Award Procedure:

Competitive, based upon factors published in a Federal Register NOFA or posted on HUD.GOV.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Public award announcements made after completion of grant competition.

Appeals:

From 120 to 180 days. HUD will provide post announcement debriefing upon request. HUD has authority to correct substantive errors, which may change competitive ranking of application.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 100%. Must be used in conjunction with a Section 108 Loan Guarantee and must be matched at a minimum of \$1:\$1 of BEDI to Section 108. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects should be completed within a five year period. Funds would be

accessed by line of credit in the same ratio as the amount of BEDI grant funds compared with the amount of Section 108 Loan funds committed by the applicant for the project. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual reports of use of funds as part of Grantee's Community Development Block Grant annual report. Program participants are covered by standard federal cash transaction reports applicable to the Community Development Block Grant (CDBG) program. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is required using performance logic models required to be submitted with application.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The applicant must maintain original source records with regard to expenditures of funds for authorized program activity as well as records on subrecipient and activity eligibility, national objectives, financial management, citizen participation, relocation, environmental impact, labor standards, equal opportunity and other requirements set forth in the regulations.

Account Identification:

86-0314-0-1-451; 86-0162-0-1-451.

Obligations:

(Project Grants) FY 09 \$10,000,000; FY 10 est \$17,325,000; FY 11 est \$0.
(Project Grants) FY 09 \$165,311,000; FY 10 est \$172,844,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$500,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations are the same as for the Community Development Block Grants found at 24 CFR Part 570.

Regional or Local Office:

None. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Duncan, Room 7140, Dept. of HUD, 451 7th Street, SW, Washington, District of Columbia 20410 Email: Robert.Duncan@HUD.GOV Phone: (202) 402-4681.

Website Address:

<http://www.hud.gov/offices/cpd/bedi>

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based upon competitive factors contained in the NOFA.

14.247 SELF-HELP HOMEOWNERSHIP OPPORTUNITY PROGRAM

(Self-Help Homeownership Opportunity Program-SHOP)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing Opportunity Extension Act of 1996, Section 11, as amended.

OBJECTIVES:

To facilitate and encourage innovative homeownership opportunities through the provision of self-help housing where the homebuyer contributes a significant amount of sweat equity toward the construction of the dwellings.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible expenses for program funds are land acquisition and infrastructure improvements, which taken together may not exceed an average of \$15,000 in assistance per dwelling. Additionally, up to 20 percent of the grant amount may be used for administrative costs.

Applicant Eligibility:

Funds are awarded competitively to national or regional nonprofit organizations or consortia that have experience in providing self-help housing homeownership opportunities.

Beneficiary Eligibility:

Eligible homebuyers are low-income families who are otherwise unable to afford to purchase a dwelling, and who provide significant amounts of sweat equity or volunteer labor to the development of the dwellings.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR part 84 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application Procedure: Applications may be submitted electronically in response to the annual publication of the SHOP NOFA in the Federal Register. The General Section of the SuperNOFA and SHOP NOFA detail the submission requirements.

Award Procedure:

Based upon the requirements published in the Federal Register SuperNOFA, respondents are notified of acceptance or rejection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Leveraging of other public and/or private resources and homebuyer sweat equity and volunteer labor are required to complete housing construction.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is released on an as-needed basis over a 24-month period. For affiliates that develop 5 or more dwellings units, up to a 36-month period. See the following for information on how assistance is awarded/released: Assistance is released on an as-needed basis.

Reports:

Quarterly and annual progress reports on construction and expenditures; as well as, final close-out reports. No cash reports are required. Quarterly and annual

reports on construction and expenditure progress. Quarterly and annual progress reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The audit requirements in 24 CFR Part 45 (implementing OMB Circular No. A-133) apply.

Records:

All records applicable to the program must be kept for three years following close-out.

Account Identification:

86-0176-0-1-451.

Obligations:

(Project Grants) FY 09 \$26,500,000; FY 10 est \$27,000,000; FY 11 est \$0 - (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.).

Range and Average of Financial Assistance:

Four grants were awarded in FY 2009. They ranged from \$983,089 to \$11,239,741. The average grant was \$6,625,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 1,809 homeowners were assisted during FY 2009. Fiscal Year 2010: FY 2010 funding will provide homeownership opportunities to approximately 1,500 low-income families. Fiscal Year 2011: This program is not projected to be funded in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

The statute and SHOP NOFA constitute the regulations.

Regional or Local Office:

None.

Headquarters Office:

Louise Thompson, 451 7th Street, S.W., Washington, District of Columbia 20410 Email: louise.d.thompson@hud.gov Phone: (202) 708-2684 Fax: (202) 708-1744.

Website Address:

<http://www.hud.gov/offices/cpd/affordablehousing/programs/shop/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the SHOP NOFA and SuperNOFA.

14.248 COMMUNITY DEVELOPMENT BLOCK GRANTS_SECTION 108 LOAN GUARANTEES

(Section 108)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974, as amended, Title 24, Part 570, Section Subpart M.

OBJECTIVES:

To provide communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

For purposes of determining eligibility, the Community Development Block Grant (CDBG) rules and requirements apply. As with the CDBG program, all projects and activities must either principally benefit low- and moderate-income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community.

Applicant Eligibility:

Eligible Applicants include: metropolitan cities and urban counties, i.e., CDBG entitlement recipients; nonentitlement communities that are assisted in their submission of applications by States that administer the CDBG program; nonentitlement communities eligible to receive CDBG funds under the HUD-Administered Small Cities CDBG program; and Insular Areas (American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands). The public entity may be the borrower or it may designate a public agency to be the borrower. Furthermore, HUD's FY 2010 appropriations act authorizes HUD to provide loan guarantees to States borrowing on behalf of local governments in nonentitlement areas.

Beneficiary Eligibility:

The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication Coordination: Preapplication requirements are found in 24 CFR Section 570.704. Presubmission requirements are similar to those applicable for the Community Development Block Grants program. Proposed activities must be consistent with a unit of local government's Consolidated Plan submitted for HUD's Community Planning and Development Programs. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application requirements are found in 24 CFR Section 570.704.

Award Procedure:

Upon approval of an application, HUD will issue to the applicant an offer of commitment to guarantee notes or other obligations issued by the applicant or its public agency designee. The commitment will be subject to compliance with applicable requirements and such conditions as HUD may specify in the commitment document. Furthermore, borrowers are required to pledge current and future CDBG funds as security for the loan guarantee. HUD also requires that the loan guarantee be secured with other collateral.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 75 days from submission of complete application to appropriate HUD Field Office.

Appeals:

Not Applicable.

Renewals:

N/A.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum repayment period for a Section 108 loan is twenty years. Repayment schedules and forms are negotiable. See the following for information on how assistance is awarded/released: Loans funds are advanced under promissory notes or other obligations guaranteed by HUD.

Reports:

Consolidated Annual Performance Evaluation Report. Recipients are required to submit reports on cash balances, receipts, and disbursements of guaranteed loan funds and pledged amounts. No progress reports are required. Consolidated Performance Annual Performance Evaluation Report. Grantee must report performance outcomes.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The record keeping requirements of Subpart J of 24 CFR Part 570 apply to entitlement public entities receiving grants under Subpart F of 24 CFR Part 570. The record keeping requirements of Subpart I of 24 CFR Part 570 apply to State-assisted public entities.

Account Identification:

86-0198-0-1-451.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$275,000,000; FY 10 est \$275,000,000; FY 11 est \$500,000,000

Range and Average of Financial Assistance:

In FY 2009, commitments were issued in amounts ranging from \$353,000 to \$69,700,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 8,089 jobs. Fiscal Year 2010: 6,000 jobs. Fiscal Year 2011: 11,000 jobs.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart M.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Paul D. Webster, 451 7th Street, SW Room 7180, Washington, District of Columbia 20410 Email: paul.webster@hud.gov Phone: (202) 708-1871 Fax: (202) 708-1798.

Website Address:

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/>.

RELATED PROGRAMS:

14.218 Community Development Block Grants/Entitlement Grants; 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against criteria set forth at 24 CFR S 570.704.

14.249 SECTION 8 MODERATE REHABILITATION SINGLE ROOM OCCUPANCY

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act of 1987, Title IV, as amended.

OBJECTIVES:

The Section 8 Moderate Rehabilitation Single Room Occupancy Program provides rental assistance to homeless individuals. Under the program, HUD enters into Annual Contributions Contracts with public housing agencies (PHAs) in connection with the moderate rehabilitation of residential properties that, when rehabilitation is completed, will contain multiple single room dwelling units.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Homeless (single) individuals.

Applicant Eligibility:

An eligible applicant is a PHA or private nonprofit organization. Private nonprofits have to contract with a PHA to administer the rental assistance.

Beneficiary Eligibility:

Homeless individuals.

Credentials/Documentation:

Private nonprofit organizations and public housing agencies will certify to their eligibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Any site that would have a significant adverse impact on the human environment will not be eligible for funding. All components of this program are excluded from coverage under E.O.12372. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application package prescribing the specific information that applicants must submit is available from HUD through the annual Continuum of Care Notice of Fund Availability. The standard application form as furnished by HUD must be used for this program. Any proposed project under this program must be consistent with the applicable HUD-approved Consolidated Plan.

Award Procedure:

HUD reviews applications, rates and ranks those meeting threshold requirements, and makes conditional grant awards to those applications most highly ranked.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Processing of applications and selection of successful applicants will take place at HUD field offices and Headquarters, HUD Headquarters will make selection. Funds, however, will not be obligated until the technical submission is approved.

Appeals:

Applicants may appeal the results of HUD's review and selection process if they believe a HUD error has occurred. Appeals must be in writing to the Assistant Secretary for Community Planning and Development and must state what HUD error the applicant believes has occurred.

Renewals:

On an annual basis, dependent on fund availability.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the SRO Program, an 11-year Annual Contribution Contract (ACC) is signed by the PHA with HUD. After the ACC is signed, the PHA and owner sign an AHAP and the owner has 12 months from the date of ACC execution to complete rehabilitation on the project. Once the rehabilitation is completed and the PHA inspects the units to ensure compliance with HUD's physical

condition standards (PCS), a 10-year Housing Assistance Payments (HAP) Contract is signed between the PHA and project owner. See the following for information on how assistance is awarded/released: HUD award notification letter.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial management systems used by governmental entities that are recipients under this program must provide for audits in accordance with 24 CFR 44. HUD may perform further and additional audits as it finds necessary or appropriate.

Records:

Each recipient must keep any records and make any reports that HUD may require.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 09 \$7,463,000; FY 10 est \$8,432,000; FY 11 est \$9,143,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There is no data for this program during fiscal year 2009.
Fiscal Year 2010: There is no data for this program during fiscal year 2010.
Fiscal Year 2011: There is no data for this program during fiscal year 2011.

REGULATION, GUIDELINES, AND LITERATURE:

24 CFR Part 882, Subpart H Section 8 Moderate Rehabilitation Single Room Occupancy Program for Homeless Individuals, as well as 24 CFR, Part 5, Subparts A, E and F. Program information also available from Community Connections at HUD at (1-800) 998-9999.

Regional or Local Office:

See Regional Agency Offices. Designated contact person or monitor for the Section 8 SRO Program in the HUD Field Offices with a Community Planning and Development Division (see Appendix IV of the Catalog for a listing of HUD Field Offices).

Headquarters Office:

Robyn Raysor, Office of Special Needs Assistance Programs, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, SW, Washington, District of Columbia 20410 Email: Robyn.S.Raysor@hud.gov Phone: (202) 708-4300.

Website Address:

<http://www.hud.gov/offices/cpd/homeless/programs/sro/index.cfm> and <http://www.hudhre.info>. The hudhre website provides additional detailed instructions on eligibility and process.

RELATED PROGRAMS:

14.238 Shelter Plus Care

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed under each Notice of Fund Availability.

14.251 ECONOMIC DEVELOPMENT INITIATIVE-SPECIAL PROJECT, NEIGHBORHOOD INITIATIVE AND MISCELLANEOUS GRANTS

(Congressional Grants)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161, The Department of Housing and Urban Development Appropriations Act, 2009, Public Law 111-8, The Consolidated Appropriations Act, 2010, Public Law 111-111-117.

OBJECTIVES:

The annual appropriation of funds to the Department and the accompanying Conference Report or Congressional Record accompanying the appropriation set forth grants in a specified amount to designated organizations for the activities specified by Congress.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible activities and restrictions are set forth in the authorizing language enacted by Congress for each grant as well as in the appropriation of Economic Development Initiative-Special Project (EDI-SP) and Neighborhood Initiative (NI) and Miscellaneous grant funds. Funds are also governed by 24 CFR Part 84 and Part 85, as well as by OMB circulars A-122 and A-133.

Applicant Eligibility:

The Conference Report or Congressional Record accompanying the annual appropriation of funds to the Department specifies the individual grantees eligible to receive each grant. These typically are nonprofit organizations, units of State or local government and Indian tribes.

Beneficiary Eligibility:

Nonprofit organizations, units of State or local government and Indian tribes.

Credentials/Documentation:

The Congressional authorization for an individual grant may not specify the intended recipient or may identify the intended recipient by its common rather than legal name. In such cases, organizations may be required to submit documentation (e.g., certificate of incorporation) verifying their eligibility for the grant. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Department invites each organization authorized by Congress to receive a grant to submit an application for grant funds. The Department provides each invited organization with the required application materials.

Award Procedure:

Awards are made only to applicants authorized by Congress to receive funds, for activities consistent with the authorized use for each grant and with terms of the relevant fiscal year appropriation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Typically, within 4 months of application submission. All applicants are notified in writing of approval. Applications that cannot be approved, either because the proposed activities are not consistent with the authorized use of the grant or the terms of the appropriation; or the organization is not designated by Congress to receive the grant, may require the applicant to seek an amendment by Congress to the language authorizing the use of grant funds.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Implementation Grants - Funds are typically available for five years following the period of obligation (i.e., typically eight fiscal years). Funds are accessed through an established Line of Credit. See the following for information on how assistance is awarded/released: Grant funds are typically released as project costs are incurred by the grantee, through the Department's Line of Credit Control System (LOCCS).

Reports:

Semi-annual and final narrative reports are required. If funds are disbursed to the grantee during a reporting period, a financial status report form is also required. A final financial status report is also required. Semi-annual and final narrative reports are required. If funds are disbursed to the grantee during a reporting period, a financial status report form is also required. A final financial status report is also required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each recipient must maintain records to facilitate audits of funds, disposition of proceeds, costs from all sources, and information on project activities.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 09 \$19,546,000; FY 10 est \$22,088,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$50,000 - \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 84 or Part 85, as applicable; 24 CFR Part 50 or Part 58, as applicable; annual appropriation of funds to the Department and accompanying Conference Report or Congressional Record; Application Kit; Grant Agreement; Certificate of Project Completion and Close-out Agreement.

Regional or Local Office:

None.

Headquarters Office:

Francis P. McNally, 451 7th Street, SW, Washington, District of Columbia 20912 Email: Francis.P.McNally@hud.gov Phone: (202) 402-7100.

Website Address:

<http://www.hud.gov/progdsc/cpdindx.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.252 SECTION 4 CAPACITY BUILDING FOR COMMUNITY DEVELOPMENT AND AFFORDABLE HOUSING**FEDERAL AGENCY:**

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

HUD Demonstration Act of 1993, Section 4, Public Law 103-120, 107 Stat. 1148, 42 U.S.C 9816 note.

OBJECTIVES:

To help improve the capacity of community organizations to develop affordable housing and other community development projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Five organizations, as specified in the authorizing legislation and amendment, are eligible: Living Cities/The National Community Development Initiative, Enterprise Community Partners, Inc. (formerly The Enterprise Foundation), Local Initiatives Support Corporation, Habitat for Humanity International and YouthBuild USA.

The grantees may use the grant for one or more of the following activities relating to capacity building for affordable housing and community development: (1) Training, education, support, and advice to enhance the technical and administrative capabilities of community development corporations (CDCs) and community housing development organizations (CHDOs); (2) Loans, grants, development assistance, predevelopment assistance, or other financial assistance to CDCs and CHDOs to carry out community development and affordable housing activities that benefit low-income families and persons; and (3) Such other activities as may be determined by the grantees in consultation with the Secretary or his designee.

Applicant Eligibility:

Five organizations, as specified in the authorizing legislation and amendment, are eligible: Living Cities/The National Community Development Initiative, Enterprise Community Partners, Inc. (formerly The Enterprise Foundation), Local Initiatives Support Corporation, Habitat for Humanity International and YouthBuild USA.

Beneficiary Eligibility:

Community Development Corporations and Community Housing Development Organizations.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR Part 84 (Uniform Administrative Requirements), OMB Circular A-122 (Cost Principles for Nonprofit Organizations), and OMB Circular A-133 (Audits of States, Local Governments, and Non-Profit Organizations). After selection for funding but prior to award, applicants must submit financial and administrative information and certifications to comply with applicable requirements. Applicants also must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicant's financial management system meets prescribed standards for fund control and accountability. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications may be submitted in response to the annual publication of the Capacity Building Notice of Funding Availability (NOFA) in the Federal Register. The Annual General Section to HUDs NOFA for Discretionary Programs and the Capacity Building for Community Development and Affordable Housing NOFA detail the submission requirements. There is no application kit.

Award Procedure:

Based upon the requirements published in the Federal Register NOFA, respondents are notified of acceptance or rejection. Upon selection, the applicant and HUD shall negotiate and execute a grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Each dollar awarded must be matched by three dollars in cash or in-kind contributions obtained from private sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The performance period for the capacity building grants is 4 years. Funds must be expended within 4 years of the grant start date. See the following for information on how assistance is awarded/released: Funds are available for draw from a line of credit control system.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees and sub grantees shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507).

Records:

All records applicable to the program must be kept for 3 years following closeout.

Account Identification:

86-0176-0-1-604.

Obligations:

(Project Grants) FY 09 \$34,000,000; FY 10 est \$50,000,000; FY 11 est \$60,000,000

Range and Average of Financial Assistance:

For Fiscal Year 2009 the grant allocations ranged from \$4,327,474.00 to \$14,836,263.00 among three grantees. The average award was \$11,330,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009 the grantees conducted 602 trainings and there were 4,260 homes renovated, preserved or newly constructed. Additionally, in 2009 the total development cost estimate of community development projects funded by CDCs was \$869,000,000.00. Fiscal Year 2010: In 2010, it is projected that there will be 650 trainings, 5000 homes renovated, preserved or newly constructed, and that the total development cost estimate of community development projects funded by CDCs will be \$900,000,000.00. Fiscal Year 2011: In 2011, it is projected that there will be 700 trainings, 6000 homes renovated, preserved or newly constructed, and that the total development cost estimate of community development projects funded by CDCs will be \$1,000,000,000.00.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Karen Daly, 451 Seventh Street, SW , Washington, District of Columbia 20410
Email: karen.e.daly@hud.gov Phone: (202) 402-5552.

Website Address:

<http://www.hud.gov/offices/cpd/about/capacitybuilding.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the Capacity Building for Community Development and Affordable Housing NOFA and the General Section to HUD's NOFAs for Discretionary Programs.

14.259 CPD'S TRANSFORMATION INITIATIVE TECHNICAL ASSISTANCE

OneCPD Integrated Practitioner Assistance System (OneCPD) and Core Curricula for Skills-based Training

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Department of Housing and Urban Development Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

Under the new Transformation Initiative at HUD, the approach to technical assistance, capacity building and the organization of the TA delivery system itself has been fundamentally changed this year. The new TA structure for CPD named the OneCPD Integrated Practitioner Assistance System (OneCPD) will provide grantees with a single cross-program, results-focused demand-response delivery system driven by local needs and administered directly from HUD Headquarters. The following programs are covered under OneCPD: HOME Investment Partnerships and Community Housing Development Organizations (HOME/CHDO), the National Housing Trust Fund, Community Development Block Grant (CDBG), Housing Opportunities for Persons with AIDS (HOPWA), and the Homeless Assistance programs. The purpose of the OneCPD program is to provide state and local government and nonprofit recipients of federal community development, affordable housing, and special needs funding with the technical and capacity building assistance needed to successfully carry out CPD programs. The Core Curricula for Skills-based Training will ensure that grantee staff for all of HUDs programs will have the necessary skills and qualifications to successfully carry out their responsibilities. The purpose of the HUD Core Curricula for Skills-Based Training is to develop and deliver training courses and seminars to improve the core skills of grantee staff in the following areas common to many HUD programs: Development Finance, Environmental Review and Compliance, Financial Management and Analysis, Asset Management and Preservation of HUD-Assisted Projects, and Construction and Rehabilitation Management. Providers will work closely in conjunction with HUD program staff to address the skills-based training needs of PIH, CPD, and Housing grantees and their program partners. Eligible applicants seeking funding to provide assistance under both the OneCPD and Core Curricula Training programs must submit a separate application for each.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All successful applicants must operate within the structure of the demand-response system. Under the demand-response system, HUD identifies technical assistance and capacity building needs and prioritizes them based on Departmental, programmatic and jurisdictional priorities. HUD is solely responsible for determining the entities to be assisted, the location, and the nature of the assistance to be provided, which must be part of a previously established HUD TA plan for the area.

Applicant Eligibility:

Eligible OneCPD Applicants

A state or unit of general local government;

A HOME program participating jurisdiction (PJ);

A public housing authority;

A public or private nonprofit organization or intermediary, including educational institutions and area-wide planning organizations; or Indian tribes; and

For profit organizations.
A combination of any of the above applying together as a joint venture.

Core Curricula For Skills-based Training - Eligible Applicants

Public or private nonprofit organizations or intermediaries, including educational institutions and area-wide planning organizations; and For-profit organizations

Additional information is available at the HOME website at <http://www.hud.gov/homeprogram>.

Beneficiary Eligibility:

Units of State and Local Government will use these funds for training to better serve very-low and low income households.

Credentials/Documentation:

Applicants that propose to provide HOME TA to HOME participating jurisdictions (PJs) or HOME community housing development organizations (CHDOs) must have a minimum of two technical assistance and capacity building staff on their team who have passed, with a score of 80 or higher, the Certified HOME Program Specialist Regulations training exam (HOME Program certified). This must include at least one in-house staff person for the applicant, or the lead applicant in the case of a joint application, who is HOME Program certified. All TA providers proposing to deliver TA to HOME PJs or CHDOs must be able to document the staff certifications claimed in their application. In addition to the in-house staff, applicants may include HOME Program certified individuals as subcontractors, consultants or contract with other entities that have HOME certified staff, such as local TA providers that may already be operating under previous or existing CD-TA cooperative agreements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants are required to submit a one-page application summary cover page with the application. The one-page application summary must identify which of the four eligible activities (needs assessments, direct TA, materials/tools development (including course development), group learning delivery) does the applicant claim the expertise to provide assistance. The requested funding amount should be based on the number of available staff, the range and quality of their skills and knowledge, and the ability of the applicant to manage these resources to successfully execute multiple TA or capacity building engagements involving several covered programs simultaneously over a two- to three-year period; and the geographic coverage area of TA or capacity building assistance proposed by the applicant (i.e., national, specific regions and/or specific states). Applications submitted through <http://www.grants.gov> must be received by Grants.gov no later than 11:59:59 pm eastern time on the application deadline date.

Award Procedure:

HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Annual applications are required for new funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. No matching funds are required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be for a period of 24 months to 36 months, depending on such factors as TA providers experience, performance, geographic coverage, and skills or expertise. HUD reserves the right to determine the award period based on any or all of these factors. See the following for information on how assistance is awarded/released: After selection for funding but prior to award, applicants must submit financial and administrative information to comply with applicable requirements. These requirements are found in 24 CFR part 84 for all organizations, except states and local governments whose requirements are found in 24 CFR part 85. Cost principles requirements are found at OMB Circular A-122 for nonprofit organizations, OMB Circular A-21 for institutions of higher education, OMB Circular A-87 for states and local governments, and at 48 CFR 31.2 for commercial organizations. Applicants must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicants financial management system meets prescribed standards for fund control and accountability.

Reports:

Awardees will be required to report to the GTR no less often than quarterly unless otherwise specified in the cooperative agreement. As part of this required report to HUD, grant recipients must update the Logic Model (HUD 96010), a post-award required submission, with actual outputs and outcomes achieved, and a narrative explanation of any disparity between projected and actual results. Awardees will be required to report to the GTR no less often than quarterly unless otherwise specified in the cooperative agreement. As part of this required report to HUD, grant recipients must update the Logic Model (HUD 96010), a post-award required submission, with actual outputs and outcomes achieved, and a narrative explanation of any disparity between projected and actual results. Quarterly reports and work plans must be approved. Detailed budget and expense reports are required consistent with established work plans. The GTR will review materials and training evaluations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records which enable HUD to determine whether the grantee has met the requirements for the program and cooperative agreement.

Account Identification:

86-0402-0-1-451.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$23,595,000; FY 11 est \$0 - This is a new program under the HUD Transformation Initiative. Funding unknown for FY 11.

Range and Average of Financial Assistance:

This is a new program and subsequently there are no established range or average award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 92 for HOME

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Clifford Taffet, 451 7th Street, S.W. Room 7164, Washington, District of Columbia 20410 Email: Clifford.Taffet@hud.gov Phone: (202) 708-2685 Fax: (202) 708-1744.

Website Address:

<http://www.hud.gov/offices/cpd/affordablehousing/programs/home/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.260 VETERANS HOMELESSNESS PREVENTION DEMONSTRATION PROGRAM

Veterans Homelessness Prevention Demonstration Program (VHPD)

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act of 2009.

OBJECTIVES:

The Veterans Homelessness Prevention Demonstration Program (VHPD) is to explore ways for the Federal Government to offer early intervention homelessness prevention, primarily to veterans returning from wars in Iraq and Afghanistan. The authorizing statutory language for the VHPD acknowledges the increasing number of female veterans, veterans with families especially with a single head of household, as well as those from the National Guard and Reserve who are being discharged from the military and whose unique needs should be more closely examined. It is anticipated that this demonstration program will provide an opportunity to understand the unique needs of this new cohort of veterans, and will support efforts to identify, reach, and assist them to regain and maintain housing stability.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding may be used for short-term housing assistance, including security deposits, up to 18 months of rent assistance, rental and/or utility arrearages, or related housing assistance. Grantees may also use funding for appropriate services for veterans and their families, including, but not limited to, child care, family services and case management. The grantee may stop the VHPD assistance to a program participant who violates program requirements. Grantees may resume assistance to a program participant whose assistance was previously suspended. In terminating assistance to a program participant, the grantee must provide a formal process that recognizes the rights of individuals receiving assistance to due process.

Applicant Eligibility:

HUD allows grantees significant discretion in program design and operations while targeting those who are most in need of homelessness prevention housing assistance.

Beneficiary Eligibility:

Veterans and veterans with families at risk of homelessness or experiencing short-term (less than 90 days) homelessness.

Credentials/Documentation:

The CoC selected for the demonstration must accept or decline the invitation to participate. Upon acceptance the CoC must submit a plan which describes the process used to select the grantee to carry out the demonstration project. Selected grantees must be part of the CoC. A HUD form SF-424 signed by the grantee must be submitted with the CoC plan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Grantees will be collaborating with the Department of Veterans Affairs and the

Department of Labor representatives on the local level to identify program participants and provide services and financial housing assistance. HUD was authorized by Congress to design the VHPD Program and to select the sites. A competition was not required. The selected CoCs are responsible for identifying grantees that have the requisite VA/DOL experience to carry out the demonstration. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

The grant will be made by means of a grant agreement executed by HUD Headquarters staff and the selected entity. The selected entity will receive three copies of the grant agreement that must be signed and returned to HUD Headquarters within 15 days of the date postmarked. Within 90 days of the date that the grant agreement is signed for each selected grantee, HUD Headquarters will conduct a series of conference calls to assist grantees in fully developing their plans for carrying out the demonstration, and to discuss the proposed program evaluation design with grantees.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

While most funds should be expended prior to the expiration of the grant, all funds must be drawn down within 90 days of the expiration of the grant term. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees are required to report client level data in a Homeless Management Information System (HMIS) or a comparable database. Each grantee must also submit quarterly performance reports the first of which must cover the period between the grant agreement execution date and 3 months following that date. Finally, grantees must participate in an evaluation of the demonstration and submit reports as requested by HUD. To the extent possible, the evaluation will measure the effectiveness of the prevention assistance delivered through this demonstration program, including outcomes related to housing stability, earnings/employment, and access to needed health services. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each grantee must keep any records and make any reports (including those pertaining to race, ethnicity, gender, and disability status data) that HUD may require within the time frame required.

Account Identification:

86-0192-0-1-604.

Obligations:

(Project Grants) FY 09 \$10,750,000; FY 10 est \$0; FY 11 est \$0 - In addition to the \$10 million for HUD to carry out this demonstration, a minimum of \$750,000 has been designated for evaluation of the project.

Range and Average of Financial Assistance:

For fiscal year 2009, the mean average allocation was \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The demonstration program will provide an opportunity to understand the unique needs of this new cohort of veterans, and will support efforts to identify, reach, and assist them to regain and maintain housing stability. Fiscal Year 2010: The demonstration program will provide an opportunity to understand the unique needs of this new cohort of veterans, and will support efforts to identify, reach, and assist them to regain and maintain housing stability. Fiscal Year 2011: The demonstration program will provide an opportunity to understand the unique needs of this new cohort of veterans, and will support efforts to identify, reach, and assist them to regain and maintain housing stability.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Act allocates \$10 million to HUD for the purpose of conducting a demonstration program that addresses and researches homelessness prevention among veterans and their families, and specifies the actions that HUD should take in implementing and administering this demonstration program. HUD is directed to coordinate with VA and DOL to test the effectiveness of strategies to prevent veterans from becoming homeless.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robyn Raysor, Office of Special Needs Assistance Programs, Community Planning and Development, Department of Housing and Urban Development, Room 7262, 451 7th Street, SW, Washington, District of Columbia 20410
Email: Robyn.S.Raysor@hud.gov Phone: (202) 708-4300.

Website Address:

<http://www.hudhre.info/documents/VetsHomelessPreventionDemo.pdf>,

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Selected grantees must have the requisite experience in working with VA-funded programs and DOL-funded programs. Fiscal Year 2010: Selected grantees must have the requisite experience in working with VA-funded programs and DOL-funded programs. Fiscal Year 2011: Selected grantees must have the requisite experience in working with VA-funded programs and DOL-funded programs.

CRITERIA FOR SELECTING PROPOSALS:

HUD will review applications and approve grantee proposals based on criteria established in the forthcoming notice.

14.261 HOMELESS MANAGEMENT INFORMATION SYSTEMS TECHNICAL ASSISTANCE

Homeless Management Information Systems Technical Assistance

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act (Public Law 111-8) of 2010.

OBJECTIVES:

The Homeless Management Information Systems, Technical Assistance (HMIS-TA) will provide technical assistance to transfer skills and knowledge needed for grantees, applicants, project sponsors, eligible applicants and eligible project sponsors to achieve the highest level of performance and results for the implementation of local Homeless Management Information Systems (HMIS).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available to provide technical assistance for homeless programs in the Office of Special Needs Assistance Programs (SNAPS). An organization may not provide assistance to itself. An organization may not provide assistance to another organization with which it contracts or sub-awards funds to carry out activities under the TA award.

Applicant Eligibility:

Applicants may partner with other organizations that may serve other geographic areas or bring other expertise to the proposal and submit a collaborative application.

Beneficiary Eligibility:

McKinney-Vento Homeless Assistance Program grantees, project sponsors, and applicants for the implementation of local Homeless Management Information Systems (HMIS).

Credentials/Documentation:

SNAPS-TA awardees will be required to report to the Government Technical Representative (GTR) on, at a minimum, a quarterly basis unless otherwise specified in the cooperative agreement. As part of the required report to HUD, award recipients must include a completed Logic Model (HUD 96010), which identifies actual outputs and outcomes achieved and a narrative explanation of deviations from projected results to actual results achieved. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

HUD will send written notifications to both successful and unsuccessful applicants. A notification sent to a successful applicant is not an authorization to begin performance. Upon notification that an applicant has been selected for award, HUD will request additional information to be submitted or may work with the applicant to amend information that was already submitted as part of the application.

Deadlines:

Jun 07, 2010 to Oct 27, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be for a period of 24 months to 36 months, depending on such factors as TA provider's experience, performance and skills or expertise. HUD reserves the right to determine the award period on any or all of these factors. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Community Planning and Development (CPD) requires monthly reports that describes status of each TA task undertaken. CPD requires monthly reports that detail expenditures for each TA task. CPD monitors performance each month and includes the TA in its Monitoring Risk Analysis process.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

86-0192-0-1-604.

Obligations:

(Cooperative Agreements) FY 09 \$8,000,000; FY 10 est \$6,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

HUD has established a \$1,000,000 award minimum for successful SNAPS-TA applications.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: TA funds will improve CoC and HMIS grantee capacity to manage and administer the local HMIS, including structure, data quality, governance, compliance with HMIS Data and Technical Standards, reporting, and performance measurement. Fiscal Year 2010: TA funds will improve CoC and HMIS grantee capacity to manage and administer the local HMIS, including structure, data quality, governance, compliance with HMIS Data and Technical Standards, reporting, and performance measurement. Fiscal Year 2011: TA funds will improve CoC and HMIS grantee capacity to manage and administer the local HMIS, including structure, data quality, governance, compliance with HMIS Data and Technical Standards, reporting, and performance measurement.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants interested in providing technical assistance to entities participating in HUD's Special Needs Assistance Programs should carefully review the General Section and the information listed in the SNAPS-TA NOFA, including the exceptions to the General Section requirements found in the NOFA.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael Roanhouse, Office of Special Needs Assistance Programs, Room 7262, Office of Community Planning and Development, Department of Housing and Urban Development, Washington, District of Columbia 20410 Email: Michael.Roanhouse@hud.gov Phone: (202) 708-1226.

Website Address:

<http://www.hudhre.info>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will review applications and approve grantee proposals based on criteria established in the forthcoming notice.

14.262 HOMELESS PREVENTION AND RAPID RE-HOUSING PROGRAM TECHNICAL ASSISTANCE

Homeless Prevention and Rapid Re-Housing Program Technical Assistance

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

The Homeless Prevention and Rapid Re-Housing Program, Technical Assistance (HPRP-TA) will provide technical assistance and training to HPRP grantees on program design and restructuring, data collection, subgrantee monitoring, and reporting.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All successful applicants must operate within the structure of the demand-response system. Under the demand-response system, HUD identifies technical assistance needs and prioritizes them based on the Departmental, programmatic, and jurisdictional priorities. HUD is solely responsible for determining the entities to be assisted, the location, and the nature of the assistance to be provided which must be part of a previously established HUD TA plan for the grantee. HPRP-funded TA must be provided only to HPRP grantees and subgrantees and only as to carrying out HPRP.

Applicant Eligibility:

Applicants may partner with other organizations that may serve other geographic areas or bring other expertise to the proposal and submit a collaborative application. However, any organization included in a collaborative application may not submit a SNAPS-TA application of its own or be listed as a subcontractor on any other SNAPS-TA application. Each collaborative application must designate one organization as the lead entity. The lead entity must submit the application and, if selected, execute the SNAPS-TA cooperative agreement with HUD and assume responsibility for the award on behalf of the group. If funded, the lead entity must enter into a separate agreement with each organization that is a part of the application.

Beneficiary Eligibility:

HPRP and McKinney-Vento Homeless Assistance Program grantees, and project sponsors.

Credentials/Documentation:

To be considered for funding, all applicants must submit the following:

- SF-424, Application for Federal Assistance
- Capacity and Funding summary
- Narrative addressing factors outlined in General NOFA
- Experience Matrix
- Expertise Matrix
- Budgets
- HUD-424-CB, Grant Application Detailed Budget Form
- HUD-424-CBW, Detailed Budget Worksheet for Non-construction projects;
- Administrative budget
- SF-LLL, Disclosure of Lobbying Activities
- HUD-2880, Applicant/Recipient Disclosure/Update Report
- Form HUD-6011, Third Party Documentation Facsimile Transmittal. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

In determining the amount awarded to each successful applicant, HUD will take into consideration the funds available for the SNAPS-TA program the number of successful SNAPS-TA applicants; the applicants current organizational capacity to effectively deliver the assistance requested by HUD, where and when required, as presented in the application, including, among other things the number, location, quality and experience of their personnel, the final score assigned to the application by HUD reviewers and the applicants performance under existing or past technical assistance awards.

Deadlines:

Jun 07, 2010 to Oct 27, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days. Each applicant should submit its application per the requirements described in the forthcoming Federal Register Notice.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be for a period of 24 to 36 months, depending on such factors as TA providers experience, performance and skills or expertise. HUD reserves the right to determine the award period based on any or all of these factors. See the following for information on how assistance is awarded/released: After selection for funding but prior to award, applicants must submit financial and administrative information to comply with applicable requirements. These requirements are found in 24 CFR part 84 for all organizations, except states and local governments whose requirements are found in 24 CFR part 85. Cost principles requirements are found at OMB Circular A-122 for nonprofit organizations, OMB Circular A-21 for institutions of higher education, OMB Circular A-87 for states and local governments, and at 48 CFR 31.2 for commercial organizations. Applicants must submit a certification from an Independent Public Accountant or the cognizant government auditor, stating that the applicants financial management system meets prescribed standards for fund control and accountability. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Awardees will be required to report to the GTR on, at a minimum, a quarterly basis unless otherwise specified in the cooperative agreement. As part of the required report to HUD, grant recipients must include a completed Logic Model (HUD 96010), which identifies actual outputs and outcomes achieved and a narrative explanation of deviations from projected results to actual results achieved. Deviations can be both positive and negative deviations. Detailed budget and expense reports are required consistent with established work plans. Quarterly reports and workplans must be approved. The GTR will review materials and training and TA engagement evaluations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

86-0328-0-1-604.

Obligations:

(Cooperative Agreements) FY 09 \$900,000; FY 10 est \$1,200,000; FY 11 est \$0

Range and Average of Financial Assistance:

For fiscal year 2009, the grant allocations ranged from \$1,000,000 to \$8,000,000. The mean average allocation was \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: These TA funds will allow the HPRP-TA providers to improve in the areas of program design and management and organizational management including fiscal controls, board development, contract administration, performance measurement, and compliance systems for McKinney-Vento and HPRP grants. Fiscal Year 2010: These TA funds will allow the HPRP-TA providers to improve in the areas of program design and

management and organizational management including fiscal controls, board development, contract administration, performance measurement, and compliance systems for McKinney-Vento and HPRP grants. Fiscal Year 2011: These TA funds will allow the HPRP-TA providers to improve in the areas of program design and management and organizational management including fiscal controls, board development, contract administration, performance measurement, and compliance systems for McKinney-Vento and HPRP grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michael Roanhouse, Office of Special Needs Assistance Programs, Room 7262, Office of Community Planning and Development, Department of Housing and Urban Development, Washington, District of Columbia 20410 Email: Michael.Roanhouse@hud.gov Phone: (202) 708-1226.

Website Address:

<http://www.hudhre.info>

RELATED PROGRAMS:

14.231 Emergency Shelter Grants Program; 14.235 Supportive Housing Program; 14.238 Shelter Plus Care; 14.241 Housing Opportunities for Persons with AIDS; 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

HUD will use the capacity and funding summary to determine the types of TA activities the applicant is willing to undertake based on staff skill and experience; and given the organizational capacity of the applicant, and the funding amount requested (an amount the applicant can reasonably expect to expend within the requirements and timeframes of the SNAPS-TA program).

14.263 RURAL INNOVATION FUND

Rural Fund

FEDERAL AGENCY:

Office of Community Planning and Development, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act, 2010., Public Law 111-117.

OBJECTIVES:

To address the problems of concentrated rural housing distress and community poverty.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance may be used for a wide range of innovative housing and economic development activities that address the problems of concentrated rural housing distress and community poverty.

Applicant Eligibility:

Applicants are limited by law to Federally recognized Indian tribes, State housing finance agencies, State community and/or economic development agencies, local rural nonprofits and community development corporations.

Beneficiary Eligibility:

Beneficiaries are typically lower-income families and households and businesses that are the result of the applicant's program undertaken to address the problems of concentrated rural housing distress and community poverty.

Credentials/Documentation:

OMB Circular A-87 applies to local governments and A-122 Applies to non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OMB Circular A-102 applies to governmental entities and is codified in HUD's regulations at 24 CFR Part 85. OMB Circular A-110 is applicable to non-profit entities and is codified in HUD's regulations at 24 CFR Part 84.

Award Procedure:

The Rural Fund program is competitive. HUD posts a Notice of Funding Availability (NOFA) on its website HUD.GOV. Applicants submit applications through Grants.Gov. HUD reviews rates applications against selection criteria. All applications are rank ordered consistent with the NOFA. Awards are made following the completion of the competition.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

HUD provides non-selected applicants with a written debriefing following the award announcements. HUD will accept requests to re-review the application if an apparent error was made.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. One of the program's competitive selection criteria provides points to applicants that provide additional sources of funds to carry out the project as evidenced by a firm financial commitment. This program does not have MOE requirements. Grant awards provide for a 36 month project period.

Length and Time Phasing of Assistance:

Assistance is normally available for 36 months. Federal Law limits the availability of funding for approximately 5 years after award. See 31 U.S.C. 1551-1557. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual progress reports and reports on accomplishments using the Department's Logic Model. Standard Federal cash reports. HUD Logic Model HUD Form 96010. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$0; FY 10 est \$25,000,000; FY 11 est \$0 - 2010 is the first fiscal year funds are authorized. No budget request was made for 2011.

Range and Average of Financial Assistance:

First year of program, range and average unknown.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robert M. Duncan, 451 7th Street, SW, Room 7140, Washington, District of Columbia 20410 Email: Robert.Duncan@HUD.GOV Phone: (202) 402-4681.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are based upon a published Notice of Funding Availability.

14.311 SINGLE FAMILY PROPERTY DISPOSITION

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

national Housing Act, as amended 12 U.S.C. 1710, Sections 203(b), 203(k), 204, 24 CFR 291.

OBJECTIVES:

To sell the inventory of HUD-acquired properties in a manner that expands home ownership opportunities, strengthens neighborhoods and communities, and ensures a maximum return to the FHA mortgage insurance fund.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

The Single Family Property Disposition Program is the marketing and sale of one to four unit properties acquired by HUD after foreclosure of an FHA-insured mortgage. Within the general program there are special disposition programs through which a portion of the property inventory is sold. These programs are referred to collectively as the Good Neighbor Initiatives and include Good Neighbor Next Door Sales program for law enforcement officer, teachers and firefighters/emergency medical technicians, Discount Sales to Nonprofits and Local Governments program and Dollar HOME Sales to Local Governments program. Information for each of these programs is covered separately. The majority of foreclosed one to four unit properties are sold through the general disposition program. HUD contracts with private companies to manage and market the properties. Properties are listed for sale by each company for the company's contract area; generally, several states. The listings may be viewed by going directly to the contractor's website or visiting HUD's website at <http://www.hud.gov/homes>, where you will be directed to the listings for your state. The listings provide the appraised value of the property, the property address, a brief description of the property and access to a Property Condition Report prepared by the contractor. Complete information explaining the sales process and earnest money requirements is also available. Bids must be submitted electronically by a real estate broker. An earnest money deposit is required with each bid submitted. Special opportunities are available for bidders who intend to occupy the purchased property as their personal residence. Properties not purchased during this Exclusive Listing Period are then available for the general public, including investors, during an Extended Listing Period. FHA financing may be available for purchasing many homes, including the FHA 203(k) and 203 Special (k) program providing a loan to purchase a property and pay for repairs or improvements as permitted by FHA rules. Interested persons should contact their FHA approved lender. Refer to <http://www.hud.gov/ll/code/llplcrit.html> for assistance in locating a lender.

Applicant Eligibility:

Local Governments and Nonprofit Organizations: HUD contractors in the

specific area should be contacted regarding eligibility requirements. Contact HUD at Tollfree: (800) CALLFHA or (800) 225-5342 for a listing of nationwide HUD contractors. FHA Insured Financing: Generally, anyone who has a satisfactory credit rating; enough cash to close the loan; sufficient, steady income to make the monthly payments without difficulty; and who will live in the home can be approved for an FHA insured mortgage. Income is only one of several factors that apply in the lending process. Interested buyers should contact their lender for more information.

Beneficiary Eligibility:

Individual, governmental and organizational homebuyers.

Credentials/Documentation:

Nonprofit Organizations must have IRS 501(c)(3) status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HUD homes are managed and marketed by private contractors. A list of contractors and the areas each serves may be found at <http://www.hud.gov/offices/hsg/sfh/reo/mm/mminfo.cfm>. The contractors list all HUD homes for sale on their individual Internet websites. Bids for a particular property can be submitted online. Purchasers must secure financing of their choice. FHA financing may be available. A list of HUD approved mortgage lenders is located at our website, <http://www.hud.gov/ll/code/llslicrit.cfm>.

Award Procedure:

Properties are generally sold to the highest bidder. Direct sales may be made where permitted by regulations.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Nonprofit organizations purchasing homes at a discount must retain information about the acquisition, rehabilitation and resale of discounted properties. This includes copies of HUD-1 Settlement Statements for the resale and other documents (see <http://www.hud.gov/groups/grantees.cfm>).

Account Identification:

86-4072-0-1-371; 86-4070-0-1-371; 86-4077-0-1-371; 86-4587-0-1-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$3,593,445,076; FY 10 est \$9,152,560,178; FY 11 est \$10,970,858,176

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 68,245 properties were sold. Fiscal Year 2010: As of 5/31/2010, 55,165 properties were sold. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the appropriate HUD Homeownership Center (see <http://www.hud.gov/offices/hsg/sfh/hoc/hsghoc.cfm>) or HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

http://www.hud.gov/offices/hsg/sfh/reo/reo_home.cfm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.313 DOLLAR HOME SALES

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing Notice 00-07, dated April 28, 2000 (and subsequent extensions).

OBJECTIVES:

To expand HUD's partnership with local governments in helping to foster housing opportunities for low-to-moderate income families and address specific community needs.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Single family homes that are acquired through foreclosure of Federal Housing Administration (FHA) insured loans will be available for purchase by local governments at a price of \$1 plus closing costs, after the properties have been actively marketed for at least 6 months and remain unsold. The property must be located with the jurisdiction of the purchasing local government with the intent of using the property for a "clear public purpose".

Applicant Eligibility:

Local government legally authorized by local law to make the purchase.

Beneficiary Eligibility:

Low- to moderate-income families and communities.

Credentials/Documentation:

Evidence of authority to purchase. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit HUD-9548, Sales Agreement, stating a purchase price of one dollar (\$1.00) plus closing costs, together with the Addendum to Sales Contract in the form attached to Housing Notice 06-02, as further detailed in Housing Notice 00-07, to HUD by close of the 10-day listing period for a property.

Award Procedure:

Evaluation of the documentation required by the application procedure evidenced by HUD's execution of the Sales Agreement and approval of the purchaser's plan for use of the property.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Properties assigned to this program are offered for 10 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

All annual reports submitted by local governments will be maintained by HOC Program Support Staff Director.

Account Identification:

86-4040-0-1-371.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported Under 14.311.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 334 properties were sold. Fiscal Year 2010: As of 5/31/2010, 93 properties were sold. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Homeownership Center listed in Appendix IV of the Catalog.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

<http://www.hud.gov/offices/hsg/sfh/reo/goodn/dhmbabout.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.314 ASSISTED LIVING CONVERSION FOR ELIGIBLE MULTIFAMILY HOUSING PROJECTS

(ALCP)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Section 202b of the Housing Act of 1959, as amended and the Consolidated Appropriations Act, 2010 (Public Law 111-117).

OBJECTIVES:

To preserve the current elderly housing stock by converting units with a grant into Assisted Living Facilities (ALFs) for the frail elderly, as defined in Section 232(B)(6) of the National Housing Act and 202(k). The program is expected to promote aging in place and prevent premature institutionalization of the target population.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assisted living facilities (ALFs) are designed to accommodate frail elderly persons and people with disabilities who can live independently but need assistance with activities of daily living (that is, assistance with eating, bathing, grooming, dressing and home management activities.) ALFs must provide support services such as personal care, transportation, meals, housekeeping, and laundry. Typical funding will cover basic physical conversion of existing project units, as well as related common and services space. The ALCP provides funding for the physical costs of converting some or all of the units of an eligible development into an ALF, including unit configuration, common and services space and any necessary remodeling, consistent with HUD or the State's statute/regulations (whichever is more stringent). There must be sufficient community space to accommodate a central kitchen or dining facility, lounges, recreation and other multiple-areas available to all residents of the project, or office/staff spaces in the ALF. Funding for the supportive services must be provided by the owners, either directly or through a third party.

Applicant Eligibility:

Only private nonprofit owners of eligible multifamily assisted housing developments specified in Section 683(2)(B), (C), (D), (E), and (F) (Section 202 projects for the elderly, Rural Housing Section 515 projects receiving Section 8 rental assistance, projects receiving project-based rental assistance under Section 8, projects financed by a below-market interest rate loan or mortgage insured under Section 221(d)(3) of the Housing Act, or housing financed under Section 236 of the National Housing Act) that have been in occupancy for at least five years are eligible for funding. To be eligible, owners must meet the following criteria: (1) Must be in compliance with Loan Agreement, Capital Advance Agreement, Regulatory Agreement, Housing Assistance Payment Contract, Project Rental Assistance Contract, Rent Supplement or LMSA Contract, or any other HUD grant or contract document; (2) Must be in compliance with all fair housing and civil rights laws, statutes, regulations, and executive orders as enumerated in 24 CFR 5.105(a).

Beneficiary Eligibility:

Eligible residents who meet the admissions/discharge requirements as established for assisted living by State and local licensing, or HUD frailty requirements under 24 CFR 891.205 if more stringent. The residents must be able to live independently but may need assistance with activities of daily living (that is, assistance with eating, bathing, grooming, dressing and home management activities).

Credentials/Documentation:

The assisted living facility must be licensed and regulated by the State (or if there is no State law providing such licensing and regulation, by the municipality or other subdivision in which the facility is located). The application must include a firm commitment for the supportive services to be offered at the ALF as part of the application. The Notice of Funding Availability contains all the necessary information for applicants to apply for the grant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must have contacted the State licensing agency for such a project to determine if the proposed project will meet state licensing requirements. A Supportive Service Plan (SSP) for the services and coordination of the supportive services which will be offered in the ALF must be developed and submitted to the appropriate State or local organization. Applicants must comply with all applicable statutory requirements and statutory requirements

under Section 232(b)(6) prior to application submission. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are only accepted in response to the Notice of Funding Availability which is published in the Federal Register each fiscal year. Applicants responding to the NOFA should ensure that their application is complete before submitting it to HUD. Applications must be submitted in accordance with requirements of the NOFA. All applications received by the deadline will be screened for curable deficiencies. After the completeness review, HUD reviews the application to determine whether it meets the threshold requirements. Only those applications that meet all of the threshold requirements will be eligible to receive an award. Applications submitted in response to the NOFA that are eligible, pass threshold and have a total score of 75 points (or more) are eligible for ranking and selection.

Award Procedure:

Applications are reviewed and selected for funding within the funding allocation of the geographical Hub area. At the end of the technical review, all applications will be either rated or technically rejected. If an application meets all program eligibility requirements after completion of the technical review, it will be rated according to the rating selection factors. The number of points that an application receives will depend on the extent to which the application is responsive to the information requested in the selection criteria. The maximum number of points an application may receive under this program is 102.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A processing schedule is developed for each funding round. Applicants are provided with a decision by the end of the schedule, after approximately 4-6 months from processing start date.

Appeals:

From 1 to 15 days. An applicant will have fourteen (14) calendar days from the date of HUD's written notice to appeal a technical rejection. HUD will make a determination on an appeal before finalizing selection recommendations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This program has no matching requirements. However, owners are required to find the supportive services through other funding sources. See the following for information on how assistance is awarded/released: This program has no matching requirements. However, owners are required to find the supportive services through other funding sources.

Reports:

Any change in the owner during the period of the capital advance must be approved by HUD. Performance reports are required every six months in accordance with instructions outlined in the Grant Agreement. No cash reports are required. No progress reports are required. Financial reports are required every six months in accordance with instructions outlined in the Grant Agreement. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. HUD reserves the right to audit the accounts of the owner in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular financial reports are required. Owners must service and maintain records in accordance with acceptable HUD and State requirements and regulations as outlined in the grant agreement.

Account Identification:

86-0320-0-1-604.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under program 14.157 for FY 09, FY 10, and FY 11.

Range and Average of Financial Assistance:

\$2-6 million average \$4m.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$18,818,516, or 5 projects and 105 units. Fiscal Year 2010: \$40m. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 202(b) of the Housing Act of 1959, Assisted Living Conversion Program Notice of Funding Availability published September 8, 2009 and the Consolidated Appropriations Act, 201 (Public Law 111-117)

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest local HUD Multifamily Hubs and Program Centers listed at <http://www.hud.gov/localoffices.cfm> or at the Catalog Address Appendix IV.

Headquarters Office:

Katina Washington, 451 7th St SW Room 6152, Washington, District of Columbia 20410 Email: Katina.x.washington@hud.gov Phone: (202) 708-3000.

Website Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/alcp.cfm>.

RELATED PROGRAMS:

14.157 Supportive Housing for the Elderly; 14.191 Multifamily Housing Service Coordinators

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are in the NOFA.

14.315 EMERGENCY CAPITAL REPAIR GRANTS FOR MULTIFAMILY HOUSING PROJECTS DESIGNATED FOR OCCUPANCY

(ECRP)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Section 202b of Title II of the Housing Act of 1959, 12 U.S.C. 1701q-2 and Consolidated Appropriations Act, 2010 (Public Law 111-117).

OBJECTIVES:

To provide grants to make emergency capital repairs for eligible housing developments that are designated for occupancy by the elderly .

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Emergency capital repair grants will be used to correct a situation that presents an immediate threat to the life, health and safety of project tenants. Assistance is limited to those projects with emergency problems that are of such a magnitude that the problem poses an immediate threat to the quality of life of the tenants and the continuation of the existing problem could potentially result in an evacuation of the tenants or long-term tenant displacement unless the repairs are made. The maximum amount an owner may apply for is \$500,000. The grant, along with funds from other sources, must remove the emergency condition(s).

Applicant Eligibility:

Only private nonprofit owners of eligible multifamily assisted housing developments designated for occupancy by elderly tenants, as specified in Section 683(2)(B), (C), (D), (E), and (F, or G of the Housing and Community Development Act of 1992 (Public Law 102-550, approved October 28, 1992) and are identified as: Section 202 direct loan projects with or without Section 8 rental assistance; Section 202 capital advance projects receiving rental assistance under their Project Rental Assistance Contract (PRAC); Section 515 rural housing projects receiving project-based rental assistance; projects subsidized with Section 221(d)(3) below-market interest mortgage, projects assisted under Section 236 of the National Housing Act; and other projects receiving Section 8 project-based rental assistance. To be eligible, owners must meet the following criteria: (1) Must be in compliance with Loan Agreement, Capital Advance Agreement, Regulatory Agreement, Housing Assistance Payment Contract, Project Rental Assistance Contract, Rent Supplement or LMSA Contract, or any other HUD grant or contract document; (2) Must be in compliance with all fair housing and civil rights laws, statutes, regulations, and executive orders enumerated in 24 CFR 5.105(a) as applicable. The eligible projects must have had closing on or before January 1, 2005.

Beneficiary Eligibility:

Residents of assisted housing projects designated for occupancy by elderly tenants.

Credentials/Documentation:

Applicants are provided all necessary information to apply for the grants in the published Federal Register notice. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must comply with all applicable statutory requirements prior to application submission. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A notice is published in the Federal Register each year announcing the availability of funds to potential applicants. Applicants will submit a complete application, in accordance with requirements of the notice published in the Federal Register. HUD staff will review each application to determine whether the application meets the requirements of the notice. At the end of the review, all applications will be either recommended for funding or rejected. If an application meets all program eligibility requirements after completion of the review, the local Multifamily Hub Director will forward a recommendation for funding to HUD Headquarters.

Award Procedure:

HUD Headquarters will award the funds, if approved, in accordance with the funding queue established by the date and time of receipt and validation of the applications in Grants.gov until the exhaustion of funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The Field Offices will have 15 working days from the date and time of receipt of the application to review the application, forward to the Hub and have the Multifamily Hub Director provide a recommendation for approval/disapproval of the funding request to Headquarters.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

12 months. See the following for information on how assistance is

awarded/released: Based on the percentage of construction completion.

Reports:

Performance reports as specified in the ECRP Grant Agreement. Financial reports as specified in the ECRP Grant Agreement. No progress reports are required. Financial and performance reports as specified in the ECRP Grant Agreement. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. HUD reserves the right to audit the accounts of the owner in order to determine compliance and conformance with HUD regulations and standards.

Records:

Regular progress and financial reports are required. Owners must service and maintain records in accordance with acceptable HUD and State requirements and regulations.

Account Identification:

86-0320-0-1-604.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Reported under program 14.157 for FY 09, FY10, FY 11.

Range and Average of Financial Assistance:

\$19,950 to \$500,000; \$243,433.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Consolidated Appropriations Act, 2010 (Public Law 111-117) provides funding for grants under section 202b of the Housing Act of 1959 (12 U.S.C. 1701q-2) for conversion of eligible projects to assisted living and for emergency capital repairs as determined by the Secretary of HUD.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the nearest local HUD Multifamily Hub and/or Program Center listed at http://www.hud.gov/offices/hsg/mfh/mfbroch/hubs_pcs.cfm.

Headquarters Office:

Regina Aleksiewicz, 451 7th St SW, Washington, District of Columbia 20410
Email: regina.a.aleksiewicz@hud.gov Phone: (202) 708-3000.

Website Address:

<http://www.hud.gov/offices/hsg/mfh/progdesc/ecrp.cfm>.

RELATED PROGRAMS:

14.157 Supportive Housing for the Elderly; 14.314 Assisted Living Conversion for Eligible Multifamily Housing Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are listed in the published Federal Register notice.

14.316 HOUSING COUNSELING TRAINING PROGRAM**FEDERAL AGENCY:**

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Urban Development Act of 1968, as amended.

OBJECTIVES:

This program supports, under cooperative agreements with HUD, the delivery of a broad array of training activities for counselors from agencies participating in HUD's Housing Counseling program. The primary objectives of the program are to improve and standardize the quality of counseling provided by housing counselors working with HUD-approved housing counseling agencies and to

make training accessible and affordable.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To annually provide one or more housing counseling training grants to qualified organizations. The grant will fund the training of counselors of agencies participating in HUD's Housing Counseling program.

Applicant Eligibility:

Applicants must be public or private nonprofit organizations with a least two years of experience providing housing counseling training services nationwide.

Beneficiary Eligibility:

Housing Counselors from HUD-approved housing counseling agencies.

Credentials/Documentation:

Each applicant is required to submit, for itself and for any organization with which it is partnering for the purpose of this NOFA, a legible copy of the document that supports the applicant's claim to be a nonprofit organization (for example, a 501(c) letter issued by the IRS). The documentation must contain the official name, address, and telephone number of the legal authority that granted the nonprofit status. Branches or affiliates of the applicant that are part of the proposed work plan must also be nonprofit entities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The availability of funds (HUD NOFA) is announced on HUD's website, www.hud.gov. For a copy of the NOFA, applicants can visit the HUD website (www.hud.gov). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit by the required date the items and forms requested in the NOFA, such as a description of the housing counseling training services to be provided, and indicators by which benefits impact will be measured.

Award Procedure:

Applicants will be evaluated competitively and ranked against all other applicants that applied in the same funding category. A funding formula outlined in the NOFA is used to calculate awards. This program is subject to the administrative requirements established in OMB Circular A-102, Grants and Cooperative Agreements with State and Local Governments, which was implemented by 24 CFR Part 85; OMB Circular A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations, which was implemented by 24 CFR Part 84; and OMB Circular A-133, Audits of States, Local Governments and Nonprofit Organizations, which was implemented by 24 CFR Parts 84 and 85. Grants awarded under this program are also subject to the cost principles in OMB Circular A-87 for state and local governments and Indian tribal governments and OMB Circular A-122 for nonprofit organizations.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award announcements typically occur 5-7 months after applications are received.

Appeals:

Applicants for housing counseling training grants may appeal their denial of a grant award or the insufficiency of the grant amount to HUD.

Renewals:

Grantees must submit a new application and compete through the NOFA each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding assistance is for a discrete period of time, generally 1 year. Grantees invoice HUD on a periodic basis for housing counseling training services delivered. Payments of grant funds are subsequently made to grantees via direct deposit/electronic funds transfer (DD/EFT). Method of awarding/releasing assistance: quarterly.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

As required in the grant cooperative agreement.

Account Identification:

86-0205-0-1-604.

Obligations:

(Project Grants) FY 09 \$5,000,000; FY 10 est \$8,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Wanda L. Sampedro, 451 7th Street, SW, Washington, District of Columbia 20410 Email: info@fhaoutreach.com Phone: (800) 225-5342.

Website Address:

Persons are encouraged to contact HQs Program Support Division, Office of Insured Single Family Housing, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-0317.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.317 SECTION 8 HOUSING ASSISTANCE PAYMENTS PROGRAM SPECIAL ALLOCATIONS (RECOVERY ACT FUNDED)

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

United States Housing Act of 1937, Section 8(c)(9).

OBJECTIVES:

To make payments to existing owners of project-based Section 8 housing for 12-month periods. To reduce claims on the Department's insurance fund by aiding projects with FHA-insured or Secretary-held mortgages that have immediate or potentially serious financial difficulties. To provide decent, safe and sanitary housing for low-income families through the provision of housing assistance payments.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program is inactive. No new projects are being approved; however,

tenants may apply for admission to projects with existing Section 8 assistance contracts. The assistance is paid by HUD to the owner of an assisted unit on behalf of an eligible family. The payment is the difference between the contract rent and the tenant rent. Assistance is currently available only on a renewal basis, i.e., projects currently assisted may receive a 1-year renewal, or multiple years, up to twenty years, upon expiration of the Section 8 contract. Tenants receiving assistance must be income eligible.

Applicant Eligibility:

None.

Beneficiary Eligibility:

There is no additional information.

Credentials/Documentation:

Applications for new projects are no longer being accepted. Project must meet minimum decent, safe, and sanitary standards for HUD to renew the Section 8 contract. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Project owner must notify HUD within 120 days of contract expiration that it wishes to renew the Section 8 contract.

Award Procedure:

Contract is renewed upon expiration.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Owner must notify HUD within 120 days of contract expiration that it intends to renew contract. The owner must provide a one year notice to residents and the Department if they intend not to renew the contract. Approval given by date of contract expiration.

Appeals:

Not Applicable.

Renewals:

Subject to appropriations and owner's interest in renewing contract.

Formula and Matching Requirements:

Statutory Formula: Title 24, Chapter CFR, Part 883.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: No information provided.

Reports:

The owner must submit to HUD within 60 days after the end of each fiscal year of the project, financial statements for the project audited by an Independent Public Accountant and other statements as to project operation, financial conditions and occupancy. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The owner must permit HUD to review and audit the management and maintenance of the project at any time to

assure the owner is meeting its obligation to maintain the units and related facilities in decent, safe, and sanitary condition.

Records:

The owner must reexamine the income and composition of all families at least once each year and prepare and furnish other information required under the Section 8 contract.

Account Identification:

86-0303-0-1-604 - 86-0303 2009/2010.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,985,153,148; FY 10 est \$2,000,000; FY 11 est \$15,000,000 - None.

Range and Average of Financial Assistance:

Eligible tenants pay no more than 30 percent of their monthly adjusted income for rent.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Contracts funded: 6,298. Housing Units Assisted: 461,588 units. Fiscal Year 2010: Contracts funded: 6,298. Housing Units Assisted: 461,588 units. Fiscal Year 2011: Contracts funded: 110 Housing Units Assisted: 8,360 units.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Section 8 Renewal Policy Guide (1/19/01); CFR Part 883

Regional or Local Office:

See Regional Agency Offices. Persons may contact local field offices listed in Appendix IV of the Catalog.

Headquarters Office:

Stephen A. Martin, Multifamily Housing Programs, 451 7th Street SW, Washington, District of Columbia 20410 Email: Stephen.A.Martin@hud.gov Phone: (202) 708-2495 ext:2492.

Website Address:

<http://www.hud.gov/offices/hsg/mfh/mfhsec8.cfm>

RELATED PROGRAMS:

14.126 Mortgage Insurance_Cooperative Projects; 14.134 Mortgage Insurance_Rental Housing; 14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate; 14.138 Mortgage Insurance_Rental Housing for the Elderly; 14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas; 14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects; 14.157 Supportive Housing for the Elderly; 14.181 Supportive Housing for Persons with Disabilities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.318 ASSISTED HOUSING STABILITY AND ENERGY AND GREEN RETROFIT INVESTMENTS PROGRAM (RECOVERY ACT FUNDED)

Green Retrofit Program for Multifamily Housing

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, Title XII.

OBJECTIVES:

Grants or loans for energy retrofit and green investments in assisted housing.

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

New program to provide funding for energy and green retrofit investments to

certain, eligible assisted, affordable multifamily properties, including incentives for participating property owners, a set-aside for administrative functions, and a set-aside for due diligence and underwriting support. Assistance will be for specific retrofit purposes.

Applicant Eligibility:

Owners of properties receiving assistance under certain HUD programs are eligible (see HUD Notice H-09-02). Awards are for use in specified green and energy retrofits to the subject properties.

Beneficiary Eligibility:

Owners of participating properties, residents of those properties, and broader community beneficiaries.

Credentials/Documentation:

Eligible owners must have at least a satisfactory management review rating, be in substantial compliance with applicable performance standards and legal requirements, and commit to an additional period of affordability determined by the Secretary, but of not fewer than 15 years. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Potential Applicants were notified by publication of HUD Notice H-09-02 in the Federal Register and on HUD's website, containing all program details and application procedures and requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible owners applied electronically via HUD web address, and required application with forms and exhibits (all available via Agency website and referenced and linked in the Notice) were mailed to Agency headquarters address to be specified in the Notice. Due to oversubscription of the program, applications will not be accepted after November, 2009.

Award Procedure:

All eligible owners submitting complete applications were accepted on a first-come, first-served basis subject to certain limitations by category.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Recipients must spend all funds within 24 months of receipt.

Generally approval or disapproval will take 120-180 days from application. It may take as little as one day for disapproval (ineligible owner, incomplete application), and as much as 18 months for final approval/disapproval if a full Mark-to-Market debt restructure is completed concurrent with the award under this program.

Appeals:

Not Applicable.

Renewals:

Only one grant or loan can be made for each property under this program.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50.%. Allocations based on portfolio pro rata share of funds (by housing units), property size, owner entity, and geographic distribution. No matching requirements unless i) there are excess funds in the residual Receipts or Reserves for Replacement account, in which case those excess funds will match up to 50% of funds from this program, or ii) the property is less than 100% assisted through HUD rental property assistance programs, in which case the property owner will have to pay the proportional cost of improvements for all non-assisted units.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

All funds will be obligated by September 30, 2010. Receiving property owners are required to spend the funds on the specific improvements within two years

of receipt. See the following for information on how assistance is awarded/released: Loans released into a Retrofit Funding Account, Grants distributed through Letter of Credits accounts.

Reports:

Program reports are not applicable. Cash reports are not applicable. Owners will be required to submit reports compliant with American Recovery and Reinvestment Act (ARRA) and OMB requirements. Receiving owners must report independently-verified progress on rehabilitation in order to request construction draws from program-funded escrow accounts. Owners must continue to comply with all other reporting requirements of the various HUD loan and subsidy program under which they operate, generally including annual, electronically-filed financial statements for the project audited by an Independent Public Accountant and other statements as to project operation, financial conditions and occupancy, and verification and certification of qualifying resident incomes. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The property owner must permit HUD to independently inspect the property to ensure final and satisfactory completion of all program-funded improvements. The owner must also permit HUD to review and audit the management and maintenance of the project.

Records:

HUD will maintain detailed records of program grant and loan supporting documents. Loans, mortgages, use agreements and regulatory agreements will be publicly recorded in the respective jurisdictions.

Account Identification:

86-0306-0-1-604 - 86-0306 2009/2010.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$235,000,000; FY 11 est \$0 - All obligations will be made by September 30, 2010.

Range and Average of Financial Assistance:

Range of between \$0 and \$15,000 per housing unit, with an anticipated average of approximately \$12,000 per housing unit. With an average property size of approximately 80 units per property, the average per property funding is anticipated to be approximately \$900,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: HUD Notice H-09-02 was published in May, 2009, applications were accepted beginning June, 2009, and over 200 properties were accepted and began processing in FY 2009. Fiscal Year 2010: Approximately 200 properties with 20,000 units of housing will have funding obligated, for the purpose of energy and green retrofits of the properties and units. Energy savings are expected, indoor air quality should be improved, and greater environmental benefits will result from the sustainable building practices. Fiscal Year 2011: All obligations will be made prior to FY 2011, however the completion of funded retrofits will occur largely in FY 2011, with Agency oversight.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD Notice H-09-02.

Regional or Local Office:

See Regional Agency Offices. OAHF, 1280 Maryland Ave, SW, suite 380, Washington, DC 20024

OAHF, 26 Federal Plaza, Room 32-102, New York, NY 10278

OAHF, 77 West Jackson Blvd, Room 2301, Chicago, IL 60604.

Headquarters Office:

Theodore Toon, Department of Housing and Urban Development, Office of Affordable Housing Preservation, 451 7th St, SW, Suite 6230, Washington, District of Columbia 20410 Email: POC@DHS.gov Phone: (202) 708-0001.

Website Address:

<http://www.hud.gov/recovery>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A feasibility analysis will be conducted on each accepted property to determine whether or not a grant or loan will be offered (feasibility is required by the Recovery Act). If feasible a detailed physical and financial analysis will be conducted by the Agency to determine final feasibility, and to size the appropriate grant or loan; such grant or loan will be offered to the owner. If accepted, the funds will establish an escrow account to fund the improvements and owner incentives.

14.321 FHA TECHNICAL ASSISTANCE TRAINING TRANSFORMATION INITIATIVE

FHA Technical Assistance Training Transformation Initiative

FEDERAL AGENCY:

Office of Housing-Federal Housing Commissioner, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, Title 2010, Part 111-117.

OBJECTIVES:

The purpose of this requirement is to provide training for direct technical assistance to FHA's industry partners in the areas of Originations, Compliance and Servicing to give a comprehensive and consistent understanding of policies, procedures, and goals with respect to FHA's SF mortgage insurance programs and RESPA requirements; and to mitigate counterparty risk to the FHA insurance fund.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Funds available for technical assistance of capacity building.

Applicant Eligibility:

Technical assistance and capacity building.

Beneficiary Eligibility:

FHA industry partners.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Direct 8(a) award to qualified vendors.

Award Procedure:

Direct 8(a) award to qualified vendors.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

1 year contract award.

Appeals:

Not Applicable.

Renewals:

2 one-year option periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The contract term is one year with 2 one-year options. See the following for

information on how assistance is awarded/released: Monthly invoicing to receive firm-fixed price contract award.

Reports:

monthly status reports with invoicing required. monthly status reports with invoicing required. monthly status reports with invoicing required. monthly status reports with invoicing required. Bi-annual reporting required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Bi-annual progress reporting as well as overall contractual monitoring by GTM, GTR and contracting officer.

Records:

Overall vendor performance and deliverable records.

Account Identification:

86-0402-0-1-451.

Obligations:

(Training) FY 09 \$0; FY 10 est \$3,200,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jane E. Hall, 1670 Broadway, Denver, Colorado 80202 Email: jane.e.hall@hud.gov Phone: (303) 672-5244.

Website Address:

<http://www.hud.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.400 EQUAL OPPORTUNITY IN HOUSING

Fair Housing

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

The Fair Housing Act, 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide fair housing throughout the United States and an administrative enforcement system which is subject to judicial review.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

With limited exceptions, no housing offered commercially for sale or rental, may be advertised, sold, leased out, financed or appraised in a manner which discriminates on the basis of race, color, national origin, religion, sex, disability or familial status (the presence of children under the age of 18 in a household or a pregnant woman). In addition, housing providers and others must allow

reasonable modifications to units and provide reasonable accommodations when these are necessary to allow a person with a disability full housing rights. Finally, multi-family housing built for occupancy after March 13, 1991, must comply with the Accessibility Guidelines established. Complaints of discrimination are investigated and conciliated. When conciliation is unsuccessful and where investigation indicates reasonable cause to believe that discrimination occurred or is about to occur, complainants may pursue their complaints before an Administrative law judge or in Federal district court (The Department of Justice litigates on behalf of complainants in Federal district court, or HUD litigates on their behalf in the administrative forum). Also conferences and training sessions are held to explain rights and responsibilities under the law to attorneys, developers, the real estate industry and the general public.

Applicant Eligibility:

Any aggrieved person, or the Assistant Secretary, may file a complaint based on an alleged discriminatory housing practice because of race, color, religion, sex, familial status, disability, or national origin. The complaint may be filed with the Department of Housing and Urban Development or a State or local fair housing agency with processes, procedures and remedies substantially equivalent to those of the Department, and which the Department has approved.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complaints may be sent to any HUD Field Office, or to HUD's Washington, DC Headquarters.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Depends upon result of complaint processing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

86-0333-0-1-604 - Salaries and Expenses Executive Direction;
86-0340-0-1-751 - Salaries and Expenses Personnel Services.

Obligations:

(Salaries) FY 09 \$69,020,990; FY 10 est \$71,800,000; FY 11 est \$67,964,000.
(Salaries) FY 09 \$631,027; FY 10 est \$953,000; FY 11 est \$945,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations implementing the Fair Housing Act, 24 CFR Part 100; "Fair Housing It's Your Right," English (HUD-1686-FHEO); Fair Housing - Complaint Processing, 24 Part 103; Administrative Proceedings Under Section 812 of the Fair Housing Act, 24 CFR Part 180; Consolidated HUD Hearing Procedures for Civil Rights Matters Certification of Substantially Equivalent Agencies, 24 CFR 115; Preamble to Final Rule Implementing Fair Housing Amendments Act of 1988, 54 Federal Register 3232 (1989); CFR Ch I, Subch. A, App II.

Regional or Local Office:

None. HUD Fair Housing and Equal Opportunity Office listed in Appendix IV of the Catalog.

Headquarters Office:

Turner Russell, 451 7th Street, S.W., Room 5226, Washington, Florida 20410
Email: TurnerRussell@hud.gov Phone: (202) 708-2904.

Website Address:

<http://www.hud.gov/complaints/housediscrim.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.401 FAIR HOUSING ASSISTANCE PROGRAM_STATE AND LOCAL

(FHAP)

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

The Fair Housing Act 42 U.S.C. 3600 et seq.

OBJECTIVES:

To provide assistance to State and local fair housing enforcement agencies for complaint processing, training, technical assistance, education and outreach, data and information systems and other activities that will further fair housing within the agency's jurisdiction. The intent of the Fair Housing Assistance Program (FHAP) is to build a coordinated intergovernmental enforcement of fair housing laws and provide incentives for States and localities to assume a greater share of responsibility for administering fair housing laws.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State and local fair housing enforcement agencies in the first year of participation in the FHAP receive a fixed amount of funding to build the capacity of the agency to enforce substantially equivalent fair housing laws. Agencies in the second year and beyond are eligible to receive contributions funding which provides funds for complaint processing, administrative costs, and training associated with the enforcement of a substantially equivalent fair housing law.

Applicant Eligibility:

Eligible applicants include State and local government fair housing enforcement agencies administering fair housing laws that have been interim certified by HUD as providing substantially equivalent rights and remedies as

those provided by the Fair Housing Act and which have executed formal written Agreements with HUD to process housing discrimination complaints. In determining eligibility, HUD may also take into consideration whether a jurisdiction is already served by a FHAP agency.

Beneficiary Eligibility:

Any person or group of persons aggrieved by a discriminatory housing practice because of race, color, religion, sex, handicap, familial status or national origin.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Certification and Funding of State and Local Fair Housing Enforcement Agencies, Chapter 24, Part 115.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are executed for one year, they are non-competitive, and provide funding for complaint processing, administrative costs, and training for contribution agencies. Capacity building funds are also provided under a cooperative agreement for a one year period. For detailed program information under each funding component, contact the Fair Housing and Equal Opportunity Region or Headquarters Office. Fixed price cooperative agreements are anticipated to be awarded with payments to be made in accordance with a schedule of dates set out in the cooperative agreements. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

State and local agencies are required to maintain housing discrimination complaint files for a period of one year after closure.

Account Identification:

86-0144-0-1-751.

Obligations:

(Formula Grants) FY 09 \$21,900,131; FY 10 est \$28,710,000; FY 11 est \$28,215,000

Range and Average of Financial Assistance:

Funding is provided for capacity building, complaint processing, training, and administrative costs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There are 105 state and local jurisdictions receiving funding under this program. Fiscal Year 2010: No data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See 24 CFR Part 115 for regulations on the Certification and Funding of State and local Fair Housing Enforcement Agencies.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kenneth J. Carroll, 451 7th Street, S.W., Room 5206, Washington, District of Columbia 20410 Email: Kennth.J.Carroll@hud.gov Phone: (202) 402-7044.

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHAP/index.cfm>.

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding to a state or local agency is contingent on appropriation from Congress and the state or local agency's enforcement of a law that, on its face and in operation, is substantially equivalent to the Fair Housing Act.

14.408 FAIR HOUSING INITIATIVES PROGRAM

(FHIP

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To develop, implement, carry out, or coordinate programs and/or activities to educate the public about, as well as provide enforcement of, fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include provisions for strengthening existing fair housing enforcement organizations as well as establishing new ones.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private or not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices, as well as faith-based community-based organizations and State or local government agencies.

Applicant Eligibility:

State and local government agencies, public or private nonprofit organizations or institutions and other public or private entities that are formulating or

carrying out programs to prevent or eliminate discriminatory housing practices. Applicants for funding of testing activities must have at least one year of experience in complaint intake, complaint investigation, testing for fair housing violations, and enforcement of meritorious claims. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Fair housing enforcement organizations and nonprofit groups organizing to build their capacity to provide fair housing enforcement are also eligible applicants.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin. Also, any person or group of persons, including landlords and real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Costs for applicants that are private nonprofit entities will be determined in accordance with OMB Circular No. A-122. Costs for applicants that are educational institutions will be determined in accordance with OMB Circular No. A-21. Applicants for enforcement related activities must provide verification of 501(c)(3) status. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Two to six months.

Appeals:

Two to six months.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 36 months. Assistance provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: Grants.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, State and local governments must submit all reports required pursuant to OMB Circulars A-102 and A-133. Educational institutions and private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. .

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Project Grants) FY 09 \$26,300,000; FY 10 est \$41,555,000; FY 11 est \$31,754,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Myron Newry, 451 7th Street, S.W., Room 5224, Washington, District of Columbia 20410 Email: Myron.P.Newry@hud.gov Phone: (202) 402-7095.

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>.

RELATED PROGRAMS:

14.401 Fair Housing Assistance Program_State and Local

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.416 EDUCATION AND OUTREACH INITIATIVES

FHIP EOI

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist organizations that are regional, local, community based and National based. To develop, implement, carry out, or coordinate programs and/or activities to educate the public about, as well as provide enforcement of fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

. Financial assistance is provided for projects to educate the public in order to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing

conducted by public or private entities. Education and outreach projects may generally be awarded to a wider variety of organizations including public or private- not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices.

Applicant Eligibility:

Qualified Fair Housing Enforcement Organizations, Fair Housing Enforcement Organizations, Public or private not-for-profit organizations or institutions, or other public or private entities that are working to prevent or eliminate discriminatory housing practices, and State and local agencies under the Fair Housing Assistance Program.

Beneficiary Eligibility:

Credentials/Documentation:

Costs for State and local government units will be determined in accordance with OMB Circular No. A-87. Costs for applicants that are private nonprofit entities will be determined in accordance with OMB Circular No. A-122. Costs for applicants that are educational institutions will be determined in accordance with OMB Circular No. A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register. State and local government applicants must use the standard forms as furnished by the federal agency and required by OMB Circular No. A-102. Nonprofit entities and institutions of higher education must use standard forms as furnished by the federal agency.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Two to six months.

Appeals:

Two to six months.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 18 months. Assistance is provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: Grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, State and local governments must submit all reports required pursuant to OMB Circulars A-102 and A-133. Educational institutions and private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. Quarterly

progress reports will be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Cooperative Agreements) FY 09 \$3,100,000; FY 10 est \$7,980,000; FY 11 est \$6,980,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fair Housing Initiative Program grant recipients held 933 education and outreach events and activities reaching 1,060,320 people. This exceeded the goal to hold at least 450 activities to reach at least 270,000 people. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Myron Newry, 451 7th Street, S.W., Room 5224, Washington, District of Columbia 20410 Email: Myron.P.Newry@hud.gov Phone: (202) 402-7095.

Website Address:

<http://www.hud.gov/offices/fheo/partners.FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.417 FAIR HOUSING ORGANIZATION INITIATIVES

FHOI

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist in the organizing of fair housing enforcement in order to develop, implement, carry out, or coordinate programs and/or activities that provide enforcement of, fair housing rights under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include

provisions for strengthening existing fair housing enforcement organizations as well as establishing new ones.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance is provided for projects to prevent or eliminate discriminatory housing practices. Eligible projects and activities must be conducted in accordance with procedures contained in 24 CFR Part 125 and in an annual Notice of Funding Availability. Financial assistance is provided for projects to affirmatively further fair housing conducted by public or private entities. However, fair housing enforcement projects, including those to support the continued development of existing fair housing organizations and to establish new ones, may only be awarded to fair housing enforcement organizations under the Fair Housing Act or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices.

Applicant Eligibility:

Qualified Fair Housing Enforcement Organizations or Fair Housing Enforcement Organizations.

Beneficiary Eligibility:

Any person or group of persons aggrieved by discriminatory housing practices because of race, color, religion, sex disability familial status or national origin. Also, any person or group of persons, including landlords or real estate agents, to prevent discriminatory housing practices because of race, color, religion, sex, disability, familial status or national origin.

Credentials/Documentation:

Costs for applicants that are private nonprofit entities will be determined in accordance with OMB Circular No. A-122. Applicants for enforcement related activities must provide verification of 501(c)(3) status. Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register. State and local government applicants must use the standard forms as furnished by the Federal agency and required by OMB Circular No. A-102. Nonprofit entities and institutions of higher education must use standard forms as furnished by the Federal agency and required by OMB Circular No. A-110.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Two to six months.

Appeals:

Two to six months.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods generally ranging from 12 to 36 months. Assistance provided quarterly or as specified in the grant agreement. See the following for information on how assistance is awarded/released: Grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. .

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Project Grants) FY 09 \$2,100,000; FY 10 est \$8,000,000; FY 11 est \$3,500,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Myron Newry, 451 7th Street, S.W., Room 5224, Washington, District of Columbia 20410 Email: Myron.P.Newry@hud.gov Phone: (202) 402-7095.

Website Address:

No Data Available

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.418 PRIVATE ENFORCEMENT INITIATIVES

FHIP PEI

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist private and tax exempt fair housing enforcement in the investigation and enforcement of all alleged violations. To develop, implement, and carry out, related activities and enforcement under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives include carrying out testing and other investigative activities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Tax exempt non-profit fair housing enforcement organizations.

Applicant Eligibility:

Qualified Fair Housing Enforcement Organizations (QFHOs) and Fair Housing Enforcement Organizations.

Beneficiary Eligibility:

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Credentials/Documentation:

Applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Two to six months.

Appeals:

Two to six months.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods ranging from 12 to 36 months. See the following for information on how assistance is awarded/released: Grant.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, private nonprofit organizations

must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Tax exempt fair housing enforcement organizations. Expenditure reports are not applicable. Quarterly progress reports will be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0144-0-1-751.

Obligations:

(Project Grants (Contracts)) FY 09 \$21,100,000; FY 10 est \$25,575,000; FY 11 est \$21,274,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: The percentage of cases with positive outcomes that are filed or referred by Fair Housing Initiative program grantees is higher than cases with positive outcomes where no Fair Housing Initiatives program filing or referral occurs. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office Fair Housing Enforcement Center or Program Operations and Compliance Center: Director, Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Myron Newry, 451 7th Street, S.W., Room 5224, Washington, District of Columbia 20410 Email: Myron.P.Newry@hud.gov Phone: (202) 402-7095.

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>

RELATED PROGRAMS:

14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.419 SECTION 3 TECHNICAL ASSISTANCE

To Be Determined

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Urban Development Act of 1968 as amended. 12 U.S.C. 1701u-Economic Opportunities for Low-and Very Low-Income Persons; 42 U.S.C. 3535(d). 24 CFR Part 135.

OBJECTIVES:

To ensure that new employment, training, and contracting opportunities generated from the expenditure of certain HUD funding are directed to low- and

very low-income residents of the community where the funds are spent and the businesses that are either owned by, or substantially employ, these persons.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding is provided competitively to subsidize the administrative expenses associated with hiring coordinators to carry out day-to-day Section 3 responsibilities consistent with 24 CFR Part 135 for a period of one-year. Eligible projects and activities must be conducted in accordance with procedures contained in Notice of Funding Availability. Funding is provided competitively to subsidize the administrative expenses associated with hiring coordinators to carry out day-to-day Section 3 responsibilities consistent with 24 CFR Part 135 for a period of one-year. Eligible projects and activities must be conducted in accordance with procedures contained in Notice of Funding Availability.

Applicant Eligibility:

Any entity which receives Section 3 covered financial assistance directly from HUD. Such entities include: any State, unit of local government, Public Housing Authority, Indian Tribe, other public body, or public or private nonprofit organization. Applicants must be in compliance with the regulatory requirements of Section 3 in order to apply for competitive funding.

Beneficiary Eligibility:

Public Housing Residents; Individuals residing in the metropolitan area or non-metropolitan county in which the Section 3 covered assistance is expended who is low- or very low-income as defined in Section 3(b)(2) of the 1937 Housing Act. Business Concerns that are either 51 percent or more owned by low-or very low-income residents; Business Concerns that substantially employ low-or very low-income residents; or Business Concerns that provide evidence of a commitment to subcontract in excess of 25 percent of the dollar award of all subcontracts to be awarded to business concerns that are either owned by or substantially employ low-or very low-income residents.

Credentials/Documentation:

Costs for applicants will be determined in accordance with OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register. State and local government applicants must use the standard forms as furnished by the Federal agency and required by OMB Circular No. A-102. Nonprofit entities and institutions of higher education must use standard forms as furnished by the Federal agency and required by OMB Circular No. A-110.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 2 to 6 months.

Appeals:

From 90 to 120 days. 2 to 6 months.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching requirements must demonstrate the applicants ability to subsidize additional administrative costs and/or fully fund this position beyond the 1-year timeframe are applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is provided in accordance with requirements and forms provided in the Notice of Funding Availability and shall not extend beyond a 1-year (12 month) period. Assistance provided quarterly or as specified in the grant agreement. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Recipient agencies must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0402-0-1-751.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$600,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$50,000-\$100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 135, Economic Opportunities for Low- and Very Low-Income Persons

Regional or Local Office:

See Regional Agency Offices. HUD Field Office of Fair Housing and Equal Opportunity in the appropriate HUD Office listed in Appendix IV of the Catalog.

Headquarters Office:

Staci Gilliam Hampton, 451 7th Street, S.W., Room 5232, Washington, District of Columbia 20410 Email: Staci.Gilliam.Hampton@Hud.gov Phone: (202) 402-3468.

Website Address:

<http://www.hud.gov/Section3>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.420 FAIR HOUSING INITIATIVES PROGRAM ENFORCEMENT TESTING TECHNICAL ASSISTANCE

ETA

FEDERAL AGENCY:

Office of Fair Housing and Equal Opportunity, Department of Housing and

Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Development Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.

OBJECTIVES:

To assist private and tax exempt fair housing enforcement organizations in the investigation and enforcement of all alleged violations. To develop, and implement tester training, and enforcement under the Fair Housing Act; 42 U.S.C. 3601-3619 or State or local laws that provide substantially equivalent rights and remedies for alleged discriminatory housing practices. Objectives also include providing tester guidance and other investigative activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Training of all organizations carrying out fair housing testing and testing activities.

Applicant Eligibility:

Organizations with the capability to provide training and have knowledge of testing and testing methodologies. Non-profit tax exempt organization with at least three years of experience in training of large groups.

Beneficiary Eligibility:

Qualified fair housing enforcement organizations and fair housing enforcement organizations and others interested in conducting fair housing testing.

Credentials/Documentation:

Beneficiaries and applicants for funding of testing activities must certify that they will not solicit funds from or seek to provide services or products for compensation to any person or organization which has been the subject of testing by the applicant for a 12-month period following a test. Also, their testing methodology must be approved by HUD before being implemented. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be submitted in accordance with requirements and forms provided in the Notice of Funding Availability as published in the Federal Register.

Award Procedure:

Applications will be evaluated competitively and awarded points based on the Selection Criteria included in the published Notice of Funding Availability. The final decision rests with the Assistant Secretary for Fair Housing and Equal Opportunity or her/his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Two to six months.

Appeals:

From 120 to 180 days. Two to six months.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is in accordance with requirements and forms provided in the Notice of Funding Availability with project periods ranging from 12 to 36 months. See

the following for information on how assistance is awarded/released: Grant. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports will be required. Additionally, private nonprofit organizations must submit all reports required pursuant to OMB Circulars A-110 and A-133, and reporting under Form HUD 96010. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

86-0402-0-1-751.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$380,000; FY 11 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 125, Fair Housing Initiatives Program for information on testing

Regional or Local Office:

See Regional Agency Offices. Myron Newry, 451 7th Street, S.W. Room 5224, Washington, District of Columbia 204010
Email: Myron.P.Newry@hud.gov Phone 202-402-7095.

Headquarters Office:

Myron Newry, 451 7th Street, S.W., Room 5224, Washington, District of Columbia 20410 Email: Myron.P.Newry@hud.gov Phone: (202) 402-7095.

Website Address:

<http://www.hud.gov/offices/fheo/partners/FHIP/fhip.cfm>

RELATED PROGRAMS:

14.401 Fair Housing Assistance Program_State and Local; 14.408 Fair Housing Initiatives Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria that will be considered in reviewing applications will be included in the Notices of Funding Availability, as published in the Federal Register.

14.506 GENERAL RESEARCH AND TECHNOLOGY ACTIVITY

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Title V of Housing and Urban Development Act of 1970, Public Law 111-8.

OBJECTIVES:

To carry out research, demonstration and program evaluation and monitoring projects of high priority and pre-selected by the Department to improve the operations of the Department's programs.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

HUD's Office of Policy Development and Research's (PD&R) funds are awarded through competitive procurement solicitations or Interagency Agreements with other Federal Agencies. Contracts and Interagency Agreement awards must comply with FAR requirements.

Applicant Eligibility:

Researchers, research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Beneficiary Eligibility:

Researchers, research organizations, State and local governments, academic institutions, public and/or private profit and nonprofit organizations which have authority and capacity to carry out projects.

Credentials/Documentation:

Capacity and capability of applicant to perform research by contract under this program and to manage Federal funding. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Respond to Request for Proposals for Contracts.

Award Procedure:

Contact Proposals will be reviewed against criteria contained in the request for proposals issued by HUD's Office of the Chief Procurement Officer for procurement actions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As identified in request for proposals for competitive procurement awards.

Appeals:

Office of the Chief Procurement Officer for procurement actions and for all contractual matters.

Renewals:

As provided in agreement arrangements, if any.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As specified in the contractual agreement. Awards usually will not exceed twelve months. See the following for information on how assistance is awarded/released: Contract Award.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Full and accurate records must be maintained with respect to all matters covered in the agreement. Contracts awards are maintained in accordance with Departmental requirements.

Account Identification:

86-0108-0-1-451.

Obligations:

(Provision of Specialized Services) FY 09 \$32,234,761; FY 10 est \$52,810,057; FY 11 est \$87,000,000

Range and Average of Financial Assistance:

\$15,000 - \$20,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Dorothy E. Faison, 451 7th Street, SW, Washington, District of Columbia 20410 Email: dorothy.e.faison@Hud.Gov Phone: (202) 402-5840 Fax: (202) 708-5171.

Website Address:

<http://www.huduser.org>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.514 HISPANIC-SERVING INSTITUTIONS ASSISTING COMMUNITIES**FEDERAL AGENCY:**

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009),.

OBJECTIVES:

To assist Hispanic-Serving Institutions of higher education (HSIs) expand their role and effectiveness in addressing community development needs in their localities, including neighborhood revitalization, housing, and economic development, principally for persons of low-and moderate-income, consistent with the purposes of Title I of the Housing and Community Development Act of 1974 as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each activity proposed for funding must meet the established Community Development Block Grant (CDBG) Program requirements and at least one of the three CDBG national objectives. Criteria for determining whether an activity addresses one or more objectives are provided at 24 CFR Part 570.208. These funds are for the direct benefit of the community and/or public not the institution. Ineligible activities are listed in paragraph 570.207.

Applicant Eligibility:

Nonprofit Hispanic- Serving Institutions that meet the definition of an HIS of higher education established in Title V of the Higher Education Act of 1965 (20 U.S.C. 1101) as amended are eligible to submit applications for funding. In order to meet this definition, at least 25 percent of the full-time undergraduate student enrolled in an institution must be Hispanic. In addition, all applicants must be institutions of higher education granting two-or four-year degrees that are fully accredited by a national or regional accrediting agency recognized by the Department of Education.

Beneficiary Eligibility:

The principal beneficiaries of the program include any city, county, town, parish, village, any other political subdivision of a State, or certain U.S. territories within which the HSI is located.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local

Governments, and Non-Profit Organizations; and (3) provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available online at Grants.gov

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

HUD may make grants available for up to a performance period of three-year. Method of awarding/releasing assistance: by letter of credit.

Reports:

All grant recipients are required to submit semi-annual progress reports. The progress report shall consist of a narrative and financial report. In addition, annually a Program Logic Model must be submitted. Cash reports are not applicable. The narrative portion of the progress report must reflect the activities undertaken during the reporting period. The financial report must reflect the costs incurred by budget line items, as well as a cumulative summary of costs incurred during the reporting period. Each grant's performance is monitored by HUD.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0108-0-1-451 - 2009; 86-0162-0-1-451 - 2010; 2011.

Obligations:

(Project Grants (Discretionary)) FY 09 \$6,000,000; FY 10 est \$6,454,800; FY 11 est \$6,520,000 - This year HUD will award two kinds of grants under this program; Previously Unfunded HSIAC Grants (Applicants who have never received a HUD HSIAC program grant) and Previously Funded HSIAC Grants. The maximum amount an applicant can request for award is \$600,000 for a

maximum 3-year (36 months) grant performance period.

Range and Average of Financial Assistance:

\$600,000 a grant for a maximum 3-year performance period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by regulations contained in 24 CFR 570.400 and 570.404 and 24 CFR Part 570, Subparts A, C, J, K and O. Provisions of 24 CFR Part 84 "Grant Agreements with Institutions of Higher Education, Hospitals, and Nonprofit Organizations." Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

www.oup.org; or www.hud.gov/grants.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the criteria listed in each NOFA.

14.515 ALASKA NATIVE/NATIVE HAWAIIAN INSTITUTIONS ASSISTING COMMUNITIES

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009).

OBJECTIVES:

To assist Alaska Native/Native Hawaiian Institutions (AN/NHIs) of Higher Education expand their role and effectiveness in addressing community development needs in their localities, including neighborhood revitalization, housing, and economic development, principally for persons of low-and moderate-income, consistent with the purposes of Title I of the Housing and Community Development Act of 1974 as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each activity proposed for funding must meet the established Community Development Block Grant (CDBG) Program requirements and at least one of the three CDBG national objectives. Criteria for determining whether an activity addresses one or more objectives are provided at 24 CFR Part 570.208. These funds are for the direct benefit of the community and/or public not the institution. Ineligible activities are listed in paragraph 570.207.

Applicant Eligibility:

Nonprofit Alaska Native and Native Hawaiian Institutions of higher established in Title III, Part A, Section 317 of the Higher Education Act of 1965, as amended by the Higher Education Amendments of 1998 (Public Law 105-244, enacted October 7 1998) are eligible to submit applications for funding. Institutions are not required to be on the list of eligible ANI/NHI prepared by the Department of Education. However, an institution that is not on the list is required to provide a statement that certify that they meet the statutory definition of an ANI or NHI. Each autonomous campus of an ANI or NHI is permitted to submit a separate application as long as they have a separate

administrative structure and budget.

Beneficiary Eligibility:

The principal beneficiaries of the program include any city, county, town, parish, village, any other political subdivision of a State within which an ANI or NHI is located.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations; and (3) provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available online at Grants.gov

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD may make grants available for up to a performance period of three-years. Method of awarding/releasing assistance: by letter of credit.

Reports:

All grant recipients are required to submit quarterly progress reports. The report shall consist of a narrative and financial report. In addition, annually a Program Logic Model must be submitted. No cash reports are required. The narrative portion of the progress report must reflect the activities undertaken during the reporting period. The financial report must reflect the costs incurred by budget line items, as well as a cumulative summary of costs incurred during the reporting period. Each grant's performance is monitored by HUD.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0108-0-1-451 - 2009; 86-0162-0-1-451 - 2010; 2011.

Obligations:

(Project Grants (Discretionary)) FY 09 \$3,000,000; FY 10 est \$3,232,350; FY 11 est \$3,265,000

Range and Average of Financial Assistance:

\$800,000 a grant for a maximum 3-year performance period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by regulations contained in 24 CFR 570.400 and 570.404 and 24 CFR Part 570, subparts A, C, J, K and O. Provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals, and Nonprofit Organizations." Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852 Fax: (202) 708-0309.

Website Address:

<http://www.oup.org> ; or www.hud.gov/grants .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the criteria listed in each NOFA.

14.516 DOCTORAL DISSERTATION RESEARCH GRANTS

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Urban Development Act of 1974, Title V, 12 U.S.C 1701z-1 et seq.

OBJECTIVES:

To enable doctoral candidates enrolled at institutions of higher education accredited by a national or regional accrediting agency recognized by the Department of Education to complete their research and dissertations on policy-relevant housing and urban development issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Costs incurred in the timely completion of a dissertation including stipends, computer software, the purchase of data, travel expenses to collect data, transcription services, and compensation for interviews. Grants cannot be used for tuition, computer hardware, or meals. Indirect cost and honorariums cannot be charged under this program.

Applicant Eligibility:

Applicants must be accredited institutions of higher education recognized by the Department of Education that sponsor doctoral students who are currently enrolled and matriculating and have been accepted into candidacy in an accredited doctoral program. However, the sponsored doctoral student is responsible for the completion of the application (with the assistance of the institution).

Beneficiary Eligibility:

Beneficiaries are doctoral students.

Credentials/Documentation:

Doctoral students must meet the following requirements: (1) Be currently enrolled as a full-time student in an accredited doctoral program; (2) and either a U.S. citizen or U.S. national (such as a person born in Swains Island or American Samoa) with the meaning of 8 U.S.C. 1408 or be a lawful permanent resident (recipient of an Alien Registration Recipient Card-Form I-551, commonly referred to as a Green Card) or other qualified alien (such as an alien who has been granted asylum or refugee status) within the meaning of 8 U.S.C. 1651 (b); (3) By the application deadline date, the student's dissertation propose/prospectus has been accepted by the full dissertation committee; (3) The student will have an assigned dissertation advisor; (4) By September 1, 2010, the student will have satisfactorily completed all other written and oral doctoral degrees requirements, including all examinations, except the dissertation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Institutions of higher education accredited by a national or regional accrediting agency recognized by the Department of Education that sponsor a doctoral student are the official applicants. However, the sponsor doctoral student is responsible for the completion of the application with the assistance of the institution. Students will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available online at Grants.gov.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards will be made to the highest-ranking applications. If a doctoral student turns down the award offered, HUD will make an offer to the next highest-ranking application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD makes grants available for a performance period of 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

All grant recipients are required to submit a progress report and Program Logic Model annually through the grant period. At the end of the grant performance period, doctoral students must submit to HUD two copies and a CD-Rom containing an electronic copy of the approved dissertation in its final version and a final complete Logic Model, as well as a signed letter of approval from the dissertation committee. At the end of the grant period the applicant must submit a budget report. The narrative portion of the progress report must reflect the activities undertaken during the reporting period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

The institution must maintain information on grant disbursement.

Account Identification:

86-0108-0-1-451.

Obligations:

(Project Grants (Discretionary)) FY 09 \$200,000; FY 10 est \$400,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$25,000 a grant for a maximum 2-year performance period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

<http://www.oup.org> and www.hud.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A competitive process is used in selecting grants. Criteria will be published in the annual NOFA.

**14.519 TRIBAL COLLEGES AND UNIVERSITIES PROGRAM
FEDERAL AGENCY:**

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009).

OBJECTIVES:

To assist Tribal Colleges and Universities (TCU) build, expand, renovate, and equip their own facilities, and to expand the role of the TCUs into the community through the provision of needed services such as health programs, job training, and economic development activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds can be used by tribal colleges to build, expand, renovate, and equip their own facilities. In addition, public services and program delivery activities for the community such as health programs, job training and economic development are eligible activities.

Applicant Eligibility:

Tribal Colleges and Universities that meet the statutory definition of a TCU established in Title III of the 1998 Amendments to the Higher Education Act of 1965 (Public Law 105-244, approved October 7, 1998) that are two-or four-year, fully accredited or that are candidates for accreditation by a regional institutional accrediting association recognized by the Department of Education are eligible to submit applications for funding.

Beneficiary Eligibility:

Tribal Colleges and Universities to help build, expand, renovate, and equip their own facilities. Buildings for which TCUP funding is used that also serves the community are eligible; however, the facilities must be predominantly (at least 51 percent of the time) for the used of the institution (e.g., students, faculty, and

staff). In addition, public services and program delivery activities for the community such as health programs, job training and economic development are eligible activities.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local Governments, and Nonprofit Organizations; and (3) provisions of 24 CFR Part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register each year and available online at Grants.gov.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

HUD may make grants available for a performance period of 3 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

All grant recipients are required to submit quarterly progress reports. The progress report shall consist of a narrative and financial report. In addition, annually a Program Logic Model must be submitted. Cash reports are not applicable. The narrative portion of the report must reflect the activities undertaken during the reporting period. The financial reports must reflect the costs incurred by budget line items, as well as a cumulative summary of costs incurred during the reporting period. Each grant's performance is monitored by HUD.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0108-0-1-451 - Above appropriation code for FY 09.; 86-0162-0-1-451 -

Above appropriation code for FY 10 and FY 11.

Obligations:

(Project Grants (Discretionary)) FY 09 \$5,000,000; FY 10 est \$5,380,650; FY 11 est \$5,435,000

Range and Average of Financial Assistance:

\$800,000 a grant for a maximum three-year grant performance period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by (1) OMB Circular No. A-133 "Audits of States, Local Governments, and Nonprofit Organizations; and (2) provisions of 24 CFR Part 84, "Grant Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations." Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852 Fax: (202) 708-0309.

Website Address:

http://www.oup.org or www.hud.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Will be listed in a NOFA each year.

14.520 HISTORICALLY BLACK COLLEGES AND UNIVERSITIES PROGRAM

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009).

OBJECTIVES:

To assist Historically Black Colleges and Universities (HBCUs) expand their role and effectiveness in addressing community development needs in their localities, including neighborhood revitalization, housing, and economic development, principally for person of low-moderate income consistent with the purposes of Title I of the Housing and Community Development Act of 1974 as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each activity proposed for funding must meet the established Community Development Block Grant (CDBG) Program requirements and at least one of the three CDBG national objectives. Criteria for determining whether an activity addresses one or more objectives are provided at 24 CFR Part 570.208. These funds are for the direct benefit of the community and/or public not the institution. Ineligible activities are listed in paragraph 570.207. An activity which is otherwise eligible, may not be funded if State or local law requires that it be carried out by a governmental entity.

Applicant Eligibility:

Historically Black Colleges and Universities as determined by the Department of Education in 34 CFR 608.2 pursuant to that Department's responsibilities under Executive Order 13256, dated February 12, 2002.

Beneficiary Eligibility:

The principal beneficiaries of the Historically Black Colleges and Universities program will include any city, county, town, township, parish, village, or other general political subdivision of a State within which the HBCU is located. A HBCU located in a metropolitan statistical area, as established by the Office of Management and Budget, may consider its locality to be one or more of these entities within the entire area.

Credentials/Documentation:

Grants are governed by (1) OMB Circular No. A-21 "Cost Principles for Educational Institutions; (2) OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations; and (3) provisions of 24 CFR part 84 "Grant Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available online at Grants.gov

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards made to the highest ranking applications. HUD reserves the right to make selections out of order to provide geographic distribution of grantees. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Announcement of awards are anticipated on or before September 30th.

Appeals:

Not Applicable.

Renewals:

HUD will award two kinds of grants under this program; Previously Unfunded HBCU Grants and Previously Funded HBCU Grants. Previously Unfunded HBCU Grants will be awarded to applicants who have never received an HBCU grant or have not received a grant since FY 2000 to undertake eligible activities. The maximum amount a Previously Unfunded HBCU applicant can request for award is \$500,000 for a maximum three-year (36 months) grant performance period.

b. Previously Funded HBCU Grants will be awarded to applicants that have received funding in FY 2001 through FY 2009 HBCU grant competitions. The maximum amount a Previously Funded HBCU applicant can request for award is \$800,000 for a maximum three-year (36 months) grant performance period.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

HUD may make grants available for up to a performance period of 3 years.
Method of awarding/releasing assistance: by letter of credit.

Reports:

All grant recipients are required to submit quarterly progress reports. The progress report shall consist of a narrative and financial report. In addition, annually a Program Logic Model must be submitted. No cash reports are required. The narrative portion of the progress report must reflect the activities undertaken during the reporting period. The financial report must reflect the costs incurred by budget line items, as well as a cumulative summary of costs incurred during the reporting period. Each grant's performance is monitored by

HUD.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. OMB Circular No. A-133 establishes audit requirements and defines Federal responsibilities for implementing and monitoring such requirements of higher education and other nonprofit institutions receiving Federal awards. It promotes the efficient and effective use of audit services.

Records:

Must be kept in accordance with the terms and conditions of the grant.

Account Identification:

86-0108-0-1-451 - Above appropriation code for FY 09; 86-0162-0-1-451 - Above appropriation code for FY 10 & FY 11.

Obligations:

(Project Grants (Discretionary)) FY 09 \$9,000,000; FY 10 est \$9,682,200; FY 11 est \$9,780,000

Range and Average of Financial Assistance:

The maximum amount a Previously Funded HBCU applicant can request for award is \$800,000 for a maximum three-year (36 months) grant performance period.

The maximum amount a Previously Unfunded HBCU applicant can request for award is \$500,000 for a maximum three-year (36 months) grant performance period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is governed by regulations contained in 24 CFR 570.400 and 570.404 and 24 CFR Part 570, Subparts A, C, J, K and O. Provisions of 24 CFR Part 84 "Grant Agreements with Institutions of Higher Education, Hospitals, and Nonprofit Organizations." Applicants will respond to competitive requirements contained in a Notice of Funding Availability (NOFA) published in the Federal Register and available on Grants.gov. More information about previously awarded grants is provided at www.oup.org.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate HUD Field Office listed in the General Section of the NOFA.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852 Fax: (202) 708-0309.

Website Address:

<http://www.oup.org/> or www.hud.gov/grants.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed under each NOFA.

**14.523 TRANSFORMATION INITIATIVE RESEARCH GRANTS:
SUSTAINABLE COMMUNITY RESEARCH GRANT PROGRAM
FEDERAL AGENCY:**

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009). This program is approved by HUD's authority and administered under the Transformation Initiative (TI) account.

OBJECTIVES:

The purpose of this effort is to fill key data and information gaps, and to begin to develop and evaluate policy alternatives that communities can adopt to facilitate decision making about various community investments. Additionally, the HUD believes that the results from the program will enable the department to develop a broader sustainability agenda beyond current departmental priorities, as well as any future efforts or initiatives that may be considered based on the results of the research.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds awarded under this NOPI must be used to support direct costs incurred in the timely completion of the research product. Eligible costs include, but are not limited to, the researchers salary, associates to work on the project, protected/release time to demonstrate the need for a period of intensive research, computer software, data purchases, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for interviews. 1. Funding will only be provided to researchers who meet the standards for eligible applicants.

2. Grant funds awarded under this program may not be used to pay for computer hardware and/or meals.
3. Fee or profit will not be paid for work done under this program.
4. Applicants must comply with the Delinquent Federal Debt Requirement.

Applicant Eligibility:

Nationally recognized and accredited institutes of higher education; non-profit foundations, research consortia or policy institutes; for-profit organizations located in the U.S. and contract research institutions or academic entities that will sponsor a researcher or experts as the applicant. However, the sponsored researcher assumes the sole responsibility for the completion of the application and conducting the research.

In addition, the researcher must meet the following program requirements:
a. Be a U.S. citizen or U.S. national (such as a person born in the Swains Island or

American Samoa) within the meaning of 8 U.S.C. 1408, or be a lawful permanent resident (a recipient of an Alien Registration Recipient Card-Form I-551, commonly referred to as a

Green Card), or other qualified alien (such as, an alien who has been granted asylum or refugee status) within the meaning of 8 U.S.C. 1651(b); and
b. The proposed research project can be completed within the 24 months grant performance period.

Beneficiary Eligibility:

The purpose of this notice of public interest is to inform the research community of the opportunity to submit grant applications to fund quality research under the broad subject area of sustainable development. HUD is primarily interested in sponsoring cutting edge research in the areas of affordable housing development and preservation; transportation-related issues; economic development and job creation; land use planning and urban design; green and sustainable energy practices; and a range of issues related to sustainability.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications will be rated, ranked and awards will be made to the highest rated applications. HUD reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible. Additionally, if funds remain after funding the highest ranked applications, HUD may fund part of the next highest-ranking application. If a researcher turns down the award offer, HUD will make an offer to the next highest-ranking application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The process for applying for a grant through this NOPI involves a two-step process. First, applicants are invited to submit a preliminary application for funding consideration. Second, if the preliminary application is selected for further consideration, the researcher will be requested to submit a full application for funding consideration within 30 days after the closing date of this NOPI.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum grant performance period is for 24 months (2 years). Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports must be submitted electronically. At the end of the grant performance period, researcher must submit to HUD two copies and a CD-ROM containing an electronic copy of the paper documenting the research finding in its final version, as well as a final report which summarizes the entire work and achievements conducted under this award. Cash reports are not applicable. All researchers that receive grant funds under this program are required to submit a quarterly progress report which describes the progress of the effort and the likelihood that it will be completed on time. Financial reports must be included that reflects the cost incurred by budget line, as well as a cumulative summary of costs incurred during the reporting period. Each grant's performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be kept in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$1,500,000; FY 11 est \$0 - This program is approved by HUD's research authority and administered under the Transformation Initiative (TI) account.

Range and Average of Financial Assistance:

An applicant may request a minimum of \$150,000, up to \$500,000 for an award, depending on the scope and scale of the proposed research. Awards under this NOPI will be made in the form of Cooperative Agreements.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOPI.

**14.524 TRANSFORMATION INITIATIVE RESEARCH GRANTS:
NATURAL EXPERIMENTS**

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (approved December 16, 2009). This program is approved under HUD's research authority under the Transformation Initiative Fund, Public Law 111-117.

OBJECTIVES:

The purpose of this effort is to provide funding to support scientific research that makes use of natural experiments to evaluate the impact on local, state, and federal policies.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible costs include but are not limited to, the researchers salary, graduate assistants to work on the project, protected/release time for researcher who can demonstrate the need for a period of intensive research, computer software, purchase of data, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for interviews. The funds may not be used specifically for dissertation support, internationally oriented research, or demonstration projects; HUD has other programs aimed at funding such activities.

In addition, funding will only be provided to researchers who meet the standards for eligible applicants outlined in under this program; grant funds awarded under this program may not be used to pay for computer hardware and/or meals; honorariums cannot be charged against grants under this program.; fee or profit will not be paid for work done under this effort; and applicants must comply with the Delinquent Federal Debt Requirement.

Applicant Eligibility:

Nonprofit organizations, for profit organizations located in the U.S (HUD will not pay fee or profit for the work conducted under this program), foundations, think tanks, consortia, Institutions of higher education accredited by a national or regional accrediting agency recognized by the U.S. Department of Education and other entities that will sponsor a.

Beneficiary Eligibility:

The research funded by these HUD grants should be state-of-the-art natural experiments and result in substantive contributions to the existing pool of scientific theory and evidence on the effectiveness of public policies. It should also yield methodological advances to evaluating public policy preference will be given to proposals aimed at developing general solutions that may be applied to additional problems. Projects may focus on any local, state, or federal policy relevant to HUD's mission of increasing homeownership, supporting community development, and increasing access to affordable housing free from discrimination. HUD is particularly interested in funding evaluations that can help this Administration and subsequent Administrations determine how to spend taxpayer dollars effectively and efficiently, though other types of projects will also be considered.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications will be reviewed against published criteria.

Award Procedure:

Applications will be rated, ranked, and awards will be made to the highest rated applications. If an applicant turns down an award offer, HUD will make an award to the next highest-ranking application. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible. Additionally, if funds remain after funding the highest ranked applications, HUD may fund part of the next highest-ranking application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum grant performance period is 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports must be submitted electronically. At the end of the grant performance period, researcher must submit to HUD two copies and a CD-ROM containing an electronic copy of the paper documenting the research finding in its final version, as well as a final report which summarizes the entire work and achievements conducted under this award. Cash reports are not applicable. All researchers that receive grant funds under this program are required to submit a quarterly progress report which describes the progress of the effort and the likelihood that it will be completed on time. Financial reports must be included that reflects the cost incurred by budget line, as well as a cumulative summary of costs incurred during the quarterly reporting period. Each grant's performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be kept in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$600,000; FY 11 est \$0 - This program is approved under HUD's research authority under the Transformation Initiative Fund.

Range and Average of Financial Assistance:

An applicant can request \$100,000 to \$250,000 per award, depending on the scope of the research proposed. Awards under this NOFA will be made in the form of a Cooperative Agreement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the program NOFA.

**14.525 TRANSFORMATION INITIATIVE RESEARCH GRANTS:
DEMONSTRATION AND RELATED SMALL GRANTS**

FEDERAL AGENCY:

Office of Policy Development and Research, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010 (Public Law 111-117 approved December 16, 2009). This program is approved by HUDs research authority under the Transformation Initiative Fund.

OBJECTIVES:

The Office of Policy Development and Research is conducting several major demonstrations through contract studies in the following areas: Family Self-Sufficiency; Pre-Purchase Counseling; Sustainable Building Practices; and Homeless Families Intervention. The purpose of this program is to enhance these demonstrations by providing a vehicle for conducting a number of small research projects aimed at collecting additional/supplemental information and analyses.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To support direct and indirect costs incurred in the timely completion of the research product. Eligible costs include but are not limited to, the researchers salary, assistants to work on the project, protected/release time for researcher who can demonstrate the need for a period of intensive research, computer software, purchase of data, travel expenses to collect data or to make presentations at meetings, transcription services, and compensation for interviews. 1. Funding will only be provided to researchers who meet the standards for eligible applicants outlined under this program. 2. Grant funds awarded under this program may not be used to pay for computer hardware and/or meals. 3. Fee or profit will not be paid for work done under this program. 4. Dissertation support is not eligible under this program.

Applicant Eligibility:

Nonprofit organizations, for profit organizations located in the U.S (HUD will not pay fee or profit for the work conducted under this program), foundations, think tanks, consortia, Institutions of higher education accredited by a national or regional accrediting agency recognized by the U.S. Department of Education and other entities that will sponsor a researcher, expert and analyst.

Beneficiary Eligibility:

The purpose of this program is to enhance these demonstrations by providing a vehicle for conducting a number of small research projects aimed at collecting additional/supplemental information and analyses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The process of applying for a grant through this program involves a two step process. Applicants are invited to submit a preliminary application for funding consideration. If the preliminary application is deemed worthy of further consideration, the researcher will be requested to submit a full application for funding consideration within 30 days after the closing date of the funding opportunity.

Award Procedure:

Applications will be reviewed against published criteria, rated and ranked, and awards will be made to the highest rated applications. If an applicant turns down an award offer, HUD will make an award to the next highest-ranking application. HUD also reserves the right to reduce the amount of funding requested in order to fund as many highly ranked applications as possible. Additionally, if funds remain after funding the highest ranked applications, HUD may fund part of the next highest-ranking application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The process of applying for a grant through this NOPI involves a two step process. Applicants are invited to submit a preliminary application for funding consideration. If the preliminary application is deemed worthy of further consideration, the researcher will be requested to submit a full application for funding consideration within 30 days after the closing date of this NOPI.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum grant performance period is 3 years (36 months). Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports must be submitted electronically. At the end of the grant performance period, researcher must submit to HUD two copies and a CD-ROM containing an electronic copy of the paper documenting the research finding in its final version, as well as a final report which summarizes the entire work and achievements conducted under this award. No cash reports are required. All researchers that receive grant funds under this program NOPI are required to submit a quarterly progress report which describes the progress of the effort and the likelihood that it will be completed on time. Financial reports must be included that reflects the cost incurred by budget line, as well as a cumulative summary of costs incurred during the quarterly reporting period. Each grant's performance will be monitored.

Audits:

Not Applicable.

Records:

Records must be kept in accordance with the program's terms and conditions.

Account Identification:

86-0402-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$400,000; FY 11 est \$0 - This program is approved under HUDs research authority and is administered under the Transition Initiative Account.

Range and Average of Financial Assistance:

\$100,000 a grant for a maximum three-grant performance period. Awards under this NOPI will be made in the form of a Cooperative Agreement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Sandra Susan Brunson, 451 7th Street, Room 8226, Washington, District of Columbia 20410 Email: Susan.S.Brunson@hud.gov Phone: (202) 402-3852.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the program NOPI.

14.703 SUSTAINABLE COMMUNITIES REGIONAL PLANNING GRANT PROGRAM

Planning Grant

FEDERAL AGENCY:

Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act, 2010 (Public Law 111-117, approved December 16, 2009) , 123 Stat. 3084.

OBJECTIVES:

The Sustainable Communities Regional Planning Grant Program will support metropolitan and multijurisdictional planning efforts that integrate housing, land use, economic and workforce development, transportation, and infrastructure investments in a manner that empowers jurisdictions to consider the interdependent challenges of 1) economic competitiveness and revitalization; 2) social equity, inclusion, and access to opportunity; 3) energy use and climate change; and 4) public health and environmental impact. Of the \$100 million appropriated, \$2 million will be reserved for capacity support grants distributed separately, and not less than \$25 million shall be awarded to regions with populations of less than 500,000.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the NOFA for the specific funding uses and restrictions. For Fiscal Year 2010, potential applicants should consult the NOFA for the specific funding uses and restrictions. All funds are discretionary funds.

Applicant Eligibility:

For Fiscal Year 2010, potential applicants should consult the NOFA for the specific funding uses and restrictions. Applicants with outstanding civil rights violations are not eligible for funding.

Beneficiary Eligibility:

Funding from this Program will support the development and implementation of Regional Plans for Sustainable Development (RPSD) that:

- Identify affordable housing, transportation investment, water infrastructure, economic development, land use planning, environmental conservation, energy system, open space, and other infrastructure priorities for the region;
- Clearly define a single, integrated plan for regional development that addresses potential population growth or decline over a minimum 20-year timeframe;
- Establish performance goals and measures that are, at a minimum, consistent

with the Sustainability Partnerships Livability Principles;

d. Prioritize projects that facilitate the implementation of the regional plan and identify responsible implementing entities (public, non-profit, or private) and funding sources;

g. Show how the proposed plan will establish consistency with HUD, DOT, and EPA programs, and policies, such as Consolidated Plans, Analysis of Impediments to Fair Housing Choice, Long Range Transportation Plans, and Asset Management Plans, including strategies to modify existing plans where appropriate; and

h. Engage residents and stakeholders substantively and meaningfully in the development of the shared vision and its implementation early and throughout the process, including communities traditionally marginalized from such processes, while accommodating limited English speakers, persons with disabilities, and the elderly.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The program has a leveraged resources requirement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Sustainable Communities Regional Planning Grant award is for 36-months. See the following for information on how assistance is awarded/released: This is a cost reimbursable grant.

Reports:

Bi-annual reports will be expected. No cash reports are required. Bi-annual reports will be expected. This is a cost reimbursable grant program. All drawdown requests will require review for approval. Bi-annual reports will be expected.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

86-0162-0-1-451.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$100,000,000; FY 11 est \$100,000,000

Range and Average of Financial Assistance:

100,000 to 5,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Zuleika K. Morales-Romero, 451 7th Street SW, Washington, District of Columbia 20410 Email: zuleika.k.morales@hud.gov Phone: (202) 402-7683.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.704 COMMUNITY CHALLENGE PLANNING GRANTS AND THE DEPARTMENT OF TRANSPORTATION'S TIGER II PLANNING GRANTS

The Partnership for Sustainable Communities Collaborative Planning Grants

FEDERAL AGENCY:

Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act 2010, Public Law 111-117.

OBJECTIVES:

The Partnership for Sustainable Communities Collaborative Planning Grants coordinate federal housing, transportation, and environmental investments, protect public health and the environment, promote equitable development, and help address the challenges of climate change. A set of six "Livability Principles" were created by the Partnership to govern the work of the Partnership, and guide the policies and funding programs. Each agency has clear and defined roles: HUD will take the lead in funding, evaluating, and supporting integrated regional planning for sustainable development, and will invest in sustainable housing and community development efforts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

State and local governments, including U.S. territories, tribal governments, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of state or local governments, and multistate or multijurisdictional groupings.

Beneficiary Eligibility:

State and local governments, including U.S. territories, tribal governments, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of state or local governments, and multistate or multijurisdictional groupings.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The competitive Notification of Funding Availability (NOFA) for fiscal year 2010 The Partnership for Sustainable Communities Collaborative Planning Grants Program provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Applicants must provide 20 percent of the requested funding amount in leveraged resources in the form of cash and/or verified in-kind contributions or a combination of these sources. All assistance provided to meet this requirement must be identified by their dollar equivalent based upon accepted salary or regional dollar values. Cash contributions may come from any combination of local, state and/or federal funds, and/or private and philanthropic contributions dedicated to the express purposes of this proposal.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Partnership for Sustainable Communities Collaborative Planning Grant award is for __ months. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Applicants that receive awards will be expected to report on the progress of the project and outcomes realized at the mid-way point and at the end of the term of the grant. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$40,000,000; FY 11 est \$40,000,000

Range and Average of Financial Assistance:

Up to 3,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

NOFA

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Zuleika K. Morales-Romero, 451 7th Street SW, Washington, District of Columbia 20410 Email: zuleika.k.morales@hud.gov Phone: (202) 402-7683.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.850 PUBLIC AND INDIAN HOUSING**FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To provide and operate cost-effective, decent, safe and affordable dwellings for lower income families through an authorized local Public Housing Agency (PHA).

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Operating subsidy funds are provided to PHAs to achieve and maintain adequate operating and maintenance service and reserve funds. Funds are made to PHAs based on the extent to which their projected total allowable expenses (as determined by formula) exceed their projected income (also as determined by formula) from rents and other sources. Use restricted to activities specified in the Housing and Community Development Act of 1937, Section 9 (e), Operating Fund.

Applicant Eligibility:

Public Housing Agencies established in accordance with State law are eligible. The proposed program must be approved by the local governing body. Pursuant to the Native American Housing Assistance and Self Determination Act of 1996, Indian Housing Authorities (IHAs) are no longer eligible for funding under the U.S. Housing Act (of 1937) or the Indian Housing Act.

Beneficiary Eligibility:

Lower income families which include citizens or eligible immigrants. The term "Families" includes but is not limited to: (1) a family with or without children; (2) an elderly family (head, spouse, or sole member 62 years or older), (3) near elderly family (head, spouse, or sole member 50 years old but less than 62 years old); (4) a disabled family; (5) a displaced family; (6) the remaining member of a tenant family; or (7) a single person who is neither elderly, near-elderly, displaced, or a person with disabilities.

Credentials/Documentation:

The applicant must support the application/proposal by furnishing data that the program is consistent with the Public Housing Agency (PHA) Plan (Quality Housing and Work Responsibility Act of 1998). The PHA Plan is designed for both long-term and short-term strategies for addressing the housing needs of the community. The proposed program should demonstrate that it is responsive to the PHA Plan by identifying conditions of the housing stock in the community and the housing assistance needs of lower-income families (including the elderly, persons with disabilities, large families and those displaced or who will be displaced) residing in or expected to reside in the community. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. PHAs may submit a full proposal (HUD-52483-A) which includes, but is not limited to a project description, development method, offer of sale of real property, site information and financial feasibility pursuant to 24 CFR Part 941 and Handbook 7417.1 REV-1 and, if applicable, additional statutory or policy requirements governing the funds provided for the particular fiscal year. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Usually the HUD Field Office Manager makes final decision to approve individual applications. Also, agencies must have Annual Contribution Contract and Cooperative Agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the deadline for applications for processing applications/proposals.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory Formula: Title Operating Fund, Part 24 CFR, Subpart 990.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Contributions made annually for up to 30 years for development and 20 years for modernization program reservations approved through September 30, 1986 for projects with permanent financing. Annual appropriations of capital funds for development and modernization started October 1, 1986. Projects funded with capital funds after October 1, 1986 will have contract terms of 40 years. See the following for information on how assistance is awarded/released: Funding is provided based on submission of Operating Fund calculation (Form HUD-52723 and HUD-52722).

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial statements are required from PHAs annually. Submission of family report (HUD-50058) is required from PHAs.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, management, maintenance and energy audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract.

Account Identification:

86-0163-0-1-604.

Obligations:

(Formula Grants) FY 09 \$3,832,013,748; FY 10 est \$4,108,473,911; FY 11 est \$4,152,812,084

Range and Average of Financial Assistance:

From \$2,370 to \$876,482,196; Average \$1,420,340.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Department made available \$3.8 billion in operating subsidies for approximately 1.1 million public housing units. Fiscal Year 2010: The Department projects to make available \$4.1 billion in operating subsidies

for approximately 1.1 million public housing units. Fiscal Year 2011: The Department projects to make available \$4.1 billion in operating subsidies for approximately 1.1 million public housing units.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 990, 24 CFR 941, Handbook 7417.1 (Rev.-1) (Public Housing-Development Handbook), Handbook 7465.1 Rev-2. (Public Housing Occupancy: Admission).

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Gregory Byrne, 451 7th Street, S.W., Washington, District of Columbia 20451
Email: gregory.a.byrne@hud.gov Phone: (202) 475-8632.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/am/>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing;
14.867 Indian Housing Block Grants; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.856 LOWER INCOME HOUSING ASSISTANCE PROGRAM SECTION 8 MODERATE REHABILITATION

(Section 8 Housing Assistance Payments Program for Very Low Income Families-Moderate Rehabilitation)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To aid very low income families in obtaining decent, safe and sanitary rental housing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe and sanitary housing for very low income families at rents they can afford. Housing assistance payments are used to make up the difference between the approved rent due to the owner for the dwelling unit and the occupant family's required contribution towards rent. Assisted families must pay the highest of 30 percent of their monthly adjusted family income, 10 percent of gross family income, or the portion of welfare assistance designated for housing toward rent. This program is inactive, i.e., no new projects are being approved.

Applicant Eligibility:

An authorized Public Housing Agency (any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof).

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area adjusted for small and large families). A very low income or, on an exception basis, lower income single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligible tenant family is also eligible.

Credentials/Documentation:

This program is no longer funded for new applications and awards. This

program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No applications for new projects are being accepted.

Award Procedure:

No applications for new projects are being accepted.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Eligible owners may request a one-year Housing Assistance Payments Contract renewal. Requests must be made at least 75 days prior to Contract expiration.

Formula and Matching Requirements:

Statutory Formula: Title 24 CFR.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Under the Moderate Rehabilitation Program, payments may be made for 180 days. Assistance may be extended for 12 months at a time when an eligible owner requests a Housing Assistance Payments Contract renewal. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; and Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Report on Program Utilization, HUD Form 52683; Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Assistance Payments Contract.

Account Identification:

86-0303-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 09 \$176,806,320; FY 10 est \$192,981,394; FY 11 est \$179,000,000

Range and Average of Financial Assistance:

\$3,208 to \$13,372,782; Average \$945,938.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program served 26,872 families. Fiscal Year 2010: The program projects to serve 25,802 families. Fiscal Year 2011: The program projects to serve 25,148 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 882; Section 8 Housing Assistance Payments Program, Existing Housing and Moderate Rehabilitation Processing Handbook, 7420.3; PHA Administrative Practices Handbook for the Section 8 Existing Housing Program, 7420.7; Accounting Handbook 7420.6; Section 8 Rental Certificate, Rental Voucher, and Moderate Rehabilitation Programs Forms, Legal Contracts and Publications, Handbook 7420.7; PIH Notice 2001-13.

Regional or Local Office:

See Regional Agency Offices. The HUD Office listed in the Catalog Address Appendix IV has jurisdiction over the area in which the dwellings are to be located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

<http://www.hud.gov/prodesc/pihindx.html>

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.862 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1974.

OBJECTIVES:

To provide assistance to Indian tribes and Alaska Native villages in the development of viable Indian communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Indian tribes and Alaska Native villages may use block grants to improve the housing stock, provide community facilities, make infrastructure improvements, and expand job opportunities by supporting the economic development of their communities. Activities which are eligible for funding include housing rehabilitation programs, acquisition of land for housing, direct assistance to facilitate homeownership among low and moderate income persons, construction of tribal and other facilities for single or multi-use, streets and other public facilities, and economic development projects particularly those by nonprofit tribal organizations or local development corporations when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Tribes and Alaska Native villages are restricted from using block grants for construction and improvement of governmental facilities, the purchase of equipment, general government expenses, operating and maintenance expenses, political activities, new housing construction (except through community-based development organizations (CBDOs)), and income payments.

Applicant Eligibility:

Any Indian tribe, band, group, nation, or tribal organization, including Alaska Indians, Aleuts, and Eskimos, and any Alaska Native village that is eligible for assistance under the Indian Self-Determination and Education Assistance Act or which had been eligible under the State and Local Fiscal Assistance Act of 1972.

Beneficiary Eligibility:

The principal beneficiaries of ICDBG funds are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income, as determined by HUD, adjusted for family size.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application on forms prescribed by HUD, which describe the community development need and how that need will be addressed by the proposed project. The application must provide sufficient information for the project to be rated against selection criteria.

Award Procedure:

The Office of Native American Programs (ONAP) Area Office is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Limitations, conditions, and requirements specified in NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available until project completion, usually within two years. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

No program reports are required. Grant recipients are required to submit quarterly financial reports. Grant recipients are required to submit annual status and evaluation reports. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-federal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three to five years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Project Grants) FY 09 \$62,740,000; FY 10 est \$64,350,000; FY 11 est

\$65,000,000

Range and Average of Financial Assistance:

\$90,000 to \$5,550,000; Average \$727,766.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program provided funding for housing rehabilitation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in tribal communities. Fiscal Year 2010: The program will provide funding for housing rehabilitation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in tribal communities. Fiscal Year 2011: The program will provide funding for housing rehabilitation, new construction, infrastructure, community facilities, reducing imminent threats, and economic development in tribal communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1003.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Office of Native American Programs (ONAP) Area Office listed in Appendix IV of the Catalog.

Headquarters Office:

Rodger Boyd, 451 7th Street, S.W., Room 4126, Washington, District of Columbia 20410 Email: Rodger.J.boyd@hud.gov Phone: (202) 401-7914.

Website Address:

<http://www.hud.gov/offices/pih/ih/grants/icdbg.cfm>.

RELATED PROGRAMS:

14.867 Indian Housing Block Grants; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be submitted by any eligible tribe or Alaska Native Village which has the capacity to administer a grant. Applications are then rated against factors which measure impact and quality.

14.865 PUBLIC AND INDIAN HOUSING_INDIAN LOAN GUARANTEE PROGRAM

(Loan Guarantees for Indian Housing)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1992, Public Law 102-550, 12 U.S.C 1515z - 13a.

OBJECTIVES:

To provide homeownership opportunities to Native Americans, Tribes, Indian Housing Authorities including Tribally Designated Housing Entities (TDHEs), and Indian Housing Authorities on Indian land, through a guaranteed mortgage loan program available through private financial institutions.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Mortgage loans are for the acquisition or rehabilitation of existing homes, purchase and rehabilitation of a home or construction of a new home; and refinancing of existing debt. The financial institution which originates the mortgage loan must meet certain requirements noted in the law.

Applicant Eligibility:

The loan applicant must be members of a federally recognized Indian tribe, band or community, which includes Native Americans, Alaska Natives, or an Indian Housing Authority including a Tribally Designated Housing Authority (TDHE) or a Tribe which meets certain requirements. Applicant eligibility is validated by current enrollment in a federally recognized tribe.

Beneficiary Eligibility:

The homeowner is the ultimate beneficiary of the program. When the Indian Housing Authority, TDHE or Tribe is the homebuyer, they may then rent the property. In these cases, the person renting the home would be an indirect beneficiary.

Credentials/Documentation:

Loan applicants must provide the lender with documentation on eligibility, assets, income, debts and liabilities to show ability to obtain and repay the mortgage loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer must submit documentation such as pay stubs, W-2 forms (income tax returns if self-employed), evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender verifies the land status of the proposed property.

Award Procedure:

The financial institution obtains and reviews all documentation and forwards it to HUD's Office of Native American Programs. HUD sends the Section 184 Loan Guarantee Firm Commitment Form to the Lender if the mortgage meets the Section 184 Program underwriting criteria. The financial institution is responsible for assuring Tribal eligibility, land status, property acceptability and the creditworthiness of the loan applicant. HUD has responsibility for the approval or disapproval of the loan (the application for loan guarantee). Eligible lenders may originate loans under the Direct Guarantee Program. Direct Guarantee lenders underwrite, approve and close the loan prior to submission to HUD for the Loan Guarantee Certificate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. There are no specific time requirements for the processing of these loans.

Appeals:

From 15 to 30 days. Applicants whose loans are disapproved may appeal the disapproval if the applicant can provide additional documentation to resolve the issues which lead to the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the loan cannot exceed 30 years. The processing time varies from time of application to the time the loan closes, but in general will average from 30 to 120 days unless there are significant land issues. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

Participating financial institutions must provide HUD with copies of loan files and must report to HUD on the status of the loan, once it has closed. No cash reports are required. Progress reports are part of the reporting process described above. Expenditure reports are not applicable. Performance monitoring is also part of the reporting process described above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The lender that originates the loan must maintain a copy of the loan file for 2 years after loan closing. The lender that services the loan must maintain the loan file for the life of the loan plus 3 years.

Account Identification:

86-0223-0-1-371.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$501,538,997; FY 10 est \$919,000,000; FY 11 est \$994,000,000

Range and Average of Financial Assistance:

The average loan amount in FY 2009 was \$165,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program guaranteed 2,401 loans for \$395 million in loan guarantees. Fiscal Year 2010: The program projects to guarantee 2,800 loans for \$462 million in loan guarantees. Fiscal Year 2011: The program projects to guarantee 3,300 loans for \$544.5 million in loan guarantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1005; a Guidebook and literature is available from HUD's Office of Native American Programs.

Regional or Local Office:

None.

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/184>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.866 DEMOLITION AND REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING

(HOPE VI)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriation Act 2009, Public Law 111-8.

OBJECTIVES:

Revitalization Grants enable PHAs to improve the living environment for public housing residents of severely distressed public housing projects through the demolition, substantial rehabilitation, reconfiguration, and/or replacement of severely distressed units; revitalize the sites on which severely distressed public housing projects are located and contribute to the improvement of the surrounding neighborhood; lessen isolation and reduce the concentration of low-income families; build sustainable mixed-income communities; and provide well-coordinated, results-based community and supportive services that directly complement housing redevelopment and that help residents to achieve self-sufficiency, young people to obtain educational excellence, and the

community to secure a desirable quality of life.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

HOPE VI Revitalization grant funds may be used to fund the revitalization of severely distressed public housing developments. Such activities include the demolition of severely distressed public housing developments or portions thereof; relocation costs for affected residents; disposition activities; rehabilitation of existing public housing units and/or community facilities; development of new public and other housing units and community facilities; homeownership activities; acquisition activities; necessary management improvements and administrative costs; and community and supportive services. Up to 15 percent of a grant may be used for community and supportive services activities.

Applicant Eligibility:

For HOPE VI Revitalization Grants, Public Housing Agencies (PHAs) operating public housing units are eligible to apply. Indian Housing Authorities and PHAs that only administer the Section 8 Program are not eligible to apply.

Beneficiary Eligibility:

For HOPE VI Revitalization Grants, the ultimate beneficiaries are residents of the severely distressed public housing and residents of the revitalized development.

Credentials/Documentation:

Eligibility requirements to apply for a HOPE VI grant are included in each year's Notice of Funding Availability (NOFA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicant indicates on the SF-424 (Application for federal assistance) whether they are subject to E.O 12372 or not. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For FY 2009, the issuance of the NOFA is announced in the Federal Register. The NOFA and all necessary application materials are made available on the Grants.gov website and the HUD website.

Award Procedure:

HUD Headquarters reviews each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest-rated applications are notified that they have been selected to participate in the HOPE VI program. Funds are obligated when HUD and the applicant execute a form HUD-1044, "Assistance Award/Amendment".

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 3 months from the application deadline date.

Appeals:

Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: 1. Overall match of 5 percent.

2. Community and Supportive Services (CSS) match: if applicants are requesting over 5% of the grant to be used for CSS, they must provide match for that amount that exceeds the 5% (note: per statute at most, 15% of the total grant may be used for CSS).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each year's grant agreement sets out the requirements regarding timetables and milestones. See the following for information on how assistance is awarded/released: Following execution of the HUD-1044 obligating document, HUD approves (over the life of the grant) budget requests from the grantee, releasing funds through HUD's financial system - Line of Credit Control System (LOCCS).

Reports:

No program reports are required. No cash reports are required. Grantees report quarterly into the HOPE VI Quarterly Progress Reporting system. This system is the central data source for the HOPE VI program on an individual grantee and aggregate level. Grantees report on such things as demolition, relocation, unit production, occupancy and financial information. HUD uses this system in order to develop its Annual Report to Congress on HOPE VI, and for other reporting purposes. The HOPE VI Quarterly Progress Reporting system includes extracts from LOCCS data on grant obligation and expenditures. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. HOPE VI Revitalization grants are audited in conjunction with regular HUD or independent public accountant audits of PHA operations in accordance with 24 CFR 85.26, and after grant close-out.

Records:

Copies of budgets and accumulative cost records through the grant period, and other records necessary to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604.

Obligations:

(Project Grants) FY 09 \$117,600,000; FY 10 est \$123,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$10,000,000 to \$30,000,000; Average \$10,293,444.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The HOPE VI Revitalization program demolished 3,403 units and completed 8,257 new and rehabilitated units. Unit production had been completed for a cumulative 109 HOPE VI grants awarded from fiscal years 1993-2004. Fiscal Year 2010: The HOPE VI Revitalization program projects to demolish 680 units and complete 8,000 new and rehabilitated units. The program projects ensuring that unit production is complete for 117 HOPE VI grants awarded from FY1993 through FY2004. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been published. Each grant is subject to the terms of its NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance to its Home Page (<http://www.hud.gov/offices/pih/programs/ph/hope6/>) which provides Grantees with information on timelines, budgets, financial instructions, and other program guidance. HUD also publishes a Mixed-Finance Guidebook that is available to the public by calling (800) 955-2232.

Regional or Local Office:

None. The level of field office involvement in the administration of a project varies grant-by-grant. All HOPE VI Demolition grants are administered in the Field, and most Revitalization grants are administered in Headquarters. Applicants should contact the HUD Field Office listed on the internet at <http://www.hud.gov/local/> that has jurisdiction for their area.

Headquarters Office:

Leigh vain Rij, 451 7th Street, S.W., Room 4130, Washington, District of Columbia 20410 Email: leigh.e.vanrij@hud.gov Phone: (202) 402-5788.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/hope6/>

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.867 INDIAN HOUSING BLOCK GRANTS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Reauthorization Act 2008, Public Law 110-411.

OBJECTIVES:

To provide Federal assistance for Indian tribes in a manner that recognizes the right of tribal self-governance, and for other purposes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Affordable housing activities such as: Indian Housing Assistance; Development; Housing Services; Housing Management Services; Crime Prevention and Safety Activities; and Model Activities. IHBG program activities are restricted to those listed in subpart B of the regulations at 24 CFR, part 1000.

Applicant Eligibility:

Indian tribes or tribally designated housing entities (TDHE) (to be eligible for Indian housing block grant funds the tribe or TDHE must submit an Indian housing plan that is in compliance with the requirements of Section 102 of NAHASDA).

Beneficiary Eligibility:

Primarily low-income families and in limited cases, over-income families may be eligible.

Credentials/Documentation:

Recipients shall comply with requirements and standards of OMB Circular No. A-133, and specified sections of 24 CFR 85. A Self-governance Indian tribe (Public Law 93- 638) may certify that its administrative requirements meet or exceed the comparable requirements of OMB Circular No. A-133 and 24 CFR 85. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The environmental effects of each activity must be evaluated in accordance with the National Environmental Policy Act and 24 CFR parts 50 and 58. An environmental review does not have to be completed prior to HUD approval of an Indian Housing Plan. Both an impact statement or an impact assessment may be required. In some circumstances a project could be exempted from either or both. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

None.

Deadlines:

Jul 01, 2010 Indian housing plans must be submitted prior to July 1st of each Federal Fiscal Year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indian Housing Block Grant funds are drawn down from the Treasury based on need using an electronic fund transfer disbursement and information system. Within two years of grant award, no less than 90 percent of the grant must be obligated. See the following for information on how assistance is awarded/released: Upon execution of grant agreements, funds are drawn from HUD's financial system as needed.

Reports:

The Indian Housing Block Grant program requires an Annual Performance Report. No cash reports are required. Progress reports are a required part of the Annual Performance Report. In addition, expenditure reports should be included in the Annual Performance Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grants files are maintained by HUD for one year after grant close out and destroyed six years after the grant close out pursuant to the HUD Records and Disposition Handbook.

Account Identification:

86-0218-0-1-604.

Obligations:

(Formula Grants) FY 09 \$640,943,926; FY 10 est \$690,250,000; FY 11 est \$572,200,000

Range and Average of Financial Assistance:

\$49,915 to \$84,791,419; Average \$1,737,486.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Native American Housing Block Grant (NAHBG) program assisted 5,936 homeowners by either building, acquiring, or substantially rehabilitating their homes. This program is projected to assist 1,410 families by either building, acquiring, or substantially rehabilitating rental homes. It projects to build 1,938 new units (rental and homeownership units) to relieve overcrowding in tribal communities. Fiscal Year 2010: Native American Housing Block Grant (NAHBG) program is projected to assist 4,415 homeowners by either building, acquiring, or substantially rehabilitating their homes. This program is projected to assist 1,380 families by either building, acquiring, or substantially rehabilitating rental homes. It projects to build 1,500 new units (rental and homeownership units) to relieve overcrowding in tribal communities. Fiscal Year 2011: Native American Housing Block Grant (NAHBG) program is projected to assist 3,970 homeowners by either building, acquiring, or substantially rehabilitating their homes. This program is projected to assist 1,241 families by either building, acquiring, or substantially rehabilitating rental homes. It projects to build 1,350 new units (rental and homeownership units) to relieve overcrowding in tribal communities.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 1000.

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Administrator, Office of Native American Programs at the appropriate area office (see Catalog Address Appendix IV for HUD Office of Native American Programs area office addresses in Chicago, Denver, Phoenix, Seattle, Oklahoma City and Anchorage).

Headquarters Office:

Rodger Boyd, 451 7th Street, S.W., Room 4126, Washington, District of

Columbia 20410 Email: Rodger.J.boyd@hud.gov Phone: (202) 401-7914.

Website Address:

<http://www.hud.gov/offices/pih/ih/grants/ihbg.cfm>

RELATED PROGRAMS:

14.862 Indian Community Development Block Grant Program; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.869 TITLE VI FEDERAL GUARANTEES FOR FINANCING TRIBAL HOUSING ACTIVITIES

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Native American Housing Assistance and Self-Determination Reauthorization Act (NAHASDA) of 2008, Public Law 110-411.

OBJECTIVES:

To assist an Indian tribe or Tribally Designated Housing Entity (TDHE) to obtain financing for affordable housing activities under Title II of NAHASDA where an obligation cannot be completed without such guarantee.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Limited to the eligible affordable housing activities listed in Section 202 of the NAHASDA to include Indian Housing Assistance, Development, Housing Services, Housing Management Services, Crime Prevention and Safety Activities, and Model Activities approved by the Secretary.

Applicant Eligibility:

The applicant must be a Federally recognized Indian tribe or TDHE that is either a beneficiary or recipient of Indian Housing Block Grants (IHBG) funds.

Beneficiary Eligibility:

Indian tribes and their members are the beneficiaries. A TDHE acts on behalf of a tribe, as authorized by Tribal and TDHE resolutions.

Credentials/Documentation:

The applicant must provide the lender the documentation detailed in the "Title VI Application Process," including the certifications required under 24 CFR Section 1000.424(d) that the applicant possesses the legal authority to pledge, and if approved, the applicant will make the pledge of IHBG funds required by section 602(a)(2) of NAHASDA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible lenders are detailed in the statute. The lender assembles the Title VI application package and submits it to HUD. HUD will review the application for compliance with Title VI of NAHASDA and the implementing regulations.

Award Procedure:

HUD issues a Letter of Firm Commitment to the lender when HUD approves the Title VI application and the mortgage meets the Title VI Program underwriting criteria. The lender then closes the loan and submits the closing package to HUD for review. Following a successful review, HUD issues a Certificate of Loan Guarantee to the lender.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. The Title VI regulation at 24 CFR 1000.430(a) states that HUD will make every effort to approve an application within 30 days of the receipt of a completed application, and if HUD is unable to do so, will notify the lender (the applicant via the lender) within the 30 day time frame of the need for additional time to process the application and/or if additional information is required.

Appeals:

From 15 to 30 days. HUD will notify the lender in writing that the application has been reduced or disapproved and the specific reasons for the reduction or the disapproval. The lender may submit additional documentation to reverse the HUD decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 5%. Borrowers may be required to pledge security in addition to IHBG funds to secure the remaining 5 percent of the loan balance that is not secured by the 95 percent federal loan guarantee.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of the loan may exceed 20 years, as approved by HUD. The lender and applicant shall determine the terms of the repayment for each project, subject to HUD's approval. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

The lender will provide HUD a quarterly report on the guaranteed loan, including the status of the guaranteed loan, the current principal balance, and the amount collected during the quarter just ended. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Participating lenders and closed loan files are reviewed to assure compliance with the program's requirements.

Records:

Copies of loan files are maintained by HUD. The originating lender must maintain a copy of the loan file for 2 years after loan is guaranteed. The servicing lender must maintain a loan file for the line of the loan plus 3 years.

Account Identification:

86-0313-0-1-604.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$7,921,789; FY 10 est \$18,000,000; FY 11 est \$20,000,000

Range and Average of Financial Assistance:

A borrower's guaranteed obligations may not exceed an amount equal to the borrower's IHBG, less the amount needed to operate and maintain current assisted stock (CAS), times five (IHBG - CAS X 5 = maximum guaranteed amount).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 6 loan guarantees of \$12.8 million were issued. Fiscal Year 2010: In fiscal year 2010, the Department anticipates that 10 loans totaling \$14 million will be guaranteed. Fiscal Year 2011: In fiscal year 2011, the Department anticipates that 10 loans totaling \$14 million will be guaranteed.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000, subpart E; "The Title VI Application Process" and promotional literature are available from HUD's National Program Office of Native American Programs, Office of Loan Guarantee; and the Title VI web page (see the address below).

Regional or Local Office:

None. (see Headquarters Office below).

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978.

Website Address:

<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>

RELATED PROGRAMS:

14.865 Public and Indian Housing_Indian Loan Guarantee Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No current data available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Title VI and Section 202 of NAHASDA; criteria is detailed in the "The Title VI Application Process" available from HUD's National Program Office, Office of Loan Guarantee, and on the Title VI web site (<http://www.hud.gov/offices/pih/ih/homeownership/titlevi/>).

14.870 RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES - SERVICE COORDINATORS

(ROSS Service Coordinators)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To programmatically address the needs of public housing residents by providing service coordinator positions to coordinate supportive services, resident empowerment activities and/or assisting residents in becoming economically self-sufficient or age-in-place.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for the salary of Service Coordinator positions, with some administrative and training funds. Only residents of conventional public housing may benefit from this program.

Applicant Eligibility:

Public Housing Authorities (PHAs), Tribes and tribally designated housing entities (TDHEs), resident councils and nonprofit entities supported by residents. Applicants must establish partnerships to leverage resources.

Beneficiary Eligibility:

Individuals, families, children, youth, adults as well as elderly/persons with disabilities who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Notice of Funding Availability (NOFA) prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the

application and standard forms for this program.

Award Procedure:

HUD will review applications in accordance with selection criteria in the NOFA published in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Processing of applications and selection of successful applicants will be undertaken by HUD. HUD will make the final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 3-year time period. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are drawn by grantees through HUD's financial system.

Reports:

Grantees must keep program records and submit annual reports as specified by HUD. No cash reports are required. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees must adhere to the policies, guidelines and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; OMB Circulars A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 09 \$28,000,000; FY 10 est \$35,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Generally between \$240,000 - \$720,000 (3 years).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Program awarded 91 grants. Fiscal Year 2010: The program anticipates to award 110-120 grants thru the NOFA. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines.

Regional or Local Office:

None. Designated persons in the Offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Anice Schervish, 451 7th Street, SW, Room 4130, Washington, District of Columbia 20410 Email: anice.m.schervish@hud.gov Phone: (202) 402-2341.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/ross/>

RELATED PROGRAMS:

14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.871 SECTION 8 HOUSING CHOICE VOUCHERS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937; Omnibus Appropriations Act of 2009, Public Law 111-8.

OBJECTIVES:

To aid very low income families in obtaining decent, safe, and sanitary rental housing. For Fair Share Vouchers and Welfare-to-Work Vouchers, Public Housing Agencies (PHA) must meet certain selection criteria. For Welfare-to-Work rental vouchers, families must also meet special welfare-to-work criteria. HUD regulations merged the former Section 8 Rental Voucher program (14.855) with the former Section 8 Certificate program (14.857). Section 502 of the Public Housing Reform Act states that a purpose of the legislation is "consolidating the voucher and certificate programs for rental assistance under Section 8 of the United States Housing Act of 1937 (the "USHA") into a single market-driven program that will assist in making tenant-based rental assistance more successful at helping low-income families obtain affordable housing and will increase housing choice for low-income families".

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and, a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Very low income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and larger families) and, on an exception basis, lower income families (whose income does not exceed 80 percent of the median income for the area, adjusted for smaller and larger families). At least 75 percent of families admitted to the voucher program during the PHA fiscal year must be extremely low income families (whose income does not exceed 30 percent of the median income for the area).

Credentials/Documentation:

In the Housing Choice Voucher Program, the PHA must support its application by furnishing data that the program proposed is responsive to the condition of the housing stock in the community and the housing assistance needs of very

low income families (including the elderly, handicapped and disabled, large families and those displaced) residing in the community. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process. Welfare-to-Work vouchers were awarded through a national competition in fiscal year 1999.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD (subject to appropriations).

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

New funding is provided for 12 months. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B; and Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 09 \$13,801,359,025; FY 10 est \$15,679,229,784; FY 11 est \$16,585,004,399

Range and Average of Financial Assistance:

\$7,994 to \$859,303,287; Average \$6,862,492.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program served 2.1 million families. Estimates are based on the Department's end of year leasing projections as of March, 2010. Fiscal

Year 2010: The program projects to serve 2.16 million families. Estimates are based on the Department's end of year leasing projections as of March, 2010. Fiscal Year 2011: The program projects to serve 2.2 million families. Estimates are based on the Department's end of year leasing projections as of March, 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Rental Certificate, Rental Voucher and Moderate Rehabilitation Programs Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.872 PUBLIC HOUSING CAPITAL FUND

(CFP)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended; Quality Housing and Work Responsibility Act of 1998, Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

The Capital Fund provides funds annually to Public Housing Agencies (PHAs) for capital and management activities, including modernization and development of public housing. The Capital fund also permits PHAs to use Capital Funds for financing activities, including payments of debt service and customary financing costs, in standard PHA developments and in mixed-finance developments which include Public Housing.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds may be used for the development, financing and modernization of public housing developments and for management improvements. The funds may not be used for luxury improvements, direct social services, costs funded by other programs, and ineligible activities as determined by HUD on a case-by-case basis. Assistance must be used to modernize and/or develop public housing and must comply with the eligible activities of Section 9(d) of the U.S. Housing Act of 1937.

Applicant Eligibility:

The PHA must demonstrate that it has the legal authority to develop, own, modernize and operate a public housing development in accordance with the 1937 Act.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must submit the following documents with their PHA Plan which includes a component for the Capital Fund Program: Form HUD-50075, PHA Certification of Compliance with The PHA Plan and Related Regulations; State or Local Certification of Consistency with the Consolidated Plan; Form

HUD-5070, Certification of a Drug-Free Workplace; Form HUD-50071, Certification of Payments To Influence Federal Transactions; and Standard Form SF-LLL and SF-LLLa, Disclosure of Lobbying Activities (where applicable). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. PHAs annually submit a PHA Plan to the local HUD Field Office that consolidates information submitted by the PHA for various HUD programs into one document. The CFP is one component of the Plan.

Award Procedure:

The Field Office reviews and approves the PHA Plan, which includes information relative to the CFP.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The Field Office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 24, Part 905, Subpart 10.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The statute requires that CFP funds allocated to a PHA be fully obligated within two years and expended in four years unless the Secretary extends the time period. Method of awarding/releasing assistance: lump sum.

Reports:

PHAs must provide performance and evaluation reports annually for all open grants. Cash reports are not applicable. Progress reports are also part of the performance and evaluation reports. PHAs are required to report on expenditures monthly in HUD's financial system. Performance monitoring is also part of the performance and evaluation reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The CFP is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-604.

Obligations:

(Formula Grants) FY 09 \$1,955,858,204; FY 10 est \$1,959,681,774; FY 11 est

\$1,653,562,294

Range and Average of Financial Assistance:

\$315 to \$434,798,661; Average: \$672,212.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Department made available \$1.95 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2010: The Department will make available \$1.96 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects. Fiscal Year 2011: The Department will make available \$1.65 billion to approximately 3,100 grantees for modernization, improvement, and new development to Public Housing projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905.

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Jeffrey Riddel, 451 7th St. SW, Room 4130, Washington, District of Columbia 20410 Email: Jeffrey.Riddel@hud.gov Phone: (202) 708-1640.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund/index.cfm>.

RELATED PROGRAMS:

14.884 Public Housing Capital Fund Competitive (Recovery Act Funded)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.873 NATIVE HAWAIIAN HOUSING BLOCK GRANTS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Hawaiian Homelands Homeownership Act of 2000; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To provide housing assistance to low-income Native Hawaiian families who are eligible to reside on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible affordable housing activities are development, housing services, crime prevention and safety activities, and model activities. NHHBG funds may only be used for eligible activities that are consistent with the Department of Hawaiian Home Lands housing plan.

Applicant Eligibility:

The Department of Native Hawaiian Home Lands (DHHL) is the only eligible grant recipient.

Beneficiary Eligibility:

Native Hawaiian families who are eligible to reside on the Hawaiian Home Lands.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Housing Plan.

Award Procedure:

Native Hawaiian Housing Block Grant funds are provided to the Department of Hawaiian Home Lands (DHHL), the sole eligible grant recipient.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grant funds are made available on an annual basis, subject to annual congressional appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants. See the following for information on how assistance is awarded/released: Upon execution of grant agreement, funds are drawn from HUD's financial system.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Annual performance reports to HUD.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Compliance with the Single Audit Act and OMB Circular A-133.

Records:

Grant files are maintained by HUD for one year after grant closeout and destroyed six years after grant closeout pursuant to the HUD Records Disposition Handbook.

Account Identification:

86-0235-0-1-604.

Obligations:

(Project Grants) FY 09 \$10,267,594; FY 10 est \$11,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Average \$10 million per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Through this program, the grant recipient assisted 49 homeowners by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2010: Through this program, the grant recipient projects to assist 65 homeowners by either building, acquiring, or substantially rehabilitating their homes. Fiscal Year 2011: Through this program, the grant recipient projects to assist 65 homeowners by either building, acquiring, or substantially rehabilitating their homes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Rule published June 13, 2002. Final regulations effective December 29, 2003.

Regional or Local Office:

None.

Headquarters Office:

Claudine Allen, 500 Ala Moana Boulevard, Suite 3A, Honolulu, Hawaii 96813
Email: Claudine.C.Allen@hud.gov Phone: (808) 522-8175 Ext. 223.

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/nhhbgprogram.cfm>.

RELATED PROGRAMS:

14.867 Indian Housing Block Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.874 LOAN GUARANTEES FOR NATIVE HAWAIIAN HOUSING

(Section 184A)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

American Homeownership and Economic Opportunity Act of 2000, Section 514, Public Law 106-569.

OBJECTIVES:

To provide eligible Native Hawaiian families with greater access to private mortgage resources by guaranteeing loans for one-to four family housing located on Hawaiian Home Lands.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

A loan guaranteed under this part may only be used to construct, acquire, or rehabilitate eligible housing. The housing must be located on Hawaiian Home Lands for which a housing plan has been determined by HUD to be in compliance with regulations.

Applicant Eligibility:

A Native Hawaiian family; the Department of Hawaiian Home Lands; the Office of Hawaiian Affairs; a private nonprofit organization experienced in the planning and development of affordable housing for Native Hawaiians.

Beneficiary Eligibility:

Native Hawaiian homeowners are the beneficiaries of the program.

Credentials/Documentation:

Individual Loan Applicants must meet the definitions of Native Hawaiian and provide the eligible lender with documentation of that eligibility, assets, income, debts and liabilities to show ability to obtain and repay the loan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted to eligible financial institutions. With the loan application, the homebuyer submits documentation such as pay stubs, W-2 forms, tax returns, evidence of assets such as bank accounts, and any other documentation requested by the lender to support the applicant's ability to obtain and repay the mortgage. The lender will verify the eligibility of the land status.

Award Procedure:

The financial institution obtains and reviews all documentation and submits it to HUD. HUD is responsible for ensuring the individual applicants Native Hawaiian eligibility, land status per Section 204 of the Hawaiian Homes Commission Act, housing acceptability, and the creditworthiness of the applicant. HUD has responsibility for the approval or disapproval of the

applicant and the issuance of the loan guarantee. If the loan guarantee funds are available, a firm commitment is issued to the lender. Firm commitments are valid for a period not to exceed 90 days from issuance.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. HUD may approve a loan for guarantee under this part and issue a certificate under this section only if HUD determines that there is a reasonable prospect of repayment of the loan.

Appeals:

From 15 to 30 days. Applicants whose loans are disapproved may appeal the disapproval. Additional documentation to resolve the issues which led to the disapproval may be required.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The loan term shall not exceed thirty years. Processing time of the individual loans will depend on the quality of the lenders submittal and HUD's ability to ascertain proper land and lease status. See the following for information on how assistance is awarded/released: This is a loan guarantee program. Therefore assistance is given in the form of a guarantee. No funds are awarded or released unless there is a default on a guaranteed loan.

Reports:

Lenders will be required to report loan delinquencies on a monthly basis. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Participating lenders will be subject to review and closed loan files are subject to review to assure compliance with the requirements of Section 184A.

Records:

Copies of loan files will be maintained by HUD. The originating lender must maintain copies of the guaranteed loan file for two years after loan closing. The servicing lender must maintain the loan file for the life of the loan plus three years.

Account Identification:

86-0233-0-1-604.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$14,111,848; FY 10 est \$41,504,000; FY 11 est \$41,504,000

Range and Average of Financial Assistance:

The average loan amount in FY 2009 was \$210,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 16 loans have been guaranteed for homes on Hawaiian Home Lands. Fiscal Year 2010: The program projects to guarantee 17 loans. Fiscal Year 2011: The program projects to guarantee 50 loans.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1007.

Regional or Local Office:

None.

Headquarters Office:

Thomas C. Wright, 451 7th Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Thomas.C.Wright@hud.gov Phone: (202) 402-4978.

Website Address:

<http://www.hud.gov/offices/pih/ih/codetalk/onap/program184a.cfm>

RELATED PROGRAMS:

14.865 Public and Indian Housing_Indian Loan Guarantee Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.877 PUBLIC HOUSING FAMILY SELF-SUFFICIENCY UNDER RESIDENT OPPORTUNITY AND SUPPORTIVE SERVICES

(Public Housing Family Self-Sufficiency (PH FSS))

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

The Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To promote the development of local strategies to coordinate the use of assistance under the Public Housing program with public and private resources to enable participating families to achieve economic independence and housing self-sufficiency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to pay the salary and fringe benefits of a program coordinator. Only residents of conventional public housing may benefit from this program.

Applicant Eligibility:

Public Housing Authorities (PHAs) and Tribes and tribally designated housing entities (TDHEs).

Beneficiary Eligibility:

Individuals and families who are residents of conventional public or Indian housing are eligible to receive benefits from the ROSS program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Notice of Funding Availability (NOFA) prescribing the specific information to be submitted will be published in the Federal Register by HUD Headquarters. HUD provides the application and standard forms for this program.

Award Procedure:

HUD will review those applications in accordance with the NOFA published in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. HUD will undertake processing of applications and selection of successful applicants. HUD will make final selection and obligate funds.

Appeals:

There is no appeals process. Applicants whose applications did not receive funding may request a debriefing. Further information is included in the NOFA.

Renewals:

From 120 to 180 days.

Formula and Matching Requirements:

Statutory Formula: Chapter 24, Part 984.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

HUD will provide assistance for a 1-year time period. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

Grantees must keep program records and submit annual reports as specified by HUD. No cash reports are required. Grantees must keep progress reports and submit annual reports as specified by HUD. Grantees must keep expenditure reports and submit annual reports as specified by HUD. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees must adhere to the policies, guidelines, and requirements of 24 CFR Part 84 or 85 and OMB Circular No. A-87; OMB Circulars A-110 and A-122 apply to the acceptance and use of assistance by private nonprofit agencies.

Records:

Records will be retained in accordance with the HUD regulation, 24 CFR Part 84 or 85.

Account Identification:

86-0304-0-1-604.

Obligations:

(Project Grants) FY 09 \$12,000,000; FY 10 est \$15,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$15,116 to 236,110; Average 51,638.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: NOFA was published and 232 grants were awarded through this program. Fiscal Year 2010: The program anticipates to award approximately 200 grants through NOFA. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

HUD appropriation statutes and the specific fiscal year NOFA published in the Federal Register provide program requirements and guidelines. See also 24 CFR 984

Regional or Local Office:

None. Designated personal in the offices of Public Housing and Native American Programs listed in Appendix IV of the Catalog.

Headquarters Office:

Anice Schervish, 451 7th St. SW, Room 4238, Washington, District of Columbia 20410 Email: anice.m.schervish@hud.gov Phone: (202) 402-2341.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/ross/>

RELATED PROGRAMS:

14.870 Resident Opportunity and Supportive Services - Service Coordinators

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be listed in the NOFA.

14.878 AFFORDABLE HOUSING DEVELOPMENT IN MAIN STREET REJUVENATION PROJECTS

(Main Street)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

HOPE VI Program Reauthorization and Small Community Mainstreet Rejuvenation and Housing Act of 2003, Public Law 108-186.

OBJECTIVES:

To assist small communities with populations of 50,000 or less and 100 Public Housing units or less in the rejuvenation of historic or traditional central business districts or "Main Street Areas" by replacing unused commercial space in buildings with Affordable housing units. The objectives of the program are to: (1) Redevelop Main Street Areas; (2) Preserve historic or traditional architecture or design features in Main Street Areas; (3) Enhance economic development efforts in Main Street Areas; and (4) Provide affordable housing in Main Street Areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Main Street grant funds may be used to rehabilitate or develop new affordable housing that is included in a Main Street rejuvenation effort. Main Street housing units must be maintained as affordable for the initial residents only. Each resident (or family) must have an adjusted income of 80 percent or less of the area median income. Allowable activities that may be funded by a Main Street grant include: development of new housing units; rehabilitation of non-habitable existing housing units; acquisition activities; homeownership activities; necessary management improvement costs; relocation costs for affected residents; and community and supportive services. Main Street grant funded activities are limited to: affordable housing development that is part of an existing Main Street rejuvenation effort; development cost up to a maximum of HUD's Total Development Cost for public housing units; maximum fees and soft costs listed in HUD's public housing Safe Harbor Cost Controls; and a maximum of 15 percent of the grant amount to support community and supportive services activities. Main Street grant funds must NOT be used to develop or replace public housing or to repair existing habitable housing.

Applicant Eligibility:

Eligible applicants include, and are limited to, Units of Local Government ("Local Government") that are subdivisions of State governments, and other governments listed in Section 102 of the Housing and Community Development Act of 1974. The jurisdiction of the Local Government must contain a population of no more than 50,000. The Local Government must either have no Public Housing Agency (PHA) or a PHA that administers no more than 100 public housing units.

Beneficiary Eligibility:

The beneficiaries are low-income families that occupy the newly developed affordable housing, and the local community that is benefiting from the Main Street rejuvenation project.

Credentials/Documentation:

Eligibility requirements to apply for a Main Street grant are included in each year's Notice of Funding Availability (NOFA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A NOFA is published in the

Federal Register announcing the availability of funds and referring applicants to application materials available from Grants.gov at <http://www.grants.gov>. Applications must be submitted electronically to Grants.gov. Further information on registration into Grants.gov can be obtained on HUD's grants website at <http://www.hud.gov/grants>.

Award Procedure:

HUD Headquarters reviews each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest-rated applications are notified that they have been selected to receive a Main Street grant. Funds are obligated when HUD and the applicant execute a form HUD-1044, "Assistance Award/Amendment".

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 15 to 30 days. Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 5%. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants have a term of 30 months. The applicant must include its program schedule in the application. See the following for information on how assistance is awarded/released: After execution of the grant agreement, pre-development funds are made available. Upon HUD approval of the development proposal and the beginning of construction, the remainder of funds are released.

Reports:

No program reports are required. No cash reports are required. Quarterly reports on production and annual accomplishment reports are required. Program funds are tracked in HUD's financial system and leveraged funds are self reported by the grantees on a quarterly basis. The grant manager visits the sites after execution of the grant agreement and then annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Main Street grants are audited with regular audits of the unit of local government and after grant close-out.

Records:

Grantees should keep copies of budgets and accumulative cost records through the grant period, along with other records necessary to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604.

Obligations:

(Project Grants) FY 09 \$4,000,000; FY 10 est \$4,000,000; FY 11 est \$4,000,000

Range and Average of Financial Assistance:

The statutory maximum of each grant is \$1,000,000 and in recent years all grants have been for the maximum amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Based on the application history, approximately half of the Main Street grants will fund the development of housing units in small, single building projects. The other half of the grants will be added to the

mixed-finance funding of larger developments of up to 75 units. Fiscal Year 2010: In FY 2010, based on the application history, approximately half of the Main Street grants will fund the development of housing units in small, single building projects. The other half of the grants will be added to the mixed-finance funding of larger developments of up to 75 units. Fiscal Year 2011: In FY 2011, based on the application history, approximately half of the Main Street grants will fund the development of housing units in small, single building projects. The other half of the grants will be added to the mixed-finance funding of larger developments of up to 75 units.

REGULATIONS, GUIDELINES, AND LITERATURE:

Authorizing legislation in Section 24 of the 1937 Housing Act is extremely detailed. No regulations have been published. Main Street housing development is processed using HUD's Office of Public and Indian Housing Mixed-finance regulations detailed in 24 CFR 941.606, or HOPE VI homeownership regulations under Section 24 of the 1937 Act. Each grant is subject to the terms of its NOFA and Grant Agreement, which is the contract signed by the Grantee and HUD. HUD posts guidance on its Main Street website at

<http://www.hud.gov/offices/pih/programs/ph/hope6/grants/mainstreet/> with links to Main Street's most recent NOFA, program summaries, general guidance materials, mixed-finance guidance materials, and relevant statutes, regulations and notices. This web site provides Grantees with information on timelines, budgets, financial instructions, and other program guidance.

Regional or Local Office:

None. All Main Street grants are administered at Headquarters by the Office of Public Housing Investments (OPHI). The level of field office involvement in the administration of Main Street grants is limited to issuance of the required environmental review (24 CFR Part 50), and construction inspections. Grantees should contact OPHI at (202) 401-8812, until a HUD Grant Manager is assigned. Grantees should also contact the HUD Field Office Public Housing Division that has jurisdiction for their area. Local Public Housing Divisions are listed on the internet at <http://www.hud.gov/offices/pih/about/focontacts.cfm>.

Headquarters Office:

Lawrence Gnessin, 451 7th Street, S.W., Room 4130, Washington, District of Columbia 20410 Email: Lawrence.gnessin@hud.gov Phone: (202) 401-8812.

Website Address:

<http://www.hud.gov/mainstreet>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As published in each NOFA.

14.879 MAINSTREAM VOUCHERS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Cranston Gonzalez National Affordable Housing Act; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To aid persons with disabilities (elderly and non-elderly) in obtaining decent, safe, and sanitary rental housing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income. Mainstream Vouchers can be provided to only elderly and non-elderly disabled

families.

Applicant Eligibility:

Public housing agencies (PHA) that is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area and non-profit organization that provide services to the disabled as defined in 42 U.S.C.

Beneficiary Eligibility:

Disabled family that is income-eligible under the Housing Choice Voucher program regulations at 24 CFR 982.201(b)(1) as well as other wise eligible under the regulations at 24CFR 982.201, may receive a voucher awarded on the Mainstream Program Applicants with disabilities must be selected from the PHA's or nonprofit organization's housing choice voucher waiting list.

Credentials/Documentation:

In the Mainstream Voucher Program, the PHA or non-profit organization must demonstrate in its application a need for these vouchers by providing information documenting that the demand for housing for non-elderly and elderly persons with disabilities would equal or exceed the requested number of vouchers. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Application for Housing Assistance Payments Program (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

However, after the assistance awards are publicly announced, HUD will provide a debriefing, if requested, to unsuccessful applicants.

Renewals:

Expiring increments of Mainstream voucher program assistance are automatically renewed by HUD.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

New funding is provided for 5 years. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Supporting Data for Annual Contributions Estimates, HUD Form 52672; Estimate of Total Required Annual Contributions, HUD Form 52673; Requisition for Partial Payment of Annual Contributions, HUD Form 52663; Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B; and Family Report, HUD Form 50058 and Logic Model, HUD Form 96010. Cash reports are not applicable. Progress reports are

not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681 and 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Mainstream/Housing Choice Voucher Contract.

Account Identification:

86-0237-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 09 \$90,953,919; FY 10 est \$89,374,233; FY 11 est \$142,597,931 - Although renewal funding has been provided by Congress annually, no incremental (new) funding for this program has been provided since FY 2005.

Range and Average of Financial Assistance:

\$8,112 to \$2,453,775; Average \$545,028.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program served 14,811 families. Fiscal Year 2010: The program anticipates serving 14,847 families. Fiscal Year 2011: The program anticipates serving 14,886 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Housing Choice Voucher Program, Form, Legal Contracts, and Publications Handbook, 7420.8; and the Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

http://www.hud.gov/offices/pih/programs/hcv/about/fact_sheet.cfm.

RELATED PROGRAMS:

14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See NOFA.

14.880 FAMILY UNIFICATION PROGRAM (FUP)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Section 8 (x) of the United States Housing Act of 1937; Omnibus Appropriations Act 2009, Public Law 111-8.

OBJECTIVES:

To aid: (1) families for whom the lack of adequate housing is the primary factor in the separation, or the threat of imminent separation, of children from their families, and; (2) youths ages 18-21 years old who left foster care at age 16 or older and lack adequate housing. FUP- eligible families and youths may use these Housing Choice Voucher (HVC) to obtain decent, safe, and affordable

housing units in the private rental market.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides housing assistance payments to participating owners on behalf of eligible tenants to provide decent, safe, and sanitary housing for very low income families at rents they can afford. Housing assistance payments are generally the difference between the local payment standard and 30 percent of the family's adjusted income.

Applicant Eligibility:

Applicants are limited to public housing agencies. A public housing agency (PHA) is defined as any State, county, municipality or other governmental entity or public body (or agency or instrumentality thereof) which is authorized to engage in or assist in the development or operation of housing for very low income families; and, a consortium of PHAs; any other nonprofit entity that was administering a Section 8 tenant-based program on October 21, 1998; or, for an area outside the jurisdiction of a PHA administering a voucher program, a private nonprofit entity or a governmental entity or public body that would otherwise lack jurisdiction to administer the program in such area.

Beneficiary Eligibility:

Families and youths that are income eligible under the HCV program regulations at 24 CFR 982.201 may receive a voucher awarded under the FUP. Families and youths must be referred to the PHA from the local Child Welfare Agency (CWA).

Credentials/Documentation:

For FUP, the PHA must provide a Memorandum of Understanding (MOU), executed by the chief executive officer of the PHA and the Public Child Welfare Agency (PCWA). The MOU must identify the actions that the PHA and the PCWA will take to identify and assist FUP-eligible families and/or FUP-eligible youths, and the resources for supportive services each organization will commit. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of Funding Application, Section 8 Tenant-Based Assistance (Form HUD-52515).

Award Procedure:

The HUD Grants Management Center generally makes the final decision based on the results of the rating and ranking process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

However, after the assistance awards are publicly announced, HUD will provide a debriefing, if requested, to unsuccessful applicants.

Renewals:

Expiring increments of Section 8 housing choice voucher assistance are automatically renewed by HUD (subject to appropriations).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

New funding is provided for 12 months. See the following for information on how assistance is awarded/released: Funds are disbursed monthly.

Reports:

Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B; and Family Report, HUD Form 50058. Cash reports are not applicable. Progress reports are not applicable. Voucher for Payment of Annual Contributions and Operating Statement, HUD Form 52681-B (Supplemental). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic fiscal, occupancy, general management and maintenance audits.

Records:

Those necessary to indicate compliance with Annual Contributions Contract/Housing Choice Voucher Contract.

Account Identification:

86-0302-0-1-604.

Obligations:

(Direct Payments for Specified Use) FY 09 \$19,913,290; FY 10 est \$30,905,691; FY 11 est \$0

Range and Average of Financial Assistance:

\$86,088 to \$1,446,432; Average \$498,661.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program served 3,200 families. Fiscal Year 2010: The program anticipates serving 4,483 families. Fiscal Year 2011: The program anticipates serving 9,832 families.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 982; Section 8 Housing Choice Voucher Program Forms, Legal Contracts, and Publications Handbook, 7420.8; Housing Choice Voucher Program Guidebook, 7420.10G.

Regional or Local Office:

See Regional Agency Offices. HUD Field Office listed in the Catalog Address Appendix IV that has jurisdiction over the area in which the dwellings are located.

Headquarters Office:

Laure Rawson, 451 7th Street, S.W., Room 4210, Washington, District of Columbia 20410 Email: Laure.Rawson@hud.gov Phone: (202) 708-0477.

Website Address:

<http://www.hud.gov/offices/pih/programs/hcv/index.cfm> .

RELATED PROGRAMS:

14.871 Section 8 Housing Choice Vouchers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.881 MOVING TO WORK DEMONSTRATION PROGRAM

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Public Law 104-134.

OBJECTIVES:

The purpose of this demonstration is to give public housing agencies and the Secretary of Housing and Urban Development the flexibility to design and test various approaches for providing and administering housing assistance that: reduce cost and achieve greater cost effectiveness in Federal expenditures; give

incentives to families with children where the head of household is working, seeking work, or is preparing for work by participating in job training, educational programs, or programs that assist people to obtain employment and become economically self-sufficient; and increase housing choices for low-income families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Under the demonstration, notwithstanding any provision of the United States Housing Act of 1937 except as provided in section 204(e) of Public Law 104-134, an agency may combine operating assistance provided under section 9 of the United States Housing Act of 1937, and tenant-based assistance provided under section 8 of such Act, to provide housing assistance for low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937, and services to facilitate the transition to work on such terms and conditions as the agency may propose and the Secretary may approve.

Applicant Eligibility:

Public housing agencies administering the public housing program and/or the section 8 housing assistance payments program may be selected by the Secretary to participate.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs must enter into an agreement with the Department that details the terms and conditions of participation in the demonstration. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. PHAs annually submit an MTW Plan to the program office that documents new and ongoing MTW activities. Plans must include criteria for: (A) families to be assisted, which shall require that at least 75 percent of the families assisted by participating demonstration public housing authorities shall be very low-income families, as defined in section 3(b)(2) of the United States Housing Act of 1937; (B) establishing a reasonable rent policy, which shall be designed to encourage employment and self-sufficiency by participating families, consistent with the purpose of this demonstration, such as by excluding some or all of a family's earned income for purposes of determining rent; (C) continuing to assist substantially the same total number of eligible low-income families as would have been served had the amounts not been combined; (D) maintaining a comparable mix of families (by family size) as would have been provided had the amounts not been used under the demonstration; and (E) assuring that housing assisted under the demonstration program meets housing quality standards established or approved by the Secretary; and (4) may request assistance for training and technical assistance to assist with design of the demonstration and to participate in a detailed evaluation.

Award Procedure:

The program office reviews and approves the MTW Plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The program office must notify the PHA within 75 days after the PHA submits the Plan of disapproval. If the PHA is not notified of disapproval within 75 days, the Plan shall be considered approved.

Appeals:

To be determined.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

MTW agencies must meet the requirements stated in their MTW agreement. See the following for information on how assistance is awarded/released: Grant agreements are executed and funds are made available through HUD's financial system.

Reports:

Annual MTW report is required 90 days after the end of the PHAs fiscal year. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The MTW program is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0319-0-1-604; 86-0314-0-1-604; 86-0302-0-1-604; 86-0163-0-1-604.

Obligations:

(Formula Grants) FY 09 \$3,483,595,367; FY 10 est \$3,818,033,687; FY 11 est \$3,967,679,406

Range and Average of Financial Assistance:

\$4,650,895 to \$735,872,658; Average \$105,563,496.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Solicited applications in fulfillment of the requirement to add three public housing authorities to the demonstration program set forth in the 2009 appropriations statute. Fiscal Year 2010: Added three public housing authorities to the demonstration program and solicited for three additional public housing authorities in accordance with the 2010 appropriations statute. Fiscal Year 2011: Will conduct an evaluation of the demonstration program.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Program office addresses).

Headquarters Office:

Ivan Pour, 451 7th St, SW, Room 4130, Washington, District of Columbia 20410 Email: ivan.m.pour@hud.gov Phone: (202) 402-2488.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/mtw/>.

RELATED PROGRAMS:

14.850 Public and Indian Housing; 14.871 Section 8 Housing Choice Vouchers; 14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.882 NATIVE AMERICAN HOUSING BLOCK GRANTS (FORMULA) RECOVERY ACT FUNDED

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5, Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA), Public Law 104-330 as amended October 21, 1998; December 27, 2000; November 13, 2002.

OBJECTIVES:

To provide federal assistance for Indian tribes in a manner that recognizes the right of tribal self-governance, and for other purposes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New construction, acquisition, rehabilitation including energy efficiency and conservation, and infrastructure development.

Applicant Eligibility:

Indian Tribes or tribally designated housing entities (TDHEs) that have amended their FY 2008 Indian Housing Plans to comply with the provisions in the Recovery Act.

Beneficiary Eligibility:

Primarily low-income families.

Credentials/Documentation:

Recipients shall comply with requirements and standards of OMB Circular No. A-133, and specified sections of 24 CFR 85. A Self-governance Indian tribe (Public law 93-638) may certify that its administrative requirements meet or exceed the comparable requirements of OMB Circular No. A-133 and 24 CFR 85. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. None

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The statute requires that funds be obligated within one year of the date that funds are available to the recipient; that 50 percent of such funds be expended within 2 years; and that 100 percent of such funds be expended within 3 years of such date. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Recipients are required to submit quarterly reports in accordance with Section 1512 of the Recovery Act. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring

is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant files are maintained by HUD for 1 year after grant closeout and destroyed 6 years after grant closeout pursuant to the HUD Records and Disposition Handbook.

Account Identification:

86-0327-0-1-604 - 86-0327 2009/2011 TAFS code.

Obligations:

(Formula Grants) FY 09 \$253,227,695; FY 10 est \$1,772,305; FY 11 est \$0

Range and Average of Financial Assistance:

\$20,881 to \$34,412,126; Average \$704,832.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: HUD obligated funds to recipients within 30 days of the enactment of the recovery Act. Fiscal Year 2010: The program anticipates to develop 352 affordable housing units, and rehabilitate 3522 units. Fiscal Year 2011: The program anticipates to develop 107 affordable housing units, rehabilitate 753 units, and provide 258 units with green improvements.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Bullough, 451 7th Street SW, Room 5156, Washington, District of Columbia 20410 Email: Jennifer.A.Bullough@hud.gov Phone: (202) 402-4274 Fax: (202) 708-7446.

Website Address:

<http://www.hud/offices/pih/ih/grants/ihbg.cfm>

RELATED PROGRAMS:

14.862 Indian Community Development Block Grant Program; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In selecting projects to be funded, the recipient shall give priority to projects for which contracts can be awarded within 180 days from the date that funds are available to the recipient.

14.884 PUBLIC HOUSING CAPITAL FUND COMPETITIVE (RECOVERY ACT FUNDED)**FEDERAL AGENCY:**

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Housing Act of 1937, as amended; American Recovery and Reinvestment Act of 2009 (ARRA).

OBJECTIVES:

To provide funds for the capital and management activities of Public Housing Agencies as authorized under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) (the Act), with the exception that funds cannot be used for operations or rental assistance. The funds shall be awarded by competition for priority investments, including investments that leverage private sector funding or financing for renovations and energy conservation

retrofit investments.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds may be used for the development, financing and modernization of public housing developments and for management improvements. Public housing agencies shall give priority consideration to the rehabilitation of vacant rental units. Funds shall be obligated through competitive funding by September 30, 2009. Public housing authorities shall give priority to capital projects that can award contracts based on bids within 120 days from the date the funds are made available to the public housing authorities. Public housing agencies shall prioritize capital projects that are already underway or included in the 5-year capital fund plans required by the Act (42 U.S.C. 1437c1(a)). Funds provided under this heading shall serve to supplement and not supplant expenditures from other Federal, State, or local sources or funds independently generated by the grantees. The funds may not be used for luxury improvements, direct social services, costs funded by other programs, and ineligible activities as determined by HUD on a case-by-case basis. The funds may not be used for rental assistance activities. These funds may not be transferred to operations or used for operating activities.

Applicant Eligibility:

Public Housing Agencies that own or operate Low Income Public Housing and are eligible to receive capital funding under Section 9 of the Act.

Beneficiary Eligibility:

Low-income public housing residents.

Credentials/Documentation:

PHAs shall prioritize capital projects that are already underway or already in their 5-year capital fund plans required by the Housing Act.

PHAs must submit an application in accordance with the Funding Notice. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

PHAs consult with local government officials and PHA residents as they plan for activities to be included in the application for the funds. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. PHAs must submit an application in accordance with the Funding Notice.

Award Procedure:

Funds will be awarded in accordance with the Funding Notice.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

These terms will be established in the Funding Notice.

Appeals:

The appeals process will be established in the Funding Notice.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Three years with the following restrictions: requires that CFP Stimulus funds allocated to a PHA be fully (100 percent) obligated within 1 year, 60 percent

expended within 2 years and 100 percent expended within 3 years. See the following for information on how assistance is awarded/released: No information provided.

Reports:

As required under the ARRA. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The CFP Stimulus is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0305-0-1-604 - 86-0305 2009/2011 TAFS code.

Obligations:

(Salaries) FY 09 \$995,000,000; FY 10 est \$2,420,977; FY 11 est \$0

Range and Average of Financial Assistance:

\$7,500 - \$22,196,000; Average \$7,530,257.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: HUD obligated all funds by september 2009. Fiscal Year 2010: Through formula and competitive Recovery Act Capital Funds, the Department plans to rehabilitate 2,612 units in fiscal year 2010. Recovery Act funds will also be used to develop 1,908 units in fiscal year 2010. Additionally, Recovery Act Capital Funds will be used to provide improved energy performance actions in 20,776 units in fiscal 2010. Fiscal Year 2011: Through formula and competitive Recovery Act Capital Funds, the Department plans to rehabilitate 5,263 units in fiscal year 2011. Recovery Act funds will also be used to develop 3,859 units in fiscal year 2011. Additionally, Recovery Act Capital Funds will be used to provide improved energy performance actions in 33,648 units in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905, as applicable.

Regional or Local Office:

See Regional Agency Offices. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Jeffrey Riddel, 451 7th St. SW, Room 4130, Washington, District of Columbia 20410 Email: Jeffrey.Riddel@hud.gov Phone: (202) 708-1640.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/capfund/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.886 INDIAN COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM (RECOVERY ACT FUNDED)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5, Housing and Community Development Act of 1974, Section 106(a) as amended, 42 U.S.C. 5301 et seq.; Housing and Urban Development Act, Section 7(d) 42 U.S.C. 3535(d).

OBJECTIVES:

To provide assistance for Indian tribes and Alaska Native villages in the development of viable Indian communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Indian Community Development Block Grant (ICDBG) is funded through a set-aside of the Community Development Block Grant formula in title 1 of the Housing and Community Development Act of 1974 (42 U.S.C. 5306). Indian tribes and Alaska Native villages may use block grants to improve housing stock, provide community facilities, make infrastructure improvements, and expand job opportunities by supporting the economic development of their communities. Activities which are eligible for funding include housing construction, rehabilitation, acquisition of land for housing, direct assistance to facilitate homeownership among low- and moderate-income persons, construction of tribal and other facilities for single or multi-use, streets and other public facilities, and economic development projects. The Recovery Act requires tribes to give priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the tribes.

Applicant Eligibility:

Any Indian tribe, band, group, nation, tribal organization, including Alaska Indians, Aleuts, and Eskimos, and any Alaska Native village that is eligible for assistance under the Indian Self-Determination and Education Assistance Act or which had been eligible under the State and Local Fiscal Assistance Act of 1972. Only grantees that received ICDBG funds in fiscal year 2008 are eligible for these funds.

Beneficiary Eligibility:

The principal beneficiaries of ICDBG are low and moderate income persons. Low and moderate income is generally defined as 80 percent of the median income, as determined by HUD, adjusted for family size.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application on forms prescribed by HUD, which describe the community development need and how that need will be addressed by the proposed project. The application must provide sufficient information for the project to be rated against selection criteria.

Award Procedure:

The Office of Native American Programs (ONAP) is responsible for rating and approving applications and for notifying applicants of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 75-110 days.

Appeals:

Limitations, conditions, and requirements specified in NOFA.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available until project completion, usually within 2 years. See the following for information on how assistance is awarded/released: No

information provided.

Reports:

Recipients are required to submit quarterly reports as required by Section 1512 of the Recovery Act and annual performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for 3 to 5 years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0161-0-1-604 - 86-0161 2009/2010 TAFS Code.

Obligations:

(Project Grants) FY 09 \$9,567,413; FY 10 est \$332,587; FY 11 est \$0

Range and Average of Financial Assistance:

The estimated average grant in Fiscal Year 2009 was approximately \$526,316. The smallest and largest awards were \$235,925 and \$600,000 respectively.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: HUD obligated most of the funds to recipients on August 28, 2009. Fiscal Year 2010: The program anticipates to rehabilitate 47 units. Fiscal Year 2011: The program anticipates to rehabilitate 20 units, provide 10 units with green improvements, and build 3 community buildings.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1003.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate HUD Office of Native American Programs (ONAP) Area Office listed in Appendix IX of the Catalog.

Headquarters Office:

Jennifer Bullough, 451 7th Street, S.W. Room 5156, Washington, District of Columbia 20410 Email: Jennifer.A.Bullough@hud.gov Phone: (202) 402-4274 Fax: (202) 708-7446.

Website Address:

<http://www.hud/offices/pih/ih/grants/icdbg.cfm>.

RELATED PROGRAMS:

14.867 Indian Housing Block Grants; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be submitted by any eligible tribe or Alaska Native Village which has the capacity to administer a grant. Applications are then rated against factors which measure impact and quality.

14.887 NATIVE AMERICAN HOUSING BLOCK GRANTS (COMPETITIVE) RECOVERY ACT FUNDED

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5 , Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA), Public Law 104-330 as amended October 21, 1998; December

27, 2000; November 13, 2002.;

OBJECTIVES:

To provide federal assistance for Indian tribes in a manner that recognizes the right of tribal self-governance, and for other purposes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Affordable housing activities such as: Indian Housing Assistance; Development; Housing Services; Housing Management Services; Crime Prevention and Safety Activities; and Model Activities.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Recipients shall comply with requirements and standards of OMB Circular No. A-133, and specified sections of 24 CFR 85. A Self-governance Indian tribe (Public law 93-638) may certify that its administrative requirements meet or exceed the comparable requirements of OMB Circular No. A-133 and 24 CFR 85. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must file an application on forms prescribed by HUD, which describe the housing/infrastructure need and how that need will be addressed by the proposed project. The application must provide sufficient information for the project to be rated against selection criteria.

Award Procedure:

The Office of Native American Programs (ONAP) is responsible for reviewing and approving applications and for notifying applications of the results.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Limitations, conditions, and requirements specified in NOFA.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The statute requires that funds be obligated within one year of the date that funds are available to the recipient; that 50 percent of such funds be expended within 2 years; and that 100 percent of such funds be expended within 3 years of such date. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Recipients are required to submit quarterly reports as required by Section 1512 of the Recovery Act. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant files are maintained by HUD for 1 year after grant closeout and destroyed 6 years after grant closeout pursuant to the HUD Records and Disposition Handbook.

Account Identification:

86-0327-0-1-604 - 86-0327 2009/2011.

Obligations:

(Salaries) FY 09 \$236,253,662; FY 10 est \$5,996,338; FY 11 est \$0 - Under the Recovery Act guidelines, \$2.5 million was deducted from this account for an allowable set-aside for Salaries and Expenses.

Range and Average of Financial Assistance:

\$50,000-\$2,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: HUD obligated funds to recipients by August 28, 2009. Fiscal Year 2010: The program anticipates to develop 13 affordable housing units, and rehabilitate 71 units. Fiscal Year 2011: The program anticipates to develop 13 affordable housing units, rehabilitate 71 units, and provide 4 units with green improvements.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 1000.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Bullough 451 Seventh Street, S.W., Room 5156, Washington, District of Columbia 20410 Email: Jennifer.A.Bullough@hud.gov Phone: (202) 402.4274 Fax: (202) 708-7446

Website Address:

<http://www.hud/offices/pih/ih/grants/ihbg.cfm>

RELATED PROGRAMS:

14.862 Indian Community Development Block Grant Program; 15.124 Indian Loans_Economic Development; 15.141 Indian Housing Assistance; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must be submitted by any eligible tribe or Alaska Native Village and will be reviewed against factors which measure impact and quality. Priority will be given to projects that will create employment opportunities for low-income and unemployed persons.

14.889 CHOICE NEIGHBORHOODS

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010.

OBJECTIVES:

Choice Neighborhoods will employ a comprehensive approach to community development centered on housing transformation. The program aims to transform neighborhoods of poverty into viable mixed-income neighborhoods with access to economic activities by revitalizing severely distressed public and assisted housing and investing and leveraging investments in well-functioning services, effective schools, and education programs, public assets, public transportation, and improved access to jobs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Choice Neighborhoods will fund competitive grants to transform neighborhoods of extreme poverty into sustainable mixed-income neighborhoods with well-functioning services, schools, public assets, transportation and access to jobs.

Applicant Eligibility:

For Choice Neighborhoods Grants, Public Housing Agencies, local governments, non-profits, and for-profit developers that apply jointly with a public entity.

Beneficiary Eligibility:

For Choice Neighborhoods Grants, the ultimate beneficiaries are residents of the severely distressed public and assisted housing and residents of the surrounding community these grants aim to transform.

Credentials/Documentation:

Eligibility requirements to apply for Choice Neighborhoods are included in the Notice of Funding Availability (NOFA). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

HUD headquarters will coordinate reviews of each eligible application for threshold compliance, assigns rating points to applications that pass all thresholds, and the highest rated applications are notified that they have been selected to participate in the Choice Neighborhoods program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Applicants are notified by HUD if an application is not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Choice Neighborhoods will leverage funds from state, local or private sources as well as other HUD and Federal programs. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each grant agreement will establish timetables and milestones. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain copies of budgets and other cost records through the grant period to indicate compliance with the Grant Agreement.

Account Identification:

86-0218-0-1-604 - The above account is for FY 10; 86-0349-0-1-604 - The above account is for FY 11.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$65,000,000; FY 11 est \$247,500,000

Range and Average of Financial Assistance:

No grant awards have been made to date, therefore a range is not available at this time. Grant awards are expected to average between \$25-\$35m/grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not applicable, no funding available. Fiscal Year 2010: Choice Neighborhoods plans to award 2-3 grants that will help support affordable housing and community development. Fiscal Year 2011: Choice Neighborhoods plans to award 7-10 grants that will help support affordable housing and community development.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Clayton, 451 7th Street S.W. Room 4130, Washington, District of Columbia 20410 Email: caroline.c.clayton@hud.gov Phone: (202) 402-5461.

Website Address:

<http://www.hud.gov/offices/pih/programs/ph/cn/>

RELATED PROGRAMS:

14.866 Demolition and Revitalization of Severely Distressed Public Housing

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.890 CAPITAL FUND EDUCATION AND TRAINING COMMUNITY FACILITIES

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010.

OBJECTIVES:

To provide funds for the capital and management activities of Public Housing Agencies as authorized under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) (the Act), with the exception that funds cannot be used for operations or rental assistance. The funds shall be awarded by competition for priority investments, including investments that leverage private sector funding or financing for the construction, rehabilitation, or purchase of facilities to provide early childhood education, adult education, and/or job training programs for public housing residents. Funds shall be obligated through competitive funding by DATE. Public housing agencies shall prioritize capital projects that are already underway or included in the 5-year capital fund plans required by the Act (42 U.S.C. 1437c1(a)). Funds provided under this heading shall serve to supplement and not supplant expenditures from other Federal, State, or local sources or funds independently generated by the grantees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds in this Category may be used to pay for any eligible Capital Fund expenses, including substantial rehabilitation, acquisition, and construction, as defined in 24 CFR Parts 905, 941 and 968, that help achieve the program objective of improving educational and economic outcomes for public housing residents through the use of education and/or training community facilities. Specifically, the following are eligible activities:

- A. Improvements to or construction of non-dwelling areas to provide additional space to offer or provide education and/or training services to public housing residents.
- B. Conversion of dwelling units to provide space for service providers targeted

to public housing residents, i.e. the renovation, conversion, or joining of vacant units within a PHA development to create an education and/or training community facility.

C. The renovation or conversion of existing common areas in a PHA development to accommodate an education and/or training community facility.

D. If renovation, conversion, or repair is done off-site, the PHA must provide documentation with its application that it has control of the proposed property and will continue to have control for the term of the ACC. Control can be demonstrated through a lease agreement, ownership documentation, or other documentation that demonstrates that the PHA will have control of the proposed property for the duration of the ACC term.

E. Security and related costs for physical improvements and other equipment for safeguarding the center and other longer-term security measures, as needed, but not including personnel costs and salaries for security guards.

F. Hard costs of construction plus costs associated with new construction and rehabilitation, such as architectural, engineering, and related professional services, required to prepare plans or drawings, write-ups, specifications, or inspections.

G. Costs associated with acquisition and rehabilitation, such as renovation, conversion, and repair costs.

H. In accordance with their Section 3 plan, applicants shall, to the greatest extent feasible and consistent with existing federal, state, and local laws and regulations, utilize low and very low income persons, particularly public housing residents, to help with the renovation or construction of community facilities under this program. Specifically, the following are ineligible activities:

A. Operating or rental assistance activities. Capital funds awarded under this program cannot be transferred to or used for operations or rental assistance activities. These funds cannot be transferred to Account 1406 Operations.

B. Routine maintenance activities.

C. Activities carried out before the date of the ACC amendment obligating the grant.

D. Luxury improvements, direct social services, and costs funded by other programs.

E. Moving to Work Agency Requirements. Agencies participating in the Moving to Work Demonstration (MTW) are authorized to combine operating and capital assistance provided under Section 9 and voucher assistance under Section 8 of the United States Housing Act of 1937 as part of the regular appropriation process. However, line item appropriations, which are supplemental to the regular appropriations process, may be used only for the specific purpose for which they were appropriated. As such, funds under this program may not be combined with other Section 8 or Section 9 assistance.

F. Facilities located in a development that is currently receiving a HOPE VI Revitalization grant or that was constructed, acquired, or rehabilitated using a HOPE VI Revitalization grant.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Documents and Forms

Site Control-Section VII, D

Program Schedule-Section XI, C

Budget-HUD 50075.1, Parts I and II-Section XI, D, 1

Physical Description of proposed facility-Section XI, F

Form SF-LLL-Section XI, M

Development Schedule-Section XIII, C, 2

Elogic Model-Section XIII, E

HUD-2990-Section XIII, G, 1

Certifications and Commitments

That each funding source is firmly committed-Section XI, D, 3 and Section XIII, D

That each partner is firmly committed-Section XI, E and Section XIII, C, 4, a

That PHA will affirmatively further fair housing-Section XI, L

That PHA will follow the NOFA and all applicable law and regulations-Section XI, N

That PHA has firm commitments for any match-Section XIII, D, 2

That PHA has complied with Section 3 during the past year-Section XIII, F, 2, a, 1

That PHA has a feasible Section 3 plan on file-Section XIII, F, 2, a, 2

That PHA has a letter of commitment from the local Workforce Investment Board-Section XIII, F, 2, b, 1

That PHA has a relationship with other organizations that can help the programs-Section XIII, F, 2, b, 2. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submittals via grants.gov

Award Procedure:

Funds will be awarded in accordance with the Funding Notice.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

These terms will be established in the Funding Notice.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Four years with the following restrictions: funds allocated to a PHA be 90 percent obligated within 24 months of the date when the funds became available and be 100 percent expended within 48 months of the date when the funds became available. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The CFCF program is audited in conjunction with the regular HUD or independent public accountant audit of PHA operations.

Records:

The PHA must maintain budgets and cumulative cost records for review by HUD and independent auditors, as applicable.

Account Identification:

86-0304-0-1-614.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$35,000,000; FY 11 est \$0 - None.

Range and Average of Financial Assistance:

To Be Determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: N/A. Fiscal Year 2010: Approximately 12 grants to be awarded for the purpose of developing educational and job training facilities for public housing residents. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 905, as applicable

Regional or Local Office:

None. Inquiries should be addressed to the Public Housing Director who has jurisdiction over the PHA (See the Catalog, Appendix IV for HUD Field Office addresses).

Headquarters Office:

Jeffrey Riddel, 451 7th St. SW, Room 4146, Washington, District of Columbia 20410 Email: Jeffrey.Riddel@hud.gov Phone: (202) 708-1640.

Website Address:

No Data Available

RELATED PROGRAMS:

14.872 Public Housing Capital Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.891 PUBLIC AND INDIAN HOUSING TRANSFORMATION INITIATIVE (TI) TECHNICAL ASSISTANCE (TA)

FEDERAL AGENCY:

Office of Public and Indian Housing, Department of Housing and Urban Development

AUTHORIZATION:

Consolidated Appropriations Act, 2010.

OBJECTIVES:

The Public and Indian Housing Transformation Initiative Technical Assistance shall be used for the technical assistance and capacity building. TI TA funds will increase the operational efficiency of PIH processes and is a key step toward capacity building and technical awareness that are at the heart of HUDs mission. Public and Indian Housing TI TA provides for the underlying systems that have formed the basis for PIH programs, as well as incentivizes improved service provision and increases operational efficiency.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Transformation Initiative Technical Assistance was designed to create sufficient funding for HUD to transform and modernize its operations. TI TA funds will be used to improve operations including; improving operational efficiency of projects, disaster outreach projects, training and development initiatives, and green initiatives.

Applicant Eligibility:

Increasing the operation efficiency of PIH processes is a key step toward capacity building and technical awareness that are at the heart of HUDs mission.

Beneficiary Eligibility:

Public and Indian Housing Transformation Initiatives Technical Assistance ultimate beneficiaries are those who receive assistance. These initiatives will improve the way the Department operates.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards are internal to the Department.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Amounts transferred into the TI TA account shall be available until September 30, 2012. See the following for information on how assistance is awarded/released: Assistance is awarded internally within the Department.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

86-0402-0-1-604.

Obligations:

(Provision of Specialized Services) FY 09 \$0; FY 10 est \$16,925,000; FY 11 est \$16,925,000

Range and Average of Financial Assistance:

*Range \$250,000-\$8,000,000
Average \$2,115,625

* Above numbers are based on the approved FY 2010 TI TA plan.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not applicable. Fiscal Year 2010: In fiscal year 2010, TI TA funds plans to provide technical assistance to improve its operations, Items the Department seeks to address include; TA on alternative financing assistance, energy efficiency and Green Building across all Affordable Housing programs, Financial Management and Governance Across Affordable Housing Programs, Assessments and TA for Troubled PHAs, ONAP Technical Assistance, and Physical Needs Assessment Implementation. Fiscal Year 2011: Fiscal Year 2011 TI TA will continue to build on actions started in FY 2010 and address any new areas where efficiency can be improved.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Gary VanBuskirk 451 7th Dt, SW, Room 4246
, washington, District of Columbia 20410 Email: Gary.F.VanBuskirk@hud.gov
Phone: 20.402.4307 Fax: 202.708.8937

Website Address:

No Data Available

RELATED PROGRAMS:

14.195 Section 8 Housing Assistance Payments Program_Special Allocations;
14.850 Public and Indian Housing; 14.872 Public Housing Capital Fund;
15.141 Indian Housing Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.900 LEAD-BASED PAINT HAZARD CONTROL IN PRIVATELY-OWNED HOUSING

Lead-Based Paint Hazard Control

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Residential Lead-Based Paint Hazard Reduction Act of 1992, Title X, Section 1011, Public Law 102-550; Omnibus Appropriations Act, 2010, Public Law 111-8.

OBJECTIVES:

Lead-Based Paint Hazard Control grants assist State, Tribal, and local governments to identify and control lead-based paint hazards in privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) Prevent childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) Establish a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (see 59 FR 33881, June 30, 1994).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA. For Fiscal Year 2010, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA. For Fiscal Year 2010, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

States, Tribes, cities or units of general local government that have a current, approved Consolidated Plan. Federal agencies and Federal employees are not eligible to submit applications. Applicants with outstanding civil rights violations are not eligible for funding.

Beneficiary Eligibility:

Hazard Control Grants shall be for lead-based paint hazard control in eligible target housing, as defined under Section 217 of Public Law 104-134 (the Omnibus Consolidated Rescissions and Appropriations Act of 1996, 110 Stat. 1321, approved April 26, 1996) as amended by Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Funds shall be available only for projects conducted using contractors and inspectors certified, through an EPA authorized program, or trained in lead-safe work practices using a HUD-approved curriculum.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State

and local governments and specific requirements of 24 CFR 85. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The competitive Notification of Funding Availability (NOFA) for fiscal year 2010 The Lead-Based Paint Hazard Control Grant Program provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. The Lead-Based Hazard Control Grant Program requires a minimum of 10 percent local matching requirement. Community Development Block Grant funds are eligible as a local contribution.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Lead-Based Hazard Control Grant award is for 36 months. HUD reserves the right to approve no cost time extensions. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. A Final report is also required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Project Grants) FY 09 \$69,034,591; FY 10 est \$62,000,000; FY 11 est \$58,000,000

Range and Average of Financial Assistance:

With Fiscal Year 2010

funds an estimated 21 Lead Hazard Control grants of approximately \$3 million each will be awarded totaling \$62 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All relevant information is provided in the Notice of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Jeff W. Simpkins, 451 Seventh Street, SW (Room 8236), Washington, District of Columbia 20410 Email: Jeffrey.W.Simpkins@hud.gov Phone: (202) 402-7180.

Website Address:

<http://www.hud.gov/healthyhomes/>.

RELATED PROGRAMS:

14.905 Lead Hazard Reduction Demonstration Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010: HUD will use the technical and financial selection criteria listed in the NOFA.

14.902 LEAD TECHNICAL STUDIES GRANTS

Lead Technical Studies Grants

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Housing and Community Development Act of 1992, Public Law 102-550, as amended by Omnibus Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling lead-based paint hazards in housing. The purpose of the Lead Technical Studies program is to improve our knowledge of lead-based paint related health hazards, and to improve or develop new hazard assessment and control methods.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the program NOFA and the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov and at <http://www.hud.gov/lead>. The application is completely electronic. You must have a DUNS Number.

Applicant Eligibility:

Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), state and local governments, and federally recognized Native American tribes are eligible under all existing authorizations. Applications for supplementation of existing projects are eligible to compete with applications for new awards. Federal agencies and federal employees are not eligible to submit applications. The General Section of the SuperNOFA provides additional eligibility requirements.

Beneficiary Eligibility:

Homeowners, rental property owners, rental and public housing residents.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal Governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The competitive Notification of Funding Availability (NOFA) for the fiscal year 2010 Lead and Healthy Homes Technical Studies grant program provides information about the application procedure. Copies of the NOFA and required forms can be obtained from www.grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, <http://www.hud.gov/lead>.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Lead Technical Studies grants are awarded for up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis. See the following for information on how assistance is awarded/released: Cost reimbursement.

Reports:

Quarterly financial management and performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records: As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$2,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$1 million for Lead Technical Studies. The anticipated amounts and/or numbers of individual awards will be approximately 2 - 4 cooperative agreements, ranging from approximately \$250,000 to a maximum of \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Lead Technical Studies grants have resulted in, for example, improved chemical test kits for detecting lead-based paint; and evaluating the use of portable X-ray fluorescent (XRF) analyzers to measure lead in dust-wipe samples and soil. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application files are self-contained; all necessary information is provided at www.grants.gov; no other regulations pertain.

Regional or Local Office:

None.

Headquarters Office:

Eugene Pinzer, 451 Seventh Street, SW, Room 8236, Washington, District of Columbia 20410-3000 Email: Eugene_A_Pinzer@hud.gov Phone: (202) 402-7685.

Website Address:

<http://www.hud.gov/offices/lead/techstudies/index.cfm>.

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.905 Lead Hazard Reduction Demonstration Grant Program; 14.906 Healthy Homes Technical Studies Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: For lead technical studies: evaluation of the creation of airborne dust-lead during housing demolition; determination of the impact of window replacement on surface dust-lead levels after an extended follow-up period; developing new and accurate color-based spot test kits for lead in household paint and dust; and evaluation of the effectiveness of protocols for reducing lead hazards in soil. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010 HUD will use the technical selection criteria listed in the NOFA.

14.905 LEAD HAZARD REDUCTION DEMONSTRATION GRANT PROGRAM

Lead Hazard Reduction Demonstration Grant Program

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Residential Lead-Based Paint Hazard Reduction Act of 1992, Title X, Section 1011, Public Law 102-550; Omnibus Appropriations Act, 2010, Public Law 111-8.

OBJECTIVES:

Lead Hazard Reduction Demonstration Grant assist State, Tribal, and local governments to identify and control lead-based paint hazards in privately-owned housing that is owned by or rented to low- or very-low income families. Specific objectives include: (1) Maximize the combination of children less than six years of age protected from lead poisoning and housing units where lead-hazards are controlled; (2) preventing childhood lead poisoning; (3) Stimulate lower-cost and cost-effective methods and approaches to lead hazard control work that can be replicated; (4) Build local capacity to safely and effectively address lead hazards during lead hazard control, renovation, remodeling, and maintenance activities by integrating lead safe work practices into housing maintenance, repair, weatherization, rehabilitation and other programs that will continue beyond the grant period; (5) Affirmatively further fair housing and environmental justice (6) Develop a comprehensive community approach to address lead hazards in housing by mobilizing public and private resources, involving cooperation among all levels of government, the private sector, and grassroots community-based nonprofit organizations, including faith-based organizations, to develop cost-effective methods for identifying and controlling lead-based paint hazards (7) establishing a public registry of lead-safe housing; and (8) To the greatest extent feasible, promote job training, employment, and other economic

opportunities for low-income and minority residents and businesses that are owned by and/or employ minorities and low-income persons as defined in 24 CFR 135.5 (See 59 FR 33881, June 30, 1994). In addition to the requirements above, you must have at least 3,500 pre-1940 occupied rental housing units (either alone or through a consortium).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submit your electronic application through <http://www.grants.gov>.

Applicant Eligibility:

To be eligible to apply for the Lead Hazard Reduction Demonstration Program, the applicant must be a city, county, or other unit of local government. States and Indian Tribes may apply on behalf of units of local government within their jurisdiction, if the local government designates the state or the Indian Tribe as their applicant. Multiple units of a local government (or multiple local governments) may apply as part of a consortium; however, you must identify a prime applicant that will be responsible for ensuring compliance with all requirements specified in this NOFA. State government and Native American tribal applicants must have an EPA approved State Program for certification of lead-based paint contractors, inspectors, and risk assessors in accordance with 40 CFR 745 in effect on the application deadline date to be eligible to apply for Lead Hazard Reduction Demonstration Grant funds.

Beneficiary Eligibility:

Occupants and owners of eligible target housing, Section 1011(a) of the Residential Lead-Based Paint Hazard Reduction Act of 1992 (Title X). Lead Hazard Reduction Demonstration Grants shall be for lead-based paint hazard control in this housing. Funds shall be available only for projects conducted using contractors and inspectors certified, and workers trained through an EPA authorized program. Selected beneficiaries must comply with all eligibility criteria and all applicable restrictions presented in the Appropriation language and with all requirements presented in the NOFA.

Credentials/Documentation:

For the Lead Hazard Control Grant Program, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The competitive Notification of Funding Availability (NOFA) for fiscal year 2010 The Lead-Based Paint Hazard Control Grant Program provides information about the application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Formula and Matching Requirements:

25 percent local match, which may be cash, including private sector funding, in-kind contributions, or both. For the Lead Hazard Reduction Demonstration Grant Program, HUD may grant a waiver of the 25 percent match requirement, if the applicant submits a request that meets HUD's criteria. Waiver eligible applicants should provide the 10% match. Except for Community Development Block Grant (CDBG) funds, Federal Revenue Sharing programs, or other programs which by statute allow their funds to be considered local funds and therefore eligible to be used as matching funds, Federal funds may not be used to satisfy the statutory 10 percent requirement, but may be used for contributions above that requirement. You must support each source of contributions by a letter of commitment from the contributing entity, describing the contributed resources and their designated purpose. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Lead Hazard Reduction Demonstration Grant award is for a The period of performance is 42 months. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial management and performance reports are required of participating jurisdictions. A Final report is also required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by OMB Circular A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Project Grants) FY 09 \$48,000,000; FY 10 est \$48,000,000; FY 11 est \$48,000,000 - Range and Average of Financial Assistance: Approximately \$48 million in fiscal year 2010 funds is available. The minimum award amount shall be approximately \$1 million per grant up to \$4.5 million per grant. Approximately 10 to approximately 14 grants will be awarded to applicants for the Lead Hazard Reduction Demonstration Program.

Range and Average of Financial Assistance:

Approximately \$48 million in fiscal year 2010 funds is available. The minimum award amount shall be approximately \$1 million per grant up to \$4.5 million per grant. Approximately 10 to approximately 14 grants will be awarded to applicants for the Lead Hazard Reduction Demonstration Program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability is available at Grants.gov; all necessary information is provided; no other regulations pertain. For Lead Hazard Reduction Demonstration grants, there is some change in the content and direction of the NOFA that is issued each year, dependent on SuperNOFA format, Congressional direction, changes in emphasis among priorities, and improvements based on experience with prior NOFAs.

Regional or Local Office:

None.

Headquarters Office:

Jeff W. Simpkins, 451 Seventh Street, SW (Room 8236), Washington, District

of Columbia 20410 Email: Jeffrey.W.Simpkins@hud.gov Phone: (202) 402-7180.

Website Address:

<http://www.hud.gov/healthyhomes>

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

14.906 HEALTHY HOMES TECHNICAL STUDIES GRANTS

Healthy Homes Technical Studies Grants

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act, 2010, Public Law 111-8; Housing and Urban Development Act of 1970, Section 501-502.

OBJECTIVES:

To fund technical studies to improve methods for detecting and controlling housing-related health and safety hazards. The purpose of the Healthy Homes Technical Studies program is to improve our knowledge of housing-related health hazards, and to improve or develop new hazard assessment and control methods.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the program NOFA and the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at www.grants.gov and at <http://www.hud.gov/lead>. The application is an electronic application. You must have a DUNS Number.

Applicant Eligibility:

Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), state and local governments, and federally recognized Native American tribes are eligible under all existing authorizations. Applications for supplementation of existing projects are eligible to compete with applications for new awards. Federal agencies and federal employees are not eligible to submit applications. The General Section of the SuperNOFA provides additional eligibility requirements.

Beneficiary Eligibility:

Homeowners, rental property owners, and residents of rental housing and public housing.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal Governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The competitive Notification of Funding Availability (NOFA) for the fiscal year 2010 Healthy Homes

Technical Studies grant program provides information about the application procedure. Copies of the NOFA and required forms can be obtained from www.grants.gov. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, <http://www.hud.gov/lead>.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Healthy Homes Technical Studies grants are awarded for up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly financial management and performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Cooperative Agreements) FY 09 \$4,326,962; FY 10 est \$6,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

The total amount to be awarded is approximately \$6 million for Healthy Homes Technical Studies. For Healthy Homes Technical Studies, the anticipated amounts and/or numbers of individual awards will be approximately 5 - 10 cooperative agreements, ranging from approximately \$300,000 to a maximum of \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Healthy Homes Technical Studies grants have resulted in, for example, low cost interventions to reduce moisture intrusion and associated mold problems in urban homes and documenting an improvement in the symptoms of asthmatic children following such interventions as well as following relocation into new green housing with added features to reduce allergen exposures; and developing improved methods for controlling cockroaches and cleaning cockroach allergen. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application files are self-contained; all necessary information is provided at www.grants.gov; no other regulations pertain.

Regional or Local Office:

None.

Headquarters Office:

Eugene Pinzer, 451 Seventh Street, SW, Room 8236, Washington, District of Columbia 20410-3000 Email: Eugene_A_Pinzer@hud.gov Phone: (202) 402-7685.

Website Address:

<http://www.hud.gov/offices/lead>.

RELATED PROGRAMS:

14.914 Asthma Intervention in Multi-Family Housing Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: For Healthy Homes Technical Studies: research to improve bed bug control methods for low income, multifamily housing; laboratory testing of different vacuum protocols to collect dust samples for allergen analyses; a randomized controlled trial on the efficacy of home interventions to prevent falls in seniors, and improving the assessment of mold exposure in an ongoing cohort study of factors associated with asthma development in young children. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010 HUD will use the technical selection criteria listed in the NOFA.

14.913 HEALTHY HOMES PRODUCTION GRANT PROGRAM

Healthy Homes Production Grant Program

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act, 2010, Public Law 111-8.

OBJECTIVES:

The purpose of the Healthy Homes Production Program is to comprehensively address multiple residential health and safety hazards, including mold, carbon monoxide, home safety hazards, pesticides and allergens (from pets and pests).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the NOFA for available grant funding, particular requirements and restrictions, and application due dates. Submission information is provided in the NOFA.

Applicant Eligibility:

Eligible applicants include not-for-profit institutions and for-profit firms, state and local governments, , federally-recognized Indian Tribes, and colleges and universities located in the United States. For-profit firms are not allowed to make a profit from the project. Individuals are not eligible to apply.

Beneficiary Eligibility:

Healthy Homes Production Grants provide funding to not-for-profit institutions and for-profit firms, state and local governments, , federally-recognized Indian Tribes, and colleges and universities located in the United States for projects that include the assessment and mitigation of residential environmental health and safety hazards, development of local capacity and the delivery of education/outreach services to the community.

Credentials/Documentation:

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and specific requirements of 24 CFR 85. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The competitive Notification of Funding Availability (NOFA) for fiscal year 2010 Healthy Homes Production Program grants provides information about the www.grants.gov application procedure.

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Healthy Homes Production Program award is for 36 months. If approved, grantees are eligible to receive a single period of performance extension of up to 12 months in accordance with 24 CFR 85.24(e)(2) or 85.30(d)(2). Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Quarterly financial management and performance reports are required of participating jurisdictions. Quarterly financial management and performance reports are required of participating jurisdictions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals and Other Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$10,000,000; FY 11 est \$17,000,000

Range and Average of Financial Assistance:

With Fiscal Year 2010, approximately 10 awards, of approximately \$1,000,000 each for the entire period of performance are anticipated.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All relevant information if provided in the The Notice of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Jeff W. Simpkins, 451 Seventh Street, SW (Room 8236), Washington, District of Columbia 20410 Email: Jeffrey.W.Simpkins@hud.gov Phone: (202) 402-7180.

Website Address:

No Data Available

RELATED PROGRAMS:

14.900 Lead-Based Paint Hazard Control in Privately-Owned Housing; 14.905 Lead Hazard Reduction Demonstration Grant Program; 14.906 Healthy Homes Technical Studies Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The Healthy Homes Production Program projects are designed to address multiple residential environmental safety and health hazards. Grantees have developed projects that include the assessment of home health and safety hazards, mitigation of the identified hazards, reduction in asthma triggers, creation of trained and certified healthy homes workers, and leveraging local resources. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010 HUD will use the technical and financial selection criteria listed in the NOFA.

14.914 ASTHMA INTERVENTIONS IN PUBLIC AND ASSISTED MULTIFAMILY HOUSING

Asthma Interventions in Public and Assisted MultiFamily Housing Grant Program

FEDERAL AGENCY:

Office of Healthy Homes and Lead Hazard Control, Department of Housing and Urban Development

AUTHORIZATION:

Omnibus Appropriations Act, 2010., Public Law 111-8.

OBJECTIVES:

The major objectives of this NOFA are to support: (1) Development and implementation of cost effective, replicable interventions and protocols for the control of asthma among residents of federally assisted, multifamily housing, particularly with children; (2) Creation of sustainable programs and policies that reduce exposure to asthma triggers in the indoor environment (e.g., IPM, smoke-free housing); (3) Evaluations of the effectiveness (including cost-effectiveness) of asthma control programs and interventions in improving the health of residents and their understanding of asthma management practices; The purpose of the Healthy Homes Technical Studies program is to improve our knowledge of housing-related health hazards.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For Fiscal Year 2010, potential applicants should consult the General Section of the NOFA for available grant funding, particular requirements and restrictions, and application due dates. The applications for this NOFA can be found at <http://www.hud.gov/Grants>. This is an on-line application. You must have a DUNS Number.

Applicant Eligibility:

Beneficiary Eligibility: Residents of federally assisted multi-family housing.

Beneficiary Eligibility:

Applicant Eligibility: Academic, not-for-profit and for-profit institutions located in the U.S. (for-profit firms are not allowed to profit from the project), state and local governments, and Federally recognized Native American tribes are eligible under all existing authorizations. Federal agencies and federal employees are not eligible to submit applications.

The General Section of the SuperNOFA provides additional eligibility requirements.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local and Federally Recognized Indian Tribal governments and specific requirements of 24 CFR Part 85. Costs will be determined for nonprofit and educational institutions in accordance with OMB Circulars No. A-122 and No. A-21, respectively, and in accordance with the requirements of 24 CFR Part 84. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The competitive Notification of Funding Availability (NOFA) for fiscal year 2010 Asthma Intervention in Multi-Family Housing Grant Program provides information about the application procedure. Copies of the published NOFA and application forms may be downloaded from the HUD website at <http://www.hud.gov/Grants>. Other relevant information can be found at HUD's website, www.hud.gov, or the Office's website, <http://www.hud.gov/lead>. Completed applications must be submitted to [Grants.gov](http://www.hud.gov).

Award Procedure:

HUD's review and awarding procedures are explained in the NOFA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Asthma Intervention in Multi-Family Housing grants are awarded up to 36 months after the date of award. HUD reserves the right to approve no cost time extensions for a period not to exceed 12 months. Payment is on a cost-reimbursable basis. See the following for information on how assistance is awarded/released: Payment is on a cost-reimbursable basis.

Reports:

No program reports are required. No cash reports are required. Quarterly financial management and performance reports are required. Quarterly financial management and performance reports are required. Quarterly financial management and performance reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records: As required by OMB Circular A-102, "Grants and Cooperative Agreements With State and Local Governments" or OMB Circular A-110, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Account Identification:

86-0174-0-1-451.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$2,000,000; FY 11 est \$2,000,000 - Obligations: (Grants) FY 09 \$0; and FY 10 \$2,000,000, and FY 11 \$2,000,000.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: The total amount to be awarded is approximately \$2.6 million. The anticipated amounts and/or numbers of individual awards will be approximately 5 to 8 cooperative agreements, with each not to exceed \$600,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Funding Availability and Application files are self-contained; all necessary information is provided at <http://www.hud.gov/Grants>; no other regulations pertain.

Regional or Local Office:

None.

Headquarters Office:

J. Kofi Berko, Jr., 451 Seventh Street, SW, Room 8236, Washington, District of Columbia 20410-3000 Email: J.Kofi.berko@hud.gov Phone: (202) 402-7696.

Website Address:

<http://www.hud.gov/Grants>

RELATED PROGRAMS:

14.906 Healthy Homes Technical Studies Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Fiscal Year 2010 HUD will use the technical and financial selection criteria listed in the NOFA.

DEPARTMENT OF THE INTERIOR

15.020 AID TO TRIBAL GOVERNMENTS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Indian tribal governments to support general tribal government operations, to maintain up-to-date tribal enrollment, to conduct tribal elections, and to develop appropriate tribal policies, legislation, and regulations.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used in a variety of ways to strengthen the capabilities of Indian tribes in self-government, community planning, and maintenance of membership records.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An informal conference with a Bureau of Indian Affairs agency representative is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For awards made under this program grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$33,887,000; FY 10 est \$33,195,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range is \$10,000 to \$700,000; Average \$80,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Bureau of Indian Affairs, Office of Tribal Services, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.doi.gov/bia/tribegovserv/tribegov.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.021 CONSOLIDATED TRIBAL GOVERNMENT PROGRAM

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To promote Indian self determination and improve the quality of life in Tribal communities by providing greater flexibility in planning programs and meeting the needs of communities. This program allows Tribes to combine various programs and/or grants into one agreement. The simplified contracting procedures enhance program accountability by reducing paperwork and reporting requirements, and reduce Tribal administrative costs to allow for increased services under these contracts.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds from various Bureau programs, such as, Scholarships, Johnson O'Malley, Adult Education, and Job Placement and Training can be combined into a single consolidated contract.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representative is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. See the following for information on how assistance is awarded/released: The timing of the payments will be negotiated with the Indian tribe.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$76,964,252; FY 10 est \$76,964,252; FY 11 est \$76,964,252

Range and Average of Financial Assistance:

\$1,300 to \$2,400,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Deputy Director, Department of the Interior, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW., MS-320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.doi.gov/bia/tribegovserv/tribegov.htm>;

<http://www.doi.gov/bureau-indian-affairs.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.022 TRIBAL SELF-GOVERNANCE**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title IV, Public Law 93-638, as amended, 25 U.S.C. 458 aa et seq.

OBJECTIVES:

To further the goals of Indian Self-Determination by providing funds to Indian tribes to administer a wide range of programs with broad administrative and programmatic flexibility.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used by Federally Recognized Tribal Governments to support a wide range of programs such as law enforcement, tribal courts, scholarships, social services, road maintenance, forestry, agriculture, real estate services, wildlife and parks, and water resources. Funds may be used only for programs previously administered by the Bureau of Indian Affairs or for programs specifically authorized by Federal statute and may not be used for the operation of elementary and secondary schools or for community colleges. The Indian tribe must ensure adequate protection of trust resources.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal consortia authorized by the Federally Recognized Indian Tribal Governments to be served.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their Members.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the

governing body of the Indian tribe or in the case of a consortia, Indian tribes to be served. First-time applicants must have successfully completed a planning phase and must have demonstrated for the previous three years, financial stability and financial management capability. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application and selection process is governed by regulations contained in 25 CFR Part 1000. Applicants first apply for inclusion in an applicant pool. Once an Indian tribe/consortium has established eligibility for admission to the applicant pool, it can be selected to become a Self-Governance Tribe. Application information is available from the office(s) listed below under "Information Contacts."

Award Procedure:

The annual dollar value of the funding agreement is subject to negotiation between the applicant, the local Bureau agency office, the servicing Regional office, and the Office of Self-Governance. Final funding agreements are signed by the authorized tribal representative and the Director, Office of Self-Governance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Funding agreements are to be negotiated and submitted to Congress and neighboring Indian tribes at least 90 days before the proposed effective date of the agreement. Negotiation and approval of a new funding agreement may take up to four months.

Appeals:

Applicable appeal procedures are contained in 25 CFR Part 1000.

Renewals:

Funding agreements may be for a single or multi-year period and renewed indefinitely upon satisfactory performance by the Indian tribe/consortium. The amount of the annual funding agreement may be negotiated each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are provided on an annual basis and remain available until expended by the tribe/consortium. See the following for information on how assistance is awarded/released: When possible, payments are made in a lump sum following enactment of the Department's appropriations.

Reports:

The timing and nature of program accomplishment data will be negotiated with the Indian tribe/consortium. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Annual, external reviews of the management of trust assets will be conducted. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from

the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$348,165,000; FY 10 est \$349,700,000; FY 11 est \$355,000,000

Range and Average of Financial Assistance:

\$10,213 to \$35,994,561; \$374,943.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 96 Indian tribes/consortia participated in Tribal Self-Governance Program. The 96 funding agreements with Indian tribes and tribal consortia provided 236 Federally recognized Indian tribes with the opportunity to exercise discretion in establishing funding priorities, and allowed them wide latitude in the design of tribal programs, services, functions, and activities in response to local conditions and circumstances. Fiscal Year 2010: Not available. Fiscal Year 2011: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 1000; OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments.

Regional or Local Office:

See Regional Agency Offices. Office of Self Governance, Department of the Interior, Northwest Field Office, 500 West 12th Street, Suite 102, Vancouver, WA 98660. Telephone: (360) 699-1011. Use the same number for FTS or the nearest Bureau of Indian Affairs Regional Office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Self-Governance, Office of the Deputy Assistant Secretary - Policy and Economic Development, Department of the Interior, 1951 Constitution Avenue, N.W., MS 355-G, Washington, District of Columbia 20240 Phone: (202) 219-0240.

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Among the activities supported by one consortium was the organization of a tourism alliance, provision of 300 college scholarships, repair of 16 homes, and the initiation of job readiness training for welfare recipients. A tribe in the northwest managed over 3800 acres of forest land, helped 27 tribal members move from welfare to paid employment, and supported 20 members in adult education/training programs. Another tribal consortium provided life skills assistance (tax preparation, driver's education, resume writing, etc.) to over 500 members, provided assistance to village groups in preparing economic development proposals which resulted in increased funding being provided by other Federal agencies, and worked with the Reindeer Herders Association to improve the health and economic value of the reindeer herds. Fiscal Year 2010: Not available. Fiscal Year 2011: Not available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the eligibility criteria of 25 CFR Part 1000 will be selected, up to a maximum of 50 new participants annually. An applicant must be a Federally Recognized Tribe or tribal consortium, have an authorizing tribal resolution(s) from the tribal governing body (ies), have successfully completed a planning phase and have demonstrated for the previous three years, financial stability and financial management capability. Applicants are selected in the order in which complete applications are received. For more information contact the offices listed under Information Contacts.

15.024 INDIAN SELF-DETERMINATION CONTRACT SUPPORT (CONTRACT SUPPORT)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments and to tribal organizations to fund some or all of the indirect costs incurred in administering Federal programs for which direct appropriations are made to the Bureau of Indian Affairs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used in accordance with indirect cost rate proposals which have been approved by the cognizant Federal agency or for lump sum administrative cost rates negotiated with the Bureau of Indian Affairs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Federal approval of indirect cost rate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

Awards for new or expanded contracts will be awarded based upon the start date of the underlying program award, and the application date, on a first-come-first-served basis. Additional information concerning fiscal year 2002 awards will be published in 2002 as a Notice in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of a changed indirect cost rate or as a result of pro-rata reductions due to insufficient appropriations to cover all eligible awards.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments for renewals will generally be

made in two increments: 70 percent soon after the start date of the award, and the balance during the fourth quarter of the fiscal year. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$102,844,564; FY 10 est \$121,404,831; FY 11 est \$142,763,128

Range and Average of Financial Assistance:

\$10,000 to \$8,000,000; \$190,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Annually, approximately 500 Indian Federally Recognized Indian tribal Governments and tribal organizations receive support to fund the overhead costs associated with the administration of programs funded by the Bureau of Indian Affairs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240. Phone: (202) 513-7640.

Website Address:

<http://www.doi.gov/bia/self-determ/idc.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.046 Administrative Cost Grants for Indian Schools

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All renewal awards will be funded; the amount of the awards is subject to the availability of appropriations; first-time awards will be funded in the order of receipt of the application up to an annual limit of \$5,000,000.

15.025 SERVICES TO INDIAN CHILDREN, ELDERLY AND FAMILIES
(Social Services)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Snyder Act of 1921, 25 U.S.C. 13.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to administer welfare assistance programs for both American Indian adults and children; to support caseworkers and counselors; and to support tribal programs to reduce the incidence of substance abuse and alcohol abuse in Indian country.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for staff support and related costs of processing welfare applications, determining suitable placement of American Indian children in need of foster care, staffing and operational costs of emergency shelters, and similar services.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments, adult American Indians in need of financial assistance or social services counseling, American Indian children who require foster care services, and American Indian youth requiring temporary, emergency shelter.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An informal conference with Bureau of Indian Affairs agency representatives is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$21,156,785; FY 10 est \$21,156,785; FY 11 est \$21,156,785

Range and Average of Financial Assistance:

\$10,000 to \$4,800,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: On an annual basis Indian tribes provide a range of social services in order to strengthen American Indian families and American Indian communities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" and 25 CFR Part 20.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Chief, Division of Human Services, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7642.

Website Address:

<http://www.doi.gov/bia/childw-2.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.113 Indian Social Services_Welfare Assistance; 15.144 Indian Child Welfare Act_Title II Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: These funds support staff who process applications for assistance, handle referrals and provide counseling and intensive case management; or who are responsible for collecting, compiling, and reporting monthly statistics regarding child abuse and neglect, child welfare placements, and general assistance caseloads. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.026 INDIAN ADULT EDUCATION**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended.

OBJECTIVES:

To improve the educational opportunities for Indian adults who lack the level of literacy skills necessary for effective citizenship and productive employment and to encourage the establishment of adult education programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

All funds must be for direct services. Funds may not be used for administration.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and members of American Indian Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency or area office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. See the following for information on how assistance is awarded/released: Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial reports, SF 425, are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 09 \$2,434,000; FY 10 est \$2,429,000; FY 11 est \$2,421,000

Range and Average of Financial Assistance:

Range is \$100 to \$361,100; Average \$35,300.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Sixty four tribes received contracts for adult education funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 46; 25 CFR, Part 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Chris Redman, Bureau of Indian Education, Dean Am McGee, Suite 610 , Oklahoma , Oklahoma 73102 Email: chris_redman@bia.gov Phone: (405) 605-6001.

Website Address:

<http://www.oiep.bia.edu/contact.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected.

15.028 TRIBALLY CONTROLLED COMMUNITY COLLEGE ENDOWMENTS**FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Tribally Controlled College Assistance Act, Public Law 95-471, 25 U.S.C. 640c - 1(c); 25 U.S.C. 1815.

OBJECTIVES:

To provide grants to establish endowments for the Tribally Controlled Community Colleges.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to establish endowments. Interest earned on the invested funds may be used to defray expenditures associated with the operation of the College including maintenance, administration, academic and support costs, and community and student services programs. No part of the net earnings of the trust fund may be used to benefit any private person.

Applicant Eligibility:

Colleges chartered by Federally Recognized Indian Tribes which are governed by an Indian board of directors, are in operation more than one year, admit students with a certificate of graduation from a secondary institution or equivalent, provide certificates, associate, baccalaureate and graduate degrees.

Beneficiary Eligibility:

Indian students who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Approved status as a Tribally Controlled Community College. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Colleges must complete an Endowment Fund agreement in accordance with 25 U.S.C. 1832(b).

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified by the Branch Chief of Post- Secondary Education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established by the Branch of Post-Secondary Education.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals. Full appeal procedures are found in 25 CFR Part 2.

Renewals:

Colleges must file an annual application to receive continued funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: The Colleges must match an endowment grant with a capital contribution equal to half of the amount of the Federal contribution. Personal or real property received as a donation or gift may be applied toward the matching requirement.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. See the following for information on how assistance is awarded/released: Information not available.

Reports:

OMB 1076-0105, Annual Report. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 09 \$107,000; FY 10 est \$107,000; FY 11 est \$107,000

Range and Average of Financial Assistance:

Range is \$1,526 to \$5,887; Average \$5,450.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: White Earth Tribal and Community College received an endowment grant. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 41.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV of the Catalog.

Headquarters Office:

Chris Redman, Bureau of Indian Education, 215 Dean A. McGee, Suite 610, Oklahoma City, Oklahoma 73102 Email: chris_redman@bia.gov Phone: (405) 605-6001.

Website Address:

<http://www.oiep.bia.edu>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funded endowment program for twenty Tribally Community Colleges. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.029 TRIBAL COURTS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to Federally Recognized Indian Tribal Governments to operate a judicial branch of government.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of tribal court judges, prosecutors, defenders, clerks of court, probation officers, juvenile officers, and other court personnel.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments exercising law enforcement jurisdiction on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$13,716,392; FY 10 est \$23,346,472; FY 11 est \$23,346,472

Range and Average of Financial Assistance:

\$15,000 to \$800,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: More than 250 tribal justice systems and Courts of Indian Offenses (serving 40 Indian tribes) are supported by this program. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Ralph Gonzales, Office of Tribal Services, Bureau of Indian Affairs, 1849 C Street, NW., MS 320-SIB, Washington, District of Columbia 20240 Phone: (202) 513-7641.

Website Address:

<http://www.doi.gov/bia/ots/otshome.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.030 INDIAN LAW ENFORCEMENT

Law Enforcement

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Law Enforcement Reform Act, Public Law 101-379, 25 U.S.C. 2801.

OBJECTIVES:

To provide funds to Indian Tribal Governments to operate police departments and detention facilities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of criminal investigators, uniformed officers, detention officers, radio dispatchers, and administrative support.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments exercising Federal criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes on their reservations and operating a Law Enforcement Services program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Initial application must be accompanied by an authorizing resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs field or district office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that had been previously prioritized by the individual Indian tribe through participation in the Bureau of Indian Affairs' budget formulation process and upon identified program needs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$116,700,169; FY 10 est \$137,073,929; FY 11 est \$137,073,929

Range and Average of Financial Assistance:

\$20,000 to \$20,000,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: On an annual basis, approximately 210 law enforcement services programs and approximately 80 detention facilities are supported by this program. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments;" 25 CFR Parts 10, 11 and 12; Indian Affairs Manual, Chapter 40, "Law Enforcement and Detention;" and Detention and Law Enforcement Handbooks.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' law enforcement field or district office as listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Director, Law Enforcement Services, Bureau of Indian Affairs, 1849 C Street NW, MS 2429 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5787.

Website Address:

[http://www.bialaw.fedworld.gov/;](http://www.bialaw.fedworld.gov/)
[http://www.doi.gov/bureau-indian-affairs.html.](http://www.doi.gov/bureau-indian-affairs.html)

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting the requirements of 25 CFR Part 900 who exercise criminal law enforcement authority over crimes under the Major Crimes Act (18 U.S.C. 1153) and other Federal statutes and who currently operate a Law Enforcement Services program will be selected. Additional funding provided under the Initiative will be distributed on the basis of need.

15.031 INDIAN COMMUNITY FIRE PROTECTION

(Community Fire Protection)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To provide funds to perform fire protection services for Indian Tribal

Governments that do not receive fire protection support from State or local government.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used to support staff, train volunteer fire-fighters, repair existing fire-fighting equipment, and purchase additional equipment. Funds may also be used to purchase smoke detectors, fire extinguishers, fire escapes, and emergency lights for public building.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments performing fire protection services on their reservation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

An initial application must be accompanied by a copy of the authorizing resolution from the Federally Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of an initial contract proposal has already been provided, a reference to that resolution. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program accomplishment reporting requirements will be negotiated with the

Self-Determination contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-1-1-999.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,778,312; FY 10 est \$1,778,312; FY 11 est \$1,778,312

Range and Average of Financial Assistance:

\$200 to \$138,000; \$10,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: On an annual basis, funding is provided to over 40 tribal fire protection programs on reservations. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications should be filed with the local Bureau of Indian Affairs' agency or regional office as listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Office of Tribal Service, Bureau of Indian Affairs, 1849 C Street, NW, MS 320 SIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.doi.gov/bia/ots/otshome.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

15.032 INDIAN ECONOMIC DEVELOPMENT

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as

amended, 25 U.S.C. 450.

OBJECTIVES:

To assist Federally Recognized Indian Tribal Governments by providing the resources necessary to develop a self-sustaining economic base. The program provides opportunities for business development, the coordination and integration of programs through out the Federal government, and the partnering of Federally Recognized Indian Tribal Governments with local government and the public and private business sector.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are used to administer tribal revolving loan programs and guaranty loan programs in order to develop resources to improve access to capital in tribal economies. Final approval of loan guarantees is restricted to the Bureau of Indian Affairs. Funds can also be used by Federally Recognized Indian tribal Governments to develop essential tribal ordinances and commercial business codes which provide the necessary separation and exercise of sovereign immunity between business activities and tribal regulatory activities to promote a pro-business environment.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Initial applications to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog. In some instances, the application will be forwarded to the Regional Director for approval.

Award Procedure:

In most cases, the application to administer the program can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

A Federally Recognized Tribal Government or Native American Organization whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Renewals may be granted indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Some awards under this program may be one-time grants for a specific purpose and may not be subject to renewal.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the contractor/grantee. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federally Recognized Indian Tribal Governments and Native American Organizations administering the program must submit the SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$2,479,466; FY 10 est \$2,479,466; FY 11 est \$2,479,466

Range and Average of Financial Assistance:

\$5,000 to \$300,000; \$215,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Several Federally Recognized Indian Tribal Governments administer programs that monitor the status of existing loans made to its members and collect on loans made by re-lending programs and credit associations. The programs that may be administered include: guaranteed loans from the Loan Guaranty and Insurance Fund, Direct Loans, and grants from the Indian Business Development Program and Community and Economic Development Program. Some of the programs listed may not be open for new applications. The programs also provide assistance to American Indian owned businesses and individual American Indians in obtaining financing from private sources. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Mike Lugar, Policy and Economic Development, Office of the Assistant Secretary - Indian Affairs, 1849 C Street, N.W., MS-4071 MIB, Washington, District of Columbia 20240 Phone: (202) 219-0005.

Website Address:

<http://www.doi.gov/bia/ecodev/index.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities;
15.124 Indian Loans_Economic Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A Commercial Center Expansion in New Mexico creating/retaining 25 jobs. A combined dental office and social services center in the Washington which created 20 new jobs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals to administer an economic development program are evaluated on the capability of the prospective applicant to successfully conduct the program and on budget and its effective use. All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.033 ROAD MAINTENANCE_INDIAN ROADS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Federal Highway Act of 1921, as amended, Public Law 70-520, 45 Stat. 750, 25 U.S.C. 318(a); Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide limited routine maintenance on paved, gravel, earth, and unimproved roads; bridges; and airstrips.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

These funds are used to repair and maintain public roads serving Indian reservations, with particular emphasis on school bus routes and arterial highways; for snow removal, ice control, washout repair, and landslide removal; for replacement, rehabilitation, or maintenance of the 745 bridges on Indian reservation roads; for operation and maintenance of a ferry across Lake Roosevelt serving the Colville Indian Reservation; and for the maintenance of public airstrips located on Indian reservations which are not maintained by other governmental entities. Access to these funds is restricted to tribes with qualifying road miles or other facilities required to be maintained by this program.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Tribal governments which have jurisdiction over roads or other facilities that qualify for this program.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and individual American Indians.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Tribes must submit a needs request to have roads or facilities added to the system being maintained under this program. An informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For awards made under this program, grantees/contractors are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et. seq.).

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$0; FY 10 est \$142,500,000; FY 11 est not reported. (Direct Payments for Specified Use) FY 09 not reported.; FY 10 est \$0; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 170.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

LeRoy Gishi, Office of Trust Responsibilities Tribal Services, Division of

Transportation, Bureau of Indian Affairs, 1849 C Street NW, MS-4058 MIB, Washington, District of Columbia 20240 Phone: (202) 208-4359.

Website Address:

<http://www.doi.gov/bia/otrhome.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.034 AGRICULTURE ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; American Indian Agriculture Resource Management Act, Public Law 103-177, 107 Stat. 2011, 25 U.S.C. 3701.

OBJECTIVES:

To protect and restore the agricultural (cropland and rangeland) resources on trust lands and facilitate the development of renewable agricultural resources.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used to support inventory, analysis, management, and improvement of Indian agricultural lands; to administer authorized use of Indian agricultural lands; and to support efforts to control noxious weeds and other invasive species on Indian lands.

Applicant Eligibility:

Native American individuals, tribal governments and native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources.

Beneficiary Eligibility:

Native American landowners, Indian tribes and their members, native organizations authorized by tribal governments, and individuals and entities authorized to make use of Indian agricultural lands and resources.

Credentials/Documentation:

Must have a landownership, tribal government, or authorized-user interest in the Indian agricultural land or resource that is the basis of assistance request. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For Public Law 93-638 requests, awards of noxious weed control funding are made by the Central Office of the BIA. Individual advisory services and counseling, and provision of specialized services are handled at the Agency, Region, or Central Office level as appropriate.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Agriculture awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process (TPA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

For Public Law 93-638 requests applications will be processed within 90 days.

Appeals:

For Public Law 93-638 requests an unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

For Public Law 93-638 requests agriculture awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Noxious Weed Eradication assistance is awarded for projects on a year-by-year basis and is not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Noxious Weed Eradication requires a minimum 50 percent cost-share agreement for the control projects.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Public Law 93-638 requests noxious weed control funding is awarded annually and must be obligated by the second year. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports, SF 425 are required. The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$9,911,214; FY 10 est \$10,844,649; FY 11 est \$10,844,649

Range and Average of Financial Assistance:

Agriculture: \$200 to \$575,000; \$50,000. Noxious Weed Eradication: \$500 to \$300,000; \$6,200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Annually, program funds, through the TPA process, assist nearly 30,000 farmers, ranchers, and landowners in the management and development of over 45 million acres of Indian land used for farming and grazing. Fifty tribes/tribal organizations receive competitive Noxious Weed Eradication awards, treating approximately 80,000 acres of trust lands. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Parts 161, 162, 166, 167, 168, and 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

David Edington, Office of Trust Responsibilities, Division of Water and Land Resources, Branch of Agriculture and Range, Bureau of Indian Affairs, 1849 C Street NW, MS 4513 MIB, Washington, District of Columbia 20240 Phone: (202) 513-0866.

Website Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.033 Road Maintenance_Indian Roads

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Inventories to identify vegetative cover, condition, and level of annual utilization; preparation of programmatic and unit-specific planning documents; rangeland pest control and livestock trespass investigation; leasing and permitting support and administration; chemical, mechanical and biological control of noxious weeds; and integrated pest management. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For contracts under the Indian Self-Determination and Education Assistance Act: All applicants for assistance meeting the criteria in 25 CFR Part 900 will be funded. Noxious Weed Eradication: Project proposals are ranked according to land use and indicators of cooperators support, pest management integration, degree of establishment of targeted weed, and support of noxious weed control.

15.035 FORESTRY ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Forest Resources Management Act of 1990, 36 Stat. 857, 25 U.S.C. 406 and 407; Act of June 25, 1910, Public Law 61-313; 62 Stat. 787 and 788, 18 U.S.C. 1853, 1855, and 1856; Rumsey Indian Rancheria Act, Sections 304-320, 104 Stat. 4531.

OBJECTIVES:

To maintain, protect, enhance, and develop Indian forest resources through the execution of forest management activities.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used to support activities of reforestation and commercial forest stand improvement; timber sales management; forest inventories and plans; forest program management and administration; and forest protection activities including Wild land Fire Management. Forest Inventory, Management Planning and Forest Development funds are awarded competitively.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance (Public Law 93-638) must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog. Requests for technical services can be made through the local BIA agency office.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval. The dollar value of Forestry awards depends upon the amount that has been prioritized by the individual tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Forestry. Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Forestry Management Inventories, Planning and Forest Development funds are awarded for projects on a year-by-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been

resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$16,388,549; FY 10 est \$16,388,549; FY 11 est \$16,388,549

Range and Average of Financial Assistance:

Range is \$10,000 to \$1,000,000: Average \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; 25 CFR 163 -- General Forestry Regulations.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Bill Downes, Office of Trust Services, Division of Forestry and Wildland Fire Management, Bureau of Indian Affairs, 1849 C Street N.W., MS-4513 MIB, Washington, District of Columbia 20240 Email: bill_downes@bia.gov Phone: (202) 208-4620.

Website Address:

[http://www.doi.gov/bia/otrhome.htm#Forests;](http://www.doi.gov/bia/otrhome.htm#Forests)
[http://www.doi.gov/bureau-indian-affairs.html.](http://www.doi.gov/bureau-indian-affairs.html)

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Forestry: All applicants meeting the criteria in 25 CFR Part 900 will be funded. Forestry Management and Development: Criteria include need, benefit/cost analysis, capability of the applicant to successfully conduct the project, and budget and its effective use. Awards are based on the commercial timber base, the annual forest development inventory, and on periodic priority needs as determined by Regional Offices.

15.036 INDIAN RIGHTS PROTECTION

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101 et seq.; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399, 108 Stat. 4164; Indian Lands Open Dump Cleanup Act of 1994; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To protect Indian rights guaranteed through treaty or statute by obtaining the services or information needed to litigate challenges to these rights.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Awards fund projects to collect information needed by the Federal government to litigate challenges to Indian rights which have been guaranteed through treaty or statute.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

The dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor or grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor or grantee. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from

the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$33,572,913; FY 10 est \$49,773,913; FY 11 est \$49,773,913

Range and Average of Financial Assistance:

Range is \$1,000 to \$100,000; Average \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicky Forrest, Department of the Interior, Bureau of Indian Affairs, Office of Trust Responsibilities, 1849 C Street, NW, MS-4510 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5831.

Website Address:

<http://www.doi.gov/bia/Rightsprot.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

All applicants meeting the criteria in 25 CFR Part 900 will be funded.

15.037 WATER RESOURCES ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208.

OBJECTIVES:

To support Indian tribes in the effective and efficient management, planning, and use of their water resources.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Funds are used by tribes to conduct water management and planning project and activities for the purpose of managing and conserving their water resources and to participate in the on-going water rights negotiation and litigation activities to protect and secure their lawful water rights. All awards are project/proposal specific and are the result of a nationwide competitive process.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. This. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Bureau's application and prioritization procedure for water programs funding has been published as a Notice in the Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005 ("Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests"). Completed applications should be submitted by the established deadline to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are made by the Deputy Bureau Director, Office of Trust Services, Central Office, based on the prioritization of all applications received through a thorough evaluation and scoring by a six-person review team. Awards are usually made shortly after the review team has completed its reviews of all proposals received in Central Office by the due date of September 15th. Any awards are subject to the availability of the congressional appropriations for the new fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

There are no set times for approval or disapproval.

Appeals:

No appeal process. The Water Programs funds are annual, non-recurring congressional appropriations and any awards made on the basis of the published prioritization process and the availability of funds.

Renewals:

Funds are appropriated for use in non-recurring projects/activities on a year-to-year basis and are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applications are evaluated and scored based on weighted criteria that have a maximum scoring range of 100 points (congressional and court mandates automatically score 100). No matching requirement, however, applications with available matching funds may score higher.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Water program funds awarded to tribes through Public Law 93-638, as amended, are subject to the requirements of the Act and 25 CFR Part 900. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$16,756,691; FY 10 est \$16,756,691; FY 11 est \$16,756,691

Range and Average of Financial Assistance:

Range is \$10,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Revised Instructions for Preparing and Prioritizing Water Program Funding Requests, Federal Register, Vol. 70, No. 201, Wednesday, October 19, 2005.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Division Chief, Bureau of Indian Affairs, Division of Natural Resources, Office of Trust Services, 1849 C Street, NW, MS 4650 MIB, Washington, District of Columbia 20240 Phone: (202) 208-3956.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Water Management, Planning, and Pre-development proposals are evaluated according to the type of proposal (as published in the Federal Register Notice, Vol. 70, No. 201, October 19, 2005): Water Management - Indian Water and Associated Resources; Water Management - Coordination/Cooperation/Consultation/Conservation; Water Management - Other; Water Planning and Pre-development - Indian Water; and Water Planning and Pre-development - Other: In addition, all proposals are evaluated on the basis of: Cost Effectiveness, Compliance with Requesting Guidelines; and Efficiency and Accomplishment. Projects are funded based on rankings of the projects Bureau wide, and in accordance with the national program priorities established for the program. Some preference is given to proposals that offer matching funds. Proposals are evaluated on the capability of the prospective applicant to successfully conduct the project, and on the budget and its effective use.

15.038 MINERALS AND MINING ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Minerals Development Act, Public Law 97-382, 98 Stat. 1938, 25 U.S.C. 2101 et seq.; Umatilla Basin Project Act, Public Law 101-557, 16 U.S.C. 1271 et seq.

OBJECTIVES:

The objectives of the Energy and Mineral Development Program are to: (1) provide funds to Tribes to perform technical evaluations of the energy (both renewable and conventional) and mineral resource potential of Indian reservations; (2) provide Tribes with geological, geophysical and engineering reports, maps, and other data concerning their energy and mineral resources; (3) provide Tribes technical assistance on using and interpreting assessment information so that Tribes can understand and plan for the potential development of these resources; and (4) provide Tribes with an outreach vehicle to promote their lands and resources to potential partners if they so desire. Those projects that fell into economic development categories will be considered for funding through the Office of Indian Energy and Economic Development.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DISSEMINATION OF TECHNICAL INFORMATION; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Minerals and Mining: Funding may be used to facilitate the inventory, assessment, promotion and marketing of both renewable and nonrenewable energy and mineral resources on Indian lands. Energy and Mineral Assessments: Funds are awarded competitively to support assessment and inventory programs and/or develop baseline data, but cannot be used for development purposes.

Applicant Eligibility:

Federally Recognized Indian Tribes and Individual American Indian mineral owners.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members, Native American Organizations, and/or individual American Indian mineral property owners.

Credentials/Documentation:

Minerals and Mining: Initial application for assistance must be accompanied by a resolution of the governing body of the Indian tribe. Mineral Assessments Proposals must include: (1) a current tribal resolution authorizing the proposed project; (2) a proposal describing the proposed activities and the planned deliverable products; (3) a detailed budget estimate; and 4) a review by the BIA Agency Superintendent verifying that the work to be performed occurs on trust or restricted fee lands (the Superintendent will notify DEMD in writing if that is not case). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications for financial assistance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications for Minerals and Mining should be submitted to the Division of Energy and Mineral Development office in Lakewood, Colorado and to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Mineral and Mining/Mineral Assessments. Proposals are paneled by the Office of Indian Energy and Economic Development. Funds are awarded according to the order of ranking of the proposals, although some elements of the budget may not be fully funded due to the Division's own ability to perform some tasks at lower costs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Mineral Assessments within 120 days after the solicitation period.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Minerals and Mining/Mining Assessment: A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. See the following for information on how assistance is awarded/released: The timing of payments is negotiated with the grantee.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Technical reports describing project outcomes are defined as deliverables in an Energy and Mineral Development award and the data generated must be presented in a compatible computer format as specified by the Division of Energy and Mineral Development.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved. For Mineral Assessment awards, one paper copy of all data (field data, processed data, analyses, assays, etc.) must be submitted at completion of contract.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,178,506; FY 10 est \$2,110,364; FY 11 est \$2,110,364

Range and Average of Financial Assistance:

Minerals and Mining: Currently not contracted by any of the tribal governments. Mineral Assessments range is \$25,000 to \$150,000; Average \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Minerals and Mining facilitates over \$400 million in annual minerals income to tribes and allottees. Between 25 and 50 Tribes request Energy and Mineral Development projects annually; generally 10 to 20 projects are funded. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 900 and Subchapter I - Energy and Minerals.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Steve Manydeeds, Indian Affairs, Division of Energy and Mineral Development, 12136 W. Bayaud Ave., Suite 300, Lakewood, Colorado 80228
Phone: (303) 969-5270, Ext. 225.

Website Address:

[http://www.doi.gov/bia/otrhome.htm#Energy;](http://www.doi.gov/bia/otrhome.htm#Energy)
[http://www.doi.gov/bureau-indian-affairs.html.](http://www.doi.gov/bureau-indian-affairs.html)

RELATED PROGRAMS:

15.222 Cooperative Inspection Agreements with States and Tribes; 15.252 Abandoned Mine Land Reclamation (AMLR) Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects support the development of energy (both renewable and conventional) and mineral resources on Indian lands through assessment studies, economic analysis and market studies, developing strategies for leasing feasibility studies and mining plans, establishing terms for exploration agreements, environmental reviews, and training. Mineral Assessments include seismic exploration, inventories of existing geophysical and geological data, development of an oil and gas resource database and mapping system, and evaluation and assessment of mineral resources. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Energy and Mineral Assessments: Proposals are evaluated according to technical merits of the mandatory elements of the proposal. Additionally, selection criteria for ranking contain six criteria: (1) Resource Potential; (2) Commodity Marketability; (3) Economic Benefit, (4) Willingness to Develop; (5) Tribal Commitment; and (6) Additional funding or participation from other entities.

15.040 REAL ESTATE PROGRAMS_INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; 60 Stat. 1097, 25 U.S.C. 176; Indian Land Consolidation Act; Act of March 3, 1901; Act of March 3, 1921; Reorganization Plan No. 3, 1946; Public Law 56-382, 31 Stat. 1084, 25 U.S.C. 311; Public Law 66-359, 41 Stat. 1232, 25 U.S.C. 393; Public Law 97-459, 96 Stat. 2515, 25 U.S.C. 2201 et seq.; Public Law 98-608, 98 Stat. 3171; Public Law 102-238.

OBJECTIVES:

To provide real property management, counseling, and land use planning services to individual Indian allottees and Indian tribal and Alaska Native entities who own an interest in almost 56 million acres of trust land; to provide real estate appraisal services required in processing land transactions, and to protect and enhance the Indian leasehold estate by providing individual Indian landowners and Indian tribes with lease compliance activities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Real Estate Services: decision-making processes are developed through cooperative efforts with the Indian landowners for the proper utilization, development, and enhancement of Indian trust lands. Real Estate Appraisals are one element in trust land transactions and are restricted to American Indians who have an ownership interest in property held in trust by the Federal government. Lease Compliance: program resources are used to ensure that leases on trust and restricted Indian lands and their assignees comply with the terms of the leases.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments, Native American Organizations authorized by tribes, and individual American Indians.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body

of the Indian tribe. For real estate appraisals, documented ownership interest in property held in trust is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications for real estate services and lease compliance must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

For Real Estate Services and Real Estate Appraisals, the dollar value of the awards depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the BIA's budget formulation process. For Lease Compliance, funding is distributed to the Regional offices on the basis of number of existing leases and the number of inspections planned for the year. For Lease Compliance awards, in some cases, the award can be approved at the agency level. In most instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards for Real Estate Services and Lease Compliance may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Awards for Real Estate Appraisals are a single-action item completed for a specific property and are not subject to renewal.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$4,247,141; FY 10 est \$4,247,141; FY 11 est \$4,247,141

Range and Average of Financial Assistance:

For Real Estate Services, tribal award amounts vary from approximately \$1,000 to \$500,000. For Real Estate Appraisals, the cost of most appraisals is less than \$500 but can range up to approximately \$2,500 or more for large or complex properties; the average is \$1000. Lease Compliance awards range from \$250 to \$30,000 with most awards less than \$5,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 162; 30 CFR; 43 CFR; Uniform Standard of Professional Appraisal Practices as promulgated by the Appraisal Standards Board of the Appraisal Foundation.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs' agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicki Forrest, Office of Trust Responsibilities, Division of Real Estate Services, Bureau of Indian Affairs, 1849 C Street NW, MS 4510 MIB, Washington, District of Columbia 20240 Phone: (202) 208-7737.

Website Address:

<http://www.doi.gov/bia/otrhome.htm#Land>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Funding levels for Real Estate Services and Real Estate Appraisals are determined by the individual Tribe through participation in the BIA's budget formulation process. All applicants meeting the criteria in 25 CFR Part 900 will be funded. Appraisals are conducted for all transactions involving trust property. The time required to complete an appraisal depends on the number of requests being processed by a specific office and the resources available to meet the requests. Lease Compliance funds are distributed to the Regional offices based on three factors: (1) total number of leases, (2) total number of acres, and (3) total amount of income derived from leases. Awards for lease compliance are determined by the number of qualifying leases reported to the Agency and Regional Offices. Minimum funding of \$50,000 is allocated to each Regional office. In allocating program resources, priority is given to activities involving agriculture, oil and gas, mining, industrial, business, recreational, and other income-producing leases.

**15.042 INDIAN SCHOOL EQUALIZATION PROGRAM
ISEP**

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funding for primary and secondary education.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for the education of Indian children in BIA funded schools. Funds may not be used for construction.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Affairs funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are a member of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the Agency/Area Education Program Administrator who will provide technical assistance. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et. seq.

Award Procedure:

The Agency/Area Education Program Administrator will ensure the application is complete and forward the application to the Director, Office of Indian Education Programs, with a recommendation for approval or disapproval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the Agency/Area Education Program Administrator.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Title 25, Chapter 39. Approximately ninety-nine percent of the ISEP funds will be allocated in accordance with the ISEP formula contained in 25 CFR 39. One percent of the funds shall be reserved for the Director's discretion.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds may be paid in advance, are awarded annually on an indefinite basis based on performance, and remain with the Self Determination contractor or grantee until spent. Method of awarding/releasing assistance: lump sum.

Reports:

Annual Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 09 \$229,585,425; FY 10 est \$240,068,484; FY 11 est \$240,897,329

Range and Average of Financial Assistance:

The estimated range is \$101,400 to \$8,600,000. The average is \$1,774,000 per school.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin, Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240
Email: joe_herrin@bia.gov Phone: (202) 208-7658.

Website Address:

<http://www.oiep.bia.edu/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.043 Indian Child and Family Education; 15.044 Indian Schools_Student Transportation; 15.045 Assistance for Indian Children with Severe Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.043 INDIAN CHILD AND FAMILY EDUCATION

FACE

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.

OBJECTIVES:

To begin educating children at an early age through parental involvement, to increase high school graduation rates among Indian parents, and to encourage life-long learning.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Funds must be used for early childhood education, adult education and parenting skills. Funds may not be used for administration.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian tribal governments on reservations with Bureau-funded elementary schools may apply to administer the program.

Beneficiary Eligibility:

Parents and their Indian children under 5 years of age who live on a reservation with a Bureau-funded school.

Credentials/Documentation:

The initial application must be accompanied by a resolution of the tribal governing body of the Tribe of the children served by or to be served by the organization. No documentation is required of the parents or children. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Tribe should contact the Education Line Officer.

Award Procedure:

Once an application is received, the Coordinator of the FACE program appoints a panel of readers from such organizations as the Indian Health Service, the Department of Education and others who are familiar with early childhood development. The panel reads, rates and ranks the applications. The coordinator then recommends to the Director, Office of Indian Education, those applications for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications by Tribal governments to administer the program will be processed within 90 days.

Appeals:

Tribal Governments wishing to appeal should write to the Director, Office of Indian Education. Appeals beyond this level are covered by 25 CFR Part 2.

Renewals:

Awards to Tribal Governments to administer the program may be renewed every 3 years based upon satisfactory performance. A notice of intent to renew should be submitted yearly and a full application must be submitted every 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis based on satisfactory performance, and the funds remain available until expended by the contractor or grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Monthly progress reports, annual participation data, screening, work sampling, and other program data requested is required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 09 \$6,750,000; FY 10 est \$7,250,000; FY 11 est \$7,250,000

Range and Average of Financial Assistance:

Each site receives \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Twenty seven schools (27) of the Bureaus 183 schools received funds through contracts or grants. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

A copy of the guidelines may be obtained by contacting the Education Line Officers.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Debbie Lente-Jojola, Office of Indian Education Programs, Bureau of Indian Affairs, Office of the Deputy Director, Early Childhood, BIA Building 2, 1011 Indian School Road, N.W., 3rd Floor, Suite 332, Albuquerque, New Mexico 87104 Phone: (505) 563-5258.

Website Address:

<http://www.oiep.bia.edu/sirc.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: America Horse School received a grant for \$250,000 to operate a FACE program. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the review of the team appointed by the Coordinator. Final determination made by the Director, OIEP, based on the Coordinator's recommendation.

15.044 INDIAN SCHOOLS_STUDENT TRANSPORTATION

(Student Transportation)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide funds to each school for the round trip transportation of students between home and the school site.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are to be used only for the transportation of students who are eligible under the Indian School Equalization Program (ISEP) as provided for under 25 CFR 39 and are enrolled in a Bureau funded school or dormitory.

Applicant Eligibility:

Federally Recognized Indian Tribes or tribal organizations currently served by a Bureau of Indian Affairs funded school.

Beneficiary Eligibility:

Children between the ages of 5 and 21 who are members of or are at least a one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Written evidence of approval of the application by the tribal governing body of the students served by or to be served by the school. "Tribal governing body" means the tribal governing body or tribal governing bodies that represent at least 90 percent of the students served by such school. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the Education Line Officer who will provide technical assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To become a contract school, applications should be developed in accordance with 25 CFR Part 900. To become a grant school, applications should be developed in accordance with 25 USC 2501 et seq.

Award Procedure:

The Agency/Area Education Program Administrator will ensure the application is complete and forward the application to the Director, Office of Indian Education Programs, with a recommendation for approval/disapproval.

Deadlines:

Jul 01, 2009 Schools must submit their applications and a copy of the tribe's approval of the application by March 1. Evidence that all administrative systems are in place such as accounting, personnel and property must be submitted by July 1.

Range of Approval/Disapproval Time:

Applications for contract schools will be processed within 90 days; applications for grant schools will be processed within 180 days after the date the application is submitted to the Agency/Area Education Program Administrator.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are made annually and are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Funds are allocated in accordance with 25 CFR 39

Subpart G. The Director, Bureau of Indian Education will review transportation allotment factors each year and make changes in factors based on changes in transportation costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments are made in advance or by way of reimbursement. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 09 \$31,348,000; FY 10 est \$31,348,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$1,000 to \$1,358,800; Average \$255,700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Joe Herrin, Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: joe_herrin@bia.gov Phone: (202) 208-7658.

Website Address:

<http://www.oiep.bia.edu/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education; 15.045 Assistance for Indian Children with Severe Disabilities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.045 ASSISTANCE FOR INDIAN CHILDREN WITH SEVERE DISABILITIES

Residential Education Placement Program

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Individuals with Disabilities Education Act (IDEA), Public Law 101-476, 20 U.S.C. 1400.

OBJECTIVES:

To provide for the special education and related services of Indian children with severe disabilities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for physical and occupational therapy, speech therapy, direct academic services and administration including staff development. Funds may only be used for students who are enrolled in a Bureau-funded school.

Applicant Eligibility:

Members or direct descendants of members of Federally recognized tribes and enrolled in a BIA-funded school.

Beneficiary Eligibility:

Indian children enrolled in a Bureau-funded school who are between the ages of five and twenty-one who have been determined by a multi-disciplinary team comprised of parents, teachers, school administrators and other concerned parties to be in need of specialized services available only in residential settings. Children whose fifth birthday occurs on or before December 31 or whose twenty-second birthday occurs during the course of the regular school year shall be regarded as eligible children for the entire school year.

Credentials/Documentation:

An Individual Education Program must be developed by a multi-disciplinary team. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A parent, classroom teacher, Tribal educator or other concerned party must identify a student as needing services and inform the head of the school attended by the student. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Individual Education Program is submitted to either the Residential Education Placement Program Coordinator at the New Mexico Navajo Central Education Line Office, the Standing Rock Education Line Office or the Bureau of Indian Education Office's Division of Performance and Accountability in Albuquerque, NM.

Award Procedure:

The multi-disciplinary team in conjunction with the concerned Institutionalized Coordinator determines in what institution the child will receive services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

10 to 45 days.

Appeals:

The appeals process is outlined in the Individuals with Disabilities Education Act, Public Law 101-476, 20 U.S.C. 1400.

Renewals:

Individual Education Programs must be updated annually on the anniversary date of the Individual Education Program for as long as the student requires services.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments are made directly to the institution in which the eligible child is placed. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of the submission of the Single Audit Report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement or transfer. Records pertaining to litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 09 \$3,760,000; FY 10 est \$3,760,000; FY 11 est \$3,760,000

Range and Average of Financial Assistance:

The average education cost for students in institutions is between \$140 and \$300 per day.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Approximate 60 students were placed in 24 hour care programs during School Year 2009-2010. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 38; 25 CFR 39; 25 CFR 42; 25 CFR 43; 25 CFR 45; 25 CFR 900; 34 CFR 300; 34 CFR 300 (Appendix C); 34 CFR 303.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Jeff Hamley, Bureau of Indian Education, Division of Performance and Accountability, 1011 Indian School Road, Suite 332, Albuquerque, New Mexico 87104 Email: jeff_hamley@bia.gov Phone: (505) 563-5255.

Website Address:

<http://www.oiep.bia.edu/sped.htm>;

<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042 Indian School Equalization Program; 15.043 Indian Child and Family Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

All Individual Education Programs are funded.

**15.046 ADMINISTRATIVE COST GRANTS FOR INDIAN SCHOOLS
FEDERAL AGENCY:**

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001 et seq.; Tribally Controlled Schools Act, 25 U.S.C. 2501 et seq.

OBJECTIVES:

To provide grants to tribes and tribal organizations operating schools for the purpose of paying administrative and indirect costs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for program services related administrative overhead, operations necessary to meet requirements of the law, prudent management practices, and other necessary support functions.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or Tribal Organizations operating a Bureau of Indian Affairs funded school.

Beneficiary Eligibility:

Indian Tribal Governments or tribal organizations operating an elementary or secondary school under a grant or Self-determination contract with the Bureau of Indian Affairs.

Credentials/Documentation:

Approved status as a grant or contract school. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No separate application is required. The additional allocation will be calculated by the Bureau of Indian Affairs and added to the underlying award.

Award Procedure:

The headquarters office calculates the amount of the award and the grantee is notified by the Education Line Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An applicant who disputes the amount of the award may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards are renewed automatically based on satisfactory performance by the grantee.

Formula and Matching Requirements:

Statutory Formula: Public Law 25 U.S.C. 2008(a).
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available without fiscal year limitation until expended by the contractor/grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Narrative Report and a program evaluation by an impartial third party such as an accrediting agency. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$43,373,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$1,975 to \$131,600; Average \$386,491.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 39; 25 CFR 45; 25 CFR 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin, Headquarters Office: Office of Indian Education Programs, Bureau of Indian Affairs, MS-3609 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: joe_herrin@bia.gov Phone: (202) 208-7658.

Website Address:

<http://www.oiep.bia.edu/administration.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042 Indian School Equalization Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants meeting eligibility criteria are funded.

15.047 INDIAN EDUCATION FACILITIES, OPERATIONS, AND MAINTENANCE

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.; Indian Education Amendments of 1978, Public Law 95-561, 25 U.S.C. 2001; Tribally Controlled Schools Act, 25 U.S.C. 2501.

OBJECTIVES:

To provide funds to BIA funded elementary or secondary schools or peripheral dormitories for facilities operations and maintenance.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for salaries and related expenses of maintenance personnel, utilities, maintenance of school grounds, supplies, equipment, materials, and for minor repairs to facilities.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or tribal organizations currently served by a BIA funded elementary or secondary school or peripheral dormitory.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and occupants and visitors of BIA funded elementary or secondary schools or peripheral dormitories.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. If the school serves students from multiple tribes, resolutions from tribal governing bodies representing at least 90 percent of the students are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications for status as a contract school must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Initial applications for status as a grant school should be developed in accordance with 25 U.S.C. 2501 et seq.

Award Procedure:

Applications should be submitted to the Agency/Regional Education Program Administrator (EPA) who will ensure that the application is complete. The application is forwarded by the EPA to the Director, Office of Indian Education Programs, with a recommendation for approval or disapproval. The dollar value of the awards is based upon school age and condition, size, utilities costs, and other pertinent factors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 to 180 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee and continued use of the facilities. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted based on appropriations and need.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program accomplishment reporting requirements will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 09 \$59,002,800; FY 10 est \$59,002,800; FY 11 est \$59,002,800 - Operations \$32,314,507, Maintenance \$26,688,293. (Direct Payments for Specified Use) FY 09 \$0; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$88,800 to \$556,600; Average \$3,163,651.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: One hundred twenty four schools (124) of the Bureau's 183 schools received funds through contracts or grants. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency/regional education program administrator as listed in Appendix IV of the Catalog.

Headquarters Office:

Joe Herrin, Office of Indian Education Programs, Bureau of Indian Affairs, MS 3609 MIB, 1849 C Street, N.W., Washington, District of Columbia 20240
Phone: (202) 208-7658.

Website Address:

<http://www.oiep.bia.edu/>; <http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.042 Indian School Equalization Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.051 ENDANGERED SPECIES ON INDIAN LANDS**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Endangered Species Act, Public Law 93-205.

OBJECTIVES:

To comply with the Endangered Species Act, the Northern Spotted Owl

Recovery plan, and to implement the Endangered Species Recovery on Indian lands.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS FOR A SPECIFIED USE; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The program monies are species specific. Appropriated funds allow those tribes in areas of endangered species to conduct activities that are necessary to comply with the Endangered Species Act.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments whose reservations are in areas inhabited by these specific endangered species.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments.

Credentials/Documentation:

Initial application for financial assistance must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal application conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Financial assistance is in the form of nonrecurring awards appropriated for projects on a year-by-year basis. They are not intended to provide perennial support for tribal programs.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance

monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$677,004; FY 10 est \$677,004; FY 11 est \$677,004

Range and Average of Financial Assistance:

Range is \$20,000 to \$140,000; Average \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900; 50 CFR Part 17 Endangered and Threatened Wildlife and Plants.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Ira New Breast, Bureau of Indian Affairs, Office of Trust Services, Division of Natural Resources, Branch of Fish and Wildlife Recreation, MS 4656 MIB 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-4088.

Website Address:

<http://www.doi.gov/bia/otrhome.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.034 Agriculture on Indian Lands; 15.035 Forestry on Indian Lands

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria such as the geographic extent of potential critical habitat and the amount of harvested acres (forest, range, agricultural, etc.) are considered, as well as the methodologies proposed and the capability of the applicant to successfully conduct the project.

15.052 LITIGATION SUPPORT FOR INDIAN RIGHTS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 103-399; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.

OBJECTIVES:

To establish or defend Indian property or treaty rights through judicial, administrative, or settlement actions.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

This program supports requests from tribes for expert witnesses, research, data collection, technical support, and other evidence-gathering activities required for the United States to defend the government's position in litigation cases involving Indian rights issues such as hunting, fishing and gathering rights issues; trespass; title issues, such as property line disputes; rights- of-way disputes; allotment claims; mineral entry; pollution and other activities which have harmed or could harm the health and safety of the reservation population. Funds may be used for the payment of Equal Access to Justice Act (EAJA) settlements or other payments ordered by the Courts, the Department of Justice and the Department of the Interior Office of the Solicitor.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by these Tribes.

Beneficiary Eligibility:

Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

Applications must be submitted each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee for the purposes specified in the application. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,386,000; FY 10 est \$0; FY 11 est not reported.

Range and Average of Financial Assistance:

Funding range and average is not available. Approximately 30 funding requests are received on an annual basis; between 20 and 25 are funded each year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicki Forrest, Office of Trust Services, Bureau of Indian Affairs, 1849 C Street N.W., MS-4620 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5831.

Website Address:

<http://www.doi.gov/bia/otrhome.htm>; <http://doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.053 Attorney Fees_Indian Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection of proposals is dependent on the type of assistance being requested and the availability of funds. Cases for which assistance is requested must have progressed beyond Tribal courts to local, county, State, or Federal courts.

15.053 ATTORNEY FEES_INDIAN RIGHTS**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96- 487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.

OBJECTIVES:

To assist Federally Recognized Tribes in protecting their treaty rights and other rights established through Executive Order or court action.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

These funds are used to provide assistance to tribes to obtain legal representation in situations where the United States cannot represent them as authorized in 25 U.S.C. 175. The funds are distributed in accordance with regulations governing the expenditure of appropriated funds for the fees of private attorneys representing tribes, and the eligibility requirements are found in 25 U.S.C. 89. Bureau policy determines the attorney fee hourly rates.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Federally Recognized Indian Tribes and their members.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR 89. Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV of the Catalog.

Award Procedure:

Awards are approved at the Headquarters level.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official.

Renewals:

A new application is required each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended for the legal services specified in the contractor/grantee application. Payments are made as billings are received. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Reports are not required. Cash reports are not applicable. Progress reports are not applicable. Reports are not required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained by Tribes for 3 years from the date of submission of the single audit report. Procurement records must be retained for

3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved. Records maintained by the attorneys are subject to provisions of the contract with the Tribe.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,764,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Information not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 89.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

Vicki Forrest, Office of Trust Services, Bureau of Indian Affairs, 1849 C Street N.W., MS 4620 MIB, Washington, District of Columbia 20240 Phone: (202) 208-5831.

Website Address:

<http://www.doi.gov/bia/otrhome.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.052 Litigation Support for Indian Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Cases must first have been turned down by the Department of Justice and the Department of the Interior Office of the Solicitor, and then can be submitted for review to the Attorney Review Committee for a recommendation to the Assistant Secretary for approval.

15.057 NAVAJO-HOPI INDIAN SETTLEMENT PROGRAM**FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Public Law 93-531, 25 U.S.C. 640 et seq.; Navajo-Hopi Settlement Act; Public Law 102-180, 105 Stat. 1230; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To implement those provisions of the Navajo-Hopi Settlement Act of 1974, as amended, which are assigned to the Department of the Interior; and to institute conservation practices and methods to restore the grazing potential of rangelands lying within the former Navajo/Hopi Joint Use Area.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Use is restricted to approved projects within the Navajo/Hopi Joint Use Area. Funds will be used for restoration of rangeland within the former Navajo/Hopi Joint Use Area (JUA). This includes livestock monitoring on the JUA, issuance of grazing permits, implementation of range management plans and grazing control methods, establishment of range units and grazing capacity, and removal of trespass livestock on the Hopi Partitioned Lands; initiation of grazing control on the Navajo Partitioned Lands; and natural resources restoration on the affected lands.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and Native American Organizations authorized by either Tribe.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments of the Navajo and Hopi Tribes and individual members of both Tribes.

Credentials/Documentation:

Initial application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Initial applications must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award can be approved at the agency level. In some instances, the application will be forwarded to the Regional Director for approval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official or may appeal the denial of the application directly to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900.

Renewals:

Annual application required.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from

the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$428,700; FY 10 est \$428,700; FY 11 est \$428,700

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Ongoing activities to accomplish the goal of rangeland restoration within the former Joint Use Area include livestock, monitoring, issuance of grazing permits, implementation of range management plans and grazing control methods, establishment of range units and grazing capacity. Program activities on the Hopi Partitioned Lands include range, woodlands and wildlife restoration of 910,021 acres and maintenance on 630 miles of fencing and 123 stock water facilities. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 161, 166, and 168.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the Hopi Agency or the Navajo or Western Regional Office of the Bureau of Indian Affairs at the address listed in Appendix IV of the Catalog.

Headquarters Office:

David Edington, Office of Trust Responsibilities, Bureau of Indian Affairs, 1849 C Street N.W., MS-3061 MIB, Washington, District of Columbia 20240
Phone: (202) 513-0886.

Website Address:

<http://www.doi.gov/bureau-indian-affairs.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects are funded in the areas of windmill maintenance, boundary fence maintenance, erosion control measures, and re-seeding. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the basis of the need and urgency of the proposed work.

15.058 INDIAN POST SECONDARY SCHOOLS

Haskell Indian Nations University (Haskell) and Southwestern Indian Polytechnic Institute (SIPI)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921 Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide postsecondary educational opportunities for American Indian Students.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Students may attend one of two post-secondary schools operated by the Bureau of Indian Affairs with minimal charge for tuition, room and board. Married student housing is not available on campus.

Applicant Eligibility:

A member of a Federally Recognized Indian tribe.

Beneficiary Eligibility:

American Indians.

Credentials/Documentation:

Application must be accompanied by a verification of Tribal affiliation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Interested applicants may obtain a Standard Form 5-6621 from a local Area/Agency education program office or Tribal Government education office, or applicants may contact the schools directly.

Award Procedure:

Applications will be reviewed by the colleges' admissions committees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Students will be notified within 4 weeks of having submitted a completed application packet.

Appeals:

None.

Renewals:

Haskell: Fulltime students are considered making satisfactory academic progress if they are not on academic probation and have not completed the minimum hours to graduate with an associate degree in six semesters or with a baccalaureate degree in 12 semesters. SIPI: Awards may be renewed until the student completes the chosen program of study so long as satisfactory progress is maintained and the student adheres to the student code of conduct.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. The timing of the payments will be negotiated with the Indian tribe. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Applicants are to submit official transcript from high school or other institution attended or certificate of GED.

Account Identification:

14-2100-0-1-501.

Obligations:

(Training) FY 09 \$16,783,000; FY 10 est \$16,852,000; FY 11 est \$17,680,000

Range and Average of Financial Assistance:

Not identifiable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Haskell Indian Nations University enrolls approximately 1,000 students, approximately 135 students graduate each year. SIPI has an average enrollment of 660 students per trimester of whom about 79 graduate each year. SIPI also offers technical certificate programs in addition to associate

degrees. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 40; Haskell Indian Nations University Catalog; Southwestern Indian Polytechnic Institute Catalog.

Regional or Local Office:

See Regional Agency Offices. Applications may be obtained at the local Bureau of Indian Affairs agency/area education program administrator as listed in Appendix IV.

Headquarters Office:

Haskell Indian Nations University, 155 Indian Avenue, Lawrence, Kansas 66046 Phone: (785) 749-8454.

Website Address:

<http://www.oiep.bia.edu/>; WWW.Haskell.edu;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.060 Indian Vocational Training_United Tribes Technical College; 15.114 Indian Education_Higher Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To the extent that space is available, all applicants meeting the eligibility criteria and demonstrating the ability to benefit from post-secondary education will be accepted.

15.059 INDIAN GRADUATE STUDENT SCHOLARSHIPS

(Special Higher Education Scholarships)

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 25 U.S.C. 13.

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to obtain advanced degrees.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to defray the costs of obtaining a graduate degree.

Applicant Eligibility:

Individual applicants must be Indian students who are members of Federally Recognized Indian Tribes, who have been admitted to a graduate program and have unmet financial need.

Beneficiary Eligibility:

Members of Federally Recognized Indian Tribes who are a member of or are at least one-fourth degree Indian blood descendant of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians.

Credentials/Documentation:

Individual applicants must have proof of membership or one-fourth degree of Indian blood or descendant of a member of a Federally Recognized Indian Tribe. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants should contact the American Indian Graduate Center which administers the program on behalf of the Bureau. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Application forms may be obtained from the local BIA agency or area education office listed in Appendix IV of the Catalog.

Award Procedure:

The applicant will be notified of the amount of the award by the financial aid office of the admitting university.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

20 to 30 days from the receipt of the application by the American Indian Graduate Center.

Appeals:

Not Applicable.

Renewals:

Individuals must reapply annually.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards made are not to exceed one academic year. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Successful applicants are required to show proof of satisfactory performance. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 09 \$2,164,000; FY 10 est \$2,164,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$250 to \$4,000; Average \$3947.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40.

Regional or Local Office:

None.

Headquarters Office:

Director, American Indian Graduate Center, 4520 Montgomery Boulevard, Suite 1-B, Albuquerque, New Mexico 87109 Phone: (505) 881-4584.

Website Address:

<http://www.oiep.bia.edu/contact.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.026 Indian Adult Education

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.060 INDIAN VOCATIONAL TRAINING_UNITED TRIBES

TECHNICAL COLLEGE

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.

OBJECTIVES:

To provide vocational training to individual American Indians through the United Tribes Technical College, located in Bismarck, North Dakota.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE; TRAINING

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training at the United Tribes Technical College, located in Bismarck, North Dakota. Restricted to American Indian people who are determined eligible for these services.

Applicant Eligibility:

Application to administer the program is limited to the United Tribes Technical College. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Individual American Indians who are members of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The application by the United Tribes Technical College to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of Indian Affairs' Aberdeen Regional Office. Individual American Indian applicants should apply for program services using the application form designated by the College. Individual application forms may be obtained directly from the United Tribes Technical College or from Bureau of Indian Affairs Agency or Regional Offices listed in appendix IV of the Catalog.

Award Procedure:

Applications for individual subsistence benefits are approved by the designated United Tribes Technical College official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Disputes between the United Tribes Technical College and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part

900. An appeal of a college official's decision must be made under the college's appeal procedures.

Renewals:

Awards to the United Tribes Technical College to administer the program may be renewed indefinitely upon satisfactory performance by the college and the availability of appropriations. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Renewals of grants to individual beneficiaries are granted based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to the United Tribes Technical College to administer the program are made on an annual basis and the funds remain available until expended by the college. Payments may be made in advance or by way of reimbursement. The timing of payments will be negotiated with the college. Grants to individual beneficiaries for subsistence are released monthly or quarterly, based upon individual needs. Vocational and employment counseling are provided by the college. Funding is limited in duration to the length of the vocational program, which varies by area of study. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the College. Cash reports are not applicable. Progress reports are not applicable. The United Tribes Technical College must submit the Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The United Tribes Technical College must retain financial records for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Training) FY 09 \$7,108,968; FY 10 est \$7,108,968; FY 11 est \$7,108,968

Range and Average of Financial Assistance:

Range is between \$500 to \$3,000; Average \$2,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The United Tribes Technical College offers 23 vocational certificates and degrees. They have approximately 160 new students each year. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to United Tribes Technical College see also 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be obtained from the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the United Tribes Technical College. For direct contact: United Tribes Technical College, 3315 University Dr., Bismarck, North Dakota 58504. Contact: Vivian Gillette, Admissions. Telephone: (701) 255-3285, ext. 334.

Headquarters Office:

Lynn Forcia, Office of Self-Governance and Self-Determination, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street, NW, MS 2542 MIB, Washington, District of Columbia 20240 Phone: (202) 219-5270.

Website Address:

[http://www.united-tribes.tec.nd.us/;](http://www.united-tribes.tec.nd.us/)
[http://www.doi.gov/bureau-indian-affairs.html.](http://www.doi.gov/bureau-indian-affairs.html)

RELATED PROGRAMS:

15.061 Indian Job Placement_United Sioux Tribes Development Corporation; 15.108 Indian Employment Assistance; 15.114 Indian Education_Higher Education Grant Program; 15.850 Indian Arts and Crafts Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the College.

15.061 INDIAN JOB PLACEMENT_ UNITED SIOUX TRIBES DEVELOPMENT CORPORATION

(United Sioux Tribes)

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85; 42 Stat. 208; 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956; Public Law 84-959; 70 Stat. 986, as amended; Public Law 88-230; 77 Stat. 471; 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended; 25 U.S.C. 450.

OBJECTIVES:

To provide job development, counseling, social adjustment guidance, and referrals to job training programs and other assistance programs through the United Sioux Tribes Development Corporation, located in Pierre, South Dakota.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians in finding permanent employment. A weekly or monthly (depending on type of services received) stipend is paid until the participant is employed. Upon receiving employment, assistance may be provided until the first full paycheck is received.

Applicant Eligibility:

Application to administer the program is limited to the United Sioux Tribes Development Corporation. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Must be an American Indian member of a Federally Recognized Indian Tribe and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicant must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member of a Federally Recognized Indian Tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. The application by the United Sioux Tribes Development Corporation to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." The completed application should be submitted to the Bureau of Indian Affairs Aberdeen Regional office. Individual American Indian applicant should apply for program services using the application form designated by the Corporation. Individual application forms may be obtained directly from the Corporation.

Award Procedure:

Applications for individual benefits are approved by the designated United Sioux Tribes Development Corporation official.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

1 to 90 days.

Appeals:

Disputes between the United Sioux Tribes Development Corporation and the Bureau of Indian Affairs may be appealed through an informal conference with the deciding official, may be appealed to the Interior Board of Indian Appeals, or the corporation may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An appeal of the corporate official's decision must be made under the corporation's appeal procedures.

Renewals:

Awards to the United Sioux Tribes Development Corporation to administer the program may be renewed indefinitely upon satisfactory performance by the corporation and the availability of appropriated funds. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. Individual renewals are based on the availability of funds, but the job placement service may not be used more than three times or more frequently than every two years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to the United Sioux Tribes Development Corporation to administer the program are made on an annual basis and the funds remain available until expended. Payments may be made in advance or by reimbursement. Payments to individual beneficiaries for subsistence, support services, uniforms, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives their first full paycheck. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

The timing and nature of program accomplishment data will be negotiated with the Corporation. Cash reports are not applicable. Progress reports are not applicable. The United Sioux Tribes Development Corporation must submit financial status reports, SF 269A. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The United Sioux Tribes Development Corporation must retain financial records for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 900, 25 CFR 26, and 25 CFR 27.

Regional or Local Office:

See Regional Agency Offices. United Sioux Tribes Development Corporation, 1830 Lombardy Drive, Rapid City, South Dakota, 57701. Contact: Ken Lock; Telephone: (605) 226-7426.

Headquarters Office:

Lynn Forcia Office of Economic Development, Division of Job Placement and Training, Bureau of Indian Affairs, 1849 C Street, NW., MS-2412 MIB, Washington, District of Columbia 20240. Phone: (202) 219-5270

Website Address:

<http://www.doi.gov>.

RELATED PROGRAMS:

15.108 Indian Employment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are received for direct employment participants. Final determination to fund an application is based on the individual's eligibility and financial need and total dollars available to the Corporation.

15.062 REPLACEMENT AND REPAIR OF INDIAN SCHOOLS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413; Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S.C. 2501 et seq. TCSA ; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Providing safe, functional, code-compliant, economical, and energy efficient education facilities for American Indian students attending Bureau of Indian Affairs owned or funded primary and secondary schools and/or residing in Bureau owned or funded dormitories. Additional objectives for ARRA funded projects include having a demonstrated or potential ability to deliver programmatic results, optimizing economic activity and the number of jobs created or saved, achieving long-term public benefits from improved school infrastructure, fostering energy independence or improving educational quality.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Replacement School Construction: Funds are provided for advance planning, design, and construction of major expansions or major replacements of Bureau of Indian Affairs owned or funded education facilities for the direct support of primary and secondary schools and/or dormitories. An education facility may include an entire school facility or building, or a component(s) of a school facility or building, such as, classrooms, multi-purpose rooms or gymnasiums, or cafeteria/kitchens; and may include other improvements to real property such as, water storage tanks, water and sewer distribution lines, parking lots, and other site improvements. School facilities are replaced only in those instances where rehabilitation and upgrading are not feasible because of eligible

American Indian student capacity needs, functional changes or costs. Facilities Improvement and Repair: Funds are provided for advance planning, design, and construction for facilities improvement and repair of education facilities for the direct support of primary and secondary schools and/or dormitories and the Bureau's two post secondary schools. Funds are used to improve, repair and rehabilitate facilities and in some instances replace components of education facilities and may include other improvements to real property as noted under Replacement School Construction.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Tribal Organizations, including School Boards, who have a prioritized Replacement School Construction or Facilities Improvement and Repair, for which funds have been specifically approved through the appropriation process, or for which ARRA funds have been allocated.

Beneficiary Eligibility:

American Indian children attending Bureau owned or funded primary and secondary schools and/or American Indian children residing in Bureau owned or funded dormitories.

Credentials/Documentation:

When an eligible project has been identified, the Tribe or Tribal Organization is invited to submit an initial application accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution is acceptable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Taking into account organizational risk ratings, the agency consults with the Tribe as to how it wishes the project to be managed. An informal conference with the Bureau agency representatives is strongly recommended to determine the application and award procedures to be followed. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. For a Self-Determination Contract (or Grant), applications are filed in accordance with 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act;" for a Financial Assistance Award applications are filled pursuant to 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Award Procedure:

Although eligible applicants do not compete for awards, an award to a particular entity is not mandatory because requirements related to the scope of the project work, project cost, and other compliance measurements must be agreed to before funding is provided to the recipient. For non-ARRA funded projects, projects representing the greatest needs at schools and related facilities are prioritized as part of the process of obtaining Congressional appropriations of fund the projects. Funds must be appropriated before any project awards can be made, and a change in priorities may require Congressional consultation and, for some projects above a certain dollar amount, Congressional approval of the reprogramming of funding to a different project. For ARRA funded projects, a similar prioritization of projects representing greatest needs was implemented, resulting in the identification of projects eligible to receive ARRA funds, followed by communication with prospective recipients of the available ARRA funds for the identified projects. For both ARRA funded and non-ARRA funded projects, the award of a self-determination contract is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under Indian Self-Determination and Education Assistance Act," and the award of a financial assistance grant amendment to the existing education grant from the Bureau of Indian Education to the Tribe or Tribal Organization is made in accordance with the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq. The requirements in 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State

and Local Governments" apply to financial assistance awards. ARRA projects also are subject to additional requirements related to performance, funding, and compliance through implementation of ARRA in the areas of transparency and reporting, oversight, risk accountability, accelerated timeframes and other statutory objectives. Projects are prioritized before the funds are appropriated. Funds must be appropriated before the award can be made. The award is made in accordance with the procedures contained in 25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days for Self-Determination Contracts/Grants as provided under applicable procedures for other financial assistance awards.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

For non-ARRA funded projects, depending upon the size and complexity of the overall construction, improvement or repair project it may take several increments of funding assistance to complete the entire projects; often an initial award is issued as a new contract/grant amendment with second and subsequent funding increments issued as renewals to existing contracts/grants. ARRA funds are for one-time specific projects that must be started and completed expeditiously.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with terms of the award. No ARRA funding will be awarded after September 30, 2010. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative program progress reports are required for TCSA grants. Program progress reporting requirements will be negotiated with the Self-Determination contracts/grantee. ARRA funded projects have a separate set of online reporting requirements and data is entered by the recipient at FederalReporting.gov. Federal Financial Report, SF 425 is required for P.L. 93-638 Self Determination Contracts and SF-271, Outlay Report and Request for Reimbursement for Construction Programs is required for P.L. 100-297 TCSA grants. Both the SF 425 and SF 271 require interim and final reports. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

For all projects (ARRA and non-ARRA) financial records must be retained for 3 years from the date of submission of the Single Audit Report applicable to the project, procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit

exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452 - 14-2302 2009/2010 ARRA Construction; 14-2101 2009/2010 Operation of Indian Programs (On-The-Job Workforce Training) ARRA.

Obligations:

(Direct Payments for Specified Use) FY 09 \$92,336,992; FY 10 est \$0; FY 11 est \$0. (Direct Payments for Specified Use) FY 09 \$38,429,561; FY 10 est \$277,700,000; FY 11 est \$0 - School Replacement Construction - \$134.6 million

School Improvement and Repairs - \$143.1 million.

Range and Average of Financial Assistance:

Non-ARRA Replacement School Construction: The amount of financial assistance can range from approximately \$6 million to \$25 million depending on the size of the school, both grade level and student enrollment, the program requirements, and the location. For the higher amounts, funding may be incremental over 2, 3, or even 5 years. Because of the limited number of schools that have received funding in the last few years and the increasing costs for construction, there is no way to determine a true representative "average" of financial assistance for this non-ARRA program. Facilities Improvement and Repair: The amount of financial assistance can range significantly from a few thousand dollars to approximately \$7 million. There are several categories of projects that are included under Facilities Improvement and Repair, such as, Emergency, Roofing, Replacement/Repair, and Portable Classrooms. The average amount of financial assistance can vary depending on the category. ARRA funded projects range from less than \$10,000 MIR to over \$54,000,000 for replacement construction. An average amount is not calculable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Replacement School Construction Projects completed in FY 2008: Four

replacement school projects (three in AZ and one in North Dakota: Facilities improvement and Repair projects: Six projects completed two in North Dakota; two in Arizona, one in New Mexico and one in South Dakota. Funds were also used to address environmental projects, AHERA requirements, minor repairs, emergency repairs, major repair/renovation projects and safety and health and other code deficiencies. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900 "Contracts under the Indian Self-Determination and Education Assistance Act," 43 CFR Part 12, Subpart C "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," or the Tribally Controlled Schools Act of 1988, as amended, 25 U.S.C. 2501 et seq., as applicable; OMB Circulars No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments" relocated to 2 CFR Part 225, A-122, "Cost Principles for Non-Profit Organizations" relocated to 2 CFR Part 230, A-21, "Cost Principles for Educational Institutions" relocated to 2 CFR Part 220, as applicable; and Bureau of Indian Education Space Guidelines dated November 1, 2005, Bureau of Indian Affairs Design Handbook dated March 30, 2007, Bureau of Indian Affairs Design Guidelines dated May 22, 2009, Certificate of Occupancy requirements dated April 15, 2004, Bureau of Indian Affairs applicable codes, standards and policies (revision date September 11, 2009), and Federal Government and Departmental Environmental and Sustainable building requirements.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Deputy Director, Office of Facilities Management and Construction, Bureau of Indian Affairs, 1011 Indian School Road, Suite 335, Albuquerque, New Mexico 87104 Phone: (505) 563-5142.

Website Address:

<http://www.bia.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Replacement School Construction: Project includes the construction of the new Kindergarten through 12 Replacement School, including five (5) employee quarters and bus/maintenance garage totally approximately 115,880 square feet including related site work and utilities to serve a projected enrollment of 378 academic students, including 100 residential students. In recent years funds have been provided to build elementary, middle, and high schools in North Dakota, New Mexico, Arizona, Mississippi, North Carolina and South Dakota. Facilities Improvement and Repair: The emphasis of this program is minor replacement construction, major and minor repairs, and emergency projects to remedy life safety and health related deficiencies in facilities. Examples of projects include: boiler repair and replacement; roofing repair and replacement; removal environmental hazards; replacement of floor covering; installation of fire exit lights, emergency lights, fire rated doors, and other safety related items. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Replacement School Construction: Funds are appropriated based on the "Education Facilities Replacement Construction Priority List" as published in the Federal Register on March 24, 2004. Criteria emphasize providing safe, functional, code-compliant, economical and energy-efficient facilities that meet applicable accreditation standards and space guidelines. Facilities Improvement and Repair: Criteria to evaluate projects emphasize eliminating critical health and safety-related deficiencies and reducing the substantial backlog of needed improvement and repairs. Projects are prioritized on a Bureau-wide basis using a computerized formula that evaluates the seriousness of the deficiencies and the relative risks of those deficiencies to facility users. To be considered it is essential that deficiencies and projects for a Bureau funded school or dormitory to be identified in the automated inventory of Bureau facilities. In addition, there are limited funds provided under this program to address safety and health deficiencies on an immediate or interim basis as well as funds for emergency repairs under certain conditions, and for other purposes. For more information on these other programs or on the priority ranking process for Replacement School Construction or Facilities Improvement and Repair projects, contact the Headquarters Office listed above.

15.063 IMPROVEMENT AND REPAIR OF INDIAN DETENTION FACILITIES

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, 25 U.S.C. 450 et seq., as amended, Title IV; Tribal Self-Governance Act of 1994, Public Law 103-413. Tribally Controlled Schools Act of 1988, as amended, Public Law 100-297, 25 U.S.C. 2501 et seq TCSA; American Recovery & Reinvestment Act of 2009, Public Law 111-5 (ARRA).

OBJECTIVES:

To provide safe, functional, code and standards compliant, economical, and energy-efficient adult and/or juvenile detention facilities. Additional objectives for ARRA-funded projects include having a demonstrated or potential ability to deliver programmatic results, optimizing economic activity and the number of jobs created or saved, achieving long-term public benefits from improved detention facilities, infrastructure, fostering energy independence.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are provided for advanced planning, design, and construction for facilities improvement and repair to Bureau detention facilities (e.g. renovations, improvements, demolitions, or additions) when economically justified with emphasis on addressing critical health and safety needs identified in Bureau safety reports and meeting emergency needs.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments or Tribal Organizations who have a prioritized Facilities Improvement and Repair and for which funds have

been specifically approved through the appropriation process or for which ARRA funds have been allocated.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments in Bureau owned or funded Law Enforcement/Detention Facilities.

Credentials/Documentation:

When an eligible project has been identified, the Tribe or Tribal Organization is invited to submit an initial application accompanied by a copy of the authorizing resolution from the Federal Recognized Indian Tribal Government to be served. If a currently effective authorizing resolution covering the scope of a project has already been provided, a reference to that resolution is acceptable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For a Self-Determination Contract (or Grant), applications are filed in accordance with 25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act.

Award Procedure:

Although eligible applicants do not compete for awards, an award to a particular entity is not mandatory because requirements related to the scope of the project work, project cost, and other compliance measurements must be agreed to before funding is provided to the recipient. For non-ARRA-funded projects, projects representing the greatest needs at detention and related facilities are prioritized as part of the process of obtaining Congressional appropriations to fund the projects. Funds must be appropriated before any project awards can be made, and a change in priorities may require Congressional consultation and, for some projects above a certain dollar amount, Congressional approval of the reprogramming of funding to a different project. For ARRA-funded projects, a similar prioritization of projects representing greatest needs was implemented, resulting in the identification of projects eligible to receive ARRA funds, followed by communication with prospective recipients of the availability of ARRA funds for the identified projects. For both ARRA-funded and non-ARRA funded projects, the award of a self-determination contract is made in accordance with the procedures contained in 25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act. ARRA projects also are subject to additional requirements related to performance, funding, and compliance through implementation of ARRA in the areas of transparency and reporting, oversight, risk accountability, accelerated timeframes and other statutory objectives.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days for Self-Determination Contracts.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

For non-ARRA funded projects, depending upon the size and complexity of the overall construction, improvement or repair project it may take several increments of funding assistance to complete the entire projects; often an initial award is issued as a new contract with second and subsequent funding increments issued as renewals to existing contracts. ARRA funds are for one-time specific projects that must be started and completed expeditiously.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds remain available until expended in accordance with the terms of the award. No ARRA funding will be awarded after September 30, 2010. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program progress reporting requirements will be negotiated with the Self-Determination contractor/grantee. ARRA has a separate set of online reporting requirements and data is entered by the recipient at FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. The SF-425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452; 14-2101-0-1-452 - Operation of Indian Programs (On-The-Job Workforce Training) ARRA; 14-2302-0-0-452 - Construction - ARRA.

Obligations:

(Direct Payments for Specified Use) FY 09 \$2,464,284; FY 10 est \$841,941; FY 11 est \$841,941 - Facility Improvement and Repair.

Range and Average of Financial Assistance:

Facilities Improvement and Repair: The amount of financial assistance can range significantly from a few thousand dollars to approximately \$3 million. There are several categories of projects that are included under Facilities Improvement and Repair, such as, Emergency, Roofing and Environmental. The average amount of financial assistance can vary depending on the category. ARRA-funded projects range from less than \$1.1 million to \$1.6 Million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Facilities Improvement and Repair projects: Three projects completed two in Arizona and one in Washington. On-going projects currently four in Montana, two in North Dakota one in Nebraska, one in South Dakota and one in Wyoming. Funds were also used to address environmental projects, AHERA requirements, minor repairs, emergency repairs, major repair/renovation projects and safety and health and other code deficiencies. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900 Contracts under the Indian Self-Determination and Education Assistance Act, 43 CFR Part 12, Subpart C Uniform Administrative Requirement for Grants and Cooperative Agreements to State and Local Governments, OMB Circulars No. A-87, Cost Principles for State, Local, and Indian Tribal Governments, A-122, Cost Principles for Non-Profit Organizations, 2009 Certificate of Occupancy requirements dated April 15, 2004, and Bureau of Indian Affairs applicable codes, standards and policies (revision date September 11, 2009), and Federal Government and Departmental Environmental and Sustainable building requirements.

Regional or Local Office:

See Regional Agency Offices. Bureau of Indian Affairs agency or regional office as listed in Appendix IV.

Headquarters Office:

Deputy Director, Office of Facilities Management and Construction, Bureau of

Indian Affairs, 1011 Indian School Road, Suite 335, Albuquerque, New Mexico 87104 Phone: (505) 563-5142.

Website Address:

[http://www.doi.gov/bia/ofmc/cm.htm#3;](http://www.doi.gov/bia/ofmc/cm.htm#3)
[http://www.doi.gov/bureau-indian-affairs.html.](http://www.doi.gov/bureau-indian-affairs.html)

RELATED PROGRAMS:

16.596 Correctional Grant Program for Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Facilities Improvement and Repair: The emphasis of this program is minor replacement construction, major and minor repairs, and emergency projects to remedy life safety and health related deficiencies in facilities. Examples of projects include: boiler repair and replacement; roofing repair and replacement; removal environmental hazards; replacement of floor covering; installation of fire exit lights, emergency lights, fire rated doors, and other safety related items. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria to evaluate projects emphasize eliminating critical health and safety related deficiencies and reducing the substantial backlog of needed improvement and repairs. Projects are prioritized on a Bureau-wide basis using a computerized formula that evaluates the seriousness of the deficiencies and the relative risks of those deficiencies to facility users. To be considered it is essential that the deficiencies and projects for a Bureau funded facility be identified in the automated inventory of Bureau facilities. In addition there are limited funds provided under this program to address safety and health deficiencies on an immediate or interim basis as well as funds for emergency repairs under certain conditions, and for other purposes. For more information on these other or Facilities Improvement and repair projects, contact the Headquarters Office listed above.

15.065 SAFETY OF DAMS ON INDIAN LANDS

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Snyder Act of 1921, 25 U.S.C. 13, Public Law 67-85, 42 Stat. 208; Indian Dams Safety Act of 1994, Public Law 103-302, 108 Stat. 1560; Secretarial Order No. 3048.

OBJECTIVES:

To improve the structural integrity of dams on Indian lands, including operations and maintenance of these dams.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds allow for the inspection, operation and maintenance, early warning systems, and emergency action plans of high and significant hazard dams under the responsibility of the Bureau of Indian Affairs, and for safety of dam rehabilitation construction necessary to correct identified deficiencies on the most unsafe dams. Financial awards are specific to a given site and awards are restricted to Indian tribes or tribal organizations affected by the projects.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian tribal governments to be benefited by the award.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and their members and Native American Organizations.

Credentials/Documentation:

The application must be accompanied by a resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal preapplication conference is recommended. Technical assistance in

preparing the application is available upon request. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." For the rehabilitation construction, applications must contain the information specified in 25 CFR Part 900, Subpart J, "Construction." An Indian tribe or tribal organization that wishes to secure a construction project should contact the appropriate local BIA agency office listed in Appendix IV of the Catalog.

Award Procedure:

In most cases, the award will be approved at the regional level.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications for construction projects will be processed within 90 days after receipt of the final proposal.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900, Subpart L.

Renewals:

Projects are generally multi-year agreements with no renewal. Under extenuating circumstances, extensions may be granted.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contracts are for the duration of the project, often one to five years, and the funds remain available until expended by the contractor/grantee. The timing of payments will be negotiated with the grantee. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The timing and nature of program accomplishment data will be negotiated with the contractor/grantee. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions, or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$15,152,514; FY 10 est \$15,152,514; FY 11 est \$15,152,514

Range and Average of Financial Assistance:

Range is variable depending upon the scope of work. Awards are commonly awarded for various phases of the safety program. For example, conception design \$100,000 to \$300,000; final design \$300,000 to \$1,000,000; and construction repair \$1,000,000 to \$17,000,000. For the non-construction portion, awards can be from \$10,000 up to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR Part 900; and Department of the Interior Safety of Dams (SOD) Program.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog.

Headquarters Office:

John Anevski, P.E. Office of Trust Services, Division of Irrigation, Power and Safety of Dams, Bureau of Indian Affairs, 1849 C Street N.W., MS 4655 MIB, Washington, District of Columbia 20240 Email: john_anevski@bia.gov Phone: (202) 208-5480.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The relative probability of dam failure (risk-of-failure) is determined from the technical factors used in the SEED report to determine placement on the Department of the Interior's Technical Priority Rating List. This priority list is then used to prioritize budget requests for repair of the Bureau's most unsafe dams. Approximately \$22,000,000 of the funds for the Safety of Dams program are distributed in accordance with the Technical Priority Rating listing for Department of the Interior dams.

15.108 INDIAN EMPLOYMENT ASSISTANCE

Employment Assistance Program

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450, American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To provide vocational training and employment opportunities to eligible American Indians and reduce Federal dependence.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Financial aid is used to assist individual Indians to obtain a marketable skill through vocational training and to assist those who have a job skill to find permanent employment. Vocational and employment counseling are provided by the program. Eligible American Indians may receive vocational training or job placement on or near the reservation or in an urban Regional.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and Native American Organizations authorized by Indian Tribal Governments may apply to administer the program. Individual American Indian applicants must be a member of a Federally Recognized Indian Tribe, be in need of financial

assistance, and reside on or near an Indian reservation under the jurisdiction of the Bureau of Indian Affairs.

Beneficiary Eligibility:

Members of Federally Recognized Indian Tribes who are unemployed, underemployed, or in need of training to obtain reasonable and satisfactory employment. Complete information on beneficiary eligibility is found in 25 CFR, Parts 26 and 27.

Credentials/Documentation:

Applicants must submit a certificate signed by a Bureau Agency Superintendent or an authorized Tribal representative that indicates the applicant is an enrolled member or registered with a Federally Recognized Indian Tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications by Indian Tribal Governments to administer the program must contain the information specified in 25 CFR, Part 900, Subpart C, "Contract Proposal Contents." Completed applications should be submitted to the local Bureau of Indian Affairs agency office listed in Appendix IV. Individual American Indian applicants should apply for program services on Bureau of Indian Affairs Form BIA-8205 at the nearest Bureau Employment Assistance office or tribal government offices.

Award Procedure:

The dollar value of the awards to Indian Tribal Governments depends upon the amount that has been prioritized by the individual Tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Applications for individual benefits are approved by the Bureau Agency Superintendent or authorized tribal representative.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications by Tribal Governments to administer the program will be processed within 90 days. Applications by individuals for benefits will be processed within 30 days.

Appeals:

A Tribal Government whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR, Part 900. An individual may appeal the BIA agency Superintendent's decision to the Regional Director. The complete appeal process for an individual is found in 25 CFR Part 2, "Appeals from Administrative Action." An appeal of a Tribal contractor's decision must be made under the Tribe's appeal procedures.

Renewals:

Awards to Tribal Governments to administer the program may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Renewals of grants to individual beneficiaries are based on evidence of satisfactory performance and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to Indian Tribal Governments and Native American Organizations to administer the program are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in

advance or by way of reimbursement. The timing of payments will be negotiated with the Tribal Government. Grants to individual beneficiaries for subsistence, tuition and related training costs, supportive services, etc., are released as required by beneficiary, up to the amount of the award. Assistance for job placement is provided until the beneficiary receives the first full paycheck from employment. Individual beneficiaries may not receive more than 24 months of full-time training, except for Registered Nursing students who may receive 36 months of training. See the following for information on how assistance is awarded/released: Not available.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For awards administered by Indian Tribal Governments, the Tribe is responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.).

Records:

Indian Tribal Governments administering the program must retain financial records for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$10,300; FY 10 est \$10,000; FY 11 est \$10,000. (Direct Payments for Specified Use) FY 09 \$4,694,889; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data. Fiscal Year 2010: No Current Data. Fiscal Year 2011: No Current Data.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 26 and 25 CFR 27. For awards to Indian Tribal Governments see also 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. Applications may be filed with the local Bureau of Indian Affairs agency office as listed in Appendix IV of the Catalog or with the Tribal Government administering the program.

Headquarters Office:

Office of Indian Energy and Economic Development, Division of Workforce Development, 1951 Constitution Avenue, NW, Mail Stop 20-SIB, Washington, District of Columbia 20245 Phone: (202) 208-3100.

Website Address:

<http://www.doi.gov/bia/ecodev/index.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**15.113 INDIAN SOCIAL SERVICES_WELFARE ASSISTANCE
FEDERAL AGENCY:**

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13.

OBJECTIVES:

To provide financial assistance for basic needs of needy eligible American Indians who reside on or near reservations, including those American Indians living under Bureau of Indian Affairs service area jurisdictions, when such assistance is not available from State or local public agencies.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Provides cash payments to eligible American Indian individuals and families to meet basic needs (i.e., food, clothing, shelter, etc.). Provides extra monthly benefits to tribal members on general assistance who also work on tribal projects under the Tribal Work Experience Program. Provides monthly assistance for non-medical institutional or custodial care of adults who are not eligible for care from Indian Health Services, Social Security, or any other county, state or Federal program. Provides foster home care and appropriate institutional (non-medical) care for dependent, neglected, and handicapped American Indian children in need of protection. This program also pays the burial expenses of indigent American Indians whose estates do not have sufficient resources to meet funeral expenses and provides emergency assistance to prevent hardship caused by fire, flood or acts of nature.

Applicant Eligibility:

An American Indian who is a member of a federally recognized Indian Tribe, who resides on or near a federally recognized Indian reservations, who is in need of financial assistance and who meets the eligibility criteria in 25 CFR Part 20.

Beneficiary Eligibility:

American Indians who are members of federally recognized Indian Tribes.

Credentials/Documentation:

Proof of American Indian status, residency, and income/resources available must be provided by applicant. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A verbal or written request for assistance to the Bureau of Indian Affairs agency or regional representative or designated tribal authority.

Award Procedure:

Assistance is provided by the Bureau of Indian Affairs agency or regional office or contracted tribal program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Generally within 10 days depending upon applicants needs and the extent of investigation required. Decisions will take no longer than 45 days.

Appeals:

An unsuccessful applicant may appeal a Bureau of Indian Affairs official's decision under the procedures contained in 25 CFR Part 20, Subpart D "Hearings and Appeals" and 25 CFR Part 2 "Appeals from Administrative Actions."

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of assistance is indefinite, depending on continued need and available resources. See the following for information on how assistance is awarded/released: Assistance is provided monthly or as needed.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-2100-0-1-452.

Obligations:

(Direct Payments for Specified Use) FY 09 \$63,090,962; FY 10 est \$63,090,962; FY 11 est \$63,090,962

Range and Average of Financial Assistance:

May range from a few hundred to several hundred dollars monthly depending upon the assistance provided.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: General assistance is provided to over 41,000 persons on a monthly basis. Indian Child Welfare Assistance is provided to an estimated 3,000 children on a monthly basis and Non-Medical Institutional or Custodial Care is provided monthly to about 1,100 disabled American Indian adults. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR 20.

Regional or Local Office:

See Regional Agency Offices. Information can be secured from the Agency Superintendents and from Regional Directors (see Catalog Appendix IV for addresses). Applications for general assistance are made at the local agency or tribal level.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C St., NW., MS 320 SIB, Washington, District of Columbia 20245 Phone: (202) 513-7642.

Website Address:

<http://www.doi.gov/bia/childw~2.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Individual financial assistance (welfare) grant to a single American Indian person or to an American Indian family of eight based upon public assistance standards of the State where recipients reside. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Must be an American Indian residing on or near a reservation as defined in 25 CFR 20 and must be in need as defined in 25 CFR Part 20. All eligible applicants will be funded subject to availability of funds.

15.114 INDIAN EDUCATION_HIGHER EDUCATION GRANT PROGRAM

Higher Education

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13.

OBJECTIVES:

To provide financial aid to eligible Indian students to enable them to attend accredited institutions of higher education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds are to supplement the total financial aid package prepared by the college financial aid officer. Funds are intended to assist students in pursuing their undergraduate baccalaureate degree.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations authorized by Indian Tribal Governments may apply to administer the program. Individuals who are members of Federally Recognized Indian Tribes may submit applications for benefits directly to the Bureau of Indian Affairs if the Bureau agency serving their reservation provides direct services for this program. Individuals must be enrolled in an accredited college and have financial need as determined by the institution's financial aid office.

Beneficiary Eligibility:

Members of a Federally Recognized Indian Tribe who are enrolled or accepted for enrollment in an accredited college and have financial need as determined by the institution's financial aid office.

Credentials/Documentation:

Initial application by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe. Individual student applicants must submit a certificate of Indian blood; college financial aid package; statement of acceptance by college and Bureau of Indian Affairs grant application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant should consult the Education Line Officer. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications by Indian tribes and tribal organizations to administer the program must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Individual students must be accepted by a college or university and should complete application forms in accordance with instructions available upon request from the Education Line Office, or tribal contractor administering the program. Completed forms are submitted to the student's higher education program office at the appropriate office.

Award Procedure:

In most cases, the application by an Indian tribe or tribal organization to administer the program can be approved by the Area/Agency Education Program Administrator or the Area/Agency Bureau Contracting Officer. Individual students are notified by the Area/Agency Education Program Administrator or tribal contractor, and funds are sent to the applicant in care of the college or university financial aid office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications by Indian tribes and tribal organizations to administer the program will be processed within 90 days. Applications by students will be processed within 6 to 10 weeks after all required documents are submitted.

Appeals:

Indian tribes and tribal organizations whose application to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900. Individual students may appeal a Bureau of Indian Affairs official's decision to the Area/Agency Education Program Administrator under the procedures contained in 25 CFR Part 2, "

Appeals from Administrative Actions."

Renewals:

Awards to Indian tribes and tribal organizations may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process. Grants to students may be continued through the undergraduate level if the student maintains acceptable progress and academic standing. Renewal applications must be submitted annually with updated grades or transcript.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards to Indian tribes and tribal organizations are made on an annual basis. Payments may be made in advance or by way of reimbursement. The time of the payments will be negotiated with the Indian tribe or tribal organization. Grants to students are generally made for the academic year on a quarter or semester basis. A grant is for a period not to exceed 5 years, or the period of time required to complete the course of study. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program accomplishment reporting requirements will be negotiated with the Self-Determination contractor/grantee. Students applying for continuation of grants must submit grade reports at the end of each academic year. Cash reports are not applicable. Progress reports are not applicable. Indian tribes and tribal organizations are required to submit the SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be maintained by the Indian tribe or tribal organization for three years from the date of submission of the single audit report. Procurement records must be retained for three years from the date of final payment. Property records must be retained for three years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Project Grants) FY 09 \$27,129,000; FY 10 est \$31,051,106; FY 11 est \$30,946,061

Range and Average of Financial Assistance:

Range is \$300 to \$5,000; Average \$2,700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Annually an estimated 7800 students receive assistance. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 40; 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Chris Redman, Bureau of Indian Education, 215 Dean A. McGee, Suite 610,

Oklahoma City, Oklahoma 73102 Email: chris_redman@bia.gov Phone: (405) 605-6001.

Website Address:

<http://www.oiep.bia.edu/scholarships.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

15.108 Indian Employment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Bureau's scholarship program provides about 25 percent of the student's total financial aid. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

All Indian tribes and tribal organizations meeting the requirements of 25 CFR Part 900 will be selected if the program has been prioritized by the Indian tribe through participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Final determination to fund student applications is based on the individual's eligibility and total dollars available to the Indian tribe or tribal organization or local Bureau office.

15.130 INDIAN EDUCATION ASSISTANCE TO SCHOOLS

Johnson-O'Malley

FEDERAL AGENCY:

Bureau of Indian Education, Department of the Interior

AUTHORIZATION:

Johnson-O'Malley Act of April 16, 1934; as amended, 25 U.S.C. 452; Indian Self Determination and Education and Assistance Act, Public Law 93-638; 25 U.S.C. 455-457.

OBJECTIVES:

To fund programs that meet the unique and specialized needs of eligible Indian students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds may be used for supplemental programs to meet the special educationally related needs of eligible Indian students. Funds under this program may not be used for capital expenditures.

Applicant Eligibility:

Tribal organizations, Indian Corporations, school districts or States which have eligible Indian children attending public school districts and have established Indian Education Committees to approve supplementary or operational support programs beneficial to Indian students. Current funding is calculated with the 1995 JOM student count.

Beneficiary Eligibility:

Children who are enrolled members of, or at least one-fourth or more degree of Indian blood descendant of a member of a federally recognized Indian tribal government eligible for service by the Bureau, and are between age 3 through grade 12 with priority given to those residing on or near Indian reservations.

Credentials/Documentation:

As required for contract under 25 CFR Part 900. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

BIA will provide technical assistance when requested by tribal organization. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application forms may be obtained from the Area/Agency Education Program Administrator. Completed applications which include an education plan based on needs assessments and an estimated budget are sent to the appropriate BIA Area or Agency Office.

Award Procedure:

The dollar value of the award depends upon the amount that has been prioritized by the individual Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Contracts with Indian corporations, States and school districts are negotiated with the Agency/Area Education Line Officer based on their 1995 JOM student count.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

An unsuccessful applicant may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR Part 900.

Renewals:

Awards may be renewed indefinitely upon satisfactory performance by the contractor/grantee. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of individual tribal priorities established in the budget formulation process.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis and the funds remain available until expended by the contractor/grantee. Payments may be made in advance or by way of reimbursement. See the following for information on how assistance is awarded/released: The timing of the payments will be negotiated with the Indian tribe.

Reports:

Annual reports required following the close of the year, as specified in 25 CFR 273. Cash reports are not applicable. Progress reports are not applicable. SF-425, Federal Financial Report required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2100-0-1-501.

Obligations:

(Direct Payments for Specified Use) FY 09 \$13,797,000; FY 10 est \$13,589,000; FY 11 est \$13,434,000

Range and Average of Financial Assistance:

Range is \$100 to \$3,533,000; Average \$75,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: One hundred seventy five tribes and Education Line Offices received JOM funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

25 CFR, Part 273; 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices. See Education Line Officers' addresses in Catalog Appendix IV.

Headquarters Office:

Jim Martin, Office of Indian Education Programs, Room MS 3609-MIB, 1849 C Street, N.W., Washington, District of Columbia 20240 Email: jim_martin@bia.gov Phone: (202) 208-5820.

Website Address:

<http://www.oiep.bia.edu/jom.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Navajo Tribe received \$3,533,000 in JOM funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Johnson-O'Malley Parent Committee determination through needs assessments.

15.141 INDIAN HOUSING ASSISTANCE

FEDERAL AGENCY:

Bureau of Indian Affairs, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450 et seq.

OBJECTIVES:

To use the Housing Improvement Program (HIP) resources of the Bureau of Indian Affairs to eliminate substantially substandard Indian owned and inhabited housing for very low income eligible Indians living in approved tribal service areas. This effort is assisted by the Indian Health Service (Department of Health and Human Services) which provides water and sanitary systems for houses repaired or built with HIP funds.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program is primarily devoted to providing decent, safe, and sanitary housing through renovations, repairs, or additions to existing homes. The program will build an entire house in situations where no other program can meet the need in the immediate or near future. Technical assistance is provided to Indian tribes to establish housing plans and determine the extent and use of the Bureau's Housing Improvement Program. The program is restricted to use within reservations and approved tribal service areas.

Applicant Eligibility:

Federally Recognized Indian Tribal Governments and tribal organizations to administer the program who have eligible applicants with identified housing needs. Individual members of Federally recognized Indian tribes living in approved tribal service areas in need of housing assistance who are unable to obtain assistance from any other source, and who meet the eligibility criteria of the HIP regulations (25 CFR Part 256 "Housing Improvement Program").

Beneficiary Eligibility:

Individual members of Federally recognized Indian tribes.

Credentials/Documentation:

Initial applications by Indian tribes and tribal organizations must be accompanied by an authorizing resolution of the governing body of the Indian tribe(s) to be served. Individual Indians must furnish information required by 25 CFR Part 256, basically proving membership in a Federally-recognized Indian tribe and financial inability to obtain assistance for repairs or new housing. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Funds may be used in conjunction with other Federal programs, such as Indian Health Service for water and sanitary facilities, or privately financed programs that are appropriate to repair or build housing. An informal conference with Bureau of Indian Affairs agency representative is recommended. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial applications from Indian tribes and tribal organizations must contain the information specified in 25 CFR Part 900, Subpart C, "Contract Proposal Contents." Completed application should be submitted to the local Bureau of Indian Affairs agency or regional office listed in Appendix IV. Individual Indians should submit written applications to the local tribal servicing housing office, or local Bureau of Indian Affairs agency or regional office listed in Appendix IV.

Award Procedure:

The dollar value of the award to Indian tribes and tribal organizations is determined through the use of annual tribal work plans identifying eligible applicants and through prioritization under the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will be processed within 90 days.

Appeals:

Applicants whose request to administer the program is denied may request an informal conference with the deciding official, or may appeal the denial of the application to the Interior Board of Indian Appeals, or may bring suit in U.S. District Court. Full appeal procedures are found in 25 CFR part 900. An individual whose request is denied may appeal a Bureau of Indian Affairs official's decision to the Regional Director under the procedures contained in 25 CFR Part 2, "Appeals From Administrative Actions."

Renewals:

Awards to Indian tribes and tribal organizations to administer the program may be renewed indefinitely upon satisfactory performance by the contractor. A notice of intent to renew should be submitted at least 90 days prior to the expiration of the current award. The amount of the award may be adjusted as a result of changes in the identified eligible applicants and in individual tribal priorities established in the budget formulation process. For individual Indians, receipt of program services represent one-time direct assistance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Indian tribes and tribal organizations awards are made on an annual basis and the funds remain available until expended by the contractor. Payments may be made in advance or by way of reimbursement. See the following for information on how assistance is awarded/released: The timing of payment will be negotiated with the Indian tribes.

Reports:

Program progress reporting requirements will be negotiated with the contractor. Cash reports are not applicable. Program progress reporting requirements will be negotiated with the contractor. For Indian tribes and tribal organization administering the program SF-425, Federal Financial Reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Financial records must be retained for 3 years from the date of submission of the single audit report. Procurement records must be retained for 3 years from the date of final payment. Property records must be retained for 3 years from the date of disposition, replacement, or transfer. Records pertaining to any litigation, audit exceptions or claims must be retained until the dispute has been resolved.

Account Identification:

14-2301-0-1-452.

Obligations:

(Project Grants) FY 09 \$6,886,232; FY 10 est \$6,886,232; FY 11 est \$6,886,232

Range and Average of Financial Assistance:

For HIP, maximum of \$35,000 for repairs and renovations; \$2,500 for interim improvements. The average cost of repair has been approximately \$17,500. New housing does not have a specified maximum amount but is intended to provide only a modest standard dwelling. Average new housing construction cost has been approximately \$55,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: On an annual basis over 500 families receive services under the HIP program through repairs/renovations or new or replacement construction. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 Part 256, "Housing Improvement Program;" 25 CFR Part 900, "Contracts under the Indian Self-Determination and Educational Assistance Act;" and OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments."

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for Regional Office addresses.

Headquarters Office:

Deputy Director, Office of Tribal Services, Bureau of Indian Affairs, 1849 C St., N.W., MS 4513 MIB, Washington, District of Columbia 20240 Phone: (202) 513-7640.

Website Address:

<http://www.doi.gov/bia/tservices/hip/housing.htm>;
<http://www.doi.gov/bureau-indian-affairs.html>.

RELATED PROGRAMS:

10.410 Very Low to Moderate Income Housing Loans; 14.850 Public and Indian Housing

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The HIP does not fund multi-unit projects as such, the assistance is granted to repair Indian owned and inhabited individual houses with each house considered to be a project. Occasionally, several individual projects may be constructed simultaneously in close proximity giving the appearance of a multi-unit project. One such occurrence was the construction of several units in California. Prior to HIP undertaking there was no housing in this area. No other federally-assisted housing program was able to meet this need. The result of constructing housing in this area has been significant. The effort proved decent housing could be a reality in remote geographic areas. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Indian tribes and tribal organizations meeting the requirements of 25 CFR Part 900 will be selected if a viable tribal work plan identifying eligible applicants, the category of assistance needed, the estimated project cost for each eligible applicant, and a report of prior year accomplishments is submitted and the tribe has high priority ranking eligible applicants for which sufficient funding is available for the needed project or the program has been prioritized by the Indian tribe through tribal participation in the Bureau of Indian Affairs' Tribal Priority Allocation budget formulation process. Individual Indians must meet

the eligibility requirements contained in 25 CFR Part 256. Requests are made by individuals to the local tribal servicing housing office or to local BIA agencies for repair or new housing assistance. Starting with the most needy, regardless of the category of services required, applicants are served until available funds are exhausted.

15.214 NON-SALE DISPOSALS OF MINERAL MATERIAL

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Materials Act of 1947, Public Law 80-291, 30 U.S.C. 601-604, 611, as amended.

OBJECTIVES:

To permit free use of certain mineral material from federally owned lands under the jurisdiction of the Bureau of Land Management by governmental units and nonprofit organizations.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Free use permits are granted to governmental units without regard to number of permits or value of mineral material, provided the mineral material is for use on a public project. Permits are granted to nonprofit organizations for mineral material not to exceed 5000 cubic yards during any period of twelve consecutive months. All free-use mineral material must be extracted in accordance with approved conservation practices, terms, and conditions that provide for protection of environment and rehabilitation of any damage caused by mineral material extraction.

Applicant Eligibility:

Any Federal or State agency, unit, or subdivision, including municipalities, where material will be used for public project; or any nonprofit association or corporation. A free use permit will not be issued upon the determination that the applicant owns or control an adequate supply of suitable mineral materials that are readily available and can be mined in a manner which is economically and environmentally acceptable. Mineral material obtained under a free use permit may not be bartered or sold.

Beneficiary Eligibility:

Federal or State agencies, units, or subdivisions, including municipalities, where material will be used for public project; or any nonprofit association or corporation.

Credentials/Documentation:

Evidence of eligibility, i.e., nonprofit status, delegation of authority for signing official to take such action, surety bond, etc. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program may require submission of a performance bond. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit application on Form 5510-1 to the proper Bureau of Land Management office.

Award Procedure:

If mineral material is available, applicant is qualified and application does not conflict with other management practices or uses, application is approved and one copy of the executed form is returned to the applicant. Environmental analysis is accomplished and environmental impact statement is prepared if necessary.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The term of the permit varies but will not exceed 10 years to any Federal or

State agency, unit, or subdivision, including municipalities. Permits to nonprofit organizations are not to exceed 1 year.

Appeals:

Appeals may be made to the Board of Land Appeals, Office of Hearings and Appeals as specified in 43 CFR Part 4 et seq.

Renewals:

Any free use permits may be extended for a single additional period not to exceed 1 year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

An annual production report is required as specified in the free use permit. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Production documentation must be available if requested to confirm production.

Account Identification:

14-1109-0-1-302.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$5,850,000; FY 10 est \$5,900,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 217 free use permits were issued to States and local governments and non-profit organizations and corporations for sand and gravel, stone, soil, clay, and pumice. Fiscal Year 2010: Free use permits are being issued to States and local governments and non-profit organizations and corporations for sand and gravel, stone, soil, clay and pumice. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3620 and 43 CFR Part 4.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Director, Bureau of Land Management, Solid Minerals Division (WO-320), 1849 C St., N.W., 201LS, Washington, District of Columbia 20240 Phone: (202) 912-7112.

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.222 COOPERATIVE INSPECTION AGREEMENTS WITH STATES AND TRIBES

Section 202 Agreements

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Oil and Gas Royalty Act of 1982, Public Law 97-451, Title II, Section 202, 30 U.S.C 1732.

OBJECTIVES:

To provide for the Bureau of Land Management (BLM) to enter into cooperative agreements with Tribes so that authorized Tribal inspectors can carry out inspection activities on Indian oil and gas leases within Tribal jurisdiction.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance to be used only for costs directly required to carry out the agreed upon activities as described in the signed agreement.

Applicant Eligibility:

Indian tribes with producing tribal oil and gas leases for which with Federal government has trust responsibility. State may enter into a cooperative agreement for inspection of tribal oil and gas leases with the permission of the Tribe.

Beneficiary Eligibility:

Indian tribes with producing tribal oil and gas leases for which the Federal government has trust responsibility. States that have tribal permission to enter into cooperative agreements to conduct inspections on tribal oil and gas leases.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No state plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Completed SF 424, Application for Federal Assistance; 424A, Budget Information - Non-Construction Programs; and 424B, Assurances - Nonconstruction Programs and proposal must be submitted to the appropriate BLM State Director. The proposal must include a description of the type and extent of inspection activities proposed under the agreement, the number of proposed inspectors, the proposed period or time the agreement will be in effect; and the name, address and telephone number of the State or Tribal contact.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no statutory formula matching requirements. As provided in 43 CFR 3190.2-2, funding will be up to 100 percent of allowable cost. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding shall be at least quarterly, upon submission of invoice or request for reimbursement. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantees must submit a final performance report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$1,085,500; FY 10 est \$1,100,000; FY 11 est \$1,150,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$90,000 to \$328,000. Average amount is \$155,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Bureau of Land Management has financial assistance agreements with the Navajo and Jicarilla Nations. The agreements establish procedures for cooperation and coordination in planning and programming for oil and gas inspections of Nation leases with a goal of increasing the frequency of inspections providing for the uniform application of regulations, and timely communications between the BLM and the Nation in performance of their regulatory functions. The inspection and enforcement staff are Navajo and Jicarilla nationals who have the appropriate technical training and language skills to operate freely on their reservation. The Navajo Nation has three certified Inspection and Enforcement staff and one inspector that is currently in training with certification anticipated within the year. The Jicarilla Nation has two certified Inspection and Enforcement staff, one inspector that is currently in training with certification anticipated within the year and one new hire that will attend a six-module training for certification for Petroleum Engineering Technicians. Fiscal Year 2010: Not Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 3190 and 43 CFR 3192.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for list of Bureau of Land Management State offices.

Headquarters Office:

Assistant Director, Bureau of Land Management, Resource Use and Protection (300), 1849 C St., NW, LS 300, Washington, District of Columbia 20240
Phone: (202) 208-4201.

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: BLM has provided training and coordination for the Navajo Nation and Jicarillo Apache Tribal Inspection and Enforcement staff to carry out inspection and enforcement activities on Indian oil and gas leases within their Tribal jurisdiction. Inspectors conducted 83 production inspections, 1309 oil and gas well inspections, 39 drilling inspections, 25 well plugging and abandonment inspections, 102 environmental inspections, and 410 incidents of noncompliance were issued to operators. Fiscal Year 2010: BLM continues to provide training and coordination for the Navajo Nation and Jicarillo Apache Tribal Inspection and Enforcement staff to carry out inspection and enforcement activities on Indian oil and gas leases within their Tribal jurisdiction. Inspection statistics for 2010 are not available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on the Tribes direct relationship to federal and Tribal lands and a balanced review including relevance to program objectives, merit, and cost effectiveness.

15.224 CULTURAL RESOURCE MANAGEMENT**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act, Public Law 94-579; Archaeological Resources Protection Act, Public Law 96-95; National Historic Preservation Act of 1966, Public Law 89-665, as amended.

OBJECTIVES:

To manage and protect cultural resources on the public lands and to increase public awareness and appreciation of these resources. Most of these lands are located in the Western United States and Alaska.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

All projects are restricted to lands administered by BLM. Most of these lands are located in the Western United States and Alaska. Assistance can be used for cooperation in managing cultural resources, e.g., stabilization of structures and public contact/education or for improving information about cultural resources, consistent with needs identified in BLM planning documents. No regular discretionary fund is available but is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposals should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at BLM State Office level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the Washington Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no statutory formula matching requirements except when use is for the Challenge Cost Share program which has a 1:1 matching requirement. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year to year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement terminated, transferred to a new grantee, or will not be extended, that grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project

records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Project Grants) FY 09 \$5,323,600; FY 10 est \$4,830,000; FY 11 est \$3,620,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$5,000 to \$310,000. Average amount is \$35,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: BLM's cultural resources program continues its efforts to promote Heritage Education through partnerships and its Adventures in the Past initiative. BLM worked with over 17 university archeology field schools to train students in archaeological methods, worked to identify almost 5,500 new cultural properties, and developed interpretive signing for over 270 properties. BLM uses challenge cost-share and Cooperative Conservation Initiative grants whenever possible on projects located on lands managed by the Federal Government, to enhance cultural and heritage resource management on Public Lands. Projects are restricted to lands administered by BLM in the Western United States and Alaska. Fiscal Year 2010: BLM's cultural resources program continues its efforts to promote Heritage Education through partnerships and its Adventures in the Past initiative. BLM worked with over 12 university archeology field schools to train students in archaeological methods, worked to identify almost 4,300 new cultural properties, and developed interpretive signing for over 220 properties. BLM uses challenge cost-share and Cooperative Conservation Initiative grants whenever possible on projects located on lands managed by the Federal Government, to enhance cultural and heritage resource management on Public Lands. Projects are restricted to lands administered by BLM in the Western United States and Alaska. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's cultural resource management program is basically guided by the provisions in 43 CFR Part 3, 43 CFR Part 7, 36 CFR Part 60 and 36 CFR Part 800. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for cultural resources (8100) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief, Bureau of Land Management, Division of Cultural and Paleontological Resources and Tribal Coordination (WO 240), 1849 C St., NW, Washington, District of Columbia 20240 Phone: (202) 912-7241.

Website Address:

<http://www.blm.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Cooperative agreements with universities to conduct management-focused archaeological field schools and scholarly research on public lands located in the Western United States and Alaska, and cooperative agreements to produce education materials enhancing public awareness and appreciation of cultural resources. Fiscal Year 2010: Cooperative agreements with universities to conduct management-focused archaeological field schools and scholarly research on public lands located in the Western United States and Alaska, and cooperative agreements to produce education materials enhancing public awareness and appreciation of cultural resources. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on a balanced review including relevance to program objectives, merit and cost effectiveness.

15.225 RECREATION RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Federal Land Policy and Management Act, Public Law 94-579.

OBJECTIVES:

To manage recreational resource values on the public lands administered by the Bureau of Land Management and to increase public awareness and appreciation of these values. For Recovery and Reinvestment Act funded projects, in addition to the program objectives above: To preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

All projects are restricted to lands administered by the BLM. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping the BLM manage and/or upgrade recreational resources and related facilities, and in providing related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov and must include: the title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement. State plan is not required for this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Most awards are anticipated within 90 days or less after

the announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula requirements. However, matching funds by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, the recipient must submit a final Program Performance Report 90 days after the end date of grant performance. Projects funded under the American Recovery and Reinvestment Act of 2009 will be subjected to additional reporting requirements as described in the announcement packages at www.grants.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 must be submitted 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302; 14-1108-0-1-302 - 14-09/10 ARRA Fund Code.

Obligations:

(Cooperative Agreements) FY 09 \$1,079,961; FY 10 est \$53,200; FY 11 est \$0. (Cooperative Agreements) FY 09 \$6,246,000; FY 10 est \$6,500,000; FY 11 est \$6,500,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$5000 to \$208,000. Average amounts approximately \$43,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: BLM continues to maintain and expand partnerships in the Tread Lightly, Leave No Trace, Interpretive programming, Recreational Fishing, Corridor Management (rivers and trails), and numerous travel and tourism activities. BLM uses challenge cost share and cooperative conservation initiative grants whenever possible on projects of mutual benefit to rural communities, counties, and cities in western United States for management of public lands. Fiscal Year 2010: BLM continues to maintain and expand

partnerships in the Tread Lightly, Leave No Trace, Interpretive programming, Recreational Fishing, OHV, Travel Management, VRM, Corridor Management (rivers and trails) and numerous travel and tourism activities. BLM uses challenge cost share and cooperative conservation initiative grants whenever possible on projects of mutual benefit to rural communities, counties, and cities in the western United States for management of public lands. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's recreation program is generally guided by provisions in 43 CFR Part 8000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 8300 and 8400) may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Recreation Visitor Services Division, Bureau of Land Management (WO 250), Division of Recreation and Visitor Services, 1849 C Street, NW, 302 LS, Washington, District of Columbia 20240-9998 Phone: (202) 912-7250.

Website Address:

<http://www.blm.gov/nhp/index.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Development of recreation facilities on BLM managed lands; cooperative visitor services to the general public using BLM managed lands; agreements to manage public land resources cooperatively; and assistance agreement to promote more effective management of caves on public lands. Fiscal Year 2010: Continuing development of recreation facilities on BLM managed lands; cooperative visitor services to the general public using BLM managed lands; agreements to manage public land resources cooperatively; assistance agreement to promote more effective management of caves on public lands; and an assistance agreement with the University of Idaho to conduct visitor surveys. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to BLM land management and a balanced review including relevance to program objectives, merit and cost effectiveness. In addition, for Recovery Act funded projects the evaluation criteria must also address how the applicant's project will jumpstart the economy, create or save jobs, and place a down payment on addressing long-neglected challenges for the management, protection and development of federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.227 DISTRIBUTION OF RECEIPTS TO STATE AND LOCAL GOVERNMENTS

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Mineral Lands Leasing Act, 30 U.S.C. 191, 275, 286 and 355, Public Law 85-88, as amended; Taylor Grazing Act, 43 U.S.C. 315i and 315m, Section 15; as amended, 48 Stat. 1275; Executive Orders 10322, 10787, 10890, and 10046, Section 15; Taylor Grazing Act, 43 U.S.C. 315i, and 315m, Section 15, 43 U.S.C. 315b, Section 3; 48 Stat. 1270 and 1275; The Department of the Interior and Related Agencies Appropriations Act of 1981, 42 U.S.C. 6508, Public Law 96-514, 94 Stat. 2964; Outer Continental Shelf Lands Act, 43 U.S.C. 1337, 67 Stat. 462, as amended; Lake Tahoe Basin-U.S. Lands-Disposal and Acquisition, Sections 1 and 2, Public Law 96-586, 94 Stat. 3381-3382; Federal Land Policy and Management Act of 1976, Title I, Section 102, Public Law 94- 579, 90 Stat. 2744; Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901, Public Law 105-263, 90 Stat. 2343; Lincoln County Land Act of 2000, Public Law 106-298; 30 U.S.C. 230, 62 Stat. 576; 65 Stat. 248; Public Buildings Omnibus Act, 30 U.S.C. 603; Money and Finance, 31 U.S.C. 711 and 717, Public Law 97-258, 96 Stat. 889 and 893; National Reclamation Law, 43

U.S.C. 391, 32 Stat. 388; Chapter 139, 18 Stat. 474; 58 Stat. 745; 65 Stat. 252; Department of the Interior and Related Agencies Appropriations Act of 1993, Title I, Public Law 102-381, 106 Stat. 1378; Federal Land Transaction Facilitation Act, Title II, Public Law 106-248, 106 Stat. 613; Public Law 85-569, 7 U.S.C. 1012, 72 Stat. 348; Federal Land Policy and Management Act of 1976, Title II, Section 213, Public Law 94-579, 90 Stat. 2760, Mineral Revenue Payments Clarification Act of 2000, Title V, Public Law 106-393.

OBJECTIVES:

By various Laws, the Bureau of Land Management (BLM) shares revenue with State, county, and local governments from fees charged for sale or use of public lands, minerals, and vegetation. Payment amounts are determined by several codified formulas and supplements other Federal land revenue sharing payments that local units may be receiving. Payments may be used for any governmental purpose as specified in the various Public Laws.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Are specified in the various Public Laws.

Applicant Eligibility:

No application is necessary. Local units which generate revenue from public land and resources will receive automatic payment distribution as computed in accordance with the Law for that distribution.

Beneficiary Eligibility:

State and county governments in which Federal lands and minerals are located or have been acquired for purposes defined under Objectives.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Automatic distribution is made as authorized by the various Laws for that distribution subject. No application is necessary.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Automatic distribution is made as authorized by various Public Laws for that distribution subject.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

14-9921-0-2-999.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$111,942,000; FY 10 est \$97,949,250; FY 11 est \$83,956,500 - Assistance type includes direct payments with unrestricted use and direct payments for specified use.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Distribution of Receipts Accountant, Bureau of Land Management, OC-621 Building 50, Denver Federal Center, Denver, Colorado 80225 Phone: (303) 236-0144.

Website Address:

<http://www.blm.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.228 NATIONAL FIRE PLAN - WILDLAND URBAN INTERFACE COMMUNITY FIRE ASSISTANCE

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2001, Title IV, Public Law 106-291.

OBJECTIVES:

To implement the National Fire Plan and assist communities at risk from catastrophic wildland fires by providing assistance in the following areas: Provide community programs that develop local capability including: assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training, protective clothing and equipment purchase, and mitigation methods on a cost share basis.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Hazard reduction activities are restricted to Federal lands, or adjacent nonfederal land for activities that benefit resources on Federal land. Most of these lands are located in the Western United States and Alaska. Assistance can be used for helping BLM support community based efforts to address defensible space and fuels management issues to support outreach and

education efforts associated with fuels management and risk reduction activities and to increase the effectiveness of rural fire protection. Funding is highly variable each fiscal year.

Applicant Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Beneficiary Eligibility:

States and local governments at risk as published in the Federal Register, Indian Tribes, public and private education institutions, nonprofit organizations, and rural fire departments serving a community with a population of 10,000 or less in the wildland/urban interface.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Wildland Urban Interface Community Assistance is coordinated by Bureau State and Field Offices. No specific application forms apply, except for grants awarded, the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", must be used by this program.

Award Procedure:

Wildland Urban Interface community projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or Field Office Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time varies depending on the type of complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time of the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The program has not matching requirements, however, matching funds by the applicant are encouraged and those projects are most likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient

payment will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final performance report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly, SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State, Local and Indian Tribal Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$3,937,000; FY 10 est \$4,000,000; FY 11 est \$4,000,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$1,000 to \$50,000. Average amount is \$25,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Communities at risk from catastrophic wildland fires have been provided assistance in the following areas: assessment and planning, mitigation activities, and community and homeowner education and action; plan and implement hazardous fuels reduction activities, including the training, monitoring or maintenance associated with such hazardous fuels reduction activities, on federal land, or on adjacent nonfederal land for activities that mitigate the threat of catastrophic fire to communities and natural resources in high risk areas; enhance local and small business employment opportunities for rural communities; enhance the knowledge and fire protection capability of rural fire districts by providing assistance in education and training, protective clothing and equipment purchase, and mitigation methods on a cost share basis. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's wildland urban interface community assistance program is guided by the provisions of the National Fire Plan. A variety of public information on this plan is available by contacting the appropriate State Office or the National Interagency Fire Center's web site at: <http://www.nifc.gov>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Division of Fire Planning and Fuels Management, Bureau of Land

Management, (FA-600), National Interagency Fire Center, 3833 S. Development Ave., Boise, Idaho 83705 Phone: (208) 387-5186.

Website Address:

<http://www.blm.gov/nhp/index.htm>; <http://www.nifc.gov>.

RELATED PROGRAMS:

15.242 National Fire Plan - Rural Fire Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Assessment and planning for reducing wildland fire risks to communities and their associated watersheds and natural resources. (2) Hazard fuel reduction activities and projects that mitigate wildfire hazards to communities and natural resources. (3) Implementation of programs, workshops or training that distributes educational materials and develop partnerships between stakeholders in wildland urban interface communities. (4) Assistance and technical transfer of information that promotes homeowner's and rural fire department's action to implement community wildfire mitigation activities. (5) Wildland fire assistance to rural fire departments through mitigation education, training, and providing necessary safety equipment. Fiscal Year 2010: No projects have been awarded in 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their ability to reduce the risk of wildland fire to community values and to increase the capabilities of rural fire organizations, in the vicinity of Federal lands.

15.229 WILD HORSE AND BURRO RESOURCE MANAGEMENT

Wild Horse and Burro Resource Management

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Wild Free-Roaming Horses and Burros Act of 1971, Public Law 92-195, as amended; Federal Land Policy and Management Act of 1976, Public Law 94-579; Public Rangelands Improvement Act of 1978, Public Law 95-514.

OBJECTIVES:

The goal of the Wild Horses and Burro Resource Management program is to achieve and maintain healthy, viable wild horse and burro populations on the public lands.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Projects are designated to manage and protect wild free-roaming horses and burros to achieve and maintain a thriving natural ecological balance on the public lands. Project assistance can be used in researching for improved censusing techniques and fertility control methods; and adopting excess animals removed from the range.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through [Grants.gov](http://www.Grants.gov) in response to the advertised announcements and must include: the title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement. State plan is not required for this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management and District Office level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.Grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The program has no statutory formula matching requirements. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, recipients must submit a final Program Performance Report 90 days after the end of the grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end of the grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in

accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$4,555,309; FY 10 est \$4,000,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

\$10,000 to \$400,000; Average \$60,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The BLM currently manages approximately 37,000 horses and burros in 180 herd management areas in 10 western states. Nationally, the appropriate management level (optimum number of animals on the range -AML) is about 26,600 animals. BLM has made significant progress towards achieving AML and continues removing excess animals from the range to reach AML and to avoid range deterioration. BLM plans to reduce population growth by increasing fertility control in partnership with the Human Society (gather, treat and mares using PZP) and adjusting sex ratios (gather, geld and release stallions) on the range. BLM looks forward to expanding partnerships and creating new policies to increase adoptions and sales. Fiscal Year 2010: Not available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The wild horse and burro program is generally guided by provisions in 43 CFR Part 4700. BLM Manuals and Handbooks 4700 - 4770 provide basic program operational guidance for the wild horses and burro program and may be obtained by accessing the BLM library through the internet.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief, Bureau of Land Management, Division of Wild Horses and Burros, (WO 260), 1849 C St., NW, 314-LS, Washington, District of Columbia 20240-9998 Phone: (202) 912-7297.

Website Address:

<http://www.wildhorseandburro.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: BLM developed partnerships for research into population level fertility control along with research on population census techniques and programs to help place excess wild horses and burros in good homes. Assistance agreements have been awarded to state departments of correction in Colorado, Nevada, Kansas, Utah, and Mississippi to train wild horses making them more adoptable. Fiscal Year 2010: BLM continues to develop partnerships for research into population level fertility control along with research on population census techniques and programs to help place excess wild horses and burros in good homes. Texas AgriLife Research has been awarded a grant to conduct research on the genetic analysis of wild horse and burro herds. Assistance agreements have been awarded to state departments of correction to train wild horses making them more adoptable. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to BLM's management of wild horse and burro herds and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.230 INVASIVE AND NOXIOUS PLANT MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Noxious Weed Act of 1974, 7 U.S.C. 2814; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended, Public Law 105-277, Section 135; and Executive Order 13112,

Invasive Species, February 3, 1999.

OBJECTIVES:

To encourage interested State and local governments and Federal agencies to work together to inventory, manage, educate, reduce the spread of, and prevent the further invasion and establishment of noxious, invasive weeds, and other species. These entities will develop and implement Integrated Pest Management Plans to develop and implement projects that foster consultation and cooperation among stakeholders, interested parties, and the public and to organize, finalize, and develop projects to implement integrated pest management plans for invasive species within a specific geographic area.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Funds may be used on public, State county, and private lands for approved projects that prioritize and target undesirable plant species or group of species to be controlled or contained within a specific geographic area; describe the intergrated pest management system to be used to control or contain the targeted undesirable invasive species or groups of species; and detail the means of implementing the integrated pest management system, define the duties of the Federal agency and the State agency in prosecuting that method, and establish a timeframe for the initiation and completion of the tasks specified in the integrated management system.

Applicant Eligibility:

State and local governments.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Coordinate cooperative project proposals with Bureau of Land Management local State or District office for more information and local requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Standard Form 424, Application for Federal Assistance, Standards Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposals should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory match requirements. However, applicant's matching funds are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific retractions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annually, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records are required to be maintained for three years following submission of the final expenditure report.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$4,647,869; FY 10 est \$4,650,000; FY 11 est \$4,650,000

Range and Average of Financial Assistance:

Past partnership projects have run between \$4,000 to \$250,000. Average amounts run about \$20,000,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The State and the Bureau of Land Management have worked together with private and non-federal landowners to prevent, contain, and control the spread of noxious weeds. Because the plants move rapidly from one owner's land to another, joint efforts to eradicate, contain or control noxious weeds reduces adverse impacts on public and private lands and reduce costs to all land owners. Fiscal Year 2010: Not available. Fiscal Year 2011: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management invasive and noxious plant management program can be found at <http://www.blm.gov/wo/st/en/prog/more/weeds.html>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Senior Weeds Specialist, Division of Rangeland Resources, Bureau of Land Management, 1849 C Street, MS: 201LS, Washington, District of Columbia 20240 Phone: (202) 912-7226.

Website Address:

For general information see website <http://www.blm.gov/wo/st/en/prog/more/weeds/html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Past projects funded encouraged the State and the Bureau of Land Management to work together to control, manage and eradicate undesirable invasive species on Federal, State and private lands. By working together entire areas are treated to control and prevent the spread of invasive and noxious or undesirable plants and other invasive species within the close proximity of public lands. Fiscal Year 2010: Projects funded encouraged the State and the Bureau of Land Management to work together to control, manage and eradicate undesirable invasive species on Federal, State, and private lands. By working together entire areas are treated to control and prevent the spread of invasive and noxious or undesirable plants and other invasive species within the close proximity of public lands. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.231 FISH, WILDLIFE AND PLANT CONSERVATION RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Federal Land Policy and Management Act, as amended, Public Law 94-579, The Endangered Species Act of 1973, as amended, Public Law 93-205, Sikes Act, Public Law 93-452, Fish and Wildlife Conservation and Water Resources Developments Coordination Act, as amended, Public Law 85-624, Oceans Act of 1992, Public Law 102-587, Watershed Restoration and Enhancement Agreements, 16 U.S.C. Section 1011(a), as amended by Public Law 105-277 Section 136.

OBJECTIVES:

To manage fish, wildlife and plant conservation resources on the public lands administered by the Bureau of Land Management. This will help restore and protect lands containing noteworthy resource values for regionally significant species of management concern or wetland and riparian areas; restore and protect crucial habitat through vegetation treatments, installation of wildlife friendly fences, and creating fish passages or barriers to protect aquatic species. Restoration of wildlife habitat to restore and protect sage grouse, desert tortoise, and other upland habitats for special species as well as riparian habitat for endangered or special status fish and aquatic species. For Recovery Act funded projects, in addition to the program objectives above, section 3 of the Act states the following objectives: to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and to stabilize State and local governments budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Projects are primarily conducted on lands administered by the BLM but may also be conducted on other public or private lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to help protect, restore, and enhance fish, wildlife, and plant conservation resources and to provide related public contact/education opportunities. No regular discretionary fund is available. Funding is highly variable each fiscal year.

Applicant Eligibility:

Anyone/general public.

Beneficiary Eligibility:

All Public Land users.

Credentials/Documentation:

For grants awarded, cost will be determined in accordance with OMB Circular No. A-87 for State and Local Governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private foundations, firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov and must include: the title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement. State plan is not required with this application.

Award Procedure:

Projects are reviewed at State level and funding recommendations are made through each State's annual work plan. Final budget approvals rest with the State Director or District/Field Office Manager.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding announcement is posted on www.grants.gov and may be obtained by contacting the point of contacts listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula requirements. However, matching funds by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final performance report 90 days after the end date of grant performance. Projects funded under the American Recovery and Reinvestment Act of 2009 will be subjected to additional reporting requirements as described in the announcement packages at www.grants.gov. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end of

grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Projects will be audited at least once during life cycle.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1108-0-1-302 - 14-09/10; 14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$1,358,779; FY 10 est \$12,655,000; FY 11 est \$0. (Cooperative Agreements) FY 09 \$24,008,840; FY 10 est \$25,000,000; FY 11 est \$22,000,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$3,000 to \$459,000. Average amounts approximately \$35,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program accomplishments include watershed restoration in Utah, biological control of invasive species in Idaho, genetic studies of sage-grouse and Columbia spotted frog, rainbow trout movement and distribution studies in Alaska, bat inventories and surveys in Colorado and Arizona, various wildlife habitat improvement in Wyoming, collection and development of plant materials for habitat restoration, continuation of National Fish and Wildlife Foundation Partnership, and the development of performance measures for fish, wildlife and plant resources in assistance to western state wildlife action plans. Fiscal Year 2010: Program accomplishments include numerous sage grouse lek surveys in Wyoming, Colorado, and other states, fish passage assessment work in high desert of Wyoming, pygmy rabbit surveys in Wyoming, Rio Puerco cooperative restoration with Navajo Nation in New Mexico, more than 20 different projects in cooperation with Idaho Department of Game and Fish, grassland restoration in Aravaipa area in Arizona, continuation of National Fish and Wildlife Foundation Partnership, mapping vegetation changes in Carrizo Plain, California for climate change monitoring, and seed collection programs with partners such as CESUs and the San Diego Zoo. ARRA specific projects emphasized invasive species control, native plant materials collection and management, habitat restoration in NLCS units and sage grouse habitat, and riparian habitat restoration. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

BLM's fish, wildlife and botany program is generally guided by provisions in 43 CFR Part 6000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals providing basic program operational guidance for recreation (BLM Manual 6500) and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Division Chief, Fish, Wildlife and Plant Conservation, Bureau of Land Management (WO 230), 1849 C St, N.W., LSB 204, Washington, District of Columbia 20240-9998 Phone: (202) 912-7230.

Website Address:

<http://www.blm.gov/nhp/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects funded continue to include vegetation, rare and threatened and endangered plants and botanical surveys, fish and wildlife survey and monitoring, habitat use and condition surveys; habitat improvement or projection projects. Wildlife habitat restoration projects have provided increased protection of local communities by reducing threats from fire and invasive species through habitat improvement projects. Fiscal Year 2010: Projects funded continue to include vegetation, rare and threatened and endangered plants and botanical surveys, fish and wildlife survey and monitoring, habitat use and condition surveys; habitat improvement or projection projects. Wildlife habitat restoration projects have provided increased protection of local communities by reducing threats from fire and invasive species through habitat improvement projects. Fiscal Year 2011: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on their direct relationship to public lands management and a balanced review including relevance to program objectives, merit and cost effectiveness. In addition, for Recovery Act funded projects, the evaluation criteria must also address how the applicant's project will boost the economy, create or save jobs, and address long neglected challenges for the management, protection and development of federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.232 WILDLAND FIRE RESEARCH AND STUDIES PROGRAM**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act, as amended, Public Law 94-579; The Consolidated Appropriations Act of 2005, Division E, Department of the Interior and Related Appropriations Act of 2005, Title I and Title 4, Public Law 108-447. The Joint Fire Science Program authorization is in the Department of the Interior and Related Agencies Appropriation Act of 1998, Public Law 106-291 and funded yearly.

OBJECTIVES:

To encourage interested parties to perform research and studies pertaining to wildland fire and resource management, to develop products and tools for all levels of decision making to meet the objectives of the National Fire Plan, and to seek information to improve decision making in wildland fire management. In addition, to generally funded wildland fire research and studies there are two separate research programs; Climate applications with the National Climate Data Center: The purpose of the program is to develop products and tools for wildland fire resource management utilizing the climate data archives of the National Climate Data Centers. The National Climate Data Center is authorized to describe the climate of the United States. For example, the Western Regional Climate Center is one of the six regional centers. Western Regional Climate Center is the archive of interagency fire weather station observations. The objectives for the National Climate Data Center and its regional centers are to perform basic climate and ecosystem studies pertaining to wildland fire and resource management, to develop climate and ecosystem products and tools for all levels of decision-making. The Joint Fire Science Program: This program seeks research proposals that respond to its announcements for proposals that seek information on seven critical wildland fire and fuels issues: These issues are: Wildland fuels and inventory mapping; Effects of fuels treatments; Scheduling of fuels treatments; Monitoring and evaluation of fuels treatments; Evaluating post fire stabilization and rehabilitation projects; Aircraft-based remote sensing; and Regional and local offices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for approved projects that address wildland fire management needs of federal and local government land managers. Joint Fire Science Program applicants must clearly identify a federal cooperator. Successfully awarded projects can only be funded through a federal cooperator.

Applicant Eligibility:

Anyone/General Public.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State Plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, a budget breakdown, and any other requirements specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the National and State level and funding recommendations are made through National and State's annual work plan. Final budget approvals rest with the National Office and State Director. For the Joint Fire Science Program all applications are peer-reviewed. The ten person Joint Fire Science Program Governing Board will analyze peer review comments and make all funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadline will be published in the Grants.gov announcement. Applications for projects for the Joint Fire Science program will also be posted www.firescience.gov generally in the fall of each year. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. JFSP awards are anticipated within 6-8 months after announcement closes.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory match requirements. However, the applicant's matching funds are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based upon performance, merit, and funding availability. For the Joint Fire Science Program, projects are

generally funded for no more than three years. Most projects funded are awarded in one lump sum at the start of the project; however some projects are occasionally funded year-by-year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1125-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$3,000,000; FY 10 est \$4,000,000; FY 11 est \$4,000,000 - Obligations specific to the Joint Fire Science Program.
(Cooperative Agreements) FY 09 \$724,500; FY 10 est \$700,000; FY 11 est \$700,000

Range and Average of Financial Assistance:

Past partnership projects range from \$30,000 to \$400,000. Average amounts are \$130,000 or less. For the Joint Fire Science Program awards range from \$60,000 to \$350,000. Average amounts are \$300,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program accomplishments provided new information and tools to improve decision-making in wildland fire management. Program accomplishments include Climate and Ecosystem studies that benefit fire and resource management programs both directly and through the inherently interagency nature of many of the climate-related projects. Projects have had direct benefit to, among others, fire management, Predictive Services, meteorologists, fuels analysts, intelligence officers, fire behavior analysts, fire specialists, fire planners, resource managers and State and local government leaders. Specific accomplishments include user access to Western Regional Climate Center Remote Automated Weather System data archive through field-requested queries; lightning climatologies; comparisons of drought indices for fire management; operational 15-day fire danger forecasts; operations of an interagency regional modeling facility; model output statistics products; real-time drought assessment for rangelands; and quality-checked, complete Remote Automated Weather System weather data sets for the Fire Program Analysis effort. The Joint Fire Science Program funded projects are helping to improve the overall effectiveness of wildland fire management by providing the scientific information and tools necessary to integrate fire into land and resource management plans and their implementation. Researchers and managers have communicated results and new tools to on-the-ground users through published papers, field trips with managers, participation in workshops and symposia, training sessions, and the development of Web pages for posting current and relevant information, and other technology transfer media. Fiscal Year 2010: Not available. Fiscal Year 2011: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the National Fire Plan can be found at website: <http://www.forestsandrangelands.gov/>. Western Regional Climate Center reports and publications pertaining to projects and accomplishments are posted on <http://cefa.dri.edu>. Joint Fire Science information, including announcements for proposals, project information, and literature can be found at website www.firescience.gov/.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of BLM State Offices.

Headquarters Office:

Group Manager, Planning and Resources Group, Bureau of Land Management, Office of Fire and Aviation, 3833 S. Development Ave., Boise, Idaho 83705. For the Joint Fire Science Program: Program Manager, Joint Fire Science Program, address same as above, (208) 387-5349., Boise, Idaho 83705 Phone: (208) 387-5153.

Website Address:

For general information see website <http://www.forestsandrangelands.gov/>. For information on the Western Regional Climate Center website see <http://cefa.dri.edu/>. Joint Fire Science Program information may be found at <http://www.firescience.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Development of Web Access to Remote Automated Weather System Data and Products; Development of US Operational Fire Danger 15-Day Forecasts; Role of Climate in Prescribed Fire; Understanding Drought for BLM Business; Real-time Drought Assessment for Rangelands. Reports are available at <http://cefa.dri.edu/>. Funded JFSP projects for fire effects and fire ecology include: effects of fire and insects on fuel structures in ipinon-juniper and post-fire invasive communities, bark beetles, fuels and future fire hazard in contrasting conifer forests in the Greater Yellowstone area, impacts of repeated wildfire on vegetation in the Southern Appalachian Mountains and restructuring natural fire events their strengths and causes. Fiscal Year 2010: JFSP projects awarded include fire behavior and vegetation effects after mastication and cutting encroached savannas in Texas, tracking ecosystem carbon budgets, as a basis for improving and pre-and-post wildfire management, and a syntheses of fire and oak restoration in the northeastern U.S. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal wildland fire management, qualifications of principal investigator and institution, and a balanced review including relevance to program objectives, merit and cost effectiveness. Joint Fire Science Program proposals will be reviewed for relevancy in relation to the posted announcement for proposals task statement, scientific methods and study design, science deliverables, collaboration/leverage, and administrative adequacy. Successful proposals will provide a strong science delivery and application plan that clearly demonstrates the use of both passive and active technology transfer methods.

**15.233 FORESTS AND WOODLANDS RESOURCE MANAGEMENT
FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737,(b), Public Law 94-579, as amended; and Stewardship End Result Contracting Projects, 16 U.S.C. 2104, Public Law 108-7, Consolidated Appropriation Resolution, 2003, Division F, Title III, Section 323 as amended Public Law 105-277, Department of the Interior and Related Agencies Appropriations Act, 1999, Division A, Section 101(e), Title III, Section 347, as amended Public Law 106-113, Making Consolidated Appropriations For the Fiscal Year Ending September 30, 2000, and For Other Purposes, Division B, Section 1000(a)(3), Title III, Section 341 and Public Law 107-63, Department of the Interior and Related Agencies

Appropriations Act, 2002, Title III, Section 332.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of forests on public lands. Improvements will include the maintenance of forest health, forest regeneration, restoration, rehabilitation, insect and disease control, forest development, implementation of stewardship projects and providing for biomass utilization of public lands. For Recovery and Reinvestment Act funded projects additional objectives include: To preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements (Discretionary Grants); TRAINING

USES AND USE RESTRICTIONS:

Projects are limited to forestry projects on public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local requirements, cooperative forestry project proposals should be coordinated with Bureau of Land Management local State or District Office. State plan is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov and must include: a title, objectives, timeframes, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula requirements. However, matching funds are encouraged by the applicants and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended a final report is due 90 days after the end date of grant performance. Projects funded under the American Recovery Act of 2009 will be subjected to additional reporting requirements as described in the announcement packages at www.grants.gov. No cash reports are required. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly financial status reports using Standard Form 425, Federal Financial Report 30 days following the end of the reporting period. A final SF-425 is due 90 days after the end of the grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302; 14-1108-0-1-302 - Recovery Act (14-09/10-1108-0-1-302).

Obligations:

(Cooperative Agreements) FY 09 \$225,000; FY 10 est \$0; FY 11 est \$0.
(Cooperative Agreements) FY 09 \$2,036,016; FY 10 est \$3,000,000; FY 11 est \$3,500,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$4,500 to \$190,000. Average amounts approximately \$70,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: BLM continues to maintain and expand partnerships to include funding for continued support of collaborative efforts to build community capacity and support for ecological restoration efforts in forest and woodlands. The public and BLM benefit from its support with partners in heightening public understanding of the social and economic, as well as environmental, aspects of ecosystem restoration and maintenance. Fiscal Year 2010: BLM awarded a stewardship cooperative agreement to the Rocky Mountain Elk Foundation to improve wildlife habitat, reduce hazardous fuels and restore woodlands. The project will cover nearly 30,000 acres over the next 10 years. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., N.W., 204 LS, Washington, District of Columbia 20240 Phone: (202) 452-0316.

Website Address:

http://www.blm.gov/wo/st/en/prog/more/forests_and_woodland.html.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Stewardship projects that removed vegetation to promote healthy forest stands and reduce fire hazards. Projects that promoted an integrated approach that included local community participation in developing strategies for biomass utilization, recognizing the connections between biomass utilization and forest restoration, and encouraged sustainable development through a variety of enterprises at different and appropriate scales. Projects that cooperatively supported common goals and interests in managing, developing and protecting Federal and private land and water resources in an environmentally and economically sound manner. In 2009, BLM continued to fund the Wyoming Front Aspen Restoration project. This project is a 10 year stewardship cooperative agreement between the BLM and the Rocky Mountain Elk Foundation. The project is reducing hazardous fuels and improving wildlife habitat by restoring historical aspen stands. Products from this project have included sawlogs, biomass for energy and oil pad remediation and Christmas trees. Fiscal Year 2010: BLM funded the Boise District Wildlife Habitat project. This project is a 10 year stewardship cooperative agreement between the BLM and the Rocky Mountain Elk Foundation. The project is reducing hazardous fuels and improving wildlife habitat. Biomass off the project will be used for power. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to Federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.234 SECURE RURAL SCHOOLS AND COMMUNITY SELF-DETERMINATION**FEDERAL AGENCY:**

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, Title II, as reauthorized by the Emergency Economic Stabilization Act of 2008, Public Law 110-343.

OBJECTIVES:

To restore stability and predictability to the annual payments made to States and counties containing National Forest System lands and public domain lands managed by the Bureau of Land Management for use by the counties for the benefit of public schools, roads, and other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds shall be expended solely on projects that meet the requirements of Secure Rural Schools and Community Self-Determination Act of 2000, Public Law 106-393, as reauthorized by the Emergency Economic Stabilization Act of 2008, Public Law 110-343. Project funds may be used for the purpose of entering into and implementing cooperative agreements with willing Federal agencies, State and local governments, private and nonprofit entities, and landowners for protection of restoration, and enhancement of fish and wildlife habitat, and other resource objectives consistent with the purposes of the law on Federal land and on non-federal land where projects would benefit these resources on Federal land.

Applicant Eligibility:

State and local governments, public nonprofit institutions/organizations, other public institution/organization, private nonprofit institution/organization, other private institution/organization and landowners.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information and local program requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written technical and cost proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. Title II Project Application Information may be received from the BLM Western Oregon Districts or their websites.

Award Procedure:

Bureau of Land Management District staff screen applications to ensure they meet project criteria. The projects are then forwarded to the Resource Advisory Committees whose members consider each project for funding and make recommendations to the Designated Federal Officials by September 30 for each fiscal year. Final budget approval authority rests with the Bureau of Land Management Designated Federal Official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement. Projects are approved by the Designated Federal Official at their sole discretion. Within 30 days after making a rejection decision, the Designated Federal Official shall notify the Resource Advisory Committee in writing with the reasons for the rejection.

Appeals:

None. Final award decisions are not subject to appeal, however, the Bureau of Land Management will provide all applicants with information regarding why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula requirements. However, applicant's matching funds are encouraged and may be looked upon more favorably by the Resource Advisory Committees. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are funded on a year-to-year basis and funds are expended during a particular fiscal year. Funds that are not expended during a particular fiscal year may be carried forward to the next fiscal year, except for funds approved in FY 2011. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of the award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days

following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, the grantee must submit a final Program Performance Report 90 days after the end of the grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly Standard Form 425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and local governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5884-0-1-302; 14-5984-0-1-302; 14-5485-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$8,600,000; FY 10 est \$7,600,000; FY 11 est \$6,900,000

Range and Average of Financial Assistance:

Past partnership projects have run between \$4,500 to \$600,000. Average amounts run about \$65,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Secure Rural Schools and Community Self-Determination Act program continues its efforts to make investments in and create additional employment opportunities through projects that improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality. Simultaneously, improve cooperative relationships among the people that use and care for Federal lands and the agencies that manage these lands. Since the implementation of projects in this program in fiscal year 2002, the Resource Advisory Committees have recommended over 1200 projects that were subsequently approved by the Designated Federal Officials. Many of the projects are multi-year projects that are funding in phases and implemented over several years. Fiscal Year 2010: Not available. Fiscal Year 2011: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 106-393 as amended by Public Law 110-343, and the Resource Advisory Committee Charters basically guide BLM's Secure Rural Schools Program. For links to the Law and additional information see website <http://www.blm.gov/or/rac/ctypayhistory.php>.

Regional or Local Office:

See Regional Agency Offices. Secure Rural Schools Coordinator (OR931), Oregon State Office, Bureau of Land Management, 333 S.W. 1st Ave., P.O. Box 2965, Portland, OR 97204. Telephone: (503) 808-6451. E-mail: Lindsey_Babcock@blm.gov.

Headquarters Office:

Division Chief, Forest and Woodland Management, Bureau of Land Management (WO 270), 1849 C St., NW, MS 204, Washington, District of Columbia 20240 Phone: (202) 912-7246.

Website Address:

<http://www.blm.gov/or/rac/ctypayhistory.php>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Assistance agreement with cooperators, watershed councils,

and counties perform road and trail construction, maintenance or obliteration, culvert replacements for fish passage; vegetation and density management, wildfire hazard reduction, stream channel enhancement; watershed restoration; wildlife and fisheries habitat restoration and improvement; control of noxious and exotic plants; and reestablishment of native species. Fiscal Year 2010: Assistance agreements with cooperators for removal of noxious weeds in Triangle Lake, Blachy and Horton areas of Oregon which will allow for restoration of fish and wildlife habitat; promote the use of native plant material in the re-vegetation of public lands in Polk County, Oregon; and implement projects in Sandy River Basin, Oregon, to restore priority aquatic and terrestrial habitats of the basin's four threatened fish populations. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to approve project proposals are: The project complies with all applicable Federal laws and regulations; the project is consistent with the applicable resource management plan and with any watershed or subsequent plan developed pursuant to the resource management plan and approved by the Secretary of the Interior; the project has been approved by the Resource Advisory Committee in accordance with section 205 of the Act; a project description has been submitted by the Resource Advisory Committee to the Secretary of the Interior; and the project will improve the maintenance of existing infrastructure, implement stewardship objectives that enhance forest ecosystems and restore and improve land health and water quality.

15.235 SOUTHERN NEVADA PUBLIC LAND MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901 Public Law 105-263, as amended; Clark County Conservation of Public Land and National Resources Act of 2002, 16 U.S.C. 460qqq, Public Law 107-282; Department of the Interior and Related Agencies Appropriation Act of 2004, Public Law 108-108, Section 147, Title I, Section 146; Lincoln County Conservation, Recreation and Development Act of 2004, 16 U.S.C. 1241, Public Law 108-424, Tax Relief and Heathy Care Act of 2006, Public Law 109--432, Title III, Subtitle H, Sec. 382, Amendments to the Southern Nevada Public Land Management Act of 1998, Omnibus Public Land Management Act of 2009, Public Law 111-11, Title II, Subtitle G, Sec. 2601(g) Availability of Funds, Section 4(e) of the Southern Nevada Public Land Management Act of 1998.

OBJECTIVES:

To provide for the orderly disposal of certain Federal lands in Clark County, Nevada, and to provide for the acquisition of environmentally sensitive lands in the State of Nevada.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative projects are restricted to the development of parks, trails, and natural areas on lands owned by local governments and units of as designated in Public Law 105-263, as amended, in Clark County, Lincoln County, and White Pine County, Nevada, and, to the development and implementation of comprehensive, cost-effective, multijurisdictional hazardous fuels reduction and wildfire prevention plans, including sustainable biomass and biofuel energy development and production activities, for the Lake Tahoe Basin (Nevada and California), for the Carson Range in Douglas and Washoe Counties, and Carson City in the State of Nevada, and that are: subject to approval by the Secretary of the Interior; and not more than 10 years in duration; and as further in the Southern Nevada public Land Management Act Implementation Agreement.

Applicant Eligibility:

Local governments and regional government entities within the State of Nevada as specifically identified in Public Law 105-263, as amended.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement. No State plan is required with this application.

Award Procedure:

For any grants awarded as a component of any project, approved by the Secretary of the Interior, and funded under Public Law 105-263 and subsequent amendments, for any eligible beneficiary entity, all applicable Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after announcement closes. Projects are approved in accordance with Public Law 105-263, as amended, and as further delineated in the Southern Nevada Public Land Management Act Implementation Agreement. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory match requirements, however, applicant's matching funds are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual performance reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, grantees must submit a final performance report 90 days after the end of grant performance. Cash reports are not applicable. No progress reports are required. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State and local governments shall maintain project records in accordance with 43 CFR 12.82.

Account Identification:

14-5232-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$63,182,810; FY 10 est \$38,666,000; FY 11 est \$10,300,000

Range and Average of Financial Assistance:

Past partnership projects have been between \$25,000 to \$69,000,000. Average project amount is \$6,800,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Cooperative Agreements with local government and units of local governments, has resulted in project selection for projects focused on wetlands preservation, regional and community park recreation opportunities, multi-use trail systems for pedestrians & equestrians, preservation of native vegetation, evaluation, monitoring and protection of threatened or endangered species, improved water quality, protection of cultural sites, responsible fire management, and enhanced public safety. Fiscal Year 2010: Cooperative Agreements with local government and units of local governments, has resulted in project selection for projects focused on wetlands preservation, regional and community park recreation opportunities, multi-use trail systems for pedestrians & equestrians, preservation of native vegetation, evaluation, monitoring and protection of threatened or endangered species, improved water quality, protection of cultural sites, responsible fire management, and enhanced public safety. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

All guidance for this program rests with the Southern Nevada Public Land Management Act of 1998, as amended, Public Law 105-263, and can be found on website <http://www.nv.blm.gov/snplma/>.

Regional or Local Office:

See Regional Agency Offices. SNPLMA Business Manager, Nevada State Office, Bureau of Land Management, 1340 Financial Blvd., P.O. Box 12000, Reno, NV 89520-0006. Telephone: (775)861-6613. E-mail: Sharon_Gore@blm.gov.

Headquarters Office:

Division Chief, Lands, Realty, and Cadastral Survey, Bureau of Land Management (WO 350), 1849 C St., N.W., 1000 LS, Washington, District of Columbia 20036 Email: kim_berns@blm.gov Phone: (202) 912-7350.

Website Address:

<http://www.nv.blm.gov/snplma/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Development of parks, trails and natural area and the Clark County Wetlands Park, which serves as a regional wildlife preserve, affording education and recreation, while providing habitat restoration and associated wildlife, water systems, and wetlands protection, for a unique resource of the Las Vegas Valley; City of Las Vegas Centennial Hills Regional Park, with playgrounds, aquatic, picnic, and amphitheatre areas, providing recreational opportunities for the rapidly expanding northwest Las Vegas Valley area, and; Alamo Children's Park, a basic community recreational park providing picnic and barbeque areas, a volley ball court, and shaded playground area, for the small agricultural community of Alamo, NV. Fiscal Year 2010: Awards were made to the Nevada Fire Safe Council, Lake Tahoe Basin hazardous fuels reduction program, and; the Nevada Fire Safe Council Carson Range fuel reduction and wildfire prevention 10-year strategy. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting projects is outlined and defined in the Southern Nevada Public Lands Management Act Implementation Agreement, based upon the established Ranking and Rating Criteria, a balanced review including relevance to program objectives, merit and cost effectiveness, and consistent with the Southern Nevada Public Land Management Act of 1998, Public Law 105-263, as amended.

15.236 ENVIRONMENTAL QUALITY AND PROTECTION RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Watershed Restoration and Enhancement Agreements, 16 U.S.C. 1011, Public Law 104-208, Section 124, as amended Public Law 105-277, Section 135; and Consolidated Appropriations Act of 2008, Public Law 110-161, Division F, Section 125.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements as a partnership to reduce or remove pollutants in the environment for the protection of human health, water and air resources; to restore damaged or degraded watersheds; and to respond to changing climate. Objectives are implemented through core programs such as: the Abandoned Mine Land program which addresses physical safety hazards and water quality through restoration of abandoned hardrock mines; the Hazard Management and Resource Restoration, also known as Hazmat program, which remediates sites impacted by hazardous materials and illegal activities, coordinates emergency response actions, and ensures the Bureau of Land Management facilities and operations comply with applicable environmental regulations; and the Soil, Water and Air (SWA) program which develops guidance for land use plans and plan implementation. The Soil, Water, and Air program also assures that fundamental resources are inventoried and assessed, managed, and monitored to support appropriate management response to public land conditions. For Recovery and Reinvestment Act funded projects additional objectives include: To preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements (Discretionary Grants); DISSEMINATION OF TECHNICAL INFORMATION; TRAINING

USES AND USE RESTRICTIONS:

Partnership projects are limited to public lands administered by the Bureau of Land Management located mostly in the Western United States and Alaska. Hazmat and Abandoned Mine Land projects will support: inventory and site assessments; mitigation, remediation, and restoration activities; and maintenance and monitoring of actions taken. Funded projects will protect public health, safety and environmental resources by eliminating contamination and hazards and restoring natural resources. The Soil, Water and Air Program Support: soil survey and interpretation, ecological site determination, soil erosion control, and sustaining soil productivity; acquisition of water rights and inventory of water resources to assure availability of water to meet management objectives; monitoring and improvement of quality, and implementation of appropriate best management practices; Colorado River Basin salinity control activities; and air resource management including air quality, visibility, noise, climate, and climate change issues.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For more information on local requirements, cooperative rangeland project proposals should be coordinated with Bureau of Land Management local State or District Office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Statutory formulas are not applicable to this program. The program has no statutory formula matching requirements. However, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient of payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Projects funded under the American Recovery and Reinvestment Act of 2009 will additional reporting requirements as described in the announcement packages at www.grants.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly Standard

Form 425, Federal Financial Reports 30 days following end of the reporting period. A final SF 425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$105,000; FY 10 est \$7,534,000; FY 11 est \$0 - Recovery and Reinvestment Act Minerals, Land and Resources (MLR) Funds TAFS: 14-09/10-1108-0-1-302; and Recovery and Reinvestment Act Construction Funds TAFS: 14-09/10-1112-0-1-302. (Cooperative Agreements (Discretionary Grants)) FY 09 \$6,414,073; FY 10 est \$5,000,000; FY 11 est \$4,000,000

Range and Average of Financial Assistance:

Past partnership projects have ranged from \$5,000 to \$993,000. Average amount is \$70,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: States, other partners, and the Bureau of Land Management have worked together with private and non-federal landowners to enhance public health and safety and eliminate environmental degradation due to impacts from past hardrock mining activities, illegal dumping of solid and hazardous wastes, and unexploded ordnance. Natural resources adversely impacted by oil spills and releases of hazardous substances have been restored. Soil, water and air resources have been sampled and monitored; and impacts have been controlled. Fiscal Year 2010: States, other partners, and the Bureau of Land Management are working together to inventory abandoned mine sites, close abandoned mine land features (physical safety hazards), address environmental issues at abandoned mine land sites, conduct soil mapping for salinity and selenium, develop air quality task force teams, conduct water quality monitoring, establish habitat restoration areas, conduct watershed assessments and monitoring, and implement climate change monitoring. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Bureau of Land Management environmental quality and protection programs can be found at www.blm.gov, including: the Cooperative Conservation Based Strategic Plan for the Abandoned Mine Lands Programs, Abandoned Mine Land Program Policy Bureau of Land Manual 3720 and Handbook H-3720-1, Hazard Management and Resource Restoration Manual 1703, and CERCLA Response Actions Handbook H-1703-1. Manuals providing basic program policy guidance for the Soil, Water and Air Program are found in Bureau of Land Management Manual 7000 series and may be obtained at www.blm.gov.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Nancy E. Dean, Environmental Quality and Protection, Bureau of Land Management (WO 280), 1849 C St., N.W., 204 LS, Washington, District of Columbia 20240 Phone: (202) 912-7136.

Website Address:

<http://www.blm.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Past projects funded encouraged the State and the Bureau of Land Management to work together within close proximity of public lands. Examples of Abandoned Mine Land projects can be found in the Bureau of Land Management - Forest Service joint report entitled "Abandoned Mine Lands: A Decade of Progress Reclaiming Hardrock Mines," BLM publication BLM-WO-GI-07-013-3720. This report is available on www.blm.gov. Projects designed to reduce salt loading in the Colorado River, which include plugging saline wells, reducing saline runoff from areas of saline soils through improved vegetation management and restoration of OHV trails and other surface disturbance, organization and presentation of a road maintenance workshop to teach erosion control methods, and a study on relationship between grazing and vegetation green-up to maintain vegetation cover in areas of saline geology and soils. Past completed projects were completed to improve stream bank and channel conditions and projects to analyze movement and management of groundwater in areas threatened by groundwater over-drought and ground water contamination. Fiscal Year 2010: Monitoring effects of oil and gas surface development on fish habitat in northern climates, determining selenium apportionment in soils in wetland ecosystems in Utah, conducting biological surveys for abandoned mine land closers across the western US, closing physical safety hazards at abandoned mines in Colorado, California, and Wyoming, habitat restoration, establishing the locations of carbon stocks and the distributions in Western Colorado, and the collection of air, water, and meteorological data relevant for climate change monitoring. These projects provide BLM with the data needed to determine management actions and provide important baseline data for future management activities and land management decisions. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness. In addition, for Recovery Act funded projects will boost the economy, create or save jobs, and put a down payment on addressing long neglected challenges for the management, protection and development of federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.237 RANGELAND RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended; Public Rangelands Improvement Act of 1978, 43 U.S.C. 1906, Public Law 95-514 and the Taylor Grazing Act of 1934, 43 U.S.C. 315a, as amended.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements to manage, develop and protect public lands and enhance the understanding of rangeland and watershed resources, their ecological processes, and capabilities in order to meet rangeland and water quality standards for the improvement of rangelands on public lands. Projects and livestock administration for the management of rangeland on public lands. Projects and livestock administration for the management of rangeland ecosystems are conducted in a coordinated manner and consider the interrelationships of living organisms of plants and animals, the physical environment of soil, water, air, and landscape characteristics when developing and implementing resource objectives and management actions. Systematic assessments and evaluations are conducted at the watershed, allotment, and in some instances, the landscape scale to determine in the standards and fundamentals for rangeland ecosystem health are being achieved.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements; TRAINING

USES AND USE RESTRICTIONS:

Projects are limited to rangeland projects on public lands administered by the

Bureau of Land Management located mostly in the Western United States and Alaska.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with this application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via a hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be available for each project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The program has not statutory matching requirements. Matching funds or in-kind services by the applicant are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, most projects are awarded for a one to five year period and funded on a year-by-year basis and funds are expended during a particular fiscal year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and fund availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative

agreement that is terminated, transferred to a new grantee, or will be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$922,379; FY 10 est \$950,000; FY 11 est \$920,000

Range and Average of Financial Assistance:

Past partnership projects have run between \$5,000 to \$275,000. Average amounts run about \$60,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Bureau of Land Management continues to maintained and expanded partnerships to include funding for activities within this program to include resource monitoring on rangelands, conducting upland health assessments and evaluations, use authorizations, allotment planning and administration, development of vegetation objectives, development of criteria and indicators to measure rangeland sustainability, integrated weed management, and activity plan development in connection with land use planning. Fiscal Year 2010: The Bureau of Land Management continues to maintain and expand partnerships to include funding for activities within this program to include resource monitoring, develop public education media products, conducting upland health assessments and evaluations, use authorizations, allotment planning and administration, development of vegetation objectives, integrated weed management, and activity plan development in connection with land use planning. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Bureau of Land Management's Rangeland and Management program is generally guided by the provisions in 43 CFR Part 4000. A variety of public interest publications on these programs are available free of charge by contacting the appropriate State Office. Manuals and handbooks providing basic program operational guidance for Rangeland Management are found in BLM Manuals 4000 series and may be obtained by contacting the Washington Office.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Division Chief, Rangeland Resources, Bureau of Land Management (WO 220), 1849 C St., N.W., 201 LS, Washington, District of Columbia 20240 Phone: (202) 912-7222.

Website Address:

<http://www.blm.gov/wo/st/en/pro/grazing.1.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Cooperative rangeland management projects that developed criteria and indicators for measuring sustainability of rangelands. Projects that provided range management education and training for grazing permittees and agency staff. Projects that supported technical and applied rangeland and grazing management for information for dissemination, such as support for Grazinglands Conservation Initiative Convention and the International Rangelands Congress. Projects that promoted general public education and information on values of proper livestock grazing management. Fiscal Year 2010: Cooperative rangeland management projects that develop criteria and indicators for measuring sustainability of rangelands. Conduct invasive and weed species inventory. Conduct integrated weed management planning and treatment. Develop projects that supported technical and applied rangeland and grazing management information for dissemination, such as support for Grazinglands Conservation Initiative Convention and the International Rangelands Congress. Projects that promoted general public education and information on values of proper livestock grazing management. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.238 CHALLENGE COST SHARE

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriation Act for Fiscal Year 1991, Public Law 101-512, Title I.

OBJECTIVES:

The Challenge Cost Share Program works through cooperative partners to help accomplish high priority work to support habitat improvement, comprehensive travel management, recreation and cultural projects. The types of projects that can be funded include monitoring and inventoring of resources; implementing habitat improvement or protection projects; developing threatened and endangered species recovery plans; protecting, stabilizing or documenting cultural resources; travel management, including managing off-highway vehicle use; and providing enhanced recreational experiences, including visitor services, information, and facilities for public health and safety.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

All projects are restricted to public lands administered by the Bureau of Land Management or that directly benefit public lands. Most of these lands are located in the Western United States and Alaska. Assistance can be used to leverage funds with partners and other external funding sources to enhance the Bureau of Land Management's ability/capacity to survey, monitor and inventory resources; to restore and maintain land in a healthy condition; to support threatened and endangered species management; to manage heritage resources; to enhance recreational experiences; provide visitor services or facilities; to conduct public outreach and education projects; and to support emerging partnership opportunities.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information on local requirements, cooperative projects proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required with the application.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Most awards are anticipated within 90 days or less after the announcement closes.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Land Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has statutory non-federal matching funds or in-kind service requirements. Funds are required to be matched one nonfederal dollar for each federal dollar. The matching funds must be nonfederal in origin.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for more projects, however, most projects are awarded for one to five year period and funded on a year-by-year basis and funds are expended during a particular year. No commitment will be made to fund projects beyond one year. New and continuing projects will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports 30 days following the end of the reporting period. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$2,830,705; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

Past partnership projects have been between \$2,000 to \$250,000 depending on the policies of that particular state. Average amounts are \$30,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Past partnership projects have completed wildlife habitat improvement, protection, and restoration projects with durable lasting results, conducted wildlife studies, and developed threatened and endangered species recovery plans. Cultural resource projects have been conducted to protect and stabilize cultural sites and conduct surveys to find artifacts. Recreation projects have been conducted to build trails, obliterate roads and trails, and enhance visitor recreation experiences on public lands. Overall, projects have also included support for environmental education, University research, and interactive youth initiatives based on the programs within the BLM. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, manuals and information about the Bureau of Land Management fish, wildlife, botany, recreation and cultural programs can be found at website <http://www.blm.gov/>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Program Manager and Agency Liaison, Bureau of Land Management (WO 200), 1849 C St., N.W., 201 LS, Washington, District of Columbia 20240 Phone: (202) 912-7203.

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Past projects funded include monitoring and inventorying of resources; implementing habitat improvement or protection projects; developing threatening and endangered species recovery plans; protecting, stabilizing, or documenting cultural resources; travel management, including managing off-highway vehicle use and support of monitoring partnerships; providing enhanced recreational experiences including the support of friends and groups in NLCS units, visitor services, information, and facilities for public health and safety; and supporting environmental education awareness through local events and partnership entities. Fiscal Year 2010: Successful multi-year projects for 2010 mirror accomplishments from 2009, examples of specific projects include: The Colorado Bird monitoring project that brings together \$190,000 from the the Colorado Division of Wildlife and the U.S. Forest Service and the BLM federal match of \$33,000 to support the Partners in Flight Initiative and develop statistically sound monitoring for every species of bird that nests in Colorado. Another valuable project within Colorado is the Anasazi Heritage Center protection and access of Artifacts project that supports research, documentation and preservation of the Dolores Archaeological Program (DAP) and fosters science-focused partnerships within the NLCS. The DAP has spanned 25 years and collected approximately 1.5 million artifacts and produced over 1000 scientific publications. The BLM matches \$35,000 to the Colorado State Historical Fund of \$35,000. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to federal lands and a balanced view including relevance to

program objectives, merit and cost effectiveness.

15.239 MANAGEMENT INITIATIVES

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Federal Land Policy and Management Act of 1976, 43 U.S.C. 1737(b), Public Law 94-579, as amended.

OBJECTIVES:

To provide a variety of Bureau of Land Management financial assistance awards not covered by any other program entry. This program will support mission program efforts for the management, protection, and development of public lands managed by the Bureau of Land Management. Awards are typically supported by funding one-time specific legislation and internal projects and programs.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements; TRAINING; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Assistance support for the management, protection, and development of public lands within the Bureau's area of responsibility and supported by specific authorities. Awards are restricted to activities on Federal public lands for activities that benefit resources on Federal land. Most of these public lands are located in the Western United States and Alaska. The assistance may support outreach and education efforts associated with partnership programs.

Applicant Eligibility:

Anyone/General public.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information and local requirements, cooperative project proposals should be coordinated with the Bureau of Land Management local State or District/Field Offices. No State plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard 424A, Budget Information for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management State and District Office level and funding recommendations are made through the State's annual work plan. Final budget approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires at least one year to receive funding. Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after the announcement closes. Further information will be able for each project at the time of funding opportunity announcement is posted on www.grant.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal. The Bureau of Land

Management will provide all applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program has no statutory matching requirements, however, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No specific restrictions for most projects, however, some projects are awarded for one to five year period and funded on a year basis. Funds are normally expended during a particular fiscal year. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual, or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will be extended, grantees must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$564,000; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

Past partnerships have been between \$4,000 to \$250,000. Average amounts are \$32,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Developing partnerships to include the funding for continued support of collaborative efforts to build community capacity and support for the management, protection and development of public lands. The public and BLM benefit from its support with partners in heightening public understanding of the social and economic, as well as environmental, aspects of ecosystem restoration and maintenance. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program information is included in the Bureau of Land Management Manuals, available at <http://www.blm.gov>. These documents may also be obtained by contacting the Bureau of Land Management Office listed below.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Bureau of Land Management State Offices.

Headquarters Office:

Corey Grant, Bureau of Land Management (WO 850), 1620 L St., NW, Suite 1075, Washington, District of Columbia 20036 Phone: (202) 912-7040.

Website Address:

<http://www.blm.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Assistance for State and local governments, Indian Tribal governments, public and private colleges and universities, conservation corps, and nonprofit organizations, as directed in the annual appropriations. Support of Historical Black Colleges and Universities and Hispanic Association of Colleges and Universities in support of students interested in pursuing careers in the natural resource area. Fiscal Year 2010: Projects funded in fiscal year 2009 continue, however, no new awards were funded in fiscal year 2010 at this time. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on the applicant's direct relationship to the management, protection and development of federal lands and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.240 HELIUM RESOURCE MANAGEMENT

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Helium Privatization Act of 1996, 50 U.S.C 167, Public Law 104-273.

OBJECTIVES:

To meet the requirements of the Helium Privatization Act of 1996, Section 8, a crude helium enrichment unit was designed, constructed, and is now operated to ensure that the U.S. Government can upgrade and deliver crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting via the governments conservation pipeline which supplies helium to private helium plants located along the governments pipeline. Private plants refine the helium, supply private industry, government agencies and research projects. To pay back the helium debt, the cost that was incurred by purchasing the helium, and to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline system in order to meet private and Government customer needs, as defined in the Helium Privatization Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects are limited to entities that have a direct involvement with the implementation of the Helium Privatization Act and projects related to the elimination of the Helium Debt.

Applicant Eligibility:

Public nonprofit institution/organization, Other public institution/organization, and Profit organization.

Beneficiary Eligibility:

Anyone/general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Coordinate cooperative project proposals with the Bureau of Land Management, New Mexico State Office for more information and requirements.

No State plan is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102.

OMB Circular No. A-110 applies to this program. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information

for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, objectives, timeframe, and a budget breakdown as specified in the funding opportunity announcement.

Award Procedure:

Projects are reviewed at the Bureau of Land Management, New Mexico State Office. Final approvals rest with the State Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards are anticipated within 90 days or less after the announcement closes. Further information will be available for the project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the point of contact listed in the funding opportunity announcement.

Appeals:

None. Final award decisions are not subject to appeal. The Bureau of Land Management will provide all unsuccessful applicants with information on why their proposal was not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The program has no matching requirement, however, matching funds or in-kind services by the applicants are encouraged and those projects are more likely to be funded. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are awarded for a one to five year period and funded on a year-by-year basis. Continuing funding will be re-evaluated each year based on performance, merit, and funding availability. See the following for information on how assistance is awarded/released: Frequency of recipient payments will be determined for each awarded assistance agreement at the time of award.

Reports:

Unless otherwise stated in the award document, recipients are required to submit quarterly, semi-annual or annual Program Performance Reports 30 days following the end of the reporting period. For any grant or cooperative agreement that is terminated, transferred to a new grantee, or will not be extended, the grantee must submit a final Program Performance Report 90 days after the end date of grant performance. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the award document, recipients are required to submit quarterly SF-425, Federal Financial Reports. A final SF-425 is required 90 days after the end date of grant performance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4053-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$6,864,000; FY 10 est \$7,056,000; FY 11 est \$7,056,000

Range and Average of Financial Assistance:

Past partnership projects range between \$6,129,800 and \$714,600. Average amount is \$3,400,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Through a partnership the Bureau of Land Management, Helium Operation, now delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting through the governments conservation pipeline which supplies helium to private helium plants located along the government's pipeline. Private plants refine the helium, and supply private industry, government agencies and research projects. The helium sold is used to pay back the helium debt to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline. Total Natural Gas Sales for FY 2009 were \$11,770,958. The Helium Plant experienced only 20 days of outage (both controlled and uncontrolled) for the entire year. In FY 2009, the Helium debt has been reduced by \$65,000,000. Fiscal Year 2010: Through a partnership the Bureau of Land Management, Helium Operation, now delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting through the governments conservation pipeline which supplies helium to private helium plants located along the government's pipeline. Private plants refine the helium, and supply private industry, government agencies and research projects. The helium sold is used to pay back the helium debt to ensure that the U.S. Government can redeliver crude helium into the conservation pipeline. The projected Natural Gas Sales for FY 2010 are estimated at \$14,998,664. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information can be found at the website listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Land Management, New Mexico State Office, Division of Minerals, 1474 Rodeo Road, Santa Fe, NM 87505.

Headquarters Office:

Tim Spisak, Bureau of Land Management, (WO-300), 1849 C St., N.W., 501-LS, Washington, District of Columbia 20240 Phone: (202) 452-5061.

Website Address:

http://www.blm.gov/nm/st/en/fo/Amarillo_Field_Office.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Due to the construction of a compressor station and the Crude Helium Enrichment Unit with Partners that upgrades and delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting via the governments conservation pipeline which supplies helium to private helium plants located along the governments pipeline. Private plants refine the helium and supply private industry, government agencies and research projects. Fiscal Year 2010: Due to construction of a compressor station and the Crude Helium Enrichment Unit with Partners that upgrades and delivers crude helium from an underground storage field, by upgrading the gas to pipeline quality, compressing the gas and transmitting via the governments conservation pipeline which supplies helium to private helium plants located along the government pipeline. Private plants refine the helium and supply private industry, government agencies and research projects. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria used to select assistance proposals are based on their direct relationship to helium projects and a balanced review including relevance to program objectives, merit and cost effectiveness.

15.241 INDIAN SELF-DETERMINATION ACT CONTRACTS, GRANTS AND COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Bureau of Land Management, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistant Act, Public Law 93-638, 25 U.S.C 450.

OBJECTIVES:

To provide maximum Indian participation in the government and education of the Indian people; to provide the full participation of the Indian tribes in programs and services conducted by the Bureau of Land Management for Indians and to encourage the development of human resources of the Indian people; and to establish program assistance to upgrade Indian education that will support the right of Indian citizens and for other purposes.

TYPES OF ASSISTANCE:

Cooperative Agreements; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Contracts, grants and cooperative agreements are authorized for non-construction projects in the 17 western states and Alaska for programs and services conducted by the Bureau of Land Management for the benefit of Indian tribes. Programs available to Tribes because they deliver services to Indians in their protected status are: cadastral survey and minerals management. Other programs, services, functions and activities administered by the Bureau of Land Management which are of specific geographic, historical, or cultural significance to the Indian Tribes are: cultural heritage, forestry management, range management, riparian management, recreation management, and wildlife and fisheries management. Certain restrictions will be applicable based on the specific statutory authority that authorizes the construction activities which are primarily for the benefit of Indians because of their status as Indians.

Applicant Eligibility:

Federally recognized Indian tribal governments.

Beneficiary Eligibility:

Federally recognized Indian tribal governments and American Indians.

Credentials/Documentation:

The application for a contract, grant or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe. Each contract, grant or cooperative agreement requires project specific authority. Detailed written technical proposals including background data regarding the applicant's, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation. Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial proposals must contain the information specified in 25 CFR Part 900.8. Proposals shall be submitted to the applicable Bureau of Land Management State Office with responsibility for the specific project for which an Indian tribe or tribal organization proposes to participate. Bureau of Land Management personnel will provide technical assistance to tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

The Bureau of Land Management and the tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific requirements of the project authorizing legislation, and other applicable Federal laws and regulations. The Bureau of Land Management and the tribe also negotiate an annual funding agreement, which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the PL 93-638 contract. A final agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial annual funding agreement, property list, list of any applicable provisions, terms and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

As specified within 25 CFR Part 900.

Renewals:

Will vary with project and/or award.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of programs will vary. See the following for information on how assistance is awarded/released: Not available.

Reports:

The reporting of financial status reports and progress reports requirements will be negotiated within the annual funding agreement or overarching terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82.

Account Identification:

14-1109-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$2,689,000; FY 10 est \$2,700,000; FY 11 est \$2,700,000

Range and Average of Financial Assistance:

Past partnership projects range between \$19,000 to \$496,000. Average amount is \$110,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Indian tribes and Bureau of Land Management have worked together to authorize tribes to take over the administration and program for oil and gas inspections on tribal lands in Montana. Alaska Native Villages and regional or village corporations are conducting cadastral land surveys of their tribal lands. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act, 25 USC 450, as amended, Public Law 93-638, and 25 CFR Part 900.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Native American Coordinator, Bureau of Land Management, 1849 C Street, NW, 204-LS, Washington, District of Columbia 20240 Phone: (202) 912-7245.

Website Address:

<http://www.blm.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Past projects funded were for mineral management of tribal lands in Montana where two tribes are conducting the inspection and enforcement of Indian oil and gas operations, inspection, enforcement and production of Indian operations. In addition, many cadastral surveys are being conducted on tribal and allottee lands in Alaska. Fiscal Year 2010: Information not available. Fiscal Year 2011: Information not available.

CRITERIA FOR SELECTING PROPOSALS:

Review and approval of contract proposals is in accordance with 25 CFR Part 900, Subpart D - Review and Approval of Contract Proposals.

**15.250 REGULATION OF SURFACE COAL MINING AND SURFACE EFFECTS OF UNDERGROUND COAL MINING
FEDERAL AGENCY:**

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To assist the States in administering approved regulatory programs.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants for permanent program development are available to fund staff and related costs in connection with preparing State legislative proposals; preparing State regulations; preparing permanent program applications to the Office of Surface Mining Reclamation and Enforcement (OSM); and for other related purposes. Administration and enforcement grants are awarded to assist States that have received approval of permanent regulatory programs. Funded cooperative agreements provide for State regulation of coal mining activities on Federal lands. Technical assistance is available on any aspect of regulating surface impacts of coal mining.

Applicant Eligibility:

The State must have an approved program and a designated State agency to receive and administer grants.

Beneficiary Eligibility:

State agencies responsible for regulation, reclamation and enforcement of provisions protecting the environment from negative effects of coal mining operations. Coal mining operators with annual production of less than 300,000 tons total at all mining locations may apply to State or Federal regulatory authorities for assistance in meeting certain technical permit application requirements.

Credentials/Documentation:

The Governor of a State designates an agency to act as recipient of the grant or funded cooperative agreement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application SF 424 Application for Federal Assistance forms required by 2 CFR Part 215.12 and 43 CFR Part 12, Subpart F, or its revisions, must be used. State regulatory grant applications are required to submit applications to their appropriate Regional Office. Applications may be submitted through www.Grants.gov and the appropriate OSM Regional/Field Office. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement Regional Office for assistance.

Award Procedure:

Review by appropriate OSM Regional staff within 60 days of receipt of application and approval by the OSM Regional Office is required. If the application is not approved, the Regional Office must set forth in writing the reasons for disapproval and may propose modifications if appropriate. The application may submit the revised application or appropriate revised portions of the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

60 days.

Appeals:

An applicant may appeal OSM's decision not to approve a grant or to reduce or terminate a grant to the Director, OSM, no later than 30 days after the Regional or Field Office official's decision.

Renewals:

Renewal of annual applications required for grants.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Permanent program development grants and administration and enforcement grants are limited to 80 percent of costs in the first year, 60 percent in the second, and 50 percent thereafter. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

All administration and enforcement grants are for a one year period and are annually renewable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual reports as specified by the agency are required. SF 425 Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-1801-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$65,536,000; FY 10 est \$68,643,200; FY 11 est \$60,323,518

Range and Average of Financial Assistance:

Range is \$74,953 to \$13,337,359; Average \$2,852,883.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Twenty-four coal producing States have approved programs and are receiving administration and enforcement grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 42, No. 62706, Tuesday, December 13, 1977 and Volume 47, No. 169, Tuesday, August 31, 1982, Volume 48, No. 12, Tuesday, January 18, 1983; and informal agency guidelines titled "Federal Assistance Manual."

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV.

Headquarters Office:

Chief, Division of Reclamation Support Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W. , Washington, District of Columbia 20240 Phone: (202) 208-2788 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>.

RELATED PROGRAMS:

15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.253 Not-for-Profit AMD Reclamation; 15.254 Summer Watershed Intern; 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Governor-designated State agencies in coal producing States receive annual grants to assist in administering and enforcing the States' approved regulatory programs as provided by the Surface Mining Control and Reclamation Act of 1977. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Designated agencies that choose to comply with the Surface Mining Reclamation and Enforcement Provisions, Parts 725, 735, and 795 (Federal Register, Vol. 423, No. 239, Tuesday, December 13, 1977, Vol. 47, No. 169, August 31, 1982 and Vol. 48, No. 12, January 18, 1983), are eligible for awards. Criteria for amounts awarded are the State's need relative to other eligible States.

15.252 ABANDONED MINE LAND RECLAMATION (AMLR) PROGRAM

(Abandoned Mine Lands)

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To protect the public, health, safety and general welfare, and restore land, water and environmental resources affected by coal and noncoal mining practices that occurred prior to August 3, 1977.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support the operation of an approved State or Tribal Abandoned Mine Land (AML) reclamation program. Approved programs use grant funds for mine site reclamation projects on eligible lands, which are lands and waters mined or affected by coal mining processes that occurred prior to August 3, 1977 (as well as certain post-1977 and noncoal mining activities). Grants also support project administration. Grants may also include funding for AML-related activities including: abating emergency mining-related dangers to public health and safety; restoring water supply facilities impacted by coal mining; setting aside funds in a trust account to fund future acid mine drainage treatment; and developing a self-sustaining State subsidence insurance program.

Approved programs which have completed coal mine reclamation in their state or on lands within their jurisdiction may use funds for other activities as authorized in SMCRA.

Applicant Eligibility:

The program is restricted to States with (1) an approved coal mining regulatory program, (2) lands eligible for reclamation, and (3) active coal mining operations within their borders that are paying coal reclamation fees into the Abandoned Mine Reclamation Fund; and to federally-recognized Indian Tribes with (1) eligible lands, and (2) active mining operations paying fees into the Fund. An eligible State or Tribe may submit a State reclamation plan to the Office of Surface Mining for approval.

Beneficiary Eligibility:

Coal field citizens and the general public benefit from reduced exposure to safety and health risks from abandoned mine lands.

Credentials/Documentation:

A State or Tribal Indian reclamation plan must be approved by the Assistant Secretary for Land and Minerals Management. AML grants to approved projects must be approved by an authorized official of the appropriate OSM Regional or Field Office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application SF 424 and forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," may be used for the annual AML grant application. The forms may be obtained and the application is to be submitted through www.Grants.gov. Grant applications may also be submitted to the applicable OSM Regional/Field Office. Please contact the appropriate OSM Regional Office for assistance.

Award Procedure:

The Regional/Field Office should act upon a grant application within 60 days of submittal of a complete application. If the application is not approved, the Regional/Field Office must set forth in writing the reasons for disapproval and may propose modifications if appropriate. The applicant may submit the revised application or appropriate revised portions of the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Normally 60 days.

Appeals:

An applicant may appeal the OSM's decision not to approve a grant or to reduce or terminate a grant to the Director, OSM no later than 30 days after the Regional or Field Office official made the decision.

Renewals:

New grants may be awarded annually upon satisfactory performance by the State/Tribal AML Program.

Formula and Matching Requirements:

Statutory Formula: Title , Chapter , Part , Subpart , Public Law. Grants are 100 percent Federally funded. Grant funds are no-year funds made available from mandatory annual contributions from coal mining reclamation fees in the Abandoned Mine Reclamation Fund and from Treasury funds. The distribution formula is based on annual reclamation fee collections and historical coal production.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant periods are variable; however, funds for reclamation construction activities should be normally expended three years after award. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual performance report is required. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-1803-0-1-999; 14-5015-0-1-302; 14-1804-0-1-551.

Obligations:

(Project Grants) FY 09 \$298,072,314; FY 10 est \$369,085,986; FY 11 est \$259,500,000

Range and Average of Financial Assistance:

Range is \$242,357 to \$117,352,070; Average \$13,181,642.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 25 States and three Indian tribes have approved reclamation programs. Since 1978, over 230,000 acres of mined land with safety, health and environmental problems have been reclaimed. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

30 Code of Federal Regulations, Parts 870-887. Final Guidelines for Reclamation Programs and Projects, Federal Register, 66 Federal Register, 31250-31258, June 11, 2001.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Chief, Department of the Interior, Office of Surface Mining, Division of Reclamation Support, 1951 Constitution Avenue, NW, Washington, District of Columbia 20240 Phone: (202) 208-2788 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: State and Tribal AML programs reclaim hazardous and/or environmentally damaged features on mined lands, including landslides, mine openings, impoundments, highwalls, underground mine fires and burning refuse banks, mine subsidence, coal refuse piles, and acid mine drainage. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Section 403 of Public Law 95-87, cited Abandoned Mine Land Reclamation Program regulations, and approved State/Tribal Reclamation plans.

15.253 NOT-FOR-PROFIT AMD RECLAMATION**FEDERAL AGENCY:**

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87, 91 Stat. 445-532.

OBJECTIVES:

To fund local 501(c)(3) status organizations and groups that undertake local acid mine drainage (AMD) reclamation projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To assist local organizations, especially watershed groups, to begin actual construction projects to clean streams impacted by AMD. Lands and water eligible for reclamation or drainage abatement expenditures under Section 404 of Public Law 95-87 are those which were mined for coal or which were affected by such mining, wastebanks, coal processing, or other coal mining processes, except as provided for under Section 411 of Public Law 95-87, prior to August 3, 1977, and for which there is no continuing reclamation responsibility under State or other Federal laws. For other provisions relating to lands and waters eligible for such expenditures, see Section 402(g)(4), Section 403(b)(1), and Section 409 of Public Law 95-87. Surface coal mining operations on lands eligible for reclamation shall not affect the eligibility of such lands for reclamation and restoration under this title after the release of the bond or deposit for any such operation as provided under Section 509. In the event the bond or deposit for a surface coal mining operation on lands eligible for reclamation is forfeited, funds available under this title may be used if the amount of such bond or deposit is not sufficient to provide for adequate reclamation or abatement, except that if conditions warrant, the secretary shall immediately exercise his authority under Section 410.

Applicant Eligibility:

Primary recipients must be not-for-profit IRS 501(c)(3) status, established organizations. Federal, State, local governments, colleges, and universities are not eligible to receive funding directly.

Beneficiary Eligibility:

Communities impacted by streams polluted by acid mine drainage will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit IRS 501(c)(3) status is required of nonprofit organizations and institutions. A State certification of project eligibility is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application SF-424 Application for Federal Assistance and forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," or its revisions must be used for this program. Please contact the appropriate Office of Surface Mining Reclamation and Enforcement (OSM) Regional Office for details listed in the Catalog Address Appendix IV.

Award Procedure:

Review by appropriate OSM Regional staff and approval by the Regional Office is required.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Partners are encouraged to make monetary contributions or provide in-kind services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements have a performance period of two years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Programmatic reports are required semi-annually. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 325 is required semi-annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations".

Account Identification:

14-5015-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$780,000; FY 10 est \$1,400,000; FY 11 est \$1,400,000

Range and Average of Financial Assistance:

Range is \$17,000 to \$100,000; Average \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Restore polluted streams affected by acid mine drainage to a safe level for drinking and recreational activities. The total projected cost (including all funding and in-kind sources) for the nine new projects funded in FY 2009 totaled \$3,253,354. Of the total project cost, OSM's funding level was approximately 25%. It is anticipated that 14 applications will be received and approved at an award of \$100,000 per application for each fiscal year (FY 2010 - FY 2011). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Section 404, Public Law 95-87, as amended.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV.

Headquarters Office:

Chief, Division of Reclamation Support, Department of the Interior, Office of Surface Mining Reclamation and Enforcement, 1951 Constitution Ave., NW, Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.252 Abandoned Mine Land Reclamation (AMLR) Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In 2009, the Office of Surface Mining (OSM) awarded a grant from its Watershed Cooperative Agreement Program (WCAP) to Trout Unlimited, a non-profit IRS 501(c)(3) organization. The award was \$17,000. Funding from Pennsylvania's Growing Greener program was also committed to the project (\$148,528). Therefore, the total project cost is \$165,528. The project location is in Clinton County, Pennsylvania, in the South Fork of the Tangascootack River watershed. Trout Unlimited is proposing to rehabilitate

and expand the AMD treatment facility known as Site #1. The South Fork is a high priority watershed for the Pennsylvania Department of Environmental Protection (PADEP) and there are two other AMD passive treatment systems in the watershed. The South Fork (impaired) joins the North Fork (high quality trout fishery), and the Tangascootack flows into the West Branch of the Susquehanna River. The West Branch is undergoing a major AMD cleanup effort by PADEP. The Site #1 acid mine discharge flows at an average of 56 gallons per minute, with a pH of 3.3 and a dissolved aluminum level of 25 mg/l.

The existing passive treatment system is underperforming, and will be rehabilitated and enhanced using new design technologies. Completion of the project will help restore the aquatic habitat and fishery of the South Fork. The project goal is to improve stream conditions to enable the reintroduction of a native Brook Trout population. The Clinton County Conservation District will help operate and maintain the completed system. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Section 404 of Public Law 95-87, State certification of eligibility is required. No opposition to the project from the State Abandoned Mine Land (AML) agency. All applicable Federal and State clearances and permits must be secured. The project should propose to use proven or innovative technology that has a high probability of success and produce tangible results, e.g., fishery restored, stream miles improved, educational and community benefits, pollutants removed from the streams. Funds must be used for the construction phase of a project and there must be demonstrated public support for the project. Also, there must be a plan to address any ongoing operation/maintenance considerations.

15.254 SUMMER WATERSHED INTERN

FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977; Public Law 95-87; 91 Stat. 445-532.

OBJECTIVES:

Over the past ten years, Reclamation Support has created a direct watershed assistance program working with volunteer watershed groups in the Appalachian Coal Country and, more recently, in the Western Hardrock Country. The primary partners are a coalition of change-minded community improvement groups determined to repair the environmental degradation left from historic mining while creating economic stability needed in rural communities, the watershed/neighborhood residents call home. Goals: build local capacity, monitor waterway quality, enhance outreach and education, engage economic redevelopment and require professional development. The program is supported by an innovative partnership among AmeriCorps VISTA, concerned with poverty, and the Office of Surface Mining, concerned with environmental reclamation and safety, and watershed groups.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The OSM Summer Watershed Intern Program started in 1999, supporting college students working full-time for 10 weeks with coal country watershed groups. As many as 30 students have spent a summer working directly for watershed groups to conduct water monitoring, enhance outreach initiatives, develop school curricula and build stronger partnerships with agencies and industry.

The Appalachian Coal Country Watershed Team (ACCWT) began in 2002 as an OSM/VISTA initiative, placing determined college-trained OSM/VISTA Volunteers in a year of service in rural coal-mining communities. Reflecting the needs and the determination of the communities served, the ACCWT has placed more than 36 OSM/VISTAs in the field and more waiting to support an OSM/VISTA. New OSM/VISTA recruits are applying in increasing numbers every day.

The Western Hardrock Watershed Team (WHWT) began is Colorado at the invitation of the Colorado Division of Reclamation, Mining and Safety as an

OSM/VISTA initiative in 2007, placing determined college-trained OSM/VISTA Volunteers in a year of service in rural mining communities. Over the past year the WHWT expanded from 4 to 18 positions in Colorado. Serious injuries are coming from other states (NM, UT, MT, NV) based on the board successes seen in Colorado, but cannot be filled at present. New OSM/VISTA recruits are also applying in increasing numbers.

Applicant Eligibility:

All interns accepted to participate in the OSM Watershed Intern Program must be interested in and able to work in support of a public or private nonprofit institution/organization. Applicants are required to have completed at least two years of undergraduate studies or the equivalent.

OSM/AmeriCorps* VISTA requires qualified watershed groups to be nonprofit organizations or be sponsored by a nonprofit. Established watershed organizations in the following states are currently participating: Alabama, Colorado, Illinois, Iowa, Kentucky, Maryland, Montana, New Mexico, Ohio, Oklahoma, Pennsylvania, Tennessee, Virginia, and West Virginia.

Beneficiary Eligibility:

Watershed organizations in communities impacted by streams polluted by acid mine drainage will benefit from this program.

Credentials/Documentation:

Proof of not-for-profit, IRS 501(c)(3), status is required of nonprofit watershed organizations. Proof of student status or the completion of two years of college is required of all intern applicants. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used. Guidelines and application procedures can be obtained from the OSM Website, www.osmre.gov. Paper copy of guidelines and application procedures can be obtained from the OSM Headquarters.

Award Procedure:

Team support offices handle OSM intern and OSM/VISTA applications from sites and individuals, screen and rank applications, and oversee the administration of both programs. Cooperative agreements are approved by the Director or his designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula. Partners are encouraged to make monetary contributions or provide in-kind services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Watershed Intern Program is for 10 weeks of full-time work by an intern. This 10-week period can be split with two interns or worked part-time over several months. Each AmeriCorp VISTA position is awarded for a three year full-time period. Each AmeriCorp Vista Volunteer must make, at least, a one year commitment. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly programmatic reports are required. Cash reports are not applicable. Progress reports are not applicable. Quarterly financial reports using the Federal Financial Report SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-5015-0-1-302.

Obligations:

(Direct Payments for Specified Use) FY 09 \$40,000; FY 10 est \$50,000; FY 11 est \$0

Range and Average of Financial Assistance:

Each internship includes a \$2,000 stipend for 10 weeks of full-time work by an intern and an AmeriCorps Education Award of \$1000. OSM/VISTA Living Stipend and other benefits are set by VISTA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, the ACCWT: 1. Formed 593 new partnerships among community groups, both state and federal agencies, and industry; spreading commitment and adding new sources of support, enabling an expanded agenda for community improvement.; 2. Logged 25,619 volunteer service hours from local citizens to monitor polluted water, plant trees on abandoned mine land sites, work with local schools and much more.; 3. Average \$23,000 in grants per community group that funded Brownfields redeveloped projects, purchased water monitoring equipment, enhanced community redevelopment projects, and supported educational outreach. Fiscal Year 2010: In the past year, the WHWT: 1. Formed 77 new partnerships among community groups, both state and federal agencies and local businesses, spreading commitment, adding new sources of support and enabling an expanded agenda for community improvement.; 2. Logged 25,619 volunteer service hours from local citizens to monitor polluted water, plant trees on abandoned mine land sites, work with local schools and much more.; 3. Average \$23,000 in grants per community group that funded Brownfields redevelopment projects, purchased water monitoring equipment, enhanced community redevelopment projects, and supported educational outreach. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Section 404, Public Law 95-87.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Director, Department of the Interior, Office of Surface Mining Reclamation and Enforcement, 1951 Constitution Avenue, NW, Washington, District of Columbia 20240 Phone: (202) 208-2585.

Website Address:

<http://www.osmre.gov>

RELATED PROGRAMS:

15.253 Not-for-Profit AMD Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: While OSM does not directly fund "projects" the funding provided supports nonprofit, voluntary, community watershed associations whose projects engage their

communities in identifying AMD problems, as well as, potential solutions and address related watershed/community projects. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each proposed watershed project must clearly enhance the sustainability of the watershed organization and must contribute directly to the remediation of acid mine drainage. Candidates must be able to organize their work, work well with community groups and on their own, have an understanding of acid mine drainage remediation and the national Clean Streams program, and write well.

15.255 APPLIED SCIENCE PROGRAM COOPERATIVE AGREEMENTS RELATED TO COAL MINING AND RECLAMATION FEDERAL AGENCY:

Office of Surface Mining, Department of the Interior

AUTHORIZATION:

Surface Mining Control and Reclamation Act of 1977 (SMCRA), Public Law 95-87; Public Law 95-224.

OBJECTIVES:

The technical studies program supports two efforts: (1) to develop and demonstrate improved technologies to address public safety and environmental issues related to the mining of coal and reclamation of the lands affected after mining (applied science projects); and (2) to encourage efforts to collect, preserve and convert into digital format, maps of underground mines that provide valuable information regarding protection of the public and miner safety, mine pool evaluation, and mine subsidence investigation for previously mined areas (underground mine map projects).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Projects must focus on issues related to mining in the United States and its territories. Funding will be limited to projects that can be completed without additional funding from the Office of Surface Mining (OSM) beyond those funds awarded in the current fiscal year. Applicants may request funding not to exceed an amount established each year prior to the solicitation for proposals. OSM encourages cost-sharing through an advantaged score in the rating process, but cost-share is not required for the applicant to supply a proposal.

Applicant Eligibility:

Applied Science Projects: Recipients may be any public, private, nonprofit, state, local or Tribal governments, colleges, and universities located in the United States. Underground Mine Map Projects: Recipients may be any State, Indian Tribal agency, or U.S. territory. Additionally, underground mining must have occurred within their boundaries.

Beneficiary Eligibility:

Communities impacted by coal mining and reclamation of the land after mining.

Credentials/Documentation:

Applied Science and Underground Mine Map Projects: All proposals must include a letter of endorsement, (on official letterhead) from an appropriately authorized management official representing a State, Indian, or Federal Title IV or V Surface Mining Control and Reclamation Act (SMCRA) Mining Regulatory or Abandoned Mine Land Reclamation Authority. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application SF-424 and forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," or its revisions must be used for this program. Please contact the appropriate Office

of Surface Mining Reclamation and Enforcement (OSM) Regional Office for details listed in the Catalog Address Appendix IV.

Award Procedure:

The OSM Director or his/her designee approves and awards all cooperative agreements for this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Estimated from 120 to 150 days.

Appeals:

Not Applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula or mandatory matching requirements. However, OSM recommends that applicants participate in the support of the project in the following manner: Applied Science Projects: OSM recommends a minimum cost share of 25 percent with the applicant providing some portion of this percentage. The remainder may come from academia, industry, or other non-federal sources. Underground Mine Map Projects: OSM encourages cost sharing through an advantaged score in the rating process, but cost-share is not required for the applicant to supply a proposal. Recommended cost share percentages can comprise contributions from any of the following: applicant, academia, industry, or other nonfederal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements have a performance period of 1 year. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Programmatic reports are required. Cash reports are not applicable. Progress reports are not applicable. The SF-425 and SF 425A, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records will be maintained in accordance with the provisions of 43 CFR Part 12, Subparts C and F.

Account Identification:

14-5015-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$1,604,250; FY 10 est \$1,400,000; FY 11 est \$1,400,000

Range and Average of Financial Assistance:

Applied Science Projects: Range \$93,414 to \$200,000; Average \$171,535; Mine Map Projects: Range \$19,990 to \$50,000; Average \$28,832.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: OSM anticipates that Applied Science Projects will result in improved technologies to address environmental issues related to the mining of coal and reclamation of the land after mining, and for regulatory processes that ensure compliance with the Surface Mining Control and Reclamation Act. OSM also anticipates that Mine Map Projects will result in increased protection of public and miner safety, environmental quality and property from adverse

effects of underground mines through the cooperative efforts between OSM and various state agencies and through increased preservation and public access to mine map resources. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surface Mining Control and Reclamation Act of 1977, Public Law 95-87; as amended; and informal agency guidelines titled "Federal Assistance Manual."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Chief, Division of Reclamation Support Office of Surface Mining Reclamation and Enforcement, Department of the Interior, 1951 Constitution Ave., N.W., Washington, District of Columbia 20240 Phone: (202) 208-2868 Fax: (202) 219-0239.

Website Address:

<http://www.mcrc.osmre.gov/>

RELATED PROGRAMS:

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining; 15.252 Abandoned Mine Land Reclamation (AMLR) Program; 15.253 Not-for-Profit AMD Reclamation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Investigation of Advanced Electronic Detonator Application in Surface Coal Mine Blasting Improving Passive Mine Drainage Treatment for Manganese Removal. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applied Science Projects funding criteria include: the level of external financial support (cost sharing), the potential for technology transfer, technical merit, the value of proposal to SMCRA programs, utilization of high priority topics for the current fiscal year, and if the proposal is from a Minority Higher Education Program (MHEP).

The criteria for Underground Mine Map Projects include: overall proposal quality, innovation, and viability, proposal utilization of a special interest topic, and the level of external financial support (cost sharing).

15.407 KEWEENAW NATIONAL HISTORICAL PARK (NHP) AND KEWEENAW NHP ADVISORY COMMISSION PARTNER ENHANCEMENT GRANTS

Keweenaw Heritage Grants

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1966 and The Historic Sites Act of 1935, 16 U.S.C 410, 461, 470.

OBJECTIVES:

To provide funds to enhance partner projects that will mark, interpret, or restore non-Federal properties.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NPS Grants: Funding is to be used by owners of properties containing nationally significant historic or cultural resources to mark, interpret, and restore non-Federal properties within the park. The National Park Service shall have right of access at reasonable times to public portions of the property related to the enhancement funds for the purpose of conducting visitors through such property and interpreting them to the public. No changes or alterations shall be made in such properties except by mutual agreement between the NPS and the other parties to the grant agreement(s). The availability of discretionary funding is determined by Keweenaw NHP each fiscal year. Keweenaw NHP Advisory Commission Grants: Funding is to be used by owners or operators of properties containing local, state, or nationally significant historic or cultural resources to mark, interpret, and restore properties on the Keweenaw Peninsula.

The availability of discretionary funding is determined by Keweenaw NHP Advisory Commission each fiscal year.

Applicant Eligibility:

For NPS Grants: Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources. For Keweenaw NHP Advisory Commission Grants: Owners or operators of property containing local, state, or nationally significant historic or cultural resources on the Keweenaw Peninsula.

Beneficiary Eligibility:

For NPS Grants: Owners of properties within the legislated boundaries of Keweenaw National Historical Park containing nationally significant historic or cultural resources including state and local governments; public and private nonprofit organizations; and individual, partnership, and corporate private property owners. For Keweenaw NHP Advisory Commission Grants: Owners or operators of properties on the Keweenaw Peninsula containing nationally significant historic or cultural resources including state and local governments; public and private nonprofit organizations; and individual, partnership, and corporate private property owners.

Credentials/Documentation:

For NPS Grants: Documentation of ownership of the subject property is required of all applicants in the form of a registered deed or presentation of the most recent tax bill. All applicants other than individual property owners are required to cite laws or by-laws that authorize the organization to accept funds. For Keweenaw NHP Advisory Commission Grants: Proof of property/project/program location, if requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For NPS Grants: Applications will be accepted by mail or in person at the headquarters: Keweenaw NHP, ATTN: Grants Manager, 25970 Red Jacket Road, Calumet, MI 49913. Applications will be evaluated for eligibility, and then reviewed by a panel comprised of NPS staff, Advisory Commission members, and subject matter experts as appropriate to the specific grant offering. In the event that applications exceed the available grant funds, the panel will determine awards through a Choosing by Advantage (CBA) process, and may negotiate amendments in the terms as necessary to meet program requirements. For Keweenaw NHP Advisory Commission Grants: Applications will be accepted by mail or in person at park headquarters: Keweenaw NHP Advisory Commission, ATTN: Grants Manager 25970 Red Jacket Road, Calumet, MI 49913. Applications will be evaluated for eligibility, and then reviewed by a panel comprised of NPS staff, Advisory Commission members, and subject matter experts as appropriate to the specific grant offering. In the event that applications exceed the available grant funds, the panel will determine awards through a Choosing by Advantage (CBA) process, and may negotiate amendments in the terms as necessary to meet program requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For NPS Grants: Eligible applicants will be required to submit a written proposal/application and an Application for Federal Assistance (SF-424) to: Keweenaw NHP, ATTN: Grants Manager, 25970 Red Jacket Road, Calumet, MI 49913, and will include a project title, property location of requested assistance, project objectives, and a budget proposal for the project reflecting federal and matching funds. For Keweenaw NHP Advisory Commission Grants: Eligible applicants will be required to submit a written proposal/application and an Application for Federal Assistance (SF-424) to: Keweenaw NHP Advisory Commission, ATTN: Grants Manager, 25970 Red Jacket Road, Calumet, MI 49913, and will include a project title, property location of requested assistance, project objectives, and a budget proposal for the project reflecting federal and matching funds.

Award Procedure:

Application announcements will be posted utilizing the <http://www.grants.gov> website. Upon receipt of timely submissions, applications will be reviewed by a panel of reviewers. Notification of Awards will be provided to applicants by issuance of a Cooperative Agreement or Grant Agreement by the Agreements Technical Representative within the time specified on the announcement.

Successful applicants must register on the Central Contractor Register (CCR) website at <http://www.ccr.gov> within 15 days of notice of award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 30 - 90 days.

Appeals:

Not Applicable.

Renewals:

No. Awards will be project-specific or for a specified time period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: For NPS Grants: The property owner/ organization must be able to provide a cash match in accordance with the prevailing legislation at the time of disbursement. Current legislative match requirements will be posted with specific grant opportunity. For Keweenaw NHP Advisory Commission Grants: The property owner or operator must be able to provide a one-to-one match, with up to 100% of the match being in-kind. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project-specific basis or within a specified time period. Most projects are completed within months, but may vary depending on complexity and other factors. Method of awarding/releasing assistance: lump sum.

Reports:

Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of Standard Form 270, and a quarterly submittal of Standard Form 425 the Federal Financial Report as outlined in 2 CFR 215.52. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with mutually agreed upon scope of work. Expenditure reports are not applicable. Additionally, grant recipients will be required to submit yearly narrative summary of accomplishments report to the National Park Service at Keweenaw NHP or the Keweenaw NHP Advisory Commission, as appropriate, at the end of each Fiscal Year until the project is completed and a final report is submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of Cooperative Agreement or Grant Agreement funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request for reimbursement.

Account Identification:

14-1036-0-1-303.

Obligations:

(Project Grants) FY 09 \$40,480; FY 10 est \$100,000; FY 11 est \$0 - Funds will be obligated within the fiscal year of the award. Nothing in the Agreements will be construed as binding the NPS or the Keweenaw NHP Advisory Commission to expend in any fiscal year any sum in excess of the appropriation made by Congress for purposes of the Agreements in that fiscal year.

Range and Average of Financial Assistance:

In FY 2010, there will be \$30,000 to \$50,000 available for NPS financial assistance, and up to \$50,000 available for Keweenaw NHP Advisory Commission financial assistance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the NPS received 15 applications resulting in the award of 11 grants totaling \$40,480 and ranging from \$1,000 to \$7,500. Three applications did not meet eligibility requirements; one proposal did not comply with the Secretary of Interior's Standards for the Preservation of Historic Properties. Fiscal Year 2010: In FY 2010, there will be \$30,000 to \$50,000 available for NPS financial assistance, and up to \$50,000 available for Keweenaw NHP Advisory Commission financial assistance. Fiscal Year 2011: TBD.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information on Keweenaw NHP grant programs is available at <http://www.nps.gov/kewe/grants> .

Regional or Local Office:

None.

Headquarters Office:

Tom Baker, 25970 Red Jacket Road, Calumet, Michigan 49913 Email: thomas_m_baker@nps.gov Phone: (906) 483-3040.

Website Address:

<http://www.nps.gov/kewe/grants> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Exterior rehabilitation of a private historic home; roof stabilization and rehabilitation projects; relocation of a historic locomotive; lighting for exterior signage; life-safety/building preservation maintenance in a historic former church building; purchase and placement of automatic electronic defibrillators; a phased universal accessibility project. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

- Demonstrated ability of the organization or individual to successfully complete the project.
- Past performance in Federal Financial Assistance programs.
- Organization's ability to provide the required match.
- For NPS grants, ability to provide public access in accordance with Keweenaw NHP's enabling legislation. 16 U.S.C. 410yy-6(1) and 7(1).
- Ability to comply with the Secretary of the Interior's Standards for the Preservation of Historic Properties.
- Other specific project criteria developed for each grant cycle.

15.421 ALASKA COASTAL MARINE INSTITUTE

(ALASKA CMI)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement , Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Minerals Management Service Offshore Minerals Management and Minerals Revenue Management programs provide major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The Offshore Minerals Management program oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. MMS continues to look for better ways to serve the American people and to ensure that the nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral

activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Alaska Coastal Marine Institute is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the MMS-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates up to \$750,000 per year for cooperative research at the University of Alaska. The University of Alaska invites proposals that are reviewed by a Technical Steering Committee including the University, State of Alaska, and MMS, and funds individual awards. Applications must be for scientific research projects which are within the MMS' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, and marine minerals projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

University of Alaska may make application for support by a named principal investigator. Non-UA scientists may participate in collaboration with a UA principal investigator.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental Impact Statements are not required for these projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Proposals are requested for mission-relevant research likely to provide needed information for OCS oil and gas resource management decisions. Proposals must include a full statement of work, estimated budget, and resumes. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that 6-12 applications will be received and 6 awards will be granted in FY 2009.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 150 to 360 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients share costs on a one-dollar-for-one-dollar contribution across the program. In any fiscal year, the collective CMI match commitment must equal or exceed MMS contributions. On rare occasions exceptions may be considered.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a session of the publicly held MMS Information Transfer Meetings are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual and final Federal Financial Reports using OMB Standard Form SF-425 are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-8370-0-7-302; 14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 not reported.; FY 10 est \$500,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$75,000 to \$250,000; Average \$169,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Kate Wedemeyer, Telephone: 907-334-5278; Fax 907-334-5242; Email address: kate.wedemeyer@mms.gov.

Headquarters Office:

James Cimato Minerals Management Service, 381 Elden Street, MS-4041, Herndon, Virginia 20170 Email: james.cimato@mms.gov Phone: (703)

787-1721 Fax: (703) 787-1053

Website Address:

<http://www.mms.gov/eppd/sciences/esp/profiles/partners/cmi/index.htm> and
<http://www.sfos.uaf.edu/cmi/>.

RELATED PROGRAMS:

15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI);
15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels of MMS, and non-MMS scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.422 LOUISIANA STATE UNIVERSITY (LSU) COASTAL MARINE INSTITUTE (CMI)

(LSU CMI)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement ,
Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Minerals Management Service Offshore Minerals Management and Minerals Revenue Management programs provide major economic and energy benefits on a national and local level to the taxpayers, States and the American Indian community. The Offshore Minerals Management program oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. MMS continues to look for better ways to serve the American people and to ensure that the nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. Outer Continental Shelf Lands Act Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Louisiana State University Coastal Marine Institute (CMI) is to use highly qualified scientific expertise at local levels to collect and disseminate environmental information needed for OCS oil and gas and marine minerals decisions; address local and regional OCS-related environmental and resource issues of mutual interest; and strengthen the MMS-State partnership in addressing OCS oil and gas and marine minerals information needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Environmental Studies Program allocates \$1,000,000 for cooperative research via LSU. Applications must be for scientific research projects which are within the MMS area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and

gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

To apply for a research award, the recipient is asked to provide the name of the Principal Investigator. If an applicant other than LSU wants to apply, they must do so in collaboration with an LSU research scientist.

Beneficiary Eligibility:

Research scientists, Federal, State and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicants qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants will be encouraged to post their applications on Grants.gov. Proposals must include a full statement of work, estimated budget, and resumes. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that ten applications will be received and six awards will be granted in FY 2010.

Deadlines:

Mar 23, 2009 Deadline for proposals is March 23,2009.

Range of Approval/Disapproval Time:

From 180 to 270 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Recipients share costs on a dollar-for-dollar contribution across the program. In any fiscal year, the collective LSU CMI match commitment must equal or exceed MMS contributions.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 5 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports and an annual report on the progress of the project funded under the cooperative agreement and presentations at a session of the publicly held MMS Information Transfer Meetings are required. A draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required for

each award. Dissemination of the research results conducted under the CMI at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual financial reports using the Federal Financial Report SF-425 are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302; 14-8370-0-7-302.

Obligations:

(Cooperative Agreements) FY 09 not reported.; FY 10 est \$1,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$32,000 to \$500,000; Average \$190,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M Studies. Quarterly reports are available at <http://cmi.lsu.edu/reports.asp>. Final reports are available online in the Minerals Management Service Environmental Studies Program Information System at: <http://www.gomr.mms.gov/homepg/espis/espisfront.asp>.

Regional or Local Office:

See Regional Agency Offices. Deborah Epperson, Telephone: 504-736-3257; Fax: 504-736-2901; E-mail: Deborah.Epperson@mms.gov.

Headquarters Office:

James Cimato Minerals Management Service, 381 Elden Street, MS-4041, Herndon, Virginia 20170 Email: james.cimato@mms.gov Phone: 703-787-1721 Fax: (703) 787-1053

Website Address:

<http://www.mms.gov/eppd/sciences/esp/profiles/partners/cmi/index.htm>.

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels of MMS, and non-MMS scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

**15.423 MINERALS MANAGEMENT SERVICE (MMS)
ENVIRONMENTAL STUDIES PROGRAM (ESP)**

(ESP)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement , Department of the Interior

AUTHORIZATION:

43 U.S.C. 1345 (e); 43 U.S.C. 1346 Section 20.

OBJECTIVES:

The Minerals Management Service Offshore Minerals Management and Minerals Revenue Management programs provide major economic and energy benefits on a national and local level to the taxpayers, states and the American Indian community. The Offshore Minerals Management program oversees the exploration and development of oil, natural gas and other minerals and renewable energy alternatives on the nations outer continental shelf. MMS continues to look for better ways to serve the American people and to ensure that the nation receives the best value for its resources now and into the future. The program not only supports decisions made within the Department of Interior, but also provides coastal states and local governments with the information necessary to ensure that all stages of offshore energy and mineral activities are conducted in a manner to protect both the human and natural environments. The Outer Continental Shelf Lands Act (OCSLA) Section 1346 mandates the conduct of environmental and socioeconomic studies needed for the assessment and management of environmental impacts on the human, marine, and coastal environments which may be affected by oil and gas or other mineral development. OCSLA Section 1345 authorizes the use of cooperative agreements with affected States to meet the requirements of OCSLA, including sharing of information, joint utilization of available expertise, formation of joint monitoring arrangements to carry out applicable Federal and State laws, regulations, and stipulations relevant to outer continental shelf operations both onshore and offshore. The purpose of the Environmental Studies Program is to obtain the information needed for the assessment and the management of environmental impacts; to predict impacts on marine biota; and to monitor the human, marine, and coastal environments to provide time series and data trend information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance can be used to conduct environmental research to satisfy the information needs associated with oil and gas, alternate energy and marine mineral development on the outer continental shelf. Applications must be for scientific research projects which are within the MMS' area of responsibility. The majority of funds are used to support research that will enhance understanding of long-term effects of oil and gas, marine minerals and alternate energy development projects. Funds can also be used for attendance at national conferences for the dissemination of project results. Funds are not normally used for capitalization.

Applicant Eligibility:

State agencies and public universities may apply. More than one institution may collaborate in the preparation of an application for assistance.

Beneficiary Eligibility:

Research scientists, Federal, state and local decision-makers, Native American Organizations, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including past professional publications, resumes, and lists of past projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Limited discussions regarding funding availability and program interest are permitted. Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants are encouraged to

post their applications on www.grants.gov. The standard SF-424 application forms required by OMB Circular A-102, 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subparts C and F, or its revisions, must be used.

Award Procedure:

Awards are made on the basis of a competitive peer review of proposals by a panel consisting of government research managers and external reviewers, as appropriate. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts. Notification of an award is issued. It is anticipated that five applications will be received and five cooperative agreement awards will be granted in FY 2009.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 - 270 days.

Appeals:

Not Applicable.

Renewals:

Renewals can be made through submission and approval of a project agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: No statutory requirements. Cost sharing of 1:1 is encouraged.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for 1 to 8 years, with funding awarded as a lump sum, or added as funds become available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports; a presentation at periodic MMS Information Transfer Meetings; a draft final, proof copy, and final report; draft and final technical summary; articles based on the research published in journals, and presentation slides are required. Dissemination of the research results at professional society meetings and symposia is encouraged. Cash reports are not applicable. Progress reports are not applicable. Annual financial reports using the Federal Financial Report SF-425 are also required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-8370-0-7-302; 14-1917-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 not reported.; FY 10 est \$500,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$100,000 to \$700,000; Average \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Environmental Studies Program is described in 30 CFR Chapter II Subchapter B Subpart M-Studies. Final reports are available at online in the Minerals Management Service Environmental Studies Program Information System at: <http://www.gomr.mms.gov/homepg/espis/espisfront.asp>.

Regional or Local Office:

None.

Headquarters Office:

James Cimato Minerals Management Service, 381 Elden Street, MS-4041
, Herndon, Virginia 20170 Email: james.cimato@mms.gov Phone: (703) 787-1721 Fax: (703) 787-1053

Website Address:

<http://www.mms.gov/eppd/sciences/esp/index.htm>

RELATED PROGRAMS:

15.421 Alaska Coastal Marine Institute; 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels of MMS, and non-MMS scientists (government, public and private sector as appropriate), review and evaluate proposals. Criteria for judging proposals include mission relevance, technical merit, and the quality and appropriateness of the personnel, including past performance and publication record, project management, logistics plan, safety management, permits and interagency coordination, budget/matching funds, and where appropriate, minimization of impacts.

15.424 MARINE MINERALS ACTIVITIES

(Marine Minerals Activities)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement , Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331.

OBJECTIVES:

To evaluate OCS sand deposits for coastal restoration and beach nourishment needs, and to foster good working relationships regarding OCS mineral issues with coastal States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The funds must be spent for OCS sand activities and not inside State waters. These cooperative agreements are awarded to assist States in order to find sand supplies for beach nourishment projects.

Applicant Eligibility:

Proposals are received from coastal States in need of coastal restoration.

Beneficiary Eligibility:

State agencies and organizations participating in the project, as well as the general public.

Credentials/Documentation:

States submit proposals to the MMS which furnish evidence of the applicant's qualifications for performance of the proposed research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants attend a workshop held by MMS, and define their requirements for the upcoming year. At this time the applicants and the MMS decide which projects will be funded for the upcoming year. Applicants should consult the office of official designated as the single point of contact in their State to find

out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The standard SF-424 application forms must be used for this program. Applicants will be asked to submit their proposals electronically through Grants.gov.

Award Procedure:

An internal MMS review of the State's proposal is conducted including convening a panel to discuss the proposals and making the final decision on the funding for the project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

Nov 01, 2009 November of each year or as soon as the MMS is given funding.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not Applicable.

Renewals:

Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: The Leasing Division Marine Minerals Activities encourages a 1-to-1 match of each Federal dollar with a nonfederal dollar. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released:
Information not available.

Reports:

Annual and final technical are required. Cash reports are not applicable. Progress reports are not applicable. Annual and final financial reports using the OMB SF-425 form are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-0-306.

Obligations:

(Cooperative Agreements) FY 09 not reported.; FY 10 est \$220,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$30,000 to \$760,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Endangered Species Act, the National Environmental Policy Act (NEPA) of 1969, and the Coastal Zone Management Act.

Regional or Local Office:

See Regional Agency Offices. New Orleans Office: MMS, GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394; Pacific Office: MMS, 770 Paseo Camarillo, 2nd Floor, Camarillo, CA 93010.

Headquarters Office:

Director MMS, 381 Elden Street, MS-4021, Herndon, Virginia 20170 Phone: (703)787-1755

Website Address:

<http://www.mms.gov/sandandgravel>.

RELATED PROGRAMS:

15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Cost of the project is the most important criteria followed by relevance to State and Federal needs, and technical ability of the State agency.

15.425 OFFSHORE RESEARCH TECHNOLOGY CENTER (OTRC) TEXAS ENGINEERING EXPERIMENT STATION (TEES)

(OTRC, TEES)

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

43 U.S.C. 1331-1356, Outer Continental Shelf Lands Act.

OBJECTIVES:

The MMS, as authorized by the Outer Continental Shelf Lands Act (OCSLA) (43 U.S.C. 1331-1356), is responsible for ensuring that any oil and gas activities located on the OCS conserve resources, operate safely, and protect the coastal and marine environment. The Technology Assessment and Research Program (TA&R) is a research element encompassed by the MMS Regulatory Program. The TA&R Program supports research associated with operational safety and pollution prevention as well as oil spill response and cleanup capabilities. The TA&R Program was established in the 1970's to ensure that industry operations on the Outer Continental Shelf incorporated the use of the Best Available and Safest Technologies (BAST) subsequently required through the 1978 OCSLA amendments. Congress provides TA&R funding to be used by OTRC in order to perform research through the MMS.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

University of Texas and Texas A&M, otherwise known as the Texas Engineering Experiment Station (TEES).

Applicant Eligibility:

The TEES is qualified to receive funding through this cooperative agreement program.

Beneficiary Eligibility:

Research scientists, engineers, and the general public.

Credentials/Documentation:

Evidence of the applicant's qualifications for performance of the proposed research including resumes, and lists of past projects. This includes resumes and lists of past projects. No environmental impact statement required. Executive Order 12372 applies.

Preapplication Coordination:

The OTRC must submit ideas and proposals to the MMS. The OTRC consists of the researchers and other faculty from the University of Texas and Texas A&M. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." Applicants should consult the office or official designated as the single point of contact in their State to find out if the State has selected this program for review and for information on the process the State requires when applying for Federal assistance.

Application Procedures:

The OTRC submits proposals to the MMS in order to determine the benefits to the offshore oil and gas operations in a safe and environmentally responsible manner in order to benefit the industry and the public. The MMS determines which proposals will be the most beneficial to the industry and to the public. The standard SF-424 application forms required by 2 CFR Part 215.12, and the Department of the Interior Code of Federal Regulations at 43 CFR Part 12, Subpart F, or its revisions, must be used.

Award Procedure:

Notification of an award is issued by the MMS.

Deadlines:

Deadline for proposals will be on or around December 12 of each year.

Range of Approval/Disapproval Time:

From 90 days to 180 days.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

No statutory requirement.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month yearly intervals for a total of 60 months.

Reports:

Annual and final technical and financial reports using the OMB Standard Form SF-269 are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations", nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

083E4029AAS.

Obligations:

(Cooperative Agreements) FY 07 \$400,000; FY 08 est \$400,000; and FY 09 est \$400,000.

Range and Average of Financial Assistance:

\$20,000 to \$200,000; \$50,000.

PROGRAM ACCOMPLISHMENTS:

The MMS has funded OTRC projects to conduct research and assessment studies to promote the development of deep water oil and gas resources in a safe and environmentally sound manner, and to facilitate the development of emerging technologies for the efficient and pollution-free development of deepwater oil and gas resources.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Alaska Office MMS 3801 Centerpoint Drive, Suite 500, Anchorage, AK., 99503-5820; New Orleans Office MMS GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA., 70123-2394; Pacific Office MMS 770 Paseo Camarillo, 2nd Floor, Camarillo, CA., 93010.

Headquarters Office:

MMS 381 Elden Street, MS-4021, Herndon, VA., 20170.

Website Address:

<http://www.mms.gov/tarphome>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Research on Suction Cassions and Vertically Loaded Anchors; Deep Sea Furrows; and Seafloor Interaction with Steel Catenary Risers.

CRITERIA FOR SELECTING PROPOSALS:**15.426 COASTAL IMPACT ASSISTANCE PROGRAM (CIAP)**

(CIAP)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, as amended; 31 U.S.C. 6301-6305.

OBJECTIVES:

The intent of the program is to disburse funding to eligible producing states and coastal political subdivisions for the purpose of conservation, protection, or restoration of coastal areas including wetlands; mitigation of damage to fish, wildlife, or natural resources; planning assistance and the administrative costs of complying with these objectives; implementation of a federally-approved marine, coastal, or comprehensive conservation management plan; and mitigation of the impact of outer Continental Shelf activities through funding of onshore infrastructure projects and public service needs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Can only be used to directly benefit an authorized use to conserve, restore, enhance, and protect renewable natural resources. Funding cannot be used for projects that would not provide a direct benefit, including but not limited to, supporting litigation or to fund publicity or lobbying efforts for purposes of influencing or attempting to influence a member of the U.S. Congress or an agency of the Federal government.

Applicant Eligibility:

States eligible to receive funding are: Alabama, Alaska, California, Louisiana, Mississippi, and Texas and 67 coastal political subdivisions among the six states.

Beneficiary Eligibility:

The producing coastal states, their eligible coastal political subdivisions, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Applicants must provide documentation that they have met state and local permitting requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Prior to submitting any grant applications an eligible state must submit to MMS, for approval, a State Plan that provides detail on how a State and its Coastal Political Subdivisions (CPS) will use the CIAP funds and implement the state plan. To assist the States in developing a plan the MMS issued final Coastal Impact Assistance Program Guidelines, and published a Notice of Availability in the Federal Register, September 29, 2006. Coastal Political Subdivisions and State are required by the Act to work together to develop their Plan. The plan should contain prescribed components from Section 384 of the amended OCS Lands Act, which includes an implementation program

representing both parties' interests. The State point of contact submits the Plan to the MMS for approval. The MMS will determine within 20 calendar days after receipt of a Plan or Plan amendment whether additional information is needed. If no deficiencies are identified and the required number of copies has been received, the Plan or amendment will be deemed complete. Once a Plan or amendment is determined complete, MMS will have 90 calendar days to notify the State in writing that the Plan or amendment is approved, disapproved, or remains under review (in which case the Plan or amendment is neither approved nor disapproved). If MMS fails to provide such written notice to the State, the Plan or amendment is deemed to be disapproved. If MMS notifies the State that the Plan or amendment remains under review, MMS will also provide the State with an estimate of the amount of additional time necessary to complete its review. If a Plan or amendment is disapproved, the State may revise and resubmit it. The MMS will then have 20 calendar days to determine completeness; once MMS determines that the revised Plan or amendment is complete, MMS will then have 90 calendar days to approve or disapprove the revised Plan or amendment. On April 16, 2007 MMS released the recipient allotment amounts that will be eligible to spend in 2007 and 2008. The States and CPSs will use this information to develop grant project applications with detailed descriptions of their individual projects, including detailed breakdowns of costs. The recipients will provide in each application the current status of Federal, State and local permits required for the project. A completed grant project application is to be submitted electronically through Grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Specific projects submitted to the MMS for funding are subject to the Department of the Interior Regulations at 43 CFR Part 12, Subparts C and E, or any subsequent revisions. The OMB SF-424 application forms must be used for this program. Applications are submitted electronically through Grants.gov.

Award Procedure:

The MMS provides a notice of award to the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

MMS will have 90 days to notify the State in writing that the Plan or amendment is approved, disapproved, or remains under review (in which case the Plan or amendment is neither approved nor disapproved). No time limit is established for review of projects.

Appeals:

Applicants can appeal disapproval of Plans or project applications no later than December 2010.

Renewals:

Proposals are submitted for a project period. Projects that were approved and funded during the previous project period may be submitted as renewals for subsequent project periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: In accordance with the language of the Act no State will receive less than 1 percent of the \$250 million to be disbursed per year. Of the percentage received by a State, 65 percent remains with the State, and 35 percent is awarded to the coastal political subdivisions (CPS).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annual and final technical reports are required. Cash reports are not applicable. Progress reports are not applicable. Annual financial reports using the Federal Financial Report SF-425 are required. Performance monitoring is not

applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-5572-0-2-306.

Obligations:

(Formula Grants) FY 09 not reported.; FY 10 est \$100,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Coastal Impact Assistance Program State Plan Guidelines found at www.mms.gov/offshore/CIAP/PDFs/CIAPFinalGuidelines100206.pdf.

Regional or Local Office:

See Regional Agency Offices. Alaska: MMS Regional CIAP Representative, Minerals Management Service, OCS Region, 3801 Centerpoint Drive, Suite 500, Anchorage, Alaska 99503; Alabama, Louisiana, Mississippi, and Texas: MMS Regional CIAP Representative, Minerals Management Service, Gulf of Mexico OCS Region, 1201 Elmwood Park Boulevard, MS 5400, New Orleans, Louisiana 70123; California: MMS Regional CIAP Representative, Minerals Management Service, Pacific OCS Region, 770 Paseo Camarillo, Camarillo, California 93010 .

Headquarters Office:

National CIAP Coordinator 381 Elden Street, MS 4040, Herndon, Virginia 20170 Phone: (703) 787-1755

Website Address:

www.mms.gov/offshore/CIAPmain.htm .

RELATED PROGRAMS:

11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 11.426 Financial Assistance for National Centers for Coastal Ocean Science; 11.473 Coastal Services Center; 11.474 Atlantic Coastal Fisheries Cooperative Management Act; 11.478 Center for Sponsored Coastal Ocean Research_Coastal Ocean Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for approving proposals are identified in the Coastal Impact Assistance Program Guidelines located at: Coastal Impact Assistance Program State Plan Guidelines

(<http://www.mms.gov/offshore/CIAP/PDFs/CIAPFinalGuidelines100206.pdf>) and the program announcement found on Grants.gov.

15.427 FEDERAL OIL AND GAS ROYALTY MANAGEMENT

(FOGRMA)

FEDERAL AGENCY:

The Bureau of Ocean Energy Management, Regulation, and Enforcement , Department of the Interior

AUTHORIZATION:

Federal Oil and Gas Royalty Management Act of 1982, Public Law 97-451, as amended.

OBJECTIVES:

To ensure that all oil, gas, and solid minerals originated on the public lands and on the Outer Continental Shelf are properly accounted for under the direction of the Secretary of the Interior, and for other purposes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All projects are restricted to State and Tribal Governments. No discretionary funds are available under this program.

Applicant Eligibility:

State and Tribal Governments as specified in Title II of the Federal Oil and Gas Royalty Management Act of 1982, as amended.

Beneficiary Eligibility:

States and Federally recognized Tribal Governments that receive funds collected as a result of the compliance activities on Federal and Indian mineral leases.

Credentials/Documentation:

Must follow 30 CFR Parts 200 to 699 Mineral Resources, General Accountability Offices (GAO) Generally Accepted Government Audit Standards (Yellowbook), and Minerals Management Service Audit and Compliance Procedures. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point-of-contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. For grants awarded, the standard application forms furnished by the Federal agency as required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" will apply. Also, reference the procedures outlined in 30 CFR 227.103 entitled, "What must a States delegation proposal contain?" For Indian tribes reference the procedures outlined in 30 CFR 228.100 entitled, "Entering into an Agreement."

Award Procedure:

Projects are reviewed at the Minerals Management Service (MMS) Minerals Revenue Management (MRM) Program level, and funding recommendations are made through each State and Tribe's annual work plan. Final budget approvals rest with the MMS/MRM Associate Directors Washington Office.

Deadlines:

Jul 01, 2009 For recipients on the Federal fiscal year cycle, applications are due on July 1st of each year. For recipients operating on an alternate fiscal year, applications are due 90 days prior to award.

Range of Approval/Disapproval Time:

Projects are approved through the Bureau budget cycle that normally requires 90 days to receive funding.

Appeals:

Not Applicable.

Renewals:

For State agreements, 30 CFR 227.110 entitled, When and for how long are delegation agreements effective? For Tribal agreements, 30 CFR 228.101 entitled, Terms of Agreement.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No specific restrictions, however, projects are funded on a year to year basis, and funds are expended during a particular fiscal year. Funding is released either monthly or quarterly through the fiscal year. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Performance monitoring schedules or progress reports are required quarterly. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports (SF 425) are required at the end of the yearly performance period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to work performed and costs are kept by the Bureau. There is no fixed records schedule. Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Account Identification:

14-1917-0-1-302.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$10,420,000; FY 11 est not reported. - FY 2011 estimate 10,800,000.

Range and Average of Financial Assistance:

Awards range from \$110,000 to \$2.4 million. Average is \$562,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

MMS's cooperative (tribal) and delegated (State) audit program is basically guided by the provisions in 30 CFR Parts 227, 228, and 229. States and Tribes use the guidance contained in the GAO Yellowbook and two MMS procedural manuals for audits and compliance activities.

Regional or Local Office:

See Regional Agency Offices. Mr. Patrick Milano, P.O. Box 25165, Mail Stop 390B2, Denver, CO 80225-0165. Telephone: (303) 231-3124, fax (303) 231-3473, email: patrick.milano@mms.gov.

Headquarters Office:

Associate Director Minerals Revenue Management, Minerals Management Service, 1849 C St., NW., Mail Stop 4230, Washington, District of Columbia 20240 Phone: (202) 208-3500

Website Address:

<http://www.mms.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select assistance proposals are based on a balanced review including relevance to program objectives, merit, and cost effectiveness.

15.428 MARINE GAS HYDRATE RESEARCH ACTIVITIES

FEDERAL AGENCY:

MINERALS MANAGEMENT SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Outer Continental Shelf (OCS) Lands Act, 43 U.S.C. 1331, Gas Hydrates Research Act and the Marine Minerals Research Act.

OBJECTIVES:

To characterize and monitor gas hydrate deposits on OCS Block MC-118 in the Gulf of Mexico.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The funds must be used for gas hydrate research activities in the OCS of the Gulf of Mexico, specifically for a permanent gas hydrates monitoring station in Block MC-118. The cooperative agreements are awarded to the Center for Marine Resources and Environmental Technology (CMRET) and the Gulf of Mexico Gas Hydrates Research Consortium (GOMGHRC), based at the University of Mississippi.

Applicant Eligibility:

Proposals are received from the CMRET (and qualified subcontractors through CMRET) for research and scientific sensory equipment for the monitoring station. Applicants must have expertise in marine gas hydrates.

Beneficiary Eligibility:

Organizations participating in the project, as well as the general public.

Credentials/Documentation:

Technical Proposals are submitted to the MMS which furnish evidence of the applicant's qualifications for performance of the proposed research.

Preapplication Coordination:

Applicants attended workshops and general meetings of the Gulf of Mexico Gas Hydrates Research Consortium held by the CMRET at the University of Mississippi. Research requirements were developed at those meetings for the upcoming year. At that time the applicants and the MMS decided which projects will be funded for the upcoming year. E.O. 12372 applies to this program.

Application Procedures:

This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The standard SF-424 application forms must be used for this program. Applicants will be asked to submit their proposals electronically through Grants.gov.

Award Procedure:

An internal MMS review of the proposals is conducted including convening a panel to discuss the proposals and making the final decision on the funding for the project. A notification of a cooperative agreement award is issued so the project can begin.

Deadlines:

June of each year, as long as the MMS has received specific funding for this program.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not applicable.

Renewals:

Projects that were approved and funded during the previous fiscal year may be submitted as renewals for subsequent years.

Formula and Matching Requirements:

The MMS Gas hydrates Research Program encourages matched contributions from other interested Federal agencies.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods.

Reports:

Annual and final technical and financial reports using the OMB SF-269 form are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations", nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 or more in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-1917-0-1-302.

Obligations:

FY 07 \$0; FY 08 est \$850,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$0 to \$850,000.

PROGRAM ACCOMPLISHMENTS:

To date, about 2/3 of the components of the monitoring station have been placed at the site and data are being retrieved. The site has also been extensively surveyed and sampled.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations include the OCS Lands Act, Gas Hydrates Research Act, and the Marine Minerals Research Act (1996).

Regional or Local Office:

New Orleans Office: MMS, GOM OCS Region, 1201 Elmwood Park Blvd., New Orleans, LA 70123-2394.

Headquarters Office:

MMS, 381 Elden Street, MS-4010, Herndon, VA 20170.

Website Address:

<http://www.mms.gov/offshore/gashydrates.htm> .

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Research work and the development and placement of sensory components for the gas hydrates monitoring station at Block MC-118 was funded by the MMS in 2001-2006.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

15.510 COLORADO UTE INDIAN WATER RIGHTS SETTLEMENT ACT

Colorado Ute Settlement Act/Animas La Plata

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Colorado River Basin Project Act, Public Law 90-537, 82 Stat.885; Colorado Ute Indian Water Rights Settlement Act of 1988, as amended, Public Law 100-585, 102 Stat. 1973; Colorado Ute Settlement Act Amendments of 2000, Public Law 106-554, 114 Stat. 2763A-266; and American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To supply both municipal and industrial water supply to the Ute Mountain Ute, Southern Ute Indian Tribe, the Navajo Nation and non-Tribal participants from the Animas-La Plata Project in settlement of water rights claims for the Tribes, and also fulfill other project activities that may be required as a result of the construction, such as relocation of roads and moving power lines. To complete construction of a reconfigured Animas-La Plata Project, consisting of facilities to divert and store water from the Animas River to provide for an average

annual depletion of 57,100 acre-feet of water, to be used for municipal and industrial water supply only, for the Ute Mountain and Southern Ute Indian Tribes, and other tribal and non-tribal entities in the Four Corners Region of the United States. Also, to supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM.

For Recovery Act funded projects and in addition to the program objectives stated above, section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession. (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Reclamation is specifically authorized to: (1) Construct a reservoir, a pumping plant, a reservoir inlet conduit, and appurtenant facilities with sufficient capacity to divert and store water from the Animas River to provide for an average annual depletion of 57,100 acre-feet of water to be used for a municipal and industrial water supply; (2) Meet specific annual depletion rates for water allocation delivery. (3) To supply 4,680 acre-feet per year to the Navajo Nation through a pipeline from Farmington to Shiprock, NM. The design and construction functions of the Bureau of Reclamation with respect to the Dolores and Animas-LaPlata Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs.

Applicant Eligibility:

Federally Recognized Indian Tribal Government - Projects shall be subject to the provisions of the Indian Self-Determination and Education Assistance Act (ii Stat. 2203; 25 U.S.C. 450 et seq.) to the same extent as if such functions were performed by the Bureau of Indian Affairs; and for projects not awarded subject to Public Law 93-638: State, local, Federally recognized Indian Tribal governments, small businesses, individuals, and profit organizations.

Beneficiary Eligibility:

Federally recognized Indian Tribal Government members and the general public in southwestern Colorado and northwestern New Mexico.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Bureau of Reclamation is required by Public Law 100-585 to use Public Law 93-638 procedures to contract for construction of the Animas-La Plata Project with the Ute Mountain Ute Tribe and the Southern Ute Indian Tribe. Construction contracts for estimated quantities and with firm fixed unit pricing are issued to accomplish the work. For projects under this program that are not required to be awarded subject to Public Law 93-638, funding opportunity announcements, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on <http://www.grants.gov>.

Award Procedure:

An initial statement of work is issued to the Tribe for review and pricing by the Contract Specialist. The Tribe reviews the initial statement of work, submits and proposal with an estimate for completion of the work. The proposal with

cost estimate is evaluated by the Government Technical Team, the Government Cost and Price Analyst, Contract Specialist, Contract Administrator, and Contracting Officer. Negotiations are held on the various elements until an agreement is reached and an award is then made. For projects not awarded subject to Public Law 93-638, all applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

Final award decisions are subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Public Law 93-638 contracts and agreements are written for a specific period of time to complete a project, but normally not over 5 years. Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Section 207, Section 17(b) of the Act as amended extended the project period through Fiscal Year 2012. See the following for information on how assistance is awarded/released: Funds are disbursed by progress payments, based on percentage of completion of estimated quantities of work. Negotiated indirect cost rate agreements for tribes are negotiated on behalf of the National Business Center, Acquisition Services Division.

Reports:

Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act programs recipients are required to complete quarterly reporting in www.FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required and a final SF 425 is required upon on the completion of the agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info." If 'No' is selected: "This program is excluded from coverage under OMB Circular No. A-133."

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-3-301 - Recovery Act; 14-0681-0-3-301.

Obligations:

(Direct Payments for Specified Use) FY 09 \$5,267,621; FY 10 est \$2,650,000; FY 11 est \$0. (Direct Payments for Specified Use) FY 09 \$44,641,000; FY 10 est \$49,128,000; FY 11 est \$12,134,000

Range and Average of Financial Assistance:

Range is \$30,000 to \$14,353,000; Average \$37,940,207.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Three construction contracts and one agreement for components to Navajo Nation Municipal Pipeline are underway. The design and construction of the Permanent Operating Facility is underway. Construction of the entire Animas-La Plata Project is near completion. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and 18, OMB Circulars, Public Law 93-638, 25 CFR Part 900. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Barry Longwell, FCCO 100, Four Corners Construction Office, 103 Everett Street, Durango, Colorado 81303 Email: blongwell@usbr.gov Phone: (505) 325-1794 Fax: (505) 326-4388.

Website Address:

http://www.usbr.gov/library/annual_reports/FY2008/MDAPart1.pdf

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Navajo Nation Municipal Pipeline, Design and Construct Permanent Operating Facility, Lake Nighthorse Boundary Fencing, Relocation of County Road 211, Lake Nighthorse Boat Ramp and Road Construction; Cultural Resources Investigations; and Relocation of Atmos Gas Pipeline and Associated Facilities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

There are no criteria for selecting proposals for projects awarded under Public Law 93-638 procedures. When needed work is identified, a request for proposal is issued with a statement of work. The Tribe submits a proposal based on the statement of work. Negotiations are held to arrive at a mutually agreed upon Public Law 93-638 contract for award of the project and the costs associated with performance of the work. For any projects that may be identified that are not subject to Public Law 93-638 procedures, specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

For ARRA funded project: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and accompanying conference report, and will target activities that quickly infuse money into the economy.

15.511 CULTURAL RESOURCES MANAGEMENT**FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

National Historic Preservation Act of 1966, Public Law 89-665, as amended; Archaeological and Historic Preservation Act of 1974, Public Law 93-291 and 16 U.S.C. 469-469c.

OBJECTIVES:

To manage and protect cultural resources on Reclamation land and to provide for the curation of and public access to collectible heritage assets, including the increase of public awareness, appreciation, and knowledge of these resources.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

All activities are related to Reclamation programs and activities. Cooperative agreements are used for providing long-term care of Reclamation-owned heritage assets. Awards made under the National Historic Preservation Act are limited to project grants for providing heritage education services and, per 36 CFR Part 79, the curation of museum property. The amount of funding available for projects is highly variable from year to year. Projects are approved through the budget cycle and may require up to three years for funding approval.

Applicant Eligibility:

State and local agencies, sponsored organizations, public nonprofit institutions/organizations, other public institutions/organizations, Federally-recognized Indian Tribal governments, minority groups, specialized groups, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, other private institutions/organizations, and Native American Organizations, educational or scientific organization, or any institution, corporation, association, or individual that possesses the requisite professional requirements.

Beneficiary Eligibility:

State and local governments, Tribes, universities, general public, entities that have an education mission or mission-component, and repositories that meet the standards of the Department of the Interior, Department Manual, Part 411: Managing Museum Property, Chapters 1, 2, and 3, for facilities managing Federal museum property. Further information regarding the general purpose and scope of the Department Manual (DM) is included in Part 001, Chapter 1, of the DM. The DM may be accessed at http://elips.doi.gov/app_DM/index.cfm?fuseaction=home.

Credentials/Documentation:

Repositories must meet the standards for managing museum property at 411 DM Chapters 1 through 3; and repositories holding archeological collections must also meet the standards for "Curation of Federally-owned and Administered Archeological Collections" included in the Code of Federal Regulations at Title 36, Part 79, available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1>. Activities under the Archaeological and Historic Preservation Act must be conducted by educational or scientific organizations, or any institution, corporation, association, or qualified individual. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and award assistance agreements within 60 days of proposal submission.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Some projects may be eligible for extension. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 5 years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis program performance reports. Upon completion of the agreement, recipients shall submit a final Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis the SF 425 Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, accounts, and supporting documents must be retained for 3 years after final request of reimbursement. For museum property, records must be in accordance with the specifications of 36 CFR Part 79 and 411 DM 1 through 3.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$223,956; FY 10 est \$209,118; FY 11 est \$171,118

Range and Average of Financial Assistance:

\$3,500 to \$38,000; average \$201,397.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Numerous assistance agreements have been awarded to governmental entities and universities for the curation of museum property. Assistance agreements have been awarded to universities for activities associated with the Archaeological and Historic Preservation Act. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Reclamation's cultural resources management program is governed by 36 CFR

Part 800; 43 CFR Part 7; Department of the Interior Manual Chapter 411, Chapters 1 through 3; and Reclamation Policy and Directives & Standards for Cultural Resources Management and Museum Property Management available at <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> - page 1/. 43 CFR 12 and 18, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html> - page 1/; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Please see listing of contacts at www.usbr.gov/cultural/crmstaff.html/.

Headquarters Office:

Thomas Lincoln, Bureau of Reclamation, P.O. Box 25007 (Mail Code: 84-53000), Denver, Colorado 80225-0007 Phone: (303) 445-3311.

Website Address:

<http://www.usbr.gov/cultural/> and <http://www.usbr.gov/museumproperty/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Cooperative agreements with entities to provide long-term curation of Reclamation-owned museum property, and grants to provide heritage education to the public including lesson plans to be used in promoting heritage education on Indian tribal schools and development of web sites to Reclamation's contribution to archaeology site preservation and protection. Fiscal Year 2010: Agreement - U Texas Austin for museum property management.

Grant - Museum of Northern Arizona for museum property management. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of a technical proposal, budget proposal, and relevancy to program objectives. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov.

15.512 CENTRAL VALLEY PROJECT IMPROVEMENT ACT, TITLE XXXIV

Central Valley Project Improvement Act XXXIV

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV, Central Valley Project Improvement Act, Public Law 102-575.

OBJECTIVES:

The purposes of the CVPIA are (1) to protect, restore, and enhance fish, wildlife, and associated habitats in the Central Valley and Trinity River basins of California, (2) to address impacts of the Central Valley Project on fish, wildlife, and associated habitats, (3) to improve the operational flexibility of the Central Valley Project, (4) to increase water-related benefits provided by the Central Valley Project to the State of California through expanded use of voluntary water transfers and improved water conservation, (5) to contribute to the State of California's interim and long-term efforts to protect the San Francisco Bay/Sacramento-San Joaquin Delta Estuary, and (6) to achieve a reasonable balance among competing demands for use of Central Valley Project water, including the requirements of fish and wildlife, agricultural, municipal and industrial and power contractors. For Recovery Act funded projects and in addition to the the program objectives above, the section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery. (2) To assist those most impacted by the recession. (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health. (4) To invest in transportation, environmental protection, and other infrastructure what will provide long-term economic benefits. (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state

and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Agreements will be awarded for projects that will result in attaining the objectives noted above and the mandates set out in the CVPIA. Areas of emphasis include fish and wildlife restoration activities and investigations, evaluation of ecologic and hydrologic effects of existing and alternative operations of water facilities and systems, and the effects of the CVP on anadromous fish populations. The Secretary of the Interior is authorized and directed to promulgate such regulations and enter into such agreements as may be necessary to implement the intent, purposes and provisions of Title XXXIV. This title does not and shall not be interpreted to authorize construction of water storage facilities.

Applicant Eligibility:

Irrigation and/or water districts; state, local, and county governmental entities with water management authority (e.g., State and Federal agencies, departments, boards, etc.); and other entities with water delivery authority as well as private nonprofit or profit organizations, and individuals located in the State of California.

Beneficiary Eligibility:

General public, government agencies, and water management authorities located in the State of California.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For current information on any upcoming funding opportunity announcements dates and deadlines, contact the Mid-Pacific Region, MP-400 Division of Resources Management, Sacramento, California, as listed in the Contacts section below.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for the Program from one fiscal year to the next is at the discretion of Congress. Yearly solicitation is made for projects of 24 months in duration or less, although multi-year projects may be considered if annual accomplishments can be demonstrated.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the costs of a project or activity carried out under this Program varies in accordance with the provisions of the CVPIA. Cost-share requirements will be included in the funding opportunity announcement posted on www.Grants.gov.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals are requested for projects of 24 months in duration or less; however, funding for multi-year projects may be considered if annual accomplishments can be demonstrated. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report, SF 425 is required on a quarterly basis. Upon completion of the agreement recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit info." If "No" is selected: "This program is excluded from coverage under OMB Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5173-0-2-301; 14-0681-0-2-301 - Recovery Act.

Obligations:

(Cooperative Agreements) FY 09 \$11,584,500; FY 10 est \$2,015,500; FY 11 est \$0. (Cooperative Agreements) FY 09 \$21,002,036; FY 10 est \$21,000,000; FY 11 est \$21,000,000

Range and Average of Financial Assistance:

Range is \$5,000 to \$10,000,000; Average \$25,534,012.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009 numerous accomplishments were attained using grants and cooperative agreements. Red Bluff Division Dam - Received ARRA funding to build a new pumping plant; and proceeded with the design of the permanent pumping plant. Contra Costa Fish Screen - Received ARRA funding to build a new fish screen; updated screen designs to bring up to current construction code; completed environmental documentation and permits for the construction of the fish screen; and conducted fish monitoring. Anadromous Fish Screen Program - Completed construction of the Phase I Meridian Farms Fish Screen Project to screen 30 cfs at the New Grimes diversion. Refuge Water Supply - Delivered approximately 398,010 acre-feet of Level 2 water to the refuges in calendar year (CY) 2008; delivered approximately 37,066 acre-fee of Incremental Level 4 water to refuges in CY 2008; and continued management of a conservation easement of 113 acres of giant garter snake mitigation habitat. Habitat Restoration Program - Protected 5,235 acres of habitat; and funded eight projects that focus on high priority species and habitats impacted by the CVP. Fiscal Year 2010: No Current Data Available

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, OMB Circulars, Program information:
http://www.usbr.gov/mp/cvpia/title_34/public_law_complete.html . These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Richard Woodely, Resources Chief, MP-400, (916)978-5556 and Shana Kaplan, CVPIA Program Manager, MP-400, (916)978-5190.

Headquarters Office:

None None, NA, Non-U.S. NA Phone: None

Website Address:

<http://www.usbr.gov/mp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Red Bluff Diversion Dam - Designed and constructed an interim pumping plant to be used during construction of the permanent pumping plant and fish screen. Contra Costa Fish Screen - Initiated construction of Phase II of the fish screen. Anadromous Fish Screen Program - Continued implementation of a four-year screening and monitoring program on the Sacramento River in partnership with CALFED Ecosystem Restoration Program and the Family Water Alliance. Activities included monitoring at three diversion sites and selection of an additional five sites to monitor in 2010. Refuge Water Supply - Modified an agreement with Grassland Water District to continue to implement a water quality monitoring program on lands within the Grassland ecological area; completed final design on Gray Lodge/Pixley Well Construction Project; and negotiated a fifth amendment to extend the groundwater pumping reimbursement agreement with California Department of Fish and Game. Groundwater combined with limited surface water deliveries provides Full Level 2 water supplies at Gray Lodge Wildfire Area. Habitat Restoration Program - Acquired a conservation easement on the 5,085-acre Rickert Ranch property in Shasta County; acquired 80 acres of San Joaquin Valley upland habitat; initiated a project to determine presence-absence of the giant garter snake in the eastern Delta in the San Joaquin County; initiated a study to evaluate the genetic relationships among and within recovery units of the Alameda whipsnake in Alameda County; and initiated a study to assess the probability of detecting populations of the giant garter snake in Butte County. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.513 DUTCH JOHN FEDERAL PROPERTY AND DISPOSITION ASSISTANCE ACT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Dutch John Federal Property Disposition and Assistance Act of 1998, Public Law 105-326, 16 U.S.C. 460v.

OBJECTIVES:

To privatize certain lands in and surrounding Dutch John, Utah; to transfer jurisdiction to certain Federal property between the Secretary of Agriculture and the Secretary of the Interior; to improve the Flaming Gorge National Recreation Area; to dispose of certain residential units, public buildings, and

facilities; to provide interim financial assistance to local government to defray the cost of providing basic governmental services; to achieve efficiencies in operation of the Flaming Gorge Dam and Reservoir and the Flaming Gorge National Recreation Area; to reduce long-term Federal outlays; and to serve the interests of the residents of Dutch John and Daggett County, Utah, and the general public.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For the purpose of defraying costs of transition in administration and provision of basic community services, an annual payment of \$300,000 (as adjusted by the Secretary for changes in the Consumer Price Index for all-urban consumers published by the Department of Labor) shall be provided from the Upper Colorado River Basin Fund to Daggett County, Utah, for a period not to exceed 15 years. At the present time, no new project awards are being made under this authority and none are anticipated. Funding is only available for continuation of the existing grant with Daggett County.

Applicant Eligibility:

The residents in and around Daggett County, Utah.

Beneficiary Eligibility:

The residents in and around Daggett County, Utah.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

At the present time, program funding is limited to providing additional annual funding to the existing project agreement with Daggett County. The Bureau of Reclamation will transfer on an annual basis the sum of \$300,000 to Daggett County, Utah, (as adjusted by the Secretary for changes in the Consumer Price Index for all-urban consumers published by the Department of Labor) by a amendment to the existing agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funding is for a total of 15 years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit Program Performance Reports. Annually, recipients shall submit an annual Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall

submit the SF 425 Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425 Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance (\$500,000 for fiscal years ending after Dec.1, 2003) or more a year in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than (\$500,000 for fiscal years ending after Dec.1, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records, accounts, and supporting documents must be retained for 3 years after submission for final request of reimbursement. State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4081-0-3-301.

Obligations:

(Project Grants) FY 09 \$393,920; FY 10 est \$388,799; FY 11 est \$400,463

Range and Average of Financial Assistance:

\$383,000 to \$401,000; average \$394,394.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A years worth of funding was provided to assist in turning the facilities over to Dutch John. This funding has been used for operations and maintenance of the Town of Dutch John, as well as, the costs for administration of the town. The remaining funds have been invested and has been used for major projects such as improvements to the Waste Water System and Potable Water System. Upgrades for the Waste Water System had a total price of \$1,055,402. That project should be complete by early spring, 2010. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Mr. Steve Hulet, Acting Manager, Telephone: (435)885-3238, Fax: (435)885-3224, E-mail: SHulet@uc.usbr.gov.

Headquarters Office:

Director 1849 C Street, NW Washington DC, District of Columbia, Washington 20240 Phone: (202)208-3100

Website Address:

<http://www.usbr.gov/uc/news/dutchjohnfacts.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Daggett County is maintaining all vacant grounds and roads and operating the Fire Department and conference hall. In order for there to be any growth to the Dutch John Community, the upgrades to the water system will require \$15M alone to bring the system up to acceptable standards set by the State of Utah. The county has just awarded a contract for Distribution improvements for the existing system in the amount of \$849,700 and also a contract for the Dutch John Water Treatment Plant SCADA System Project which should cost approximately \$200,000. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

No proposals are issued. Funding is transferred annually to Daggett County, Utah, in accordance with Section 13 of the Act.

15.514 RECLAMATION STATES EMERGENCY DROUGHT RELIEF

Emergency Drought Relief and Drought Contingency Planning

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Reclamation States Emergency Drought Relief Act of 1991, Title II, Public Law 102-250, 43 U.S.C. Section 2201-2214, as amended; Hawaii Water Resources Act of 2000, Title II, Public Law 106-566.

OBJECTIVES:

To provide financial and planning assistance that would minimize and mitigate losses and damages resulting from drought conditions.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; Cooperative Agreements; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

In consultation with other appropriate Federal and State officials, Indian tribes, public, private, and local entities, Reclamation may prepare, or participate in the preparation of, plans for the prevention or mitigation of drought impacts. Financial assistance for the development of drought contingency plans may be provided in the form of cooperative agreements to States, Indian tribes, public, private, and local entities located within the 17 Reclamation States (Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming) and Hawaii. Pursuant to Public Law 93-638, an Indian tribe is entitled to contract for any activities that Reclamation would otherwise conduct pursuant to Section 201 or 202 of Title II of the Act on the tribe's behalf which would benefit the tribe exclusively. Reclamation may provide technical assistance for drought contingency planning in any of the 50 U.S. States and territories and to local and tribal government entities.

Applicant Eligibility:

State and local entities and Federally Recognized Indian Tribal Governments within the following 17 Western U.S. States: Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Wyoming, Utah, and Washington, and Hawaii. Technical assistance can also be requested for all 50 U.S. States and the following U.S. Territories: Puerto Rico, the Republic of the Marshall Islands, the Federated States of Micronesia, the Trust Territory of the Pacific Island, and upon termination of the Trusteeship, the Republic of Palau, the United States Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

General public; Federal, State and local governments; and Federally Recognized Indian Tribal Governments within the 50 U.S. States and Territories.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State

requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible entities may request assistance from their local Reclamation Office. Requests will be forwarded to the Regional Drought Coordinator to ensure they meet the scope and intent of the Reclamation States Emergency Drought Relief Act. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

Requests will be prioritized, merited, and consolidated by the Regional Drought Coordinators and forwarded to the Regional Director. The Regional Director will then forward their prioritized lists of funding requests to the Bureau of Reclamation Drought Coordinator who will rank the projects with with specific criteria established for drought requests and make the final recommendations regarding which applications will receive awards. If a request is approved, a letter of approval will be prepared by the Reclamation Drought Coordinator that includes a delegation of authority to the appropriate Regional Director with funding information. This letter will be forwarded to the appropriate Regional/Area Office responsible for implementing the approved actions. The respective Regional/Area Office will develop their agreements and Public Law 93-638 contracts/agreements in compliance with applicable regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

Bureau of Reclamation will provide information on why requests were not approved.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance may be approved at any time of the year and agreements are generally awarded for a six month period, unless an extension is granted by the Commissioner (or the Regional Office granted authority to implement the approved assistance). See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis program performance reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly recipient reporting is required in www.FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301; 14-0681-0-1-301 - Recovery Act.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$3,114,112; FY 11 est \$0. (Cooperative Agreements) FY 09 \$0; FY 10 est \$0; FY 11 est \$100,000

Range and Average of Financial Assistance:

Range is \$10,000 to \$1,000,000; Average \$1,071,371.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In previous years, funds have been awarded for drought contingency plans across the 17 Western States. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable Office of Management and Budget Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Great Plains Region: Rick DeVore, Regional Drought Coordinator, GP-4500, P.O. Box 36900, Billings, MT 59107-6900, Telephone: (406) 247-7757, Fax: (406) 247-7793; Lower Colorado Region: Steve Jones, Regional Drought Coordinator, B4230, P.O. Box 61470, Boulder City, NV 89006-1470, Telephone: (702) 293-8186, Fax: (702) 293-8042; Mid-Pacific Region: Lucille Billingsley, Regional Drought Coordinator, MP-410, Federal Office Building - 2800 Cottage Way, Sacramento, CA 95758, Telephone: (916) 978-5215, Fax: (916) 978-5290; Pacific Northwest Region: Megan Montague, Regional Drought Coordinator, PN-6436, 1150 N. Curtis Rd, Suite 100, Boise, ID 83706-1234, Telephone: (208) 378-5396, Fax: (208) 378-5305; Upper Colorado Region: Mike Stuver, Regional Drought Coordinator, UC-439, 125 S. State Street, Room 6107, Salt Lake City, UT 84138-1147, Telephone: (801) 524-3745, Fax: (801) 524-5499.

Headquarters Office:

Shannon Rizzi Bureau of Reclamation, Mail Code 84-52000, P.O. Box 25007, Denver, Colorado 80225-0007 Phone: (303)445-2894

Website Address:

<http://www.usbr.gov/drought/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: There were no agreements executed that meet the types of agreements reported in this submittal in Fiscal Year 2009, however, future fiscal years will fund these types of activities (e.g., drought contingency plans are prepared by a State, tribe, or local government and examine ways to address water shortages, identify specific actions to prevent shortages or to respond to shortages when they occur, and identifies opportunities for water supply conservation, augmentation and use). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Requests for assistance are approved on a case-by-case basis considering program priorities, Congressional direction, funding availability, and the following criteria: benefits for health and safety; benefits for fish and wildlife; intensity of drought; impacts if project is not funded; benefits of funding; availability of other waste supplies; involve cost-share; and involve

Reclamation project water.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.516 FORT PECK RESERVATION RURAL WATER SYSTEM

Fort Peck Water Supply Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Fort Peck Reservation Rural Water System Act of 2000, Public Law 106-382.

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Fort Peck Indian Reservation in the State of Montana; and to assist the citizens in those portions of Roosevelt, Sheridan, Daniels, and Valley Counties that are outside the Fort Peck Indian Reservation, in developing safe and adequate municipal, rural, and industrial water supplies.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

The project is for the construction of municipal, rural, and industrial water supply systems that will serve the Fort Peck Indian Reservation (Assiniboine and Sioux Rural Water System), and all or portions of Valley, Daniels, Sheridan and Roosevelt counties in northeastern Montana (Dry Prairie Rural Water System). At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Fort Peck Tribal Executive Board, Dry Prairie Rural Water Association Incorporated (or any successor non-Federal entity).

Beneficiary Eligibility:

The Fort Peck Indian Reservation, the Dry Prairie Rural Water Association, and the residents of all or portions of Valley, Daniels, Sheridan and Roosevelt counties in the State of Montana.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

These projects have met the requirements of the National Environmental Policy Act (NEPA) of 1969, and final engineering reports and water conservation plans have been approved. Any proposed changes in the scope of these projects will be reviewed for continued compliance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Annual work plans and budgets

are negotiated annually. The applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with technical proposals and budget narratives.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing and in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the Fort Peck project is 100 percent (Sec. 4(j)); the Federal share of the Dry Prairie Rural Water System project is 76 percent (Sec. 5(a)(2)). Construction costs for both projects will total \$193 million (1998 dollars) with Dry Prairie's cost being \$68 million and the Reservation's portion being \$125 million. Funds are to be available until expended.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Beginning in fiscal year 2001, funding for these projects was authorized to be appropriated until year 2015. Method of awarding/releasing assistance: lump sum.

Reports:

Draft and final technical reports; quarterly recipient reports are required in www.FederalReporting.gov; annual reports; and reports on significant developments (as necessary). Cash reports are not applicable. Progress reports are not applicable. Quarterly and final Federal Financial Reports, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$39,800,000; FY 10 est \$0; FY 11 est \$0 - ARRA. (Project Grants (Cooperative Agreements or Contracts)) FY 09 \$10,033,406; FY 10 est \$8,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

Range is \$50,000 to \$40,000,000; Average \$19,944,468.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Required technical and environmental reports for the construction of municipal, rural, and industrial water supply systems; partial construction of the systems in accordance with the final engineering report. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current

Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 43 CFR 12 and OMB Circulars - These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137, Telephone: (406)247-7298, E-mail: djewell@gp.usbr.gov.

Headquarters Office:

Doug Davis Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900,

316 North 26th Street, Billings, MT 59101, Billings, Montana 59107 Email: DouglasDavis@usbr.gov Phone: (406)247-7710 Fax: (406)247-7695

Website Address:

http://None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Construction of municipal, rural, and industrial water supply systems for the Fort Peck Indian Reservation and for all or portion of Valley, Daniels, Sheridan, and Roosevelt counties in northeastern Montana. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.517 FISH AND WILDLIFE COORDINATION ACT

FWCA

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Fish and Wildlife Coordination Act of 1934, Public Law 85-624, 16 U.S.C. 661 et seq., as amended; as limited by the Secretary of the Interior delegation of authority at 255 DM 14.

OBJECTIVES:

To provide financial assistance, through grants or cooperative agreements, to public or private organizations for the improvement of fish and wildlife habitats associated with water systems or water supplies affected by Bureau of Reclamation projects.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

In accordance with the delegation of authority to the Bureau of Reclamation in the Department of the Interior Department Manual, Part 255, Chapter 14, projects are limited to the improvement of fish and wildlife habitat associated with water systems or water supplies affected by Bureau of Reclamation projects.

Applicant Eligibility:

State and local governments, nonprofit organizations and institutions, public and private institutions and organizations, Federally recognized Indian Tribal Governments, individuals, small businesses, for-profit organizations, and Native American Organizations.

Beneficiary Eligibility:

Anyone/general public, governmental entities, Tribal governments, Native American organizations, and/or public or private organizations in the specific project area.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environment impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 5 years. See the following for

information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit program performance reports. Upon completion of the agreement, recipients shall submit a final program performance report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures.

Recovery Act recipients will be required to report quarterly in www.FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the Federal Financial Report, SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$30,647,839; FY 10 est \$22,000,000; FY 11 est \$22,000,000. (Cooperative Agreements) FY 09 \$655,208; FY 10 est \$0; FY 11 est \$0 - ARRA.

Range and Average of Financial Assistance:

Range is \$5,000 to \$5,000,000; Average \$25,101,016.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Improvements to fish and wildlife habitat through award of projects that track fish and wildlife movement, habitat temperature monitoring, collection and analysis of interbrates, genetics studies, surveying and monitoring of fish and wildlife and salinity studies. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable Office of Management and Budget Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Specific contact information will be provided in each funding announcement.

Headquarters Office:

Director Bureau of Reclamation, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202)208-3100

Website Address:

<http://www.usbr.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects include: Barkley Springs Endangered Sucker Entrainment Prevention; Long-Term Fish Disease Monitoring Program in the Lower Klamath River; Two Rivers Flycatcher Habitat Restoration; Riparian Brush Rabbit Captive Propagation; and Monitoring of Salt Cedar Beetle and an Estimate of Evapotranspiration and Water Salvage Response. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.518 GARRISON DIVERSION UNIT

Garrison Diversion Unit Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Garrison Diversion Unit, Missouri River Basin Project, Public Law 89-108, as amended; Energy and Water Development Appropriation Act of 1985, Public Law 98-360; Garrison Diversion Unit Reformulation Act of 1986, Public Law 99-294; Dakota Water Resources Act of 2000, Public Law 106-554, Indian Self-Determination and Education Act, Public Law 930638, as amended; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To meet the water needs within the State of North Dakota by providing funds on a non-reimbursable basis for the planning and construction of a multi-purpose water resource development project within the State of North Dakota for irrigation; municipal, rural, and industrial water; fish, wildlife, and other natural resource conservation and development; recreation; flood control; augmented stream flows; ground water recharge; and other project purposes. For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Different projects and programs under the authorization have different cost ceilings. The Bureau of Reclamation's Great Plains Region shall enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa to undertake the authorized activities, programs and projects as the Secretary of the Interior determines necessary.

Applicant Eligibility:

The State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa.

Beneficiary Eligibility:

The citizens of the State of North Dakota and the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa.

Credentials/Documentation:

See Public Law 99-294, Section 2, for considerations to be given to fish and wildlife conservation, including habitat impacts, mitigation procedures, and enhancement. See Public Law 99-294, Section 3, regarding when it may be necessary for applicants to complete a comprehensive report covering feasibility, capability, impacts, cost and efficiency measures, National Environmental Policy Act requirements, and other matters. Please contact the Bureau of Reclamation personnel listed in the Contacts section, below, for

further information regarding these requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication is not required. Depending on the project, an environmental impact assessment or statement may be required. If requested, the Bureau of Reclamation's Great Plains Region will assist the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa in preparing their respective proposals. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications shall include: (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services. Applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with their technical and budget proposals.

Award Procedure:

Once the parties agree with their respective scopes of work and cooperative agreements, the Bureau of Reclamation's Great Plains Region will finalize the agreements. The Bureau of Reclamation's Great Plains Region will negotiate and enter into cooperative agreements with the State of North Dakota, the Garrison Conservancy District, the Standing Rock Sioux, the Three Affiliated Tribes, the Spirit Lake Nation, and the Turtle Mountain Band of Chippewa. The tribes may choose to enter into an agreement under Public Law 93-638, the Indian Self-Determination and Education Assistance Act. For those agreements that are in place, the Bureau of Reclamation negotiates annually the workplan and budget for that fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Quarterly SF-425, Federal Financial Report and upon

completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. 2 CFR 176, Subpart D - Single Audit Information for Recipients of Recovery Act Funds and specific ARRA information in OMB Circular A-133. Separate tracking of ARRA funds. Separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC). Identify ARRA titles by inclusion of the prefix "ARRA" - in identifying the name of Federal program on the SEFA and SF-SAC.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - ARRA; 14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$71,872,271; FY 10 est \$70,000,000; FY 11 est \$33,551,000. (Project Grants (Cooperative Agreements or Contracts)) FY 09 \$33,200,000; FY 10 est \$25,300,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range is \$2,000 to \$40,000,000; Range \$77,974,424.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Standing Rock, Three Affiliated and Spirit Lakes tribes entered into and completed a number of construction contracts for MR & I of the Garrison Project. Completed construction on a number of rural water related contracts. North Dakota State MR & I Program also completed a number of rural water contracts. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and the OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Denny Breitzman, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701) 221-1201, E-mail: dbreitzman@usbr.gov.

Headquarters Office:

Leatha Smith-Frost, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: LSmithFrost@usbr.gov Phone: (406) 247-7818.

Website Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Funds were used to initiate the standing Rock Memorial Intake Structure. Continuing construction of two composite style water storage reservoirs Continuing the Spirit Lake Tribe Water Treatment Plant pilot study and design. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling, and any stated evaluation criteria.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.519 INDIAN TRIBAL WATER RESOURCES DEVELOPMENT, MANAGEMENT, AND PROTECTION

Indian Tribal Water Resources

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Resolution, 2003, Division D Energy and Water Development Appropriations, Title II, Section 201, Public Law 108-7.

OBJECTIVES:

To increase opportunities for Indian tribes to develop, manage, and protect their water resources.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are authorized to increase opportunities for Indian tribes to develop, manage, and protect their water resources. Nothing in this Act is intended to modify or limit the provisions of the Indian Self-Determination Act (25 U.S.C. 45 et seq.).

Applicant Eligibility:

Federally recognized Indian tribes, institutions of higher education, national Indian organizations, and tribal organizations located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Beneficiary Eligibility:

Federally recognized Indian tribes in the 17 western states.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of Bureau of Reclamation program managers who are qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding

opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally last one to two years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82. Institutions of higher education shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$1,119,141; FY 10 est \$1,200,000; FY 11 est \$1,200,000

Range and Average of Financial Assistance:

\$4,000 to \$800,000; Average \$1,173,047.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Projects have been awarded which address a wide variety of tribal water resources needs and issues, including water quality, groundwater, water conservation, habitat restoration, and water needs assessments. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Pacific Northwest Region: Telephone: (509) 575-5848, Web: <http://www.usbr.gov/native/regions/pn/index.html>; Mid-Pacific Region: Telephone: (916) 978-5194, Web: <http://www.usbr.gov/native/regions/mp/index.html>; Lower Colorado Region: Telephone: (702) 293-8426, Web: <http://www.usbr.gov/native/regions/lc/index.html>; Upper Colorado Region:

Telephone: (801) 524-3674, Web:
<http://www.usbr.gov/native/regions/uc/index.html>; Great Plains Region:
Telephone: (406) 247-7710, Web:
<http://www.usbr.gov/native/regions/gp/index.html>.

Headquarters Office:

Director Native American and International Affairs Office, 1849 C Street, NW,
Washington, District of Columbia 20240 Phone: (202)513-0625

Website Address:

<http://www.usbr.gov/native/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Water quality monitoring and remediation, infrastructure assessments, irrigation project rehabilitation, watershed improvement, habitat restoration water conservation, drought relief, aquifer studies and monitoring, erosion control, and infrastructure improvement. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposed projects must be related to the development, management and protection of Indian tribal water resources.

15.520 LEWIS AND CLARK RURAL WATER SYSTEM

(Lewis and Clark Project)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Fiscal Year 2000, Department of Defense Supplemental Appropriations Act, Division B, Chapter 3, Title IV, Lewis and Clark Rural Water System, Public Law 106-246.; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide safe and adequate municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This project is for the planning and construction of a bulk water supply system to provide municipal, rural, and industrial water supplies, mitigation of wetland areas and water conservation for the Lewis and Clark Rural Water System member entities located in southeastern South Dakota, southwestern Minnesota, and northwestern Iowa. Congress has specifically authorized the Bureau of Reclamation to administer this project in southwestern Minnesota and northwestern Iowa. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements. For Recovery Act: Acceleration of planning and construction of Lewis and Clark Rural Water System already in progress.

Applicant Eligibility:

The Lewis and Clark Rural Water Supply System, Inc., and its member entities (rural water systems and municipalities the meet the requirements for

membership in the Lewis and Clark Rural Water Supply System, Inc.).

Beneficiary Eligibility:

Fifteen communities and 5 rural water systems in Lake, McCook, Minnehaha, Turner, Lincoln, Clay, and Union Counties, in southeastern South Dakota; Rock and Nobles Counties, in Southwestern Minnesota; and Lyon, Sioux, Osceola, O'Brien Dickinson, and Clay Counties, in northwestern Iowa.

Credentials/Documentation:

The project requires submission of a final engineering report, National Environmental Policy Act compliance, a plan for water conservation and mitigation plans for fish and wildlife losses in accordance with Section 4104 of the Act. OMB Circular A-87 requirements are applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Amendments to incorporate annual work plans and budgets are negotiated annually. The recipient must submit all applicable SF-424 Application for Federal Assistance forms, along with (1) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timelines, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation; and (2) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contributions of goods and services.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the amendments.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grantees are required to update their work plan and submit a current year budget annually.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the project is 80 percent; except that Federal funds for the City of Sioux Falls, South Dakota, shall be 50 percent of the incremental cost to the city of participation in the project. (Public Law 106-246, Section 4108).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will be available until all appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. As of September 30, 2008, the federal appropriation ceiling (October 2010 price levels) as prepared by the Bureau of Reclamation was \$402,635,000 and the projected cost ceiling from all funding sources was \$505,561,000. The amount and timing of disbursement of assistance is based on progress and need. Payments will be made using SF-270 or the Automated Standard Application for Payment (ASAP). For Recovery Act: See the cooperative agreement start and end dates. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Draft and final technical; progress reports (quarterly); annual report; and reports on significant developments (as necessary). Recovery Act recipients are required to submit quarterly program reports to www.FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final Federal Financial Report, SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

For Recovery Act: 2 CFR 176, Subpart D - Single Audit Information for Recipients of Recovery Act Funds and specific ARRA information on OMB Circular A-133. Separate tracking of ARRA funds. Separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC). Identify ARRA titles by inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and SF-SAC.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$56,190,925; FY 10 est \$0; FY 11 est \$0. (Cooperative Agreements) FY 09 \$26,750,000; FY 10 est \$10,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

Range is \$600,000 to \$83,801,869; Average \$31,646,975.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Completed 11-miles of 54-inch, and 5 miles of 24 and 30-inch steel treated water pipeline; 19 miles of 6 and 10-inch PVC service line; will complete Phase I of water treatment plant (WTP); foundation and site work complete for 3 million gallon (MG) water tower and two 7.5 MG ground storage reservoirs, and advertised, bid and awarded WTP Phase 2 contract. The contractor completed most of the concrete work for the solids contact area, recarbonation basins including mechanical and electrical equipment and precast columns, beams, wall and double tee roof panels. The concrete, mechanical and electrical will be completed in the recarbonation area. The lime sludge drying beds and associated site piping will be complete. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars; these documents may also be obtained by contacting the Bureau of Reclamation office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701)221-1201, E-mail: dbreizman@gp.usbr.gov.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: LNafts@usbr.gov Phone: (406) 247-7684

Website Address:

<http://www.lcrws.org/>.

RELATED PROGRAMS:

15.518 Garrison Diversion Unit; 15.522 Mni Wiconi Rural Water Supply Project; 15.523 Perkins County Rural Water System

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Three million gallon (MG) water tower; two 7.5 MG ground

storage reservoirs; 21 miles of 6, 8, and 10 inch PVC service lines; 10 miles of 24 and 30 inch steel treated water pipeline, pump station with 8 350 hp pumps; 7 meter buildings and pumps, piping and electrical for 6 existing wells and 5 new wells with pumps, piping, electrical, vaults and pumps houses. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scope of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

For Recovery Act: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.521 LOWER RIO GRANDE VALLEY WATER RESOURCES CONSERVATION AND IMPROVEMENT

Lower Rio Grande Valley Irrigation Projects

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2000, Public Law 106-576, as amended; Lower Rio Grande Valley Water Resources Conservation and Improvement Act of 2002, Public Law 107-351.

OBJECTIVES:

Awards will be made to investigate and identify opportunities to improve the supply of water in the program area identified as the counties in the State of Texas in the Rio Grande Regional Water Planning Area known as Region "M" as designated by the Texas Water Development Board; and the counties of Hudspeth and El Paso, Texas. The program includes the review of studies and planning reports, conduct of or participation in funding engineering work, infrastructure construction, and improvements for the purpose of conserving and transporting raw water.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cost ceilings of \$8,000,000 for conservation and improvements (section 3(g)) and \$47,000,000 for construction (section 4(c)). Reclamations Great Plains Region will enter into cooperative agreements with the State of Texas, water users in the program area, specified irrigation districts, and other non Federal entities to carry out the project if the Secretary of the Interior determines such agreements would be cost-effective and efficient. A substantial portion of this program is directed toward funding 19 construction projects specifically identified in the Lower Rio Grande Valley Water Resources Act, as amended.

Applicant Eligibility:

The State of Texas, water users in the program area, specified irrigation districts, and other non-Federal entities.

Beneficiary Eligibility:

The general public located in the state of Texas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants shall provide a report in accordance to Sec. 3(c) of the Act that includes, but is not limited to, (a) estimated project costs, conservation measures, and operational efficiency improvements, (b) a project plan and map, and (c) a cost-sharing commitment for applicant funding of its proportional share of project construction costs on an annual basis. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is

required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Scopes of Work are provided to the Bureau of Reclamation for annual award and funding.

Award Procedure:

Specific recipients are identified in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The non-Federal share of total project costs shall be 50 percent. Not more than 40 percent of the project costs may be paid by the State. The remainder of the non-Federal share may include in-kind contributions of goods and services, and the actual funds previously spent on feasibility and engineering studies. Awarded agreements must contain a commitment by the non-Federal project sponsors to fund their proportionate share of the project's construction costs on an annual basis. (Public Law 106-576, Section 4(b), as amended).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established with the recipient at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project

records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$4,560,997; FY 10 est \$2,000,000; FY 11 est \$50,000

Range and Average of Financial Assistance:

\$50,000 to \$4,000,000; Average \$2,203,666.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Reclamation has completed and closed out three agreements. One project is complete and awaiting final payment, and four construction projects are on-going. Fiscal Year 2010: Same as stated above. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Oklahoma Texas Area Office: Mr. Mark Trevino, Area Manager, Bureau of Reclamation, Address: 5316 Highway 290 West, Suite 510, Austin, TX 78735-8931, Telephone: (512) 899-4150, E-mail: mtrevino@gp.usbr.gov .

Headquarters Office:

Mr. Kimball M. Banks, Bureau of Reclamation, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101 Email: kbanks@gp.usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695.

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Harlingen Irrigation District - Canal lining/piping existing canals; Hidalgo County ID #1 - Piping existing canals; Cameron County ID #2 - Canal lining/piping existing canals; Brownsville ID - Piping existing canals; Delta Lake ID - Canal lining/piping existing canals, and telemetry and gate automation; Hidalgo County ID#6 - Lining of existing canals and telemetry; and United ID - Piping existing canals. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling.

15.522 MNI WICONI RURAL WATER SUPPLY PROJECT

Mni Wiconi Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Mni Wiconi Rural Project Act of 1988, Public Law 100-516, as amended; Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title VIII, Mni Wiconi Act Amendments of 1994, Public Law 103-434.

OBJECTIVES:

To ensure a safe and adequate municipal, rural, and industrial water supply for the residents of the Pine Ridge Indian, Rosebud Indian, and Lower Brule Indian Reservations in South Dakota; to assist the citizens of Haakon, Jackson, Jones, Lyman, Mellette, Pennington, and Stanley Counties, South Dakota, to develop safe and adequate municipal, rural, and industrial water supplies; to promote the implementation of water conservation programs at these locations; to provide certain benefits to fish, wildlife, and the natural environment of South Dakota; and in consultation with the Oglala, Rosebud, and Lower Brule Sioux Tribes, to conduct feasibility studies on the need to develop water disposal facilities and systems and rehabilitate existing waste water disposal facilities and systems on the Pine Ridge, Rosebud, and Lower Brule Indian Reservations.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Agreements are limited to planning, designing, constructing, operating, maintaining, and replacing the Oglala Sioux, the Rosebud, and the Lower Brule Sioux Rural Water Supply Systems; and for planning and construction of the West River Rural Water System and the Lyman-Jones Rural Water System. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

West River/Lyman-Jones Water Systems and the Oglala, Rosebud, and Lower Brule Sioux tribes.

Beneficiary Eligibility:

The citizens of the southwest quarter of the State of South Dakota, including the Oglala, Rosebud, and Lower Brule Indian Reservations.

Credentials/Documentation:

Agreements for construction of projects entered into for the Lyman-Jones Rural Water System must be in accordance with the final engineering reports submitted by each project applicant. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. At the present time, program funding is limited to amendments of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications. Responsibilities for needs assessments, feasibility and environmental studies, design, conservation measures and administration are to be included in agreements negotiated under this authority.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Federal share of tribal projects is 100 percent. The Federal share of the West River/Lyman Jones projects is 80 percent. (Public Law 103-434, Section 805).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipients at the time of award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report and upon completion of the agreement a final report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$0; FY 10 est \$19,626,000; FY 11 est \$0. (Project Grants (Cooperative Agreements or Contracts)) FY 09 \$32,365,180; FY 10 est \$32,200,000; FY 11 est \$27,480,000

Range and Average of Financial Assistance:

Range is \$50,000 to \$20,000,000; Average \$37,223,727.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Required technical and environmental reports for the construction of municipal, rural, and industrial systems; and construction of systems. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1/>; OMB Circulars, http://www.whitehouse.gov/omb/grants/grants_circulars.html/. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: PO Box 1017, Bismarck, ND 58501, Telephone: (701) 250-4242, E-mail: dbreitzman@gp.usbr.gov/.

Headquarters Office:

Doug Davis, Bureau of Reclamation, Great Plains Region Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101 Email: ddavis@usbr.gov Phone: (406) 247-7710 Fax: (406) 247-7695.

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The tribal

municipal, rural and industrial projects; the tribal operation and maintenance activities; Rosebud tribe, Lower Brule tribe, Oglala Sioux tribes, Core and Noncore; the West River/Lyman-Jones System municipal, rural and industrial water system. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.523 PERKINS COUNTY RURAL WATER SYSTEM

Perkins County Water Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Perkins County Rural Water System Act of 1999, Public Law 106-136. ; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Financial assistance agreements are awarded to the Perkins County Rural Water System, Inc. for the planning and construction of the water supply system, and repairs to existing public water distribution systems to ensure conservation of the resources and to make the systems functional under the new water supply system. For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Construction of a rural water supply system that will serve the members of the Perkins County Rural Water System, Inc. in Perkins County, South Dakota. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

For Recovery Act: Acceleration of planning and construction of Perkins County Rural Water System already in progress.

Applicant Eligibility:

The Perkins County Rural Water System, Inc., Perkins County, South Dakota.

Beneficiary Eligibility:

The members of Perkins County Rural Water System, Inc. in Perkins County, South Dakota.

Credentials/Documentation:

Completion of a final engineering report and plan for a water conservation program; plans for mitigation of fish and wildlife losses in accordance with Section 5 of the Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Completion of a final engineering report and plan for a water conservation program; plans for mitigation of fish and wildlife losses in accordance with Sec. 5 of the Act.

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an

environmental impact assessment or environmental impact statement prior to the commencement of project activities.

For Recovery Act: Submission of request and application packet in accordance with ARRA P.L. 111-5 and 2 CFR 176. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. At the present time, program funding is limited to amendments to the existing project agreement. Amendments to incorporate annual work plans and budgets are negotiated annually with Perkins County Rural Water System, Inc.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the project is 75 percent. (Section 7).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly Program Performance Reports and upon completion of the agreement recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act: For Recovery Act projects, quarterly online reporting is required by the 10th of the month following each quarter end, in accordance with ARRA Public Law 111-5, Section 1512 and related regulations at 2 CFR 176, Subpart A. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final Federal Financial Reports SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For Recovery Act: 2 CFR 176, Subpart D - Single Audit Information for Recipients of Recovery Act Funds and specific ARRA information in OMB Circular A-133. Separate tracking of ARRA funds. Separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC). Identify ARRA titles by inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and SF-SAC.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project

records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$4,437,900; FY 10 est \$0; FY 11 est \$0.
(Cooperative Agreements) FY 09 \$2,616,000; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

Range is \$50,000 to \$3,000,000; Average \$3,017,967.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Substantial completion of Phase VI of the water distribution system (79 miles of pipe and other appurtenances). All Right of Ways have been obtained and contract awarded for Phase VII of the distribution system (approx. 160 miles of pipe and other appurtenances). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dakotas Area Office: Mr. Denny Breitzman, Area Manager, Bureau of Reclamation, Address: P.O. Box 1017, Bismarck, ND 58501, Telephone: (701) 221-1201, E-mail: dbreitzman@gp.usbr.gov/.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: LNfts@usbr.gov Phone: (406) 247-7684.

Website Address:

<http://www.usbr.gov/gp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Phase VI of the water distribution system
Phase VII of the water distribution system. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.524 RECREATION RESOURCES MANAGEMENT

Title XXVIII

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Federal Water Projects Recreation Act of 1965, Public Law 89-72 as amended; Reclamation Recreation Act of 1992, Public Law 102-575, Title XXVIII.

OBJECTIVES:

To provide cost-share opportunities with non-Federal recreation partners (e.g. state, county, local governments, etc.), to assist in planning, development, operation, maintenance, and replacement of recreation and fish and wildlife resource facilities at partner managed Reclamation project recreation areas.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To

preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cost share agreements are used to provide assistance to non-Federal managing partners, who currently manage or will be managing one or more Reclamation project recreation areas, for the long-term management and care of publicly-owned recreation resources, and for the planning, construction and other facets of improving recreation access and assets. Concession contracts are not authorized by the above authorities.

Applicant Eligibility:

Non-Federal managing partners (e.g., state, county, local government entities, etc.), that currently manage or will be managing one or more Reclamation project recreation areas.

Beneficiary Eligibility:

Non-Federal recreation management partners.

Credentials/Documentation:

Public Law 89-72, as amended, requires that current non-Federal managing partners that have entered into long-term management agreements and perspective non-Federal managing partners that will be entering into long-term agreements for management of Reclamation project recreation areas, indicate their intent in writing to administer Reclamation project recreation areas and enter into such long-term agreements for management of recreation and fish and wildlife facilities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending upon the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State and local governments that have managing partner agreements with Reclamation submit proposals with detailed scopes of work and budgets for recreation as well as fish and wildlife improvement projects.

Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications are reviewed by the Bureau of Reclamation office having administrative jurisdiction of the land and water areas being considered for public recreation purposes. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. There are no other additional requirements and the office having jurisdiction may issue a management agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be communicated to the managing partner. Management agreements are renewable if both the Bureau of Reclamation and the state or local partner are in mutual agreement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: In the case of recreation development, a minimum cost share of 50 percent is required from a non-Federal partner. For fish and wildlife enhancement projects, the Federal share is exactly 75 percent of the project cost. (16 U.S.C. 4601-13(a)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically for one to five years depending on the type of project. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis Program Performance Reports. Annually, recipients shall submit an annual program performance report. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required in www.FederalReporting.gov. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on a quarterly basis the Federal Financial Report SF 425. Upon completion of the agreement, recipients shall submit a final Federal Financial Report SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$4,881,087; FY 11 est \$0. (Cooperative Agreements) FY 09 \$3,658,597; FY 10 est \$3,500,000; FY 11 est \$3,500,000

Range and Average of Financial Assistance:

Range is \$5,000 to \$15,000,000; Average \$5,179,895.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Cost-share agreements were awarded to non-Federal partners (e.g., state, county, local governmental entities, etc.) to facilitate planning, development, operation, and maintenance of recreation and fish and wildlife

facilities on numerous Bureau of Reclamation water project recreation areas. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. Program information is included in the Reclamation Manual, Directive and Standards, Implementing Cost-Sharing Authorities for Recreation and Fish and Wildlife Enhancement Facilities, LND 01-01, available at <http://www.usbr.gov/recman/lnd/lnd01-01.pdf>. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Pacific Northwest Region: (208)378-5312; Mid-Pacific Region: (916)978-5558; Lower Colorado Region: (702)293-8375; Upper Colorado Region: (801)524-3765; Great Plains Region: (406)247-7714.

Headquarters Office:

Vic Grizzle Bureau of Reclamation, P.O. Box 25007 (84-53000), Denver, Colorado 80225-0007 Email: vgrizzle@usbr.gov Phone: (303)445-2711 Fax: (303)445-6690

Website Address:

<http://www.usbr.gov/pmts/planning/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Management agreements with Reclamation's non-Federal managing recreation partners facilitate long-term recreation and fish and wildlife management at Reclamation project recreation areas. Examples of funded projects at Reclamation's project recreation areas include, but are not limited, the following: shoreline stabilization; Americans with Disabilities Act (ADA) compliance projects at campsites, day-use areas, restroom facilities, etc.; boat ramp installations/replacements; public health and safety improvements; and, operation and maintenance of Reclamation's designated recreation and fish and wildlife areas and facilities. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In no priority order and at the discretion of each Reclamation office, cost-share funding that will: (a) Provide for the public's health and safety; (b) Comply with Federal, State, and local laws and regulations; (c) Protect critical resources such as wetlands, critical wildlife habitat, endangered and threatened species, and cultural resources; and (d) Modernize existing facilities to meet current standards.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.525 ROCKY BOY'S/NORTH CENTRAL MONTANA REGIONAL WATER SYSTEM

North Central Montana Rural Water Supply Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Indian Financing Amendments Act of 2002, Public Law 107-331; American Recovery and Reinvestment Act of 2009, Public Law 111-5; Rocky Boy's North Central Montana Regional Water System Act of 2002, Title IX, Public Law 107-331.

OBJECTIVES:

To ensure a safe and adequate rural, municipal, and industrial water supply for the residents of the Rocky Boy's Reservation in the State of Montana, and to assist the citizens residing in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana, but outside the Reservation, in developing safe

and adequate rural, municipal, and industrial water supplies. For ARRA funded projects and in addition to the program objectives above, Section 3 of the Recovery Act states the following objectives: (1) to preserve and create jobs and promote economic recovery; (2) to assist those most impacted by the recession; (3) to provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and (5) to stabilize State and local governments budgets in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Construction of a rural water supply system that will serve the Chippewa-Cree of the Rocky Boy's Indian reservation and the surrounding, off-reservation communities in north central Montana. At the present time, no new project awards are being made under this authority. Funding is only available for continuation of existing agreements.

Applicant Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and the North Central Montana Regional Water Authority.

Beneficiary Eligibility:

The Chippewa-Cree of the Rocky Boy's Indian Reservation and inhabitants of the areas served by the North Central Montana Regional Water Authority in Chouteau, Glacier, Hill, Liberty, Pondera, Teton, and Toole Counties, Montana.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Bureau of Reclamation Great Plains Region, will assist the Chippewa-Cree of the Rocky Boy's Indian Reservation and members of the North Central Montana Regional Water Authority, in preparing their respective annual funding agreements. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. At the present time, program funding is provided only for the continuation of existing agreements. Amendments to incorporate annual work plans and budgets are negotiated annually with the current recipients. Current recipients submit applicable SF-424 forms, technical proposals, and budget narratives for Bureau of Reclamation review.

Award Procedure:

Once the parties agree with their respective annual scopes of work and budgets, the Bureau of Reclamation's Great Plains Region finalizes the modifications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the Rocky Boy's Rural Water System project is 100 percent. The Federal share of the North Central Montana Regional Water Authority project is 80 percent. (Section 904(b) and (c), Section 905(b)).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are to be available until expended. See the following for information on how assistance is awarded/released: Not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301; 14-0681-0-1-301 - Recovery Act: TAFS 14-0681 2009/2010.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$7,033,324; FY 10 est \$9,000,000; FY 11 est \$1,000,000. (Project Grants (Cooperative Agreements or Contracts)) FY 09 \$19,860,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

\$50,000 to \$10,000,000; Average 12,297,775.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: None. Fiscal Year 2010: Required technical and environmental reports for the construction of municipal, rural, and industrial water supply systems; beginning construction of the systems. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, PO Box 30137, Billings, MT, 59107-0137. Telephone: (406)247-7298, E-mail: djewell@gp.usbr.gov/.

Headquarters Office:

Doug Davis, Bureau of Reclamation, Great Plains Region Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101 Email: ddavis@usbr.gov Phone: (406)247-7710 Fax: (406)247-7695.

Website Address:

<http://northcentralmontanawater.org/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Initial

construction activities for the water supply system, such as pipelines and raw water intake building construction. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling. For ARRA funded projects: the purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and accompanying conference report, and will target activities that quickly infuse money into the economy.

15.526 SAN GABRIEL BASIN RESTORATION PROJECT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

San Gabriel Basin Restoration Project, Appendix D, Division B, Title I, Section 110, Public Law 106-554, as amended; Energy and Water Development Appropriations Act, 2002, Title II, Section 202, Public Law 107-66; and Section 2 of Reorganization Plan Number 3 of 1950, 64 Stat. 1262, as amended.

OBJECTIVES:

To design, construct, operate, and maintain water quality projects within the San Gabriel Basin, Los Angeles County, California.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project grants can only be issued to reimburse recipients for the Federal share of costs associated with the design, construction, operation, and maintenance of water quality improvement projects within the San Gabriel Basin, located in Los Angeles County, California.

Applicant Eligibility:

San Gabriel Basin Water Quality Authority (or its successor agency) and/or the Central Basin Municipal Water District.

Beneficiary Eligibility:

General public, all users and indirect users of groundwater supplies in the San Gabriel Basin.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit SF 424 Application for Federal Assistance documents; detailed project technical descriptions and budgets; and all information required under the terms of the applicable appropriations law.

Award Procedure:

Bureau of Reclamation personnel will review (1) the application package to ensure that all required documents are complete, accurate, and satisfy all provisions of applicable law, (2) the project budgets and description to ensure the appropriation language requirements are met, and (3) the project descriptions for technical issues and consistency with applicable policies, and budgets for appropriateness of cost estimates. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed by both parties.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Typical range of 2 to 6 weeks.

Appeals:

Not Applicable.

Renewals:

Extensions may be applicable and are subject to appropriated funds. Revised SF-424 forms are required when applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 35%. A minimum of 35 percent non-Federal cost share is required by Public Law 106-554, Section 110(a)(3)(B), as amended. The cost share can be provided from any non-Federal source; and, in accordance with Section 110(a)(3)(B)(iii), credit toward the non-Federal cost share can be given for the value of certain prior project expenditures.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements for planning and construction projects are generally awarded for up to 5 years. Funds for operation and maintenance can be provided for a period not to exceed 10 years following the initial date of operation of the project, or until the project funding ceiling has been reached, whichever occurs first. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. State, Local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-5483-0-2-301.

Obligations:

(Project Grants) FY 09 \$3,410,000; FY 10 est \$3,470,000; FY 11 est \$3,450,000

Range and Average of Financial Assistance:

\$2,149,000 to \$69,000,000; Average \$3,443,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not available. Fiscal Year 2010: Three existing grant agreements are being funded. One will complete the construction of a specific facility, and the other two provide funds for the operation and maintenance of four facilities that extract contaminated groundwater from the San Gabriel

Basin, treat it to potable standards, and use the water for potable purposes. The projects provide a safe source of potable water while remediating groundwater contamination that has been designated as operable units under the EPA superfund program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 43 CFR 12, OMB Circulars, Program guidelines: Department of the Interior, Delegation of Authority for the San Gabriel Basin Restoration Project, Order No. 3241, Amendment No. 4; San Gabriel Basin Water Quality Authority, Federal Funding Program Administration guidelines, <http://www.wqa.com/pdfs/FFPA3.pdf>. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dennis Wolfe, Area Engineer, Southern California Area Office, Telephone: (951)695-5310; Fax: (951)695-5319; E-mail: dwolfe@usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/lc/socal/>

RELATED PROGRAMS:

15.504 Water Reclamation and Reuse Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: San Gabriel Valley Groundwater Remediation, Central Basin Water Quality Protection Plan. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are limited to the San Gabriel Basin Water Quality Authority or the Central Basin Municipal Water District. Projects must improve the quality of the groundwater in the San Gabriel Basin, located in Los Angeles County, California. The scopes of work must address and be responsive to the authorizing legislation, the final engineering reports, and the appropriation ceiling.

15.527 SAN LUIS UNIT, CENTRAL VALLEY PROJECT

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

San Luis Unit, Central Valley Project Act of June 3, 1960, Public Law 86-488, 74 Stat. 156, Section 5.

OBJECTIVES:

Construction and operation of drainage facilities designed to serve the general area of which the lands to be served by the San Luis Unit are a part.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

To be used for projects that will result in construction and operation of drainage facilities in the San Luis Unit and adjacent areas.

Applicant Eligibility:

Any responsible source, to include irrigation and/or water districts, State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, and property owners.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal experts in the program area; however, non-Federal experts may be utilized for specific project reviews. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no statutory requirement for cost sharing; however, applicants are encouraged to provide a cost share whenever possible. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will be included in funding announcements for this program on www.grants.gov. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$6,900,000; FY 10 est \$4,600,000; FY 11 est \$4,900,000

Range and Average of Financial Assistance:

\$63,000 to \$6,485,000; Average \$5,466,666.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During FY 09 and FY 10, financial assistance agreement were awarded in support of the Westside Regional Drainage Plan to control and reduce selenium and salt contamination in the Grasslands wetlands and lower San Joaquin River. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation.

Regional or Local Office:

See Regional Agency Offices. Technical/Program Information: Bureau of Reclamation, South-Central California Area Office, Attention: Michael C.S. Eacock, 1243 N Street, Fresno, CA, 93721. Telephone: (559)487-5133. Fax: (559) 487-5130. E-mail: ceacock@mp.usbr.gov.

Acquisition Office: Bureau of Reclamation, Mid-Pacific Regional Office, Acquisition Services, 2800 Cottage Way, Room E-1815, Sacramento, California 95825-1898. Telephone: (916) 978-5130. Fax: (916) 978-5175/5182. E-mail: 2WG3@mp.usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.mp.usbr.gov>.

RELATED PROGRAMS:

15.506 Water Desalination Research and Development Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Construction and operation of facilities to manage agricultural drainage water, including systems to irrigate salt tolerant crops with drain water; environmental mitigation, monitoring physical and biological parameters; peer review; and publication of data and technical reports. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov.

15.528 ST. MARY STORAGE UNIT FACILITIES REHABILITATION PROJECT

St. Mary Rehabilitation Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Energy and Water Development Appropriations Act, 2006, Title II, Public Law 109-103.

OBJECTIVES:

The funds are to be used on a non-reimbursable basis to fund the collection of

technical and environmental data to be used to evaluate potential rehabilitation of the St. Mary Storage Unit facilities, Milk River Project, Montana.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements may be awarded to the state of Montana and/or the Blackfoot Tribe to carry out the project if the Secretary of the Interior determines such agreements would be cost-effective and efficient.

Applicant Eligibility:

The State of Montana and the Blackfoot Tribe of Montana.

Beneficiary Eligibility:

The citizens of the State of Montana and the Blackfoot Tribe of Montana.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

If requested, the Bureau of Reclamation's Great Plains Region will assist both the State of Montana and Blackfoot Tribe of Montana in preparing their respective proposals. Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with (1) A detailed written technical proposal including background data regarding the applicant, technical approach proposed to accomplish the work, a scope of work that separates the work into major tasks, type of personnel implementing the proposal, experience in performing this type of work, timeline(s), the level of cooperation with other parties involved in the proposal, and other information that may be useful for proposal evaluation. (2) A detailed project cost proposal, including a budget with the estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the value of any in-kind contributions of goods and services.

Award Procedure:

Once the parties agree with their respective scopes of work, Reclamation's Great Plains Region will negotiate and enter into cooperative agreements with both the State of Montana and the Blackfoot Tribe. The Tribe may choose to enter into an agreement under Public Law 93-638 the Indian Self-Determination and Education Assistance Act) Agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funding is available until all currently appropriated funds have been expended for this program. Additional funding for the program is at the discretion of Congress. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There is no time restriction placed on the use of the funds. A schedule will be established between the Bureau of Reclamation and the recipient at the time of

award. Any request for a time extension must be in writing in accordance with the provisions stated in the agreement. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit reports on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$2,208,000; FY 10 est \$3,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range is \$0 to \$500,000; Average \$1,902,667.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Modifications to an intake on Milk River and Endangered Species Act mitigation efforts. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Montana Area Office: Mr. Dan Jewell, Area Manager, Bureau of Reclamation, Address: PO Box 30137, Billings, MT, 59107-0137, Telephone: (406)247-7698, E-mail: djewell@usbr.gov.

Headquarters Office:

Douglas Davis, Bureau of Reclamation, Great Plains Region Office , P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101 Email: douglasdavis@usbr.gov Phone: (406) 247-7606 Fax: (406) 247-7695.

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Project elements that are funded recently include modifications to an intake on the Milk River and Endangered Species Act mitigation activities. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scopes of work must address and be responsive to the authorizing legislation and the appropriation ceiling.

15.529 UPPER COLORADO AND SAN JUAN RIVER BASINS ENDANGERED FISH RECOVERY PROGRAMS

Upper Colorado and San Juan River Recovery Implementation Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs, Public Law 106-392, 114 Stat. 1602, as amended; Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs Extension, Public Law 107-375, 116 Stat. 3113.

OBJECTIVES:

To authorize the Bureau of Reclamation to provide cost sharing for the endangered fish recovery implementation programs for the Upper Colorado and San Juan River Basins.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Recovery Implementation Programs are designed to help recover the Colorado Pikeminnow, the Razorback Sucker, Bonytail, and the Humpback Chub while allowing water development to continue in the Upper Colorado and San Juan River Basins. The term "Recovery Implementation Programs" means the intergovernmental programs established pursuant to the 1988 and 1992 Cooperative Agreement described in Section 2 of the Act. The major portion of the work (between 90 percent to 97 percent) will be accomplished through mandatory grant and cooperative agreement awards established by these intergovernmental Cooperative Agreements. The types of projects authorized by these Cooperative Agreements include Administration of Water Rights; Oversight of the Development of Water Resources; Protection and Management of Fish and Wildlife Resources; Projects related to Tribal Sovereignty; Long Range Plan and Program Goal Development; Protection, Management and Augmentation of Habitat; Interactions Between Native and Non-Native Fish Species; and Monitoring and Data Management. Periodically, the Bureau of Reclamation will have discretionary funding available to make awards for certain types of projects. When this project funding becomes available, funding opportunity announcements will be posted to www.Grants.gov or Fedbizopps.gov.

Applicant Eligibility:

Federal, Interstate, Intrastate, State and Local governments; Public Institution/Organizations, and Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility:

Indian Tribes in the location of the San Juan River Basin and in the Duchesne River Basin, and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Depending on the project to be accomplished, an environmental impact statement may be required for this program, but not until after receipt of applications. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All discretionary funding opportunities will be posted on www.grants.gov or fedbizopps.gov. The funding opportunity will include the name of the Federal agency, the Funding Opportunity Title of work associated with the project, the application due date, eligible applicants, the federal funding amounts per agreement, the estimated number of agreements to

be awarded, and the overall total amount of funding available for awards. All applicants, whether submitting projects under the mandatory or discretionary portions of this authority, will be required to submit a Standard Form 424, Application for Federal Assistance, and Standard Forms 424B or 424D for Assurances must also be submitted. A detailed budget worksheet guide form will be included to assist in preparation of the budgeted amount requested. The Funding Opportunity posting will identify the program description, background and purpose, program objectives, objective or request for proposals, eligible projects, types of projects, and program authority. General provisions and special provisions, along with reporting requirements will be identified to provide applicants with information that must be followed if an award is made. The funding opportunity will identify a point of contact for assistance or consultation for preparing the form.

Award Procedure:

Upon receipt of applications by the date identified in the announcement(s), the applications will be reviewed to determine if applications are consistent with requirements identified in the announcements. The application/proposal(s) are reviewed by a Federal technical team of experts (along with state and private advisors) against identified criteria. Negotiations, revised applications, or awards will be made based on recommendations from the technical experts. Proposals submitted under the mandatory authority portion of this program will undergo a similar review and screening procedure.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 Days.

Appeals:

The Bureau of Reclamation will, however, provide all applicants with information on why their proposals were not selected.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Non-Federal contributions to capital projects shall be made in accordance with the provisions of Sec. 3(c) of the Act. Contact the Regional Office listed in the Contacts section, below, for further information regarding cost sharing requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements and grants are normally written for a 1 to 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in

accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4081-0-3-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$7,097,944; FY 10 est \$7,005,670; FY 11 est \$7,005,670

Range and Average of Financial Assistance:

\$10,000 to \$322,000; Average \$7,036,428.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: About 120 scopes of work were funded for both the Upper Colorado and San Juan Programs per year in 2009 and 2010. These projects fulfilled recovery element criteria described in the Program's recovery actions plans to recovery endangered fish and ensure continued water development in the Colorado River basin. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Mark McKinstry, Bureau of Reclamation, 125 South State Street, Salt Lake City, UT 84138; Phone: (801) 524-3835; and E-mail: mmckinstry@usbr.gov.

Headquarters Office:

NA NA, Washington, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/uc/wcao/rm/sjrip/> and <http://www.fws.gov/southwest/sjrip/index.html/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Operation and Maintenance of Gages Important to Recovery Implementation Program.
Historical assessment factors affecting young Colorado pikeminnow abundance and physical habitat availability in the Green River Utah.
Annual operation and maintenance of the fish passage structure at Redlands Diversion Dam on the Gunnison River.
Smallmouth bass control in the lower Yampa River within Yampa Canyon.
Operation and maintenance of Grand Valley endangered fish facilities Native fish response to non-native fish control in the Middle Green River, Utah.
Abundance Estimates for Colorado pikeminnow in the Green River Basin, Utah and Colorado.
Determining the genetic relatedness of humpback chub from the Yampa River that are being held in captivity.
Upper Colorado River Endangered Fish Recovery Program Communications/Public Involvement Plan.
Propagation, rearing and stocking of Colorado pikeminnow.
Operation of PNM Fish Passage Facility by Navajo Nation.
Sub-adult and adult large-bodied fish monitoring in the San Juan River.
Larval fish monitoring in the San Juan River.
Specimen identification and curation of fishes from the San Juan River.
Non-native species control in the San Juan River.
Habitat mapping and evaluation in the San Juan River.
Purchase of PIT tags and readers for endangered fish in the Colorado Basin.
Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcement posted on www.grants.gov for fedbizopps.gov.

15.530 WATER CONSERVATION FIELD SERVICES PROGRAM (WCFSP)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Soil and Moisture Conservation Act of 1935, Public Law 74-46, 49 Stat 163, 16 U.S.C. 590 et seq., (through Section 6 of Reorganization Plan No. IV - 1940); Fish and Wildlife Coordination Act, Public Law 85-624, 75 Stat. 563, 16 U.S.C. 661 et seq. (through Secretarial delegation at 255 DM 14); Reclamation Projects Authorization And Adjustment Act of 1992, Title XVI-Reclamation Wastewater and Groundwater Studies, and Title XXXIV-Central Valley Project Improvement Act, Public Law 102-575; Energy and Water Development Appropriations Act, 2006, Title II, Section 205, Publication Law 109-103.

OBJECTIVES:

The goal of the Water Conservation Field Services Program (WCFSP) is to proactively encourage water conservation in the operations of recipients of water from

Federal water projects, to assist agricultural and urban water districts in preparing and implementing water conservation plans in accordance with the Reclamation Reform Act of 1982 (RRA), and to complement and support State and other conservation programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding is to be used for projects that fall under the following categories: (1) development of water management and conservation plans; (2) demonstration activities; and (3) implementation of efficiency measures. Financial assistance is generally limited to \$100,000 or less.

Applicant Eligibility:

Subject to authorizing legislation. For projects funded under the authority of P. L. 111-11, eligible applicants include: State or Territory agencies or departments with water or power delivery authority, e.g., State departments of water resources, State engineer's offices, and other State or Territory agencies, departments, and boards with water management authority. Federally recognized Indian tribes with water or power delivery authority. The term "Indian tribe" has the meaning given in Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b). Irrigation and water districts. Municipal water or power delivery authorities. Other organizations with water or power delivery authority. Applicants must also be located in the western U.S. or Territories as identified in Reclamation Act of June 17, 1902, as amended and supplemented; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

The general public and irrigation or water districts located in the 17 western States identified in the Act of June 17, 1902, as amended; specifically, Arizona, California, Colorado, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, and Wyoming.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. The number of awards and maximum funding amount per award are determined on an annual basis and are dependent upon the amount of available funding in each Region.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Bureau of Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Continuation of funding for activities within the WCFSP from one fiscal year to the next is at the discretion of Congress. Generally, applicants should propose projects that can be completed within 24 months from the project start date. However, multi-year proposals may be considered if it can be demonstrated that there will be measurable on-the-ground accomplishments each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Federal share of the cost of a project activity carried out under the WCFSP shall generally not exceed 50 percent of the total cost of the project activity, and dependent upon the project and authorizing legislation, the Federal share of project funding may be less than 50 percent. Costs of operation, maintenance, repair and rehabilitation of facilities funded under the authority of this program shall be nonfederal opportunities. Cost-share requirements vary upon Region. Please contact the Regional or Area Office Water Conservation Coordinator located in the Region in which the proposed project is located for specific information. Projects awarded under the following authorities have mandatory recipient cost sharing requirements as follows: Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV-Central Valley Project Improvement Act, Pub. L. 102-575, cost share varies in accordance with the type of project (see Sec. 3406 and 3407); and Section 9504 of the Omnibus Lands Management Act of 2009, P.L. 111-11 requires a 50% cost share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects typically are awarded for up to 24 months; however, some projects may be awarded for periods of up to 5 years. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit annually Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit annually the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-1968-0-1-301.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$2,532,102; FY 10 est \$2,500,000; FY 11 est \$2,500,000

Range and Average of Financial Assistance:

\$5,000 to \$100,000; Average \$2,510,701.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Assistance to districts towards development of water conservation plans, assistance with implementation of efficiency improvement measures identified in plans, demonstrations of new technology, leveraging of resources through collaboration between water districts and other entities by encouraging and developing partnerships. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Regional contact information may be found at <http://www.usbr.gov/waterconservation/wtrctrs.html>. This website includes a map to help you locate the appropriate office for your area.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/waterconservation/>.

RELATED PROGRAMS:

15.507 Water 2025

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (i) Reclamation and the National Park Service have entered into an agreement to cost-share the rehabilitation of obsolete irrigation systems and replacement of non-native vegetation at Cottonwood Cove and Echo Bay campgrounds in the Lake Mead National Recreation Area. The project is expected to save more than 53 million gallons of water use by 70 percent. (ii) Through WCFSP funding, the Fresno Irrigation District will install automatic canal gates and necessary equipment for remote flow rate monitoring at a critical location on the Washington Colony Canal. The project is estimated to save 2,700 acre/feet of water per year. (iii) With WCFSP funding, the East Bay Municipal Utility District will install and test approximately 100 fixed network acoustic leak detection technology "loggers" to detect leaks on pipeline and provide early detection of pipeline leaks before they become main failures. The District estimates the project will save 340 acre/feet of water per year. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.531 YAKIMA RIVER BASIN WATER ENHANCEMENT PROJECT (YRBWEP)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Yavapai-Prescott Indian Tribe Water Rights Settlement Act of 1994, Title XII, Yakima River Basin Water Enhancement Project, Public Law 103-434.

OBJECTIVES:

Within the Yakima River Basin: (1) to protect, mitigate, and enhance fish and wildlife through improved water management; improved instream flows; improved water quality; protection, creation and enhancement of wetlands; and by other appropriate means of habitat improvement; (2) to improve the reliability of water supply for irrigation; (3) to authorize a Yakima River basin water conservation program that will improve the efficiency of water delivery and use; enhance basin water supplies; improve water quality; protect, create and enhance wetlands, and determine the amount of basin water needs that can be met by water conservation measures; (4) to realize sufficient water savings from the Yakima River Basin Water Conservation Program so that not less than 40,000 acre-feet of water savings per year are achieved by the end of the fourth year of the Basin Conservation Program; (5) to encourage voluntary transactions among public and private entities which result in the implementation of water conservation measures, practices and facilities; and (6) to provide for the implementation by the Yakima Indian Nation at its sole discretion of (A) an irrigation demonstration project on the Yakima Indian Reservation using water savings from system improvements to the Wapato Irrigation Project, and (B) a Toppenish Creek corridor enhancement project integrating agricultural, fish, wildlife, and cultural resources.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To participate in the Conservation Basin Program an entity must submit a proposed water conservation plan to the Secretary of the Interior. Assistance is limited to those entities (districts, irrigation districts, individuals, or other entities) that equip all surface water delivery systems within their boundaries with volumetric water meters or equally effective water measuring methods within five years of the date of enactment of this Act. The Yakima Nation is not included in the provisions of this, Section 1203, except as to any funds specifically applied for from the Basin Conservation Program. The Secretary of the Interior may use appropriated funds to purchase, or lease, land, water, or water rights from an entity or individual willing to limit or forego water use on a temporary or permanent basis. Funds used for purchase or lease under this paragraph are not subject to the cost sharing provisions of Section 1203(d).

Applicant Eligibility:

State of Washington, and Federally Recognized Indian Tribal Governments, water and irrigation districts, and water rights owners located in the project area.

Beneficiary Eligibility:

Anyone/General Public, Intrastate, Local, Individual/Family, and Federally Recognized Indian Tribal Governments within the project area.

Credentials/Documentation:

Eligible entities must submit a proposal to develop a Water Conservation Plan. Once the Water Conservation Plan has been developed it is to be submitted to the Bureau of Reclamation, Pacific Northwest Region, Upper Columbia Area Office, in accordance with the information included in the funding opportunity announcement that will be posted at www.grants.gov. Only entities with approved Water Conservation Plans are eligible for consideration for feasibility studies. Only entities with an approved Water Conservation Plan and approved feasibility study are eligible for potential implementation of conservation

measures. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Single Source/Notice of Intent to Award announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of personnel from the Bureau of Reclamation, Pacific Northwest Regional Office, who are qualified experts in the program area. Applications will be reviewed against YRBWEP Authority criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Entities meeting the basic criteria for participation in the YRBWEP must enter into a cost sharing agreement prior to beginning project work.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Unless otherwise stated in the Act: (1) Development of water conservation plans 50 percent nonFederal share, but not more than \$200,000 per recipient; (2) Investigation of specific water conservation measures 50 percent State share and 20 percent local share, but sum of (1) and (2) shall not be greater than \$200,000 per recipient; (3) and (4) Implementation and post implementation monitoring and evaluation 17.5 percent State share, and 17.5 percent local share. (Section 1203(d)). MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are normally written for a 1 to 5 year period. Implementation agreements may be written to cover the period estimated in the approved Water Conservation Plan and Feasibility Study. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on a quarterly basis Program Performance Reports. Annually, recipients shall submit an annual Program Performance Report. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-301 - Recovery Act; 14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$4,238,906; FY 10 est \$5,192,661; FY 11 est \$7,500,000. (Cooperative Agreements) FY 09 \$21,400,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Range is \$5,000 to \$44,700,000; Average \$12,777,189.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09 and FY 10 funds were obligated to Benton Irrigation to begin construction of a pump diversion and piping of BID's entire water delivery system. In FY 09 funds were awarded to the Roza Irrigation District to update their Feasibility Study and to Keenewick Irrigation District to prepare a Feasibility Study of conservation measures in there conservation plan. In FY 2009 and FY 2010, Sunnyside Division Board of Control will continue their improvement projects. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. These documents may also be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Dawn Wiedmeier, Acting Area Manager, 1917 Marsh Rd., Yakima, WA 98901. Telephone: (509)575-5848, Fax: (509)454-5611, E-mail: dwiedmeier@do.usbr.gov; or Walt Larrick, Assistant YRBWEP Manager, 1917 Mash Rd., Yakima, WA 98901, Phone: (509)575-5848 ext. 209, email: wlarrick@pn.usbr.gov.

Headquarters Office:

Director, Bureau of Reclamation, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-3100.

Website Address:

<http://www.usbr.gov/pn/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available
Fiscal Year 2010: Conduct Feasibility Investigations of measures identified in irrigation district Water Conservation Plans and implementation of water conservation measures identified in the Feasibility Investigations.
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov. Initial selection based on projects that would meet/fulfill the objectives of YRBWEP. After Water Conservation Plans are approved, feasibility studies are approved for those projects/measures that appear to result in the greatest benefit to YRBWEP. Implementation awards are based on those that are the most cost effective and result in the greatest water savings.

For Recovery Act projects: The purposes are among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet

these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.532 CENTRAL VALLEY PROJECT, TRINITY RIVER DIVISION, TRINITY RIVER FISH AND WILDLIFE MANAGEMENT

Trinity River Restoration Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Central Valley Project Improvement Act (CVPIA), 1992, Public Law 102-575, Title 34, Section 3406(b)(1).

OBJECTIVES:

To address impacts of the Central Valley Project (CVP) on fish, wildlife, and associated habitats in the Trinity River basin of California by protecting, restoring, and enhancing such habitats and to address other identified adverse environmental impacts of the Central Valley Project.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funding is discretionary and intended for projects that support the goals and objectives of the Program and meet the requirements specified in the CVPIA and Record of Decision.

Applicant Eligibility:

State and local entities, public nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian Tribal governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi- public nonprofit institutions/organizations, and other private institutions/organizations.

Beneficiary Eligibility:

General public, public institutions/organizations, Federally recognized Indian Tribal Governments, small businesses, profit organizations, private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, and other private institutions/organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Funding Opportunity Announcement (FOA) will be posted at Grants.gov as funding is made available by the Bureau of Reclamation. Applications shall include: 1) A detailed written technical proposal that should include information such as a scope of work which separates the work into major tasks, the approach proposed to accomplish the work, type of personnel who will participate in accomplishing the work, background and location of applicant, any equipment that will be utilized by the project applicant, experience in this type of work, an estimate of the costs and/or cost savings, any economic benefit, and any other information that may be useful for proposal evaluation. 2) A detailed cost proposal including a budget covering salaries and wages, fringe benefits, travel, equipment, supplies, subcontracts, indirect cost rates, funding sources and commitments, cost-sharing, and a breakdown of costs by task. In addition, applicants must submit all applicable SF 424 forms, which are referenced in the FOA.

Award Procedure:

The number of awards, maximum funding amount per award, and areas of interest for which proposals will be considered, are determined on an annual

basis and are dependent upon the annual appropriation from Congress. Proposals received in response to the FOA are reviewed on the basis of a competitive, merit-based review process, and are rated in accordance with the evaluation criteria stated in the FOA. The review team will consist of Federal personnel and qualified non-Federal personnel when specific expertise is required by the review team. The Bureau of Reclamation will make awards to the highest rated proposals based on the amount of funding available each year. The Bureau of Reclamation reserves the right to prioritize projects based on availability of funds and to ensure balance among the program objectives listed in the FOA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project. Further information will be provided for each specific project at the time the funding opportunity announcement is posted on www.grants.gov.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: This program has no statutory formula or matching requirements; however, project cost sharing is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Proposals will be requested for projects of varying durations. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the following reports on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-300.

Obligations:

(Cooperative Agreements) FY 09 \$1,460,542; FY 10 est \$1,301,058; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

Range is \$25,000 to \$720,000; Average \$1,420,533.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To date, program accomplishments include completion of modifications on four bridges, modification of floodplain infrastructures allowing for peak releases of up to 11,000 cubic feet per second, completion of the two largest releases for fishery restoration purposes since the opening of the dams in 1963, and construction of 17 rehabilitation restoration sits. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Trinity River Restoration Program, Attn: Jennifer Faler, P.O. Box 1300, Weaverville, CA 96093. Telephone: (530) 623-1802. Fax: (530) 623-5944.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

<http://www.usbr.gov/mp/nao/> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Public Information and Outreach, Watershed Coordination, Target Watershed Implementation, Fisheries Survey, Habitat Assessment, and Integrated Information Management System. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria will vary according to project and will be included in the funding announcements posted on www.grants.gov.

15.533 CALIFORNIA WATER SECURITY AND ENVIRONMENTAL ENHANCEMENT

California Bay-Delta Authorization Act (CALFED)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Water Supply, Reliability, and Environmental Improvement Act, Title I California Water Security and Environmental Enhancement, Public Law 108-361.

OBJECTIVES:

The purpose of the CALFED Bay-Delta Authorization Act is to authorize the Secretary of the Interior and the heads of the other Federal implementing agencies to carry out specific activities identified in the Act in furtherance of the CALFED Bay-Delta Program as set forth in the CALFED Bay-Delta Program Record of Decision dated August 28, 2000. The primary objectives of the program are to expand water supplies to ensure efficient use through an array of projects and approaches, improve water quality from source to tap, improve the health of the Bay-Delta system through restoring and protecting habitats and native species, and improve the Bay-Delta levees to provide flood protection, ecosystem benefits, and protect water supplies.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Available funding is limited to grants and cooperative agreements with agencies of the State of California for Program-wide management, oversight and coordination activities as defined in section 103(f)(A) of Public Law 108-361.

Applicant Eligibility:

Agencies of the State of California.

Beneficiary Eligibility:

The general public located in and near the California Bay-Delta area.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Environmental compliance maybe required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must submit all applicable SF-424 forms; and a statement of work separating the work into major tasks, level of cooperation with parties involved, and how the subject proposal will provide the outcome sought.

Award Procedure:

Applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified Federal or non-Federal experts in the program area. Applications will be reviewed against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project. Maximum funding amount is dependent upon the annual appropriation from Congress.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the type and complexity of the project or activity.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The Federal share of the costs of a project or activity carried out under this Program may vary dependent upon activity and authority. Costs of operation, maintenance, repair and rehabilitation of facilities shall be a nonfederal responsibility. Per Section 103(f)(4)(B), PROGRAM-WIDE ACTIVITIES, of the amount referred to in subparagraph (A), not less than 50 percent of the appropriated amount shall be provided to the California Bay-Delta Authority to carry out Program-wide management, oversight, and coordination activities.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally for 24 months or less; multi-year projects may be considered if justified and annual accomplishments can be demonstrated. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis quarterly basis the Federal Financial Report SF-425 and upon completion of the agreement, recipients shall submit a final SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0687-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$6,524,363; FY 10 est \$5,000,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

Range is \$80,000 to \$1,000,000; Average \$5,508,121.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$750,000 was awarded in FY 2009 for the continuation of the development of the CALFED Project Performance Information System (CPPIS). An additional \$51.7 was awarded to various water users within California to support and encourage voluntary water banks and other market-based measures, promote the use of new technology for water conservation and efficiency, and remove institutional barriers in order to increase cooperation and collaboration among federal, state, tribal, and private organizations. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12, www.access.gpo.gov/nara/cfr/cfr-table-search.html#page1, and OMB Circulars www.whitehouse.gov/omb/grants/grants_circulars.html. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. U.S. Bureau of Reclamation, Mid-Pacific Region, 2800 Cottage Way, Special Projects Office (MP-120), Sacramento, CA 95825; Telephone: (916) 978-5022; Fax: (916) 978-5528.

Headquarters Office:

NA NA, NA, District of Columbia NA Phone: NA

Website Address:

www.mp.usbr.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects funded in FY 2009 include a Real-Time Detection/Response System with Las Virgenes Municipal Water District; an Industrial Process Water Use Program with Municipal Water District of Orange County; a Riparian Habitat Establishment Model with University of California, Davis; installation of Weather Based Irrigation Controllers with the Long Beach Water Department; and the Residential One-Stop Installation Program with Rancho California Water District. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in application packages and any funding announcements posted on www.grants.gov.

**15.534 MISCELLANEOUS PUBLIC LAW 93-638 CONTRACTS, GRANTS, AND COOPERATIVE AGREEMENTS
FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title I, Public Law 93-638, 25 U.S.C 450 ; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Project funds are to be provided on a non-reimbursable basis for construction and/or non-construction activities which are primarily for the benefit of Indians because of their protected status. For ARRA funded projects and in addition to the program objectives above, the Section 3 of the Act states the following objectives: to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements; Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Contracts, grants, and cooperative agreements are authorized for construction and non-construction projects. Certain restrictions will be applicable based on the specific statutory authority that authorizes the project. This CFDA number will not be utilized if there is a more specific CFDA number applicable to the project.

Applicant Eligibility:

Federally recognized Indian tribes and tribal organizations in the 17 western states are eligible applicants; however, eligibility alone does not establish a "program."

Beneficiary Eligibility:

Federally recognized Indian tribes and tribal organizations.

Credentials/Documentation:

(1) Each contract, grant, or cooperative agreement requires project specific authority. (2) Detailed written technical proposals including background data regarding the applicants, technical approaches proposed to accomplish the work, scopes of work that separate the work into major tasks, types of personnel implementing the proposals, experience in performing these types of work, timeliness, the levels of cooperation with other parties involved in the proposals, and other information that may be useful for proposal evaluation. (3) Detailed project cost proposals, including budgets with estimated project costs, including salaries and wages, fringe benefits, travel, equipment, supplies, contracts, and indirect costs, and the values of any in-kind contribution of goods and services. (4) The awarded contract, grant, or cooperative agreement must contain an authorizing resolution of the governing body of the Indian tribe. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending on the scope of the specific project funded. This may result in the need for an environmental impact assessment or environmental impact statement prior to the commencement of project activities. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Initial proposals must contain the information specified as 25 CFR Part 900. Proposals shall be submitted to the applicable Reclamation office with responsibility for the specific project for which a Tribe or tribal organization proposes to participate. Reclamation will provide technical assistance to Tribes in the development of their proposals as requested, pending availability of appropriations.

Award Procedure:

Reclamation and the Tribe develop a negotiation schedule and then negotiate the overarching contract/award terms, provisions and conditions based on the requirements of Public Law 93-638, 25 CFR Part 900, any specific

requirements of the project authorizing legislation, and other applicable Federal laws and regulations. Reclamation and the Tribe also negotiate an Annual Funding Agreement (AFA), which identifies activities to be performed, funds to be provided, and the method of payment for the initial year of the contract. A final contract agreement is prepared based upon the outcome of the negotiations; attachments include the work plan, budget, tribal resolution, initial AFA, property list, list of any applicable provisions, terms, and conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As specified for the project.

Appeals:

Not Applicable.

Renewals:

Will vary with project or award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of projects will vary. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. The reporting of progress report requirements will be negotiated within the AFA or overarching terms and conditions. Projects funded under the American Recovery and Reinvestment Act of 2009 will have additional reporting requirements as described in the award terms and conditions. Recipients are required to report quarterly progress in www.FederalReporting.gov. The Federal Financial Report SF 425 is required. The reporting of financial status report requirements will be negotiated within the AFA or overarching terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Indian Tribal governments and organizations shall maintain project records in accordance with 43 CFR 12.82, Public Law 93-638, and 25 CFR Part 900.

Account Identification:

14-0680-0-1-301; 14-0681-0-1-301 - Recovery Act TAFS 14-0681 2009/2010.

Obligations:

(Cooperative Agreements) FY 09 \$52,500; FY 10 est \$100,000; FY 11 est \$100,000. (Cooperative Agreements) FY 09 \$36,236,380; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Range is \$5,000 to \$500,000; Average \$12,162,960.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A variety of activities with tribes, including those relating to the settlement of Indian water rights. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

25 U.S.C. 450 (Public Law 93-638, 25 CFR Part 900, OMB Circular A-87 (2 CFR 225), and OMB Circular A-133.

Regional or Local Office:

None.

Headquarters Office:

Commissioner, Office of the Commissioner, Bureau of Reclamation, 1849 C Street, N.W., Washington, District of Columbia 20240-0001 Phone: (202) 513-0501.

Website Address:

<http://www.usbr.gov/native/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Gila River Indian Community - which includes various features of a project designed to deliver and distribute water for irrigation. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applicants must meet the requirements of Public Law 93-638, 25 CFR Part 900 and any additional criteria specific to the authorizing legislation for the project. The project must be contractible under Public Law 93-638.

For Recovery Act funded projects the purposes are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environment protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.535 UPPER COLORADO RIVER BASIN FISH AND WILDLIFE MITIGATION PROGRAM.

FEDERAL AGENCY:

Department of the Interior

AUTHORIZATION:

Fish, Wildlife, and Recreation Mitigation and Conservation, Title 3, Section 314, Public Law 102-575; Reclamation Projects Authorization and Adjustment Act of 1992; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To protect, restore and enhance wetland and upland ecosystems for the conservation of fish and wildlife resources in the upper Colorado River basin. For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The purpose is to benefit fish and wildlife resources adversely affected by the construction and operation of Federal water resource developments authorized by the Colorado River Storage Project Act of 1956 (Public Law 84-485). Projects are for fish and wildlife habitat improvements and recreation in accordance with the goals and criteria established by Congress in Section 314 of the Central Utah Project Completion Act (CUPCA). Projects supplement historic water resource development mitigation that has occurred, expand previous mitigation efforts to address unforeseen circumstances, or otherwise benefit associated wildlife habitat and public interests. By statutory restriction, funds are expended outside the state of Utah.

Applicant Eligibility:

State and local government agencies, Federal recognized Indian Tribal

governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; for-profit organizations, interstate and intrastate entities, and individuals. While entities within the state of Utah are eligible to receive funds under this program, funds must be expended for project activities outside the State of Utah.

Beneficiary Eligibility:

State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; for-profit organizations, interstate and intrastate entities, and individuals. While entities within the state of Utah are eligible to receive funds under this program, funds must be expended for project activities outside the State of Utah.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants submit written technical and budget proposals in accordance with guidance stated in the annual program announcement. The standard application forms required by 43 CFR 12, Subparts C and F, must be used. Preparation of Environmental Impact Statement/Environmental Assessment and compliance with the Federal Endangered Species Act and other Federal environmental laws may be prerequisites, based on impacts of the proposed action, prior to receiving federal assistance/funding. State Plans are not required.

Award Procedure:

Projects ranking highest against the program criteria for selecting proposals as stated in the annual funding announcement (see Criteria for Selecting Proposals, below), and consistent with available funding, are requested to submit a draft grant or cooperative agreement along with supporting forms and documents. Applicants will work with the CUPCA program office to complete required documents and execute the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Funding decisions are made at least annually. Deadlines for applications are stated in the annual program solicitation of proposals. Contact the program office for information on deadlines for applications in the current year. The range of the approval time is 90 days.

Appeals:

Not Applicable.

Renewals:

Recipients may request renewal of existing projects or additional projects to meet program goals and objectives. Renewals are contingent upon Congressional appropriations to the program, a continued high relevancy ranking based on program criteria, and recipients satisfactory progress on the approved scope of work.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program does not have a statutory formula or matching requirement. However, the program seeks to leverage available Federal funding and will give enhanced consideration to applicants offering cost-sharing participation in the form of contributed funds, in-kind labor, materials or equipment contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally written for a 1 to 5 year period with funding provided only for the current year. See Renewals, above. See the

following for information on how assistance is awarded/released: No information provided.

Reports:

All projects and activities are monitored for progress and compliance with the agreed-upon scope of work. Projects funded under the American Recovery Act of 2009 will be required to have additional reporting requirements as described in announcement packages at www.grants.gov. Written quarterly progress reports detailing project accomplishments are generally required, as are written reports upon completion of the project. Periodic site visits and internal reports are completed by the CUPCA program office. Recipients are required to submit periodic requests for advance or reimbursement of funds using the SF-270, or may request payments through the Automated Standard Application for Payments (ASAP) system. Recipients also submit Financial Status Reports annually within 90 calendar days after the grant year (i.e., 12 months after the approved effective date of the assistance agreement and every 12 months thereafter until the expiration date of the assistance agreement). A final Financial Status Report is required within 90 calendar days after the expiration or termination of the assistance agreement. Written quarterly progress reports detailing project accomplishments are generally required, as are written reports upon completion of the project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0787-0-1-301; 14-0786-0-1-301 - ARRA Funds.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$270,000; FY 11 est \$0. (Cooperative Agreements) FY 09 \$24,000; FY 10 est \$37,000; FY 11 est \$39,000

Range and Average of Financial Assistance:

\$5,000 to \$200,000; Average \$123,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Created, restored and enhanced waterfowl wetlands; restored riparian (streamside) habitats damaged by invasive plant species, secured major conservation easements protecting upland wildlife range; developed specific guidance on revegetation of native riparian plants after removal of non-native invasive species; monitored effects of significant bio-control agents. Fiscal Year 2010: Not available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Requirements and previous Program Accomplishment Reports are available from the Information Contacts listed below.

Regional or Local Office:

See Regional Agency Offices. Central Utah Project Completion Act Office, Mitigation Program Coordinator, 302 East 1860 South, Provo, Utah 84606; Telephone: (801) 379-1254; Fax: (801) 379-1209; E-mail: rswanson@uc.usbr.gov.

Headquarters Office:

Assistant Secretary of the Interior for Water and Science 1849 C Street, N.W., Mail Stop MIB6640, Washington, District of Columbia 20240 Email: rswanson@uc.usbr.gov Phone: (801) 379-1254 Fax: (801) 379-1209.

Website Address:

<http://www.cupcao.gov>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.631 Partners for Fish and Wildlife; 15.637 Migratory Bird Joint Ventures

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Waterfowl and shorebird wetlands improvements; riparian habitat restorations; including removal of invasive plants and reestablishment of native riparian vegetation; conservation easements protecting both streamside upland wildlife range; a manual to guide land managers in the revegetation of native riparian plants after removal of non-native invasive plant species; biological monitoring of non-target plant and wildlife species after introduction of a bio-control agent.

Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for funding projects have been established by Congress in the authorizing legislation (Public Law 102-575) at Section 314(c). Generally, these criteria require that projects restore damaged natural ecosystems on public lands and waterways affected by Federal Reclamation projects in the upper Colorado River basin. In addition, priority is given to projects that assist recovery of endangered or sensitive species or ecosystems, allow reintroduction of native flora and fauna, control or eliminate exotic pest species, or provide enhanced conservation value to state and local government lands. A complete list of program criteria is available at the address listed in Information Contacts (:151). Projects that leverage grant funds with applicant funds, or in-kind services, will receive bonus consideration, but not automatic preference. For ARRA funded projects, the evaluation criteria also address the following priorities established in the Conference Report for Title IV: (a) Programs, projects, or activities that can be obligate/executed quickly; (b) Programs, projects, or activities that will result in high, immediate employment; (c) Programs, projects, or activities that have little schedule risk; (d) Programs, projects, or activities that will be executed by contract or direct hire of temporary labor; and (e) Programs, projects, or activities that will complete either a project phase, a project, or will provide a useful service that does not require additional funding. Specific evaluation criteria will be included in the annual funding opportunity announcement.

15.536 DESCHUTES RIVER CONSERVANCY (DRC)

Deschutes River Conservancy (DRC)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Oregon Resource Conservation Act of 1996 , Public Law 110-229, 110 Stat. 009.

OBJECTIVES:

The DRC is a 501(c)3 private non-profit organization dedicated to restoring stream flow and improving water quality in Oregon's Deschutes Basin. The DRC brings together irrigators, environmental groups, and state, federal, and tribal agencies to restore the Deschutes River and its tributaries through basin planning, large-scale agricultural water conservation, and cooperative market-based approaches.

For ARRA funded projects and in addition to the program objectives above, the section 3 of the ARRA states the following objectives:

- (1) To preserve and create jobs and promote economic recovery.
- (2) To assist those most impacted by the recession.
- (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health.
- (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.
- (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Will propose ecological restoration projects on both Federal and non-Federal lands and waters to be undertaken in the Deschutes River Basin based on a consensus of the Working Group, provided that such projects, when involving

Federal land or funds, shall be proposed to the Bureau of Reclamation in the Department of the Interior . . . with affected land or funds..

Applicant Eligibility:

Deschutes River Conservancy.

Beneficiary Eligibility:

General public, government agencies, and water management authorities located in the Deschutes River Basin.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

These projects have met the requirements of the National Environmental Policy Act (NEPA) of 1969. Any proposed changes in the scope of the project will be reviewed for continued compliance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Annual work plans and budgets are negotiated annually. The applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with technical proposals and budget narratives.

Award Procedure:

Annual work plans and budgets are negotiated annually. The applicants must submit all applicable SF-424 Application for Federal Assistance forms, along with technical proposals and budget narratives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50.%. In accordance with Sec. 301, subsection (b)(3) of Public Law 104-208, as amended by Public Law 110-229, Federal project funding is limited to, under subsection (h) of this title up to 50 percent of the cost of performing any project proposed by the Working Group and approved by the Secretary, up to a total amount of . . . \$2,000,000 during each of fiscal years 2007 through 2016. Additionally, under subsection (b)(6), The Bureau of Reclamation is authorized to provide by grant to the Working Group not more than 5 percent of funds appropriated pursuant to subsection (h) of this title for not more than 50 percent of administrative costs relating to the implementation of this title.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for these projects was authorized to be appropriated for fiscal years 2007 through 2016. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document,

recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0681-0-1-300 - Recovery Act; 14-0680-0-1-300.

Obligations:

(Cooperative Agreements) FY 09 \$1,762,250; FY 10 est \$1,900,000; FY 11 est \$0. (Cooperative Agreements) FY 09 \$137,000; FY 10 est \$0; FY 11 est \$1,900,000

Range and Average of Financial Assistance:

\$0 to \$2,000,000; Average: \$1,899,750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Same as stated above. Fiscal Year 2010: In FY 09 and FY 10, the DRC has continued to restore streamflows through water conservation projects as well as through three programs: water conservation, water leasing and water transfers. 147 cubic feet per second (CFS) of water was protected instream in 2009 with over 200 miles of rivers enhanced through DRC activities. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars.

Regional or Local Office:

See Regional Agency Offices. Mr. Richard Rieber, Biologist, Bureau of Reclamation, 1150 North Curtis Road, Boise, ID 83706. Telephone: (208) 378-5313, E-mail: rrieber@pn.usbr.gov.

Headquarters Office:

Bryant Lyndaker, 1150 North Curtis Road, Boise, Idaho 83706 Email: blyndaker@pn.usbr.gov Phone: (208) 378-5138.

Website Address:

<http://www.deschutesriver.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Water leasing resulting in 72 cfs left instream; completion of Swalley Main Canal piping project returning 7 cfs to the Deschutes River; and North Unit Irrigation District completion of piping 2500 feet of a ditch with pipe to conserve water. Fiscal Year 2010: Three Sisters Irrigation District Main Canal Piping Project resulting in 3 cfs restored to Whychus Creek benefitting ESA listed bull trout and steelhead; Central Oregon Irrigation District Juniper Ridge Project which piped 2.25 miles of open canal and restoring 20 cfs of streamflow to the Deschutes River; and completion of the McKenzie Canyon Piping Project which piped 30,000 feet of open canal resulting in 3 cfs returned to Whychus Creek. Canal lining and piping projects will prevent the seepage of irrigation water into porous volcanic soil, allowing irrigation districts to better manage their water supplies and providing water for streamflow restoration. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The scope of work must address and be responsive to the authorizing Legislation and the appropriation ceiling. The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in

transportation, environmental. For ARRA funded projects: protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.537 MIDDLE RIO GRANDE ENDANGERED SPECIES COLLABORATIVE PROGRAM

Collaborative Program

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Energy and Water Development Appropriations Act 2005, Public Law 108-447, 118 Stat. 2949; Consolidated Appropriations Act of 2008, Public Law 110-161; Energy and Water Development Appropriations Act 2006, Public Law 109-103, 119 Stat. 2256.

OBJECTIVES:

The purpose of the Middle Rio Grande Endangered Species Act Collaborative Program (Program) is to protect and improve the status of endangered listed species along the Middle Rio Grande (MRG) and to simultaneously protect existing and future regional water uses while complying with state and federal laws, including Rio Grande compact delivery obligations. Listed species means federally listed species under the Endangered Species Act (ESA), with special emphasis on the Rio Grande silvery minnow (silvery minnow) and the southwestern willow flycatcher (flycatcher).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance may be used in furtherance of the goals and objectives of the Collaborative Long-Term Plan which focuses on alleviating jeopardy of the silvery minnow and the flycatcher, and the conservation and contribution to the recovery of both listed species while protecting existing and future water uses within the geographic boundaries of the Program area. The Program Area is defined as: the headwaters of the Rio Chama watershed and the Rio Grande, including tributaries, from the New Mexico-Colorado state line downstream to elevation 4,450 ft mean sea level, the elevation of the spillway crest of the Elephant Butte Dam. All appropriated funds are discretionary.

Applicant Eligibility:

Not available.

Beneficiary Eligibility:

Not available.

Credentials/Documentation:

Whenever applicable, all necessary federal, state, local, and/or private access, collection, and other environmental permits must be provided. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts (federal and non-federal stakeholders) in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the

funding announcement. Final selection will be determined by the designated Grants Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov. The range of approval or disapproval time varies depending on the type and complexity of the project. Further information will be available for each specific project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact in the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Federal assistance is typically available during the current fiscal year of appropriations. For planning purposes, grant opportunities are posted during the first and second quarters of the fiscal year. Depending on the number and magnitude of qualified projects, more funds are available during the first half of the fiscal year. The Program expects all awarded funds to be expended during the fiscal year in which grant awards were made or no more than 2 years from the time of grant award.

For more complex projects that may involve environmental compliance, design, feasibility studies, construction and implementation, effectiveness monitoring, and other related activities, the project will be divided into phases. The project will then be funded incrementally at phase level costs. Funding of subsequent phases will be based on project viability, effectiveness, and fund availability. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project

records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

\$35,000 to \$2,100,000; Average \$666,666.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Project funded for the furtherance of the goals and objectives of the Collaborative Long-Term Plan which focuses on alleviating jeopardy of the silvery minnow and the flycatcher, and the conservation and contribution to the recovery of both listed species while protecting existing and future water uses within the geographic boundaries of the Program area. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars. Program guidelines: <http://www.mrgesa.com>.

Regional or Local Office:

See Regional Agency Offices. Lisa Croft, Program Manager, Telephone: (505)462-3541, Fax: (505)462-3783, E-Mail: lcroft@uc.usbr.gov.

Headquarters Office:

Director Bureau of Reclamation, Albuquerque Area Office, 555 Broadway Blvd., NE, Albuquerque, New Mexico 87102 Phone: (505)462-3540 Fax: (505)462-3794

Website Address:

<http://www.mrgesa.com> and <http://www.usbr.gov/uc/albuq/index.html>

RELATED PROGRAMS:

15.517 Fish and Wildlife Coordination Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in the funding announcements posted on www.grants.gov.

15.538 LOWER COLORADO RIVER MULTI-SPECIES CONSERVATION PROGRAM.

MSCP

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Omnibus Public Land Management Act, Title IX, Section 9401-9404, Public Law 111-11.

OBJECTIVES:

The MSCP's purposes are: protect the lower Colorado River environment while ensuring the certainty of existing river water and power operations, address the needs of threatened and endangered wildlife under the Endangered Species Act, and reduce the likelihood of listing additional species along the lower Colorado River.

For Recovery Act funded projects and in addition to the program objectives stated above, Section 3 of the Act states the following objectives: (1) To preserve and create jobs and promote economic recovery; (2) To assist those most impacted by the recession; (3) To provide investments needed to increase economic efficiency by spurring technological advances in science and health; (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The planning area for the LCR MSCP is defined as areas up to and including the full-pool elevations of Lakes Mead, Mohave, and Havasu and the historical floodplain of the Colorado River from Lake Mead downstream to the Southerly International Boundary with Mexico. The planning area provides habitat for Covered Species listed as endangered or threatened under the ESA; additionally there are region specific species that are inclusive of this program. Visit <http://www.lcrmscp.gov> for specific information.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental compliance for this program may be required depending upon the scope of the specific project funded. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Varies by project. Deadline information will be included in all funding opportunity announcements posted on www.grants.gov.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally awarded for 1 to 3 years. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Periodic performance progress reports, final reports, and other specific reports that may be applicable to the agreement such as property inventories, patent, and invention disclosure as specified in the award terms and conditions.

Projects funded under the American and Recovery and Reinvestment Act of 2009 will have additional quarterly reporting requirements as described in the terms and conditions are required. Cash reports are not applicable. Progress reports are not applicable. Periodic SF 425 Federal Financial Report and final SF 425 as specified in the agreement terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301 - Recovery Act; 14-0681-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$2,988,237; FY 10 est \$1,182,980; FY 11 est \$1,182,980. (Cooperative Agreements) FY 09 \$266,208; FY 10 est \$250,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$100,000 to \$500,000; Average \$1,956,801.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Implemented of LCR MSCP conservation measures. Completed yearly research and monitoring for bird, bat mammal and fish species. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations (CFR) 43 CFR 12 and applicable Office of Management and Budget Circulars.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

John Swett PO Box 61470, Boulder City, Nevada 89006 Phone: (702) 293-8555

Website Address:

<http://www.lcrmscp.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See annual work plan at <http://www.lcrmscp.gov>. Research and monitoring for various fish, and bird species and for research for habitat restoration. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Need to meet LCR MSCP requirements for implementation of conservation measures outlined in the Habitat Conservation Plan. This can be found at <http://www.lcrmscp.gov>. For ARRA funded projects: The purposes of the Recovery Act are, among others, to quickly and prudently commence activities that preserve and create jobs and to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.539 EQUUS BEDS DIVISION ACQUIFER STORAGE RECHARGE PROJECT

Equus Beds Aquifer Storage Recharge Project

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Wichita Projects Equus Beds Division Authorization Act of 2005, Public Law 109-299.

OBJECTIVES:

To design and construct the City of Wichita's Aquifer Storage Recharge project to divert excess flow from the Little Arkansas River into the Equus Beds Aquifer in order to recover depleted storage and protect the Aquifer.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The program exists to provide funding (as appropriated by Congress) to the city of Wichita for reimbursement of the design and construction of the City's ASR project to divert excess flow from the Little Arkansas River into the Equus Beds Aquifer. Authority terminates 10 years from enactment of P.L. 109-299. The City of Wichita will hold title to all facilities.

Applicant Eligibility:

The City of Wichita.

Beneficiary Eligibility:

M & I and agricultural waters users of South-central Kansas.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Project construction may not be initiated until Reclamation has completed the NEPA compliance process (EIS). An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Annual work plans and budget are submitted annually.

Award Procedure:

The Great Plains Regional Office approves award and distribution of award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

City must submit quarterly requests within 30 days of the end of the reporting period. Authority to cost share expires October 2016.

Appeals:

Not Applicable.

Renewals:

Additional funding for the program is at the discretion of Congress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: City will fund 100% of project on a quarterly basis and receive reimbursement for the lesser of 25 percent or \$30 million (indexed from January 2003).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A 10-authorization currently exists for this program. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Quarterly progress reports, annual report, and significant developments are reported as necessary. Cash reports are not applicable. Progress reports are not

applicable. Quarterly and final Federal Financial Report, SF 425 are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$1,606,682; FY 10 est \$1,500,000; FY 11 est \$50,000

Range and Average of Financial Assistance:

Range is \$0 to \$2,000,000; Average \$1,052,227.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Phase II program administration and design occurred in FY09 and FY10. Reclamation has disbursed funding for five quarterly requests so far in FY2009 and FY2010. EIS was completed by Reclamation and project construction started in the second quarter of FY10. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR Part 12 and the OMB Circulars. These documents may be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Benjamin Claggett (GOTR), Oklahoma-Texas Area Office, 5924 NW 2nd Street, Suite 200, Oklahoma City, OK 73127-6514. Phone: (405)470-4829, Fax: (405)470-4807 and E-mail: bclaggett@usbr.gov.

Headquarters Office:

Lindsey Nafts, Bureau of Reclamation, Great Plains Regional Office, P.O. Box 36900, 316 North 26th Street, Billings, Montana 59101-6900 Email: LNafts@usbr.gov Phone: (406) 247-7684.

Website Address:

<http://www.usbr.gov/gp/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: All funding for program goes to City of Wichita for Equus ASR related expenses. Design and administration of Phase II occurred in FY 09 and construction for Phase II started in the 2nd quarter of FY10. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Those scopes of work must address and be responsive to the authorizing legislation, the final engineering report and the appropriation ceiling.

15.540 LAKE MEAD/LAS VEGAS WASH PROGRAM

Las Vegas Wash (LVW Program)

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Water Resources Development Act of 2000 and Energy and Water Appropriation Acts., Public Law 106-541; Soil Moisture Conservation Act of 1935, Public Law 74-46.

OBJECTIVES:

The objective of this program is to develop and implement management

strategies for the Las Vegas Wash to improve water quality, habitat integrity, and reduce the salinity and sediment transport while providing environmental enhancement and recreational opportunities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The Secretary of Interior shall continue to participate in implementation of the Project.

Applicant Eligibility:

The Secretary of the Interior shall continue to participate in implementation of the Project at Las Vegas Wash and Lake Mead in accordance with the Comprehensive Adaptive Management Plan (Plan): Provided, that issuance of any such grants shall not modify the cost sharing requirements provided in section 529(b) of Public Law 106-541.

Beneficiary Eligibility:

The Southern Nevada Water Authority (SNWA) and the General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Projects, Standard Form 424B, Assurances for Non-Construction Projects, Standard Form 424C, Budget for Information for Construction Projects, Standard Form 424D, Assurance for Construction Projects, Standard Form LLL, Disclosure of Lobbying Activities, and written proposals should be submitted via hard-copy, directly to the Program Manager and include: a title, background, objectives, performance schedule, points of contact, most current audit and indirect cost documentation, corrective action plan if needed, any other documentation that is directly associated with the Projects. Funding is authorized, mandatory, so posting on grants.gov is not necessary. This is a cost share program.

Award Procedure:

For grant agreements awarded as a component of the eligible beneficiary (SNWA) and project, approved by the Secretary of the Interior, and funded under Public Law 106-541 and subsequent amendments, all Federal rules, regulations, and agency specific guidelines for awarded procedures will be followed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Award time varies depending on the type and complexity of the project. Most awards are anticipated within 90 days or less after receipt of the application forms and proposal submissions, and relies on the passing of a fiscal year appropriations bill for the availability of authorized funds.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to the availability of appropriated funds. The SNWA must submit written requests for renewals or extensions director to the Program Manager for approval, at least 45 days prior to the expiration of the specific project agreement. Once the term of the project is expired, no renewal or extension will be approved, due to non-compliance with the terms and conditions of the specific projects

agreement.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In accordance with Section 529(b) of Public Law 106-541, the cost share for the SNWA is 35 percent, Reclamation's is 75 percent, and the authorized appropriated amount is limited to a ceiling of \$20,000,000.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no specific restrictions for these projects; however, most of the projects are awarded for a 1 to 3 year period and funded on a year-by-year basis. No projects exceed a 5 year period. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit the on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Reports; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Project Grants) FY 09 \$1,354,812; FY 10 est \$1,401,888; FY 11 est \$450,000

Range and Average of Financial Assistance:

Range is \$25,000 to \$300,000; Average \$1,068,903.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Completed bank protection at Firestation weir and along approximately 3000 feet of bank throughout the Wash. Revegetated approximately 56 acres with native plants. Continued outgoing activities including biological resource studies, revegetation site design and monitoring archaeological investigations, water quality monitoring and monitoring of threatened and endangered bird species. New studies include large and small mammal monitoring, water quality monitoring of the Nature Preserve ponds, and bioassessment monitoring. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations 43 Part 12 and the OMB Circulars. These documents may be obtained by contacting the Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Resources Management Office, P.O. Box 61470, Boulder City, Nevada 89006. Telephone (702)293-8501. LC 2721.

Headquarters Office:

Director, Bureau of Reclamation, Resource Management Office, P.O. Box 61470, LC-2721, Boulder City, Nevada 89006 Phone: (702) 293-8501.

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: LVW Bioassessment Monitoring, Water Quality Monitoring of the DU/NP Wetlands and Removal of the Pittman Wash Pilot, LVW Mammal Monitoring, LVW T&E and Aquatic Bird Species Monitoring, LVW Coordination Committee Program Management, LVW Archaeological Investigations, Clark County Wetlands Park Monitoring, Fire Station Weir Bank Protection, Reptile and Amphibian Monitoring, Selenium Characterization and Removal Study. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Las Vegas Wash Coordination Committee has decided that the SNWA will be the lead agency for receiving funding for this program due to the high costs and types of environmental improvement and enhancement, and ability to maintain restoration projects. The Las Vegas Wash Program plays an important role in environmental and water resource issues in Southern Nevada. Historically, the Las Vegas was an ephemeral stream carrying storm flows from the Las Vegas Valley to Lake Mead and the Colorado River. Urban development over the past 60 years has resulted in the formation of the wetlands that helped remove nutrients from these wastewater flows. As the rate of discharges increased, erosion also increased, gradually destroying the existing natural treatment systems and wildlife habitat and creating a large delta in the Las Vegas Bay of Lake Mead. Accelerating erosion, declining water quality, and loss habitat are some of the more pressing issues. Proposals submitted will be reviewed and awarded based on priority fulfillment of the 44 action items identified in the Plan and the availability of funding appropriations.

15.541 COLORADO RIVER BASIN PROJECTS ACT OF 1968

Colorado River Basin Projects Act

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Colorado River Basin Act of September 30, 1968 amended by the Colorado River Basin Project Act, December 20, 1982., Public Law 90-537.

OBJECTIVES:

It is the objective of this Act under Title I, to provide a program for the further comprehensive development of water resources of the Colorado River Basin and for the provision of additional and adequate water supplies for the use in the upper as well as in the lower Colorado Basin. This program is declared to be for the purposes, among others, of regulating the flow of the Colorado River; controlling floods; improving navigation; providing for the storage and delivery of waters of the Colorado River for reclamation of lands, including supplemental water supplies, and for municipal, industrial, and other beneficial purposes; improving water quality; providing for basic public outdoor recreation facilities; improving conditions of fish and wildlife, and the generation and sale of electrical power as an incident of the foregoing purpose.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Title II, Investigations and Planning -- With respect to coordination of studies, investigations and assessments, the Secretary of Interior, through the Bureau of Reclamation, shall conduct full and complete reconnaissance investigation for the purpose of developing a general plan to meet the future water needs of the Western United States. Such investigations shall include the long-range water supply available and the long-range water requirements in each water resource region of the Western United States.

Title III, Authorized Units: Protection of Existing Uses -- The "Central Arizona Project" (CAP) is a multipurpose water resource development and management project which provides irrigation, municipal and industrial water, power generation, flood control, outdoor recreation, environmental enhancement, and sediment control. In addition, the project will provide delivery of tribal homeland water, partial settlement of Indian water rights claims, and economic

benefits accruing from leasing of Indian agricultural water rights to municipal entities.

Applicant Eligibility:

Must have a water allocation and water delivery from Reclamation water resource project.

Beneficiary Eligibility:

The general public in the state of Arizona, irrigation and water districts and local entities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF24 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area. Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Area Office Manager or his designated representative.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contingent upon the type and complexity of authorized units under the program or project. Further information will be available for each specific program or project at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact on the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will be included in each funding announcement for this program on www.grants.gov. See the following for information on how assistance is awarded/released: Payment terms vary by agreement awarded under this program.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and

other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF-425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4079-0-3-301.

Obligations:

(Cooperative Agreements) FY 09 \$32,429,210; FY 10 est \$20,681,183; FY 11 est \$18,312,563

Range and Average of Financial Assistance:

Range is \$25,000 to \$500,000; Average \$23,807,652.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: GRIC P-MIP Design completed for WS-IA modification, Reach ST-1B and St. Flood Protection, Casa Blanca Canal, Pima Lateral BW-1A on and off-reservation, WsIC Well tie-in. Delivery system construction of Consolidated Canal, Four Mile Post Pump station, WS-IA modification, complete WS-ID pipeline cathodic protection and construction of bridges and check structures for Pima Lateral. Tohono O'odham Nation San Xavier District completion activities of the Existing Farm Delivery and Irrigation System, Santa Cruz Dike and Mission Wash modification, ROW appraisal, Tohono O'odham Nation Water Management Plan, San Carlos Apache Tribe Alternative Study, Camp Verde Apache Tribe Appraisal Level Study, Salt River Project Habitat Conservation Plan, Environmental Compliance. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Carol Lynn Erwin, Bureau of Reclamation, 6150 W., Thunderbird Road, Glendale, Arizona 85306-4001 Phone: (623) 773-6200.

Website Address:

<http://www.usbr.gov/lc/phoenix/>

RELATED PROGRAMS:

15.509 Colorado River Basin Salinity Control Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Ongoing Construction of the Pima-Maricopa Indian Irrigation Project of the Gila River Indian Community, Tohono O'odham Nation CAP Water Delivery System for Shuck Toak District, Tohono O'odham Nation CAP Water Delivery System for the San Xavier District, Feasibility and Alternative Studies for Tohono O'odham Nation Sif Odiak District, San Carlos Apache Tribe, Camp Verde Apache Tribe, Tonto Apache Tribe CAP Water Allocations under the Indian Distribution Division of the CAP. Salt River Project Habitat Conservation Plan, Environmental Compliance. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in each funding announcements

posted on www.grants.gov.

15.542 ARIZONA WATER SETTLEMENT ACT OF 2004

Arizona Water Settlement Act

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Arizona Water Settlement Act of 2004, Public Law 108-451.

OBJECTIVES:

To provide for adjustments to the Central Arizona Project in Arizona, to authorize the Gila River Indian Community water rights settlement, to reauthorize and amend the Southern Arizona Water Rights settlement Act of 1982, for the settlement of water rights to the San Carlos Apache Tribe and for other purposes.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Title I, "Central Arizona Project Settlement" - With respect to: (1) the water provided by the Central Arizona Project to Maricopa, Pinal, and Pima Counties in the State of Arizona, is vital to citizens of the State; and (2) an agreement on the allocation of Central Arizona Project water among interested persons, including Federal and State interests, would provide important benefits to the Federal Government, the State of Arizona, Arizona Indian Tribes, and the citizens of the State. In accordance with the CAP repayment contract, the Central Arizona Project may be used to transport non-project water for: (1) domestic, municipal, fish and wildlife, and industrial purposes; and (2) any purpose authorized under the Colorado River Basin Project Act (43 U.S.C. 1501 et seq.). Title I, Section 104, Authorization of Appropriation: Authorized to be appropriated such sums as are necessary to comply with: (1) the 1994 biological opinion, including any funding transfers required by the opinion; (2) the 1996 biological opinion, including any funding transfers required by the opinion; and (3) any final biological opinion resulting from the 1999 biological opinion, including any funding transfers required by the opinion. Title II, "Gila River Indian Community Water Rights Settlement" - the purposes of this title is: (1) to resolve permanently certain damage claims and all water rights claims among the United States on behalf of the Community, its members, allottees, and the Community and its neighbors; (2) to authorize, ratify, and confirm the Gila River agreement; (3) to authorize and direct the Secretary to execute and perform all obligations of the Secretary under the Gila River agreement; (4) to authorize the actions and appropriations necessary for the United States to meet obligations of the United States under the Gila River agreement and this title; and (5) to authorize and direct the Secretary to execute the New Mexico Consumptive Use and Forbearance Agreement to allow the Secretary to exercise the rights authorized by subsections (d) and (f) of section 304 of the Colorado River Basin Project Act (43 U.S.C. 1524). Title III, "Southern Arizona Water Rights Settlement" - the purposes of this title are: (1) to authorize, ratify, and confirm the agreements referred to in section 309(h); (2) to authorize and direct the Secretary to execute and perform all obligations of the Secretary under those agreements; and (3) to authorize the actions and appropriations necessary for the United States to meet obligations of the United States, under those agreements and this title. Title IV, San Carlos Apache Tribes Water Rights Settlement- with respect to the: none of the provisions of title I, II, or III or the agreements, attachments, exhibits, or stipulations referenced in those titles shall be construed to: (1) amend, alter, or limit the authority of (A) the United States to assert any claim against any party, including any claim for water rights, injury to water rights, or injury to water quality in its capacity as trustee for the San Carlos Apache Tribe, its members and allottees, or in any other capacity on behalf of the San Carlos Apache Tribe, its members, and allottees, in any judicial, administrative, or legislative proceeding; or (B) the San Carlos Apache Tribe to assert any claim against any party, including any claim for water rights, injury to water rights, or injury to water quality in its own behalf or on behalf of its members and allottees in any judicial, administrative, or legislative proceeding consistent with title XXXVII of Public Law 102-575 (106 Stat. 4600, 4740); or (2) amend or alter the CAP Contract for the San Carlos Apache Tribe dated December 11, 1980, as amended April 29, 1999.

Applicant Eligibility:

Must have a water allocation and water delivery contract from a Reclamation water resource project.

Beneficiary Eligibility:

The general public, state of Arizona, state of New Mexico, irrigation and water districts, local entities and Tribal Government.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF 424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligibility and compliance with the requirements stated in the funding opportunity announcement. Applications passing this screening process will be forwarded for review by a proposal evaluation panel comprised of qualified experts in the program area (indicate whether reviewers are Federal personnel). Applications will be reviewed against the proposal evaluation criteria and any additional review factors stated in the funding announcement. Final selection will be determined by the Area Office Manager or his designated representative.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contingent upon the type and complexity of authorized units under the Act. Further information will be available each specific authorized activity under the Act at the time the funding opportunity announcement is posted on www.grants.gov and may be obtained by contacting the Reclamation personnel listed as the point of contact on the funding announcement.

Appeals:

None. Final award decisions are not subject to appeal; however, upon request, the Bureau of Reclamation will provide applicants with information on why their proposals were not selected for award.

Renewals:

If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will be included in award issued under this program. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall

submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-4079-0-3-301.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$0; FY 10 est \$37,000,000; FY 11 est \$68,154,000

Range and Average of Financial Assistance:

Range \$400,000 to \$8,000,000; average \$35,051,333.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and OMB Circulars.

Regional or Local Office:

None.

Headquarters Office:

Carol Lynn Erwin, Bureau of Reclamation, Phoenix Area Office, 6150 W. Thunderbird Road, Glendale, Arizona 85306-4001 Phone: (623) 773-6200.

Website Address:

<http://www.usbr.gov/lc/phoenix>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: San Carlos Indian Irrigation District conceptual pre-design and design, environmental clearances and construction of the lining and rehabilitation of the non-Indian features of the San Carlos Indian irrigation Project. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific evaluation criteria will be included in each funding announcement posted on www.grants.gov.

15.543 LAKE TAHOE REGIONAL WETLANDS DEVELOPMENT PROGRAM**FEDERAL AGENCY:**

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Resolution, Water and Related Resources, Title 2, Public Law 108-7.

OBJECTIVES:

To assist in addressing the past degradation of Lake Tahoe and its watershed by undertaking projects to meet the environmental thresholds as defined in the Tahoe Regional Planning Agency's Environment Improvement Program. The environmental thresholds of interest include water quality, soil conservation, wildlife, fisheries, and vegetation.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

All activities are environmental improvement projects to improve water quality and conditions for species and habitats in the Lake Tahoe Basin. The amount of funding available for projects is highly variable from year to year.

Applicant Eligibility:

All activities are environmental improvement projects to improve water quality and conditions for species and habitats in the Lake Tahoe Basin. The amount of funding available for projects is highly variable from year to year.

Beneficiary Eligibility:

Awards made under this program are intended to benefit the general public by maintaining and improving environmental quality in general, and water quality in particular, of the Lake Tahoe Basin.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding announcements for this program, along with registration procedures, application packages and instructions, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened by Bureau of Reclamation personnel for eligibility and compliance with the requirements stated in the program funding announcement. Applications passing this screening process will be forwarded for review by the issuing office. Qualified experts from the Bureau of Reclamation will review applications against the proposal evaluation criteria, and any additional review factors, as stated in the funding announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending on the number of proposals received and the complexity of the project. Generally, offices review and select proposals for award within 60 days of proposal submission.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information on why their proposals were not selected for award.

Renewals:

Some projects may be eligible for extension. If renewals or extensions are applicable to the project, this information will be included in the funding opportunity announcement. When renewals or extensions are applicable, continuation of funding for these activities is at the discretion of Congress and will be subject to availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are typically awarded for periods of 1 to 3 years, with potential for extension up to 5 years total. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report, and other specific reports that may be applicable to the agreement such as property

inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$2,500,000; FY 11 est \$2,200,000

Range and Average of Financial Assistance:

\$75,000 to \$500,000; average \$1,566,667.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Assistance agreements have been awarded to State, local and regional governmental entities for activities associated with the Environmental Improvement Program (EIP) at Lake Tahoe such as stream restoration planning and implementation and control of aquatic invasive species. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable Office of Management and Budget Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

None.

Headquarters Office:

Myrnie Mayville, Bureau of Reclamation, 3800 Cottage Way, Mail Code 150, Sacramento, California 95825 Phone: (916) 978-5037.

Website Address:

<http://www.usbr.gov/mp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants and cooperative agreements with entities for planning and construction stream and riparian restoration of Rosewood Creek on the Nevada side of Lake Tahoe. This and other previously funded projects will provide incremental progress towards meeting the environmental threshold goals. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria used for selecting a recipient are based on a balanced and informed review of a technical proposal, budget proposal, and relevancy to program objectives. The specific evaluation criteria for each project will be included in the funding announcement posted on www.grants.gov.

15.544 PLATTE RIVER RECOVERY IMPLEMENTATION PROGRAM PRRIP

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Consolidated Natural Resources Act of 2008, Section 515, Public Law 110-229.

OBJECTIVES:

To implement the Platte River Recovery Program Cooperative Agreement which implements certain aspects of the Fish and Wildlife Service's recovery plans for our target species (interior least tern, whooping crane, piping plover and pallid sturgeon) listed as threatened or endangered pursuant to the Endangered Species Act.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To be used for projects to implement the PRRIP.

Applicant Eligibility:

None.

Beneficiary Eligibility:

General public, irrigation and/or water districts, State and local entities, farmers and ranchers, agricultural producers, property owners, municipal water users, and power users.

Credentials/Documentation:

None. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The recipient submits annual work plans and budgets.

Award Procedure:

The cooperative agreement is modified to implement annual work plan and reserve additional funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Consolidated Natural Resources Act, Public Law 110-229. Per authorizing legislation, the States of Colorado, Nebraska, and Wyoming shall contribute not less than 50% of the total contributions necessary to carry out the PRRIP.

Matching Requirements: Percent: 50%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Set forth in the current cooperative agreement. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report. Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$10,350,000; FY 10 est \$11,605,000; FY 11 est \$12,209,000

Range and Average of Financial Assistance:

\$1,000,000 to \$15,000,000; average \$11,388,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Continued implementation of the PRRIP Land, Water and Adaptive Management Plans. Approximately 5100 acres of land has been obtained for habitat through purchase and lease from PRRIP sponsors. All of the PRRIP protocols for monitoring species and habitat are in place. Feasibility studies for the implementation of various Water Action Plan projects have been initiated. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Wyoming Area Office, P.O. Box 1630, Mills, WY 82644.

Headquarters Office:

John Lawson, Bureau of Reclamation, Great Plains Region, P.O. Box 36900, Billings, Montana 59107-6900 Phone: (307) 261-5671 Fax: (307) 261-5683.

Website Address:

<http://www.platterriverprogram.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Purchase land parcels in Nebraska for habitat conservation. Implementation of aspects of land and water adaptive management plans. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

No new proposals are accepted at this time. Currently all projects are funded through a long-term cooperative agreement with the Nebraska Community Foundation.

15.545 BUNKER HILL GROUNDWATER BASIN, RIVERSIDE-CORONA FEEDER PROJECT

Riverside Corona Feeder

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Omnibus Public Land Management Act of 2009, Section 9112, Public Law 111-11.

OBJECTIVES:

Section 9112 of Public Law 111-11 directs the Secretary of the Interior, acting through the Commissioner, to participate in the planning, design, and

construction of the Riverside-Corona Feeder Project. Section 9112 authorizes the Secretary to provide up to 25 percent of the total cost of the project, not to exceed \$26 million.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This authority is restricted to the planning, design, and construction of the Riverside-Corona Feeder Project.

Applicant Eligibility:

The Secretary is authorized to cooperate with the Western Municipal Water District, Riverside County, California, in the planning, design, and construction of the Riverside-Corona Feeder Project.

Beneficiary Eligibility:

Water users serviced by the Western Municipal Water District.

Credentials/Documentation:

Funds for planning, design, and construction of the Riverside-Corona Feeder will not be disbursed until a cooperative agreement is executed. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Funding provided for the Riverside-Corona Feeder is mandatory funding.

Award Procedure:

Reclamation will work with the Western Municipal Water District to negotiate cooperative agreements for one or more phases of the project.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Continuation of funding from one fiscal year to the next to complete one or more phases of the project is dependent on federal appropriations for the project.

Formula and Matching Requirements:

Statutory Formula: Title Omnibus Public Land Management Act of 2009, Public Law 111-11. In accordance with Section 9112 of Public Law 111-11, Reclamation can pay up to 25 percent of the costs of planning, designing, and construction the project, not to exceed a total of \$26 million. The Western Municipal Water District must pay all operation, maintenance, and replacement costs.

Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

There are no time restrictions placed on the use the funds and the funds have generally been made available periodically throughout year on a reimbursable basis. A schedule will be planned between Reclamation and the Western Municipal Water District when the cost sharing agreement is negotiated. However, this schedule can be impacted by the availability of funds during the year. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit based on the frequency determined in the financial assistance agreement Program Performance Reports, a final Program Performance Report, and specific reports that may be applicable to the agreement such as property

inventories, and patent and invention disclosures. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit the following reports based on the frequency determined in the financial assistance agreement to submit the SF-425, Federal Financial Reports and a final SF-425. In addition to this requirement and as a result of the U.S. Department of the Interior Office of the Inspector General, Survey Report No 97-I-874 (dated January 13, 1997), Reclamation has instituted a close-out expenditure review and site-visit requirement. This expenditure review is a site visit conducted by Reclamation staff, consisting generally of the Grants Officer Technical Representative (GOTR) and other representative(s) of the Grants Officer with an audit background (usually personnel from the region's finance section). This review is required prior to final closeout for all construction projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$950,000; FY 11 est \$950,000

Range and Average of Financial Assistance:

\$100,000 to \$5,000,000; \$633,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Riverside-Corona Feeder will provide an additional source of potable water to the cities of Riverside and Corona and their vicinities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12 and applicable OMB Circulars. These documents may also be obtained by contacting the Bureau of Reclamation Office listed below.

Regional or Local Office:

See Regional Agency Offices. Bureau of Reclamation, Southern California Area Office, 27708 Jefferson Ave., Suite 202, Temecula, CA 92590. Telephone (951)695-5310.

Headquarters Office:

Will Shipp, Bureau of Reclamation, Office of Program and Policy Services, Mail Code 84-52000, P.O. Box 25007, Denver Federal Center, Denver, Colorado 80225 Email: wshipp@usbr.gov Phone: (303) 445-2799 Fax: (303) 445-6464.

Website Address:

<http://www.usbr.gov/lc/socal/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.546 YOUTH CONSERVATION PROGRAM

FEDERAL AGENCY:

Bureau of Reclamation, Department of the Interior

AUTHORIZATION:

Public Lands Corps Act of 1993 , 16 U.S.C 1721-1730 ; Youth Conservation Corps Act of 1970, 16 U.S.C 1701-07.

OBJECTIVES:

To provide education and work opportunities to young people so they can gain a better understanding of Reclamation's role in conserving water and power resources. Additionally, this program provides opportunities for young people to be involved in conserving Reclamation's natural and cultural resources and recreational opportunities.

For Recovery Act (ARRA) funded projects, section 3 of Act states the following objectives in addition to the program objectives identified above: (1) To preserve and create jobs and promote economic recovery, (2) To assist those most impacted by the recession, (3) To provide investments needed to increase efficiency by spurring technological advances in science and health, (4) To invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits, and (5) To stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Not applicable.

Applicant Eligibility:

Eligibility is limited to youth, veteran, or conservation corps that are able to involve youth ages 15-25 in the 17 Western United States and the Intern Program in Reclamation projects on a nation-wide basis. A qualified service and conservation corps means any program established by a State, or local government, by the governing body of any Indian tribe, or by nonprofit organization.

Beneficiary Eligibility:

Youth and local communities that benefit from conservation improvements and involvement in youth programs activities on Reclamation-owned lands and facilities.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funding opportunity announcements for this program, along with registration procedures, application packages and instructions, SF-424 forms and any other forms to be used to submit application information, points of contact, and procedures for submitting applications will be available on www.grants.gov.

Award Procedure:

All applications will be initially screened for eligible and compliance with the requirements stated in the program funding opportunity announcement. Applications passing this screening process will be forwarded for review by the proposal evaluation criteria, and any additional review factors, as stated in the funding opportunity announcement. Final selection will be determined by the Commissioner, Bureau of Reclamation, or regional officials, as applicable to the project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None. Final award decisions are not subject to appeal; however, the Bureau of Reclamation will provide all applicants with information why their proposals were not selected for award.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. This program requires a nonfederal cost-share, through cash or in-kind services, of at least 25% of the total project costs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are generally awarded for five years, with a reassessment of program accomplishments on an annual basis. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Unless otherwise stated in the agreement document, recipients shall submit on an annual basis Program Performance Reports. Upon completion of the agreement, recipients shall submit a final Program Performance Report; and other specific reports that may be applicable to the agreement such as property inventories, and patent and invention disclosures. For Recovery Act projects, quarterly reporting is required. Cash reports are not applicable. Progress reports are not applicable. Unless otherwise stated in the agreement document, recipients shall submit on an annual basis the SF 425, Federal Financial Report.

Upon completion of the agreement, recipients shall submit a final SF 425, Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State, local and Indian Tribal governments shall maintain project records in accordance with 43 CFR 12.82. All other recipients shall maintain project records in accordance with 43 CFR 12.953.

Account Identification:

14-0680-0-1-301; 14-0681-0-1-301 - Recovery Act.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$100,000; FY 11 est \$1,000,000. (Cooperative Agreements) FY 09 \$0; FY 10 est \$1,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$10,000 to \$1,000,000; average \$700,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: None to date. This program began in Fiscal Year 2010. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations (CFR) 43 CFR 12 and applicable Office of Management and Budget Circulars.

Regional or Local Office:

None.

Headquarters Office:

Wilson Orvis Bureau of Reclamation, Mail Code D-7800, P.O. Box 25007, Denver, Colorado 80225 Email: wxorvis@do.usbr.gov Phone: (303)445-2444

Website Address:

<http://www.usbr.gov>

RELATED PROGRAMS:

15.931 Conservation Activities by Youth Service Organizations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Corps shall consist of individuals between ages of 16 and 25. The Secretary is authorized to enter into contracts and cooperative agreements with any qualified youth or conservation corps to perform appropriate conservation projects. For ARRA funded projects the purposes are, among others, to quickly and prudently commence activities that preserve and create jobs to promote economic recovery, and to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits. To meet these purposes, Reclamation will allocate funds based on the criteria set forth in the Recovery Act and the accompanying conference report, and will target activities that quickly infuse money into the economy.

15.605 SPORT FISH RESTORATION PROGRAM

Dingell-Johnson Sport Fish Restoration Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Dingell-Johnson Sport Fish Restoration Act of 1950, 64 Stat. 430, as amended 16 U.S.C. 777-777n; and 50 CFR Part 80-Administrative Requirements, Pittman Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Act amended July 24, 2008.

OBJECTIVES:

Sport Fish Restoration funds support activities designed to restore, conserve, manage, or enhance sport fish populations; the public use and benefits from these resources; and activities that provide boat access to public waters. Sport fisheries research and management activities; boating access development and maintenance; aquatic resource education activities; lake construction and maintenance; land acquisition; technical assistance; planning; habitat enhancement; administration; coordination; and hatchery construction are eligible under the Act.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Approvable activities include land acquisition; development (including boating access sites); research; operations and maintenance; sport fish population management; and program coordination. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of sport fish that includes a prohibition against the diversion of license fees paid by anglers for any purpose other than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these fishery conservation measures.).

Credentials/Documentation:

Each year within 60 days of the apportionment notice, States, the District of Columbia, Commonwealths, and territories must notify the Secretary of the Interior that they want to participate in the program for the year. The State, the District of Columbia, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid angler license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or

territory for more information on this process when applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - construction). In addition applicants will submit a grant proposals that include a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Formula-based apportionment; The program is funded by a permanent appropriation from revenues collected from taxes on sport fishing equipment, electric outboard motors and tackle boxes, fuel (gasoline) taxes which are attributable to motorboats and small-engine outdoor power equipment, import duties on fishing tackle, pleasure craft and yachts, and interest earned from Sport Fish Restoration and Boating Trust Fund the revenues are deposited into Sport Fish Restoration and Boating Trust Fund in the U.S. Treasury.

A portion of these funds is apportioned annually to the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of Northern Mariana Islands, and the U.S. Virgin Islands according to the following formula prescribed by the Act: 40 percent based on land and water area of the State and 60 percent based on licensed anglers; no State may receive more than 5 percent or less than one-third of 1 percent of the total funds apportioned to the program; the Commonwealth of Puerto Rico is apportioned 1 percent; and Guam, U.S. Virgin Islands; the Commonwealth of Northern Mariana Islands, American Samoa, and the District of Columbia are each apportioned up to one-third of 1 percent of the total apportioned. States and the District of Columbia may be reimbursed up to 75 percent of the total project cost. The Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa must not exceed 25 percent and may be waived at the discretion of the Regional Director. The non-Federal share could come from license fees paid by anglers. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Sport Fish Restoration funds are available for a period of two years. Any funds not obligated within two years by a State, the District of Columbia, Commonwealth, or territorial fish and wildlife agency will revert to the U.S. Fish and Wildlife Service and placed back into the Sport Fish Restoration and Boating Trust Fund for obligation to States, the District of Columbia, Commonwealths, or territories in the following year's apportionment. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Formula Grants) FY 09 \$404,449,843; FY 10 est \$389,552,973; FY 11 est \$366,647,000

Range and Average of Financial Assistance:

Range is \$900,000 to \$14,700,000; Average \$5,200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80 and Fish and Wildlife Service Manual. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

Director, Policy and Program, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

11.407 Interjurisdictional Fisheries Act of 1986; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their fish resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.608 FISH AND WILDLIFE MANAGEMENT ASSISTANCE**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-666; Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-742j; Sikes Act of 1974, as amended, 16 U.S.C. 670(a)-670(o); Alaska National Interest Lands Conservation Act, 16 U.S.C. 3101; Marine Mammal Protection Act of 1972, Public Law 92-522, as amended, 16 U.S.C. 1361-1421; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C. 4701-4741; Great Lakes Fish and Wildlife Restoration Act as amended 1998.

OBJECTIVES:

To provide technical information, advice, and assistance to other Federal agencies, other nations, States, and Native Americans on the conservation and management of fish and wildlife resources, including co-management by Alaskan Natives of marine mammals for subsistence use.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Assistance in the form of biological, chemical, and physical advice regarding land and water management and specific recommendations and plans based on the conditions found to exist. Stocking of fishes from National Fish Hatcheries and advising on wildlife management techniques may be management tools considered. Restoration of aquatic habitats and those habitats that may affect aquatic species and other activities as conducted by a U.S. Fish and Wildlife Conservation Office or other entity of the Fisheries Program.

Applicant Eligibility:

Applicants may be other Federal agencies, State agencies, local governments, Native American Organizations, Interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests which support the mission of the Service on a cost recoverable basis.

Beneficiary Eligibility:

Federal agencies, State agencies, local governments, Native Americans, Interstate, Intrastate, public nonprofit institution/organization, other public institution/organization, private nonprofit/organization, or any other organization subject to the jurisdiction of the United States with interests which support the mission of the Service on a cost recoverable basis.

Credentials/Documentation:

There must be factual evidence of the need for management assistance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete, sign and submit an Application for Federal Assistance, Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction).

Award Procedure:

After considering program commitments and obligations, State, Federal agencies, or Native Americans will generally be informed by letter from the Director or Regional Director, followed by development of a formal Memorandum of Agreement (MOA) or understanding (MOU).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Awards are approved annually. Unfunded projects that are ranked highly by

the Regional Directors of the 8 USFWS Regions are maintained in a FWS database until the project is funded or no longer viable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual award. See the following for information on how assistance is awarded/released: Assistance is provided on a cost recoverable basis.

Reports:

Reports are submitted annually to the entities involved. Occasionally, progress reports are considered to be advisable. Fish and Wildlife Management Plans are developed as required. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

(Provision of Specialized Services) FY 09 \$62,532,000; FY 10 est \$62,532,000; FY 11 est \$62,532,000 - Obligations, by program activity (1334,1335 and 1322).

Range and Average of Financial Assistance:

Range is \$1,000 to \$750,000; Average \$75,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual Project Reports, Special Reports, and Fish and Wildlife Management Plans. See 710FW1 Fish Passage Policy <http://www.fws.gov/policy/710FW1.html> and the National Fish Habitat Action Plan Policy <http://www.fws.gov/policy/717fw1.html>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Larrad Kosa, Fish and Wildlife Service, Fisheries and Aquatic Restoration Conservation, Branch of Fish and Wildlife Management Assistance, 4401 North Fairfax Drive Room 770, Arlington, Virginia 22203 Email: jarred_kosa@fws.gov Phone: (703) 358-2542.

Website Address:

[HTTP://fisheries.fws.gov](http://fisheries.fws.gov).

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: NFPP 2009 Preachers Slough Fish Passage - The upstream connection of Preachers Slough and the Chehalis River is currently blocked by road fill, with no fish passage structure in place. This results in stranded fish during tidal exchange. This project will remove the fill and replace it with a bridge. The restored slough channel will be fully passable to all fish, and hydrologic connectivity will be restored between the river mainstem and the seven miles of Preachers Slough, plus numerous side channels. Fiscal Year 2010: Fish Surveys to ID Priority Watersheds for Brook Trout Habitat Restoration (FPP, GLBFHP, EBTJV). Conduct fish surveys in Tug Hill plateau and St. Lawrence River watersheds to: (1) determine status of brook trout, (2) identify priority watersheds for habitat protection and restoration, and (3) develop habitat restoration projects within priority watersheds. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See 710FW1 Fish Passage Policy <http://www.fws.gov/policy/710FW1.html>.

15.611 WILDLIFE RESTORATION

(Pittman-Robertson Wildlife Restoration Program)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669-669k.
; Pittman-Robertson Wildlife Restoration and Dingell-Johnson Sport Fish Restoration Acts, Title 50, Part 80.

OBJECTIVES:

The Act provides grants to State, Commonwealth, and territorial fish and wildlife agencies for projects to restore, conserve, manage, and enhance wild birds and mammals and their habitat. Projects also include providing public use and access to wildlife resources; hunter education and safety; and the development and management of shooting ranges.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Approved activities include selection, restoration, rehabilitation, and improvement of wildlife habitat; wildlife management research; wildlife population surveys and inventories; land acquisition; coordination; development of facilities; facilities and services for conducting hunter safety. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for conservation of wildlife that includes a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures.).

Credentials/Documentation:

States, Commonwealths, or territories must notify the Secretary of the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grantees submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Average 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory Formula: Public Law 16 U.S.C. 669b. The program is funded by a permanent appropriation from revenues collected from taxes on bows, arrows, archery equipment, sporting firearm, ammunition, handguns, pistols, and revolvers. The revenues are deposited in the Federal Aid to Wildlife Restoration fund in the Treasury. These funds are annually apportioned according to the formula prescribed by the Act: 50 percent based on land area of the State, Commonwealth, or territory and 50 percent based on paid hunting license holders; no State may receive more than 5 percent or less than one-half of 1 percent of the total apportionment; the Commonwealth of Puerto Rico is apportioned up to one-half of 1 percent; and Guam, U.S. Virgin Islands, American Samoa, and Commonwealth of Northern Mariana Islands each receive up to one-sixth of 1 percent of the total apportionment. Section 4(c) Hunter Education and Safety Program Funds are formula-based apportionment based on the population of the States. No State may receive more than 3 percent or less than 1 percent of the total Hunter Education funds apportioned. Commonwealth of Puerto Rico, Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of Northern Mariana Islands receive up to one-sixth of 1 percent of the total apportionment.

Matching Requirements: States may be reimbursed up to 75 percent of the total project cost. The Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, American Samoa may not exceed 25 percent and may be waived at the discretion of the Regional Director. The non-Federal share should come from license fees paid by hunters. Matching and cost sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation for a period of 2 years. Balances

remaining unobligated after the period of availability revert to the Fish and Wildlife Service. Any funds not obligated within 2 years by a State, Commonwealth, or territorial fish and wildlife agency revert to the Fish and Wildlife Service and will be spent under the provision of the Migratory Bird Conservation Act. Also, under the provisions of the Wildlife Restoration Act, the interest accumulated by Wildlife Restoration account is available to the North American Wetland Conservation program. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Progress reports are not applicable. A Federal Financial Report SF 425 is required for each grant award annually within 90 days after the anniversary date and/or end of the grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 09 not reported.; FY 10 est \$363,800,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Range is \$268,000 to \$7,187,000; Average \$2,750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80, Fish and Wildlife Service Manual, Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64, and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Regional U.S. Fish and Wildlife Service Offices.

Headquarters Office:

Policy and Programs, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program, 4402 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.615 Cooperative Endangered Species Conservation Fund; 15.626 Hunter Education and Safety Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territorial agency having lead responsibility for the management of their wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.614 COASTAL WETLANDS PLANNING, PROTECTION AND RESTORATION ACT

National Coastal Wetlands Conservation Grants

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Coastal Wetlands Planning, Protection and Restoration Act, Title III, Public Law 101-646, 16 U.S.C 3951-3956.

OBJECTIVES:

To provide competitive matching grants to coastal States for coastal wetlands conservation projects. The Coastal Wetlands Planning, Protection, and Restoration Act (Section 305, Title III, Public Law 101-646 U.S.C. 3954) established the National Coastal Wetlands Conservation Grant Program to acquire, restore, and enhance wetlands in coastal States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available to coastal States for acquisition of interests in coastal lands or waters, and for restoration, enhancement or management of coastal wetlands ecosystems on a competitive basis. Proposed projects must provide for long-term conservation of such lands or waters and the hydrology, water quality, and fish and wildlife dependent thereon. Ineligible activities include: (1) projects that primarily benefit navigation, irrigation, flood control or mariculture; (2) acquisition, restoration, enhancement or management of lands to mitigate habitat losses; (3) creation of wetlands where wetlands did not previously exist; (4) enforcement of fish and wildlife laws and regulations, except when necessary for the accomplishment of approved project purposes; (5) research; (6) planning as a primary project focus; (7) operations and maintenance; (8) acquisition and/or restoration of upper portions of watersheds where benefits to the coastal wetlands ecosystem are not significant and direct; and (9) projects providing less than 20 years of conservation benefits.

Applicant Eligibility:

Eligible applicants include any agency or agencies designated by the Governor of a coastal State. It is usually a State natural resource or fish and wildlife agency. Eligible coastal States are States bordering the Great Lakes (Illinois, Michigan, Minnesota, New York, Ohio, Pennsylvania, and Wisconsin); States bordering the Atlantic, Gulf (except Louisiana), and Pacific coasts (Alabama, Alaska, California, Connecticut, Delaware, Florida, Georgia, Hawaii, Maine, Maryland, Massachusetts, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Oregon, Rhode Island, South Carolina, Texas, Virginia, and Washington); and American Samoa, Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and the Virgin Islands.

Beneficiary Eligibility:

States, Commonwealths, or territories as designated in the applicant eligibility section.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Nonconstruction; or SF 424C and SF 424D- Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part

12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms, go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>; <http://www.fws.gov/coastal.CoastalGrants>; or www.grants.gov.

Award Procedure:

The Director of the Fish and Wildlife Service will select projects to be funded based on established criteria.

Deadlines:

Jun 30, 2011 Deadline for State proposals is in June 30. The Fish and Wildlife Service will provide details to eligible State, Commonwealth, or territory partner agencies prior to the deadline.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Other.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects normally funded through annual proposals. See the following for information on how assistance is awarded/released: Other.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non-Federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-federal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, they are subject to Program specific audits contracted by the Fish and Wildlife Service.

Records:

Cost records must be maintained separately for each project. Grantees must retain records, accounts, and supporting documents for 3 years after submission final request for reimbursement.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants) FY 09 \$20,100,000; FY 10 est \$18,121,000; FY 11 est \$17,850,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 84

Regional or Local Office:

None. See Regional Agency Offices or visit the Program web site at <http://www.fws.gov/coastal/CoastalGrants/>.

Headquarters Office:

Chief, Fish and Wildlife Service, Division of Wildlife and Sport Fish Restoration Program, Mail Stop FA-4020, Arlington, Virginia 22203 Phone:

703) 358-2236.

Website Address:

<http://wsfrprograms.fws.gov> and http://www.fws.gov/coastal/coastal_grants.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.615 COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended; Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To provide Federal financial assistance to any State or Territory (hereafter, "States"), through its appropriate State or territorial agency, to assist in the development of programs for the conservation of endangered and threatened species.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The assistance provided to the State fish and wildlife agency can include animal, plant, and habitat surveys; research; planning; monitoring; habitat protection, restoration, management, and acquisition; and public education. Assistance is restricted to those State agencies with which the Fish and Wildlife Service has a current cooperative agreement for the species involved.

Applicant Eligibility:

Participation limited to State agencies that have a cooperative agreement with the Secretary of the Interior. The annual Request for Proposals announced through www.grants.gov and posted to the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/section6/index.html> will describe the criteria that must be satisfied for a proposal to be eligible for funding.

Beneficiary Eligibility:

All States that have entered into a cooperative agreement with the Secretary of the Interior.

Credentials/Documentation:

A current cooperative agreement(s) between the Secretary of the Interior and the State Conservation agency(ies) concerning endangered and threatened species is necessary. Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable costs. Separate agreements for animals and plants are normally made with each State's responsible agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative requirements for Grants and Cooperative Agreements to State and Local Governments," must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. An applicant must complete the Standard Form (SF)424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non Construction; or SF 424C and SF 424D - Construction). The project description section of the application should address the evaluation factors identified in the annual request for proposals that will be posted at www.grants.gov and to the U.S. Fish and Wildlife Service website at <http://www.fws.gov/endangered/grants/section6/index.html>.

Award Procedure:

Final selection is made by the Secretary of the Interior, based on recommendations by the Director or Regional Directors of the U.S. Fish and Wildlife Service. Funds are obligated by an authorized signature on an award agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Information regarding approval/disapproval time frames will be made available annually in the request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service Web site at <http://www.fws.gov/endangered/grants/section6/index.html>.

Appeals:

Decisions can be appealed to the Fish and Wildlife Service, Chief, Endangered Species Division of Consultation, Habitat Conservation Planning, Recovery, and State Grants. Final determination rests with the Secretary of the Interior.

Renewals:

Renewals can be made through submission of a project proposal and approval of a grant award document.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States may receive up to 75 percent of the program costs. When two or more States have a common interest in one or more endangered or threatened species and enter into a joint agreement, the Federal share of the total project cost may be increased to 90 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

So much of the annual appropriation allocated for obligation to any State for any fiscal year as remains unobligated at the close thereof is authorized to be made available to that State until the close of the succeeding fiscal year. Any amount allocated to any State which is unobligated at the end of the period during which it is available for expenditure is authorized to be made available for expenditure by the Secretary for conducting programs authorized by Section 6 of the Endangered Species Act. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A performance report is required for each project segment within 90 days following the close of the segment. Cash reports are not applicable. Progress reports are not applicable. The Federal Financial Report, SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years following the submission of request for final reimbursement.

Account Identification:

14-5143-0-2-302.

Obligations:

(Project Grants) FY 09 \$75,501,000; FY 10 est \$76,000,000; FY 11 est \$78,000,000

Range and Average of Financial Assistance:

Information not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: actual/anticipated. Fiscal Year 2011: anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., as amended; Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601, as amended; 50 CFR 81, The U.S. Fish and Wildlife Service Manual Chapter 521 FW 4 (<http://www.fws.gov/policy/521fw4.html>); The Wildlife and Sport Fish Restoration Program Toolkit (<http://wsfrprograms.fws.gov/Subpages/ToolkitFiles/toolkit.pdf>); and the annual request for proposals posted at www.grants.gov and at the U.S. Fish and Wildlife Service web site at <http://www.fws.gov/endangered/grants/section6/index.html>.

Regional or Local Office:

See Regional Agency Offices. Please visit <http://www.fws.gov/endangered/grants/section6/index.html> and click on the "How to contact us for information" link to access a list of regional program contacts.

Headquarters Office:

Director, Fish and Wildlife Service, Department of the Interior, 1849 C Street, NW., (MS-420 ARLSQ), . Contact: Chief, Endangered Species: Division of Consultation, HCPs, Recovery and State Grants, Washington, District of Columbia 20240 Phone: (703) 358-2171.

Website Address:

[Http://www.fws.gov/endangered/grants/section6/index.html](http://www.fws.gov/endangered/grants/section6/index.html) .

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.634 State Wildlife Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals for grant funding must be submitted by the State fish and wildlife agency. The State must have an approved cooperative agreement with the Secretary of the Interior which provides for sharing responsibilities for endangered species, prior to receiving Cooperative Endangered Species Conservation Fund (section 6 of the Endangered Species Act) funds. Individual project proposals must compete with other State submissions for funding.

15.616 CLEAN VESSEL ACT

Clean Vessel Act Grant Program

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Clean Vessel Act of 1992, Section 5604, Public Law 102-587, Subtitle F; 16 U.S.C. 777c, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109-059.

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, operation, and maintenance of sewage pumpout stations, waste reception facilities, and pumpout boats for recreational boaters and for educational programs that inform boaters of the importance of proper disposal of their sewage.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for development of surveys and plans for installing sewage pumpouts and dump stations for recreational boats in the coastal zone of coastal States; and for construction of sewage pumpouts and dump stations for recreational boats; and for implementation of education programs. These stations must provide protection of sensitive areas from recreational boat sewage. Prohibited activities include: law enforcement; construction/renovation of upland restroom facilities; construction, renovation, operation and maintenance of sewage treatment plants; and any activity that does not provide public benefits.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

General public, recreational boaters, municipalities, and private marinas within eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule) identifies Federal cost principles for determining allowable costs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth or territory for more information on this process when applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects based on established criteria and available funding. Regional Offices make awards to approved applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion over the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It receives two percent of the funds in the Trust Fund. Trust funds are derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest on the fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the District of Columbia, and the Commonwealth of Puerto Rico. The Commonwealth of the Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa must not exceed 25 percent and may be waived at the discretion of the Regional Director.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The U.S. Fish and Wildlife Service annually notifies all States, the District of Columbia, Commonwealths, and the territories about the grant program. See the following for information on how assistance is awarded/released: Projects normally funded through annual proposals.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants) FY 09 \$9,452,041; FY 10 est \$8,455,691; FY 11 est \$8,328,801 - Obligations by program activity.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The Clean Vessel Act Grant Program has provided funding for the construction, renovation, or maintenance of over 3,300 coastal pumpout sites and 1,900 inland pumpout sites since 1992. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 85; Final Technical Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; final Grant Guidelines published in the Federal Register Vol. 59, No. 47, March 10, 1994; and final Pumpout Symbol Guidelines published in the Federal Register, Vol. 62, No. 166, August 27, 1997.

Regional or Local Office:

None. See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Fish and Wildlife Service Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: FY 2009 -The Oregon State Marine Board purchased and

installed 22 sewage pumpout stations and 28 dump stations for coastal and inland waters throughout the state and to provide funding for the operation and maintenance of existing facilities. The agency continued its efforts to educate boaters about the importance of proper sewage disposal and its benefit to aquatic ecosystems.

The Arkansas Game and Fish Commission purchased five sewage pumpout boats and installed 14 sewage pumpout stations. The grant provided funds for the operations, maintenance, repair, and rehabilitation of existing sewage pumpout stations as needed to keep these stations functioning. Fiscal Year 2010: FY 2010 - The California Department of Boating and Waterways plans to construct, install, upgrade, and/or renovate four coastal pumpouts and one coastal dump station facility. They will also purchase one pumpout boat for use along the coast and propose to install nine floating restrooms and two pumpouts or dump stations along inland waterways. The funds will also support operation and maintenance for all coastal and inland pumpout/dump stations and pumpout boats.

The Connecticut Department of Environmental Protection plans to install two new pumpout or waste reception facilities, upgrade or replace four pumpout facilities, purchase two new pumpout boats, and renovate two others at facilities in Bridgeport, Darien, Groton, Derby, Stonington, Milford, Stamford, Norwalk, and along Candlewood Lake. They will also support the operation and maintenance of pumpout facilities at up to 40 marina facilities along the coast and several others on Candlewood Lake, and provide education for boaters and marine facility operators regarding the importance of proper sewage disposal. Fiscal Year 2011: FY 2011 CVA is competitive program; will fund similar projects as those in FY 2009 and FY 2010.

CRITERIA FOR SELECTING PROPOSALS:

Projects must be submitted by the State, the District of Columbia, Commonwealth, or territorial agency given responsibility for the program by the Governor of that State, the District of Columbia, Commonwealth, or territory. Purpose of the project must be consistent with the purpose and conditions of the Act. The criteria for selecting proposals for coastal States are based upon the extent the project will contribute to conducting a survey; develop a plan for installing pumpouts/dump stations; and construct pumpouts/dump stations according to the approved plan. Criteria for selecting proposals for all States, the District of Columbia, Commonwealths, or territories are based upon the extent the project will contribute to public/private partnerships; innovative approaches to increasing availability and use of pumpouts/dump stations; implementation of an education program; contribution toward protecting sensitive areas; and lowering the ration of vessels with holding tanks and portable toilets to pumpouts and dump stations.

15.619 RHINOCEROS AND TIGER CONSERVATION FUND**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Multinational Species Conservation Act of 2007, Public Law 110-132, 121 Stat. 1360; Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of rhinoceros and tigers. This program supports projects that focus on one or more of the following areas: Applied research, including surveys and monitoring; enhanced compliance with treaties and laws that prohibit the take or trade of rhinoceros and tiger or regulate the use and management of their habitat; conservation education and community outreach; development and execution of conservation management plans; enhanced protection of at-risk populations; habitat conservation and management, including protected area and reserve management; local capacity building; reduction of human-rhinoceros and human-tiger conflicts; transfrontier conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project work should occur within the rhinoceros/tiger range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to rhinoceros/tiger conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of rhinoceros and/or tigers and any other organization or individual with demonstrated experience in rhinoceros and/or tiger conservation.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of rhinoceros and/or tigers and any other organization or individual with demonstrated experience in rhinoceros or tiger conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at <http://www.fws.gov/international/DIC/species/rhino/rhino.html> and <http://www.fws.gov/international/DIC/species/tiger/tiger.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are competitively selected for funding using criteria from the Rhinoceros and Tiger Conservation Act (16 USC 5301 et seq.). Review criteria can be found in the application information at <http://www.fws.gov/international/DIC/pdf/NOFARhinoTiger.pdf>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Apr 01, 2011 This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However; at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/DIC/species/rhino/rhino.html>. Interim and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/DIC/species/rhino/rhino/html>. For financial reporting, Domestic Recipients with no program income to report shall use Federal Financial Report, Standard Form 425. Domestic recipients with program income to report shall use Standard Form 425. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period any describes any deviations from the approved project budget. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-5199-0-2-303.

Obligations:

(Project Grants) FY 09 \$2,422,584; FY 10 est \$2,900,000; FY 11 est \$2,400,000 - Frequency of obligations: Other.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the Service funded 52 rhinoceros and tiger projects and expects to award approximately 60 awards in 2010. A summary of

each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/rhino/pdf/RTCF_Summaries_2008_Asia_Africa.pdf. Fiscal Year 2010: In FY 2010, the Service expects to award approximately 60 awards in 2010. A summary of each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/rhino/pdf/RTCF_Summaries_2008_Asia_Africa.pdf. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Chief Department of the Interior, U.S. Fish and Wildlife Service, Division of International Conservation, 4401 North Fairfax Drive, ARLSQ 100, Arlington, Virginia 22203 Email: MSCF_RhinoTiger@fws.gov Phone: (703) 358 1754 Fax: (703) 358 2115

Website Address:

http://www.fws.gov/international/DIC/grants/grants_home.html.

RELATED PROGRAMS:

15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Annual summaries of the projects funded under this program can be found at the program's webpage at http://www.fws.gov/international/DIC/species/rhino/pdf/RTCF_Summaries_2008_Asia_Africa.pdf. Hard copies of this information are available upon request from the Division of International Conservation at (703)358-1754. Fiscal Year 2010: Annual summaries of the projects funded under this program can be found at the program's webpage at http://www.fws.gov/international/DIC/species/rhino/pdf/RTCF_Summaries_2008_Asia_Africa.pdf. Hard copies of this information are available upon request from the Division of International Conservation at (703)358-1754. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the requirements of the Rhinoceros and Tiger Conservation Act (16 U.S.C. 5301 et seq.). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the Rhinoceros and Tiger Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/DIC/pdf/NOFARhinoTiger.pdf>. Hard copies of the criteria are available upon request from the Division of International Conservation.

15.620 AFRICAN ELEPHANT CONSERVATION FUND

None

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Multinational Species Conservation Act of 2007, Public Law 110-132, 121 Stat. 1360; African Elephant Conservation Act, Title II Section 2001 et seq., Public Law 100-478, 102 Stat. 2315 et seq., 16 U.S.C. 201 et seq., 4211 et seq., 4221 et seq., 4241 et seq., and 1538.

OBJECTIVES:

To provide financial assistance to support projects that will enhance sustainable conservation programs to ensure effective, long-term conservation of African elephants. The African Elephant Conservation Fund supports projects that

promote conservation through: Applied research on elephant populations and their habitat, including surveys and monitoring; Development and execution of elephant conservation management plans; Compliance with applicable treaties and laws that prohibit or regulate the taking or trade of elephants or regulate the use and management of elephant habitat; Conservation education and community outreach; Enhanced protection of at-risk elephant populations; Efforts to decrease human-elephant conflict; Habitat conservation and management; Protected area/reserve management in important elephant range; Strengthening local capacity to implement conservation programs; Transfrontier elephant conservation; and Wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project work should occur within the range of the African elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to African elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Beneficiary Eligibility:

Any African government agency responsible for African elephant conservation and protection and any other organization or individual with demonstrated experience in African elephant conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at http://www.fws.gov/international/DIC/species/afe/african_elephant.html. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia and Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed on the requirements of the African Elephant Conservation Fund (16 USC et seq.) Review criteria can be found in the application information at http://www.fws.gov/international/DIC/species/afe/african_elephant.html. Once a proposal has been selected for funding, an Assistance Award between the Fish

and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Apr 01, 2011 This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after the receipt of the project proposal.

Appeals:

No procedure for appeals.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. Maintenance of Effort is not required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at http://www.fws.gov/international/DIC/species/afe/african_elephant.html. Cash reports are not applicable. Progress reports are not applicable. For financial reporting, Domestic Recipients with no program income to report shall use Federal Financial Report Standard Form 425. Domestic recipients with program income to report shall use Standard Form 425. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period any describes any deviations from the approved project budget. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-8154-0-7-303.

Obligations:

(Project Grants) FY 09 \$2,170,528; FY 10 est \$1,900,000; FY 11 est \$1,900,000 - Frequency of obligations: Other.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During FY 2009, the Service funded 34 African elephant conservation projects. A summary of each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/afe/african_elephant.html. Fiscal Year 2010: In FY 2010, the Service expects to fund 25 African elephant conservation projects. A summary of each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/afe/african_elephant.html. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its sources the regulations found in 43 CFR part 12 and the U.S. Fish and Wildlife Service Administration Manual. The "Assistance Award Guidelines" can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in hard copy by contacting the Division of International Conservation. Telephone: (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief U.S. Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Email: MSCF_AfricanElephant@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115

Website Address:

http://www.fws.gov/international/DIC/grants/grants_home.html.

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Annual summaries of the projects funded under this program can be found at our website at <http://www.fws.gov/international/afecf/afecf.htm>. Hard copies are available upon request from the Division of International Conservation at (703)358-1754. Fiscal Year 2010: Annual summaries of the projects funded under this program can be found at our website at <http://www.fws.gov/international/afecf/afecf.htm>. Hard copies are available upon request from the Division of International Conservation at (703)358-1754. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the African Elephant Conservation Act (16 USC 4201 et seq.). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the African Elephant Notice of Funding Availability and Application Instructions found on our website at http://www.fws.gov/international/DIC/species/afe/afe_howtoapply.html. Hard copies are available upon request from the Division of International Conservation at (703)358-1754.

15.621 ASIAN ELEPHANT CONSERVATION FUND

None

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Asian Elephant Conservation Reauthorization Act of 2007, Public Law 110-133, 121 Stat. 1362; Asian Elephant Conservation Act, Public Law 105-96, 111 Stat. 2150, 16 U.S.C. 4261-4266.

OBJECTIVES:

To provide financial assistance to support the effective long-term conservation of Asian elephants. This program provides support for projects that focus on one or more of the following areas: Applied research, including surveys and monitoring; enhanced compliance with treaties and laws that prohibit the take or trade of Asian elephant or regulate the use and management of their habitat; conservation education and community outreach; development and execution of conservation management plans; enhanced protection of at-risk populations; habitat conservation and management, including protected area and reserve management; local capacity building; reduction of human-elephant conflicts; transfrontier conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project work should occur within the range of the Asian elephant, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to Asian elephant conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunition; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan, and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreement Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Beneficiary Eligibility:

Any Asian government agency responsible for Asian elephant conservation and protection, and any other organization or individual with demonstrated experience in Asian elephant conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at Grants.gov website <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on this program's website at http://www.fws.gov/international/DIC/species/ase/asian_elephant.html. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia & Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria contained in the Asian Elephant Conservation Act (16 USC 4261 4266). Review criteria can be found in the application information at

http://www.fws.gov/international/DIC/species/ase/asian_elephant.html. Once a proposal has been selected for funding an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by a FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Apr 01, 2011 This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are made within 180 days after receipt of the project proposal.

Appeals:

No procedure for appeals.

Renewals:

None. However; at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. Maintenance of Effort is not required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Progress and final reports are due in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at http://www.fws.gov/international/DIC/species/ase/asian_elephant.html. Cash reports are not applicable. Progress reports are not applicable. For financial reporting, Domestic Recipients with no program income to report shall use the Federal Financial Report SF 425. Domestic recipients with program income to report shall use Standard Form 425. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-303.

Obligations:

(Project Grants) FY 09 \$1,970,540; FY 10 est \$1,900,000; FY 11 est

\$1,900,000 - Frequency of obligations: Other.

Range and Average of Financial Assistance:

Variable amounts. Due to limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009 the Service funded 39 Asian elephant conservation projects. A summary of each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/ase/asian_elephant.html. Fiscal Year 2010: In FY 2010, the Service expects to fund 35 Asian elephant conservation projects. A summary of each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/ase/asian_elephant.html. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its sources all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief, Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

http://www.fws.gov/international/DIC/grants/grants_home.html

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.629 Great Apes Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Annual summaries of the projects funded under this program can be found at the program's webpage at http://www.fws.gov/international/DIC/species/ase/asian_elephant.html. Fiscal Year 2010: Annual summaries of the projects funded under this program can be found at the program's webpage at http://www.fws.gov/international/DIC/species/ase/asian_elephant.html. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the Asian Elephant Conservation Act (16 U.S.C. 4261-4266). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the criteria can be found in the Asian Elephant Notice of Funding Availability and Application Instructions at http://fws.gov/international/DIC/species/ase/ase_howtoapply.html. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.622 SPORTFISHING AND BOATING SAFETY ACT

BIG

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Sportfishing and Boating Safety Act, Title VII, Subtitle D, Section 7404, Public Law 105-178, 16 U.S.C. 777g-1, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act, Public Law 109-059.

OBJECTIVES:

This program provides funding to States, the District of Columbia, Commonwealths, and territories for the construction, renovation, and maintenance of tie-up facilities for transient, recreational boats 26 feet or greater.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program authorizes matching funds on a competitive basis for constructing, renovating, or maintaining tie-up facilities for transient, recreational boats 26 feet or more in length and to produce and distribute information and educational materials about the program. Prohibited activities include; law enforcement, construct, renovate, or maintain tie up facilities for boats less than 26 feet or greater and any activity that does not provide public benefits.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa may submit grant proposals to the U.S. Fish and Wildlife Service.

Beneficiary Eligibility:

General Public, specifically owners and/or users of transient, recreational boats more than 26 feet or greater, and municipalities and private marinas within those eligible States, the District of Columbia, Commonwealths, and territories.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule) identifies Federal cost principles for determining allowable costs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for funding based on established criteria and available funding. Regional Offices make awards to approved applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Matching Requirements: The annual program funding comes from the Sport Fish Restoration and Boating Trust Fund. It receives two percent of the funds in the Trust Fund. Trust funds are derived from excise taxes on fishing equipment, motorboat and small engine fuels, import duties, and interest on the Fund. The Federal share of the project costs cannot exceed 75 percent for the 50 States, the Commonwealth of Puerto Rico, and the District of Columbia. The Commonwealth of Northern Mariana Islands and the territories of Guam, the U.S. Virgin Islands, and American Samoa must not exceed 25 percent and may be waived at the discretion of the Regional Director.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are normally funded through annual proposals. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Progress reports are not applicable. A Federal Financial Report SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after final submission of the final Federal Financial Report.

Account Identification:

14-8151-0-7-303.

Obligations:

(Project Grants) FY 09 \$12,500,000; FY 10 est \$13,061,000; FY 11 est \$12,865,000 - FY 2011 estimate \$13,500,000.

Range and Average of Financial Assistance:

Tier 1 grants average at \$100,000; Tier 2 range from \$100,000 to \$1,500,000; Average \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: actual/anticipated. Fiscal Year 2011: anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR Part 86.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses of Regional Fish and Wildlife Service Offices.

Headquarters Office:

Policy and Programs Director U.S. Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703)358-2156

Website Address:

<http://wsfrprograms.fws.gov>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: actual/anticipated. Fiscal Year 2011: anticipated.

CRITERIA FOR SELECTING PROPOSALS:

The designated State, the District of Columbia, Commonwealth, or territorial agency must submit proposals to participate in this program. The purpose of these projects must be consistent with the purpose and conditions of the Act. The criteria for selecting proposals are based upon the extent the project will contribute to constructing tie-up facilities according to the State's, the District of Columbia, Commonwealth's, or territory's approved plan; contribute to public/private partnerships; innovative approaches to increasing availability and use of tie-up facilities; that include private, local, or other State, the District of Columbia, Commonwealth, or territorial funds in addition to the 25 percent match; that are cost efficient; provide a significant link to prominent destination way points such as those near cultural or natural sites; provide access to recreational, historic, cultural, natural, or scenic opportunities of local, regional, or national significance; that provide significant economic impacts to a community; and include multistate efforts that result in coordinating location of tie-up facilities.

15.623 NORTH AMERICAN WETLANDS CONSERVATION FUND

(NAWCF)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

North American Wetlands Conservation Act, Public Law 101-233, as amended, 16 U.S.C. 4401.

OBJECTIVES:

To provide grant funds for wetlands conservation projects in the United States, Canada, and Mexico.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to acquire real property interest in lands or waters, including water rights. Funds may also be used to restore, manage, and/or enhance wetland ecosystems and other habitat for migratory birds and other fish and wildlife. Projects must provide long-term conservation for wetlands-associated migratory birds and other wetlands-associated wildlife. Coastal Wetlands Planning, Protection and Restoration act-derived funds eligible for NAWCA projects may be used only in U.S. coastal wetlands ecosystems. More specific restrictions are outlined on the NAWCA grants information and instructions website.

Applicant Eligibility:

Available to private or public organizations or to individuals who have developed partnerships to carry out wetlands conservation projects in the U.S., Canada, and Mexico.

Beneficiary Eligibility:

Available to any private or public organization or individual.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Complete program information and application instruction can be found on the Division of Bird Habitat Conservation (DBHC) website, at <http://www.fws.gov/birdhabitat/Grants/NAWCA>, or can be accessed through grants.gov. Grant applications, including Standard Form 424 Application for Federal Assistance, and the appropriate Assurance forms (SF

424C or SF 424D) are submitted directly to the Coordinator, North American Wetlands Conservation Council, Division of Habitat Conservation, U.S. Fish and Wildlife Service, Mail Stop MBSP 4075, 4401 North Fairfax Drive, Arlington, VA 22203; 703-358-1784.

Award Procedure:

Applications are screened by the Coordinator's staff, and further reviewed and ranked by the North American Wetlands Conservation Council (Council) Staff and North American Waterfowl Management Plan Joint Venture Coordinators. Site visits are conducted as needed. Grant proposals are recommended for approval by the Council to the Migratory Bird Conservation Commission (Commission). The U.S. Fish and Wildlife Service conducts a National Environmental Policy Act and National Historic Preservation Act review of the projects that the Council selects to recommend to the Commission. The Council selects proposals in March, July, and December that are recommended to the Commission for final funding approval in September, March, and June respectively. The grant is administered by FWS DBHC.

Deadlines:

Mar 01, 2011 U.S. Standard grants are due in March and July and small grant proposals are due in October. Specific proposal due dates are published on the NAWCA website:
<http://www.fws.gov/birdhabitat/Grants/NAWACA/index.shtm>.

Range of Approval/Disapproval Time:

The range of time from proposal submission to the Commission meeting for standard grants is 7 to 11 months. Small grants approvals are usually known in 4 months.

Appeals:

Not Applicable.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must complete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The required matching share varies on a grant-by-grant basis and is set forth in the grant award, but must be at least 50 percent of the project costs, except that the project activities located on Federal lands and waters can be funded with 100 percent Federal funding.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds should be spent within the 1-2 year grant period, although the grant period can be extended for reasonable cause. All grants issued to non-Federal entities are paid through the Department of Health and Human Services Payment Management System. Funds provided directly to a Federal entity by FWS are governed by a separate agreement between FWS and the recipient Federal entity. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Progress and final reports must be submitted in accordance with the terms and conditions of the grant agreement. Final reports must be filed with the Division of Bird Habitat Conservation within 90 days of the end of the project termination date. Cash reports are not applicable. Progress reports are not applicable. Interim and final reports must be submitted in accordance with the terms and conditions of the grant agreement and must include SF-425 Federal Financial Report. Recipients requesting the ability to receive funds in advance must submit SF-425 Federal Financial Report quarterly. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and a detailed accounting of receipts and expenditures of non-Federal matching dollars or value of services. Records, accounts, and supporting documents must be retained for 3 years after submission for final report.

Account Identification:

14-5241-0-2-303.

Obligations:

(Project Grants) FY 09 \$83,675,000; FY 10 est \$92,156,000; FY 11 est \$80,811,000 - Obligations by program activity.

Range and Average of Financial Assistance:

Range is \$0 to \$75,000 for Small Grants; over \$75,000 to \$1,000,000 for U.S. Standard Grants. Average award is approximately \$42,000 and \$710,000 for Small Grants and U.S. Standard Grants, respectively.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Partners affected 447634 acres of habitat with \$54,080,030 grant funds and \$156,583,311 non-Federal matching funds in 95 grant projects. Fiscal Year 2010: \$55,969,871 grants funds will leverage \$160,433,571 non-Federal matching funds to affect 418,242 acres in 97 projects. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Standard Grants Application Instructions; Small Grants Instructions; U.S. Grant Administration Standards; 43 CFR Part 12 and 49 CFR Part 24.

Regional or Local Office:

See Regional Agency Offices. Regional Office or Local Office For additional program information, see Appendix IV for addresses of USFWS Regional Offices.

Headquarters Office:

Chief, Office Fish and Wildlife Service, Department of the Interior, Washington, DC 20240. Division of Bird Habitat Conservation, MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1784.

Website Address:

<http://www.fws.gov/birdhabitat/Grants/NAWCA>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.635 Neotropical Migratory Bird Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Please visit <http://www.fws.gov/birdhabitat/Grants/NAWCA/Standard/US/ApprovProj.shtm> and <http://www.fws.gov/birdhabitat/Grants/NAWCA/Small/ApprovProj.shtm> for project information. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Council selects proposals based on factors given in Section 5 of the North American Wetlands Conservation Act, results of site visits, available funds and other factors deemed important to meeting Act objectives. U.S. standard and small grant proposals are additionally evaluated against answers to Technical Assessment Questions taken from Section 5 of the Act and annually published on the web site in application instructions.

15.626 FIREARM AND BOW HUNTER EDUCATION AND SAFETY PROGRAM

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Pittman-Robertson Wildlife Restoration Act of 1937, 50 Stat. 917 as amended; 16 U.S.C. 669h-1; and 50 CFR Part 80-Administrative Requirements, Pittman-Robertson Wildlife Restoration and Dingell-Johnson Sport Fish

Restoration Acts.

OBJECTIVES:

This program provides funds for the enhancement of hunter and archery education programs and the enhancement or construction of firearm shooting ranges and archery ranges.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This program provides funds for the enhancement of: 1. hunter education programs, hunter and sporting firearms programs, and hunter development programs; 2. interstate coordination and development of hunter education programs; 3. bow hunter and archery education, safety, and development programs; 4. construction of firearm or archery shooting ranges; and 5. updating safety features of firearm and archery ranges. Law enforcement and public relations are not eligible under the Act.

Applicant Eligibility:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

States, Commonwealths, or territories must notify the Secretary the desire to participate annually. The State, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number of paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Agencies from the 50 States, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the Fish and Wildlife Service. To be eligible, they must pass assent legislation to the provisions of the Act for the conservation of wildlife that include a prohibition against the diversion of license fees paid by hunters for any other purpose than the administration of the fish and wildlife agency. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed grants. Regional Offices are responsible for notification of grant approval to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider the differences of opinion about eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Formula-based apportionment: the program is funded by a permanent appropriation for revenues collected from taxes on bows, arrows, archery equipment, sporting firearms, ammunition, handguns, pistols, and revolvers (16 U.S.C. 669b). The revenues are deposited in the Federal Aid to Wildlife Restoration fund in the U.S. Treasury. These funds are annually apportioned according to the formula prescribed by the Act are based on population of the States. No State may receive more than 3 percent or less than 1 percent of the total Hunter Education funds apportioned. Commonwealth of Puerto Rico, Guam, U.S. Virgin Islands, American Samoa, and the Commonwealth of Northern Mariana Islands receive up to one-sixth of 1 percent of the total apportionment.

States may be reimbursed up to 75 percent of the total project cost. The Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa must not exceed 25 percent and may be waived at the discretion of the Regional Director.

The non-Federal share could come from license fees paid by hunters. Matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64 and 43 CFR 12.923.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Any funds not obligated within one year by a State, Commonwealth, or territorial fish and wildlife agency revert to the U.S. Fish and Wildlife Service and reapportioned to States, Commonwealths, and territories that obligated their Section 4(c) Hunter Education and Safety apportionment on eligible hunter education and safety activities. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A Performance Report and a Federal Financial Report (SF-425) are required for each grant award annually within 90 days after the anniversary date or end date of the award. Cash reports and Performance monitoring are not applicable. Cash reports are not applicable. Progress reports are not applicable. A Federal Financial Report SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5029-0-2-303.

Obligations:

(Formula Grants) FY 09 \$8,000,000; FY 10 est \$8,000,000; FY 11 est \$8,000,000

Range and Average of Financial Assistance:

Range is \$13,300 to \$240,000; Average \$145,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: actual/anticipated. Fiscal Year 2011: anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

50 CFR 80, Fish and Wildlife Service Manual, matching and cost-sharing requirements are discussed in 50 CFR 80.12, 43 CFR 12.64, and 43 CFR 12.923. Applicants can visit these regulations and guidelines at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters Office:

Director, Policy and Programs, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program - Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.615 Cooperative Endangered Species Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The State, Commonwealth, or territory agency having responsibility for the management of their resources must submit the projects. The State, Commonwealth's, or territory's wildlife resources must submit the projects. The State, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the Fish and Wildlife Service Manual.

15.628 MULTISTATE CONSERVATION GRANT PROGRAM

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000, Public Law 106-408, as amended; the Dingell-Johnson Sport Fish Restoration Act, 64 Stat. 430 as amended, 16 U.S.C. 777m and the Pittman-Robertson Wildlife Restoration Act, 50 Stat. 917 as amended, 16 U.S.C. 669h-2.

OBJECTIVES:

This program provides funding for sport fish and wildlife restoration projects identified by the Association of Fish and Wildlife Agencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project types that are generally selected for funding are education and training, analysis and focus on the values and use of sport fish and wildlife programs and new technologies, and evaluation in restoration, recreation, enhancement, or protection of sport fish and wildlife and their habitats.

Applicant Eligibility:

States, group of States, and non-governmental organizations. By October 1st of each year the Association of Fish and Wildlife Agencies (AFWA) provides the U.S. Fish and Wildlife Service a priority list of projects recommended for funding. The U.S. Fish and Wildlife Service Director may only fund project proposals on the priority list.

Beneficiary Eligibility:

Projects must benefit at least 26 States, a majority of States in a Region of the U.S. Fish and Wildlife Service, or a Regional association of State fish and game departments.

Credentials/Documentation:

Costs for grants to States or group of States will be determined in accordance with 2 CFR Part 225, Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87). Cost for grants to non-governmental groups who are nonprofit organizations will be determined according to 2 CFR Part 230, Cost Principles for Non-Profit Organizations (OMB Circular A-122). Cost principles for non-governmental groups which are commercial will be determined the Federal Acquisition Regulations (FAR) 31.2. Non-government applicants must certify that they will not use grant funds for activities, projects, or programs that promote or encourage opposition to regulated hunting or trapping of wildlife, or to the regulated taking of fish. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State, the District of Columbia, Commonwealth, or territory for more information on this process when applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use standard Federal Application and Assurances forms approved by OMB, as well as proposal formatting requirements established by the Association of Fish and Wildlife Agencies (AFWA) in their requests for Multi-State Conservation Grant project proposals. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. Specific application procedures for Nonprofits are described in 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. Specific application procedures for commercial applicants and Fish and Wildlife Service applicants are described in the FAR, Subpart 311.2, Contracts with Commercial Organizations, and 50 CFR 80. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov.

Award Procedure:

The Director of the U.S. Fish and Wildlife Service will select projects for funding from a priority list developed by the Association of Fish and Wildlife Agencies (AFWA) based on established criteria.

Deadlines:

Oct 01, 2010 AFWA posts a Request for Proposal (RFP) in the spring of each year at <http://www.grants.gov> & http://www.fishwildlife.org/multistate_grants.html.

AFWA must submit its priority list of project proposals to the U.S. Fish and Wildlife Service Assistant Director for Wildlife and Sport Fish Restoration Programs by October 1. The list of priority projects submitted by AFWA will be published in the Federal Register by the end of each calendar year.

Range of Approval/Disapproval Time:

Approximately 6 to 9 months from the deadline established by AFWA for submitting proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or

differences arising over the conduct of work may be appealed to the Director of the U.S. Fish and Wildlife Service.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be approved for up to three years with funding dependant on annual competition. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable.

Progress reports are not applicable. A Federal Financial Report SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project for three years after submission of the final Financial Status Report. Record keeping requirements for grants to States are described in 43 CFR 12, Subpart C, and OMB Circular A-102. Record keeping requirements for grants to nonprofit organizations are described in 43 CFR 12, Subpart F, and OMB Circular A-110. For Commercial grantee and U.S. Fish and Wildlife Service grantees must use record keeping procedures described in 43 CFR 12, Subpart C, and OMB Circular A-110.

Account Identification:

14-5029-0-2-303; 14-8151-0-7-303.

Obligations:

(Project Grants) FY 09 \$4,414,475; FY 10 est \$4,414,475; FY 11 est \$4,414,475

Range and Average of Financial Assistance:

Range is \$25,000 to \$500,000; Average \$140,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The nine NCNs selected for FY09 grant awards: Incorporation of the effects of climate change into North American fish and wildlife habitat planning at regional and statewide scales; Outdoor heritage-participation, recruitment, retention and access to public lands in hunting, fishing, and conservation related recreational activities; A National Fish and Wildlife Health Initiative; Formation and operations of fish habitat partnerships to facilitate National Fish Habitat Action Plan Implementation; Integration of fish and wildlife needs as the conservation provisions of the Farm Bill are implemented; Actions in support of implementing the Associations North American Conservation Education Strategy; Implementation of the AFWA Mourning Dove and Lead Toxicosis Working Group Recommendations and research; Multistate Conservation Grant Program Coordination; and Research for and Coordination of the 2011 National Survey of Fishing, Hunting, and Wildlife-Associated Recreation. Fiscal Year 2010: actual/anticipated: The seven NCNs selected for FY10 grant awards: Integration of fish and wildlife needs as the conservation provisions of the 2008 Farm Bill are implemented; Outdoor Heritage - participation, recruitment, retention and access to public lands in hunting, fishing, and conservation related recreational activities; Regional Climate Change Workshops for State Fish and Wildlife Managers on current information and tools of management for fish and wildlife; State Fish and Wildlife Agency Coordination and Administration; A National Fish and Wildlife Health Initiative; Formation and operations of Fish Habitat Partnerships to facilitate National Fish Habitat Action Plan

Implementation; and Protect State Wildlife Agencies Authority to Sustainably Manage Wildlife Resources in Concert with Federal Actions Required by International Treaties and Conventions. Fiscal Year 2011: anticipated: The NCNs selected, and AFWA requested submission of full grant proposals, for funding consideration: Improve benefits for fish, wildlife, and their habitats as provisions of the 2008 Farm Bill are implemented; Outdoor Heritage - participation, recruitment, retention and access to public lands in hunting, fishing, and conservation related recreational activities; Incorporating Fish and Wildlife Considerations into Energy Development Decisions; State Fish and Wildlife Coordination and Administration; A National Fish and Wildlife Health Initiative; Formation and Operations of Fish Habitat Partnerships to Facilitate National Fish Habitat Action Plan Implementation; and, Develop leadership capacity within the conservation community through leadership and management development initiatives, training, consulting services, and support the National Conservation Leadership Institute.

Program accomplishments of the grants may be found on the following website: <http://wsfrprograms.fws.gov/iFAIMS>.

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR 12; 50 CFR 80; Sections 521, 522, and 523 of the Fish and Wildlife Service Manual.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for Regional Fish and Wildlife Service Offices.

Headquarters Office:

Director, Policy and Programs, Fish and Wildlife Service, Wildlife and Sport Fish Restoration Program- Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/> or
http://www.fishwildlife.org/multistate_grants.html.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: There are thirteen (13) funded projects within seven states and the District of Columbia funded in FY10. The following project titles were funded:

Identifying and Implementing Climate Change Adaptation Strategies for Natural Resources; Protect State Wildlife Agencies Authority to Sustainably Manage Wildlife Resources; State Fish and Wildlife Agency Director Travel Coordination and Administration, State Fish and Wildlife Agency Coordination and Administration; Coordination of the Industry, Federal and State Agency Coalition; Coordination of Farm Bill Program Implementation to Optimize Fish and Wildlife Benefits to the States; Midwest Fish Habitat Partnership: Meeting National Fish Habitat Action Plan Goal; Formulating a Vision for Fish Health Management in Fishery Conservation; Explore Bow-hunting: An Introductory Bow-hunting Education Program; Conservation Challenge Badge Initiative A Partnership between the National Wild Turkey Federation and Boy Scouts; Establishment of a National Farm Services Agency Liaison Biologist Position; Identifying Sportfishing Competition to Improve Angler Recruitment and Retention; and, Hunting Heritage Action Plan. Fiscal Year 2010: There are eighteen (18) funded projects within eight states and the District of Columbia funded in FY09. The following project titles were funded: Conservation Leaders for Tomorrow: Exposing University Wildlife and Other Natural Resources Students to Hunting and the Hunters Role in Conservation; Property Tax Incentives for Access to Private Lands; Hunting Heritage Acton Plan; Multistate Conservation Grant Program Coordinator; Return on Investment: Analysis of SFR and WR programs; Coordination and further development of the Associations Conservation Education; Coordination of the Industry, Federal and State Agency Coalition; Implementation of the Southeast Aquatic Habitat Plan; Disease Training for Wildlife Biologists; Community Archery Programs as Recruitment and Retention Tools; National CP33 Monitoring Program Phase II; Trailblazer Adventure Program: Involving Youth and Families in Conservation; Effectiveness of Hunting, Fishing, and Shooting Recruitment and Retention Programs; Research Component of the 2100 National Survey; Coordination Component for the 2011 National Survey;

Eastern Brook Trout Joint Venture: Sustainable Infrastructure Development and Support; Western Association of Fish and Wildlife Agencies; and Improving Conservation Education & Connecting Families to Nature. Fiscal Year 2011: No data yet available.

CRITERIA FOR SELECTING PROPOSALS:

Projects will be reviewed and evaluated for scope, significance, feasibility, and cost effectiveness. In addition, projects must address one or more focus areas as described in the National Conservation Needs established annually by the Association of Fish and Wildlife Agencies (AFWA).

15.629 GREAT APES CONSERVATION FUND

None

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Great Ape Conservation Act of 2000, Public Law 106-411, 16 U.S.C. 6301. Tylersville Fish Hatchery Conveyance, Public Law 109-363.

OBJECTIVES:

To provide financial assistance for projects for the effective long-term conservation of great apes and their habitats. This program supports projects that focus on one or more of the following areas: applied research on ape populations and their habitats, including surveys and monitoring; development and execution of ape conservation management plans; compliance with applicable treaties and laws that prohibit or regulate the taking or trade of ape or regulate the use and management of ape habitat; conservation education and community outreach; enhanced protection of at-risk ape populations; efforts to decrease human-ape conflicts; habitat conservation and management; protected area/reserve management in important ape range; strengthening local capacity to implement conservation programs; transfrontier ape conservation; and wildlife inspection, law enforcement, and forensics skills.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project work should occur within the ape range, or, if work is to be conducted outside of the range, the proposal should show a clear relevance to ape conservation. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training Federal Government personnel.

Applicant Eligibility:

Applicants may be submitted by any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Beneficiary Eligibility:

Any government agency responsible for conservation and protection of apes and any other organization or individual with demonstrated experience in ape conservation.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this program's website at http://www.fws.gov/international/DIC/species/great_apes/great_apes.html. If you are unable to access the Internet, hard copies are available upon request from the Branch of Near East, South Asia and Africa, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance."

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria contained in the Great Ape Conservation Act (16 USC 6301 et seq.). Review criteria can be found in the application information at http://www.fws.gov/international/DIC/species/great_apes/great_apes.html. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Apr 01, 2011 This program has two annual deadlines. The first deadline is November 1 each year. The second deadline is April 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: To the extent possible, grant funds are matched by nonfederal funds. This program has no statutory formula. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. Maintenance of Effort is not required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the performance period that is approved for each Assistance Award, and in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at the program web page at http://www.fws.gov/international/DIC/species/great_apes/great_apes.html. Cash reports are not applicable. Progress reports are not applicable. For financial reporting, Domestic Recipients with no program income to report shall use the Federal Financial Report Standard Form 425. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period any describes any deviations from the approved project budget. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1652-0-1-303.

Obligations:

(Project Grants) FY 09 \$4,267,470; FY 10 est \$2,400,000; FY 11 est \$1,900,000 - Frequency of obligations: Other.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the Service funded 59 great ape conservation projects. A summary of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/great_apes/great_apes.html.

Fiscal Year 2010: In FY 2010, the Service expects to fund 35 great ape conservation projects. A summary of those projects can be found at the program's webpage at

http://www.fws.gov/international/DIC/species/great_apes/great_apes.html.

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its sources the regulations found in 43 CFR Part 12 and the Fish and Wildlife Service Administration Manual. The "Assistance Award Guidelines" can be found on-line at

http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief, Department of the Interior, Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Email: MSCF_GreatApe@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

http://www.fws.gov/international/DIC/grants/grants_home.html.

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.645 Marine Turtle Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Annual summaries of the projects funded under this program can be found at

http://www.fws.gov/international/DIC/species/great_apes/great_apes.html.

Hard copies of this information are available upon request from the Division of International Conservation at (703)358-1754. Fiscal Year 2010: Annual

summaries of the projects funded under this program can be found at

http://www.fws.gov/international/DIC/species/great_apes/great_apes.html.

Hard copies of this information are available upon request from the Division of International Conservation at (703)358-1754. Fiscal Year 2011: No Current

Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the Great Ape Conservation Fund (16 U.S.C. 6301 et seq.). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the Great Ape Notice of Funding Availability and Application Instructions at

http://www.fws.gov/international/DIC/species/great_apes/great_apes.html.

Hard copies are available upon request from the Division of International Conservation.

15.630 COASTAL PROGRAM

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, 16 U.S.C. 742a-c, 747e-742j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 667(e).

OBJECTIVES:

To provide technical and financial assistance via partnerships to identify, protect, and restore or improve habitats in priority coastal areas for fish and wildlife.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are used for habitat assessments, protection and restoration of coastal habitats on private or public lands. The Coastal Program is geographically limited to 22 high priority areas. These are Gulf of Maine, Southern New England/New York Bight, Delaware Bay, Chesapeake Bay, Albemarle/Pamlico Sound, South Carolina Coast, South Florida/Everglades, Panama City, Florida, Florida Tampa Bay, the Caribbean, Texas Coast (Upper), Texas Coast (Southern), Great Lakes (Northern), Great Lakes (Southern), San Diego Bay, San Francisco Bay, Humboldt Bay, California, Oregon Coast, Puget Sound, Alaska (South East), Alaska (South Central), and the Pacific Islands.

Applicant Eligibility:

Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Beneficiary Eligibility:

Federal, State, interstate and intrastate agencies; local and tribal governments; public nonprofit institutes and organizations (such as conservation organizations, watershed councils, land trusts, schools and institutions of higher learning); U.S. territories and possessions; private landowners including individuals and businesses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The local Coastal Program Coordinator works with cooperators to design a project that will benefit Federal trust resources. Contact the appropriate Coastal Program Office for application procedures. Information is also available on <http://www.grants.gov/>. Applicant must complete and submit the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction).

Award Procedure:

After a project has been designed and the cost calculated, the local Coastal

Program coordinator will be able to inform the respective cooperator(s) whether or not the Service will provide financial assistance. When the cooperator has signed a cooperative agreement work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

None. However, project partners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects normally funded through annual segments. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Reporting requirements are stated in each cooperative agreement. Contact the appropriate Coastal Program Office for additional information and specific requirements. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-303.

Obligations:

(Direct Payments for Specified Use) FY 09 \$4,022,000; FY 10 est \$6,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

Range is \$5,000 to \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See <http://www.fws.gov/coastal> for contact information and addresses.

Headquarters Office:

Director, Fish and Wildlife Service, Division of Fish and Wildlife Management and Habitat Restoration, Branch of Habitat Restoration, 4401 N. Fairfax Drive, MS 730, Arlington, Virginia 22203 Phone: (703) 358-2232.

Website Address:

<http://www.fws.gov/coastal>.

RELATED PROGRAMS:

15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species and be cost effective. Project selection occurs at the field level. See the annual "Notice of Availability of Federal Assistance" in <http://grants.gov> for more details.

15.631 PARTNERS FOR FISH AND WILDLIFE

Partners for Fish and Wildlife

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774; Fish and Wildlife Act of 1956; 16 U.S.C. 742a-c, 742e-j; Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661-667(e).

OBJECTIVES:

Provide technical and financial assistance to private landowners and Native American Tribes interested in voluntarily restoring or otherwise improving native habitats for fish and wildlife on their lands.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance provided to private landowners (defined to include individuals, local governments, non-government organizations) and Native American Tribes. Program goal is to achieve a 50 percent cost share on projects with matching funds and in-kind contributions provided by the landowners and other partners. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Applicant Eligibility:

Private landowners, tribal governments, local and state governments, educational and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Beneficiary Eligibility:

Private landowners, tribal governments, local and state governments, educational and non-profit institutions and organizations are eligible for financial and technical assistance from Partners for Fish and Wildlife Program. Projects must be located on private lands. Private land is defined by the Partners for Fish and Wildlife Act of 2006, 16 U.S.C. 3771-3774, as any land that is not owned by the Federal Government or a State. Private land includes tribal land and Hawaiian homeland.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The local Partners for Fish and Wildlife Program Coordinator works with the applicant and makes a site visit to design a project that will benefit Federal trust resources and is acceptable for the landowner's needs. Contact the appropriate Partners for Fish and Wildlife Program Office

for application procedures. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Information is also available at <http://www.grants.gov>.

Award Procedure:

After a project has been designed and the cost calculated, the local Partners Program coordinator will be able to inform the private landowner whether or not the Service will provide cost-share assistance. When the landowner has signed a cooperative agreement (a minimum of 10 years) work can begin on the project. The Service reimburses the landowner after the project is completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

None. Private land owners may request additional projects on their lands.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Program goal is to obtain a 50 percent match of Federal monies by partnering with landowners, local community groups and other local and national conservation organizations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cost-share is a one time event that occurs after project construction. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Reporting requirements are stated in each cooperative agreement. Contact the appropriate Partners for Fish and Wildlife Program Office for additional information and specific requirements. Detailed biological reports are prepared on a percentage of projects funded. All projects are monitored for accurate construction. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Report SF 425 is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Accomplishments are recorded for each project in the Habitat Information Tracking System (HabITS). HabITS tracks habitat improvement accomplishments and program-specific technical assistance workloads associated with the Coastal Program and Partners for Fish and Wildlife Program.

Account Identification:

14-1611-0-1-303.

Obligations:

(Direct Payments for Specified Use) FY 09 \$37,000,000; FY 10 est \$42,000,000; FY 11 est \$42,000,000

Range and Average of Financial Assistance:

Cost-share range per project is from \$200 to \$25,000. The average cost per project is \$5,400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 264,069 wetland and upland acres restored, 702 riparian stream miles restored, 123 fish barriers removed. Fiscal Year 2010: actual/anticipated Similar to FY 09. Fiscal Year 2011: anticipated Similar

to FY 09.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information about Program policy and guidelines see the Partners for Fish and Wildlife Program Management Policy in the Fish and Wildlife Service Manual, 640 FW1 (at <http://www.fws.gov/policy/640fw1.html>).

Regional or Local Office:

See Regional Agency Offices. See <http://www.fws.gov/partners> for addresses and contact information.

Headquarters Office:

Director, Fish and Wildlife Service, Division of Habitat Resource Conservation, Branch of Habitat Restoration, 4401 N. Fairfax Drive, MS 730, Arlington, Virginia 22203 Phone: (703) 358-2201. Fax: (703)358-2232

Website Address:

<http://www.fws.gov/partners>.

RELATED PROGRAMS:

15.630 Coastal Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Wetland restoration, upland restoration, river and stream restoration. Fiscal Year 2010: Wetland restoration, upland restoration, river and stream restoration. Fiscal Year 2011: Wetland restoration, upland restoration, river and stream restoration.

CRITERIA FOR SELECTING PROPOSALS:

All projects must benefit Federal trust species, involve on-the-ground habitat restoration, and be cost-effective. Specific criteria for reviewing and ranking projects were established in the national Partners policy, at <http://www.fws.gov/policy/640fw1.html>. The program gives high priority to proposed projects that benefit the National Wildlife Refuge System, species at risk, conserve globally or nationally imperiled habitats; and reduce habitat fragmentation. Also take a look at the annual Notice of Availability of Federal Assistance referenced in <http://grants.gov>.

15.634 STATE WILDLIFE GRANTS

(SWG)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 2009, Public Law 111-88.

OBJECTIVES:

This program funds the development and implementation of projects for the benefit of fish and wildlife and their habitats, including species that are not hunted or fished. Priority is placed on projects that benefit species of greatest conservation concern.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Approved activities include those, which develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or fished.

Applicant Eligibility:

Agencies from the 50 States, the District of Columbia, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the territories of Guam, the U.S. Virgin Islands, and American Samoa with primary responsibility for fish and wildlife conservation may submit grant proposals to the U.S. Fish and Wildlife Service who have the Service's approved Comprehensive Wildlife Conservation Plans.

Beneficiary Eligibility:

General Public (While direct participation is limited to fish and wildlife agencies, the public will ultimately benefit from these wildlife conservation measures.).

Credentials/Documentation:

The State, District of Columbia, Commonwealth, or territory must have the Service's approved Comprehensive Wildlife Restoration Plan on file to receive grant funds. The Plan must consider the broad range of the State, the District of Columbia, Commonwealth, or territory agencies' wildlife and associated habitats, with appropriate priority placed on those species of greatest conservation need. The State, the District of Columbia, Commonwealth, or territorial fish and wildlife Director must furnish a certification of the number paid hunting-license holders. Allowable costs are determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurance forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - construction). In addition, applicants will submit a grant proposal that includes a narrative statement describing the need, objectives, benefits, approach, and estimated cost for the proposed grant along with the standard application forms furnished by the Federal agency and required by the 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and the Fish and Wildlife Service Manual. For further instructions and forms go to <http://wsfrprograms.fws.gov/subpages/toolkitfiles/toolkit.pdf> or www.grants.gov. Grant proposals must clearly indicate how the proposed objectives address the conservation needs identified within the State's, the District of Columbia's, Commonwealth's, or territory's Comprehensive Wildlife Conservation Plan.

Award Procedure:

The Regional Director of the U.S. Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Regional Directors will consider differences of opinion concerning the eligibility of proposals. Final determination rests with the Director of the U.S. Fish and Wildlife Service.

Renewals:

Grantees may renew projects on an annual basis if justifiable and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Requires that: (1) The Secretary of the Interior shall, after deducting administrative expenses and \$7,000,000 for Tribal grants, apportion the remaining amount in the following manner: (A) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (B) to the territories of American Samoa, Guam, the Commonwealth of Virgin Islands, and the Commonwealth of Northern Mariana Islands, each a sum equal to not more than one-fourth of one percent thereof. (2) The Secretary must apportion the remaining amount in the following manner: (A) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (B) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States. The amounts apportioned under this paragraph must be adjusted equitably so that no State must be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount:

The Federal share of project costs is not to exceed 75 percent for planning or competitive grants, nor 50 percent for an implementation grant. More discussion is in the matching and cost sharing requirements found in 43 CFR 12.64 and 43 CFR 12.923.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the State, the District of Columbia, Commonwealth, or territory for a period of two years. Reimbursements can be requested on a continuing basis as expenditures are verified. Balances remaining unobligated after the period of availability or unspent after the final grant closeout must return to the U.S. Fish and Wildlife Service for reapportionment. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A Performance Report is required for each grant award annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Progress reports are not applicable. A Federal Financial Report SF 425 is required for each grant award annually within 90 days after the anniversary date or end of the grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each grant. Records, accounts, and supporting documents must be retained for three years after submission of the final Financial Status Report.

Account Identification:

14-5474-0-1-303.

Obligations:

(Formula Grants) FY 09 \$61,070,312; FY 10 est \$76,496,527; FY 11 est \$78,000,000

Range and Average of Financial Assistance:

Range is \$155,000 to \$3,104,000; Average \$1,109,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Actual/Anticipated. Fiscal Year 2011: Anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of the Interior and Related Agencies Appropriations Act of 2009, Public Law 111-88 language on State Wildlife Grants, and 43 CFR Part 12.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters Office:

Director, Policy and Programs, Fish and Wildlife Service, Division Wildlife and Sport Fish Restoration Program - Branch of Policy and Programs, 4401 North Fairfax Drive, WSFR-4020, Arlington, Virginia 22203 Phone: (703) 358-2156.

Website Address:

<http://wsfrprograms.fws.gov/>.

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Actual/Anticipated. Fiscal Year 2011: Anticipated.

CRITERIA FOR SELECTING PROPOSALS:

The State, the District of Columbia, Commonwealth, or territorial agency having lead responsibility for the management of their wildlife resources must submit the projects. The State, the District of Columbia, Commonwealth, or territorial agency selects those projects submitted for funding under the program. If approved, projects must meet the basic criteria outlined in the regulations and the U.S. Fish and Wildlife Service Manual.

15.635 NEOTROPICAL MIGRATORY BIRD CONSERVATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Neotropical Migratory Bird Conservation Act of 2000, Public Law 106-247, 16 U.S.C. 6101.

OBJECTIVES:

To assist in the conservation of neotropical migratory birds by providing financial resources for the projects of partnerships in countries within the ranges of neotropical migratory birds.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for approved neotropical migratory bird conservation projects. Projects must: enhance the conservation of neotropical bird species in the U.S., Canada, Latin America, or the Caribbean; ensure adequate local public participation in project development and implementation; be implemented in consultation with relevant wildlife management authorities and other appropriate government officials with jurisdiction over the resources addressed by the project; be sensitive to local historic and cultural resources and comply with applicable laws; promote sustainable effective, long-term programs to conserve neotropical migratory birds; and other requirements the Secretary considers to be necessary. The Act requires that not less than 75 percent of the amounts made available each fiscal year shall be expended for projects outside of the U.S. Projects are approved for up to a 2-year period of time.

Applicant Eligibility:

An individual, corporation, partnership, trust, association, or other private entity; an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Beneficiary Eligibility:

An individual, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department, or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or of any foreign government; a State municipality, or political subdivision of a State; or any other entity subject to the jurisdiction of the United States or of any foreign country; or international organization with an interest in neotropical migratory bird conservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant application, including Standard Form (SF) Application for Federal Assistance, and the appropriate Assurances forms (SF-24C and/or SF-24D), is submitted electronically to the address referenced on the website, at <http://www.fws.gov/birdhabitat/Grants/NMBCA>. Information may also be accessed through www.grants.gov. If unable to access the website, request a proposal package, which includes specific requirement information, from the Chief, Division of Bird Habitat Conservation, Fish and Wildlife

Service, Mail Stop MBSP 4075, 4401 North Fairfax Drive, Arlington, VA 22203; Telephone: (703) 358-1784. Instructions are available in English, Portuguese and Spanish.

Award Procedure:

Proposals are reviewed by a panel of experts from the Fish and Wildlife Service and other government agencies, who make funding recommendations to the Director. After projects are selected for funding, a grant agreement between the Fish and Wildlife Service and the grantee is drafted by the Division of Bird Habitat Conservation (DBHC). The agreement is then processed by DBHC and sent to the recipient. The agreement is considered to be executed on the date of receipt by the recipient, as evidenced by return of registered mail receipt to DBHC. Other than the registered mail signature, an agreement signature is not required of the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposal.

Appeals:

None. Proposals not funded may be resubmitted.

Renewals:

Proposals are funded on a project-specific basis. Requests for future funding must compete with other requests for project funding. A grant agreement can be modified or extended at the discretion of the Division of Bird Habitat Conservation.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In determining whether to accept projects for funding approval, applicants must guarantee a 3:1 match amount. That is, the applicant must match each Act dollar with 3 non-Federal dollars. Federal dollars refers to U.S. Federal dollars. Federal dollars of non-U.S. governments are eligible. In the U.S., match must be in cash; outside of the U.S., match may either be in cash or in-kind.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unless the project is extended, awarded funds must be spent during the approved Period of Performance of the grant agreement, and in accordance with financial and reporting procedures specified in the grant agreement. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Interim and final reports must be submitted in accordance with the terms and conditions of the grant agreement. Final reports must be filed with the Division of Bird Habitat Conservation within 90 days of the end of the project termination date, and must include performance report. Cash reports are not applicable. Progress reports are not applicable. Recipients requesting the ability to receive funds in advance must submit quarterly the SF-425 Federal Financial Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative

Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1696-0-1-303.

Obligations:

(Project Grants) FY 09 \$4,607,500; FY 10 est \$4,850,000; FY 11 est \$0

Range and Average of Financial Assistance:

Ranged from \$2,000 to \$250,000, with an average of \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Please visit <http://www.fws.gov/birdhabitat/Grants/NMBCA/index.shtm> for program accomplishment information. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

NMBCA Grant Application Instructions; U.S. Grant Administration Standards (for grants within the U.S.); 43 CFR Part 12 and 49 CFR Part 24.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Chief, Department of the Interior, Fish and Wildlife Service, Division of Bird Habitat Conservation, Mail Stop MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1784 Fax: (703) 358-2282.

Website Address:

<http://www.fws.gov/birdhabitat/Grants/NMBCA>.

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Please visit <http://www.fws.gov/birdhabitat/Grants/NMBCA/ApprovProj.shtm> for project information. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be screened and selected for funding primarily on the basis of criteria contained in 16 U.S.C. 6101. However, proposals will also be evaluated on: scope and significance of effort; importance of resource values; relationship of project to other efforts locally/regionally; and feasibility and cost-effectiveness.

15.636 ALASKA SUBSISTENCE MANAGEMENT

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Alaska National Interest Lands Conservation Act (ANILCA) of 1980, Title VIII, Public Law 96-487, 16 U.S.C. 3101-3233, Section 809. Fish and Wildlife Coordination Act (16 U.S.C. 661 to 666).

OBJECTIVES:

To identify and provide information needed to sustain subsistence fisheries and wildlife management on Federal public lands, for rural Alaskans, through a multidisciplinary, collaborative program. Three programs are administered under ANILCA to fulfill this objective. The Fisheries Resource Monitoring Program (Monitoring Program) funds approximately 80 studies annually to provide information on stock status and trends, harvests, and traditional ecological knowledge to manage and regulate Federal subsistence fisheries. The Partners for Fisheries Monitoring Program (Partners Program) strengthens Alaska Native and rural involvement in subsistence fisheries and research. The program provides funds directly to Alaska Native and rural organizations to hire fishery biologists, social scientists, and educators to implement fisheries monitoring projects and rural internship programs. The Liaison and Staff Support Grant to the State of Alaska is directed specifically to the Alaska Department of Fish and Game. The grant defrays salary and travel expenses that occur in support of the coordination between State and Federal subsistence fish and wildlife programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for approved fish and wildlife subsistence management, fisheries monitoring and traditional ecological knowledge projects.

Applicant Eligibility:

An individual/family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska; Federally recognized Indian Tribal Government (including any Native village as defined in the Alaska Native Claims Settlement Act).

Beneficiary Eligibility:

An individual/Family, profit organization, other private institution/organization, public nonprofit institution/organization; an officer, employee, agent, department, or instrumentality of the Federal government, of the State of Alaska, municipality or political subdivision of the State of Alaska, or of any foreign government.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF)-424, the appropriate Budget and Assurances forms (SF-424A and SF-424B - Non-construction; or SF-424C and SF-424D - Construction), Certifications Regarding Debarment, Suspension and Other Responsibility Matters, Drug-Free Workplace Requirements and Lobbying, and, if applicable, SF LLL Disclosure of Lobbying Activities.

Award Procedure:

For the Monitoring Program, an inter-agency technical review committee evaluates and ranks proposals, and, for those selected for further consideration, subsequently the full investigation plans. The committee develops a draft monitoring program from the highest priority projects, based on their technical review and available funds. The draft monitoring plan is reviewed by ten regional advisory councils and the public. An inter-agency staff committee reviews all recommendations, and provides recommendations. The Federal Subsistence Board selects projects for the Monitoring Plan taking into account all recommendations and comments. Funding of the Monitoring Plan is administered by the Office of Subsistence Management (OSM). For the Partners Program, an internal review is conducted to evaluate proposals and make recommendations for funding. The evaluations are based on the criteria outlined in the request for federal assistance. The proposals are ranked and the OSM Assistant Regional Director selects proposals that best support the program within available funding. Once a Monitoring Program project or Partners Program position is selected for funding, a funding instrument, i.e., notice of award, between Fish and Wildlife Service and the recipient is drafted by the OSM. The award is processed by OSM through the contracting office and sent to the recipient for signature. The award is considered executed when signed copies of the agreement are received from the recipient and the contracting office has obligated funds to the agreement. The awards are administered by OSM. For the Liaison and Staff Support Grant, the Alaska Department of Fish and Game is the only non-Federal entity with management authority over fish and wildlife resources. This grant agreement is not open to competition outside the Alaska Department of Fish and Game.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Federal Subsistence Board action on the Monitoring Plan is taken no later than January 20, with administrative follow-up by OSM taking place immediately thereafter. OSM decisions on Partners Program positions are announced within one year of the Request for Proposals.

Appeals:

Not Applicable.

Renewals:

Monitoring projects and positions may be approved for one to four years duration. Applicants may re-apply during subsequent Request for Proposals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There is no requirement for cost sharing, matching, or cost participation. However, applicants are encouraged to document matching contributions. Proposals with substantial cost sharing will be ranked higher than similar proposals with no identified cost sharing.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For the Monitoring and Partners Programs, upon approval, the project may be extended or the agreement modified for a period not to exceed five years from initial award. Awarded funds must be spent during the approved Period of Performance of the award, and in accordance with financial and reporting procedures specified in the award. For the Liaison and Staff Support Grant, award is for one year. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress, annual, final, performance, and significant development reports are to be submitted in accordance with terms and conditions of the award. Interim and final Federal Financial Reports, SF-425 are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for cooperative agreements awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for cooperative agreements awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F and 2 CFR, Part 215 "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

(Cooperative Agreements) FY 09 \$3,257,497; FY 10 est \$3,237,629; FY 11 est \$2,770,254 - Obligations by program activity: Fisheries Resource Monitoring Program: FY 09 \$1,573,872; FY 10 \$2,463,629; and FY 11 estimated \$1,995,550.

Partners for Fisheries Monitoring Program: FY 09 \$1,263,625; FY 10 \$354,000; and FY 11 \$354,704.

Liaison and Staff Support Grant: FY 09 \$420,000; FY 10 estimated at \$420,000; FY 11 estimated at \$420,000.

Range and Average of Financial Assistance:

Variable amounts. Fisheries Resource Monitoring Program: Awards range from \$10,000 to \$500,000. Partners for Fisheries Monitoring Program: Awards range from \$75,000 to \$150,000. Liaison and Staff Support Grant: Awards are approximately \$420,000 annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The number of projects approved for funding varies from each program cycle. The FY 09 awards funded were for continuing projects in the amount of \$1,573,872. Fiscal Year 2010: For the 2010 Monitoring Plan, the program received 63 proposals; 44 were approved for funding. Of those 44 approved projects, and 15 were awarded as cooperative agreements for \$2,463,629. The request for Proposals for the 2012 Monitoring Plan will be announced November 2010. Fiscal Year 2011: FY 11 will again be a year that funding is provided for continuation of existing projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative agreements/project grants will be administered in conformance with the Fish and Wildlife Service Administrative Service Manual and 43 CFR 12; 2 CFR 215.

Regional or Local Office:

See Regional Agency Offices. For the Fisheries Monitoring and Partners for Fisheries Monitoring Programs: Kathleen Orzechowski, Program Specialist, Office of Subsistence Management, 1011 E Tudor Road, MS 121, Anchorage, Alaska 99503. Telephone: (907)786-3645, E-mail: kathleen_orzechowski@fws.gov. For the Liaison and Staff Support Grant: Chuck Ardizzone, Wildlife Biologist, Office of Subsistence Management, 1011 E Tudor Road, MS 121, Anchorage, AK 99503, Telephone: (907) 786-3871, E-mail: chuck_ardizzone@fws.gov.

Headquarters Office:

Karie Crow, Office of Subsistence Management, 1011 E. Tudor Road, MS 121, Anchorage, Alaska 99503 Email: karie_crow@fws.gov Phone: (907) 786-3387.

Website Address:

<http://alaska.fws.gov/asm/index.cfm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For the Monitoring Program, each proposal is evaluated using the following four criteria: (1) Strategic Priorities: Studies must be responsive to identified issues and information needs. All projects must have a direct association to a Federal subsistence fishery, and either the subsistence fishery or fish stocks in question must occur in waters within or adjacent to Federal public lands; (2) Technical and Scientific Merit: Technical quality of the study design must meet accepted standards for information and collection, compilation, analysis, and reporting. Studies must have clear objectives, appropriate sampling design, correct analytical procedures, and specified progress and final reports; (3) Investigators Ability and Resources: Investigators and their organizations should have demonstrated technical and administrative expertise to complete prior studies, or have co-investigators or appropriate partnerships with other organizations to meet all requirements of the study; (4) Partnership-Capacity Building: Studies must include appropriate partners and contribute to building capacities of rural organizations, local communities, and residents to participate in fisheries resource management. Investigators and their organizations should be able to demonstrate the ability to maintain effective local relationships and a commitment to capacity building. For the Partners Program, each proposal is evaluated using the following equally weighted criteria: (1) Ability to successfully implement the program as described; (2) Strategic priority and past performance of the Monitoring Program project; (3) Letters of support from tribal and rural organizations, government agencies, project investigators, and schools/universities; (4) Annual and total cost of the proposal, including the use of in-kind or matching contributions that either decrease cost or expand support for implementing the Partners Program; (5) Past performance on implementing Monitoring Program projects; (6) Past performance on current or past agreements with the Office of Subsistence Management; (7) Previous experience in mentoring, training, and supervising personnel; (8) Past and

current accounting practices to ensure deliverables and accountability; (9) The following items must be included in the application for successful submission and review. Each of these items carries a "Go/No-Go" impact: lack of direct linkage of a Monitoring Program project, enrollment in CCR; affirming in writing in your application that you have enrolled in CCR; providing your DUNS Number; submission of completed SF-424, SF-424A, and SF-424B package; and submission of approved Indirect Cost Negotiation Agreement "if requesting reimbursement. . ." if requesting reimbursement for indirect costs, unless no indirect reimbursement is being requested. For the Liaison and Staff Support Grant, the Alaska Department of Fish and Game is the only non-federal entity with management authority over fish and wildlife resources. This grant agreement is not open to competition outside the Alaska Department of Fish and Game.

15.637 MIGRATORY BIRD JOINT VENTURES

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, (16U.S.C. 709a).

OBJECTIVES:

To protect, restore, and enhance wetland and upland ecosystems for the conservation of migratory birds.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Joint Ventures are public/private partnerships, guided by a Management Board of public/private representatives and use a U.S. Fish and Wildlife Service (USFWS) approved Implementation Plan that establishes conservation goals, priorities, and strategies. The Implementation Plan typically includes stepped down goals and objectives from a variety of national and international bird planning efforts. A Joint Venture Coordinator facilitates the coordination and implementation of the Joint Venture program. The USFWS provides basic administrative funding to the Joint Venture to cover costs associated with coordination; planning; monitoring, evaluation and applied research; communications and outreach; and project development and implementation. Joint Ventures may provide Federal assistance to partners within these categories to achieve the goals of the Joint Venture. The USFWS does not fund the entire scope of Joint Venture operations. Joint Venture partners are expected to contribute funding towards one or more of these categories. Non-federal match is not required, but leveraging USFWS dollars provided for Joint Ventures administration is a critical component of the Joint Ventures program.

Applicant Eligibility:

Federal, State and local government agencies, Federally recognized Indian Tribal governments, private nonprofit institutions/organizations; public nonprofit institutions/organizations; profit organizations, interstate and intrastate entities, and individuals or families who are also private landowners.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Applicant must participate or intend to participate in strategic conservation planning, implementation and evaluation activities designed to meet the Joint Venture objectives as identified in an approved Implementation Plan and all related plans. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Joint Venture partner/potential partner may contact Joint Venture Coordinator to

discuss Joint Venture (JV) needs and objectives, or JV Coordinator may initiate the contact. Coordinators work with partners/potential partners to design projects and discuss cost-share programs/activities that will contribute to meeting Joint Venture goals. Preparation of Environmental Impact Statements is

not a prerequisite for receiving Federal assistance/funding. State Plans are not required. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

Once the project is designed and either fits within an approved Implementation Plan or is reviewed and approved by a Joint Ventures Management Board, a cooperative agreement or grant agreement is written, executed, and work can begin.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

180 Days.

Appeals:

Not Applicable.

Renewals:

None. Partners/potential partners may request additional projects to meet Joint Venture goals and objectives.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements are normally written for a 1 to 5 year period. For project cost-share grants, a 2 year period for expenditure of funds is the norm. Payments are generally made on a quarterly basis. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed on scope of work. Written reports on completion of the project are generally required. Recipients are required to submit annual Federal Financial Report SF 425 within 90 calendar days after the grant year (i.e., 12 months after the approved effective date of the assistance agreement and every 12 months thereafter until the expiration date of the assistance agreement). A final Federal Financial Report SF 425 is required 90 calendar days after the expiration or termination of the assistance agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The Recipient shall maintain a complete, detailed accounting system to report expenditures of grant funds and accomplishments achieved under the award. Records, accounts, and supporting documents must be retained for 3 years after submission of the final Federal Financial Status Report (SF 425).

Account Identification:

14-1611-0-1-303.

Obligations:

(Cooperative Agreements) FY 09 \$5,006,886; FY 10 est \$4,000,000; FY 11 est \$4,000,000

Range and Average of Financial Assistance:

Range is \$2,400 to \$900,000; Average \$225,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of 2009, there are 18 habitat Joint Ventures that focus on regions containing critical habitats and 3 species Joint Ventures that focus on individual species in the U.S., Canada, and Mexico. Fiscal Year 2010: As of FY10, Joint Venture partners have cumulatively invested \$4.5 billion to protect, restore or enhance more than 15 million acres of wetlands, grasslands, forests and riparian habitats in the U.S. alone. Joint Ventures adapt program principles to their own geographic and cultural conditions and pool limited resources to successful conservation actions that involve a variety of public and private stakeholders. Fiscal Year 2011: It is estimated that similar results will be achieved in FY11.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fish and Wildlife Service Policy 721 FW6, Joint Venture Implementation Plans, Joint Venture Accomplishment Reports.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for addresses.

Headquarters Office:

Joint Venture Liaison Officer, Department of the Interior, Fish and Wildlife Service, Division of Bird Habitat Conservation, Mail Stop MBSP 4075, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Email: DBHC@fws.gov Phone: (703) 358-1784 Fax: (703) 358-2282.

Website Address:

www.grants.gov; <http://www.fws.gov/birdhabitat/JointVentures/index.shtm>.

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.631 Partners for Fish and Wildlife; 15.635 Neotropical Migratory Bird Conservation ; 15.647 Migratory Bird Conservation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Types of projects funded in FY09; same for FY10; estimated to be the same for FY11: Administration, coordination and assessment of management practices necessary to ensure the effective operation and implementation of a Joint Venture. Develop integrated migratory bird habitat landscape planning, including development of biological models, spatial analysis and Geographic Information systems to develop Joint Venture objectives. Monitoring, evaluation and applied research including testing planning assumptions, management uncertainties, development of baseline data and data bases, biological assessments, species and habitat surveys and research projects necessary to assess biological performance; socioeconomic surveys to better understand economic and cultural issues affecting conservation in the Joint Venture. Habitat conservation, i.e., protection, restoration and enhancement of wetland, riparian, grassland, forest, coastal and estuarine habitats, including work with private landowners, to achieve Joint Venture objectives. Communications and outreach efforts include newsletters, educational pamphlets, web sites, technical assistance, workshops and training for Joint Venture partners. Fiscal Year 2010: Types of projects funded in FY09; same for FY10; estimated to be the same for FY11: Administration, coordination and assessment of management practices necessary to ensure the effective operation and implementation of a Joint Venture. Develop integrated migratory bird habitat landscape planning, including development of biological models, spatial analysis and Geographic Information systems to develop Joint Venture objectives. Monitoring, evaluation and applied research including testing planning assumptions, management uncertainties, development of baseline data and data bases, biological assessments, species and habitat surveys and research projects necessary to assess biological performance; socioeconomic surveys to better understand economic and cultural issues affecting conservation in the Joint Venture. Habitat conservation, i.e., protection, restoration and enhancement of wetland, riparian, grassland, forest, coastal and estuarine habitats, including work with private landowners, to achieve Joint Venture objectives. Communications and outreach efforts include newsletters, educational pamphlets, web sites, technical assistance, workshops and training for Joint Venture partners. Fiscal Year 2011: Types of projects funded in FY09; same for FY10; estimated to be the same for FY11: Administration, coordination and assessment of management practices necessary to ensure the effective operation and implementation of a Joint Venture. Develop integrated migratory bird habitat landscape planning, including development of biological models, spatial analysis and Geographic

Information systems to develop Joint Venture objectives. Monitoring, evaluation and applied research including testing planning assumptions, management uncertainties, development of baseline data and data bases, biological assessments, species and habitat surveys and research projects necessary to assess biological performance; socioeconomic surveys to better understand economic and cultural issues affecting conservation in the Joint Venture. Habitat conservation, i.e., protection, restoration and enhancement of wetland, riparian, grassland, forest, coastal and estuarine habitats, including work with private landowners, to achieve Joint Venture objectives. Communications and outreach efforts include newsletters, educational pamphlets, web sites, technical assistance, workshops and training for Joint Venture partners.

CRITERIA FOR SELECTING PROPOSALS:

Applicants must be an existing or potential partner of a Joint Venture, and must agree to support Joint Venture goals and objectives. Project must fall within scope of a Management Board approved Joint Venture Implementation Plan and related conservation plans. Projects must contribute to overall Joint Venture goals and objectives. Project must be cost-effective. Projects that leverage grant funds with partner funds or in-kind services will receive preference.

15.639 TRIBAL WILDLIFE GRANTS PROGRAM

Tribal Wildlife Grants (TWG)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, FY 2004, Title I, Public Law 108-108, Land and Water Conservation Fund Act of 1965, 16 U.S.C. 4601-4 through 11., Public Law 108-108, 16 U.S.C 4601-4 thru 11.

OBJECTIVES:

To develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or fished.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Approved activities include those which develop and implement programs for the benefit of wildlife and their habitat, including species that are not hunted or fished.

Applicant Eligibility:

Participation is limited to Federally recognized Indian tribal governments. For the purposes of inclusion under TLIP, tribal trust lands are treated similarly to lands under private ownership.

Beneficiary Eligibility:

Anyone/General Public (While direct participation is limited to Federally recognized Indian tribal governments, the general public will ultimately benefit from these wildlife conservation measures).

Credentials/Documentation:

Only Federally recognized Tribes in all parts of the United States are eligible to receive grants under this program, including Federally recognized Tribes, Pueblos, Rancherias, and Alaska Native Villages or traditional councils as defined by the Alaska Native Claims Settlement Act. Federally recognized Tribes are listed in FR/Vol. 73, No. 66, p. 18553/April 4, 2008. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicant must complete the Application for Federal Assistance SF 424, and the appropriate Budget and Assurances forms, SF 424A and SF424B - Non Construction; or SF 424C and SF 424 D - Construction. Application procedures are spelled out in the "Tribal Wildlife Grant Application Kit" available electronically at:

<http://grants.fws.gov/tribal.html>. To receive a paper copy, contact the regional Office of the Native American Liaison.

Award Procedure:

The Director of the Fish and Wildlife Service or his or her designee approves or disapproves proposed projects. Regional offices are responsible for notification of grant approval to the grantee and will coordinate the development of the grant agreement.

Deadlines:

May 01, 2011 Request for proposals is May 1 of each year and applications are due 120 days hence.

Range of Approval/Disapproval Time:

Proposals will be scored, ranked and selected approximately 90 working days after the deadline for submitting proposals.

Appeals:

Any differences of opinion over the eligibility of proposed activities or differences arising over the conduct of work may be appealed to the Director, Fish and Wildlife Service. Final determination rests with the Secretary of the Interior.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. There is no matching requirement, however, the Service will consider matching funds as an indication of tribal commitment to the program and to encourage partnerships. Matching and cost sharing requirements are discussed in 43 CFR Part 12, Section 12.64.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Apportioned funds are available for obligation by the Tribe until expended. Reimbursements can be requested on a continuing basis as expenditures are verified. Balances remaining unobligated or unspent after the final grant closeout are returned to the Fish and Wildlife Service for reapportionment. See the following for information on how assistance is awarded/released: Information not available.

Reports:

A Performance Report is required for each grant annually within 90 days after the anniversary date or end of the grant. Cash reports are not applicable. Progress reports are not applicable. A Federal Financial Report SF 425 is required for each grant annually within 90 days after the anniversary date or end of the grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each project. Records, accounts, and supporting documents must be retained for three years after submission of the final Federal Financial Report (SF-425).

Account Identification:

14-5474-0-1-303.

Obligations:

(Project Grants) FY 09 \$7,000,000; FY 10 est \$7,000,000; FY 11 est \$7,000,000

Range and Average of Financial Assistance:

Range \$0 - \$200,000; Average \$167,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Kit is located at <http://www.fws.gov/nativeamerican/>

Regional or Local Office:

See Regional Agency Offices. Region 1 Fish and Wildlife Service
Native American Liaison
Eastside Federal Complex
911 NE 11th Avenue
Portland, OR 97232-4181

Region 2 Fish and Wildlife Service
Native American Liaison
500 Gold Avenue, SW
P.O. Box 1306
Albuquerque, NM 87103-1306

Region 3 Fish and Wildlife Service
Native American Liaison
1 Federal Drive
Fort Snelling, MN 55111-4080

Region 4 Fish and Wildlife Service
Native American Liaison
1875 Century Boulevard
Atlanta, GA 30345

Region 5 Fish and Wildlife Service
Native American Liaison
300 Westgate Center Drive, Hadley, MA 01035-9589

Region 6 Fish and Wildlife Service
Native American Liaison
P.O. Box 25486
Denver, CO 80225

Region 7 Fish and Wildlife Service
Native American Liaison
1011 East Tudor Road
Anchorage, AK 99503-6199

Region 8 Fish and Wildlife Service
Tribal Partnerships Specialist
Habitat Restoration Division
2800 Cottage Way, Rm W-2606
Sacramento, CA 95825.

Headquarters Office:

Native American Liaison, Fish and Wildlife Service, Department of the Interior, 4401 N Fairfax Drive: Mail Stop-330 ARL, Arlington, Virginia 22203
Phone: (202) 208-4133 Fax: (202) 501-3524.

Website Address:

<http://www.fws.gov/nativeamerican/>

RELATED PROGRAMS:

15.623 North American Wetlands Conservation Fund; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following criteria is used to select proposals: (1) Resource Benefit: What are the expected benefits to fish and wildlife resources, including species that are not hunted or fished, and their habitat if this program is successfully completed? The Service requires that the Tribe articulate how the benefits of its proposal support the goals and objectives of the TWG and Service and Tribal Performance Goals in their proposal narratives. (2) Performance Measures: To what extent does the proposal provide obtainable and quantifiable performance measures and a means to monitor, evaluate, and report on these measures compared to an initial baseline? The measures should be specific and clear, and should provide demonstrable benefits to the target species of the action. These

actions must support the goals and objectives of the TWG, the Service and the Tribe. (3) Work plan: Are the program activities and objectives well-designed and achievable? (4) Budget: Are all major budget items justified in relation to the program objectives and clearly explained in the narrative description? (5) Capacity Building: To what extent does the program increase the grantee's capacity to provide for the benefit of wildlife and their habitat? (6) Contributions and Partnerships: To what extent does the applicant display commitment to the project proposal through in-kind contribution or matching funds and to what extent does it incorporate contributions from other nonfederal partners in the form of either cash or in-kind services?.

15.640 WILDLIFE WITHOUT BORDERS- LATIN AMERICA AND THE CARIBBEAN

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended (16 U.S.C. 1531-43).

OBJECTIVES:

The Wildlife Without Borders-Latin America and the Caribbean Program focuses on strengthening the ability of Latin American and Caribbean institutions to manage and conserve species, habitats and ecological processes for the benefit of the people of the Americas and the world. Of particular interest are projects that build the human and institutional capacity to effectively conserve and manage natural protected areas in Latin America and the Caribbean. Program objectives are: (1) To advance, through capacity building, the transition of protected areas from legally established but under-implemented paper parks to effectively managed landscapes, benefiting species of regional concern; and (2) To develop, strengthen and replicate high-quality conservation training programs that reach the priority audiences associated with protected areas. The priority audiences for the training supported by this Program include: Protected area managers and administrators; Protected area guards and rangers; Protected area outreach and education specialists; Community leaders (living in and around protected areas); Upper-level students (working on projects in and around protected areas); and Decision-makers who influence protected areas (including private landowners, legislators, and public officials).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects should take place in Latin America or the Caribbean, ideally in a recognized high priority ecosystem or ecoregion of biome, or a key location associated with flagship, endangered or migratory species of regional concern. If work is to be conducted outside that region, the proposal must explain why it is preferable that the project is implemented elsewhere. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government agencies; non-profit, non-governmental organizations; public and private institutions of higher education; and any other

organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at the <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on the program website at <http://www.fws.gov/international/DIC/regional%20programs/lac/lac.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Latin America & the Caribbean, Division of International Conservation, Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203.

Award Procedure:

Projects are reviewed and competitively selected for funding using criteria developed specifically for this program. Review criteria can be found in the application information at <http://www.fws.gov/international/DIC/regional%20programs/lac/lac.html>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Apr 15, 2011 This program has two annual deadlines. The first deadline is October 15 each year. The second deadline is April 15 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified (e.g. extended for time or budget changes made).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Applicants or other project partners must contribute funds to the project, which may include cash or in-kind contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance indicated on the Assistance Award, and in accordance with the financial and performance reporting procedures specified in the Assistance Award. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Performance reports are to be submitted in accordance with the terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at <http://www.fws.gov/international/DIC/regional%20programs/lac/lac.html>. Cash reports are not applicable. Progress reports are not applicable. For financial reporting, Domestic Recipients with no program income to report shall use Federal Financial Report, Standard Form 425. Domestic recipients with program income to report shall use Federal Financial Report SF 425.

Non-domestic Recipients shall submit a financial report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations".

Account Identification:

14-1611-0-1-303.

Obligations:

(Project Grants) FY 09 \$1,111,504; FY 10 est \$957,875; FY 11 est \$950,000

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting less than \$25,000. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, the Service funded 34 conservation projects under this program. A summary of each of those projects can be found at the program's webpage at <http://www.fws.gov/international/DICregional%20programs/lac/lac.html>. Fiscal Year 2010: In FY 2010, the Service expects to fund 30 conservation projects under this program. A summary of each of those projects can be found at the program's webpage at <http://www.fws.gov/international/DICregional%20programs/lac/lac.html>. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at http://www.fws.gov/international/DIC/pdf/AAG_9-6-07.pdf or in hard copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief, Fish and Wildlife Service, Department of the Interior, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, Virginia 22203-1622 Email: WWB_LAC@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

http://www.fws.gov/international/DIC/grants/grants_home.html

RELATED PROGRAMS:

15.641 Wildlife Without Borders-Mexico ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting less than \$25,000. A complete

list of the criteria used to select projects under this program can be found in the Latin America & the Caribbean Notice of Funding Availability and Application Instructions located on line at <http://www.fws.gov/international/DIC/regional%20programs/lac/lac.html>. Hard copies are available upon request from the Division of International Conservation.

15.641 WILDLIFE WITHOUT BORDERS-MEXICO

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, as amended, 16 U.S.C. 1531-43.

OBJECTIVES:

To provide support for projects that build Mexico's human and institutional capacity for biodiversity conservation and management through training associated with Mexican nature reserves. Of interest are projects that provide training in biodiversity conservation or natural resource management associated with federal, state, or local nature reserves, or areas in the process of acquiring protection status, as well as other priority biodiversity areas designated by the Government of Mexico.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding will be provided for training projects, including short-term (2-3 week) on-the-job courses certified by an educational institution (Diplomados), workshops, personnel exchanges, training provided through on-the-ground practices, among other delivery mechanisms, with the following objectives: (1) develop specific conservation/management skills of resources managers by addressing critical gaps in formal and informal training in Mexico while increasing the number of personnel trained; (2) promote training that links sound management practices in nature reserves to the creation of economic opportunities for buffer zone communities; and (3) raise the level of awareness and capacity of key stakeholder groups to deliver conservation. Applicants should be proposing work to be conducted in Mexico. If work is to be conducted in the United States, the proposal must show a clear connection to capacity building for biodiversity conservation in Mexico to be eligible for funding. Funds provided under this program will not be used for the following activities: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, treaties, laws or regulations of either the U.S. or Mexico. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Participation is limited to Federal, State and local governments, non-profit, non-governmental organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Beneficiary Eligibility:

Federal, State and local government; public nonprofit institution/organizations; public and private institutions of higher education; and any other organization or individual with demonstrated experience deemed necessary to carry out the proposed project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The program announcement and application instructions for this program can be found at <http://www.grants.gov>. The Notice of Funding Availability and Application Instructions are also located on this programs website at <http://www.fws.gov/international/DIC/regional%20programs/mexico/mexico.html>. If you are unable to access the Internet, hard copies are available upon request from the Branch of Mexico, Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, VA 22203.

Award Procedure:

Projects are simultaneously reviewed by a panel convened by the U.S. Fish and Wildlife Service and a panel convened by the Mexican Ministry of the Environment and Natural Resources (SEMARNAT). Final funding decisions are made jointly by representatives of the Service and SEMARNAT based on the recommendations of the two review panels. Review criteria can be found in the application information at <http://www.fws.gov/international/DICprograms/mexico.htm>. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Oct 01, 2010 Proposals must be submitted to both the Fish and Wildlife Service and SEMARNAT on or before October 1 each year.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are normally made no later than 180 days after the receipt of the project proposals.

Appeals:

Not Applicable.

Renewals:

None. However, at the discretion of the Division of International Conservation awards can be modified, e.g.; extended for time or budget changes made.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Applicants or other project partners must contribute funds to the project, which may include cash or in-kind contributions. In determining whether to approve project proposals, preference is given to projects for which matching funds are available. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Upon approval, the project may be extended or the agreement modified. Awarded funds must be spent during the period of performance that is approved for each Assistance Award, and in accordance with the program financial and performance reporting procedures specified in the Assistance Award document. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Performance reports are to be submitted in accordance with the terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this programs web page at <http://www.fws.gov/international/DIC/regional%20programs/mexico/mexico.html>. Cash reports are not applicable. Progress reports are not applicable. For financial reporting, domestic recipients with no program income to report shall use Standard Form SF-425. Non-domestic recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget. Performance monitoring is not

applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient will maintain records in accordance with the provisions of 43 CFR part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Nonprofit Organizations".

Account Identification:

14-1611-0-1-303.

Obligations:

(Project Grants) FY 09 \$721,292; FY 10 est \$802,988; FY 11 est \$720,000

Range and Average of Financial Assistance:

Variable amounts. The average grant amount is \$35,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the U.S. Fish and Wildlife Service funded 17 conservation projects under this program. A summary of each of those projects can be found at the program's webpage at <http://www.fws.gov/international/DICprograms/mexico.htm>. Fiscal Year 2010: In FY 2010, the Service expects to fund 15 conservation projects under this program. A summary of each of those projects can be found at the program's webpage at <http://www.fws.gov/international/DICprograms/mexico.htm>. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with the Assistance Award Guidelines established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The Assistance Award Guidelines can be found on-line at <http://www.fws.gov/international/DIC/regional%20prgrams/mexico/mexico.html> or in hard copy by contacting the Division of International Conservation.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Chief, Fish and Wildlife Service, Department of the Interior, Division of International Conservation, 4401 North Fairfax Drive, Room 100, Arlington, Virginia 22203-1622 Email: WWB_Mexico@fws.gov Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

http://www.fws.gov/international/DIC/grants/grants_home.html .

RELATED PROGRAMS:

15.640 Wildlife Without Borders- Latin America and the Caribbean ; 15.651 Wildlife Without Borders-Africa Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting less than \$50,000.00. A complete list of the criteria used to select projects under this program can be found in the Wildlife Without Borders-Mexico Notice of Funding Availability and Application Instructions, located on line at <http://www.fws.gov/international/DIC/regional%20programs/mexico/mexico.html>. Hard copies can be obtained by contacting the Division of International Conservation at (703) 358-1754.

15.642 CHALLENGE COST SHARE

(CCS)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act, 2004, Title I, Public Law 108-108.

OBJECTIVES:

Encourage partnerships with nonfederal governments, private individuals and organizations, educational institutions, philanthropic and charitable groups, and businesses to support the mission of the Service. Projects must conserve, protect, and enhance fish, wildlife, and plants for the continuing benefit of the American people.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for approved Challenge Cost Share projects. It is the policy of the Service to encourage the use of Challenge Cost Share agreements to complete projects on and off Service lands. The program requires that the Service expend no more than 50% of the cost to complete project and that a minimum of the other 50% of the funds be of nonfederal origin.

Applicant Eligibility:

Applicants may be an individual/family, minority group, specialized group, small business, profit organization, private nonprofit/organization, quasi-public nonprofit institution/organization, native American, Federal, Interstate, Intrastate, State, Local, Sponsored organization, public nonprofit institution/organization, other public institution/organization, U.S. territory, or any organization with interests which support the mission of the Service. This program requires the cooperator(s) to provide a minimum of 50 percent of cost share from non-Federal sources.

Beneficiary Eligibility:

An individual/family, profit organization, other private institution/organization, public nonprofit institution/organization, an officer, employee, agent, department or instrumentality of the Federal Government, of any State, municipality, or political subdivision of a State, or any other entity subject to the jurisdiction of the United States with interests which support the mission of the Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Interested party contacts the local National Wildlife Refuge, Partners for Wildlife or other Fish and Wildlife Service Office to design a project that will support the mission of the Service. Proposals, including Standard Form 424 "Application for Federal Assistance," are submitted to the cooperating Service office that forwards them to their servicing Regional Office.

Award Procedure:

The Regional Challenge Cost Share Coordinator assembles a committee of Fish and Wildlife Service employees from a variety of disciplines to review, rank and select the proposals for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals are usually made no later than 180 days after the receipt of the project proposals. If funding is approved, a

Challenge Cost Share Agreement (CCSA) is written between the Service and a cooperator(s) in which there is an equal partnership between the Federal government and the cooperator(s) that produces or provides a tangible product which mutually benefits Service objectives and the public. The Regional Contracting and General Services offices will review all CCSA's for contractual sufficiency. The agreements will be signed by the cooperator(s) and the Regional Director.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Challenge Cost Share Agreements are written for funds that should be spent within 1 year; upon approval, agreements may be extended beyond the 1 year for reasonable cause. See the following for information on how assistance is awarded/released: Information not available.

Reports:

The Challenge Cost Share Management Information System is used to monitor project accomplishment. Each Region certifies that all CCS projects funded for each fiscal year were completed and provides a summary report noting project accomplishment. Cash reports are not applicable. Progress reports are not applicable. Applicant must complete the Federal Financial Report (SF-425). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

14-1611-0-1-303.

Obligations:

(Project Grants) FY 09 \$6,400,000; FY 10 est \$6,400,000; FY 11 est \$6,400,000

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: These projects focus on restoration of natural resources and/or the establishment or expansion of wildlife habitats with durable, lasting results; and/or wildlife-oriented recreational and education programs. This effort indicates the importance of these conservation efforts by leveraging approximately \$1.60 for every \$1 in Federal funding for National Wildlife Reserve System projects. Fiscal Year 2010: These projects focus on restoration of natural resources and/or the establishment or expansion of wildlife habitats with durable, lasting results; and/or wildlife-oriented recreational and education programs. Fiscal Year 2011: These projects focus on restoration of natural resources and/or the establishment or expansion of wildlife habitats with durable, lasting results; and/or wildlife-oriented recreational and education programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Catalog Appendix IV for addresses.

Headquarters Office:

Assistant Director, Fish and Wildlife Service, Department of the Interior, National Wildlife Refuge System, 4401 N. Fairfax Drive, Suite 670., Arlington, Virginia 22203 Phone: (703) 358-2248.

Website Address:

www.fws.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A wide variety of projects focusing on conservation, protection, and enhancement of fish, wildlife and plants involving partners who provide a 1:1 match. Fiscal Year 2010: A wide variety of projects focusing on conservation, protection, and enhancement of fish, wildlife and plants involving partners who provide a 1:1 match. Fiscal Year 2011: A wide variety of projects focusing on conservation, protection, and enhancement of fish, wildlife and plants involving partners who provide a 1:1 match.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated on the lasting affect on conservation, enhancement and protection. They will also be evaluated on scope of effect, number of partners involved, importance of resource values, feasibility, and cost effectiveness.

**15.643 ALASKA MIGRATORY BIRD CO-MANAGEMENT COUNCIL
AMBCC**

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

U.S.Fish and Wildlife Coordination Act of 1958, 16 U.S.C. 661 to 666C; Migratory Bird Treaty Act, 16 U.S.C. 703 et seq.

OBJECTIVES:

To facilitate and administer regional programs to involve subsistence hunters of migratory birds in the management and regulation of migratory birds.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be used to help offset travel, administrative and associated expenses required to establish a regional migratory bird subsistence program in keeping with the recently amended Migratory Bird Treaty. This regional program will become a part of the statewide (Alaska) and national migratory bird management program, for which the - Fish and Wildlife Service (Service) has responsibility.

Applicant Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, other public institutions/organizations, Federally Recognized Indian Tribal Governments, and Local governments.

Beneficiary Eligibility:

Native American Organizations, Public nonprofit institutions/organizations, Federally recognized Indian Tribal Governments, local governments, and Alaska Native American Indians.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Awards are made on a non-competitive basis pursuant to the authorities mandated in the 1997 Migratory Bird Treaty Act Amendment Protocols. Applicant must complete the Standard Form SF 424, SF 424A and SF 424B.

Award Procedure:

The Executive Officer for the Alaska Migratory Bird Co-Management Council reviews the applications and makes recommendations. The process ends with concurrence by the Service.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals are available annually, and are based on available funding levels.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards must be spent during the approved period of performance of the grant agreement. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Additional reports, such as meeting agendas and summaries, may be required. Cash reports are not applicable. Progress and finals reports are to be submitted in accordance with terms and conditions of the grant agreement. Federal Financial Report (SF-425), are to be submitted in accordance with terms and conditions of the grant agreement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations will be maintained in accordance with the provisions of 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

(Project Grants) FY 09 \$297,600; FY 10 est \$227,600; FY 11 est \$227,600

Range and Average of Financial Assistance:

\$14,400 to \$31,200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: FY10: Regional co-management organizations in 10 regions within Alaska are active and maintained with regional meetings held twice a year. These regional meetings are a logistical challenge bringing in representatives from 192 remote villages to provide vital local input to the Alaska Migratory Bird Co-Management Council. The grants also supported travel for the 10 regional representatives and staff to attend the semi-annual statewide Alaska Migratory Bird Co-Management Council and committee meetings. Representatives from the North Slope and the Chugach Region also represented the program at national Flyway Council and Fish & Wildlife Service Regulations Committee meetings in July. Outreach activities are ongoing and are sponsored to reach the 192 rural villages within the regions, covering everything from radio shows

to informational flyers to holding issue-related village meetings. The emphasis this year was the Bering Straits region due to subsistence harvest concerns on St. Lawrence Island and the villages of Gambell and Savoonga. Fiscal Year 2011: FY11: Plans include recruiting a new regional organization to represent Southeast Alaska, to bring the number of active regions up to 11, and adding representation to an additional 16 rural villages.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be administered in conformance with 43 CFR and the Fish and Wildlife Service Administrative Manual.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV for addresses.

Headquarters Office:

Donna Dewhurst, Fish and Wildlife Service, Office of the Alaska Migratory Bird Co-Management Council, 1011 E. Tudor Road, MS 201, Anchorage, Alaska 99503 Email: donna_dewhurst@fws.gov Phone: (907) 786-3499 Fax: 907-786-3641.

Website Address:

<http://Alaska.fws.gov/ambcc/index.htm>.

RELATED PROGRAMS:

15.608 Fish and Wildlife Management Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

You must be a regional partner organization of the council to be selected. Criteria included, but is not limited to, past performance, ability to provide administrative support, and ability to send an elected representative from the regional management body to meetings.

15.645 MARINE TURTLE CONSERVATION FUND

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Maine Turtle Conservation Act of 2004, Public Law 108-266, 16 U.S.C 6601.

OBJECTIVES:

To assist in the conservation of marine turtles and the nesting habitats of marine turtles in foreign countries by supporting and providing financial resources for projects to conserve the nesting habitats, conserve marine turtles in those habitats, and address other threats to the survival of marine turtles.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project work should occur in foreign countries (outside of the United States) that have within their boundaries marine turtle nesting habitats and be conducted by any person or group with the demonstrated expertise required for the conservation of marine turtles. Applied research projects should address specific management needs and actions. Funds provided under this program will not be used for: the purchase of firearms or ammunitions; buying of intelligence information or paying informants; gathering information by persons who conceal their true identity; law enforcement operations that prompt suspects to carry out illegal activities so they may be arrested (entrapment); or any activity that would circumvent sanctions, laws or regulations of either the U.S. or the country in which the activity would occur. Funds may not be used to provide material support or resources to individuals, entities, or organizations of countries that have been identified by the U.S. Department of State as state sponsors of terrorism. The countries currently identified are: Cuba, Iran, North Korea, Sudan and Syria. This program is administered in compliance with the Federal Grants and Cooperative Agreements Act of 1977, as amended. These funds may not be used towards training U.S. Federal Government personnel.

Applicant Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Beneficiary Eligibility:

Applications may be submitted by any government agency responsible for conservation and protection of marine turtles and any other organization or individual with demonstrated experience in marine turtle conservation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The program announcement and application instructions for this program can be found at the Grants.gov website: <http://www.grants.gov/search/basic.do>. The Notice of Funding Availability and Application Instructions are also located on the program's website at:

http://www.fws.gov/international/DIC/species/marine_turtles/mt_howtoapply.htm. If you are unable to access the Internet, hard copies are available upon request from the Division of International Conservation, U.S. Fish and Wildlife Service, 4401 N. Fairfax Drive, Room 100, Arlington, Virginia 22203. A proposal will not be considered complete if all required elements are not submitted as instructed. U.S. applicants must submit (among other elements) a complete, signed Standard Form 424 "Application for Federal Assistance".

Award Procedure:

Projects are reviewed and competitively selected for funding based on established criteria contained in the Marine Turtle Conservation Act of 2004 (P. L. 108-266). Review criteria can be found in the application information at http://www.fws.gov/international/DIC/species/marine_turtles/mt_howtoapply.htm. Once a proposal has been selected for funding, an Assistance Award between the Fish and Wildlife Service and the Recipient is drafted by the Division of International Conservation. The draft Award is then submitted to the Division of Contracting and Facilities Management (CFM) where it is executed by an FWS Contracting Officer. Fully executed Awards are sent to the Recipient either electronically or through the mail. The Division of International Conservation then administers all other aspects of the Assistance Award.

Deadlines:

Apr 01, 2011 This program has two annual deadlines. The first deadline is October 1 each year. We strongly encourage applicants with nesting season projects beginning April/May to submit proposals on or before the October 1 deadline. The second deadline is April 1 each year. We strongly encourage applicants with nesting season projects beginning September/October to submit proposals on or before the April 1 deadline.

Range of Approval/Disapproval Time:

Decisions on funding of project proposals will be made within 180 days after the receipt of the project proposal.

Appeals:

Not Applicable.

Renewals:

None. However; at the discretion of the Division of International Conservation, active awards can be modified, e.g.: time extensions or budget changes.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awarded funds must be spent during the approved project period of performance, in accordance with program financial and performance reporting procedures. See the following for information on how assistance is awarded/released: Other.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress and final reports are to be submitted in accordance with terms and conditions established in the Assistance Award. Complete reporting requirements can be found at this program's web page at http://www.fws.gov/international/DIC/species/marine_turtle.html. For financial reporting, Domestic Recipients shall use Standard Form (SF)425. Non-domestic Recipients shall submit a financial status report that follows the same format of the original project proposal budget table, itemizes expenditures for the reporting period and describes any deviations from the approved project budget. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations".

Account Identification:

14-1652-0-1-303.

Obligations:

(Project Grants) FY 09 \$1,460,164; FY 10 est \$1,920,000; FY 11 est \$1,420,000 - Frequency of obligations: Other.

Range and Average of Financial Assistance:

Variable amounts. Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Higher amounts may be requested with appropriate justification.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the Service funded 36 conservation projects under this program. A summary of each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/marine_turtles/mt_howtoapply.htm. Fiscal Year 2010: In FY 2010, the Service expects to fund 35 conservation projects under this program. A summary of each of those projects can be found at the program's webpage at http://www.fws.gov/international/DIC/species/marine_turtles/mt_howtoapply.htm. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Awards will be administered in conformance with the "Assistance Award Guidelines" established by the Division of International Conservation, which uses as its source all applicable award terms and conditions found at <http://www.doi.gov/pam/TermsandConditions.html>. The "Assistance Award Guidelines" can be found on-line at <http://www.fws.gov/international/grants/grants.html> or in paper copy by contacting the Division of International Conservation at (703) 358-1754.

Regional or Local Office:

None.

Headquarters Office:

Chief, Department of the Interior, Fish and Wildlife Service, Division of International Conservation, Room 100, 4401 N. Fairfax Drive, Arlington, Virginia 22203 Phone: (703) 358-1754 Fax: (703) 358-2115.

Website Address:

http://international.fws.gov/international/DIC/grants/grants_home.html.

RELATED PROGRAMS:

15.619 Rhinoceros and Tiger Conservation Fund; 15.620 African Elephant

Conservation Fund; 15.621 Asian Elephant Conservation Fund; 15.629 Great Apes Conservation Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Annual summaries of the projects funded under this program can be found at http://www.fws.gov/international/DIC/species/marine_turtles/mt_howtoapply.htm. Hard copies of this information are available upon request from the Division of Division of International Conservation at (703) 358-1754. Fiscal Year 2010: Annual summaries of the projects funded under this program can be found at http://www.fws.gov/international/DIC/species/marine_turtles/mt_howtoapply.htm. Hard copies of this information are available upon request from the Division of Division of International Conservation at (703) 358-1754. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed on the basis of criteria developed from the Marine Turtle Conservation Fund (Public Law 108-266). Due to the limited funding available and the desire to support diverse projects, preference will be given to proposals requesting \$50,000 or less. Additional details on the selection criteria can be found in the Marine Turtles Notice of Funding Availability and Application Instructions at <http://www.fws.gov/international/animals/marineturtleprogram.htm>. Hard copies are available upon request from the Division of International Conservation at (703) 358-1754.

15.647 MIGRATORY BIRD CONSERVATION

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956, as amended, 16 U.S.C. 742a-754; Fish and Wildlife Coordination Act of 1958, 16 U.S.C.661-667(e); Fish and Wildlife Conservation Act, 16 U.S.C. 2901-2911; and Migratory Bird Treaty Act, 16 U.S.C. 709a.

OBJECTIVES:

To maintain and enhance populations and habitats of migratory bird species found in the Upper Midwest (IL, IN, IA, MI, MN, MO, OH, WI).

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and payments may be used for the conservation of any bird species protected by the Migratory Bird Treaty Act and occurring in the Fish and Wildlife Service (FWS) Region 3 (Upper Midwest, including IL, IN, IA, MI, MN, MO, OH, and WI). Special emphasis will be placed on the bird species listed in USFWS Region 3's Fish and Wildlife Resource Conservation Priorities document (<http://www.fws.gov/midwest/News/documents/priority.pdf>) as these species are experiencing known or suspected population declines, are recreationally important, or are causing biological or social conflicts. Implementing national, regional, and flyway bird conservation plans, including the Partners in Flight North American Landbird Conservation Plan (<http://www.partnersinflight.org>), U.S. Shorebird Conservation Plan (<http://shorebirdplan.fws.gov>), North American Waterbird Conservation Plan (<http://www.waterbirdconservation.org>), North American Waterfowl Management Plan (<http://www.fws.gov/birdhabitat/NAWMP/index.shtml>), and Mississippi Flyway waterfowl management plans, is the FWS's highest priority, and proposals related to the priorities identified in these plans and Bird Conservation Region and state-level bird conservation planning efforts will receive the greatest funding consideration. Proposals should address one or more of the following activities: (a) Population surveys and monitoring to determine the status, trends, and distribution of bird species and groups of concern. Emphasis will be placed on landscape-level applications of standardized inventory and monitoring protocols (e.g., Breeding Bird Atlases, national marshbird call playback surveys). Local-scale monitoring (e.g., local land management units) activities will generally not be a focus of this grant program; (b) Applied research, including studies of habitat requirements, limiting factors, and population responses to habitat conservation activities;

development of new monitoring techniques and programs for poorly-surveyed species; and use of Geographic Information Systems, bird habitat modeling, and similar tools to identify bird habitat focus areas and further bird conservation planning efforts; (c) Compilation of technical information, such as status reviews of bird species of concern and development of habitat management and restoration guidelines.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; and public nonprofit institutions/organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Indian Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/organizations; and general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B Non-construction; or SF 424C and SF 424D Construction)

Award Procedure:

The Regional Migratory Bird Program Chief convenes a committee of FWS biologists to review, rank, and select proposals for funding. Once a proposal is accepted, a grant agreement is written and work can begin.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Decisions on funding of a proposal are usually made no later than 180 days after receipt of the proposal.

Appeals:

None.

Renewals:

A grant agreement may be modified at the discretion of the Regional Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Unless a project is extended, awarded funds must be spent during the approved Period of Performance of the grant agreement and in accordance with financial and reporting procedures specified in the agreement. Grants are normally written for a period of 1 to 5 years and payments can be made in a lump sum or on a periodic basis. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

All projects and activities are monitored for progress and compliance with agreed upon scope of work. Cash reports are not applicable. All projects and activities are monitored for progress and compliance with agreed upon scope of work. Written progress and financial reports using the SF-425 Federal Financial Report, and final reports on the project are generally required. Written progress and financial reports using the SF-425 Federal Financial Report, and final reports on the project are generally required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

14-1611-0-1-303.

Obligations:

(Salaries) FY 09 \$24,137; FY 10 est \$319,498; FY 11 est \$200,000 - Note: all funds are from 1231account.

Range and Average of Financial Assistance:

\$8,125 to \$40,000; \$29,045 average in FY10.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 09: Region 3 received 13 proposals requesting \$282,387 and funded 11 proposals for \$242,137. Fiscal Year 2010: FY 10 actual: Region 3 received 16 proposals requesting \$445,388 and funded 11 proposals for \$319,498. Fiscal Year 2011: FY 11 anticipated: approximately \$200,000 will be available for small grants from the Regions migratory bird program budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Steve Lewis, Division of Migratory Birds, Fish and Wildlife Service, 1 Federal Drive, Fort Snelling, MN 55111-4056; Telephone: (612) 713-5473; Fax: (612) 713-5393; E-mail: steve_j_lewis@fws.gov. Sean Kelly, same address; Telephone: (612) 713-5470; Fax: (612) 713-5393; E-mail: sean_kelly@fws.gov.

Headquarters Office:

Steve Lewis, Division of Migratory Birds, Fish and Wildlife Service, 1 Federal Drive, Fort Snelling, Minnesota 55111-4056 Email: steve_j_lewis@fws.gov Phone: (612) 713-5473 Fax: (612) 713-5393.

Website Address:

<http://www.fws.gov/midwest/midwestbird>.

RELATED PROGRAMS:

15.637 Migratory Bird Joint Ventures

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: FY 09: (1) Development of citizen-science programs for monitoring marshbirds and nocturnal birds in Michigan; (2) Assessing dispersal dynamics and genetic introgression of Golden-winged Warblers in the Upper Midwest; (3) Habitat associations of Henslows Sparrows at reclaimed surface mines in southern Illinois. Fiscal Year 2010: FY 10 actual: (1) Assessing the impacts of free-ranging cats and food-provisioned cat colonies on the conservation value of protected areas to grassland birds in Illinois; (2) Analysis of historical demographic monitoring in the Midwest and development of regional avian conservation tools; (3) Characterizing Cerulean Warbler distribution and habitat in the Lower Wisconsin State Riverway. Fiscal Year 2011: FY 11 anticipated: (1) Coordinated bird monitoring; (2) Focal species conservation; (3) Determining the effects of limiting factors on Midwest birds.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed to determine how well they address the migratory bird program priorities outlined above, including relevance to bird conservation plan priorities, Resource Conservation Priority species, and the bird conservation activities listed above. Proposals will also be evaluated for their biological and statistical soundness, feasibility, geographic scope of applicability, and cost effectiveness. Projects involving matching funds, in-kind services, materials, and equipment from other agencies and organizations will be given greater consideration for funding than similar projects without matching resources.

15.649 SERVICE TRAINING AND TECHNICAL ASSISTANCE (GENERIC TRAINING)

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of fish and wildlife species and their habitat (16 U.S.C. 661 and 742 et seq.), Fish and Wildlife Improvement Act of 1978, as amended (16 U.S.C. 753). Endangered Species Act of 1973 (16 U.S.C. 1531-1544, 87 Stat. 884).

OBJECTIVES:

To assist in the conservation of the Nation's natural resources by supporting and providing financial resources for training, meetings, workshops and conferences to promote the public's conservation awareness.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training and technical assistance are used to enhance public awareness and understanding of natural resource conservation and preservation. Projects may include natural resources related education, meetings, training, workshops, and conferences. Details on funding opportunity can be found on www.Grants.gov.

Applicant Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations.

Beneficiary Eligibility:

Federal, State and local government agencies; Federally-recognized Tribal governments; private nonprofit institutions/organizations; public nonprofit institutions/ organizations; general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Standard Form (SF)424 and a written proposal should be submitted through Grants.gov or via hardcopy to the project office and include: a title, justification (including relevance to the Fish and Wildlife Service's priorities as outlined above), objectives, timeframe, and a budget breakdown. Note that the USFWS may circulate proposals to others for review. All Service financial assistance discretionary funding opportunities will be posted on www.Grants.gov.

Award Procedure:

Notification of an award is the issuance of a grant or cooperative agreement document by Awarding Official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

This will vary based on the funding opportunity and will range between 3 and 6 months.

Appeals:

Applicant may revise and resubmit proposal for consideration.

Renewals:

Contact the headquarters or regional office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contact the headquarters or regional office. See the following for information on how assistance is awarded/released: Contact the headquarters or regional office.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Financial reporting requirements are specified in 43 CFR 12.80 and 12.81; and Interim Guidance for Financial Status and Performance Reporting (January 28, 2008). In general, OMB Circulars A-102 _40 and _41 and 2 CFR 215 specify requirements for both financial and program performance reporting.

The Services interim guidance on financial status and performance reporting is available at <http://wsfrprograms.fws.gov/subpages/toolkitfiles/intgdrpt.pdf>. Recipients may be required to complete one or more of the following financial forms:

SF - 270, Request for Advance or Reimbursement;
SF-425, Federal Financial Report

OMB Circulars A-102 _40 and 2 CFR 215, 220 and 225 set forth requirements for submission of program performance reports. A performance report is due annually within 90 days of the anniversary of the start date of the grant and a final report is due 90 days after the award expiration. Performance reporting requirements are specified in 43 CFR 12.80 and 12.81 and Interim Guidance for Financial Status and Performance Reporting (January 28, 2008). In general, OMB Circulars A-102 _40 and _41 and 2 CFR 215 specify requirements for both financial and program performance reporting.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and 43 CFR Part 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1611-0-1-303.

Obligations:

(Project Grants) FY 09 \$150,000; FY 10 est \$150,000; FY 11 est \$150,000 - By program activity.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 09: Provide partial funding and technical expertise in support of public outreach, wildlife and conservation conferences and recipient training events.

FY 10 actual/anticipated: Provide partial funding and technical expertise in support of public outreach, wildlife and conservation conferences and recipient training events. Fiscal Year 2010: FY 10 actual/anticipated: Provide partial funding and technical expertise in support of public outreach, wildlife and conservation conferences and recipient training events. Fiscal Year 2011: FY 11 anticipated: Same as FY09 and FY10.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and literature on the Fish and Wildlife Service programs, including its Guide for Submission of Unsolicited Proposals is available at: <http://fws.gov> and <http://www.fws.gov/contracts/index.html>. Federal financial assistance regulations and relevant OMB circulars are located at <http://www.whitehouse.gov/omb/circulars/index.html>, <http://www.doi.gov/pam/financialassistance.html>.

Regional or Local Office:

See Regional Agency Offices. Contact Information related to each funding opportunity will be stated in each announcement. Or visit website <http://www.fws.gov/contracts/index.html>.

Headquarters Office:

Chief, Branch of Financial Assistance Coordination Division of Wildlife Sport Fish Restoration, 4401 N. Fairfax Drive, MS-4020, Arlington, Virginia 22203
Phone: (703) 358-2701.

Website Address:

<http://www.fws.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: FY 09: 2009 Monarch Butterfly Monitoring Workshop; Volunteer Based Early Detection Program; Pheasants Forever; ASA Summit; North American Wildlife and Natural Resource Conference; Connecting People with Nature; CITIES, Convention on International Trade in Endangered Species; RAMSAR Convention on Wetlands of International Importance, Western Hemisphere Migratory Species Initiative, Midwest Regions Conservation Internship Program. Fiscal Year 2010: FY 10 actual/anticipated: Pheasants Forever; ASA Summit; North American Wildlife and Natural Resource Conference, Connecting People With Nature; CITIES, RAMSAR, Project WILD International Coordinators' Conference, Midwest Regions Conservation Internship Program. Fiscal Year 2011: FY 11 anticipated: similar to FY09 and FY10.

CRITERIA FOR SELECTING PROPOSALS:

Programs within the Fish and Wildlife Service will review and evaluate all applications consistent with authorized Fish and Wildlife Service program purposes.

15.656 RECOVERY ACT FUNDS - HABITAT ENHANCEMENT, RESTORATION AND IMPROVEMENT.**FEDERAL AGENCY:**

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009; Alaska National Interest Lands Conservation Act, 16 U.S.C 3101; Fish and Wildlife Coordination Act of 1958, 16 U.S.C 661-667; Marine Mammal Protection Act of 1972, as amended, Public Law 92-522; Fish and Wildlife Act of 1956, 16 U.S.C 742a-742j; Sikes Act of 1974, as amended, 16 U.S.C 670a-670o; Nonindigenous Aquatic Nuisance Prevention and Control Act of 1990, as amended, 16 U.S.C 4701-4741; Great Lakes Fish and Wildlife Restoration Act, as amended; Endangered Species Act of 1973, 16 U.S.C 1531; Partners for Fish and Wildlife Act, 16 U.S.C 3771.

OBJECTIVES:

To provide technical and financial assistance to identify, protect, conserve, manage, enhance or restore habitat or species on both public and private lands.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance is provided to fund projects that improve habitat or any related activity necessary to accomplish this goal. Funds may be used for habitat assessments, protection and restoration of public and private land that include alternative/renewable energy efficient projects. Funds may also be used to encourage public usage of providing access, education, providing fish passage and stocking of fish from National or State Fish Hatcheries, and advising on wildlife management techniques. Working with interns on habitat restoration projects is acceptable.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

43 CFR Part 12 establishes requirements for grants awarded by agencies of the U.S. Department of Interior. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application procedures are specified in www.grants.gov, which require, at a minimum, the submission of a project proposal along with the completed SF 424 Application for Federal Assistance.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or the designee will approve or disapprove proposed projects. Regional Offices are responsible for notification of grant approval to the grantee by return of a completed agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after receipt, dependent upon the complexity of the Cooperative Agreement.

Appeals:

Not Applicable.

Renewals:

Projects may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: No matching is required, however, matching funds are encouraged to allow for additional restoration.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Recovery Act places restrictions on the time permitted to spend the money awarded. The Regional Office Contact Person can further explain the restrictions placed upon the time period to fully spend the money awarded under the project. See the following for information on how assistance is awarded/released: The release of assistance award funds may vary depending upon the recipient. The Regional Office Contact Person can further explain the procedures for requiring either lump sum or quarterly payment methods.

Reports:

Projects funded under the American Recovery and Reinvestment Act of 2009 will have additional performance reporting requirements as described in the award terms and conditions, announcement packages available on www.grants.gov, and Recovery.gov. Cash reports are not applicable. Projects funded under the American Recovery and Reinvestment Act of 2009 will have additional performance reporting requirements as described in the award terms and conditions, announcement packages available on www.grants.gov, and Recovery.gov. The Federal Financial Status Reports must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized agency access to and the right to examine records related to the award.

Records:

Cost records must be maintained separately for each awarded cooperative

agreement. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports. If questions, such as those raised as the results of audits, remain following the 3-year period, recipients must retain records until all matters are resolved.

Account Identification:

14-1613-1-1-302 - 1403101613 TAFS, 105R Fund Code; 14-1610-1-1-302 - 1409101610 TAFS, 109R Fund Code.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$13,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000, or greater.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please visit www.fws.gov to locate the Regional and Local Office contacts.

Headquarters Office:

Assistant Director, Fisheries and Habitat Conservation, Fish and Wildlife Service, Department of the Interior, 1849 C Street, N.W., Washington, District of Columbia 20240 Phone: (202) 208-6394 Fax: (202) 208-4674.

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.608 Fish and Wildlife Management Assistance; 15.630 Coastal Program; 15.631 Partners for Fish and Wildlife; 66.708 Pollution Prevention Grants Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Program specific criteria is specified in the announcements of the individual financial assistance programs.

15.657 ENDANGERED SPECIES CONSERVATION RECOVERY IMPLEMENTATION FUNDS

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et. seq, as amended; Public Law 93-205, 87 Stat. 884, Endangered Species Act Amendments of 1978, Public Law 95-632, 92 Stat. 3751, and Endangered Species Act Amendments of 1982, Public Law 97-304, 96 Stat. 1411.

OBJECTIVES:

To provide federal financial assistance to secure endangered or threatened species information, undertake restoration actions that will lead to delisting of a species; help prevent extinction of a species, or aid in the recovery of species. The purpose of the Endangered Species Act is to provide a means by which the ecosystems upon which endangered and threatened species depend may be conserved, to provide a program for the conservation of these species, and to take appropriate steps to achieve the purposes of treaties and conventions set forth in the law.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This opportunity is limited to projects carrying out actions described in a species' approved recovery plan, in the implementation schedule of a species' approved recovery plan, actions recommended in complete 5-year status review of the species or in a spotlight species action plan, or projects documenting species' response to climate change. These are discretionary funds that are used

for project purposes to help ensure the use of the best available scientific information in making management decisions. The percentage of funds set aside for discretionary activities varies each year.

Applicant Eligibility:

State and local government agencies. Institutions of higher education, including public, private state colleges and universities, nonprofits that have 501(c)(3) status with the IRS, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal governments (federally-recognized), for-profit organizations, and small businesses.

Beneficiary Eligibility:

State and local government agencies, institutions of higher education, including public, private, state colleges and universities, nonprofits that have a 501(c)(3) status with the IRS, Native American tribal organizations (other than recognized tribal governments), city, county or township governments, individuals, Native American tribal governments (federally-recognized), for-profit organizations, and small businesses.

Credentials/Documentation:

Costs will be determined in accordance with 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Section 12.62 (of the common rule), identifies Federal cost principles for determining allowable cost. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

FWS Regional and Field Office contacts can provide specific information on the amount of funding available, as well as Regional recovery priorities. We encourage you to contact the appropriate FWS Regional and Field Office contacts to discuss potential projects. If funding is available and the potential project addresses a high priority Regional recovery need, then the Regional contact will refer the potential applicant of the appropriate FWS Ecological Services Field Office for further coordination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The following Federal regulations apply to this program: (1) Federal Acquisition Regulation Subpart 31.2; 2 CFR Parts 215, 220, 225, 230; 43 CFR Parts 12 and 18; and 48 CFR 31. The following OMB Circulars apply to this program: OMB Circular No. A-102 and A-133. Applicant must complete the Standard Form (SF)-424, and the appropriate Budget and Assurances forms (SF-424A and SF-424B Non-construction; or SF-424C and SF-424D Construction). Applicants must also submit a project summary page, and a project description. The project description section of the application should address the evaluation factors identified in the Full Announcement posted on www.grants.gov.

Award Procedure:

Awards will be announced by August 1 of each Fiscal Year via letters sent directly to the applicants. No work may begin on a project until the appropriate legal contracting or procurement documents, and the required environmental compliance documents, have been signed by the authorized FWS official. Unsuccessful applicants will be notified either by letter, fax, email or by telephone.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications are due by July 2 of each year so that the proposal selection and funding process can be completed before the end of each Fiscal Year (September 30). However, you may submit applications throughout the year. Consideration of applications will ultimately be based on the FWS budget cycle. We encourage partners (especially existing partners who already have a working relationship with local FWS Field Offices) to talk to the local FWS Field Offices about possible project ideas and submittal timeframes.

Appeals:

Not Applicable.

Renewals:

> 180 Days. However, project applicants are not prohibited from submitting applications for consideration for subsequent funding for multi-year projects though there is no governmental guarantee that multiple years of the project will be funded.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding is for projects that can be completed within 1 year of the award date. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Recipients of Recovery Implementation funding will be required to provide a written final report for each funded project detailing project results. If a project is funded for more than one year, the recipient will be required to provide a written, annual report at the end of each year detailing the status of each project, in addition to the final report when the project is completed. No cash reports are required. No progress reports are required. In general, OMB Circulars A-102 Sections 40 and 41, and 2 CFR 215 specify requirements for both financial and program performance reporting. A performance report is due annually within 90 days of the anniversary of the start date of the funding award and a final report is due 90 days after the funding expiration. Award recipients may be required to complete the following financial form: Standard Form (SF)-425, Federal Financial Report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years following the submission of the final expenditure report or until final action has been taken on any litigation, claim or audit finding.

Account Identification:

14-1611-0-1-302.

Obligations:

(Cooperative Agreements) FY 09 \$524,100; FY 10 est \$0; FY 11 est \$0 - FY 09 \$524,100

FY 10 estimated \$ TBD based on available funding

FY 11 estimated \$ TBD based on available funding.

Range and Average of Financial Assistance:

Varies by Region.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FWS funded 9 projects benefiting at least 18 species (including 4 threatened and 8 endangered species), and enhancing at least 1,700 acres of habitat. Fiscal Year 2010: actual/anticipated - TBD based on awards.

Fiscal Year 2011: actual/anticipated - TBD based on awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

A table lists administrative requirements that may apply.

Regional or Local Office:

See Regional Agency Offices. A list of Regional and Field Office contacts may be accessed at 15.657 posted on grants.gov.

Headquarters Office:

Chief, Endangered Species, Division of Consultation, HCPs, Recovery and State Grants, 4401 N. Fairfax Dr., Room 420, Arlington, Virginia 22203 Phone: (703) 358-2171.

Website Address:

<http://www.fws.gov/endangered/recovery/index.html>

RELATED PROGRAMS:

15.605 Sport Fish Restoration Program; 15.611 Wildlife Restoration; 15.615 Cooperative Endangered Species Conservation Fund; 15.631 Partners for Fish and Wildlife

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Kanaio Natural Area Reserve (NAR) Dry Forest Protection and Restoration project in Hawaii. The objective of the restoration project is to conserve a highly diverse and threatened Hawaiian ecosystem. The funding supported the fencing of Kanaio NAR and adjacent state and private lands through the construction of a deer-proof fence which will enclose 1,700 acres of dry rain forest habitat. The Kanaio NAR contains 7 endangered plants and 1 endangered animal. (2) Develop a monitoring plan for MacFarlane's Four-o' Clock, a threatened plant found in Idaho and Oregon. The MacFarlane's Four-o' Clock is also a FWS Spotlight Species. Fiscal Year 2010: actual/anticipated: TBD based on awards. Fiscal Year 2011: actual/anticipated: TBD based on awards.

CRITERIA FOR SELECTING PROPOSALS:

This opportunity is limited to projects carrying out actions described in a species approved recovery plan, in the implementation schedule of a species approved recovery plan, actions recommended in a completed 5-year status review of the species or in a spotlight species action plan, or projects documenting species response to climate change.

15.658 NATURAL RESOURCE DAMAGE ASSESSMENT, RESTORATION AND IMPLEMENTATION

NRDAR

FEDERAL AGENCY:

Fish and Wildlife Service, Department of the Interior

AUTHORIZATION:

Federal Water Pollution Control Act, 33 U.S.C 1251-1387; Oil Pollution Act of 1990, Public Law 101-380; Fish and Wildlife Act of 1956, 16 U.S.C 742a-742j; Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C 9601, et seq.

OBJECTIVES:

To restore natural resources injured by oil spills or hazardous substance releases. This is to be done by Natural Resource Trustees; seeking and identifying natural resources injured, determining the extent of the injuries, recovering damages from responsible parties, and planning and carrying out natural resource restoration activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is provided to individual and groups to fund assessments, implementation, recovery of damages or any related restoration activity necessary to meet the intent of the Natural Resource Damage Assessment and Restoration program.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant must complete the Standard Form (SF) 424, and the appropriate Budget and Assurances forms (SF 424A and SF 424B - Non-construction; or SF 424C and SF 424D - Construction) Application for

Federal Assistance.

Award Procedure:

The Regional Director of the Fish and Wildlife Service or his or her designee approves or disapproves of proposed projects. Regional Offices are responsible for notifying the grantee of grant approval by the return of a completed agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 working days after receipt, dependent upon the complexity of the agreement.

Appeals:

Not Applicable.

Renewals:

Project may be renewed as needed, if justified and if funds are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There are no matching fund requirements, however, matching funds are encouraged to allow for additional restoration.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration is between 1 and 5 years. See the following for information on how assistance is awarded/released: Not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. A progress report or performance report is required annually within 90 days after the anniversary date of the award. A final report is also required. A financial status report is required periodically during the award period. Also a final financial status report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost records must be maintained separately for each awarded grant. Records, accounts and supporting documents must be retained for 3 years after submission of final financial and performance reports.

Account Identification:

14-1611-9-1-303; 14-1611-0-1-303.

Obligations:

(Cooperative Agreements) FY 09 \$3,811,708; FY 10 est \$3,397,315; FY 11 est \$0 - Obligations, by program activity.

Range and Average of Financial Assistance:

Projects may range from \$1,000 to \$1,000,000 or greater.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Enhanced/Restored: 22,859 acres and 97 riparian/shoreline miles; Managed/Protected: 48,403 acres and 383 riparian/shoreline miles; Made available for recreation; 2477 acres and 319 riparian/shoreline miles available for *

*Note: These are program-wide accomplishments; we cannot separate projects accomplished through cooperative agreements. Fiscal Year 2010: Anticipated: Enhanced/Restored: 18,303 acres and 77 riparian/shoreline miles; Managed/Protected 40,488 acres and 314 riparian/shorelines miles; Made available for recreation; 1,024 acres and 366 riparian/shoreline miles available for accomplished through cooperative agreements. Fiscal Year 2011: Enhanced/Restored: 18,303 acres and 77 riparian/shoreline miles; Managed/Protected

40,488 acres and 314 riparian/shoreline miles available for *

*Note: These are program-wide accomplishments; we cannot separate projects accomplished through cooperative agreements.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Please see www.fws.gov to locate Regional and Local Office Contacts.

Headquarters Office:

Chief, Division of Environmental Quality U.S. Fish and Wildlife Service, Department of the Interior, 4401 North Fairfax Drive, Rm. 820, Arlington, Virginia 22203 Phone: (703) 358-2171

Website Address:

<http://www.fws.gov>

RELATED PROGRAMS:

15.236 Environmental Quality and Protection Resource Management; 66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.708 Pollution Prevention Grants Program; 66.802 Superfund State, Political Subdivision, and Indian Tribe Site_Specific Cooperative Agreements; 66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites; 66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Restoration of Karner blue butterfly habitat at Coulter Nature Preserve. Fiscal Year 2010: actual/anticipated: Salmon Creek Housatonic River Land Protection Project, CT; Acquire and Restore Stone Creek Tipple Site, VA; Sega Meadows Park River Enhancement River Project, CT. Fiscal Year 2011: anticipated: no current data available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

15.805 ASSISTANCE TO STATE WATER RESOURCES RESEARCH INSTITUTES

(Water Research Institute Program)

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Water Resources Research Act of 1984, Section 104, Public Law 98-242 as amended, 42 U.S.C. 10301 et seq.

OBJECTIVES:

To provide financial support to Water Resources Research Institutes located at designated State universities in each of the 50 States, Puerto Rico, the District of Columbia, Guam, the Virgin Islands, American Samoa, the Commonwealth of the Northern Mariana Islands, and the Federated States of Micronesia for research, information transfer and student training on one or more aspects of priority water problems.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program has two parts: a mandatory formula grant program and a competitive project grant program. The mandatory grant program provides the State institutes with funds to support a State and regional program of water resources research and information transfer. This may include basic or applied research, setting up conferences to discuss research needs or results, conduct of research, information dissemination, and training of students as a byproduct of research. In general the funds are used to study regional, State, or local water problems, but the results may often have national significance. The competitive project grant program supports research focused on water problems of a regional or interstate nature and which relate to program priorities identified jointly by the State institutes and the Geological Survey. Federal funds cannot be used for (1) formal instructional activities and general education, and (2) the

cost of permanent buildings, either directly or indirectly.

Applicant Eligibility:

One University Water Research Institute is authorized in each State and other jurisdictions specified in Section 104. Other colleges and universities within a State are encouraged to participate in the program in cooperation with the designated institute.

Beneficiary Eligibility:

Researchers at qualified universities and colleges in the State through the designated institute for the State.

Credentials/Documentation:

Proposed Research and Information Transfer Program application responsive to instructions issued by the U.S. Geological Survey. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Formula Grant Program: Requires each Institute to collaborate with State interests in defining research priorities and to coordinate with other institutes in region to avoid duplication of effort. Competitive Grant Program: Requires that the Institutes collectively collaborate with the Geological Survey in setting research priorities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-110 and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. State institutes must submit proposed annual programs on the Internet at <https://niwr.net>, as required by the individual program announcement 06HQPA0002. State Institutes must submit applications for competitive project grants on the Internet at <https://niwr.net> and <http://www.grants.gov>, as required by the program announcement.

Award Procedure:

Formula Grant Program: Geological Survey approves or rejects, in part or as a whole, the program submitted by each State institute. The State institutes are notified of any amendments necessary to obtain Geological Survey approval. Competitive Grant Program: Geological Survey conducts a formal review and selection procedure and approves or reject applications as a whole.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Formula Grant Program: Deficiencies noted by the Geological Survey can normally be corrected by the State institutes. Competitive Grant Program: None.

Renewals:

None.

Formula and Matching Requirements:

Statutory Formula: Title 42 U.S.C. 10303(c) and (g). Amount to each State Water Research Institute under the formula grant is on a basis of one Federal share to not less than two nonfederal shares. Amount to each State Water Research Institute under the competitive grant is on a basis of one Federal share to not less than one nonfederal shares.

Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Formula Grant Program: Each State institute is on fiscal year basis. Competitive Grant Program: Assistance is provided for the period of the project award. See the following for information on how assistance is awarded/released: Payments are advanced at least quarterly upon application and consistent with Financial Plan submitted previously by institute for use of grant.

Reports:

Formula Grant Program: Each State institute makes an annual and final report on accomplishments with grant, including synopsis of research projects.

Competitive Grant Program: Each institute makes an annual and final report on results of the award. Cash reports are not applicable. Progress reports are not applicable. SF 425 Federal Financial Report is required and is submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Directors of the State institutes are responsible for conduct of programs and maintaining books and records reflecting financial and technical transactions of the program. Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$937,358; FY 10 est \$1,000,000; FY 11 est \$1,000,000. (Formula Grants (Cooperative Agreements)) FY 09 \$5,171,000; FY 10 est \$5,171,000; FY 11 est \$5,171,000

Range and Average of Financial Assistance:

(Formula Grants) \$92,335 to \$277,005; average \$95,755. (Competitive Grants) \$82,489 to \$235,148; average \$156,226.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009 and 2010, 54 applications were received and approved under the formula grant portion of the program. In FY 2009, approximately 60 applications were received under the competitive grant portion of the program and six grants were awarded. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Rule, 30 CFR Part 401, 50 FR 27203, June 7, 1993; Geological Survey Request for Applications under the Water Resources Research Institute Grant Program (Section 104), Announcement 06HQPA0002, October 2007 at <https://niwr.net>. Applications under the Water Resources Research National Competitive Grant Program, Announcement No. 10HQPA0002, January 28, 2010 at <https://niwr.net>.

Regional or Local Office:

None.

Headquarters Office:

John Schefter, Department of the Interior, Geological Survey, Office of External Research, MS 424 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: schefter@usgs.gov Phone: (703) 648-6800 Fax: (703) 648-5070.

Website Address:

<http://water.usgs.gov/wrri/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples include: Model Development for Conjunctive Use Planning and Aquifer Protection in Semi-arid Regions; Assessing the Effectiveness of Local Water Institutions in Water Management; Characterizing sources and growth potential of indicator bacteria in cold region streams; Treatment of Nitrate in Groundwater with Autotrophic Bioreactors; Imperial Valley Agriculture and Water: A Regional Economic Analysis; Mechanisms and Modeling of Soft-Bed Nutrient Release in Lakes; The Flow Dimension of Groundwater Resources in Northeastern Illinois. Descriptions of projects funded recently are provided on the Internet at <http://water.usgs.gov/wrri/>.

Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competitive Grant Program: Relevance to announced priorities, technical merit, prospects of attaining objectives in period specified and potential for student training.

15.807 EARTHQUAKE HAZARDS REDUCTION PROGRAM

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

42 U.S.C. 7701 et seq.

OBJECTIVES:

To support earthquake hazards research in order to develop information, knowledge, and methods relevant to the major Earthquake Hazards Program elements: national and regional earthquake hazards assessments; research related to earthquake information, monitoring, and notification; research on earthquake occurrence, physics, and effects; and earthquake safety policy. Specific objectives and research tasks identified as measures of progress towards the goals of these program elements are described in greater detail in the Research Priorities section of the annual Program Announcement.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Specific research objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited.

Applicant Eligibility:

Colleges, universities, profit-making, and nonprofit organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>. Links to USGS program announcements are available through the internet site <http://www.usgs.gov/contracts>.

Award Procedure:

Notification of an award is by a grant or cooperative agreement document signed by a contracting officer.

Deadlines:

May 16, 2008 The application period for grants is generally open from mid-February until mid-May each year.

Range of Approval/Disapproval Time:

Grants and cooperative agreements will normally be awarded in 12 to 36 month budget periods.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: No statutory requirement. Recipients are encouraged to share in the cost of each research project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in 12 or 36 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports are required and must be described as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$6,937,000; FY 10 est \$6,674,000; FY 11 est \$7,000,000

Range and Average of Financial Assistance:

\$6,000 to \$1,300,000; average \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The National Earthquake Hazards Reduction Program has funded projects covering recent tectonics and earthquake potential, research on earthquake occurrence and effects, and evaluation of regional and urban earthquake hazards. During fiscal year 2010, 64 out of 230 applications received funding. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Elizabeth Lemersal, Department of the Interior, Geological Survey, MS 905 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: gd-coordinator@usgs.gov Phone: (703) 648-6701 Fax: (703) 648-6642.

Website Address:

<http://earthquake.usgs.gov/research/external/>.

RELATED PROGRAMS:

47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Source zone characteristics; Earthquake monitoring and forecasting experiments; Induced seismicity studies; and Fault zone studies; and Seismic zonation and engineering studies. Fiscal Year 2010: No Current Data

Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists and engineers drawn from government, universities, and private industry evaluate all grant applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.808 U.S. GEOLOGICAL SURVEY_ RESEARCH AND DATA COLLECTION

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of 1879, 43 U.S.C. 31, 43 U.S.C. 36c.

OBJECTIVES:

To support research complementary to USGS program efforts in classification of the public lands and examination of the geological structure, water, mineral, and biological resources, and products of the national domain. This entry covers a variety of USGS assistance awards not covered by any other CFDA program entries. Awards are typically supported by funding from internal projects and programs, and funds are not separately budgeted or reserved for external projects or proposals under this entry.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications must be for scientific research projects which are within the Survey's area of responsibility. The majority of funds are used to support research that will enhance existing, long-term collaborative projects. Funds can be used for attendance at national conferences for the dissemination of project results. Further, conferences and symposia will be supported only if it is clear that equivalent results cannot be obtained at regular meetings of professional societies. Office furniture, office equipment, and foreign travel are not normally considered for support. Funds are set aside for discretionary activities.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator. Due to limited availability of funds to support new external projects, consultation with USGS is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments, industry and public decision makers, research scientists, engineers, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments; OMB Circular No. A-21 for educational institutions; OMB Circular No. A-122 for nonprofit organizations; and Federal Acquisition Regulation Subpart 31.2 for private firms, individuals, and other nonprofits excluded from coverage under OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. These are typically individually evaluated and funded projects; there is no annual program announcement, prospectus or other invitation for applications. The standard application form SF 424 and other forms required by OMB Circular Nos. A-102 and A-110, or their successor(s),

and the Department of the Interior regulation at 43 CFR Part 12, Subparts C and D, or its revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by a grant or cooperative agreement document signed by a contracting officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None. Applications may be revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, no statutory requirement. Recipients are encouraged to share in the cost of each research project. Appropriations language requires cooperating State and local governments to contribute at least one-half the cost of certain cooperative water resources investigation and cooperative mapping projects.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in, but not restricted to, 12 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$41,000,000; FY 10 est \$41,000,000; FY 11 est \$41,000,000

Range and Average of Financial Assistance:

\$1,000 to \$971,000; average \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Approximately 270 grants and cooperative agreements were made to support the Geological Survey's earth science research mission. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

USGS Guide for Submission of Unsolicited Proposals is available at: <http://www.usgs.gov/contracts/grants/unsolbk.html>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate regional office, depending on the location of the study. For Alabama, Connecticut, District of Columbia, Florida, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Puerto Rico, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, and Wisconsin, write: Regional Director for Eastern Region, Geological Survey, 12201 Sunrise Valley Drive, MS 150, Reston, VA 20192. Telephone (703) 648-4582. For Arkansas, Colorado, Iowa, Kansas, Louisiana, Minnesota, Missouri, Montana, Nebraska, New Mexico, North Dakota, Oklahoma, South Dakota, Texas and Wyoming, write: Regional Director for Central Region, Geological Survey, Denver Federal Center, Denver, CO 80225. Telephone: (303) 202-4740. For Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, and Washington, write: Regional Director for Western Region, Geological Survey, 345 Middlefield Road, Menlo Park, CA 94025. Telephone: (650) 329-4011.

Headquarters Office:

Geological Survey, This is not a single formal program; awards are not centrally managed or coordinated. Refer to Regional or Local Office contacts., Reston, Virginia 20192 Phone: (703) 648-4582.

Website Address:

<http://www.usgs.gov/contracts>.

RELATED PROGRAMS:

47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Support for various boards and committees of the National Academy of Science, National Coal Resources Data System, <http://energy.er.usgs.gov/NCRA>; support for collaborative coastal and marine geology studies (Woods Hole, MA and St. Petersburg, FL), collaborative volcano monitoring, and continuing support of university observatories and earth science research facilities. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Applications will be funded only to the extent permitted by available funds.

15.809 NATIONAL SPATIAL DATA INFRASTRUCTURE COOPERATIVE AGREEMENTS PROGRAM

NSDI CAP

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of March 3, 1879, 43 U.S.C. 31; Executive Order 12906, April 13, 1994.

OBJECTIVES:

The purpose of the National Spatial Data Infrastructure Cooperative Agreements Program (NSDI CAP) is to fund innovative projects in the geospatial data community to build the infrastructure necessary to effectively discover, access, share, manage, and use digital geospatial data. The NSDI consists of the technologies, policies, organizations, and people necessary to promote cost-effective production, ready availability, and greater utilization of geospatial data among a variety of sectors, disciplines, and communities. Specific NSDI CAP areas of emphasis include: documenting, implementing, and providing outreach standards including metadata; expanding geographic information coordination across and between organizational levels; promoting geospatial best practices; advancing geospatial related Web services; framework development; and clearinghouse establishment. The results of the awarded projects benefit multiple Federal agencies as well as the overall geospatial community.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Proposals from State and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations and Federally acknowledged or state-recognized Native American tribes or groups are invited.

Beneficiary Eligibility:

Agencies and organizations participating in the project, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subpart C and D, or any subsequent revisions. The applications requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by a cooperative agreement document signed by a contracting officer.

Deadlines:

Jan 07, 2010 The deadline for FY 10 proposals was January 7, 2010. Deadline for FY 11 proposals is to be determined.

Range of Approval/Disapproval Time:

From 1 to 2 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Recipients are expected to cost-share depending upon the category of the project up to 100 percent of the amount of funding received from the Federal government. For the FY 2010 the matching requirements were as follows: Category 1-50%, Category 2-100%, Category 3-50%, Category 4-100%, Category 5-100%, Category 6-50%, and Category 7-50%. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Semi-annual and final technical reports, formal oral presentation, and second and third year follow-up reports are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-300.

Obligations:

(Cooperative Agreements) FY 09 \$1,266,000; FY 10 est \$1,158,663; FY 11 est \$1,320,000

Range and Average of Financial Assistance:

\$25,000 to \$75,000; average \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 25 cooperative agreements were awarded to support the NSDI. Fiscal Year 2010: 26 cooperative agreements were awarded in FY 2010. Fiscal Year 2011: The amount of cooperative agreements expected to be awarded in FY 2011 is 28.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Vol. 60, No. 242, December 18, 1995, page 65064; Commerce Business Daily announcement January 25, 1996, Section T, program Announcement No. 8187; internet URL <http://www.fgdc.gov/funding/cap2002.html>.

Regional or Local Office:

See Regional Agency Offices. Brigitta Urban-Mathieux, FGDC CAP Coordinator; Telephone: (703) 648-5175, Fax: (703) 648-5755, burbanma@fgdc.gov.

Headquarters Office:

Director, Department of the Interior, Geological Survey, Federal Geographic Data Committee Secretariat, MS 590 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: gdc@usgs.gov Phone: (703) 648-4150 Fax: (703) 648-5755.

Website Address:

<http://www.fgdc.gov/grants>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Project support implementation of different aspects of the National Spatial Data Infrastructure such as: implementation of metadata standards through training and outreach assistance; implementation of framework standards; strategic and business plan development in support of the NSDI Future Directions Fifty States Initiative; collaboration between organizations in the U.S. and Canada to maintain and share geospatial data over a common geography; and assisting organizations and consortia to develop and provide access to geospatial information that serves community needs. See the FGDC website (<http://www.fgdc.gov>) for summaries of funded projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated through formal review panels. Proposals will be evaluated on the quality and relevance of the project to the NSDI; the technical approach taken to implement the project; the level and diversity of participation and the applicant's experience and competence to perform the proposed effort successfully; and the extent to which the applicant shows evidence of a capability and willingness to continue and build upon the project when the agreement period ends.

15.810 NATIONAL COOPERATIVE GEOLOGIC MAPPING PROGRAM

STATEMAP and EDMAP

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

National Geologic Mapping Reauthorization Act of 2009, Public Law 111-11.

OBJECTIVES:

To produce geologic maps of areas in which knowledge of geology is important to the economic, social, or scientific welfare of individual States. STATEMAP supports: (1) projects which produce new geologic maps with attendant explanatory information including correlation of map units, description of map units and symbols, stratigraphic columns, geologic sections, and other pertinent information; and (2) projects which compile existing geologic data in a digital form at a scale of 1 to 100,000 for inclusion in the National Digital Geologic Map Database. EDMAP: Provide funding for graduate students in academic research programs, through cooperative agreements, that involve geologic mapping and scientific data analysis as major components; expand research and educational capacity of graduate programs that teach earth science students the techniques of geologic mapping and field data analysis; and facilitate the publication and distribution of geologic maps generated in field-based graduate academic research programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

STATEMAP: Two types of projects are supported by this program: (1) those which produce new geologic maps with attendant explanatory information, and (2) those which compile existing geologic data in a digital form at a scale of 1 to 100,000 for inclusion in the National Geologic Map Database. Only one application will be accepted from each State, however, each proposal can have four sub- projects; three for new geologic mapping and one for compilation. State proposals cannot include in-kind services by the Geological Survey. Travel to meetings of professional societies is not an acceptable expense for the program, nor are funds intended for the purchase of computer hardware, software, or other nonexpendable property. EDMAP: Only one cooperative agreement will be awarded to each academic institution, but the agreement may consist of more than one project, more than one faculty supervisor and several students. Proposals cannot include a request for in-kind services by the Geological Survey. Funds are not intended for the purchase of capital equipment.

Applicant Eligibility:

STATEMAP program is restricted by statute to State geological surveys. Where State surveys are organized under a State university system, an application may be submitted by a State college or university on behalf of the State geological survey. EDMAP program is restricted to universities with geoscience or related departments or programs.

Beneficiary Eligibility:

State geological surveys participating in this program and the general public will ultimately benefit from this program.

Credentials/Documentation:

Proposals for STATEMAP must include a letter of support from the State Geologic Mapping Advisory Committee or equivalent to qualify for funding. Proposals for EDMAP must include a letter of support from a State Geologist or USGS Project Chief. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Proposals must include copies of letters of support, figures, and tables. Proposals including forms cannot exceed 35 pages for STATEMAP and 15 paged for EDMAP. Applications may be

submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement signed by U.S. Geological Survey contracting officer.

Deadlines:

Nov 01, 2010 STATEMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced in the RFP). EDMAP Each year the Requests for Proposals (RFP) will be distributed in early September. Applications must be received in early November (the exact date will be announced in the RFP).

Range of Approval/Disapproval Time:

STATEMAP Approval/disapproval will be announced by mid-February. EDMAP Approval/disapproval will be announced by late February.

Appeals:

Not Applicable.

Renewals:

STATEMAP: Proposals must be submitted for a 1-year project period only. However, projects that were approved and funded during the previous fiscal year may be submitted as renewals (competing continuations). A completely new proposal subject to full review, must be submitted each year. EDMAP Proposals may be submitted which request performance periods of either 1 or 2 years. However, if approved the proposal will be funded for the first year only. Funding for year two will be determined through the annual competitive announcement.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The STATEMAP program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be contributions of services or cash provided to contractors to perform geologic mapping or other services directly applicable to proposed work on the project. The specific source(s) of the State contribution, such as State legislative appropriation, must be provided in the proposal. The EDMAP program is required by Public Law 111-11 to be carried out on a 1:1 matching basis; each recipient must match each Federal dollar with a nonfederal dollar. The nonfederal share may be a contribution of funds or services. Such services can include those related to the student research project or cash provided to contractors, i.e., field assistants to perform geologic mapping or other services directly applicable to proposed work on the project. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 1 year budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. STATEMAP and EDMAP: All deliverables (geologic maps and accompanying information) are due on or before the last day of the grant year. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit

requirements of OMB Circular No. A-110, or superseding regulations.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$824,875; FY 10 est \$943,861; FY 11 est \$940,000 - STATEMAP. (Cooperative Agreements) FY 09 \$519,060; FY 10 est \$566,161; FY 11 est \$560,000 - EDMAP.

Range and Average of Financial Assistance:

STATEMAP: \$29,022 to \$232,509; average \$157,815. EDMAP: \$9,015 to \$17,308 per student; average \$13,657.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: STATEMAP: A total of 44 awards were made to State geological surveys which matched every Federal dollar with a State dollar. EDMAP: A total of 54 students from 30 universities were funded. Each Federal dollar was matched 1:1 by a university dollar. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The program announcements are available on the Internet at <http://www.grants.gov>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Randall C. Orndorff, National Cooperative Geologic Mapping Program, Geological Survey, National Center 908, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: rorndorff@usgs.gov Phone: (888) 275-8747.

Website Address:

<http://www.ncgmp.usgs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals for both STATEMAP and EDMAP are included in the Geological Survey program announcements.

15.811 GAP ANALYSIS PROGRAM

GAP

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Endangered Species Act of 1973, 16 U.S.C. 1531 et seq., Fish and Wildlife Act of 1956, 16 U.S.C. 742 et seq., Fish and Wildlife Conservation Act, 16 U.S.C. 661 et seq., Omnibus appropriations Act, Public Law 108-7.

OBJECTIVES:

Studies where native animal species and natural plant communities occur and identifies "gaps" in their representation to support conservation of biodiversity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

GAP is used by Federal agencies, State agencies and other governmental bodies to develop land use or acquisition plans that incorporate information about the presence and distribution of biodiversity. There are no use restrictions. Funds are set aside for discretionary activities.

Applicant Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Profit organizations, public nonprofit institutions/organizations, private nonprofit institutions/organizations, State and local governments, as well as the general public will ultimately benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the form SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by a cooperative agreement document signed by a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Information not available.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed through the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$1,826,000; FY 10 est \$1,500,000; FY 11 est

\$1,500,000

Range and Average of Financial Assistance:

\$30,000 to \$558,000; average \$118,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Nine cooperative agreements were awarded to carry out GAP Analysis Program. The number of agreements in FY 10 and future years is expected to be similar. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The program announcement is available on the Internet at <http://www.gap.analysis.nbii.gov> and www.grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Program Coordinator, National GAP Program, 530 S Asbury Suite 1 ,
Moscow, Idaho 83843 Phone: (888) 275-8747.

Website Address:

<http://gapanalysis.nbii.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The project "The Alaska Gap Analysis Program: Modeling the Distribution of Vertebrate Species Using an Inductive and Deductive Approach" was funded. Please see website for additional projects and information. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications submitted. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey. Generally, applications will be funded only to the extent permitted by available funds.

15.812 COOPERATIVE RESEARCH UNITS PROGRAM

CRUP

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Cooperative Research Units Act, Public Law 86-686, Sec. 1, Sept. 2, 1960, 74 Stat. 733, as amended by the Fish and Wildlife Improvement Act of 1978, Public Law 95-616, Sec. 2, Nov. 8, 1978, 92 Stat. 3110.

OBJECTIVES:

To work in partnership with States and universities to address the information needs of local, State, and Federal fish, wildlife, and natural resource agencies through research, technical assistance, and education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Authority is limited to research with an educational component conducted through one of 40 Cooperative Fish and Wildlife Research Units and their host universities. Awards are limited to information needs related to fish, wildlife, ecology, and natural resources of interest to program cooperators and partners. Funds are for discretionary activities.

Applicant Eligibility:

Universities hosting Cooperative Fish and Wildlife Research Units established under authority of the Cooperative Research Units Act of 1960.

Beneficiary Eligibility:

Federal, State and local governments, industry and public decision makers, research scientists, State institutions of higher education, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposed research application responsive to the instructions issued by the USGS. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Requires each research project to be conducted in collaboration and cooperation with USGS personnel stationed at Cooperative Fish and Wildlife Research Units, and cooperating agency representatives. Discussions to determine interest and appropriateness of research projects for a Cooperative Fish and Wildlife Research Unit are required before proposals are submitted. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application form Standard Form (SF) 424 must be used. This program is subject to the provisions of OMB Circular No. A-110, or its successor, and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by a Research Work Order document signed by a contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 3 months.

Appeals:

Not Applicable.

Renewals:

All projects have a limited duration not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration may not exceed 5 years. Funds are released as required. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$14,971,777; FY 10 est \$15,000,000; FY 11 est \$15,000,000 - Research Work Orders funded with reimbursable funds

provided by other Federal programs. No funds appropriated to the program are set aside or are separately identifiable for project grants.

Range and Average of Financial Assistance:

\$5,000 to \$615,000; average \$33,660.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 372 Research Work Orders were active at cooperating universities. The number of Research Work Orders active in FY 10 is expected to be about 400. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided to each of the 40 universities participating in the Cooperative Research Units Program.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Kevin G. Whalen, Department of the Interior, Geological Survey, Cooperative Research Units, 12201 Sunrise Valley Drive, Mail Stop 303, Reston, Virginia 20192 Email: kwhalen@usgs.gov Phone: (703) 648-4062 Fax: (703) 648-4269.

Website Address:

<https://www.coopunits.org>

RELATED PROGRAMS:

15.813 Cooperative Research Units Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Development and assessment of Adaptive Management methods; lamprey and salmonid migration and survival past dams; grassland birds following Hurricane Katrina; king rail habitat models; risk of fish disease introductions; biomarkers of fish endocrine disruptors; effects of commercial harvest on shovelnose sturgeon; human disturbance effects on warbler behavior; fish and amphibian conservation genetics, invasive species control techniques; use of UAV systems for natural resource management; climate change and water management effects in ridge-slough mosaics; hierarchical soil nutrient mapping for improved ecosystem change detection; endangered/threatened species research; factors effecting spread of CWD; effects of contaminants and toxins on fish; blood fractions as indicators of protein balance in reindeer and caribou; modeling the dynamics of avian influenza in wild birds; assessment of wildlife vulnerability to energy development. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must address natural resource and environmental issues of concern to federal agencies and be acceptable to local program cooperators and universities hosting Cooperative Fish and Wildlife Research Units. The Unit Leader of each Unit must determine this before the Unit and its host university submits a proposal to USGS. Projects must be for research and have educational components for graduate training, including post-doctoral fellows.

**15.813 COOPERATIVE RESEARCH UNITS TRAINING PROGRAM
CRUTP**

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of 1879, 20 U.S.C. 1060.

OBJECTIVES:

To provide financial support to Minority Serving Institutions (MSIs) to introduce a culturally diverse group of students, primarily undergraduates, to the fields of freshwater fisheries and aquatic sciences and train them for careers in the natural resources professions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide MSIs with funds to offer training opportunities supporting the program objectives in accordance with an approved budget, plus appropriate

amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the grants program of the U.S. Geological Survey.

Applicant Eligibility:

Accredited 4-year Historically Black College or Universities (HBCUs) under Title III Part B of the Higher Education Act of 1965, as amended (20 U.S.C. 1060 et seq.) with program studies in the freshwater fisheries and/or aquatic sciences.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students; who maintain satisfactory academic progress in accordance with the eligibility standards and practices of the grantee institution.

Credentials/Documentation:

Proposed program application responsive to instructions issued by the U.S. Geological Survey. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application form Standard Form (SF) 424 Application for Federal Assistance must be used. This program is subject to the provisions of OMB Circular No. A-110, or its successor, and the Department of the Interior regulations at 43 CFR Part 401, Subpart C. Applicant must submit proposed projects on <http://www.grants.gov> as required by the individual program announcement.

Award Procedure:

A panel of CRU scientists and program managers that may include external peers will review the proposals. All proposals will be evaluated and rated in accordance with established criteria. Final award determination will be made by the CRU project officer. Notification of an award is by signed grant document from a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

2 to 4 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are normally awarded in, but not restricted to, 12 month budget periods. Project duration may not exceed 5 years. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are typically required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain records for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-300.

Obligations:

(Project Grants) FY 09 \$150,000; FY 10 est \$150,000; FY 11 est \$150,000

Range and Average of Financial Assistance:

\$100,000 to \$180,000; average \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The National Cooperative Fisheries Scholars Program (NCFSP) at the University of Arkansas, Pine Bluff continues to meet its objectives. The NCFSP continues to offer 4-year full scholarships to undergraduate students earning their Bachelor of Science degree in Fisheries Biology at UAPB. Because minorities interested in careers in natural resource management are rare, the NCFSP has continued to successfully recruit prospective students nationwide. Over the last several years, the NCFSP has developed contact with vocational agriculture teachers, math and science instructors, and guidance counselors at high schools across the country. The program relies on these contacts to identify likely applicants for scholarships. The NCFSP also actively recruits among high school students have participated in the American Fisheries Society's Hutton Junior Fisheries Biology Program. High school students who participate in the Hutton Program and still want to pursue a career in fisheries biology are less likely to change majors while earning their fisheries degree, and more likely to take advantage of the numerous professional development opportunities available while in school. These students also are more likely to pursue graduate degrees in fisheries or a related natural resource field. Several NCFSP participants have secured summer internships with USGS research facilities to enhance their educational experience. Other graduates of the NCFSP at UAPB have gone on to be accepted to graduate school in one of the Cooperative Research Unit program host universities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

USGS guide for submission of proposals is available to: <http://www.usgs.gov/contracts/grants/unsolbk.html>.

Regional or Local Office:

None.

Headquarters Office:

Kevin G. Whalen, Department of the Interior, Geological Survey, MS 303 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 20192 Email: kwhalen@usgs.gov Phone: (703) 648-4062 Fax: (703) 648-4269.

Website Address:

www.usgs.gov.

RELATED PROGRAMS:

15.812 Cooperative Research Units Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A panel of CRU scientist and program managers that may include external peers will review the proposals. All proposals will be evaluated and rated in accordance with established criteria with includes relevance to announced priorities, technical merit, prospect of attaining objectives in period specified and potential for student training. Final award determination will be made by the CRU project officer.

15.814 NATIONAL GEOLOGICAL AND GEOPHYSICAL DATA PRESERVATION PROGRAM

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

The Federal Energy Policy Act of 2005, Section 351, Public Law 109-58.

OBJECTIVES:

To preserve and provide access to geological, geophysical, and engineering samples (and any related data) that have been extracted from the Earth to improve the breadth of information available, to inform science and decision making now and in the future.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for proposals not covered by one of the objectives are not solicited.

Applicant Eligibility:

State geological surveys are eligible to apply. For State geological surveys organized under a State university system, such universities may submit a proposal on behalf of or through the State geological survey.

Beneficiary Eligibility:

Research scientists, engineers, and the general public will ultimately benefit from the program.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a grant or cooperative agreement signed by a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Three to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: In order to gain the greatest leverage of limited Federal and State funds, each proposed State originated program must show a 1:1 Federal/State match of direct-and indirect-cost dollars. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12-month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General, or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations.

Records:

Records shall be retained and made available for audit for three years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Project Grants) FY 09 \$500,000; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

\$2,000 to \$49,073; average \$18,959.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 30 states applied and 29 states received funding to inventory their collections and create metadata for items in the collections. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Tamara Dickinson, Department of the Interior, Geological Survey, MS 911 National Center, Reston, Virginia 20192 Email: tdickinson@usgs.gov Phone: (1-888) 275-8747.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 09, State geological surveys had the opportunity to apply for funds from National Geological and Geophysical Data Preservation Program to inventory their collections and create metadata for items in those collections. Thirty states applied and 29 were granted funding. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Relevance to announced priorities, technical merit, and prospect of attaining program objectives.

15.815 NATIONAL LAND REMOTE SENSING EDUCATION OUTREACH AND RESEARCH

National Cooperative Geographic Information System

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Public Law 110-161, Organic Act 1879, 43 U.S.C. 31.

OBJECTIVES:

To promote the uses of space-based land remote sensing data and technologies through education and outreach at the State and local level and through university based and collaborative research projects. Technologies of interest include multispectral and hyper-spectral electro-optical, thermal, and radar.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Nonprofit organizations, Public and Private colleges and universities, and State and local governments may make an application for support by a named principal investigator.

Beneficiary Eligibility:

States, research scientists, engineers, education (0-13+) and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed education, outreach and research activities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

A panel of scientists and program managers that may include external peers will review the proposals. All proposals will be evaluated and rated in accordance with established criteria. Notification of an award is by an award document signed by a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 1 to 2 year budget periods. The project duration is not to exceed 5 years. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. No cash reports are required. No progress reports are required. Quarterly and final financial reports must be submitted as described in the award terms and conditions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular A-110, or superseding regulations.

Records:

All records must be retained and made available for audit for 3 years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Project Grants) FY 09 \$967,400; FY 10 est \$967,400; FY 11 est \$967,400

Range and Average of Financial Assistance:

\$950,000 to \$1,000,000; average \$967,400.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This program focuses on satellite remote sensing data and technologies in support of applied research, K-16 education, workforce development and technology transfer. Federal funds are leveraged to work together with other State organizations, Federal agencies and across States (State Views). Established educational and technical working groups. Working to develop remote sensing curricula that can be shared with and tailored to each participating educational institution (as well as work force training). Select States worked to create a shareable archive of all kinds of remote sensing data that all State organizations can access. Increased education and training in Earth sciences (K-12 and universities). Conducted critical applied research - real time applicants relevant to each State, sometimes expanding to a region of the U.S. Provided feedback and helping U.S. Geological Survey understand State needs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Thomas Cecere, Land Remote Sensing Program Office, Geography Division, Geological Survey, 517 National Center, Reston, Virginia 20192 Email: tcecere@usgs.gov Phone: (703) 648-5551 Fax: (703) 648-5939.

Website Address:

<http://www.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The National Land Remote Sensing Education, Outreach and Research Program has funded projects that assure that all users of remotely sensed data have equal, affordable access to the data and can establish the means to conduct research using the data. Additionally, this program has funded projects focusing on K-16 outreach, remote sensing course development for the public, and the tailoring of remotely sensed imagery for State and local scientific and public use. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panel composed of expert scientists and administrators drawn from government evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.816 MINERALS RESOURCES EXTERNAL RESEARCH PROGRAM

MRERP

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

30 U.S.C. 1601 et. seq.

OBJECTIVES:

To conduct research in topics related to non-fuel mineral resources in order to (1) ensure availability of up-to-date quantitative assessments of potential for undiscovered mineral deposits, (2) Ensure availability of up-to-date geoenvironmental assessments of priority Federal lands, (3) ensure availability of reliable geologic, geochemical, geophysical, and mineral locality data for the United States, and (4) ensure availability of long-term data sets describing mineral production and consumption.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Specific objectives are identified in the annual program announcement. Applications for research projects not covered by one of the objectives are not solicited. Funds are set aside for discretionary activities.

Applicant Eligibility:

Colleges, universities, profit-making, and nonprofit organizations, and State and local governments may make application for support by a named principal investigator.

Beneficiary Eligibility:

Research scientists and the general public will ultimately benefit from the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulation at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications must be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by a grant or cooperative agreement document signed by a Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 7 to 9 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients are encouraged to share in the cost of each research project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements will normally be awarded in 12 or 24 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as products, are required and must be submitted as described in the award terms and conditions. No cash reports are required. No progress reports are required. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations.

Records:

All records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$283,634; FY 10 est \$317,098; FY 11 est \$315,000

Range and Average of Financial Assistance:

\$15,000 to \$151,000; average \$60,303.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since its initiation in 2004, the Mineral Resource External Research Program (MRERP) has awarded more than \$2.3 million to 40 different research projects across the country. The MRERP has funded projects covering mineral deposit genesis, regional controls on minerals systems, mineral environmental characteristics of ore deposits, and materials flow studies. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jeff Doebrich, Mineral Resources Program, Geological Survey, 913 National Center, Reston, Virginia 20192 Email: mrrerp@usgs.gov Phone: (703) 648-6103 Fax: (703) 648-6057.

Website Address:

<http://minerals.usgs.gov/mrrerp> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Geochronology of mineralizing systems; Characterization of mine waste using state-of-the-art analytical techniques; Reconstruction of structurally dismembered mineral systems; Service lifetimes of mineral end uses. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels composed of expert scientists drawn from government, universities, and private industry evaluate all assistance applications. Criteria for judging applications includes: relevance and timeliness of the proposed research to the goals of the program; technical quality of the proposal; competence of the principal investigator and recipient organization; and appropriateness and reasonableness of the budget.

15.817 NATIONAL GEOSPATIAL PROGRAM: BUILDING THE NATIONAL MAP

The National Map

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Organic Act of 1879; 43 U.S.C. 31; 43 U.S.C. 36(c); 43 U.S.C. 36(d); Department of the Interior and Related Agencies Appropriations Act of 2003, Public Law 108-7; and American Recovery and Reinvestment Act, Public Law 111-5.

OBJECTIVES:

To organize, maintain, publish, and disseminate the geospatial baseline of the Nation's topography, natural landscape, and built environment through The National Map, a set of basic geospatial information provided as a variety of products and services; to foster a general understanding of broad geographic patterns, trends, and conditions through The National Atlas of the United States of America; and to increase the efficiency of the Nation's geospatial community by improving communications about geospatial data, products, services, projects, needs, standards, and best practices. To utilize stimulus funds from the American Recovery and Reinvestment Act of 2009 (ARRA) to expand employment and business opportunities within a key sector of the geospatial industry while enabling America to revitalize its physical infrastructure, improve public safety, and adapt to climate change through the collection of high resolution lidar and orthoimagery data.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Applications must be for data collection and research projects which are consistent with the objectives of the National Geospatial Program's orthoimagery and elevation activities. The majority of funds are used to support new data collections, existing, long-term collaborative projects that will enhance the datasets and research using those data. Specific objectives are identified in the program announcement. Applications for projects not covered by one of the objectives are not solicited.

Applicant Eligibility:

Proposals from State, Local, Interstate, Federally or State recognized Indian Tribal Governments, US Territories and Possessions, Institutions of Higher Education, Private Foundations, and Nonprofit Organizations are invited. Due to limited availability of funding to support new external projects, consultation with the Geological Survey is strongly recommended prior to submission of applications.

Beneficiary Eligibility:

Interstate, State, intrastate, and local government agencies, educational institutions, private firms, private foundations, nonprofit organizations, Federally-acknowledged or state-recognized Native American tribes or groups, U.S. territories and possessions, public decision makers, research scientists, engineers, graduate students, students/trainees, and the general public will ultimately benefit from knowledge gained under the program.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Notwithstanding the provision OMB Circular A-110, Subpart C, _25(e)(1), discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded

from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the Grants.gov announcement packages and the above regulations must be used for this program. Instructions for submitting applications are contained in each announcement package posted to www.grants.gov.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement signed by a Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

One to three months.

Appeals:

Not applicable except that application may be revised and resubmitted.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Recipients are encouraged to share in the cost of each project. Typical appropriations language requires cooperating State and local governments to contribute at least on-half of the cost of cooperative mapping projects; appropriations such as ARRA have not included this language.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded for, but not restricted to, 12 to 18 month budget periods. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are typically required and must be submitted as described in the award terms and conditions. Projects funded under the American Recovery and Reinvestment Act of 2009 will have additional reporting requirements as described in announcement packages at www.grants.gov. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or authorized audit agency access to and the right to examine records related to the award. Audit info." If 'No' is selected: "This program is excluded from coverage under OMB Circular No. A-133."

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306 - TAFS Code: 14-0803 2009/2010.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$6,882,859; FY 11 est \$0

Range and Average of Financial Assistance:

\$72,000 to \$500,000; average \$312,857.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No data available. Fiscal Year 2010: 74 applications received and 22 awards granted. Fiscal Year 2011: No data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Geological Survey Guide for Submission of Unsolicited Proposals is available at <http://www.usgs.gov/contracts/grants/unsolbk.html>.

Regional or Local Office:

See Regional Agency Offices. For individually-awarded projects, contact the appropriate office, depending on the location of the study. See the "Partnership Network" listing at http://nationalmap.gov/tnm_partnerships.html.

Headquarters Office:

National Geospatial Program, Geological Survey, 12201 Sunrise Valley Drive, MS 510, Reston, Virginia 20192 Phone: (703) 648-5519.

Website Address:

<http://www.nationalmap.gov>

RELATED PROGRAMS:

10.903 Soil Survey; 15.808 U.S. Geological Survey_ Research and Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Collection and processing of orthoimagery and elevation data over entire State, County, and other areas of interest to support local planning, emergency response applications, resource assessments, coastal erosion studies, soil erosion and slope stability assessments, land cover change studies, transportation planning, and other scientific and resource management evaluations. See the website http://nationalmap.gov/tnm_partnerships.html for summaries of funded projects. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Panels of expert geographers, cartographers, physical scientists and geodesists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the mission of the National Geospatial Program of the Geological Survey. Other factors include geographic location and areal extent, value to potential partners, technical qualifications of the recipients, amount of matching funds, and adherence to goals of the ARRA such as number of jobs created or retained. Applications will be funded only to the extent permitted by available funds.

15.818 VOLCANO HAZARDS PROGRAM RESEARCH AND MONITORING.

Volcano Hazards Program

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Outer Continental Shelf Resource Management, 43 U.S.C. 36(d); American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To advance the scientific understanding of volcanic processes and to lessen the harmful impacts of volcanic activity. The Volcano Hazards Program monitors active and potentially active volcanoes, assesses their hazards, responds to volcanic crises, and conducts research on how volcanoes work to fulfill a Congressional mandate (Public Law 93-288) that the USGS issue "timely warnings" of potential volcanic hazards to responsible emergency-management authorities and to the populace affected. During FY10, the Volcano Hazards Program is providing funding under the American Recovery and Reinvestment Act of 2009 (ARRA) for equipment replacement and upgrades of seismic and other monitoring systems. The ARRA goals supported by this funding include the preservation and creation of jobs, advancement of scientific technology, and improvements in environmental protection. Further details on this funding will be available at www.doi.gov and www.recovery.gov.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting monitoring and research as specified in Public Law 93-288.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed research and operations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Notwithstanding the provision at OMB Circular A-110, Subpart C, __.25 (e)(1), discussions regarding funding availability and program interest are strongly encouraged, as limited funding is available for new projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement signed by a U.S. Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Established annually. The range of approval/disapproval time is from 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Recipients are encouraged to share in the cost of each research project.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in, but not restricted to, 12 month budget periods. Project duration may not exceed 5 years. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Projects funded under the American Recovery and Reinvestment Act of 2009 will have additional reporting requirements as described in announcement packages at www.grants.gov. Cash reports are not applicable. No progress reports are required. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants agree to give the sponsoring agency, the Comptroller General or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-110, or superseding regulations. Audit info. If 'No' is selected: "This program is excluded from coverage under OMB Circular No. A-133."

Records:

Records must be maintained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306 - TAFS code: 14-0803 2009/2010.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$6,995,827; FY 11 est \$0. (Cooperative Agreements) FY 09 \$2,472,465; FY 10 est \$2,472,465; FY 11 est \$2,472,465

Range and Average of Financial Assistance:

\$20,000 to \$2,000,000; average \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 24 proposals received and evaluated nearly \$7M in ARRA cooperative agreement funds. Fiscal Year 2010: \$6.99M in ARRA funds were awarded for cooperative agreements with 17 universities and state agencies, for work in FY 10 and FY 11. Fiscal Year 2011: FY 11 ARRA cooperative agreement work scheduled to be completed by September 30, 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

John Eichelberger, Volcano Hazards Program Office, Geologic Discipline, Geological Survey, 904 National Center, Reston, Virginia 20192 Email: jeichelberger@usgs.gov Phone: (703) 648-6711 Fax: (703) 648-5483.

Website Address:

<http://volcanoes.usgs.gov/>

RELATED PROGRAMS:

47.050 Geosciences

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Seismic network maintenance in Washington, Wyoming and Alaska. Fiscal Year 2010: Investigations of recent volcanic eruptions in Alaska, hazard risk modeling in Oregon. Fiscal Year 2011: LiDAR imagery of Cascade volcanoes and real-time gas monitoring at Kilauea.

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey will review and evaluate all applications consistent with authorized USGS program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Geological Survey, and the goals of the Recovery Act (ARRA). For the latter these include the preservation and creation of jobs, advancement of scientific technology, and improvements in environmental protection. Applications will be funded only to the extent permitted by available funds.

15.819 ENERGY COOPERATIVES TO SUPPORT THE NATIONAL COAL RESOURCES DATA SYSTEM (NCRDS)

NCRDS

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Minerals, Lands and Mines, 30 U.S.C 208-1; Public Lands, 43 U.S.C 31 et. seq.; Public Health and Welfare, 42 U.S.C 15801.

OBJECTIVES:

To collect, interpret, correlate, and evaluate coal and other solid fuel energy-related stratigraphic, chemical, and GIS data; to build and maintain national databases of coal-related stratigraphic and chemical data; to conduct research relative to coal and other solid fuel energy and apply knowledge gained to conduct resource assessments within the United States in order to further the understanding of energy resources within a global, geologic, economic and environmental context.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Specific objectives may be identified in the new five-year program announcement beginning FY 2010. Applications for proposals not covered by at least one of the objectives are not solicited.

Applicant Eligibility:

Applicants can be affiliated (but are not required to be affiliated) with State agencies, universities, Tribal governments or organizations. Applications must have the ability to conduct research consistent with the Energy Resources Program goals. Applicants must not be employed by a U.S. Federal agency.

Beneficiary Eligibility:

Research scientists and the general public will ultimately benefit from the program.

Credentials/Documentation:

None specific, although proposal should contain evidence of qualifications for performance of the work. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subparts C and D, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be submitted through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement signed by a U.S. Geological Survey contracting officer.

Deadlines:

Dec 01, 2009 to Dec 31, 2009: December 2009. Deadlines for proposals for fiscal year 2010 funding is anticipated in December 2009. Program announcement (anticipated 5-year cycle) will be for projects to start on July 1, 2010.

Range of Approval/Disapproval Time:

Four to six months after submittal of proposal or bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Annual renewals for continuation of work may occur through the 5-year cycle (FY 2010 to FY 2014), subject to the availability of annual funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: No statutory requirement. In order to gain the greatest leverage of limited Federal and State funds, each proposed State-originated program should indicate some State matching of direct- and

indirect-cost dollars. Exceptions may be granted for ratios far below 1:1. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will normally be awarded in 12 month budget periods, with the budget periods cumulative to the end of the total project period. In FY 10, a new 5-year cycle project period was initiated, with annual funding for FY 11-14 based on future availability of funds. See the following for information on how assistance is awarded/released: Not available.

Reports:

Annual and final technical reports, as well as other products, are typically required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Applicants must agree to give the sponsoring agency, the Comptroller General, or other authorized audit agency access to and the right to examine records related to the award. Awards to educational institutions and nonprofit organizations are subject to the audit requirements of OMB Circular A-110, or superseding regulations.

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$450,000; FY 10 est \$400,000; FY 11 est \$400,000

Range and Average of Financial Assistance:

\$10,000 to \$26,000; average \$15,190.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: FY 09 ended a 5-year cycle of funding for 26 cooperators. Over 70,000 stratigraphic data points produced from these projects were added to the national database. Coal and gas shale petrography studies were completed. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Susan J. Tewalt, Department of the Interior, Geological Survey, Energy Resources Program, MS 911 National Center, Reston, Virginia 20192 Email: stewart@usgs.gov Phone: (703) 648-6437 Fax: (703) 648-6419.

Website Address:

http://energy.er.usgs.gov/coal_quality/state_coops

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A panel evaluates proposal to relevance to announced priorities, technical merit, and prospect of attaining program objectives.

15.820 NATIONAL CLIMATE CHANGE AND WILDLIFE SERVICE

CENTER

NCCWSC

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Consolidated Appropriations Act of 2008, Public Law 110-161, 43 U.S.C 36(d).

OBJECTIVES:

To develop mechanisms that will ensure that the National Climate Change and Wildlife Science Center is responsive to the research and management needs of Federal and State agencies by working with partners to provide science and technical support regarding the impacts of climate change in fish, wildlife, plants and ecological processes and the mechanisms for adaptation to, mitigation of, or prevention of those impacts. Center activities will focus on providing national and regional habitat and population modeling and forecasting tools, integrating physical climate models with ecological models, assessing vulnerabilities and forecasting changes, and developing standardized approaches.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Building on standardized approaches developed at the level by the NCCWSC, Regional Climate Science Hubs are being developed according to the national strategy. National coordination of research and modeling at the regional Hubs will ensure uniformity of downscaling and forecasting models and standardized information to support management of fish and wildlife resources and regional partnership collaborations. The DOI Regional Climate Science Centers will develop cooperative agreements with qualified institutions to ensure the Center is responsive to national, ecoregional and local needs of Federal, State, Tribal and local partners.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants will use the standard SF 424 Application for Federal Assistance and Assurances. This program is subject to the Department of the Interior regulations at 43 CFR Part 12, Subpart F, or any subsequent revisions. The application requirements as contained in the announcement package and the above regulations must be used for this program. Applications may be made only through the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by receipt of a cooperative agreement signed by a Geological Survey contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Four to six months after submittal of proposal or Bureau receipt of appropriations, whichever is later.

Appeals:

Not Applicable.

Renewals:

Annual renewals for continuation of work may occur through the five-year cycle of a cooperative agreement (FY 2010 to FY 2014) subject to the availability of annual funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Recipients are encouraged to share in the cost of each project.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will normally be awarded in 12-month budget periods, with budget periods cumulative to the end of the total 5-year project period. Annual renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are typically required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly Federal Financial Reports SF 425 and final reports must be submitted as required in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records shall be retained and made available for audit for 3 years after submission of final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$7,500,000; FY 10 est \$11,250,000; FY 11 est \$17,250,000

Range and Average of Financial Assistance:

Range is \$26,000 to \$667,000; Average \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Results of scientific activities are communicated to customers in academia, science, and resource management agencies, and the general public through models, decision support tools, project reports and peer-reviewed scientific papers, websites, and meetings with stakeholders. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Nadine Hartke, Geological Survey, MS 300 National Center, Reston, Virginia 20192 Email: nhartke@usgs.gov Phone: (703) 648-4607.

Website Address:

<http://nccw.usgs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In 2008 and 2009, research projects were implemented to investigate the responses of fish, wildlife, birds and vegetation to climate change impacts of climate change on bird conservation in arid and semi-arid

regions of North America, for example: (1) fate of endangered species in San Francisco Bay tidal marshes in response to sea level rise; (2) impacts of past and future stream temperature and flow changes on survival of endangered Atlantic salmon populations; (3) potential influence of climate change on the survival of at-risk native salmonids; and (4) influence of climate change on migration and feed-ground use by Rocky Mountain ungulate populations and impacts on vegetation. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Scientists within the Geological Survey, bureaus, and offices in the Department of the Interior will review and evaluate all applications consistent with authorized program purposes. Criteria for judging applications will include scientific excellence and relevance to the solution of important problems within the mission of the Department of the Interior. Applications will be funded only to the extent permitted by available funds.

15.875 ECONOMIC, SOCIAL, AND POLITICAL DEVELOPMENT OF THE TERRITORIES

FEDERAL AGENCY:

Office of Insular Affairs, Department of the Interior

AUTHORIZATION:

The Guam Organic Act, 64 Stat. 384, 48 U.S.C. 1421 et. seq., Act of February 20, 1929, 48 U.S.C. 1661; Executive Order 10264; Public Law 83-517, 48 U.S.C. et. seq.; Public Law 94-241, Public Law 104-134, Public Law 108-188, and Public Law 99-658.

OBJECTIVES:

To empower insular communities through the social, economic, and political development of the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands as well as the three Freely Associated States: The Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial assistance is limited to the U.S. territories, the Freely Associated States and to organizations whose missions directly benefit these seven insular areas. A notable exception is the state of Hawaii which receives Compact Impact aid mandated by Public Law 108-188 and Brown Treesnake Control Program funding. Financial assistance is used for a variety of activities including capital improvements, government operations, and technical assistance.

Applicant Eligibility:

Eligible applicants are the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands, the state of Hawaii; the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau; and any organizations whose missions directly benefit the seven insular areas.

Beneficiary Eligibility:

Beneficiaries are the U.S. territories of Guam, American Samoa, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands; the state of Hawaii; and the Freely Associated States of the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Credentials/Documentation:

Not applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact statement or an environmental impact assessment may be required depending on the project grant. All proposed projects shall be reviewed for applicability. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application procedures vary.

Please contact the Headquarters Office for specific information. The standard SF-424 forms are required for project grants with the exception of projects funded by the Compacts of Free Association.

Award Procedure:

A grant award is made to an eligible entity in the specific amounts and for the specific purposes set forth in the annual Department of the Interior and Related Agencies Appropriation Act signed by the President.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The majority of OIA's financial assistance programs do not have a matching requirement. The two exceptions are the American Samoa Capital Improvement Project Operations and Maintenance Set-Aside Program, which has a 5% local match requirement, and the Operations and Maintenance Improvement Program (OMIP). However, OMIP match requirements are left to the discretion of the grant manager and may be waived should the application warrant it.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for OIA grants is dependent on the activity to be funded and is specified in the grant terms and conditions. See the following for information on how assistance is awarded/released: Award payments are generally made through wire transfer arrangements. The frequency of the payment varies. Please contact the Headquarters Office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative progress reports are required as dictated by the grant terms and conditions. A final report is due within 90 days of grant completion or termination. The SF-425, Federal Financial Reports are required as dictated by the grant terms and conditions. A final SF-425 is due within 90 days of grant completion or termination. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits of the three Freely Associated States, when receiving funding under the Compacts of Free Association, are governed by those compacts (Public Law 108-188 and Public Law 99-658) and their related agreements. Grants are also subject to inspection and audit by Interior's Office of the Inspector General, OIA staff, or any other authorized representative of the Federal government.

Records:

Record retention requirements are the same as those contained in 43 CFR Part 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and those dictated by the Compacts of Free Association (Public Law 108-188 and Public Law 99-658) and their related agreements (as applicable).

Account Identification:

14-0412-0-1-806; 14-0418-0-1-806; 14-0415-0-1-806.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$148,678,000; FY 10 est \$177,000,000; FY 11 est \$146,000,000. (Project Grants (Discretionary)) FY 09

\$367,521,671; FY 10 est \$294,204,000; FY 11 est \$302,658,000

Range and Average of Financial Assistance:

There is no minimum or maximum award. OIA financial assistance awards range from a few thousand dollars to tens of millions annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: OIA financial assistance has enabled the seven insular areas to develop economically, socially, and politically helping to empower these communities. Recent accomplishments include the construction of classrooms, medical facility improvements and the purchase of critical equipment such as fire trucks and police cruisers. Approximately 120 awards are made annually.

Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

43 CFR Part 12.

Regional or Local Office:

None.

Headquarters Office:

Faride Kraft, Department of the Interior, Office of Insular Affairs, Budget and Grants Management Division, 1849 C Street, NW, Mail Stop 2428, Washington, District of Columbia 20240 Phone: (202) 208-6971 Fax: (202) 208-7585.

Website Address:

<http://www.doi.gov/oia>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Operational support to the American Samoa Government and grants for the construction of basic infrastructure including roads, water systems, power, sewer, schools, and hospitals. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded: (1) Directed appropriations, (2) Relevance to program priorities, and (3) Availability of funds.

15.937 REDWOOD NATIONAL PARK COOPERATIVE MANAGEMENT WITH THE STATE OF CALIFORNIA

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 1998, Public Law 105-83, 111 Stat. 1543, 16 U.S.C 471j; Executive Order Title 16 Conservation Subchapter 1 National Park Service, Title 16, Part 1, Section 1a-2j; American Recovery and Reinvestment Act of 2009, Public Law 111-5 Section 702; Omnibus Consolidated Appropriations Act of 1997, Public Law 104-208, 110 Stat. 3009-181, 189, 16 U.S.C 1g; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333, 16 U.S.C 1f.

OBJECTIVES:

Exchange goods and services to be used by the Secretary of the Interior and the State of California for cooperative management of lands within Headwaters Forest, Redwood National Park, and proximate State lands. Develop joint operating procedures and standards to ensure effective and efficient accomplishment of park activities, including but not limited to: visitor services, resource protection, public information, interpretation and publications, resource management, maintenance, administration, design and construction, planning, signing, and the development of policies. For American Recovery and Reinvestment Act (ARRA) funded projects and in addition to the program objectives above, section 3 of the ARRA states the following objectives: to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession, to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; to stabilize State and local government

budgets, in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements; Dissemination of Technical Information; Sale, Exchange, or Donation of Property and Goods; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

The Secretary of the Interior must determine that appropriations for the purpose of cooperative management of projects are available and an agreement is in the best interests of the United States. Assistance is authorized between the Department of the Interior, National Park Service, Redwood National Park and the State of California government agencies. For ARRA funded projects the requirements the Act will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents.

Applicant Eligibility:

Agencies within the State of California Government.

Beneficiary Eligibility:

State of California.

Credentials/Documentation:

As determined by project requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consultation or assistance between the Secretary (generally through the Superintendent, Redwood National Park) and the State of California will occur.

Projects will be awarded as determined by the Secretary (generally through the Superintendent, Redwood National Park) within the requirements of applicable existing bureau and agency regulation and policy.

Award Procedure:

After project needs and requirements are developed, the National Park Service will issue the State of California a written agreement (Cooperative Agreement or Cooperative Management Agreement) for execution upon which the project can proceed. Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency, which are specifically directed to a known recipient. A Notice of Intent shall be placed on www.grants.gov to disclose the single source announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable requirements for extensions and renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: There are no legislative requirements for matching. Some projects may be conducted on a pre-determined cost share basis. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided on a project basis. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. All

organizations will be required to submit a yearly narrative summary accomplishments reports to the National Park Service at the end of each project year. All projects and activities are monitored for progress and compliance with agreed upon scope of work. For ARRA funds, recipients and agencies must comply with the regulations in 2 CFR Part 176 - Award Terms for Assistance Agreements that include Funds under the American Recovery and Reinvestment Act of 2009 that describes the requirements for 1512 award recipient reporting requirements. Supplemental guidance is available at <http://www.Recovery.gov> and <http://www.FederalReporting.gov>. Specific projects or activities for which funds are advanced shall be tracked and reported by submittal of the SF 270 Request for Advance or Reimbursement or SF 271 Outlay Report and Request for Reimbursement for Construction Programs, and a quarterly or annual submittal of the SF 425 Federal Financial Report. The SF 270/SF 271 and SF 425 require a final report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart C, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. The Cooperator must maintain a complete detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request of disbursement. If any litigation, claim, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken. The provisions of 2 CFR Part 176 apply.

Account Identification:

14-1035-1-1-303 - ARRA ONPS; TAFS 1409/101035; 14-1041-1-1-303 - ARRA Construction; TAFS 1409/101035; 14-1042-0-1-303 - NR&P; 14-1039-0-1-303 - Construction; 14-1036-0-1-303 - Operation of the National Park System.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$1,531,000; FY 11 est \$0. (Cooperative Agreements) FY 09 \$132,800; FY 10 est \$961,394; FY 11 est \$29,800

Range and Average of Financial Assistance:

Range \$4,000 to \$1,375,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Development of joint procedures for processing permits authorized by the agencies including but not limited to filming, photography, special events, research, collecting, and special public uses; Implementation of a jointly Safety Management Program; Joint participation by staff in workgroups and committees; Development and implementation of RNSP Trail and Backcountry Management, Interpretative, Wayside Exhibit, and Publication Plans; Joint implementation of an Awards and Recognition Program; Joint Implementation of the RNSP Sign Plan (approved June 1997); Sharing of office/facility space where appropriate and feasible to achieve efficiencies and provide enhanced services to the public; providing access for employees from both agencies for training opportunities; Joint orientation for new employees; Joint identification of the highest priority needs prior to recruiting for and filling vacant permanent positions; and Continued support and enhancement of the RNSP communications framework for joint phone, computer network, e-mail, and radio systems (including dispatch services). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Superintendent, Redwood National and State Parks, 111 Second Street, Crescent City, CA 95531

NPS, PWR, Contracting and Property Division, 1111 Jackson St., Ste. 700, Oakland, CA 94607.

Headquarters Office:

Director, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202) 208-3100.

Website Address:

<http://www.nps.gov/redw>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: NPS and CDPR have cooperated on many projects. The following list only briefly touches on the many projects that have been jointly implemented between the two agencies: remove invasive grass from 60 acres of coastal dunes in the park, share custodial duties at park education centers; share law enforcement duties for marijuana eradication, provide staff and funding to detect the emerging forest pathogen Sudden Oak Death, manage second growth forests in the park for enhancement of old growth conditions, manage coastal dune systems impaired by exotic species and OHV use, control erosion and restore natural hydrological patterns on failing park roads, install wayside exhibits along trails to inform the visiting public on park sites, hire staff for integrating resource management projects and completing all compliance documentation necessary for project implementation, and jointly develop metadata and project data for parkwide GIS application. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Appropriations for the purpose of cooperative management projects must be available and an agreement is in the best interests of the United States. For ARRA funded projects, the evaluation of projects also address the following priorities established in the Conference Report for Title IV: programs, projects, or activities that can be obligated and executed quickly; programs, projects, or activities that will result in high and immediate employment; programs, projects, or activities that have little schedule risk; programs, projects, or activities that will be executed by contract or direct hire of temporary labor; and programs, projects, or activities that will complete either a project phase, a project, or will provide a useful service that does not require additional funding.

15.938 BOSTON AFRICAN-AMERICAN NATIONAL HISTORIC SITE COOPERATIVE AGREEMENT WITH THE MUSEUM OF AFRICAN AMERICAN HISTORY

Rehab African Meetinghouse

FEDERAL AGENCY:

National Park Service, Department of the Interior

AUTHORIZATION:

Department of the Interior and Related Agencies Appropriations Act of 1998, Public Law 105-83, 111 Stat. 1543, 16 U.S.C. 471j; Executive Order Title 16 Conservation Subchapter 1 National Park Service, Title 16, Part 1, Section 1a-2j; American Recovery and Reinvestment Act of 2009, Public Law 111-5, Section 702, Omnibus Consolidated Appropriations Act of 1997, Public Law 104-208, 110 Stat. 3009-181, 189, 16 U.S.C. 1g; Omnibus Parks and Public Lands Management Act of 1996, Public Law 104-333, 16 U.S.C. 1f. Public Law 96-430 Sec. 101 and 103.

OBJECTIVES:

The National Park Service and the Museum of African-American History are partnering for the purpose of rehabilitating the African Meetinghouse in Boston, MA. The African Meetinghouse was entered on the National Register in 1971 and is a contributing resource to the Beacon Hill National Register District, as well as, a contributing resource to the Boston African-American National Historic Site. It is the oldest extant African-American church building in the United States and the first house of worship built for people of African descent in Boston. In order to preserve this structure which has both historic and architectural experience to complete the project.

TYPES OF ASSISTANCE:

Advisory Services and Counseling; Cooperative Agreements (Discretionary Grants); Dissemination of Technical Information; Sale, Exchange, or Donation of Property and Goods; Training; Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

The Secretary of the Interior must determine that appropriations for the purpose of providing federal assistance are available and an agreement is in the best interests of the United States. Assistance is authorized between the Department of the Interior, National Park Service, Boston African-American National Historic Site and the Museum of African-American History. For ARRA funded projects, the requirements of the Act will be followed and incorporated, as necessary, within the opportunity announcement and agreement documents.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Section 106 compliance was signed by the SHPO on July 28, 2005 and a Categorical Exclusion was signed on March 11, 2010. Plans and specifications have been completed and appropriate approvals received. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Consultation or assistance between the Secretary (generally through the Superintendent, Boston African-American National Historic Site) and the Museum of African-American History will occur. Projects will be awarded as determined by the Secretary (generally through the Superintendent, Boston African-American National Historic Site) within the requirements of applicable existing bureau and agency regulation and policy.

Award Procedure:

After project needs and requirements are developed, the National Park Service will issue the Museum of African-American History a written cooperative agreement for execution upon which the project can proceed. Department of the Interior policy allows projects that meet the criteria for single source opportunities to be issued by an agency, which are specifically directed to a known recipient. A Notice of Intent shall be placed on www.grants.gov to disclose the single source announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

In accordance with applicable requirements for extensions and renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Recovery Act restrictions related to the time permitted to obligate and expend program funds apply. Additionally information on the length and time phasing of assistance is not available. See the following for information on how assistance is awarded/released: Information not available.

Reports:

Program reports are not applicable. Cash reports are not applicable. All

organizations will be required to submit a yearly narrative summary accomplishments report to the National Park Service at the end of each project year. For ARRA funds, recipients and agencies must comply with the regulations in 2 CFR Part 176 - Award Terms for Assistance Agreements, which describes the requirements for 1512 recipient reporting requirements. Supplemental guidance is available at <http://www.Recovery.gov> and <http://FederalReporting.gov>. Specific projects or activities for which funds shall be tracked and reported by submittal of the SF 271 Outlay Report and Request for Reimbursement for Construction Programs, and a quarterly or annual submittal of the SF 425 Federal Financial Report. The SF 271 and SF 425 require a final report. All projects and activities are monitored for progress and compliance with agreed upon scope of the work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients will maintain records in accordance with the provisions of 43 CFR Part 12, Subpart F, Uniform Administrative Requirements for Grants and Agreements to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations. The Cooperator must maintain a complete detailed accounting system to report expenditures of funds and accomplishments achieved under the award. Records, accounts and supporting documents must be retained for 3 years after submission for final request of disbursement. If any litigation, claim, or audit is started before the expiration of the 3-year period, the records shall be retained until all litigation, claims or audit findings involving the records have been resolved and final action taken. The provisions of 2 CFR 176 apply.

Account Identification:

14-1042-0-1-303 - NR&P; 14-1036-0-1-303 - Operation of the National Park System; 14-1039-0-1-303 - Construction; 14-1035-1-1-303 - ARRA ONPS; TAFS 1409/101035; 14-1041-1-1-303 - ARRA Construction; TAFS 1409/101035.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$4,200,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range is \$1,000,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Superintendent, Boston African-American National Historic Site, 14 Beacon Street, Suite 503, Boston, MA 02108; Phone (617)742-5415.

Northeast Regional Office, 200 Chestnut Street, Suite 306, Philadelphia, PA 19106; Phone (215)597-0815.

Project Management Office: Denver Service Center, 12795 W. Alameda Parkway, Denver, CO 80225-0287; Phone (303)969-2459.

Headquarters Office:

Director National Park Service, 1849 C Street, NW, Washington, District of Columbia 20240 Phone: (202)208-3100

Website Address:

<http://www.nps.gov.boaf>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Current project expected to be funded through ARRA for \$4.2 million to rehabilitate African Meetinghouse, which is a previous non-ARRA project provided approximately \$918,000 for the construction of an elevator and stair tower in the African Meetinghouse. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Appropriations for the purpose of cooperative projects must be available and an agreement is in the best interests of the United States. For ARRA funded projects, the evaluation of projects must also address the following priorities established in the Conference Report for Title IV: programs, projects, or activities that can be obligated and executed quickly; programs, projects or activities that will result in high and immediate employment; programs, projects, or activities that have little risk schedule; programs, projects, or activities that will be executed by contract or direct hire of temporary labor; and programs, projects, or activities that will be completed either a project phase, or will provide a useful service that does not require additional funding.

15.978 UPPER MISSISSIPPI RIVER SYSTEM LONG TERM RESOURCE MONITORING PROGRAM

LTRMP

FEDERAL AGENCY:

U.S. Geological Survey, Department of the Interior

AUTHORIZATION:

Water Resources Development Act of 1986, Public Law 99-662, Section 1103, 33 U.S.C. 652.

OBJECTIVES:

To provide decision makers with information needed to maintain the Upper Mississippi River System (UMRS) as a sustainable large river ecosystem given its multiple-use character. Congress has declared the UMRS to be both a nationally significant ecosystem and a nationally significant commercial navigation system. The long-term goals of the LTRMP are to: understand the UMRS ecosystem, monitor trends and effects with respect to selected resources, develop resource management alternatives, manage information, and develop useful products.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements are entered into for the purpose of conducting resource monitoring and research as specified in the LTRMP Operations Plan and for other activities to carry out the purposes of the Water Resources Development Act of 1986.

Applicant Eligibility:

State agencies stipulated in the Water Resources Development Act of 1986 (Public Law 99-662) are eligible to apply.

Beneficiary Eligibility:

UMRS State, Federal, and private natural resource managers, users, and the general public.

Credentials/Documentation:

Proposals must furnish evidence of the applicant's qualifications for performance of the proposed activity. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application form Standard Form (SF) 424, 424-A, and 424-B. The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin; the Upper Mississippi River Basin Association; or any other agency established under paragraph (d)(1) of the Water Resources Development Act of 1986, Public Law 99-662 are exempt from the application procedures by virtue of the Act. Applications may be submitted only through

the internet site <http://www.grants.gov>.

Award Procedure:

Notification of an award is by a cooperative agreement document signed by a contracting officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The LTRMP is a permanent program. Agreements may be renewed on an annual basis dependent upon funding availability.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements will be awarded in 12-month budget periods. Funds are released as required. See the following for information on how assistance is awarded/released: Recipients request payment as needed throughout the award period.

Reports:

Annual and final technical reports, as well as other products, are required and must be submitted as described in the award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Quarterly and final financial reports are required and must be submitted as described in the award terms and conditions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records shall be retained and made available for audit for three years after submission of the final financial report.

Account Identification:

14-0804-0-1-306.

Obligations:

(Cooperative Agreements) FY 09 \$2,262,334; FY 10 est \$2,666,759; FY 11 est \$2,469,275

Range and Average of Financial Assistance:

\$262,538 to \$699,239; average \$374,686.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 7 cooperative agreements were made to support the LTRMP. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 99-662, Section 1103, as amended. Information regarding LTRMP publications may be found at the web site address.

Regional or Local Office:

See Regional Agency Offices. Inquiries regarding the LTRMP may be addressed to the Upper Midwest Environmental Sciences Center, 2630 Fanta Reed Road, La Crosse, Wisconsin 54603, POC: Michael Jawson, Center Director, (608) 781-6221, Fax: (608) 783-6066, E-mail: Linda_Leake@usgs.gov; or Thomas S. Kelly, Management Analyst, (608) 781-6229, Fax: (608) 783-6066, E-mail: Tom_Kelly@usgs.gov; UMESC web

site address, <http://www.umesc.usgs.gov>.

Headquarters Office:

None None, None, Non-U.S. None Phone: None

Website Address:

<http://www.usgs.gov/ltrmp.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: To date, cooperative agreements and amendments in the amount of approximately \$49,018,000 have been granted to States, universities, and other recipients to conduct resource (fisheries, water quality, invertebrate, vegetation) monitoring and research of the Upper Mississippi River System. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The States of Illinois, Iowa, Minnesota, Missouri, and Wisconsin, and their institutions and agencies; States, universities, and others having expertise and experience conducting monitoring and research of the Upper Mississippi River System; States, universities, and others having expertise and experience conducting monitoring and research of large, impounded river systems.

DEPARTMENT OF JUSTICE

16.015 MISSING ALZHEIMER'S DISEASE PATIENT ASSISTANCE PROGRAM

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

This program provides funds for projects that aid in the protection and location of missing persons living with Alzheimers disease and related dementias and other missing elderly individuals. It is currently estimated that 5.3 million people in the United States alone are living with Alzheimers disease or some form of dementia. Over 60 percent (3.1 million individuals) of those with dementia will wander at some point. More than 50 percent of those who wander become lost and separated from their loved ones. Law enforcement agencies often expend resources searching for missing persons with Alzheimers disease, and if not found quickly, they are at high risk of serious injury or even death. In addition, law enforcement officers may not be trained or equipped to handle the special needs of those with Alzheimers, once they are found.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Applications are solicited for projects, pursuant to Congressional appropriations, that address one or more of the following: (1) Develop or enhance a national outreach program to increase awareness among law enforcement agencies and the public in addressing the needs of missing persons with Alzheimers disease. This national outreach program should include partnerships between national level law enforcement and Alzheimers disease associations, and may include providing a website that serves as a national clearinghouse of information and resources for those involved with missing persons. (2) Develop and/or sustain a national registry for persons living with Alzheimers disease or other form of dementia. (3) Develop and/or implement a process for aiding in the location of lost persons with Alzheimers disease. (4) Provide training and substantive informational material to law enforcement agencies through a national or regional initiative. (5) Support the development of a model policy that can be implemented nationwide by law enforcement agencies. Because there is no guarantee of future funding for this initiative, the successful applicant must address sustainability for any website and/or national registry developed or enhanced, beyond federal funding awarded under this solicitation.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

An award is granted by the Assistant Attorney General, Office of Justice Programs, and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals of congressional funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period will be up to 18 months. The project start date should be on or after October 1, 2009. See the following for information on how assistance is awarded/released: Contact BJA for further assistance.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress Reports which consist of semi-annual program progress reports. Quarterly Financial Reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$1,703,987; FY 10 est \$2,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

BJA may make one or more awards under this category.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.
<http://www.ojp.usdoj.gov/financialguide/>

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Bureau of Justice Assistance, Policy Office, 810 Seventh Street NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2647

Website Address:

<http://www.ojp.usdoj.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are outlined in the OJP Program solicitation.

16.018 SERVICES TO ADVOCATE FOR AND RESPOND TO YOUTH FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title III, Section 303, Public Law 109-162, 42 U.S.C 14043c.

OBJECTIVES:

To serve youth victims of domestic violence, dating violence, sexual assault, and stalking.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for the following statutory program purpose:

To design or replicate, and implement, programs and services, using domestic violence, dating violence, sexual assault, and stalking intervention models to respond to the needs of youth who are victims of domestic violence, dating violence, sexual assault or stalking.

Such programs--

(A) shall provide direct counseling and advocacy for youth and young adults, who have experienced domestic violence, dating violence, sexual assault or stalking;

(B) shall include linguistically, culturally, and community relevant services for underserved populations or linkages to existing services in the community tailored to the needs of underserved populations;

(C) may include mental health services for youth and young adults who have experienced domestic violence, dating violence, sexual assault, or stalking;

(D) may include legal advocacy efforts on behalf of youth and young adults with respect to domestic violence, dating violence, sexual assault or stalking;

(E) may work with public officials and agencies to develop and implement policies, rules, and procedures in order to reduce or eliminate domestic violence, dating violence, sexual assault, and stalking against youth and young adults; and

(F) may use not more than 25 percent of the grant funds to provide additional services and resources for youth, including childcare, transportation, educational support, and respite care. 100% of funds for discretionary grant purposes.

Applicant Eligibility:

Provide services to teen and young adult victims of domestic violence, dating violence, sexual assault, or stalking.

Intervention or violence prevention services for youth.

Provide services to runaway or homeless youth affected by domestic or sexual abuse.

Beneficiary Eligibility:

Nonprofit, nongovernmental entities, community and faith-based organizations, and Indian tribes and tribal organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

OVW will make grants under this program for a period of three years. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. No cash reports are required. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. No expenditure reports are required. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those

activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$3,500,000; FY 11 est \$3,500,000

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Josh Kamp 800 K Street, NW Suite 850, Washington, District of Columbia 20001 Email: joshua.kamp@usdoj.gov Phone: 2023532639

Website Address:

<http://www.ovw.usdoj.gov>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.020 CHILDREN AND YOUTH EXPOSED**FEDERAL AGENCY:**

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title III, Section 303, Public Law 109-162, 42 U.S.C 14043d-2.

OBJECTIVES:

The funds appropriated under this section shall be used for--

(1) programs that provide services for children exposed to domestic violence, dating violence, sexual assault, or stalking, which may include direct counseling, advocacy, or mentoring, and must include support for the nonabusing parent or the child's caretaker; or

(2) training, coordination, and advocacy for programs that serve children and youth (such as Head Start, child care, and after-school programs) on how to safely and confidentially identify children and families experiencing domestic violence and properly refer them to programs that can provide direct services to the family and children, and coordination with other domestic violence or other

programs serving children exposed to domestic violence, dating violence, sexual assault, or stalking that can provide the training and direct services referenced in this subsection.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Director shall award grants--

(A) considering the needs of underserved populations;

(B) awarding not less than 10 percent of such amounts to Indian tribes for the funding of tribal projects from the amounts made available under this section for a fiscal year;

(C) awarding up to 8 percent for the funding of technical assistance programs from the amounts made available under this section for a fiscal year; and

(D) awarding not less than 66 percent to programs described in subsection (c)(1) of this section from the amounts made available under this section for a fiscal year. 100% of funds for discretionary grant purposes.

Applicant Eligibility:

To be eligible to receive a grant under this section, an entity shall be a--

(1) a victim service provider, tribal nonprofit organization or community-based organization that has a documented history of effective work concerning children or youth exposed to domestic violence, dating violence, sexual assault, or stalking, including programs that provide culturally specific services, Head Start, childcare, faith-based organizations, after school programs, and health and mental health providers; or

(2) a State, territorial, or tribal, or local unit of government agency that is partnered with an organization described in paragraph (1).

Beneficiary Eligibility:

To be eligible to receive a grant under this section, an entity shall be a--

(1) a victim service provider, tribal nonprofit organization or community-based organization that has a documented history of effective work concerning children or youth exposed to domestic violence, dating violence, sexual assault, or stalking, including programs that provide culturally specific services, Head Start, childcare, faith-based organizations, after school programs, and health and mental health providers; or

(2) a State, territorial, or tribal, or local unit of government agency that is partnered with an organization described in paragraph (1).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

An entity shall--

(1) prepare and submit to the Director an application at such time, in such manner, and containing such information as the Director may require; and

(2) at a minimum, describe in the application the policies and procedures that the entity has or will adopt to--

(A) enhance or ensure the safety and security of children who have been or are being exposed to violence and their nonabusing parent, enhance or ensure the

safety and security of children and their nonabusing parent in homes already experiencing domestic violence, dating violence, sexual assault, or stalking; and

(B) ensure linguistically, culturally, and community relevant services for underserved communities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash, in-kind services, or a combination of both.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

OVW will make grants under this program for a period of two years. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OJP Financial Guide. No cash reports are required. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. No expenditure reports are required. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Josh Kamp 800 K Street, NW Suite 850, Washington, District of Columbia
20001 Email: joshua.kamp@usdoj.gov Phone: 2023532639

Website Address:

<http://www.ovw.usdoj.gov>

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.100 DESEGREGATION OF PUBLIC EDUCATION

Education Section

FEDERAL AGENCY:

Civil Rights Division, Department of Justice

AUTHORIZATION:

Civil Rights Act of 1964, as amended, Title IV, Public Law 88-352, 42 U.S.C. 2000(c), Title VI, 42 U.S.C. 2000(d), Title IX, 42 U.S.C. 2000(h-2); Equal Educational Opportunities Act of 1974, Public Law 93-380, 20 U.S.C. 1701, et seq.; Education Amendments of 1972, Title IX, Public Law 92-318, 20 U.S.C. 1681.

OBJECTIVES:

To secure equal educational opportunity for persons regardless of race, color, religion, sex, or national origin.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The Justice Department may go to court to obtain an order to desegregate a public school (elementary or secondary levels) or public college. The Attorney General may initiate legal proceedings to further orderly desegregation upon receiving a meritorious written complaint from (1) any parent or group of parents whose minor children are being deprived by a school board of equal protection of the laws; or (2) any individual or parent of an individual who has been denied admission to or not permitted to continue in attendance at a public college or university because of race, color, religion, sex or national origin. The Attorney General must certify that the suit will materially further the orderly process of desegregation. The Attorney General may also intervene in any case in which the plaintiff alleges a denial of equal protection of the law on the basis of race, color, religion, sex, or national origin. In addition, the Attorney General may litigate Title VI, Title IX (of the Education Amendments of 1972), Section 504 of the Rehabilitation Act of 1973 referrals received from the Department of Education, previously the Department of Health Education and Welfare, to vindicate the rights of individuals excluded from participation in, denied the benefits of, or subjected to discrimination under any program or activity receiving Federal financial assistance on account of their race, color, sex, national origin, or disability. The Attorney General may also go to court to ensure that school districts take appropriate steps to overcome language barriers that impede equal participation in the district's instructional program pursuant to the Equal Educational Opportunities Act.

Applicant Eligibility:

Parent or group of parents in the case of public schools. An individual or his/her parents in the case of a public college.

Beneficiary Eligibility:

Parent or group of parents in the case of public schools. An individual or his/her parents in the case of a public college.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries) FY 09 \$6,091,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See Fiscal Year 2010. Fiscal Year 2010: The Section is responsible for monitoring approximately 200 school districts currently covered by desegregation orders in cases in which the United States is a party. To ensure that districts comply with their obligations, the Section routinely reviews matters relating to student assignment, faculty assignment and hiring, transportation policies, extracurricular activities, the availability of equitable facilities, and the distribution of resources. The Section also routinely responds to requests by other parties to modify court orders to reflect current circumstances, and to requests by parties, as well as courts, regarding unitary status and the ultimate dismissal of the lawsuit. As a result of these activities, the Section obtained various types of relief including: improved facilities for minority students; the elimination of one-race classrooms and schools; the desegregation of faculty and recruitment of minority faculty and staff; more equitable transportation routes for minority students; the elimination of segregative transfers; and the elimination of race-based extracurricular activities. Also, where appropriate, the Section has agreed that school districts have eliminated the vestiges of the dual system to the extent practicable and thus the district has achieved unitary status. Where the districts are not in compliance with their obligations, EOS works with them to come into compliance, and when this is not successful, EOS seeks relief from the courts. To date, in FY 2010 we have obtained litigated relief in five cases, negotiated

five out-of-court settlements, seven consent decrees as well as twelve plan modifications. To ensure equal educational opportunities for English Language Learners (ELL), the Section, as part of a nationwide effort, opened ten investigations in FY 2010 and is actively pursuing ongoing investigations of both states and school districts. The purpose of the investigations is to ensure that ELL students are receiving proper services to enable them to overcome language barriers that impede equal participation in the school districts educational programs. In one ELL matter, the Section negotiated an out-of-court settlement agreement addressing, among other things, improving services in the area of instruction and special education; providing translation services for parents and guardians; providing training for administrators, faculty and staff; and providing appropriate materials for ELL classes. We also have notified several states and school districts that they are violating the Equal Educational Opportunities Act of 1974. Additionally, the Section is pursuing discrimination on the basis of sex. We opened six investigations, filed one lawsuit, filed one amicus brief, obtained litigated relief in one matter, negotiated one out-of-court settlement, and one consent decree. These cases have addressed a panoply of issues including harassment based on not conforming with gender stereotypes, sexual harassment of students with disabilities, and the failure of a university to meet its Title IX athletics obligations. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

28 CFR 0.50; Civil Rights Act of 1964; Summary of the Civil Rights Act of 1964.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice Rights Division, Educational Opportunities Litigation Section, Washington, District of Columbia 20530 Phone: (202) 514-4092. Contact: Office

Website Address:

<http://www.usdoj.gov/crt/edo/index.html>.

RELATED PROGRAMS:

16.200 Community Relations Service; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 84.004 Civil Rights Training and Advisory Services; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.101 EQUAL EMPLOYMENT OPPORTUNITY

Employment Section

FEDERAL AGENCY:

Civil Rights Division, Department of Justice

AUTHORIZATION:

Civil Rights Act of 1964, Title VII, 42 U.S.C. 2000(e), as amended; Executive Order 11246, September 24, 1965; Reorganization Plan No. 1, 1978. Uniformed Services Employment and Reemployment Rights Act of 1994.

OBJECTIVES:

To enforce Federal laws providing equal employment opportunities for all without regard to race, religion, national origin, and sex, and to defend the lawfulness and constitutionality of Federally authorized affirmative action programs.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Title VII of the 1964 Civil Rights Act, as amended, and other Federal Laws and regulations forbid discrimination in employment by private employers, labor organizations, employment agencies, State and local governments and public agencies. The Attorney General is authorized to sue to enjoin and remedy discrimination in employment by State and local government employers under Title VII. The Attorney General does not represent individuals in Title VII

suits, although the public interest is served by obtaining relief for them through pattern or practice or 706 referral lawsuits. The Section also enforces against State and local government employers and private employers the Uniformed Services Employment and Reemployment Rights Act of 1994 ("USERRA"), which prohibits employers from discriminating or retaliating against an employee or applicant for employment because of such person's past, current or future military obligation. The Attorney General represents individuals in USERRA suits brought against local government employers and private employers, but the Attorney General does not represent individuals in USERRA suits brought against State government employers. Upon referral from the Department of Labor, the Attorney General is authorized to sue to enforce the non-discrimination in employment provisions of Executive Order 11246, as amended, with respect to government contractors and subcontractors. In addition, the Civil Rights Division represents other Federal agencies in challenges to laws and orders that authorize affirmative action in employment, procurement and contracting on federally assisted projects.

Applicant Eligibility:

All persons.

Beneficiary Eligibility:

All persons.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries) FY 09 \$10,306,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, the Civil Rights Division's Employment Litigation Section filed eight Section 706 complaints, three Section 707 complaints, and twenty Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA) complaints. The Section also settled thirteen Section 706 cases, three Section 707 cases; three Section 706/707 cases; and twenty-seven USERRA cases. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Guidelines on Employee Selection Procedures (1978), 43 F.R. 38290.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Employment Litigation Section, Washington, District of Columbia 20530 Phone: (Voice) (202) 514-3831; 800-578-

Website Address:

<http://www.usdoj.gov/crt/emp/index.html>.

RELATED PROGRAMS:

16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.103 FAIR HOUSING AND EQUAL CREDIT OPPORTUNITY

Housing & Civil Enforcement Section

FEDERAL AGENCY:

Civil Rights Division, Department of Justice

AUTHORIZATION:

Civil Rights Act of 1964, as amended, Title II and Title III; Civil Rights Act of 1968, as amended, Title VIII, Public Law 90-284, Fair Housing Amendments Act of 1988, Public Law 100-430, 42 U.S.C. 3601; Equal Credit Opportunity Act of 1974, Public Law 93-495; Equal Credit Opportunity Act of 1976, Public Law 94-239; 15 U.S.C. 1691; Religious Land Use and Institutionalized Persons Act of 2000, Public Law 106-274, 42 U.S.C. 2000cc.; Servicemembers Civil Relief Act, 50 U.S.C App. 501.

OBJECTIVES:

The Fair Housing Act provides freedom from discrimination on the basis of race, color, religion, sex, national origin, handicap and familial status in connection with the sale, rental, and financing of housing and other related activities. The Equal Credit Opportunity Act (ECOA) prohibits discrimination in credit transactions on the basis of race, color, religion, national origin, sex, marital status, or age (provided the applicant has the capacity to contract), because all or a part of the applicant's income is derived from a public assistance program, or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. Title II of the 1964 Civil Rights Act prohibits discrimination on the basis of race, color, national origin and religion in places of public accommodation, which are defined to include hotels, motels, restaurants, gas stations, and places of entertainment. Title III prohibits the denial of equal protection rights in public facilities. Section 2 of the Religious Land Use and Institutionalized Persons Act (RLUIPA) prohibits local governments from significantly burdening the exercise of religion or discriminating against religious institutions in their land use and zoning decisions. The Servicemembers Civil Relief Act provides for the temporary suspension of judicial and administrative proceedings and civil protections in areas such as housing and credit for military personnel while they are on active duty.

TYPES OF ASSISTANCE:**PROVISION OF SPECIALIZED SERVICES****USES AND USE RESTRICTIONS:**

The Fair Housing Act, which is found in Title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, is designed to ensure freedom from discrimination in the sale, rental and financing of housing, and other related activities. The Act provides two major avenues of enforcement by the Department. First, the Attorney General has independent authority to bring civil actions in Federal courts whenever he/she has reasonable cause to believe that any person or group of persons is engaged in a pattern or practice of discrimination or when he/she has reasonable cause to believe any group has been denied such rights in a case of general public importance. Under its pattern or practice authority, the Department may seek appropriate injunctive relief, actual and punitive damages for any persons injured by the discrimination, and civil penalties of up to \$55,000 against a defendant for the first violation and up to \$110,000 for subsequent violations of the Act. Second, the Department also has authority to seek relief on behalf of individuals in certain circumstances, as follows. The Department of Housing and Urban Development (HUD) is required to investigate and conciliate any complaint of housing discrimination filed with that agency. If it cannot be conciliated, HUD is authorized to file an administrative charge if it determines there is reasonable cause to believe the Fair Housing Act has been violated. At that point, either party may elect to have such charge heard in Federal court, and if such election is made, the Department of Justice brings suit in Federal court on behalf of the complainant and may seek actual and punitive damages in such an action. Aside from enforcement by the Federal government, private suits alleging illegal discrimination may be filed in the appropriate Federal or State court within two years of alleged discrimination. The ECOA, as amended, is designed to prohibit certain types of discrimination in all aspects of credit transactions. Persons who believe that they are victims of such discrimination may file complaints with one of the appropriate Federal regulatory agencies or may bring the information to the attention of the Attorney General. The Department of Justice is authorized to institute litigation in Federal court when a matter is referred to the Attorney General by an agency responsible for administrative enforcement of the Act or when he/she has reasonable cause to believe that one or more creditors are engaged in a pattern or practice of discrimination in violation of the Act. The Act gives the United States authority to seek actual and punitive damages for any persons aggrieved by the discrimination. In addition, an aggrieved person may institute suit in a Federal court pursuant to the ECOA. Title II of the 1964 Civil Rights Act is designed to prohibit discrimination in certain kinds of public accommodations. It gives the Attorney General authority to bring a legal action when he/she determines that there is reasonable cause to believe that any person or group is engaged in a pattern or practice of discrimination which violates the provisions of Title II. Remedies available in such cases are limited to injunctive relief and the Department does not have authority to seek monetary relief. Private individuals also may bring legal action under Title II. In addition, there are other civil rights laws which give such individuals authority to take legal action against public accommodations not covered by Title II. Title III allows the Attorney General to file a civil action in federal district court after receiving a written complaint from an individual who alleges the denial of equal protection rights in a public facility, but is unable to initiate or maintain an independent action. 42 U.S.C. 2000b(a). The Religious Land Use and Institutionalized Persons Act passed in September, 2000 prohibits State and local governments from using their authority to burden the exercise of religion, exclude religious assemblies from their jurisdictions, or discriminate against religious institutions. It gives the Attorney General the authority to seek injunctive or declaratory relief for violations of the Act. Private individuals may also bring actions under the statute. The Servicemembers Civil Relief Act of 2003 provides for the temporary suspension of judicial and administrative proceedings and civil protections in areas such as housing and credit for military personnel while they are on active duty.

Applicant Eligibility:

All persons.

Beneficiary Eligibility:

All persons.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries) FY 09 \$15,022,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Housing and Civil Enforcement Section's enforcement efforts continue to achieve significant accomplishments. In fiscal year 2009, the Section filed a total of 24 pattern or practice complaints and successfully resolved 14 pattern or practice cases. The Section continues its consistent efforts to enforce all aspects of the Fair Housing Act, to combat lending discrimination, to ensure non-discrimination in public accommodations and religious land use, and to enforce the Servicemembers Civil Relief Act. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Housing & Civil Enforcement Section, Washington, District of Columbia 20530 Phone: Telephone: (202) 514-4713. Conta

Website Address:

<http://www.justice.gov/crt/housing/hcehome.php>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.104 PROTECTION OF VOTING RIGHTS

Voting Section

FEDERAL AGENCY:

Civil Rights Division, Department of Justice

AUTHORIZATION:

Voting Rights Act (VRA), Civil Rights Act, 42. U.S.C. 1971, 42 U.S.C. 1974; Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA), 42 U.S.C. 1973ff; Voting Accessibility for the Elderly and Handicapped Act (VAEHA), 42 U.S.C. 1973ee to 1973ee-6; National Voter Registration Act (NVRA), 42 U.S.C. 1973gg to 1973gg-10; Help America Vote Act (HAVA), 42 U.S.C. 15301 to 15545.

OBJECTIVES:

To provide protection of an individual's right to register and vote in all elections without discrimination based on race, color, membership in a language minority group. To assure the rights of persons with disabilities or persons who are unable to read or write to receive assistance in voting from a person of their choice. To assure access to voter registration and to polling places for the elderly and persons with disabilities. To assure the right to vote in federal elections to United States citizens in the uniformed services and residing overseas. To ensure that States in federal elections meet requirements for offering voter registration and maintaining voter registration lists, and meet minimum election administration and technology standards.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The VRA, prohibits State and local governments from imposing or applying any voting standard, qualification, prerequisite, or procedure in a manner that results in a denial or abridgment of the right of any U.S. citizen to vote on account of race or color or because an individual is a member of a language minority group. The VRA bans the use of literacy and similar tests as a prerequisite to voter registration or voting, authorizes voters in need of assistance in voting (because of illiteracy or disability) to receive help from the person of their choice (other than their employer or union representative), and lets registered voters vote for president even if they change their residence shortly before a presidential election. Certain specially covered States and political subdivisions are required to submit any voting changes either to the District Court for the District of Columbia for a declaratory judgment that the changes do not have a discriminatory purpose or effect, or, in the alternative, to the Attorney General, who has 60 days in which to object to the proposed changes. Changes to which the Attorney General has objected are legally unenforceable unless a subsequent declaratory judgment is issued by the District Court for the District of Columbia. The VRA requires certain counties (under formulas in the act) to provide voting and election information bilingually. The VRA authorizes the Attorney General to send Federal voting observers to certain designated counties to monitor election day polling place activities to prevent discrimination. Under VAEHA, the States must provide accessible registration and voting facilities for federal elections. Under UOCAVA, States must provide voter registration and absentee balloting in federal elections to United States citizens who are in the uniformed services and who are living overseas. Under the NVRA, States must offer voter registration for federal elections by mail and through offices that provide driver licenses, public assistance and services to persons with disabilities, and must maintain voter registration lists in accord with federal standards. Under HAVA, States must administer federal elections in accord with minimal standards for voting systems, provisional voting, voting information postings, identification for voters who register by mail, and computerized statewide voter registration databases.

Applicant Eligibility:

All U.S. citizens old enough to vote.

Beneficiary Eligibility:

All U.S. citizens old enough to vote.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the headquarters office listed below.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries) FY 09 \$15,944,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Civil Rights Division's Voting Section vigorously enforces federal laws that protect the right to vote. Primary enforcement efforts in FY 2009 were (1) litigation to enforce federal voting rights laws, including the VRA, UOCAVA, NVRA, and HAVA; (2) the administrative review of voting changes pursuant to Section 5 of the VRA; (3) monitoring of elections to ensure compliance with federal voting rights laws.

During the past few years, the division has continued its extensive election monitoring activities.

In FY 2009, the Voting Section coordinated and monitored elections in 77 jurisdictions located in 23 different states. Overall, the Department covered 87 elections with 246 Department personnel and the assignment of 800 federal observers from the Office of Personnel Management.

The VRA requires certain jurisdictions to provide bilingual voting materials and assistance to American Indians, Asian Americans, Alaskan Natives, and/or person of Spanish heritage.

In FY 2009, the Division filed a lawsuit and obtained a consent decree to protect Hispanic voters in Fort Bend, County, TX. The Division has obtained

extensions of previous consent decrees to protect voting rights of Native American voters in Sandoval County and Cibola County in NM. The Division participated as amicus in a lawsuit in Volusia County, FL to protect the voting rights of Puerto Rican voters. In FY 2009, the Division entered settlement agreements to protect Hispanic voters in Riverside County, CA, and Native American voters in Shannon County, SD.

Meanwhile, the Division continued to enforce the provisions of Section 2 two of the Voting Rights Act, which prohibits voting practices that are discriminatory in purpose or effect. In Section 2 filed lawsuits against the Town of Lake Park, FL, the Village of Port Chester, NY, and the City of Euclid School District, OH challenging the at-large methods of election in those jurisdictions, court orders or consent decrees were entered that provided for new methods of election.

During FY 2009, the Division received 4,109 submissions of voting changes for administrative review under the preclearance requirements of Section 5 of the VRA. The Division filed Section 5 enforcement actions against Waller County, TX and the City of Calera, AL. Also, the Division participated as amicus in a lawsuit involving the State of Georgia's voter identification procedures.

Under UOCAVA, lawsuits were filed to seek relief to allow uniformed and overseas voters sufficient time to vote. Lawsuits were successfully resolved against Tennessee, Alabama and New York in FY 2009 and Virginia in early FY 2010. In addition, the Division participated as amicus in a lawsuit in Illinois. The Division achieved compliance with UOCAVAs reporting requirements in court actions with Vermont and Alabama and through a settlement agreement with Massachusetts.

In FY 2009, under the NVRA, the Division reached a settlement agreement with Illinois to ensure compliance with Section 7 of the NVRA, which requires that offices that provide public assistance and services to persons with disabilities also offer voter registration opportunities. Also, the Division entered into an amended consent decree with Indiana under the list maintenance provisions of Section 8 of the NVRA. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

28 CFR 0.50; "Voting Rights Act of 1965, A Citizens Guide to Understanding the Voting Rights Act of 1965," (a copy of this pamphlet can be purchased from the Commission on Civil Rights-Clearinghouse Publication No. 84); 28 CFR 51; 45 CFR 801; 28 CFR 55.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Voting Section, Washington, District of Columbia 20530 Phone: Telephone: (202) 307-3143.

Website Address:

<http://www.usdoj.gov/crt/voting/index.htm>

RELATED PROGRAMS:

16.200 Community Relations Service; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.105 CIVIL RIGHTS OF INSTITUTIONALIZED PERSONS

(Equal Enjoyment of Rights in Public Facilities; Protection of Rights to Reproductive Health Services)

FEDERAL AGENCY:

Civil Rights Division, Department of Justice

AUTHORIZATION:

Civil Rights of Institutionalized Persons Act, Public Law 96-247, 42 U.S.C. 1997; Americans with Disabilities Act, 42 U.S.C. 12132-12134; 28 CFR 35.130(d); Religious Land Use and Institutionalized Persons Act of 2000, 42

U.S.C. 2000cc-1; Civil Rights Act of 1964, as amended, Title III; Freedom of Access to Clinic Entrances Act, 18 U.S.C 248; Violent Crime Control and Law Enforcement Act of 1994, Section 210401, 42 U.S.C. 14141; Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3789d.

OBJECTIVES:

To initiate actions for redress in cases involving deprivations of rights of institutionalized persons secured and protected by the Constitution or laws of the United States. To initiate actions for redress in cases involving denial of government services to individuals with disabilities in the most integrated setting appropriate to the needs of the individual. To prevent government imposition of a substantial burden on the religious exercise of a person residing in or confined to a publicly operated institution. To provide equal utilization of any public facility owned or operated by any State or subdivision thereof, without regard to race, religion, or national origin. To obtain civil relief for certain violent, threatening, obstructive, and destructive conduct intended to injure, intimidate, or interfere with persons seeking to obtain or provide reproductive health services, or interfere with the First Amendment right of religious freedom, or destroy the property of a place of religious worship. To seek relief to redress a pattern or practice of conduct by law enforcement officers that deprives citizens of the United States of their federal rights. To seek relief to redress a pattern or practice of discrimination based on race, color, national origin, gender or religion involving services by law enforcement agencies receiving federal financial assistance.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The Attorney General is authorized to initiate or intervene in actions for equitable relief on behalf of institutionalized persons residing in public institutions wherein a pattern or practice of egregious or flagrant conditions exists. Such institutions include: facilities for persons who are mentally ill or people with developmental disabilities; nursing homes; prisons; jails; and juvenile detention and correctional facilities. The Attorney General may bring suit for equitable relief on behalf of institutionalized persons with disabilities or persons with disabilities at risk of institutionalization to obtain provision of government services to such individuals in the most integrated setting appropriate to their needs. The Attorney General may bring suit to protect the rights of persons in publicly operated institutions to be allowed to exercise their religion without substantial burdens being imposed by the public entity operating the institution. The Attorney General may also bring suit and request injunctive relief prohibiting discrimination on the basis of race, color, religion, and national origin in the operation of public facilities when he/she has reasonable cause to believe that a State or political subdivision is engaged in such discrimination. The Attorney General is authorized to investigate and, where appropriate, initiate actions for relief from certain violent, threatening, obstructive, and destructive actions intended to injure, intimidate, or interfere with persons seeking reproductive health services, or deny religious freedom, or destruction of property at places of religious worship. The Attorney General is authorized to investigate patterns or practices of conduct by law enforcement officials who deprive U.S. citizens of their constitutional rights, and may initiate civil actions for relief from such constitutional deprivations. Finally, the Attorney General is authorized to investigate and where appropriate, seek injunctive relief against any local criminal justice agency that is the recipient of federal funds and that discriminates on the basis of race, color, sex, or natural origin.

Applicant Eligibility:

Any person notifying the Attorney General of a pattern on violations of Federal rights of persons confined in a public institution in any State, territory, or Commonwealth of the United States. Any person notifying the Attorney General of a denial of government services to individuals with disabilities in the most integrated setting appropriate to their needs. Any person notifying the Attorney General of violations of the Freedom of Access to Clinic Entrances Act (FACE). Any person notifying the Attorney General of pattern or practice conduct by law enforcement officers which deprive citizens of the U.S. of their federal rights under Section 14141 or that results in discrimination based on race, color, national origin, gender or religion involving services by law enforcement agencies receiving federal financial assistance, under the Omnibus Crime Control and Safe Streets Act of 1968.

Beneficiary Eligibility:

Institutionalized persons confined in public facilities such as prisons, jails, mental health, and facilities for people with developmental disabilities, nursing homes, and juvenile correctional facilities where there is a pattern of unlawful conditions. Persons institutionalized, or at risk of institutionalization, in order to receive government services, where such institutionalization is not the most integrated setting appropriate to their needs. Any persons in a publicly operated institution not allowed to exercise their religion because of substantial burdens imposed by the public entity operating the institution. Any persons who have been threatened, denied access to or the ability to provide clinic reproductive health services, or have been denied religious freedom, or suffered the destruction of property at a place of religious worship. Any persons who, as a part of a pattern or practice of conduct by law enforcement officers, have been deprived of their federal rights.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the Department of Justice, Civil Rights Divisions, Special Litigation Section, Washington, DC 20530 or any United States Attorney's Office.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries) FY 09 \$13,426,000; FY 10 est \$13,694,520; FY 11 est \$13,968,410

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Civil Rights of Institutionalized Persons Act (CRIPA) covers: public institutions; including prisons; jails; juvenile justice facilities; facilities for persons with developmental disabilities; psychiatric hospitals; and nursing homes. From May 1980, when CRIPA was enacted, through May 2010,

the Division investigated conditions in over 500 nursing homes, mental health facilities, centers for persons with developmental disabilities, residential schools for children with disabilities, jails, prisons, and juvenile justice facilities. At the end of FY 2009, DOJ was active in CRIPA matters and cases involving 217 facilities in 33 states, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the Territories of Guam and the Virgin Islands. As part of DOJ's Institutional Health Care Abuse and Neglect Initiative, and as an enhancement to ongoing CRIPA enforcement efforts, the Special Litigation Section staff conducted preliminary reviews of conditions and services at 20 health care facilities in 14 states during Fiscal Year 2009. The task in preliminary inquiries is to determine whether there is sufficient information supporting allegations of unlawful conditions to warrant formal investigation under CRIPA. The Section reviews information pertaining to areas such as abuse and neglect, medical and mental health care, use of restraints, fire and environmental safety, and placement in the most integrated setting appropriate to individual needs. Separately, in Fiscal Year 2009, the Section opened or continued formal investigations, entered remedial agreements, or monitored existing remedial agreements regarding 80 health care facilities in 22 states, the District of Columbia, the Territory of Guam, and the Commonwealth of Puerto Rico.

In Fiscal Year 2009, the Section commenced investigations of one county-operated nursing home, Maple Lawn Nursing Home in Palmyra, Missouri.

The Section found that conditions and practices at three state facilities for persons with mental illness, twelve state facilities for persons with intellectual and developmental disabilities, and one nursing home violate the residents' Federal constitutional and statutory rights. Those facilities are: Northwest Georgia Regional Hospital, in Rome, Georgia; Kings County Hospital Center, in Brooklyn, New York; Ancora Psychiatric Hospital, in Winslow, New Jersey; Denton State Supported Living Center, in Denton, Texas; Abilene State Supported Living Center, in Abilene, Texas; Austin State Supported Living Center in Austin, Texas; Brenham State Supported Living Center, in Brenham, Texas; Corpus Christi State Supported Living Center, in Corpus Christi, Texas; El Paso State Center, in El Paso, Texas; Lufkin State Supported Living Center, in Lufkin, Texas; Mexia State Supported Living Center, in Mexia, Texas; Richmond State Supported Living Center, in Richmond, Texas; Rio Grande State Center, in Harlingen, Texas; San Angelo State Supported Living Center, in Carlsbad, Texas; San Antonio State Supported Living Center, in San Antonio, Texas; and William F. Green State Veterans Home, in Bay Minette, Alabama.

The Section entered settlement agreements to resolve its investigations of eight state operated facilities for persons with mental illness, thirteen state-operated facilities for persons with intellectual and developmental disabilities, and three state-operated nursing homes. Those facilities are: Georgia Regional Hospital in Atlanta, Georgia; Georgia Regional Hospital, in Savannah, Georgia; Northwest Georgia Regional Hospital, in Rome, Georgia; Central State Hospital, in Milledgeville, Georgia; Southwest State Hospital, in Thomasville, Georgia; West Central Georgia Hospital, in Columbus, Georgia; East Central Georgia Regional Hospital, in Augusta, Georgia; Connecticut Valley Hospital, in Middletown, Connecticut; Lubbock State Supported Living Center, in Lubbock, Texas; Denton State Supported Living Center, in Denton, Texas; Abilene State Supported Living Center, in Abilene, Texas; Austin State Supported Living Center in Austin, Texas; Brenham State Supported Living Center, in Brenham, Texas; Corpus Christi State Supported Living Center, in Corpus Christi, Texas; El Paso State Center, in El Paso, Texas; Lufkin State Supported Living Center, in Lufkin, Texas; Mexia State Supported Living Center, in Mexia, Texas; Richmond State Supported Living Center, in Richmond, Texas; Rio Grande State Center, in Harlingen, Texas; San Angelo State Supported Living Center, in Carlsbad, Texas; San Antonio State Supported Living Center, in San Antonio, Texas; C.M. Tucker Nursing Care Center, in Columbia, South Carolina; and Tennessee State Veterans Homes in Murfreesboro and Humboldt, Tennessee.

In addition, the Section filed a contested complaint in *United States v. Arkansas* (E.D. Ark.) regarding conditions at Conway Human Development Center, in Conway, Arkansas.

The Section continued its investigations of nine residential facilities for persons with intellectual and developmental disabilities: Agnews Developmental Center, in San Jose, California; Sonoma Developmental Center, in Eldridge, California; Lanterman Developmental Center, in Pomona, California; Rainier

Residential Rehabilitation Center, in Buckley, Washington; Frances Haddon Morgan Center, in Bremerton, Washington; Bellefontaine Developmental Center, in St. Louis, Missouri; Northwest Habilitation Center, in St. Louis, Missouri; Clyde L. Choate Developmental Center, in Anna, Illinois; and Howe Developmental Center, in Tinley Park, Illinois. The Division also continued its investigation of Oregon State Hospital, in Salem, Oregon, a facility for persons with mental illness. In some of these matters, the Section is reviewing voluntary compliance to improve conditions.

The Section monitored the implementation of remedial agreements for 12 facilities for persons with intellectual and developmental disabilities: Clover Bottom Developmental Center, in Nashville, Tennessee; Greene Valley Developmental Center, in Greeneville, Tennessee; Harold Jordan Center, in Nashville, Tennessee; Arlington Developmental Center, in Arlington, Tennessee; New Lisbon Developmental Center, in New Lisbon, New Jersey; Southbury Training School, in Southbury, Connecticut; Woodward Resource Center, in Woodward, Iowa; Glenwood Resource Center, in Glenwood, Iowa; Woodbridge Developmental Center in Woodbridge, New Jersey; Oakwood Community Center in Somerset, Kentucky; and Beatrice State Developmental Center, in Beatrice, Nebraska. It also monitored the implementation of remedial agreements regarding community placements from facilities for persons with intellectual and developmental disabilities in Indiana, Puerto Rico, and Washington, D.C.

The Section monitored the implementation of remedial agreements for four nursing homes: Reginald P. White Nursing Facility, in Meridian, Mississippi; Mercer County Geriatric Center, in Trenton, New Jersey; Ft. Bayard Medical Center and Nursing Home, in Ft. Bayard, New Mexico; and Laguna Honda Hospital and Rehabilitation Center, in San Francisco, California. The Section also monitored the implementation of remedial agreements regarding 11 state-operated residential facilities for persons with mental illness: Guam Mental Health Unit in the Territory of Guam; Vermont State Hospital, in Waterbury, Vermont; Dorothea Dix Hospital, in Raleigh, North Carolina; Broughton Hospital, in Morganton, North Carolina; Cherry Hospital, in Goldsboro, North Carolina; John Umstead Hospital, in Butler, North Carolina; Metropolitan State Hospital, in Norwalk, California; Napa State Hospital in Napa, California; Atascadero State Hospital, in Atascadero, California; Patton State Hospital, in Patton, California; and, St. Elizabeths Hospital, Washington, D.C.

In FY 2009-2010, SPL has vigorously enforced the ADA right to community integration by initiating investigations, issuing violation findings, filing lawsuits and motions for preliminary injunctions under both the ADA and CRIPA, and entered into settlements and monitored implementation of ongoing settlements with community integration requirements. These actions promote the ADA community integration rights of the more than 15,000 persons with disabilities currently institutionalized in 50 facilities throughout the country that are covered by SPL activities and thousands of additional individuals with disabilities at risk of institutionalization, as well as those who now live in the community as a result of SPLs involvement. In FY 2009-2010, regarding the ADA, SPL opened four investigations involving ten facilities, issued four findings letters involving eight facilities, filed five complaints involving twenty-seven facilities, entered three settlement agreements involving fifteen facilities, filed two preliminary injunctions involving eight facilities and one temporary restraining order involving one facility, filed one statement of interest and one amicus brief, monitored settlements involving thirty facilities in ten states, and two settlements involving community-based systems of care in the District of Columbia and the Commonwealth of Puerto Rico.

Regarding our police misconduct statutory authority, SPL continues to pursue all allegations of constitutional violations we receive to determine if a pattern or practice investigation is warranted. In FY 2009-10, CRT opened nine new police misconduct investigations and obtained a comprehensive consent decree with the Virgin Islands Police Department which will address constitutional deficiencies uncovered during CRTs investigation of that agency. During FY 2010, CRT continued to focus its resources on vigorously monitoring the enforcement of its existing settlement agreements to ensure timely compliance with the terms of those agreements.

Additionally, SPL anticipates continuing in FY 2011 to work cooperatively with police departments to implement widespread reforms, including training, supervising, and disciplining officers and implementing systems to receive, investigate, and respond to civilian complaints of misconduct. In FY 2010,

SPL anticipates its renewed enforcement efforts regarding RLUIPA and the Freedom of Access to Clinic Entrances Act of 1994 (the FACE or Access Act) will continue to expand. For example, in 2009-10, the Section opened three RLUIPA investigations, launched several preliminary inquiries to determine if investigations are merited and entered into one settlement agreement.

Regarding the Freedom of Access to Clinic Entrances Act of 1994 (Access Act), the Section has focused investigative efforts on addressing allegations regarding significant physical obstruction at reproductive health facilities involving multiple and national defendants, as well as serious threats of force where injunctive relief would be an appropriate remedy. From FY 2009 to present, the Section launched 26 preliminary inquiries, 14 of which turned into active investigations, and filed two complaints.

In FY 2010, SPL received additional funding to enable the Division to fulfill the law enforcement mandates described above. The resources have been used to fund a combination of consultants in the areas of community placement, protection from harm, general medical (including mental health) care, fire safety, police operations, education specialists, and correctional operations, among other areas. Most of these cases involve issues of fundamental life safety, and basic remedial relief is being sought. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

28 C.F.R. 0.50, Summary of the Civil Rights Act of 1964, 45 C.F.R. Part 84.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division, Special Litigation Section, , Washington, District of Columbia 20530 Phone: Telephone: (202) 514-6255. Conta

Website Address:

<http://www.usdoj.gov/crt/split/index.html>.

RELATED PROGRAMS:

29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 93.613 President's Committee for People with Intellectual Disabilities (PCPID)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.109 CIVIL RIGHTS PROSECUTION

Criminal Section

FEDERAL AGENCY:

Civil Rights Division, Department of Justice

AUTHORIZATION:

18 U.S.C. 241, 242, 245, 247, 248, 1581, 1583, 1584, 1589, 1590, 1592; 1594; 42 U.S.C. 3631.

OBJECTIVES:

To reduce police and other official criminal misconduct, to reduce violent activity by private citizens (including organized hate groups) against others because of their race, religion, national origin, or sex, which interferes with the Federal and constitutional rights of individuals, (including church arson); and to curtail acts of modern-day slavery and violent interference with access to reproductive health care.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Section prosecutes cases of national significance involving the deprivation of personal liberties which either cannot be, or are not, sufficiently addressed by State or local authorities. Its jurisdiction includes acts of racial violence, misconduct by local, State, or Federal law enforcement officials, violations of the peonage and involuntary servitude statutes that protect migrant workers and others held in bondage and violations of the Freedom of Access to Clinic Entrances Act. The Section ensures that complaints are reviewed on a timely basis for investigation and potential prosecution.

Applicant Eligibility:

All persons regardless of citizenship or immigration status.

Beneficiary Eligibility:

All persons regardless of citizenship or immigration status.

Credentials/Documentation:

Individuals alleging deprivation of their civil rights should provide information describing the sequence of events (including the type of force or coercion involved), the date of the incident, the names of identifiable perpetrators, involved law enforcement officers and witnesses, a description of any physical injuries and medical treatment, if needed, and the nature of work being forced (if applicable). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the Criminal Section, Civil Rights Division directly, the local office of the Federal Bureau of Investigation, the local U.S. Attorney's Office, or the national toll-free complaint line for worker exploitation (1-888-428-7581).

Award Procedure:

Complaints alleging deprivation of Civil Rights are reviewed and, in cases that are investigated by the FBI or other federal investigative agencies, the individual is notified in writing of the outcome of that review, whether it be to decline investigation or prosecution or to seek an indictment.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Initial complaints that are reviewed and deemed inappropriate for investigation are generally reviewed within three months of their being received. Investigated complaints in which prosecution is sought are usually resolved within a year of the indictment.

Appeals:

If new information, not considered by the Section in its original review of complaints for investigation and prosecution, is made available within the applicable statute of limitations, the Section will review the complaint again in light of that new information.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

15-0128-0-1-752.

Obligations:

(Salaries) FY 09 \$13,953,000; FY 10 est \$18,501,000; FY 11 est \$18,871,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During fiscal year 2009, the Criminal Section filed a total of 112 cases charging 220 defendants in cases involving official misconduct, racial violence/hate crimes, human trafficking, church burnings, and the Freedom of Access to Clinic Entrances Act (FACE). A total of 141 defendants, including some defendants charged in prior years, were successfully prosecuted. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S. Attorneys' Manual, Title 8, Chapter 3 Sentencing Guidelines; Attorney General Guidelines for Victim and Witness Assistance.

Regional or Local Office:

See Regional Agency Offices. Local U.S. Attorney's Office and Federal Bureau of Investigation.

Headquarters Office:

Department of Justice, Civil Rights Division, Criminal Section, Washington, District of Columbia 20530 Phone: (202) 514-3204. Contact: Office

Website Address:

<http://www.usdoj.gov/crt/crim/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See Program Accomplishments. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each statute has elements of the offense that must be met in order for there to be Federal jurisdiction. Furthermore, there must be evidence to prove beyond a reasonable doubt that a violation occurred. Official misconduct cases: (1) the defendant must have been acting under color of the law, that is, while using or misusing power possessed by reason of the law (Private citizens jointly engaged with State officials, who are themselves acting under color of the law, in prohibited activity, are acting under color of law for purposes of Section 242.); (2) the conduct of the defendant must have deprived the victim of some right secured or protected by the Constitution or laws of the United States; and (3) there must have been an intent on the part of the defendant willfully to subject the victim to the deprivation of the right described above. Racial violence cases: (1) the defendant must have acted with force or the threat of force; (2) the defendant must have injured, intimidated, or interfered with or attempted to injure, intimidate or interfere with the victim; (3) the defendant must have acted because of the victim's race, color, religion or national origin and because the victim was participating or engaged in a federally protected activity (as enumerated in 18 U.S.C. 245 (b)(2)(A) through (F)) and 42 U.S.C. 3631; and (4) finally, the defendant must have acted willfully. The Matthew Shepard and James Byrd, Jr., Hate Crimes Prevention Act of 2009: 18 U.S.C. 249. Section 249 of Title 18 was enacted as Division E of the National Defense Authorization Act for Fiscal Year 2010 (starts at pg. 646 of the Act). Section 249, which went into effect in October 2009, created a new federal criminal prohibition against willfully causing bodily injury (or attempting to do so using fire, a firearm, or another dangerous weapon), when (1) the crime was committed because of the actual or perceived race, color, religion, national origin of any person, or (2) the crime was committed because of the actual or perceived religion, national origin, gender, sexual orientation, gender identity, or disability of any person and the crime affected interstate or foreign commerce or occurred within federal special maritime and territorial jurisdiction. The law also provides federal funding and technical assistance to state, local, and tribal jurisdictions to help them to more effectively investigate and prosecute hate crimes. Human trafficking/ involuntary servitude cases: (1) a person must be made to work against his will by the defendant; (2) the period of involuntary servitude must be for a "term"; (3) the defendant must have caused the involuntary servitude by his acts; and (4) the defendant must have intended to cause involuntary service by his acts. Forced labor: (1) defendant did, or attempted to, provide or obtain the labor or services of a person, (2) defendants used either threats of serious harm to, or physical restraint against, that person or another person; used a scheme, plan, or pattern intended to cause the person to believe that non-performance would result in serious harm to, or

physical restraint against, that person or another person; or abused or threatened abuse of the law or legal process, and (3) defendant acted knowingly. Reproductive health clinic access cases: (1) facility providing reproductive health services; (2) defendant used force, threat of force, or physical obstruction; (3) defendant intended or attempted to injure, intimidate, or interfere with; and (4) someone providing or obtaining reproductive health services.

16.110 EDUCATION AND ENFORCEMENT OF THE ANTIDISCRIMINATION PROVISION OF THE IMMIGRATION AND NATIONALITY ACT

Office of Special Counsel

FEDERAL AGENCY:

Civil Rights Division, Department of Justice

AUTHORIZATION:

Immigration and Nationality Act, 8 U.S.C. Section 1324b.

OBJECTIVES:

To educate employers and workers about their rights and responsibilities under the Immigration and Nationality Act (INA), which prohibits employment discrimination based on citizenship status and national origin, and unfair documentary practices with respect to verification of employment eligibility.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS; PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The anti-discrimination provisions of the INA prohibit employment discrimination based upon national origin and citizenship status against U.S. citizens and other legally authorized workers with respect to hiring, firing, and recruitment or referral for a fee, and unfair documentary practices during verification of employment eligibility. The INA also prohibits retaliation for the purpose of interfering with any right or privilege secured by the anti-discrimination provisions, or because an individual has participated in a proceeding to enforce those provisions. The Office of Special Counsel for Immigration-Related Unfair Employment Practices (OSC) was created by Congress to enforce these provisions, and to educate the public about its responsibilities and rights under the law. The goal of OSC's grant program is to educate workers and employers about the anti-discrimination provisions of the INA in order to reduce incidents of employment discrimination. Grants are open to all applicants including labor and immigrant organizations, small and large businesses and associations, employer groups and associations, public service or community-based organizations, faith-based organizations and state and local government agencies. The INA's prohibition against citizenship status discrimination applies to employers with more than three employees, and covers: (1) U.S. citizens and nationals; (2) lawful permanent residents; (3) temporary residents under the Immigration Reform and Control Act of 1986, including Special Agricultural Worker program (SAW) and Replenishment Agricultural Worker program (RAW); (4) refugees and (5) asylees. The INA's prohibition against national origin discrimination applies to employers with four to 14 employees and covers U.S. citizens, and all individuals with work authorization. Employers with 15 or more employees are subject to Title VII of the Civil Rights Act of 1964, 42 U.S.C. 2000e, et seq., which also prohibits discrimination on the basis of national origin, and is enforced by the U.S. Equal Employment Opportunity Commission (EEOC). Charges incorrectly filed with OSC are automatically referred to the EEOC and vice versa. The INA's prohibition against unfair documentary practices applies to employers with more than three employees, and protects U.S. citizens and all individuals with work authorization.

Applicant Eligibility:

The OSC welcomes grant proposals from organizations such as local, regional, and national ethnic and immigrant rights advocacy organizations, labor organizations, trade associations, industry groups, professional organizations, state and local government agencies, employer organizations and associations, and others, including for-profit entities, that provide information services to potential victims of discrimination and/or employers.

Beneficiary Eligibility:

The educational efforts under the grant should be cost effective and directed to (1) work-authorized non-citizens (since this group is especially vulnerable to employment discrimination); (2) citizens who are most likely to become victims of prohibited employment discrimination under the INA; and/or (3) employers, especially small businesses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The applicant must submit the following forms electronically through www.grants.gov: Application for Federal Assistance (SF 424); Disclosure Form to Report Lobbying (SF LLL), and Assurances (DOJ/OJP Form 4000/3). In addition, the application package must include an abstract, a program narrative not to exceed 15 pages, a proposed budget, and resumes of professional staff proposed in the budget.

Award Procedure:

Federal officials will review and rate grant applications according to standards published in the announcement of availability of funds posted on www.grants.gov. Final award decisions are made by the Special Counsel for Immigration-Related Unfair Employment Practices, or in the absence of the Special Counsel, by the Assistant Attorney General for Civil Rights.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

4 to 5 months.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

12 months. Funds are released quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), ("Audits of States, Local Governments, and Nonprofit Organizations,") nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

Any documents related to the items of expenses under the grant.

Account Identification:

15-0128-0-1-752.

Obligations:

(Project Grants (Special)) FY 09 \$722,604; FY 10 est not reported.; FY 11 est not reported. - Salaries & Expenses FY 09 \$4,832,000.00.

Range and Average of Financial Assistance:

For grants approved in FY 09, the range was \$48,141 to \$87,203, average \$60,217 (\$725,000/11). For FY 10 the grant program announced range is \$35,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the Office of Special Counsel continued to conduct outreach activities, including providing grant funds to community-based and nonprofit organizations and government entities to educate employers, employees, immigrant service providers and the general public about employer and immigrant rights and responsibilities under the anti-discrimination provision. OSC staff members made numerous educational presentations across the country, which included presentations sponsored by the U.S. Equal Employment Opportunity Commission, OSC grantees, state and local fair employment practices agencies, unions, and employer and worker organizations. Directly and through its grantees, OSC participated in 701 outreach presentations during the fiscal year. In addition, the OSC maintained its 50 memoranda of understanding (MOUs) with state and local jurisdictions to promote the protection of immigrant worker rights. During the fiscal year, OSC initiated 259 charges of discrimination and independent investigations, ultimately resolving 46 matters through dismissal, settlement or letters of resolution. In addition, OSC received over 20,172 calls on its worker and employer hotlines, and was able on many occasions to save the jobs of authorized workers or assist employers in modifying their employment eligibility verification procedures to comply with the anti-discrimination provision of the Immigration and Nationality Act. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

8 U.S.C. 1324b.

Regional or Local Office:

None.

Headquarters Office:

Department of Justice, Civil Rights Division-NYA, Office of Special Counsel for Immigration-Related Unfair Employment Practices, 950 Pennsylvania Ave., N.W., , Washington, District of Columbia 20530 Phone: Telephone: (voice) (202) 616-559

Website Address:

<http://www.usdoj.gov/crt/osc/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Colorado Legal Services of Denver, CO; Legal Aid Foundation of Los Angeles, Los Angeles, CA; Catholic Charities of Dallas, Dallas, TX; National Immigration Law Center (NILC) of Los Angeles, CA; Asian Pacific American Legal Center of Southern California, Los Angeles, CA; Catholic Charities of the Diocese St Petersburg, St. Petersburg, FL; New York City Human Rights Commission, New York, NY; Arizona Attorney Generals Office, Phoenix, AZ; National Farm Worker Service Center, Inc., Los Angeles, CA; Texas RioGrande Legal Aid, Inc., Weslaco, TX; University of Iowa, Iowa City, IA; and the Washington Farm Labor Association, Lacey, WA. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The standards for making grant awards are published in the solicitation posted on www.grants.gov (see Award Procedure). Included among them are: 1) sound program design and cost-effective strategies for educating the intended population, including evidence of in-depth knowledge of the goals and objectives of the project, and the applicant's qualifications to reach effectively the intended audience(s); 2) capability of the applicant to define the intended audience, reach it, and implement the public education and evaluation components of the campaign; 3) staff capability and previous experience in

having successfully carried out programs or work of a similar nature.

16.123 COMMUNITY-BASED VIOLENCE PREVENTION PROGRAM

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

Public Law 111-117.

OBJECTIVES:

To support and enhance the coordination of existing community-based violence prevention and intervention programs and strategies that are attempting to replicate models and strategies which have been proven to have a positive impact on the reduction of violence in target communities. The Community-Based Violence Prevention Program has three main goals: to change community norms regarding violence; to provide alternatives to violence when gangs and individuals in the community are making risky behavior decisions; and, to increase the perceived risks and costs of involvement in violence among high-risk young people. This Program will work with community-based organizations to develop and implement strategies to reduce and prevent violence, particularly shootings and killings. This will be accomplished by relying on outreach workers, clergy, and other community leaders to intervene in conflicts or potential conflicts and promote alternatives to violence. The Community-Based Violence Prevention Program will also involve law enforcement in its efforts and depends heavily on a strong public education campaign to instill in people the message that shootings and violence are not acceptable. Finally, the model calls for the strengthening of communities so they have the capacity to exercise informal social controls and to respond to issues that affect them, including community violence. These activities are organized into five core components that address both the community and those individuals who are most at risk of involvement in a shooting or killing: community mobilization, outreach, faith leader involvement, police participation and public education.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Community-based Violence Prevention Program.

Applicant Eligibility:

Please contact program office for additional information.

Beneficiary Eligibility:

Please contact program office for additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System or through grants.gov following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for construction proposed under this program.

Award Procedure:

Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned

to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

See 28 CFR Part 18.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a 36 to 48 month project period. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Awardees are required to report progress on a semi-annual basis. Awardees are required by the OJP Financial Guide to report financial data on a quarterly basis. Additional performance measures reporting may be required throughout the grant period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$9,959,760; FY 11 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that a total of five awards will be granted in fiscal year 2010 (four awards will be made under the Community-Based Violence Prevention Program solicitation and one award will be made under the National Evaluation of the Community-Based Violence Prevention Program solicitation). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Community-Based Violence Prevention Program solicitation, the National Evaluation of the Community-Based Violence Prevention Program, the Office of Justice Programs Financial Guide, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 CFR).

Regional or Local Office:

None.

Headquarters Office:

Ron C. Laney, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, 810 7th Street, NW, Washington, District of

Columbia 20531 Email: Ronald.Laney@usdoj.gov Phone: (202) 616-3637 Fax: (202) 353-9093.

Website Address:

<http://ojdp.ncjrs.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.203 COMPREHENSIVE APPROACHES TO SEX OFFENDER MANAGEMENT DISCRETIONARY GRANT (CASOM)

(CASOM)

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

Violent Crime Control and Law Enforcement Act of 1994; Public Law 102-322, Section 40152, codified at 42 U.S.C. Section 13941, the Comprehensive Approaches to Sex Offender Management (CASOM) Discretionary Grant.

OBJECTIVES:

To assist state, local and tribal jurisdictions in improving their adult and/or juvenile sex offender management policies and practices by critically examining existing approaches to monitoring and managing the population; identifying significant gaps and needs in the monitoring and management of sex offenders and developing strategies, and training and technical assistance to address these identified needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

The Sex Offender Management Discretionary Grant funds may be used to provide training and technical assistance to jurisdictions with reference to sex offender management and accountability and to support local demonstration projects in the areas of case management, supervision and relapse prevention. Training and technical assistance should be targeted to probation and parole officers and other personnel who manage non-incarcerated sex offenders or who are responsible for sex offender registration compliance.

Applicant Eligibility:

Non-profit and for-profit organizations (including tribal organizations), institutions of higher education (including tribal institutions of higher education), States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, the Northern Mariana Islands, local, and tribal communities who are interested in addressing the management of juvenile, adult, or a mixed population of sex offenders are eligible to apply for this grant program. Applicants must coordinate their proposal with others in their jurisdiction to ensure a collaborative response to this solicitation as well as to ensure that agencies within a single jurisdiction are not competing against one another in the grant process.

Beneficiary Eligibility:

State, local and tribal agencies (e.g. parole, probation, pretrial services, etc.) responsible for sex offender management, accountability, registration and supervision to implement programs to enhance strategies for managing sex offender in the community. Non-profit or for-profit organizations, institutions of higher learning and tribal entities with demonstrated experience in sex offender management and accountability issues and delivery of training and technical assistance to implement national training and technical assistance program on sex offender management, accountability, registration and supervision.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to

submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applications must be submitted electronically at: <http://www.grants.gov> or via GMS. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Grantees are notified by e-mail 7 to 10 days after Congress has been notified. Grantees must print award, have signed by the authorized official and returned to the Office of Justice Programs by facsimile or mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 120 days of receipt of a completed application.

Appeals:

Discretionary grant applicants will be notified in writing of reasons for disapproval of their application.

Renewals:

Grants can be extended by submitting a written justification for an extension to the SMART Office for review. Extensions are subject to approval by the SMART Office and congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus one additional year. See the following for information on how assistance is awarded/released: Please contact the program office for further information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are required. Quarterly financial reports are required. Performance measures, as stipulated in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$3,168,530; FY 10 est \$3,500,000; FY 11 est not

reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 16 awards were made for the purpose of providing training to state, local and tribal communities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Jacqueline O'Reilly, Office of Sex Offender Sentencing, Monitoring, Apprehending, Registering and Tracking, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: (202) 514-5024.

Website Address:

<http://www.ojp.usdoj.gov/smart> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A review panel convened by the SMART Office will evaluate all applications on the basis of the following criteria: (1) The application clearly exhibits a commitment to collaboration, including meaningful collaboration across public sector agencies and with nonprofit, non-governmental victim services organizations; (2) the application identifies a problem that is consistent with the purposes of this initiative; (3) The application describes a project that effectively addresses the problem statement; (4) The implementation strategy, time line, and capability of the organization and staff are sound; (5) The application articulates clearly the jurisdiction's goals, outcomes, and objectives and describes the accountability system and performance measures to determine progress towards achieving them; (6) The project demonstrates meaningful attention to the safety of victims of sex offenses and holds offenders accountable for their criminal behavior by enhancing capacity through training and technical assistance; (7) The application identifies specific individuals, or a process to identify individuals, to serve as the Grant Manager, Grant Coordinator, and Research Coordinator for the effort, and demonstrates the skills, roles, and involvement of these individuals; and (8) The budget is reasonable as it relates to project activities.

16.320 SERVICES FOR TRAFFICKING VICTIMS

FEDERAL AGENCY:

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

22 USC 7105(b)(2)(A).

OBJECTIVES:

To provide assistance to victims of severe forms of trafficking (i.e., sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or the recruitment, harboring, transportation, provision or obtaining of a person for labor or services, through the use of force, fraud, or coercion for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery) without regard to the immigration status of the victim. A set aside of the total appropriation for the following purposes is as follows: three percent for research, evaluation, and statistics; five percent for training and technical assistance; and one percent for management and administration of grant funds.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding under this program is intended to address the needs of victims of severe forms of human trafficking as defined by the Trafficking Victims Protection Act (TVPA) of 2000. This includes male and female victims of sex and labor trafficking. The Office for Victims of Crime (OVC) awarded its first cooperative agreements to victim service organizations in FY03 to provide a comprehensive array of direct services to pre-certified foreign national victims. Pre-certification refers to the period of time between when trafficking victims are initially identified by law enforcement and officially certified by the Federal government as such. Since FY03, OVC has funded 46 victim service agencies to provide a wide range of services to pre-certified victims. Since FY 04, OVC has partnered with its sister agency, the Bureau of Justice Assistance (BJA) to support a strategy for funding anti-human trafficking task forces in cities throughout the country. This task force strategy focuses on enhancing law enforcement's ability to identify and rescue victims of human trafficking; providing law enforcement with the resources and training to identify and rescue victims of trafficking; and ensuring that comprehensive services are available wherever trafficking victims are found. Since FY04, BJA has provided funding to 42 local and state law enforcement anti-human trafficking task forces across the country. OVC's Services for Victims of Human Trafficking awards support the creation and/or enhancement of collaborative networks that provide critical services for trafficking victims within a given community or region. These awards are intended to build community-based networks of comprehensive, integrated, and culturally appropriate services for trafficking victims. Applicants must demonstrate the capacity to quickly mobilize resources to accommodate the needs of potentially large numbers of victims and the ability to coordinate with other trafficking programs and other service providers. In FY 10, OVC plans to provide continuation funding to support ongoing services to victims within existing task forces. In order to receive Department of Justice funding support and the assistance of the local U.S. Attorney, local law enforcement agencies and victim services providers are required to enter into a Memorandum of Understanding with all task force members committing themselves to collaborating together on investigations and assistance to victims. In FY 09, OVC expanded its Services for Victim of Human Trafficking Program by funding three pilot sites as part of a demonstration project to identify promising practices in the delivery of a comprehensive array of services to victims of severe forms of human trafficking who are U.S. citizens or lawful permanent residents under the age of 18. Additionally in FY09, OVC made two grants to support case management services for domestic youth victims of sex trafficking, as well as a third grant to support training and technical assistance to 10 youth-serving organizations across the U.S. in the development and implementation of programs and services for commercially and sexually exploited and domestically trafficked girls and young women. These three grants were supported through funding provided to the Office of Justice Programs under the American Recovery and Reinvestment Act of 2009. In FY10, OVC and BJA will partner to release a competitive solicitation that supports an enhanced approach to combating human trafficking through incorporating best practices identified by successful anti-human trafficking task forces in the U.S. This solicitation will also support the provision of comprehensive services to all trafficking victims adult and minor victims who are foreign or domestic victims through one lead victim service provider.

Applicant Eligibility:

The Attorney General may make grants to States, Indian tribes, units of local government, and nonprofit, non-governmental victims service organizations.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Applications for this program must be submitted electronically via Grants.gov; at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will

comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies in applying for funding under this program electronically via grants.gov. Forms for funds other than grants or for use by Federal Agencies will be supplied by OVC.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director of the Office for Victims of Crime. The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3-5 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Supplements are considered on an individualized basis, based on grantee performance and the need for service provisions to trafficking victims within the geographic area of coverage, as well as the existence of anti-trafficking task forces funded through the Bureau of Justice Assistance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Eligible applicants must match the Trafficking Victims Protection Act grant program funds with a 25 percent cash contribution or the value equivalent of an in-kind contribution(s). In-kind match refers to the value of something received or provided that does not have a cost associated with it.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Trafficking funds may be carried for obligation by the grantee for the duration of their grant award. Funds are released via electronic funds transfer. Grantees must become enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds. See the following for information on how assistance is awarded/released: Please see program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are required. Quarterly financial reports are required. As documented in the solicitation, performance measure data are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$10,299,213; FY 10 est \$12,500,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

In FY10, OVC may award grants ranging from \$170,000 to \$530,000 for 1-2 years to support services to trafficking victims. Additional awards to support research and evaluation and training and technical assistance may be made.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since the inception of the program over 2,700 pre-certified foreign national trafficking victims have been served by grantee recipients. Grantees have also provided substantive training on trafficking to over 115,000 individuals, including law enforcement officers. OVC currently funds a total of 39 direct service projects.. Comprehensive services grants provide direct services to meet the broad range of needs of trafficking victims, including case management; legal services; medical, dental, and mental health services; advocacy, emergency and longer-term housing; education and job skills training; and interpreter services. The specialized services grants provide a quickly mobilized single service over a broad geographical area, such as mental health assessment and crisis intervention. OVC continues to coordinate its efforts with its counterparts within the Department of Justice as well as with partners in the Departments of Health and Human Services, Homeland Security, Labor, and State through periodic coordination meetings to ensure that their respective programs for trafficking victims, outreach efforts, and training and technical assistance priorities for trafficking grantees are not duplicative and proactively address issues that arise from the field on service provision to trafficking victims. Through its Training and Technical Assistance Center, OVC has developed substantive training and technical assistance resources for both its grantees and the anti-human trafficking field as a whole, including regional training forums and an upcoming online operations guide for anti-human trafficking task forces. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OVC discretionary grant solicitations and application guidelines and the current edition of the OJP Financial Guide are available on-line at the OVC webpage: <http://www.ojp.usdoj.gov/ovc/>.

Regional or Local Office:

None.

Headquarters Office:

Bradley Mitchell, Human Trafficking Services Program, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: (202) 514-9069.

Website Address:

<http://www.ovc.gov/>.

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (a) Comprehensive Services projects provide a holistic approach to assisting trafficking victims by either directly providing services or coordinating access to services that provide shelter and sustenance, general health and mental health care, legal services, jobs skills training, cultural support from the community, and educational services. Applicants must establish an advisory committee to guide the project; conduct an assessment of existing community services, resources, and needs; identify key partners including law enforcement and governmental and non-governmental entities; and develop a plan to sustain the project after Federal funding ends. (b) Supplemental and Specialized Services projects must demonstrate capacity to provide discrete services such as legal, housing, or mental health services to trafficking victims with very little notice and lead time within a given geographical area; for fluctuating numbers of victims; for victims with extremely limited resources; for victims from diverse cultural and linguistic backgrounds; and for victims who have experienced distinct or multiple forms of victimization, including psychological and sexual assault, forced labor, denial of medical care, etc. Programs must collaborate as appropriate with recipients of funding for Comprehensive services, and ensure trafficking victims have access to: emergency and long-term medical and mental health care, legal services including immigration advocacy, interpreter and translation services, social service and criminal justice system-based advocacy, outreach services directed toward immigrant populations, special services for victims who are juveniles, and job skills training. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals have been developed by the Office for Victims of Crime and are included in the OVC Services for Trafficking Victims Discretionary Grant program Solicitations and Application Guidelines.

16.321 ANTITERRORISM EMERGENCY RESERVE

FEDERAL AGENCY:

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10601(d)(5), 42 U.S.C. 10603b(b), 42 U.S.C. 10603b(a).

OBJECTIVES:

Encompasses two programs for victims of terrorism and/or mass violence: (1) Antiterrorism and Emergency Assistance Program (AEAP) and (2) The International Terrorism Victim Expense Reimbursement Program (ITVERP). The AEAP provides assistance and compensation services for victims of domestic terrorism and intentional mass criminal violence and assistance for victims of international terrorism. ITVERP provides reimbursement for victims of acts of international terrorism that occur outside the United States for expenses associated with that victimization.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

AEAP funds are available specifically for services to victims of terrorism and/or intentional mass criminal violence. Funded activities may include compensation, emergency relief, including crisis response efforts, assistance, and ongoing assistance, including during any investigation or prosecution to victims of terrorist acts or mass violence occurring within and outside the United States. Nonmonetary assistance is available for training and technical assistance. ITVERP provides direct reimbursement for out-of-pocket expenses to victims of international terrorism. Claimants may seek reimbursement for expenses incurred for medical, mental health, funeral and burial, property loss, and other miscellaneous costs (including emergency travel, temporary lodging, and telephone costs).

Applicant Eligibility:

AEAP: Criteria will vary depending on the grant. For terrorism or mass violence occurring within or outside the U.S., eligible applicants for funding under VOCA, Title II, 42 U.S.C. 1603b, 1404B, include states, victim service organizations, and public agencies (including federal, State and local governments) and non-governmental organizations that provide assistance to victims of crime. With the exception of ITVERP, OVC does not provide funding directly to individual crime victims. ITVERP: For an applicant to be

eligible to receive reimbursement under ITVERP as authorized under VOCA, Title II, 42 U.S.C. 10603c, 1404C, an individual must be a national of the United States or an officer or employee of the U.S. Government, as of the date on which the act of terrorism occurred. In addition, the individual must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing after April 24, 1996.

Beneficiary Eligibility:

AEAP: Public and private nonprofit victim assistance agencies; victims of domestic and international terrorism. Eligibility depends on the nature of the grant. ITVERP: The law requires that the individual victim must have suffered direct physical or emotional injury or death as a result of an act of international terrorism occurring on or after October 23, 1983, with respect to which an investigation or prosecution was ongoing or was commenced after April 24, 1996. In the case of a victim who is a minor, incompetent, incapacitated, or is killed, a family member or legally designated representative of the victim may receive expense reimbursement on behalf of the victim. In addition to the victim, claimants may include the following: Spouse of the victim, Parents of the victim, Children of the victim, Siblings of the victim, Legally designated victim representative.

Credentials/Documentation:

All potential applicants shall submit a written proposal along with a budget and budget narrative to the OVC Director for consideration. Proposals submitted by State crime victim compensation program administrators should include the following: (1) a description of the qualifying crime; (2) the projected number of claims to be paid and the projected number of claimants to receive payments; (3) the states maximum award amount by category, such as medical, mental health, lost wages, funeral, etc.; and (4) a description of the range of expenses covered by the program and the amount of state funding available to cover victim claims. Proposals submitted by State crime victim assistance administrators, and all other applicants seeking crime victim assistance, should include the following: (1) type of crime and description of the criminal event; (2) identification of the lead law enforcement agency conducting the investigation; (3) estimated number of victims affected by the crime; (4) description of the applicant's role in responding to the victim population since the date of the incident; (5) description of services that this funding will support and how these efforts will complement services already in place or will respond to an unmet need; (6) the amount of funding requested and the time frame for support; and (7) description of outreach and coordination with other public and private entities during the process of preparing the request for assistance. Once approved for applying, an AEAP solicitation, with detailed instructions on applying as well as reporting requirements, will be posted on the OJP electronic Grants Management System (GMS). Applicants, except Federal agencies, must submit through GMS (1) SF-424, Application for Federal Funding and applicable assurances and forms; and (2) Budget and budget narrative including a description of all other federal and nonfederal contributions (cash or in-kind). Applications for the AEAP must be submitted to the OVC Director as soon as practical following a terrorist or mass violence event by the appropriate State or federal official or private victim service and non-governmental organizations. ITVERP: All potential applicants shall submit a written application along with all supporting documentation to OVC for consideration. Claimants may apply for itemized, interim emergency, or supplemental reimbursement. An Itemized application should be used by those eligible claimants who are making a first-time request for reimbursement of out-of-pocket expenses that were paid following an international terrorism event. An Interim Emergency application should be used by eligible claimants seeking funds for an immediate need such as medical treatment, short-term lodging, or emergency transportation. A Supplemental application should be used by eligible claimants whose expenses have changed since they submitted an initial application. The supplemental application is for bills that may have been received late, or for new services that were not originally thought necessary or included in the original application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. AEAP: Whereas the previously submitted proposal letter, budget, and budget narrative were key factors in determining eligibility for funding, they were submitted prior to the posting of a solicitation.

Application materials submitted electronically through GMS in response to either the assistance or compensation solicitation become permanent parts of the award file. Thus, it will be necessary to resubmit the proposal letter, budget, and budget narrative documents as part of the application package.

Applications will be accepted following an incident of terrorism or mass violence in accordance with the timetable set forth in the AEAP Guidelines. There are two factors that determine the application submission requirements for the AEAP: (1) the applicant status, i.e., government agency (Federal, State, or local), non-governmental organization, or victim service organization; and (2) the type of support requested, i.e., crisis response, consequence management, criminal justice, victim compensation, technical assistance (nonmonetary assistance). Application requirements are listed below.

Application requirements for State crime victim compensation programs - Funding will be made available to State crime victim compensation programs in response to an incident of terrorism or intentional mass criminal violence within the United States in the form of a grant. Requests for funding from State crime victim compensation programs may be made at any time in the aftermath of an incident and should include: (1) Responses to the questions or issues raised in the AEAP Compensation Solicitation ; (2) Proposal letter ; (3) Budget.; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and forms.. Application requirements for all other recipients of funds -All other applicants seeking Antiterrorism Emergency Reserve support are required to submit: (1) Responses to the questions or issues raised in the AEAP Assistance Solicitation; (2) Proposal letter; (3) Budget; (4) Budget narrative; and (5) SF-424, Application for Federal Assistance and applicable assurances and forms. While no direct funding is available, OVC may provide its own consultants to meet the training and technical assistance needs of applicants. Training and technical assistance may be requested by submitting a letter describing the nature of the problem; the type of expertise or assistance needed; the duration of assistance; and the projected outcomes of the technical assistance or training. See OVC guidelines published in the Federal Register (Vol. 67, No. 21, Thursday, January 31, 2002) for additional information on application requirements for the AEAP. ITVERP: Step 1: Identify the type of application you are applying for by marking the appropriate type at the top of the application form. In addition, a supplemental sheet must be filled out by applicants. Sections B, F and H should be answered by all applicants; section G should be answered by Itemized and Supplemental applicants only. Detailed instructions are included. Step 2: If you believe that you are an eligible victim of a "designated" international terrorism event, please use the following forms and information to prepare your application for reimbursement. Required Documentation: A victim is required to submit documentation that provides proof of identity, citizenship, employment, and damage/injury sustained during the international terrorism event. A victim's family member or representative is required to submit documentation of his/her relationship to the victim plus medical information or a death certificate as appropriate, in addition to the items named above. In all cases, receipts for expenses incurred in the recovery process will be required. If any of the required documents have been destroyed and are unavailable from any other source, you must provide and certify a list of destroyed documents and how each would apply to your ITVERP application.

Award Procedure:

AEAP: Upon receipt and internal review of a complete application, it is the intention of OVC to notify applicants of funding decision within 5 business days or as soon as practicable. The applicant may be notified by telephone, Internet or facsimile. ITVERP: After review of each application, the Director shall determine the eligibility of the victim or representative and the amount, if any, eligible for reimbursement, specifying the reasons for such determination and the findings of fact and conclusions of law supporting it. A copy of the determination shall be mailed to the claimant at his last known address.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

AEAP: It is OVC's intention to make a funding decision within 5 business days of receipt of a complete application or as soon as practicable. ITVERP: It is OVC's intention to review and process payments as soon as practicable. For itemized and supplemental claims, range of approval/disapproval is dependant upon receipt of an official determination of a reasonable indication of an act of international terrorism from the Assistant Attorney General of National Security for a particular event. Processing time is also dependant upon timely response by claimants of any outstanding documents or information required to process claim applications. Claimants may apply for an interim emergency payment, prior to a determination under Section 94.21(a). If the Director determines that such payment is necessary to avoid or mitigate substantial hardship that may result from delaying reimbursement until complete and final consideration of an application, such payment may be made to cover immediate expenses such as those of medical care, funeral and burial, short-term lodging, and emergency transportation.

Appeals:

For both programs, hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

AEAP: See OVC guidelines for Antiterrorism and Emergency Assistance Program for Terrorism and Mass Violence Crimes published in the Federal Register, Vol. 67, No. 21, Thursday, January 31, 2002. ITVERP: Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

AEAP: For grants, dollars remaining unspent by the State or program within 3 fiscal years after the end of the fiscal year in which the award was made, will revert to the Crime Victims Fund/Antiterrorism Emergency Reserve. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the recipient.

ITVERP: Reimbursements are released via the Electronic Transfer System directly into the claimants bank account. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

No program reports are required. No cash reports are required. AEAP: semi-annual progress reports are required. ITVERP: There are no reporting requirements for claimants under ITVERP. Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. ITVERP: There are no reporting requirements for claimants under ITVERP. Performance measure data, as stipulated in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. AEAP: All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Not applicable to ITVERP.

Records:

AEAP: Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of 3 years. ITVERP: All claim files and automated data files are retained on hard copy and on a computer database. All claim files and automated data pertaining to a

claim are destroyed 10 years after the date the claim has been fully processed and/or payment made, as approved by the National Archives and Records Administration (NARA). Automated data is retained in its most current form only, however, and as information is updated, outdated information is deleted.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants (Contracts)) FY 09 \$2,865,855; FY 10 est \$50,000,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

Varies depending on terrorism/mass violence event and specific need(s).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Crime Victim Assistance Center of Binghamton, New York, responded to the April 3, 2009, mass shooting that occurred there. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Further guidance on the AEAP application procedure and current edition of the OJP Financial Guide are available on the OVC web site at www.ovc.gov or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (202) 307-5983. ITVERP application materials and program information are available on the OVC web site at www.ovc.gov/itverp or by writing to Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, DC 20531; Telephone: (1-800) 363-0441.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ovc.gov>.

RELATED PROGRAMS:

16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation; 16.582 Crime Victim Assistance/Discretionary Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Crime Victim Assistance Center of Binghamton, New York, responded to the April 3, 2009, mass shooting that occurred there. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the guidelines, regulations, and application kits for these programs. Additional criteria may be developed by the Office for Victims of Crime and will be published in the application packages.

16.523 JUVENILE ACCOUNTABILITY BLOCK GRANTS

JABG

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Public Law 107-273.

OBJECTIVES:

To provide States, units of local government, and Indian Tribes as defined by Section 102 of the Federally Recognized Indian Tribe List Act of 1994, with funds to develop programs to strengthen and promote greater accountability in the juvenile justice system. To survey the field and identify projects that would benefit from research, demonstration, and evaluation in the 17 purpose areas identified in the JABG Program. To provide training and technical assistance to States, units of local government, and Indian Tribes so they may develop programs outlined in the 17 program areas to promote greater accountability in the juvenile justice system.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program Areas include: (1) Developing, implementing, and administering graduated sanctions for juvenile offenders. (2) Building, expanding, renovating or operating temporary or permanent juvenile correction, detention, or corrections facilities. (3) Hiring juvenile court judges, probation officers, and court-appointed defenders and special advocates, and funding pretrial services (including mental health screening and assessment) for juvenile offenders, to promote the effective and expeditious administration of the juvenile justice system. (4) Hiring additional prosecutors so that more cases involving violent juvenile offenders can be prosecuted and case backlogs reduced. (5) Providing funding to enable prosecutors to address drug, gang, and youth violence problems more effectively and for technology, equipment and training to assist prosecutors in identifying and expediting the prosecution of violent juvenile offenders. (6) Establishing and maintaining training programs for law enforcement and other court personnel with respect to preventing and controlling juvenile crime. (7) Establishing juvenile gun courts for the prosecution and adjudication of juvenile firearms offenders. (8) Establishing drug court programs for juvenile offenders that provide continuing judicial supervision over juvenile offenders with substance abuse problems and the integrated administration of other sanctions and services for such offenders. (9) Establishing and maintaining a system of juvenile records designed to promote public safety. (10) Establishing and maintaining interagency information-sharing programs that enable the juvenile and criminal justice systems, schools, and social services agencies to make more informed decisions regarding the early identification, control, supervision, and treatment of juveniles who repeatedly commit serious delinquent or criminal acts. (11) Establishing and maintaining accountability-based programs designed to reduce recidivism amount juveniles who are referred by law referred by law enforcement personnel or agencies. (12) Establishing and maintaining programs to conduct risk and needs assessments of juvenile offenders that facilitate effective early intervention and the provision of comprehensive services, including mental health screening and treatment and substance abuse testing and treatment, to such offenders. (13) Establishing and maintaining accountability-based programs that are designed to enhance school safety. (14) Establishing and maintaining restorative justice programs. (15) Establishing and maintaining programs to enable juvenile courts and juvenile probation officers to be more effective and efficient in holding juvenile offenders accountable and reducing recidivism. (16) Hiring detention and corrections personnel and establishing and maintaining training programs for such personnel, to improve facility practices and programming. (17) Establishing, improving and coordinating pre-release and post-release systems and programs to facilitate the successful reentry of juvenile offenders from state and local custody in the community. Not more than 10 percent of appropriated funds are available to support research, evaluation, and demonstration projects consistent with this program. Not more than 2 percent of appropriated funds are available for training and technical assistance. Not more than 2 percent of appropriated funds shall be made available to Indian Tribes on a competitive basis. Input will be solicited from the States to determine which of the 17 areas are most important and where the greatest needs are. This information will guide and provide insight for research, evaluation, and demonstration activities under this program.

Applicant Eligibility:

Each State and territory (except Palau) is eligible to receive an allocation and award of funds for State and units of local government if the Governor certifies, consistent with guidelines established by the Attorney General.

In addition to being eligible for JABG funds as a unit of local government, American Indian/Alaska Native Tribes, as defined by Section 102 of the Federally Recognized Indian Tribe List Act of 1994 (25 U.S.C 470a), or a consortium of such tribes, are eligible for JABG funding through OJJDPs Tribal Juvenile Accountability Discretionary Grant (T-JADG) program. OJJDP awards cooperative agreements on a competitive basis.

Beneficiary Eligibility:

All States and territories are eligible to receive an allocation and award of funds for State and units of local government. Funds are available to public and private agencies, organizations, or individuals to apply for discretionary and

training and technical assistance funds.

Credentials/Documentation:

Each applicant must submit a completed application, including signed assurances that it will comply with statutory and administrative requirements. For Research and Evaluation grants, costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System. The receipt, review and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. The State Relations and Assistance Division is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

A letter with copies of the grant award is sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs. Local units of government will receive JABG funds through the applicant agency subgrant award process. Each State and territory that receives monies under the JABG program must establish an interest-bearing trust fund to deposit program funds. Each State and territory (recipient) or unit of local government (subrecipient) that receives program funds must establish a coordinated enforcement plan for reducing juvenile crime, developed by an Advisory Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula grant applications will generally be approved within 2 to 4 months of receipt of a complete application. Discretionary grant applications also take 2 to 4 months.

Appeals:

Hearing and appeal procedures will follow 28 CFR, Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 10%. Public Law 107-273 allocates 0.5 percent of the appropriated amount for each State and territory and of the total funds remaining, allocates to each State an amount that bears the same ratio as the population of people under the age of 18 living in each State for the most recent calendar year in which the data is available. The Program requires a cash match of 10 percent of total program costs; Federal funds may not exceed 90 percent of total program costs. (Each State and territory that receives money under the JABG program must establish an interest-bearing trust fund to deposit program funds.) Interest derived from the award does not have to be matched, but interest generated from the trust fund cannot be used to match the Federal award. Matching contributions need not be applied at the exact time or in proportion to the obligation of Federal funds. However, the full match amount must be obligated by the end of the 36 month project period. For discretionary grants, no match is required.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Formula and discretionary grant awards are made for 36 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are due on an annual basis. Cash reports are not applicable. Semiannual progress reports are required. Quarterly financial reports are required. Performance measure data, as specified in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as described in OJP's Financial Guide, Chapter 19.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 09 \$55,783,964; FY 10 est \$54,970,060; FY 11 est \$40,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Thomas Murphy, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 353-8734.

Website Address:

<http://www.ojjdp.ncjrs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The California Corrections Standards Authority used JABG funds in FY 2009 to support the Public Defender Placement/Education Assistance Program, a unique project that addresses the backlog of public defender cases in the city and county of San Francisco, CA, while working to identify community-based alternatives and out-of-home placements for youth who would otherwise remain in custody unnecessarily. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Accountability Block Grants (JABG) 2007 Guidance Manual as established by the Office of Juvenile Justice and Delinquency Prevention.

16.540 JUVENILE JUSTICE AND DELINQUENCY PREVENTION ALLOCATION TO STATES

State Formula Grants

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 2002, Sections 221-223, 42 U.S.C. Sections 5631-5633.

OBJECTIVES:

To increase the capacity of State and local governments to support a variety of programs related to delinquency prevention and reduction, juvenile justice system improvement, research, evaluation, statistical analysis, and training and technical assistance.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program, established by the Juvenile Justice and Delinquency Prevention (JJDP) Act of 2002, allocates formula grant funds to States and territories on the basis of their relative population under age 18. The minimum allocation to each State is \$600,000 and to the Territories is \$100,000. States/Territories must demonstrate compliance with four core requirements of the JJDP Act in order to receive their full allocation of Formula Grants funds. The core requirements are: Section 223(a) (11) deinstitutionalization of status offenders; (12) separation of adults and juveniles in secure custody; (13) removal of juveniles from adult jails and lockups; and (22) the elimination of the disproportionate contact of minority juveniles, where such conditions exist. The State's allocation is reduced by 20 percent for each core requirement with which the State is in non-compliance. Technical Assistance: Not in excess of two percent of the funds available each fiscal year to formula grants is available for grants and contracts with public and private agencies, organizations and individuals to provide assistance to States, units of general local governments, and combinations thereof, and local private agencies to facilitate compliance with Section 223 of the JJDP Act and implementation of the State Plan approved by OJJDP. Technical assistance provided under this provision is coordinated with the State agencies designated to implement the formula grants program. To be eligible for formula grant funds, a State must submit electronically a comprehensive plan applicable to a 3-year period embodying the purposes of the Act and including provisions that: (1) provide for an advisory group appointed by the chief executive of the State to carry out specified functions and to participate in the development and review of the State's juvenile justice plan; (2) provide that juveniles who are charged with or who have committed offenses that would not be criminal if committed by an adult, or offenses which do not constitute violations of valid court orders or such non-offenders as dependent and neglected children, are removed from secure juvenile detention and secure correctional facilities; (3) provide that juveniles alleged to be or found to be delinquent and youths within the purview of the deinstitutionalization core requirement not be confined or detained in any institution in which they have contact with adult persons incarcerated because they have been convicted of a crime or are awaiting trial on criminal charges; (4) provide that no juvenile shall be detained or confined in any jail or lockup for adults (with specified exceptions); and (5) provide for programs to eliminate the disproportionate contact of minority juveniles where such condition exists. Once the plan is approved, each State determines the specific programs to be funded. The States are responsible for processing applications for subgrants and administering funded projects. Two-thirds of funds must be passed through to units of general local government, private nonprofit agencies, and Indian Tribes performing law enforcement functions unless a waiver is granted.

Applicant Eligibility:

The Chief Executive of each State which chooses to apply for a formula grant shall establish or designate a State agency as the sole agency for supervising the preparation and administration of the plan, in accordance with the Juvenile Justice and Delinquency (JJDP) Act of 2002. Technical Assistance: Grants and contracts may only be made to agencies, organizations and individuals that have experience in providing technical assistance to State agencies in implementing State plans, and in facilitating compliance with Section 223 of the JJDP Act, Public Law 102-586.

Beneficiary Eligibility:

Units of a State and its local government, public and private organizations, Indian tribes performing law enforcement functions, and agencies involved in juvenile delinquency prevention, treatment, and rehabilitation.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State

and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System following established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

A letter with copies of the grant award and special conditions are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Formula Grants Plans will generally be approved within 30 to 45 days of receipt of a complete application. Technical Assistance: Approval/disapproval time is provided on three levels (immediate response, total system response, and specialized, developmental response) from 1 to 2 hours to 10 days.

Appeals:

Hearings held by OJJDP. Technical assistance: Federal Acquisition Regulations apply.

Renewals:

Comprehensive Plan submission required every 3 years. Annual updates and applications required each of the other 2 years. Technical Assistance: Contracts are renewed throughout contract modifications and competition processes.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 10%. State grantees may use no more than 10 percent of their Formula Grant allocation for planning and administration and they must match planning and administration funds dollar for dollar. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Fiscal year action funds may be carried forward for obligation for 2 years subsequent to the fiscal year of award. Under a Letter of Credit, drawdowns may be made. Technical Assistance: Three year incremental contract is funded. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the Office of the Comptroller and the Federal Audit Clearinghouse no later than 13 months after the close of each fiscal year during the term of the award. Audit report packages are due 9 months after the close of the fiscal year.

Records:

Grantee must keep complete records on disposition of funds.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 09 \$75,030,407; FY 10 est \$74,952,476; FY 11 est \$75,000,000

Range and Average of Financial Assistance:

Allocation of formula grants to States and territories are based on of relative populations under 18.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, OJJDPs National Training and Technical Assistance Center (NTTAC) provided 27 training and technical assistance projects to more than 400 participants in 16 states for the Formula Grants program. The top five topic areas for Formula Grants training and technical assistance projects in 2009 were disproportionate minority contact, juvenile justice systems improvement, compliance monitoring, delinquency prevention, and deinstitutionalization of status offenders. Participants included representatives of tribal communities, the court system, law enforcement, facility staff, and service providers. OJJDP provided an additional 23 trainings to State Advisory Groups, including new- and advanced-member training and strategic planning. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for Formula Grants (28 CFR Part 31) and OJP Financial Guide applicable editions.

Regional or Local Office:

None.

Headquarters Office:

Lawrence Fiedler, State Relations and Assistance Division, 810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 514-8822.

Website Address:

<http://www.ojjdp.ncjrs.gov>.

RELATED PROGRAMS:

16.541 Part E - Developing, Testing and Demonstrating Promising New Programs; 16.548 Title V_Delinquency Prevention Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: During FY 2009, OJJDP worked with the 50 states, the District of Columbia, and the 5 U.S. territories, providing financial and technical assistance to support the implementation of the mandates of the JJDP Act. As required by the Act, the Office worked closely with states to develop strategies to reduce the disproportionate number of minority youth who come into contact with the justice system.

The Office also worked with states to help them implement accountability-based reforms; develop collaborative, community-based delinquency prevention programs; and prevent the purchase and consumption of alcohol by minors. These activities are helping states realize the importance of forming partnerships and leveraging a variety of resources to help make a difference for youth by strengthening the juvenile justice system. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the regulations governing the Formula Grant Program provisions of the Juvenile Justice and Delinquency Prevention Act as published in the Federal Register.

16.541 PART E - DEVELOPING, TESTING AND DEMONSTRATING PROMISING NEW PROGRAMS

(Program Grants, Discretionary Grants and Contracts)

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 2002, Sections 261, 262, Public Law 93-415, as amended; Public Laws 95-509, 98-473, 100-690, and 102-586, 42 U.S.C. 5601 et seq.

OBJECTIVES:

To develop and implement programs that design, test, and demonstrate effective approaches, techniques and methods for preventing and controlling juvenile delinquency such as community based- alternatives to institutional confinement; developing and implementing effective means of diverting juveniles from the traditional juvenile justice system; programs stressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system; model programs to strengthen and maintain the family unit including self-help programs; prevention and treatment programs relating to juveniles who commit serious crimes; programs to prevent hate crimes; programs to provide aftercare and reintegration services; programs to prevent youth gun and gang violence.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

To be eligible for an Award or contract under Part E, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Part E funds are available under the Juvenile Justice and Delinquency Prevention Act of 2002, as amended, to public and private nonprofit agencies, organizations, individuals, Indian Tribal government, State and local units of government, combinations of State or local units. (Section 263 of JJDP Act as Amended.)

Beneficiary Eligibility:

Public and private youth serving agencies/organizations, State and local units of government, combinations of such units, or other private agencies, organizations, institutions or individuals.

Credentials/Documentation:

Administration and cost appropriateness will be determined in accordance with the provisions of OMB circulars and government-wide common rules applicable to grants and cooperative agreements, including OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The applicant must submit an application electronically through the Office of Justice Programs' Grant Management System or grants.gov as directed by the program announcement. Applicants are expected to address each concern or requirement in the guidelines as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Assistance awards and contracts are awarded directly to applicants Deadlines (094):

Published in program announcements or requests for proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Informal reconsideration by Administrator for assistance applicants, administrative hearings for assistance award terminations. See CFR Part 18, 50 FR 28199, July 11, 1985.

Renewals:

Continuation grant, supplemental award or contract modification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial Awards usually are made for 12 to 36 months with further funding based upon the project period, grantee performance, and availability of funds. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual progress reports and quarterly financial reports are required. Quarterly financial reports are required. Semi-annual progress reports. Quarterly financial reports are required. Semi-annual progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years after the date of the final report.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$82,073,777; FY 10 est \$91,095,000; FY 11 est \$0

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, 280 awards were made under the OJJDP FY 2009 Congressional Earmark Program totaling \$96.3 million. Fiscal Year 2010: It is anticipated that in FY 2010, 343 awards will be made under the OJJDP FY 2010 Congressional Earmark Program totaling \$91,095,000 million. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Developing, Testing and Demonstrating Promising New Programs awards are governed by the OJP Financial Guide which is available upon request.

Regional or Local Office:

None.

Headquarters Office:

Kellie J. Dressler, Child Protection Division, Office of Juvenile Justice and

Delinquency Prevention, Department of Justice, Washington, District of Columbia 20531 Phone: (202) 514-4817.

Website Address:

<http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of projects funded in Fiscal Year 2009 include: community based-alternatives to institutional confinement; developing and implementing effective means of diverting juveniles from the traditional juvenile justice system; programs stressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system; model programs to strengthen and maintain the family unit including self-help programs; prevention and treatment programs relating to juveniles who commit serious crimes; programs to prevent hate crimes; programs to provide aftercare and reintegration services; programs to prevent youth gun and gang violence; mentoring programs; and school-based programs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.543 MISSING CHILDREN'S ASSISTANCE**FEDERAL AGENCY:**

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act, Section 405, 42 U.S.C. Section 5775, The Missing Children's Assistance Act, and the Protec Our Children Act of 2008.

OBJECTIVES:

To coordinate Federal missing and exploited children activities and to support research, training, technical assistance, and demonstration programs to enhance the overall response to missing children and their families. Establish and maintain a national resource center and clearinghouse dedicated to missing and exploited children issues that: (1) provides a toll-free hotline where citizens can report investigative leads and parents and other interested individuals can receive information concerning missing children; (2) provides technical assistance to parents, law enforcement, and other professionals working on missing and exploited children cases; (3) promotes information sharing and provides technical assistance by networking with regional nonprofit organizations, State missing children clearinghouses, and law enforcement agencies; (4) develops publications that contain practical, timely information; and (5) provides information regarding programs offering free or low-cost transportation services that assist in reuniting children with their families. On a periodic basis, as funding is designated by Congress for this purpose, conduct national incidence studies to determine the type and extent of missing children in America. Support law enforcement demonstration programs (e.g., the Internet Crimes Against Children Task Force Program) to enhance the investigative response to missing and exploited children cases. Support research to broaden understanding of a wide range of missing and exploited children issues (e.g., abduction homicide investigation solvability factors), to inform training and technical assistance efforts and to identify promising practices and programs for replication. Develop training programs for law enforcement, child protective services, medical personnel, and prosecutors to enhance coordination and effectiveness of missing and exploited children investigations and to enhance the overall system response. Identify service gaps and develop programs to meet specialized needs of parents or guardians of children who are reported missing.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Administrator is authorized to make grants to and enter into contracts with public agencies or private nonprofit organizations, or combinations thereof, for research, demonstration projects, or service programs designed (1) to educate parents, children, and community agencies and organizations in ways to prevent the abductions and sexual exploitation of children; (2) to provide information to assist in the locating and return of missing children; (3) to aid communities in the collection of materials which would be useful to parents in assisting others in the identification of missing children; (4) to increase knowledge of and develop effective treatment pertaining to the psychological consequences, on both parents and children, of (a) the abduction of a child, both during the period of disappearance and after the child is recovered; and (b) the sexual exploitation of a missing child; (5) to collect detailed data from selected States or localities on the actual investigative practices utilized by law enforcement agencies in missing children's cases; (6) to address the particular needs of missing children by minimizing the negative impact of judicial and law enforcement procedures on children who are victims of abuse or sexual exploitation and by promoting the active participation of children and their families in cases involving abuse or sexual exploitation of children; (7) to address the needs of missing children and their families following the recovery of such children; and (8) reduce the likelihood that individuals under 18 years of age will be removed from the control of such individuals legal custodians without such custodians' consent; (9) and to establish or operate statewide clearinghouses to assist in recovering or locating missing children.

Applicant Eligibility:

Missing Children's funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public and private nonprofit agencies, organizations, individuals, State and local units of government, combinations of State or local units.

Beneficiary Eligibility:

State and local units of government, private nonprofit agencies, organizations, institutions or individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications following directions from the Office of Justice Programs. Unsolicited applications are not accepted. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Successful applicants are notified by the Office of Justice Programs according to established procedures. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

See 28 CFR Part 18.

Renewals:

Supplemental grants or contract modification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial awards usually are made for 12 to 36 months with further funding based upon the project period and grantee performance. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Contact Program Office. Contact Program Office. Contact Program Office.
Contact Program Office. Contact Program Office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Effective 6/30/2004 (increase from 300,000 to \$500,000) All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 09 \$69,526,729; FY 10 est \$69,794,196; FY 11 est \$70,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Ron C. Laney, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, District of Columbia 20531 Email: Ronald.Laney@usdoj.gov Phone: (202) 616-3637.

Website Address:

<http://www.ojjdp.ncjrs.org>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded programs are available at <http://ojjdp.ncjrs.gov/funding/fy09awards.html>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.544 YOUTH GANG PREVENTION**FEDERAL AGENCY:**

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Sections 281 and 282, Public Law 93-415, as amended.

OBJECTIVES:

To prevent, intervene, and reduce the participation of juveniles in the activities of gangs that commit crimes. Such programs and activities may include: 1) individual, peer, family, and group counseling, including provision of life skills

training and preparation for living independently, which shall include cooperation with social services, welfare, and health care programs; 2) education and social services designed to address the social and developmental needs of juveniles; 3) crisis intervention and counseling to juveniles, who are particularly at risk of gang involvement, and their families; 4) the organization of the neighborhood and community groups to work closely with parents, schools, law enforcement, and other public and private agencies in the community; 5) supporting the coordination of existing comprehensive community-based anti-gang initiatives; and 6) training and assistance to adults who have significant relationships with juveniles who are or may become members of gangs, to assist such adults in providing constructive alternatives to participating in the activities of gangs. To develop within the juvenile adjudicatory and correctional systems new and innovative means to address the problems of juveniles convicted of serious drug-related and gang-related offenses. To provide treatment to juveniles who are members of such gangs, including members who are accused of committing a serious crime and members who have been adjudicated as being delinquent. To promote the involvement of juveniles in lawful activities in geographical areas in which gangs commit crimes. To promote and support, with the cooperation of community-based organizations experienced in providing services to juveniles engaged in gang-related activities and cooperation of local law enforcement agencies, the development of policies and activities in public elementary and secondary schools which will assist such schools in maintaining a safe environment conducive to learning. To assist juveniles who are or may become members of gangs to obtain appropriate educational instruction, in or outside a regular school program, including the provision of counseling and other services to promote and support the continued participation of such juveniles in such instructional programs. To expand the availability of prevention and treatment services relating to the illegal use of controlled substances and controlled substances analogues (as defined in paragraphs (6) and (32) of section 102 of the Controlled Substances Act (21 U.S.C. 802) by juveniles, provided through State and local health and social services agencies. To provide services to prevent juveniles from coming into contact with the juvenile justice system again as a result of gang-related activity. To provide services at a special location in a school or housing project. To facilitate coordination and cooperation among: 1) local education, juvenile justice, employment, law enforcement, and social service agencies; and 2) community-based programs with a proven record of effectively providing intervention services to juvenile gang members for the purpose of reducing the participation of juveniles in illegal gang activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To be eligible for an award or contract, an applicant must: (1) respond to legislative requirements contained in Section 281A and 282A of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for adequate program administration, evaluation and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Part D funds are available under the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, to public or private nonprofit agencies, organizations or individuals.

Beneficiary Eligibility:

Public or private nonprofit agencies, organizations or individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant submits proposal on Standard Form 424. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule. Proposals must be prepared and submitted in accordance with program announcements published by OJJDP in the Federal Register.

Award Procedure:

Award package is sent to grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

See 28 CFR Part 18.

Renewals:

Supplemental grants or contract modification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Initial awards usually are made for a period of 12 to 36 months with further funding based upon the project period and grantee performance and availability of funds. Drawdowns are possible under a Letter of Credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual and final financial and progress reports are required. Semiannual and final financial and progress reports are required. Performance measures are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years after the date of the final report.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 09 \$2,292,662; FY 10 est \$4,978,573; FY 11 est \$12,500,000

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Office of Justice Programs (OJP) Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

OJJDP, Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, Department of Justice, Washington, District of Columbia

20351 Phone: Not Applicable

Website Address:

http://www.usdoj.gov.

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States;
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: National Gang Center. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are assessed according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of each program announcement. Applications may undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy 28 CFR Part 34.

16.548 TITLE V DELINQUENCY PREVENTION PROGRAM

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The Incentive Grants for Local Delinquency Prevention Programs Act of 2002.

OBJECTIVES:

Title V focuses on reducing risks and enhancing protective factors to prevent youth at risk of becoming delinquent from entering the juvenile justice system and to intervene with first-time and nonserious offenders to keep them out of the juvenile justice system. The programs goal is to increase the availability and types of prevention programs to improve juvenile justice systems (Section 504(a) of the JJDP Act). The objective of the program is to support both state and local prevention efforts. Each year, state grantees will provide information that OJJDP will include in its annual Title V report to Congress (Section 503(4) of the JJDP Act).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This program authorizes the Administrator to make grants to a State, to be transmitted through the State Advisory Group (SAG), to units of local government for delinquency prevention programming.

Applicant Eligibility:

Only the agency designated by the chief executive (e.g., the governor) of each state that has a properly constituted state advisory group, as specified in the JJDP Act, is eligible to apply under this solicitation. The term state means any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. Applicants who do not meet this criterion are ineligible to apply.

States will invite units of local government that meet the statutorily mandated eligibility requirements to apply for funding and competitively select for funding those jurisdictions that meet the minimum selection criteria specified in the guidelines as published in the Federal Register, and other such criteria as the State shall adopt.

Beneficiary Eligibility:

Youth at risk of juvenile justice involvement and their families.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. State applicants are submitted to OJJDP electronically following pre-established criteria.

Award Procedure:

After OJJDP awards grants to the States, the SAG, in consultation with the State Agency, awards subgrants to units of local government through a competitive process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Hearings for State applicants held by OJJDP, subject to applicable Federal regulations. Appeal process for units of local government are determined by each State, subject to applicable Federal regulations.

Renewals:

Applications are invited annually. Fund availability will be determined by Congressional appropriation.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 50%. Formula based on population of youth under the maximum age of original juvenile court delinquency jurisdiction. State and/or units of local government must match Title V fund with a 50 percent cash or the value of in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants to States will be awarded for a 36-month project period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Financial, subgrant data and others as required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP guidelines for Title V. Financial, subgrant data and others as required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP guidelines for Title V. Financial, subgrant data and others as required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP guidelines for Title V. Financial, subgrant data and others as required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP guidelines for Title V. Financial, subgrant data and others as required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP guidelines for Title V. Financial, subgrant data and others as required by effective edition of the OJP Financial Guide on a monthly, quarterly, semi-annually, and/or annual basis, and additional reporting requirements listed in OJJDP guidelines for Title V.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise.

These audits are due to the Office of the Comptroller and the Federal Audit Clearinghouse no later than 13 months after the close of each fiscal year during the term of the award. Audit report packages are due 9 months after the close of the fiscal year.

Records:

Recipients must keep complete records on disposition of funds.

Account Identification:

15-0405-0-1-754.

Obligations:

(Formula Grants) FY 09 \$2,718,649; FY 10 est \$5,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: OJJDP offers a three-part training series to help grantees write successful 3-year delinquency prevention plans. The training includes: Community team orientation, which brings together key local leaders and provides an overview of the Community Prevention Grants model; Community data collection and analysis training, which helps participants review, analyze, prioritize, and present the data they have collected; and Community planning and program development training, which shows participants how to use data to develop delinquency prevention plans and how to select appropriate strategies using the Model Programs Guide.

During FY 2009, more than 125 juvenile justice staff and participants received one or more of the five trainings in one of the following locations Alaska, the District of Columbia, Michigan, New Jersey, and Vermont. Because membership in a community coalition is a prerequisite for funding, training participants included community leaders, program developers, researchers, and others who are involved in mobilizing the community, governing, or serving children. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for Formula Grants and the OJP Financial Guide applicable editions, and Title V guidelines issued by OJJDP.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Wolfe, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, Washington, District of Columbia 20531 Email: Elizabeth.Wolfe@ojp.usdoj.gov Phone: (202) 514-0582.

Website Address:

<http://www.ojjdp.ncjrs.org/titleV>

RELATED PROGRAMS:

16.540 Juvenile Justice and Delinquency Prevention_Allocation to States;
16.541 Part E - Developing, Testing and Demonstrating Promising New Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Structured Alternative for Education (SAFE), serves 125 youth through a comprehensive array of afterschool and weekend programs focusing on academics, cultural development, recreation, and the development of life skills necessary for healthy lifestyles. The programming specifically targets at-risk youth in an effort to improve school attendance and reduce violence, drug use, teen pregnancy, juvenile delinquency, and bullying. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Juvenile Justice and Delinquency Prevention Act, as amended, and the Guideline governing the Title V Grant Program provisions of the JJDP Act as published in the Federal Register.

16.550 STATE JUSTICE STATISTICS PROGRAM FOR STATISTICAL ANALYSIS CENTERS

SACs

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3731-3732.

OBJECTIVES:

To provide financial and technical assistance to State governments for the establishment and operation of Statistical Analysis Centers (SACs) to collect, analyze, and disseminate justice statistics.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To improve the administration of justice by encouraging the development of State-level capabilities for collecting, analyzing, utilizing, and disseminating statistical information pertaining to crime, criminal justice, sex offenses, incident based reporting, and for providing statistical information to the Federal Government for national compilations. To analyze particular criminal justice issues of current concern and significance to criminal justice practitioners, as identified by BJS in conjunction with other Office of Justice Programs components, the Justice Research and Statistics Association, and selected SAC Directors. Further information is available in the "State Justice Statistics Program for Statistical Analysis Centers: Program Application Guidelines, 2010.

Applicant Eligibility:

Eligible applicants are State agencies whose responsibilities include statistical activities consistent with the goals of the specific programs and are designated as the State Statistical Analysis Center through an Executive Order or legislation.

Beneficiary Eligibility:

Eligible beneficiaries are State agencies whose responsibilities include statistical activities consistent with the goals of the specific programs.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

Hearing by Director.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period is generally 12 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Please contact the program office for further information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are due in GMS. Quarterly financial statements are due in GMS. Performance measure data, as specified in the solicitation, are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources including pass through sub awards) in the organizational fiscal year (12 month turn around reporting period) shall have a single organizational audit conducted in accordance with the provisions of OMB Circular A-133. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from audit requirements for that year.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least three years following the closure of the most recent audit report.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$2,343,013; FY 10 est \$3,500,000; FY 11 est \$3,500,000

Range and Average of Financial Assistance:

\$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: BJS continues to request assistance from State SACs to obtain specified data on deaths occurring in the process of arrest. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Stephanie L. Burroughs, BJS, Department of Justice, Washington, District of Columbia 20531 Email: Stephanie.Burroughs@usdoj.gov Phone: (202) 514-9012.

Website Address:

<http://www.bjs.ojp.usdoj.gov>

RELATED PROGRAMS:

16.734 Special Data Collections and Statistical Studies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Alaska SAC used funds to create a National Information Exchange Model (NIEM) compliant library of reusable component XML schemas for arrest reports, criminal case intakes and dispositions, booking forms, criminal fingerprint cards, citation forms, and criminal complaints. The project makes datasets and XML schemas available to criminal justice agencies via the SACs website. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the program announcement.

16.554 NATIONAL CRIMINAL HISTORY IMPROVEMENT PROGRAM

(NCHIP)

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 105-251; 42 U.S.C. 3760.

OBJECTIVES:

To enhance the quality and completeness of the nation's criminal history record systems; to provide financial and technical assistance to States for the establishment or improvement of computerized criminal history record systems and in their efforts to collect data on stalking and domestic violence; to improve data accessibility and support data transmissions to national systems will permit the immediate identification of persons who are prohibited from purchasing firearms, are subject to domestic violence protective orders, or are ineligible to hold positions of responsibility involving children, the elderly, or the disabled; to support the development of accurate and complete State sex offender identification and registration systems which interface with the FBI's Sex Offender Registry and meet applicable Federal and State requirements; to develop and improve the processes for identifying, classifying, collecting, and entering data regarding stalking and domestic violence into local, State, and national crime information databases; to ensure that criminal justice systems are designed, implemented, or upgraded to be compliant where applicable, with the FBI operated National Instant Criminal Background Check System and Interstate Automated Fingerprint Identification System, meet other applicable statewide or regional criminal justice information sharing standards and plans; and, build upon ongoing efforts so as to support the wide range of technology based, criminal justice information, identification, and communications needs identified by the States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the NCHIP fiscal year 2010 Program Announcement released January 2010.

Applicant Eligibility:

Awards will be made to the agency designated by the Governor to administer the NCHIP program. An award will be made to each eligible applicant State. The designated agency may be the agency with primary responsibility for implementing the major activity to be funded with NCHIP funds or a parent agency with programmatic oversight over, and legislative authority to transfer funds to, such agency.

Beneficiary Eligibility:

Funds awarded to the State may be allocated for use in State or local agencies or the courts. Private organizations may receive funds under contract arrangements with a State agency or its subgrantees to which NCHIP funds are allocated by the State.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, a detailed budget, a budget narrative, and a program narrative including the accomplishments, goals, impact, methods, evaluation plan, and resources of the project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants

Management System (GMS), which can be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Hearing by Director.

Renewals:

Awards may be for up to 12 months. Activities must be completed by September 30, 2011.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Provision of a 20 percent cash or in-kind match by the recipient is required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period will be for up to 12 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress Reports presenting information relevant to the performance of the project to be submitted online through GMS for reporting periods June 30 and December 31. Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award to be submitted quarterly online through GMS. Performance measures, as specified in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources including passthrough sub awards) in the organizational fiscal year (12 month turn around reporting period) shall have a single organizational audit conducted in accordance with the provisions of OMB Circular A133. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from audit requirements for that year.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least 3 years following the closure of the most recent audit report.

Account Identification:

15-0401-0-1-754; 15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$10,913,531; FY 10 est \$14,473,000; FY 11 est \$13,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: More than 9 out of 10 (91.3 percent) of recent state records were automated and 65 percent of automated records were accessible for conducting presale firearms and other background checks. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Devon Adams, Bureau of Justice Statistics, Department of Justice, Washington, District of Columbia 20531 Phone: (202) 307-0765.

Website Address:

<http://www.bjs.ojp.usdoj.gov>

RELATED PROGRAMS:

16.813 NICS Act Record Improvement Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Missouri State Highway Patrol (MSHP) worked with the Office of State Courts Administrator (OSCA), the Missouri Office of Prosecution Services (MOPS), and the Missouri Police Chiefs Association (MPCA) to implement improved criminal history record capture procedures in Missouri courts, prosecutors offices, corrections, and law enforcement agencies. Funds were used to establish an interface between the prosecutors Karpel case management system and local law enforcement agencies legacy systems for transmitting arrest/referral data. Fiscal Year 2010: MSHP plans to contract with Structured Decisions Corporation to conduct a comprehensive assessment of the states criminal history records system. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the fiscal year 2010 NCHIP Program Announcement at <http://bjs.ojp.usdoj.gov/content/pub/pdf/nchip10sol.pdf>.

16.560 NATIONAL INSTITUTE OF JUSTICE RESEARCH, EVALUATION, AND DEVELOPMENT PROJECT GRANTS FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Section 201, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

To encourage and support research, development, and evaluation to further understanding of the causes and correlates of crime and violence, methods of crime prevention and control, and criminal justice system responses to crime and violence and contribute to the improvement of the criminal justice system and its responses to crime, violence, and delinquency.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS
USES AND USE RESTRICTIONS:

The funds may be used to conduct research and development pertaining to the above objectives, including the development of new or improved approaches, techniques, systems, and technologies and to carry out programs of research on the causes of crime and means of preventing crime, and to evaluate criminal justice programs and procedures, and responses to crime, violence, and delinquency.

Applicant Eligibility:

The National Institute of Justice (NIJ) is authorized to make grants to, or enter into contracts or cooperative agreements with State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program. Federal agencies are eligible to apply for funding under this solicitation. If an award is made to a Federal agency, it will be through an

Interagency Agreement (IAA) with the National Institute of Justice, Office of Justice Programs, Department of Justice.

Beneficiary Eligibility:

State and local governments; private nonprofit organizations, public nonprofit organizations, profit organizations, nonprofit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, cooperative agreement or contract, resumes of principal investigator and key personnel, details of the budget composition, goals, impact, methods, evaluation, schedule and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. General information is provided in individual program solicitations, which are obtained by accessing the web site at www.ojp.usdoj.gov/nij, sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; or calling toll free to request a copy. Telephone: (800) 851-3420. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

All applications are reviewed and evaluated by program managers for compatibility with the needs of the Institute's research plan, on-going or prior studies, and the particular promise of the proposal itself. The Institute uses external peer review processes, in addition to NIJ program manager review, in order to weigh each application in terms of proposed methodology, economy, and other criteria bearing on the technical merit of the proposal. The Institute Director makes final award decisions, incorporating the recommendations of the relevant NIJ staff and management-level personnel and external peer reviewers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days for concept papers and 180 days for full proposals.

Appeals:

Hearing by the Director.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula requirement. However, the Institute shall require, whenever feasible, as a condition of approval of a grant or contract that the recipient contribute money, facilities, or services to carry out the purpose for which the grant or contract is sought.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project durations are normally up to 24 months but longer awards are possible. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual. Quarterly. Performance measures are required, as specified in the solicitation.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Records and accounts concerning the expenditure of Institute and grantee or contractor contributed funds shall be maintained during the grant and contract period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 09 \$48,991,957; FY 10 est \$41,144,900; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and funding information are available free from the National Criminal Justice Reference Service, Box 6000, Rockville, MD 20849-6000. Telephone: (800) 851-3420. It is also available electronically through the NCJRS web site at www.ncjrs.org or through the NIJ website under "Funding Opportunities" at www.ojp.usdoj.gov/nij.

Regional or Local Office:

None.

Headquarters Office:

NIJ, National Institute of Justice, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-6394.

Website Address:

<http://www.ojp.usdoj.gov/nij>

RELATED PROGRAMS:

16.562 Criminal Justice Research and Development_Graduate Research Fellowships; 16.566 National Institute of Justice W.E.B. DuBois Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are evaluated according to the criteria specified in the program solicitation. With few exceptions, all proposals are reviewed competitively by a peer review panel consisting of outside experts in the field for technical quality and the general merits of the problems addressed. The Institute uses the peer review process to ensure fair and knowledgeable evaluation of preapplications and proposals. Program solicitations are announced in the Federal Register and advertised on the Institute's website at www.ojp.usdoj.gov/nij.

16.562 CRIMINAL JUSTICE RESEARCH AND DEVELOPMENT_GRADUATE RESEARCH FELLOWSHIPS

Graduate Research Fellowship Program

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

NIJs Ph.D. Graduate Research Fellowship (GRF) program provides awards for

research on crime, violence, and other criminal justice-related topics to accredited academic universities that support graduate study leading to research-based doctoral degrees in disciplines relevant to the Institutes mission. This program furthers the Departments mission by sponsoring research to provide objective, independent, evidence-based knowledge and tools to meet the challenges of crime and justice, particularly at the State and local levels.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The GRF program is intended to support universities that sponsor students who are in the final stages of graduate study. Awards are granted to successful applicants in the form of a grant to cover a doctoral student stipend. A research grant of up to \$25,000 will be awarded to successful applicants (i.e., accredited universities in the U.S.) toward costs associated with the fellowship recipient's dissertation research. Fellowships are funded for up to 24 months.

Applicant Eligibility:

Accredited institution of higher education offering a doctoral degree program. The institution must be fully accredited by one of the regional institutional accreditation commissions recognized by the U.S. Secretary of Education.

The degree program does not have to be in criminal justice, but proposed dissertation work must be related to criminal justice issues and in an NIJ-supported discipline (i.e., social and behavioral sciences, operations technology, information and sensors research and development, and investigative and forensic sciences).

Beneficiary Eligibility:

The student must be engaged in writing a doctoral dissertation directly relevant to crime, law enforcement and/or criminal justice.

Credentials/Documentation:

Eligible institutions interested in competing for a fellowship must furnish along with the application for a grant all required forms by the due date. Students must complete the program narrative section of the application packet and required appendices. Required appendices include the students dissertation chair or advisor's statement of support, the University statement of student progress in the current program of study and a copy of the student's academic transcripts. In addition, although it is not necessary to have formally defended the dissertation prospectus at the time of application due date, the following must have occurred and be documented in the application: the Ph.D. student must have completed all required course work; and the Ph.D. student must have passed qualifying comprehensive exams. By the award date, documentation must be provided indicating that the Ph.D. student's dissertation prospectus has been approved and successfully defended and the student has been advanced to candidacy by the university. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Detailed information is provided in the program solicitation which is obtained by sending a self-addressed mailing label to the National Criminal Justice Reference Service, Box 6000, Rockville, MD 20849-6000 or calling toll free to request a copy at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under "Funding Opportunities". This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Grants are awarded by the Institute Director based on the recommendations of criminal justice experts from outside reviewers and Institute staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from application deadline.

Appeals:

Hearings by the Director.

Renewals:

Please contact program office for more information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project durations are not normally less than 6 months nor more than 24 months. See the following for information on how assistance is awarded/released: Please contact program office.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual progress reports are required. An electronic copy of the final, approved dissertation is required upon completion. Quarterly financial reports are required. Performance measure data, as stipulated in the solicitation, are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

All project records shall be retained for 3 years.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 09 \$120,000; FY 10 est \$125,000; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program solicitation, "Fellowship Opportunities," is available by sending a self-addressed mailing label to: National Criminal Justice Reference Service, Box 6000, Rockville, MD 20850, or call toll free to request a copy. Telephone: (800) 851-3420. Also available electronically from the Justice Information Center on the world wide web at www.ncjrs.org or on NIJ's web site at www.ojp.usdoj.gov/nij under "Funding Opportunities".

Regional or Local Office:

None.

Headquarters Office:

NIJ, National Institute of Justice, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-2942.

Website Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

84.032 Federal Family Education Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 2009 Graduate Research Fellows:
Roberta Belli, John Jay College of Criminal Justice
"Where Terrorists, Far-Right Extremists and Greedy Criminals Meet: A Comparative Study of Financial Crimes in the US"
Dr. Joshua D. Freilich, Dissertation Chair

Robin Conley, University of California, Los Angeles
"Discourses of Death: Language, Juries and "Future Danger" In Texas Death Penalty Trials"
Dr. Alessandro Duranti, Dissertation Chair

Andrew M. Lemieux, Rutgers School of Criminal Justice
"Risks of Violence in Major Daily Activities, United States, 2003-2005"
Dr. Marcus Felson, Dissertation Chair

Bo Pietraszkiewicz, University of Connecticut
"Exploring the Genetic Diversity of Bacterial Populations in Soil for Forensic Applications"
Dr. Linda Strausbaugh, Dissertation Chair

Shun-Yung Kevin Wang, Florida State University
"Employment, Ethnicity, and Crime and Delinquency of Working Youth: A Longitudinal Study of Youth Employment"
Dr. Gary Kleck, Dissertation Chair

Cynthia W. Weaver, School of Social Work, University of Alabama, Tuscaloosa
"Identifying Offending Trajectories for First-Time Youth Offenders:
Dr. Debra Nelson-Gardell, Dissertation Chair. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grasp of issues and the relationship of issues to significant problems confronting criminal justice in the United States, description of design and methodology, the potential utility of results, and qualifications of applicant to produce acceptable doctoral dissertation (based upon proposal paper, university endorsement, and researcher's background statement).

16.566 NATIONAL INSTITUTE OF JUSTICE W.E.B. DUBOIS FELLOWSHIP PROGRAM

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Section 201, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690.

OBJECTIVES:

To provide opportunities for researchers with an interest in building knowledge for the enhancement of justice system administration, delinquency prevention, and violence reduction with opportunities to pursue projects aimed at addressing these issues from diverse cultural perspectives and evaluating the operational complexities of justice system administration manifested in various cultural contexts.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds may be used to conduct research for both adult and juvenile systems on crime causation, crime measurements, crime prevention, law enforcement, criminal justice administration, and the effectiveness and efficiency of anti-crime programs. Fellows conduct their studies while based at the National Institute of Justice.

Applicant Eligibility:

Fellowship grants are awarded to individuals or to their parent agencies or organizations. IPA appointments also may be negotiated with Fellows' parent agencies. Generally, researchers and academicians working in the criminal justice field, including university or college-based academic researchers and upper-level managers in criminal justice agencies are eligible.

Beneficiary Eligibility:

Generally, researchers and academicians with research experience in criminal-justice or criminal-justice relevant fields are eligible for grants; those working for law enforcement related branches of State or local government units are eligible for grants or IPA appointments. Each prospective candidate must have at least a doctoral-level or a legal degree of J.D. or higher.

Credentials/Documentation:

The applicant must furnish, along with the application for a grant, a resume, a project description which includes the project's scope, a discussion of the state-of-the-art methodology, project period, and the anticipated impact of the study on the justice system. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll free to request a copy. Telephone: (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under "Funding Opportunities." This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable.

Award Procedure:

Grants are awarded by the Institute Director based on the recommendations of the Institute staff and outside reviewers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from application deadline.

Appeals:

Hearing by the Director.

Renewals:

Please contact program office for more information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project durations are normally not less than 6 months nor more than 12 months. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Reports consisting of bi-annual progress reports; and a final report including a summary are required. Other reports may be requested. Fiscal report consisting of quarterly expenditures and budget expenditure reports are required. Performance measure data, as stipulated in the solicitation, are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Non-Profits Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 09 \$75,000; FY 10 est \$100,000; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants may obtain program information by accessing the Institute's web site at www.ojp.usdoj.gov/nij under "Funding Opportunities" or calling NCJRS toll free. Telephone: (800) 851-3420.

Regional or Local Office:

None.

Headquarters Office:

NIJ, National Institute of Justice, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-2942.

Website Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.562 Criminal Justice Research and Development_Graduate Research Fellowships

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All proposals will be reviewed by a peer review panel. Their selection will be based on the applicant's experience, the significance and conceptualization of the project topic, the quality and feasibility of the research design, and the potential impact of the project on the criminal justice system.

16.571 PUBLIC SAFETY OFFICERS' BENEFITS PROGRAM

PSOB

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Safety Officers' Benefits Act of 1976, as amended (42 U.S.C. 3796, Section 1201, Public Law 90-351, Part L of Title I of the Omnibus Crime Control and Safe Streets Act of 1968).

OBJECTIVES:

To provide death benefits to the eligible survivors of federal, state, or local public safety officers whose deaths are the direct and proximate result of a personal (traumatic) injury sustained in the line of duty. Effective November 29, 1990, the Act also provides the same benefit to a public safety officer who has been permanently and totally disabled as the direct result of a catastrophic personal injury sustained in the line of duty. The injury must permanently prevent the officer from performing any gainful work.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

To provide a one-time financial death benefit to the eligible survivors of federal, state, or local public safety officers, and disability benefit to the federal, state, or local public safety officer whose permanent and total disability is the direct and proximate result of a catastrophic personal injury sustained in the line of duty. There are no restrictions to how the monies are spent once they reach the recipient with the exception that monies paid on behalf of minor children must be used for their care and benefit.

Applicant Eligibility:

Public safety officers--A public safety officer is a person serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, firefighter or member of a public rescue squad or ambulance crew. Law enforcement officers include but are not limited to police, corrections, probation, parole and judicial officers. Volunteer firefighters and members of volunteer rescue squads and ambulance crews are covered if they are officially recognized or designated members of legally organized volunteer fire, rescue or ambulance departments. Disabled public

safety officers and eligible survivors of deceased public safety officers in the District of Columbia, Puerto Rico, Guam, Virgin Islands, American Samoa, the Pacific Trust Territories and the Northern Mariana Islands are also entitled to benefits under the Act. Death benefit coverage for (1) state and local law enforcement officers and firefighters applies to deaths occurring on or after September 29, 1976; (2) federal law enforcement officers and firefighters applies to deaths occurring on or after October 12, 1984; (3) federal, state, and local rescue squad and ambulance crew members applies to death occurring on or after October 15, 1986; and (4) Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees applies to deaths occurring on or after October 30, 2000. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000. Disability benefit coverage for federal, state, and local law enforcement officers, firefighters and members of public rescue squads and ambulance crews applies to injuries sustained on or after November 29, 1990. FEMA personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000.

Beneficiary Eligibility:

The Public Safety Officers' Benefits (PSOB) Act, enacted in 1976, was designed to offer peace of mind to men and women seeking careers in public safety and to make a strong statement about the value American society places on the contributions of those who serve their communities in potentially dangerous circumstances. The PSOB Program provides a one-time financial benefit to the eligible survivors of public safety officers whose deaths are the direct and proximate result of a traumatic injury sustained in the line of duty. The death benefit amount is currently \$315,746.

Credentials/Documentation:

Claim forms entitled "Claim for Death Benefits" and "Report of Public Safety Officer's Death" or "Report of Public Safety Officers' Permanent and Total Disability," and supporting family, medical and investigative documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Employing agencies and/or claimants should initiate a claim by writing to or telephoning the Public Safety Officers' Benefits Program, Bureau of Justice Assistance, 810 7th Street NW, Washington, DC 20531. Telephone: (1-888) 744-6513. Upon receiving such notification, program staff will provide claim forms and advise the employing agency and claimant of claim documentation requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit "Claim for Death Benefits" and "Report of Public Safety Officer's Death" or "Report of Public Safety Officers' Permanent and Total Disability," and supporting documentation to the Public Safety Officers' Benefits Program, Bureau of Justice Assistance, 810 7th Street NW, Washington, DC 20531.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, eligible claimants are awarded a lump sum benefit. For Death Benefit: If the officer is survived by spouse, but there are no eligible children, the spouse will receive 100% of the benefit. If the officer is survived by spouse and eligible children, the spouse will receive 50% of the benefit and the children will receive equal shares of the remaining 50%. If the officer is survived by eligible children but no spouse, the children will receive equal shares of the benefit. If the officer is survived by neither a spouse nor eligible children and did not have a life insurance policy on file with his or her employing agency, then the benefit will be made payable to the surviving parents in equal shares.

Deadlines:

Oct 01, 2009 Claim may be filed up to 3 years following the date of a public safety officer's death or catastrophic personal injury; or, in instances of disabling injuries, 1 year after a final determination of entitlement to receive, or denial of, benefits allowed by his/her jurisdiction for such a death or

catastrophic personal injury.

Range of Approval/Disapproval Time:

Dependent on circumstances of death, sufficiency of claim documentation, and/or the need for expert medical reviews. This varies from case to case.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-0403-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$103,628,213; FY 10 est \$66,000,000; FY 11 est \$73,200,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The PSOB Office proactively e-mails its condolences and a PSOB e-packet to public safety agencies upon the death of a public safety officer. All PSOB death claim information is also available in Spanish. Fiscal Year 2010: New in 2010 is the PSOB Call Center. On behalf of survivors of fallen public safety officers nationwide, and officers catastrophically disabled in the line of duty, the PSOB Office now offers a Call Center that is fully staffed Monday through Friday, 7:00 a.m.7:00 p.m., Eastern Standard Time. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 28, Code of Federal Regulations, Part 32.

Regional or Local Office:

None.

Headquarters Office:

Valerie Neal, 810 7th Street, NW, Washington, District of Columbia 20531
Email: AskPSOB@usdoj.gov Phone: (202) 616-6500 or 1-888-744-6513

Website Address:

http://www.ojp.usdoj.gov/BJA/grant/psob/psob_main.html.

RELATED PROGRAMS:

16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation; 16.615 Public Safety Officers' Educational Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.575 CRIME VICTIM ASSISTANCE

FEDERAL AGENCY:

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10603(a).

OBJECTIVES:

Enacted in 1984, the Victims of Crime Act (VOCA) is the central source of federal financial support for direct services to victim of crime. VOCA is administered at the federal level through the U.S. Department of Justice, Office for Victims of Crime which annually awards a grant to each state, the District of Columbia and U.S. Territories to support victim assistance services for victims and survivors of domestic violence, sexual assault, child abuse, drunk driving, homicide, and other crimes. Each state has a designated VOCA assistance agency to administer VOCA grants. Those state agencies in turn, subgrant to organizations that provide direct services to victims of crime. While minimal federal requirements must be met, each state is given great discretion in awarding specific subgrants.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds under this program shall be used by States and territories to provide direct services to crime victims with the following exception: States may retain up to 5 percent of their grant for administrative and training purposes. All States and most Territories receive an annual VOCA victim assistance grant. Each State, the District of Columbia, the U.S. Virgin Islands, and Puerto Rico receive a base amount of \$500,000. The territories of the Northern Mariana Islands, Guam, and American Samoa, each receive a base amount of \$200,000. (Duplicative of next sentence)That portion of the then remaining funds will be divided among all States or territories according to population (U.S. Census Bureau). If the amount available for grants under this program is insufficient to provide \$500,000 to each State, the base amount available shall be distributed equally among the States and territories. A victim assistance program is an eligible crime victim assistance program under VOCA if it: (A) is operated by a public agency or a nonprofit organization, or combination; (B) meets the appropriate match requirements; (C) uses volunteers unless the VOCA administrator grants a waiver for a compelling reason; (D) promotes coordinated public and private efforts to aid crime victims within the community served; (E) assists victims in applying for crime victim compensation; (F) complies with Federal rules regulating VOCA, including Program Guidelines/Rules and the effective edition of the OJP Financial Guide; (G) maintains civil rights information; (H) complies with additional eligibility or service criteria established by the State grantees; (I) provides services to victims of Federal crimes on the same basis as services are provided to victims of State and local crimes; (J) provides services to crime victims without charge unless a waiver is obtained from the State grantee; and, (K) maintains confidentiality of client-counselor and research information. An eligible crime victim assistance program shall expend sums received under subsection (a) only for providing direct services to victims of crime. The chief executive of each State shall (A) certify that priority shall be given to eligible crime victim assistance programs providing assistance to victims of sexual assault, spousal abuse, or child abuse, and to programs serving previously underserved victims of violent crime, as determined by the State, (B) certify that funds awarded to eligible crime victim assistance programs will not be used to supplant State and local funds otherwise available for crime victim assistance; and (C) provide such other information and assurances related to the purposes of this section as the Director may reasonably require. As used in this program, (1) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, and any other territory or possession of the United States; and (2) the term "services to victims of crime" includes (a) crisis intervention services to provide emotional support in cases arising from the occurrence of crime; (b) providing, in an emergency, transportation to court, short-term child care services, and temporary housing and security measures; (c) assistance in participating in criminal justice proceedings; and (d) payment of all reasonable costs for a forensic medical examination of sexual assault victims, to the extent that such costs are otherwise not reimbursed or paid; (3) the term "chief executive" includes a person designated by the governor to perform the functions of the chief executive under this section.

Applicant Eligibility:

Any State, the District of Columbia, all Commonwealths and any other territory or possession of the United States are eligible. Funds will be subgranted to eligible public and nonprofit organizations or combinations of such agencies or organizations or of both such agencies and organizations, who provide direct

services to victims of crime.

Beneficiary Eligibility:

Any member of the general public who has been a victim of a crime or those who are survivors of victims of crime.

Credentials/Documentation:

Applications from the designated State agency for this program must be submitted on Standard Form 424 electronically via the Office of Justice Programs Grants Management System (GMS), at a time specified by the Office for Victims of Crime, Office of Justice Programs. The State grant applicant, by completing the grant application, and by receiving a VOCA victim assistance grant award, certifies: (1) that funds awarded to eligible crime victim assistance programs will not be utilized to supplant State and/or local funds that would otherwise be available for crime victim assistance; (2) that the State will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (3) that the State shall give priority to programs aiding victims of sexual assault, spousal abuse, or child abuse, and to programs serving previously underserved victims of violent crimes as determined by the State; (4) that the State will submit to the Office of Justice Programs Subgrant Award Reports and Performance Reports concerning the activities carried out with the Federal funds received and will maintain and report such data and information as required; (5) that the State will adhere to the audit and financial management requirements set forth in the OJP Financial Guide; (6) that the State will comply with all applicable Federal nondiscrimination requirements; and, (7) certify that the information in the application is correct and that the State will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant applies on-line at <http://www.ojp.usdoj.gov> under "Grants/Funding" once posted by the Office for Victims of Crime.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Once an award is granted to a State, the State will subgrant the monies to private nonprofit and/or public agencies for the purpose specified in the application and in conformance with all general and special conditions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 weeks.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards are for the year of award plus 3 years; applications will be submitted annually.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

States have the year of award plus 3 years to expend the funds. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Subgrant Award Report for each subrecipient of VOCA funds. Quarterly, final financial reports will be required as stipulated in the Program Guidelines/Rules and the OJP Financial Guide. Annual Program Performance reports will be required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend \$500,000 or more in federal funds per organizational fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended. The audit report and transmittal letter must be submitted within 9 months from the end of the state fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of at least three years after the grant has been closed.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 09 \$363,827,013; FY 10 est \$409,949,000; FY 11 est \$409,949,000

Range and Average of Financial Assistance:

Base of \$500,000 to each State, Commonwealth, and the District of Columbia. Territories of the United States receive a base of \$200,000. Remaining dollars will be divided based on population.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidelines/Rules are published in the Federal Register. The OJP Financial Guide is available at <http://www.usdoj.gov/oc>, or call the Office of the Comptroller, Customer Service Center at 1-800-458-0786 to request a copy.

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas, Domestic and International Victim Assistance Services, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ojp.usdoj.gov/ovc/>.

RELATED PROGRAMS:

16.576 Crime Victim Compensation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specifically spelled out in the Victims of Crime Act of 1984, as amended. Additional criteria will be developed by the Office for Victims of Crime. These criteria are listed on the OVC web page www.ojp.usdoj.gov.

16.576 CRIME VICTIM COMPENSATION

FEDERAL AGENCY:

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10602 (a).

OBJECTIVES:

The Office for Victims of Crime (OVC), Department of Justice, administers the Crime Victim Compensation formula grant program. OVC annually awards a grant to each state, the District of Columbia, the U.S. Virgin Islands, and the Commonwealth of Puerto Rico to support state crime victim compensation programs. These programs help pay for some of the expenses resulting from crimes involving violence or abuse.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds under this program shall be used by the States for awards of compensation benefits to crime victims, with the following exception: States may retain up to 5 percent of their total grant for administrative and training purposes. As provided in recent VOCA amendments, formula grant funds to an eligible State crime victim compensation program is based on 60 percent of the amounts States expended during the fiscal year preceding the year of collections for the Crime Victims Fund, other than amounts awarded for property damage. If the sums available in the Fund for grants under this program are insufficient to provide grants of 60 percent as provided above, grants from the sums available will be made to each eligible crime victim compensation program so that all such programs receive the same percentage of the amounts awarded by such programs during the preceding fiscal year, other than amounts awarded for property damage. A crime victim compensation program is an eligible crime victim compensation program if (1) such program is operated by a State and offers compensation to victims and survivors of victims of criminal violence, including drunk driving and domestic violence for: (A) medical expenses attributable to a physical injury resulting from a compensable crime, including expenses for mental health counseling and care; (B) loss of wages attributable to a physical injury resulting from a compensable crime; and (C) funeral expenses attributable to a death resulting from a compensable crime; (2) such program promotes victim cooperation with the reasonable requests of law enforcement authorities; (3) such State, possession or territory certifies that grants received under this program will not be used to supplant funds otherwise available to provide crime victim compensation; (4) such program, as to compensable crimes occurring within the State, possession or territory, makes compensation awards to victims who are nonresidents of the State, possession or territory on the basis of the same criteria used to make awards to victims who are residents of the State, possession or territory; (5) such program provides compensation to victims of Federal crimes occurring within the State on the same basis that such program provides compensation to victims of State crimes; (6) such program does not, except pursuant to rules issued by the program to prevent unjust enrichment of the offender, deny compensation to any victim because of that victim's familial relationship to the offender, or because of the sharing of a residence by the victim and the offender; (7) such program does not provide compensation to any person who has been convicted of an offense under Federal law with respect to any time period during which the person is delinquent in paying a fine, other monetary penalty, or restitution imposed for the offense; and (8) such program provides such other information and assurances related to the purposes of this section as the Director may reasonably require. Section 1403(c), any amount of crime victim compensation that the applicant receives through a crime victim compensation program under this section shall not be included in the income of the applicant until the total amount of assistance that the applicant receives from all such programs is sufficient to fully compensate the applicant for losses suffered as a result of the crime. As used in this section: (1) the term "property damage" does not include damage to prosthetic devices, eyeglasses or other corrective lenses, or dental devices; (2) the term "medical expenses" includes, to the extent provided under the eligible crime victim compensation program, expenses for eyeglasses and other corrective lenses, for dental services and devices and prosthetic devices, and for services rendered in accordance with a method of healing recognized by the law of the State; (3) the term "compensable crime" means a crime the victims of which are eligible for compensation under the eligible crime victim compensation program, and includes crimes involving driving while intoxicated and domestic violence; and (4) the term "State" includes the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, and any other possession or territory of the United States.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, and any other possession or territory of the United States who have an established eligible crime victim compensation program, and who meet the eligibility requirements discussed above.

Beneficiary Eligibility:

Victims of crime that results in death or physical or personal injury and are

determined eligible under the State victim compensation statute. State compensation statutes either declare that coverage extends generally to any crime resulting in physical or personal injury, or they list all specific crimes that can be covered.

Credentials/Documentation:

The Governor designated State agencies are required to submit the following information and assurance along with the application for assistance: (1) a statement certified by the individual designated by the Governor to administer the VOCA crime victims compensation grant. This statement should specify the total amount of money spent by the program from State funding sources for crime victim compensation awards in a given Federal fiscal year; (2) the amount of such compensation paid for "property damage"; (3) the total amount and each source of revenue for the program; (4) a copy of the State statute or other legal authority establishing the program and any amendments thereto, and (5) an assurance that funds received under the Act will not be used to supplant State funds otherwise available for crime victim compensation. Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant applies on-line at <http://ojp.usdoj.gov> under "Grants/Funding" once posted by the Office for Victims of Crime.

Award Procedure:

An award is granted by the Director of the Office for Victims of Crime, the Office of Justice Programs. The award must be accepted and signed by the applicant with assurance of compliance with standard and special conditions of the grant award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 weeks.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards are for the year of award plus 3 years. Applications will be annually.

Formula and Matching Requirements:

Statutory Formula:

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Compensation funds may be carried forward for obligation by the grantee for 3 years subsequent to the fiscal year of award. Funds are released via electronic funds transfer. States must be enrolled in the Automated Clearinghouse (ACH) Vendor Express Program to request any Federal funds. See the following for information on how assistance is awarded/released: Please contact program office for more detail.

Reports:

Program reports are not applicable. Cash reports are not applicable. Both quarterly and final financial status reports are required as stipulated in the effective edition of the OJP Financial Guide. In addition, an annual program performance report to OVC on the effect that the Federal funds had on the program will be required as stipulated in the Program Guidelines/Rules. See above. Performance measures, as specified in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend \$500,000 or more in federal funds per organizational fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended. The audit report and transmittal letter must be submitted within 9 months from the end of the state fiscal year.

Records:

The annual program reporting requirement and accounts concerning the expenditures of the award shall be maintained during the award and retained for three years thereafter.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 09 \$181,963,959; FY 10 est \$200,228,000; FY 11 est \$200,228,000

Range and Average of Financial Assistance:

Each State receives 60 percent of its prior year payout of State compensation funds.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidelines/Rules are published in the Federal Register. The OJP Financial Guide is available at <http://www.ojp.usdoj.gov/financialguide/>, or by calling the Office of the Chief Financial Officer, Customer Service Center at (1-800) 458-0786 to request a copy.

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas, Associate Director, Domestic and International Victim Assistance Services, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ojp.usdoj.gov/ovc/>.

RELATED PROGRAMS:

16.575 Crime Victim Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Victims of Crime Act of 1984, as amended, and Program Guidelines/Rules published in the Federal Register.

16.578 FEDERAL SURPLUS PROPERTY TRANSFER PROGRAM

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, as amended, and codified at 40 U.S.C. Section 553(b)(1)(2). Property for correctional facility, law enforcement, and emergency management response purposes.

OBJECTIVES:

To facilitate the possible no cost conveyance, by the General Services Administration, to State and local governments, of surplus real and related personal property determined by the Attorney General to be required for correctional facility use, under programs or projects for the care or rehabilitation of criminal offenders, and for law enforcement purposes.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Deed of Conveyance. The Deed of Conveyance of any surplus real and related personal property disposed of under this program - (1) shall provide that all of the property be used and maintained for the purpose for which it was conveyed in perpetuity, and that if the property ceases to be used or maintained for that purpose, all or any portion of the property shall, in its then existing condition, at the option of the Government, revert to the Government; and (2) may contain additional terms, reservations, restrictions, and conditions that the Administrator determines are necessary to safeguard the interests of the Government.

Applicant Eligibility:

State governments, and political subdivision or instrumentality of State governments. Under this program, the term "State" is defined by 40 U.S.C. Section 553(a) to include the District of Columbia, Puerto Rico, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, The Marshall Islands, Palau, and the Northern Mariana Islands.

Beneficiary Eligibility:

State, local and territorial governments, that are engaged in activities to control or reduce crime and juvenile delinquency or the enforcement of criminal law or the care or rehabilitation of criminal offenders.

Credentials/Documentation:

DOJ/OJP/BJA application for a correctional facility use or law enforcement purpose determination. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Notify BJA and GSA or DOD by letter, of the State's interest in an identified property. Applicants interested in applying for property under the Base Realignment and Closure Act (BRAC) must consult with their designated Local Redevelopment Authority for the community's plan for economic recovery. A list of the recognized LRAs can be viewed at: <http://www.oea.gov/OEAWeb.nsf/Home?OpenForm>. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of a completed DOJ/OJP/BJA application kit, including environmental information.

Award Procedure:

(1) Applicant must be a State or local governmental entity; (2) applicant must propose a correctional facility use or law enforcement purpose consistent with the requirements set forth in 40 U.S.C. Section 553(b)(1) and (2).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

N/A. See the following for information on how assistance is awarded/released: Please contact BJA.

Reports:

Program reports are not applicable. Cash reports are not applicable. No progress

reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

None.

Account Identification:

15-0401-0-1-754.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. General Services Administration: www.propertydisposal.gsa.gov. Office of Economic Adjustment: <http://www.defenselink.mil/brac/>.

Headquarters Office:

M. Berry, 810 Seventh Street, N.W., 4th Floor, Washington, DC 20531., Washington, District of Columbia 20531 Phone: (202) 616-6500.

Website Address:

Federal Surplus Real Property Conveyance Program: <http://www.ojp.usdoj.gov/bja>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**16.582 CRIME VICTIM ASSISTANCE/DISCRETIONARY GRANTS
FEDERAL AGENCY:**

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

42 U.S.C. 10603(c)(1)(A); 42 U.S.C. 10603(c)(1)(B); 42 U.S.C. 10603d(a); and 42 U.S.C. 10603e(a).

OBJECTIVES:

The Office for Victims of Crime (OVC) administers a discretionary grant program and other assistance programs for crime victims with amounts set-aside from deposits into the Crime Victims Fund for (a) demonstration projects and training and technical assistance services to eligible crime victims assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. The purpose of the demonstration and training and technical assistance grants is to improve the overall quality of services delivered to crime victims through the provision of training and technical assistance to providers. Of the amount available for training and technical assistance and services to victims of Federal crimes, no less than 50 percent shall be used for demonstration programs and training and technical assistance, and not more than 50 percent for services to victims of Federal crimes.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available specifically for (a) demonstration projects and training and technical assistance service to eligible crime victim assistance programs; and (b) for the financial support of services to victims of Federal crime by eligible crime victim assistance programs. For the purpose of the grants authorized in 42 U.S.C. 10603, an eligible crime victim assistance program is defined as: (a) operated by a public agency or a nonprofit organization, or a combination of such agencies or organizations or both such agencies and organizations, and providing service to victims of crime; (b) demonstrating (i) a record of providing effective services to victims of crime and financial support from sources other than the Fund; or (ii) substantial financial support from sources other than the Fund; (c) utilizing volunteers in providing such services, unless to the extent the chief executive determines that compelling reasons exist to waive this requirement; (d) promoting within the community served coordinated public and private efforts to the crime victims; and (e) assisting potential recipients in seeking crime victim compensation benefits. For the purpose of grants authorized for assistance to victims of federal crime, services includes (a) training of law enforcement personnel in the delivery of services to victims of Federal crime; (b) preparation, publication, and distribution of informational materials, setting forth services offered to victims of crime; and concerning services for victims of Federal crime for use by Federal law enforcement and other responsible Federal officials; and (c) salaries of personnel who provide services to victims of crime, to the extent that these personnel provide such services.

Applicant Eligibility:

Criteria will vary depending on the grant or grant program. Generally, eligible applicants may include American Indian/Alaska Native Tribes and tribal organizations, States, United States Attorneys' offices, universities and colleges, eligible public agencies that provide victim services and private nonprofit agencies. Applicants for Tribal Victim Assistance grants must be an Indian Tribe, Tribal organization, partnership or nonprofit organization that provides direct services to victims of crime in Indian Country.

Beneficiary Eligibility:

Eligible victim assistance agencies. Eligibility depends on the nature of the grant but may include a wide variety of public and private nonprofit agencies.

Credentials/Documentation:

Competitive applications under this program must be submitted electronically via Grants.gov or the Office of Justice Programs Grants Management System, as specified. OVC develops solicitations and application guidelines that includes funding strategy, eligibility requirements, required certifications that must be submitted at a time specified by the Office for Victims of Crime, Office of Justice Programs and must contain the following certification and assurances: (1) assure that the applicant will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (2) assure that the applicant will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide; (3) assure that the applicant will comply with all applicable nondiscrimination requirements including civil rights compliance, non-discrimination against eligible applicants that are faith or community-based organizations, services to persons with Limited English Proficiency, and protection of human research subjects; (4) certify that the applicant will comply with certifications regarding Lobbying, Debarment, Suspension, and Drug-Free Workplace Requirements (OJP Form 4061/6); and other responsibility matters; and, (5) certify that the information in the application is correct and that the applicant will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, (including subtitle A, Title II of the Americans with Disabilities Act (ADA) 1990) regulations, and circulars. Costs will be determined in accordance with OMB Circular No. A-87 or OMB Circular No. A-133. Applicants from other types of agencies will use forms to be provided by OVC. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In accordance with the Common Rule, Standard Form 424 must be submitted electronically at Grants.gov by nonfederal agencies in applying for funding under this program. Forms for funds other than grants or for use by Federal agencies will be supplied by OVC.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director. The Assistant Attorney General, Office of Justice Programs has final approval authority.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards average 12 months and range from 6 to 24 months, unless otherwise noted. Supplements are considered on a case by case basis. (See OVC guidelines for the Antiterrorism and Emergency Assistance Program for Terrorism and Mass Violence Crimes.).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There are no formula or matching requirements for discretionary funding, unless stated in the funding applications. The State Victim Assistance Academy grants require a 25 percent "in-kind" match. The Tribal Victim Assistance Discretionary Grant Program, the Counseling and Faith-Based Services for Crime Victims in Indian Country for Crime victims in Indian Country by Faith-Based Organizations, and the Childrens Justice Act Partnerships for Indian Communities grant program do not require a match during current grant cycles. Federal agencies are not expected to provide a financial contribution, but in general are asked to demonstrate a commitment to continuing the funded effort after OVC funding is terminated.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Any amount awarded that remains unspent at the end of a fiscal year in which the award is made may be expended for the purpose for which the award is made at any time during the three succeeding fiscal years, at the end of which period, any remaining unobligated sums shall be available for deposit into the emergency reserve fund referred to in subsection (d)(5) of the VOCA at the discretion of the Director. Any remaining unobligated sums shall be returned to the Fund. Funds are released via the Electronic Transfer System (formerly the Letter of Credit System) on an as needed basis to the Recipient. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable.

Semi-annual progress reports will be required as stipulated by the program office. A final financial and program report also will be required. Quarterly financial reports will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. Performance measure data is required, as stipulated in the solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of three years.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants) FY 09 \$29,553,541; FY 10 est \$31,892,000; FY 11 est \$31,892,000

Range and Average of Financial Assistance:

For the Federal Crime Victims Division, awards range from approximately \$40,000 to \$500,000 with an approximate average of \$75,000 per award. For the Program Development and Dissemination Division from \$25,000 to \$1,000,000 with an average of \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: OVC supports the development of training, technical assistance, and demonstration projects to expand and improve the quality of services to crime victims. In recent years, OVC has directed substantial funding to developing resources for the field that will assist victim advocates and other allied practitioners in reaching and serving victims from populations that have been largely underserved including victims with disabilities, immigrant victims, victims in rural areas or large urban centers, victims from cultural, ethnic and racial minority groups, teen victims, and victims who are Lesbian, Gay, Bisexual, Transgendered, and Questioning. Serving child and adult victims of sexual assault remains problematic for many communities and OVC continues to support several training and technical resources that foster the replication of Sexual Assault Response Teams across the nation, OVC also funds a biennial Sexual Assault Response Team (SART) National Training Conference to provide multi-disciplinary training to SART members. Various other solicitations provide funding for projects in Indian Country. These grants support victim assistance programs for Indian tribes under Federal criminal justice jurisdiction, including 17 victim assistance programs, one elder abuse demonstration program, a training and technical assistance grant for OVC's American Indian/Alaska Native grantees, tribal CASA programs, grants to support faith-based organizations which provide counseling services to American Indian/Alaska Native crime victims and training to tribal leaders on the critical role of their support of tribal victim assistance programs. OVC also has funded the planning and implementation of State Victim Assistance Academies to meet the entry-level educational and training needs of a broad range of victim assistance providers and allied professionals who work directly with victims of crime. OVC also supports public awareness initiatives on crime victims' rights and issues, including the annual dissemination of a resource kit to the field to assist their activities during National Crime Victims Rights Week (NCVRW) and the sponsorship of an annual NCVRW awards ceremony for exemplary service providers and organizations. OVC has funded several key projects that focus on strengthening collaboration and cross-training between victim assistance and faith-based providers, in order to improve services for crime victims. OVC has continued to fund assistance and services for victims of terrorist attacks. Assistance has included toll-free information lines, Family web sites, informational briefings, travel to criminal Justice proceedings, and mental health counseling. In addition, OVC works in liaison with other Federal agencies to coordinate services for victims of terrorism; has worked with the Center for Mental Health Services at HHS to develop training for mental health service providers on assisting victims of terrorism and mass violence; is working with the Office for Domestic Preparedness to provide training to enhance the capacity of first responders to deal effectively with victims of terrorism; provides funding for a community-based assessment and planning process to help states and local communities design and implement a strategic plan for responding to victims of criminal mass violence; and provides funding to track kidnapped children taken across international borders (in parental abductions) to help their left-behind parents attend court hearings and return to

the US with their children. In FY 2009, OVC continued many of these projects as well as set aside money in the Antiterrorism Emergency Reserve to respond to future incidents of terrorism and mass violence occurring both within the United States and abroad and to fund an International Terrorism Victim Expense Reimbursement Program. Other examples of programs to be funded in FY 2009 include intensive case management programs for family members of homicide victims in rural areas; tribal victim assistance programs, including the Tribal Victim Assistance Program, the Counseling and Faith-Based Services in Indian Country program and the National Court-Appointed Special Advocates in Indian Country initiative; identity theft victim assistance collaborative networks; and new public awareness and outreach programs focused on underserved communities as well as national-scope partnership projects with allied professionals. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Current solicitations and application guidelines and the current edition of the OJP Financial Guide, are available on line at the OVC webpage at <http://www.ovc.gov>.

Regional or Local Office:

None.

Headquarters Office:

Office for Victims of Crime, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ovc.gov>.

RELATED PROGRAMS:

16.320 Services for Trafficking Victims; 16.321 Antiterrorism Emergency Reserve; 16.575 Crime Victim Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Victims of Crime Act, 42 U.S.C. Section 10601-10604 (Supp. 1981). Additional criteria may be developed by the Office for Victims of Crime and will be published in the OJP grant packages.

16.583 CHILDREN'S JUSTICE ACT PARTNERSHIPS FOR INDIAN COMMUNITIES

FEDERAL AGENCY:

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

Victims of Crime Act of 1984, as amended, Public Law 98-473; Children's Justice and Assistance Act of 1986, Public Law 99-401, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690, Section 1402 (g)(L); Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act of 1995; Violent Crime Control and Law Enforcement Act of 1994; Subtitle C, Public Law 104-132; Anti-Terrorism and Effective Death Penalty Act of 1996; Child Abuse Prevention and Treatment Enforcement Act of 2000; Omnibus Consolidated Appropriations Act of 1997, Public Law 104-298; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386.

OBJECTIVES:

Fifteen percent of the first \$20 million of funds from the Crime Victims Fund that are transferred to the Department of Health and Human Services as part of the Children's Justice Act are to be statutorily reserved by the Office for Victims of Crime (OVC) to make grants for the purpose of assisting American Indian/Alaska Native communities improve the investigation, prosecution and case management of child abuse, sexual abuse, and physical abuse cases, particularly cases of child sexual abuse, in a manner which lessens trauma to the child victims.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available specifically for the purpose of assisting American Indian/Alaska Native communities improve the investigation, prosecution and case management of child abuse, sexual abuse, and physical abuse cases, particularly cases of child sexual abuse, in a manner which lessens trauma to the child victims.

Applicant Eligibility:

Federally recognized Indian tribal governments and nonprofit Indian organizations that provide services to American Indians and Alaska Natives. Specific criteria will vary depending on the grant.

Beneficiary Eligibility:

American Indian youth who are victims of child abuse and/or child sexual abuse.

Credentials/Documentation:

Applications must be on Standard Form 424 at a time specified by the Office for Victims of Crime, Office of Justice Programs and must: (1) contain OJP Form 4061/6 (Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements); (2) assure that the grantee will provide such accounting, auditing, monitoring and evaluation procedures as may be necessary, and keep such records as the Office of Justice Programs may prescribe, to assure fiscal control, proper management and efficient disbursement of Federal funds; (3) assure that the Grantee will submit to the Office of Justice Programs a semiannual program performance report of data and information as required; (4) assure that the Grantee will adhere to the audit and financial management requirements set forth in the effective edition of the OJP Financial Guide, and (5) certify that the information in the application is correct and that the Grantee will comply with all applicable provisions of the Victims of Crime Act and other Federal laws, regulations, and circulars, including Subtitle A, Title II of the Americans With Disabilities Act (ADA) 1990. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In accordance with the Common Rule, Standard Form 424 must be submitted by nonfederal agencies applying for funding under this program. Competitive solicitations are announced on Grants.gov.

Award Procedure:

The Director, Office for Victims of Crime, Office of Justice Programs has final approval authority. Grants are made directly to Indian tribes as defined in Section 450(b) of the Indian Self Determination and Education Assistance Act. Awards may also be granted to nonprofit organizations that provide services to American Indians or State or local governments. Generally, awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Hearing by the Assistant Attorney General, Office of Justice Programs.

Renewals:

Awards range from 12 to 36 months. Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally awarded for a 12 to 36 month time period. See the

following for information on how assistance is awarded/released: Please see program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual program performance reports will be required as stipulated by the program office. Quarterly Federal Financial Reports (SF 425) will be required as stipulated in the effective edition of the OJP Financial Guide. A final financial and program report also will be required. Performance measure data are required as specified in the solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of three years.

Account Identification:

15-5041-0-2-754.

Obligations:

(Project Grants) FY 09 \$3,007,825; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The 1st Annual Training Symposium was conducted. Current grantees received training on topics relevant to enhance their capacity building efforts. Topics include: Compassion Fatigue & Vicarious Trauma; the Investigation Process; Evidence Collection and Collaboration. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applications and current edition of the Financial Guide are available by writing to the Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, DC 20531.

Regional or Local Office:

None.

Headquarters Office:

Renee Williams, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington,, District of Columbia 20531 Email: Renee.Williams@usdoj.gov Phone: (202) 616-3218 or 202-616-9559.

Website Address:

<http://www.usdoj.gov>.

RELATED PROGRAMS:

16.582 Crime Victim Assistance/Discretionary Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Mississippi Band of Choctaw Indians, Choctaw, MS have a fully operational child advocacy center. Lower Brule Sioux Tribe, Lower Brule, SD are working to develop a child-friendly curriculum. Iowa Trive of Oklahoma, Perkins, OK has established a CPT and is currently working with the training and technical assistance provider to develop a training strategy for the CPS. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are spelled out in the Anti-Drug Abuse Act of 1988, Public Law 100-690, Section 1402. Additional criteria will be developed by the Office for Victims of Crime and will be announced at www.ojp.usdoj.gov/fundopps.htm.

16.585 DRUG COURT DISCRETIONARY GRANT PROGRAM

Drug Court Program (DCP)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

The Drug Court Program is authorized under Title I, Part EE, of the Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351d, Title I, 82 Stat. 197, as amended by the 21st Century Department of Justice Appropriations Authorization Act, Public Law 107-273, Division B, Title II, Subtitle C, 116 Stat. 1758 (2002).

OBJECTIVES:

The Drug Court Discretionary Grant Program provides financial and technical assistance to states, state courts, local courts, units of local government, and Indian tribal governments to develop and implement treatment drug courts that effectively integrate substance abuse treatment, mandatory drug testing, sanctions and incentives, and transitional services in a judicially supervised court setting with jurisdiction over nonviolent, substance-abusing offenders.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable uses of funds are outlined in the Drug Court Program Guideline available from the Department of Justice Response Center. Drug Court Programs under Title V will be for nonviolent adult and juvenile offenders and involve early and continuous judicial supervision over the nonviolent substance abusing offenders and the integrated administration of sanctions and services including: (1) mandatory periodic testing for the use of controlled substances or other addictive substances during any period of supervised release or probation; (2) substance abuse treatment for each participant; (3) diversion, probation, or other supervised release involving the possibility of prosecution, confinement, or incarceration based on noncompliance with program requirements; and (4) programmatic offender management and aftercare services.

Applicant Eligibility:

Grants can be given to states, state courts, local courts, units of local government and Indian tribal governments, acting directly or through agreements with other public or private entities. Applicants may choose to submit joint applications with other eligible jurisdictions for statewide, regional, and multijurisdictional drug court programs. With joint applications, one organization must be designated as the applicant and any coapplicants designated accordingly. The applicant organization must be eligible and the other agencies/organizations must provide supporting documentation. All applicants must demonstrate that they have the management and financial capabilities to effectively plan and implement projects of the size and scope described in the application kit. Nonprofit and for-profit agencies are not eligible applicants. For an application from a subunit of government (e.g., county probation department, district attorney's office, pretrial services agency) to be considered, it must be designated by letter as representing an eligible applicant (described above). For example, the county court or county executive may designate the county probation or county district attorney's office as its representative for the purpose of application. In this instance, the applicant continues to be the designating state, court system, or unit of local government. The county probation, district attorney's office, or other designated subunit, is the organization authorized to submit an application on behalf of the eligible applicant.

Beneficiary Eligibility:

States, local governments, Indian tribal governments, public or private entities.

Credentials/Documentation:

The application must include: Application for Federal Assistance (Standard Form 424); applicant Information page; a one-page program abstract summarizing the goals and objectives of the grant request; program narrative;

letters of support; detailed budget and budget narrative; administrative requirements; and assurances and certifications. See program announcement for more information. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Prospective applicants may view the preapplication requirements by visiting the web site at <http://www.ojp.usdoj.gov/BJA/grant/drugcourts.html>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All competitive grant applications must be submitted electronically through the Grant Management System (GMS) at <http://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

An award is granted by the Assistant Attorney General, Office of Justice Programs, and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Grants can be extended by submitting a written justification for an extension to the Bureau of Justice Assistance office for review. Extensions are subject to approval by the Bureau of Justice Assistance and renewals subject to congressional funding.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. The federal share of a grant received under this subtitle may not exceed 75 percent of the total cost of an applicant's projected budget. The matching requirement is a 25 percent match provided by the applicant. A portion of the match must be cash. This is required by statute; the term "portion" is not defined. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period will be up to 3 years for Implementation Grants, up to 2 years for Enhancement Grants. See the following for information on how assistance is awarded/released: By reimbursement.

Reports:

Drug Court Grantee Data Collection Survey. No cash reports are required. "Progress Reports," which consist of semi-annual program progress reports. "Fiscal Reports," which consist of quarterly budget expenditure reports. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measure the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, the Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow

their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

All grantees are required to establish and maintain accounting systems and financial records in order to account for funds awarded to them.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$40,740,674; FY 10 est \$45,396,148; FY 11 est \$0

Range and Average of Financial Assistance:

Up to \$350,000 for Implementation grants, up to \$200,000 for Enhancement grants, and up to \$300,000 for Joint Solicitation Enhancement grants.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Tim Jeffries, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

<http://www.ojp.usdoj.gov/bja>.

RELATED PROGRAMS:

16.523 Juvenile Accountability Block Grants; 16.579 Edward Byrne Memorial Formula Grant Program; 16.580 Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program; 93.959 Block Grants for Prevention and Treatment of Substance Abuse

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Ninety-three Drug Court grants were awarded in addition to four awards for Training and Technical Assistance providers. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are described in the OJP Program Announcement.

16.590 THE COMMUNITY-DEFINED SOLUTIONS TO VIOLENCE AGAINST WOMEN GRANT PROGRAM

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title I, Section 102, Public Law 109-162; Violence Against Women Act of 2000, Public Law 106-386; Violent Crime Control and Law Enforcement Act of 1994, 42 U.S.C. 3796hh, as amended.

OBJECTIVES:

To encourage States, Indian tribal governments, State and local courts (including juvenile courts), tribal courts, and units of local government to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for the following statutory program purposes: (1) To implement proarrest programs and policies in police departments, including policies for protection order violations. (2) To develop policies, educational programs, protection order registries, and training in police departments to improve tracking of cases involving domestic violence, dating violence, sexual assault, and stalking. Policies, educational programs, protection order registries, and training described in this paragraph shall incorporate confidentiality, and privacy protections for victims of domestic violence, dating violence, sexual

assault, and stalking. (3) To centralize and coordinate police enforcement, prosecution, or judicial responsibility for domestic violence, dating violence, sexual assault, and stalking cases in teams or units of police officers, prosecutors, parole and probation officers, or judges. (4) To coordinate computer tracking systems to ensure communication between police, prosecutors, parole and probation officers, and both criminal and family courts. (5) To strengthen legal advocacy service programs for victims of domestic violence, dating violence, sexual assault, and stalking, including strengthening assistance to such victims in immigration matters. (6) To educate judges in criminal and civil courts (including juvenile courts) about domestic violence, dating violence, sexual assault, and stalking and to improve judicial handling of such cases. (7) To provide technical assistance and computer and other equipment to police departments, prosecutors, courts, and tribal jurisdictions to facilitate the widespread enforcement of protection orders, including interstate enforcement, enforcement between States and tribal jurisdictions, and enforcement between tribal jurisdictions. (8) To develop or strengthen policies and training for police, prosecutors, and the judiciary in recognizing, investigating, and prosecuting instances of domestic violence and sexual assault against older individuals (as defined in section 3002 of this title) and individuals with disabilities (as defined in section 12102(2) of this title). (9) To develop State, tribal, territorial, or local policies, procedures, and protocols for preventing dual arrests and prosecutions in cases of domestic violence, dating violence, sexual assault, and stalking, and to develop effective methods for identifying the pattern and history of abuse that indicates which party is the actual perpetrator of abuse. (10) To plan, develop and establish comprehensive victim service and support centers, such as family justice centers, designed to bring together victim advocates from non-profit, non-governmental victim services organizations, law enforcement officers, prosecutors, probation officers, governmental victim assistants, forensic medical professionals, civil legal attorneys, chaplains, legal advocates, representatives from community-based organizations and other relevant public or private agencies or organizations into one centralized location, in order to improve safety, access to services, and confidentiality for victims and families. Although funds may be used to support the colocation of project partners under this paragraph, funds may not support construction or major renovation expenses or activities that fall outside of the scope of the other statutory purpose areas. (11) To develop and implement policies and training for police, prosecutors, probation and parole officers, and the judiciary in recognizing, investigating, and prosecuting instances of sexual assault, with an emphasis on recognizing the threat to the community for repeat crime perpetration by such individuals. (12) To develop, enhance, and maintain protection order registries. (13) To develop human immunodeficiency virus (HIV) testing programs for sexual assault perpetrators and notification and counseling protocols.

Applicant Eligibility:

Grants are available to States, Indian tribal governments, units of local government, and State, tribal, territorial, and local courts.

Beneficiary Eligibility:

Beneficiaries include criminal and tribal justice practitioners, domestic violence, dating violence, sexual assault and stalking victim advocates, and other service providers who respond to victims of domestic violence, dating violence, sexual assault, and stalking.

Credentials/Documentation:

Eligible applicants must: (1) certify that their laws or official policies-- (A) encourage or mandate arrests of domestic violence offenders based on probable cause that an offense has been committed; and (B) encourage or mandate arrest of domestic violence offenders who violate the terms of a valid and outstanding protection order; (2) demonstrate that their laws, policies, or practices and their training programs discourage dual arrests of offender and victim; (3) certify that their laws, policies, or practices prohibit issuance of mutual restraining orders of protection except in cases where both spouses file a claim and the court makes detailed findings of fact indicating that both spouses acted primarily as aggressors and that neither spouse acted primarily in self-defense; (4) certify that their laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence offense, or in connection with the filing, issuance, registration, or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, stalking, or sexual assault, that the victim bear the costs associated

with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal, or local jurisdiction; and (5) certify that, not later than 3 years after January, 5, 2006, their laws, policies, or practices will ensure that-- (A) no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of a sex offense as defined under Federal, tribal, State, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of such an offense; and (B) the refusal of a victim to submit to an examination described in subparagraph (A) shall not prevent the investigation of the offense. In addition, a State or unit of local government shall not be entitled to 5 percent of the funds allocated under this program unless the State or unit of local government-- (1) certifies that it has a law or regulation that requires-- (A) the State or unit of local government at the request of a victim to administer to a defendant, against whom an information or indictment is presented for a crime in which by force or threat of force the perpetrator compels the victim to engage in sexual activity, testing for the immunodeficiency virus (HIV) not later than 48 hours after the date on which the information or indictment is presented; (B) as soon as practicable notification to the victim, or parent and guardian of the victim, and defendant of the testing results; and (C) follow-up tests for HIV as may be medically appropriate, and that as soon as practicable after each such test the results be made available in accordance with subparagraph (B); or (2) gives the Attorney General assurances that its laws and regulations will be in compliance with requirements of paragraph (1) within the later of -- (A) the period ending on the date on which the next session of the State legislature ends; or (B) 2 years. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application forms furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of application will follow Office on Violence Against Women policies and procedures for the administration of grant applications. This program is subject to provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

Not Applicable.

Renewals:

Renewals are considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Grants will be made for amounts up to 100 percent of the costs of the programs or projects contained in the approved applications. Match is not required for this grant program; however, applicants are encouraged to maximize the impact of Federal dollars by contributing to the cost of the project. Supplemental contributions may be cash,

in-kind services, or a combination of both.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 24 months. Funds are released on an as-needed basis to the grantee.
Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly financial reports are required as stipulated in the program regulations and the effective edition of the OJP Financial Guide. No cash reports are required. Semi-annual progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 24, 1997), "Audits of States, Local Governments, and Nonprofit Organization," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$52,896,571; FY 11 est not reported.

Range and Average of Financial Assistance:

Range: \$376,213 - \$500,000;
Average: \$438,106.50.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Applications: 200. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The OJP Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Josh Kamp 800 K Street, NW Suite 850, Washington, District of Columbia 20001 Email: joshua.kamp@usdoj.gov Phone: 2023532639

Website Address:

<http://www.ovw.usdoj.gov> .

RELATED PROGRAMS:

16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual Application Kit.

16.593 RESIDENTIAL SUBSTANCE ABUSE TREATMENT FOR STATE PRISONERS

RSAT

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Title I, Section 1001, as amended, Public Law 90-351, 42 U.S.C. 3796ff et seq.

OBJECTIVES:

The Residential Substance Abuse Treatment (RSAT) for State Prisoners Program helps states and local governments to develop and implement substance abuse treatment programs in state and local correctional and detention facilities and to create and maintain community-based aftercare services for offenders.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Residential Substance Abuse Treatment formula grant funds may be used to implement residential substance abuse programs that provide individual and group treatment activities for offenders in residential facilities operated by State and local correctional agencies. These programs must 1) last between 6 and 12 months; 2) be provided in residential treatment facilities set apart from the general correctional population; 3) focus on the substance abuse problems of the inmate; and 4) develop the inmate's cognitive, behavioral, social, vocational, and other skills to solve the substance abuse and related problems. Grant funds shall not be used for land acquisition or construction projects.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands are eligible to apply. The State office may award subgrants to State agencies and units of local government. Applicant States must agree to implement or continue to require urinalysis and/or other proven reliable forms of drug and alcohol testing of individuals assigned to residential substance abuse treatment programs in correctional facilities.

Beneficiary Eligibility:

State and local correctional agencies will implement programs to provide treatment to incarcerated offenders.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the State will coordinate substance abuse treatment activities at the State and local levels, and the State's law or policy requiring substance abuse testing of individuals in correctional residential substance abuse treatment programs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Immediately after enactment of the appropriations (usually in the first quarter of the fiscal year), BJA notifies the Byrne State Administering Agencies (SAAs) that the program solicitation is available online. The Byrne SAAs then have 60 days in which to submit an application through the online, Internet-based Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Formula grant applicants will be notified in writing of reasons for disapproval of their application and will be given an opportunity for reconsideration.

Renewals:

Formula grants will be renewed each year.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Grant funds are allocated to the States using the following formula: a) each participating State is allocated a base amount of 0.4 percent of the total funds available for the program; and b) the remaining funds are allocated to each participating State in the ratio its prison population bears to the total prison population of all participating States. The most recent National Prisoner Statistics collected by the Bureau of Justice Statistics will be used to make these allocations. The Federal share of a grant-funded project may not exceed 75 percent of the total costs of the project. The 25 percent matching funds must be in the form of a cash match. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period that includes the fiscal year of the appropriation plus two additional years. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual. Quarterly financial reports are required. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measure the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 09 \$10,304,629; FY 10 est \$30,000,000; FY 11 est \$30,000,000

Range and Average of Financial Assistance:

Approximately \$250,000 to \$6.8 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Timothy Wight Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: (202) 616-6500

Website Address:

<http://www.ojp.usdoj.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals to implement State and local residential substance abuse treatment programs for prisoners with formula grant funds will be defined by the States.

16.595 COMMUNITY CAPACITY DEVELOPMENT OFFICE

The Weed and Seed Program

FEDERAL AGENCY:

Executive Office for Weed and Seed, Department of Justice

AUTHORIZATION:

Violence Against Women and Dept. of Justice Reauthorization Act of 2005, Section 1121, Public Law 109-162.

OBJECTIVES:

The Program's objective is national implementation of Operation Weed and Seed. Operation Weed and Seed is a comprehensive, multi-disciplinary approach to combating violent crime, drug use, and gang activity in high crime neighborhoods. The goal is to "weed out" violence and drug activity in high crime neighborhoods and then to "seed" the sites with a wide range of crime and drug prevention programs, human service resources, and neighborhood restoration activities to prevent crime from reoccurring. The strategy emphasizes the importance of a coordinated approach, bringing together Federal, State and local government, the community, and the private sector to form a partnership to create a safe, drug-free environment.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Weed and Seed funding is for grants, cooperative agreements, and contracts, with non-profit entities, State and local agencies engaged in the investigation and prosecution, and prevention of violent crimes and drug offenses in "Weed and Seed" designated communities, and for either reimbursements or transfers to appropriation accounts of the Department of Justice and other Federal agencies which shall be specified by the Attorney General to execute the "Weed and Seed" program strategy.

Applicant Eligibility:

The eligible applicant is a coalition of community residents, local, county, and State agencies, Federal agencies, and the private sector.

Beneficiary Eligibility:

Eligibility criteria for selecting and funding Weed and Seed sites are as follows: High incidence of violent crime; existing, workable community infrastructure; cooperative governmental partnerships; good cooperation between governmental and private civic and social service organizations; cooperative business community; strong U.S. Attorney Office; and history of innovative programming at the local level. If a large city is being considered, the project site should be a clearly, easily identifiable section of the metropolitan area. The strategy also seeks to encompass available funding from reprogrammable Federal program dollars and existing private/local leveraged funds.

Credentials/Documentation:

An interested community should establish contact with the United States Attorney, who convenes a formal steering committee. The steering committee, through the guidance and facilitation of the United States Attorney, produces an implementation plan along the lines set forth in the Weed and Seed

Implementation Manual. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The DOJ solicitations for continuation and competitive applications for fiscal year 2006 funding were issued in April 2006. A competitive applications kit was issued in April 2006 for sites which are interested in becoming Weed and Seed Communities. Letters of intent to apply will be due in late June 2006 with full applications due in January 2007.

Award Procedure:

As set forth in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

As provided in the application.

Appeals:

As provided in the application.

Renewals:

As provided in the application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Approximately 1-year funding is provided, subject to consideration for continuation for up to 5 years based on past performance and the availability of funding. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Please contact program office for more information. Quarterly financial reports are required. Performance measures, as specified in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$300,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Community Capacity Development Office files and Office of Justice Programs files.

Account Identification:

15-0334-0-1-751.

Obligations:

(Project Grants) FY 09 \$27,091,820; FY 10 est \$19,580,000; FY 11 est \$0

Range and Average of Financial Assistance:

The site award levels assuming program compliance are \$100,000 for first year sites, up to \$175,000 for second, through fifth year sites.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Operation Weed and Seed Implementation Manual, Newsletter ("In-Sites"), and "Weed and Seed Best Practices" periodical. Visit www.ojp.usdoj.gov/ccdo for more information.

Regional or Local Office:

None.

Headquarters Office:

Denise Viera, 810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-1152 Fax: (202) 616-1159.

Website Address:

<http://www.ojp.usdoj.gov/ccdo>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting and funding Weed and Seed sites are as follows: High incidence of violent crime; existing, workable community infrastructure; cooperative governmental partnerships; good cooperation between governmental and private civic and social service organizations; strong U.S. Attorney involvement; history of innovative programming at the local level; if a large city is being considered, the project site should be a clearly, easily identifiable section of the metropolitan area; available funding from reprogrammable Federal program dollars and existing private/local funds.

16.596 CORRECTIONAL GRANT PROGRAM FOR INDIAN TRIBES

CORRECTIONAL FACILITIES ON TRIBAL LANDS

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Consolidated Rescissions and Appropriations Act of 1996, Section 114, Public Law 104-134; Violent Crime Control and Law Enforcement Act of 1994, Title II, Subtitle A, Public Law 103-322, 105 Stat. 1796, as amended, 42 U.S.C. 13701 et seq.

OBJECTIVES:

To renovate and/or construct correctional facilities on tribal lands for the incarceration and supervision of offenders subject to tribal jurisdiction.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Grant Program for Indian Tribes may be used to assist Indian tribes in developing or expanding correctional facilities for both adult and juvenile offenders.

Applicant Eligibility:

Indian tribes may apply. An Indian tribe is defined as any Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to Public Law 103-454, 108 Stat. 4791, and which performs law enforcement functions as determined by the Secretary of the Interior.

Beneficiary Eligibility:

Indian tribes may apply. An Indian tribe is defined as any Indian or Alaska Native tribe, band, nation, pueblo, village, or community that the Secretary of the Interior acknowledges to exist as an Indian tribe pursuant to Public Law 103-454, 108 Stat. 4791, and which performs law enforcement functions as determined by the Secretary of the Interior.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance

(Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description of the program, the implementation process, and a timetable for implementation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Targeted tribes are invited to submit applications for construction of juvenile correctional facilities.

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to appropriation and program priorities.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 10%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 24 to 60 months based on the facility constructed and/or renovated. See the following for information on how assistance is awarded/released: See BJA.

Reports:

No program reports are required. No cash reports are required. Semi-annual. Semi-annual. As specified in solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$11,066,465; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance Application Kit and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

James Burch, Bureau of Justice Assistance, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

http://www.ojp.usdoj.gov/BJA/grant/tribal_correction.html

RELATED PROGRAMS:

16.731 Tribal Youth Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Past projects were selected on the basis of need for correctional facility space, demonstrated ability to operate the facilities, soundness of implementation plan, thoroughness of budget submission, and proposed strategy to measure impact/outcomes. Additionally, BJA considers BIAs priority list for facilities that are in need of repair or new construction.

16.606 STATE CRIMINAL ALIEN ASSISTANCE PROGRAM

SCAAP

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Appropriations Act, Public Law 106-113, Division B, Section 1000(a); Immigration and Nationality Act, 8 U.S.C. 1252, Section 242 as Amended; Title II, subtitle C, Section 20301; Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322.

OBJECTIVES:

The State Criminal Alien Assistance Program (SCAAP) is a payment program designed to provide federal assistance to states and localities that incur costs for incarcerating undocumented criminal aliens who are being held as a result of state and/or local charges or convictions. BJA administers this program in conjunction with the Department of Homeland Security's (DHS) Immigration and Customs Enforcement (ICE), and U.S. Citizenship and Immigration Services branches.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Department of Justice Reauthorization Act of 2005 (Public Law 109-162, Title XI) included the following requirement regarding the use of SCAAP funds: "Amounts appropriated pursuant to the authorization of appropriations in paragraph (5) that are distributed to a State or political subdivision of a State, including a municipality, may be used only for correctional purposes." All funds must be used for correctional purposes only. To be eligible for reporting, inmates must have been convicted of a felony or second misdemeanor for violations of state or local law, and housed in the applicant's state or local correctional facility for 4 or more consecutive days during the reporting period. Once a person meets these criteria, all pre-trial and post-conviction time served may be included in the application. Salary information reported in the SCAAP application must reflect the total salaries and wages paid to full and part-time correctional officers and others who meet the SCAAP definition. See the

SCAAP Guidelines at <http://www.ojp.usdoj.gov/BJA/>. Funds may be used only for correctional purposes. BJA may further define the eligible uses of funds. For further information, see <http://www.ojp.usdoj.gov/BJA/grant/scaap.html>.

Applicant Eligibility:

Eligible applicants include States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and local jurisdictions exercising authority with respect to the incarceration of an undocumented criminal alien. This covers state prison facilities (including those housing juveniles convicted as adults of felony offenses or two or more misdemeanors) and local jails, whether operated by counties or cities. Applicants are generally States and units of local government. Note: Only one application is accepted from each separate political division (i.e., State, county, city).

Beneficiary Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands and localities or local jurisdictions.

Credentials/Documentation:

Applicants who are seeking funding must complete an electronic application form via the Office of Justice Programs Grants Management System (GMS). Inmate data, including the proper inmate identifiers, will either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of the applicants inmate databases. The authorized official certifies compliance with all SCAAP requirements, including that the applicant has been authorized by the Chief Executive Officer of the applicable unit of government; the inmate identifiers required have been submitted to BJA in the proper format(s) for Immigration and Customs Enforcement (ICE) verification within the prescribed deadlines; all inmates counted by the applicant have been convicted of either a felony or two misdemeanor offenses under the applicant's law; and, only salary costs for corrections officers were included in the Total Salary Costs. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. SCAAP applications are administered via the Office of Justice Programs Internet-based Grants Management System. Inmate data, including the proper inmate identifiers, may either be manually key-stroked into the on-line application system, or submitted on-line by electronically attaching the applicable portions of their inmate databases. Awards are announced after the ICE inmate verification process is done. Technical assistance is available to applicants for preparation of the on-line application process by calling the OJP Grants Management System Hotline at 1-888-549-9901.

Award Procedure:

The final payment process requires that all applications and all data be fully processed and verified before any payments are made. Inmate data will be verified by the Immigration and Customs Enforcement. ICE will attempt to match the inmate data records provided to records in its databases to obtain the maximum number of confirmed matches. These matches are expected to include three categories: 1) those positively identified (matched) as qualifying undocumented criminal aliens; 2) those unknowns and 3) those positively identified as non-criminal aliens. Applicants will be given credit for a percentage of inmates whose eligibility cannot be determined through positive identification or "match," but have valid foreign countries of birth. Payments will be based on the days of incarceration for the verified number of aliens

incarcerated by each applicant. Payments are granted by the Director of the Bureau of Justice Assistance, Office of Justice Programs, Department of Justice. The payment must be accepted on-line and the acceptance will effect a simultaneous assurance of compliance with conditions. Acceptance of the payment on-line initiates the automatic electronic transfer of funds from OJP to the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact BJA.

Appeals:

Not Applicable.

Renewals:

Applications may be submitted annually, subject to availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

SCAAP awards are usually obligated and announced by the end of September and released for drawdown by mid-October. There is no restriction placed on the time permitted to spend the money awarded because SCAAP funds are considered no year funds. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment process. Receipt of SCAAP payments is considered in establishing the requirement for an audit under the Single Audit Act.

Records:

Financial records, supporting documents, statistical records, a copy of the inmate records submission, and all other records pertinent to a grant shall be retained for a period of at least three (3) years following the closeout of the grant.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments for Specified Use) FY 09 \$393,608,390; FY 10 est \$328,350,000; FY 11 est \$330,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide and the State Criminal Alien Assistance Program Guidelines.

Regional or Local Office:

None.

Headquarters Office:

Joseph Husted Bureau of Justice Assistance, 4th floor, 810 7th Street, N.W., Washington, District of Columbia 20531 Email: SCAAP@usdoj.gov Phone: (202) 353-4411

Website Address:

<http://www.ojp.usdoj.gov/bja/grant/scaap.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.607 BULLETPROOF VEST PARTNERSHIP PROGRAM

BVP

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Bulletproof Vest Partnership Grant Act of 1998, Public Law 105-181, June 16, 1998, and Bulletproof Vest Program Act of 2000, Public Law 106-517.

OBJECTIVES:

To protect the lives of law enforcement officers by helping State, local, and tribal law enforcement agencies provide officers with armored vests.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The program pays up to 50 percent of the total cost of each vest order. Total cost includes the cost of the vests, vest carriers, attachments, inserts, and covers considered integral or essential for its proper care, use, and wearability, shipping, handling, fitting charges, and applicable taxes. The total invoiced price, after all vendor and prompt payment discounts have been deducted, is what the Bureau of Justice Assistance (BJA) uses to determine the Federal match. This program only allows the purchase of body armor that has been tested and found to comply with applicable ballistic and stab standards promulgated by the National Institute of Justice's (NIJ) Standard 0101.03 Ballistic Resistance of Police Body Armor. In FY 2001, stab-resistant body armor was also included in the program as an allowable purchase.

Applicant Eligibility:

Only chief executives of jurisdictions (or their designees) may apply for funds. Jurisdictions are defined as general purpose units of local government (e.g., cities, towns, townships, boroughs, counties, etc.), Federally-recognized Indian tribes, the 50 State governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The chief executive of the jurisdiction registers on-line, submits the jurisdiction application, and requests payment for completed vest orders.

Beneficiary Eligibility:

Only law enforcement officers may receive vests through this program. According to the Act, "law enforcement officer" means any officer, agent, or employee of a State, unit of local government, or an Indian tribe authorized by law or by a government agency to engage in or supervise the prevention, detection, or investigation of any violation of criminal law, or authorized by law to supervise sentenced criminal offenders. Eligible officers may be full-time, part-time, paid or volunteer.

Credentials/Documentation:

Eligible jurisdictions must be general purpose units of local government, Federally-recognized Indian tribes, the 50 state governments, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. The validity of the on-line registration process is verified through independent reviews which may include certification by the banking institutions serving the jurisdiction, the U.S. Census Bureau, and agencies within the U.S. Department of Justice. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This is an Internet-based program. The jurisdiction is required to complete and submit an on-line registration form, providing information about the jurisdiction's chief executive officer, program contact person, and electronic banking information. The registration process is handled through the program's web site <http://vests.ojp.gov>. For jurisdictions without Internet capability, other means of registration are available. Only those jurisdictions with approved registrations are permitted to make application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The jurisdiction submits the application to BJA via the Internet web site <http://vests.ojp.gov>. On-line instructions guide the jurisdiction through the application process. Law enforcement agencies or agencies with law enforcement functions which meet the Act's definition, will assist in completing the jurisdiction's on-line application by indicating the types, numbers, and costs of vests they intend to order. For jurisdictions and/or agencies without Internet capability, other means of application are available. Only those jurisdictions that complete and submit applications will be eligible for matching funds from BJA.

Award Procedure:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements. Each application is then checked against the availability of funds to cover up to 50 percent of the application's total cost, in keeping with established criteria for program fund allocation. When all checks are complete and the application approved, the jurisdiction is notified through e-mail that the necessary funds have been obligated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A minimum of 15 working days may be required for complete registration validation, although jurisdictions and law enforcement agencies will have immediate access to certain program components during this validation process. A minimum of 20 working days may be required after the program closes for approval of the jurisdiction's application for funding. A minimum of 25 working days may be required for the electronic transfer of funds from the U.S. Treasury to the jurisdiction's bank account, once the request for funds has been received, reviewed, and approved by the Office of Justice Programs.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. The program requires that each applicant jurisdiction be responsible for providing at least 50 percent of the cost of each vest purchased. Tribal governments may use Federal funds to provide this match; all other jurisdictions must use nonfederal match funds. Nonfederal fund sources include State and/or local jurisdiction revenues, private or personal funds, and contributions from insurance or workman's compensation consortiums. Asset forfeiture funds may also be used to meet the jurisdiction's matching requirement. Beginning in FY 2002, changes (Bulletproof Vest Partnership Act of 2000; Public Law 106-517) gives preference to small jurisdictions (those populations below 100,000) guaranteeing them the full 50 percent match payment before payments are calculated and approved for larger jurisdictions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Jurisdictions with approved applications must request the federal match no later than September 30th of the fourth federal fiscal year following the end of the federal fiscal year in which their application was approved. In other words, the jurisdiction has four years beyond the year of their application to request

payments for vests contained in that application. Payments of the federal match will be made whenever the jurisdiction indicates that at least part of the approved vest order was received and invoiced. See the following for information on how assistance is awarded/released: See program office for more information.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local procurement policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least three years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular three-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments for Specified Use) FY 09 \$44,036,466; FY 10 est \$29,850,000; FY 11 est \$30,000,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Final Rule, Federal Register, September 23, 1998 (Volume 63, Number 184).

Regional or Local Office:

None.

Headquarters Office:

Joseph Husted, Bureau of Justice Assistance, 4th floor, 810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (1-866) 859-2687.

Website Address:

<http://www.ojp.usdoj.gov/bvpbasi/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.608 TRIBAL COURT ASSISTANCE PROGRAM

TCAP

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Indian Tribal Justice Technical and Legal Assistance Act of 2000; Statue 2778; Public Law 106-559 Title II Indian Tribal Court, Section 201 (a) (25 USC 3681).

OBJECTIVES:

To assist tribal governments in the development, enhancement, and continuing operation of tribal judicial systems, including inter-tribal court systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

CATEGORY I: Planning and implementing an intertribal court system for smaller populations. CATEGORY II: Planning and implementing a single tribe court system. CATEGORY III: Enhancing or continuing the operation of the tribal courts. The following tribes are subject to the above restriction on use of funds: (1) tribes in which fewer than 25 Native members live in the village year round; and (2) tribes that are located within the boundaries of the Fairbanks North Star Borough, the Matanuska Susitna Borough, the Municipality of Anchorage, the Kenai Peninsula Borough, the City and Borough of Juneau, the Sitka Borough, or the Ketchikan Borough. Match is not required for personnel. However, if a position under this program is supported with BJA funds, total costs associated with salary and fringe benefits may not exceed 50 percent of the grant.

Applicant Eligibility:

Federally recognized Indian Tribal governments are eligible to apply for and receive funds under this program. Tribes that received FY 2006 or FY 2007 funding are not eligible to apply for a FY 2008 grant.

Beneficiary Eligibility:

Indian Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by the Common Rule, must be used for this program. Awards through this program will be competitive, based upon the extent and urgency of the need of each applicant. Applications must be submitted electronically at: <http://www.grants.gov/>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, award letters and award documents are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Normally, 90 days after receipt of applications.

Appeals:

See 28 CFR Part 18.

Renewals:

Contingent upon congressional funding.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 24 months. See the following for information on how assistance is awarded/released: See program office for more information.

Reports:

In some cases, evaluation reports may be required. No cash reports are required.

Progress reports are due semi-annually. Unless otherwise specified in the award's special conditions, financial reports are due quarterly. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$8,625,706; FY 10 est \$25,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

CATEGORY I: PLANNING AND IMPLEMENTING AN INTERTRIBAL COURT SYSTEM FOR SMALLER POPULATIONS. Grant will not exceed \$200,000. CATEGORY II: PLANNING AND IMPLEMENTING A SINGLE-TRIBE COURT SYSTEM. Grant will not exceed \$200,000. CATEGORY III: ENHANCING OR CONTINUING THE OPERATION OF TRIBAL COURTS: Grant range: \$150,000 to \$175,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide and Handbook 4500.2c, Policies and Procedure for the Administration of OJP Grants, are applicable.

Regional or Local Office:

None.

Headquarters Office:

Eunice Pierre, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-514-1473 or 1-866-859-2687

Website Address:

<http://www.ojp.usdoj.gov/bja>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.609 PROJECT SAFE NEIGHBORHOODS

Project Safe Neighborhoods (PSN)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended, Title I; 42 U.S.C. 50 et seq.; Crime Control Act of 1990, Public Law 101-647;

Appropriations Act of 2001, Public Law 106-553; Appropriations Act of 2002, Public Law 107-77. Appropriations Act of 2003, 2004, 2006.

OBJECTIVES:

Project Safe Neighborhoods (PSN) is a comprehensive, strategic approach to reducing gun crime in America by networking existing local programs that target gun crime and providing those programs with additional tools and/or resources needed to reduce gun violence. The PSN approach has been modified to help reduce and prevent gang crime as well.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Community Prosecution program focuses on problem solving, strategic planning, and working in partnership with the community to prevent crime and violence and improve public safety. Project Safe Neighborhoods is a national strategy designed to reduce offenses committed with guns in streets and local neighborhoods. In addition to hiring new state and local prosecutors, the funds will be available to support investigations, provide training, develop and promote community outreach efforts, and improve public safety by supporting other gun crime reduction strategies.

Applicant Eligibility:

A PSN Task Force has been established in each of the 93 U.S. Attorney Districts. Each task force selects a fiscal agent to apply for this program. Eligible fiscal agents include states, units of local government, educational institutions, faith-based and other community organizations, and private nonprofit organizations.

Beneficiary Eligibility:

State and local governments, public and private organizations, Indian Tribal government, prosecutor offices.

Credentials/Documentation:

Eligible applicants must be certified by the U.S. Attorney's Office. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. The application procedure and time lines will be contained in the program solicitation. Applications must be submitted on-line at the Office of Justice Programs, Grant Management System: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, Office of Justice Programs, award letters and award documents are sent to grantee. One copy of grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Contingent on available funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies. Up to 36 months. See the following for information on how assistance is awarded/released: See program office for more information.

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Progress reports are due semi-annually. Unless otherwise specified in the awards special conditions, financial reports are due quarterly. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least three years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular three-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 09 \$11,654,577; FY 10 est \$15,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Prior awards have ranged from approximately \$50,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See web site for current program updates. Bureau of Justice Assistance: <http://www.ojp.usdoj.gov/BJA/grant/psn.html>; Project Safe Neighborhoods: <http://www.psn.gov/>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide and Handbook 4500.2c, Policies and Procedure for the Administration of OJP Grants, are applicable.

Regional or Local Office:

None.

Headquarters Office:

Jonathan Faley or James Chavis, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866 859-2687

Website Address:

Bureau of Justice Assistance: <http://www.ojp.usdoj.gov/BJA/grant/psn.html>. Project Safe Neighborhoods: <http://www.psn.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Project Summaries: <http://www.ojp.usdoj.gov/BJA/grant/psn.html>. Fiscal Year 2010: No Current

Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For competitive grants, criteria for selecting proposals are contained within the individual program solicitations. For non-competitive grants, applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.610 REGIONAL INFORMATION SHARING SYSTEMS

RISS

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351; 42 U.S.C. 3796h.

OBJECTIVES:

The RISS Program is a nationwide program supporting local, state, federal, and tribal law enforcement efforts in the areas of terrorism, drug trafficking, organized criminal activity, criminal gangs, violent crime, human trafficking, and other regional criminal priorities, while promoting officer safety, with the goal of enhancing the ability to identify, target, and remove criminal conspiracies and activities spanning multi-jurisdictional, multi-state, and sometimes international boundaries.

RISS operates in all 50 states, the District of Columbia, U.S. territories, Canada, and England. RISS is the collective effort of six regional centers. While the RISS centers operate independently and are tailored to support the unique needs of the region served, they also operate as a collective body to address national criminal justice issues. RISS is governed by a National Policy Group comprised of the six RISS Directors and the Chair of each regional centers board of directors. The Bureau of Justice Assistance (BJA), U.S. Department of Justice (DOJ), administers the RISS Program.

To attain these goals RISS provides secure, nationwide information and intelligence- sharing capabilities, secure but unclassified electronic communications capabilities, investigative analysis support, specialized investigative equipment, and other investigative support services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Up to 100 percent of total project grant costs are funded through Federal assistance. Cost-sharing is governed by Appropriation Act requirements. However, projects are encouraged to obtain and utilize additional funds through voluntary contributions, membership fees or dues, fees for service, or other sources from member agencies or governmental units benefiting from project services. Such funds will be considered program income and must be used to augment project operations or to reduce the Federal share of project costs.

Applicant Eligibility:

Six RISS Centers are authorized as eligible to receive funding to provide services to law enforcement agencies throughout the nation. The Centers are: The Middle Atlantic Great-Lakes Organized Crime Law Enforcement Center (MAGLOLEN), the Mid-States Organized Crime Information Center (MOCIC), the New England State Police Information Network (NESPIN), the Regional Organized Crime Information Center (ROCIC), the Rocky Mountain Information Network (RMIN), and the Western States Information Network (WSIN).

Beneficiary Eligibility:

State and local criminal justice agencies; Federal, State, and local law enforcement agencies and personnel benefit from this program.

Credentials/Documentation:

The six RISS Centers are required to submit a Federal application for assistance, as well as a detailed program and budget narrative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the federal agency in

accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms furnished by the Federal agency and required by the Common Rule, must be used for this program.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 days prior to the expiration of the project's current active grant.

Appeals:

Not Applicable.

Renewals:

A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of 12 months. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Reporting requirements for grants/projects awarded under the RISS Program are articulated in the OJP Financial Guide, as well as the Funding and Administration Guidelines of the Regional Information Sharing Systems (RISS) Program Guide. No cash reports are required. No progress reports are required. No expenditure reports are required. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 09 \$44,792,370; FY 10 est \$45,000,000; FY 11 est \$9,000,000

Range and Average of Financial Assistance:

In FY 2010, \$43.5 million to be divided among the six RISS Centers for administrative support for the project, and the operation of the secure backbone, RISSNet.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: RISS has 95 local, state, federal, and regional systems connected to RISSNET for access by RISS member agencies. RISS serves over 8,300 law enforcement and criminal justice agencies from all levels of government. RISS delivered more than 37,000 analytical products and responded to more than 94,000 requests for records research assistance. In the area of training more than 67,000 attendees participated in RISS-sponsored information sharing conferences and specialized training sessions. In addition, the field staff conducted over 28,800 on-site visits and the centers loaned 5,458 pieces of investigative equipment. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Guide, Office of Justice Programs, Office of the Comptroller; BJA's Funding and Administration Guidelines of the Regional Information Sharing Systems Program, and the Criminal Intelligence Systems Operating Policies (28 CFR Part 23).

Regional or Local Office:

See Regional Agency Offices. Summary information about each RISS Center is available on the central RISS Website. See <http://www.riss.net>.

Headquarters Office:

David P. Lewis Bureau of Justice Assistance, Fourth Floor, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov
Phone: (202) 616-6500 or 1-800-421-6770

Website Address:

<http://www.iir.com/riss/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.611 CLOSED-CIRCUIT TELEVISIONING OF CHILD VICTIMS OF ABUSE

CCTV

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, Public Law 90-351, as amended, 42 U.S.C. 3796aa, et seq.

OBJECTIVES:

To provide equipment and personnel training for the closed-circuit televising and videotaping of the testimony of children in criminal proceedings for the violation of laws relating to the abuse of children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Up to 75 percent of total project grant costs may be funded through Federal assistance.

Applicant Eligibility:

Limited competition--a state or local unit of government that has in effect a law allowing the closed-circuit televising or video taping of testimony of children in criminal proceedings relating to the abuse of children.

Beneficiary Eligibility:

State and local criminal justice agencies; Federal, state, and local law enforcement agencies and personnel; public non-profit organizations, and youth benefit from this program.

Credentials/Documentation:

Eligible states and units of local government. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must follow the instructions contained in the solicitation for the Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program and submit a fully executed application to the Bureau of Justice Assistance, 810 Seventh Street, NW, Fourth Floor, Washington, DC 20531, Attention: Closed-Circuit TV Program Manager. Applicants should submit an original and two copies of the application, along with an electronic copy of the application on disk in WordPerfect or Word for Windows, to BJA for review. BJA must receive the application at least 90 days prior to the anticipated start date of a new or continuation award.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, award letters and award documents are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications must be approved no later than 60 days after first received unless the Director of BJA informs the applicant of specific reasons for disapproval.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. A project must submit a written request justifying the need for the extension and how the extension would be consistent with the objectives of the grant 60 days prior to the expiration of the current grant.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Projects are awarded 75 percent of the total project costs. A match of 25 percent is required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grantees selected for awards will be funded for a period of 12 months. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Reporting requirements for grants and projects awarded under this program are articulated in the OJP Financial Guide, as well as in the program solicitation. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that

measures the results of their work.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Payments and transactions are subject to audits by the General Accounting Office, the Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$701,468; FY 10 est \$1,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Approximately 10 to 15 grants ranging from a minimum of \$50,000 to a maximum of \$100,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Guide, Office of the Comptroller, Office of Justice Programs; BJA's Program Guide and Application Kit for the Closed-Circuit Televising of Testimony of Children Who Are Victims of Abuse Grant Program.

Regional or Local Office:

None.

Headquarters Office:

K. Norris, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647

Website Address:

www.usdoj.gov/bja.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are contained in the Program Solicitation.

16.614 STATE AND LOCAL ANTI-TERRORISM TRAINING

SLATT

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act of 2010, Public Law 111-117.

OBJECTIVES:

To provide specialized, terrorism/extremism orientation, interdiction, investigation, and prevention to state and local law enforcement executives, command personnel, intelligence officers, investigators, analytical personnel, training directors, and prosecutors.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF

TECHNICAL INFORMATION; TRAINING

USES AND USE RESTRICTIONS:

Grant program funds are intended to: (1) provide state and local law enforcement (including prosecution authorities) a general awareness and working knowledge of domestic terrorist and "political" extremist movements; (2) disseminate information relating to vital elements of law enforcement anti-terrorism preparedness and readiness; (3) provide a general planning orientation to State and local law enforcement pertaining to crisis and consequence management and incident command, including essential elements requisite to conducting criminal investigations and prosecutions of terrorist threats and incidents; and (4) maintain and enhance a domestic terrorism public source database. Standard restrictions as outlined in OJP's Financial Guide apply.

Applicant Eligibility:

For-profit (commercial) organizations; nonprofit organizations; faith-based and community organizations; institutions of higher education; and consortiums with significant and demonstrated experience in terror prevention strategies and in delivering training and technical assistance to law enforcement and tribal communities are eligible to apply. For-profit organizations must agree to waive any profit or fees for services. Joint applications from a consortium must identify the primary applicant and co-applicant(s), and letters of support that outline the partners' responsibilities must be provided.

Beneficiary Eligibility:

State and local criminal justice agencies are the primary beneficiaries of this program.

Credentials/Documentation:

All applicants are required to submit an application for assistance, as well as a detailed program and budget narrative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must follow the instructions pertinent to completing the SF-424, and the financial conditions prescribed in the OJP Financial Guide, and submit a fully executed application to the Bureau of Justice Assistance, 810 7th Street, NW., Fourth Floor, Washington, DC 20531. Complete instructions can be found at www.grants.gov. If you experience difficulties at any point during this process, please call the Grants.gov Customer Support Hotline at (1-800) 518-4726.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, award letters and award documents are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a completed application.

Appeals:

Not Applicable.

Renewals:

Renewal is contingent upon: (1) Congressional funding; and (2) Justification. A project must submit a written request justifying the need for the extension and how an extension would be consistent with the objectives of the grant within 60 days of expiration.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Reporting requirements for grants awarded under this program are articulated in the OJP Financial Guide. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the General Accounting Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of Federal funds must retain all project related documentation for 3 years from the date of the final financial status report unless an audit has been initiated or an unresolved audit finding remains. This is in accordance with Federal retention requirements to ensure the availability of complete information should an audit be conducted.

Account Identification:

15-0401-0-1-754.

Obligations:

(Training) FY 09 \$1,732,506; FY 10 est \$3,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

One cooperative agreement for up to \$3 million will be awarded for a project period of 12 months.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Guide, Office of Justice Programs, Office of the Comptroller.

Regional or Local Office:

None.

Headquarters Office:

Michael Medaris, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or (1-866) 859-2647

Website Address:

<http://www.usdoj.gov/bja/tta/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.615 PUBLIC SAFETY OFFICERS' EDUCATIONAL ASSISTANCE

PSOEA

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Educational Assistance to Dependents of Civilian Federal Law Enforcement Officers Killed or Disabled in the Line of Duty, 42 U.S.C. 3796d. Section 1211 and Section 1212; Public Law 104-238, Section 2(2), 110 Statute 3114; Public Law 105-390, Section 2 (2) Statute 3495.

OBJECTIVES:

The PSOEA Program, an expansion of the Federal Law Enforcement Dependents Assistance (FLEDA) Program, provides financial assistance for higher education to the spouses and children of public safety officers killed in line of duty. The PSOEA Program also makes assistance available to spouses and children of public safety officers permanently and totally disabled by catastrophic injuries sustained in the line of duty. Under the PSOEA program, the families of state and local police, fire, and emergency public safety officers are covered for line-of-duty deaths that occurred on or after January 1, 1978. The families of federal law enforcement officers and firefighters are covered for line of duty deaths occurring on or after October 12, 1984. The effective date for families of permanently and totally disabled Federal law enforcement officers is October 3, 1996. Families of state and local police, fire, and emergency public safety officers are covered for line-of-duty permanent and totally disabling injuries that occurred on or after November 13, 1998. Families of Federal Emergency Management Agency (FEMA) personnel and state, local, and tribal emergency management and civil defense agency employees are covered for such injuries sustained on or after October 30, 2000.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Program funds are intended solely to defray eligible applicants' educational expenses, which may include tuition, fees, room and board, books, supplies, and other expenditures consistent with the educational, professional, or vocational objectives of the applicant. Funds are restricted to defray above costs only.

Applicant Eligibility:

Dependents who (1) attend a program of education at an eligible institution, or (2) are the spouse and/or surviving children under the age of 27 of federal, state, and local public safety officers whose deaths or permanent and totally disabling injuries are covered by the Public Safety Officers' Benefits (PSOB) Program (42 U.S.C. 3796 et seq.) are eligible for this program. A public safety officer is a person serving a public agency in an official capacity, with or without compensation, as a law enforcement officer, firefighter, or member of a public rescue squad or ambulance crew.

Beneficiary Eligibility:

The spouse and surviving children of federal, state, and local public safety officers receive the ultimate benefits from this program. The children may receive benefits for classes taken before their 27th birthday. There is no age restriction for spouses.

Credentials/Documentation:

Documentation needed to apply for assistance includes: the Application for Public Safety Officer's Educational Assistance (42 U.S.C. 3796 d); educational transcripts; tuition/fees statements; acceptance letters to educational/professional institutions; schedule of classes; verification of full- or part-time status; and certification of other forms of educational assistance. Contact the PSOB office at 1-888-744-6513 or by e-mail at AskPSOB@usdoj.gov for full credential/documentation requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Claimants may initiate a claim by writing or telephoning the PSOEA Program, Benefits Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531, (1-888) 744-6513 or AskPSOB@usdoj.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit a fully executed application to the PSOEA Program, Benefits Office, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, DC 20531.

Award Procedure:

Upon a finding of eligibility by the Bureau of Justice Assistance, claimants are typically awarded funds for the current or upcoming term, and they may apply for the following term once the earlier term has been completed. Funds can be received for multiple terms at one time if they have already been completed.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Dependent upon validity of claim and submission of required documentation.

Appeals:

Applicants may appeal within 30 days of denial notification. The appeal process consists of a record review by an administration hearing officer.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for the equivalent of 45 months of full-time education or training, or for a proportional period of time for a part-time program. Assistance is typically awarded in 4-month installments to coincide with the length of a school term. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

15-0403-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$1,015,112; FY 10 est \$4,100,000; FY 11 est \$4,100,000

Range and Average of Financial Assistance:

For classes taken since October 1, 2009, the rates of assistance are \$925 per month for full-time students, \$694 for three-quarter-time student, \$461 for half-time students, and \$231.25 for less-than-half-time students. The amount of assistance is subject to change consistent with the current computation of educational assistance allowance set forth in Title IV of the Higher Education Act, Section 3532 of Title 38, U.S.C.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: PSOEA has developed an e-packet. The PSOEA application can be downloaded from the PSOB web site at <http://www.ojp.usdoj.gov/BJA/index.html> or http://www.ojp.usdoj.gov/BJA/grant/psob/psob_education.html. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Bureau of Justice Assistance's Public Safety Officers' Educational Assistance Program Fact Sheet (August 1999); Federal Register guidelines are

available.

Regional or Local Office:

None.

Headquarters Office:

Eric Martin Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskPSOB@usdoj.gov Phone: 1-888 744-6513 or (202) 616-6500

Website Address:

http://www.ojp.usdoj.gov/BJA/grant/psob/psob_main.html

RELATED PROGRAMS:

16.571 Public Safety Officers' Benefits Program; 16.575 Crime Victim Assistance; 16.576 Crime Victim Compensation

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications received by BJA are reviewed for completeness, accuracy, and compliance with all program requirements.

16.616 INDIAN COUNTRY ALCOHOL AND DRUG PREVENTION

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act 2008, Public Law 110-161.

OBJECTIVES:

To assist tribal governments in the development and enhancement of tribal justice strategies to address crime issues related to alcohol and substance abuse.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Indian Alcohol and Substance Abuse Program (IASAP) provides funding and technical assistance to federally recognized tribal governments, including eligible Alaska Native villages and corporations, and authorized intertribal consortia, to plan, implement, or enhance tribal justice strategies to address crime issues related to alcohol and substance abuse. No match is required for personnel. However, if a position under IASAP is supported with BJA funds, total costs associated with salary and fringe benefits may not exceed 50 percent of the grant. Funds awarded through this program to the following tribes may not be used for courts or law enforcement officers for a tribe or village, pursuant to Public Law 108-199 S. 112(a)(1): (1) tribes in which fewer than 25 Native members live in the village year round; and (2) tribes that are located within the boundaries of the Fairbanks North Star Borough, the Matanuska Susitna Borough, the Municipality of Anchorage, the Kenai Peninsula Borough, the City and Borough of Juneau, the Sitka Borough, or the Ketchikan Borough.

Applicant Eligibility:

Pursuant to 28 U.S.C. Section 524 note, applicants are limited to federally recognized tribal governments, including eligible Alaska Native villages and corporations, and authorized intertribal consortia see 25 U.S.C. Section 450. Intertribal consortia must have and retain written authorization from each member tribe prior to this solicitation's submission deadline and certify the same in the application. Tribes that received funding from the FY 2006 or FY 2007 program ARE NOT ELIGIBLE to apply for a FY 2008 supplemental award.

Beneficiary Eligibility:

Federally recognized Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR, Part 225 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the federal agency and required by 28 CFR, Part 66, must be used for this program. Awards through this program will be competitive, based upon the extent and the urgency of the need of each applicant. All competitive grant applications must be submitted electronically through the Grant Management System (GMS) at: <http://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make final determinations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 120 days of the receipt of a completed application.

Appeals:

See 28 CFR Part 18 for standards.

Renewals:

Contingent upon Congressional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not to exceed 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Financial reports are due quarterly and progress reports are due semi-annually. Program evaluation reports may be required. Financial reports are due quarterly and progress reports are due semi-annually. Program evaluation reports may be required. Financial reports are due quarterly and progress reports are due semi-annually. Program evaluation reports may be required. Financial reports are due quarterly and progress reports are due semi-annually. Program evaluation reports may be required. Financial reports are due quarterly and progress reports are due semi-annually. Program evaluation reports may be required. Financial reports are due quarterly and progress reports are due semi-annually. Program evaluation reports may be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all programs transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$5,392,939; FY 10 est \$12,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

Program announcement contains information regarding the range and average level of financial assistance. Additional information is posted on the BJA website.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide and Handbook 4500.2C, Policies and Procedures for the Administration of OJP Grants, are applicable.

Regional or Local Office:

None.

Headquarters Office:

Euinice Pierre, Office of Justice Programs, Bureau of Justice Assistance, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 514-1473.

Website Address:

Indian Country Alcohol and Drug Prevention Program:
<http://www.ojp.usdoj.gov/BJA/grant/indian.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.684 SUPPORTING TEENS THROUGH EDUCATION AND PROTECTION ACT OF 2005 (STEP ACT)

Supporting Teens through Education and Protection Act of 2005 (STEP Act)

FEDERAL AGENCY:

Violence Against Women Office, Department of Justice

AUTHORIZATION:

Violence Against Women and Department of Justice Reauthorization Act of 2005, Title III, Section 303, Public Law 109-162, 42 U.S.C 104043c-3.

OBJECTIVES:

To enable middle and high schools:

- (1) to provide training to school administrators, faculty, counselors, coaches, healthcare providers, security personnel, and other staff on the needs and concerns of students who experience domestic violence, dating violence, sexual assault, or stalking, and the impact of such violence on students;
- (2) to develop and implement policies in middle and high schools regarding appropriate, safe responses to, and identification and referral procedures for, students who are experiencing or perpetrating domestic violence, dating violence, sexual assault, or stalking, including procedures for handling the requirements of court protective orders issued to or against students or school personnel, in a manner that ensures the safety of the victim and holds the perpetrator accountable;
- (3) to provide support services for students and school personnel, such as a resource person who is either on-site or on-call, and who meets certain statutory expertise requirements, for the purpose of developing and strengthening effective prevention and intervention strategies for students and school personnel experiencing domestic violence, dating violence, sexual assault or stalking;
- (4) to provide developmentally appropriate educational programming to students regarding domestic violence, dating violence, sexual assault, and stalking, and the impact of experiencing domestic violence, dating violence, sexual assault, and stalking on children and youth by adapting existing curricula activities to the relevant student population;
- (5) to work with existing mentoring programs and develop strong mentoring programs for

students, including student athletes, to help them understand and recognize violence and violent behavior, how to prevent it and how to appropriately address their feelings; and (6) to conduct evaluations to assess the impact of programs and policies assisted under this grant program in order to enhance the development of the programs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

- (1) to provide training to school administrators, faculty, counselors, coaches, healthcare providers, security personnel, and other staff on the needs and concerns of students who experience domestic violence, dating violence, sexual assault, or stalking, and the impact of such violence on students;
- (2) to develop and implement policies in middle and high schools regarding appropriate, safe responses to, and identification and referral procedures for, students who are experiencing or perpetrating domestic violence, dating violence, sexual assault, or stalking, including procedures for handling the requirements of court protective orders issued to or against students or school personnel, in a manner that ensures the safety of the victim and holds the perpetrator accountable;
- (3) to provide support services for students and school personnel, such as a resource person who is either on-site or on-call, and who meets certain statutory expertise requirements, for the purpose of developing and strengthening effective prevention and intervention strategies for students and school personnel experiencing domestic violence, dating violence, sexual assault or stalking;
- (4) to provide developmentally appropriate educational programming to students regarding domestic violence, dating violence, sexual assault, and stalking, and the impact of experiencing domestic violence, dating violence, sexual assault, and stalking on children and youth by adapting existing curricula activities to the relevant student population;
- (5) to work with existing mentoring programs and develop strong mentoring programs for students, including student athletes, to help them understand and recognize violence and violent behavior, how to prevent it and how to appropriately address their feelings; and
- (6) to conduct evaluations to assess the impact of programs and policies assisted under this grant program in order to enhance the development of the programs. Note: No more than 15 percent of funds allocated to a grantee can be used for purpose areas 4, 5, and 6. 100 percent of funds for discretionary grant purposes.

Applicant Eligibility:

The applicant shall be a partnership that--

- (1) shall include a public, charter, tribal, or nationally accredited private middle or high school, a school administered by the Department of Defense under 10 U.S.C. 2164 or 20 U.S.C. 921, a group of schools, or a school district;
- (2) shall include a domestic violence victim service provider that has a history of working on domestic violence and the impact that domestic violence and dating violence have on children and youth;
- (3) shall include a sexual assault victim service provider, such as a rape crisis center, program serving tribal victims of sexual assault, or coalition or other nonprofit nongovernmental organization carrying out a community-based sexual assault program, that has a history of effective work concerning sexual assault and the impact that sexual assault has on children and youth; and
- (4) may include a law enforcement agency, the State, Tribal, Territorial or local court, nonprofit nongovernmental organizations and service providers addressing sexual harassment, bullying or gang-related violence in schools, and any other such agencies or nonprofit nongovernmental organizations with the capacity to provide effective assistance to the adult, youth, and minor victims served by the partnership. In awarding grants under this section, the Director shall give priority to entities that have submitted applications in partnership with relevant courts or law enforcement agencies.

Beneficiary Eligibility:

The applicant shall be a partnership that--

- (1) shall include a public, charter, tribal, or nationally accredited private middle or high school, a school administered by the Department of Defense under 10 U.S.C. 2164 or 20 U.S.C. 921, a group of schools, or a school district;
- (2) shall include a domestic violence victim service provider that has a history of working on domestic violence and the impact that domestic violence and dating violence have on children and youth;
- (3) shall include a sexual assault victim service provider, such as a rape crisis center, program serving tribal victims of sexual assault, or coalition or other nonprofit nongovernmental organization

carrying out a community-based sexual assault program, that has a history of effective work concerning sexual assault and the impact that sexual assault has on children and youth; and (4) may include a law enforcement agency, the State, Tribal, Territorial or local court, nonprofit nongovernmental organizations and service providers addressing sexual harassment, bullying or gang-related violence in schools, and any other such agencies or nonprofit nongovernmental organizations with the capacity to provide effective assistance to the adult, youth, and minor victims served by the partnership. In awarding grants under this section, the Director shall give priority to entities that have submitted applications in partnership with relevant courts or law enforcement agencies.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A solicitation is issued each year by the Office on Violence Against Women (OVW). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must apply on-line at the grants.gov portal. The receipt, review, and analysis of applications will follow Office on Violence Against Women policies and procedures for the administration of grant applications.

Award Procedure:

Upon approval by the Office on Violence Against Women, online notification is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed electronically by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants will be notified by the end of the fiscal year.

Appeals:

None.

Renewals:

Considered on a case-by-case basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

OVW will make grants under this program for a period of 3 years. Funds are released on an as-needed basis to the grantee. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual progress reports, quarterly financial reports, and a final report are required, as stipulated in the program regulations and the effective edition of the OVW Financial Guide. No cash reports are required. Progress reports shall explain the activities carried out and include an assessment of the effectiveness of those activities in achieving the purposes of the program, including number of persons served and numbers of persons seeking services who could not be served. No expenditure reports are required. Periodic desk reviews and selected site visits will be administered, in accordance to the OVW Monitoring Manual.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Standard audit procedures aligned to A-133, as administered by OJP, OIG, and external auditors.

Records:

The award recipient must keep complete records on disposition of funds.

Account Identification:

15-0409-0-1-754.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$2,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Yes - OVW Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Kerry Rigley, 800 K Street, NW Suite 850, Washington, District of Columbia 20001 Email: kerry.rigley@usdoj.gov Phone: (202) 353-2253.

Website Address:

No Data Available

RELATED PROGRAMS:

16.014 Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking; 16.018 Services to Advocate for and Respond to Youth; 16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments; 16.588 Violence Against Women Formula Grants; 16.589 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Office on Violence Against Women and included in an annual solicitation.

16.710 PUBLIC SAFETY PARTNERSHIP AND COMMUNITY POLICING GRANTS

COPS Office

FEDERAL AGENCY:

Office of Community Oriented Policing Services, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C. 3796dd, as amended; Violent Crime Control and Law Enforcement Act of 1994, Title I, Part Q, Public Law 103-322.

OBJECTIVES:

To advance the practice of community policing as an effective strategy in communities' efforts to improve public safety. COPS grants support innovative programs that respond directly to the existing and emerging needs of state, local, and tribal law enforcement, to shift law enforcements focus to preventing, rather than solely responding to crime and disorder within their communities; develop state-of-the-art training and technical assistance to enhance law enforcement officers problem-solving and community interaction skills, promote collaboration between law enforcement and community members to develop innovative initiatives to prevent crime, and provide responsive, cost effective service delivery to our grantees to ensure success in advancing community policing strategies within their communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The COPS Office was established in 1994 to assist law enforcement agencies in enhancing public safety through the implementation of community policing strategies. The COPS Office will continue to fulfill its mission of advancing the practice of community policing by:

- *providing grants under COPS Hiring to meet the Administrations goal of an additional 50,000 sworn community policing professionals nationwide,
- *continuing to support innovative programs that respond directly to the emerging needs of state, local, and tribal law enforcement, to shift law enforcements focus to preventing, rather than reacting to crime and disorder within their communities,
- *developing state-of-the-art training and technical assistance to enhance law enforcement officers problem-solving and community interaction skills,
- *promoting collaboration between law enforcement and community members to develop innovative initiatives to prevent crime,
- *providing responsive, cost effective service delivery to our grantees to ensure success in advancing community policing strategies within their communities,
- *supporting evidenced-based community policing practices that have proven to be effective; can be easily replicated by a broad cross-section of law enforcement agencies; and, are sustainable, and
- *providing national leadership to the law enforcement field regarding the adaptation of Values Based Policing Principles; which is a results driven philosophy that minimizes an organizations process while emphasizing outcomes. It integrates the core beliefs of an organization into every aspect of its operations. Values Based Policing allows an employee to be driven by what is right instead of what is in writing.. The COPS Office authorizing statutee contains specific restrictions applicable to all programs. In addition, annual appropriations acts may impose additional restrictions. 100% of COPS funds are Discretionary funds.

Applicant Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Beneficiary Eligibility:

States, units of local government, Federally Recognized Indian tribal governments, U.S. territories or possessions (including the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Mariana Islands), other public and private entities, and multi-jurisdictional or regional consortia thereof.

Credentials/Documentation:

Documentation varies by program, but may include proof of primary law enforcement authority, any specified Memorandums of Understanding, and Certifications, Assurances and Disclosure of Lobbying Activities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicant submits application and budget forms as well as other required documentation (including required assurances, certifications, retention information, lobbying, etc.) prescribed by the grantor agency and approved by OMB, as outlined in the Application Guide. Application Guides are available from the grantor agency. At the time of submission, original or certified electronic signatures of duly authorized representatives (usually the

Law Enforcement and Government Executive). The COPS Office offers the option of applying for COPS Grants online through the COPS website and using the collaborative, multiple-agency E-government initiative Grants.gov. If applicants apply online, the agency is required to complete the mandatory online forms and submit the application through their Authorized Organizational Representative.

Award Procedure:

Upon approval by the Director, Office of Community Oriented Policing Services, award notification letters will be sent to grantees. The grant award must be signed by a duly authorized representative and returned to the designated office within 90 days of the award mail date.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Typically between 4-6 months after receipt of application.

Appeals:

See 28 CFR Part 18.

Renewals:

COPS grants are made for between 1 and 3 years depending on the program. Renewals and/or extensions may be available under some grant programs and will be considered on a case-by-case basis. If available, extensions should be requested not sooner than 90 days prior to the expiration of the original project period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no local match requirement for CHRP, CSPP, Tech, Meth, SSI, and TRGP. The COPS Office funds 100% of total allowable project costs.

The COPS Office funds up to \$125,000 of total project costs under the COPS in Schools grant program. Any costs exceeding this cap are the grantees responsibility.

COPS MORE, Interoperable Communications Technology Program (ICTP) , and UHP grantees are obligated to contribute at least 25 percent of the costs of the total allowable project or activity, as funded by the COPS Office, unless a waiver is obtained from the COPS Office (when available under program guidelines). Grant awards may cover up to 75 percent of the costs over the grant period as outlined in the application submission (42 USC 3796dd(I)).

Secure Our Schools grantees are obligated to contribute at least 50 percent of the project or activity.

All matching contributions are cash matches. The COPS Office does not allow in-kind matches.

This program has MOE requirements, see funding agency for further details. COPS grants have Non-supplanting and Retention requirements.

Length and Time Phasing of Assistance:

COPS grants are made for between 1 and 3 years depending on the program. Assistance is released on a reimbursement or limited advance basis. See the following for information on how assistance is awarded/released: Grantees submit request for payment when needed.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual programmatic reports and quarterly financial status reports will be required as stipulated in the effective edition of the relevant grant owner's manual distributed by the grantor agency. Other reporting requirements, such as a final progress report and a closeout report may also be required depending on the grant program. For COPS Hiring grants awarded under ARRA, agencies that receive funding are required to comply with the additional quarterly reporting requirements as outlined in the Act. Grantees are required to submit quarterly SF-425 financial expenditure reports for each individual COPS grant awarded. COPS uses a risk-assessment model to determine on-site monitoring locations.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, and all other records pertinent to a grant shall be retained for a period of 3 years following the conclusion of Federal assistance.

Account Identification:

15-0406-0-1-754; 15-0412-0-1-754 - COPS ARRA Funding.

Obligations:

(Project Grants) FY 09 \$276,992,742; FY 10 est \$577,108,000; FY 11 est \$690,000,000. (Project Grants) FY 09 \$999,899,314; FY 10 est \$6,366,889; FY 11 est \$0

Range and Average of Financial Assistance:

\$1,914 to \$19,747,117; \$647,823 (average).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funded 4,699 community policing officers through the Recovery Act. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Application Guides and current edition of M7100.1, which are available from Headquarters.

Regional or Local Office:

None.

Headquarters Office:

Stephen Ng, 1100 Vermont Avenue, NW, Washington, District of Columbia 20530 Email: stephen.ng@usdoj.gov Phone: (202) 514-8074.

Website Address:

<http://usdoj.gov/cops> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria for selecting proposals are set forth in the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322. Additional criteria may be developed by the Office of Community Oriented Policing Services and will be published in COPS application materials.

16.726 JUVENILE MENTORING PROGRAM**FEDERAL AGENCY:**

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974, Section 288, as amended, Public Law 93-415, as amended; Public Law 94-503, 95-115, 96-509, 98-473, 100-690, and 102-586, and Public Law 110-161, 42 U.S.C. 5667c.

OBJECTIVES:

To provide funding to state, local, community, and national organizations to propose the enhancement or expansion of initiatives that will assist in the development and maturity of community programs to provide mentoring services to high-risk populations that are underserved due to location, shortage of mentors, special physical or mental challenges of the targeted population, or other analogous situations identified by the community in need of mentoring services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To be eligible for an Award under the Juvenile Mentoring Program, an applicant must: (1) respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); (2) be consistent with the objectives and priorities of OJJDP; (3) provide for proper program administration, evaluation, and fiscal reporting; (4) demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and (5) respond to clear and documentable needs.

Applicant Eligibility:

Please see individual solicitations for applicant eligibility.

Beneficiary Eligibility:

States, Territories, and public/private nonprofit organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-110 for Institutions of Higher Education, Hospitals and Other Nonprofit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must submit a proposal to the Office of Justice Programs through the Grants Management System (GMS). The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

Upon approval by the Office of Justice Programs, a letter is sent to the applicant agency with copies of the Grant Award. One copy of the Grant Award must be signed by an authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 3 months.

Appeals:

Informal reconsideration by Administrator for assistance applicants; administrative hearings for assistance award terminations, SCE CFR Pat. 18, 50 FR 28199, July 11, 1985.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made for one to four years depending on the solicitation. Drawdowns are possible under a letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Contact the program office for further details. Contact the program office for further details. Demonstration Programs Division grantees are required to submit semi-annual progress and quarterly financial reports. Demonstration Programs Division grantees are required to submit semi-annual progress and

quarterly financial reports. Contact the program office for further details.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise.

These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for three years.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 09 \$76,922,851; FY 10 est \$98,798,197; FY 11 est \$45,000,000

Range and Average of Financial Assistance:

Award amounts vary according to solicitation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, awards were made under the following programs:

Second Chance Juvenile Mentoring Initiative provides funding for nonprofit organizations and Indian tribes to develop, implement, and expand mentoring programs and transitional services for juvenile offenders who are transitioning back to their communities after serving a sentence in a correctional facility.

Strategic Enhancement to Mentoring Program supports research- and evidence-based enhancements to mentoring programs involving the parents and family in activities and services, delivering structured activities and programs for the mentoring matches, and developing training and support for mentors.

Gang Prevention Youth Mentoring Program supports the establishment or expansion of a mentoring program that offers a mixture of core services and engages youth with activities that enable them to practice healthy behaviors within a positive prosocial peer group. The target population is youth at risk of gang activity, delinquency, and violence.

Mentoring Initiative for Foster Care Youth supports the development and enhancement of mentoring programs and support services for at-risk youth in the foster care system to prevent their involvement in the juvenile justice system and for youth in foster care who are currently involved in the juvenile justice system.

National Mentoring Programs provides support to national organizations to enhance or expand mentoring initiatives to assist in the development and continued growth of community programs. These programs provide mentoring services to high-risk populations that are underserved due to location, shortage of mentors, special physical or mental challenges of the targeted population, or other related situations identified by the community in need of mentoring services.

National Youth Mentoring Training and Technical Assistance Initiative provides training and technical assistance to build the competency, performance, and capacity of mentoring grantees.

Mentoring Research Program will evaluate the impact that adding a paid component to a volunteer mentoring program has on its effectiveness. The evaluator will conduct process and outcome evaluations to measure the success of the programs paid and volunteer mentors. The evaluation will also measure changes in recruitment, retention, length of matches, and the prevention of delinquency. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide is applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, 810 Seventh Street, N.W., Washington, District of Columbia 20531
Phone: (202) 307-5914.

Website Address:

<http://www.ojjdp.ncjrs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.727 ENFORCING UNDERAGE DRINKING LAWS PROGRAM

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

The statutory authority for the Enforcing Underage Drinking Laws (EUDL) Block Grant Program may be found within Section 504 of the Juvenile Justice and Delinquency Prevention Act, 42 U.S.C. 5783. Congress has delegated the authority to administer the EUDL Program to the Office of Juvenile Justice and Delinquency Prevention (OJJDP), in the Department of Justice's (DOJ's) Office of Justice Programs (OJP) under the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The EUDL Discretionary Program supports and enhances states' and local jurisdictions' efforts to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors. (For the purpose of this solicitation, "minors" are defined as individuals under the age of 21.) Activities under the EUDL Program may include: -Statewide task forces of state and local law enforcement and prosecutorial agencies to target establishments suspected of a pattern of violations of state laws governing the sale and consumption of alcohol by minors. -Public advertising programs to educate establishments about statutory prohibitions and sanctions. -Innovative programs to prevent and combat underage drinking.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Enforcing the Underage Drinking Laws (EUDL) Program allocates block grants for every state and the District of Columbia to enforce state laws prohibiting the sale of alcoholic beverages to minors and to prevent the purchase or consumption of alcoholic beverages by minors. From fiscal years (FYs) 1998 to 2009, Congress appropriated \$25 million annually to OJJDP for its EUDL program. In fiscal year 2010, a total of \$25 million will be awarded as block and discretionary grants in the following manner: Funds will be dispersed in the amount of \$356,400 to each State, each Territory, and the District of Columbia in block grants totaling \$19,958,400 to support and enhance efforts by States, in cooperation with local jurisdictions, to prohibit the sale of alcoholic beverages to, or consumption of alcoholic beverages by minors. Funds will be dispersed to support discretionary program activities in local jurisdictions, as well as training and technical assistance to all States. To support local discretionary programming activity, funds plan to be competitively awarded to State agencies receiving block grants. The local programs implement the comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of laws pertaining to underage alcohol purchase, possession, and use. For purposes of this initiative, minors are defined as individuals under 21 years of age. These funds cannot be used to supplant existing programs and activities (Supplanting

means to deliberately reduce State or local funds because of the existence of Federal funds).

Applicant Eligibility:

To receive a Block Grant, Governors and the Mayor of the District of Columbia designated an agency to serve as the point of contact to apply for, receive, and administer the targeted grant funds. The designated State agencies may apply to receive a Discretionary Grant. Their applications must detail a comprehensive approach to curtailing access and consumption of alcoholic beverages by minors, including the enforcement of underage drinking laws and how that approach will be implemented by State-selected local communities. States are encouraged to link with ongoing public and private efforts (including those of foundations and national organizations). Training and technical assistance were made available through a separate application process.

Beneficiary Eligibility:

States are eligible to apply for discretionary funds through a separate application process.

Credentials/Documentation:

Each applicant applying for funds must submit a completed application, including signed assurances that it will comply with administrative requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed Standard Form 424 and other information outlined in the Application Kit to the Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention. Each applicant must detail a comprehensive approach to enforcing underage drinking laws and describe how the Federal funds will be used to contribute to the implementation of that comprehensive approach. States are encouraged to link with ongoing public and private efforts (including law enforcement agencies and those of foundations and national organizations). The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

A letter with copies of the grant award is sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by an authorized official and returned to the Office of Justice Programs. Local units of government will receive Enforcing Underage Drinking Laws funds through the applicant agency subgrant award process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved within 1-3 months.

Appeals:

Hearings and appeal procedures will follow 28 CFR Part 18, of the Department of Justice Regulations.

Renewals:

Renewals are subject to appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for 24 months. Method of awarding/releasing assistance: by

letter of credit.

Reports:

Quarterly financial and semiannual progress reports are required. Contact program office. Contact program office. Contact program office. Contact program office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as described in OJP's Financial Guide, Chapter 19.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, recipients must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 09 \$24,785,398; FY 10 est \$25,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Sharon Cantelon/Scott Pestridge, Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: Sharie.cantelon@usdoj.gov; Scott.pestridge@usdoj.gov Phone: (202) 616-3658; (202) 514-5655.

Website Address:

<http://www.ojjdp.ncjrs.org>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Enforcing Underage Drinking Laws Program Guidelines, which are posted annually, as established by the Office of Juvenile Justice and Delinquency Prevention.

16.730 REDUCTION AND PREVENTION OF CHILDREN'S EXPOSURE TO VIOLENCE

Safe Start

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Public Law 105-277.

OBJECTIVES:

To develop an initiative to understand, prevent and reduce the impact of family and community violence on young children by helping communities to expand existing partnerships between service providers (such as law enforcement, mental health, health, early childhood education and others) to create a comprehensive service delivery system. To study the efficacy of these service delivery systems, replicate the most effect systems, and study the scope of the problem of children's exposure to violence in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applicant selected to receive Safe Start cooperative agreements may use funds to establish and enhance a broad range of local prevention, intervention and treatment services for young children who have been exposed and are at risk of being exposed to violence; develop effective multi-agency protocols; coordinate services to develop a community-wide system for responding to the needs of children exposed or at risk of exposure to violence; study the efficacy of these systems; and study the scope of the problem of children's exposure to violence in the U.S.

Applicant Eligibility:

To be eligible for a Safe Start cooperative agreement, applicants must be public agencies (including state agencies, local units of government, and tribal governments) and/or private organizations who agree to waive any profit or fee.

Beneficiary Eligibility:

Eligible applicants for the Safe Start Demonstration Projects are communities that have formed a strong collaborative group (or shown the ability and commitment to expand coordination with key partners such as courts, law enforcement, early childhood development and domestic violence agencies, and mental health services) to prevent and address the impact that exposure to violence has on young children. Applicants for the research and evaluation projects are public and private agencies (private, for-profit organizations must agree to waive any profit for fee), organizations, institutions, or individuals that have demonstrated experience in evaluating broad-based community initiatives and whose experience includes the design of studies capable of analyzing process and measuring impact across multiple communities and the development and delivery of evaluation-based training and technical assistance.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, Circular No. A-21 for educational institutions, and Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. OJJDP will determine whether applications for funding be submitted through the Internet using the Office of Justice Programs online Grants Management System (GMS) or through Grants.gov. Applicants are expected to address each concern or requirement in the solicitation as clearly and specifically as possible, giving particular attention to goal and objective statements, methodology and data requirements. A peer review group is established as mandated in Section 262(d)(1)(A) of JJDP Act and applications are rated and ranked in relation to pre-defined selection criteria. This program is subject to the provisions of OMB Circular No. A-110 and the Common Rule.

Award Procedure:

Cooperative agreements are awarded directly to selected applicants, who are notified of a pending award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Continuation grant and/or supplemental award.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards may be for projects from 12 to 48 months. Specific direction about the length of the projects to be funded is given in the solicitation. Method of awarding/releasing assistance: by letter of credit.

Reports:

Biannual progress reports, performance measure data submission and quarterly financial reports are required. No cash reports are required. Biannual progress reports. No expenditure reports are required. Performance measure data submission and quarterly financial reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise.

These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years after the date of the final report.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$202,833; FY 10 est \$4,971,959; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe Start solicitation/guideline is published in the Federal Register and awards are governed by the OJP Financial Guide, which is available upon request. Reports and studies developed through the OJJDP National Institute are available and can be secured by contacting OJJDP in Washington, DC.

Regional or Local Office:

None.

Headquarters Office:

Ron C. Laney, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, 810 7th Street, N.W., Washington, District of Columbia 20531 Email: Ronald.Laney@usdoj.gov Phone: (202) 616-3637.

Website Address:

<http://www.ojjdp.ncjrs.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Information about funded programs is available at: <http://www.ojjdp.ncjrs.gov/programs/ProgSummary.asp?pi=15&ti=&si=&kw=&PreviousPage=ProgResults>. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review

Policy, 28 CFR Part 34.

16.731 TRIBAL YOUTH PROGRAM

TYP

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Departments of Commerce, Justice, State, the Judiciary, and Related Agencies Appropriations Act, Public Law 105-277.

OBJECTIVES:

To support and enhance tribal efforts for comprehensive delinquency prevention, control, and juvenile justice system improvement for Native American youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To reduce, control, and prevent crime both by and against tribal youth; to provide interventions for court-involved tribal youth; to improve tribal juvenile justice systems; and to provide prevention programs focusing on alcohol and drugs.

Applicant Eligibility:

Applications are only invited from Federally recognized tribes and Alaskan Native villages, however, tribes and villages may partner with others as applicable. If partnering with others, a Memorandum of Understanding (MOU) should be submitted with the application. Additionally, one tribe must be chosen as the primary agency for funding and reporting purposes. Only tribal governments and tribal organizations that have a tribal resolution sharing the endorsement of the tribal government are eligible to apply. A tribal resolution must be included with the application.

Beneficiary Eligibility:

All Federally recognized tribes and Alaskan Native villages.

Credentials/Documentation:

Each applicant must submit a completed application, including signed assurances that it will comply with statutory and administrative requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. OJP requires that applications for funding be submitted through OJP's Grants Management System (GMS). Access the system at <https://grnts.ojp.usdoj.gov>. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

A letter with copies of the grant award are sent to the applicant tribe upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved within 45-90 days of receipt of a complete application.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18, of the Department of Justice Regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly financial and semiannually progress reports are required. No cash reports are required. Quarterly financial and semiannually progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All tribes that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended. These audits are due to the Office of the Comptroller and the Federal Audit Clearinghouse no later than 13 months after the close of each fiscal year during the term of the award. Audit report packages are due 9 months after the close of the fiscal year.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 09 \$24,557,355; FY 10 est \$24,730,584; FY 11 est \$25,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since FY 1999, OJJDP has awarded 321 grants to 299 federally-recognized tribes to help them develop and implement culturally sensitive programs in the five following categories: prevention services to impact risk factors for delinquency, interventions for court-involved tribal youth, improvements to the tribal juvenile justice system, alcohol and drug abuse prevention programs, and mental health program services. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Laura Ansera, Office of Juvenile Justice and Delinquency Prevention, Office of Justice Programs 810 7th Street, NW, Washington, District of Columbia 20531 Phone: (202) 307-5924.

Website Address:

<http://www.ojp.usdoj.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated and rated by a modified peer review process according to prescribed selection criteria.

16.734 SPECIAL DATA COLLECTIONS AND STATISTICAL STUDIES

Statistics

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3731-3735.

OBJECTIVES:

To produce official national statistics on crime and the administration of justice to be used to guide Federal, State, and local policy-making and improve the quality of and access to information used for decision making.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of BJS's statistical programs including: to conduct data collection and processing activities; carry out statistical and methodological research; provide technical assistance to State, local, and tribal governments to develop their capabilities to produce justice statistics; and provide dissemination and clearinghouse services to data users.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals. Applicants from the Territories of the United States and federally recognized Indian Tribal Governments are also eligible to participate in this program.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

Awards are made by OJP and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement. the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. .

Appeals:

Not Applicable.

Renewals:

Most awards are for periods of 12 months. Under some circumstances, a

subsequent award may be made to continue the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period is generally 12 months. Assistance is released by "direct disbursement" at the request of the grantee. See the following for information on how assistance is awarded/released: Contact program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress Reports presenting information relevant to the performance of the project must be submitted online through GMS for the reporting periods June 30 and December 31. Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award must be submitted quarterly online through GMS. Performance measure data, as outlined in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least 3 years following the closure of the most recent audit report. Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least 3 years following the closure of the most recent audit report.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$40,980,087; FY 10 est \$56,025,090; FY 11 est \$62,500,000

Range and Average of Financial Assistance:

\$50,000 to \$600,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Lisa Price-Grear, BJS, Department of Justice, Washington, District of Columbia 20531 Email: lisa.price-grear@ojp.usdoj.gov Phone: (202) 616-3561.

Website Address:

<http://www.bjs.ojp.usdoj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be outlined in the program announcements or solicitations. Program announcements or solicitations can be found on BJS's website at <http://bjs.ojp.usdoj.gov/index.cfm?ty=fun>.

16.735 PROTECTING INMATES AND SAFEGUARDING COMMUNITIES DISCRETIONARY GRANT PROGRAM

Prison Rape Elimination

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Prison Rape Elimination Act of 2003.

OBJECTIVES:

To make grants to States and to assist those States in ensuring that budgetary circumstances (such as reduced State and local spending on prisons) do not compromise efforts to protect male and female inmates in adult and juvenile correctional facilities from prison rape as well as safeguarding the communities to which inmates return.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program is divided into two parts: Protecting Inmates and Safeguarding Communities. Protecting Inmates focuses on providing funding to State and local agencies for the costs of personnel, training, technical assistance, data collection, and equipment necessary for the proper prevention, investigation, and prosecution of prison rape and the provision of victim service and treatment. Safeguarding Communities focuses on training and technical assistance to states on moderating the growth of prison populations without compromising public safety; developing and utilizing analyses of prison populations and risk assessment instruments to educate State and local governments' understanding of risks to the community of inmate reentry; preparing and updating maps that provide on a community-by-community basis a roster of returning offenders; promoting collaborative efforts with State and local governments to understand and address the risks involved when a large number of inmates reenter a community; and developing policies and programs that reduce spending on prisons by effectively reducing rates of parole and probation revocation without compromising public safety. Grant awards of up to \$1,000,000 will be made, with a grant period not to exceed 24 months.

Applicant Eligibility:

Eligible applicants are States which are interested in: (1) protecting inmates by undertaking efforts to more effectively prevent, investigate, and prosecute prison rape incidents and providing the appropriate victim services and treatment, and (2) safeguarding communities through various mediums such as intergovernmental collaboration, assessment, training, and technical assistance. Applicants in varying stages of developing a prison rape initiative are eligible and encouraged to apply. Applicants must coordinate their proposal with other stakeholders in their State to ensure a collaborative response to this solicitation and ensure that agencies within a single jurisdiction are not competing against one another in the grant process. The Chief Executive of the State shall submit one application which designates which State agency will manage the grant. (Note: The Chief Executive must certify that his/her State has adopted, or will consider adopting, the national prison rape standards.) States are encouraged to make sub-awards to local jurisdictions (e.g., jails, lock-ups, detention centers) for such purposes consistent with this solicitation.

Beneficiary Eligibility:

Eligible applicants are States.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes: (1) program goals; (2) implementation process; (3) timetable for implementation; and (4) documentation that includes organizational experience, name of grantee agency or fiscal agent, identification of management structure, letters of support/commitment and/or memoranda of understanding, and description of previous collaboration. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must coordinate their proposal with other stakeholders in their State to ensure a collaborative response to this solicitation and ensure that agencies

within a single jurisdiction are not competing against one another in the grant process. The Chief Executive of the State shall submit one application which designates which state agency will manage the grant. (Note: The Chief Executive must certify that his/her state has adopted, or will consider adopting, the national prison rape standards.) States may make sub-awards to local jurisdictions (e.g., jails, lock-ups, detention centers) for such purposes consistent with this solicitation. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit a completed Standard Form 424 and other information outlined in the Application Kit to the Office of Justice Programs, Bureau of Justice Assistance. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. Applications must be submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/>.

Award Procedure:

A letter with copies of the grant award are sent to the applicant agency upon approval by the Office of Justice Programs. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

24 months. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Recipients are required to submit Individual Project Reports as sub-awards are made, and an annual Evaluation Report. No cash reports are required. No progress reports are required. Quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 09 \$2,371,183; FY 10 est \$13,300,000; FY 11 est \$11,087,500

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Griffith, Bureau of Justice Assistance, Office of Justice Programs, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Phone: (202) 616-6500.

Website Address:

<http://www.ojp.usdoj.gov/BJA/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be scored based upon ability to clearly articulate strategy in the following areas: Budget Applicants must provide a budget that is complete, allowable, and cost effective in relation to the activities to be undertaken. Program Narrative Project Abstract Applicants must briefly describe in 200 words or less the project's purpose, goals and objectives, and summarize the activities that will be implemented to achieve the goals and objectives, as well as the infrastructure and collaboration necessary to manage the proposed activities. Problem To Be Addressed -- Applicants must demonstrate an understanding of the problem; discuss historical and current efforts for addressing the problem; provide statistical evidence of the problem; illustrate what the applicant is proposing to do and how the applicant intends to do it; and provide qualitative and quantitative data to evaluate outcomes. Goals and Objectives Applicants must identify the progressive steps to be taken in order to accomplish the goal; identify how the agency's purpose area goal will enhance or expand an existing strategy; and include how achievement of the enhancement of expansion will be measured. Project Design/Strategy Applicants must provide a strategy that discusses the program's concept, identifies the program's target population(s) and target area(s), and explains how this strategy will achieve the project's goals and objectives. Management and Organizational Capability.

16.737 GANG RESISTANCE EDUCATION AND TRAINING

G.R.E.A.T

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

The Consolidated Appropriations Act 2004, Public Law 108-109.

OBJECTIVES:

The Gang Resistance Education and Training (G.R.E.A.T.) is a school-based, law enforcement officer-instructed classroom training curriculum. G.R.E.A.T.'s violence prevention curriculum is a life-skills competency program designed to provide students with the skills they need to avoid gang pressure and youth violence. The program's primary objective is prevention and minimizing the probability of high risk youth engaging in delinquency, youth violence, and gang membership. The instruction is taught in the classroom by specially trained, uniformed law enforcement officers. The curriculum can be used in conjunction with other prevention programs encouraging positive relationships between the community, parents, schools, and law enforcement. The G.R.E.A.T. program is comprised of a continuum of curricula Middle School (6th 8th grades); Elementary (3rd and 4th grades); Families Component (parents/guardians and youth) and Summer Component. The various components and curricula build on and reinforce each other in addressing the

factors that contribute to youth violence and gang involvement.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Law enforcement agencies can only use the funds provided to administer the G.R.E.A.T. Program in their respective communities. This includes providing G.R.E.A.T. training for law enforcement officers and the purchasing of materials and supplies for the implementation and ongoing operation of the program.

Applicant Eligibility:

All State, county, tribal and municipal units of general purpose government and law enforcement agencies and other special purpose law enforcement agencies (i.e., independent school districts) in the United States and U.S. Territories.

Beneficiary Eligibility:

All State, county, tribal and municipal governments, law enforcement agencies and schools in the United States and U.S. Territories.

Credentials/Documentation:

Jurisdiction or agency head must enter into the award agreement with the Office of Justice Programs (OJP). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted by the Chief Executive Officer of a jurisdiction, or formal designee or law enforcement agency head, via the Internet-based Office of Justice Programs (OJP) Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about the OJP GMS, call the OJP GMS Hotline. Telephone: 1-888-549-9901; or access the BJA home page at www.ojp.usdoj.gov/BJA. For general information about the G.R.E.A.T. Program, contact the U.S. Department of Justice. Telephone: 1-866-859-2687 or by email at AskGreat@usdoj.gov.

Award Procedure:

Awards are issued by the Assistant Attorney General, Office of Justice Programs via the Internet-based Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Grantees will be notified of the availability of the award via e-mail. The award must be accepted by the jurisdiction or agency head, with assurance of compliance with standard and special conditions of the grant award. To accept the award, grantees must print the award document from the GMS. The award must be accepted (signed) by applicant jurisdiction or agency head or his/her official designee and faxed to the Office of the Comptroller Control Desk.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grant awards and denials are generally made within 90 days of application submission.

Appeals:

Not Applicable.

Renewals:

Contingent upon congressional funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are issued for 12 or 18 months. Grantees may request extensions,

which cannot exceed 12 additional months. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

No program reports are required. No cash reports are required. Applicants are required to submit semi-annual Categorical Assistance Progress Reports. Applicants are required to submit quarterly Financial Status reports. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Program/project reviews will be conducted by Department of Justice personnel, as needed, to ensure correct usage of funds and compliance with program requirements.

Records:

Agencies are required to maintain a system of standardized records for inspection or disclosure.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants) FY 09 \$6,877,986; FY 10 est \$4,978,573; FY 11 est \$12,500,000

Range and Average of Financial Assistance:

Level I: Up to \$60,000. Agencies implementing the G.R.E.A.T. Middle School component.

Level II: Up to \$100,000. Agencies implementing the G.R.E.A.T. Middle School component and one other component.

Level III: Up to \$150,000. Agencies implementing the G.R.E.A.T. Middle School component and two other components.

Level IV: Up to \$250,000. Agencies implementing the G.R.E.A.T. Middle School Component and three other components.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Funding guidelines and criteria are published annually in the National Federal Register. Information on the program can be obtained by contacting the G.R.E.A.T. Program Headquarters office. A cross-sectional evaluation of the program can be found in the National Institute of Justice Research in Brief, November 1997 issue, "National Evaluation of G.R.E.A.T." by Finn-Aage Esbensen and D. Wayne Osgood.

Regional or Local Office:

See Regional Agency Offices. The G.R.E.A.T. Program currently has five regional offices that are each run by a local law enforcement agency. The offices and contacts are: (1) Southeast Region, Orange County Sheriff's Office, 2500 W. Colonial Drive - 2nd Floor., Orlando, Florida 32804. Telephone: (407) 254-7369; (2) Northeast Region, Philadelphia Police Department, Community Relations Division, 1328 Race Street - 2nd Floor., Philadelphia, Pennsylvania 19107. Telephone: (215) 686-1477; (3) Midwest Region, La Crosse Police Department, 400 La Crosse Street, La Crosse, Wisconsin 54601. Telephone: (608) 789-8202; (4) Southwest Region, Phoenix Police Department, 620 West Washington Street, Phoenix, Arizona 85003. Telephone: (602) 495-0432; and (5) Northwest Region, Portland Police Bureau, 449 NE Emerson, Portland, Oregon 97211. Telephone: (503) 823-2111.

Headquarters Office:

Linda Hammond-Deckard, Bureau of Justice Assistance - Policy Office; 810 Seventh Street, NW, 4th Floor, Washington, District of Columbia 20531
Email: AskGreat@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

Bureau of Justice Assistance, GREAT:
<http://www.ojp.usdoj.gov/BJA/grant/great.html>; GREAT On-Line:
<http://www.great-online.org>; GREAT Officer Training:
<http://www.great-nline.org/greatofficertaining.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are evaluated on a variety of criteria in order to determine funding, including: the number of children to which the applicant teaches the G.R.E.A.T. Program, other programs applicants have in place that could reinforce the program goals and the applicant's participation in the national administration of the program are all taken into consideration. Additional considerations include community population size, scope of gang-related issues in the community, and applicant's past performance. During each funding period, due consideration will be given to funding new applicants whose applications meet the program criteria.

16.738 EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT PROGRAM

Byrne JAG Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Part E of title I of the Omnibus Crime Control and Safe Streets Act of 1968 as amended Subpart 1 of such part (42 U.S.C. 3751-3759). Consolidated Appropriations Act, 2008, Public Law 110-161. Public Law 109-162, Title XI, Department of Justice Reauthorization, Subtitle B, Improving the Department of Justice's Grant Programs, Chapter 1, Assisting Law Enforcement and Criminal Justice Agencies, Section 1111. Merger of Byrne Grant Program and Local Law Enforcement Block Grant Program.

OBJECTIVES:

JAG funds support all components of the criminal justice system from multijurisdictional drug and gang task forces to crime prevention and domestic violence programs, courts, corrections, treatment, and justice information sharing initiatives. JAG funded projects may address crime through the provision of services directly to individuals and/or communities and by improving the effectiveness and efficiency of criminal justice systems, processes, and procedures.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Law enforcement programs; prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs, and crime victim and witness programs. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

All States, the District of Columbia, Guam, America Samoa, the Commonwealths of Puerto Rico, the Virgin Islands, and the Northern Mariana Islands. Units of local government are eligible consistent with established guidelines.

Beneficiary Eligibility:

States, Territories, and units of local government.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. Costs will be determined in accordance with 2 CFR, Part 225 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28CFR, Part 66 must be used with this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the

State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation as well as the JAG Program Guidance Manual.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Assistance, and must be accepted by the applicant agency or institution according to the special conditions of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance will not disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity for correction and reconsideration.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18 Code of Federal Regulations.

Renewals:

Subject to annual appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: The Bureau of Justice Statistics (BJS) calculates, for each state and territory, a minimum base allocation which, based on the congressionally mandated JAG formula, can be enhanced by (1) the states share of the national population, and (2) the states share of the countrys Part 1 violent crime statistics. Once the State funding is calculated, 60 percent of the funding is awarded to the State and 40 percent to the eligible units of local government. State also have a variable percentage of the allocation that is required to be passed through to units of local government. This amount, as calculated by BJS, is based on each State's crime expenditures. Additionally, the formula then calculates direct allocations for local governments within each state, based on their share of the total violent crime reported within the state. Local governments entitled to at least \$10,000 awards may apply directly to BJA for local JAG grants. There is no match required at the Federal level. However matching is an effective strategy for states and local units of government to expand justice funds and build buy-in for local criminal justice initiatives.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of formula grant awards under this program is 4 years. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

No program reports are required. No cash reports are required. Progress reports are required in accordance with the Office of Justice Programs Financial Guide. Financial reports are required in accordance with the Office of Justice Programs Financial Guide. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are

subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 09 \$529,604,298; FY 10 est \$515,814,445; FY 11 est \$519,000,000

Range and Average of Financial Assistance:

\$10,000 to \$37,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See program web site at <http://www.ojp.usdoj.gov/BJA/index.html> or contact the State Administering Agency, listed at <http://www.ojp.usdoj.gov/saa/>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide, the Bureau of Justice Assistance Justice Assistance Grant Program Guidance Manual.

Regional or Local Office:

See Regional Agency Offices. Contact the State Administering Agency, listed at <http://www.ojp.usdoj.gov/saa/>.

Headquarters Office:

Eileen Garry Office of Justice Programs, Bureau of Justice Assistance, 4th Floor, State Policy Office, 810 Seventh Street, N.W., Washington, District of Columbia 20351 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647

Website Address:

<http://www.ojp.usdoj.gov/BJA/>

RELATED PROGRAMS:

16.579 Edward Byrne Memorial Formula Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.739 NATIONAL PRISON RAPE STATISTICS PROGRAM

PREA

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

Omnibus Crime Control and Safe Streets Act of 1968, as amended; 42 U.S.C. 3732, and Prison Rape Elimination Act, Public Law 108-79.

OBJECTIVES:

To collect and analyze data on the incidence of sexual assault among individuals held in Federal and State prisons, local jails, and juvenile facilities as well as former inmates.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for a variety of activities in support of the Implementation of the National Prison Rape Statistics program including: to conduct data

collection and processing activities, and carry out statistical and methodological research.

Applicant Eligibility:

The Bureau of Justice Statistics is authorized to award grants and cooperative agreements to State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Beneficiary Eligibility:

Eligible beneficiaries are State and local governments, private nonprofit organizations, public nonprofit organizations, profit organizations, institutions of higher education, and qualified individuals.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, details of the program budget composition, goals, impact, methods, evaluation plan, and resources of the project. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Hearing by Director.

Renewals:

Most awards are for periods of 12 - 18 months. Under some circumstances, a subsequent award may be made to continue the project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project duration period is generally 12-18 months. See the following for information on how assistance is awarded/released: Assistance is released by "direct disbursement" at request of grantee.

Reports:

No program reports are required. No cash reports are required. Progress Reports presenting information relevant to the performance of the project to be submitted online through GMS for the reporting periods June 30 and December 31. Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award to be submitted quarterly online through GMS. Performance monitoring is included on the site visit check list.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for a least three years following the closure of the most recent audit report.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 not reported.; FY 10 est \$12,500,000; FY 11 est not reported.

Range and Average of Financial Assistance:

\$1,000,000 to \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Lisa Price-Grear, Bureau of Justice Statistics, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: lisa.price-grear@usdoj.gov Phone: (202) 616-3561.

Website Address:

<http://www.ojp.usdoj.gov/bjs>.

RELATED PROGRAMS:

16.735 Protecting Inmates and Safeguarding Communities Discretionary Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be outlined in the program announcements or solicitations.

16.740 STATEWIDE AUTOMATED VICTIM INFORMATION NOTIFICATION (SAVIN) PROGRAM

SAVIN

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

The purpose of the SAVIN grant program is to assist States in building, implementing or improving their statewide automated victim notification system, thereby enabling them to provide critical information to victims in near-real time and to build a nationwide information sharing capability.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Technology improvement programs. SAVIN grantees are required to use the National Information Exchange Model (NIEM) (www.niem.gov), follow associated SAVIN implementation guidelines, and adopt the guidelines as part of their SAVIN program planning. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

Qualified agencies of State governments or other agencies authorized by State governments are eligible to apply for grant funds to establish new statewide automated victim notification programs in their States, or to expand the functionality of existing statewide automated victim notification programs. American Indian Tribes and Alaska Native Tribes and/or Tribal Organizations:

All tribal applications must be accompanied by a current authorizing resolution of the governing body of the tribal entity or other enactment of the tribal counsel or comparable government body. If the grant will benefit more than one tribal entity, a current authorizing resolution or other enactment of the tribal counsel or comparable government body from each tribal entity must be included. If the grant application is being submitted on behalf of a tribal entity, a letter or similar document authorizing the inclusion of the tribal entity named in the application must be included.

Beneficiary Eligibility:

States, Territories and Tribal governments.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the Federal agency in accordance with 28 CFR, Part 66 must be used with this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All competitive grant applications must be submitted electronically through the Grant Management System (GMS) at: <http://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

A letter and copies of the grant award are sent to the applicant agency upon approval by the Assistant Attorney General. One copy of the grant award must be signed by the grantee's authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance shall not finally disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity to correction and reconsideration.

Appeals:

Hearing and appeal procedures will follow 28 CFR Part 18, Code of Federal Regulations.

Renewals:

Renewals are subject to annual appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50%. Congress has mandated that Federal funds provided under the SAVIN program "require a 50 percent match from State, local or private sources." For each Federal dollar awarded, the managing agency must provide \$0.50 towards the planning, implementation, operation and/or assessment of the project from state, local or private sources. Matching contributions may be a cash match, an 'in-kind' match, or a combination of the two. For the purpose of this grant, cash match contributions consist of cash funds contributed to the planning, implementation, operation and/or assessment of the SAVIN project. For the purpose of this grant, in-kind matching contributions consist of indirect expenditures in support of the SAVIN project, including the cost of state/local program staffing, facilities, communication infrastructure, and equipment used in the planning, implementation, operation, management or assessment of the program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

BJA will award funding to deploy and operate the program for 24 months from the date of award. See the following for information on how assistance is

awarded/released: Reimbursement.

Reports:

No program reports are required. No cash reports are required. Progress reports are required in accordance with the Office of Justice Programs Financial Guide. Financial reports are required in accordance with the Office of Justice Programs Financial Guide. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0401-0-1-754.

Obligations:

(Project Grants) FY 09 \$11,365,519; FY 10 est \$11,900,000; FY 11 est \$12,000,000

Range and Average of Financial Assistance:

BJA will determine the number of awards based on available resources, the number of submissions received, and criteria set forth in this grant announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Michael Dever, 810 7th Street, N.W., 4th Floor, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 or 1-866-859-2687

Website Address:

<http://www.ojp.usdoj.gov/BJA/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable guideline requirements.

16.741 FORENSIC DNA BACKLOG REDUCTION PROGRAM

FEDERAL AGENCY:

National Institute of Justice, Department of Justice

AUTHORIZATION:

Department of Justice Appropriations Act, 2005, Public Law 108-447, 118 Stat. 2809, 2853, 2865 (2004).

OBJECTIVES:

To assist eligible States and units of local government to reduce forensic DNA

case turnaround time, increase the throughput of public DNA laboratories, and reduce DNA forensic casework backlogs. These improvements are critical to preventing future DNA backlogs and to helping the criminal justice system use the full potential of DNA technology.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Expenditures from Forensic DNA Backlog Reduction Program awards may include the following: (1) Salary and benefits of additional laboratory employees: Funds may be used to hire additional full-time or part-time laboratory employees who will be directly engaged in handling, screening, and/or analyzing forensic evidence that may contain DNA, or in validating new DNA analysis technologies. Matching funds are not required. NIJ makes no assurance that funds will be available for this purpose in future award announcements. (2) Overtime for existing laboratory staff: Funds may be used to pay overtime for existing laboratory employees who will be directly engaged in handling, screening, and analyzing forensic evidence that may contain DNA, or in validating new DNA analysis technologies. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at <http://www.ojp.usdoj.gov/finguide06>. (3) Training: Funds may be used for appropriate training of DNA laboratory personnel. - Existing members of the DNA unit: Appropriate training may include internal or external training, appropriate continuing education/training opportunities, and/or applicable graduate-level coursework that is directly related to the applicant's forensic DNA laboratory operation and is for members of the DNA Unit. (Reasonable travel expenses directly associated with training may be paid in accordance with the provisions of the OJP Financial Guide.) Funds associated with internal or external training, appropriate continuing education/training opportunities, and/or applicable graduate-level coursework for existing members of the DNA Unit (including travel expenses) are limited to no more than 5 percent of the total award. Funds used towards travel expenses, registration fees, tuition and required learning aids (e.g., textbooks) for appropriate training and continuing education opportunities that are not associated with professional meetings and conferences are not subject to the 5-percent cap. Such funds will be awarded with the intended purpose of aiding existing members of the DNA Unit to meet the continuing educational requirements mandated in DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation. - New members of the DNA Unit: States and units of local government that wish to use funds to train new employees to handle, screen or analyze DNA evidence should submit a detailed plan for training these individuals with their proposal. There is no fixed percentage cap on the funds for this purpose. (4) Travel (Limited): Funds may be used for travel to public or private accredited laboratories that will conduct DNA analyses to review procedures and practices prior to initial sample shipment, and to make one additional unannounced site visit. Funds may also be used for travel associated with DNA training as described above. Travel expenses must be reasonable and must comply with the applicable provisions of the OJP Financial Guide. (5) Equipment: Funds may be used for upgrading, replacing, and purchasing laboratory equipment, instrumentation, and computer hardware or software (e.g., LIMS or expert systems) for forensic DNA analyses and data management. (6) Supplies for Validation: Allowable supply expenses include the purchase of laboratory supplies that can be directly attributed to the validation of new DNA analysis technologies. Convicted offender-related evidence collection kits may also be purchased. (7) Supplies for in-house laboratory handling, screening, and analysis of forensic DNA casework samples: Funds may be used for costs associated with in-house laboratory handling, screening, and analysis of forensic DNA casework samples. These costs include supply expenses (to include any supplies necessary for the handling, screening, and analysis of backlogged forensic casework samples in addition to supplies discussed above) directly attributable to forensic DNA casework. (8) Renovation: Funds may be used for renovations to existing DNA laboratory facilities if it can be demonstrated that the purchases will directly and specifically improve the efficiency of the forensic DNA laboratory. Expenses that may be allowable include the purchase or upgrade of benches, cabinets, interior dividing walls, plumbing, HVAC systems, electrical wiring, evidence examination and preparation rooms, evidence storage rooms, drying rooms, walk-in freezers, and extraction or amplification rooms. (9) Consultant and contractor services other than sending forensic DNA casework samples to

accredited fee-for-service laboratories for analysis: Funds may be used to hire consultants and/or temporary contract staff to handle, screen, and analyze forensic evidence that may contain DNA or to validate new DNA analysis technologies. Funds may also be used to hire consultants or contractors to assist laboratories with the installation of LIMS or expert systems, or with the implementation of DNA process-improvement measures. (10) Sending forensic DNA casework samples to public or private accredited forensic DNA laboratories for analyses: Funds may be used to send samples to accredited fee-for-service laboratories to conduct DNA analyses. Funds may also be used to enter into agreements with accredited government-owned laboratories to conduct DNA analyses, perform data review, enter eligible forensic DNA profiles into CODIS, and, where applicable, upload to NDIS. If an eligible applicant chooses to send samples to an external accredited forensic DNA laboratory, the applicant will be responsible for ensuring that applicable NDIS Data Acceptance Standards are met for all profiles uploaded to NDIS. Administrative expenses: Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct and indirect administrative expenses specifically related to grant administration and management.

Applicant Eligibility:

Eligible applicants are State and units of local government with existing crime laboratories conducting DNA analysis that are either: (1) Accredited by a nonprofit professional association actively involved in forensic science that is nationally recognized within the forensic science community such as the American Society of Crime Laboratory Directors/Laboratory Accreditation Board (ASCLD/LAB) or the Forensic Quality Services (FQS), etc., (2) Undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation, or (3) If applicable, have submitted an approved spend plan for an active award under the FY 2007 DNA Backlog Reduction Program.

Beneficiary Eligibility:

Eligible applicants are State and units of local government with existing crime laboratories conducting DNA analysis that are either: (1) Accredited by a nonprofit professional association actively involved in forensic science that is nationally recognized within the forensic science community such as the American Society of Crime Laboratory Directors/Laboratory Accreditation Board (ASCLD/LAB) or the Forensic Quality Services (FQS), etc., (2) Undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation, or (3) If applicable, have submitted an approved spend plan for an active award under the FY 2007 DNA Backlog Reduction Program.

Credentials/Documentation:

The applicant must furnish a copy of their current accreditation certificate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll free to request a copy at (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

All applications will be reviewed by the Program Office. All final decisions will be made by the NIJ Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from application deadline.

Appeals:

Hearing by the NIJ Director.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards under this program are issued for a period of 18 months. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project. Performance measure data, as stipulated in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0401-0-1-754.

Obligations:

(Formula Grants) FY 09 \$155,350,938; FY 10 est \$155,263,000; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Forensic DNA Backlog Reduction Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities.

Regional or Local Office:

None.

Headquarters Office:

Mark Nelson, National Institute of Justice, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Email: Mark.S.Nelson@usdoj.gov Phone: (202) 305-2664.

Website Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All eligible State and units of local government with existing crime laboratories conducting DNA analysis that are accredited by a nonprofit professional association actively involved in forensic science that is nationally recognized within the forensic science community such as the American Society of Crime Laboratory Directors/Laboratory Accreditation Board (ASCLD/LAB) or the Forensic Quality Services (FQS), etc., and undergo external audits, not less than once every 2 years, that demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation.

16.742 PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM**FEDERAL AGENCY:**

National Institute of Justice, Department of Justice

AUTHORIZATION:

Paul Coverdell National Forensic Sciences Improvement Act of 2000, Public Law 106-561; as amended by Public Law 107-273; as amended by the Justice for All Act of 2004, Public Law 108-405.

OBJECTIVES:

To improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including controlled substances, firearms examination, forensic pathology, latent prints, questioned documents, toxicology, and trace evidence for criminal justice purposes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to improve the quality and timeliness of forensic science and medical examiner services, including services provided by laboratories operated by States and those operated by units of local government. Among other purposes, funds may be used to eliminate backlogs in the analysis of general forensic evidence, or to train and employ forensic laboratory personnel to eliminate such backlogs. State Administering Agencies and units of local government may apply directly to NIJ for funding. Funds may be used for personnel, computerization, laboratory equipment, supplies, accreditation, education, training, and certification, facilities' a formula, found in the funding announcement, determines the limit to the amount of funding that can be used for facilities, and administration expenses (not to exceed 10 percent). Funds may not be used for expenses other than those listed above.

Applicant Eligibility:

Coverdell State Administering Agencies and units of local government may apply directly to NIJ for funding. All applicants for Coverdell grants - whether States or units of local government - must have developed a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical examiner services, and must specifically describe the manner in which grant funds will be used to carry out that plan. States are expected to consider the needs of laboratories operated by units of local government as well as those operated by the State. Any forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant must use generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies. Each applicant for a Coverdell grant must be able to certify with respect to any forensic laboratory system, medical examiner's office, coroner's office, law enforcement storage facility, or medical facility that will receive any portion of the grant that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results.

Beneficiary Eligibility:

In accordance with the Paul Coverdell National Forensic Sciences Improvement

Act of 2000, Public Law 106-561, amended by Public Law 107-273, and the amendments in the Justice for All Act, Public Law 108-405, eligible applicants must be State or local (i.e., county and municipal) governments or combinations thereof. The purpose of this solicitation is to request applications for grants from State and local (i.e., county and municipal) governments to improve the quality and timeliness of forensic science and medical examiner services and/or to eliminate backlogs in the analysis of forensic evidence, including controlled substances, firearms examination, forensic pathology, latent prints, questioned documents, toxicology, and trace evidence for criminal justice purposes in State and local forensic laboratories.

Credentials/Documentation:

The applicant must furnish the following along with the application for a grant: a certification that states there exists a plan for forensic science laboratories that is designed to improve the quality and timeliness of forensic science or medical examiner services; a certification that states each forensic laboratory, forensic laboratory system, medical examiner's office, or coroner's office that will receive any portion of a Coverdell grant uses generally accepted laboratory practices and procedures as established by accrediting organizations or appropriate certifying bodies; a certification that states that a government entity exists and an appropriate process is in place to conduct independent external investigations into allegations of serious negligence or misconduct by employees or contractors substantially affecting the integrity of forensic results, and resumes of key personnel. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Potential applicants are encouraged to request the program announcement for additional information about eligibility requirements and application and selection procedures. The standard application form as furnished by the Federal agency, in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Applicants must contact their State Administering Agency to determine if the program has been selected for review by the State. The date that the application was sent to the State Administering Agency, or the reason such submission is not required, must be included in the application. A. Base Funds for States: Seventy-five percent of the funds available for Coverdell grants will be allocated among eligible States based on population (State base funds). To be eligible, a State must satisfy the specific application requirements outlined in this announcement and all other general requirements for NIJ and OJP grants. Applications for State base funding must be submitted by the Coverdell State Administering Agency listed on the Office of Justice Programs web site at: <http://www.ojp.usdoj.gov/state.htm>. B. Competitive Funds for States and Units of Local Government: Twenty-five percent of the available funds will be allocated among States and units of local government through a competitive process. Units of local government that provide forensic science or medical examiner services (whether through a forensic science laboratory, medical examiner's office, or coroner's office) may apply directly to NIJ for competitive funds. Coverdell State Administering Agencies may apply for competitive funds for forensic sciences improvements above and beyond those it can accomplish with its base funds. State applications for competitive funding will only be accepted from Coverdell State Administering Agencies. Other State agencies or departments who wish to apply for competitive funds must coordinate with their respective State Administering Agency. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Detailed information is provided in a program solicitation which is obtained by sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; calling toll-free to request a copy at (800) 851-3420; or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities. This program is subject to the Common Rule where applicable. The Office of Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP

Grants Management System (GMS), which will be accessed at <https://grants.ojp.usdoj.gov>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

Base applications are reviewed by the program office. The NIJ Director makes the final award decision. Competitive applications will be reviewed by a peer review panel selected for their operational expertise as well as their knowledge in the substantive areas covered by this program. The NIJ Director makes the final award decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

It generally takes 3 to 6 months after the closing date of the announcement to receive Coverdell funding.

Appeals:

Hearing by the NIJ Director.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In general, NIJ will limit grants under the Coverdell program to a maximum of 12 months. See the following for information on how assistance is awarded/released: See program office for more information.

Reports:

In addition, Coverdell grantees must submit a final report that must include a summary and assessment of the program carried out with the fiscal year grant funds and must cite the specific improvements in the quality and/or timeliness of forensic science and medical examiner services (including any reduction in forensic analysis backlog) that occurred as a direct result of the grant award. Other reports may be requested. No cash reports are required. Each State and unit of local government that receives funding under the Coverdell program must submit semiannual progress reports. Quarterly financial status reports for the life of the award. Fiscal reports consist of quarterly expenditures and budget expenditure reports; the final financial report gives costs and expenditures of the complete project. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter. Each State or local unit of government within the State that receives funding under this program must maintain adequate records relating to the receipt and use of the total grant amount. The Attorney General and the Comptroller General of the United States (or designees thereof) will have access to these records for audit and examination purposes.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 09 \$24,199,501; FY 10 est \$34,950,000; FY 11 est not reported.

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the Institute's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Coverdell grant announcement may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at www.ojp.usdoj.gov/nij under Funding Opportunities.

Regional or Local Office:

None.

Headquarters Office:

NIJ, National Institute of Justice, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Phone: (202) 307-2942.

Website Address:

<http://www.usdoj.gov/nij>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Base applications are reviewed by the program office. The NIJ Director makes the final award decision. Competitive applications will be reviewed by a peer review panel selected for their operational expertise as well as their knowledge in the substantive areas covered by this solicitation. The NIJ Director makes the final award decision.

16.745 CRIMINAL AND JUVENILE JUSTICE AND MENTAL HEALTH COLLABORATION PROGRAM

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Law 108-414, Mentally Ill Offender Treatment and Crime Reduction Act; Public Law 110-416, Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008.

OBJECTIVES:

To increase public safety through innovative cross-system collaboration for individuals with mental illness or co-occurring mental health and substance use disorders who come into contact with the criminal justice system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to: plan, create, or expand programs that promote public safety and public health by providing appropriate services for system-involved individuals with mental illnesses or co-occurring disorders; plan, create, or expand specialized training programs for criminal justice and mental health and substance abuse treatment personnel; plan, create, or expand law enforcement strategies to provide response options that are tailored to the needs of people with mental illnesses; plan, create, or expand mental health courts, other court-based programs, pre-trial, and diversion and alternative prosecution and sentencing programs; and/or promote and provide mental health or co-occurring treatment and transitional services for those incarcerated or transitional reentry programs for those released from a correctional institution.

Funding for planning grants can be used to design a strategic, collaborative plan to initiate systemic change for the identification and treatment of system-involved individuals with mental illnesses or co-occurring disorders. Funding for planning and implementation grants can be used to complete an already initiated strategic plan for their criminal justice and mental health collaboration program, and then begin implementation of the plan during the project period. Funding for expansion grants can be used to expand upon or

improve a well-established collaboration plan. Expansion funding must clearly demonstrate an expansion to the current functioning of an existing program. There are limitations on funding certain activities, including construction funds. See also the OJP financial guide for other funding prohibitions.

Applicant Eligibility:

Applicants are limited to States, units of local government, Indian tribes, and tribal organizations. BJA will only accept joint applications; each application must include a mental health agency as well as a unit of government with responsibility for criminal justice activities.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All applications must be submitted electronically via Grants.gov (www.grants.gov). Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

All applications will be reviewed the program office. The Assistant Attorney General, Office of Justice Programs, US Department of Justice makes the final award decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 120 days from application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. A grant made under this program may not cover more than 80 percent of the total costs of the project being funded during the first two years of the grant. For Category II (Planning and Implementation), the grant can cover no more than 60 percent of the total costs of the project during the third year. Match can include cash and in-kind sources. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

Program reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments. Cash reports are not applicable. Progress reports are not applicable. Fiscal report consisting of quarterly expenditures and budget expenditure reports; final financial report giving costs and expenditures of the complete project. Performance Measures: To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), P.L. 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of grants funds and grantee contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$9,366,278; FY 10 est \$12,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

In amounts consistent with the applicant's proposed project and the BJA's plans, priorities and levels of financing.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Criminal and Juvenile Justice and Mental Health Collaboration Program solicitation may be obtained by calling NCJRS at (800) 851-3420 or accessing the web site at <http://www.ojp.usdoj.gov/BJA/funding/index.html>.

Regional or Local Office:

None.

Headquarters Office:

BJA, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531
Phone: 202-616-6500 or 1-866- 859-2647.

Website Address:

<http://www.ojp.usdoj.gov/BJA>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are defined specifically in the solicitation to include a statement of need for the program, a description of the proposed strategy, a description of the management plan and the capacity to implement the program, an outline of how the program will be assessed or evaluated, and an explanation of how the project will be sustained once federal funding ends.

16.746 CAPITAL CASE LITIGATION

CCL

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. Section 14163; 42 U.S.C. Section 14163a.

OBJECTIVES:

To provide attorneys who litigate death penalty cases, with specialized legal training on death penalty issues in an effort to improve the quality of representation, produce reliable jury verdicts and minimize post conviction litigation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Priority will be given to States that currently enforce their death penalty statutes. Funding under this initiative cannot be used for training on the appellate process. Funds may not be used to supplant State and local funds.

Applicant Eligibility:

Limited to State-based agencies or organizations and units of local government that serve defense counsel, prosecutors and judges; and are located in States that currently enforce their death penalty statutes. American Indian Tribes and Alaska Native Tribes and/or Tribal Organizations: All tribal applications must be accompanied by a current authorizing resolution of the governing body of the tribal entity or other enactment of the tribal counsel or comparable government body. If the grant will benefit more than one tribal entity, a current authorizing resolution or other enactment of the tribal counsel or comparable government body from each tribal entity must be included. If the grant application is being submitted on behalf of a tribal entity, a letter or similar document authorizing the inclusion of the tribal entity named in the application must be included.

Beneficiary Eligibility:

Defense counsel, prosecutors and judges in the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, and the Northern Mariana Islands that currently enforce their death penalty statutes.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all Federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No formal preapplication coordination is required. While informal preapplication coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date. See the following for information on how assistance is awarded/released: Please contact the program office for further information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Successful applicants will be required to work with designated national organizations to implement the training at the state level and keep the training current with changing laws. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$4,863,423; FY 10 est \$5,500,000; FY 11 est \$5,500,000

Range and Average of Financial Assistance:

Applicant can request up to \$250,000.00. The maximum project period is 18 months.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Application Kit and Questions and Answers posted regularly on the OJP website at: <http://www.ojp.usdoj.gov/BJA/>. OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Danica Szarvas-Kidd, J.D. Bureau of Justice Assistance, Policy Office, 810 Seventh Street N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2687.

Website Address:

<http://www.ojp.usdoj.gov/BJA/grant/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.748 CONVICTED OFFENDER AND/OR ARRESTEE DNA BACKLOG REDUCTION PROGRAM**FEDERAL AGENCY:**

National Institute of Justice, Department of Justice

AUTHORIZATION:

Science, State, Justice, Commerce, and Related Agencies Appropriations Act.

OBJECTIVES:

To accelerate the analysis of convicted offender and/or arrestee DNA samples collected by States pursuant to applicable law for databasing purposes (hereinafter, "DNA database samples"), in order to provide timely Combined

DNA Index System (CODIS)- compatible data for all 13 CODIS core STR loci for State and national DNA databases. Funds are to be used by a State's designated existing and accredited DNA database laboratory to reduce the backlog of DNA database samples in one of three ways: a) Through in-house analysis. The DNA database laboratory will determine what its backlog is and can apply for no more than \$35.00 per sample to be analyzed. If the database laboratory lacks funding to ensure timely review of the profiles generated, it may apply for no more than \$5.00 per DNA profile generated in-house for no more than \$40.00 per sample tested in-house. b) Through sending samples to be tested by accredited fee-for-service laboratories. Funding requests for this option are to be calculated in exactly the same fashion as for the in-house testing option above. c) Through data review of sample profiles generated by an accredited fee-for-service laboratory. If the database laboratory lacks funding to ensure timely review of the profiles generated, it may apply for no more than \$5.00 per DNA profile generated. All profiles obtained from DNA database samples with funding provided under this announcement must be entered in the Combined DNA Index System (CODIS) within 90 days of completion of analysis and uploaded to the National DNA Index System (NDIS). Laboratories that receive funds from awards made under this announcement for sample testing and review must track and report the number of DNA database samples analyzed and/or reviewed under this program and the number of resulting CODIS hits.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

All expenditures from Convicted Offender and /or Arrestee DNA Backlog Reduction Program Awards must directly relate to analysis and data review of Convicted Offender and/or Arrestee DNA database samples by the State's designated DNA database laboratory or to data review profiles generated by an accredited-fee for service laboratory from such samples. Types of expenditures from awards under this program for in-house testing may include the following: Overtime - funds may be used for overtime of in-house laboratory staff directly engaged in (a) handling and analyzing DNA database samples, including handling and analyzing quality assurance samples, and (b) data review of profiles generated by an accredited fee-for-service vendor from DNA database samples that, due to the lack of laboratory resources, have yet to be reviewed and entered into NDIS; Salary and Benefits of Additional Laboratory Employees - funds may be used to hire additional full-time or part-time laboratory employees who will be directly engaged in the handling or analysis of DNA database samples, or the data review of DNA profiles generated from such samples (including profiles generated by accredited fee-for-service vendors); Certain Supplies - funds may be used to acquire commercially available PCR kits accepted by NDIS, and other laboratory supplies for analysis of DNA database samples, including analysis of quality assurance samples; and Administrative Expenses - up to 3 percent of the Federal portion of the award may be used for administrative and grant management expenses directly related to the performance of the project.

Types of expenditures from awards under this program for sending samples out for analysis by an accredited fee-for-service laboratory may include the following: review of DNA database profiles generated by an accredited fee-for-service laboratory. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at <http://www.ojp.usdoj.gov/financialguide/>. Contracts. Funds may be used to establish a contract for testing DNA Database samples with an accredited fee-for-service laboratory. Procurements are subject to the standards set forth in 28 C.F.R. section 66.36 and other applicable Federal law, including the provisions of 28 C.F.R. section 66.36 that relate to competition. Agencies will follow their own procurement procedures for establishing these contracts. Any sole source requests in excess of \$100,000 must be submitted and approved through GMS as required in the OJP Financial Guide. Contracts may only be established under this solicitation with accredited fee-for-service laboratories that are on the GSA Blanket Purchase Agreement list for Convicted Offender DNA Backlog Reduction Program (Outsourcing) used by the OJP Contract Office. Administrative Expenses. Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct or indirect administrative expenses specifically related to grant administration and management.

Types of expenditures from awards under this program for data review of DNA profiles generated by accredited fee-for-service laboratories may include: Overtime for Existing Laboratory Employees. Funds may be used to pay overtime for existing laboratory employees who will be directly engaged in the review of DNA profiles generated by an accredited fee-for-service laboratory. Any payments for overtime must be in accordance with the applicable provisions of the OJP Financial Guide, available at <http://www.ojp.usdoj.gov/financialguide/>. Administrative Expenses: Up to 3 percent of the Federal portion of a grant awarded under this program may be used for direct or indirect administrative expenses specifically related to grant administration and management.

Applicant Eligibility:

Eligible applicants are States with a designated existing crime laboratory that conducts analysis of DNA database samples, provided the designated DNA database laboratory meets all of the following requirements: The laboratory is accredited by a nonprofit professional association of persons actively involved in forensic science that is nationally recognized within the forensic science community. If the laboratory requests assistance with analysis of samples in-house, then the laboratory must possess sufficient in-house DNA analysis capacity to analyze at least 50 percent of its annual receipt of DNA database samples, or a minimum of 5,000 DNA database samples per month. The laboratory is a participant in the National DNA Index System (NDIS). The laboratory undergoes external audits, not less than once every 2 years, to demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation. For purposes of this announcement, the term "State" includes the District of Columbia and the Commonwealth of Puerto Rico. For those States that use a laboratory operated by a unit of local government for analysis of the State's DNA database samples, the application must be submitted by the unit of local government having oversight of the DNA database program.

Beneficiary Eligibility:

Eligible applicants are States with a designated existing crime laboratory that conducts analysis of DNA database samples, provided the designated DNA database laboratory meets all of the following requirements: The laboratory is accredited by a nonprofit professional association of persons actively involved in forensic science that is nationally recognized within the forensic science community. If the laboratory requests assistance with analysis of samples in-house, then the laboratory must possess sufficient in-house DNA analysis capacity to analyze at least 50 percent of its annual receipt of DNA database samples, or a minimum of 5,000 DNA database samples per month. The laboratory is a participant in the National DNA Index System (NDIS). The laboratory undergoes external audits, not less than once every 2 years, to demonstrate compliance with DNA Quality Assurance Standards established by the Director of the Federal Bureau of Investigation. For purposes of this announcement, the term "State" includes the District of Columbia and the Commonwealth of Puerto Rico. For those States that use a laboratory operated by a unit of local government for analysis of the State's DNA database samples, the application must be submitted by the unit of local government having oversight of the DNA database program.

Credentials/Documentation:

Applicants must submit a copy of the accreditation certificate of the State's designated DNA database laboratory. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. General information is provided in individual program solicitations, which are obtained by accessing the web site at www.ojp.usdoj.gov/nij, sending a self-addressed mailing label to NCJRS, Box 6000, Rockville, MD 20849-6000; or calling toll free to request a copy at; Telephone: (800) 851-3420. This program is subject to the provisions of OMB Circular No. A-110 or the Common Rule, where applicable. The Office of

Justice Programs (OJP) requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which will be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>. On-line submission of an application represents legal binding acceptance of the terms of the application. For further information about GMS, call the OJP GMS Hotline at (1-888) 549-9901.

Award Procedure:

All applications will be reviewed by the program office. The NIJ Director makes all final decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from application deadline.

Appeals:

Hearing by the NIJ Director.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards under this program are issued for a period of 18 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports consisting of quarterly performance metrics reports, bi-annual progress reports; and a final report including a summary of program accomplishments are required. Fiscal report consisting of quarterly expenditures and budget expenditure reports are required; final financial report giving costs and expenditures of the complete project. Performance data, as stipulated in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts concerning the expenditure of Institute and grantee or appointee-contributed funds shall be maintained during the grant period and retained for 3 years thereafter.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 09 \$155,350,938; FY 10 est \$155,263,000; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For specific information on eligibility, deadlines, specific uses of funds, application requirements, selection criteria, and all additional requirements, please access the "Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review)" solicitation, which is available on NIJ's web site at www.ojp.usdoj.gov/nij.

Regional or Local Office:

None.

Headquarters Office:

Mark Nelson, National Institute of Justice, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Email: Mark.S.Nelson@usdoj.gov Phone: (202) 305-2664.

Website Address:

www.ojp.usdoj.gov/nij.

RELATED PROGRAMS:

16.741 Forensic DNA Backlog Reduction Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Subject to the availability of appropriated funds and to any modifications or additional requirements imposed by law, NIJ anticipates that it will make an award to each eligible applicant that satisfies the specific application requirements outlined in this announcement (including those concerning permissible expenses), the general requirements for NIJ and OJP grants, and all other applicable legal requirements. NIJ may also reject applications from applicants with prior awards for convicted offender (and/or arrestee) in-house analysis that remain entirely unobligated as of the posting date of this solicitation.

16.750 SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM

Adam Walsh Act

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

Adam Walsh Child Protection and Safety Act of 2006 (AWA).

OBJECTIVES:

To assist State, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement requirements of the Sex Offender Registration and Notification Act (SORNA). Title I of the Adam Walsh Child Protection and Safety Act of 2006 supports the maintenance and operation of the Dru Sjodin National Sex Offender Public Website (NSOPW). In summary, SORNA requires: (1) all States, the District of Columbia, the principal U.S. territories, and federally recognized American Indian tribes that are eligible under SORNA section 127 to carry out the functions of SORNA and have elected to do so to maintain a sex offender registry; and (2) sex offenders to register and maintain a current registration in each jurisdiction where the offender resides, is an employee, and is a student. SORNA also sets forth requirements for sex offender registries, to include: specified required information, duration of registration, and in-person verification of sex offender identity as well as participation in the NSOPW. NSOPW links to state, territory and tribal public sex offender registries thus allowing the ability to search for registered sex offenders on a national level.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The AWA Implementation grant funds must be used to assist jurisdictions in implementing requirements of SORNA. Funds must be used to implement or enhance sex offender registration and tracking programs in jurisdictions for the purpose of substantial compliance with SORNA. Additionally, funds must be used to ensure continued maintenance and operation of NSOPW, the Tribe and Territory Sex Offender Registry System (TTSORS), and the Exchange Portal.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, America Samoa, Guam, the Northern Mariana Islands, and Federally recognized American Indian tribes who have elected to carry out the requirements of SORNA. Only applicant jurisdictions that have the intention of substantially implementing the requirements of SORNA are eligible to apply.

Beneficiary Eligibility:

State (including D.C.), local (through funds granted to States), U.S. territory and tribal government agencies that have sex offender registry and tracking responsibilities.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of the program, the implementation process, timetable for implementation, how the activities of the project will assist the jurisdiction in complying with SORNA or, for NSOPW, TTSORS and the Exchange Portal, how the activities of the project will ensure continuous operation of these systems to include enhancements and SORNA specific software. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All applications must be submitted electronically at: <http://www.grants.gov> or via OJP's Grant Management System (GMS). Applications or supplemental materials received by facsimile or postal mail will not be accepted. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Generally awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director. The Assistant Attorney General, Office of Justice Programs has final approval authority. Grantees are notified by E-mail seven to ten days after Congress has been notified. Grantees must print award, have signed by the authorized official and returned to the Office of Justice Programs by facsimile or mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applications will generally be approved or denied within 180 days of receipt of a completed application.

Appeals:

Determined by the Assistant Attorney General, OJP.

Renewals:

Awards range from 12 to 18 months, and 24 months for NSOPW unless otherwise noted.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds for each fiscal year will be awarded for a period of 12 to 18 months, and 24 months for NSOPW, TTSORS and the Exchange Portal. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-annual progress reports are required. Quarterly financial reports are required. Performance measure data, as laid out in the solicitation are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

In accordance with the requirement set forth in 28 CFR Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least three years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$5,911,271; FY 10 est \$11,915,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Varies, up to \$300,000; up to \$1 million for NSOPW, TTSORS and the Exchange Portal.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY2009 funds supported awards to 8 tribes and 18 states for Adam Walsh Act implementation grants as well as one award for the operation of the National Sex Offender Public Website. Grant activities included: developing and enhancing jurisdiction sex offender registries; enhancing information-sharing systems; purchasing equipment, such as digital finger and palm print devices and scanners, and supporting administrative and personnel costs associated with implementation (code development, policies and procedures development, records management, etc.). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Guidance and Application Kit, and the OJP Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

SMART Office, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: (202) 514-4689.

Website Address:

<http://www.ojp.usdoj.gov/smart>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A review panel convened by the SMART Office will evaluate all applications on the basis of the following criteria: (1) the application identifies a problem that is consistent with the purposes of this initiative; (2) the application describes a project that effectively addresses the problem statement; (3) the implementation strategy, time line, and capability of the organization and staff are sound; (4) the application articulates clearly the jurisdiction's goals, outcomes, and objectives and describes the accountability system and performance measures to determine progress towards achieving them; (5) the project demonstrates meaningful attention to the safety of victims and the general public by supporting jurisdiction's efforts to substantially comply with SORNA thus ensuring sex offender registration and tracking; (6) the application

identifies specific individuals, or a process to identify individuals, to serve as the Grant Manager/Grant Coordinator, and demonstrates the skills, roles, and involvement of these individuals; and (7) the budget is reasonable as it relates to project activities.

For NSOPW, TTSORS and the Exchange Portal: (1) the application identifies a need that is consistent with the purposes of this program; (2) the application describes a project that effectively addresses the statement of need; (3) the implementation strategy, time line, and capability of the organization and staff are sound; (4) the application articulates clearly the goals, outcomes, and objectives of the project and describes the accountability system and performance measures to determine progress towards achieving them; (5) the project demonstrates meaningful attention to the public safety by supporting the operation of the Dru Sjodin National Sex Offender Public Website, which supports the SORNA goal of sex offender registration and tracking; (6) the application identifies specific individuals, or a process to identify individuals, to serve as the Grant Manager/Grant Coordinator, and demonstrates the skills, roles, and involvement of these individuals; and (7) the budget is reasonable as it relates to project activities.

16.751 EDWARD BYRNE MEMORIAL COMPETITIVE GRANT PROGRAM

Byrne Competitive Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2008.

OBJECTIVES:

To improve the functioning of the criminal justice system, such as activities pertaining to crime prevention, control, or reduction, or the enforcement of the criminal law, including, but not limited to, police efforts to prevent, control, or reduce crime or to apprehend criminals, including juveniles, activities of courts having criminal jurisdiction, and related agencies (including but not limited to prosecutorial and defender services, juvenile delinquency agencies and pretrial service or release agencies), activities of corrections, probation, or parole authorities and related agencies assisting in the rehabilitation, supervision, and care of criminal offenders, and programs relating to the prevention, control, or reduction of narcotic addiction and juvenile delinquency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Byrne Competitive Program helps improve the capacity of local criminal justice systems and provides for national support efforts such as training and technical assistance projects to strategically address needs. Funds can be used for national scope replication, expansion, enhancement, training, and/or technical assistance programs.

Applicant Eligibility:

Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

State and local governments, public and private organizations, individuals and tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and 2 CFR Part 230 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive programs.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

In some cases, evaluation reports may be required. No cash reports are required. Unless otherwise specified in the award's special conditions, progress reports are due semi-annually. Unless otherwise specified in the award's special conditions, financial reports are due quarterly. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$28,044,693; FY 10 est \$49,914,445; FY 11 est \$70,000,000

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide (www.ojp.usdoj.gov/financialguide/index.htm) and Postaward Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

BJA, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531
Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

www.ojp.usdoj.gov/BJA.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for additional information at AskBJA@usdoj.gov.

16.752 ECONOMIC HIGH-TECH AND CYBER CRIME PREVENTION FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act of 2008.

OBJECTIVES:

To provide a nationwide support system for agencies involved in the prevention, investigation, and prosecution of economic electronic and cyber crimes and to support and partner with other appropriate entities in addressing homeland security initiatives, as they relate to electronic and cyber crimes. It provides training, technical assistance, and/or resources to State, local and tribal law enforcement, prosecutor, and other agencies on how to prevent, combat, investigate and prosecute electronic and cyber crimes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Economic High-Tech and Cyber Crime Prevention program helps improve the capacity of local criminal justice systems to address electronic and cyber crimes and provides for national support efforts such as training and technical assistance projects to strategically address needs.

Applicant Eligibility:

Eligible applicants include national, regional, State, or local public and private entities, including for-profit (commercial) and nonprofit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government.

Beneficiary Eligibility:

State and local law enforcement agencies, prosecutor, and other criminal justice agencies.

Credentials/Documentation:

Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and 2 CFR Part 230 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this

program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via Grants.gov (www.grants.gov) or the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>). Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies for competitive programs.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual progress reports are required. Quarterly financial reports are required. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754; 15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$17,503,490; FY 10 est \$19,900,000; FY 11 est \$0

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide 2006 (www.ojp.usdoj.gov/financialguide/index.htm), Criminal Intelligence Systems Operating Policies (28 CFR 23), Postaward Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

BJA, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

www.ojp.usdoj.gov/BJA.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.753 CONGRESSIONALLY RECOMMENDED AWARDS

Congressionally Recommended

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

To provide leadership and direction in improving the functioning of the criminal justice system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Congressionally recommended grant funds provide federal financial assistance to public or private agencies and private nonprofit organizations for law enforcement program; prosecution and court program; prevention and education programs; corrections and community corrections programs; drug treatment and enforcement program; programs, planning, evaluation, and technology improvement programs; and crime victim and witness programs (other than compensation).

Applicant Eligibility:

State and local government agencies as well as public and private nonprofit organizations and federally recognized Indian Tribal governments are eligible to receive funds including faith-based and community organizations, under this program.

Beneficiary Eligibility:

State and local governments, public and private organizations, and tribal governments.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms (SF-424) as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the federal agency and required by the Common Rule, must be used for this program. All applications must be submitted electronically via the Office of Justice Programs' Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

See 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Please contact the program office for further information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semiannual reports. Quarterly financial statements. To assist in fulfilling the Department's responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must follow their local policies and procedures, including maintenance of reliable and accurate accounting systems, record keeping, and systems of internal control.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all

related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$285,923,034; FY 10 est \$185,268,000; FY 11 est \$0

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide 2006 (www.ojp.usdoj.gov/financialguide/index.htm) and Postaward Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

BJA, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531
Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.ojp.usdoj.gov/bja/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact BJA for more information.

16.754 HAROLD ROGERS PRESCRIPTION DRUG MONITORING PROGRAM

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Law 110-161, 121 Stat. 1909; Public Law 110-329.

OBJECTIVES:

To enhance the capacity of regulatory and law enforcement agencies to collect and analyze controlled substance prescription data through a centralized database administered by an authorized state agency.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funding for planning grants can be used to assist in planning for a data collection and analysis system by engaging key stakeholders in the state in the planning process. Funding for implementation grants may be used to plan, establish, and build a data collection and analysis system; develop an infrastructure to support programmatic activities; facilitate the exchange of information and collected prescription data among states; facilitate the establishment of collaborations; develop a training program for system users; produce and disseminate educational materials; and assess the efficiency and effectiveness of the program. Funding for enhancement grants may be used to enhance a data collection and analysis system; develop infrastructure to support programmatic activities; enhance an existing training program; support collaborations with law enforcement and prosecutors; support collaborations with treatment providers and drug courts; facilitate information sharing among states; expand monitoring to Schedules III, IV, and V; and assess the efficiency and effectiveness of the program. Up to 25% of enhancement funds can be used towards operating expenses. The remaining funds should be used to enhance the functioning of an existing program.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements. By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No formal pre application coordination is required. While informal pre application coordination is not required, it is encouraged that applicants coordinate with any facilities for which they have responsibility to detain or incarcerate undocumented criminal aliens. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJPs Assistant Attorney General, who will make a final determination.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date. See the following for information on how assistance is awarded/released: Please contact the program office for further assistance.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit semi-annual Progress Reports. Successful applicants will be required to work with designated national organizations to implement the training at the state level and keep the training current with changing laws. Recipients are required to submit quarterly Financial Reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$6,623,349; FY 10 est \$7,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

For planning grants, applicant can request up to \$50,000. The maximum project period for planning grants is 15 months.

For implementation grants, applicant can request up to \$400,000. The maximum project period for implementation grants is 24 months.

For enhancement grants, applicant can request up to \$400,000. The maximum project period for enhancement grants is 24 months.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Application Kit and Questions and Answers posted regularly on the OJP website at: <http://www.ojp.usdoj.gov/BJA/>. The OJP Financial Guide can be viewed at: <http://www.ojp.usdoj.gov/financialguide/>.

Regional or Local Office:

None.

Headquarters Office:

Rebecca Rose, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.755 SOUTHWEST BORDER PROSECUTION INITIATIVE PROGRAM

SWBPI

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Law 106-246, as amended by Public Law 106-554, authorizes the reimbursement of county and municipal governments in the States of California, Texas, Arizona, and the New Mexico state government, for specific categories of expenses associated with the handling and processing of federally initiated controlled substances cases along the Southwest Border.

OBJECTIVES:

The objective of the Southwest Border Prosecution Initiative (SWBPI) is to reimburse state, county, parish, tribal, or municipal governments only for costs associated with the prosecution of criminal cases declined by local U.S. Attorneys' offices.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

While funds from the SWBPI federal payments may be used by applicant jurisdictions for any purpose not otherwise prohibited by federal law, using funds for the support and enhancement of prosecutorial and detention services is encouraged.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No formal pre application coordination is required. Previous year applicants are notified two to three weeks prior to the opening of each application period. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Southwest Border Prosecution Initiative system:
<https://external.ojp.usdoj.gov/swbpi/login.jsp>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

Applications are reviewed and approved on the basis of their adherence to program guidelines and funding availability. Applicants will receive up to 100 percent of eligible case costs, based on the availability of funds. Should application amounts exceed available funding, applicants will receive funds on a uniform, pro-rata basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date. See the following for information on how assistance is awarded/released: Please contact the program office for further assistance.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Documents supporting a federally initiated and declined/referred case must be

retained for three years after the submission of the case for SWBPI funds. Documents can include paper or electronic case files, e-mails from the U.S. Attorneys Office, case notes, or documentation of telephone or face-to-face meetings with U.S. Attorneys Office staff or federal law enforcement officials.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$30,289,346; FY 10 est \$30,650,000; FY 11 est \$0

Range and Average of Financial Assistance:

Applicants do not request an amount. Instead their award is based on available funding and the number of eligible cases. There is no maximum project period associated with this program since it is a payments reimbursement program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines:

http://www.ojp.usdoj.gov/swbpi/docs/SWBPI_OIG_Guidelines2008Hope.pdf

Regional or Local Office:

None.

Headquarters Office:

Darius Locicero Bureau of Justice Assistance, Programs Office, 810 Seventh Street N.W., Washington, District of Columbia 20531 Email: southwest@usdoj.gov Phone: (202) 514-2533 or 1-866-817-9274

Website Address:

<http://www.ojp.usdoj.gov/BJA/grant/southwest.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.756 COURT APPOINTED SPECIAL ADVOCATES**FEDERAL AGENCY:**

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

OBJECTIVES:

The Court Appointed Special Advocates (CASA) Program ensures that abused and neglected children receive high quality, timely representation in dependency court hearings. Under this program, OJJDP provides grant support and training and technical assistance to local and state programs to support existing and new CASA programs across the nation and CASA services in communities where representation rates are low, the numbers of abused and neglect children are high, and service systems do not meet the needs of families and children.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Court Appointed Special Advocates (CASA) Program as described in the Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

Applicant Eligibility:

Refer to specific program application guidelines for eligibility criteria.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State

and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under E.O. 12372.

Award Procedure:

The Office of Justice Programs utilizes a Grants Management System (GMS). GMS downloads applications from Grants.gov and is the system in which OJP reviews applications and manages awarded grants. Registration with www.grants.gov is required. Detailed instructions can be found at www.grants.gov. The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a Data Universal Numbering System (DUNS) number in their application for a new award or renewal of an award. Detailed information about this requirement can be found at www.dunandbradstreet.com. OJP requires that all applicants for federal financial assistance, other than individuals, maintain current registrations in the Central Contractor Registration (CCR) database. Information about registration procedures can be accessed at www.ccr.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The award will be renewable for a period not to exceed 5 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project and are posted on the OJP web site as solicitations are released. See the following for information on how assistance is awarded/released: Contact program office for more details.

Reports:

Program reports are not applicable. Cash reports are not applicable. Final financial reports and semi-annual and final program performance reports and performance measure data submission will be required as stipulated in the effective edition of the OJP Financial Guide and in award documents. Grantees submit performance measure data via the DCTAT reporting system bi-annually. see above. Performance measures are required, as specified in the solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds.

Account Identification:

15-0404-0-1-754; 15-0404-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$14,867,208; FY 10 est \$15,000,000; FY 11 est \$15,000,000

Range and Average of Financial Assistance:

Funding for the Training and Technical Assistance Program is approximately \$3 Million per year. Funding for the State Grants program is approximately \$9 Million per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Evaluation shows substantially less time in long-term foster care for Court Appointed Special Advocate (CASA) participants; CASA participants, both parents and children, received more services than non-participants; cases with a CASA volunteer assigned were more likely to be permanently closed than cases without a CASA volunteer. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The current edition of the OJP Financial Guide is available at <http://www.ojp.usdoj.gov/finguide08/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Cecilia Duquela Fuentes/Ron C. Laney Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, Department of Justice, 810 7th Street, N.W., Washington, District of Columbia 20531 Email: Cecilia.Duquela@usdoj.gov;Ronald.Laney@usdoj.gov Phone: (202) 514-9372; 202-616-3637.

Website Address:

<http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded programs are available at <http://ojjdp.ncjrs.gov/funding/fy09awards.html>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.757 JUDICIAL TRAINING ON CHILD MALTREATMENT FOR COURT PERSONNEL JUVENILE JUSTICE PROGRAMS

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001 et seq.

OBJECTIVES:

Courts and child welfare agencies face unprecedented challenges in managing complex child abuse and neglect cases and voluminous caseloads. In addition, they must comply with federal child welfare reform laws and reform initiatives designed to achieve safe, permanent homes for abused and neglected children. Through this program, OJJDP seeks to provide judicial, legal, and social service professionals with the training and technical assistance they need to meet the numerous challenges facing juvenile and family courts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of the Judicial

Training on Child Maltreatment for Court Personnel Program.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Office of Justice Programs utilizes a Grants Management System (GMS). GMS downloads applications from Grants.gov and is the system in which OJP reviews applications and manages awarded grants. Registration with www.grants.gov is required. Detailed instructions can be found at www.grants.gov. The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a Data Universal Numbering System (DUNS) number in their application for a new award or renewal of an award. Detailed information about this requirement can be found at www.dunandbradstreet.com. OJP requires that all applicants for federal financial assistance, other than individuals, maintain current registrations in the Central Contractor Registration (CCR) database. Information about registration procedures can be accessed at www.ccr.gov.

Award Procedure:

Grantees are notified via the Grants Management System when an award is made. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

See 28 CFR Part 18.

Renewals:

Please contact the program office for more information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance vary by project and are posted on the OJP web site as solicitations are released. See the following for information on how assistance is awarded/released: Please contact program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Final financial reports and semi-annual and final program performance reports and performance measure data submission will be required as stipulated in the effective edition of the OJP Financial Guide and in award documents. Grantees submit performance measure data via the DCTAT reporting system bi-annually. See above. Performance measures, as specified in the solicitation, are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$2,471,365; FY 10 est \$2,500,000; FY 11 est \$2,500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As a result of the work of participating courts, new programs which were effective in a single jurisdiction have now become nationwide efforts. A examples include: Adoption Saturdays, Mediation in Dependency Cases, Family Group Conferencing, Benchmark Hearings for children aging out of foster care, and Substantial Initial Hearings. Some of the nations largest jurisdictions have experienced significant reductions in foster care caseloads over the past 10 years. Process and outcome evaluations have been developed for several courts, nationwide. These evaluations have resulted in the publication of Technical Assistance Briefs and Bulletins which present findings on the efficacies and challenges of various court improvements. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The current edition of the OJP Financial Guide is available at <http://www.ojp.usdoj.gov/finguide08/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Cecilia Duquela Fuentes/Ron C. Laney, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, 810 7th Street, N.W., Washington, District of Columbia 20351 Email: Cecilia.Fuentes@usdoj.gov;Ronald.Laney@usdoj.gov Phone: (202) 514-9372; (202) 616-3637.

Website Address:

<http://ojjdp.ncjrs.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects are listed at <http://www.ojp.usdoj.gov/funding/09grantawards.htm>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.758 IMPROVING THE INVESTIGATION AND PROSECUTION OF CHILD ABUSE AND THE REGIONAL AND LOCAL CHILDREN'S ADVOCACY CENTERS

FEDERAL AGENCY:

Office of Juvenile Justice and Delinquency Prevention, Department of Justice

AUTHORIZATION:

Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

OBJECTIVES:

The Improving the Investigation and Prosecution of Child Abuse Program

has the following objectives: (1) To train criminal justice system professionals on innovative techniques for investigating, and prosecuting child abuse cases; (2) To promote a multidisciplinary approach to coordinating the investigations and prosecution of child abuse cases and, thereby limiting the number of necessary pre-trial interviews for child victims, as well as to better assure the accuracy of each interview; (3) To increase the number of communities utilizing a Childrens Advocacy Center approach to the investigation, prosecution and treatment of child abuse cases; (4) To assist communities in developing child-focused programs designed to improve the resources available to children and families; (5) To provide support to non-offending family members; (6) To enhance coordination among community agencies, professionals, and provide medical support to health care and mental health care professionals involved in the intervention, prevention, prosecution, and investigation systems that respond to child abuse cases; and (7) To improve the quality of child abuse prosecution by providing specialized training and technical assistance to prosecutors.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available specifically to achieve the objectives of Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Childrens Advocacy Centers as set forth in Victims of Child Abuse Act, 42 U.S.C. Section 13001, et seq.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Procedures for application for funds to support VOCA programs will be available through competitive proposals issued by the Office of Juvenile Justice and Delinquency Prevention. The Office of Justice Programs (OJP) utilizes a Grants Management System (GMS). GMS downloads applications from Grants.gov and is the system in which OJP reviews applications and manages awarded grants. Registration with www.grants.gov is required. Detailed instructions can be found at www.grants.gov. The Office of Management and Budget requires that all businesses and nonprofit applicants for federal funds include a Data Universal Numbering System (DUNS) number in their application for a new award or renewal of an award. Detailed information about this requirement can be found at www.dunandbradstreet.com. OJP requires that all applicants for federal financial assistance, other than individuals, maintain current registrations in the Central Contractor Registration (CCR) database. Information about registration procedures can be accessed at www.ccr.gov.

Award Procedure:

Grantees are notified via the Office of Justice Programs Grant Management System (GMS) when an award is made. Procedures for applications for local childrens advocacy center funding will be provided in the Request for Proposals (RFP) issued by the entity designated by OJJDP to manage the national grant program for local childrens advocacy centers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

See 28 CFR Part 18.

Renewals:

Continuation grant and/or supplemental award.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance varies by project and are posted on the OJP web site as solicitations are released. See the following for information on how assistance is awarded/released: Contact program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Final financial reports and semi-annual and final program performance reports and performance measure data submission will be required as stipulated in the effective edition of the OJP Financial Guide and in award documents. Grantees submit performance measure data via the DCTAT reporting system bi-annually. Standard reporting requirements will be required for subaward recipients. Quarterly. Performance reporting is required, as specified in the solicitation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds.

Account Identification:

15-0405-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$19,817,803; FY 10 est \$22,500,000; FY 11 est \$20,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since Fiscal Year 2003 the National Child Protection Center (NCPTC) has trained over 40,000 child protection professionals, hosted dozens of national conferences, provided technical assistance on thousands of child maltreatment cases, developed model undergraduate and graduate child protection criteria, and published dozens of works that advance the field.

Currently there are more than 700 Child Advocacy Centers (CACs) across the nation in various stages of development. Evaluation study of four prominent CACs shows that compared to communities without CACs, communities with CACs were more likely to have coordination of investigation between police and child protective services, more likely to provide forensic medical examination to child victims, and more likely to provide referrals for mental health services.

CACs have been growing internationally and are under development in Canada, Iceland, Poland, Norway, Greenland, Brazil, and Sweden. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The current edition of the OJP Financial Guide is available at <http://www.ojp.usdoj.gov/financialguide>.

Regional or Local Office:

None.

Headquarters Office:

Lou Ann Holland/Ronald C. Laney, Child Protection Division, Office of Juvenile Justice and Delinquency Prevention, 810 7th Street, N.W., Washington, District of Columbia 20531 Email: Lou.Ann.Holland@usdoj.gov;Ronald.Laney@usdoj.gov Phone: (202) 305-2742; (202) 616-3637.

Website Address:

<http://www.usdoj.gov>; <http://www.ojjdp.ncjrs.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded programs are available at <http://ojjdp.ncjrs.gov/funding/fy09awards.html>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.800 RECOVERY ACT - INTERNET CRIMES AGAINST CHILDREN TASK FORCE PROGRAM (ICAC)**FEDERAL AGENCY:**

Department of Justice

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009 (ICAC Initiatives) Public Law No. 111-5; 42 U.S.C. .17601 42 U.S.C .17617; Title I, Section 102 of the Protect Our Children Act of 2008, Public Law 110-40.

OBJECTIVES:

The ICAC Task Force Program supports a national network of multiagency, multijurisdictional task forces and other projects to prevent technology facilitated child sexual exploitation with at least one task force in each of the 50 states. The ICAC task forces provide forensic and investigative technical assistance to law enforcement and prosecutorial officials, as well as community education information to parents, educators, prosecutors, law enforcement, and others concerned with child victimization.

As provided for in Section 103 of the Protect Our Children Act of 2008 (the Protect Act), the ICAC task forces shall be dedicated to the following:

- increasing the investigative capabilities of state and local law enforcement officers in the detection, investigation, and apprehension of Internet crimes against children offenses or offenders, including technology-facilitated child exploitation offenses.

- conducting proactive and reactive Internet crimes against children investigations.

- providing training and technical assistance to ICAC task forces and other Federal, state, and local law enforcement agencies in the areas of investigations, forensics, prosecution, community outreach, and capacity-building, using recognized experts to assist in the development and delivery of training programs.

- increasing the number of Internet crimes against children offenses being investigated and prosecuted in both Federal and state courts.

- creating a multiagency task force response to Internet crimes against children offenses within each state.

- enhancing nationwide responses to Internet crimes against children offenses, including assisting other ICAC task forces, as well as other Federal, state, and local agencies with Internet crimes against children investigations and

prosecutions.

- developing and delivering Internet crimes against children public awareness and prevention programs.

- participating in such other activities, both proactive and reactive, that will enhance investigations and prosecutions of Internet crimes against children.

In addition to fulfilling program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The Administrator is authorized to make grants to and enter into contracts with public agencies or private nonprofit organizations, or combinations thereof, for research, demonstration projects, or other purposes designed to support the state and regional ICAC task forces in order to prevent, interdict, investigate, and prosecute technology-facilitated child exploitation and Internet crimes against children.

To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act.

Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

Law, Justice, and Legal Services.

Beneficiary Eligibility:

State, Local, Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

If an ICAC task force makes a sub-award to a non-profit organization, costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System at: <https://grants.ojp.usdoj.gov/> and must follow established criteria. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement is required for construction proposed under this program.

Award Procedure:

Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

See 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. A match is not required for general operation of the ICAC Formula. However, if funds are distributed under Section 106(2)(A) of the Protect Act after funds have otherwise been made available to satisfy the formula awards, then a 25 percent match of the additional distribution is required. If match is required, the Attorney General may waive, in whole or in part, the match requirement if the state or local ICAC task force demonstrates good cause or financial hardship. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made for 12 to 36 months. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Awardees are required by the OJP Financial Guide to report financial, subgrant, and other data on a monthly, quarterly, semi-annually, and/or annual basis. Awardees are also required to comply with the reporting requirements contained in the Protect Act. Reporting guidelines are determined by the Office of Juvenile Justice and Delinquency Prevention and communicated to awardees. No cash reports are required. No progress reports are required. Additionally, pursuant to the Recovery Act, certain financial and programmatic progress reports (including complete performance measure data) are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds. Although Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, tracking and reporting of Recovery Act funds must be separate, to meet the reporting and other requirements of the Recovery Act and other applicable law. There can be no commingling of funds.

Account Identification:

15-0402-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Cooperative Agreements) FY 09 \$49,078,898; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Arrested nearly 3,300 individuals, with almost one-third of those arrests (1,275) resulting in the acceptance of a plea agreement in lieu of a trial. In 2009 the ICAC program trained over 28,000 law enforcement personnel and 1,832 prosecutors. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recovery Act ICAC Task Force solicitation, the Office of Justice Programs Financial Guide, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 CFR.).

Additional government-wide guidance pertinent to Recovery Act programs is available on Recovery.gov.

Regional or Local Office:

None.

Headquarters Office:

Ron C. Laney, Child Protection Division
Office of Juvenile Justice and Delinquency Prevention
810 7th Street NW, Washington, District of Columbia 20531 Phone: (202) 616-3637 Fax: (202) 353-9093.

Website Address:

<http://ojjdp.ncjrs.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects are available at <http://www.ojp.gov/recovery/awards.htm>.

In FY 09, OJJDP made 59 ARRA awards to ICAC Task Forces totaling approximately \$41 M.

In FY 09 OJJDP made three ARRA ICAC research grants totaling approximately \$2M.

In FY 09 OJJDP made six ARRA ICAC Training grants totaling approximately \$5 M.

Details about OJJDPs ARRA grants may be found at: <http://www.ojp.gov/recovery/awards.htm>. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Vary by program. Applications are judged according to their consistency with the policies and program priorities established by the Juvenile Justice and Delinquency Prevention Act. Specific criteria are published in the Federal Register as part of the individual program announcement. Applications undergo a competitive peer review process as outlined in the OJJDP Competition and Peer Review Policy, 28 CFR Part 34.

16.801 RECOVERY ACT - STATE VICTIM ASSISTANCE FORMULA GRANT PROGRAM

FEDERAL AGENCY:

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, 123 Stat. 115; Victims of Crime Act, Public Law 98-473, Title II, sections 1402(d)(4) and 1404(a) (codified at 42 U.S.C. 10601(d)(4) and 10603(a)

respectively).

OBJECTIVES:

The Office for Victims of Crime (OVC) will award each eligible state victim assistance program a Recovery Act - VOCA victim assistance formula grant to support the provision of services to victims of crime throughout the Nation. Services are defined as those efforts that (1) respond to the emotional and physical needs of crime victims; (2) assist primary and secondary victims of crime to stabilize their lives after a victimization; (3) assist victims to understand and participate in the criminal justice system; and (4) provide victims of crime with a measure of safety and security such as boarding up broken windows and replacing and repairing locks. In addition to fulfilling program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting. To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act.

Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires

to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

See 28 CFR Part 18.

Renewals:

No renewals are available with Recovery Act funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Consistent with the VOCA statute, awards will be made for the year of award, plus three years. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No program reports are required. Financial and Progress reports are required in accordance with the Office of Justice Programs Financial Guide. To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work. Pursuant to the Recovery Act, certain financial and programmatic progress reports are required (including complete performance measure data) to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. Financial and Progress reports are required in accordance with the Office of Justice Programs Financial Guide. To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work. Pursuant to the Recovery Act, certain financial and programmatic progress reports are required (including complete performance measure data) to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds. Although Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, tracking and reporting of Recovery Act funds must be separate, to meet the reporting and other requirements of the Recovery Act and other applicable law. There can be no commingling of funds.

Account Identification:

15-0910-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Project Grants) FY 09 \$47,500,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recovery - VOCA Victim Assistance Formula Grant program will be administered by OVC under the applicable provisions of VOCA, 42 U.S.C. 10603(a), the Recovery Act - State Victim Assistance Formula Program solicitation, the Final Program Guidelines for the VOCA Crime Victim Assistance Formula Grant Program (published in the Federal Register on April 22, 1997), and the applicable provisions of the American Recovery and Reinvestment Act of 2009, H.R. 1., signed by the President on February 17, 2009, the Office of Justice Programs Financial Guide, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 CFR).

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas, Associate Director, Domestic and International Victim Assistance Services, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ojp.usdoj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program; there is no discretionary selection by OJP of the principal recipients of this funding. To the extent that a formula grant recipient make discretionary sub-awards, in addition to its own requirements, and those requirements identified in the program solicitation, the recipient should consider including factors related to the purposes of the Recovery Act as a part of the selection process for sub-awardees. Such factors might include(1) Project objectives that are linked to meaningful and measurable outcomes consistent with the goals of the Recovery Act, and the likelihood of achieving such outcomes, such as job creation and preservation. (2) Activities that can be started and completed expeditiously, and in a manner that maximizes job creation and economic benefit. (3) Sound financial systems and procedures that can track and report funds separately and in a clear, accurate, and timely manner.

16.802 RECOVERY ACT - STATE VICTIM COMPENSATION FORMULA GRANT PROGRAM

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Victims of Crime Act, Public Law 98-473, Title II, Sections 1402(d)(4) and 1403(a) (codified at 42 U.S.C. 10601(d)(4) and 10602(a) respectively).

OBJECTIVES:

The Office for Victims of Crime (OVC) will award each eligible state victim compensation program a Recovery Act - VOCA victim compensation formula grant to support the provision of crucial financial assistance to victims of crime throughout the Nation. In addition to fulfilling program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local

government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see Section 1605 of the Recovery Act (Buy American), Section 1606 (wage rate requirements), Section 1602 (preference for quick-start activities), and Section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act. Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

State (includes District of Columbia, public institutions of higher education and hospitals), U.S. Territories and possessions (includes institutions of higher education and hospitals).

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Award Procedure:

Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

See 28 CFR Part 18.

Renewals:

No renewals are available with Recovery Act funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Consistent with the VOCA statute, awards will be made for the year of award, plus three years. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No program reports are required. No cash reports are required. Financial and Progress reports are required in accordance with the Office of Justice Programs Financial Guide. To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Pursuant to the Recovery Act, certain financial and programmatic progress reports are required (including complete performance measure data) to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds. Although Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, tracking and reporting of Recovery Act funds must be separate, to meet the reporting and other requirements of the Recovery Act and other applicable law. There can be no commingling of funds.

Account Identification:

15-0910-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Project Grants) FY 09 \$47,500,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recovery - VOCA Victim Compensation Formula Grant program will be administered by OVC under the applicable provisions of VOCA, 42 U.S.C. 10602, the Recovery Act - State Victim Compensation Formula Program solicitation, the Final Program Guidelines for the VOCA Crime Victim Compensation Formula Grant Program (published in the Federal Register on May 16, 2001), the applicable provisions of the American Recovery and Reinvestment Act of 2009, Public Law 111-5, signed by the President on February 17, 2009, the Office of Justice Programs Financial Guide, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 CFR).

Regional or Local Office:

None.

Headquarters Office:

Toni L. Thomas, State Compensation and Assistance Division, Office for Victims of Crime, Department of Justice, Washington, District of Columbia 20531 Phone: (202) 307-5983.

Website Address:

<http://www.ojp.usdoj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program; there is no discretionary selection by OJP of the principal recipients of this funding. To the extent that a formula grant recipient make discretionary sub-awards, in addition to its own requirements, and those requirements identified in the program solicitation, the recipient should consider including factors related to the purposes of the Recovery Act as a part of the selection process for sub-awardees. Such factors might include

- 1) Project objectives that are linked to meaningful and measurable outcomes consistent with the goals of the Recovery Act, and the likelihood of achieving such outcomes, such as job creation and preservation.
- 2) Activities that can be started and completed expeditiously, and in a manner that maximizes job creation and economic benefit.
- 3) Sound financial systems and procedures that can track and report funds separately and in a clear, accurate, and timely manner.

16.803 RECOVERY ACT - EWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT (JAG) PROGRAM/ GRANTS TO STATES AND TERRITORIES

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Public Law 111-5, 123 Stat. 115 (the Recovery Act); Subpart 1 of Part E of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3750-3758);.

OBJECTIVES:

JAG funds support all components of the criminal justice system from multijurisdictional drug and gang task forces to crime prevention and domestic violence programs, courts, corrections, treatment, and justice information sharing initiatives. JAG-funded projects may address crime through the provision of services directly to individuals and/or communities and by improving the effectiveness and efficiency of criminal justice systems, processes, and procedures.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Law enforcement programs related to criminal justice such as: prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; planning, evaluation, and technology improvement programs; and crime victim and witness programs. Funds may not be used to supplant State and local funds. Additionally, not more than 10 percent of a grant made under this program may be used for costs incurred to administer such grant.

JAG funds cannot be used directly or indirectly for security enhancements or equipment for nongovernmental entities not engaged in criminal justice or public safety. JAG funds also cannot be used directly or indirectly to provide for any of the following matters unless the Attorney General or his designee certifies that extraordinary and exigent circumstances exist, making them essential to the maintenance of public safety and good order:

- Vehicles (excluding police cruisers), vessels (excluding police boats), or aircraft (excluding police helicopters).
- Luxury items.
- Real estate.
- Construction projects (other than penal or correctional institutions).
- Any similar matters.

To the extent (if any) that this Recovery Act program permits recipients to use

funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act.

Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters, including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

All States, the District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico, the Virgin Islands, and the Northern Mariana Islands. Units of local government are eligible, consistent with established guidelines.

Beneficiary Eligibility:

States, Territories, and units of local government.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in the program guidance. Costs will be determined in accordance with 2 CFR., Part 225 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted on-line through the Office of Justice Programs Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation as well as the JAG Program Guidance Manual.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Assistance, and must be accepted by the applicant State or Territory according to the special conditions of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance will not disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity for correction and reconsideration.

Appeals:

Hearing and appeal procedures will follow 28 C.F.R., Part 18.

Renewals:

No renewals are available with Recovery Act funding.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of formula grant awards under this program is 4 years. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Additionally, pursuant to the Recovery Act, certain financial and programmatic progress reports (including complete performance measure data) are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance Measures: To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must

Records:

In accordance with the requirements set forth in 28 C.F.R., Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0910-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Project Grants) FY 09 \$1,247,119,857; FY 10 est \$0; FY 11 est not reported.

Range and Average of Financial Assistance:

\$1.6 million to \$225 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See program web site at <http://www.ojp.usdoj.gov/BJA/index.html> or contact the State Administering Agency at <http://www.ojp.usdoj.gov/saa/>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recovery Act Byrne Jag State solicitation, the Office of Justice Programs Financial Guide, the Bureau of Justice Assistance Justice Assistance Grant Program Guidance Manual, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Additional government-wide guidance pertinent to Recovery Act programs is available on Recovery.gov.

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office: Contact the State Administering Agency <http://www.ojp.usdoj.gov/saa/>.

Headquarters Office:

Eileen Garry, Office of Justice Programs, Bureau of Justice Assistance, 4th Floor, State Policy Office, 810 Seventh Street NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or

1-866-859-2687.

Website Address:

<http://www.ojp.usdoj.gov/recovery/>

RELATED PROGRAMS:

16.738 Edward Byrne Memorial Justice Assistance Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable legal and guideline requirements. Additionally, since this is a formula grant program; there is no discretionary selection by OJP of the principal recipients of this funding. To the extent that a formula grant recipient makes discretionary sub-awards, in addition to its own requirements, and those requirements identified in the program solicitation, the recipient should consider including factors related to the purposes of the Recovery Act as a part of the selection process for sub-awardees. Such factors might include

- 1) Project objectives that are linked to meaningful and measurable outcomes consistent with the goals of the Recovery Act, and the likelihood of achieving such outcomes, such as job creation and preservation.
- 2) Activities that can be started and completed expeditiously, and in a manner that maximizes job creation and economic benefit.
- 3) Sound financial systems and procedures that can track and report funds separately and in a clear, accurate, and timely manner.

16.804 RECOVERY ACT - EDWARD BYRNE MEMORIAL JUSTICE ASSISTANCE GRANT (JAG) PROGRAM / GRANTS TO UNITS OF LOCAL GOVERNMENT

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Public Law 111-5, (the Recovery Act); Subpart 1 of Part E of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3750-3758).

OBJECTIVES:

The Recovery Act JAG programs allow states and local governments to support a broad range of activities to prevent and control crime and to improve the criminal justice system. The Recovery Act JAG program will solicit applications from eligible States, territories, tribes, and units of local government for state and local initiatives, technical assistance, training, personnel, equipment, supplies, contractual support, and information systems for criminal justice, as well as research and evaluation activities that will improve or enhance law enforcement programs related to criminal justice such as: prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment and enforcement programs; planning, evaluation, and technology improvement programs; and crime victim and witness programs (other than compensation).

In addition to fulfilling JAG program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases. For additional information on Office of Justice Programs Recovery Act Grant Programs, please visit the OJP website at <<http://www.ojp.usdoj.gov/recovery/>>.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Law enforcement programs related to criminal justice such as: prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; planning, evaluation, and technology improvement programs; and crime victim and witness programs. Funds may not be used to supplant State and local funds. Additionally, not more than 10 percent of a grant made under this program may be used for costs incurred to administer such grant.

JAG funds cannot be used directly or indirectly for security enhancements or equipment for nongovernmental entities not engaged in criminal justice or public safety. JAG funds also cannot be used directly or indirectly to provide for any of the following matters unless the Attorney General certifies that extraordinary and exigent circumstances exist, making them essential to the maintenance of public safety and good order:

- Vehicles (excluding police cruisers), vessels (excluding police boats), or aircraft (excluding police helicopters).
- Luxury items.
- Real estate.
- Construction projects (other than penal or correctional institutions).
- Any similar matters.

To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act.

Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters, including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

Law, Justice and Legal Services.

Beneficiary Eligibility:

Local.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements specified in law, the program solicitation, and the program guidance. Costs will be determined in accordance with 2 C.F.R., Part 225 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted on-line through the Office of Justice Programs

Grant Management System at: <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation as well as the JAG Program Guidance Manual.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Assistance, and must be accepted by the applicant agency according to the special conditions of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Bureau of Justice Assistance will not disapprove any application (or amendment to that application) without affording the applicant reasonable notice of any deficiencies and providing an opportunity for correction and reconsideration.

Appeals:

Hearing and appeal procedures will follow 28 C.F.R., Part 18.

Renewals:

No renewals are available with Recovery Act funding.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The funding for states is allocated based on the number of violent crimes reported by the state from 2005 through 2007 to the FBI's UCR, and the population as provided by the U.S. Census Bureau for 2008.

For purposes of Recovery Act Byrne/JAG, by statute, the initial allocation to each state and Puerto Rico (excluding the remaining territories) is divided between the state and the local jurisdictions at a rate of 60 and 40 percent, respectively.

For the local jurisdictions, the allocation process begins by determining which of the local jurisdictions are eligible to receive an award. To be eligible, a jurisdiction must provide to the FBI's UCR a count of the number of violent crimes reported each year for a minimum of three years in the last ten years. By statute, jurisdictions that have not reported any data for at least three years in the last ten years for which UCR data is available do not receive an award.

For each eligible jurisdiction, BJS computes the average number of violent crimes committed for the three most recent years in which they reported data. Next, BJS uses the sum of these averages to determine the jurisdictions share of the local award they may receive. Finally, BJS examines the initial amount of the award, and jurisdictions that were allocated an initial award that is greater than or equal to \$10,000 receive a direct award from BJA for their own use. If the initial allocation is less than \$10,000, the statute provides that the allocation is given to the state, rather than to the local jurisdiction.

There is no match required at the Federal level. However, matching is an effective strategy for states and local units of government to expand justice funds and build buy-in for local criminal justice initiatives. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of formula grant awards under this program is 4 years. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Pursuant to the Recovery Act, certain financial and programmatic progress reports (including complete performance measure data) are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. No cash reports are required. Financial and Progress reports are required in accordance with the Office of Justice Programs Financial Guide. No expenditure reports are required. Performance Measures: To fulfill the requirements of the Recovery Act and assist in fulfilling the

Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must

Records:

In accordance with the requirements set forth in 28 C.F.R. Parts 66 and 70, grantees must maintain all financial reports and other supporting documents pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0910-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Formula Grants) FY 09 \$748,245,919; FY 10 est \$0; FY 11 est not reported.

Range and Average of Financial Assistance:

\$10,000 to \$29 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See program web site at <http://www.ojp.usdoj.gov/BJA/index.html> or contact the State Administering Agency at <http://www.ojp.usdoj.gov/saa/>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide, the Bureau of Justice Assistance Justice Assistance Grant Program Guidance Manual, applicable OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 C.F.R.).

Additional government-wide guidance pertinent to Recovery Act programs is available on Recovery.gov.

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office: Contact the State Administering Agency <http://www.ojp.usdoj.gov/saa/>.

Headquarters Office:

Darius LoCicero, Office of Justice Programs, Bureau of Justice Assistance, 4th Floor, Programs Office, 810 Seventh Street N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2687.

Website Address:

<http://www.ojp.usdoj.gov/recovery/>

RELATED PROGRAMS:

16.738 Edward Byrne Memorial Justice Assistance Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed to determine compliance with applicable legal and guideline requirements. Additionally, to the extent that a formula grant recipient makes discretionary sub-awards, in addition to its own requirements and those requirements identified in the program solicitation, the recipient should consider including factors related to the purposes of the Recovery Act as a part of the selection process for sub-awardees. Such factors might include

- 1) Project objectives that are linked to meaningful and measurable outcomes

consistent with the goals of the Recovery Act, and the likelihood of achieving such outcomes, such as job creation and preservation.

2) Activities that can be started and completed expeditiously, and in a manner that maximizes job creation and economic benefit.

3) Sound financial systems and procedures that can track and report funds separately and in a clear, accurate, and timely manner.

16.807 RECOVERY ACT - VOCA CRIME VICTIM ASSISTANCE DISCRETIONARY GRANT PROGRAM

FEDERAL AGENCY:

Office of Victims of Crime, Department of Justice

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Victims of Crime Act, Public Law 98-473, Title II, sections 1402(d)(4) and 1404(c) (codified at 42 U.S.C. 10601(d)(4) and 10603(c) respectively).

OBJECTIVES:

The objective of the Office for Victims of Crime (OVC) Recovery Act VOCA Crime Victim Assistance Discretionary Grant Program is to improve the capacity of victim service providers and allied practitioners in advancing rights and services for crime victims. OVC will award funding for training, technical assistance, and demonstration projects that are (1) national in scope (defined as relevant and useful to many or most communities and states across the Nation); and either (2) address gaps in the field in the areas of training and technical assistance; or (3) develop promising practices, models, or programs through demonstration projects. All initiatives, whether related to training, technical assistance, or development of promising practices, models, and programs, must focus on improving the capacity of victim service providers and allied practitioners in advancing rights and services to victims in the following areas: Elder abuse, sexual assault, victim restitution, child abuse, youth victimization (including cybercrime victimization), victim services in corrections settings, stalking, the implications of forensic technologies for victims, and training and technical assistance on crime victims rights. In addition to fulfilling program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act. Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website (www.ojp.usdoj.gov) for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

See program solicitation for details (available on the OVC website at www.ojp.usdoj.gov/ovc). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application form furnished by the Federal agency in accordance with 28 CFR Part 66 (Common Rule) must be used for all grants made by OVC. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Detailed application procedures are set forth in the Recovery Act program solicitation for this discretionary program, which is available on the OVC website at www.ojp.usdoj.gov/ovc. Applicants must submit completed applications via the Office of Justice Programs, Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. The receipt, review, and analysis of applications will follow Office of Justice Programs policies and procedures for the administration of grant applications.

Award Procedure:

Awards will be made on a competitive basis with applications reviewed by a panel of subject matter experts who will assess application submissions based on established criteria and forward a recommendation to the Director.

All final grant award decisions will be made by the Assistant Attorney General, Office of Justice Programs, who may also give consideration to factors including, but not limited to, underserved populations, strategic priorities, past performance, and available funding when making awards.

Successful applicants are notified via the Grants Management System. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

See 28 CFR Part 18.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Consistent with the VOCA statute, awards will be made for the fiscal year of award, plus the three subsequent fiscal years. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Pursuant to the Recovery Act, certain financial and programmatic progress reports are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. No cash reports are required. No progress reports are required. Pursuant to the Recovery Act,

certain financial and programmatic progress reports are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. Performance Measures: To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Additional performance measures reporting may be required throughout the grant period.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds. Although Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, tracking and reporting of Recovery Act funds must be separate, to meet the reporting and other requirements of the Recovery Act and other applicable law. There can be no commingling of funds.

Account Identification:

15-0402-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Project Grants) FY 09 \$5,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Recovery Act VOCA Crime Victim Assistance Discretionary Grant Program will be administered by OVC under the applicable provisions of the Victims of Crime Act, 42 U.S.C. 10603(c); the program solicitation for the OVC Recovery Act discretionary program; the applicable provisions of the American Recovery and Reinvestment Act of 2009, Public Law 111-5, signed by the President on February 17, 2009; the Office of Justice Programs Financial Guide; applicable OMB Circulars; and Department of Justice regulations applicable to specific types of grantees, which can be found in title 28 of the Code of Federal Regulations (28 CFR).

Regional or Local Office:

None.

Headquarters Office:

Richard Greenough, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 810 Seventh Street, NW, Washington, District of Columbia 20531 Phone: (202) 616-8715.

Website Address:

<http://www.ojp.usdoj.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A peer review panel will review the applications that pass an initial eligibility screening, and score these applications in the following categories: Project Abstract, Statement of the Problem, Project Goals and Objectives, Project Design and Implementation, Organizational Capability and Project Management, Budget, Impact/Outcomes and Evaluation/Performance Measure Data Collection Plan. The peer reviewers ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations may include, but are not limited to, underserved populations, strategic priorities, past performance, and available funding.

Applications for funding under the Recovery Act VOCA Crime Victim Assistance Discretionary Grant Program may also be evaluated based on the following criteria:

- 1) Project objectives that are linked to meaningful and measurable outcomes consistent with the goals of the Recovery Act, and the likelihood of achieving such outcomes, such as job creation and preservation.
- 2) Activities that can be started and completed expeditiously, and in a manner that maximizes job creation and economic benefit.
- 3) Sound financial systems and procedures that can track and report funds separately and in a clear, accurate, and timely manner.

To the extent that a grant recipient makes discretionary sub-awards, in addition to its own requirements, and those requirements identified in the program solicitation, the recipient should also consider the above factors as a part of the selection process for sub-awardees.

16.808 RECOVERY ACT - EDWARD BYRNE MEMORIAL COMPETITIVE GRANT PROGRAM

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 (the Recovery Act); Consolidated Appropriations Act of 2008.

OBJECTIVES:

To improve the functioning of the criminal justice system, assist victims of crime (other than compensation), youth mentoring, and activities pertaining to crime prevention, control, or reduction, or the enforcement of the criminal law, including, but not limited to, police efforts to prevent, control, or reduce crime or to apprehend criminals, including juveniles, activities of courts having criminal jurisdiction, and related agencies (including but not limited to prosecutorial and defender services, juvenile delinquency agencies and pretrial service or release agencies), activities of corrections, probation, or parole authorities and related agencies assisting in the rehabilitation, supervision, and care of criminal offenders, and programs relating to the prevention, control, or reduction of narcotic addiction and juvenile delinquency.

In addition to fulfilling the program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases. For additional information on Office of Justice Programs Recovery Act Grant Programs, please visit the OJP website at <http://www.ojp.usdoj.gov/recovery/>.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The Byrne Competitive Recovery Program helps improve the capacity of criminal justice systems, assist victims of crime (other than compensation), provide youth mentoring, and focuses efforts on programs that emphasize more comprehensive community approaches; programs that stimulate the economy (i.e., workforce development); and other factors that impact economic conditions, and provides resources to improve the capacity of state and local criminal justice systems. The 2009 Recovery Act Byrne Competitive funding will focus on evidence-based and data-driven programs in the following areas: (1) preventing and reducing violent crime; (2) providing for funding of neighborhood-based probation and parole officers, as a compliment to the COPS program; (3) reducing mortgage fraud and crime related to vacant

properties; (4) hiring of civilian law enforcement (support personnel); (5) enhancing forensic and crime scene investigations; (6) improving resources and services for victims of crime; and (7) supporting problem-solving courts; and (8) national training and technical assistance partnerships.

In addition, a percentage of this funding may be available to fund projects that conduct evaluation or research related to the overall objectives of the program. To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act.

Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters, including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

see Index.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements.

By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. This program is also subject to the provisions of OMB Circular No. A-110. All applications must be submitted electronically through the online, Internet-based Grants Management System (GMS) at: <http://grants.ojp.usdoj.gov/>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJPs Assistant Attorney General, who will make a final determination. Successful applicants are notified via GMS. Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to the Office of the Chief Financial Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Application deadlines as specified by the Bureau of Justice Assistance are included with the application instructions, which are posted on the Office of Justice Programs website.

Range of approval/disapproval time varies for competitive programs.

Appeals:

See 28 CFR Part 18.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Contingent upon program goals and objectives, generally 24 months. See the following for information on how assistance is awarded/released: No information provided.

Reports:

To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Pursuant to the Recovery Act, certain financial and programmatic progress reports are required (including performance measure data) to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. No cash reports are required. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justices Office of the Inspector General, state or local government auditors, and auditors from independent public accounting firms. Jurisdictions must

Records:

Grantee must keep complete records on the disposition of funds. Although Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, tracking and reporting of Recovery Act funds must be separate, to meet the reporting and other requirements of the Recovery Act and other applicable law. There can be no commingling of funds.

Account Identification:

15-0402-1-1-754.

Obligations:

(Project Grants) FY 09 \$223,527,568; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs' Financial Guide

(www.ojp.usdoj.gov/financialguide/index.htm) and post award instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Costs will be determined in accordance with 2 CFR Part 225 for State and local governments and 2 CFR Part 230 for nonprofit organizations.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: byrne.discretionary@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.ojp.usdoj.gov/BJA>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.809 RECOVERY ACT - STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE PROGRAM: COMBATING CRIMINAL NARCOTICS ACTIVITY STEMMING FROM THE SOUTHERN BORDER OF THE UNITED STATES COMPETITIVE GRANT PROGRAM

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 (the Recovery Act).

OBJECTIVES:

The Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border provides resources, assistance, and equipment to local law enforcement along the Southern border and in High-Intensity Drug Trafficking areas in order to combat criminal narcotics activity stemming from the Southern border of the United States.

In addition to fulfilling the program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases. For additional information on Office of Justice Programs Recovery Act Grant Programs, please visit the OJP website at <http://www.ojp.usdoj.gov/recovery/>.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border helps to improve the capacity of local law enforcement to address the growing issue of illicit narcotics activity stemming from the southern border region. Competitively selected projects under this solicitation will focus on programs in the following areas: 1) Combating Criminal Narcotics Activity along the Southern border; 2) Enhancing Southern Border Jails, Community Corrections and Detention Operations; 3) Facilitating Justice Information Sharing, Collaboration and Problem Solving; and 4) Training & Technical Assistance.

In addition, a percentage of this funding may be available to fund projects that conduct evaluation or research related to the overall objectives of the program. To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act.

Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters, including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements.

By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJPs Assistant Attorney General, who will make a final determination. Successful applicants are notified via GMS. Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to the Office of the Chief Financial Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Contingent upon program goals and objectives, generally 24 months. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Additionally, pursuant to the Recovery Act, certain financial and programmatic progress reports (including complete performance measure data) are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. No cash reports are required. No progress reports are required. Additionally, pursuant to the Recovery Act, certain financial and programmatic progress reports (including complete performance measure data) are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009.

Performance Measures: To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment

Records:

Grantee must keep complete records on the disposition of funds. Although Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, tracking and reporting of Recovery Act funds must be separate, to meet the reporting and other requirements of the Recovery Act and other applicable law. There can be no commingling of funds.

Account Identification:

15-0402-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Project Grants) FY 09 \$29,639,507; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

The maximum project period is 24 months.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Application Kit and Questions and Answers posted regularly on the OJP website at: <http://www.ojp.usdoj.gov/BJA/> . OJP Financial Guide

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Policy Office, 810 Seventh Street NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866- 859-2647.

Website Address:

<http://www.ojp.usdoj.gov/BJA/grant/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.810 RECOVERY ACT ASSISTANCE TO RURAL LAW ENFORCEMENT TO COMBAT CRIME AND DRUGS COMPETITIVE GRANT PROGRAM

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 (the Recovery Act).

OBJECTIVES:

The Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program helps rural states and rural areas to prevent and combat crime, especially drug-related crime and provides for national support efforts, including training and technical assistance programs, strategically targeted to address rural needs.

In addition to fulfilling the program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases. For additional information on Office of Justice Programs Recovery Act Grant Programs, please visit the OJP website at <http://www.ojp.usdoj.gov/recovery/>.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program helps to improve the capacity of rural local, tribal, and state law enforcement to prevent and combat crime, especially drug related crime. The Rural Law Enforcement Assistance funding will focus on comprehensive programs in the following areas: 1) combating rural crime; 2) improving rural law enforcement investigations; 3) enhancing rural corrections, detention, and jail operations; 4) facilitating rural justice information sharing; and 5) training and technical assistance. Hiring personnel to accomplish program goals for each category is an acceptable use of funds.

In addition, a percentage of this funding may be available to fund projects that conduct evaluation or research related to the overall objectives of the program. To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act.

Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget

requests, certifications and other matters, including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

The applicant must submit a completed Application for Federal Assistance (Standard Form 424), including signed assurances that it will comply with statutory and administrative requirements.

By certifying on-line, the authorizing signing official is also assuring that all federal certifications and assurances are being met. The certification and assurance forms (Assurances, OJP Form 4000/3 and Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements, OJP Form 4061/6) are provided on-line to allow applicants to review and accept them electronically. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Grants Management System (GMS) at: <https://grants.ojp.usdoj.gov/>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

All applications will be peer reviewed. The BJA Director will then make award recommendations to OJP's Assistant Attorney General, who will make a final determination. Successful applicants are notified via GMS. Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by duly authorized representative and returned to the Office of the Chief Financial Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Pursuant to the Recovery Act, certain financial and programmatic progress reports are required (including performance measure data) to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted

beginning July 10, 2009. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance Measures: To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Recipients who expend \$500,000 or more of Federal funds during their fiscal year are required to submit an organization-wide financial and compliance audit report in accordance with OMB Circular No. A-133, as amended, and as stated in the on-line payment

Records:

Grantee must keep complete records on the disposition of funds. Although Recovery Act funds may be used in conjunction with other funding as necessary to complete projects, tracking and reporting of Recovery Act funds must be separate, to meet the reporting and other requirements of the Recovery Act and other applicable law. There can be no commingling of funds.

Account Identification:

15-0402-1-1-754 - Treasury Symbol: 1509100402.

Obligations:

(Project Grants) FY 09 \$123,296,671; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Application Kit and Questions and Answers posted regularly on the OJP website at: <http://www.ojp.usdoj.gov/BJA/>. OJP Financial Guide

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Policy Office, 810 Seventh Street NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.ojp.usdoj.gov/BJA/grant/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.811 RECOVERY ACT - CORRECTIONAL FACILITIES ON TRIBAL LANDS

FEDERAL AGENCY:

Department of Justice

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Public Law 111-5, (the Recovery Act); Section 20109 of the Violent Crime Control and Law Enforcement Act of 1994 (42 U.S.C. 13709).

OBJECTIVES:

The Recovery Act - Correctional Facilities on Tribal Lands Program provides resources to allow eligible American Indian tribes and Alaska Native villages to construct correctional facilities on tribal lands, with consideration given to the

detention bed space needs and the violent crime statistics of the applicant tribe or village. The grant program will allow eligible tribes to apply for a grant within one or more of four categories: (1) construction of tribal detention facilities; (2) construction of multipurpose justice centers; (3) renovation of existing detention facilities; and (4) construction of alternative sentencing facilities and supervision of offenders subject to tribal jurisdiction. In addition to fulfilling program-specific purposes, projects funded under the Recovery Act should be designed to further one or more of the general purposes of the Recovery Act, which are to preserve and create jobs and promote economic recovery; to assist those most impacted by the recession; to provide investments needed to increase economic efficiency by spurring technological advances in science and health; to invest in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits; and to stabilize State and local government budgets, in order to minimize and avoid reductions in essential services and counterproductive State and local tax increases. For additional information on Office of Justice Programs Recovery Act Grant Programs, please visit the OJP website at <http://www.ojp.usdoj.gov/recovery/>.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This Program for Indian Tribes may be used to assist Indian tribes in developing or expanding correctional facilities for both adult and juvenile offenders. To the extent (if any) that this Recovery Act program permits recipients to use funds for construction, renovation, or related projects, the recipient should be aware that special restrictions may be applicable under particular sections of the Recovery Act. For example, see section 1605 of the Recovery Act (Buy American), section 1606 (wage rate requirements), section 1602 (preference for quick-start activities), and section 1554 (special contracting rules). Recipients should also be aware of the requirements of the National Environmental Policy Act. Under the Recovery Act, funds may not be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. Additional government-wide guidance that applies to Recovery Act programs may become available that could affect proposal narratives, time lines, budget requests, certifications and other matters, including appropriate uses of funds and restrictions on uses of funds. Interested applicants are encouraged to regularly check the OJP website for further information and updates. All recipients of any funding under the Recovery Act will be required to follow any and all applicable provisions of government-wide guidance that may be issued pursuant to the Recovery Act, even if that guidance is not reflected in this CFDA posting.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

Each applicant must submit a completed application that meets the requirements that are specified in law, the program solicitation, and the program guidance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted online through the Office of Justice Programs Grant Management System (GMS) at <https://grants.ojp.usdoj.gov/> and must follow the criteria outlined in the solicitation as well as any additional program language

Award Procedure:

Successful applicants are notified via GMS. One copy of the grant award must be signed by the authorized official and returned to the Office of Justice

Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. The Federal share of a grant-funded project may not exceed 90 percent of the total costs of the project. Match may be cash or in-kind.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made for between 18 and 60 months, based on the type of construction/renovation involved in the project. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Additionally, pursuant to the Recovery Act, certain financial and programmatic progress reports (including complete performance measure data) are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. No cash reports are required. No progress reports are required. Additionally, pursuant to the Recovery Act, certain financial and programmatic progress reports (including complete performance measure data) are required to be submitted by each recipient of funds to OJP within 10 calendar days of the end of each calendar quarter, throughout the life of the grant. Reports should be submitted beginning July 10, 2009. Performance Measures: To fulfill the requirements of the Recovery Act and assist in fulfilling the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Payments and transactions are subject to audits by the Government Accountability Office, Department of Justice's Office of the Inspector General, State or local government auditors, and auditors from independent public accounting firms. Jurisdictions must

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0402-1-1-754.

Obligations:

(Project Grants) FY 09 \$224,486,393; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Office of Justice Programs Financial Guide, the Recovery Act Correctional Facilities on Tribal Lands program solicitation; application OMB Circulars, and Department of Justice regulations applicable to specific types of grantees, which can be found in Title 28 of the Code of Federal Regulations (28 C.F.R.CFR.).

Additional government-wide guidance pertinent to Recovery Act programs is

available on Recovery.gov.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Justice Assistance, Policy Office, 810 Seventh Street NW, Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: 202-616-6500 or 1-866-859-2647.

Website Address:

<http://www.ojp.usdoj.gov/recovery/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected on the basis of need for correctional facility space, demonstrated ability to operate the facilities, soundness of implementation plan, thoroughness of budget submission, and proposed strategy to measure impact/outcomes. Additionally, BJA considers BIAs priority list for facilities that are in need of repair or new construction.

16.812 SECOND CHANCE ACT PRISONER REENTRY INITIATIVE

Second Chance

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Second Chance Act of 2007 (H.R. 1593/S. 1060).

OBJECTIVES:

To facilitate offenders successful reintegration into society.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Second Chance Act is a commonsense response to the increasing number of people who are released from prison and jail and returning to communities. There are currently 2.5 million people serving time in our federal and state prisons, and millions of people cycling through local jails every year. Ninety-five percent of all prisoners incarcerated today will eventually be released and will return to communities. Of those serving a sentence in state or federal prisons, approximately 750,000 will be released each year. The Second Chance Act will help ensure the transition people make from prison or jail to the community is safe and successful.

To facilitate offenders successful reintegration into society, the following sections of the Second Chance Act have been funded:

State, Tribal, and Local Reentry Courts (\$10,000,000) authorizes the creation of state, local, and tribal reentry courts to monitor offenders and provide them with the treatment services needed to establish a self-sustaining and law-abiding life.

Family-Based Substance Abuse Treatment (\$7,500,000) authorizes grants to states, local governments, and Indian tribes to develop and implement prison-based and family-based treatment programs for incarcerated parents who have minor children.

Evaluate and Improve Education at Prisons, Jails, and Juvenile Facilities (\$2,500,000) authorizes a grant to study the effectiveness of educational programs in prisons and jails and identify best or promising practices.

Technology Careers Training Demonstration Grants (\$5,000,000) authorizes grants to develop training programs for offenders no more than 3 years from release to find jobs in higher paying, technology-related jobs.

Offender Reentry Substance Abuse and Criminal Justice Collaboration (\$13,000,000) authorizes grants to develop treatment programs to better address the needs of returning offenders with co-occurring substance abuse and mental health issues.

Adult and Juvenile Demonstration (\$37,000,000) authorizes grants to states

and local governments that may be used to promote the safe and successful reintegration into the community of individuals who have been incarcerated. Allowable uses of funds include mentorship, housing, education and job training, engagement with community colleges, family programming, initiatives addressing victim priorities and restorative justice, research to improve the effectiveness of release and revocation decisions using risk assessment tools, and reentry court programs.

Mentoring Grants to Nonprofit Organizations (\$15,000,000) authorizes grants to nonprofit organizations that may be used for mentoring of adult offenders or providing transitional services for reintegration into the community.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application form (SF-424), as furnished by the federal agency in accordance with 28 CFR, Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the federal agency and required by the Common Rule, must be used for this program. This program is also subject to the provisions of OMB Circular No. A-110. All applications must be submitted electronically via the Office of Justice Programs Grants Management System (GMS) at <https://grants.ojp.usdoj.gov>. Applications or supplemental materials received by facsimile or postal mail will not be accepted.

Award Procedure:

Upon approval by the Assistant Attorney General, letters and an award package are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

See 28 C.F.R. Part 18.

Renewals:

Continuation grants are renewable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Match is required for the state and local grants. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contingent upon program goals and objectives, generally 12-18 months. See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

Program reports are not applicable. Cash reports are not applicable. Unless otherwise specified in the awards special conditions, financial reports are due quarterly and progress reports are due semi-annually; in some cases, evaluation reports may be required. Please see above. As specified in the solicitation, performance measures are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant federal agency not later than 9 months after the end of the grantees fiscal year. Performance Measures: To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Records:

Recipients of federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$23,823,430; FY 10 est \$99,957,146; FY 11 est \$100,000,000

Range and Average of Financial Assistance:

Varies. In FY 2010, awards to state and locals are expected to be up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide 2008 (www.ojp.usdoj.gov/financialguide/index.htm) and Postaward Instructions (www.ojp.usdoj.gov/funding/pdfs/post_award_instructions.pdf) are applicable.

Regional or Local Office:

None.

Headquarters Office:

BJA, Office of Justice Programs, Bureau of Justice Assistance, Department of Justice, 810 Seventh Street, NW., Washington, District of Columbia 20351
Phone: 202-616-6500 or 1-866- 859-2647

Website Address:

<http://www.ojp.usdoj.gov/BJA>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please contact the program office for more information.

16.813 NICS ACT RECORD IMPROVEMENT PROGRAM

NARIP

FEDERAL AGENCY:

Bureau of Justice Statistics, Department of Justice

AUTHORIZATION:

The NICS Improvement Amendments Act of 2007.

OBJECTIVES:

To improve the FBI's National Instant Criminal Background Check System (NICS) by providing assistance to States to improve the completeness, automation and transmittal to state and federal systems the records utilized by the NICS. Such records include criminal history records, records of felony convictions, warrants, records of protective orders, convictions for misdemeanor involving domestic violence and stalking, records of mental health adjudications, and others, which may disqualify an individual from possessing or receiving a firearm under federal law. Helping States to automate

these records will also reduce delays for law-abiding gun purchasers. The NICS Improvement Act amends the Brady Handgun Violence Prevention Act of 1993 (the Brady Act) (Public Law 103-159), under which the Attorney General established NICS. The Brady Act requires Federal Firearms Licensees (FFLs) to contact the NICS before transferring a firearm to an unlicensed person for information on whether the proposed transferee is prohibited from receiving or possessing a firearm under state or federal law. The NICS Improvement Act was enacted in the wake of the April 2007 shooting tragedy at Virginia Tech. The Virginia Tech shooter was able to purchase firearms from an FFL because information about his prohibiting mental health history was not available to the NICS and the system was therefore unable to deny the transfer of the firearms used in the shootings. The Act seeks to address the gap in information available to NICS about such prohibiting mental health adjudications and commitments and other prohibiting backgrounds. Filling these information gaps will better enable the system to operate as intended to keep guns out of the hands of persons prohibited by federal or state law from receiving or possessing firearms. The Act authorized two grant programs to assist states in providing certain information to the NICS, and prescribes grant penalties for non-compliance with the Acts record completeness goals. Pursuant to the Act, there are certain conditions, described below, that a state must satisfy in advance of receiving grants under the Act. The NICS Improvement Act has provisions that require states to meet specified goals for completeness of the records submitted to the Attorney General on individuals prohibited by federal law from possessing firearms. The records covered include automated information needed by the NICS to identify felony convictions, felony indictments, fugitives from justice, drug arrests and convictions, prohibiting mental health adjudications and commitments, domestic violence protection orders, and misdemeanor crimes of domestic violence. The Act provides for a number of incentives for states to meet the goals it sets for greater record completeness. First, the Act allows states to obtain a waiver, beginning in 2011, of the state matching requirement under the National Criminal History Improvement Program (NCHIP) grant program, if a state provides at least 90 percent of its records identifying persons in specified prohibited categories. Second, the Act authorizes grant programs described herein, which, pursuant to the Act, are being administered consistent with NCHIP, for state executive and judicial agencies to establish and upgrade information automation and identification technologies for timely submission of final criminal record dispositions and other information relevant to NICS checks. Finally, the Act provides for discretionary and mandatory Byrne Justice Assistance Grant (JAG) program grant penalties, beginning 3 years after enactment, for non-compliance with specified record completeness requirements within certain timeframes: after 3 years, 3 percent may be withheld in the case of less than 50 percent completeness; after 5 years, 4 percent may be withheld in the case of less than 70 percent completeness; and after 10 years, 5 percent shall be withheld in the case of less than 90 percent completeness (although the mandatory reduction can be waived if there is substantial evidence of the state making a reasonable effort to comply).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Act outlines the allowable uses of grant funds:

Grants to States:

Section 103 of the NICS Improvement Act, regarding implementation assistance to the states, provides that the grants "shall be used by the States and Indian tribal governments, in conjunction with units of local government and State and local courts, to establish or upgrade information and identification technologies for firearms eligibility determinations." In accordance with the Act, a grant to a state, territory or Indian tribes may only be used to:

- Create electronic systems, which provide accurate and up-to-date information which is directly related to checks under the NICS, including court disposition and corrections records;
- Assist states in establishing or enhancing their own capacities to perform NICS background checks;
- Supply accurate and timely information to the Attorney General concerning final dispositions of criminal records to databases accessed by NICS;

Supply accurate and timely information to the Attorney General concerning the identity of persons who have a federally prohibiting mental health adjudication or commitment;

Supply accurate and timely court orders and records of misdemeanor crimes of domestic violence for inclusion in federal and state law enforcement databases used to conduct NICS background checks;

Collect and analyze data needed to demonstrate levels of state compliance with the Act; and

Maintain the required relief from disabilities program in accordance with the Act however, not less than 3 percent and no more than 10 percent of each grant shall be used for this purpose.

State Court Grants

Section 301 of the Act provides that grants shall be made to each state and territory, consistent with the states plans for the integration, automation, and accessibility of criminal history records, for use by the court systems to improve automation and transmittal to federal and state repositories of: (1) criminal history dispositions; (2) records relevant to determining whether a person has been convicted of a misdemeanor crime of domestic violence or a prohibiting domestic violence protection order; and (3) prohibiting mental adjudications and commitments. Further, the law provides that the amounts granted shall be used by the state court system only to:

Carry out, as necessary, assessments of the capabilities of state courts to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories; and

Implement policies, systems, procedures to automate and transmit arrest and conviction records, court orders, and mental health adjudications or commitments to federal and state record repositories.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

The applicant must furnish, along with the application for an award, a detailed budget, a budget narrative, and a program narrative describing how funds would be utilized. Applicants must also furnish a NICS Record Improvement Plan as part of the application which reflects (a) the formation of a NICS Record Improvement Task Force, an assessment of the quality and availability of qualifying NICS records in the State, obstacles preventing full and complete transmission to the NICS of the available records, and strategies planned to address such challenges and achieve completeness goals. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A potential grantee should contact the Headquarters Office, Devon B. Adams, NARIP Program Administrator, Bureau of Justice Statistics, Department of Justice, Washington, DC 20531; Telephone: (202) 307-0765, or E-mail: Devon.Adams@usdoj.gov, to obtain a copy of the current program announcement. The standard application forms as furnished by the Office of Justice Programs (OJP), in accordance with 28 CFR Part 66 (Common Rule), must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Office of Justice Programs (OJP) now requires that funding applications be submitted electronically through the OJP Grants Management System (GMS), which can be accessed at <http://www.ojp.usdoj.gov/fundopps.htm>.

Award Procedure:

An award is granted by the Director of the Bureau of Justice Statistics and must be accepted by the applicant agency or institution according to the special conditions of the grant or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Hearing by Director of BJS.

Renewals:

Awards may be for up to 12 months. Activities must be completed by September 30, 2010.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project duration period will be for up to 12 months. There are three methods for requesting payment of grant funds: the Letter of Credit Electronic Certification System (LOCES), the Phone Activated Paperless Request System (PAPRS) and the Automated Standard Application for Payment (ASAP). See the following for information on how assistance is awarded/released: Please contact the program office for more information.

Reports:

No program reports are required. No cash reports are required. Progress Reports are required that present information relevant to the performance of the project. They must be submitted online through GMS for reporting periods ending June 30th and December 31st. Financial Status Reports containing the actual expenditures for the reporting period and cumulative for the award must be submitted quarterly online through GMS. Data related to the performance measures outlined in the solicitation must be submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Nonfederal entities that expend \$500,000 or more in Federal funds (from all sources including passthrough sub awards) in the organizational fiscal year (12 month turn around reporting period) shall have a single organizational audit conducted in accordance with the provisions of OMB Circular A133. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from audit requirements for that year.

Records:

In accordance with the requirements set forth in 28 CFR Parts 66 and 70, all financial records, supporting documents, statistical records, and all other records pertinent to the award shall be retained by each organization for at least 3 years following the closure of the most recent audit report.

Account Identification:

15-0401-0-1-754.

Obligations:

(Cooperative Agreements) FY 09 \$2,135,576; FY 10 est \$19,976,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: BJS received twenty-two applications in response to the program announcement, and funded three applications based on them satisfying the required criteria. Fiscal Year 2010: BJS has received fourteen applications in response to the program announcement. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide.

Regional or Local Office:

None.

Headquarters Office:

Devon B. Adams, Bureau of Justice Statistics, Department of Justice, Washington, District of Columbia 20531 Email: Devon.Adams@usdoj.gov Phone: (202) 307-0765.

Website Address:

<http://www.bjs.ojp.usdoj.gov>

RELATED PROGRAMS:

16.554 National Criminal History Improvement Program (NCHIP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.814 NORTHERN BORDER PROSECUTION INITIATIVE PROGRAM

Northern Border (NBPI)

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Public Law No. 111-8, 123 Stat. 524, 580 authorizes the reimbursement of county and municipal governments in Alaska, Idaho, Maine, Michigan, Minnesota, Montana, New Hampshire, New York, North Dakota, Ohio, Pennsylvania, Vermont, Washington, and Wisconsin for specific categories of expenses associated with the handling and processing of federally initiated cases along the Northern Border.

OBJECTIVES:

To reimburse State, county, parish, tribal, or municipal governments only for costs associated with the prosecution of criminal cases declined by local U.S. Attorneys' offices.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

While funds from Northern Border Prosecution Initiative federal payments may be used by applicant jurisdictions for any purpose not otherwise prohibited by federal law, using funds for the support and enhancement of prosecutorial and detention services is encouraged.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No formal preapplication coordination is required. Previous year applicants are notified two to three weeks prior to the opening of each application period. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the online, Internet-based Northern Border Prosecution Initiative system: <http://www.ojp.usdoj.gov/BJA/grant/northernborder.html>. Faxed or mailed applications or supplemental materials will not be accepted.

Award Procedure:

Applications are reviewed and approved on the basis of their adherence to program guidelines and funding availability. Applicants will receive up to 100 percent of eligible case costs, based on the availability of funds. Should application amounts exceed available funding, applicants will receive funds on

a uniform, pro-rata basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Subject to congressional appropriation. Consult the Application Kit or contact the Bureau of Justice Assistance in the office of Justice Programs for application deadline.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made within 90 days of application end date. See the following for information on how assistance is awarded/released: Please contact program office for more assistance.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Documents supporting a federally initiated and declined/referred case must be retained for three years after the submission of the case for NBPI funds. Documents can include paper or electronic case files, e-mails from the U.S. Attorneys Office, case notes, or documentation of telephone or face-to-face meetings with U.S. Attorneys Office staff or federal law enforcement officials.

Account Identification:

15-0404-0-1-754.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$5,330,097; FY 10 est \$2,950,000; FY 11 est \$0 - Northern Border had an FY09 appropriation of \$3,000,000 and a carry-forward of \$2,534,959 unexpended no year funds, resulting in a total budget authority of \$5,534,959. The FY11 requested amount is \$0.

Range and Average of Financial Assistance:

Applicants do not request an amount. Instead their award is based on available funding and the number of eligible cases. There is no maximum project period associated with this program since it is a payments reimbursement program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

See the NBPI website: <http://www.ojp.usdoj.gov/BJA/grant/northernborder.html>

Regional or Local Office:

None.

Headquarters Office:

Joseph Husted, Bureau of Justice Assistance, State Policy Office, 810 Seventh Street N.W., Washington, District of Columbia 20531 Email: joseph.husted@usdoj.gov Phone: (202) 353-4411 or 1-866-859-2687

Website Address:

<http://www.ojp.usdoj.gov/BJA/grant/northernborder.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See solicitation for selection criteria and required elements.

16.815 TRIBAL CIVIL AND CRIMINAL LEGAL ASSISTANCE GRANTS, TRAINING AND TECHNICAL ASSISTANCE

TCCLA TTA

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

Indian Tribal Justice Technical and Legal Assistance Act of 2000; Statute 2778; Public Law 106-559 Title I Training and Technical Assistance, Civil and Criminal Legal Assistance Grants, Section 101 et seq. (25 U.S.C. 3661).

OBJECTIVES:

To enhance tribal court systems and improve access to civil and criminal court systems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Category I: Tribal civil legal assistance grants: Under Category I, applications are solicited to provide civil legal assistance services for Indian tribes, members of Indian tribes and tribal justice systems within tribal court forums, pursuant to the Federal poverty guidelines.

Category II: Tribal criminal legal assistance grants: Under Category II, applications are solicited to provide criminal legal assistance services for Indian tribes, members of Indian tribes and tribal justice systems within tribal court forums, pursuant to the Federal poverty guidelines. Criminal legal assistance services may include adult criminal actions, juvenile delinquency actions, and guardian-ad-litem appointments arising out of criminal or delinquency acts.

Category III: Tribal Justice advocacy Training and technical assistance (TTA) GRANTS: The selected advocacy training and technical assistance provider under Category III shall demonstrate the capacity to: deliver national or regional scope advocacy training and technical assistance to tribal justice systems; coordinate, ensure no duplication of trainings, and work closely with all Tribal Justice System Training and Technical Assistance providers, specifically providers for the Tribal Courts Assistance Program, Correctional Facilities on Tribal Land Program and Indian Alcohol and Substance Abuse Program; support OJPs Tribal Justice and Safety web site. The selected advocacy training and technical assistance provider under Category III shall provide the following deliverables: plan and conduct 2 training events based on gaps in service currently provided to Tribal Justice Systems in the area of advocacy; provide on going technical assistance, not limited to the legal assistance grantees, during the grant period, including four on-site assistance visits during the grant period. Technical assistance formats include, but are not limited to, telephone, distance learning, web-based, written, and/or onsite assistance; develop and disseminate, during the grant period, one publication to be determined in conjunction with BJA, as well as other program and training related materials; Conduct distance learning training, as appropriate, to complement classroom training; provide scholarships to support tribes with inadequate resources to attend tribal justice system trainings, specifically the two trainings that will be provided as deliverables under Category III. Targeted to non-profit organizations, the grants serve to strengthen and improve the representation of indigent defendants and clients in civil and criminal causes of action under the jurisdiction of Indian tribes. Also included in this solicitation is a request for a national or regional tribal membership organization to provide advocacy training and technical assistance, not limited to the legal assistance grantees under Categories I and II, to all tribes for the development, enrichment, and enhancement of judicial system personnel within tribal justice systems.

Applicant Eligibility:

Applicants for Tribal Legal Assistance Grants in Category I (civil cases) and II (criminal cases) are limited to tribal and non-tribal non-profit entities that provide legal assistance services for Indian tribes, members of Indian tribes, or tribal justice systems in tribal court forums pursuant to Federal poverty guidelines. Tribal justice systems are defined as the entire judicial branch, and members of Indian tribes, dispute resolution forums and other culturally relevant justice approaches, trial courts, appellate courts, inter-tribal courts, alternative dispute resolution systems, and circuit rider systems, established by inherent tribunal authority whether or not they constitute a court of record. Under Category I, applications are solicited to provide civil legal assistance services for Indian tribes, members of Indian tribes and tribal justice systems within tribal court forums, pursuant to the Federal poverty guidelines. Under Category II, applications are solicited to provide criminal legal assistance services for Indian tribes, members of Indian tribes and tribal justice systems within tribal court forums, pursuant to the Federal poverty guidelines. Criminal legal assistance services may include adult criminal actions, juvenile delinquency actions, and guardian-ad-litem appointments arising out of criminal or delinquency acts.

Applicants for Tribal Justice Advocacy Training and Technical Assistance Grants in Category III are limited to national or regional membership organizations and associations whose membership or a membership section consists of judicial system personnel within tribal justice systems. Judicial personnel are defined as any judge, magistrate, court counselor, court clerk, court administrator, bailiff, probation officer, officer of the court, dispute resolution facilitator, or other official, employee, or volunteer within the tribal judicial system.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

BJA reviews applications for completeness, accuracy, and compliance with all program requirements. Upon approval by the Assistant Attorney General, OJP, award letters and award documents are sent to the grantee. One copy of the grant award must be signed by a duly authorized representative and returned to BJA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 24 months. See the following for information on how assistance is awarded/released: See program office for more information. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are due semi-annually. Financial reports are due quarterly. Performance measurements will be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients of Federal funds are expected to retain documentation supporting all program transactions for at least 3 years after the closure of audit reports related to such funding. If any litigation, claim, negotiation, audit, or other action involving records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all related issues, or until the end of the regular 3-year period, whichever is later.

Account Identification:

15-0404-0-1-754.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$3,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

CATEGORY I: TRIBAL CIVIL LEGAL ASSISTANCE GRANTS. Grants will not exceed \$250,000. CATEGORY II: TRIBAL CRIMINAL LEGAL ASSISTANCE GRANTS. Grants will not exceed \$250,000. CATEGORY III: TRIBAL JUSTICE ADVOCACY TRAINING AND TECHNICAL ASSISTANCE (TTA) GRANTS. Grant will not exceed \$400,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Office of Justice Programs Financial Guide and Handbook 4500.2c, Policies and Procedure for the Administration of OJP Grants, are applicable.

Regional or Local Office:

None.

Headquarters Office:

Kim Ball Norris, 810 Seventh Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 514-1473 or (1-866) 859-26

Website Address:

<http://www.ojp.usdoj.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

16.816 JOHN R. JUSTICE PROSECUTORS AND DEFENDERS INCENTIVE ACT

John R. Justice (JRJ) Grant Program

FEDERAL AGENCY:

Bureau of Justice Assistance, Department of Justice

AUTHORIZATION:

42 U.S.C. 3797cc-21.

OBJECTIVES:

To encourage qualified attorneys to choose careers as prosecutors and public defenders and to continue in that service.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants are made directly by the Office of Justice Programs to the applicant State agency, based on results of a competitive review process conducted by the States. Grant funds will be used by States to make repayments, on behalf of eligible beneficiaries, of loan obligations to institutions holding eligible beneficiary loans. Direct payment of grant funds to beneficiaries is strictly prohibited. Grant funds may be applied as repayments of eligible loans only.

See program solicitation for additional information regarding eligible beneficiaries, eligible loans, and contingent obligations of eligible beneficiaries.

Priority consideration will be given to those eligible beneficiaries who have the least ability to repay their student loans, except that a fair allocation of repayment benefits among prosecutors and public defenders, and among employing entities nationwide, will be determined.

Applicant Eligibility:

Applicants are limited to state government agencies, designated by their Governor, and the District of Columbia, designated by the Mayor, to manage this program. The States and the District of Columbia will make loan payments on behalf of eligible beneficiaries. See below for eligibility information.

Beneficiary Eligibility:

For purposes of this program the following persons shall be considered eligible:

Prosecutor - full-time employee of a State or unit of local government (including tribal government) who is continually licensed to practice law and prosecutes criminal or juvenile delinquency cases at the State or unit of local government level including supervision, education, or training of other persons prosecuting such cases.

Public Defender - an attorney who is continually licensed to practice law and is a full time employee of a State or unit of local government (including tribal government) who provides legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; is a full time employee of a nonprofit organization operating under a contract with a State or unit of local government who devotes substantially all of the employees full-time employment to providing legal representation to indigent persons in criminal or juvenile delinquency cases including supervision, education, or training of other persons providing such representation; or employed as a full-time Federal defender attorney in a defender organization pursuant to Subsection (g) of section 3006A of Title 18, United States Code, that provides legal representation to indigent persons in criminal or juvenile delinquency cases.

Credentials/Documentation:

The designated agency must submit a completed Application for Federal Assistance (Standard Form 424), including a signed certification form stating that they will comply with statutory and administrative requirements. The applicant is also required to submit a description that includes the goals of their program, the implementation process, and a timetable for implementation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. BJA will post the allocation tables and a copy of the Solicitation and notify the designated State agencies that they are posted online. The State agencies will then have 60 days in which to submit an application through the online, Internet-based Grants Management System (GMS) at <https://grants.ojp.usdoj.gov/>

Award Procedure:

A letter with copies of the grant award are sent to the designated agency upon approval by the Office of Justice Programs. Once copy of the grant award must be signed by the authorizing official and returned to the Office of Justice Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will generally be approved or denied within 60 days of receipt of a complete application.

Appeals:

Not Applicable.

Renewals:

Contingent upon Congressional appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally awards will be for a one-year project and budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients are required to submit individual progress reports. Recipients are required to submit quarterly financial reports. To assist in fulfilling the Departments responsibilities under the Government Performance and Results Act (GPRA), P.L.103-62, applicants who receive funding under this solicitation must provide data that measures the results of their work.

Audits:

Not Applicable.

Records:

In accordance with the requirement set forth in 28 CFR, Parts 66 and 70, grantees must maintain all financial records, supporting documents, statistical records, and all other records pertinent to the award for at least 3 years following the close of the most recent audit.

Account Identification:

15-0404-0-1-754.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$10,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Eileen M. Garry, 810 7th Street, N.W., Washington, District of Columbia 20531 Email: AskBJA@usdoj.gov Phone: (202) 616-6500 .

Website Address:

<http://www.ojp.usdoj.gov/bja>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

DEPARTMENT OF LABOR

17.002 LABOR FORCE STATISTICS

FEDERAL AGENCY:

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 58-57, 62-426, 71-537, 82-203, 93-203, 93-567, 94-206, 94-311, and 94-369; 29 U.S.C. 1, 2, 2b,5, 8, 882, 1601.

OBJECTIVES:

To provide statistical data on employment, hours, wages, unemployment, and layoffs by occupation and industry for the nation, States, metropolitan areas, and counties. Data can be monthly, quarterly, or annual.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds in the form of cooperative agreements are available to State Agencies designated by the Governor, usually State Workforce Agencies (SWA), for staffing, travel, equipment, expenses, and indirect costs of statistical business process operations such as sample refinement, data collection, non-response follow-up, data review and editing, estimation, data analysis, and data dissemination.

Applicant Eligibility:

SWAs designated under Section 4 of the Wagner-Peyser Act are eligible to apply for cooperative agreement funding to operate the Current Employment Statistics (CES), Local Area Unemployment Statistics (LAUS), Quarterly Census of Employment and Wages (QCEW), Occupational Employment Statistics (OES), and Mass Layoff Statistics (MLS) programs in the States. BLS may select an alternative applicant if a SWA declines to apply for cooperative agreement funding or otherwise substantially fails to meet BLS application and performance requirements. Requests for technical information may be made by the general public.

Beneficiary Eligibility:

General public may request information from the SWAs and the Bureau of Labor Statistics.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Draft and final applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics according to a schedule of key dates. Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the SWA.

Deadlines:

Jul 01, 2010: Cooperative agreements which cover a given Federal fiscal year are executed prior to the beginning of the fiscal year (October 1). Cooperative agreements which cover a given Federal fiscal year are executed prior to the beginning of the fiscal year (October 1).

Range of Approval/Disapproval Time:

From 60 to 90 days. Guidelines and application materials are mailed to SWA in mid-May. Initial drafts are required by early July, and final applications by August 31. The cooperative agreements are executed by mid-September, and become effective October 1.

Appeals:

Not Applicable.

Renewals:

Each fiscal year the Bureau of Labor Statistics enters into new cooperative

agreements with appropriate SWAs.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

LMI Cooperative Agreement reporting requirements are based on the SWAs Cost Accounting System (CAS) reports or their Financial Accounting and Reporting System (FARS) equivalents. CAS report 65, or FARS Report 11, is submitted monthly. CAS reports 61, and 94B, or FARS Report 12A and 17, are submitted quarterly. SWAs choosing not to use the CAS- or FARS- generated reports must submit the LMI Cooperative Statistics Financial Report in lieu of CAS reports 61, 65 and 94B or FARS reports 11, 12A and 17. This report is submitted monthly for data which would appear on CAS report 65 or FARS report 11, and quarterly for CAS reports 61 and 94B, or FARS reports or 12A and 17. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Recordkeeping is in accordance with 29 CFR 97, implementing OMB Circular A-102.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 09 \$266,345,553; FY 10 est \$276,116,000; FY 11 est \$284,672,000 - (Grants) FY 09 est \$81,561,212.00; FY 10 est \$90,811,000.00; FY 11 est 83,025,000.00.

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and non-personal resources required to operate the programs in a State. The range of awards in fiscal year 2009 is from \$59,000.00 (Guam) to \$7,850,837.00 (California). The average is \$1,571,970.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal year 2009 activities: Current Employment Statistics survey - about 390,000 establishments in the monthly sample; Occupational Employment Statistics survey - 400,000 establishments surveyed during the year; State and local area unemployment statistics-monthly estimates for more than 7,000 geographic areas; data from 9.0 million establishments providing monthly employment and quarterly wages of workers covered by State unemployment insurance program; publication of Business Employment Dynamics series at the state level of job creation and destruction, expansions, and contractions; and monthly and quarterly reporting and publication of mass layoffs and plant closings. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

"Employment and Earnings;" "Monthly Labor Review;" "Occupational Employment and Wages;" BLS Handbook of Methods;" "Major Programs of the Bureau of Labor Statistics;" "Publications of the Bureau of Labor Statistics;" "Handbook of Labor Statistics;" "Unemployment in States and Local Areas;" "Geographic Profile of Employment and Unemployment;" and "Employment and Wages." Monthly Press Releases: "The Employment Situation;" "Metropolitan Area Employment and Unemployment;" "Regional and State Employment and Unemployment;" "Real Earnings;" and "Mass Layoffs." Quarterly Press Releases: "County Employment and Wages," "Business Employment Dynamics," and "Extended Mass Layoffs."

Cooperative agreement application instructions, including all applicable regulations, are available from Bureau of Labor Statistics regional offices free of charge.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

John Galvin, 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: (202) 691-6400.

Website Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

11.001 Census Bureau Data Products; 11.005 Census Special Tabulations and Services; 11.025 Measures and Analyses of the U.S. Economy; 17.004 Productivity and Technology Data; 17.005 Compensation and Working Conditions

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.003 PRICES AND COST OF LIVING DATA

FEDERAL AGENCY:

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 62-426, 71-537, and 94-206, 29 U.S.C. 1-2.

OBJECTIVES:

To provide statistical data for assisting in the evaluation of consumer, producer, export and import prices and price changes, and consumer expenditures.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Provides for Consumer Price Indexes (CPI) which measure changes in prices of goods and services consumed by all urban households as well as urban wage earners and clerical workers. As the basis for most estimates of changes in the purchasing power of the consumer's dollar, the CPI is a major economic indicator and has widespread use in escalation adjustments in both private and public sectors. Provides for Producer Price Indexes (PPI) which measure average changes in selling prices received by domestic producers for their output and which are widely cited within price escalation clauses of long term sales contracts to protect both parties against inflation. Provides Export and Import Price Indexes to measure the effect of international trade prices on U.S. economy. The Consumer Expenditure (CE) Surveys provide periodic updates to the market basket and the weights used to calculate the CPI. In addition, the CE supplies information on consumers' spending and income by characteristics such as income, age, race, family size, and region.

Applicant Eligibility:

Request for information may be made by the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Requests for information and assistance may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 09 \$198,332,045; FY 10 est \$201,081,000; FY 11 est \$234,521,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the Consumer Price Indexes (CPI), Producer Price Indexes (PPI), International Price Indexes (IPP), and Consumer Expenditure Surveys (CE) continued, as did regular publication of data from these prices statistics programs. During 2009, the CPI program continued publication of the new superlative measure of changes in living costs. The new measure more fully reflects the impact of changes in relative prices on consumers. As part of its 2009 initiative, the CPI will begin work on introducing a new sample of housing units and a process for updating these units on a continuous basis. IPP offered its new web-based repricing collection to 100 percent of its respondents the end of 2009. PPI published a new group of wherever-provided services indexes, adding to its current product line of commodity-based indexes. In 2009, the CE implemented changes to the interview questionnaire to improve wording, streamline the question flow, and collect new products and services. Fiscal Year 2010: In fiscal year 2010, the Consumer Price Indexes (CPI), Producer Price Indexes (PPI), International Price Indexes (IPP), and Consumer Expenditure Surveys (CE) continue, as will regular publication of data from these prices statistics programs. During 2010, the CPI will continue work on introducing a new sample of housing units and a process for updating these units on a continuous basis. The PPI will continue to research and develop new aggregation structures for indexes that reflect both goods and services in its stage of processing-type outputs. The CE will begin a field test on measurement issues of the Interview survey, such as survey length, respondent burden, and the use of global questions. In addition, the CE will develop questionnaire changes to be implemented in the Interview Survey in 2011 to keep the survey current with new products and to support CPI requirements. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

"Consumer Price Index"; "Producer Price Index"; "Export Price Index"; "Import Price Index"; "Consumer Expenditure Survey," "Monthly Labor Review"; "BLS Handbook of Methods," BLS Bulletin 2490; "Major Programs of the Bureau of Labor Statistics"; "Publications of the Bureau of Labor Statistics."

Regional or Local Office:

See Regional Agency Offices. Contact the nearest regional office of the Bureau

of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Michael W. Horrigan, 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: (202) 691-6960.

Website Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

10.950 Agricultural Statistics Reports; 11.025 Measures and Analyses of the U.S. Economy; 17.005 Compensation and Working Conditions

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.004 PRODUCTIVITY AND TECHNOLOGY DATA**FEDERAL AGENCY:**

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 54-249, 62-426, 71-537, 76-77, and 94- 206, 29 U.S.C. 1, 29 U.S.C. 2b.

OBJECTIVES:

To provide and analyze data on productivity in major sectors of the U.S. economy and specific industries and develop productivity and other measures for selected countries.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Provides for: (a) Productivity measures of output per hour of all persons for the business sector and for major sub-sectors; (b) multifactor productivity indexes (output per unit of combined labor and capital inputs) for private business, private nonfarm business, manufacturing, and major industries, and indexes of output per unit of capital; (c) multifactor productivity measures for selected industries including capital, labor, energy, materials, and purchased business services inputs; (d) industry measures of output per hour, unit labor costs, and related data for selected industries; and (e) international comparisons of productivity; hourly compensation; labor force, unemployment and employment rates; and consumer prices.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Not applicable.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 09 \$11,668,370; FY 10 est \$11,904,000; FY 11 est \$10,242,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly labor productivity and cost measures for major sectors are published regularly. Multifactor productivity measures for major-sectors, and industry labor and multifactor productivity measures are published each year. International comparisons of productivity, compensation, and unemployment are also ongoing activities of this Office. Approximately 29 reports, studies and articles were completed in fiscal year 2009, and 1,805 labor productivity and international comparative series were updated. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

"BLS Handbook of Methods"; "Major Programs Bureau of Labor Statistics"; "BLS Publications on Productivity and Technology"; "Estimating Capital Inputs for Productivity Measurement: An Overview of Concepts and Methods"; "The Impact of Research and Development on Productivity Growth"; "Trends in Multifactor Productivity, 1948-81"; "Labor Composition and U.S. Productivity Growth, 1948-90"; "Monthly Labor Review."

Regional or Local Office:

See Regional Agency Offices. Contact the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

Michael Harper 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: 202-691-5600

Website Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

11.025 Measures and Analyses of the U.S. Economy

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.005 COMPENSATION AND WORKING CONDITIONS

FEDERAL AGENCY:

Bureau of Labor Statistics, Department of Labor

AUTHORIZATION:

Public Laws 62-426, 71-537, 91-596 and 94-206, 29 U.S.C. 1-2; 29 U.S.C. 2b; 29 U.S.C. 4; 5 U.S.C. 5305; 29 U.S.C. 651; 29 U.S.C. 673.

OBJECTIVES:

To provide timely and relevant data on levels and trends in wages; employee benefits; occupational safety and health; and work stoppages.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides the following information: (A) trend data on employee wages and compensation; (B) occupational wage data in about 150 localities nationwide and studies of employee benefits plans; (C) national and State data on occupational injuries, illness and fatalities; and (D) information on work stoppages. It also makes available funds in the form of cooperative agreements to State agencies or designated local governments for collection of data on occupational injuries, illnesses, and fatalities. The Occupational Safety and Health Statistics program authorizes funds on a matching basis to States or local governments to assist them in developing and administering programs.

Applicant Eligibility:

State agencies or designated local governments are eligible to apply for cooperative agreement funding to share costs in operating statistical programs dealing with occupational safety and health statistics. Request for copies of published studies and reports may be made by the general public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Requests for information may be made to the nearest regional office of the Bureau of Labor Statistics listed in Appendix IV of the catalog. Applications for cooperative agreement funding are submitted to the appropriate regional office of the Bureau of Labor Statistics.

Award Procedure:

Upon submission of an acceptable application and after negotiation of specific program deliverables and costs, the Bureau of Labor Statistics awards cooperative agreement funding to the State or local government.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The typical process would include guidelines and application material being mailed to State or local government in early summer, with initial drafts required by mid- summer, and final applications due by late summer. Cooperative agreements are executed by early-September and become effective October 1.

Appeals:

Not Applicable.

Renewals:

Each fiscal year the Bureau of Labor Statistics enters into new cooperative agreements with appropriate State or local governments.

Formula and Matching Requirements:

Statutory Formula: Public Law 91-596, Section 24. Matching Requirements: Percent: 50%. The Federal share for each State's or local government's statistical program may be up to 50 percent of the State's or local government's total cost for the Occupational Safety and Health Statistical program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreement funding generally is for a single fiscal year. Method of

awarding/releasing assistance: quarterly.

Reports:

Cooperative agreements with State or local government specify quarterly financial reports and periodic program reports. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, in Federal Register June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recordkeeping is in accordance with 29 CFR 97 (implementing OMB Circulars No. A-102 and No. A-110).

Account Identification:

16-0200-0-1-505.

Obligations:

(Salaries) FY 09 \$87,202,416; FY 10 est \$88,553,000; FY 11 est \$81,202,000 - (Grants) FY 09 \$6,576,864; FY 10 est \$7,116,000; FY 11 est \$7,223,000.

Range and Average of Financial Assistance:

The size of each cooperative agreement reflects the staff and nonpersonal resources required to operate the program. The range of awards in fiscal year 2009 is from \$3,417 (Idaho) to \$689,441 (California). The average is \$123,694.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Office of Compensation and Working Conditions (OCWC) produces statistics in the areas of employee compensation, working conditions, and safety and health. Major programs within OCWC include the National Compensation Survey (NCS) and Occupational Safety and Health Statistics (OSHS). The NCS program provides comprehensive measures of occupational wages, employment cost trends, benefit incidence, and detailed benefit plan provisions. Throughout the year the NCS program published data on occupational earnings for metropolitan and non-metropolitan areas, broad geographic regions, and on a national basis. The index component of the NCS, the Employment Cost Index (ECI), measures changes in labor costs and was published quarterly. Average hourly employer costs were also presented quarterly by the NCS in the Employer Costs Index for Employee Compensation (ECEC). The OSHS program produces data on illnesses and injuries on the job and data on worker fatalities. The Survey Occupational Injuries and Illnesses (SOII) within the OSHS program produced annual statistics on nonfatal occupational injuries and illnesses including industry counts and frequency rates as well as information on the characteristics of cases and affected workers for nonfatal injuries and illnesses requiring days away from work. Fatality data come from the Census of Fatal Occupational Injuries (CFOI) data were also produced for metropolitan areas. Fiscal Year 2010: The Occupational Safety and Health Statistics (OSHS) program produces data on occupational injuries, illnesses, and fatalities. The Survey of Occupational Injuries and Illnesses (SOII) produced statistics on nonfatal occupational injuries and illnesses including industry counts and frequency rates as well as information on the characteristics of cases and affected workers for nonfatal injuries and illnesses requiring days away from work. The survey produced national estimates covering State and local government employees for the first time in Fiscal Year 2010. The program also entered into cooperative agreements with several States to conduct research on data quality issues. The Census of Fatal Occupational Injuries (CFOI) produced fatal work injury counts at the national, State, and major metropolitan area levels. The program also produced fatality rates at the national level. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

NCS national news releases on Employee Benefits in the U.S., the Employment Costs Index, and Employer Costs for Employee Compensation; NCS regional

news releases on compensation data for localities and metropolitan area Employment Costs Index data; NCS publications on wages at the national level, census division level, and localities; NCS publications on benefits at the national level; monthly releases on Major Work Stoppages and an annual summary; OSHS news releases on occupational injuries, illnesses and fatalities; OSHS publications on injuries, illnesses, and fatalities at the national and State level; other information about these programs can be found in "Major Programs of the Bureau of Labor Statistics," "Bureau of Labor Statistics Customer Service Guide," and "BLS Handbook of Methods."

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate regional office of the Bureau of Labor Statistics listed in Appendix IV of the Catalog.

Headquarters Office:

William Wiatrowski 2 Massachusetts Avenue, N.E., Washington, District of Columbia 20212 Phone: 202-691-6300

Website Address:

<http://www.bls.gov>.

RELATED PROGRAMS:

17.002 Labor Force Statistics; 17.003 Prices and Cost of Living Data

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Acceptable application and specific program deliverables and cost.

17.150 EMPLOYEE BENEFITS SECURITY ADMINISTRATION (EBSA)

FEDERAL AGENCY:

Employee Benefits Security Administration, Department of Labor

AUTHORIZATION:

Employee Retirement Income Security Act of 1974, Public Law 93-406.

OBJECTIVES:

The primary mission of EBSA is to protect the pension health and other benefits of American workers and their families. EBSA is committed to educating and assisting the 150 million Americans covered by more than 708,000 private retirement plans, 2.8 million health plans, and similar numbers of other welfare benefit plans, as well as, plan sponsors and members of the employee benefits community. EBSA promotes voluntary compliance and facilitates self-regulation, working diligently to provide quality assistance to plan participants and beneficiaries. It is the policy of EBSA to provide the highest quality of service to stakeholders and the regulated community. EBSA carries out its mission by: (1) deterring and correcting violations of ERISA; (2) helping plan officials understand and comply with the legal requirements of ERISA; (3) educating workers on their benefit rights; and, (4) developing policies that encourage the growth of employment-based benefits.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Plan professionals, participants and beneficiaries of employee pension, health care and welfare benefit plans obtain information about the legal requirements for plan administration, plan provisions, financial operations or how to obtain a benefit.

Applicant Eligibility:

Plan administrators, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration may obtain assistance in complying with the Act. Interested persons may obtain certain plan documents which are required to be filed by plan administrators.

Beneficiary Eligibility:

Plan officials, trustees, participants, beneficiaries of employee benefit plans, or others involved in plan administration.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the nearest field office of the Employee Benefits Security Administration listed in Appendix IV of the Catalog, EBSA's web site at www.dol.gov/ebsa or EBSA's hotline at (1 866) 444-EBSA (3272). (Participants and beneficiaries should first contact their plan administrator for plan information.)

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-1700-0-1-601.

Obligations:

(Salaries) FY 09 \$143,353,085; FY 10 est \$154,850,000; FY 11 est \$161,900,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of the final regulations, proposed regulations, and other directives are available from the national office or on EBSA's website at www.dol.gov/ebsa. Certain audio-visual material also are available for hearing-impaired audiences. EBSA publishes a variety of free publications directed to employers and participants which can be obtained from its website and its publication hotline at (1 866) 444-EBSA (3272).

Regional or Local Office:

See Regional Agency Offices. Persons desiring assistance should contact the nearest Department of Labor, Employee Benefits Security Administration field office listed in Appendix IV of the Catalog.

Headquarters Office:

Gloria Della Employee Benefits Security Administration, Department of Labor, 200 Constitution Avenue, NW., Room N5623, Washington, District of Columbia 20210 Phone: 202-693-8666

Website Address:

<http://www.dol.gov/ebsa>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.151 EMPLOYEE BENEFITS SECURITY ADMINISTRATION (EBSA)

COBRA Premium Assistance

FEDERAL AGENCY:

Employee Benefits Security Administration, Department of Labor

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 Stat. 123, Section 3001(5). Section 3001(a)(5) of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 Stat. 123, Section 3001, Public Law 111-5.

OBJECTIVES:

The American Recovery and Reinvestment Act of 2009 (ARRA), as amended, provides for premium reductions for health benefits under the Consolidated Omnibus Budget Reconciliation Act of 1985, commonly called COBRA. Eligible individuals pay only 35 percent of their COBRA premiums and the remaining 65 percent is reimbursed to the coverage provider through a tax credit. To qualify, individuals must experience a COBRA qualifying event that is the involuntary termination of a covered employee's employment.

Section 3001(a)(5) of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 Stat. 123 allows individuals who have been denied COBRA premium assistance by their ERISA-covered group health plans to seek expedited review of the denials from the Secretary of Labor. The Act further directs the Secretary to (1) develop and issues model notices to be used by group health plans to notify terminating employees of their rights under COBRA and potential right to the premium assistance; (2) Conduct an aggressive outreach and education effort to educate plan participants and plan administrators about these new provisions and (3) to establish a process for the individuals who have been denied the COBRA Premium Assistance to seek the Secretary's expedited review. The Secretary is required to make a determination of an individual's eligibility within 15 business days after receiving an application for review. The Employee Benefits Security Administration has been delegated the responsibility and authority for making these determinations and has established an adjudication process to review these applications.

TYPES OF ASSISTANCE:

Insurance

USES AND USE RESTRICTIONS:

Individuals denied the COBRA Premium Assistance made available under the American Recovery and Reinvestment Act may seek an expedited review of that denial by the Secretary of Labor. Individuals denied COBRA Premium Assistance made available under the American Recovery and Reinvestment Act may seek an expedited review of that denial by the Secretary of Labor.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicants must complete the Application to the Department of Labor for Expedited Review of Denial of COBRA Premium Reduction and submit relevant documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications may be mailed to Department of Labor, EBSA, Attn: COBRA Appeals, PO Box 78038, Washington, DC. 20013-8038 or filed electronically at <http://www.dol.gov/ebsa/COBRA>.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

16-1700-0-1-601 - TAFS: 169/0/1700.

Obligations:

(Insurance) FY 09 \$2,004,535; FY 10 est \$4,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of the final regulations, proposed regulations, and other directives are available from the national office or on EBSA's website at www.dol.gov/ebsa.COBRA. Certain audio-visual materials also are available for hearing-impaired audiences. EBSA publishes a variety of free publications directed to employers and participants which can be obtained from its website and its publication hotline at 1-866 444-EBSA (3272)

Regional or Local Office:

None.

Headquarters Office:

Teresa Thomas/DOL/EBSA 200 Constitution Ave., NW
Room N5623, Washington, District of Columbia 20210 Phone: 1-866-444-3272

Website Address:

<http://www.dol.gov/ebsa/cobra>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**17.201 REGISTERED APPRENTICESHIP AND OTHER TRAINING
FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

National Apprenticeship Act of 1937 (Fitzgerald Act), Title 29, Part 29, Public Law 075-75-308, 50 Stat. 664, 29 U.S.C 50-50a-50b.

OBJECTIVES:

To stimulate and assist industry in the development, expansion, and improvement of Registered Apprenticeship and other training programs designed to provide the skilled workers required by U.S. employers, ensure equal employment opportunities in Registered Apprenticeship and other training programs, and ensure the quality of all new and existing Registered Apprenticeship programs.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Under the Office of Apprenticeship (OA), apprenticeship training programs and apprentices are registered in 25 States. OA also provides technical assistance and works closely with State Apprenticeship Agencies (SAAs) in the remaining 25 States, the District of Columbia, and three territories that register programs and apprentices in accordance with Federal standards. OA provides information on existing and recommended standards of training in Registered Apprenticeship as well as on other types of industrial skill improvement programs. The wage rates of apprentices in registered programs (Federal and State) are exempt from the prevailing wage requirements of the Davis-Bacon Act and the Service Contract Act. Registered Apprenticeship is the combination of learning on-the-job and related technical and theoretical instruction in a skilled occupation. Registered programs are driven directly by the demands of the labor market. The training is industry-driven, voluntarily sponsored by individual employers, employer associations, and/or by joint employer-employee groups. OA brings these entities together, as appropriate, to formulate Registered Apprenticeship training programs which meet the federal standards. Various educational institutions and sponsors provide theoretical and related instruction. Special efforts are being made to introduce the apprenticeship concept of training into high-growth/emerging industries and occupations and also to build a pipeline of skilled workers, particularly in the health care, green jobs, and advanced manufacturing sectors. The Advisory Committee on Apprenticeship (ACA) is an advisory board to the Secretary of Labor whose members represents employers, labor organizations, members of the public, as well as the education community, and others entities with an interest in skill training. The ACA provides advice and recommendations to the Secretary of Labor on apprenticeship and training issues. Special grants are provided to eligible organizations to assist employers and labor unions in placement and retention of women in apprenticeship and nontraditional occupations.

Applicant Eligibility:

Employers, a group of employers, or an association of employers, or individual employers with or without in each case the participation of a labor union. For grants: community based organizations, which may be faith based - see announcement of solicitation for grant applications.

Beneficiary Eligibility:

Individuals applying for acceptance into an apprenticeship training program must be at least 16 years old and must satisfy the apprenticeship program sponsor that they have sufficient ability, aptitude, and education to master the rudiments of the trade/occupation and to satisfactorily complete the related theoretical instruction required in the program.

Credentials/Documentation:

Along with the completed application form, each prospective apprentice may be required by the sponsor to submit a transcript of school subjects and grades, proof of age, an honorable military discharge (if applicable), and a high-school

diploma or equivalence certificate (if applicable). References from all previous employers may be required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Prospective program sponsors must meet with an OA or SAA field representative, as appropriate, to develop a set of apprenticeship training program standards. These include the age and educational background of apprentices, and a schedule of the work processes and related theoretical instruction subjects to be covered during the training program. The program will be registered if it meets Federal requirements covering (a) the apprenticeability of the occupation(s) and (b) the suitability of the training standards for providing apprentices with sufficient knowledge to become skilled workers. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet website at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Not applicable for advisory services and counseling type of assistance. Procedures for grant projects are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

For grants, from 45 to 90 days.

Appeals:

From 15 to 30 days. OA refusal to register programs and OA de-registration of existing programs may be appealed to the OA Administrator as provided in Title 29 CFR Part 29. For grants, procedures for each project are specified in the applicable request for proposals.

Renewals:

Reinstatement of program registration is set out in Title 29 CFR Part 29.9.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For grants, procedures for each project are specified in the applicable request for proposals. See the following for information on how assistance is awarded/released: For grants, procedures for each project are specified in the applicable request for proposals.

Reports:

No program reports are required. No cash reports are required. The program sponsor reports new apprentice registrations, suspensions, cancellations, completions, and program status to the OA or SAA field representative. Expenditure reports are not applicable. Quarterly performance reports are normally required for grants. See SGA for further information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For grants, this program is subject to audits by the Department of Labor or other authorized Government agencies. Not applicable for advisory services and counseling type of assistance.

Records:

Apprenticeship selection records showing compliance with the nondiscrimination requirements set out in Title 29 CFR Part 30 are required to be kept for 5 years. Also, recording and maintenance of all records concerning apprenticeship as may be required by OA or recognized SAA and other applicable law as provided in Title 29 CFR Part 29.

Account Identification:

16-0172-0-1-504 - Federal Salaries and Expenses; 16-0174-0-1-504 - Project Grants.

Obligations:

(Salaries) FY 09 \$21,000,000; FY 10 est \$28,000,000; FY 11 est \$29,000,000. (Project Grants) FY 09 \$1,000,000; FY 10 est \$1,000,000; FY 11 est \$1,000,000 - Women in Apprenticeship and Non-traditional Occupations (WANTO).

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly Workforce System Results Website: <http://www.doleta.gov/performance/results/Reports.cfm?etaqr>. Fiscal Year 2010: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the program may be found in Title 29 CFR 29: "Labor Standards for the Registration of Apprenticeship Programs"; Title 29 CFR Part 30: "Equal Employment Opportunity in Apprenticeship and Training".

Regional or Local Office:

See Regional Agency Offices. Regional or Local Office Persons are encouraged to communicate with the Regional Directors of the Office of Apprenticeship (OA), as appropriate. The Regional Directors are the following: Region I (Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Puerto Rico, Rhode Island, Vermont, Virgin Islands), Ms. Jill Houser, Boston Regional Director, USDOL/ETA/OA, JFK Federal Building, Room E 370, Boston, MA 02203, Telephone (617) 788-0177, FAX (617) 788-0304, E-mail: houser.jill@dol.gov; Region II (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia), Mr. Joseph T. Hersh, Philadelphia Regional Director, USDOL/ETA/OA, 170 S. Independence Mall West, Suite 820 East, Philadelphia, PA 19106, Telephone (215) 861-4830, FAX (215) 861-4833, E-mail: hersh.joseph@dol.gov; Region III (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee), Mr. Garfield G. Garner, Jr., Atlanta Regional Director, USDOL/ETA/OA, 61 Forsyth Street, SW, Room 6T71, Atlanta, GA 30303, Telephone (404) 302-5478, FAX (404) 302-5479, E-mail: garner.garfield@dol.gov; Region IV (Arkansas, Colorado, Louisiana, Montana, New Mexico, North Dakota, Oklahoma, South Dakota, Texas, Utah, Wyoming), Mr. Steven D. Opitz, Dallas Regional Director, USDOL/ETA/OA, Federal Building, Room 303, 525 S. Griffin Street, Dallas, TX 75202, Telephone (972) 850-4681, FAX (972) 850-4688, E-Mail: opitz.steve@dol.gov; Region V (Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, Ohio, Wisconsin), Mr. Dean Guido, Chicago Regional Director, USDOL/ETA/OA, 230 South Dearborn Street, Room 656, Chicago, IL 60604, Telephone (312) 596-5500, FAX (312) 596-5501, E-Mail: guido.dean@dol.gov; Region VI (Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Washington), Mr. Michael W. Longueay, San Francisco Regional Director, USDOL/ETA/OA, 90

Seventh St., Suite 17-100, San Francisco, CA 94103, Telephone (415) 625-2230, FAX (415) 625-2235, E-Mail longueay.michael@dol.gov.

Headquarters Office:

Donald E. Palmer, Jr., USDOL/ETA/OA, Room N-5311, Frances Perkins Building, 200 Constitution Ave., NW, Washington, District of Columbia 20210
Email: palmer.don@dol.gov Phone: (202) 693-3812 Fax: (202) 693-3799.

Website Address:

<http://www.doleta.gov/oa>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.260 WIA Dislocated Workers; 17.303 Wage and Hour Standards

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: NA. Fiscal Year 2011: NA.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.207 EMPLOYMENT SERVICE/WAGNER-PEYSER FUNDED ACTIVITIES

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Wagner-Peyser Act of 1933, 48 Stat. 113; The American Recovery and Reinvestment Act of 2009, Title VIII, Public Law 111-5, as amended by The Workforce Investment Act of 1998, Title 1, Public Law 105-220.

OBJECTIVES:

To assist persons to secure employment and workforce information by providing a variety of job search assistance and information services without charge to job seekers and to employers seeking qualified individuals to fill job openings.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:

The Wagner-Peyser Act of 1933 established a nationwide system of public employment offices which is known as the Employment Service. Wagner-Peyser was amended by the Workforce Investment Act of 1998, making the Employment Service part of the One-Stop Career Center delivery system. Wagner-Peyser funded activities are an integral part of the One-Stop Career Center delivery system that provides an integrated array of high-quality services so that workers, job seekers, and businesses can access the services they need under one roof in easy-to-reach locations, with many services also offered through self-service electronic access.

These services include assessment of skill levels, abilities, and aptitudes, career guidance when appropriate, job search workshops, and referral to jobs or training as appropriate. The services offered to employers, in addition to referral of job seekers to job openings, include matching job requirements with job seeker experience, skills and other attributes, helping with special recruitment needs, assisting employers analyze hard-to-fill job orders, assisting with job restructuring and helping employers deal with layoffs.

Additional services may include: 1) a computerized career information system including access to state job bank resources and institutions and organizations that provide training; 2) the development and distribution of state and local workforce information which allows job seekers, employers, and providers and planners of job training and economic development to obtain information pertaining to job opportunities, labor supply, labor market or workforce trends, and the market situation in particular industries.

Under Wagner-Peyser Act Section 7(b), ten percent of the total sums allotted to each state workforce agency shall be reserved for use by the governor to provide performance incentives; services for groups with special needs; and for the extra costs of exemplary models for delivering job services. The funds must be used for labor exchange activities, labor market, and workforce information

purposes. Training is not an allowable activity.

Applicant Eligibility:

States, the District of Columbia, Virgin Islands, Puerto Rico, and Guam.

Beneficiary Eligibility:

All employers seeking workers, persons seeking employment, and associated groups. Priority of service is given to veterans and other covered persons. Veterans receive priority referral to jobs, as well as specialized employment services and assistance. In addition, the system provides specialized services to individuals with disabilities, as well as groups such as Indians and Native Americans, migrant and seasonal farmworkers, ex-offenders, youth, and older workers.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 as codified in 41 CFR 1-15.7 for state and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

State workforce agencies are provided preliminary planning estimates in January of each year preceding the program year for which funds are allotted. Final planning estimates are issued annually by late March. The standard application forms furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidance provides detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval, Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. As provided in regulations.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title The Wagner-Peyser Act, Chapter Section 6 (b)(4), Public Law 29 U.S.C. 493.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula funds are provided at the beginning of a program year and are available for expenditure during that program year and the succeeding two program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual performance reporting is required in addition to quarterly activities and outcomes reports. No cash reports are required. No progress reports are required. Quarterly financial reports are required. Performance monitoring is conducted by Regional Federal Project Officers based on a risk assessment.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Standard records for audits are required.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 09 \$705,000,000; FY 10 est \$704,000,000; FY 11 est \$704,000,000. (Formula Grants) FY 09 \$396,000,000; FY 10 est \$0; FY 11 est \$0. (Project Grants) FY 09 \$107,000,000; FY 10 est \$66,000,000; FY 11 est \$54,000,000

Range and Average of Financial Assistance:

Recovery Act Formula Grants ranged from \$1,106,097 to \$46,970,564. Wagner-Peyser Formula Grants vary by year. Funding is published annually in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Performance results are posted at http://www.dol.gov/_sec/media/reports/annual2009/ and http://www.doleta.gov/performance/results/wagner-peyser_act.cfm. Quarterly performance results are posted at: <http://www.doleta.gov/performance/results/Reports.cfm?#etaqr>. Fiscal Year 2010: Once finalized, performance budgets are posted annually on the Internet and are available at: www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Once finalized, performance budgets are posted annually on the Internet and are available at: www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 - Establishment and Functioning of State Employment Services; Part 662 - Description of the One Stop System under Title I of the Workforce Investment Act; Part 663 - Adult and Dislocated Worker Activities under Title I of the Workforce Investment Act; Subpart A - Delivery of Adult and Dislocated Worker Services through the One Stop Service Delivery System; Part 1001-Services for Veterans.

Regional or Local Office:

None.

Headquarters Office:

Grace Kilbane 200 Constitution Avenue, NW
, Washington, District of Columbia 20210 Email: NO-OWI.Official@dol.gov
Phone: 202-693-3980 Fax: 202-693-3581

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

10.766 Community Facilities Loans and Grants; 10.768 Business and Industry Loans; 17.225 Unemployment Insurance; 17.235 Senior Community Service Employment Program; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.259 WIA Youth Activities; 17.260 WIA Dislocated Workers; 17.272 Permanent Labor Certification for Foreign Workers; 17.273 Temporary Labor Certification for Foreign Workers; 17.801 Disabled Veterans' Outreach Program (DVOP); 17.804 Local Veterans' Employment Representative Program; 27.001 Federal Civil Service Employment; 27.002 Federal Employment Assistance for Veterans; 27.003 Federal Student Temporary Employment Program; 27.005 Federal Employment for Individuals

With Disabilities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.225 UNEMPLOYMENT INSURANCE**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

Social Security Act (Titles III, IX, XI, XII) 42 USC 501-504; 1101-1110; 1320b-7; 1321-1324; Federal Unemployment Tax Act, 26 U.S.C 3301 et seq.; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5177; Unemployment Compensation for Federal Civilian Employees, 5 U.S.C 8501 et seq.; Unemployment Compensation for Ex-Servicemembers, 5 U.S.C 8521 et seq.; Federal-State Extended Unemployment Compensation Act, Public Law 91-373, 26 U.S.C 3304(a)(11); Trade Act of 2002, 19 U.S.C 2291 et seq.; Supplemental Appropriations Act of 2008, P.L. 110-252 as amended by P.L. 110-449, 111-92, 111-18, 111-144, 111-157, 111-205; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To administer a program of unemployment insurance for eligible workers through Federal and State cooperation; to administer payment of trade adjustment assistance, alternative trade adjustment assistance, disaster unemployment assistance, unemployment compensation for Federal employees and ex-service members.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE; FORMULA GRANTS

USES AND USE RESTRICTIONS:

The states have the direct responsibility for establishing and operating their own unemployment insurance programs, while the Federal Government finances the cost of administration. State unemployment insurance tax collections are used solely for the payment of benefits. Federal unemployment insurance tax collections are used to finance expenses deemed necessary for proper and efficient administration of the state unemployment insurance laws; to reimburse state funds for one-half the costs of extended benefits paid under the provisions of state laws which conform to the provisions of the Social Security Act and the Federal Unemployment Tax Act; and to make repayable advances to states when needed to pay benefit costs. Funds used for benefit payments may not be used for any program administration costs nor for training, job search, and job relocation payments. Disaster Unemployment Assistance (DUA) is paid out of funds provided by the Federal Emergency Management Agency (FEMA). Benefits for former Federal civilian employees, including postal workers, and former members of the Armed Forces are paid out of the Federal Employees Compensation Account (FECA) in the Unemployment Trust Fund, subject to reimbursement by the former employing agency. Trade Adjustment Assistance payments are made by states for workers adversely affected by trade imports or shifts in production. The Emergency Unemployment Compensation program is temporarily authorized.

Applicant Eligibility:

State workforce agencies, including those in the District of Columbia, Puerto Rico and the Virgin Islands.

Beneficiary Eligibility:

All workers whose wages are subject to State unemployment insurance laws, Federal civilian employees, ex-service members, trade readjustment allowance for workers who become unemployed or underemployed because of the adverse effect of increased imports or because of shifts in production outside the U.S., and workers whose unemployment is caused by a Presidentially declared disaster under the Robert T. Stafford Disaster Relief and Emergency Assistance Act, are eligible if they are involuntarily unemployed, able to work, available for work, meet the eligibility and qualifying requirements of the state law, and are free from disqualifications. Individual State information on eligibility requirements is available from local One-Stop Career Centers or at <http://www.servicelocator.org/OWSLinks.asp>. The American Recovery and

Reinvestment Act (ARRA) provides for a temporary \$25 increase in weekly benefit amounts. The temporary Emergency Unemployment Compensation program was extended in ARRA and subsequent legislation.

Credentials/Documentation:

Awards of grants to states are conditioned on the Secretary of Labor's determination that the states' unemployment compensation laws are in conformity with the Social Security Act and the Federal Unemployment Tax Act and that state practices substantially comply with these laws. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

National and Regional Offices issue guidance to states annually regarding the procedures and documents for the application process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible applicants are furnished applications (State Quality Service Plan) by the Employment and Training Administration for this program. States submit the plans to apply for grants to the appropriate regional office of the Employment and Training Administration based on target performance levels pursuant to nationally-developed workload estimates. All plans are to be constructed in terms of selected workloads to be undertaken and the resources and numbers of employees needed to accomplish them.

Award Procedure:

The Regional Administrator for Employment and Training issues a preliminary approval for a state within the dollar target provided by the ETA National Office, based on the state plan submitted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days at the Regional Office level.

Appeals:

Contact Federal agency. A hearing is required if state law is not certified to be in conformity. Funding is based on workload formula.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants for base operation of programs based on workload projections pursuant to economic assumptions and nationally developed workload estimates. Additional quarterly funding based on actual workloads. Financing of administrative costs is accomplished through Letter of Credit drawdowns needed to meet immediate cash requirements. Method of awarding/releasing assistance: by letter of credit.

Reports:

Weekly, monthly, quarterly and yearly statistical reports of unemployment insurance workloads. Cash reports include the following transactions: federal cash receipts/disbursements; Federal expenditures and unobligated balance; recipient share of obligations and expenditures; program income. Additional expenditure data required includes other federal funds expended and real property proceeds expended. The comprehensive performance management system requires a State Quality Service Plan (SQSP) be submitted as part of the application. Where performance is below the established minimum criteria, a corrective action plan (CAP) is required as part of the SQSP. The CAP identifies state activities and planning targets for performance improvement activities. Separate quarterly financial status reports are required for grants used for administration of each type of program. Data and progress towards

performance targets are reviewed by the Regional and National Office staff. The State Quality Service Plan and corrective action plans are reviewed quarterly by Regional Office staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

State laws and requirements are to be in conformity with Federal statutes. States are expected to report any legislative and regulatory changes to the federal agency. National office staff provide guidance on the time frame for data and corrections to data reports. The state determines the time period to maintain tax records; however, the IRS recommends 4 years after the date tax is due or is paid, whichever is later. The recommendation for performance records is 3 years.

Account Identification:

16-0326-0-1-999 - Benefits; 16-0179-0-1-999 - Grants; 16-8042-0-7-999 - Benefits.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$114,956,000,000; FY 10 est \$147,407,000,000; FY 11 est \$82,806,000,000. (Direct Payments with Unrestricted Use) FY 09 \$22,258,000,000; FY 10 est \$32,087,000,000; FY 11 est \$0. (Formula Grants) FY 09 \$3,572,000,000; FY 10 est \$4,589,000,000; FY 11 est \$3,694,000,000. (Formula Grants) FY 09 \$209,000,000; FY 10 est \$398,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Current financial and service data for the 53 states operating unemployment insurance programs is available at: <http://workforcesecurity.doleta.gov/unemploy/finance.asp>. Current performance data is available at: <http://workforcesecurity.doleta.gov/unemploy/performance.asp>. Information on ARRA program modernization activity can be found at: <http://www.doleta.gov/recovery/>. Fiscal Year 2010: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 20CFR Part 601, 602, 603, 604, 606, 609, 614, 615, 616, 617, 625, 640, 650.

Literature: "Unemployment Insurance For Ex-Service Members;" "Unemployment Insurance For Federal Workers;" "Significant Provisions of State Unemployment Insurance Laws;" "Comparison of State Unemployment Insurance Laws;" factsheets, and other program information are available on the Internet at <http://workforcesecurity.doleta.gov/unemploy>.

Regional or Local Office:

None. Employment and Training Administration regional offices listed in Appendix IV of the Catalog.

Headquarters Office:

Gay Gilbert Francis Perkins Building - 200 Constitution Avenue, Room S4231, Washington, District of Columbia 20210 Email: gilbert.gay@dol.gov Phone: 202-693-3029 Fax: 202-693-3229

Website Address:

<http://workforcesecurity.doleta.gov/>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 57.001 Social Insurance for Railroad Workers; 97.034 Disaster Unemployment Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Temporary funding is available to states to modernize their laws. The American Recovery and Reinvestment Act of 2009 (Recovery Act) provides for a special distribution of \$7 billion in unemployment insurance (UI) modernization incentive payments to states. Eligibility for a states share of the \$7 billion is divided into two components. The one-third share requires states to use more recent wages to establish eligibility. The two-thirds share requires the use of recent wages to establish eligibility and state laws to provide at least two of the following four provisions: allowing certain workers to seek only part-time employment; easing qualifying requirements for workers who separate from employment for compelling family reasons (care of sick family members, domestic violence or sexual assault or to follow a spouse who moves to another job); extending benefits to certain workers in approved training; or, adding dependents allowances to weekly benefits. The last date on which an incentive distribution may be made is September 30, 2011, so applications must be received no later than August 22, 2011. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.235 SENIOR COMMUNITY SERVICE EMPLOYMENT PROGRAM (SCSEP)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Older Americans Act of 2006, Title V, Public Law 109-365, 120 Stat. 2522, 42 U.S.C 3001; Older Americans Act of 1965; The American Recovery and Reinvestment Act of 2009, Title VIII, Public Law 111-5.

OBJECTIVES:

To foster individual economic self sufficiency; provide training in meaningful part-time opportunities in community service activities for unemployed low-income persons who are age 55 years of age or older, particularly persons who have poor employment prospects; and to increase the number of older persons who may enjoy the benefits of unsubsidized employment in both the public and private sectors.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Organizations that receive grants use the funds to create and pay for part-time community service training positions for persons age 55 and above whose income is at or below 125 percent of the poverty level. The individuals who are enrolled may be trained in work assignments at local 501(c)(3) non-profit or government agencies. A portion of the funds may be used to provide participants with classroom training and supportive services. Participants may not train in projects involving political activities, sectarian activities, nor may participants displace any employed worker or perform work which impairs existing contracts for service. Each local project is required to coordinate its activities with local Workforce Investment Act projects and One-Stop Career Centers and the State Office of the Aging. Seventy-five percent of funding must be used to pay the wages and fringe benefits of participants for their part-time assignments. The remaining funds may be used to provide participants with classroom training and supportive services. No more than 13.5 percent of the Federal share of the costs may be spent for administration. (If justified, a waiver to 15 percent may be provided by the Department of Labor.). The Secretary of Labor may reserve up to 1.5 percent of annual appropriation for pilots, demonstration, and evaluation activities.

Applicant Eligibility:

The following types of organizations are eligible to receive grants: (1) states and U.S. territories; (2) national public and private non-profit institutions/organizations, other than political parties but including faith-based and community organizations; and (3) tribal organizations.

Beneficiary Eligibility:

Unemployed adults 55 years or older with a family income at or below 125 percent of the Department of Health and Human Services (DHHS) poverty level. Prospective participants must provide documentation relative to age and

personal financial status which is required to determine whether the individual is program eligible. With certain exceptions, the Census Bureau's Current Population Survey definition of income governs the determination of SCSEP applicant income eligibility. Section 518 (a)(3)(A)OAA-2006 specifies that any income that is unemployment compensation, a benefit received under title XVI of the Social Security Act; a payment made to or on behalf of veterans or former members of the Armed forces under the laws administered by the Secretary of Veterans Affairs, or 25 percent of a benefit received under title II of the Social Security Act is excluded from SCSEP income eligibility determinations.

Credentials/Documentation:

Applicants must meet the eligibility test under Section 514(c) and the responsibility test under Section 514(d) of the Older Americans Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. National nonprofit organizations are required under Section 502(d) of the Older Americans Act to submit an application to the state agency, in addition to the Department of Labor. The Division of Adult Services in the Employment and Training Administration will provide information concerning proper application, format, and content and furnish standard application forms. Governors may comment on SCSEP grant proposals prior to award and may make recommendations to the Secretary of Labor to improve the distribution of SCSEP services.

Award Procedure:

Awards are made directly to eligible applicants by the Employment and Training Administration of the Department of Labor.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The approval range for formula grants is approximately 30 to 60 days.

Appeals:

From 1 to 15 days. Appeals may be filed according to the regulations at 20 CFR 641.900.

Renewals:

Grantees must meet the eligibility and responsibility test each year in order to be funded. In addition, grantees must meet negotiated performance measures described in Section 513(b) of OAA-2006, in administrative guidance, and in the Final Rule on SCSEP Performance Accountability published September 1, 2010.

Formula and Matching Requirements:

Statutory Formula: Title Older Americans Act 2006 Amendments, Chapter 20 CFR 641.844 of the 2010 Final Rule, Public Law P.L. 109-365.

Matching Requirements: Grantees must provide or arrange through third parties at least ten percent of the cost of the project from non-Federal sources. The grantee share of the cost may be contributed in cash or in-kind. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funding is provided annually. The program year runs from July 1 to June 30. The Secretary has the authority to extend the period of performance under specified conditions. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Annual Equitable Distribution Report and the Four Year Strategic State

Plan (for state grantees only), to be reviewed and updated no less frequently than every two years. Quarterly Financial Status Report (FSR) - SF9130. The Quarterly Progress Report (QPR). QPRs are generated by the SCSEP Performance and Results QPR (SPARQ) system located within the Department of Labor based on participant data entered by grantees into the SPARQ on-line system. Quarterly Financial Status Report (FSR) - SF9130. Permitted applicant organizational costs will be determined in accordance with OMB Circular Nos A-87 for state and local governments and A-122 for non profit organizations. Federal Project Officers conduct quarterly desk reviews and on-site reviews as needed. Performance is evaluated annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Normally to be retained by the grant or contract recipient for a three-year period following the completion of the grant or contract. Grantees are required to submit participant data via high-speed Internet access to a DOL-sponsored data collection system (SPARQ).

Account Identification:

16-0176-0-1-504 - ARRA; 16-0175-0-1-504.

Obligations:

(Formula Grants) FY 09 \$557,000,000; FY 10 est \$644,000,000; FY 11 est \$464,000,000 - National Programs. (Formula Grants) FY 09 \$119,000,000; FY 10 est \$0; FY 11 est \$0. (Formula Grants) FY 09 \$151,000,000; FY 10 est \$181,000,000; FY 11 est \$136,000,000 - State Programs.

Range and Average of Financial Assistance:

For Recovery Act Funding grants ranged from \$90,000 to \$23.7M.
For Program Year 2010, grants ranged from \$450,000 to \$118.7M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly Workforce System Results Website: <http://www.doleta.gov/performance/results/Reports.cfm?#etaqr>. Fiscal Year 2010: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 641; Training and Employment Guidance Letters, Training and Employment Notices, previously issued Older Worker Bulletins that are still in effect and other SCSEP directives.

Regional or Local Office:

See Regional Agency Offices. Region 1, Keeva Davis, Telephone: (617) 788-0141; Region 2, Chantal Watler, Telephone: (215) 861-5224; Region 3, Charlotte Norton, Telephone: (404) 302-5340; Region 4, Brie Burleson, Telephone: (972) 850-4652; Region 5, Corey Bulluck, Telephone: (312) 596-5532; Region 6, John Jacobs, Telephone: (415) 625-7940.

Headquarters Office:

Judith Gilbert 200 Constitution Avenue NW
Room S-4209, Washington, District of Columbia 20210 Email: gilbert.judith@dol.gov Phone: 202-693-3938 Fax: 202-693-3817

Website Address:

<http://www.doleta.gov/seniors/>.

RELATED PROGRAMS:

17.258 WIA Adult Program; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See section 070 Uses and Use Restrictions. Fiscal Year 2010: See above. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department annually renews grant agreements. The Department may have

an open competition from time to time to select national grantees. National grants were competed in 2003 and 2006. The next competition opportunity, as specified in the authorizing legislation, will be no sooner than 2011. When such a competition occurs, a notice will be published in the Federal Register.

17.245 TRADE ADJUSTMENT ASSISTANCE

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Trade Act of 1974, as amended, Title II, Public Law 93-618, 88 Stat. 1979, 19 U.S.C 2271-2322.

OBJECTIVES:

To provide adjustment assistance to qualified workers adversely affected by foreign trade which will assist them to obtain suitable employment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State Workforce Agencies (SWAs) serve as agents of the United States for administering the worker adjustment assistance benefit provisions of the Trade Act. SWAs, through the local offices, provide testing, counseling, and job placement services; job search and relocation assistance; training; and payment of weekly trade readjustment allowances (TRA). State unemployment compensation and extended benefits must generally be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Applicant Eligibility:

In FY 2009, ETA administered two separate TAA programs the program implemented by the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA), that went into effect for petitions filed on or after May 18, 2009 (new program), and the TAA program that was administered under the Trade Act as amended by the TAA Reform Act of 2002, which existed before May 18, 2009 (old program). The TGAAA reauthorized TAA, expanded TAA coverage to more workers and firms, including workers and firms in the service sector; made benefits available to workers whose jobs have been off-shored to any country (as opposed to covering a more limited set of shifts in production), improved workers training options, and increased the affordability of health insurance coverage. The reauthorization also expanded the scope of the program to better assist adversely affected workers in finding new employment. It authorized funding for employment and case management services, and encouraged the type of long-term training necessary for jobs in the 21st century economy through an extension of income support, an increase in the cap for training funding, and access to training for adversely affected incumbent workers.

For a worker to be eligible to apply for TAA, the worker must be part of a group of workers that are the subject of a petition filed with the Department. Three workers of a company, a company official, a union or other duly authorized representative, or a One-Stop Career Center operator or One-Stop partner may file that petition with the Department. In response to the filing, the Department institutes an investigation to determine whether foreign trade was an important cause of the workers job loss or threat of job loss. If the Department determines that the workers meet the statutory criteria for group certification of eligibility for the workers in the group to apply for TAA, the Department grants the petition and issues a certification.

Once covered by a certification, individual workers apply for benefits and services through the One-Stop delivery system. Most benefits and services have specific individual eligibility criteria that must be met, such as previous work history, unemployment insurance eligibility, and individual skill levels.

Beneficiary Eligibility:

The TAA program currently offers the following services to eligible individuals: training, weekly income support, out-of-area job search and relocation allowances, case management and employment services, assistance

with payments for health insurance coverage through the utilization of the Health Coverage Tax Credit (HCTC), and wage insurance for some older workers through RTAA or ATAA. RTAA is the wage insurance option available to reemployed older workers authorized by the TGAAA. RTAA replaces ATAA, which provided wage insurance to reemployed older workers as a pilot project under the TAA Reform Act of 2002 for adversely affected workers covered by certifications of petitions filed before May 18, 2009.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for TAA under the Trade Act of 1974, as amended, a "Petition for Trade Adjustment Assistance" must be filed directly with the Office of Trade Adjustment Assistance at the address listed below and with the State official or organization designated by the Governor of the State in which the workers' firm or subdivision is located. OTAA and State contact information, as well as petition forms in English and Spanish, are available through the State Workforce Agencies or from the Office of Trade Adjustment Assistance listed below. The Petition forms may also be downloaded from our web-site at: <http://www.doleta.gov/tradeact>. Petitions must be filed with both the OTAA and the State agency in which the worker group's plant is located. Notice of such filing shall be promptly published in the Federal Register. Within 10 days after publication, the petitioner or any other person found by the Secretary to have a substantial interest may request a hearing and be afforded the opportunity to be present, to present evidence, and to be heard.

Award Procedure:

A Certification of Eligibility to apply for worker adjustment assistance may be issued by the Secretary of Labor to groups of workers who have been found by the Department of Labor to have met the requirements concerning foreign trade-affected separations from employment. Individual workers covered by the certification can apply to the local office of their State Workforce Agency for individual determinations of eligibility to receive benefits.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. For the TAA program, eligibility investigations begun by the receipt of a valid petition are completed, and a determination issued, within 40 calendar days of the receipt of the petition.

Appeals:

From 30 to 60 days. There are two courses of appeal, one administrative and the other judicial. Petitioners aggrieved by a final determination by the Secretary may, within 30 days after notice of such determination is published in the Federal Register, file an application for reconsideration with the Director of the Office of Trade Adjustment Assistance. If the determination following reconsideration is negative, they may, within 60 days after notice of the negative determination upon reconsideration, file a petition for review of such determination with the United States Court of International Trade in New York City. Aggrieved petitioners may request judicial appeal without first seeking administrative reconsideration, within 60 days after notice of such determination is published in the Federal Register.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cash trade readjustment allowances (TRA) generally becomes payable only

after workers have exhausted their entitlement to State unemployment compensation including extended benefits. For TAA certifications on petitions filed on or after May 18, 2009, the maximum number of weeks of State unemployment compensation, extended benefits and trade readjustment allowances cannot exceed 52 weeks except that up to 78 additional weeks may be paid to workers while participating in approved training and an additional 26 weeks for workers whose approved training program includes pre-requisite and/or remedial education. Participation in RTAA allows older workers, for whom retraining may not be appropriate, to accept reemployment at a lower wage and receive a wage subsidy instead of benefits under the regular TAA program. The RTAA allows for payments of 50 percent of the wage difference between old employment and new employment, not to exceed \$12,000 for up to two years. The individual must earn not more than \$55,000 a year in wages in reemployment, and must not return to employment from which the worker was separated. Base formula funding grants for training services are provided to States at the beginning of the year; additional funds may be requested by States based on additional needs above the base funding provided. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Performance Reports are required. No cash reports are required. No progress reports are required. Quarterly financial reports on grants for administration of TAA/TRA program are required. Program performance is monitored both by state staff and by Federal Regional Office staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the U.S. Department of Labor or other authorized Government agencies.

Records:

Not applicable.

Account Identification:

16-0326-0-1-999; 16-0326-4-1-999 - Proposed legislation subject to PAYGO.

Obligations:

(Project Grants) FY 09 \$685,000,000; FY 10 est \$686,000,000; FY 11 est \$278,000,000. (Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$408,000,000 - Proposed legislation subject to PAYGO.

Range and Average of Financial Assistance:

Weekly allowance payments are the same as the amount of weekly State unemployment compensation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly Workforce System Results Website: <http://www.doleta.gov/performance/results/Reports.cfm?#etaqr>. Fiscal Year 2010: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations at 29 CFR 90, Certification of Eligibility to Apply for Worker Adjustment Assistance, and 20 CFR 617, Trade Adjustment Assistance for Workers.

Regional or Local Office:

See Regional Agency Offices. Regional offices of the Employment and Training Administration, Department of Labor listed in Appendix IV, and local offices of affiliated State Employment Security Agencies.

Headquarters Office:

Terry Clark 200 Constitution Avenue NW
, Washington, District of Columbia 20210 Email: Clark.Terry@dol.gov Phone: 202-693-3707

Website Address:

<http://www.doleta.gov/tradeact/>

RELATED PROGRAMS:

17.225 Unemployment Insurance; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.258 WIA ADULT PROGRAM

(WIA-Adult Program)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Title VIII, Public Law 111-5.

OBJECTIVES:

To enhance the productivity and competitiveness of the nation's workforce by providing workforce investment activities that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by the participants. This program is designed to increase employment, as measured by entry into unsubsidized employment, retention in unsubsidized employment after entry into employment, and earnings. The program serves individuals and helps employers meet their workforce needs. The employment goals will be measured using the Unemployment Insurance Wage Records Information System and customer satisfaction goals will be measured by sampling.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Act specifies that most services for adults will be provided through One Stop Career Centers. The Act authorizes three levels of service which are available to all jobseekers. "Core" services include outreach, job search, placement assistance, and labor market information, and are available to all jobseekers. "Intensive" services include more comprehensive assessments, development of individual employment plans and counseling, and career planning, and are available to individuals who are in need of more intensive services to find or retain employment. Those customers who need additional services to find or retain employment may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "individual training account" to select an appropriate training program from an eligible training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program. Consistent with the allowable activities in the statute.

Applicant Eligibility:

Under WIA, the organizations eligible to receive funding from the Department are the 50 States, Puerto Rico, the District of Columbia and the outlying areas. Funds are allotted based on a statutory formula. The states in turn allocate funds to local workforce investment boards (approximately 600), by formula.

Beneficiary Eligibility:

All adults 18 years and older are eligible for core services. Priority for intensive and training services must be given to recipients of public assistance and other low-income individuals when funds are limited. States and local areas are responsible for establishing procedures for applying the priority requirements. Priority of service is given to veterans and other covered persons.

Credentials/Documentation:

Formula-funded programs are subject to Governor/Secretary Agreement and State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidances provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other ETA and non-ETA DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval, who is currently Janet Sten, Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the State Plan is inconsistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the State Plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in Section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998, Public Law 105-220.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds are allotted every year to a State in two portions during a program year and are available for expenditure by the State for that program year and the two succeeding program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly Performance Reports. No cash reports are required. No progress reports are required. Quarterly Financial Reports. Monitoring is conducted by Regional Federal Project Officers based on a risk assessment.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504; 16-0184-0-1-504 - ARRA.

Obligations:

(Formula Grants) FY 09 \$495,000,000; FY 10 est \$0; FY 11 est \$0 - ARRA funds have not been authorized for FY2010. (Formula Grants) FY 09 \$869,000,000; FY 10 est \$862,000,000; FY 11 est \$887,000,000 - -Includes WIA Adult and Section 173(e) funds.

Range and Average of Financial Assistance:

Recovery Act Grants ranged from \$1,234,406 to \$80,117,954. WIA formula grant amounts vary annually and are published in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: http://www.dol.gov/_sec/media/reports/annual2009/. Fiscal Year 2010: The budget is published annually and posted on the Internet at: www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: The budget is published annually and posted on the Internet at: www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 et al, WIA; Final Rules Federal Register, Friday, August 11, 2000.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Grace Kilbane, 200 Constitution Ave, NW, Washington, District of Columbia 20210 Email: NO-OWI.Official@dol.gov Phone: (202) 693-3980.

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.259 WIA Youth Activities; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.259 WIA YOUTH ACTIVITIES

(WIA Formula Youth)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Title VIII, Section 2, Public Law 111-5, Stat. 58; Workforce Investment Act of 1998, Title I, Part Subtitle B, Section Chapter 4, Public Law 105-220, (U.S.C 9201.

OBJECTIVES:

To help low income youth, between the ages of 14 and 21, acquire the educational and occupational skills, training, and support needed to achieve academic and employment success and successfully transition to careers and productive adulthood.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Title I of the Act authorizes the Workforce Investment System and establishes state workforce investment boards with oversight responsibility by the governors for local workforce investment boards; and establishes the process by which eligible providers of training and youth activities are identified. The Act authorizes the use of funds for youth employment and training activities that will provide eligible youth assistance in achieving careers and academic and employment success; ensures ongoing mentoring opportunities; provides

opportunities for training; provides continued supportive services; provides incentives for recognition and achievement; and provides opportunities for leadership, development, decision making, citizenship, and community service. Funds must be used in accordance with the rules and regulations.

Applicant Eligibility:

Under WIA, 50 states, Puerto Rico, the District of Columbia, and the outlying areas are identified as the recipients of youth training activities funds. For a state to be eligible to receive youth funds, the governor of the state will submit to the Secretary for consideration by the Secretary, a single state plan that outlines a 5-year strategy for the statewide workforce investment system.

Beneficiary Eligibility:

An eligible youth is an individual who: (1) is 14 to 21 years of age*; and (2) is an individual who received an income or is a member of a family that received a total family income that, in relation to family size, does not exceed the higher of (a) the poverty line; or (b) 70 percent of the lower living standard income; and (3) meets one or more of the following criteria: is an individual who is deficient in basic literacy skills; a school dropout; homeless; a runaway; a foster child; pregnant or a parent; an offender; or requires additional assistance to complete their education or secure and hold employment.

* Age eligibility for youth services funded by the American Recovery and Reinvestment Act of 2009, increased from age 21 to age 24.

Credentials/Documentation:

In order to establish a continuing relationship under the Act, the governor and the Secretary of Labor shall sign a governor/Secretary Agreement. This agreement assures that the state will comply with the Workforce Investment Act and the applicable rules and regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The governor submits a single Strategic State Plan in accordance with one of the Planning Guidances, either Stand-Alone or Unified, issued through the Federal Register. The Planning Guidance provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval (currently Janet Sten), Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. A State plan submitted to the Secretary will be considered to be approved by the Secretary at the end of the 90-day period beginning on the day the Secretary received the plan, unless the Secretary makes a written determination, during the 90-day period, that the plan is inconsistent with the provisions of Title I.

Appeals:

Contact Headquarters Office to obtain information on appeal procedures.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter 4, Subpart B, Public Law 105-220.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds allotted to a state each year are available for expenditure by the State for that program year plus two succeeding program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reporting requirements as specified by the Secretary in accordance with 20 CFR 667.300. No cash reports are required. Quarterly reports are required. Quarterly financial reports are required. Regional Federal Project Offices conduct risk analysis, desk reviews, and on-site monitoring. They also review quarterly reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504 - Annual Appropriation; 16-0184-0-1-504 - ARRA.

Obligations:

(Formula Grants) FY 09 \$1,170,000,000; FY 10 est \$0; FY 11 est \$0. (Formula Grants) FY 09 \$912,000,000; FY 10 est \$910,000,000; FY 11 est \$856,000,000

Range and Average of Financial Assistance:

Formula grant award amounts ranged from \$ 75,000 to \$ 136,875,948. The average is not computed.

Recovery grant award amounts ranged from \$ 86,779 to \$ 186,622,034. The average is not computed.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Once finalized, performance data will be posted on the Internet and available at:

<http://www.doleta.gov/Performance/results/Reports.cfm?#wfiastann>

See also http://www.dol.gov/_sec/media/reports/annual2009/. Fiscal Year 2010:

See budget at <http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2011: See budget at <http://www.dol.gov/dol/aboutdol/main.htm#budget>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR PART 652 et al, WIA; Final Rules Federal Register, Friday, August 11, 2000. Federal Register: Thursday, February 28, 2002 (Volume 67, Number 403) "Solicitation of Comments on the Reauthorization of the Workforce Investment Act (WIA) and Linkages with the Temporary Assistance for Needy Families (TANF); Notice.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Evan Rosenberg, 200 Constitution Avenue, NW, Room N-4464, Washington, District of Columbia 20210 Email: rosenberg.evan@dol.gov Phone: (202) 693-3593 Fax: (202) 693-3592.

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.260 WIA DISLOCATED WORKERS

(WIA-Dislocated Workers)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle B, Chapter 5, Title I, Public Law 105-220, 20 U.S.C 9201; The American Recovery and Reinvestment Act of 2009, Title VIII, Public Law 111-5.

OBJECTIVES:

The purpose of the WIA Dislocated Worker program is to reemploy dislocated workers, improve the quality of the workforce, and enhance the productivity and competitiveness of the nation's economy by providing workforce investment activities that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by the participants. This program is designed to increase employment, as measured by entry into unsubsidized employment, retention in unsubsidized employment after entry into employment, and extent of recovery of prior earnings. The program serves individuals and helps employers meet their workforce needs. The employment goals will be measured using Unemployment Insurance Wage Records systems and customer satisfaction goals will be measured by sampling. The purpose of National Emergency Grants (NEGs) are to temporarily expand service capacity at the state and local levels by providing time-limited funding assistance in response to significant dislocation events. Significant events are those that create a sudden need for assistance that cannot reasonably be expected to be accommodated within the on-going operations of the formula-funded Dislocated Worker program, including the discretionary resources reserved at the state level.

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants

USES AND USE RESTRICTIONS:

The Act authorizes three levels of service, which are available to all job seekers. "Core" services include outreach, job search and placement assistance, and labor market information, and are available to all jobseekers. "Intensive" services include more comprehensive assessments, development of individual employment plans and counseling, and career planning, and are available to those who could not find employment through core services. Those customers who cannot find employment through intensive services may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "individual training account" to select an appropriate training program from an eligible training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program. Funds reserved by the Secretary are also used for technical assistance and training and demonstration programs. Eligible circumstances for NEG funding include: Plant closures; mass layoffs affecting 50 or more workers; and special assistance, including health insurance coverage assistance to trade impacted workers and other individuals eligible under the Trade and Globalization Adjustment Assistance Act of 2009. Currently, CFDA number 17.260 represents both the WIA Dislocated Worker formula grants and the National Emergency Grants (NEGs). Beginning in Program Year (PY) 2010 CFDA number 17.260 will be archived and any new funds allocated through these two programs will be referenced using two new CFDA numbers: 17.277 for NEGs and 17.278 for WIA Dislocated Worker Formula Grants. All subsequent information listed here under 17.260 refers to WIA Dislocated Worker Formula Grants. For further information on NEGs please see the entry under CFDA number 17.277. Funds must be used in accordance with the statute and regulations.

Applicant Eligibility:

Under WIA, the organizations eligible to receive formula-based funding from the Department are the 50 states, Puerto Rico, the District of Columbia, and the outlying areas. Funds are allotted based on a statutory formula and states in turn allocate funds to local workforce investment boards (approximately 600), by formula prescribed by the governor. Applicants for NEGs may be states, outlying areas, local workforce boards, and non-profit and private organizations whose purpose is to provide targeted services to eligible beneficiaries.

Beneficiary Eligibility:

Individuals eligible for assistance include workers who have lost their jobs, including those dislocated as a result of plant closings or mass layoffs, and are unlikely to return to their previous industry or occupation; formerly self-employed individuals; and displaced homemakers who have been dependent on income of another family member, but are no longer supported by that income. Priority of Service is given to veterans and other covered persons. The NEGs have identical eligibility to the above and also include certain military personnel and defense employees. Services through NEGs are targeted to individuals affected by mass layoffs, natural disasters, Federal government actions, and other circumstances specified by the Secretary.

Credentials/Documentation:

Formula-funded programs are subject to Governor/Secretary Agreement and State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The governor submits a single Strategic State Plan in accordance with one of the Planning guidance, either Stand-Alone or Unified, issued through the Federal Register. The planning guidance provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval, currently Janet Sten, Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Formula Funds: Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the state plan is inconsistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the state plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998, Public Law PL 105-220.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds are allotted to states under a statutory formula based on the distribution of unemployed individuals by state in three categories of unemployment. There are no matching requirements. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are submitted annually. No cash reports are required. No progress reports are required. Quarterly financial reports are required in accordance with 20 CFR 667.300. Regional Federal Project Officers conduct monitoring using risk assessments, desk reviews, and on-site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504; 16-0184-0-1-504 - ARRA.

Obligations:

(Formula Grants) FY 09 \$1,188,000,000; FY 10 est \$0; FY 11 est \$0 - Dislocated Worker Formula Grants (see 17.278 for 2010 and 2011 obligation estimates, because after 2009 this program will be split into two CFDA numbers to enhance clarity). (Formula Grants) FY 09 \$1,241,000,000; FY 10 est \$0; FY 11 est \$0 - Dislocated Worker Formula Grants (ARRA). (Project Grants) FY 09 \$27,000,000; FY 10 est \$168,000,000; FY 11 est \$0 - National Emergency Grants (ARRA). (Project Grants) FY 09 \$118,000,000; FY 10 est \$0; FY 11 est \$0 - National Emergency Grants (see 17.278 for 2010 and 2011 obligation estimates, because after 2009 this program will be split into two CFDA numbers to enhance clarity).

Range and Average of Financial Assistance:

Dislocated Worker Formula fund grants ranged from \$ 1,950,897 to \$ 212,284,647.

Dislocated Worker Recovery Act grants ranged from \$ 2,039,325 to \$ 221,906,888.

National Emergency Grant (NEG) amounts awarded have ranged from \$149,000 to \$22,000,000.

NEG Recovery Act grant amounts awarded have ranged from \$254,000 to \$18,600,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009:

http://www.doleta.gov/performance/results/wia_national_performance.cfm and

http://www.dol.gov/_sec/media/reports/annual2009/. Fiscal Year 2010: See

17.277 and 17.278. Fiscal Year 2011: See 17.277 and 17.278.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Federal Register of August 2000.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Grace Kilbane, 200 Constitution Avenue, NW, Room S-4209, Washington,

District of Columbia 20210 Email: NO-OWI.Official@dol.gov Phone: (202) 693-3980 Fax: (202) 693-3587.

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.259 WIA Youth Activities; 17.277 Workforce Investment Act (WIA) National Emergency Grants; 17.278 WIA Dislocated Worker Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.261 WIA PILOTS, DEMONSTRATIONS, AND RESEARCH PROJECTS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part D, Section 171, Public Law 105-220.

OBJECTIVES:

Address national employment and training problems through demonstrations, pilot projects, and applied research that have interstate validity and will aid policymakers and stakeholders in addressing these problems.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects shall include the provision of direct services to individuals to enhance employment opportunities and an evaluation component and may include: (1) The establishment of advanced manufacturing technology skill centers developed through local partnerships; (2) projects that provide training to upgrade the skills of employed workers who reside and are employed in enterprise communities or empowerment zones; (3) programs conducted jointly with the Department of Defense to develop training programs using innovative learning technologies; (4) projects that promote the use of distance learning; (5) projects that assist in providing comprehensive services to increase the employment rates of out-of-school youth residing in high poverty areas within empowerment zones and enterprise communities; (6) the establishment of partnerships with national organizations expert in developing, organizing, and administering employment and training projects; (7) projects to assist public housing authorities that provide job training programs to residents; and (8) projects that assist local areas to evaluate the degree to which participants in programs under this title achieve self-sufficiency. Grants and contracts awarded for carrying out demonstration and pilot projects under this subsection are intended to be awarded only on a competitive basis, except that a noncompetitive award may be made in the case of a project that is funded jointly with other public or private sector entities that provide a portion of the funding for the project. 100 percent of the funds are discretionary, excluding a significant portion directed by Congress for use on specific projects.

Applicant Eligibility:

State and local governments, Federal agencies, private non-profit and for-profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Generally limited to the economically disadvantaged and those who are underemployed, unemployed, need to upgrade their skills in order to retain jobs, at-risk youth, and/or to those who have barriers to employability.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on the Employment and Training Administration (ETA) Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable SGA. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Procedures for each project are specified in the applicable SGA.

Renewals:

Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Most projects last one to two years. See the following for information on how assistance is awarded/released: Most grants and contracts are awarded on a cost-reimbursement basis.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accounting purposes. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Quarterly expenditure reports on all grants awarded are a mandatory requirement of all solicitations. Monthly expenditure reports are required for contracts/task orders. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents and other

evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 09 \$55,000,000; FY 10 est \$63,000,000; FY 11 est \$44,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 16 new pilot or demonstration projects or major modifications of existing projects were initiated. Fiscal Year 2010: In fiscal year 2010, approximately 15 new pilot and demonstration projects or major modifications of existing projects will be initiated. Fiscal Year 2011: No projections at this time.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of SGAs are published periodically in the Federal Register on the ETA Web site at www.doleta.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest ETA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Jonathan Simonetta, 200 Constitution Avenue NW, Room N-5641, Washington, District of Columbia 20210 Email: simonetta.jonathan@dol.gov Phone: (202) 693-3911.

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: One example of a funded project is the Young Parent's Demonstration (YPD) grants. The YPD grants are demonstration grants that target the employment and training needs of young parents. The YPD grants provide educational and occupational skills training leading to family economic self-sufficiency to both mothers and fathers, and expectant mothers ages 16 to 24. Projects funded are encouraged to serve young parents in high-risk categories, including those who are court-involved, in the child welfare or foster care system, homeless, or victims of child abuse. Fiscal Year 2010: One example of a funded project is the Young Parent's Demonstration (YPD) grants. The YPD grants are demonstration grants that target the employment and training needs of young parents. The YPD grants provide educational and occupational skills training leading to family economic self-sufficiency to both mothers and fathers, and expectant mothers ages 16 to 24. Projects funded are encouraged to serve young parents in high-risk categories, including those who are court-involved, in the child welfare or foster care system, homeless, or victims of child abuse. Fiscal Year 2011: No projections at this time.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable SGA.

17.262 WIA EVALUATION

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part Subtitle D, Section 172, Public Law 105-220.

OBJECTIVES:

To provide for the continuing evaluation of programs and activities carried out under title I of the Workforce Investment Act of 1998.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Evaluations are conducted for the purpose of improving the management and effectiveness of employment and training programs carried out under the Workforce Investment Act. Such evaluations address the general effectiveness of training programs in relation to their costs, the effectiveness of performance measures, the effectiveness of service delivery structures and mechanisms, the impacts of programs on communities and participants, the extent to which programs meet the needs of various demographic groups, and other factors. Evaluations are required to use appropriate methodologies and research designs, including random assignment. 100 percent of funds are discretionary.

Applicant Eligibility:

There are no formal guidelines or conditions applicants must meet other than that they have demonstrated financial responsibility and competence to fulfill the terms of the grant and demonstrated employment and training evaluation skills.

Beneficiary Eligibility:

Funds are used to evaluate programs serving a wide variety of individuals. Major target groups include the unemployed and economically disadvantaged youth and adults.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. None

Award Procedure:

Evaluation grants are typically awarded in response to unsolicited proposals. Such proposals are reviewed by program and technical experts in the Employment and Training Administration and approved by the Assistant Secretary for Employment and Training.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Extensions are available on approval.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most evaluations last one to two years; however, some last up to seven years. Funds must be spent within five years following the fiscal year awarded. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports should be proposed in the application. Cash reports are not applicable. Reports address quarterly progress in terms of completing the tasks specified in the statement of work, identification of problems and plans for the resolution of those problems, and plans for the upcoming quarter. Quarterly reports showing grant expenditures for current quarter and to date are required. Performance monitoring is done by the Grant Officer's Technical Representative in accordance with Federal Acquisition and Department of Labor Management Series guidelines.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the contract. Records are to be maintained for three 3 years from the date of final payment or until the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 09 \$2,000,000; FY 10 est \$0; FY 11 est \$0 - Program awards are still being tracked.

Range and Average of Financial Assistance:

\$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, one grant for WIA evaluation was awarded. Fiscal Year 2010: ETA expects to award no grants for Evaluation in FY 2010. Fiscal Year 2011: ETA expects to award no grants for Evaluation in FY 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Daniel Ryan U. S. Department of Labor
200 Constitution Avenue, NW, Room N5641, Washington, District of Columbia 20210 Email: Ryan.Dan@DOL.gov Phone: 202-693-3649 Fax: 202-693-2766

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A Review of State Workforce Development and Unemployment Insurance Policy Responses to the Current Economic Recession and the American Recovery and Reinvestment Act of 2009: The study will: (1) document actions and decisions states are taking in implementing the key workforce development provisions of the ARRA; (2) document and categorize the UI policy actions taken by states in response to the recession and UI provisions of the ARRA; and (3) conduct in-depth case studies in a sample of states. The study is being conducted over a two-year period and features several waves of surveys and fieldwork. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.264 NATIONAL FARMWORKER JOBS PROGRAM

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998; Title I, Subtitle D, Section 167; Public Law 105-220.

OBJECTIVES:

To provide job training and other employability development services and related assistance for those individuals, including their dependents, who are

primarily employed in agricultural labor that is characterized by chronic unemployment and underemployment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

In the National Farmworker Jobs Program (NFJP), eligible seasonal farmworkers and their dependents may be offered core services (including initial assessment, One Stop Center services, job placement, and eligibility determination), intensive services developed under a case management system (such as objective assessment, individual employment planning, basic education, allowance payments, dropout assistance, and work experience), training services (such as classroom training that is occupationally specific and on the job training), and related assistance (such as emergency assistance and other supportive services that allow a farmworker to stay employed or continue his or her participation in program activities). Funds must be used in accordance with Federal Regulations. The WIA statute requires a biennial grants competition to operate the NFJP program. The funds for the program are allocated through an administrative formula to state service areas; all funds are allocated for program activities.

Applicant Eligibility:

Public agencies and units of government (State and local); and private non-profit institutions/organizations authorized by their charters or articles of incorporation to operate employment and training programs. In addition, permanent and temporary housing assistance is also provided, through the same type of organizations.

Beneficiary Eligibility:

The ultimate beneficiaries are low income individuals and their dependents who have, during any consecutive 12-month period in the 24 months preceding their application for enrollment, been primarily employed in agricultural labor that is characterized by chronic unemployment or under employment due to the seasonal or migratory nature of the work. Individuals must also be legally available for work and males must not have violated the Selective Service Act registration requirement.

Credentials/Documentation:

Applicants must be able to demonstrate an understanding of the problems of seasonal farmworkers and the capacity to respond to their needs, a familiarity with the local agricultural industry, and the administrative capacity to administer workforce investment programs, including their capacity to be an effective One Stop system partner. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard preapplication forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for operating NFJP programs are awarded under a biennial competitive process. Applications are solicited, normally for each state's service area, through a Solicitation for Grant Applications (SGA) announcement published in the Federal Register and on ETA's Web site, www.doleta.gov. Applicants are required under the solicitation to provide their proposed plan to operate NFJP services in the areas where they propose to operate. A separate program is funded to serve the geographic area of each State, except as follows: more than one applicant may be selected to serve areas within California and some service areas comprise the geography of two adjoining states. (A provision for waiver of competition for a maximum four-year period may be exercised by the Department).

Award Procedure:

Competing applications will be rated according to criteria included in the Solicitation for Grant Application. Awards are made directly to eligible

applicants by the Employment and Training Administration.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Sixty to ninety days.

Appeals:

Petition for reconsideration of non-selection as a potential grantee may be made to an Administrative Law Judge within 21 days of notification of the Department's decision.

Renewals:

The WIA gives the Secretary of Labor the authority to waive the grants competition, thereby renewing those grants without further competition for a third and fourth year, provided performance for the first two years has been satisfactory and upon receipt of a satisfactory annual plan..

Formula and Matching Requirements:

Statutory Formula: Title 20 CFR , Part 652; and 660 through 671, Public Law P.L. 105-220.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards initially apply for two years; the competition may be waived by the Secretary for subsequent two-year period if performance is satisfactory and upon receipt of a satisfactory annual plan. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly reports are required on program participants and expenditures. No cash reports are required. Quarterly Performance Reports are required. Financial reports are required quarterly. Regional Federal Project Officers conduct monitoring - desk audits, risk assessments, monitoring site visits and filed audits are utilized.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

Grantees are required to maintain complete accounting, personnel, and individual participant records in accordance with OMB Circulars A-102 and A-110. Participant records must support eligibility and the need for services provided.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 09 \$80,000,000; FY 10 est \$84,000,000; FY 11 est \$86,000,000

Range and Average of Financial Assistance:

The range and average varies by year according to appropriation levels. State allocations are published annually in the Federal Register.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: <http://www.doleta.gov/MSFW/html/PerfData.cfm>

PY 2009 Not yet available - the NFJP operates on a Program Year basis; PY 2009 ended on June 30, 2010, and quarterly reports are due 45 days after the end of the quarter. Fiscal Year 2010: See the Department of Labor budget at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: See the Department of Labor budget at www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR Part 652 and 660 through 671.

Regional or Local Office:

See Regional Agency Offices. Regional contact information is available on the Website at www.doleta.gov/MSFW.

Headquarters Office:

Alina M. Walker, 200 Constitution Ave, NW, Room S-4209, Washington, District of Columbia 20210 Email: walker.alina@dol.gov Phone: (202) 693-2706 Fax: (202) 693-3945.

Website Address:

<http://www.doleta.gov/msfw/>

RELATED PROGRAMS:

17.258 WIA Adult Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the Solicitation for Grant Application published in the Federal Register whenever there is a competition for grant awards. (Also, see paragraph 92, above.).

17.265 NATIVE AMERICAN EMPLOYMENT AND TRAINING

Workforce Investment Act (WIA), Section 166

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998 (Title I, Subtitle D, Section 166 and Subtitle B, Chapter 2), Title I, Section 166, Public Law 105-220, 112 Stat. 936, 29 U.S.C 2801 et seq.; Workforce Investment Act of 1998 (Title I, Subtitle D, Section 166 and Subtitle B, Chapter 2), Section 2, Public Law 111-5, Stat. 58.

OBJECTIVES:

To support employment and training activities for Indian, Alaska Native, and Native Hawaiian individuals in order: to develop more fully the academic, occupational, and literacy skills of such individuals; to make such individuals more competitive in the workforce; and to promote the economic and social development of Indian, Alaska Native, and Native Hawaiian communities in accordance with the goals and values of such communities. Supplemental youth funding is also awarded to help low income Native American youth and Native Hawaiian youth, between the ages of 14 and 21, acquire the educational skills, training, and the support needed to achieve academic and employment success and successfully transition to careers and productive adulthood.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be utilized for employment and training programs and services, including classroom training, On-The-Job training, training assistance, work experience, youth employment programs, day care, health care, job search, relocation, and transportation allowances designed to assist eligible participants to obtain employment. There are specified restrictions on the amount of grant funds which can be used for administrative costs. Supplemental youth funds may be utilized to provide employment and training activities that assist youth in achieving academic and employment success. Such activities may include; mentoring, career exploration, work experience, community service, education programs including cultural education, leadership development, and supportive services. Funds are restricted to Indian, Alaska Native, and Native American youth and Native Hawaiian youth, between the ages of 14 and 21, living on or near reservations and the States of Oklahoma, Hawaii, and Alaska. Administrative costs are limited to 15% but are negotiable up to 20% upon prior agency approval. Recovery Act funds serve Indian and Native American youth through age 24. See above. Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Hawaiian Native communities meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) are selected by the Secretary on a competitive basis to run programs in designated service areas.

Applicant Eligibility:

Federally-recognized Indian Tribal Governments, bands or groups, Alaska Native villages or groups (as defined in the Alaska Native Claims Settlement Act, 43 U.S.C. 1602(b)), Hawaiian Native communities meeting the eligibility criteria, and Native American Organizations (public bodies or private nonprofit agencies) selected by the Secretary on a competitive basis. Tribes, bands, and groups may also form consortia in order to qualify for designation as a grantee. An independently eligible grantee shall be a Federally-recognized tribe or other Indian or Native American entity which has: (1) A governing body as defined in 20 CFR 668.200(a); (2) (for new grantees) an identifiable Native American resident population sufficient to generate the funding level(s) outlined at 20 CFR 668.200(a)(3) within its designated service area; and (3) the capability to administer Indian and Native American employment and training programs as outlined at 20 CFR 668.220. Detailed requirements for consortium grantee applicants are set forth at 20 CFR 668.200(b). Supplemental funding is automatically awarded to entities that serve Native American youth and Native Hawaiian youth living on or near reservations, and the States of Oklahoma, Hawaii, and Alaska.

Beneficiary Eligibility:

American Indians (members of federally- recognized Indian tribes, bands, and groups); other individuals of Native American descent, such as, but not limited to, the Klamaths in Oregon, Micmac and Maliseet in Maine, the Lumbees in North Carolina and South Carolina; Indians variously described as terminated or landless, Eskimos and Aleuts in Alaska, and Hawaiian Natives. ("Hawaiian Native" means an individual any of whose ancestors were natives prior to 1778 of the area which now comprises the State of Hawaii.) Applicants must also be economically disadvantaged, unemployed, or underemployed. A Native American grantee may in some cases enroll participants who are not economically disadvantaged, unemployed, or underemployed in upgrading and retraining programs. See 20 CFR 668.300(b)(4) and (5). Native American youth between the ages of 14 and 21 who live on or near a reservation or in the States of Oklahoma, Alaska, and Hawaii and are low income, are eligible to receive supplemental youth services.

Credentials/Documentation:

An entity requesting to apply for a grant must submit a notification of intent to apply biennially. Consortium applicants must include a formal consortium agreement with attachments specified in 20 CFR 668.200. A Comprehensive Services Plan must be submitted by the designated grantees. It includes, among other things: (1) a program narrative description; (2) a planning summary; and (3) a brief budget summary. Individuals requesting services through this grant must provide documentation of Native American Descent such and Certificate Degree of Indian Blood (CDIB). Individuals must also provide documentation that they are low income or unemployed, or working part-time but are seeking full-time work or employed in a job that is not commensurate with the individual's demonstrated level of education or skill achievement. Males eligible for Selective Service must provide proof of registration. Youth receiving services under the Supplemental Youth Services Program (SYSP) must be low income and be between the age of 14 and 21. Recovery Act funds serve Indian and Native American Youth through age 24. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Employment and Training Administration publishes a Solicitation for Grant Application (SGA) in the Federal Register every two years. The SGA provides the application and award process for interested entities to apply for funding to serve specified geographic areas. After prospective grantees have filed a notice of intent and new applicants have additionally provided the information cited in 20 CFR 668.240, designation decisions are made. Subsequently, designated grantees must submit a Comprehensive Services Plan to the Employment and Training Administration, Office of Workforce Investment, Indian and Native American Programs (INAP), Department of Labor. In addition, grantees must describe the administrative, planning, and operational elements needed to implement a WIA

Section 166 program. Instructions for completing these documents are issued by the Employment and Training Administration through a Training and Employment Guidance Letter (TEGL).

Award Procedure:

Grants will be made directly to eligible grantees for their service areas.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

From 60 to 90 days. Applicants will be notified of approval or disapproval of the Comprehensive Services Plan and if disapproved, given a reasonable time to make adjustments and resubmit the Plan. Final disapproval of an application or plan submitted by a designated grantee will not be made without affording the grantee an opportunity for reconsideration.

Renewals:

From 30 to 60 days. Grant awards may be renewed annually, while designation as a grantee applies for two years.

Formula and Matching Requirements:

Statutory Formula: Title 1, Part Subtitle D - National Programs, , Subpart Section 166 - Indian and Native American Programs, Public Law Workforce Investment Act of 1998.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are made available through annual program year grants. The program year runs from July 1 to June 30, except that the Supplemental Youth Services Program runs from April 1 to March 31. Funds obligated for any program year may be expended by each recipient during the program year and the two succeeding program years. Method of awarding/releasing assistance: by letter of credit.

Reports:

OMB 9084 form for comprehensive services to adult program and OMB 9085 for supplemental youth services program are submitted quarterly. Cash reports are not applicable. Progress reports are not applicable. OMB 9130 Form financial report is submitted quarterly. National office staff monitors performance using the Grants Management System (GEMS) to conduct risk assessments and desk reviews. On-site review are also conducted every three years.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other pertinent records shall normally be retained for a period of three years after the grant is closed out. Participant records shall be retained for five years. Records must be retained longer in certain cases, such as when audit findings have not been resolved.

Account Identification:

16-0174-0-1-504; 16-0184-0-1-504 - ARRA.

Obligations:

(Project Grants) FY 09 \$52,000,000; FY 10 est \$67,000,000; FY 11 est \$69,000,000. (Project Grants) FY 09 \$18,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

The range and average of financial assistance varies by year. Amounts are published annually in the Federal Register.

Under the Recovery Act of 2009, the range of grants was \$ 6,554 to 3,956,397. The average was not calculated.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: <http://www.doleta.gov/DINAP/cfml/performance.cfm>. Fiscal Year 2010: See Department of Labor Budget at: www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: See Department of Labor Budget at: www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register, Volume 65, No. 156, Friday, August 11, 2000, 20 CFR Parts 667 and 668 (20 CFR 652 et al.), Employment and Training Administration, United States Department of Labor.

Regional or Local Office:

None.

Headquarters Office:

Evangeline M. Campbell, 200 Constitution Avenue, NW, Room S-4209, Washington, District of Columbia 20210 Email: campbell.evangeline@dol.gov Phone: (202) 693-3737 Fax: (202) 693-3817.

Website Address:

<http://www.doleta.gov/dinap>

RELATED PROGRAMS:

15.108 Indian Employment Assistance; 17.258 WIA Adult Program; 93.612 Native American Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the regulations and INAP administrative instructions issued biennially in the Solicitation for Grant Application published in the Federal Register. In general, designation is based on the regulatory requirements found at 20 CFR 668.210, 668.220, and 668.230, concerning legal status, ability to administer Federal funds, and prior experience and success in providing employment and training services to the client population. In addition, the other requirements for designation found at 20 CFR Part 668, Subpart B, must also be met.

17.266 WORK INCENTIVE GRANTS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act Sections 121, 134(c), 189(c) (29 U.S.C 2841, 2864 (c), and 2939(c)). Key regulations governing the Workforce Investment Act (WIA) program are at 20 CFR Parts 651-652 and 660-671. , 29 U.S.C ; Wagner-Peyser Act. Key regulations governing the Wagner-Peyser Act program are at 20 CFR Parts 651-652 and 660-671., 29 U.S.C 49f.

OBJECTIVES:

Work Incentive Grants (WIGs) and Disability Program Navigators (DPNs) were established to increase the labor force participation and career advancement of persons with disabilities by effecting systemic change in the One Stop Career Centers. These programs support the capacity of the One Stop Career Center system to achieve integrated, seamless, and comprehensive services for people with disabilities, thereby increasing their employment, retention, and earnings. The competitive WIG Program has been reoriented from a competitive solicitation to the Disability Program Navigator (DPN) cooperative agreement, thereby assuring that the essential goals of the WIGs are achieved in the Workforce Investment System. The Department of Labor (DOL) and the Social Security Administration (SSA) jointly established a new position, the Disability Program Navigator (DPN), in One Stop Career Centers. The DPN guides the One Stop Career Center staff in helping people with disabilities access and navigate the various programs that impact upon their ability to gain/retain good jobs. Complex rules surrounding entitlement programs, along with the fear of losing cash assistance and health benefits, can often discourage people with disabilities from working. Consequently, the DPN initiative was established to better inform beneficiaries and other people

with disabilities about the work support programs now available at the DOL-funded One Stop Career Centers. The major objectives of the DPN initiative are: (1) creating systemic change and transforming the culture of how the One Stop Career Center system serves customers with disabilities; (2) promoting meaningful and effective access, both physical and programmatic, to the One Stop Career Center system for all customers, including persons with disabilities; (3) creating attitudinal change about the abilities of people with disabilities to work in a variety of jobs and industries; (4) developing new/ongoing partnerships to achieve comprehensive services to people with disabilities; (5) expanding and enhancing the workforce development system's capacity to serve customers with disabilities and employers through the implementation of effective DPN strategies and practices; and (6) increasing the number of people with disabilities served under the WIA and achieving quality employment outcomes for job seekers with disabilities through accessing WIA Title I and Wagner-Peyser Programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are used for establishing Disability Program Navigators in One Stop Career Centers and improving the centers' physical and programmatic access for customers with disabilities. All proposed costs should be necessary and reasonable according to the Federal guidelines set forth in the Uniform Administrative Requirements for Grants and Cooperative Agreements to state and local governments, codified at 289 CFR Part 97, and must comply with the applicable OMB cost principles circulars, as identified in 29 CFR 96.27 and 29 CFR 97.22(b). Awardees must comply with the all other applicable administrative standards and national policy provisions (listed in the SGA). Indirect costs cannot exceed ten percent of the award.

Funding for the Work Incentive Grants (WIGs)/Disability Program Navigators (DPNs) have been eliminated, effective June 30, 2010. Round IV DPN projects (Arkansas, Nevada, the Virgin Islands, and Guam) were initially funded through June 30, 2011. In addition, several existing projects will continue for some period of time in FY 2010 due to carry-over funding.

There is a new Disability Employment (DEI) Initiative, which is a joint project of the U.S. DOL/Employment and Training Administration (ETA) and Office of Disability Employment Policy. ETA issued a Solicitation for Grant Application (SGA) totaling approximately \$22 million to fund projects to improve the educational, training, and employment opportunities and outcomes of youth and adults with disabilities, including those receiving Social Security disability benefits. State WIA-administering entities will be eligible to receive grant funding, through cooperative agreements, ranging from \$1.5 to \$6 million, for a three-year period. These cooperative agreements will be used to foster exemplary and model service delivery through the public workforce investment system for job seekers with disabilities, building upon the disability program navigator initiative and other model service delivery strategies. The funds will be awarded by September 30, 2010. The Disability Employment "DEI" Initiative is covered under CFDA number 17.207 Employment Service/Wagner-Peyser Funded Activities. Funds must be used in accordance with Federal Regulations for this program. Restrictions are noted in grant agreements.

As of June 30, 2010, the funding for the DPN grants has been eliminated; several of the existing grants are continuing with carryover 2009 and 2010 funds. The Combined Appropriation Act of 2010, Public Law 111-1117, included \$12 million in funds to DOL's Employment and Training Administration (ETA) and the Office of Disability Employment Policy to fund \$22 million in competitive grants to state WIA-administering entities to improve educational, training, and employment opportunities and outcomes of youth and adults with disabilities who are unemployed, underemployed, and receiving Social Security disability benefits. ETA expects to award approximately six to ten cooperative agreements ranging from \$1.5 to 6 million for a three-year period. This funding will continue the Disability Program Navigator Initiative's effective practices, as well as other promising practices. The funds will be awarded by September 30, 2010. The funds are included under CFDA 17.207.

Applicant Eligibility:

State WIA administrating agencies.

Beneficiary Eligibility:

Limited to persons with disabilities eligible for employment and training services under the WIA.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Solicitations for cooperative agreements are published on the ETA Web site: <http://www.doleta.gov/disability/>. All information concerning the proper application, format, content, and applicable forms are contained in the solicitation and published cooperative agreement. The state WIA administrative entity in the states that meets the eligibility criteria will receive a cooperative agreement solicitation requesting a response within 30 days of receipt.

Award Procedure:

Awards for cooperative agreements are made directly to successful applicants by the U.S. Department of Labor, Employment and Training Administration (ETA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval times are specified in individual Solicitation for Grant Applications.

Appeals:

Appeal information is noted in individual Solicitation for Grant Applications.

Renewals:

The cooperative agreements may be extended beyond the initial period of award, depending upon the availability of funds, to provide additional support.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance will be up to approximately 24 months from the date of execution by the Department. The Department may extend the period of performance based on the availability of funds and satisfactory performance. Payment will be made through electronic funds transfer utilizing the computerized Payment Management System (PMS). Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. A quarterly narrative report is required within 45 days of the end of each quarter. A quarterly financial report is required within 45 days of the end of each quarter. Regional Federal Project Officers perform monitoring through desk or on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the U.S. Department of Labor or other authorized Government agencies.

Records:

Grant recipients are required to maintain records in accordance with 29 CFR 95 and 97. Financial records, supporting documents, statistical records, and all other pertinent records shall normally be retained for a period of three years after the grant is closed out. Participant records shall be retained for five years. Records must be retained longer in certain cases, such as when audit findings have not been resolved.

Account Identification:

16-0179-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$18,000,000; FY 10 est \$0; FY 11 est \$0 - Program awards are still being tracked.

Range and Average of Financial Assistance:

Cooperative agreements ranged from \$ 75,000 to \$ 2 million dollars. The average is not computed.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly performance results are reported at <http://www.doleta.gov/performance/results/Reports.cfm?etaqr> under the Disability Navigator Initiative program. Fiscal Year 2010: Once finalized, performance budgets are posted annually on the U.S. Department of Labor Web site and are available at: www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Once finalized, performance budgets are posted annually on the U.S. Department of Labor Web site and are available at: www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing the WIA are at 20 CFR Parts 651 through 652, 660 through 671 (65 FR 49294, August 11, 2000). The Uniform Requirements for Grants and Cooperative Agreements to State and Local Governments (also known as the "Common Rule") are applicable. The "Common Rule" is codified at 29 CFR Part 97 (97.22).

Regional or Local Office:

See Regional Agency Offices. Contact the Federal Project Officer (FPO) for the DPN Cooperative Agreements in the appropriate Regional Employment and Training Administrative Office listed in Appendix IV of the Catalog.

Headquarters Office:

Randee Chafkin 200 Constitution Avenue, NW
Room S-4209, Washington, District of Columbia 20210 Email:
chafkin.randee@dol.gov Phone: 202-693-2723 Fax: 202-693-3817

Website Address:

<http://www.doleta.gov/disability>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No additional funds are anticipated. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are described in the Solicitation for Cooperative Agreement published on ETA's Web site.

17.267 INCENTIVE GRANTS - WIA SECTION 503

WIA Incentive Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title 1, Part B, Section 174, 503, Public Law 105-220, 20 U.S.C 9273; Adult Education and Family Literacy Act, 20 U.S.C 9211.

OBJECTIVES:

To carry out innovative programs consistent with the purposes of Title I of WIA (Workforce Investment Systems), Title II of WIA (Adult Education and Family Literacy Act (AEFLA), 20 U.S.C. 9201 et seq.), the Carl D. Perkins Vocational and Applied Technology Education Amendments of 1998 (Public Law 105-332, 20 U.S.C. 2301 et seq.) or a combination of two or more of these

acts.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

States have great flexibility in using these funds and are not limited to conducting only one type of innovative program. However, the use of grant funds must be consistent with WIA Section 503, including the requirement that they be used for innovative programs which further the purposes of WIA Titles I and II, AEFLA and/or the Perkins Act. In addition, grantees must comply with the requirements of whichever program the innovation affects. For example, if the incentive funds will be used for innovations in the delivery of youth activities under WIA Title I, the program must be consistent with WIA Title I provisions applicable to youth activities and the WIA regulations at 20 CFR part 664. States must submit a plan describing the innovative activities to be funded with this grant. States are expected to use funds for services and activities: (1) beyond those provided with regular funds from the three programs, particularly those authorized by one of these programs and another program; (2) which serve the needs of populations intended to be served by one or more of these programs; and (3) targeted to improving the performance of State systems of employment, training, and education. In addition, States are encouraged to plan activities that promote cooperation and collaboration among the agencies administering WIA Title I, AEFLA, and Perkins Act programs. States may only use funds in accordance with WIA, AEFLA, or Perkins Law III/IV.

Applicant Eligibility:

A listing of States eligible to receive incentive grants for program year 2008 performance was published in the Federal Register on May 7, 2010. To qualify for a grant, a State must exceed performance levels agreed to by the Secretary of Education, the Secretary of Labor, the Governor, and the State Education Officer, for outcomes in Titles I and II of the Workforce Investment Act (WIA) and the American Education and Family Literacy Act (AEFLA), which include placement after training, retention in employment, and improvement in literacy levels, among other measures.

Beneficiary Eligibility:

States, including Washington, DC, the Virgin Islands, Puerto Rico, and Guam.

Credentials/Documentation:

Documentation of the exceeded performance measures must be available. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The application must include assurances that: The State legislature was consulted with respect to the development of the application; the application was approved by the Governor, the eligible agency for adult education, as defined in WIA Section 203(4) (20 U.S.C. 9202(4)); and the State agency responsible for vocational and technical education programs, as defined in Perkins Act Section 3(9) (20 U.S.C. 2302(9)); and The State and the eligible agency, as appropriate, exceeded the State adjusted levels of performance for WIA Title I, the State adjusted levels of performance for AEFLA, and the performance levels established for Perkins Act programs. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded each year to those states and territories that exceed their WIA and AEFLA performance measures. A Federal Register Notice (FRN) is published announcing the award winning states as well as the amount of the award. A Training and Employment Guidance Letter (TEGL) is published to provide guidance for the submission of the application. The FRN and the TEGL are published on the Performance Web site at <http://www.doleta.gov>. States develop a proposal and budget that demonstrate how they will provide services in accordance with the WIA, AEFLA and or Perkins IV laws. ETA coordinates the review of applications with the Department of Education.

Award Procedure:

States must exceed performance for WIA Adult, Dislocated Worker and Youth

programs as well as meet performance in AEFLA. Representatives from DOL and Education review states performance and compile a list of those states meeting both sets of performance requirements. States are allocated a share of the incentive funds based on their proportionate share of WIA and AEFLA title funds. States must submit an application indicating they will meet all requirements of WIA and AEFLA as well as Perkins IV before funds can be granted. See USDOL ETA guidance TEGL 20-01, Change 8 at: http://wdr.doleta.gov/directives/corr_doc.cfm?DOCN=2896 .

Deadlines:

May 07, 2010 to Jun 21, 2010: 45 days. Specified in the applicable TEGL, but generally 45 days from announcement in the Federal Register.

Range of Approval/Disapproval Time:

From 1 to 15 days.

Appeals:

From 15 to 30 days. Appeal procedures are at 20 CFR Part 667, Subpart H.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded on a program year (July to June) basis and are available for expenditure for two years. Method of awarding/releasing assistance: by letter of credit.

Reports:

When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 1 and TEGL 14-03 for additional reporting guidance. When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 1 and TEGL 14-03 for additional reporting guidance. When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 1 and TEGL 14-03 for additional reporting guidance. When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 1 and TEGL 14-03 for additional reporting guidance. When funds are expended for programs under AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 1 and TEGL 14-03 for reporting guidance. When funds are expended for programs under either AEFLA, Perkins IV or WIA, the applicable program report requirements apply. See TEGL 14-00, Change 1 and TEGL 14-03 for reporting guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be conducted in accordance with 20 CFR 667.200(b).

Records:

States are required to maintain adequate records in accordance with DOL uniform administrative requirements in 29 CFR Sections 97.20 and 97.42.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

For PY08 awards, the range is \$784,251-\$1,405,909.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 666.200 - 666.230; Training and Employment Guidance Letters No. 20-01, change 8, TEGL 14-03 and TEGL 14-00, Change 1.

Regional or Local Office:

See Regional Agency Offices. Federal Project Officers for those states receiving the awards.

Headquarters Office:

Gail Sather, Room S5206, 200 Constitution Ave. NW, Washington, District of Columbia 20210 Email: sather.gail@dol.gov Phone: (202) 693-3995 Fax: (202) 693-3490.

Website Address:

<http://www.doleta.gov/performance>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.268 H-1B JOB TRAINING GRANTS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Competitiveness and Workforce Improvement Act of 1998, Section 414(c), Public Law 105-227.

OBJECTIVES:

The H-1B Job Training Grant Program funds projects that provide training and related activities to workers to assist them in gaining the skills and competencies needed to obtain or upgrade employment in high-growth industries or economic sectors.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are to be used to provide job training services and related activities to assist workers in gaining the skills and competencies needed to obtain or upgrade employment positions in high-growth industries and economic sectors. See above. Approximately one hundred percent of these funds are used for discretionary activities.

Applicant Eligibility:

Applicants may generally be public and non-profit organizations. See the Solicitation for Grant Application for specific requirements.

Beneficiary Eligibility:

The scope of potential trainees under these programs can be very broad. Please review the Solicitation for Grant Application for specific requirements. Training may be targeted to a wide variety of populations, including unemployed individuals and incumbent workers.

Credentials/Documentation:

Generally grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, and strong proposed plans for implementing their project. Applicants should review the Solicitation for Grant Applications for specific requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted

population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Application.

Renewals:

Renewals with funds are generally not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Some grants may be required to provide cash or in-kind matching resources, but this is generally determined individually for each Solicitation for Grant Application that is released. Please refer to the Solicitation for Grant Application for specific requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last one to three years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit quarterly reports within 45 days of the end of the calendar quarter regarding the status of their project. Quarterly financial reports are required within 45 days of the end of the quarter. Regional Federal Project Officers conduct performance monitoring using risk assessments, desk reviews, and on-site monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 09 \$114,000,000; FY 10 est \$125,000,000; FY 11 est

\$125,000,000

Range and Average of Financial Assistance:

Past awards have generally ranged from \$750,000 to \$15,000,000. Please review specific Solicitations for Grant Applications for the range of awards.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly performance results are reported under High Growth Job Training Initiative at: <http://www.doleta.gov/Performance/results/Reports.cfm?#etaqr>. Fiscal Year 2010: Performance plans are posted annually and are available on the Internet at: www.doleta.gov/Performance/eta_default.cfm. DOL Budget information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Performance plans are posted annually and are available on the Internet at: www.doleta.gov/Performance/eta_default.cfm. DOL Budget information is available at www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Thomas Hooper 200 Constitution Avenue, NW, Room N-4643, Washington, District of Columbia 20210 Email: hooper.thomas@dol.gov Phone: (202) 693-3949 Fax: (202) 693-3890.

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are specified in the applicable Solicitation for Grant Applications. Criteria may include factors such as strength of statement of need, organizational capacity, project work plan, and projected outcomes.

17.269 COMMUNITY BASED JOB TRAINING GRANTS

Career Pathways Innovation Fund *Formerly known as Community Based Job Training Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Section 171(d), Public Law 105-220.

OBJECTIVES:

Workers must have the skills needed to secure good jobs and pursue careers in high-growth, high-demand industries. Community colleges are important training providers for workers needing to develop, retool, refine, and broaden their skills in high-growth, high-demand occupations because of their close connection to local labor markets. In the FY10 budget, the Career Pathway Innovation Fund was announced, replacing the Community Based Job Training Grant program. This competitive grant program builds on the Community Based Job Training Grants, strengthening the role of community colleges in promoting the U.S. workforce's full potential, and focuses on career pathway programs at community colleges to help individuals of varying skill levels enter and pursue rewarding careers in high-demand and emerging industries. Career Pathways Innovation Fund Grants are awarded through a competitive process to support workforce training for workers to prepare them for careers in high-growth industries through the national system of community and technical colleges.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds must be used to train new and experienced workers for careers in identified high-growth, high-demand industries. Specific rules and requirements

are published in each Solicitation for Grant Application. Approximately one hundred percent of available funds are used for discretionary activities. Grant opportunities are published in the Federal Register.

Applicant Eligibility:

Eligible applicants could include community and technical colleges, consortia of community colleges, and two-year colleges that have been designated as Hispanic-Serving Institutions or Historically Black Colleges. Please refer to the Solicitation for Grant Application for specific eligibility.

Beneficiary Eligibility:

Generally, the scope of potential trainees is very broad. Specific requirements are published in each Solicitation for Grant Application. WIA Section 171(d) authorizes demonstration programs to serve dislocated workers, incumbent workers, and new entrants to the workforce. This authorization supports a broad range of training for a variety of populations, including: incumbent workers who need new skills for jobs in demand up the career ladder or because the skill needs for their current job have changed; untapped labor pools such as immigrant workers, individuals with disabilities, veterans, older workers, youth, etc; or entry level workers who need basic skills and/or specific occupational skill training.

Credentials/Documentation:

Grantees must demonstrate an adequate organization capacity, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable Solicitation for Grant Applications. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Consult the Solicitation for Grant Application for deadlines.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last two to three years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 09 \$125,000,000; FY 10 est \$120,000,000; FY 11 est \$56,000,000

Range and Average of Financial Assistance:

Grants typically range from \$500,000 to \$2 million dollars per award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly performance results are reported under Community Based Job Training Grants at <http://www.doleta.gov/Performance/results/Reports.cfm?#etaqr>. Fiscal Year 2010: See Department of Labor budget at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: See Department of Labor budget at www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Thomas Hooper 200 Constitution Avenue, NW
Room N-4643, Washington, District of Columbia 20210 Email:
hooper.thomas@dol.gov Phone: 202-693-3865 Fax: 202-693-3890

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.270 REINTEGRATION OF EX-OFFENDERS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Part Subtitle D, Section 171, Public Law 105-220, U.S.C 171.

OBJECTIVES:

This program includes both Prisoner Reentry Initiative (PRI) grants to serve adult returning offenders and Youthful Offender grants aimed at youth involved or at risk of involvement in crime and violence. The objectives of the PRI grants include increasing the employment rate, employment retention rate, and earnings of released prisoners, and decreasing their recidivism. The objectives of the Youthful Offender grants include preventing in-school youth from dropping out of school, increasing the employment rate of out-of-school youth, increasing the reading and math skills of youth, reducing the involvement of youth in crime and violence, and reducing the recidivism rate of youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Services under the PRI grants include assistance in finding employment, mentoring, job training, remedial education, and other comprehensive transitional services. Services under the Youthful Offender grants include a wide array of educational, employment, mentoring, case management, and violence reduction efforts. Restrictions for specific projects are listed in grant announcements. One hundred percent of these funds are set aside for discretionary activities to be distributed almost exclusively through competitive procurements.

Applicant Eligibility:

Eligible applicants for Reintegration of Ex-Offender-Adult grants are faith-based and community organizations (FBCOs) that are located in, or have a staff presence in, the urban community being served. Eligible applicants for Youthful Offenders vary depending on the solicitation, but have included community-based organizations, school districts, and juvenile justice agencies.

Beneficiary Eligibility:

Reintegration of Ex-Offender-Adult grants serve individuals, 18 years old and older, who have been convicted as an adult and have been imprisoned for violating a state or federal law, and who have never been convicted of a sex-related offense. Depending on the solicitation, enrollment may be limited based on whether the presenting offense was violent or whether the individual has previously committed a violent crime. Individuals eligible for Youthful Offender grants vary depending on the solicitation.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for Federal assistance. Please note that competitions for Reintegration of Ex-Offender - Adult and Youth Offender grants do not necessarily occur every year, depending on availability of funds and the extent to which existing grants are continuing.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. The general procedure is as follows. A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible

submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days. Procedures for each project are specified in the applicable request for proposals.

Renewals:

From 60 to 90 days. Extensions available upon approval; renewals are not automatic.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The period of performance varies by grant announcement. The possibility of additional years of funding varies by grant announcement. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Quarterly progress reports are required. Depending on the solicitation, grantees also may be required to participate in DOL's Management Information System data collection system. Quarterly financial reports are required. Regional Federal Project Officers are responsible for monitoring performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Varies

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504 - Project Grants.

Obligations:

(Project Grants) FY 09 \$86,000,000; FY 10 est \$110,000,000; FY 11 est \$103,000,000

Range and Average of Financial Assistance:

The range and average of financial assistance varies. The information is published in Federal Register grant announcements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Performance targets and results can be found in the DOL budget located at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2010: Performance targets and results can be found in the DOL budget located at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Performance targets and results can be found in the DOL budget located at

www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in SGA Announcement.

Regional or Local Office:

None.

Headquarters Office:

Richard Morris, 200 Constitution Ave, NW, Room N-4507, Washington, District of Columbia 20210 Email: morris.richard@dol.gov Phone: (202) 693-3603 Fax: (202) 693-3113.

Website Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funded projects under Reintegration of Ex-Offenders focus on serving returning offenders, both adult and youth, as well as youth at risk of criminal activity. Projects focus on reducing recidivism through employment-focused services including training, mentoring, education, and placement assistance. Fiscal Year 2010: See above. Fiscal Year 2011: See above.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable request for proposals.

17.271 WORK OPPORTUNITY TAX CREDIT PROGRAM (WOTC)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Small Business Job Protection Act of 1996, as amended, Public Law 104-188; The Small Business and Work Opportunity Tax Act of 2007, Public Law 110-28; Internal Revenue Code, Section 51, Section 51; Working Families Tax Relief Act of 2004, Public Law 108-311; Tax Payer Relief Act of 1997, as amended, Public Law 105-34; The American Recovery and Reinvestment Act of 2009, Public Law 111-5; Tax Relief and Health Care Act of 2006, Public Law 109-432.

OBJECTIVES:

The tax credit was designed to help individuals from twelve target groups who consistently have faced significant barriers to employment move from economic dependency to self-sufficiency by encouraging businesses in the private sector to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States are to use these formula grants for: determining eligibility of individuals as members of targeted groups; issuing employer certifications or denials; developing working agreements with partner agencies in the One Stop Service Delivery system and coordinating efforts to promote WOTC to employers and job seekers and other Workforce Investment Act (WIA) partners. The Federal government provides general direction, funding, and oversight. See above.

Applicant Eligibility:

States (not individuals), the District of Columbia, the Virgin Islands, and Puerto Rico.

Beneficiary Eligibility:

All employers seeking WOTC target group workers and target group members seeking employment. The members of the different target groups have statutory definitions (per Public Law 109-188, as amended) with specific eligibility requirements that must be verified by the state workforce agencies before a certification can be issued to an employer or his representatives. Participating employers and their representatives must file their certification requests using IRS Form 8850 and ETA Form 9061 or 9062 within 28 days after the employment-start day of the new hires. This timeliness requirement cannot be waived and must be met before a state can issue a certification for eligible

target group members.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 as codified in 41 CFR 1-15.7 for state and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State workforce agencies (SWAs) must submit an annual grant plan and statement of work to ETA according to the requirements issued in policy guidance. SWAs may request extensions through ETA regional offices.

Award Procedure:

Review of State Plans occurs at Employment and Training Administration National Office. WOTC grant funds for approved state plans are provided under separate annual funding agreements for each program year with the designated SWA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. As provided in policy guidance issued by ETA and IRS.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is provided by annual formula allotments. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly program reports are required. No cash reports are required. No progress reports are required. Quarterly financial reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Standard records for audits are required. The Internal Revenue Service (IRS) requires that the SWAs keep and maintain certification records for four years and denial records for one year in the event of an employer audit by IRS or an employer appeal to the SWAs.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 09 \$19,000,000; FY 10 est \$19,000,000; FY 11 est \$19,000,000

Range and Average of Financial Assistance:

FY 2009 Allotments ranged from \$ 66,863 to \$ 2,216,782. The average is not computed.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 51 of the Internal Revenue Code, Title 26 U.S.C. ETA Handbook No. 408, Third Edition, November 2002 as amended. An e-copy can be obtained at: www.doleta.gov/business/incentives/opptax. The report may be obtained from the Division of Adult Services, 200 Constitution Ave, N.W., Room S-4209, Washington, DC 20210, or on the Employment and Training Administration Web page at <http://www.doleta.gov>.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Regional Office or state workforce agencies by checking the local telephone directory or obtaining directories of WOTC Regional and State Coordinators at: www.doleta.gov/business/Incentives/opptax/.

Headquarters Office:

Carmen Ortiz, 200 Constitution Ave, NW, Room S-4209, Washington, District of Columbia 20210 Email: ortiz.carmen@dol.gov Phone: (202) 693-2786 Fax: (202) 693-3587.

Website Address:

<http://www.doleta.gov/business/Incentives/opptax/>.

RELATED PROGRAMS:

10.551 Supplemental Nutrition Assistance Program; 27.002 Federal Employment Assistance for Veterans; 27.003 Federal Student Temporary Employment Program; 27.005 Federal Employment for Individuals With Disabilities; 64.116 Vocational Rehabilitation for Disabled Veterans; 93.558 Temporary Assistance for Needy Families

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.272 PERMANENT LABOR CERTIFICATION FOR FOREIGN WORKERS

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101 (a) (15) H (II), 214 (c) and 212 (a) (5) (A), Section 101, 212, 214, Public Law 82-414, 66 Stat. 163, 8 U.S.C 1101 et seq.

OBJECTIVES:

To protect the jobs of American workers; ensure the wages and working conditions of U.S. workers will not be adversely affected by the admission of permanent foreign workers; and assist employers by supplementing the work force with needed skills.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Under Section 212 (a)(5)(A) of the Immigration and Nationality Act, foreign workers who seek to immigrate to the United States for employment shall be excluded from admission unless the Secretary of Labor determines and certifies to the Secretary of State and to the Secretary of Homeland Security that there are not sufficient U.S. workers available for the position, and that the employment of such foreign workers will not adversely affect the wages and working conditions of U.S. workers similarly employed. The certified employer must hire the foreign worker as a full-time employee; there must be a bona fide job opening available to U.S. workers; job requirements must adhere to what is customarily required for the occupation in the U.S. and may not be tailored to the foreign worker's qualifications. In addition, the employer shall document that the job opportunity has been and is being described without unduly

restrictive job requirements, unless adequately documented as arising from business necessity. The employer must pay at least the prevailing wage for the occupation in the area of intended employment.

Applicant Eligibility:

(1) Any employer who is unable to find qualified U.S. workers to meet his or her needs and seeks to hire a foreign worker to fill a given job vacancy on a permanent basis is eligible to file an application for permanent labor certification with the Department of Labor. (2) An employer who seeks to employ a foreign worker whose category of employment is included in the Department of Labor, Schedule A list of precertified occupations contained in Part 656, Title 20, Code of Federal Regulations is eligible to file an application directly with the appropriate U.S. Citizenship and Immigration Services Office.

Beneficiary Eligibility:

Employers and foreign workers.

Credentials/Documentation:

Employers seeking labor certification, and thereafter filing an immigration petition to sponsor a foreign worker for employment-based permanent residency, must document that worker's education, experience, and job qualifications to the satisfaction of the U.S. Citizenship and Immigration Services. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Prior to filing the application for permanent employment certification, the employer must request a prevailing wage determination from the State Workforce Agency (SWA) having jurisdiction over the proposed area of intended employment and must attest, in addition to a number of other conditions of employment, to having conducted recruitment prior to filing the application. Commencing January 1, 2010, employers intending to file permanent labor certification applications must request prevailing wage determinations from the Employment and Training Administrations center located in Washington, DC. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employers must file labor certification applications for permanent employment directly with the appropriate National Processing Center (except for Schedule A occupations). See <http://workforcsecurity.doleta.gov/foreign/perm.asp> for additional details.

Award Procedure:

If the appropriate National Processing Center approves the application, the application form is "certified" (approved) by the Certifying Officer and returned to the employer/agent who submitted the application. The labor certification is then filed by the sponsoring employer in support of its immigrant worker petition with USCIS.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Timeframe for processing of permanent labor certification applications varies with the circumstances of each case.

Appeals:

The permanent labor certification program provides for reconsideration by a Certifying Officer of denial of an application, upon the employer's request. Instead of or following a request for reconsideration, requests for administrative review of final determinations (denials of permanent labor certification applications) may be made directly to the Department of Labor's Board of Alien Labor Certification Appeals (BALCA).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Employment and Training Administration issues formula grants to State Workforce Agencies for activities supporting federal labor certification programs. Grants are issued on a fiscal year basis. For purposes of the permanent program, state labor agencies currently receive funding for processing and analysis necessary to issue prevailing wage rates to employers planning to file permanent labor certification applications. Commenting January 1, 2010, employers must request prevailing wage rates directly from the ETA prevailing wage determination center located in Washington, DC. See the following for information on how assistance is awarded/released: Fiscal year basis.

Reports:

Activity reports and workload data. Cash reports are not applicable. Quarterly reports from states on progress of spending against the total grant. Quarterly reports from states on progress of spending against the total grant. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Selected audits are conducted by the Department of Labor.

Records:

The employer is required to retain all supporting documentation for five years from the date of filing the Application for Permanent Employment Certification.

Account Identification:

16-0179-0-1-999.

Obligations:

(Salaries) FY 09 \$26,000,000; FY 10 est \$27,000,000; FY 11 est \$25,000,000 - Funds for the Permanent Labor Certification Program are not appropriated separately from other foreign labor certification funds.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly Workforce System Results Website: <http://www.doleta.gov/performance/results/Reports.cfm?etaqr>. Fiscal Year 2010: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

References in the Code of Federal Regulations: For permanent employment-Title 20, CFR Part 656. Applicable statutes, regulations, and policies are described on the website of the Employment and Training Administration, Office of Foreign Labor Certification.

Regional or Local Office:

None. The following addresses, phone numbers, and FAX numbers should be used by employers and by State Workforce Agencies for either inquiries or the forwarding of applications materials, as appropriate. Please note, for all application materials, inquiries, and other correspondence sent to either the Atlanta or Chicago National Processing Center, envelopes should be clearly marked according to the appropriate program type. Atlanta Processing Center Address: Department of Labor, Employment and Training Administration, Harris Tower, 233 Peachtree Street, Suite 410 Atlanta, GA 30303, Telephone: (404) 893-0101 Fax: (404) 893-4642. Chicago Processing Center Address: Department of Labor, Employment and Training Administration, 844 North Rush Street, 12th Floor, Chicago, IL 60611 Telephone: (312) 886-8000 Fax: (312) 886-1688.

Headquarters Office:

Leticia Sierra, Office of Foreign Labor Certification, National Office, 200 Constitution Avenue, NW, Room C-4312, Washington, District of Columbia 20210 Email: sierra.leticia@dol.gov Phone: (202) 693-3010. Fax: (202) 693-2768.

Website Address:

<http://www.foreignlaborcert.doleta.gov/>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.273 Temporary Labor Certification for Foreign Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.273 TEMPORARY LABOR CERTIFICATION FOR FOREIGN WORKERS**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

Immigration and Nationality Act of 1952, as amended, Sections 101(a)(15)(H), 212(n), 214(c) and 214(i), Section 101, 212, 214, Public Law 82-414, 66 Stat. 163, 8 U.S.C 1101 et seq; Omnibus Insular Areas Act of 1991, Public Law 102-232, 105 Stat. 1733, 8 U.S.C 1182; Immigration Reform and Control Act of 1986, Public Law 99-603, 100 Stat. 3359, 8 U.S.C 1186; Immigration Act of 1990, Public Law 101-649, 104 Stat. 4978, 8 U.S.C 1182(n), 1184.

OBJECTIVES:

To provide greater protection for U.S. and foreign workers while assisting U.S. employers to obtain temporary foreign workers, when needed. Also, to enable agricultural and other employers to obtain foreign workers for temporary or seasonal jobs when domestic workers are not available; and to assure adequate working and living conditions for domestic and foreign workers employed in similar tasks.

TYPES OF ASSISTANCE:

Federal Employment; FORMULA GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The Department of Labor issues labor certifications for temporary employment under several programs: H-1B Specialty (Professional) Worker Program, H-1B1 Specialty Worker Program (professionals from Chile or Singapore working in specialty occupations), E-3 Specialty Worker Program (professionals from Australia working in specialty occupations), H-2A Temporary Labor Certification (Agricultural), H-2B Temporary Labor Certification (Non-Agricultural), and D-1 Crewmember Program.

Applicant Eligibility:

H-2A Program: An agricultural employer who anticipates a shortage of U.S. workers needed to perform agricultural labor or services of a temporary or seasonal nature. The employer may be an individual proprietorship, a partnership or a corporation. An association of agricultural producers may file as a sole employer, a joint employer with its members, or as an agent of its members. An authorized agent, whether an individual (e.g., and attorney) or an entity (e.g., an association), may file an application on behalf of an employer. Associations may file master applications on behalf of their members. H-2B Program: The job and the employer's need must be one time, seasonal, peak load or intermittent; the job must be for less than one year; and there must be no qualified and willing U.S. workers available for the job. An employer can file an application for labor certification with the appropriate National Processing Center of the Employment and Training Administration. State Workforce Agencies are federally funded to issue prevailing wage determinations for foreign labor certification programs.

Beneficiary Eligibility:

Grants support state activities to help employers recruit U.S. workers before seeking to hire a foreign worker. These foreign labor certification programs govern employers who have a need for workers in specialty occupations or as fashion models or in temporary agricultural or non-agricultural employment.

Credentials/Documentation:

In the major temporary labor certification programs, employers sponsoring foreign workers to fill a temporary need file the certification from the Department of Labor as documentation in support of their respective I-129 nonimmigrant worker petitions with the Department of Homeland Security,

United States Citizenship and Immigration Services (USCIS). In the H-1B1 and E-3 programs, a USCIS petition is not needed for initial visa issuance, and the foreign national may use proof of certification in support of the visa application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States and U.S. territories receive these to support federal foreign labor certification activities. They submit workplans each year to provide continued eligibility for the grant. Employers who participate in foreign labor certification programs do not receive funding from these grants, but do benefit from the services offered by these state agencies to recruit U.S. workers for positions before they apply to hire a foreign worker. Employers contact the appropriate office of the Employment and Training Administration. Also see <http://www.doleta.gov/business/gw/guestwkr/> for additional details on specific programs.

Award Procedure:

State workforce agencies receive notification of grant award after federal approval of their workplans. Employers must prove they qualify for foreign labor certification before they can move to the next step of the employment-based immigration process. Certifications are sent to the employer in support of the petition to be filed with the U.S. Citizenship and Immigration Services which determines admissibility and Visa classification. Certified copies of accepted attestations for the D-1 Crewmember program are returned to the employer and the USCIS is notified of the filing in writing. Grants: State Workforce Agencies receive funds upon acceptance of their work plans.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

H-1B: From one to seven days. H-2A: Statute requires an initial acceptance by ETA within seven days of filing, and a final determination 30 days prior to the employer's date of need. 8 U.S.C. Section 1188(c).

Appeals:

There is no procedure for appealing Employment and Training Administration determination to accept or reject a Labor Condition Application. Complaints regarding misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division, Employment Standards Administration, Department of Labor.

Renewals:

Treated as filing of new labor condition applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Fiscal year basis. See the following for information on how assistance is awarded/released: ETA issues formula grants to State Workforce Agencies to fund activities supporting federal labor certification programs.

Reports:

Activity and workload reports. Cash reports are not applicable. States file quarterly financial reports to report on spending against the total grant. Grants: Quarterly financial reports are required. States file quarterly financial reports to report on spending against the total grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. States are regularly monitored for compliance with grant requirements. Employer has to make a filed labor condition application and necessary supporting documentation available for public examination at the employer's principal place of business in the United States, or at the place of employment within one working day after the date on which the labor condition application is filed with the Employment and Training Administration. Complaints concerning misrepresentation in the attestation or failure of the employer to carry out the terms of the attestation may be filed with the Wage and Hour Division, Employment Standards Administration, Department of Labor. The Administrator, Wage and Hour Division, performs the entire Secretary's investigative and enforcement functions under 8 U.S.C. 1182(n) and subparts I and K of 29 C.F.R. Part 504.

Records:

Program-related records, including financial records. Employer shall make the Labor Condition Application (LCA) and necessary supporting documentation available for public examination at the employer's principal place of business in the United States or at the place of employment within one working day after the date on which the LCA is filed with Employment and Training Administration.

Account Identification:

16-0179-0-1-999.

Obligations:

(Formula Grants) FY 09 \$15,000,000; FY 10 est \$15,000,000; FY 11 est \$15,000,000 - Congressional funding for temporary labor certification programs is not appropriated separately from funds for other federal labor certification activities. (Provision of Specialized Services) FY 09 \$26,000,000; FY 10 est \$27,000,000; FY 11 est \$25,000,000 - Congressional funding for temporary labor certification programs is not appropriated separately from funds for other federal labor certification activities.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Quarterly Workforce System Results Website: <http://www.doleta.gov/performance/results/Reports.cfm?#etaqr>. Fiscal Year 2010: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Budget Performance Information is available at www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Further information concerning the program may be found at 20 CFR 655. Additional information on applicable statutes, regulations, and Departmental guidance is posted on the website of the Employment and Training Administration, Office of Foreign Labor Certification.

Regional or Local Office:

None. Contact the appropriate State Workforce Agency (See Appendix IV) or Employment and Training Administration National Processing Center. The following addresses, phone numbers, and FAX numbers should be used by employers and by State Workforce Agencies for either inquiries or the forwarding of applications materials, as appropriate. Please note, for all application materials, inquiries, and other correspondence sent to either the Atlanta or Chicago National Processing Center, or the ETA National Office, envelopes should be clearly marked according to the appropriate program type, i.e. H-1B, H-1B1, E-3, H-2A, H-2B, or D-1. Atlanta Processing Center Address: U.S. Department of Labor, Employment and Training Administration, Harris Tower, 233 Peachtree Street, Suite 410, Atlanta, GA 30303 Phone: (404) 893-0101 FAX: (404) 893-4642. Chicago Processing Center Address: U.S. Department of Labor, Employment and Training Administration, 844 North Rush Street, 12th Floor, Chicago, IL 60611 Phone: (312) 886-8000 FAX: (312) 886-1688.

Headquarters Office:

Leticia Sierra, Office of Foreign Labor Certification, Employment and Training Administration Department of Labor, 200 Constitution Avenue, NW, Room

C-4312, Washington, District of Columbia 20010 Email: sierra.leticia@dol.gov Phone: (202) 693-3010.

Website Address:

<http://www.foreignlaborcert.doleta.gov/>.

RELATED PROGRAMS:

17.272 Permanent Labor Certification for Foreign Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.274 YOUTHBUILD

YouthBuild

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act (WIA) of 1998, Title I, Subtitle D, Section 173A, YouthBuild Program, Public Law 109-28. Public Law 105-220, 20 U.S.C. 9201. , Section 2, Public Law 111-5, 115 Stat. 59, VIII U.S.C 2.

OBJECTIVES:

Grant funds will be used to provide disadvantaged youth with: the education and employment skills necessary to achieve economic self sufficiency in occupations in high demand and post secondary education and training opportunities; opportunities for meaningful work and service to their communities; and opportunities to develop employment and leadership skills and a commitment to community development among youth in low-income communities. As part of their programming, YouthBuild grantees will tap the energies and talents of disadvantaged youth to increase the supply of permanent affordable housing for homeless individuals and low-income families and to assist youth develop the leadership, learning, and high-demand occupational skills needed to succeed in today's global economy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds must be used for: (1) Education and workforce activities, such as: basic skills instruction and remedial education; language instruction educational programs for individuals with limited English proficiency; secondary education services and activities, including tutoring, study skills training, and dropout prevention activities, designed to lead to the attainment of a secondary school diploma, General Education Development (GED) credential, or other state-recognized equivalent (including recognized alternative standards for individuals with disabilities); counseling and assistance in obtaining post secondary education and required financial aid; alternative secondary school services; work experience and skills training (coordinated, to the maximum extent feasible, with pre-apprenticeship and registered apprenticeship programs) in housing rehabilitation and construction activities; occupational skills training; and other paid and unpaid work experiences, including internships and job shadowing. (2) Counseling services and related activities, such as comprehensive guidance and counseling on drug and alcohol abuse and referral; (3) Youth development activities, such as: community service and peer-centered activities encouraging responsibility and other positive social behaviors, and activities related to youth policy committees that allow YouthBuild participants to engage in local policy and decision-making related to the program. (4) Supportive services and provision of need-based stipends necessary to enable individuals to participate in the program. (5) Supportive services to assist individuals, for a period not to exceed 12 months after the completion of training, in obtaining or retaining employment, or applying for and transitioning to post secondary education; and job search and assistance. (6) Supervision and training for participants in the rehabilitation or construction of housing, including residential housing for homeless individuals or low-income families, or transitional housing for homeless individuals. (7) Supervision and training for participants in the rehabilitation or construction of community and other public facilities. (8) Payment of a portion of the administrative costs of the grantee. (9) Mentoring of participants by qualified

adults (10) Provision of wages, stipends, or benefits to participants in the program. (11) Ongoing training and technical assistance for staff that are related to developing and carrying out the program. (12) Follow-up services. (13) Equipment and/or supplies related to the YouthBuild activities funded through this grant. Funds must be used to provide prescribed education and workforce activities for eligible youth, including construction of housing, including residential housing for homeless individuals or low income families, or transitional housing for homeless individuals. Up to ten percent of the funds may be used in the rehabilitation or construction of a community or other public facilities. One hundred percent of funds are distributed through a competitive grant process.

Applicant Eligibility:

Eligible applicants for these grants are public or private nonprofit agency or organization (including a consortium of such agencies or organizations), including: community-based organizations; faith-based organizations; an entity carrying out activities under this WIA, such as a local workforce investment board or One-Stop Career Center; a community action agency; a state or local housing development agency; an Indian tribe or other agency primarily serving Indians; a community development corporation; a state or local youth service conservation corps; or any other relevant public or private nonprofit entity that provides education or employment training and can meet the required elements of the grant.

Beneficiary Eligibility:

An eligible youth is an individual who is (1) between the ages of 16 and 24 on the date of enrollment; and (2) a member of a disadvantaged youth population such as a member of a low-income family, a youth in foster care (including youth aging out of foster care), a youth offender, a youth who is an individual with a disability, a child of an incarcerated parent, or a migrant youth; and (3) an individual who has dropped out of high school and re-enrolled in an alternative school, if that re-enrollment is part of a sequential service strategy. Up to (but not more than) 25 percent of the participants in the program may be youth who do not meet the education and disadvantaged criteria above but who are: (1) basic skills deficient, despite attainment of a secondary school diploma, General Education Development (GED) credential, or other State-recognized equivalent (including recognized alternative standards for individuals with disabilities); or (2) have been referred by a local secondary school for participation in a YouthBuild program leading to the attainment of a secondary school diploma.

Credentials/Documentation:

Grantees must demonstrate adequate organizational capacity to implement a YouthBuild program. Additionally, grantees must provide evidence of the capacity to track and report performance outcomes. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for Federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.dol.gov. If an application is rejected, a letter is sent to the applicant as

notification that they were not selected as a recipient of the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last 2 to 3 years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

A quarterly narrative report must be submitted within 45 days following the quarter. Cash reports are not applicable. The quarterly performance report must be submitted within 45 days following the quarter. A quarterly financial report is submitted within 45 days following the quarter. Regional Federal Project Offices conduct monitoring using risk assessments, desk audits, and on-site monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment, unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504; 16-0184-0-1-504 - ARRA.

Obligations:

(Project Grants) FY 09 \$48,000,000; FY 10 est \$2,000,000; FY 11 est \$0.
(Project Grants) FY 09 \$115,000,000; FY 10 est \$91,000,000; FY 11 est \$117,000,000

Range and Average of Financial Assistance:

Grants ranged from \$ 700,000 to \$1.1 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009:
<http://www.doleta.gov/performance/results/Reports.cfm?#etaqr>. Fiscal Year 2010: Once finalized, performance budgets are posted annually and are available on the Internet at:
www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Once finalized, performance budgets are posted annually and are available on the Internet at:
www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Application.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Anne Stom, 200 Constitution Avenue, NW, Room N-4508, Washington, District of Columbia 20210 Email: stom.anne@dol.gov Phone: (202) 693-3377 Fax: (202) 693-3861.

Website Address:

<http://www.doleta.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.275 PROGRAM OF COMPETITIVE GRANTS FOR WORKER TRAINING AND PLACEMENT IN HIGH GROWTH AND EMERGING INDUSTRY SECTORS**FEDERAL AGENCY:**

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Title VIII, Public Law 111-5.

OBJECTIVES:

The American Recovery and Reinvestment Act of 2009 (ARRA) is intended to preserve and create jobs and promote economic recovery; assist those most impacted by the recession; provide investments needed to increase economic efficiency by spurring technological advances in science and health; and invest in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits.

The ARRA makes \$750,000,000 available for a program of competitive grants for worker training and placement in high growth and emerging industry sectors.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Competitive grants awarded through this program are to be used for worker training and placement in high-growth and emerging industry sectors: \$500 million shall be for research, labor exchange and job training projects that prepare workers for careers in energy efficiency and renewable energy; and the Recovery Act further provided that in awarding grants for the remaining \$250 million, projects that prepare workers for careers in the health care sector would receive priority. Restrictions are specified in Solicitation for Grant Announcements. TBD.

Applicant Eligibility:

Varies - please refer to the Solicitations for Grant Awards for specific eligibility.

Beneficiary Eligibility:

Varies - please refer to the Solicitations for Grant Awards for specific eligibility.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Application (SGAs) in the Federal Register, on Grants.gov, and also on ETA's Internet Web site at www.doleta.gov.

Award Procedure:

Procedures for each grant award are specified in the applicable request for grant applicants. Generally, the procedure is as follows: A technical review panel composed of Department of Labor staff as well as other peer reviewers evaluates the grant applications from eligible applicants. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a grant recipient.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Please check the Federal Register or Grants.gov for deadlines.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Applications.

Renewals:

Renewals of funds are generally not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing or matching funds are not required as a condition for application unless specified in the SGA. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of performance will be from one to three years, as specified in the SGA. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. At a minimum, quarterly progress and financial reports and final reports are required. Additional requirements are included in the solicitation. Regional Federal Project Officers conduct performance monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs of whatever nature claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0184-0-1-504 - ARRA.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$743,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Applicants should refer to individual SGAs to determine the range of awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specified in applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Thomas Hooper, Business Relations Group, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Ave., NW., Room N-4643, Washington, District of Columbia 20210 Email: hooper.thomas@dol.gov Phone: (202) 693-3949. Fax: (202) 693-3865.

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Applications.

17.276 HEALTH COVERAGE TAX CREDIT (HCTC)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Trade Act of 1974 (Public Law 93-618), as amended; Trade Adjustment Assistance (TAA) Reform Act of 2002 (Public Law 107-210); Trade and Globalization Assistance Act of 2009 (Public Law 111-5); Workforce Investment Act Section 173(g)(29 U.S.C. 2918), Public Law 111-5.

OBJECTIVES:

To provide health insurance coverage assistance and support related services to eligible TAA-impacted workers and other eligible individuals, as authorized under the Trade Adjustment Assistance Reform Act of 2002 and the expansion of the program in the Trade and Globalization Assistance Act of 2009.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

HCTC is a tax credit administered by the Internal Revenue Service. The tax credit is equal to 80 percent of the amount paid by an eligible individual for qualified health coverage as specified in section 35 of the Internal Revenue Code. Monthly payments are made directly to a health plan administrator on behalf of participants, allowing them to receive the benefit of the tax credit at the time of need.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

TAA certification. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HCTC applications are submitted electronically through the National Emergency Grant Electronic Application System. States are required to complete and submit the following OMB approved forms:

Completed and signed SF 424 Application for Federal Assistance. This form is the required application for requesting federal funds.

Project Synopsis (ETA 9106) - This form summarizes key aspects of the proposed project such as project type, planned number of participants and contact information.

Planning Form (ETA 9103) This form provides cumulative quarterly estimates on project scope (e.g. number of participants, exits), designs (e.g. mix of services), and budget (e.g., cost by type of activity, administrative costs)

Narrative Statement Explanation must be provided in cases where one or more of the following are reflected in the project plan:

Indirect costs are included in the budget, which requires identifying the following: cognizant approval agency, approved cost rate and base, and date of approval.

Other costs are included in the budget, which requires identifying the specific cost items and amounts

Administrative costs related to processing payments for qualified health insurance coverage and/or income support are included in the budget, which requires explaining how the administrative cost estimate was derived (i.e. based on the number of check payments and check processing costs)

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, are made within 30 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

HCTC grants are awarded on a rolling basis. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. quarterly performance reports. HCTC Infrastructure reports outline the administrative costs of and the premium payments processed. HCTC gap filler reports outline the number of participants receiving payments and the amount of payments issued. A separate quarterly financial report (9130) is also submitted. NEG quarterly performance report (ETA 9104) is submitted through the NEG Electronic Application System on actual performance to date. NEG Quarterly Performance report (ETA 9104) is submitted through the NEG Electronic System on actual performance to date.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State requirements are to be in conformity with Federal statutes.

Account Identification:

16-0184-0-1-504 - ARRA.

Obligations:

(Project Grants) FY 09 \$2,000,000; FY 10 est \$28,000,000; FY 11 est \$15,000,000

Range and Average of Financial Assistance:

\$12,702 to \$12,566,852. Average: \$823,438.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Training and Employment Guidance Letter (TEGL) 25-09, which "provides guidance and direction regarding the availability of Health Coverage Tax Credit (HCTC) National Emergency Grant (NEG) resources for health insurance coverage assistance and support services for eligible Trade Adjustment Assistance (TAA) recipients and other eligible individuals, as authorized under the Workforce Investment Act of 1998, and to inform potential applicants and current grantees of changes made to the program by the TAA Health Coverage Improvement Act of 2009."

Regional or Local Office:

See Regional Agency Offices. Employment and Training Administration regional offices.

Headquarters Office:

Erica R. Cantor, Francis Perkins Building, Room C-5321, Washington, District of Columbia 20210 Email: cantor.eric@dol.gov Phone: (202) 693-3500 Fax: (202) 693-3149.

Website Address:

<http://www.doleta.gov/neg/>

RELATED PROGRAMS:

17.245 Trade Adjustment Assistance; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.277 WORKFORCE INVESTMENT ACT (WIA) NATIONAL EMERGENCY GRANTS

National Emergency Grants (NEGs)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle B, Chapter 5, Title I, Public Law 105-220, 20 U.S.C 9201.

OBJECTIVES:

The purpose of the National Emergency Grant program is to temporarily expand service capacity at the state and local levels by providing time-limited funding assistance in response to significant dislocation events. Significant events are those that create a sudden need for assistance that cannot reasonably be expected to be accommodated within the on-going operations of the formula-funded Dislocated Worker program, including the discretionary resources reserved at the state level.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible circumstances for funding include: Federal Emergency Management Agency (FEMA)-declared disasters; plant closures; mass layoffs affecting 50 or more workers; and special assistance, including health insurance coverage assistance to trade impacted workers and other individuals eligible under the Trade and Globalization Adjustment Assistance Act of 2009.

Applicant Eligibility:

Entities that are eligible to receive a National Emergency Grant include: designated state Workforce Investment Act (WIA) program grantee agencies, a Local Workforce Investment Area, a consortium of local boards for adjoining local areas, a designated organization receiving WIA funding through the Native American Program provisions of WIA, and a consortium of states.

Beneficiary Eligibility:

Individuals who are eligible for assistance vary by type of National Emergency Grant project; however, they must meet the criteria provided in the Workforce Investment Act: National Emergency Grants - Application Procedures, 69 Federal Register 23052 at 23057 (April 27, 2004).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications for NEGs are submitted via the NEG Electronic Application System.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds, and the terms and conditions of the grant based on the information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. A decision on an initial application and monetary grant modifications such as requests for incremental funding, are made within 30 working days from receipt of a complete and responsive request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998, Public Law PL 105-220.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications for NEG funds can be funded in whole or in part. Generally, NEGs are funded incrementally. Awarded NEG funds may be expended during the months remaining in the Program Year in which the grant award is made plus the subsequent two program years. Generally, planned project durations should not exceed 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are submitted annually. No cash reports are required. Progress Reports and Workforce Investment Act Performance Reports are submitted quarterly. Financial reports are submitted quarterly. Performance monitoring occurs on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$103,000,000; FY 11 est \$191,000,000 - (see 17.260 for actual obligations for 2009).

Range and Average of Financial Assistance:

Grant amounts awarded have ranged from \$149,000 to \$22,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See 17.260. Fiscal Year 2010: See DOL Budgets located at <http://www.dol.gov/dol/aboutdol/main.htm#budget>. Fiscal Year 2011: See DOL Budgets located at <http://www.dol.gov/dol/aboutdol/main.htm#budget>.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Federal Register of August 2000.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Erica R. Cantor, 200 Constitution Ave. NW, Room C-5321, Washington, District of Columbia 20210 Email: cantor.eric@dol.gov Phone: (202) 693-3500 Fax: (202) 693-3149.

Website Address:

<http://www.doleta.gov/neg>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.259 WIA Youth Activities; 17.260 WIA Dislocated Workers; 17.276 Health Coverage Tax Credit (HCTC)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.278 WIA DISLOCATED WORKER FORMULA GRANTS

(WIA Dislocated Workers)

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

The American Recovery and Reinvestment Act of 2009, Title VIII, Public Law 111-5; Workforce Investment Act of 1998, Title I, Subtitle B, Chapter 5, Title I, Public Law 105-220, 20 U.S.C 9201.

OBJECTIVES:

To reemploy dislocated workers, improve the quality of the workforce, and enhance the productivity and competitiveness of the nation's economy by providing workforce investment activities that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by the participants. This program is designed to increase employment, as measured by entry into unsubsidized employment, retention in unsubsidized employment after entry into employment, and extent of recovery of prior earnings. The program intends to increase credential attainment among displaced workers and help employers meet their workforce needs. The employment goals will be measured using Unemployment Insurance Wage Records systems and customer satisfaction goals will be measured by sampling.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Act authorizes three levels of service, which are available to all job seekers. "Core" services include outreach, job search and placement assistance, and labor market information, and are available to all jobseekers. "Intensive" services include more comprehensive assessments, development of individual employment plans and counseling, and career planning, and are available to those who could not find employment through core services. Those customers who cannot find employment through intensive services may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "individual training account" to select an appropriate training program from an eligible training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program. Funds reserved by the Secretary are also used for technical assistance and training and demonstration programs. Funds must be used in accordance with the statute and regulations.

Applicant Eligibility:

Under WIA, the organizations eligible to receive formula-based funding from the Department are the 50 states, Puerto Rico, The District of Columbia and the outlying areas. Funds are allotted based on a statutory formula and states, in turn, allocate funds to local workforce investment boards (approximately 600), by formula prescribed by the governor.

Beneficiary Eligibility:

Individuals eligible for assistance through the applicants receiving the funds include workers who have lost their jobs, including those dislocated as a result of plant closings or mass layoffs, and are unlikely to return to their previous industry or occupation; formerly self-employed individuals; and displaced homemakers who have dependent on income of another family member, but are no longer supported by that income.

Credentials/Documentation:

Formula-funded programs are subject to governor/Secretary Agreement and State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The governor submits a single Strategic State Plan in accordance with one of the Planning guidance, either Stand-Alone or Unified, issued through the Federal Register. The planning guidance provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval, currently Janet Sten, Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210.

Award Procedure:

Formula Funds: Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the state plan is inconsistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the state plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Workforce Investment Act of 1998 , Public Law 105-220.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Formula funds are allotted to states under a statutory formula based on the distribution of unemployed individuals by state in three categories of unemployment. There are no matching requirements. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are submitted annually. No cash reports are required. No progress reports are required. Quarterly financial reports are required in accordance with 20 CFR 667.300. Regional Federal Project Officers conduct monitoring using risk assessments, desk reviews, and on-site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$1,175,000,000; FY 11 est \$1,187,000,000 - See 17.260 for actual obligations for 2009.

Range and Average of Financial Assistance:

Formula fund grants ranged from \$ 876,713 to \$ 192,413,016.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Performance information is published at the following websites:

http://www.doleta.gov/Performance/eta_default.cfm and

<http://www.doleta.gov/performance/results/Reports.cfm?#etaqr>. Fiscal Year

2010: Once finalized, Department of Labor budgets are posted on the Internet and are available at:

www.dol.gov/dol/aboutdol/main.htm#budget. Fiscal Year 2011: Once finalized,

Department of Labor budgets are posted on the Internet and are available at:

www.dol.gov/dol/aboutdol/main.htm#budget.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Federal Register of August 2000.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate Regional Employment and

Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Grace Kilbane, 200 Constitution Ave NW, Washington , District of Columbia 20210 Email: NO-OWI.Official@dol.gov Phone: (202) 693-3980 Fax: (202) 693-3981.

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258 WIA Adult Program; 17.259 WIA Youth Activities; 17.260 WIA Dislocated Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.279 GREEN JOBS INNOVATION FUND GRANTS

Green Jobs Innovation Fund Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Title 1, Section 171, Public Law 105-220, 1 U.S.C 171.

OBJECTIVES:

The Green Jobs Innovation Fund is part of the Departments vision for ensuring that workers have the necessary skills, knowledge, and abilities to succeed in a knowledge-based economy that includes high growth and emerging green industries. Green industries include the energy efficiency and renewable energy industries as well as sectors that impact our environment such as transportation, green construction, environmental protection, sustainable agriculture, forestry, and recycling and waste reduction. The Green Jobs Innovation Fund will allow ETA to build upon the lessons learned from the green job investments provided under the American Recovery and Reinvestment Act. Through competitive grant opportunities, the Fund will help workers receive job training in green industry sectors and occupations and access green career pathways.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The funds must be used to train workers for careers in green industry sectors and occupations and help them access green career pathways. Specific rules and requirements are published in each Solicitation for Grant Application. Approximately one hundred percent of available funds are used for discretionary activities. Grant opportunities are published in the Federal Register. See Applicant Eligibility Requirements. 100%.

Applicant Eligibility:

See above.

Beneficiary Eligibility:

The scope of potential trainees is very broad. Specific requirements are published in each Solicitation for Grant Application. WIA Section 171 authorizes demonstration programs to serve dislocated workers, incumbent workers, and new entrants to the workforce. This authorization supports a broad range of training for a variety of populations, including: incumbent workers who need new skills for jobs in demand up the career ladder or because the skill needs for their current job have changed; labor pools such as immigrant workers, individuals with disabilities, veterans, older workers, etc; or entry level workers who need basic skills and/or specific occupational skill training.

Credentials/Documentation:

Grantees must demonstrate an adequate organizational capacity, both fiscally and programmatically, including a project management structure. Additionally, grantees must provide evidence of the use of data systems to track outcomes in a timely and accurate manner. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the SGA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling process is complete, generally 90 to 120 days after the application deadline.

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Application.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last 1 to 3 years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$23,000,000; FY 11 est \$65,000,000

Range and Average of Financial Assistance:

Grants typically range from \$500,000 to \$2 million per award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer W. Troke, Department of Labor, 200 Constitution Ave. NW, N-4643, Washington, District of Columbia 20210 Email: green.jobs@dol.gov Phone: (202) 693-3949 Fax: (202) 693-3890.

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.280 WORKFORCE INVESTMENT ACT (WIA) DISLOCATED WORKER NATIONAL RESERVE DEMONSTRATION GRANTS FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act, Title 1, Part D, Section 171(d), Public Law 105-220, 29 U.S.C 2916.

OBJECTIVES:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

To carry out demonstration and pilot projects for the purpose of developing and implementing techniques and approaches, and demonstrating the effectiveness of specialized methods, in addressing employment and training needs of Dislocated Workers. Grants awarded for carrying out demonstration and pilot projects under this subsection shall be awarded only on a competitive basis, except that non competitive award may be in the case of a project that is funded jointly with other public or private sector entities that provide a portion of the funding for the project. Funding for all demonstration projects is awarded competitively, except those funded jointly with other public or private sector entities.

Applicant Eligibility:

State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Project participants are dislocated workers and incumbent workers.

Credentials/Documentation:

Non-governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grants for projects are awarded on a competitive basis, announced in Solicitations for Grant Applications (SGA) in the Federal Register and also on ETA's Internet website <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable SGA. Generally, the procedure is as follows: A technical review panel composed of staff from ETA program offices as well as peer reviewers evaluate eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of the application and the cumulative rating. Once selections are made by the Grant Officer, an award notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA Web site at www.doleta.gov. If an application is rejected, a letter is sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Procedures for each project are specified in the applicable SGA.

Renewals:

Project extensions available upon approval by the Grant Officer (renewals are not automatic). Requests for extensions or renewals must be submitted in writing.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last 1 to 2 years. See the following for information on how assistance is awarded/released: Program reports are required for accountability purposes.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accountability purposes. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations. Monthly progress reports are required for contracts/task orders. Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants (Contracts)) FY 09 \$4,000,000; FY 10 est \$15,000,000; FY 11 est \$17,000,000 - A maximum of ten percent of the WIA Dislocated Worker National Reserve may be used for Demonstration and Pilot projects.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of SGAs are published periodically in the Federal Register on the ETA website at www.doleta.gov

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Employment and Training Administration Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Crystal Antiri, 200 Constitution Avenue, NW, Room C5311, Washington, District of Columbia 20210 Email: antiri.crystal@dol.gov Phone: (202) 693-3512.

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable SGA.

17.281 WORKFORCE INVESTMENT ACT (WIA) DISLOCATED WORKER NATIONAL RESERVE TECHNICAL ASSISTANCE AND TRAINING

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Workforce Investment Act, Title 1, Part D, Section 170, Public Law 105-220, 29 U.S.C 2915.

OBJECTIVES:

To support the coordination, development, and provision of appropriate training, technical assistance, staff development, and other activities, including assistance in replicating programs of demonstrated effectiveness to States, local areas, and other entities involved in providing assistance to dislocated workers as well as promoting the continuous improvement of assistance provided to dislocated workers under the Workforce Investment Act of 1998.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use (Cooperative Agreements); Project Grants

USES AND USE RESTRICTIONS:

Grants awarded to entities other than States or local units of government that are for amounts in excess of \$100,000 shall only be awarded on a competitive basis.

Of the amounts available pursuant to WIA Section 132(a)(2), The National Reserve Account, the Secretary shall reserve not more than 5 percent of such amounts to provide technical assistance to States, local areas and other entities involved in providing reemployment services to dislocated workers, to promote the continuous improvement of assistance provided to dislocated workers, under this title.

Applicant Eligibility:

State and local governments, Federal agencies, private non-profit and for profit organizations, including faith-based and community-based organizations, and educational institutions. Note: Applicant eligibility may be restricted to one or more applicant classes under the particular announcement or solicitation.

Beneficiary Eligibility:

Funds are used to promote the continuous improvement of assistance provided to dislocated workers.

Credentials/Documentation:

Non-Governmental entities ordinarily must furnish documentary evidence of adequate financial controls. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants submit application to US Department of Labor, Employment and Training Administration.

Award Procedure:

The Grant Officer issues a Notice of Obligation to the grantee providing the dollar amount, purpose of the funds and the terms and conditions of the grant, based on information provided in the application.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required for accountability purposes. Cash reports are required for accountability purposes. Quarterly progress reports on all grants are a mandatory requirement of all solicitations. Quarterly progress reports on all grants awarded are a mandatory requirement of all solicitations.

Performance monitoring is done by the Contracting Officer's or Grant Officer's Technical Representative in accordance with Federal Acquisition or Department of Labor Management Series Guidelines.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorized earlier disposal.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants) FY 09 \$7,000,000; FY 10 est \$7,000,000; FY 11 est \$6,000,000 - Grants and cooperative agreements. Contracts are excluded.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Crystal Antiri, 200 Constitution Avenue, NW, Room C5311, Washington, District of Columbia 20210 Email: antiri.crystal@dol.gov Phone: (202) 693-3512.

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.282 TRADE ADJUSTMENT ASSISTANCE COMMUNITY COLLEGE AND CAREER TRAINING (TAACCCT) GRANTS

Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants

FEDERAL AGENCY:

Employment Training Administration, Department of Labor

AUTHORIZATION:

Trade Act of 1974 as amended by the American Recovery and Reinvestment Act of 2009, Public Law 111-5, 19 U.S.C 2372a(b); Health Care and Education Reconciliation Act of 2010, Public Law 111-152.

OBJECTIVES:

The Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants Program will help community colleges and other institutions develop, offer, or improve education and career training programs suitable for workers who are eligible for Trade Adjustment Assistance. The focus will be on programs that can be completed in less than 2 years. With these resources, institutions of higher education across the country will expand their capacity to provide training for individuals to obtain employment in good jobs where they are likely to remain employed and earn family-sustaining wages.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Health Care and Education Reconciliation Act includes \$2 billion over four

years for the Trade Adjustment Assistance Community College and Career Training program. These resources will help community colleges and other institutions develop, improve, and provide education and training, suitable for workers who are eligible for trade adjustment assistance. The initiative will be housed at the Department of Labor and implemented in close cooperation with the Department of Education. Specific rules and requirements are published in the Solicitation for Grant Application. Approximately one hundred percent of available funds are used for discretionary activities. Grant opportunities are published in the Federal Register. Eligible applicants are institutions of higher education as defined in Section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002), but only with respect to a program offered by the institution that can be completed in not more than 2 years. Please refer to the Solicitation for Grant Application for specific eligibility. 100%.

Applicant Eligibility:

See Uses Section Above.

Beneficiary Eligibility:

See Information Above.

Credentials/Documentation:

Grantees must demonstrate an educational capacity need in their community and the impact that the new capacity will have on training workers in that community. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Section 278 of the Trade Act of 1974 as amended by the Health Care and Education Reconciliation Act of 2010 requires community outreach to employers and other entities such as the workforce system to identify shortcomings in existing educational and career training opportunities available to workers in the community and any future employment opportunities within the community. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circulars No. A-21, A-110, A-122, A-133 apply to this program. Grants for projects are awarded on a competitive basis, announced in Solicitation for Grant Applications (SGAs) in the Federal Register and also on ETA's Internet Web site at <http://www.doleta.gov>. To compete for a grant, organizations develop a proposal and budget that demonstrate how the organization will provide services to a targeted population. The SGA provides all of the necessary information for applying for federal assistance.

Award Procedure:

Procedures for each project are specified in the applicable request for proposals. Generally, the procedure is as follows: A technical review panel composed of federal staff and peer reviewers evaluates eligible submitted applications. The panel prepares a report for the ETA Grant Officer identifying the strengths and weaknesses of each application and the cumulative rating. Selections are made based on the best rated proposals, as well as other factors as cited in the SGA. Once selections are made by the Grant Officer, an Award Notification is sent through the appropriate congressional channels for notification. After awardees are notified, the list of awardees is posted on the ETA website at www.doleta.gov. If an application is rejected, a letter is sent to the applicant as notification that they were not selected as a recipient of the grant.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. ETA announces grant recipients once the paneling process is complete (see above).

Appeals:

Procedures for each project are specified in the applicable Solicitation for Grant Application.

Renewals:

Renewals with funds are not available. However, with significant justification ETA may elect to exercise its option to award no-cost extensions to these grants for an additional period at its own discretion, based on the success of the

program and other relevant factors.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Most projects last 1 to 3 years. Payments to grantees are usually made by Letter of Credit draw-down procedures. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required within 45 days following the end of the quarter. Quarterly financial reports are required within 45 days following the end of the quarter. Final progress reports are required no later than 90 days after all grant funds have been expended, or the period of grant funds availability has expired. Regional Federal Project Officers conduct monitoring using risk assessments, desk monitoring, and on-site reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are required to maintain books, records, documents, and other evidence of accounting procedures and practices sufficient to reflect properly all direct and indirect costs claimed to have been incurred for the performance of the grant. Records are to be retained for three years from the date of final payment unless the grant officer authorizes earlier disposal.

Account Identification:

16-0187-0-2-504.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$0; FY 11 est \$500,000,000

Range and Average of Financial Assistance:

We expect grant awards to be no lower than \$2.5 million per award. Please see Solicitation for Grant Application for details.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Provided in the applicable Solicitation for Grant Applications.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact the nearest Employment and Training Administration regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Jennifer W. Troke, Department of Labor, 200 Constitution Ave. NW, N-4643, Washington, District of Columbia 20210 Email: taacct@dol.gov Phone: (202) 693-3949 Fax: (202) 693-3890.

Website Address:

<http://www.doleta.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for each proposal are specified in the applicable Solicitation for Grant Application.

17.301 NON-DISCRIMINATION AND AFFIRMATIVE ACTION BY FEDERAL CONTRACTORS AND FEDERALLY ASSISTED CONSTRUCTION CONTRACTORS

Office of Federal Contract Compliance Programs (OFCCP)

FEDERAL AGENCY:

Office of Federal Contract Compliance Programs, Department of Labor

AUTHORIZATION:

Executive Order 11246, as amended, September 24, 1965; Executive Order 12086, October 5, 1978; Americans with Disabilities Act of 1990, as amended, Title I, Public Law 101-336; Executive Order 11375, October 13, 1967; Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, Public Law 93-508; Veterans Codification Act of 1991, as amended, Section 5(a), Public Law 102-83, 38 U.S.C 4212; Rehabilitation Act of 1973, as amended, Section 503, Public Law 93-112; American Recovery and Reinvestment Act of 2009, Public Law 111-5; Immigration Reform and Control Act of 1986, Public Law 99-603, 8 U.S.C 1101.

OBJECTIVES:

To assure non-discrimination and affirmative action in employment by covered Federal contractors, including Federal construction contractors, and federally assisted construction contractors.

TYPES OF ASSISTANCE:

Investigation of Complaints

USES AND USE RESTRICTIONS:

Setting policy, monitoring and evaluating the compliance of Federal contractors and federally assisted construction contractors with their obligation to ensure non-discrimination and affirmative action in employment without regard to race, religion, color, sex, or national origin; ensuring non-discrimination and affirmative action in employment for individuals with disabilities and covered veterans, i.e., with disabled veterans, Armed Forces service medal veterans, recently separated veterans, and other protected veterans. (Note: The terms "subcontract" and "subcontractor" are subsumed under the terms "contract" and "contractor", respectively.)

Applicant Eligibility:

Complaints against Federal contractors and federally assisted construction contractors which allege employment discrimination on the basis of race, sex, religion or national origin, may be filed with OFCCP. In addition, any employee, former employee, or applicant for employment with a Government contractor who believes he or she has been the subject of discrimination on the basis of disability or covered veteran status may file a complaint of discrimination. Program benefits are available to applicants and employees of Federal contractors performing work in the United States; including the District of Columbia, the Commonwealth of Puerto Rico, the Panama Canal Zone, and the possessions of the United States (Virgin Islands, Guam, American Samoa, Trust Territories of the Pacific). Applicants and employees who were recruited in the United States by a Federal contractor to perform work outside of the United States are also covered.

Beneficiary Eligibility:

Employees, former employees, or applicants with a Government contractor or federally assisted construction contractor, including construction sub-contractors.

Credentials/Documentation:

None for Executive Order or Section 503 regulations; Veterans need DD 214 (discharge); Disabled veterans need evidence of qualifying disability. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Any person seeking information or wishing to file a complaint may contact the nearest Office of Federal Contract Compliance Programs. Complaints are forwarded to the appropriate OFCCP or EEOC office for processing.

Complainants who submit incomplete information will be requested to make additional submittal, and must do so within 60 days of the request.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Under the Executive Order program, complaints must be investigated within 60 days. The Director for OFCCP may extend this time for good cause shown. Under the Veterans and Section 503 programs, investigations must be instituted promptly.

Appeals:

Under the Executive Order program, findings by OFCCP District and Regional Directors are subject to review by the Director for OFCCP. In the Section 503 and Veterans programs, complainants may request a review by the Director for OFCCP. The Director, on his or her own initiative, may reconsider the determination. The parties will then be notified of the final determination.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries) FY 09 \$580,000; FY 10 est \$4,702,000; FY 11 est \$0 - American Recovery and Reinvestment Act Funding. (Salaries) FY 09 \$81,773,000; FY 10 est \$104,976,000; FY 11 est \$113,433,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program information is available on the OFCCP web page (<http://www.dol.gov/ofccp>). Fiscal Year 2010: Program information is available on the OFCCP web page (<http://www.dol.gov/ofccp>). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Equal Employment Opportunity, Executive Order 11246 as amended by Executive Orders 11375 and 12086; Regulations 41 CFR Part 60-1, Obligations of Contractors and Subcontractors; 41 CFR Part 60-2, Affirmative Action Programs (Revised Order 4); 41 CFR Part 60-3, Uniform Guidelines on Employee Selection Procedures (1978); 41 CFR Part 60-4, Construction Contractors Affirmative Action Requirements; 41 CFR Part 60-20, Sex Discrimination Guidelines; 41 CFR Part 60-30, Rules of Practice for Administrative Proceedings; 41 CFR Part 60-40, Examination and Copying of OFCCP Documents; 41 CFR Part 60-50, Guidelines on Discrimination Because of Religion or National Origin; 41 CFR Part 60-250, Affirmative Action and Nondiscrimination Obligations of Contractors and Subcontractors regarding special Disabled Veterans and Veterans of the Vietnam Era; 41 CFR Part 60-300, Affirmative Action and Nondiscrimination Obligations of Contractors and Subcontractors Regarding Disabled Veterans, Recently Separated Veterans,

Other Protected Veterans, and Armed Forces Service Medal Veterans; 41 CFR Part 60-741, Affirmative Action and Nondiscrimination Obligations of Contractors and Subcontractors regarding Individuals with Disabilities and 41 CFR Part 60-742, Procedures for Complaints/Charges of Employment Discrimination Based on Disability Filed Against Employers Holding Government Contracts or Subcontracts. Questions and Answers on the Federal Executive Agency Uniform Guidelines on Employee Selection Procedures, March 1979, 44 Fed. Reg. 11996. These materials can be found on OFCCP's website at <http://www.dol.gov/ofccp>.

Regional or Local Office:

See Regional Agency Offices. Contact information can be found on OFCCP's website at <http://www.dol.gov/ofccp>.

Headquarters Office:

Patricia A. Shiu, Director, Office of Federal Contract Compliance Programs, 200 Constitution Avenue, NW, Washington, District of Columbia 20210; Phone: (202) 693-0101 Fax: (202) 693-1304.

Website Address:

<http://www.dol.gov/ofccp>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 17.207 Employment Service/Wagner-Peyser Funded Activities; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.302 LONGSHORE AND HARBOR WORKERS' COMPENSATION FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Longshore and Harbor Workers' Compensation Act as extended, Public Law 92-576, 5 U.S.C 8171; Longshore and Harbor Workers' Compensation Act as extended, 43 U.S.C 1331.

OBJECTIVES:

To provide compensation for disability or death resulting from injury, including occupational disease, to eligible private employees.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

This program provides income replacement and supplement; schedule awards for the loss of sight or hearing, dismemberment, disfigurement etc., medical expenses (including hospital care); and funeral expenses up to \$3,000. Benefits are paid by private insurance carriers or self-insured employers.

Applicant Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Beneficiary Eligibility:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer

Continental Shelf, employees of nonappropriated fund instrumentalities, employees of private employers engaged in work outside of the United States under contracts with the United States Government, and other as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Puerto Rico is not covered by the Longshore and Harbor Workers' Compensation Act.

Credentials/Documentation:

Longshore workers, harbor workers, and certain other employees engaged in maritime employment on the navigable waters of the United States and adjoining pier and dock areas, employees engaged in activities on the Outer Continental Shelf, employees of Nonappropriated Fund Instrumentalities, employees of private employers engaged in work outside the United States under contracts with the United States Government, and others as specified, including survivors of the above. Employees of private concerns in the District of Columbia and their survivors are eligible for benefits under an extension of the Act, applicable to injuries or deaths based upon employment events that occurred prior to July 26, 1982. Necessary documentation is developed when claim is filed by employee/employer or insurance carrier. The claimant may be required to submit to physical examination. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employee or his or her survivor files written claim for compensation (Form LS-203 or LS-262) to the local district office of the Office of Workers' Compensation Programs (OWCP). Employer may pay benefits voluntarily.

Award Procedure:

Authority to adjudicate claims informally under this program rests with the District Director in (OWCP) district offices. Claims unresolved on voluntary basis are referred to the Office of Administrative Law Judges for formal hearing under the Administrative Procedure Act. Claim must be filed within one year after (a) the injury or death (2 years if injury is an occupational disease which does not immediately result in death or disability), or (b) the last compensation payment. Time begins to run when prudent person should have been aware of relationship between injury or death and employment. For hearing loss claims, time does not begin to run until an audiogram together with a report showing a hearing loss, is given claimant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Insurance carrier or self-insured employer must pay compensation after 14 days of having knowledge of an injury causing disability or death, or controverted claim.

Appeals:

Application for modification of awards must be filed within 1 year after (a) the last compensation payment, or (b) rejection of a claim by an Administrative Law Judge or District Director Office of Workers' Compensation Programs. Appeal from Administrative Law Judge's decision must be filed within 30 days; Appeals from Benefits Review Board decision must be filed within 60 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Injury: The period of total or partial disability, with no monetary limit. Death:

Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined in the Act. Employers or their insurance carriers are required to begin compensation within 14 days of (a) knowledge of the injury or death or (b) injured employee's report of loss of wages. Payments are generally disbursed on a bi-weekly basis. See the following for information on how assistance is awarded/released: Payments are generally disbursed on a bi-weekly basis.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-1521-0-1-601.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$143,551,000; FY 10 est \$148,000,000; FY 11 est \$148,000,000

Range and Average of Financial Assistance:

Disability - 66-2/3 percent of average weekly wage; death benefits 50 percent average wages of deceased to such widow or widower, plus 16-2/3 percent for one or more surviving children with 66-2/3 percent limit. Average benefit unknown. Weekly compensation payments limited to 200 percent of national average weekly wage.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Longshore and Harbor Workers' Compensation Act, as extended. Copies may be obtained from the Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, 200 Constitution Ave. NW, Suite C-4315, Washington, DC 20210.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Longshore and Harbor Worker's Compensation (DLHWC).

Headquarters Office:

Michael Niss, Office of Workers' Compensation Programs, Division of Longshore and Harbor Workers' Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DLHWC-PUBLIC@dol.gov Phone: (202) 693-0038.

Website Address:

<http://www.dol.gov/esa/owcp/dlhw>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.303 WAGE AND HOUR STANDARDS

(Federal Wage-Hour Laws)

FEDERAL AGENCY:

Wage and Hour Division, Department of Labor

AUTHORIZATION:

Fair Labor Standards Act, Public Law 75-718, as amended, 29 U.S.C. 201-219; Walsh-Healey Public Contracts Act, Public Law 74-846, as amended, 41 U.S.C. 35-45; McNamara-O'Hara Service Contract Act of 1965, Public Law 89-286, as amended, 41 U.S.C. 351-358.; Davis-Bacon Act, Public Law 74-403, as amended, 40 U.S.C., Chapter 31, Subpart IV.; Contract Work Hours and Safety Standards Act, Public Law 87-581, as amended, 40 U.S.C. 3701-3708.; Copeland Act, Public Law 103-322, 18 U.S.C. 874, as amended; 40 U.S.C.

314.5; National Foundation on the Arts and the Humanities Act of 1965, Public Law 82-209, as amended, 20 U.S.C. 954(j) and 956(a); Employee Polygraph Protection Act of 1988, Public Law 100-347, 29 U.S.C. 2001, et seq.; Immigration and Nationality Act, as amended; Immigration Reform and Control Act of 1986, Public Law 99-603, 8 U.S.C. 1324, 8 U.S.C. 1101(a)(15)(H)(ii)(a), 1160, 1161, Nursing Relief for Disadvantage Areas Reauthorization Act., P.L. 109-423, 1182(m), 1182(n), 8 U.S.C. 1101(a)(15)(H)(ii)(b), 1184(c) and 1186; Migrant and Seasonal Agricultural Worker Protection Act, 29 U.S.C. 1801, et seq.; Family and Medical Leave Act of 1993, Public Law 103-3, 107 Stat. 6, as amended, 29 U.S.C. 2601 et seq.; 29 U.S.C. 651 (OSH-Act).

OBJECTIVES:

To provide standards protecting wages of working persons by requiring a minimum hourly wage rate and overtime pay; or prevailing hourly wage rates, fringe benefits and overtime pay under the Government Contract Acts. Additional standards apply to child labor; to wage payment standards for professional performers and related or supporting professional employees of productions assisted by grants from the National Endowments for the Arts and Humanities; to curtail employer use of lie detector tests, including polygraph tests for pre-employment screening or during the course of employment; to enforce standards to protect the terms and conditions of employment for migrant and seasonal agricultural workers; to enforce provisions requiring the provision of potable drinking water, handwashing and toilet facilities; to enforce contractual obligations regarding wages and other working conditions between certain temporary, alien agricultural workers (H-2A) and their employers; to enforce worker protection provisions applicable to nonimmigrant workers in "specialty occupations" (H-1B), foreign workers as registered nurses in health professional shortage areas (H-1C), nonagricultural labor or services of temporary or seasonal nature (H-2B), and foreign crew members performing longshore work; to require private employers with 50 or more employees and all schools and public employers to provide eligible employees up to 12 weeks of unpaid leave, job restoration, and continued employer provided health insurance due to the employee's serious health condition, the birth of or placement for adoption/foster care of a child, for the care of a child, spouse or parent with a serious health condition or for any "qualifying exigency" arising out of the fact that the employee's spouse, son, daughter, or parent is on active military duty, or has been notified of an impending call or order to active military duty in support of a contingency operation (provided the active duty relates to a military member of the National Guard or Reserves), or require these same employers to provide up to 26 weeks of unpaid leave, job restoration, and continued employer provided health insurance to an eligible employee who is a spouse, son, daughter, parent, or next of kin of a covered service member with a serious injury or illness.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Federal wage and hour standards apply generally to employees engaged in interstate or foreign commerce or in the production of goods for such commerce or in enterprises so engaged and, in addition, to employees of Federal, State and local governments, hospitals, businesses providing medical or nursing care for residents, schools and preschools. Generally, for covered employment the minimum wage is \$6.55 per hour (\$7.25 per hour effective July 24, 2009) with time and one-half the regular rate of pay required for hours worked over 40 in a workweek. There are special rules applicable to employees of State and local governments, including provisions concerning volunteer work, compensatory time-off, and outside employment. To the extent necessary to prevent curtailment of employment opportunity, certificates authorizing special minimum wage rates are issued for learners, workers with disabilities, full-time students, student workers, and apprentices. In nonagricultural employment, the basic minimum age is 16 years for general employment, 14 for work outside school hours in certain occupations under specified conditions, and 18 in occupations found hazardous by the Secretary. In agricultural employment, the basic minimum age is 16 years during school hours and in occupations found hazardous by the Secretary; otherwise, age 14 for work outside school hours except for 12- and 13-year-olds with written parental consent or on farms where their parents are employed, or under age 12 with written parental consent on a

farm not subject to Federal minimum wage or under a limited waiver for local 10- and 11-year-old hand-harvesters of short-season crops under specified conditions. Failure to comply with the child labor provisions of the Fair Labor Standards Act or regulations issued there under may generally result in an assessment of a civil money penalty not to exceed \$11,000 for each minor involved in violations; however, a penalty of up to \$50,000 may be assessed for each violation that caused the death of or serious injury to a working youth, and this penalty may be increased to \$100,000 where the employer's violation is repeated or willful. Employees of contractors performing on most federally financed or assisted construction projects, or providing services under contract to Federal agencies, are subject to special standards. These special standards require that employees receive no less than the wages and fringe benefits prevailing in the locality as determined by the Secretary of Labor, and that overtime compensation, with few exceptions, be paid for hours worked on such contracts in excess of 40 in a week. The standards apply to performance on direct Federal contracts and also to most construction projects financed in whole or in part by the Federal Government (e.g., projects under the National Housing Act). Under current law, for Federal and federally assisted construction contracts over \$2,000 and Federal service contracts over \$2,500 subject to prevailing wage requirements, contracting agencies must obtain a wage determination issued by the Wage and Hour Division. Also under current law, employees working on Federal contracts in excess of \$10,000 calling for the manufacture or furnishing of supplies are required to be paid not less than the Federal minimum wage and overtime pay for hours worked in excess of 40 a week. Labor standards are set under the Arts and Humanities Act which require the payment of the prevailing minimum compensation as determined by the Secretary of Labor to professional performers or supporting personnel who work on projects or productions assisted by grants from the National Endowments for the Arts and Humanities. The Employee Polygraph Protection Act prohibits most private employers from administering lie detector tests to employees or prospective employees but permits the use of polygraph tests under certain conditions. The Nursing Relief for Disadvantaged Areas Act, as extended by the Nursing Relief for Disadvantaged Areas Reauthorization Act, amended the Immigration and Nationality Act (INA) to provide for the enforcement of attestations made by H-1C employers of foreign nurses working temporarily in areas of health professional shortages. The attestations are related to wages and working conditions, in addition to the treatment of U.S. registered nurses similarly employed. The Migrant and Seasonal Agricultural Worker Protection Act requires that covered employers disclose and abide with the terms and conditions of employment and that farm labor contractors register with the Secretary of Labor. The field sanitation standards under the Occupational Safety and Health Act require that employers provide potable drinking water, handwashing, and toilet facilities for covered workers. The H-2A provisions of the INA provide for the enforcement of contractual obligations concerning wages and other working conditions between certain temporary, alien agricultural workers and their employers. The H-2B provisions of the INA provide for the enforcement of conditions of employer petitions to admit nonimmigrant workers for non-agricultural labor or services. Section 203 of the Immigration Act of 1990 places limitations on the performance of Longshore work by alien crew members in U.S. ports; Section 212(n) places limitations on the employment of nonimmigrant workers in H-1B Specialty Occupations. The Family and Medical Leave Act requires a private employer of 50 or more employees and all public employers and schools to grant up to 12 weeks of unpaid leave in a 12-month period to any employee who was employed at least 12 months for 1,250 hours of service during the preceding 12 months, and is employed at a work site where there are 50 employees within 75 miles for certain qualifying events related to self and family care, and up to 26 weeks to care for a qualified service member with a serious injury or illness.

Applicant Eligibility:

Any covered employee, unless specifically exempt, is entitled to be paid in accordance with applicable monetary standards in the United States, District of Columbia, Puerto Rico, Virgin Islands, American Samoa, Guam, Wake Island, Johnston Island, and the Outer Continental Shelf Lands defined in the Outer Continental Shelf Lands Act. (Geographic application varies under the respective statutes and eligibility is dependent upon specific statutory language.) For family and medical leave, coverage includes any employer with 50 or more employees but excludes any employer at a worksite with fewer than

50 employees within a 75-mile radius.

Beneficiary Eligibility:

Employees.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons requesting additional information concerning these standards, should contact the nearest office of the Wage and Hour Division, listed in the telephone Directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division listed in the Catalog under the Wage and Hour Division. Information should be provided describing the type of business and the nature of the work performed. Unless otherwise authorized, the names of persons making inquiries are kept confidential to the maximum extent possible under existing law. For Federal construction contracts or Federal service contracts subject to prevailing wage requirements, the contracting agency must obtain a wage determination issued by the Wage and Hour Division. The wage determination must be furnished to bidders and made a part of the contract along with certain contract stipulations.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An appeal process is available where civil money penalties are assessed for violations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries) FY 09 \$193,092,000; FY 10 est \$227,606,000; FY 11 est \$244,240,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not Applicable. Fiscal Year 2010: Not Applicable. Fiscal

Year 2011: Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications furnished free in limited quantities: "Handy Reference Guide to the Fair Labor Standards Act," "A Guide to the Walsh-Healey Public Contracts Act," "Guide to the Service Contract Act," "A Guide to the Child Labor Provisions of the FLSA" (Nonagricultural and Agricultural Occupations) "Compliance Guide to the Family and Medical Leave Act." Additional technical and nontechnical materials are also available at no cost.

Regional or Local Office:

See Regional Agency Offices. Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons requesting information or publications should contact the nearest office of the Wage and Hour Division, listed in the telephone directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division listed in the Catalog under the Wage and Hour Division.

Headquarters Office:

Robert DeVore, 200 Constitution Ave., N.W., Washington, District of Columbia 20210 Email: devore.robort@dol.gov Phone: (202) 693-0539.

Website Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

17.201 Registered Apprenticeship and Other Training; 17.306 Consumer Credit Protection; 17.308 Farm Labor Contractor Registration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.306 CONSUMER CREDIT PROTECTION

(Federal Wage Garnishment Law)

FEDERAL AGENCY:

Wage and Hour Division, Department of Labor

AUTHORIZATION:

Consumer Credit Protection Act, Public Law 90-321, 5 U.S.C. 1671-1677, as amended; Public Law 95-30.

OBJECTIVES:

To provide restrictions on the amount of an employee's wages or salary which may be garnished, and to prohibit employers from discharging employees by reason of garnishment for any one indebtedness.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

The general rules for applying the Federal restrictions on garnishment are: If an individual's weekly disposable earnings are \$196.50 or less, no amount may be garnished; if the weekly disposable earnings are more than \$217.50 but less than \$290.00, only the amount in excess of \$217.50 may be garnished; and if the weekly disposable earnings are in excess of \$290.00 or more, 25 percent of disposable earnings may be garnished. The Act, as amended, limits court orders for the support of any person to 50 percent of the disposable earnings of an individual supporting another spouse or child and to 60 percent of disposable earnings if not. An additional 5 percent may be garnished for support payments more than 12 weeks in arrears. The order must be issued by a court of competent jurisdiction or in accordance with an administrative procedure, which is established by law, which affords substantial due process, and which is subject to judicial review. The restrictions do not apply under Federal bankruptcy proceedings for wage-earners, nor do they apply to debts due for State or Federal taxes. In addition, garnishments issued under the laws of any State may be exempted from the operation of the Federal law where the Secretary of Labor determines that such laws provide substantially similar restrictions. Under no circumstances may an employee be discharged from employment by reason of garnishment for any one indebtedness, although State

laws may provide additional protection.

Applicant Eligibility:

Any person whose earnings have been subjected to garnishment is entitled to the protection provided by the Act in the United States, the District of Columbia, Puerto Rico, the Virgin Islands; Outer Continental Shelf lands defined in the Outer Continental Shelf Lands Act (Chapter 345, 67 Stat. 462); American Samoa, Guam, Wake Island; and Johnston Island. Under the law, earnings are defined as compensation paid or payable for personal services, including wages, salary, commissions, bonuses, and including periodic payments pursuant to a pension or retirement program.

Beneficiary Eligibility:

Any person whose earnings have been subjected to garnishment.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons seeking advice or assistance concerning the application of the Federal wage garnishment law to specific situations, including persons seeking remedy to an illegal action under the law, should contact the nearest office of the Wage and Hour Division listed in the telephone directory under United States Government, Labor Department. If no listing is shown for you locality, contact the nearest district office of the Wage and Hour Division listed in the Catalog under Regional Agency Offices. Unless otherwise authorized, the names of persons making inquiries are kept confidential to the maximum extent possible under existing law.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries) FY 09 \$193,092,000; FY 10 est \$227,606,000; FY 11 est

\$244,240,000

Range and Average of Financial Assistance:

not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications furnished free in limited quantities: Fact Sheet: The Federal Wage Garnishment Law, Regulations, 29 CFR Part 870: Restriction on Garnishment.

Regional or Local Office:

See Regional Agency Offices. Persons having knowledge of wage and employment practices which are not in compliance with the minimum standards of these acts, and persons requesting information or publications should contact the nearest Office of the Wage and Hour Division, listed in the telephone directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division, listed in the Catalog under Regional Agency Offices.

Headquarters Office:

Robert DeVore, 200 Constitution Ave., N.W., Washington, District of Columbia 20210 Email: devore.robort@dol.gov Phone: (202) 693-0539.

Website Address:

<http://www.dol.gov/WHD/garnishment/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.307 COAL MINE WORKERS' COMPENSATION

(Black Lung)

FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Black Lung Benefits Act, as amended, 30 U.S.C 901 et seq.

OBJECTIVES:

To provide benefits to coal miners who have become totally disabled due to coal workers' pneumoconiosis (CWP), and to widows and other surviving dependents of miners who have died of this disease.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Monthly cash benefits are paid to coal miners disabled from coal workers' pneumoconiosis and to widows and other survivors of miners who have died of this disease. There are no restrictions on the use of these benefits by a beneficiary. Medical payments for treatment related to coal workers' pneumoconiosis are available to miners only after January 1, 1974.

Applicant Eligibility:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply.

Beneficiary Eligibility:

Disabled coal miners, widows and other surviving dependents of the deceased.

Credentials/Documentation:

The miner (including some workers involved in coal transportation in and around mines and coal mine construction workers) must have worked in the Nation's coal mines or a coal preparation facility and become "totally disabled" (as defined in the Act) from pneumoconiosis. The applicant may be able to work in areas other than coal mines and still be eligible for benefits. Benefits to miner shall be reduced on account of excess earnings as determined under sections 203(b) through (1) of the Social Security Act for claims filed on or after January 1, 1982. Widows and other surviving dependents of coal miners whose death resulted from coal workers' pneumoconiosis are also eligible for benefits with earnings offsets applicable to certain classes of dependents. Applicants can reside anywhere in the world at the time they apply. Medical tests, at Department of Labor expense, which include an x-ray, pulmonary function study, physical examination, and blood gas tests. Proof of work in or around a coal mine or coal preparation facility. Also proof of death, relationship and dependency required for widows and other survivors applying. Identification of a responsible mine operator is made as specified in regulations published in the Code of Federal Regulations (20 CFR 725). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants are required to state on the claim form whether a claim for disability benefits due to the same condition has been filed under any State or other Federal workers' compensation law. Benefits received as a result of such a claim could be offset against Federal benefits. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Telephone, write-in, or visit the local Division of Coal Mine Workers' Compensation district or local office listed in Appendix IV of the Catalog.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 2 months to 8 months for proposed decisions and orders depending on availability of supporting evidence. A miner must file a claim for benefits within 3 years after a medical determination of total disability due to pneumoconiosis or within 3 years after the date of enactment of Public Law 95-239, whichever comes later, (Black Lung Benefits Reform Act of 1977). There are no time limitations for dependent survivors to file claims.

Appeals:

Notify Office of Workers' Compensation Programs (OWCP). The appeal process includes formal hearings, Benefits Review Board consideration, and review by Federal courts of Appeal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of total disability with no monetary limit. Death: Spouse - until death or remarriage; children - until age 18 or to age 23 if qualified as a student as defined by the Act unless under a disability as defined in Section 223(d) of the Social Security Act, 42 U.S.C. 423(d). Employers or their insurance carriers are required to begin compensation within 30 days of the initial determination of eligibility. See the following for information on how assistance is awarded/released: Payments are disbursed on a monthly basis.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Any change in an eligibility requirement or in a factor affecting the amount of benefits, such as, State workers' compensation awards, or change in status of a dependent, must be reported to the Office of Workers' Compensation Programs when it occurs.

Account Identification:

20-8144-0-7-601.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$492,819,052; FY 10 est \$455,679,000; FY 11 est \$422,189,000 - These are benefits for both Parts B & C of the program.

Range and Average of Financial Assistance:

On January 1, 2010, new monthly rates went into effect for Black Lung benefits that included a 1.5 percent increase over the 2008 benefit amounts. The new rates are as follows: PART B MONTHLY BENEFITS RATES (claims approved by the Social Security Administration - payments received around the 3rd of each month): Primary beneficiary: \$625.00, Primary beneficiary and one dependent: \$938.00, Primary beneficiary and two dependents: \$1,094.00, Primary beneficiary and three or more dependents: \$1,251.00. PART C BLACK LUNG MONTHLY BENEFIT RATES (claims approved by the Department of Labor - payments received around the 15th of each month): Primary beneficiary: \$625.60, Primary beneficiary and one dependent: \$938.30, Primary beneficiary and two dependents: \$1,094.70, Primary beneficiary and three or more dependents: \$1,251.10.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 410.401 et seq., 718, 722, 725, 726, and 727. "Black Lung Benefits," Fact Sheet No. ESA 91-14.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Coal Mine Workers' Compensation (DCMWC).

Headquarters Office:

Michael McClaran, Office of Workers' Compensation Programs, Division of Coal Mine Workers' Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DCMWC-PUBLIC@dol.gov Phone: (202) 693-0046.

Website Address:

<http://www.dol.gov/esa/owcp/dcmwc/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.308 FARM LABOR CONTRACTOR REGISTRATION

(Crew Leader)

FEDERAL AGENCY:

Wage and Hour Division, Department of Labor

AUTHORIZATION:

Migrant and Seasonal Agricultural Worker Protection Act, Public Law 97-470, as amended, 96 Stat. 2583; 29 U.S.C. 1801-1872.

OBJECTIVES:

To provide protection in the areas of wages, housing, and transportation for migrant and seasonal agricultural workers.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF

COMPLAINTS**USES AND USE RESTRICTIONS:**

The program requires the contractor, agricultural employer or agricultural association to keep certain records and to comply with appropriate Federal and State safety and health standards for transportation and housing. It requires that contractors, agricultural employers and agricultural associations who provide transportation to migrant or seasonal farmworkers obtain motor vehicle liability insurance or, in some instances, Workers' Compensation Insurance and that they insure the migrant/seasonal agricultural worker and his/her personal property against injury or damage arising out of a transportation accident. Contractors, agricultural employers and agricultural associations must also comply with the applicable regulations of the Department of Transportation, Bureau of Motor Carrier Safety. The Act distinguishes between the traditional farm labor contractor and fixed-situs agricultural employers. It provides important worker protections such as vehicle safety and insurance coverage against both personal liability and property damage while being transported in connection with their employment; housing safety and health if housing is provided; disclosure of wages, hours and working conditions; maintenance of necessary records and furnishing to workers itemized information concerning pay and withholding. It further provides exemptions for both family businesses and small businesses. Any farm labor contractor who, for any money or other valuable consideration paid or promised to be paid, recruits, solicits, hires, employs, furnishes, or transports any migrant or seasonal agricultural worker (excluding members of his/her immediate family) for agricultural employment, must register under the Act, unless qualified for specific exemptions. Agricultural employers and agricultural associations must comply with the worker protection standards, but are not required to register. Persons having knowledge of any practices or omissions which are not in compliance with the requirements of the Act, and persons requesting additional information concerning the Act are encouraged to write, telephone or visit the nearest State employment service office or the nearest Wage and Hour Division Office listed in the Catalog. Information should be provided describing the type of business activity and the nature of the work performed. The names of persons making inquiries are treated in complete confidence. Users of the services of a farm labor contractor are prohibited from engaging the services of one who is unregistered. Failure to comply with this Act and its regulations may result in criminal prosecution, civil injunctive action, civil money damages and assessment of civil money penalties of up to \$1,000 for each violation.

Applicant Eligibility:

Farm labor contractors and their full-time or regular employees who recruit, solicit, hire, employ, furnish or transport migrant or seasonal agricultural workers for any money or other valuable consideration paid or promised to be paid for agricultural employment, must register with the Department of Labor.

Beneficiary Eligibility:

Migrant and seasonal agricultural workers.

Credentials/Documentation:

Farm Labor Contractor Certificate of Registration or Farm Labor Contractor Employee Certificate of Registration. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Farm labor contractors and their full-time or regular employees who recruit, solicit, hire, employ, furnish, or transport migrant or seasonal agricultural workers for any money or other valuable consideration paid or promised to be paid for agricultural employment, should contact the the nearest district office of the Wage and Hour Division for application forms and assistance.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications for Farm labor contractor employee certificates of registration or farm labor contractor employee certificates of registration are usually processed within 2 to 3 weeks.

Appeals:

Applicants whose applications have been denied or revoked have 30 days to request a hearing on the decision to refuse to issue, refuse to renew or revoke. Persons who have been assessed civil money penalties have 30 days to request a hearing on the decision to assess.

Renewals:

Same procedure as making initial application. When application for renewal of a valid certificate is submitted prior to at least 30 days before the expiration date, the applicant may continue to operate under the terms of the existing certificate until a final determination is made on the renewal application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Any employer subject to the Act is required to keep payroll records. Farm Labor Contractors are required to furnish a copy of the payroll records to the agricultural employer or agricultural association who utilized the farm labor contractor's employees.

Account Identification:

16-0105-0-1-505.

Obligations:

(Salaries) FY 09 \$193,092,000; FY 10 est \$227,606,000; FY 11 est \$244,240,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not applicable. Fiscal Year 2010: Not applicable. Fiscal Year 2011: Not applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Migrant and Seasonal Agricultural Worker Protection Act. 29 CFR Part 500, contains information about the Act. Fact sheet ESA-13, Worker Rights Card - Farm Workers, "Agricultural Workers-- Your Rights Under the Migrant and Seasonal Agricultural Worker Protection Act," is available free of charge in limited quantities.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the State Employment Service Office, or contact the nearest Wage and Hour Division Office listed in the telephone directory under United States Government, Labor Department. If no listing is shown for your locality, contact the nearest district office of the Wage and Hour Division listed in the Catalog.

Headquarters Office:

Robert DeVore, 200 Constitution Ave., N.W., Washington, District of Columbia 20210 Email: devore.robort@dol.gov Phone: (202) 693-0539.

Website Address:

<http://www.dol.gov/whd/mspa/index.htm>

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.303 Wage and Hour Standards

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.309 LABOR ORGANIZATION REPORTS

(Landrum-Griffin Act)

FEDERAL AGENCY:

Office of Labor-Management Standards, Department of Labor

AUTHORIZATION:

Labor-Management Reporting and Disclosure Act of 1959, Public Law 86-257.

OBJECTIVES:

To provide for the reporting and disclosure of financial transactions and administrative practices of labor organizations, employers, labor consultants and others required to report under the Landrum-Griffin Act; to provide standards for the election of union officers, trusteeships, fiduciary responsibilities of union officers, and rights of union members; and to provide investigative authority to the Secretary of Labor.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Provides union members the authority to bring civil action in the district courts of the United States to enforce the rights and protections granted them under the Bill of Rights of Members of Labor Organizations; enables union members and employees whose rights are affected by a collective bargaining contract, to obtain or examine a copy of the agreement; enables union members to secure information on the organization, administrative practices, and financial transactions of their unions and to prevent abuses in these areas and in the administration of trusteeships imposed upon labor organizations; assures union members free and democratic officer elections through enforcement of standards and procedures with respect to such elections, and the removal of officers; and safeguards assets of labor organizations by imposing upon union officials fiduciary responsibility, enforceable in the courts, and by requiring the bonding of persons who handle union funds.

Applicant Eligibility:

Officers of unions may obtain assistance in preparing reports or otherwise complying with the Act. Union members or union organizations may request assistance in investigating alleged violations. All reports required to be filed are available for inspection and disclosure to the general public.

Beneficiary Eligibility:

Union officers, union members, union organizations. All reports required to be filed are available for inspection and disclosure to the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Write or call the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

May 27, 2010 Union members may file an election complaint with the Department of Labor within one calendar month after having exhausted internal union remedies or within one calendar month after having invoked internal union remedies without obtaining a final decision within three calendar months

after invoking them.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0104-0-1-505.

Obligations:

(Salaries) FY 09 \$45,519; FY 10 est \$41,367; FY 11 est \$45,181

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, OLMS conducted 754 union audits, 4,250 civil and criminal investigations, supervised 32 union officer election reruns and conducted 130 compliance assistance seminars for union officials to administer and enforce the LMRDA. Fiscal Year 2010: In fiscal year 2010, OLMS projects conducting 204 union audits, 3,376 civil and criminal investigations, supervised 35 union officer election reruns and conduct 130 compliance assistance seminars for union officials to administer and enforce the LMRDA. Fiscal Year 2011: In fiscal year 2011, OLMS projects conducting 200 union audits, 3,329 civil and criminal investigations, supervised 35 union officer election reruns and conduct 112 compliance assistance seminars for union officials to administer and enforce the LMRDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR 401-453. 29 CFR 457-459. The Labor-Management Reporting and Disclosure Act of 1959, as amended, Rights and Responsibilities under the LMRDA; Reports Required under the LMRDA (Spanish edition also available); Bonding Requirements under LMRDA; Trusteeship Requirements under the LMRDA, Electing Union Officers (Spanish edition also available); LMRDA Regulations and Interpretative Bulletins: Election of Officers of Labor Organizations, 29 CFR 452; Standards of Conduct Regulations, 29 CFR 457-459. The above literature is available free of charge in limited quantities from the Headquarters Office listed below or field offices in Appendix IV of the Catalog. Conducting Local Union Officer Elections - a guide for election officials is available for sale by the U.S. Government Printing Office.

Regional or Local Office:

None. Persons desiring assistance should contact the nearest Department of Labor, Office of Labor-Management Standards field office listed in Appendix IV of the Catalog.

Headquarters Office:

Steve J. Willertz, Department of Labor, ESA/OLMS/DOE, Room N-511, 200 Constitution Avenue NW, Washington, District of Columbia 20020 Email: Willertz.Stephen@dol.gov Phone: (202) 693-1182 Fax: (202) 693-1343.

Website Address:

<http://www.dol.gov>. E-mail: OLMS-Public@dol.gov

RELATED PROGRAMS:

17.150 Employee Benefits Security Administration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.310 ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION

FEDERAL AGENCY:

Office of Workers' Compensation Programs, Department of Labor

AUTHORIZATION:

Energy Employees Occupational Illness Compensation Program Act of 2000, as amended, 42 U.S.C 7384 et seq.

OBJECTIVES:

Part B provides lump-sum monetary payments and medical benefits to covered employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies and certain of its vendors, contractors and subcontractors. Part B also provides lump-sum payments and medical benefits to individuals found eligible by the Department of Justice (DOJ) under section 5 of the Radiation Exposure Compensation Act (RECA) and, where applicable, to their survivors. Part E of the Act provides variable lump-sum payments (based on a worker's permanent impairment and/or calendar years of qualifying wage-loss) and medical benefits for covered DOE contractor employees and, where applicable, provides variable lump-sum payments to survivors of such employees (based on a worker's death due to a covered illness and either 10 or 20 calendar years of qualifying wage-loss). Part E also provides these same payments and benefits to uranium miners, millers and ore transporters covered by section 5 of RECA and, where applicable, to survivors of such employees.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

This program provides lump-sum monetary payments to employees and former employees (or their eligible survivors) as compensation for occupational illnesses under Part B, and covered illnesses under Part E; medical expenses (including hospital care). Benefits are paid from a mandatory appropriation of Federal funds.

Applicant Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Beneficiary Eligibility:

Employees and, where applicable, to survivors of such employees, of the Department of Energy (DOE), its predecessor agencies, certain of its vendors, contractors and subcontractors, and uranium miners, millers and ore transporters covered by section 5 of RECA, who are or were engaged in covered employment related to the testing or production of nuclear weapons.

Credentials/Documentation:

Necessary documentation is developed when claim is filed with the program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Employee or his or her survivor(s) files written claim for compensation (Form EE-1 or EE-2) with the local district office of the Office of Workers' Compensation Programs (OWCP), or with a Resource Center.

Award Procedure:

Authority to issue recommended decisions under this program rests with OWCP district offices. Authority to issue final decisions rests with the Final Adjudication Branch.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

From 120 to 180 days. Request for review of or hearing on a recommended decision must be made within 60 days. Request for reconsideration of a final agency decision must be filed within 30 days of issuance of the final decision. Request to reopen claim after issuance of a final decision may be made at any time, if supported by material evidence.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Benefits are not length-based. Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

16-1523-0-1-053.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$986,765,788; FY 10 est \$956,000,000; FY 11 est \$833,000,000

Range and Average of Financial Assistance:

Maximum monetary lump-sum payment under Part B is \$150,000 per covered employee; maximum monetary lump-sum payment under Part E is \$250,000 per covered employee. No limitation on payment of medical expenses.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Claims for Compensation Under the Energy Employees Occupational Illness Compensation Program Act, 20 CFR Part 30, are available on the Internet at <http://www.dol.gov>, or from OWCP.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the district offices of the Office of Workers' Compensation Programs (OWCP), Division of Energy Employees Occupational Illness Compensation (DEEOIC).

Headquarters Office:

Rachel Leiton, Office of Workers' Compensation Programs, Division of Energy Employees Occupational Illness Compensation, 200 Constitution Avenue NW, Washington, District of Columbia 20210 Email: DEEOIC-PUBLIC@dol.gov Phone: (202) 693-0081.

Website Address:

<http://www.dol.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.311 FEDERAL TRANSIT EMPLOYEE PROTECTION CERTIFICATIONS: OFFICE OF LABOR-MANAGEMENT STANDARDS FEDERAL AGENCY:

Office of Labor-Management Standards, Department of Labor

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Pursuant to section 5333(b) of 49 U.S.C., the Department must certify that, as a condition of certain grants of Federal financial assistance, fair and equitable labor protective provisions are in place to protect the interests of employees affected by such Federal assistance. These arrangements must be approved by the Department of Labor (DOL) before the Department of Transportation Federal Transit Administration (FTA) can release funds to grantees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance will be used for transit capital improvements, improvements to fixed-guideway systems, and new and small start programs. Applicants eligibility is determined by the DOT, FTA.

Applicant Eligibility:

Employees who believe they have been adversely affected as a result of Federal transit assistance may file claims under the procedures set forth in the protective arrangements certified by DOL.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application process is regulated by DOT, FTA. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The grant is submitted to DOL, FTA. The FTA then forwards the application to DOL, Office of Labor Management Standards (OLMS), Division of Statutory Programs (DSP) for review and certification of employee protections.

Award Procedure:

Pursuant to the Guidelines, DOL refers for review the grant application received from FTA and the proposed terms and conditions to unions representing transit employees in the service area of the project and to the applicant and/or recipient. No referral is made if the application falls under one of the following exceptions: (1) employees in the service area are not represented by a union; (2) the grant is for routine replacement items, (3) the grant amends a previous grant in a manner that is not material, (4) the grant is for a Job Access project serving populations less than 200,000; (5) the grant capitalizes a State Infrastructure Bank account; or (6) the grant is for the Over-the-Road Bus or Other Than Urbanized program. When a grant application is referred to the parties, DOL recommends the terms and conditions to serve as the basis for certification. The parties have 15 days to inform DOL of any objections to the recommended terms including reasons for such objections. If no objections are registered, or if objections are found not sufficient, DOL certifies the project on the basis of the recommended terms. If DOL determines that the objections are sufficient, the parties are directed to negotiate for up to 30 days, limited to issues defined by DOL. If the parties are unable to reach agreement within 30 days, DOL will review the final proposals and issue an interim certification permitting FTA to release funds, provided that

no action is taken relating to the issues in dispute that would irreparably harm employees. Following the interim certification, the parties may continue negotiations. If they are unable to reach agreement, DOL sets the terms for Final Certification within 60 days. DOL may request briefs on the issues in dispute before issuing the final certification. Employees who believe they have been adversely affected as a result of Federal transit assistance may file claims under the procedures set forth in the protective arrangements certified by DOL. DOL transmits certification letter containing protective terms to be applied to the grant application to FTA, thereby allowing the release of assistance to grantees.

Deadlines:

Jul 01, 2009 The DOT, FTA has encouraged grantees to submit applications to them by July 1, 2009.

Range of Approval/Disapproval Time:

From 30 to 60 days. Regarding DOL's certification process, the DOL has 60 days from the day processing is initiated, by DOL, to certify protective arrangements.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

16-0105-0-1-505 - Treasury Appropriation Fund Symbol (TAFS) is 16 09/10 0105.

Obligations:

(Project Grants) FY 09 \$280,000; FY 10 est \$190,000; FY 11 est \$0

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: OLMS received 764 Recovery Act grants and certified 100 percent of those covered by the use-it-or-lose-it provisions by the September 1, 2009 deadline. Fiscal Year 2010: OLMS projects that the second phase of Recovery Act funding will be 100 percent obligated and the remaining FTA program grants will be processed. Fiscal Year 2011: Recovery Act funds are slated to terminate in FY 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 5333(b) and 215 CFR.

Regional or Local Office:

None.

Headquarters Office:

Office of Labor-Management Standards Division of Statutory Programs, 200 Constitution Avenue NW, Room N-5122, Washington, District of Columbia 20210 Email: Willertz.Stephen@dol.gov Phone: (202) 693-0126 Fax: 202-693-1343

Website Address:

<http://www.dol.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.502 OCCUPATIONAL SAFETY AND HEALTH_SUSAN HARWOOD TRAINING GRANTS

Susan Harwood Training Grants

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Public Law 91-596, 29 U.S.C 670(c).

OBJECTIVES:

To provide occupational safety and health training and education to employees and employers, particularly in the recognition, avoidance and abatement of workplace hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants to nonprofit organizations to provide training or other educational services to employees and/or employers in priority areas designated by OSHA.

Applicant Eligibility:

Nonprofit organizations.

Beneficiary Eligibility:

Individuals employed in workplaces that receive training and/or educational services under grants.

Credentials/Documentation:

Applicants must show nonprofit status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application instructions are distributed by Occupational Safety and Health Administration, Directorate of Training and Education. Completed applications are returned to the Directorate of Training and Education.

Award Procedure:

Final award decisions are made by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for a minimum of 12 months.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a minimum of 12 months. Grantees receive funds through the Department of Health and Human Services' Payment Management

System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required quarterly. Federal Financial Reports are required to be completed quarterly. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Training) FY 09 \$10,000,000; FY 10 est \$10,750,000; FY 11 est \$11,000,000

Range and Average of Financial Assistance:

Fiscal Year 2009 grants ranged from \$73,048 to \$568,439.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 43 training and education grants were awarded. Fiscal Year 2010: An estimate of 46 training and education grants will be awarded. Fiscal Year 2011: An estimate of 47 training and education grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 95 and 96; OMB Circulars A-21 (2 CFR Part 220), A-110 (2 CFR Part 215), and A-122 (2 CFR Part 230).

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Jim Barnes, Department of Labor, Occupational Safety and Health Administration, Washington, District of Columbia 20210 Email: Barnes.Jim@dol.gov Phone: (847) 297-4810.

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.503 Occupational Safety and Health_State Program; 17.504 Consultation Agreements; 17.505 OSHA Data Initiative; 17.602 Mine Health and Safety Education and Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications. They include program, administration and budget categories.

17.503 OCCUPATIONAL SAFETY AND HEALTH_STATE PROGRAM

State Plan Grant Awards

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 23(g), Public Law 91-596, 29 U.S.C 60(c).

OBJECTIVES:

To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Applicant Eligibility:

Designated State agencies which have federally approved occupational safety and health plans.

Beneficiary Eligibility:

Any employer, worker or their representative from a business engaged in interstate commerce except those under jurisdiction of other Federal agencies.

Credentials/Documentation:

State agency responsible for occupational safety and health must show that its program is "at least as effective" as the Federal occupational safety and health program. This is accomplished by providing standards covering occupational safety and health issues, an enforcement program for those standards, and adequate legal authority and resources to operate the program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application instructions are distributed by the Occupational Safety and Health Administration to the approved State plan States through OSHA's Directives System. Completed applications are returned to the National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Grants to States for administering their own safety and health programs that can be renewed indefinitely, provided they continue to meet the "at least as effective" requirements. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. The Federal share may not exceed 50 percent of the total cost to the State. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

State program grants are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required quarterly and annually. Cash reports are not applicable. Progress reports are not applicable. Federal Financial Reports are required quarterly. Performance monitoring is conducted by the Regional Offices on a quarterly and annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. .

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Salaries) FY 09 \$1,310,854; FY 10 est \$0; FY 11 est \$0. (Salaries) FY 09 \$92,593,000; FY 10 est \$104,393,000; FY 11 est \$105,893,000

Range and Average of Financial Assistance:

Fiscal year 2009 grants ranged from \$201,000 to \$23,013,900. These awards represent approximately 50 percent of total program cost.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: State inspections were 61,207. Fiscal Year 2010: State inspections are estimated at 63,800. Fiscal Year 2011: State inspections are estimated at 66,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 1902, 1903, 1904, 1905, 1908, 1952, 1953, 1954, 1955, 1956, 2200; OMB Circulars A-102 and A-87 (2 CFR Part 225); and 29 CFR Parts 96 and 97.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Regional Offices of the Occupational Safety and Health Administration.

Headquarters Office:

Pavlo Oborski, Department of Labor, Occupational Safety and Health Administration, 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: oborski.pavlo@dol.gov Phone: (202) 693-2422.

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.504 Consultation Agreements; 17.505 OSHA Data Initiative; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants may be awarded only to a State agency, designated by the Governor of a State, which has had its State Plan approved by the Assistant Secretary and which has submitted an application for a grant.

17.504 CONSULTATION AGREEMENTS

Consultation Grant Program

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 21(d), Public Law 105-197, 29 U.S.C 651.

OBJECTIVES:

To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to provide occupational safety and health consultative services to employers.

Applicant Eligibility:

Designated State agencies which have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations

funded therein and to expend Federal funds as well as State funds as required.

Beneficiary Eligibility:

Any private employer operating within a State, with priority given to smaller employers with the more hazardous operations.

Credentials/Documentation:

State agency responsible for providing consultative services to employers must meet the minimum requirements of the consultation program set forth in 29 CFR 1908. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies through OSHA's Directives System. Completed applications are returned to OSHA's National Office.

Award Procedure:

Final approval of funding requests is given annually by the Assistant Secretary of Labor for Occupational Safety and Health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Funding to States for administering their consultation program can be renewed indefinitely, provided that State consultation projects continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. The Federal share may not exceed 90 percent of the total cost to the recipient.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Consultation Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports are required annually. Cash reports are not applicable.

Progress reports are not applicable. Federal Financial Reports are required and submitted on a quarterly basis. Performance Monitoring is conducted on a quarterly basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Salaries) FY 09 \$54,531,000; FY 10 est \$54,798,000; FY 11 est \$55,798,000

Range and Average of Financial Assistance:

Fiscal year 2009 grants ranged from \$196,000 to \$5,252,000. These awards represent approximately 90 percent of total program costs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: State consultations were 31,674. Fiscal Year 2010: State consultations visits are estimated at 31,700. Fiscal Year 2011: State consultations visits are estimated at 32,200.

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 95, 97, and 1908; and OMB Circular Nos. A-21, A-87, A-102 and A-110.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for regional offices of the Occupational Safety and Health Administration.

Headquarters Office:

Pavlo Oborski, Department of Labor, Occupational Safety and Health Administration, 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: oborski.pavlo@dol.gov Phone: (202) 693-2422.

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.503 Occupational Safety and Health_State Program; 17.505 OSHA Data Initiative; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.505 OSHA DATA INITIATIVE

OSHA Data Collection Award

FEDERAL AGENCY:

Occupational Safety and Health Administration, Department of Labor

AUTHORIZATION:

Occupational Safety and Health Act, Section 7(c)(1), Public Law 91-596, 29 U.S.C 651.

OBJECTIVES:

To fund collection of occupational injury and illness data from employers in specified industries nationwide.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Cooperative Agreements to States to collect occupational injury and illness data from employers in specified industries.

Applicant Eligibility:

Designated State agencies that have been authorized by the Governor to enter into a Cooperative Agreement with full power to perform the obligations funded therein and to expend Federal funds.

Beneficiary Eligibility:

Any state agency that is capable of collecting data.

Credentials/Documentation:

State agency that is capable of collecting data. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application instructions are distributed to the States by the Occupational Safety and Health Administration (OSHA) to the designated State agencies. Completed applications are returned to OSHA's National Office.

Award Procedure:

Final approval of funding requests is given annually by the Director of Administrative Programs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funding to States for administering their collection program can be renewed indefinitely, provided that the States continue to meet the requirements of the program. Applications for renewals are submitted to the Occupational Safety and Health Administration's National Grants Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements are aspects of a continuing program. Grantees receive funds through the Department of Health and Human Services' Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. A Federal Financial Report is required quarterly. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-0400-0-1-554.

Obligations:

(Cooperative Agreements) FY 09 \$1,321,000; FY 10 est \$1,321,000; FY 11 est \$1,321,000

Range and Average of Financial Assistance:

Fiscal year 2009 grant awards ranged from \$5,750 to \$186,024.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 63,523 employer samples were collected. Fiscal Year 2010: 80,000 employer samples estimated to be collected. Fiscal Year 2011: 80,000 employer samples estimated to be collected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. See Appendix IV of the Catalog for regional offices of the Occupational

Safety and Health Administration.

Headquarters Office:

Pavlo Oborski, Department of Labor, Occupational Safety and Health Administration, 200 Constitution Avenue, NW, Washington, District of Columbia 20210 Email: oborski.pavlo@dol.gov Phone: (202) 693-2422 Fax: (202) 693-1696.

Website Address:

<https://www.osha.gov>

RELATED PROGRAMS:

17.502 Occupational Safety and Health_Susan Harwood Training Grants; 17.503 Occupational Safety and Health_State Program; 17.504 Consultation Agreements; 17.600 Mine Health and Safety Grants; 17.601 Mine Health and Safety Counseling and Technical Assistance; 17.602 Mine Health and Safety Education and Training; 93.262 Occupational Safety and Health Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Agreements may be awarded only to a State agency, designated by the Governor of a State, which has submitted an application for funding.

17.600 MINE HEALTH AND SAFETY GRANTS

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, Public Law 095-164.

OBJECTIVES:

To assist States in providing safety and health training and developing programs to improve mine health and safety conditions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants (1) To assist States in developing and enforcing effective coal or other mine health and safety laws and regulations consistent with the provisions of Section 503 of the Federal Mine Safety and Health Act of 1977; (2) to improve State workers' compensation and occupational disease laws and programs related to coal or other mine employment; and (3) to provide Federal-State coordination and cooperation in improving the health and safety conditions in the coal or other mines.

Applicant Eligibility:

Any mining State of the United States.

Beneficiary Eligibility:

States.

Credentials/Documentation:

In addition to items as stated in Grant Solicitation from Mine Safety and Health Administration (MSHA), State official mine inspection or safety agency has or will employ an adequate and competent staff of qualified trainers to provide health and safety training for miners and trained inspectors qualified under the laws of the State to make mine inspections within the State. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The application will be submitted in an original and two copies to the Assistant Secretary of Labor for

Mine Safety and Health.

Award Procedure:

Notification will be made by the Office responsible for monitoring performance.

Deadlines:

May 01, 2010 Applications are due in May each year.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 3 months.

Appeals:

From 15 to 30 days. Within 30 days from the final decision date, an appeal may be filed with the U.S. Court of Appeals for the District of Columbia.

Renewals:

Renewals may be made on a fiscal year basis, or a portion thereof when necessary, in the same manner as that required for the original request.

Formula and Matching Requirements:

Statutory Formula: Public Law 95-164, Section 503 (g).

Matching Requirements: Percent: 20%. A maximum of 80 percent of the amount expended by any mining State for a fiscal year is paid from Federal funds and at least 20 percent are paid by the Applicant State.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of assistance is on a fiscal year basis or a portion thereof. Time phasing of assistance is on a cost reimbursable basis, normally quarterly. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual Technical reports as required by MSHA shall be furnished to the Assistant Secretary of Labor for Mine Safety and Health stating work accomplished, benefits derived, project status. No cash reports are required. No progress reports are required. Quarterly Financial Reports listing expenditures and amounts obligated are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to each grant shall be retained and made available until the expiration of 3 years after the grantees' last disbursement of such funds. Prescribed guidelines are set forth in attachment C, OMB Circular No. A-102 (revised).

Account Identification:

16-1200-0-1-554.

Obligations:

(Project Grants) FY 09 \$8,441,000; FY 10 est \$8,441,000; FY 11 est \$8,441,000

Range and Average of Financial Assistance:

From \$3,993 to \$617,956. Average: \$169,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Alabama - \$196,000; Alaska - \$50,000; Arizona - \$283,000; Arkansas - \$98,000; California - \$333,000; Colorado - \$207,000; Connecticut - \$44,000; Delaware - \$4,000; Florida - \$169,000; Georgia - \$190,000; Idaho - \$91,000; Illinois - \$217,000; Indiana - \$189,000; Iowa - \$114,000; Kansas - \$82,000; Kentucky \$618,000; Louisiana - \$80,000; Maine - \$55,000; Maryland - \$57,000; Massachusetts - \$65,000; Michigan - \$212,000; Minnesota - \$270,000; Mississippi - \$60,000; Missouri - \$204,000; Montana - \$135,000; Nebraska - \$66,000; Nevada - \$232,000; New Hampshire - \$40,000; New Jersey - \$49,000; New Mexico - \$145,000; New York - \$255,000; North Carolina - \$151,000; North Dakota - \$58,000; Ohio - \$259,000; Oklahoma - \$106,000; Oregon - \$104,000; Pennsylvania - \$521,000; Rhode Island -

\$10,000; South Carolina - \$65,000; South Dakota - \$58,000; Tennessee - \$138,000; Texas - \$423,000; Utah - \$169,000; Vermont - \$69,000; Virginia - \$265,000; Washington - \$146,000; West Virginia - \$555,000; Wisconsin - \$189,000; Wyoming - \$190,000; and Navajo Nation - \$26,000. Fiscal Year 2010: Alabama - \$199,000; Alaska - \$51,000; Arizona - \$287,000; Arkansas - \$99,000; California - \$33+,000; Colorado - \$210,000; Connecticut - \$44,000; Delaware - \$4,000; Florida - \$172,000; Georgia - \$193,000; Idaho - \$93,000; Illinois - \$220,000; Indiana - \$192,000; Iowa - \$116,000; Kansas - \$83,000; Kentucky \$628,000; Louisiana - \$82,000; Maine - \$56,000; Maryland - \$58,000; Massachusetts - \$66,000; Michigan - \$215,000; Minnesota - \$274,000; Mississippi - \$61,000; Missouri - \$207,000; Montana - \$137,000; Nebraska - \$67,000; Nevada - \$235,000; New Hampshire - \$41,000; New Jersey - \$50,000; New Mexico - \$147,000; New York - \$259,000; North Carolina - \$153,000; North Dakota - \$59,000; Ohio - \$263,000; Oklahoma - \$108,000; Oregon - \$106,000; Pennsylvania - \$529,000; Rhode Island - \$10,000; South Carolina - \$66,000; South Dakota - \$59,000; Tennessee - \$140,000; Texas - \$430,000; Utah - \$172,000; Vermont - \$70,000; Virginia - \$269,000; Washington - \$148,000; West Virginia - \$563,000; Wisconsin - \$192,000; Wyoming - \$193,000; and Navajo Nation - \$27,000. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Part 97.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan, 1100 Wilson Boulevard, Arlington, Virginia 22209 Phone: (202) 693-9572.

Website Address:

<http://www.msha.gov> .

RELATED PROGRAMS:

17.601 Mine Health and Safety Counseling and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Training and retraining of miners by State instructors; (2) mine emergency operations; (3) assisting States in training miners in health related injury; (4) assisting in Maintaining mine rescue teams at State mine rescue stations; and (5) development and implementation of accident prevention programs. Fiscal Year 2010: (1) Training and retraining of miners by State instructors; (2) mine emergency operations; (3) assisting States in training miners in health related injury; (4) assisting in Maintaining mine rescue teams at State mine rescue stations; and (5) development and implementation of accident prevention programs. Fiscal Year 2011: (1) Training and retraining of miners by State instructors; (2) mine emergency operations; (3) assisting States in training miners in health related injury; (4) assisting in Maintaining mine rescue teams at State mine rescue stations; and (5) development and implementation of accident prevention programs.

CRITERIA FOR SELECTING PROPOSALS:

Responses related to the following proposal criteria enable the applicant to show that the proposed grant is consistent with the purposes of the Act: (a) Programs, policies, and methods to be followed in administering the grant, (b) designation of the State mining agency as the sole agency with authority and responsibility for administering the grant throughout the State, (c) assurances that such agency has an adequate and competent staff of trained and qualified inspectors, (d) use of the grant will extend and improve mine health and safety in the State while providing for no advance notice of an inspection, (e) assurances that grant will supplement not supplant existing State mine health and safety programs, (f) period over which program will be pursued, (g) financial plan, (h) fiscal control and accounting procedures, (i) name and title of person who will direct the program, (j) approximate number of qualified personnel who will work on the program, (k) location or locations where program will be pursued, (l) assurance that matching funds from nonfederal sources will be forthcoming, (m) indication of whether the programs or any part have been or will be submitted to other organizations for the purpose of obtaining a grant, and (n) agreement that the official State mine agency shall make required reports.

17.601 MINE HEALTH AND SAFETY COUNSELING AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, Public Law 095-164.

OBJECTIVES:

To improve conditions of health and safety in and around coal, metal and nonmetallic mines and mineral facilities through technical advice, special studies, investigations, development of State mine health and safety programs.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Assistance can be used for establishing or improving State mine health and safety programs. Technical advice and assistance is available to States, organizations, companies, or persons with mine health and safety programs and/or problems.

Applicant Eligibility:

Any State, organization or person interested in employing healthful and safe mine or mineral industry working conditions can apply.

Beneficiary Eligibility:

States, organizations, individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. In the form of a letter to the Mine Safety and Health Administration.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries) FY 09 \$30,117,000; FY 10 est \$30,642,000; FY 11 est \$31,637,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To reduce mine accidents and disasters, technical assistance is provided to help mine inspectors, State agencies, labor, and the industry in identifying and resolving complex mine safety and health issues. Activities include equipment testing, engineering and scientific evaluation of health and safety hazards, environmental sampling, and emergency response. Fiscal Year 2010: To reduce mine accidents and disasters, technical assistance is provided to help mine inspectors, State agencies, labor, and the industry in identifying and resolving complex mine safety and health issues. Activities include equipment testing, engineering and scientific evaluation of health and safety hazards, environmental sampling, and emergency response. Fiscal Year 2011: To reduce mine accidents and disasters, technical assistance is provided to help mine inspectors, State agencies, labor, and the industry in identifying and resolving complex mine safety and health issues. Activities include equipment testing, engineering and scientific evaluation of health and safety hazards, environmental sampling, and emergency response.

REGULATIONS, GUIDELINES, AND LITERATURE:

30 Code of Federal Regulations; Bureau of Mines Information Circulars; Bureau of Mines Reports of Investigations; MSHA Informational Reports.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of the addresses of Mine Safety and Health Administration Field Installations. Information on health and safety programs, inspections, and enforcement should be sought from Coal Mine Safety and Health or Metal and Nonmetal Mine Safety and Health District Offices listed in Appendix IV of the Catalog, or the Headquarters Office. Technical information can be sought from the same sources or from the Technical Support Field Centers.

Headquarters Office:

Linda Zeiler, 1100 Wilson Boulevard, Arlington, Virginia 22209 Phone: (202) 693-9478.

Website Address:

<http://www.msha.gov>.

RELATED PROGRAMS:

17.600 Mine Health and Safety Grants; 17.602 Mine Health and Safety Education and Training; 17.603 Brookwood-Sago Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.602 MINE HEALTH AND SAFETY EDUCATION AND TRAINING

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977, Public Law 095-164.

OBJECTIVES:

To provide technical training for Federal mine inspectors and representatives of the mining industry.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Provide initial and advanced Mine Safety and Health Training for Federal Mine Inspectors. This Technical training is available to Mine Operators, Miners, States, and labor Organizations. Assistance is also provided in the form of written and audio visual training materials. These materials deal with Mining

Safety and Health Technical Materials.

Applicant Eligibility:

Any mine operator, miner or their agent can request training or training materials.

Beneficiary Eligibility:

Mine operators, miners or their agent, organizations and individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By telephone or written request.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
None.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

16-1200-0-1-554.

Obligations:

(Salaries) FY 09 \$14,839,293; FY 10 est \$15,000,000; FY 11 est \$15,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Training was provided through 1,851 course days for Federal and other employees and 164 course days for the Mining Industry, as well as the distribution of 5,436,000 mine safety publications. Fiscal Year 2010: Training will be provided through approximately 1,150 course days for Federal and other employees and 275 course days for the Mining Industry, as well as distributing approximately 6,000,000 mine safety publications. Fiscal Year 2011: Training will be provided through approximately 1,150 course days for Federal and other employees and 275 course days for the Mining Industry, as well as distributing approximately 6,000,000 mine safety publications.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for listing of Mine Safety and Health Administration Offices.

Headquarters Office:

Jeffrey A. Duncan, 1100 Wilson Boulevard, Arlington, Virginia 22213 Phone: (202) 693-9570.

Website Address:

<http://www.msha.gov> .

RELATED PROGRAMS:

17.600 Mine Health and Safety Grants; 17.603 Brookwood-Sago Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.603 BROOKWOOD-SAGO GRANT

FEDERAL AGENCY:

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Brookwood-Sago Mine Safety Grants, 30 U.S.C 965; Mine Improvement and New Emergency Response Act of 2006 (MINER Act), Public Law 109-236.

OBJECTIVES:

To provide mine safety and health training and education programs for workers and mine operators, to better identify, avoid, and prevent unsafe working conditions in and around mines. To establish and implement education and training programs, or to develop training materials for employers and miners, concerning safety and health topics in mines, as determined appropriate by Mine Safety and Health Administration (MSHA).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides grants to states, public, and private nonprofit organizations to provide training and other educational programs or develop training materials for employers and miners concerning safety and health topics in priority areas designated by MSHA.

Applicant Eligibility:

Any mining State of the United States, nonprofit public and private organizations.

Beneficiary Eligibility:

Recipient of the training workers at mines, any organization employing these individuals, and mine operators.

Credentials/Documentation:

Any credential or documentation requirements are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application procedures are detailed in each solicitation for grant applications. See the Federal Register for each solicitation for grant applications.

Award Procedure:

Competitive grant applications, submitted in response to a solicitation for grant application, will be reviewed by a panel for consistency with the solicitation for grant application requirements, and are subject to availability of funds and performance achievement. Funding decisions are at the discretion of the Assistant Secretary.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for grant applications. See the Federal Register for solicitation for grant applications.

Appeals:

None.

Renewals:

Renewal and option year availability is detailed in each solicitation for grant applications. All awards are based on single-year budget authority. Renewal and option year funding, when provided, is subject to availability of funds and performance achievement. See the Federal Register for solicitation for grant applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for grant applications. See the Federal Register for current solicitations for grant applications. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Program Reports (SF 269) are required. No cash reports are required. No progress reports are required. Quarterly Financial Reports (SF 272) are required. All grants require cooperation and sharing of program reports with an independent external evaluator. See the Federal Register for solicitations for grant applications. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to audits by the Department of Labor or other authorized Government agencies.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

(Project Grants) FY 09 \$500,000; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

At a minimum, \$50,000, depending on the project needs as detailed in the solicitation for grant application and availability of funds.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Pennsylvania State University - \$153,000; United Mine Workers of America - \$103,000; Colorado School of Mines - \$90,000; Virginia Department of Mines, Minerals and Energy - \$54,000; Rend Lake College - \$50,000; Illinois Department of Mines and Minerals - \$50,000. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Mine Safety and Health Act of 1977, Public Law 91-173 as amended by Public Law 95-164.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan, 1100 Wilson Boulevard, Arlington, Virginia 22209 Phone: (202) 693-9570.

Website Address:

<http://www.msha.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications.

17.604 SAFETY AND HEALTH GRANTS**FEDERAL AGENCY:**

Mine Safety and Health Administration, Department of Labor

AUTHORIZATION:

Federal Mine Safety and Health Amendments Act of 1977 (Mine Act), Public Law 095-164.

OBJECTIVES:

To provide mine safety and health training and education to miners, mine operators, and other individuals who may work at a mine; and to develop training and other programs (to include research and equipment development) to improve health and safety conditions at mines.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are restricted to the use, services, mine type, or any other priority that MSHA has designated or is mandated by its legislation.

Applicant Eligibility:

Any mining State of the United States, non-profit public and private organizations, commercial entity, or the legislatively mandated entity.

Beneficiary Eligibility:

Individuals employed in workplaces covered by the Mine Act, as amended by Miner Act that receive training and/or educational services and owners and employers covered by the Mine Act receiving the benefits of the health and safety project under these grants.

Credentials/Documentation:

All applicants must produce the required documents for the grant, including any expertise requested. All applicants that are non-profits must show non-profit status. If MSHA issues a solicitation for grant application (SGA), applicants must produce all items as requested in the SGA. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application instructions are distributed by MSHA. The standard application forms furnished by the Federal agency and required by the applicable OMB Circular must be used for this program. Completed applications are returned to MSHA as noted in the application.

Award Procedure:

Final award decisions are made by the Assistant Secretary. Notification will be made by the Office responsible for monitoring performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 5 months.

Appeals:

None.

Renewals:

Primarily, grants are awarded for 12 months and may be renewed for an additional 12 months. In certain circumstances, MSHA may award grants up to a 3-year performance period with a 1-year renewal.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for 12 months. Grantees receive funds through the Department of Labor, Mine Safety and Health Administration, Educational Policy and Development. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required quarterly. No cash reports are required. No progress reports are required. Fiscal reports are required quarterly. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years following grant closeout or final audit, whichever is later.

Account Identification:

16-1200-0-1-554.

Obligations:

(Cooperative Agreements) FY 09 \$1,808,000; FY 10 est \$1,450,000; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: United Mine Workers of America - \$1,808,000. Fiscal Year 2010: United Mine Workers of America - \$1,450,000. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

29 CFR Parts 31, 32, 33, 35, 36, 93, 94, 95, 96, 97, 98, and 99; OMB Circulars A-21, A-110 and A-122.

Regional or Local Office:

None.

Headquarters Office:

Jeffrey A. Duncan, 1100 Wilson Boulevard, Arlington, Virginia 22209 Phone: (202) 693-9570.

Website Address:

<http://www.msha.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the Federal Register Notice soliciting applications, if applicable.

17.700 WOMEN'S BUREAU

(Women's Bureau)

FEDERAL AGENCY:

Office of the Secretary, Women's Bureau, Department of Labor

AUTHORIZATION:

Public Law 66-259; 29 U.S.C. 11-16.

OBJECTIVES:

To improve the status of wage-earning women, improve their working conditions, increase their efficiency, advance their opportunities for profitable employment, and to provide employers with more alternatives to meet their labor needs. Through its initiatives focusing on nontraditional employment opportunities, financial security, workplace flexibility, and mentoring, the Bureau works to promote an environment that is responsive to the demands and challenges of the 21st Century workforce. The Women's Bureau establishes and maintains linkage with national, community and faith-based organizations, business and industry, trade unions, research foundations, academic, and Federal, State, and local government agencies for cooperative projects that address the employment and supportive service needs of women.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Technical information and referrals are provided to interested constituents. This information includes program models and advisory services that increase opportunities for women to plan for their economic and retirement security, identify training and educational programs designed to increase employment and earning opportunities, and support the establishment of programs that encourage a balance between home and work-life through flexible policies and programs. The Women's Bureau does not provide financial assistance to individuals.

Applicant Eligibility:

Any individual or group located in the United States or its territories may benefit from Women's Bureau projects and/or programs. The Women's Bureau does not provide financial assistance to individuals.

Beneficiary Eligibility:

Any individual or group (especially women or women's organizations) located in the United States or its territories may benefit from Women's Bureau projects and/or programs. The Women's Bureau does not provide financial assistance to individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Requests for information or technical assistance may be made to the appropriate Department of Labor, Women's Bureau regional office listed in Appendix IV of the Catalog, or to the Headquarters Office listed under the Information Contacts section of this program. The Women's Bureau does not provide financial assistance to individuals.

Award Procedure:

Not applicable. The Women's Bureau does not provide financial assistance to individuals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. The Women's Bureau does not provide financial assistance to individuals. See the following for information on how assistance is awarded/released: The Women's Bureau does not provide financial assistance to individuals.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

16-0165-0-1-505.

Obligations:

(Salaries) FY 09 \$10,277,000; FY 10 est \$11,604,000; FY 11 est \$12,255,000

Range and Average of Financial Assistance:

Not applicable. The Women's Bureau does not provide financial assistance to individuals.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: In FY 2010, the Womens Bureau continued to support and develop on-going projects in its effort to promote an environment responsive to the demands and challenges of the 21st Century workforce. These targeted initiatives were designed to enhance opportunities for women under the Departments vision of Good Jobs for Everyone, and the Bureaus vision To empower all working women to achieve economic security. In cooperation with numerous womens, Federal, state, community, and faith-based organizations, the Bureau worked to develop, implement, and promote green jobs initiatives, flexible workplace policies, equal pay recommendations, assistance to homeless women veterans, and education and training for women in nontraditional occupations. GREEN JOBS INITIATIVE During FY2010, The Womens Bureau developed nine training pilots in conjunction with experts in green industries to serve as models for engaging and preparing women for a variety of high-growth and emerging green jobs over the next decade. In addition to technical skills training, pilot participants also learned basic skills such as resume writing, interview preparation, computer skills, and financial education. The pilots provided an opportunity for the Bureau to conduct action-based research on successes in preparing women for green jobs. The Womens Bureau also hosted a series of five teleconferences for workforce practitioners, designed to offer information and an exchange of ideas to better connect women with green jobs training and green employment. Topics included Why is Green Good for Women?, Womens Entrepreneurship in Green Industries, Recruiting and Retaining Women, Women Working in Green Construction and Energy Efficiency, and Women Working in Alternative Energy. NATIONAL DIALOGUE ON WORKPLACE FLEXIBILITY The Womens Bureau kicked off its National Dialogue on Workplace Flexibility with an announcement made by the Secretary Of Labor at the White House, building on efforts to gather information and promote best practices for workplace flexibility. The Bureau will be working with the White House Council on Women and Girls to host a series of meeting throughout the country. EQUAL PAY On Equal Pay Day, the Womens Bureau and the White House Council on Women and Girls hosted a briefing by several non-governmental organizations on the current status of the wage gap and equal pay for women. The non-governmental organizations shared their insights and recommendations about equal pay with policymakers in the Womens Bureau, the Department of Labor, and other Federal agencies. HOMELESS WOMEN VETERANS The Bureau's Region III office held a Women Vets @ Work Job Summit in Washington, DC, that successfully assisted 19 unemployed, under-employed and homeless women veterans in gaining employment in public and private sector jobs. The Bureau also created a Homeless Women Veterans Report that included information and data collected from listening sessions hosted throughout the country. The report identifies the needs of homeless women veterans and explores factors that lead to homelessness among female veterans as well issues regarding reintegration

into the workforce and how to improve services and resources for this population. Information gathered for the report will also provide a basis for the development of future research, projects and funding opportunities. **WOMEN IN THE TRADES** As part of the Departments observance of Womens History Month, the Womens Bureau and the Secretary of Labor hosted a roundtable discussion on the status of women in the trades. The event aimed to enhance communication and share useful strategies and ideas on womens entrance and advancement in trade occupations. DOL leadership joined twenty participants representing Labor, tradeswomen organizations, researchers and advocates to discuss recruitment, training and on-the-job issues, and barriers to retention. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Department of Labor, Women's Bureau regional office in Appendix IV of the Catalog listed under the Office of the Secretary.

Headquarters Office:

Women's Bureau, 200 Constitution Avenue, NW Room S3002, Washington, District of Columbia 20210 Phone: (202) 693-6710.

Website Address:

<http://www.dol.gov/wb/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.720 DISABILITY EMPLOYMENT POLICY DEVELOPMENT

ODEP

FEDERAL AGENCY:

Office of Disability Employment Policy, Department of Labor

AUTHORIZATION:

The Consolidated Appropriations Act, 2001, Public Law 106-554, 29 U.S.C 557b.

OBJECTIVES:

The Office of Disability Employment Policy (ODEP) provides national leadership on disability employment policy to the Department of Labor (DOL) and other Federal agencies. ODEP develops and fosters the adoption and implementation of comprehensive and integrated disability employment policies and strategies throughout the workforce development system, in public and private workplaces, and in employment-related supports programs and services. Implementation of these effective policies and strategies increase employment opportunities, the recruitment of, and reduce barriers to the hiring, retention and promotion of people with disabilities. ODEP's response to the low labor force participation of people with disabilities is comprehensive and aggressive, and includes securing the active involvement of and cooperation among a number of stakeholders, including Federal, State and local government agencies, private and public employers, educational and training institutions, and the disability community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Uses and use restrictions are detailed in each solicitation for cooperative agreement or grant applications and in each request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current Solicitations for Cooperative Agreement Applications (SCAAs), Grant Applications (SGAs), and Requests for Proposals (RFPs). All ODEP funds are discretionary.

Applicant Eligibility:

While individuals are not eligible for ODEP's cooperative agreements and grants, nonprofit and for-profit organizations, State and local government

agencies, academic institutions, and other entities are typically eligible. Eligibility requirements are detailed in each SGA, SCAA and in RFPs. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs.

Beneficiary Eligibility:

Beneficiary eligibility is detailed in each solicitation for cooperative agreement or grant applications and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs. Information for open cooperative agreement and grant solicitations are also typically carried on ODEP's website: www.dol.gov/ODEP.

Credentials/Documentation:

See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Competitive cooperative agreement and grant applications, submitted in response to a solicitation for cooperative agreement or grant application, will be reviewed by a Federal panel for fidelity to Federal principles and to ensure adherence to solicitation for cooperative agreement or grant application requirements. Awards are made subject to availability of funds and performance achievement. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timeframes are detailed in each solicitation for cooperative agreement or grant applications and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs.

Appeals:

Appeal information and contact procedures for each solicitation are contained and detailed within the solicitation for cooperative agreement or grant application and request for proposals. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs.

Renewals:

Renewal and option year availability is detailed in each solicitation for cooperative agreement or grant applications. All awards are based on single-year budget authority. Renewal and option year funding, when provided, is subject to availability of funds and performance achievement. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length of time and phasing of assistance are detailed in each solicitation for cooperative agreement or grant applications. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs. See the following for information on how assistance is awarded/released: Length of time and phasing of assistance are detailed in each solicitation for cooperative agreement or grant applications. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAAs and RFPs.

Reports:

All cooperative agreements and grants require quarterly program and financial (SF 269 and SF 272) reports as well as a final report. All cooperative agreements and grants require cooperation and sharing of program reports with

ODEP's independent external evaluator. Any additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications.

See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAs and RFPs. Cash reports are not applicable. All cooperative agreements and grants require quarterly program and financial (SF 269 and SF 272) reports as well as a final report. All cooperative agreements and grants require cooperation and sharing of program reports with ODEP's independent external evaluator. Any additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAs and RFPs. All cooperative agreements and grants require quarterly program and financial (SF 269 and SF 272) reports as well as a final report. All cooperative agreements and grants require cooperation and sharing of program reports with ODEP's independent external evaluator. Any additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAs and RFPs. All cooperative agreements and grants require quarterly program and financial (SF 269 and SF 272) reports as well as a final report. All cooperative agreements and grants require cooperation and sharing of program reports with ODEP's independent external evaluator. Any additional reporting requirements are detailed in each solicitation for cooperative agreement or grant applications. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAs and RFPs.

Audits:

No audits are required for this program.

Records:

All cooperative agreements and grants are subject to financial compliance site reviews and independent evaluations. Quarterly programmatic and financial reporting as well as close-out reporting and documentation must be maintained under the standard Federal record retention requirements.

Account Identification:

16-0166-0-1-505.

Obligations:

(Salaries) FY 09 \$26,679,000; FY 10 est \$39,031,000; FY 11 est \$39,138,000

Range and Average of Financial Assistance:

Previous awards for cooperative agreements, grants and contracts have ranged from approximately \$15,000 to \$3,500,000 per funding year, depending on the project needs as detailed in the solicitation for cooperative agreement or grant application or proposal and availability of funds. Awards have been to non-profit and for-profit organizations, State and local government agencies, academic institutions, and other entities.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: ODEP grants, cooperative agreements and contracts provide support for policy and policy strategy research, identification, development, demonstration, testing and replication to support ODEP's output of policy, effective practices and formal agreements. ODEP's work in each fiscal year, as published through its GPRA reporting and the DOL Annual Report, provide a basis for its further work collaborating with its partners in the workforce development system, public and private workplaces, and employment-related supports programs and service to encourage their adoption and implementation of ODEP policy and effective practices. Fiscal Year 2010: ODEP grants, cooperative agreements and contracts provide support for policy and policy strategy research, identification, development, demonstration, testing and replication to support ODEP's output of policy, effective practices and formal agreements. ODEP's work in each fiscal year, as published through its GPRA reporting and the DOL Annual Report, provide a basis for its further work collaborating with its partners in the workforce development system, public and private workplaces, and employment-related supports programs and service to encourage their adoption and implementation of ODEP policy and effective practices. Fiscal Year 2011: ODEP grants, cooperative agreements and contracts provide support for policy and policy strategy research, identification, development, demonstration, testing and replication to support ODEP's output of policy, effective practices and formal agreements. ODEP's work in each fiscal year, as published through its GPRA reporting and the DOL Annual Report, provide a basis for its further work collaborating with its partners in the workforce development system, public and private workplaces, and

employment-related supports programs and service to encourage their adoption and implementation of ODEP policy and effective practices.

REGULATIONS, GUIDELINES, AND LITERATURE:

Information about the Office of Disability Employment Policy is located at www.dol.gov/odep. Information and resources on disability employment and other disability-related topics can be found at www.Disability.gov, for which ODEP is the managing partner of more than 20 Federal agencies.

Regional or Local Office:

None.

Headquarters Office:

Office of Disability Employment Policy, 200 Constitution Ave., N.W., Room S1303, Washington, District of Columbia 20210 Email: infoodep@dol.gov Phone: (202) 693-7880 / (202) 693-7881 Fax: (202) 693-7888.

Website Address:

<http://www.dol.gov/odep> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Examples of funded technical assistance and research projects awarded to support disability employment policy development can be found at www.dol.gov/odep. Fiscal Year 2011: Examples of funded technical assistance and research projects awarded to support disability employment policy development will be available at www.dol.gov/odep.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are detailed in each solicitation for cooperative agreement or grant application and request for proposal. Criteria always include the availability of ODEP funds, alignment with ODEP's mission to develop national policy to reduce barriers to employment for people with disabilities, performance achievement, and provision of data to support performance reporting. See www.grants.gov, the Federal Register, or FedBizOpps for current SGAs, SCAs and RFPs.

17.801 DISABLED VETERANS' OUTREACH PROGRAM (DVOP)

(DVOP Program)

FEDERAL AGENCY:

Veteran's Employment and Training Service, Department of Labor

AUTHORIZATION:

Jobs for Veterans Act; Title 38, Part III, Chapter 41, Section 4103A; Public Laws 107-288 and 109-461., Title 38, Part III, Section 4103, Public Law 107-288, 38 U.S.C 4103A.

OBJECTIVES:

To provide intensive services to meet the employment needs of disabled and other eligible veterans with maximum emphasis in meeting the employment needs of those who are economically or educationally disadvantaged, including homeless veterans and veterans with barriers to employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds must be used only for salaries and expenses and reasonable support of Disabled Veterans' Outreach Program (DVOP) Specialists who shall be assigned only those duties directly related to meeting the employment needs of eligible veterans according to the provisions of 38 U.S.C. 4103A.

Applicant Eligibility:

The state administrative entity designated by each Governor.

Beneficiary Eligibility:

Veterans and eligible persons with emphasis on Special Disabled veterans, disabled veterans, economically or educationally disadvantaged veterans, and veterans with other barriers to employment.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies

to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Standard Form 424, Application for Federal Assistance, submitted to the Director for Veterans' Employment and Training (DVET) in each State, as listed in Appendix IV along with program narratives and Fiscal Plans in accordance with instructions in a Solicitation for Grant Application (SGA) or annual modification instructions.

Award Procedure:

Awards approved annually by a Department of Labor Grant Officer for the Assistant Secretary for Veterans' Employment and Training (ASVET) are based on reviews and recommendations by Regional Administrators for Veterans' Employment and Training (RAVETs) and DVETs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Ninety days following the submission of an application pending the availability of fiscal year appropriation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually awarded for a fiscal year (October through September). States may obligate funds to provide assistance for a fifth fiscal year quarter (until December 31). Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly reporting of: (1) accrued expenditures against budget categories in fiscal operating plans; and (2) workload activity of veterans' who were provided case management, referred to training and employment, received staff-assisted services, entered employment and employment retention; and (3) additional reports as described in the most recent Veterans' Program Letter on Recurring Report Requirements. Cash reports are not applicable. Technical Performance Narrative is required per the current Veterans' Program Letter on Recurring Report Requirements. Federal Financial Report (SF 425) and VETS 402A/B. ETA 9002 Series and VETS 200 Series compared to state negotiated goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost accounting, time keeping, and performance records to be maintained in accordance with each grant agreement, regulations and Veterans' Program Letters.

Account Identification:

16-0164-0-1-702.

Obligations:

(Salaries) FY 09 \$82,804,000; FY 10 est \$81,208,000; FY 11 est not reported. - Listed below are the actual obligations by State for FY 09 (amounts in \$thousands). AK-\$250; AL-\$955; AR-\$572; AZ-\$1,746; CA-\$11,111; CO-\$1,496; CT-\$946; DC-\$247; DE-\$124; FL-\$4,683; GA-\$2,785; GU-\$0; HI-\$440; IA-\$1,286; ID-\$624; IL-\$3,102; IN-\$1,444; KS-\$864; KY-\$639; LA-\$1,177; MA-\$1,271; MD-\$1,537; ME-\$402; MI-\$2,590; MN-\$1,602; MO-\$877; MS-\$583; MT-\$673; NC-\$1,391; ND-\$150; NE-\$810; NH-\$349; NJ-\$2,160; NM-\$432; NV-\$668; NY-\$4,233; OH-\$6,436; OK-\$478; OR-\$1,508; PA-\$2,684; PR-\$188; RI-\$196; SC-\$1,306; SD-\$492; TN-\$1,540; TX-\$6,529; UT-\$963; VA-\$1,580; VI-\$0; VT-\$149; WA-\$2,004; WI-\$2,018; WV-\$271; WY-\$243.

Listed below are the estimated obligations by State for FY 10 (amounts in \$thousands; subject to change). AK-\$276; AL-\$847; AR-\$471; AZ-\$1,457; CA-\$11,701; CO-\$1,425; CT-\$762; DC-\$392; DE-\$205; FL-\$5,537; GA-\$2,351; GU-\$70; HI-\$285; IA-\$1,388; ID-\$626; IL-\$3,121; IN-\$1,461; KS-\$820; KY-\$671; LA-\$967; MA-\$1,143; MD-\$1,614; ME-\$390; MI-\$2,605; MN-\$1,687; MO-\$752; MS-\$559; MT-\$628; NC-\$1,232; ND-\$210; NE-\$818; NH-\$340; NJ-\$2,142; NM-\$354; NV-\$738; NY-\$3,729; OH-\$5,412; OK-\$493; OR-\$1,842; PA-\$3,202; PR-\$215; RI-\$205; SC-\$1,202; SD-\$479; TN-\$1,125; TX-\$6,327; UT-\$881; VA-\$1,502; VI-\$0; VT-\$125; WA-\$2,053; WI-\$1,672; WV-\$356; WY-\$343

Estimated obligations by State for FY 11 are unknown at the time of publication.

Range and Average of Financial Assistance:

Range from \$0 to \$11,701,000 based on award during FY 09 and FY 10 year to date. Approximate average award based on FY 09 and FY 10: \$1,533,000 (rounded).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, United States Code, Section 4103A; 20 CFR 1001; Veterans' Program Letters.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed in Appendix IV of the Catalog.

Headquarters Office:

Patrick Hecker, 200 Constitution Avenue, NW, Room S-1312, Washington, District of Columbia 20210 Email: hecker.patrick@dol.gov Phone: (202) 693-4709.

Website Address:

<http://www.dol.gov/vets>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.804 Local Veterans' Employment Representative Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Solicitation for Grant Application and Annual Modification guidance.

17.802 VETERANS' EMPLOYMENT PROGRAM

(Veterans' Workforce Investment Programs (VWIP))

FEDERAL AGENCY:

Veteran's Employment and Training Service, Department of Labor

AUTHORIZATION:

Workforce Investment Act of 1998, Section 168, Public Law 105-220, 29 U.S.C 2913.

OBJECTIVES:

To provide services to assist in reintegrating eligible veterans into meaningful employment within the labor force; and to stimulate the development of effective service delivery systems that will address the complex problems facing eligible veterans.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Programs supported with these funds shall include: 1) Activities to enhance services provided to eligible veterans by other providers of employment and training services funded by Federal, State, local or tribal governments; 2) activities to provide innovative employment and training services and projects to eligible veterans not currently provided by other public employment and training service providers; and 3) outreach and public information activities to develop and promote maximum job and training or retraining opportunities for eligible veterans.

Applicant Eligibility:

State and Local Workforce Investment Boards, State and State Agencies, local public agencies, private non-profit organizations and community-based organizations (including faith-based and community organizations) are eligible to compete for these grant funds.

Beneficiary Eligibility:

Service-connected disabled veterans, veterans who have significant barriers to employment, veterans who served on active duty in the armed forces during a war or in a campaign or expedition for which a campaign badge has been authorized, and veterans who are recently separated from military service (48 months).

Credentials/Documentation:

According to the program's Solicitation for Grant Application (SGA) and Standard 424, Application for Federal Assistance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

The Office of the Assistant Secretary for Veterans' Employment and Training, in conjunction with the Office of the Assistant Secretary for Administration and Management, is responsible for all funding decisions regarding this competitive grant program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 15 to 30 days. Within 21 days of the determination denying financial assistance the applicant may request a hearing through the Chief Administrative Law Judge, Department of Labor.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is normally available during a 12-month period (program year). The

methods of payment will be with an automatic payment management system. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reporting of workload activities of veterans' who were provided employment services, referred to training and employment, entered employments, and employment retention. HHS 272. Progress reports are not applicable. Federal Financial Report (SF 425) through the Department's E-Grants system. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

16-0174-0-1-504.

Obligations:

(Project Grants (Discretionary)) FY 09 \$7,568,149; FY 10 est \$9,547,114; FY 11 est not reported. - (Grants and Contracts) Program operates on a program year (PY) beginning on July 1 and ending on June 30. PY 09 actual \$7,568,149; PY 10 est \$9,547,114; PY 11 est not available. PY 2009 (Performance period July 1, 2009 - June 30, 2010) PY 2010 (Performance period July 1, 2010 - June 30, 2011).

Range and Average of Financial Assistance:

In PY 2010 there were twenty-two grant awards ranging from \$146,000 (rounded) to \$500,000 (rounded) for an average award of \$434,000 (rounded).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For PY 2009, there were 879 veterans who were placed into employment out of 2,409 veterans participating in the program. The average wage at placement was \$15.02. Fiscal Year 2010: Current PY 2010 (July 1, 2010 - June 30, 2011) accomplishments are unavailable. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Information regarding program funding and eligibility can be found on our website at www.dol.gov/vets.

Headquarters Office:

Kenneth Fenner, 200 Constitution Ave, NW Room S-1312, Washington, District of Columbia 20210 Email: Fenner.Kenneth@dol.gov Phone: (202) 693-4728.

Website Address:

<http://www.dol.gov/vets>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in the Solicitation for Grant Application.

17.803 UNIFORMED SERVICES EMPLOYMENT AND REEMPLOYMENT RIGHTS

USERRA

FEDERAL AGENCY:

Veteran's Employment and Training Service, Department of Labor

AUTHORIZATION:

38 U.S.C. Chapter 43.

OBJECTIVES:

To protect and facilitate the smooth and prompt reemployment of members of the uniformed services (to include National Guard and Reserves) following performance of qualifying service. Also, to prohibit discrimination in employment and acts of reprisal against persons because of their obligation in the uniformed services, filing a claim, seeking assistance concerning an alleged violation, testifying in a proceeding, or otherwise participating in an investigation.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

To assist persons who perform service in the uniformed services to secure employment or prompt reinstatement with their pre-service employers, and to secure the crediting of all seniority rights and benefits to that employment. To provide information and assistance to employers and to labor organizations and other elements of the community concerned with veterans' employment and reemployment. The Department of Labor - Veterans' Employment and Training Service investigates complaints with the goal of voluntary resolution of veterans' employment and reemployment problems. Unresolved complaints are referred to the Department of Justice or to the Office of Special Counsel upon request for consideration for representation in the Federal District courts or before the Merit Systems Protection Board.

Applicant Eligibility:

Persons performing voluntary or involuntary service in the uniformed services (includes active duty, active duty for training, initial active duty for training, inactive duty training, full time National Guard duty, the period absent from employment while being examined to determine fitness for such, and specified duty or training by intermittent appointees of the National Disaster Medical System) Claimants must conform to all statutory eligibility requirements, including notification, intent, and timeliness of actions. Entitlement terminates upon separation from uniformed service with a bad conduct or dishonorable discharge or upon separation under other than honorable conditions, a "dismissal" or "dropping from the rolls" pursuant to sections 1161(a) and 1161(b) of Title 10, U.S. code.

Beneficiary Eligibility:

Persons performing voluntary or involuntary service in the uniformed services (includes active duty, active duty for training, initial active duty for training, inactive duty training, full time National Guard duty, the period absent from employment while being examined to determine fitness for such and specified duty or training by intermittent appointees of the National Disaster Medical System). Claimants must conform to all statutory eligibility requirements, including notification, intent, and timeliness of actions.

Credentials/Documentation:

Applicants should be able to provide evidence of pre-service employment, proper notification, length and character of service, and proper request for reinstatement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the nearest Department of Labor, Veterans' Employment and Training Service (USDOL-VETS) office listed in Appendix IV of the Catalog.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The statute authorizes court review for unresolved complaints. Private action is also authorized; contact the nearest USDOL-VETS office listed in Appendix IV of the Catalog for the procedure.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

16-0164-0-1-702.

Obligations:

(Investigation of Complaints) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Funding for this effort is included in the annual VETS budget.

Range and Average of Financial Assistance:

not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Department of Labor, Veterans' Employment and Training Service office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Wilson, 200 Constitution Ave., NW, Washington, District of Columbia 20210 Phone: (202) 693-4719.

Website Address:

<http://www.dol.gov/dol/vets>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.804 LOCAL VETERANS' EMPLOYMENT REPRESENTATIVE PROGRAM

(LVER Program)

FEDERAL AGENCY:

Veteran's Employment and Training Service, Department of Labor

AUTHORIZATION:

Jobs for Veterans Act; Title 38, Part III, Chapter 41, Section 4104; Public Laws 107-288 and 109-461., Title 38, Part III, Section 41, Public Law 107-288, 38 U.S.C 4104.

OBJECTIVES:

Conduct outreach to employers including conducting seminars for employers, conducting job search workshops and establishing job search groups; and to facilitate employment, training, and placement services furnished to veterans in

a State under the applicable State employment service or One-Stop Career Center delivery systems whose sole purpose is to assist veterans in gaining and retaining employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds must be used only for salaries, expenses and reasonable support of Local Veterans' Employment Representatives who shall be assigned only those functions directly related to providing services to veterans according to provisions of 38 U.S.C. 4103 and 4104.

Applicant Eligibility:

The state administrative entity designated by each Governor.

Beneficiary Eligibility:

Veterans, transitioning service members, and eligible persons.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Standard Form 424, Application for Federal Assistance, Jobs for Veterans State grant narrative and financial plans, staff directory, assurances and certifications are submitted to the Director for Veterans' Employment and Training (DVET) in each State, (see Appendix IV of the Catalog) in accordance with Jobs for Veterans Solicitations for Grant Application or annual modification instructions.

Award Procedure:

Awards approved annually by a Department of Labor Grant Officer for the Assistant Secretary for Veterans' Employment and Training (ASVET) are based on reviews and recommendations by Regional Administrators for Veterans' Employment and Training (RAVETs) and DVETs.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Ninety days following submission of an application pending the availability of a Fiscal Year appropriation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually awarded for a fiscal year (October through September). States may obligate funds to provide assistance for a fifth fiscal year quarter (until December 31). Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly reporting of: (1) accrued expenditures against budget categories in fiscal operating plans; and (2) workload activity of veterans' who were provided case management, referred to training and employment, received staff-assisted services, entered employment and employment retention; and (3) additional reports as described in the most recent Veterans' Program Letter on Recurring

Report Requirements. Cash reports are not applicable. Technical Performance Narrative is required per the current Veterans' Program Letter on Recurring Report Requirements. Federal Financial Report (SF 425) and VETS 402A/B. ETA 9002 Series and VETS 200 Series compared to state negotiated goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Cost accounting, time keeping, and performance records to be maintained according to grant agreement, regulations and Veterans' Program Letters.

Account Identification:

16-0164-0-1-702.

Obligations:

(Salaries) FY 09 \$73,687,000; FY 10 est \$73,038,000; FY 11 est not reported. - Listed below are the actual allocations by State for FY 2009 (Amounts in \$thousands): AK-\$261; AL-\$1,168; AR-\$967; AZ-\$1,254; CA-\$5,312; CO-\$1,588; CT-\$729; DC-\$296; DE-\$406; FL-\$4,319; GA-\$2,828; GU-\$0; HI-\$396; IA-\$98; ID-\$257; IL-\$2,727; IN-\$1,797; KS-\$702; KY-\$1,694; LA-\$927; MA-\$1,737; MD-\$1,576; ME-\$365; MI-\$3,405; MN-\$1,138; MO-\$2,243; MS-\$1,113; MT-\$177; NC-\$3,447; ND-\$416; NE-\$138; NH-\$402; NJ-\$1,169; NM-\$801; NV-\$637; NY-\$3,673; OH-\$487; OK-\$1,493; OR-\$814; PA-\$3,014; PR-\$277; RI-\$323; SC-\$1,649; SD-\$73; TN-\$1,871; TX-\$6,381; UT-\$10; VA-\$2,384; VI-\$61; VT-\$351; WA-\$1,852; WI-\$1,623; WV-\$597; WY-\$264.

Listed below are the current allocations by State for FY 2010 (Amounts in \$thousands; subject to change): AK-\$265; AL-\$1,359; AR-\$1,042; AZ-\$1,346; CA-\$5,438; CO-\$1,511; CT-\$881; DC-\$330; DE-\$369; FL-\$4,360; GA-\$2,633; GU-\$0; HI-\$275; IA-\$161; ID-\$240; IL-\$2,395; IN-\$1,906; KS-\$582; KY-\$1,579; LA-\$977; MA-\$1,815; MD-\$1,258; ME-\$341; MI-\$3,440; MN-\$1,076; MO-\$2,015; MS-\$1,117; MT-\$258; NC-\$3,619; ND-\$340; NE-\$120; NH-\$409; NJ-\$1,179; NM-\$745; NV-\$649; NY-\$3,271; OH-\$1,074; OK-\$1,425; OR-\$1,165; PA-\$3,264; PR-\$285; RI-\$247; SC-\$1,502; SD-\$71; TN-\$1,779; TX-\$5,900; UT-\$10; VA-\$2,575; VI-\$45; VT-\$354; WA-\$1,855; WI-\$1,372; WV-\$612; WY-\$202.

Estimated obligations by State for FY 2011 are unknown at the time of publication.

Range and Average of Financial Assistance:

Ranges from \$0 to \$5,900,000 based on awards during FY 09 and FY 10 year to date. Approximate average during FY 09 and FY 10: \$1,371,000 (rounded).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, United States Code, Section 4104; 20 CFR 1001; Veterans' Program Letters.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrators and State Directors for Veterans' Employment and Training Service listed in Appendix IV of the Catalog.

Headquarters Office:

Patrick Hecker, 200 Constitution Avenue, NW, Room S-1312, Washington, District of Columbia 20210 Email: hecker.patrick@dol.gov Phone: (202) 693-4709.

Website Address:

<http://www.dol.gov/vets>.

RELATED PROGRAMS:

17.207 Employment Service/Wagner-Peyser Funded Activities; 17.801

Disabled Veterans' Outreach Program (DVOP); 17.807 Transition Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Solicitation for Grant Application and Annual Modification guidance.

17.805 HOMELESS VETERANS REINTEGRATION PROJECT

FEDERAL AGENCY:

Veteran's Employment and Training Service, Department of Labor

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987; Title II, Part C; Public Law 100-77, as amended; Public Laws 100- 628, 101-645, 102-590, 104-275, 105-41; 105-114, and 107-95; 42 U.S.C. 1447-1450; 38 U.S.C., 4111., Title II, Part C.

OBJECTIVES:

To provide services to assist in reintegrating homeless veterans into meaningful employment within the labor force; and to stimulate the development of effective service delivery systems that will address the complex problems facing homeless veterans.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects supported with these funds shall provide for employment and training services and support services directly or through linkages with other service providers to assist homeless veterans to reenter the workforce. Outreach is necessary and is to be performed by formerly homeless veterans.

Applicant Eligibility:

State and Local Workforce Investment Boards, State and State Agencies, local public agencies, private non-profit organizations (including faith-based and community organizations) and for-profit/commercial entities. Potential jurisdictions are metropolitan areas of the largest U.S. cities or Non-Urban areas in need, as announced in the solicitation for grant applications (SGA).

Beneficiary Eligibility:

Individuals who are homeless veterans. The term "homeless" or "homeless individual" includes: (1) An individual who lacks a fixed, regular, and adequate night-time residence; and (2) an individual who has a primary night-time residence that is: (a) a supervised publicly or privately operated shelter designed to provide temporary living accommodations including welfare hotels, congregate shelters, and transitional housing for the mentally ill; (b) an institution that provides a temporary institutionalized; or (c) a public or private place not designed for, or ordinarily used as, a regular sleeping accommodations for human beings (Reference: 42 U.S.C. 1302). A "veteran" is an individual who served in the activity military, naval, or air service, and who was discharged or released there from under conditions other than dishonorable. (Reference: 33 U.S.C. 101 (2)).

Credentials/Documentation:

According to the program's Solicitation for Grant Application (SGA) or Standard 424, Application for Federal Assistance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Standard Form 424, Application for Federal Assistance, and Standard Form 424A, Budget Information shall be submitted, along with the technical proposal and budget narrative as required by the application SGA. This program is subject to the provisions of OMB Circular Nos. A-21, A-87, A-110, A-122, and A-133, 48 CFR 31, 29 CFR 2, 30, 31, 32, 33, 35, 36, 37, 93, 94, 95, 96, 97, 98, and 99.

Award Procedure:

The Office of the Assistant Secretary for Administration and Management, and the Assistant Secretary for Veterans' Employment and Training are responsible for all funding decisions regarding this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days.

Appeals:

Debriefings of unsuccessful applicants are provided upon request.

Renewals:

As noted in the SGA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually awarded for a full program year (July through June period). Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reporting of program activity such as number enrolled, entered employment and retention in employment. Through the HHS and Department's E-Grants system. No progress reports are required. Federal Financial Report (SF 425) through the Department's E-Grants system. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Subject to the provisions of 29 CFR Part 96 Audits of Federally Funded Grants, Contracts, and Agreements.

Records:

Financial and performance records to be maintained in accordance with the grant agreement.

Account Identification:

16-0164-0-1-702.

Obligations:

(Project Grants (Discretionary)) FY 09 \$22,590,188; FY 10 est \$33,652,121; FY 11 est not reported. - Program operates on a program year (PY) beginning on July 1 and ending on June 30. PY 2009 (Performance period July 1, 2009 - June 30, 2010); PY 2010 (Performance period July 1, 2010 - June 30, 2011).

Range and Average of Financial Assistance:

In PY 2010 there were 139 grant awards ranging from \$94,140 to \$300,000 for an average of \$242,000 (rounded).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For PY 2009, there were 5,973 homeless veterans who were placed into employment out of 11,108 total enrollments in the program. The average wage at placement was \$10.09. Fiscal Year 2010: Current PY 2010 (July 1, 2010 - June 30, 2011) accomplishments are unavailable. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator or State Director for Veterans' Employment and Training at the nearest office listed in Appendix IV of the Catalog.

Headquarters Office:

Kenneth Fenner, 200 Constitution Avenue, NW, Room S-1312, Washington, District of Columbia 20210 Email: fenner.kenneth@dol.gov Phone: (202) 693-4728.

Website Address:

<http://www.dol.gov/dol/vets>.

RELATED PROGRAMS:

17.801 Disabled Veterans' Outreach Program (DVOP); 17.802 Veterans' Employment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in the Solicitation for Grant Application.

17.806 VETERAN'S PREFERENCE IN FEDERAL EMPLOYMENT

FEDERAL AGENCY:

Veteran's Employment and Training Service, Department of Labor

AUTHORIZATION:

Veterans Preference Act of 1944, Public Laws 78-359, 93-508, 94-502, 95-520, 95-454, 97-72, 97-306, 98-543, 99-576, 101-237, 102-16, 102-568, 105-339, and 106-117; 109-163; Executive Order 11521, March 26, 1970.

OBJECTIVES:

To provide assistance to preference eligible individuals who believe that their rights under veterans' preference statutes and regulations have been violated. Recognizing their sacrifice, Congress enacted laws to prevent veterans seeking Federal employment from being penalized for their time in military service. Veterans' preference recognizes the economic loss suffered by citizens who have served their country in uniform, restores veterans to a favorable competitive position for Government employment, and acknowledges the larger obligation owed to disabled veterans. Veterans' preference is not so much a reward for being in uniform as it is a way to help make up for the economic loss suffered by those who answered the nation's call to arms. Historically, preference has been reserved by Congress for those who were either disabled or who served in combat areas. Eligible veterans receive many advantages in Federal employment, including preference for initial employment and a higher retention standing in the event of layoffs. However, the veterans' preference laws do not guarantee the veteran a job, nor do they give veterans' preference in internal agency actions such as promotion, transfer, reassignment, and reinstatement. By law, veterans who are disabled or who served on active duty in the Armed Forces during certain specified time periods or in military campaigns are entitled to preference over others in hiring from competitive lists of eligibles and also in retention during reductions-in-force. Preference applies in hiring for virtually all Federal jobs, whether in the competitive or excepted service. In addition to receiving preference in competitive appointments, veterans may be considered for special noncompetitive appointments for which only they are eligible.

TYPES OF ASSISTANCE:

FEDERAL EMPLOYMENT

USES AND USE RESTRICTIONS:

To assist persons who perform service in the uniformed services to secure Federal employment and to ensure a higher retention standing in the event of a reduction-in-force. To provide information and assistance to veterans, employers, labor organizations, and other elements of the community concerned with veterans' preference in Federal employment and retention. The Department of Labor - Veterans' Employment and Training Service investigates complaints with the goal of voluntary resolution of veterans' preference problems. Unresolved complaints can be pursued by the complainant veteran to the Merit Systems Protection Board.

Applicant Eligibility:

(1) Five-point preference is given to those honorably separated veterans (this means an honorable or general discharge) who served on active duty (not active duty for training) in the Armed Forces: During any war (this means a war declared by Congress, the last of which was World War II); during the period April 28, 1952, through July 1, 1955; for more than 180 consecutive days, any part of which occurred after January 31, 1955, and before October 15, 1976; during the Gulf War period beginning August 2, 1990, and ending January 2, 1992; for more than 180 consecutive days, any part of which occurred during the period beginning on September 11, 2001, and ending on the date prescribed by Presidential proclamation or by law as the last date of Operation Iraqi

Freedom; or in a campaign or expedition for which a campaign medal has been authorized, such as El Salvador, Lebanon, Granada, Panama, Southwest Asia, Somalia, and Haiti. Medal holders and Gulf War veterans who originally enlisted after September 7, 1980, or entered on active duty on or after October 14, 1982, without having previously completed 24 months of continuous active duty, must have served continuously for 24 months or the full period called or ordered to active duty. Effective on October 1, 1980, military retirees at or above the rank of major or equivalent, are not entitled to preference unless they qualify as disabled veterans. Ten-point preference is given to those honorably separated veterans who: (1) Qualify as disabled veterans because they have served on active duty in the Armed Forces at any time and have a present service-connected disability or are receiving compensation, disability retirement benefits, or pension from the military or the Department of Veterans Affairs; or (2) are Purple Heart recipients; the spouse of a veteran unable to work because of a service-connected disability; the unmarried widow of certain deceased veterans; and the mother of a veteran who died in service or who is permanently and totally disabled. When applying for Federal jobs, eligible veterans should claim preference on their application or resume. Applicants claiming 10-point preference must complete form SF- 15, Application for 10-Point Veteran Preference. Veterans who are still in the service may be granted 5 points tentative preference on the basis of information contained in their applications, but they must produce a DD Form 214 prior to appointment to document entitlement to preference. Note: Reservists who are retired from the Reserves but are not receiving retired pay are not considered "retired military" for purposes of veterans' preference. (2) Veterans who have been separated from the armed forces under honorable conditions shortly before completion of 3 years, or completion of 3 years or more of active duty military service are eligible to compete for vacant Federal positions for which the agency making the announcement will accept applications from individuals outside its own workforce under merit promotion procedures. This does not make them preference eligible, but allows access to certain Federal job openings for which they would otherwise not be entitled to apply. (3) Public Law 94-502 authorizes Federal agencies to provide unpaid training or work experience, as a part of the Department of Veterans Affairs vocational rehabilitation for a disabled veteran. Such training may be designed to provide noncompetitive appointment.

Beneficiary Eligibility:

Non-disabled veterans, disabled veterans and certain wives or husbands, widows, widowers, and mothers of veterans.

Credentials/Documentation:

For veterans' preference, general proof of honorable separation such as discharge certificate or DD Form 214. In addition, for 10-point preference, a letter from the Department of Veterans Affairs or military department certifying existence of service-connected disability. When the 10-point preference is based on the award of a Purple Heart, official documentation of the award is sufficient. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms are available from Service Centers of the Office of Personnel Management and from Federal agency personnel offices. Veterans eligible for noncompetitive appointment should make personal contact with the Federal agency where they would like to be considered for employment. Veterans who believe that they have not been properly accorded their rights have several different avenues of complaint, depending upon the nature of the complaint and the individual's veteran status: The Veterans Employment Opportunities Act of 1998 allows preference and other eligibles to file a complaint with the Department of Labor's Veterans' Employment and Training Service (VETS) when the person believes an agency has violated his or her rights under any statute or regulation relating to veterans' preference. Eligible veterans seeking employment who believe that an agency has not properly accorded them their veterans' preference, or failed to provide special placement consideration noted above, may file a complaint with the local Department of Labor VETS

representative (located at State employment service offices). To be eligible to file a complaint a veteran must: Have served on active duty for more than 180 days and have other than a dishonorable discharge; have a service-connected disability; or served on active duty during a period of war, or received a campaign badge or expeditionary medal (e.g., the Southwest Asia Service Medal). The Uniformed Services Employment and Reemployment Rights Act of 1994 (USERRA) prohibits discrimination in employment, retention, promotion, or any benefit of employment on the basis of a person's service in the uniformed services. Complaints under this law should also be filed with the local Department of Labor VETS representative (located at State employment service offices). Since a willful violation of a provision of law or regulation pertaining to veterans' preference is a Prohibited Personnel Practice, a preference eligible who believes his or her veterans' preference rights have been violated may file a complaint with the local department of Labor VETS representative, as noted above. A disabled veteran who believes he or she has been discriminated against in employment because of his or her disability may file a handicapped discrimination complaint with the offending agency under regulations administered by the Equal Employment Opportunity Commission.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Under Public Law 95-454, retired members of the armed forces will no longer be considered preference eligible as of October 1, 1980, unless they are disabled veterans or retired below the rank of Major or the equivalent. Under Public Law 97-306, enacted October 14, 1982, a 2-year minimum active duty service condition for those entering military service after September 7, 1980, or the full period for which called or ordered to active duty, must be met, unless they are disabled veterans.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

not applicable. See the following for information on how assistance is awarded/released: none.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

16-0164-0-1-702.

Obligations:

(Federal Employment) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Obligations are devoted to Federal Management; and, are not separately identifiable.

Range and Average of Financial Assistance:

not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the nearest Department of Labor,

Veterans' Employment and Training Service office listed in Appendix IV of the Catalog.

Headquarters Office:

Robert Wilson, 200 Constitution Ave., NW, Washington, District of Columbia 20210 Phone: (202) 693-4719.

Website Address:

<http://www.dol.gov/dol/vets/public/programs/programs/preference/main.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

17.807 TRANSITION ASSISTANCE PROGRAM

TAP

FEDERAL AGENCY:

Veteran's Employment and Training Service, Department of Labor

AUTHORIZATION:

Defense Reauthorization Act of 1991, Public.Law 101-510, 10 U.S.C. 1144.

OBJECTIVES:

To provide employment instruction, information and assistance to separating and retiring military personnel and their spouses through domestic and overseas installations and or facilities by offering job search and other related services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

These services are provided through comprehensive workshops at military installations worldwide. TAP Employment Workshops provide service members and spouses with labor market information as they return to the world of civilian employment; resume assistance; and information on available service benefits. TAP Employment Workshops are provided through State Employment Agencies (Local Veterans Employment Representative program---17.804), and through a competitively awarded training and assistance contract.

Applicant Eligibility:

State Workforce Agencies designated under Section 4 of the Wagner-Peyser Act, as amended, or entities designed by the Governor. Contractors, as determined by the Department of Labor's Contracting Officer.

Beneficiary Eligibility:

Service members within two years of retirement or one year of separation and their spouses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information Non-Construction Programs, along with a State Plan narrative and a fiscal narrative in accordance with instructions in the grant application process or annual modification instructions. For competitive contracts, as determined by the Department of Labor's Contracting Officer.

Award Procedure:

Grant awards are approved by a Department of Labor grant officer annually for the Assistant Secretary for Veterans' Employment and Training based on the recommendations of Regional Administrators and Directors for Veterans' Employment and Training (RAVETs/DVETs). Contract awards are approved approximately annually based on a determination by the Department of Labor's Contracting Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grant application submittal deadlines are established by Grant Application Instructions or Veterans' Program Letter distributed by the ASVET through the Grant Officer for each applicable fiscal year. Contract deadlines are irregular and depend upon fluctuating demand and the ability of the LVER program to provide TAP Employment Workshops.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funding is awarded each fiscal year (October through September). States may obligate funds to provide TAP Employment Workshops for a fifth fiscal year quarter (until December 31). Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly reporting of TAP participants. HHS 272 Report through the HHS system. No progress reports are required. Federal Financial Report (SF 425) through the Department's E-Grants system. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Cost accounting and performance records to be maintained in accordance with Veterans' Program Letters.

Account Identification:

16-0174-1-0-702.

Obligations:

(Salaries) FY 09 \$6,567,718; FY 10 est \$7,881,000; FY 11 est not reported. - FY 2009 (Grants) actual \$3,073,000; (Contracts) actual \$3,494,718.

FY 2010 estimates (Grants) \$2,742,000; (Contracts) \$5,139,000.

Range and Average of Financial Assistance:

FY 2010 (Grants) \$0 - \$791,000; Average \$54,379.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 38, Chapter 41.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional administrator or State Director for Veterans' Employment and Training at the nearest office listed in Appendix IV of this Catalog.

Headquarters Office:

Patrick Hecker, 200 Constitution Avenue, NW, Room S-1312, Washington, District of Columbia 20210 Email: hecker.patrick@dol.gov Phone: (202) 693-4709.

Website Address:

<http://www.dol.gov/vets>.

RELATED PROGRAMS:

17.804 Local Veterans' Employment Representative Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on criteria in Grant Application Instructions.

U.S. DEPARTMENT OF STATE

19.020 CHARLES B. RANGEL INTERNATIONAL AFFAIRS PROGRAM

Rangel Program

FEDERAL AGENCY:

Bureau of Personnel, U.S. Department of State

AUTHORIZATION:

State Department Basic Authorities Act of 1956, Title 22, Part 2719, Section 47; 1990-1991 Department of State Authorization Bill, Public Law 101-246, 42 Stat. 47, Public Law 101-246, 47 Stat.

OBJECTIVES:

To increase the number of minorities interested in and prepared for international service, particularly a Foreign Service career in the Department of State as well as provide students with a deeper appreciation of current issues and trends in international affairs, greater understanding of career opportunities in international affairs and the enhanced knowledge and skills to pursue such careers. The program develops a source of trained men and women, from academic fields representing the skill needs of the Department who are dedicated to representing America's interests abroad.

TYPES OF ASSISTANCE:

Cooperative Agreements; Cooperative Agreements (Discretionary Grants); Project Grants (Fellowships)

USES AND USE RESTRICTIONS:

Funding is used to support program administration as well as coordinating program logistics. Funding supports activities and financial obligations such as tuition costs; room and board; student travel; books, fees and costs associated with student summer internship programs including stipends, travel, passport fees, inoculation and visa fees. Additionally, a designated amount of funds may be used for administrative costs associated with the program administration. Funding is to be used to support program administration as well as coordinating program logistics. Funding supports activities and financial obligations such as tuition costs, student travel, program administration costs and other costs as they relate to the administration of the Charles B. Rangel international Affairs Program.

Applicant Eligibility:

Assistance supports activities and financial obligations such as tuition costs, student travel, program administration costs and other costs as they relate to the administration of the Charles B. Rangel international Affairs Program.

Beneficiary Eligibility:

Applications may be submitted by public and private non-profit organizations. Please refer to Grant.gov for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Credentials/Documentation:

Applications may be submitted by public and private non-profit organizations. Please refer to Grant.gov for further eligibility criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

The recipient must submit all requests, in writing, to the Grants.gov site before the project period end date indicated on form DS1909. Final approval is subject to review and acceptance by the GO. Unless otherwise specified in the award, provisions of the applicable Federal cost principles and other Federal administrative requirements for prior approval apply only to the activities and expenditures specified in the award. Written prior approval, by way of amendment, from the Department of State's Grants Officer is required for:

- change in the scope or the objective of the project or program (even if there is no associated budget revision requiring prior written approval.
- change in a key personnel specified in the application or award document;
- The absence for more than 3 months, or a 25 percent reduction in time devoted to the project, by the approved project director or principal investigator
- The need for additional Federal funding
- the transfer of amounts budgeted for indirect costs to absorb increases in direct costs
- extension of the period of performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Please see Grants.gov for information on how assistance is awarded/released. The recipient may charge to the award only allowable costs resulting from obligations incurred during the funding period. Expenditures only for staff costs that are obligated during the award project period, may be charged to the award up to 90 days following the expiration date. These funds shall be available for closeout activities limited to the preparation of final reports. No other staff costs should be obligated and expended. See the following for information on how assistance is awarded/released: Payments are made through the DHHS payment system PMS. The Payment Management System instructions will be available upon award of the grant. The instructions will be under the PMS website and can be accessed at a web address provided by grants management. Recipients should request funds based on immediate disbursement requirements and disburse funds as soon as possible to minimize the Federal case on hand in accordance with the policies established by the Treasury Department and mandated by OMB Circulars. See the following for information on how assistance is awarded/released: Award is released after close coordination with the Grants Officer, the program officer and the recipient.

Reports:

Quarterly budget and program updates reports are required in addition to other spot reporting as needed. Cash reports are not applicable. Quarterly progress reports are required. Quarterly expenditure reports are required. Performance of the grant recipient is monitored closely by the Program Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The recipient must comply with the OMB audit requirements. For all DOS awards, regardless of business type, the Recipients are subject to the audit requirements found in OMB audit requirements. In addition, the Recipients are subject to the audit requirements

found in the Single Audit Act of 1984, 31 U.S.C. 7501-7507.

Records:

Recipient must maintain financial records, supporting documents, statistical records and all other records pertinent to the award period. The recipient is required to submit a quarterly program and financial reports 30 days after the calendar year quarter (March 31, June 30, September 30 and December 31). A final certified financial report and program report must be submitted to the Grants or Principal Officer within 90 days after the award period end date.

Account Identification:

10-2594-1-0-034.

Obligations:

(Salaries) FY 09 \$2,475,258; FY 10 est \$2,475,258; FY 11 est \$2,475,258

Range and Average of Financial Assistance:

Please see obligations.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009 - Over 60 Rangel Fellows have been selected since 2002 and many others have benefitted from the Rangel Summer Enrichment Program, an important recruiting/outreach program for the Foreign Service. The Rangel Fellowship attracts outstanding students who represent all ethnic and social backgrounds and who have an interest in pursuing a Foreign Service career with the Department of State. This program develops a source of young men and women interested in careers in international affairs and trained men and women who are dedicated to representing America's interest abroad. Fiscal Year 2010: Fiscal Year 2010 - No current data available. Fiscal Year 2011: Fiscal Year 2011 - No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As stated in the Grants.gov announcement. Please see the following websites for further info and literature:

1. Dept of State - <http://careers.state.gov>
2. Charles B. Rangel International Affairs Fellowship Program - www.howard.edu/rjb

Regional or Local Office:

None.

Headquarters Office:

Kathy Davis, Department of State, HR/REE, Room H-518, SA-1, 2401 E Street NW, Washington, District of Columbia 20522 Email: daviskr3@state.gov Phone: (202) 261-8950 Fax: (202) 261-8842.

Website Address:

<http://careers.state.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Quality of program ideas, program planning ability, ability to achieve objective, multiplier effect/impact; i.e. can the program support an increased load; support diversity, institutional record/ability, cost-effectiveness/savings. Please refer to Grants.gov for further information.

19.021 INVESTING IN PEOPLE IN THE MIDDLE EAST AND NORTH AFRICA

Investing in people/ cultural/educational/alumni/information and media efforts in the Middle East and North Africa.

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, U.S. Department of State

AUTHORIZATION:

Fulbright Hays Act - The Mutual Educational and Cultural Exchange Act of 1961, Public Law 87-256; Foreign Assistance Act of 1961; as amended by Foreign Assistance Act, Public Law 87-195, U.S.C. 22; Smith Mundt Act-The United States Information and Educational Exchange Act of 1948, Public Law 80-402.

OBJECTIVES:

To support programs, projects and activities to include (but not limited to) cultural, educational, alumni, information and media efforts in the Middle East and North Africa. NEA Public Diplomacy objectives are focused on active promotion of projects which promote mutual understanding and invest in people.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and Cooperative agreements to support programs, projects and activities to include (but not limited to) cultural, educational, alumni, information and media efforts in the Middle East and North Africa. Awards are designed to benefit local organizations and citizens of countries in the Middle East and North Africa.

Applicant Eligibility:

Not-For-Profit organizations subject to 501(c)(3) of the U.S. tax code or registered as a non-profit organization in the entity's home country. Organizations that have and have not previously received international program funding from the U.S. Government. Applicants with demonstrated experience in work with vendors, suppliers, contractors, etc. and appropriately staffed offices in country will be preferred.

Beneficiary Eligibility:

Local organizations, citizens of countries in the Middle East and North Africa, and the U.S.

Credentials/Documentation:

Please refer to grants.gov and/or Federal Register announcement for further eligibility criteria. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant and/or cooperative agreement. Further details, see program announcement grants.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

see program announcement grants.gov.

Appeals:

Not Applicable.

Renewals:

Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. See announcement in grants.gov. See the following for information on how assistance is awarded/released: As stated in grants.gov and/or Federal Register announcements. Cash reports are not applicable.

Reports:

Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report

including program evaluation. See announcement in grants.gov regarding applicability of cash reports. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation. Reporting requirements will be outlined in the Grant and/or Cooperative Agreement, e.g., Quarterly Programmatic Reports, including relation of progress to expenditures in the narrative, Financial Reports, and final report including program evaluation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Per OMB regulations. All records must be kept for 3 years beginning from the expiration date of the award.

Account Identification:

19-0101-1-3-000 - 190/10113.000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 not reported.; FY 10 est \$9,000,000; FY 11 est \$9,000,000 - Amounts are Estimates.

Range and Average of Financial Assistance:

Range: 10,000 to over 5 Million
Avg: 500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lavenia Holland, 2201 C Street NW, HST Building, Washington, District of Columbia 20520 Email: hollandly@state.gov Phone: (202) 776 - 8682 Fax: (202) 776 - 8869.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

19.022 EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS APPROPRIATION OVERSEAS GRANTS

AWARDS MADE BY PUBLIC DIPLOMACY OFFICES OVERSEAS FROM FUNDS APPROPRIATED THROUGH EDUCATIONAL AND CULTURAL EXCHANGES APPROPRIATION

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, U.S. Department of State

AUTHORIZATION:

The Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), Public Law 87-256, 22 U.S.C 2451.

OBJECTIVES:

To provide assistance awards by U.S. diplomatic missions abroad made directly to eligible organizations in the United States using funds appropriated to and authorized by the Bureau of Educational and Cultural Affairs for the purpose of supporting international exchanges that address issues of mutual interest to the United States and other countries, consistent with the program criteria established in the Departments annual appropriation. As authorized by the Fulbright-Hays Act, the Bureau of Educational and Cultural Affairs (ECA) seeks to increase mutual understanding between the people of the United States and the people of other countries by means of educational and cultural exchange; to strengthen the ties which unite us with other nations by demonstrating the educational and cultural interests, developments, and achievements of the people of the United States and other nations, and the contributions being made toward a peaceful and more fruitful life for people throughout the world; to promote international cooperation for educational and cultural advancement; and thus to assist in the development of friendly, sympathetic, and peaceful relations between the United States and the other countries of the world..

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Awards made by Overseas Public Diplomacy Offices may support any activity authorized by the Fulbright-Hays Act for which funding has been appropriated by Congress through the annual Educational and Cultural Exchange Programs Appropriation administered by the Department of State (DOS) Bureau of Educational and Cultural Affairs. The activities are designed to promote U.S. interests in designated countries around the world by developing mutual understanding of key issues and promoting long-lasting ties between the United States and other countries. Awards are made to eligible profit or non-profit corporations, institutions or individuals. Awards under this program are specific to those made to domestic (U.S.) institutions or individuals and supported by funds appropriated to and approved by the Bureau of Educational and Cultural Affairs.

Applicant Eligibility:

Projects must support the development of mutual understanding between the United States and other countries in any area of importance to U.S. interests in those countries.

Beneficiary Eligibility:

Beneficiaries include individuals selected for participation in the project, educational institutions, and Americans and people of other countries who interact with international participants.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Program announcements and application procedures may vary widely depending on the overseas post and program, including through grants.gov as applicable. Note that overseas posts may also administer programs limited to overseas applicants, which are not covered by this CFDA entry.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

See announcement at www.grants.gov.

Appeals:

Not Applicable.

Renewals:

As stated in the original solicitation document at www.grants.gov.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of time for which assistance is available varies depending on the specific program and will be stated in the terms and conditions of the award. See the following for information on how assistance is awarded/released: The method of assistance may vary depending on the specific program and will be stated in the terms and conditions of the award.

Reports:

Program reports are to be submitted in accordance with the terms and conditions of the award. Cash reports may be required in accordance with the terms and conditions of the award. Progress reports may be required in accordance with the terms and conditions of the award. Expenditure reports are to be submitted in accordance with the terms and conditions of the award. Performance monitoring may be required in accordance with the terms and conditions of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Records:

The Recipients must maintain financial records, supporting documents, statistical records, and all other records pertinent to an award for a period of three years from the date of submission of the final expenditure report. For awards that are renewed quarterly or annually, records pertinent to the award must be maintained for three years from the date of the submission of the quarterly or annual financial report as authorized by the DOS. Please refer to the Department of State Standard Terms and Conditions for Domestic Financial Assistance Awards (<http://fa.statebuy.state.gov>) for additional guidance.

Account Identification:

19-0209-0-1-154.

Obligations:

(Project Grants) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Award amounts may vary widely depending on the nature of the project, from less than \$10,000 to a maximum of \$250,000. A typical institutional award may total \$50,000 or less.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the Bureau of Educational and Cultural Affairs approved funding for approximately 40 awards made by overseas posts totaling approximately \$10 million. Fiscal Year 2010: Approximately the same level of activity is projected in FY 2010 as in FY 2009. Fiscal Year 2011: Approximately the same level of activity is projected in FY 2011 as in FY 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for programs will vary with individual activities and posts. In addition, organizations should be familiar with OMB Circulars A-110 (Revised) (Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Other Nonprofit Organizations), A-122/A-21 (Cost Principles for Nonprofit Organizations; Indirect Costs), and A-133/A-128 (Audits of Institutions of Higher Education and Other Nonprofit Organizations) on cost accounting principles. For a copy of the OMB circulars cited, please contact Government Publications or download from <http://www.whitehouse.gov/OMB/>.

Regional or Local Office:

None.

Headquarters Office:

Yolanda Robinson, Bureau of Educational and Cultural Affairs, Department of State, SA-5, Washington, District of Columbia 20522-0504 Email: RobinsonYJ@state.gov Phone: (202) 632-3358.

Website Address:

http://exchanges.state.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals vary by awards supporting individual activities and are outlined in the solicitations for individual activities.

19.025 U.S. AMBASSADORS FUND FOR CULTURAL PRESERVATION

Ambassadors Fund for Cultural Preservation, AFCP, USAFCP

FEDERAL AGENCY:

Bureau of Educational and Cultural Affairs, U.S. Department of State

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961 (Fulbright-Hays Act), Public Law 87-256; Foreign Assistance Act of 1961, Public Law 87-195.

OBJECTIVES:

- 1) Support the preservation of cultural heritage overseas
- 2) Show a different American face to other countries, one that is non-commercial, non-political, and non-military
- 3) Show respect for the cultural heritage of other countries.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The U.S. Ambassadors Fund for Cultural Preservation supports activities that contribute to the preservation of cultural sites, cultural objects and collections, and forms of traditional cultural expression (intangible heritage). These activities may involve:

in the case of CULTURAL SITES, restoration of an historic building, an archaeological survey as a component of a preservation plan, preservation management planning for a site, or documentation of sites in a region for preservation purposes;

in the case of CULTURAL OBJECTS AND COLLECTIONS, conservation treatment for an object or collection of objects; needs assessment of a collection with respect to its condition and strategies for improving its state of conservation; inventory of a collection for conservation purposes; the creation of safe environments for storage or display of collections; or specialized training in the preservation and protection of collections;

in the case of FORMS OF TRADITIONAL CULTURAL EXPRESSION, documentation and audiovisual recording of traditional music and dance forms for preservation and eventual dissemination, or support for training in the preservation of traditional arts or crafts that are threatened by extinction.

An appropriate preservation activity is one that protects the values of the site, object or collection, or form of traditional cultural expression as they are understood by stakeholders. Stakeholders may include national, regional, or local cultural authorities, the local community, and others with vested interests in the site and the outcome of a project. The U.S. Ambassadors Fund for Cultural Preservation does not support the following:

Preservation or purchase of privately or commercially owned cultural objects, collections, or real property, including those whose transfer from private or commercial to public ownership is envisioned, planned, or in process but not complete at the time of proposal submission;

Preservation of natural heritage (physical, biological, and geological formations, paleontological collections, habitats of threatened species of animals and plants, fossils, etc.);
Preservation of hominid or human remains;
Preservation of news media (newspapers, newsreels, etc.);
Preservation of published materials available elsewhere (books, periodicals, etc.);
Archaeological excavations or surveys for research purposes;
Historical research, except in cases where the research is justifiable and integral to the success of the proposed project;
Acquisition or creation of new collections for new or existing museums;
Construction of new buildings;
Commissions of new works of art or architecture for commemorative or economic development purposes;
Creation of replicas or re-creation of cultural objects or sites that no longer exist;
Relocation of cultural sites from one physical location to another;
Removal of cultural objects or elements of cultural sites from the country for any reason;
Digitization of cultural objects or collections, unless part of a larger, clearly defined conservation effort;
Conservation plans or other studies, unless they are one component of a larger project to implement the results of those studies;
Cash reserves, endowments or revolving funds; funds must be expended within the grant period and may not be used to create an endowment or revolving fund or otherwise spent over many years;
Costs of fund-raising campaigns;
Operating expenses, i.e. expenses incurred during the day-to-day operational activities of an organization, such as office rent, utilities, license fees, and other costs for administrative time, services, or materials not directly related to performing project work;
Contingency, unforeseen, or miscellaneous fees;
Costs of work performed prior to announcement of the award;
International travel, except in cases where travel is justifiable and integral to the success of the proposed project;
Individual projects costing less than \$10,000;
Awards to individuals or commercial entities.

As a general rule, the fund does not support independent U.S. projects abroad. Discretionary funds: FY 2009: 100%; FY 2010: 100%.

Applicant Eligibility:

The U.S. Ambassadors Fund for Cultural Preservation supports the preservation of cultural heritage in more than 130 eligible countries around the world. Eligible entities may apply through U.S. embassies for fund support for the preservation of cultural sites, cultural objects and collections, and forms of traditional cultural expression (intangible heritage).

Beneficiary Eligibility:

Eligible countries in Fiscal Year 2010: Afghanistan, Albania, Algeria, Angola, Antigua & Barbuda, Armenia, Azerbaijan, Bangladesh, Belarus, Belize, Benin, Bhutan, Bolivia, Bosnia & Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Burma, Burundi, Cambodia, Cameroon, Cape Verde, Central African Republic, Chad, China, Colombia, Comoros, Congo (Democratic Republic of the), Congo (Republic of), Cote d'Ivoire, Djibouti, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eritrea, Ethiopia, Fiji, Gabon, Gambia, Georgia, Ghana, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Honduras, India, Indonesia, Iraq, Jamaica, Jordan, Kazakhstan, Kenya, Kiribati, Kosovo, Kyrgyzstan, Laos, Lebanon, Lesotho, Liberia, Libya, Macedonia, Madagascar, Malawi, Malaysia, Maldives, Mali, Marshall Islands, Mauritania, Mauritius, Mexico, Micronesia, Moldova, Mongolia, Montenegro, Morocco, Mozambique, Namibia, Nauru, Nepal, Nicaragua, Niger, Nigeria, Oman, Pakistan, Palau, Panama, Papua New Guinea, Palau, Paraguay, Peru, Philippines, Romania, Russian Federation, Rwanda, Saint Kitts & Nevis, Saint Lucia, Saint Vincent & the Grenadines, Samoa, Sao Tome & Principe, Saudi Arabia, Senegal, Serbia, Sierra Leone, Solomon Islands, South Africa, Sri Lanka, Sudan, Suriname, Swaziland, Syria, Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Tonga, Trinidad & Tobago, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, Ukraine, Uzbekistan, Vanuatu, Venezuela, Vietnam, Yemen, Zambia, and Zimbabwe.

Credentials/Documentation:

Proposal must include a letter or other document granting official permission to undertake the project. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Organizations interested in applying for support from the U.S. Ambassadors Fund for Cultural Preservation must apply through the Public Affairs Section of the U.S. Embassy in the country where the proposed project is to take place.

Award Procedure:

Following submission through U.S. embassies, applications for support from the U.S. Ambassadors Fund for Cultural Preservation undergo a comprehensive screening, rating, ranking, and selection process. U.S. embassies announce award recipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Maximum of 5 years. See the following for information on how assistance is awarded/released: Assistance customarily released in three installments tied to project benchmarks.

Reports:

Recipients are required to submit biannual progress reports covering the periods January-June and July-December for the duration of the project. Recipients are required to submit final reports upon completion of projects. Cash reports are not applicable. Recipients are required to submit biannual progress reports covering the periods January-June and July-December for the duration of the project. Recipients are required to submit final reports upon completion of projects. Recipients are required to submit biannual financial reports covering the periods January-June and July-December for the duration of the project. Recipients are required to submit final financial reports upon completion of projects. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients are expected to retain records for a period of three years after the submission of the final expenditure report.

Account Identification:

19-0000-0-0-000 - Varies by U.S. embassy.

Obligations:

(Project Grants) FY 09 \$5,228,000; FY 10 est \$5,960,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

Awards in Fiscal Year 2010 ranged from \$10,000 to \$850,000 with an average award amount of \$94,603.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Total number of awards in Fiscal Year 2009 was 73. Fiscal Year 2010: Total number of awards in Fiscal Year 2010 is 63. Fiscal Year 2011: Estimated total number of awards in Fiscal Year 2010 is 60.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. For more information, contact the Public Affairs Section of the U.S. embassy in the country where the project is to take place.

Headquarters Office:

Martin J. Perschler, ECA/P/C, SA-5, 5th Floor, Department of State, Washington, District of Columbia 20522-0505 Email: perschlermj@state.gov Phone: (202) 632-6308 Fax: (202) 632-6300.

Website Address:

<http://exchanges.state.gov/heritage/afcp.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects supported in Fiscal Year 2009 include: Conservation of 13th-Century Wall Paintings in the Church of Behdaidat (Lebanon); Conservation of the Sacred City of Caral (Peru); Documentation of Cameroonian Baka Dance (Cameroon); Preservation of the 18th-Century O Quan Chuong City Gate in Hanoi (Vietnam); Restoration of the Monastery of the Holy Mother of God Peribleptos in Ohrid (Macedonia); Restoration of Patan Royal Palace (Nepal). Fiscal Year 2010: Projects supported in Fiscal Year 2010 include: Conservation of the Remains of the 11th-Century Surp Prikitch (Church of the Holy Redeemer) at Ani (Turkey); Documentation of Traditional Pygmy Music (Democratic Republic of Congo); Documentation and Training in the Management of Archaeological Collections at the Ancient Greek Site of Cyrene (Libya); Preservation and Protection of the Early 18th-Century Amarbayasgalant Monastery (Mongolia); Restoration of the Early 17th-Century Sheikhpura Fort (Pakistan); Restoration of the Mid-18th-Century Church of Mary Magdalene in Totogalpa (Nicaragua). Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on the criteria specified in the annual Request for Grant Proposals and based on proposal quality and project merit. Proposals may be deemed ineligible if they do not fully adhere to U.S. Ambassadors Fund for Cultural Preservation program priorities, eligibility and proposal requirements, application procedures, and restrictions.

19.345 INTERNATIONAL PROGRAMS TO SUPPORT DEMOCRACY, HUMAN RIGHTS AND LABOR

International Programs to Support Democracy, Human Rights and Labor

FEDERAL AGENCY:

Bureau of Democracy, Human Rights and Labor, U.S. Department of State

AUTHORIZATION:

Foreign Assistance Act of 1961, as amended
Department of State, Foreign Operations, and Related Programs Appropriations Act, 2009.

OBJECTIVES:

The Human Rights Democracy Fund (HRDF) is the Bureau of Democracy, Human Rights and Labors flagship program, used to fulfill the bureau's mandate of monitoring and promoting human rights and democracy worldwide. HRDF programs are designed to promote democracy and human rights and acts as the Department's venture capital for democracy. Since Congress established it in FY 1998, the Human Rights and Democracy Fund has allowed the U.S. to respond rapidly and decisively to democratization and human rights crises and deficits.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Bureau of Democracy, Human Rights and Labors Human Rights and Democracy Fund (HRDF) supports innovative, venture capital programs that uphold democratic principles, support and strengthen democratic institutions, promote human rights, and build civil society in countries and regions of the world that are geo-strategically important to the United States. HRDF funds projects that have the potential to have an immediate impact leading to long-term sustainable reforms. Projects should have potential for continued funding beyond HRDF resources. HRDF projects must not duplicate or simply add to efforts by other entities. Please see solicitation on www.grants.gov. Please see solicitation on www.grants.gov.

Applicant Eligibility:

Please see solicitation on www.grants.gov for additional eligibility criteria.

Beneficiary Eligibility:

Please see solicitation on www.grants.gov for additional eligibility criteria.

Credentials/Documentation:

Please see solicitation on www.grants.gov. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Please see solicitation on www.grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Please see solicitation on www.grants.gov.

Award Procedure:

Please see solicitation on www.grants.gov.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for up to 3 years. HRDF funds are accessible through the Payment Management System operated by the Department of Health and Human Services. See the following for information on how assistance is awarded/released: Funds may be drawn down as expenses are incurred.

Reports:

Program progress reports are required on a quarterly basis under the grant agreement. Financial reports are required on a quarterly basis under the grant agreement. Progress reports are required on a quarterly basis under the grant agreement. Expenditure reports are required on a quarterly basis under the grant agreement. Please see solicitation on www.grants.gov.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

19-7290-1-0-371 - Economic Support Funds; 19-7290-0-3-060 - AEEB; 19-7290-1-0-101 - SEED; 19-1991-1-1-210 - Democracy Fund; 19-7290-1-0-210 - DA.

Obligations:

(Project Grants) FY 08 \$63,481,600; FY 09 est \$79,000,000; FY 10 est \$70,000,000

Range and Average of Financial Assistance:

Please see solicitation on www.grants.gov.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see solicitation on www.grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Rozina Damanwala 2201 C Street, NW, Washington, District of Columbia 20520 Email: damanwalarr@state.gov Phone: 2026743561

Website Address:

<http://www.state.gov/g/drl/p/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see solicitation on www.grants.gov.

19.500 MIDDLE EAST PARTNERSHIP INITIATIVE (MEPI)

FEDERAL AGENCY:

Bureau of Near Eastern Affairs, U.S. Department of State

AUTHORIZATION:

For sign Assistance Act of 1961, Public Law 87-195, 75 Stat. , 22 U.S.C 2151.

OBJECTIVES:

To support democracy in the Middle East and North Africa by encouraging political, economic & educational reform and the empowerment of women.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and Cooperative agreements to support political, economic, and educational reform efforts and the empowerment of women in the Middle East and North Africa.. MEPI encourages applications from partnerships or consortia led by local organizations based in the Middle East and North Africa. Awards are designed to benefit principally the non-governmental organizations and citizens of countries in the Middle East and North Africa. Preference will be given to countries in the region with less developed civil societies, and with limited experience in developing and implementing issue based campaigns on economic, democratic, or educational reforms, and advocacy for women's rights, aimed at increasing the number of active stakeholders and building effective local coalitions. MEPI has particular interest in supporting programs that are innovative and that meet needs and opportunities not already addressed by current donor funding, particularly through USAID bilateral agreements. Generally MEPI does not fund bricks and mortar type projects or stand-alone scholarships. Development projects in Egypt, Jordan, or Iraq are generally funded by USAID bilateral ESF funds.

Applicant Eligibility:

Non-profit, for-profit organizations or state and local governments interested in partnering with the Middle East Partnership Initiative to promote change in the Middle East. Applicants must have an established track record in conducting training programs and promoting reform through development projects.

Beneficiary Eligibility:

Country covered by the State Department's Bureau of Near Eastern Affairs, not currently subject to foreign assistance restrictions. Could include: Algeria, Bahrain, Egypt, Iran, Iraq, Israel, Jordan, Kuwait, Lebanon, Libya, Morocco, Oman, Qatar, Saudi Arabia, Syria, Tunisia, UAE, West/Bank Gaza, Yemen.

Credentials/Documentation:

CVs of main program staff. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Respond to a general MEPI Standing Program Announcement or specific Request for Application (RFA) posted on www.grants.gov and www.mepi.state.gov. SF 424 and full proposal required. Public Diplomacy and Alumni Outreach Plan must be incorporated in proposal.

Award Procedure:

A technical review panel reviews proposals and scores them in accordance with published review criteria and determines priority program recommendations for final negotiation on the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 25 to 180 days.

Appeals:

From 90 to 120 days.

Renewals:

From 90 to 120 days. Based on program needs and availability of funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies. See the following for information on how assistance is awarded/released: All assistance is with the Division of Payment Management.

Reports:

No program reports are required. Quarterly Financial Review Reports. Quarterly Progress Review Reports. Quarterly Financial Review Reports. Quarterly Progress Review Reports.

Audits:

No audits are required for this program.

Records:

Above reports will be retained for three years from the date of submission of the final financial report.

Account Identification:

19-1014-0-1-150.

Obligations:

(Cooperative Agreements) FY 09 \$50,000,000; FY 10 est \$65,000,000; FY 11 est \$60,000,000 - N/A.

Range and Average of Financial Assistance:

From \$10,000 to \$23,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Middle East Partnership Initiative (MEPI) through Economic Support Funds has funded over 100 projects aimed at promoting political, economic, and education reform and women's empowerment in the Middle East and North Africa. Fiscal Year 2010: The Middle East Partnership

Initiative (MEPI) through Economic Support Funds seeks to fund over projects aimed at promoting political, economic, and education reform and women's empowerment in the Middle East and North Africa. Fiscal Year 2011: The Middle East Partnership Initiative (MEPI) through Economic Support Funds seeks to fund over projects aimed at promoting political, economic, and education reform and women's empowerment in the Middle East and North Africa.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for grants and cooperative agreements are distributed in conjunction with the request for applications or program announcements. Extensive background and program information is available on www.mepi.state.gov.

Regional or Local Office:

See Regional Agency Offices. MEPI has Regional Offices in Tunis, Tunisia, Abu Dhabi, and UAE.

Headquarters Office:

Eddie H Whitehurst, 2430 E Street NW, Washington, District of Columbia 20037 Email: WhitehurstEH@state.gov Phone: (202) 776-8627 Fax: (202) 776-8500.

Website Address:

www.mepi.state.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

MEPI is looking for new and innovative proposals to promote political, economic, and education reform and empower women in the Middle East and North Africa. Proposals that include cost-sharing, partnership with local NGOs or governments, a public outreach plan, and an alumni component will be viewed favorably. In addition, proposals should be responsiveness to the substantive provisions set forth in each Program Announcement or Request for Application posted www.grants.gov and www.mepi.state.gov.

DEPARTMENT OF TRANSPORTATION**20.106 AIRPORT IMPROVEMENT PROGRAM**

(AIP)

FEDERAL AGENCY:

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Airport Improvement Act, Public Law 103-272, U.S.C.

OBJECTIVES:

To assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics. The purpose of the law includes the investment in transportation, environmental protection, and airport infrastructure that will provide long-term economic benefits.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants can be made for integrated airport system planning in a specific area; and airport master planning, construction, or rehabilitation at a public-use airport or portion thereof. Authorizing legislation refers to an airport as any area of land or water used or intended to be used for the landing or taking off of aircraft and includes, within the five categories of airports listed below, special types of facilities such as seaplane bases and heliports. The statute further defines airports by categories which include commercial service, primary, cargo service, reliever, and general aviation airports. They are defined as follows: Commercial Service Airports are publicly owned airports that have at least 2,500 passenger boarding each year and receive scheduled passenger service. Passenger boarding refer to revenue passenger boarding on an aircraft in service in air commerce. The definition also includes passengers who continue on an aircraft in international flight that stops at an airport in any of the 50 states for a

non traffic purpose. Passenger boardings at airports that receive scheduled passenger service are also referred to as Enplanements. Nonprimary Commercial Service Airports are Commercial Service Airports that have at least 2,500 and no more than 10,000 passenger boardings each year. Primary Airports are Commercial Service Airports that have more than 10,000 passenger boardings each year. These airports are further categorized as Hub Airports, based on the level of passenger boardings. Hub categories for Primary Airports are defined as a percentage of total passenger boardings in the most current calendar year ending before the start of the current fiscal year. The definition and formulae used for designating Primary Airports by Hub Type and percentage of annual passenger boardings are: Large 1 percent or more; Medium - at least 0.25 percent, but less than 1 percent; Small - at least 0.05 percent, but less than 0.25 percent; and Non hub - more than 10,000, but less than 0.05 percent. Cargo Service Airports are airports that, in addition to any other air transportation services that may be available, are served by aircraft providing air transportation of only cargo with a total annual landed weight of more than 100 million pounds. Reliever airports are airports designated by the FAA to relieve congestion at a Commercial Service Airports and to provide more general aviation access to the overall community. The remaining airports, while not specifically defined in Title 49 U.S.C., are referred to as general aviation (GA) Airports and comprise the largest single group of airports in the U.S. airport system. Eligible work at airports consists of: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking are eligible. Grants for hangars and parking facilities are available on a limited basis. Grants may not be, made for buildings not related to the safety of persons on the airport, decorative landscaping or artwork, or routine maintenance and repair. Technical advisory services are also provided. Formula funds are available to primary commercial service airports and to cargo service airports. Discretionary funds may be used at any eligible facility and allocation is based on established criteria. The percentage of fund varies each fiscal year.

Applicant Eligibility:

States, counties, municipalities, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia, are eligible for airport development grants if the airport on which the development is required is listed in the National Plan of Integrated Airport Systems (NPIAS). Certain units of local government may be eligible for grants to implement noise compatibility projects. Private owners of public-use reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service are eligible.

Beneficiary Eligibility:

States, counties, municipalities, U.S. Territories and possessions, and other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, The Federated States of Micronesia, and private owners of reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service.

Credentials/Documentation:

Sponsors must submit information establishing financial capability and legal authority to accomplish the project and to operate the airport. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Preapplication for Federal Assistance, SF 424, Part I (facesheet) filed with FAA field office, reviewed by the regional office and/or Washington office for program approval, as appropriate. For master plans (may be combined as part of development project), noise compatibility plans, and system plans, SF-424 and Parts II through V of FAA Form 5100-101, Application for Federal Assistance, must be submitted to FAA field offices. Level of approval is dependent on the type of airport and amount of FAA monies requested. No State plan is required.

Award Procedure:

Upon program approval for development projects, applicant submits project application, SF 424, Part I (facesheet) and remaining parts of FAA Form 5100-100 to FAA field office. Master, noise compatibility, and system plan grant applications are submitted to FAA field offices and upon approval, grant offers are made by FAA field offices. Either the district or regional office prepares Grant Offer, FAA Form 5100-37, for planning and development for execution by FAA applicant.

Deadlines:

Jan 31, 2011 Primary airport sponsors must notify FAA by January 31 or another date specified in the Federal Register of their intent to apply for funds to which they are entitled under Section 47102 of Title 49, United States Code. A reminder is published annually in the Federal Register. Other sponsors are encouraged to submit early in the fiscal year and to contact the appropriate FAA Regional or Airports District Offices for any local deadlines. Sponsors must formally accept grant offers no later than September 30 for grant funds appropriated in that fiscal year.

Range of Approval/Disapproval Time:

From 90 to 120 days. If the project is challenged on environmental grounds, approval may take longer.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The local match is 5% to 20%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

No set period of time. Projects are expected to be completed as expeditiously as possible. Most within One to two years from grant award. See the following for information on how assistance is awarded/released: Awarded by lump sum. Assistance is released upon application for reimbursement of expenses or by letter of credit.

Reports:

During the project, the sponsor monitors performance to ensure that time schedules are being met. Periodic reports, as required, are forwarded to FAA. SF-270. The recipient periodically submits form 5370-1, Construction Progress and Inspection Reports. SF-425. Project performance monitoring is conducted through site visits and reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Sponsors' records are required to be made available for inspection by FAA, OIG/DOT and the General Accounting Office. An airport layout plan must be kept up to date and available as long as the grant agreement lasts, ordinarily a period of 20 years. Accounting records reflecting all project costs, books, documents, and records pertinent to grants are to be retained for 3 years after date of submission of final expenditure report.

Grant recipients shall submit periodic reports containing the following information:

1. The number of projects that have been put out to bid under the grant and the amount of Federal funds associated with each project.
2. The number of projects for which contracts have been awarded and the amount of Federal funds associated with each project.
3. The number of projects for which work has begun under such contracts and the amount of Federal funds associated with each contract.
4. The number of projects for which work has been completed under such contracts and the amount of Federal funds associated with each contract.

Account Identification:

69-8106-0-7-402.

Obligations:

(Salaries) FY 09 \$3,384,698,000; FY 10 est \$3,378,106,000; FY 11 est \$3,500,000,000

Range and Average of Financial Assistance:

(AIP Grants) \$7,362 to \$31,425,870. Average: \$1,204,071 for FY 2009.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 2,883 grant agreements were executed. Fiscal Year 2010: ARRA Grants were obligated in the amounts of \$94,775 to \$15,000,000 with an Average of \$3,386,794. Fiscal Year 2011: ARRA program will expire.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Aviation Administration Order and Advisory Circulars (FAA Order 5100.38C, Airport Improvement Program Handbook, and FAA Advisory Circulars in the 150/5100 series).

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to contact the Federal Aviation Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office: Federal Aviation Administration, Office of Airport Planning and Programming, Airports Financial Assistance Division, APP-500, 800 Independence Avenue, SW., Washington, DC 20591. Telephone: (202) 267-3831.

Web Site Address: http://www.faa.gov/airports_airtraffic/airports/.

Headquarters Office:

Kendall Ball, 800 Independence Avenue, Washington, District of Columbia 20591 Email: kendall.ball@FAA.gov Phone: (202) 267-7436.

Website Address:

http://www.faa.gov/airports_airtraffic/AIP/.

RELATED PROGRAMS:

39.002 Disposal of Federal Surplus Real Property; 39.003 Donation of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Construct new public airports; improve and rehabilitate existing public airports; extend runways at existing public airports; purchase fire fighting, rescue, security, snow removal and noise suppressing equipment; acquire land; and install navigation aids. Planning at individual airports includes demand/capacity analysis, airport noise control and land use compatibility analysis, environmental studies, and system plans for states, regions, and metropolitan areas. Develop safety management system plans. Improve runway safety areas. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Only those Airport Improvement Program (AIP) projects considered by the

FAA Administrator to be necessary to provide for a safe and efficient airport system and to meet the current and projected growth of civil aeronautics will be considered for selection. The airports at which AIP projects are proposed must be included in the National Plan of Integrated Airports Systems (NPIAS).

20.108 AVIATION RESEARCH GRANTS**FEDERAL AGENCY:**

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101-508; Aviation Security Improvement Act of 1990, Federal Aviation Administration Authorization Act, Public Law 103-305.

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation.

TYPES OF ASSISTANCE:

PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The grants and cooperative agreements may be used to support research and development projects at colleges, universities and nonprofit institutions in the following areas: (1) Capacity and Air Traffic Control Technology; (2) Communications, Navigation and Surveillance; (3) Aviation Weather; (4) Airports; (5) Aircraft Safety Technology; (6) Human Factors and Aviation Medicine; (7) Environment and Energy; (8) Systems Science and Operations Research; (9) Commercial Space Transportation. Discretionary funds are not currently available. Potential grantees are encouraged to contact FAA Research Technical Program Offices for sponsorship.

Applicant Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Beneficiary Eligibility:

Colleges, universities and nonprofit institutions are eligible to benefit.

Credentials/Documentation:

Evidence of eligibility and of negotiated indirect rates and audit by cognizant agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication form not required. Consultation and or assistance is available by calling (609) 485-4781. No preapplication conference needed. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The solicitation may be accessed on the internet at <http://www.tc.faa.gov/logistics/grants/>, or at grants.gov under CFDA 20.108.

Award Procedure:

Award authority has been delegated to the FAA Grants Officer for the Aviation Research Grants Program, AJA-4730, at the William J. Hughes Technical Center, Atlantic City International Airport, NJ 08405. Awards will be made throughout the year. Solicitation FAA-06-01 will remain open indefinitely. Any change to this will appear on the internet site mentioned above.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

120 Days.

Appeals:

Formal protests are not an option, however, a debriefing may be requested.

Renewals:

Multi-year grants and cooperative agreements are available; extensions are possible. No-cost extensions available upon request.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Minimum award duration is 6 months. No other time restrictions. Assistance is disbursed monthly. Advances are possible with prior approval from the FAA Grants Officer. Method of awarding/releasing assistance: lump sum.

Reports:

Technical Reporting Requirements per Subpart C of Title 2 CFR Part 215 formerly OMB Circular A-110 and OMB Circular A-102, Paragraph 7. Semi-annual and final technical reports required. Financial reporting requirements as per Subpart C of OMB Circular A-110 and of OMB Circular A-102, Paragraph 7. Final reports are required for closeout. Reporting requirements are spelled out in the terms and conditions of each award document. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA reserves the right to conduct individual audits as needed within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following completion of a grant or cooperative agreement.

Account Identification:

69-8108-0-7-402.

Obligations:

(Salaries) FY 09 \$17,573,464; FY 10 est \$15,000,000; FY 11 est \$15,000,000

Range and Average of Financial Assistance:

Proposals received to date have requested from \$15,000 to \$5.0 million with most between \$50,000 and \$250,000. Larger proposals are for multi-year research.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program accomplishment for FY 09 was obligating \$17,573,464.05 to Research and development in Satellite navigation; Aircraft Structural mechanics and materials; De-icing Fluids; and Cockpit Human Factors. Fiscal Year 2010: Research and development in Satellite navigation; Improving Human Performance in Aviation; De/Anti-icing Fluids; Airport runway & pavement, etc. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-122, A-133, A-110 and A-21, Regulations and Executive Orders are referenced in Appendix 2 of the FAA Research Grants Order 95507A. A solicitation package containing the appropriate forms and directions is available through grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Nicole Saiauskie, AJA-4A William J. Hughes Technical Center, Atlantic City International Airport, New Jersey 08405 Email: nicole.saiauskie@faa.gov Phone: (609) 485-4781 Fax: (609) 485-6766 .

Website Address:

<http://www.tc.faa.gov/logistics/grants>.

RELATED PROGRAMS:

20.109 Air Transportation Centers of Excellence

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Research and development in Satellite navigation; Aircraft Structural mechanics and materials; De-icing Fluids; Cockpit Human Factors. Fiscal Year 2010: Research and development in Satellite navigation; Improving Human Performance in Aviation; De/Anti-icing Fluids; Airport runway & pavement, etc. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The FAA has established four criteria which should be met for a proposal to be eligible for funding. These four criteria are: (1) Intrinsic Value; (2) Relevance to the FAA Mission; (3) Technical Soundness of the Proposal; (4) Research Performance Competence.

20.109 AIR TRANSPORTATION CENTERS OF EXCELLENCE

(FAA Centers of Excellence)

FEDERAL AGENCY:

Federal Aviation Administration (FAA), Department of Transportation

AUTHORIZATION:

Federal Aviation Administration Research, Engineering and Development Authorization Act of 1990, Public Law 101-508.

OBJECTIVES:

To conduct long term continuing research in specific areas of aviation related technology. Responsibilities of Centers may include, but are not limited to: the conduct of research concerning catastrophic failure of aircraft; airspace and airport planning and design; airport capacity enhancement techniques; human performance in the air transportation environment; aviation safety and security; the supply of trained air transportation personnel including pilots and mechanics; and other aviation issues pertinent to developing and maintaining a safe and efficient air transportation system, and the interpretation, publication, and dissemination of the results of such research.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Centers of Excellence are established for long term continuing mission critical research in specific areas of technology areas. Funding may be used for the establishment and operation of the Centers of Excellence and the related training, education and research projects. The FAA determines a need for a Center of Excellence in a specific area of technology and publishes a Notice of Availability of Solicitation in Grants.gov. In response to this announcement, each applicant submits a description of their institutional capabilities, available faculty, facilities and equipment, and additional information as required in the solicitation. Researchers at each Center are expected to maintain a close working relationship with relevant FAA program offices that have responsibility in areas related to their research. Centers conduct semi-annual reviews, and a major symposium at the conclusion of each 3-year phase of research to satisfy Congressional requirements to disseminate research results to a broad audience. CONGRESSIONAL RESTRICTIONS: (1) Matching Funds - The Federal share of the grant awarded shall be 50 percent of the cost to establish and operate and conduct related research within the Center of Excellence and related research activities. (2) Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 5, 1990. (3) Locations of centers and distribution of funds shall be geographically equitable. (4) Contract tasks may be awarded following the competitive process and include negotiable cost share. (5) Funds are awarded to universities. Industry affiliates must align with academic institutions who may then sub-contract to others as appropriate.

Applicant Eligibility:

Applicants are limited to colleges and universities with the financial resources to meet statutory requirements for matching Federal funds and maintenance of effort. Academic institutions may partner with industry affiliates, other public and private entities, and government laboratories.

Beneficiary Eligibility:

Recipients are limited to colleges and universities with the financial resources

to meet statutory requirements for matching Federal grants and maintenance of effort. In conducting research, a Center of Excellence may contract with nonprofit research organizations and other appropriate persons.

Credentials/Documentation:

Required documentation is outlined in each solicitation package. After each Notice of Solicitation is published, copies of the Solicitation are available during a Public Meeting and/or from the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405. To establish a Center of Excellence, a competitive process is conducted to include: a public announcement, publication of a solicitation, a management/fiscal review, and a technical evaluation. Public Law 101-508 established the following six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of the needs of the region for improved air transportation services and facilities. (2) The demonstrated research and extension resources available to the applicant for carrying out this program. (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long- range and immediate air transportation problems. (4) The extent to which the applicant has an established air transportation program. (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program. (6) The projects which the applicant proposes to carry out under the grant. Each proposal must include the following: (a) description of current research projects being conducted in the specific research area, (b) a schedule of anticipated costs to establish and operate the Center of Excellence, (c) a plan for meeting the 50 percent nonfederal contribution toward the matching requirements, (d) a statement showing its aggregate expenditures from all other sources for aviation research in its 2 fiscal years preceding November 5, 1990, (e) all data sufficient for the evaluation team to determine the extent to which the applicant is able to satisfy the six selection criteria outlined in the preceding paragraph. Each proposal must contain a: 1. completed Application for Federal Assistance (SF-424 Research and Related); 2. table of contents; 3. technical statement addressing the problems inherent in the specific technical area(s) and probable solutions; 4. summary of results from FAA funding in the past five years; 5. biographical sketch for all senior personnel and a list of their principal accomplishments and publications during the past five years; 6. proposed budget for the initial three years including the anticipated costs of managing a new Center of Excellence, and a management plan; 7. listing of all current and pending support on all research awards from all sources; 8. listing of all available facilities and major items of equipment to be available to conduct research, and that which is necessary to acquire. 9. letters of support from various industry representatives, state and local officials, etc. Other required forms are to be included such as Disclosure of Lobbying Activities Form SF-LLL and certification of Compliance with the Civil Rights Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

FAA may hold a public information meeting prior to submission of proposals. Questions may be submitted to the FAA Centers of Excellence Program Director, AJP-9, FAA Technical Center, Atlantic City International Airport, NJ 08405. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Colleges and universities may apply after the FAA has published a Notice of Availability of Solicitation for a Center of Excellence in a specific research area on the Grants.gov website. Solicitation and application packages are available from the FAA Centers of Excellence Program Office, FAA Technical Center, Atlantic City International Airport, NJ 08405.

Award Procedure:

Each Air Transportation Center of Excellence is awarded on behalf of the FAA Administrator. The FAA Centers of Excellence Program Director issues solicitations, receives all proposals, convenes evaluation teams to evaluate

eligible institutions for Centers of Excellence awards. Applicants should be prepared to host an onsite inspection of existing research facilities.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From the closing date for applications, the FAA requires at least 6 months for evaluation and selection procedures.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. This program has no statutory formula. Matching Funds Requirements - The Federal share shall be 50 percent of the costs of establishing and operating the Center of Excellence and related research activities. Maintenance of Effort - Each recipient will maintain its aggregate expenditures from all other sources for establishing and operating the Center and related research activities at or above the average level of such expenditures in its 2 fiscal years preceding November 1990. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The FAA intends to support a Center of Excellence in three year increments over a period of ten years. Each Center will undergo a reassessment every three years. Research is reviewed semi-annually. Budgets and research projects are negotiated yearly. As a consequence of changing needs, or diminished requirements, the agency reserves the right not to renew support for a Center of Excellence at any time. Funds from program offices and additional appropriations may increase at any time providing the institution can demonstrate matching funds. Method of awarding/releasing assistance: lump sum.

Reports:

Technical Reporting Requirements per Attachment H of OMB Circular No. A-110 and Attachment I to OMB Circular No. A-102. Financial reporting requirements as per Attachment G of OMB Circular No. A-110 and Attachment H of OMB Circular No. A-102. Semi-annual reports on matching Funds and technical reports, as well as an annual review of the research completed and in progress at each Center of Excellence. The annual review focuses on the relevance, merit and direction of research efforts in the designated area, and negotiation of projects and budget for the next year. A symposium is required by the end of each 3-year period as part of close-out activities and serves to highlight research results and discuss plans for the next 3-year phase. The symposium is designed to satisfy legislative requirements to disseminate information. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Single Audit Act assigns responsibility to either the Department of Health and Human Services or the Department of Defense for most institutions. The FAA calls for an audit of each Center every 3 years as a part of close-out activities, and reserves the right to conduct individual audits annually, and as needed, within the constraints of applicable laws and regulations.

Records:

Technical and financial records must be retained for a minimum of 3 years (or longer, depending on special situations) following termination of the Center of Excellence.

Account Identification:

69-8108-0-7-402.

Obligations:

(Salaries) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Program started in fiscal year 1992. Grant assistance is expected to be a

minimum of \$500,000 per year for each Center, and may be augmented by tasks funded through IDIQ contract awards.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: (1) Program planning completed; (2) Agency Directive written and currently under revision; (3) A Center was established in 2003 for Aircraft Noise and Aviation Emissions Mitigation; (4) A Center was established in 2004 for Advanced Materials Research; (5) A Center was established in 2004 for Airliner Cabin Environment Research; and (6) The program currently reflects \$325,000,000 in contract awards, grants, and matching funds. (7) The Center for Airworthiness Assurance was closed. (8) The Center for Operations Research has transitioned to self-sufficiency. (9) The Center for Airport Technology was granted a five-year cooperative agreement with continued grant awards through 2011. This Center is in phase down. The FAA is conducting a public meeting and issuing a Solicitation to establish a new COE for Airport Safety Technology during FY 2010. The FAA intends to award a minimum of \$1M/year in this topic area over the next decade. (10) The scope of the Center for Airliner Cabin Environment Research was expanded and the title changed to Center for Research in the Intermodal Transport Environment. (11) The FAA conducted a public meeting and issued a Solicitation to consideration proposals to establish a new COE for Commercial Space Transportation in FY 2010. The FAA intends to award a minimum of \$1M/year in this topic area over the next decade. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-133, A- 122, A110 and A-21, Regulations and Executive Orders are referenced in Appendix 2 of the FAA Research Grants Order 9550. When the FAA is soliciting for a Center of Excellence, a solicitation and application package containing the appropriate forms and directions are made available. A Notice of Solicitation Availability will be announced on Grants.gov.

Regional or Local Office:

None.

Headquarters Office:

Patricia Watts, FAATechnical Center, AJP-9, Atlantic City International Airport, New Jersey 08405 Email: patricia.watts@.faa.gov Phone: (609) 485-5043 Fax: (609) 485-4101.

Website Address:

<http://www.coe.faa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Centers of Excellence in: Computational Modeling of Aircraft Structures, Airport Pavement Research, Airport Pavement Research/AirportTechnology, Operations Research, Airworthiness Assurance, General Aviation, Aircraft Noise and Aviation Emissions Mitigation, Advanced materials, and Airliner Cabin Environment Research title and scope expanded Research in the Intermodal Transport Environment, Commercial Space Transportation, Airport Safety Technology. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Public Law 101-508 has established the following six selection criteria: (1) The extent to which the needs of the State in which the applicant is located are representative of needs of the region for improved air transportation services and facilities; (2) The demonstrated research and extension resources available to the applicant for carrying out this program; (3) The capability of the applicant to provide leadership in making national and regional contributions to the solution of both long-range and immediate air transportation problems; (4) The extent to which the applicant has an established air transportation program; (5) The demonstrated ability of the applicant to disseminate results of air transportation research and educational programs through a State-wide or region-wide continuing education program; and (6) The projects which the applicant proposes to carry out under the grant. Public Law 101-508 mandates geographic equity in location and funding of Centers of Excellence. Depending on the outcome of evaluation under the six mandated criteria, geographic location may become an additional selection factor.

20.200 HIGHWAY RESEARCH AND DEVELOPMENT PROGRAM

Surface Transportation Research Program

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C 101.

OBJECTIVES:

To carry out the highway research and development program as authorized by SAFETEA-LU. To conduct research needed to maintain and grow our vital transportation infrastructure. SAFETEA-LU addresses the many challenges facing our transportation system today challenges such as improving safety, reducing traffic congestion, improving efficiency in freight movement, increasing intermodal connectivity, and protecting the environment as well as laying the groundwork for addressing future challenges. SAFETEA-LU promotes more efficient and effective Federal surface transportation programs by focusing on transportation issues of national significance, while giving State and local transportation decision makers more flexibility for solving transportation problems in their communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

Applicant Eligibility:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

Beneficiary Eligibility:

State (State DOTs) Local Sponsored Organization General Public.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with SAFETEA-LU and the Office of Management and Budget (OMB) cost principles applicable to the recipient/sub-recipient. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally, per directions contained in the RFAs, applicants submit applications online via www.Grants.gov by the due date specified in the RFA.

Award Procedure:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally, FHWA evaluates applications and selects awardee(s).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally 1 to 3 months after application submittal.

Appeals:

Not Applicable.

Renewals:

Dwight David Eisenhower Transportation Fellowship Program.

Formula and Matching Requirements:

This program has no statutory formula.
 Matching requirements are not applicable to this program.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in SAFETEA-LU. See the following for information on how assistance is awarded/released: Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Funds generally become available at the beginning of the fiscal year for which they are authorized, and are available until expended or as otherwise designated in SAFETEA-LU.

Reports:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.) Generally quarterly progress reports and annual budget reports are required. Cash reports are not applicable. Generally quarterly progress reports and annual budget reports are required. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the Recipient as specified in 2 CFR Part 215 (Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations) or 49 CFR 18.42 as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

(Salaries) FY 09 \$13,166,060; FY 10 est \$14,000,000; FY 11 est not reported. - Obligations are reported under Federal-aid Highway program totals.

Range and Average of Financial Assistance:

Varies by project. See individual project Request for applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The two landmark bills that brought surface transportation into the 21st century the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA) and the Transportation Equity Act for the 21st Century (TEA-21) shaped the highway program to meet the Nation's changing transportation needs. SAFETEA-LU builds on this firm foundation, supplying the funds and refining the programmatic framework for investments needed to maintain and grow our vital transportation infrastructure. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways", 49 CFR, "Transportation", and 2 CFR, "Grants and Agreements".

Regional or Local Office:

See Regional Agency Offices. State-level division offices of the FHWA (as listed in Appendix IV of the Catalog).

Headquarters Office:

Office of Acquisition Management (HAAM), 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Phone: (202) 366-9084.

Website Address:

<http://www.fhwa.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by project. See individual project Request for Applications (RFAs) for details. (RFAs issued at www.Grants.gov.)

20.205 HIGHWAY PLANNING AND CONSTRUCTION

(Federal-Aid Highway Program, Federal Lands Highway Program).

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C 101.

OBJECTIVES:

Federal-aid Highway Program: To assist State transportation agencies in the planning and development of an integrated, interconnected transportation system important to interstate commerce and travel by constructing and rehabilitating the National Highway System (NHS), including the Eisenhower Interstate System; and for transportation improvements to most other public roads; to provide aid for the repair of Federal-aid highways following disasters; to foster safe highway design; to replace or rehabilitate deficient or obsolete bridges; and to provide for other special purposes. This program also provides for the improvement of roads in Puerto Rico, Guam, the U.S. Virgin Islands, American Samoa, the Northern Mariana Islands, and the Alaska Highway. The Federal Lands Highway Program (FLHP), as an adjunct to the Federal Aid Highway Program, provides assistance to the Federal Land Management Agencies (FLMAs) for Federally owned roads. It provides transportation engineering services for planning, design, construction, and rehabilitation of the highways and bridges providing access to federally owned lands. The Federal Lands Highway organization also provides training, technology, deployment, engineering services, and products to other customers.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Federal-aid highway funds are generally apportioned by statutory formulas to the States. They are generally restricted to roads open to the public and not functionally classified as rural minor collectors or local. Exceptions to this highway functional classification restriction include: planning and research activities; bridge, bicycle and pedestrian, and safety improvements that may be on any public road; transportation enhancement activities; the recreational trails program (see program 20.219); safe routes to school, nonmotorized transportation, the FLHP, and public transportation improvement. The FLHP is not a grant program. For highway projects, funds may be used for environmental studies, engineering and design services, right-of-way acquisition and relocation assistance, and construction for capital improvement projects classified as new construction, reconstruction, restoration, rehabilitation, and resurfacing, or for functional, geometric, or safety reasons. Funds may also be used for planning; research, development, and technology transfer; intelligent transportation systems projects; roadside beautification; wetland and natural habitat mitigation, traffic management and control improvements; improvements necessary to accommodate other transportation modes, development and establishment of transportation management systems; billboard removal; construction of bicycle facilities and pedestrian walkways; fringe and corridor parking; car pool and van pool projects; transportation enhancements such as scenic and historic highway improvements; and recreational trails. Funds generally cannot be used for routine highway operational activities, such as police patrols, mowing, snow plowing, or maintenance, unless it is preventative maintenance. Also, funds authorized for the NHS, Surface Transportation Program (STP), Congestion Mitigation and Air Quality (CMAQ) Improvement Program, Equity Bonus (BE) program, and some additional programs may be used for mass transportation improvements; CMAQ funds are limited to projects and programs in air quality, non-attainment and maintenance areas for ozone, carbon monoxide, and small particulate matter that reduce transportation related emissions. Eligibility criteria for the

programs differ, so program guidance should be consulted. Projects in urban areas of 50,000 or more population must be based on a transportation planning process carried out by a Metropolitan Planning Organization (MPO) in cooperation with the State and transit operators, and the projects must be included in metropolitan transportation plans and improvement programs. Projects in non-metropolitan areas of a State must be consistent with a statewide transportation plan. Projects in both metropolitan and non-metropolitan areas must also be included in a fiscally constrained Statewide Transportation Improvement Program (STIP) developed as part of the required statewide transportation planning process. The FHWA and the Federal Transit Administration (FTA) must approve the STIP jointly.

Applicant Eligibility:

By law, the Federal-aid highway program is a federally assisted State program that requires each State to have a suitably equipped and organized transportation department. Therefore, most projects are administered by or through State transportation departments (State DOTs). Projects to be funded under the Federal-aid highway program are generally selected by State DOTs or MPOs, in cooperation with appropriate local officials, as specified in 23 U.S.C. and implementing regulations. Territorial highway projects are funded in the same manner as other Federal aid highway projects, with the territorial transportation agency functioning in a manner similar to a State transportation department. Most FLHP projects are administered by the FHWA Office of Federal Lands Highway and its Divisions or by the various FLMAs. Under the FLHP, projects in the Indian Reservation Road (IRR) Program are selected by Tribal governments and are approved by the Bureau of Indian Affairs (BIA) and the Federal Highway Administration. Due to recent legislation, Tribal governments meeting certain requirements may now administer various IRR projects on behalf of the BIA and FHWA. The Fish and Wildlife Service (FWS) and the National Park Service (NPS) select projects in the Refuge Road and Park Roads and Parkways programs, respectively. For the Forest Highway Program, the Forest Service, the States and the Federal Highway Administration jointly select projects.

Beneficiary Eligibility:

State transportation departments, and in some instances, Federal agencies, other State agencies, local agencies, and private, community-based organizations.

Credentials/Documentation:

Eligible activities and allowable costs will be determined in accordance with Title 23 and the OMB cost principles applicable to the recipient/sub-recipient. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact assessment is required for most projects under this program, although the level of review varies with the project. Projects under the FLHP have similar requirements; however, an interested applicant would need to contact the designated FLMA or the local FLH Division for more information. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In general, projects/programs proposed for funding must be included in a fiscally constrained STIP, submitted by the State DOT, and approved jointly by the FHWA and the FTA. In addition, the State DOT may need to submit statements of work or plans, specifications, and estimates for certain proposed projects to the FHWA division office located in each State for approval. The FHWA has an agreement with each State that details the extent to which the State assumes the responsibilities of the FHWA for projects in that State. For projects on the Interstate System costing more than \$1 million, FHWA oversight of design and construction is required. For projects that are on the National Highway System but not on the Interstate System, the State may assume the responsibilities of the FHWA for oversight of design and construction, unless the State or the FHWA determines that such assumption is not appropriate. For projects that are not on the National Highway System, the State shall assume the

responsibilities of the FHWA for oversight of design and construction, unless the State determines that such assumption is not appropriate. For the discretionary portion of public lands highways, State DOTs submit desired projects to the FHWA division office located in each State. Following the appropriate project selection process, a Transportation Improvement Program (TIP) for the Park Roads and Parkways, Forest Highway and Refuge Road programs is developed by one of the Federal Lands Highway Division offices, and forwarded to the respective State for inclusion in the appropriate MPO TIP and STIP. For the Indian Reservation Roads program, a TIP is submitted to the FHWA Office of Federal Lands Highway for approval and then forwarded to the respective State for inclusion in the appropriate MPO TIP and STIP. Projects under the FLHP are subject to the metropolitan and statewide planning requirements.

Award Procedure:

The State DOTs generally decide which projects will be developed within funding levels but the FHWA division office located in each State makes the final decision on the eligibility of specific projects. There are several categories where funding is allocated at the discretion of the Secretary of Transportation and administered by the FHWA. Candidate projects for discretionary allocations are usually solicited before the start of the Federal fiscal year (October 1) and must be submitted by the State DOTs. FHWA approval of a project and execution of a project agreement constitute a commitment to pay the Federal share of the project's allowable costs. Projects administered by the FHWA Office of FLH or the FLMAs are subject to procurement processes identified in the Federal Acquisition Regulations. Project awards are subject to the availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 day to 5 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Federal-aid highway funds generally become available at the beginning of the fiscal year for which they are authorized and must be obligated within 3 years after the close of that fiscal year. However, some categories of funds are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. SF-425. Except for projects that a state has oversight responsibility for, the FHWA division office in each State monitors and reviews State projects and programs during highway project location, design and construction. After the project is completed State maintenance of the project is reviewed periodically. Certain non-construction activities, such as transportation planning, require periodic progress reports. Similar procedures apply to the FLMAs and FLHP projects with the FHWA Office of FLH providing the mandatory stewardship and oversight. A value engineering analysis or other cost-reduction analysis is required for each project on the Federal-aid system with an estimated total cost of \$25,000,000 or more, a bridge project with an estimated total cost of \$20,000,000 or more; and any other project determined to be appropriate. Annual financial plans are required for projects with an estimated total cost of \$100,000,000 or more. In addition, a project management plan must be submitted for projects with an estimated total cost of \$500,000,000 or more.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the State or other governmental recipients/ subrecipients as specified in 49 CFR Part 18 or by non-profit recipients/subrecipients as specified in 49 CFR Part 19. For the Federal Lands Highway Program, the Federal Lands Highway Divisions maintain the project records.

Account Identification:

69-8083-0-7-401.

Obligations:

(Salaries) FY 09 \$59,555,162; FY 10 est \$51,152,303; FY 11 est not reported. - 2009

Grants \$40,049,960; Highway Infrastructure Investment \$19,505,202.

2010 Estimate

Grants \$43,506,896; Highway Infrastructure Investment \$7,645,407

2011 Estimate:

Grants \$43,163,321; Highway Infrastructure Investment \$13,000.

Range and Average of Financial Assistance:

Federal-aid highway funds are provided to States on an annual basis, by a combination of statutory formula and discretionary allocation, stemming from multi-year authorization acts, the most recent being the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU). Total contract authority, for the range of programs and earmarks, from the Highway Account of the Highway Trust Fund, for the five-year period ending September 30, 2009 is as follows: 37,660,764,306, 38,557,541,690, 40,876,948,610, 42,254,431,862, and 33,868,790,045.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Federal-aid Highway Program has made significant contributions to the safer operation of the nation's highways, to the better intermodal connectivity of roads to other forms of transportation, and to the improvement of pavement and bridge conditions throughout the country, while helping to safeguard environmental conditions. It has provided funding for a wide range of alternatives to traditional highway construction projects to better serve transportation needs. It has developed and advanced critical new technologies, such as Intelligent Transportation Systems, and shared them with the States. The FLHP works to create the best transportation system in balance with the values of the Federal and tribal lands. The mission of the program is to improve transportation access to and within Federal and tribal lands and provide technical services to the highway community. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, "Highways" and 49 CFR, "Transportation", and 2 CFR, Grants and Agreements. <http://www.fhwa.gov>.

Regional or Local Office:

See Regional Agency Offices. State-level division offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or State transportation agencies.

Headquarters Office:

Director, Office of Program Administration, Federal Highway Administration, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-9494.

Website Address:

<http://www.fhwa.dot.gov>.

RELATED PROGRAMS:

20.215 Highway Training and Education; 20.219 Recreational Trails Program; 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program; 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort; 23.003 Appalachian Development Highway System

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Federal-aid highway funds are generally apportioned by statutory formulas to the States and generally restricted to use on Federal-aid highways (i.e., roads open to the public and not functionally classified as local or rural minor collector). Exceptions to the use on Federal-aid highways include: (1) planning and research activities; (2) bridge and safety improvements, which may be on any public road; (3) bicycle and pedestrian projects, transportation enhancement activities, safe routes to school, and recreational trails projects, which may be located along any road or off road; and (4) the Federal Lands Highway Program. Some categories of funds may be granted directly to Local Public Agencies (LPAs), such as cities, counties, tribal governments, Metropolitan Planning Organizations (MPOs), and other political subdivisions. States also may pass funds through to such agencies. Federal-aid funds may be used for: surveying; engineering; right-of-way acquisition and relocation assistance; capital improvements classified as new construction or reconstruction; improvements for functional, geometric, or safety reasons; 4R projects (restoration, rehabilitation, resurfacing, and reconstruction); planning; research, development, and technology transfer; intelligent transportation systems projects; roadside beautification; wetland and natural habitat mitigation; traffic management and control improvements; improvements necessary to accommodate other transportation modes; development and establishment of transportation management systems; billboard removal; construction of bicycle facilities and pedestrian facilities; fringe and corridor parking; car pool and van pool projects; and transportation enhancements, such as scenic and historic highway improvements. These funds generally cannot be used for routine highway operational activities, such as police patrols, mowing, snow plowing, or maintenance, unless it is preventative maintenance. Also, certain authorizations (e.g., Surface Transportation Program (STP) Congestion Mitigation and Air Quality (CMAQ) Improvement Program) may be used for improvements to transit; CMAQ funds are for projects and programs in air quality, non-attainment and maintenance areas for ozone, carbon monoxide, and small particulate matter, which reduce transportation related emissions. ADHS projects are subject to the same standards, specifications, policies, and procedures as other Federal-aid highway projects. Fiscal Year 2010: Similar projects will be completed nationwide. Fiscal Year 2011: Similar projects will be completed nationwide.

CRITERIA FOR SELECTING PROPOSALS:

To be eligible, most projects must be located on public roads that are not functionally classified as local. The major exceptions are the Highway Bridge Replacement and Rehabilitation Program, which provides assistance for bridges on and off the Federal-Aid highways; highway safety activities; bicycle and pedestrian projects, transportation enhancement activities, the recreational trails program, and planning, research, development, and technology transfer. Proposed projects meeting these and other planning, design, environmental, safety, etc., requirements can be approved on the basis of State and local priorities within the limit of the funds apportioned or allocated to each State.

20.215 HIGHWAY TRAINING AND EDUCATION

National Highway Institute (NHI) Training Program
Dwight David Eisenhower Transportation Fellowship Program (DDETFFP)
Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP)

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C 101.

OBJECTIVES:

National Highway Institute (NHI) Training Program: To develop and administer, in cooperation with those in the highway community, educational, training, and technical assistance programs for the Federal Highway Administration, State and local highway agency employees, as well as the private sector and members of the international organizations. Dwight David Eisenhower Transportation Fellowship Program (DDETFFP): To attract qualified students to the field of transportation education and research, and advance transportation workforce development. Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): To improve the

preparation of students, particularly women and minorities, in science, technology, engineering, and mathematics through curriculum development and other transportation-related activities.

TYPES OF ASSISTANCE:

Cooperative Agreements; TRAINING

USES AND USE RESTRICTIONS:

States may use funds not to exceed one half of 1 percent of the amount apportioned to each State under Section 104(b)(3) for the surface transportation program for training their employees or employees of local transportation agencies. Such expenditures may not exceed 80 percent of the total cost of tuition and direct education expenses (excluding salaries) in connection with the education and training activities. Courses and study programs may be obtained from universities, other government agencies, the private sector, and/or the National Highway Institute. Such expenditures may not exceed 80 percent of the total cost of tuition and direct education expenses (excluding salaries) in connection with the education and training activities.

Applicant Eligibility:

NHI Training Program: Employees of State and local transportation agencies. DDETFP: Some restrictions apply. Undergraduate (juniors and seniors at Minority Institutes of Higher Education (MIHE)) and graduate students matriculating full-time at a U.S. university or college in a transportation-related discipline. GAMTTEP: Local educational agencies and State educational agencies, which may enter into a partnership agreement with institutions of higher education, businesses, or other entities.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Dwight David Eisenhower Transportation Fellowship Program (DDETFP): Students may apply to the Program Manager, Universities and Grants Programs of Technology Partnership Programs (TPP) to compete for three award categories; annually for the Eisenhower Graduate, Grants for Research Fellowships, and Eisenhower Intern Fellowships. The remaining Eisenhower Fellowships are competed at participating MIHEs, Community Colleges and universities designed for People Living with Disabilities. MIHEs include the Historically Black Colleges and Universities (HBCUs) Hispanic Serving Institutions (HSIs), and Tribal Colleges (TCs) and selected applications are forwarded to the Program Manager, Universities and Grants Programs of Technology Partnership Programs (TPP) for final selection. Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP): Vendors may apply through Grants.Gov annually.

Award Procedure:

Awards are made annually for the academic year for the Dwight David Eisenhower Transportation Fellowship Program by the Director, Technology Partnership Programs and the Program Manager, Universities and Grants Programs of the Office of Technical Services all categories. Awards for the Garrett A. Morgan Technology and Transportation Education Program (GAMTTEP) are made on the basis of competitive review by the Office of Acquisitions and the Program Manager, Universities and Grants Programs of Technology Partnership Programs (TPP).

Deadlines:

Apr 01, 2010: DDETFP HBCUs, HSIs, TCs, PWDs ad CCs: annually by April 1st. NHI Training Program: None. DDETFP Graduate Fellowships and Grants for Research Fellowships: annually by mid-February; DDETFP HBCUs, HSIs, TCs, PWDs: annually by April 1st. Grants for Research Fellowships and Eisenhower Intern Fellowships: Open until filled. GAMTTEP: Varies, but

usually March.

Range of Approval/Disapproval Time:

NHI Training Program: None. DDETFP: 3 months (applicants acceptance/rejection period).

Appeals:

Not Applicable.

Renewals:

NHI Training Program: None. Dwight David Eisenhower Transportation Fellowship Program: as applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

DDETFP on-site assignments, 6 to 12 months with extension upon approval from the Program Manager, Universities and Grants Programs of the National Highway Institute (NHI); Masters degree awards, up to 2 years, not to exceed 3 years, in duration; and PhD Awards, up to 3 years-not to exceed 5 years in duration; and Bachelors degree, 1 year. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

Not Applicable.

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401 - *.

Obligations:

(Formula Grants) FY 09 \$13,451,420; FY 10 est \$14,000,000; FY 11 est \$14,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The DDETFP awarded 204 fellowships in 2009. Fiscal Year 2010: The DDETFP will award 200 Fellowships in 2010. Fiscal Year 2011: The DDETFP expects to award 200 Fellowships in 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

NHI Training Program: 23 CFR, "Highways." DDETFP: The first guidelines for the DDETFP were issued in August 1992. National guidelines are reissued annually in the program announcement by the National Highway Institute.

Regional or Local Office:

See Regional Agency Offices. State-level (division) offices of the Federal Highway Administration as listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Highway Administration, 1310 N. Courthouse Rd, Suite 300, Arlington, Virginia 22201 Phone: (703) 235-0538.

Website Address:

<http://www.nhi.fhwa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: DDETFP: Graduate Fellowships, Grants for Research Fellowships (GRF), Intern Fellowships, Historically Black Colleges and University Fellowships, Hispanic Serving Institutions Fellowships, Tribal College Fellowships, People with Disabilities Fellowships, and Community College Fellowships. Fiscal Year 2010: 2010 DDETFP: Graduate Fellowships, Grants for Research Fellowships (GRF), Intern Fellowships, Historically Black Colleges and University Fellowships, Hispanic Serving Institutions

Fellowships, Tribal College Fellowships, People with Disabilities Fellowships and Community College Fellowships. Fiscal Year 2011: 2011 DDETFP: Graduate Fellowships, Grants for Research Fellowships (GRF), Intern Fellowships, Historically Black Colleges and University Fellowships, Hispanic Serving Institutions Fellowships, Tribal College Fellowships, People with Disabilities Fellowships and Community College Fellowships.

CRITERIA FOR SELECTING PROPOSALS:

Courses conducted fill major agency needs as defined by high priority areas, and are presented within time, staff, and budget constraints. DDETFP fellowships are awarded on competition on the basis of demonstrated merit, including pursuit of transportation related careers, academic credentials, academic recommendations, and study proposal.

20.218 NATIONAL MOTOR CARRIER SAFETY

(MCSAP)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Motor Carrier Act of 1991, Public Law 102-240, 49 U.S.C 31100-31104; Surface Transportation Assistance Act of 1982, Section 401-408, Public Law 97-424; Motor Carrier Safety Reauthorization Act of 2005, Public Law 109-59; Transportation Equity Act for the 21st Century, Public Law 105-178.

OBJECTIVES:

To reduce the number and severity of accidents and hazardous material incidents involving commercial motor vehicles by substantially increasing the level and effectiveness of enforcement activity and the likelihood that safety defects, driver deficiencies, and unsafe carrier practices will be detected and corrected.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Financial assistance to States for the implementation of programs for the adoption and uniform enforcement of safety rules, regulations and standards compatible with the Federal Motor Carrier Safety Regulations and Federal Hazardous Materials Regulations for both interstate and intrastate motor carriers and drivers. This is Financial Assistance to the States.

Applicant Eligibility:

A qualified State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, American Samoa, Guam, or the Commonwealth of the Northern Marianas (a State).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Certification by the State that it meets the conditions for grant approval. Submission of a State Plan addressing the commercial motor carrier and highway hazardous materials safety within the State. Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Scheduled regional pre-planning conferences. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The State lead agency, designated by the Governor, must submit the States Commercial Vehicle Safety Plan, which includes a certification of eligibility, to the FMCSA Division Office along with submitting the FMCSA Application Package electronically through grants.gov.

Award Procedure:

The amount of the basic MCSAP grant to a qualifying State will be determined

by the Federal Motor Carrier Safety Administration based on the availability of funds, the eligibility of a State, and the formula set in 49 CFR 350. State will be required to sign a grant agreement.

Deadlines:

Aug 01, 2010 Application for a grant must be filed with the FMCSA Division Office on or before August 1 of each year. The deadline may, for good cause, be extended by the Division Administrator/State Director for no more than 30 calendar days.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 60 days.

Appeals:

From 30 to 60 days. State will be notified in writing of failure to submit a satisfactory State Plan. State will have 30 days to modify and resubmit the plan.

Renewals:

Application for a grant must be made annually.

Formula and Matching Requirements:

Statutory Formula: Public Law 49 CFR 350.323.

Matching Requirements: The MCSAP formula is described in 49 CFR 350.323 and is based on the most current approved statistics available. Most funds will be allocated each year among the States according to a formula based on four equally weighted (25 percent) factors: (1) road miles (all highways) as defined by the FHWA; (2) All vehicle miles traveled as defined by the FHWA; (3) Population - annual census estimates as issued by the U. S. Census Bureau; and (4) special fuel consumption (net after reciprocity adjustment) as defined by the FHWA. The Federal share shall not exceed 80 percent of the approved total project amount. The State must maintain the average level of expenditure of the State and its political subdivisions (not including amounts of the Government or State matching funds) for commercial motor vehicle safety programs, for enforcement of commercial motor vehicle size and weight limitations, drug interdiction, and State traffic safety laws and regulations for the 3 full fiscal years beginning after October 1 of the year 5 years prior to the beginning of each Government fiscal year. The State's share is at least 20 percent. The FMCSA has waived the requirement for matching funds to be provided by the U.S. Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Marianas. Additional funds are allocated under the provisions of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded on an annual basis. Funds obligated to a State will remain available for expenditure for the fiscal year in which they were obligated and the next full fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

A quarterly Activity Report is to be filed with the FMCSA Division Office. SF-270 -- quarterly. A quarterly Activity Report is to be filed with the FMCSA Division Office. SF-425 -- quarterly. A quarterly Activity Report is to be filed with the FMCSA Division Office. Reporting requirements are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and federal financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with the MCSAP Formula Monitoring Report attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request for Reimbursement form (SF-270). Reports are to be submitted quarterly to the FMCSA Division Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of all program activities covered in the State's Safety Plan and statistics pertaining to commercial motor vehicle accidents. Such records must be kept for 3 years following the year of funding.

Account Identification:

69-8048-0-7-401.

Obligations:

(Salaries) FY 09 \$209,000,000; FY 10 est \$212,000,000; FY 11 est \$215,070,000

Range and Average of Financial Assistance:

Not less than 0.44 percent or more than 4.94 percent of available funds for basic program grants. U.S. Territories may receive a fixed amount of \$350,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the program supported approximately 10,000 full and part-time State personnel nationwide who performed approximately 3 million inspections, resulting in increased uniformity in the enforcement of motor vehicle safety regulations throughout the United States and the promotion of commercial vehicle safety. Training was provided for State enforcement personnel through the delivery of commercial vehicle safety courses reaching over 13,000 State personnel nationwide. The program also supported national commercial vehicle safety activities related to inspection process improvements, data collection and analysis, judicial outreach, new entrant safety audits and drug interdiction. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, Guidelines, and Literature: 49 CFR 1.48. 49 CFR 386-399, Federal Motor Carrier Safety regulations; 49 CFR 100-180, Hazardous Materials Regulations, Government Printing Office, \$14-\$27 per volume.

Regional or Local Office:

See Regional Agency Offices. State-level office of the Federal Motor Carrier Safety Administration as listed on the FMCSA website at <http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>.

Headquarters Office:

State Programs, MC-ESS, 1200 New Jersey Ave, SE, Washington, District of Columbia 20590 Phone: (202) 366-9579.

Website Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Roadside driver and vehicle inspections, compliance reviews, data quality improvement, and traffic enforcement. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The applicant must meet the statutory and administrative requirements contained in 49 CFR 350. The State Plan must provide for an effective commercial vehicle safety program.

20.219 RECREATIONAL TRAILS PROGRAM

Recreational Trails Program

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C 104, 206.

OBJECTIVES:

To provide funds to the States to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses.

TYPES OF ASSISTANCE:

Formula Grants; Formula Grants (Apportionments)

USES AND USE RESTRICTIONS:

Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails, supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and(8) State administrative costs related to this program (limited to 7 percent of a State's funds). Funds may not be used for: property condemnation; constructing new trails for motorized use on National Forest or Bureau of Land Management lands unless the project is consistent with resource management plans; or facilitating motorized access on otherwise non motorized trails. The USDOT encourages States to enter into contracts and cooperative agreements with qualified youth conservation or service corps to perform construction and maintenance of recreational trails under this program. Funds from this program may be used for: (1) Maintenance and restoration of existing trails; (2) development and rehabilitation of trailside and trailhead facilities and trail linkages; (3) purchase and lease of trail construction and maintenance equipment; (4) construction of new trails (with restrictions for new trails on Federal lands); (5) acquisition of easements or property for trails or trail corridors; (6) assessment of trail conditions for accessibility and maintenance; (7) development and dissemination of publications and operation of educational programs to promote safety and environmental protection (as those objectives relate to one or more of the use of recreational trails, supporting non-law enforcement trail safety and trail use monitoring patrol programs, and providing trail-related training), (limited to 5 percent of a State's funds); and(8) State administrative costs related to this program (limited to 7 percent of a State's funds).

Applicant Eligibility:

The Governor of each State must designate the State agency or agencies responsible for administering this program. The State must have a State recreational trail advisory committee that represents both motorized and nonmotorized recreational trail users, which shall meet not less than once per fiscal year. The State agency may accept project proposals from private organizations, or from municipal, county, State, or Federal government entities, and other government entities. The projects must satisfy one or more of the permissible uses.

Beneficiary Eligibility:

The State agency designated by the Governor.

Credentials/Documentation:

Allowable costs will be determined in accordance with applicable cost principles listed in 49 CFR Part 18.22 for the kind of organization receiving the grant or subgrant. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The States must submit project proposals to the FHWA division office located in each State for approval. The State may submit individual projects or consolidate similar projects for the purposes of program approval. FHWA approval constitutes a commitment to pay the Federal share of the project's cost. The State's projects also must be included in State transportation improvement programs and applicable metropolitan transportation improvement programs.

Award Procedure:

The State agency or agencies designated by the Governors decide which projects will be developed within funding levels, but the FHWA division office

located in each State makes the final decision on the eligibility of specific projects for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 5 days to 5 months. In some States, requirements for projects to be listed in statewide or metropolitan transportation improvement programs may add up to a year of delay.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23 U.S.C., Part 104(h) and 206, Public Law 109-59, 23 U.S.C. 104(h)(2) and 206. See

<http://www.fhwa.dot.gov/environment/rectrails/legislation.htm>.

Matching Requirements: Percent: 80%. The Federal share is subject to a sliding scale under 23 U.S.C. 120(b). Funds from any other Federal program may be used for the non Federal match if the project also is eligible under the other program. Recreational Trails Program funds may be used to match other Federal funds if the project also is eligible under the other program. States also may allow a programmatic match: if some project sponsors in a State provide more match funds than required, other sponsors in the State may provide less. Some in-kind materials and services may be credited toward the project match. States may allow some pre-approval planning and environmental assessment costs toward the project match. See

<http://www.fhwa.dot.gov/environment/rectrails/news/dec2005/matchingfunds.htm>.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds generally become available at the beginning of the fiscal year for which they are authorized, and must be obligated within 3 years after the close of that fiscal year. See the following for information on how assistance is awarded/released: The method of money release varies case by case.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Project records and documents must be retained by the State for 3 years following the final submission for Federal payment in accordance with 49 CFR 18.41.

Account Identification:

69-8083-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$81,113,236; FY 10 est \$80,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Apportionments to the States are based on statutory formula. All 50 States and the District of Columbia are eligible to receive apportionments. For fiscal year 2005 funds ranged from \$580,000 to \$4,263,285; the average was \$1,160,000, but \$2,648,347.14. For fiscal year 2006 funds ranged from \$678,039 to \$5,099,645; the average was \$1,356,078. For fiscal year 2007 funds ranged from \$727,059 to \$5,555,406; the average was \$1,473,725. For fiscal year 2008 funds ranged from \$776,078 to \$6,037,429; the average was \$1,552,157. For fiscal year 2009 funds ranged from \$825,000 to \$4,680,480; the average was

\$1,650,196. For fiscal year 2009 funds ranged from \$ 825,098 to \$4,680,480; the average was \$1,650,196. See

www.fhwa.dot.gov/environment/rectrails/recfunds.htm.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: States selected projects located on Federal, State, local, and private lands for all kinds of recreational trail uses including projects such as: grooming snow trails, maintaining trails, constructing nature trails, constructing urban multiple use trails, developing rail-trails, repairing motorized and non motorized trails, constructing and rehabilitating trail bridges, improving trail access for people with disabilities, developing trailside and trailhead facilities, assessing trails for accessibility and maintenance, improving trail information and signs, and providing trail safety patrols and trail-related training. Many projects use youth conservation or service corps. Fiscal Year 2010: 2010: Similar projects will be completed nationwide. Fiscal Year 2011: Similar projects will be completed nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR, Highways and 49 CFR, Transportation. There are no regulations specifically for this program. Program guidance was completed on April 1, 1999; and is posted at

<http://www.fhwa.dot.gov/environment/rectrails/guidance.htm> along with program updates.

Regional or Local Office:

See Regional Agency Offices. State-level offices of the Federal Highway Administration (as listed in Appendix IV of the Catalog) or the State agency designated by the Governor to administer this program.

Headquarters Office:

Christopher B. Douwes, 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Email: christopher.douwes@dot.gov Phone: (202) 366-5013 Fax: (202) 366-3409.

Website Address:

<http://www.fhwa.dot.gov/environment/rectrails.html>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Trail projects to develop and maintain recreational trails and trail-related facilities for both nonmotorized and motorized recreational trail uses. Examples include maintenance and construction of trails for hiking, bicycling, in-line skating, equestrian use, cross-country skiing, snowmobiling, off-road motorcycling, all-terrain vehicle riding, four-wheel driving, or using other off-road motorized vehicles; development and rehabilitation of trailside and trailhead facilities; operation of educational projects and programs to promote safety and environmental protection related to recreational trails; State administrative costs related to this program. Many projects use youth conservation or service corps. See specific project examples at: Award winning projects: <http://www.americantrails.org/awards/CRTawards.html> and the project database: <http://www.funoutdoors.info/rtphome.html>. Fiscal Year 2010: Similar projects will be completed nationwide. Fiscal Year 2011: Similar projects will be completed nationwide.

CRITERIA FOR SELECTING PROPOSALS:

Each State (and the District of Columbia) solicits and selects projects for funding. To be eligible, projects must be selected on the basis of State priorities within the limit of the funds apportioned to each State.

20.223 TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION ACT (TIFIA) PROGRAM

(TIFIA Credit Program)

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

TIFIA Infrastructure Finance and Innovation Act of 1998, 23 U.S.C 601-609.

OBJECTIVES:

To finance projects of national or regional significance by filling market gaps and leveraging substantial non-Federal and private co-investment. TIFIA credit

assistance is intended to facilitate the financing of projects that would otherwise have been significantly delayed because of funding limitations or difficulties accessing the capital markets. Through TIFIA, the DOT provides Federal credit assistance to eligible highway, transit, rail, and intermodal freight projects, including access to seaports.

TYPES OF ASSISTANCE:

DIRECT LOANS; GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Highway, transit, rail, freight facilities, and certain port projects may receive credit assistance through the TIFIA program. The TIFIA statute requires all projects receiving TIFIA assistance to comply with 23 U.S.C. (for highway projects) and chapter 53 of 49 U.S.C. (for transit projects), as applicable. In addition, all projects receiving TIFIA assistance must comply with generally applicable Federal laws and regulations, including title VI of the Civil Rights Act of 1964, the National Environmental Policy Act of 1969, and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.

Applicant Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project may apply for TIFIA assistance. Examples of such entities include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Beneficiary Eligibility:

Public or private entities seeking to finance, design, construct, own, or operate an eligible surface transportation project. Examples include state departments of transportation; local governments; transit agencies; special authorities; special districts; railroad companies; and private firms or consortia that may include companies specializing in engineering, construction, materials, and/or the operation of transportation facilities.

Credentials/Documentation:

All applicants must demonstrate relevant experience, strong qualifications, a sound project approach, and a project that can demonstrate financial feasibility. Applicants also must meet various Federal standards for participation in a Federal credit program. For example, applicant may not be delinquent or in default on any Federal debts. Such requirements will be specified in the contractual documents between the DOT and each applicant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For more information, please visit the TIFIA website at <http://www.fhwa.dot.gov/ipd/tifia/>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Upon receiving the DOT's notification that the project meets the basic eligibility criteria, the applicant may submit an application. An application fee, currently \$30,000, must be submitted with the proposal. The application form is available on the TIFIA web site at <http://tifia.fhwa.dot.gov/>.

Award Procedure:

Final approval is granted by the Secretary of Transportation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

After a complete application has been filed with all supporting documents, processing time is approximately 90 days. The average time to execute a TIFIA agreement from the date of Secretarial approval is 1 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The maximum maturity of all TIFIA credit instruments is 35 years after a project's substantial completion. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See Index of Current Regulations, Federal Highway Administration.

Records:

Documentary evidence that may be requested for each project includes: audited financial statements, updated budget and cash flow projections, audit reports, sources and uses of funds, coverage ratios, project schedules, operating statistics, and management updates (within no more than 180 days following the recipient's fiscal year-end). In addition, the credit agreement obligates the borrower to provide the DOT an annual update to the project's financial plan in accordance with specified requirements. Each borrower will be required to give notice to the DOT of material events, including litigation, which could affect project development or the credit quality of the project.

Account Identification:

69-4123-0-3-401; 69-4173-0-3-401; 69-4145-0-3-401.

Obligations:

(Salaries) FY 09 \$990,103,829; FY 10 est \$2,157,000,000; FY 11 est not reported. - FY11 est. not available.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, the I-595 project closed a TIFIA loan in the amount of \$603 million and a loan for the Triangle Expressway project closed for \$387 million. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See TIFIA website at http://www.fhwa.dot.gov/ipd/tifia/legislation_regulations/index.htm.

Regional or Local Office:

None.

Headquarters Office:

Duane Callender, 1200 New Jersey Ave S.E., Room E, Washington, District of Columbia 20590 Phone: (202) 366-9644.

Website Address:

<http://tifia.fhwa.dot.gov/> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 09, the I-595 project closed a TIFIA loan for \$603 million and a loan for the Triangle Expressway project closed for \$387 million. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The DOT has assigned specific weights to the selection criteria, as follows: National or Regional Significance (20 percent); Private Participation (20

percent); Environment (20 percent); Project Acceleration (12.5 percent); Creditworthiness (12.5 percent); Use of Technology (5 percent); Consumption of Budget Authority (5 percent); Reduced Federal Grant Assistance (5 percent).

20.231 PERFORMANCE AND REGISTRATION INFORMATION SYSTEMS MANAGEMENT

(PRISM)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, and Efficient Transportation Act: A Legacy of Users (SAFETEA-LU), Part 4109, Public Law 109-109-115, 49 U.S.C 31106 and 31109.

OBJECTIVES:

This program links Federal motor carrier safety information systems with State commercial vehicle registration and licensing systems and enables a State to (1) determine the safety fitness of a motor carrier or registrant when licensing or registering the registrant of motor carrier or while the license or registration is in effect; and (2) deny, suspend, or revoke the commercial motor vehicle registrations of a motor carrier or registrant that has been issued an operation out-of-service order by the Federal Motor Carrier Safety Administration (FMCSA).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Financial assistance to States for the implementation of the PRISM program to (A) comply with the uniform policies, procedures, and technical and operational standards; (B) possess or seek the authority to possess for a time period no longer than determined reasonable by the Secretary, to impose sanctions relating to commercial motor vehicle registration on the basis of a Federal safety fitness determination; and (C) establish and implement a process to cancel the motor vehicle registration and seize the registration plates of a vehicle when an employer is found liable under section 31310 (i)(2)(C) for knowingly allowing or requiring an employee to operate such a commercial motor vehicle in violation of an out-of-service order. Contact person headquarters office for restrictions. PRISM grants are 100 percent federally funded and require no State matching of funds.

Applicant Eligibility:

A qualified State of the United States and the District of Columbia.

Beneficiary Eligibility:

States.

Credentials/Documentation:

Letter of Intent indicating State wishes to participate in PRISM together with an application for assistance standard form (SF-424). Submission of a State grant request and PRISM Implementation Plan addressing the program requirements as outlined in the PRISM Implementation Plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. At the States request, the Federal Motor Carrier Safety Administration (FMCSA) will conduct an initial briefing of the PRISM program for State officials. Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website. States deciding to join the PRISM program should express their intentions to implement the program in a Letter of Intent as supplemental information with their application.

Award Procedure:

The amount of the PRISM grant funding allocated to a qualifying State will be determined by the Federal Motor Carrier Safety Administration (FMCSA) based on the assessed needs of the State, the eligibility of the State, and the availability of funds. Once the State's PRISM Implementation Plan has been approved, the grant will be awarded and the State will be required to sign a grant agreement with FMCSA.

Deadlines:

Oct 12, 2009 to Dec 01, 2009: The FMCSA will initially consider funding for application submitted by December 1. When each of those applications have been reviewed and funding awarded as appropriate, applications received thereafter will be considered on a case-by-case basis, subject.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Failure to submit a satisfactory PRISM Implementation Plans, as determined by FMCSA and the PRISM technical review panel will be requested to modify and resubmit there revised plan for approval.

Renewals:

Renewals or request for extensions to the grant period of performance can be made through the FMCSA State Division Offices.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are available for three years. Obligations to a State will remain available for expenditure during this period specified in the grant agreement and may be extended under special circumstances with FMCSA approval. Method of awarding/releasing assistance: lump sum.

Reports:

Reporting requirements for PRISM recipients are outlined in the grant agreement terms and conditions and consists of quarterly performance progress status and financial reports. Progress Reports include the Performance Progress Report Coversheet (SF-PPR), together with SF-PPR-B_PRISM attachment. Financial Reporting requirements include quarterly submission of the Federal Financial Report (SF-FFR, also known as the SF-425) and at least quarterly submission of the Request of reimbursement form (SF-425). Reports are to be submitted quarterly to the State FMCSA Division Office. Voucher reports. Progress reports are required quarterly. SF 425. Performance monitoring reports are submitted in the form of status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. When a State has fully implemented the PRISM Program an Implementation Review is scheduled to ensure that States meet the requirements of the PRISM Program. An audit is performed approximately every three years.

Records:

The recipient is responsible for ensuring that independent audits are conducted in accordance with the existing Federal auditing and reporting standards set forth in OMB Circular A-133. The audit report must be submitted to the FMCSA to support the indirect cost proposal.

Account Identification:

69-8048-0-7-401.

Obligations:

(Formula Grants) FY 09 \$5,000,000; FY 10 est \$5,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Financial assistance averages approximately \$500,000 per State. Funding is determined based upon individual State needs for full implementation of the program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, activities to further enhance existing program integrity and to advance state participation included:

Conducted PRISM Implementation Plan Development Training in three states to enable the states to initiate and fully implement the program.

Performed PRISM Implementation Reviews in seven states to monitor and ensure the states ability to identify unsafe Motor Carriers both at registration and at the roadside.

Developed model legislation for states to adopt in order to deny suspend, and revoke the registrations of unsafe Motor Carriers.

Developed model legislation to enable states to deny registration to unsafe Motor Carriers who attempt to change their identity (chameleon carriers). Fiscal

Year 2010: The PRISM program increased the efficiency and effectiveness of Federal and State safety efforts through a more accurate process to target the highest-risk carriers, which allows for a more efficient allocation of scarce resources for compliance reviews and roadside inspections. The PRISM program continues to require that motor carriers improve their identified safety deficiencies or face progressively more stringent sanctions up to the ultimate sanction of a Federal Out-of-Service order and concurrent State registration suspensions. The PRISM program has proven to be an effective means of motivating motor carriers to improve their compliance and performance deficiencies. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The PRISM Implementation Guide, Procedures Manual, System Specifications, State Training Materials, and other useful information may be obtained from the PRISM website specified below. Regulation, Guidelines, and Literature: 49 CFR 1.48. 49CFR 386-399, Federal Motor Carrier Safety regulations; 49 CFR 100-180, Hazardous Materials Regulations, Government Printing Office, \$14-\$27 per volume.

Regional or Local Office:

See Regional Agency Offices, State Division Offices of the Federal Motor Carrier Safety Administration, as listed on the FMCSA website (www.fmcsa.dot.gov).

Headquarters Office:

State Programs Division, MC-ESS 1200 New Jersey Ave, S.E., Washington, District of Columbia 20590 Phone: (800) 832-5660.

Website Address:

<http://www.fmcsa.dot.gov/safety-security/prism/prism.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: State improvements to data information and registration systems, bar-coding, training and outreach activities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The applicant must submit and receive formal approve of a State PRISM Implementation Plan specifying how the State will meet the program requirements, timeframes for completion, and associated costs of the program.

20.232 COMMERCIAL DRIVER'S LICENSE PROGRAM IMPROVEMENT GRANT

Commercial Driver License State Program Improvement Grant

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 119 Stat. 1144, 49 U.S.C 31311 and 31313.

OBJECTIVES:

To achieve the goals of SAFETEA-LU and the FMCSA mission of reducing the number and severity of crashes, fatalities, and injuries involving large trucks and buses by ensuring that States comply with the Federal Motor Carrier Safety Regulations related to commercial drivers license testing, issuance, and disqualification.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A State may use grants only for expenses directly related to its compliance with 49 USC 31311 (49 CFR Parts 383 and 384); except that a State that is making good faith efforts toward substantial compliance with the requirements of 31311 and 31313 of SAFETEA-LU may use grants to improve implementation of the State's commercial driver's license program, including expenses for computer hardware and software, publications, testing, personnel, training, fraud prevention, and quality control. The grant may not be used to rent, lease, or buy land or buildings. Non-State grantees may use funds for projects that are national in scope and that are directly related to helping States comply with 49 CFR Parts 383 and 384. The grant may not be used to rent, lease, or buy land or buildings. 100% funding; no match required.

Applicant Eligibility:

States may receive grant funds to comply with the requirements of section 31311 of SAFETEA-LU or if making good faith efforts toward substantial compliance with the requirements of section 31311 and 31313 receive grant funds to improve implementation of the commercial driver's license program.

Beneficiary Eligibility:

- 14 - State (includes District of Columbia, public institutions of higher education and hospitals)
- 15 Local (includes State-designated Indian Tribes, excludes institutions of higher education and hospitals)
- 20 Public nonprofit institution/organization
- 38 other private institutions/organizations
- 39 anyone/general public.

Credentials/Documentation:

Certification by the State that it meets the conditions for grant approval. States must submit a self assessment of their CDL program and agree to maintain CDL program expenditures at a level at least equal to the average of the past two State fiscal years that ended prior to August 10, 2005. Costs will be determined in accordance with OMB Circular A-87. Non-State grantees must adhere to the applicable OMB Circulars. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Detailed application instructions and forms are available on Grants.gov. Applications must be submitted using Grants.gov after approval by the State's FMCSA Division Office.

Award Procedure:

The amount of the CDL grant to a qualifying State will be determined by the CDL Division based on the documented needs of the State and the availability of funds. The Federal Register Notice will outline the current year's priorities. All proposals will be evaluated by a panel to determine which proposals have the greatest impact on the effectiveness of the CDL program in improving highway safety and reducing commercial motor vehicle related fatalities. States will be required to sign a grant agreement. This is a 90 day process.

Deadlines:

Jul 15, 2010 to Nov 15, 2010 Grant applications must be approved by the FMCSA Division Administrator in the State and submitted via grants.gov by the date indicated in the Federal Register Notice.

Range of Approval/Disapproval Time:

This is a 90 day process.

Appeals:

Not Applicable.

Renewals:

Grant period of performance may be renewed without additional funding according to the requirements of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants must be obligated in the same fiscal year that funding is made available. Obligations remain available until expended within the constraints of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law. See the following for information on how assistance is awarded/released: Reimbursement of actual expenditures.

Reports:

SF-425 and SF-PPR-B. No cash reports are required. Project activity reports (SF-425) must be submitted quarterly to the FMCSA Division office. SF-425. Through reports and site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of all program activities covered in the grant proposal. Such records must be kept for 3 years following the end of the grant project period.

Account Identification:

69-8158-0-7-401.

Obligations:

(Salaries) FY 09 \$25,000,000; FY 10 est \$25,000,000; FY 11 est \$25,000,000

Range and Average of Financial Assistance:

\$15,000 - \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of fiscal year 2010, the program has helped jurisdictions achieve substantial compliance with the requirements of 49 CFR Parts 383 and 384, thwart increasingly sophisticated fraudulent activities, and educate and train hundreds of State and local officials that are involved in CDL testing, issuance, and enforcement. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR Part 383 and 384

Regional or Local Office:

None.

Headquarters Office:

Jose Rodriguez, 1200 New Jersey Avenue, S.E., W65 Attn: MC-ESL, Washington, District of Columbia 20590 Email: jose.rodriguez@dot.gov Phone: (202) 366-0133.

Website Address:

<http://www.fmcsa.dot.gov/safety-security/grants/CDLPI/index.aspx>

RELATED PROGRAMS:

20.238 Commercial Drivers License Information System

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funds to support programming necessary to achieve State compliance with the provisions of 49 CFR Parts 383 and 384; funds to support

overt and covert monitoring of CDL Skills testing; funds to support the accurate, complete, and timely exchange of driver history records between State jurisdictions; funding to support implementation of modernized knowledge and skills testing techniques, funding to support national anti-fraud training for State driver licensing agencies, funding to support training for State driver licensing agency personnel on the requirements of 49 CFR Parts 383 and 384. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria include, prior performance of grantee (if applicable), effective use of prior grant funds by grantee (if applicable), cost effectiveness, applicability to announced priorities, ability to support the strategies and activities proposed in the application, use of innovative approaches to achieve program goals, feasibility of overall project proposed, and other grant specific evaluation factors as listed in the grant opportunity announcement on www.grants.gov.

20.233 BORDER ENFORCEMENT GRANTS

BEG

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

The Consolidated Appropriations Act of 2010 and Safe, Accountable, Flexible, and Efficient Transportation Equity Act: A Legacy for Users, Public Law 109-59, 49 U.S.C 31107.

OBJECTIVES:

To ensure motor carriers operating commercial vehicles entering the United States from a foreign country are in compliance with commercial vehicle safety standards and regulations, financial responsibility regulations and registration requirements of the United States, and to ensure drivers of those vehicles are qualified and properly licensed to operate the commercial vehicle.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Assistance is provided to entities and States that share a land border with another country for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects. Grant funds must be expended by September 30, 2011. Assistance is provided to entities and States that share a land border with another country for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects. On hundred per cent discretionary funding is available for approved projects.

Applicant Eligibility:

Entities and States that share a land border with a foreign country.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Maintenance of expenditure certification by the entity or State that it meets the conditions for grant approval and the State agrees that the total expenditure of the amounts of the State and political subdivisions of the State, exclusive of amounts from the United States, for carrying out border commercial motor vehicle safety programs and related enforcement activities and projects will be maintained at a level at least equal to the average level of that expenditure by the State and political subdivisions of the State for the last 2 State or Federal fiscal years ending before October 1, 2005, whichever the State designates. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

File the complete application SF-424, SF-424A and SF424B form, a certification that the State meets all eligibility requirements and a State Border Enforcement Plan, electronically through grants.gov. Information on how to register with grants.gov and file an application using grants.gov is available at <http://www.grants.gov/Apply>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O.

12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. File the SF-424 and SF424A forms, a certification that the State meets all eligibility requirements, and a State Border Enforcement Plan with grants.gov.

Award Procedure:

The amount of the award to a qualifying applicant will be based on a panel review of all applications received, recommendations of the panel, and funding availability. The panel will prioritize funding request based on the State or entity's performance on previous BEG awards; their ability to expend the awarded funds within the BEG performance year; and, national criteria established by the FMCSA as follows:

Ensure southern Border States are meeting all Federal requirements to allow access to Mexico-domiciled carriers beyond the border commercial zones,

Increase the number of commercial motor vehicle (CMV) safety inspections and commercial driver license(CDL)/operating authority/financial responsibility checks in Border States focusing on international traffic;

Improve the capability to conduct CMV safety inspection at remote and other sites near the border (The list of eligible items in 49 CFR 350.311, relating to the Motor Carrier Safety Assistance Program (MCSAP), should be used as a guide.);

Develop appropriate telecommunication systems and coordination procedures with federal inspection agencies and others (Appropriate telecommunication systems means those that relate directly to the accessing and transfer of CMV safety data and information) including telecommunications systems and other items necessary to implement the International Trade Data Systems (ITDS);

Other innovative initiatives designed to improve the compliance status of CMVs, drivers, and carriers entering the United States from Canada or Mexico;

Research initiatives focused on cross-border enforcement and related issues; and

Targeted inspections of commercial motor vehicles on corridors where there is a significant amount of international traffic.

Applicants will be required to sign a grant agreement.

Deadlines:

Sep 15, 2009 to Aug 31, 2010 Applications for a grant must be filed in grants.gov by September 15, 2009. If funds remain available after all applications received by September 15, 2009 have been processed, additional applications will be accepted through August 31, 2010, and considered for funding.

Range of Approval/Disapproval Time:

From 120 to 180 days. 120 days.

Appeals:

Applicants will be notified in writing or via e-mail by the Division Administrator if the State or entity's application was not approved. There is no appeal process as funding is discretionary.

Renewals:

Eligible applicants must submit a new grant application to receive additional funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The amounts made available under the Border Enforcement Grants Program shall remain available for expenditure for the fiscal year in which they are allocated and for the next fiscal year. Amounts not expended by a State during those two fiscal years are available to the Secretary for reallocation. FY 2010 BEG funds must be expended before October 1, 2011. Method of awarding/releasing assistance: lump sum.

Reports:

A quarterly activity report is to be filed with the FMCSA Division Office. A program evaluation report is to be filed with the FMCSA Division Office within 90 days upon completion of the grant program. No cash reports are required.

No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of all programs activities covered in the State's Border Enforcement Plan must be kept for three years after the grant is closed.

Account Identification:

69-8158-0-7-401.

Obligations:

(Project Grants (Discretionary)) FY 09 \$32,000,000; FY 10 est \$32,000,000; FY 11 est \$32,000,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Under the BEG program, since FY 2005, over 500,000 CMV inspections, commercial driver license, financial responsibility and operating authority checks have also been conducted. Over 90 outreach sessions for Mexican motor carriers seeking authority to operate in the US have been conducted. Additionally, the four southern Border States have been provided funding to ensure the requirements to allow access to Mexico-domiciled motor vehicles beyond the commercial zone have been met. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR 1.48; 49 CFR 350; 49 CFR 350-399; 49 CFR 100-180; 49 CFR 18. Publications available through the Government Printing Office \$14-\$27 per volume.

Regional or Local Office:

See Regional Agency Offices. Division Office of FMCSA as listed in Appendix IV of the Catalog.

Headquarters Office:

Carla Vagnini, 1200 New Jersey Ave, SE, Washington, District of Columbia 20590 Email: carla.vagnini@dot.gov Phone: (202) 366-3771.

Website Address:

<http://www.fmcsa.dot.gov>.

RELATED PROGRAMS:

20.218 National Motor Carrier Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Roadside driver and vehicle inspections at or near the US-Mexico border or US-Canada border. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The applicant must meet the statutory requirements contained in the Safe, Accountable, Flexible, and Efficient Transportation Equity Act: A Legacy for Uses (Conference Report 109-203). Additionally the State or entity must submit an electronic application (SF 424, SF 424a and SF424b) through grants.gov (<http://www.grants.gov/Apply>) including a Border Enforcement Plan consistent with the national criteria identified by the Federal Motor Carrier Safety Administration and listed above. The Border Enforcement Plan must include the scope, purpose, goals and objectives of the border enforcement program; implementation strategies including projected number of activities to be completed; performance measures; a detailed budget; an evaluation of the previous years border enforcement plan including a comparison of projected activities and the actual number completed to date, if applicable; a program monitoring and evaluation plan; and a sample of the quarterly report the applicant will use to submit to the Federal Motor Carrier Safety Administration.

Applications that are missing any of this information will be returned to the applicant for revision and must be resubmitted within 30 days to be considered during the first round of funding. Those received after 30 days will be considered during the second round of funding.

20.234 SAFETY DATA IMPROVEMENT PROGRAM

Safety Data Improvement Program(SaDIP)

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

The Consolidated Appropriations Act, 2010 provides grant funding for commercial motor vehicle safety programs (as authorized under Section 4128 of the Safe, Accountable, Flexible, and Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) Public Law 109-59, 119 Stat. 1144 (2005)), Public Law 111-117, 124 Stat. 71, 49 U.S.C 31102-31104.

OBJECTIVES:

The objectives are to fund State programs designed to improve the overall quality of commercial motor vehicle (CMV) data, specifically to increase the timeliness, efficiency, accuracy and completeness of processes and systems related to the collection and analysis of large truck and bus crash and inspection data.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Examples of uses for funding include: hire staff to manage data quality improvement programs, revise outdated crash report forms, hire staff to code and enter safety data, train law enforcement officers in collecting crash data, develop software to transfer data from the State repository SAFETYNET and purchase software for field data collection and data transfer. Examples of uses for funding include: hire staff to manage data quality improvement programs, revise outdated crash report forms, hire staff to code and enter safety data, train law enforcement officers in collecting crash data, develop software to transfer data from the State repository SAFETYNET and purchase software for field data collection and data transfer. The Federal share of a grant under this section shall be 80 percent of the cost of the activities for which the grant is made.

Applicant Eligibility:

A State shall be eligible for a grant under this section in a fiscal year if the Secretary determines that the State has (1) conducted a comprehensive audit of its commercial motor vehicle safety data system within the preceding 2 years; (2) developed a plan that identifies and prioritizes its commercial motor vehicle safety data needs and goals; and (3) identified performance-based measures to determine progress toward those goals.

Eligible applicants include State Departments of Public Safety, Departments of Transportation, or State Law Enforcement Agencies in any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937. (49 CFR Part 18).

Beneficiary Eligibility:

Any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. The term does not include any public and Indian housing agency under United States Housing Act of 1937. (49 CFR Part 18).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must register with Grants.gov to apply for funding at: www.grants.gov/applicants/get_registered.jsp.

Registration with grants.gov may take two to five days before the system will allow access to the grant application package.

Applications should be submitted in accordance with the instructions provided and must be submitted electronically to FMCSA through the Grants.gov website.

Award Procedure:

An evaluation panel will select award recipients based on an overall application score outlined in the application package, the potential for improvement of national Safety data collection and reporting rates, and the total funding available.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

If basic eligibility criteria are met and funds are available, discussion with potential grantees continues until the project plan is mutually acceptable.

Appeals:

Appeals will be considered upon demonstration that the proposal meets SaDIP grant eligibility criteria and the State Safety Data Quality measures have been addressed.

Renewals:

Renewals or request for extensions to the grant period of performance can be made through the FMCSA State Division Offices.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. The Federal share of a grant under this section shall be 80 percent of the cost of approved eligible activities for which the grant is made; the State share of a grant under this section shall be 20 percent of the cost of the activities for which the grant is made.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The initial grant period of performance is typically 18 months; grant program extensions are allowable and funds are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

b. The recipient shall submit quarterly reports no later than 30 days following the end of the quarter being reported. The quarterly performance progress report format will be specified in the documentation the recipient will be provided by the Federal government upon notification of an award. No cash reports are required. The recipient shall submit quarterly reports no later than 30 days following the end of the quarter being reported. Recipients are required to file a quarterly Federal Financial Report, SF-425 no later than 30 days following the end of the quarter being reported.. Review of reports and/or site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A Recipient that is: (a) a State, local government or Indian tribal government agrees to comply with the audit requirements of 49 CFR Section 18.26 and OMB Circular A-133, and any revision or supplement thereto.; (b) an institution of higher education or nonprofit organization agrees to comply with the audit requirements of 49 CFR Section 19.26 and OMB Circular A-133, and any revision or supplement thereto; (c) a private for-profit organization agrees to comply with the audit requirements of OMB Circular A-133. The Recipient agrees to obtain any other

audits required by FMCSA. Project closeout will not alter the Recipient's audit responsibilities. Audit costs for Project administration and management are allowable under this Project to the extent authorized by OMB Circular A-87, revised; OMB Circular A-21, Revised; or OMB Circular A-122, Revised. The Recipient agrees to permit FMCSA, the Secretary of Transportation and the Comptroller General of the United States, or their authorized representatives, to inspect all Project work, materials, payrolls, and other data, and to audit the books, records, and accounts of the Recipient and its contractors pertaining to the Project. The Recipient agrees to require each third party contractor whose contract award is not based on competitive bidding procedures as defined by the Secretary to permit the Secretary of Transportation and the Comptroller General of the United States, or their duly authorized representatives, to inspect all work, materials, payrolls, and other data and records involving that contract, and to audit the books, records, and accounts involving that contract as it affects the Project.

Records:

The recipient shall retain records related to the approved project for the specified time periods as specified in the grant agreement and 49 CFR 18.42 or 49 CFR 19.53, as applicable. The recipient shall make available to FMCSA copies of all work developed in performance with the grant, including but not limited to software and data.

Account Identification:

69-8055-0-7-401.

Obligations:

(Salaries) FY 09 \$3,000,000; FY 10 est \$3,000,000; FY 11 est \$3,000,000 - This funding may be used to cover State salaries and expenses related to the States efforts toward continuous improvement of the quality of large truck and bus crash and inspection data.

Range and Average of Financial Assistance:

Range \$5,000 - \$500,000; Average award \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: SaDIP grant funds are used by States to improve the overall quality of data that States report to the agency. Performance measures can be seen on the following website: <http://ai.fmcsa.dot.gov/DataQuality/DataQuality.asp?redirect=overview.asp>. 28 States targeted to achieve good reporting. Fiscal Year 2010: 42 States achieved good reporting. Fiscal Year 2011: 36 States are targeted to achieve good reporting (for 2011 the Agency has added two new measures to the criteria).

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 4128 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) established the SaDIP grant opportunity. The legislation provides discretionary funding for States to improve the quality of crash and inspection data for large trucks and buses reported by States to FMCSA, as described in 49 U.S.C. Section 31102. The funding is codified in 49 U.S.C. Section 31104.

Regional or Local Office:

See Regional Agency Offices. Refer to the FMCSA Field Office Roster at the FMCSA website for your FMCSA State Division Office (<http://www.fmcsa.dot.gov/about/contact/offices/displayfieldroster.asp>).

Headquarters Office:

State Programs Division (MC-ESS), 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Phone: (202) 366-9579.

Website Address:

<http://www.fmcsa.dot.gov/safety-security/grants/grants.aspx>

RELATED PROGRAMS:

20.218 National Motor Carrier Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: II. State recipients have used SaDIP grant funding to improve the States performance against the State Safety Data Quality measures; utilized SaDIP funds to establish WiFi connectivity at highway locations throughout the State; and hired contractor support to aid and assist internal staff with testing, installation, deployment, and training of the States TraCS system. By migrating from a paper process to State wide electronic crash reporting,

States have realized significant improvements in the timeliness and accuracy measures for large truck and bus crash and inspection data. A current recipient is using SaDIP grant funds to design, test and implement a comprehensive data quality assurance process for data uploaded to SAFETYNET for reporting by the State to the FMCSA Motor Carrier Management Information System (MCMIS). This quality assurance process includes a training plan for State law enforcement agencies which focuses on commercial motor vehicle crash and inspection data collection and reporting requirements. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals are defined in the evaluation criteria included with the full application package available on Grants.gov; criteria are based on the defined goals and objectives included in, and the feasibility and cost effectiveness of, the proposed project plan.

20.235 COMMERCIAL MOTOR VEHICLE OPERATOR TRAINING GRANTS

CMV Operator Grants

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 49 U.S.C 31301.

OBJECTIVES:

Promote the safe operation of Commercial Motor Vehicles (CMVs), as defined in 49 U.S.C. 31301, through financial assistance to persons who train current and future drivers in the safe operation of CMVs.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Expand the number of Commercial Drivers License holders possessing enhanced operator safety training in order to reduce the severity and number of crashes on U.S. roads involving CMVs; and Assist economically distressed regions of the U.S. by providing workforce training opportunities for qualified individuals to become CMV operators. Grant program does not give grants to individuals. Applicant must adhere to eligibility criteria and project plan to be considered. Availability of funds are announced on Grants.gov (www.grants.gov).

Applicant Eligibility:

State governments, local governments, and accredited postsecondary educational institutions (public or private) such as colleges, universities, vocational-technical schools and truck driver training schools.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

State government agencies, local government agencies or accredited postsecondary educational institutions must be accredited and recognized by the Department of Education. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. File application package (SF-424, SF-424A, SF-424B, and project plan) with FMCSA Division office in the State where the applicant is located or electronically through Grants.gov, including a detailed project plan, following procedures in accordance with FMCSA guidance issued through a Federal Register notice.

Award Procedure:

The amount of award to a qualified applicant will be at the discretion of FMCSA based upon a panel review of all applications, recommendations of the

review panel, and funding availability. Successful applicants will be required to sign a grant agreement with FMCSA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contingent upon Agency funding levels.

Appeals:

Not Applicable.

Renewals:

Grant was available from Fiscal Year (FY) 2005 through FY 2010.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. The Federal share of the grant shall not exceed 80 percent of the approved expenditures in the project plan. A 20 percent matching share by the recipient is required.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are available for one year upon execution of the grant agreement. See the following for information on how assistance is awarded/released: By grant agreement.

Reports:

Quarterly activity and financial reports are required to be filed with the FMCSA Headquarters. No cash reports are required. Quarterly activity and financial reports are required to be filed with the FMCSA Headquarters. Quarterly activity and financial reports are required to be filed with the FMCSA Headquarters. Quarterly activity and financial reports are required to be filed with the FMCSA Headquarters.

Audits:

No audits are required for this program.

Records:

Records of all program activities, including financial and programmatic records, statistical records and any other records, covered in the approved project plan must be kept for a period of 3 years after the grant is closed.

Account Identification:

69-8159-0-7-401.

Obligations:

(Salaries) FY 09 \$1,000,000; FY 10 est \$1,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Grants range in amounts from \$50,000 to \$222,000. The amount of award is at the discretion of the Federal Motor Carrier Safety Administration (FMCSA).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program has granted 45 eligible applicants with funding to train approximately 950 Commercial Motor Vehicle operators. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 4134 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act A Legacy for Users (SAFETEA-LU, Public Law 109-59), 49 U.S.C. 31301, Office of Management and Budget (OMB) Circulars A-87 (Cost Principles for State, Local, and Indian Tribal Governments), A-21 (Cost Principles for Educational Institutions), or A-122 (Cost Principles for Non Profit Organizations), depending upon applicant type.

Regional or Local Office:

None.

Headquarters Office:

Julie Otto, State Program Division, MC-ESS 1200 New Jersey Ave S.E., Washington, District of Columbia 20590 Phone: (202) 366-0710.

Website Address:

<http://www.fmcsa.dot.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria used to select proposals includes:

Is the applicant an accredited training institution or institution of higher education?

Does the applicant have a valid training program?

Does the applicant serve an economically distressed area?

Does the applicant target the unemployed and underemployed?

Does the applicant provide job placement opportunities for the drivers?

Does the applicant have a monitoring and evaluation program in place?.

20.237 COMMERCIAL VEHICLE INFORMATION SYSTEMS AND NETWORKS

CVISN

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act Legacy for Users (SAFETEA LU), Section 4126, Public Law 109-59.

OBJECTIVES:

The Commercial Vehicle Information Systems and Networks (CVISN) Program is a key component of the Federal Motor Carrier Safety Administrations (FMCSA) drive to improve commercial motor vehicle safety. The goals and objectives for the CVISN program include:

1. Improve highway safety by enabling safety inspectors to target resources on the high risk carriers, drivers, and vehicles. These changes are expected to reduce the frequency and severity of accidents that involve commercial vehicles. CVISN will also support future initiatives in security, recognizing that homeland security is a critical issue for the nations transportation system.

2. Streamline credentials and tax administration by enabling government agencies and motor carriers to conduct business transactions electronically, reducing administrative costs, paperwork, and time.

3. Reduce congestion costs for motor carriers by automating vehicle screening systems to reduce delays at weigh stations and international border crossings. These changes are expected to improve freight mobility and reduce delivery costs.

4. Ensure regulatory compliance and equitable treatment as the program expands. Motor carrier compliance with credentials and tax regulations is expected to be less burdensome. Automated screening systems and better targeted safety inspections are expected to catch a greater number of non-compliant or unsafe drivers.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

In summary, CVISN refers to a collection of information systems and communication networks that support commercial vehicle operations owned by governments, motor carriers, and other stakeholders. CVISN Core projects help support electronic credentials and paperless vehicles to streamline the motor carrier authentication process. These credentialing services are available on the world wide web via the FMCSA SAFER system. This allows enforcement agencies access to real time data quickly.

CVISN Expanded grants can be used to automate roadside operations by electronically screening motor vehicles. Through automation, the current weigh station screening and safety inspection processes can be expedited by weigh-in-motion, hand-held computers and brake testing equipment. License plate readers, upgrades to a States current Commercial Vehicle Information Exchange Window, and connecting State International Registration Plan,

International Fuel Tax Agreement, and Oversize/ Overweight projects are also available by this grant. However, these projects are only funded after an eligible entity after they have met the CVISN Core project requirements. Eligible entities must first successfully implement the top-level design program plan to show that they have demonstrated that they have established either the Core or Expanded components. No more than \$100,000 may be used for the top-level design program plan. After approval of the plan, grantees may apply for Core or Expanded grants (Expanded, only after the Core components are met). CVISN is a 100% discretionary grant program.

Applicant Eligibility:

Eligible applicants include State Departments of Public Safety, Departments of Transportation, or State Law Enforcement Agencies in any of the fifty States and the District of Columbia.

Beneficiary Eligibility:

Federal, State and local entities are the beneficiary.

Credentials/Documentation:

In order to be eligible for CVISN Core funding, a State must have a FMCSA-accepted Core CVISN Program Plan and Top Level Design. A State may apply for funding to develop this plan.

In order to be eligible for CVISN Expanded funding, a State must have a FMCSA-accepted Expanded CVISN Program Plan and Top Level Design and be able to demonstrate when it will be certified as being Core CVISN Compliant. A State may apply for funding to develop the program plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A State CVISN team / program manager is responsible for the following steps in the application process:

Completing the Core CVISN Program Plan/Top-Level Design for acceptance by FMCSA (for States in Planning/Deployment Phase). Or, completing the Expanded CVISN Program Plan/Top-Level Design for acceptance by FMCSA for Core CVISN compliant States.

Complete the OMB standard forms and CVISN grant application requirements on grants.gov

Award Procedure:

The amount of award to a qualified applicant will be at the discretion of FMCSA based upon a panel review of all applications, recommendations of the review panel, and funding availability. Successful applicants will be required to sign a grant agreement with FMCSA.

Deadlines:

Jul 15, 2010 to Jul 15, 2011

Range of Approval/Disapproval Time:

Contingent upon Agency funding levels.

Appeals:

Not Applicable.

Renewals:

Renewals or request for extensions to the grant period of performance are available.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Matching Funds:

SAFETEA LU dictates that Federal CVISN deployment funds may not be used to fund more than 50 percent of a projects total budget. As such, if a State wishes to apply for \$1,000,000 in Federal CVISN funding for which it is eligible, it must identify \$1,000,000 in state or private-sector funding that can be used to match the Federal contribution. FMCSAs match requirements are

very flexible and allow states to include many types of funds as match. Federal CVISN match sources may be:

Direct funds/resources that offset the costs of the specific project towards which the grant is applied; or

Indirect funds/resources that are related to the States CVISN program but do not offset the costs associated with the specific project towards which the grant is applied.

Eligible sources of direct matching funds include:

State/private sector funds applied to the project funded by the Federal CVISN Deployment Grant;

State-funded staff salaries associated with the Federally-funded project (e.g., project management, subject matter experts, developer/IT resources); and State-funded capital expenses for the Federally-funded project (e.g., hardware/software, roadside equipment, e-screening equipment).

Eligible sources of indirect matching funds include:

State/private sector funds associated with another CVISN project;

Staff salaries associated with another CVISN project (e.g., CVISN program management, project management, subject matter experts, developer/IT resources); and

Capital expenses associated with another CVISN project (e.g., hardware/software, roadside equipment, e-screening equipment).

At this time, the following may not be used as match towards a Federal CVISN Deployment Grant:

Monies received from other Federal programs (e.g., MCSAP, PRISM, SaDIP, Border Enforcement, CDL);

Toll credits; and

Funds/resources previously used as match towards another Federal grant.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funds are available for expenditure as stipulated in the grant agreement negotiated between the State and FMCSA. See the following for information on how assistance is awarded/released: Reimbursable agreement.

Reports:

No program reports are required. SF-425. Grant recipients must provide quarterly progress reports and financial reports to FMCSA. SF-425. Through financial and progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Upon request, the State will provide copies of technical documents and/or procurement documents to FMCSA.

Account Identification:

69-8158-0-0-000.

Obligations:

(Project Grants) FY 09 \$22,500,000; FY 10 est \$25,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Awards range from \$100,000 to \$2,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since the inception of the Federal CVISN grant program in 2006, 80 grants were awarded and \$60,547,563 in funds were expended. Fiscal Year 2010: It is anticipated that 35 applications will be received and 35 awards will be granted in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

References:

Background information and planning materials can be found at the FMCSA web site, www.fmcsa.dot.gov.

Regional or Local Office:

See Regional Agency Offices. States may contact their states FMCSAs Division Administrator.

Headquarters Office:

Julie Otto, 1200 New Jersey Ave., SE, Washington, District of Columbia 20590 Email: julie.otto@dot.gov Phone: (202) 366-0710 Fax: (202) 366-7980.

Website Address:

http://http:www.fmcsa.dot.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Typical projects funded under this program will include: Develop and deploy automated credentialing for International Registration Plan (IRP);

Develop and deploy automated credentialing for International Fuel Tax Agreement (IFTA);

Develop and deploy a Commercial Vehicle Information Exchange Window (CVIEW);

Develop Expanded CVISN Program Plan and Top Level Design; and Deploy Driver Information Sharing functionality. Fiscal Year 2010: No

Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

. Consistency with the national Information Technology Systems and CVISN architecture and standards;

Consistency with Program Plan and Top Level Design approved by FMCSA; Agreement to incorporate interoperability and efficiency to the extent practicable; and

Agree with reporting and programming oversight requirements contained in CVISN deployment program agreement.

20.238 COMMERCIAL DRIVERS LICENSE INFORMATION SYSTEM (CDLIS) MODERNIZATION GRANT

Commercial Drivers License Information System (CDLIS) Modernization Grant

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 119 Stat. 1144, 49 U.S.C 31309.

OBJECTIVES:

To modernization the Commercial Driver's License Information System (CDLIS). Following modernization CDLIS will: (1) comply with applicable Federal information technology security standards; (2) provide for the electronic exchange of all information including the posting of convictions; (3) contain self auditing features to ensure that data is being posted correctly and consistently by the States; and (4) integrate the commercial driver's license and the medical certificate.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

A State may use grants only for expenses directly related to modernizing its CDLIS to be compatible with the modernized CDLIS under section 31309 of title 49, United States Code. A grant to a State may not be for more than 80 percent of the costs incurred by the State in a fiscal year in modernizing CDLIS of the State to be compatible with the modernized CDLIS under section 31309 of title 49, United States Code. Non-State grantees may use funds for projects that are national in scope and that are directly related to helping States comply with modernization of their CDLIS programming. Grant funds may not be used to rent, lease, or buy land or buildings. 80% funding; 20% match funding required.

Applicant Eligibility:

A State may use grants only for expenses directly related to modernizing its CDLIS to be compatible with the modernized CDLIS under section 31309 of title 49, United States Code. A grant to a State may not be for more than 80 percent of the costs incurred by the State in a fiscal year in modernizing CDLIS of the State to be compatible with the modernized CDLIS under section 31309 of title 49, United States Code.

Non-State grantees may use funds for projects that are national in scope and that are directly related to helping States comply with modernization of their CDLIS programming.

Beneficiary Eligibility:

14 - State (includes District of Columbia, public institutions of higher education and hospitals)

36 Private nonprofit institution/organizations.

Credentials/Documentation:

Certification by the State that it meets the conditions for grant approval. States must agree to administer their Commercial Drivers License Information System programming in accordance with the documentation and requirements established by the American Association of Motor Vehicle Administrations (AAMVA FMCSAs designated operator of CDLIS). Costs will be determined in accordance with OMB Circular A-87. Non-State grantees must adhere to the applicable OMB Circular. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Detailed application instructions and forms are available on Grants.gov. Applications must be submitted using Grants.gov.

Award Procedure:

The amount of the CDL Modernization grant to a qualifying state will be determined by the State Programs CDL Division based on the availability of funds and the eligibility of the State. The Grants.gov announcement will outline the current year's priorities. All proposals will be evaluated by a panel to determine which proposals have the greatest impact on the effectiveness of the CDLIS Modernization program. States will be required to sign a grant agreement. Between 90-120 days.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

90 days.

Appeals:

Not Applicable.

Renewals:

Grant period of performance may be renewed without additional funding according to the requirements of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20%. A 20% match required.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants must be obligated in the same fiscal year that funding is made available. Obligations remain available until expended within the constraints of the FMCSA Financial Assistance Agreement General Provisions and Assurance and other applicable OMB Circulars and Federal law. See the following for information on how assistance is awarded/released: Reimbursement of actual expenditures.

Reports:

SF-425 and SF-PPR-B. No cash reports are required. Quarterly activity reports are to be filed with the FMCSA Division office. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of all program activities covered in the grant proposal and records of all expenditures for which the grantee requests reimbursement. Such records must be kept for three years following the end of the grant project period.

Account Identification:

69-8055-0-7-401.

Obligations:

(Salaries) FY 09 \$8,000,000; FY 10 est \$8,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

\$15,000 - \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: As of fiscal year 2010, the program has helped jurisdictions begin taking steps to achieve compliance with the specifications of the modernized Commercial Drivers License Information System (CDLIS) in preparation for the implementation deadline of January 30, 2012. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 CFR Part 383 and 384; Commercial Drivers License Information System Specifications (Release 5.1); State Procedures Manual (Release 5.1), AAMVA Code Dictionary (Release 3.1.0)

Regional or Local Office:

None.

Headquarters Office:

Jose Rodriguez, 1200 New Jersey Avenue, S.E., W65 -Attn: MC-ESL, Washington, District of Columbia 20590 Email: jose.rodriguez@dot.gov Phone: (202) 366-0133.

Website Address:

<http://www.fmcsa.dot.gov/safety-security/grants/CDLIS/index.aspx>

RELATED PROGRAMS:

20.232 Commercial Driver License State Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funds to support programming necessary to achieve State compliance with the programming requirements of the modernized Commercial Drivers License Information System; includes upgrading of State communication and programming protocol to comply with Federal information security standards, improvement of State data quality, improvements to Change State of Record process for license transfers, and integration of the Medical Certificate into the CDL Driver History Record. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria include, prior performance of grantee (if applicable), effective use of prior grant funds by grantee (if applicable), cost effectiveness, applicability to announced priorities, ability to support the strategies and activities proposed in the application, use of innovative approaches to achieve program goals, feasibility of overall project proposed, and other grant specific evaluation factors as listed in the grant opportunity announcement on www.grants.gov.

20.239 MOTOR CARRIER RESEARCH AND TECHNOLOGY PROGRAMS

Not Applicable

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Surface Transportation Assistance Act of 1982, Public Law 97-242; Motor Carrier Safety Act of 1992, Public Law 102-240; Transportation Equity Act for the 21st Century, 49 U.S.C 31108.

OBJECTIVES:

The Analysis Research and Technology (ART) Office administers FMCSA Research and Technology (R&T) Program. Through the R&T program, FMCSA seeks to reduce the number and severity of crashes to reduce injuries and fatalities contributing to a safe and secure commercial transportation network. The R&T program is targeting crashes involving CMVs and the efficiency of CMV operations through conducting systematic studies directed toward fuller scientific discovery, knowledge, or understanding, adopting, testing, and deploying innovative driver, carrier, vehicle, and roadside best practices and technologies, and expanding the knowledge and portfolio of deployable technology.

The development, testing, and deployment of simple and advanced innovative driver/vehicle technologies and integrated IT solutions support the Agency's priorities by demonstrating new roadside safety technologies and operational concepts, accelerating the deployment of vehicle-based and other safety and security technologies. Also by managing IT projects that integrate data collected and exchanged by various information systems and networks, and providing technical support and assistance to States and the motor carrier industry.

The R&T Program has a number of projects directed towards driver behavior, as well as vehicle and carrier safety. The R&T Program also supports information-based safety and security projects. In addition, the support extends to FMCSA programs, specifically, enforcement (HM safety and bus safety), policy (regulations and medical qualifications), and outreach.

The R&T programs strategic objective Produce Safer Drivers supports the FMCSA's "Safety Goal. The ART Office will continue to evaluate the most critical driver risk factors through the Case-Control Commercial Driver Individual Differences Study. The factors include demographic characteristics, medical conditions, personality traits, attitudes, work environmental conditions, and behavioral history. A medical examination and battery of psychological and behavioral history measures will be administered to as many as 21,000 CMV drivers. The ART Office continues research to determine the effectiveness different restart provisions in the hours of service regulations (HOS) governing CMV drivers in restoring performance.

The R&T programs strategic objective Improve Safety of Commercial Motor Vehicles supports the FMCSA's "Safety Goal by continuing research aimed at accelerating the deployment of vehicle-based, and other safety and security technologies to reduce crashes. The ART Office will continue conducting fast-turnaround and independent evaluation of promising safety technologies aimed at commercial vehicle operations. FMCSA funding will be used for the evaluation, and the technology developer will partner with the Agency to provide the technology for testing. The FMCSA envisions that individual test and evaluation programs could be completed in 612 months. This program should greatly reduce both the time and the resources required to conduct independent evaluations of potentially life-saving safety technologies. The ART Office will continue a DOT multimodal (NHTSA, FMCSA) sponsored study of collecting and analyzing data on bus and motorcoach fires. The study will conduct detailed engineering root cause analyses to determine the causes of bus and motor coach fires.

The R&T programs strategic objective Produce Safer Carriers supports the FMCSA's "Safety Goal by continuing programs aimed at producing science-based regulations to advance the Agency's strategic and policy goals. This research will support rulemaking activities by gathering and consolidating research for inclusion into the Agency's rulemakings and required analyses. The ART Office will continue to evaluate the Effectiveness of Fostering Safety Culture in Motor Carriers. This study will use the Service Corps of Retired Executives (SCORE) to help new entrant motor carriers to foster development of a culture for making daily operating decisions based on both good business practices and FMCSA regulatory requirements.

The R&T programs strategic objective Advance Safety through Information-Based Initiatives supports the FMCSA strategic objective

Commercial Motor Vehicle Safety and the DOT strategic objective Safety by continuing programs aimed at assuring interoperability of systems supporting safety, security, and productivity and providing technical assistance to States. The ART Office will continue Roadside/On-Board Safety Technology Countermeasures/Wireless Inspection Pilot Test. This study will evaluate the safety benefits of a state-wide wireless inspection network where drivers logs and vehicle fault codes are electronically checked on a weekly basis. The R&T programs strategic objective Enable and Motivate Internal Excellence supports the FMCSAs strategic goal of Organizational Excellence by improving performance to serve the customers of the ART Office more effectively and economically. The ART Offices has a long-standing partnership with the National Academies of Sciences TRB, which will be broadened to increase TRBs participation and expertise in FMCSAs analysis, research, and technology programs. Specific joint activities include publishing truck and bus synthesis reports and special reports, conducting conferences, and publishing reports from the Innovations Deserving Exploratory Analysis (IDEA) program.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds are typically competitively awarded via request for proposals for contracts. Cooperative agreements are typically competitively awarded via GRANTS.gov. Funding shall be used in accordance with the requirements identified in each research or technology projects. Projects shall be authorized to meet the objectives of FMCSA. Funds shall be used in accordance with the requirements identified in each projects. Projects shall be authorized to meet the objectives of FMCSA.

Applicant Eligibility:

Government - General, Interstate, State (includes District of Columbia, public institutions of higher education and hospitals), Sponsored organization, Individual/Family, Profit organization, Private nonprofit institution/organization (includes institutions of higher education and hospitals).

Beneficiary Eligibility:

Federal, State, Local, Sponsored organization, Public nonprofit institution/organization, Other public institution/organization, Individual/Family, Profit organization, Private nonprofit institution/organization.

Credentials/Documentation:

Applicants are required to satisfy all DOT/FMCSAs standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Submission of the standard forms as required by grants.gov and any special information required by each project. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Completed cooperative agreement proposals shall include the appropriate SF 424 suite of forms, Certifications Regarding Debarment, Suspension and Other Responsibility Matters; Drug Free Workplace Requirements, Lobbying Statements; and any other certifications required by the project.

Award Procedure:

Awards will be made on the basis of proposal evaluations and in accordance with the provisions in each project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval/Disapproval times will be identified in each cooperative agreement.

Appeals:

Not Applicable.

Renewals:

Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the DOT/FMCSA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements are usually 12 to 36 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. SF-270. Reports are due in accordance with the terms and conditions of the award. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and programmatic records, supporting documents, statistical records, and other records of grantees or sub-grantees generally should be retained by all parties for three years. If any litigation, claim, negotiations, audit or other action involving the record has been started before the expiration of the three year period, the records must be retained until completion of the action and resolution of all issues, or until the end of the regular three year period, whichever is later.

Account Identification:

69-8158-0-7-401.

Obligations:

(Project Grants) FY 09 \$8,500,000; FY 10 est \$8,500,000; FY 11 est \$8,500,000

Range and Average of Financial Assistance:

The range is between \$100k and \$3 million; the average is approximately \$400k.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 USC 31108, " Motor Carrier Research and Technolohg Program" OMB Circular A-122; OMB Circular A-21; and OMB Circular A-97; and 48 CFR Part 31.

Regional or Local Office:

None.

Headquarters Office:

Martin Walker, 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: martin.r.walker@dot.gov Phone: (202) 366-2364.

Website Address:

<http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Innovative research projects related to CMV and driver safety and security activities. Projects include the following:
Safety Data Risk Study Phase III (R)
Analysis of Large Truck Crash Causation Study (R)
Advanced Driver Warning System for Driver Fatigue SBIR (R)
Analysis of High Risk vs. Low Risk CMV Driver Behaviors (R)
Annual Driver Survey (R)

Training Interventions to Prevent Hazardous Materials (HM) Rollovers (NEW) (R)

Safety Belt Countermeasure Technology, Phase II - SBIR Program (R)

Employer Notification Service, Phase III (T)

Roadside/On-Board Safety Technology Countermeasures/Wireless Inspection Pilot Test (T)

SmartPark: Real-Time Parking Availability (T)

Research to Support FMCSA Rulemaking Activities (R)

Engineering and Analysis of the Causes and Preventions of Bus Fires (R)

Safety and Security Technology Deployment (T)

Indirect Viewing System Field Test, Phase III (T)

TRB/FMCSA Partnerships (R)

CVISN Deployment Program, Support for Expanded Capabilities (T)

CDL 3rd Party Testing Anti-Fraud Software (T). Fiscal Year 2010: Innovative research projects related to CMV and driver safety and security activities.

Projects included the following:

Annual Driver Survey (R)

CDL 3rd Party Testing Anti-Fraud Software (T)

Employer Notification Service, Phase III (T)

Motor Carrier Practices to Achieve Optimal CMV Driver Performance (R)

Safety Data Risk Study: Phase III (R)

SmartPark: Real-time Parking Availability (T)

Wireless Roadside Inspection (T)

Enhanced Rear Signaling Field Operational Test (T)

Indirect Viewing System Field Test: Phase III (T)

Safety and Security Technology Deployment (T)

Improve Safety of CMVs Subtotal

Research to Support FMCSA Rulemaking Activities (R)

Effectiveness of CDLIS data via NLETS (R)

National CVISN Deployment Program, Support for Expanded Capabilities (T)

Training Interventions to Prevent Hazardous Material Rollovers (R)

FMCSA CMV Roadside Technology Corridor (T)

TRB/FMCSA Partnerships (R). Fiscal Year 2011: Innovative research projects related to CMV and driver safety and security activities.

CRITERIA FOR SELECTING PROPOSALS:

It's based on the cost of the project, qualification of principal investigator and institution, relevance to program's objectives and uses, or merit.

20.240 FUEL TAX EVASION-INTERGOVERNMENTAL ENFORCEMENT EFFORT

(Fuel Tax Evasion-Intergovernmental Enforcement Efforts)

FEDERAL AGENCY:

Federal Highway Administration (FHWA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 23 U.S.C.

OBJECTIVES:

To increase intergovernmental activities and enforcement efforts among public agencies to reduce Federal fuel tax evasion. Funds made available shall be used only to expand or enhance intergovernmental efforts to increase motor fuel tax enforcement and payments; to supplement motor fuel tax examinations and criminal investigations; and to increase research and training in the area of Federal fuel tax evasion.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Any funds administered under this program shall be used in accordance with the specifications in OMB Circular A-87, Cost Principles Applicable to State, local and Indian Tribal Governments. Any funds administered under this program shall be used in accordance with the specifications in OMB Circular A-87, Cost Principles Applicable to State, local and Indian Tribal Governments. The funds must be used only in efforts directly related to the reduction of fuel tax evasion.

Applicant Eligibility:

States, the District of Columbia, U.S. Territories and possessions, other public

agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia.

Beneficiary Eligibility:

States, the District of Columbia, U.S. Territories and possessions, other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit all proposals electronically through www.Grants.gov.

Award Procedure:

Awards were scheduled to be made annually through fiscal year 2009. With the extension of SAFETEA-LU through FY 2010, awards will be made in that year also an interagency review group will be established to review all proposals after the application deadline. FHWA and IRS will jointly recommend and approve projects for Federal funding for fuel tax evasion intergovernmental enforcement efforts. States selected to receive funding will enter into negotiations with FHWA and be required to enter into a project agreement with FHWA.

Deadlines:

Jul 09, 2010 Not available at this time.

Range of Approval/Disapproval Time:

The approval/disapproval time will take approximately 1 month.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects will not be funded for a period of more than 2 years. Method of awarding/releasing assistance: lump sum.

Reports:

Annual reports will be required for each project and will be outlined in the project agreement. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Participating agencies must keep records of expenditures/accomplishments as applicable.

Account Identification:

69-8083-0-7-401.

Obligations:

(Salaries) FY 09 \$2,000,000; FY 10 est \$2,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Minimum: \$10,000; Maximum \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 13 projects were funded which involved 48 states and the District of Columbia. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Michael Dougherty, 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Email: michael.dougherty@dot.gov Phone: (202) 366-9234.

Website Address:

<http://www.fhwa.dot.gov/policy/otps/fueltax.htm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Program Arizona/Mexico border presence including audits and fuel testing; Connecticut commercial vehicle testing; Georgia statewide dyed fuel testing and cooperation with Motor Carrier Compliance Division; Illinois, statewide dyed fuel testing, IFTA enforcement, increased audits; Kentucky, training of enforcement unit and purchase of testing equipment; Missouri expand cooperation with all agencies involved in enforcement including local police and the IRS; Washington funding for fuel tax evasion investigator position; 4 regional projects in which each state in the region received limited funding to participate in regional task forces including attendance at meetings held in conjunction with regional motor fuel tax administrators conferences. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are encouraged to submit innovative proposals to reduce fuel tax evasion and increase collaboration and coordination among several public agencies. Priority will be given to proposals that involve more than one public agency.

20.241 FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION NATIONAL TRAINING CENTER

National Training Center

FEDERAL AGENCY:

Department of Transportation

AUTHORIZATION:

Surface Transportation Assistance Act of 1982, Sections 401-408, Public Law 97-242, as amended by Transportation Equity Act for the 21st Century, Public Law 105-178, 49 U.S.C 31108, as amended by Motor Carrier Safety Act of 1992, Public Law 102-240, 49 U.S.C 2301.

OBJECTIVES:

FMCSAs National Training Center (NTC) serves as the national focal point for the development and delivery of motor carrier safety training to enhance the capabilities of participating Federal, State, and local government officials. NTCs goal is to reduce fatalities, injuries, and commercial vehicle crashes and to provide high quality motor carrier safety and law enforcement training to its customers. The NTC provides training in the areas of commercial driver and vehicle inspection, drug interdiction, intelligent transportation systems, compliance and enforcement, highway safety, education and outreach, and program management and support.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Funds shall be used in accordance with the requirements identified in each projects. Projects shall be authorized to meet the objectives of FMCSA.

Applicant Eligibility:

68 - Transportation.

Beneficiary Eligibility:

10 - Federal, 14 - State, 15 - Local, 20 - Public nonprofit institution/organization, 21 - Other public institution/organization, 35 - Profit organization, 36 - Private nonprofit institution/organization, 39 - Anyone/general public.

Credentials/Documentation:

Applicants are required to satisfy all DOT/FMCSAs standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Submission of the standard forms as required by grants.gov and any special information required by each project. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Completed cooperative agreement proposals shall include the appropriate SF 424R suite of forms, Certifications Regarding Debarment, Suspension and Other Responsibility Matters; Drug Free Workplace Requirements, Lobbying Statements; and any other certifications required by the project.

Award Procedure:

Awards will be made on the basis of proposal evaluations and in accordance with the provisions in each project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval/Disapproval times will be identified in each cooperative agreement.

Appeals:

Not Applicable.

Renewals:

Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the DOT/FMCSA.

Formula and Matching Requirements:

Statutory Formula: Title 49 CFR, Part 31.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Agreements are usually 12 to 36 months. Method of awarding/releasing assistance: quarterly.

Reports:

Reports are due in accordance with the terms and conditions of the award. Reports are due in accordance with the terms and conditions of the award. Reports are due in accordance with the terms and conditions of the award. Reports are due in accordance with the terms and conditions of the award. Reports are due in accordance with the terms and conditions of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and programmatic records, supporting documents, statistical records, and other records of grantees or sub-grantees generally should be retained by all parties for three years. If any litigation, claim, negotiations, audit or other action involving the record has been started before the expiration of the three

year period, the records must be retained until completion of the action and resolution of all issues, or until the end of the regular three year period, whichever is later.

Account Identification:

69-8158-0-7-401.

Obligations:

(Salaries) FY 09 \$500,000; FY 10 est \$300,000; FY 11 est \$200,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 USC 31108, Motor Carrier Research and Technology Program; OMB Circular A-122; OMB Circular A-21; and OMB Circular A-97; and 48 CFR Part 31

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Joseph DeLorenzo, 4600 North Fairfax Drive, Suite 700, Arlington, Virginia 22203 Phone: (703) 235-0506.

Website Address:

<http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Training for motor carrier safety and law enforcement customers in the areas of commercial driver and vehicle inspection, drug interdiction, intelligent transportation systems, compliance and enforcement, highway safety, education and outreach, and program management and support.
Fiscal Year 2010: Training for motor carrier safety and law enforcement customers in the areas of commercial driver and vehicle inspection, drug interdiction, intelligent transportation systems, compliance and enforcement, highway safety, education and outreach, and program management and support.
Fiscal Year 2011: Training for motor carrier safety and law enforcement customers in the areas of commercial driver and vehicle inspection, drug interdiction, intelligent transportation systems, compliance and enforcement, highway safety, education and outreach, and program management and support.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals will be based on the cost of the project, qualification of principal investigator and institution, relevance to programs objectives and uses, or merit.

20.242 MEDICAL PROGRAMS

FEDERAL AGENCY:

Federal Motor Carrier Safety Administration (FMCSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, and Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, Section 411.

OBJECTIVES:

To develop, promote, and determine physical/medical qualifications for commercial drivers and medical examiners in support of safety program goals, priorities and initiatives; provide technical expertise and advice in the development and deployment of medical/physical qualification standards, including the development of regulations, regulatory guidance, and implementation plans; develop and implement exemption programs for medical/physical standards.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds shall be used in accordance with the requirements identified in each

projects. Projects shall be authorized to meet the objectives of FMCSA. Funds shall be used in accordance with the requirements identified in each projects. Projects shall be authorized to meet the objectives of FMCSA. 100%.

Applicant Eligibility:

Federal, State Agency, authority, association, institution, for-profit or non-profit corporation, organization, foreign country, or person. Assistance must be used for its intended purpose.

Beneficiary Eligibility:

Federal, State and local governments, universities, colleges, non-profit and for profit commercial organizations, and the general public.

Credentials/Documentation:

Applicants are required to satisfy all DOT/FMCSAs standards and regulations, including routine and special terms and conditions for financial assistance programs application and conduct. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. None

Award Procedure:

Awards will be made on the basis of proposal evaluations and in accordance with the provisions in each project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Times will be identified in each cooperative agreement.

Appeals:

Not Applicable.

Renewals:

Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the DOT/FMCSA.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no specific matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Agreements are usually 12 to 36 months. Method of awarding/releasing assistance: quarterly.

Reports:

Reports are due in accordance with the terms and conditions of the award. SF-425. Reports are due in accordance with the terms and conditions of the award. SF-425. Monitoring is determined by the Program Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be in accordance with the provisions of OMB Circular A-133, including institutions of higher education and other non-profit institutions receiving federal awards; 48 CFR Part 31 (Federal Acquisition Regulations) for for-profit organizations other than hospitals or other organizations named in 2 CFR Part 230; and commercial organizations shall be audited in accordance with OMB Circular A-133.

Records:

Financial and programmatic records, supporting documents, statistical records, and other records of grantees or sub-grantees generally should be retained by all parties for three years. If any litigation, claim, negotiations, audit or other action involving the record has been started before the expiration of the three year period, the records must be retained until completion of the action and resolution of all issues, or until the end of the regular three year period, whichever is later.

Account Identification:

69-8158-0-7-401.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$15,000; FY 11 est \$15,000

Range and Average of Financial Assistance:

The average grant is \$15,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 31108, "Motor Carrier Research and Technology Program;" OMB Circular A-122; OMB Circular A-21; and OMB Circular A-97; and 48 CFR Part 31/

Regional or Local Office:

None.

Headquarters Office:

Federal Motor Carrier Safety Administration (FMCSA), Office of Administration/ National Training Center 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (1-800) 832-5660

Website Address:

<http://www.fmcsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Yes, the criteria for selecting proposals will be based on the cost of the project, qualification of principal investigator and institution, relevance to program's objectives and uses, or merit.

20.301 RAILROAD SAFETY

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Department of Transportation Act of 1966, Public Law 89-670, 49 U.S.C 1651-1659, as amended by Federal Railroad Safety Act of 1970, Public Law 93-90, 49 U.S.C 20101-21311.

OBJECTIVES:

To reduce railroad-related casualties and accidents.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

- 1) Risk Reduction Assistance will support pilot projects
- 2) OLI Assistance will promote educational awareness.
- 3) Security Assistance will help establish, develop, and implement plans, procedures, and networks to send and receive security-sensitive or emergency-related information from the Government to rail stakeholders and from rail stakeholders to the Government.

Applicant Eligibility:

Anyone concerned with railroad safety.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

See Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

No program reports are required. Quarterly financial status reports using SF 425 Financial Status Report.. Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425 Financial Status Report.. Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Not applicable.

Account Identification:

69-0700-0-1-401.

Obligations:

(Project Grants) FY 09 \$3,948,000; FY 10 est \$1,790,000; FY 11 est \$2,170,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, FRA successfully met its highway-rail grade crossing safety performance goal, and some of that success is attributable to the work performed by OLI under our grant. Fiscal Year 2010: Although the data are preliminary, FRA is successfully meeting its FY 2010 grade-crossing goal, also. FRA should achieve its grade-crossing safety performance goal, thanks partially to the OLI grant. Phase I pilot projects will be completed, including evaluation planning. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, 55 Broadway, Room 1077, Cambridge, MA 02142 Telephone: (617) 494-2302. Regional Administrator, 1510 Chester Pike, Crum Lynne, PA 19023 Telephone: (610) 521-8200 Regional Administrator, 61 Forsyth Street, SW., Suite 16T20, Atlanta Federal Center, Atlanta, GA 30303- 3104. Telephone: (404) 562-3800. Regional Administrator, 111 West Adam Street, Suite 310, Chicago, IL 60606 Telephone: (312) 353- 6203. Regional Administrator, 4100 International Plaza, Suite 450, Fort Worth, TX 76109 Telephone: (817) 862-2200. Regional Administrator, 901 Locust Street, Suite 464, Kansas City, MO 64106 Telephone: (816) 329-3840. Regional Administrator, 801 I Street, Suite 466, Sacramento, CA 95814. Telephone: (916) 498-6540. Regional Administrator, 500 E. Broadway, Suite 240, Vancouver, WA, 98660 Telephone: (360) 696-7536.

Headquarters Office:

Gina Christodoulou, 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6139.

Website Address:

<http://www.fra.dot.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Investigation of complaints against railroads for violations of railroad safety rules and regulations; annual grant to Operation Lifesaver, Inc. to promote safety at highway-rail grade crossings and on railroad property; for security, a Rail Labor Security and Emergency Liaison Team successfully established a network of contacts within rail labor organizations to provide for communication during emergency and security situations. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.313 RAILROAD RESEARCH AND DEVELOPMENT**FEDERAL AGENCY:**

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Rail Safety Improvement Act of 2008, Public Law 110-432, 49 U.S.C 102.

OBJECTIVES:

To foster long-range enhancement of the Federal Railroad Administration's program of research in support of rail safety by developing cooperative research relationships between the Administration and university organizations.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Projects must show a relationship towards advancing railroad safety. Applicants must demonstrate 5 years of railroad research activity.

Applicant Eligibility:

Applicants must be major academic research institutions with backgrounds in the rail transportation arena. A minimum of 5 years of railroad or railroad related research experience is required.

Beneficiary Eligibility:

No restrictions.

Credentials/Documentation:

See Application Procedures section (092). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The FRA will announce specific pre- application coordination activities for each project area in the Federal Register. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Four to 16 weeks after application.

Appeals:

60 Days & to the Administrator, Federal Railroad Administration.

Renewals:

Grants contemplated in this program are predominantly project-oriented rather than on-going efforts and are therefore non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425, Federal Financial Report. Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. A final report detailing the work conducted and the progress accomplished will be required. Presentations at an annual workshop are expected. SF-425. A final report detailing the work conducted and the progress accomplished will be required. Presentations at an annual workshop are expected. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for 3 years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 CFR Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0745-0-1-401.

Obligations:

(Cooperative Agreements) FY 09 \$6,889,000; FY 10 est \$1,536,000; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

\$50,000 to \$250,000. Average: \$100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Mark Yachmetz, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6484.

Website Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In descending order of relative importance: (1) Overall scientific and/technical merit; (2) Degree to which it may improve upon or advance railroad safety; (3) Likelihood for its near term adoption and implementation of possible recommendations; (4) Degree to which the proposed project fits into the Administration's overall research objectives; (5) Reasonableness and realism of the proposed cost, and the availability of funds (to include due consideration for proposed cost-sharing by the applicant).

20.314 RAILROAD DEVELOPMENT**FEDERAL AGENCY:**

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU); Consolidated, Security, Disaster Assistance and Continuing Appropriations Act 2009 , Public Law 109-59.

OBJECTIVES:

To provide financial assistance for: planning and developing railroad corridors (including environmental studies), purchasing rail equipment, rail line relocation and improvement projects, construction projects that improve rail lines, enhance service, and add capacity to the national rail system, capital construction, rehabilitation and improvements benefiting train operations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) The financial assistance may fund, but is not limited to, rehabilitation or construction of railroads, including planning activities and associated studies. (2) Specific descriptions are based on the language in the authorizing or appropriating legislation and any associated regulations.

Applicant Eligibility:

State governments, Regional and local governments, For-profit organizations, such as railroads.

Beneficiary Eligibility:

State governments Regional and local governments For-profit organizations, such as railroads.

Credentials/Documentation:

See Application Procedure (083). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Competitive comparison of applications to determine relative satisfaction of published selection criteria might occur for some grants. following selection (if applicable) and after the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is usually awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: ACH reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425, Federal Financial Report. Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts.

SF 425. The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF-425. Final report giving details of the results and benefits of the Grantee's improvement efforts. SF 425. The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425. Final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for three years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 C.F.R. Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

21-8083-0-1-401; 21-0720-0-1-401; 21-0715-0-1-401.

Obligations:

(Cooperative Agreements) FY 09 \$10,604,849; FY 10 est \$25,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Grants within these programs range from \$10,000 to over \$10,000,000. The average financial assistance varies considerably.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This program has assisted the rehabilitation and construction of railroad projects and associate studies. Specific grants are provided for in

legislation, usually one application is submitted for each grant. In FY 2010, there was a competition for some grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59).

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Alice Alexander, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6363.

Website Address:

Http://www.fra.dot.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Alaska Railroad Access to Joint Tanana Training Complex; Tacoma Rail Mountain Division Track Improvements. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The work and cost estimates are reasonable and are within the scope of the appropriation language. For the grants competed, the selection criteria will be specified in the final regulations, and shall include, but shall not be limited to, prioritizing criteria defined in relevant authorizing and appropriations law, and criteria intended to ensure the timely completion of funding projects.

20.315 NATIONAL RAILROAD PASSENGER CORPORATION GRANTS

(Amtrak Grants)

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2008, Public Law 110-161, 49 U.S.C 24104(a).

OBJECTIVES:

To provide financial assistance to support the operation of and capital investment in intercity passenger rail service in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

As specified in individual Grant Opportunity announcements, assistance may be used to fund operating expenses, capital investment activities, and/or payment of debt service obligations.

Applicant Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Beneficiary Eligibility:

As directed by authorizing and appropriating statute, assistance is available only to the National Railroad Passenger Corporation.

Credentials/Documentation:

See Application Procedure. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102.

OMB Circular No. A-110 applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>;
Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances - Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Timing of Approval/Disapproval typically ranges from between 2-6 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Length and time phasing of assistance is dependant on the specific appropriating and/or authorizing law governing the grant opportunity. See the following for information on how assistance is awarded/released: The award is determined by legislation.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425, Federal Financial Report. Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. Monthly Federal Financial Status Report SF 425, Federal Cash Transaction Report. The grantee is required to submit the following reports: Monthly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Monthly Federal Financial Status Report; Federal Cash Transaction Report. Monthly detailed reports regarding performance against project budgets and schedules, and forecasts of future performance. Final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for 3 years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 CFR Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

69-0121-0-1-401; 69-0125-0-1-401; 69-0724-0-1-401.

Obligations:

(Salaries) FY 09 \$2,817,171,468; FY 10 est \$1,564,625,000; FY 11 est not reported. - Recovery funding was used in FY2009.

Range and Average of Financial Assistance:

Awards under this program range from \$10,000,000 to \$850,000,000 and depend on the amount and break down of assistance made available through specific appropriation laws.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Amtrak Grants program provides financial assistance to support operation of rail passenger service in 46 states and the District of Columbia. Financial assistance for capital investment provided through this program allows for the recapitalization and improvement of passenger rolling stock, railway infrastructure, and related business systems. The program also provides incentives to improve the cost effectiveness of intercity passenger rail operations and reduce the need for federal operating subsidies. Fiscal Year 2010: Not available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Federal Railroad Administration, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6454.

Website Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funding provided through this program has supported Amtrak's ongoing operation of intercity passenger rail service in the U.S. Funding for capital investments has been used to support the majority of Amtrak's annual capital investment program, including projects such as fire and life safety improvements to the rail tunnels into New York Pennsylvania Station, the return of Northeast Corridor rail infrastructure to a state of good repair through the replacement or rehabilitation of Amtrak-owned infrastructure, and the acquisition, repair, and/or rebuilding of various types of passenger rolling stock. Fiscal Year 2010: Not available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals for projects must support the stated strategic goals of the applicant organization, align with the priorities identified in authorization or appropriation statutes, and of the Secretary of Transportation, and be accompanied by detailed scope and budget documentation. Quantitative or qualitative justification of project prioritization must be provided.

20.316 RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

(RRIF Loan Program)

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 821, et seq.), 45 U.S.C 821.

OBJECTIVES:

The RRIF program provides direct loans and loan guarantees to State and local governments, interstate compacts consented to by Congress under section 410(a) of the Amtrak Reform and Accountability Act of 1997 (49 U.S.C. 24101); government sponsored authorities and corporations; railroads; joint ventures that include at least one railroad; and solely for the purpose of constructing a rail connection between a plant or facility and a second rail carrier, limited option rail freight shippers that own or operate a plant or other facility that is served by no more than a single railroad.

TYPES OF ASSISTANCE:

DIRECT LOANS; Loan Guarantees/Grants

USES AND USE RESTRICTIONS:

Funds provided by direct loans or loan guarantees under the RRIF Program can be used to: (1) Acquire, improve, or rehabilitate intermodal or rail freight or passenger equipment or facilities, including track, components of track, bridges, yards, buildings and shops (2) Refinance outstanding debt incurred for purposes described in the previous paragraph (3) Develop or establish new intermodal or railroad facilities The maximum loan amount will be based on the remaining available funds under the Program's authorization. The repayment period for direct loans and loan guarantees is up to 35 years. The interest rate on direct loans will be equal to the rate on Treasury securities of a similar term.

Applicant Eligibility:

Eligible applicants include State and local governments, government-sponsored authorities, government-sponsored corporations, railroads, and joint ventures that include at least one railroad.

Beneficiary Eligibility:

The beneficiaries of the Program will be the State or local government organizations, railroad, or joint ventures that include a railroad that will receive the financial assistance to permit them to complete the specified projects.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A pre-application meeting may be held if requested by a potential applicant. Procedures for requesting such a meeting are available on the FRA website and at 49 CFR 260.19. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Complete and submit application form available on the FRA website.

Award Procedure:

FRA will evaluate application and advise applicant of approval or disapproval.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Application will be approved or disapproved within 90 days after a complete application is deemed to have been submitted.

Appeals:

There is no appeal process.

Renewals:

Disapproved applicants may reapply at any time.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As a part of the application package, the borrower provides a projected timetable for the completion of the project. The funding will be made available as progress payments to pay directly or reimburse the Borrower for prior payment of allowable costs incurred in connection with the project. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Statement of cash flows must be submitted for the life of the financial assistance. During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget. Yearly audited and certified profit and loss statement, balance sheet and statement of cash flows must be submitted for the life of the financial assistance. During the course of the project, monthly progress reports of work completed and actual expenditures compared to the budget. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits of project expenditures will be conducted upon completion.

Records:

None.

Account Identification:

69-4420-0-3-401.

Obligations:

(Direct Loans) FY 09 \$104,334,000; FY 10 est \$600,000,000; FY 11 est \$600,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In the current fiscal year, the RRIF program has made over \$104.3 million in direct loans. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Railroad Rehabilitation and Improvement Financing Program, 49 CFR 260.

Regional or Local Office:

See Regional Agency Offices. Not applicable.

Headquarters Office:

Barbara Klein Amani 1200 New Jersey Avenue, S.E.,
, Washington , District of Columbia 20590 Phone: (202) 493-6051

Website Address:

<http://www.fra.dot.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Initially the criteria are based on the relevance to the programs' objectives and uses. The program provides direct loans and loan guarantees to State and local governments, government-sponsored authorities and corporations, railroads, and joint ventures that include at least one railroad. Eligible projects include: (1) refinancing outstanding debt that was incurred for eligible purposes, (2) developing or establishing new intermodal or railroad facilities, or (3) acquisition, improvement or rehabilitation of intermodal or rail equipment or facilities (including tracks, components of tracks, bridges, yards, buildings and shops.

20.317 CAPITAL ASSISTANCE TO STATES - INTERCITY PASSENGER RAIL SERVICE

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2008, Public Law 110-161; Department of Transportation Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

To provide financial assistance to fund capital improvements (and related planning activities) necessary to support improved or new intercity passenger rail service.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The financial assistance may fund capital improvements and directly-related planning activities necessary to achieve tangible improvements to, or institute new, intercity passenger rail service. Examples of capital improvements include (but are not strictly limited to) the purchase of passenger rolling stock, the improvement of existing track to allow for higher maximum speeds, the addition or lengthening of passing tracks to increase capacity, the improvement of interlockings to increase capacity and reliability, and the improvement of signaling systems to increase capacity and maximum speeds, and improve safety. With respect to planning activities, eligible planning projects 1) Lead to the completion of a Service Development Plan; 2) Identify and compare the costs, benefits, and impacts of a range of transportation alternatives, including HSR and/or IPR; 3) Support the preparation of environmental documents; and 4) Consist of operational analyses and simulations, and projections of future service requirements. Proposed projects must be specifically included in the applicant States Statewide Transportation Improvement Plan at the time of application.

Applicant Eligibility:

State departments of transportation (including that of the District of Columbia). Applicant States must include intercity passenger rail service as an integral part of statewide transportation planning as required under section 135 of Title 23, United States Code.

Beneficiary Eligibility:

The general public, both users and non-users of intercity passenger rail service. State departments of transportation and other public agencies, although private transportation companies may participate through contractual arrangements with a State department of transportation.

Credentials/Documentation:

Department of Transportation, Federal Railroad Administration (FRA) Assurances and Certification forms including: (1) Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (2) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (3) Certification Regarding Drug-Free Workplace Requirements; (4) Certification Regarding Lobbying; (5) Assurances Non- Construction Programs SF 424B; and (6) Certificate of Indirect Costs Assurances. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Prospective applicants may contact FRA prior to submission of applications to inquire about applicant eligibility, the competitive prospects of their proposed project, the National Environmental Policy Act and related legal requirements, and other requirements. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility

Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification of Approval/Disapproval generally occurs within 8 months of application.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Formula and matching Requirements: These programs have no statutory formula. Some grantees will be required to provide a match from non-program funds toward the grant budget.

This program has MOE requirements, see funding agency for further details.

This program has MOE requirements; see funding agency for further details.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: (1) Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant; (2) Quarterly financial status reports using SF 269 or 269A, Financial Status Report; (3) Quarterly Federal Cash Transactions reports using SF 272, Federal Cash Transaction Report; (4) Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-425. The grantee shall submit the following reports: (1) Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant; (2) Quarterly financial status reports using SF-425, Financial Status Report; (3) Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report; (4) Final report giving details of the results and benefits of the Grantee's improvement efforts. SF-425. The grantee shall submit the following reports: (1) Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant; (2) Quarterly financial status reports using SF-425, Financial Status Report; (3) Quarterly Federal Cash Transactions reports using SF 425, Federal Cash Transaction Report; (4) Final report giving details of the results and benefits of the Grantee's improvement efforts.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

During the course of its activities under a grant agreement and for 3 years thereafter, the applicant must agree to retain intact and to provide any data, documents, reports, records, contracts, and supporting materials relating to its performance under the agreement as FRA may require. Reporting and record-keeping requirements are set forth in 49 CFR Part 19 for private non-profit and for-profit Grantees. Closeout does not alter these requirements.

Account Identification:

27-0716-0-1-401.

Obligations:

(Cooperative Agreements) FY 09 \$28,107,927; FY 10 est \$91,893,073; FY 11 est \$0

Range and Average of Financial Assistance:

The first awards for FY-10 funding were announced in January 2010. The second round of awards will be announced this summer. As such, there is no range or average. \$27,100,000 has been awarded to date, and an additional \$ 65,000,000 will be awarded by September 30, 2010.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program has stimulated state-driven planning and expanded the number of states engaged in high-speed and intercity passenger rail development. The program successfully obligated funds to projects in four different states: 1) \$6,200,000 for track relocation work in California on the Capitol Corridor which will help bring about fewer delays and faster travel times along a route that connects San Francisco and Sacramento; 2) \$1,000,000 for planning projects to improve service on the Empire Corridor in New York state; 3) \$100,000 for the creation of the first-ever rail plan for the state of New Mexico; and 4) \$400,000 for the creation of a state rail plan in Colorado. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program is provided by The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws, the FRA publication entitled, Railroad Corridor Transportation Plans: A Guidance Manual (available at http://www.fra.dot.gov/Downloads/RRdev/corridor_planning.pdf), and Federal Register notices announcing the availability of funding pursuant to this program.

Regional or Local Office:

See Regional Agency Offices. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Gina Christodoulou, 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6139.

Website Address:

<http://www.fra.dot.gov> .

RELATED PROGRAMS:

20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: FRA awarded funding for projects including planning and construction. Planning projects included environmental documents for new rail services from Minneapolis to Duluth, MN, for example. Construction projects included replacing rail in Wisconsin and adding passing sidings in Missouri.

Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All selection criteria will be specified in Federal Register notices announcing the availability of funding pursuant to this program, and shall include, but shall not be limited to, prioritizing criteria defined in relevant law, and criteria intended to ensure the timely completion of funding projects and the full realization of the benefits the projects are intended to generate.

20.318 MAGLEV PROJECT SELECTION PROGRAM - SAFETEA-LU

(Maglev Selection Program)

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) , Public Law 109-59.

OBJECTIVES:

To provide financial assistance for a demonstration magnetic levitation transportation project.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for eligible project costs, including preconstruction planning activities, the capital cost of fixed guideway infrastructure of a maglev project, including land, piers, guideways, propulsion equipment and other components attached to guideways, power distribution facilities (including substations), control and communications facilities, access roads, and storage repair and maintenance facilities, but not including costs incurred for a new station. Funds may be used for eligible project costs, including preconstruction planning activities, the capital cost of fixed guideway infrastructure of a maglev project, including land, piers, guideways, propulsion equipment and other components attached to guideways, power distribution facilities (including substations), control and communications facilities, access roads, and storage repair and maintenance facilities, but not including costs incurred for a new station.

Applicant Eligibility:

State governments with existing maglev projects located east of the Mississippi River.

Beneficiary Eligibility:

State governments.

Credentials/Documentation:

See Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E or Assurances Construction, SF424D (whichever is specified in the

announcement); Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The period of performance is up to 60 months. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

No program reports are required. Federal Financial Report, SF 425; and final report giving details of the results and benefits of the Grantee's improvement efforts. The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

69-8083-0-1-401.

Obligations:

(Cooperative Agreements) FY 09 \$890,000; FY 10 est \$889,200; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Building on work completed as a result of the funding from the Maglev Deployment Program (as well as other miscellaneous sources of funding), this program will advance the goal of constructing a demonstration maglev project. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The National Environmental Policy Act of 1969 as amended (42 U.S.C. Section 4321 et seq.), and related laws; Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59); SAFETEA-LU Technical Corrections Act (Public Law 110-244); OMB Circular No. A-87 for State and local governments; OMB Circular No. A-102 grants and cooperative agreements with State and local governments; A-133 for audits. Solicitation guidelines will be made available.

Regional or Local Office:

None.

Headquarters Office:

John Winkle, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: John.Winkle@dot.gov Phone: 202-493-6067.

Website Address:

<http://www.fra.dot.gov>.

RELATED PROGRAMS:

20.314 Railroad Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funding provided through the Maglev Development Program advanced two existing maglev projects that will be eligible for the current funding: (1) the Pittsburg project; and (2) the Baltimore-Washington project. For both of these projects, preliminary engineering and preliminary environmental work has been completed. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.319 HIGH-SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE CAPITAL ASSISTANCE GRANTS

HSR/IPR Program

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5, as amended by Consolidated Appropriations Act, 2010, Public Law 111-117, as amended by Passenger Rail Investment and Improvement Act of 2008 (PRIIA), Public Law 110-432.

OBJECTIVES:

To assist in financing the capital costs of facilities, infrastructure, and equipment necessary to provide or improve high-speed rail and intercity passenger rail service. Funds are made available pursuant to three authorized programs: Intercity Passenger Rail Service Corridor Capital Assistance, Congestion Grants; and High-Speed Rail Corridor Development.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used by eligible applicants for acquiring, constructing, improving, or inspecting equipment, track and track structures, or a facility for use in or for the primary benefit of high-speed rail and intercity passenger rail service, expenses incidental to the acquisition or construction (including designing, engineering, location surveying, mapping, environmental studies, and acquiring rights-of-way), payments for the capital portions of rail trackage rights agreements, highway-rail grade crossing improvements related to

intercity passenger rail service, mitigating environmental impacts, communication and signalization improvements, relocation assistance, acquiring replacement housing sites, and rehabilitating replacement housing; and rehabilitating, remanufacturing or overhauling rail rolling stock and facilities used primarily in intercity passenger rail service. Funds may not be used to funding operating expenses, or for commuter rail passenger transportation.

Applicant Eligibility:

Profit organization, Other private institutions/organizations, State & Transportation.

Beneficiary Eligibility:

State and Profit organization.

Credentials/Documentation:

Documentation demonstrating applicant eligibility (for applicants other than States); National Environmental Policy Act documentation for construction projects and service development programs; program-specific Assurances and Certifications; planning and engineering documentation. Also see Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Award Procedure:

Review the application package to ensure that all required documents are complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funding appropriated under ARRA must be awarded to grantees by September 30, 2012, and must be expended by September 30, 2017. Funding appropriated under PRIIA must be obligated or expended within 2 years after the date on which the State received the grant. See the following for information on how assistance is awarded/released: Funding is obligated through cooperative

agreements, and outlays occur as reimbursement. For complex corridor development programs, FRA will issue a Letter of Intent (LOI) that represents a contingent financial commitment to a States corridor program. A LOI does not represent an obligation or disbursement of funds. Funding will only be obligated and disbursed as milestones are achieved.

Reports:

No program reports are required. Quarterly Federal Financial reports using SF 425, Federal Financial Report. The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Quarterly financial status reports using SF 425, Financial Status Report. FRAs project oversight program will consist of programmatic, financial, and administrative reviews of grantee-submitted reports and documentation, as well as grantee/project site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

69-0718-0-1-401.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$2,500,000,000; FY 11 est \$1,000,000,000

Range and Average of Financial Assistance:

No financial assistance yet awarded.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim program guidance is provided within Notices of Funding Availability.

Regional or Local Office:

None.

Headquarters Office:

Peter Schwartz, 1200 New Jersey Ave, S.E., Washington, District of Columbia 20590 Phone: (202) 493-6360 Fax: (202) 493-6381.

Website Address:

<http://www.fra.dot.gov>

RELATED PROGRAMS:

20.317 Capital Assistance to States - Intercity Passenger Rail Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be individually evaluated against the following criteria, listed in order of priority: 1) achieving transportation solutions in a cost effective manner; 2) achieving public benefits in a cost effective manner; 3) sustainability of transportation and other public benefits; 4) management and minimization of project delivery risk; and 5) timeliness of project

commencement and completion.

Selection of applications for award will also take into account the following selection criteria: 1) regional balance necessary to create a nationwide high-speed rail and intercity passenger rail network and address urban and non-urban mobility needs; 2) balance and diversity of cost effective technological approaches to improving HSR and IPR service; 3) organizing multi-State partnerships and encouraging community involvement where appropriate; and 4) previous HSIPR Program grants and previous State investments in high-speed intercity passenger rail.

20.320 RAIL LINE RELOCATION AND IMPROVEMENT

Rail Line Relocation

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59.

OBJECTIVES:

To provide financial assistance for rail line relocation and improvement projects.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The financial assistance may fund, but is not limited to, rehabilitation, relocation or construction of railroads, including planning activities.

Applicant Eligibility:

Government - General and Transportation.

Beneficiary Eligibility:

State.

Credentials/Documentation:

See Application Procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant must submit the following information to the FRA through www.grants.gov: Application for Federal Assistance SF-424 Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>: Certification Regarding Debarment, suspension, and other Responsibility Matters Primary Covered Transactions. o Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions. Certification Regarding Drug-Free Workplace Requirements. o Certification Regarding Lobbying. o Assurances Non-Construction Programs, SF 424E Certificate of Indirect Costs. Assurances - Construction Programs SF-424D Statement of Work Budget. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following information: Application for Federal Assistance SF-424; US Department of Transportation Federal Railroad Administration Assurances and Certification forms

<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E; Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

Review the application package to ensure that all required documents are

complete and signed by the appropriate person. Review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. Review SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. Compare applications to determine relative satisfaction of published selection criteria. Select the applicants that are most qualified. After the application package is acceptable to both parties, a grant agreement is drafted, reviewed, and signed upon agreement by both parties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10.%. This program has no statutory formula. All grantees will be required to provide at least a 10% match from non-program funds toward the grant budget.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No restriction on period of time the assistance normally is available. Assistance is usually awarded upon request for reimbursement. See the following for information on how assistance is awarded/released: Assistance is usually awarded upon request for reimbursement.

Reports:

The grantee shall submit the following reports: Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Federal Financial Status Report SF 425, Federal Cash Transaction Report. Final report giving details of the results and benefits of the Grantee's improvement efforts. Federal Financial Report SF 425. Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Federal Financial Status Report SF 425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

69-0716-0-1-401.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$39,200,000; FY 10 est \$34,352,000; FY 11 est \$17,190,200

Range and Average of Financial Assistance:

Range of previous awards was \$152,664 to \$4,000,000. Average award was \$2,050,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

These two Acts and associated regulations provide the primary guidance for this program: The National Environmental Policy Act of 1969 as amended (42 U.S.C. 4321 et seq.), and related laws Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (Public Law 109-59).

Regional or Local Office:

See Regional Agency Offices. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

John Winkle, 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Phone: (202) 493-6067 Fax: (202) 493-6330.

Website Address:

<http://www.fra.dot.gov/us/content/2008>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For the grants competed, the selection criteria will be specified in the final regulations, and shall include, but shall not be limited to, prioritizing criteria defined in relevant authorizing and appropriations law, and criteria intended to ensure the timely completion of funding projects. For the earmarks, the work and cost estimates are reasonable and are within the scope of the program regulations and the appropriation language.

20.321 RAILROAD SAFETY TECHNOLOGY GRANTS

RAILROAD SAFETY TECHNOLOGY GRANTS

FEDERAL AGENCY:

Federal Railroad Administration (FRA), Department of Transportation

AUTHORIZATION:

Section 105 of the Rail Safety Improvement Act of 2008, Public Law 110-432, 49 U.S.C 20158.

OBJECTIVES:

To facilitate the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new or novel railroad safety technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects that that make train control technologies interoperable between railroad systems, accelerate the deployment of train control technology on high risk corridors such as those that have high volumes of hazardous materials shipments or over which commuter or passenger trains operate or benefit both passenger and freight safety and efficiency. Any proposed project that is merely an idea or a concept without prior proofs or tests to demonstrate its technical and scientific soundness will not be considered. Proposed technologies or methods must be practical and reduce risks associated with completing the deployment of the core PTC network by December 31, 2015. Grant funds may not be used for lobbying or in direct support of litigation. Potential recipients should note these funds are awarded on project-by-project basis and are not block grants. The detailed focus areas are defined in the agency announcement. Grant funds may not be used for lobbying or in direct support of litigation.

Potential recipients should note these funds are awarded on project-by-project basis and are not block grants. The detailed focus areas are defined in the agency announcement. 100 percent of the funds are discretionary grants.

Applicant Eligibility:

Passenger and freight railroad carriers, railroad suppliers, and State and local governments.

Applicants need not have developed plans required under subsection 20156(e)(2) of title 49, United States Code section 20157 of such title.

Priority will be given to applicants who have completed the plans required under subsection 20156(e)(2) of title 49, United States Code section 20157

Individuals are not eligible for these awards.

Beneficiary Eligibility:

Passenger and freight railroad carriers, railroad suppliers, and State and local governments.

Credentials/Documentation:

U.S. Department of Transportation Federal Railroad Administration Assurances and Certification forms
<http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>. Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary Covered Transactions, Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions, Certification Regarding Drug-Free Workplace Requirements, Certification Regarding Lobbying, Assurances-Non-Construction Programs, SF 424E, Certificate of Indirect Costs, Assurances - Construction Programs SF-424D. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The applicant must submit the following information: Application for Federal Assistance SF-424; U.S. Department of Transportation Federal Railroad Administration Assurances and Certification forms <http://www.fra.dot.gov/downloads/admin/assurancesandcertifications.pdf>; Certification Regarding Debarment, suspension, and other Responsibility Matters - Primary Covered Transactions; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions; Certification Regarding Drug-Free Workplace Requirements; Certification Regarding Lobbying; Assurances - Non-Construction Programs, SF 424E; Certificate of Indirect Costs; Detailed project descriptions, statement of work, and budgets; Description of work completed to date; and description of type and source of matching funds.

Award Procedure:

FRA will review the application package to ensure that all required documents are complete and signed by the appropriate person. FRA will review the statement of work (SOW) and budget to ensure the appropriation language requirements are met. FRA will review the SOW for technical issues and budget for appropriate costs, including compliance with the National Environmental Policy Act and related laws and orders. A competitive comparison of applications to determine relative satisfaction of published selection criteria might occur for some grants. Following selection (if applicable) and after the application package is acceptable to both parties; a grant agreement is drafted, reviewed, and signed upon agreement by both parties. Detailed evaluation criteria are listed in the agency announcement. Preference will be given to proposals whose results will support interoperable PTC deployment by multiple railroads and have active railroad carrier participation. Grants will be awarded to applicants with the highest merit until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

60 to 120 days after submission deadline. Awards on high priority projects generally would take less time.

Appeals:

Renewals generally require reapplication with a new proposal. Extensions can generally be handled under the terms of the original grant, cooperative agreement, or other transaction contingent upon availability of funding..

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 20158.

Matching Requirements: Percent: 80%. Federal funds for any eligible project shall not exceed 80 percent of the total cost of such project.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds in the amount of \$50 million will be available until expended. The specific period of performance for any single award will depend upon the complexity of the proposal, but in no case will the period of performance extend past December 31, 2015. Entities submitting proposals must identify and justify the expected duration to accomplish the work proposed. See the following for information on how assistance is awarded/released: FRA will award grants for the full federal amount, however, recipients shall receive funds on a reimbursable basis.

Reports:

Quarterly progress reports giving an account of significant progress (findings, events, trends, etc.) made during the reporting period, and a description of any technical and/or cost problems encountered or anticipated that will affect completion of the grant. Transactions reports using SF-272, Federal Cash Transaction Report. Are required quarterly. Quarterly financial status reports using SF-425, Federal Financial Report. Quarterly Federal Cash. Program office provides oversight.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the FRA submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Each recipient must maintain sufficient records to permit determination that the funds were used appropriately for the award purpose. All financial records, supporting documents and all other records pertinent to the award shall be retained by the organization for at least 3 years from the submission date of the final financial status report.

Account Identification:

69-0701-0-1-401.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$50,000,000; FY 11 est \$0 - These funds can be used for salaries and benefits.

Range and Average of Financial Assistance:

This is an initial announcement. FRA anticipates multiple awards not to exceed \$50 million. Awards may be of any dollar value so long as those amounts do not exceed the total amount available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Rail Safety Improvement Act of 2008 (Public Law 110-432) and associated regulations.

Regional or Local Office:

None. If necessary to contact a regional office, the contact information will be in a grant agreement.

Headquarters Office:

Mark Hartong, Office of Railroad Safety, Federal Railroad Administration, Mail Stop 25, 1200 New Jersey Ave SE., Washington, District of Columbia 20590 Email: mark.hartong@dot.gov Phone: (202) 493-1332.

Website Address:

http://www.fra.dot.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Any technology forming the basis for a proposal must be capable of application to improve the ability to timely deploy interoperable PTC systems that meet the requirements of Public Law 110-432. This may include improvements to the railroad capital equipment or infrastructure in train control and dispatching/traffic control systems, or to the quality improvement of processes and procedures of train operation. The work and cost estimates are reasonable and are within the scope of the appropriation language. For the grants competed, the selection criteria will be specified in the final regulations, and shall include, but shall not be limited to, prioritizing criteria defined in relevant authorizing and appropriations law, and criteria intended to ensure the timely completion of funding projects.

20.500 FEDERAL TRANSIT CAPITAL INVESTMENT GRANTS

(Fixed Guideway Modernization Bus and Bus Facility New Starts)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

FEDERAL TRANSIT CAPITAL INVESTMENT GRANTS, 49 U.S.C 5309.

OBJECTIVES:

To assist in financing the acquisition, construction, reconstruction, and improvement of facilities, rolling stock and equipment for use in public transportation service. Funds are currently made available in three categories: Fixed Guideway Modernization formula program; Bus and Bus Facilities discretionary program; and New Starts discretionary program (new fixed guideway systems and extensions of existing systems).

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in financing: capital projects for new fixed guideway systems, and extensions to existing fixed guideway systems, including the acquisition of real property, the initial acquisition of rolling stock for the systems, and the acquisition of rights of way, and relocation, for fixed guideway corridor development for projects in the advanced stages of alternatives analysis or preliminary engineering; capital projects, the acquisition, construction, reconstruction and improvement of facilities and equipment for use by operation or lease or otherwise in mass transportation service, including property and improvements needed for an efficient and coordinated mass transportation system, including buses and bus facility equipment; the capital costs of coordinating transit with other transportation; and the introduction of new technology, through innovative and improved transportation; and the introduction of new technology, through innovative and improved products. Consideration may also be given for projects which enhance urban economic development; establish new or enhanced coordination between transit and other transportation; or enhance the effectiveness of a transit project and are related physically or functionally to that transit project. It could also include financing for transit projects planned, designed, and carried out to meet the special needs of elderly individuals and individuals with disabilities; for the development of corridors to support fixed guideway systems, including protecting rights of way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and

park-and-ride lots, and other nonvehicular capital improvements that the Secretary may decide would result in increased transit usage in the corridor. In FY 2010 the New Starts and Bus programs were partially earmarked, leaving unallocated funds. Unallocated funds are available for allocation through a discretionary competitive award process.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project, including safety and security aspects, and maintain facilities and equipment purchased with Federal assistance. Fixed Guideway formula funds are apportioned by formula to urbanized areas over 200,000 population with fixed guideway segments at least one mile long that are over seven years old. Bus and New Starts programs are allocated entirely to projects designated by Congress. Private non-profit organizations are not eligible direct recipients.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation. Public agencies, although private transportation companies may participate through contractual arrangements with public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), in the State transportation improvement program (STIP) and approved by FTA and FHWA; information must be provided on labor and relocation; environmental impact statement; legal opinion; coordinated regional planning documentation; maintenance certification; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. Federal Register Notice FTA Fiscal Year Apportionments, Allocations, and Program Information, published annually contains the capital investment apportionments. For fiscal year 2009, the Federal Register notice with the full year's apportionment will be published in late March 2009. For other fiscal years, contact the FTA Regional Office to obtain the publication dates or the FTA website: www.fta.dot.gov/. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact statement may also be required upon completion of review of the assessment. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18 and FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," October 1, 1998. Applications are made to the regional offices of the Federal Transit Administration listed in Appendix IV of the Catalog.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually through the appropriation process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Funds for fixed guideway modernization are apportioned by statutory formula to eleven legislatively specified areas and to other areas that have fixed guideway mileage over 7 years old. Funds for bus and bus related improvements and New Starts are allocated on a discretionary basis and can be allocated on a statutory basis. A grant may be made up to 80 percent of net project cost. Vehicle related equipment required to comply with ADA or Clean Air Act may be funded at 90 percent Federal share.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. See the following for information on how assistance is awarded/released: The grants may be awarded in one lump sum or in smaller increments as specified by the grantee.

Reports:

(1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. (1) Progress reports; (2) Financial status reports; (Submitted quarterly for recipients in urbanized areas over 200,000 population, annually for other recipients and states); (3) construction reports where applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1134-0-1-401; 69-1102-0-1-401; 69-1133-0-1-401; 69-8350-0-7-401.

Obligations:

(Salaries) FY 09 \$738,000,000; FY 10 est \$5,000,000; FY 11 est not reported. - Recovery Act New Starts/Small Starts FY09 \$738,000,000; FY10 est. \$5,000,000; FY11 est. not applicable and Fixed Guideway Modernization Grants FY09 \$738,000,000; FY10 est. \$5,000,000; FY11 est.\$732,661,179. Bus and Bus Faculty Grants FY 08 act. \$1219,839,983; FY 09 est. \$1,080,890,000; and FY 10 est. not available. (Salaries) FY 09 \$668,770; FY 10 est \$1,218,727,818; FY 11 est \$732,661,170 - Bus and Bus Faculty Grants FY09 act. \$668,770; FY10 est. \$1,218,727,818; and FY11 \$732,661,179. Fixed Guideway Modernization Grants FY 09 act. \$1,371,676,000, FY10 est. \$1,686,351,739, and FY11 \$2,788,842,430. (Salaries) FY 09 \$1,371,676,000; FY 10 est \$16,863,517,391; FY 11 est \$2,788,842,430 - Fixed Guideway Modernization Grants FY 08 act. \$1,572,118,525, FY 09 est. \$1,603,186,000, and FY 10 est. not available. New Starts/Small Starts Grants FY 08 act. \$1,639,257,719, FY est. \$1,702,089,625, FY10 est. (Salaries) FY 09 \$1,639,257,719; FY 10 est \$1,702,089,625; FY 11 est \$2,167,971,500 - New Starts/Small Starts Grants

FY09 act. \$1,371,676,000, FY10 est. \$1,658,351,739, FY11 est. \$2,788,842,430.

Range and Average of Financial Assistance:

Fixed Guideway Modernization - in FY 2009 apportionments ranged from \$41,964 to \$439,275,949. Bus and Bus Facility - in FY 2009 earmarks ranged from \$8,694 to \$12,750,000. New Starts - in FY 2009 earmarks ranged from \$245,000 to \$210,700,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Bus and Bus Facilities: In fiscal year 2008, \$683 million was obligated under the capital grant program. Funds were used to purchase vehicles, build transit facilities, transit administration offices, and purchase miscellaneous equipment.

Fixed Guideway Modernization: Normal program funds and ARRA funds were used to purchase rolling stock, acquire rights-of-way and to fund other eligible program activities.

New Starts: Normal program funds and ARRA funds were used to construct projects under full funding grant agreements (FFGAs), such as light rail and commuter rail. Normal program funds were used for the same purposes and additional projects such as bus rapid transit and metro rail station improvements to non-FFGA projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the FTA Regional Offices. See FTA Circular 9300.1A, "Capital Program: Grant Application Instructions," November 1, 1998.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV: Agency Regional and Local Office Addresses of the Catalog for a listing of the Federal Transit regional offices.

Headquarters Office:

Eric Hu, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-0870.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.505 Federal Transit Metropolitan Planning Grants; 20.507 Federal Transit Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Bus and Bus Facilities: Bus purchases for the state of Illinois; Intermodal facility improvements for the St. Bernard Parish, LA; and construction of a maintenance/operations facility in Greensboro, NC.

Fixed Guideway Modernization: Rail station improvements in Southeastern, PA; Purchase of light rail batteries for Niagara Frontier Transportation Authority in NY; and Signal upgrades for the Commuter Rail Division of Regional Transportation Authority in IL.

New Starts: Commuter rail improvements for Fitchburg, MA; Commuter rail study for Phoenix, AZ; and construction of Central Florida Commuter Rail. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

1. Major, non-recurring capital investments. 2. Congressional designation (Bus and New Starts) 3. Formula allocation (Fixed Guideway Modernization) 4. New Starts projects are subject to extensive rating and evaluation process and must have FTA approval before entering into the Preliminary Engineering and Final Design Phases.

20.505 METROPOLITAN TRANSPORTATION PLANNING

(Metropolitan Planning Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 49 U.S.C 5303.

OBJECTIVES:

To assist in development of transportation improvement programs, long-range transportation plans, and other technical studies in metropolitan areas.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Activities assisted under this section may include preparation of transportation plans including transportation improvement programs and management systems; studies related to transportation management, operations, capital requirements, and economic feasibility; evaluation of previously funded capital projects; and other related activities in preparation for the construction, acquisition, or improved operation of transportation systems, facilities, and equipment.

Applicant Eligibility:

Apportionments are made to the States for formula distribution to the Metropolitan Planning Organization to be used in urbanized areas within each State.

Beneficiary Eligibility:

Apportionments are made to the States for formula distribution to the Metropolitan Planning Organizations to be used in urbanized areas within each State.

Credentials/Documentation:

The Federal Register dated May 13, 2010 (FTA Fiscal Year 2010 Apportionments, Allocations and Program Information; Notice), provides the state apportionments in Table 2. For other fiscal years, contact the FTA Regional Office or access http://www.fta.dot.gov/funding/grants_financing_174.html. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Following each decennial Census, each State must submit to FTA for approval a formula, developed in cooperation with affected MPOs, for the distribution of a State's Section 5303 apportionment to MPOs in each urbanized area within the State. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for a grant should be addressed to the appropriate Regional Office of the Federal Transit Administration. FTA Circular 8100.1C dated September 1, 2008, Program Guidance and Application Instructions for Metropolitan Planning and State Planning and Research Program Grants, provides detailed application information. Available on the Internet at: http://www.fta.dot.gov/laws/circulars/leg_reg_8454. States may apply through a paperless electronic grant application, review, approval, acceptance, and management process. This program is excluded from coverage under 49 CFR Part 19.

Award Procedure:

FTA will approve and release the grant by the end of the quarterly cycle or earlier provided the application is complete and received by FTA at the beginning of the quarterly cycle.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grant applications are due in the appropriate FTA Regional Office no later than the first business day of the quarter.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: The new budget authority for Fiscal Year 2010 Section 5303 appropriation to States for Metropolitan Planning Organizations totals \$80,887,789. A basic allocation of 80 percent of the amount available annually is distributed to the States based on urbanized area population for State distribution. A supplemental allocation of the remaining 20 percent is also provided to the states based on an FTA administrative formula to address planning needs in the larger urbanized areas. A May 13, 2010 Federal Register Notice, FTA Fiscal Year 2010 Apportionments and Allocations, provides a table (Table 2) which contains the final combined State apportionments. The funding ratio for these grants is 80 percent Federal, 20 percent local match of net project costs. In addition, under provisions enacted in SAFETEA-LU, funds currently available for planning can be transferred from the Federal Highway Administration to form Consolidated Planning Grants. FTA funds can also be transferred to FHWA to form consolidated Planning Grants.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available for 3 years following the year for which they were appropriated. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF-425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133.

Records:

Following submission of the final Financial Status Report pending resolution of audit findings, project sponsor is required to remain intact for 3 years, retaining all project contract documents, financial records, and supporting documents.

Account Identification:

69-1137-0-1-401; 69-8350-0-7-401.

Obligations:

(Formula Grants) FY 09 \$56,764,000; FY 10 est \$80,887,789; FY 11 est not reported. - (Grants) FY09 56,764,000; FY10 80,887,789; and FY11 35,808,770.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance
\$20,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Multimodal transportation planning studies are emphasized through coordinated funding with other DOT administrations. Refinement of the transit elements of long-range planning for improvements to the existing urban transportation system are also emphasized. Capital transportation improvement programs are produced annually/biennially as well as metropolitan transportation plans. The program of ready-to-go transit projects was doubled in 2009 to speed up the implementation of projects under the American Recovery and Reinvestment Act of 2009 (ARRA). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Fiscal Year 2010 Apportionments, Allocations, and Program Information; Notice and Fiscal Year 2010 Annual List of Certifications and Assurances for

Federal Transit Administration Grants and Cooperative Agreements; Notice, published annually. For fiscal year 2010, the publication date was May 13, 2010. For other fiscal years, contact the FTA Regional Office to obtain the publication date for other fiscal years. FTA website: www.fta.dot.gov/funding/grants_financing_174.html.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices and DOT Metropolitan Offices.

Headquarters Office:

Candace Noonon, 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Phone: (202) 366-1648.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.514 Public Transportation Research; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Metropolitan Council of Twin Cities area received planning funds the State of Minnesota to support the transportation planning process in the Minneapolis-St.Paul urbanized areas. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding is allocated to the States for pass through to various Metropolitan Planning Organizations within their jurisdictions.

20.507 FEDERAL TRANSIT_FORMULA GRANTS

(Urbanized Area Formula Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

American Recovery and Reinvestment Act, Public Law 111-5, 49 U.S.C 5307.

OBJECTIVES:

To support public transportation services in urbanized areas (cities over 50,000 population).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for capital projects to finance the planning, acquisition, construction, cost-effective lease, improvement, and maintenance of equipment and facilities for use in transit. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for transit enhancements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs. Recipients of these grants are required to make information available to the public and to publish a program of projects to afford affected citizens opportunities through public hearings to submit comments on the proposed program and the performance of the recipient. One percent of the funds apportioned to urbanized areas with a population of at least 200,000 must be expended for transit enhancements. For urbanized areas with populations under 200,000, funds may be used to finance transit operating costs.

Applicant Eligibility:

Funds will be made available to urbanized areas (as defined by the U.S. Census Bureau) through designated recipients, which must be public entities and have the legal capacity to receive and dispense Federal funds. The Governor, responsible local officials, and publicly owned operators of mass transportation services must jointly select the designated recipient(s) for an urbanized area with a population of 200,000 or more. The Governor or his designee is the designated recipient for an urbanized area with a population of 50,000 to 199,999. Recipients must submit a program of projects to FTA; submit a program application to FTA; enter into formal agreements with FTA; and certify that public notification has been conducted.

Beneficiary Eligibility:

The general public, both users and non-users, and publicly owned operators of public transportation services.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on labor agreements and relocation planning; environmental impact; legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Eligible costs must be in accordance with OMB Circular No. A-87 for State and local governments. A notice is published annually in the Federal Register on FTA's fiscal year apportionments and allocations. The notice contains list of the amount apportioned to each urbanized area. The final apportionment amounts for fiscal year 2010 were published in the March 13, 2010 notice. Prior year notices can be found on the FTA website at www.fta.dot.gov/ or by contacting the appropriate FTA Regional Office to obtain copies or publication dates. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An environmental impact assessment may be required. In addition, an environmental impact statement may also be required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is subject to the provisions of OMB Circular No. A-87 and 49 CFR Part 18. Applications are to be submitted in the Transportation Electronic Award Management system. (TEAM-Web), which is the FTA electronic grant-making and record keeping system.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. In FY 07, FTA obligated Urbanized Area formula grants in 26 days (on average) after submission of a complete application.

Appeals:

Opportunity for public hearing for interested persons.

Renewals:

Funds are apportioned annually to each urbanized area. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory Formula: Title 49 USC, Part 5336, Public Law 111-5.
Matching Requirements: Percent: 10%. funding made available to an urbanized under the Growing States and High Density States formula factors (49 USC 5340) are combined with funds apportioned to an area under the Urbanized Area Formula program. The program operates under the statutory formula prescribed in 49 USC 5336. The Federal share is not to exceed 80 percent of the net project cost. The Federal share may be 90 percent for the cost of vehicle-related equipment attributable to compliance with the American with Disabilities Act and the Clear Air Act. The Federal share may also be 90 percent for projects or portions of projects related to bicycles facilities. The Federal share may not exceed 50 percent of the net cost for operating assistance.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds apportioned under the Urbanized Area Formula program are available for obligation by the Governor, designated recipient, or authorized grant recipient for a period of 3 years following the close of the fiscal year for which such funds are apportioned. Any amounts remaining unobligated at the end of this period are distributed among all urbanized areas. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. SF -272. (1) Progress reports. (2) Financial status reports, which must be submitted quarterly for recipients in urbanized areas over 200,000 population; annually for other recipients and states. (3) Construction reports where applicable. (4) Annual report to the National Transit Database (NTD). SF-425 or 269. See audit requirements. Grantees receiving 500,000 or more have an audit requirement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-8303-0-7-401; 69-1129-0-1-401.

Obligations:

(Formula Grants) FY 09 \$3,690,176; FY 10 est \$5,220,259,295; FY 11 est \$5,986,390

Range and Average of Financial Assistance:

Varies according to local programming of available formula funds and the level of operating expenses incurred.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Not available currently under review. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula Grant Application Instructions may be accessed on the FTA website or secured at no charge from the FTA Regional Offices - FTA Circular 9030.1C, "Urbanized Area Formula Program: Grant Application Instructions," October 1, 1998.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

Office of Transit Programs, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-2053.

Website Address:

<http://www.fta.dot.gov/>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants; 20.509 Formula Grants for Other Than Urbanized Areas

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants were awarded to: (1) Gold Coast Transit to support ADA and paratransit service and preventive maintenance; (2) City of Rocky Mount, NC to support fixed route and ADA comparable transportation services and a Community Transportation Service Plan; (3) River Valley Transit for the purchase of tow replacement buses and the renovation of the administration/maintenance facility. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects must be eligible public transportation projects and meet all formula grant requirements.

20.509 FORMULA GRANTS FOR OTHER THAN URBANIZED AREAS

(Nonurbanized Area Formula Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

American Recovery and Reinvestment Act 2009, 49 U.S.C 5311.

OBJECTIVES:

To improve, initiate, or continue public transportation service in nonurbanized areas (rural and small areas under 50,000 population) and to provide technical assistance for rural transportation providers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 5311 funds may be used for eligible capital expenses, project administration, and operating expenses needed to provide efficient and coordinated public transportation service in nonurbanized areas. States may use up to 15 per cent of the annual apportionment for planning and program administration. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services. States may use up to 15 per cent of the annual apportionment for planning and program administration. Projects must provide for the maximum feasible coordination of public transportation sources assisted under this section with transportation services assisted by other Federal sources, and must provide for the maximum feasible participation of private operators. Fifteen percent of the State's annual apportionment must be spent to support rural intercity bus transportation, unless the governor certifies that such needs are adequately met. Rural Transit Assistance Program (RTAP) funds may be used for technical assistance, training, research, and related support services.

Applicant Eligibility:

Only designated State agencies and Indian Tribes may apply directly to FTA for grants. Eligible sub-recipients may include State agencies, local public bodies and agencies thereof, nonprofit organizations, Indian tribes, and operators of public transportation services, including intercity bus service, in rural and small urban areas. Private for-profit operators of transit or paratransit services may participate in the program only through contracts with eligible recipients. Private intercity bus operators may participate as subrecipients or through contracts. Urbanized areas, as defined by the Bureau of the Census, are not eligible.

Beneficiary Eligibility:

The general public, both users and nonusers, and private and public providers of public transportation in nonurbanized areas.

Credentials/Documentation:

The State agency must be designated by the Governor to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA. The state and subrecipients must agree to the standard labor protection warranty for the program. Environmental assessments may be required. Compliance with FTA's annual list of Certifications and Assurances is required. Eligible coast must be in accordance with OMB Circular A-87. The state must submit a program of projects listing all subrecipients and projects in accordance with FTA Circular 9040.1F issued April 4, 2007. FTA annually issues a Federal Register Notice of apportionments, allocations and program information. For fiscal year 07, the publication date was March 23, 2007. Prior year notices can be found on the FTA website at www.fta.dot.gov or by contacting the appropriate FTA regional office to obtain copies or publication dates. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible sub-recipients should submit application to the State agency designated by the Governor to administer the program. This agency will evaluate and select eligible applicants and submit a program of projects to the Federal Transit Administration. The provisions of 49 CFR, Part 18, apply to the state and governmental bodies, and 49 CFR Part 19 applies to the private non-profit organizations.

Award Procedure:

The Federal Transit Administration approves the State's program of projects. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the state must execute the grant agreement. The state implements grant agreements or other instructions with the subrecipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. As prescribed by the State agency designated to administer the Section 5311 program. FTA approves grants on a quarterly release cycle.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

Subrecipients typically receive funding through the designated State agency.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 18.

Matching Requirements: Program funds are apportioned annually to the states according to a statutory formula based on 20 percent of the funds are allocated by the ratio of nonurbanized land area of each State to the nonurbanized land area of all of the States with no State receiving more than five percent of these funds. Eighty percent are allocated by the ratio of nonurbanized population of each State to the nonurbanized population of all of the states. In addition to the funds made available to States under section 5311, approximately 16 percent of the funds authorized for the new section 5340 Growing States and High Density States formula factors will be apportioned to States for use in nonurbanized areas. Rural Transit Assistance Program (RTAP) is funded as a two percent takedown from the amount authorized and appropriated for Section 5311 and can be used for technical assistance, training. Research and related support activities. From the amounts made available for RTAP, up to 15 percent may be used by the Secretary to carry-out projects of national scope with the remaining balance to the states. Funds are allocated annually based on an administrative formula that provides a base amount to each state and allocates the balance according to the nonurbanized area population. The maximum Federal share eligible capital and project administration costs is 80 percent, except for three categories of projects that can be funded at 90 percent share: projects designed specifically to increase the accessibility of public transportation to bicycles and vehicle related equipment required to comply with the ADA or the Clean Air Act. The maximum FTA share for operating assistance is 50 percent of the net operating deficit. Other Federal funds may be used for half of the local match requirement. Income from purchase of service contracts with human service agencies may be used for the entire local share for operating assistance. There is no matching requirement for RTAP or for state administration.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are apportioned annually to the States. Sums apportioned are available

for obligation by the State designated agency for a period of 2 years following the close of the fiscal year for which sums are apportioned, and amounts remaining unobligated at the end of such period shall be apportioned among the States for the succeeding fiscal year. States usually fund local recipients on an annual cycle. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. SF-272. Progress reports are submitted annually. States may require additional reports from recipients. Financial Status Reports are submitted annually. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1129-0-1-401.

Obligations:

(Salaries) FY 09 \$395,429,000; FY 10 est \$553,360,554; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since 1979 assistance has been provided to over 1,300 providers of rural and small urban transportation. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9040.1, Nonurbanized Area Formula Program Guidance and Grant Application instructions.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the address of Federal Transit Administration Regional Offices. State Designated Agency: The Governor in each State has designated a State agency to administer the Section 5311 program. This should be the first contact point. Regional Offices: A person from each Federal Transit Administration Regional Office is available to answer questions about Federal regulations related to the Nonurbanized Formula Program.

Headquarters Office:

Office of Program Management, Office of Capital and Formula Assistance, 1200 New Jersey Avenue, SE., Washington, District of Columbia 20590 Phone: (202) 366-0893.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.516 Job Access_Reverse Commute; 20.521 New Freedom Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A typical local recipient of Section 5311 operates a fleet of about six vans or small buses in demand responsive and fixed route service. Section 5311 provides replacement vehicles and covers about a quarter of the average \$316,000 annual operating budget. The State receives Federal funds for a statewide program of projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described by the State designated agency in its procedures or State management plan.

20.513 CAPITAL ASSISTANCE PROGRAM FOR ELDERLY PERSONS AND PERSONS WITH DISABILITIES

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users , Public Law 109-59, 49 U.S.C 5310.

OBJECTIVES:

To provide financial assistance in meeting the transportation needs of elderly persons and persons with disabilities where public transportation services are unavailable, insufficient or inappropriate. The Section 5310 program is designed to supplement FTA's other capital assistance programs by funding transportation projects for elderly persons and persons with disabilities in all areas - urbanized, small urban, and rural.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 5310 funding may be used for eligible capital expenses needed to provide specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services assisted under this section with transportation assisted by other Federal sources and must provide for the maximum feasible participation of private-for-profit operators.

Applicant Eligibility:

Eligible sub-recipients include private nonprofit organizations, public bodies approved by the State to coordinate services for elderly persons and persons with disabilities and public bodies which certify to the governor that no nonprofit corporations or associations are readily available in an area to provide the service.

Beneficiary Eligibility:

Elderly persons and persons with disabilities.

Credentials/Documentation:

The State must be designated by the Governor to administer the program. Projects must be included in the State Transportation Improvement Program (STIP) approved by FTA and FHWA. Compliance with FTA's annual list of Certifications and Assurances is required. Eligible costs must be in accordance with OMB Circular A-87. The state must submit a program of projects listing all subrecipients and projects in accordance with FTA Circular 9070.1E. FTA annually issues a Federal Register Notice of apportionments, allocations, and program information. For fiscal year 2010, the publication date was May 13, 2010. Prior year notices can be found on the FTA website at www.fta.dot.gov/ or by contacting the appropriate FTA Regional office to obtain copies or publication dates. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Local agencies should submit application to the State agency designated by the Governor to administer the program. This State agency will evaluate, select and approve eligible applicants and submit a program of projects to the Federal Transit Administration. The provisions of 49 CFR Part 18 apply to the State and governmental bodies and 49 CFR Part 19 applies to private nonprofit organizations.

Award Procedure:

The Federal Transit Administration approves the State's Program of Projects. An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the State must execute the grant agreement. The State implements grant agreements or other instruments with the subrecipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Interested persons are afforded the opportunity of a public hearing for capital projects.

Renewals:

As determined by the designated State agency.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Funds for this program are allocated among the States by a formula which is based on the population of elderly persons and persons with disabilities in each State according to the latest U.S. Census population figures. Grants may be made for 80 percent of the eligible project costs. SAFETEA-LU allows states eligible for the sliding scale match under under FHWA programs to use that match ratio for section 5310 capital projects. Funds provided under other Federal programs (other than those of the Department of Transportation, with the exception of the Federal Lands Highway Program established by section 204 of Title 23 U.S.C.) may be used as match for capital funds provided under section 5310. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 5310 program funds are available to the States for three years, which includes the fiscal year in which they were allocated plus two additional years. Unobligated Section 5310 funds may be transferred to the Section 5311 or Section 5307 programs within the last 90 days of the fiscal year. Any funds not obligated or transferred by the end of the period of availability will be redistributed to all the States by formula in the succeeding fiscal year. Method of awarding/releasing assistance: by letter of credit.

Reports:

As requested by the State and Federal agencies administering the program. States must submit annual status reports and annual financial reports. SF - 272. As requested by the State and Federal agencies administering the program. States must submit annual status reports and annual financial reports. SF - 269. As requested by the State and Federal agencies administering the program. States must submit annual status reports and annual financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final financial report, all contract documents, financial records, and supporting documents with the following qualification: If any litigation, claim or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims or audit findings involving the records have been resolved.

Account Identification:

69-8350-0-7-401; 69-1129-0-1-401.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$195,498,000; FY 10 est \$144,148,357; FY 11 est \$421,334,757

Range and Average of Financial Assistance:

Range and Average of Financial Assistance
Statewide: For FY 2009 4,575 to 12,167,450 Average 1,264,422.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This special program of assistance provided vehicles to transport the elderly and persons with disabilities. Today, nearly 4,000 local subrecipients receive funding, and continuation of this program has enabled thousands of elderly persons and persons with disabilities to achieve greater mobility and independence. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9070.1F, The Elderly and Persons with Disabilities Program Guidance and Application Instructions, dated May 1, 2007.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the address of Federal Transit Administration's Regional Offices. State- Designated Agency: The Governor in each State has designated a State agency to administer the Section 5310 program.

Headquarters Office:

Gilbert Williams, 1200 New Jersey Ave. SE , Washington, District of Columbia 20590 Phone: (202) 366-0797.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A typical project provided \$1 million to a State that used the funds to purchase 35 accessible vehicles for 30 local subrecipients throughout the State. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described by the State designated agency.

20.514 PUBLIC TRANSPORTATION RESEARCH

National Research Programs

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 49 U.S.C 5314(a).

OBJECTIVES:

The Federal Transit Administration's research program seeks to deliver solutions that improve public transportation. Its primary goals are to increase transit ridership, improve safety and emergency preparedness, improve operating efficiencies, protect the environment, promote energy independence, and provide transit research leadership. To accomplish this, FTA funds research on mobility management, transit operational efficiency, safety and emergency preparedness, transit capacity building, energy independence and environmental protection, infrastructure and equipment protection and innovation, and strategic research program planning.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

The program supports research not generally undertaken by the private sector including studies on transit policy issues, operational efficiency, and travel behavior.

Applicant Eligibility:

Grants and cooperative agreements: Public bodies, nonprofit institutions, State and local agencies, universities, and legally constituted public agencies and operators of public transportation services, and private for-profit organizations.

Beneficiary Eligibility:

State and local governments, transit agencies, private organizations, profit organizations, nonprofit organizations, and universities.

Credentials/Documentation:

Grants and cooperative agreements: Initial proposal should include project objectives, background, and project description, including time schedule, budget, and applicant's organizational experience. Legal opinion and compliance with labor requirements are required later. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, A-21 for universities, A-122 for private nonprofit organizations, and the Federal Acquisition Regulations for private for profit organizations. Contracts are awarded in response to specific requests for proposal. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Although applications for research, development and demonstration projects may be submitted at any time, potential applicants are encouraged to communicate with FTA before submitting formal applications. The initial contact may be by telephone, by submitting a written outline, or by personally meeting with appropriate FTA Headquarters staff. This preliminary contact will serve to: (1) establish a base for communication between FTA and the applicants; (2) determine the a applicant's eligibility; (3) eliminate any proposal which have little or no chance for Federal funding before applicants incur expenditures involved in proposal preparation; and (4) determine funding available and conformance to FTA policy and program objectives. The standard application forms as furnished by the Federal agency and required by 49 CFR Part 18 or 49 CFR Part 19 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Potential applicants should follow instructions found in FTA Circular 6100.1C, "Transit Research and Technology Programs: Application and Program Management Guidelines." This Circular may be found at the FTA web site at www.fta.dot.gov. This program is subject to the provisions of 49 CFR Part 18 for State and local governments and 49 CFR Part 19 for universities and private nonprofit organizations.

Award Procedure:

The Administrator, Federal Transit Administration, makes the final decision to approve a project. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Research, development, and demonstration projects: FTA encourages cost-sharing by performing organizations to the extent feasible and equitable. Cost-sharing is not a prerequisite to funding. However, it is actively considered in the evaluation of proposals. The amount of such sharing is determined by mutual agreement between FTA and the recipient. More weight is given to programs with a greater local share and proposals with cash contributions than to in-kind contributions.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies with the complexity of the project. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. By electronic filing. SF - 425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. By electronic filing. SF - 269. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. Electronic filing is preferred.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities (including universities) that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as stated in OMB Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1137-0-1-401.

Obligations:

(Project Grants (Contracts)) FY 09 \$15,000,000; FY 10 est \$45,000,000; FY 11 est \$41,000,000 - (Transit Planning and Research Grants and Contracts) FY 09\$15,000,000 and FY 1009 \$45,000,000; and FY 11 \$41,000,000.

Range and Average of Financial Assistance:

None established.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: (1) FTA initiated the Bus Rapid Transit Demonstration Program integrating vehicle technology, Intelligent Transportation Systems (ITS), coordinated services, traffic engineering enhancements, and innovative urban design. Seventeen cities have formed a BRT consortium to share ideas and experience in implementing BRT. (2) The Advanced Technology Transit Bus (ATTB) test vehicle was successfully developed and tested. This revolutionary low-floor, light-weight, easily accessible bus features low-emission propulsion. (3) FTA, working with an industry committee (natural gas suppliers and transit operators) produced a detailed technical guideline document defining basic safety requirements for fueling facilities, vehicles, bus garages and storage areas. (4) Four-quadrant gates linked to a vehicle detection system are being demonstrated on a commuter-rail highway grade crossing in the Boston area to prevent motorists from attempting to drive around closed crossing gates. (5) Project ACTION (Accessible Community Transportation in Our Nation) has implemented accessible transportation initiatives, made national presentations on accessible transportation and distributed thousands of copies of "how-to" publications. (6) The JobLinks program provided Welfare-to-Work pilot demonstrations, evaluations and technical assistance that were instrumental in designing the Job Access and Reverse Commute Program enacted in TEA-21. (7) In collaboration with the Federal Highway Administration, FTA published a 3-volume guidance manual on developing and implementing Transportation Demand Management (TDM) measures and developed and tested a pilot training course on TDM. (8) FTA prepared and disseminated descriptions of FTA Research and Technology programs and projects and annotated bibliographies of recent technical reports related to transit; these are available on the FTA Research and Technology

website: <http://www.fta.dot.gov>. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fiscal Year 2010 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; Notice published October 1, 2008. For other fiscal years, contact the FTA Office of Research Demonstration and Innovation to obtain the publication dates. FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

None.

Headquarters Office:

Sylvia L. Marion, 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Phone: (202) 366-6680.

Website Address:

<http://www.fta.dot.gov/research>.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Nearly all projects funded in recent years were at the direction of the Congress under the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) or annual appropriations acts. Projects funded during FY 2009 include: (1) Community Transportation Association of America (CTAA) Nationwide Joblinks; (2) Transportation Equity Program; (3) Intelligent Transportation System Pilot Program; (4) National Bus Rapid Transit Project; (5) Human Resource Coordination; (6) Capital Area Regional Transportation Plan; and (7) Institute of Technology's Transportation, Economic and Land Use System. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must support the FTA Strategic Plan, available on the FTA Home Page of the Internet at <http://www.fta.dot.gov>. If a preliminary proposal is judged to meet program and funding availability, FTA will invite formal proposal submission or cooperative agreement application. Criteria for preliminary approval include: (1) compatibility with FTA Strategic Plan and Strategic Research Plan; (2) availability of funding; (3) potential for impact on the state-of-the-art from the viewpoint of innovation in transit technology, services and operations; (4) technical feasibility and potential for successful introduction into use in the transit industry; (5) background, experience, and demonstrated capabilities of the applicant; (6) partnerships with public and private organizations; (7) non-FTA funding match; and (8) other pertinent matters, such as geographic distribution of projects and questions of environmental and labor impact.

20.515 STATE PLANNING AND RESEARCH

(State Planning and Research Program)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 49 U.S.C 5304.

OBJECTIVES:

To assist in the development of cost effective multimodal transportation improvement programs which include the planning, engineering, and designing of Federal Transit projects, and other technical studies in a program for a unified and officially coordinated Statewide Transportation system.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This is a consolidated program which is apportioned to the States under 49 U.S.C., Chapter 53 which includes such activities as planning, technical studies and assistance, innovative demonstrations, management training, and cooperative research. In addition, a State may authorize a portion of these funds

to be used to supplement and administer Metropolitan Planning funds allocated by the State to its urbanized areas, as the State deems appropriate.

Applicant Eligibility:

Apportionments are made to the States.

Beneficiary Eligibility:

Apportionments are made to the States.

Credentials/Documentation:

Federal Register Notice, "FTA Fiscal Year 2010 Apportionments, Allocations, and Program Information", published annually, contains the State apportionment in Table 2. For fiscal year 2009, the publication date was May 13, 2010. For other fiscal years, contact the FTA Regional Office or refer to the FTA website to obtain the publication dates. The FTA website is: www.fta.dot.gov/funding/grants_financing_174.html.htm. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FTA Circular 8200.1C dated September 1, 2008, Program Guidance and Application Instructions for State Planning and Research Program Grants" provides detailed application information. It is available at www.fta.dot.gov/laws/circulars/leg_reg_8454.html.

Award Procedure:

If the application is complete, FTA will approve and release the grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. If completed applications are submitted to FTA no later than the first business day of the quarter, FTA will then award grants no later than the last business day of the quarter. In FY 04, FTA awarded State Planning and Research Program grants in an average of 9 days of submission of a complete application.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: The matching requirements vary. Funds obligated for State or metropolitan planning are granted as an 80 percent Federal, 20 percent local ratio. In addition, current year funds can be transferred from the Federal Highway Administration to form State Consolidated Planning Grants. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF - 425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable). SF - 425. (1) Progress reports; (2) Financial report upon completion of the project; and (3) Final technical study report (if applicable).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

For 3 years Following submission of the final financial status report and pending resolution of audit findings, Project sponsor is required to retain intact all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1137-0-1-401.

Obligations:

(Project Grants) FY 09 \$11,637,000; FY 10 est \$20,221,947; FY 11 est \$8,952,193 - Obligations
(Grants) FY 09 act.\$11,637,000; FY 10 est \$20,221,947; and FY 11 \$8,952,193.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance
\$45,000 to \$1,000,000 plus per State based on a statutory formula.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Block grant funds to States for projects they identify to accomplish statewide planning and research. The program of ready-to-go transit projects was doubled in 2009 to speed up the implementation of projects under the American Recovery and Reinvestment Act of 2009 (ARRA). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notice, "FTA Fiscal Year 2010 Apportionments, Allocations, and Program Information", published annually, contains the State apportionment in Table 2. For fiscal year 2010, the publication date was May 13, 2010. For other fiscal years, contact the FTA Regional Office or consult the FTA website to obtain the publication dates. The FTA website is: http://www.fta.dot.gov/funding/grants_financing_174.html.

Regional or Local Office:

None. See Appendix IV of the Catalog for a listing of Federal Transit Administration regional offices.

Headquarters Office:

Candace Noonan, 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Phone: (202) 366-1648.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants; 20.507 Federal Transit_Formula Grants; 20.514 Public Transportation Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.516 JOB ACCESS_REVERSE COMMUTE

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient & Transportation Equity Act: A Legacy for users (SAFETEA-LU), Title 49, Part 53, Section 5316, Public Law 109-59, 49 U.S.C 5317.

OBJECTIVES:

To provide grants to local governments, nonprofit organizations, and designated recipients of Federal transit funding to develop transportation services to connect welfare recipients and low- income persons to employment and support

services. Job Access grants will be to finance planning, capital and operating cost of projects. The Reverse Commute grants will assist in funding the costs associated with adding reverse commute bus, train, carpool or service from urban areas, urban, rural and other suburban locations to suburban work places.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used for delivery of services as well as to administer, plan and provide technical assistance for projects.

Applicant Eligibility:

State and local government agencies, nonprofit agencies, and transit providers.

Beneficiary Eligibility:

Low income individuals; individuals traveling to suburban work places.

Credentials/Documentation:

The applications submitted were derived from a locally developed, coordinated public transit-human services transportation plan and developed through a process that included representatives of public, private and nonprofit transportation and human services providers and participation by the public. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants must coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served, and other stakeholders. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The project must be a product of the local coordinated public transit human services coordinated plan, competitively selected by the area's recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients will submit applications electronically to the appropriate FTA regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients will then distribute funding directly to the subrecipients. After the recipient has competitively selected projects to be funded, the recipient may officially request that FTA allow subrecipients that are eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for JARC funds awarded. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating Federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In the past Fiscal years, FTA approved JARC grants on an average of 42 days following submission of a complete application.

Appeals:

Not Applicable.

Renewals:

Grants may be amended to add additional funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally 1 year. Can be up to 4 years. Method of awarding/releasing

assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial status reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes. SF - 272. (1) Progress reports; (2) Financial status reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes. SF - 269. (1) Progress reports; (2) Financial status reports, submitted quarterly for recipients in urbanized areas over 200,000 population, and annually for other recipients and states; (3) Detailed annual reports of project results for program evaluation purposes.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1125-0-1-401.

Obligations:

(Project Grants) FY 09 \$136,113,000; FY 10 est \$262,046,056; FY 11 est \$183,248,331 - Obligations
(Grants) FY 09 act. \$136,113,000; FY 10 est. \$262,046,056; and FY 11 \$,183,248,331.

Range and Average of Financial Assistance:

\$10,890 to \$1,293,611. Average: \$180,588.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FTA Circular 9050.1, Job Access and Reverse Commute Program Guidelines and Application Instructions, updated May 1, 2007.

Regional or Local Office:

None. See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Gilbert Williams, 1200 New Jersey Avenue, SE, East Building-Fourth Floor, Washington, District of Columbia 20590 Phone: (202) 366-0797.

Website Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3550.html

RELATED PROGRAMS:

20.505 Federal Transit Metropolitan Planning Grants; 20.507 Federal Transit Formula Grants; 20.509 Formula Grants for Other Than Urbanized Areas; 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects funded include Extended service hours, new demand, responsive service, fixed route extensions, emergency childcare transportation, guaranteed ride home programs, additional bus lines; mobility management projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

49 U.S.C. 5316(f) of SAFETEA-LU states factors that must be fair and equitable. Proposals must also meet legal and administrative requirements, available on the FTA web site at <http://www.fta.dot.gov>.

20.518 CAPITAL AND TRAINING ASSISTANCE PROGRAM FOR OVER-THE-ROAD BUS ACCESSIBILITY

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-059.

OBJECTIVES:

To make funds available to private operators of over-the-road buses to finance the incremental capital and training costs of complying with requirements of the Department of Transportation's Over-the-Road Bus Accessibility regulation, "Transportation for Individuals with Disabilities" (49 CFR Part 37, Subpart H).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds may be used to finance the incremental capital and training costs of complying with DOT's Over-the-Road Bus Accessibility regulation. Capital projects eligible for funding include adding wheelchair lifts and other accessibility components to new vehicle purchases, and purchasing lifts to retrofit existing vehicles. Eligible training costs include training in proper operation and maintenance of equipment, boarding assistance, and securement, handling and storage of mobility devices, and sensitivity training.

Applicant Eligibility:

Private operators of over-the-road buses that provide intercity fixed route bus service, and other providers, including operators of over-the-road buses of local fixed-route service, commuter service, and charter or tour service.

Beneficiary Eligibility:

Persons with disabilities.

Credentials/Documentation:

Costs are determined in accordance with program guidance in an annual Federal Register Notice soliciting applications. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FTA conducts a national solicitation for applications annually. Grant awards will be made on a competitive basis. Applications may be submitted through Grants.Gov. Paper applications are also accepted. An original and two copies must be submitted to the appropriate FTA Regional Office. The over-the-road bus operators should submit their applications to the office in the region in which its headquarters is located. The application should provide information on all items for which the applicant is requesting funding. Applications for FY 09/ FY 10 funding were due on May 10, 2010.

Award Procedure:

The announcement of awards is published in a Federal Register Notice. Successful applicants file a grant application with FTA. An FTA grant award obligating federal funds is reflected in a grant agreement. To access funds, the recipient must execute the grant agreement.

Deadlines:

May 10, 2010: The deadline for FY 09 / FY 10 applications was May 10, 2010. As prescribed in the annual Federal Register Notice soliciting applications. The deadline for FY 09 / FY 10 applications was May 10, 2010. Following competitive selection, successful applicants may apply for grant funding throughout the fiscal year.

Range of Approval/Disapproval Time:

Project selections are announced approximately six months following the application deadline for competitive consideration.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10.0%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation to grantees for 3 fiscal years. Any funds not obligated by FTA by the end of this period will be added to the succeeding year's program allocation. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Final progress report and Financial Status Report upon closing the grant, and annual reports for grants remaining open the end of each Federal fiscal year. Documentation is required for payment. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. As requested by FTA.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1129-0-1-401; 69-8350-0-7-401.

Obligations:

(Project Grants) FY 09 \$15,003,000; FY 10 est \$12,799,216; FY 11 est not reported.

Range and Average of Financial Assistance:

\$25,000 to \$ 180,000. Average: \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register Notices, published annually. Current guidance contained in Federal Register Notice dated January 15, 2010, "Over-the-Road Bus Accessibility Program Grants".

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix for listing of Federal Transit Administration regional offices.

Headquarters Office:

Blenda Younger, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-4345.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Operators of intercity fixed-route services received \$15,002,734 for the incremental capital cost of making vehicles wheelchair accessible. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Current guidance contained in Federal Register Notice dated January 15,2010, "Over-the-Road Bus Accessibility Program Grants".

20.519 CLEAN FUELS

(Clean Fuels)

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), Public Law 109-59, 49 U.S.C 5308.

OBJECTIVES:

To assist in financing the acquisition of clean fuel vehicles and related facilities for agencies providing public transportation and operating in an urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible projects include the purchase or lease of clean fuel vehicles, the construction or lease of clean fuel vehicles or electrical recharging facilities and related equipment for such vehicles, and construction or improvement of public transportation facilities to accommodate clean fuel vehicles. A clean fuel vehicle is a passenger vehicle used to provide public transportation that is powered by compressed natural gas, liquefied natural gas, biodiesel fuels, batteries, alcohol-based fuels, hybrid electric systems, fuel cells, clean diesel (to the extent allowed by law), or another low or zero emissions technology. Vehicles that employ lightweight composite primary structure may also be eligible. Funds can be used for capital projects only. In FY 2010 the Clean Fuels Grant program was not earmarked, leaving unallocated funds. Unallocated funds are available for allocation through a discretionary competitive award process.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance. Applicant must also operate in an urbanized area designated as a non-attainment or maintenance area for ozone or carbon monoxide. If the urbanized area is less than 200,000 in population, the State in which the area is located will act as the recipient.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on labor agreements and relocation planning; environmental impact; legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Award Procedure:

An FTA grant award obligating federal funds is reflected in a grant agreement. Grants are awarded electronically using FTA's Transportation Electronic Award Management (TEAM) system, <http://ftateamweb.fta.dot.gov/fta-flash2b.html>. In order to access this system, a user name and password are needed and can be obtained by contacting the regional offices. Once the funds are reserved in

TEAM and the project information has been reviewed and approved by Headquarters, the recipient must execute the grant agreement to access the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. It is a goal of the Federal Transit Administration to obligate grants within an average of 36 days of the submission of a complete grant application.

Appeals:

None. Award recipients are chosen by Congress during the appropriations process and after application evaluation during the competitive award process.

Renewals:

Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Terms and conditions are delineated in the grant agreement. Method of awarding/releasing assistance: by letter of credit.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Pursuant to requirements established by the Office of Management and Budget (OMB), nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as determined by the OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401; 69-1137-0-1-401.

Obligations:

(Project Grants) FY 09 \$42,000,000; FY 10 est \$66,950,717; FY 11 est \$41,254,289 - (Clean Fuel Bus Grants) FY 08 act \$21,714,447; FY 09 est. \$62,056,000; and FY 10 est. not available.

Range and Average of Financial Assistance:

\$160,000 - \$5,250,000. Average \$1,112,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See Regional Agency Offices. See list of region contacts listed in Appendix IV.

Headquarters Office:

Juan Morrisison 1200 New Jersey Avenue, S.E

, Washington, District of Columbia 20590 Phone: (202) 366-7005

Website Address:

www.fta.dot.gov.

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects receiving funding under the program include the replacement of existing diesel vehicles with hybrid diesel-electric and other alternative fuel vehicles, as well as the construction of facilities to support vehicles utilizing clean fuel technology. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation.

20.520 PAUL S. SARBANES TRANSIT IN THE PARKS

Transit in the Parks

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Section 3021 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act A Legacy for Users (SAFETEA-LU) enacted August 10, 2005 [Public Law 109-59], established a new program called the Alternative Transportation in Parks and Public Lands program. The name of the program was changed to the Paul S. Sarbanes Transit in the Parks under the SAFETEA-LU Technical Corrections Act, 2008. , 49 U.S.C 5320.

OBJECTIVES:

Purpose of the program is to enhance the protection of national parks and Federal lands, and increase the enjoyment of those visiting them through the use of alternative transportation. The goals of the program are to ensure access to all, including persons with disabilities; improve conservation and park and public land opportunities in urban areas through partnering with State and local governments; improve park and public land transportation infrastructure; enhance the environment and prevent or mitigate adverse impacts on natural resources; reduce congestion and pollution; improve visitor mobility and accessibility and the visitor experience; improve Federal land management agency resource management; and conserve natural, historical, and cultural resources.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

A qualified project is a planning or capital project in or in the vicinity of a Federally-owned or managed park, refuge, or recreational area that is open to the general public and meets the goals of the program. Project evaluation will be based on the considerations listed in the law and explained in part 180 of this notice. The following types of projects are eligible:

(1) Planning Projects: Activities to comply with metropolitan and statewide planning provisions. (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5303, 5304, and 5305). Activities include planning studies for an alternative transportation system including evaluation of no-build and all other reasonable alternatives, traffic studies, visitor utilization studies, transportation analysis, feasibility studies, and environmental studies.

(2) Capital projects for acquiring, constructing, supervising, or inspecting equipment or a facility for use in public transportation, expenses incidental to the acquisition or construction (including designing, engineering, location surveying, mapping, and acquiring rights-of-way), payments for the capital portions of rail trackage rights agreements, transit-related intelligent transportation systems, relocation assistance, acquiring replacement housing

sites, and acquiring, constructing, relocating, and rehabilitating replacement housing; (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5302(a)(1)(A).)

(3) Fixed Guideway and Bus Projects: (i) New fixed guideway capital projects including the acquisition of real property, the initial acquisition of rolling stock for the systems, the acquisition of rights-of-way, and relocation, for fixed guideway corridor development for projects in the advanced stages of alternatives analysis or preliminary engineering; (ii) Capital projects to modernize existing fixed guideway systems; (iii) Capital projects to replace, rehabilitate, and purchase buses and related equipment and to construct bus-related facilities, including programs of bus and bus-related projects for assistance to subrecipients that are public agencies, private companies engaged in public transportation, or private non-profit organizations; and (iv) The development of corridors to support new fixed guideway capital projects, including protecting rights-of-way through acquisition, construction of dedicated bus and high occupancy vehicle lanes and park and ride lots, and other nonvehicular capital improvements that the Secretary may decide would result in increased public transportation usage in the corridor. (49 U.S.C. 5320(b)(5)(A) referencing 49 U.S.C. 5309(b).)

(4) Purchase of rolling stock that incorporates clean fuel technology or the replacement of buses of a type in use on August 10, 2005, with clean fuel vehicles;

(5) The deployment of alternative transportation vehicles that introduce innovative technologies or methods;

(6) The capital costs of coordinating Federal land management agency public transportation systems with other public transportation systems;

(7) Non-motorized transportation systems (including the provision of facilities for pedestrians, bicycles and non-motorized watercraft); and

(8) Any other alternative transportation project that:

- Enhances the environment;
- Prevents or mitigates an adverse impact on a natural resource;
- Improves Federal land management agency resource management;
- Improves visitor mobility and accessibility and the visitor experience;
- Reduces congestion and pollution (including noise pollution and visual pollution); or
- Conserves a natural, historical, or cultural resource (excluding rehabilitation or restoration of a nontransportation facility). No more than 15% of program funds may be used for project administration, contingency and oversight. As stated above, only planning and implementation projects that involve alternative transportation may receive funds. Funds must be spent in accordance with the details of the grant application. All program funds are awarded under a competitive, discretionary grant program.

Applicant Eligibility:

Eligible applicants are:(1) The following Federal land management agencies: The National Park Service, the Fish and Wildlife Service, the Bureau of Land management, the Forest Service, and the Bureau of Reclamation; and (2) State, tribal and local governments with jurisdiction over land in the vicinity of an eligible area acting with the consent of a Federal land management agency, alone or in partnership with a Federal land management agency or other governmental or non-governmental participant.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Any project sponsor must be acting with the consent of a Federal land management agency. Any project proposed must be consistent with the metropolitan and statewide planning and public participation requirements found in 49 U.S.C 5303, 5304, and 5307(d). If the project is an capital project in contrast with a planning project, the capital project must be in the metropolitan Transportation Improvement Program (TIP) or State Transportation Improvement Program (STIP), or, if selected, will not receive funds until it is incorporated into the TIP or STIP. Projects also must be

consistent with Federal land management agency plans. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The program allows the Department of Transportation to spend up to 10 percent of program funds to carry out planning, research and technical assistance activities. Funds allocated to technical assistance will be used to assist program participants in planning, implementing, and evaluating alternative transportation projects. In addition, the Federal Transit Administration will provide planning guidance and will disseminate research findings. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Project proposals must be submitted to the FTA online via the GRANTS.GOV website. In order to use GRANTS.GOV, applicants must first register as users with GRANTS.GOV. The project proposal must be attached to the application submitted online, and must be 12 or fewer pages in length at 10 point font or larger. If a proposal is greater than 12 pages, only the first 12 pages will be considered during the evaluation process. If applicants would like to apply for funds appropriated for future fiscal years, applicants must reapply each year.

Award Procedure:

Proposals will first be collected by the FTA and uploaded into a structured evaluation system and evaluated by an interagency team which includes representatives from FTA, each of the Federal land management agencies, and the Department of the Interior.

After evaluating the projects on the basis of criteria in the law and further explained in Section 180 of this program description, the team will provide a recommendation to the Secretary of the Interior. The Secretary of the Interior, after consultation with and in cooperation with the Secretary of Transportation, will determine the final selection and amount of funding for each project.. The Department of the Interior will notify each Federal land management agency of projects awarded for sites under the agencies jurisdiction. FTA will publish the list of all selected projects and funding levels in the Federal Register.

Once proposals have been reviewed and projects have been chosen on the basis of selection criteria, the cognizant Federal agency (or agencies), will award funds to the proposing entity to implement the project. These funds will be administered according to Federal requirements as well as the appropriate policies, guidelines and rules of the pertinent agencies. For projects directly administered by a Federal land management agency, these funds will be administered by interagency agreement between the FTA and the respective agency. For programs administered by a State, tribal, or local Governmental authority, these funds will be administered through a grant administered by FTA. With regard to interagency agreement and grant requirements, 49 U.S.C. 5320(i) authorizes the Secretary to apply the requirements of 49 U.S.C. 5307 (Urbanized Area Formula Grant) and 5333(a) (Prevailing Wages Requirement) to the extent the Secretary deems appropriate.

Additionally, each recipient (Federal land management agency, and State, tribal, and local governments) of Federal funds must comply with requisite Federal guidelines governing the management of Federal funds and specific program requirements. Program Oversight, as defined by FTA, will ensure that projects meet the basic statutory, administrative, and regulatory requirements as stipulated by the conditions for accepting Federal funds.

After evaluating the projects on the basis of criteria in the law and further explained in Section 180 of this program description, the team will provide a recommendation to the Secretary of the Interior. The Secretary of the Interior,

after consultation with and in cooperation with the Secretary of Transportation, will determine the final selection and amount of funding for each project. The Department of the Interior will notify each Federal land management agency of projects awarded for sites under the agency's jurisdiction. FTA will publish the list of all selected projects and funding levels in the Federal Register, as well as in FTAs annual report to Congress on the Alternative Transportation in Parks and Public Lands program submitted as part of FTAs Annual Report on New Starts in early February 2008. Criteria and application procedures may be reassessed for subsequent years.

Once proposals have been reviewed and projects have been chosen on the basis of selection criteria, the cognizant Federal agency (or agencies), will award funds to the proposing entity to implement the project. These funds will be administered according to Federal requirements as well as the appropriate policies, guidelines and rules of the pertinent agencies. For projects directly administered by a Federal land management agency, these funds will be administered by interagency agreement between the FTA and the respective agency. For programs administered by a State, tribal, or local Governmental authority, these funds will be administered through a grant administered by FTA. With regard to interagency agreement and grant requirements, 49 U.S.C. 5320(i) authorizes the Secretary to apply the requirements of 49 U.S.C. 5307 (Urbanized Area Formula Grant) and 5333(a) (Prevailing Wages Requirement) to the extent the Secretary deems appropriate.

Additionally, each recipient (Federal land management agency, and State, tribal, and local governments) of Federal funds must comply with requisite Federal guidelines governing the management of Federal funds and specific program requirements. Program Oversight, as defined by FTA, will ensure that projects meet the basic statutory, administrative, and regulatory requirements as stipulated by the conditions for accepting Federal funds.

Deadlines:

Jun 21, 2010 to Jun 28, 2010 For Fiscal Year 2010, the application deadline is June 28, 2010.

Range of Approval/Disapproval Time:

> 180 Days. The Department of the Transportation plans to announce the projects selected no later than October 1, 2010.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. This program has no statutory formula. The Secretary of Transportation, in cooperation with the Secretary of Interior will establish the Governments share of the net project cost to be provided. Funds appropriated to any Federal land management agency may be counted toward the remainder of the net project cost. The maximum Federal share may be 100 percent.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will be provided on an annual basis. Funds awarded do not have an established date of expiration. Method of awarding/releasing assistance: lump sum.

Reports:

The Secretary of Transportation in consultation with the Secretary of Interior submits annually a report to Congress on the allocation of amounts made available to assist qualified projects. Also, a similar report must be included by the Secretary of Transportation in its Annual Report on New Starts. Requirements regarding reports to be prepared by the program recipient will be published in the Federal Register in the announcement of program awards. In 2009 this entailed the submission of quarterly reports to the FTA containing a narrative description of the project and a discussion of all budget and schedule changes. In addition, annual reports were required that must include: 1. Annual visitation to the land unit, 2. Annual number of persons who use the alternative transportation system, 3. An estimate of the number of vehicle trips mitigated

based on alternative transportation system usage and the typical number of passengers per vehicle, 4. Cost per passenger, and 5. A note of any special services offered for those systems with higher costs per passenger but more amenities. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for three years following submission of the final expenditure report, all contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-1-407.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$11,748,000; FY 10 est \$36,776,019; FY 11 est \$43,956,799

Range and Average of Financial Assistance:

No one project may receive more than 25 percent of funds.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A Notice of Funding Availability (NOFA) was published January 23, 2009 to announce the availability of FY 2009 funds. The project selections from that NOFA were announced in the Federal Register notice on May 10, 2010 and 46 projects were selected for funding. Fiscal Year 2010: A Notice of Funding Availability (NOFA) was published May 13, 2010 to announce the availability of FY 2010 funds. Applications are currently being solicited until June 28th, 2010. Selections are expected by October 2010. Fiscal Year 2011: Not Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance is included with each Federal Register notice that announces project selections. Program requirements, additional guidance and legislation information can be found at <http://www.fta.dot.gov/>

Regional or Local Office:

See Regional Agency Offices. Information and assistance in applying can be acquired for the headquarters office of the Federal land management agency that manages the park or public land involved. The required project proposal template is available at <http://www.fta.dot.gov>.

-National Park Service: Kevin Percival, Kevin.Percival@nps.gov, tel: 3039692429, fax: 3039876675, mail: 12795 W. Alameda Parkway, P.O. Box 25287, Denver, CO 802250287.

- Fish and Wildlife Service: Nathan Caldwell, nathan.caldwell@fws.gov, tel:7033582376, fax: 7033582517,mail: 4401 N. Fairfax Drive, Suite 634; Arlington, VA 22203.

- Forest Service: Ellen LaFayette, elafayette@fs.fed.us, tel: 7036054509, fax: 7036051542, mail: 1400 Independence Avenue, SW., Washington, DC 202501101.

- Bureau of Land Management: Linda Force, Linda_Force@blm.gov, tel: 202 5573567, fax: 2024525046, mail: 1849 C Street, NW., Washington, DC 20240.

Headquarters Office:

Adam Schildge 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: Adam.Schildge@dot.gov Phone: 202-366-0778

Website Address:

<http://www.fta.dot.gov/atppl>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Transit in Parks funded a planning project by the Cape Cod Commission, with the support of Cape Cod National Seashore to update the Cape Cod 5-year Public Transportation plan, which guides regional public transportation investments in the vicinity of this National Park Service unit. Fiscal Year 2010: The program also funded the purchase of three clean-diesel buses for YARTS, the public transportation provided for Yosemite National Park. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Consistent with the considerations identified in Title 49, Chapter 53 at Section 5320(g)(2), evaluation of proposals will be based on the following criteria:

-  Demonstration of need
 - o Documentation of problem in plans and other reports
 - o Severity of problem
- Protection of natural and cultural resources
 - o Protection or improvement of cultural, historical, scenic, and natural resources
 - o Environmental benefits reduction of pollution (including air pollution, noise pollution, and visual pollution)
-  Operational efficiency and financial sustainability
 - o Evaluation of costs and benefits of all reasonable alternatives
 - o Financial planning (including for capital, operations, maintenance, and equipment replacement expenses; and revenues, including user fees)
 - o Cost effectiveness
 - o Innovative financing or joint development strategies
 - o Deferred maintenance issues
- Public benefits
 - o Enhancing visitor experience
 - o Mobility issues (reduces congestion, improves intermodal connectivity, improves public access, including access for persons with disabilities)
 - o Safety
 - o Partnership with public and private entities, and benefits to gateway communities.
- Additional consideration will be given to projects based upon geographic diversity, balance between urban and rural projects, and balance in size of projects. Finally, projects that demonstrate innovative funding mechanisms or partnerships will be given extra consideration. The proposal template contains specific questions related to each of these criteria to guide the applicant in justifying the project.

20.521 NEW FREEDOM PROGRAM**FEDERAL AGENCY:**

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Efficient, Flexible Transportation Equity Act: A legacy for Users (SAFETEA-LU), 49 U.S.C 5317.

OBJECTIVES:

To provide grants to recipients for new public transportation services and public transportation alternatives beyond those required by the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et. Seq.) that assists individuals with disabilities with transportation, including transportation to and from jobs and employment support services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To be eligible, a project must either be a new public transportation service or a public transportation alternative beyond those required by the ADA and the project must assist individuals with disabilities with transportation, including

transportation to and from jobs and employment support services. Capital and operating expenses for projects that meet the purpose of the statute are eligible to be funded. The recipient may use up to 10 percent of apportioned funds for administration, planning, and technical assistance.

Applicant Eligibility:

An eligible recipient is defined as a recipient to be designated by the Governor of the State in consultation with local officials and local public transportation providers in urbanized areas above 200,000 in population. In urbanized areas between 50,000 and 200,000 in population and nonurbanized (rural) areas, the State is the eligible recipient. Public agencies, nonprofit agencies, public transportation providers, private transportation providers, and human services transportation providers are eligible to be subrecipients under the New Freedom program.

Beneficiary Eligibility:

Individuals with Disabilities.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in the local coordinated public transit human service transportation plan, an urbanized area's transportation improvement program (TIP), and in the Statewide transportation improvement program (STIP) approved by FTA and FHWA. Information must be provided on legal capacity; coordinated regional planning; and compliance with certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Pursuant to Section 102 (2) (C) of the National Environmental Policy Act of 1969 (Public Law 91-190), 42 U.S.C. 4332 (2) (c), Executive Order 11514 (34 FR 4247) of March 4, 1970, an environmental impact assessment and/or environmental impact statement may be required for construction projects. Applicants must coordinate with: the State or local agencies that administer the State program funded under part A of Title IV of the Social Security Act, public housing agencies, the community to be served and stakeholders. The program is covered under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process to be followed in applying for assistance under this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The project must be a product of the local coordinated public transit human services coordinated plan, competitively selected by the areas recipient, and included in the State Transportation Improvement Program prior to grant award. Recipients will submit applications electronically to the appropriate Federal Transit Administration, FTA, regional office. The FTA regional offices are listed in Appendix IV of the Catalog. Recipients will then distribute funding directly to the subrecipients. After the recipient has competitively selected projects to be funded, the recipient may officially request that FTA allow subrecipients that are eligible to apply directly to FTA for Section 5307 funds be allowed to apply directly to FTA for New Freedom funds awarded. Notification of the grant program may also be found at <http://www.grants.gov>.

Award Procedure:

An FTA New Freedom grant is awarded and funds are obligated in an electronic grant agreement. The recipient must execute the grant agreement, and access FTA's electronic funds management system; the funds management system allows the grantee to drawdown funds after invoices are received. The grantee directs funds to subrecipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

It is a goal of the Federal Transit Administration to obligate grants within 36 days of the submission of a complete grant application.

Appeals:

Not Applicable.

Renewals:

Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Terms and conditions are delineated in the grant agreement. Funds are awarded using an electronic financial management system as funds are expended and invoices received. See the following for information on how assistance is awarded/released: Funds are appropriated annually. Grants may be amended to add newly available funds for a continuing project.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Pursuant to requirements established by the Office of Management and Budget (OMB), nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year with certain exceptions as determined by the OMB. The Federal Transit Administration may also conduct its own audits.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Formula Grants) FY 09 \$84,934,000; FY 10 est \$137,871,510; FY 11 est \$93,063,884 - (Grants) FY 09 \$84,934,000; FY 10 est. \$137,371,510; and FY 11 \$93,063,884.

Range and Average of Financial Assistance:

Project funding varies based on competitive selection process at State or urbanized area level. Urbanized area apportionments range from \$111 to \$2.2 M. for an average of \$150K. State apportionments for small urbanized areas range from \$644 to \$1.5 M for an average of \$115K, and for rural areas from \$459 to \$701K for an average of 160K.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The New Freedom program experienced an 89 percent increase in the amount of funds awarded over the course of FY08 and a wide range of projects have been established under the program. To date, the New Freedom program allowed states and localities to enhance ADA complementary paratransit beyond the minimum requirements of the ADA; provide feeder services; make accessibility improvements to transit and intermodal stations not designated as key stations; provide travel training; purchase vehicles to support new accessible taxi, ride sharing, and/or vanpooling programs; support the administration and expenses related to new voucher programs for transportation services offered by human service providers; support new volunteer driver and aide programs; and support new mobility management and coordination programs among public transportation providers and other human service

agencies providing public transportation. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

1.) Federal Transit Administration Transit Program Changes, Authorized Funding Levels and Implementation of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users, 70 Fed. Reg. 71950, 71968 (Nov. 30, 2005). 2) FTA Circular 9045.1, New Freedom Program Guidelines and Application Instructions, enacted May 1, 2008.

Regional or Local Office:

See Regional Agency Offices. Region 1, Transportation Systems Center, Kendall Square, 55 Broadway, Suite 920 Cambridge, MA 02142-1093. Telephone: (617) 494-2055 Region 2, One Bowling Green, Room 429, New York, NY 10004-1415. Telephone: (212) 668-2170, Region 3, 1760 Market Street, Suite 500, Philadelphia, PA 19103-4124. Telephone: (215) 656-7100, Region 4, Atlanta Federal Center, Suite 17T50, 61 Forsyth St., S.W. Atlanta, GA 30303. Telephone: (404) 562-3500, Region 5, 200 West Adams Street, Suite 320, Chicago, IL 60606. Telephone: (312) 353-2789, Region 6, 819 Taylor Street, Room 8A36, Fort Worth, TX 76102. Telephone: (817) 978-0550, Region 7, 901 Locust Ste. 404, Kansas City, MO 64106. Telephone: (816) 329-3920, Region 8, 12300 West Dakota Ave. Suite 310 Lakewood, CO 80228-2583. Telephone: (720) 963-3300 Region 9, 201 Mission Street, Room 2210 San Francisco, CA 94105-1839. Telephone: (415) 744-3133 Region 10, Jackson Federal Building, 915 Second Avenue, Suite 3142, Seattle, WA 98174-1002. Telephone: (206) 220-7954 LOWER MANHATTAN RECOVERY OFFICE (LMRO), 1 Bowling Green, Room 436 New York, NY, 10004. Telephone: (212) 668-1770.

Headquarters Office:

Gil Williams 1200 New Jersey Ave., S.E , Washington, District of Columbia 20590 Phone: (202) 366-0797

Website Address:

http://www.fta.dot.gov/funding/grants/grants_financing_3549.html

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Enhancing ADA complementary paratransit services beyond the ADA; 2) New Feeder services; 3) Making accessibility improvements to transit and intermodal stations not designated as key stations; 4) travel training; 5) volunteer driver and aide programs; 6) Accessible taxi, ridesharing, or vanpooling programs 7) mobility management. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Per Congressional designation, recipients must conduct a competition to determine which projects should be funded.

20.522 ALTERNATIVES ANALYSIS**FEDERAL AGENCY:**

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU), 109 Stat. 59, 49 U.S.C 533.

OBJECTIVES:

To assist in financing the evaluation of all reasonable modal and multimodal alternatives and general alignment options for identified transportation needs in a particular, broadly defined travel corridor.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to assist State and local governmental authorities in conducting alternatives analyses as part of the early planning for capital projects for new fixed guideway systems and extensions to existing fixed guideway systems.

Applicant Eligibility:

Public agencies, including States; municipalities and other subdivisions of States; public agencies and instrumentalities of one or more States; and public corporations, boards, and commissions established under State law. Applicant must have legal, financial, and technical capacity to carry out proposed project and maintain facilities and equipment purchased with Federal assistance.

Private non-profit organizations are not directly eligible recipients.

Beneficiary Eligibility:

The general public, both users and non-users of public transportation and public agencies. Private consultants may participate through contractual arrangements with a public agency grantee.

Credentials/Documentation:

Resolution by an authorized public body approving the filing for an application; projects must be included in an urbanized area's Unified Planning Work Program (UPWP) and approved by FTA and FHWA. Applicant must complete certifications and assurances as compiled in FTA's Annual List of Certifications and Assurances. Cost will be in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. An environmental impact statement may be required for this program. An environmental impact statement may also be required upon completion of the review. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Award Procedure:

Project funds may be allocated by Congress for specific projects. When applicable, a Notice of Funding Availability is published to announce the availability of discretionary funds and application process. The notification and application are also available at <http://www.grants.gov>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

FTA awards more than 80 percent of grants within 60 days of receipt of a completed application, with an average processing time of 36 days or less.

Appeals:

Not Applicable.

Renewals:

Funding is made available annually, FY 2006-2010, through the appropriations process. Grants may be amended to add newly available funds for a continuing project.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds for Alternatives Analysis projects are allocated based on statutory or discretionary basis. A grant may be made up to 80 percent of total project cost.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Terms and conditions are contractually agreed on between FTA and the applicant. The grants may be awarded in one lump sum or in smaller increments as specified by the grantee. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Progress reports; (2) Financial status reports. SF-272. (1) Progress reports; (2) Financial status reports. SF-269. (1) Progress reports; (2) Financial status reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of final expenditure report, pending resolution of audit findings, all project contract documents, financial records, and supporting documents.

Account Identification:

69-8350-0-7-401.

Obligations:

(Project Grants (for specified projects)) FY 09 \$11,271,000; FY 10 est \$38,423,866; FY 11 est \$25,949,872 - FY 09 act \$11,271,000; FY 10 \$38,423,866; and FY 11 \$25,949,872.

Range and Average of Financial Assistance:

In FY 2006 Congressional designations range from \$300,000 to \$2,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program will support alternatives analysis for over 26 new fixed guideway transit systems, ultimately resulting in more effective decision making at the local level and to support future Federal investment in major capital projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Please visit the Federal Transit Administration (FTA) website or contact the appropriate FTA Region office for more information. See Federal Register/ Vol.70, No. 229/ Wednesday, November 30, 2005/ Notices.

Regional or Local Office:

See Regional Agency Offices. See list of regional office contacts located in Appendix IV.

Headquarters Office:

Maurice Foushee, 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Phone: (202) 366-1636.

Website Address:

<http://www.fta.dot.gov>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction; 20.500 Federal Transit_Capital Investment Grants; 20.505 Federal Transit_Metropolitan Planning Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects funded include studies conducted as part of the transportation planning process to include an assessment of a wide range of public transportation alternatives designed to address a transportation problem in a specific corridor. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Major, non-recurring capital investment Congressional designation.

20.523 CAPITAL ASSISTANCE PROGRAM FOR REDUCING ENERGY CONSUMPTION AND GREENHOUSE GAS EMISSIONS

Transit Investments for Greenhouse Gas and Energy Reduction or "TIGGER" Grants

FEDERAL AGENCY:

Federal Transit Administration (FTA), Department of Transportation

AUTHORIZATION:

The American Recovery and

Reinvestment Act (ARRA) , Public Law 111-5; The Consolidated Appropriations Act, 2010 , Public Law 111-117.

OBJECTIVES:

To assist public agencies that provide transit service in financing the acquisition of capital assets to reduce energy consumption or greenhouse gas emissions.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Eligible projects include the purchase of transit capital assets that will reduce energy consumption or greenhouse gas emissions. Public Transportation Agencies. Applicants must have the legal, financial, and technical capacity to carry out the proposed project and maintain facilities and equipment purchased with federal assistance. The program is a 100% discretionary program.

Applicant Eligibility:

Only public transportation agencies are eligible recipients.

Beneficiary Eligibility:

Only public transportation agencies are eligible recipients.

Credentials/Documentation:

Grants and cooperative agreements: Initial proposal should include project objectives, background, and project description, including time schedule, budget, and applicant's organizational experience. Legal opinion and compliance with labor requirements are required later. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. This program is subject to the provisions of 49 CFR Part 18 for State and local governments.

Award Procedure:

After a competitive selection process, the Federal Transit Administrator, Federal Transit Administration, selects projects to be allocated funds. An FTA grant or cooperative agreement award obligating Federal funds is reflected in a grant agreement or cooperative agreement. To access funds, the recipient must execute the grant agreement or cooperative agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies with the complexity of the project. ARRA funded projects must be fully expended by September 30, 2015. Method of awarding/releasing assistance: by letter of credit.

Reports:

(1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and

final by electronic filing. SF-425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. Electronic filing is preferred. SF-425. (1) Quarterly financial; (2) quarterly or monthly progress; and (3) interim and final. Electronic filing is preferred.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients shall retain records for 3 years following submission of a final expenditure report, pending resolution of audit findings, all project contracts documents, financial records, and supporting records.

Account Identification:

69-1101-0-1-401.

Obligations:

(Project Grants) FY 09 \$100,000,000; FY 10 est \$75,000,000; FY 11 est not reported. - ARRA appropriated \$100M. The FY 2010 Appropriations included \$75M.

Range and Average of Financial Assistance:

\$250,000 to \$10,800,000. Average: \$2,300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The projects under the ARRA funding were selected in FY2009, however, obligations of those funds were awarded in FY10. Fiscal Year 2010: FY10 appropriations are currently going through the award process. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fiscal Year 2010 Annual List of Certifications and Assurances for Federal Transit Administration Grants and Cooperative Agreements; Notice published October 1, 2009. For other fiscal years, contact the FTA Office of Research Demonstration and Innovation to obtain the publication dates. FTA website www.fta.dot.gov. Other information will be made available upon request.

Regional or Local Office:

See Regional Agency Offices. Vince Valdes, Associate Administrator for Research, Demonstration and Innovation (TRI-1), Federal Transit Administration, Department of Transportation, 1200 New Jersey Avenue, S.E., Washington, DC 20590. Telephone: (202) 366-4052. Linda Wolfe, Office of Research, Demonstration and Innovation, (202) 366-8511.

Headquarters Office:

Sylvia L. Marion, 1200 New Jersey Ave. S.E., Washington, District of Columbia 20590 Phone: (202) 366-6680.

Website Address:

<http://www.fta.dot.gov/research>

RELATED PROGRAMS:

20.500 Federal Transit_Capital Investment Grants; 20.507 Federal Transit_Formula Grants; 20.515 State Planning and Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following ARRA projects were selected in FY2009, they include d hybrid electric bus purchases, photovoltaic installations for bus or rail facilities, wayside energy storage systems for subway systems, heating upgrades at bus maintenance facilities, diesel bus energy efficiency improvements, wind energy generation for bus or rail facilities, zero-emission electric buses, and lighting upgrades for transit facilities. Fiscal Year 2010: ARRA funded projects selected in FY2009, were obligated funding in FY2010. Projects under the FY2010 appropriation are currently being selected. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected on the following criteria: energy savings of the project

and/or greenhouse gas emission reductions of the project; innovation; cost; national applicability, project readiness, project management capabilities, return on investment, and geographic diversity.

20.600 STATE AND COMMUNITY HIGHWAY SAFETY

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1966, as amended, 23 U.S.C 401.

OBJECTIVES:

To provide a coordinated national highway safety program to reduce traffic crashes, deaths, injuries, and property damage.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Formula grant funds may be used for problems identified within the nine national priority program areas of Alcohol and other Drug Countermeasures, Police Traffic Services, Occupant Protection, Traffic Records, Emergency Medical Services, Motorcycle Safety, Pedestrian/Bicycle Safety, Speed Control and Roadway Safety. Other program areas identified by a State as constituting a highway safety problem in that State, e.g., pupil transportation safety programs, may be eligible for Federal funding, as encompassing a major highway safety problem in the State and for which effective countermeasures have been identified. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State. The law provides that at least 40 percent of these Federal funds apportioned to a State for any fiscal year will be expended by the political subdivisions of such State.

Applicant Eligibility:

States, federally recognized Indian tribes, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, and the Virgin Islands.

Beneficiary Eligibility:

Political subdivisions, through the State Highway Safety Agencies.

Credentials/Documentation:

Performance plan approved by the agency is required and Highway Safety plan, certification statement, and Program Cost Summary. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. State Plan due date is September 1 for the formula grant program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

NHTSA Regional Administrators and FHWA Division Administrators review each State's Performance Plan and Highway Safety Plan. This program is exempt from the standard application forms required by the Government-wide Common Grant Rule for State, Local, and Indian Tribal Governments. Assistance from NHTSA and FHWA is continuously available to participants in this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Submission of Highway Safety Plan covering State and community highway safety activities for the year to NHTSA regional offices.

Award Procedure:

Awards are made by NHTSA Regional Administrators.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Two months.

Appeals:

Appeals of decisions by NHTSA Regional Administrators are to the NHTSA Associate Administrator for Regional Operations and Program Delivery.

Renewals:

Each year, States submit Performance Plans and Highway Safety Plans as part of continuing formula grant program.

Formula and Matching Requirements:

Statutory Formula: Title 49 CFR, Chapter 1240.11, 1240.12, 1240.13. Matching Requirements: Percent: 75%. 75 percent apportioned on total resident population; 25 percent apportioned against public road mileage in States. Federal share shall not exceed 80 percent or applicable sliding scale. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of funds method. Funds placed under obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

States are required to submit annual program reports to the Federal Highway Administration and to the National Highway Traffic Safety Administration. No cash reports are required. Progress reports are not applicable. SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8020-0-7-401; 69-8019-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$234,870,000; FY 10 est \$235,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

The range is \$587,000 to \$21,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The federally assisted State and Community Highway Safety programs have contributed to reducing and, subsequently, leveling out the sharp rise in traffic deaths in the period from 1966 to 2006. The fatality rate per hundred million passenger miles has been reduced from 5.5 in 1966 to 1.12 in 2008. This has been achieved in spite of more cars, more drivers, and more miles traveled on our Nation's highways. Fiscal Year 2010: Additional funds will be awarded for this program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Chapter II, State and Community Highway Safety Grant Program; Manual on Uniform Traffic Control Devices; Handbook of Highway Safety Design and Operating Practice, available from Government Printing Office, Washington, DC 20402.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Phone: (202) 366-2715.

Website Address:

<http://www.nhtsa.whatsup/fedassist/index.html>.

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Selective traffic enforcement programs, both at the State and local level funds were used for equipment (police vehicles, communications, speed detection devices, breath testing devices, etc.), training police personnel, and overtime salaries. Upgrading of Emergency Medical Services at the local level: funds were used for training of EMS personnel, survey of EMS needs, and salaries for statewide EMS coordinators. Programs to reduce alcohol-related crashes: Funds were used for studies to identify the magnitude of the problem, personnel service (police, investigators, and court personnel), equipment (breath testing devices radar equipment), and training of involved personnel to detect the drinking driver. Traffic records systems: Funds were used for survey of needs and requirements, system designs, system implementation, ADP equipment and supplies, traffic records, personnel, and training. Occupant Protection programs: funds were used to develop and distribute public information and education materials relating to the use of safety belts and infant/child safety seats, and the implementation of infant/child safety seat loaner programs. Networks of public and private agencies and groups were developed and assisted regarding programs to increase awareness of the benefits of occupant protection, and to increase overall use. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Federal/State relationship for the highway safety grant program is a partnership. Each State is given flexibility in the administration of its own highway safety program. Federal funds are obligated in support of a highway safety program based on problems identified by the State. States have latitude in determining projects upon which they spend their Federal monies.

20.601 ALCOHOL IMPAIRED DRIVING COUNTERMEASURES INCENTIVE GRANTS I

Alcohol Impaired Driving Countermeasures Incentive Grants

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004, Section 2007, Public Law 109-59, 23 U.S.C 410.

OBJECTIVES:

To encourage States to adopt effective programs to reduce crashes resulting from persons driving while under the influence of alcohol.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement effective programs to reduce drunk and drugged driving, in compliance with established criteria.

Applicant Eligibility:

States, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. States are provided with two alternative means to qualify for a Section 410 grant. Under the first alternative, States may qualify as a low fatality rate State if they have an alcohol-related fatality rate of 0.5 or less per 100 million vehicle miles traveled (VMT). Under the second alternative, States may qualify as a programmatic State if they demonstrate that they meet three of eight grant criteria for fiscal year 2006, four of eight grant criteria for fiscal year 2007, and five of eight grant criteria for fiscal years 2008 and 2009. Qualifying under both alternatives would not entitle the State to receive additional grant funds. SAFETEA-LU directs that States with low alcohol-related fatality rates, based on the agency's Fatality Analysis Reporting System (FARS), be awarded grants without the need to satisfy any of these programmatic criteria. There is also an additional Section 410 grant available to assist the 10 States with the highest impaired driving related fatalities as determined by the most recent FARS data.

Beneficiary Eligibility:

State Highway Safety Agency.

Credentials/Documentation:

State submits certification and application that it meets eligibility requirements; after being informed by the National Highway Traffic Safety Administration (NHTSA) that it is eligible for a grant, State submits to the agency a plan that describes the programs the State will implement using the funds. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State submits certification and application that it meets eligibility requirements; after being informed that it is eligible for a grant, State submits to the agency a plan that describes the programs the State will implement using the funds.

Award Procedure:

The State submits information that reflects its eligibility for the award based on the criteria for which it is applying. NHTSA staff review the information to determine the eligibility of the State. If the State is deemed eligible to receive an award, it will be notified by the Region and will also receive an official letter of award from the NHTSA Administrator. The release of grant funds shall be subject to the availability of funds for that fiscal year.

Deadlines:

Aug 01, 2009 Applications would be required to be submitted to the agency no later than August 1 of the fiscal year in which the States are applying for grant funds.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

States are eligible for up to 4 years under the 410 program. Certification and plan must be approved each year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program plan for next year's funding. No cash reports are required. a progress report on the prior year's program and accomplishments is required. SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records relating to the program shall be retained for a period not less than 3 years from the date of submission of final financial report.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 09 \$138,500,000; FY 10 est \$139,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

\$986,797 to \$11,968,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 46 States and PR received Section 410 grants to enhance programs focused on impaired driving. Implementation of impaired driving activities found in the Programmatic Criteria section, as well as costs for high visibility enforcement; the costs of training and equipment for law enforcement; the costs of advertising and educational campaigns that publicize checkpoints, increase law enforcement efforts and target impaired drivers under 34 years of age; the costs of a State impaired operator information system, and the costs of vehicle or license plate impoundment. In FY 2009, 4643 states and Puerto Rico received grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR Part 1313.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of National Highway Traffic Safety Administration regional office addresses.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

www.nhtsa.dot.gov

RELATED PROGRAMS:

20.600 State and Community Highway Safety

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Driving while intoxicated (DWI) prevention programs with special emphasis on law enforcement of drunk driving laws and public awareness, advertising and education about such laws; police training in a variety of drunk and impaired driving issues; and the procurement of technology and equipment, including video equipment, and passive alcohol sensors. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States must meet criteria established by statute and regulation including, for example, prompt license suspension for drunk driving, mandatory sentencing for repeat drunk driving offenders and self-sustaining drunk driving prevention programs.

20.602 OCCUPANT PROTECTION INCENTIVE GRANTS

Occupant Protection Incentive Grants

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59, 23 U.S.C 402.

OBJECTIVES:

To encourage States to adopt effective programs to reduce highway deaths and injuries resulting from individuals riding unrestrained or improperly restrained in motor vehicles.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to implement and enforce occupant protection programs, in compliance with established criteria.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Marianas, Virgin Islands, and the Bureau of Indian Affairs.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification and application that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

NHTSA Regional Administrator coordinates qualification process with the Office of Injury Control Operations and Resources. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Certifications, signed by the Governor's Representative for Highway Safety, are due no later than February 15 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a State must submit the certification required by Federal Register, Vol. 7, No. 218, Monday, November 14, 2006, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 405 grant. The letter also describes the amount of the grant award.

Deadlines:

Feb 15, 2010 Application proposals and certifications are due by February 15 of each year.

Range of Approval/Disapproval Time:

From 15 to 30 days. Program plan and funds should be obligated within 30 days of receipt of award letter.

Appeals:

Not Applicable.

Renewals:

States are eligible for up to 6 years under this program. They must be certified and a plan must be submitted each year.

Formula and Matching Requirements:

Statutory Formula: Title 49.

Matching Requirements: Under the 405 program, basic grant funding is up to 100 percent of their Section 402 apportionment for FY 2003. The Federal share shall not exceed 75 percent in the first and second years, 50 percent in the third and fourth years, and 25 percent in the fifth and subsequent years.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended.

Method of awarding/releasing assistance: lump sum.

Reports:

Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments. Cash reports are not applicable. Annual program plan for next year's funding must include a progress report on the prior year's program and accomplishments. SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants) FY 09 \$25,000,000; FY 10 est \$25,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

The range is \$156,643 -\$3,011,640.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 37 States, DC, PR, and 2 Territories received Section 405 grants to improve and enhance occupant protection systems and child passenger safety. In FY 20082009, 36 37 States, DC, Puerto Rico and 2 Territories received Section 405 grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Part 1345, Section 2004, Public Law 109-59, as amended, 23 U.S.C. 402.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States must meet 4 out of 6 criteria established by statute and regulation including safety belt use law for all passengers, primary safety belt use law, minimum fine or penalty points, statewide special traffic enforcement programs, child passenger protection education program, and child passenger protection law.

20.607 ALCOHOL OPEN CONTAINER REQUIREMENTS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1998, as amended, 23 U.S.C 154.

OBJECTIVES:

To encourage States to enact and enforce an alcohol open container law.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A State may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program. Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws. A State may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing a Repeat Intoxicated Driver Law, then a State meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. NHTSA Regional Administrators coordinate the qualification process with the Office of Injury Control Operations and Resources. This program is eligible for coverage under Executive Order 12372, Intergovernmental Review of Federal Programs.

Award Procedure:

Once the State provides the agency with a split letter to NHTSA, the State will be given access to the transfer and can obligate the funds.

Deadlines:

Sep 30, 2010 States must have a compliant law by September 30 of each year.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicant should receive a response from the agency, between 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

States are eligible for the penalty for up to 4 years.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 1270.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. SF -269. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$103,121,656; FY 10 est \$114,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Eleven States and PR received Section 154 transfer funds directed at impaired driving programs or hazard elimination. States and Puerto Rico received Section 154 transfer funds. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

National Highway Traffic Safety Administration, 1200 New Jersey Avenue, S.E., NTI-140, Washington, District of Columbia 20590 Email: national911@dot.gov Phone: (202) 366-3485.

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.608 MINIMUM PENALTIES FOR REPEAT OFFENDERS FOR DRIVING WHILE INTOXICATED

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1998 as amended, 23 U.S.C 164.

OBJECTIVES:

To encourage States to enact and enforce Repeat Intoxicated Offender laws.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving

under the influence (DUI) and other related laws. A state may elect to use all or part of its transferred funds for activities eligible under Section 152 Hazard Elimination program. Funds transferred from NHTSA must be used for alcohol-impaired driving countermeasures or enforcement of driving while intoxicated (DWI), driving under the influence (DUI) and other related laws.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

If a State has not enacted and is not enforcing a Repeat Intoxicated Driver Law, then a state meets eligibility requirements. After being informed by NHTSA that it is eligible for a grant, the state submits to the agency a plan that describes the programs the state will implement using the funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

NHTSA Regional Administrators coordinate the qualification process with the Office of Injury Control Operations and Resources. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. If a state has not enacted and is not enforcing a Repeat Intoxicated driver Law, then a state meets eligibility requirements. After being informed that it is eligible, the state submits to the agency a plan that describes the program it will implement using the funds.

Award Procedure:

Awards will be made by the NHTSA Regional Administrator.

Deadlines:

Sept 30, 2010 States must have a compliant law by September 30 each year.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicant should receive a response from the agency between 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

States are eligible for funding for the penalty for up to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the electronic transfer of funds method of payment. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual report required under the section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. No cash reports are required. Annual report required under the section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 09 \$137,572,128; FY 10 est \$171,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

The grants range from \$2,489,000 - \$54,546,00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Eleven States and PR received Section 164 transfer funds to address impaired driving and hazard elimination issues. States and Puerto Rico received Section 164 transfer funds. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

23 CFR 1270.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of NHTSA.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

<http://www.nhtsa/whatsup/fedassist/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.609 SAFETY BELT PERFORMANCE GRANTS

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 406, 23 U.S.C 408.

OBJECTIVES:

Increase safety belt use by encouraging States to enact and enforce primary safety belt laws. A primary safety belt law permits law enforcement officers to stop and cite motorists for failing to wear safety belts without requiring that some other motor vehicle violation first be observed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A state may use grant funds for any safety purpose under Title 23 or for any project that corrects or improves a hazardous roadway location or feature or proactively addresses highway safety problems. However, at least \$1 million of amounts received by States must be obligated for behavioral highway safety activities. At least \$1 million of amounts received by States must be obligated for behavioral highway safety activities.

Applicant Eligibility:

The 50 States, District of Columbia, Puerto Rico, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam and the Virgin Islands

are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States that apply as either New Primary Law States or Pre-2003 Primary Law States must submit a certification that their primary safety belt law is in effect and is being enforced, and must also provide a citation where a copy of the law may be obtained for review. States that apply as Safety Belt Performance States must submit the result of a statewide safety belt observational survey for each of the two calendar years preceding the fiscal year of the grant application, along with certifications that the surveys were conducted in conformance with NHTSA's Uniform Criteria for State Observational Surveys of Seat Belt Use (23 CFR Part 1340). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A State is eligible for a grant if it did not have a conforming primary safety belt law for all passenger motor vehicles in effect on or before December 31, 2002, and either: Enacts for the first time after December 31, 2002, and has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles (States meeting this criterion are called New Primary Law States); or, After December 31, 2005, has a State safety belt use rate of 85 percent or more for each of the 2 consecutive calendar years immediately preceding the fiscal year of the grant (States meeting this criterion are called Safety Belt Performance States). A State that meets either of the above two criteria will receive a one-time grant equal to 475 percent of the State's apportionment under Section 402 for fiscal year 2003. If a State does not meet either of the above two criteria, and if funds remain after grants have been awarded to all States that do meet either of the two criteria by July 1 each year, the State will qualify for a one-time grant equal to 200 percent of its apportionment under Section 402 for fiscal year 2003 if it has in effect and is enforcing a conforming primary safety belt law for all passenger motor vehicles that was in effect before January 1, 2003 (States in this category are called Pre-2003 Primary Law States). States that are applying as either New Primary Law States or Pre-2003 Primary Law States must submit the required certification and citation (see Credentials / Documentation above) by July 1 of the year of the grant application. States applying as Safety Belt Performance States must submit the survey result and related certification for each of the two consecutive calendar years preceding the year of the grant application by March 1 of the calendar year immediately following the year in which the survey was conducted.

Award Procedure:

NHTSA will review the information contained in each State's application for compliance with Section 406 and notify qualifying States in writing of grant awards.

Deadlines:

Jul 01, 2010 States applying as either New Primary Law States or Pre-2003 Primary Law States must submit the required certification and citation by July 1 of the year of the grant application. States applying as Safety Belt Performance States must submit the safety belt survey result and related certification by March 1 of the year immediately following the year in which the survey was conducted.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 406.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's Section 406 program for behavioral highway safety activities. No cash reports are required. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's Section 406 program for behavioral highway safety activities. SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$69,807,597; FY 10 est \$124,500,000; FY 11 est not reported.

Range and Average of Financial Assistance:

The range for these grants are \$165,441 38,504,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 29 States, DC, PR, and 4 Territories received Section 406 grants to address traffic safety problems, particularly occupant protection, in their states. 29 States, District of Columbia, Puerto Rico and 4 territories received Section 406 grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Behavioral highway safety activities to which Section 406 funds are likely to be applied include but are not limited to highly visible

enforcement of impaired driving and safety belt violations; paid and earned media campaigns to support stepped up enforcement mobilizations and crackdowns; motorcycle rider education; pedestrian safety; speed management; DWI courts; and, technical training of traffic law enforcement officers, prosecutors and state highway safety officials. Fiscal Year 2010: Please describe criteria for selecting proposals: Are the criteria based on the cost of the project, qualification of principal investigator and institution, relevance to program's objectives and uses, or merit? Is there an order of priority? If the Code of Federal Regulations is used for this section extract the salient points of interest to an applicant. Do not cite the Code verbatim. Applications from States attempting to qualify as New Primary Law States or Pre-2003 Primary Law States will be reviewed to determine if the State is enforcing a primary safety belt use law that applies to all passenger motor vehicles. Applications from States attempting to qualify as Safety Belt Performance States will be reviewed to determine if the State' safety belt use rate was at or above 85 percent during each of the two consecutive calendar years immediately preceding the year of the grant application. To qualify for a first-year grant, a State must demonstrate that it has an established multi-disciplinary highway safety data and traffic records coordinating committee; a developed multi-year safety data and traffic records strategic plan, approved by the coordinating committee and containing performance-based measures; certify that the State has adopted and is using the model data elements determined by the Secretary to be useful, or certify that grant funds will be used toward adopting and using the most elements practicable. To qualify for a subsequent-year grant, a State must certify that an assessment or audit of the State traffic records system has been conducted or updated within the preceding 5 years; certify that the coordinating committee continues to operate and supports the multi-year plan; specify how the grant funds and any other funds of the State will support the multi-year strategic plan; demonstrate measurable progress toward achieving the goals and objectives identified in the multi-year plan; and submit a report, showing measurable progress in the implementation of the multi-year plan. The 50 States, District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands and Indian tribes through the Bureau of Indian Affairs are eligible to apply for a grant. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications from States attempting to qualify as New Primary Law States or Pre-2003 Primary Law States will be reviewed to determine if the State is enforcing a primary safety belt use law that applies to all passenger motor vehicles. Applications from States attempting to qualify as Safety Belt Performance States will be reviewed to determine if the State' safety belt use rate was at or above 85 percent during each of the two consecutive calendar years immediately preceding the year of the grant application. To qualify for a first-year grant, a State must demonstrate that it has an established multi-disciplinary highway safety data and traffic records coordinating committee; a developed multi-year safety data and traffic records strategic plan, approved by the coordinating committee and containing performance-based measures; certify that the State has adopted and is using the model data elements determined by the Secretary to be useful, or certify that grant funds will be used toward adopting and using the most elements practicable. To qualify for a subsequent-year grant, a State must certify that an assessment or audit of the State traffic records system has been conducted or updated within the preceding 5 years; certify that the coordinating committee continues to operate and supports the multi-year plan; specify how the grant funds and any other funds of the State will support the multi-year strategic plan; demonstrate measurable progress toward achieving the goals and objectives identified in the multi-year plan; and submit a report, showing measurable progress in the implementation of the multi-year plan. The 50 States, District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands and Indian tribes through the Bureau of Indian Affairs are eligible to apply for a grant.

20.610 STATE TRAFFIC SAFETY INFORMATION SYSTEM IMPROVEMENT GRANTS**FEDERAL AGENCY:**

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, 23 U.S.C 406-408.

OBJECTIVES:

Encourage States to adopt and implement effective programs to improve the timeliness, accuracy, completeness, uniformity, integration and accessibility of State data; to evaluate the effectiveness of efforts to make such improvements; to link these State data systems, including traffic records, with other data systems within the State; and to improve the compatibility of the State data systems with national data systems and data systems of other States to enhance the ability to observe and analyze national trends in crash occurrences, rates, outcomes, and circumstances.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A State may use grant funds only to implement data improvement programs. A State may use grant funds only to implement data improvement programs.

Applicant Eligibility:

To qualify for a first-year grant, a State must demonstrate that it has an established multi-disciplinary highway safety data and traffic records coordinating committee; a developed multi-year safety data and traffic records strategic plan, approved by the coordinating committee and containing performance-based measures; certify that the State has adopted and is using the model data elements determined by the Secretary to be useful, or certify that grant funds will be used toward adopting and using the most elements identified in the multi-year plan; and submit a report, showing measurable progress in the implementation of the multi-year plan. The 50 States, District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands and Indian tribes through the Bureau of Indian Affairs are eligible to apply for a grant.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

A State would submit information unique to each criterion for which it chooses to demonstrate compliance. After being informed by NHTSA that it is eligible for a grant, the state submits to the agency a plan that describes the programs the state will implement using these funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To apply for a first fiscal year grant, a State must submit the certification required by Appendix 1, signed by the Governor's Representative for Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year. To apply for a successive fiscal year grant, a State must submit the certification required by Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Administrator no later than June 15 of the fiscal year.

Award Procedure:

NHTSA will review each State's application for compliance with section 408 and notify qualifying States in writing of grant awards. SAFETEA-LU provides that the amount of each first fiscal year grant shall be the higher of \$300,000 or an amount determined by multiplying the amount appropriated to

carry out the section 408 Program for that fiscal year by the ratio that the funds appropriated to the State under Section 402 for FY 2003 bears to the funds apportioned to all eligible States under Section 402 for FY 2003. Each State that qualifies for a successive fiscal year shall be eligible to receive the higher of \$500,000 or an amount determined by multiplying the amount appropriated to carry out the Section 408 Program for that fiscal year by the ratio that the funds apportioned to all eligible States under Section 402 for FY 2003.

Deadlines:

Jun 15, 2009 States must submit their certifications by June 15 (each year).

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

States are eligible for this grant for up to four years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. SF-272. The Annual Report required under Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. SF-269/425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-0605-0-1-401.

Obligations:

(Project Grants) FY 09 \$34,500,000; FY 10 est \$34,500,000; FY 11 est not reported. - FY 2010 estimate: \$34,500,000.

Range and Average of Financial Assistance:

The range is \$500,00- \$2,344,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 50 States, DC, and 4 Territories received Section 408 grants to improve their traffic records systems statewide. Fiscal Year 2010: Expenditure of \$34,500,000 in grant awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Public Law 109-59; 23 U.S.C. 408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety

Administration.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

http://webster.nhtsa.dot.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The State Traffic Safety Information System Improvement Grants provide funding to improvement of State data systems, the evaluation of efforts to make improvements, link State data systems, including traffic records with other data systems that contain medical, roadway, and economic data; and improve the compatibility and interoperability of the States data systems with national traffic safety data systems and data systems of other States and enhance NHTSA's ability to observe and analyze national trends in crash occurrence, rates, and circumstances. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the section 408 by demonstrating that it has an established Traffic Records Coordinating Committee (TRCC), a multi-year safety data and traffic records strategic plan approved by the TRCC, certify that the State has adopted and is using the Model Minimum Uniform Crash Criteria (MMUCC) or certify that it will use grant funds toward adopting and using the most elements practicable. To qualify in subsequent years, a State must certify that an assessment or audit of the State traffic records system has been conducted in the past five years, certify that the TRCC continues to support and operate the multi-year plan and submit a report showing measurable progress in the implementation of the multi-year plan.

20.611 INCENTIVE GRANT PROGRAM TO PROHIBIT RACIAL PROFILING

(Section 1906)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Public Law 109-59, 23 U.S.C 402.

OBJECTIVES:

Encourage States to enact and enforce laws that prohibit the use of racial profiling in the enforcement of traffic laws on Federal-aid highways, and to maintain and allow public inspection of statistics on motor vehicle stops.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Incentive grant funds are available to States to enact and enforce laws to prohibit racial profiling, in compliance with the following established criteria. Law States may use Section 1906 grant funds for: collecting and maintaining data on traffic stops; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. Assurances States may use Section 1906 grant funds for: funding activities to prohibit racial profiling in the enforcement of State laws regulating the use of Federal-aid highways; collecting, maintaining and providing public access to traffic stop data; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. A State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State. A State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Applicant Eligibility:

This Grant is available to the 50 states, the District of Columbia, Puerto Rico, the Virgin Islands, Guam American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

State Highway Safety agencies.

Credentials/Documentation:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. All certifications must be submitted by July 1. To apply for grant funds in a fiscal year, a Law State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 1 and an Assurances State must submit the certification required by Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Jul 01, 2010 Applications must be received by the appropriate NHTSA Regional Office on or before July 1 of the fiscal year for which a State seeks a grant.

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year; however, a State may not receive a grant for more than two fiscal years if it is qualifying for the grant as an Assurances State.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Sf-272. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. Sf-269/425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 09 \$2,674,178; FY 10 est \$7,500,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Average grant is for \$668,544.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Four states received Section 1906 grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906, Pub. L.109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 22, Thursday, February 2, 2006.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

<http://webster.nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Incentive Grant Program to Prohibit Racial Profiling provides funding for the following projects: collecting, maintaining and providing public access to traffic stop data; evaluating the results of such data; and developing and implementing programs to reduce the occurrence of racial profiling, including programs to train law enforcement officers. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 1906 Program in one of two ways: (a) by enacting and enforcing a law that prohibits the use of racial profiling in the enforcement of State laws regulating the use of Federal-aid highways and maintaining and allowing public inspection of statistical information on the race and ethnicity of the driver and any passengers for each such motor vehicle stop made by a law enforcement officer on a Federal-aid highway (a Law State); or (b) by providing satisfactory assurances to the Secretary that the State is undertaking activities to prohibit racial profiling and to maintain and provide public access to data on the race and ethnicity of the driver and passengers for each motor vehicle stop made by a law enforcement officer on a Federal-aid highway (an Assurances State).

20.612 INCENTIVE GRANT PROGRAM TO INCREASE MOTORCYCLIST SAFETY

(Section 2010)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59.

OBJECTIVES:

Encourage States to adopt and implement effective programs to reduce the number of single and multi-vehicle crashes involving motorcyclists.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

As specified in SAFETEA-LU, a State may use section 2010 grant funds only for motorcyclist safety training and motorcyclist awareness programs, including: (1) Improvements to motorcyclist safety training curricula; (2) Improvements in program delivery of motorcycle training to both urban and rural areas (including procurement or repair of practice motorcycles; instructional materials; mobile training units; and leasing or purchasing facilities for closed-course motorcycle skill training); (3) Measures designed to increase the recruitment or retention of motorcyclist safety training instructors; and (4) Public awareness, public service announcements, and other outreach programs to enhance driver awareness of motorcyclists. The State may sub-allocate funds to non-profit organizations incorporated in the State. A State may use section 2010 grant funds only for motorcyclist safety training and motorcyclist awareness programs, including: (1) Improvements to motorcyclist safety training curricula; (2) Improvements in program delivery of motorcycle training to both urban and rural areas (including procurement or repair of practice motorcycles; instructional materials; mobile training units; and leasing or purchasing facilities for closed-course motorcycle skill training); (3) Measures designed to increase the recruitment or retention of motorcyclist safety training instructors; and (4) Public awareness, public service announcements, and other outreach programs to enhance driver awareness of motorcyclists. The State may sub-allocate funds to non-profit organizations incorporated in the State.

Applicant Eligibility:

This Grant is available to the 50 states, the District of Columbia, and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

Eligibility for the section 2010 grants is based on 6 specified grant criteria. To qualify for a section 2010 grant for the first fiscal year the State seeks to qualify, it must demonstrate compliance with at least 1 of the 6 grant criteria. To qualify for a section 2010 grant for the second and subsequent fiscal years that it seeks to qualify, a State must demonstrate compliance with at least 2 of the 6 grant criteria. A State would submit information unique to each criterion for which it chooses to demonstrate compliance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. To apply for grant funds in a fiscal year, a State must submit a completed application to the appropriate NHTSA Regional Administrator by the due date. NHTSA will review each State's application for compliance with section 2010 and notify qualifying States in writing of grant awards. Upon initial review of the application, the proposed procedures would allow NHTSA to request additional information from the State prior to making a determination of award in order to clarify compliance with the statutory criteria and grant application procedures.

SAFETEA-LU specifies that the amount of a grant made to a State for a fiscal year under this grant program may not be less than \$100,000 and may not exceed 25 percent of the amount apportioned to the State for fiscal year 2003 under section 402 of title 23, United States Code. However, the release of the full grant amounts under section 2010 is subject to the availability of funding for each fiscal year.

Award Procedure:

NHTSA will review each State's application for compliance with section 2010 and notify qualifying States in writing of grant awards. Once the State is notified, it will submit to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended.

Deadlines:

Aug 01, 2010 Applications must be received by the appropriate NHTSA Regional Office on or before August 1 of the fiscal year for which a State seeks a grant.

Range of Approval/Disapproval Time:

Applicant should receive a response, from the agency, between 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year showing that changes have not been made to any information related to the criterion or criteria previously satisfied. A State may certify each subsequent year of the grant program.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 402.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. SF-272. The Annual Report required under the Section 402 State and Community Highway Safety formula grant program must include a progress report on the prior year's program and accomplishments. SF-269. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years following submission of a final expenditure report and other project deliverables, all project contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 09 \$7,000,000; FY 10 est \$7,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

The range for these grants are \$100,000 - \$483,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 50 States and Puerto Rico received Section 2010 grants to focus on motorcycle safety by improving training or promoting motorcyclist awareness. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010, Public Law 109-59. Regulations are forthcoming and will be published in the 23 CFR Part 1350.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

<http://webster.nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

SAFETEA-LU provides that a State may qualify for a grant under the Section 2010 by satisfying one or more of six criteria. States must satisfy one criterion in the first year and two criteria in the subsequent years. In sum, the criteria are: (1) offering effective motorcycle rider training courses, (2) developing an effective motorcyclists awareness program, (3) showing a reduction in of fatalities and crashes involving motorcycles, (4) implementing an impaired driving program, (5) showing a reduction of fatalities and accidents involving impaired motorcyclists, and/or (6) showing that all fees collected from motorcyclists are used for motorcyclists safety training and motorcycle awareness. A State must submit documents unique to each criterion the State wishes to qualify showing how the State demonstrates compliance with the criterion.

20.613 CHILD SAFETY AND CHILD BOOSTER SEATS INCENTIVE GRANTS

(Section 2011)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Public Law 109-59, 23 U.S.C 402.

OBJECTIVES:

To encourage States to enact and enforce a child restraint law that requires children up to 65 pounds and under 8 years of age to be properly restrained in a child restraint, unless they are 4' 9" tall.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

No more than 50 percent of the grant a State receives in a fiscal year shall be used to fund programs for purchasing and distributing child safety seats and restraints to low income families. The remaining amounts can be used to carry out child safety seat and child restraint program, including the following: enforcement of child restraint laws; training child passenger safety professionals, police officers, fire and emergency medical personnel, educators and parents concerning child safety seats and child restraints; and educating the public concerning the proper use and installation of child safety seats and child restraints. No more than 50 percent of the grant a State receives in a fiscal year

shall be used to fund programs for purchasing and distributing child safety seats and restraints to low income families.

Applicant Eligibility:

The grant program is available to the 50 States, the District of Columbia and Puerto Rico.

Beneficiary Eligibility:

State Highway Safety Agencies.

Credentials/Documentation:

States submit certifications that it meets eligibility requirements no later than July 1 of each fiscal year. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Certifications, signed by the Governor's Representative for Highway Safety, are due no later than July 1 of each fiscal year to the appropriate NHTSA Regional Administrator. To apply for grant funds in a fiscal year, a New or Changed Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 1 and an Unchanged Law State must submit the certification required by Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006, Appendix 2, signed by the Governor's Representative for Highway Safety, to the appropriate NHTSA Regional Administrator.

Award Procedure:

A State submits certification that it meets eligibility requirements; after being informed by NHTSA that it is eligible for a grant, the State submits to the agency a plan that describes the programs the State will implement using the funds. Federal share is reimbursed on claims submitted in vouchers covering costs incurred. All participants have converted to the Electronic Transfer of Funds method. Funds placed in obligation are available until expended. NHTSA notifies the Governor in a letter that the State has met the requirements for a Section 2011 grant. The letter also describes the amount of the grant award.

Deadlines:

Jul 01, 2010 Certifications must be received by the appropriate NHTSA Regional Administrator no later than July 1 of each fiscal year.

Range of Approval/Disapproval Time:

Applicants should receive a response from the agency between 30 to 90 days of receipt of certification whether their child passenger safety laws meet the Section 2011 criteria.

Appeals:

Not Applicable.

Renewals:

Certifications must be submitted each year no later than July 1 of that fiscal year.

Formula and Matching Requirements:

Statutory Formula: Title 23, Chapter 402.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal share is reimbursed on claims submitted in vouchers covering the eligible costs incurred. All participants have converted to the Electronic Transfer of Funds method. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. SF-272. The Annual Report required under the Section 402 State and community Highway Safety formula grant program

must include a progress report on the prior year's program and accomplishments. SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with the Federal Acquisition Regulations.

Records:

Project sponsor shall retain records for 3 years, following submission of a final expenditure report and other project deliverables, all product contract documents, financial records, and supporting documents pending resolution of audit findings.

Account Identification:

69-8020-0-7-401.

Obligations:

(Project Grants) FY 09 \$7,000,000; FY 10 est \$7,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

The range is normally \$100,000 - \$700,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The grant shall be used to fund programs for purchasing and distributing child safety seats and restraints to low-income families. The remaining amounts shall be used to carry out child safety seat and child restraint programs including the following: enforcement of child restraint laws, training child passenger safety professionals, police officers, fire and emergency medical personnel, educators and parents concerning child safety seats and child restraints and educating the public concerning the proper use and installation of child safety seats and child restraints. In FY 2008, 18 23 States and the District of Columbia received Section 2011 grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011, Public Law 109-59, as amended, 23 U.S.C. 402. Federal Register, Vol. 71, No. 20, Tuesday, January 31, 2006.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the addresses of the Regional Offices of the National Highway Traffic Safety Administration.

Headquarters Office:

Marlene Markison, 1200 New Jersey Avenue, S.E., NTI-200, Washington, District of Columbia 20590 Email: Marlene.markison@dot.gov Phone: (202) 366-2715.

Website Address:

<http://nhtsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Section 2011 allows States to use up to 50 percent of their grant funds in a fiscal year for the purchase of child safety seats for low income families; enforcement of child restraint laws, training of professionals, law enforcement, fire and rescue personnel in child passenger safety; and for educating the public on the importance of properly restraining children in an age, weight and height appropriate restraint device. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

A certification from the Governor's Representative that the State is enforcing a child restraint law that conforms to the criteria established in Section 2011.

20.614 NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA) DISCRETIONARY SAFETY GRANTS

Highway Safety Research and Development)

FEDERAL AGENCY:

National Highway Traffic Safety Administration (NHTSA), Department of Transportation

AUTHORIZATION:

Highway Safety Act of 1966, as amended, and Public law 109-59 the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) , Public Law 109-59, 23 U.S.C 403.

OBJECTIVES:

- (1) Conduct research on all phases of highway safety and traffic conditions, including accident causation, highway or driver characteristics, communications , and emergency care;
- (2) Conduct ongoing research into driver behavior and its effect on traffic safety;
- (3) Conduct research on, launch initiatives to counter, and conduct demonstration projects on fatigued driving by drivers of motor vehicles and distracted driving in such vehicles, including the effect of electronic devices and other factors have onn driving;
- (4) Conduct training or education programs in cooperation with other federal departments and agencies, States, private sector persons, highway safety personnel, and law enforcement personnel;
- (5) Conduct research on, and evaluate the effectiveness of, traffic safety countermeasures, including seat belts and impaired driving initiatives;
- (6) Conduct research on, evaluate, and develop best practices related to driver education programs (including driver education curricula, instructor training and certification, program administration and delivery mechanisms) and make recommendations for harmonizing driver education and multistage graduated licensing systems;
- (7) Conduct research, training, and education programs related to older drivers
- (8) Conduct demonstration projects; and
- (9) Conduct research, training, and programs relating to motorcycle safety, including impaired operating and conduct safety research into drugs and driving behavior.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

The grants and cooperative agreements can only be used for those projects as authorized under Section 403 of Public law 109-59. The grants and cooperative agreements can only be used for those projects as authorized under Section 403 of Public law 109-59.

Applicant Eligibility:

Grantee application eligibility will be developed on an on individual grant or cooperative agreement basis by the NHTSA Purchase Team.

Beneficiary Eligibility:

Public Non-Profit Institutions/Organizations; Sponsored Organizations; State; Local; Other Public Institutions; Federal Recognized Indian Tribal Government; U.S. Territory or Possession; Private Non-Profit Institution/Organizations; Quasi-Public Non-Profit Institutions/Organizations; Native American Organizations.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Cost will be determined in accordance with OMB Circular Nos. A-87 for State and Local governments, A-21 for Educational Institutions, A-122 for Non-Profit and For-Profit Organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant and cooperative agreement application submissions shall be submitted to the grantee via

grants.gov. Grantees will be required to complete the suite of SF 424 forms and the appropriate representations and certifications.

Award Procedure:

The application package shall be returned via grants.gov. Award will be made by NHTSA Office of Acquisition Management personnel.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None. The deadlines shall be established in each grant or cooperative agreement application package.

Appeals:

Not Applicable.

Renewals:

Renewals and/or extension may be available. Requests must be submitted to the NHTSA Office of Acquisition Management for approval.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length is specific to each grant or cooperative agreement. The funds can be released in a lump sum, but normally the grantees are reimbursed for funds expended. See the following for information on how assistance is awarded/released: Cost Reimbursement.

Reports:

Quarterly progress reports are required. Quarterly progress and expenditures reports are required. The final report is due at the end of the grant or cooperative agreement. Quarterly progress and expenditures reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For direct procurement contracts, audits will be conducted in accordance with federal Acquisition Regulations.

Records:

Records are due in accordance with the terms and conditions of each grants or cooperative agreement. Records will be retained in accordance with provisions of OMB Circular No. A-110 for Institutions of Higher Education, Other Nonprofit Organizations, and Commercial Organizations and in accordance with 15 CFR Part 24 for State and Local Governments.

Account Identification:

69-0650-0-1-401.

Obligations:

(Project Grants) FY 09 \$40,000,000; FY 10 est \$40,000,000; FY 11 est \$40,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Numerous research reports, program countermeasures reports, program implementation materials and guidelines. See NHTSA website at www.nhtsa.dot.gov for more information. Fiscal Year 2010: Numerous research reports , program effectiveness reports released. Program implementation materials and guidelines See NHTSA website at www.nhtsa.dot.gov for more information. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Highway Safety Act of 1966, as amended (23 U.S.C. Chapter 4) or Section 403 of Public Law 109-593.

Regional or Local Office:

None. NHTSA Office of Acquisition Management.

Headquarters Office:

Lloyd S. Blackwell, 1200 New Jersey Avenue, S.E., NTI-140, Washington, District of Columbia 20590 Phone: (202) 366-3485.

Website Address:

lloyd.blackwell@dot.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Numerous technical assistance reports, guidelines, results of demonstration projects and research papers in various driver behavior topics. See www.nhtsa.gov for most relevant products. Fiscal Year 2010: Numerous technical assistance reports, guidelines, results of demonstration projects and research papers in various driver behavior topics. See www.nhtsa.gov for most relevant products. Fiscal Year 2011: Numerous technical assistance reports, guidelines, results of demonstration projects and research papers in various driver behavior topics. See www.nhtsa.gov for most relevant products.

CRITERIA FOR SELECTING PROPOSALS:

Grantee criteria for selecting proposals will be developed on an on individual grant or cooperative agreement basis by the NHTSA Purchase Team.

20.700 PIPELINE SAFETY PROGRAM BASE GRANTS

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Natural Gas Pipeline Safety Act of 1968, Public Law 090-481, 86 Stat. 616, 49 U.S.C 1971, as amended by Accountable Pipeline Safety and Partnership Act of 1996, Public Law 104-304, as amended by Pipeline Inspection, Protection, Enforcement and Safety Act of 2006, Public Law 109-468, 49 U.S.C 601, as amended by Pipeline Safety Act of 1992, Public Law 102-508, 49 U.S.C 601, as amended by Pipeline Safety Act of 1979, Public Law 096-129, 93 Stat. 989.

OBJECTIVES:

To develop and maintain State gas and hazardous liquid pipeline safety programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Federal Pipeline Safety Law authorizes Federal reimbursement of up to 80 percent of a State's expenditure during the year for personnel, equipment, and activities reasonably required by the State agency for the conduct of its pipeline safety program. The Law further stipulates that the State expenditures in any given year, without Federal assistance, cannot be less than the average amount expended by the State for gas and hazardous liquid safety programs for the 3 fiscal years prior to the fiscal year in which the Secretary makes the payment, except when the Secretary waives this requirement. The State agency must also agree to provide the remaining cost of the safety program(s). Within these limitations, the available funds are allocated by a method designed to reflect the degree to which a State has met certain goals established. The allocation is determined by assigning point values to the States for having achieved certain levels of program participation, dividing this point score by the sum of the States' point scores, and multiplying this ratio by the amount available for the distribution. PHMSA distributed the remaining funds according to certain criteria designed to seek improvements in State programs. The criteria used by PHMSA were the extent of intrastate jurisdiction, inspector qualifications, recommended number of inspection person-days, State adoption of applicable Federal regulations, and other relevant criteria. In 2008, the Federal allocations represented 41 percent of the estimated State requests in the natural gas program (range: 37 to 43 percent) and the Federal allocations represented 42 percent of the estimated State requests in the hazardous liquid program (range:

37 to 41 percent). Funds must be dedicated to the Certified Pipeline Safety Program.

Applicant Eligibility:

State must have a 60105 Certification or 60106 Agreement with PHMSA. The Department provides Federal funds, up to 80 percent of the States total program costs to any State agency with a certificate under Section 60105 of Title 49, United States Code, an agreement under Section 60106 of Title 49, United States Code, or to any State acting as a DOT agent on interstate pipelines. State must agree that the aggregate expenditures of State funds, exclusive of Federal funds, on the pipeline program for the calendar year to which the Federal funds apply are/not less than the average level of such expenditures for the previous 3 years.

Beneficiary Eligibility:

State, District of Columbia, and Puerto Rico.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, and "Procedural Guide for the Pipeline Safety Grant Program." Application (plan) due date is September 30. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each State submits with its annual application a summary of estimated program costs by calendar year covering the State gas and/or hazardous liquid pipeline safety activities to the Pipeline and Hazardous Materials Safety Administration, DOT. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Awards are made by the Pipeline and Harardous Materials Safety Administration, DOT, 1200 New Jersey Avenue, SE, Washington, DC 20590.

Deadlines:

Sep 30, 2010 Applications are submitted by September 30 for the next calendar year. Director of State Programs may extend this deadline based on good cause.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from date of receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49 C.F.R., Part 198, Subpart 13.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Expenditures made by a State during the calendar year are eligible for Federal reimbursement. States receiving Federal assistance submit claims for reimbursement in February for the Federal share of the cost of actual expenditures between January 1 and December 31 of the year. See the following for information on how assistance is awarded/released: Electronic Funds Transfer.

Reports:

No program reports are required. SF-270. End of Year Payment Report. List State Actual Costs and reasons for deviation from estimated program costs. SF-270. Program Evaluation and Certification.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records relating to the program shall be retained for a period of not less than 3 years from the date of submission of the End of Year Payment Report.

Account Identification:

69-5172-0-2-407.

Obligations:

(Formula Grants (Health Incentive Grants)) FY 09 \$30,500,000; FY 10 est \$36,459,000; FY 11 est \$40,445,000

Range and Average of Financial Assistance:

The range of grants awarded \$3,475 - \$2,224,908. Average -- \$562,303.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Forty-nine State agencies requested and received allocations for fiscal year 2009 for gas and/or liquid grant funds. No eligible State applicant was rejected. State agencies are increasing their knowledge of pipeline operators and conducting activities encouraging increasing safety of their pipeline systems. Fiscal Year 2010: Forty-nine State agencies made applications for fiscal year 2009 for gas and/or liquid grant funds. No eligible State applicant was rejected. State agencies are increasing their knowledge of pipeline operators and conducting activities encouraging increasing safety of their pipeline systems. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, Pipeline Safety Program, Oklahoma City, Oklahoma 73125. "Procedural Guide for the Pipeline Safety Grant Program." "Guidelines for States Participating in the Pipeline Safety Program." "Guidance Manual for Operators of Small Gas Systems."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Zach Barrett, 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Phone: (202) 834-8344.

Website Address:

<http://www.phmsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 49 State Pipeline Safety Programs participated in the grant resulting in safety oversight for over 2 million miles of pipeline. Fiscal Year 2010: 49 State Pipeline Safety Programs will participate in the grant resulting in safety oversight for over 2 million miles of pipeline. Fiscal Year 2011: Expecting 49 State Pipeline Safety Programs will participate in the grant resulting in safety oversight for over 2 million miles of pipeline.

CRITERIA FOR SELECTING PROPOSALS:

All proposals from eligible State pipeline safety regulatory agencies are considered for funding to the limit of available grant money.

20.701 UNIVERSITY TRANSPORTATION CENTERS PROGRAM

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004, Title 49, Part 5338, 5505-5506.

OBJECTIVES:

To provide grants to nonprofit institutions of higher learning for the purpose of establishing and operating university transportation centers that conduct research, education, and technology transfer programs addressing regional and national transportation issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The University Transportation Centers will address surface transportation problems and issues and will seek solutions to both long-range and immediate transportation problems. See below for information about grant matching requirements.

Applicant Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Beneficiary Eligibility:

For the program's competitive grants, public and private nonprofit institutions of higher learning that have established transportation research programs. Non-competitive grants must also be to public and private nonprofit institutions of higher learning but may or may not have established programs.

Credentials/Documentation:

A formal application outlining in detail how the institution meets program mission and goals; proposed management of the center; detailed delineation of organization, staff, faculty, and budget. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Two competitive selections for new grants will be held during 2006 for 1) one grant in each of ten standard Federal regions of the U.S. and 2) ten other grants with no locational restrictions. Applications will consist of standard Federal grant application and related forms and a proposal prepared to specifications that the agency will provide.

Award Procedure:

Applications will be reviewed by a Departmental panel. Selection will be made by the RITA Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six weeks.

Appeals:

Not Applicable.

Renewals:

Competitive grants are for a four-year period; noncompetitive grants are for periods stated in the authorizing legislation. Grants are renewable each year upon satisfactory completion of prior-year work.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. The Federal share of a grant shall be 50 percent of the costs of establishing and operating the university transportation center.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Three, four, or five years as designated in the authorizing legislation. Method of awarding/releasing assistance: by letter of credit.

Reports:

Final technical report at the end of each research project. SF-270 (Request for Advance or Reimbursement). Semi-annual and annual progress and financial reports. SF-425 (Financial Reporting Form). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401; 69-8083-0-7-401.

Obligations:

(Project Grants) FY 09 \$80,850,400; FY 10 est \$80,771,500; FY 11 est \$85,900,000

Range and Average of Financial Assistance:

The range for grants awarded are \$500,000 - \$3,500,000 per center per fiscal year depending upon language in the authorizing legislation.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Past accomplishments of the UTC Program has been the publishing of the UTC Progress Report 2005-2007 which highlights outcomes from DOT's investment in the program such as: research support the national strategy for surface transportation research; a workforce of Americans ready to operate complex transportation systems through the U.S., and technology transfer that provide solutions to national transportation challenges. Fiscal Year 2010: Current accomplishments include the publishing of the UTC Program Points of Pride 2009 which exemplifies the capabilities of the UTCs to offer practical solutions to transportation issues and to respond to new and continuing needs. Fiscal Year 2011: Accomplishments of the UTC Program are expected to continue advancing the capabilities of the nations universities to conduct needed transportation research on local, regional and national issues, and educate the future transportation workforce, while continuing to enhance the degree of collaboration between and among universities, develop partnerships with state and local government.

REGULATIONS, GUIDELINES, AND LITERATURE:

Posted on the University Transportation Centers Program website at <http://utc.dot.gov>.

Regional or Local Office:

None.

Headquarters Office:

Denise E. Dunn, Research and Innovative Technology Administration, Office of Innovation, Research, and Education (RDT-30); Department of Transportation; 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: Denise.E.Dunn@dot.gov Phone: (202) 366-4985.

Website Address:

<http://utc.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See Agency website. Fiscal Year 2010: See Agency website. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Resources available to conduct work of center; leadership in transportation;

established transportation programs in prior years; ability to disseminate results (full text found in authorizing legislation at 49 U.S.C. 5506).

20.703 INTERAGENCY HAZARDOUS MATERIALS PUBLIC SECTOR TRAINING AND PLANNING GRANTS

Hazardous Materials Emergency Preparedness Training and Planning Grants, (HMEP)

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Federal Hazardous Materials Transportation Act, Public Law 49-5101 et seq.

OBJECTIVES:

To increase State, local, territorial and Native American tribal effectiveness to safely and efficiently handle hazardous materials accidents and incidents; enhance implementation of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA); and encourage a comprehensive approach to emergency planning and training by incorporating response to transportation standards.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grant program will be used to increase the emphasis on transportation in ongoing efforts and to improve the capability of communities to plan for and respond to the full range of potential risks posed by accidents and incidents involving hazardous materials. The grants have two principal uses: First, to assist States, Territories and Native American Tribes in developing, improving and implementing emergency response plans under EPCRA; including the determination of flow patterns of hazardous materials within a State, between States and Native American lands; determining the need for regional hazardous materials response teams. Second, to stimulate support for training of Public Sector employees to respond to accidents and incidents involving hazardous materials.

Applicant Eligibility:

States, U. S. Territories and Federally recognized Native American Tribes may apply for either or both planning and training grants. The Governor or Official of each eligible applicant has been asked to designate an agency responsible for managing the program. DOT will work with the designated organization.

Beneficiary Eligibility:

All segments of the U.S. including Territories and Native American tribal populations that are involved with management of or possible exposure to hazardous materials are benefited. Specifically Federal, State, and local responsibilities are assisted through the HMEP grant program. Students and trainees in emergency response and local emergency planning activities are program beneficiaries since grant funds will be used to benefit local programs.

Credentials/Documentation:

Applicants must certify that they meet or exceed a nonfederal maintenance of effort level equivalent to the average of aggregate nonfederal applicant expenditures for the preceding 2 years. They must also certify that the State, Territory or Native American Tribe is complying with sections 301 and 303 of EPCRA. Those States, Territories or Native American Tribes must agree to make at least 75 percent of the Federal planning funds awarded available to Local Emergency Planning Committees (LEPCs) for developing, improving, or implementing emergency plans, and 75 percent of the benefit of training funds available to local emergency responders. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact his or her, State, Territory or Native American Tribe for more information on the process required to follow in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants are to complete and submit an application through www.grants.gov. Funded applications will be subject to the Public Sector Planning and Training Grants Program regulation 49 Code of Federal Regulations (CFR), part 110, and 49 CFR, part 18, the A-102 based regulation, which governs all DOT grant programs.

Award Procedure:

The decision to fund will be made by the HMEP Grants Manager after considering internal review.

Deadlines:

Jun 01, 2010 The deadline for submitting applications is June 1. Grant awards will be made in September.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20.%. Nonfederal cost sharing of at least 20 percent of total project costs is required under provisions of HMEP, Section 117A(d). This program has maintenance of effort requirements; see funding agency for further details. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of project periods may extend from time of initial award through fiscal year 2011; however phased accomplishments or budget periods will limit the time frame for the funds awarded. Payments will generally be reimbursable, paid based on submission of a Request For Advance or Reimbursement Form 270 using DOT automated clearinghouse electronic transfer procedures. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Requirements are specifically indicated in the award document and may vary from project to project. All applications for continuation grants must be supported by progress reports. SF-425 and SF-270. Final report accountability questions required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records of technical and financial accomplishments must be maintained for 3 years after submission of an acceptable final Financial Status Report, or until any unresolved audit issues are resolved.

Account Identification:

69-5282-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 09 \$26,112,620; FY 10 est \$25,869,275; FY 11 est \$26,800,000

Range and Average of Financial Assistance:

The grant award ranges are \$3000 - \$1,642,592. The average is \$359,815.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since the beginning of the grant program, all States and territories and 41 Indian tribes have received over \$182 million in assistance. The HMEP program has set aside 3 percent of planning and training funds to support Federally recognized Native American tribes. Over 151,000 HazMat responders have been trained. 2,563 HazMat Commodity Flow Studies have been conducted. 1,224 HazMat Emergency Plans have been updated. 943 HazMat Emergency Preparedness Exercises have been conducted. Fiscal Year 2010: Recent events have proven that there can be no greater goal than improved hazardous materials safety. The HMEP grants program provides for hazardous materials response planning and training. These activities will ensure the safety of HazMat responders and the communities they serve. DOT data systems indicate that there are 15,000 -17,000 incidents each year, of which 500 are serious. Appropriate response to even one accident can more than compensate for the cost of the program, and an inappropriate response can easily cost many millions of dollars in property loss, and loss of human life. Budget Fiscal Year: 2010 Recent events have proven that there can be no greater goal than improved hazardous materials safety. The HMEP grants program provides for hazardous materials response planning and training. These activities will ensure the safety of HazMat responders and the communities they serve. DOT data systems indicate that there are 15,000 -17,000 incidents each year, of which 500 are serious. Appropriate response to even one accident can more than compensate for the cost of the program, and an inappropriate response can easily cost many millions of dollars in property loss, and loss of human life. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 Code of Federal Regulations, Part 110, Hazardous Materials Public Sector Training and Planning Grants; 49 Code of Federal Regulations, Part 18, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments and Indian tribes. A grant application kit is available from DOT that includes all guidance, regulatory and administrative requirements.

Regional or Local Office:

None.

Headquarters Office:

Charles Rogoff, 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Phone: (202) 366-0001.

Website Address:

<http://www.phmsa.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Hazardous Materials Awareness, Technician and Specialist training, LEPC planning preparation, hazards analyses studies, commodity flow studies, and needs assessment for regional response teams and number of response personnel needing to be trained. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable for grants to States and Territories. Grants made from the set aside for Native American Tribes will be in accordance with the following selection criteria: (1) Potential Benefit (30 points); (2) Priority Need (40 points); (3) Number of Public Sector Employees Trained (20 points); (4) Long Term Benefit to Tribe (10 points); (5) Past Performance (10 points).

20.710 TECHNICAL ASSISTANCE GRANTS

TAGs or TAG Program

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Section 5 of the Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006, 49 U.S.C 60130.

OBJECTIVES:

Development of engineering and other scientific analysis of pipeline safety

issues, including the promotion of public participation in official proceedings.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The grant program is intended to allow communities and groups of individuals (not including for-profit entities) to obtain funding for technical assistance in the form of engineering or other scientific analysis of pipeline safety issues and help promote public participation in official proceedings. Grant funds may not be used for lobbying or in direct support of litigation.

Applicant Eligibility:

Use with Transportation .

Beneficiary Eligibility:

Public nonprofit institution/organization and Local.

Credentials/Documentation:

A written application addressing the evaluation criteria. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Through the website at www.Grants.gov.

Award Procedure:

A committee of stakeholders will review applications. Grants will be awarded to applicants with the highest merit until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Applications due 60 to 90 days after opportunity is posted to www.Grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year from date of award. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. SF-425. Each grant recipient will be required to provide a report to PHMSA demonstrating completion of the work as outlined in the grant agreement. Further, each recipient of a grant must ensure that: (1) The technical findings made possible by the grants are made available to the relevant operators; and

(2) Open communication is maintained between the grant recipients, local operators, local communities and other interested parties. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipient must maintain, for a period of 3 years beyond the end of the grant project period of performance.: (a) documentation supporting the cost incurred under the grant, (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 09 \$1,000,000; FY 10 est \$1,000,000; FY 11 est \$1,000,000 - FY2011 -- 1,000,000 (pending Congressional reauthorization of the program, which is currently authorized through FY 2010).

Range and Average of Financial Assistance:

The range is \$0 - \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Twenty-one awards made in fiscal year 2009 totaling \$963,921.13. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Materials Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE, East Building, 2nd Floor, Washington, DC 20590, Telephone: (202) 366-4595, Fax: (202) 366-4566.

Regional or Local Office:

None.

Headquarters Office:

Sam Hall, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: sam.hall@dot.gov Phone: (804) 556-4678.

Website Address:

<http://primis.phmsa.dot.gov/tag>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In keeping with Congressional intent, PHMSA has developed proposal evaluation criteria to be used to rate and select competing proposals. Together, these criteria are intended to identify projects that target high-risk areas; offer well-defined plans; foster open communication with a local community and/or affected pipeline operators; and produce results that are measurable and transferable to other communities and/or technology development. The evaluation criteria are as follows:

1. The extent to which the Applicants project scope is focused on areas where a pipeline failure could pose a significant risk to people or to unusually sensitive environmental areas;
2. The extent to which the proposed project scope demonstrates an understanding of the specific concern the Applicant wishes to address, as well as the range of risks affected pipelines pose to the affected geographic area and the risks the community poses to the pipelines;
3. The extent to which the proposal demonstrates the Applicants experience with and commitment to open communication with affected operators and to partnerships with other key members of the community;
4. The extent to which the Applicants project is designed to improve performance and safety over time in areas such as engineering, damage prevention, land use, public education, emergency response, and community awareness;
5. The extent to which the Applicants project plan establishes clear goals, objectives, milestones, and estimates of project costs;
6. The extent to which the Applicant has a plan for evaluating and disseminating results; and
7. The extent to which the Applicants project scope provides the potential for learning or technology transfer to other groups and communities.

20.720 STATE DAMAGE PREVENTION PROGRAM GRANTS

(SDPP Grants)

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

PIPES Act Section 2(b), which added Section 60134 to the Pipeline Safety Law.

OBJECTIVES:

To improve State Damage prevention programs, which are intended to protect underground facilities from excavation damage.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Personnel, equipment, and activities that are needed by the State authority to enhance damage prevention programs. Grant funds may not be used for lobbying or in direct support of litigation. Grant funds may not be used for lobbying or in direct support of litigation.

Applicant Eligibility:

Any State (including U.S. Territory or possessions) authority designated by the Governor is eligible to apply for a grant as long an agency within the State (including U.S. Territory or possessions) has an annual Section 60105 (49 U.S.C.) certification or Section 60106 (49 U.S.C.) agreement in effect with PHMSA. If a State (including U.S. Territory or possessions) does not have a certification or agreement with PHMSA, then no State (including U.S. Territory or possessions) authority can receive a grant.

Beneficiary Eligibility:

State Government, U.S. Territory and possessions would receive the ultimate benefit from this program.

Credentials/Documentation:

A written application describing the current status of the State Damage Prevention Program and plans for improvements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Through the website at www.Grants.gov.

Award Procedure:

Panel of stakeholders will review and score applications. Grants will be awarded to applicants with the highest score until the available funds are exhausted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Sixty days after application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a restriction on the time permitted to spend the money awarded. It must

be spent within the calendar year of the grant. Method of awarding/releasing assistance: by letter of credit.

Reports:

A final report within 30 days of the end of the grant period. No cash reports are required. Status report is due 6 months after the start date of the grant. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipient must maintain for a period of three years: (a) documentation supporting the cost incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 09 \$1,047,000; FY 10 est \$2,100,000; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

The grants awarded range \$39,000-\$100,000. The average is \$87,200.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$1,030,926 in grants were awarded to 12 States in calendar year 2009. A summary of the 2009 State Damage Prevention Program grants is available <http://primis.phmsa.dot.gov>. Fiscal Year 2010: \$1,926,990 in grants were awarded to 22 States in calendar year 2010. A summary of the 2010 State Damage Prevention Program grants is available at <http://primis.phmsa.dot.gov>. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 192.614, 49 CFR 192.616, 49 CFR 195.440, 49 CFR 195.442, 49 CFR 198.37, and 49 CFR 198.39 Individual copies of regulations may be requested from: Office of Pipeline Safety, Pipeline and Hazardous Material Safety Administration, Department of Transportation, 1200 New Jersey Avenue, SE East Building, 2nd Floor, Washington, DC 205901, Telephone: (202) 366-4595 Fax: (202) 366-4566.

Regional or Local Office:

None.

Headquarters Office:

Annamarie Robertson, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Email: annmarie.robertson@dot.gov Phone: (317) 253-1622.

Website Address:

<http://www.phmsa.dot.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All proposals from eligible state agencies are considered for funding based on their statewide damage prevention program (or a detailed plan to develop a program within 4 years) that implements the 9 elements of an effective damage prevention program identified in Section 2(b) of the PIPES Act (Pipeline Safety Laws 49 U.S.C. Section 60134).

20.721 PHMSA PIPELINE SAFETY PROGRAM ONE CALL GRANT

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Inspection, Protection, Enforcement and Safety Act of 2006, Public Law 109-468, 49 U.S.C 6106.

OBJECTIVES:

To provide funding to State agencies in promoting damage prevention, including changes with their State underground damage prevention laws, related compliance activities, training and public education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible programs will cover such areas as compliance enforcement, legal assistance with enforcement actions, new equipment to support on-going enforcement programs, compliance monitoring, one call center statistics, compliance/noncompliance statistics, One-call membership initiatives, computer equipment, communication improvements, development and/or conduct of state-provided training programs for locators, development and/or distribution of promotional items or materials, damage prevention awareness campaigns, public service announcements, informational mailings, advertisements and One Call center promotional items.

Applicant Eligibility:

This grant is only open for states that have a certification or agreement with US DOT Pipeline and Hazardous Materials Safety Administration (PHMSA) to do pipeline safety inspections. State agencies who participate in the pipeline safety program are eligible to apply for One Call grant funding on an annual basis. This grant program has a maximum amount request of \$45,000 per state and supports initiatives to further promote efforts specifically for damage prevention, including one-call legislation, related compliance activities, training and public education. State (includes District of Columbia and Puerto Rico).

Beneficiary Eligibility:

State (Includes District of Columbia and Puerto Rico) Also, State agency with a Certification or Agreement under 60105 or 60106 of Title 49 USC.

Credentials/Documentation:

Appropriate State legal official must approve legality of agency application and agreement. Costs will be determined in accordance with OMB Circular Number A-87 for State and local governments, and "One Call Application Instructions". Application (plan) is opened on October 15 and due November 15. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each State submits a detailed description of the proposed project activity in one or more of the three priority categories available with requested costs on how the money would be spent and why. The maximum amount requested per state is limited to \$45,000. The application will be specific regarding what the funding would buy, and what otherwise unmet need the funding would help resolve. If the proposed activity was previously submitted and approved, the applicant will be required to provide a description of results from previous action of the activity.

Award Procedure:

Proposed projects are reviewed by a group of peers for their completeness with established project criteria. The peer group recommendations are provided to the Director of State Programs who makes the awards based on the groups recommendations and established practices.

Deadlines:

Oct 01, 2010 to Nov 03, 2010 Applications are submitted by October 1 for the next calendar year. The Director of State Programs may extend or modify the application date base on good cause.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days of receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Expenditures must be used by April 30th of the following year. Funds not used will be deducted from the State agencies Pipeline Safety Program End-Year reimbursement request. See the following for information on how assistance is awarded/released: Electronic Funds Payment.

Reports:

No program reports are required. SF-270. Annual activity report must be submitted by early January 2011 showing progress and funds spent on the projects as of December 31, 2010 with another report due by May 10, 2011 to confirm all funds have been spent by April 30, 2011. SF-270. During grant monitoring review is conducted to assure funds were spent on proposed projects and in accordance with OMB requirements. Progress report is reviewed prior to awarding future grants.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records relating to the projects shall be retained for a period of not less than 3 years from the date of submission of fund expenditure report.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants (Discretionary)) FY 09 \$1,043,000; FY 10 est \$1,084,900; FY 11 est \$1,064,900

Range and Average of Financial Assistance:

The range for grants awarded \$10,000 to \$45,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 2009 PHMSA received 37 applications for the "PHMSA Pipeline Safety Program One Call Grant" for CY2009. The applications totaled \$1,792,687 and the appropriation for these grants was \$1,043,000. No eligible State applicant was rejected. State agencies are awarded grants funds to help educate the public and contractors about the prevention of damage to all underground facilities. This awareness program and other similar projects assisted in reducing accidents and damages from occurring on natural gas and liquid pipeline systems. Fiscal Year 2010: 2010 PHMSA received 36 applications for the PHMSA Pipeline Safety Program One Call Grant for CY 2010. The applications totaled \$1,165,868.68 and the appropriation for these grants was \$1,084,900. No eligible State applicant was rejected. State agencies are awarded grant funds to help educate the public and contractor community about the previous of damages to all underground facilities. Grants to eligible programs have covered areas in compliance enforcement, legal assistance with enforcement actions, new equipment to support on-going enforcement programs, compliance monitoring, one call center statistics data, compliance/non-compliance data, One Call membership initiatives, computer equipment, communication improvements, development and/or conduct of state-provided training programs for excavators and locators, development and/or distribution of educational items or materials, damage prevention awareness campaigns, public service announcements, informational mailings,

advertisements and One Call center educational items. These programs and other similar projects assist states in reducing accidents and hits to underground natural gas and hazardous liquid pipelines and the services they provide. Budget Fiscal Year. Fiscal Year 2011: 2011 PHMSA anticipates receiving more than 36 applications for the PHMSA Pipeline Safety Program One Call Grant for CY 2011. It is anticipated the application request will total more than 1.5 million dollars. With the requirement each state review the nine elements of an effect damage prevention program to meet the PIPES Act of 2006, we will see an increase requested for more funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Safety Regulations, 49 CFR 190, 191, 192, 193, 195, 198 and 199. Individual copies available from the Transportation Safety Institute, PHMSA Training and Qualification, Oklahoma City, Oklahoma 73125. "Guidelines for States Participating in the Pipeline Safety Program."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of Pipeline and Hazardous Materials Safety Administration regional office addresses.

Headquarters Office:

Zach Barrett, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (405) 834-8344.

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Compliance Enforcement and Monitoring, One Call Membership Initiatives for Operators, Implementation of One Call Laws and Regulations, Excavator and Public Awareness, Public Service Announcements, Equipment to support on-going enforcement program. Fiscal Year 2010: Develop and conduct an award system for users who enter information into a data program called DIRT to monitor the number of damages per 1,000 locate request, continuation of regional damage prevention meetings to support changes in state damage prevention laws to meet the PIPES Act of 2006, distribution of positive response cards to inform the excavator community, continue employment of persons in the enforcement of the states underground damage prevention law, outreach campaigns and training of locators on how to locate facilities correctly, and statewide radio announcement about the use of the number 811 to have utility companies locate and mark their underground utilities. Fiscal Year 2011: Implementation of Damage Information Reporting Tool (DIRT), Web Based Damage Tracking Database & Locator Training and Certification.

CRITERIA FOR SELECTING PROPOSALS:

All proposals from eligible State agencies are considered for funding to the limit of available grant money. The maximum amount requested per state is limited to \$45,000.

20.722 TECHNOLOGY DEVELOPMENT GRANT

Technology Development Grant

FEDERAL AGENCY:

Pipeline and Hazardous Materials Safety Administration, Department of Transportation

AUTHORIZATION:

Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006, Public Law 109-468, 49 U.S.C 60114(g).

OBJECTIVES:

To enhance the prominence of underground facility construction design, management, and emerging technologies that will facilitate the prevention of pipeline damage caused by demolition, excavation, tunneling, or construction activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grant program is intended to allow non-profit organizations to obtain funding for technical assistance to improve knowledge of safe underground facility construction techniques and emerging technologies available to detect, locate and avoid existing underground facilities. Funds provided through Technology Development Grants may not be used for lobbying or in direct support of litigation. Technology Development Grants may not be used for lobbying or in direct support of litigation.

Applicant Eligibility:

State, local, or Federally-recognized Indian tribal governments.

Beneficiary Eligibility:

State, Local, Public nonprofit institution/organization, and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

A written application addressing the evaluation criteria listed below. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must apply online at www.grants.gov.

Award Procedure:

PHMSA will conduct an initial administrative review of each completed application to determine if it is complete and meets eligibility requirements. All applications that pass the administrative review will be scored based on the selection criteria. PHMSA may award one or more Technology Development Grant(s) based on the quality of the proposals received and evaluated.

Deadlines:

Jun 30, 2010 Complete applications must be received electronically through Grants.gov by 11:59 PM, EDT. on June 30, 2010. Applications submitted through Grants.gov APPLY will be accompanied by an automated receipt of the date and time of submission.

Range of Approval/Disapproval Time:

45 to 60 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 49, Part 60114(g), Public Law 109-468.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There is a restriction on the time permitted to spend the money awarded. It must be spent by September 30, 2014. Method of awarding/releasing assistance: by letter of credit.

Reports:

Each grant recipient will be required to provide a report to PHMSA demonstrating completion of the work as outlined in the grant agreement. Further, each recipient of a grant must ensure that:

1. The technical findings made possible by the grants are made available to the relevant operators; and
2. Open communication is maintained between the grant recipients, local operators, local communities and other interested parties. SF-425. Progress reports are not applicable. SF-425. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients must maintain: (a) documentation supporting the cost incurred under the grant, and (b) information regarding any sub-awards made using grant funds.

Account Identification:

69-5172-0-2-407.

Obligations:

(Project Grants) FY 09 \$500,000; FY 10 est \$0; FY 11 est \$0 - 2010 2011 est. not available.

Range and Average of Financial Assistance:

\$50,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The TDG grants provided funding (two grants totaling \$415,133) in FY 2009 to assist in developing technologies to detect, locate and avoid existing underground facilities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006

Regional or Local Office:

None.

Headquarters Office:

Maria Munoz, 1200 New Jersey Avenue, S.E., Room E22-228, Washington, District of Columbia 20590 Phone: (202) 366-5513.

Website Address:

<http://www.phmsa.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following two projects were funded in FY09: Gas Technology Institute: Use the pipeline as the backbone of a communication system that networks modified radio frequency identification (RFID) tags to monitor pipeline condition. Rutgers University: Incorporate GPS technology to enhance One-Call damage prevention in New Jersey. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

PHMSA will evaluate applications on based on the three criteria below. All three criteria are weighted equally.

- (1) The overall quality of the proposal to enhance the prominence of underground facility construction design, management, and emerging technologies that will facilitate the prevention of pipeline damage caused by demolition, excavation, tunneling or construction activities.
- (2) The potential of the proposal to reduce the risk of excavation damage to pipelines.
- (3) The potential for the emerging technology to be commercialized and applied to addressing real-world damage prevention concerns.

20.761 BIOBASED TRANSPORTATION RESEARCH

(Biobased R&D)

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA- LU), Public Law 109-59, 119 Stat. 1781.

OBJECTIVES:

Carry out biobased research of national importance at the National Biodiesel Board and at research centers identified in section 9011 of the Farm Security

and Rural Investment Act of 2002 (7 U.S.C. 8109).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-59, August 10, 2005 119 Stat. 1781) Subtitle B Title V Section 5101 (m) The Department's role will be to manage the grants, coordinate with the grantees to best address related DOT program needs, and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Earmark recipients as designated in SAFTEA- LU , Public Law 109-59, August 10, 2005 119 Stat. 1781, Subtitle B Title V Section 5101 (m).

Beneficiary Eligibility:

Public and private nonprofit institutions of higher learning and industry trade groups.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. No new grants will be awarded during the program's remaining authorization (through 2009).

Award Procedure:

No new grants will be awarded during the program's remaining authorization (through 2009).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 1 to 15 days. Fifteen working days from receipt of proposal.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20.%. The Federal share of this grant shall be 20 percent of the funds received by the grantee. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Four years as designated in: SAFTEA-LU (Public Law 109-59, August 10, 2005, 119 Stat. 1781) Subtitle B Title V Section 5101 (m). Method of awarding/releasing assistance: by letter of credit.

Reports:

Final technical report at the end of each research project. SF-270. Quarterly progress reports and annual project reports. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401.

Obligations:

(Project Grants) FY 09 \$11,466,000; FY 10 est \$12,840,435; FY 11 est not reported.

Range and Average of Financial Assistance:

The range is between \$9,000,000 - \$13,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Continue with the current research projects. The grantee are preparing for another cycle of competitive grants on biofuels funded at state land grant colleges and the National Biodiesel Board. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU, Public Law 109-59), enacted in 2005, Section 5201(m).

Regional or Local Office:

None.

Headquarters Office:

Shawn Johnson, 1200 New Jersey Avenue, S.E, E33-470, Washington, District of Columbia 20590 Email: shawn.johnson@dot.gov Phone: (202) 366-1762.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.762 RESEARCH GRANTS

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA_LU), Public Law 109-59, 423 U.S.C 117 and 502.

OBJECTIVES:

A. Rural Transportation Research Initiative, North Dakota State University s Upper Great Plains Transportation Institute must carry out the Rural Transportation Research Initiative. B. Hydrogen-Powered Transportation Research Initiative, University of Montana must carry out the Hydrogen-Powered Transportation Research Initiative. C. Cold Region and Rural Transportation Research, Maintenance, and Operations, Montana State Universitys Western Transportation Institute is to develop a research facility in Lewistown, Montana, for basic and applied research and testing on surface transportation issues facing rural and cold regions. D. Advanced Vehicle Technology, University of Kansas Transportation Research Institute is to research and develop advanced vehicle technology concepts, focused on vehicle emissions, fuel cells and catalytic processes, and intelligent transportation systems. E. Renewable Transportation Systems Research, University of Vermont is to research, develop and field test of hydrogen fuel cell and biofuel transportation technology. F. Alternative Fuels and Life Cycle Engineering, Rochester Institute of Technology are to conduct applied research for alternative fuel vehicle testing and analysis and manufacturing technology.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grantees will conduct research according to the provisions provided in SAFETEA-LU (Public Law 109-59), August 10, 2005, 119 Stat. 1406. The Departments role will be to manage the grants, provide technical collaboration, coordinate with the grantee to best address related DOT program needs and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Legislated grants in SAFETEA-LU Section 5513(d),(g) and (i).

Beneficiary Eligibility:

All listed beneficiaries will benefit from results produced by these research efforts.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. It is recommended tha applicants use the procedures prescribed in grants.gov to facilitate an electronic application process. This will allow applicants the opportunity to submit all required forms, along with the necessary technical and cost proposals as preseed in the relevant Opportunity description.

Award Procedure:

This is a Congressional earmark grant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See award procedures.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20.%. There is a 20% matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

See SAFETEA-LU (Public Law 109-59) for additional information. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly reports. SF-425. Annual reports are required. SF-425. RITA monitors all grant awards with site visits and report reviews.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions of higher education or other non-profit organizations are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133.

Records:

Grantee is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit finding, all grant products, financial records, and supporting documents.

Account Identification:

69-1136-0-1-401.

Obligations:

(Project Grants) FY 09 \$500,000; FY 10 est \$8,250,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$500K to \$5M. Average \$2.25M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A. Rural Transportation Research Initiative - Received approval from North Dakotas 2007 Legislature to have Center for Transportation building on their capital improvement list, contingent on acquiring sufficient funding; B. Hydrogen- Powered Transportation Research Initiative- Developed training material and eight hour awareness training program to provide hands on hydrogen safety training to first responder groups, and completed design of hydrogen powered vehicle; C. Cold Region and Rural Transportation Research, Maintenance and Operations - Commenced activity for test-bed construction, research office, and shop space and conducted requirements analysis of communication system; D. Advanced Vehicle Technology Selected series of research projects and ensured alignment with larger RITA alternative fuel program; E. Renewable Transportation Systems Research Continued modeling, experimental design and safety operations of hydrogen fuel cells and biofuel technology; and F. Alternative Fuels and Life Cycle Engineering Expanded modeling capability to different segments of life-cycle and established Hydrogen Internal Combustion Engine testing capability as part of New York State Energy Research and Development Agency. Fiscal Year 2010: A. Rural Transportation Research Initiative Modified architectural design for additional space in their Center for Transportation buildings basement to house a larger traffic operations center; B. Hydrogen- Powered Transportation Research Initiative Initiated process to interface hydrogen production, storage, and distribution to provide fuel cell with energy source; C. Cold Region and Rural Transportation Research, Maintenance and Operations Implemented construction of test-bed and office and shop, installed weather station at research facility, launched website, equipped research facility with snowmaking system, and ongoing research initiatives include investigating longevity of corrosion inhibitors, roadside animal detection systems, and best practices for removing snow and ice; D. Advanced Vehicle Technology Promoted alternatively fueled race cars at NASCAR events, provided educational and promotion materials for biofuels, and continued investigating the thermodynamics of an ionic liquid; E. Renewable Transportation Systems Research - Continued modeling on efficient transformation of algae into biofuels, hydrogen fuel infrastructure in northern climates and multi-scale modeling of self-assembled nanostructures for hydrogen generation; and F. Alternative Fuels and Life Cycle Engineering - Continued expanding modeling capability to different segment of life-cycle and different propulsion options. Fiscal Year 2011: A. Rural Transportation Research Initiative Seeking federal assistance, e.g., American Recovery and Reinvestment Act, to fund remaining costs for construction of a Center for Transportation Studies at North Dakota State University; B. Hydrogen-Powered Transportation Research Initiative Commence construction of a maglev 30 guideway for testing of two person vehicle powered by renewable hydrogen; C. Cold Region and Rural Transportation Research, Maintenance and Operations Continue with previous year research efforts, install wireless communications system, construct communications tower; and pursue additional research efforts related to enhancing transportation safety in rural transportation and cold regions; D. Advanced Vehicle Technology Continue working on projects that could yield patentable technologies and continue investigating the thermodynamics of an Ionic Liquid-Based Absorption Refrigeration/Air-conditioning system for increased fuel efficiency and reduced emissions; E. Renewable Transportation Systems Research Grant should be in close-out process for the upcoming fiscal year; and F. Alternative Fuels and Life Cycle Engineering Determining the use of higher levels of Ethanol or E20 without converting engines to increase demands for more filling station and assist with neutralizing the carbon footprint.

REGULATIONS, GUIDELINES, AND LITERATURE:

*: 2 CFR Part 220 Cost Principles for Educational Institutions and 2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Organizations.

Regional or Local Office:

None.

Headquarters Office:

Dawn Tucker-Thomas, 1200 New Jersey Avenue, E33-464, Washington, District of Columbia 20590 Email: dawn.tucker-thomas@dot.gov Phone: (202) 366-1300.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.764 HYDROGEN STORAGE RESEARCH AND DEVELOPMENT

(RIT H2 Life Cycle)

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA-LU), Public Law 109-59, 1406 Stat. 3891.

OBJECTIVES:

To conduct research to develop hydrogen storage technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grantee will conduct research according to the provisions provided in SAFTEA- LU (Public Law 109-59, August 10, 2005, 119 Stat. 1406) number 3891. The Department's role will be to manage the grants, provide technical collaboration, coordinate with the grantee to best address related DOT program needs and ensure that no unnecessary duplication of effort exists with other DOT projects.

Applicant Eligibility:

Universities eligible for these grants are identified in Congressional legislation.

Beneficiary Eligibility:

All listed beneficiaries will benefit from results produced by these research efforts.

Credentials/Documentation:

A formal application outlining how the institution will meet the project scope; detailed delineation of organization, staff, faculty, and budget. Costs are determined in accordance with OMB Circular No. A-21. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

This is a Congressional earmark.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 15 days. 15 working days from receipt of proposal.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. The Federal share of this grant shall be 20 percent of the funds received by the grantee.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

SAFTEA- LU (Public Law 109-59, August 10, 2005, 119 Stat 1406. Number 3891. Method of awarding/releasing assistance: by letter of credit.

Reports:

A final technical report at the end of each research project. SF-425. Quarterly progress reports and annual project reports. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions of higher education or other non-profit organizations are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133, Audits of State, Local Governments, and Non-Profit Organizations.

Records:

Grantee is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit finding, all grant products, financial records, and supporting documents.

Account Identification:

69-1730-0-1-407.

Obligations:

(Project Grants) FY 09 \$500,000; FY 10 est \$500,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$350K to \$500K.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Completed kinetics and modeling studies on sodium alanate and have demonstration that absorption is controlled by the reaction at a moving boundary. Completed kinetics studies on the LiBH₄/MgH₂ system using different ratios of LiBH₄ and MgH₂ and shown that the kinetics decrease as the amount of LiBH₄ in the system increases. Have continued studies on hydrogen storage systems based on MgH₂ mixed with additives such as TiH₂ and Mg₂Ni. We have shown that both of these additives can lower reaction temperatures and improve reaction rates.

III. Current Fiscal Year (Actual): Studying complex borohydride systems that are based on Mg(BH₄)₂. TPD analyses show that these systems have some partial reversibility.

IV. Previous Year (Actual): Kinetics studies on the LiBH₄/MgH₂ system are continued. Different ratios of LiBH₄ and MgH₂ are being tested. We have studied complex borohydride systems that contain Cu, Zr or Mn. These systems release hydrogen at lower temperatures than the LiBH₄/CaH₂ system but they are only partially reversible. A paper on the kinetics and modeling studies of the NaAlH₄ system has been submitted for publication. We completed a paper on the LiBH₄/CaH₂ system that has been accepted for publication.

V. Budget Fiscal Year (Estimate): Find novel materials that can store and release large quantities of hydrogen gas at moderate temperatures and pressures in order to meet Department of Energys object of identifying by 2015

materials that will absorb 9 weight percent hydrogen. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR Part 220 Cost Principles for Educational Institutions and 2 CFR Part 215 Uniform Administrative Requirements for Grants and Agreements with Organizations.

Regional or Local Office:

None.

Headquarters Office:

Dawn Tucker-Thomas, 1200 New Jersey Avenue, S.E, Washington, District of Columbia 20590 Email: dawn.tucker-thomas@dot.gov Phone: (202) 366-8018.

Website Address:

<http://chem.desu.edu/researchCenter.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.802 FEDERAL SHIP FINANCING GUARANTEES

(Title XI)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Federal Credit Reform Act, 46 U.S.C 537.

OBJECTIVES:

To provide competitive financing through the issuance of guarantees of debt issued for the purpose of financing or refinancing the construction, reconstruction or reconditioning of vessels built in United States shipyards and guarantee obligations for advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States to stimulate commercial ship construction for domestic and export sales, encourage shipyard modernization, and support increased productivity.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

Guarantees are available to aid in financing of: (1) advanced shipbuilding technology and modern shipbuilding technology of a general shipyard facility located in the United States, and (2) vessels (including eligible export vessels) which are designed for research or for commercial use (a) in coastwise or intercoastal trade; (b) on the Great Lakes or on bays, sounds, rivers, harbors, or inland lakes of the United States; (c) in foreign trade; (d) as an ocean thermal energy conversion facility or plantship; (e) as floating drydocks, or (f) with respect to an eligible export vessel, in world-wide trade. Any vessel of not less than 5 net tons, (other than a towboat, barge, scow, lighter, car float, canal boat or tank vessel of less than 25 gross tons) is eligible.

Applicant Eligibility:

An individual with the ability, experience, financial resources, and other qualifications necessary for the adequate operation and maintenance of a vessel or an eligible shipyard.

Beneficiary Eligibility:

U.S. and foreign shipowners, or eligible U.S. shipyards.

Credentials/Documentation:

Financial history, economic feasibility of project, operating history, citizenship of applicant. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Informal preapplication meeting is recommended. This program is excluded from coverage under OMB Circular No. A-102. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application Form MA-163 must be filed with the Office of Marine Financing, Maritime Administration, 1200 New Jersey Avenue, S.E., Washington, DC 20590.

Award Procedure:

Final approval granted by the Maritime Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

After a complete application has been filed with all supporting documents, processing time is approximately 60 days.

Appeals:

none.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance provided as ship/shipbuilding technology is constructed and/or throughout life of ship/shipbuilding technology, but in any case not to exceed 25 years from vessel delivery or economic life of the assets being financed. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. See "Index of Current Regulations," Maritime Administration.

Records:

Financial reports as per contract. Annual reports regarding citizenship, no default certificates, vessel requirements.

Account Identification:

69-1752-0-1-403.

Obligations:

(Salaries) FY 09 \$3,531,000; FY 10 est \$3,630,000; FY 11 est \$3,688,000. (Guaranteed/Insured Loans) FY 09 \$17,444,772; FY 10 est \$1,420,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Historically projects have ranged from less than \$1 million to several hundred million. (Average is not entered, because it would not be typical of the breadth of the program.).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Two applications were approved in fiscal year 2009. Fiscal Year 2010: As of July 1, 2010, one application has been approved in fiscal year 2010. Fiscal Year 2011: There are five applications pending.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 298. Booklet entitled, "Federal Ship Financing Program."

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Debra Lessans, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-8929.

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

11.415 Fisheries Finance Program; 20.808 Capital Construction Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In the past approvals were granted to aid in the construction of: tankers, cargo ships, LNGs, Bulk/OBOs, integrated tug/barge units, drill rigs, offshore service vessels, ocean tugs and barges, river tugs and barges, shipboard lighters, passenger vessels, ferries, and miscellaneous vessels and advanced and modern shipbuilding technology. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects which involve the construction, reconstruction or reconditioning of vessels in the United States and advanced and modern shipbuilding technology for a general shipyard facility are eligible for Title XI financing. In order to receive approval, applicants must possess the necessary ability, experience, financial resources and other qualifications. The financial resources necessary for the project are determined by the project's costs and the financial position and resources available to the applicant. Additionally, all projects must be determined to be economically feasible before approval can be granted. Applications are generally processed with a view towards accommodating the time frame of each and every applicant.

20.803 MARITIME WAR RISK INSURANCE

(Title XII, MMA, 1936)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Public Law 110-417, 46 U.S.C 539.

OBJECTIVES:

To provide war risk insurance whenever it appears to the Secretary of Transportation that adequate insurance for waterborne commerce cannot be obtained on reasonable terms and conditions from licensed insurance companies in the United States.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

Insurance binders or policies on certain vessels in operation or under construction are provided for nominal cost in peacetime.

Applicant Eligibility:

All U.S. flag vessels, and certain foreign flag vessels meeting specific criteria as determined by the Maritime Administrator.

Beneficiary Eligibility:

Covered beneficiaries include vessel owners, their crews, and certain designated beneficiaries of deceased crew members.

Credentials/Documentation:

Applications, vessel data, unqualified contract of commitment, and other supporting data as required by 46 CFR Part 308. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complete and proper filing of applications, supporting documents, and payment of appropriate binding fees or promises as specified in 46 CFR Part 308.

Award Procedure:

Binders issued only to those applicants that qualify.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 5 to 15 working days.

Appeals:

Not Applicable.

Renewals:

This program has been extended until December 31, 2015.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indeterminate; depends on war situation and posture of the country. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable in peacetime.

Account Identification:

69-4302-0-3-403.

Obligations:

(Insurance) FY 09 \$46,000,000; FY 10 est \$48,000,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

Applicable only in designated combat areas, subject to terms of the binder.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of June 1, 2010, 295 war risk binders have been issued to U.S. citizen-owned and foreign-flag registry and their crews. Insurance affected under these binders cannot be obtained commercially during wartime. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Regulations are set forth under 46 CFR 308 (as published under authority of Public Law 101-115) and 309 as amended from time to time in the Federal Register.

Regional or Local Office:

See Regional Agency Offices. American War Risk Agency, 30 Broad Street 7th floor, New York, NY 10004 Telephone: (212) 405-2814.

Headquarters Office:

Edmond J. Fitzgerald, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-2279.

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

20.806 STATE MARITIME SCHOOLS**FEDERAL AGENCY:**

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Maritime Education and Training Act, Public Law 96-453, 46 U.S.C 51501 - 51511.

OBJECTIVES:

To train future merchant marine officers in State Maritime Schools.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Operation and maintenance of State Maritime Schools; maintenance and repair of training vessels loaned by Federal Government; student incentive payments to selected cadets.

Applicant Eligibility:

State institutions of higher education. Assistance is limited to one maritime academy in any one State.

Beneficiary Eligibility:

Students, meeting eligibility requirements.

Credentials/Documentation:

Formal application from State Governor setting forth evidence of establishment of school; State appropriation for the school; suitable curriculum and facilities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Letter from a State Governor to the Secretary of Transportation or the Maritime Administrator. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102.

Award Procedure:

Need for training must be established. The final award is approved by the Maritime Administrator.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Federal/State contracts for assistance automatically extended year by year unless cancelled.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Annual assistance payment of \$4,500,000 to regional academies if matched by State appropriations and if out-of-State students are enrolled. Federal assistance consists of (1) lump-sum annual assistance payment to schools, (2) loan of vessel and payment for its maintenance, and (3) student incentive payments. States are required to show that their annual funding for the school at least matches the Federal lump sum assistance payment. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Annual payments to the schools are given on a lump-sum basis. Method of awarding/releasing assistance: lump sum.

Reports:

Monthly enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. SF-272. Monthly enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator. SF-269. Monthly enrollment by class. Annual cost analysis of school operation. Other at request of the Maritime Administrator.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations,

nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Pertaining to each school, its officers, faculty and students, the vessel and crew, and the shore base are required to be kept for the period of employment/enrollment, plus 6 years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 09 \$18,000,000; FY 10 est \$18,000,000; FY 11 est \$15,000,000

Range and Average of Financial Assistance:

Annual assistance payment of \$400,000 to each regional school provided State matches funds and admits eligible out-of-state student. An allowance of \$48,000 per academic year, not to exceed 4 years, paid to selected students in good standing in the student incentive payment program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A total of 557 licensed mariners graduated from the State maritime schools in 2009. Forty-one cadets who received student incentive payments graduated as Merchant Marine officers. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310A; Standard college catalogs are available from the schools listed below .

Regional or Local Office:

See Regional Agency Offices. (a) Federal Government: none; (b) standard information for prospective students: Maine Maritime Academy, Castine, ME 04421; Massachusetts Maritime Academy, Buzzards Bay, MA 02532; State University of New York Maritime College, Fort Schuyler, NY 10465; Texas Maritime Academy, Galveston, TX 77550; California Maritime Academy, Vallejo, CA 94591; Great Lakes Maritime Academy, Traverse City, MI 49684.

Headquarters Office:

Anne Dougherty, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-5469.

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

11.417 Sea Grant Support; 20.807 U.S. Merchant Marine Academy

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See USES AND USE RESTRICTIONS section of this program. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.807 U.S. MERCHANT MARINE ACADEMY

(Kings Point)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Maritime Education and Training Act, Public Law 46-51301-51316.

OBJECTIVES:

To educate and train merchant marine officers.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

This program is a federally operated maritime academy providing education,

training, subsistence, books, quarters, uniforms, medical care, and program travel to its U.S. citizen students. Appropriations restricted to the above and the operation and maintenance of the school. International student costs subject to legislation.

Applicant Eligibility:

High school graduates who are U.S. citizens and international students in accordance with legislation. In general, criteria are similar to those generally used for college admission.

Beneficiary Eligibility:

High school graduates who are U.S. citizens and eligible international students.

Credentials/Documentation:

Usual documents for college admission and a comprehensive physical examination. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

U.S. citizens desiring appointment to the school apply to Senate or House of Representative members or Maritime Administrator for nomination. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Nominating officials submit nominations to school's Office of Admission. Selection is competitive by merit.

Award Procedure:

Appointment approved by the Maritime Administrator.

Deadlines:

Mar 01, 2011: Application. Nominations must be submitted by January 31 of the year preceding that in which admission is desired. Applications must be submitted by March 1 of the year in which admission is desired.

Range of Approval/Disapproval Time:

From 3 to 8 months.

Appeals:

To the school.

Renewals:

Applicants not accepted may reapply the following year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Students are furnished with required uniforms and textbooks during their 4 years at the Academy. Method of awarding/releasing assistance: lump sum.

Reports:

At the request of the Maritime Administration. SF-425. At the request of the Maritime Administration. SF-425. At the request of the Maritime Administration.

Audits:

Not Applicable.

Records:

Copies will be furnished at the request of the Maritime Administration. Those pertaining to its officers, faculty, staff and midshipmen shall be kept for the period of enrollment plus eight years.

Account Identification:

69-1750-0-1-403.

Obligations:

(Training) FY 09 \$54,000,000; FY 10 est \$86,000,000; FY 11 est \$100,000,000

Range and Average of Financial Assistance:

Allowance prescribed for all personnel for uniforms and textbooks. During the sea year a midshipman will earn \$700 per month from his or her steamship

company employer.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A total of 196 merchant marine officers graduated in June 2009. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 310 Subpart C; Standard college catalogs and brochures.

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Field Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Anne Dougherty, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-5469.

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

11.417 Sea Grant Support; 20.806 State Maritime Schools

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See USES AND USE RESTRICTIONS section of this program. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Credentials/Documentation section of this program.

20.808 CAPITAL CONSTRUCTION FUND

(CCF)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Section 607, Public Law 91-469, 1018 Stat. , 46 U.S.C 53501 - 53517.

OBJECTIVES:

To provide for replacement vessels, additional vessels or reconstructed vessels, built and documented under the laws of the United States for operation in the United States foreign, Great Lakes, Marine Highways or noncontiguous domestic trades.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The capital construction fund program is a program created to help rejuvenate the American Merchant Marine. The assistance provided is not through payment of appropriated funds, but rather allows the fund holder to defer a portion of tax monies that would otherwise be paid to the U.S. Government during the tax year. The extension of tax deferral privileges encourages the accomplishment of the program objective by allowing the fund holder to accumulate and use otherwise taxable earnings for the purposes of acquiring, constructing or reconstructing vessels built and documented in the United States and operated in the United States foreign, Great Lakes or noncontiguous domestic trade and in the fisheries.

Applicant Eligibility:

An applicant must be a U.S. citizen, own or lease one or more eligible vessels, have a program for the acquisition, construction or reconstruction of a qualified vessel and demonstrate the financial capabilities to accomplish the program.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Be able to provide proof of requirements called for in the Applicant Eligibility section of this program. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Contact the Maritime Administration, Office of Financial Approvals and Marine Insurance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. See Federal Register Notice (46 CFR Part 390, Appendix I) dated January 29, 1976. Persons seeking to enter into a Capital Construction Fund Agreement may make application by letter to the headquarters office shown below.

Award Procedure:

The Office of Financial Approvals and Marine Insurance reviews the application to determine the citizenship of the applicant, whether the applicant owns or leases one or more eligible vessels, has an acceptable program for the acquisition, construction or reconstruction of a qualified vessel and has the financial capability to accomplish the program. Approved by the Associate Administrator for Business and Workforce Development.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends upon the individual program agreed to between the Maritime Administration and the applicant. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual reports specified in the agreement and the rules and regulations. SF-425. Annual reports specified in the agreement and the rules and regulations. SF-425. Annual reports specified in the agreement and the rules and regulations.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All financial records are subject to audit by the Internal Revenue Service and/or the Maritime Administration, Department of Transportation.

Records:

See Reports.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 09 \$0; FY 10 est \$0; FY 11 est not reported. - None. Company's own funds are used for the program.

Range and Average of Financial Assistance:

Applicant receives tax benefits for depositing assets in accordance with the program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of March, 2010, there were a total of over 175 active individual and consolidated Capital Construction Fund Agreements, with reported cumulative deposits since program inception in excess of \$4.5 billion to accomplish construction and acquisition programs. Thus far in calendar year 2010, two applications have been approved. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Capital Construction Fund Agreement; Parts 390 and 391, Title 46, CFR; Part 3, Title 26, CFR.

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Edmond J. Fitzgerald, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-2279.

Website Address:

<http://www.dot.gov>.

RELATED PROGRAMS:

20.802 Federal Ship Financing Guarantees; 20.812 Construction Reserve Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The types of projects funded through the CCF are the acquisition, construction, and reconstruction of qualified agreement vessels such as tugs, barges, break bulk cargo vessels, LNG carriers, tankers, etc. In addition, these vessels must be documented under the laws of the United States and operated in the United States foreign, Great Lakes or noncontiguous domestic trade. The definition of noncontiguous domestic trade includes trade between fixed drilling and production platforms located on the Outer Continental Shelf of the United States at a distance more than three miles from shore and the U.S. mainland. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See Award Procedure section of this program.

20.812 CONSTRUCTION RESERVE FUND

(CRF)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Merchant Marine Act of 1936, Section 511, Public Law 74-835, 46 U.S.C 1161.

OBJECTIVES:

To promote the construction, reconstruction, reconditioning, or acquisition of merchant vessels built and documented under the laws of the United States for purposes of national defense and the development of U.S. commerce.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The Construction Reserve Fund (CRF), authorized under Section 511 of the Act, is a financial assistance program which provides tax deferral benefits to U.S. flag operators. The CRF permits the fundholder to deposit gains attributable to the sale of or indemnification for loss of vessels and to defer tax on such gains provided the gains are to be reinvested in vessels. Also the CRF allows the accumulation of earnings from operations of vessels documented in the U.S., or the earnings from the investment of the Fund. The ability to accumulate funds and the tax deferral provision have enabled fundholders to construct or reconstruct in the United States and documented under the laws of the United States larger, better-equipped vessels, reduce mortgage debt on the vessels and construct a greater number of vessels than would be possible without the program.

Applicant Eligibility:

A Construction Reserve Fund (CRF) may be established by any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S., or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual may establish a CRF.

Beneficiary Eligibility:

Any citizen of the United States who owns, in whole or in part, a vessel or vessels operating in the foreign or domestic commerce of the U.S. or in the fisheries. Additionally, any citizen who is operating such vessel or vessels owned by another individual.

Credentials/Documentation:

Be able to provide proof of requirements called for in Applicant Eligibility section of this program. The program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Contact the Maritime Administration, Office of Shipyards and Marine Financing. This program is excluded from coverage under OMB Circular No. A-102. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. You may manually enter in any other application procedures not found above See Federal Register Notice (46 CFR 287.4). Persons seeking to establish a Construction Reserve Fund may make application by letter to the headquarters office shown below. This program is excluded from coverage under OMB Circular No. A-102 and A- 110.

Award Procedure:

Review of the application by the Office of Shipyards and Marine Financing to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Depends on each individual program agreed upon between the Maritime Administration and the applicant. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

See Reports section of this program.

Account Identification:

69-1750-0-1-403.

Obligations:

(Direct Payments for Specified Use) FY 09 \$0; FY 10 est \$0; FY 11 est not reported. - Company's own funds are used for the program.

Range and Average of Financial Assistance:

Defer tax on gains by depositing the gains attributable to the sale of or indemnification for loss of vessels in accordance with the program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of March 2009, there were 30 construction reserve fund contracts with deposits totaling over \$250,000,000 for the construction, reconstruction and acquisition of the proposed programs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Part 287, Title 46 CFR; Order and Form of Resolution which outlines details pertaining to the establishment and maintenance of the Construction Reserve Fund.

Regional or Local Office:

See Regional Agency Offices. See Maritime Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Brenda J. Bell, 1200 New Jersey Avenue, S.E. , Washington, District of Columbia 20590 Phone: (202) 366-8797 .

Website Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

20.802 Federal Ship Financing Guarantees; 20.808 Capital Construction Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Vessels such as coastwise tankers, ocean tugs, chemical barges, and crewboats are particular programs that have been or will be constructed, reconstructed or acquired through the Construction Reserve Fund. These vessels must be constructed in the United States and documented under the laws of the United States. If the vessel is less than 12 knots speed and 2,000 gross tons, it must be determined as useful to the United States in case of war or national emergency. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Review of the application by the Office of Shipyards and Marine Financing to determine citizenship of the applicant, if applicant is owner or operator of the vessel and if the proposed objective of construction, reconstruction or acquisition is acceptable.

20.813 MARITIME SECURITY FLEET PROGRAM

(Maritime Security Program)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

The Maritime Security Act of 2003, Public Law 108-136.

OBJECTIVES:

To maintain a U.S.-flag merchant fleet crewed by U.S. mariners to serve both the commercial and national security needs of the United States.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Vessel's Operations restricted to U.S. foreign trade.

Applicant Eligibility:

U.S. citizens and operators of U.S. flag vessels .

Beneficiary Eligibility:

Ownership and operation of vessels and facilities useful to the United States in time of war or national emergency.

Credentials/Documentation:

Ownership of U.S. documented vessels. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Solicitation for applications in the Federal Register.

Award Procedure:

Office of Sealift Support and the Department of Defense review applications against criteria established in the Maritime Security Act of 2003.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 Days from closing of application.

Appeals:

Decisions concerning administration of the Maritime Security Program will be referred to the Maritime Administrator.

Renewals:

Program is based on 1-year contracts renewable each year subject to funding not to extend past fiscal year 2015.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One year contracts, renewable yearly, payable in monthly installments. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Maritime Security Program subject to both internal and external audit. These audits will be made by the Office of the Inspector General (DOT) in accordance with the General Accounting Office guidelines, "Standards for Audit of Government Organizations, Programs, Activities and Functions," and additional OMB guidance.

Records:

Vouchers on a monthly basis.

Account Identification:

69-1711-0-1-054.

Obligations:

(Direct Payments for Specified Use) FY 09 \$174,000,000; FY 10 est \$174,000,000; FY 11 est \$174,000,000 - (Direct payments) FY 09 \$174,000,000; FY 10 \$174,000,000, and FY 11 \$174,000,000. (Direct Payments for Specified Use) FY 09 not reported.; FY 10 est not reported.; FY 11 est \$174,000,000

Range and Average of Financial Assistance:

\$2.9 million per vessel per year (FY 2009-2011). \$3.1 million per vessel per year (FY 2012-2015).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of January 1, 2009, 59 ships were enrolled in the program with one application pending. Fiscal Year 2010: 60 ships enrolled in the program. Fiscal Year 2011: 60 ships enrolled in the program.

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR Part 296.

Regional or Local Office:

None.

Headquarters Office:

Brenda J. Bell, 1200 New Jersey Avenue, S.E. , Washington, District of Columbia 20590 Phone: (202) 366-8797.

Website Address:

<http://www.marad.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Maritime Security Program payments are paid to vessels operating in U.S. foreign commerce. Vessels include containerships, lighter-aboard ships(LASH), Roll-on/Roll-off vessels (RO/RO), tankers and heavy lift ships. Fiscal Year 2010: Maritime Security Program payments are

paid to vessels operating in U.S. foreign commerce. Vessels include containerhips, lighter- aboard ships(LASH), Roll-on/Roll-off vessels (RO/RO), tankers and heavy lift ships. Fiscal Year 2011: Maritime Security Program payments are paid to vessels operating in U.S. foreign commerce. Vessels include containerhips, lighter- aboard ships(LASH), Roll-on/Roll-off vessels (RO/RO), tankers and heavy lift ships.

CRITERIA FOR SELECTING PROPOSALS:

(1) Vessel is required to maintain U.S.-flag presence in foreign commerce and/or necessary for meeting military sealift requirements; (2) Applicant possesses operational expertise and intermodal assets useful to the United States in meeting its sealift requirements; (3) Maritime Security Program participants are required to commit sealift and intermodal capacity to the Emergency Preparedness Program (EPP), approved by the Secretary of Defense (SECDEF).

20.814 ASSISTANCE TO SMALL SHIPYARDS

(Small Shipyard Grants)

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

Section 3508 National Defense Authorization Act, Public Law 110-417.

OBJECTIVES:

To award grants for capital improvements and related infrastructure improvements at qualified shipyards that will facilitate the efficiency, cost effectiveness, and quality of domestic ship construction for commercial and Federal Government use.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

For capital and related infrastructure improvements. Cannot be used for physical facility construction or land acquisition unless specifically approved by Administrator as consistent with capital and infrastructure improvements. Federal funds cannot exceed 75 percent of project cost unless (1) cost is less than \$26,075, or (2) determination is made that project merits support and requires additional assistance. Cannot be used for physical facility construction or land acquisition unless specifically approved by the Administrator as consistent with capital infrastructure improvements. Federal funds cannot exceed 75 percent of project costs unless a determination is made that project merits support and requires additional assistance.

Applicant Eligibility:

Either a shipyard or a State or local government on behalf of a shipyard can apply. The shipyard must be one in a single geographical location, located in or near a maritime community, that (1) is a small business concern within the meaning of section 3 of the Small Business Act (15 U.S.C. 632); and (2) does not have more than 600 production employees. Other factors taken into account when grants are awarded will be (a) the economic circumstances and conditions of the maritime community near to which a shipyard is located; and (B) the local, State and regional economy in which such community is located.

Beneficiary Eligibility:

Shipyard.

Credentials/Documentation:

As required by application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. SF-424 application form must be filed with the Maritime Administration. There are specific agency instructions for additional information which should be provided as an addendum to Form SF-424. These instructions were published in the Federal Register on January 10, 2008, in Vol.73, No. 7, pp.1912-1913. Applicants can go to www.grants.gov to apply.

Award Procedure:

Awards will be made by the Maritime Administrator in such amounts and under such conditions as he determines.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Public Law 110-417 provides that no more than 75 percent of a project's cost may be paid from Federal Funds.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

To be individually determined based on project. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. SF-425 is required. There will be Progress Reports, Annual Reports, and/or Status Reports depending on the nature of the project and the period of time it is expected to take. No expenditure reports are required. Monitoring is based done by the audit requirements. Grants over \$500,000 have mandatory audits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipient is required to retain intact, for 3 years following submission of the final expenditure report, pending resolution of audit findings, all project contract documents, financial records and supporting documents.

Account Identification:

69-1770-0-1-021.

Obligations:

(Project Grants) FY 09 \$99,000,000; FY 10 est not reported.; FY 11 est not reported. (Project Grants) FY 09 \$0; FY 10 est \$15,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Awards can be in any amount up to \$15,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, 454 applications were received and 70 awards were granted for the ARRA funding, 62 additional applications were received and 14 were granted with Omnibus funding. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register publication on January 10, 2008 in Vol.73, No.7, pp.1912-1913. Implementing legislation: Section 3506 of the National Defense Authorization Act for Fiscal Year 2006 (Public Law 109-163) and section entitled "Assistance to Small Shipyards" in Consolidated Appropriations Act, 2008.

Regional or Local Office:

None.

Headquarters Office:

Carl.Setterstrom, 1200 New Jersey Ave, Room W21-318, Washington, District of Columbia 20590 Email: carl.setterstrom@dot.gov Phone: (202) 366-5737 Fax: (202) 366-6988.

Website Address:

<http://www.marad.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Pacific Ship Repair & Fabrication, Inc., San Diego, California: \$319,365 for waterjet cutting machine, press brake, shear, North Florida Shipyards, Inc., Jacksonville, Florida: \$3,312, 369 for waterblast equipment and a 600-ton travelift, Bollinger Shipyards Lockport LLC, Lockport, Louisiana: \$1,146,596 for a press, plasma cutting table, 6 mill, Total Marine Services of Jefferson, Inc., of Harvey, Louisiana: \$640,264 for 100 ton crawler crane and welding equipment, Duclos Corporation dba Gladding-Hearn Shipbuilding of Somerset, Massachusetts: \$629, 675 for lifts, skylights, lighting upgrades, overhead cranes, and two 22-ton cranes, Basic Marine, Inc., of Escanaba, Michigan: \$1,376,187 for a cutting table, press brake, and welders, VT Halter Marine Inc (Pascagoula), Pascagoula, Mississippi: \$1,565,587 for a 450-ton transporter and portable retractable platen cover, VT Halter Marine Inc, Moss Point Marine, Moss Point, Mississippi: \$868,011 for a CNC burning table, VT Halter Marine Inc, Halter Moss Point, Mississippi: for \$436,406 for press brake and CNC burning table, Zidell Corporation, Portland, Oregon: \$454,042 for plasma cutting machine, welding equipment, vacuum plate lifter, Aker Philadelphia Shipyard, Inc., Philadelphia, Pennsylvania: \$1,951,022 for thermal machining center, William E. Munson Co., Inc, Edmonds, Washington: \$150,585 for welders, shear, press, Bay Shipbuilding Co. (A Division of Fincantieri Marine Group LLC) of Sturgeon Bay, Wisconsin: \$2,894,972 for 220-ton transporter, micro panel line, burning machine, magnetic lift beam, brake press, Marinette Marine Company of Marinette, Wisconsin: \$1,404,919 for bridge cranes, deck straightener, cable system, panel line jib cranes. Fiscal Year 2010: Boothbay Harbor Shipyard, LLC (Boothbay Harbor, ME) - \$360,900 for new wider cradle for 750 ton marine railway, C&G Boat Works, Inc. (Mobile, AL)- \$1,199,122 for new 220 ton crawler crane, Caddell Dry Dock & Repair Company, Inc. (Staten Island, NY)- \$1,162,636 to refurbish drydock, Chesapeake Shipbuilding Corporation (Salisbury, MD)- \$519,098 for improvements to doors, heaters and air and gas distribution systems, Detyens Shipyards, Inc. (Charleston, SC)- \$922,393 for new tower crane, hydro-blast units and overhead shop cranes, Diversified Marine Tech, Inc. (Tampa, FL)- \$644,425 for 90-ton crane and modification of barge for crane use, Earl Industries, LLC (Portsmouth, VA)- \$923,496 for laser cutter and two 5-ton bridge cranes, Fraser Shipyards, Inc. (Superior, WI)- \$257,990 for cutting machine and welding equipment, Gulf Craft, LLC (Patterson, LA)- \$1,760,065 for 500-ton travelift crane, JB Marine Services, Inc. (St. Louis, MO)- \$195,000 for bridge crane, ironworker, steel shear, and forklift, Marisco, Ltd. (Kapolei, HI)- \$1,079,224 for cranes, forklifts, welding machines, compressors and dust collector, Puglia Engineering, Inc. (Bellingham, WA)- \$1,333,267 for floating drydock enhancements, 80-ton rough terrain crane and coating equipment, Sause Bros., Inc. dba Southern Oregon Marine, Inc. (Coos Bay, OR)- \$173,749 for water blast system, sandblasting machine and big top shelter, Southwest Shipyard L.P. (Channelview, TX)- \$1,602,870 for panel line, The Thames Shipyard & Repair Company, Inc. (New London, CT)- \$1,446,000 to widen and lengthen drydock, United States Marine, Inc. (Gulfport, MS)- \$476,670 for epoxy oven, composite freezer and CNC material cutter, Pacific Fishermen Shipyard and Electric LLC (Seattle, WA)- \$643,095 for worker training program, sand blast paint and booths, sand blast grit recovery systems, man lifts and 15-ton crane. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) How well the project will facilitate the efficiency, cost-effectiveness, and quality of domestic ship construction for commercial and Federal Government use, and (2) the economic factors set forth in section 081 above.

20.816 AMERICAS MARINE HIGHWAY GRANTS

Marine Highway Program

FEDERAL AGENCY:

Maritime Administration (MARAD), Department of Transportation

AUTHORIZATION:

2010 National Defense Authorization Act (Section 3515), Public Law 111-84,

46 U.S.C 55601 (g).

OBJECTIVES:

Mitigate landside congestion and receive public benefit and external cost savings by awarding Marine Highway grants to qualified applicants to implement projects or components of a designated Marine Highway project. This meets the objectives of the National Defense Authorization Act of FY 2010 (111th Congress, Public Law 111-84), which amends the Energy Independence and Security Act of 2007 (110th Congress, Public Law 110-140).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The assistance will be used to provide waterborne alternative to available landside transportation services using documented vessels and provide transportation services for passengers or freight (or both) that may reduce congestion on landside infrastructure, realize public benefit and/or reduce external costs using documented vessels. Components of projects that are eligible for grant funding include, but are not limited to the following: Port and terminal infrastructure (wharves, docks, terminals, paving, etc.) Cargo, passenger and/or vessel handling equipment Efficiency or capacity improvements in ports, terminals, aboard vessels, intermodal connectors, etc.

Investments that improve environmental sustainability; and New or used vessel purchase or vessel modifications,. 100 percent are discretionary funds.

Applicant Eligibility:

Grant applicants must be public agencies at the state, regional or local level including, but not limited to, Metropolitan Planning Organizations, State governments (including State Departments of Transportation) and port authorities. They must also have had their projects for which they are requesting funds be designated as Marine Highway Projects under Americas Marine Highway Program (110th Congress, Public Law 110-140).

In order to receive a grant under this program, applicants must submit an application to the Secretary and demonstrate that the project is financially viable, the funds will be spent efficiently and effectively, and that a market exists for the services of the proposed project as evidenced by contracts or written statements of intent from potential customers. An applicant shall provide at least 20 percent of the project costs from non-Federal sources. In awarding grants under the program, the Secretary shall give a preference to those projects or components that present the most financially viable transportation services and require the lowest percentage Federal share of the costs." Eligible applicants include those entities defined within OMB A-87, excluding Federal agencies due to matching-fund regulatory requirements. Sponsored organizations may apply if an MOU/MOA exists with a public agency defined by OMB A-87.

Beneficiary Eligibility:

Eligible beneficiaries include those entities defined in OMB A-102 and Circular A-122.

Credentials/Documentation:

The following documentation is required:

1. First page of application:
 - A. Marine Highway Project name (as stated in the Departments Letter of Designation)
 - B. Primary point of contact for applicant
 - C. Amount of dollars of Grant Funds the applicant is seeking, sources and share of other matching funds.
 - D. Summary of what the grant funding will be used for
 - E. Project parties
 - F. Recipients of Marine Highway grants and their first-tier sub-awardees will be required to have a DUNS number (<http://www.dnb.com>) and a current registration in the Central Contractor Registration (<http://www.ccr.gov>). While these items do not need to be provided as part of the application, a Marine Highway Grant will not be awarded if a recipient or first-tier sub-awardee does not have these items.
2. Contact Information: An application should include the name, phone number, e-mail address and organization address of the primary point of contact for the applicant. The Department will use this information to inform parties of

the Departments decision regarding selection of grantees, as well as to contact parties in the event that the Department needs additional information about the application.

3. Grant Funds and Sources and Uses of Project Funds: An application should include information about the amount of grant funding requested, sources and uses of all project funds, total project costs, percentage of project costs that would be paid for with Marine Highway Grant funds and the identity and percentage shares of all parties providing funds for the project (including Federal funds provided under other programs).

4. Selection Criteria: In general, applications will be evaluated based on the information provided in the original application for designation as a Marine Highway Project as set forth in the (final rule). However, as addressed in section (IV) Selection Criteria of this notice, applicants may provide additional information. This information should be provided in the order it was solicited in the (final rule).

5. National Environmental Policy Act Requirement: An application must detail whether the project will significantly impact the natural, social and/or economic environment. If the NEPA process is completed, an applicant must indicate the date of, and provide a Web site link or other reference to, the final Categorical Exclusion, Finding of No Significant Impact or Record of Decision. If the NEPA process is underway but not complete, the application must detail where the project is in the process, indicate the anticipated date of completion and provide a website link or other reference to copies of any NEPA documents prepared.

6. Environmentally Related Federal, State and Local Actions: An application must indicate whether the proposed project is likely to require actions by other agencies (e.g., permits), indicate the status of such actions and provide a website link or other reference to materials submitted to the other agencies, and/or demonstrate compliance with other Federal, State and local regulations as applicable.

7. Certification Requirements: In order for an application to be considered for a grant award, the Chief Executive Officer of the applicant is required to certify, in writing, to the following:

A. That, except as noted in this grant application, nothing has changed from the original application for formal designation as a Marine Highway Project.

B. The project sponsor will administer the project and any funds received will be spent efficiently and effectively.

C. Applicants will provide information, data and reports as required by the grantor. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

As stated in the Notice of Funding Availability, The 2010 National Defense Authorization Act directs the Secretary of Transportation to establish and implement a short sea transportation grant program to implement projects or components of projects designated as a Marine Highway Project. The Final Rule for Americas Marine Highway Program (46 CFR Part 393)) provides the criteria to submit an application to become a designated Marine Highway Project. From the Notice of Funding Availability, Requirements of the National Environmental Policy Act (NEPA) may apply. Applicants should consult 40 CFR Section 1500 if there are any questions regarding NEPA requirements. An application must detail whether the project will significantly impact the natural, social and/or economic environment. If the NEPA process is completed, an applicant must indicate the date of, and provide a Web site link or other reference to, the final Categorical Exclusion, Finding of No Significant Impact or Record of Decision. If the NEPA process is underway but not complete, the application must detail where the project is in the process, indicate the anticipated date of completion and provide a website link or other reference to copies of any NEPA documents prepared. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. See guidance provided herein throughout.

Award Procedure:

All applicable Federal laws, rules and regulations will apply to projects that receive Marine Highway Grants. How soon after award a project is expected to expend Grant Funds and start construction, acquisition or procurement will be considered on a case-by case basis and will be specified in the project-specific grant agreement. The Department reserves the right to revoke any award of Marine Highway Grant funds and to award such funds to another project to the extent that such funds are not timely expended and in accordance with the project schedule. The Departments ability to obligate funds for Marine Highway Grants expires on September 30, 2010.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days. Contingent on publication date. The end date is 3 weeks following publication.

Appeals:

Not Applicable.

Renewals:

The Departments ability to obligate funds for Marine Highway Grants under the National Defense Authorization Act of FY 2010 expires on September 30, 2010.

Formula and Matching Requirements:

Statutory Formula: Public Law 111-84.

Matching Requirements: Percent: 20%. *? Applicants are required to provide at least 20 percent of the project costs from non-Federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants shall demonstrate to the satisfaction of the Secretary that the funds received will be spent efficiently and effectively. How soon after award a project is expected to expend Grant Funds and start construction, acquisition, or procurement will be considered on a case-by case basis and will be specified in the project-specific grant agreement. See the following for information on how assistance is awarded/released: Grants are expended upon invoicing .

Reports:

According to the Notice of Funding Availability, Applicants will provide information, data, and reports as required by the grantor.. The grantee shall submit an electronic copy of the SF- 270 form, no more frequently than monthly for Advance or Reimbursement, and the SF-425 Federal Financial Report which replaces the SF-269 and the SF-272. Monthly Project Reports are due to the Government beginning on the first day of the first full month following execution of the grant agreement. (SF-425). Monthly per SF-425.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In the section of the grant agreement cited Other Reports in accordance with the purposes of the Recovery Act, the grantee may be required to submit additional information in responses to requests from DOT, OMB, the Congressional Budget Office, the Government Accountability Office of the DOT inspector General. The Government will inform gantees if and when such additional reports are required.

Records:

Records must be maintained as outlined in the Federal Acquisition Regulations and OMB A 136 (Financial Reporting Requirements).

Account Identification:

69-1750-0-1-403 - Operations and Training Account.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$7,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Grants can be up to \$7 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Existing literature includes MARAD 2010-0035 (April 9, 2010). In addition, the Maritime Administration solicited a call for projects on April 15, 2010 (Federal Register, Vol. 75, No. 68; p. 18095).

Regional or Local Office:

See Regional Agency Offices. Maritime Administration Gateway Offices.

Headquarters Office:

Michael J. Gordon, Maritime Administration, Office of Marine Highways and Passenger Services, 1200 New Jersey Ave., Mail Stop 201, Washington, District of Columbia 20590 Email: michael.gordon@dot.gov Phone: (202)366-5468 Fax: (202) 366-6988.

Website Address:

http://marad.dot.gov/ships_shipping_landing_page/mhi_home/mhi_home.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Three criteria will be considered in the evaluation process, which were established in the final rule. This information will have been provided by applicants during the project designation process. No additional applicant input is required to address these criteria. These criteria establish the degree to which projects are expected to:

1. Reduce external cost and provide public benefit,
2. Offer a lower-cost alternative to increasing land-based capacity in the Corridor, and
3. Demonstrate the likelihood of becoming self-supporting in a specified and reasonable timeframe.

I. Applicants may, however opt to provide additional information specific to the above criteria if they desire. In evaluating this option, applicants should consider paragraph (g)(2)(B) of section 3515 of the National Defense Authorization Act of 2010, which requires that, in order to receive a grant under the program, the following factors will be taken into consideration:

1. Applicants shall demonstrate to the satisfaction of the Secretary that the funds received will be spent efficiently and effectively,
2. Applicants shall demonstrate to the satisfaction of the Secretary that a market exists for the services of the proposed project as evidenced by contracts or written statements of intent from potential customers,
3. An applicant shall provide at least 20 percent costs from non-Federal sources, and,
4. In awarding grants under the program, the Secretary shall give preference to those projects or components that present the most financially viable transportation services and require the lowest percentage Federal share of the costs.

While the criteria above were generally addressed in the original Marine Highway Project application requirements (Section 393.4(e)1(G); Proposed Project Timeline; and (F)(ii) and (i); Business Planning, respectively), these more specific requirements may warrant submission of additional information in response to this Notice of Funding Availability. It is important that this information is available to the Department during the grant evaluation process.

20.900 TRANSPORTATION_CONSUMER AFFAIRS

Transportation_Aviation Consumer Protection

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Federal Aviation Act of 1958, Section 1002, Public Law 85-726, 49 U.S.C

1301, as amended by Federal Aviation Act of 1958, Section 204, Public Law 95-504.

OBJECTIVES:

To improve service provided to the public by U.S. and foreign air carriers.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

The Aviation Consumer Protection Division provides assistance and information to consumers, including air travelers with disabilities, trying to resolve complaints against airlines, travel agents, and air tour operators. The Division also investigates alleged violations of airline passengers' civil rights and provides information about specific air travel service problems such as lost baggage, oversold flights, scheduling problems and overcharges, and may refer people to local Small Claims courts if informal mediation efforts fail.

Applicant Eligibility:

All users of air transportation.

Beneficiary Eligibility:

All users of air transportation.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Division requests that complainants first contact the airline in an attempt to resolve the complaint. If that is unsuccessful, they can call or email the Division or send a letter stating pertinent facts about the incident that caused the complaint as well as copies (never originals) of tickets or baggage tags, if these documents help to explain the nature of the problem. Please include with your letter of complaint a daytime telephone number.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

69-0102-0-1-407.

Obligations:

(Investigation of Complaints) FY 09 \$2,234,891; FY 10 est \$2,294,871; FY 11 est \$0

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Actual: \$10,764

For calendar year, 2008, the total number of consumer complaints, information requests, opinions and compliments received totaled \$13,000. Fiscal Year 2010: 11,000. Fiscal Year 2011: 12,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of the pamphlet "Fly-Rights, A Guide to Air Travel in the U.S." are available from the Consumer Information Center-6A, P.O. Box 100, Pueblo, CO 81002. Enclose check or money order payable to Superintendent of Documents in the amount of \$1.75 per copy with a 25 percent discount on orders of 100 copies or more. Copies of the "Air Travel Consumer Report" issued monthly and "Plane Talk", a series of air travel fact sheets, are available free of charge by writing to the headquarters office listed. These publications can also be found on the Department of Transportation's world wide web site at: <http://airconsumer.ost.dot.gov>.

Regional or Local Office:

See Regional Agency Offices. Alaska Aviation Statistics Field Office, Department of Transportation, 801 B Street Room 506, Anchorage, AK 99501-23657. Telephone: (907) 271-5147.

Headquarters Office:

Norman Strickman, 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Email: norman.strickman@dot.gov Phone: (202) 366-2220.

Website Address:

<http://airconsumer.ost.dot.gov>

RELATED PROGRAMS:

33.001 Shipping_Dispute Resolution and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.901 PAYMENTS FOR ESSENTIAL AIR SERVICES

EAS

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Airline Deregulation Act of 1978, 49 U.S.C 41731-41748.

OBJECTIVES:

To assure that air transportation is provided to eligible communities by subsidizing air carriers when necessary to provide service.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

When necessary subsidy payments are made to air carriers providing air services at certain points. Subsidy is paid to cover the carrier's prospective operating loss, plus a profit element.

Applicant Eligibility:

Air carrier must be found fit and be selected by the Department to perform the subsidized service.

Beneficiary Eligibility:

Air carriers and eligible local communities.

Credentials/Documentation:

Financial statements and detailed operating statistics. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In accordance with 14 CFR, Part 271, and specific Department requests in docketed cases.

Award Procedure:

Evaluation of applications according to factors established in 49 U.S.C. 41731, et seq., and any Department-established factors.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 2 to 4 months.

Appeals:

In accordance with 14 CFR, Part 302.14.

Renewals:

Application procedures are repeated.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with Department evaluation and determination of demonstrated need. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Air carriers are subject to audits of financial and operational fitness, of major contested claims of compensation for continued service, and of monthly billings to the Department for subsidy.

Records:

Air carriers must retain all books, records and other source and summary documentation for 7 years to support subsidy claims.

Account Identification:

69-8304-0-7-402.

Obligations:

(Salaries) FY 09 \$138,000,000; FY 10 est \$200,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

For continental United States: range from \$237,825 to \$3,082,403 annually; an average of \$1,485,777 annually per community per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Essential air services has been maintained with subsidy support at approximately 150 communities affected by airline deregulation. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41731, et seq.; 14 CFR, Parts 204, 271, 323, 325, 398.

Regional or Local Office:

None.

Headquarters Office:

Office of Aviation Analysis, X-50, Department of Transportation, 1200 New

Jersey Ave S.E., Washington, District of Columbia 20590 Phone: (202) 366-5903.

Website Address:

<http://ostpxweb.dot.gov/aviation/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Great Lakes Aviation-Essential Air Service to Alliance, Chadron, Kearney, and McCook, Nebraska. (2) Cape Air Airlines-Essential Air Service to Ogdensburg, Massena and Watertown, New York . (3) Alaska Airlines-Essential Air Service to Cordova, Gustavus, Petersburg, Wrangell, and Yakutat, Alaska. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Established by statute and by precedent in individual docketed cases.

20.904 BONDING ASSISTANCE PROGRAM

Bonding Assistance Program

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 97-449, 49 U.S.C 332.

OBJECTIVES:

To encourage, promote, and assist minority entrepreneurs and businesses in getting transportation-related contracts, subcontracts, and projects by providing bonding assistance to obtain bid, payment, and performance bonds.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; INSURANCE; Provision of Specialized Services

USES AND USE RESTRICTIONS:

Assistance is provided in support of the bid for, or performance of, transportation-related contracts. A transportation-related contract is one for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the nations modes of transportation with any public or commercial provider of transportation or any federal, state or local transportation agency.

Applicant Eligibility:

The recipient of bonding assistance must be a small and disadvantaged business. Hereafter, the term small and disadvantaged business will refer to: 8(a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women owned small business (WOB), HubZone, service disabled veteran owned business (SDVOB), and veteran owned small business (VOSB). Bonding Assistance provided under the American Recovery and Reinvestment Act of 2009 (ARRA) is only available to Disadvantaged Business Enterprises (DBE) certified under 49 Code of Federal Regulations Part 26.

Beneficiary Eligibility:

The recipient of bonding assistance must be a small and disadvantaged business. Bonding Assistance provided under the American Recovery and Reinvestment Act of 2009 (ARRA) is only available to Disadvantaged Business Enterprises (DBE) certified under 49 Code of Federal Regulations Part 26.

Credentials/Documentation:

The recipient of bonding assistance must provide proof of certification by the certifying agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Insurance/Surety Bonds:

Applications are submitted directly to a local bond agent for the DOT Bond Guarantee Program participating surety companies. Applicants may obtain a copy of the application and the contact information for the local bond agents for participating surety companies at <http://www.osdbu.dot.gov> .

Provision of Specialized Services/Technical Assistance: Applications may be submitted directly to the participating SBTRC. Applicants may obtain a copy of the application and a list of the participating SBTRCs and their contact information at <http://www.osdbu.dot.gov> .

Direct Assistance/Bonding Fee Subsidy: Application procedures may be found at <http://www.dot.gov/recovery/ost/> .

Award Procedure:

All applications received by the current deadline published in the Federal Register are reviewed by the DOT Office of Small and Disadvantaged Business Utilization (OSDBU) in the order of submission. All eligible applicants are approved until funding is depleted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Insurance/Surety Bonds: The goal to complete the review of a bond guarantee application is 1 to 3 days.

Provision of Specialized Services/Technical Assistance: The goal to complete the review of a technical assistance application is immediate to day.

Direct Assistance/Bonding Fee Subsidy The goal to complete the review of a bonding fee subsidy application to verify eligibility is 1 to 3 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Insurance/Surety Bonds: Bonds are guaranteed until the termination of the bond after a reasonable period after completion of the bonded solicitation or contract. Provision of Specialized Services/Technical Assistance: Services are provided until they are no longer required by the participant or the participant is no longer eligible. Direct Assistance/Bonding Fee Subsidy: Bonding fee assistance is provided immediately upon verification of eligibility on a bond by bond basis. See the following for information on how assistance is awarded/released: Insurance/Surety Bonds: On-going on an approved application basis. Provision of Specialized Services/Technical Assistance: Service providers are funded on an annual basis. Direct Assistance/Bonding Fee Subsidy: On-going on an approved application basis..

Reports:

Monthly, internal OSDBU reports; Reports to the Secretary of Transportation on all bond approvals. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Insurance/Surety Bonds: DOT has the right to audit its accounts with any participating surety. Provision of Specialized Services/Technical Assistance: Direct Assistance/Bonding Fee Subsidy: DOT has the right to audit the records pertaining to the receipt of a bonding fee subsidy of all participating federal or non-federal entities.

Records:

Insurance/Surety Bonds: DOT and the participating local bond agents maintain applicant records. Records of approved bonds are maintained by the participating surety companies.

Provision of Specialized Services/Technical Assistance: Participant records are maintained by the participating SBTRCs with access provided to DOT.

Account Identification:

69-0102-0-0-119.

Obligations:

(Salaries) FY 09 \$13,292; FY 10 est \$19,986,708; FY 11 est not reported.

Range and Average of Financial Assistance:

Insurance/Surety Bonds: The average dollar amount of bonds guaranteed under the program is \$330,000. Provision of Specialized Services/Technical Assistance: Program is still in the pilot stage. Program is being delivered to participants at no additional cost to DOT Direct Assistance/Bonding Fee Subsidy: The average amount of bonding fee subsidy is \$14,301.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Insurance/Surety Bonds: The program was unfunded in 2009. Provision of Specialized Services/Technical Assistance: Pilot program launched during the 3rd Quarter of 2010.

Direct Assistance/Bonding Fee Subsidy: The program did not launch until August 28, 2009. DOT approved one application. Fiscal Year 2010:

Insurance/Surety Bonds: The program is unfunded in 2010.

Provision of Specialized Services/Technical Assistance: Pilot program launched during the 3rd Quarter of 2010. There are three, ten-week workshops with a goal of obtaining bonding for the one hundred participants.

Direct Assistance/Bonding Fee Subsidy: DOT has approved twenty eight applications year to date in FY2010 for a total of \$387,135.67, expanding DBE bond capacity by \$73, 600,755.83. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C.332.

Regional or Local Office:

None.

Headquarters Office:

Bonding Manager, 1200 New Jersey Ave, S.E., Washington, District of Columbia 20590 Phone: (800) 532-1169.

Website Address:

<http://osdbu.dot.gov> .

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.016 Surety Bond Guarantees

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.905 DISADVANTAGED BUSINESS ENTERPRISES_SHORT TERM LENDING PROGRAM

(Short Term Lending Program)

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

American Recovery and Reinvestment Act , Public Law 97-449, 49 U.S.C 332.

OBJECTIVES:

To advance the opportunities for small, minority-owned disadvantaged businesses, including women and service-disabled veteran owned businesses and Disadvantaged Business Enterprises (DBE) (to obtain accounts receivable financing for the performance of eligible transportation-related contracts originating from the Department of Transportation (DOT), its grantees, recipients, their contractors and subcontractors.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The line of credit is for account receivable financing to support the work being performed on eligible transportation related contracts. The line of credit cannot be used for equipment purchase or other long term uses; contract mobilization; refinancing of existing debt; payment of taxes; or distribution to stock holders. A transportation-related contract is one for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the nations modes of transportation with any public or commercial provider of transportation or any federal, state or local transportation agency.

Applicant Eligibility:

The recipient of a line of credit must be a certified DBE, or certified small business, including; 8(a); Small Disadvantaged Business (SDB); HUBZONE; Women-Owned Small Business (WOSB); and Service-Disabled Veteran-Owned Business (SDVOB). For DBE's, this certification must be performed under DOT guidelines, 49 CFR Part 26. The applicant must be in business for 2-3 years. This program is not for start-up businesses There must be an existing transportation- related contract(s). Transportation-related contract is a contract for the maintenance, rehabilitation, restructuring, improvement, or revitalization of any of the Nation's modes of transportation with any public or commercial provider of transportation or any Federal, State or local transportation agency.

Beneficiary Eligibility:

Small Business/Small Business Person.

Credentials/Documentation:

STLP application dated and signed; 3 years of business financial statements and taxes; 3 years of personal income taxes and current personal financial statement; current work-in-progress; cash flow projection to cover the length of the term of the loan (one year); current DBE or SBA certification; business formation information; company history; resume of key management; business and trade reference letters; and a copy of the signed transportation related contract(s). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application and checklist can be found at www.osdbu.dot.gov/financialassistance/shortterm lendingprogram.cfm Information, consultation is available at <http://osdbu.dot.gov> or by calling the DOT Office of Small and Disadvantaged Business Utilization (OSDBU) at 1-800-532-1169. Potential applicants may also contact OSDBU or their local U.S. DOT Small Business Transportation Resource Center (SBTRC) for assistance in the preparation of the application package. SBTRC locations may be found at <http://www.osdbu.dot.gov/regional/index.cfm> . Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted directly to one several Participating Leaders (PLs). Applicant may obtain a copy of the application and identify the bank servicing their region from the OSDBU internet site at <http://osdbuweb.dot.gov>.

Award Procedure:

All lines of credit are approved through separate decisions by the Participating Lender (PL) and by DOT. Lines of credit are serviced by approving PL.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Small Business Transportation Resource Centers and OSDBU staff can review the application for completeness. This review can be within 5 business days. Once it has been determined that the application is ready to be submitted to the PL, the applicant will submit to the PL a \$150.00 application fee along with the complete application. Decisions are made within 30 to 60 days from the time the complete application is submitted to the PL.

Appeals:

Not Applicable.

Renewals:

Applicant may apply for renewal of line of credits as long as they remain eligible (certification is current and they have a current transportation related contract). Renewals and increases in the line of credit are possible. The maximum period of eligibility is 5 years from the 1st participation in the program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Approved lines of credit are for one year terms with the possibility of renewal for four additional years. See the following for information on how assistance is awarded/released: Line of credit disbursed by certified invoices presented to the Participating Lender.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. DOT has the right to audit its accounts with the banks.

Records:

OSDBU maintains records of all applicant files, such as approvals, pending review, loan readiness, denials, etc. All line of credit approvals will be maintained for 7 years and non-approvals will be maintained for 3 years.

Account Identification:

69-0155-0-1-407.

Obligations:

(Salaries) FY 09 \$4,989,000; FY 10 est \$7,200,000; FY 11 est \$12,400,000

Range and Average of Financial Assistance:

The maximum line of credit is \$750,000. There is no minimum line of credit amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Assisted 10 certified small businesses working in the transportation related industry creating or retenting 61 jobs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 97-449, 49 U.S.C. 332.

Regional or Local Office:

None.

Headquarters Office:

Manager Financial Assistance Division, 1200 New Jersey Ave., S.E., Washington, District of Columbia 20590 Phone: (800) 532-1169.

Website Address:

<http://www.osdbuweb.dot.gov>.

RELATED PROGRAMS:

59.006 8(a) Business Development Program; 59.043 Women's Business Ownership Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

20.907 ENTREPRENEURIAL TRAINING AND TECHNICAL ASSISTANCE WOMEN AND GIRLS PROGRAM**FEDERAL AGENCY:**

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Executive Order 13506 Establishing a White House Council on Women and Girls, Dated March 11, 2009, Public Law 97-449, 49 U.S.C 332.

OBJECTIVES:

To enter into successful partnerships between OSDBU and chambers of commerce, community-based organizations, colleges and universities, community colleges, or trade associations to encourage and assist women and girl students at all levels of education to pursue opportunities in the transportation-related industry and provide them with the education and tools necessary to seize those opportunities and become successful transportation-related contractors through the use of internships and outreach activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial assistance under this section may be used to design and carry out programs to encourage and assist women and girl students at all levels of education to pursue opportunities in the transportation-related industry and provide them with the education and tools necessary to seize those opportunities and become successful transportation-related contractors through the use of internships and outreach activities.

Applicant Eligibility:

Design and carry out programs to encourage and assist women and girl students at all levels of education to pursue opportunities in the transportation-related industry and provide them with the education and tools necessary to seize those opportunities and become successful transportation-related contractors through the use of internships and outreach activities.

Beneficiary Eligibility:

Women and Girls from all levels of education meeting eligibility requirements.

Credentials/Documentation:

Must be an established 501 C(3) or 501 C(6) tax exempt organization and provide documentation as verification. No application will be accepted without proof of tax exempt status. Have at least one year of documented and continuous experience prior to the date of application in providing advocacy, outreach, and technical assistance to women-owned small businesses within the region in which proposed services will be provided. Have an office physically located within the proposed city in the designated headquarters state in the region for which applicant is submitting the proposal, that is readily accessible to the public. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants responding to a notice on www.grants.gov must submit their applications electronically to OSDBU via etawgp@dot.gov.

Award Procedure:

Applications are evaluated by a review panel. Decisions on acceptability are made by the Director, OSDBU. Department of Transportation will award the cooperative agreements. Only one project will be awarded to an organization; therefore, institutions with multiple locations within the designated region should coordinate and submit only one application per organization. Further, in order to avoid duplication of efforts, only one cooperative agreement will be awarded in any State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Selections for award are announced on www.osdbu.gov.

Appeals:

Not Applicable.

Renewals:

Awards will be re-competed at a minimum of every three years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for awards is base year plus two option years depended upon performance and availability of funds. See the following for information on how assistance is awarded/released: Obligated annually.

Reports:

Program reports are not applicable. SF -425. Quarterly and Final progress reports are required. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. DOT's Inspector General and the Comptroller General of the United States may at all reasonable times investigate and audit, in the offices of the grantee, all documents, papers, books and records relevant to the execution and implementation of the conditions of this agreement.

Records:

Recipient is required to retain all documents, files, books, and records relevant to the execution and implementation of the conditions of this agreement for a period of no less than 3 years, except when dispute, exception, or audit have been initiated, the records and other materials shall be retained until the litigation, dispute, exception, or audit has judicially or administratively been made final.

Account Identification:

69-0119-0-1-407.

Obligations:

(Salaries) FY 09 \$150,000; FY 10 est \$250,000; FY 11 est \$250,000

Range and Average of Financial Assistance:

Ten Cooperative Agreements in the amount of \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Student Internships: Under the pilot program, 28 students were placed in internship positions in 10 agencies including Georgia State Department and Federal Aviation Administration and several private entities. Four graduating interns were considered for transportation-related employment opportunities. Town Hall Meetings: Spelman College hosted two town hall meetings with 148 Small women-owned business/DBEs and 20 interns in attendance. The feedback that was captured during the town hall meetings resulted in creating the Training and Technical Assistance Workshops. Training and Technical Assistance Workshops: Training Workshops were held for small women-owned businesses and women and girls aspiring to become transportation-related entrepreneurs to include the following topics as the major areas of training: (1) Short Term Lending and Bonding Assistance Program; (2) Certification for S/WOBs/DBEs; (3) American Recovery and Reinvestment Act; (3) Basic Accounting and Information Technology. A total of 129 S/WOBs/DBEs participated in the training Case Studies: Spelman developed relevant cases to address issues related to transportation; such as effective ways of how S/WOBs/DBEs overcome different barriers to make their companies successful. The cases will be used to develop future relevant programs to meet the needs of women and girls aspiring to enter the transportation-related industry. Fiscal Year 2010: The pilot program ended in the 2nd quarter of FY 2010. OSDBU will evaluate the program results to formulate and implement

the non-pilot Entrepreneurial Training and Technical Assistance Women and Girls Program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Patricia Martin, 1200 New Jersey Avenue, SE, W56-460, Washington, District of Columbia 20590 Email: patricia.martin@dot.gov Phone: (202) 366-5337.

Website Address:

<http://osdbu.dot.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are rated on a 100- point numerical scale and are weighted using the following factors: Approach (25 points); Linkages (25 points); Organizational Capability (25 points); Staff Capabilities and Experience (15 points); and Cost (10 points).

20.910 ASSISTANCE TO SMALL AND DISADVANTAGED BUSINESSES

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

The Small Business Act and the Small Business Investment Act of 1958, Title 49, Section 332, Public Law 95-507.

OBJECTIVES:

To enter into successful partnerships between OSDBU and chambers of commerce, community-based organizations, colleges and universities, community colleges, or trade associations, to establish regional Small Business Transportation Resource Centers (SBTRCs) to provide business assessment, technical assistance, technical assistance referrals, business training, and the dissemination of information regarding DOT and DOT funded contracting opportunities, as well as OSDBU services to the Disadvantaged Business Enterprise (DBE) and Small Business (SB) community in their regions.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Regional SBTRCs work with OSDBU's Financial Assistance Division and STLP participating lenders to organize seminars and workshops on this accounts receivable financial access program that is available to eligible DBEs and small businesses. The seminars and workshops cover the entire STLP process, from completion of STLP loan application and preparation of the loan package to approval and loan management.

Applicant Eligibility:

Established 501 C(6) tax-exempt Chambers of Commerce, Trade Associations and 501 C(3) nonprofit organization, community colleges, minority educational institutions, tribal colleges and universities that have the documented experience and capacity necessary to successfully operate and administer a coordinated, Small Business Transportation Resource Center (SBTRC) within their regions.

Beneficiary Eligibility:

For the purpose of this program, the term small businesses refers to: 8 (a), small disadvantaged business (SDB), disadvantaged business enterprises (DBE), women-owned small business (WOB), HubZone, service-disabled veteran-owned business, and veteran owned small business.

Credentials/Documentation:

Must be an established 501 C(3) or 501 C(6) tax exempt organization and

provide documentation as verification. No application will be accepted without proof of tax exempt status. Have at least one year of documented and continuous experience prior to the date of application in providing advocacy, outreach, and technical assistance to small businesses within the region in which proposed services will be provided. Have an office physically located within the proposed city in the designated headquarters state in the region for which applicant is submitting the proposal, that is readily accessible to the public. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants responding to a notice on www.grants.gov must submit their applications electronically to OSDBU via sbtrc@dot.gov

Award Procedure:

Applications will be reviewed by a selection panel and selection will be made based upon the evaluation criteria included in the announcement. The Department of Transportation, Office of Small and Disadvantaged Business Utilization will award the Cooperative Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Selections for award are announced on www.osdbu.gov.

Appeals:

Not Applicable.

Renewals:

Awards will be re-competed at a minimum of every 3 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are generally made for a minimum of 12 months with 2 option years depended on performance and funds availability. See the following for information on how assistance is awarded/released: Obligated annually.

Reports:

Implementation Plan and Monthly Reports; Implementation Plans are due within 7 days of the effective date of the Cooperative Agreement. Monthly Reports are due on the 5th day of each subsequent month. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. DOT's Inspector General and the Comptroller General of the United States may at all reasonable times investigate and conduct audits in the offices of the recipient, all documents, papers, books and records, relevant to the execution and implementation of the conditions of this agreement.

Records:

Recipient is required to retain all documents, files, books, and records relevant to the execution and implementation of the conditions of this agreement for a period of not less than 3 years, except, if any litigation, dispute, exception, or audit is commenced, the records and other materials shall be retained until the litigation, dispute, exception, or audit is judicially or administratively made

final.

Account Identification:

69-0119-0-1-407.

Obligations:

(Salaries) FY 09 \$1,652,547; FY 10 est \$1,590,000; FY 11 est \$1,650,000

Range and Average of Financial Assistance:

Average of \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Deliverables are as follows: Business Counseling (20 hours per month, at a minimum); Business Analysis (within 1st 90 days); STLP workshops (5 per year); Implementation Plan (within 7 days at start of the Agreement); set up Planning Committee for each SBTRC and hold monthly/quarterly teleconferences and /or meetings. In addition, the SBTRCs were able to hold assist 15 firms to either become certified or re-certify as DBEs. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOT Code of Federal Regulations Parts 26 and 23 as Disadvantaged Business Enterprises; Public Law 95-507; Section 8,15, and 31 of the Small Business Act as amended; OMB Circular A-110.

Regional or Local Office:

None.

Headquarters Office:

Arthur D. Jackson, 1200 New Jersey Avenue, S.E., Washington, District of Columbia 20590 Phone: (202) 366-1930 Fax: (202) 366-7228.

Website Address:

<http://www.osdbu.dot.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are rated on a numerical point scale using the following factors: Approach and Strategy; Linkages; Organizational Capability; Staff Capabilities and Experience; and Cost.

20.930 PAYMENTS FOR SMALL COMMUNITY AIR SERVICE DEVELOPMENT

Small Community Program or SCASDP Payments for Small Community Air Service Development

FEDERAL AGENCY:

Office of the Secretary (OST) Administration Secretariate, Department of Transportation

AUTHORIZATION:

Wendell H. Ford Aviation Investment and Reform Act for the 21st Century (AIR-21), Public Law 106-181, 49 U.S.C 41743; Public Law 106-181.

OBJECTIVES:

To help smaller communities enhance their air service and increase access to the national transportation system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants for specified use, provided on a reimbursable basis. Grant funds can be used to cover a variety of expenses depending on the approved project. For example, funds can be expended for marketing, advertising or promotional activities, new studies designed to measure air service deficiencies, or to measure traffic loss or diversion to other communities; employment or use of consulting firms, universities, public relations firms, and new, dedicated air service development staff on a long term basis. In addition, grant funds may also be used for financial incentives, including subsidy or revenue guarantees to cover the air carrier's prospective operating loss, or to ground service providers

in providing access to air transportation services.

Applicant Eligibility:

For calendar year 1997, the airport serving the community or consortium was not larger than a small hub airport, and had insufficient air carrier service; or unreasonably high air fares. Eligible communities/airports are those within the United States and its territories and possessions.

Beneficiary Eligibility:

Eligible local communities or consortia of communities, local airports serving those communities, and air carriers.

Credentials/Documentation:

Communities must apply for the grant program by submitting a project proposal with required data to justify its proposal in accordance with the Department-issued Request for Proposals. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A request for proposals (RFP) soliciting applications from eligible communities is issued each year. The RFP can be accessed on the internet through <http://www.grants.gov> Additionally, the solicitation is published in the Federal Register and served on the Council of Mayors, the National League of Cities, the National Governors Association, the National Association of State Aviation Officials, the Association of County Executives, the American Association of Airport Executives (AAAE), and the Airports Council International-North America (ACI).

Award Procedure:

Applications will be evaluated according to factors established in the RFP and under the guidance of the Vision 100-Century in Aviation Reauthorization Act, Public Law 108-176.

Deadlines:

Jun 18, 2010 to Aug 13, 2010: Deadlines vary from year to year depending on the timing of the release of the Request for Proposal. The deadline for filing of proposals is established and specified for each fiscal year in the FRP and can vary from year to year. However, the Department generally provides grant applicants a minimum of 45 days to submit an application.

Range of Approval/Disapproval Time:

Can vary from year to year. However, the target is to awards grants within 120 days from the established application deadline.

Appeals:

Not Applicable.

Renewals:

Application procedures are repeated each year. However, grant recipients are precluded by statute from seeking additional grants in support of the same project. A community can only have one Small Community Program grant at any time. 49 U.S.C. Section 41743(c)(4).

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The period of time for awards will vary with the complexity of the project. Minimum grant duration is one year. The statute limits use of grant funds for air carrier subsidy to a maximum period of three years. The same limitation applies to other forms of ongoing support for air carrier programs. Financial assistance is provided on a reimbursable basis; cash advances will not be made. Funds obligated remain available until expended. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly progress and emplacement reports, and a final report is required.

Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Not Applicable

Records:

The grant recipient is expected to retain all documents relevant to the grant award for a period of 3 years from completion of all projects undertaken pursuant to the Grant Agreement and receipt of final reimbursement from the Treasury.

Account Identification:

69-8106-0-7-402.

Obligations:

(Salaries) FY 09 \$6,945,450; FY 10 est \$6,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

Range: \$100,000 to \$1,000,000 Average: \$450,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Because most grant awards span a two to four year period, very few projects have reached the end of the grant terms. A portion of the grant fund is now dedicated towards grant administration and program improvements are expected in the future. Fiscal Year 2010: Not available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

49 U.S.C. 41743; 14 CFR 302.12 (Rule 12); 49 CFR 1.56a(f); 49 CFR Part 18; Public Law 106-181; Public Law 108-176; Public Law 108-477

Regional or Local Office:

None.

Headquarters Office:

Aloha Ley, 1200 New Jersey Ave., SE W86-310, Washington, District of Columbia 20590 Email: aloha.ley@dot.gov Phone: (202) 366-2347 Fax: (202) 366-7638.

Website Address:

http://ostpxweb.dot.gov/aviation/X-50%20Role_files/smallcommunity.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Seven of the grant awards are made to non-EAS communities proposing revenue guarantees and marketing support as means to attract new carriers, combat higher-than-average air fares, and/or provide air travelers with access to additional markets. Two of the awards go to non-EAS communities. Four awards go to non-EAS communities for marketing programs to promote existing, upgraded or recently acquired air services at their local airports. Four grant awards are targeted at EAS communities seeking to promote their existing, recently restored, or newly subsidized air services. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Established by statute and annual Department order soliciting grant proposals.

20.931 TRANSPORTATION PLANNING, RESEARCH AND EDUCATION

Innovative and Advanced Transportation Research

FEDERAL AGENCY:

Research and Innovative Technology Administration, Department of Transportation

AUTHORIZATION:

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for

Users (SAFETEA-LU) of 2005.

OBJECTIVES:

To provide funds to conduct research and development on innovative transportation systems and related applied technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for innovative technology based projects directed towards application to national transportation infrastructure development and construction in planning, design and operations.

Applicant Eligibility:

These grants are mandated by Congress in DOT's Annual Appropriation Legislation. Therefore, only those organizations specifically identified in the appropriation legislation can apply.

Beneficiary Eligibility:

Public Non-Profit Institutions/Organizations; Sponsored Organizations; State; Local; Other Public Institutions; Federal Recognized Indian Tribal Government; US Territory or Possession; Private Non-Profit Institutions/Organizations; Quasi-Public Non-Profit Institutions/Organizations; Native American Organizations.

Credentials/Documentation:

Proposals with statement of work and estimated budget. Costs will be determined in accordance with relevant OMB circulars (i.e. Circular Nos. A-87 for State and Local Governments, A-21 for Educational Institutions and A-122 for Non-Profit and For-Profit Organizations). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application shall be submitted to the grantee via grants.gov. Grantees will be required to complete the suite of SF 424 forms and the appropriate representations and certifications.

Award Procedure:

The award procedure typically requires two-step process White Papers and Full Technical and Cost Proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The deadlines, if any, shall be established in each grant application package.

Appeals:

Not Applicable.

Renewals:

Renewals and/or extension may be available. Requests must be submitted to the Acquisition Services office for approval.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 50%. Fifty (50) percent non-Federal matching requirements per SAFETEA-LU legislation language. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The typical length of the cooperative agreements is 2.5 years and expenditures are reimbursed on a quarterly basis. See the following for information on how assistance is awarded/released: Funds are reimbursed.

Reports:

Mandatory quarterly reports; final project report. SF-270. Mandatory quarterly reports. SF-425. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Reports are due in accordance with the terms and conditions of each grant. Records will be retained in accordance with provisions of OMB Circular No. A-110 for institutions of higher education, other nonprofit organizations, and commercial organizations and in accordance with 15 CFR Part 24 for State and local governments.

Account Identification:

69-0102-0-1-407.

Obligations:

(Project Grants) FY 09 \$5,300,000; FY 10 est \$7,500,000; FY 11 est not reported.

Range and Average of Financial Assistance:

The range is between \$500,00- to 1.5 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program accomplished the conduct of Advanced Innovative Research for transportation infrastructure condition assessment. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caesar Singh, 1200 New Jersey Avenue, SE, Washington, District of Columbia 20950 Email: Caesar.Singh@dot.gov Phone: (202) 366-3252.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Infrastructure and Transportation Support. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Concept, technical approach, cost, background, and partnerships..

20.933 SURFACE TRANSPORTATION INFRASTRUCTURE _ DISCRETIONARY GRANTS FOR CAPITAL INVESTMENTS II

National Infrastructure Investments (TIGER II)

FEDERAL AGENCY:

Department of Transportation

AUTHORIZATION:

The Transportation, Housing and Urban Development, and Related Agencies Appropriations Act of 2010 (THUD Act), Title I - Department of Transportation, Office of the Secretary, National Infrastructure Investments, Public Law 111-123, 3034 Stat.

OBJECTIVES:

The purposes of the THUD Act requires that grants be provided to a State, local government, transit agency, or a collaboration among such entities on a competitive basis for surface transportation projects that will have a significant impact on the Nation, a metropolitan area, or a region.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under TIGER II, \$600,000,000 is available through September 30, 2012, for the Department to make grants on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region. Projects eligible for funding provided under this program include, but are not limited to, highway or bridge projects eligible under title 23, United States Code, including interstate rehabilitation, improvements to the rural collector road system, the reconstruction of overpasses and interchanges, bridge replacements, seismic retrofit projects for bridges, and road realignments; public transportation projects eligible under chapter 53 of title 49, United States Code, including investments in projects participating in the New Starts or Small Starts programs that will expedite the completion of those projects and their entry into revenue service; passenger and freight rail transportation projects; and port infrastructure investments, including projects that connect ports to other modes of transportation and improve the efficiency of freight movement. The Department may also use an amount not to exceed \$150,000,000 for the purpose of paying the subsidy and administrative costs of projects eligible for federal credit assistance under chapter 6 of title 23, United States Code, if the Department finds that such use of the funds would advance the purposes of this program. In distributing funds available under this program, the Department must take measures to ensure an equitable geographic distribution of funds and an appropriate balance in addressing the needs of urban and rural communities. Grants provided under this program shall generally be not less than \$10,000,000 and not greater than \$200,000,000, however, projects located in rural areas, the minimum grant size shall be \$1,000,000. The Department may use an amount not greater than \$35,000,000 for the planning, preparation or design of projects eligible for funding under this program. Not more than 25 percent of the funds made available under this program will be awarded to projects in a single State. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. The Department will give priority to projects that require a contribution of Federal funds in order to complete an overall financing package. The Department is in the process of developing criteria and requirements for this program. Additional information about criteria and requirements will be available when the Department publishes a full announcement. Grants provided under this program shall generally be not less than \$10,000,000 and not greater than \$200,000,000, however, projects located in rural areas, the minimum grant size shall be \$1,000,000. The Department may use an amount not greater than \$35,000,000 for the planning, preparation or design of projects eligible for funding under this program. Not more than 25 percent of the funds made available under this program will be awarded to projects in a single State. 100% of the funding is discretionary. These are TIFIA loans and Title 23 loans.

Applicant Eligibility:

None.

Beneficiary Eligibility:

The ultimate benefits of this program may be received by, among others, States or local governments, transit agencies, builders/contractors/developers, major metropolitan areas, and other urban, suburban, or rural areas.

Credentials/Documentation:

Recipients and their first-tier sub-awardees will be required to have a DUNS number (www.dnb.com) and a current registration in the Central Contractor Registration (www.ccr.gov) submitted as part of the submitted application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

None. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. None

Award Procedure:

National Infrastructure Investments Grants will be awarded to projects with a

demonstrated or potential ability to: (i) Deliver programmatic results; (ii) achieve economic stimulus by optimizing economic activity and the number of jobs created or saved in relation to the Federal dollars obligated; (iii) achieve long-term public benefits by, for example, investing in technological advances in science and health to increase economic efficiency and improve quality of life; investing in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; fostering energy independence; or improving educational quality.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. The Department shall publish criteria on which to base the competition for any grants awarded under this program no sooner than 60 days after enactment of this Act (December 16, 2009), the Department will require applications for funding provided under this program to be submitted no sooner than 120 days after the publication of such criteria, and the Department shall announce all projects selected to be funded from funds provided under this program no sooner than September 15, 2010. The funding for this program will remain available through September 30, 2012.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. This program has matching requirements. Not more than 25 percent of the funds made available under this program will be awarded to projects in a single State. The Federal share of the costs for which an expenditure is made under this program may be up to 80 percent, however, the Department may increase the Federal share of costs above 80 percent for projects located in rural areas. The Department will give priority to projects that.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds available under this program are available through September 30, 2012. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports are due in accordance with the terms and conditions of the grant agreement. No cash reports are required. Annual reports. SF-425. Program office provides oversight of grant activities.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Any awarding procedures, documentation of expenditures and copies of reports as well as policies and procedures for the life of the grant.

Account Identification:

69-0143-0-1-407.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$600,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Grants provided under this program shall generally be not less than \$10,000,000 and not greater than \$200,000,000, however, projects located in rural areas will have a minimum grant size of \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent. This is a new program and no approximate average amount of awards is available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Office of the Assistant Secretary for Transportation Policy, 1200 New Jersey Avenue, SE, Washington, District of Columbia 20590 Email: TIGERIIGrants@dot.gov Phone: (202) 366-7687.

Website Address:

<http://www.dot.gov/TIGERII>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

TIGER II will be awarded based on the selection criteria as outlined below. TIGER II discretionary grants will be awarded based on the following selection criteria. There are two categories of selection criteria, Primary Selection Criteria and Secondary Selection Criteria. The Primary Selection Criteria include (1) Long-Term Outcomes and (2) Jobs Creation & Economic Stimulus. The Secondary Selection Criteria include (1) Innovation and (2) Partnership. The Primary Selection Criteria are intended to capture the primary objective of the TIGER II provisions of the FY 2010 Appropriations Act, which is to invest in infrastructure projects that will have a significant impact on the Nation, a metropolitan area, or a region. The Secondary Selection Criteria are intended to capture the benefits of new and/or innovative approaches to achieving the programmatic objective.

DEPARTMENT OF THE TREASURY

21.003 TAXPAYER SERVICE

FEDERAL AGENCY:

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Internal Revenue Code, as amended, 26 U.S.C. 7801.

OBJECTIVES:

To assist taxpayers and taxpayer organizations in locating services and Federal tax information established by the Federal tax system.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

The Internal Revenue Service (IRS) produces and provides publications, forms and other tax material and information to help taxpayers meet their tax responsibilities. Taxpayers can call the toll-free phone service at (800) 829-1040 for general inquiries. Taxpayers are encouraged to visit the IRS website at www.irs.gov where they can find all this information and can download forms and publications with a keystroke. The Business and Specialty Tax Line at (800) 829-4933 is available Monday thru Friday, 7 a.m. until 10 p.m. local time (with the exception of Alaska and Hawaii, which are on Pacific Time). The days and hours of operation may expand during the filing season, January through April 15, for general and specialized tax lines. The TeleTax system at (800) 829-4477, available 24 hours a day, 7 days a week, provides recorded tax information on more than 150 subjects to taxpayers who have touchtone telephones. For a directory of topics, taxpayers should listen to topic #123. The Refund Hotline (800) 829-1954 is also available Monday thru Friday, 7 a.m. until 10 p.m. local time (with the exception of Alaska and Hawaii, which are on Pacific Time). However, taxpayers can check their refunds on-line at www.irs.gov, "Where's My Refund". The site is easy, quick and secure. Educational workshops for new small businesses and self employed persons are available on-line at the IRS website at www.irs.gov/businesses/small/index.html and provide guidance on tax-related responsibilities. The IRS also partners with the tax professional community.

The IRS holds annual nationwide Tax Forums, provides an electronic newsletter called "e-news for Tax Professionals," regularly updates the Tax Professionals page on the IRS website, and organizes Tax Practitioner Institutes. Information on these programs can be found on the website. IRS Taxpayer Assistance Centers (TAC) in 50 states and Puerto Rico provide face-to-face help for account, tax law, and need based return preparation services. Visit www.irs.gov/local/contacts/index.html for TAC locations, services and hours of operation. elease, revenue ruling, revenue procedure, notice or announcement of other guidance. The IRS also partners with the tax professional community. The IRS holds annual nationwide Tax Forums, provides an electronic newsletter called "e-news for Tax Professionals," regularly updates the Tax Professionals page on the IRS website, and organizes Tax Practitioner Institutes. Information on these programs can be found on the website.

Applicant Eligibility:

Any individual or group interested in the tax system or have questions about his/her tax return(s).

Beneficiary Eligibility:

Taxpayers.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

20-0912-0-1-803.

Obligations:

(Advisory Services and Counseling) FY 09 not reported.; FY 10 est \$276,985,579; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

IRS regulations, guidelines and literature are available at www.irs.gov.

Regional or Local Office:

See Regional Agency Offices. Field Assistance: Jane Brough, Wage & Investment, Customer Assistance, Relationships and Education, Field Assistance, 401 West Peachtree Street NW, Atlanta, GA 30308. Phone: (404) 338-8994. Tax Law Interpretation and Published Guidance: Frances Royal, Media and Publications, Customer Assistance, Relationships and Education, 1111 Constitution Avenue, Washington, DC 20224-0001. Phone: (202) 927-9568. Stakeholder Partnerships, Education & Communication: Beverly Thomas, Customer Assistance Relationships and Education, 401 West Peachtree Street, NW, Atlanta, GA 30308. Phone: (404) 338-7231.

Electronic/Correspondence Assistance: Bart Jones, Customer Accounts Services (CAS), 401 West Peachtree Street NW, Atlanta, GA 30308. Phone: (404) 338-8892. Area or Local Office: Taxpayer Assistance Centers (TAC) are a one-stop resource for face-to-face tax help. Visit www.irs.gov for local office locations, services and hours of operation. Forms and Publication telephone orders, operated Monday thru Friday, 7 a.m. until 10 p.m. local time (with exception of Alaska and Hawaii, which are Pacific Time): (800) 829-3676. National Taxpayer Advocate's Help Line, in service Monday thru Friday 7 a.m. until 10 p.m. local time (with exception of Alaska and Hawaii, which are Pacific Time) at (877) 777-4778. Telephone Device for the Deaf (TDD), operational Monday thru Friday, 7 a.m. until 10 p.m. local time (with exception of Alaska and Hawaii, which are Pacific Time) at (800) 829-4059.

Headquarters Office:

Carol Spencer, 401 West Peachtree Street NW., Atlanta, Georgia 30308 Email: Carol.E.Spencer@irs.gov Phone: (404) 338-7137.

Website Address:

<http://www.irs.gov> .

RELATED PROGRAMS:

21.004 Exchange of Federal Tax Information With State Tax Agencies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.004 EXCHANGE OF FEDERAL TAX INFORMATION WITH STATE TAX AGENCIES

FEDERAL AGENCY:

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Internal Revenue Code, Executive Order As Amended, Title 26, Part 6103, Section d, 26 U.S.C 6103d.

OBJECTIVES:

To increase state tax revenues and taxpayer compliance, and to reduce duplicate resource expenditures.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

State tax agencies may use the tax data provided for justified tax administration purposes only, pursuant to Internal Revenue Code (IRC) Section 6103. Any unlawful disclosure of Federal tax data subjects the violator to both criminal and civil penalties specified in IRC Sections 7213, 7213A and 7431. State tax agencies may use the tax data provided for justified tax administration purposes only, pursuant to Internal Revenue Code (IRC) Section 6103. Any unlawful disclosure of Federal tax data subjects the violator to both criminal and civil penalties specified in IRC Sections 7213, 7213A and 7431.

Applicant Eligibility:

Any agency responsible for tax administration within any of the 50 States, the District of Columbia, certain U.S. Territories, and municipalities with

populations in excess of 250,000 that impose taxes on income or wages and with which the Commissioner of Internal Revenue has entered into a disclosure agreement with safeguard and confidentiality protections.

Beneficiary Eligibility:

State Governments, U.S. Territories, Municipal Governments.

Credentials/Documentation:

Agency head must enter into Agreement with the Commissioner of IRS regarding disclosure. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. There are a variety of application procedures depending on the circumstances. For the Governmental Liaison Data Exchange Program which includes recurring aggregate data extracts, applicants complete an Annual Registration Form. For specialty programs such as ATAT, applicants sign a Memorandum of Understanding (MOU). For individual or one-time requests, applicants send a written request to the Disclosure Office, in their area jurisdictions. Addresses can be found by clicking "IRS Disclosure Offices" on <http://www.irs.gov/foia/>.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Annual Governmental Liaison Data Exchange Program Enrollment Form must be completed annually by state agencies and must also be approved by the Disclosure Manager. For specialty programs such as ATAT, applicants sign a Memorandum of Understanding (MOU). For individual or one-time requests, applicants send a written request to the Disclosure Office, in their area jurisdictions. Addresses can be found by clicking "IRS Disclosure Offices" on <http://www.irs.gov/foia/>.

Appeals:

Not Applicable.

Renewals:

Governmental Liaison Data Exchange Program Enrollment Form must be submitted by state agencies and approved annually by the Disclosure Manager. For specialty programs such as ATAT, and other programs that require a Memorandum of Understanding (MOU) procedures vary based on the terms of the MOU.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Agency Officials are required to submit a Safeguard Procedures Report 45 days prior to receipt of Federal tax data, and an annual Safeguard Activity Report.

All records must be maintained as required by IRC 6103(p)(4).

Account Identification:

20-0912-0-1-803.

Obligations:

(Provision of Specialized Services) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - In 1994, IRS decided to waive the fees charged to State and local agencies. These agencies can obtain tax data extract information through various IRS disclosure offices for free.

Range and Average of Financial Assistance:

NA.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Internal Revenue Service has written agreements with state agencies representing 50 states, the District of Columbia, US territories and qualifying municipalities on the exchange of Federal Tax Information for the purposes of tax administration. The states use this information for compliance purposes generating significant tax revenues. Fiscal Year 2010: The Internal Revenue Service has written agreements with state agencies representing 50 states, the District of Columbia, US territories and qualifying municipalities on the exchange of Federal Tax Information for the purposes of tax administration. The states use this information for compliance purposes generating significant tax revenues. Fiscal Year 2011: The Internal Revenue Service has written agreements with state agencies representing 50 states, the District of Columbia, US territories and qualifying municipalities on the exchange of Federal Tax Information for the purposes of tax administration. The states use this information for compliance purposes generating significant tax revenues.

REGULATIONS, GUIDELINES, AND LITERATURE:

- Internal Revenue Manual 11.3.32, Disclosure of Official Information, Disclosure to States for Tax Administration Purposes, found at <http://www.irs.gov/irm/part11/ch03s34.html>
- Publication 1075, Tax Information Security Guidelines, found at <http://www.irs.gov/pub/irs-pdf/p1075.pdf>.
- Tax Information Security Guidelines Title 26 Code of Federal Regulations

Regional or Local Office:

See Regional Agency Offices. Disclosure Manager, in all area jurisdictions, found at <http://www.irs.gov/foia/article/0,,id=120681,00.html>.

Headquarters Office:

Bernice Fischer, 1301 Clay Street, Oakland, California 94612-5217 Email: Bernice.Fischer@irs.gov Phone: (510) 637-3024.

Website Address:

<http://www.irs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

21.006 TAX COUNSELING FOR THE ELDERLY**FEDERAL AGENCY:**

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Revenue Act of 1978, Executive Order Public Law 95-600, 92 Stat. 2810, Title 26, Part 601, Section 163, Public Law 600-95, 19 Stat. 141, 26 U.S.C 601.

OBJECTIVES:

To authorize the Internal Revenue Service to enter into agreements with private or public nonprofit agencies or organizations; to establish a network of trained volunteers to provide free income tax information and return preparation assistance to elderly taxpayers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding may be used for reimbursing volunteers for their out-of-pocket expenses including transportation, meals, and other expenses incurred by them in providing tax counseling assistance at locations convenient to the taxpayers.

Applicant Eligibility:

Tax Counseling for the Elderly sponsors must be private or public nonprofit organizations with experience in coordinating volunteer programs. Federal, State, and local governmental agencies and organizations are not eligible to sponsor a program. Applicants must be tax compliant and not debarred or suspended.

Beneficiary Eligibility:

Elderly taxpayers, 60 years or older.

Credentials/Documentation:

Applicants must provide proof of their tax exempt status. The following The following OMB Requirements apply to this program:

- 2 CFR 215 (formerly OMB Circular No. A-110), Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations;
- OMB Circular A-102, Grants and Cooperative Agreements With State and Local Governments;
- OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations; and
- 2 CFR 230 (formerly OMB Circular A-122), Cost Principles for Non-Profit Organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants are encouraged to submit the TCE Program Application Package, Publication 1101 either via mail or through Grants.gov. The address is: IRS Grant Program Office - TCE, 5000 Ellin Road, NCFB C4-168, Lanham, Maryland 20706. All applications submitted must be received in the Grant Program office by 4:00 pm eastern time on July 9, 2010.

Award Procedure:

All complete applications meeting eligibility requirements will be evaluated on technical merit, capacity to reach targeted audience, their prior performance (if returning sponsor) and how the organization plans to provide Federal tax return assistance and electronic filing service to elderly individuals in the community.

Deadlines:

Jun 01, 2010 to Jul 09, 2010

Range of Approval/Disapproval Time:

From 90 to 120 days. Applicants will notify applicants of their acceptance or non-selection of their grant application, as well as those that did not qualify for the program generally by November 1 of each year. The schedule for notification will be determined based on the Congressional appropriation of funding for the TCE Program, meaning if we are operating under a Continuing Resolution, applicant notification may be delayed pending approval of TCE funds.

Appeals:

The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative Agreements expire on September 30 of each year unless an earlier date is set-forth in the Cooperative Agreement. TCE grant funds are released on an immediate need or reimbursement basis - meaning an organization should request reimbursement from the IRS as they disburse funds for TCE program

activities. See the following for information on how assistance is awarded/released: Reimbursement.

Reports:

TCE Program recipients are required to submit a quarterly and at year-end Federal Financial Report to the Payment Management System. In addition, Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually to the Grants Program Office. No cash reports are required. Form 8654, Tax Counseling for the Elderly Semi-Annual/Annual Program Report is required to be submitted annually and is prepared as cumulative, reflecting expenditures for the entire program period. In addition, on-line Federal Financial reports are required through the Payment Management System on a quarterly basis to reflect expenditures that are being requested for reimbursement through the system. Through the Payment Management System electronic Federal Financial Reports are required to be submitted on a quarterly basis. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, organizations that expend \$500,000 or more in a year in federal awards must have a single or program-specific audit for that year. The Federal Audit Clearinghouse operates on behalf of the Office of Management and Budget (OMB) and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected.

Records:

Grant recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of 3 years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$5,100,000; FY 10 est \$6,100,000; FY 11 est \$5,100,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 1,277,345 Federal tax returns. Fiscal Year 2010: Fiscal Year 2010: 1,252,265 federal returns (as of 5/02/10). Fiscal Year 2011: Fiscal Year 2011: A conservative growth rate of 5 percent is projected for the 2011 grant period.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 1101, Application Package and Guidelines for Managing a TCE Program

Regional or Local Office:

None.

Headquarters Office:

TCE Grant Program Office Staff, Internal Revenue Service, Grant Program Office - TCE, 5000 Ellin Road, NCFB C4-168, Lanham, Maryland 20706
Email: tce.grant.office@irs.gov Phone: (404) 338-7894.

Website Address:

<http://www.irs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Quality of Programs and Service for age 60 and over 30 points
Geographic coverage and extent of coverage for age 60 and over 20 points
Electronic Filing services for age 60 and over 20 points
Prior experience in Federal Tax Return Counseling and Tax Preparation 10 points
Quality of Cooperative Agreement Administration and Internal Accounting Procedures 10 points
Past Performance 10 points

Grant Program Office Evaluation

The Grant Program Office evaluation involves a more in-depth review of the applicants budget information and accounting processes. The proposed geographic coverage and target audiences are also taken into consideration.

21.008 LOW INCOME TAXPAYER CLINICS

FEDERAL AGENCY:

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Internal Revenue Code, as amended, 26 U.S.C 7526.

OBJECTIVES:

To provide matching grants to organizations providing: (1) Representation of low income taxpayers in controversies with the Internal Revenue Service (IRS), or (2) programs to inform individuals for whom English is a second language about their taxpayer rights and responsibilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards under this program are issued to provide financial assistance that enhances the awardees' ability to represent low income taxpayers in controversies with the Internal Revenue Service (IRS) or inform individuals for whom English is a second language of their taxpayer rights and responsibilities. Each awardee is required to enter into an assistance agreement that requires the awardee to comply with program goals and abide by other terms and conditions pertinent to assistance received under this program.

Applicant Eligibility:

Qualifying organizations that represent low income taxpayers involved in controversies with the IRS and organizations that provide education and outreach on the taxpayer rights and responsibilities of U.S. taxpayers to individuals who speak English as a second language. IRC Section 7526 requires clinics to provide services for free or for no more than a nominal fee. The term "clinic" includes, but is not limited to, a clinical program at an accredited law, business, or accounting school in which students represent low income taxpayers in controversies with the IRS, and an organization described in IRC Section 501(c) and exempt from tax under IRC Section 501(a) that either directly represents taxpayers or refers taxpayers to qualified representatives.

Beneficiary Eligibility:

Low-income taxpayers with incomes which do not exceed 250 percent of the Federal Poverty Guidelines published annually by the Department of Health and Human Services, or taxpayers for whom English is a second language.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102.

OMB Circular No. A-110 applies to this program. Each Low Income Taxpayer Clinic applicant must complete a Low Income Taxpayer Clinic Application Package. See IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines, which you may obtain by calling 1-800-TAX-FORM (1-800-829-3676) or by visiting www.irs.gov. This program is subject to the provisions of OMB Circulars No. A-110, A-133, A-21, and A-122. Access www.irs.gov or call 1-800-TAX-FORM (1-800-829-3676) to obtain a copy of Publication 3319.

Award Procedure:

The IRS reviews applications and selects grant recipients.

Deadlines:

Jul 16, 2010 An annual notice of funds availability, published in the Federal Register, announces deadline dates for submission of applications. Deadline dates are generally 60 days subsequent to the notice publication date.

Range of Approval/Disapproval Time:

Grantees are generally selected 120 days after the application submission deadline.

Appeals:

None.

Renewals:

A multi-year award may be issued up to 3 years maximum.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 100%. All awards of financial assistance shall be matched by the recipient on a dollar-for-dollar basis. In general, funds from sources (other than from other federal government sources) can be counted as matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, an award may be issued up to 3 years maximum. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Each awardee will submit two reports, an interim report due approximately 30 days after the calendar mid-year and an annual report due approximately 90 days after the end of the award year. Each awardee will submit two reports, an interim report due approximately 30 days after the calendar mid-year and an annual report due approximately 90 days after the end of the award year. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Award recipients are required to maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of OMB Circular No. A-110.

Account Identification:

20-0912-0-1-803.

Obligations:

(Project Grants) FY 09 \$9,500,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Range of grant: \$10,000 to \$100,000.
2010 average: \$62,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For 2009, we awarded grants to 163 clinics in each of the 50 states, the District of Columbia, and Puerto Rico. LITCs provided needed assistance to low income and ESL taxpayers that otherwise might not have received assistance. Fiscal Year 2010: For 2010, we plan to strengthen and improve the Low Income Taxpayer Clinics (LITC) Programs ability to deliver service to low-income taxpayers. We anticipate expanding geographic and service coverage by providing increased matching grants to qualified organizations. We will continue to enhance clinic oversight and support by

improving management controls for report timeliness and quality and refining the site assistance visit process. Fiscal Year 2011: For 2011, we will continue to work toward ensuring that each state (plus the District of Columbia and Puerto Rico) continues to be served by at least one clinic; ensuring grant recipients demonstrate that they are serving geographic areas that have sizable populations eligible for and requiring LITC services; and expanding coverage in geographic areas that do not have both controversy representation and ESL education and outreach.

REGULATIONS, GUIDELINES, AND LITERATURE:

IRS Publication 3319, Low Income Taxpayer Clinic Grant Application Package and Guidelines.

Regional or Local Office:

None.

Headquarters Office:

Deborah L. Jones, 1111 Constitution Avenue NW, Room 1034, Washington, District of Columbia 20224 Email: Deborah.L.Jones@irs.gov Phone: (202) 622-0883 Fax: (202) 622-4318.

Website Address:

<http://www.irs.gov/advocate>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) Quality of the programs offered by the clinic, including the qualifications of the clinic's administrators and representatives as well as the clinic's record in providing service to low-income or ESL taxpayers; (2) Quality of grant administration and internal accounting procedures; (3) Number of low income or ESL taxpayers in geographic area and proposed efforts to reach these taxpayers; and (4) Other factors deemed appropriate under this program.

21.009 VOLUNTEER INCOME TAX ASSISTANCE (VITA) MATCHING GRANT PROGRAM

FEDERAL AGENCY:

Department of the Treasury

AUTHORIZATION:

Department of the Treasury Appropriations Act, 2009, Public Law 111-8, Executive Order H.R. 1105 Omnibus Appropriations Act.

OBJECTIVES:

To provide direct funding that will enable VITA initiatives to extend services to underserved populations and hardest-to-reach areas, both urban and non-urban; specific objectives as they relate to the VITA Program which include: increasing the capacity to file returns electronically, heighten quality control, enhance training of volunteers, and significantly improve the accuracy rate of returns prepared by VITA sites.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds can be used to cover ordinary and necessary expenses for operating a VITA tax preparation program. Such expenses are only allowable if they are reasonable, allocable, and in accordance with the OMB Cost Principles Circulars.

Applicant Eligibility:

Non-profit organization or Government Entity.

Beneficiary Eligibility:

Providing assistance to underserved populations.

Credentials/Documentation:

Applicants must provide proof of their entity status (i.e. tax-exempt organization/government agency). Matching funds must also be documented. Applicants must be tax compliant and not debarred or suspended. OMB

Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must provide proof of their entity status (i.e. tax-exempt organization/government agency). Matching funds must also be documented. The following OMB Requirements apply to this program:

2 CFR 215 (formerly OMB Circular No. A-110), Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations;

OMB Circular A-102, Grants and Cooperative Agreements With State and Local Governments;

OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations;

2 CFR 220 (formerly OMB Circular A-21), Cost Principles for Educational Institutions;

2 CFR 225 (formerly OMB Circular A-87), Cost Principles for State, Local and Indian Tribal Governments; and

2 CFR 230 (formerly OMB Circular A-122), Cost Principles for Non-Profit Organizations.

Award Procedure:

All complete applications meeting initial eligibility requirements will be evaluated/ranked on technical merit, capacity to reach the targeted audiences, and geographic coverage. Applicants will be notified of their acceptance or rejection of a grant award by letter sent via U.S. Postal Service.

Deadlines:

Jun 01, 2010 to Jul 09, 2010 Applicants are encouraged to submit the VITA Grant Program Application Package, Publication 4671 via Grants.gov; however, it may be submitted by mail. The address is:

IRS Grant Program Office, 401 West Peachtree Street, Stop 420-D, Atlanta, Georgia 30308

All applications submitted must be received in the Grant Program office by 4:00 pm eastern time on July 9, 2010.

Range of Approval/Disapproval Time:

From 90 to 120 days. The IRS will notify applicants of the acceptance or non-selection of their grant application, as well as those that did not qualify for the program by November 1 of each year.

Appeals:

The selection of the grantees for this program is left to the discretion of the IRS - there is no appeals process for non-selection.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period extends from July 1st of a given year through June 30th of the subsequent year. VITA grant funds are released on an immediate need or reimbursement basis - meaning an organization should request reimbursement from the IRS as they disburse funds for the VITA program. See the following for information on how assistance is awarded/released: VITA grant funds are released on a reimbursement basis - meaning an organization should request reimbursement from the IRS as they disburse funds for the VITA program.

Reports:

VITA Grant recipients are required to submit a quarterly and year-end Federal Financial Report to the Payment Management System. Year-end reports are required to be submitted by September 30. These reports must be submitted to the Grant Program Office using specific forms within the Performance Progress Report family, a narrative, final listing of sites opened and budget summary. No cash reports are required. Year-end reports are required to be submitted by

September 30. These reports must be submitted to the Grant Program Office using specific forms within the Performance Progress Report family, a narrative, final listing of sites opened and budget summary. Grant recipients are also expected to prepare and submit quarterly on-line Federal Financial Reports to the Payment Management System. A report is due 45 days after the end of each quarter. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, organizations that expend \$500,000 or more in a year in federal awards must have a single or program-specific audit for that year. The Federal Audit Clearinghouse operates on behalf of the Office of Management and Budget (OMB) and its primary purpose is to disseminate audit information to Federal agencies and the public. If the most recent audit showed an unfavorable finding, it will not automatically disqualify you from consideration; however, more information may be requested to ensure any deficiencies noted in the audit have been corrected.

Records:

Grant recipients are required to have a record keeping system in place to support all information reported. Supporting receipts and other documentation should be maintained for a minimum of three years following the submission of the final report.

Account Identification:

20-0912-0-1-803.

Obligations:

(Project Grants (Discretionary)) FY 09 \$8,000,000; FY 10 est \$12,000,000; FY 11 est \$8,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 779,496 federal tax returns. Fiscal Year 2010: Fiscal Year 2010: 830,082 federal tax returns (as of April 18, 2010). Fiscal Year 2011: A conservative growth rate of 3 percent is projected for the 2011 grant period.

REGULATIONS, GUIDELINES, AND LITERATURE:

Publication 4671, VITA Grant Program Overview and Application Package

Regional or Local Office:

None.

Headquarters Office:

Grant Program Office Staff, Grant Program Office, 401 West Peachtree Street, NW, Stop 420-D, Atlanta, Georgia 30308 Email: Grant.Program.Office@irs.gov Phone: (404) 338-7894.

Website Address:

<http://www.irs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection of proposals is based on a two-tiered evaluation process:

1. Experience with low income and/or return preparation 40 points
2. Strength of program 40 points
3. VITA target audience and delivery 40 points
4. Ability to partner or collaborate with multiple organizations 20 points
5. Quality of applicants sustainability and growth strategy 10 points

- 6. Quality control process 40 points
- 7. Program measures 10

Grant Program Office Evaluation

The Grant Program Office evaluation involves a more in-depth review of the applicants budget information and accounting processes. The proposed geographic coverage and target audiences are also taken into consideration.

21.010 FINANCIAL EDUCATION AND COUNSELING PILOT PROGRAM

FEC

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Section 1132(c) of The Housing and Economic Recovery Act of 2008 Public Law 110-289.

OBJECTIVES:

To provide a range of financial education and counseling services to prospective homebuyers; to identify successful methods resulting in positive behavioral change for financial empowerment; and to establish program models for organizations to carry out effective counseling services.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund awards grants to eligible organizations to provide financial education and counseling services to: (1) increase the financial knowledge and decision making capabilities of prospective homebuyers; (2) assist prospective homebuyers to develop monthly budgets, build personal savings, finance or plan for major purchases, reduce their debt, improve their financial stability, and set and reach their financial goals; (3) help prospective homebuyers to improve their credit scores by understanding the relationship between their credit histories and their credit scores; and (4) educate prospective homebuyers about the options available to build savings for short- and long-term goals. Each awardee enters into an assistance agreement that specifies the terms and conditions related to expenditures (including eligible uses) of the grant funds, requires compliance with performance goals, and requires reporting on performance outcomes.

Applicant Eligibility:

Applicants must be 1) certified in accordance with section 106(e)(1) of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701x(e)); or 2) certified by the Office of Financial Education of the Department of the Treasury. In addition, such organizations must be able to demonstrate that they meet minimum threshold requirements with respect to their experience and ability to provide financial education and counseling services which result in documented positive behavioral changes.

Beneficiary Eligibility:

Low-income, moderate-income and low-wealth individuals who are prospective homebuyers.

Credentials/Documentation:

An applicant must provide information necessary to establish that it is an eligible organization in order to apply for assistance. This information is included as part of the application materials. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each applicant must complete an application package. The CDFI Fund provides the application package format.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards set forth in the Notice of Funding Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA, is published in the Federal Register, announces the deadlines for submission of applications. Application deadlines are from 30 to 60 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 180 days after the application deadline.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must use all funds within three years. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425 Expenditure of Financial Assistance Report. Performance Monitoring is accomplished with the financial review of Audited Financial Statements; and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$6,150,000; FY 11 est \$0 - During FY 2010, the CDFI Fund anticipates making awards to five awardees, \$400,000 each, including the FY 2009 appropriation; the CDFI Fund anticipates making awards up to five awardees, totaling \$1,000,000 and one award of \$3,150,000 to an organization in Hawaii, utilizing the FY 2010 appropriation.

Range and Average of Financial Assistance:

During FY 2010, the CDFI Fund anticipates making awards to five awardees, \$400,000 each, including the FY 2009 appropriation; the CDFI Fund anticipates making awards up to five awardees, totaling \$1,000,000 and one award of \$3,150,000 to an organization in Hawaii, utilizing the FY 2010 appropriation.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEC Pilot Program NOFA; FEC Pilot Program Application. Website: www.cdfifund.gov.

Regional or Local Office:

None.

Headquarters Office:

Jodie Harris, 601 Thirteenth Street, NW, Suite 200 South, Washington, District of Columbia 20005 Email: harrisj@cdfi.treas.gov Phone: (202) 622-4499 Fax: (202) 622-7754.

Website Address:

http://www.cdfifund.gov

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated based upon: (1) the quality of their implementation strategy; (2) the proposed impacts, including potential for program replication; (3) the organizational capacity; (4) the strategy for the proposed uses of funds and (5) other factors set forth in the NOFA and application materials.

21.011 CAPITAL MAGNET FUND

CMF

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

The Housing and Economic Recovery Act of 2008, Section 1339.

OBJECTIVES:

To attract financing for and increase investment in affordable housing for low-income, very low-income, and extremely low-income people and certain related economic and community development activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The CDFI Fund awards Capital Magnet Fund grants to certified Community Development Financial Institutions (CDFIs) and certain nonprofit organizations to finance: (1) the development, preservation, rehabilitation, or purchase of affordable housing for primarily extremely low-, very low-, and low-income families; and (2) economic development activities or community service facilities, such as day care centers, workforce development centers, and health care clinics, which in conjunction with affordable housing activities implement a concerted strategy to stabilize or revitalize a low-income area or underserved rural area. Each awardee enters into an assistance agreement that specifies the terms and conditions related to expenditures (including eligible uses) of the grant funds, requires compliance with performance goals, and requires reporting on performance outcomes.

Applicant Eligibility:

Applicants must be (1) a Treasury certified CDFI; or (2) a nonprofit organization having as one of its principal purposes the development or management of affordable housing.

Beneficiary Eligibility:

Low income, very low-income, and extremely low-income people as directed in 12 C.F.R. 1807.

Credentials/Documentation:

An applicant must provide information necessary to establish that it is an eligible organization in order to apply for assistance. This information is included as part of the application materials. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete an application package. The CDFI Fund provides the application package format.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA, published in the Federal Register, announces the deadline for submission of applications. Award approval/disapproval determinations are generally made within 180 days after the application deadline. The application deadline is 30 days subsequent to the NOFA publication date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Certain leverage requirements apply.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must commit all funds within two years; begin initial expenditure of funds within three years; and place the projects into service within five years of the award. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required.

Progress Reports cover the following areas:

Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance.

Expenditure Reports are included in the SF-425 Expenditure of Financial Assistance Report. Performance Monitoring is accomplished with the financial review of Audited Financial Statements; and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the assistance agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$80,000,000; FY 11 est \$0 - The CDFI Fund has proposed not to fund the CMF in FY 2011 but will monitor and evaluate the community development impact and performance of FY 2010 awards.

Range and Average of Financial Assistance:

The maximum allowable award is 15% of the annual available funding.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Data Available. Fiscal Year 2010: The CDFI Fund will use existing CDFI Program metrics, with some customization, to measure performance of the Capital Magnet Fund. Awardees are required to report annually for five years using the CDFI Fund's electronic reporting system.

Proposed metrics include:

*Number of affordable housing units developed or produced

**Number/percentage of low-income renters/owners

**Number/percentage of very low-income renters/owners

*Number of jobs produced

*Leverage ratio

*Deployment rate. Fiscal Year 2011: The CDFI Fund has proposed not to fund the CMF in FY 2011 but will monitor and evaluate the community development impact and performance of FY 2010 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Proposed Rule (12 CFR 1807); NOFA; CMF Application. Website: www.cdfifund.gov.

Regional or Local Office:

None.

Headquarters Office:

Matthew Josephs, 601 13th Street NW - Suite 200 South, Washington, District of Columbia 20005 Email: JosephsM@cdfi.treas.gov Phone: (202) 622-9254 Fax: (202) 622-2445.

Website Address:

<http://www.cdfifund.gov>.

RELATED PROGRAMS:

21.020 Community Development Financial Institutions Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are evaluated based upon criteria set forth in the proposed rule, the NOFA and application materials.

21.012 NATIVE INITIATIVES

NI

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

Riegle Community Development and Regulatory Improvement Act of 1994, 12 U.S.C 4701 et.seq; American Recovery and Reinvestment Act of 2009, "Recovery Act", Public Law 111-5.

OBJECTIVES:

To promote economic revitalization and community development through financial and technical financial assistance to Native Community Development Financial Institutions (CDFIs) and a complementary series of training programs called Expanding Native Opportunities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial Assistance (FA) and Technical Assistance (TA) awards are provided to build the capacity of new or existing Native CDFIs serving Native Communities. The complementary capacity-building initiatives seek to foster the development of Native CDFIs through training and technical assistance. Each awardee enters into an assistance agreement that requires it to achieve performance goals and abide by other terms and conditions. No awards may be issued to Federal Government agencies, departments or instrumentality's, State governments local governments or any agency or instrumentality thereof. Under Section 1512 of OMB's Recovery Act guidance, each awardee is required to report specific data elements (including job creation information) 10

days after the end of each calendar quarter.

Applicant Eligibility:

An organization wishing to apply for FA only or a combination of FA and TA awards through the NACA Program must be either a certified Native CDFI or be able to be certified by the Fund at the time of application. Organizations that are Emerging Native CDFIs or Sponsoring Entities may only apply for TA awards.

Beneficiary Eligibility:

Targeted Populations as defined in 12 C.F.R. 1805.

Credentials/Documentation:

An organization wishing to apply for FA only or a combination of FA and TA awards through the NACA Program must be either a certified Native CDFI or be able to be certified by the Fund at the time of application. Organizations that are Emerging Native CDFIs or Sponsoring Entities may only apply for TA awards. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Pursuant to 12 C.F.R. 1815. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete a NI Program application which includes a Comprehensive Business Plan.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA published in the Federal Register, announces the deadlines for submission of applications. Application deadlines are from 60 to 90 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 180 days after the application deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. The American Reinvestment and Recovery Act of 2009 waived the requirement that the CDFI Fund make awards to applicants in a "like form" to the matching funds secured by the awardee; thus, FY 2009 and 2010 NI Program awards are not required to be matched by non-Federal sources. The Recovery Act also waived the 3-year, \$5million cap on awards for FY 2009 and 2010.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must use all funds within three years. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425-Expenditure of Financial Assistance Report. Performance Monitoring is accomplished with the financial review of Audited Financial Statements and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of OMB Circular No. A-110 and the terms of the assistance agreement.

Account Identification:

20-1882-0-0-910 - American Recovery and Reinvestment Act of 2009;
20-1881-0-1-451 - Regular Appropriation.

Obligations:

(Project Grants) FY 09 \$8,000,000; FY 10 est \$0; FY 11 est \$0 - Administrative allotment of \$2 million to support the administrative processing for the awards.
(Project Grants) FY 09 \$6,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

FY 2009 Range of Financial Assistance Awards:
NI Program - \$225,000-\$470,000; NI Recovery - \$448,000-\$730,000.
FY 2009 Average Financial Assistance Awards:
NI Program - \$445,000; NI Recovery - \$700,000.
FY 2010 Range of Financial Assistance Awards (est) - \$308,000 - \$500,000.
FY 2010 Average Financial Assistance Awards (est) - \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Native Initiatives Program, which provides financial assistance, technical assistance, and training to Native CDFIs and other Native entities seeking to become or create Native CDFIs, registered a 23 percent increase in the total assets of Native CDFIs in the program year. Fiscal Year 2010: The Native Initiatives Program/NACA received 61 applicant ions requesting an estimated \$23.7 million. The annual percentage increase in the total assets of Native CDFIs is projected to be 15 percent. Fiscal Year 2011: The annual percentage increase in the total assets of native CDFIs is projected to be 19 percent.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 CFR Part 1805; Notice of Funding availability; Native Initiatives Program Application.

Regional or Local Office:

None.

Headquarters Office:

Christopher James, 601 13th Street, N.W. Suite 200 South, Washington, District of Columbia 20005 Email: jamesc@cdfi.treas.gov Phone: (202) 622-8704 Fax: (202) 622-7754.

Website Address:

<http://www.cdfifund.gov>

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.020 Community Development Financial Institutions Program; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Expanding Native Opportunities (ENO) is a training initiative focused on increasing the number of Native CDFIs, strengthening the operation capacity of existing Native CDFIs, and guiding Native CDFIs in the creation of important financial education and asset building programs for their communities. These programs are fully funded by the CDFI Fund and administered by contractors that are selected through a competitive bidding process. In FY 2009, Native Initiatives awarded three contracts for capacity building including:

Native Communities Financing Initiative (NCFI) - is an intensive series of workshops and follow-up technical assistance conducted over a 12-month period to help Native Communities develop and expand Native CDFIs;

Native Entrepreneur and Enterprise Development Initiative (NEED) - focuses on entrepreneurship development systems, curricula integration and program development at the local level; and
Native Financial Skills Initiative (NFSI) - is a training of the trainers in the Building Native Communities financial education curriculum and integrated asset building programs. Fiscal Year 2010: Financial Assistance awards are primarily used for financing capital. Technical Assistance grants are usually used to acquire products or services including computer technology; staff training; professional services, like market analysis; and support for other general capacity-building activities. Highlights of the Native American CDFI Assistance Program:

\$10 million will be awarded FY 2010;

\$15.8 million was awarded in 2009, including \$8 in funding made possible through the Recovery Act;

There are currently 57 certified Native CDFIs from 17 states;

\$46.8 million has been awarded since the inception of the program; and

Over \$8 million in contracts have been awarded to organizations that provide capacity-building and financial services training programs that are focused on Native Communities. Fiscal Year 2011: No data available at this time.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) financial strength and organizational capacity of applicant, (2) external resources or matching fund commitment/ability to obtain commitments, (3) community impact and (4) other factors as set forth in the NI Program regulations, NOFA and application materials.

21.013 QUALIFYING THERAPEUTIC DISCOVERY PROJECT CREDIT

Qualifying Therapeutic Discovery Project Credit

FEDERAL AGENCY:

Internal Revenue Service (IRS), Department of the Treasury

AUTHORIZATION:

Patient Protection and Affordable Care Act of 2010 / Section 9023 (a), Executive Order Under Section 48D of the Internal Revenue Code, Public Law 111-148.

OBJECTIVES:

Section 9023(e) of the Affordable Care Act provides that taxpayers may receive grants in lieu of the Qualified Therapeutic Discovery Project Credit. The credit/grant is a tax benefit targeted to therapeutic discovery projects that show a reasonable potential to: Result in new therapies to treat areas of unmet medical need or prevent, detect or treat chronic or acute diseases and conditions; Reduce the long-term growth of health care costs in the United States; or Significantly advance the goal of curing cancer within 30 years. Allocation of the credit/grant will also take into consideration which projects show the greatest potential to create and sustain high-quality, high-paying U.S. jobs and to advance U.S. competitiveness in life, biological and medical sciences.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Special)

USES AND USE RESTRICTIONS:

Grant funds can be used to cover qualified investments as defined by Section 48D(b) of the Code. An investment will be considered a qualified investment only if that investment is made in a taxable year beginning in 2009 or 2010.

Applicant Eligibility:

The credit/grant covers up to 50 percent of a taxpayers qualified investment.

Beneficiary Eligibility:

The credit/grant is only available to taxpayers with no more than 250 employees.

Credentials/Documentation:

Documentation will be made using IRS Form 8942, Application for Certification of Qualified Investments Eligible for Credits and Grants Under the Qualifying Therapeutic Discovery Project Program and the Department of Health and Human Services Qualifying Therapeutic Discovery Project Program Project Information Memorandum. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

All complete and eligibility applications will be evaluated by the Department of Health and Human Services and the Internal Revenue Service. Applicants will be notified of their acceptance or rejection of a grant award by letter sent via U.S. Postal Service.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A projects qualified investment will be considered to include any qualified investment made or expected to be made in a taxable year beginning in 2009 or 2010 or both. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Grant recipients are required to have a record keeping system in place to support all information reported in Form 8942 Application for Certification of Qualified Investments Eligible for Credits and Grants Under the Qualifying Therapeutic Discovery Project Program and the related Project Information Memorandum.

Account Identification:

00-0000-0-0-000 - Budget Account Code Pending.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$1,000,000,000 -

Range and Average of Financial Assistance:

The amount of the credit/grant which a taxpayer may be allocated will be limited to a maximum of \$5 million per taxpayer, and the total amount of credits available to all taxpayers is limited to \$1 billion.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Internal Revenue Service Notice 2010-45

Regional or Local Office:

None.

Headquarters Office:

Candace F. Fisher, Internal Revenue Service, 201 W. Rivercenter Blvd., ATTN: QTDP Stop 5701G, Covington, Kentucky 41011 Email: candace.f.fisher@irs.gov Phone: (651) 312-2109.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Internal Revenue Service will certify an eligible taxpayers qualified investment associated with a qualifying therapeutic discovery project under the Qualifying Therapeutic Discovery Project Program, for which an application has been submitted only if:

- (1) The Department of Health and Human Services (HHS) determines that the taxpayers project is a qualifying therapeutic discovery project;
- (2) HHS determines that the taxpayers project shows reasonable potential (a) to result in new therapies (i) to treat areas of unmet medical need, or (ii) to prevent, detect, or treat chronic or acute diseases and conditions, (b) to reduce long-term health care costs in the United States, or (c) to significantly advance the goal of curing cancer within the 30 year period beginning on May 21, 2010; and
- (3) The Internal Revenue Service determines that the taxpayers project is among those projects that have the greatest potential (a) to create and sustain (directly or indirectly) high quality, high-paying jobs in the United States, and (b) to advance United States competitiveness in the fields of life, biological, and medical sciences. The Internal Revenue Service will determine whether to certify all or a portion of a taxpayers qualified investment eligible for the therapeutic discovery project grant after the Department of Health and Human Services has completed its review of all application submitted by eligible taxpayers.

21.020 COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS PROGRAM

(CDFI)

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, "Recovery Act", Public Law 111-5; Riegle Community Development and Regulatory Improvement Act of 1994, 12 U.S.C 4701 et.seq.

OBJECTIVES:

To promote economic revitalization and community development through investment in and assistance to Community Development Financial Institutions (CDFIs).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial Assistance (FA) awards enhance the awardee's ability to make loans and investments and to provide financial services that promote economic revitalization and community development. Each awardee enters into an assistance agreement that requires it to achieve performance goals and abide by other terms and conditions. Technical Assistance (TA) awards build the awardee's internal capacity. No awards may be issued to Federal Government agencies, departments or instrumentalities, State governments local governments or any agency or instrumentality thereof. Under Section 1512 of OMB Recovery Act guidance, each awardee is required to report specific data elements (including job creation information) 10 days after the end of each calendar quarter.

Applicant Eligibility:

Private nonprofit institutions/organizations, other private institutions/organizations, profit organizations. Only certified CDFIs are eligible to apply for Financial Assistance awards; entities seeking to become certified CDFIs may apply for Technical Assistance awards.

Beneficiary Eligibility:

Investment Areas and Targeted Populations, as defined in 12 C.F.R. 1805.

Credentials/Documentation:

FA applicants must be certified CDFIs. TA applicants must provide proposed

plans to become certified CDFIs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Pursuant to 12 CFR 1815. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete a CDFI Program Application which includes a Comprehensive Business Plan.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The NOFA, published in the Federal Register, announces the deadlines for submission of applications. Application deadlines are from 60 to 90 days subsequent to the NOFA publication date. Award approval/disapproval determinations may be made within 180 days after the application deadline.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The American Reinvestment and Recovery Act of 2009 waived the requirement that the CDFI Fund make awards to applicants in a "like form" to the matching funds secured by the awardee; thus, FY 2009 and 2010 CDFI Program awards are not required to be matched by non-Federal sources. The Recovery Act also waived the 3-year, \$5 million cap on awards for FY 2009 and 2010.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awardees must use all funds within three years. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports provide the explanation of noncompliance with a statement addressing the failure to achieve program goals. No cash reports are required. Progress Reports cover the following areas: Institutional Performance Reporting; Transactional Performance Reporting; and the utilization of the award funds for Financial and/or Technical assistance. Expenditure Reports are included in the SF-425 - Expenditure of Financial Assistance. Performance Monitoring is accomplished with the financial review of Audited Financial Statements and the OMB Circular A-133 Single Audit findings.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must maintain records to substantiate total costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of OMB Circular No. A-110 and the terms of the assistance agreement.

Account Identification:

20-1882-0-0-910 - American Recovery and Reinvestment Act of 2009;

20-1881-0-1-451 - Regular Appropriation.

Obligations:

(Project Grants) FY 09 \$55,000,000; FY 10 est \$103,000,000; FY 11 est \$150,000,000. (Project Grants) FY 09 \$90,000,000; FY 10 est \$0; FY 11 est \$0 - Administrative allotment of \$2 million to support the administrative processing for the awards.

Range and Average of Financial Assistance:

FY 2009 Range of Financial Assistance Awards:
CDFI Program-\$250,000-\$2,000,000; CDFI Recovery Act Program-\$250,000-\$2,000,000

FY 2009 Average Financial Assistance Award:

CDFI Program-\$850,000; CDFI Recovery Act Program-\$1,500,000

FY 2010 Range of Financial Assistance Awards (est):

CDFI Program-\$250,000 - 2,000,000

FY 2010 Average Financial Assistance Award (est):

CDFI Program-\$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: CDFIs reported leveraging from private investment in the prior year nearly \$1.3 billion (based on reporting from program year 2008 results), which was more than double the target of \$635 million; this success was largely due to increased program funding which was met by private sector commitments before the full onset of the financial crisis. As a result, CDFIs helped provide funds for projects that created or maintained 70,260 jobs, which exceeded the target of 30,000 jobs. Fiscal Year 2010: The CDFI Program received 408 applications requesting an estimated \$467 million. Dollars of private and non-CDFI fund investments that CDFIs are able to leverage because of their CDFI Fund Financial Assistance is projected at \$600 million. The number of full-time equivalent jobs created or maintained in underserved communities by businesses financed by CDFI Program Awardees is projected to be 85,000. Fiscal Year 2011: Dollars of private and non-CDFI fund investments that CDFIs are able to leverage because of their CDFI Fund Financial Assistance is projected at \$700 million. The number of full-time equivalent jobs created or maintained in underserved communities by businesses financed by CDFI Program Awardees is projected to be 85,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 CFR Part 1805, Notice of Funding Availability. Community Development Financial Institutions Program Application. Website: www.cdfifund.gov.

Regional or Local Office:

None.

Headquarters Office:

Ruth Jaure, 601 13th Street, N.W., Suite 200 South, Washington, District of Columbia 20005 Email: jaurer@cdfi.treas.gov Phone: (202) 622-9156 Fax: (202) 622-7754.

Website Address:

<http://www.cdfifund.gov>.

RELATED PROGRAMS:

21.011 Capital Magnet Fund; 21.021 Bank Enterprise Award Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A CDFI, established in 1996 as a non-profit organization, serves underserved people in central and upstate New York. Since inception, the CDFI has helped over 3,800 homeowners make minor and major home repairs, providing them almost \$37 million in home improvement loans. In 2009, the CDFI became the number one home rehabilitation lender in its target market; helping almost 950 families in central New York repair their homes. The CDFI received a \$2,000,000 Financial Assistance award from the CDFI Fund in FY 2009 to expand the reach of its home improvement loan product. Fiscal Year 2010: No data available. Fiscal Year 2011: No data available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) financial strength and organizational capacity of applicant, (2) external resources or matching fund commitment/ability to obtain commitments, (3) community impact, and (4) other factors set forth in the CDFI Program regulations, NOFA and application materials.

21.021 BANK ENTERPRISE AWARD PROGRAM

BEA

FEDERAL AGENCY:

Community Development Financial Institutions Fund, Department of the Treasury

AUTHORIZATION:

12 U.S.C. 1834a; 12 U.S.C. 4713.

OBJECTIVES:

To encourage insured depository institutions to increase their level of community development activities in the form of loans, investments, services and technical assistance within distressed communities and to provide assistance to Community Development Financial Institutions (CDFIs) through grants, equity investments, loans, deposits and other forms of financial and technical assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The CDFI Fund makes grants to selected applicants that: (1)invest in or otherwise support CDFIs; (2)increase lending and investment activities within distressed communities; and/or (3)increase the provision of certain services and technical assistance within distressed communities. An award is based upon a percentage of the increase in qualifying activities that are carried out by the applicant between an established baseline period and assessment period. This information is announced in the annual Notice of Funds Availability published in the Federal Register. Only Federal Deposit Insurance Corporation insured banks and savings associations may apply for an award under this program.

Applicant Eligibility:

Profit Organization and Other Private Institution/Organization in the form of FDIC insured depository institutions.

Beneficiary Eligibility:

Distressed communities as defined in 12 C.F.R. 1806.

Credentials/Documentation:

An applicant must provide it's Federal Deposit Insurance Corporation certificate number. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each applicant must complete a Bank Enterprise Award Application.

Award Procedure:

The CDFI Fund reviews applications and makes awards based on an established awards review process, set forth in the Notice of Funds Availability (NOFA) and application materials.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The annual NOFA published in the Federal Register announces the deadlines for the submission of applications. Award approval/disapproval determinations may be made within 180 days after the application deadline. Application deadlines are from 30 to 90 days subsequent to the NOFA publication date.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds must be used within one year on BEA qualified activities. Method of awarding/releasing assistance: lump sum.

Reports:

Program Reports are used to identify and record the utilization of the award funds. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance Monitoring is accomplished with the financial review of Audited Financial Statements.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Award recipients (insured depository institutions) are excluded from coverage under OMB Circular A-133 (Revised, June 27, 2003) "Audits of States, Local Governments, and Non-Profit Organizations". Costs incurred for awards issued as part of this program are subject to audit in accordance with the award terms and conditions.

Records:

Award recipients must maintain records to substantiate all award costs incurred and to assure that all performance measures are met. Records must be retained in accordance with the provisions of applicable OMB guidance and the terms of the award agreement.

Account Identification:

20-1881-0-1-451.

Obligations:

(Project Grants) FY 09 \$22,000,000; FY 10 est \$25,000,000; FY 11 est \$0 - The CDFI Fund is proposing not to fund the BEA Program in FY 2011.

Range and Average of Financial Assistance:

FY 2009 Range of awards \$6,000 to \$700,000; Average Award \$406,042.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The CDFI Fund received 58 applications requesting a total of \$57 million in FY 2009, compared to 60 applications requesting approximately \$47 million in FY 2008. The CDFI Fund selected 55 FDIC-insured institutions to receive approximately \$22 million in awards. The FY 2009 applicants are headquartered in 25 states and the District of Columbia, compared to the 19 states represented in the prior year.

The CDFI Fund issued a revised interim rule for the BEA Program that requires awardees to use award proceeds for certain qualified community development financing purposes (in addition to having demonstrated the increase in prior year community development activity). Fiscal Year 2010: Increase in community development activities over prior year for all BEA Program applicants is projected to be \$210 million. Fiscal Year 2011: The CDFI Fund is proposing not to fund the BEA Program in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Revised Interim Rule (12 CFR 1806); NOFA; and application materials.

Regional or Local Office:

None.

Headquarters Office:

Jodie Harris, 601 Thirteenth Street, NW, Suite 200 South, Washington, District of Columbia 20005 Email: harrisj@cdfi.treas.gov Phone: (202) 622-4499 Fax: (202) 622-7754.

Website Address:

www.cdfifund.gov.

RELATED PROGRAMS:

21.020 Community Development Financial Institutions Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Bank Enterprise Award recipients issued more than \$173 million in new loans and investments to certified CDFIs. In addition, recipients made available nearly \$311 million for affordable housing, commercial real estate, small business and education loans in economically distressed communities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Award recipients are selected based upon a priority ranking of qualified activities as set forth in the BEA regulations, the NOFA, and application materials. Qualified activities include, in order of importance, the following:

(1) Community Development Financial Institution Related Activities; (2) Distressed Community Financing Activities; and (3) Service Activities.

APPALACHIAN REGIONAL COMMISSION

23.001 APPALACHIAN REGIONAL DEVELOPMENT (SEE INDIVIDUAL APPALACHIAN PROGRAMS)

(Appalachian Program)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Public Law 89-4, as amended, 40 U.S.C. 14101-14704.

OBJECTIVES:

To help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed counties and areas. This program focuses on activities which support ARC's mission to be a strategic partner and advocate for sustainable community and economic development. Activities funded must advance ARC's strategic plan. Specific program goals are: (1) Increase job opportunities and per capita income in Appalachia to reach parity with the nation; (2) Strengthen the capacity of the people of Appalachia to compete in the global economy; (3) Develop and improve Appalachia's infrastructure to make the Region economically competitive; and (4) Build the Appalachian Development Highway System to reduce Appalachia's isolation. Specific objectives were developed for each goal. Grants are made either directly by the Commission or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Appalachian funds enable the States and local areas to develop networks of facilities and services. Individual programs following this description illustrate the types of investments that can be made. In considering programs and projects to be given assistance under this Act, and in establishing a priority ranking of the requests for assistance presented to the Commission (ARC), the ARC follows procedures insuring consideration of the following factors: (1) The relationship of the project or class of projects to overall regional development and the reduction of economic distress; (2) the population and area to be served including the relative per capita income and the unemployment rates in the area; (3) the relative financial resources available to the State or political subdivision or instrumentalities thereof which seek to undertake the project; (4) the importance of the project or class of projects in relation to other activities which may compete for the same funds; (5) the prospects that the project for which assistance is sought will improve the opportunities for sustained employment, income growth, or socioeconomic development of the area; and (6) the degree and manner of private sector involvement. No financial assistance can be used to assist establishments relocating from one area to another. Each State is required to file a State Appalachian development plan, appraising prospects for development in its Appalachian area and relating to them a strategic program for which Appalachian funding is requested in that year. Once an application is submitted for the individual projects and given final approval, the grant is administered either by the basic Federal agency involved in that type of program or directly by the ARC. The counties (including any political subdivision located within such area) in which investment under the Appalachian Act (40 U.S.C. 14102) can be made are: in Alabama, the counties of Bibb, Blount, Calhoun, Chambers, Cherokee, Chilton, Clay, Cleburne, Colbert, Coosa, Cullman, DeKalb, Elmore, Etowah, Fayette, Franklin, Hale, Jackson, Jefferson, Lamar, Lauderdale, Lawrence, Limestone, Macon, Madison, Marion, Marshall, Morgan, Pickens, Randolph, Saint Clair, Shelby, Talladega, Tallapoosa, Tuscaloosa, Walker, and Winston; in Georgia, the counties of Banks, Barrow, Bartow, Carroll, Catoosa, Chattooga, Cherokee, Dade, Dawson, Douglas, Elbert, Fannin, Floyd, Forsyth, Franklin, Gilmer, Gordon, Gwinnet, Habersham, Hall, Haralson, Hart, Heard, Jackson, Lumpkin,

Madison, Murray, Paulding, Pickens, Polk, Rabun, Stephens, Towns, Union, Walker, White, and Whitfield; in Kentucky, the counties of Adair, Bath, Bell, Boyd, Breathitt, Carter, Casey, Clark, Clay, Clinton, Cumberland, Edmonson, Elliott, Estill, Fleming, Floyd, Garrard, Green, Greenup, Harlan, Hart, Jackson, Johnson, Knott, Knox, Laurel, Lawrence, Lee, Leslie, Letcher, Lewis, Lincoln, McCreary, Madison, Magoffin, Martin, Menifee, Metcalfe, Monroe, Montgomery, Morgan, Nicholas, Owsley, Perry, Pike, Powell, Pulaski, Robertson, Rockcastle, Rowan, Russell, Wayne, Whitley, and Wolfe; in Maryland, the counties of Allegany, Garrett, and Washington; in Mississippi, the counties of Alcorn, Benton, Calhoun, Chickasaw, Choctaw, Clay, Itawamba, Kemper, Lee, Lowndes, Marshall, Montgomery, Monroe, Noxubee, Oktibbeha, Panola, Pontotoc, Prentiss, Tippah, Tishomingo, Union, Webster, Winston, and Yalobusha; in New York, the counties of Allegany, Broome, Cattaraugus, Chautauq, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Schuyler, Steuben, Tioga, and Tompkins; in North Carolina, the counties of Alexander, Alleghany, Ashe, Avery, Buncombe, Burke, Caldwell, Cherokee, Clay, Davie, Forsyth, Graham, Haywood, Henderson, Jackson, McDowell, Macon, Madison, Mitchell, Polk, Rutherford, Stokes, Surry, Swain, Transylvania, Watauga, Wilkes, Yadkin, and Yancey; in Ohio, the counties of Adams, Ashtabula, Athens, Belmont, Brown, Carroll, Clermont, Columbiana, Coshocton, Gallia, Guernsey, Harrison, Highland, Hocking, Holmes, Jackson, Jefferson, Lawrence, Mahoning, Meigs, Monroe, Morgan, Muskingum, Noble, Perry, Pike, Ross, Scioto, Trumbull, Tuscarawas, Vinton, and Washington; in Pennsylvania, the counties of Allegheny, Armstrong, Beaver, Bedford, Blair, Bradford, Butler, Cambria, Cameron, Carbon, Centre, Clarion, Clearfield, Clinton, Columbia, Crawford, Elk, Erie, Fayette, Forest, Fulton, Greene, Huntingdon, Indiana, Jefferson, Juniata, Lackawanna, Lawrence, Luzerne, Lycoming, McKean, Mercer, Mifflin, Monroe, Montour, Northumberland, Perry, Pike, Potter, Schuylkill, Snyder, Somerset, Sullivan, Susquehanna, Tioga, Union, Venango, Warren, Washington, Wayne, Westmoreland, and Wyoming; in South Carolina, the counties of Anderson, Cherokee, Greenville, Oconee, Pickens, and Spartanburg; in Tennessee, the counties of Anderson, Bledsoe, Blount, Bradley, Campbell, Cannon, Carter, Claiborne, Clay, Cocke, Coffee, Cumberland, De Kalb, Fentress, Franklin, Grainger, Greene, Grundy, Hamblen, Hamilton, Hancock, Hawkins, Jackson, Jefferson, Johnson, Knox, Lawrence, Lewis, Loudon, McMinn, Macon, Marion, Meigs, Monroe, Morgan, Overton, Pickett, Polk, Putman, Rhea, Roane, Scott, Sequatchie, Sevier, Smith, Sullivan, Unicoi, Union, Van Buren, Warren, Washington, and White; in Virginia, the counties of Alleghany, Bath, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Giles, Grayson, Henry, Highland, Lee, Montgomery, Patrick, Pulaski, Rockbridge, Russell, Scott, Smyth, Tazewell, Washington, Wise, and Wythe; all the counties of West Virginia.

Applicant Eligibility:

States, and through the States, public bodies and private nonprofit organizations. All proposed projects must meet the requirements of the State Appalachian plan and the annual State strategy statement, both of which must be approved annually by the Commission.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

(See individual Appalachian program descriptions.). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The following describes the general nature and Administration of Appalachian Regional Development Program. The Appalachian Regional Development program is a joint Federal-State partnership for the development of the Appalachian region. Responsibility for the development of plans and programs authorized under the Act is vested in the ARC, composed of the 13 State Governors (who may appoint alternates) and a Federal Co-Chairman. General policies and procedures, and the allocation of Appalachian funds among the various programs and States are established by the ARC. Application for assistance may only be made through a State member of the ARC. The State Alternate's Offices are the coordinators for the Governors for Appalachian investments. Preapplication conferences can determine within a few weeks if the project conforms to the State Appalachian Development Plan. The appropriate local development district director should be the first contact. The State Alternate's Offices will provide guidance on specific problems and

technical assistance in the preparation of applications. (See individual Appalachian program descriptions.)

Only infrastructure projects require an environmental review. Please see 40 U.S.C. 14507. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications for individual projects must be submitted through and with the approval of the State Alternate to the Appalachian Regional Commission (listed in the appendix). (See individual Appalachian program description.)

Award Procedure:

Upon receipt of project applications approved by the State, the Federal Co-Chairman determines that the project satisfies all requirements for assistance under the Act and approves the application. If a basic Federal agency will administer the project, it is then notified and will disburse funds when appropriate. The ARC notifies Congressional offices and the office of the Governor of grant awards. (See individual Appalachian program descriptions.).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

(See individual Appalachian program descriptions.).

Appeals:

There are no appeal procedures as such, project review allows for full and free interchange with applicants.

Renewals:

Generally renewals are not applicable except for administrative expenses, including technical services, of local development districts (23.009).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: For the Area Development program, grants are generally limited to 50% of project costs. For projects in counties designated as At Risk, this limit can be raised to 70% and in economically Distressed counties, it can be raised to 80%. For projects in counties designated as Competitive (those approaching national economic norms), funding is usually limited to 30% of project costs. Funding is usually not available for projects located in counties that have attained or exceeded national economic norms. For the Appalachian Development Highway System, funding for work is allowed at 80%, wherever the project is located. Administrative grants to Local Development Districts are generally funded at 50%. These grants may be increased to 75% upon request by a state in Districts where distressed counties are located. See the ARC Code for additional information.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See individual Programs. See the following for information on how assistance is awarded/released: See individual Programs.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are required by the basic Federal agency and the Commission.

Records:

Records generally are required by the basic Federal agency, but see local development districts (23.009).

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 09 \$65,451,568; FY 10 est \$68,000,000; FY 11 est \$68,000,000 - Sections 23.002, 23.009 and 23.011 provide a detailed break-out of these funds.

Range and Average of Financial Assistance:

See Individual programs.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution);
"Appalachian Regional Commission Project Guidelines" (limited distribution);
applicable State Appalachian Plans and Guidelines; "Appalachia" - a Journal devoted to the special problems of regional development; Performance and Accountability Reports, no charge.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Carolyn C. Kluck, 1666 Connecticut Avenue, NW, Suite 600, Washington, District of Columbia 20009 Email: ckluck@arc.gov Phone: (202) 884-7713.

Website Address:

<http://www.arc.gov>.

RELATED PROGRAMS:

11.300 Investments for Public Works and Economic Development Facilities;
11.307 Economic Adjustment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

23.002 APPALACHIAN AREA DEVELOPMENT

(Supplemental and Direct Grants)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Public Law 89-4, as amended, 40 U.S.C. 14101-14704.

OBJECTIVES:

To help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed counties and areas. This program focuses on activities which support ARC's mission to be a strategic partner and advocate for sustainable community and economic development. Activities funded must advance ARC's strategic plan. Specific program goals are: (1) Increase job opportunities and per capita income in Appalachia to reach parity with the nation; (2) Strengthen the capacity of the people of Appalachia to compete in the global economy; (3) Develop and improve Appalachia's infrastructure to make the Region economically competitive; and (4) Build the Appalachian Development Highway System to reduce Appalachia's isolation. Specific objectives were developed for each goal. Grants are made either directly by the Commission or grants may supplement other Federal grants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for providing supplemental funds under Federal grant-in-aid programs. To be eligible for special basic grants, projects must be of high priority in the State's Appalachian development plan and be either of critical importance to a phased investment and development program for a multicounty area, or of unusual economic benefit to such area. Refer to "General Provisions for Area Development Program" of the Appalachian Regional Commission Code and ARC Project Guidelines for additional use restrictions. Also, please see www.arc.gov. The Appalachian Regional

Commission awards grants and contracts from funds appropriated to the Commission annually by Congress. At least half of ARC's Area Development grant funding is directed to projects that benefit counties and areas in the Appalachian Region designated by ARC as economically distressed. Most program grants originate at the state level. Potential applicants should contact their state ARC program manager to request a preapplication package.

Applicant Eligibility:

States, their subdivisions and instrumentalities and private nonprofit agencies.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Any finding, report, certification, or documentation required to be submitted to the head of the department, agency, or instrumentality of the Federal Government responsible for the administration of the basic Federal grant-in-aid program shall be accepted by the Federal Co-chairman with respect to a supplemental grant for any project under such program. Projects must conform to the ARC Code, the ARD Act, the State Appalachian Plan and annual State Strategy Statement. The Appalachian State Development Plan and the annual State Strategy Statement must be submitted by the Governors and approved by the Commission. Costs will be determined in accordance with OMB Circular applicable to the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Alternate's Office is the coordinator for Appalachian investments. Preapplication conferences with the Appalachian local district director or the State Alternate's Office can determine within a few weeks if the project can be related to the State Appalachian development plan. The State Alternate's Office will provide guidance on specific problems and technical assistance in preparation of applications. An environmental impact statement may be required for this program, depending on the basic Federal grant-in-aid program being assisted. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program.

Only infrastructure projects require an environmental review. Please see 40 U.S.C. 14507. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted and approved by the State member of the ARC. All proposed projects must conform to the State Strategy Statement submitted annually. Guidelines and forms for funding Appalachian development projects are available from the local development district director and the State Alternate's Office. A letter of transmittal signed by the State member and an executed ARC Form 1 is required with the submission.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian State Development Plan and Strategy Statement, the Federal Co-Chairman determines that the project satisfies all Federal requirements. If a basic Federal agency will administer the project it is then notified and will disburse the grant funds when appropriate. The ARC notifies Congressional Offices and the Office of the Governor of grant awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days after the receipt of the application at the Commission.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Generally grants are limited to 50% of project costs. For projects in counties designated as At Risk, this limit can be raised to 70%, and in economically distressed counties, it can be raised to 80%. For projects in counties designated as competitive (those that are approaching national economic norms), funding is usually limited to 30% of project costs. Funding is usually not available for projects located in counties that have attained or exceeded national economic norms. If otherwise eligible for funding, projects involving construction assistance for housing under the Appalachian Regional Development Act (ARDA) (40 U.S.C. 14503) cannot exceed 10% of total project costs regardless of location. The ARC Code and "Appalachian Regional Commission Project Guidelines" furnish details. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is provided until completion; funds are transferred to the basic Federal agency and disbursed as appropriate. ARC reserves the right to withdraw grants for projects not under contract within 18 months of approval. See the following for information on how assistance is awarded/released: Generally, most funds are awarded on a quarterly basis. Grant monies are released in a timely fashion through coordination with the applicant.

Reports:

No program reports are required. No cash reports are required. Grantees of non-construction projects submit quarterly reports outlining progress throughout the award period. Grantees of non-construction projects submit quarterly expenditure reports along with their progress reports. Grantees of non-construction assess performance in their final closing report. Performance is measured against estimated projections in the initial application.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records as required by the basic Federal agency. Under the total audit concept, audits will be made on an organization-wide basis (rather than grant-by-grant) under GAO guidelines, Standards for Audit of Governmental Organizations, Programs, Activities and Functions, and for programs covered by A-102, Attachment P.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 09 \$65,451,568; FY 10 est \$68,000,000; FY 11 est \$68,000,000

Range and Average of Financial Assistance:

Range: \$1 - \$1,500,000

Average: \$45,994.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 1) Jobs Created/Retained: Actual = 21,183

2) Private Sector Dollars Leveraged: Actual = 3:1

3) Households served Water/Wastewater: Actual = 25,981

4) Students/Workers Trained: Actual= 23,764. Fiscal Year 2010: 1) Jobs Created/Retained: Projected = 20,000

2) Private Sector Dollars Leveraged: Projected = 4:1

3) Households served Water/Wastewater: Projected = 20,000

4) Students/Workers Trained: Projected = 20,000. Fiscal Year 2011: 1) Jobs Created/Retained: Projected = 20,000

2) Private Sector Dollars Leveraged: Projected = 4:1

3) Households served Water/Wastewater: Projected = 20,000

4) Students/Workers Trained: Projected = 20,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution);

"Appalachian Regional Commission Project Guidelines" (limited distribution);

applicable State Appalachian Plans and Guidelines; "Appalachia" - a journal devoted to the special problems of regional development; Performance and Accountability Report, no charge.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Carolyn C. Kluck, 1666 Connecticut Avenue, NW, Suite 600, Washington, District of Columbia 20009 Email: ckluck@arc.gov Phone: (202) 884-7713.

Website Address:

http://www.arc.gov .

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Hospital Digital Mammography Equipment: In 2009, ARC invested \$325,000 to assist Manchester Memorial Hospital, a rural health system that serves patients regardless of their ability to pay, in the purchase of a Full Field Digital Mammography system. Four distressed counties in Kentucky rely on this hospital for care. The hospitals existing analogue equipment was over fourteen years old, in disrepair, and incapable of transmitting scans digitally. Diagnosis was difficult due to the poor quality of the scans. The project enabled 835 patients to receive screening to detect and prevent cancer more precisely. Fiscal Year 2010: Growing Green Collar Jobs in Southwest Virginia: With green energy jobs expected to grow in the Region, ARC invested \$100,000 in 2010 in a project launched by Southwest Virginia Community College. ARC funds were matched by Virginia Tobacco Commission funds to develop a green energy training and education program in partnership with private industry. Activities included updating existing construction trade programs to include efficiency and renewable components with the creation of a certificate program; and educating the community at-large about green technologies and energy efficient practices through free workshops. Existing national curriculum was utilized for the program. One hundred and sixty students are anticipated to be trained within the first year of the project. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.003 APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

(Appalachian Corridors)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 201, Public Law 89-4, as amended, 40 U.S.C. 14501.

OBJECTIVES:

To provide a highway system which, in conjunction with other federally-aided highways, will open up areas with development potential within Appalachia where commerce and communication have been inhibited by lack of adequate access.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for preliminary engineering, right-of-way, and construction of highways meeting the objectives stated above. Total highway construction may not exceed 3,090 miles for the 13-State system. The highways must be accepted and maintained as a Federal-aid highway. Refer to general use restrictions stated in the Appalachian Regional Development program (23.001).

Applicant Eligibility:

State governments only are eligible for development highways within their Appalachian portions.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Segments must be approved by the ARC and projects must be processed in accordance with State Highway department and Federal Highway Administration procedures. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. The State highway department coordinates with the Federal Highway Administration, Department of Transportation (DOT), as in the case of federally aided highway construction. The District Director or State Alternate's Office will provide guidance on specific problems and technical assistance in the preparation of applications. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The ARC has established a corridor system. The Appalachian State office designated by the State Member submits an application for construction within this system to the Commission for consideration. ARC must concur in detailed highway locations and construction centerlines. Upon such approval, State highway departments may submit plans to implement projects to the State-level office of Federal Highway Administration.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian Highway Plan, the Federal Co-chairman notifies FHWA of centerline approval after determining that the project satisfies all Federal requirements. The Department of Transportation is then notified, administers the grants and disburses the funds.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Funding for work on the Appalachian Development Highway System is allowed at 80%, wherever the project is located. Please see the ARC Code for more details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Assistance is provided as required. See the following for information on how assistance is awarded/released: Funds are distributed by formula based on the current "cost to complete" report estimate.

Reports:

Grantees are required to submit data in preparation for an annual status report. Grantees are required to reconcile obligations against progress. Grantees are required to detailed construction status reports. Grantees are required to reconcile obligations against progress. Performance is measured and reported each year in accordance with the goals and strategies of ARC's Strategic Plan 2005-2010.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Federal Highway Administration, DOT, supervises audits for the Commission.

Records:

Records and time of record maintenance as required by the Federal Highway Administration, DOT.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 09 \$375,672,092; FY 10 est not reported.; FY 11 est not reported. - Ranges and averages vary with type of use. Allocations are within predetermined system of highways. Funding is provided from the Highway Trust Fund.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 10.5 miles of the ADHS opened to traffic. Fiscal Year 2010: Open 25 miles of the ADHS to traffic. Fiscal Year 2011: Open 25 miles of the ADHS to traffic.

REGULATIONS, GUIDELINES, AND LITERATURE:

The ARC Code (available at www.arc.gov); "Appalachian Regional Commission Project Guidelines" (also available at www.arc.gov); applicable State Appalachian Plans and Guidelines; "Appalachia" a journal devoted to the special problems of regional development; Performance and Accountability Reports, no charge.

Regional or Local Office:

See Regional Agency Offices. Inquiries and proposals for projects should be submitted first to the Appalachian State office designated by the Governor. (See Appendix IV of the Catalog.) Other inquiries may be addressed to: Executive Director, Appalachian Regional Commission, 1666 Connecticut Ave., NW., Washington, DC 20009. Telephone: (202) 884-7700. Use the same number for FTS.

Headquarters Office:

Carolyn C. Kluck, 1666 Connecticut Avenue, NW, Suite 600, Washington, District of Columbia 20009 Email: ckluck@arc.gov Phone: (202) 884-7713.

Website Address:

<http://www.arc.gov> .

RELATED PROGRAMS:

20.205 Highway Planning and Construction

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.009 APPALACHIAN LOCAL DEVELOPMENT DISTRICT ASSISTANCE

(LDD)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 302, Public Law 89-4, as amended, 40 U.S.C. 14321.

OBJECTIVES:

To provide planning and development resources in multicounty areas; to help develop the technical competence essential to sound development assistance; and to meet the objectives stated under the program entitled Appalachian Regional Development (23.001).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For administrative expenses, including technical services of certified local development districts; in addition to the uses and use restrictions detailed in the Appalachian Regional Development Program (23.001), the local development district may use grant funds for the purchase of real estate and vehicles; and for space improvement and construction only with the express approval of ARC.

Funds may be used only in the performance of grantees program and in accordance with the budget, and amendments thereto, as approved by the Commission. See restrictions listed under the Appalachian Regional Development Program (23.001). For administrative expenses, including technical services of certified local development districts.

Applicant Eligibility:

Multicounty organizations certified by the State.

Beneficiary Eligibility:

Multicounty organizations.

Credentials/Documentation:

Certificate by the Governor. State Appalachian Plan and Strategy Statement must describe the procedures for the participation of local development districts in the Appalachian development planning process. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Alternate's Office is the coordinator for the Governor for Appalachian investments. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications approved by the State Member of ARC and the Federal Co-Chair. All proposed projects must meet the requirements of the State Appalachian Plan and Strategy Statement. Strategy statements are submitted to the Commission for approval annually prior to the Fall Commission meeting. A letter of transmittal signed by the State Member and Standard Form 424 are required.

Award Procedure:

Upon determination that the State approved project is eligible within a Commission approved Appalachian State Development Plan and Strategy Statement, the Federal Co-chairman determines that the project satisfies all Federal requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Usually within 45 days after the receipt of the application at the Commission.

Appeals:

Not Applicable.

Renewals:

Renewals and amended applications follow the same procedures as the original application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Administrative grants may be funded at 50%, wherever the local development district is located, except that a state may request that ARC participation be increased to as much as 75 percent for a local development district that includes a distressed county or counties within its service area or to as much as 70 percent for a local development district that includes an at-risk count or counties within its service area. See the ARC Code and ARC Project Guidelines for more details. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is provided annually for 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

A final report is required at the end of each calendar year from each local development district that includes an analysis of performance activities and financial expenditures. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Commission, the Federal Co-chairman of the Commission, and the Comptroller General of the United States shall have access for the purpose of audit and examination.

Records:

The grantee shall keep records for not less than 3 years which fully disclose the purpose, amount and disposition of ARC funds and other contributions.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 09 \$6,705,018; FY 10 est \$7,000,000; FY 11 est \$7,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (available at www.arc.gov); "ARC Travel Regulations;" "ARC Section 302A Guidelines" and "Audit Guide for Grants Made Under Section 302(A)(1) of the Appalachian Regional Development Act of 1965, as amended", and applicable OMB Circulars.

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog.

Headquarters Office:

Carolyn C. Kluck, 1666 Connecticut Avenue, NW, Suite 600, Washington, District of Columbia 20009 Email: ckluck@arc.gov Phone: (202) 884-7713.

Website Address:

<http://www.arc.gov> .

RELATED PROGRAMS:

11.303 Economic Development_Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 74 local development districts were aided. Fiscal Year 2010: 73 local development districts will be aided. Fiscal Year 2011: 73 local development districts will be aided.

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

23.011 APPALACHIAN RESEARCH, TECHNICAL ASSISTANCE, AND DEMONSTRATION PROJECTS

(State Research)

FEDERAL AGENCY:

Appalachian Regional Commission

AUTHORIZATION:

Appalachian Regional Development Act of 1965, Section 302, Public Law 89-4, as amended; 40 U.S.C. 14321.

OBJECTIVES:

To expand the knowledge of the region to the fullest extent possible by means of research (including investigations, studies, technical assistance and demonstration projects) in order to assist the Commission in accomplishing the objectives of the Act, and implementation of the ARC strategic plan.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To research or demonstrate the feasibility of plans and programs for concerted

economic and community development. Priority will be given to technical assistance related to job creation projects. Project activities can include investigations, research, studies, evaluations, and assessments of needs, potentials, or attainments of the people of the region, technical assistance, training programs, demonstrations and the construction of necessary facilities incident to such activities. All developments resulting from such research, and demonstration projects must be made freely available to the general public. Existing research by other agencies is to be utilized as much as possible. Research projects are subject to the uses and use restrictions described under the Appalachian Regional Development program (23.001). The purpose of these funds are to research or demonstrate the feasibility of plans and programs for concerted economic and community development. Priority will be given to technical assistance related to job creation projects.

Applicant Eligibility:

Qualified candidates with subject matter experience who respond to Commission issued requests for proposals that are advertised on the Appalachian Regional Commission website (www.arc.gov).

Beneficiary Eligibility:

States and local public bodies.

Credentials/Documentation:

Projects must conform to the Appalachian Regional Commission Strategic Plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The Appalachian Regional Commission reviews and prioritizes research and evaluation projects necessary to further the goals of the agency's strategic plan. The Executive Director approves individual contractors. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Candidates with subject matter expertise can respond to publicly advertised requests for proposals. Requests for proposals are located on the ARC website: www.arc.gov. The Commission reviews and prioritizes research projects to be completed each year.

Award Procedure:

Upon determination that the approved project is eligible within a Commission approved Appalachian Strategic Plan, the Federal Co-chairman and Executive Director determines that the project satisfies all Federal requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days after the receipt of the application at the Commission.

Appeals:

Not Applicable.

Renewals:

Yes, renewals are possible. They are processed in the same manner as the original application.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. No other matching information is available.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Usually 12 months. Assistance is provided as required. See the following for information on how assistance is awarded/released: A schedule for payment is included in the contract proposal. However, ARC retains 10% of funds until the project is completed.

Reports:

Program reports are not applicable. A cost estimate of the project is required upon initiation of the research contract. As the contract progresses, researchers are asked to update ARC on their progress. Drafts are sent to ARC for review.

Expenditure updates are required for reimbursement. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As required by the Commission, in accordance with the Single Audit Act Amendments of 1996, Public Law 104-156.

Records:

As required by the terms of the contract.

Account Identification:

46-0200-0-1-452.

Obligations:

(Project Grants) FY 09 \$1,290,750; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Research completed or under way in 2009 includes:

An analysis of oral health disparities and access to dental services in Appalachia;

Energy training and management planning for water and wastewater treatment facilities;

An evaluation of ARCs tourism and asset-based economic development projects;

An analysis of wind and solar manufacturing supply-chain opportunities in Appalachia; and

An assessment of alternative measures for determining economically distressed counties in

the Appalachian Region. Fiscal Year 2010: Examples of Research Proposed or underway:

Evaluation of ARCs Investments in Education and Training will examine how ARC education investments have impacted literacy rates and access to jobs, information, resources, and technology.

An analysis of options to Financing Energy-Efficient Infrastructure will examine ways for municipalities and utilities to implement energy efficiency upgrades to existing public infrastructure and buildings that reduce costs, promote public health, and stimulate job creation and economic development.

A program evaluation of ARC telecommunications and technology Investments will build upon prior research efforts and develop improved performance measurement and evaluation tools.

An economic impact analysis of medical care access and cost disparities in Appalachia. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Appalachian Regional Commission Code" (limited distribution);

"Appalachian Regional Commission Project Guidelines" (limited distribution);

"Appalachia" a journal devoted to the special problems of regional development (no charge); Research Program Prospectus (limited distribution) Performance and Accountability Report.

Regional or Local Office:

See Regional Agency Offices. Refer to Appendix IV of the Catalog.

Headquarters Office:

Carolyn C. Kluck, 1666 Connecticut Avenue, NW, Suite 600, Washington, District of Columbia 20009 Email: ckluck@arc.gov Phone: (202) 884-7713.

Website Address:

<http://www.arc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Research completed or under way in 2009 includes:

An analysis of oral health disparities and access to dental services in Appalachia;

Energy training and management planning for water and wastewater treatment facilities;

An evaluation of ARCs tourism and asset-based economic development projects;

An analysis of wind and solar manufacturing supply-chain opportunities in Appalachia; and

An assessment of alternative measures for determining economically distressed counties in

the Appalachian Region. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See APPLICATION AND AWARD PROCESS.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

30.001 EMPLOYMENT DISCRIMINATION_TITLE VII OF THE CIVIL RIGHTS ACT OF 1964

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Title VII of the Civil Rights Act of 1964 (Public Law 88-352), as amended, 42 U.S.C. section 2000e et seq.

OBJECTIVES:

Title VII prohibits employment discrimination against applicants or employees based on race, color, religion, sex (including pregnancy), and national origin.

Title VII also prohibits retaliation against applicants or employees who oppose employment practices that discriminate based on race, color, religion, sex or national origin or for filing a discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under Title VII.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of race, color, religion, sex (including pregnancy) and national origin by employers with 15 or more employees, Federal, state, and local governments, employment agencies, and labor organizations. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals: to determine whether EEOC is the appropriate agency to contact regarding discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, an investigation may ensue. If after its investigation the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a State or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court. If conciliation fails on a charge against a State or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter. If the Commission does not find reasonable cause, the charging party is issued a right to sue letter.

Applicant Eligibility:

Any aggrieved individual, or any individual, labor union, association, legal representative, or organization filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title VII, as amended, has been committed by an employer with 15 or more employees, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs.

Any aggrieved individual who believes he or she has been retaliated against for

opposing employment practices that discriminate based on race, color, religion, sex (including pregnancy) or national origin or who files a charge of discrimination, testifies, or participates in any way in an investigation, proceeding, or litigation under Title VII.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who have been subjected to employment practices based on race, color, religion, sex, or national origin by the named respondent(s), and/or who have been subjected to retaliation for opposing discrimination or participating in a Title VII investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet State or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Title VII requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above.

Under Title VII, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 09 \$343,925,000; FY 10 est \$367,303,000; FY 11 est \$385,303,000 - Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Administrative Enforcement: In FY 2009, EEOC received 93,277 charges of employment discrimination: 36% of those charges alleged race discrimination; 30% alleged sex discrimination; 11.9% alleged national origin discrimination; 3.6% alleged religious discrimination and 31% alleged retaliation. EEOC resolved 64,304 charges in FY 2009 alleging Title VII violations. Nineteen percent of the Title VII resolutions were merit resolutions. Through administrative resolution of Title VII charges, EEOC obtained \$214,400,000 in monetary relief for victims of discrimination. Litigation Enforcement: EEOC field legal units filed 281 merits lawsuits and 32 subpoena enforcement and other actions. 170 of the new filings were individual suits and 111 were class suits. Legal staff resolved 319 merits lawsuits for a total monetary recovery of \$80,628,935. (Merits lawsuits include direct suits and interventions alleging violations of the substantive provisions of the statutes enforced by the Commission and suits to enforce administrative settlements.) Of these resolutions, 249 contained Title VII claims, 40 contained American with Disabilities Act of 1990 (ADA) claims, 38 contained Age Discrimination in Employment Act of 1967 (ADEA) claims, and 5 contained Equal Pact Act of 1964 (EPA) claims. The number of total merits lawsuits is less than the sum of suits based on each individual law as some suits are filed on multiple bases. The Commission also resolved 28 subpoena enforcement and other actions during the fiscal year. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$63, 415,742 in Title VII resolutions, \$6,745,543 in ADEA resolutions, \$9,548,761 in ADA resolutions, \$20,000 in EPA resolutions and \$898,889 in resolutions involving more than one statute. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact the EEOCs Publication Center at 1-800-669-3362 (voice) or 1-800-800-9902 (TTY). Regulations include: 29 CFR 1601, Procedural Regulations and Guidelines; 29 CFR 1602, Recordkeeping and Reporting Requirements under Title VII and the ADA; 29 CFR 1604, Guidelines on Discrimination Because of Sex; 29 CFR 1605, Guidelines on Discrimination Because of Religion; 29 CFR 1606, Guidelines on Discrimination Because of National Origin. Enforcement guidances and policy documents include: Unlawful Disparate Treatment of Workers with Caregiving Responsibilities; Vicarious Employer Liability for Unlawful Harassment by Supervisors; Application of EEO Laws to Contingent Workers Placed by Temporary Employment Agencies and Other Staffing Firms; Sex Discrimination in the Compensation of Sports Coaches in Educational Institutions. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination; Section 12: Religious Discrimination; Section 13: National Origin Discrimination; Section 15: Race and Color Discrimination. Technical assistance documents, fact sheets, and other relevant material include: Best Practices for Eradicating Religious Discrimination in the Workplace; Commission Decision on Coverage of Contraception; Employer Best Practices for Workers with Caregiving Responsibilities; Employment Tests and Selection Procedures; The Family and Medical Leave Act, the Americans with Disabilities Act, and Title VII of the Civil Rights Act of 1964; Questions and Answers About Employer Responsibilities Concerning the Employment of Muslims, Arabs, South Asians, and Sikhs; Questions and Answers About the Workplace Rights of Muslims, Arabs, South Asians, and Sikhs Under the Equal Employment Opportunity Laws; Fact Sheet:

Employment Discrimination Based on Religion, Ethnicity, or Country of Origin; Fact Sheet: National Origin Discrimination; Fact Sheet: Race/Color Discrimination; Fact Sheet: Religious Discrimination; Fact Sheet: Sexual Harassment Discrimination.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.008 Employment Discrimination_Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.005 EMPLOYMENT DISCRIMINATION_PRIVATE BAR PROGRAM

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Civil Rights Act of 1964.

OBJECTIVES:

(a) To assist individuals who have filed a charge with the Commission, or on whose behalf a charge has been filed, in contacting members of the private bar; (b) to provide technical assistance to aggrieved individuals and their attorneys in Title VII, Equal Pay Act, Age Discrimination in Employment Act and Americans with Disabilities Act cases; to establish the attorney referral mechanism; and (d) to coordinate the strategies of the private bar with those of the Commission.

TYPES OF ASSISTANCE:

PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Upon request, provide assistance to individuals in locating lawyers who are prepared to litigate Title VII, Americans with Disabilities Act, Equal Pay Act and Age Discrimination in Employment Act issues.

Applicant Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Beneficiary Eligibility:

Any individual who has filed a charge with the Commission, or on whose behalf a charge has been filed.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Requests for assistance may be made in writing or orally to nearest EEOC District Office listed in Appendix IV of the Catalog.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - (Salaries and expenses) not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Litigation Enforcement: EEOC field legal units filed 281 merits lawsuits and 32 subpoena enforcement and other actions. 170 of the new filings were individual suits and 111 were class suits. Legal staff resolved 319 merits lawsuits for a total monetary recovery of \$80,628,935. (Merits lawsuits include direct suits and interventions alleging violations of the substantive provisions of the statutes enforced by the Commission and suits to enforce administrative settlements.) Of these resolutions, 249 contained Title VII claims, 40 contained American with Disabilities Act of 1990 (ADA) claims, 38 contained Age Discrimination in Employment Act of 1967 (ADEA) claims, and 5 contained Equal Pact Act of 1964 (EPA) claims. The number of total merits lawsuits is less than the sum of suits based on each individual law as some suits are filed on multiple bases. The Commission also resolved 28 subpoena enforcement and other actions during the fiscal year. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$63,415,742 in Title VII resolutions, \$6,745,543 in ADEA resolutions, \$9,548,761 in ADA resolutions, \$20,000 in EPA resolutions and \$898,889 in resolutions involving more than one statute. Fiscal Year 2010: Data not available for fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EEOC Compliance Manual, Section 81 - Private Bar Referral Program.

Regional or Local Office:

See Regional Agency Offices. EEOC District Offices (see Appendix IV of the Catalog for addresses).

Headquarters Office:

Jerome Scanlan 131 M Street, NE, Washington, District of Columbia 20507

Phone: 202-663-4719

Website Address:

<http://www.eeoc.gov>.

RELATED PROGRAMS:

30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964;
30.008 Employment Discrimination Age Discrimination in Employment;
30.010 Employment Discrimination Equal Pay Act; 30.011 Employment
Discrimination Title I of The Americans with Disabilities Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.008 EMPLOYMENT DISCRIMINATION AGE DISCRIMINATION IN EMPLOYMENT

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Age Discrimination in Employment Act of 1967, (Pub. L. 90-202), as amended,
29 U.S.C. section 621 et seq.

OBJECTIVES:

The Age Discrimination in Employment Act (ADEA) prohibits discrimination based on age (40 or older) with respect to any term, condition, or privilege of employment, including hiring, firing, promotion, layoff, compensation, benefits, job assignments, and training. The ADEA also prohibits retaliation against an individual for opposing employment practices that discriminate based on age or for filing an age discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADEA.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals 40 years of age or older are protected from age discrimination by employers with 20 or more employees, federal, state, and local governments, employment agencies and labor organizations. It is unlawful for covered employers to post advertisements indicating any preference, limitation, or specification based on age. Limited exceptions apply: in situations in which age is a bona fide occupational qualification reasonably necessary to the normal operation of the business; in situations in which the differentiation is based on reasonable factors other than age; or if an otherwise prohibited practice is in observance of the terms of a bona fide seniority system or employee benefit plan (except that no such seniority system or employee benefit plan shall excuse the failure to hire, or require or permit the involuntary retirement of any covered individual). Exemptions and exceptions also apply for highly compensated employees, public officials, firefighters and law enforcement officers. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. If a charge is filed, mediation may be offered to the parties involved. If mediation is not used or is not successful, an investigation may ensue.

Applicant Eligibility:

Any aggrieved individuals age 40 and over, or any individual, organization, or agency filing on behalf of an aggrieved individual who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the ADEA, as amended.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent(s) who are age 40 or older and who have been subjected to unlawful employment practices based on age by the named respondent(s), and/or who have been subjected to retaliation for opposing age discrimination or participating in an ADEA investigation, proceeding, or litigation.

Credentials/Documentation:

An allegation of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A charge may be filed by any aggrieved individual or by any organization, i.e. labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The ADEA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state anti-discrimination law, or within 30 days after the receipt of notice of termination of state proceedings, whichever is earlier. A civil action may be commenced at any time 60 days after a charge has been filed with the EEOC. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under the ADEA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Administrative Enforcement: EEOC received 22,778 charges alleging age discrimination in employment and resolved 20,529 charges. EEOC obtained \$72,100,00 in monetary relief for victims of age discrimination through the administrative process. Litigation Enforcement: EEOC field legal units filed 281 merits lawsuits and 32 subpoena enforcement and other actions. 170 of the new filings were individual suits and 111 were class suits. Legal staff resolved 319 merits lawsuits for a total monetary recovery of \$80,628,935. (Merits lawsuits include direct suits and interventions alleging violations of the substantive provisions of the statutes enforced by the Commission and suits to enforce administrative settlements.) Of these resolutions, 249 contained Title VII claims, 40 contained American with Disabilities Act of 1990 (ADA) claims, 38 contained Age Discrimination in Employment Act of 1967 (ADEA) claims, and 5 contained Equal Pay Act of 1964 (EPA) claims. The number of total merits lawsuits is less than the sum of suits based on each individual law as some suits are filed on multiple bases. The Commission also resolved 28 subpoena enforcement and other actions during the fiscal year. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$63,415,742 in Title VII resolutions, \$6,745,543 in ADEA resolutions, \$9,548,761 in ADA resolutions, \$20,000 in EPA resolutions and \$898,889 in resolutions involving more than one statute. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available for downloading on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOC's Office of Communications and Legislative Affairs at (202) 663-4191 or (202) 663-4494 (TTY). Regulations: 29 C.F.R 1625, Age Discrimination in Employment Act; 29 C.F.R 1626, Procedures Age Discrimination in Employment Act; 29 C.F.R 1627, Records to be made or kept relating to age; notices to be posted; administrative exemptions. Policy documents and fact sheets include: Compliance Manual Section 2: Threshold Issues; Compliance Manual Section 3: Employee Benefits; Compliance Manual Section 8: Retaliation; Compliance Manual Section 10: Compensation Discrimination; Fact Sheet: Age Discrimination.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.010 Employment Discrimination Equal Pay Act; 30.011 Employment Discrimination Title I of The Americans with Disabilities Act; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.010 EMPLOYMENT DISCRIMINATION EQUAL PAY ACT**FEDERAL AGENCY:**

Equal Employment Opportunity Commission

AUTHORIZATION:

Equal Pay Act of 1963, (Pub. L. 88-38), as amended, 29 U.S.C. section 206(d).

OBJECTIVES:

The Equal Pay Act (EPA) prohibits sex discrimination in the payment of wages to men and women performing jobs that require substantially equal skill, effort and responsibility, under similar working conditions within the same establishment. The EPA also prohibits retaliation against applicants or employees who oppose compensation practices that discriminate based on sex or who file a discrimination charge, testify, or participate in any way in an investigation, proceeding, or litigation under the EPA.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Employers engaged in commerce or in the production of goods for commerce (i.e. subject to The Fair Labor Standards Act of 1938, as amended, 29 U.S.C. 201, et seq.), including the Federal Government, and State and local governments, are prohibited from paying unequal wages to men and women performing jobs that require substantially equal skill, effort and responsibility, under similar working conditions within the same establishment. Labor organizations representing employees of a covered employer are prohibited from causing or attempting to cause employers to violate the Act. Exceptions are permitted only where payment is made pursuant to a seniority system, a merit system, a system that measures earnings by quantity or quality of production, or a differential based on any other factor other than sex. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claim of wage discrimination or retaliation. The Commission may investigate allegations of violations of the Act and may initiate and conduct litigation.

Applicant Eligibility:

Any aggrieved individual, or any individual, organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that a covered employer has committed an unlawful employment practice within the meaning of the EPA. An employee who believes he or she has been retaliated against for opposing compensation practices that discriminate based on sex or who files a discrimination charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the EPA.

Beneficiary Eligibility:

Applicants, current employees, or former employees of the named respondent (s) who have been subjected to unlawful compensation practices based on gender by the named respondent (s), and/or who have been subjected to retaliation for opposing gender-based compensation discrimination or participating in an EPA investigation, proceeding, or litigation.

Credentials/Documentation:

The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit (see Deadlines). An allegation of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet state or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit (see range of

approval/disapproval times). A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an aggrieved entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest EEOC field office.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The EPA does not require aggrieved parties to file a charge of discrimination with EEOC before filing a private lawsuit. However, since many EPA claims also raise Title VII sex discrimination issues, it may be advisable to file a charge of discrimination under both laws within the appropriate time limit. (Title VII requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier.) A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under Title VII, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Administrative Enforcement: EEOC received 942 charges alleging violations of the EPA. EEOC resolved 991 EPA charges and obtained \$4,800,000 in monetary relief for victims of EPA violations through the administrative process. Litigation Enforcement: EEOC field legal units filed 281 merits lawsuits and 32 subpoena enforcement and other actions. 170 of the new filings were individual suits and 111 were class suits. Legal staff resolved 319 merits lawsuits for a total monetary recovery of \$80,628,935. (Merits lawsuits include direct suits and interventions alleging violations of the substantive provisions of the statutes enforced by the Commission and suits

to enforce administrative settlements.) Of these resolutions, 249 contained Title VII claims, 40 contained American with Disabilities Act of 1990 (ADA) claims, 38 contained Age Discrimination in Employment Act of 1967 (ADEA) claims, and 5 contained Equal Pact Act of 1964 (EPA) claims. The number of total merits lawsuits is less than the sum of suits based on each individual law as some suits are filed on multiple bases. The Commission also resolved 28 subpoena enforcement and other actions during the fiscal year. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$63, 415,742 in Title VII resolutions, \$6,745,543 in ADEA resolutions, \$9,548,761 in ADA resolutions, \$20,000 in EPA resolutions and \$898,889 in resolutions involving more than one statute. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOCs Publication Center at 1-800-669-3362(voice) or 1800-800-3302 (TTY). Regulations include: 29 CFR 1620, Equal Pay Act Interpretive Regulations; 29 CFR 1621, Equal Pay Act Procedural Regulations; 29 CFR 1604, Guidelines on Discrimination Because of Sex. Compliance Manual: Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation; Section 10: Compensation Discrimination. EEOC-ESA Memorandum of Understanding Providing for Cross-Training, Referrals and Information Sharing on Compensation Discrimination Cases.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc.gov/field/index.cfm>. EEOC Offices are listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NW, Washington, District of Columbia 20507 Phone: 202-663-4191/663-4494 (TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964; 30.008 Employment Discrimination_Age Discrimination in Employment; 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

30.011 EMPLOYMENT DISCRIMINATION_TITLE I OF THE AMERICANS WITH DISABILITIES ACT

FEDERAL AGENCY:

Equal Employment Opportunity Commission

AUTHORIZATION:

Americans with Disabilities Act, Title I & V, as amended Public Law 101-336, 42 U.S.C. 12101-12117; 12201-12213d; Rehabilitation Act of 1973, as amended, 29 U.S.C. 791-794a.

OBJECTIVES:

The Americans with Disabilities Act (ADA) prohibits employment discrimination against applicants or employees based on disability. The ADA also prohibits retaliation against an individual for opposing employment practices that discriminate based on disability or for filing a discrimination charge, testifying, or participating in any way in an investigation, proceeding, or litigation under the ADA.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

Individuals are protected from discrimination on the basis of disability by employers with 15 or more employees, Federal, state, and local governments, employment agencies, and labor organizations. The EEOC Assessment System, available at <https://egov.eeoc.gov/eas/>, enables individuals to determine whether EEOC is the appropriate agency to contact regarding their specific claims of discrimination, harassment or retaliation. Charges of discriminatory employment practices can be filed by or on behalf of an individual or group of individuals claiming to be aggrieved. Mediation may be offered to the parties involved. If mediation is not used or is not successful, an investigations may ensue. If after its investigation, the Commission determines that there is reasonable cause to believe that discrimination has occurred, it will attempt to resolve the charge informally through conciliation. If conciliation proves to be unsuccessful and the employer is not a State or local government, the Commission may bring a civil action against respondent(s) named in the charge or issue a right to sue letter to the party who filed the charge, giving him or her the right to file a civil action in federal court. If conciliation fails on a charge against a State or local government, EEOC refers the case to the Department of Justice for consideration of litigation or issuance of a right to sue letter. If the Commission does not find reasonable cause, the charging party is issued a right to sue letter.

Applicant Eligibility:

Any aggrieved individual, or any individual, or any organization, or agency filing on behalf of an aggrieved individual, who has reason to believe that an unlawful employment practice within the meaning of Title I of the ADA has been committed by an employer with 15 or more employees, an employment agency, labor organization, or joint labor-management committee controlling apprenticeship or other training or retraining, including on-the-job training programs. Any aggrieved individual who believes he or she has been retaliated against for opposing employment practices that discriminate based disability or who files an ADA charge, testifies, or participates in any way in an investigation, proceeding, or litigation under the ADA.

Beneficiary Eligibility:

Applicants, for employment, current employees, and former employees of the named respondents in a charge who have been subjected to unlawful employment practices based on disability by the named respondent (s), and/or who have been subjected to retaliation for opposing disability discrimination or participation in an ADA investigation, proceeding, or litigation.

Credentials/Documentation:

A claim of unlawful employment practice(s) may be made in person, by mail or by fax. An allegation must be in writing, signed, and/or notarized when necessary to meet State or local requirements. Charge forms (EEOC Form 5, Charge of Discrimination) are available to all persons from all field offices of the Commission. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A charge may be filed by any aggrieved individual, any individual on behalf of an aggrieved individual, or by any organization, i.e., labor union, association, legal representative, etc., either as an entity or on behalf of an aggrieved individual. Charges may be filed in person, by mail or by fax at the nearest field office of the EEOC.

Award Procedure:

A charge is sufficient when the Commission receives from the person making the charge a written signed statement that includes an allegation of discrimination, the name(s) of the parties involved, and a request that the EEOC act to protect the applicant or employees rights or otherwise settle a dispute between the applicant or employee and the employer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The ADA requires that charges be filed within 180 days of the date of the alleged violation, within 300 days if the charge is also covered by a state or local anti-discrimination law, or within 30 days after the receipt of notice of termination of state or local proceedings, whichever is earlier. If the evidence does not establish that discrimination occurred, charging parties will be given written notice of their right to sue. Persons can also ask for a notice of right to sue before EEOC finishes its investigation. A charging party may file a lawsuit within 90 days after receiving a notice of a right to sue from EEOC, as stated above. Under the ADA, a charging party also can request a notice of right to sue from EEOC 180 days after the charge was first filed with the Commission, and may then bring suit within 90 days after receiving this notice. When a right to sue letter is issued at the request of the charging party, EEOC usually stops its investigation.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

45-0100-0-1-751.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - (Salaries and expenses) Not separately identifiable.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Administrative Enforcement: EEOC received 21,451 charges of employment discrimination based on disability. EEOC resolved 18,776 ADA charges and obtained \$67.8 million in monetary relief through the administrative process for victims of disability discrimination. Litigation Enforcements: EEOC field legal units filed 281 merits lawsuits and 32 subpoena enforcement and other actions. 170 of the new filings were individual suits and 111 were class suits. Legal staff resolved 319 merits lawsuits for a total monetary recovery of \$80,628,935. (Merits lawsuits include direct suits and interventions alleging violations of the substantive provisions of the statutes enforced by the Commission and suits to enforce administrative settlements.) Of these resolutions, 249 contained Title VII claims, 40 contained American with Disabilities Act of 1990 (ADA) claims, 38 contained Age Discrimination in Employment Act of 1967 (ADEA) claims, and 5 contained Equal Pact Act of 1964 (EPA) claims. The number of total merits lawsuits is less than the sum of suits based on each individual law as some suits are filed on multiple bases. The Commission also resolved 28 subpoena enforcement and other actions during the fiscal year. In terms of dollars recovered in direct, indirect and intervention lawsuits by statute, EEOC recovered \$63, 415,742 in Title VII resolutions, \$6,745,543 in ADEA resolutions, \$9,548,761 in ADA resolutions, \$20,000 in EPA resolutions and \$898,889 in resolutions involving more than one statute. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Publications are available on the EEOC web site (www.eeoc.gov). To request documents in alternative formats (Braille, large print, etc), contact EEOCs Publication Center at 1-800-669-3362 or 1-800-800-3302 (TTY). Regulations include: 29 CFR 1601, Procedural Regulations and Guidelines; 29 CFR 1602, Recordkeeping and Reporting Requirements under Title VII and the ADA; 29 CFR 1630, Substantive Regulations; 29 CFR 1640, Coordination Regulations with the Department of Justice; 29 CFR 1641, Coordination Regulations with the Department of Labor. Enforcement guidances and policy documents include: Unlawful Disparate Treatment of Workers with Caregiving Responsibilities; Revised Enforcement Guidance: Reasonable Accommodation and Undue Hardship Under the Americans With Disabilities Act; Enforcement Guidance: Application Of The ADA To Contingent Workers Placed By Temporary Agencies And Other Staffing Firms; EEOC Policy Guidance on Executive Order 13164: Establishing Procedures to Facilitate the Provision of Reasonable Accommodation; Enforcement Guidance on Disability-Related Inquiries and Medical Examinations of Employees Under the Americans with Disabilities Act (ADA); EEOC Policy Guidance on Executive Order 13145: To Prohibit Discrimination in Federal Employment Based on Genetic Information; EEOC Enforcement Guidance on the Americans with Disabilities Act and Psychiatric Disabilities; EEOC Enforcement Guidance: Workers Compensation and the ADA; ADA Enforcement Guidance: Pre-employment Disability-Related Questions and Medical Examinations; Interim Enforcement Guidance on the Application of the Americans with Disabilities Act of 1990 to Disability-based Distinctions in Employer Provided Health Insurance; Enforcement Guidance on Application of Title VII and the Americans with Disabilities Act to Conduct Overseas and to Foreign Employers Discriminating in the United States. Compliance Manual: Section 902: Definition of the Term Disability; Section 2: Threshold Issues; Section 3: Employee Benefits; Section 8: Retaliation. Technical assistance documents, reports and fact sheets include: Questions and Answers: Promoting Employment of Individuals with Disabilities in the Federal Workforce; Applying Performance and Conduct Standards To Employees With Disabilities; Veterans with Service-Connected Disabilities in the Workplace and the ADA; Veterans with Service-Connected Disabilities in the Workplace and the ADA-A Guide for Employers; Reasonable Accommodations for Attorneys with Disabilities; The Family and Medical Leave Act, the ADA, and Title VII of the Civil Rights Act of 1964; The ADA: A Primer for Small Business; The ADA: Your Responsibilities as an Employer; The ADA: Your Employment Rights as an Individual With a Disability; Job Applicants and the ADA; Small Employers and Reasonable Accommodation; Work At Home/Telework as a Reasonable Accommodation; Obtaining and Using Employee Medical Information as Part of Emergency Evacuation Procedures; How to Comply with the Americans with Disabilities Act: A Guide for Restaurants and Other Food Service Employers; Final Report on Best Practices For the Employment of People with Disabilities In State Government; Questions and Answers about Health Care Workers and the Americans with Disabilities Act; Questions and Answers about Deafness and Hearing Impairments in the Workplace and the Americans with Disabilities Act; Questions and Answers about Blindness and Vision Impairments in the Workplace and the ADA; Questions and Answers about the Association Provision of the Americans with Disabilities Act; Questions and Answers About Diabetes in the Workplace and the Americans with Disabilities Act; Questions and Answers About Epilepsy in the Workplace and the Americans with Disabilities Act; Questions and Answers About Persons with Intellectual Disabilities in the Workplace and the Americans with Disabilities Act; Questions and Answers About Cancer in the Workplace and the Americans with Disabilities Act.

Regional or Local Office:

See Regional Agency Offices. See map of field offices available at <http://www.eeoc/field/index.cfm>. EEOC Offices are also listed in Appendix IV of the Catalog.

Headquarters Office:

Equal Employment Opportunity Commission 131 M Street, NE, Washington, District of Columbia 20507 Phone: 202-663-4191/202-663-4494(TTY)

Website Address:

<http://www.eeoc.gov>

RELATED PROGRAMS:

16.101 Equal Employment Opportunity; 16.200 Community Relations Service; 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors; 17.303 Wage and Hour Standards; 17.700 Women's Bureau; 29.001 Clearinghouse Services, Civil Rights Discrimination Complaints; 30.001 Employment Discrimination Title VII of the Civil Rights Act of 1964; 30.008 Employment Discrimination Age Discrimination in Employment; 30.010 Employment Discrimination Equal Pay Act; 93.001 Civil Rights and Privacy Rule Compliance Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

FEDERAL MARITIME COMMISSION

33.001 SHIPPING DISPUTE RESOLUTION AND INVESTIGATION OF COMPLAINTS

FEDERAL AGENCY:

Federal Maritime Commission

AUTHORIZATION:

Shipping Act of 1984, as amended, 46 U.S.C 40101 ; Administrative Dispute Resolution Act of 1996, 5 U.S.C 571.

OBJECTIVES:

To provide assistance to those engaged in international ocean shipping, including those making household goods shipments. Assistance may include helping locate missing cargo and helping resolve issues with respect to costs or service. To provide a forum for settling disputes between or among carriers, shippers, and ocean transportation intermediaries through the use of various means of alternative dispute resolution. To provide information to passengers seeking reimbursement of payments when dealing with cruise line issues.

TYPES OF ASSISTANCE:

Investigation of Complaints

USES AND USE RESTRICTIONS:

The Commission considers informal complaints and assists in the development of voluntary settlements. The Commission also may award reparations for financial injury resulting from violations of the Shipping Act of 1984.

Applicant Eligibility:

Any person may seek voluntary dispute resolution, and also may pursue reparations to compensate for financial losses upon proving a violation of the Shipping Act of 1984.

Beneficiary Eligibility:

Anyone/General public.

Credentials/Documentation:

A complaint alleging specific violations of the Shipping Act of 1984 or Commission regulations should be supported by facts sustaining such allegations. For household goods shipments and other cargo issues, submit bills of lading and other contractual documents. For canceled cruises, passengers need to document payments and provide identifying passenger and/or voyage information. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Informal complaints or requests for the mediation or other alternative dispute resolution (ADR) procedures may be submitted to the Commission in an e-mail to complaints@fmc.gov. To the extent available, all complaints other than cruise complaints should include the following information: Bill of lading/dock receipts/arrival notices/invoices; Description of cargo; Origin; Port of origin (may be the same as origin); Destination; Port of destination (may be the same

as destination); Date of shipment or sailing; Name of individual(s) filing complaint (if you are representing a complainant, what is your name and your relationship to the complainant); Company name of complainant(s); Contact information for complainant(s) (and/or representative(s)) include telephone, fax, address including zip code, and email; Name of shipping company or party against whom the complaint is being filed; Contact information for that company or party, (including name(s) of responsible individuals, telephone and fax numbers, address including zip code and email); Description of issue and desired solution. Include as much information as you believe we will need when we contact the other party(s) to the complaint. Explain what you would like to see accomplished - getting cargo released, negotiating a monetary solution, etc. Cruise complaints should include the following information: Ticket/passenger invoice; Date of sailing; Length and nature of cruise; Port of origin; Port of destination; Did you purchase transportation from your home to the port of origin from the cruise line? Did you purchase transportation from the port of destination to your home from the cruise line? Questions regarding these matters may be directed to the Office of Consumer Affairs and Dispute Resolution Services at (202) 523-5807; 1-866-448-9586 (toll free); fax: (202) 275-0059; email: Complaints@fmc.gov. A formal complaint, as well as a complaint requesting informal (small claims) adjudication may be submitted pursuant to Section 11 of the Shipping Act of 1984 to the Secretary, Federal Maritime Commission. (See the Commission's Rules of Practice and Procedure at 46 CFR Part 502). Questions regarding those procedures may be directed to the Office of the Secretary, at (202) 523-5725; fax: (202) 523-0014; or email: Secretary@fmc.gov. The Federal Maritime Commission is located at: 800 North Capitol Street, N.W., Washington, DC 20573. Visit our website at www.fmc.gov.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

65-0100-0-1-403.

Obligations:

(Salaries) FY 09 \$1,685,000; FY 10 est \$1,614,000; FY 11 est \$1,775,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the Commission responded to more than 1,700 informal inquiries and complaints. Of those, 727 informal complaints required informal resolution services under the ombuds function. These included 164 complaints about cruise issues, 333 household goods matters, and

230 other matters affecting cargo shipments. In addition, a small number of small claims cases and formal complaints were received for adjudication. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

46 CFR 502.401 et. seq.; brochure available from Headquarters Office.

Regional or Local Office:

See Regional Agency Offices. Area Representatives: South Florida: Andrew Margolis and Eric Mintz, Federal Maritime Commission, P. O. Box 813609, Hollywood, FL 33081-3609. Telephone: (954) 963-5362. Fax: (954) 963-5630. E-mail: AMargolis@fmc.gov, EMintz@fmc.gov. Seattle: Michael A. Moneck, The Fabulich Center, Suite 508, 3600 Port of Tacoma Road, Tacoma, WA 98424-1044. Telephone: (253) 922-7622. Fax: (253) 922-7859. E-mail: MMoneck@fmc.gov. New Orleans: Bruce N. Johnson, Sr., P.O. Box 700, Saint Rose, LA 70087. Telephone: (504) 589-6662. Fax: (504) 589-6663. E-mail: BJohnson@fmc.gov. Los Angeles: Oliver E. Clark, P.O. Box 230, San Pedro, CA 90733-0230. Telephone: (310) 514-4905. Fax: (310) 514-3931. E-mail: OClark@fmc.gov. New York: Emanuel J. Mingione and Joseph A. Castellano, Bldg. No. 75, Room 205-B, JFK Intl Airport, Jamaica, NY 11430-1827. Telephone: (718) 553-2228, Fax: (718) 553-2229. E-mail: EMingione@fmc.gov; JCastellano@fmc.gov. Houston: Debra A. Zezima, 650 N. Sam Houston Parkway, #230, Houston, TX 77060. Telephone: (281) 591-6088. Fax: (281) 591-6099. E-mail: DZezima@fmc.gov.

Headquarters Office:

Director, Consumer Affairs & Dispute Resolution Services 800 N. Capitol St., NW, Washington, District of Columbia 20573 Email: complaints@fmc.gov Phone: (202) 523-5807 Fax: (202) 275-0059.

Website Address:

<http://www.fmc.gov>

RELATED PROGRAMS:

20.900 Transportation_Consumer Affairs; 36.001 Fair Competition Counseling and Investigation of Complaints

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.002 LABOR MANAGEMENT COOPERATION

FEDERAL AGENCY:

Federal Mediation and Conciliation Service

AUTHORIZATION:

Labor-Management Cooperation Act of 1978, Title VII, Section 6, Public Law 95-524, 29 U.S.C 175a.

OBJECTIVES:

To support the establishment, expansion, and activities conducted by committees at the company/plant level, area, industry-wide, and for public sector employees joint labor management committees in order to improve labor-management relations, economic development, and organizational effectiveness and productivity.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are used only to create new or expand existing joint labor-management committees where recognized collective bargaining agreements exist at the company/plant, area, public sector, and industry-wide levels (as applicable) and where both labor and management agree to the establishment or expansion. FMCS subject to funds availability, set aside a sum not to exceed thirty percent of its non-reserved appropriation to be awarded on a noncompetitive basis. These funds will be used only to support applications that have been solicited by the Director of the Service and are not subject to the dollar range.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

Evidence of the existence of a current collective bargaining agreement and of joint commitment to the project by both labor and management. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. We will accept Applications beginning March 1, 2010 and continue to do so until June 30, 2010. You may download an application on our website at www.fmcs.gov or apply thru Grants.gov (FMCS-2010).

Award Procedure:

All FY 2010 grant applicants will be notified of results and all grant awards will be made before September 30, 2010.

Deadlines:

Mar 01, 2010 to Jun 30, 2010

Range of Approval/Disapproval Time:

For Fiscal Year 2010 the range is before October 1, 2010.

Appeals:

Administrative review by the Director of the Service; hearings held by the Service.

Renewals:

FMCS reserves the right under special condition to award supplemental (continuation) grants subject to funds availability for FY 2010. Continuation grants may be projected for FY2011 subject to funds availability.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 10.%. In Fiscal Year 2010, dollars only, no in-kind or project income contributions allowed.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In Fiscal Year 2010, generally between 12 and 18 months. Grant funds will be advanced once monthly for the following month. See the following for information on how assistance is awarded/released: Reimbursements upon request. Funds are issued via Electronic Funds Transfer (EFT).

Reports:

During the life of the grant: quarterly narrative (milestones), LMC meeting minutes, and financial reports. No cash reports are required. After the grant: within 90 days of grant expiration an evaluation summary of accomplishments and or failures. No expenditure reports are required. Formal evaluations are negotiable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with applicable Office of Management and Budget Circulars

Records:

In accordance with applicable Office of Management and Budget Circulars.

Account Identification:

93-3010-0-1-505.

Obligations:

(Project Grants (Discretionary)) FY 09 \$665,192; FY 10 est \$349,000; FY 11

est \$750,000

Range and Average of Financial Assistance:

\$65,000 for company/plant or single department public sector applicants; up to \$125,000 for area industry and multi-department public sector committee applications.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, FMCS mediators were actively involved in nearly 4,800 collective bargaining contract negotiations in every major industry throughout the United States.

During FY 2009, the Office of Arbitration Services processed nearly 15,900 requests for arbitration panels nationwide. Arbitrators on the FMCS roster heard and decided more than 2,100 labor arbitration cases.

In FY 2009, FMCS mediated 1,669 grievance mediation cases and helped the parties reach agreement in 1,260 of these. Fiscal Year 2010: Data not available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

FMCS Current Fiscal Year Program Guidelines/Application Solicitation Announcement and Financial and Administrative Grants Manual which incorporates all applicable Federal grant regulations.

Regional or Local Office:

None.

Headquarters Office:

Linda Gray-Broughton, 2100 K Street, NW, Washington, District of Columbia 20427 Email: lgbroughton@fmcs.gov Phone: (202) 606-8181 Fax: (202) 606-3434.

Website Address:

<http://www.fmcs.gov>

RELATED PROGRAMS:

34.001 Labor Mediation and Conciliation

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Parkview Community Hospital Medical Center and United Nurses Association of California Union of Healthcare Professionals
Los Angeles, CA
Amount Awarded: \$62,829

Dubuque Area Labor-Management Council and Teamsters Local 120
Steelworkers Local 1861, IAMAW Local 1238, UAW Local 94
Dubuque, IA
Amount Awarded: \$98,445

East Bay Innovation and Service Employees International Union (SEIU) Local 1221
San Leandro, CA
Amount Awarded: \$63,452

Oakton Community College and Cook County College Teachers Union Local 1600, American Federation of Teachers
Des Plaines, IL
Amount Awarded: \$57,559

Idaho Education Association
Idaho School Board Association
Idaho Association of School Administrators
Boise, ID
Amount Awarded: \$31,316

National Union Insulation Contractors Alliance (NUICA)
and International Heat and Frost Insulators and Asbestos Workers
(AFL-CIO, CLC)
Lanham, MD
Amount Awarded: \$95,876

ArcelorMittal USA Inc.
and United States Steel, Paper & Forestry, Rubber, Manufacturing,
Energy, Allied Industrial & Service Workers International (USW)
Chicago, IL
Amount Awarded: \$45,545

TRICON
PERFECT
Peoria, IL
Amount Awarded \$97,965

Cooperative Home Care Associates and Service Employees Union (1199 SEIU)
Bronx, New York
Amount Awarded: \$65,000

International Specialty Products (ISP) Calvert City, Kentucky and International
Association of Machinists and Aerospace Workers Local 1720
Calvert City, KY
Amount Awarded: \$47,205. Fiscal Year 2010: Data not available. Fiscal Year
2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicant need, measurable goals, practicality of approach, commitment of
appropriate parties, cost benefit, effectiveness and overall feasibility.

GENERAL SERVICES ADMINISTRATION

39.002 DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

Executive Order Public Buildings, Property and Works, Public Law 102-517.

OBJECTIVES:

To assist State and local governments, and certain eligible (501(c)(3)
tax-exempt status) non-profit organizations with acquiring surplus Federal real
property for various public uses that benefit communities.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Based on a determination by GSA of a property's highest and best use, surplus
Federal real and related personal property may be conveyed to State and local
governments and, in some cases, certain eligible non-profit organizations for
various public purposes or uses as allowed by law. These conveyances are
called Public Benefit Conveyances (PBC). Under the law, PBCs are completed
without monetary consideration or at discounts of up to 100 percent of the fair
market value; and, depending on the PBC, the use of the property is restricted
for a period of up to 30 years or in perpetuity. Property may be conveyed at a
discount of up to 100 percent for Homeless assistance use; if the property is
conveyed by deed, it must be used for homeless assistance purposes for 30
years; if it is conveyed by lease or permit the property must be used for a period
according to the terms of the lease or permit, which is generally not less than
one year. Surplus Federal real property is also conveyed at discounts of up to
100 percent for public park or recreation use (restriction: perpetuity); public
health or educational purposes (restriction: 30 years for both); law enforcement
(restriction: perpetuity); emergency management response use, including fire
and rescue services (restriction: perpetuity); self-help housing (restriction: 30
years); port facility use (restriction: perpetuity), and public highway use
(restriction: none). Surplus Federal real property may also be conveyed
without monetary consideration for the following public uses: Public airport
use (restriction: perpetuity); wildlife conservation (restriction: perpetuity);
correctional facility use (restriction: perpetuity), and historic monument use
(restriction: perpetuity).

Applicant Eligibility:

State and local government agencies are eligible to apply for surplus real
property for park, recreation, correctional facility, historic monument, public
airport, health, educational, homeless, replacement housing, and general public
purposes. Eligibility for property for wildlife conservation use, other than for

migratory birds, is limited to the States. Tax-supported and nonprofit medical
and educational institutions which have been held exempt from taxation under
501(c)(3) of the Internal Revenue Code are also eligible to apply for property
for health, educational and homeless use.

Beneficiary Eligibility:

General public may apply.

Credentials/Documentation:

The applicant must submit an application describing the proposed program of
use for the property and evidence of its ability to finance the program. This
program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is
required for this program. This program is excluded from coverage under E.O.
12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This
program is excluded from coverage under OMB Circular No. A-110.
Applications for health and homeless assistance use are submitted to the
Department of Health and Human Services (HHS) which requests assignment
of the property from GSA; applications for educational use are submitted to the
Department of Education which requests assignment of the property from GSA;
applications for both park and recreation and historic monument uses are
submitted to the National Park Service, Department of the Interior which
requests assignment of the property from GSA; applications for correctional
and law enforcement uses are submitted to the Office of Justice Programs,
Bureau of Justice Assistance, Department of Justice; applications for
emergency management response uses are submitted to the Federal Emergency
Management Agency, Department of Homeland Security; applications for
self-help housing is submitted to the Department of Housing and Urban
Development; applications for port facilities are submitted to the Maritime
Administration, Department of Transportation; applications for highway use are
submitted to the Federal Highway Administration, Department of
Transportation; for public airport use and wildlife conservation use, GSA
supplies the applications, which are then submitted to the Federal Aviation
Administration, Department of Transportation and the Fish and Wildlife
Service, Department of the Interior, respectively.

Award Procedure:

Typically, the sponsoring agency responsible for reviewing and approving the
application makes the award; however, for wildlife conservation and public
airport uses, GSA makes the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application
deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 1 to 3 months.

Appeals:

From 1 to 15 days.

Renewals:

From 60 to 90 days.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June
27, 2003), "Audits of States, Local Governments, and Non-Profit
Organizations," nonfederal entities that expend financial assistance of \$500,000
or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

47-0110-0-1-804.

Obligations:

(Salaries) FY 09 \$5,398,552; FY 10 est \$4,482,400; FY 11 est \$4,539,340

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Public benefit conveyances- 37 properties having an actual value of \$24.2 million;. Fiscal Year 2010: Public benefit conveyances - 61 properties having an estimated value of \$55.3 million;. Fiscal Year 2011: Public benefit conveyance- 61 properties having an estimated value of \$55.3 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Director, Office of Property Disposal, General Services Administration. Applicant's initial contact should be at the regional level. See the Office of Property Disposal for each Region listed in Appendix IV of the Catalog.

Headquarters Office:

David Stinson, 1800 F Street NW, Washington, District of Columbia 20405
Email: david.stinson@gsa.gov Phone: (202) 208-0324.

Website Address:

<http://propertydisposal.gsa.gov/property>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.003 DONATION OF FEDERAL SURPLUS PERSONAL PROPERTY

(Donation Program)

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

Older Americans Act of 1965, 40 U.S.C. 549; Section 213, as amended, Section 502, Public Law 100-77; E.O. 12999, April 17, 1996, Public Law 105-50.

OBJECTIVES:

To donate Federal personal property no longer required for Federal use to public agencies and qualifying nonprofit entities in order to reduce the cost of State and local government.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

Surplus items are used by State and local public agencies for carrying out or promoting one or more public purposes, such as conservation, parks and recreation, education, public health, public safety, economic development and programs for older individuals; by certain nonprofit, tax-exempt activities for public health or educational purposes, including research for such purposes, and for use in programs for older individuals; or by public airports for airport development, operation or maintenance. Federal restrictions require that, at a minimum, all surplus property be placed into use by the donee within 1 year of acquisition and be used at least for 1 year thereafter. Other restrictions, terms and conditions are imposed by GSA and the agencies determining eligibility (shown under Applicant Eligibility, section below)for items with original

acquisition value above \$5,000 and for certain commodities, such as vehicles and aircraft. There are restrictions on use during the period of conditional title. Recipients only acquire full, unrestricted title after an established period of time, 12 months, 18 months, 60 months, or in perpetuity, depending on the individual property.

Applicant Eligibility:

(a) State participation is contingent upon the acceptance by the General Services Administration (GSA) of a State plan of operation as being in conformance with Public Law 107-217. This State plan must establish a State agency which is responsible for the distribution of Federal surplus personal property to eligible recipients within the State on a fair and equitable basis. Eligible donee categories for the distribution of property through the State Agencies for Surplus Property are defined as: (1) Public agencies which include any (i) State or department, agency, or instrumentality thereof; (ii) political subdivision of the State, including any unit of local government or economic development district, or any department, agency or instrumentality thereof; (iii) instrumentality created by compact or other agreement between State or political subdivisions; (iv) multijurisdictional sub-State district established by or pursuant to State law; and (v) Indian tribe, band, group, pueblo, or community located on a State reservation. Eligibility for public agencies is determined by the State Agency for Surplus Property. (2) Nonprofit, tax-exempt activities such as schools, colleges, universities, public libraries, schools for the mentally challenged or physically challenged, educational radio or TV stations, child care centers, museums, medical institutions, hospitals, clinics, health centers, drug abuse treatment centers, providers of assistance to the homeless, providers of assistance to the impoverished and programs for older individuals. (b) Service educational activities as determined by the Deputy Under Secretary of Defense (L/SCI). (c) Public airports. Eligibility is determined by the Federal Aviation Administration.

Beneficiary Eligibility:

State and local public agencies; nonprofit educational or public health institutions or organizations, including providers of assistance to the homeless; nonprofit and public programs for the elderly; educational activities of special interest to the armed services; and public airports.

Credentials/Documentation:

Contact appropriate State or Federal agency shown under Applicant Eligibility section of this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact appropriate agency shown under Preapplication Coordination section of this program.

Award Procedure:

Upon determination of eligibility, applicant maintains contact with the appropriate agency shown under Preapplication Coordination section of this program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Applicants participating through State agencies for surplus property may appeal a determination of ineligibility through the State agency for review by GSA.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Prescribed by agencies shown under Applicant Eligibility section of this program.

Records:

Prescribed by agencies shown under Applicant Eligibility section of this program.

Account Identification:

47-0110-0-1-804.

Obligations:

(Salaries) FY 09 \$11,737,000; FY 10 est \$12,814,000; FY 11 est \$12,983,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 343 million. Fiscal Year 2010: It is estimated that property with an acquisition cost of \$324 million will be donated. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 102-37, "Donation of Surplus Personal Property," available for purchase from Superintendent of Documents, Government Printing Office, Washington, DC 20402. Pamphlet, "The Federal Surplus Personal Property Donation Program," available from General Services Administration at no charge.

Regional or Local Office:

See Regional Agency Offices. Initial contacts (except Service Educational Activities) should be at regional or local level. See Appendix IV of the Catalog for addresses of GSA Federal Acquisition Service Regional Property Division serving the geographical area of interest. Individual units of Service Educational Activities national organizations such as Boy Scout or Girl Scout troops, Boys and Girls Clubs, etc., should contact their regional or national headquarters; Service Educational Activity Schools should contact their sponsoring military service.

Headquarters Office:

Sunny Kwa 1800 F St., NW
Room 2110
, Washington, District of Columbia 20405 Email: sunny.kwa@gsa.gov Phone: 202-501-1700 Fax: 202-501-1124

Website Address:

<http://www.gsa.gov/property>

RELATED PROGRAMS:

12.700 Donations/Loans of Obsolete DOD Property; 39.002 Disposal of Federal Surplus Real Property; 39.007 Sale of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

39.007 SALE OF FEDERAL SURPLUS PERSONAL PROPERTY

(Sales Program)

FEDERAL AGENCY:

General Services Administration

AUTHORIZATION:

Public Law 81-152, 63 Stat. 377, 40 U.S.C. 541 et. seq.

OBJECTIVES:

To sell personal property no longer needed by the Government in an expeditious, economical and efficient manner and to obtain the maximum net return from sales. General Services Administration conducts the sale of personal property for most of the civil agencies; the Department of Defense handles the sale of its own surplus property.

TYPES OF ASSISTANCE:

Sale, Exchange, or Donation of Property and Goods

USES AND USE RESTRICTIONS:

This program provides individuals, business concerns, and other organizations with the opportunity to enter competitive bids for purchase of a wide variety of personal property whenever it is determined surplus to the needs of the Federal Government and available for sale. Included are vehicles, aircraft, hardware, electronic and medical equipment, furniture, office supplies and equipment, exotic gifts and collectables, and many other items. This program has been expanded offering assets available to the general public via online capabilities. GSA Auctions (www.gsaauctions.gov) provides the general public with an Internet website to bid electronically on a wide variety of federal personal property. The website allows GSA to offer assets located across the country to any interested buyer, regardless of location. GSA Auctions provides item descriptions and pictures of items available for sale. Successful bidders can also make payments online. Normally, there are no use restrictions on property purchased from the Government. Other laws may restrict those eligible to participate or place conditions on property.

Applicant Eligibility:

Competitive bid sales are open to the general public, however bidders must be at least 18 years of age. Individuals cannot successfully register to bid on items without providing a TIN. A TIN is defined as an individual's Social Security Number (SSN) or business entity's Employer Identification Number (PIN).

Beneficiary Eligibility:

General public.

Credentials/Documentation:

A credit card is required for all registered users in our online community. Credit card validation assists in the prevention of fraudulent bidding activity and ensures that bidders are prepared to accept responsibility for their bidding activity and all submitted bids are valid. This card number is recorded but will not be automatically charged if a bidder is the successful bidder of an item. For security reasons and to prevent fraudulent bidding activities, all registered bidders are subject to billing address verification. No P.O. Box address will be accepted unless accompanied by a street address. By entering the credit card information, you are attesting you are an authorized user of the card. It is required that the bidder creates and secures their username and password. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Interested individuals may participate in GSA sales of Federal personal property by visiting the GSA Auctions website at www.gsaauctions.gov, or may visit www.surplussales.fss.gov for information on sales not conducted on the internet. Also, information is available at the Federal Asset Sales website at www.govsales.gov.

Award Procedure:

Property is awarded to that responsible bidder whose bid, conforming to the invitation, is most advantageous to the Government, price and other factors considered. For internet sales, the successful bidders will be notified by email and must make payment within 2 business days from the date & time the award email notification was sent. Bid results from other sale types may be furnished

by U.S. mail notification, via telephone, fax, or at www.surplussales.fss.gsa.gov. It is the bidder's responsibility to follow-up on the status of his/her bid and to ensure that his/her email address and all registration data are kept accurate and up-to-date.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

It is the policy of GSA Auctions to monitor bids and other activity to ensure the proper use of our site. The Government reserves the right to reject any and all bids. Bids can be rejected for any reason, especially those containing inaccurate, incomplete or unverifiable information, or information which is deemed to have been given in bad faith. Indebted bidders will be ineligible for contract awards. Bidders will not be able to bid on items specified on GSA Auctions until all debts have been cleared, and will not be awarded items when participating in other methods of sale, such as sealed bid or fixed price.

Appeals:

Disputes, claims and/or refunds request are not a frequent issues at GSA. Contracts resulting from the sale of any offer, and particularly GSA Auctions are subject to the Contract Disputes Act of 1978 (41 U.S.C. 601-613). A claim or request for refund must be substantiated in writing to the Contracting Officer for issues regarding mis-described property, missing property and voluntary defaults.

Renewals:

If at any time, a participant's information changes, it is their responsibility to update the appropriate information with GSA and in GSA Auctions.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

47-4530-0-4-804.

Obligations:

(Salaries) FY 09 \$19,964,000; FY 10 est \$24,849,000; FY 11 est \$26,380,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$77 million. Fiscal Year 2010: The estimated gross proceeds is \$100.0 million. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

41 CFR 101-45, Sale, Abandonment, or Destruction of Personal Property. FMR 102-38, Sale of Personal Property. FMR 102-39, Replacement of Personal Property Pursuant to the Exchange/Sale Authority. Pamphlet "For Public Sale! Used Federal Government Personal Property."

Regional or Local Office:

See Regional Agency Offices. Federal Acquisition Service, General Services Administration, (select address from Appendix IV of the Catalog for the GSA Federal Acquisition Services, Office of Personal Property Management Sales Program Division which serves the geographical area of interest); or DLA Disposition Services (for Department of Defense surplus property), National Sales Office, 74 Washington Ave. North, Battle Creek, MI 49017-3092. Internet: <http://www.gsa.gov>.

Headquarters Office:

Sunny Kwa, 1800 F St., NW, Room 2110, Washington, District of Columbia 20405 Email: sunny.kwa@gsa.gov Phone: (202) 501-1700 Fax: (202) 501-1124.

Website Address:

<http://www.gsa.gov> ; www.gsaauctions.gov; and www.govsales.gov.

RELATED PROGRAMS:

12.700 Donations/Loans of Obsolete DOD Property; 39.003 Donation of Federal Surplus Personal Property

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES

45.201 ARTS AND ARTIFACTS INDEMNITY

FEDERAL AGENCY:

Federal Council on the Arts and the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 971 et seq.; Arts and Artifacts Indemnity Act, Public Law 094-158.

OBJECTIVES:

To provide for indemnification against loss or damage for eligible art works, artifacts, and objects (1) when borrowed from abroad for exhibition in the U.S.; (2) when borrowed from the U.S. for exhibition abroad, preferably when there is an exchange exhibition from a foreign country; (3) when borrowed from the U.S. for exhibition in the U.S. as part of exhibitions from abroad which include foreign-owned objects; and 4) when borrowed from U.S. collections for exhibition in the United States.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

Certificates of Indemnity will be issued for the following items insuring them against loss or damage: (1) works of art, including tapestries, paintings, sculpture, folk art, graphics, and craft arts; (2) manuscripts, rare documents, books, and other printed or published materials; (3) other artifacts or objects; and (4) photographs, motion pictures, or audio and video tape. Items must be of educational, cultural, historical or scientific value; and their exhibition, in the case of international exhibitions, must be certified by the Department of State to be in the national interest. No indemnity agreement for a single exhibition shall exceed \$1.2 billion for international exhibitions, or \$750 million for domestic exhibitions. Deductible amounts are on a sliding scale based on the value of certificate, as follows: \$15,000 deductible for valuations up to \$2 million; \$25,000 deductible for valuations from \$2 million to \$10 million; \$50,000 deductible for valuations from \$10 million to \$125 million; \$100,000 deductible for exhibitions with valuations from \$125 to \$200 million; \$200,000 deductible for valuations of \$200 million to \$300 million; \$300,000 deductible for valuations of \$300 million to \$400 million; \$400,000 for valuations of \$400 million to \$500 million; and \$500,000 for valuations above \$500 million. The total amount of indemnities that can be outstanding at any one time is \$10 billion for international exhibitions and \$5 billion for domestic exhibitions.

Applicant Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions may apply.

Beneficiary Eligibility:

Federal, State, and local government entities, and nonprofit agencies and institutions will benefit. Audiences of indemnified exhibitions will also benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Prior to applying, potential applicants are encouraged to consult with the

Indemnity Administrator, National Endowment for the Arts, Washington, DC 20506-0001. All applicants must consult the Arts and Artifacts Indemnity Guidelines available at www.arts.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must obtain guidelines and application instructions from the Arts Endowment's Web site at www.arts.gov, and apply through Grants.gov.

Award Procedure:

The Federal Council on the Arts and the Humanities makes final decisions on all awards based on recommendations from consulting panels of professionals in the field.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The length of time between application and notification is dependent on the scheduling of meetings of the Federal Council on the Arts and the Humanities; approximately 3 months.

Appeals:

Not Applicable.

Renewals:

Requests for extensions and renewals must compete against new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The time during which Indemnity coverage is in effect varies depending on the schedule of the particular exhibition. See the following for information on how assistance is awarded/released: This program provides indemnification against loss or damage, not grant support.

Reports:

Reports of any loss or damage are due at the termination of the indemnity period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Final audits may be made at the discretion of the Federal Council on the Arts and the Humanities for up to 3 years after the termination of the indemnity period.

Records:

Applicants are responsible for complete packing, shipping, security and condition reports on all indemnified items. These should be kept for 90 days beyond the end date of the indemnity period.

Account Identification:

59-0100-0-1-503.

Obligations:

(Insurance) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - The figures in this section relate to claim payments, not obligations.

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fifty-one certificates of indemnity were issued for 51 exhibitions. Fiscal Year 2010: Thirty-three certificates of indemnity have been issued for 33 exhibitions. Fiscal Year 2011: It is anticipated that 40 certificates of indemnity will be issued for 40 exhibitions.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines are available on the Arts Endowment's Web site at

www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Alice M. Whelihan, National Endowment for the Arts, 1100 Pennsylvania Ave, NW, Washington, District of Columbia 20506 Email: whelihaa@arts.gov Phone: (202) 682-5574.

Website Address:

www.arts.gov.

RELATED PROGRAMS:

45.024 Promotion of the Arts_Grants to Organizations and Individuals

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Indemnified exhibitions are listed on the Web site at www.arts.gov. Fiscal Year 2010: Indemnified exhibitions are listed on the Web site at www.arts.gov. Fiscal Year 2011: Indemnified exhibitions will be listed on the Web site at www.arts.gov.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Indemnity Administrator.

NATIONAL ENDOWMENT FOR THE ARTS

45.024 PROMOTION OF THE ARTS GRANTS TO ORGANIZATIONS AND INDIVIDUALS

FEDERAL AGENCY:

National Endowment for the Arts

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 951 et seq.

OBJECTIVES:

To support excellence in the arts, both new and established; bring the arts to all Americans; and provide leadership in arts education. [NOTE: Grants using Recovery Act funds (under this same CFDA number) to support the preservation of jobs in the nonprofit arts sector were made in FY 2009, and FY 2010. Information on these grants is reflected here only in those sections that specifically ask about FY 2009 and FY 2010. Recovery Act grants are no longer available.]

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The NEA's grants support projects that include: opportunities for artists to create, refine, perform, and exhibit their work; the presentation of artistic works of all cultures and periods; the preservation of significant works of art and cultural traditions; opportunities for arts organizations and artists to expand and diversify their audiences; opportunities for individuals to experience and participate in a wide range of art forms and activities; opportunities to enhance the effectiveness of arts organizations and artists; the strengthening of communities through the arts; the recognition of artists for their contributions to our nation's cultural heritage; nationally distributed television, radio, and Internet programs on the arts; the acquisition by children and youth of knowledge, skills, and understanding of the arts consistent with national or state arts education standards; partnerships with other federal agencies to carry out quality projects or initiatives of mutual interest; activities, carried out in partnership with foundations or other government agencies, which provide increased opportunities for international cultural exchange; activities that acquaint foreign artists and cultural officials with the best of American culture; and NEA initiatives intended to advance the arts significantly, demonstrate national or field-wide importance, and benefit Americans in communities throughout the country.

Consult the current funding opportunities, guidelines, or program announcements for the area of interest for complete information (see www.arts.gov). Grant funds must be used for the purposes outlined in the relevant guidelines or program announcement, and in accordance with the National Endowment for the Arts General Terms and Conditions for Grants and

Cooperative Agreements. All of the funds under "Promotion of the Arts - Grants to Organizations and Individuals" are discretionary.

Applicant Eligibility:

Tax-exempt organizations meeting the following conditions may apply: (1) No part of any earnings may benefit a private stockholder or individual, and (2) donations to the organization are allowable as charitable deductions under Section 170(c) of the Internal Revenue Code. Examples of eligible organizations are arts institutions, arts service organizations, local arts agencies, official units of state and local governments, federally recognized tribal communities and Indian tribes. Generally, an organization may submit only one application for a single project under one of the category deadlines; must have a three-year history of programming prior to the application deadline; and must have submitted acceptable final reports by the due date for all Arts Endowment grants previously received. Individuals must be U.S. citizens or permanent residents and, according to 20 U.S.C. 954 (c), must demonstrate exceptional talent. Currently, the only individuals eligible to apply directly are published creative writers. Master artists in the folk & traditional arts, jazz, and opera are eligible for honorary fellowships awarded on the basis of nominations. See respective funding opportunities, guidelines, or program announcements for further information.

Beneficiary Eligibility:

Nonprofit organizations, state and local governments, local arts agencies, local education agencies (school districts), federally recognized tribal communities and Indian tribes, literary artists, and master artists in the folk & traditional arts, jazz, and opera. Through activities and services supported, beneficiaries include artists and the general public.

Credentials/Documentation:

Applicants should review relevant funding opportunities, guidelines, or program announcements at www.arts.gov for full requirements.

For individuals, Literature fellowship applicants must be published writers. Nominators of candidates for NEA Jazz Masters Fellowships, NEA National Heritage Fellowships, or NEA Opera Honors must document the candidate's contribution to the art form.

For institutions of higher education, allowable costs will be determined according to OMB Circular No. A-21; for other nonprofit organizations making application, allowable costs will be determined according to OMB Circular No. A-122. For local and state governments, OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants should consult current funding opportunities, guidelines, or program announcements for the funding area under which they intend to apply. Relevant items are available on the Arts Endowment's Web site at www.arts.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must obtain current Arts Endowment funding opportunities, guidelines, or program announcements (available at www.arts.gov). Applicants must adhere to instructions, and must submit their applications through Grants.gov.

Award Procedure:

Applications generally receive three independent levels of review. First, they are reviewed by independent, national panels of artists and other arts experts. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range of approval/disapproval time varies by funding category. Dependent on

deadline dates and meetings of the National Council on the Arts. Details can be found in the funding opportunities, guidelines, and program announcements available at www.arts.gov.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grant awards to organizations generally must be matched at least 1:1. Awards to individuals do not require a match. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of time the assistance is available and money awarded can be spent varies according to project or grant category. Most grants have a one-year period of support; some extend longer. See the following for information on how assistance is awarded/released: Grantees must submit a request for payment to cover immediate allowable project expenses. Generally, these requests for payment may be submitted at any time during the period of support.

Reports:

Most grantees must submit a Final Descriptive Report no later than 90 days following the end of the grant period. No reports are required from recipients of NEA Jazz Masters Fellowships, NEA National Heritage Fellowships, or NEA Opera Honors. No cash reports are required. All organizational grantees must submit a Progress Report at the point that the request for payment exceeds two-thirds of the total grant amount. All organizational grantees are required to submit a Final Federal Financial Report no later than 90 days following the end of the grant period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. No audits are required for individual Arts Endowment awardees.

Records:

Award-related records must be retained by the grantee for 3 years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Project Grants (Discretionary)) FY 09 \$98,970,658; FY 10 est \$80,787,879; FY 11 est \$76,188,000 - FY 2009 figures include \$69,317,780 for the NEA's regular grants and \$29,652,878 in Recovery Act funding. FY 2010 figures include \$80,515,757 for the NEA's regular grants and \$272,122 in Recovery Act funding. The FY 2011 figure above is for regular grants only. Each year's regular grant figures include funds for the Challenge America: Reaching Every Community initiative. FY 2009 and 2010 figures also include funds for American Masterpieces, which is likely to be phased out for 2011. FY 2011 includes funds for the proposed Our Town initiative.

Range and Average of Financial Assistance:

Most of the Arts Endowment's regular grants range from \$10,000 to \$100,000. Grants of \$100,000 or more are made only in rare instances, and only for projects that the Arts Endowment determines demonstrate exceptional national or regional significance and impact. In the past few years, well over half of the Agency's grants have been for amounts less than \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Arts Endowment received approximately 5,300 applications and awarded more than 2,200 grants under its regular

programming. Grants for a variety of arts projects were made in all 50 States and six special jurisdictions. (In addition, the Arts Endowment received approximately 2,400 applications for Recovery Act projects, and awarded approximately 630 Recovery Act grants to nonprofit organizations and local arts agencies to help preserve jobs in the nonprofit arts sector throughout the nation.). Fiscal Year 2010: The Arts Endowment anticipates receiving more than 5,400 applications and making approximately 2,700 awards in all 50 States and six special jurisdictions for a broad variety of arts projects. (Eight additional grants were awarded using Recovery Act funds.). Fiscal Year 2011: The Arts Endowment anticipates receiving more than 5,400 applications and making more than 2,500 awards in all 50 States and six special jurisdictions for a broad variety of arts projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

Visit the Arts Endowment's Web site at www.arts.gov for funding opportunities, guidelines, or program announcements.

Regional or Local Office:

None.

Headquarters Office:

Patrice Walker Powell, 1100 Pennsylvania Ave, NW, Washington, District of Columbia 20506 Phone: (202) 682-5097.

Website Address:

www.arts.gov.

RELATED PROGRAMS:

45.025 Promotion of the Arts_Partnership Agreements; 45.201 Arts and Artifacts Indemnity

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grant listings are posted at www.arts.gov. Examples include: 1) the creation and performance of a dance theater work; 2) an after-school artist residency program; 3) a creative writing fellowship; 4) a program that brings mayors together with design professionals to enhance the livability of communities; 5) the commissioning and production of a new American play; 6) a folk & traditional arts festival; and 7) a museum special exhibition and accompanying catalogue. (Recovery Act grants for the preservation of jobs in the nonprofit arts sector also appear on the Web site.). Fiscal Year 2010: Listings for 2010 are posted on www.arts.gov as grants are obligated. Fiscal Year 2011: Listings for FY 2011 will be posted on www.arts.gov once grants are obligated.

CRITERIA FOR SELECTING PROPOSALS:

The primary criteria by which all applications are assessed are artistic excellence and artistic merit. For additional criteria, consult respective funding opportunities, guidelines, or program announcements available at www.arts.gov.

45.025 PROMOTION OF THE ARTS_PARTNERSHIP AGREEMENTS FEDERAL AGENCY:

National Endowment for the Arts

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 089-209, 20 U.S.C 951 et seq.

OBJECTIVES:

To develop and maintain partnerships with the state and jurisdictional arts agencies (SAAs) and their regional arts organizations (RAOs) to advance the mission of the National Endowment for the Arts. [NOTE: Grants using Recovery Act funds (under this same CFDA number) to support the preservation of jobs in the nonprofit arts sector were made using FY 2009 funds only. Information on these grants is reflected here only in those sections that specifically ask about FY 2009. Recovery Act grants are no longer available.].

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; PROJECT GRANTS

USES AND USE RESTRICTIONS:

SAA Partnership Agreements support four components: 1) the state arts plan for priorities identified at the state level; 2) elements of the state plan that address arts education; 3) elements of the state plan that foster the arts in

underserved communities; and 4) projects that strengthen a state's program of support for the folk & traditional arts. RAO Partnership Agreements offer support for: 1) a regional arts plan; 2) touring intended to give all Americans access to excellence in the performing, literary, and visual arts; and 3) projects that strengthen a region's program of support for the folk & traditional arts. Limited Partnership funds also are available for national services provided by membership organizations of SAAs and RAOs. Partnership Agreement funds must be used to supplement and not to supplant non-Federal funds.

Applicant Eligibility:

Agencies officially designated as the state arts agency by its state government in each of the 50 States and six special U.S. jurisdictions; regional arts organizations representing state arts agencies; and organizations providing support services to state and regional arts agencies at a national level.

Beneficiary Eligibility:

The official arts agencies of the 50 states and six U.S. jurisdictions, regional arts organizations, and organizations providing support services to SAAs and RAOs at the national level. Through activities and services supported, beneficiaries include local arts agencies, nonprofit organizations, artists, and the general public.

Credentials/Documentation:

The State Partnership Agreement requires that the agency be legally designated as the official state arts agency. The Regional Partnership Agreement stipulates that the regional organization must be authorized by two or more state arts agencies to apply for funds on their behalf. An SAA can belong to only one RAO. OMB Circular No. A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants should consult the Partnership Agreements guidelines (available at www.arts.gov). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must obtain current Partnership Agreements guidelines at www.arts.gov and adhere to instructions. Applications must be submitted through Grants.gov.

Award Procedure:

Applications receive three independent levels of review. First, they are reviewed by an independent, national panel of individuals with expertise in the arts and the state and regional arts agency field. Panel recommendations are forwarded to the National Council on the Arts, which then makes recommendations to the Chairman of the National Endowment for the Arts. The Chairman reviews the Council's recommendations and makes the final decision on all grant awards. Partnership Agreements receive panel review on a three-year cycle -- one-third of the SAAs and RAOs are reviewed each year -- with funding subject to annual recommendation and approval by the Council and the Chairman.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Partnership Agreements deadline is generally in October. Applications are generally reviewed by the National Council on the Arts and approved by the NEA Chairman in late March. See the Arts Endowment's Web site at www.arts.gov for specific dates.

Appeals:

Information on requests for reconsideration may be obtained from the headquarters office.

Renewals:

The Arts Endowment uses staggered, multi-year review for Partnership Agreements. Funding is subject to annual review and approval.

Formula and Matching Requirements:

Statutory Formula: Title National Foundation on the Arts and the Humanities Act of 1965, as amended, Part 20 USC 954, Subpart (g), Public Law 089-209. Matching Requirements: Partnership Agreement awards must be matched at least 1:1 with non-federal funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each award is for the full fiscal year or as designated. Partnership Agreement awards support activities scheduled to begin on or after July 1 of the year following the application deadline. See the following for information on how assistance is awarded/released: Generally, requests for payment to cover immediate allowable project expenses may be received at any time during the grant period.

Reports:

For Partnership Agreements, a Final Descriptive Report is due no later than 90 days following the end date of the period of support. No cash reports are required. Progress reports are required for all grants and must be submitted at the time the cumulative amount of grant funds requested exceeds two-thirds of the grant amount. Final Federal Financial Reports are due no later than 90 days following the end date of the period of support. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant-related records are to be retained by grantees for three years following submission of the Final Federal Financial Report.

Account Identification:

59-0100-0-1-503.

Obligations:

(Project Grants (with Formula Distribution)) FY 09 \$73,225,900; FY 10 est \$58,337,710; FY 11 est \$55,392,000 - In recent years, the Congress has stipulated that no less than 40 percent of all grantmaking funds appropriated for the Arts Endowment be reserved for grants to SAAs and RAOs. Some of these funds are allotted on a formula basis; others competitively. See the Partnership Agreements guidelines at www.arts.gov for details. FY 2009 figures above include \$53,275,900 for regular Partnership awards and \$19,950,000 for Recovery Act awards for the preservation of jobs in the nonprofit arts sector. Recovery Act awards were made in FY 2009 only.

Range and Average of Financial Assistance:

FY 2010 Partnership Agreements for SAAs range from \$302,000 to \$1,274,800, averaging approximately \$845,400. The range of RAO awards is \$1,224,700 to \$1,917,500, averaging \$1,593,700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Arts Endowment made 64 Partnership awards. SAAs and RAOs use their state and federal funds to support a variety of arts projects in communities throughout the nation. In addition, the Arts Endowment made 62 Recovery Act awards to SAAs and RAOs for subgranting to organizations within their respective states and regions for the preservation of jobs in the nonprofit arts sector, and one Recovery Act award to the national service organization for SAAs and RAOs for job preservation. Fiscal Year 2010: The Arts Endowment made 64 Partnership awards. SAAs and RAOs use their state and federal funds to support a variety of arts projects in communities throughout the nation. Fiscal Year 2011: The Arts Endowment anticipates making 64 Partnership awards to enable SAAs and RAOs to support a variety of arts projects nationwide.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Partnership Agreements guidelines are available on the Arts Endowment's Web site at www.arts.gov.

Regional or Local Office:

None.

Headquarters Office:

Patrice Walker Powell, 1100 Pennsylvania Ave, NW, Washington, District of Columbia 20506 Phone: (202) 682-5097.

Website Address:

www.arts.gov.

RELATED PROGRAMS:

45.024 Promotion of the Arts_Grants to Organizations and Individuals

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grant listings for 2009 Partnership Agreements, both regular Partnership awards and grants awarded with Recovery Act funds, can be found at www.arts.gov. Fiscal Year 2010: Partnership Agreement activities enable the SAAs and RAOs to support a variety of arts activities nationwide. See the Arts Endowment's Web site for grant listings. Fiscal Year 2011: Partnership Agreements with the SAAs and RAOs will continue to enable the support of a variety of arts activities in communities across the nation. Grant listings will be posted once grants are obligated.

CRITERIA FOR SELECTING PROPOSALS:

For Partnership Agreements: Quality of the planning process; quality of the plan; and quality of implementation including accomplishments in relation to the plan. For additional criteria, consult the Partnership Agreements guidelines.

NATIONAL ENDOWMENT FOR THE HUMANITIES**45.129 PROMOTION OF THE HUMANITIES_FEDERAL/STATE PARTNERSHIP****FEDERAL AGENCY:**

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To promote local, statewide, and regional humanities programming through annual grants to humanities councils in each of the 50 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Northern Mariana Islands, Guam, and American Samoa. The 56 councils conduct humanities projects and grant programs for local nonprofit organizations, institutions, and groups, and occasionally for individuals. Under the provisions of 20 U.S.C. 956(f)(8), only one entity in each State may receive assistance from NEH as the State humanities council.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to fund local, statewide, and regional humanities projects, subject to guidelines determined by each State humanities council.

Applicant Eligibility:

Nonprofit citizen councils in the several States and territories which conform to the requirements of 20 U.S.C. 956(f). If the State matches a certain percentage of the Federal grant and meets other legislated requirements, the Governor may designate the existing Council as a State agency. The resulting agency becomes an eligible applicant.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government general; minority organizations; other specialized groups; and quasi-public nonprofit institutions which apply directly to the State Humanities Council.

Credentials/Documentation:

Submission of an annual compliance plan and a self-assessment every five years from the council. Compliance requirements are outlined in 20 U.S.C. 956(f). Costs will be determined in accordance with OMB Circular No. A-122

as amended for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Filing of a compliance plan is required from all councils annually and a self-assessment every five years

Award Procedure:

Compliance plans are reviewed by Federal/State Partnership staff. Self-assessments are reviewed by teams of visiting scholars and other professionals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Jun 01, 2011 June 1 for annual compliance plans. Timetable for submission of self-assessment material is flexible.

Range of Approval/Disapproval Time:

> 180 Days. Variable: Six months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: As established by the Congressional funding formula, each State council receives \$200,000 of the definite funds appropriated to Federal/State Partnership. Of the remaining funds, 44 percent is divided equally among the States which have a population of 200,000 or more, 22 percent is allocated to each council on the basis of population, and 34 percent is awarded at the discretion of the Chairman of the agency. Total matching of the grant on a one-to-one basis is required. Source: 20 U.S.C. 956 (f)(4). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Thirty-six months. Funds must be expended during the grant period. Funds are released as required. Method of awarding/releasing assistance: by letter of credit.

Reports:

Compliance plans are required annually. A cash report is required quarterly. No progress reports are required. An expenditure report is required quarterly and a final expenditure report is due within 90 days after completion or termination of project support by NEH. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. "Audits of Institutions of Higher Education and Other Nonprofit Organizations." have been mandated for the program. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$43,215,935; FY 10 est \$40,370,000; FY 11 est

\$38,515,000 - FY 09 obligations include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

In FY 2010 the range of assistance was \$301,830 to \$2,080,000. Average was \$741,632.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: : Support for the programs and operations of 56 state humanities councils made possible high quality state and local humanities projects throughout the nation, including 15,886 reading and discussion programs, 3,072 exhibitions, 5,222 literacy programs, 4,803 speakers bureau presentations, 3,190 teacher institutes and workshops, 5,638 conferences and symposia, 2,419 Chautauqua events, 7,088 media program events, 1,144 technology projects, 1,176 preservation projects and 5,191 local history projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Information about the National Endowment for the Humanities and program guidelines are available online at <http://www.neh.gov/> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign). Addresses and phone numbers for the 56 State humanities councils are available from Federal/State Partnership, NEH, Room 603, Washington, DC 20506, and from the NEH web site, <http://www.neh.gov>.

Regional or Local Office:

See Regional Agency Offices. Located in each State. Addresses available from National Endowment for the Humanities.

Headquarters Office:

Federal/State Partnership, National Endowment for the Humanities, 1100 Pennsylvania Avenue, Room 603, Washington, District of Columbia 20506 Email: fedstate@neh.gov Phone: (202) 606-8254.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs; 45.168 Promotion of the Humanities_We the People

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) The Hawaii Council for the Humanities is collaborating with a variety of public humanities groups to facilitate and conduct programs around the general theme of *Hai Moololo* (to tell our stories) in order to highlight and focus on the ways the citizens of Hawaii bridge cultures. This initiative explores the ways the humanities highlights traditions of civil discourse and cultural, social and historical empathy so as to enhance mutual understanding and respect by comparing and contrasting these traditions. (2) The humanities councils in California, Maryland, Massachusetts, and Montana are currently launching initiatives specifically to address issues of citizens working together in community. (3) Drawing on funds received through the We the People grant line, the Maryland Humanities Council and Humanities Montana are sponsoring civil discourse gatherings and working with local nonprofit organizations to provide trained facilitators to bring together diverse audiences to discuss complex civic issues of importance to their communities. (4) Mass Humanities is focusing all its programming and grantmaking for the next several years on the overarching theme of Crisis, Community and Civic Culture. This programming will include the annual symposium and the annual fall history film and discussion events, sponsored in conjunction with local history organizations and public libraries in six locations. (5) The California Council for the Humanities carry out its programming under the rubric Democracy: Understanding and Engagement through the support of documentary film projects and complementary programming, civic engagement programs in libraries, and reading and discussion programs in veterans hospitals. (6) The Virginia Foundation for the Humanities uses electronic media to initiate discussions through their Backstory with the American History Guys radio call-in show, which features three prominent historians

providing perspective on events happening around us every day. This program is now broadcast by 93 public radio stations in 36 states and the District of Columbia. (7) The Pennsylvania Humanities Council, in partnership with Pennsylvania Cable Network, is televising its popular Commonwealth Speakers program. For over 25 years, the Commonwealth Speakers program has reached over 100,000 Pennsylvanians. With this cable production, PHC will reach more than 3.3 million households with discussions of "arts, history, literature and the important ideas that shape our world." This series also provides a means to introduce Pennsylvanians to the cultural institutions in their state as well as to see themselves and their communities at work. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each State council sets its own guidelines and criteria for assessing proposals, which are widely disseminated within the State. The criteria for State council funding from NEH are established in the National Foundation on the Arts and the Humanities Act of 1965, as amended, 20 U.S.C. Section 950, 956, and by the NEH Federal/State Partnership.

45.130 PROMOTION OF THE HUMANITIES_CHALLENGE GRANTS FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To support educational and cultural institutions and organizations in order to increase their financial stability and to sustain or improve the quality of humanities programs, services, or resources. Normally, institutions requesting an NEH challenge grant must raise three dollars in new donations from nonfederal sources to receive each Federal dollar A special category of challenge grants is designed to help 2-year colleges secure long-term improvements in humanities activities. Two-year colleges, along with Historically Black Colleges and Universities and Tribal Colleges and Universities, are required to raise two times the Federal award in new donations from nonfederal sources.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be applied to a variety of institutional needs which an applicant has demonstrated serve both the humanities and the institution's long-term objectives. The major purpose of an NEH Challenge Grant is to help institutions and organizations attain or sustain a high level of quality in humanities programs or activities by building long-term capital resources. The most common expenditure of grant and matching funds is the creation or augmentation of endowments. Also possible are direct expenditures such as library acquisitions, technological enhancement, construction and renovation, and other costs clearly attributable to improvements in humanities programs and activities. Funds may not be used directly for general operating support or undergraduate scholarships and prizes or for short-term projects or projects eligible for support from other NEH programs.

Applicant Eligibility:

Any public or private U.S. nonprofit institution or organization working wholly or in part within the humanities may apply, including the following: two-year and four-year colleges; universities; museums; historical societies; research libraries; public libraries; advanced study centers; media organizations; university presses; professional societies; or state humanities councils. State and local governments and U.S. Territories are eligible to apply on their own behalf or on behalf of nonprofit institutions, associations or organizations within their jurisdictions. Individuals and public and private elementary and secondary schools or school districts are not eligible to apply.

Beneficiary Eligibility:

All applicant organizations and institutions and all users of their humanities resources, programs, or activities.

Credentials/Documentation:

. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None required, but preliminary discussion with Endowment staff and the submission of a draft application at least six weeks before the deadline are strongly encouraged. The standard application forms as furnished by the Endowment and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-110. Applications to NEH must be submitted via Grants.gov. Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are reviewed by administrators of humanities institutions, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

May 04, 2011 For all challenge grants, except applications made for a special initiative for two-year colleges, which have a February 2 deadline. Deadlines are receipt deadlines. Write or call the NEH Office of Challenge Grants.

Range of Approval/Disapproval Time:

> 180 Days. Seven months (approximately 210 days) after the deadline.

Appeals:

None, but applicants may request written reasons for rejection and reapply at the next appropriate deadline with a revised proposal.

Renewals:

> 180 Days. Eligibility to apply for a subsequent award begins in the third year after the close of the previous challenge grant.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: All challenge grant funds are matching funds.

Grantees must raise three nonfederal dollars for every federal dollar offered, except that Historically Black Colleges and Universities, Tribal Colleges and Universities, and two-year colleges are required to raise two times the Federal award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From one to five years. Funds must be expended during the grant period. Funds expended include funds deposited into an income earning account. See the following for information on how assistance is awarded/released: Federal funds up to the amount offered in any year are released as matching amounts are certified to the Endowment and minimum annual matching requirements are met.

Reports:

Program reports are not applicable. Cash reports are not applicable. Narrative progress reports are required biennially; reports on nonfederal contributions raised and sources of giving are required annually. Final narrative and financial reports are due within 90 days after the grant ending date. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

To be retained by the grantee for three years from the date of submission of the final narrative and financial reports. Records include financial documentation on all matching gifts and on all expenditures of Federal and matching funds.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$10,575,803; FY 10 est \$9,500,000; FY 11 est \$9,500,000 - FY'09 obligations Include additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Applicants may request up to \$1,000,000 in Federal funds over 4 years. In fiscal year 2008, the largest award offered was \$800,000, the smallest was \$180,000; and the average award was approximately \$470,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 135 applications were received and 21 awards were made. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

Office of Challenge Grants, Room 420, National Endowment for the Humanities, Washington, District of Columbia 20506 Email: challenge@neh.gov Phone: (202) 606-8309.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.168 Promotion of the Humanities_We the People

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) A community college will use its \$800,000 challenge grant to endow humanities programs for its students and for the general public. (2) A liberal arts college will use its \$625,000 challenge grant to restore an historic house as a residence for research fellows and an endowment for fellowships to study the Founding Era and its legacy. (3) A public library will use its \$500,000 challenge grant to restore and enhance technology in its historic building. (4) A museum will use its \$575,000 challenge grant as the cornerstone of a larger fund-raising campaign for an endowment to support a new regional art center. (5) A professional humanities association is using its \$246,000 challenge grant to endow staff positions in humanities educational programming. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

To reach decisions about funding, all reviewers of Challenge Grants applications are asked to evaluate the existing and potential quality of an applicant's programs in the humanities, its needs, management, and fund-raising capability. In light of the goals of the Challenge Grants Program, reviewers assess each application in accordance with the following criteria: (1) The significance of existing and planned humanities activities. (2) The appropriateness of institutional resources and plans. (3) The impact of challenge grant funds. (4) The feasibility of fund raising. Applicants for second or subsequent awards must describe and assess the effects of their prior awards.

45.149 PROMOTION OF THE HUMANITIES_DIVISION OF PRESERVATION AND ACCESS**FEDERAL AGENCY:**

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended., 89 Stat. 209, 20 U.S.C 951.

OBJECTIVES:

To fund projects that will promote preserving, creating, and providing intellectual access to resources held in libraries, museums, archives, historical organizations, and other collections that are important for research, education, and public programming in the humanities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support: the digitization, arrangement, description, and preservation of archival collections, still and moving images, and recorded sound collections; the documentation of collections of art and material culture; projects to produce research tools and reference works such as databases and electronic archives, bibliographies, dictionaries, and encyclopedias; professional training in preservation administration and in the care of collections; preventive conservation of humanities collections; the work of regional preservation services; general preservation assessments and special consultations for smaller cultural institutions; research and development projects to develop technical standards, best practices, and tools for preserving and creating access to humanities collections; projects to document endangered languages; and a national program for the digitization of historic U.S. newspapers. Expenses may include salaries and wages, consultant and other contract services, supplies and limited equipment purchases, travel, and per diem.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; and other specialized groups; quasi-public nonprofit institutions.

Credentials/Documentation:

OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for commercial and nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via Grants.gov. Guidelines and application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are evaluated by scholars in the humanities, professionals with expert knowledge of preservation and access methodologies, and administrators of libraries, archives, and museums. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Jul 14, 2011: Humanities Collections and Reference Resources. Sep 15, 2010: Documenting Endangered Languages. Nov 2, 2010: National Digital Newspaper Program. Nov 16, 2010: Sustaining Cultural Heritage Collections. July 1, 2011: Education and Training

July 1, 2011: Research and Development
July 14, 2011: Humanities Collections and Reference Resources.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 7 months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months. Funds must be expended during the grant period. Funds are released as required. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Cash reports are required quarterly. Progress reports are required semiannually or annually. Final progress and expenditures reports are due within 90 days after completion or termination of project support by NEH. Final progress and expenditures reports are due within 90 days after completion or termination of project support by NEH. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Salaries) FY 09 \$21,836,192; FY 10 est \$17,116,000; FY 11 est \$16,250,000 - FY'09 obligations Include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 10 from \$6,000 to \$500,000; average \$66,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 512 applications were received and 170 grants were made. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants.html> or upon request from National Endowment for the Humanities, Washington, DC 20506. It is also available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Preservation and Access
1100 Pennsylvania Avenue, NW, Room 411, Washington, District of Columbia

20506 Email: preservation@neh.gov Phone: (202) 606-8570.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) an award to a university to digitize 100,000 pages of historic state newspapers; (2) an award to a historic house museum to explore sustainable strategies for preserving its collections of historic objects, books, and manuscripts; (3) an award to a university to train hundreds of librarians, archivists, and museum specialists in digital preservation; (4) an award to a university to develop and test best practices for preserving analog sound recordings by converting them into digital form; (5) an award to a historical society to conduct a preservation assessment and develop a long-range plan for preserving its library, archival, and material culture collections; (6) an award to a university to digitize an archive of materials on endangered languages in Mexico and Guatemala; (7) an award to university to develop an electronic resource on the Atlantic slave trade. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to determine funding priorities include the significance and potential impact of the project, either for creating, preserving, and establishing intellectual access to important humanities resources or for improving the infrastructure for preservation and access activities in the country's academic and cultural institutions; the soundness of the project's methodology, including its adherence to accepted professional and technical standards or practice; the viability, efficiency, and productivity of the project's plan of work; the professional training and experience of the project's staff in relation to the activity for which support is requested; and the appropriateness of the project's budget. Preference is given to projects that provide free, online access to digital materials produced with grant funds.

45.160 PROMOTION OF THE HUMANITIES_FELLOWSHIPS AND STIPENDS

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq. , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

Fellowships and Summer Stipends provide support for scholars to undertake full-time independent research and writing in the humanities. Grants are available for 6-to-12-month fellowships and 2 months of summer study. Teaching Development Fellowships strengthen teaching at undergraduate institutions through fellowships of 3 to 5 months. The Awards for Faculty programs support research work conducted by faculty at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities for periods of 2 to 12 months.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowships and Summer Stipends: Projects may contribute to scholarly knowledge or to the general public's understanding of the humanities. The proposed study or research may be completed during the grant period or it may be part of a longer project. Supported applications typically result in publication. Teaching Development Fellowships support research designed to strengthen established undergraduate courses. The Awards for Faculty program assist faculty members as they engage in advanced research in the humanities. These awards are restricted to faculty members at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021. Applications for planning institutional curricula, for educational research

projects, for the creation or performance of works of art, and that lack humanities content or methodology are not eligible.

Applicant Eligibility:

All applicants must be U.S. citizens, native residents of U.S. jurisdictions, foreign nationals who have been legal residents in the U.S. or its jurisdictions for at least the three years immediately preceding the application deadline. Fellowships: Faculty members at colleges and universities, individuals affiliated with other institutions, independent scholars, and others who work in the humanities are eligible. Applicants need not have advanced degrees, but they must have completed their formal academic training. Active candidates for degrees and persons seeking support for work leading to degrees are not eligible. Summer Stipends: University and college faculty members must be nominated by their academic institutions. Faculty members with terminating appointments, independent scholars, and nonfaculty college and university staff members are exempt from nomination and may apply directly. Teaching Development Fellowships: Full-time and part-time faculty members at colleges and universities. Awards for Faculty are offered to full-time faculty members at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities as designated by the White House offices charged with the implementation of Executive Orders 12876, 13230, 13021.

Beneficiary Eligibility:

Fellowships and Stipends: College and university faculty and staff, individuals affiliated with institutions other than colleges and universities, and scholars and writers working independently. Teaching Development Fellowships: College and university faculty. Awards for Faculty: faculty members at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities.

Credentials/Documentation:

. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications to NEH must be submitted via Grants.gov. Fellowships, Summer Stipends, Teaching Development Fellowships, and Awards for Faculty application materials are available online at <http://www.neh.gov> and from the headquarters office.

Award Procedure:

Applications are reviewed by panels of scholars and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

May 01, 2011: Faculty Research Awards. Deadlines are approximate; interested individuals should check the NEH website for deadlines. Fellowships: Annual receipt deadline of May 1, for projects beginning after January 1 of the following year. Summer Stipends: annual receipt deadline of October 1, for projects beginning after May 1 of the following year. Teaching Development Fellowships: Annual receipt deadline of October 1 for projects beginning as early as the following June 1. Awards for Faculty: Annual receipt deadline of April 15 for projects beginning after January 1 of the following year.

Range of Approval/Disapproval Time:

> 180 Days. Five to seven months.

Appeals:

None, but applicant may reapply.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Fellowships are held from 6 to 12 months, with payment in quarterly installments. Summer Stipends are held for 2 consecutive months, with stipends disbursed in one payment. Awards for Faculty may support activities from 2 to 12 months, with payments in quarterly installments. Teaching Development Fellowships are held from 3 to 5 months, with payment in quarterly installments. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. A final report is required within 90 days after the end of the tenure period describing the results of the work done during the period of the award. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants (Fellowships)) FY 09 \$4,351,200; FY 10 est \$4,554,000; FY 11 est \$4,320,000 - FY 2009 obligations include additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Fellowships, Teaching Development Fellowships, and Awards for Faculty: An award of \$4,200 per month for a grant period of from 3 to 12 months. Summer Stipends: All awards are \$6,000 for a grant period of 8 weeks.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 2,185 applications were received and 154 grants were made. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Fellowship, Faculty Research Award, and Summer Stipends guidelines are available online at <http://www.neh.gov/grants/grantsbydivision.html#research>. For assistance, contact the National Endowment for the Humanities, 1100 Pennsylvania Ave., NW., Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs 1100 Pennsylvania Avenue, Washington, District of Columbia 20506 Email: fellowships@neh.gov Phone: (202) 606-8200.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.161 Promotion of the Humanities_Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fellowships and Summer Stipends: Marie Kim, St. Cloud State University in Minnesota, received a 12-month fellowship to conduct research on the history and role of custom in Korean law; Semion Lyandres, University of Notre Dame in Indiana, received an 11-month fellowship to translate and annotate recently discovered interviews with 16 leaders of the February 1917 revolution in Russia; William Kerrigan, Muskingum College in Ohio, received an 8-week summer stipend to study John Chapman, the man behind the popular American legend of Johnny Appleseed. Teaching Development Fellowships: Lisa Yount, Savannah State University in Georgia, received a 4-month fellowship to conduct research to expand a required course

on ethics. Awards for Faculty: first awards will be made in FY 11; no examples of funded projects available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

For Fellowships, Summer Stipends: 1) The intellectual significance of the proposed project, including its value to scholars, general audiences in the humanities, or both; 2) the quality or promise of quality of the applicant's work as an interpreter of the humanities; 3) the quality of the conception, definition, organization, and description of the project and the applicant's clarity of expression; 4) the feasibility of the proposed plan of work, including, when appropriate, the soundness of the dissemination and access plans; and, 5) the likelihood that the applicant will complete the project. For Teaching Development Fellowships: 1) The project's intellectual significance, including its value for undergraduate teaching; 2) the quality of the applicant's intellectual work as preparation for undertaking the proposed research; 3) the quality of the conception, definition, organization, and description of the research project and the applicant's clarity of expression; and 4) the feasibility of the proposed plan of work and the likelihood that the applicant will complete the project. Awards to Faculty at Historically Black Colleges and Universities, Institutions with High Hispanic Enrollment, and Tribal Colleges and Universities: 1) The intellectual significance of the proposed project, including its value to scholars, students, or general audiences in the humanities; 2) the quality or promise of quality of the applicant as a humanities teacher and researcher; 3) the quality of the conception, definition, organization, and description of the project and the clarity of expression in the application; 4) the feasibility of the proposed plan of work, including, when appropriate, the soundness of the dissemination and access plans for the proposed audience or audiences; and 5) the likelihood that the applicant will complete the project.

45.161 PROMOTION OF THE HUMANITIES RESEARCH

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq., Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To strengthen the intellectual foundations of the humanities through the collaboration of scholars and the support of post-doctoral fellowship programs at independent research institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Collaborative Research and Scholarly Editions and Translations grants support up to three years of research. Awards support direct costs, including salaries, travel, supplies, and appropriate research assistance and consultation. Grants also support fellowships offered through independent research centers and institutions.

Applicant Eligibility:

For Collaborative Research and Scholarly Editions, institutions of higher education, nonprofit professional associations, scholarly societies, and other nonprofit organizations in the United States may apply, as may eligible individuals (U.S. citizens and foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application). For support of fellowship programs, U.S. independent research centers, scholarly societies, and international research organizations with existing fellowship programs may apply. Individual scholar eligibility is the same as described above.

Beneficiary Eligibility:

U.S. citizens and residents, State and local governments, sponsored organizations, public and private nonprofit institutions/organizations, other public institutions/organizations, Federally recognized Indian tribal governments, Native American organizations, U.S. territories; non-governmental-general; minority organizations, other specialized groups; and quasi-public nonprofit institutions benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program staff for advice on preparing the proposal, and for review of draft proposals. These draft reviews are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted no later than six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by e-mail attachment to research@neh.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via Grants.gov. NEH application instructions are available online at <http://www.neh.gov/grants/grants.html>.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals outside the agency. Awards are made by the Chairman of the National Endowment for the Humanities after recommendation by the National Council on the Humanities.

Deadlines:

Oct 28, 2010 Collaborative Research, Scholarly Editions and Translations, and Bridging Cultures: Humanities Scholarship in Mexico and the United States; Aug 20, 2010: Fellowship Programs at Independent Research Institutions.

Range of Approval/Disapproval Time:

> 180 Days. For Collaborative Research, Scholarly Editions and Translations, and fellowship programs offered through independent research centers and international research organizations, approximately seven months. For Fellowships at Digital Humanities Centers, approximately six months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Renewal applications are eligible; they are evaluated in competition with new applications.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Cost sharing consists of the cash contributions made to the project by the applicant, third parties and other federal agencies, as well as third party in-kind contributions, such as donated services and goods. Cost sharing includes third party gift money that will be raised to release federal matching funds. Cost sharing is not required. NEH, however, is rarely able to support the full costs of projects approved for funding. Consult the individual program guidelines for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months for Collaborative Research, Scholarly Editions and Translations, and Fellowship Programs at Independent Research Institutions. For Fellowships at Digital Humanities Centers, six to twelve months. See the following for information on how assistance is awarded/released: Funds are released as required and must be expended during the grant period.

Reports:

Program reports are not applicable. Cash reports are required quarterly. For Collaborative Research, Scholarly Editions and Translations, and Fellowship Programs at Independent Research Institutions: Progress reports are required at least annually, no more frequently than quarterly. Final progress are due within 90 days after completion or termination of project support by NEH. Final expenditure reports are due within 90 days after completion or termination of project support by NEH. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$11,754,752; FY 10 est \$12,312,000; FY 11 est \$11,680,000 - FY 09 obligations include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 09 from \$50,000 to \$515,000; \$215,000 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 213 applications were received and 47 grants were awarded. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> and upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

National Endowment for the Humanities, Division of Research Programs, 1100 Pennsylvania Avenue, NW, Room 411, Washington, District of Columbia 20506 Email: collaborative@neh.gov Phone: (202) 606-8200.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access;
45.160 Promotion of the Humanities_Fellowships and Stipends

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Collaborative Research: the University of Nebraska received \$220,000 in outright funds to develop an online database and collection of essays on Washington, D.C. during the American Civil War; East Tennessee State University received \$210,000 for continued archaeological field work in the Altai Mountains of central Mongolia; Duke University received \$15,000 to host a conference on the history of tonal music in the first half of the 20th century. Scholarly Editions and Translations: the University of California, Berkeley, received \$200,000 in outright funds and \$200,000 in federal matching funds continue preparation of authoritative editions of the works of American author Mark Twain; the University of Memphis received \$200,000 for the first complete translation of the hieroglyphic inscriptions on the Great Hall in Luxor, Egypt. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Collaborative Research, the principal criteria considered by evaluators are: (1) Intellectual significance of the project, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes in the humanities; and the significance of the material on which the project is based. (2) Pertinence of the research questions

being posed, the appropriateness of research methods or conference design; the appropriateness of the technology employed in the project; the feasibility of the work plan; and the appropriateness of the field work to be undertaken, the archival or source materials to be studied, and the research site. (3)

Qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) Soundness of the dissemination and access plans, including benefit to the audience identified in the proposal and the strength of the case for employing print, digital format, or a combination of media; and in the case of archaeology projects, the likelihood that the project will produce an interpretive study. All other considerations being equal, preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) Potential for success, including the likelihood that the work proposed will be completed within the projected time frame; where appropriate, the project's previous record of success; and the reasonableness of the proposed budget in relation to anticipated results. For Scholarly Editions and Translations, the principal criteria considered by evaluators are: (1) The intellectual significance of the proposed work, including its potential contribution to scholarship in the humanities; the likelihood that it will stimulate new research; its relationship to larger themes or issues in the humanities; and the significance of the material on which the project is based. (2) The appropriateness of the research methods, critical apparatus, and editorial policies; in the case of translation projects, the translation approaches; the appropriateness of selection criteria; the thoroughness and feasibility of the work plan; the quality of the samples, e.g., their content, accuracy; readability, and the clarity and helpfulness of annotation. (3) The qualifications, expertise, and levels of commitment of the project director and key project staff or contributors. (4) The soundness of the dissemination and access plans, including benefit to the audience identified in the proposal; the strength of the case for producing print volumes, electronic format, or a combination of media; and the appropriateness of the technology to be used. All other considerations being equal, preference will be given to projects that provide free, online access to digital materials produced with grant funds. (5) The potential for success, including the likelihood that the proposed project will be successfully completed within the projected time frame; when appropriate, the edition or translations previous record of success; and the reasonableness of the budget in relation to its likely results. For Fellowship Programs at Independent Research Institutions, the principal criteria considered by evaluators are: (1) How important to the advancement of the humanities is the fellowship program for which funding is requested? (2) Are fellows likely to pursue their research more successfully because of the research collections, facilities, services, and other resources provided by the applicant institution? In the case of centers with residential programs, does the application provide evidence that the fellows' projects benefit significantly from the location of the center and the intellectual exchange among the fellows? (3) How strong is the institution's previous record in offering fellowships? Have former fellows been productive? Have the scholarly contributions resulting from their FPIRI fellowships been of value to scholars and general audiences in the humanities? (4) Is the fellowship selection process, including the choosing of selection committee members, expert and objective? (5) Does the application make a persuasive case for the amount of NEH support requested for fellowships? (6) How effective is the publicity for the competition for fellowships? (7) How effective is the administration of the fellowship program? Is the institution's research misconduct policy adequate? (8) Are there ways in which the fellowship program could be improved?.

45.162 PROMOTION OF THE HUMANITIES TEACHING AND LEARNING RESOURCES AND CURRICULUM DEVELOPMENT FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq. , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

Humanities Initiatives at Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities are designed to strengthen humanities teaching and learning at these institutions. Enduring Question Course Grants support the development of new humanities courses at the undergraduate level that examine fundamental questions of the humanities.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Humanities Initiatives at Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities provide funds for faculty study programs, institutional planning, and the acquisition of library and advanced technology resources that can assist institutions in enhancing humanities opportunities for their students and their communities, typically through strengthening the capacity of faculty to engage student or community beneficiaries in substantive study of the humanities. Humanities Initiatives awards are restricted to Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities (as designated by appropriate government agencies) and to faculty members at these institutions. Projects that deal solely with pedagogical theory or that are intended to improve writing, speaking, or thinking skills apart from a focus on specific humanities content are not normally supported.

Enduring Questions Course Grants encourage faculty and students at the undergraduate level to grapple with the most fundamental concerns of the humanities, and to join together in deep, sustained programs of study in order to encounter influential thinkers over the centuries and into the present day; these questions include What is the good life? What is dignity? What is good government? What are the limits of scientific understanding? What are the origins of the modern world?.

Applicant Eligibility:

Humanities Initiatives at Presidentially Designated Colleges and Universities offer Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities, as designated by the White House offices charged with the implementation of Executive Orders 12876, 12900, 13021.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; other specialized groups; and quasi-public nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via Grants.gov. Guidelines are available online (<http://www.neh.gov>) or upon request to the headquarters office.

Award Procedure:

Applications are reviewed by panels of scholars, teachers, and educational administrators at all academic levels and by other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice of the National Council on the Humanities.

Deadlines:

Jun 15, 2011 Humanities Initiatives.
September 15, 2010: Enduring Questions.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 4-6 months.

Appeals:

None, but applicant may reapply with a revised proposal.

Renewals:

Applications for renewal must compete against new applications. Applications for renewal must demonstrate a record of success and the potential to serve new audiences.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. Source: Program Guidelines. Contact: See Headquarters Office below. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds must be expended during the grant period. Funds are released as required. For Humanities Initiatives, grants are available for projects for a maximum of 3 years. For Enduring Questions, grants are available for eighteen to twenty-four months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Final progress are due within 90 days after completion or termination of project support by NEH. Final expenditure reports are due within 90 days after completion or termination of project support by NEH. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for three years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$1,322,224; FY 10 est \$1,116,500; FY 11 est \$1,135,700 - FY 09 obligations include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

Humanities Initiatives at Historically Black, High Hispanic Enrollment, and Tribal Colleges and Universities do not exceed \$100,000. Enduring Questions Course Grants do not exceed \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 233 applications were received and 27 grants were awarded. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. The publication entitled "National Endowment for the Humanities Grant Programs, 2000-2001" is available upon request from the National Endowment for the Humanities, Washington, DC 20506. It is also available online at <http://www.neh.gov>. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Education Programs 1100 Pennsylvania Avenue, N.W.,
Room 302, Washington, District of Columbia 20506 Email: hi@neh.gov
Phone: (202) 606-8463.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.129 Promotion of the Humanities_Federal/State Partnership; 45.130 Promotion of the Humanities_Challenge Grants; 45.149 Promotion of the Humanities_Division of Preservation and Access; 45.160 Promotion of the Humanities_Fellowships and Stipends; 45.161 Promotion of the Humanities_Research; 45.163 Promotion of the Humanities_Professional Development; 45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) With a grant of \$75,000, Hugh. F. Wilson of Grambling State University used his Humanities Initiative award to host a month-long summer program of study of Homer, Dante, Marie de France, Christine de Pizan, and Shakespeare for six to eight faculty members at Grambling and participants selected from neighboring Historically Black College and Universities. 2) With an award of \$23,860, Benjamin Whitton Storey of Furman University used his Enduring Questions development grant to create a first-year seminar for undergraduates on the question of self-knowledge from moral, political, theological and philosophical perspectives. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are read and evaluated on whether the intellectual quality of the project is excellent; whether the project is well designed; and whether the project will have significant results. See the program guidelines for detailed criteria. For Humanities Initiatives at Historically Black, High Hispanic Serving and Tribal Colleges and Universities, projects will be selected for funding on the basis of the quality of planned activities and the potential of these activities to strengthen the humanities capacity of the institution; the ability of the institution to carry out the proposed plan; the qualifications of project leaders and consultants; and the cogency of the plan to evaluate the outcomes of the project.

45.163 PROMOTION OF THE HUMANITIES PROFESSIONAL DEVELOPMENT

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

Seminars and Institutes promote better teaching and research in the humanities through faculty development. Landmarks of American History and Culture, part of the NEH We the People initiative, promote better pre-collegiate and community college teaching of American history and culture through intensive, rigorous faculty and staff development workshops at historical sites, colonial settlements, battlefields, artists' and writers' homes--while enabling the participants to gain experience in conveying the importance of historical places and enhancing their teaching materials. The Picturing America School Collaboration Projects grant opportunity is designed to help teachers and librarians whose schools display images of great works of art included in Picturing America (and that help to tell America's story) to form connections with courses in the core curriculum.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support Summer Seminars and Institutes, Landmarks of American History and Culture Workshops, Picturing America School Collaboration Projects. Awards support direct costs, including salaries, participant stipends, selection costs, travel, and supplies. Projects should engage participants in deepening the knowledge of the subjects they teach and strengthen their capacity to engage students in substantive study of the humanities. Projects that deal solely with pedagogical theory or that are intended to improve writing, speaking, or thinking skills apart from a focus on specific humanities content are not normally supported.

Applicant Eligibility:

Distinguished scholar/teachers in the humanities may apply through a sponsoring institution to direct a seminar or institute for college teachers or school teachers. For Landmarks in American History and Culture, the following

may apply: State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general; minority organizations; other specialized groups; and quasi-public nonprofit institutions. For Picturing America School Collaboration Project, the following may apply: Sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; non-government-general; minority organizations; other specialized groups; and quasi-public nonprofit institutions.

Beneficiary Eligibility:

Primarily K-12 or college teachers, depending on the particular project--as well as their colleagues and students.

Credentials/Documentation:

For educational institutions, costs will be determined in accordance with OMB Circular No. A-21 and Circular No. A-122 for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via Grants.gov. Proposal instructions are available on line (<http://www.neh.gov>) or from the headquarters office.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

Mar 15, 2011: To direct a Landmarks of American History Workshop project during the summer of the following year.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 4 to 5 months; 6 weeks for participants in Seminars and Institutes, Landmarks of American History and Culture Workshops, and Picturing America School Collaboration Projects.

Appeals:

None, but director/applicant may request a critique of the proposal and reapply.

Renewals:

Applications for renewal must demonstrate a record of success and the potential to serve new audiences. These applications compete against new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Funds must be expended during the grant period. Funds are released as required. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are due quarterly. A final narrative report and a final expenditures report are required within 90 days after completion or termination of the grant period. In addition, reports are required from the scholars participating in the seminar assessing the value of the seminar for their professional development. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$15,967,506; FY 10 est \$13,383,500; FY 11 est \$13,570,000 - FY 09 obligations include additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 234 applications were received and 86 grants were awarded. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov> or upon request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Education Programs 1100 Pennsylvania Avenue, NW, Room 302, Washington, District of Columbia 20506 Email: sem-inst@neh.gov Phone: (202) 606-8463.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) With an award of \$122,521, Edmund Burke will lead a four-week summer seminar for sixteen school teachers exploring trade in several important commodities during the period 1540-1914, leading ultimately to the emergence of a world economy. 2) With an award of \$140,636, John R. Rosenberg of Brigham Young University will conduct a five-week seminar in Spanish for sixteen school teachers of Spanish, to study the relationship between several literary works and art on site in Madrid, Spain. 3) With a grant of \$167,884, the University of Virginia will host a three-week institute for thirty school teachers to immerse themselves in an intensive treatment of topics central to philosophical inquiry. 4) With his award of \$122,841, Terry Frederick Kleeman of the University of Colorado Museum, Boulder, CO, will conduct a three-week summer seminar for sixteen college and university faculty examining the religion of Daoism and its impact on Chinese civilization and society. 5) With an award of \$196,053, the Folger Shakespeare Library in Washington D.C., will host a five-week college and university teacher institute for twenty participants to examine the history of reception, adaptation, and translation of Shakespeare's works. 6) With a grant of \$160,007, Jackson State University, Jackson, MS, will host two one-week Landmarks workshops for fifty community college faculty members anchored in landmarks of the Freedom Summer and Sanitation Workers' Strike, important episodes in the civil rights movement of the 1960s. 7) With a grant of \$179,998, the Montana Historical Society of Helena, MT, will host two one-week Landmarks workshops for eighty school teachers on gold, silver, and copper

mining in the American West, with visits to Virginia City, Helena, and Butte, Montana. 8) With an award of \$74,997, Philip D. Panaritis, of the New York City Department of Education, Bronx, NY, will conduct two one-day conferences for a total of 450 teachers, to explore the artworks in Picturing America and to develop linkage with iconic art picturing New York City. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

In evaluating proposals, the following criteria apply: (1) Intellectual quality and significance; (2) Impact; (3) Feasibility. Applicants to Workshops, Seminars and Institutes selected to receive stipends are those who can derive the greatest benefit from participation in and who can make the greatest contribution to the program.

**45.164 PROMOTION OF THE HUMANITIES_PUBLIC PROGRAMS
FEDERAL AGENCY:**

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq. , Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

To provide opportunities for the American public to explore human history and culture through humanities programs in museums, historical organizations, libraries, community centers, and other gathering places, as well as on public television and radio and/or other digital media.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to support planning, development, production, and implementation costs.

Applicant Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions may apply.

Beneficiary Eligibility:

State and local governments; sponsored organizations; public and private nonprofit institutions/organizations; other public institutions/organizations; Federally recognized Indian tribal governments; Native American organizations; U.S. Territories; non-government-general, minority organizations and other specialized groups; quasi-public nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to NEH must be submitted via [Grants.gov](http://www.grants.gov). Application forms and guidelines are available online (<http://www.neh.gov/grants/grants.html>) and upon request from the headquarters office.

Award Procedure:

Applications are reviewed by subject area specialists, panels of scholars, and other appropriate individuals. Awards are made by the Chairman of the National Endowment for the Humanities after advice from the National Council on the Humanities.

Deadlines:

Jan 12, 2011: for planning and implementation for America's Historical and Cultural Organizations, and for development and production for America's Media Makers. Jan 12, 2011: for planning and implementation for America's Historical and Cultural Organizations, and for development and production for America's Media Makers. Jan. 5, 2011, for Bridging Cultures through Film: International Topics.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately 4 to 6 months.

Appeals:

None, but applicant may reapply.

Renewals:

Renewals may be granted and are processed as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, but usually 6 months to 3 years. Funds must be obligated during the grant period. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required at least annually but no more frequently than quarterly. Final progress reports are due within 90 days after completion or termination of the project. Expenditure reports are due within 90 days after completion or termination of the project. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$17,790,357; FY 10 est \$15,616,000; FY 11 est \$14,750,000 - FY 09 obligations include additional funding provided by NEH Treasury matching grants and by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 09 from \$30,000 to \$1,000,000; \$220,551.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 555 applications were received and 179 grants were made. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Guidelines are available online at <http://www.neh.gov/grants/grants.html> or by request from the National Endowment for the Humanities, Washington, DC 20506. Available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$30.00 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Division of Public Programs, 1100 Pennsylvania Avenue, NW, Room 426, Washington, District of Columbia 20506 Email: publicprograms@neh.gov Phone: (202) 606-8269.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.129 Promotion of the Humanities_Federal/State Partnership; 45.168 Promotion of the Humanities_We the People

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) A non-profit organization received a grant to create a traveling exhibition, a website, a symposium, a catalog, and educational and public programs, together with production of a complementary two-hour documentary film; the film concerns the sites of major events of the life of the Buddha, and the traveling exhibition concerns the art of Buddhist pilgrimages to those sites. 2) A filmmaker received a grant to produce a two-hour documentary exploring the history, culture, and significance of the American whaling industry from 1620 to 1924. 3) A university received a grant to Implement a new core exhibition at the Jane Addams Hull-House Museum to incorporate new scholarship about Hull-House, Jane Addams, and the settlement house movement, and to create opportunities for civic reflection and dialogue. Fiscal Year 2010: 1) A museum received a grant to plan public tours within a thematic framework of the built environment, lived religion and Americanization, and Progressive-Era social activists. 2) A community-based organization received a grant to implement reading and discussion programs for underserved families at libraries in five states, exploring humanities themes through children's literature. 3) A public television station received a grant to produce of the first two one-hour episodes of a six-part television series examining the history of Latino Americans, accompanied by a radio series and a website. Fiscal Year 2011: No current examples available.

CRITERIA FOR SELECTING PROPOSALS:

A successful application will demonstrate national significance or impact, outreach to groups of Americans not often engaged in public humanities projects, collaboration among cultural institutions and organizations as well as other similar or different groups, and use of a broad spectrum of the most effective formats, technologies, and venues for lifelong learning in the humanities. Although every proposal will not be expected to meet all of the criteria outlined above, the Division will give priority to applications that further these objectives. As part of the We the People initiative, the division currently has two special initiatives: Family and Youth Programs in American History and America's Historic Places. See program guidelines for details.

45.168 PROMOTION OF THE HUMANITIES_WE THE PEOPLE**FEDERAL AGENCY:**

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq., Public Law 89-209, 20 U.S.C 951.

OBJECTIVES:

For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration projects, consult the guidelines of the NEH Division of Education Programs. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access. For other humanities projects on United States history and culture, consult guidelines in NEH Division of Education Programs, NEH Office of Challenge Grants, NEH Office of Digital Humanities, NEH Division of Preservation and Access, NEH Division of Public Programs, and NEH Division of Research Programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration projects, consult the guidelines of the NEH

Division of Education Programs.. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access.

Applicant Eligibility:

For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration projects, consult the guidelines of the NEH Division of Education Programs. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access.

Beneficiary Eligibility:

For Landmarks of American History: Workshops for School Teachers Workshops and Community College Faculty and for Picturing America School Collaboration projects, consult the guidelines of the NEH Division of Education Programs. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications to NEH must be submitted via Grants.gov. For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration projects, program guidelines are available through the NEH Division of Education Programs; and for. the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access.

Award Procedure:

All applications for NEH grants are reviewed by knowledgeable persons outside the agency, who advise the Endowment about its merits. The NEH staff comments on matters of fact or on significant issues that otherwise be missing from these evaluations and makes recommendations to the National Council on the Humanities. The National Council meets at various times during the year to advise the NEH Chairman on grants. The Chairman takes into account the advice provided by the review process and, as prescribed by law, makes all funding decisions.

Deadlines:

Sep 01, 2010 to Oct 01, 2011 For Landmarks of American History and Culture, Workshops for School Teachers, and Workshops for Community College Faculty and for Picturing America School Collaboration projects, see NEH Division of Education Program for deadlines; for the National Digital Newspaper Program, see NEH Division of Preservation and Access for deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Approximately four - seven months.

Appeals:

Applicant may request written reasons for rejection and reapply with revised proposal to a subsequent application deadline.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Full-time or part-time activities for periods ranging from eighteen to thirty-six months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration, consult the guidelines of the NEH Division of Education Programs. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$3,543,895; FY 10 est not reported.; FY 11 est not reported. - FY 09 \$3,543,895 for Picturing America excludes We the People funding awarded through other NEH program divisions. FY 10 and FY 11 estimated funding not separately identifiable; funds are awarded through other NEH program divisions.

Range and Average of Financial Assistance:

For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration projects consult the guidelines of the NEH Division of Education Programs. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, We the People, 1100 Pennsylvania Avenue, Room 402, Washington, District of Columbia 20506 Email: wethepeople@neh.gov Phone: (202) 606-8400.

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.129 Promotion of the Humanities_Federal/State Partnership; 45.130 Promotion of the Humanities_Challenge Grants; 45.149 Promotion of the Humanities_Division of Preservation and Access; 45.162 Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development; 45.164 Promotion of the Humanities_Public Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: For Landmarks of American History and Culture: Workshops for School Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration projects, consult the guidelines of the NEH Division of Education Programs. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access. For other humanities projects on United States history and culture, consult guidelines in NEH Division of Education Programs, NEH Office of Challenge Grants, NEH Office of Digital Humanities, NEH Division of Preservation and Access, NEH Division of Public Programs, and NEH Division of Research Programs. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

For Landmarks of American History and Culture: Workshops for School

Teachers and Workshops for Community College Faculty and for Picturing America School Collaboration projects, consult the guidelines of the NEH Division of Education Programs. For the National Digital Newspaper Program, consult the guidelines of the NEH Division of Preservation and Access.

45.169 PROMOTION OF THE HUMANITIES OFFICE OF DIGITAL HUMANITIES

FEDERAL AGENCY:

National Endowment for the Humanities

AUTHORIZATION:

National Foundation on the Arts and the Humanities Act of 1965, as amended, Public Law 89-209, 20 U.S.C. 951 et seq.

OBJECTIVES:

To support innovative humanities projects that utilize or study the impact of digital technology for research, preservation, access, education, and public programming.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants support large-scale training institutes or seminars on advanced topics in the digital humanities that explore new technology-driven methodologies; innovative start-up projects that are in the first phases of planning, brainstorming, and creating prototypes that explore the use or impact of digital technology for the humanities; projects that explore and enrich humanities materials using digital technology, thereby enhancing teaching, research, and public access. Expenses may include salaries and wages, consultant and other contract services, supplies and limited equipment purchases, travel, and per diem.

Applicant Eligibility:

U.S. nonprofit organizations or institutions; state and local governmental agencies and Native American tribal organizations; and U.S. citizens or foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application.

Beneficiary Eligibility:

U.S. nonprofit organizations or institutions; state and local governmental agencies and Native American tribal organizations; and U.S. citizens or foreign nationals who have been living in the United States or its jurisdictions for at least the three years immediately prior to the time of application.

Credentials/Documentation:

Costs will be determined in accordance with OMB A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Prior to submitting a proposal, applicants are encouraged to contact program officers who can offer advice about preparing the proposal, and review draft proposals. These comments are not part of the formal review process and have no bearing on the final outcome of the proposal, but applicants in other programs have found them helpful in strengthening their applications. Program staff recommend that draft proposals be submitted six weeks before the deadline. Time restraints may prevent staff from reviewing draft proposals submitted after that date. Draft proposals may be submitted by E-mail attachment (odh@neh.gov). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for this program must be submitted via Grants.Gov. Before using Grants.gov for the first time, each organization must register with the Web site to create an institutional profile.

Award Procedure:

Knowledgeable persons outside NEH will read each application and advise the agency about its merits. The Endowment's staff comments on matters of fact or on significant issues that otherwise would be missing from these reviews, then

makes recommendations to the National Council on the Humanities. The National Council meets at various times during the year to advise the NEH chairman on grants. The chairman takes into account the advice provided by the review process and, by law, makes all funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will be notified by mail within five months after the submission of the application.

Appeals:

Applicant may request written reasons for rejection and reapply with revised proposal to a subsequent application deadline.

Renewals:

Renewal grants are processed and awarded in competition with and in the same manner as new applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically 6 to 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. A Federal Cash Transactions Report will be due within 30 days of the end of each calendar quarter. A final Financial Status Report will be due within 90 days after the completion date of the award period. Final performance reports will be required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by NEH and other Federal officials.

Records:

Documentation of expenditures and other fiscal records must be retained for 3 years following the submission of the final expenditure report.

Account Identification:

59-0200-0-1-503.

Obligations:

(Project Grants) FY 09 \$3,193,657; FY 10 est \$4,866,000; FY 11 est \$4,000,000 - FY 09 obligations include additional funding provided by We the People. See 45.168 PROMOTION OF THE HUMANITIES-WE THE PEOPLE.

Range and Average of Financial Assistance:

FY 09 from \$5000 to \$250000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 319 applications were submitted and 50 grants were awarded. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1100 and 1105. Available from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402, is the Endowment's official publication, "Humanities" by subscription (6 issues annually, \$24.00 domestic, \$33.60 foreign).

Regional or Local Office:

None.

Headquarters Office:

National Endowment for the Humanities, Office of Digital Humanities 1100
 Pennsylvania Avenue, NW
 Room 402, Washington, District of Columbia 20506 Email:
 officeofdigitalhumanities@neh.gov Phone: 202-606-8400

Website Address:

<http://www.neh.gov>.

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Office of Digital Humanities maintains a Library of Funded Projects (<http://go.usa.gov/cZH>) which provides abstracts for all funded projects and links to the public white papers from completed projects. Digital Humanities Start-Up Grants range across humanities disciplines. Examples of Digital Humanities Start-Up Grants include Murder at Harvard Mobile, an audio/video walking tour for mobile phones about a famous historical trial in the Boston area; Virtual Vault, which developed methods for representing 3-dimensional historic artifacts online; The Crowded Page, in which literary scholars map the social networks of historic literary figures; and Main Street, Carolina, where archivists, historians, and librarians worked together to use historical maps and mapping technology to understand local history. Funded projects from the Institutes for Advanced Topics in the Digital Humanities program include training humanities scholars and specialists in advanced uses of the Text Encoding Initiative XML standard for historic and literary documents; in the use of social network analysis to understand relationships between people of historic significance; and in the use of new models for humanities academic research, publishing, and knowledge distribution. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

The criteria used to determine funding priorities include the (1) intellectual significance of the long-term project, including its potential to enhance research, teaching, and learning in the humanities; the likelihood that it will stimulate new research or approaches to the humanities or use new digital technologies to communicate humanities scholarship to broad audiences; its relationship to larger themes in the humanities; and the significance of the material on which the project is based; (2) the appropriateness of the proposed methods; the appropriateness of the technology employed in the project; the feasibility of the work plan; (3) qualifications, expertise, and levels of commitment of the project director and key project staff or contributors; (4) promise of quality, usefulness to the targeted audience, and/or impact on scholarship of the long-term project; soundness of the dissemination plans, including benefit to the audience identified in the proposal and the strength of the case for employing print, microform, digital format, or a combination of media; (5) quality of the assessment and evaluation of the activities, and the potential contribution of this evaluation to the realization of the long-term goals; and (6) potential for success, including the likelihood that the work proposed will be completed within the projected time frame; where appropriate, the project's previous record of success; and the reasonableness of the proposed budget in relation to anticipated results.

NATIONAL LABOR RELATIONS BOARD

46.001 LABOR-MANAGEMENT RELATIONS

(NLRB)

FEDERAL AGENCY:

National Labor Relations Board

AUTHORIZATION:

Labor-Management Relations Act of 1947, as amended, Public Law 86-257, 29 U.S.C. 141 et seq.; Public Law 91-375, 39 U.S.C. 1201-1209; Public Law 93-95, 29 U.S.C. 186; Public Law 93-360, 29 U.S.C. 152, 158, 168, 169, 171, 183; Public Law 96-245, 29 U.S.C. 161 (4); Public Law 96-593; Public Law 97-375, 29 U.S.C. 153(c).

OBJECTIVES:

To avoid or substantially minimize industrial strife affecting interstate

commerce by providing orderly and peaceful procedures to protect the rights of employers, employees, labor organizations, and the general public, and to prevent unlawful interference with these rights.

TYPES OF ASSISTANCE:

INVESTIGATION OF COMPLAINTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

(1) Determines and implements, through secret-ballot elections, the free democratic choice by employees as to whether they wish to be represented by a union in dealing with their employer and, if so, by which union; and (2) prevents and remedies unlawful acts, called unfair labor practices, by either employers or unions or both. NLRB may seek injunctive relief where a labor dispute results in violence, disruption of employment, or disruption of business relations, potentially in violation of the Labor Management Relations Act. The NLRB does not initiate actions or investigations on its own volition. NLRB processes start only when a covered individual, organization, or employer files an unfair labor practice charge or a petition for an election.

Applicant Eligibility:

Any covered employer, employee, labor organization, or other person who believes a violation of the Labor Management Relations Act has occurred, or who desires the Board to determine whether a labor organization is to be the choice of employees for purposes of representation, may receive information or assistance. The Act limits the term "employee" to exclude: agricultural laborers; domestic workers; individuals employed by spouse or parent; independent contractors; supervisors (as defined by the Act) and individuals employed by an employer subject to the Railway Labor Act or employed by any person who is not an employer as defined by the Act. The United States Government, State governments, political subdivisions of U.S. and State governments, government corporations (except the U.S. Postal Service), Federal Reserve Banks and employers subject to the Railway Labor Act are ineligible employers.

Beneficiary Eligibility:

The covered employer, employee, or the labor organization will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Charges of unfair labor practices and petitions for determination of representatives are the two principal forms for the purpose of initiating agency action. Such charges and petitions shall be in writing and signed, and shall be sworn to and filed with the Regional Director for the region in which the unfair labor practice allegedly has occurred or in which the proposed or actual bargaining unit exists.

Award Procedure:

Not applicable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Charges: If the Regional Director refuses to issue an unfair labor practice complaint, an appeal may be filed with the General Counsel in Washington, DC, within 10 days. Petitions: If the Regional Director dismisses the representation petition, an appeal may be filed with the Board in Washington, DC, within 10 days.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
 Matching requirements are not applicable to this program.
 MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The NLRB does not award monetary assistance. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

63-0100-0-1-505.

Obligations:

(Salaries) FY 09 \$263; FY 10 est \$283; FY 11 est \$287

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Basic Guide to the National Labor Relations Act" (s/n 031-000-00352-1) \$4.75 (available from the Superintendent of Documents, Government Printing Office, Washington, DC 20402). "Your Government Conducts an Election for You on the Job," also in Spanish, is available from NLRB Headquarters Office. These publications are available on the NLRB Web Site.

Regional or Local Office:

See Regional Agency Offices. Contact should be made directly with the appropriate regional office of the National Labor Relations Board listed in Appendix IV of the Catalog.

Headquarters Office:

Kym Heinzmann, 1099 14th Street NW, Washington, District of Columbia 20570 Email: kym.heinzmann@nrlb.gov Phone: (202) 273-3887 Fax: (202) 273-2928.

Website Address:

<http://www.nrlb.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

RAILROAD RETIREMENT BOARD

57.001 SOCIAL INSURANCE FOR RAILROAD WORKERS**FEDERAL AGENCY:**

Railroad Retirement Board

AUTHORIZATION:

Railroad Retirement Act of 1974, Public Law 93-445, 88 Stat. 1305, 45 U.S.C 231; Railroad Unemployment Insurance Act, Public Law 75-722, 52 Stat. 1094, 45 U.S.C 351; Social Security Act of 1935, Public Law 74-271, 49 Stat. 620, 42 U.S.C 7; American Recovery and Reinvestment Act of 2009, Title II, Part A, Section 2006, Public Law 111-005, 45 U.S.C 352; Worker, Homeownership, and Business Assistance Act of 2009, Public Law 111-92, 45 U.S.C 352.

OBJECTIVES:

To pay rail social security level benefits (tier I), benefits based on rail service alone (tier II), vested dual benefits, supplemental annuities, annuities based on total or occupational disability, and sickness and unemployment benefits to

railroad workers and, in some cases, their families to assist with expenses of daily living.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS WITH UNRESTRICTED USE

USES AND USE RESTRICTIONS:

Under the provisions of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, benefits are provided for: (1) workers who retire because of age or disability, including supplemental annuities for career railroad employees; (2) eligible spouses and divorced spouses of retired employees; (3) surviving widows, widowers, divorced spouses, children, and dependent parents of deceased employees; (4) unemployed workers; and (5) workers who are sick or injured. In addition, the Railroad Retirement Board participates in the administration of the Medicare program, which covers railroad retirement beneficiaries on the same basis as other eligible persons. The American Recovery and Reinvestment Act also authorized extended unemployment benefits for certain individuals through December 31, 2009, and the Worker, Homeownership, and Business Assistance Act continued the authorization through December 31, 2010.

Applicant Eligibility:

Under the Railroad Retirement Act, for employee, spouse and survivor benefits the employee must have had 10 or more years of railroad service or, for annuities beginning January 2002 or later, 5 years of railroad service rendered after 1995. For survivors to be eligible for benefits, the employee must also have been insured at death. Under the Railroad Unemployment Insurance Act, an employee must have earned at least \$3,200.00 in calendar year 2008 and \$3,325.00 in calendar year 2009 (counting no more than \$1,280 in calendar year 2008 and \$1,330 in calendar years 2009 and 2010) and, if a new employee, must have worked for a railroad at least 5 months in a calendar (base) year to be a qualified employee in the applicable benefit year.

Beneficiary Eligibility:

Individuals, families, pension recipients.

Credentials/Documentation:

Proof of age must be provided for retirement benefits. For spouse and survivor benefits, proof of marriage and familial relationship is required. Medical evidence is necessary for disability and sickness benefits. Registration is required in order to receive unemployment benefits. Other proofs, such as military service, must also be submitted as required by law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for retirement, disability, survivor, unemployment or sickness benefits should be made to any office of the Railroad Retirement Board. Individuals can call (1-877) 772-5772 for the location of the nearest office. Application for unemployment benefits can also be made on-line see www.rrb.gov.

Award Procedure:

The Office of Programs makes awards under the Railroad Retirement Act and the Railroad Unemployment Insurance Act. After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies depending upon complexity of claim.

Appeals:

From 30 to 60 days. Initial appeals are made to the agency's Reconsideration Section, with subsequent appeals to the Bureau of Hearings and Appeals, the Board and the U.S. Court of Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Benefits are paid on a one-time or recurring basis as long as eligibility is maintained. The death of the recipient generally terminates payment of benefits. See the following for information on how assistance is awarded/released: Section 093 (ante) provides information on the award process, with payments released on a monthly, periodic or lump sum basis.

Reports:

Any change in address or eligibility requirements (such as the death of a recipient, work status, excess earnings, marital/family status, medical condition or school attendance) should be reported to the Railroad Retirement Board when it occurs. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

60-0111-0-1-601 - Dual Benefits Payments Account; 60-8010-0-7-601 - Social Security Equivalent Benefit Account; 60-0114-0-1-603 - Railroad Unemployment Insurance Extended Benefit Payments, Recovery Act; 60-0117-0-1-603 - Railroad Unemployment Insurance Extended Benefit Payments; 60-8011-0-7-601 - Railroad Retirement Account; 60-8051-0-7-603 - Railroad Unemployment Insurance Account.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$10,708,000,000; FY 10 est \$10,947,000,000; FY 11 est \$11,092,000,000 - \$10 million in 2009 and \$1 million in 2010 are attributable to RUIA portion of the Recovery Act, with \$23 million in 2010 and \$20 million in 2011 attributable to the RUIA portion of the Workforce, Homeownership and Business Assistance Act.

Range and Average of Financial Assistance:

(1) Employee age annuities-monthly maximum \$4,220, average \$2,168; (2) employee disability-monthly maximum \$4,190, average \$3,216; (3) employee supplemental annuities-monthly maximum \$70, average \$42; (4) married spouse benefits-monthly maximum \$2,016, average \$820; (5) widows and widowers-monthly maximum \$4,036, average \$1,312; (6) widowed mothers and fathers-monthly maximum \$3,562, average \$1,623; (7) children-monthly maximum \$2,345, average \$939; (8) unemployment and sickness-weekly maximum for benefit year 2010 to 2011 \$330, expected average \$330.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: About 589,000 individuals received benefits under the Railroad Retirement Act and some 40,000 received unemployment and sickness benefits. Fiscal Year 2010: An estimated 584,000 individuals should receive benefits under the Railroad Retirement Act and 40,000 should receive unemployment and sickness benefits. Fiscal Year 2011: An estimated 580,000 individuals should receive benefits under the Railroad Retirement Act and 32,000 should receive unemployment and sickness benefits.

REGULATIONS, GUIDELINES, AND LITERATURE:

Benefits paid under the Railroad Retirement Act and the Railroad Unemployment Insurance Act are covered in Chapter 20 of the Code of Federal Regulations, Parts 200 through 350. Information on benefits is also available in press releases and various publications. They can be obtained free of charge at any Railroad Retirement Board office and on the agency web site (www.rrb.gov). Information on extended unemployment benefits is also available at the Recovery Act web site (www.recovery.gov).

Regional or Local Office:

See Regional Agency Offices. The Railroad Retirement Board has 53 local

offices around the country. To contact or obtain the address for the nearest office, call (1-877) 772-5772.

Headquarters Office:

Railroad Retirement Board 844 North Rush Street, Chicago, Illinois 60611-2092 Email: opa@rrb.gov Phone: (312) 751-4777 Fax: (312) 751-7154

Website Address:

<http://www.rrb.gov>.

RELATED PROGRAMS:

17.225 Unemployment Insurance; 57.005 Economic Recovery Payments; 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance; 96.002 Social Security_Retirement Insurance; 96.004 Social Security_Survivors Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

57.005 ECONOMIC RECOVERY PAYMENTS**FEDERAL AGENCY:**

Railroad Retirement Board

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Railroad Retirement Act of 1974, as amended, Public Law 93-445, 45 U.S.C. 231 et seq.

OBJECTIVES:

To make one-time payments to eligible beneficiaries of Railroad Retirement benefits, most of whom subsist on a fixed income, in order to assist with living expenses and contribute to the nations economic recovery.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

A one-time payment of \$250 will be paid to eligible recipients of benefits under the Railroad Retirement Act. There are no restrictions on the use of the payment. If an individual has a representative payee acting in a fiduciary capacity, that payee is required to use the payment for the benefit of that individual. The American Recovery and Reinvestment Act also authorized such payments for certain recipients of Social Security and Veterans benefits, but an individual may not receive more than one \$250 payment. The amount of the payment may be offset by the Department of the Treasury to collect delinquent child support and debts owed to State and Federal agencies.

Applicant Eligibility:

Specialized group (e.g. health professionals, students, veterans)-Income Security/Social Service/Welfare.

Beneficiary Eligibility:

Individual/Family,Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

In order to receive the one-time payment, an individual must have been entitled to the underlying Railroad Retirement benefit during November 2008, December 2008, or January 2009. Proof of age must be provided for retirement benefits. For spouse and survivor benefits, proof of marriage and familial relationship is required. Medical evidence is necessary for disability benefits. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No application is necessary for the one-time payment. The Railroad Retirement

Board will certify the individuals entitled to the one-time payment and provide the Department of the Treasury with the information needed for disbursement. Application for the underlying Railroad Retirement benefits can be made at any office of the Railroad Retirement Board. Individuals can call 1-877-772-5772 for the location of the nearest office.

Award Procedure:

The Department of the Treasury will make the payments to individuals certified as eligible by the Railroad Retirement Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Individuals who did not receive the payment because recurring benefits were suspended for a reason other than death receive payments as part of periodic catch-up processing runs.

Appeals:

Individuals who are eligible for a one-time payment but have not received it should contact the Railroad Retirement Board at 1-877-772-5772. In terms of eligibility determinations for the underlying Railroad Retirement benefits, initial appeals are made to the agency's Reconsideration Section, with subsequent appeals to the Bureau of Hearings and Appeals, the Board and the U.S. Court of Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

60-0115-0-1-601 - Economic Recovery Payments.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$129,540,000; FY 10 est \$350,000; FY 11 est \$0

Range and Average of Financial Assistance:

Eligible Railroad Retirement beneficiaries will each receive a one-time payment of \$250.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Payments issued to 518,162 individuals. Fiscal Year 2010: About 1,400 individuals will receive payments. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Code of Federal Regulations, Parts 200 through 295, covers benefits paid under the Railroad Retirement Act. Information on Railroad Retirement benefits and the one-time payment is also available in press releases, similar documents and various publications. They can be obtained free of charge at any Railroad Retirement Board office and on the agency web site (www.rrb.gov). Information on the one-time payment is also available at the Recovery Act web site (www.recovery.gov).

Regional or Local Office:

See Regional Agency Offices. The Railroad Retirement Board has 53 local offices around the country. To contact or obtain the address for the nearest office, call 1-877-772-5772.

Headquarters Office:

Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092 Email: opa@rrb.gov Phone: (312) 751-4777.

Website Address:

<http://www.rrb.gov>

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

SMALL BUSINESS ADMINISTRATION

59.058 FEDERAL AND STATE TECHNOLOGY PARTNERSHIP PROGRAM

Federal and State Technology Partnership Program, FAST

FEDERAL AGENCY:

Small Business Administration

AUTHORIZATION:

Small Business Act, Section 34, Public Law 111-117, 15 U.S.C 657d.

OBJECTIVES:

The purpose of the FAST program is to strengthen the technological competitiveness of small business concerns in the U.S.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

1) Technology research and development by small business concerns; 2) Technology transfer from university research to technology-based small business concerns; 3) Technology deployment and diffusion benefiting small business concerns; 4) The technological capabilities of small business concerns through the establishment or operation of consortia comprised of entities, organizations, or individuals including: (i) State and local development agencies and entities; (ii) Representatives of technology-based small business concerns; (iii) Industries and emerging companies; (iv) Universities; and (v) Small business development centers; 5) Outreach, financial support and technical assistance to technology-based small business concerns participating in or interested in participating in an SBIR program, including initiatives: (i) To make grants or loans to companies to pay a portion or all of the cost of developing SBIR proposals; (ii) To establish or operate a mentoring network within the FAST Program to provide business advice and counseling that will assist small business concerns that have been identified by FAST Program participants, program managers of participating SBIR agencies, the Administration, other entities that are knowledgeable about the SBIR and STTR programs as good candidates for the SBIR and STTR Programs, and that would benefit from mentoring, in accordance with section 35 of the Small Business Act (15 USC 657c); (iii) To create or participate in a training program for individuals providing SBIR outreach and assistance at the state and local levels; and (iv) To encourage the commercialization of technology developed through SBIR program funding. Not more than one proposal may be submitted for inclusion in the FAST program to provide services in any one State in any one fiscal year, proposals and applications for assistance shall be in such form and subject to such procedures as the Administrator shall establish, awards and cooperative agreements shall be made or entered into, as applicable, on a competitive basis, the awardees have a one year period of performance, there is a matching non-Federal share of the cost of the activity.

Applicant Eligibility:

Not applicable.

Beneficiary Eligibility:

Not applicable.

Credentials/Documentation:

Not more than one proposal may be submitted for inclusion in the FAST program to provide services in any one State in any one fiscal year, proposals and applications for assistance shall be in such form and subject to such

procedures as the Administrator shall establish, awards and cooperative agreements shall be made or entered into, as applicable, on a competitive basis, the awardees have a one year period of performance, there is a matching non-Federal share of the cost of the activity. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Complete proposals are submitted, reviewed and evaluated from a cost and technical perspective, based on the rating of these proposals by a panel of technical experts awards are granted.

Deadlines:

Mar 17, 2010 to May 03, 2012: Tentative date. Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Three tiers ranging from 50 percent to 100 percent. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding for program is one year funding as must be obligated by end of fiscal year. See the following for information on how assistance is awarded/released: Financial assistance is received following performance of certain activities.

Reports:

Quarterly. Cash reports are not applicable. Quarterly. Expenditure reports are not applicable. Quarterly.

Audits:

Not Applicable.

Records:

Quarterly reports referenced above must be submitted to SBA and these reports must be retained by the recipient for a period of time as identified by SBA.

Account Identification:

73-0100-0-1-376 - Within Salaries and Expenses appropriation.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$2,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

No more than 20 awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcement expected to be published in Grants.gov on March 17, 2010.

Regional or Local Office:

None.

Headquarters Office:

SBA Executive Secretariat, 409 3rd. Street, SW, Washington, District of Columbia 20416 Email: SBAExecSec@sba.gov Phone: (1-800) 827-5722.

Website Address:

<http://www.sba.gov/sbir>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be identified in March 17, 2010 notice.

DEPARTMENT OF VETERANS AFFAIRS

64.027 POST-9/11 VETERANS EDUCATIONAL ASSISTANCE

Post 9/11 GI Bill - Chapter 33

FEDERAL AGENCY:

Department of Veterans Affairs

AUTHORIZATION:

Post-9/11 Veterans Educational Assistance Act of 2008, 38 U.S.C Chapter 33.

OBJECTIVES:

To help servicepersons adjust to civilian life after separation from military service, assist in the recruitment and retention of highly qualified personnel in the active and reserve components in the Armed Forces by providing education benefits, and to provide educational opportunities to the dependents of certain service members and veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Participants may pursue approved programs of education offered by an institution of higher learning (IHL). Participants may also receive reimbursement for an approved licensing or certification test.

Applicant Eligibility:

What follows is not a complete list of eligibility requirements. For more information on the latest changes to the Post-9/11 GI Bill go to the VA web-site address listed below.

Individuals who entered active duty after September 10, 2001 may be eligible for the Post-9/11 GI Bill. Individuals can use the Post-9/11 GI Bill after serving 90 days on active duty (excluding entry level and skill training). Only periods of active duty under title 10 will be used to establish eligibility for the Post 9/11 GI Bill. A high school diploma or equivalency certificate is always required for eligibility. Individuals who are eligible for the Montgomery GI Bill Active Duty (chapter 30), the Montgomery GI Bill Selected Reserve (chapter 1606), or the Reserve Educational Assistance Program (REAP) will have to make an irrevocable election to relinquish eligibility under one of those benefit programs to establish eligibility under the Post-9/11 GI Bill. The dependent children of a person who died in the line of duty while serving as a member of the Armed Forces may be eligible to use benefits under the Fry Scholarship provision of the Post-9/11 GI Bill. The spouse and/or child(ren) of a veteran or service member may be eligible for the Post 9/11 GI Bill if the veteran or service member transfers entitlement to those dependents. Eligibility to transfer entitlement to dependents is determined by the Department of Defense.

Beneficiary Eligibility:

As stated above under Applicant Eligibility.

Credentials/Documentation:

Military discharge certificate (DD 214) is sometimes required; enrollment certification by institution offering training is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Service members and veterans may apply for Post-9/11 GI Bill benefits by completing and submitting the Application for VA Education Benefits (VA Form 22-1990).

Dependent children may apply for Fry Scholarship provision of the Post-9/11 GI Bill benefits by completing and submitting the Dependents Application for Education Benefits (VA Form 22-5490). Dependent spouses and children may apply to use transferred benefits by completing and submitting the Application for Family Member to use Transferred Benefits (VA Form 22-1990e).

Applications may be obtained and completed online via the GI Bill website at www.GIBill.va.gov. Applications are also available at all VA Regional Offices.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. A least 76.97 percent of applications were processed within 90 days or less in fiscal year 2009.

Appeals:

From 90 to 120 days. Appeals are available through a special board; average response time was 116.9 days in 2009.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Lump sum payments for tuition and fees and the Yellow Ribbon Program are issued directly to the IHL on behalf of the student. Students generally receive monthly payments of the housing allowance and a lump sum payment of the books and supplies stipend. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

36-0137-0-1-702.

Obligations:

(Direct Payments for Specified Use) FY 09 \$162,053,000; FY 10 est \$7,363,987,000; FY 11 est \$8,618,638,000

Range and Average of Financial Assistance:

The amount of educational assistance an individual is entitled to varies based on the amount of time served on active duty, the amount of tuition and fees charged, and the Basic Allowance for Housing payable for an E-5 with dependents in the zip code where the student attends school. In most cases, the benefits last for 36 (48 months when combined with other VA education benefits) calendar months of full-time training. The work-study allowance is limited to the higher of the Federal minimum wage or the State minimum wage where the work is performed. Tutorial assistance may not exceed \$1200 and reimbursement for a licensing or certification test may not exceed \$2000. The rural benefit is a one-time payment of \$500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For fiscal year 2009, there were 34,393 trained. Fiscal Year 2010: The student estimates for fiscal years 2010 and 2011 are 461,676 and 510,149, respectively. Fiscal Year 2011: The student estimates for fiscal years 2010 and 2011 are 461,676 and 510,149, respectively.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.9500-21.9770

Regional or Local Office:

See Regional Agency Offices. 1-888-GI-BILL-1 (1-888-442-4551).

Headquarters Office:

Michelle Staton, Department of Veterans Affairs, Central Office, Washington, District of Columbia 20420 Email: michelle.staton@va.gov Phone: (202) 461-9800.

Website Address:

<http://www.GIBILL.va.gov>.

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.028 POST-9/11 VETERANS EDUCATIONAL ASSISTANCE

Post-9/11 GI Bill - Chapter 33

FEDERAL AGENCY:

Department of Veterans Affairs

AUTHORIZATION:

Supplemental Appropriations Act, 2008, Public Law 110-252, 38 U.S.C 33.

OBJECTIVES:

To help servicepersons adjust to civilian life after separation from military service, assist in the recruitment and retention of highly qualified personnel in the active and reserve components in the Armed Forces by providing education benefits, and to provide educational opportunities to the dependents of certain service members and veterans.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Participants may pursue approved programs of education offered by an institution of higher learning (IHL). Participants may also receive reimbursement for an approved licensing or certification test. Participants may pursue approved programs of education offered by an institution of higher learning (IHL). Participants may also receive reimbursement for an approved licensing or certification test.

Applicant Eligibility:

What follows is not a complete list of eligibility requirements. For more information on the latest changes to the Post-9/11 GI Bill go to the VA web-site address listed below.

Individuals who entered active duty after September 10, 2001 may be eligible for the Post-9/11 GI Bill. Individuals can use the Post-9/11 GI Bill after serving 90 days on active duty (excluding entry level and skill training). Only periods of active duty under title 10 will be used to establish eligibility for the Post 9/11 GI Bill. A high school diploma or equivalency certificate is always required for eligibility. Individuals who are eligible for the Montgomery GI Bill Active Duty (chapter 30), the Montgomery GI Bill Selected Reserve (chapter 1606), or the Reserve Educational Assistance Program (REAP) will have to make an irrevocable election to relinquish eligibility under one of those benefit programs to establish eligibility under the Post-9/11 GI Bill. The dependent children of a person who died in the line of duty while serving as a member of the Armed Forces may be eligible to use benefits under the Fry Scholarship provision of the Post-9/11 GI Bill. The spouse and/or child(ren) of a veteran or service member may be eligible for the Post 9/11 GI Bill if the veteran or service member transfers entitlement to those dependents. Eligibility to transfer entitlement to dependents is determined by the Department of Defense.

Beneficiary Eligibility:

As stated above under Applicant Eligibility.

Credentials/Documentation:

Military discharge certificate (DD 214) is sometimes required; enrollment certification by institution offering training is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Service members and veterans may apply for Post-9/11 GI Bill benefits by completing and submitting the Application for VA Education Benefits (VA Form 22-1990).

Dependent children may apply for Fry Scholarship provision of the Post-9/11 GI Bill benefits by completing and submitting the Dependents Application for Education Benefits (VA Form 22-5490). Dependent spouses and children may apply to use transferred benefits by completing and submitting the Application for Family Member to use Transferred Benefits (VA Form 22-1990e).

Applications may be obtained and completed online via the GI Bill website at www.GIBill.va.gov. Applications are also available at all VA Regional Offices.

Award Procedure:

Awards are authorized at the designated VA Regional Processing Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A least 76.97 percent of applications were processed within 90 days or less in fiscal year 2009.

Appeals:

Appeals are available through a special board; average response time was 116.9 days in 2009.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Lump sum payments for tuition and fees and the Yellow Ribbon Program are issued directly to the IHL on behalf of the student. Students generally receive monthly payments of the housing allowance and a lump sum payment of the books and supplies stipend. See the following for information on how assistance is awarded/released: Lump sum payments for tuition and fees and the Yellow Ribbon Program are issued directly to the IHL on behalf of the student. Students generally receive monthly payments of the housing allowance and a lump sum payment of the books and supplies stipend.

Reports:

Educational assistance will not be paid until VA receives certification of enrollment from the IHL. Beneficiaries are required to notify the IHL and VA of any changes to enrollment. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

36-0137-0-1-702 - Post-9/11 Veterans Educational Assistance.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 09 \$162,053,000; FY 10 est \$7,363,987,000; FY 11 est \$8,618,638,000

Range and Average of Financial Assistance:

The amount of educational assistance an individual is entitled to varies based on the amount of time served on active duty, the amount of tuition and fees charged, and the Basic Allowance for Housing payable for an E-5 with dependents in the zip code where the student attends school. In most cases, the benefits last for 36 (48 months when combined with other VA education benefits) calendar months of full-time training. The work-study allowance is limited to the higher of the Federal minimum wage or the State minimum wage where the work is performed. Tutorial assistance may not exceed \$1200 and reimbursement for a licensing or certification test may not exceed \$2000. The rural benefit is a one-time payment of \$500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 34,393 trained. Fiscal Year 2010: 461,676 trained. Fiscal Year 2011: 510,149 trained.

REGULATIONS, GUIDELINES, AND LITERATURE:

38 CFR 21.9500-21.9770.

Regional or Local Office:

See Regional Agency Offices. 1-888-GI-BILL-1 (1-888-442-4551).

Headquarters Office:

Michelle Staton, 810 Vermont Ave., NW, Washington, District of Columbia 20420 Email: michelle.staton@va.gov Phone: (202) 461-9800.

Website Address:

<http://www.GIBILL.va.gov>

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance; 64.120 Post-Vietnam Era Veterans' Educational Assistance; 64.124 All-Volunteer Force Educational Assistance; 64.125 Vocational and Educational Counseling for Servicemembers and Veterans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

64.029 PURCHASE CARE PROGRAM

Purchase Care Program

FEDERAL AGENCY:

Department of Veterans Affairs

AUTHORIZATION:

Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 1997, Public Law 104-204, 38 U.S.C 1752-1756.

OBJECTIVES:

The Chief Business Office represents a single accountable authority for development of the administrative processes, policy, regulations, and the directives associated with the delivery of VA health benefit programs. As a principal health benefits administration advisor to the Under Secretary for Health, CBO develops, implements, and supports various aspects of administrative health care issues.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Uses generally cover medically necessary health care for eligible recipients. Restrictions are detailed in the underlying authority for each congressionally authorized program.

Applicant Eligibility:

What follows is not a comprehensive listing of eligibility requirements. For detailed eligibility requirements the USC and CFRs of each program should be consulted.

For Veterans generally eligibility is established by evidence of service by DD Form 214 or through the Veterans Benefits Administration. Benefits provided are based on eligibility as established at 8 levels approved by the VHA Deputy

Under Secretary for Health. For Non Veteran Beneficiaries eligibility is established by adjudication of the Sponsoring Veteran as Permanently and Totally disabled (P&T) (38 CFR 17.52(a)(1)(iii)) and that the Non Veteran Beneficiary is a dependant of the Veteran by the VBA and is eligible for Chapter 35 Benefits.

Beneficiary Eligibility:

As stated above under Applicant Eligibility.

Credentials/Documentation:

Military Discharge Certificate (DD Form 214), VBA Adjudication of disability rating for Veteran beneficiaries, VBA Adjudication of eligibility for Chapter 35 Benefits for Non Veteran Beneficiaries. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Awards are initiated and authorized from the VA Regional Office processing the benefits claim. All subsequent benefit administration refers to the VARO adjudication of eligibility or to the status of the Veteran or Non Veteran Beneficiary as established by the VBA.

Award Procedure:

Veterans initiate benefits eligibility claims through their serving VA Regional Office under the Veterans Benefits Administration. Where there is no disability claim involved the Veterans serving Veterans Administration Medical Center (VHA/VAMC) or Community Based Outreach Clinic (VHA/CBOC) establishes benefits eligibility through the VHA/CBO/Health Eligibility Center. Secondary validation of eligibility is performed for Non Veteran Beneficiaries by the administering Program Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. There are no deadlines for award of eligibility. When adjudication of a disability establishes the disability at a date prior to the date of adjudication each program has in place mechanisms to provide retroactive benefits. For programs requiring additional adjudication beyond that of the VBA ~89% of all applications were approved within 30 days in FY09.

Appeals:

From 90 to 120 days. Appeals are available within each program beginning with local formal appeal, a second appeal process is provided by policy and upon adverse determination of a second appeal the final level of appeal is provided through the Board of Veterans Appeals.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Once eligibility is established the benefit is available for the life of the Veteran Beneficiary. For Non Veteran Beneficiaries where eligibility is based on adjudication as a dependant the benefit is terminated if dependant status is not maintained. The full spectrum of benefits are immediately available upon adjudication of eligibility. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Each program has multiple levels of audits. Audits are conducted at the program level, at the Agency Inspector General level, and annually in accordance with

the Improper Payments Information Act of 2002 (Public Law 107-300).

Records:

Eligibility records are permanent. Records archive is performed according to VA Handbook 6300.1, Chapter 7. Definitions of Records are outlined in Attachment 1 (VA Handbook 6300.1, Chapter 7). Records Handling procedures are outlined in Attachments 1 (VA Handbook 6300.1 chapters 1-7), 2 (VA Privacy Act System of Records) and 3 (Subchapter B of the NARA portion of the Code of Federal Regulations 1220-1238). Records Storage procedures are outlined in Attachments 1 (VA Handbook 6300.1 Chapter 6) and 4 (VA Records Control Schedule, section XXXVIII). Vital Records Program procedures are outlined in Attachment 5 (VA Handbook 6300.2). Freedom of Information Act Implementation, Program Management and Request for Information under FOIA are outlined in Attachment 6&7 (VA Handbooks 6300.3 and 6300.4). Managing a Privacy Act System of Records is outlined in Attachments 7&8 (VA Handbook 6300.4 and VA Handbook 6300.5). Release of Veterans and Dependents Personal Information (Names and Addresses) is outlined in Attachment 9 (VA Handbook 6300.6). Computer Matching Programs are outlined in Attachment 10 (VA Handbook 6300.7). Electronic Records Management is outlined in Attachment 11 (VA Handbook 6301). Procedures for the Dissemination of Government Information are outlined in Attachment 12 (VA Handbook 6360.1). Implementation for the Government Information Locator program is outlined in Attachment 13 (VA Handbook 6360.2).

Account Identification:

36-0152-0-1-741.

Obligations:

(Direct Payments for Specified Use) FY 09 \$3,820,700,161; FY 10 est \$3,836,616,950; FY 11 est \$4,000,000,000

Range and Average of Financial Assistance:

The amount of medical benefits paid for Veterans varies according to the need of the individual. Some eligibles make no claim in a benefit year. Others have claims paid as required. The NonVA Purchase Care Program paid an average of \$4051.00 for each of 920,404 unique patients in FY09.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The NonVA Purchase Care Program provided health care for 920,404 unique patients in FY 09. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

38 U.S.C. 1703 & 38 CFR 17.52 17.56./38 U.S.C. 1728 & 38 CFR 17.120 17.132/38 U.S.C. 1725 & 38 CFR 17.1000-.1008/38 U.S.C. 1781/38 U.S.C. 1724, 38 CFR 17.35, and 17.141
Literature available at <http://vaww1.va.gov/cbo/> or <http://www1.va.gov/cbo/>

Regional or Local Office:

See Regional Agency Offices. David Isaacks david.isaacks@va.gov
Will Beatty Willard.beatty@va.gov 303-370-5061

VHA CBO Field Office
3773 Cherry Creek North Drive, Ste 910
Denver, CO 80209.

Headquarters Office:

Michelle Staton, Department of Veterans Affairs, Chief Business Office (16), 810 Vermont Avenue, NW, Washington, District of Columbia 20420 Email: michelle.staton@va.gov Phone: (303) 370-5061.

Website Address:

<http://vaww1.va.gov/CBO/index.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

ENVIRONMENTAL PROTECTION AGENCY

66.001 AIR POLLUTION CONTROL PROGRAM SUPPORT

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1990, Section 105, Public Law 101-549.

OBJECTIVES:

The objectives of the 105 program are to assist State, Tribal, Municipal, Intermunicipal, and Interstate agencies in planning, developing, establishing, improving, and maintaining adequate programs for the continuing prevention and control of air pollution and/or in the implementation of national primary and secondary air quality standards.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2010: By nature, much of the work occurring in FY 2009, including the implementation of mobile, area and stationary source pollution controls, will continue into FY 2010. However, several new developments will alter the priorities for the program across the country in FY 2010 - the response to the new national ambient air quality standards (NAAQS) for ozone, lead, fine particulates (PM_{2.5}), nitrogen dioxide (NO₂), and sulfur dioxide (SO₂). Recipients will continue to review air quality reports and take appropriate actions dealing with new violating areas with any of the NAAQS; submit re-designation requests including maintenance plans for areas with clean air quality data as appropriate; work with local area stakeholders to support innovative and local initiatives; continue to implement 8-hr ozone State Implementation Plans (SIPs); and submit any outstanding ozone SIP elements (including prior commitments). Recipients will also prepare recommendations on designations for the revised ozone NO₂ and SO₂ NAAQS. For PM_{2.5}, recipients will submit any outstanding PM_{2.5} SIP elements as needed and implement PM_{2.5} plans designed to attain the 1997 NAAQS. They will continue SIP planning for attaining the 2006 PM_{2.5} NAAQS standards. Some states will work with local agencies to implement woodstove change-out programs in areas where change-outs could significantly reduce ambient particle concentrations. Areas may also explore feasibility of changing out existing outdoor wood-fired boilers to significantly reduce PM_{2.5} concentrations. Recipients will also work on monitoring and SIP planning to attain the Lead (Pb) NAAQS. As in FY 2009, for ambient monitoring, all state/local primary quality assurance organizations operate monitors and submit NAAQS pollutant data, Photochemical Assessment Monitoring Stations (PAMS), and quality assurance (QA) data to AQS directly or indirectly through another organization according to schedule in 40 CFR Part 58. Data must also meet quality assurance requirements according to Appendix A to 40 CFR Part 58. These organizations will also submit their required annual (monitoring) network plans by July 1 of 2010. They must also certify their 2008 NAAQS pollutant data in AQS and provide supporting documentation by May 1, 2010 (state/local only, unless tribal work plan requirement). Additionally monitoring organizations will report real time ozone and PM_{2.5} data to AirNOW system for cities required to report the Air Quality Index (AQI) (state/local only); will continue the 5-year-cycle network assessment required by July 1, 2010; (state/local only, unless tribal work plan requirement). Air quality reporting, including particle pollution forecasting, will be expanded to additional cities. States affected by the NO_x SIP Call will continue to contribute a portion of their grant funds to support a centrally-administered emissions budget and trading program as part of their NO_x State Implementation Plan. For regional haze, States and other stakeholders will continue to work with EPA on issues related to submitted regional haze SIPs and will continue to implement Best Available Retrofit Technology (BART) requirements. As in FY 2009, funds for air toxics purposes are provided to: expand existing air toxics monitoring networks operated by State, Tribal, and local agencies in order to better characterize air toxics risks and assess the effectiveness of control strategies. Recipients will collect data for the annual integrated criteria air pollutant (CAP) and hazardous air pollutant (HAP) emissions inventory; implement delegated or approved section 112, 111(d) and 129 standards, as appropriate, for major

sources and area sources; implement delegated residual risk standards; and work with communities to develop and implement local air toxics programs that address outdoor, indoor, and mobile sources with emphasis on areas with potential environmental justice concerns. State and local air pollution control agencies work with EPA to operate the national fine particulate (PM_{2.5}) monitoring network to accurately assess and characterize the nature and extent of fine particulates (PM_{2.5}) across the country. This assessment is necessary to determine the air quality status of an area in relation to the national PM_{2.5} ambient air quality standard. At least three years of quality-assured data are required in order to determine an area's attainment status. The air quality status of an area will determine the nature and complexity of its attainment plan, its associated control strategies, and its implementation schedule. The immediate goals of this multi-year program are: appropriate spatial characterization of PM_{2.5} NAAQS; public reporting of PM_{2.5} in the Air Quality Index; characterization of PM_{2.5} chemical speciation data for long term trends; development and accountability of emission control programs and tracking of regional haze, and limited support of health studies; implementation of NCore CO, SO₂, NO₂/NO_y trace-level monitoring to support characterization of PM precursors; assessment of PM_{2.5} data quality; and procurement and testing of PM_{2.5} filters. Grant funds are used to provide support to eligible state and local air agencies for continued operation of high value federal reference method (FRM) and speciation monitoring sites; additional investments in PM_{2.5} mass and speciation continuous monitoring and associated data management systems for timely reporting of high quality data; and continued investments in precursor gas analyzers, data analyses, and quality assurance activities that will support better understanding of particle formation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for costs specifically incurred in the conduct of a State/Local/Tribal Air Pollution Control Program in accordance with the purposes enumerated in the approved application. These include personnel costs, supplies, equipment, training of personnel, travel, and other necessary expenditures during the approved project period. Funds may not be used for construction of facilities, nor for expenses incurred other than during each approved award period. Grant funds may not be used to subsidize the costs of Title V operating permit programs or to supplant otherwise available recipient resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Municipal, Intermunicipal, State, Federally Recognized Indian Tribe, or Interstate or Intertribal with legal responsibility for appropriate air pollution planning, development, establishment, implementation, and maintenance of Clean Air Act air pollution control activities, including management of grant support for those activities, provided such organization furnishes funds for the current year that are equal to or in excess of its recurrent expenditures for the previous year for its approved section 105 air pollution program. The determination of expenditures is subject to decisions based on provisions of the Clean Air Act and applicable grant regulations. This program is available to each State, territory and possession of the U.S., including the District of Columbia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities (local governments), Intermunicipalities, States, Federally

Recognized Indian Tribes, and Interstate and Intertribal agencies.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control including responsibility for carrying out the implementation plan requirements under 110 of the Clean Air Act; evidence of the availability of nonfederal matching funds; assurance that federal funds do not supplant available recipient funds, evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan; and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the general grant regulations and procedures 40 CFR Part 31 of the Federal Regulations. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussion with the applicable EPA Regional Office is advised for air program grant applications. The standard application forms as furnished by the Environmental Protection Agency and required by OMB Circular No. A-102 must be used for this program. Applications are subject to the State's review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Branch. Application must meet the requirements of the grant regulations and will be reviewed to determine merit and relevancy of the proposed project particularly with regard to the most recently available national program and grant guidance. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Procedures for awards are outlined in General Grant Regulations 40 CFR Part 31. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The Clean Air Act does not prescribe a specific statutory funding formula but does direct that the factors of population, the extent and severity of the air pollution problem, and financial need, be considered in the allocation of available resources by the Agency. The current allocation approach is currently under review for updating by the Agency. EPA is undertaking the review in consultation with eligible recipients. State,

Interstate, and Local programs may receive up to 60 % Federal funding for the total approved program costs. The Grantee must contribute a minimum of 40% as well as meet a maintenance of effort (MOE) requirement. The amount of Federal share of grant costs should be determined by reference to the criteria set forth in Section 105 of the Clean Air Act and in 40 CFR 35.145, 40 CFR 35.146, and 40 CFR 147. Not more than 10 % of the funds available shall be granted for air pollution control programs in any one State. Not less than one-half of one % of the funds are to be made available as a minimum to a State (overall) for application. However, award of these levels of funds will be made only if the agencies within the State meet the requirements of the Act and grant regulations. For Indian Tribes establishing eligibility pursuant to Section 35.573, the Regional Administrator may provide financial assistance in an amount up to 95 % of the approved costs of planning, developing, establishing, or improving an air pollution control program, and up to 95 % of the approved costs of maintaining that program. After 2 years from the date of each Tribe's initial grant award, the Regional Administrator will reduce the maximum federal share to 90 %, as long as the Regional Administrator determines that the Tribes meet certain economic indicators that would provide an objective assessment of the tribe's ability to increase its share. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of award. See the following for information on how assistance is awarded/released: Assistance agreements may be fully funded by a lump sum or funded incrementally (quarterly).

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$176,593,000; FY 10 est \$179,732,000; FY 11 est \$290,007,000

Range and Average of Financial Assistance:

In FY 2010 - From approximately \$71, 471 to \$6,689,317 per recipient; average approximately \$1,545,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, approximately 110 applications were received from State, Local and Tribal agencies to help support their continuing air quality programs were funded. This same level is expected to be received and funded in FY 2010. These programs plan and implement measures to improve, protect, monitor and report air quality in their communities. The plans integrate state and local measures with federal measures - including advances in environmental technologies (e.g., cleaner fuels, vehicle and industrial technology), in addressing and reducing air pollution and in meeting National Ambient Air Quality Standards (NAAQS). The NAAQS are regularly reassessed to assure that public health and welfare is being adequately protected. The most recent designations for ozone occurred in 2004 and 94 of the original 126 ozone areas are now meeting that NAAQS based on data collected during 2006-2008. For PM, the Agency designated 86 areas, with a total population of 35.8 million people, as nonattainment for PM10 in 1992 and 45 of those areas remain in nonattainment. In April 2005, EPA designated 39 areas as nonattainment for the PM2.5 based on air quality data collected during 2001-2003 and 18 of those areas are now meeting the NAAQS based on data collected during 2006-2008. As the new standards for ozone and PM2.5 take affect, updates on in designations and progress in air quality status will be provided. States have achieved widespread attainment of standards for several of the criteria pollutants: CO, SO2, NO2 and Lead. Specifically: for carbon monoxide, EPA originally designated 78 areas, affecting 69.8 million people, as nonattainment for CO in 1992, and all these areas are now in attainment. For sulfur dioxide (SO2), EPA designated 54 areas, with a total population of 9.8 million people, as nonattainment for SO2 in 1992. There are now 9 nonattainment areas remaining but all the areas have monitoring data measuring attainment of the SO2 NAAQS. For nitrogen Dioxide (NO2), there are no designated NO2 nonattainment areas and all areas continue to meet the NAAQS. For the previous lead (Pb) NAAQS, EPA designated 13 areas, with a total population of 1.8 million people as nonattainment for Pb in 1992, and 2 nonattainment areas remain. Areas failing to meet the new lower lead NAAQS have not yet been designated as nonattainment. Fiscal Year 2010: It is anticipated that the same number of applications will be received and funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Air Pollution Control Program Grants, EPA, 40 CFR Part 35 (revised January 9, 2001); Interim Rule published January 4, 1995 (FR V.60 No.2, pp.366-372); General Grant Regulations and Procedures, EPA, 40 CFR Part 31.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

William Houck, Office of Air and Radiation, Office of Program Management Operations,
EPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W.,
Washington, District of Columbia 20460 Email: houck.william@epa.gov
Phone: (202) 564-1349.

Website Address:

<http://www.epa.gov/air/>

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Continuing program grants are provided to State, Local and Tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve ambient air quality standards. These programs cover: planning for attainment of updated national air quality standards including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions modeling; source permitting; certain compliance and enforcement activities related to mobile and non-Title V stationary and area sources; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action compacts and other innovative air pollution reduction or prevention strategies; technical training; risk and data analyses; information management; and program evaluation,

progress reporting and other program operation and maintenance activities. Fiscal Year 2010: Continuing program grants are provided to State, Local and Tribal air pollution control agencies for the purpose of operating programs that plan and implement activities to achieve ambient air quality standards. These programs cover: planning for attainment of updated national air quality standards including fine particulates and ozone; state implementation plan development; ambient monitoring; emission inventories; air and emissions modeling; source permitting; certain compliance and enforcement activities related to mobile and non-Title V stationary and area sources; public outreach and education activities; development and implementation of air quality regulations; implementation of market-based, early action compacts and other innovative air pollution reduction or prevention strategies; technical training; risk and data analyses; information management; and program evaluation, progress reporting and other program operation and maintenance activities. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding air pollution control program assistance grants are provided in the 1990 Clean Air Act, Section 105, and set forth in State and local assistance grant regulations (40 CFR 35). Some of the criteria considered for award include the following: 1) No grant may be awarded unless the program contained in the grant application meets the requirements of Section 35.140 (program purposes and associated regulations) and has been approved by the Regional Administrator. Sections 35.104 and 35.107 describe what an agency needs to do to prepare an adequate application. This usually includes the use of annual program technical and grant guidance from EPA and is generally done in consultation with the EPA Regional Office. 2) No grant may be awarded until the Regional Administrator has consulted with the official designated by the Governor(s) of the State(s) affected by such award pursuant to Section 105(b) of the Clean Air Act. Such consultation should consider the role of the applicant in the enforcement of any applicable implementation plan and confirm that the applicant's program will be generally compatible with the objectives of the applicable implementation plan. 3) The requirements of this Section shall not apply to Indian Tribes that have established eligibility pursuant to Section 35.573 and intertribal agencies made up of such Tribes. 4) No grant may be awarded unless the Regional Administrator has determined that the agency has adequate air pollution control authority and necessary regulations to implement such authority. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.032 STATE INDOOR RADON GRANTS

(SIRG)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Indoor Radon Abatement Act, Section 306, 15 U.S.C 2661.

OBJECTIVES:

Title III of the Toxic Substances Control Act (TSCA), the Indoor Radon Abatement Act (IRAA), Section 306, authorizes EPA to assist States and Federally Recognized Indian Tribes to develop and implement programs to assess and mitigate radon-related lung cancer risk.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2010: In FY 2010 the SIRG program will emphasize radon risk reduction through increased action by consumers, homeowners, real estate professionals, radon services professionals, homebuilders, tribes, non-governmental organizations, and Federal, state and local governments. Projects funded by SIRG should have the following results:

- Building homes to include radon-reducing features,
- Reducing radon in existing homes, and

- Building new schools to include radon-reducing features, and reducing radon in existing schools.

To achieve these outcomes and increase results, the SIRG program aims to: (1) improve the effectiveness of state-local/tribal radon programs; (2) focus on high radon potential areas, especially for new home building, building code adoption, and green building programs; (3) encourage testing and mitigation within residential property transfers; and (4) encourage SIRG recipients to be strategic in their risk reduction efforts and results reporting, e.g., by institutionalizing risk reduction practices and policies, utilizing best practices, and developing better sources of data and information.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The following activities are eligible for funding under SIRG: radon surveys, public information and educational materials, radon control programs, purchase of radon measurement equipment or devices, purchase and maintenance of analytic equipment, training, program overhead and administration, data storage and management, mitigation demonstrations, and toll-free hotlines. Funding for these eligible activities may be used to develop State radon response programs. States may, at the Governor's direction, provide assistance to local governments for public information and educational materials, radon control programs and training. **RESTRICTIONS:** The statute places the following restrictions on the use of Federal funds: 1) SIRG recipients must perform satisfactorily in the preceding budget period to be eligible to receive additional funding; 2) State expenditures for measurement equipment/devices and mitigation demonstrations cannot exceed 50 percent of the grant amount in a budget period; 3) State expenditures for general overhead and program administration cannot exceed 25 percent in a budget period; and 4) SIRG applicants may use grant funds for financial assistance to persons only to the extent that such assistance is related to approved demonstration projects or the purchase and analysis of radon measurement devices.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States (including District of Columbia (DC)), Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, Federally recognized Indian Tribes and Tribal consortia, or any other U.S. Territory or possession.

Beneficiary Eligibility:

State agencies: local, municipal, district, or area wide governments and organizations; U.S. territories or possessions, Federally Recognized Indian Tribes, colleges, universities, multi-state agencies, nonprofit organizations, low-income individuals, homeowners, and the general public.

Credentials/Documentation:

An applicant's application must: 1) describe the seriousness and extent of radon exposure; 2) identify the lead State agency with primary responsibility for the radon program that will receive the grant, with a description of the lead agency's role and responsibilities, and those of any other State agencies, and any municipal, district or area wide organizations involved in the radon program; 3) submit a work plan describing the activities and programs to be funded; 4) provide a budget specifying the Federal and applicant share of the funding for each work plan element. First time applicants must have a 3-year work plan outlining long range program goals and objectives, the tasks necessary to achieve them, the resource requirements including anticipated SIRG applicant funding levels and the desired Federal funding level. Costs are determined in accordance with OMB Circular A-87, "Cost Principles for State, Local and Tribal Governments." OMB Circular No. A-87 applies to this

program.

Preapplication Coordination:

Application assistance is available through Regional radon coordinators and their Regional grants specialist counterparts. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description (TSCA 10(a) only), EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Visit www.epa.gov/iaq/whereyoulive.html for state specific information on the state radon programs and how to contact them. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency and the appropriate EPA Regional Office listed in Appendix IV of the Catalog, or through the Grants and Interagency Agreements Management Division, 3903F, Washington, DC 20460. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project or program. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 days.

Appeals:

Disputes relating to non-competitive matters will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals of awards will be conducted in accordance with the provisions of Section 306 and the SIRG Guidance (SIRGG).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. EPA may provide States with up to 60% of allowable costs of approved SIRG Program activities. TSCA Section 306 requires a progressive match for States and Tribes; 25% in the first year; 40% in the second year; and, 50% in the third and subsequent years of participation in the SIRG program. However, in the FY 2006 appropriation, Congress permanently reduced the recipient match requirement from a maximum of 50% to 40% for participants with three or more years in the program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards funded made under TSCA Section 306, are normally for a period of 12-36 months. EPA negotiates project periods appropriate to the specific project, and encourages three-year grant periods. However, EPA project periods

are limited to a maximum of five years. Grants and cooperative agreements may be fully funded or incrementally (partially) funded. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$8,074,000; FY 10 est \$8,074,000; FY 11 est \$8,074,000

Range and Average of Financial Assistance:

Federal funding can range from \$15,000 to a maximum of 10% of the annual appropriation amount per State applicant and \$10,000 to \$80,000 per Tribal applicant. The average Federal funding per applicant is \$150,000 for States and \$30,000 per Tribe. Minimum awards are \$10,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, the SIRG program awarded approximately 44 assistance agreements (40 states, 4 tribes) totaling \$8,074,000. In calendar 2008-2009 an estimated 137,700 additional homes included radon-reducing features (latest data; 65,700 new homes (2008) and 72,000 existing homes (2009)). Much of this progress resulted from the work of coalitions and partnerships comprised of local governments, national and local nonprofit organizations, industry, advocates and other leaders. The SIRG program continues to stress the importance of achieving and measuring results in the number of homes, schools, and other buildings tested and mitigated for radon; in the number of new homes built with radon-reducing features, and existing homes mitigated within real estate transactions (disclosure and property condition). Recipients are required to include the SIRG results measures template in their work plans, and projects with clear risk reduction benefits are to be preferred over projects projected to have lesser risk reducing results. Persons and organizations looking for ways to participate in the national radon risk-reduction effort should visit RadonLeaders.org. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current

Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA regulations that govern State activities under the SIRG Program are contained in the following Parts of 40 CFR: (1) Part 4, "Implementation of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1980"; (2) Part 7, "Nondiscrimination in Programs Receiving Federal Assistance from the Environmental Protection Agency"; (3) Part 29, "Intergovernmental Review of Environmental Protection Agency Programs and Activities"; (4) Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"; (5) Part 32, "Debarment and Suspension under EPA Assistance Programs", and (6) Part 35; "State and Local Assistance". Applicable OMB Circulars include (1) Circular A- 87, "Cost Principles for State and Local Governments," and (2) Circular A-102, "Uniform Requirements for Assistance to State and Local Governments". Other materials applicable to SIRG include: (1) Administrative Guidance for the State Indoor Radon Grants Program under Section 306, Indoor Radon Abatement Act (January 2005); (2) Indoor Radon and Radon Decay Product Measurement Device Protocols (EPA 402-R-92-004); and, 3) Protocols for Radon and Radon Decay Products Measurements in Homes (EPA 420-R-93-003). Visit <http://www.epa.gov/radon/sirgprogram.html> for more information.

Regional or Local Office:

See Regional Agency Offices. For questions of a programmatic/technical nature, contact the appropriate Regional Radon Office representative listed in Appendix IV of the Catalog. The individual Regional radon SIRG project officers can be found at <http://www.epa.gov/radon/sirgprogram.html>.

Headquarters Office:

Philip Jalbert, Office of Radiation and Indoor Air, Environmental Protection Agency, 1310 L Street, NW., Mail Code 6609J, Washington, District of Columbia 20005 Email: jalbert.philip@epa.gov Phone: (202) 343-9431.

Website Address:

<http://www.epa.gov/radon/sirgprogram.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The State and Tribal Indoor Radon Grant (SIRG) program has awarded grants to States and Tribes to: 1) establish effective radon programs among states, territories, and federally recognized Indian tribes; 2) increase the number of homes, schools, and other buildings tested and mitigated from radon; 3) implement radon resistant construction standards in high risk areas; 4) encourage radon testing and disclosure in connection with real estate transfers; and 5) promote environmental justice through programs and outreach efforts directed at low-income and/or culturally-diverse populations. The Agency encourages recipients to partner with other public health and environmental organizations to increase program effectiveness. Fiscal Year 2010: The State and Tribal Indoor Radon Grant (SIRG) program has awarded grants to States and Tribes to: 1) establish effective radon programs among states, territories, and federally recognized Indian tribes; 2) increase the number of homes, schools, and other buildings tested and mitigated from radon; 3) implement radon resistant construction standards in high risk areas; 4) encourage radon testing and disclosure in connection with real estate transfers; and 5) promote environmental justice through programs and outreach efforts directed at low-income and/or culturally-diverse populations. The Agency encourages recipients to partner with other public health and environmental organizations to increase program effectiveness. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The EPA will base its review of SIRG applications on whether they reflect the program's goals and promote integrity in States' use of grant funds. Pursuant to TSCA Section 306(e), if State applications exceed the funds appropriated for award in a fiscal year, EPA will prioritize State activities based on the following criteria: (1) the seriousness and extent of the radon contamination problem to be addressed; (2) the potential for the activity or project to bring about reduction in radon levels; (3) the potential for the development of innovative radon assessment techniques, mitigation measures as approved by

the Administrator, or program management approaches which may be of use to other States; (4) the potential for the activity to implement EPA's model radon-resistant building standards; (5) the potential for the development of radon programs in high-risk areas; and (6) the potential for the activity to improve the effectiveness and viability of the State program. EPA may establish additional criteria related to demonstration activities or other aspects of the SIRG program. All other requirements of the SIRG program are applicable to the States and Tribes unless otherwise stated in TSCA 306.

66.033 OZONE TRANSPORT COMMISSION

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1990, Section 106 and 111, Public Law 101-549, 42 U.S.C 1406.

OBJECTIVES:

To develop or recommend air quality implementation plans for air quality control regions designated pursuant to Section 106 (interstate pollution) or Section 111 (interstate ozone pollution) of the Clean Air Act of 1990. Funding Priority - Fiscal Year 2010: The Ozone Transport Commission (OTC) will continue to provide a forum for the states within the Ozone Transport Region (New England and Middle Atlantic states) to develop and adopt air quality implementation plans for reducing ozone pollution pursuant to Section 106 of Section 111 of the Clean Air Act of 1990.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance funds may be used for costs specifically incurred in the conduct of interstate pollution projects in accordance with the purposes enumerated in the approved application. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

An agency or commission designated by the Governors of the affected States, which is capable of recommending to those Governors' plans for implementation of national primary and secondary ambient air quality standards and which includes representation from the States and the appropriate political subdivisions within the affected air quality control region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Municipalities, intermunicipalities, States, interstate agencies or commissions, and Federally recognized Indian tribes.

Credentials/Documentation:

The application must supply evidence of legal authority for air pollution control; evidence of the availability of non-federal matching funds, evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan and a workable program officially adopted for the agency. Principles for determining allowable costs are set forth in applicable Federal management circulars described in the general grant regulations and procedures 40 CFR Part 30 of the Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions of informal meetings with the Headquarters Program Office concerning program preparation are advisable. The standard application forms as furnished by the Federal Agency and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications should be submitted to the appropriate EPA Office (See Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicant must reapply.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Upon initial designation by the Governors of the affected States, pursuant to Sections 106 or 111 of the Clean Air Act of 1990, the Administrator is authorized to pay for up to 2 years, and up to 100 percent of the air quality planning program costs of a designated commission or interstate agency. After the initial 2-year period, the Administrator is authorized to make grants to such agency or such commission in amounts up to 3/5 of its air quality management plan costs. This program has no statutory formula. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular

No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0200-0-1-304.

Obligations:

(Project Grants) FY 09 \$635,000; FY 10 est \$635,000; FY 11 est \$635,000

Range and Average of Financial Assistance:

There is only one cooperative agreement awarded under this program. The cooperative agreement will range from \$600,00 to \$650,000/fiscal year with an average award of \$635,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Ozone Transport Commission provides policy guidance to its member states and the District of Columbia on actions needed to reduce ozone pollution within the ozone transport region. They provide air pollution assessment, technical support and forums through which their member states can harmonize their pollution reduction strategies. This is accomplished through the development of multi-state agreements such as the NOx emissions reductions, the Clean Energy Initiative, education and outreach efforts and similar activities. OTC also participates in national forums such as the Clean Air Act advisory Committee and the Air Quality Management workgroup representing its member states. This CFDA issues one grant annually in response to the Clean Air Act Amendments to establish an Ozone Transport Commission which perform the activities stated herein annually. In FY 2009, one grant was awarded for \$635,000. Fiscal Year 2010: The Commission provides policy guidance to its member states and the District of Columbia on actions needed to reduce ozone pollution within the ozone transport region. They provide air pollution assessment, technical support and forums through which their member states can harmonize their pollution reduction strategies. OTC has also provided Testimony on EPA proposed Ozone NAAQS reconsideration and on Proposed Clean Air Amendments in 2010. In FY 2010 one grant was awarded for \$1,270,000 for a two year period . Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administration Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to

communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Pat Childers, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W. Mail Code 6102A , Washington, District of Columbia 20460 Email: childers.pat@epa.gov Phone: (202) 564-1082.

Website Address:

<http://www.otcair.org/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Operation of the Ozone Transport Commission for the past 15 years. Fiscal Year 2010: Operation of the Ozone Transport Commission for the past 16 years. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated and selected in accordance with the Agency's competition policy.

66.034 SURVEYS, STUDIES, RESEARCH, INVESTIGATIONS, DEMONSTRATIONS, AND SPECIAL PURPOSE ACTIVITIES RELATING TO THE CLEAN AIR ACT

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1963, Section 103, Public Law 95-95, 42 U.S.C 7401; National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C 4332.

OBJECTIVES:

To support Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose assistance relating to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution to include such topics as air quality, acid deposition, climate change, global programs, indoor environments, radiation, mobile source technology and community-driven approaches to transportation and emissions reduction.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2010: (1) Indoor Environments. Activities will support surveys, studies, research, training, outreach, education, investigations or demonstrations performed by organizations that lead to effective outreach strategies to educate key audiences about indoor air pollutants, their associated health risks and encourage effective mitigation and control strategies. Currently, the Program focuses on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and other disproportionately impacted segments of society. Program focus includes, but is not limited to reducing the exposure of children and others with asthma to indoor triggers that worsen their condition, promoting the adoption of operation and maintenance practices in schools throughout the nation to reduce the harmful effects of poor indoor air quality on the health of students and staff, promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant construction features into new homes, and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree.

(2) Radiation. Activities support technical, outreach, and educational projects relating to environmental radiation. Activities also support the national environmental radiation monitoring program. This program prepares for and responds to incidents involving nuclear or radiological material, oversees the safe disposal of radioactive waste, maintains two laboratories that perform

radiological sampling and analyses, and provides standards for protecting human health and the environment from radioactive material. Program focus includes, but is not limited to the following activities: performance testing and evaluation, development of analytical procedures, purchasing of radiation laboratory equipment and support and enhancement of radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a significant national radiological incident.

(3) Community-Scale Air Toxics Ambient Monitoring (CSATAM). The CSATAM Grant Program results in two-year projects designed to assist state, local and tribal communities in identifying and profiling air toxics sources, characterizing the degree and extent of local air toxics problems and tracking progress of air toxics reduction activities in specific local areas without reliance on often uncertain estimates of emissions and air dispersion models. Further, projects typically allow the recipient state, local and tribal air pollution control agencies to establish or enhance hazardous air pollutant monitoring networks, thus providing long term capability to investigate and assess specific local air quality scenarios of concern. The program will continue working with grant recipients that received funding in previous years. No new awards are expected in FY 2010.

(4) Mobile Sources Technologies. Projects encompass studies and investigations utilizing state-of-the-art experimental techniques in advanced engine development technology, including hydraulic hybrid drive-trains, to optimize fuel economy, reduce exhaust emissions (including PM and NOx) and improve performance. In addition, projects may support studies identifying barriers to technological innovation, analyzing innovative strategies for overcoming these barriers, encouraging the development and adoption of new vehicle and fuel technologies for the control of emissions.

(5) Heavy Duty Truck Fuel Consumption and Emissions Reductions. This program studies and analyzes fuel consumption and emissions reductions associated with the use of innovative technologies for heavy duty diesel trucks. Projects involve truck fleets that operate under varying conditions in order to evaluate the effectiveness of the various technologies.

(6) National Internet-based On-Board Diagnostic (OBD) Information Exchange. This program supports activities that maintain, update and improve the Internet-based information clearinghouse to facilitate accurate and timely exchange of technical information related to on-board diagnostic (OBD) between state inspection/maintenance programs, the automotive industry and the general public.

(7) Climate Change. The Climate Change Division (CCD) supports activities relating to improving economic, technical and programmatic understanding of climate change. CCD supports technical, outreach, and education projects regarding climate change impacts, mitigation and adaptation options in order for private and public sectors may more effectively and comprehensively address their climate goals. Additionally, CCD supports projects that break down market barriers that may limit investment in technologies that reduce methane and non-CO2 greenhouse gases; address the technical issues surrounding sequestration and carbon storage; address collection and analyses of economic data relating to climate change; and the Methane to Markets program (M2M) that support climate technology transfer in developing and transition countries. The Methane to Markets Partnership is an international public-private initiative that advances cost effective, near-term methane recovery and use as a clean energy source in four sectors: agriculture, coal mines, landfills, and oil and gas systems. M2M projects reduce greenhouse gas emissions in the near term and provide a number of important environmental and economic co-benefits. Co-benefits include stimulating local economic growth, creating new sources of affordable alternative energy, improving local air and water quality with associated public health benefits and increasing industrial worker safety. Greenhouse Gas Reporting system will support projects that will help identify options for how data collected through state reporting requirements and the multiple reporting rule (MRR) may be used to facilitate state-and facility-based greenhouse gas programs.

(8) Climate Protection Partnerships. EPA funds Global climate Change programs which are voluntary government/industry partnership programs

designed to capitalize on the opportunities that consumers, businesses, and organizations have for making sound investments in efficient equipment, policies and practices. The Global Climate Change program will manage a number of efforts to remove barriers in the marketplace and to deploy technology faster in the residential, commercial, and industrial sectors of the economy. Our programs work by overcoming widely acknowledged barriers to energy efficiency: lack of clear, reliable information on technology opportunities; lack of awareness of energy efficient products and services; lack of financing options to turn lifecycle energy savings into initial cost savings for consumers; low incentives to manufacturers for efficiency research and development. In addition, our Global Climate Change programs will provide technical assistance, training, information exchange and other forms of cooperation to enhance the capabilities of governments and other stakeholders to protect human health and the environment regionally and globally.

(9) Sustainable Skylines Initiative. During FY 2010, the SSI program will continue efforts with communities that received funding in FY 2009. The Sustainable Skylines Initiative (SSI) resulted in projects that maximized federal, state, regional, and local resources to provide tangible cross-cutting projects. Projects' results could include, but are not limited to specific air, water, waste and livability benefits; provide economy of scale to amplify media attention, level of support, and outreach ability for specific projects while showing a larger sustainability effort; and bridge city and regional sustainability efforts to re-energize existing programs and developing key emerging priorities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Surveys, Studies, Research, Investigations, Demonstrations and Special Purpose plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Eligibility for projects awarded or competed exclusively with State and Tribal Assistance Grant (STAG) funds is limited to air pollution control agencies, as defined in section 302(b) of the Clean Air Act that are also eligible to receive grants under section 105 of the Clean Air Act, and/or federally recognized tribes. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit

institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. Such notification is contingent upon information contained in the resulting solicitation. See the following for information on how assistance is awarded/released: Assistance

agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

Yes, Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Yes, Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Yes, Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Yes, Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

(Project Grants) FY 09 \$9,161,000; FY 10 est \$15,000,000; FY 11 est \$15,000,000

Range and Average of Financial Assistance:

EPA generally award grants ranging in value from \$5,000 to \$750,000 per fiscal year. The average value of each grant is \$150,000 per fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Indoor Environments - Produced effective outreach strategies to educate key audiences about indoor air pollutants and their associated health risks, convincing them to adopt effective mitigation and control strategies. These outreach strategies focused on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and to other disproportionately impacted segments of society. These include: reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools throughout the nation to reduce the harmful effects of poor indoor air quality on the health of students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree. Radiation - Assistance provided support and enhancement for radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a

significant national radiological incident. Key activities include audits and proficiency testing, provision of radiological instrumentation, supplies, training, and limited facilities upgrades. The USEPA's Methane to Markets Partnership Grants program began in 2006 and has now provided almost \$13 million for nearly 70 cooperative agreements to recipients around the globe to build capacity and promote international capture and use of methane. The grants have become and integral and important capacity building instrument of the Methane to Markets Partnership, a public-private partnership (of 33 partner governments) that reduces greenhouse gas (GHG) pollution by promoting the cost-effective, near-term recovery and use of methane, a GHG that is more than 20 times more potent than carbon dioxide. Methane capture and use projects supported by the partnership through grants and other means are currently reducing emissions by more than 27.3 million metric tons of carbon dioxide equivalent ~ annually equivalent to the annual emissions from 5 million passenger vehicles. Fiscal Year 2010: Indoor Environments - Produced effective outreach strategies to educate key audiences about indoor air pollutants and their associated health risks, convincing them to adopt effective mitigation and control strategies. These outreach strategies focused on several critical aspects of indoor air quality that pose significant risks to public health, and in particular, to children and to other disproportionately impacted segments of society. These include: reducing the exposure of children and others with asthma to indoor triggers that worsen their condition; promoting the adoption of operation and maintenance practices in schools throughout the nation to reduce the harmful effects of poor indoor air quality on the health of students and staff; promoting voluntary radon testing by homeowners to identify elevated levels and fix them when they are found, as well as working with homebuilders to incorporate radon resistant construction features into new homes; and encouraging adult smokers to protect their children from the adverse health effects of environmental exposure to secondhand smoke by making a conscious decision to smoke outside and keep their homes and cars smokefree. Radiation - Assistance provided support and enhancement for radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a significant national radiological incident. Key activities include audits and proficiency testing, provision of radiological instrumentation, supplies, training, and limited facilities upgrades. The USEPA's Methane to Markets Partnership Grants program began in 2006 and provides cooperative agreements to recipients around the globe to build capacity and promote international capture and use of methane. The grants have become and integral and important capacity building instrument of the Methane to Markets Partnership, a public-private partnership (of more than 33 partner governments) that reduces greenhouse gas (GHG) pollution by promoting the cost-effective, near-term recovery and use of methane, a GHG that is more than 20 times more potent than carbon dioxide. Methane capture and use projects supported by the partnership through grants and other means are currently reducing emissions by more than 27.3 million metric tons of carbon dioxide equivalent ~ annually equivalent to the annual emissions from 5 million passenger vehicles. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, Research, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Courtney Hyde, EPA, Office of Air and Radiation, 1200 Pennsylvania Avenue, N.W., Washington, District of Columbia 20460 Email: hyde.courtney@epa.gov Phone: (202) 564-1227.

Website Address:

<http://www.epa.gov/air/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects are: Conducting training courses and outreach activities for environmental health professionals on indoor air

quality topics including asthma triggers, schools, radon, indoor air quality in large buildings, and community outreach; Climate change tribal impacts, communications, and outreach; Assistance provided support and enhancement for radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a significant national radiological incident. Activities that advance methane recovery and use as a clean energy source; Integrated assessment of multiple greenhouse gases, climate impacts, and pollution; National Education Association Health Information Network; Implementation of energy-efficient standards and labeling programs in developing countries; Market transformation projects that will increase public awareness of the health benefits and cost savings associated with the use of energy efficient products and services; Projects that involve regional outreach and education benefits of renewable energy, encouraging state and local governments to make green power purchases; Demonstrating the effectiveness and viability of retrofit technologies in reducing harmful air emissions from existing diesel highway, heavy-duty vehicles and to achieve actual local emission reductions; Educating the business and consumer sectors on the benefits of improving energy performance; Market transformation projects that will increase public awareness of the health benefits and cost savings associated with the use of energy efficient products and services; Projects that involve regional outreach and education on the benefits of renewable energy, encouraging state and local governments to make green power purchases, and; Projects that reduce emissions through improved greenhouse gas management and clean energy programs. Fiscal Year 2010: Examples of funded projects are: Conducting training courses and outreach activities for environmental health professionals on indoor air quality topics including asthma triggers, schools, radon, indoor air quality in large buildings, and community outreach; Climate change tribal impacts, communications, and outreach; Assistance provided support and enhancement for radiological laboratory capability and capacity across the nation to reduce the gap in analyzing environmental samples following a significant national radiological incident. Activities that advance methane recovery and use as a clean energy source; Integrated assessment of multiple greenhouse gases, climate impacts, and pollution; National Education Association Health Information Network; Implementation of energy-efficient standards and labeling programs in developing countries; Market transformation projects that will increase public awareness of the health benefits and cost savings associated with the use of energy efficient products and services; Projects that involve regional outreach and education benefits of renewable energy, encouraging state and local governments to make green power purchases; Demonstrating the effectiveness and viability of retrofit technologies in reducing harmful air emissions from existing diesel highway, heavy-duty vehicles and to achieve actual local emission reductions; Educating the business and consumer sectors on the benefits of improving energy performance; Market transformation projects that will increase public awareness of the health benefits and cost savings associated with the use of energy efficient products and services; Projects that involve regional outreach and education on the benefits of renewable energy, encouraging state and local governments to make green power purchases, and; Projects that reduce emissions through improved greenhouse gas management and clean energy programs. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Surveys, Studies, Research, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.035 COMMUNITY ACTION FOR A RENEWED ENVIRONMENT (CARE) PROGRAM

(CARE)

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442(a)&(c)(A); Marine Protection, Research, and Sanctuaries Act, Section 203; Solid Waste Disposal Act, Section

8001; Clean Water Act, Section 104(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a), Public Law 106-74; National Environmental Policy Act, Section 102(2)(F); Clean Air Act, Section 103(b)(3); Toxics Substances Control Act, Section 10, Public Law 106-74.

OBJECTIVES:

To support analyses, studies, evaluations, surveys, investigations, conferences, demonstrations and special purpose projects which empower communities to reduce risks from exposures to toxic pollutants in the air, in the water, and on the land through collaborative action at the local level.

Funding Priority - Fiscal Year 2010: The CARE program aims to: (1) Reduce exposures to toxic pollutants through collaborative action at the local level; (2) Help communities gain an understanding of all potential sources of exposure to toxic pollutants; (3) Work with communities to set priorities for risk reduction activities; and, (4) Create self sustaining, community based partnerships that will continue to improve the local environment.

The following program strategies will be used: (1) Provide information and a variety of tools to help communities understand and assess toxic risks; (2) Collaborate with community stakeholder groups to prioritize plans for risk reduction; (3) Mobilize local resources and utilize EPA voluntary programs to implement risk reduction activities; (4) Link EPA programs together to efficiently support communities; (5) Create a positive environment that encourages all members of the community including businesses and colleges and universities to join the collaborative partnership; (6) Facilitate networking among CARE communities to share experiences and lessons learned; and, (7) Build long term community capacity to continue improving the local environment.

Funding Priority - Fiscal Year 2011: The CARE program aims to: (1) Reduce exposures to toxic pollutants through collaborative action at the local level; (2) Help communities gain an understanding of all potential sources of exposure to toxic pollutants; (3) Work with communities to set priorities for risk reduction activities; and, (4) Create self sustaining, community based partnerships that will continue to improve the local environment.

The following program strategies will be used: (1) Provide information and a variety of tools to help communities understand and assess toxic risks; (2) Collaborate with community stakeholder groups to prioritize plans for risk reduction; (3) Mobilize local resources and utilize EPA voluntary programs to implement risk reduction activities; (4) Link EPA programs together to efficiently support communities; (5) Create a positive environment that encourages all members of the community including businesses and colleges and universities to join the collaborative partnership; (6) Facilitate networking among CARE communities to share experiences and lessons learned; and, (7) Build long term community capacity to continue improving the local environment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreements are available to support recipients' allowable direct costs incident to approved surveys, analyses, studies, evaluations, conferences, investigations, demonstrations and special purpose projects plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Local, Public non-profit institution/organizations, Federally Recognized Indian Tribal Government, Native American Organizations, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, local government, colleges, universities, interstate and intrastate. Proposals to renew or supplement existing projects are eligible to compete. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, Public nonprofit institution/organizations, Federally Recognized Indian Tribal Government, Native American Organizations, Private nonprofit institution/organization, Quasi-public nonprofit institution/organization, local government, colleges, universities, interstate and intrastate.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. For competitive awards, EPA will review and evaluate applications, proposals and/or submissions in accordance with the terms, conditions, and criteria stated in the announcement of the competitive funding opportunity. Competition will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in

accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: CERCLA 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. Matching requirements may be established in program guidance or the terms of competitive solicitations. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements and most CARE awards will be for 18 to 36 months. EPA limits project periods to 5 years. Any resulting cooperative agreement awards will normally be awarded on a quarterly basis. Applicants will be notified of an award in accordance with the information specified in any resulting solicitation. See the following for information on how assistance is awarded/released: Assistance agreements will be fully funded by a lump sum or partially funded yearly.

Reports:

Yes, Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31. Yes, Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31. Yes, Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31. No expenditure reports are required. Yes, Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofit and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in cooperative agreements available to personnel authorized to examine EPA recipients cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$2,049,297; FY 10 est \$2,192,000; FY 11 est \$2,200,000

Range and Average of Financial Assistance:

There is no minimum amount of assistance. Cooperative agreements awarded based on Level I agreements will range from \$75,000 to \$100,000 with an average Level I award of \$90,000. Level II agreements will range from \$150,000 to \$300,000 with an average Level II award of \$275,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The CARE program uses two sets of cooperative agreements. In the smaller Level I agreements, the community, working with EPA, creates a collaborative problem solving group made up of the various stakeholders in the community. That group assesses the community's toxic exposure problems and begins to identify potential solutions. In the larger Level II agreements, the community, working with EPA selects and funds projects that reduce risk and improve the environment in the community. In FY 2009 we received 235 applications and funded 9 projects, including five previously funding Level I projects that successfully completed their project, competed for and received a Level II grant. CARE communities have implemented a variety of EPA Voluntary Programs and reduced citizen's exposure to toxics including lead, diesel emissions, solid waste, mercury and environmental tobacco smoke. The communities have also reduced emissions of green house gases. Fiscal Year 2010: Information Not Yet Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions and FAR Part 31 for profit makers.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Marva King, EPA Headquarters, Ariel Rios Building , 1200 Pennsylvania Avenue, N. W. , Mail Code: 6101A , Washington, District of Columbia 20460 Email: king.marva@epa.gov Phone: (202) 564-2599.

Website Address:

<http://www.epa.gov/care/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: CARE is a unique community-based, community-driven, multimedia demonstration program designed to help communities understand and reduce risks due to toxic and environmental pollutants from all sources. Projects funded under the CARE program include: (1) the development of an assessment of toxins and pollution sources used to educate residents about their impact on local health and the environment; (2) assisting owners of homes with lead-based paint in obtaining remediation funds and development of a neighborhood toxic education program; (3) the study of all potential local sources of toxic risk in order to develop a long-term voluntary toxics management system to reduce the generation of toxic pollutants; (4) the creation of a Community Partnership that is a multi-stakeholder group - that includes industries, communities, small business owners - to conduct a community capacity building program to identify the concerns of the communities and to discuss how to address them; and (5) a collaborative project with community agencies to address the negative environmental and public health impact that small automotive repair and body shops have on their employees and neighbors, while avoiding putting shops out of business by

regulatory enforcement. Fiscal Year 2010: Information Not Yet Available.
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals will be selected in accordance with announced criteria. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives and; for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.036 CLEAN SCHOOL BUS USA

(CSBUSA)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Energy Policy Act of 2005; FY 2006 Interior, Environment and Related Agencies Appropriations Act.

OBJECTIVES:

To support projects relating to necessary upgrades to diesel school bus fleets. CSBUSA is a national initiative to minimize children's exposure to diesel exhaust by reducing pollution from school buses. The program has three key elements: 1) reduce school bus idling; 2) retrofit 1991-2006 model year buses with devices that reduce pollution, and 3) replace pre-1990 buses with new, clean-technology buses. Projects categorized under this CFDA are now funded under the Diesel Emissions Reduction Act (DERA) CFDA 66.039 and/or CFDA 66.040. Funding Priority - Fiscal Year 2010: This program is not being funded in FY 2010.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to necessary upgrades to diesel school bus fleets plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Local and Federally Recognized Indian Tribal Governments, local and state governments, school districts, non-profit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, Anyone/General Public.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OMB Circular No.

A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. EPA awards grants and cooperative agreements supporting the mission of the Office of Air and Radiation, based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog or downloaded from <http://www.epa.gov/ogd/forms/forms.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will administratively evaluate each application to determine the adequacy of the application in relation to EPA's general grant regulations. EPA's Office of Air and Radiation and/or the 10 EPA regions will evaluate applications to determine the merit and relevance of proposed projects. Applications/proposals will also be reviewed in accordance with the terms, conditions and criteria in the solicitation/announcement of the competitive funding opportunity. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the competitive announcement closed.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. All projects have been fully funded. Projects categorized under this CFDA are now funded under the Diesel Emissions Reduction Act (DERA) CFDA 66.039 and/or CFDA 66.040.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 5%. This program does not have a statutory formula. The match required for this program is set at a minimum of 5%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects categorized under this CFDA are now funded under the Diesel Emissions Reduction Act (DERA) CFDA 66.039 and/or CFDA 66.040. See the following for information on how assistance is awarded/released: Previous assistance agreements were fully funded (lump sum) or incrementally (quarterly) funded. Projects categorized under this CFDA are now funded under the Diesel Emissions Reduction Act (DERA) CFDA 66.039 and/or CFDA 66.040.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Previous year funds are still being expended.

Range and Average of Financial Assistance:

Projects categorized under this CFDA are now funded under the Diesel Emissions Reduction Act (DERA) CFDA 66.039 and/or CFDA 66.040. The general range of the amount of assistance was \$200,000 \$400,000. The average was \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: This program is not being funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Clean School Bus USA grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or Jennifer Keller of the Clean School Bus USA program.

Headquarters Office:

Jennifer Keller, Ariel Rios Building, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, District of Columbia 20460 Email: keller.jennifer@epa.gov Phone: (202) 343-9541.

Website Address:

<http://www.epa.gov/cleanschoolbus>

RELATED PROGRAMS:

66.039 National Clean Diesel Funding Assistance Program; 66.040 State Clean Diesel Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: This program is not being funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed Clean School Bus USA projects for funding based on criteria specified in the solicitation, and the likelihood of success, as well as factors such as relevancy to EPA's mission, and technical merit. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.037 INTERNSHIPS, TRAINING AND WORKSHOPS FOR THE OFFICE OF AIR AND RADIATION

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F), Public Law 91-190, 42 U.S.C 4332; Clean Air Act, Section 103(b)(3), Public Law 42-7403.

OBJECTIVES:

To provide, Internships, Training, Workshops, and Technical Monitoring in support of the Clean Air Act. These activities aim to: (1) support the development of career-oriented personnel qualified to work in occupations involving environmental protection and air pollution abatement and control; (2) provide technical training for State, local, territorial, and Indian Tribal environmental control agencies; (3) enhance the capability of state and local agencies responsible for environmental pollution control or other agencies with similar pollution control responsibilities; (4) provide educational renewal for career oriented personnel to achieve additional knowledge through academic professional training; (5) provide both undergraduate and graduate students in science, engineering, and other relevant fields with education and training opportunities to enhance their understanding of air quality-related topics; and, (6) to increase the quality and number of people into the environmental control field.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2010: This program will continue to provide training in all aspects of air quality, develop workshops in air quality based on identified needs, and provide technical support to ensure quality information is available to support programs and use knowledge developed in training workshops.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipients' allowable direct costs incident to approved projects for internships, training and workshops related to environmental issues plus allowable indirect costs, in accordance with established EPA policies and regulations. This requirement does not involve geospatial information.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies

that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S., including the Federally Recognized Indian Tribal Government, District of Columbia and possessions of the U.S., international organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424, Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog or downloaded from <http://www.epa.gov/ogd/forms/forms.htm>. Applicants must submit their requests for training assistance on EPA Standard Form 424 and the fellowship assistance on EPA Standard Form 5770-2. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the deadline for the Request for Applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal

Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. All projects will be fully funded.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

If the grant is not fully funded at time of award, EPA normally funds grants on a 12-month incremental basis. Each grant is limited to a 3-year duration.
Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$100,298; FY 10 est \$125,874; FY 11 est \$125,500

Range and Average of Financial Assistance:

EPA generally awards grants ranging in value from \$50,000 to \$500,000 per fiscal year. The average amount is \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The US-Mexico Border Environmental Education Program successfully recruited 10 undergraduate students to participate in the educational component during the regular semesters. All students come from different disciplines in science and engineering. The students come from South Texas and the Border region. There is also a training component during the Summer term. Support is also provided to graduate environmental engineering

students to serve as mentors for the undergraduate students. This comprehensive project will support students who are in the field of studies in engineering and science who will work on air pollution problems. Undergraduate students receive individual semester fellowship and graduate students are awarded yearly fellowships to support their laboratory efforts and activities related to the program. Fiscal Year 2010: Enhanced tribal air quality management programs and increasing environmental protection for areas under their jurisdiction. Increased participation of federally recognized Indian tribes in matters related to air quality policy and regulation, in order to fully implement the CAA in Indian country in a manner consistent with the legal relationship between the United States and those Indian tribes. Increased number of tribes commenting on EPA's Office of Air and Radiation policy and regulatory actions. Increased tribal representation on advisory boards, committees and workgroups advising EPA on air quality activities. Increased number of Native Americans engaged in post-secondary study of air quality and environmental management. Increased activity and representation of tribal interests in local, regional and national air quality management. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training grants are subject to EPA's General Grant Regulations 40 CFR Part 30 and Part 31; 40 CFR Part 45; and EPA Annual Program Guidance provided to State and Local agencies.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Linda Zarow, Office of Air and Radiation, Ariel Rios North Building, 1200 Pennsylvania Avenue, N.W., Mail Code 6101A, Washington, District of Columbia 20460 Email: zarow.linda@epa.gov Phone: (202) 564-7431.

Website Address:

<http://www.epa.gov/air>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Texas A&M University has awarded 10 student internships. Examples of projects include; monitoring and modeling of aerosol technology, exposure and health effects of air-pollution including human exposure to ultrafine particles, and environmental pollutants relating environmental cardiology. Undergraduate students will participate in a two-week field trip during the summer. These field trips will serve as a learning experience to enhance student's knowledge on three key areas in the stewardship of air-quality. Those areas include; environmental laws and regulation, scientific research and technical regional processes. Fiscal Year 2010: Projects include providing comprehensive air quality policy and regulatory analysis consisting of support and national coordination activities to assist tribes in understanding, participating in and responding to US EPA's Office of Air and Radiation (OAR) policy and regulatory activities; properly informing American Indian students, educators, and communities of opportunities to participate in, and pursue environmental careers in the field of air quality management; and the American Indian Air Quality Training Program (AIAQTP), which includes workshop training, internships and technical support to tribal governments seeking to investigate, develop and establish air quality management programs for lands under their jurisdiction. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.038 TRAINING, INVESTIGATIONS, AND SPECIAL PURPOSE ACTIVITIES OF FEDERALLY-RECOGNIZED INDIAN TRIBES CONSISTENT WITH THE CLEAN AIR ACT (CAA), TRIBAL SOVEREIGNTY AND THE PROTECTION AND MANAGEMENT OF AIR QUALITY

(Tribal CAA 103 Project Grants)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act of 1963, Section 103, Public Law 95-95, 42 U.S.C 7403.

OBJECTIVES:

To support Federally-recognized Indian Tribes' efforts to understand, assess and characterize air quality; design methods and plans to protect and improve air quality on tribal lands through surveys, studies, research, training, investigations, and special purpose activities. To ensure tribes have appropriate levels of support and opportunity to understand their air quality and take proactive measures to preserve, restore and protect air quality for their reservations and other lands over which they have jurisdiction through tribal program implementation, CAA implementation, radiation protection, mobile source controls, and voluntary programs to address outdoor and indoor air and other concerns. To ensure that all tribes have the tools they need to understand and participate in local, regional and national issues, regulatory and policy developments, and to protect their air quality from activities off the reservation that may affect or impact them. To improve the ability of tribes to understand, define, design and participate in activities that affect their air quality.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority-Fiscal Year 2010: EPA and Tribes, in partnership, will protect public health, the environment, and unique Tribal air resources, consistent with the CAA, its Amendments, and EPA's trust responsibilities. This program will ensure Tribes have appropriate levels of support and opportunity to understand their own air quality and take proactive measures to preserve, restore, and protect air quality for their reservations and other lands over which they have jurisdiction through CAA implementation, radiation protection, mobile source controls, and voluntary programs to address indoor and outdoor air and other concerns. Activities will ensure that all Tribes have the tools they need to understand and participate in local, regional, and national issues and to protect their air quality from changes off reservation that may impact them. Key activities include: (1) Training and Program Development - this area includes technical, administrative, and policy related training conducted in-person, on-line, and/or by any remote technological method supporting the enhancement of skills that contribute to development of tribal air quality management activities; (2) Assessment and Monitoring - activities related to assessment may include short or long term efforts to define, design, understand, and assess in a qualitative or quantitative manner (such as through an emission or source inventory) the impacts of air pollutants to human health, the environment, and items of cultural importance. Monitoring includes numerous activities that gather data about the state of the environment and measuring the concentrations and potential effects of criteria and/or toxic air pollutants; (3) Developing capacity or a plan for eligibility under the Tribal Authority Rule - developing capacity includes activities that increase the experience and expertise of tribes to understand and assess air quality, including technical, administrative, policy, regulatory, education and outreach, communication skills, training, and building contacts, networks and experience. Capacity includes carrying-out these programs and activities, interacting with the national air program and providing input, guidance, and perspective to efforts to develop policies, regulations, and guidance at the local, regional, and national level including reading, report writing, grant writing and reporting, budgeting, public speaking, developing presentations, preparing comments, editing documents, operating and maintaining equipment, and participating in meetings. Developing a plan for eligibility under the Tribal Authority Rule (TAR) includes report reading and writing, grant writing and reporting, budgeting, public speaking, presentation development, comment preparation, document editing, equipment operation and maintenance, and meeting participation; (4) Delegation or Ongoing Capability Development - This activity includes developing technical, administrative, and management skills, knowledge, and abilities through experience, training, and education to enable activities such as reading and interpreting federal regulations, operating equipment, preparing and presenting technical information and reports, interacting with tribal communities and governments, federal bureaucrats and

bureaucracy, providing outreach and education, public speaking, conducting public hearings, collecting and analyzing data, developing plans and innovative approaches to pollution control in a culturally sensitive manner; and (5) Tribal Implementation Plan development - tribes may conduct all aspects of air quality management at an advanced level, including data analysis, analyzing, interpreting and commenting on administrative and regulatory requirements and activities at local, state, regional and national levels, developing advanced technical, policy and planning document preparation, and tribal government representation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipient's allowable direct costs incident to approved Tribal air resources activities that will protect public health and the environment, plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Executive Order 12372 does not apply to the program.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to Federally-recognized Indian Tribes and Intertribal Consortia, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of Air and Radiation's mission. Tribal CAA 103 Project Grants have been determined by the Agency as exempt from competition under EPA Order 5700.5A1.

Beneficiary Eligibility:

Federally-recognized Indian Tribes and Intertribal Consortia.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will review and evaluate applications, proposals, and/or submissions in accordance with EPA policies/regulations for non competitive assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements are generally fully funded or on an incremental funding basis. Successful applicants will be notified either via U.S. mail or electronically. See the following for information on how assistance is awarded/released: Assistance agreements are fully funded (lump sum) or incrementally (quarterly) funded.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients are subject to the retention and access requirements for records under 40 CFR Part 31.42. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grant and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1810-0-1-304 - Resulting awards are funded using the following accounts: Environmental Program & Management (EPM), Science & Technology (S&T), and State & Tribal Air Grants (STAG).

Obligations:

(Project Grants) FY 09 \$13,300,000; FY 10 est \$13,300,000; FY 11 est

\$13,300,000

Range and Average of Financial Assistance:

There is no minimum amount of assistance; the maximum is \$7,750,000. The general range of the amount of assistance is \$25,000 to \$500,000. The average is \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY2009 this program provided 99 tribal governments with funding to develop capacity and conduct approved activities under the CFDA. Funded tribes are developing tribal air quality management programs, increasing environmental protection for areas under their jurisdiction, and their accomplishments include greatly increased activity and representation of tribal interests in local, regional and national air quality management, a desirable outcome. Tribes also completed a number of activities, including developing Tribal Implementation Plans, completing requests for CAA eligibility for both administrative and programmatic CAA elements. Fifteen specialized tribal training workshops have been conducted training 169 individuals from 148 tribes across the nation. Over 100 individuals from 46 different tribes have requested and received individualized technical support, information or assistance on a variety of air quality management topics. Roughly ten interns have been placed each summer in air quality management offices nationwide, including at tribal government and US EPA Offices to advance their air quality management skills. Fiscal Year 2010: In FY2010 this program continues to award tribal governments with funding to develop capacity and conduct approved activities. Funded tribes are developing tribal air quality management programs, increasing environmental protection for areas under their jurisdiction, and their accomplishments include greatly increased activity and representation of tribal interests in local, regional and national air quality management. Tribes will continue to develop Tribal Implementation Plans and requests for CAA eligibility for both administrative and programmatic CAA elements. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training, Investigations, and Special Purpose grants and cooperative agreements are subject to EPA General Grant Regulations 40 CFR Part 31. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Darrel Harmon, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W., Mail Code: 6101A, Washington, District of Columbia 20460
Email: harmon.darrel@epa.gov Phone: (202) 564-7416.

Website Address:

<http://www.epa.gov/air/tribal>

RELATED PROGRAMS:

66.001 Air Pollution Control Program Support; 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Ninety nine grants awarded to fund tribal air quality management program development, air quality assessments, air pollution emission inventory development, tribal air quality monitoring for ozone, particulate matter, sulfur dioxide, nitrogen oxides, acid deposition, and mercury; development and implementation of TAS authority under the Tribal Authority Rule and CAA program development and implementation of administrative and programmatic elements of the CAA; smoke management plans (Nez Perce Tribe); Tribal Implementation Plans at St. Regis Mohawk Tribe, Mashantucket-Pequot Tribal Nation; Air Quality Management Program development (Gila River Indian Community), American Indian Air Quality Training Program including the Tribal Air Monitoring Support Center, a partnership between tribes, EPA and Northern Arizona University to provide training and technical support to tribes monitoring air quality; and, the Environmental Education Outreach Program at Northern Arizona University, to encourage tribal students to pursue careers in air quality, where they are

significantly under-represented. Fiscal Year 2010: Grant awarded to fund tribal air quality management program development, air quality assessments, air pollution emission inventory development, tribal air quality monitoring for ozone, particulate matter, sulfur dioxide, nitrogen oxides, acid deposition, and mercury; development and implementation of TAS authority under the Tribal Authority Rule and CAA program development and implementation of administrative and programmatic elements of the CAA. Additional grants will be awarded through out 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications and proposals are selected for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. Tribal CAA 103 Project Grants have been determined exempt from competition under EPA Order 5700.5A1.

66.039 NATIONAL CLEAN DIESEL EMISSIONS REDUCTION PROGRAM

(DERA National Program)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Consolidated Security, Disaster Assistance, and Continuing Appropriations Act; American Recovery and Reinvestment Act of 2009 (Recovery Act), Title 7; FY 2008 Interior, Environment and Related Agencies Appropriations Act; The Energy Policy Act of 2005, Public Law 109-58.

OBJECTIVES:

Sections 792 of the Energy Policy Act of 2005 authorize EPA to award grants and low-cost revolving loans to eligible entities to fund the costs of a retrofit technology that significantly reduces emissions through implementation of a certified engine configuration, verified technology, or emerging technology for buses (including school buses), medium heavy-duty or heavy heavy-duty diesel trucks, marine engines, locomotives, or nonroad engines or diesel vehicles or equipment used in construction, handling of cargo (including at port or airport), agriculture, mining, or energy production. In addition, eligible entities may also use funds awarded for programs or projects to reduce long-duration idling using verified technology involving a vehicle or equipment described above, or the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. The objective of the assistance under this program is to achieve significant reductions in diesel emissions in terms of tons of pollution produced and reductions in diesel emissions exposure, particularly from fleets operating in areas designated by the Administrator as poor air quality areas.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2010: This program aims to work aggressively to reduce the pollution emitted from diesel engines across the country through the implementation of varied control strategies and aggressive involvement of national, state, and local partners. Priority shall be given to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; Include a certified engine configuration, verified technology, or emerging technology that has a long expected useful life; Will maximize the useful life of any certified engine configuration, verified technology, or emerging technology used or funded by the eligible entity; Conserve diesel fuel; and Utilize ultra low sulfur diesel fuel (15 parts per million of sulfur content) ahead of EPA's mandate (for nonroad projects).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance agreements are available to support recipient's allowable costs incident to supporting projects to reduce emissions from diesel engines, plus allowable indirect costs, in accordance with established EPA policies and regulations. Grant or cooperative agreement or loan funds cannot be used to fund the costs of emissions reductions that are mandated under Federal, State or local law; fund any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. Voluntary or elective emission reduction measures shall not be considered "mandated", regardless of whether the reductions are included in the State implementation plan of a State. Distribution of Funds: 1) not less than 50 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for the benefit of public fleets; 2) not less than 90 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for projects using a certified engine configuration or a verified technology; 3) not more than 10 percent of funds available for a fiscal year under the DERA National Program shall be provided to eligible entities for the development and commercialization and implementation of emerging technologies.

Recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

A regional, State, local or tribal agency or port authority with jurisdiction over transportation or air quality; and a nonprofit organization or institution that represents or provides pollution reduction or educational services to persons or organizations that own or operate diesel fleets; or has, as its principal purpose, the promotion of transportation or air quality are eligible for assistance under this program. City, county, or municipal agencies, school districts, and metropolitan planning organizations (MPOs) that have jurisdiction over transportation or air quality are all eligible entities under this program to the extent that they fall within the definition above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with Office of Management and Budget (OMB) Circular A-87, found at 2 CFR 225, for State and local governments and Indian Tribes, OMB Circular No. A-21, found at 2 CFR 220, for educational institutions, and OMB Circular No. A-122, found at 2 CFR 230, for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. By law Section 792 includes application requirements. In addition, EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov, or from EPA Regional Collaborative assistance agreement websites. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Generally, EPA fully funds assistance agreements under this program.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There is no requirement for a matching contribution from applicants other than for certain projects (engine repowers, vehicle/equipment replacements and school bus replacements). Proposed projects involving engine repowers, vehicle/equipment replacements or school bus replacements are subject to funding limitations, which are:

Engine Repower – EPA will fund up to 75% of the cost of an engine repower.

Vehicle/Equipment Replacement – EPA will fund up to 25% of the cost of a new vehicle or piece of equipment (except for school buses; see below).

School Bus Replacement - For buses that meet EPA's 2010 emissions standards for heavy-duty on-highway vehicles, EPA will fund 50% of the cost of a replacement school bus.

For buses that meet EPA's 2007 emissions standards for heavy-duty on-highway vehicles, EPA will fund 25% of the cost of a replacement school bus. Proposals that include engine repowers, vehicle or equipment replacements and/or school bus replacements must indicate the cost-share match in the application in order to be eligible.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance agreements funded under the National Clean Diesel Emissions Reduction Program typically have a two-year project period with the possibility for extension up to a five-year project period. FY 2010 is the third year of funding for this Program, in addition to the FY 2009 funding received under the Recovery Act. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations 40 CFR Part 30 and 40 CFR Part 31. In addition, Recovery Act assistance agreement reporting for the National Clean Diesel Emission Reduction Program will be performed in accordance with OMB's Agency guidance for implementing American Recovery and Reinvestment Act of 2009, including posting Recovery Act project progress on Recovery.gov. Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

In addition, Grantees of Recovery Act assistance agreements are required to submit program reports in accordance with Recovery Act requirements, OMB and Agency guidance. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

In addition, Grantees of Recovery Act assistance agreements are required to submit financial reports in accordance with Recovery Act requirements, OMB and Agency guidance. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

In addition, Grantees of Recovery Act assistance agreements are required to submit progress reports to recovery.gov and EPA in accordance with Recovery Act requirements, OMB and Agency guidance. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30 and OMB Circular No.A-102 or OMB Circular No.A-110.

In addition, Grantees of Recovery Act assistance agreements are required to comply with Recovery Act requirements, OMB and Agency guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the American Recovery and Reinvestment Act, OMB guidance and Agency policies; EPA Office of Inspector General, EPA's program and administrative staff are committed to increased auditing and oversight of all Recovery Act assistance agreements to ensure all funds are used appropriately and accounted for.

Records:

Recipients must keep financial records, including all documents supporting entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$247,800,000; FY 10 est \$42,000,000; FY 11 est \$42,000,000 - Recovery Act - \$205.8 million; FY 09 - \$42 million; FY 2010 - \$42 million; FY 2011 - \$42 million.

Range and Average of Financial Assistance:

There is no minimum amount of assistance. Smaller grants typically range from \$100,000 - \$300,000 with an average award of \$125,000. Larger grants typically range from \$500,000 - \$2 million with an average award of \$650,000.

Recovery Act Funding under the National Clean Diesel Emissions Reduction Program totaled \$205,800,000.

National Clean Diesel Emissions Reduction Program is divided into 3 competitive categories: (1) National Clean Diesel Funding Assistance Program; (2) Clean Diesel Emerging Technologies Program; (3) SmartWay Clean Diesel Finance Program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For FY 2009/2010, \$84,000,000 was dispersed through approximately eighty-four (84) grants nationwide. For a list of awarded grants, visit www.epa.gov/cleandiesel and click on "Grants and Funding.". Fiscal Year 2010: For FY 2009/2010, \$84,000,000 was dispersed through approximately eighty-four (84) grants nationwide. For a list of awarded grants, visit www.epa.gov/cleandiesel and click on "Grants and Funding.". Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Diesel Emissions Reduction program assistance agreements are subject to EPA's general grant regulations (40 CFR Part 30 and Part 31, as applicable). In addition, Recovery Act assistance agreements must comply the terms of American Recovery and Reinvestment Act of 2009, as well as OMB's Agency guidance for implementing American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or Jennifer Keller.

Headquarters Office:

Jennifer Keller, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, District of Columbia 20460 Email: keller.jennifer@epa.gov Phone: (202) 343-9541.

Website Address:

<http://www.epa.gov/cleandiesel>

RELATED PROGRAMS:

66.040 State Clean Diesel Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects that may be eligible include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. Eligible vehicles, engines and equipment may include: buses (including school buses); medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines such as generators or pumps). Fiscal Year 2010: Projects that may be eligible include a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. Eligible vehicles, engines and equipment may include: buses (including school buses); medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production (including stationary engines such as generators or

pumps). Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.040 STATE CLEAN DIESEL GRANT PROGRAM

(DERA State Program)

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

FY 2008 Interior, Environment and Related Agencies Appropriations Act; American Recovery and Reinvestment Act of 2009 (Recovery Act), Title 7; The Energy Policy Act of 2005, Public Law 109-58; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009.

OBJECTIVES:

Section 793 of the Energy Policy Act of 2005 authorizes EPA to award assistance agreements to States to develop and implement such grant and low-cost revolving loan programs in the State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2010: To the extent practicable, States receiving assistance under this program shall give priority to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; May include a certified engine configuration, verified technology, or emerging technology that has a long expected useful life; Maximize the useful life of any certified engine configuration, verified technology, or emerging technology used or funded by the eligible entity; Conserve diesel fuel; and Utilize ultra low sulfur diesel fuel (15 parts per million of sulfur content) ahead of EPA's mandate (for nonroad projects).

Funding Priority - Fiscal Year 2011: To the extent practicable, States receiving assistance under this program shall give priority to projects which: Maximize public health benefits; Are the most cost-effective; Serve areas with the highest population density, that are poor air quality areas (including nonattainment or maintenance of national ambient air quality standards for a criteria pollutant; Federal Class I areas; or areas with toxic air pollutant concerns); Serve areas that receive a disproportionate quantity of air pollution from diesel fleets, including truck stops, ports, rail yards, terminals, and distribution centers or that use a community-based multi-stakeholder collaborative process to reduce toxic emissions; May include a certified engine configuration, verified technology, or emerging technology that has a long expected useful life; Maximize the useful life of any certified engine configuration, verified technology, or emerging technology used or funded by the eligible entity; Conserve diesel fuel; and Utilize ultra low sulfur diesel fuel (15 parts per million of sulfur content) ahead of EPA's mandate (for nonroad projects).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support the development and implementation of such grant and low-cost revolving loan programs in a State as are appropriate to meet State needs and goals relating to the reduction of diesel emissions. A grant or loan funded by the State using funds from the DERA State Program may be used for projects involving EPA-verified and/or California Air Resources Board (CARB) verified diesel emissions reduction

technologies and/or EPA certified engines, or emerging diesel emissions reduction technologies or strategies. Funds received under Subtitle G of the Energy Policy Act (Diesel Emissions Reduction) may not be used to pay a matching share under this program.

Recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Funds received under Subtitle G of the Energy Policy Act (Diesel Emissions Reduction) may not be used to pay a matching share under this program or fund any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Applicant Eligibility:

Assistance under this program is available to the 50 states and the District of Columbia.

Beneficiary Eligibility:

State, Local, Public Nonprofit Institution/Organization, Anyone/General Public.

Credentials/Documentation:

Costs for State governments will be determined in accordance with Office of Management and Budget (OMB) Circular A-87, found at 2 CFR 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov, or from EPA Regional Collaborative assistance agreement websites. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA's Office of Air and Radiation and/or the 10 EPA Regions will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the Federal Register Notice of Availability of Funds for this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days after the request for applications.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Permitted. Generally, EPA incrementally funds cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the projects to EPA's priorities, availability of funds, and Agency policy on the cooperative agreement process.

Formula and Matching Requirements:

Statutory Formula: Title Subtitle G Diesel Emissions Reduction, Section 793(c)(2). This program has a statutory allocation formula (Subtitle G - Diesel Emissions Reduction, Section 793(c)(2)). There is no match requirement for this program. However, there is an incentive match provision (Subtitle G - Diesel Emissions Reduction, Section 793(c)(3)).

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements funded under this program may have up to a seven-year project period. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

In addition, Grantees of Recovery Act assistance agreements are required to submit program reports in accordance with Recovery Act requirements, OMB and Agency guidance. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

In addition, Grantees of Recovery Act assistance agreements are required to submit financial reports in accordance with Recovery Act requirements, OMB and Agency guidance. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

In addition, Grantees of Recovery Act assistance agreements are required to submit progress reports to recovery.gov and EPA in accordance with Recovery Act requirements, OMB and Agency guidance. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

In addition, Grantees of Recovery Act assistance agreements are required to comply with Recovery Act requirements, OMB and Agency guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the American Recovery and Reinvestment Act, OMB guidance and Agency policies; EPA Office of Inspector General, EPA's program and administrative staff are committed to increased auditing and oversight of all Recovery Act assistance agreements to ensure all funds are used appropriately and accounted for.

Records:

Recipients must keep financial records, including all documents supporting

entries in accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipient grants and cooperative agreement records. Recipients must maintain all records until 3 years from the date of submission of the final expenditure reports. If questions, such as those raised as a result of an audit remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$106,230,000; FY 10 est \$18,000,000; FY 11 est \$18,000,000 - FY 09 - \$18 million; Recovery Act - \$88,230,000; FY 2010 - \$18 million; FY 2011 - \$18 million.

Range and Average of Financial Assistance:

There is no minimum or maximum amount of assistance. The range is estimated from \$300,000 to \$3,000,000 with an average award of \$300,000, depending on the number of States participating. In FY 2008, the base funding was \$197,600. The incentive for matching was \$98,440. Recovery Act funding for the 50 states and the District of Columbia is totaled at \$88,230,000 or \$1,730,000 each. In FY 2009 and FY 2010 the base funding was \$235,294 per State for each year; the incentive for matching was \$117,647.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, all 50 States and the District of Columbia participated in the program. Each State was awarded a cooperative agreement for clean diesel activities. A total of 26 States matched the EPA base funds and received the incentive funds. For a complete list of State Clean Diesel program cooperative agreements, visit www.epa.gov/cleandiesel and click on "State Program.". Fiscal Year 2010: In FY 2010, all 50 States and the District of Columbia participated in the program. Each State was awarded a cooperative agreement for clean diesel activities. The majority of States matched the EPA base funds and received the incentive funds. For a complete list of State Clean Diesel program cooperative agreements, visit www.epa.gov/cleandiesel and click on "State Program.". Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

State Clean Diesel Grant Program grants and cooperative agreements are subject to EPA's general grant regulations (40 CFR Part 31). Guidelines for applying for funds under this program will be published in the Federal Register. In addition, Recovery Act grants and cooperative agreements must comply the terms of American Recovery and Reinvestment Act of 2009, as well as OMB's Agency guidance for implementing American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or Jennifer Keller.

Headquarters Office:

Jennifer Keller, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6405J, Washington, District of Columbia 20460 Email: keller.jennifer@epa.gov Phone: (202) 343-9541.

Website Address:

<http://www.epa.gov/cleandiesel>

RELATED PROGRAMS:

66.039 National Clean Diesel Funding Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: States may use funds under this program to develop and implement grant and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant and loan programs may fund projects relating to a certified engine configuration or a verified technology for vehicles, engines and equipment, including: buses; medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production. Projects under the State's grant program may include, but are not limited to, a variety of diesel emissions reductions solutions such as: add-on emission control retrofit

technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. Fiscal Year 2010: States may use funds under this program to develop and implement grant and loan programs that are designed to achieve significant reductions in diesel emissions and are appropriate to meet State needs and goals relating to the reduction of diesel emissions. State grant and loan programs may fund projects relating to a certified engine configuration or a verified technology for vehicles, engines and equipment, including: buses; medium-duty or heavy-duty trucks; marine engines; locomotives; and nonroad engines or vehicles used in: i) construction; ii) handling or cargo (including at a port or airport); iii) agriculture; iv) mining; or v) energy production. Projects under the State's grant program may include, but are not limited to, a variety of diesel emissions reductions solutions such as: add-on emission control retrofit technologies; idle reduction technologies; cleaner fuel use; engine repowers; engine upgrades; and/or vehicle or equipment replacement; and the creation of low-cost revolving loan programs to finance diesel emissions reduction projects. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for awards under this CFDA description will be described in the Federal Register Notice of Availability of Funds.

66.041 CLIMATE SHOWCASE COMMUNITIES GRANT PROGRAM FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

FY 2009 Appropriations Act, Public Law 111-8; FY 2010 Appropriations Act, Public Law 111-117.

OBJECTIVES:

Public Law 111-8 authorizes EPA to award competitive grants to communities to develop plans and demonstrate and implement projects which reduce greenhouse gas emissions. EPA will implement this grant authority by awarding grants to local and tribal governments for Planning, Demonstrations, and Implementation Projects designed to address climate change by reducing greenhouse gas emissions. Such projects should include a plan for measuring documentable reductions in greenhouse gas emissions and exhibit the capacity for replication. Grant activities should aim to: 1) reduce emissions of greenhouse gases; 2) build capacity within local and tribal agencies to address greenhouse gas emissions within their communities; 3) create meaningful and sustainable programs and management systems to achieve ongoing reductions; 4) link climate change initiatives with broader environmental, economic, and social concerns; 5) build and leverage partnerships across multiple stakeholder groups that facilitate front-end participation by communities impacted by climate change; and 6) create models of success that can be replicated across the nation.

Projects should also focus on addressing environmental justice (EJ) concerns in communities. EJ is the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies.

Funding Priority - Fiscal Year 2010: The Climate Showcase Communities Grant Program will support robust local and tribal initiatives that demonstrate potential for documentable reductions; integrate funded activities within a broader framework for climate management; leverage funding from other sources; contain clear mechanisms to share results and lessons learned within and outside of the community; and encourage economic, social, health and environmental co-benefits (e.g. green job creation, improve transit access for disadvantaged communities, public health improvements, reduce stormwater runoff, or reduction of co-pollutants produced concurrent with greenhouse gases).

In addition, the Climate Showcase Communities Grant Program seeks to support program focus areas that span a range of local and tribal government attributes (including geographic location, demographic makeup, community

size, economic circumstances, and level of previous climate change activity) and a range of project areas. Funding will be available for applicants who address greenhouse gas mitigation in one or more of the following priority areas: 1) energy use in municipal operations (including energy, water, and wastewater utilities); 2) energy use in residential, commercial, and/or industrial buildings; 3) transportation planning or vehicle miles traveled reduction programs; 4) land use planning; 5) solid waste management; 6) heat island management; 7) energy generation activities; and, (8) other innovative activities which generate measurable reductions of greenhouse gases.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

EPA will implement this grant authority by awarding grants to local and tribal governments for Planning, Demonstrations, and Implementation Projects designed to address climate change by reducing greenhouse gas emissions. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Local governments - a county, municipality, city, town, township, local public authority (including any public and Indian housing agency) school district, special district, intrastate district, council of governments, any other regional or interstate government entity, or any agency or instrumentality of a local government. Federally recognized Indian tribal governments - the governing body or a governmental agency of any Indian tribe, band, nation, or other organized group or community (including Native villages) certified by the Secretary of the Interior as eligible for the special programs and services provided by him through the Bureau of Indian Affairs. Intertribal Consortia - a partnership between two or more Tribes that is authorized by the governing bodies of those Tribes to apply for and receive assistance under this program. Intertribal Consortia are eligible to receive grants under this program only if the Consortium demonstrates that all members of the Consortium meet the eligibility requirements for the grant and authorize the Consortium to apply for and receive assistance by submitted to EPA documentation of (1) the existence of the partnership between Indian Tribal governments, and (2) Authorization of the Consortium by all its members to apply for and receive the grant(s) for which the Consortium has applied.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local Governments and Federally-recognized Indian Tribes, Intertribal Consortia, General Public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87, found in 2CFR 225, for State, Local, and Indian Tribal Governments. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional

information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. For competitive awards, EPA will review and evaluate applications, proposals and/or submissions in accordance with the terms, conditions, and criteria stated in the announcement of the competitive funding opportunity. Competition will be conducted in accordance with EPA policies/regulations for competing assistance agreements. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. In addition, EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov, or from EPA Regional Collaborative assistance agreement websites. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA's Office of Air and Radiation, other Headquarters Program Offices, and/or the 10 EPA Regions will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA intends to fully fund projects with the initial award, but reserves the right to incrementally fund projects as appropriate.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The match required for this program is set at 50% with the exception of tribal governments who are exempt from matching requirements. This program does not have a statutory formula, but EPA may allocate 5% of the funds to tribal governments.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grants and/or cooperative agreements funded under the Climate Showcase Communities Grant Program will have up to a five-year project period. However EPA will negotiate the project period with each applicant based on project requirements. It is anticipated that most Climate Showcase Community awards will be for 24 to 36 months. FY 2009 is the first year of funding for this Program. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

There is no minimum amount of assistance. EPA anticipates awarding grants ranging in value from \$100,000 to \$500,000 with an average award of \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 25 Communities, including 3 tribes, from 22 states were selected for funding in FY 2009. By 2012, the combined efforts of these communities are expected to reduce GHG emissions by 181,000 metric tons annually. In addition, the projects will save almost \$5 million in energy costs, create at least 60 jobs, and provide job training to over 350 individuals. Fiscal Year 2010: Projects have not yet been awarded in 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Local Communities Climate Change Initiative grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 31) and OMB Circular No.A-102.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Andrea Denny, Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Mail Code: 6202J, Washington, District of Columbia 20460 Email: denny.andrea@epa.gov Phone: (202) 343-9268.

Website Address:

<http://www.epa.gov/statelocalclimate/local/showcase/index.html>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects funded in FY 2009 included: energy efficiency in residential, commercial, and public buildings; curbside recycling; electric vehicle infrastructure; education and outreach programs; landfill methane capture; geothermal district heating; green development; inter-governmental collaboration; combined heat and power; and implementation of community climate action plans. Fiscal Year 2010: Projects have not yet been awarded in 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.042 TEMPORALLY INTEGRATED MONITORING OF ECOSYSTEMS (TIME) AND LONG-TERM MONITORING (LTM) PROGRAM

TIME/LTM

FEDERAL AGENCY:

Office of Air and Radiation, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Clean Air Act, Section 103(b)(3); National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

To conduct and promote the coordination and acceleration of research, investigations, experiments, demonstrations, surveys, studies relating to the causes, effects (including health and welfare effects), extent, prevention and control of air pollution. Clean Water Act section 104(b)(3) authorizes EPA to award grants to conduct and promote the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys and studies relating to the causes, effects, extent, prevention, reduction and elimination of pollution. EPA will award cooperative agreements to study the ecological response to pollution reduction and emissions control programs. The programs will assist in understanding biogeochemical changes in sulfur, nitrogen, mercury, and carbon in streams and lakes in relation to changing pollutant emissions and deposition, as well as other factors, such as potential climate change (e.g., temperature, precipitation, timing of storm events). Funding Priority - Fiscal Year 2010: The President's FY2010 Budget requested the transfer of funds for operation of the TIME/LTM programs from the Office of Research and Development (ORD) to the Clean Air Markets Division (CAMD) in the Office of Atmospheric Programs (OAP) within the Office of Air and Radiation (OAR). The TIME/LTM programs will support initiatives that demonstrate the potential for tracking and understanding the ecological response to air pollution reduction programs in acid-sensitive regions of the U.S. Funding will be available for applicants that demonstrate the ability to provide consistent, reliable, quality-assured data from lakes and streams for a variety of surface water chemistry parameters (e.g., pH, sulfate and nitrate concentrations, acid neutralizing capacity, aluminum concentrations, base cations).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

EPA will implement this grant authority by awarding cooperative agreements to state and local governments, institutions of higher education, and quasi-public and non-profit organizations that demonstrate the capability to perform the sampling, measurement, and parameter characterization of the lakes and streams in this long-term ecological system response program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to

conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal consortia; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of OMB Circular A-122. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments in acid-sensitive regions of the U.S., institutions of higher education, scientific research community, general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 are applicable. In addition, EPA requires final applications to be made on Standard Form 424, Application for Federal Assistance. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460. Standard Form 424 is also available for download at EPA's Office of Grants and Debarment (OGD) web site, <http://www.epa.gov/ogd/AppKit/application.htm>, from www.grants.gov. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients

will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. While there is no statutory or regulatory cost sharing requirement for this program, as a matter of policy the Agency may impose a cost sharing requirement in specific competitions. If the Agency decides to do this, the cost sharing terms will be stated in Section III of the competitive announcement.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds assistance agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 7 years. See the following for information on how assistance is awarded/released: Assistance agreements may be incrementally (quarterly) or fully (lump sum) funded.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable. No expenditure reports are required. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 or 40 CFR Part 30, as applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grants or cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$720,000; FY 11 est \$720,000

Range and Average of Financial Assistance:

There is no minimum amount of assistance. EPA anticipates awards ranging in value from \$100,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

TIME/LTM assistance agreements are subject to EPA's General Grant

Regulations (40 CFR Part 30 and 40 CFR Part 31, as applicable).

Regional or Local Office:

None.

Headquarters Office:

Richard Haeuber, Environmental Protection Agency, 1200 Pennsylvania Avenue N.W. Mail Code: 6204J, Washington, District of Columbia 20460
Email: haeuber.richard@epa.gov Phone: (202) 343-9250.

Website Address:

<http://www.epa.gov/airmarkets/assessments/surfacewater.html>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Not applicable. Fiscal Year 2010: No projects have yet been funded under this program. Examples of eligible projects include (but are not limited to): monitoring of small headwaters streams in undeveloped watersheds; monitoring of lakes smaller than four hectares with low acid neutralizing capacity levels in acid-sensitive regions; monitoring of lake and stream sulfate and nitrate concentrations in acid-sensitive regions of the eastern U.S. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed TIME/LTM projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.050 POTOMAC HIGHLANDS IMPLEMENTATION GRANTS

FEDERAL AGENCY:

Environmental Protection Agency

AUTHORIZATION:

EPA's Fiscal Year 2010 Appropriation Act ("Department of the Interior, Environment, and Related Agencies Appropriation Act, 2010") , Public Law 111-88; EPA's Fiscal Year 2010 Appropriation Act ("Department of the Interior, Environment, and Related Agencies Appropriation Act, 2010") , Public Law 111-68.

OBJECTIVES:

To protect and restore the resources of the Potomac Highlands through implementation of conservation and restoration work. The Mid-Atlantic Highland's Action Program (HAP) is the foundation for the Potomac Highlands Implementation Grant. The Mid-Atlantic Highlands encompasses 79,000 square miles in the Central Appalachians of Maryland, Pennsylvania, Virginia, and West Virginia. The region hosts some of the most diverse and globally important resources on Earth. It is rich in natural and cultural heritage where the environment plays an important role in the quality of life. After years of resource extraction and lack of regard for natural resources, the Mid-Atlantic Highlands has become an area in need of attention. Congress recognized the uniqueness of the area and the need to perform restoration, conservation and protection projects. In 2002, Congress funded the Mid-Atlantic Highland Action Program (HAP).

HAP uses the best available science including strategic conservation, to improve the natural resources and socio-economic conditions in the Highlands. Strategic conservation aims to build networks and connections between high value ecosystems by protecting intact resources and enhancing or restoring other areas. This strategic approach provides a framework for revitalizing and connecting forested headwaters and lands through river corridors and natural stream channel design, wetlands, working lands, ridge lines, steep slopes, riparian buffers, and flood plains. HAP is working toward achieving the following program goals:

1. Highlighting, protecting, and conserving special places that have ecological and cultural importance;
2. Highlighting important connections between these special places;
3. Revitalizing damaged ecosystems especially in key ecological corridors;
4. Empowering citizens and communities to strengthen the linkages among cultural heritage, economic viability and the condition of the environment;
5. Enhancing opportunities for the restoration industry in the Highlands, which will enhance lasting employment opportunities for its residents; and
6. Leveraging existing resources to support all these goals.

Potomac Highlands Implementation Grant will support the HAP goals within the geographic boundaries as defined above.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are used to support on-the-ground project work relating to protection of ecological resources and services to improve the Potomac Highlands ecosystem. For the purposes of the Potomac Highlands implementation grants, EPA considers the Potomac Highlands to be the Potomac Watershed west of and above the fall line plus the eight counties in the east central portion of West Virginia defined as the WV Potomac Highlands by the WV Department of Commerce. The eight counties are: Mineral, Hampshire, Grant, Tucker, Randolph, Pendleton, Pocahontas, and Hardy.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Funds are awarded to eligible entities that best meet the selection criteria described in the Request for Proposals.

Applicant Eligibility:

Assistance under this program will be available to State and local governments, as defined in 40 CFR Part 31.3, universities and colleges and other public and private nonprofit organizations. For-profit commercial entities are not eligible. Nonprofit organizations described in Section 501 (c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program will be available to State and local governments, as defined in 40 CFR Part 31.3, universities and colleges and other public and private nonprofit organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate that they have appropriate background, academic training, experience in the field and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae

and relevant publications. OMB Circular Nos. A-21, A-87, and A-122 apply to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. See http://www.whitehouse.gov/omb/grants_spoc/ to find out who your contact is in your state. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application procedures will be provided in the competitive announcement issued by EPA.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Matching Requirements: Applicants are required to provide a minimum non-federal match of 25 percent of the total cost of the project or projects. Match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR 31.24 or 40 CFR 30.23).

Matching Requirements: Applicants are required to provide a minimum non-federal match of 25 percent of the total cost of the project or projects. Match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR 31.24 or 40 CFR 30.23).

This program does not have MOE requirements. MOE is a federal requirement that requires grant recipients and/or sub-recipients to maintain a certain level of state/local fiscal effort to be eligible for full participation in federal grant funding.

Length and Time Phasing of Assistance:

Awards are released through an electronic funds transfer method. See the following for information on how assistance is awarded/released: Awards are released through an electronic funds transfer method.

Reports:

In addition to the general reporting requirements under 40 CFR, EPA includes specific reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31. Agreements may require quarterly, interim, and final progress reports. Agreements may require expenditure reports. Performance monitoring is required. Cash reports are not applicable. In addition to the general reporting requirements under 40 CFR, EPA includes specific reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31. Agreements may require quarterly, interim, and final progress reports. Agreements may require expenditure reports. Performance monitoring is required. Expenditure reports are required. Performance monitoring reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Not applicable.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$1,800,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range of funding will be between \$0 to \$1.8 Million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Parts 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices. EPA, Region 3, Mail Code 3EA00, 1650 Arch Street, Philadelphia, PA 19103

Tom DeMoss, 410-295-1356, Email: demoss.tom@epa.gov

Susan Spielberger, 215-814-5356, fax 215-814-5718

Email: spielberger.susan@epa.gov.

Headquarters Office:

Danielle Algazi, EPA, Region 3, Mail code 3EA00, 1650 Arch Street, Philadelphia, PA 19103, Philadelphia, Pennsylvania 19103 Email: algazi.danielle@epa.gov Phone: (215) 814-2722 Fax: (215) 814-5718.

Website Address:

<http://www.epa.gov/region03/grants/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

**66.111 REGIONAL ENVIRONMENTAL PRIORITY PROJECTS
FEDERAL AGENCY:**

Region 7, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10; Safe Drinking Water Act, Section 1442; Solid Waste Disposal Act, Section 8001; Clean Air Act, Section 103; Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20.

OBJECTIVES:

To support investigations, experiments, training, demonstrations, surveys, studies, and special purpose assistance to protect public health and prevent, reduce, and eliminate pollution in Iowa, Kansas, Missouri, and Nebraska. Projects may be single media or multimedia which support the regional environmental priorities. Single media grants support pollution prevention efforts for individual environmental law statutes. Multimedia grants are assistance agreements that are awarded citing two or more environmental law statutes, appropriation legislation, or applicable legislative history as the statutory authority.

Funding Priority - Fiscal Year 2010: Funded projects will focus on the regional environmental priorities and include unique, geographically-based projects that support the Agency's ability to protect human health and the environment. These projects may address places, sectors, or innovative projects, and focus on prevention, agriculture, climate change and energy, and/or collaborative efforts to protect human health and the environment and achieve environmental results. These projects may also demonstrate state, local, and/or other stakeholder participation; identify opportunities for leveraging other sources of funding; or support smart growth planning in communities. Smart growth projects are those focused on improving the quality of life in communities by integrating the tenets of ecology, equity and management by citizens. Currently there is no funding available in FY 2010.

Funding Priority - Fiscal Year 2011: Funded projects will focus on the regional environmental priorities and include unique, geographically-based projects that support the Agency's ability to protect human health and the environment. The projects may address places, sections, or innovative projects, and focus on prevention, agriculture, climate change and energy, and/or collaborative efforts to protect human health and the environment and achieve environmental results. These projects may also demonstrate state, local and other stakeholder participation; identify opportunities for leveraging other sources of funding; or support smart growth planning in communities. Smart growth projects are those focused on improving the quality of life in communities by integrating the tenets of ecology, equity and management by citizens. It is not anticipated that there will be funding available for these activities in 2011.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved investigations, experiments, training, demonstrations, surveys, studies, and special purpose assistance plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. "Geospatial Information" includes: Information that identifies the geographic location and characteristics of natural or constructed

features or boundaries on the Earth, or applications, tools, and hardware associated with the generation, maintenance, or distribution of such information. This information may be derived from, among other things, GPS, remote sensing, mapping, charting, and surveying technologies, or statistical data.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia; public and private universities and colleges; hospitals; laboratories; other public or private nonprofit institutions; for-profit groups; and individuals which submit applications proposing projects with significant technical merit and relevance to EPA's mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. If an applicant submits an unsolicited proposal it will be reviewed and evaluated in accordance with Agency policy and procedures. For more information regarding the procedures for submitting unsolicited proposals, applicants may contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. EPA requires eligible applicants to submit Standard Form 424, Application for Federal Assistance. Application kits should be requested from and submitted to the U.S. Environmental Protection Agency, Grants Administration Office, 901 N. 5th Street, Kansas City, Kansas 66101. Detailed information and assistance, including Standard Form 424 are available at:<http://www.epa.gov/region07/economics/index.htm>. For competitive awards, Requests for Initial Proposals, Requests for Proposals, or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. While applicants may submit unsolicited proposals, such proposals are funded only under very limited circumstances and in accordance with Agency procedures. Unsolicited proposals will be reviewed and evaluated based on criteria including but not limited to (i) the extent the proposal is unique or innovative, (ii) whether the proposal was independently originated and developed by the applicant, (iii) and the extent the proposal supports regional priorities and/or efforts to protect the environment and public health.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. EPA can incrementally fund grants and cooperative agreements for investigations, experiments, training, demonstrations, surveys, studies, and special purpose assistance. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual) and will be paid electronically utilizing automated funds transfer. The total approved project period may not exceed 5 years for competitive awards. See the following for information on how assistance is awarded/released: Funding will be provided via electronic funds transfer and on a cost-incurred basis.

Reports:

Successful assistance recipients will be required to submit performance/progress reporting to the U.S. EPA. These reports may be required to be submitted on a quarterly/semi-annual/annual basis. Frequency of these reports will be specified in the grant award documents. Assistance recipients will be required to submit a Federal Financial Report. The frequency of submittal of this report shall be specified in the grant award document. Successful assistance recipients will be required to submit performance/progress reporting to the U.S. EPA. These reports may be required to be submitted on a quarterly/semi-annual/annual basis. Frequency of these reports will be specified in the grant award documents. Successful assistance recipients may be required to submit expenditure information to support

the performance reporting or amount of funding reimbursed. If this information will be required, the assistance award will be conditioned accordingly. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Activities are still being conducted under this CFDA using previous years' funding. Although the program did not receive funding in FY09 or FY10, EPA believes the program may receive funding in FY11.

Range and Average of Financial Assistance:

In fiscal year 2008, projects funded from Regional Geographic Initiative and Environmental Priority Program funding averaged \$15,000 to \$90,000 per project. No projects were funded in fiscal year 2009 due to funding constraints.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In recent years, Regional Geographic Initiative and Environmental Priority Program funding has primarily supported work on water quality issues involving small communities to ensure community systems provide water that meets all applicable health-based drinking water standards through effective treatment and source water protection; nitrate levels are reduced and community awareness is increased to prevent water pollution. Funding has been provided for air quality issues including reduction of truck congestion, idling and safety concerns caused by the large number of grain trucks in Cedar Rapids, Iowa. Funding supported the Blue Skyways Collaboratives work for Cargill and other area industries to provide alternatives to truck idling in a main area of downtown Cedar Rapids. On-farm assistance has also been provided to address energy efficiency and air pollution reductions in the central plains and to fund and support an Environmental Finance Center to assist small communities, businesses and organizations with the environmental protection and regulatory compliance activity funding challenges. For Fiscal Year 2009, no applications have been received and no projects were funded. Fiscal Year 2010: Program not funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Investigations, experiments, training, demonstrations, surveys, studies, and special purpose grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the EPA Region 7 located at 901 N. 5th Street, Kansas City, KS 66101, Wendy Lubbe, Telephone: (913) 551-7551; Fax: (913) 551-9551, E-mail: lubbe.wendy@epa.gov.

Headquarters Office:

Ashley Betts, EPA Region 7, 901 N. 5th Street, Kansas City, Kansas 66101

Email: betts.ashley@epa.gov Phone: (913) 551-7336.

Website Address:

<http://www.epa.gov/region07/economics/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No projects were funded for FY 2009. Fiscal Year 2010: No projects have been funded for FY 2010 at this time. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA selects proposed projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.113 REGION 3 ENVIRONMENTAL PRIORITY PROJECTS

FEDERAL AGENCY:

Region 3, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Solid Waste Disposal Act, Section 8001; Toxic Substances Control Act, Section 10; Safe Drinking Water Act, Section 1442; Clean Air Act, Section 103.

OBJECTIVES:

Assistance agreements awarded under this program must support, surveys, studies, investigations, training, demonstrations and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, or pesticides: (a) to identify, develop, and demonstrate necessary pollution control techniques; (b) to prevent, reduce, and eliminate pollution; (c) to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; and (d) to promote collaboration on projects and activities within the states and enable wider and more coordinated state input on national environmental issues. Awards under this program must be performed within the Region III area of Delaware, Maryland, Pennsylvania, Virginia, West Virginia and/or District of Columbia.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance awards in the form of grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, training, demonstrations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Assistance under this program is generally available to state and local governments and the District of Columbia. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions (Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.) For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, public and private universities and colleges,

hospitals, laboratories, and other public or private nonprofit institutions within Region III's geographical area.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Region III representatives may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA, Region 3 awards grants and cooperative agreements supporting surveys, studies, investigations, training, demonstrations and special purpose assistance under this program description in response to an EPA competitive solicitation. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA Region 3 requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Region 3, 1650 Arch Streets, Philadelphia, PA 19103, Grants and Audit Management Branch (3PM70) Additional information on the EPA assistance package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. For competitive awards the funding announcement will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. EPA can incrementally fund grants and cooperative agreements for surveys, studies, and investigations, training, demonstrations and special purpose assistance. The approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to Region 3 priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis. However, EPA Region III can negotiate the project period with each applicant based on project requirements. The total approved project period may not exceed 2 years for competitive awards. See the following for information on how assistance is awarded/released: Full or partial awards may be made. Awards are released through an electronic funds transfer method.

Reports:

No program reports are required. In addition to the general reporting requirements under 40 CFR, EPA includes specific reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require financial, equipment, and invention reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31. Agreements may require quarterly, interim, and final progress reports. Agreements may require expenditure reports. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits or litigation remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$6,500; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

This program was not funded in FY 2008. One award was made in FY 2009 for \$6,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: One award was made in FY 2009 to support Region 3 strategic initiatives. Fiscal Year 2010: There is no current funding planned for FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Parts 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices. EPA, Region 3, 1650 Arch Street, Philadelphia, PA 19103, Kathleen Blinebury, 215-814-5395, fax 215-814-5271, blinebury.kathleen@epa.gov.

Headquarters Office:

Kathleen Blinebury EPA Region 3
1650 Arch Street, Philadelphia, Pennsylvania 19103 Email:
blinebury.kathleen@epa.gov Phone: 215-814-5395

Website Address:

<http://www.epa.gov/region03/grants/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 2009, a grant was awarded for a hospital environmental compliance. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.119 WEST COAST ESTUARIES INITIATIVE

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Consolidated Appropriations Act for Fiscal Year 2008, Public Law 110-161.

OBJECTIVES:

The West Coast Estuaries Initiative is a focused effort that evolved from the Environmental Protection Agency's Targeted Watersheds Grants Program. The initiative is designed to promote and support the protection and restoration of high value coastal aquatic resources in geographic areas that are subject to the pressures of population and economic growth through comprehensive watershed protection and management practices at the local level.

The projects receiving assistance will support the EPA's goals for Region 10's coastal watersheds from Alaska to Oregon's southern border. These goals include:

(1) clean and safe water through the protection of water quality and (2) healthy communities and ecosystems through the restoration and protection of ecosystems.

Funding Priority - Fiscal Year 2010: No appropriations were received for FFY 2010. The Region does not expect to make any solicitations or awards under this program in FFY 2010.

Funding Priority - Fiscal Year 2011: No funds have been requested for FFY 2011. As of this time the EPA does not expect to make any solicitations or awards under this program in FFY 2011.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Local and tribal governments are at various stages of developing and applying effective and robust watershed programs. Some need to improve the scientific understanding of their watersheds. Others understand how their watersheds work and need assistance implementing a plan. Therefore, a wide range of activities will be eligible including, but not limited to, activities that:

(1) Enhance and implement watershed protection and restoration plans, land use and transportation plans, basin plans, storm water controls and/or land development standards to maintain native vegetation and natural hydrology by protecting and restoring wetland, riparian, upland and near shore habitats and ecological processes.

(2) Implement watershed protection by enhancing local planning processes under the state's Coastal Zone Management Program through the development of watershed land use designations, development standards and other

regulations or incentive programs that protect and restore coastal watersheds.

(3) Refine and implement watershed land use plans based on watershed models predicting hydrological impacts of alternative future land cover conditions, development scenarios and resulting aquatic resource conditions.

(4) Develop and carry out laws, ordinances and incentive programs to implement watershed programs such as systematic implementation of low impact development in sensitive basins, land acquisition or transfer of development rights approaches and techniques.

More details on the restrictions for this program will be provided in the request for proposals which will be published on the Region 10 web site.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Certain projects or activities are ineligible for West Coast Estuaries funding. These include activities required or regulated under the CWA. For example, activities for the development of Total Maximum Daily Loads (TMDLs) and required activities under Phase I and II Stormwater permits will not be funded. Activities implementing the non-regulatory component of TMDLs are eligible (e.g., the elements of a watershed plan that address non-point source pollution).

(1) Enhance and implement watershed protection and restoration plans, land use and transportation plans, basin plans, storm water controls and/or land development standards to maintain native vegetation and natural hydrology by protecting and restoring wetland, riparian, upland and near shore habitats and ecological processes.

(2) Implement watershed protection by enhancing local planning processes under the state's Coastal Zone Management Program through the development of watershed land use designations, development standards and other regulations or incentive programs that protect and restore coastal watersheds.

(3) Refine and implement watershed land use plans based on watershed models predicting hydrological impacts of alternative future land cover conditions, development scenarios and resulting aquatic resource conditions.

(4) Develop and carry out laws, ordinances and incentive programs to implement watershed programs such as systematic implementation of low impact development in sensitive basins, land acquisition or transfer of development rights approaches and techniques.

More details on the restrictions for this program will be provided in the request for proposals which will be published on the Region 10 web site.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Certain projects or activities are ineligible for West Coast Estuaries funding. These include activities required or regulated under the CWA. For example, activities for the development of Total Maximum Daily Loads (TMDLs) and required activities under Phase I and II Stormwater permits will not be funded. Activities implementing the non-regulatory component of TMDLs are eligible (e.g., the elements of a watershed plan that address non-point source pollution).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf

of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Federally recognized Indian Tribal governments located within or governing territory within the coastal watersheds west of the Cascade Mountain divide of Washington and Oregon, including those watersheds entering the Columbia River below Bonneville Dam, are eligible to apply. Local governments located within or governing territory within the coastal watersheds west of the Cascade Mountain divide of Washington and Oregon, including those watersheds entering the Columbia River below Bonneville Dam, are also eligible to apply. Also eligible to apply are special purpose districts including, but not limited to, irrigation districts, conservation district and water & sewer districts located within the coastal watersheds west of the Cascade Mountain divide of Washington and Oregon, including those watersheds entering the Columbia River below Bonneville Dam. Similar entities in three specific coastal watersheds in Alaska will also be eligible to apply. Those watersheds are the Lower Susitna, Matanuska and Anchorage Borough watersheds.

State agencies, institutions of higher education and nongovernmental entities are not eligible to receive a direct award under this announcement. However, they are encouraged to work as collaborators (subrecipients or subcontractors) with eligible applicants. Federal agencies are ineligible for subaward or subcontract work under this announcement.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

In accordance with EPA's Assistance Agreement Competition Policy (EPA Order 5700.5A1), EPA staff will not meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. Applicants are responsible for the contents of their respective applications and proposals. The EPA will respond to questions from individual applicants regarding threshold eligibility criteria, administrative issues related to the submission of a proposal and requests for clarification about the request for proposals. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be required to submit a formal grant application. The standard application forms as furnished by the EPA and required by OMB Circular s No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants Administration Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or though the EPA Region 10

Grants Administration web site at:

<http://yosemite.epa.gov/r10/omp.nsf/webpage/Region+10+Grants+Administration+Unit> . Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all proposals or applications and make no awards under any RFP issued under this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The region expects that its review of the applications received will be completed within 120 to 150 days following the deadline for the submission of applications.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula requirement. Under the Targeted Watershed Grants program, of which this initiative is a component, it is the Agency's policy to require applicants to demonstrate a minimum non-federal match of 25 percent of the total cost of the project or projects. Match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR Section 31.24 or 40 CFR Section 30.23, as applicable). Tribes may be exempt from this match requirement if they are constrained to such an extent that fulfilling the match requirement would impose an undue economic hardship on the Tribe.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the cooperative agreement will be determined at the time the financial assistance is awarded. See the following for information on how assistance is awarded/released: The assistance would be awarded by a grant or cooperative agreement. Typically, assistance recipients draw cash on a quarterly basis to obtain reimbursement for allowable costs incurred to date.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be specified in the individual assistance agreements. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to

personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$2,800,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Individual awards are expected to range in size from \$400,000 to \$600,000. If the EPA receives proposals for seven (7) approvable projects, the mean award size would be \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The first awards within the Puget Sound Estuary for the Targeted Watershed Grants program were made in Federal Fiscal Year 2008. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with local governments, tribal governments and special purpose districts, the procedures and requirements should be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 CFR Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies", and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments."

Regional or Local Office:

See Regional Agency Offices. Daniel Steinborn, Puget Sound Estuary Program Financial Assistance Manager Ecosystems and Community Health Unit, Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 900, ETPA-086 Seattle, WA 98101 (206) 553-2728 (1-800) 424-4EPA, Ext. 3-2728 E-mail: steinborn.daniel@epa.gov.

Headquarters Office:

Daniel Steinborn, Ecosystems and Community Health Unit, Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 900, ETPA-086 , Seattle, Washington 98101 Email: steinborn.daniel@epa.gov Phone: (206) 553-2728.

Website Address:

<http://yosemite.epa.gov/r10/water.nsf/Office+of+Water/WEI08RFP>

RELATED PROGRAMS:

66.439 Targeted Watersheds Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Puget Sound Estuary for the Targeted Watershed Grants program. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be published in the announcement of the competitive funding opportunity (the Request for Proposals or RFP). Projects will be selected based on a competitive process which may include factors such as relevancy to the EPA's mission, technical merit, the geographic diversity represented by the array of possible projects and the likelihood of success. In making the final funding decisions, the Approving Official may also consider national and regional programmatic priorities, the Region 10 strategy and the balance of funds available. The actual selection criteria to be used will be identified in the RFP.

66.120 PUGET SOUND WATERSHED MANAGEMENT ASSISTANCE

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title III, Section 320, Public Law 94-117, 33 U.S.C 1330;

Clean Water Act, Title III, Section 320, Public Law 106-457, 33 U.S.C 1330; Omnibus Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

The overall goal of the National Estuary Program is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water.

Puget Sound has been designated as an estuary of National Significance under section 320 of the Clean Water Act. The goal of all 28 National Estuary Programs is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water.

The goal of the Puget Sound National Estuary Program, i.e., the Puget Sound Partnership, approved Comprehensive Conservation and Management Plan (CCMP) (the 2020 Action Agenda) is to restore and maintain the Puget Sound Estuary's estuarine environment, by 2020, so that it will support balanced indigenous populations of shellfish, fish and wildlife and support the extensive list of recognized uses of Puget Sound.

In the FFY 2009 Omnibus Appropriations Act, Puget Sound restoration funds are provided to develop and implement programs that will help meet the Clean Water Act goal of improved water quality as well as improved air quality and minimization of the adverse impacts of rapid development in the Puget Sound Basin, including activities linked to nonpoint sources or habitat restoration work. Programs and projects would promote the use of comprehensive watershed protection and management practices at the local level to achieve nonpoint source pollution reduction and protection and restoration of habitat and aquatic resources in watersheds that are subject to the pressures of development and growth.

By 2011, EPA Region 10, working with its key state, tribal and local partners have committed to attaining the following environmental outcomes for the Puget Sound Basin through 2011:

-1,000 acres of shellfish bed growing areas, currently impacted by degraded or declining water quality, show improved water quality and corresponding lifting of harvest restrictions;

-200 acres of prioritized contaminated sediments are remediated and upstream source controls are put in place;

-3,500 acres of tidally or seasonally influenced estuarine wetlands are restored and protected;

In addition, by 2011, we are committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

Funding Priority - Fiscal Year 2010: A priority for the 2020 Action Agenda and the priority for FFY 2010 under this CFDA is to provide assistance to units of local government, special purpose districts and tribal governments working to develop, demonstrate and use innovative land use management tools to manage and minimize the potential adverse effects of population and economic growth on their communities' water quality and aquatic habitat.

A second and equally important priority for FFY 2010 is to provide financial assistance to local government, special purpose district and tribal government efforts to implement the near-term priorities of the 2020 Action Agenda. For example, under the state's Shoreline Management Program, many local governments play a key role in implementing the Action Agenda. These local governments will be updating their respective shoreline master programs to

incorporate the directions of the Action Agenda and improve them based on the experiential knowledge that local governments have developed since these programs were last revised. In this instance, this funding would be intended to help local governments develop an updated Shoreline Master Program that are fully integrated with their program or programs to manage the affected watersheds.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Local and tribal governments are at various stages of developing and applying effective and robust water quality watershed programs. Some need to improve their scientific understanding of their watersheds. Others understand how their watersheds work and need assistance implementing a watershed plan. Therefore, a wide range of activities will be eligible for funding under this program including, but not limited to activities that: (1) Develop, demonstrate, enhance and implement watershed protection and restoration plans, land use and transportation plans, basin plans, storm water controls and/or land development standards to maintain native vegetation and natural hydrology by protecting and restoring wetland, riparian, upland and near shore habitats and ecological processes. (2) Promote watershed protection by enhancing local planning processes authorized by the state's Coastal Zone Management Program such as the development of watershed land use designations, development of standards and other regulations or of incentive programs that protect and restore coastal watersheds. (3) Refine and implement watershed land use plans based on watershed models that predict the hydrological impacts of alternative future land cover practices and on development scenarios and their projected impacts on aquatic resources. (4) Develop, carry out and study the effectiveness of laws, ordinances and incentive programs to implement watershed programs such as systematic implementation of low-impact development in sensitive basins, land acquisition or transfer of development rights approaches and techniques.

Restrictions: All uses of these funds must be consistent with the goals and objectives of the 2020 Puget Sound Action Agenda. Activities permitted under the Clean Water Act are not eligible for funding under this program. Specifically, required activities under NPDES Phase I and II stormwater permits are not eligible for funding under this program.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Units of local government, under Washington State law, and federally recognized Indian Tribes located within the greater Puget Sound basin are eligible to apply. Also eligible to apply are special purpose districts, as defined by Washington State law at R.C.W. 36.93.020, including but not limited to, irrigation districts, and water and sewer districts that are located in or govern land and water resources within the greater Puget Sound basin. Conservation districts located in or governing land and water resources within the greater Puget Sound Basin are also eligible to apply for assistance under this program. The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca.

Federal and state agencies, institutions of higher learning, watershed planning units formed under RCW 90.82.040 and RCW 90.82.060, local management boards organized under RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement groups organized pursuant to RCW 77.95.060, Marine Resource Committees organized

pursuant to RCW 36.125 and nongovernmental entities are not eligible to directly receive financial assistance awards under this announcement. Business enterprises and individuals or families will also not be eligible applicants.

However, EPA strongly encourages local and tribal governments to solicit participation from these types of entities as local collaborators. All of these types of entities are eligible to apply for subawards or subcontracts from a successful award recipient.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. The specific types of eligible applicants will be identified in the individual Requests for Proposals.

Beneficiary Eligibility:

The ultimate beneficiary of the assistance offered under this program will be the general public that lives and works in the Puget Sound region.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants will be required to submit application materials as described in the Request For Proposals. The Request For Proposals will also specify the submission methods which generally include an electronic and hard copy submission option. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://yosemite.epa.gov/r10/omp.nsf/webpage/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all proposals or applications and make no awards under any RFP issued under this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients

will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Puget Sound Watershed Management Assistance program has no statutory formula for allocating the funds. All of the grants will be for implementation projects under the Puget Sound Comprehensive Conservation Management Plan (CCMP) under CWA Section 320(g)(2) and Section 320(g)(3)(ii). There is a statutory match of 50 percent of the total project costs for implementation project grants under CWA Section 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match.

For the awards to be made under the competitions conducted under this program, the Puget Sound Management Conference, represented by the Puget Sound Partnership, has agreed to provide 67 percent of the 50 percent required non federal match for successful project proposals for the 2010-2011 biennium under a cooperative agreement with EPA. Accordingly, in order to meet the match requirement for the competitive solicitations issued under this program, EPA Region 10 will require that all selected recipients provide a match amount that is equal to 33 percent of the 50 percent required match. Thus, for each proposal funded under this program, the required 50 percent match will be met. The match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR Section 31.24 or 40 CFR Section 30.23, as applicable). The example below illustrates how this will work.

Match Example:

For an applicant requesting \$600,000 in federal funds under a competition, the total match required would be \$600,000. Under the competition, the applicant will be required to provide a match of \$198,000, or 33 percent of the required 50 percent match. The remaining \$402,000 (\$600,000 - \$198,000) of the required 50 percent match will be met at the aggregate level by the Puget Sound Partnership.

Contact the Puget Sound Partnership office or EPA Regional Office contact identified in this program description for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance will be awarded during Federal Fiscal Year 2010. Funds will be disbursed to assistance recipients in accordance with the terms specified in the assistance agreement. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms specified in the assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule showing interim milestones and the outputs that will be completed by the end of the project period will also be included. Typical progress reports will discuss the progress that the assistance recipient has made on towards achieving each major goal or objective identified in the approved statement of work. The report would also discuss the progress that has been made towards each interim milestone. Progress reports will also discuss any difficulties or problems that the assistance recipient has encountered and how those problems have been or are being resolved. Other specific reporting requirements will be defined in the Assistance Agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the user to confirm that matching requirements are being met and that all assistance payments (disbursements to the assistance recipient) are for costs that have been incurred in compliance with applicable cost principles. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$13,000,000; FY 10 est \$9,000,000; FY 11 est \$0 - Region 10 expects to obligate approximately \$13 million in FFY 2009 funds in awards made in FFY 2010. Additional funds from the Agency's FFY 2010 appropriation may be obligated to this program. These obligations from FFY 2010 may total up to approximately \$9 million and would be made in awards completed in FFY 2010. All of these awards are in response to a competitive solicitation completed in FFY 2010. The Region is not planning additional solicitations under this program in FFY 2010. FY 2011 estimate is not available.

Range and Average of Financial Assistance:

The range for awards in Requests for Proposals issued under this CFDA listing to between \$300,000 and \$1,000,000. The average award would be approximately \$700,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with local governments, tribal governments and special purpose districts, the procedures and requirements should be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 CFR Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies", and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments."

OMB Circular A-87 has been codified as 2 CFR Part 225. EPA's requirements under OMB Circular A-102 are codified at 40 CFR Part 31.

Regional or Local Office:

See Regional Agency Offices. Daniel I. Steinborn, Puget Sound Financial Assistance Project Officer, Ecosystems and Community Health Unit, Office of Ecosystems, Tribal and Public Affairs, Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 900, ETPA-086, Seattle, WA 98101(206) 553-2728 or (1-800) 424-4EPA, Ext. 3-2728, E-mail: steinborn.daniel@epa.gov.

Headquarters Office:

Daniel Steinborn, Ecosystems and Community Health Unit, Office of Ecosystems, Tribal and Public Affairs, Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 900, ETPA-086, Seattle, Washington 98101 Email: steinborn.daniel@epa.gov Phone: (206) 553-2728.

Website Address:

<http://yosemite.epa.gov/R10/ecocomm.nsf/puget+sound/funding>

RELATED PROGRAMS:

66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be published in the announcement of the competitive funding opportunity (the Request for Proposals or RFP).

66.121 PUGET SOUND PROTECTION AND RESTORATION: TRIBAL IMPLEMENTATION ASSISTANCE PROGRAM

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title III, Section 320, Public Law 106-457, 33 U.S.C 1330; Omnibus Appropriations Act, 2009, Public Law 111-8; Clean Water Act, Title III, Section 320, Public Law 94-117, 33 U.S.C 1330.

OBJECTIVES:

Puget Sound has been designated an estuary of National Significance under 320 of the Clean Water Act. The goal of all 28 National Estuary Programs is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water.

The goal of the Puget Sound National Estuary Program, i.e., the Puget Sound Partnership, approved Comprehensive Conservation and Management Plan (CCMP) (the 2020 Action Agenda) is to restore and maintain the Puget Sound Estuary's estuarine environment, by 2020, so that it will support balanced indigenous populations of shellfish, fish and wildlife and support the extensive list of recognized uses of Puget Sound.

In the FFY 2009 Omnibus Appropriations Act, Puget Sound restoration funds are provided to develop and implement programs and projects that will help meet the Clean Water Act goal of improved water quality as well as improved air quality and minimization of the adverse impacts of rapid development in the Puget Sound basin including activities linked to nonpoint sources or habitat restoration projects.

By 2011, EPA Region 10, working with its key state, tribal and local partners, have committed to attaining the following environmental outcomes for the Puget Sound Basin through 2011:

- 1,000 acres of shellfish bed growing areas, currently impacted by degraded or declining water quality, show improved water quality and corresponding lifting of harvest restrictions;
- 200 acres of prioritized contaminated sediments are remediated and upstream source controls are put in place;
- 3,500 acres of tidally or seasonally influenced estuarine wetlands are restored and protected;

The Puget Sound Protection and Restoration: Tribal Implementation Assistance Program is aimed at assisting the Indian Tribes of the greater Puget Sound basin in their efforts to carry out work critically needed for Puget Sound protection and restoration. Work that is critically needed for Puget Sound protection and restoration includes activities identified in, or consistent with, the Puget Sound Action Agenda, which is the EPA approved Comprehensive Conservation and Management Program (CCMP) for the Puget Sound National Estuary under 320 of the Clean Water Act. These activities include, but are not limited to, work called for by established salmon recovery plans in the Puget Sound basin.

EPA has a trust responsibility to Federally recognized Indian Tribes in the region. EPA also recognizes that Federally recognized Indian Tribes in the greater Puget Sound basin have a critical role in the protection and restoration of the ecosystem and its resources. EPA seeks to provide financial assistance to these Tribes to help them finance implementation of priority strategies and actions in or consistent with the approved 320 CCMP for Puget Sound including, but not limited to, projects called for by established salmon recovery

plans.

Funding Priority - Fiscal Year 2010: The priority for funding in FFY 2010 will be noncompetitively awarded Cooperative Agreements with each Puget Sound Tribe and each recognized intertribal consortium to support their efforts to consult with and coordinate with the Puget Sound Partnership in the implementation and updating of the CCMP for Puget Sound.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This assistance may be used by Tribes to implement work that is critically needed for Puget Sound restoration and protection. Such work includes priority actions or strategies in or consistent with the approved 320 CCMP for Puget Sound including, but not limited to, projects called for by established salmon recovery plans, except where the proposed work is inconsistent with applicable Federal law, regulation or published EPA policy.

Additional information on use restrictions, if any, for the Puget Sound Protection and Restoration: Tribal Implementation Assistance Program, will be provided in each request for proposals published on the EPA Region 10 website.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

All federally recognized Indian Tribes located within the greater Puget Sound basin, and any consortium of these eligible Tribes, may apply for funding under the program. The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca.

An eligible Intertribal consortium is one that demonstrates that 1) a majority of its members meets the eligibility requirements for this program; 2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and 3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect.

An Intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, Intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An Intertribal consortium resolution is not adequate documentation of the member Tribes' authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

Federal and state agencies, institutions of higher learning, units of local government, special purpose districts, conservation districts, watershed

planning units organized pursuant to RCW 90.82.040 and 060, local management boards organized pursuant to RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement group organized pursuant to RCW 77.95.060 and nongovernmental entities are not eligible to directly receive financial assistance awards under this announcement. Business enterprises and individuals or families will also not be eligible applicants.

However, EPA strongly encourages eligible applicants to solicit participation from these types of entities as local collaborators. All of these types of entities are eligible to apply for subawards or subcontracts from a successful award recipient.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The beneficiary of this assistance under this program would be the Federally recognized Indian Tribes or the consortia of these Tribes that receive the assistance. Ultimate beneficiaries would include the tribal members and the general public (due to the general public's interest in restoring and protecting the resources of Puget Sound).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog.

This program is excluded from coverage under E.O. 12372 "Intergovernmental Review of Federal Programs" because the State of Washington has chosen to not participate in this review process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants will be required to submit application materials as described in the Request For Proposals. The Request For Proposals will also specify the submission methods which generally include an electronic and hard copy submission option. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://yosemite.epa.gov/r10/omp.nsf/webpage/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to reject all applications and make no awards under any RFP issued under this program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. The Region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Puget Sound Protection and Restoration: Tribal Implementation Assistance program has no statutory formula for allocating the funds. All of the grants will be for implementation projects under the Puget Sound Comprehensive Conservation Management Plan (CCMP) under CWA 320(g)(2) and 320(g)(3)(ii). There is a statutory match of 50% of the total project costs for implementation project grants under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match.

For the awards to be made under the competitions conducted under this program, the Puget Sound Management Conference, represented by the Puget Sound Partnership, has agreed to provide all of the required non federal match for successful project proposals for the 2010-2011 biennium under a cooperative agreement with EPA. Accordingly, eligible applicants will not be required to provide any of the required non-federal match.

Contact the Puget Sound Partnership office or EPA Regional Office contact identified in this program description for more information. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance will be awarded during FFY 2010. Funds will be disbursed to assistance recipients in accordance with the terms specified in their respective assistance agreements. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms of each assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule of interim milestones and the outputs that will be completed by the end of the project period will also be included. Typical progress reports will discuss the progress that has been made on each major task and on each interim milestone identified in the approved statement of work. Progress reports will also discuss any difficulties or problems that have been encountered and how they have been or are being resolved. Other specific reporting requirements will be defined in the assistance agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the report user to confirm that matching requirements, if any, are being met and that all assistance payments (disbursements to assistance recipients) are for costs that have been incurred in compliance with applicable costs principles. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United

States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be maintained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$5,000,000; FY 10 est \$4,200,000; FY 11 est \$3,300,000 - The Region is making approximately \$5 million in obligations of FFY 2009 funds with awards being made in FFY 2010. Additionally, it is discussing making up to an additional \$1 million in awards from FFY 2010 funds in FFY 2010 under a closed competitive solicitation.

The Region will also be obligating approximately \$3.2 million in FFY 2010 funds to support Tribal implementation efforts under noncompetitive awards authorized by an exemption from competition under the EPA's Grants Competition Policy, granted on March 2, 2010.

The Region has not yet determined whether it will be making any additional competitive solicitations under this program in FFY 2010.

FFY 2011 estimated obligations are \$3.3 million for the noncompetitive Tribal awards.

Range and Average of Financial Assistance:

The range of expected awards is between \$100,000 and \$150,000 with an average of \$125,000. Noncompetitive awards to tribes and intertribal organizations under the exemption from the EPA's Grants Competition Policy granted on March 2, 2010, will be for Cooperative Agreements that the EPA expects to fund incrementally over a five year period that expires at the end of FFY 2015. These awards may range from \$300,00 to \$400,000/year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with tribal governments and intertribal organizations, assistance recipients must comply with 40 C.F.R. Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies", and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments." OMB Circular A-87 has been codified as 2 C.F.R. Part 225. EPA's requirements under OMB Circular A-102 are codified at 40 C.F.R. Part 31.

Regional or Local Office:

See Regional Agency Offices. Daniel I. Steinborn, Puget Sound Financial Assistance Project Officer, Ecosystems and Community Health Unit, Office of Ecosystems, Tribal and Public Affairs, Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 900, ETPA-086, Seattle, WA 98101, (206) 553-2728 or (1-800) 424-4EPA, Ext. 3-2728, E-Mail: steinborn.daniel@epa.gov.

Headquarters Office:

Daniel Steinborn, Office of Ecosystems, Tribal and Public Affairs, Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 900, ETPA-086, Seattle, Washington 98101 Email: steinborn.daniel@epa.gov Phone: (206) 553-2728.

Website Address:

<http://yosemite.epa.gov/R10/ecocomm.nsf/puget+sound/funding>

RELATED PROGRAMS:

66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be published in the announcement of the competitive funding opportunity (the Request for Proposals or RFP).

66.122 PUGET SOUND ACTION AGENDA OUTREACH, EDUCATION AND STEWARDSHIP SUPPORT PROGRAM

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Omnibus Appropriations Act, 2, Public Law 111-88; Clean Water Act, Title 3, Section 320, Public Law 94-117; Clean Water Act, Title 3, Section 320, Public Law 106-457, 33 U.S.C 1330; Omnibus Appropriations Act, 2009, Public Law 111-8.

OBJECTIVES:

Puget Sound has been designated as an estuary of National Significance under section 320 of the Clean Water Act. The goal of all 28 National Estuary Programs is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife and allows recreational activities in and on the water.

The goal of the Puget Sound National Estuary Program approved Comprehensive Conservation and Management Plan (CCMP) (the 2020 Action Agenda) is to restore and maintain the Puget Sound Estuary's estuarine environment, by 2020, so that it will support balanced indigenous populations of shellfish, fish and wildlife and support the extensive list of recognized uses of Puget Sound.

In the FFY 2009 Omnibus Appropriations Act, Puget Sound restoration funds are provided to develop and implement programs that will help meet the Clean Water Act goal of improved water quality as well as improved air quality and minimization of the adverse impacts of rapid development in the Puget Sound Basin, including activities linked to nonpoint sources or habitat restoration work. Programs and projects would promote the use of comprehensive watershed protection and management practices at the local level to achieve nonpoint source pollution reduction and protection and restoration of habitat and aquatic resources in watersheds that are subject to the pressures of development and growth.

In the FFY 2010 Omnibus Appropriations Act, Congress appropriated an additional \$50 million to support the Puget Sound Program.

By 2011, EPA Region 10, working with its key state, tribal and local partners have committed to attaining the following environmental outcomes for the Puget Sound Basin through 2011:

" 1,000 acres of shellfish bed growing areas, currently impacted by degraded or declining water quality, show improved water quality and corresponding lifting of harvest restrictions;

" 200 acres of prioritized contaminated sediments are remediated and upstream source controls are put in place;

" 3,500 acres of tidally or seasonally influenced estuarine wetlands are restored and protected.

In addition, by 2011, the EPA is committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

An essential element of a successful estuary conservation and management program is a well-informed citizenry that is willing and able to take individual and community action to protect and enhance the estuary. This program is aimed at building and sustaining coordinated efforts for outreach and education to increase public awareness and encourage individual stewardship to protect both local and basin-wide ecosystems.

Funding Priority - Fiscal Year 2010: In Federal Fiscal Year 2010, the EPA's priority will be to competitively select and fund a single entity to serve as a leader and manager of an Outreach, Education and Stewardship Program for Puget Sound. It is expected that this entity would be funded to both directly implement the program and implement the program through subawards to other eligible entities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Puget Sound restoration funds were appropriated by Congress in conjunction with the Clean Water Act for development and implementation of programs that will improve water quality, air quality, and minimize the adverse impacts of rapid development in the Puget Sound Basin, including activities linked to nonpoint sources or habitat restoration work. Any public education, outreach or stewardship project receiving assistance under this program must contribute to or support the general implementation of the 2020 Action Agenda for Puget Sound.

Additional information on use restrictions, if any, for the Puget Sound Action Agenda Education, Outreach, and Stewardship Support Program, will be provided in each Request for Proposals issued under this program.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Eligible applicants for this program include:

Washington State agencies; public and private institutions of higher education; units of local government in the greater Puget Sound Basin and Nongovernmental organizations specifically including NGOs that are nonprofits within the meaning of 501(c)(3) of the Internal Revenue Code.

Also eligible to apply under this program are all Federally-recognized Indian Tribal governments located within the greater Puget Sound basin, and any consortium, of these eligible Tribes.

An Intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, Intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An Intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

The greater Puget Sound basin is defined as all watersheds draining into the U.S. waters of Puget Sound, the southern Georgia Basin and the Strait of Juan de Fuca.

For certain competitive funding opportunities under this CFDA description, the

Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The direct beneficiary will be the entities that receive financial assistance under this program. Due to the fact that the program is designed and intended to assist in the restoration and protection of the Puget Sound estuary, the ultimate beneficiaries will be the residents of the greater Puget Sound region.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as the "Information Contact" or see Appendix IV of the Catalog.

This program is excluded from coverage under E.O. 12372, "Intergovernmental Review of Federal Programs" because the State of Washington has chosen to not participate in this review process. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be required to submit application materials as described in the Request for Proposals that will be issued under this program. The Request for Proposals will also specify the submission methods which generally include an electronic and hard copy submission option. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://yosemite.epa.gov/r10/omp.nsf/webpage/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Region expects that its review of the applications received will be completed within 120 to 150 calendar days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Puget Sound Action Agenda Education, Outreach and Stewardship program has no statutory formula for allocating the funds. All of the grants will be for implementation projects under the Puget Sound Comprehensive Conservation Management Plan (CCMP) under CWA 320(g)(2) and 320(g)(3)(ii). There is a statutory match of 50% of the total project costs for implementation project grants under CWA 320(g)(3)(ii) and CWA Section 320 allows for an aggregate match.

For the awards to be made under the competitions conducted under this program, the Puget Sound Management Conference, represented by the Puget Sound Partnership, has agreed to provide 67% of the 50% required non federal match for successful project proposals for the 2010-2011 biennium under a cooperative agreement with EPA. Accordingly, in order to meet the match requirement for the competitive solicitations issued under this program, EPA Region 10 will require that the selected recipient provide a match amount that is equal to 33% of the 50% required non federal match. Thus, for each proposal funded under this program, the required 50% non federal match will be met. The match may be cash or in-kind consistent with the regulation governing match requirements (40 C.F.R. 31.24 or 40 C.F.R. 30.23, as applicable). The example below illustrates how this will work.

Match Example:

For an applicant requesting \$2,000,000 in federal funds under the competition, the total match required would be \$2,000,000. Under the competition, the applicant will be required to provide a match of \$660,000, or 33% of the required 50% match. The remaining \$1,340,000 (\$2,000,000 - \$660,000) of the required 50% match will be met at the aggregate level by the Puget Sound Partnership.

Special Note: The EPA anticipates funding the award made under this program incrementally. Match requirements, and how they will be met, for the out-year increments (FFY 2011 and beyond) will be determined prior to awarding those increments). For a further description of incremental funding, please see the later sections on "Total allocations", "Obligations" and "Range and Average of Financial Assistance."

Contact the EPA Regional Office contact identified in this program description for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance will be awarded during Federal Fiscal Year 2010. Funds will be disbursed to the assistance recipient in accordance with the terms specified in the assistance agreement. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms of the assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule showing interim milestones and the outputs that will be completed by the end of the project period will also be included. Typical progress reports will discuss the progress that the assistance recipient has made on each major task and interim milestone identified in the approved statement of work. Progress reports will also discuss any difficulties or problems that the assistance recipient has encountered and how they have been or are being resolved. Other specific reporting requirements will be defined in the assistance agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same intervals as progress reports. The expenditure reports will document expenditures to date, including expenditures of any matching funds, in a manner that allows the reader to confirm that matching requirements are being met and that all assistance payments (disbursements to the assistance recipient) are for costs that have been incurred in compliance with applicable cost principles. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$2,000,000; FY 10 est \$1,000,000; FY 11 est \$1,000,000 - Region 10 estimates that they will obligate approximately \$2,000,000 to this program. The EPA anticipates obligating \$1,000,000 from the Federal Fiscal Year 2010 appropriation for the Puget Sound Program and for FY 2011 estimated obligations are \$1,000,000.

Range and Average of Financial Assistance:

The EPA expects to make an initial award of \$2,000,000 under this program. The resulting assistance agreement would include the potential for incremental funding of \$1,000,000 per year for up to four additional years beyond the initial funding period. This incremental funding is contingent upon the availability of future appropriations, the assistance recipient's performance and the needs of the CCMP for Puget Sound.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with state agencies, Tribal governments and intertribal consortia, the recipient must comply with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments;" 40 CFR Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies," and OMB Circular A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments." OMB Circular A-87 has been codified at 2 CFR Part 225. EPA's requirements under OMB Circular A-102 are codified at 40 CFR Part 31. For grants and cooperative agreements with institutions of higher education and non-profit organizations, the assistance recipient must comply with 40 CFR Part 30, "Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, Hospitals and other Non-Profit Organizations," 40 CFR Part 30 and OMB Circular No. A-110, "Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations." OMB Circular A-110 is codified at 2 CFR Part 215. For grants and cooperative agreements with institutions of higher education, the assistance recipient must comply with OMB Circular A-21, codified at 2 CFR 220, "Cost Principles for Educational Institutions." For grants and cooperative agreements with non-profit organizations, the assistance recipient must comply with OMB Circular A-122, codified as 2 CFR Part 230, "Cost Principles for Non-Profit Organizations."

Regional or Local Office:

See Regional Agency Offices. Daniel I. Steinborn, Puget Sound Financial Assistance Project Officer
Ecosystems and Community Health Unit
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
Seattle, WA 98101
(206) 553-2728 or 1-800-424-4EPA, Extension 3-2728
E-mail: steinborn.daniel@epa.gov.

Headquarters Office:

Daniel I. Steinborn, Ecosystems and Community Health Unit, Office of

Ecosystems, Tribal and Public Affairs, Environmental Protection Agency, Region 10, 1200 Sixth Avenue, Suite 900, ETPA-086, Seattle, Washington 98101 Phone: (206) 553-2728.

Website Address:

<http://yosemite.epa.gov/R10/ecocomm.nsf/puget+sound/funding>

RELATED PROGRAMS:

66.120 Puget Sound Watershed Management Assistance ; 66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program ; 66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this program description will be published in the announcement of the competitive funding opportunity (e.g., the Request for Proposals or RFP).

66.123 PUGET SOUND ACTION AGENDA: TECHNICAL INVESTIGATIONS AND IMPLEMENTATION ASSISTANCE PROGRAM

FEDERAL AGENCY:

Region 10, Environmental Protection Agency

AUTHORIZATION:

Omnibus Appropriations Act of 2010, Public Law 111-88; Clean Water Act, Title 3, Section 320, Public Law 106-457, 33 U.S.C 1330; Omnibus Appropriations Act, 2009, Public Law 111-8; Clean Water Act, Title 3, Section 320, Public Law 94-117, 33 U.S.C 1330; Clean Water Act, Title 1, Section 104(a)&(b), Public Law 94-117, 33 U.S.C 1254(a)&(b); Clean Water Act, Title 1, Section 104(a)&(b), Public Law 106-457, 33 U.S.C 1254(a)&(b).

OBJECTIVES:

Puget Sound has been designated as an estuary of National Significance under section 320 of the Clean Water Act. The goal of all 28 National Estuary Programs is to attain and maintain water quality in designated estuaries that would assure protection of public water supplies and the protection and propagation of a balanced, indigenous population of shellfish, fish and wildlife that allows for recreational activities in and on the water.

The goal of the Puget Sound National Estuary Program approved Comprehensive Conservation and Management Plan (CCMP) (the 2020 Action Agenda) is to restore and maintain the Puget Sound Estuary's estuarine environment, by 2020, so that it will support balanced indigenous populations of shellfish, fish and wildlife and support the extensive list of recognized uses of Puget Sound.

In both the FFY 2009 and FFY 2010 Omnibus Appropriations Acts, Puget Sound restoration funds are provided to develop and implement programs in the Puget Sound basin that will help meet the Clean Water Act goal of protecting and maintaining the physical, chemical, and biological integrity of the basin's waters and to help minimize the adverse impacts of future development and population growth. These programs are likely to address a broad scope of threats and resource protection issues, including activities linked to nonpoint source pollution, habitat, and watershed restoration and protection work.

By 2011, EPA Region 10, working with its key state, tribal and local partners, have committed to attaining the following environmental outcomes for the Puget Sound Basin:

" 1,000 acres of shellfish bed growing areas, currently impacted by degraded or declining water quality, show improved water quality and corresponding lifting of harvest restrictions;

" 200 acres of prioritized contaminated sediments are remediated and upstream source controls are put in place;

" 3,500 acres of tidally or seasonally influenced estuarine wetlands are restored and protected;

In addition, by 2011, we are committed to protecting and improving water quality and minimizing the adverse impacts of rapid development in the Puget Sound Basin. These commitments include protecting the watersheds and waters of Puget Sound by protecting the fundamental watershed processes that provide and create aquatic habitats and by reducing the generation and release of toxic, nutrient and pathogen pollution.

This program has the following main objectives:

First, a central component of the approved CCMP for Puget Sound is its Biennial Science Workplan which identifies some of the core scientific work that must be completed in order for the Puget Sound Management Conference's implementation efforts, to succeed in the recovery and protection of the Sound. A primary objective of this program is to support the technical studies and investigations that are needed to help direct implementation priorities.

Second, by 2012, EPA and the Puget Sound Partnership will need to propose new five year strategic plan outcomes and targets for Puget Sound. The Scientific Studies and Technical Investigations sought through this program will help support identification of specific environmental outcomes and also the development of tracking and evaluation approaches for implementation activities, so that together they will contribute significantly to the restoration and protection of Puget Sound by 2020.

Funding Priority - Fiscal Year 2010: The key funding priority for FFY 2010 is to assist in the completion of near term science projects and technical investigations that are either identified in the Biennial Science Work Plan or are identified as necessary to support the attainment of specific environmental outcomes identified by the 2020 Action Agenda for Puget Sound and its associated work programs and implementing agreements.

Additionally, a second priority will be to follow up on work initiated in FFY 2008 and 2009 to assist with the development and completion of scientific studies and technical investigations necessary for implementing the Action Agenda and achieving targeted environmental outcomes.

A third priority in 2010 will be to provide financial assistance to support the state of Washington's efforts to help implement, manage and monitor progress of the 2020 Action Agenda for Puget Sound (the CCMP). Dependent on the availability of sufficient funds, this program would offer additional assistance for implementation of the priority actions and programs identified in the 2020 Action Agenda for Puget Sound.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Puget Sound restoration funds were appropriated by Congress in conjunction with the Clean Water Act for development and implementation of programs that will improve water quality, air quality, and minimize the adverse impacts of rapid development in the Puget Sound Basin, including activities linked to nonpoint sources or habitat restoration projects.

The assistance offered can be used to finance scientific work identified in the Puget Sound Partnership's Biennial Science Work Plan or otherwise needed to effectively implement the Puget Sound Action Agenda. Assistance may be used to finance technical studies and investigations necessary to achieve environmental outputs and/or outcomes identified in the Action Agenda or by either the Puget Sound Partnership or other agencies within the Management Conference with implementation or tracking responsibilities.

Assistance may also be used to manage, monitor, oversee, or participate in the implementation of the 2020 Action Agenda for Puget Sound, including Tribal participation in the implementation and maintenance processes of the CCMP. Such assistance may also include managing and overseeing the implementation of the Agenda's Science Work Plan and any technical studies or investigations undertaken in support of implementation and/or further development of the Action Agenda.

Finally, if requested, the assistance may be used to finance general implementation of the 2020 Action Agenda for Puget Sound. This implementation work could either be funded directly or through a lead implementation entity which could then fund secondary implementation awards and manages progress and outcomes for a particular management issue or geographic area.

Additional information on use restrictions, if any, for this program, will be provided in each request for proposals published on the EPA Region 10 website.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Federal government agencies and Washington state government agencies are eligible to apply under this program.

Public and private institutions of higher education located in the United States are eligible to apply under this program.

Units of local government organized under Washington state law and located within the Greater Puget Sound basin are eligible to apply. Also eligible to apply are special purpose districts, as defined by Washington State law at R.C.W. 36.93.020, including but not limited to, irrigation districts, and water and sewer districts that are located in or govern land and water resources within the greater Puget Sound basin. Conservation districts located in or governing land and water resources within the greater Puget Sound Basin are also eligible to apply for assistance under this program.

Watershed planning units formed under RCW 90.82.040 and RCW 90.82.060, local management boards organized under RCW 90.88.030, salmon recovery lead entities organized pursuant to RCW 77.85.050, regional fisheries enhancement groups organized pursuant to RCW 77.95.060 and Marine Resource Committees organized pursuant to RCW 36.125 are eligible to apply if they are located within or their jurisdictions include waters and/or lands with the Greater Puget Sound basin.

Intrastate organizations such as associations of cities, counties or conservation districts in the Greater Puget Sound basin are also eligible to apply.

Nonprofit nongovernmental entities are also eligible to apply.

Intrastate organizations such as associations of cities, counties or conservation districts in the Greater Puget Sound basin are also eligible to apply.

Federally recognized Indian Tribes located within the greater Puget Sound basin and any consortium of these eligible tribes.

An Intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of the partnership of Indian Tribal governments may consist of Tribal council resolutions, Intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and

receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An Intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government.

The greater Puget Sound basin is defined as all watersheds draining to the U.S. waters of Puget Sound, southern Georgia Basin, and the Strait of Juan de Fuca.

- For profit business entities, private individuals and families are not eligible to apply. However, all of these types of entities could partner with an eligible applicant as a subawardee.

- For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The direct beneficiaries would be the entities receiving the assistance. Due to the fact that the program is designed and intended to assist in the restoration and protection of the Puget Sound estuary, the ultimate beneficiaries will be the residents of the greater Puget Sound region.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For competitive awards, applicants will be required to submit application materials as described in the Request For Proposals. The Request For Proposals will also specify the submission methods which generally include an electronic and hard copy submission option. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the EPA Region 10 Grants Administration web site at: <http://yosemite.epa.gov/r10/omp.nsf/webpage/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Region expects that its review of the applications received in response to competitive solicitations will be completed within 120 to 150 calendar days following the deadline for the submission of applications for each Request for Proposals issued under this program.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Clean Water Act 104(a) and 104(b) have no statutory formula for match; that is, no match is required by the statute. Therefore, for awards under this program to be made under these authorities, the EPA will not require any non-federal match by any successful applicant. If awards are made for general projects that implement the CCMP or that exercise management and oversight over the implementation of the CCMP, there will be a match requirement pursuant to CWA 320.

For those projects, under CWA 320(g)(2) and 320(g)(3)(ii), there is a statutory match of 50% of the total project costs for implementation project grants under CWA 320(g)(3)(ii) and CWA 320 allows for an aggregate match.

For these awards, the Puget Sound Management Conference, represented by the Puget Sound Partnership, has agreed to provide 67% of the 50% required non federal match for successful project proposals for the 2010-2011 biennium under a cooperative agreement with EPA. Accordingly, in order to meet the match requirement for these awards, EPA Region 10 will require that all selected recipients provide a match amount that is equal to 33% of the 50% required match. Thus, for each of these awards, the required 50% match will be met. The match may be cash or in-kind consistent with the regulation governing match requirements (40 C.F.R. 31.24 or 40 C.F.R. 30.23, as applicable). The example below illustrates how this will work.

Match Example:

For an applicant requesting \$600,000 in federal funds under a competition, the total match required would be \$600,000. Under the competition, the applicant will be required to provide a match of \$198,000, or 33% of the required 50% match. The remaining \$402,000 (\$600,000 - \$198,000) of the required 50% match will be met at the aggregate level by the Puget Sound Partnership.

Contact the EPA Regional Office contact identified in this program description for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance will be awarded during FY 2010 and FY 2011. Funds will be disbursed to individual assistance recipients in accordance with the terms specified in the assistance agreement. See the following for information on how assistance is awarded/released: Assistance will be disbursed in accordance with the terms specified in the assistance agreement. Typically, assistance recipients draw funds at either monthly or quarterly intervals based on their incurred costs.

Reports:

No program reports are required. No cash reports are required. Progress report requirements will be a part of each assistance agreement. A schedule of interim milestones and the outputs that will be completed by the end of the project period will also be included. Typical progress reports will discuss the progress that has been made on each major task and on each interim milestone identified in the approved statement of work. Progress reports will also discuss any difficulties or problems that have been encountered and how they have been or are being resolved. Other specific reporting requirements will be defined in the assistance agreement based on the statement of work described in the application. Expenditure reports will typically be required at the same time intervals as progress reports. The expenditure reports will document expenditures to date in a manner that allows the report user to confirm that all assistance payments (disbursements to assistance recipients) are for costs that

have been incurred in compliance with applicable costs principles and, for assistance agreements in which a matching contribution is required, the reports will also document expenditures of that matching contribution. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised by an audit, related records shall be maintained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$24,000,000; FY 11 est \$0 - EPA Region 10 expects to award between \$4.5 million and \$9.0 million in FFY 2010 funds during FFY 2010 under a close competitive solicitation for Scientific Investigations and Technical Studies. Additionally the Region expects to award \$4.0 million in FFY 2010 funds to the University of Washington's Puget Sound Ecosystem Research Initiative pursuant to Congressional direction in the FFY 2010 appropriation.

Finally, the Region expects to conduct additional competitive assistance solicitations during FFY 2010 under this program that will result in awards of up to approximately \$24 million.

Estimated obligations for FY 2011 are not available.

Range and Average of Financial Assistance:

The EPA expects to make awards for scientific and technical studies in the range of \$200,000 - \$700,000. Awards for implementation assistance and for managing and monitoring the implementation of the CCMP could range from \$200,000 to \$10,000,000. Some of these awards may be incrementally funded.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and cooperative agreements with State agencies, units of local government (including special purpose districts), tribal governments and intertribal organizations, assistance recipients must comply with 40 C.F.R. Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 C.F.R. Part 35 "Environmental Program Grants-State, Interstate and Local Government Agencies", and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102 "Grants and Cooperative Agreements for States and Local Governments."

OMB Circular A-87 has been codified as 2 C.F.R. Part 225. EPA's requirements under OMB Circular A-102 are codified at 40 C.F.R. Part 31.

For grants and cooperative agreements with institutions of higher education and non-profit organizations, the assistance recipient must comply with 40 C.F.R. Part 30, "Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, Hospitals and other

Non-Profit Organizations," 40 C.F.R. Part 30 and OMB Circular No. A-110, "Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Other Non-Profit Organizations." OMB Circular A-110 is codified at 2 C.F.R. Part 215.

For grants and cooperative agreements with institutions of higher education, the assistance recipient must comply with OMB Circular A-21, codified at 2 C.F.R. 220, "Cost Principles for Educational Institutions."

For grants and cooperative agreements with non-profit organizations, the assistance recipient must comply with OMB Circular A-122, codified as 2 C.F.R. Part 230, "Cost Principles for Non-Profit Organizations."

Additionally, any awards made under Clean Water Act 104 authorities would be subject to the EPA regulations on Research and Demonstration grants at 40 C.F.R. Part 40.

Regional or Local Office:

See Regional Agency Offices. Daniel I. Steinborn, Puget Sound Financial Assistance Project Officer
Ecosystems and Community Health Unit
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
Seattle, WA 98101
(206) 553-2728 or 1-800-424-4EPA, extension 3-2728
E-Mail: steinborn.daniel@epa.gov.

Headquarters Office:

Daniel I. Steinborn Ecosystems and Community Health Unit
Office of Ecosystems, Tribal and Public Affairs
U.S. Environmental Protection Agency, Region 10
1200 Sixth Avenue, Suite 900, ETPA-086
, Seattle, Washington 98101 Email: steinborn.daniel@epa.gov Phone: (206) 553-2728

Website Address:

<http://yosemite.epa.gov/R10/ecocomm.nsf/puget+sound/funding>

RELATED PROGRAMS:

66.120 Puget Sound Watershed Management Assistance ; 66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program ; 66.456 National Estuary Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA program description will be published in each announcement of a competitive funding opportunity (e.g., the Request for Proposals or RFP).

66.124 COASTAL WETLANDS PLANNING PROTECTION AND RESTORATION ACT

CWPPRA (The Breaux Act)

FEDERAL AGENCY:

Region 6, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist the State, local government, college or university in planning and implementing projects that create, protect, restore and enhance wetlands in coastal Louisiana. Funding Priorities - Fiscal Year 2010: EPA supports projects that protect, restore, and enhance coastal wetlands ecosystems through strategic "natural" reintroduction of river water, barrier island/shoreline restoration and application of innovative technologies such as pipeline delivery of new sediment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used to protect, restore and enhance coastal wetlands ecosystems through strategic "natural" reintroduction of river water, barrier island/shoreline restoration and application of innovative technologies such as pipeline delivery of new sediment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible applicants for assistance include State and local governments, including their universities and colleges.

Beneficiary Eligibility:

State and local governments, including their universities and colleges involved in administering coastal wetlands protection, restoration and/or management programs or programs related to or complement coastal wetlands protection programs.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for colleges and universities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate office is encouraged. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Completed applications should be submitted to the appropriate EPA Regional Office contact.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grant are usually approved within five months of receipt of a complete application.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: CWPPRA projects require a 15 percent match of the State or local government. Colleges or Universities awarded a grant in support of a CWPPRA project requires a 5% match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Assistance disbursement will be determined at the time of award.

Reports:

No program reports are required. The recipient will be required to submit quarterly cash reports to the EPA Project Officer. The recipient will be required to submit quarterly progress reports to the EPA Project Officer. The recipient will be required to submit quarterly expenditure reports to the EPA Project Officer. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$1,460,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$100,000 to \$28,000,000. If a Phase I (E&D) project is selected for funding, the estimated amount could be from \$1-\$5 million. If it is a Phase II (construction) project, the estimate could be up to \$25 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Sondra McDonald, Project Officer, 6WQ-AT, USEPA Region 6, 1445 Ross Avenue, Dallas, TX 75202, Office: (214) 665-7187 Fax: (214) 665-6490 Email: mcdonald.sondra@epa.gov.

Headquarters Office:

Sondra McDonald, USEPA Region 6, 6WQ-AT, 1445 Ross Avenue, Dallas, Texas 75202 Email: mcdonald.sondra@epa.gov Phone: (214) 665-7187.

Website Address:

<http://www.epa.gov/region6/water/at/sttribal.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CWPPRA projects to be funded are selected through an annual, publicly-vetted process and ultimately approved by a multi-agency Task Force.

66.125 LAKE PONTCHARTRAIN BASIN RESTORATION PROGRAM (PRP)

Lake Pontchartrain Restoration Program

FEDERAL AGENCY:

Region 6, Environmental Protection Agency

AUTHORIZATION:

Lake Pontchartrain Basin Restoration Act of 2000; Clean Water Act, Section 121.

OBJECTIVES:

To restore the ecological health of the Basin by developing and funding restoration project and related scientific and public education projects. Funding Priority - Fiscal Year 2010: Continue to implement the plans and studies identified in the Lake Pontchartrain Basin Program Comprehensive Management Plan: Continue activities to preserve, enhance or restore waters for recreational use; Support the Comprehensive Habitat Management Plan which prioritizes significant habitat restoration and flood protection; Perform educational activities for the public. Funding Priority - Fiscal Year 2011: The program will work to restore the ecological health of the Lake Pontchartrain Basin. In FY 2011, EPA will invest \$978,000 in the Lake Pontchartrain Basin Program for the following activities:

Completing plans and studies as identified in the Lake Pontchartrain Basin Program Comprehensive Management Plan (LPBCMP) which supports the following goals: (1) Planning and design of consolidated wastewater treatment systems which support the Agency's Sustainable Infrastructure goal; (2) Repair and replacement studies to improve existing wastewater systems; and (3) Investigation and design of storm water management systems.

Conducting outreach and public education projects that address the goals of the LPBCMP, such as: (1) Improving the management of animal waste lagoons by educating and assisting the agricultural community on lagoon maintenance techniques; (2) Protecting and restoring critical habitats and encouraging sustainable growth by providing information and guidance on habitat protection and green development techniques; and (3) Reducing pollution at its source.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Administrator may make grants (1) for restoration projects and studies recommended by a management conference convened for the basin under section 320, and (2) for public education projects recommended by the management conference.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The grants for this program are awarded to the Management Conference, also known as the PRP, for restoration projects, studies and public education projects. Eligible sub-grantees for this program include the Parishes and Cities within the 16 parish area of the Lake Pontchartrain Basin Watershed and the Lake Pontchartrain Basin Foundation.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Eligible applicants for assistance include the Parishes and Cities within the

Lake Pontchartrain Basin Watershed and the Lake Pontchartrain Basin Foundation.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Completed applications should be submitted to the appropriate EPA Regional Office contact. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are evaluated by the appropriate EPA office and are subject to review for technical merit, soundness of design, and reasonableness of proposed costs. Approved projects are awarded by the Regional Administrator.

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within five months of receipt of a complete application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 5%. Regional policy requires a 5% match. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Assistance disbursement will be determined at the time of award.

Reports:

Program reports are included in semi-annual reports submitted to the Region 6 Project Officer. No cash reports are required. The recipient will be required to submit semi-annual progress reports to the EPA Region 6 Project Officer. The recipient will include expenditure reports in the semi-annual reports submitted to the EPA Region 6 Project Officer. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$950,000; FY 10 est \$951,000; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

Since the inception of the program in 2002, the funds have ranged from a high of \$4,000,000 to a low of \$950,000 in FY 2008. The anticipated award for FY 2010 is \$951,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Sylvia Ritzky, Project Officer, 6WQ-AT, USEPA Region 6, 1445 Ross Avenue, Dallas, TX 75202 (214) Office: 665-8189 Fax: (214) 665-6490 Email: ritzky.sylvia@epa.gov.

Headquarters Office:

Sylvia Ritzky, USEPA Region 6, 6WQ-AT, 1445 Ross Avenue, Dallas, Texas 75202 Email: ritzky.sylvia@epa.gov Phone: (214) 665-8189.

Website Address:

<http://www.epa.gov/region6/water/at/sttribal.htm>

RELATED PROGRAMS:

66.120 Puget Sound Watershed Management Assistance ; 66.437 Long Island Sound Program ; 66.456 National Estuary Program; 66.475 Gulf of Mexico Program; 66.481 Lake Champlain Basin Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Weekly water quality sampling and analysis with reporting to the public and agencies, wastewater treatment plant assistance, habitat preservation and outreach, coastal sustainability, preliminary design report for a new collection system to prevent improperly treated effluent from reaching Alligator Bayou, completion of construction document, final plans and specification for sewer force main with pumps, sewer system evaluation survey (SSES) to determine areas of significant inflow/infiltration problems and recommendations for corrective actions. Fiscal Year 2010: Not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

PRP projects to be funded are selected through an annual, publicly-vetted process with the selection of project made by the PRP Executive committee and ultimately approved by a internal regional EPA review.

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.202 CONGRESSIONALLY MANDATED PROJECTS

(CONGRESSIONAL EARMARKS)

FEDERAL AGENCY:

Office of the Chief Financial Officer, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act; Indian Environmental General Assistance Program Act; Marine Protection, Research, and Sanctuaries Act; Superfund Amendments and Reauthorization Act; Comprehensive Environmental Response, Compensation and Liability Act; Solid Waste Disposal Act; National Environmental Policy Act; National Environmental Education Act; Clean Air Act; Annual Department of the Interior, Environment, and related Agencies Appropriations Act; Pollution Prevention Act of 1990; Clean Water Act; Safe Drinking Water Act; Resource Conservation and Recovery Act; Emergency Planning and Right to Know Act; Oil Pollution Act; Toxic Substances Control Act; NEPA, Section 102(2)(F).

OBJECTIVES:

To implement special Congressionally directed projects or programs identified in EPA's annual full year Appropriations Act or Conference Report. These assistance agreements support surveys, studies and investigations; research and demonstrations; and special projects which are not requested by EPA in their annual budget request to Congress. Some of these special projects may be identified for designated organizations, local governments, or state governments. The projects may be associated with: (1) various environmental requirements (e.g., wastewater treatment, drinking water treatment); (2) identifying, developing, and/or demonstrating necessary pollution control technologies and techniques to prevent, reduce, and eliminate pollution; or (3) evaluating the economic and social consequences of alternative environmental pollution strategies, technologies, or mechanisms for use by those in economic, social, governmental, and environmental management positions. Funding priorities are to award these assistance agreements to the designated organizations, local governments, or state governments as quickly as possible within statutory and regulatory requirements. Funding Priority - Fiscal Year 2010: All assistance agreements must address the following priorities: (1) addresses directly the specific purpose for the program or project as designated by Congress in EPA's annual Appropriations Act or annual Appropriation Committee Report; (2) is consistent with EPA's existing authorities and the strategic priorities and environmental goals of the Agency; (3) is consistent with long term Agency program plans, and (4) can be awarded before the appropriated funds expire.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support specific projects or programs specified by Congress for designated recipients. Allowable direct and indirect costs are included, in accordance with established EPA policies and requirements for assistance agreements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Examples of recipients that may receive assistance under this program include local, state, intrastate, interstate, U.S. territories or possessions, public or nonprofit institutions/organizations, public/private private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, institutions of higher education, Federally Recognized Indian Tribal Governments, Native American Organizations, and international organizations. For certain competitive funding opportunities under this CFDA description, the

Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, state, intrastate, interstate, U.S. territories or possessions, public or nonprofit institutions/organizations, public/private private nonprofit institutions/organizations, quasi-public nonprofit institutions/organizations, institutions of higher education, Federally Recognized Indian Tribal Governments, Native American Organizations, and international organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for state and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out specific Congressionally designated projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Although this program is generally not subject to EPA's Policy on Competition for Assistance Agreements, EPA will administratively evaluate each application to determine (a) the adequacy of the proposed project to meet the specified purpose of the Congressionally mandated project and (b) if EPA has existing authority to expend appropriated funds for the mandated project. EPA's program offices will evaluate applications and applicants to determine the merit and relevance of proposed projects. EPA's R&D Office conducts a peer review of all applications by outside experts. EPA will also review the application in relation to EPA's general grant regulations. Any competed projects are subject to EPA's policy on Competition for Assistance Agreements. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Recipients must match Federal funds as required by EPA's appropriation acts, authorizing statutes, regulations, program guidance, or as negotiated and agreed to between the applicant and the program office.

This program has no statutory formula for distribution of Federal funds, therefore, matching requirements are defined in each Appropriations Act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at time of award. The length and time phasing of the assistance agreement will be determined by the duration of the availability of appropriated funds. See the following for information on how assistance is awarded/released: Assistance awards are released by letter of credit and other financial authorizations.

Reports:

EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final program reports. No cash reports are required. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final progress reports. EPA assistance agreements may, in the terms and conditions, require annual, quarterly, interim, and/or final expenditure/financial reports. Performance monitoring of Congressionally mandated special project or program assistance agreements are required per EPA administrative grant orders.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits, remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0110-0-1-304; 68-0107-0-1-304; 68-0108-0-1-304; 68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$198,400,000; FY 10 est \$204,427,000; FY 11 est \$0

Range and Average of Financial Assistance:

FY 2009 - Range: \$138,000 - \$15,000,000; Average: \$614,000

FY 2010 - Range: \$68,000 - \$13,000,000; Average: \$616,000

FY 2011 - To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: EPA has many Congressionally designated special projects and programs appropriated each year. To award assistance agreements for these specially Congressionally designated projects and programs, EPA works with the designated organizations, local governments, or state governments to help them develop and submit grant applications to EPA. EPA then reviews each grant application to ensure the work proposed is an authorized activity for EPA and is consistent with the guidance provided by Congress. Then EPA assures that the organization, local government, or state government meets EPA's grant and financial management requirements to administer the grant. Examples of

the 323 designated Congressional special projects and programs in FY 2009 include funding for research support; funding for restoration of bay watersheds, and funding for implementation of a Regional Storm Water Plan. Fiscal Year 2010: EPA has many Congressionally designated special projects and programs appropriated each year. To award assistance agreements for these specially Congressionally designated projects and programs, EPA works with the designated organizations, local governments, or state governments to help them develop and submit grant applications to EPA. EPA then reviews each grant application to ensure the work proposed is an authorized activity for EPA and is consistent with the guidance provided by Congress. Then EPA assures that the organization, local government, or state government meets EPA's grant and financial management requirements to administer the grant.

Congress designated 323 special projects and programs to the EPA in FY 2009 and another 332 in FY 2010. Examples of the accomplishments made by such funding are outlined below:

\$800,000 was made available for the construction of water infrastructure in accordance with the Clean Water State Revolving fund program.

\$350,000 was made available for the improvement of drinking water the city's drinking water system in accordance with the Drinking Water State Revolving fund program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Congressional mandated earmarks are subject to EPA's General Grant Regulations 40 CFR Part 30 and 40 CFR Part 31.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate Headquarters program contact listed below.

Headquarters Office:

Terry Grindstaff, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 2732A, Washington, District of Columbia 20460 Email: grindstaff.terry@epa.gov Phone: (202) 564-8904.

Website Address:

<http://www.epa.gov/ocfo/budget/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Congressionally mandated special projects or programs vary greatly. General types of projects that Congress has provided to the EPA to allocate funds for include the following:

- Research studies for sewer overflow improvements and storm-water management systems;
- Funds for ecosystem and environmental policy research;
- Funding assistance for the construction or improvement of drinking water and wastewater facilities;
- Funding assistance to replace water aging water infrastructure;
- Funding for watershed restoration. Fiscal Year 2010: Congressionally mandated special projects or programs vary greatly. General types of projects that Congress has provided to the EPA to allocate funds for include the following:
 - Research studies for sewer overflow improvements and storm-water management systems;
 - Funds for ecosystem and environmental policy research;
 - Funding assistance for the construction or improvement of drinking water and wastewater facilities;
 - Funding assistance to replace water aging water infrastructure;

- Funding for watershed restoration. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Addresses the specific purpose designated by the Congressional earmark in EPA's annual Appropriations Act or annual Appropriation Committee Report and other relevant legislative history and is consistent with EPA's authorities to support or conduct the Congressionally specified action. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. All Congressionally mandated earmarks must meet the following criteria: (a) address the specified Congressionally directed support or action described in the annual Appropriations Act or Appropriations Conference Report; (b) have the work statement for the proposed assistance agreement clearly relate to current statutory authorities and the strategic priorities for the Agency; and (c) have an approved workplan and processed assistance agreement before the appropriated funds expire.

66.203 ENVIRONMENTAL FINANCE CENTER GRANTS

(EFC Grant Program)

FEDERAL AGENCY:

Office of the Chief Financial Officer, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001(a); Safe Drinking Water Act, Section 1442(b)(3)&1420; Clean Water Act, Section 104(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Toxics Substances Control Act, Section 10; Clean Air Act, Section 103(b)(3); Marine Protection, Research and Sanctuaries Act, Section 203.

OBJECTIVES:

The Environmental Finance Center Grant Program provides funding to support Environmental Finance Centers (EFCs) that provide multi-media environmental finance expertise and outreach to the regulated communities. The EFCs work with States, local governments and the private sector to address the growing costs of environmental protection. The EFCs provide finance-related training, education, and analytical studies to help these regulated parties develop solutions to the difficult "how-to-pay" issues associated with meeting environmental standards.

The EFCs educate state and local governments and businesses on lowering environmental costs, increasing environmental investments, improving financial capacity, encouraging full cost pricing, and identifying and evaluating environmental financing options. A central goal of the EFCs is to create sustainable systems. Sustainable systems have the financial, technical, and institutional resources and capabilities to operate in compliance with environmental requirements and in conformance with accepted environmental practices over the long term. EFCs focus on helping these smaller parties find ways to obtain financing for their environmental protection responsibilities.

Funding Priority - Fiscal Year 2009: Providing education, technical assistance, and analytic support to state, tribal, and local officials and businesses on: (1) environmentally sustainable growth management and land use planning; (2) financing wetlands programs and protection; (3) water and wastewater utility sustainability, energy efficiency and capital planning; (4) financing source reduction, pollution prevention and energy conservation, and encouraging green business products and services; and (5) promoting innovative air pollution reduction financing efforts.

Funding Priority - Fiscal Year 2010: Providing education, training, technical assistance, and analytic support to state, tribal, and local officials and businesses on: (1) building the capacity of the regulated communities to develop and support sustainable water systems; (2) providing computer-based financial outreach tools to communities (particularly small ones) to help them more effectively manage watersheds and water systems; (3) supporting cleaner/greener business through source reduction, pollution prevention, energy conservation, resource recovery, reuse, and recycling; (4) promoting environmentally sustainable redevelopment, smart growth, and green building

efforts by state and local governments; (5) supporting environmentally sustainable infrastructure, growth management and land use planning; (6) financing wetlands programs and protection; (7) improving water and wastewater utility energy efficiency, management and capital planning; (8) encouraging green jobs and green business products and services; (9) promoting innovative ways to finance interstate, state, regional, and local air pollution reduction efforts; (10) addressing climate change through reduction of greenhouse gas emissions; and (11) developing decision tools and financial strategies for climate change adaptation.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to recipients to support their allowable direct costs incident to approved environmental financial technical assistance and outreach work plus allowable indirect costs, in accordance with established EPA policies and regulations. EPA provides incremental funding for these grants or cooperative agreements on an annual basis. As part of EPA's substantial involvement, the appropriate EPA regional and/or headquarters office reviews and approves each annual project phase consistent with the scope of work for the grant or cooperative agreement.

As provided in 2 CFR Part 220, recipients of grants or cooperative agreements under this program may not charge fundraising costs to their grants or cooperative agreements. Funding for research under this program does not include research within the purview of EPA's Office of Research and Development. Under this program, EPA does not fund research, training, and technical assistance to facilitate the inventory of brownfields sites, site assessments, remediation of brownfields sites, community involvement, and site preparation. These activities are covered by the EPA grant program established at CFDA 66.814.

Applicant Eligibility:

Assistance under this program is available to public and private non-profit universities and colleges and to nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, tribes, local governments, businesses and community organizations and the general public are the beneficiaries of this program.

Credentials/Documentation:

Applicants must demonstrate that they meet eligibility requirements. In addition, EPA may request applicants to demonstrate that they have the appropriate background, academic training, experience in the field, and necessary staff and equipment to carry out the project work. The Agency may also ask principal analysts named in applicants' proposals to provide curriculum vitae and other documentation of relevant experience. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. If the applicant does not know who their Single Point of Contact is, the applicant may call the appropriate EPA regional contact listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at (202) 564-5325. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the

State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the U.S. Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or to the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 45 to 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Annually subject to the budget and project periods specified in the awards.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program does not have a statutory or regulatory formula or matching requirement. The Agency may, as a matter of policy, require cost sharing when such a requirement is specified in the announcement of the competitive funding opportunity.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. The project period for the grants or cooperative agreements may range up to seven years. EPA will provide incremental funding for the cooperative agreements each fiscal year (on a 12-month basis) over the duration of the grants or cooperative agreements. Method of awarding/releasing assistance: lump sum.

Reports:

A final program or technical report shall be completed within 90 calendar days of the completion of the period of performance for each award. No cash reports are required. Quarterly or semiannual progress reports may be required as determined by EPA after the award. Quarterly or semiannual expenditure reports may be required as determined by EPA after the award. Quarterly or semiannual performance monitoring may be required as determined by EPA after the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United

States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) apply. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those brought up in an audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$2,000,000; FY 10 est \$2,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

Approximately \$222,000 per award per fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: EPA will make nine non-competitive awards to the existing Environmental Finance Centers in FY 2009. In FY 2010, EPA plans to open the program up to competition and may make up to ten awards.

Environmental Finance Centers are innovative, neutral and multi-disciplinary technical assistance and outreach agents with proven track records of working at the local, state, regional and national levels. They have specialized expertise in finance, information technology transfer, and all environmental media. They have numerous successful partnerships and connections with regulated parties, regulators, other technical assistance providers, and non-profit organizations throughout the U.S. The EFCs have developed many innovative financing/outreach tools and training courses, and have implemented successful projects in states in all ten EPA regions.

The EFCs have recently undertaken a number of innovative activities to lower costs and increase private sector investment/involvement in environmental systems, including: (1) completed the launch of new initiatives to help local communities mitigate and adapt to global climate change; (2) developed community handbooks on financing green building projects; (3) started a successful and growing Web site, Foodtrader.org, to connect local farmers with local buyers; (4) created best practice guides for communities covering sustainable development, smart growth, and energy efficiency; (5) provided technical assistance on environmental and economic development finance to numerous communities; (6) improved compliance and increased the protection of public health in tribal drinking water systems; (7) worked with a tribal solid waste collective to eliminate green waste dumping; (8) helped several counties develop green business programs; and (9) developed several new financial tools for local government environmental utility managers, including a Web-based Plan2Fund Objective Prioritization Tool (decision-making model) and a breakthrough financial management and analysis tool -- the Financial Dashboard for Sustainable Infrastructure. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education"). Costs for educational institutions will be determined in accordance with 2 CFR Part 220 (OMB Circular No. A-21). Information pertinent to the EFC program can be found at www.epa.gov/efnpage/efcn.htm. Written materials on the program can be obtained by calling the EFC National Coordinator at (202) 564-5001.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog and the Headquarters program contacts listed below.

Headquarters Office:

Timothy McProuty, Environmental Protection Agency, Office of the Chief

Financial Officer, Center for Environmental Finance, 2731R, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: mcprouty.timothy@epa.gov Phone: (202) 564-4996.

Website Address:

<http://www.epa.gov/efinpage>

RELATED PROGRAMS:

66.611 Environmental Policy and Innovation Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 2008 and FY 2009, EPA funded nine university-based Environmental Finance Centers (EFCs) located across the nation in eight EPA Regions. Each participating university operated an EFC that provided technical assistance and outreach services to the regulated communities (States, tribal, local governments and businesses). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the announcement of the competitive funding opportunity.

66.305 COMPLIANCE ASSISTANCE SUPPORT FOR SERVICES TO THE REGULATED COMMUNITY AND OTHER ASSISTANCE PROVIDERS

(Compliance Assistance Centers)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act of 1972, Section 104, Public Law 92-500, 33 U.S.C 1254; Clean Air Act of 1970, Section 103, Public Law 91-604, 42 U.S.C 7403; Resource Conservation and Recovery Act of 1976, Section 8001, Public Law 94-580, 42 U.S.C 6981.

OBJECTIVES:

The EPA has sponsored partnerships with industry, academic institutions, environmental groups, and other agencies to launch sector-specific Compliance Assistance Centers. Each Compliance Assistance Center addresses real world issues in language that is used by the regulated entities.

This program's objective is to provide financial assistance to private nonprofit institutions, universities, and public agencies to develop and maintain Compliance Assistance Centers to improve environmental compliance within an identified industrial/government sector or environmental topic.

Funding Priority - Fiscal Year 2010: Funding will support the web-based Compliance Assistance Centers. Through Internet Websites, telephone assistance lines, e-mail discussion groups and more, the Centers will help businesses, local governments, and federal facilities understand federal environmental requirements and save money through pollution prevention techniques. Additionally, the Centers will support and continue to develop the State Resource Locators to provide easy access to state-specific regulations and resources for a variety of topics (e.g., universal waste, stormwater, asbestos.)

Funding Priority - Fiscal Year 2011: Funding will support the web-based Compliance Assistance Centers. Through Internet Websites, telephone assistance lines, e-mail discussion groups and more, the Centers will help businesses, local governments, and federal facilities understand federal environmental requirements and save money through pollution prevention techniques. Additionally, the Centers will support and continue to develop the State Resource Locators to provide easy access to state-specific regulations and resources for a variety of topics (e.g., universal waste, stormwater, asbestos.).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

FY 10 funds will support ongoing Center work, with an emphasis on maintaining, updating and expanding the existing Center Websites. It is expected that common communications channels used by the industry/government will continue to quickly distribute information to the targeted audience. Additionally, funds will support the development of 2 sector-specific entry pages providing quick access to existing environmental assistance content. The sector entry pages will serve as portals to relevant information developed by the Centers and other organizations as appropriate (e.g. EPA). Individual sector entry pages will use navigation structures specific to the sector thereby providing easy access to relevant environmental content. EPA funding must be used only for activities specified in the approved scope of work; discretionary funding will not be provided.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Applicants must be nonprofit organizations as that term is defined in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note. Colleges, universities, and community colleges are eligible to apply. EPA will also accept applications from state, tribal and local governments. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, for certain funding opportunities under this CFDA, applicants may have to demonstrate how they meet the following factors for threshold eligibility purposes: 1) That they have an indirect cost rate that has been approved or is pending approval by a federal agency or has a cost allocation system that meets the requirements in the applicable OMB Circular; 2) That they have had a previous working relationship(s) with experts and national organizations devoted to the relevant industrial, commercial or governmental sector, or intends to partner with an organization with such expertise; 3) An understanding of the environmental needs of the relevant industrial, commercial or governmental sector through past activities, or intends to partner with an organization with such expertise; 4) That they, or a partnering organization, has familiarity with applicable federal, state and local environmental regulations and experience in developing tools (e.g., training, plain-language guides, fact-sheets, Agency contact locators, etc) to improve the audiences' understanding of their regulatory obligations; 5) previous experience (or intends to partner with an organization with experience) in developing and maintaining web-based services.

Beneficiary Eligibility:

The primary beneficiaries are small businesses, local governments and colleges/universities that are being serviced by the grantees. Other beneficiaries include state, local, general public, and the regulated business community.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. The Agency may also request that applicants demonstrate they have appropriate background, experience in the field, organizational capacity and necessary equipment to carry out projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, cooperative agreements will be awarded for a five year project period. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. Applicants will be advised of other matching requirements, if any, in Requests for Applications or Requests for Initial Proposals. Although EPA may not require matching funds, applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they would commit to manage a Compliance Assistance Center. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. EPA will partially fund each budget period and will consider funding the balance of budget requests contingent upon satisfactory progress as certified by the EPA Project Officer, the availability of funds, and EPA priorities. See the following for information on how assistance is awarded/released: Other.

Reports:

Program reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. No cash reports are required. Progress reporting requirements are identified at 40 CFR Parts 30 and 31. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$1,205,000; FY 11 est \$1,287,000

Range and Average of Financial Assistance:

\$35,000/year - \$140,000/year. While funding was not available in FY 09, an approximate average of the annual financial assistance awards that was made in the past and current fiscal years is \$65,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2008, the Centers Websites were visited over 2,220,000 times by their target audiences and the public, and experienced over 7 million requests for web pages and compliance assistance documents. In FY 2009, the Centers Websites were visited over 2,800,000 times by their target audiences and the public, and experienced over 7 million requests for web pages and compliance assistance documents. Through a FY 08 Center survey respondents expressed a high degree of satisfaction with Center services: (1) 86% of the regulated community strongly agreed or agreed that the Centers helped them understand regulations that apply to a sector, local government, or federal facility (2)72% of the regulated entity respondents took one or more actions as a result of Center use. Actions ranged from contacting a regulatory agency, changing a process or practice to conducting a self audit; (3)68% of the assistance provider community respondents took one or more actions as a result of Center use. Actions included: providing fact sheets or compliance guides; helping clients identify pollution prevention opportunities; and helping clients change processes or practices; (4) 46% of the regulated community respondents and 43% of the assistance provider community respondents indicated an environmental improvement from actions taken as a result of using a Center. The EPA funded 13 Centers and Center Services under 10 cooperative agreements in FY 2008; funds were not available in FY 09. Each Center addresses real world issues in language that speaks to the regulated entities. Through internet Websites, telephone assistance lines, fax-back systems, and e-mail discussion groups, the Centers are helping businesses, local governments, and federal facilities understand and comply with federal environmental requirements and save money through pollution prevention techniques. Fiscal Year 2010: FY 10 Web trend activity is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Compliance Assistance Centers are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for non-profit institutions, and FAR Part 31 for profit makers. Additional information pertinent to the Centers program can be found at www.assistancecenters.net. Centers brochures and/or fact-sheets can be obtained by calling the EPA Compliance Assistance Centers Team Leader at (202) 564-7076.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Tracy Back, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 2224A, Washington, District of Columbia 20460
Email: back.tracy@epa.gov Phone: (202) 564-7076.

Website Address:

<http://epa.gov/compliance/assistance/centers/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Past projects include the development of sector-specific websites providing a wide range of compliance assistance tools and resources. Tools developed include web sites, toll-free telephone assistance services, e-mail discussion groups, other interactive technologies, video/workbooks, satellite training, compliance audit checklists and guidebooks, and compliance fact sheets assistance in implementing pollution prevention activities. The existing Center websites can be accessed at www.assistancecenters.net or www.epa.gov/compliance/assistance/centers/index.html. Fiscal Year 2010: FY 10 Web trend activity is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.309 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE ACTIVITIES RELATING TO ENVIRONMENTAL JUSTICE (EJSS)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Clean Air Act, Section 103(b)(3); Toxic Substances Control Act, Section 10(a); Solid Waste Disposal Act, Section 8001(a); Marine Protection, Research, and Sanctuaries Act, Section 203; Safe Drinking Water Act, Section 1442(c)(3); Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA), Section 311(c).

OBJECTIVES:

To provide funding in support of surveys, studies and investigations, and special purpose assistance programs as they relate to environmental and/or public health issues, with a particular emphasis on environmental justice. Funding Priority - Fiscal Year 2010. Activities that support surveys, studies and investigations, and special purpose assistance for the award of grants and cooperative agreements that address environmental justice concerns. EPA defines "environmental justice" as the fair treatment and meaningful involvement of all people regardless of race, color, national origin or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. Fair treatment means that no one group of people, including racial, ethnic, or socioeconomic groups, should bear a disproportionate share of the negative environmental consequences resulting from industrial, municipal, and commercial operations or the execution of federal, state, local, and tribal environmental programs and policies. Meaningful involvement means that: (1) potentially affected community residents have an appropriate opportunity to participate in decisions about a proposed activity that will affect their environment and/or health; (2) the public's contribution can influence the regulatory agency's decision; (3) the concerns of all participants involved will be considered in the decision-making process; and (4) the decision-makers seek out and facilitate the involvement of those potentially affected. Assistance agreements may be associated with climate change, green jobs, energy efficiency and green business. Funding

Priority - Fiscal Year 2011. Activities that support surveys, studies and investigations, and special purpose assistance for the award of grants and cooperative agreements that address environmental justice concerns. EPA defines "environmental justice" as the fair treatment and meaningful involvement of all people regardless of race, color, national origin or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. Fair treatment means that no one group of people, including racial, ethnic, or socioeconomic groups, should bear a disproportionate share of the negative environmental consequences resulting from industrial, municipal, and commercial operations or the execution of federal, state, local, and tribal environmental programs and policies. Meaningful involvement means that: (1) potentially affected community residents have an appropriate opportunity to participate in decisions about a proposed activity that will affect their environment and/or health; (2) the public's contribution can influence the regulatory agency's decision; (3) the concerns of all participants involved will be considered in the decision-making process; and (4) the decision-makers seek out and facilitate the involvement of those potentially affected. Assistance agreements may be associated with climate change, green jobs, green development, smartgrowth and green business.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, intertribal consortia, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions which submit applications proposing projects concerning environmental justice issues with significant technical merit and relevance to EPA's mission. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions.

Credentials/Documentation:

Costs will be determined in accordance with Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally

specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are normally funded on a 12-month basis (annual). Most of OEJ awarded grants and cooperative agreements have project periods ranging from one year to five years, and generally, grants and cooperative agreements are fully funded at the time of award. See the following for information on how assistance is awarded/released: Other.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final program reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31. No cash reports are required. Agreements may require quarterly, interim, and final progress reports. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements

are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-8145-0-7-304; 68-0108-0-1-304; 68-0103-0-1-304; 68-0107-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$15,000; FY 10 est \$30,000; FY 11 est \$30,000

Range and Average of Financial Assistance:

\$15,000 to \$150,000/fiscal year; \$15,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Grant funds supported a conference on the study and investigation of new ideas and new approaches to climate change through interactive training sessions, featuring research on the challenges of communities, governments, municipalities, faith-based organizations, and others with an interest in environmental matters and environmental justice. Attendance included over 300 individuals, 30 organizations, and 30 experts and lecturers. Fiscal Year 2010: First-of-its-kind youth-led summit on climate change which will directly engage hundreds of young people throughout the New York City area in a dialogue on strategies and actions to address the threats posed by climate change. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Amy Braz (617) 918-1346, braz.amy@epa.gov, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Terry Wesley (212) 637-5027, wesley.terry@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Secondary: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov. Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Elvie Barlow (404)562-9650, barlow.elvie@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Secondary: Ella McLendon (404) 562- 8316, mclendon.ella@epa.gov. Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Margaret Millard (312) 353-1440, millard.margaret@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507. Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Shirley Quinones (214) 665-2713, quinones.shirley@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Althea Moses (913) 551-7649, moses.althea@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101. Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Nancy Reish (303) 312-6040, reish.nancy@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Secondary: Jean Belille (303) 312-6556 belille.jean@epa.gov. Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Karen Henry (415) 972-3844, henry.karen@epa.gov, USEPA Region 9 CMD-1, 75

Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Running Grass (206) 553-2899, grass.running@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Sheila Lewis, USEPA, Office of Environmental Justice, 1200 Pennsylvania Avenue, NW., Mail Code 2201A, Washington, District of Columbia 20460
Email: lewis.sheila@epa.gov Phone: (202) 564-0152

Website Address:

<http://www.epa.gov/compliance/environmentaljustice/index.html>

RELATED PROGRAMS:

66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers ; 66.604 Environmental Justice Small Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Study and investigation of new ideas and new approaches to climate change through interactive training sessions, featuring research on the challenges of communities, governments, municipalities, faith-based organizations, and others with an interest in environmental matters and environmental justice. Fiscal Year 2010: First-of-its-kind youth-led summit on climate change which will directly engage hundreds of young people throughout the New York City area in a dialogue on strategies and actions to address the threats posed by climate change. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.310 CAPACITY BUILDING GRANTS AND COOPERATIVE AGREEMENTS FOR COMPLIANCE ASSURANCE AND ENFORCEMENT ACTIVITIES IN INDIAN COUNTRY AND OTHER TRIBAL AREAS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act, Section 103; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Solid Waste Disposal Act, Section 8001; Safe Drinking Water Act, Section 1442; Toxic Substances Control Act, Section 10; Clean Water Act, Section 104; Marine Protection, Research and Sanctuaries Act, Section 203; National Environmental Policy Act, Section 102(2)(F); Indian Environmental General Assistance Program Act.

OBJECTIVES:

Providing financial resources to build and improve the compliance assurance and enforcement capacity of federally-recognized Indian tribes (tribes), inter-tribal consortia, or tribal organizations. Such capacity building efforts may include economic, social science, statistical research, development, studies, surveys, demonstrations, investigations, public education, training, and fellowships to the extent authorized under the federal environmental laws listed above. This Catalog of Federal Domestic Assistance (CFDA) number covers EPA's Environmental Programs and Management (EPM) resources targeted for compliance assurance and enforcement in Indian country and other tribal areas, including those in Alaska. In fiscal year 2010, EPA anticipates funding activities to address environmental compliance issues at drinking water systems in Indian country, schools in Indian country, and waste management and illegal dumping in Indian country. Funded activities would be designed to improve understanding of environmental obligations and ensure compliance with applicable laws through research, demonstration, training, or inspection activities. In fiscal year 2011, if awards are made, EPA's Office of Enforcement and Compliance Assurance anticipates to improve understanding of environmental obligations and ensure compliance with applicable laws in Indian country through research, demonstration, training, or inspection activities. Potential areas include: ensuring compliance at drinking water systems in Indian country, ensuring compliance with energy extraction activities in Indian country, and other areas related to EPA's Enforcement

Goals. Additional information is available at <http://www.epa.gov/compliance/data/planning/initiatives/goals.html>.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funding is for fixed or known periods associated with specific projects. Project grants can include fellowships, scholarships, research grants, training grants, traineeships, experimental and demonstration grants, evaluation grants, planning grants, technical assistance grants, survey grants, and construction grants. OECA identifies special focus areas each funding cycle (annually). Previous years have included calls for proposals focusing on conducting sanitary surveys at tribal public water systems, compliance assistance to tribal solid waste programs, and innovative enforcement and compliance assurance projects, including performance measurement, data quality, and public access to information. Consistent with existing statutory authority, the funds are used to support compliance assistance, compliance monitoring, and enforcement activities.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Tribal Organizations, Inter-tribal Consortia, Federal, and State Organizations, Colleges and Universities, and non-for-profit organizations; eligible applicants must also have enforcement and compliance assurance responsibilities in Indian country and/or other tribal areas or provide support for enforcement and compliance assurance projects in Indian country and/or other tribal areas. EPA may also limit eligibility for certain competitive funding opportunities under this CFDA to: (1) tribes and intertribal consortia located in the Region where a project is going to be performed; and/or (2) applicants that have access to Indian country or other tribal areas.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments; Inter-tribal Consortia and Tribal Organizations; Federal, State, and Multi-jurisdictional State Organizations; colleges and universities; and non-for-profit organizations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. e resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is normally provided in one lump sum. Projects may span multiple years. There is no restriction on the time permitted to spend the money awarded, although money must be spent prior to expiration associated with the specified federal fiscal year. See the following for information on how assistance is awarded/released: Other.

Reports:

Semi-annual program reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary. No cash reports are required. Semi-annual progress reports must be submitted to the Project Officer for the duration of the project period. Final reports must be submitted to the Project Officer upon completion of the project. The EPA Project Officer will determine whether additional reports are appropriate and necessary.

Expenditure Reports may be required under this program. Performance monitoring is required by this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$300,000; FY 10 est \$299,000; FY 11 est \$300,000 - FY 2009 \$300,000; FY 2010 \$299,000; FY 2011 \$300,000. EPA's Office of Enforcement and Compliance Assurance is able to use these resources to fund projects that are designed to improve compliance in Indian country with a particular focus on schools in Indian country, drinking water systems in Indian country, and waste management and illegal dumping in Indian country. In FY 2009, EPA's Office of Enforcement and Compliance Assurance did not award any cooperative agreements or grants using CFDA 66.310. EPA's Office of Enforcement and Compliance Assurance has not made FY 2010 funding

decisions for CFDA 66.310.

Range and Average of Financial Assistance:

\$3000 to \$40,000/fiscal year; \$40,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, EPA's Office of Enforcement and Compliance Assurance did not award any grants or cooperative agreements under CFDA 66.310. Fiscal Year 2010: In FY 2010, EPA's Office of Enforcement and Compliance Assurance has not yet made funding decisions under CFDA 66.310. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable EPA Uniform Administrative Requirements covered in the Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations (40 CFR Part 30), February 15, 1996; Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (including Indian and Tribal Governments) (40 CFR Part 31), March 11, 1988; and State and Local Assistance: Provides program-specific requirements for State, tribal, and local grants (40 CFR Part 35, Subparts A and B), October 12, 1982.

Regional or Local Office:

See Regional Agency Offices. For EPA Region 1 contact Kristi Rea at (617) 918-1595 or rea.kristi@epa.gov; for EPA Region 2 contact Rebecca Jamison at (212) 637-3949 or jamison.rebecca@epa.gov; for EPA Region 4 contact Serdar Ertap at (404) 562-9683 or ertap.serdar@epa.gov; for EPA Region 5 contact Andrew Anderson at (312) 353-9681 or anderson.andrew@epa.gov, for EPA Region 6 contact Connie Overbay at (214) 665-7274 or overbay.connie@epa.gov; for EPA Region 7 Wolfgang Brandner contact at (913) 551-7381 or brandner.wolfgang@epa.gov; for EPA Region 8 contact Kaye Mathews at (303) 312-6889 or rogers.liz@epa.gov; for EPA Region 9 contact Angela Baranco at (415) 947-4262 or baranco.angela@epa.gov, and for EPA Region 10 contact Donald Dossett at (206) 553-8257 or dossett.donald@epa.gov.

Headquarters Office:

Jonathan Binder, Office of Compliance, Environmental Protection Agency, Ariel Rios Building, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: binder.jonathan@epa.gov Phone: (202) 564-2516.

Website Address:

<http://www.epa.gov/compliance/state/grants/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 2009, EPA's Office of Enforcement and Compliance Assurance did not award any grants or cooperative agreements under CFDA 66.310. Fiscal Year 2010: In FY 2010, EPA has not yet made funding decisions under CFDA 66.310. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.312 STATE ENVIRONMENTAL JUSTICE COOPERATIVE AGREEMENT PROGRAM

(EJCA)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442(b)(3); Toxic Substances Control Act, Section 10(a); Solid Waste Disposal Act, Section 8001(a); Marine Protection, Research, and Sanctuaries Act, Section 203; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Clean Water Act, Section 104(b)(3); Clean Air Act, Section 103(b)(3).

OBJECTIVES:

To provide funding to State governments to support their efforts to understand, promote and integrate approaches and activities that result in meaningful and

measurable environmental and/or public health improvements in communities disproportionately exposed to environmental harms and risks. The goals of this program include, but are not limited to, the following: Achieve significant measurable environmental and/or public health results; Build broad, robust, results-oriented partnerships, particularly with community organizations within the affected area(s); Demonstrate a connection to EPA Regional EJ Action Plans and the State's participation in the design and implementation of EPA EJ Action Plan activities; and Implement a strategy to integrate EJ considerations pertinent to the project within the programs, policies, and activities of the appropriate State agency or agencies. This program is not being funded in FY 2010.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The SEJCA Program seeks projects fostering activities such as the following: Public awareness programs addressing child lead poisoning and asthma in impacted minority or low-income communities. This type of initiative could entail research activities about effective ways of outreaching and educating the public about causes and prevention of (lead poisoning and asthma); follow-up for further lead testing and effectiveness determinations of preventive efforts; Performance of community assessments and risk reduction projects to develop tools that could be used quickly for assessing air quality problems in a community; Projects to address multiple health threats posed by chemical and biological related industries/organizations located close to communities living in state public housing projects; Creation of communication networks and partnerships for sharing information and/or conducting focus groups studies on EJ related issues, between advocacy groups, local communities and state environmental agencies; Development of a response plan for targeted area; Establishment of collaborative and coordinated efforts, process or procedures between state agencies and ongoing projects at local levels to maximize use of local resources and reduce duplication of efforts.

The recipient cannot use these funds for the following activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies, U.S. territories and commonwealths, the District of Columbia, and multi-jurisdictional State organizations.

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies, U.S. territories and commonwealths, the District of Columbia and multi-jurisdictional State organizations.

The following entities are INELIGIBLE: private colleges and universities; City, township, county government and their entities; Federally recognized Native America Tribal governments; State designate Native American Tribal governments.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-102 for Grants and Cooperative Agreements with State and Local Governments. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements for training, research, surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All cooperative agreements will be awarded for a 3-year project period. Activities must be completed within the project timeframe, and all cooperative agreements will be fully funded at the time of award. See the following for information on how assistance is awarded/released: Oher.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final program reports and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31. No cash reports are required. Progress reports are required. No

expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. 40 CFR Part 31 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 40 CFR Part 35 - State and Local Assistance.

Records:

Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$800,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

All individual awards are \$160,000 each.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Examples of program accomplishments include work:

To develop a system to ensure the participation of Alaska Native tribal organizations in the state permitting process.

To develop public education programs, create compliance assistance programs for small businesses, and focus on generating green jobs in communities identified as having environmental justice concerns.

To provide community outreach on residential lead paint contamination and proper handling and abatement throughout the City of East St. Louis.

To provide remediation and education to reduce community exposure to air pollution and solid waste.

To pilot projects to help communities conduct environmental assessments and address self-identified environmental issues. Fiscal Year 2010: This program is not being funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to and Local Governments (40 CFR Part 35 Subpart A), October 12, 1982.

Regional or Local Office:

See Regional Agency Offices. Region 3 - Debbie Moody, 21555-814-3294,moody.debra@epa.gov

Region 4 - Sheryl Good, 404.562.9559, good.sheryl@epa.gov;

Region 5 - Margaret Millard, 312.353.1440, millard.margaret@epa.gov

Region 9 - Zoe Heller, 415.972.3074, heller.zoe@epa.gov

Region 10 - Running Grass, 206.553.2899, grass.running@epa.gov.

Headquarters Office:

Sheila Lewis, USEPA, Office of Environmental Justice, 1200 Pennsylvania Avenue, NW, Mail Code 2201A, Washington, District of Columbia 20460
Email: lewis.sheila@epa.gov Phone: (202) 564-0152.

Website Address:

<http://www.epa.gov/compliance/environmentaljustice/grants/ej-cps-grants.html>

RELATED PROGRAMS:

66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice; 66.604 Environmental Justice Small Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following are examples of state projects funding in FY 2009:

To develop a system to ensure the participation of Alaska Native tribal organizations in the state permitting process.

To develop public education programs, create compliance assistance programs for small businesses, and focus on generating green jobs in communities identified as having environmental justice concerns.

To provide community outreach on residential lead paint contamination and proper handling and abatement throughout the City of East St. Louis.

To provide remediation and education to reduce community exposure to air pollution and solid waste.

To pilot projects to help communities conduct environmental assessments and address self-identified environmental issues. Fiscal Year 2010: This program is not being funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.418 CONSTRUCTION GRANTS FOR WASTEWATER TREATMENT WORKS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 101(e)&109(b), Public Law 92-500; American Recovery and Reinvestment Act of 2009, Public Law 111-5; Clean Water Act, Section 210-212&215-219, Public Law 92-500; Clean Water Act, Section 208(d)&304(d)(3, Public Law 92-500; Clean Water Act, Section 313,319,320,501, Public Law 92-500; Clean Water Act, Section 502,511,516(b), Public Law 92-500; Clean Water Act, Section 201-205, 207, Public Law 92-500.

OBJECTIVES:

To assist and serve as an incentive in construction of municipal wastewater treatment works which are required to meet State and/or Federal water quality standards and improve the water quality in the waters of the United States. From fiscal year (FY) 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program. Funding Priority - Fiscal Year 2010: Funds are awarded to assist in construction of municipal wastewater treatment works which are required to meet State and/or Federal water quality standards and improve the water quality in the waters of the United States. Annual funding priorities are determined by the State in which the grantee is located. The project must be given priority by the State water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office. Funds were also made available to American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia for construction of municipal wastewater treatment works under the American Recovery and Reinvestment Act (Recovery Act) of 2009. A portion of the Recovery Act funding were targeted toward projects for green infrastructure, water or energy efficiency improvements, or other environmentally innovative

activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

For construction of municipal wastewater treatment works including privately owned individual treatment systems, if a municipality applies on behalf of a number of such systems. Such works may serve all or portions of individual communities, metropolitan areas, or regions. A project may include, but may not be limited to, treatment of industrial wastes. The grantee must require pretreatment of any industrial wastes which would otherwise be detrimental to efficient operation and maintenance, or the grantee must prevent the entry of such waste into the treatment plant. The grantee must have or initiate an acceptable system of user charges. From FY 2004 onwards, American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia may use up to four percent of the funds appropriated under Title VI for administration and closeout of their construction grant program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Any state, local, intrastate agency, or interstate agency, or Federally-Recognized Indian tribal government, having jurisdiction over waste disposal. At present, new funding for the construction grants program exists only in American Samoa, Commonwealth of Northern Mariana Islands, Guam, Virgin Islands, and the District of Columbia because they are exempt by the U.S. Congress from establishing a State Revolving Fund (SRF). Grants are awarded to only these territories and the District of Columbia from the SRF funds (Title VI grants), which are awarded as Title II grants. Funding requests for construction of wastewater treatment facilities are now to be made to the SRF representative of the State in which the construction is proposed.

Beneficiary Eligibility:

Anyone/General Public. Anyone to be served by a wastewater treatment works assisted by this program.

Credentials/Documentation:

(1) Certified copy of authorization and assurances from the applicant's governing body. Authorization must be current, and must designate by name and title the individual who will represent the applicant; (2) current description of the project; (3) statement explaining exact nature of local, State, and any other Federal funds which may be used to finance the project; (4) applicant assurance (which is acceptable to the Regional Administrator) that any necessary discharge permit has been or will be obtained in accordance with Section 401, of Public Law 92-500, as amended; (5) conformance with requirements set forth in 40 CFR Part 35; Subpart I. Application may be made for grant assistance for building and erection of the treatment works with an allowance for planning and design costs at the time that the grant for construction is made. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This is an existing program which is winding down as the funding is now being provided under the Clean Water State Revolving Fund Program for construction of wastewater treatment facilities. An environmental assessment is required for this program, which may require the development of an environmental impact statement by EPA. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted through the State water pollution control agency to the appropriate EPA Regional Office. The standard application forms as furnished by the Federal agency must be used for this program. EPA requires final applications to be made on the Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Projects considered for award must be approved by the State water pollution control agency and also certified by such agency as to priority over any other eligible projects. Award is made following such certification and approval by a Regional Office of EPA. Funds authorized by the Congress are allotted to the States in accordance with The Clean Water Act, as amended. Grant awards within individual States are limited by the funds which have been allotted to such States.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 45 days, for EPA Regional Office action after certification by delegated State.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program operates under Section 202 of the Clean Water Act. This program has no statutory formula. The Federal grant may be for up to 55 percent for grants awarded after September 30, 1984 or for 75 percent of eligible project costs for grant assistance awarded before October 1, 1984. The States may lower these percentages with the concurrence of the Regional Administrator pursuant to Section 202(a)(1) of the Clean Water Act as amended by Public Law 100-4. For innovative or alternative treatment processes and techniques referred to in section 201(g)(5) the funding shall be 20 per centum greater than the above approved percentage, but in no event greater than 85 per centum of the cost of construction of the project being funded with the grant. The grantee must assure that they will provide funds for all remaining costs. Some States assist grantees in providing all or part of the non-Federal share. American Samoa, Guam, Northern Marianas Islands, and Virgin Islands will receive the funds allocated up to 100 percent of the cost of construction. Recovery Act funds allotted to the District of Columbia, American Samoa, Guam, Northern Mariana Islands, and Virgin Islands have no match requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Any Title VI sums that are allotted as Title II to the District of Columbia, American Samoa, Guam, Northern Mariana Islands, and Virgin Islands will be available for obligation for a period of one year after the close of the fiscal year for which the sums are authorized. Recovery Act funds allotted to the District of Columbia, American Samoa, Guam, Northern Mariana Islands, and Virgin Islands are available for obligation until September 30, 2010. The term of the grant shall be determined at the time of grant award. Grant payments are based on work completed, equipment delivered, or sometimes off-site manufacturing or services rendered. Final grant payment is made after construction is completed and satisfactory final inspection and performance evaluation are carried out. See the following for information on how assistance is

awarded/released: The method of fund disbursement will be determined at the time of award.

Reports:

Annual inspection by the State agency for compliance with permit requirements including a written report concerning operation and maintenance of waste treatment facility, and certification one year after beginning operation that the works are meeting project performance standards. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports will include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years after project closeout, and if questions still remain, such as those raised in a court appeal, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 68-0102-0-1-304.

Obligations:

(Project Grants) FY 09 \$34,356,300; FY 10 est \$40,915,000; FY 11 est \$40,000,000 - Title II obligations available for construction grants to District of Columbia, American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands are: FY 09 \$5,083,000; FY 10 est. \$40,915,000; and FY 11 est. \$40,000,000. Title II obligations available for Recovery Act funds to District of Columbia, American Samoa, Guam, Northern Mariana Islands, and the Virgin Islands are: FY 09 \$29,273,300; FY 10 est. \$0; and FY 11 est. \$0.

Range and Average of Financial Assistance:

CWA Title II grants \$100,000 to \$10,000,000/fiscal year; \$5,000,000/fiscal year. Recovery Act funds - \$100,000 to \$15,000,000/fiscal year; \$7,500,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 4 grants for \$5 million were awarded. Projects funded included new and expanded wastewater treatment plants, infiltration/inflow correction, new interceptors, new collector sewers, and correction of combined sewer overflows (CSO) projects. In FY 09, 10 awards were made with Recovery Act funds for a total of \$29 million. Fiscal Year 2010: In FY 10, it is anticipated that 10 grants for \$40 million will be awarded. Projects to be funded include new and expanded wastewater treatment plants, infiltration/inflow correction, new interceptors, new collector sewers, and correction of CSO projects. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for Construction of Treatment Works, Environmental Protection Agency (40 CFR Part 35, Subpart E (and Subpart I, applicable to grants awarded after May 12, 1982); General Grant Regulations and Procedures, EPA (40 CFR Part 31); "Construction Grants 1985 (Guidelines for applicants and grantees)"; and Construction Grants Handbook of Procedures (Guidelines for Project Officers)." For these and other publications, please contact EPA's Office of Wastewater Management, Municipal Support Division, MC-4204M, 1200

Pennsylvania Ave., NW, Washington, DC 20460.

Regional or Local Office:

See Regional Agency Offices. Information regarding appropriate waste treatment requirements and State and Federal assistance may be obtained from the State water pollution control agency. Individuals may also communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Also, contact your State agency concerning possible assistance under a State revolving fund (Title VI) of the 1987 Amendments of the Clean Water Act.

Headquarters Office:

Gajindar Singh, Sustainable Communities Branch (4204M), Municipal Support Division, Office of Wastewater Management, Environmental Protection Agency, EPA East, 1200 Pennsylvania Ave., N.W., Washington, District of Columbia 20460 Email: singh.gajindar@epa.gov Phone: (202) 564-0634.

Website Address:

<http://www.epa.gov/owm/cwfinance/index.htm>

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: New and expanded wastewater treatment plants, infiltration-inflow correction, new interceptors, new collector sewers, and correction of CSO projects. Fiscal Year 2010: New and expanded wastewater treatment plants, infiltration-inflow correction, new interceptors, new collector sewers, and correction of CSO projects. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Annual funding priorities are determined by the State in which the grantee is located. The project must be given priority by the State water pollution control agency through its project priority system and recommended for funding for approval by the EPA Regional Office.

66.419 WATER POLLUTION CONTROL STATE, INTERSTATE, AND TRIBAL PROGRAM SUPPORT

(106 Grants)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 106, Public Law 95-217, 33 U.S.C 1251.

OBJECTIVES:

To assist States (including territories, the District of Columbia, and Indian Tribes qualified under CWA Section 518(e)), and interstate agencies in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution from both point and nonpoint sources. Funding Priority - Fiscal Year 2010: States and Tribes will continue to focus on fulfilling their basic responsibilities under the CWA and, based upon a shared understanding with EPA of the environmental progress expected, will identify program activities that will best support attaining targeted environmental improvements. State priority efforts will include: (1) implementing monitoring strategies and the statistically-valid surveys to determine water quality status and trends; (2) fostering a watershed approach, including total maximum daily loads (TMDLs) and watershed plans designed to meet water quality standards; and (3) implementing concentrated animal feeding operations (CAFOs) and storm water permitting programs. States and Tribes will work toward adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria for mercury in their water quality standards. States will support EPA in implementing the "Permitting for Environmental Results Strategy (PERS)," including: (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations. Water quality programs will also target actions to strengthen permitting and enforcement efforts. States will also conduct source water protection actions to protect both ground water and surface waters used for drinking water. Tribes will continue to conduct watershed assessments and will maintain and improve their capacity to implement water quality programs

through monitoring, assessments, planning, and standards development.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Water pollution control grants are intended to provide continuing support for the prevention and abatement of surface and ground water pollution from point and nonpoint sources. Continuing and recurrent water quality management program activities funded include water quality planning and standards; monitoring and assessments; inspections and enforcement; permitting; training; advice and assistance to local agencies; and public information. Funds cannot be used for construction, operation, or maintenance of waste treatment plants, nor can they be used for costs financed by other Federal grants. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include State and interstate water pollution control agencies as defined in the Federal Water Pollution Control Act, and Indian tribes qualified under CWA Section 518(e). Agencies making application for funds must annually submit their pollution-control program to the appropriate EPA Regional Administrator for approval. Requirements of the program are based on Section 106 of the Act, and 40 CFR Parts 31, 35, and 130. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and interstate water pollution control agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act (CWA).

Credentials/Documentation:

State and interstate agencies and Indian tribes qualified under Section 518(e) of the Clean Water Act must show compliance with 40 CFR 35, Subpart A and Subpart B. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal meetings are held between regional office, State, territorial, and Indian tribe applicant agencies concerning program preparation. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. The grant agreement must adequately reflect the priorities identified in the State/EPA Agreement. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grants application must be submitted to the appropriate EPA Regional Office, Grants Administration Branch (see Appendix IV of the Catalog). The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. EPA requires final applications to be made on

Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and if approved, are signed by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act, Chapter CFR 35.162.

Matching Requirements: The regulation that implements the formula can be found at CFR 35.162. As stated in the Clean Water Act, appropriated funds are allotted among the State and Interstate Water Pollution Control Agencies on the basis of the extent of the pollution problems in the respective States. The six components in the Section 106 State allotment formula selected to reflect the extent of the water pollution control problems in the United States are: (1) surface water area; (2) ground water use; (3) water quality impairment; (4) point sources; (5) non-point sources; and (6) population of urbanized areas. The set-aside for Interstate Water Pollution Control Agencies is 2.6 percent of the total State monies appropriated under Section 106. The interstate allotment formula consists of two parts: (1) a funding floor, and (2) a variable portion. To receive a Section 106 Water Pollution Control grant, a State or interstate agency must expend annually for recurrent Section 106 program expenditures an amount of non-federal funds at least equal to expenditures during the fiscal year ending June 30, 1971. A portion of the annual Section 106 appropriation is set-aside for eligible Indian Tribes qualified under CWA Section 518(e), and an allocation formula is used to distribute these funds to the EPA Regions. The Tribal allocation formula consists of both a base portion (which is currently equal to approximately \$65,000 times the total number of Tribes with Treatment in a manner similar to a State [TAS] approval for Section 106 grants in each EPA Region), plus a weighted variable portion. The variable portion is based upon the total tribal water area (50 percent), land area (25 percent), and reservation population (25 percent) in each EPA Region. Tribal work plan costs include the costs of planning, developing, establishing, improving, and maintaining a water pollution control program. The Regional Administrator may provide up to 95 percent of the approved work plan costs for Tribes or intertribal consortia establishing a Section 106 water pollution control program. The Regional Administrator may increase the maximum Federal share if the tribe or intertribal consortium can demonstrate in writing to the satisfaction of the Regional Administrator that fiscal circumstances within the Tribe or within each Tribe that is a member of an intertribal consortium are constrained to such an extent that fulfilling the 5 percent match requirement would impose undue hardship. Since 2005, additional funds have been allocated for the purposes of strengthening State and Territorial water quality monitoring programs. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year; payments are approved quarterly and disbursed monthly. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$218,495,000; FY 10 est \$229,264,000; FY 11 est \$274,264,000

Range and Average of Financial Assistance:

\$10,000 to \$11,215,000/fiscal year; \$5,610,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In each fiscal year, grants are awarded to encourage and support the conduct of Water Pollution Control programs by the 50 States, 6 Territories, the District of Columbia, 6 Interstate Commissions, and Indian Tribes qualifying under CWA Section 518(e). FY 09 funds were used to continue to support State and Tribes' efforts to fulfill their basic responsibilities under the CWA and identify program activities that best support attaining targeted environmental improvements. Accomplishments included: implementing monitoring strategies and the development of statistically-valid surveys to determine water quality status and trends; fostering a watershed approach including total maximum daily loads (TMDLs) and the development of watershed plans designed to meeting water quality standards; and the implementation of concentrated animal feeding operations (CAFOs) and storm water permitting programs. At a minimum, Regional watershed based strategies give priority to: 1) fostering the development of watershed plans under State nonpoint source programs; 2) assuring that high priority permits are current; 3) tracking permitting for environmental results program integrity follow-up actions; 4) developing TMDLs for impaired waters; and 5) organizing restoration plans on a waterbody basis. Fiscal Year 2010: In each fiscal year, grants are awarded to encourage and support the conduct of Water Pollution Control programs by the 50 States, 6 Territories, the District of Columbia, 6 Interstate Commissions, and Indian Tribes qualifying under CWA Section 518(e). FY 10 funds will be used to continue to support State and Tribes' efforts to fulfill their basic responsibilities under the CWA and identify program activities that best support attaining targeted environmental improvements. States and Tribes are working toward adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria

for mercury in their water quality standards. Water quality programs are also strengthening permitting and enforcement efforts. States are also conducting source water protection actions to protect both ground water and surface waters used for drinking water. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 31); Handbook of Procedures, State and Interstate Program Grants; Environmental Protection Agency, State and Local Assistance Programs, Grants for Water Quality Planning, Management and Implementation (40 CFR Part 130 and 40 CFR Part 35 Subpart A and B); Office of Water National Program Guidance for FY 2005.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Robyn Delehanty, Office of Wastewater Management (4204M), Environmental Protection Agency, EPA East Building, 1201 Constitution Avenue, N.W., Washington, District of Columbia 20460 Email: delehanty.robyn@epa.gov Phone: (202) 501-2399.

Website Address:

<http://www.epa.gov/owm/cwfinance/pollutioncontrol.htm>

RELATED PROGRAMS:

66.454 Water Quality Management Planning; 66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants are made to States, interstate agencies, and tribes qualified under CWA Section 518(e), for the administration of State and tribal programs for the prevention, reduction, and control of water pollution. Activities funded include administration of State and tribal water quality planning programs; water quality standards programs; water quality monitoring and assessments; National Pollutant Discharge and Elimination System (NPDES) permitting programs; compliance and enforcement programs, and ground water protection programs. More specifically, adoption and implementation of new comprehensive monitoring strategies, as stated in the March 2003 Elements of a State Water Monitoring and Assessment Guidance, and the development of statistically valid monitoring networks to help target activities and determine water quality status and trends; enhancement of the quality and timeliness of state/tribal water quality standards triennial reviews so that standards are based on sound science and EPA guidance; adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria for mercury into state water quality standards; effective management of the permit program through State participation in implementing EPA's "Permitting for Environmental Results Strategy (PERS)," focusing on (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations; implementation of program enhancements identified in the FY 2004 comprehensive assessment of NPDES program integrity; and State storm water and concentrated animal feeding operations (CAFO) permitting programs, wet weather issues and combined sewer overflows (CSOs). Fiscal Year 2010: Grants are made to States, interstate agencies, and tribes qualified under CWA Section 518(e), for the administration of State and tribal programs for the prevention, reduction, and control of water pollution. Activities funded include administration of State and tribal water quality planning programs; water quality standards programs; water quality monitoring and assessments; National Pollutant Discharge and Elimination System (NPDES) permitting programs; compliance and enforcement programs, and ground water protection programs. More specifically, adoption and implementation of new comprehensive monitoring strategies, as stated in the March 2003 Elements of a State Water Monitoring and Assessment Guidance, and the development of statistically valid monitoring networks to help target activities and determine water quality status and trends; enhancement of the quality and timeliness of state/tribal water quality standards triennial reviews so that standards are based on sound science and EPA guidance; adoption of nutrient criteria for fresh waters, adoption of the 1986 bacteria criteria, and adoption of fish tissue criteria for mercury into state water quality standards; effective management of the permit program through

State participation in implementing EPA's "Permitting for Environmental Results Strategy (PERS)," focusing on (1) developing and strengthening systems to ensure the integrity of the program; (2) achieving and measuring environmental results; and (3) incorporating efficiencies in permitting program operations; implementation of program enhancements identified in the FY 2004 comprehensive assessment of NPDES program integrity; and State storm water and concentrated animal feeding operations (CAFO) permitting programs, wet weather issues and combined sewer overflows (CSOs). Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Section 106 funds are awarded to State, territory, interstate, and Indian tribal agencies in accordance with overall water quality management needs, the requirements of programs covered by these agreements, and EPA Program guidance.

66.424 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS - SECTION 1442 OF THE SAFE DRINKING WATER ACT

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Safe Drinking Water Act, Section 1442, Public Law 104-182.

OBJECTIVES:

(1) To support surveys, studies, investigations, demonstrations, and training associated with source water and drinking water; (2) To develop and expand capabilities of programs to carry out the purposes of the Safe Drinking Water Act (SDWA). Funding Priority - Fiscal Year 2010: Funding priorities include providing assistance for the following: (1) research on the occurrence of contaminants in drinking water; (2) source water protection and treatment methods; measures to protect water quality in the distribution system and at the tap; (3) tribal source water protection program support; tribal operator certification program support; (4) tribal capacity development program support; and (5) assistance to tribes in administration of the Drinking Water Infrastructure Grants to identify health effects associated with drinking water contaminants. Funding priorities also include providing assistance for the following training and technical assistance activities: (1) training personnel who manage or operate public water systems; (2) training personnel for occupations involving the public health aspects of providing safe drinking water; (3) training to develop and expand the capabilities of states and municipalities to carry out the SDWA; and (4) technical assistance to enable small public water systems to meet the requirements of SDWA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds will support research, investigations, studies, demonstrations, and training associated with source water and drinking water. Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and individuals.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is subject to the provisions of OMB Circulars No. A-102 and No. A-110. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, announcements for competitive funding opportunities will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts."

Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for water surveys, studies, demonstrations, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$3,622,582; FY 10 est \$6,300,000; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

\$10,000 to \$900,000/fiscal year; \$120,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. Four grants were awarded in FY 09 in support of drinking water and source water initiatives associated with developing and expanding capabilities of programs to carry out the purposes of the SDWA. Fiscal Year 2010: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. Competitive announcements typically include the number of anticipated awards. In FY 10, it is anticipated that additional support for drinking water and source water initiatives will be provided. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Training grants and cooperative agreements are subject to EPA's Regulations for Training Assistance (40 CFR Part 45).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Joe Jackson, Environmental Protection Agency, Office of Ground Water and Drinking Water, 1200 Pennsylvania Avenue, Washington, District of Columbia 20460 Email: jackson.joe-a@epa.gov Phone: (202) 564-3817.

Website Address:

<http://www.epa.gov/safewater>.

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator; 66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Assisting states in effective implementation of SDWA requirements; assistance to states in ground water protection and conservation; developing utility planning tools; capacity development support; and source water protection through prevention. Fiscal Year 2010: Assisting states in effective implementation of SDWA requirements; assistance to states in ground water protection and conservation; developing utility planning tools; capacity development support; and source water protection through prevention. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.432 STATE PUBLIC WATER SYSTEM SUPERVISION

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act Amendments of 1996, Public Law 104-182; Safe Drinking Water Act of 1974, Public Law 93-523; Public Health Service Act; Safe Drinking Water Act Amendments of 1986.

OBJECTIVES:

The objective of these grants is to provide financial assistance to eligible States and Tribes (those that have Primary Enforcement Responsibility for the Public Water System Supervision Program, or are developing such a program), for the conduct of their Public Water Systems Supervision (PWSS) Program. In cases where a State or a Tribe do not have, or are not developing, a Primary Enforcement Responsibility program, EPA is authorized to use funds that would have otherwise been made available to the State or the Tribe to assist it in direct implementation of the PWSS program. The fundamental goal of the PWSS Program, and the grants, is to ensure that water systems comply with the National Primary Drinking Water Regulations listed in 40 CFR 141 (http://www.access.gpo.gov/nara/cfr/waisidx_02/40cfr141_02.html). States, territories, and Indian Tribes that receive PWSS grants are to use them to ensure that drinking water systems, of all types, and of all sizes: (1) that are currently in compliance with the drinking water regulations, remain in compliance, (2) that are not currently in compliance, achieve compliance, and (3) are preparing to comply with any new drinking water regulation that will be taking effect in FY 06. Funding Priority - Fiscal Year 2010: The priority for the PWSS grants is to ensure that the population served by community water systems will receive

drinking water that meets all applicable health-based drinking water standards. The primary components of a State or Tribal PWSS program are: the adoption of State drinking water regulations as stringent as the Federal regulations; the development and maintenance of an inventory of public water systems throughout the State; the development and maintenance of a database housing compliance information on public water systems; the conduct of sanitary surveys on the public water systems; the review of public water system plans and specifications; the provision of technical assistance to system managers and operators; a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; the certification of laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and the conduct of an enforcement program to ensure that the public water systems comply with all of the requirements.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement a public water system supervision program adequate to enforce the requirements of the Safe Drinking Water Act. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website:
<http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligibility is limited to the governments of the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have either assumed primary enforcement responsibility for the PWSS Program or that want to develop a program that will allow them to seek delegation for a PWSS Program. EPA may also use funds allotted for a State or Tribal program, if the State or Tribe does not have, or is not developing, primary enforcement responsibility. Eligibility is also limited to a single agency within each State, Territory, or Tribe – an agency that has been designated by the jurisdiction's Governor or Chief Executive Officer. Primary Enforcement Responsibility for the Public Water System Supervision Program is provided for in 40 CFR 142 (http://www.access.gpo.gov/nara/cfr/waisidx_02/40cfr142_02.html).

Beneficiary Eligibility:

The beneficiaries are the agencies within the fifty States; the District of Columbia; the Commonwealth of Puerto Rico; the Northern Mariana Islands; the Virgin Islands; Guam; American Samoa; and federally recognized Tribes, that have been designated by the jurisdiction's Governor or Chief Executive Officer as being responsible for the supervision of water supplies within the State, Territory, or Tribe.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Tribal Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grant application, including a Program Plan, must be submitted to the appropriate EPA Regional Administrator. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at:
http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

The grant application and Program Plan are reviewed by the appropriate EPA Regional Office, and if approved, are signed by the Regional Administrator, who then awards the funds to the recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Maximum of 60 days.

Appeals:

Disputes will be resolved under 40 CFR Parts 30.63 or 40 CFR Part 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act of 1974, Public Law 93-523. Matching Requirements: State allotments are computed through use of a formula, as prescribed in Public Law 93-523 Section 1443 (a)(3) and (a)(4), 40 CFR 35.172. Funds appropriated each year are allotted on the basis of: (a) State, Territory, or Tribal population (20 percent) as contained in the most currently available (published) U.S. Census Bureau data; (b) State, Territory, or Tribal geographical area (10 percent) as contained in the most currently available (published) U.S. Census Bureau data; (c) the number of active community and non-transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (56 percent); and (d) the number of active transient non-community water systems being regulated by the State, Territory, or Tribe that are on record in EPA's data system (14 percent). All States, the District of Columbia, and Puerto Rico are eligible for a minimum of \$334,500 (which is equal to 1 percent of the FY 1989 PWSS Program appropriation). The American Samoa, Guam, the Northern Mariana Islands, and the Virgin Islands are eligible for a minimum of \$111,500 (which is equal to 1/3 percent of the FY 1989 PWSS Program appropriation). Up to seven percent of the amount appropriated for the PWSS Program is set-aside to support Public Water System Supervision programs on Indian lands (including grants to Tribes) in accordance with EPA Grant Regulations. The formula factors of population and land area are statutory. The other factors, and all of the weightings, are Agency imposed. Federal assistance is limited to 75 percent of total, eligible program costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual grants. There is no restriction placed upon the time permitted to spend the award. Awards are released through letters of credit or reimbursement. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this

program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$99,100,000; FY 10 est \$105,700,000; FY 11 est \$105,700,000

Range and Average of Financial Assistance:

\$125,000 to \$6,599,000/fiscal year; \$1,887,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During FY 09, 30 States, one territory, and four Indian Tribes were awarded primacy or primacy development grants under the PWSS Program. The majority of the grant funds were used for State, territorial, or Tribal governmental salaries to allow the governments to: develop and maintain State drinking water regulations; develop and maintain an inventory of public water systems throughout the State; develop and maintain a database housing compliance information on public water systems; conduct sanitary surveys on the public water systems; review public water system plans and specifications to ensure systems meet State design standards; provide technical assistance and training to water system managers and operators to ensure they are knowledgeable of the State requirements and best treatment and operation practices; conduct a program to ensure that the public water systems keep their consumers informed about the quality of the water they are providing; inspect and certify laboratories that are allowed to perform the analysis of drinking water that will be used to determine compliance with the drinking water regulations; and conduct an enforcement program to ensure that the public water systems comply with all of the requirements. States, territories, and Tribes also use the grant funds to upgrade and maintain the data systems that are used to store and track information on public water systems. Fiscal Year 2010: In FY 10, grants will continue to focus on supporting projects to achieve the objective of protecting human health by reducing exposure to contaminants in drinking water, including protecting source waters. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Financial Assistance for Continuing Environmental Programs (40 CFR 35, Subpart A); General Grant Regulations and Procedures (40 CFR Part 30).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Kevin Roland, Office of Ground Water and Drinking Water (4606M), Office of Water, Environmental Protection Agency, Washington, District of Columbia 20460 Email: roland.kevin@epa.gov Phone: (202) 564-4588.

Website Address:

<http://www.epa.gov/safewater/pws/pwsgrant.html>

RELATED PROGRAMS:

66.433 State Underground Water Source Protection; 66.468 Capitalization Grants for Drinking Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funding was awarded to each of the 49 States, five territories, and one Indian Tribe that has primary enforcement responsibility for the PWSS Program. The grants were used by these governments, primarily for governmental salaries to: develop State drinking water regulations, inventory drinking water systems, manage information on public water systems, ensure public participation regarding drinking water systems, provide technical assistance to public water systems, and enforce drinking water quality standards. Fiscal Year 2010: Funding will be awarded to each of the 49 States, five territories, and one Indian Tribe that has primary enforcement responsibility for the PWSS Program. The grants will be used by these governments, primarily for governmental salaries to: develop State drinking water regulations, inventory drinking water systems, manage information on public water systems, ensure public participation regarding drinking water systems, provide technical assistance to public water systems, and enforce drinking water quality standards. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of State's annual program plan for the adoption and enforcement of drinking water regulations which are no less stringent than the national primary drinking regulations. (2) Designation of a State agency to receive the grant and be responsible for conducting the public water system supervision program. (3) Compliance with requirements of 40 CFR 30 and 40 CFR 35.

66.433 STATE UNDERGROUND WATER SOURCE PROTECTION FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act of 1974; Safe Drinking Water Act Amendments of 1996, Public Law 104-182, 42 U.S.C 300; Safe Drinking Water Act Amendments of 1986, Public Law 99-339.

OBJECTIVES:

To foster development and implementation of underground injection control (UIC) programs under the Safe Drinking Water Act (SDWA). The objective of the grant program is to provide financial assistance, to eligible States and Tribes, for the implementation of their UIC Program. The fundamental goal of the program, and the grants, is to ensure that underground sources of drinking water are protected from endangering injection activities. Funding Priority - Fiscal Year 2010: The program will fund the major components of State UIC programs which include: the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection well activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The funds are to be used to develop and implement an underground injection control program adequate to enforce the requirements of the Safe Drinking Water Act. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States and Indian Tribes that qualify as Programs that have delegated primary Enforcement Authority pursuant to SDWA amendments of 1986.

Beneficiary Eligibility:

States and Indian Tribes.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applications are subject to State and area wide clearinghouses review pursuant to procedures in the proposed 40 CFR Part 19. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grant application must be submitted to the appropriate EPA Regional Administrator. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm.

Award Procedure:

Applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act.

Matching Requirements: This program operates under a formula which uses criteria set forth in the SDWA, Section 1443(b)(4). Except for Indian Tribes, Federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment. The statistical factors used for allocation include: (1) population of State, based on the most recent Census Bureau data; (2) State land area, based on the most recent Census Bureau data; and (3) injection practices by State, based on data reported by States and maintained by EPA as of the most recent fiscal year prior to allocation development. For Indian Tribes, Federal assistance is limited to 90 percent of eligible costs. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports

are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report, or until any audit exceptions have been resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$9,163,300; FY 10 est \$10,891,000; FY 11 est \$11,109,000

Range and Average of Financial Assistance:

\$13,000 to \$973,000/fiscal year; \$176,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This program provides funding support to states, territories, and tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. In FY 09, 45 States, Territories, and Tribes had primacy for 36 full and nine partial programs. The grant funds are used by the States, Territories, and Tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities. Fiscal Year 2010: This program provides funding support to states, territories, and tribes that have delegated primary enforcement authority pursuant to the SDWA amendments of 1986. The grant funds are used by the States, Territories, and Tribes to support operation of their ongoing UIC programs including: the development and maintenance of UIC regulations; the development and maintenance of inventories of injection systems; the development and maintenance of databases housing compliance information on underground injection wells activities; the implementation of UIC permitting activities; and the implementation of enforcement programs to ensure that underground sources of drinking water are protected from endangering injection activities. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants for State Underground Water Source Protection Programs (40 CFR 35); General Grant Regulations and Procedures (40 CFR Part 30).

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Ann Codrington, Prevention Branch, Drinking Water Protection Division, Office of Ground Water and Drinking Water, Office of Water (4606M), Environmental Protection Agency, 1200 Pennsylvania Avenue, NW., Washington, District of Columbia 20460 Email: codrington.ann@epa.gov Phone: (202) 564-4688.

Website Address:

<http://www.epa.gov/safewater/uic/grants.html>

RELATED PROGRAMS:

66.432 State Public Water System Supervision

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation. Fiscal Year 2010: Grant funds are used by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Adequacy of State's annual program plan for an enforceable underground injection control program; (2) compliance with requirements of 40 CFR 30 and 35, and Executive Order No. 12367 (Revised); (3) designation of a State agency to receive the grant and be responsible for coordinating the underground water source protection program; and (4) evidence that Federal grant funds will not supplant the State's nonfederal funding committed to underground water source protection efforts. For Indian Tribes: (1) demonstration of Tribal adequacy; and (2) demonstration of the tribe's intent, adequate legal authority, and resources to implement specific program elements outlined in 40 CFR 144, 145, 146, and 148.

66.436 SURVEYS, STUDIES, INVESTIGATIONS, DEMONSTRATIONS, AND TRAINING GRANTS AND COOPERATIVE AGREEMENTS - SECTION 104(B)(3) OF THE CLEAN WATER ACT FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 95-217, 33 U.S.C 1251; National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

To support the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Funding Priority - Fiscal Year 2010: Funding priorities include, but are not limited to training, surveys, studies, investigations, and demonstration projects to support water quality improvement, watershed planning and management, nonpoint source planning, wetlands protection, coastal and estuarine planning, treatment technologies, water efficiency, and environmental management systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs in approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. The funds will support conducting and promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to

conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, local governments, territories, Indian Tribes, and possessions of the U.S. (including the District of Columbia); public and private universities and colleges; hospitals; laboratories; public or private nonprofit institutions; intertribal consortia; and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. An intertribal consortium must meet the definition of eligibility in the Environmental Program Grants for Tribes Final Rule, at 40 CFR 35.504 (66 FR 3782, January 16, 2001) (FRL-6929-5) and be a non-profit organization within the meaning of OMB Circular A-122. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies, and investigations based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA may negotiate the project period with each applicant based on project requirements. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$4,342,878; FY 10 est \$1,775,000; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

\$10,000 to \$750,000/fiscal year; \$380,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Competitions are held throughout the year at Headquarters

and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 09, EPA funded 24 projects to support training, surveys, studies, investigations, and demonstrations for water quality improvement, watershed planning and management, nonpoint source planning, wetlands protection, coastal and estuarine planning, treatment technologies, water efficiency, and environmental management systems. Fiscal Year 2010: Competitions are held throughout the year at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will typically include the number of anticipated awards. In FY 10, EPA will continue to support training, surveys, studies, investigations, and demonstration projects that protect and improve water quality on a watershed basis. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, investigations, demonstrations, and training grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

See Regional Agency Offices. For information on grant applications and procedures, contact:

Environmental Protection Agency, Grants and Interagency Agreement Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460. For program information contact: Office of Wetlands, Oceans and Watersheds, Connie Cahanap, Phone: (202) 566-1382; FAX: (202) 566-1147; e-mail: cahanap.concepcion@epa.gov. Office of Wastewater Management, Barry Benroth, Phone: (202) 564-0672; FAX: (202) 501-2397; e-mail: benroth.barry@epa.gov. Office of Science and Technology, Agnes Ortiz, Phone: (202) 566-1303; FAX: (202) 566-0441; e-mail: ortiz.agnes@epa.gov.

Headquarters Office:

Kimberley Davis Environmental Protection Agency
Office of Water
1200 Pennsylvania Avenue, N. W. (4101M)
, Washington, District of Columbia 20460 Email:
roy-davis.kimberley@epa.gov Phone: (202) 564-4633

Website Address:

<http://www.epa.gov/ow>

RELATED PROGRAMS:

66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Development of innovative watershed education tools, development of wetland protection guides for local communities, demonstration projects for watershed planning, and training on environmental management systems. Fiscal Year 2010: Development of innovative watershed education tools, development of wetland protection guides for local communities, demonstration projects for watershed management, and training on environmental management systems. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria.

66.437 LONG ISLAND SOUND PROGRAM

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 119(d).

OBJECTIVES:

To (1) implement the Long Island Sound Study (LISS) Comprehensive Conservation and Management Plan (CCMP) and (2) assist the states of Connecticut and New York, and other public or nonprofit entities in conducting research, experiments, investigations, training, demonstration, surveys, or studies related to reducing pollution and improving the quality of the environment to sustain living resources in Long Island Sound. Funding Priority - Fiscal Year 2010: EPA's funding priority is to award grants that support the Long Island Sound Study (LISS) National Estuary Program. Section 119(d) of the Clean Water Act as amended by the Long Island Sound Restoration Act of 2000, P.L. 106-457 authorizes the Administrator to award grants to eligible entities to implement the LISS Comprehensive Conservation and Management Plan (CCMP), with special emphasis on implementation, research and planning, enforcement, and citizen involvement and education projects. The LISS management conference, with participants from state, interstate and regional environmental agencies, local governments and other public or nonprofit private agencies, research institutions and individuals, develops programs to protect and restore Long Island Sound. The LISS program characterizes the problems in the estuary, determines relationships between pollutant loading and impacts on living resources, maintains a comprehensive plan recommending solutions to priority problems, and implements actions addressing priority problem areas. Activities in FY 09 will focus on six areas identified in the plan as requiring special attention, including: the impact of hypoxia on aquatic life, effects of toxic contamination, pathogen contamination and floatable debris pollution on the living resources and environment of the Sound, the impact of habitat degradation on the health of living resources, land use and development policies and their effect on the ecology, and scientific research in support of these areas as appropriate.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to implement elements of the LISS CCMP. Special emphasis shall be given to CCMP implementation projects, research and planning, enforcement, and citizen involvement and education projects. The Administrator may give priority to a distressed community as defined in 33 USC 1269(e)(1). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and other public or nonprofit private agencies, institutions, and organizations are eligible. Private profit-making entities, and individuals, are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits state, interstate, and regional water pollution control agencies and other public or nonprofit private agencies, institutions, and organizations. The general public and Long Island Sound user groups such as swimmers, beach goers, sport and commercial fishermen, boaters, and shellfishes, benefit from the results of the program through cleaner water, restored and protected habitat, and preserved and enhanced ecosystems.

Credentials/Documentation:

Nonprofit private agencies, institutions and organizations may be required to provide documentation of their organizational status upon application. This

program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The EPA Long Island Sound Office (LISO) issues annual guidance on the form and content of pre-proposals. All pre-proposals or work statements should be consistent with LISO's guidance. Consultation or technical assistance may be available upon request from the LISO to aid applicants in preparing their pre-proposal. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An environmental impact statement or environmental impact assessment is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The LISS management conference annually releases a request for pre-proposals in the Fall through the LISO. Pre-proposals are due to the LISO generally by early January or as specified in guidance. The LISS management committee reviews pre-proposals in late January to identify areas of concern and to request additional information or clarification on proposals through the LISO. The LISO coordinates review of pre-proposals among the several LISS technical work groups and teams to ensure consistency with program goals and objectives as outlined in the CCMP and other priorities as directed by the management committee. If necessary, applicants are contacted by the LISO to provide the additional information or clarification. The LISO coordinates review of the revised proposals and develops funding recommendations for the management committee's consideration in April. The management committee makes final recommendations on funding pre-proposals at that time, or as otherwise specified. Applicants are advised of the status of their request by the LISO within 30 days of the management committee's final decisions. Successful applicants are required to submit formal grant applications using the standard federal grant application forms. The deadline for submitting applications may vary by EPA Region, but is generally July 1. Applications not received by the deadline are not further considered for funding. Unallocated funds are carried over or otherwise used to further the purposes of this program at the discretion of the management committee. Federal grant application forms may be obtained on the EPA website, <http://www.epa.gov/ogd/AppKit/index.htm> or requested from either the EPA Region I or II grants management office as follows: for Connecticut, EPA Region I, Grants Management Office, One Congress Street, Suite 1100, Boston, MA 02111; for New York, EPA Region II, Grants and Contracts Management Branch, 290 Broadway, New York, NY 10007-1866. The standard federal grant application forms as required by OMB Circular No. A-102 must be used for this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The completed grant application should be submitted to the appropriate EPA Regional grants management office, with a copy to the LISO to assist with processing.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by the LISO to determine the adequacy of the application under LISS priorities and objectives, including technical merit and relevance of the project to the implementation of the CCMP and for consistency with the pre-application proposal. Each federal assistance award application will also be subject to an administrative review by the EPA Region I or II grants management office as appropriate. Funds are awarded by the EPA Region I or II Administrator as appropriate. Grantees will receive a final assistance award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the GMO as specified in the EPA award document. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline specified in the assistance award document may be subject to

cancellation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification of action on pre-proposals is provided approximately 120 days after deadline for pre-proposal submission. Final grantees are notified of approval approximately 90 days after the submission of the application for federal assistance.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula (33 USC 1269(d)(3)). Federal costs for citizen involvement and education grants shall not exceed 95 percent of the costs of such work; for all other grants and cooperative agreements, federal costs shall not exceed 50 percent of the research, studies, or work. EPA has determined that annual matching requirements for LISS grant awards shall be met in the aggregate for all funds awarded as specified in the approved annual work plan.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a one-year period corresponding to the federal fiscal year, October-September. Awards may be made for longer periods upon justification and with the approval of EPA. Awards may be made by advance or reimbursement at the discretion of EPA. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements require semi-annual and final progress reports, and expenditure/financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to

personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$3,370,258; FY 10 est \$6,930,000; FY 11 est \$2,533,000

Range and Average of Financial Assistance:

\$20,000 to \$500,000/fiscal year; \$50,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Long Island Sound Office (LISO) holds competitions throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the announcement issued. The announcement includes the number of anticipated awards. In FY 09, 10 awards were made totaling \$3,370,258. In FY 09, the LIS program reduced the areal extent of the hypoxic event in Long Island Sound from a pre-TMDL 13-year average of 208 square miles to a post-TMDL 10 year average of 187 square miles; restored or protected 1,199 acres of coastal habitat; and reopened 147 river miles to anadromous fish passage. Fiscal Year 2010: The Long Island Sound Office (LISO) holds competitions throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the announcement issued. The announcement includes the number of anticipated awards. FY 10 data is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

LISS grants and cooperative agreements are subject to EPA's General Grant Regulations, 40 CFR Part 35, 40 CFR Part 30, or 40 CFR Part 31; annual EPA Office of Water National Estuaries Program guidance; the LISS CCMP, and Long Island Sound 2003 or successor Agreement.

Regional or Local Office:

See Regional Agency Offices. USEPA Long Island Sound Office, Government Center, 888 Washington Boulevard, Suite 9-11, Stamford, Connecticut 06904; Telephone, (203) 977-1541; FAX, (203) 977-1546. Attention: Mark Tedesco. E-mail: tedesco.mark@epa.gov.

Headquarters Office:

Darrell Brown, EPA, Office of Water, Office of Wetlands, Oceans and Watersheds Oceans and Coastal Protection Division; EPA West, 1301 Pennsylvania Avenue, N.W., Washington, District of Columbia 20004 Email: brown.darrell@epa.gov Phone: (202) 566-1256.

Website Address:

<http://www.epa.gov/region01/eco/lis>

RELATED PROGRAMS:

10.904 Watershed Protection and Flood Prevention; 10.914 Wildlife Habitat Incentive Program; 11.419 Coastal Zone Management Administration Awards; 11.420 Coastal Zone Management Estuarine Research Reserves; 15.608 Fish and Wildlife Management Assistance; 15.611 Wildlife Restoration; 15.614 Coastal Wetlands Planning, Protection and Restoration Act; 15.615 Cooperative Endangered Species Conservation Fund; 15.616 Clean Vessel Act; 15.630 Coastal Program; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.460 Nonpoint Source Implementation Grants; 66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants and cooperative agreements awarded to states and local governments, colleges, universities, interstate agencies and nonprofit organizations are for nonpoint source implementation, scientific research, water quality monitoring and modeling, public education and outreach, living resources management, habitat restoration and program support. Examples are listed as follows: (1) Hypoxia: sustain and accelerate efforts to meet the LISS nutrient reduction goals in the nitrogen Total Maximum Daily Load to attain water quality conditions necessary to support the living resources of the Sound; (2) Habitat Restoration: restore and protect living resources, their habitats and

ecological relationships; (3) Water Quality Monitoring: collect water quality samples at monitoring stations in Long Island Sound and interpret data on Long Island Sound and embayments. (4) Communication/Outreach: Provide communication, outreach and education components of the LISS partnership; (5) Modeling: develop, calibrate, and manage the application of linked airshed-watershed-estuarine hydrodynamic-water quality-living resources models to support the LISS for understanding the nutrient and sediment affects in the Long Island Sound estuary system and (6) Research: investigate causes and effects of eutrophication on water quality and living marine resources; study factors affecting abundance and distribution of submerged aquatic vegetation, food web dynamics, and tidal wetland loss. Fiscal Year 2010: Grants and cooperative agreements awarded to states and local governments, colleges, universities, interstate agencies and nonprofit organizations are for nonpoint source implementation, scientific research, water quality monitoring and modeling, public education and outreach, living resources management, habitat restoration and program support. Examples are listed as follows: (1) Hypoxia: sustain and accelerate efforts to meet the LISS nutrient reduction goals in the nitrogen Total Maximum Daily Load to attain water quality conditions necessary to support the living resources of the Sound; (2) Habitat Restoration: restore and protect living resources, their habitats and ecological relationships; (3) Water Quality Monitoring: collect water quality samples at monitoring stations in Long Island Sound and interpret data on Long Island Sound and embayments. (4) Communication/Outreach: Provide communication, outreach and education components of the LISS partnership; (5) Modeling: develop, calibrate, and manage the application of linked airshed-watershed-estuarine hydrodynamic-water quality-living resources models to support the LISS for understanding the nutrient and sediment affects in the Long Island Sound estuary system and (6) Research: investigate causes and effects of eutrophication on water quality and living marine resources; study factors affecting abundance and distribution of submerged aquatic vegetation, food web dynamics, and tidal wetland loss. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.439 TARGETED WATERSHEDS GRANTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Consolidated Appropriations Act 2005, Public Law 108-447; Dept. of Interior, Environmental and Related Agencies Appropriations Act, 2006, Public Law 109-54; Continuing Appropriations Resolution 2007, Public Law 110-5.

OBJECTIVES:

To support innovative, community-based watershed approaches aimed at preventing, reducing, or eliminating water pollution. The Targeted Watersheds Grant Program provides resources in the form of grants or cooperative agreements to support watershed organizations in their efforts to expand and improve existing water protection measures. In separate competitive announcements funds are awarded to assist watershed partnerships comprised of State, Tribal, local, and interstate agencies, and public or nonprofit organizations in developing, implementing, and demonstrating: 1) on-the-ground projects to improve or maintain water quality; and 2) organizational and technical capacity building projects to prime organizations to be in a position to implement on-the-ground watershed projects. Funding Priority - Fiscal Year 2010: To build upon the existing activities of watershed organizations to support innovative, wide-reaching plans to restore, preserve, or protect the nation's urban waters through active community engagement.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are used to support both on-the-ground and educational activities relating to the prevention, reduction, and elimination of water pollution. Funds are awarded to eligible entities that best meet the selection criteria described in the Request for Proposals. Successful candidates will have a thorough knowledge of their watershed, have a watershed management plan in place, propose a

specific project to address identified problems or barriers to water quality, demonstrate broad-based support from a number of public and private entities, and have a demonstrated record of managing watershed project(s). Eligible activities should be able to show tangible outputs and outcomes within a relatively short time period of two to five years. For implementation grants, candidates must also have a specific water quality monitoring and evaluation plan demonstrating measurable environmental outputs and outcomes, and a strong peer and/or public outreach and education component. EPA has chosen to declare certain projects or activities ineligible for funding. These include activities required or regulated under the CWA. For example, activities for the development of Total Maximum Daily Loads (TMDLs) and Phase II Stormwater projects will not be funded. Activities implementing the non-regulatory component of TMDLs (e.g., the elements of a watershed plan that address non-point source pollution), however, are eligible. Specific uses and restrictions on funds will be described in detail in the competitive announcements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, local governments, public and private nonprofit institutions/organizations, federally recognized Indian tribal governments, U.S. territories or possessions, and interstate agencies are eligible. For-profit commercial entities and all federal agencies are ineligible. Nonprofit organizations described in Section 501 (c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be required to submit a formal grant application. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-110 and A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mail Code 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the

Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regional or Headquarters review will typically be completed within approximately 120 - 150 days following the deadline for submissions.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. Applicants are required to demonstrate a minimum non-federal match of 25 percent of the total cost of the project or projects. Match may be cash or in-kind consistent with the regulation governing match requirements (40 CFR 31.24 or 40 CFR 30.23). Tribes and tribal watershed groups may be exempt from this match requirement if they are constrained to such an extent that fulfilling the match requirement would impose undue economic hardship. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result

of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$3,700,000; FY 10 est \$600,000; FY 11 est \$0 - National Targeted Watersheds Grants Program: FY 09 \$0; FY 10 est. \$0; and FY 11 est. \$0. Water Quality Trading: FY 09 \$3,700,000; FY 10 est. \$0; and FY 11 est. \$0. Urban Waters Initiative: FY 10 est. \$600,000; and FY 11 est. \$0.

Range and Average of Financial Assistance:

Water Quality Trading: \$100,000 to \$200,000/fiscal year; \$130,000 (Priority I); \$400,000 to \$1,000,000/fiscal year; \$600,000 (Priority II);

Urban Waters Initiative: \$600,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Targeted Watersheds Grant program builds on the successes of existing partnerships that are ready to implement actions to protect critical watersheds. In the next few years, EPA will see environmental results such as the return of native fish species, clearer lakes, and increased recreational opportunities. In FY 09, 12 trading projects were funded for a total award amount of \$3,691,538. The trading projects are located within the six Mississippi River Sub-basins to support market-based water quality programs that will potentially reduce the size and duration of the hypoxic zone in the Northern Gulf of Mexico. Fiscal Year 2010: EPA anticipates issuing a competitive announcement in FY 10 to support innovative, wide-reaching plans to restore, preserve, or protect the nation's urban waters through active community engagement. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and agreements with States, local governments and Indian tribal governments, the procedures and requirements should be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments", 40 CFR Part 35, "Environmental Program Grants-State, Interstate, and Local Governments Agencies" and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102, "Grants and Cooperative Agreements for States and Local Governments." For grants and agreements with other entities the procedures should be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed below. Region I: Connecticut, Maine, Massachusetts, Rhode Island, Vermont, New Hampshire; Contact: Rob Adler telephone 617-918-1396; e-mail adler.robert@epa.gov. Region II: New Jersey, New York, Puerto Rico, U.S. Virgin Islands; Contact: Cyndy Kopitsky, telephone 212-637-3832; e-mail kopitsky.cyndy@epa.gov. Region III: Delaware, Maryland, Pennsylvania, Virginia, West Virginia, Washington, DC; Contact: Contact: Bob Runowski, telephone 215-814-5385, email: runowski.bob@epa.gov or Ralph Spagnolo, telephone 215-814-2718; e-mail spagnolo.ralph@epa.gov. Region IV: Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina, Kentucky, Tennessee; Contact: Christine McKay, telephone 404-562-9412; e-mail mckay.christine@epa.gov. Region V: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin; Contact: Paul Thomas, telephone 312-886-7742; e-mail thomas.paul@epa.gov. Region VI: Louisiana, Texas, Oklahoma, Arkansas, New Mexico; Contact: Brad Lamb, telephone 214-665-6683; e-mail lamb.brad@epa.gov. Region VII: Iowa, Kansas, Missouri, Nebraska; Contact: Mandy Whitsitt, telephone 913-551-7311; e-mail whitsitt.mandy@epa.gov. Region VIII: Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming; Contact: Stacey Eriksen, telephone 303-312-6692, e-mail eriksen.stacey@epa.gov. Region IX: Arizona, California, Hawaii, Nevada, American Samoa, Mariana Islands, Guam; Contact: Ephraim Leon-Guererro, telephone 415-972-3444; e-mail leon-guererro.ephraim@epa.gov. Region X: Alaska, Idaho, Oregon, Washington; Contact: Bevin Horn, telephone 206-553-1566; e-mail horn.bevin@epa.gov.

Headquarters Office:

Erin Collard, Office of Wetlands, Oceans, and Watersheds, EPA, Room 7136G, EPA West, 1200 Pennsylvania Avenue N.W., Washington, District of Columbia 20460 Email: Collard.Erin@epa.gov Phone: (202) 566-2655.

Website Address:

<http://www.epa.gov/twg>

RELATED PROGRAMS:

66.119 West Coast Estuaries Initiative; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants and cooperative agreements have been awarded to watershed organizations for watershed restoration and protection projects, such as implementing agricultural best practices, conducting streambank restoration, establishing pollutant credits, and demonstrating innovative nutrient management schemes. All proposals that have been funded are available at <http://www.epa.gov/twg>. Fiscal Year 2010: Grants and cooperative agreements have been awarded to watershed organizations for watershed restoration and protection projects, such as implementing agricultural best practices, conducting streambank restoration, establishing pollutant credits, and demonstrating innovative nutrient management schemes. All proposals that have been funded are available at <http://www.epa.gov/twg>. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.454 WATER QUALITY MANAGEMENT PLANNING

(205(j)(2))

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Water Quality Act of 1987, Public Law 100-4; Clean Water Act, Section 205(j)&604(b), Public Law 97-117.

OBJECTIVES:

To assist States (including territories and the District of Columbia), Regional Public Comprehensive Planning Organizations (RPCPOs), and Interstate Organizations (IOs) in carrying out water quality management (WQM) planning. Funding Priority - Fiscal Year 2010: Funds are allotted by State in accordance with Section 604(b) of the Clean Water Act. Grant funds are used to determine the nature and extent of point and non-point source water pollution and to develop water quality management plans. States are encouraged to give priority to watershed restoration planning. EPA is requesting States to emphasize a watershed approach in developing their State workplans. This will complement the Agency's overall watershed effort as stated in the Agency's Strategic Plan. Grant funds to determine the nature and extent of point and non-point source water pollution and to develop water quality management plans were also made available under the American Recovery and Reinvestment Act (Recovery Act) of 2009. EPA encouraged States to use Recovery Act funds to conduct appropriate planning activities with regard to green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Section 604(b) funds are awarded under Section 205(j)(2) to State water quality management agencies to carry out WQM planning. Pursuant to Section 205(j)(3) of the CWA, as amended, States are required to allocate at least 40 percent of funds awarded under 205(j)(2) to eligible RPCPOs and IOs. EPA may approve a State's request to pass through less than 40 percent if, after consultation with its RPCPOs and IOs, the Governor determines that pass through of at least 40 percent will not: (1) result in significant participation by RPCPOs and IOs in WQM planning; and (2) significantly assist in development and implementation of the state's WQM plan. Assistance agreement awards

under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State Water Quality Management Agencies.

Beneficiary Eligibility:

State Water Quality Management Agencies.

Credentials/Documentation:

Compliance with 40 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Neither environmental impact statements nor environmental impact assessments are required for activities funded under Section 205(j) and 604(b) since these funds are used for water quality planning and not implementation. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The grant application must be submitted to the appropriate EPA Regional Administrator's Office. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the funds are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act. Each fiscal year, the Administrator shall reserve under Section 604(b) of the CWA for each State an amount not to exceed one percent of the amount allotted and available for obligation for construction grants or \$100,000, whichever is greater, for the purposes of making grants to the States to carry out water quality management planning.

Under Section 205(j)(3), at least 40 percent of a State's annual award under 205(j)(2) must be allocated to eligible RPCPOs and IOs, unless EPA approves a lesser amount. The program has no matching requirements. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

As determined in accordance with Section 604(c)(1) of the Clean Water Act (CWA). Sums allotted to a State under this section for a fiscal year shall be available for EPA's obligation to the State during the fiscal year for which sums are authorized and during the following fiscal year. Recovery Act funds are available for EPA's obligation to the State until September 30, 2010. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports will include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records kept for 3 years from date of submission of the annual financial status report. If questions remain, records retained until matter is completely resolved.

Account Identification:

68-0102-0-1-304; 68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$48,310,559; FY 10 est \$20,682,000; FY 11 est \$20,682,000 - FY 09 \$8,618,559; FY 10 est. \$20,682,000; and FY 11 est. \$20,682,000. Recovery Act funds - FY 09 \$39,692,000; FY 10 est. \$0; and FY 11 est. \$0.

Range and Average of Financial Assistance:

\$100,000 to \$2,200,000/fiscal year; \$369,321/fiscal year. Recovery Act funds - \$100,000 to \$4,369,100/fiscal year; \$708,786/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Section 604(b) funding provides the financial source for States and territories to pursue water quality planning under Sections 205(j) and 303(e) of the Clean Water Act. This program provides a planning framework to support watershed restoration and protection activities. In FY 09, a total of 112 grants (56 from the base program and 56 from the Recovery Act allocation) were awarded to States and territories to support water quality planning activities. Outputs included new/updated water quality management plans, new/revised water quality standards, Total Maximum Daily Loads (TMDLs), ambient monitoring data to support water quality assessments, and integrated 304(b)/303(d) reports. Fiscal Year 2010: In FY 10, it is anticipated that 56 State and territorial grants will be awarded to support water quality planning

activities. Outputs will include new/updated water quality management plans, new/revised water quality standards, TMDLs, ambient monitoring data to support water quality assessments, and integrated 304(b)/303(d) reports. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA General Grant Regulations and Procedures, 40 CFR Part 31 and 40 CFR Part 35, Subpart A.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Santina Wortman, Assessment and Watershed Protection Division (4503T), Office of Water, USEPA
1200 Pennsylvania Avenue, NW., Washington, District of Columbia 20460
Email: wortman.santina@epa.gov Phone: (202) 566-2537.

Website Address:

<http://www.epa.gov/owow/funding.html>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants are allocated to States for: 1) development of water quality management plans, watershed plans, and waste load allocations for impaired waterbodies; 2) reviews and revisions of water quality standards; and 3) collection and analysis of ambient monitoring data. Recovery Act funded activities also included green infrastructure planning and integrated water resources planning. Fiscal Year 2010: Grants are allocated to States for: 1) development of water quality management plans, watershed plans, and waste load allocations for impaired waterbodies; 2) reviews and revisions of water quality standards; and 3) collection and analysis of ambient monitoring data. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funds are awarded to State agencies in accordance with Section 205(j) of the Clean Water Act and EPA program guidance.

66.456 NATIONAL ESTUARY PROGRAM

(NEP)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 320, Public Law 94-117, 33 U.S.C 466.

OBJECTIVES:

The National Estuary Program (NEP) goal is to protect and restore the water quality and estuarine resources of estuaries and associated watersheds designated by the EPA Administrator as estuaries of national significance. The NEPs work to implement estuarine ecosystem-based management; for example, each NEP characterizes the priority problems in its estuary and surrounding watershed, develops a Comprehensive Conservation and Management Plan listing and describing actions to address those problems, and identifies partners, including lead entities, to implement the actions. Actions include: protecting and restoring habitat, including wetlands; supporting Total Maximum Daily Load (TMDL) plan implementation; monitoring for and assessing the extent of toxics and pathogen contamination; implementing stormwater management and reducing non-point source pollution impacts; preventing the spread of aquatic invasive species and/or managing their impacts; developing and implementing nutrient reduction strategies; developing and implementing climate change adaptation strategies and tools; and developing and implementing strategies to provide opportunities for residents of urban minority and/or under-served communities to have greater access to urban waters, participate in urban ecosystem restoration, and participate in capacity-building/educational activities. Funding Priority - Fiscal Year 2010: EPA's funding priority is to award assistance agreements that support the 28 National Estuary Programs in implementing their Comprehensive Conservation and Management Plans (CCMPs) to protect and restore water quality and estuarine resources. EPA will provide focused support for priority problems identified by NEP management

conferences, including: problems of nutrient over-enrichment and hypoxic conditions; habitat loss/degradation; stormwater, climate change, sedimentation, and aquatic invasive species impacts; toxics and pathogen impacts; need for outreach and education about priority problems facing the 28 estuaries and their associated watersheds; and the need for increased sustainable and green infrastructure best management practices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Section 320 authorizes issuance of assistance agreements used to meet Section 320 requirements to develop and implement CCMPs. Annual workplans, which address priority actions in the CCMPs, lay out projects to be undertaken during the fiscal year. They are developed and approved by each management conference. Twenty-eight estuaries have been designated as estuaries of national significance, including: Long Island Sound, Puget Sound, Buzzards Bay, Narragansett Bay, New York-New Jersey Harbor, Delaware Bay, Delaware Inland Bays, Sarasota Bay, Galveston Bay, Santa Monica Bay, Albemarle-Pamlico Sounds, San Francisco Estuary, Casco Bay, Massachusetts Bays, Indian River Lagoon, Tampa Bay, Peconic Bay, San Juan Bay, Coastal Bend Bays, Tillamook Bay, the Barataria-Terrebonne Estuarine Complex, New Hampshire Estuaries, Barnegat Bay, Maryland Coastal Bays, Charlotte Harbor, Mobile Bay, Morro Bay, and Columbia River Estuary. NEPs and their partners carry out such actions as: (1) protecting and restoring habitat; (2) identifying research needs and conducting research as needed to obtain new data to address priority problems; (3) monitoring estuarine conditions to detect changes in water quality; and (4) providing technical assistance to States and local communities to address impacts from nutrient over-enrichment, stormwater, sedimentation, toxics, pathogens, and aquatic invasive species and to develop climate change adaptation strategies and tools. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance agreements are issued only to those estuaries designated by the Administrator. The Administrator is authorized to make grants to State, interstate, and regional water pollution control agencies and entities; State coastal zone management agencies; interstate agencies; and other public and private nonprofit agencies, institutions, organizations, and individuals (Section 320(g)(1)). Profit making organizations are not eligible for grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Anyone/General Public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication assistance may be obtained from the appropriate EPA Regional Office. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. All work plans should be developed in response to the priority tasks identified in the management

conference annual work plan. Work plans should also be developed in response to negotiated schedules for commitments to meet the purpose of a management conference outlined in the Act for the estuary. Each work plan will be considered by the appropriate management conference and the EPA Regional program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The completed application, including the final proposal approved by the estuary management committee, should be submitted to the appropriate Regional program office for processing. This program is subject to the provisions of OMB circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application is reviewed by EPA Regional Offices to determine the adequacy of the application under grant regulations and National Estuary Program objectives, including technical merit and relevance of the project. Awards are issued by the EPA Regional Offices after approval by the appropriate Division Director. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of the individual estuary management organization. Contact the appropriate EPA Regional office for guidance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Public Law 106-457, which amended Section 320(g) of the Water Quality Act of 1987, limits the amount of grants to 75 percent of the aggregate CCMP development project costs for each estuary program and to 50 percent of the aggregate CCMP implementation project costs for each estuary program, and requires that the nonfederal share be provided from nonfederal sources. The nonfederal share can be contributed by any of the participants in the management conference. Contact the appropriate management conference program office or EPA Regional Office for more information.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are typically funded on a 12-month basis (yearly).

Project period may cover up to 36 months, with continuations possible for certain types of projects. Payments will be on a letter of credit or advance basis. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$17,524,300; FY 10 est \$22,377,000; FY 11 est \$17,117,000

Range and Average of Financial Assistance:

For FY 09, each of the NEPs received base funding in the amount of \$600,000. For FY 10, each of the NEPs received base funding in the amount of \$799,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Maintaining high-quality habitat is one way of ensuring the health of the nation's estuaries. Since 2000, the NEPs and their partners have protected and restored over 1,100,000 acres of habitat within the 28 NEP estuarine watersheds. The acreage protected and restored by the NEPs and their partners enhances the 28 NEP estuaries' capacity to support healthy populations of wildlife and marine organisms, including many commercially-valuable fisheries. That acreage also enhances the 28 estuarine watersheds' capacity to support the economic, environmental, and aesthetic functions on which coastal populations depend for their livelihood. In FY 09, the NEPs and their partners protected and restored over 125,000 acres of estuarine habitat within their estuarine watersheds. Fiscal Year 2010: In FY 10, grants will continue to support CCMP implementation, providing support for NEP efforts targeting priority problems such as nutrient over-enrichment; habitat loss/degradation; stormwater, climate change, sedimentation, and aquatic invasive species impacts; and need for sustainable and green infrastructure. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR 30 and 40 CFR 31). Federal Register, Vol. 59, 61124, November 29, 1994, Technical Amendment Financial Assistance Requirements for the National Estuary Program (40 CFR Part 35). Saving Bays and Estuaries, a Primer for Establishing and Managing Estuary Projects, EPA/503/8-89-001, is available from the Oceans and Coastal Protection Division, Office of Wetlands, Oceans, and Watersheds (4504T) EPA, 1200 Pennsylvania Ave., NW., Washington, DC 20460. Forms and

instructions for filing are included in an application kit available from the Grants and Interagency Agreements Management Division(3903R), Office of Grants and Debarment, EPA, 1200 Pennsylvania Ave., N.W., Washington, DC. 20460.

Regional or Local Office:

See Regional Agency Offices. Office of Ecosystem Protection, EPA, Region I, 1 Congress Street, Boston, MA 02114-2023; Telephone:(617) 918-1511. Division of Environmental Planning and Protection, EPA, Region II, 290 Broadway, New York, NY 10007-1866; Telephone:(212) 637-3724. Water Protection Division, EPA, Region III, 1650 Arch Street, Philadelphia, PA 191103-2029; Telephone:(215)814-2989. Water Management Division, EPA, Region IV, 61 Forsyth St., S.W., Atlanta, GA 30303; Telephone:(404) 562-9345. Water Quality Protection Division, EPA, Region VI, 1445 Ross Ave., Dallas, TX 75202-2733; Telephone:(214) 665-7101. Water Division, EPA, Region IX,75 Hawthorne Street, San Francisco, CA 94105; Telephone: (415)744-1860. Office of Ecosystems, Tribal, and Public Affairs; and Office of Water & Watersheds, EPA Region X, 1200 Sixth Ave., Seattle, WA 98101; Telephone:(206) 553-4181.

Headquarters Office:

Bernice L. Smith, Coastal Management Branch (4504T), Oceans and Coastal Protection Division, Office of Wetlands, Oceans, and Watersheds, EPA, Washington, District of Columbia 20460 Email: smith.bernicel@epa.gov Phone: (202) 566-1244.

Website Address:

<http://www.epa.gov/owow/estuaries/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.461 Regional Wetland Program Development Grants; 66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Assistance agreements awarded to States, interstate agencies, academic institutions and other nonprofit organizations to: (1) conduct water quality monitoring and assessment, (2) protect and restore habitat, (3) develop and implement climate change adaptation strategies, (4) conduct applied research on problems like habitat loss and seasonal algal blooms, (5) implement public education and outreach programs, (6) revise CCMPs to reflect new priorities, (7) develop annual action plans, design and maintain CCMP implementation tracking and reporting systems, and (8) evaluate and report on CCMP implementation progress and environmental results. Fiscal Year 2010: Assistance agreements awarded to States, interstate agencies, academic institutions and other nonprofit organizations to: (1) conduct water quality monitoring and assessment, (2) protect and restore habitat, (3) develop and implement climate change adaptation strategies, (4) conduct applied research on problems like habitat loss and seasonal algal blooms, (5) implement public education and outreach programs, (6) revise CCMPs to reflect new priorities, (7) develop annual action plans, design and maintain CCMP implementation tracking and reporting systems, and (8) evaluate and report on CCMP implementation progress and environmental results. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Grants are awarded to the NEPs that satisfy the requirements outlined in the application procedure section.

66.458 CAPITALIZATION GRANTS FOR CLEAN WATER STATE REVOLVING FUNDS

(CW State Revolving Fund)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Water Quality Act of 1987, Section 601-607,205(m), Public Law 100-4; American Recovery and Reinvestment Act of 2009, Public Law 111-5; Clean Water Act (CWA), as amended, Public Law 95-217.

OBJECTIVES:

To create State Revolving Funds (SRFs) through a program of capitalization grants to States which will provide a long term source of State financing for construction of wastewater treatment facilities and implementation of other water quality management activities. Funding Priority - Fiscal Year 2010: Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary comprehensive conservation and management plan under Section 320. The capitalization grant is deposited in the State's SRF, which is used to provide loans and other types of financial assistance, to local communities and intermunicipal and interstate agencies. Up to 4 percent of the grant amounts may be used by the States for the cost of administering the SRF. States determine priorities for funding within their State in accordance with the CWA. Capitalization grants to each State were also made available under the American Recovery and Reinvestment Act (Recovery Act) of 2009. A portion of the Recovery Act funding were targeted toward green infrastructure, water and energy efficiency, and environmentally innovative projects. Similar to the Recovery Act, a portion of the FY 2010 funding must be targeted toward green infrastructure, water and energy efficiency, and environmentally innovative projects. The program supports the Agency's strategic goal of ensuring Clean and Safe Water.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Capitalization grants are available to each State for the purpose of establishing a Clean Water SRF for providing assistance for: (1) construction of publicly owned wastewater treatment works; (2) implementing nonpoint source management activities included in State Plans developed pursuant to Section 319; and (3) developing and implementing an estuary conservation and management plan under Section 320. The capitalization grant is deposited in the state's SRF, which is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Assistance may be provided to persons for implementing nonpoint source and estuary management activities provided for in State Plans developed under Sections 319 and 320. Up to 4 percent of the grant amounts may be used by the states for the cost of administering the SRF. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States and Puerto Rico are eligible to receive capitalization grants under Title VI. Indian tribes are eligible to receive grants from Title VI for the construction of municipal wastewater facilities. The District of Columbia, territories, possessions of the U.S. are eligible to receive grants from Title VI for the construction of municipal wastewater facilities (see CFDA 66.418).

Beneficiary Eligibility:

For loans and other financial assistance (but not grants) for wastewater treatment facilities: local communities, intermunicipal, State, interstate agencies, and Indian tribes. For nonpoint source management programs and estuary activities in approved State Nonpoint Source Management Programs and Comprehensive Conservation and Management Plans: the public agencies listed above, individuals, and programs.

Credentials/Documentation:

To receive a capitalization grant, a State shall enter into an agreement with the

EPA Regional Administrator which shall include, but not be limited to, the 10 specifications set forth in Section 602(b) of the CWA. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to State grantees. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment a plan identifying the intended uses of the funds in the SRF (an intended use plan) and how those uses support the goals of the SRF. The plan is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application for EPA non-construction grant assistance (SF424-A and B) is submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The State must certify in its application that it has the legal, managerial, technical, and operational capabilities to administer the SRF program competently and that it will comply with Federal anti-discrimination laws and other applicable Federal statutes. Regulation 40 CFR Part 31 applies to the State. Establishment of the SRF is a prerequisite for a grant award.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed or an operating agreement approved by the region.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act.

Matching Requirements: The Regional Administrator may award capitalization grants from funds appropriated for this purpose. Allotments to the States are determined by a table of decimal fractions ("formula") in Section 205(c)(3) of the CWA. The required State match is 20 percent of the amount of each Federal grant payment. For Recovery Act funds, the required State match of 20 percent of the amount of each Federal grant payment is waived. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. Recovery Act funds are available for EPA's obligation to the State until September 30, 2010. The State must agree to enter into binding commitments with recipients to provide financial assistance from the SRF in an amount equal to 120 percent of each quarterly grant payment. The State is also required to agree to expend all funds in the SRF in an expeditious and timely manner. See the following for information on how assistance is awarded/released: Other. The method of fund

disbursement will be determined at the time of award.

Reports:

Beginning the first fiscal year after receiving payments, the State shall provide an annual report to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the fiscal year in which the payments were received). The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year, as identified in its intended use plan, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the SRF. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports will include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. A State must, at a minimum, comply with the provisions of the Single Audit Act Amendments of 1996, and the OMB Circular No. A-133 and Compliance Supplement. States are also encouraged to conduct annual independent audits. State-conducted audits may be performed in conjunction with the Single Audit Act. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

As part of the annual review conducted by the agency to assess the State's performance against activities identified in the intended use plan and annual report, and to determine compliance with the terms of the capitalization grant agreement, the State or loan recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

Account Identification:

68-0103-0-1-304; 68-0102-0-1-304.

Obligations:

(Formula Grants) FY 09 \$4,664,080,000; FY 10 est \$2,100,000,000; FY 11 est \$2,000,000,000 - FY 09 \$689,080,000; FY 10 est. \$2,100,000,000; and FY 11 est. \$2,000,000,000. Recovery Act funds - FY 09 \$3,975,000,000; FY 10 est. \$0; and FY 11 est. \$0.

Range and Average of Financial Assistance:

\$6,500,000 to \$147,000,000/fiscal year; \$26,000,000;/fiscal year. Recovery Act funds - \$500,000 to \$175,000,000/fiscal year; \$28,000,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Each year, 51 grants are awarded to states and Puerto Rico. The program funds an estimated 10 to 20 percent of the nation's annual wastewater capital projects. The program has provided over \$74 billion in cumulative assistance since 1988. Assistance has included funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and improve water quality on a watershed basis. In FY 09, the program provided \$5.6 billion in assistance. This included \$1.9 billion for secondary treatment to reduce pollutant loads, \$1.3 billion to upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment, and over \$2 million to prevent polluted runoff. In FY 09, 51 awards were made with Recovery Act funds for a total of \$3.975 billion. Fiscal Year 2010: Each year, 51 grants are awarded to states and Puerto Rico. Assistance in FY 10 will include funding to prevent polluted runoff, upgrade wastewater treatment plants from secondary level treatment to advanced (tertiary) treatment to reduce pollutant loads, and

improve water quality on a watershed basis. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program guidance was issued January 28, 1988. A State Revolving Fund Management Manual was distributed in November, 1988. Regulations include 40 CFR Part 31 and SRF regulations 40 CFR Part 35, Subpart K which were issued in March 1990.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Sheila Platt, Environmental Protection Agency, State Revolving Fund Branch, Municipal Support Division, (4204M), Office of Wastewater Management, Washington, District of Columbia 20460 Email: platt.sheila@epa.gov Phone: (202) 564-0686.

Website Address:

<http://www.epa.gov/owm/cwfinance/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff). Fiscal Year 2010: State revolving loan fund; wastewater projects involving advanced treatment, secondary treatment, infiltration/inflow correction, replacement/upgrade of pipe and equipment and expansion of existing wastewater treatment plants; nonpoint source and estuary protection projects (for example, septic system rehabilitation, leaking underground storage tank removal, contaminated soil removal, agricultural BMPs, conservation easements, wetlands protection/rehabilitation, and prevention of agricultural runoff). Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.460 NONPOINT SOURCE IMPLEMENTATION GRANTS

(319 Program)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Title 3, Section 319(h).

OBJECTIVES:

To assist States, the District of Colombia, American Samoa, Guam, Northern Marianas, Puerto Rico, Pacific Trust Territories, Virgin Islands (hereinafter referred to as States), and qualified Indian Tribes and intertribal consortia in implementing EPA-approved Section 319 nonpoint source management programs. Funding Priority - Fiscal Year 2010: EPA's funding priority is to award grants that promote the development and implementation of watershed-based plans, focusing on watersheds with water quality impairments caused by nonpoint sources, which result in improved water quality in impaired waters. These watershed plans are a mechanism to coordinate monitoring and planning on a watershed basis and will build a foundation for effective implementation actions using federal and other funding. Nonpoint Source implementation projects include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, a variety of other structural and non-structural practices, watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are provided to eligible applicants to carry out nonpoint source projects and programs pursuant to Section 319 of the Clean Water Act as amended by the Water Quality Act of 1987. Requirements under Section 319(h) include: nonfederal matching funds of at least 40 percent of project cost (match is an overall grant requirement; in a few cases, the match requirement for an individual project may be waived. In-kind services may be used as match); maintenance of effort; grants may be used for financial assistance to individual persons in the case of demonstration projects only; annual reporting; and EPA determinations of adequate recipient progress before additional funding. Currently applicable EPA guidelines issued on October 23, 2003, identify the basic process and restrictions for funding. These guidelines are available on the Web at:

<http://www.epa.gov/fedrgstr/EPA-WATER/2003/October/Day-23/w26755.htm>. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible entities include States and qualified Indian Tribes and intertribal consortia who have approved nonpoint source assessment and management plans. To be qualified, Tribes must have treatment as a state (TAS) status for the 319 Program. Grants to States are awarded by formula. The State allotment is awarded to a single agency in each State designated by the chief executive as the lead nonpoint source agency. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with its work program, which is approved by EPA. Eligible Tribes are allocated a base allotment by formula; the remaining Tribal portion of the 319(h) appropriation is competed among qualified Indian Tribes and intertribal consortia. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments; interstate and intrastate agencies; federally recognized Indian tribal governments; intertribal consortia; the following US territory or possessions: the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Pacific Trust Territories, Virgin Islands; public and private nonprofit organizations and institutions. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with a work program which is approved by EPA.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments" apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate EPA Regional Office, listed in Appendix IV of the Catalog, is recommended. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official

designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant application by States must be submitted to the appropriate EPA Regional Office (refer to Appendix IV of the Catalog for a list of Regional Offices). Grant applications by subgrantees within a State (after EPA has made a grant award to the State) must be submitted to the State agency that administers Section 319 grants. The list of State nonpoint source contacts for subgrantees is available on the Web at <http://www.epa.gov/owow/nps/contacts.html>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications must be submitted by the State to the appropriate EPA Regional Office to determine the overall adequacy of the application to meet the statutory objectives. Funds are awarded to States by formula. Award procedure by States to subgrantees varies by State. The list of State nonpoint source contacts is available on the Web at <http://www.epa.gov/owow/nps/contacts.html>. Eligible Tribes get a base grant; Tribes compete for the rest of the Tribal portion of the total 319(h) appropriation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EPA generally awards grants to States within 60 days after the application is submitted.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Clean Water Act.

Matching Requirements: Nonfederal match of at least 40 percent of project or program costs is required except for grants to Indian Tribes, where following demonstration of financial hardship, the nonfederal match may be reduced to as low as 10 percent of project or program costs. States must also meet maintenance of effort requirements contained in statute. EPA awards grants to all eligible States and Tribes which apply for funding based on an allocation formula that the Agency developed. The allocation formula is described in current operational grant guidelines published in a Federal Register notice (68 FR 75776) issued on October 23, 2003. The guidelines can be found at <http://www.epa.gov/fedrgstr/EPA-WATER/2003/October/Day-23/w26755.htm>. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

As determined in accordance with Section 319 (h). See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports

are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be kept for three years from date of submission of the annual financial status report. If questions remain, records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$200,857,200; FY 10 est \$200,857,200; FY 11 est \$200,857,200

Range and Average of Financial Assistance:

States/Territories: \$521,100 to \$10,302,300; \$3,443,900. Indian Tribes: base grants \$30,000 to \$50,000; competitive grants up to \$150,000. Ranges vary year-to-year based on size of appropriation (and also varies depending on number of applicants for grants to Indian Tribes or intertribal consortia).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Grants are awarded annually to all States and selected Tribes. Grant funds were used by States and Tribes to implement portions of their NPS management programs. In FY 09, 56 grant awards were made to States and Territories. Additional information regarding selected projects is available in Section 319 Success Stories, available online at <http://www.epa.gov/owow/nps/Success319/>. An estimate of load reductions resulting from CWA Section 319(h) funded projects from the time period February 2009 - February 2010 is as follows: nitrogen - 9.1 million pounds; phosphorus - 3.5 million pounds; and sediment - 2.3 million tons. These load reduction totals are mostly from FY 09 grant funded projects. Fiscal Year 2010: Grants are awarded annually to all States and selected Tribes. Grant funds are used by States and Tribes to implement portions of their NPS management programs. Additional information regarding selected projects is available in Section 319 Success Stories, available online at <http://www.epa.gov/owow/nps/Success319/>. Data for FY 10 funded projects is not available at this time. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Current operational grant guidelines are Nonpoint Source Program and Grants Guidelines for States and Territories (Oct. 23, 2003). The guidelines can be found at <http://www.epa.gov/fedrgstr/EPA-WATER/2003/October/Day-23/w26755.htm>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog. A list of specific EPA Regional nonpoint source contacts is available on the Web at: <http://www.epa.gov/owow/nps/contacts.html>. The list of specific EPA Tribal nonpoint source contacts is available on the Web at: <http://www.epa.gov/owow/nps/tribal>.

Headquarters Office:

Nancy Arazan, Nonpoint Source Control Branch, Assessment and Watershed Protection Division, Office of Wetlands, Oceans and Watersheds (7313H),

EPA, Ariel Rios Building, 1200 Pennsylvania Avenue N.W., Washington, District of Columbia 20460 Email: arazan.nancy@epa.gov Phone: (202) 566-0815.

Website Address:

<http://www.epa.gov/owow/nps>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Nonpoint Source implementation projects funded include best management practice (BMP) installation for animal wastes, sediment, pesticide and fertilizer control, urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others. Fiscal Year 2010: Nonpoint Source implementation projects funded include BMP installation for animal wastes, sediment, pesticide and fertilizer control, urban runoff, and a variety of other structural and non-structural practices; watershed planning, monitoring, watershed coordinators, technology demonstration, and a variety of education/outreach programs, among others. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Funds are awarded to States in accordance with Section 319 (h). For selection processes within individual States, contact the appropriate State NPS coordinators. A list of these coordinators is available at: <http://www.epa.gov/owow/nps/contacts.html>.

66.461 REGIONAL WETLAND PROGRAM DEVELOPMENT GRANTS FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist State, Tribal, local government (S/T/LG) agencies, and interstate/intertribal entities in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2010: Under the Regional Wetland Program Development Grant (WPDG) competition, EPA has identified the following four Core Elements for improving State/Tribe/local governments' (S/T/LGs) ability to protect and restore their wetlands: (1) Monitoring and Assessment; (2) Voluntary Restoration and Protection; (3) Regulatory Approaches Including CWA 401 Certification; and (4) Wetland-Specific Water Quality Standards. EPA will include as an appendix to each Regional Request For Proposals (RFP) a list of actions and activities under each Core Element that will develop or refine a S/T/LG's wetlands program. Regions may add additional Region specific priorities that support the four core priority areas.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These grants are intended to encourage comprehensive wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Tribes, and local governments to effectively protect wetland and riparian resources. Projects funded under this program support the initial development of a wetlands protection, restoration, or management program or support enhancement/refinement of an existing program. Interstate agency and intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. The Regional Office will accept submissions only for projects that affect the States, Tribes, and Territories within their Region. Funds cannot be used for implementation of individual

mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Additional uses and restrictions on funds will be described in detail in the competitive announcements. Projects that are national in scope are not eligible for funding under this Regional program but may be eligible for funding under EPA's National Wetland Program Development Grants program (CFDA 66.462). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, Tribes, local government agencies, interstate agencies, and intertribal consortia are eligible to apply to the Regions. Past recipients include, but are not limited to, wetland regulatory agencies, water quality agencies (Section 401 water quality certification), planning offices, wild and scenic rivers agencies, departments of transportation, fish and wildlife or natural resources agencies, agriculture departments, forestry agencies, coastal zone management agencies, park and recreation agencies, non-point source or storm water agencies, and city or county and other S/T/LG governmental agencies that conduct wetland-related activities. In order to be eligible for WPDG funds, Tribes must be federally recognized, although "Treatment as a State" status is not a requirement. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia that meet the requirements of 40 CFR Part 35.504 are eligible for direct funding. Intertribal consortia projects must be broad in scope and encompass more than one State, Tribe, or local government. Universities that are agencies of State government are eligible to receive funds through these competitions. Universities must include documentation showing that they are chartered as a part of State government in their proposal. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants need not be located within the boundaries of the EPA regional office to be eligible to apply for funding but must propose projects that affect the States, Tribes, and Territories within the Region.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands protection, restoration and/or management programs, or programs related to or complement wetlands protection programs.

Credentials/Documentation:

Tribes may be asked to demonstrate that they are Federally recognized. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. Universities must include documentation showing that they are chartered as a part of State government in their proposal. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Each EPA Regional Office will set up its own competitive process for awarding WPDGs. Interested applicants should review information on the WPDG at: <http://www.epa.gov/owow/wetlands/grantguidelines/>. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required

for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each EPA Regional Office will establish a process for awarding WPDGs. Regional Offices will accept applications only for projects selected through the competitive process that affect the States, Tribes, and Territories within their Region. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Applicants can also receive a copy of the current announcement by calling the EPA Regional Coordinator. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office and, if approved, the grant is awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. The applicant must provide a minimum of 25 percent of the total cost of the work plan to the extent allowed by 40 CFR 31.24, 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project costs divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or a Total project cost of \$100,000 for the three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c).) Please contact your EPA Regional contact person listed in Section VII if you have any questions about calculating the match requirement. We encourage States and Tribes to provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirement with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement

work plan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$14,845,000; FY 10 est \$16,330,000; FY 11 est \$16,667,000

Range and Average of Financial Assistance:

\$25,000 to \$500,000/fiscal year; \$253,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Each of the ten EPA Regional Offices issues a competitive announcement based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. In FY 09, approximately 10 to 35 proposals were received by each Region under the ten Regional competitive announcements with approximately 4 to 9 cooperative agreements or grants to be awarded under each Regional competitive announcement. No awards were made non-competitively in FY 09. In FY 09, projects were funded to support activities such as: developing plans and management tools for protection of wetland resources; advancing the science and technical tools for evaluating, protecting, and restoring wetland health; facilitating development of watershed stakeholder partnerships; and improving public access to and education about wetlands information including the development of wetland "trackers". Fiscal Year 2010: Each of the ten EPA Regional Offices issues a competitive announcement based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcements include the number of anticipated awards. In FY 10, ten Regional competitive announcements will be issued. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Parts 30, 31 and 35.610 through 35.615); OMB Circulars A-87 and A-122, as applicable.

Regional or Local Office:

See Regional Agency Offices. REGIONAL WETLAND GRANT COORDINATORS: Region 1 - CT, ME, MA, NH, RI, VT: Jeanne Voorhees, US EPA Region 1, 1 Congress Street, MC CSP, Suite 100, Boston, MA, 02114. Phone: 617-918-1686. voorhees.jeanne@epa.gov. Region 2 - NJ, NY, PR, VI: Kathleen Drake, US EPA Region 2, 290 Broadway, NY, NY, 10007. Phone: 212-637-3817. drake.kathleen@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Anita Provenzano, US EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Phone: 215-814-5180. provenzano.anita@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Morgan Jackson, US EPA Region 4, 61 Forsyth Street, SW, Atlanta, GA, 30303. Phone: 404-562-9393. jackson.morgan@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Kristen Faulhaber, US EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Phone: 312-353-4378. faulhaber.kristen@epa.gov. Region 6 - AR, LA, NM, OK, TX: Sondra McDonald, US EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Phone: 214-665-7187. mcdonald.sondra@epa.gov. Region 7 - IA, KS, MO, NE: Mandy Whitsitt, US EPA Region 7, 901 North Fifth Street, Kansas City, KS, 66101. Phone: 913-551-7311. whitsitt.mandy@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Brent Truskowski, US EPA Region 8, 999 18th Street, Suite 300, Denver, CO, 80202. Phone: 303-312-6235. truskowski.brent@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Suzanne Marr, US EPA Region 9, 75 Hawthorne Street, San Francisco, CA 94105. Phone: 415-972-3468. marr.suzanne@epa.gov. Region 10 - AK, ID, OR, WA: Phil Noth, US EPA Region 10, Kenai River Center, 514 Funny River Rd, MC: AOO/S, Soldotna, AK, 99669. Phone: 907-283-4882. north.phil@epa.gov.

Headquarters Office:

Myra Price, Wetlands Division (4502T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: price.myra@epa.gov Phone: (202) 566-1225.

Website Address:

<http://www.epa.gov/owow/wetlands/initiative/#financial/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant; 66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The WPDG database provides project information for grants funded through this program since 2005 (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: wetland and stream mitigation studies; development of wetland or watershed conservation plans; wetland management training workshops; developing a monitoring and assessment program; development of wetland monitoring and assessment methods and programs; training for State/Tribal/local government staff in monitoring techniques or wetland science such as delineation; wetland mapping to build 404 permit enforcement programs, wetland inventory and classification projects to determine restoration sites; and database development to track 404 permit action and compliance. Fiscal Year 2010: The WPDG database provides project information for grants funded through this program since 2005 (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: wetland and stream mitigation studies; development of wetland or watershed conservation plans; wetland management training workshops; developing a monitoring and assessment program; development of wetland monitoring and assessment methods and programs; training for State/Tribal/local government staff in monitoring techniques or wetland science such as delineation; wetland mapping to build 404 permit enforcement programs, wetland inventory and classification projects to determine restoration sites; and database development to track 404 permit action and compliance. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.462 NATIONAL WETLAND PROGRAM DEVELOPMENT GRANTS AND FIVE-STAR RESTORATION TRAINING GRANT

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist State, Tribal, local government (S/T/LG) agencies, and interstate/intertribal entities in building programs which protect, manage, and restore wetlands. The primary focus of the grants is to build state and tribal wetland programs. A secondary focus is to build local (e.g. county or municipal) programs. Funding Priority - Fiscal Year 2010: For the National WPDGs: EPA has identified the following four national priorities for this competition based on the four core elements of a Comprehensive State/Tribal Wetlands Program: 1) Monitoring and Assessment; 2) Voluntary Wetland Restoration and Protection; 3) Regulatory Approaches Including CWA 401 Certification; and 4) Wetland-Specific Water Quality Standards. Further explanation of all of the elements can be found at the following website address: <http://www.epa.gov/owow/wetlands/initiative/estp.html>. The Wetlands Program Development Grants (WPDG) program strives to develop State/Tribal/local government programs that will incorporate each of these elements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The National WPDGs are intended to encourage comprehensive, national wetlands program development by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects build the capacity of States, Tribes, and local governments programs to effectively protect wetland and riparian resources. Activities must help S/T/LGs develop and refine wetland programs. Projects funded under this program support the initial development of a national wetlands protection, restoration or management program, or support enhancement/refinement of an existing national program. Projects must be broad in scope and include more than one EPA Region or be national in scope and cannot be limited to activities that affect such entities in only one EPA region. For example, projects and tasks can involve advancing science or collecting and making available through publications and other appropriate means, such as training on how information about how various wetland programs across the nation protect, manage, and restore their wetland resources and about initiatives to improve S/T/LG wetland programs. Projects that are local or encompass only one EPA Region in scope are not eligible for funding under this program but may be eligible for funding under EPA's Regional Wetland Program Development Grants program (CFDA 66.461). Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. EPA's Five Star Restoration Training Grant is anticipated to fund one cooperative agreement to an organization to manage the Five Star Restoration Training Grant subaward process that will award subgrants to achieve environmental training through voluntary, community/watershed-based wetland restoration projects. Projects funded under the subgrant process must be limited to activities that conduct or promote the coordination and acceleration of training relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. Other activities under section 104(b)(3) will not be considered for funding. Funds cannot be used for the purchase of land or conservation easements. Funds cannot be used for implementation of individual mitigation projects, mitigation banks, or in-lieu-fee mitigation programs. Wetland restoration training projects cannot include wetlands constructed for the purposes of treating wastewater or

stormwater only. The assistance agreement award under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Non-profit, non-governmental organizations, Interstate agencies, and Intertribal consortia which are capable of undertaking activities that advance wetland programs on a national basis are eligible to compete in this program. The term "interstate agency" is defined in CWA Section 502 as "an agency of two or more States established by or pursuant to an agreement or compact approved by the Congress, or any other agency of two or more States, having substantial powers or duties pertaining to the control of pollution as determined and approved by the Administrator." Intertribal consortia must meet the requirements of 40 CFR Part 35.504. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Tribal, and local governments involved in administering wetlands protection, restoration, and/or management programs or programs related to or complement wetlands protection programs.

Credentials/Documentation:

Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Interstate organizations may be asked to provide a citation to the statutory authority, which establishes their status. Intertribal consortia may be asked to provide documentation that they meet the requirements of 40 CFR Part 35.504. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms, as furnished by the Federal agency and required by OMB Circular A-102, must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding National Wetland Program Development Grants. Interested applicants should review information on the National Wetland Program Development Grants at: <http://www.epa.gov/owow/wetlands/grantguidelines/>. Submittal instructions can be found in the competitive announcement. The EPA Headquarters (HQ) Office will conduct the competitive process for awarding the Five-Star Restoration Training Grant. Interested applicants should review information on the Five-Star Restoration Training Grant at: <http://www.epa.gov/owow/wetlands/grantguidelines/>. Submittal instructions can be found in the competitive announcement. All applicants will be notified by the HQ Office on whether or not the applicant has been selected for funding. The notification is not an authorization to begin performance. The Grant Award

document signed by the appropriate grant official in the EPA HQ Office is the authorizing document. Applicants selected for funding must submit a final workplan and grant application forms. Application forms are available at <http://www.epa.gov/ogd/AppKit/index.htm> and by mail upon request to the Grants and Interagency Agreements Management Division at (202) 564-5305. Completed applications should be submitted to HQ. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 4 months of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. The applicant must provide a minimum of 25 percent of the total cost of the workplan to the extent allowed by 40 CFR 31.24, 35.385, and 35.615. To determine if the minimum match is met, EPA will use the following formula: amount (in dollars) of federal funds requested from EPA divided by 3 = minimum match (in dollars) or Total project amount (in dollars) divided by 4 = minimum match (in dollars). For example, if the applicant requests \$75,000 of federal funds or has a total project cost of \$100,000 for a three-year project period, it must be able to provide \$25,000 in matching funds or services. A reduced match may be available for Tribal grantees that place the Wetland Program Development Grant funds in a Performance Partnership Grant. (See regulations at 40 CFR 536(c)). States and Tribes can provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). Award recipients can meet the match requirements with in-kind or monetary contributions from entities other than themselves. However, other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. Matching funds are considered grant funds. They must be used for the reasonable and necessary expenses of carrying out the assistance agreement workplan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and expenditure/financial, equipment, and invention reports. All final reports must be accompanied by a 1-2 page summary electronic document on the project. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this

program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$1,800,000; FY 10 est \$500,000; FY 11 est \$500,000 - National Wetlands Program Development Grants: FY 09 \$500,000; FY 10 est. \$500,000; and FY 11 est. \$500,000. Five-Star Restoration Training Grant: FY 08 \$0; FY 09 \$1,300,000; and FY 10 est. \$0.

Range and Average of Financial Assistance:

National Wetlands Program Development Grants: \$25,000 to \$225,000/fiscal year; \$100,000/fiscal year; Five-Star Restoration Training Grant: \$1,300,000 every four years; \$1,300,000/ every four years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 18 proposals were received in response to the National WPDG competitive announcement and approximately 5 proposals were selected for award. Grants have been awarded to: develop and test a Rapid Assessment of tidal wetlands in representative watersheds of the Delaware Estuary; develop the capacity of wetland programs to assess and manage habitat for secretive marshbird and in turn determine the condition of wetlands; develop a bi-state partnership to advance wetland protection and restoration efforts in the St. Joe River Watershed; develop a strategy for the future of habitat restoration in the Columbia River Estuary; and determine and analyze the application of Water Quality Standards in state wetland programs to protect wetlands. In FY 09 one cooperative agreement for \$1,300,000 was awarded to organize and manage the Five Star Restoration Training Grant subaward process that will undertake projects that achieve environmental training through voluntary, community/watershed-based wetland restoration projects. Fiscal Year 2010: Headquarters will conduct the FY 10 competitive process for the National WPDGs. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, 40 CFR Parts 30 and 31, 40 CFR 35.610, OMB Circular A-122, or OMB Circular A-87, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Myra Price, Wetlands Division (4502T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: price.myra@epa.gov Phone: (202) 566-1225.

Website Address:

<http://www.epa.gov/owow/wetlands/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.461 Regional Wetland Program Development Grants; 66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Wetland Program Development Grant database provides project information for the National WDPGs (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: wetland and stream mitigation studies; development of market-based wetland protection tools; wetland management training workshops; development of wetland modeling tools; studies on the functions and values of wetland ecosystems; planning and holding national meetings on CWA Section 404 mitigation issues; and development of education information on wetlands for state/tribal/local government officials and/or the public. The Five-Star Restoration Training Grant supports the management and oversight of the Five Star Restoration Training Grant subaward process that will bring together citizen groups, corporations, youth conservation corps, students, landowners, and government agencies to undertake projects that achieve environmental training through voluntary, community/watershed-based wetland restoration projects. Fiscal Year 2010: The Wetland Program Development Grant database will provide project information for the National WDPGs (<http://iaspub.epa.gov/pls/grts/f?p=101:1>). Examples of funded projects include: wetland and stream mitigation studies; development of market-based wetland protection tools; wetland management training workshops; development of wetland modeling tools; studies on the functions and values of wetland ecosystems; planning and holding national meetings on CWA Section 404 mitigation issues; and development of education information on wetlands for state/tribal/local government officials and/or the public. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.463 WATER QUALITY COOPERATIVE AGREEMENTS**FEDERAL AGENCY:**

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist States, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution through both permitted and non-permitted areas. Funding Priority - Fiscal Year 2010: Funding priorities include, but are not limited to: watershed approaches for solutions to wet weather activities (i.e., combined sewer overflow, sanitary sewer overflows, storm water discharge, and animal feeding operations); pretreatment and biosolids (sludge) program activities, decentralized systems; and alternative ways to enhance or measure the effectiveness of point source programs. Trading, water efficiency, asset management, and sustainable infrastructure are also areas of consideration. No appropriation authority was provided for FY 2006 or later. However, if unobligated carryover funds are available in FY 2010, projects will be funded in accordance with the funding priorities. Any awards made with carryover funds will be in accordance with the Agency's competition policy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

These grants are intended to encourage efforts to improve water quality by promoting the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the

causes, effects, extent, prevention, reduction, and elimination of water pollution. Projects must attain tangible results. EPA may award grants to fund internships for high school students to work at wastewater treatment plants under the Youth and the Environment sub-program in EPA Regions 1, 2, 3, 5, 6, 7, 8, 9 and 10. In the case of Regional competitions, eligible projects may be limited in geographic scope to benefit the citizens of States, Tribes, or Territories within those Regions. Other specific uses of funds will be described in Requests for Proposals for this program. EPA will not award grants to fund ongoing State, Tribal, or local program activities, construction, or to purchase land. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State water pollution control agencies, interstate agencies, Tribes, colleges and universities, individuals, and other public or nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Individuals and organizations affected by water pollution, including State Water Pollution Control Agencies, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

Nonprofit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Interstate organizations may be asked to provide a citation to the statutory authority which establishes their status. Intertribal consortia must meet the requirements of 40 CFR Part 35.504. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competition procedures vary by Region and Headquarters Office. EPA will generally publish a Request for Proposals (RFP), evaluate the proposals under the criteria contained in the RFP, and requests that selected applicants submit a full application. RFPs are synopsized at www.grants.gov, and may be posted on Agency websites or in the Federal Register. All competitions will be conducted in accordance with EPA policy for competing assistance agreements. For Headquarters competitions, applicants submit a proposal, and EPA evaluates and scores the proposals and invites selected applicants to submit a formal applications. Regional procedures may vary, in accordance with the terms of Regional Request for Proposals. Application forms and completed applications may be requested from and submitted to the appropriate EPA Headquarters or Regional Office. The standard Federal application forms must be used for this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regional or Headquarters review will normally be done within approximately 60 days following the deadline for submissions.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at the time of award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Record keeping requirements are specified 40 CFR Parts 30 or 31 as applicable. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$14,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Projects have historically ranged from \$15,000 to \$270,000/fiscal year. In FY

09, one award was made for \$14,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: One award was made in FY 09 for \$14,000 to support a Tribal water quality monitoring report. Fiscal Year 2010: No data is available for FY 10. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For grants and agreements with States, local governments, and Indian tribal governments, the procedures and requirements shall be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," 40 CFR Part 35, "Environmental Program Grants-State, Interstate, and Local Governments Agencies," OMB Circular Nos. A-87 "Cost Principles for State and Local Governments," and A-102 "Grants and Cooperative Agreements for States and Local Governments." For grants and agreements with other entities the procedures shall be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and OMB Circular Nos. A-122 for Non-Profit Organizations and A-21 for Educational Institutions.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog for information on the grants administration process or the Regional WQCA Coordinator shown at <http://epa.gov/owm/mab/indian/104coord.htm>.

Headquarters Office:

Barron Benroth, Office of Wastewater Management (4201M), EPA, Room 7126, EPA East, 1200 Pennsylvania Avenue NW, Washington, District of Columbia 20460 Email: Benroth.Barry@epa.gov Phone: (202) 564-0672.

Website Address:

<http://www.epa.gov/owm/cwfinance/index.htm>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;
66.454 Water Quality Management Planning

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 09, EPA supported a project to assist a Tribal water quality monitoring program. Fiscal Year 2010: In FY 10, no funding is anticipated for projects. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.466 CHESAPEAKE BAY PROGRAM**FEDERAL AGENCY:**

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 117.

OBJECTIVES:

To expand and strengthen cooperative efforts to restore and protect the Chesapeake Bay. EPA's funding priority is to achieve the goals and commitments established in the Chesapeake 2000 agreement and the forthcoming final coordinated strategy under Executive Order (EO) 13508. As a means to achieve the goals and commitments, assistance agreements are awarded to: nonprofit organizations, State and local governments, colleges, universities, and interstate agencies. The type of projects that are awarded range from monitoring of bay toxins to environmental education. Funding Priority - Fiscal Year 2010: The Fiscal Year 2010 budget provides additional funding to the Chesapeake Bay Program Office for Regulatory and Accountability Program grants. These grants aid states and the District of Columbia in implementing and expanding their States' regulatory, accountability and enforcement capabilities, in support of reducing nitrogen, phosphorus and sediment loads delivered to the Bay to meet the water quality goals. Also, in 2008, the Bay Program established six goals that define the funding priorities for the Chesapeake Bay Program. These goals are: (1) protect and restore fisheries; (2) protect and restore vital aquatic habitats; (3) protect and restore water quality;

(4) maintain healthy watersheds; (5) foster Chesapeake stewardship; and (6) enhance partnering, leadership and management.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 117 authorizes the Agency to award grants or cooperative agreements to: (1) Nonprofit organizations, State and local governments, colleges, universities, and interstate agencies as authorized under and in accordance with Section 117(d), to implement the goals of the Chesapeake Bay Agreement, such as activities to support living resources, vital habitat, water quality, sound land use protection, restoration, and stewardship community engagement. State agencies applying for grants under Section 117(d) must commit to a cost share ranging from 5 percent to 50 percent, as determined at the sole discretion of EPA. This determination will be made on a grant-by-grant basis and EPA will promptly inform the applicant of the selected cost share requirement. All other applicants applying for grants under Section 117 must commit to a cost share of 5 percent of the total project costs; (2) State/Signatory Jurisdictions applying for implementation and monitoring grants under Section 117(e)(1)(A) and 117(e)(1)(B) must identify 50% cost share of total project costs (equal match/dollar for dollar). Section 117(e)(1)(A) authorizes EPA to award Chesapeake Bay Implementation Grants to State/Signatory Jurisdictions. The Implementation Grants are for the purpose of implementing the management mechanisms established under the Chesapeake Bay Agreement, such as ongoing state programs for control and abatement of non-point source pollution. Section 117(e)(1)(B) authorizes EPA to award Monitoring Grants for State/Signatory Jurisdictions for the purpose of monitoring the Chesapeake Bay ecosystem. The Small Watershed Grants Program provides small grants to organizations working on a local level to protect and improve watersheds in the Chesapeake Bay basin while building citizen based resource stewardship, as authorized and in accordance with Section 117(g)(2). This section requires a non-federal matching funds of 25 percent. The purpose of the Small Watershed Grants Program is to address the water quality and living resource needs of the Chesapeake Bay ecosystem; and (3) As a result of EO 13508, the EPA Chesapeake Bay Program Office (CBPO) will be receiving additional funding during FY 10 for Regulatory and Accountability Program grants. These grants will help States to develop new regulations, design TMDL watershed implementation plans, reissue and enforce permits, and provide technical and compliance assistance to local governments and regulated entities. The funds are to aid the States and the District of Columbia in implementing and expanding their States' regulatory, accountability, and enforcement capabilities in support of reducing nitrogen, phosphorus, and sediment loads delivered to the Bay to meet the water quality goals. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or-rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Under section 117(d), funds are available for technical and general assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e)(1)(A) and 117e(1)(B), respectively, funds are available for implementation, regulatory and accountability, and monitoring grants to signatory jurisdictions; and under section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Under section 117(d), funds are available for technical and general assistance grants to nonprofit organizations, State and local governments, colleges, universities, and interstate agencies; under section 117(e)(1)(A) and 117(e)(1)(B), respectively, funds are available for implementation, regulatory and accountability, and monitoring grants to signatory jurisdictions; and under Section 117(g)(2), funds are available for technical assistance and assistance grants under the Small Watershed Grants Program to local governments and nonprofit organizations and individuals in the Chesapeake Bay region.

Credentials/Documentation:

Compliance with 40 CFR Parts 30 and 31, and with Program Grant Guidance to matching funds. Costs will be determined in accordance with OMB Circular No. A-87 for State, Local, and Indian Tribal Governments, OMB Circular No. A-122 for nonprofit organizations, and OMB Circular No. A-21 for educational institutions. All OMB circulars apply to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is recommended and should be obtained from the EPA Region III Grants Management Office. All proposals or work statements should be consistent with CBPO's Grant Guidance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. RFPs will be announced to request initial proposals to implement specific outcomes that will further goals of the Chesapeake 2000 Agreement and the Chesapeake Action Plan (CAP). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Interested parties who would like to receive a copy of issued RFPs and be added to the Environmental Protection Agency's CBPO RFP database should submit their name, address, phone number, and e-mail address (optional) to Veronica Kuczynski at kuczynski.veronica@epa.gov. Mailing address is Environmental Protection Agency, CBPO, Grants Office, 410 Severn Ave., Suite 109, Annapolis, MD 21403. EPA will only accept addresses provided in writing (e-mail or letter); no phone calls. Interested parties applying for a grant under the Small Watershed Grants Program should submit their name and address to the National Fish and Wildlife Foundation 1120 Connecticut Avenue, NW, Suite 900, Washington, DC 20026, for purpose of establishing a database to receive RFPs. National Fish and Wildlife Foundation will list the RFP on their website: www.NFWF.org. Application kits may be requested from EPA CBPO, 410 Severn Avenue, Suite 109, Annapolis, MD 21403. The completed application, including the final proposal, should be submitted to EPA Region III Grants Management Office, 1650 Arch Street, Philadelphia, Pennsylvania 19103 with a copy to CBPO in Annapolis for processing. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 7 months after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval of EPA.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Under Section 117 (e)(1)(A) and 117(e)(1)(B), respectively, State agencies applying for implementation and monitoring grants must identify 50 percent cost share of total project costs (equal match/dollar for dollar). State agencies applying for technical and general assistance grants under Section 117 (d), must commit to a cost share ranging from 5 percent to 50 percent as determined at the sole discretion of EPA. This determination will be made on a grant-by-grant basis and EPA will promptly inform the applicant of the selected cost share requirement. All other applicants applying for grants under Section 117 must commit to a cost share of 5 percent of the total project costs. Applicants applying for Small Watershed Grants Program under Section 117(g)(2) must commit to a cost share of 25 percent of the total project cost. State and local governments receiving assistance under any of the provisions of Section 117 must comply with 40 CFR 31.24 and all other applicants must comply with 40 CFR 30.23. In addition to the cost share requirement, applicants must adhere to the requirement in the Clean Water Act, Section 117 "Administrative Costs." This section requires a 10 percent cap for administrative costs. The cost of salaries and fringe benefits incurred in administering the grant cannot exceed 10 percent of the Federal grant amount.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$22,705,202; FY 10 est \$29,163,000; FY 11 est \$37,500,000 - FY 09 \$22,705,202; FY 10 est. \$29,163,000; FY 10 est. \$11,200,000 (EO 13508); and FY 11 est. \$37,500,000.

Range and Average of Financial Assistance:

\$5,000 to \$13,875,000/fiscal year; \$6,937,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, CBPO issued eight RFPs. Based on the eight RFPs issued, CBPO received twenty-nine proposals and awarded sixteen awards. FY 09 activities included: communications, outreach, and education activities to support the staffing and operations of the Bay Program Communication Office; implementation of state tributary strategies to reduce nutrients and sediments to improve water quality; continued technical support and outreach to address water quality restoration goals and maintain public awareness of Bay restoration; investigation of the nitrogen reduction efficiency and cost effectiveness of nitrogen reducing septic systems within the mid-Atlantic region; evaluation of the impact of phosphorus species on water quality and plant growth; NPDES data synthesis and field monitoring to better estimate loadings of toxics (metals, organics) to Chesapeake Bay through storm water; and Chesapeake Bay modeling, GIS and data analyses support, water quality data analysis/integration support, and nonpoint and point source data management/analysis. Fiscal Year 2010: FY 10 activities will include: communications, outreach, and education activities to support the staffing and operations of the Bay Program Communication Office; implementation of state tributary strategies to reduce nutrients and sediments to improve water quality; continued technical support and outreach to address water quality restoration goals and maintain public awareness of Bay restoration; investigation of the nitrogen reduction efficiency and cost effectiveness of nitrogen reducing septic systems within the mid-Atlantic region; evaluation of the impact of phosphorus species on water quality and plant growth; NPDES data synthesis and field monitoring to better estimate loadings of toxics (metals, organics) to Chesapeake Bay through storm water; and Chesapeake Bay modeling, GIS and data analyses support, water quality data analysis/integration support, nonpoint and point source data management/analysis; and development of new regulations, design of TMDL watershed implementation plans, reissuance and enforcement of permits, and technical and compliance assistance to local governments and regulated entities to meet the water quality goals of the 2000 Chesapeake Bay Agreement. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Chesapeake Bay Program Office's Annual Grant Guidance and general regulations (40 CFR Parts 30 and 31) for assistance programs available from EPA.

Regional or Local Office:

See Regional Agency Offices. Veronica Kuczynski, EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 109, Annapolis, MD 21403. Telephone: (410) 267-5743; Fax: (410) 267-5777; E-mail: kuczynski.veronica@epa.gov.

Headquarters Office:

Veronica Kuczynski, EPA Chesapeake Bay Program Office, 410 Severn Avenue, Suite 109, Annapolis, Maryland 21403 Email: kuczynski.veronica@epa.gov Phone: (410) 267-5743.

Website Address:

<http://www.epa.gov/region03/chesapeake>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.439 Targeted Watersheds Grants; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants and cooperative agreements awarded to States and local governments, colleges, universities interstate agencies, and nonprofit organizations are for nonpoint source implementation, water quality monitoring, education and outreach, living resources and habitat restoration, and program support. Examples of funded projects include: (1) enhanced storm water monitoring; (2) communications, outreach, and public education efforts; (3) computer modeling of different population levels of menhaden for

multi-species management and potential impact on water quality; (4) nonpoint source pollution programs; and (5) Chesapeake Bay point source data management. Fiscal Year 2010: Grants and cooperative agreements awarded to States and local governments, colleges, universities interstate agencies, and nonprofit organizations are for nonpoint source implementation, water quality monitoring, education and outreach, living resources and habitat restoration, and program support. Examples of funded projects include: (1) enhanced storm water monitoring; (2) communications, outreach, and public education efforts; (3) computer modeling of different population levels of menhaden for multi-species management and potential impact on water quality; (4) nonpoint source pollution programs; (5) Chesapeake Bay point source data management; and (6) regulatory and accountability program grants. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.467 WASTEWATER OPERATOR TRAINING GRANT PROGRAM

(Wastewater O&M Onsite Technical Assistance Grants)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Federal Water Pollution Control Act, Section 104(g)(1), 33 U.S.C 1251.

OBJECTIVES:

To substantially enhance the proficiency of personnel engaged in the operations and maintenance of treatment works and related activities by financing pilot programs. Funding Priority - Fiscal Year 2010: No funds are available in FY 2010. If funds become available, the funding priority will primarily be to provide on-site technical assistance to address the unique performance limiting conditions of wastewater treatment plants. Classroom training may also be provided on a limited basis, if cost-effective, to improve the skills of wastewater treatment plant operators.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance is available to support recipients' allowable direct costs incidental to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies or the designated organizations of the States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Marianas, America Samoa, the Trust Territories, and Native American Indian Tribes. Additionally, institutions of higher education and "not for profit institutions" may also apply for funding if acting as a designated organization of the State.

Beneficiary Eligibility:

State, Tribal, and local governments and publicly owned treatment works (POTWs). Activities which contribute to Operation and Maintenance (O&M) improvements at POTWs, including pollution prevention activities, may be funded. Legislative history supports using appropriations for onsite O&M technical assistance to the small sized POTWs of less than 5 million gallons per day (MGD) of wastewater flow, since many of these POTWs are exhibiting performance problems and do not have readily available consultant's technical assistance like those of the larger POTWs.

Credentials/Documentation:

Costs will be determined in accordance with applicable OMB Circular No.

A-87, Cost Principles for State and local governments. Non-profit applicants may be asked to provide documentation that they meet the definition of a non-profit organization in OMB Circular A-122. Tribes may be asked to demonstrate that they are Federally recognized. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard EPA application assistance forms must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of OMB Circular No. A-102 Uniform Administration Requirements for Grant-in-Aid to State and Local Government. Request for application forms and completed applications must be submitted to the applicable Regional or Headquarters Grants Administration office. Applications must meet the requirements of the grant regulations and must be reviewed and approved by the appropriate Regional or Headquarters' waste management program office prior to approval by the Grants office. The review must include an administrative and technical evaluation to determine adequacy, merit, and relevance of the proposal. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

An application is reviewed by the appropriate EPA Regional Office and, if approved, is signed by the Regional Administrator or designated Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, 90 days after the grant application is received by EPA.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Generally 25 percent in kind service/goods or matching funds is required; however, this provision may be waived in certain cases.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be awarded for a project period not to exceed 3 years. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Headquarters receives semi-annual status reports, and an annual data submission of the program's progress for the current fiscal year, from all Regional Offices. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records and other records which substantiate the reasonable and allocable charges must be available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status reports. If questions arise, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$23,300; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

For every \$1,000,000 appropriated by the U.S. Congress, a State receives approximately \$30,000/fiscal year to provide funds to onsite technical assistance providers. The range and average of financial assistance provided is: \$12,000 to \$40,000/fiscal year; \$25,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, approximately \$23,000 was used to provide technical assistance to facilities which needed compliance assistance. No additional funding was provided in FY 09. Fiscal Year 2010: Not applicable as no funding will be provided in FY 10. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants for technical assistance should review 40 CFR Part 30, the General Grant Regulations and Procedures, and the annual guidance produced by the Headquarters wastewater program office.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog or online for additional information.

Headquarters Office:

Gajindar Singh, Office of Wastewater Management (4204M), Office of Water, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: singh.gajindar@epa.gov Phone: (202) 564-0634.

Website Address:

<http://www.epa.gov/owm/mab/smcomm/104g/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects include, diagnostic and energy/water use evaluations at small POTWs and providing technical assistance to achieve compliance. Fiscal Year 2010: Not applicable as no funding will be provided in FY 10. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

On-site wastewater O&M technical applications managed by the Regions are evaluated based on the Headquarters wastewater program office's annual guidance, as well as EPA's grants management-related guidance. Other pilot program proposals, with similar objectives, will be considered by the Regional and Headquarters wastewater program offices based on the merits of the proposal, and prior designation by the applicable State agency(s) to receive these funds. Project objectives and requirements for applicants for other pilot project funding will be negotiated on a case-by-case basis.

66.468 CAPITALIZATION GRANTS FOR DRINKING WATER STATE

REVOLVING FUNDS

(Drinking Water State Revolving Fund)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Safe Drinking Water Act (SDWA) Amendments of 1996, Section 130, Public Law 104-182.

OBJECTIVES:

Grants are made to States and Puerto Rico to capitalize their Drinking Water State Revolving Funds (DWSRFs) which will provide a long-term source of financing for the costs of drinking water infrastructure. Grants are also made to the District of Columbia, U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam), and Indian Tribes. Funding Priority - Fiscal Year 2010: The funding priority established by the SDWA are for capitalization grants to each State for infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements, protect public health, and assist systems with economic need. A State may use a portion of the capitalization grant funds for programs that emphasize preventing contamination problems through source water protection and enhancing water system management. States and EPA Regions determine priorities for funding in accordance with SDWA. Capitalization grants to each State were also made available under the American Recovery and Reinvestment Act (Recovery Act) of 2009. A portion of the Recovery Act funding were targeted toward projects for green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities. The program supports the Agency's strategic goal of ensuring clean and safe water.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Capitalization grants are made available to each State and Puerto Rico for the purpose of establishing a DWSRF for providing assistance to drinking water systems for infrastructure improvements. The capitalization grant is deposited in the State's DWSRF, and is used to provide loans and other types of financial assistance to eligible public water systems. A State may elect to use up to 31% of the capitalization grant for other eligible activities, including 4% for administration of the program. States may also elect to transfer up to one-third of the DWSRF capitalization grant to the Clean Water State Revolving Fund (CWSRF) or an equivalent amount from the CWSRF to the DWSRF program. Grants are also made available to Federally Recognized Indian Tribal Governments, U.S Territories, and the District of Columbia. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, the District of Columbia, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), and Federally Recognized Indian Tribal Governments are eligible for grants from the program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. Territories or Possessions (the Commonwealth of Puerto Rico, Virgin Islands, Mariana Islands American Samoa, and Guam), Federally Recognized Indian Tribal Governments, local, and intrastate.

Credentials/Documentation:

To receive a grant, an applicant enters into an agreement with the EPA Regional Administrator which shall include, but are not limited to, the requirements set forth in Section 130 of the SDWA. Recipients must follow OMB Circular No. A-87, "Cost Principles for State, Local and Indian Tribal Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

States are required to prepare and provide for public comment on a plan identifying the intended uses (Intended Use Plan, or IUP) of the funds in the DWSRF and how those uses support the goals of the DWSRF. The IUP is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent State assistance for drinking water systems. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 1200 Pennsylvania Avenue, N.W., Mailcode 3903R, Washington, DC 20460 or through the appropriate Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Grants for Tribes, U.S. Territories, and the District of Columbia are selected based on funding priorities established by EPA Regional Offices. States must certify that they have the legal authority to receive a capitalization grant and that they have the legal authority to operate the program. States must provide assurance in their applications that they have the legal, managerial, technical and operational capabilities to administer the DWSRF program competently and that they will comply with all applicable Federal cross-cutting authorities and Federal statutes. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For those portions of the applicant's program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed.

Formula and Matching Requirements:

Statutory Formula: Title Safe Drinking Water Act.

Matching Requirements: The funds available for allotment to State DWSRF programs are those funds appropriated by Congress under the Safe Drinking Water Act (SDWA 1452; 40 CFR 35.3540 and 35.3585). EPA allots funds to each State based on the State's proportional share of total eligible needs reported for the most recent Drinking Water Infrastructure Needs Survey (which is conducted every four years). The minimum proportional share that each State can receive is 1% of total funds available to States. EPA reserves up to 1.5% of the funds available for allotment to the States to provide grants to the U.S. Territories (Virgin Islands, Mariana Islands American Samoa, and Guam). The SDWA also requires the Administrator to reserve 1% of the funds available for allotment to the States to provide grants to the District of Columbia. The SDWA also allows EPA to reserve funds for national set-asides that include: up to 2.0% of the national appropriation for grants to Indian Tribes as well as funding for health effects studies, small system technical assistance, and monitoring of unregulated contaminants. The required State match is 20% of the amount of the capitalization made to the State. States must also provide a match or demonstrate a credit for state funded eligible activities to receive Federal funds for certain program support activities. For Recovery Act funds, the required State match of 20% of the amount of the capitalization grant is waived. For additional information go to <http://www.epa.gov/safewater/dwsrf/allotments/index.html>. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. Recovery Act funds are available for EPA's obligation to the State until September 30, 2010. The term of the grant shall be determined at the time of award. States must agree to enter into binding commitments with loan recipients to provide financial assistance from the DWSRF in an amount equal to the sum of Federal assistance, less amounts used by the State for eligible set-aside purposes, and the State match. States are also required to agree to commit and expend all funds in the DWSRF as efficiently as possible, and in a timely manner. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

States shall provide biennial reports to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the second fiscal year during which the payments were received). The biennial report shall describe how the State has met the goals and objectives for the preceding two fiscal years as identified in its intended use plans for those periods, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the DWSRF. For grants to Tribes and U.S. Territories, procedures for accounting, auditing, evaluating, and reviewing any program for activities in whole or in part shall be governed by regulations at 40 CFR Parts 31 and 35, "Environmental Program Grants for Tribes," with reports as required by the specific terms of the agreement. For Recovery Act funds, funding reports must be submitted in a prescribed format within 10 calendar days after the end of each calendar quarter, beginning October 10, 2009. These reports will include data elements as prescribed by Public Law 111-5, Section 1512 and OMB Guidance. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved. As part of the annual review conducted by the Agency to assess a State's performance against activities identified in the intended use plan and biennial report, and to determine compliance with the terms of the capitalization grant agreement, the State or assistance recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the SDWA.

Account Identification:

68-0102-0-1-304; 68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$2,809,029,000; FY 10 est \$1,383,000,000; FY 11 est \$1,283,000,000 - FY 09 \$829,029,000; FY 10 est. \$1,383,000,000; and FY 11 est. \$1,283,000,000. Recovery Act funds - FY 09 \$1,980,000,000; FY 10 est. \$0; and FY 11 est. \$0.

Range and Average of Financial Assistance:

States: \$13,573,000 to \$126,958,000/fiscal year; \$24,105,000/fiscal year. Tribes: \$6,000 to \$2,400,000/fiscal year; \$480,000/fiscal year. Territories: \$2,057,000 to \$13,573,000/fiscal year; \$4,189,000/fiscal year. Recovery Act funds - States: \$19,500,000 to \$160,000,000/fiscal year. Territories: \$500,000 to \$2,100,000/fiscal year. Tribes: \$15,600 to \$3,200,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: All 50 States and Puerto Rico have established and are implementing DWSRF programs through receipt of a capitalization grant. In FY 09, States made 712 loans to systems for a total of \$1.6 billion to conduct infrastructure improvement projects. Of the total number of loans, 72% went to small water systems that serve 10,000 persons or fewer. States also used funds to prevent drinking water contamination through source water protection and enhanced system management. Four awards were made to Territories and 38 awards were made to Tribes to conduct infrastructure improvement projects that are needed to achieve or maintain compliance with SDWA requirements. In FY 09, 700 awards were made with Recovery Act funds for a total of \$1.98 billion. Fiscal Year 2010: All 50 States and Puerto Rico have established and are implementing DWSRF programs through receipt of a capitalization grant. In FY 10, States, Tribes, and Territories will continue to receive funding to support infrastructure improvement projects. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31) and Drinking Water State Revolving Funds (40 CFR Part 35, Subpart L). Additional program information, including contact information for state program managers, is available online at <http://www.epa.gov/safewater/dwsrf.html>.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog, or online.

Headquarters Office:

Peter Shanaghan, Environmental Protection Agency, Infrastructure Branch (4606M), Drinking Water Protection Division, Office of Groundwater and Drinking Water, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: shanaghan.peter@epa.gov Phone: (202) 564-3848.

Website Address:

<http://www.epa.gov/safewater/dwsrf.html>

RELATED PROGRAMS:

66.458 Capitalization Grants for Clean Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of an ultra-violet treatment facility; construction of pumping stations; upgrades of water treatment plant; line replacement; and new wells to replace wells that have been contaminated. Fiscal Year 2010: Drinking water projects addressing treatment, storage, source, transmission, distribution and consolidation. Some examples of projects include: construction of an ultra-violet treatment facility; construction of pumping stations; upgrades of water treatment plant; line replacement; and new wells to replace wells that have been contaminated. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.469 GREAT LAKES PROGRAM

GLNPO

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Clean Water Act, Section 104&118, 33 U.S.C 1254.

OBJECTIVES:

To restore and maintain the chemical, physical, and biological integrity of the Great Lakes Basin Ecosystem. USEPA leads a consortium of programs, agencies, and public and private institutions in attaining specific objectives and actions that will address the most significant Great Lakes ecosystem problems and efforts in five major focus areas: Toxic Substances and Areas of Concern; Invasive Species; Nearshore Health and Nonpoint Source Pollution; Habitat and Wildlife Protection and Restoration; Accountability, Education, Monitoring, Evaluation, Communication and Partnerships. Funded activities will advance protection and restoration of the Great Lakes ecosystem in support of (i) the Great Lakes Restoration Initiative as described in the Great Lakes Restoration Initiative Action Plan (http://greatlakesrestoration.us/action/wp-content/uploads/glri_actionplan.pdf), (ii) Sub-objective 4.3.3 (Improve the Health of Great Lakes Ecosystems) of EPA's Strategic Plan <http://www.epa.gov/ocfo/plan/plan.htm>, and/or (iii) the Great Lakes Regional Collaboration Strategy to Protect and Restore the Great Lakes <http://www.glrc.us/strategy.html>.

Funding Priority - Fiscal Year 2010: The Great Lakes Restoration Initiative, guided by the Great Lakes Regional Collaboration Strategy, is a coordinated effort among EPA and the Great Lakes Federal Interagency Task Force to fund the highest priority activities in order to protect and restore the Great Lakes.

The President in 2009 announced a new Great Lakes Restoration Initiative, committing the federal government to significantly advance Great Lakes protection and restoration pursuant to that work. EPA has since then led the Interagency Task Force in development of a 2010-2014 Great Lakes Restoration Initiative Action Plan (Action Plan). The Action Plan, built upon the foundation of the GLRC Strategy and developed with stakeholder input from meetings throughout the Great Lakes, targets the most significant environmental problems in the Great Lakes ecosystem. The Action Plan is an action driver, articulating the most significant ecosystem problems and efforts to address them in five major focus areas:

(i) Toxic Substances and Areas of Concern, including pollution prevention and cleanup of the most polluted areas in the Great Lakes.

(ii) Invasive Species, including efforts to institute a "zero tolerance policy" toward new invasions.

(iii) Nearshore Health and Nonpoint Source Pollution, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban, suburban, and agricultural lands.

(iv) Habitat and Wildlife Protection and Restoration, including bringing wetlands and other habitat back to life, and the first-ever comprehensive assessment of the entire 530,000 acres of Great Lakes coastal wetlands for the purpose of strategically targeting restoration and protection efforts in a science-based manner.

(v) Accountability, Education, Monitoring, Evaluation, Communication and Partnerships, including the implementation of goal- and results-based accountability measures, learning initiatives, outreach and strategic partnerships.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The statutory authority for awards from 2010/2011 funding is contained in the Administrative Provisions of Title II of Public Law 111-88 (the 2010 Appropriations Act), which authorizes grants "for planning, research, monitoring, outreach, and implementation for grants to and/or cooperative agreements with governmental entities, nonprofit organizations, institutions, and individuals in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement."

Statutory authority for awards is also contained in Clean Water Act Section 104 for research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of pollution affecting the Great Lakes Basin and/or in support of the U.S.-Canada Great Lakes Water Quality Agreement. Such activities include surveillance and monitoring of Great Lakes water quality and land use activities.

The Statutory Authority to take action to implement the U.S. responsibilities under the Great Lakes Water Quality Agreement, which these awards will support, is contained in Section 118(c) of the Clean Water Act. The principal goal of the Great Lakes Water Quality Agreement is the restoration and maintenance of the chemical, physical, and biological integrity of the Great Lakes Ecosystem. For projects with international aspects the above statutes are supplemented, as appropriate, by the National Environmental Policy Act, Section 102(2)(F).

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved activities plus allowable indirect costs, in accordance with established EPA policies and regulations. Quality System Documentation is required for projects involving measurements or information that describe environmental processes, location, or conditions; ecological or health effects and consequences; or the performance of environmental technology. Section 118 (c)(12) authorizes contaminated sediment remediation in Areas of Concern pursuant to eligible projects under the Great Lakes Legacy Act; however, these will be done through project cooperation agreements, rather than assistance. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Entities eligible to apply for grants include state agencies, any agency or instrumentality of local government, interstate agencies, federally-recognized tribes and tribal organizations, non-profit organizations as described in Section 501(c)(3) of the Internal Revenue Code, other public or non-profit private

agencies, institutions, and organizations, and individuals. Colleges and universities that are subject to 40 CFR Part 30 or 40 CFR Part 31 are also eligible to apply for grants. Non-profit organization, as defined by OMB Circular A-122, located at 2 CFR Part 230, means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. "For profit" organizations are not eligible applicants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Eligible beneficiaries include state agencies, any agency or instrumentality of local government, interstate agencies, federally-recognized tribes and tribal organizations, non-profit organizations as described in Section 501(c)(3) of the Internal Revenue Code, other public or non-profit private agencies, institutions, and organizations, and individuals. Colleges and universities that are subject to 40 CFR Part 30 or 40 CFR Part 31 are also eligible to apply for grants. Non-profit organization, as defined by OMB Circular A-122, located at 2 CFR Part 230, means any corporation, trust, association, cooperative, or other organization which: (1) is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest; (2) is not organized primarily for profit; and (3) uses its net proceeds to maintain, improve, and/or expand its operations. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. "For profit" organizations are not eligible beneficiaries. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments," OMB Circular No. A-21 "Cost Principles for Educational Institutions," and of OMB Circular No. A-122 "Cost Principles for Nonprofit Organizations" apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Preapplication assistance is available. Requests for Initial Proposals and Proposals will be accessible on the Internet from GLNPO's home page (<http://www.epa.gov/glnpo/>) and an announcement will be mailed to organizations which have registered with GLNPO. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. As specified in applicable requests for proposals. Application kits may be requested from USEPA – GLNPO (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604-3590. Application Packages should be submitted to the same address. Standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Proposals are evaluated by EPA reviewers to determine the adequacy of the application under Great Lakes objectives, including technical merit, relevance of the project, environmental outcomes, and cost. Administrative review is done by the Regional Assistance Branch. Funds are awarded by the Great Lakes National Program Office or Region 2, 3, or 5, as applicable. GLNPO assistance is approved by the GLNPO Director and awarded by the Great Lakes National Program Manager. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the Great Lakes National Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Leveraging, including an applicant's match percentage, will be considered as part of the project selection process for grants and cooperative agreements; however, the program does not expect to require any minimum match requirement. Any such requirement will be specified in the individual Request for Proposals. A minimum 35 percent matching requirement will apply to Legacy Act projects (Section 103 of the Great Lakes Legacy Act of 2002).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally 1-2 years; however, some incremental awards are made up to 5 years. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be

maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$43,300,000; FY 10 est \$195,000,000; FY 11 est \$130,000,000 - Grants and Cooperative Agreements - FY 09 \$6,300,000; FY 10 est. \$130,000,000; and FY 11 est. \$80,000,000. Legacy Act (Project Agreements) - FY 09 \$37,000,000; FY 10 est. \$65,000,000; and FY 11 est. \$50,000,000.

Range and Average of Financial Assistance:

Grants and Cooperative Agreements: \$9,680 to \$777,496/fiscal year; \$80,902/fiscal year. FY 10 awards under the Great Lakes Restoration Initiative are expected to be larger.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Great Lakes National Program Office (GLNPO) holds competitions throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement typically includes the number of anticipated awards. EPA's Great Lakes Program has been leading Federal, State, Tribal, local, and industry Great Lakes protection and restoration efforts. Accomplishments include: atmospheric deposition monitoring and open lake monitoring in each Great Lake for toxicant and nutrient loadings and concentrations (using EPA's research vessels); trend and baseline data to support and target remedial efforts and measure environmental progress; publishing results from monitoring the Lake Michigan air, water, sediments, and biota through the Lake Michigan Mass Balance Study; study of Lake Erie "dead zone;" facilitating access to Great Lakes information; contaminated sediment assessments and remediation demonstrations to assist clean-up in Great Lakes Areas of Concern; protection and restoration of important Great Lakes habitats; promoting toxics reduction through the Great Lakes Binational Toxics Strategy; advancing prevention and control of invasive species; and development and implementation of Lakewide Management Plans (LaMPs) and Remedial Action Plans (RAPs). In FY 09, GLNPO issued competitive Requests for Proposals for projects in areas supporting the Great Lakes Regional Collaboration Strategy, including the priority areas of: LaMP development and implementation and Lake Erie coordinated science and monitoring. Proposals were selected and awards issued for approximately \$550,000 for LaMP development and implementation. Proposals were selected and awards issued for approximately \$500,000 for Lake Erie Coordinated science and monitoring. GLNPO also issued non-competitive grants to States and Tribes for LaMP and RAP activities and funded a competitive sub-award program for habitat protection and restoration. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/glef.html>. Fiscal Year 2010: GLNPO holds competitions throughout the year based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement typically includes the number of anticipated awards. In FY 10, GLNPO is issuing competitive Requests for Proposals for projects in the following five areas: Toxic Substances and Areas of Concern; Invasive Species; Nearshore Health and Nonpoint Source Pollution; Habitat and Wildlife Protection and Restoration; and Accountability, Education, Monitoring, Evaluation, Communication and Partnerships. GLNPO also will issue non-competitive grants to States and Tribes for LaMP and RAP activities. Additional information is available from links at <http://www.epa.gov/grtlakes/fund/glef.html>. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Requests for Proposals, examples, and other Great Lakes funding information is available from <http://www.epa.gov/glnpo/fund/>. General regulations for EPA assistance programs are contained in 40 CFR Parts 30 through 47.

Regional or Local Office:

See Regional Agency Offices. Mike Russ, USEPA. Great Lakes National Program Office (G-17J), 77 West Jackson Boulevard, Chicago, Illinois

60604-3590. Telephone: (312) 886-4013; FAX: (312) 353-2018; e-mail: russ.michael@epa.gov.

Headquarters Office:

Mike Russ, EPA, Great Lakes National Program, Office (G-17J), 77 West Jackson Boulevard, Chicago, Illinois 60604 Email: russ.michael@epa.gov Phone: (312) 886-4013.

Website Address:

<http://www.epa.gov/glnpo/fund/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants and cooperative agreements awarded to States, local governments, colleges and universities, and nonprofit organizations for contaminated sediment assessment and remediation, pollution prevention and reduction, ecological (habitat) protection and restoration, invasive species, indicator development, strategic and emerging issues, assessment pursuant to the Lake Michigan Mass Balance Study, contaminant monitoring of Lake and tributary waters and biota, and information management projects. Individual project summaries are available from <http://www.epa.gov/glnpo/fund/>. Fiscal Year 2010: Grants and cooperative agreements will be awarded to state pollution control agencies, interstate agencies, Tribes, colleges, universities, and other public or non-profit private agencies, institutions, and organizations for planning, research, monitoring, outreach, and implementation in support of the Great Lakes Restoration Initiative. Projects will be funded within the focus areas of: (i) Toxic Substances and Areas of Concern, including pollution prevention and cleanup of the most polluted areas in the Great Lakes; (ii) Invasive Species, including efforts to institute a "zero tolerance policy" toward new invasions; (iii) Nearshore Health and Nonpoint Source Pollution, including a targeted geographic focus on high priority watersheds and reducing polluted runoff from urban, suburban and, agricultural sources; (iv) Habitat and Wildlife Protection and Restoration, including bringing wetlands and other habitat back to life, and the first-ever comprehensive assessment of the entire 530,000 acres of Great Lakes coastal wetlands for the purpose of strategically targeting restoration and protection efforts in a science-based manner; and (v) Accountability, Education, Monitoring, Evaluation, Communication and Partnerships, including the implementation of goal- and results-based accountability measures, learning initiatives, outreach and strategic partnerships. Results will be available from a Great Lakes Accountability System currently under development. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Proposals are approved by EPA according to technical merit and relevance to the Great Lakes Water Quality Agreement, Section 118 of the Clean Water Act, and the criteria established in the applicable Request for Proposals.

66.471 STATE GRANTS TO REIMBURSE OPERATORS OF SMALL WATER SYSTEMS FOR TRAINING AND CERTIFICATION COSTS

(Operator Certification Expense Reimbursement Grants)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act (SDWA) Amendments of 1996, Section 301, Public Law 104-182.

OBJECTIVES:

This grant program was established as a one-time grant to states and U.S. territories to train and certify small drinking water system operators. Funding Priority - Fiscal Year 2010: EPA's funding priority has been to provide funds to states to reimburse the costs of training, including an appropriate per diem for unsalaried operators, and certification for persons operating community and

nontransient noncommunity public water systems serving 3,300 persons or fewer that are required to undergo training pursuant to EPA's operator certification guidelines. All 50 states, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds. No new funding is available for this program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used by states to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification needed to meet EPA guidelines. Unsalared operators may also be reimbursed for per diem costs. States are allowed to use a portion of their grant to administer the program. If a state has reimbursed all such costs, the state may, after notice to the Administrator of EPA, use any remaining funds from the grant for any of the other purposes authorized for Drinking Water State Revolving Fund (DWSRF) capitalization grants under section 1452 of the 1996 SDWA Amendments (CFDA 66.468).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, Puerto Rico, and U.S. Territories are eligible to receive grants.

Beneficiary Eligibility:

States and U.S. Territories are the primary beneficiaries. States and U.S. Territories use funds awarded to them to provide reimbursement to operators of community and nontransient noncommunity public water systems serving 3,300 persons or fewer that are publicly- or privately-owned. Indian tribes and Alaska Native Villages may be eligible to receive funding through state programs if they are subject to state operator certification program requirements.

Credentials/Documentation:

To receive a grant, a State or territory enters into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the requirements set forth in Section 1419(d) of the 1996 SDWA Amendments. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to state grantees. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant (State and U.S. territory) should seek preapplication assistance from the appropriate EPA Regional Office as listed in Appendix IV of the Catalog. An environmental impact statement is not required prior to grant award. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application for EPA non-construction grant assistance (EPA Form SF-424) is submitted to the appropriate Regional Office. The state must receive EPA approval of its operator certification program before it can apply for and receive a grant. The state must provide the assurance in its application that it will comply with all applicable Federal cross-cutting authorities and Federal statutes. EPA grant regulations (40 CFR Part 31) apply to states receiving grants. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

A grant application is reviewed by the appropriate Regional Office, and if

approved, the grant is awarded by the Regional Administrator under a delegation of authority (Delegation of Authority 9-68) from the Administrator of EPA. EPA Headquarters retains the authority to review certain applications or parts thereof.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approval time averages 45 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 66 FR 19939.

Matching Requirements: The Regional Administrator may award expense reimbursement grants from funds appropriated for this purpose. Allotments to the States and U.S. territories are based on a formula, approved by the Assistant Administrator for Water, that allocates the funds based on several factors, including the number of operators of small systems within a State. The allocation methodology was published in the Federal Register on April 18, 2001 (66 FR 19939). There are no matching requirements on the initial grant award. However, if a state reimburses all eligible costs and chooses to use the remaining funds for purposes eligible under the DWSRF program (CFDA 66.468), it will be required to provide a 20 percent match on the remaining amount. The allotment of funds to the States is determined by a formula that reflects the costs to train and certify operators of system serving 3,300 persons or fewer in each state.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

During the two year reimbursement period, 54 awards were made to states for reimbursement of certification and training cost for drinking water operators of small water systems serving populations of 3,300 or less. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records and other records which substantiate the reasonable and allocable charges must be available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status reports. If questions arise, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Although the program did not receive funding in FY09 or FY10, activities are still being conducted under this CFDA using previous years' funding.

Range and Average of Financial Assistance:

\$14,420 to \$9,642,860/fiscal year; \$4,828,640/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: All states, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds for this program to date. In FY 09, awarded funds were used to reimburse operators of community and non-transient non-community water systems serving 3,300 persons or fewer for the costs of certification (initial certification fees, certification renewal fees, exam fees) and training (training course fees and materials). Fiscal Year 2010: In FY 10, awarded funds will be used to reimburse operators of community and non-transient non-community water systems serving 3,300 persons or fewer for the costs of certification (initial certification fees, certification renewal fees, exam fees) and training (training course fees and materials). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants for assistance should review 40 CFR Part 31, the General Grant Regulations and Procedures and guidance produced by the Headquarters drinking water program office.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog, or online.

Headquarters Office:

Meghan Klasic, Protection Branch (4606M), Drinking Water Protection Division, Office of Groundwater and Drinking Water, Environmental Protection Agency, Washington, District of Columbia 20460 Email: klasic.meghan@epa.gov Phone: (202) 564-8221.

Website Address:

<http://www.epa.gov/safewater/operatorcertification/>

RELATED PROGRAMS:

66.468 Capitalization Grants for Drinking Water State Revolving Funds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: All States, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds for this program. Funds for this grants program were used to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification. Examples of eligible reimbursement costs included: initial certification fees, certification renewal fees, examination fees, costs of training courses, and mileage reimbursements. Fiscal Year 2010: All States, Puerto Rico, the Commonwealth of the Northern Mariana Islands, and Guam have received grant funds for this program. Funds for this grants program are being used to reimburse operators of community and nontransient noncommunity water systems serving 3,300 persons or fewer for the costs of training and certification. Examples of eligible reimbursement costs included: initial certification fees, certification renewal fees, examination fees, costs of training courses, and mileage reimbursements. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.472 BEACH MONITORING AND NOTIFICATION PROGRAM IMPLEMENTATION GRANTS

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Beaches Environmental Assessment and Coastal Health Act of 2000, Public Law 106-284; Clean Water Act, Section 406.

OBJECTIVES:

To assist Coastal and Great Lakes States and Tribes eligible under Section 518(e) of the Clean Water Act, as amended, in developing and implementing

programs for monitoring and notification for coastal recreation waters adjacent to beaches or similar points of access that are used by the public. Funding Priority - Fiscal Year 2010: EPA's funding priority is to award grants to those applicants whose proposals clearly demonstrate a State's, Tribe's, or local government's ability to monitor recreational waters; notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

These grants are intended to support the development and implementation of recreational water monitoring and notification programs or support enhancement of an existing program. EPA encourages grantees to use implementation grant funds to test various approaches for meeting BEACH Act program requirements. For development grants, programs must clearly demonstrate an increase in a State's, Tribe's, or local government's ability to monitor recreational waters and notify the public of risks; manage programs; and communicate among environmental and public health agencies and the public. To be eligible for implementation grants, programs must also demonstrate that they meet the program performance criteria listed in the National Beach Guidance and Required Performance Criteria for Grants (EPA-823-B-02-004). Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Coastal and Great Lakes States, territories (Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands), and Tribes eligible under Section 518(e) of the Clean Water Act, as amended. The Administrator may make a grant to a local government under this subsection for implementation of a monitoring and notification program only if, after the one-year period beginning on the date of publication of performance criteria under Section 406 (a)(1), the Administrator determines that the State is not implementing a program that meets the requirements of Section 406(a)(1), regardless of whether the State has received a grant under Section 406(a)(1). Interstate agencies and intertribal consortia are not eligible for Beach grants.

Beneficiary Eligibility:

States, U.S. territories, Federally recognized Indian Tribal Governments, environmental and public health agencies, and local governments involved in implementing monitoring and notification programs.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, Tribal, and local governments. Recipients must show compliance with 40 CFR Part 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination with the appropriate EPA Regional Office is encouraged. The standard application forms, as furnished by the Federal agency and required by OMB Circular No. A-102, must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Requests for application forms should be made to the appropriate EPA Regional Grants Management Office identified in Appendix IV of the Catalog. Completed applications should be sent to the appropriate Regional or Headquarters Contact. All proposals or work statements should be developed in response to the criteria identified in CRITERIA FOR SELECTING PROPOSALS. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA Regional Office, and if approved, are awarded by the Regional Administrator.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within five months of receipt of complete application.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals are subject to approval by the appropriate EPA Regional or Headquarters Contact. Please contact the appropriate EPA Regional or Headquarters Contact for guidance.

Formula and Matching Requirements:

Statutory Formula: Title Beaches Environmental Assessment and Coastal Health Act of 2000. EPA awards grants to all eligible States and territories who apply for funding based on an allocation formula that the Agency developed for allocating BEACH Act grant funds in 2002. The allocation formula uses three factors: (1) beach season length, (2) beach miles, and (3) beach use. This program has no matching requirements.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Beach grants are normally funded on a 12-month basis (yearly). However, EPA may negotiate the project period, grant continuations, and grant amendments with each applicant based on project requirements. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

Recipients must submit annual performance reports and financial reports as required in 40 CFR 31.40 and 31.41. The annual performance report explains changes to the beach monitoring and notification program during the grant year. It also describes how the grant funds were used to implement the program to meet the performance criteria listed in National Beach Guidance and Required Performance Criteria for Grants (EPA 823B02004). The annual performance report required under 40 CFR 31.40 is due no later than 90 days after the grant year ends. Recipients must also submit annual monitoring and notification reports required by the National Beach Guidance and Required Performance Criteria for Grants (EPA 823B02004). Sections 2.2.3 and 4.3 of the document contain the performance criterion requiring an annual monitoring report, and sections 2.2.8 and 5.4 contain the performance criterion requiring an annual notification report. This document can be found at <http://www.epa.gov/waterscience/beaches/grants/>. These reports, required to be submitted to EPA under CWA section 406(b)(3)(A) and the National Beach Guidance and Required Performance Criteria for Grants, include data collected as part of a monitoring and notification program. As a condition of award of an implementation grant, EPA requires that the monitoring report and the notification report for any beach season be submitted not later than January 31 of the year following the beach season. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$9,905,200; FY 10 est \$9,900,000; FY 11 est \$9,900,000

Range and Average of Financial Assistance:

States and territories: \$ 86,000 to \$531,000/fiscal year; \$280,000/fiscal year. In FY 10, EPA made \$100,000 available to eligible Tribes. In FY 10, there are two eligible Tribes.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, EPA awarded grants to all 35 coastal and Great Lakes States and territories and two eligible Tribes that qualified for the BEACH Act Grant program. Program benefits from funding in FY 09 include: development and implementation of recreational water quality monitoring protocol (sampling design, indicator organism); decreasing swimmer exposure by improving communication outreach and education to public on swimming advisories; establishing more efficient and timely management decision process for posting swimming advisories; and constructing databases to provide government and public access to data and information. Fiscal Year 2010: In FY 10, grants will continue to support the development and implementation of recreational water quality monitoring and notification programs or support enhancement of an existing program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Region I (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island) Matt Liebman, USEPA Region 1, One Congress St. Ste. 1110 CWQ, Boston, MA 02114-2023; Telephone: (617) 918-1626; FAX: (617) 918-1505; e-mail: liebman.matt@epa.gov

Region II (New Jersey, New York, Puerto Rico, U.S. Virgin Islands) Helen Grebe, USEPA Region 2, 2890 Woodbridge Ave. MS220, Edison, NJ 08837-3679; Telephone: (732) 321-6797; FAX: (732) 321-6616; e-mail: grebe.helen@epa.gov

Region III (Delaware, Maryland, Pennsylvania, Virginia) Denise Hakowski, USEPA Region 3, 1650 Arch Street 3WP30, Philadelphia, PA 19103-2029; Telephone: (215) 814-5726; FAX: (215) 814-2318; e-mail: hakowski.denise@epa.gov

Region IV (Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina) Joel Hansel, USEPA Region 4, 61 Forsyth St. 15th Floor, Atlanta, GA 30303-3415; Telephone: (404) 562-9274; FAX: (404) 562-9224; e-mail: hansel.joel@epa.gov

Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin); Holly

Wirick, USEPA Region 5, 77 West Jackson Blvd. WT-16J, Chicago, IL 60604-3507; Telephone: (312) 353-6704; FAX: (312) 886-0168; e-mail: wirick.holiday@epa.gov

Region VI (Louisiana, Texas) Mike Schaub, USEPA Region 6, 1445 Ross Ave. 6WQ-EW, Dallas, TX 75202-2733; Telephone: (214) 665-7314; FAX: (214) 665-6689; e-mail: schaub.mike@epa.gov

Region IX (American Samoa, Commonwealth of the Northern Mariana Islands, California, Guam, Hawaii) Terry Fleming, USEPA Region 9, 75 Hawthorne St. WTR-2, San Francisco, CA 94105; Telephone: (415) 744-1939; FAX: (415) 744-1078; e-mail: fleming.terrence@epa.gov

Region X (Alaska, Oregon, Washington) Rob Pedersen, USEPA Region 10, 120 Sixth Ave. OW-134, Seattle, WA 98101; Telephone: (206) 553-1646; FAX: (206) 553-0165; e-mail: pedersen.rob@epa.gov.

Headquarters Office:

Richard Healy, USEPA, 1200 Pennsylvania Ave. NW, (4305T), Washington, District of Columbia 20460 Email: healy.richard@epa.gov Phone: (202) 566-0405.

Website Address:

<http://www.epa.gov/waterscience/beaches>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Development and implementation of beach monitoring programs; development of databases and websites; purchase of lab equipment for water quality monitoring; construction and posting of signs on beaches; and purchase of vehicles for monitoring. Fiscal Year 2010: Development and implementation of beach monitoring programs; development of databases and websites; purchase of lab equipment for water quality monitoring; construction and posting of signs on beaches; and purchase of vehicles for monitoring. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

To be eligible for program implementation grants, applicants must meet program performance criteria outlined in National Beach Guidance and Required Performance Criteria for Grants (EPA-823-B-02-004). The performance criteria set forth implementation grant requirements for monitoring and assessing recreation waters and promptly notifying the public of exceedances of water quality for pathogens. As a condition of receipt of a program Implementation Grant under Section 406(b) of the Clean Water Act, a State or local government program for monitoring and notification under this section shall identify: (1) lists of coastal recreation waters in the State, including coastal recreation waters adjacent to beaches or similar points of access that are used by the public; (2) in the case of a State program for monitoring and notification, the process by which the State may delegate to local governments responsibility for implementing the monitoring and notification program; (3) the frequency and location of monitoring and assessment of coastal recreation waters based on: (a) the periods of recreational use of the waters; (b) the nature and extent of use during certain periods; (c) the proximity of the waters to known point sources and nonpoint sources of pollution; and (d) any effect of storm events on the waters; (4)(a) the methods to be used for detecting levels of pathogens and pathogen indicators that are harmful to human health; and (b) the assessment procedures for identifying short-term increases in pathogens and pathogen indicators that are harmful to human health in coastal recreation waters (including increases in relation to storm events); (5) measures for prompt communication of the occurrence, nature, location, pollutants involved, and extent of any exceeding of, or likelihood of exceeding, applicable water quality standards for pathogens and pathogen indicators to: (a) the Administrator, in such form as the Administrator determines to be appropriate; and (b) a designated official of a local government having jurisdiction over land adjoining the coastal recreation waters for which the failure to meet applicable standards is identified; (6) measures for the posting of signs at beaches or similar points of access, or functionally equivalent communication measures that are sufficient to give notice to the public that the coastal recreation waters are not meeting or are not

expected to meet applicable water quality standards for pathogens and pathogen indicators; and (7) measures that inform the public of the potential risks associated with water contact activities in the coastal recreation waters that do not meet applicable water quality standards.

66.473 DIRECT IMPLEMENTATION TRIBAL COOPERATIVE AGREEMENTS

(DITCA)

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

Consolidated Appropriations Act, 2006, Public Law 109-54.

OBJECTIVES:

Direct Implementation Tribal Cooperative Agreements (DITCAs) enable EPA to award cooperative agreements to federally recognized Indian tribes and eligible intertribal consortia to help carry out the Agency's function to implement directly, Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, notwithstanding the Federal Grant and Cooperative Agreement Act. DITCAs are an increasingly important avenue for EPA and the tribes to realize meaningful environmental protection in Indian Country. DITCAs enable EPA to partner with tribes help fulfill EPA's direct implementation authorities, yield environmental results, and assist the Agency in meeting its Strategic Plan goals and targets. DITCAs also provide tribes with the flexibility and opportunity by allowing tribes, through a workplan with EPA and under federal authority, to choose aspects of a program that address their tribal environmental needs and priorities, to determine the scope and pace of tribal involvement, and to build tribal capacity to implement environmental programs. Funding Priority - Fiscal Year 2010: The program provides another avenue for tribes and EPA to partner in implementing meaningful environmental protection in Indian Country. DITCAs provide tribes with the flexibility and opportunity to develop staff capacity to manage environmental programs, to address specific tribal environmental needs and priorities that are within EPA's authority for direct implementation, and to determine the scope and pace of tribal involvement. EPA retains final decision-making authority and ultimate responsibility for the environmental programs including all regulatory activities. EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: 1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or 2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. Because DITCAs can address a wide range of activities, and the need for implementation of these activities in Indian Country is great, the funding priority is for any eligible activity that addresses a tribal environmental need or priority, and yields meaningful environmental results for the tribe and the Agency.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

DITCAs assist Tribes in helping EPA directly implement Federal environmental programs required or authorized by law in the absence of an acceptable Tribal program, and may only be awarded to Tribes to assist the Administrator in directly implementing Federal environmental programs for Indian Tribes required or authorized by law. EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: 1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or 2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. There are several limitations on DITCA awards. Among them are: (1) The statutory authority for DITCA is found in appropriations acts. In the event the appropriation authority is extended by continuing resolution(s), the DITCA authority will also be extended. (2) The project period of the DITCA may extend beyond the period of the appropriations act under which it was created, but all funds must be awarded prior to the expiration of the appropriations act authorizing the DITCA. (3) DITCA funded personnel may not perform inherently Federal functions. (4) EPA personnel can provide assistance to DITCA representatives

based on the written DITCA work plan which may include daily direction. EPA cannot treat DITCA representatives as EPA employees by participating in hiring, disciplining, or firing decisions. (5) DITCA funded personnel cannot operate vehicles which are either owned or leased by the Federal government. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

DITCAs may be awarded to: (1) Federally Recognized Indian Tribal Government, and (2) intertribal consortia consistent with applicable provisions. In order for an intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 C.F.R. Part 35. See Notice of Guidance Issuance: Direct Implementation Tribal Cooperative Agreements (DITCAs) Guidance, 70 Fed. Reg. 1440 (2005). For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Government and intertribal consortia consistent with applicable provisions.

Credentials/Documentation:

The provisions of OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments" apply. In order for intertribal consortium to be eligible to receive cooperative agreements under this authority, an intertribal consortium should be consistent with the provisions in 40 CFR Part 35. For additional information see also the Notice of Guidance Issuance, 70 Fed. Reg. 1440 (2005). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The preapplication must be submitted to EPA for review. Successful applicants will be contacted by EPA and will be required to submit "Application for Federal Assistance," SF 424; "Budget Information: Non-Construction Programs," SF 424A, "Assurances-Non-Construction Programs, Certification Regarding Debarment, Suspension and Other Responsibility Matters," SF 424B; "Certification Regarding Lobbying;" "Pre-Award Compliance Review," EPA 4700-4; and other required forms to complete the application process. Eligible applicants should contact the appropriate Regional Office Contact identified in Appendix IV of the Catalog of information regarding preapplications. The forms are available at: <http://www.epa.gov/ogd/AppKit/application.htm>. Completed applications should be submitted to the appropriate Regional Office Contact identified in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA will review each application to determine the adequacy of the application in relation to EPA's grant regulations (40 CFR Part 31) and applicable program regulations and guidance. If the application is approved, EPA will award a cooperative agreement up to the reasonable and necessary cost of the approved work plan. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applications are generally approved and cooperative agreements awarded within 60 to 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally awards cooperative agreements for periods of 12 to 36 months. The term of the grant shall be determined at the time of award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA and Tribes will negotiate the frequency and content of performance and financial status reports. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 35, "Environmental Program Grants for Tribes." Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual Financial Status Report (SF-269). If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$1,160,542; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

EPA expects cooperative agreement amounts to range between \$10,000 and \$100,000/fiscal year; \$55,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Each EPA Regional Office works with their tribal partners to develop cooperative agreements. The number of DITCAs awarded on an annual basis depends on the amount of available funding from Program Offices. DITCAs have been awarded for the following activities - Establishing a tribal pesticide circuit rider program in a region to undertake core field program and enforcement activities in the pesticide program, such as inspections, training, compliance assistance across many tribes in that region, where a lack of such programs and authorities currently exists. Developing the capacity of Region 10 tribes to implement, educate, and support activities relating to air quality compliance assistance, education and public outreach, and pollution source inspection activities on their reservations, relating to any applicable tribal rules, as well as Region 10's Federal Air Rules for Reservations in ID, WA, and OR (FARR) and Title V. Assisting EPA in implementing the federal Lead-Based Paint Program under Toxic Substances Control Act (TSCA) on reservations in an EPA region. Specific tasks include lead-based paint abatement, renovation and remodeling and the sale and leasing of Pre-1978 housing occurring throughout Indian Country in that region. Assisting EPA in the implementation of activities in support of Public Water System Supervision (PWSS) drinking water activities in Indian Country. Conducting and completing inventories of Class V wells at two reservations under EPA's Underground Injection Control (UIC) Program. Class V UIC wells are the type of well most commonly found in Indian Country, and include shallow disposal systems such as dry wells, septic systems, and leach fields. The inventories require regulated facilities to comply with certain requirements to protect underground sources of drinking water. Fiscal Year 2010: The information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency and applicable EPA guidance. DITCA guidance is available at <http://www.epa.gov/indian/pdfs/ditca-guidance-2004.pdf>.

Regional or Local Office:

See Regional Agency Offices. EPA's Regional Indian Coordinators at <http://www.epa.gov/indian/contactinfo/regcontacts.htm>.

Headquarters Office:

Jeff Besougloff, American Indian Environmental Office (4104 M) EPA, Ariel Rios Building, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: besougloff.jeff@epa.gov Phone: (202) 564-0292.

Website Address:

<http://www.epa.gov/indian/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: EPA may award DITCAs to fund activities for environmental programs that meet either one of the following criteria: 1) federal programs under environmental laws that clearly require EPA to directly implement in the Tribal context; or 2) federal programs under environmental laws that in the state context EPA is required to directly implement in the absence of an acceptable state program. Examples of DITCAs activities include: water quality standards promulgation and review; implementation of the National Pollutant Discharge Elimination System permit program under the Clean Water Act; implementation plan development and the Title V permit program under the Clean Air Act; implementation of the Public Water System Supervision program and the Underground Injection Control program under the Safe Drinking Water Act; implementation of the Underground Storage Tank program and the Subtitle C permit program under the Resource Conservation

and Recovery Act; certification and training program for pesticide applicators under the Federal Insecticide, Fungicide, and Rodenticide Act; inventory of underground storage tanks; and creation and implementation of a smoke management plan under the Clean Air Act. Fiscal Year 2010: The information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Cooperative agreements may be awarded to Tribes which submit applications consistent with EPA regulations and guidance. Potential applicants should work with Regional contacts listed as "Information Contacts" identified in Appendix IV of the Catalog. EPA will work with Tribes and Intertribal Consortia to develop work plans consistent with program guidance and any regulations that govern the implementation of the relevant Federal environmental program.

66.474 WATER PROTECTION GRANTS TO THE STATES

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Consolidated Appropriations Resolution of 2003, Public Law 108-7; Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act, 2002, Public Law 107-117; Consolidated Appropriations Resolution of 2004, Public Law 108-199; Safe Drinking Water Act, Section 1442.

OBJECTIVES:

The objective of this grant program is to assist States, Territories, and possessions of the United States with critical water infrastructure protection. Funding Priority - Fiscal Year 2010: EPA's funding priority is to provide grant assistance to the States, Territories, and possessions of the United States to support technical assistance, training, and communication activities on critical water infrastructure protection efforts that include work with drinking water systems as well as with Federal, State, and local agencies. States, Territories, and possessions of the United States will be able to continue their efforts to coordinate critical water infrastructure protection activities with other homeland security responsibilities. Grant awards support Goal 2, "Clean and Safe Water," of EPA's 2006-2011 Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness; communicating vision, mission, and goals of the sector specific plan and the key features of an active and effective security program; helping to ensure security best practices for small systems; promoting outreach and education at small systems; promoting mutual aid compacts development; supporting the development of system redundancy, a national laboratory system and disaster mitigation plans; and developing and overseeing emergency response and recovery plans, including those related to pandemic flu concerns. No new appropriations are available for this program in FY 2010.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funded activities include providing: (1) technical assistance, training, or distribution of tools that would lead to satisfactory vulnerability assessments of drinking water systems; (2) technical assistance, training, or distribution of tools that would lead to drinking water systems creating, amending or updating emergency response plans to meet new requirements or security threats; (3) assistance to improve communications with or between drinking water systems and other agencies or organizations involved with security or emergency response; and (4) other activities focusing on training to enhance security and improving the readiness of individuals and groups involved in first response at drinking water systems. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf

of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to States, Tribes, Territories, and possessions of the United States.

Beneficiary Eligibility:

Water programs of States, Territories, and possessions of the United States.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Pre-application assistance is recommended and should be obtained from the Grants Management Office of the pertinent EPA Regional Office listed in Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms, furnished by EPA and required by OMB Circular No. A-102, must be used for this program. Requests for application kits may be directed to the Grants Management Office of the applicable EPA Regional Office listed in Appendix IV of the Catalog. Kits may also be obtained online at <http://www.epa.gov/ogd/AppKit/application.htm>. The completed application, including the final proposal, should be submitted to the appropriate EPA Regional Grants Management Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Application is reviewed by the appropriate EPA Regional Office and, if approved, is given by the Regional Administrator or designated Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title PWSS formula. As was the case in FY 02 through FY 08, for FY 09 a modification of the basic PWSS formula was chosen to allocate the funds, with each State, the District of Columbia, and Puerto Rico receiving a minimum of \$50,000 and each Territory at least \$16,700. This program has no matching requirements.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project/budget period on these grants may exceed one year. The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31,

as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$5,914,700; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Funds are awarded by each Regional Office. The range of financial assistance available to States varies according to program and fiscal year. Contact the Grants Management Office of the pertinent EPA Regional Office, listed in Appendix IV of the Catalog, to determine the amount for which applicants are eligible. The range and average of financial assistance provided is: FY 09 - \$16,700 to \$380,300/fiscal year; \$198,500/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, awards were made to 50 States, the District of Columbia, and 5 Territories. States and Territories developed materials (i.e., documents, training manuals, etc.) focused on improving security and emergency response, ensuring the quality of drinking water vulnerability assessments, and associated security enhancements. Additionally, States and Territories conducted a number of trainings, workshops, and/or conferences to improve infrastructure protection, increase preparedness, promote mutual aid compacts and/or provide information to drinking water utilities. Significant action has been accomplished in helping to support and establish mutual aid compacts, including providing technical support and training exercises related to these agreements. Outcomes included changed management and operation of drinking water utilities based on training and current vulnerability assessments of the utilities; and establishment and improvement of emergency response coordination and communications. Fiscal Year 2010: In FY 10, States and Territories will continue to provide similar security activities to achieve the overall outcome of improving critical water infrastructure protection with an emphasis on smaller systems, mutual aid compacts between systems and States, and better understanding and application of an active and effective security system. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31, General Regulation for Assistance Programs; EPA Annual Program Guidance provided to States.

Regional or Local Office:

See Regional Agency Offices. Contact the Grants Management Office of the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nancy Muzzy, Water Security Division, EPA, (Mail Code 140), 26 W. Martin Luther King Drive, Cincinnati, Ohio 45268 Email: muzzy.nancy@epa.gov Phone: (513) 569-7864.

Website Address:

<http://cfpub.epa.gov/safewater/watersecurity/financeassist.cfm>

RELATED PROGRAMS:

66.432 State Public Water System Supervision

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: EPA has supported the following types of drinking water security activities: (1) improving communications to drinking water systems by updating drinking water system contact information and utilizing a mass faxing system; (2) providing onsite assistance for small drinking water systems on security issues; (3) developing and mailing security information kits to drinking water systems; (4) maintaining a duty officer program and toll free number to enable operators to reach state drinking water agency after hours; (5) assisting in the formation of neighborhood watch programs to increase citizen awareness of drinking water security; (6) developing guidance for water utilities to use alternative water supplies; (7) incorporating security considerations into state design standards and State Revolving Fund (SRF) criteria; (8) creating websites to provide easy access to state drinking water security information; (9) designing and conducting on-line training, videos, and materials for the law enforcement community to outline water utility security basics; (10) conducting emergency response exercises and drills; (11) creating ready-to-go complete sampling kits to eliminate delays in obtaining bottles and preparing expedited sampling and transport protocols in preparation for emergency water supply collection needs; (12) assisting in preparation of state plans to implement measures for safe drinking water under emergency circumstances; and (13) facilitating mutual aid compacts in instances of emergency need, between states and water systems. Fiscal Year 2010: EPA has supported the following types of drinking water security activities: (1) improving communications to drinking water systems by updating drinking water system contact information and utilizing a mass faxing system; (2) providing onsite assistance for small drinking water systems on security issues; (3) developing and mailing security information kits to drinking water systems; (4) maintaining a duty officer program and toll free number to enable operators to reach state drinking water agency after hours; (5) assisting in the formation of neighborhood watch programs to increase citizen awareness of drinking water security; (6) developing guidance for water utilities to use alternative water supplies; (7) incorporating security considerations into state design standards and State Revolving Fund (SRF) criteria; (8) creating websites to provide easy access to state drinking water security information; (9) designing and conducting on-line training, videos, and materials for the law enforcement community to outline water utility security basics; (10) conducting emergency response exercises and drills; (11) creating ready-to-go complete sampling kits to eliminate delays in obtaining bottles and preparing expedited sampling and transport protocols in preparation for emergency water supply collection needs; (12) assisting in preparation of state plans to implement measures for safe drinking water under emergency circumstances; and (13) facilitating mutual aid compacts in instances of emergency need, between states and water systems. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals are approved by EPA according to technical merit and relevance, and availability of funds.

66.475 GULF OF MEXICO PROGRAM

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), 33 U.S.C 1254.

OBJECTIVES:

(1) To assist States, Indian Tribes, interstate agencies, and other public or nonprofit organizations in developing, implementing, and demonstrating innovative approaches relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution; and (2) To expand and strengthen cooperative efforts to restore and protect the health and productivity of the Gulf of Mexico in ways consistent with the economic well-being of the region. Funding Priority - Fiscal Year 2010: Projects that will deliver significant results to achieve the environmental outcomes of improved health of the Gulf of Mexico by addressing water quality with an emphasis on the following: healthy

beaches and shellfish beds; habitat conservation and restoration; increased awareness/stewardship of the Gulf of Mexico; ecosystems integration and assessment; reduced nutrient inputs to sustain productive Gulf aquatic ecosystems; and coastal community resilience. Projects must actively involve stakeholders and focus on short-term support and implementation of the actions and activities in the Gulf of Mexico Alliance Governors' Action Plan II for Healthy and Resilient Coasts.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Section 104 (b)(3) of the Clean Water Act, 33 U.S.C. Section 1254 (b)(3) authorizes the awarding of assistance for programs established under the Clean Water Act. Assistance will be targeted to States, Tribes, interstate agencies, and other public or nonprofit organizations that commit to specific activities. Eligible activities should be of a relatively short time frame (6 months to 3 years) and all projects should achieve and demonstrate results. Assistance agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, research, and demonstrations plus allowable indirect costs, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Funds are available to State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, interstate agencies, Tribes, colleges and universities, and other public or nonprofit organizations.

Credentials/Documentation:

Compliance with 40 CFR Parts 30 and 31 and with Program Funding Guidance. Costs will be determined in accordance with OMB Circular No. A-122 for nonprofit organizations, and OMB No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants should follow the proposal format and instructions provided in the Funding Announcement. The proposal should be transmitted electronically to the email address and by the date and time published in the Funding Announcement. Applicants may be able to use

<http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90-120 days following the application deadline as addressed in the competitive funding announcement.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the Gulf of Mexico Program Office for information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance shall be determined at time of award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$1,759,000; FY 10 est \$3,454,000; FY 11 est \$1,850,000

Range and Average of Financial Assistance:

\$36,000 to \$400,000/fiscal year; \$149,460/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 91 proposals were received in response to a competition to support projects to accomplish short-term actions toward implementation of the Gulf of Mexico Alliance Governors' Action Plan for Healthy and Resilient Coasts and 13 assistance agreements were awarded. Accomplishments include: dissemination and evaluation of a Coastal Community Resilience Index; development of a StormSmart Coast Network and creation of a Homeowner's Handbook to prepare for natural hazards; support to further the knowledge of living marine resources status in the Gulf of Mexico and coastal observing systems; building cultural competency in experiential environmental education; providing enhanced public awareness and understanding of the Gulf Alliance priority issues through exhibitry; assessment and prioritization of Gulf ecosystems affected by alterations in freshwater inflow; development of a pilot nutrient criteria for an estuary in the Western Gulf of Mexico; sources, fate, transport and effects of nutrients on downstream ecological processes in the Galveston Bay estuary; binational training workshops on harmful algal blooms in support of HABSOS; achieving Gulf-wide data comparability through round robins; and assessment of mercury risks and selenium. Fiscal Year 2010: In FY 10, it is anticipated that 50 proposals will be received in response to a competition to continue support for projects to accomplish implementation of the Gulf of Mexico Alliance Governors' Action Plan II. It is estimated that approximately 10-50 assistance agreements will be awarded. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For assistance agreements with States, local governments, and Indian tribal governments, the procedures and requirements should be in conformance with 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102, "Grants and Cooperative Agreements for States and Local Governments." For assistance agreements with other entities the procedures should be in conformance with 40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations."

Regional or Local Office:

See Regional Agency Offices. Contact the EPA Region 4 Office listed in Appendix IV of the Catalog for information on the grants administration process. Local Office: EPA/Gulf of Mexico Program Office, Mail Code EPA/GMPO, Stennis Space Center, MS 39529, Attention: Esther Coblentz. Telephone: (228) 688-1281. Fax: (228) 688-2709. E-Mail: coblentz.esther@epa.gov.

Headquarters Office:

Esther Coblentz, EPA/Gulf of Mexico Program Office, Mail Code EPA/GMPO, Stennis Space Center, Mississippi 39529 Email: coblentz.esther@epa.gov Phone: 228-688-1281.

Website Address:

<http://www.epa.gov/gmpo/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;
66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Water quality modeling support, wetlands resource management baseline, harmful algal bloom information exchange, seagrass restoration, nutrient control/monitoring of eutrophication, stormwater biofilter demonstration, marshland upwelling system, point source locations, wastewater treatment feasibility study, nutrient modeling, waterbird rookery management, outreach and communications strategy targeted at high-risk consumers of shellfish, invasive species education exhibit, inventory of marine science research, assessment of introduction of nonindigenous aquatic species from ballast water, septic tank maintenance, response plan for harmful algal blooms, assessment of groundwater discharge and sewage impacts, reducing onsite sewage treatment system impacts, nutrient flux from sediments, monitoring and assessment of stormwater diversion, assessment status of invasive species, bacterial source tracking, smart growth planning, wetland inventory, acute

pollution event response plan, synoptic survey of total mercury in recreational finfish, ecological assessment and calibration of water quality, mercury levels in harvested fishes, remote sensing of harmful algal blooms, shoreline protection, hydrological modifications impact study. Fiscal Year 2010: Water quality modeling support, wetlands resource management baseline, harmful algal bloom information exchange, seagrass restoration, nutrient control/monitoring of eutrophication, stormwater biofilter demonstration, marshland upwelling system, point source locations, wastewater treatment feasibility study, nutrient modeling, waterbird rookery management, outreach and communications strategy targeted at high-risk consumers of shellfish, invasive species education exhibit, inventory of marine science research, assessment of introduction of nonindigenous aquatic species from ballast water, septic tank maintenance, response plan for harmful algal blooms, assessment of groundwater discharge and sewage impacts, reducing onsite sewage treatment system impacts, nutrient flux from sediments, monitoring and assessment of stormwater diversion, assessment status of invasive species, bacterial source tracking, smart growth planning, wetland inventory, acute pollution event response plan, synoptic survey of total mercury in recreational finfish, ecological assessment and calibration of water quality, mercury levels in harvested fishes, remote sensing of harmful algal blooms, shoreline protection, hydrological modifications impact study. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Projects will be selected based on the criteria and ranking factors established in the GMPO Competitive Funding Announcement. Leveraged funds and resources will be considered during the evaluation scoring process.

66.478 WATER SECURITY TRAINING AND TECHNICAL ASSISTANCE AND WATER SECURITY INITIATIVE CONTAMINATION WARNING SYSTEM PILOTS**FEDERAL AGENCY:**

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Department of Defense and Emergency Supplemental Appropriations for Recovery from and Response to Terrorist Attacks on the United States Act of 2002, Public Law 107-117; Safe Drinking Water Act, Section 1433&1434; The Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Title 4, Public Law 107-188.

OBJECTIVES:

The objective of these grant programs are (1) for financial assistance that is awarded under SDWA Section 1433, to improve water infrastructure security through both training and technical assistance for water utilities and (2) for cooperative agreements that are awarded under SDWA Section 1434, to address the risk of intentional contamination of drinking water distribution systems. Funding Priority - Fiscal Year 2010: For Section 1433 financial assistance for Fiscal Year 2010, EPA's funding priority is to provide assistance to eligible non-profit organizations that provide training, technical support or other assistance to water utilities. Specifically, EPA is seeking to utilize the ability of non-profit organizations to provide water security technical support and training. For Section 1434 cooperative agreements for Fiscal Year 2010, EPA's funding priority is to provide assistance to community water systems for drinking water contamination warning system pilot projects. Financial assistance awarded under both of these programs support Goal 2, Clean and Safe Water, of EPA's 2006 - 2011 Strategic Plan. Specifically, awards will enhance public health protection by securing critical infrastructure through support for counter-terrorism preparedness.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funded activities for financial assistance awarded under Section 1433 of the SDWA include: (1) training to gain competence and expertise in the process of developing or completing vulnerability assessments; (2) training to gain competence and expertise in the process of developing, amending, or updating emergency response plans; (3) training to gain competence and expertise in

various security enhancements; and (4) providing competent training and technical assistance in the aforementioned areas to help states, tribes, and local authorities in their water infrastructure security efforts. Funded activities for cooperative agreements awarded under Section 1434 of the SDWA may include: (1) demonstration of contamination and warning system monitoring and surveillance components; (2) contamination warning system consequence management plan; and (3) evaluation and transfer of results. Specific uses and restrictions on funds will be described in detail in the competitive announcements for each program. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Financial assistance awarded under Section 1433 of the SDWA is available to public non-profit institutions/organizations and private non-profit institution/organizations, capable of providing training, technical support and/or assistance to drinking water systems. Cooperative agreements awarded under Section 1434 of the SDWA will be limited to local governments or institutions (either public or private nonprofit organizations) that operate community water systems (as defined in 40 C.F.R. 141.2). Note that 501(c)(4) non-profit organizations that lobby are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

For financial assistance awarded under both Sections 1433 and 1434 of the SDWA, the beneficiaries are the drinking water systems, the water programs of the State or Tribe, and the public which will benefit from these programs through safer and more secure drinking water.

Credentials/Documentation:

EPA may request that applicants demonstrate their eligibility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program also does not require an environmental impact statement or assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit an "Application for Federal Assistance," SF 424, "Budget Information: Non-Construction Programs," SF 424A, "Assurances: Non-Construction Programs," SF 424B, "Certification Regarding Debarment, Suspension and Other Responsibility Matters," "Certification Regarding Lobbying," "Pre-Award Compliance Review Report," EPA 4700-4, and other required forms to complete the application process. Application kits should be requested from the U.S. Environmental Protection Agency, Grants and Interagency Agreements Management Division, Mail Code 3903R, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of the Catalog. Detailed information and assistance, including an application kit, required forms, and a check list, may also be found at <http://www.epa.gov/ogd/AppKit/index.htm>. Applicants may be able to use

<http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Applications should be submitted in accordance with the procedures stated in the competitive announcement.

Award Procedure:

Applications are reviewed by EPA, where subsequent grant awards are made to successful applicants. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 days after deadline for application submittal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Any continuation of funding will be described in the terms of the assistance agreement.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304; 68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$11,500,000; FY 10 est \$7,500,000; FY 11 est \$0 - For financial assistance awarded under Section 1433 of the SDWA, FY 09 \$0; FY 10 est. \$0; and FY 11 est. \$0. For cooperative agreements awarded under Section 1434 of the SDWA, FY 09 \$11,500,000; FY 10 est. \$7,500,000; and FY 11 est. \$0.

Range and Average of Financial Assistance:

For financial assistance awarded under Section 1433 of the SDWA, no funding was provided in FY 09 and none is anticipated for FY 10. For cooperative agreements awarded under Section 1434 of the SDWA, the estimated range is \$3,000,000 to \$12,000,000 per agreement. For FY 09, the actual range was a low of \$2,000,000 and a high of \$11,999,999, for an average of \$6,999,999.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Competitions are held at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. In FY 09, for Section 1433 awards, no competitive announcements were issued and no new awards were made. For Section 1434 awards, this was a financial assistance pilot program. In FY 07, EPA issued a competitive announcement to award up to four cooperative agreements to support drinking water contamination warning system demonstration pilots. These pilots are part of the Agency's Water Security Initiative, which seeks to develop a warning system that achieves timely detection of and appropriate response to drinking water contamination incidents. The pilots include specified monitoring and surveillance components, a consequence management plan, and an evaluation plan. The anticipated outcome is that these pilots will generate information that allows community water systems nationally to practice effective techniques to detect and respond to possible contamination events. Drinking water consumers will experience a reduction in risk associated with drinking water contamination and improved water quality. In FY 08 and FY 09, cooperative agreements were awarded to four pilots with the expectation that the project will demonstrate and review the effectiveness of drinking water contamination warning systems for community water systems, through reviewing multiple monitoring and surveillance components designed to provide timely detection of contamination in the drinking water distribution systems. Fiscal Year 2010: Competitions are held at Headquarters and in the Regions based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. Currently for FY 10, EPA has no funds available; however, should funding become available, projects that will improve the security of drinking water systems will be the focus of the awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Safe Drinking Water Act, Sections 1433 and 1434; "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-profit Organizations," 40 CFR Part 30, and. "Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments," 40 CFR Part 31.

Regional or Local Office:

See Regional Agency Offices. Contact the Grants Management Office of the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nancy Muzzy, EPA, Water Security Division, Mail Code 140, 26 W. Martin Luther King Drive, Cincinnati, Ohio 45268 Email: muzzy.nancy@epa.gov Phone: 513-569-7864.

Website Address:

<http://cfpub.epa.gov/safewater/watersecurity/>

RELATED PROGRAMS:

66.474 Water Protection Grants to the States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: EPA has supported the following types of projects under financial assistance awarded under Section 1433 of the SDWA: 1. A private non-profit organization obtains training for approximately 120 of its members to become security trainers who will assist state and local agencies with drinking water security; 2. A private non-profit organization obtains training on

the use of vulnerability assessment software and provides additional training for non-profit groups and state, tribal, and local agencies; 3. A private non-profit organization provides security training on the completion of vulnerability assessments and emergency response plans to drinking water systems serving populations between 50,000-100,000 people; 4. A private non-profit organization provides follow-up technical support to drinking water systems serving populations between 50,000-100,000 people on the completion of vulnerability assessments and emergency response plans; and 5. A private non-profit organization provides direct technical assistance to drinking water systems nationwide serving populations between 3,300 and 10,000 people for the completion of vulnerability assessments and emergency response plans. In FY 09, no funding was provided for projects to be awarded under Section 1433 of the SDWA. For cooperative agreements to be awarded under Section 1434 of the SDWA, EPA funded four contamination warning system demonstration pilots (i.e., designing, installing, and operating) that include monitoring and surveillance components of the Water Security design, a consequence management plan, and an evaluation plan. Fiscal Year 2010: In FY 10, no funding is anticipated for projects to be awarded under Section 1433 of the SDWA. For cooperative agreements awarded under Section 1434 of the SDWA, activities continue to be conducted under four contamination warning system demonstration pilots (i.e., designing, installing, and operating) that include monitoring and surveillance components of the Water Security design, a consequence management plan, and an evaluation plan. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.479 WETLAND PROGRAM GRANTS - STATE/TRIBAL ENVIRONMENTAL OUTCOME WETLAND DEMONSTRATION PROGRAM

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3), Public Law 92-500, 33 U.S.C 1254(b)(3).

OBJECTIVES:

To assist State and Tribal government agencies protect, manage, and restore wetlands. Funding Priority - Fiscal Year 2010: This one-time pilot program, funded in FY 05 through FY 07, provided grants for states and tribes to implement regulatory and nonregulatory activities to assess whether financial assistance for wetland program implementation yields positive environmental outcomes. Grantees annually report the programmatic and environmental outcomes resulting from their grants. This program represents a one-time pilot effort; EPA does not plan on funding any new projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State/Tribal Environmental Outcome Wetland Demonstration Grants provide eligible applicants an opportunity to conduct projects that promote the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution. This pilot program will demonstrate that supporting the implementation of State and Tribal wetland programs will have positive environmental results and will support the no net loss/net gain of wetland acreage goal and support increased involvement of State and Tribal protection of vulnerable waters. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal

Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States and Tribal wetland agencies are eligible for the State/Tribal Environmental Outcome Wetland Demonstration Program competition pilot. Local agencies and non-for-profits are not eligible for participation. Tribes must be federally recognized, although "Treatment as a State" status is not a requirement. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and tribal governments involved in administering wetlands protection, restoration, and/or management programs or programs related to or complementing wetlands protection programs.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State, Tribal, and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Information is available on the EPA website at: <http://www.epa.gov/owow/wetlands/grantpilot/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

The EPA Headquarters (HQ) Office established criteria against which State and Tribal wetland programs were evaluated and ranked. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants are usually approved within 120 days of receipt of completed application.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. State and Tribal government agencies must provide a minimum of 25 percent of each award's total project costs in accordance with 40 CFR 31.24, 35.385, and

35.615. We encourage States and Tribes to provide a larger share of the project's cost whenever possible (i.e., in excess of the required 25 percent of total project costs). 40 CFR 35.536(c) (the Environmental Program Grants for Tribes Regulation), States that "the required cost share shall be five percent of the allowable cost of the work plan budget for that program" if the Tribal applicant puts the funds into a PPG. Tribal applicants can submit budgets with a 5 percent match if the Tribe is going to put the funds into a PPG. The following term and condition will be included in the assistance agreement awarded to the Tribe: If the Wetlands Program Grant Funds are not or could not be included in a PPG, then the Tribe must provide a 25 percent match. The match requirement can be met with contributions from entities other than the award recipient. Other Federal money cannot be used as the match for this grant program unless authorized by the statute governing the award of the other Federal funds. However, Indian tribes can use funds provided under the Indian Self-Determination and Education Act (25 USC 450 et seq.) to provide the required matching funds to the extent authorized by that Act and implementing regulations. Matching funds are considered grant funds. They may be used for the reasonable and necessary expenses of carrying out the work plan. Any restrictions on the use of grant funds (i.e., prohibition of land acquisition with grant funds) also apply to the use of matching funds. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

\$200,000 to \$300,000/fiscal year; \$250,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funding is for projects designed to investigate and demonstrate whether wetland program implementation results in positive environmental and programmatic outcomes. This is a one-time pilot grant program. In FY 05, EPA received 51 proposals in response to the competitive announcement released November 5, 2004. EPA awarded 26 one-time pilot

grants to 22 states and one tribe. Projects include: activities to track no net loss of wetland acres in the 404 regulatory program and net gain in wetland acres and functions; monitoring and assessment; compliance inspections and enforcement of state regulatory programs; state permit reviews; inventory and mapping of wetland resources; compensatory mitigation; and development of rules, policies, and procedures to restore and protect vulnerable wetlands. At the end of each year of funding, projects report the outcomes resulting from activities funded by the pilot grants. To date, pilot grant recipients have submitted approximately twenty annual reports and the final report in FY 09. The following represents a sample of results reported to date; these numbers will increase when the pilot projects are complete: -Restoration: 3 grantees have restored 183 wetland acres and 1,542 stream feet; - Inventory and Mapping: 8 grantees have inventoried or mapped 6.7 million acres of wetland resources and surrounding lands; -Data Systems: Because of updated and reconfigured data tracking systems, 44 more programs, agencies, and organizations in 9 states are using wetland data systems; - Monitoring and Assessment: 5 grantees have monitored and/or assessed almost 724,000 wetland acres; and -Regulation: 8 grantees have adopted and implemented 3 new rules and 12 policies or procedures to better protect wetlands resources through state programs. Fiscal Year 2010: Funding is for projects designed to investigate and demonstrate whether wetland program implementation results in positive environmental and programmatic outcomes. This is a one-time pilot grant program. Projects include: activities to track no net loss of wetland acres in the 404 regulatory program and net gain in wetland acres and functions; monitoring and assessment; compliance inspections and enforcement of state regulatory programs; state permit reviews; inventory and mapping of wetland resources; compensatory mitigation; and development of rules, policies, and procedures to restore and protect vulnerable wetlands. At the end of each year of funding, projects report the outcomes resulting from activities funded by the pilot grants. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations, and Procedures (40 CFR Parts 31 and 35).

Regional or Local Office:

See Regional Agency Offices. Region 1 - CT, ME, MA, NH, RI, VT: Jeanne Voorhees, US EPA Region 1, 1 Congress Street, MC CSP, Suite 1100, Boston, MA, 02114. Phone: 617-918-1686. voorhees.jeanne@epa.gov. Region 2 - NJ, NY, PR, VI: Kathleen Drake, US EPA Region 2, 290 Broadway, NY, NY, 10007. Phone: 212-637-3817. drake.kathleen@epa.gov. Region 3 - DE, MD, PA, VA, WV, DC: Anita Provenzano, US EPA Region 3, 1650 Arch Street, MC 3EA30, Philadelphia, PA, 19103. Phone: 215-814-5180. provenzano.anita@epa.gov. Region 4 - AL, FL, GA, KY, MS, NC, SC, TN: Morgan Jackson and Rhonda Evans, US EPA Region 4, 61 Forsyth Street, SW, Atlanta, GA, 30303. Phone: 404-562-9393 (M. Jackson); 404-562-9369 (R. Evans). jackson.morgan@epa.gov; evans.rhonda@epa.gov. Region 5 - IL, IN, MI, MN, OH, WI: Sue Elston, US EPA Region 5, 77 West Jackson Blvd., MC WW16J, Chicago, IL, 60604. Phone: 312-886-6115. elston.sue@epa.gov. Region 6 - AR, LA, NM, OK, TX: Sondra McDonald, US EPA Region 6, 1445 Ross Avenue, MC 6WQ-AT, Dallas, TX, 75202. Phone: 214-665-7187. mcdonald.sondra@epa.gov. Region 8 - CO, MT, ND, SD, UT, WY: Toney Ott, US EPA Region 8, 1595 Wynkoop Street, 8EPR-EP, Denver, CO, 80202. Phone: 303-312-6909. ott.toney@epa.gov. Region 9 - AZ, CA, HI, NV, AS, GU: Suzanne Marr and Paul Jones, US EPA Region 9, 75 Hawthorne Street, WTR-3, San Francisco, CA 94105. Phone: 415-972-3468 (S. Marr); 415-972-3470 (P. Jones). marr.suzanne@epa.gov; jones.paul@epa.gov. Region 10 - AK, ID, OR, WA: Yvonne Vallette, US EPA Region 10, Oregon Operations Office, 811 S.W. 6th Ave, 3rd Floor, OOO, Portland, OR, 97204. Phone: 503-326-2716. vallette.yvonne@epa.gov.

Headquarters Office:

Romell Nandi, Wetlands Division (4502T), Office of Oceans, Wetlands, and Watersheds, Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: nandi.romell@epa.gov Phone: (202) 566-1203.

Website Address:

<http://www.epa.gov/owow/wetlands/grantpilot/>

RELATED PROGRAMS:

66.419 Water Pollution Control State, Interstate, and Tribal Program Support;

66.454 Water Quality Management Planning; 66.456 National Estuary Program; 66.458 Capitalization Grants for Clean Water State Revolving Funds; 66.461 Regional Wetland Program Development Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: This is a one-time pilot grant program. Examples of funded projects include: monitoring/assessment for vernal wetlands, enforcement activities, state permit review, mapping, program assessments, compensatory mitigation assessment and tracking, and protection of vulnerable wetlands. Fiscal Year 2010: This is a one-time pilot grant program. Examples of funded projects include: monitoring/assessment for vernal wetlands, enforcement activities, state permit review, mapping, program assessments, compensatory mitigation assessment and tracking, and protection of vulnerable wetlands. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Decisions of which States and Tribes will receive funding under this pilot will be determined by how the State or Tribe's program rank using established criteria and factors. These criteria will be published on the HQ Office's website (www.epa.gov/owow/wetlands/grantpilot). States and Tribes will be evaluated and ranked according to these criteria and factors. Funding under this pilot program will be provided to the States and Tribes that rank the highest.

66.480 ASSESSMENT AND WATERSHED PROTECTION PROGRAM GRANTS

(AWPPG)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104(b)(3).

OBJECTIVES:

To support the coordination and acceleration of research, investigations, experiments, training, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, reduction, and elimination of water pollution. The two main goals of the Assessment and Watershed Protection Program Grants (AWPPGs) include supporting a watershed approach to better address water quality problems in the U.S. and building the capacity of all levels of government to develop and implement effective, comprehensive programs for watershed protection, restoration, and management. Fiscal Year 2010: Funding under this program area may include: Watershed/Total Maximum Daily Load (TMDL) Program - (1) Assessment and TMDL Workshops; (2) Impaired Waters Recovery and Results Analysis; (3) Tools and Products Training for the Watershed Approach; and (4) Tools, Incentives and Trainings that Foster Financing of Water Quality, Habitat Restoration/Protection on a Watershed Scale. Nonpoint Source Program - (1) National Conference and Outreach Program to Promote Clean Lakes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incidental to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Specific uses and restrictions on funds will be described in detail in the competitive announcements.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to States and local governments, Federally recognized Indian Tribes, territories and possessions of the U.S. (including the District of Columbia), interstate associations or intertribal consortia, public or private nonprofit, nongovernmental institutions, and individuals. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States and local governments, Federally recognized Indian Tribes, territories and possessions of the U.S. (including the District of Columbia), interstate associations or intertribal consortia, public or private nonprofit, nongovernmental institutions, and individuals.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Costs will be determined in accordance with OMB Circular no. A-21 for educational institutions, OMB Circular Nos. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting research, investigations, experiments, training, demonstrations, surveys, and studies and based on competitive applications submitted in response to EPA solicitations. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by the Federal agency and required by OMB Circular Nos. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), 1200 Pennsylvania Ave., NW, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. The Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal

Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for water surveys, studies, demonstrations, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, availability of funds, and Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the grant shall be determined at the time of grant award. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31, as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$750,000; FY 10 est \$1,100,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$10,000 to \$150,000/fiscal year; \$75,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For FY 09, five awards were made under a Request for Proposals. Project outputs included workshops on innovative water quality assessment and modeling techniques, training handbook(s) on low impact development practices, case studies on agricultural drainage practices, and lake restoration outreach activities. Fiscal Year 2010: Competitions are held at Headquarters based on available funds and Agency priorities. The number of applications and the number of awards made is dependent on the competitive announcement issued. The announcement will include the number of anticipated awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, Studies, and Investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Tim Icke, EPA Office of Wetlands, Oceans and Watersheds, Assessment and Watershed Protection Division, 1200 Pennsylvania Ave., NW (MC 4501T), Washington, District of Columbia 20460 Email: ické.tim@epa.gov Phone: (202) 566-1211.

Website Address:

<http://www.epa.gov/owow/funding.html>

RELATED PROGRAMS:

66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: EPA awards grants to support the following types of watershed planning activities: workshops on innovative TMDL water quality assessment; workshops on innovative TMDL modeling techniques; training handbook(s) on low impact development; demonstration studies on new techniques to control agricultural drainage; and lake restoration outreach activities. Fiscal Year 2010: EPA anticipates awarding grants to support the following types of watershed planning activities: workshops on innovative TMDL water quality assessment; workshops on innovative TMDL modeling techniques; training handbook(s) on low impact development; demonstration studies on new techniques to control agricultural drainage; and lake restoration outreach activities. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.481 LAKE CHAMPLAIN BASIN PROGRAM

(Lake Champlain Program)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 120, Public Law 107-303.

OBJECTIVES:

To implement the Lake Champlain Basin Management Plan Opportunities for Action: An Evolving Plan for the Future of the Lake Champlain Basin, and to assist the states of New York and Vermont in protecting and preserving the Lake Champlain ecosystem. Funding Priority - Fiscal Year 2010: Efforts in Lake Champlain will continue to support the successful interstate, interagency, and international partnership undertaking the implementation of "Opportunities for Action," a plan designed to address various threats to the Lake's water quality, including phosphorus loadings, invasive species, and toxic substances. Grants under this program shall be made for assisting research, surveys, studies, modeling, technical, field, restoration, education, outreach, and other supporting work necessary for the development and implementation of the Lake Champlain Basin Management Plan. Funding priorities include phosphorus control, environmental education and outreach, and support for cultural and recreational resources.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

As described in Section 120 of the CWA, funds may be used to implement elements of the Lake Champlain Basin Management Plan, Opportunities for Action. Projects outside of the Lake Champlain Basin are not eligible for funding under this program. Grant awards must be made in consultation with the Lake Champlain Basin Program Steering Committee, and must support

activities consistent with the goals of Opportunities for Action. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State, interstate, and regional water pollution control agencies, and public or nonprofit agencies, institutions, and organizations are eligible to receive grants from EPA through this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Assistance under this program generally benefits State environmental, health, and agriculture agencies; interstate water pollution control agencies; public nonprofit institutions and organizations; sponsored organizations; Federal agencies; local agencies; intrastate agencies; public and private nonprofit institutions and organizations; private organizations; small businesses; and quasi-public nonprofit institutions.

Credentials/Documentation:

Nonprofit private agencies, institutions, and organizations may be required to provide documentation of their organizational status upon application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. A pre-application form is not required. Informal pre-application discussions or conferences with the Lake Champlain Basin Program Steering Committee, and EPA Regions 1 and 2 are required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Lake Champlain Basin Program Steering Committee, in conjunction with EPA Regions 1 and 2, develops the annual budget and task list. This budget and task list is then divided between the States of Vermont and New York and the New England Interstate Water Pollution Control Commission. From this information, these three organizations then prepare grant applications and workplans for submittal to EPA Region 2 or Region 1. The standards application forms, as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110, must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Detailed information and assistance, including and application kit, required forms, and a checklist may also be found on the web (<http://www.epa.gov/ogd/AppKit/application.htm>). Applications must be submitted to the appropriate EPA Regional Office identified in "Regional or Local Office" section for information contacts. Applicants may be able to use

<http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each federal assistance application and final work plan will be evaluated by EPA Region 1 or Region 2 to determine the adequacy of the application under the established EPA grants program requirements and guidelines, including, but not limited to, the requirements set forth in 40 CFR Parts 30 and 31. Technical merit and the relevance of the project to the priorities and objectives established in Opportunities for Action will also be considered. Each application will also be subject to an administrative review by the EPA Region 1 or 2 grants management office as appropriate to determine whether all administrative requirements have been met. The workplan is submitted to the EPA Region 1 and 2 Regional Administrators for approval prior to the award of any assistance agreement. Once a Regional Office approves the annual workplan, funds are awarded by the EPA Region 1 or 2 Regional Administrator as appropriate. Grantees will receive an award package from the appropriate EPA Regional grants management office that must be accepted and signed by an authorized official of the recipient organization and returned to the grants management office within 30 days. Awards may be made on an advance or reimbursement basis. Awards that are not signed and returned by the deadline may be subject to cancellation. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification of action on proposals varies depending on the timing of funds release to the EPA Regional Offices from Headquarters. Interval from submission to notification may vary from two months to eight months.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

No cost extensions and amendments may be available subject to the approval of EPA. Approval of subsequent funding is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has a statutory matching requirement; see CWA section 120 (f)(3). For all assistance (grants and cooperative agreements) agreements made under this program, federal costs shall not exceed 75 percent of the total cost of the agreement. This program has no statutory funding formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are generally made for a multi-year period at the discretion of EPA. Start dates for assistance agreements are variable and are established at the discretion of EPA. Generally however, it is intended that start dates closely follow award dates of the assistance agreement. Awards are fully funded, recipients draw down funding as cost are incurred, typically monthly. See the following for information on how assistance is awarded/released: Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 30 and 40 CFR Part 31,

as applicable. Program reports are required under this program. Cash reports are required under this program. Progress reports are required under this program. Expenditure reports are required under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the final expenditure report. If questions still remain, such as those that arose as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$3,147,500; FY 10 est \$4,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$35,000 to \$1,199,300/fiscal year; \$637,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since 1991, EPA's funding of the Lake Champlain Basin Program has led protection and restoration of the Lake Champlain basin through coordination with federal, provincial, state, and municipal agencies and local citizens. The main program goals, as laid out in the Lake Champlain Management Plan Opportunities for Action, are as follows: reducing phosphorus inputs to Lake Champlain; decreasing toxic contamination; minimizing the risks to humans from water-related health hazards; and controlling the introduction, spread, and impact of nonnative nuisance species. EPA works with the Lake Champlain Basin Program Steering Committee to determine funding priorities and distributes funds accordingly. The following four entities typically receive funding on an annual basis: New England Interstate Water Pollution Control Commission (NEIWPCC), Vermont Department of Environmental Conservation, the New York Department of Environmental Conservation, and the Lake Champlain Science Center. They may choose to compete all or part of the funds. Competitive announcements typically include the number of anticipated awards.

In FY 09, funds were used to support protection and restoration of the Lake Champlain Basin. Specific examples of accomplishments include: creation and distribution of a "State of the Lake" report detailing current water quality and other ecosystem conditions; conducting water quality monitoring programs with the collection of at least 3,500 water chemistry samples; a boat inspection and education program to reduce the spread of invasive species; a survey of a nearby canal for the presence of asian clam, an invasive species; extensive watershed mapping to better plan for BMP installation; removal of thousands of pounds of invasive water chestnuts; and other tasks. Fiscal Year 2010: In FY 10, expected accomplishments include: revising the Lake Champlain Basin Management Plan "Opportunities for Action"; further removal of invasive water chestnuts; conducting water quality monitoring programs; stormwater utility feasibility assessment; development of an adaptive management system; studies on internal recycling of phosphorus from lake sediments; and an extensive monitoring effort for toxic blue-green algae blooms. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Lake Champlain program grants and cooperative agreements are subject to

EPA's general grant regulations, 40 CFR Part 30 or 40 CFR Part 31. All assistance agreements must support the goals and objectives of the Lake Champlain Management Plan, Opportunities for Action. A copy of this document is available on request from the Lake Champlain Basin Program, 54 West Shore Road, Grand Isle, VT 05458, Phone 802-372-3213 and is also available online at <http://www.lcbp.org>.

Regional or Local Office:

See Regional Agency Offices. Erik Beck, US EPA Region 1, 5 Post Office Square, Suite 100, Mail Code OEP 6-1, Boston, MA 02109-3912. Phone: (617) 918-1606; FAX: (617) 918-0606; e-mail: beck.erik@epa.gov. Mario Paula, US EPA Region 2, 290 Broadway, 24th Floor, New York, NY 10007-1866. Phone: (212) 637-3819; FAX: (212) 637-3889; e-mail: paula.mario@epa.gov.

Headquarters Office:

Erik Beck, EPA New England, 1 Congress Street, Suite 1100-CWN, Boston, Massachusetts 02114 Email: beck.erik@epa.gov Phone: (617) 918-1606.

Website Address:

<http://www.epa.gov/NE/eco/lakechamplain/index.html>

RELATED PROGRAMS:

12.100 Aquatic Plant Control; 66.419 Water Pollution Control State, Interstate, and Tribal Program Support; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.454 Water Quality Management Planning; 66.460 Nonpoint Source Implementation Grants; 66.463 Water Quality Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grants and cooperative agreements awarded to states, interstate agencies, universities, and nonprofit organizations are for implementation of the Lake Champlain Management Plan. Grants awarded to States of Vermont and New York and NEIWPC generally cover multiple tasks and projects per grant. Examples of work carried out by these grants include: (1) funding for the overall program coordination, and the full-time New York and Vermont Lake Champlain Basin Program coordinators employed by their respective states; (2) annual lake and tributary monitoring for phosphorus and other parameters by professional limnologists; lay monitoring of Lake Champlain and other lakes in the basin for phosphorus and other water quality parameters; (3) phosphorus reduction: research on phosphorus carried downstream by eroding stream banks, research on policy options for further phosphorus reduction from point and nonpoint sources, tracking implementation of best management practices on farms, geomorphic studies, and riparian restoration work; (4) removal of invasive species such as water chestnuts, research on non-chemical alternatives to sea lamprey control, and milfoil removal efforts; (5) toxins: funded studies on cyanobacteria dynamics in Lake Champlain as well as monitoring for cyanobacteria (blue green algae) blooms and their associated toxins in Lake Champlain. Fiscal Year 2010: Grants and cooperative agreements awarded to states, interstate agencies, universities, and nonprofit organizations are for implementation of the Lake Champlain Management Plan. Grants awarded to States of Vermont and New York and NEIWPC generally cover multiple tasks and projects per grant. Examples of work carried out by these grants include: (1) funding for the overall program coordination, and the full-time New York and Vermont Lake Champlain Basin Program coordinators employed by their respective states; (2) annual lake and tributary monitoring for phosphorus and other parameters by professional limnologists; lay monitoring of Lake Champlain and other lakes in the basin for phosphorus and other water quality parameters; (3) phosphorus reduction: research on phosphorus carried downstream by eroding stream banks, research on policy options for further phosphorus reduction from point and nonpoint sources, tracking implementation of best management practices on farms, geomorphic studies, and riparian restoration work; (4) removal of invasive species such as water chestnuts, research on non-chemical alternatives to sea lamprey control, and milfoil removal efforts; (5) toxins: funded studies on cyanobacteria dynamics in Lake Champlain as well as monitoring for cyanobacteria (blue green algae) blooms and their associated toxins in Lake Champlain. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. The Steering

Committee recommends projects to EPA for funding based on the technical merit of the proposal and its relevance to the Lake Champlain Basin Management Plan. EPA approves applications after Steering Committee recommendation based on the technical merit of the application and its relevance to the Lake Champlain Basin Management Plan.

66.508 SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM (SEE)

FEDERAL AGENCY:

Office of Administration and Resources Management, Environmental Protection Agency

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship. Funding Priority - Fiscal Year 2010: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the EPA in support of its projects ranging from inspections of schools for asbestos, to support for a Headquarters staff office. This allows for the coverage of all types of SEE program positions from professional to clerical. Annual funding priorities are not established at the SEE program level. Rather, Senior Resource Officials from the participating 65 program offices establish priorities requiring SEE program support.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control."

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

Federal, State, and local environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under OMB Circular

No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Requests for application forms must be submitted to: Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460. Completed applications must be sent to the same address, and a copy of the completed application should also be sent to: Susan Street, Manager, SEE Program, Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff (3605-M), Washington, DC 20460. Basic steps of the application procedure are outlined in the application package containing the application forms.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations, and to program objectives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. See the following for information on how assistance is awarded/released: Assistance is incrementally awarded throughout the life of the agreement to support ongoing activities.

Reports:

No program reports are required. Recipients must furnish quarterly reports on expenditures by cooperative agreement. Progress reports are required on a quarterly schedule. Expenditure reports are required on a quarterly schedule. Performance monitoring is required as a normal part of project officer post award monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the

matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$56,423,981; FY 10 est \$58,000,000; FY 11 est \$58,000,000 - The vast majority of the funds in this CFDA are not Recovery Act. Approximately 1% of the funding may be obligated as Recovery Act.

Range and Average of Financial Assistance:

New awards and amendments: \$4,050 to \$1,993,077 for FY 2009; Average \$225,703; \$2,400 to \$1,697,500 for FY 2010, Average \$153,061; estimated \$3,000 to \$1,700,000; FY 2011, Average \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, 250 cooperative agreements/amendments were awarded. To date, in Fiscal Year 2010, 64 cooperative agreements/amendments were awarded. Fiscal Year 2010: In Fiscal Year 2009, 250 cooperative agreements/amendments were awarded. To date, in Fiscal Year 2010, 64 cooperative agreements/amendments were awarded. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 40 CFR Part 30, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

See Regional Agency Offices. Susan Street, Manager, SEE Program, (3605 M) Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff (3605A), 1200 Pennsylvania Ave., N. W., Washington, D. C. 20460. Telephone: (202) 564-0410, FAX: (202) 564-0735; e-mail: street.susan@epa.gov. Web Site: <http://epa.gov/epahrist/see/brochure>.

Headquarters Office:

Susan Street, SEE Program, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, (3605 M) Environmental Protection Agency, 1200 Pennsylvania Ave, N.W, Washington, District of Columbia 20460 Email: street.susan@epa.gov Phone: (202) 564-0410.

Website Address:

<http://epa.gov/epahrist/see/brochure>

RELATED PROGRAMS:

66.518 State Senior Environmental Employment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Provide funding to eligible Grantee organizations to provide senior citizens who conduct national non-agricultural pesticide surveys, provide technical assistance to tribes, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and work on other general administrative and clerical tasks. Fiscal Year 2010: Provide funding to eligible Grantee organizations to provide senior citizens who conduct national non-agricultural pesticide surveys, provide technical assistance to tribes, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and work on other general administrative and clerical tasks. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.509 SCIENCE TO ACHIEVE RESULTS (STAR) RESEARCH PROGRAM

FEDERAL AGENCY:

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Safe Drinking Water Act, Section 1442, 42 U.S.C 300; Clean Air Act, Section 103, 42 U.S.C 7403; Clean Water Act, Section 104, 33 U.S.C 1254.

OBJECTIVES:

To (1) support research to determine the environmental and human health effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) support research to explore and develop strategies and mechanisms for those in the social, governmental, and environmental arenas to use in environmental management decisions.

Funding Priority - Fiscal Year 2010: The STAR Program will fund the highest quality academic research in the following priority areas:

(1) Air Quality: Exploring New Air Pollution Health Effects Links in Existing Datasets; Coarse Particulate Matter Research; Dynamic Air Quality Management; Investigation of Black Carbon's Role in Global to Local Scale Climate and Air Quality; Air Research Monitoring; Impact of Extreme Events on Air Quality and Water Quality in the U.S. from Global Change.

(2) Human Health: Environmental Factors in the School Environment Influencing Children's Health and Performance; Tribal Environmental Health Science; Understanding Day Care Environments of Children; Children's Health Coordinating Center; Novel Approaches for Assessing Exposure for School-Aged Children in Longitudinal Studies.

(3) Drinking Water: Modeling and Measurement Approaches; Hydraulic Fracturing Research.

(4) Endocrine Disruptors/Biotechnology/Computational Toxicology: Approaches to Assessing Potential Food Allergy from Genetically Engineered Plants; Computational Toxicology- Biologically Based Multiscale Tissue Modeling; Developing Integrated Evaluation Strategies for Improving Assessment and Management Methods for Chemical Safety; Innovative Treatment Designs and Technologies Approaches for Mitigating EDCs and Other Chemical Contaminants from Drinking Water and Wastewater Treatment Systems; Development and Evaluation of High Throughput Screening (HTS) Tools and Approaches for Ecological Organisms.

(5) Nanotechnology: Increasing Scientific Data on the Fate, Transport and Behavior of Engineered Nanomaterials in Selected Environmental and Biological Matrices; Exposure of Engineered Nanomaterials.

(6) Sustainability: Sustainable Chesapeake-A Collaborative Approach to Urban Stormwater Management; Center for Life Cycle Chemical Safety; Center for Sustainable Molecular Design; Collaborative Center for Sustainability (CNS).

(7) Water Quality: BP Oil Spill.

In addition to the solicitations identified above, other solicitations may be announced in the coming year. Please check the NCER website: <http://www.epa.gov/ncer> for an updated listing of all solicitations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The National Center for Environmental Research (NCER) generally does not accept unsolicited proposals for the STAR program. All STAR awards are made in response to competitive requests for applications (RFAs) or requests for initial proposals (RFIPs). Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial

information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Some solicitations issued under this CFDA may allow for the submittal of Multiple Investigator applications. The applicability of Multiple Investigator applications will be described in the solicitations. For further information, please see the EPA Implementation Plan for Policy on Multiple Principal Investigators (<http://rbm.nih.gov/toolkit.htm>).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Public nonprofit institutions/organizations (includes public institutions of higher education and hospitals) and private nonprofit institutions/organizations (includes private institutions of higher education and hospitals) located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; and U.S. territories or possessions are eligible to apply. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its grant from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or augment their Agency's appropriations in other ways through grants made by this program. The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, census data tapes, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included. Not-for-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. Profit-making firms are not eligible to receive grants from EPA under this program. See RFAs at: <http://www.epa.gov/ncer> for additional information pertaining to eligibility requirements.

Some solicitations issued under this CFDA may propose early career projects which support new, creative investigators with outstanding promise at the Assistant Professor or equivalent level. Principal investigators from applicant institutions applying for the early career portion of the applicable solicitation must meet the following eligibility requirements:

*Hold a doctoral degree in a field of science or engineering by the closing date of the solicitation;

*Be untenured at the closing date of the solicitation;

*By the award date, be employed in a tenure-track position (or tenure-track-equivalent position) as an assistant professor (or equivalent title) at an institution in the U.S., its territories, or possessions. Note: For a position to

be considered a tenure-track-equivalent position, it must meet all of the following requirements: (1) the employing department or organization does not offer tenure; (2) the appointment is a continuing appointment; (3) the appointment has substantial educational responsibilities; and (4) the proposed project relates to the employee's career goals and job responsibilities as well as to the goals of the department/organization.

The purpose of early career projects are to fund research by the early career PI. Senior researchers may collaborate in a supporting role for early career projects. Early career applications should not propose significant resources for senior researchers and may not list senior researchers as co-PIs.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive RFAs or RFIPs for grants/cooperative agreements are announced widely through the Internet, university and scientific organizations, among other mechanisms. Applicants must propose EPA mission relevant research based on excellent science as determined through peer review by experts drawn from the national and international scientific community. Other more specialized scientific areas may be solicited via joint RFAs with other Federal agencies. The STAR program may fund research centers that focus on long-term, multi-disciplinary research. Specific details on the application process are described in the solicitations which are located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate proposal revisions. EPA Project Officers will contact the Lead Principal Investigator/Contact Principal Investigator to obtain these materials. The official notification of an award will

be made by the Agency's Grants and Interagency Agreement Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded for a minimum of 2 years. Total approved project period may not exceed 5 years. Grants are generally fully funded or incrementally funded (on an annual basis). See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. A grant recipient must agree to provide annual progress reports, with associated summaries for posting on NCER's web site, and a final report with an executive summary for web posting. A grant recipient must agree to provide copies of any peer reviewed journal article(s) resulting from the research during the project period. The recipient also agrees to provide expenditure and invention reports as appropriate. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$50,600,000; FY 10 est \$52,000,000; FY 11 est \$73,200,000

Range and Average of Financial Assistance:

New awards range from \$185,000 to \$5,000,000 total per grant and average \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Applications received for the STAR Program - FY 09: 231. New awards for the STAR Program - FY 09: 31. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <http://www.epa.gov/ncer>. Fiscal Year 2010: Applications received for the STAR Program - FY 10: 90. New awards for the STAR Program - FY 10: 11. Abstracts of current grant awards, and annual interim and final summary results of the research are available on the homepage: <http://www.epa.gov/ncer>. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31); Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40). Costs will be determined in accordance with OMB Circular No. A-87 (relocated to 2 CFR Part 225) for State and local governments, OMB Circular No. A-21 (relocated to 2 CFR Part 220) for educational institutions, and OMB Circular No. A-122 (relocated to 2 CFR Part 230) for nonprofit organizations.

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

William Stelz, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 8721F, Washington, District of Columbia 20460
Email: stelz.william@epa.gov Phone: (202) 343-9802.

Website Address:

<http://www.epa.gov/ncer>

RELATED PROGRAMS:

66.511 Office of Research and Development Consolidated Research/Training/Fellowships

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1. Develop coupled economic, chemistry and climate models to simulate the linkages between local air quality, the global meteorological/air-chemistry system and the dynamical economic inputs that drive emissions inputs.

2. Generate and evaluate traditional and data assimilated PM2.5 concentrations and apply them to pilot health effects studies.

3. Evaluate the biomarkers of air pollution induced vascular toxicity using a mouse model of atherosclerosis and then test for biochemical markers in human plasma.

4. Link health outcomes, as quantified through emergency department (ED) visits for gastrointestinal (GI) illness, to water quality within the distribution system and infrastructure characteristics.

5. Study the stabilization and dispersion of carbon nanomaterials by natural organic matter over a range of water chemistries and time periods.

See Web Page (<http://www.epa.gov/ncer>) for additional information on previously funded grants under the STAR program. Fiscal Year 2010: 1. Understand how aquifer properties are related to the susceptibility of the aquifer to degradation from carbon dioxide in addition to developing a tool that can be used to assess the risk associated with carbon dioxide leakage into potential sources of drinking water due to geologic sequestration of carbon dioxide.

2. Determine what conditions would facilitate carbon dioxide dissolved in brine from geologic sequestration to come out of solution and adversely affect underground sources of drinking water.

3. Evaluate linkages between climate, pollen and respirable allergen occurrence, and respiratory health impacts.

4. Conduct lab and in situ research to understand how carbon dioxide from geologic sequestration may interact with microbial communities and heavy metals in an aquifer and if these interactions will adversely affect underground sources of drinking water.

5. Develop and enhance numerical and analytical models that possess a range of complexity and can complement one another in order to create a hierarchical modeling framework for assessing risks associated with geologic sequestration (GS) of carbon dioxide. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.510 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF RESEARCH AND DEVELOPMENT**FEDERAL AGENCY:**

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; National Environmental Policy Act of 1969, Section 102(2)(F); Marine Protection, Research, and Sanctuaries Act, Section 203; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6981; Safe Drinking Water Act, Section 1442, 93 U.S.C 523; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C 136r&136u; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Clean Air Act, Section 103, Public Law 95-95, 42 U.S.C 7401; Comprehensive Environmental Response, Compensation, and Liability Act of 1980, Section 311.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides (2) identify, develop, and demonstrate effective pollution control techniques; and (3) fund innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and decision-making at the local, state and industrial levels. Funding Priority - Fiscal Year 2010: FY 2010 priorities include conducting air pollutants research, improving the science behind risk assessment, water quality research, drinking water research, research to improve human health and the environment, and research related to Homeland Security. Surveys, studies, and investigations to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, endocrine disrupting chemicals, and pesticides will also be funded under this category. Additionally, this funding priority provides support for conferences relating to the areas above.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf

of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals or foreign entities who have demonstrated unusually high scientific ability.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Credentials/Documentation:

The Agency may request that applicants demonstrate they have appropriate background, academic training experience in the field, and the necessary equipment to carry out the research. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. EPA may ask principal investigators for information documenting past performance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive RFAs (requests for applications) for grants in these areas are announced widely through the Federal Register, Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.510 but cannot be presently identified. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of

audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$3,200,000; FY 10 est \$3,200,000; FY 11 est \$3,200,000

Range and Average of Financial Assistance:

New grants/cooperative agreements range from \$5,000 to \$500,000 and average \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: An estimated 20 grants/cooperative agreements are made each year. Data of the number of applications received and the exact number of awards made in the last three fiscal years is not available. Summary results of the research are available on <http://www.epa.gov/researchaccomplishments/>.

Fiscal Year 2010: An estimated 20 grants/cooperative agreements are made each year. Data of the number of applications received and the exact number of awards made in the last three fiscal years is not available. Summary results of the research are available on <http://www.epa.gov/researchaccomplishments/>.

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31). "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis and "EPA and the Academic Community, Solicitation for Grant Proposals."

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

Mark J. Thomas, Environmental Protection Agency, 1200 Pennsylvania Ave., Washington, District of Columbia 20460 Email: Thomas.Mark@epa.gov Phone: (202) 564-4763.

Website Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) To investigate methods to manage large floodplain rivers in ways that achieve multiple, sustained benefits by restoring hydrogeomorphic river channel processes in carefully selected locations. 2) This assistance agreement will support a meeting to foster collaborative research among researchers in different States on the effects of ozone on plants, and to find ways to reach out to the public to inform them of the results of this research. 3) This project, through distribution of Pollution Prevention and linked life cycle cost accounting tools, will enable small and medium size businesses located in greater New England to reduce their emission of toxic substances, which will in turn lead to reduced environmental health risks. 4) The goals of this project are to develop and apply a methodology for benchmarking curricula in sustainability engineering and to identify individuals active in sustainability engineering education. The information collected in this project will be available as a resource for faculty at institutions of higher education to enhance the engineering curricula with lessons focused on the environmental impacts associated with the design of products, processes, or systems. 5) This grant studies environmental sustainability issues concerning the need to balance the use of water to meet both human and environmental demands. Funding will support a decision support system (DDS) that will integrate scientific models of ecological water flow needs, water demand management, and water supply planning into a decision-oriented optimization framework that promotes preventive approaches. Fiscal Year 2010: 1) To investigate methods to manage large floodplain rivers in ways that achieve multiple, sustained benefits by restoring hydrogeomorphic river channel processes in carefully selected locations. 2) This assistance agreement will support a meeting to foster collaborative research among researchers in different States on the effects of

ozone on plants, and to find ways to reach out to the public to inform them of the results of this research. 3) This project, through distribution of Pollution Prevention and linked life cycle cost accounting tools, will enable small and medium size businesses located in greater New England to reduce their emission of toxic substances, which will in turn lead to reduced environmental health risks. 4) The goals of this project are to develop and apply a methodology for benchmarking curricula in sustainability engineering and to identify individuals active in sustainability engineering education. The information collected in this project will be available as a resource for faculty at institutions of higher education to enhance the engineering curricula with lessons focused on the environmental impacts associated with the design of products, processes, or systems. 5) This grant studies environmental sustainability issues concerning the need to balance the use of water to meet both human and environmental demands. Funding will support a decision support system (DDS) that will integrate scientific models of ecological water flow needs, water demand management, and water supply planning into a decision-oriented optimization framework that promotes preventive approaches. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. A proposal is judged for (A) scientific merit in terms of quality and originality of the proposed work, the qualifications of the investigator(s), the adequacy of the quality assurance plan, the responsiveness of the proposed needs identified in the RFA, the appropriateness of the proposed budget, and the probability that the project will accomplish stated objectives, and (B) program interest in terms of the need for the proposed work and a balanced ORD research portfolio. See the Web page for specific criteria.

**66.511 OFFICE OF RESEARCH AND DEVELOPMENT
CONSOLIDATED RESEARCH/TRAINING/FELLOWSHIPS
FEDERAL AGENCY:**

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act, Section 103&104, Public Law 95-95, 42 U.S.C 7401; National Environmental Policy Act of 1969, Section 102(2)(F); Safe Drinking Water Act, Section 1442, Public Law 93-523; Marine Protection, Research, and Sanctuaries Act, Section 203; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 311, Public Law 95-510; Solid Waste Disposal Act, Section 8001; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6901; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, 7 U.S.C 136r&136u; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601.

OBJECTIVES:

The Office of Research and Development (ORD) supports research and development to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) perform risk assessments to characterize the potential adverse health effects of human exposures to environmental hazards, and (4) the facilitation of training and fellowships in these areas. Investigator initiated grants/cooperative agreements may be funded in broad areas such as environmental chemistry and physics, environmental engineering; and health and ecological effects of pollution. The ORD conducts leading-edge research to provide a solid underpinning of science and technology for the Agency. Applied research builds our understanding of how to protect and enhance the relationship between humans and the ecosystems of earth. ORD conducts a comprehensive research program to protect air, water, land, and human health and ecosystems, to improve environmental compliance and stewardship, and to conduct and promote coordination and acceleration of training for individuals relating the protection and enhancement of the relationship between humans and the ecosystems of earth as well as to improve environmental compliance and stewardship. Funding Priority - Fiscal Year 2010: ORD's research supports EPA's five strategic goals. Brief descriptions of this research are as follows: 1. Clean air research investigates risks associated with exposure to hazardous air pollutants (HAPs) and criteria pollutants, such as particulate matter (PM). Our

goal is to work with other Federal and state agencies, major universities and institutions, and the private sector to develop a better understanding of the causes and effects of air pollution, and provide the scientific underpinnings to improve air quality in the U.S. 2. ORD provides the science needed to ensure drinking water is safe and to restore and maintain oceans, watersheds, and aquatic ecosystems to protect human health, support economic and recreational activities, and provide healthy habitats for fish, plants, and wildlife. Our water research program provides the science needed for effectively implementing the Safe Drinking Water Act (SDWA) and Amendments and the Clean Water Act (CWA). 3. Preserving land quality and remediating contaminated land is another of ORD's major goals. Left uncontrolled, hazardous and non-hazardous wastes on land can migrate to the air, ground water, and surface water, contaminating drinking water supplies, and threatening healthy ecosystems in urban, rural, and suburban areas. Efforts to preserve and restore land are supported through contaminated sediments and groundwater research. 4. ORD also continues to better understand environmental risks and develop tools and management options for improving environmental conditions. To promote healthy communities and ecosystems, ORD brings together a variety of programs, including human health research, endocrine disrupters research, computational toxicology research, global change research, pesticides and toxic substances research, and research on homeland security, as well as promoting and facilitating training in these areas to stimulate interest among students in careers involving environmental research and to provide hands-on experience. 5. Finally, ORD has developed and evaluated tools and technologies to monitor, prevent, control, and clean up pollution throughout its history. Since the Pollution Prevention Act of 1990, the Agency has increasingly focused on preventative and sustainable approaches to health and environmental problems. ORD's domestic assistance efforts in this area support research specifically designed to address the issue of advancing sustainability goals of EPA's Science and Technology for Sustainability (STS) program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals or foreign entities who have demonstrated unusually high scientific ability.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; anyone/general public; education professionals; students/trainees; graduate students; scientists/researchers; hospitals; foreign entities; and individuals.

Credentials/Documentation:

The EPA may request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask applicants to provide curriculum vitae and relevant publications. EPA may also ask the lead principal investigator/contact principal investigator for information documenting past performance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive RFAs (requests for applications) for grants in these areas are announced widely through the Federal Register, Internet, university and scientific organizations, among other mechanisms. Although the programs identified are the formal assistance programs that ORD funds, there are periodic RFAs disseminated on other topics that are not part of the formal program. These new programs are also part of 66.510 but cannot be presently identified. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate Grants Management Office at the Environmental Protection Agency or as shown in the specific RFAs, which are accessible through the EPA/ORD website at <http://www.epa.gov/ord/htm/grantopportunity.htm>. Please refer to Appendix IV for the complete mailing address(es) for the Grants Management Office(s). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in

accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports.

Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. A discussion of expenditures is required in progress reports under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$17,100,000; FY 10 est \$19,000,000; FY 11 est \$20,000,000

Range and Average of Financial Assistance:

Awards range for new grants/cooperative agreements from \$75,000 to \$950,000, and average \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: An estimated 25 grants/cooperative agreements are made each year. Data of the number of applications received and the exact number of awards made in the last three fiscal years is not available. Summary results of the research are available on <http://www.epa.gov/researchaccomplishments>.

Fiscal Year 2010: An estimated 25 grants/cooperative agreements are made each year. Data of the number of applications received and the exact number of awards made in the last three fiscal years is not available. Summary results of the research are available on <http://www.epa.gov/researchaccomplishments>.

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency

(40 CFR Part 30 and 40 CFR Part 31); Interim Regulations, Research and Demonstration Grants, Environmental Protection Agency (40 CFR Part 40). "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis and "EPA and the Academic Community, Solicitation for Grant Proposals."

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the RFA.

Headquarters Office:

Mark J. Thomas, Environmental Protection Agency, 1200 Pennsylvania Ave., Washington, District of Columbia 20460 Email: Thomas.Mark@epa.gov Phone: (202) 564-4763.

Website Address:

<http://www.epa.gov/ord/hm/grantopportunity.htm>

RELATED PROGRAMS:

66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) To improve understanding of the relationship between complex mixtures of environmental air pollutants and human health through the creation and analysis of a database developed by conducting identically designed laboratory studies of a small number of highly complex atmospheres having overlapping, but different, compositions. 2) To address critical questions concerning health risks, toxic metal transformations and pathways, sampling and measurement of toxic metal emissions, predictive modeling, and related toxic metal control technologies. 3) To conduct research and development on hazardous substance waste minimization alternative treatment technologies, and techniques to enhance applications of technologies and technology transfer. 4) This research will (1) evaluate the efficacy of alternative management strategies for the control of insects, nematodes, and weeds that reduce the use of pesticides; (2) characterize the environmental fate of nitrogen and synthetic pesticides using current and alternative pest management strategies; and (3) develop decision aid models that allow utilization of precise control strategies for weeds, insects and diseases that are environmentally safe. 5) To develop a predictive map of ground level ozone concentrations in the Washington/Baltimore non-attainment area by incorporating landscape characteristics and other remote sensing data into existing predictive models for ozone impacted urban areas. 6) To provide the scientific information, understanding, analyses and methods and protocols needed by states and local governments and various regulatory and management agencies to effectively protect the aquatic and terrestrial environment, the EPA seeks to establish a long term Training Cooperative Partnership with a leading research and teaching institution capable of managing an environmental training program. The purpose of this agreement is to stimulate interest among students in careers involving environmental research and to provide hands-on experience. Fiscal Year 2010: 1) To improve understanding of the relationship between complex mixtures of environmental air pollutants and human health through the creation and analysis of a database developed by conducting identically designed laboratory studies of a small number of highly complex atmospheres having overlapping, but different, compositions. 2) To address critical questions concerning health risks, toxic metal transformations and pathways, sampling and measurement of toxic metal emissions, predictive modeling, and related toxic metal control technologies. 3) To conduct research and development on hazardous substance waste minimization alternative treatment technologies, and techniques to enhance applications of technologies and technology transfer. 4) This research will (1) evaluate the efficacy of alternative management strategies for the control of insects, nematodes, and weeds that reduce the use of pesticides; (2) characterize the environmental fate of nitrogen and synthetic pesticides using current and alternative pest management strategies; and (3) develop decision aid models that allow utilization of precise control strategies for weeds, insects and diseases that are environmentally safe. 5) To develop a predictive map of ground level ozone concentrations in the Washington/Baltimore non-attainment area by incorporating landscape characteristics and other remote sensing data into existing predictive models for ozone impacted urban areas. 6) To provide the scientific information,

understanding, analyses and methods and protocols needed by states and local governments and various regulatory and management agencies to effectively protect the aquatic and terrestrial environment, the EPA seeks to establish a long term Training Cooperative Partnership with a leading research and teaching institution capable of managing an environmental training program. The purpose of this agreement is to stimulate interest among students in careers involving environmental research and to provide hands-on experience. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. A proposal is judged for (A) scientific merit in terms of quality and originality of the proposed work, the qualifications of the investigator(s), the adequacy of the quality assurance plan, the responsiveness of the proposed research to needs identified in the RFA, the appropriateness of the proposed budget, and the probability that the project will accomplish stated objectives, and (B) program interest in terms of the need for the proposed research and a balanced ORD research portfolio. See the Web page for specific criteria.

66.512 REGIONAL ENVIRONMENTAL MONITORING AND ASSESSMENT PROGRAM (REMAP) RESEARCH PROJECTS

FEDERAL AGENCY:

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104.

OBJECTIVES:

The Regional Environmental Monitoring and Assessment Program (REMAP) is a partnership between the EPA Regional Offices and the Office of Research and Development (ORD) Environmental Monitoring and Assessment Program (EMAP), with the primary goal to build state and tribal capacity for using statistically valid monitoring data for reporting on the condition of their aquatic resources. The goals for REMAP are to transfer EMAP's latest scientific techniques for ecological monitoring to EPA Regions, States, Tribes and local decision makers. ORD works with the EPA Regional Offices to support projects meeting EMAP criteria and that are of importance to needs within the Regions. EMAP support for these projects includes: contributing to development of the scientific design of projects; assistance with the selection and evaluation of appropriate indicators and methods for measurement; application of information management approaches; analysis and interpretation of data; and providing a source of funding. EPA funds projects in each of its 10 Regions. Projects are normally funded for up to 2 years, but longer projects are occasionally supported. Annual announcements reflect priority needs in those Regions where funding will be allocated for new projects. The primary objectives of the solicited research are to provide States and Tribes with methods and approaches for incorporating statistically valid ecological monitoring data into their environmental decision-making process. Project goals are to be consistent with EMAP's probabilistic approach (www.epa.gov/emap) to answer questions about ecological conditions at regional and local levels. Information produced from projects is to be transferable to Regional, State and Tribal water quality monitoring programs and regulatory agencies. Research proposals must address one or more of the following monitoring needs for state and tribal aquatic (headwater streams, lakes, near shore coastal areas, wetlands, wadeable streams or large rivers) resources:

- State and Tribal monitoring needs for water quality reporting (CWA Section 305b).
- Information needed for identifying impaired waters (CWA Section 303d).
- Using probability information to identify the effectiveness of restoration/remediation efforts.
- Advancing the science of biological reference condition for establishing biocriteria.
- Improved tools and approaches for the assessment of aquatic ecosystems.

We do not anticipate that there will be any new funding opportunities for 2010.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Information produced from projects is to be transferable to US EPA Regions, and State and Tribal water quality monitoring programs and regulatory agencies. Data from research results may also be incorporated into larger scale objectives within ORD's EMAP research program. Research projects usually result in geospatial information. In compliance with Executive Order 12906, REMAP data is made available for use throughout all levels of government, the private and non-profit sectors, and the academic community. The goal of this infrastructure is to reduce duplication of effort among agencies, improve quality and reduce costs related to geographic information, to make geographic data more accessible to the public, to increase the benefits of using available data, and to establish key partnerships with states, counties, cities, tribal nations, academia and the private sector to increase data availability. Awards are made in response to announced requests for applications. Funds are awarded via cooperative agreements for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institution, in accordance with the established policies and regulations of EPA. No construction costs are allowed in this agreement. Foreign travel is not allowed unless specifically agreed upon. No large capital equipment items, such as vehicles or boats, will be allowed for purchase under these agreements. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Entities that are eligible to receive federal assistance under the Clean Water Act which includes the States, their territories and possessions, local governments and federally recognized U.S. Tribal Nations (40 CFR part 31); institutions of higher education, hospitals, and other non-profit organizations (40 CFR part 30). Eligible nonprofit organizations include any organizations that meet the definition of nonprofit in OMB Circular A-122. Nonprofit applicants that are recommended for funding will be subject to pre-award administrative capability reviews consistent with Sections 8.b, 8.c, and 9.d of EPA Order 5700.8. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities, as defined in Section 3 of the Lobbying Disclosure Act of 1995, are not eligible to apply. Universities and educational institutions must be subject to OMB Circular A-21.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations located in the U.S.; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

Applicants are required to provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number when applying for Federal grants or cooperative agreements. Organizations can receive a DUNS number, at no cost, by calling the dedicated toll-free DUNS Number request line at 1-866-705-5711 or through <http://www.dnb.com>. Applicants are required to submit an Application for Federal assistance and Budget Information (SF-424 AND SF-424A), Assurances for Non-Construction Programs (SF-424B), Certificate regarding lobbying, EPA forms 5700-54 and 4700-4, A project and budget narrative including documents of Environmental Results Past Performance and Programmatic Capability. Other pertinent documentation may be required. A complete description and instructions for application submission will be

provided in the funding announcement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive RFA's for grants/cooperative agreements are announced widely through the internet, university and scientific organizations, among other mechanisms. Complete application information is provided in the RFA. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days for the application to be approved or disapproved.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects periods and funding is normally on a 2 year basis. Longer projects are occasional supported. Expected project and funding periods will vary between funding priorities and will be identified in the annual funding announcement. EPA reserves the right to fully, incrementally or partially fund a project. See the following for information on how assistance is awarded/released: The assistance is awarded either in a lump sum or in annual increments.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports.

Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. Expenditure reports may be required under this program. This requirement will be identified in the terms and conditions of the agreement. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records, and substantiate changes in grants available to personnel authorized to examine EPA recipients' grants and cooperative agreements records. Award recipients must agree to make methods, models, and data resulting from this agreement accessible to the public and to EPA. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions remain, such as those raised as a result of an audit, following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$390,141; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

The average financial assistance for 2007 awards on new projects was \$384,000. Four awards were made each for that amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The REMAP program has made significant advances and contributions in the development of monitoring and assessment tools and protocols. The technical support and collaborative expertise with ORD has enabled regions to integrate these scientific advances into stakeholder programs. With this assistance and partnership the regions have been able to provide the agency with defensible credible data on environmental condition in a more cost effective and timely manner. REMAP emphasizes that project designs employ the EMAP probabilistic approach. This allows for a statistically-valid and defensible framework to report on the condition of resources which is consistent with EPA's long term goal 1 "Developing statistically-valid and defensible frameworks to assess status and trends of ecosystem condition at national scales". In 2007, 12 eligible applications were received and 4 projects were funded. In FY 2008 no RFA was issued and thus no new applications were received or funded. FY 2008 obligations were placed against those projects approved and funded initially in FY 2007. FY09 funds were placed on projects funded and initially approved in FY2007. Current awards, projects, progress reports and any outputs are available on REMAP's home page (www.epa.gov/emap/remap). Fiscal Year 2010: No projects are expected to be funded in FY2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA's General Grant Regulations, 40 CFR Part 31; EPA's Interim Regulations, Research and Demonstration Grants, 40 CFR Part 40. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes and OMB Circular No. A-21 for educational institutions.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate ORD or Regional Office listed on REMAP's website at www.epa.gov/emap/remap, and listed in the RFA for this program.

Headquarters Office:

Jo Thompson, Environmental Protection Agency, Mid-Continent Ecology Division, 6201 Congdon Boulevard, Duluth, Minnesota 55804 Email: Thompson.jo@epa.gov Phone: (218) 529-5198.

Website Address:

<http://www.epa.gov/emap/remap/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1. Designing and Implementing a Program to Evaluate New England's Lakes and Ponds: Currently, there is not a statistically-valid design in place to perform a comprehensive and unbiased assessment of New England lakes and ponds. This study is addressing that need in order to meet 305b reporting requirements and provide information on status and trends of these ecosystems. 2. Validation and Sensitivity Analysis for Rapid Wetland Assessment using Comprehensive Wetland Assessment Data: This project is addressing the need for methods to assess and report on the ecological condition of wetlands in the State of Delaware. 3. A Biological Assessment of the Large Rivers of the Upper Mississippi and Ohio River Basins in Region 5: There is currently no statistically valid method to assess large rivers. This study is developing calibrated biological assessment tools and designing a systematic and standardized approach for the assessment of these large river systems. 4. Developing rigorous assessments for large Pacific Northwest rivers. The purpose of this project is to document the feasibility, logistics, and costs to states of using EMAP indicators and designs to assess the ecological condition, major stressors, and likely human disturbances of entire main-stem rivers in the Pacific Northwest in Region 10. 5. Mid-Atlantic States Regional Wetlands Assessment. The project is the first steps to establish a continuing monitoring and assessment program for wetland condition throughout Region 3. Fiscal Year 2010: No projects are expected to be funded in FY2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Competitive applications and proposals will be selected in accordance with announced criteria. Generally, the proposals will be judged for (A) Extent to which projects addresses Regional priority areas identified in the announcement, partnerships and transferability of project results to Regional, State and Tribal stakeholders, and consistency with REMAP objectives and EPA's strategic goals; and (B) Technical issues including the identification of plan for tracking outputs and environmental outcomes and evaluating the applicant's past performance in reporting on outputs and outcomes. Specific criteria and weight of criteria are listed in the annual announcement.

66.513 GREATER RESEARCH OPPORTUNITIES (GRO) FELLOWSHIPS FOR UNDERGRADUATE ENVIRONMENTAL STUDY FEDERAL AGENCY:

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act of 1969, Section 102(2)(F); Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Clean Water Act, Section 104, 33 U.S.C 1254; Clean Air Act, Section 103, 42 U.S.C 7403; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Toxic Substances Control Act, Section 10, 15 U.S.C 2609.

OBJECTIVES:

The National Center for Environmental Research (NCER) offers undergraduate fellowships to students in environmentally related fields of study. This program is intended to strengthen the environmental research capacity of institutions of higher education that receive limited funding to build such capacity. Funding Priority - Fiscal Year 2010: The Greater Research Opportunities Fellowship Program will support undergraduate fellowships in the following areas: Natural and Life Sciences (includes pollution prevention and/or control related study in Biology, Ecology, Microbiology, Molecular Sciences, etc.); Environmental Sciences and Interdisciplinary Programs (includes pollution prevention and/or control related study in Environmental Studies, Urban Sustainability and Land Use Planning, Environmental Health, Environmental Policy, Environmental Education, Native/Tribal Environmental Science, etc.); Engineering (includes pollution prevention and/or control related study in Environmental Engineering, Waste Management, Green Building Engineering, Biotechnology, etc.); Social Sciences (includes pollution prevention and/or control related study in Economics, Political Science, International Environmental Studies, Anthropology, Sociology, Psychology, Environmental Justice, Communication

& Culture, etc.); Physical Sciences and Earth Sciences (includes pollution prevention and/or control related study in Atmospheric Sciences, Chemistry, Geology, Geography, Soil Science, Biogeochemistry, Water Quality, etc.); Mathematics and Computer Science (includes pollution prevention and/or control related study in Environmental Information Science, Mathematics and Statistics, Bioinformatics, etc.).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowship awards are made in response to competitive solicitations. Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories) for the fellowship period. Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the U.S. for permanent residence. Resident aliens must include their green card number in their application. You must have your green card at the time of application to be eligible for this Fellowship opportunity. EPA may verify this number with the U.S. Citizenship and Immigration Service of the Department of Homeland Security.

The GRO Undergraduate Fellowship is intended for students entering their last two years of full time study before obtaining their first bachelor's degree. Students who have already earned one bachelor's degree and are pursuing additional degrees are not eligible. The fellowship tenure is for 2 full academic years (9 months each) with a required paid summer internship after their first year. Thus, only students who will be entering their last two years of college will be considered eligible.

In order to receive the fellowship, the student must attend a fully accredited four year U.S. institution of higher education. This school must be among those that are not highly funded for research and development capacity. For the purposes of this solicitation, EPA considers ineligible those institutions receiving more than \$35 million in annual federal research and development funding. Institutions who exceed this threshold can be identified in the National Science Foundation's publication "Federal Science and Engineering Support to Universities, Colleges, and Nonprofit Institutions: FY 2007," Table 12 column 2. These data can be found at: <http://www.nsf.gov/statistics/nsf09315/pdf/tab12.pdf> (28 pp, 76 KB, about PDF).

Applicants attending eligible institutions with substantial minority enrollment are particularly encouraged to apply. Examples of such schools are those that the U.S. Department of Education lists as "Accredited Postsecondary Minority Institutions" consistent with Executive Orders 13125 (Increasing Participation of Asian Americans and Pacific Islanders in Federal Programs), 13230 (President's Advisory Commission on Educational Excellence for Hispanic Americans), 13256 (White House Initiative on Historically Black Colleges and Universities), and 13270 (Executive Order on Tribal Colleges and Universities),

which seek to advance the development of the Nation's full human potential, advance equal opportunity in higher education, strengthen the capacity of these institutions to provide the highest quality education, and increase opportunities for these institutions to participate in and benefit from Federal programs. Note however, that not all of these schools receive \$35 million or less annually in Federal Research and Development expenditures. For specific information regarding Federal funding to minority-serving institutions, see FY 2005 Federal S&E Obligations Reach Over 2,400 Academic and Nonprofit Institutions; Data Presented on Minority-Serving Institutions, at <http://www.nsf.gov/statistics/infbrief/nsf07326/nsf07326.pdf> (8pp, 443 K).

Students attending two-year institutions, community colleges, and not in attendance at an institution of higher education may be eligible to apply. However, in order to receive the fellowship, students attending two-year institutions, community colleges, or not in attendance at the time of application will need to show evidence of having received their Associate's degree, will need to demonstrate that they only have two years left of undergraduate studies for completion of the Bachelor's degree, and will need to demonstrate that they have been accepted to attend an accredited eligible four year institution. This requirement is considered satisfied if the student is transferring to a four-year institution which has an Articulation Agreement with the two-year institution, thus meaning that all credits will transfer towards requirements for the Bachelor's degree. An Articulation Agreement is a signed contract between a community or technical college and a four-year college or university that guarantees that a student who earns an associate degree at a participating two-year institution can transfer all of the general education core credits to the four-year institution toward the completion of baccalaureate degree requirements. More information can usually be obtained directly from the institution's Registrar. Alternately, a student with an Associate's degree may provide a letter from the Registrar of the accredited four-year institution verifying that upon matriculation, the student will only have two remaining years of undergraduate study to complete the first Bachelor's degree.

Students must be pursuing a bachelor's degree in an environmentally related field. Students must have two (2) years remaining from the start of the Fall semester (Fall 2011) before receiving their initial bachelor's degree. Students must have at least a "B" average overall.

Acceptance of this fellowship does not necessarily preclude acceptance of another scholarship, fellowship, traineeship, or grant aid. However, this fellowship does preclude receiving other federal fellowships.

The exact term (start and end dates) of the undergraduate fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two years.

Employees of the U.S. government must be able to prove separation from Federal service before accepting this fellowship.

EPA recognizes the need for scientists and engineers from diverse cultural backgrounds. Broadening opportunities for, and enabling participation of all individuals, including women, men, minorities and persons with disabilities, are essential to the health and vitality of science and in particular, the environmental research and development enterprise. For this reason, EPA strongly encourages women, minorities, and persons with disabilities to apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally

specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. NCER invites pre-applications for undergraduate fellowships in academic disciplines including engineering, environmental science, and physical science. A pre-application provides the information needed for the review of its merit. Following the merit review, top rated applicants will be required to submit a brief formal application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For regular mail, completed paper pre-applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725F); Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, NW, Washington, DC 20460. For express mail and couriers, completed paper pre-applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation); 1025 F Street, NW (Room 3500), Washington, DC 20004, Phone: (202) 233-0686. Specific details on the application process are described in the solicitations which are located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 210 days from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of the fellowship is negotiated with the student and covers a period of nine months for each fellowship year. Students seeking a bachelor's degree may be supported for a maximum of two years. If selected to receive a fellowship, the fellow will be contacted no later than seven months after the close of the solicitation and should subsequently receive official notification of award by no later than 9 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division. See the following for information on how assistance is awarded/released: Assistance is incrementally funded on an annual basis.

Reports:

EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Reporting requirements are also identified in the fellowship regulations, 40 CFR Part 46. No cash reports are required. No

progress reports are required. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$1,900,000; FY 10 est \$2,100,000; FY 11 est \$2,100,000

Range and Average of Financial Assistance:

The undergraduate fellowship provides up to \$19,700 per year of academic support and \$9,500 for internship support for a combined total of up to \$48,900 over the life of the fellowship. Awards range from \$31,000 to \$48,900 total per fellowship and average \$39,000 total.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Applications received for the Greater Research Opportunities Fellowship Program (Undergraduate)- FY 09: 159; FY 10: 0;. New awards for the Greater Research Opportunities Fellowship Program (Undergraduate)- FY 09: 26; FY 10: 0.

Abstracts of awards and summary results of the research are available on the NCER homepage: <http://www.epa.gov/ncer>. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contacts listed in the solicitations. Solicitations are located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Georgette Boddie, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 8723F, Washington, District of Columbia 20460 Email: boddie.georgette@epa.gov Phone: (202) 343-9741.

Website Address:

<http://www.epa.gov/ncer>

RELATED PROGRAMS:

66.514 Science To Achieve Results (STAR) Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1. Support student's education in the field of environmental chemistry. Student will investigate the solubility and mobility of toxic metals.

2. Support student's education in the field of biology.

3. Support student's education in the field of biology. Student's research will focus on biomonitoring and bioremediation.

4. Support student's education in the field of environmental studies. Student's research will focus on water quality/wastewater issues.

5. Support student's education in the field of biological engineering. Student's research will focus on bioresource and ecological engineering.

See Web Page (<http://www.epa.gov/ncer>) for information on previously funded projects under the Greater Research Opportunities Fellowship Program. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for the RFAs.

66.514 SCIENCE TO ACHIEVE RESULTS (STAR) FELLOWSHIP PROGRAM

FEDERAL AGENCY:

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; National Environmental Policy Act of 1969, Section 102(2)(F); Clean Water Act, Section 104, 33 U.S.C 1254; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Clean Air Act, Section 103, 42 U.S.C 7403; Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136.

OBJECTIVES:

The National Center for Environmental Research (NCER), as part of its Science to Achieve Results (STAR) program, offers graduate fellowships for master's and doctoral level students in environmentally related fields of study. The purpose of the fellowship program is to encourage promising students to obtain advanced degrees and pursue careers in environmentally related fields. This goal is consistent with the mission of EPA, which is to provide leadership in the nation's environmental science, research, education, assessment, restoration, and preservation efforts. This program will benefit both the public and private sectors which will need a steady stream of well-trained environmental specialists if society is to meet the environmental challenges of the future. These fellowships are intended to help defray costs associated with advanced environmentally-oriented study leading to a master's or doctoral degree. Funding Priority - Fiscal Year 2010: The STAR Fellowship Program will support graduate fellowships in the following areas: Emerging Environmental Approaches & Challenges: Innovative Investigations for Oil Spill Impacts; Emerging Environmental Approaches & Challenges: Social Sciences; Emerging Environmental Approaches & Challenges: Information Science; Tribes and American Indian/Alaska Native/Pacific Islander Communities; Nanotechnology; Science & Technology for Sustainability: Environmental Entrepreneurship; Science & Technology for Sustainability: Green Engineering/Building/Chemical Products & Processes/Materials Development; Science & Technology for Sustainability: Green Energy/Natural Resources Production & Use; Global Change; Clean Air; Drinking Water; Water Quality: Hydrogeology and Surface Water; Water Quality: Coastal and Estuarine Processes; Human Health: Public Health; Human Health: Risk Assessment and Risk Management; Ecosystem Services: Aquatic Systems Ecology; Ecosystem Services: Terrestrial Systems Soil and Plant Ecology; Ecosystem Services: Terrestrial Systems Animal Ecology; Pesticides and Toxic Substances; Land Protection. All projects must describe the relationship of these topic areas to the statutorily required purpose of pollution prevention and/or control.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All STAR fellowship awards are made in response to a competitive solicitation.

Funds are to provide resources to allow for fellowships related to environmental issues. No matching funds are required. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Applicants must attend a fully accredited U.S. college or university (located in the U.S. or its territories). Individuals must be citizens of the U.S. or its territories or possessions, or be lawfully admitted to the U.S. for permanent residence. Resident aliens must include their green card number in their application. Resident alien applicants must have their green card at the time of application to be eligible for this Fellowship opportunity. EPA may verify this number with the U.S. Citizenship and Immigration Service of the Department of Homeland Security.

Applicants do not need to be enrolled in or formally accepted into a full time graduate program at the time they apply for a fellowship, but proof of enrollment or acceptance must be produced prior to the award of the fellowship. Applicants must be pursuing a master's or doctoral degree in an environmental field of specialization at a fully accredited U.S. college or university (based in the U.S. or its territories).

Applicants who are in a graduate program at the time of application and meet either of the following two criteria as of the solicitation closing date ARE NOT eligible to receive a fellowship: 1) have completed more than one year in their current master's program; or 2) have completed more than four years in their current doctoral program. However, applicants enrolled in a master's program who intend to pursue a doctoral degree beginning in the fall of 2011 may apply for a doctoral fellowship.

This fellowship does preclude receiving other federal fellowships simultaneously. However, acceptance of this fellowship does not necessarily preclude acceptance of another private, state, regional, local, or non-profit scholarship, fellowship, traineeship, or grant aid.

Employees of the U.S. government may apply, but must be able to prove separation from Federal service before accepting this fellowship.

EPA recognizes the need for scientists and engineers from diverse cultural backgrounds. Broadening opportunities for, and enabling participation of all individuals, including women, men, minorities and persons with disabilities, are essential to the health and vitality of science and in particular, the environmental research and development enterprise. For this reason, EPA strongly encourages women, minorities, and persons with disabilities to apply.

Beneficiary Eligibility:

Individual/Family; Student/Trainee and Graduate Student.

Credentials/Documentation:

EPA may request that fellows document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out the fellowship. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. NCER invites pre-applications for graduate fellowships in academic disciplines relating to environmental research including engineering, public health, and ecological sciences. A pre-application provides the information needed for the review of its merit. Following the merit review, top rated applicants will be required to submit a brief formal application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. For regular mail, completed paper pre-applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1200 Pennsylvania Avenue, NW, Washington, DC 20460. For express mail and couriers, completed paper pre-applications must be submitted to the following address: U.S. Environmental Protection Agency, Peer Review Division (8725F), Funding Opportunity Number: (include appropriate number as shown in the solicitation), 1025 F Street, NW (Room 3500), Washington, DC 20004, Phone: (202) 233-0686. Specific details on the application process is described in the solicitation which is located at: <http://www.epa.gov/ncer>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 210 days from the close of the solicitation.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The term of a graduate fellowship is negotiated with students and customarily covers a period of 9 to 12 months for each fellowship year. Students seeking a master's degree may be supported for a maximum of two years. Students seeking doctoral degrees may receive support for a maximum of three years, available over a period of four years. If selected to receive a fellowship, the fellow will be contacted no later than seven months after the close of the solicitation and should subsequently receive official notification of award by no later than 9 months after the close of the solicitation for the fall term. Please note that this schedule may be changed without notification due to factors that were not anticipated at the time of announcement. The official notification of an award will be made by the EPA's Grants and Interagency Agreement Management Division. See the following for information on how assistance is awarded/released: Assistance is incrementally funded on an annual basis.

Reports:

EPA includes reporting requirements for fellowships in the terms and conditions of the agreements. Reporting requirements are also identified in the fellowship regulations, 40 CFR Part 46. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 46 are applicable. All records related to a fellowship agreement must be maintained for three years after the completion date inserted on the "Completion of Studies Notice" (EPA Form 5770-9). EPA, the Inspector General, Comptroller General of the United States, or any of their duly authorized representatives, has the right of timely and unrestricted access to documents, papers, or other records related to a fellowship, in order to make audits, examinations, excerpts, transcripts and copies of such documents. The rights of access in this paragraph are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$4,900,000; FY 10 est \$7,900,000; FY 11 est \$14,000,000

Range and Average of Financial Assistance:

A maximum of \$84,000 will be provided for master's fellows (two years) and up to \$126,000 (three years) for doctoral fellows. Awards range from \$55,000 to \$126,000 total per fellowship and average \$80,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Applications received for the STAR Fellowship Program: FY 09: 1362; FY 10: 0. New Awards for the STAR Fellowship Program: FY 09: 0; FY 10: 0. Abstracts of awards and summary results of the research are available on the NCER homepage: <http://www.epa.gov/ncer>. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Fellowship Regulations, Environmental Protection Agency (40 CFR Part 46).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed in the solicitation. The solicitation is located at: <http://www.epa.gov/ncer>.

Headquarters Office:

Brandon Jones, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 8726F, Washington, District of Columbia 20460
Email: jones.brandon@epa.gov Phone: (202) 343-9850.

Website Address:

<http://www.epa.gov/ncer>

RELATED PROGRAMS:

66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate/Graduate Environmental Study

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No awards were made in FY 09. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current

Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://www.epa.gov/ncer>) for additional information on the evaluative criteria for this RFA.

66.516 P3 AWARD: NATIONAL STUDENT DESIGN COMPETITION FOR SUSTAINABILITY**FEDERAL AGENCY:**

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Clean Air Act, Section 103, 42 U.S.C 7403; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; National Environmental Policy Act of 1969, Section 102(2)(F); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136; Clean Water Act, Section 104, 33 U.S.C 1254; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981.

OBJECTIVES:

EPA offers this competition to respond to the technical needs of the developed and developing world in moving towards the goal of sustainability. P3 highlights people, prosperity, and the planet - the three pillars of sustainability - as the next step beyond P2 or pollution prevention. The P3 Award program is a partnership between the public and private sectors to progress toward sustainability by achieving the mutual goals of economic prosperity, protection of the natural systems of the planet, and providing a higher quality of life for its people.

Funding Priority - Fiscal Year 2010: The United States Environmental Protection Agency (EPA) invites submissions to the P3 Award: A National Student Design Competition for Sustainability. The P3 competition will provide grants to teams of college students to research, develop, and design solutions to challenges to sustainability.

The P3 Award Program is composed of two phases that award grants on a competitive basis. The first phase of the P3 Award Program is a competition for one-year grants of up to \$10,000 to test scientific hypotheses and principles by developing scientific or engineering designs that will promote sustainable development. Challenges from a wide range of categories will be considered. These include: Energy (e.g., reduction in air and water emissions through innovative strategies for energy production and energy distribution, energy conservation, inherently benign energy through green chemistry, green engineering, development of alternative energy sources); Built Environment (e.g., green building designs, transportation and mobility strategies, or smart growth approaches that result in environmental benefits such as air emission reductions or water quality improvements); Materials and Chemicals (e.g., biomimicry, materials conservation, renewable feedstocks, materials and chemicals that are inherently benign and energy-, water- and material efficient through their full life-cycles, recovery and reuse of materials through product, process, or system design); Water (e.g., water quality or conservation, drinking water treatment and supply); Agriculture (e.g., reduction or elimination of pesticides, minimizing fertilizer and nutrient runoff, productive use of agricultural wastes. Projects focused solely on food supply are not allowable.)

In the spring following Phase I grant awards, Phase I grantees are required to present their designs at the annual National Sustainable Design Expo on the National Mall in Washington, DC where they will have an opportunity to compete for Phase II grant awards of up to \$75,000. The Phase II grant awards are to support the demonstration and further development of the sustainability designs created in the first phase of the program. The competitors for the P3 Phase II grants will be limited to those selected to receive support as a result of the Phase I competition. Additional instructions for the Phase II proposals, which will be due approximately 8 months after the Phase I grants are awarded, will be distributed to Phase I recipients following award of the Phase I grants. Those projects identified for receipt of a Phase II grant are also recognized as "P3 Award".

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are made in response to an announced competitive request for applications (RFA). Funds awarded via grant agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Public nonprofit institutions/organizations (limited to degree-granting public institutions of higher education) and private nonprofit institutions/organizations (limited to degree-granting private institutions of higher education) located in the U.S. are eligible to apply to be the recipient of a grant to support teams of undergraduate and/or graduate students. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program. The students on the teams supported by the institution receiving the grant must be enrolled in the college, university, or post-secondary educational institution they will be representing at the time the proposal is submitted. Institutions are allowed to submit more than one application where each application represents a unique design concept and student team. For the purposes of grant administration, the team's faculty advisor will be designated the Principal Investigator throughout the P3 grant award and competition process. In addition to the Principal Investigator, each team selected for award will also be asked to provide contact information for a student lead.

Eligible nonprofit organizations include research institutes, corporations, or foundations that are part of a U.S. institution of higher education. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to apply.

National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its assistance agreement from the EPA to an FFRDC for, supplies, equipment, and other expenses directly related to the research. However, salaries for permanent FFRDC employees may not be provided through this mechanism.

Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on an assistance agreement, and may not receive salaries or augment their Agency's appropriations in other ways through awards made under this program.

The applicant institution may enter into an agreement with a Federal Agency to purchase or utilize unique supplies or services unavailable in the private sector to the extent authorized by law. Examples are purchase of satellite data, census data tapes, chemical reference standards, analyses, or use of instrumentation or other facilities not available elsewhere. A written justification for federal involvement must be included in the application. In addition, an appropriate

form of assurance that documents the commitment, such as a letter of intent from the Federal Agency involved, should be included.

Interdisciplinary teams, including representatives from multiple engineering departments and/or departments of chemistry, architecture, industrial design, business, economics, policy, social science, and others, are strongly encouraged to submit an application through their institution.

Collaboration with colleges and universities outside the United States is permitted, but only U.S. institutions will be eligible for awards. Up to 40% of the total grant may be sub-awarded to another educational institution (domestic or foreign) as described in instructions for submitting under this competition. If foreign work will be performed, in the process of funding the grant, EPA's Office of Research and Development is required to obtain clearance from EPA's Office of International Activities and the U.S. Department of State before any funded foreign work or travel is performed.

See RFA at: <http://www.epa.gov/ncer/p3/> for additional information pertaining to eligibility requirements.

Beneficiary Eligibility:

Public Nonprofit Institutions/Organizations, Private Nonprofit Institutions/Organizations, Anyone/General Public, Education Professional, Student/Trainee, Graduate Student, Scientists/Researchers.

Credentials/Documentation:

EPA may request that students document their affiliation with an eligible institution. The EPA may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out the research. EPA may ask principal investigators for information documenting past performance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circular No. A-110 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Specific details on the application process is described in the solicitation which is located at: <http://es.epa.gov/ncer/p3/>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Customarily, applicants are notified of award decisions within six months of the close of the RFA. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate proposal revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreement Management Division.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

P3 Awards will be fully funded. Project period for Phase I will normally not exceed 1 year. Project period for Phase II will normally not exceed 2 years. Method of awarding/releasing assistance: lump sum.

Reports:

Phase I Report, Phase II report, and invention reports as appropriate. No cash reports are required. Phase II awards require progress reports. No expenditure reports are required. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 are applicable. Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$1,100,000; FY 10 est \$1,100,000; FY 11 est \$1,100,000

Range and Average of Financial Assistance:

Phase I Awards range from \$9,000 to \$15,000. Average awards total \$9,800. Phase II Awards range from \$65,000 to \$90,000. Average awards total \$72,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Applications received for the P3 Phase I Award: FY 09: 88; FY 10: 0. New awards for the P3 Phase I Award: FY 09: 43; FY 10: 0. Applications received for the P3 Phase II Award: FY 09: 42; FY 10: 0. New awards for the P3 Phase II Award: FY 09: 6; FY 10: 0. Abstracts of grant awards, and final summary results of the research will be available on the NCER P3 homepage: <http://es.epa.gov/ncer/p3/past/index.html>. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30) and Research and Demonstration Grant Regulations, Environmental Protection Agency (40 CFR Part 40).

Regional or Local Office:

See Regional Agency Offices. Applicants are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog or the contact listed on the solicitation. The solicitation may be found at: <http://es.epa.gov/ncer/p3>.

Headquarters Office:

Cynthia Nolt-Helms, USEPA Headquarters, 1025 F Street, N.W., Washington, District of Columbia 20004 Email: nolt-helms.cynthia@epa.gov Phone: (202) 343-9693.

Website Address:

<http://www.epa.gov/ncer>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1. Minimize water consumption and pollution during the processing of maize (corn) into masa in a way that does not adversely affect the quality of the masa product.

2. Use chitosan coagulation as a method of eliminating the three classes of pathogens that cause waterborne diarrheal disease: viruses, bacteria, and parasites.

3. Study the feasibility of utilizing wind produced by passing vehicles on highways and converting it to electricity.

4. Improve the quality of data, knowledge of controlling mechanisms, and robustness of predictive performance models in converting wood waste into fuel ethanol.

5. Develop an affordable gasification production that can cogenerate electricity, heat and soil amendment for agricultural production.

See Web Page (<http://es.epa.gov/ncer/p3/past/index.html>) for additional information on previously funded grants. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. See the Web page (<http://es.epa.gov/ncer/p3>) for additional information on the evaluative criteria for this RFA.

66.517 REGIONAL APPLIED RESEARCH EFFORTS (RARE)**FEDERAL AGENCY:**

Office of Research and Development, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20&23, Public Law 92-516, 7 U.S.C 136r&136u; National Environmental Policy Act of 1969, Section 102(2)(F); Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311, Public Law 95-510; Solid Waste Disposal Act, Section 8001; Toxic Substances Control Act, Section 10, Public Law 94-469, 15 U.S.C 2601; Safe Drinking Water Act, Section 1442, Public Law 93-523; Marine Protection, Research, and Sanctuaries Act, Section 203; Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Clean Air Act, Section 103&104, Public Law 95-95, 42 U.S.C 7401; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6981.

OBJECTIVES:

To (1) support surveys, studies and investigations and special purpose assistance to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) identify, develop, and demonstrate effective pollution control techniques; and (3) fund

innovative regional projects that address a stated problem or opportunity relating to sustainability and use science to inform design, planning and decision-making at the local, state and industrial levels. Funding Priority - Fiscal Year 2010: FY 2010 Priorities include conducting high priority air pollutants research, ecological risk assessment, water quality, watersheds and drinking water research, research to improve human health (including children's) risk assessment, pollution prevention and new technologies/Economic and Social Science research. Support surveys, studies and investigations and special purpose assistance actions to determine the environmental effects of air quality, drinking water, water quality, hazardous waste, toxic substances, and pesticides; and identify, develop, and demonstrate and effective pollution control techniques will all be funded under this category.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded via grants/cooperative agreements are available for allowable direct cost expenditures incident to the performance of research plus allocable portions of allowable indirect costs of the institutions, in accordance with established EPA policies and regulations. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

These programs are available to each State, territory and possession, and Tribal nation of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments, other public or private nonprofit institutions, and in some cases, individuals who have demonstrated unusually high scientific ability.

Beneficiary Eligibility:

Public nonprofit institutions/organizations and private nonprofit institutions/organizations; state and local governments; Federally Recognized Indian Tribal Governments; U.S. territories or possessions; Scientists/Researchers, Hospitals, and Individuals.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. When applicable, an environmental impact assessment is made by the Office of Research and Development as required by the National Environmental Protection Act. The standard application forms as furnished by the EPA and required by OMB Circulars No. A-102 and No. A-110 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Funds may be available to support activities including but not limited to experiments, surveys, studies, investigations, public education programs, and monitoring where authorized by specific statutes, in both science and engineering disciplines. Please check the Federal Register or the EPA/ORD

website <http://www.epa.gov/ord/htm/grantopportunity.htm> for an updated listing of these programs. Completed applications must be submitted to the appropriate laboratory, center or office within ORD specified in the announcement. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Customarily, applicants are notified about award decisions within six months of the solicitation deadline. After being recommended for award, applicants will be required to submit additional certifications and an electronic version of the revised project abstract, and may be requested to provide responses to comments or suggestions offered by the peer reviewers, a revised budget, past performance documentation, and/or make appropriate revisions. EPA Project Officers will contact Principal Investigators to obtain these materials. The official notification of an award will be made by the Agency's Grants and Interagency Agreements Management Division. Before or after award, certain applicants will be expected to provide additional quality assurance documentation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Renewals and extensions are available under the discretion of the appropriate Regional Science Liaison (RSL) to ORD.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12-month basis (annual). Total approved project period may not exceed 5 years. See the following for information on how assistance is awarded/released: Assistance is either fully funded (lump sum) or incrementally funded on an annual basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and 31. A discussion of expenditures is required in progress reports under this program. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-304.

Obligations:

(Project Grants) FY 09 \$459,582; FY 10 est \$540,000; FY 11 est \$540,000

Range and Average of Financial Assistance:

New grants/cooperative agreements range from \$11,000 to \$199,891 and average \$76,597.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Six grants/cooperative agreements were made in FY 2009. Contact the Regional Science Liaison of the specific region for the results of the research. Fiscal Year 2010: An estimated 5 grant/cooperative agreements will be made in FY 2010. Contact the Regional Science Liaison of the specific region for the results of the research. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Part 30 and 40 CFR Part 31). "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis and "EPA and the Academic Community, Solicitation for Grant Proposals."

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Tia Rush, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 8104R, Washington, District of Columbia 20460
Email: Rush.Tia@epa.gov Phone: (202) 564-5709.

Website Address:

<http://www.epa.gov/ord/htm/grantopportunity.htm>

RELATED PROGRAMS:

66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

- Fiscal Year 2009: 1) Borehole Flow Modification: Developing a Simple Solution to a Complex Drinking Water Compliance Problem
- 2) Improving Urban watershed through High Efficiency Street sweeping
 - 3) Use of molecular methods to identify the sources of contamination in fecally polluted watersheds
 - 4) Continuous Monitoring of Water-Quality Assessment Criteria Variables in Open Water, Deep Water, and Deep Channel Regions of the Potomac River Estuary
 - 5) Radio Frequency Identification (RFID) Tracking of Hazardous Wastes across International Borders
 - 6) Adverse Birth Outcomes and Air Pollution and Traffic Exposures
 - 7) Wastewater Organic Analysis of Lagoon, Surface and Ground Waters Impacted by Concentrated Animal Feeding Operations (CAFOs). Fiscal Year 2010: 1) Metal and Osmotic Ecophysiology in Appalachian Aquatic Insects: Clarifying the Cause of Biological Impairment Downstream of Large-Scale Surface Coal Mines
 - 2) Relationship of Airborne Manganese Exposure to Neurobehavioral and Health Status of Adults
 - 3) Field Deployment of Prototype Deep UV Optical Sensor (DUVOS) for Fence Line Monitoring
 - 4) Sources and Contribution of VOCs to Summertime Organic PM2.5: Expanding the scope of measurements at the CALNEX site, Arvin, CA. Fiscal

Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

66.518 STATE SENIOR ENVIRONMENTAL EMPLOYMENT PROGRAM

(SSEE)

FEDERAL AGENCY:

Office of Administration and Resources Management, Environmental Protection Agency

AUTHORIZATION:

Environmental Programs Assistance Act of 1984, Public Law 98-313, 42 U.S.C 4368a.

OBJECTIVES:

To use the talents of Americans 55 years of age or older to provide technical assistance to State environmental agencies for projects of pollution prevention, abatement, and control to achieve the Agency's goals of Clean Air; Clean and Safe Water; Land Preservation and Restoration; Healthy Communities and Ecosystems; and Compliance and Environmental Stewardship. Funding Priority - Fiscal Year 2010: The Environmental Programs Assistance Act of 1984 (Pub. L. 98-313) authorized the Administrator of the EPA "to make grants to, or enter into cooperative agreements with private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965." These cooperative agreements are to utilize the talents of older Americans in temporary, full time or part time positions "providing technical assistance to Federal, State, and local environmental agencies for projects of pollution prevention, abatement, and control." "Technical assistance" may include any activity performed for the State in support of its projects ranging from inspections of large capacity cesspools, to support for a State Environmental staff office. This allows for coverage of all types of SEE program positions from professional to clerical.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SEE Act states that only nonprofit organizations which are designated by the Secretary of Labor under Title V of the Older Americans Act can participate. These cooperative agreements are to utilize the talents of older Americans in temporary full time or part time positions "providing technical assistance to Federal, State and local environmental agencies for projects of pollution prevention, abatement, and control."

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Private, nonprofit organizations designated by the Secretary of Labor under Title V of the Older Americans Act of 1965.

Beneficiary Eligibility:

State environmental agencies and individuals 55 years old or older.

Credentials/Documentation:

The Environmental Programs Assistance Act of 1984 requires a certification by the Federal, State, or local agency that is to receive the SEE workers that the grant/cooperative agreement will not displace any Federal or State workers, prevent the rehiring of any laid-off Federal/State workers, or affect existing service contracts. This program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Requests for application forms must be submitted to: Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460. Completed applications must be sent to the same address, and a copy of the completed application should also be sent to: Susan Street, Manager, SEE Program, Office of Human Resources, Program Management and Communications Staff (3605M), Washington, DC 20460. This program is subject to the provisions of OMB Circular No. A-110. This program is excluded from coverage under OMB Circular No. A-102. Basic steps of the application process are outlined in the application package.

Award Procedure:

Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to assistance regulations and to program objectives.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance covers a period of one to three years and is awarded in increments during that period. See the following for information on how assistance is awarded/released: Assistance is awarded throughout the life of the Agreement to support ongoing activities.

Reports:

No program reports are required. Yes, on a quarterly schedule. Progress reports are required on a quarterly schedule. Recipients must furnish quarterly reports on expenditures by cooperative agreement. Performance monitoring is required as a normal part of Project Officer Post Award Monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents which support entries on accounting records and which substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until expiration of three years from the date of submission of the final financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$570,000; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

New awards and amendments: FY 2009, \$100,000 - \$270,000; Average \$190,000; FY 2010 estimated range \$100,000 - \$250,000; Estimated Averages \$185,000; FY 2011, estimated range \$100,000 - \$250,000; Average \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, two cooperative agreements were continued. In Fiscal Year 2010, two cooperative agreements will continue to be administered. Fiscal Year 2010: In Fiscal Year 2009, two cooperative agreements were continued. In Fiscal Year 2010, two cooperative agreements will continue to be administered. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Assistance Administration Manual, available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; 40 CFR Part 30, General Regulation for Assistance Programs; Office of Administration and Resources Management for the Management of the Senior Environmental Employment Program.

Regional or Local Office:

See Regional Agency Offices. Susan Street, Manager SEE Program (3605M), Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, 1200 Pennsylvania Ave, N.W., Washington DC 20460. Telephone: (202) 564-0410 FAX: (202) 564-0735; e-mail: street.susan@epa.gov.

Headquarters Office:

Susan Street, SEE Program (3605M), Environmental Protection Agency, Office of Administration and Resources Management, Office of Human Resources, Program Management and Communications Staff, 1200 Pennsylvania Ave, N.W, Washington, District of Columbia 20460 Email: street.susan@epa.gov Phone: (202) 564-0410.

Website Address:

<http://epa.gov/epahrist/see/brochure> .

RELATED PROGRAMS:

66.508 Senior Environmental Employment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Focus is on State level programs. Similar programs conducted at the Federal level provided to senior citizens to do national non-agricultural pesticide surveys, monitor for asbestos compliance in schools, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and general administrative and clerical tasks. Fiscal Year 2010: Focus is on State level programs. Similar programs conducted at the Federal level provided to senior citizens to do national non-agricultural pesticide surveys, monitor for asbestos compliance in schools, provide review and monitoring support for the Import Car Program, monitor the anti-fuel switching program, conduct research, and general administrative and clerical tasks. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals must demonstrate that the Organizations have the capability of operating nationwide; can manage the program within the prescribed administrative funding limits; and can provide a quality fringe benefit package to enrollees under the proposal (including health insurance).

66.600 ENVIRONMENTAL PROTECTION CONSOLIDATED GRANTS FOR THE INSULAR AREAS - PROGRAM SUPPORT

(Consolidated Program Support Grants)

FEDERAL AGENCY:

Region 9, Environmental Protection Agency

AUTHORIZATION:

Safe Drinking Water Act, Section 1443(a)&1443(b); Omnibus Territories Act , 48 U.S.C 1469; Solid Waste Disposal Act, 42 U.S.C 6908; National Environmental Policy Act, Section 102(2)(F); Pollution Prevention Act, Section 6605; Toxic Substances Control Act, Section 28(a),404(g); Clean Water Act,

Section 104(b)(3)&106; Federal Insecticide, Fungicide, and Rodenticide Act, Section 23(a)(1)&(a)(2); Toxic Substances Control Act, Section 306; Clean Water Act, Section 319(h); Clean Water Act, Section 205(g)&205(j)2.

OBJECTIVES:

The consolidated program support grant is an alternative assistance delivery mechanism which allows an Insular Territory responsible for continuing pollution control programs to develop an integrated approach to pollution control. An agency eligible for assistance for two or more pollution control programs may consolidate its assistance requests into a single application and receive a single consolidated award in an effort to reduce administrative and application costs. The consolidated grants program does not represent funding in addition to grants provided under individual authorities, but merely represents the amount of consolidation taking place within the Agency's total grant appropriations. Funding Priority - Fiscal Year 2010: To provide technical and managerial support in relation to our consolidated environmental program grants for the protection of public health and welfare in the Territories of Guam, American Samoa, and the Virgin Islands, and the Commonwealth of the Northern Mariana Islands (hereinafter referred to as "Insular Areas.") Funding priorities for the fiscal year include: 1) Clean and Safe Water: (a) Safe Drinking Water - To provide on-site technical assistance, training, funding towards building capacity at water utilities and environmental protection agencies, and addressing funding shortages for drinking water infrastructure improvements. We will work with DOI's Office of Insular Affairs and other federal agencies to explore ways of addressing the growing gap between available federal funding and the capital needs for sound infrastructure (b) Clean Water - To provide on-site technical assistance, training, funding, capacity building to utilities and environmental agencies for construction of wastewater treatment facilities; to assist government agencies in the development of surveillance, monitoring, and enforcement programs for streams, lakes, marine water, marine sediment, and coral reef protection through our comprehensive Watershed Management program that protects human health and the environment. 2) Preserve Land: To provide on-site technical assistance, training, and information exchange with other governments and international agencies to establish a regional recycling program that would reduce waste and the need for landfill area and eliminate possible incineration; to continue to inspections and establish training to prevent petroleum releases by working with environmental agencies, utilities, and Department of Defense by instituting comprehensive training, inspection, and on scene coordination concerning emergency responses. 3) Health Communities and Ecosystems: Reduce chemical and biological risks - To provide on-site technical assistance, training, capacity building, funding to eliminate the exposure to hazardous and nonhazardous chemicals, toxic waste, and pesticides for the protection of public health and the environment. Assist government with the removal and remediation of toxic and pesticide wastes; eliminate the illegal importation and improper use of pesticides; promote sustainable development; provide an information exchange and partnership for the utilization of international treaties regarding the transport and disposal of hazardous materials and waste. 4) Compliance and Environmental Stewardship: To provide on-site technical assistance, training, capacity building, and funding to continue to establish and develop key partnerships with territorial government agencies and federal agencies for enforcement issues concerning land based pollution, illegal toxic releases, and protection of the marine environment. As a result of EPA's continuing leadership and on-site assistance, the territorial governments look to EPA as a leader for all issues concerning environmental management and protection.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are awarded to Insular Area agencies under the above referenced authorities to provide technical and managerial support in relation to continuing environmental program grants that serve to protect the public health and welfare of the Insular Areas.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to

conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The Territories of Guam, American Samoa, and the Virgin Islands, and the Commonwealth of the Northern Mariana Islands are eligible to receive and administer funds for more than one environmental program. For certain competitive funding opportunities under this description, the Agency may limit eligibility to a particular subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

The Territories of Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication communications should be directed to the appropriate EPA Regional office. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and 40 CFR 31.10 must be used for this program. An applicant should consult the Regional office or official designated as the single point of contact for their Insular Area for more information on the process the Insular Area needs to follow when applying for assistance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. U.S. Insular area agencies will be notified to submit grant application packages identifying required forms including a work plan. The application package should be submitted to the appropriate EPA Regional Office. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

U.S. Insular area agencies will be notified of Federal assistance awards through the appropriate EPA Regional Office. For competitive awards, EPA will review and evaluate applications, proposals and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Matching requirements may be waived by the Agency pursuant to the Omnibus Territories Act. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for a 1-year or longer period. The Automated Standard Application for Payments (ASAP) is the preferred payment method. See the following for information on how assistance is awarded/released: Assistance is awarded as fiscal year program funds become available. Typically 2-3 awards/amendments are made per year.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in

the terms and conditions of the agreements. Agreements may require quarterly and final performance reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments - 40 CFR Part 31. Additionally, progress reporting by the Insular Area agency and performance monitoring by EPA will be the minimum necessary for sound program management. Reporting requirements may vary with each Insular Area agency depending upon the specific agency's demonstrated management abilities. A financial status report is required within 90 days of the end of the budget period. Program Reports are required. Cash Reports are required. Progress Reports are required. Expenditure Reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

All records including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If any litigation, claim or audit is started before the end of the 3-year period, the records must be retained until the litigations, claims or audit findings have been resolved.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304; 20-8145-0-7-304; 20-8153-0-7-304.

Obligations:

(Cooperative Agreements) FY 09 \$7,670,000; FY 10 est \$8,500,000; FY 11 est \$8,500,000

Range and Average of Financial Assistance:

In general, financial assistance has increased annually depending on program funding that is consolidated into the grant. Financial assistance has generally ranged from \$2,000,000 to \$4,000,000/territory/fiscal year. The total estimated average is \$8,500,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Island environmental agencies have significantly bolstered water, air, RCRA, superfund, and pesticides programs through the consolidated grants. The grants have allowed island governments to focus on environmental results without being overly burdened by administering multiple smaller grants. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 40 CFR Part 35, Subpart A, Environmental Program Grants; EPA annual Program Guidance.

Regional or Local Office:

See Regional Agency Offices. EPA Region 2 Contact: Victor M. Trinidad, Chief, Environmental Management Branch, EPA Region 2, Caribbean Environmental Protection Division, Centro Europa Building, Suite 417, 1492 Ponce de Leon Avenue, San Juan, Puerto Rico 00907-4127; Phone: (787) 977-5870; FAX: (787) 729-7747; EPA Region 9 Contacts: Guam: Alba Espitia, Grants Management Specialist, Grants Management Office (PMD-7), U.S. EPA Region 9, 75 Hawthorne St., San Francisco, CA 94105; Ph: 415-972-3667; Fax: 415-947-3556; E-mail: espitia.alba@epa.gov; CNMI and American Samoa: Renee Chan, Grants Management Specialist, Grants Management Office (PMD-7), U.S. EPA Region 9, 75 Hawthorne St., San Francisco, CA 94105; Ph: 415-972-3675; Fax: 415-947-3556; E-mail: chan.renee@epa.gov.

Headquarters Office:

Alba Espitia, Grants Management Office (PMD-7), EPA Region 9, 75 Hawthorne St., San Francisco, California 94105 Email: espitia.alba@epa.gov Phone: (415) 972-3667.

Website Address:

<http://www.epa.gov/region9/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects have been funded which combined as few as two to several eligible programs. Environmental program grants are awarded annually to Insular Area agencies which consolidate a number of different program funds. In FY04 a grant was awarded to a territorial utility agency which consolidated grant funds to support a water resources master plan project. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications may be approved if: (1) funds are available; (2) if the application satisfies the requirements of 40 CFR Parts 31 and 35 and applicable statutes; and (3) if the proposed work program is feasible and compatible with the program priorities in EPA annual guidance. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.604 ENVIRONMENTAL JUSTICE SMALL GRANT PROGRAM (EJSG)

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10(a); Clean Air Act, Section 103(b)(3); Safe Drinking Water Act, Section 1442(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a); Solid Waste Disposal Act, Section 8001(a); Clean Water Act, Section 104(b)(3); Marine Protection, Research, and Sanctuaries Act, Section 203.

OBJECTIVES:

The primary purpose of proposed projects should be to develop a comprehensive understanding of environmental and public health issues, identify ways to address these issues at the local level, and educate and empower the community. The long-term goals of the program are to help build the capacity of the affected community and create self-sustaining, community-based partnerships that will continue to improve local environments in the future. Funding Priority - Fiscal Year 2010: An estimated \$2,000,000 will be used to support non-profit organizations with activities that address environmental justice concerns, including but not limited to: (1) reducing asthma attacks; (2) reducing exposure to air toxics; (3) increasing compliance with regulations; (4) reducing the incidence of elevated blood lead levels; (5) creating green jobs; (6) and promoting energy efficiencies. Funding Priority - Fiscal Year 2011: An estimated \$1,000,000 will be used to support non-profit organizations with activities that address environmental justice concerns, including but not limited to: (1) reducing asthma attacks; (2) reducing exposure to air toxics; (3) increasing compliance with regulations; (4) reducing the incidence of elevated blood lead levels; (5) creating green jobs; (6) and promoting energy efficiencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, and investigations plus allowable direct costs in accordance with established EPA policies and procedures. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. The recipient cannot use these funds for the following

activities under this program: litigation against the Federal government or any other government entity; construction; lobbying; matching funds for other Federal grants; travel for Federal employees; and human health studies (taking blood or other information from humans).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. An eligible applicant MUST BE: (1) a 501(c)(3) non-profit organization as designated by the Internal Revenue Service;(2) a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which it is located;(3) a city, township, county government; OR (4) a Native American tribal government (Federally recognized)(AND)(5) located within the same state, territory, commonwealth, or tribe that the proposed project will be located. In addition, an eligible applicant must be able to demonstrate that it has worked directly with, or provided services to, the affected community. An "affected community" for the purposes of this assistance agreement program, is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal. The following entities are INELIGIBLE: colleges and universities; hospitals; state governments; quasi-governmental entities (e.g., water districts, utilities)*; and national non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995.

*Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

Beneficiary Eligibility:

Non-Profit organizations and local government organizations as described in 081 above.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 for non-profit organizations and OMB Circular A-102 for local governments. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the

Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the U.S. Environmental Protection Agency, Office of Environmental Justice, Mail Code 2201A, 1200 Pennsylvania Avenue, N.W., Washington, DC 20460 or the appropriate EPA Regional Office identified under Information Contacts. Detailed information and assistance including an application may also be found at <http://www.epa.gov/compliance/environmentaljustice/grants/index.html>. For grant awards, Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project grant will be awarded for a 1 year project period. Activities must be completed within the timeframe of the project's budget period and all grants will be fully funded at the time of award. See the following for information on how assistance is awarded/released: Other.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require interim and final progress reports and financial reports. Reporting requirements are also identified in the Grant Regulations Part 30 and Part 31. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of

submission of the Financial Status Report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$800,000; FY 10 est \$2,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

\$20,000 to \$50,000/fiscal year; FY 09 average \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 120 applications were received and 40 awards were made. Fiscal Year 2010: In FY 2010, 200 applications were received and it is anticipated that approximately 50 awards will be granted. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 30.

Regional or Local Office:

See Regional Agency Offices. Region 1 Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont: Primary Contact: Amy Braz (617) 918-1346, USEPA Region 1 (RAA), One Congress Street - Suite 1100, Boston, MA 02203-0001; Region 2 New Jersey, New York, Puerto Rico, U.S. Virgin Islands: Primary Contact: Terry Wesley (212) 637-5027, wesley.terry@epa.gov, USEPA Region 2, 290 Broadway, 26th Floor, New York, NY 10007; Secondary: Tasha Frazier (212) 637-3861, frazier.tasha@epa.gov. Region 3 Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia: Primary Contact: Reginald Harris (215) 814-2988, harris.reggie@epa.gov, USEPA Region 3 (3DA00), 1650 Arch Street, Philadelphia, PA 19103-2029. Region 4 Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee: Primary Contact: Elvie Barlow (404)562-9650, barlow.elvie@epa.gov, USEPA Region 4 Main Office (404) 562-8407, 61 Forsyth Street, Atlanta, GA 30303-8960; Secondary: Ella McLendon (404) 562- 8316, mclendon.ella@epa.gov. Region 5 Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin: Primary Contact: Margaret Millard (312) 353-1440, millard.margaret@epa.gov, USEPA Region 5 (DM7J), 77 West Jackson Boulevard, Chicago, IL 60604-3507. Region 6 Arkansas, Louisiana, New Mexico, Oklahoma, Texas: Primary Contact: Shirley Quinones (214) 665-2713, quinones.shirley@epa.gov, USEPA Region 6, Fountain Place, 13th Floor, 1445 Ross Avenue (RA-D), Dallas, Texas 75202-2733; Secondary Contact: Nelda Perez (214) 665-2209, perez.nelda@epa.gov. Region 7 Iowa, Kansas, Missouri, Nebraska: Primary Contact: Althea Moses (913) 551-7649, mooses.althea@epa.gov, USEPA Region 7, 901 North 5th Street (RGAD/ECO), Kansas City, KS 66101;Secondary: Kimberly Jones (303)551-7280: Region 8 Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming: Primary Contact: Nancy Reish (303) 312-6040, reish.nancy@epa.gov, USEPA Region 8 (8ENF-EJ), 999 18th Street, Suite 300, Denver, CO 80202-2466; Secondary: Jean Belille (303) 312-6556 belille.jean@epa.gov. Region 9 Arizona, California, Hawaii, Nevada, American Samoa, Guam: Primary Contact: Karen Henry (415) 972-3844, henry.karen@epa.gov, USEPA Region 9 CMD-1, 75 Hawthorne Street, San Francisco, CA 94105. Region 10 Alaska, Idaho, Oregon, Washington: Primary Contact: Running Grass (206) 553-2899, grass.running@epa.gov, USEPA Region 10 (OMP-143), 1200 Sixth Avenue, Seattle, WA 98101.

Headquarters Office:

Sheila Lewis, Office of Environmental Justice, (2201A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: lewis.sheila@epa.gov Phone: (202) 564-0152.

Website Address:

http://www.epa.gov/compliance/environmentaljustice/grants/ej_smgrants.html

RELATED PROGRAMS:

66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Types of projects funded by the program include: (1)

Developing and implementing an outreach campaign on lead hazards, the risks of pesticide application, and basic life skills that will educate the refugee population residing in the Lewiston/Auburn area of central Maine; (2) Assisting low-income rural populations living in the San Luis Valley of southern Colorado through a collaborative network. The overall goal is to provide testing of unregulated household wells and educate participants about the protection of their health by securing and monitoring their drinking water supply; (3) Developing a comprehensive environmental health information tool, consisting of emissions data, contaminated soil sites, the status of pending air pollution mitigation and site remediation effort data to study and identify the environmental and public health issues in Camden City, NJ; (4) Improving access to drinking water for Dinin communities on the western regions of the Navajo Nation, focusing on homes that do not have access to piped water, but rather require the water to be hauled and stored on premises -- a practice that can increase health risks. The immediate purpose of the project is to identify practical and effective solutions for the affected families. Fiscal Year 2010: FY 2010 data is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.605 PERFORMANCE PARTNERSHIP GRANTS

(PPGs)

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies Appropriations Act of 1998, Public Law 105-65; Omnibus Consolidated Rescissions and Appropriations Act of 1996, Public Law 104-134.

OBJECTIVES:

Improving EPA's partnership with the States and Tribes is critical to accelerating environmental outcomes. Performance Partnership Grants (PPGs) are the cornerstone of the National Environmental Performance Partnership System (NEPPS) -- EPA's strategy to strengthen partnerships and build a results-based management system. PPGs are innovative grant delivery tools that allow States and Tribes to combine up to 20 eligible STAG program grants into a single grant with a single budget. PPGs can reduce administrative transaction costs, provide the flexibility to direct resources toward the highest priority environmental problems, and support cross-media approaches and initiatives. EPA's overarching goal is to optimize the leveraging power of PPGs to focus strategically on the joint priorities of EPA, States and Tribes. PPGs do not include any funding in addition to the state and tribal assistance grants provided under other statutory authorities. Funding Priority - Fiscal Year 2010: Many state environmental agencies are experiencing severe budget cuts and will be challenged to maintain core programs. In this climate, EPA's regional offices will give priority to flexibility and opportunities to work collaboratively with States and Tribes. The Agency will continue to focus on our ongoing goals for the PPG program, which are: (1) strengthen partnerships between EPA, the States, Tribes, and interstate agencies through joint planning and priority setting to deploy resources more effectively; (2) provide States, Tribes, and interstate agencies with the flexibility to direct resources where they are needed most to address environmental and public health priorities; (3) link program activities more effectively with environmental and public health goals and environmental outcomes; (4) foster implementation of innovative approaches such as pollution prevention, ecosystem management, and community-based environmental protection strategies; and (5) provide savings by streamlining administrative requirements.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

PPGs are a type of modified block grant, where recipients may combine funds from categorical grants to accomplish their joint and several purposes, so long as recipients meet program requirements for each categorical grant combined into the PPG. The 20 categorical grants eligible for PPGs are a mixture of continuing program grants and competitive project grants. Eligible recipients

can combine two or more of the following 20 categorical grants programs identified in EPA's FY 2009 State and Tribal Assistance Grants (STAG) appropriation into a PPG: (1) Air Pollution Control (Clean Air Act [CAA] Section 105); (2) Water Pollution Control (Clean Water Act [CWA] Section 106); (3) Nonpoint Source Management (CWA Section 319); (4) Water Quality Cooperative Agreements (CWA Section 104(b)(3)); (5) Wetlands Program Development (CWA Section 104(b)(3)); (6) Public Water System Supervision (Safe Drinking Water Act [SDWA] Sections 1443(a) and 1451(a)(3)); (7) Underground Water Source Protection (SDWA Section 1443(b)); (8) Hazardous Waste Management (Solid Waste Disposal Act, Section 3011(a)); (9) Underground Storage Tank (Solid Waste Disposal Act, Section 2007(f)(2)); (10) Radon Assessment and Mitigation (Toxic Substances Control Act [TSCA] Section 306); (11) Lead-based Paint Activities (TSCA Section 404(g)); (12) Toxic Substances Compliance Monitoring (TSCA Section 28); (13) Pollution Prevention Incentives for States (Pollution Prevention Act [PPA] Section 6605); (14) Pesticide Cooperative Enforcement (Federal Insecticide, Fungicide, and Rodenticide Act [FIFRA] Section 23(a)(1)); (15) Pesticides Program Implementation (FIFRA Section 23(a)(1)); (16) Pesticide Applicator Certification and Training (FIFRA Section 23(a)(2)); (17) Environmental Information Network Exchange Grants (Consolidated Appropriations Resolution for the Departments of Veterans Affairs, Housing and Urban Development, and Independent Agencies of 2003, Public Law 108-7; Consolidated Appropriations Act of 2004, Public Law 108-199); (18) Brownfields State and Tribal Response Program Grants (Comprehensive Environmental Response, Compensation, and Liability Act of 1980 [CERCLA], Section 128(a), as amended; Public Law 107-118; Small Business Liability Relief and Brownfields Revitalization Act); (19) Capacity Building Grants and Cooperative Agreements for States and Tribes (CWA, Section 104; FIFRA, Section 20; CAA, Section 103; Solid Waste Disposal Act, Section 8001; SDWA, Section 1442; TSCA, Section 10; Marine Protection, Research and Sanctuaries Act, Section 203; CERCLA, Section 311; National Environmental Policy Act [NEPA], Section 102(2)(F) [for international awards]; and (20) General Assistance Grants to Indian Tribes (Indian Environmental General Assistance Program Act of 1992) – only eligible Tribes can propose to include these funds in a PPG application. Recipients may use PPGs to fund activities that are within the cumulative eligibilities of the 20 categorical grants programs listed above for which they are eligible.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

All States, interstate agencies, U.S. territories, and federally recognized Indian Tribes eligible to receive more than one of the 20 categorical grants program referred to in "Uses and Use Restrictions" above are eligible to apply for PPGs. Any duly authorized State or tribal entity that currently receives or is eligible to receive EPA categorical program grants may request a PPG for the funds it administers. This may include agencies other than environmental agencies (for example, agricultural and health agencies), where authorized by state or tribal law. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, U.S. territories, federally recognized Indian tribal governments, and interstate agencies.

Credentials/Documentation:

All recipients are agencies of state, local, tribal, or territorial governments. All

documentation requirements for recipients are presented at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," and 40 CFR Part 35, "State and Local Assistance." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants interested in pursuing PPGs should coordinate with their appropriate EPA regional office to develop a PPG through joint negotiations. (See Appendix IV of the Catalog.) Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for applications should be made to the appropriate EPA regional grants management office. Applicants will negotiate the content of their PPG with their EPA regional office and submit an application for the PPG based on these negotiations. Applicants for PPGs must submit an "Application for Federal Assistance: State and Local Non-Construction Programs," (Standard Form 424), including the required supporting documents. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Grant applications are reviewed by the appropriate EPA regional office, and if approved, awarded by the Regional Administrator. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. After the applicant is chosen for the competitive award, the applicant will work with the EPA regional office to add those competitive grant funds to the PPG. The workplan and supporting documentation that were the basis of the competitive award also will be added to the PPG.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Major portions of the PPGs are by statutory formula. The allocation for a PPG consists of the cumulative allocations for the categorical grants included in the PPG. Recipients should calculate a single, composite minimum cost share (based on the match or maintenance of effort requirements of the categorical grants included in the PPG) for each of their PPGs. To calculate the minimum cost share for a PPG, start with the amount of Federal dollars from each program (source of funds) going into the PPG. The minimum required cost share for each portion is determined by identifying the

cost share requirements of the relevant categorical grant program (based on the source of funds). The minimum recipient cost share for the PPG is the sum of the minimum cost shares of the contributed categorical grants. Tribal governments and intertribal consortia may be eligible for match requirement waivers based on demonstrated hardship. The determination of eligibility will be completed by the Regional Administrator and will be based on socio-economic criteria.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined during pre-application negotiations and at the time of the award. Applicants may choose to submit annual or multi-year work plans and project periods. Budget periods will be for 12 months with the applicant having the flexibility to select, in consultation with the Regional Administrator, the specific start and end date for the budget period. Grant awards are made after review and approval of the application. Grantees are reimbursed for actual expenses based on submissions in financial status reports. See the following for information on how assistance is awarded/released: Annually.

Reports:

Applicants must provide reports and conduct joint evaluations in accordance with 40 CFR Part 31 and 40 CFR Part 35, Subparts A and B. Refer to the program descriptions for the individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list). Cash reports may be required. Progress reports may be required. Expenditure reports may be required. Performance monitoring may be required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Formula Grants) FY 09 \$381,000,000; FY 10 est \$381,000,000; FY 11 est \$381,000,000 - NOTE: See General Objectives, section [50], for a description of PPGs. The amounts cited above are inclusive of, not in addition to, obligations reported under individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list).

Range and Average of Financial Assistance:

There is no low-end limit for PPG awards to Tribes. EPA may make awards as small as two programs and thousands of dollars to Tribes. EPA may award PPGs that combine up to 19 categorical grants to States. PPGs for larger States can exceed \$10 million. In FY 2009, state PPGs generally contained six or seven categorical grants, with award amounts averaging around \$5 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: PPGs have allowed States to take advantage of administrative and program efficiencies and innovations to achieve greater environmental and program results. States and Tribes have used PPGs to address critical emergencies and unplanned events (for example, responses to hurricanes Rita and Katrina). They have used PPG flexibility to overcome periodic budget needs or high program demands in specific programs. States and Tribes have

used PPGs to implement multi-program initiatives and innovations that impact many environmental programs. They also have taken advantage of streamlined administrative procedures to make grant processes more efficient. PPGs have allowed Tribes to develop and implement environmental programs in situations where it would have been more difficult using categorical grants. Agencies may request to receive their categorical grant funds in a PPG. Nearly all PPG applicants receive a grant, since they generally fund ongoing environmental programs. The total number of PPGs in effect in FY 2009 was 170, including 39 to state environmental agencies; 26 to state agriculture agencies; and 105 to tribal agencies.

Also refer to the descriptions of accomplishments for the individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list). Fiscal Year 2010: PPGs have allowed States to take advantage of administrative and program efficiencies and innovations to achieve greater environmental and program results. States and Tribes have used PPGs to address critical emergencies and unplanned events. They have used PPG flexibility to overcome periodic budget needs or high program demands in specific programs. States and Tribes have used PPGs to implement multi-program initiatives and innovations that impact many environmental programs. They also have taken advantage of streamlined administrative procedures to make grant processes more efficient. PPGs have allowed Tribes to develop and implement environmental programs in situations where it would have been more difficult using categorical grants. Agencies may request to receive their categorical grant funds in a PPG. Nearly all PPG applicants receive a grant, since they generally fund ongoing environmental programs. The total number of PPGs in effect thus far in FY 2010 is 170, including 39 to state environmental agencies; 26 to state agricultural agencies; and 105 to tribal agencies.

Also refer to the descriptions of accomplishments for the individual STAG program grants eligible for inclusion in PPGs (see section [70] for a list). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 35 Subparts A and B; 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments."

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for EPA Regional Office contacts.

Headquarters Office:

Michael Osinski, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 1306A, Washington, District of Columbia 20460 Email: osinski.michael@epa.gov Phone: (202) 564-3792.

Website Address:

<http://www.epa.gov/ocir>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Performance Partnership Grants combine funds from up to 20 categorical grant programs to implement major operating environmental programs for clean air, clean water, drinking water, hazardous waste, and others; (2) Grants tap funding from media programs combined in the PPG to fund pollution prevention activities; (3) Grantee negotiates with the regional office to use unexpended prior year funds for special projects to meet state priorities. Fiscal Year 2010: (1) Performance Partnership Grants combine funds from up to 20 categorical grant programs to implement major operating environmental programs for clean air, clean water, drinking water, hazardous waste, and others; (2) Grants tap funding from media programs combined in the PPG to fund pollution prevention activities; (3) Grantee negotiates with the regional office to use unexpended prior year funds for special projects to meet state priorities. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants must meet selection criteria for the categorical grants to be combined in the PPG. The evaluation and selection criteria for competitive

awards under this CFDA description will be described in the competitive announcement.

66.608 ENVIRONMENTAL INFORMATION EXCHANGE NETWORK GRANT PROGRAM AND RELATED ASSISTANCE

FEDERAL AGENCY:

Office of Environmental Information, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001; National Environmental Policy Act, Section 102(2)(F); Marine Protection, Research and Sanctuaries Act, Section 203; Toxic Substances Control Act, Section 10; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104; Clean Water Act, Section 104(b)(3); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Safe Drinking Water Act, Section 1442; Clean Air Act, Section 103.

OBJECTIVES:

EPA, states, territories, and tribes are working together to develop the National Environmental Information Exchange Network, a secure, Internet- and standards-based way to support electronic data reporting, sharing, and integration of both regulatory and non-regulatory environmental data. States, tribes and territories exchanging data with each other or with EPA, should make the Exchange Network and the Agency's connection to it, the Central Data Exchange (CDX), the standard way they exchange data and should phase out any legacy methods they have been using. More information on the Exchange Network is available at www.exchangenetwork.net. The Exchange Network Grant Program provides funding to states, territories, tribes, and inter-tribal consortia to develop the information technology and information management capabilities they need to actively participate in the Exchange Network. This program supports the exchange of data; mentoring, planning and training activities; and collaborative work within the Exchange Network. This grant program may also be used to fund the standardization, exchange and integration of geospatial information to address environmental, natural resource, and human-health challenges. Funding Priority - Fiscal Year 2010: Projects supported by the FY 2010 Exchange Network Grant Program, which are funded through EPA's STAG account, must address at least one priority activity from one of the two following tiers: tier 1 -- activities to expand data exchanges and data availability to Exchange Network partners; completion of sustainable data flows for national and priority system data exchanges identified in section II of Appendix A by the end of the project's period; geospatially enable existing national and priority system data exchanges; expansion of national and priority system data exchanges, already deployed by the applicant, to other network partners through collaborative reuse of existing tools and services; Network data publishing to allow EN partners and the public to access, integrate, and analyze information from sources across the Network; support to bring partner's existing systems into compliance with EPA's Cross-Media Electronic Reporting Regulation (CROMERR); and implement climate change/greenhouse gas emissions data exchange; tier 2 -- activities to expand innovative flow of environmental information; innovative projects in support of non-regulatory data flows, such as emergency response data, nationally significant geospatial data sets developed under OMB circular A-16 ("Coordination of Geographic Information and Related Spatial Data Activities"), open dump data exchanges, and water quality laboratory data reporting, that demonstrate potential applicability to multiple EN partners; establishment of new, Node 2.0-compliant nodes; and development of standalone Open Geospatial Consortium (OGC) standards-based software for node installations to publish data flows in formats that may include WMS, WFS, SOS, and AtomPub. Priorities for assistance agreements funded through the STAG also include outreach, communications, technical assistance, and other support to states that are participating in, or may wish to participate in the Exchange Network; and support for state participation in the Network Operations Board and related workgroups. Other FY 2010 Exchange Network-related awards that are funded through EPA's EPM account must address the following priorities: support for state participation in the state/EPA Environmental Information Technology Leadership Council, which addresses overarching information management issues; support for state and tribal participation in the Exchange Network Leadership Council and tribal participation in the Network Operations Board and its workgroups, programmatic support to federally recognized Indian tribes and intertribal consortia of federally recognized tribes that are participating in

or may wish to participate in the Exchange Network; outreach, communications, training/mentoring, and technical assistance to tribes to increase their knowledge about the Exchange Network, enhance their IM/IT capabilities, and encourage their development of Exchange Network nodes and data flows; and periodic reporting to the Exchange Network governance on the status of tribal participation in the Network.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding is available for direct costs associated with carrying out grant-supported projects and for allocations of allowable indirect costs, in accordance with established EPA policies. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Applicant Eligibility:

Eligible applicants for the Exchange Network Grant Program include states, the District of Columbia, U.S. territories (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the U.S. Virgin Islands), federally recognized Indian tribes, and intertribal consortia of federally recognized tribes. An intertribal consortium is eligible to apply for assistance from the Exchange Network Grant Program by meeting the following criteria: a) the majority of the consortium members are federally recognized Indian tribes; b) all consortium federally recognized tribal members have authorized the consortium to apply for and receive assistance (if awarded) from the Exchange Network Grant Program; and c) the intertribal consortium has adequate accounting controls to manage awarded funds while ensuring that only federally recognized tribal members will benefit directly from the award. Regional air pollution control districts may apply for assistance if they are legally considered to be agencies or instrumentalities of the state under applicable state laws. The following entities are not eligible to apply for funding from the competitive Exchange Network Grant Program: state/territorial/tribal universities; city, town, county, or regional governments; nonprofit organizations, including organizations that represent the interests of co-regulators/co-implementors in executing environmental programs. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. In addition to issuing competitive awards to states/territories/tribes and intertribal consortia, EPA may use a portion of the Exchange Network Grant Program funds to issue one or more non-competitive awards for associated program support to a co-regulator/co-implementor organization. A co-regulator/co-implementor organization is one that represents the interests of governmental units (for example, state or regional governments) in executing a national or regional environmental program. The membership of such a national or regional organization is composed of officials of the co-regulator or co-implementor entities (for example, state environmental commissioners). Eligible applicants for non-competitive awards that relate to the Exchange Network and are funded through EPA's EPM account include non-profit organizations that are co-regulator/co-implementor organizations.

Beneficiary Eligibility:

Federal, state, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting

requirements; and the public.

Credentials/Documentation:

Costs will be evaluated in accordance with OMB Circular No. A-87, "Cost Principles for State, Local, and Indian Tribal Governments," or OMB Circular No. A-122, "Cost Principles for Non-Profit Organizations," as appropriate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Please contact Marla Sheppard ((202) 564-5954) for questions involving Intergovernmental Review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Per the FY 2010 Solicitation Notice for the Exchange Network Grant Program, applicants must submit application packages to EPA by November 20, 2009. EPA will accept project proposals for National Environmental Information Exchange Network grants in one of two ways: 1) hard copy through the U.S. Postal Service or an overnight mail or courier delivery service, including one original and two copies; or 2) a proposal submitted by electronic mail. EPA will not review or fund any applications that are received from ineligible applicants. The application package included the following materials: 1. Cover letter that outlines the following information: A. Recipient information; B. Project title; C. Type of vehicle requested (grant/cooperative agreement/ Performance Partnership Grant); D. Proposed amount of grant (broken down into direct funding and in-kind assistance if relevant); E. Partners on the grant (if applicable); F. Brief project summary including a statement of project goal(s); G. contact information for the project lead; and H. Signature of executive level Authorized Organizational Representative (AOR). 2. SF-424 (can be obtained from <http://www.epa.gov/ogd/AppKit/application.htm>) 3. Project narrative including goals, outputs with dates, outcomes, and environmental results: You must limit the project narrative to 10 single spaced pages. The ten-page limit does not include the Exchange Network Implementation plan described in section III-B of the Solicitation Notice. EPA will not review pages beyond the first ten pages of the project narrative. The narrative must address each of the Evaluation Criteria (Section V-A) of the Solicitation Notice. The narrative should describe the major goal or goals, outputs and outcomes, and environmental results of the two-year project. A goal should reflect the purpose of the project. For instance, a proposal including both a UIC flow and a WQX flow would have two goals. Each goal should have scheduled outputs (major work products) that lead to its implementation. Each goal and some outputs will have outcomes. An outcome is the effect or consequence of the project goal or of its major outputs. Each goal should have an environmental result. The schedule of outputs should be detailed enough to demonstrate an applicant's ability to track progress toward each goal. Applicants can find a sample narrative with goals, outputs and outcomes in Appendix D of the Solicitation Notice. 4. Programmatic Resources and Personnel: Briefly describe the programmatic resources and personnel involved in the project for the recipient and any participating partner. Highlight any expertise or past experiences that may be particularly helpful in carrying out the project. Include biographical sketches or resumes of the lead and any partner Project Manager(s). 5. Formal Project Partners Roles and Responsibilities and Distribution of Funds: If the proposed project involves formal project partners who will actively participate in implementing the project, provide a description of the roles and responsibilities of each partner in carrying out each of the project goals. Describe how the recipient would coordinate work among the partners using methods such as regular teleconferences, meetings, or written status reports. If the recipient plans to distribute funding to other partners, describe the method for doing so. Exchange Network grant projects that include one or more formal partners can have budgets up to \$350,000. Partnerships formed from within a single state, territorial, or tribal government (e.g., a "partnership" limited to the Environment and Public Health Departments within a state) are not eligible partnership and

are limited to the \$200,000 maximum funding for a single-jurisdiction grant. 6. Detailed Itemized Budget: Applicants should describe both the total project budget and the costs associated with each major goal in a detailed itemized budget. The goal-specific budget information is important, because EPA may wish to consider partially funding some projects (i.e., funding only some goals for a project but not others). The budget must include any relevant item including A. Personnel List all staff positions by title. Give the annual salary of each person, the percentage of their time devoted to the project, the amount of each person's salary funded by the grant and the total personnel cost for the budget period. B. Fringe Benefits Identify the fringe benefit rate and total amount. C. Travel Specify the mileage, per diem, estimated number of in state and out of state trips other costs for each type of travel. EPA suggests that applicants include funds for travel to national, regional and area Exchange Network conferences. D. Equipment Identify each item of equipment to be purchased which has an estimated acquisition cost of \$5,000 or more per unit and a useful lifetime of more than one year. List the quantity and unit cost per item. Items with a unit cost of less than \$5,000 are supplies. E. Supplies Supplies include all tangible personal property other than "equipment." The detailed budget should identify categories of supplies (such as laboratory supplies or office supplies). List the quantity and unit cost per item. F. Contractual Identify each proposed contract and specify its purpose and estimated cost. Applicants who request in-kind services should list them here. G. Other List each item in sufficient detail for U.S. EPA to determine whether the costs are reasonable or allowable. List any item, such as training, not covered elsewhere here. H. Indirect Charges If indirect charges are included in the budget, include the approved indirect cost rate with a copy of the Indirect Cost Rate Agreement, a description of the base used to calculate indirect costs and total cost of the base, and the total indirect charges requested. Before an applicant can incur any costs under the indirect cost category, the Indirect Cost Rate Agreement must be approved and current. If you do not have a current rate, you may submit a copy of the submitted application to the cognizant fiduciary agency. Management Fees When formulating budgets for proposals/applications, applicants must not include management fees or similar charges in excess of the direct costs and indirect costs at the rate approved by the applicants cognizant audit agency, or at the rate provided for by the terms of the agreement negotiated with EPA. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs that are not allowable under EPA assistance agreements. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work. 7. Network Implementation Plan. All state applicants must submit a document of two to three pages explaining how the applicant plans to fully implement the Exchange Network for all regulatory and national system flows as described in Section III-B. 8. Additional information for inter-tribal consortium: An inter-tribal consortium applying for an FY 2010 Exchange Network Grant must include documentation that shows: A. a formal partnership exists among the Indian tribal governments that are members of the inter-tribal consortium, and the majority of the members are federally recognized Indian tribes; and B. the consortium's federally recognized tribal members have authorized the consortium to apply for and receive assistance from the Exchange Network Grant Program. EPA will notify applicants of its selection decisions in or around March 2010. At the time, the Agency will request additional documentation, including other official forms, from successful applicants. The notification letters will include further instructions to those applicants for submittal of additional or updated documents. Required forms in PDF format can be obtained at <http://www.epa.gov/ogd/AppKit/application.htm>. EPA plans to award all grants by July 2010. If the proposal is funded, the recipients would be required to submit a separate, tailored Quality Assurance Project Plan based on guidance in the applicable solicitation notice. EPA plans to post the solicitation notice for the FY 2011 Exchange Network Grant Program on the Web site in September 2011 (<http://www.epa.gov/exchangenetwork/grants>). Please see the solicitation notice for complete application instructions.

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

EPA will evaluate the project proposal based the evaluation criteria in section V-A of the 2010 Solicitation Notice. Please note that in evaluating applicants under this criteria, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). In making the final funding decisions from among the most highly scored proposals, the EPA selection official may also consider one or more of the following factors: A. EPA programs' ability and/or readiness to support proposed project activities; B. geographic distribution of funding; C. prioritization of data exchange and priority activities over other assistance activities; D. ensuring participation in the Exchange Network by federally recognized Indian tribes and inter-tribal consortia; and E. EPA's capacity to provide any requested in-kind services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants whose applications are denied for funding will be notified approximately five months after the application deadline. Applicants whose applications are recommended for funding will be notified, initially to request additional documentation to finalize the application, and, later, when the assistance agreements are issued and mailed by EPA's Grants and Interagency Agreements Management Division, which is expected to be approximately eight to nine months after the application deadline.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will be provided through grants and cooperative agreements. EPA may provide support in the form of direct funding and/or in-kind assistance, in lieu of direct funding. EPA plans to issue awards for applications that are recommended for funding approximately seven months after the application deadline. The standard period of performance for projects funded by the Exchange Network Grant Program is two years. EPA may consider requests from award recipients for project/budget period extensions; and if deemed appropriate by EPA, the Grants and Interagency Agreements Management Division may issue amendments extending the project/budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Award recipients must submit semi-annual progress reports and a final project report that covers the entire project period. The semi-annual progress reports must be submitted within one month of the end of each semi-annual reporting period. The reporting periods are from October through March and April through September of each fiscal year. Award recipients must submit the final project report and a final Financial Status Report (Standard Form 269) to EPA within 90 days after the end of the project/budget period. Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all accounting records that substantiate the charges to each grant, must be maintained and accessible to personnel authorized to examine EPA grant accounts. If certain financial records are part of an audit investigation, then they must be retained until the matter under investigation has been completely resolved. Award recipients must retain complete records documenting their projects for a period of seven years after the close-out of the agreement. Such records include, but are not limited to, financial records, technical reports, periodic performance progress reports, project management files, correspondence with other project partners, and correspondence with EPA. Final products and deliverables that result from the project are considered to be permanent records and should be retained indefinitely.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$11,360,000; FY 10 est \$11,000,000; FY 11 est \$10,500,000 - STAG Account: FY 2009 \$11,050,000 ; FY 2010 \$10,000,000; and FY 2011 est \$10,000,000. EPM Account: FY 2009 \$310,000.; FY 2010 \$1,000,000 ; and FY 2011 est \$500,000. EPA will set aside approximately ten percent of appropriated funding for tribal assistance agreements to further facilitate expanded tribal participation in the Exchange Network.

Range and Average of Financial Assistance:

STAG Account: Award amounts will range from \$50,000 to \$350,000 for the entire two-year project period. The average award amount will be \$200,000 for the entire two-year project period. EPM Account: The average award amount will be \$200,000 for the entire two-year project period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2010 is the ninth year of the Exchange Network Grant Program. From FY 2002 to FY 2009, EPA has provided approximately \$144 million for state, tribal, and territorial awards and associated program support through the grant program. In a relatively short period, the Exchange Network has become a reality. As of June 2008, all 50 states, 52 tribes and five territories have participated in developing the Exchange Network at some level. Fifty states and eight tribes, and one territory have established their Exchange Network Node and have exchanged data with another partner. For detailed information on state, territorial, and tribal activities, please see the grant activities that are described at <http://www.epa.gov/exchangenetwork/grants/index.html>. For information on the progress of the data exchanges, please see <http://www.exchangenetwork.net>. EPA and its partners are currently using or planning to use the Exchange Network to exchange a broad range of data, including, but not limited to the following: Beaches (beaches water quality and closure data), Air Quality System (AQS), electronic Discharge Monitoring Reports (e-DMR), Emissions Inventory System (EIS), Facility Registry System (FRS), Integrated Compliance Information System/National Pollutant Discharge Elimination System (ICIS-NPDES), Resource Conservation and Recovery Act Information System (RCRA Info), Safe Drinking Water Information System (SDWIS), Toxics Release Inventory System (TRIS), Underground Injection Control (UIC), and Water Quality Exchange (WQX). Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Exchange Network Grant Program assistance agreements issued to state, territorial, and tribal governments are governed by EPA's regulations at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." The assistance agreements issued to nonprofit organizations (i.e., co-regulator/co-implementor

organizations) for associated program support for the Exchange Network Grant Program or other Exchange Network activities are governed by EPA's regulations at 40 CFR Part 30, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations." General information and guidance documents regarding the Exchange Network are available at <http://www.epa.gov/exchangenetwork>. Information about the Exchange Network Grant Program and previously funded activities is available at <http://www.epa.gov/exchangenetwork/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jonathan Jacobson, Information Exchange Partnership Branch, Office of Information Collection, Office of Environmental Information, Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Mail Code (2823-T), Washington, District of Columbia 20460 Email: Jacobson.jonathan@epa.gov Phone: (202) 566-1984.

Website Address:

<http://www.epa.gov/oei>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A state environmental agency is collaborating with multiple partners to use the Exchange Network to collect and share Mercury-added product information. This grantee is improving the development and exchange of essential data on the use of mercury in products in the U.S. This project would promote compliance with state mercury reduction laws by mercury product manufacturers, distributors, and retailers; facilitate state efforts to assure compliance with these laws; and support exchange of data with EPA's Mercury Containing Products Database by allowing states and EPA to cost effectively share real time data submitted by manufacturers on mercury containing products. Another state environmental agency is using grant funds to flow additional environmental data through the Exchange Network. It is establishing a new data flows for biological and habitat water quality monitoring data and for continuous water quality monitoring data. It is also developing a spatially referenced web application using network publishing and web services to present water quality monitoring data from the exchange network to address water quality status, trends and issues. This grantee is also modifying the current National Air Emissions Inventory data flow consistent with the new EIS schema and establishing a new Regional flow for BMP data. The first three exchanges listed above will flow data to STORET and supplement the existing flow of chemical and physical surface water data. The fourth flow for National Emissions Inventory is a modification and enhancement of the already existing flow. The fifth flow is a new Regional data flow. A state environmental agency is using grant funds to facilitate biennial reporting pertaining to RCRA data. This project focuses on upgrading the existing node infrastructure to Version 2.0 and upgrading the state's existing FRS data flow. The grantee is also developing exchanges for ICIS-NPDES and geospatial data exchanges. This project will enhance the state's Hazardous Waste data system and facilitate seamless transmission of Biennial RCRA hazardous waste report to EPA. The strategic direction of this project is to build upon the work in progress at the state agency on exchange network initiatives and to leverage the progress achieved by other exchange network participants. This project will protect the environment through improved information availability and burden reduction. Another project is to upgrade a state's emissions inventory database to include greenhouse gases and to upgrade the state's node on the Exchange Network to conform to the new Consolidated Emissions Reporting Schema (CERS). The upgrades will enable the State to report triennial emissions inventory data using the CERS schema; retrieve greenhouse gas data that state facilities have reported directly to the Climate Registry (TCR); directly receive and process greenhouse gas monitoring data from select entities in an electronic format; and manage, analyze and prepare reports of greenhouse gas emissions from regulated state facilities. Fiscal Year 2010: Information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria for the FY 2009 Exchange Network Grant program was described in the FY 2009 solicitation notice. The quantitative evaluation criteria included such factors as expected project outputs/outcomes, the feasibility and approach of the work plan, the relevance and significance of the proposed project to the Exchange Network, the adequacy of resources and key personnel, and past performance. EPA may also consider various qualitative criteria when determining which applications to recommend for funding. Examples of such qualitative criteria may include, but are not limited to, factors such as achieving a balance among projects, distributing funds among different states/territories/tribes, and having EPA programmatic support for the project. Applications for non-competitive awards to co-regulator/co-implementor organizations, funded by the Exchange Network Grant program through EPA's STAG appropriation, will be evaluated based on the ability of the applicant to provide the following: outreach, communications, technical assistance, and other support to states/tribes that are participating in, or may wish to participate in, the Exchange Network; and support for state/territorial/tribal participation in the Network Operations Board and related workgroups. Applications for non-competitive awards to a co-regulator/co-implementor organization, funded through EPA's EPM appropriation, will be evaluated based on the applicant's ability to implement the types of activities described in the Objectives section of this description.

66.609 PROTECTION OF CHILDREN AND OLDER ADULTS (ELDERLY) FROM ENVIRONMENTAL HEALTH RISKS

(Children's Environmental Health and the Aging Initiative)

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Clean Air Act, Section 103; Solid Waste Disposal Act, Section 1442; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311; National Environmental Policy Act, Section 102(2)(F); Marine Protection, Research, and Sanctuaries Act, Section 203; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Clean Water Act, Section 104; Toxic Substances Control Act, Section 10; Solid Waste Disposal Act, Section 8001.

OBJECTIVES:

To support efforts by organizations; educational institutions; and/or State, local, and tribal governmental agencies to establish or enhance their ability to take actions that will reduce environmental risks to the health of children or the aging (elderly) population. Funding Priority - Fiscal Year 2010: Support efforts to: (1) Support efforts to promote healthy home, school, and child care environments; (2) Build state, local, and tribal government capacity to address environmental health risks to children and older adults; (3) Educate health practitioners on environmental risks to children; (4) Implement the National Agenda for the Environment and the Aging to protect the health of older persons, prepare for an aging society, and encourage civic engagement among older adults; (5) Protect children and older adults from environmental hazards in local communities through civic engagement, demonstration projects, and other community capacity building activities; (6) Conduct environmental health tracking as it relates to older persons at the state level; (7) Protect children and older adults internationally from environmental health risks; (8) Demonstrate how smart growth activities can promote healthy communities for older adults and children; (9) Reduce environmental risks to children and older adults through intergenerational activities; (10) Educate women of childbearing age and their health care providers about preventing and reducing environmental health risks; (11) Reduce environmental health disparities; (12) Educate older adults to be environmental leaders in their community and train other elders to be leaders and educate children and older adults about the importance of environmental stewardship and health; (13) Support efforts to address climate change and its effects on the health of children and older adults; (14) Support efforts to increase understanding of the relationship between the environment and children's health and development; (15) Educate older adults and families on proper disposal of unused medications; (16) Educate older adults about the benefits of smart growth and active aging.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States or state agencies, territories, the District of Columbia, American Indian Tribes (federally recognized), and possessions of the U.S. It is also available to public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and 501(c)(3) organizations. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, American Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Child and Aging Health Protection Division within the Office of Children's Health Protection and Environmental Education may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits

must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Child and Aging Health Protection Division's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching funds requirements may be established in program guidance or in the terms of competitive solicitations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, the Child and Aging Health Protection Division of the Office of Children's Health Protection and Environmental Education can negotiate the project period with each applicant based on project requirements. EPA limits project periods to five (5) years. Grants and cooperative agreements may be incrementally funded or fully funded. This determination is made by EPA. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. These reports will cover work status, work progress, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period, including a description of equipment, techniques, and materials to be used or evaluated. The report shall also include any changes of key personnel concerned with the project. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. A discussion of expenditures along with a comparison of the percentage of the project completed to the project schedule and an explanation of significant discrepancies shall be

included in the report. The EPA Project Officer is required to conduct baseline monitoring of each active award. For awards with original project periods 18 month or less in duration, the initial baseline monitoring will take place no later than six months from the award date. For awards with original project periods greater than 18 months in duration, the initial baseline monitoring will take place no later than 1 year from the award date. After the initial baseline monitoring, all subsequent baseline monitoring will occur within 12 months of the last baseline or advanced monitoring activity.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$100,000; FY 10 est \$0; FY 11 est \$100,000

Range and Average of Financial Assistance:

Range: \$10,000 to \$150,000 per grant. Average: \$100,000 per grant (2 year grants).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Developed tools for organizations to work across generations to protect children's health from environmental risks; supported international effort to develop children's environmental health indicators; increased the capacity of health care providers to identify, prevent and manage environmentally-related illnesses in children in United States and around the world; increased the capacity of prenatal health care providers and pregnant women to reduce environmental exposures to the developing fetus; increased state capacity to measure environmentally-related illnesses in older adults; increased state, local and tribal capacity to address environmental toxicants that can affect the health of older adults; developed multicultural leaders in the field of environment and aging; and successfully piloted environmental intergenerational projects in urban and rural settings (including projects that taught youth and elders about acid mine drainage and water quality monitoring; organic farming, solar energy and agribusiness; indoor air quality including mold and toxic cleaning products; e-cycling business; integrated pest management and the growth and migration of monarch butterflies). Data on the number and ratio of applications for FY 09: Building Healthy Communities for Active Aging: Training and Demonstration Projects - 76 applications received, 2 awards made. Fiscal Year 2010: No projects were funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Part 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local

Governments"). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and federally recognized tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

LaVonne Switzer, Child and Aging Health Protection Division, Office of Children's Health Protection and Environmental Education, USEPA; Mail Code: 1107A200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: switzer.lavonne@epa.gov Phone: (202) 564-2711.

Website Address:

<http://www.epa.gov/children>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: There were no projects awarded funding in FY 2008 though projects awarded in past years were ongoing. The following are examples of programs funded in the past: Develop training tools to educate older Americans about environmental hazards in their communities. Training, innovation, outreach, and educational projects to protect the health of older adults and the environment. Public education materials to inform older persons about environmental hazards that affect health and the environment. Increase capacity of state legislators to deal effectively with children's environmental health issues at the state level. Build health professional capacity to address pediatric environmental health issues. Increase intergenerational cooperation to improve environmental health in urban and rural communities. Support the ability of schools to manage chemicals in a safe and effective manner. Demonstration projects to dispose of unwanted medications in a prudent manner. Build capacity with women of childbearing age and health professionals to address environmental health issues during pregnancy. Fiscal Year 2010: No projects were funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.610 SURVEYS, STUDIES, INVESTIGATIONS AND SPECIAL PURPOSE GRANTS WITHIN THE OFFICE OF THE ADMINISTRATOR FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Toxic Substances Control Act, Section 10; Solid Waste Disposal Act, Section 8001; Marine Protection, Research, and Sanctuaries Act, Section 203; Clean Air Act, Section 103; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 106-74; Comprehensive Environmental Response, Compensation, and Liability Act, Section 311; Safe Drinking Water Act, Section 1442(a)&(c); Clean Water Act, Section 104.

OBJECTIVES:

(1) To support surveys, studies, investigations, and special purpose assistance associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances, and pesticides; (2) to identify, develop, and demonstrate necessary pollution control techniques; (3) to prevent, reduce, and eliminate pollution; (4) to evaluate the economic and social consequences of alternative strategies and mechanisms for use by those in economic, social, governmental, and environmental management positions; and (5) to promote collaboration on projects and activities within the states and enable wider and

more coordinated state input on national environmental issues. Funding Priority - Fiscal Year 2010: Priorities continue to include support for environmental health information and analysis to state legislatures; support to build state capacity to reduce environmental hazards that may affect health and the environment; support of environmental education training; support to promote and enhance state collaboration by focusing on communication and the sharing of environmental information among states; support to detail environmental outcome oriented indicators, measures, and goals that promote innovative environmental protection; and support to increase efficiency in the state environmental protection programs that results in cost-effective, sustainable environmental protection.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to State agencies, territories, the District of Columbia, Indian Tribes, and possessions of the U.S. Assistance is also available to public and private universities and colleges, hospitals, laboratories, and other public or private nonprofit institutions. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. Office of the Administrator may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see

Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive award, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula. EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost-sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching fund requirements may be established in program guidance or in the terms of competitive solicitations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, the Office of the Administrator can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements may be incrementally funded or fully funded. This determination is made by the EPA. See the following for information on how assistance is awarded/released: Other.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention

reports. Reporting requirements are also identified in EPA's grant regulations 40 CFR Parts 30 and 31. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and institutions of higher education) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three (3) years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

(Project Grants) FY 09 \$302,150; FY 10 est \$225,000; FY 11 est \$225,000

Range and Average of Financial Assistance:

Range \$500 to \$500,000 per grant. Average amount of award approximately \$150,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There was one new award made during Fiscal Year 2009. The program accomplishments of this and other ongoing grants were: (1) "Building of state capacity to improve results based management" -- The grant recipient engaged in new collaboration to help States refine and expand their strategic planning efforts to focus on lessons learned with an eye towards better integration of multi-media approaches that achieve quantifiable environmental outcomes. Some states focused their efforts on the development of state priorities, multi-state priorities, and/or joint planning with EPA, while others worked on the alignment of State, regional and national planning processes. Information and experiences from past pilots were used to evaluate progress and identify additional improvements. (2) Mercury Abatement – helped States to educate and engage key public and private sector leaders on the actions taken to reduce and manage mercury in the environment. Grantee developed and published a mercury compendium of State Mercury Activities and worked with States and EPA to implement a partnership to reduce mercury in the environment. Developed and published an information document on "Mercury Product Labeling." Also helped develop a National Voluntary Mercury Switch Removal Program. Fiscal Year 2010: In FY 2010 the accomplishments of ongoing grants are: (1) "Building of state capacity to improve results based management" - Specifically working on improved planning alignment between EPA and the states, continued engagement in EPA National Program Guidance process; with an eye towards better integration of multi-media approaches that achieve quantifiable environmental outcomes. (2) Mercury Abatement - Grantee developed a "Quicksilver Caucus" Action Plan with dozens of planned activities, and EPA is in cooperative agreement with them to implement the plan. Some specific actions which are underway are a continued effort to help States educate and engage key public and private sector leaders on the actions taken to reduce and manage mercury in the environment; and continue to help implement a National Voluntary Mercury Switch Removal Program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations (40 CFR Parts 30, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations," and 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments"). Costs will be determined in accordance with OMB Circular A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Anthony Raia, Environmental Protection Agency, Office of the Administrator, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: Raia.Anthony@epamail.epa.gov Phone: (202) 564-2758.

Website Address:

<http://www.epa.gov/adminweb/>

RELATED PROGRAMS:

66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks; 66.611 Environmental Policy and Innovation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: EPA has funded projects that: (1) Strengthen State Capacity to Reduce and Manage Mercury in the Environment; (2) build State capacity to improve results-based environmental management and help States share information on best practices; (3) support State efforts to deliver comprehensive environmental compliance assistance to small local governments; (4) assist State efforts to more accurately manage expenditures for water quality programs; (5)

To utilize a broad scope of work to include research, studies, demonstrations, training and professional development in order to promote active state involvement in the coordination of Federal/State efforts to reduce and eliminate pollution. Fiscal Year 2010: Burden Reduction Initiative project is an effort to address state concerns over increasing state workload accompanied by decreases in federal funding. States are working on a study to review administrative costs that states incur when implementing a delegated environmental program.

State project that focus on how to better coordinate federal and state grant management systems and reporting including economic stimulus grants to states under the American Reinvestment and Recovery Act (ARRA). Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

66.611 ENVIRONMENTAL POLICY AND INNOVATION GRANTS

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Toxics Substances Control Act, Section 10, 15 U.S.C 2609; Clean Water Act, Section 104, 33 U.S.C 1254; Clean Air Act, Section 103, 42 U.S.C 7403; Comprehensive Environmental Response, Compensation, and Liability Act, Section 104(k)(6)ķ, 42 U.S.C 9660; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136(r); Safe Drinking Water Act, Section 1441, 42 U.S.C 300j-1; National Environmental Policy Act, Section 102(2)(F), 42 U.S.C 4332; Annual Appropriations Act.

OBJECTIVES:

(1) To support analyses, studies, evaluations, workshops, conferences, and demonstration projects that lead to reduced pollutants generated and conservation of natural resources; (2) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects on the benefits, costs, and impacts of environmental programs; and (3) to improve economic information and analytic methods to support studies, surveys, analyses, evaluations, conferences, workshops, and demonstration projects of incentive-based and voluntary environmental management strategies and mechanisms; and (4) to support research to explore and develop strategies and mechanisms for those in the economic, social, governmental, and environmental arenas to use in environmental management decisions. Funding Priority - Fiscal Year 2010: The funding priorities for this program include continued support for innovative projects related to exploring, testing, or implementing smart growth policies and other environmentally preferable approaches to development. If there is a new solicitation issued in FY2010, the funding for those awards may rely on FY2011 resources. In the area of economic research FY2010 funds will primarily be dedicated to financing projects awarded to applications to the 2009 solicitation targeting two issues: (1) policy designs for pollution control using market mechanisms; and (2) research to support data gathering for dissertation and early career research on pollution control and environmental economics. In addition, a new solicitation is scheduled to be issued in March 2010 to solicit support for environmental economics workshops, and to continue to support data gathering for dissertation and early career research on pollution control. It is expected that funds used to finance awards under this second solicitation will likely rely on some combination of FY2010 resources and funds from future appropriations. Funding Priority - Fiscal Year 2011: Pending budget decisions, the funding priorities for this program may include continued support for innovative projects related to exploring, testing, or implementing smart growth policies and other environmentally preferable approaches to development. A new solicitation on environmental economics may be issued in FY2011, with the topic and amount of resources yet to be determined. Issuance of a new solicitation will primarily be driven by the outcome of a request to receive an increase in the program's base operating budget for FY2011 in the President's Budget.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Environmental Policy and Innovation plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, interstate organizations, intrastate organizations, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. Nonprofit organizations described in

Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. National laboratories funded by Federal Agencies (Federally-Funded Research and Development Centers, "FFRDCs") may not apply. FFRDC employees may cooperate or collaborate with eligible applicants within the limits imposed by applicable legislation and regulations. They may participate in planning, conducting, and analyzing the research directed by the applicant, but may not direct projects on behalf of the applicant organization. The institution, organization, or governance receiving the award may provide funds through its grant from the EPA to an FFRDC for research personnel, supplies, equipment, and other expenses directly related to the research. Federal Agencies may not apply. Federal employees are not eligible to serve in a principal leadership role on a grant, and may not receive salaries or augment their Agency's appropriations in other ways through grants made by this program.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, individuals, and international organizations.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

For competitions involving research that are administered as part of the Economic and Decision Sciences program, OPEI will continue to adhere to the policies and procedures followed by ORD, NCER and their Science to Achieve Results (STAR) program, which includes engaging an external technical peer review panel to evaluate the technical merits of research proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days for most competitive solicitations. For competitive solicitations administered under the Economic and Decision Sciences program, the time to notification is approximately 240 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: This program has no statutory formula. CERCLA 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. Matching requirements may be established in program guidance or the terms of competitive solicitations. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Grants and cooperative agreements may be incrementally or fully funded. This determination will be made by the EPA. See the following for information on how assistance is awarded/released: Most assistance awarded under this CFDA will either be released as a lump sum payment or paid on a quarterly basis.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Specific reporting requirements are also identified in the Grant Regulations 40 CFR Parts 30 and 31.

In general, it is the policy of the Office of the Administrator to require Progress Reports from recipients on a quarterly basis. This requirement, or a different requirement when applicable, will be spelled out in solicitations issued under this CFDA, and confirmed in the Terms and Conditions section for funded assistance agreements. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-1810-0-1-304.

Obligations:

(Project Grants) FY 09 \$816,000; FY 10 est \$2,085,000; FY 11 est \$1,500,000 - For awards directed at smart growth issues, the total amount awarded through new awards and incremental funding is \$816,000 in FY 2009. Projected new awards and incremental funding are expected to be \$685,000 in FY 2010 and \$900,000 in FY 2011.

For awards directed at economic analysis and research topics, including funding provided under the Economic and Decision Sciences program, the following distributions and projections are - in FY 2009, there were no new funds obligated under new awards or incremental funding for existing awards; FY 2010 total awards through new awards and incremental funding is expected to total \$1.4 million; and for FY 2011 projected new awards and incremental funding will range from \$0.2-\$0.6 million.

Range and Average of Financial Assistance:

For awards related to smart growth, the range is from \$50,000 to \$200,000, with an average of \$100,000. For awards in the area of economics - related to Environmental Economic Workshops: range is \$45,000 - \$96,000, with an average award of \$70,000. Related to Environmental Economics Dissertations and Early Career Research: range is \$44,000 - \$75,000, with an average of \$64,000. Related to Environmental Economic Research: range is \$90,000-\$300,000, with an average of \$250,000. There were no earmarks included in FY2008 or FY2009. We do not anticipate any earmarks in FY2010.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Examples of activities funded in support of smart growth projects using incremental funding include developing guidelines for sustainable streets, identifying and eliminating barriers to smart growth development options, support for a smart growth educational website, and co-sponsorship of a smart growth conference. The ratio of applications received to those awarded is about 10:1 for the smart growth program.

An FY2009 NCEE solicitation invited proposals on two topics. The first was for research on "Design of Policies for Pollution Control Using Market Mechanisms", which elicited 8 proposals, of which 3 were selected for funding. The second was on "Data Gathering for Dissertation and Early Career Research on the Pollution Control Aspects of Environmental Economics" which elicited 11 proposals, of which 6 were selected for funding. Both seek to strengthen the quality and completeness of the scientific information used in preparing economic analyses. Actual awards are being made in F2010, so descriptions of some of these grants are provided in the FY2010 accomplishment section.

Recent examples of some the outputs and accomplishments provided in FY2009 by prior economic research and workshops supported under the Economic and Decision Sciences program include:

- Convened workshop presenting ongoing research findings on the use of market mechanisms and incentives in the areas of fuel economy, water quality, land use and the distributional consequences of using market incentives, and climate change.
- Research project developed and evaluated market systems with both a price ceiling and price floor for use in environmental trading programs, finding that a symmetric safety valve may be superior to a price ceiling.

- Research project developed additional valuation tools, including observation that adults will pay twice as much to protect their children from mortality risks from pesticides in food as they would pay to protect themselves. Fiscal Year 2010: Awards were made in FY2010 for an NCEE solicitation issued in FY2009 that invited proposals on two topics. The first was for research on "Design of Policies for Pollution Control Using Market Mechanisms", which elicited 8 proposals, of which 3 were selected for funding. The second was on "Data Gathering for Dissertation and Early Career Research on the Pollution Control Aspects of Environmental Economics" which elicited 11 proposals, of which 6 were selected for funding. Both seek to strengthen the quality and completeness of the scientific information used in preparing economic analyses.

Examples of the type of research supported under these grants include:

- New research will construct an empirical database on observed (actual) long-term maintenance costs of a variety of stormwater BMPs. Data collected will be analyzed for general long-term operation and maintenance cost relationships for BMPs that can complement existing construction cost estimates. Additionally, data collected will allow for further understanding of: failure and retrofit rates; identification of the parties responsible for maintenance and operation of stormwater BMPs; frequency of maintenance by BMP type; and inspection requirements by BMP type.

- Recent studies have found a significant relationship between environmental regulation and job loss. New research will use administrative data from the Census Longitudinal Employer-Household Dynamics (LEHD) to explore the transitional impacts of the Clean Air Act Amendments of the 1990's. The research examines unemployment duration and long term earnings losses associated with increased air quality mandates, and see whether regulations induce job transfer within or across industries.

- Lake ecosystems in Iowa are among the most degraded in the country, primarily due to agricultural nutrients and sediment deposition. This research seeks to understand the changes in lake usage and welfare values that result from changes in water quality at some of the lakes where restoration projects have been initiated, including whether changes in visitation patterns associated with water quality changes are detectable.

- Recent initiatives and proposals for controlling carbon dioxide emissions have centered on the use of cap and trade schemes that have features designed to control excessive price volatility. This research proposal uses laboratory experiments to examine issues of auction design for hybrid cap and trade regimes, including studying the performance of safety-valve price mechanisms that allow the available supply of allowances to expand once a price trigger is passed, and the sale of forward vintages that affect auction design choices, especially in regimes with declining future caps.

- New research will estimate the damage (per ton) of NH₃, NO_x, PM_{2.5}, PM₁₀ - 2.5, SO₂, and VOCs and then use these estimates to develop innovative market-based environmental policies that integrate multiple pollutants into a single, efficient policy structure. The proposed research uses new data on the damages caused by emissions, consolidates multiple pollutants into one cap-and-trade program, and explores the management of pollution across different media (i.e., water and air pollution). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental Policy and Innovation grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Cheryl R Brown, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W., 1085T, Washington, District of Columbia 20460
Email: brown.cherylr@epa.gov Phone: (202) 566-0940.

Website Address:

<http://www.epa.gov/opei>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants; 66.940 Environmental Policy and State Innovation Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Under 66.611, EPA has funded projects such as reviewing a city's municipal code; identifying barriers to smart growth and developing options to eliminate the specific barriers; integrating redevelopment solutions for brownfields for a particular state; sponsoring economic policy or economic methodology development workshops and conferences; supporting applied dissertations in environmental economics; and supporting high priority environmental economic research topics. Details on economic projects funded under prior solicitations can be found at the webaddress:

<http://yosemite.epa.gov/ee/epa/eed.nsf/Webpages/Grants.html>. Fiscal Year 2010: Under 66.611, EPA has funded projects such as reviewing a city's municipal code; identifying barriers to smart growth and developing options to eliminate the specific barriers; and integrating redevelopment solutions for brownfields for a particular state.

In the area of environmental economics, the program has supported a variety of projects including sponsoring economic policy or economic methodology development workshops and conferences; supporting applied dissertations in environmental economics; and supporting high priority environmental economic research topics.

Some specific topics include:

- Investigating Performance-Carbon Dioxide Allowance Auctions: Recent initiatives and proposals for controlling carbon dioxide emissions have centered on the use of cap and trade schemes that have features designed to control excessive price volatility. This research proposal uses laboratory experiments to examine issues of auction design for hybrid cap and trade regimes, including studying the performance of safety-valve price mechanisms that allow the available supply of allowances to expand once a price trigger is passed, and the sale of forward vintages that affect auction design choices, especially in regimes with declining future caps.

- Market Impacts & Distributional Consequences of Pollution Control Mechanisms: This research develops a series of complementary analyses for understanding the economic efficiency, environmental effectiveness, and distributional effects of a broad range of different policy instruments to see how they interact with one another, and how they perform in the presence of induced technological change. The research will examine the electricity generation and transportation sectors of the economy, and seek to develop an analytical model of consumer, supplier, and overall market responses to policy interventions targeted at these sectors.

More details on economic projects funded under prior solicitations can be found at the webaddress:

<http://yosemite.epa.gov/ee/epa/eed.nsf/Webpages/Grants.html>. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (1) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and

(6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

66.612 SURVEYS, STUDIES, INVESTIGATIONS, TRAINING DEMONSTRATIONS AND EDUCATIONAL OUTREACH RELATED TO ENVIRONMENTAL INFORMATION AND THE RELEASE OF TOXIC CHEMICALS

FEDERAL AGENCY:

Office of Environmental Information, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104; Clean Air Act, Section 103; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Safe Drinking Water Act, Section 1442; Solid Waste Disposal Act, Section 8001; Toxic Substances Control Act, Section 10.

OBJECTIVES:

The program's objective is to provide funding in support of surveys, studies, investigations, training/demonstrations, educational outreach and special purpose assistance as they relate to environmental information and the release of toxic chemicals. The purpose of this program is to educate the public on the how to obtain access to and effectively use environmental information, including information about toxic chemical releases and other waste management activities. Through this grant program EPA intends to enhance the public understanding of environmental information generally and the potential risks and hazards of releases of toxic chemicals to their communities as they relate to facilities covered under the Toxic Release Inventory Program; support efforts to assist state, tribal and local governments improve management of environmental information; identify priorities and opportunities for the public and state, tribal and local governments to work with industry to reduce toxic chemical disposal or other releases and potential risks associated with them; and establish reduction targets and measure progress toward reduction goals. Funding Priority Fiscal Year 2010 (optional): Topics and activities include, but are not limited to the following: Expand stakeholder outreach by coordinating with a variety of non-federal Environmental Justice organizations that share common goals relating to the control of toxic substances in communities around the country. The grantee will formally launch the collaborative website (www.chemicalright2know.org) which will allow various stakeholders to post discussion topics, position papers, and original research and analyses on an array of TRI and other related topics. The collaborative site will also allow for stakeholders to provide commentary and feedback. Training opportunities will include at least two on-line webinars and the preparation for the Fall 2010 TRI National Training Conference. Request for proposals will be issued to award small grant opportunities that will focus on Environmental Justice efforts. Funding Priority Fiscal Year 2011 (optional): Topics and activities include, but are not limited to the following: Expansion of stakeholder education and outreach. Maintenance and monitoring of the collaborative website (www.chemicalright2know.org) which will allow various stakeholders to access information about the TRI program, and post discussion topics, position papers, and original research and analyses on an array of TRI on other related topics. Identification of new technology to increase use and participation of the collaborative forum. Use of forum to promote the National Training Conference and TRI related Webinars. Training opportunities will include at least one on-line webinar focused on TRI and the preparation and execution of the Fall 2010 TRI National Training Conference.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to states, the District of Columbia, US territories (for example, American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, Puerto Rico, and the US Virgin Islands), federally recognized Indian tribes, intertribal consortia of federally recognized tribes, public and private colleges and universities, and other public or private nonprofit organizations. Nonprofit organizations exempt from taxation under Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible for financial assistance. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, territory, city, town, county, and regional governments; federally recognized Indian tribes and intertribal consortia of federally recognized tribes; public institutions and industries subject to EPA regulatory reporting requirements; and the public.

Credentials/Documentation:

Applicants must demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA will ask applicants or principle investigators to provide curricula vitae and relevant publications. EPA may ask for documentation of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting surveys, studies and investigations, demonstrations, educational outreach and special purpose projects that consider the relevance to EPA's mission and the likelihood of the success of proposed projects. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal

Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds, and the Agency policy on the competitive grant process.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. See the following for information on how assistance is awarded/released: The Agency anticipates annual incremental (for five years) for this assistance award.

Reports:

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and Part 31.

Quarterly progress reports and a detailed final report are required. Quarterly progress reports summarize technical progress, planned activities for next quarter and summary of expenditures. A summary of expenditures should be included in the quarterly progress reports. Annual performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$200,000; FY 10 est \$200,000; FY 11 est \$200,000

Range and Average of Financial Assistance:

Estimated \$15,000 to \$350,000 with an average of \$200,000 annually.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Developed and finalized the collaborative website, expanded stakeholder outreach to EJ Communities, prepared for and executed 2009 TRI

National Training Conference, prepared for one TRI Webinar, and prepared for the Fall 2010 TRI National Training Conference. Fiscal Year 2010: Preparing for and executing two TRI Webinars, maintaining and monitoring the collaborative website, expanding stakeholder outreach to EJ Communities, and preparing for Fall 2010 TRI National Training Conference. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined on accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. Research and demonstration projects are subject to 40 CFR Part 40. Training grants are subject to 40 CFR Part 45.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in http://www.epa.gov/tri/contacts_regional.htm or in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Christine Arcari, EPA Headquarters, EPA West, 1200 Pennsylvania Avenue, N. W. Mail Code: 2842T, Washington, District of Columbia 20460 Email: Arcari.Christine@epa.gov Phone: (202) 566-1746.

Website Address:

<http://www.epa.gov/oei>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: ECOS Toxics Release Inventory Outreach Support (not to exceed \$1M in 5 years). Fiscal Year 2010: Preparing for and executing two TRI Webinars, maintaining and monitoring the collaborative website, expanding stakeholder outreach to EJ Communities, and preparing for Fall 2010 TRI National Training Conference. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. EPA selects proposed Surveys, Studies, and Investigations projects for funding based on factors such as relevancy to EPA's mission, technical merit, and the likelihood of success. If EPA issues a solicitation for applications for a particular project or group of projects, the solicitation will identify specific criteria.

66.700 CONSOLIDATED PESTICIDE ENFORCEMENT COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 23, Public Law 92-516, 7 U.S.C 136.

OBJECTIVES:

To: (a) Assist States, territories and possessions of the U.S., including the District of Columbia and Indian Tribes, in developing and maintaining comprehensive pesticide programs that address all aspects of pesticide enforcement, and special pesticide initiatives; (b) sponsor cooperative surveillance, monitoring and analytical procedures; and (c) encourage regulatory activities within the States. State, Territorial, and Tribal agencies participating in this cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide

compliance monitoring, inspection and enforcement activities. Funding Priority - Fiscal Year 2010: Two specific compliance monitoring and enforcement activities have been identified as major priorities for this program: the pesticide workers' protection program and pesticides used to protect public health. Other typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices; inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements; and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Available for costs specifically incurred in purchasing inspectional supplies and equipment; reimbursing State travel and per diem expenses associated with the performance of grant outputs; purchasing essential laboratory equipment and supplies; paying salaries for personnel performing inspectional, analytical and/or managerial functions related to grant activities, and for administrative costs associated with the performance of grant outputs. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. There are no specific restrictions on the use of this assistance.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies having pesticide compliance program responsibilities in each State, territory and possession of the U.S., including the District of Columbia, and Indian Tribes.

Beneficiary Eligibility:

States, Federally Recognized Indian Tribal Governments, U.S. Territories and the District of Columbia.

Credentials/Documentation:

The application must supply evidence of legal authority to conduct pesticide compliance activities contemplated under the grant and a workable program officially adopted for the agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions or informal meetings with Regional program offices concerning program preparation are advisable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application form and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance Awards through the Federal Assistance Awards Data System (FAADS). Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicants must reapply.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: Other.

Reports:

As requested in the Annual Program Guidance for inclusion in the grant award; quarterly with mid-year and year-end evaluations. Program reports are required. No cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$18,711,000; FY 10 est \$18,711,000; FY 11 est \$19,085,000

Range and Average of Financial Assistance:

Enforcement/States: \$32,000(territory) to \$800,000; average \$266,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Consolidated Pesticide Enforcement Cooperative Agreements are continuing environmental program grants. In fiscal year 2009, 85 applications for pesticide enforcement grants were received and 85 grants awarded. Agencies participating in the Federal cooperative agreement program receive funds to support and strengthen pesticide compliance monitoring, inspection, and enforcement activities. Typical program activities include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and

warning notices. The States will also develop implementation plans for special pesticide initiatives. States will be responsible for dissemination of information and materials related to these pesticide initiatives. States, Territories, and Tribes that are participating in the pesticide enforcement cooperative agreements program conducted 85,254 compliance monitoring inspections in FY 2009. These inspections were conducted at agricultural establishments, pesticide producers and retailers, ports of entry, at pesticide applicators, as well as at other site where pesticides were distributed, sold, or used. As a result of these inspections, 18,907 enforcement actions were initiated in FY 2009 [civil and criminal actions, license suspensions or revocation, warning letters]. Fiscal Year 2010: There is no information yet available on FY 2010 Program Accomplishments. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency, State and Local Assistance (40 CFR Part 35 Subpart A), October 12, 1982.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Al Havinga, Office Of Compliance, Office of Enforcement and Compliance Assurance (2225A), EPA, Washington, District of Columbia 20460 Email: havinga.al@epa.gov Phone: (202) 564-4147.

Website Address:

<http://www.epa.gov/compliance/state/grants/fifra.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: State agencies participating in the Federal/State cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities, include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, inspections and investigations at ports of entry to assess compliance with import and export requirements, and initiation of appropriate enforcement action when violations are detected, including civil and criminal prosecution, stop sale orders, seizures, recalls and notices. The special pesticide initiatives are new projects, which include the development of State management plans for the protection of groundwater and endangered species from pesticides and development of worker protection programs. Fiscal Year 2010: State agencies participating in the Federal/State cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities, include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at production facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, inspections and investigations at ports of entry to assess compliance with import and export requirements, and initiation of appropriate enforcement action when violations are detected, including civil and criminal prosecution, stop sale orders, seizures, recalls and notices. The special pesticide initiatives are new projects, which include the development of State management plans for the protection of groundwater and endangered species from pesticides and development of worker protection programs. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to the following criteria: 1) Need: The need for the development, improvement and/or maintenance of a comprehensive pesticides enforcement program within the State that includes enforcement, new initiative programs,

and programs that address existing environmental problems, potential problems, and/or existing exposed populations related to the use of pesticides. 2) State Pesticide Activity: The relative amount of pesticide production, formulation and use in a State, and the potential risk to human health and the environment from pesticide misuse or abuse. 3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the comprehensive pesticide program. 4) Effectiveness of program: The past level and effectiveness of the State pesticide program. 5) Level of activity; As indicated by such factors as numbers of farms and numbers of applicators. 6) Magnitude of effort; Need to accomplish expected outputs and products; and 7) Ability to accomplish output goals.

66.701 TOXIC SUBSTANCES COMPLIANCE MONITORING COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act (TSCA), Section 28&404(g), Public Law 102-550, 15 U.S.C 2601.

OBJECTIVES:

1) To assist States, including the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands and Indian Tribes in developing and maintaining compliance monitoring programs to prevent or eliminate unreasonable risks to health or the environment associated with chemical substances or mixtures within the States, specifically Asbestos, PCB, and Lead-based paint; 2) encourage regulatory activities within the States to establish their own programs for Lead-based paint and Asbestos (waiver programs); and 3) fund enforcement activities for Asbestos waiver state programs and Lead-based paint programs. EPA may provide funding for cooperative compliance monitoring grants to States and Tribes under TSCA to conduct inspections to ensure compliance with the PCB regulations, the Asbestos-in-Schools requirements (inspections at charter schools, public schools, private, non-profit schools and religious schools), the Model Accreditation Plan (MAP), TSCA section 6 Ban and Phase Out Rule, and the TSCA Asbestos Worker Protection Standards and to conduct Lead-based paint activities. Authorized States also conduct enforcement for the Lead-based program. States that have obtained an EPA waiver from the Asbestos regulation (Subpart E of Part 763) also have enforcement responsibilities. Funding Priority - Fiscal Year 2010: Funding priorities in FY 2010 for the TSCA PCB and Asbestos programs will be: 1) existing compliance monitoring programs to ensure they continue to meet established standards; 2) activities leading to waiver status for Asbestos programs; 3) activities as necessary for meeting training requirements necessary for obtaining and keeping EPA credentials in those programs which require the use of EPA credentials (the PCB compliance monitoring programs and Asbestos programs in non-waiver states); 4) new programs that provide inspection activity where there is an identified need including, but not limited to, Environmental Justice areas; and 5) activities that further the use of new technology for conducting field inspections, e.g., use of PC Tablets and PCB inspection software to improve the efficiency of inspections. States may use funds to develop inspection software and/or purchase PC Tablets for asbestos inspections. Funding priorities in FY 2010 for States with authorized Lead-based paint programs will focus on individuals and firms which conduct lead abatement and renovation and their continuance with the training and certification, and work practice standards requirements. States with authorized renovation notice rules will also monitor those requirements.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds are available for costs specifically incurred by States and Tribes to develop, enhance and maintain comprehensive compliance monitoring/enforcement programs under TSCA, specifically PCBs, Asbestos, and Lead-based paint. Funded activities may include inspector training, inspection supplies, and laboratory costs, including personnel and equipment; reimbursement for salaries, travel, training, and per diem expenses for

inspectors, analysts, and program managers; and other functions related to grant activities. For those programs where inspections are conducted using EPA credentials, prior to conducting inspections under the Grant, the State/Tribe must have an authorization agreement and the inspectors must complete the minimum inspector training, including health and safety training, and conduct inspections pursuant to the September 2004 Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA. They must also have a signed authorization agreement with EPA.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For the PCB and Asbestos programs, States/Tribes should have toxic substance compliance responsibilities and be designated as the lead agency with the authority to enter into these cooperative agreements. For the Lead-based paint program, state agencies, Indian tribes, and tribal consortiums that have toxic substance compliance responsibilities, who have the authority to enter into these cooperative agreements, and who have their own lead laws in place are eligible to apply for assistance under the TSCA Compliance Monitoring Grant.

Beneficiary Eligibility:

For PCB, Asbestos and the Lead-based paint programs: States, including the District of Columbia, the Commonwealth of Puerto Rico, Guam, America Samoa, the Northern Marianas, the Trust Territories of the Pacific Islands, the Virgin Islands and Indian Tribes.

Credentials/Documentation:

The applicant must supply evidence of legal authority to conduct toxic substance compliance program activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions or informal meetings with Regional program office concerning program preparation are advisable. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance awards through the Federal Assistance Awards Data System (FAADS). Applicants may use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicant must reapply.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Under TSCA Section 28, no grant may exceed 75 percent of the establishment and operation costs of such a program during the period for which the grant is made. Thus, recipients must contribute 25% of the total costs for activities conducted under Section 28 of TSCA (i.e., the PCB and Asbestos grants). There are no cost-sharing or matching fund requirements for lead-based paint enforcement grants under Section 404(g). There is no statutory formula aside from the required 25 percent contribution of the States for the PCB and asbestos grants.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative Agreements are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis; recipients must request the initial advance payment on the form SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: Other.

Reports:

As required in the Annual Program Guidance for inclusion in the grant award, grantees must submit mid-year and year-end evaluations. For inspections conducted using credentials issued by EPA to the state or tribal employee, the inspection report must be submitted to the regional office for review pursuant to the authorization agreements. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$5,099,000; FY 10 est \$5,099,000; FY 11 est \$5,201,000

Range and Average of Financial Assistance:

For PCB and Asbestos: \$19,000 to \$270,000/year; \$111,000/year average.

For Lead: \$21,000 - \$50,000; \$36,000/year average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Twenty-four Asbestos, nine PCB, and thirty seven Lead State cooperative agreements were awarded in FY 2009. A similar number are expected to be awarded in FY 10. Agencies participating in the Federal enforcement cooperative agreement program receive funds to support and enhance toxic substance compliance monitoring/enforcement activities. To date, Indiana, Missouri, North Dakota, Tennessee, and Illinois have purchased, received training from EPA, and are using PC Tablets and the PCB inspection software developed by EPA to conduct PCB inspections.

Typical program activities for the Asbestos grant program include compliance inspections at facilities regulated under the AHERA program plus preparation of inspection reports. For waiver states, work includes case preparation and taking enforcement action. Non-waiver states using EPA credentials submit their inspection reports to EPA for case review and appropriate enforcement action. In FY 09, 1,025 compliance monitoring inspections were conducted. EPA expects similar inspection numbers in FY 10.

Typical program activities for the PCB grant program include compliance inspections at facilities regulated under the TSCA PCB program, and submission of inspection reports to EPA for review and enforcement action, if appropriate. In FY 09, 350 inspections were conducted. EPA expects similar inspection numbers in FY 10.

Typical program activities for the Lead-based paint grant program include compliance inspections at facilities regulated under the TSCA Lead-based paint program plus initiation of appropriate enforcement action by authorized states or referral to EPA for case review and appropriate enforcement action when violations are detected. Fiscal Year 2010: Not available as FY 2010 has not ended. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency, State and Local Assistance (40 CFR Part 35 Subpart A), October 12, 1982; "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Phyllis Flaherty, National Compliance Monitoring Policy Branch, Compliance Assessment and Media Programs Division, Office of Enforcement and Compliance Assurance, (2223A), EPA, 1200 Pennsylvania Ave. NW., , Washington, District of Columbia 20460 Email: Flaherty.Phyllis@epa.gov Phone: (202) 564-4131.

Website Address:

<http://www.epa.gov/compliance/tsca.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: State agencies participating in the Toxic Substances Compliance Monitoring Cooperative Agreement program receive funds to support and conduct compliance monitoring (inspection) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include, targeting activities, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected, equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field, and training/workshops. The majority of the funds are used by the states to conduct compliance monitoring inspections. Those states that are authorized to enforce the Lead-based paint program or are "waiver" states under the AHERA program use the grant money to enforce the regulation, as appropriate. See Section 130 for the number of inspections conducted by the grantees.

In addition, EPA encourages states/tribes to purchase PC Tablets that are compatible with the PCB inspection software developed for conducting inspections and writing inspection reports. The Office of Enforcement and Compliance Assurance developed a PCB Inspection software program to make inspections more efficient and consistent by allowing the inspector to use computer hardware and software to collect data electronically. The software prints EPA notification forms; collects inspection data for opening and closing conferences, records reviews, and walkthroughs; makes available necessary

reference materials; and assists in inspection report writing. States and Tribes may request the PCB inspection software and training through their regional project officer. Fiscal Year 2010: State agencies participating in the Toxic Substances Compliance Monitoring Cooperative Agreement program receive funds to support and conduct compliance monitoring (inspection) and enforcement activities, as appropriate for the specific program. Examples of program activities that may be funded include, targeting activities, inspections/investigations to evaluate compliance, follow-up inspections, initiation of appropriate enforcement action when violations are detected, equipment for inspections, development of compliance monitoring strategies/targeting approaches, development of software or e-forms capable of automating inspection data collection activities in the field, and training/workshops. The majority of the funds are used by the states to conduct compliance monitoring inspections. Those states that are authorized to enforce the Lead-based paint program or are "waiver" states under the AHERA program use the grant money to enforce the regulation, as appropriate.

In addition, EPA encourages states/tribes to purchase PC Tablets that are compatible with the PCB inspection software developed for conducting inspections and writing inspection reports. The Office of Enforcement and Compliance Assurance developed a PCB Inspection software program to make inspections more efficient and consistent by allowing the inspector to use computer hardware and software to collect data electronically. The software prints EPA notification forms; collects inspection data for opening and closing conferences, records reviews, and walkthroughs; makes available necessary reference materials; and assists in inspection report writing. States and Tribes may request the PCB inspection software and training through their regional project officer. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by the Environmental Protection Agency according to criteria including the following: (1) Need: The need for the development, improvement, and/or maintenance of a comprehensive compliance monitoring/enforcement program within the State; (2) Level of Activity: The amount of regulated chemicals and facilities, and the potential risk to human health and the environment; (3) Long-Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the compliance program; and (4) Effectiveness of program: The past level and effectiveness of the State regulatory program, number of inspections conducted by the state and number of trained inspectors that have completed the minimum training set forth in the September 30, 2004 "Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA."

66.707 TSCA TITLE IV STATE LEAD GRANTS CERTIFICATION OF LEAD-BASED PAINT PROFESSIONALS

(State Lead Certification Grants)

FEDERAL AGENCY:

Office of Pollution Prevention and Toxics Substances, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 404(g).

OBJECTIVES:

The goal of EPA's lead-based paint program is to eliminate childhood lead poisoning by 2010. The program is comprised of four strategies designed to achieve the following goals: 1) Establish standards to define where lead hazards are present in paint, dust and soil; 2) Give the public information about lead hazards and steps to protect themselves; 3) Ensure that information about known lead-based paint hazards is disclosed to individuals buying or renting pre-1978 housing, and that owners and occupants of pre-1978 housing are provided information on lead-based paint hazards before renovation activities take place; 4) Establish lead-safe work practice standards and require lead-based paint professionals to be trained and certified. The objective of this grant program is to assist States, Tribes, and Territories in developing and carrying out authorized programs that: (a) certify contractors engaged in lead-based paint activities and accredit lead-based paint activities training programs; (b) certify contractors engaged in renovation, repair and painting

activities that disturb painted surfaces in most target housing; and/or(c) require distribution of lead-hazard information prior to renovation (pre-renovation education program). Funding Priority - Fiscal Year 2010: The priority for FY 2010 is to continue to provide assistance to authorized State, Tribal, and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. As appropriate, the Agency will use grant funding to provide assistance to a State, Tribal, or Territorial program looking to develop or administer an authorized Renovation, Repair, and Painting Program. Funding Priority - Fiscal Year 2011: The priority for FY 2011 is to continue to provide assistance to authorized State, Tribal, and Territorial lead-based paint activities training and certification programs and pre-renovation education programs. As appropriate, the Agency will use grant funding to provide assistance to a State, Tribal, or Territorial program looking to develop or administer an authorized Renovation, Repair, and Painting Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to authorization under TSCA Title IV. Supported activities must contribute or lead to the attainment or maintenance of authorization pursuant to TSCA Title IV. Funds cannot be used for general program activities not related to the attainment or maintenance of authorized programs.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, and any other territory or possession of the United States may receive assistance under Section 404(g) of TSCA. Federally recognized Indian governing bodies are also eligible to apply for and receive assistance. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State governments, Federally recognized Indian governing bodies, U.S. Territories and possessions.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussions or informal meetings with the appropriate EPA Regional Office concerning program preparation are advisable. The standard application form as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Requests for application forms and completed applications should be submitted to the appropriate EPA Regional Office. The following forms and certifications must be included in all final grant applications: Standard Form 424 (Application for Federal Assistance); Standard Form 424A (Budget Information & #150; Non-Construction Programs); Standard Form 424B (Assurances -- Non-Construction Programs); Certification Regarding Lobbying and SF LLL, if applicable; Certification Regarding Debarment and Suspension; EPA Form 4700-4 (Compliance Review Report Form); and Quality Assurance Narrative Statement, if applicable. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applicants must reapply for grant funding each fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Cooperative agreements are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis. See the following for information on how assistance is awarded/released: Grantees usually receive either a lump sum payment or draw down funds as their Agency allows.

Reports:

No program reports are required. No cash reports are required. Pursuant to 40 CFR 31.40, grantees shall, at a minimum, submit annual performance reports to the appropriate EPA Regional Office. These requirements were approved by the Office of Management and Budget (OMB) under OMB Control Number 2030-0020 (General Administrative Requirement for Assistance Programs). The individual Regional Offices may require that these reports be submitted on a quarterly or semiannual basis, but not more frequently than quarterly. The specific information contained within the report will include, at a minimum, a comparison of actual accomplishments to the objectives established for the period. Regional Offices may ask for the inclusion of specific data (e.g., providing to EPA specific address information associated with the abatement notifications that are received by the grantee) as part of the annual performance report from the grantees which may be useful for Agency reporting under the Government Performance and Results Act. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United

States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$13,564,000; FY 10 est \$14,564,000; FY 11 est \$14,564,000

Range and Average of Financial Assistance:

\$16,000 to \$350,000; average of \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of December 2009, 39 States, 3 Tribes, Puerto Rico, and D.C. have received EPA approval to administer a lead-based paint activities training and certification program and 2 States have received authorization to administer and enforce a pre-renovation education program. Fiscal Year 2010: As of February 2010, 39 States, 3 Tribes, Puerto Rico, and D.C. have received EPA approval to administer a lead-based paint activities training and certification program and 2 States have received authorization to administer and enforce a pre-renovation education program.

As of February 2010, 3 state have received authorization to implement the new Renovation, Repair and Painting rule.

FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Lead Contact Person in the appropriate EPA regional office listed in Appendix IV of the Catalog.

Headquarters Office:

Michelle Price, National Program Chemicals Division 7404T), Office of Pollution Prevention and Toxics, Environmental Protection Agency, 1200 Pennsylvania Ave. NW, Washington, District of Columbia 20460 Email: price.michelle@epa.gov Phone: (202) 566-0744.

Website Address:

<http://www.epa.gov/lead/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Over the past twelve years, EPA has provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR part 745, subpart L). The following is a list of previously funded activities: (a) core lead-based paint activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g)

implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts. Fiscal Year 2010: Over the past thirteen years, EPA has provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint activities training and certification rule (40 CFR part 745, subpart L). The following is a list of previously funded activities: (a) core lead-based paint activities and enforcement capacity development; (b) developing the appropriate infrastructure to administer and enforce a lead-based paint activities program successfully; (c) overseeing the conduct of contractors engaged in lead-based paint activities; (d) overseeing and controlling training program activities; (e) ensuring compliance with requirements for post-abatement clearance sampling; (f) monitoring compliance with the work practice standards of regulations for the conduct of abatement; (g) implementing lead-based paint compliance assistance; (h) implementing the lead-based paint activities sampling techniques; and (i) implementing lead-based paint activities tracking tips and complaints efforts.

Since FY09, EPA has also provided financial assistance to the States, Territories and Indian Tribes aimed at the development, implementation and enforcement of approved programs under the final EPA lead-based paint renovation, repair and painting rule (40 CFR part 745, subpart E).

FY 2010 Information is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.708 POLLUTION PREVENTION GRANTS PROGRAM

(P2 Grant Program)

FEDERAL AGENCY:

Office of Pollution Prevention and Toxics Substances, Environmental Protection Agency

AUTHORIZATION:

Pollution Prevention Act of 1990, Section 6605, Public Law 101-508.

OBJECTIVES:

This CFDA announcement supports the Pollution Prevention (P2) and the Pollution Prevention Information Network (PPIN) grant programs. Both programs were enacted under the Pollution Prevention Act of 1990. The P2 grant program funds grants/cooperative agreements that implement pollution prevention technical assistance services for businesses. The PPIN grant program funds grants/cooperative agreements projects that aim to create collaborative national networks to maintain and share information on P2 tools and services. Under these grant programs, EPA supports projects that utilize pollution prevention techniques to reduce and/or eliminate pollution from air, water and/or land. Funding Priority - Fiscal Year 2010: To help business and industry incorporate pollution prevention techniques into their day-to-day business operations as a way to reduce or eliminate harmful pollutants that may enter the air, water or land. In addition, the grant programs will seek to promote training in pollution prevention/source reduction techniques. For purposes of the grant programs, pollution prevention refers to any practice that reduces or eliminates pollutants at the source through increased efficiency in the use of raw materials, energy, water or other resources, or protects natural resources through conservation. EPA achieves these priorities by awarding grants and/or cooperative agreements to states, colleges and universities, federally-recognized tribes and intertribal consortia.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under the P2 and PPIN grant programs funding is provided by Congressional appropriation. The programs support innovative projects that address the transfer of potentially harmful pollutants across all environmental media: air, water, and land. The grant programs support the establishment and expansion of state and tribal pollution prevention programs and address various sectors of

concern such as industrial toxics, agriculture, energy, transportation, etc.

Specifically, the P2 grant program is intended to support state and tribal pollution prevention approaches and methodologies. The program is focused on institutionalizing multimedia pollution prevention as an environmental management priority, establishing prevention goals, providing direct technical assistance to businesses, conducting outreach, and collecting and analyzing data.

Under the PPIN grant program, EPA supports projects that help to coordinate work among technical assistance providers in order to minimize duplication of effort in information collection and synthesis, dissemination and training for the promotion of pollution prevention technologies.

The P2 and PPIN grant programs do not support projects that focus on recycling, waste removal or any activity which alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity which is not integral to and necessary for the production of a product or essential to providing a service. For more information, refer to PPA Section 6603 and 40 CFR Sections 35.343 and 35.662.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. In accordance with the Pollution Prevention Act of 1990, eligible applicants for purposes of funding under these grant programs include the fifty states, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, and any territory or possession of the United States, any state agency or instrumentality of a state including state colleges and universities, federally-recognized tribes and intertribal consortia.

Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible to apply for funding.

Beneficiary Eligibility:

State applicants are encouraged to establish partnerships with business and other environmental assistance providers to deliver seamless pollution prevention assistance. The most successful applications will be those that make the most efficient use of government funding. In many cases, this has been accomplished through partnerships. As a result, those who are eligible can provide pollution prevention benefits to the following entities: states, interstate and local agencies or organizations/universities, federally-recognized tribes, intertribal consortia, public or private nonprofit organizations/institutions, private businesses, and quasi public nonprofit organizations.

Credentials/Documentation:

In cases where the applicants credentials are not clear, the applicant must provide proof that the applicant is indeed a state agency/organization, federally-recognized tribe, or intertribal consortium. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Requests for general information may be made to EPA Headquarters or to the appropriate EPA regional pollution prevention program office listed in Appendix IV of this Catalog.

Under the P2 grant program, proposals and applications must be submitted to the appropriate EPA regional pollution prevention program office in order to be considered for an award.

Under the PPIN grant program, proposals and final applications must be submitted to EPA Headquarters in order to be considered for an award.

Applications must meet the requirements of the grant regulations and will be reviewed to determine the relevancy of the proposed project(s).

Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 120 to 150 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Pollution Prevention Act of 1990.
Matching Requirements: The statutory formula for P2 and PPIN grants is authorized by the Pollution Prevention Act of 1990. Organizations receiving grant funds through the P2 and PPIN grant programs are required to match federal funds by at least 50 percent. The federal government will provide half of the total allowable cost of the project, and the state will provide the other half. Thus, a federal grant of \$100,000 would support a total allowable project cost of \$200,000, with the state providing the remaining half of \$100,000. State contributions may include: dollars, in kind goods and services, and/or third party contributions. In a detailed budget, the use of the matching funds must be documented. The match requirement may be applied at the time of award or at specified intervals during the project period. The grant applicant must document in the itemized budget plan the type of match to be applied and how it will be used. The grant project officer in the Region or Headquarters will monitor the grant recipient's compliance. If the match requirement is not met or is not applied at specified intervals during the project period, federal funding will cease and the recipient will be held liable for all incurred costs.

With concern to P2 grants only: If a tribe or intertribal consortium is selected for an award, and the tribe or intertribal consortium will include the funds from a performance partnership grant (PPG) awarded under 40 CFR Part 35, Subpart B. The required tribal match for the pollution prevention portion of the PPG will be reduced to 5% of the allowable pollution prevention project cost for the first two years of the PPG. After the first two years in which the tribe or

intertribal consortium receives the PPG, the Regional Administrator must determine through objective assessment whether the Tribe or the members of the intertribal consortium meet socioeconomic indicators that demonstrate the ability of the tribe or intertribal consortium to provide a cost share greater than five percent.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for a project period not to exceed 3 years. Funds are released by a letter of credit. See the following for information on how assistance is awarded/released: Financial assistance under the P2 and PPIN grant programs is provided in the form of a grant or a cooperative agreement issued as a letter of credit by the federal government to the eligible grantee.

Reports:

No program reports are required. The P2 and PPIN grant programs require grantees to submit budget information to the grant project officer. The information should be included in the grantees' semi-annual progress report. The P2 and PPIN grant programs require the grantee to submit semi-annual progress reports to the grant project officer. The report should explain the work executed under the project(s), whether or not the project's milestones were achieved, and the staff and budget plan for any remaining work. Expenditure reports are required at the end of the grant/cooperative agreement. The grantee should submit this information to the grant project officer when submitting their final technical report. If the grantee proposes work that involves gathering, measuring, or tracking environmental data the grantee is required to report its environmental performance to EPA. The information should be provided in the grantees' semi-annual progress report and final technical report. The reports should be submitted to the grant project officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$4,940,000; FY 10 est \$4,940,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

Range in FY 2009: \$17,000 - \$160,000; Average in FY 2009: \$70,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY '09, EPA awarded 48 P2 Grants totaling \$3,518,262. P2 Grants are made available to state institutions and federally-recognized tribes to develop projects to provide pollution prevention technical assistance. In FY '09, EPA awarded 8 PPIN Grants totaling \$826,300. PPIN Grants are issued to state institutions to strengthen the efficiency and effectiveness of distributing electronic pollution prevention information and/or resources nationwide. EPA anticipates similar accomplishments under both programs in FY '10. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32; and "EPA Assistance Administration Manual," available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), Mail Code: OESO4-1,

5 Post Office Square, Suite 100, Boston, MA 02109-3912,

Contact: Lee Fiske, phone: (617) 918-1847; fax: (617) 918-1810; email: fiske.lee@epa.gov.

U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), Mail Code: SPMMB, 290 Broadway 25th Floor, New York, NY 10007-1866, Contact: Alex Peck, phone: (212) 637-3758; fax: (212) 637-3771; email: peck.alex@epa.gov.

U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), Mail Code: 3E100, 1650 Arch Street, Philadelphia, PA 19103-2029, Contact: Mindee Osno, phone: (215) 814-2074; fax: (215) 814-3274; email: osno.mindee@epa.gov.

U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W., Atlanta, GA 30303, Contact: Pamela Swingle: phone: (404) 562-8482; fax: (404) 562-9066; email: swingle.pamela@epa.gov.

U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), Mail Code: DW-8J, 77 West Jackson Blvd. Chicago, IL 60604-3590; Contact: Donna Twickler, phone: (312) 886-6184; fax: (312) 353-4788; email: twickler.donna@epa.gov.

U.S. EPA Region 6 (AR, LA, NM, OK, TX), Mail Code: 6EN-XP, 1445 Ross Ave. Suite 1200, Dallas, TX 75202, David Bond, phone: (214) 665-6431; fax: (214) 665-7446; email: bond.david@epa.gov.

U.S. EPA Region 7 (IA, KS, MO, NE), Mail Code: ARTD/TSPP, 901 N. 5th Street, Kansas City, KS 66101, Contact: Marcus Rivas, phone: (913) 551-7669; fax: (913) 551-7065; email: rivas.marcus@epa.gov.

U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), Mail Code: 8P-P3T, 999 18th Street, Suite 300, Denver, CO 80202-2466, Contact: Linda Walters, phone: (303) 312-6385; fax: (303) 312-6044; email: walters.linda@epa.gov.

U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), Mail Code: WST-7, 75 Hawthorne Street, San Francisco, CA 94105, Contact: Jessica Counts-Arnold, phone: 415-972-3288; fax: (415) 947-3530; email: counts-arnold.jessica@epa.gov.

U.S. EPA Region 10 (AK, ID, OR, WA), Mail Code: AWT-128, 1200 Sixth Avenue, Suite 900, Contact: Carolyn Gangmark, phone: (206) 553-4072; fax: (206) 553-8338; email: gangmark.carolyn@epa.gov.

Headquarters Office:

Michele Amhaz, Office of Pollution Prevention and Toxics Pollution Prevention Division, Environmental Protection Agency, 1200 Pennsylvania Ave., N.W., (MC 7409-M), Washington, District of Columbia 20460 Email: amhaz.michele@epa.gov Phone: (202) 564 8857.

Website Address:

<http://www.epa.gov/p2/pubs/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following text provides examples of P2 grants funded in FY '09:

EPA funded amount: \$80,000

The recipient will provide environmental assistance to auto body shops, support and expand lean and environment applications, and support the University of

New Hampshire's DES Pollution Prevention Internship Program. To accomplish these objectives, the recipient will establish baseline environmental performance data for the auto body sector using the States' Common Measures Project and questions developed by EPA Region V and Maine DEP. The recipient will also use in-house staff for two New Hampshire lean and environment reviews and for at least two of the remaining reviews in Maine or Massachusetts and will continue to support the University of New Hampshire's environmental intern program while exploring opportunities to create pollution prevention internship programs at one or more additional New Hampshire colleges or universities.

EPA funded amount: \$65,000

The recipient will conduct multi-media pollution prevention activities in Tennessee; continue its environmental leadership program, continue its participation with the Schools Chemical Cleanout Campaign that assists schools with the cleanup and disposal of chemicals; and participate in the Green Schools Program that emphasizes best management practices for chemicals in schools. Specific activities include periodic inventories of chemicals, developing chemical hygiene plans, and providing safety training in the schools. The training encourages the use of environmentally preferable products and practices.

EPA funded amount: \$84,500

The recipient will assist Michigan hospitals in adopting enhanced P2 technologies and practices that will reduce pollution, toxic chemicals, public health hazards, conserve water and promote energy conservation and renewable energy projects. MDEQ Office of Pollution Prevention and Compliance Assistance (OPPCA) will partner with Michigan hospitals and healthcare associations to provide P2 technical and compliance assistance as well as training and financial support to accomplish waste reductions at Michigan hospitals.

EPA funded amount: \$45,000

The recipient will provide technical assistance to small and medium sized businesses, pollution prevention training to dental and healthcare facilities, continue providing environmental management system assistance to the municipalities of Las Cruces, and work with NMSU Sustainability committee to develop an environmental management system for the university. NMSU is also providing P2 Technical Assistance including EMS training to tribal entities

EPA funded amount: \$112,750

The recipient will develop an environmental management systems industry group to assist companies that wish to perform at the highest stewardship levels. In addition, it will provide technical assistance to organizations to reduce their toxic and hazardous materials through the Iowa Strategic Goals Program and conduct pollution prevention assessments. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description are described in the competitive announcements of the P2 and PPIN grant programs.

66.709 MULTI-MEDIA CAPACITY BUILDING GRANTS FOR STATES AND TRIBES

Sector Program

FEDERAL AGENCY:

Office of Enforcement and Compliance Assurance, Environmental Protection Agency

AUTHORIZATION:

Clean Water Act, Section 104; Toxic Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Indian Environmental General Assistance Program Act; Solid Waste Disposal Act, Section 8001; Marine Protection, Research and Sanctuaries Act, Section 203;

Clean Air Act, Section 103; Safe Drinking Water Act, Section 1442.

OBJECTIVES:

The general objectives of this program are to build and improve the capacity of States, multijurisdictional State organizations and federally recognized tribes by providing assistance agreements to foster environmental enforcement and compliance assurance activities and to improve compliance with environmental laws. Such capacity building efforts may include economic, social science, statistical research, development, studies, surveys, demonstrations, investigations, public education, training and fellowships to the extent authorized under the Acts listed above. These funds cannot be used for implementation of compliance monitoring and enforcement programs. Funding Priority - Fiscal Year 2010: The Multi-Media Sector Grant was eliminated by Congress. The Office of Compliance, within EPA's Office of Enforcement and Compliance Assurance will be soliciting proposals in FY 2010 to fund the balance (\$1,685k) of the FY 2009 appropriations. The FY 2010 solicitation is being prepared, and pending project recommendation and approval. In FY 2009 the areas of focus were 1) Permit Compliance System (PCS) Modernization and Integrated Compliance Information System (ICIS) - National Pollutant Discharge Elimination System (NPDES), 2) Funding Indian Country Compliance Assurance Circuit Riders, 3) Improving Flow of State Data to the Air Facility System (AFS), 4) State Environmental Compliance and Enforcement Training and 5) Demonstration of Better Use of Technology in Compliance Monitoring Activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The Office of Enforcement and Compliance Assurance identifies special focus areas each funding cycle (annually). Work under grants and agreements must address those focus areas. Previous years have included calls for proposals for innovative enforcement and compliance assurance projects including training, performance measurement, data quality, and public access to information.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies, U.S. territories, the District of Columbia, federally recognized Indian Tribes and Intertribal Consortia, local governments, and multi-jurisdictional State organizations and associations of environmental regulatory professionals, with enforcement and compliance assurance responsibilities or responsibilities that support enforcement and compliance assurance including but not limited to data management or research. In addition, public universities and colleges with expertise in compliance assurance and enforcement issues, including compliance assistance and training to tribal environmental professionals. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State agencies, U.S. territories, the District of Columbia, federally recognized tribes, and local governments.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Office of Enforcement and Compliance Assurance typically requests proposals and partial grant applications (424 and 424A) from applicants detailing the substantive information asked for in the solicitation. Initial decisions are made based on headquarters and regional review of the proposals. Regarding pre-application/pre-proposal assistance with respect to competitive

funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal Agency and required by the OMB Circular No. A-102 must be used for this program. Requests for application forms and completed applications should be submitted to EPA. (See Appendix IV of the Catalog). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. EPA reserves the right to partially fund proposals/applications by funding discrete activities, portions, or phases of proposed projects. If EPA decides to partially fund a proposal/application, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal/application, or portion thereof, was evaluated and selected for award, and that maintains the integrity of the competition and selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 days from the date of submission of the final proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is normally provided in one lump sum to the applicant for projects spanning up to 3 years. It is recommended that the money be spent within 5 years of receipt. See the following for information on how assistance is awarded/released: Other.

Reports:

Semi-annual reports must be submitted (in pdf) to the Project Officer for the duration of the project period. Final reports (in pdf) must be submitted upon completion of the project. Final reports must contain interim outcomes and/or final outcomes achieved as a result of the funding. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$2,717,600; FY 10 est \$1,685,000; FY 11 est \$0

Range and Average of Financial Assistance:

The range for the smallest and largest awards in the past fiscal year is \$21,600 to \$200,000. The average amount of financial assistance over the past and current fiscal years is \$156,825.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The solicitation in FY2008 resulted in 17 projects being selected for funding from a total of 40 proposals (42.5%). In FY2009, a total of 44 proposals were received for 5 focus areas. EPA decided to fund one focus area (Permit Compliance System and Integrated Compliance Information System-National Pollutant Discharge Elimination System) from the FY09 solicitation notice, and 2 projects were selected out of 6 proposals. Previous years have included calls for proposals for innovative enforcement and compliance assurance capacity building projects including inspector training, performance measurement, data quality, public access to information, data management, and performance measurement/program planning. The Office of Enforcement and Compliance Assurance has posted the previous and current grant project results and outcomes on their OECA website at <http://www.epa.gov/compliance/state/grants/stag/index.html>. Fiscal Year 2010: Not available because we are still operating in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to and Local Governments (40 CFR Part 35 Subpart A), October 12, 1982.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Lorna Washington, Office of Compliance, Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: washington.lorna@epa.gov Phone: (202) 564-1386.

Website Address:

<http://www.epa.gov/compliance/state/grants/stag/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1. Feasibility study on migration of state/tribal data from the legacy Permit Compliance System (PCS) system to the new modernized Integrated Compliance Information System-National Pollutant Discharge Elimination System, (ICIS-NPDES) (e.g., conversion of General Permit data

currently in legacy PCS to correspond with the General Permit data requirements of the modernized system). 2. Improved tribal access to on-site compliance assistance and compliance monitoring services and/or improved tribal capacity for compliance monitoring and enforcement. 3. Establish a training program to support the creation of an inspection force for regulated underground storage tank facilities (UST), and design and implement a continuing education module for UST inspectors. 4. Development of a sector-specific model for outcome-based performance measures. 5. Provide technical assistance, including training, to build capacity to provide compliance assistance to Municipal Separate Storm Sewer Systems (MS4) program. Development of MS4 demonstration projects that show how states will build capacity to operate and maintain a high quality MS4 program. Fiscal Year 2010: Examples of projects that may be funded during FY 2010: 1) Technical assistance to support migration and conversion of state and Indian country data from legacy PCS to the new ICIS, or for data clean-up to support the conversion and migration of state and Indian country data from legacy PCS to the ICIS; 2) Feasibility study/requirements analysis to support states and tribes in considering options and pros/cons of undertaking a transition to the full or direct use of ICIS; 3) Activities to support the development of a requirements analysis to complete entry of wet weather and minor facility NPDES data into ICIS and/or Technical assistance to support the completion of required data entry into ICIS of NPDES wet weather facilities, and minor facilities; 4) Activities to support pilot studies of the state and tribal process for collecting and entering the required NPDES data into ICIS for wet weather, and minor facilities; 5) Activities to support the development of a feasibility study requirements analysis to assist states and tribes in evaluating options for and pros/cons of adopting and using the EPA/State NetDMR tool, and the development of outreach and marketing material for the state's use in promoting the use of NetDMR to their regulated community. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.714 PESTICIDE ENVIRONMENTAL STEWARDSHIP REGIONAL GRANTS

(PESP Regional Grants)

FEDERAL AGENCY:

Office of Pollution Prevention and Toxics Substances, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20.

OBJECTIVES:

The Objective is to support integrated pest management approaches that reduce the risks associated with pesticide use in agricultural and non-agricultural settings in the United States. This program is competed and the grants are awarded by EPA's Regional grant offices. Funding Priority - Fiscal Year 2010: The Pesticide Environmental Stewardship Program (PESP) Regional Grants - projects to support integrated pest management approaches that reduce the risks associated with pesticide use in agricultural and non-agricultural settings in the U.S. Types of activities: pesticide risk reduction, pesticide pollution prevention, Integrated Pest Management (IPM) implementation, and children's health issues related to pesticides.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to fund projects that further the goals of the Pesticide Environmental Stewardship Program (PESP). The type of projects that will be considered include research, monitoring, demonstration, and related activities. Projects must address the risk reduction goals of PESP, pesticide pollution prevention or Integrated Pest Management (IPM), or children's health issues related to pesticides. There are no discretionary funds available to fund projects.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when

on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible applicants include the 50 States, the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, any Territory or Possession of the United States, any agency or instrumentality of a State including State Universities, and all Federally recognized Native American Tribes. Local governments, private universities, private nonprofit entities, private businesses, and individuals are not eligible. The organizations excluded from applying directly are encouraged to work with eligible applicants in developing proposals that include them as participants in the projects.

Beneficiary Eligibility:

Any State, U.S. Territory/Possession, Native American Organization, or other entities working in conjunction with these eligible applicants.

Credentials/Documentation:

Application should present a well thought out work plan with established infrastructure to execute the work plan. Key officials should have and document appropriate work experience or education to effectively carry out the proposed work plan or research. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Grants are awarded on the basis of merit. The call for proposals is announced on the Office of Pesticide Programs' website and by other established mechanisms early in the fiscal year. The proposal format is specified in the call. Proposals not using the published format will not be considered. National criteria are established in the call and the Regional Offices may add additional criteria that may tailor the project proposals to specific environmental projects within the Region. Once the grant process is announced nationally, interested applicants are urged to contact the appropriate EPA Regional Office for detailed instructions. Proposals are submitted to an EPA Regional Office. All proposals received are rated using the published criteria and compete for funding against all acceptable proposals received. Consultation or assistance in filling out a project proposal is available from any of the EPA Regional Offices either by phone, mail, fax or electronic mail. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Successful applicants will be notified and invited to complete and sign a Standard Form 424, (an application form) which will be supplied upon notification. Questions about this form will be answered by the Regional representative who contacts the applicant. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a project period not to exceed 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Organizations that are awarded grant/cooperative agreement funds will be required to submit at least annual progress reports, during the life of the project, to the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report will also be required at the end of the project period. This final report should include a discussion of the prospects of continuation, further development of the effort, project evaluation and future direction. Grantees are required to submit program reports to their regional Project Officer. Grantees are required to submit financial reports to their regional Project Officer. Grantees are required to submit progress reports to their regional Project Officer. Grantees are required to submit expenditure reports. Grantees are required to perform performance monitoring as part of their requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-2-1-304.

Obligations:

(Project Grants) FY 09 \$421,260; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

\$20,000 to \$50,500. Average: \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For fiscal year 2009, 9 awards were made to Tribal or State entities to conduct research and/or demonstration projects that promoted Integrated Pest Management (IPM) or other practices that reduce the risks associated with the use of pesticide products. In FY 2010, an amendment will be posted to continue this program. We anticipate making 10 awards, one per Region. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32 and "EPA Assistance Administration Manual,"

available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. The applicant may contact the appropriate EPA Regional PESP Coordinator to obtain clarification and guidance. EPA Regional PESP Coordinators are: Region I (Connecticut, Massachusetts, Maine, New Hampshire, Rhode Island, Vermont), Andrea Szylyvian, 1 Congress St Ste 1100 (SEP), Boston, MA 02114-2023. Telephone: (617) 918-1198, szylyvian.andrea@epa.gov. Region II (New York, New Jersey, Puerto Rico, Virgin Islands), Adrian Enache, 2890 Woodbridge Ave (MS-500), Edison, NJ 08837-3679. Telephone: (732) 321-6769, enache.adrian@epa.gov. Region III (Delaware, Maryland, Pennsylvania, Virginia, West Virginia, District of Columbia), Carmine Disanzo, 1650 Arch St (3WC32), Philadelphia, PA 19103-2029. Telephone: (215) 814-2139, disanzo.carmine@epa.gov. Region IV (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee), Patricia Livingston, 61 Forsyth St SW, Atlanta, GA 30303-8960. Telephone: (404) 562-9171, livingston.patricia@epa.gov. Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin), Heather Anhalt, 77 W Jackson Blvd (DT-8J), Chicago, IL 60604-3507. Telephone: (312) 886-3572, anhalt.heather@epa.gov. Region VI (Arkansas, Louisiana, New Mexico, Oklahoma, Texas), Gregory Weiler, 1445 Ross Ave Ste 1200 (6PD-P), Dallas, TX 75202-2733. Telephone: (214) 665-7564, weiler.gregory@epa.gov. Region VII (Iowa, Kansas, Missouri, Nebraska), Heather Duncan, 901 N Fifth St. (WWPDTPOE), Kansas City, KS 66101. Telephone: (913)551-7640, duncan.heather@epa.gov. Region VIII (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming), Barbara Barron, 1595 Wyncoop ST.(8P-P3T), Denver, CO 80202-2466. Telephone: (303) 312-6617, barron.barbara@epa.gov. Region IX (Arizona, California, Hawaii, Nevada, American Samoa, Guam), Cindy Wire, 75 Hawthorne St (CED-1), San Francisco, CA 94105, Telephone: (415) 947-4242, Wire.Cindy@epa.gov. Region X (Alaska, Idaho, Oregon, Washington), Sandra Halstead, WSU-IAREC, 24106 N. Bunn Road, Prosser, WA 99350. Telephone: (509) 786-9225, halstead.sandra@epa.gov.

Headquarters Office:

Frank Ellis, Biopesticides and Pollution Prevention Division (7511-P), Office of Pesticide Programs, Environmental Protection Agency, 1200 Pennsylvania Ave. NW, Washington, District of Columbia 20460 Email: ellis.frank@epa.gov Phone: (703) 308-8107.

Website Address:

http://www.epa.gov/peps/regional_grants.htm

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) Integrated Pest Management (IPM) education programs; 2) research on safer methods for controlling agricultural pests including non-chemical control methods; 3) urban IPM projects; 4) implementation of IPM in schools; and 5) pesticide risk reduction utilizing decision-support systems. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.715 LEAD EDUCATIONAL OUTREACH AND BASELINE ASSESSMENT OF TRIBAL CHILDREN'S EXPOSURE AND RISKS ASSOCIATED WITH LEAD

(Tribal Lead Grants)

FEDERAL AGENCY:

Office of Pollution Prevention and Toxics Substances, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Title 10.

OBJECTIVES:

The Tribal Lead Grant Program for outreach and education is supported by

EPA's goal to reduce childhood lead poisoning. The grant projects should support this goal by providing lead poisoning prevention education and blood-lead screening of Tribal children. Funding Priority - Fiscal Year 2010: To support Tribal lead outreach and baseline assessment activities to identify children's risks to lead hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to blood-lead screening of tribal children and outreach efforts to educate Indian families about the dangers of exposure to lead-based paint hazards among children.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Eligible recipients are Federally recognized Indian Tribes or Tribal consortiums (an association or partnership with one or more Federally recognized Indian Tribes) only.

Beneficiary Eligibility:

Federally recognized Indian Tribes.

Credentials/Documentation:

Tribal consortiums must submit letters of interest and support from Tribal Chair that is being represented in the proposal. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Such applications must also include standard forms as listed in the grants.gov instructions. Proposals may also be submitted to EPA via regular or overnight mail. Proposals must address all elements in the evaluation criteria listed in the Request for Proposals. Proposals submitted by mail are not required to include any standard forms as in the grants.gov submittals.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for proposal submittal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are expected to be completed within 2 years from award of grant. Assistance is awarded in lump sum amounts. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly reports summarizing technical progress, planned activities for next quarter and summary of expenditures are required within 30 days of each quarter. No cash reports are required. Quarterly progress reports and a detailed final report will be required. Quarterly reports summarizing technical progress, planned activities for next quarter and summary of expenditures are required within 30 days of each quarter. Applicants are further required to make a commitment to share all data collected with EPA for assessment on a regional level. The final report shall be completed within 90 calendar days of the completion of the project period. The final report should encompass a complete overview/summary of all of the activities conducted within the grant project period; including any and all data results as well as a justification for impediments. Quarterly reports summarizing expenditures are required within 30 days of each quarter. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$500,000; FY 11 est \$0

Range and Average of Financial Assistance:

The overall range for outreach awards in FY 09 was between \$75,000 and \$200,000. The average outreach award was \$116,667 in FY 09. The overall range for baseline assessment awards in FY 09 was between \$49,463 and \$132,370. The average baseline assessment award in FY 09 was \$77,278.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, 21 grants were received and 7 grants were recommended for award. Anticipated accomplishments include testing Native American children and homes for lead and development and/or distribution of lead awareness materials to the Native American communities. Awards were made in 2010 for the Fiscal Year 2009 solicitation. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR part 31); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service (NTIS), Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Darlene Watford, National Program Chemicals Division, Office of Pollution Prevention and Toxics,(7404T), Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460
Email: watford.darlene@epa.gov Phone: (202) 566-0516.

Website Address:

<http://www.epa.gov/lead/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects include in-home lead inspections and blood-lead testing at Head Start facilities and health fairs. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.716 RESEARCH, DEVELOPMENT, MONITORING, PUBLIC EDUCATION, TRAINING, DEMONSTRATIONS, AND STUDIES FEDERAL AGENCY:

Office of Pollution Prevention and Toxics Substances, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 20, Public Law 106-74; Toxic Substances Control Act, Section 10; National Environmental Policy Act, Section 102(2)(F).

OBJECTIVES:

Grants are awarded to support Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies assistance relating to the protection of public health and the environment from pesticides and potential risk from toxic substances. Funding Priority - Fiscal Year 2010: OPP: projects for safer use of pesticides, including worker protection, certification and training of pesticide applicators, protection of endangered species, tribal pesticide programs, integrated pest management; environmental stewardship, Pesticide Registration Renewal Act (PRIA 2) Partnership grants; and Pesticide Regulatory Education Program (PREP). Types of activities: developed and implemented programs to reduce pesticide risks; developed pesticide drift mitigation education program; analysis and development of pilot pesticide safety programs and materials; Water Quality and Pesticide Management Planning for Federal Recognized Tribes; Tribal Pesticide Program Council (TPPC); national Train-the-Trainer program to educate farmworkers on how to reduce risks from pesticides; develop and distribute innovative materials for integrating the National Pesticide Competency for Medical & Nursing Education into curricular of medical and nursing programs; continue the National Pesticide Information Center (NPIC); continue the National Pesticide Medical Monitoring Program (NPMMP); provide clinical toxicology information and laboratory service; and the PREP education program for states

and tribes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved scopes of work plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, U.S. territories or possession, federally recognized Indian tribal governments and Native American Organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, local governments, and individuals and international entities. For profit organizations are not eligible. Non-profit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. EPA may also limit eligibility for certain competitive funding opportunities under this CFDA to a subset of eligible applicants and/or to tribes, Alaska native villages, and intertribal consortia located in the Region where a project is going to be performed. For Tribal funding opportunities to be funded with the State and Tribal Grant (STAG) appropriation, eligibility will be limited to States, Tribes, Alaska native villages, and intertribal consortia to support the Office of Prevention, Pesticides and Toxic Substances' Tribal Program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Also, for certain competitive opportunities offices may limit eligibility to tribes in a region.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, federally recognized Indian tribal governments and Native American Organizations, universities and colleges, hospitals, laboratories, other public and private nonprofit institutions, general public, and other Non-Governmental Organizations.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87, 2 CFR Part 225 for State and local governments and Indian Tribes, OMB Circular No. A-21, 2 CFR Part 220 for educational institutions, and OMB Circular No. A-122, 2 CFR Part 230 for nonprofit institutions. Applicants may be requested to demonstrate that they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. Non-profits required to demonstrate non-profit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

EPA awards grants and cooperative agreements supporting research, development, monitoring, public education, training, demonstrations, and studies based on competitive applications submitted in response to EPA solicitations, considering relevance and likelihood of success of proposed projects. When making competitive awards, EPA will specify the nature of the pre-application assistance, if any, that will be available to applicants in the Requests for Initial Proposals or Requests for Applications. For this reason, we recommend that potential applicants discuss proposed projects with or submit pre-applications to staff in the appropriate EPA technical program offices. See Appendix IV of the Catalog. Environmental impact information is not required

for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Application kits should be requested from and submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, 1200 Pennsylvania Avenue, N. W., Washington, DC 20460 or the appropriate EPA Regional Office identified in Appendix IV of the Catalog. Detailed information and assistance, including and application kit, required forms, and a check list may also be found at: <http://www.epa.gov/ogd/AppKit/>. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Funds are released by a letter of credit. See the following for information on how assistance is awarded/released: OPP and OPPT: Assistance agreements will be fully funded by a lump sum or incrementally funded yearly.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports, and financial, equipment, and invention reports. Reporting requirements are also identified in the Grant Regulations, 40 CFR Parts 30 and Part 31. Under OPP, grantees are required to submit program reports. Under OPPT, grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31.

Under OPP, grantees are required to submit financial reports. Under OPPTS, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31. Under OPP, grantee's are required to submit progress reports. Under OPPTS, grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31. Under OPP, grantee's are required to submit expenditure reports. Under OPPTS, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31. Under OPP, grantee's are required to perform performance monitoring as part of their requirements. Under OPPTS, grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Parts 30 and 31 are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-4310-0-0-000; 68-0103-2-1-304; 68-0108-0-1-304; 68-5374-0-0-000.

Obligations:

(Project Grants) FY 09 \$4,919,600; FY 10 est \$3,387,500; FY 11 est \$3,387,500 - OPP - FY 09 \$4,339,600; FY 10 est. \$2,500,000; and FY 11 est. \$2,500,000

The Office of Pollution Prevention and Toxics (OPPT) - FY09 - \$580,000 FY 10 est. \$887,500; FY 11 est. \$887,500.

Range and Average of Financial Assistance:

\$1,000 to \$1,500,000. Average: \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Office of Pesticide Programs (OPP) made 14 awards in 2009 and for FY 2010 anticipates that 13 awards will be granted to conduct projects to protect human health and the environment. The Office of Pollution Prevention and Toxics (OPPT) made 8 awards in FY 2009 and for FY 2010 anticipates that 8 Awards will be granted for Pollution Prevention, Tribal, Mercury, and Lead-based paint programs domestically and internationally. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Research, development, monitoring, public education, training, demonstrations and studies grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for non-profit institutions.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Sharon Clark, Office of Pollution Prevention and Toxics, Environmental Protection Agency., Washington, District of Columbia 20460 Email: clark.sharon@epa.gov Phone: (202) 564- 3810.

Website Address:

<http://www.epa.gov/oppts.html>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development; 66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The Office of Pesticide Programs funded the following projects: developed and implemented programs to reduce pesticide risks; developed an education/outreach program targeted at aerial applicators to reduce pesticide drift incidents; commercialization of pesticides reducing biotechnologies; analysis and development of pilot pesticide safety programs and materials; Developed an integrative modeling framework for use in assessing the benefits and costs of pesticide use; Water Quality and Pesticide Management Planning for Federally Recognized Tribes; Formed a council that works to strengthen tribal pesticide programs; Provide a toll-free number that serves the US, Puerto Rico, and the Virgin Islands seeking impartial information about pesticides; Provide clinical toxicology information and laboratory services regarding pesticides; Pesticide Regulatory Education Program (PREP) Education Program for States and Tribes; and Pesticide Registration Renewal Act (PRIA 2) Partnership Grants. The Office of Pollution Prevention and Toxics funded the following: Increased scientific and technical support for Children's Environmental Health Network; researched and developed methods of microbial identification; education materials development and outreach for green engineering in chemical curriculum; development of computational methods for visualization of taxonomic relationships; and public outreach and education for green chemistry and the evaluation of chemicals to safeguard vulnerable populations.

The Office of Pollution Prevention and Toxics funded the grants or cooperative agreements programs that reduce or eliminate mercury use in hospitals and health care clinics in other countries; provide training workshops to bring together communities to share information and engage in dialogue about experiences; provide support to States, Tribes and Territories so they can develop programs to implement the renovation, repair, and painting (RRP) rule; apply pollution prevention technical expertise and international network to train environmental health and safety specialists and hospital staffs and conduct pilot project to reduce mercury; and create a regional initiative to advance sustainable practices and links the national resources and expertise of EPA and other federal agencies. OPPT also funded work on industrial chemicals, pesticides, biocides, biotechnology and nanotechnology. Also to ensure high quality chemical testing and evaluation, to increase the efficiency and effectiveness of chemical management, and to minimize non-tariff barriers to trade in chemicals and products containing chemicals. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.717 SOURCE REDUCTION ASSISTANCE

FEDERAL AGENCY:

Office of Pollution Prevention and Toxics Substances, Environmental

Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10; Clean Water Act, Section 104(b)(3); Solid Waste Disposal Act, Section 8001(a); Safe Drinking Water Act, Section 1442(a)(1)&(c); Clean Air Act, Section 103(b); Federal Insecticide, Fungicide, and Rodenticide Act, Section 20.

OBJECTIVES:

Under this CFDA announcement, EPA administers two grant programs - the Source Reduction Assistance (SRA) grant program and the Regional Pollution Prevention Information Network Centers (RPPINC) grant program. The goal of the SRA program is to provide grants to support pollution prevention/source reduction and/or resource conservation activities. The goal of the RPPINC program is fund an organization which will coordinate pollution prevention services provided by the pollution prevention information networks in eight EPA Regions. The coordination should be administered to: help disseminate pollution prevention measurement and evaluation tools of center activities and outcomes, promote efficiency and support information technology among the centers, and assist the centers in marketing their pollution prevention services internally and to the public.

For purposes of the grant programs, pollution prevention is defined as any practice which reduces or eliminates the creation of pollutants through: increased efficiency in the use of raw materials, energy, water, or other resources, or protection of natural resources by conservation activities. EPA is interested in funding projects which assist in reducing hazardous substances, pollutants, or contaminants entering waste streams or otherwise released into the environment (including fugitive emissions) prior to recycling, treatment, or disposal. Grant activities may involve, but are not limited to the following: equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, improvements in housekeeping, maintenance, training, inventory control, etc.

Funding Priority - Fiscal Year 2010: To support source reduction, pollution prevention and resource conservation activities that comply with the statutory authorities of the Clean Air Act, Section 103(b), as amended; Clean Water Act, Section 104(b)(3), as amended; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, as amended; Safe Drinking Water Act, Section 1442 (a)(1) and (c), as amended; Solid Waste Disposal Act, Section 8001(a), as amended; and the Toxic Substances Control Act, Section 10, as amended. Please note: Projects must consist of activities within the statutory terms of these EPA grant authorities. The statutes authorize grants for the following activities: "research, investigations, experiments, training, demonstrations of new or innovative techniques, surveys and studies." These activities relate generally to the gathering or transferring of information or advancing awareness. Applicants should emphasize in their grant proposal's this "learning" concept, as opposed to "fixing" an environmental problem using a well-established method. For example, a proposal to install a more energy efficient heating system in the applicant's facility in order to conserve energy, would not, in itself fall within research, studies, demonstrations, etc. All activities in the proposal must be eligible under one or more of the statutory authorities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

EPA is interested in supporting pollution prevention, source reduction and resource conservation projects that will provide an overall benefit to the environment by preventing pollutants at the source. Source reduction projects can include but are not limited to: 1) improving facility and institutional operations that reduce pollutant use and exposure, 2) reformulating and procuring products to reduce toxic constituents, 3) providing direct technical assistance to businesses and other organizations, 4) encouraging green product design and manufacturing, 5) conducting outreach, 6) collecting and analyzing data, and 7) integrating pollution prevention concepts into state, regional and tribal environmental multimedia programs. Grant activities must be consistent with the grant guidelines of the statutory authorities listed for this program. The statutory authorities are listed in this CFDA announcement and in the applicable grant solicitations. The statutes authorize EPA to award assistance agreements to support the following activities: "research, investigations,

experiments, training, demonstrations of new or innovative techniques, surveys and studies." These activities relate generally to the gathering or transferring of information or advancing the state of knowledge. Grant proposals should emphasize this "learning" concept, as opposed to "fixing" an environmental problem via a well-established method. For example, a proposal to install a more energy efficient heating system in the applicant's facility in order to conserve energy, would not, in itself fall within research, studies, demonstrations, etc. All activities in the applicant's proposal must be eligible under one or more of the statutory authorities listed.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Assistance under this program is available to the fifty States, the District of Columbia, the United States Virgin Islands, the Commonwealth of Puerto Rico, any territory or possession of the United States, local governments, city or township governments, independent school district governments, state controlled institutions of higher education, Federally-recognized tribal governments, non-profits other than institutions of higher education, private institutions of higher education, and community-based grassroots organizations. Nonprofit organizations must be able to demonstrate that they are eligible through documentation of nonprofit status provided the U.S. Internal Revenue Service or their state of incorporation. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply.

Beneficiary Eligibility:

Any State, Federally-recognized Tribal government, Intertribal Consortia, college/university, non-profit organization, local government or independent school district.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments and federally recognized tribal governments, OMB Circular No. A 21 for educational institutions, OMB Circular No. A 122 for nonprofit institutions. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Standard grant forms are required, as provided by the EPA and must be used for both grant programs. Forms may be obtained via the Internet by visiting: <http://www.epa.gov/ogd/AppKit/application.htm>. Please consult the SRA and RPPINC program notices, posted to EPA's Pollution Prevention Grant web site at <http://www.epa.gov/p2/pubs/grants> for more information. If the applicant does not know who their Single Point of Contact is, they should contact the appropriate EPA Regional Pollution Prevention Program office listed in the Information Contacts section, or call the EPA Headquarters Grant Policy Information and Training Branch at (202) 564-5325. Federally-recognized Tribal governments are not required to comply with this procedure. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This CFDA program description is designed to benefit national and regional source reduction, pollution prevention, and resource conservation activities. Grant proposals must meet the requirements outlined in the national and regional Source Reduction Assistance solicitation notices. EPA will conduct the grant competition by posting a Request for Proposals (RFP) on-line. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Source Reduction Assistance grants and cooperative agreements are subject to the availability of funds. EPA will issue awards contingent upon the criteria specified in this CFDA program description and within the corresponding solicitations. EPA reserves the right to reject any or all proposals and issue no awards. Procedures for awards are outlined in the General Grant Regulation 40 CFR Part 30.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program does not have a statutory formula. However, EPA requires a minimum 5% match of the total allowable project cost. For example, the Federal government will provide 95% of the total allowable cost of the project and the recipient will provide the remaining 5%. The match may be issued in the form of cash and/or in-kind contributions, e.g., donated services, charges for real property and equipment or the value of goods and services directly benefiting the EPA funded project. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance agreements are awarded for a project period not to exceed 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. Budget information should be submitted to the grant project officer. This information should be included in the semi-annual progress report and the final technical report. Uniform reporting requirements for institutions of higher education and other non-profit organizations. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 40 CFR part 30, subpart C. A description of the evaluation process and a reporting schedule must be included in the work plan (see sections 30.51 through 30.53). The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work

performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution. The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under (40 CFR 30.63).

Uniform reporting requirement for state, local and tribal governments. The recipient and the Grant Project Officer will develop a process for jointly evaluating and reporting progress and accomplishments under the work plan. The work plans and reporting must be consistent with the requirements of 40 CFR 31, subpart C. A description of the evaluation process and a reporting schedule must be included in the work plan (see sections 31.40 through 30.42). The reporting schedule requires the recipient to submit, at a minimum, annual progress reports, during the life of the project, to the Grant Project Officer. Recipients should provide discussion of accomplishments as measured against work plan commitments; a discussion of cumulative effectiveness of the work performed under all work plan components; a discussion of existing and potential problem areas; and suggestions for improvement, including where feasible, schedules for making improvements. If evaluation reveals the recipient has not made sufficient progress under the work plan, the Grant Project Officer and the recipient will negotiate a resolution that addresses the issues. If issues cannot be resolved through negotiation, the Grant Project Officer may take appropriate action (see sections 31.43 through 31.44.) The recipient may request a review of the Grant Project Officer's decision under the dispute resolution process under 40 CFR, Part 31, subpart F.

Semi-annual progress reports are required. Grantees should explain the work executed to date, provide environmental performance data, if available, describe the future direction of the project and describe staff and budget plans. Expenditure information should be included in the semi-annual progress report and the final technical report. Performance monitoring is required if the grantee produces environmental data. In the semi-annual progress report and in the final technical report the grantee should explain how environmental data was gathered, measured, tracked and documented.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records to substantiate charges to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance agreement accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$1,058,785; FY 10 est \$1,375,000; FY 11 est \$1,260,000 - SRA grant program: FY 2009: \$943,785; FY 2010: est. \$1,260,000; FY 2011: est. \$1,260,000.

The FY 2009 SRA program obligations represent actual funding from nine of EPA's ten Regional P2 program offices (i.e., Regions 1, 2, 3, 4, 5, 6, 7, 9, and 10).

RPPINC grant program: FY 2009: \$115,000; FY 2010: est. \$115,000.

The FY 2009 RPPINC obligation represents anticipated funding from EPA HQ.

Range and Average of Financial Assistance:

Range - FY 2010: \$10,000 - \$130,000. Average - FY 2010: \$50,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: SRA program - In FY 2009, the regional P2 program offices received 77 proposals and issued 18 awards.

RPPINC - In FY 2009, EPA HQ received 2 proposals and anticipates issuing 1 award. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 29, 30, 31, 32; and "EPA Assistance Administration Manual," are available from the National Technical Information Services, Department of Commerce, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. RPPINC grant program -

U.S. EPA HQ - Mail Code 7409M: 1200 Pennsylvania Ave, NW,

Washington, DC, 20460; Contact: Beth Anderson, phone: (202)564-8833; fax (202)564-8901; email: anderson.beth@epa.gov

SRA grant program -

U.S. EPA Region 1 (CT, ME, MA, NH, RI, VT), Mail Code: OES04-1

5 Post Office Square, Suite 100, Boston, MA 02109-3912; Contact: Lee Fiske, phone: (617) 918-1847; fax: (617) 918-1810; email: fiske.lee@epa.gov.

U.S. EPA Region 2 (NJ, NY, PR, U.S. Virgin Islands), Mail Code: SPMMB,290 Broadway 25th Floor, New York, NY 10007-1866; Contact: Alex Peck, phone: (212) 637-3758; fax: (212) 637-3771; email: peck.alex@epa.gov.

U.S. EPA Region 3 (DE, MD, PA, VA, WV, D.C.), Mail Code: 3EA40, 1650 Arch Street, Philadelphia, PA 19103-2029; Contact: Mindee Osno, phone: (215) 814-2074; fax: (215) 814-3274; email: osno.mindee@epa.gov.

U.S. EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN), Atlanta Federal Center, 61 Forsyth Street S.W. Atlanta, GA 30303; Contact: Pamela Swingle: phone: (404) 562-8482; fax: (404) 562-9066; email: swingle.pamela@epa.gov.

U.S. EPA Region 5 (IL, IN, MI, MN, OH, WI), Mail Code: DW-8J, 77 West Jackson Blvd. Chicago, IL 60604-3590; Contact: Donna Twickler, phone: (312) 866-6184; fax: (312) 353-4788; email: twickler.donna@epa.gov.

U.S. EPA Region 6 (AR, LA, NM, OK, TX), Mail Code: 6EN-XP, 1445 Ross Ave. Suite 1200, Dallas, TX 75202; Contact: David Bond, phone: (214) 665-6431; fax: (214) 665-7446; email: bond.david@epa.gov.

U.S. EPA Region 7 (IA, KS, MO, NE), Mail Code: ARTD/TSP, 901 N. 5th Street, Kansas City, KS 66101; Contact: Marcus Rivas, phone: (913) 551-7669; fax:(913) 551-7065; email: rivas.marcus@epa.gov.

U.S. EPA Region 8 (CO, MT, ND, SD, UT, WY), Mail Code: 8P-P3T, 999 18th Street, Suite 300,Denver, CO 80202-2466; Contact: Linda Walters, phone: (303) 312-6385; fax: (303) 312-6044; email:walters.linda@epa.gov.

U.S. EPA Region 9 (AZ, CA, HI, NV, and Pacific Islands and Tribal Nations subject to U.S. law), Mail Code: WST-7, 75 Hawthorne Street, San Francisco, CA 94105; Contact: Jessica Counts-Arnold, phone: 415-972-3288; fax: (415) 947-3530; email: counts-arnold.jessica@epa.gov.

U.S. EPA Region 10 (AK, ID, OR, WA), Mail Code: AWT-128, 1200 Sixth

Avenue, Suite 900, Seattle, WA 98101; Contact: Carolyn Gangmark, phone: (206) 553-4072; fax: (206) 553-8338; email: gangmark.carolyn@epa.gov.

Headquarters Office:

Michele Amhaz, Office of Pollution Prevention and Toxics, Pollution Prevention Division, Environmental Protection Agency, 1200 Pennsylvania, Ave., N.W., (7409-M), Washington, District of Columbia 20460 Email: amhaz.michele@epa.gov Phone: (202) 564-8857.

Website Address:

<http://www.epa.gov/p2/pubs/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following text provides examples of SRA awards issued in FY 2009:

EPA funded amount: \$17,192

The grantee will conduct campus tours and provide outreach materials to promote energy efficient lighting practices. The information provided by the grantee will explain how to reduce energy use and increase one's cost savings through using pollution prevention and energy efficient practices. The objective is to help reduce waste including solid waste, and air emissions, while reducing energy use and greenhouse gas emissions.

EPA funded amount: \$64,500

The recipient will provide pollution prevention technical assistance to two under-served communities in Louisiana with significant environmental challenges. The grantee will develop home efficiency programs for low-income housing properties; provide pollution prevention technical assessments for industrial facilities; provide consumer and organization pollution prevention workshops to address consumer and industrial impacts; and develop a community pollution prevention plan.

EPA funded amount: \$70,000

The recipient will partner under a cooperative agreement to conduct focus groups and survey homeowners in California to determine needs and preferences for undertaking home energy retrofits. The results from the focus groups and surveys will be used to develop an implementation and outreach plan. Local governments and others will use the plan to initiate home energy efficiency retrofit programs for homeowners in California.

EPA funded amount: \$112,000

The recipient will support the west coast's pollution prevention technical assistance provider network, and Oregon's EcoBiz certification program, expand PPRC's rapid research function to include environmentally preferable purchasing and climate change topics, provide spray coatings efficiency training and expand regional business use of lean and the environment practices.

EPA funded amount: \$18,000

The recipient will assist New Hampshire lodging facilities reduce the use of hazardous substances, improve energy efficiency, and conserve water through workshops and on-site audits conducted in partnership with the New Hampshire Department of Environmental Services. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.718 NATIONAL COMMUNITY-BASED LEAD OUTREACH AND TRAINING GRANT PROGRAM

FEDERAL AGENCY:

Office of Pollution Prevention and Toxics Substances, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10, Public Law 105-174; Toxic Substances Control Act, Section 10, Public Law 106-74.

OBJECTIVES:

This lead outreach grant program is supported by EPA's goal to reduce childhood lead poisoning. The projects support this goal by providing lead poisoning outreach to low income communities. This grant program will not be offered in 2010.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be available for activities which relate to outreach efforts to educate communities about the dangers of children's exposure to lead hazards.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligible recipients include national organizations, local governments, community-based organizations, nonprofit organizations, faith-based organizations, community action agencies, institutions of higher learning, school groups, trainers, and environmental consultants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Local, Public Nonprofit Institution/Organization, Health Professional, Education Professional, Builder/Contractor/Developer, Homeowner, Land/Property Owner, Preschool, Low Income, Rural.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. The following forms must be provided if proposal is submitted via grants.gov: Standard Form 424 (Application for Federal Assistance); Standard Form 424A (Budget Information - Non-Construction Programs). No forms are required to be provided if submitting via mail to the EPA Headquarters contact.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

About 90 days after the deadline for the proposal.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are expected to be completed within 1 year. Funds are drawn down. See the following for information on how assistance is awarded/released: Funds are drawn down.

Reports:

No program reports are required. No cash reports are required. Recipients are required to provide EPA with written progress reports within 30 days after the end of each quarter and a final report within 90 calendar days of the completion of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to cooperative agreements, must be kept available to personnel authorized to examine EPA accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report, or longer if questions still remain, such as those raised as a result of audit.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

For FY 08: Lowest Award: \$226,250; Highest Award: \$250,000; Average Award:\$243,540. Applicants may be awarded up to \$250,000. FY 09 \$0.FY10 \$0.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A total of 57 proposals were received and 8 awards were granted to the top 8 applicants in fiscal year 2008. Program focuses on low-income communities through grants to national organizations engaged in working with these communities and is helping national organizations and community organizations reach under-served populations that may have a disproportionate number of children with elevated blood lead levels. No solicitation was offered in fiscal year 2009 for this grant program. Fiscal Year 2010: Not applicable; program not funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EPA Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (40 CFR Part 31); Environmental Protection Agency. "EPA Assistance Administration Manual," available from the National Technical Information Service (NTIS), Springfield, VA 22161 on a subscription basis; Order No. NTIS UB/031.

Regional or Local Office:

See Regional Agency Offices. See EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Darlene Watford, National Program Chemical Division (7404T), Office of Pollution Prevention and Toxics (OPPT), Environmental Protection Agency, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: watford.darlene@epa.gov Phone: (202) 566-0516.

Website Address:

<http://www.epa.gov/lead>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded grants: 1. Lead outreach and training will be delivered to an economically disadvantaged urban neighborhood that has been heavily impacted by lead poisoning. Formal training and seminars, door-to-door home visits, and community events will be provided. 2. Four low income communities will receive lead awareness, training and infrastructure development. Lead safe work practices will be taught to painters and remodelers. Lead awareness will be offered to tenants, homeowners, and service delivery networks. 3. Three counties will provide outreach materials to educate rental property owners, public health and code officials, realtors, landlords, and others in low in communities. Training will be offered to rental property owners, public health and code officials, realtors, landlords on lead-safe work practices. An extensive curriculum on training as well as a model guidebook for local housing lead poisoning prevention ordinances will be developed. 4. Specialized outreach will be conducted in border communities. Lead safe work practices training will be provided to workers. Realtors will receive outreach on lead-related laws and regulations and local requirements. Specialized training will be provided to local youth and paint apprenticeship programs. 5. Approximately 280 contractors will attend a one-day joint EPA/HUD Lead Safety for Remodeling, Repair and Painting course in rural communities. Media outreach on lead poisoning and lead-safe work practices will be provided to more than 4000 consumers and do-it-yourselfers in rural communities at risk for lead poisoning. More than 50 extension service agents and program specialists will be trained at national conferences. Fiscal Year 2010: Not applicable; program not funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.801 HAZARDOUS WASTE MANAGEMENT STATE PROGRAM SUPPORT

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 3011(a)&(c), Public Law 94-580, 42 U.S.C 6901.

OBJECTIVES:

To assist State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous wastes. Funding Priority - Fiscal Year 2010: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste. Funding

Priority - Fiscal Year 2011: Assistance to State governments in the development and implementation of an authorized hazardous waste management program for the purpose of controlling the generation, transportation, treatment, storage and disposal of hazardous waste.

TYPES OF ASSISTANCE:

Formula Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Under Section 3011, funds may be used for development and implementation of authorized State hazardous waste programs.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act are eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to a particular subset of eligible applicants consistent with the Agency's competition policy.

Beneficiary Eligibility:

State agencies responsible for hazardous waste management within the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and interstate agencies established by the appropriate states and approved by the EPA Administrator under Section 1005 of the Solid Waste Disposal Act.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A 87 for State and local governments. State work plan is to be sent to the appropriate EPA Regional Office by the date 60 days before the beginning of the budget period (typically by August 1 of each year, if the budget period is the Federal fiscal year). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Office. The hazardous waste management staff of the appropriate EPA Regional Office (listed in the address appendix of the Catalog) is available to assist in preparation of the application. This program is excluded from coverage under OMB Circular No. A 110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project or program. For competitive awards, EPA will review applications, proposals or submissions in accordance with the terms, conditions, and criteria

in the solicitation/announcement of the competitive funding opportunity. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For applicants under Section 3011, 60 days.

Appeals:

As described in 40 CFR Part 31, Subpart F. Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

For applicants under Section 3011, grants and cooperative agreements are renewed annually, provided all requirements are met.

Formula and Matching Requirements:

Statutory Formula: Title Solid Waste Disposal Act. This program operates under a formula. Statistical factors used for allocation include: 1) State population, as determined by the most recent Census; 2) number of hazardous waste management facilities in States, based on studies conducted by EPA, Office Of Solid Waste; 3) number of Large Quantity Generators (LQGs), based on studies conducted by EPA, Office of Solid Waste; and 4) the number of facilities requiring corrective action, based on studies conducted by EPA, Office of Solid Waste. By law, all States and trust territories are eligible for funding. The formula is based on each State's population (17 percent); (LQG) number of facilities proceeding amount of waste produced (16 percent); number of hazardous waste management facilities (52 percent); and the number of facilities requiring corrective action (15 percent). Matching Requirement: Maximum Federal share of approved costs is 75 percent; State must provide at least 25 percent of approved costs. (Regional Administrators will determine each State allotment.).

Matching Requirements: This program operates under a formula. Statistical factors used for allocation include: 1) State population, as determined by the most recent Census; 2) number of hazardous waste management facilities in States, based on studies conducted by EPA, Office Of Solid Waste; 3) number of Large Quantity Generators (LQGs), based on studies conducted by EPA, Office of Solid Waste; and 4) the number of facilities requiring corrective action, based on studies conducted by EPA, Office of Solid Waste. By law, all States and trust territories are eligible for funding. The formula is based on each State's population (17 percent); (LQG) number of facilities proceeding amount of waste produced (16 percent); number of hazardous waste management facilities (52 percent); and the number of facilities requiring corrective action (15 percent). Matching Requirement: Maximum Federal share of approved costs is 75 percent; State must provide at least 25 percent of approved costs. (Regional Administrators will determine each State allotment.). This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are normally funded on a 12 month basis (Federal or State fiscal year). The total project period varies according to program requirements. Assistance is awarded by advance payment method. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required on an annual basis. Cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is conducted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer

and the Grants Specialist may occur each year.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts in an institution. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 09 \$102,032,300; FY 10 est \$103,046,000; FY 11 est \$105,112,000

Range and Average of Financial Assistance:

Most fiscal years range \$250,000 to \$8,500,000; average: \$2,100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal years 2008 and 2009, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011). Fiscal Year 2010: In fiscal year 2010, States continued to develop and implement programs providing cradle to grave control of hazardous wastes (under Section 3011). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency, 40 CFR Part 31; State and Local Assistance, EPA, 40 CFR Part 35; "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis.

Regional or Local Office:

See Regional Agency Offices. State agencies are encouraged to communicate with the appropriate EPA Regional Administrator listed in Appendix IV of the Catalog.

Headquarters Office:

Wayne Roepe, EPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W., Mail Code: 5303P, Washington, District of Columbia 20460
Email: roepe.wayne@epa.gov Phone: (703) 308-8630.

Website Address:

<http://www.epa.gov/epaoswer/osw/>

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: State projects to develop a hazardous waste program designed to meet the substantive and procedural requirements of an "authorized" program. (Section 3006). Specific types of activities conducted by states include 1) issuance of hazardous waste management permits, 2) oversight of corrective action at hazardous waste management facilities, 3) inspections of hazardous waste management facilities, 4) inspections of hazardous waste generators, and 5) waste minimization activities. Fiscal Year 2010: State projects to develop a hazardous waste program designed to meet the substantive and procedural requirements of an "authorized" program. (Section 3006). Specific types of activities conducted by states include 1) issuance of hazardous waste management permits, 2) oversight of corrective action at hazardous waste management facilities, 3) inspections of hazardous waste management facilities, 4) inspections of hazardous waste generators, and 5) waste minimization activities. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.802 SUPERFUND STATE, POLITICAL SUBDIVISION, AND INDIAN TRIBE SITE-SPECIFIC COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 104(d), 42 U.S.C 9604(d); American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To (1) conduct site characterization activities at potential or confirmed hazardous waste sites; (2) undertake response planning and implementation actions at sites on the National Priorities List (NPL) to clean up the hazardous waste sites that are found to pose hazards to human health; and (3) effectively implement the statutory requirements of CERCLA 121(f) which mandates substantial and meaningful State involvement.

Funding Priority - Fiscal Year 2010: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

States, Indian Tribes, or political subdivisions receiving cooperative agreement funding from the American Recovery and Reinvestment Act of 2009 (Recovery Act), must use those funds for response actions that can be implemented expeditiously and promote job creation and preservation in a manner consistent with the Funding Priority listed above.

Funding Priority - Fiscal Year 2011: Funding must be used at specific hazardous waste sites to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and (8) oversee PRP cleanups.

States, Indian Tribes, or political subdivisions receiving cooperative agreement funding from the American Recovery and Reinvestment Act of 2009 (Recovery Act), must use those funds for response actions that can be implemented expeditiously and promote job creation and preservation in a manner consistent with the Funding Priority listed above.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding may be used to: (1) conduct non time critical removal actions; (2) perform site characterization activities such as preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at potential or confirmed hazardous waste sites; (3) conduct remedial actions (i.e., clean up) at uncontrolled hazardous waste sites listed on the National Priorities List (40 CFR 300); (4) support CERCLA implementation activities; (5) identify Potentially Responsible Parties (PRPs); (6) conduct settlement negotiations; (7) take enforcement actions against PRPs; and, (8) oversee PRP cleanups. Funding may not be used to conduct tasks or activities not authorized by CERCLA. Funds may not be used for non-site-specific Core Program activities (see 66.809). Funds made available by the Recovery Act are prohibited from uses relating to casinos and other gambling establishments, aquariums, zoos, golf courses, or swimming pools. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Beneficiary Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Credentials/Documentation:

Costs will be determined in accordance with 40 CFR 35, Subpart O and OMB Circular No. A 87, "Cost Principles for State, Local, and Indian Tribal Governments," and other supporting documentation provided by the Agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Consultation and preapplication conference(s) are recommended. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional Superfund program offices will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless redelegated to the Regional Division Director. For the Recovery Act funding, EPA will award separate cooperative agreements.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately ninety (90) days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Extensions are available but not guaranteed. The original cooperative agreement is amended by using EPA Standard Form 424 and submitting it to the Regional Administrator.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: None required for pre NPL activities or for remedial planning at any site if the site was privately owned and operated at the time of disposal of hazardous wastes. Matching Requirements: 10 percent State, 90 percent Federal, for remedial action if the site is privately owned and operated at the time of disposal of hazardous wastes. Minimum 50 percent State, 50

percent Federal, of all response costs if the site was State/locally operated at time of any disposal of hazardous waste (Note: percentage may vary). CERCLA section 104(c)(3). Tribal governments are not required to share in the costs of Superfund actions. This program has no statutory formula. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded for duration of project, subject to time constraints imposed by EPA. Money is released on a draw down or a letter of credit basis. See the following for information on how assistance is awarded/released: In order to receive payment by the letter of credit method, the recipient must comply with the requirements regarding letter of credit described in 40 CFR 31.20 (b)(7) and 31.21(b). The recipient must identify and charge costs to specific sites, activities, and operable units, as applicable, for drawdown purposes as specified in the Cooperative Agreement. If the recipient is unable to meet letter of credit requirements, EPA will pay the recipient by reimbursement. The recipient must comply with the requirements regarding reimbursement described in 40 CFR 31.21(d).

Reports:

Program reports are required pursuant to 40 CFR 35, Subpart O. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No. A-102. Progress reports, notification of significant developments, property inventory reports, procurement reports, financial reports, and final reports are required pursuant to 40 CFR 35, Subpart O. Financial status reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten year period, whichever is later.

Account Identification:

20-8145-0-7-304.

Obligations:

(Cooperative Agreements) FY 09 \$146,000,000; FY 10 est \$80,000,000; FY 11 est \$80,000,000

Range and Average of Financial Assistance:

\$10,000 to \$ 17 million; average amount \$783,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, all 10 EPA Regional Offices awarded or continued to fund cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for

these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup. Fiscal Year 2010: In fiscal year 2010, all 10 EPA Regional Offices awarded or continued to fund cooperative agreements to lead cleanup actions, or to support EPA-lead cleanup actions, at hazardous waste sites. Cooperative agreements were awarded to lead the evaluation of newly discovered sites, to assess and investigate sites that have been identified as needing further action, to select, in partnership with EPA, the appropriate technologies and cleanup actions for these sites, to design the selected technologies and cleanup actions, and to construct the designed remedy. Funding was used to start or continue long term remedial actions to treat ground water where remediation goals have not yet been reached. Finally, funding was provided to meaningfully and substantially participate in cleanup actions where EPA led the cleanup. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 30; 40 CFR 31; 40 CFR 35, Subpart O; 25 CFR 20; and Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. Regional Administrators: See Appendix IV of the Catalog.

Headquarters Office:

Angelo Carasea, Site Assessment and Remedy Decisions Branch, Assessment and Remediation Division, Office of Superfund Remediation and Technology Innovation, Office of Solid Waste and Emergency Response, Mail Code 5204P, EPA, Washington, District of Columbia 20460 Email: carasea.angelo@epa.gov Phone: (703) 603-8828.

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Preliminary Assessments/Site Inspections; Remedial Investigation; Feasibility Study; Remedial Design; Remedial Action (i.e., clean up); PRP searches; RI/FS negotiations; RD/RA Negotiations; PRP oversight; Non Time Critical Removal Actions; State participation in federal lead projects. Fiscal Year 2010: Preliminary Assessments/Site Inspections; Remedial Investigation; Feasibility Study; Remedial Design; Remedial Action (i.e., clean up); PRP searches; RI/FS negotiations; RD/RA Negotiations; PRP oversight; Non Time Critical Removal Actions; State participation in federal lead projects. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For fund-lead remedial action, the site must appear on the National Priorities List of the National Oil and Hazardous Substances Contingency Plan (NCP) (40 CFR 300). Removal actions require a planning period of six months or more. Each project is examined and selected on a case-by-case basis based upon site ranking, availability of matching funds from the State, availability of Trust funds, receipt of application and other criteria as determined by EPA.

66.804 UNDERGROUND STORAGE TANK PREVENTION, DETECTION AND COMPLIANCE PROGRAM

UST Prevention, Detection and Compliance Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Superfund Reauthorization Amendments of 1986, Title I, Section 2007(f); Solid Waste Disposal Act (SWDA) of 1976, Section 2007; Energy Policy Act of 2005, Title XV, Section 1521-1533, Public Law 109-58, 42 U.S.C 15801; Ethanol And Motor Fuels, Title B, Section 1521, Public Law 109-58, 42 U.S.C 15801; Energy Policy Act of 2005, 42 U.S.C 6916(f)(2); EPA's Annual

Appropriations Act, Public Law 111-8A.

OBJECTIVES:

To assist States, Territories, Tribes and Intertribal Consortia that meet the requirements at 40 CFR 35.504, in the development and implementation of underground storage tank (UST) programs and for leak prevention, compliance and other activities authorized by the Energy Policy Act (EPA) of 2005 and EPA's annual appropriations acts.

Funding Priority - Fiscal Year 2010: High priority tasks include providing financial assistance: 1) to states and Tribes in implementing the UST program; 2) to states to help them meet their responsibilities authorized under the Energy Policy Act (EPA) (e.g., conducting more frequent inspections, prohibiting delivery for non-complying facilities, and requiring either secondary containment for tank systems or financial responsibility for manufacturers and installers); 3) to Tribes to conduct on-site inspections in Indian Country; 4) to states and Tribes to encourage owners and operators to properly operate and maintain their USTs; 5) to states and Tribes to ensure owners and operators routinely and correctly monitor all regulated tanks and piping in accordance with the regulations; 6) to states and Tribes to ensure UST system compatibility with emerging fuels, and 7) to states to develop State programs with sufficient authority and enforcement capabilities to operate in lieu of the Federal program. LUST prevention funds may be used by States for inspections and other release prevention and compliance assurance activities for federally-regulated underground storage tanks (USTs), as well as for enforcement activities related to release prevention. Priority will be given to providing funds to enable the States to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into assistance agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs.

Funding Priority - Fiscal Year 2011: High priority tasks include providing financial assistance: 1) to states and Tribes in implementing the UST program; 2) to states to help them meet their responsibilities authorized under the Energy Policy Act (EPA) (e.g., conducting more frequent inspections, prohibiting delivery for non-complying facilities, and requiring either secondary containment for tank systems or financial responsibility for manufacturers and installers); 3) to Tribes to conduct on-site inspections in Indian Country; 4) to states and Tribes to encourage owners and operators to properly operate and maintain their USTs; 5) to states and Tribes to ensure owners and operators routinely and correctly monitor all regulated tanks and piping in accordance with the regulations; 6) to states and Tribes to ensure UST system compatibility with emerging fuels, and 7) to states to develop State programs with sufficient authority and enforcement capabilities to operate in lieu of the Federal program. LUST prevention funds may be used by States for inspections and other release prevention and compliance assurance activities for federally-regulated underground storage tanks (USTs), as well as for enforcement activities related to release prevention. Priority will be given to providing funds to enable the States to meet their responsibilities under Title XV, Subtitle B of the Energy Policy Act of 2005. States that have entered into assistance agreements with EPA must have the authority to inspect and take other compliance and related enforcement actions to prevent releases from USTs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under assistance agreements for leak prevention, detection, and compliance, as described in the Section:50 Funding Priority. Assistance agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under this program may be used by states and Tribes for the following types of activities: (1) Encouraging owners and operators to properly operate and maintain their USTs. (2) Ensuring owners and operators routinely and correctly monitor all regulated tanks and piping in accordance with the regulations. (3) Developing State programs with sufficient authority and enforcement capabilities to operate in lieu of the Federal program. (4) Conducting more frequent inspections. (5) Prohibiting delivery to noncompliant tanks. (6)

Requiring either secondary containment for new tank systems or financial responsibility for manufacturers and installers. These assistance agreements may also be used for EPA to help States, who request it, and for the Agency, to obtain SEE enrollees through a SEE assistance agreement to work on the State's underground storage tanks and to support direct UST implementation programs. Unlike State and Tribal Assistance Grant (STAG) funding under Section 2007(f) of the Solid Waste Disposal Act (SWDA), the LUST prevention funding under Section 9011 and other applicable provisions of Subtitle I of the SWDA is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA may award combined STAG funded UST prevention, detection, and compliance assistance agreements authorized by Section 2007(f) with LUST prevention assistance agreements authorized by Subtitle I if the combined agreement is not included in a PPG.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Prevention, detection and compliance assistance agreements are only available to States and Territories and to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia." These assistance agreements may also be used for EPA to help States, who request it, to obtain SEE enrollees through a SEE assistance agreement to work on the State's underground storage tanks and to support direct UST implementation programs.

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia.

Credentials/Documentation:

States and Territories must either submit certification indicating the State or Territory meets the applicable Energy Policy Act provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/oust/fedlaws/epact_05.htm). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and federally-recognized Tribes and Intertribal Consortia. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Tribes and Intertribal Consortia are not required to follow intergovernmental review procedures. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and Tribes apply for funds through EPA regional offices. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. EPA may award combined or separate assistance agreements for leak prevention.

Award Procedure:

These funds are awarded non-competitively. However, if EPA elects to hold competitions for Tribal and/or Intertribal Consortia assistance agreements, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive

announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Applications will be subjected to administrative evaluation to determine the adequacy of application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. An assistance agreement must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. EPA awards the assistance agreements to states through a previously established allocation process, issued under national guidance rather than through competition (see 40 CFR 35.332 and EPA Order 5700.5, Section 6(c)(1)).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, from 3 to 4 months.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/OUST/regions/index.htm>.

Formula and Matching Requirements:

Statutory Formula: Title Solid Waste Disposal Act, Subpart I.
Matching Requirements: The UST prevention, detection, and compliance Solid Waste Disposal Act 2007(f) assistance agreement (STAG) program has no statutory formula or matching requirement. However, there is a 25 percent matching requirement (cost share) for States under 40 CFR 35.335. State matches (cost shares) may include in-kind contributions. Under 40 CFR 35.332, EPA regions allocate funds to states based on their programmatic needs and applicable EPA guidance. Factors include the number of active federally regulated petroleum underground storage tanks. LUST prevention funding is awarded under Section 9011 and other applicable provisions of Subtitle I of the Solid Waste Disposal Act subject to an allocation process developed by the Agency. The Agency distributes funds based on the number of federally-regulated petroleum USTs in a State and other indicators of State needs. States will provide a 25 percent match (cost share) for assistance agreements awarded under Section 9011 and other applicable provisions of Subtitle I. If EPA awards a State a combined UST prevention, detection, and compliance (STAG) and LUST prevention assistance agreement, the 25 percent cost share will apply to total project costs for the combined assistance agreement. There is no matching requirement (cost share) for prevention, detection and compliance assistance agreements for Tribes or Intertribal Consortia awarded pursuant under Public Law 105-276 or the agency's annual appropriation acts.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the assistance agreement shall be determined at the time of award by the specific EPA Regional Office. Assistance agreements can be awarded on a single- or multiple-year basis up to seven years. See the following for information on how assistance is awarded/released: Financial assistance is awarded in a lump sum. States use the Automated Standard Application for Payment System to request cash drawdowns based on a projected cash requirement.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA Regional Offices may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Recipients submit annual reports which include a description of the progress made towards meeting environmental goals as expressed by the environmental indicators and program measures. Recipients report program performance results semi-annually that provide updates for commitments and priorities. States are required to submit Cash Reports to regional grants offices. States must certify that they are meeting or are making progress in meeting the Energy

Policy Act requirements. States are required to provide expenditure reports for overall funding for UST prevention, detection and compliance (STAG) assistance agreements. For LUST prevention assistance agreements, States are required to submit Financial Status Reports to regional grants offices. Annual reviews are conducted to determine recipients' progress in meeting overall program goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304; 20-8153-0-7-304.

Obligations:

(Formula Grants) FY 09 \$37,330,000; FY 10 est \$36,930,000; FY 11 est \$36,980,000 - STAG: FY 09 actual \$2,900,000; FY 10 actual \$2,500,000; and FY 11 estimate: \$2,550,000.

LUST Prevention: FY 09 actual \$34,430,000; and FY 10 and FY 11 estimates \$34,430,000.

Range and Average of Financial Assistance:

Range for States and Territories: In FY 2009, and FY 2010, the STAG and LUST prevention financial assistance is based on states' needs.

In FY 2009, STAG funding - Range for States and Territories: \$25,000 to \$1,120,000; Average for States and Territories = \$94,654. In FY 2009, there was no STAG distribution for Tribes. Previous fiscal year STAG funding was used in a competitive Tribal cooperative agreement in the amount of \$400,000. LUST Prevention Funding - Range for States and Territories: \$98,000 to \$2,000,000; Average for States and Territories = \$472,000. Range for Tribes: \$20,000 to \$650,000; Average for Tribes = \$420,000.

In FY 2010, STAG funding - Range for States and Territories: \$25,000 to \$415,000; Average for States and Territories = \$131,579. In FY 2010, there was no STAG distribution for Tribes. Previous fiscal year STAG funding will be used in a competitive Tribal cooperative agreement in the amount of \$92,000. LUST Prevention Funding - Range for States and Territories: \$98,000 to \$1,981,000; Average for States and Territories = \$622,115. Range for Tribes: Unknown at this time.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 14 states and four territories submitted applications and were awarded STAG assistance agreements; and one Intertribal Consortia recipient was awarded a competitive assistance agreement. For the LUST prevention assistance agreements, 50 states and six territories submitted applications and were awarded assistance agreements. At the end of FY 2009, EPA met its goal of UST facilities that are in significant operational compliance with both release detection and release prevention (spill, overflow and corrosion protection requirements) of 66 percent. At the end of FY 2009, EPA met its goal of reducing the number of confirmed UST releases to less than 9,000, with only 7,168 confirmed releases. Fiscal Year 2010: In FY 2010, it is anticipated that 18 states and four territories applications will be received

and all will be awarded STAG assistance agreements; and one Intertribal Consortia competitively awarded recipient will submit an application and will be awarded a STAG assistance agreement. For the LUST prevention assistance agreements, 52 states and six territories will submit applications and will be awarded assistance agreements. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Parts 30 or 31, as applicable), State and Local Assistance (40 CFR Part 35.330 et. seq. for States and 40 CFR 35.730 et. seq. for Tribes and Intertribal Consortia). Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes and OMB Circular A-122 (2 CFR Part 230) for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional UST Program Manager for documents.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers (see <http://www.epa.gov/OUST/regions/index.htm>).

Headquarters Office:

Lynn Depont, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 5401P, Washington, District of Columbia 20460 Email: depont.lynn@epa.gov Phone: (703) 603-7148.

Website Address:

<http://www.epa.gov/OUST/cmplastc/index.htm>

RELATED PROGRAMS:

66.805 Leaking Underground Storage Tank Trust Fund Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: UST prevention, detection, and compliance assistance agreements' funded projects include functions necessary for the development and/or implementation of a State or Indian underground storage tank (UST) program. Those functions that may be accepted for funding include the development and/or implementation of enforcement and inspection programs, outreach, and training related to the underground storage tanks program. Specific examples of funded projects in FY 2009 were state inspections, enforcement, development of regulations and other program infrastructure. These assistance agreements were also used for EPA to help states, who requested it, to obtain SEE enrollees through a SEE assistance agreement to work on the state's underground storage tanks (UST) and to support direct UST implementation programs. Fiscal Year 2010: FY 2010 information not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section:101. Funding provided to states for release prevention must be expended in accordance with grant guidelines EPA issued to implement the Title XV, Subtitle B of the Energy Policy Act of 2005, http://www.epa.gov/swerst1/fedlaws/publ_109-058.pdf).

66.805 LEAKING UNDERGROUND STORAGE TANK TRUST FUND CORRECTIVE ACTION PROGRAM

Leaking UST Corrective Action Program

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act of 1976 (SWDA), as amended, Section 9003(h), Public Law 105-276; American Recovery and Reinvestment Act of 2009 ; Solid Waste Disposal Act of 1976 (SWDA), as amended, Section 2007(f)(2).

OBJECTIVES:

To support State (including Territories that are included in the definition of "State" in the Solid Waste Disposal Act) and Tribal corrective action programs that address releases from underground storage tanks.

Funding Priority - Fiscal Year 2010: Program funds from the annual Leaking

Underground Storage Tanks (LUST) Trust Fund appropriation are used to provide resources to States and Tribes for their underground storage tank (UST) programs. Corrective Action: Eighty percent of the funds appropriated to the Agency for corrective action must be distributed to the States under cooperative agreements. Program funds are to be used to provide resources for the oversight and corrective action associated with petroleum releases from federally-regulated underground storage tanks (USTs), as well as for enforcement activities related to such corrective action. States that have entered into cooperative agreements with EPA have the authority to respond to petroleum releases from USTs using Leaking Underground Storage Tanks (LUST) Trust funds where owners and operators are unknown, unwilling or unable to take corrective actions themselves, and in certain other circumstances. States may also oversee responsible party cleanups. When the LUST Trust Fund is used, tank owners/operators are liable to the State for costs incurred and are subject to cost recovery actions.

States receiving cooperative agreement funding with supplemental appropriations from the Leaking Underground Storage Tanks (LUST) Trust Fund provided by the American Recovery and Reinvestment Act of 2009 (Recovery Act) must use those funds consistent with the following. In accordance with section 9003(h)(3), states must give priority to eligible LUST sites that pose the greatest threat to human health and the environment. EPA wants to ensure these funds are used effectively to achieve the program's goal of LUST cleanups, while at the same time achieving the Recovery Act goals of maximizing job creation/retention and providing economic, health, and environmental benefits to the citizens of the United States and its territories. States may decide which sites best meet these program priorities provided their determinations are consistent with the scope of work and terms and conditions of their LUST Recovery Act assistance agreements. The assistance agreements will fund several activities, such as shovel-ready projects (sites ready for assessment and cleanup) that are typically orphaned or abandoned sites, where the owners/operators of the sites are unknown or unable to pay, and staff management and oversight activities that will leverage additional cleanups.

Funding Priority - Fiscal Year 2011: Program funds from the annual Leaking Underground Storage Tanks (LUST) Trust Fund appropriation are used to provide resources to States and Tribes for their underground storage tank (UST) programs. Corrective Action: Eighty percent of the funds appropriated to the Agency for corrective action must be distributed to the States under cooperative agreements. Program funds are to be used to provide resources for the oversight and corrective action associated with petroleum releases from federally-regulated underground storage tanks (USTs), as well as for enforcement activities related to such corrective action. States that have entered into cooperative agreements with EPA have the authority to respond to petroleum releases from USTs using Leaking Underground Storage Tanks (LUST) Trust funds where owners and operators are unknown, unwilling or unable to take corrective actions themselves, and in certain other circumstances. States may also oversee responsible party cleanups. When the LUST Trust Fund is used, tank owners/operators are liable to the State for costs incurred and are subject to cost recovery actions.

States receiving cooperative agreement funding with supplemental appropriations from the Leaking Underground Storage Tanks (LUST) Trust Fund provided by the American Recovery and Reinvestment Act of 2009 (Recovery Act) must use those funds consistent with the following. In accordance with section 9003(h)(3), states must give priority to eligible LUST sites that pose the greatest threat to human health and the environment. EPA wants to ensure these funds are used effectively to achieve the program's goal of LUST cleanups, while at the same time achieving the Recovery Act goals of maximizing job creation/retention and providing economic, health, and environmental benefits to the citizens of the United States and its territories. States may decide which sites best meet these program priorities provided their determinations are consistent with the scope of work and terms and conditions of their LUST Recovery Act assistance agreements. The assistance agreements will fund several activities, such as shovel-ready projects (sites ready for assessment and cleanup) that are typically orphaned or abandoned sites, where the owners/operators of the sites are unknown or unable to pay, and staff management and oversight activities that will leverage additional cleanups.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States and Tribes may use financial assistance under this program for eligible and allowable costs incurred under cooperative agreements for corrective action, as described in Section: 54 Funding Priority and Section: 180 Criteria for Selecting Proposals. This financial assistance program is not eligible for inclusion in Performance Partnership Grants under 40 CFR 35.133. EPA will award cooperative agreements for corrective action activities. The applicable provisions in OSWER Directive 9650.10A, "LUST Trust Fund Cooperative Agreement Guidelines" apply to states receiving funds under this program. See <http://www.epa.gov/swerust1/directiv/d965010a.htm>.

LUST Trust Funds for corrective action will not be used to implement any provision of the Energy Policy Act (EPA) of 2005, Title XV, Subtitle B, that is not also a leaking underground storage tank activity authorized by Section 205 of the Superfund Amendments and Reauthorization Act. Funds made available by the Recovery Act are prohibited from uses relating to casinos and other gambling establishments, aquariums, zoos, golf courses, or swimming pools.

Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Cooperative agreements are only available to States and Territories that have UST programs. Additionally, these cooperative agreements are only available to Federally-recognized Tribes and Intertribal Consortia that must meet the requirements, as described in the Federal Register Notice, Vol. 67, No. 213, pp. 67181-67183, "Update to EPA Policy on Certain Grants to Intertribal Consortia."

Beneficiary Eligibility:

States, Territories, Tribes and Intertribal Consortia and the communities and industries affected by leaks from underground storage tanks.

Credentials/Documentation:

Even though the LUST corrective action assistance agreements are only used for traditional cleanup activities under Section 9003(h), States and Territories must either submit certification indicating the State or Territory meets the applicable Energy Policy Act provisions, or submit documentation describing the State or Territory's efforts to meet the requirements, in accordance with EPA's Energy Policy Act grant guidelines (http://www.epa.gov/oust/fedlaws/epact_05.htm). The EPA Regional Offices maintain the credentials/documentation for State and Territorial programs and federally-recognized Tribes and Intertribal Consortia. Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Tribes and Intertribal Consortia are not required to follow intergovernmental review procedures. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and Tribes apply for funds through EPA regional offices under this CFDA.

Award Procedure:

These funds are awarded non-competitively. Applications will be subjected to administrative evaluation to determine the adequacy of application in relation to assistance agreement regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will advise the applicant if funding is being considered. A final work plan will be negotiated with the applicant. A cooperative agreement must be signed between EPA and the State or Territory or EPA and the Tribe or Intertribal Consortia. EPA awards the cooperative agreement to states through a previously established allocation process, issued under national guidance rather than through competition. See EPA Order 5700.5, Section 6(c)(1).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally from 3 to 4 months.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Contact the appropriate Regional Office. See <http://www.epa.gov/OUST/regions/index.htm>.

Formula and Matching Requirements:

Statutory Formula: Title Solid Waste Disposal Act.

Matching Requirements: LUST corrective action funding awarded under Section 9003(h)(7) of the Solid Waste Disposal Act is subject to an allocation process developed by the Agency. By guidance, the Agency has established a process for allocating funds to states under Section 9003(h)(7) based on the cumulative numbers of confirmed UST releases, total number of existing registered petroleum UST systems, cleanups initiated, cleanups completed, the percentage of the population using groundwater for drinking water, and the number of states with approved UST programs. This program allocates funding to Tribes and Intertribal Consortia non-competitively based on their programmatic needs and national guidance. States must provide a 10 percent cost share for cooperative agreements awarded under Section 9003(h)(7). There is no matching requirement for corrective action cooperative agreements for Tribes or Intertribal Consortia awarded pursuant to Public Law 105-276. Cooperative agreements awarded with Recovery Act funds are not subject to cost share requirements under section 9003(h)(7)(B) of the SWDA. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award by the specific EPA Regional Office.

Cooperative agreements awarded with Recovery Act funds were awarded on a two and one-half year budget and performance period. The budget and performance period may be extended on a limited basis to allow work to be performed on eligible sites.

Cooperative agreements awarded with Recovery Act funds may be awarded on a two and one-half year budget and performance period (e.g., 4/1/2009 to 9/30/2011). The budget and performance period may be extended on a limited basis to allow work to be performed on eligible sites. See the following for information on how assistance is awarded/released: LUST Trust Fund Corrective Action assistance agreements are awarded to states annually on a multi-year cycle. Financial assistance is awarded in a lump sum. States use the Automated Standard Application for Payment System to request cash drawdowns based on a projected cash requirement.

Reports:

For the LUST Trust Fund Corrective Action assistance agreements, states report program performance results semi-annually and progress reports as part of the yearly review with EPA. For the LUST Trust Fund Recovery Act assistance agreements, states will report program performance results on a quarterly basis. For the LUST Trust Fund Corrective Action assistance

agreements, states are required to submit Cash Reports to EPA's regional grants offices. For the LUST Trust Fund Recovery Act assistance agreements, states will be required to submit Cash Reports to EPA's regional grants offices. Progress reports are required. States are required to submit Financial Status Reports to regional grants offices. Performance monitoring is conducted to determine states' progress towards meeting overall program goals.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) or 40 CFR Part 30 (non-profit intertribal consortia) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Recipients must account for Recovery Act funds separately.

Account Identification:

20-8153-0-7-304 - LUST 20-81-53-0-7-304 and for LUST Recovery Act funding 68-9/0 8196.

Obligations:

(Formula Grants) FY 09 \$252,861,000; FY 10 est \$63,570,000; FY 11 est \$63,192,000 - FY 09 LUST Recovery Act actual \$190,700,000.

Range and Average of Financial Assistance:

For the LUST corrective action funding, For FY 2009, Range for Territories and States: \$44,000 - \$4,000,000; Territory Average \$54,000; State Average: \$1,100,000. Range for Tribes and Intertribal Consortia: \$15,000 to \$ 500,000; Average: \$113,000.

For 2010, the estimated Range for Territories and States: \$51,000 - \$3,596,000; Territory Average \$59,000; State Average: \$1,259,000. Range for Tribes and Intertribal Consortia: unknown at this time.

For the LUST Recovery Act funding, in FY 2009, Range for Territories and States: \$57,000 - \$15,600,000; Territory Average: \$84,000; State Average: \$3,700,000. Tribes are not receiving LUST Recovery Act funding through LUST corrective action cooperative agreements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For FY 2009, 49 States, DC, and Puerto Rico and three territories and approximately 10 Indian Tribes submitted assistance agreement applications and the same number of awards were granted. In FY 2009, EPA met and exceeded its national goal of 12,250 LUST cleanups completed. EPA met and exceeded its tribal LUST cleanups completed goal of 30 LUST cleanups. Fiscal Year 2010: It is anticipated that 50 States, DC, and Puerto Rico and four territories and approximately 10 Indian Tribes will submit assistance agreement applications and the same number of awards will be granted in FY 2010. In FY 2010, EPA expects to meet its national goal of 12,250 LUST cleanups completed, including 30 LUST cleanups completed in Indian country. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations governing the award and administration of the Recovery Act

Leaking Underground Storage Tanks cooperative agreements and the Leaking Underground Storage Tank corrective action cooperative agreements are the "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" set forth at 40 CFR Part 31 (www.access.gpo.gov/nara/cfr/waisidx_00/40cfr31_00.html). Non-profit recipients are subject to 40 CFR Part 30.

Guidelines: Leaking Underground Storage Tanks Trust Fund. Cooperative Agreement Guidelines. Energy Policy Act of 2005 Grant Guidelines, <http://www.epa.gov/swrust1/index.htm>. Guidance To Regions For Implementing The LUST Provisions Of The American Recovery And Reinvestment Act of 2009 and the Supplemental Guidance To Regions Clarifying Applicability Of Davis Bacon Act To Private Wells, <http://www.epa.gov/swrust1/eparecovery/lustproguide.htm>

Costs will be determined in accordance with OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes and OMB Circular A-122 (2 CFR Part 230) for Intertribal Consortia that follow the assistance regulations for nonprofit organizations. Contact Regional LUST Program Manager for documents.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV Of the Catalog for list of Underground Storage Tanks Regional Program Manager addresses.

Headquarters Office:

Lynn Depont, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 5401P, Washington, District of Columbia 20460
Email: depont.lynn@epa.gov Phone: (703) 603-0175.

Website Address:

<http://www.epa.gov/OUST/cat/index.htm>.

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Conducted site assessment/site characterization; reviewed site characterization reports; reviewed corrective action plans; conducted enforcement actions; provided assistance to Tribes to help develop and implement the LUST program; and conducted cleanups and provided oversight of responsible party cleanups. Fiscal Year 2010: It is anticipated that in FY 2010, states will conduct site assessment/site characterization; review site characterization reports; review corrective action plans; conduct enforcement actions; provide assistance to Tribes to help develop and implement the LUST program; and conduct cleanups and provide oversight of responsible party cleanups. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program allocates funding to States and Tribes non-competitively under the allocation system referenced in Section :101. Guidelines for LUST corrective action cooperative agreements are found in OSWER Directive 9650-10A, and at OUST's website <http://www.epa.gov/swrust1/directiv/d965010a.htm>. Guidelines for LUST Recovery Act cooperative agreements are found in Guidance To Regions For Implementing The LUST Provisions Of The American Recovery And Reinvestment Act of 2009 and the Supplemental Guidance To Regions Clarifying Applicability Of Davis Bacon Act To Private Wells, <http://www.epa.gov/swrust1/eparecovery/lustproguide.htm>.

66.806 SUPERFUND TECHNICAL ASSISTANCE GRANTS (TAG) FOR COMMUNITY GROUPS AT NATIONAL PRIORITY LIST (NPL) SITES

(TAG)

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499, 42 U.S.C 9617; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Section 117(e), Public Law 96-510.

OBJECTIVES:

The statutory authority for this project is CERCLA 117(e) as amended by 42 USC 9617(e); it authorizes Technical Assistance Grants to be awarded to groups of individuals affected by or threatened by a release at a Superfund site so that they can obtain independent technical assistance to interpret site-related documents and share this information to the rest of the community. The objective of each TAG is to procure an independent technical advisor(s) to review and inform the community on EPA documents related to cleaning up the Superfund site that is affecting them. The goal of each TAG is that the technical advisor's information aids the community in the preparation of public comments and assists the community to more meaningfully participate in the cleanup decision-making process.

Funding Priority - Fiscal Year 2010: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All or most of the Federal funds must be used, at sites listed on the National Priorities List (NPL) or proposed for listing under the National Contingency Plan (NCP) where a response action has begun under CERCLA, to procure a technical advisor(s) to help in understanding the nature of the environmental and public health hazards at the site, the various stages of health and environmental investigations and activities, cleanup, and operation and maintenance of a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal. Limitations: (a) Federal funds cannot be used to develop new primary data, such as conducting independent testing and monitoring activities at a site. (b) Under CERCLA, as amended, grant funds cannot be used for lawsuits or other legal actions, or attorney fees for services connected to any legal action or that could result in a relationship to which attorney/client privileges applies. (c) Grant funds cannot be applied towards a technical advisor's time spent assisting an attorney in preparing a legal action or preparing and serving as an expert witness at any legal proceedings. (d) Grant funds cannot be used for any activities or expenditures for recipient group members' travel. (e) Grant funds cannot be used for political activity and lobbying. (f) Grant funds cannot be used for generation of new health data through biomedical testing (for example, blood or urine testing), clinical evaluations, health studies, surveillance, registries, and/or public health investigations. (g) Grant funds cannot be used for activities that are unallowable under the cost principles stated in Office of Management and Budget (OMB) Circular No. A-122. (h) Grant funds cannot be used for tuition or other training expenses for recipient group's members. (i) Grant funds cannot be used to reopen or challenge final EPA decisions.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or

government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

A technical assistance grant (TAG) is available to any qualified group of individuals which: may be "affected" by a release or threatened release at any facility listed on the NPL or proposed for listing under the NCP where a "response action" under CERCLA has begun; meets minimum administrative and management capability requirements found in 40 CFR 30.12 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management; and incorporates as a nonprofit for the specific purpose of the representing "affected" individuals at the site. "Affected" means subject to actual or potential health, economic or environmental threat. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is affiliated with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045.

Beneficiary Eligibility:

This program benefits groups of individuals affected by Superfund hazardous waste sites. Groups should be representative of the community affected by the Superfund site, which may include homeowners, land/property owners, local businesses, as well as any other individuals in the general public who live near a site.

Credentials/Documentation:

At the time of the award, a recipient must either be incorporated or demonstrate that they have taken all necessary and appropriate actions to do so. Recipients must show proof of incorporation no later than the time of the group's first request for reimbursement for costs incurred. This program is subject to the provisions of OMB Circular No. A-122. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

1) Groups wishing to apply for a TAG must first submit to EPA a letter of intent (LOI). Groups interested in applying for a TAG are encouraged to contact their regional TAG coordinator(s), listed in Appendix IV of the Catalog. 2) If site work is already underway or scheduled to begin, EPA will publish a notice in the local newspaper to formally notify other interested parties that they may contact the first group that sent the LOI to form a coalition or they may submit a separate LOI. 3) Competing groups are encouraged to consolidate and submit a single application. 4) Prior to submitting their application to EPA (or concurrently), the applicant must submit the application package for their State's intergovernmental review process. This intergovernmental review may take up to 60 days. This program is excluded from coverage under OMB Circular No. A-102. This program is subject to the provisions of OMB Circular No. A-110. For more information regarding the procedures for submitting a LOI or for application assistance, contact the TAG coordinator(s) for your region (in Appendix IV of the Catalog) or the individuals listed under "Information Contacts.". Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An applicant for a TAG must submit the following materials in accordance with 40 CFR 35.4125 of the Final Rule: 1) An original EPA Form 424, Application for Federal Assistance. The application must have the original signature of the project manager. 2) A budget showing the proposed

expenditure of funds, how the funds and other resources, including the required 20 percent match, will be used to complete the project, and how the budget figures were derived. 3) A scope of work which states how the group will organize, use procured personnel, and share and disseminate information to the larger affected community. In addition, the scope of work must explain project milestones and how the group's board of directors, technical advisors(s) and "project manager" will interact with each other. 4) Assurances, certifications and other preaward paperwork as 40 CFR part 30 requires. Currently, opportunities under this CFDA are not available and electronic submissions are not accepted through www.grants.gov.

Award Procedure:

1) A TAG review team in the EPA Regional Office to which the application is submitted reviews the application and sends a letter containing written comments telling the applicant what, if any, changes need to be made to the application to make it complete. 2) An applicant group has 90 days from the date of the EPA letter to make the changes to their application and resubmit it to EPA. If the changes are not submitted within the 90-day period, the application will be returned unprocessed. 3) EPA determines the applicant's eligibility under 40 CFR 35.4020 and considers whether and how successfully the group meets three equally weighted criteria in 40 CFR 35.4155 (see 180, "criteria for selecting proposals," below). When multiple groups apply, EPA will rank each applicant relative to other applicants using the three equally weighted criteria. 4) If an applicant is not going to be awarded a TAG, the EPA will send a letter of rejection to the applicant. 5) If an application is approved for an award, a grant agreement document (EPA Form 5700-20A) is signed by the EPA award official. This document becomes an offer from EPA to the applicant. Award offers are mailed to the recipient five working days after the award official signs the agreement. 6) The applicant must sign and return the agreement to EPA within three weeks of the date the agreement is postmarked or request an extension. EPA will review applications in accordance to terms, conditions and criteria in the TAG regulations and EPA's policy for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 9 weeks.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. Before a group obtains judicial review of the dispute, they must have requested the Regional Administrator to review the dispute decision official's determination under 40 CFR 31.70(c), and if the group still has a dispute, they must request the Assistant Administrator for the Office of Solid Waste and Emergency Response to review the Regional Administrator's decision under 40 CFR 31.70(h).

Renewals:

Grant waiver requests are made at the end of the budget period and are based on the requirements of 40 CFR 35.4065, which lists criteria which must be met.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. As directed by statute (Section 117(e) of CERCLA, as amended by 42 USC 9617(e)) there may be only one grant per site, there is a \$50,000 award limit unless waived, and recipient must contribute a 20 percent match (including in-kind contributions).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

A grant may be available from the time a site is proposed for listing under the NCP (with a response action underway) until the site is delisted from the NPL - this time span varies based on the complexity of the site cleanup; a group may submit an LOI to apply for a TAG at any eligible site at any point in the site cleanup. For each award, the grant period is determined by the time needed to complete the scope of work stated in the grant application and through negotiations with EPA (this is generally a period of three years or greater). Grants under this CFDA are reimbursement-based; a grantee may draw down funds to cover grant-related expenses they have incurred (such as a technical

advisor's invoice for services/expenses). Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Federal Cash Transaction Reports (OMB SF272) are required of TAG grant recipients. 1) Progress reports. Each recipient shall submit quarterly progress reports to EPA for the technical assistance grant project 45 days after the end of each calendar quarter. Progress reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and the technical assistance grant project milestones. Special problems encountered must be explained. 2) Financial status report. Each recipient shall submit to EPA a financial status report annually, within 90 days of the anniversary date of the start of the TAG project. These reports shall include the status of project's funds through identification of project transactions and within 90 days after the end of the TAG funding period. 3) Final report. Each recipient shall submit to EPA the final report within 90 days after the end of the project. The report shall describe project goals and objectives, activities undertaken to achieve goals and objectives, difficulties encountered, technical advisors' work products and funds spent. 4) Requests for Reimbursement or Federal Cash Transactions Reports. Depending on the method of payment, each recipient shall submit periodic requests for reimbursement as funds are needed, or shall submit to EPA a federal cash transaction report semiannually, within 15 working days following the end of the semiannual period which ends June 30 and December 31 of each year. These reports shall include the amount of funds advanced to the TAG recipient or electronically transferred to the TAG recipient's bank account, and a description of how the funds were spent. 5) Minority-Owned Business Enterprise/Women-Owned Enterprise (MBE/WBE) Utilization. Each recipient shall submit to EPA a MBE/WBE Utilization report annually, even if no contracts have been signed. Each recipient is required to disclose whether they have contracted with a MBE/WBE in the past Federal fiscal year, the value of the contract, if any, and the percentage of the total project dollars on MBE/WBEs. 6) Each recipient shall comply with any reporting requirements in the terms and conditions of the "grant agreement". 7) Each recipient shall comply with any reporting and record keeping requirements in OMB Circular A-122 and 40 CFR part 30. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

(1) Each recipient shall keep complete financial records accurately showing how the Federal funds were used and the match, whether it is in the form of cash or in-kind assistance. (2) Each recipient shall comply with any reporting and record keeping requirements of OMB Circular A-122 and 40 CFR Part 30. (3) Each recipient must keep TAG financial records for ten years from the date of the final Financial Status Report, or until any audit, litigation, cost recovery, and/or disputes initiated before the end of the ten-year retention period are settled, whichever is longer. At the ten-year mark, the TAG financial records may be disposed of after first getting written approval to do so from the EPA. Alternately, a recipient may choose to submit financial records to the EPA for safekeeping when the final Financial Status Report is submitted. (4) Each recipient must send to EPA a copy of each final written product prepared by their technical advisor as part of the TAG. EPA will send the final written products to the local Superfund site information repository(ies) where all site-related documents are available to the public.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$912,476; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

Initial awards for assistance agreements awarded under this CFDA number will not exceed \$50,000. After the initial award, additional funding may be awarded based on the criteria detailed under 40 CFR 35.4065, and subject to the availability of funds. The average additional award is \$40,000 (per agreement). Since 1988, 313 TAGs have been awarded. Of these, 194 grantees were awarded \$50,000 or less; 65 grantees have received awards with a cumulative value between \$51,000 and \$150,000; 40 grantees have received awards with a cumulative value ranging between \$151,000 and \$250,000; and 14 grantees have received awards whose cumulative value totals of more than \$250,000. The cumulative award value includes the initial award and any supplemental funding awards over the life of the agreement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Since the program began on March 24, 1988, 313 awards have been issued by EPA, totaling more than \$27,600,000 in TAG awards (including new awards, additional funds, waivers and deviations). In most cases, EPA receives only one application for a site and in most cases an award is made. In FY 2009 four new grants were awarded (\$175,000 total) and 11 established grantees were awarded additional funds (\$737,476 total). The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan). Fiscal Year 2010: Since the program began on March 24, 1988, 313 awards have been issued by EPA, totaling more than \$27,600,000 in TAG awards (including new awards, additional funds, waivers and deviations). In most cases, EPA receives only one application for a site and in most cases an award is made. In FY 2010, as of March 08, 2010, no new grants have been awarded and one established grantees was awarded additional funds (\$225,000 total); EPA anticipates two new awards (\$100,000 total) and five additional existing grantees will be awarded supplemental funding (est. total \$225,000 total) by the end of the fiscal year. The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 31; 40 CFR Part 30; 40 CFR Part 35,(Subpart M); and TAG Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. Freya Margand; Community Involvement and Outreach Branch, Office of Superfund Remediation & Technology Innovation, Mail code: 5204P, U.S. Environmental Protection Agency; Ariel Rios Bldg., 1200 Pennsylvania Ave.; NW, Washington, DC 20460. Telephone: (703) 603-8889. Fax: (703) 603-9102. E-mail address: margand.freya@epa.gov Questions may also be directed to the EPA Regional Office serving a particular state. All TAG paperwork should be submitted directly to the EPA Regional Office. A list of the Regions and their States, plus the name(s) and telephone number(s) for the Regional TAG contact can be found in Appendix IV of the CFDA. A list of EPA Regional TAG contacts can also be found at the web site address: <http://www.epa.gov/superfund/community/tag/contacts.htm>.

Headquarters Office:

Freya Margand, Community Involvement and Outreach Branch, Office of Superfund Remediation & Technology Innovation, Mail code: 5204P, Environmental Protection Agency, Ariel Rios Bldg., 1200 Pennsylvania Ave.; NW, Washington, District of Columbia 20460 Email: margand.freya@epa.gov Phone: (703) 603-8889.

Website Address:

<http://www.epa.gov/superfund>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A TAG allows a group to procure independent technical advisors to help in interpreting and commenting on site-related information and decisions. Examples of how a technical advisor can help a group include, but are not limited to: (a) Reviewing preliminary site assessment/site investigation data; (b) Participating in public meetings to help interpret information about site conditions, proposed remedies, and the implementation of a remedy; (c) Visiting the site vicinity periodically during cleanup, if possible, to observe progress and provide technical updates to the group; (d) Interpreting information related to redevelopment; and (e) Evaluating future land use options based on land use assumptions found in the remedial investigation/feasibility study. Fiscal Year 2010: TAG allows a group to procure independent technical advisors to help in interpreting and commenting on site-related information and decisions. Examples of how a technical advisor can help a group include, but are not limited to: (a) Reviewing preliminary site assessment/site investigation data; (b) Participating in public meetings to help interpret information about site conditions, proposed remedies, and the implementation of a remedy; (c) Visiting the site vicinity periodically during cleanup, if possible, to observe progress and provide technical updates to the group; (d) Interpreting information related to redevelopment; and (e) Evaluating future land use options based on land use assumptions found in the remedial investigation/feasibility study. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description are described in the programmatic regulations (40 CFR Part 35) as follow. In selecting a proposal: 1) EPA will determine if a group meets the following eligibility criteria: (a) The community group is a group of people who may be "affected" by a release or a threatened release at any facility listed on the NPL or proposed for listing under the NCP where a response action is underway; and (b) The group meets the minimum administrative and management capability requirements found in 40 CFR 30.21 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to managing the TAG. 2) EPA will also determine if there are factors which make the group ineligible. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represent a PRP; (b) The group is "affiliated" with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045. 3) Once EPA determines if eligibility requirements have been met, the Agency considers whether and how successfully a group meets the following, equally weighted, criteria: (a) Representation of groups and individuals affected by the site; (b) The group's plans to use the services of a technical advisor throughout the Superfund response action; and (c) The group's ability and plan to inform others in the community of the information provided by the technical advisor. 4) Additionally, a group must incorporate as a nonprofit for the purpose of participating in decision making at the Superfund site for which the TAG was granted (for exception see 40 CFR 35.4045). Applicant groups should consult the Superfund TAG Handbooks, "Applying for a Grant" and "The Application Forms with Instructions," for detailed instructions on applying for a TAG.

**66.808 SOLID WASTE MANAGEMENT ASSISTANCE GRANTS
FEDERAL AGENCY:**

Office of Solid Waste and Emergency Response, Environmental Protection

Agency

AUTHORIZATION:

Solid Waste Disposal Act, Section 8001; Resource Conservation and Recovery Act of 1976, Public Law 94-580, 42 U.S.C 6901.

OBJECTIVES:

To promote use of integrated solid waste management systems to solve solid waste generation and management problems at the local, regional and national levels. Funding Priority - Fiscal Year 2010: Partnerships - Establishing and developing partnerships with states, local governments and other non profit organizations to assist them in advancing their waste management programs, including recycling and reduction of wastes, appropriate management of wastes that are generated, and developing integrated waste management plans. Education and Outreach - Developing and providing education, training, and outreach materials to educate and inform Americans about waste's impact on resource and energy use and pollution. Fostering a better understanding of the environmental ramifications of collective actions including how individual purchasing and manufacturing decisions are connected to the environment and to enable Americans to make better choices resulting in increased recycling, less waste and greater energy savings.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies. Projects can include the following types of activities: training, surveys, education materials and programs, studies, and demonstrations; assistance to such projects shall not include any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

State (including the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, and Northern Mariana Islands), local, Tribal, interstate, and intrastate government agencies and instrumentalities; and non-profit organizations that are not 501(c)(4) organizations that lobby, including non-profit educational institutions and non-profit hospitals. Individuals and for-profit organizations are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Additionally, EPA may limit competition under this CFDA to Tribes and Inter-Tribal Consortia.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, the public, and interstate agencies.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No. A-87. Nonprofits need to provide proof of their status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discussion with EPA Headquarters or the appropriate EPA Regional Office is advisable. (See Appendix IV of the Catalog for list of Regional Contacts). An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program

for review. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application form as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Requests for application forms and submission of completed applications should be directed to EPA Grants and Interagency Agreements Management Division(3903R), Washington, DC 20460, or the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This program is subject to the provisions of OMB Circulars No. A 110 and No. A102. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA reviews each application. They shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and program evaluation criteria to determine the merit and relevance of the project. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted, which will be reviewed in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12 month basis (yearly). The total approved project period is generally no longer than 3 years. See the following for information on how assistance is awarded/released: Assistance under this program can be awarded in various ways, but will usually be awarded in lump sum or annually.

Reports:

No program reports are required. No cash reports are required. Progress Reports are required under this program. Generally they are quarterly or monthly. Expenditure Reports are required and usually accompany the progress reports. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised during the three year project period as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0107-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$1,840,000; FY 10 est \$1,800,000; FY 11 est \$1,800,000

Range and Average of Financial Assistance:

(Fiscal year 2010) \$5,000 to \$1,000,000; \$470,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 14 projects were awarded. The estimate of projects for fiscal year 2010 is about the same. Quantifiable data on the number and ratio of past applications not available. Fiscal Year 2010: In fiscal year 2010, 13 projects were awarded. The estimate of projects for fiscal year 2011 is about the same. Quantifiable data on the number and ratio of past applications not available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures, Environmental Protection Agency (40 CFR Parts 30, 31 and 33); "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161, on a subscription basis.

Regional or Local Office:

See Regional Agency Offices. Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Nick Vizzone, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W. Mail Code: 5305P, Washington, District of Columbia 20460
Email: vizzone.nick@epa.gov Phone: (703) 308-8460.

Website Address:

<http://www.epa.gov/epawaste/index.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Conference to provide a national forum for tribal governments to disseminate environmental program training and to interact with federal partners; (2) Conduct outreach to state purchasers, educate them about the guidelines established in January 2002, and encourage them to formally adopt product stewardship purchasing criteria into their state purchasing contracts for carpet; (3) Challenge the electronics industry to design products that meet new, peer reviewed design principles and recycling strategies; (4) Develop and implement a mercury containing lamp recycling outreach program; (5) Increase public awareness of mercury containing lamp recycling and access to resource information; (6) Foster information sharing among State regulatory programs with varying regulatory approaches and experiences and in differing stages of maturity. Fiscal Year 2010: (1) Conduct outreach to state purchasers, educate them about the guidelines established in January 2002, and encourage them to formally adopt product stewardship

purchasing criteria into their state purchasing contracts for carpet; (3) Challenge the electronics industry to design products that meet new, peer reviewed design principles and recycling strategies; (4) Develop and implement a mercury containing lamp recycling outreach program; (5) Increase public awareness of mercury containing lamp recycling and access to resource information; (6) Foster information sharing among State regulatory programs with varying regulatory approaches and experiences and in differing stages of maturity. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.809 SUPERFUND STATE AND INDIAN TRIBE CORE PROGRAM COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 104(d), 42 U.S.C 9604(d).

OBJECTIVES:

To effectively implement the statutory requirements of CERCLA Section 121(f) for State involvement. To provide funds to conduct CERCLA activities which are not assignable to specific sites, but support a recipient's site-specific response program. Funding Priority - Fiscal Year 2010: Funding may be used for a variety of activities that include: (1) procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and, (5) activities that support EPA/recipient interaction. Funding Priority - Fiscal Year 2011: Funding may be used for a variety of activities that include: (1) procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and, (5) activities that support EPA/recipient interaction.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Core Program Cooperative Agreements are offered to ensure that each State, or Federally Recognized Indian Tribe or intertribal consortia can substantially participate in CERCLA response actions. Recipients negotiate with EPA Regions to receive funding for a variety of activities that include: (1) Procedures for emergency response actions and remediation of environmental and health risks; (2) provisions for satisfying all requirements and assurances; (3) development of legal authorities and enforcement support; (4) hiring and training staff; and (5) activities that support EPA/Recipient interaction. Recipients with Regional approval may receive awards for multi year budget and project periods at the Region's discretion. Funding for the entire project may be made as a lump sum or incrementally over time. Recipients must demonstrate progress towards the goals stated in the Core Program Cooperative Agreement in order to be eligible for continued funding. Only one Core Program Cooperative Agreement will be awarded to each eligible State or Tribe or intertribal consortia through the Single Point of Contact. Funds must be used for non-site-specific activities as described above.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories

and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Beneficiary Eligibility:

States (and political subdivisions thereof), Commonwealths, U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments, including intertribal consortia.

Credentials/Documentation:

.Costs will be determined in accordance with 40 CFR Part 35 Subpart O and OMB Circular No. A 87, "Cost Principles for State, Local, and Indian Tribal Governments," and other supporting documentation required by the Agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular No. A 102 must be used for this program. Consultation and pre-application conference are recommended. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA regional Superfund program offices review the applications for cooperative agreements. Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless re-delegated to the Regional Division Director.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately ninety (90)days.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Applications for additional funding need to include only the revised pages from EPA Form 424.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10.%. As required by 40 CFR Part 35 Subpart O, State recipients of Core Program Cooperative Agreements must provide a 10 percent cost share of the total project cost on all awards. This match may be made from either direct, non-federal funds, non reimbursed fund, or with in kind contributions. CERCLA credits earned at other Superfund sites cannot be used to meet the 10 percent match. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is awarded as a lump sum, or may be incrementally funded. Recipients with Regional approval may receive cooperative agreement awards for multi year budget and project periods at the Region's discretion. Continued funding of tasks in subsequent years is based on an evaluation of demonstrated progress towards the goals in the Core Program Cooperative Agreement Statement of Work. See the following for information on how assistance is awarded/released: In order to receive payment by the letter of credit method, the recipient must comply with the requirements regarding letter of credit described in 40 CFR 31.20 (b)(7) and 31.21(b). The recipient must identify and charge costs to specific sites, activities, and operable units, as applicable, for drawdown purposes as specified in the Cooperative Agreement. If the recipient

is unable to meet letter of credit requirements, EPA will pay the recipient by reimbursement. The recipient must comply with the requirements regarding reimbursement described in 40 CFR 31.21(d).

Reports:

No program reports are required. No cash reports are required. The recipients must submit progress reports no more frequently than quarterly, but at a minimum annually. The recipients must submit financial status reports annually, and a final report. Financial status reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 and Part 35, Subpart O are applicable. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. The recipient must maintain all records for 10 years following submission of the final Financial Status Report unless otherwise directed by the EPA award official, and must obtain written approval from the EPA award official before destroying any records. If any litigation, claim, negotiation, audit, cost recovery, or other action involving the records has been started before the expiration of the ten year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular ten-year period, whichever is later.

Account Identification:

68-8145-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$8,000,000; FY 10 est \$8,000,000; FY 11 est \$8,000,000

Range and Average of Financial Assistance:

\$14,000 to \$450,000; average award amount: \$172,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, the types of activities that are permitted to be funded include: hiring staff, administrative salaries, clerical help, financial accounting, data management, program management, medical monitoring, health and safety training for field employees, computer systems purchases, training, legal assistance and legislative development. Outputs include reports, accounting and tracking systems, hired and trained staff, cost recovery procedures and techniques, laws and regulations for hazardous waste control.

Fiscal Year 2010: In Fiscal Year 2010, the types of activities that are permitted to be funded include: hiring staff, administrative salaries, clerical help, financial accounting, data management, program management, medical monitoring, health and safety training for field employees, computer systems purchases, training, legal assistance and legislative development. Outputs include reports, accounting and tracking systems, hired and trained staff, cost recovery procedures and techniques, laws and regulations for hazardous waste control.

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 31, 40 CFR Part 35, Subpart O, Program Guidance Documents.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Angelo Carasea, Site Assessment and Remedy Decisions Branch, Assessment and Remediation Division, Office of Superfund Remediation and Technology

Innovation, Mail Code 5204P, EPA, Washington, District of Columbia 20460
Email: carasea.angelo@epa.gov Phone: (703) 603-8828.

Website Address:

http://www.epa.gov/superfund

RELATED PROGRAMS:

66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Administrative and program development, accounting systems development, legal authorities and enforcement support, hiring and training of State response staff, procedures for emergency response actions.
Fiscal Year 2010: Administrative and program development, accounting systems development, legal authorities and enforcement support, hiring and training of State response staff, procedures for emergency response actions.
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Core Program Cooperative Agreements, recipients must demonstrate a need for non-site specific funds to support activities not covered by other site-specific awards. Each project is examined and selected on a case-by-case basis based upon availability of funds, receipt of application, and other criteria as determined by EPA.

66.810 CHEMICAL EMERGENCY PREPAREDNESS AND PREVENTION (CEPP) TECHNICAL ASSISTANCE GRANTS PROGRAM

(CAA 112 and SARA Title III State Grants Program)

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Toxic Substances Control Act, Section 10(a)&28(a), Public Law 94-469, 15 U.S.C 2601; Clean Air Act, Section 103(b)(3).

OBJECTIVES:

To provide financial assistance to States, Local agencies, and Indian Tribes for chemical accident prevention activities that relate to the Risk Management Program under the Clean Air Act Section 112(r). To provide financial assistance to Tribes for chemical emergency planning, and community right-to-know programs which are established to prevent or eliminate unreasonable risk to the health and environment of communities within the State. Anticipated Funding Priority - Fiscal Year 2010: (1)Capacity Building: Increase capacity at the State, Tribe, or local level to implement and enforce the Chemical Accident Prevention provisions of the Clean Air Act Section 112(r) and to integrate chemical accident prevention activities; chemical emergency planning efforts, and community right-to-know programs. (2) Community Issues: Development of model technical assistance materials for use by States/Tribes or Local Emergency Planning Committees (LEPCs) to evaluate potential chemical risks to their communities and to take appropriate prevention and preparedness steps to protect the community. (3) Partnerships: Strengthening partnerships among States/Tribes and Local Emergency Planning Committees (LEPCs), industry, emergency responders, and the general public to foster collaboration and build credibility for chemical prevention and preparedness activities. Anticipated Funding Priority - Fiscal Year 2011 to be similar to previous years, with focus on Capacity Building at the State, Tribe, or local level to implement and enforce the Chemical Accident Prevention provisions of the Clean Air Act Section 112(r)and to address community issues such as development of model technical assistance materials for use by States/Tribes or Local Emergency Planning Committees (LEPCs) to evaluate potential chemical risks to their communities. Additionally, funding will focus on strengthening partnerships among States/Tribes and Local Emergency Planning Committees (LEPCs), industry, emergency responders, and the general public to foster collaboration and build credibility for chemical prevention and preparedness activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Innovative Technical Assistance Grants are offered to improve the ability of States, Tribes and local communities to protect public health and safety and involve the development of technical assistance or similar materials that could be used directly or adapted by other States/Tribes or Local Emergency Planning Committees (LEPCs). Projects which address implementing the Risk Management Program under the Clean Air Act Section 112(r) are especially of interest. The grant money may not supplant State or Tribal funds already being spent. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States, Local agencies and Federally Recognized Indian Tribes located within EPA Regions 1 and 7. This includes for Region 1 the States of Maine, New Hampshire, Vermont, Massachusetts, Connecticut, and Rhode Island, and for Region 7 the States of Nebraska, Kansas, Iowa, and Missouri. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States, Tribes, Local Emergency Planning Committees, and local emergency responders.

Credentials/Documentation:

OMB Circular No. A-87 is required for this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Potential applicants should contact the CEPP Regional contact listed below to determine if grants are available in a specific Region. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States, Local agencies, and Indian Tribes must submit the original completed application package and one copy to the appropriate EPA Regional Office. The Regional office will review the application package to ensure completeness. States must comply with all applicable requirements. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Grant applications will undergo the following review process: EPA Regional Office staff will evaluate each application and, based on the criteria established will select proposal(s) for

funding. EPA Regional offices will be awarding the grants. The review process will concentrate on choosing the best project concepts. Once the grant is awarded to the State Emergency Response Commission (SERC), Tribal Emergency Response Commission (TERC), directly to the local agencies such as the Local Emergency Planning Committee (LEPC), or other State agency with SERC coordination as appropriate, the recipient will be notified by phone or email. EPA will monitor the work in progress and provide project guidance and oversight to the State, Local agencies, and Tribes.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. This program has no statutory formula. There is a 25 percent match requirement for assistance agreements awarded to States under the Toxic Substances Control Act, Section 28. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will normally be funded on a 12 to 24 month basis. Total approved project period should not exceed 2 years for a particular project. A call for letters of intent and applications will be advertised annually via the Regions notifying States, Local agencies, and Tribes. Recipients will be notified by phone call or email that their proposal will be funded. The grants may be awarded in a lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program reports are conducted. Final financial status report required. Quarterly and final reports are required. Expenditure reports are part of the annual financial status report. Performance monitoring is accomplished through the quarterly progress reports, ongoing EPA involvement with recipient, and on-site reviews as needed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial reports, including all documents to support entries on the accounting records and to substantiate charges to each grant or cooperative agreement must be kept available to personnel authorized to examine EPA grant recipient accounts. All records must be maintained for 3 years from the date of the submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$75,000; FY 10 est \$160,000; FY 11 est \$160,000

Range and Average of Financial Assistance:

For Region 1 the amount is \$60,000 with the range from \$20,000 - \$60,000. Region 7 grant projects range from \$30,000 to \$90,000

Average financial assistance is \$37,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For FY 2009, we provided 4 awards and received 6 applications. Fiscal Year 2010: The estimate for FY 2010 is also up to 4 awards with 4-6 applications. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Government (40 CFR Part 31).

Regional or Local Office:

See Regional Agency Offices. Tina Wilkins, Lead EPS, R7/AWMD/IO, 901 North 5th St., Kansas City, Kansas, 66101 Telephone: (913) 551-7964 Fax: (913)551-7065 email: wilkins.tina@epa.gov.

Headquarters Office:

Sherry Fielding, OSWER/OEM/POCD (5104A), EPA HQ, Ariel Rios Building, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: fielding.sherry@epa.gov Phone: (202) 564-6174.

Website Address:

<http://www.epa.gov/emergencies>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Conduct desk audits of non-agriculture-related RMP-regulated facilities in a effort to determine need for additional assistance. Conduct on-site audits based on the desk audits. Provide regional training workshops specific to local industry. Review Local Emergency Planning Committee Operation Plans for inclusion of applicable RMP information Address chemical accident prevention and emergency response issues and increase awareness and training efforts for safe handling of anhydrous ammonia by both facilities and users. Conduct on-site audits at anhydrous ammonia retail facilities, review risk management plans to ensure they are up-to-date, distribute checklists to facilities for anhydrous ammonia users, make recommendations to facilities for improvement and compliance, and perform follow-up assessments to determine improvements and compliance. Fiscal Year 2010: Information Not Yet Available . Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.812 HAZARDOUS WASTE MANAGEMENT GRANT PROGRAM FOR TRIBES

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriation Act, Public Law 105-276.

OBJECTIVES:

The purpose of the Hazardous Waste Management Grant Program for Tribes is to provide financial assistance to Tribal governments and Tribal Consortia for the development and implementation of hazardous waste programs; for building capacity to improve and maintain regulatory compliance; and for developing solutions to address hazardous waste impacting Tribal lands. Funding Priority - Fiscal Year 2010: Develop and implement hazardous waste management programs that improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. Build capacity to improve and maintain regulatory compliance and develop solutions to address hazardous waste mismanagement impacting Tribal lands. Development and institutionalization of hazardous

waste program capacity for Tribal governments and the communities they serve.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

This grant program will support projects designed to develop and implement hazardous waste management programs. These programs must improve the applicant's ability to properly identify, manage, or dispose of hazardous waste. All hazardous waste management activities that address the Resource Conservation and Recovery Act (RCRA) Subtitle C "cradle to grave" approach are eligible. Each of the following hazardous waste management program activities are considered central to a "cradle to grave" program: Hazardous Waste Identification; Hazardous Waste Generator Siting, Monitoring, & Compliance (Large Quantity, Small Quantity, and Conditionally Exempt Small Quantity Generators); Hazardous Waste Minimization, Recycling, Used Oil, and Universal Wastes; Hazardous Waste Transportation; Treatment, Storage, and Disposal Facility Siting, Permitting, Monitoring, Corrective Action, & Enforcement; Land Disposal Restrictions; Combustion. Assistance will be provided under this program only for activities which EPA determines are appropriate to carry out the purposes of RCRA. Assistance under this program does not include any element of municipal solid waste management, chemical emergency planning and preparedness or superfund program cleanup.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) an Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Potential applicants should consult the designated Regional Tribal hazardous waste program coordinator. It is strongly suggested that potential applicants consult with the designated Regional point of contact. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The annual competitive announcement outlines specific procedures for submitting proposals. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

In order to receive renewals, significant progress on previously awarded grant must be demonstrated.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The terms of the grant shall be determined at the time of the grant award.

Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Typically expenditure reports are not required. However, grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Records and accounts must be maintained to reflect the operations of the

project. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$304,000; FY 10 est \$319,000; FY 11 est \$300,000

Range and Average of Financial Assistance:

Range = \$18,000 to \$100,000; Average = \$56,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the Office of Resource Conservation and Recovery (ORCR) - formerly OSW - distributed funds to Tribes and Inter-Tribal Consortia in support of activities that facilitate the development of comprehensive management programs to properly identify, manage, or dispose of hazardous waste; build capacity to improve and maintain regulatory compliance; and identify and/or implement solutions that will address hazardous waste mismanagement. In FY 2009, ORCR received 11 proposals. To assist Tribes through the FY 2009 Hazardous Waste Management Grant Program for Tribes, \$304,000 in STAG funding was distributed to Regions 6, 8, 9, and 10. A total of 6 awards were made through these Regions. In FY 2010, it is anticipated that 4 new awards will be made through the Hazardous Waste Management Grant Program for Tribes. Fiscal Year 2010: Information Not Yet Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Part 30), "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA. Contact designated Regional program coordinator for other documents, including the "Hazardous Waste Management Grant Program for Tribes: Guidance for Applicants."

Regional or Local Office:

See Regional Agency Offices. Designated Regional Tribal Hazardous Waste Management Program Contacts: Region, Address, Name, E-mail Address, Phone, Fax. Region I, U.S. EPA, 1 Congress Street, Boston, MA 02114-2023, Juiyu Hsieh, Hsieh.Juiyu@epa.gov, (617) 918-1302,(617) 918-1646. Region II, U.S. EPA, 290 Broadway, 22nd Floor, New York, NY 10007-1886, Lorraine Graves, Graves.Lorraine@epa.gov,(212) 637-4099,(212) 637-4437. Region IV, U.S. EPA, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, Davy Simonson, Simonson.Davy@epa.gov,(404) 562-8457, (404) 562-8439. Region V, U.S. EPA, 77 W. Jackson Blvd, Chicago, IL 60604, Margaret Millard, Millard.Margaret@epa.gov, (312) 353-1440,(312) 353-6519. Region VI, U.S. EPA, 1445 Ross Avenue, Dallas, TX 75202-2733, Nick Stone (Technical), Stone.Nick@epa.gov, ChesTeena Hullum (Grants), Hullum.ChesTeena@epa.gov, (214) 665-7226, (214) 665-7216, (214) 665-7263,(214) 665-6762. Region VII, U.S. EPA, 901 N. 5th Street, Kansas City, KS 66101, Gayle Hubert, Hubert.Gayle@epa.gov, (913) 551-7439,(913) 551-9669. Region VIII, U.S. EPA, 1595 Wynkoop Street, Mail Code: 8P-HW, Denver, CO 80202-1129, Susanna Trujillo, Trujillo.Susanna@epa.gov, (303) 312-7008, (303) 312-6341. Region IX, U.S. EPA, 75 Hawthorne Street, Mail Code: WST-7, San Francisco, CA 94105, Heather White, White.Heather@epa.gov, (415) 972-3384,(415) 947-3530. Region X, U.S. EPA, 1200 6th Avenue, Seattle, WA 98101, Maria Tartaglia, Tartaglia.Maria@epa.gov, (206) 553-1128, (206) 553-8509.

Headquarters Office:

Denise Roy, Office of Resource Conservation and Recovery, Environmental Protection Agency, 1200 Pennsylvania Ave., NW., (5303P), Washington, District of Columbia 20460 Email: Roy.Denise@epa.gov Phone: (703) 308 8458.

Website Address:

<http://www.epa.gov/tribalmw/>

RELATED PROGRAMS:

66.473 Direct Implementation Tribal Cooperative Agreements; 66.605 Performance Partnership Grants; 66.701 Toxic Substances Compliance Monitoring Cooperative Agreements; 66.708 Pollution Prevention Grants Program; 66.709 Multi-Media Capacity Building Grants for States and Tribes; 66.801 Hazardous Waste Management State Program Support; 66.808 Solid Waste Management Assistance Grants; 66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program; 66.926 Indian Environmental General Assistance Program (GAP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following activities are suggestive and do not limit the range of activities considered eligible under this grant program. These activities are eligible when they are performed in support of developing and implementing a tribal program to manage hazardous waste: Develop and implement codes, regulations, and ordinances, policies, and/or guidance to regulate hazardous waste management activities and facilities, such as generators, transporters, and treatment, storage and disposal facilities. Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection schemes. Develop legal and administrative infrastructure adequate to implement and maintain regulatory and/or non-regulatory hazardous waste management programs. Includes support for Tribal Emergency Response Committees (TERC). Identify and assess hazardous waste generation and ongoing management of concern to the community, including: inventory active and inactive dump/disposal sites and assess contamination potential; characterize hazardous waste stream, including illegal dump-sites; and identify sensitive sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc. Develop and implement tribal integrated hazardous waste management plans (including, but not limited to, source reduction/recycling, household hazardous waste collection programs, pesticide container collection,). Implement used oil collection/re-use and other programs to reduce the mismanagement of hazardous waste in the community. Implement household hazardous waste collection events or programs that provide sustainable or long-term solutions for disposal of household hazardous waste for the community. Develop outreach/education documents and other resource materials to plan and conduct hazardous waste management seminars for tribal leaders, community members, business and civic organizations, etc. Establish qualifications for environmental management personnel relative to the tribes needs and plan for program implementation. Establish a communications plan for hazardous waste management program activities. Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (Tribal, Federal, State, local) cooperative efforts. Fiscal Year 2010: Information Not Yet Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.813 ALTERNATIVE OR INNOVATIVE TREATMENT TECHNOLOGY RESEARCH, DEMONSTRATION, TRAINING, AND HAZARDOUS SUBSTANCE RESEARCH GRANTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311(b)&(c), Public Law 95-510, 42 U.S.C 9660.

OBJECTIVES:

To support grants and cooperative agreements for (1) a program of research, evaluation, testing, development, and demonstration of alternative or innovative treatment technologies which may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment; (2) a technology transfer program including the development, collection, evaluation, coordination, and dissemination of information relating to the utilization of alternative or innovative treatment technologies for response actions; (3) a program of training and evaluation of training needs in the procedures for the handling and removal of hazardous substances for employees

who handle hazardous substances and training in the management of facilities at which hazardous substances are located and in the evaluation of the hazards to human health presented by such facilities for State and local health and environmental agency personnel, and (4) a program of research with respect to the detection, assessment, and evaluation of the effects on and risks to human health of hazardous substances and detection of hazardous substances in the environment. Funding Priority - Fiscal Year 2010: 1)To support the Removal Action Focus Group with research on resources, capabilities and successes of State removal and environmental emergency response programs to participate in Superfund large-scale emergencies. 2)To produce information that States will use to improve and enhance the practices relative to the assessment, remediation, and long-term stewardship activities conducted at Superfund sites. 3)To support work on national policy issues related to long-term state stewardship with a focus on the facilitation of renewable energy development at sites with residual contamination. Renewable energy forums, a compendium of best practices, and other related work have been proposed. Funding Priority - Fiscal Year 2011: 1)To support the Removal Action Focus Group with research on resources, capabilities and successes of State removal and environmental emergency response programs to participate in Superfund large-scale emergencies. 2)To produce information that States will use to improve and enhance the practices relative to the assessment, remediation, and long-term stewardship activities conducted at Superfund sites. 3)To support work on national policy issues related to long-term state stewardship with a focus on the facilitation of renewable energy development at sites with residual contamination. Renewable energy forums, a compendium of best practices, and other related work have been proposed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Types of discrete projects may include: land use controls research; health effects in construction workers; studies of above ground storage tank inspection practices; remediation technologies and strategies; and support for State forums to share information on research on hazardous substances waste remediation. Funding awarded for research under CERCLA 311(c) does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. In some instances, EPA will consider applications from profit makers, proposing projects with significant technical merit and relevance to EPA's Office of Solid Waste and Emergency Response. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, U.S. territories and possessions, Indian Tribes, universities and colleges, hospitals, laboratories, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants considering submitting proposals are advised to discuss proposed projects with or submit pre-applications to staff in the appropriate EPA technical program offices. When making competitive awards, EPA will specify the nature of the pre-application assistance, if any, that will be available to applicants in the Requests for Initial Proposals or Requests for Applications. For information contacts, see Appendix IV of the Catalog. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

For non-competitive awards: Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. For competitive awards: Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: EPA's Appropriation Act requires that applicants submitting unsolicited research grant and cooperative agreement proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA 311(b)(3) requires that EPA, "to the maximum extent possible", enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies EPA may waive the 311(b)(3) cost sharing requirement in appropriate cases. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements in a lump sum on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Incremental funding may be available. EPA generally limits project periods to 5 years. The Office of Congressional Liaison is notified of the award. They in turn notify the appropriate House and Senate members that the assistance agreement has been awarded. This notification period lasts 5. After the 5 Day Congressional notification period, the original award document will be sent to the recipient. A copy of the award document will be sent to the Project Officer and the Las Vegas Financial Management Center or the Regions Financial Management Office. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees are required to submit program reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. No cash reports are required. Grantees are required to submit progress reports in accordance with Agency policy and the Monitoring and Reporting Program Performance requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to submit financial reports in accordance with Agency policy and the Financial Reporting and Financial Management Systems requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102. Grantees are required to perform performance monitoring in accordance with Agency policy and requirements stated in Federal Grant Regulations 40 CFR Part 31 and OMB Circular No.A-102.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (nonprofits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. EPA may require that for profit recipients follow 40 CFR Part 30. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter

is completely resolved.

Account Identification:

20-8145-0-7-304.

Obligations:

(Project Grants) FY 09 \$500,000; FY 10 est \$1,040,000; FY 11 est \$1,040,000

Range and Average of Financial Assistance:

For each fiscal year it is \$20,000 - \$500,000, Average \$320,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Technical conferences and training events for non federal personnel on innovative and alternative treatment technologies; to conduct research with respect to the detection, assessment, and evaluation of the effects on, and risks to, human health from hazardous substances and detection of hazardous substances in the environment, as related to states and territories; and, to increase the county official's capacity to identify, assess, finance, revitalize and manage over time contaminated or potentially contaminated properties. Fiscal Year 2010: The work envisioned in this task would build on ongoing work by examining long-term stewardship, institutional controls, and site reuse. The desire to partner with recipients to facilitate the reuse of sites with residual contamination that can be reused is a priority. In FY 10 there are 3 active awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Alternative or innovative treatment technology and hazardous substance research grants are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit institutions, and FAR Part 31 for profit makers.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Barbara McDonough, Contracts Management Branch, Resources Management Division, Office of Superfund Remediation & Technology Innovation, USEPA (5202-P), 1200 Pennsylvania Ave., N.W., Washington, District of Columbia 20460 Email: mcdonough.barbara@epa.gov Phone: (703) 603-9042.

Website Address:

<http://www.epa.gov/superfund/index.htm>

RELATED PROGRAMS:

66.808 Solid Waste Management Assistance Grants; 66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.815 Brownfield Job Training Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Land use controls research; estimating soil ingestion in construction workers; study of state and territorial above ground storage tank inspection practices; State support for forums to discuss and share experiences on remediation technologies and strategies; support for State forums to share information on research on waste remediation; and work on national policy issues related to long-term state stewardship with a focus on the facilitation of renewable energy development at sites with residual contamination. Renewable energy forums, a compendium of best practices, and other related work have been proposed. Fiscal Year 2010: Information not available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competitive applications and proposals will be selected in accordance with announced criteria. Non-competitive proposals are judged for (a) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives. The evaluation and selection criteria for competitive awards under this CFDA description will

be described in the competitive announcement.

66.814 BROWNFIELDS TRAINING, RESEARCH, AND TECHNICAL ASSISTANCE GRANTS AND COOPERATIVE AGREEMENTS

Brownfields (k)(6) Grants

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act, Section 101(39)&104(k)(6), 42 U.S.C 9604(k)(6).

OBJECTIVES:

CERCLA 104(k)(6) provides EPA with authority for a program of training, research, and technical assistance to individuals and organizations to facilitate the inventory of brownfields properties, assessments, cleanup of brownfields properties, community involvement, or site preparation. Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. Funding Priority - Fiscal Year 2010: EPA may solicit proposals for training, research, and technical assistance and will incrementally fund projects with satisfactory project progress selected in previous 104(k)(6) training, research, and technical assistance competition. The Agency gives preference to the ten statutory ranking criteria, as applicable, found at CERCLA 104(k)(5)(C) (see :180 CRITERIA FOR SELECTING PROPOSALS, below) when evaluating applications for research, training and technical assistance funding. EPA, in addition to the statutory criteria, also evaluates applicants based on their ability to manage grants and other policy based factors intended to promote effective stewardship of Federal funds. Annual Funding Priorities: By statute, 25% of the funding for CERCLA 104(k), must be used for characterization, assessment, and remediation of Brownfields sites contaminated by petroleum or petroleum products. No more than 15% of the funding appropriated for CERCLA 104(k) grants may be used to fund training, research, and technical assistance grants authorized by CERCLA 104(k)(6). EPA expects to support brownfields training, research, and technical assistance related to Brownfields Area-wide Planning by awarding up to 20 Brownfields Area-wide Planning Pilots as part of an enhanced effort to benefit under-served and economically-disadvantaged communities. EPA will provide assistance through a combination of grant (cooperative agreement) funding and government-furnished contracted technical assistance. For certain competitive funding opportunities, the Agency may limit eligibility to a particular subset of eligible applicants. The amount of financial assistance will vary, with the funding amount expected not to exceed \$175,000 per grant.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of brownfields properties, community involvement, or site preparation. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that

was signed on October 1, 2009.

Applicant Eligibility:

A general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State; an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations are also eligible for training, research, and technical assistance grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 Note. Under this definition, colleges, universities, and community colleges are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State, Tribal - an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community, and local governments, communities with Brownfields sites and their residents, community groups, universities and colleges, industry, and other public and private institutions and individuals.

Credentials/Documentation:

EPA may request that applicants document their non-profit status. The Agency may also request that applicants demonstrate they have appropriate background, academic training, and experience in the field to carry out projects. EPA may ask applicants for research projects to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. See Appendix 1 for additional information regarding SPOC's. If there is no single point of contact for the state, or the state has not selected this program for review, applicants must contact directly affected state area-wide regional and local entities prior to award. (See 40 CFR 29.7(b)). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. This is a competitive grant program. Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

EPA is required by statute to conduct this assistance program competitively. For competitive awards, EPA will review and evaluate applications, proposals,

and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds. Incremental funding is subject to review and approval by the Office of Brownfields and Land Revitalization, Office of Solid Waste and Emergency Response. The funded grants and cooperative agreements are not eligible for renewal.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: For training, research and technical assistance grants, EPA will not require that applicants include a match or cost share unless required by the terms of a competitive announcement. Even if EPA decides not to require matching funds, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. This program has no statutory formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA generally funds grants and cooperative agreements on a 12 month basis, however, EPA may fully fund the agreements at the time of award. EPA will negotiate the project period with each applicant based on project requirements. The project period can range anywhere from two to five years. The anticipated period of performance for the Area-Wide Planning Pilots is 2 years. See the following for information on how assistance is awarded/released: EPA generally funds the research, training, and technical assistance grants and cooperative agreements incrementally on a 12 month basis. However, EPA can negotiate the assistance award with each applicant based on project requirements.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are generally required as part of the terms and conditions of the grants and cooperative agreements. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$5,231,555; FY 10 est \$7,540,000; FY 11 est \$4,700,000 - For FY 2009 the program obligated \$5,231,555. The total funding estimated for FY 2010 is \$7,540,000 of which \$3,500,000 is designated for Area-Wide Planning Pilots which will be solicited in FY 2010, and the remainder to incrementally fund existing cooperative agreements funded in previous years. The total funding estimated for FY 2011 is \$4,700,000.

Range and Average of Financial Assistance:

Historically, the Brownfields Training, Research, and Technical Assistance cooperative agreements have ranged anywhere from \$300,000 to \$2,000,000 per cooperative agreement depending on the specific focus area of that year's solicitation. The amount for the FY 2010 solicitation for Area-Wide Planning Pilots will be up to \$175,000 per cooperative agreement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: New cooperative agreements were awarded in FY09 and include brownfields related projects providing sustainable redevelopment training, researching community gardens and renewable fuels on brownfields, and models for the carbon and air emission impacts of brownfields projects. In FY09, EPA provided incremental funding to projects awarded in previous years. The ongoing grants provided training, community education and technical assistance to support brownfields assessment and cleanup efforts by state, local and tribal governments, communities, nonprofit organizations and private sector entities. The grants also resulted in research reports, case studies, and documents assisting communities with brownfields projects. Fiscal Year 2010: No content available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Brownfields training, research, and technical assistance grants are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for non-profit institutions. EPA will provide applicants with guidance on statutory prohibitions on the use of grant and cooperative agreement funds in Requests for Initial Proposals, Requests for Applications, or by other means. EPA will provide assistance regarding clarification of the grant proposal guidelines. Potential applicants may submit written requests to OBLR for clarification. Significant clarifications to the guidelines will be posted on the brownfields website <http://www.epa.gov/brownfields>. This is the only form of pre-application assistance available. EPA will not meet with applicants to discuss draft proposals or provide informal comments on proposals.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

David Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, 1200 Pennsylvania Ave, NW, Washington, District of Columbia 20460 Email: lloyd.davidr@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>

RELATED PROGRAMS:

66.815 Brownfield Job Training Cooperative Agreements; 66.817 State and Tribal Response Program Grants; 66.818 Brownfields Assessment and Cleanup Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: EPA has funded cooperative agreements for a variety of training, research, and technical assistance activities including: new geographically based technical assistance centers; training for communities new to brownfields activities; technical assistance and training for assessing and cleaning up meth labs in Indian Country; research on brownfields financing; and web sessions and conference calls providing training and technical assistance to brownfields communities. Fiscal Year 2010: Information Not Yet Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.815 BROWNFIELD JOB TRAINING COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

The Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 101(39)&104(k)(6), 42 U.S.C 9604(k)(6); American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

The objective of the Brownfield Job Training Program is to recruit, train, and place unemployed and under-employed residents of brownfields-impacted communities, and by doing so, providing individuals of these communities with the skills needed to obtain sustainable employment in brownfields redevelopment and environmental related careers. The Brownfields Job Training Program promotes the facilitation of assessment, remediation, or preparation of brownfield sites. A brownfield site is "real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant," as defined in 101(39) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended (CERCLA, or Superfund). The law further defines the term "brownfield site" to include a site that "is contaminated by a controlled substance...; is contaminated by petroleum or a petroleum product excluded from the definition of 'hazardous substance'...; or is mine-scarred land." Funding Priority - Fiscal Year 2009: EPA expects to solicit proposals on an annual basis for its regular appropriation. Greater weight will be given to those applicants who meet the ranking criteria outlined in Section 180 of this CFDA including those who demonstrate experience in providing job training recruitment and placement services and environmental remediation knowledge, community involvement and employer partnerships, an ability to leverage nongovernmental funding, prior experience in managing and reporting upon federal grants, a demonstration of community need and environmental justice issues, and performance measurement strategies. In addition, for fiscal year 2009 only, EPA solicited proposals for American Recovery and Reinvestment Act (ARRA) funding. EPA issued a request for applications (RFA) from eligible governmental entities and nonprofit organizations to provide environmental job training projects that will facilitate job creation in the assessment, remediation, or preparation of brownfields sites for sustainable reuse. Annual Funding Priorities: No more than 15% of the funding appropriated for CERCLA 104(k) grants may be used to fund research, training and technical assistance grants authorized by CERCLA 104(k)(6). By statute, 25% of the funding appropriated for CERCLA 104(k) grants must be used for characterization, assessment, and remediation of Brownfields sites contaminated by petroleum or petroleum products. The Agency must also give preference to the ten statutory ranking criteria found at CERCLA 104(k)(5)(C) when evaluating applications for funding. Funding Priority - Fiscal Year 2010: Information Is Not Yet Available.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds awarded under Section 104(k)(6) of CERCLA must be used for training, research, and technical assistance to individuals and organizations, to facilitate the inventory of brownfields properties, site assessments, cleanup of

brownfields properties, community involvement, or site preparation. However, for brownfields job training grants, individuals are not eligible to apply. (See eligibility requirements in Section 080) Grants and cooperative agreements are available to eligible entities throughout the United States. However, eligible applicants must propose to serve a community that currently receives, or has received, financial assistance for brownfields assessment, revolving loan fund, cleanup, state or tribal site-specific response program work, and/or targeted brownfield assessment grants. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>. Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable programmatic costs, in accordance with established EPA policies and regulations. Costs incurred under CERCLA 104(k)(6) grant or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites. Funds made available by the Recovery Act are prohibited from uses relating to casinos and other gambling establishments, aquariums, zoos, golf courses, or swimming pools.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Proposals will be accepted from either eligible governmental entities as defined in CERCLA Section 104(k)(1) or eligible nonprofit organizations as defined in Public Law 106-107, the Federal Financial Assistance Management Improvement Act. Eligible governmental entities include a general purpose local unit of government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of government; a governmental entity created by a state legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a state; a state; an Indian Tribe (other than in Alaska), or an Alaskan Native Regional Corporation and an Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following); and the Metlakatla Indian Community. Intertribal consortia, except consortia comprised of ineligible Alaskan tribes, are eligible to apply as well. Eligible nonprofit organizations include any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. Workforce Investment Boards that meet these criteria may be eligible nonprofit organizations. Public and nonprofit private educational institutions are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit or proprietary training organizations or trade schools are not eligible to apply. Evidence of current nonprofit status under Federal, state or tribal law must be provided at the time the proposal is submitted. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy. Applicants that received a Brownfields Job Training grant from EPA in Fiscal Year 2010 are not eligible to apply in FY11 for regular appropriation funds. A list of brownfields job training grants awarded in Fiscal Year 2010 can be found on the Brownfields Website, <http://www.epa.gov/brownfields/pilot.htm#previous>. All applicants must include OSHA 29 CFR 1910.120 40-hour Hazardous Waste Operations and Emergency Response (HAZWOPER) training as part of their

proposed training curriculums. All curriculums must include this training and be provided to all individuals entering training. Please visit the following website for more details:

http://www.osha.gov/pls/oshaweb/owadisp.show_document?p_table=STANDA RDS&p_id=9765.

Beneficiary Eligibility:

Job training grants will provide environmental job training and help individuals of brownfields neighborhoods take advantage of job opportunities created as a result of the assessment and cleanup of brownfields properties. In addition, this program benefits industry by increasing the supply of skilled labor for firms that engage in environmental assessment and cleanup.

Credentials/Documentation:

EPA requires that applicants document their nonprofit status at the time of application. The Agency may also request that applicants demonstrate that they have appropriate background, academic training, experience in fields, and necessary equipment to carry out training projects. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This is a competitive grant program. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog (See EPA's Federal Register Notice from November 26, 1986, to exempt hazardous waste training programs from intergovernmental review.). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. By statute, EPA must award Brownfields Job Training grants competitively. EPA will specify application procedures in Requests for Initial Proposals or Request for Applications. As stipulated in the Requests for Applications, successful grant applicants must provide, in addition to the SF 424, a Dun and Bradstreet Data Universal Numbering System (DUNS) number, which is now required when applying for federal grants or cooperative agreements on or after October 1, 2003. For more information go to: <http://www.grants.gov>. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. Final approval of applications for job training grants and supporting documentation is made by EPA. Award of grant funds are made by EPA Regional Award Officials. To expedite the award of ARRA cooperative agreements, awards may be made on partial workplans. In these cases, the award must include a condition requiring submission of a full workplan within a specified time period.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For job training grants, the range of approval/disapproval time will be approximately ninety days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts."

Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities and availability of funds. Renewals and extensions of funding are not available.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. For the Brownfields Job Training Grant, EPA does not require that applicants include a match or cost share. However, evidence of leveraged funds is encouraged. Furthermore, a statutory factor in ranking applications under Section 104(k)(6) is the extent to which EPA financial assistance will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse of Brownfields sites. Applicants may be encouraged to provide information regarding resources (cash/in-kind services) that they, or a project partner, would commit to efforts receiving EPA financial assistance. EPA may take these commitments into account in ranking proposals. This program has no statutory formula. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The performance period for job training grant funds is generally two years. For those funded with ARRA funding, the performance period is three years. Brownfield grant cooperative agreements may be amended to provide additional funding and additional time for grant recipients demonstrating significant success in brownfields training and redevelopment efforts depending upon the availability of funding. Proposal funding is not guaranteed at any stage of the proposal process until the Cooperative Agreement, including workplan, is completed and the final award is made. All awards are made in a lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports are generally required as part of the terms and conditions of the cooperative agreements. No expenditure reports are required. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$9,400,000; FY 10 est \$2,600,000; FY 11 est \$2,600,000 - Estimated \$2,500,000 in FY09; \$6.9 million in ARRA funding, and an estimated \$2,600,000 will be available in FY2010 and FY2011.

Range and Average of Financial Assistance:

\$197,051 to \$200,000; average \$199,773. For job training grants, an eligible entity may apply up to \$200,000. The performance period for these grants is generally 2 years. For those grants funded with ARRA funding, up to \$500,000 has been funded with a performance period of up to three years.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To date, EPA has funded 157 brownfields job training grants totaling approximately \$33 million. 13 cooperative agreements were awarded for FY09 and 14 cooperative agreements were awarded with ARRA funds in FY09. As of August 2009, more than 5,000 people had completed training; and more than 3,250 obtained employment in the environmental field with an average starting hourly wage of \$13.82. Fiscal Year 2010: Information Is Not Yet Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For job training grants, costs must be in accordance with OMB Circulars Nos. A-87 (state, tribal and local governments), A-21 (universities) and A-122 (nonprofit organizations), depending on the recipient. Recipients must comply with 40 CFR Part 30 for universities and nonprofit organizations, and Part 31 for states, tribes, and local governments. In addition, recipients must comply with applicable provisions of EPA training grant regulations at 40 CFR Part 45. EPA will also provide applicants with guidance on statutory prohibitions on the use of grant and cooperative agreement funds in Requests for Initial Proposals, Requests for Applications, or by other means.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Offices are listed in Section 7 in the Application Guidelines for Brownfields Job Training Grants.

Headquarters Office:

Joseph Bruss, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, District of Columbia 20460 Email: bruss.joseph@epa.gov Phone: (202) 566-2772.

Website Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.817 State and Tribal Response Program Grants; 66.818 Brownfields Assessment and Cleanup Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In Fiscal Years 2007, 2008, and 2009, the EPA Brownfields Program awarded cooperative agreements to a variety of eligible entities and nonprofit organizations to provide local residents with the skills needed to gain employment in careers related to the assessment and cleanup of brownfields sites. These cooperative agreements included training and instruction in a variety of subjects, including: OSHA health and safety training, HAZWOPER and HAZMAT certification, lead and asbestos abatement, forklift operations, phytoremediation, mold remediation, site assessment, sampling, field investigation, instrumentation, and treatment technologies. Furthermore, these cooperative agreements provided brownfields job training programs the funds needed to perform recruitment and community outreach to residents in low-income and minority neighborhoods impacted by brownfields sites. Fiscal Year 2010: Information Is Not Yet Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.816 HEADQUARTERS AND REGIONAL UNDERGROUND STORAGE TANKS PROGRAM

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Solid Waste Disposal Act of 1976, Section 8001(a)&(b), Public Law 98-616, U.S.C 8001; Resource Conservation and Recovery Act of 1976; Superfund Amendments and Reauthorization Act of 1986 , Public Law 99-499, 42 U.S.C

6991; Safe Drinking Water Act Amendments of 1986 , Public Law 99-339; EPA's Annual Appropriations Act, Public Law 111-8A.

OBJECTIVES:

To support activities that promote the prevention, compliance, and identification of underground storage tanks, and to support activities that promote corrective action, enforcement and management of releases from underground storage tank systems. Funding Priority - Fiscal Year 2010: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal communication, assistance and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. Funding Priority - Fiscal Year 2011: High priority tasks include: (1) Training Support: Provide State and tribal underground storage tanks (UST) and leaking underground storage tanks (LUST) programs and the regulated community mechanisms by which state and federal communication, assistance and training on UST/LUST program implementation can occur on both a regional and national scale; (2) Electronic Newsletter: Provide state and tribal regulators, the general public and the regulated community with technical information on underground storage tank (UST) systems; and (3) State/Federal Partnerships: Provide technical assistance and forums for information exchange to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' eligible and allowable direct costs incurred under an approved work plan plus allowable indirect costs, in accordance with established EPA policies and regulations. Use Restrictions are that funds awarded under Section 8001(a) and (b) of RCRA must be used for projects that may include the following types of activities: educational materials, surveys, training, studies, demonstrations, investigations, special projects and the development or use of methods to improve UST systems management and performance to reduce the actual and potential risks to human health and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>."

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official Government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

These assistance agreements are only available to public authorities (State, interstate, intrastate, agencies designated by States or Territorial Governors to receive UST notifications, federally-recognized Tribes and Intertribal Consortia, and local), public agencies and institutions; private non-profit organizations and agencies that meet the requirements of Section 8001(a) and (b) of the Solid Waste Disposal Act. Profit-making organizations and the general public are not eligible. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

State and local governments, territories and possessions, interstate agencies,

Tribes, Intertribal Consortia, members of the regulated community and residents in areas impacted by federally regulated underground storage tanks.

Credentials/Documentation:

EPA may request that applicants document their nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. EPA may incrementally fund grants and cooperative agreements under this program. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to EPA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length and time phasing of the assistance agreement shall be determined prior to the award. Assistance agreements are awarded either as non-competitive or competitive, subject to EPA Order 5700.5A1. The length of the assistance agreements may be from one year to seven years. See the following for information on how assistance is awarded/released: The funding is released to the recipient according to the recipient's accounting requests, e.g., quarterly, monthly, etc.

Reports:

Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Program reports are required. No cash reports are required. Progress reports are required. Expenditure reports are required. EPA does conduct baseline and advanced post-award monitoring of the recipient's performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304; 20-8153-0-7-304.

Obligations:

(Project Grants) FY 09 \$1,120,342; FY 10 est \$1,659,459; FY 11 est \$1,500,000 - EPM: FY 09 actual \$609,703; FY 10 est. \$869,459; FY 11 est. \$800,000

LUST: FY 09 actual \$510,639; FY 10 est. \$790,000; FY 11 est. \$700,000.

Range and Average of Financial Assistance:

FY 09 Range \$215,000 - \$610,000/year; Average \$364,000/year. FY 10 Estimated Range \$69,500 - \$420,000/year; Average \$245,000/year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For the four FY 09 assistance agreements awarded, two were awarded competitively, two were awarded non-competitively, program outcomes results included assisting the states in ensuring fewer confirmed UST releases and completing LUST cleanups. Fiscal Year 2010: In FY 2010, one assistance agreement will be awarded competitively. It is anticipated that four applications will be received and will be awarded as incremental and supplemental funding on existing assistance agreements. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

General Grant Regulations and Procedures (40 CFR Part 30, 31, and 34); "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA, on a subscription basis. Costs will be determined in accordance with OMB Circular No. A-21 (2 CFR Part 220) for educational institutions, OMB Circular No. A-87 (2 CFR Part 225) for State and local governments and Indian Tribes, and OMB Circular No. A-122 (2 CFR Part 230) for non-profit institutions.

Regional or Local Office:

See Regional Agency Offices. For state-specific opportunities, EPA encourages potential applications to communicate with the appropriate EPA Regional Underground Storage Tanks Regional Program Managers, see <http://www.epa.gov/OUST/regions/index.htm>.

Headquarters Office:

Lynn Depont, USEPA, 1200 Pennsylvania Avenue, NW, Mail Code 5401P, Washington, District of Columbia 20460 Email: depont.lynn@epa.gov Phone: (703) 603-7148.

Website Address:

<http://www.epa.gov/OUST/>

RELATED PROGRAMS:

66.804 State and Tribal Underground Storage Tanks Program; 66.805 Leaking Underground Storage Tank Trust Fund Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) Training Support: Provide underground storage tanks (UST) and leaking underground storage tanks training activities for states and tribes, e.g., the Annual Tribal Conference, training on the effective implementation strategies and the tools utilized among the states with regulatory programs underway, program initiatives, compliance with UST systems, and UST releases. 2) Electronic Newsletter (LUSTLine): Provide state and tribal regulators, the general public and the regulated community with technical information on UST systems. 3) State/Federal Partnerships: Provide technical assistance, topic-oriented meetings and forums for information exchanges to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. 4) Studies: Gather information and provide a summary on technical issues, e.g., study on the impact of using on-site measurement technologies for site assessment and contaminant conceptualization at petroleum release sites. 5) Disseminate technical information to states, tribes and Intertribal Consortia on UST/LUST program implementation. Fiscal Year 2010: 1) Training Support: Provide underground storage tanks (UST) and leaking underground storage tanks training activities for states and tribes, e.g., the National Tanks Conference, Tribal Conference, training on the effective implementation strategies and the tools utilized among the states with regulatory programs underway, program initiatives, compliance with UST systems, and UST releases. 2) Electronic Newsletter (LUSTLine): Provide state and tribal regulators, the general public and the regulated community with technical information on UST systems. 3) State/Federal Partnerships: Provide technical assistance, topic-oriented meetings and forums for information exchanges to assist States in developing their program capabilities to manage their UST/LUST programs in the most efficient and effective manner possible. 4) Studies: Gather information and provide a summary on technical issues, e.g., study on the impact of using on-site measurement technologies for site assessment and contaminant conceptualization at petroleum release sites. 5) Disseminate technical information to states, tribes and Intertribal Consortia on UST/LUST program implementation. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.817 STATE AND TRIBAL RESPONSE PROGRAM GRANTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act, Section 128(a), Public Law 107-118; Small Business Liability Relief and Brownfields Revitalization Act.

OBJECTIVES:

EPA's CERCLA Section 128(a) grant program funds activities that establish or enhance state and tribal response program capacity, capitalize revolving loan funds (RLFs), and support insurance mechanisms. The goals of this funding are to provide financial support for elements of an effective state or tribal response program as specified in CERCLA Section 128 and to ensure that states and tribes maintain a public record of sites included in their programs. Funding Priority - Fiscal Year 2010: In FY 2010, funding will be prioritized as follows: (1) Funding for program development activities to establish or enhance the four elements of a state or tribal response program and to enable states and tribes to comply with the public record requirement, including activities related to institutional controls. (States and tribes that have established one or more of the four elements will not be prejudiced in funding distributions if their work plan includes activities that enhance the four elements. States with Voluntary Cleanup Program Memorandum of Agreement will not be prejudiced in funding distributions if their work plan does not include tasks related to establishing or enhancing the four elements.) (2) Funding for program development activities to enhance the response program or the cleanup capacity of a state or tribal response program. (3) Funding for site-specific activities at eligible brownfields sites. (4) Funding for environmental insurance mechanisms. (5) Funding to capitalize brownfields cleanup RLFs.

TYPES OF ASSISTANCE:**FORMULA GRANTS****USES AND USE RESTRICTIONS:**

States and tribes can use Section 128 funding to (1) establish or enhance four statutory elements of a response program, as per CERCLA 128(a)(2), (2) capitalize a Revolving Loan Fund program for brownfields cleanup, pursuant to CERCLA 104(k)(3), (3) purchase environmental insurance or develop a risk sharing pool, an indemnity pool, or insurance mechanism to provide financing for response actions under their programs, and (4) maintain and update, at least annually, a public record of sites, pursuant to CERCLA 128(b), that includes the name and location of sites at which response actions have been completed during the previous year and the name and location of sites at which response actions are planned to be addressed in the next year; (5) conduct limited site-specific activities; "Establish" includes activities necessary to build the foundation for the four elements of a state or tribal response program or may also include activities that keep their program at a level that meets the four elements. "Enhance" is related to activities that add to or improve a state or tribal response program or increase the number of sites at which response actions are conducted under a state or tribal response program. States and tribes may use Section 128(a) funds for activities that establish and enhance their response programs, even if their response programs address petroleum-contamination. Restrictions apply to site-specific activities, such as assessment and cleanup. Assessments and cleanups must be conducted at eligible brownfields sites, as defined in CERCLA 101(39).

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

States (as defined in CERCLA Section 101(27) and tribes (as defined in CERCLA Section 101(36)) are eligible for funding under Section 128(a). To be eligible to receive funding under CERCLA Section 128(a), a state or tribe must demonstrate that its response program includes, or is taking reasonable steps to include, the four elements of a response program. States or tribes that are parties to voluntary response program memoranda of agreement (MOAs) are automatically eligible for Section 128(a) funding. Additionally, states and tribes, including those with MOAs, must maintain and make available to the public a record of sites at which response actions have been completed in the previous year and are planned to be addressed in the upcoming year in order to qualify for Section 128(a) funding.

Beneficiary Eligibility:

Beneficiaries include individuals living in recipient states', territories', and tribes' jurisdiction.

Credentials/Documentation:

States and tribes must provide documentation that they have met or are making reasonable progress towards meeting the four statutory elements, or have a Voluntary Cleanup Program Memorandum of Agreement with EPA, and have established and are maintaining the public record. States and tribes must define their "Section 128(a) response program", and may designate a component of the state or tribe that will be EPA's primary point of contact for negotiations on their proposed work plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Annually, states and tribes will work with the EPA regional offices to develop their work plans and funding requests for the upcoming year. Each cooperative agreement must have an annual budget period tied to an annual work plan. Annual funding guidance provides the requirements for submitting requests. No specific forms are required. Informal assistance is available through regional offices. States are subject to requirements under E.O. 12372, "Intergovernmental Review of Federal Programs" as implemented in 40 CFR Part 29. Tribes are exempt. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372,

"Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and tribes submit requests to EPA regional offices for funding on an annual basis by submitting a proposed work plan. Annual funding guidance provides the information that should be submitted to the regional office. Once initial requests are submitted, EPA headquarters consolidates requests and provides a final allocation based on factors provided in the funding guidance. No specific form is required for submission of request.

Award Procedure:

EPA regional offices will negotiate and enter into a single cooperative agreement with interested states or tribes. States and tribes may distribute these funds among the appropriate state and tribal agencies to meet their specific needs within their state or tribal agency structures. At least annually, the regional offices must verify that a public record as described above exists for each of the state or tribal response programs that are receiving funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

EPA will accept requests for funding from December 1, 2009 through January 31, 2010 completed negotiation of cooperative agreement.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Each state and tribal cooperative agreement will be evaluated on an annual basis to ensure that the requirements to receive funding are being met. If funding is not used, it may be carried over into the next budget period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: States and tribes are not required to provide matching funds for grants awarded under Section 128(a). However, if the state or tribe uses Section 128(a) funds to capitalize a Brownfields RLF under CERCLA 104(k)(3), a 20% cost share is required on the amount of Section 128(a) funds used to capitalize an RLF. This program has no statutory formula, but does take into account use of prior years' funding in determining future awards. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA regions will evaluate cooperative agreements annually to ensure that requirements to receive funding are being met. EPA regional offices will determine the project period for each cooperative agreement. These may be for multiple years depending on the regional office's grants policies. Each cooperative agreement must have an annual budget period tied to an annual work plan. Funds are awarded on an annual basis and may be drawn down according to regional financial processes. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. States and tribes will provide progress reports under 40 CFR 31.40, in accordance with terms and conditions of the cooperative agreement negotiated with EPA regional offices. As a minimum, state or tribal progress reports must include both a narrative discussion and performance data relating to the state's or tribe's accomplishments with Section 128(a) funding. Depending upon the activities included in the state's or tribe's scope of work, an EPA regional office may request that a progress report include other elements as described in the Grant Funding Guidance for State and Tribal Response Programs. The regional offices may also request other information be added to the progress reports, as appropriate, to properly document activities described by the cooperative agreement work plan. Expenditure information should be provided in the progress reports. Performance monitoring is in accordance with the terms and

conditions of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

In addition to the statutory public record requirement, states and tribes must comply with record keeping requirements of 40 CFR Part 31 and any applicable requirements under state and tribal laws. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants, available to personnel authorized to examine EPA recipients grants and cooperative agreements records. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions remain following the three-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$49,500,000; FY 10 est \$49,495,000; FY 11 est \$49,500,000

Range and Average of Financial Assistance:

Most fiscal years range from \$50,000 to \$1,500,000; average approximately \$500,000. For FY10, funding request are limited to a maximum of \$1,500,000 per State or tribe.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, 120 requests for funding were received resulting in 116 requests being funded. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 31; OMB Circular A-87 applies to the program. EPA anticipates that it will annually publish national Grant Funding Guidance for State and Tribal Response Programs. Fiscal Year 2008 guidance may be found at www.epa.gov/brownfields.

Regional or Local Office:

See Regional Agency Offices. EPA Regional Brownfields Coordinators:
Region 1: Diane Kelley, Phone: 617-918-1424, Fax: 617-918-1291, kelly.diane@epa.gov; Region 2: Lya Theodoratos, Phone: 212-637-3230, Fax: 212-637-4360, theodoratos.lya@epa.gov; Region 3: Tom Stolle, Phone: 215-814-3129, Fax: 215-814-5518, stolle.tom@epa.gov; Region 4: Mike Norman, Phone: 404-562-8792, Fax: 404-562-8439, norman.michael@epa.gov; Region 5: Deborah Orr, Phone: 312-886-7576, Fax: 312-886-7190, orr.deborah@epa.gov; Region 6: Monica Chapa Smith, Phone: 214-665-6780, Fax: 214-665-6660, smith.monica@epa.gov; Region 7: Susan Klein, Phone: 913-551-7786, Fax: 913-551-8688, klein.susan@epa.gov; Region 8: Dan Heffernan, Phone: 303-312-7074, Fax: 303-312-6955, heffernan.daniel@epa.gov; Region 9: Noemi Emeric-Ford, Phone: 213-244-1821, Fax: 213-244-1850, emeric-ford.noemi@epa.gov; Region 10: Susan Morales, Phone: 206-553-7299, Fax: 206-553-0124, morales.susan@epa.gov.

Headquarters Office:

David R. Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, 1200 Pennsylvania Ave, NW, Washington, District of Columbia 20460 Email: lloyd.davidr@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Program development activities to establish the four elements of a state or tribal response program, including conducting an inventory of brownfields sites; developing or enhancing oversight and enforcement mechanisms; establishing mechanisms to approve cleanup plans; and providing opportunities and resources for public involvement. Establishing and maintaining a public record of sites, including making information available on the Internet and maintaining and monitoring institutional controls; capitalizing an RLF for brownfields cleanup; purchasing environmental insurance; developing legislation, regulations, procedures, and guidance that would establish or enhance the administrative and legal structure of their response programs; and undertaking-site specific related activities, such as conducting assessments at selected brownfields sites and auditing completed site cleanups in states that administer a licensed site professional program. Fiscal Year 2010: FY 2010 information is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

EPA will not fund this program through competitive grant solicitations. Section 128(a) funds will be allocated to eligible states and tribes in accordance with the national Grant Funding Guidance for State and Tribal Response Programs.

66.818 BROWNFIELDS ASSESSMENT AND CLEANUP COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Office of Solid Waste and Emergency Response, Environmental Protection Agency

AUTHORIZATION:

The Small Business Liability Relief and Brownfields Revitalization Act of 2002, Public Law 107-118; American Recovery and Reinvestment Act of 2009; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, 42 U.S.C 9601(39)&9604k.

OBJECTIVES:

Brownfield sites are real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant. The objectives of the brownfield assessment, revolving loan fund and cleanup cooperative agreements (project grants) are to provide funding: (1) to inventory, characterize, assess, and conduct planning and community involvement related to brownfield sites; (2) to capitalize a revolving loan fund (RLF) and provide subgrants to carry out cleanup activities at brownfield sites; and (3) to carry out cleanup activities at brownfield sites that are owned by the grant recipient. Funding Priority - By statute, 25 percent of the funding for this program must be used for characterization, assessment, and cleanup of Brownfields sites contaminated by petroleum or petroleum products. The Agency must also give preference to statutory ranking criteria found at CERCLA 104(k)(5)(C) (see :180 CRITERIA FOR SELECTING PROPOSALS) when evaluating applications for funding. Funding Priority - By statute, 25 percent of the funding for this program must be used for characterization, assessment, and cleanup of Brownfields sites contaminated by petroleum or petroleum products. The Agency must also give preference to statutory ranking criteria found at CERCLA 104(k)(5)(C) (see :180 CRITERIA FOR SELECTING PROPOSALS) when evaluating applications for funding.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For site specific projects, the site must meet the definition of a brownfields site found at CERCLA 101(39). As part of the application process, EPA provides guidance to assist grant applicants in determining whether sites meet this definition. (1) The brownfields grants may be used to address sites contaminated by petroleum and hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum). (2) Brownfields assessment grant funds may be used to inventory, characterize, assess, and conduct planning and community involvement related to brownfield

sites. (3) An RLF project grant recipient must use at least 60 percent of the awarded funds to capitalize and implement a revolving loan fund; an RLF project grant recipient may use no more than 40 percent of the awarded funds for cleanup subgrants and may not subgrant to itself. Revolving loan fund project grants generally are used to provide no-interest or low-interest loans for brownfields cleanups. (4) An RLF project grant recipient may use its funds to award subgrants to other eligible entities, including nonprofit organizations, for brownfields cleanups on sites owned by the subgrantee; (5) Brownfields cleanup grant funds must be used to carry out cleanup activities at brownfield sites that are owned by the grant recipient. (6) Costs incurred under CERCLA 104(k) grants or cooperative agreements may not be used for an administrative cost, penalty or fine, a Federal cost-share requirement, a response cost for which the recipient of the grant or cooperative agreement is potentially liable under CERCLA 107, or the cost of complying with a Federal law, with the exception of the costs of laws applicable to cleanup of Brownfields sites. All grants under 66.818 are awarded on a discretionary basis. For information on statutory limits on the amount of funding, see :123 Range and Average of Financial Assistance, below. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Eligibility for Assessment, Revolving Loan Fund, and Cleanup Grants: a general purpose unit of local government; a land clearance authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; a government entity created by a State legislature; a regional council or group of general purpose units of local government; a redevelopment agency that is chartered or otherwise sanctioned by a State; a State; an Indian Tribe other than in Alaska; an Alaska Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. Nonprofit organizations that own the property are also eligible for cleanup grants. Nonprofit organizations must meet the definition of that term in Section 4(6) of the Federal Financial Assistance Management Improvement Act of 1999, Public Law 96-107, 31 U.S.C. 6101 (Note: Under this definition, colleges, universities, and community colleges are eligible to apply.) However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are not eligible to apply for direct funding from EPA. However, for profit organizations may apply for loans made by eligible entities with RLF capitalization grants. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Generally, those eligible entities identified above will benefit from the brownfields grant actions. Specifically, individuals and commercial organizations in brownfields grant communities will benefit from brownfields assessment, cleanup, and revitalization funding. New strategies for promoting environmental cleanup lessons from these grants will provide a growing base of information and knowledge for other communities across the country seeking partnerships with stakeholders to coordinate issues related to brownfields and leverage additional opportunities for redevelopment.

Credentials/Documentation:

EPA may require that nonprofit organizations or eligible entities other than states, tribes, or general purpose units of local government provide documentation of eligibility. EPA may also require that applicants provide site specific information to determine whether a site qualifies as a Brownfields site

under CERCLA 101(39). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This is a competitive grant program. EPA guidelines for Requests for Proposals or Requests for Applications will specify application procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program. EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

This is a competitive grant program. EPA guidelines for Requests for Proposals or Requests for Applications will specify award procedures. For EPA Regional Office contacts, see Appendix IV of the Catalog. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For the Recovery Act funding, EPA will award separate cooperative agreements. To expedite the award of ARRA cooperative agreements, awards may be made on partial workplans. In these cases, the award must include a condition requiring submission of a full workplan within a specified time period.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Recipients of RLF grants may apply for additional funding on a non-competitive basis during any year after the first year the recipient receives an RLF grant. To seek additional funding, RLF grant recipients should contact their EPA Regional Office. In awarding this additional funding the Agency will consider: (I) the number of sites and number of communities that are addressed by the revolving loan fund; (II) the demand for funding by eligible entities that have not previously received an RLF grant; (III) the demonstrated ability of the eligible entity to use the revolving loan fund to enhance remediation and provide funds on a continuing basis; and (IV) Other similar factors, including the availability of funds and the recipient's performance history. Recipients of assessment and cleanup grants generally do not receive additional funding on a non-competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. Matching requirements are not applicable for brownfield assessment grants. Under CERCLA 104(k)(9)(B)(iii) revolving loan fund and cleanup grants require a 20 percent cost share, which may be in the form of a contribution of money, labor, material, or services, and must be for eligible and allowable costs. An RLF or cleanup grant applicant may request a waiver of the 20 percent cost share requirement based on financial hardship.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The performance period for brownfields assessment and cleanup grant funds is three years. The performance period for brownfields revolving loan fund grants is generally five years. Grants are generally announced nationally and awarded by EPA Regional Offices. The Regional Offices work with the applicants to negotiate a workplan and award the cooperative agreement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly progress reports, property profiles, notification of significant development, property inventory reports, procurement reports, a final report and financial reports may be required if authorized by 40 CFR Parts 30 and 31. No expenditure reports are required. Performance monitoring is in accordance with the terms and conditions of the cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Record Retention Requirements of 40 CFR Part 30 (non-profits and universities) and 40 CFR Part 31 (governmental units) are applicable, depending upon the identity of the recipient and the program funded.

Account Identification:

68-0103-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$111,897,628; FY 10 est \$86,000,000; FY 11 est \$119,600,000 - In FY 2009, the Brownfields Program obligated \$74,588,495 in regular funding and \$37,309,133 in ARRA funding. An estimated \$86 million will be available in FY 2010 and an estimated \$119.6 million will be available in FY 2011.

Range and Average of Financial Assistance:

Range and Average of Financial Assistance: (1) For assessment grants, an eligible entity may apply for up to \$200,000 to address sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and up to \$200,000 to address sites contaminated by petroleum; most applicants receive this amount. Applicants may request a waiver of the \$200,000 limit up to \$350,000 for sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and up to \$350,000 to address sites contaminated by petroleum. Waiver requests must be based on the anticipated level of contamination, size, or ownership status of the site. These limits are mandatory under CERCLA 104(k)(4)(A). (2) For revolving loan fund grants, an eligible entity may apply for up to \$1,000,000 for an initial RLF grant. This limit is mandatory under CERCLA 104(k)(4)(A). In addition, coalitions of eligible entities may apply together under one recipient for up to \$1,000,000 per eligible entity. (3) For cleanup grants, an eligible entity may apply for up to \$200,000 per site. The \$200,000 per site limit is mandatory under CERCLA 104(k)(3)(A). Approximate average financial assistance is

\$200,000 for cleanup grants, \$200,000 for assessment grants and \$1 million per entity for revolving loan fund grants.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This grant program replaced CFDA 66.811 in FY2003. EPA's brownfields assistance has led to more than \$14 billion in public and private investment in cleanup and redevelopment, helped leverage more than 60,917 jobs, and resulted in the assessment of more than 14,812 properties and the cleanup of more than 440 properties. In Fiscal Year 2009, EPA announced 389 grants, totaling \$111.9 million in combined Recovery Act and Brownfields general funding. These included: a.) 104 assessment grants at \$25.8 million Recovery Act funding and 149 assessment grants at \$41 million general program funding, to assess and plan for eventual cleanup at one or more brownfield sites; b.) 39 cleanup grants totaling \$7.5 million Recovery Act funding and 77 cleanup grants at \$15 million general program funding, for recipients to clean up brownfield sites they own; and c.) 3 revolving loan fund grants totaling \$4 million Recovery Act funding and 17 RLF grants at \$18.6 million general program funding, which communities use to make low interest loans for the cleanup of brownfield sites. Fiscal Year 2010: Information not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For brownfields assessment, revolving loan fund, and cleanup grants, costs will be determined in accordance with OMB Circular No. A-87 for State, tribal, and local governments or A-122 for nonprofit organizations, and OMB Circular A-21 for universities. Recipients must comply with 40 CFR Part 30 (nonprofit organizations) or 40 CFR Part 31 (governmental entities). The Agency will periodically publish guidance for brownfields grant proposals.

Regional or Local Office:

See Regional Agency Offices. Regional Brownfields Coordinators: EPA Region 1, New England, Diane Kelley, One Congress Street (HBT), Boston, MA 02114-2023, Phone (617) 918-1424, Fax (617) 918-1291, kelley.diane@epa.gov; EPA Region 2, Alison Devine, 290 Broadway, 18th Floor, New York, NY 10007, Phone (212) 637-4158, Fax (212) 637-4360, devine.alison@epa.gov; EPA Region 3, Tom Stolle, 1650 Arch Street, Philadelphia, Pennsylvania 19103, Phone (215) 814-3129, Fax (215) 814-5518, stolle.tom@epa.gov; EPA Region 4, Mike Norman, Sam Nunn Atlanta Federal Center, 61 Forsyth Street, Waste Management Division, Brownfields/State Support Section, Atlanta, GA 30303, Phone (404) 562- 8792, Fax (404) 562-8628, norman.michael@epa.gov; EPA Region 5, Deborah Orr, 77 West Jackson Boulevard, Chicago, Illinois 60604-3507, Phone (312) 886-7576, Fax (312) 886-7190, orr.deborah@epa.gov; EPA Region 6, Monica Chapa Smith, First Interstate Bank Tower at Fountain Place, 1445 Ross Avenue, Suite 1200 (6SF-PB), Dallas, Texas 75202-2733, Phone (214) 665-6780, Fax (214) 665-6660, smith.monica@epa.gov; EPA Region 7, Susan Klein, 901 N. 5th Street, Kansas City, Kansas 66101, Phone (913) 551-7786, Fax (913) 551-8688, klein.susan@epa.gov; EPA Region 8, Dan Heffernan, 1595 Wynkoop Street (EPR-B), Denver, Colorado 80202-1129, Phone (303) 312-7074, Fax (303) 312-6067, heffernan.daniel@epa.gov; EPA Region 9, Noemi Emeric-Ford, 600 Wilshire Blvd, Suite 1460, Los Angeles, California 90017, Phone (213) 244-1821, Fax (213) 244-1850, emeric-ford.noemi@epa.gov; EPA Region 10, Susan Morales, 1200 Sixth Avenue, Seattle, Washington 98101, Phone (206) 553-7299, Fax (206) 553-0124, morales.susan@epa.gov.

Headquarters Office:

David Lloyd, Office of Brownfields and Land Revitalization, OSWER, EPA, Washington, District of Columbia 20460 Email: Lloyd.DavidR@epa.gov Phone: (202) 566-2777.

Website Address:

<http://www.epa.gov/brownfields>.

RELATED PROGRAMS:

66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements ; 66.815 Brownfield Job Training Cooperative Agreements; 66.817 State and Tribal Response Program Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: This competitive grant program provides funding for three different kinds of grants: assessment grants, revolving loan fund grants, and cleanup grants. Assessment grants provide funding for a grant recipient to

inventory, characterize, assess, and conduct cleanup and redevelopment planning and community involvement related to brownfield sites. Revolving Loan Fund grants provide funding for a grant recipient to capitalize a revolving loan fund and to provide subgrants to carry out cleanup activities at brownfield sites. Cleanup grants provide funding for a grant recipient to carry out cleanup activities at brownfield sites. Examples of projects include conducting Phase I and Phase II assessments, developing cleanup plans, conducting community outreach activities, and conducting cleanups. Fiscal Year 2010: Information not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a competitive grant program. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Selection criteria will be outlined in the proposal guidelines and will be based on a system that includes the following ten statutory ranking criteria: (i) The extent to which a grant will stimulate the availability of other funds for environmental assessment or remediation, and subsequent reuse, of an area in which one or more brownfield sites are located. (ii) The potential of the proposed project or the development plan for an area in which one or more brownfield sites are located to stimulate economic development of the area on completion of the cleanup. (iii) The extent to which a grant would address or facilitate the identification and reduction of threats to human health and the environment, including threats in areas in which there is a greater-than-normal incidence of diseases or conditions (including cancer, asthma, or birth defects) that may be associated with exposure to hazardous substances, pollutants, or contaminants. (iv) The extent to which a grant would facilitate the use or reuse of existing infrastructure. (v) The extent to which a grant would facilitate the creation of, preservation of, or addition to a park, a greenway, undeveloped property, recreational property, or other property used for nonprofit purposes. (vi) The extent to which a grant would meet the needs of a community that has an inability to draw on other sources of funding for environmental remediation and subsequent redevelopment of the area in which a brownfield site is located because of the small population or low income of the community. (vii) The extent to which the applicant is eligible for funding from other sources. (viii) The extent to which a grant will further the fair distribution of funding between urban and nonurban areas. (ix) The extent to which the grant provides for involvement of the local community in the process of making decisions relating to cleanup and future use of a brownfield site. (x) The extent to which a grant would address or facilitate the identification and reduction of threats to the health or welfare of children, pregnant women, minority or low-income communities, or other sensitive populations. In addition, applicants will be required to demonstrate that site specific activities are carried out at sites that meet the definition of a Brownfields site at CERCLA 101(39).

66.926 INDIAN ENVIRONMENTAL GENERAL ASSISTANCE PROGRAM (GAP)

(GAP for Tribes)

FEDERAL AGENCY:

Office of Water, Environmental Protection Agency

AUTHORIZATION:

Indian Environmental General Assistance Program Act of 1992, Section 11, Public Law 102-497, 42 U.S.C 4368b.

OBJECTIVES:

To provide general assistance program (GAP) grants to Indian tribal governments and intertribal consortia to build capacity to administer environmental regulatory programs on Indian lands, and provide technical assistance from EPA to Indian tribal governments and intertribal consortia in the development of multimedia programs to address environmental issues on Indian lands. Funding Priority - Fiscal Year 2010: Funding is for the purposes of planning, developing, and establishing the administrative, technical, legal, enforcement, communications, and environmental education and outreach structure of a tribal environmental program. In FY 2010, the goal is to provide access to funding and technical assistance so that approximately 100 percent of the federally-recognized tribal governments and intertribal consortia have at least one person working in their community to build a strong, sustainable environment for the future. The vital work performed includes locally assessing the status of a tribe's environmental condition, utilizing available federal

information, building an environmental program tailored to the tribe's needs, developing environmental education programs, developing solid waste management plans, assisting in the building of tribal environmental capacity, and alerting EPA to serious conditions involving immediate public health and ecological health.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Activities eligible for funding under this program are those for planning, developing, and establishing capability to implement environmental protection programs including development of solid and hazardous waste programs. General assistance agreements offer the opportunity for a tribe to develop an integrated environmental program, develop the capability to manage specific programs and establish a core program for environmental protection. These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructures; to conduct assessments, monitoring, planning, and other actions; and to undertake additional activities within a simplified administrative framework. The primary purpose of these assistance agreements is to support the development of elements of a core environmental program, such as: providing for tribal capacity-building to assure an environmental presence for identifying programs and projects, including developing proposals for environmental program grants and managing environmental work; fostering compliance with Federal environmental statutes by developing appropriate tribal environmental programs, ordinances, and services; and establishing a communications capability to work with Federal, State, local and other tribal environmental officials. Restrictions: The principal focus of this program is on the development of general tribal environmental capability. Assistance will be provided under this program only for activities which the agency determines are appropriate to carry out the purposes of the Act. No single grant awarded under this program may be for an amount exceeding ten percent of the total annual funds appropriated under Section (h) of the Act. As with many of EPA's grants to States and Tribes, GAP grants may now be combined with one or more other EPA grants into a Performance Partnership Grant for administrative savings and, with EPA concurrence, programmatic flexibility. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

The following are eligible to receive financial assistance: (a) An Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A intertribal consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. An intertribal consortium is eligible to receive a GAP grant if the consortium demonstrates that: (1) A majority of its members meets the eligibility requirements for GAP grants; (2) all members that meet the eligibility requirements authorize the consortium to apply for and receive the grant; and (3) only members that meet the eligibility requirements will benefit directly from the grant project and the consortium agrees to a grant condition to that effect. An intertribal consortium must have adequate documentation of the existence of the partnership and the authorization of the member Tribes to apply for and receive assistance. Documentation that demonstrates the existence of

the partnership of Indian Tribal governments may consist of Tribal council resolutions, intertribal consortia resolutions in conjunction with a Tribal council resolution from each member Tribe, or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that a partnership of Indian Tribal governments exists. Documentation that demonstrates that member Tribes authorize the consortium to apply for and receive assistance may consist of a Tribal council resolution from each Tribe or other written certification from a duly authorized representative of each Tribal government that clearly demonstrates that the Tribe authorizes the consortium to apply for and receive the grant on behalf of the Tribe. An intertribal consortium resolution is not adequate documentation of the member Tribes authorization of the consortium unless it includes a written certification from a duly authorized representative of each Tribal government. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments and eligible Intertribal Consortia.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use the "Application for Federal Assistance: State and Local Non-Construction Programs" (Standard Form 424). Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

Applications are reviewed by the appropriate Regional Office and, if approved, financial assistance is awarded by the Regional Administrator or his/her delegated official. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days after deadline for application submission.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

In order to receive renewals, significant progress must be demonstrated. Renewals are subject to approval of EPA. Contact the EPA Regional contact identified in Appendix IV of the Catalog for additional information.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Award amount remains available until expended in accordance with the term of the award. The term of an award may exceed one year, but may not exceed four years. The term of the grant shall be determined at the time of the grant award. See the following for information on how assistance is awarded/released:
Other. The method of fund disbursement will be determined at the time of award.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations at 40 CFR Part 31 and 40 CFR Part 35, "Environmental Program Grants for Tribes." Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0103-0-1-304.

Obligations:

(Project Grants) FY 09 \$57,925,000; FY 10 est \$62,875,000; FY 11 est \$71,375,000

Range and Average of Financial Assistance:

The minimum award for the first year of the grant is \$75,000/fiscal year, max. \$400,000/fiscal year; avg. \$110,000/fiscal year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: EPA has implemented a new work plan reporting system to assist in tracking GAP progress and accomplishments. In FY 09, GAP funding was available to allow up to 500 eligible tribal governments and intertribal consortia to continue to develop and expand their environmental management programs and the work initiated in FY 08 and prior years. Fiscal Year 2010: The information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 102-497, Section 11, 42 U.S.C.4368(b), as amended by Public Law 103-155, November 24, 1993. The Agency published a notice for the Final Guidelines on the Award and Management of General Assistance Agreements for Indian Tribes at <http://www.epa.gov/indian/tgrant.htm>.

Regional or Local Office:

See Regional Agency Offices. EPA's Regional Indian Coordinators at <http://www.epa.gov/indian/region.htm>; George Frantz, Regional Indian Program Manager EPA Region 1 (CSP), 1 Congress Street, Suite 1100, Boston, MA 02114-2023 T: 617-918-1883/FAX: 617-918-1505/frantz.george@epa.gov; Christine Yost, Regional Indian Program Coordinator, USEPA Region 2, 290 Broadway, New York, New York 10007-1866, T: 212-637-3564/FAX: 212-637-3772/yost.christine@epa.gov; W. J. (Bill) Patton, Tribal Relations Program Coordinator, USEPA Region 4, Atlanta Federal Center, 61 Forsyth Street, Atlanta, Georgia 30303, T: 404-562-8632/FAX:

404-562-9961/patton.bill@epa.gov; Luke Jones, Director, Indian Environmental Office, USEPA Region 5, 77 W. Jackson Boulevard, Chicago, IL 60604-3507, T: 312-353-2087/FAX: 312-353-9533/jones.luke@epa.gov; Randy Gee, Acting Tribal Affairs Team Leader and Regional Indian Coordinator Office of Environmental Justice and Tribal Affairs, USEPA Region 6, 1445 Ross Ave. (6RA-DT, Dallas, TX 75202 T: 214-665-8355/FAX: 214-665-6648/gee.randy@epa.gov; Wolfgang Brandner, Region 7 Tribal Program Coordinator, USEPA Region 7, PLMG/POIS, 901 N. 5th Street, Kansas City, KS 66101, T: 913-551-7381/FAX: 913-551-7053/brandner.wolfgang@epa.gov; Alfreda Mitre, Tribal Assistance Program Director, EPA Region 8 Tribal Assistance Program Mail Code 8P-TA, 1595 Wynkoop Street, Denver, Colorado 80202-1129 T: 303-312-6343/FAX: 303-312-6116/mitre.alfreda@epa.gov; Jean Gamache, Tribal Program Manager, USEPA Region 9 (CMD-3), 75 Hawthorne Street, San Francisco, CA 94105, T: 415-972-3554/gamache.jean@epa.gov; Sally Thomas, Manager, Tribal Trust and Assistance Unit, USEPA Region 10, 1200 Sixth Ave., Seattle, WA 98101 T: 206-553-2102/FAX: 206-553-0151/thomas.sally@epa.gov.

Headquarters Office:

Rodges Ankrah, American Indian Environmental Office (4104 M), Environmental Protection Agency, Ariel Rios Building, 1200 Pennsylvania Ave., NW., Washington, District of Columbia 20460 Email: ankrah.rodges@epa.gov Phone: (202) 564-0280.

Website Address:

<http://www.epa.gov/indian/contactinfo/regcontacts.htm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Purpose: GAP funds are intended to provide general assistance grants for planning, developing, and establishing the capability to implement programs administered by EPA in Indian country. Examples of past funded projects include: establishment of a water quality assessment program; an environmental assessment inventory; establishment of an environmental code; establishment of a multi-media program to address water quality, air quality, safe drinking water, solid waste, hazardous waste and pesticides; establishment of a management plan with emphasis on wastewater sludge reclamation and tire recovery; and establishment of a program to address underground storage tanks, SARA Title III, and radon. Fiscal Year 2010: The information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Proposals are approved by the individual EPA Regional offices according to the applicant's ability to achieve objectives outlined in Public Law 102-497, Section 11, the interim final regulation for programs implementation in the Federal Register on December 2, 1993, 40 CFR Part 35, Indian Tribes; and the Final Guidelines on the Award and Management of General Assistance Agreements for Indian Tribes. Proposals should contain schedules for progress reports that will contribute towards development of environmental management capacity building; provide for an on-going coordination and problem resolution; and show an adequate ability to meet the proposed objectives, record keeping, and reporting requirements.

66.931 INTERNATIONAL FINANCIAL ASSISTANCE PROJECTS SPONSORED BY THE OFFICE OF INTERNATIONAL AND TRIBAL AFFAIRS

FEDERAL AGENCY:

Office of International and Tribal Affairs, Environmental Protection Agency

AUTHORIZATION:

National Environmental Policy Act, Section 102(2)(F); Clean Water Act, Section 104, Public Law 95-217, 33 U.S.C 1251; Marine Protection, Research and Sanctuaries Act of 1972, Section 203; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, Public Law 92-516, 7 U.S.C 136; Clean Air Act, Section 103, Public Law 95-95, 42 U.S.C 7401; Toxic Substances Control Act,

Section 10, Public Law 94-469, 15 U.S.C 2601; Safe Drinking Water Act, Section 1442(a)&(c), Public Law 93-523; Solid Waste Disposal Act, Section 8001.

OBJECTIVES:

To protect human health and the environment while advancing U.S. national interests through international environmental collaboration. This mission is supported by these strategic objectives: 1) Reduce Exposure to Toxic Chemicals; 2) Improve Urban Air Quality; 3) Reduce Hazardous Waste and Improve Waste Management; 4) Limit Global GHG Emissions and Other Climate-Forcing Pollutants; 5) Improve access to Clean Water; and 6) Build Strong Environmental Institutions and Legal Structures.

Funding Priority - Fiscal Year 2010: EPA Safeguards air, water, and land for all Americans. International capacity-building plays a key role in protecting human health, communities and the environment by providing technical cooperation to help countries reduce air pollution, better manage air quality global climates, reduce the global use and emission of mercury, manage drinking & surface water quality, and provide technical assistance to restore the land and mitigate sources of land pollution. OITA will work to transfer appropriate air management tools and techniques to key countries and regions as we collaborate with partners to improve air quality. In addition, we will continue efforts to reduce global sources of persistent bioaccumulative toxins. Long-range and transboundary atmospheric transport and deposition of such toxics as Mercury, Persistent Organic Pollutants (POPs) such as polychlorinated biphenyls (PCBs), pesticides, dioxins, and furans continue to threaten human health and ecosystems. OITA will continue to promote the development of activities for managing drinking and surface water quality and provide technical cooperation, expertise, and assistance to help communities and countries preserve and restore the land and to mitigate sources of land pollution.

OITA plays a key role in ensuring trade-related activities sustain environmental protection. Initiatives relating to environmental trade and investment are carried out bilaterally, multilaterally or in connection with multilateral institutions such as the World Trade Organization (WTO), World Bank, European Union (EU), or Asia-Pacific Economic Cooperation Forum (APEC). Also, OITA will support protection of human health and ecosystem for North America through the United States participation in the North American Agreement on Environmental Cooperation and through leadership in the Commission on Environmental Cooperation (CEC), the Organization for Economic Cooperation and Development (OECD), and other international entities. EPA will provide targeted capacity building support under the environmental cooperation agreements developed parallel to U.S. free trade agreements.

U.S.-Mexico Border (to sustain and restore community health and preserve the ecological systems that support them) program is implement in partnership with the ten Border States and the government of Mexico with its corresponding states and tribes. It addresses the Agency's major themes of clean air, clean water, and clean land. The key areas of focus for the Border 2012 Program include improving water quality in the region; improving availability of low sulfur diesel fuel on the border; the stabilization of abandoned hazardous waste sites; removal of used tire piles along the U.S.-Mexico Border; defining baseline and alternative scenarios for air emissions reductions along the border region; and binational emergency preparedness drills and exercises at border sister cities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Discretionary funds are available for the awards under this program. Grants and cooperative agreements are available to support recipients' allowable direct cost expenditures incident to approved project plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policy, 40 CFR parts 30 and 31. Funding awarded for international research under these projects does not include research within the purview of EPA's Office of Research and Development. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, which submit applications proposing projects with significant technical merit and relevance to EPA's Office of International Affairs' mission. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions.

Credentials/Documentation:

Office of International and Tribal Affairs (OITA) may require applicants to submit documentation of non-profit status. OITA may request applicants to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. OIA may also ask applicants or principal investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. EPA requires final applications to be made on Standard Form 424. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. Under EPA Order No. 5700.5A1, Policy for Competition in Assistance Agreements (9/12/02), Assistance awards to Foreign Governments and to United Nations agencies and similar International Organizations, such as the Organization of American States and Organization for Economic Cooperation and Development (OECD), may be exempted from competition at the discretion of OITA. For competitive awards, Requests for Initial Proposals or Requests for Applications will specify application procedures.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. For non-competitive awards, OITA will conduct an administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

None. A standard grant application should be prepared and submitted as a new grant, which will be reviewed in the same manner as the original application and will compete for available funds. Generally, EPA incrementally funds grants and cooperative agreements. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to OITA's priorities, and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. EPA limits project periods to 5 years. Payments will be on an advance letter of credit or reimbursement basis; recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement. See the following for information on how assistance is awarded/released: Assistance is awarded by project.

Reports:

No program reports are required. No cash reports are required. Reporting requirements are identified at 40 CFR Parts 30 and 31. EPA may include additional information regarding the content and frequency of reporting requirements in the terms and conditions of the agreements. Progress reports are required under this program. Expenditure Reports are required under this program. Performance Monitoring is required under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 30 (non-profits and universities) or 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records must be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$2,300,000; FY 10 est \$3,000,000; FY 11 est

\$3,000,000

Range and Average of Financial Assistance:

Range: \$15,000 - \$300,000; Average: FY 2009 \$250,000, FY 2010 est. \$200,000 and FY 2011 est. \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Some examples of OIA accomplishments: OIA funded several international cooperative/grant projects during FY 2009, for the protection of human health and the environment in support of goal 4: 1) EPA promoted reduced use of mercury-containing products through international Mercury Products Partnerships, which work to exchange information and best management practices, improve mercury use and emission inventories, provide technical assistance, and conduct public education. EPA is also working with the Basel Secretariat to build capacity in developing countries to address mercury waste. 2) EPA addressed international sources of pollutants with China through Enhancing Cooperation on Climate Change, Energy, and the Environment. EPA is encouraging the phase-out of leaded gasoline in more than 180 countries, affecting more than 6.2 billion people, and introducing low levels of sulfur in gasoline to more than 45 countries, benefiting approximately 4 billion people. Through EPA efforts, more than 6,300 tons of obsolete pesticides have been inventoried and placed into safe storage in ten Arctic and sub-Arctic regions of Russia since 2003. This will reduce environmental releases and exposure of persistent organic pollutants (POPs) and mercury to the 17 million people residing in the Arctic. 3) The U.S. - Mexico Environmental Program (Border 2012) is a collaboration between the U.S. and Mexico to improve the environment and protect the nearly 12 million people living along the shared 2,000 mile border. Progress includes completion of greenhouse gas emissions inventories; removal of 4 million scrap tires; establishment of a post-graduate degree program at Mexico's Institute of Public Health; and implementation of 15 sister cities emergency response plans to better protect residents along the border. Fiscal Year 2010: The information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

These grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and Indian Tribes, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit institutions.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Iris Zeigler, Office of International Affairs, USEPA, 1200 Pennsylvania Ave., N.W., Washington, District of Columbia 20460 Email: zeigler.iris@epa.gov Phone: (202) 564-6614.

Website Address:

<http://www.epa.gov/oia/>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.469 Great Lakes Program; 66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks; 66.808 Solid Waste Management Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of OIA awarded international projects were to assist in: 1) "Managing Pollution from POPs and Other Toxic Substances in China"; 2) "Supporting the National Program of Action for Protection of the Arctic"; 3) "Promoting Cleaner Fuels and Vehicles in Developing and Transitioning Countries"; and 4) "Promoting Environmentally Sound Chemicals Management Worldwide". Fiscal Year 2010: The information for FY 2010 is not yet available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.940 ENVIRONMENTAL POLICY AND STATE SUSTAINABILITY GRANTS

State Sustainability Grants

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Comprehensive Environmental, Response, Compensation and Liability Act, Section 104(k)(6); Safe Drinking Water Act, Section 1442(a)&(c), 42 U.S.C 1(a)&(c); Clean Air Act, Section 103(b)(3), 42 U.S.C 7403(b)(3); Clean Water Act, Section 104(b)(3), 33 U.S.C 1254(b)(3); Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981; Toxics Substances Control Act, Section 10, 15 U.S.C 2609.

OBJECTIVES:

Competitions and assistance under this announcement would focus one or more of three topic areas: 1) supporting analyses, studies, evaluations, demonstration projects and conferences that lead to an improved understanding for states and communities of how to build greener economies, or provide improved capacity for states and through them, their communities, to implement strategies for adapting to climate change, and managing for sustainability; (2) promoting comprehensive, integrated (multi-media or cross-media) and transferable approaches to sustainable environmental protection and management; (3) promoting the adoption at state and local government levels of environmental outcome measurement and evaluation that are essential to measuring and transferring success. Funding Priority - Fiscal Year 2010: Although historically, the theme of our solicitation has been "Innovation in Permitting", new priorities may focus on ways for states and other government entities to promote innovative practices to build a greener economy, and other emerging themes. Funding Priority - Fiscal Year 2010: Historically, the theme of our solicitation has been "Innovation in Permitting". No grants were funded in FY 2010. Funding priorities in 2011 may reflect the emerging priority of applying innovation to promote a greener economy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved Environmental Policy and Sustainability projects plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under Part 1 and Part 2 of this program is available to States including the District of Columbia, educational and other not-for-profit institutions and foundations. The territories and possessions of the U.S. would also be considered eligible. EPA may make a separate competition available under Parts 1 and 2 of this program to federally-certified Native American Tribes. Assistance under Part 3 of this program is available to educational and other not-for-profit institutions, foundations and institutes to provide independent evaluation of projects or classes of projects that will be of use to other States, local governments, territories and Tribes in adapting approaches piloted by a state, local government, or territory for broader use. Additionally, under Section 3, EPA would provide assistance agreements to support symposia

that advance the practice, theory and policy of environmental evaluation and promotes the use of evaluation and evaluation tools by the states, Tribes, territories and local governments and other practitioners as a means to improve environmental sustainability management. EPA would issue separate solicitations for Part 1, Part 2, and Part 3 of this program. Some of EPA's statutes may limit assistance to specific types of interested applicants. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Under Part 1 of this announcement, State and local governments, U.S. territories and possessions. In a separate solicitation that could be issued under Part 1, Federally-certified Native American Tribes.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. EPA may ask applicants or principle investigators to provide curriculum vitae and relevant publications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 and A-110 must be used for this program and those forms are available on the EPA web site (http://www.epa.gov/ogd/grants/how_to_apply.htm). EPA requires final applications to be made on Standard Form 424. Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, 3903R, Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. Requests for Initial Proposals or Requests for Applications will specify application procedures. For information on the current or prior solicitations, please see the EPA State Innovation Grants website at <http://www.epa.gov/innovation/stategrants>. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For non-competitive awards, EPA will conduct an administrative evaluation to determine the adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevance of the project. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

EPA normally funds grants and cooperative agreements on a 12-month basis. However, EPA can negotiate the project period with each applicant based on project requirements. Most projects have been of a 1-3 year duration. For purposes of this program, EPA generally limits the project periods to 4 years although longer terms are possible under exceptional circumstances. Applicants are discouraged from proposing terms over 3 years. Method of awarding/releasing assistance: lump sum.

Reports:

EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Specific reporting requirements are also identified in the Grant Regulations Part 30 and Part 31. Program reports are required. No cash reports are required. Quarterly progress reports are required. Expenditure reports are required to be submitted as a part of the project budget along with the quarterly progress reports. Performance monitoring is required as specified in the workplan for specific projects, and are included in the progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

The record retention requirements of 40 CFR Part 31 (governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records and to substantiate changes in grants available to personnel authorized to examine EPA recipients grants and cooperative agreements records. Recipients must maintain all records until 3 years from the date of submission of final expenditure reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$565,000; FY 10 est \$0; FY 11 est \$300,000

Range and Average of Financial Assistance:

\$50,000 to \$350,000 per grant. The average historically for awards under this announcement is approximately \$225,000 for a 1-4 year assistance agreement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Seven Projects Completed and Results Reports at - <http://www.epa.gov/innovation/stategrants/finalreports.htm> . A total of sixteen of the forty projects funded under previous competitions have been completed and their results are also reported at that website. Fiscal Year

2010: No grants were awarded in FY 2010. Up to seven projects from previous rounds of competition are expected to be completed during FY 2010 Results reports for completed projects can be found at the program website - <http://www.epa.gov/innovation/stategrants/results> . Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Environmental policy and State sustainability grants and cooperative agreements are subject to EPA's General Grant Regulations (40 CFR Part 30 and 40 CFR Part 31). State governments costs will be determined in accordance with OMB Circular No. A-87.

Regional or Local Office:

See Regional Agency Offices. EPA encourages potential applicants to communicate with the appropriate EPA Regional Office listed in Appendix IV of the Catalog, and the Headquarters program contacts listed below.

Headquarters Office:

Cheryl R Brown, USEPA Headquarters, Ariel Rios Building, 1200 Pennsylvania Avenue, N. W., 1085T, Washington, District of Columbia 20460
Email: brown.cherylr@epa.gov Phone: (202) 566-0940.

Website Address:

<http://www.epa.gov/innovation/stategrants>

RELATED PROGRAMS:

66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act; 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act; 66.611 Environmental Policy and Innovation Grants; 66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: Two projects were funded totaling \$590K. Awards were made to Wisconsin and Washington State for projects on innovation in permitting programs; Fiscal Year 2010: No awards; Fiscal Year 2011: No Current Data Available. Fiscal Year 2010: No projects were funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.950 NATIONAL ENVIRONMENTAL EDUCATION TRAINING PROGRAM

(Training Program)

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Education Act, Section 5, Public Law 101-619.

OBJECTIVES:

To train educational professionals in the development and delivery of environmental education programs. As required by Public Law 101-619, funds are provided to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. Funding Priority - Fiscal Year 2010: EPA awards only one cooperative agreement to a university, non-profit organization, or a consortia to operate the program on a multi-year basis. The training and support must be provided for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training, promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials. The training program to be operated from October 1, 2005 through September 30, 2010 must provide training and support for both formal and non-formal educators, occur in both pre-service and in-service settings, and reach geographically and culturally

diverse audiences across the U.S. The outputs should include: delivering in-service and pre-service educator training, promoting the national environmental education guidelines, supporting state capacity building, developing and institutionalizing a materials review process, supporting the accreditation of teachers, supporting state educator certification efforts, and supporting internet access to information and materials.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used to establish an education and training program which shall include, at a minimum: (1) classroom training in environmental education and studies including environmental sciences and theory, educational methods and practices, environmental career or occupational education, and topical environmental issues and problems; (2) demonstrations of the design and conduct of environmental field studies and assessments; (3) development of environmental education programs and curricula, including programs and curricula to meet the needs of diverse ethnic and cultural groups; (4) sponsorship and management of international exchanges of teachers and other educational professionals between the United States, Canada, and Mexico involved in environmental programs and issues; (5) maintenance or support of a library of environmental education materials, information, literature, and technologies, with electronic as well as hard copy accessibility; (6) evaluation and dissemination of environmental education materials, training methods, and related programs; (7) sponsorship of conferences, seminars, and related forums for the advancement and development of environmental education and training curricula and materials, including international conferences, seminars, and forums; (8) supporting effective partnerships, networks, and the use of distant learning technologies; and (9) such other activities as the Administrator determines to be consistent with the policies of the Act. No funds made available for this program shall be used for the acquisition of real property (including buildings) or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is available to universities, non-profit organizations, or a consortia of such institutions to deliver environmental education training and support for education professionals. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Environmental Education Division may ask applicants or principal investigators to provide curriculum vitae and relevant publications. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

A solicitation notice is issued for this program once every 5 years. The notice identifies the application process. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to

applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The next solicitation notice which describes the application procedure for the next training program that will operate from October 1, 2010 through September 30, 2015, subject to the availability of funds, is expected to be posted on <http://www.grants.gov> by March 2010. The last solicitation notice was issued March 18, 2005. The solicitation process for this program is conducted every five years. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

This program is subject to the provisions of OMB Circular No. A-110. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The next award will not be made until September 30, 2010.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Environmental Education Division's program priorities, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-Federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The training program consists of an initial one year budget and project period subject to annual supplemental funding agreements for up to five years. See the following for information on how assistance is awarded/released: This program operates on a five year basis. Funds are initially awarded for a one year project period. Subsequent supplemental funding awards on an annual basis for the next four years.

Reports:

No program reports are required. No cash reports are required. The grantee must submit bi-annual and final progress reports. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Cooperative Agreements) FY 09 \$2,244,750; FY 10 est \$2,259,500; FY 11 est \$2,259,500

Range and Average of Financial Assistance:

This is a 5-year program, one recipient is selected every 5 years. The project is funded on an annual basis and the amount of the annual funding depends on Congressional appropriation. The range and average financial assistance for the full 5-year project period is approximately \$8.5 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: (1) Trained hundreds of thousands of teachers and other education professionals in scientifically-sound and pedagogically-effective environmental education; (2) published a series of national environmental education guidelines for: what constitutes quality EE materials, what K-12 students need to know about the environment to become environmentally literate, what educators need to know to teach effectively about the environment, what constitutes effective non-formal EE programs; and what pre-school children need to learn about the environment; (3) provided leadership and strategic planning training and support to more than 25 states to ensure coordinated and effective statewide EE programs; (4) supported state EE certification efforts in several states; (5) developed four university accredited on-line EE courses that introduce educators to EE, teach educators how to evaluate EE programs, teach natural resource education professionals about strategic planning, and help EE programs reach culturally diverse audiences; and (6) developed and maintained a state-of-the-art Internet site called "EE-Link" to enable educators to access quality information and resources about environmental education. More information on program accomplishments is available at <http://www.eetap.org>. Fiscal Year 2010: Program accomplishments same as for FY 2009. This is an ongoing five year program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 30, 31, 32, 33, 45, 47; program guidance; Federal Register (Invitation for Pre-proposal, July 2, 1991); Public Law 101-619.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office:

Kathleen MacKinnon, Environmental Education Division, 1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: mackinnon.kathleen@epa.gov Phone: (202) 564-0454.

Website Address:

<http://www.epa.gov/enviroed>

RELATED PROGRAMS:

66.951 Environmental Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Environmental education activities such as training for in-service and pre-service educators; promotion of the national environmental

education guidelines; support for state capacity building; the development of a materials review process; support for accreditation efforts at universities; support for state educator certification programs; and support for internet access to information and materials. More information is available at <http://www.eetap.org>. Fiscal Year 2010: Same as FY 2009. This is an ongoing five year program. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Priorities for selecting proposals are listed in Section 5 of Public Law 101-619, National Environmental Education Act. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.951 ENVIRONMENTAL EDUCATION GRANTS

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Education Act, Section 6, Public Law 101-619.

OBJECTIVES:

To support projects to design, demonstrate, or disseminate practices, methods, or techniques related to environmental education and teacher training. As required by Public Law 101-619, this grant program provides financial support for environmental education projects implemented by schools, universities, state and local government environmental and educational agencies, tribal education agencies, and nonprofit 501 (c)(3) organizations. Funding Priority - Fiscal Year 2010: All grant projects must address one of the following educational priorities: (1) EE Capacity Building: Building capacity to develop, deliver, and sustain comprehensive environmental education programs across a state or across multiple states. Steps include developing effective leaders and organizations which create strategic plans to implement and link environmental education programs to promote long term programs and to decrease fragmentation of effort and duplication across programs; (2) Education Advancement: Utilizing environmental education as a catalyst to advance state or local education improvement goals; (3) Community Projects: Addressing environmental stewardship in local context and using community-based stewardship activities as the primary teaching tool; (4) Human Health and the Environment: Educating teachers, students, community leaders, or the public about human-health threats from environmental pollution, especially as it affects children, and how to minimize human exposure to preserve good health; (5) EE Teaching Skills: Providing pre-service and in-service professional development for teachers, faculty, or non-formal educators to improve their teaching skills and/or knowledge about environmental issues and content, such as sustainability, water and air quality, chemical risks, hazardous wastes, climate change and greenhouse gas emissions; or (6) Career Development: Educating students in formal or non-formal settings about environmental issues for the purpose of encouraging interest in environmental careers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds shall be used to establish an education program which shall include, at a minimum: (1) design, demonstration, or dissemination of environmental curricula and materials on specific topics for which there are no existing materials; (2) design and demonstration of field methods, practices, and techniques, including review of environmental and ecological conditions and analysis of environmental and pollution problems; (3) projects to understand and evaluate a specific environmental issue or a specific environmental problem; (4) provision of training or related education for teachers, faculty, or related personnel in a specific geographic area or region; and (5) design and demonstration of projects to foster international cooperation in addressing environmental issues and problems involving the United States and Canada or Mexico. Priority will be given to those projects which will develop: (a) a new or significantly improved environmental education practice, method, or technique; (b) an environmental education method which may have wide application; (c) an environmental education method which addresses skills or scientific fields identified as a priority in the report developed by the National Environmental Education Advisory Council; and (d) an environmental education project which addresses an environmental issue which, in the

judgment of the Administrator, is of a high priority. More specific priorities are listed above under Objectives and are specified in the annual Solicitation Notice. Restrictions: No funds made available for this program shall be used for technical training of environmental management professionals; for advocacy or lobbying; for acquisition of real property (including buildings); or the construction or substantial modification of any building. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <http://geodata.epa.gov>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Assistance under this program is generally available to local education agencies, colleges and universities, state education and environmental agencies, nonprofit organizations described in Section 501(c)(3) of the Internal Revenue Service, and noncommercial educational broadcasting entities as defined and licensed by the Federal Communications Commission. Applicant organizations must be located in the United States or territories and the majority of the educational activities must take place in the United States, Canada, or Mexico. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Education (0-8), education (9-12), education (13+), nonprofit institutions.

Credentials/Documentation:

Documentation of nonprofit status may be required. Applicants must demonstrate that they have appropriate background, academic training, and experience in the field, and may be asked to demonstrate the necessary equipment or facilities to carry out the project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The annual solicitation notice for proposals and grant writing tips are available on the Agency's website listed below. Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants are accepted on an annual grant cycle and are due at the deadline stated below. Applicants submit an application package in the format required in the annual Solicitation Notice. Applicants submit an application package to their respective EPA Regional Grants Management Offices for grants requesting \$50,000 or less in Federal funds; and to the EPA Headquarters for larger grants. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA. However, where possible it is preferable to submit applications and attachments on paper via mail or delivery services.

Award Procedure:

Applications for a Federal share of \$50,000 or less are to be submitted to and evaluated by the respective EPA Regional Offices. Applications for a larger Federal dollar amount are to be submitted to and evaluated by the Office of Environmental Education, (1704A), 1200 Pennsylvania Ave., N.W., Washington, DC 20460. For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms,

conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements. The Agency will then advise the applicant if funding is being considered. A final work plan will then be negotiated with the applicant. All awards are competed through the annual announcement and no proposals are awarded non-competitively.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In accordance with the National Environmental Education Act (Public Law 101-619), federal funds for any project under this section shall not exceed 75 percent of the total cost of such project. The project has a 25 percent non-federal match required by statutory formula. For the purposes of this section, the non-federal share of project costs may be provided by cash or by in-kind contributions and other non-cash support.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The majority of the grants awarded in this program have been completed in a one-year project period. However, up to two year project periods are possible depending upon the nature and complexity of the project. Activities must be completed within the time frame of the budget period. Two or more concurrent grants to the same organization are not allowed in this program. Grants may be incrementally or fully funded. This determination is made by the EPA. See the following for information on how assistance is awarded/released: As requested by the grantee periodically.

Reports:

No program reports are required. No cash reports are required. Recipients of grants are expected to submit progress reports on at least a semi-annual basis. Final reports and two copies of all grants products are due within 90 days of the close of the budget period. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement, must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$3,180,914; FY 10 est \$3,400,000; FY 11 est \$3,100,000

Range and Average of Financial Assistance:

Range \$5,000 to \$200,000 per grant. Average financial assistance: Regional grants \$15,000 to \$20,000 per grant and Headquarters about \$100,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Each year, Headquarters awards approximately 10 grants and the ten EPA Regional Offices award 10 to 15 each for a total of about 135 grants nationwide. In a typical annual grant cycle, these Environmental Education Grants educate approximately 6,000 teachers, 20,000 students, and 12,000 members of the general public. In Fiscal Year 2008, the issuance of grants followed the typical grant pattern, awarding 12 grants from a pool of 105 grant applications, and the 10 EPA Regional offices awarded almost 135 grants from a pool of over 850 grant applications. For the 2009 grant cycle, the ratio of applications to awards approximated past patterns: 14 grants were awarded from a pool of 110 (a little less than 10 percent) headquarters applications and 70 grants were awarded out of approximately 400 applications received in the regions, or just under 20 percent. Fiscal Year 2010: The solicitation closed in December 2009 and a record number of applications were received: 337 at Headquarters and over 1200 in the regions. It is anticipated that the percentages of awards will thus be smaller this year than previous years, possibly as low as 3 percent of applications received overall. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Parts 7, 12, 30, 31, 32 Subpart F, 33, 47; Public Law 101-619, and the Office of Environmental Education 2008 Grant Program Solicitation Notice to be issued in the fall of 2007.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog and on the website www.epa.gov/enviroed/grants.

Headquarters Office:

Karen Scott, Environmental Education Grant Program 1704A), Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, District of Columbia 20460 Email: scott.karen@epa.gov Phone: (202) 564-2194.

Website Address:

<http://www.epa.gov/enviroed>

RELATED PROGRAMS:

66.950 Environmental Education and Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Five examples of projects funded this year include:

A project to involve high school students from an urban, low income area of a major U.S. city in learning about greenhouse gas emissions and how to reduce them in order to help their city reach its goal set in its climate action plan. The project will also provide a green jobs fair designed to demonstrate to the students how their new found knowledge and skills can be used later in "green jobs".

A project to build the capacity of a state to provide high quality, science-based environmental education by providing professional development institutes for formal and non-formal educators to learn how to teach about local air quality issues based on the state's new ozone standards.

A project to enhance students' critical thinking and problem solving skills in an urban K-12 program by getting them involved in the strategies and implementation of methods to reduce stormwater runoff - and thereby also setting the basis for a lifelong attitude of environmental responsibility and stewardship.

A project to increase environmental awareness and knowledge of young children (under age 10) by producing short videos that demonstrate the breadth of resources it takes to produce everyday, familiar items like pencils, appliances, and clothing, and by providing materials (online) for educators to use along with the videos to help students understand issues around sustainability of natural resources.

A project that provides professional development to K-12 educators in formal and non-formal settings to learn how to integrate the content and processes of experiential, restoration-based education into their curriculum. Opportunities for the educators to partner with each other and with a fish and wildlife agency in order to restore schoolyard habitats and nearby natural areas are also provided.

Also see the Office of Environmental Education website at: www.epa.gov/enviroed for a complete list with descriptions by State. Fiscal Year 2010: Not applicable; FY 2010 awards have not yet been made. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Basis and priorities for selecting proposals are listed in Section 6 of Public Law 101-619, National Environmental Education Act, and in the annual Solicitation Notice which can be accessed at www.epa.gov/enviroed on the internet. The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement.

66.952 NATIONAL NETWORK FOR ENVIRONMENTAL MANAGEMENT STUDIES FELLOWSHIP PROGRAM

(NNEMS Fellowship Program)

FEDERAL AGENCY:

Office of the Administrator, Environmental Protection Agency

AUTHORIZATION:

National Environmental Education Act, Section 7, Public Law 101-619; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20, 7 U.S.C 136r; Toxic Substances Control Act, Section 10, 15 U.S.C 2609; Clean Air Act, Section 103(b)(5), 42 U.S.C 7403(b)(5); Clean Water Act, Section 104(b)(5)&(g)3B, 33 U.S.C 1254(b)(5)&g3B; Comprehensive Environmental Response, Compensation, and Liability Act, Section 311, 42 U.S.C 9660; Safe Drinking Water Act, Section 1442, 42 U.S.C 300j-1; Solid Waste Disposal Act, Section 8001, 42 U.S.C 6981.

OBJECTIVES:

To provide students an opportunity to participate in a research project that is directly related to their field of study. To foster a growing interest among higher education students in environmental careers. To help defray the costs associated with the pursuit of academic programs related to the field of environmental protection, such as pollution control, science, engineering, technology, social science, and specialty areas. Funding Priority - Fiscal Year 2010: Includes research projects in the following categories: 1) Environmental Policy, Regulation, and Law; 2) Environmental Management and Administration; 3) Environmental Science; 4) Public Relations and Communications.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds are to provide resources to allow for training and research fellowships relating to environmental issues. No matching funds are required.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009.

Applicant Eligibility:

Applicant Eligibility: A NNEMS Fellowship is available to any associate,

undergraduate, graduate, or advanced student who meets the general criteria listed below, as well as those identified for associate, undergraduate, graduate and advanced students. At a minimum, all applicants must be: 1) A citizen of the United States, its territories or possessions, or lawfully admitted to the United States for permanent residency. 2) Enrolled for academic credit at a college or university, or distance-learning institution accredited by a regional or national accrediting organization recognized by the United States Department of Education or the Council for Higher Education Accreditation. Associate and Undergraduate Students: Students attending 2- or 4-year institutions or distance learning institutions must meet the following requirements: 1) 3.0 cumulative grade point average (GPA) based on a scale of 4.0 at the time that the application is due. 2) Completion of at least four courses related to the field of environmental studies. Graduate and Advanced Students: Students enrolled in graduate or doctoral programs must meet the following requirements: 1) Currently enrolled in a graduate or Ph.D. program or can provide proof of acceptance and enrollment to a graduate or Ph.D. program at the time of fellowship award. 2) Completion of at least one semester of graduate or Ph.D. work, or at least four undergraduate courses related to the field of environmental studies. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility:

Students.

Credentials/Documentation:

Students are required to submit an official transcript from each 2- or 4-year college or university, or distance learning institution attended. A student must submit verification of acceptance and/or enrollment in a graduate or Ph.D. program if the applicant is a graduating senior. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Students who are interested in applying for a NNEMS fellowship must submit four complete application packages (one original and three copies) that include: 1) A completed NNEMS application, 2) SF 424, 3) A resume, 4) A completed NNEMS reference form, 5) A completed NNEMS Disclosure and Waiver Statement, 6) Verification of acceptance and/or enrollment in a graduate or Ph.D. program if the applicant is a graduating senior, and 7) An official transcript for each 2- or 4-year college or university, or distance learning institution attended. Official transcripts should be opened and photocopied as three copies. NNEMS application forms can be obtained on our web site at: www.epa.gov/enviroed. Applicants must submit their completed application package to: NNEMS Fellowship Program, Tetra Tech EM Inc., 1881 Campus Commons Drive, Suite 200, Reston, VA 20191. Students selected for a NNEMS Fellowship must fill out a Fellowship Application (EPA Form 5770-2), a Fellowship Facilities and Commitment Statement (5770-3), and a NNEMS Fellowship Application. Applicants may be able to use <http://www.grants.gov> to electronically apply for certain grant opportunities under this CFDA.

Award Procedure:

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable.

Renewals:

Grants are solicited through competitions and are not renewed.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

NNEMS Fellowships are awarded for three months full-time and up to three years part-time. Students receive payment in the form of a stipend typically paid in equal monthly payments throughout the duration of the fellowship period. See the following for information on how assistance is awarded/released: Stipends are awarded according to Project Officer's disbursement plan.

Reports:

Reporting requirements will be stated in the Terms and Conditions of the official grant award documents. Recipients must submit original final reports to the EPA Project Officer. The final report should be submitted on the last day of the fellowship. Unless directed otherwise by the EPA Project Officer, the final report should summarize the specific project undertaken by the student and the project results. Program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year.

Records:

Pursuant to 40 CFR Part 46- Fellowships, Subpart E-46.230, Closeout Procedures: NNEMS Fellows must retain all records related to the fellowship for 3 years following the completion date identified on the Completion of Studies Notice. The EPA, the Inspector General, and the Comptroller General of the United States, and any duly authorized representatives have the right of timely and unrestricted access to a student's documents, papers, or other records related to a fellowship in order to make audits, examinations, excerpts, transcripts, and copies of such documents. The rights of access in this paragraph are not limited to the required retention period but shall last as long as those records are retained.

Account Identification:

68-0108-0-1-304.

Obligations:

(Project Grants) FY 09 \$316,085; FY 10 est \$450,000; FY 11 est \$450,000

Range and Average of Financial Assistance:

Recipients of NNEMS fellowships receive a grant award in the form of a stipend, based on the student's level of education and the duration and location of the project. Grant awards range from approximately \$7,400.00 to \$12,600.00 per award for a 3-month, full-time period. The average award is for approximately \$10,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Each year, the NNEMS program offers projects, developed and sponsored by EPA Headquarters in Washington, DC and in EPA's 10 regional offices and laboratories throughout the U.S. EPA has awarded approximately 1,400 fellowships under the NNEMS program since its inception in 1986. Each year, EPA announces the available projects offered by EPA offices and students from colleges and universities nationwide make application to do the research or other environmental studies and tasks that are educational and promote environmental careers. In 2008, 142 applications were received and 18 applicants were awarded a NNEMS fellowship of which the average stipend amount was about \$13,000. In 2009, 345 applications were received and 25 applicants were awarded a NNEMS fellowship with the average amount of the stipend being about \$13,000. In 2010, EPA anticipates approximately 40-45 applicants will be awarded a fellowship, with \$600,000 as the estimated total amount of awards. Fiscal Year 2010: Not applicable; FY 2010 awards have not yet been made. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR Part 46-Fellowships and 40 CFR Part 30-General Regulations for Assistance Programs.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Ginger Potter, Office of Environmental Education MC1704A), Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: potter.ginger@epa.gov Phone: (202) 564-0453.

Website Address:

<http://www.epa.gov/enviroed/>

RELATED PROGRAMS:

66.509 Science To Achieve Results (STAR) Research Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: NNEMS fellows are placed in EPA Headquarters and Regional offices and laboratories nationwide. They work on a variety of EPA developed research projects and environmental topics relating to the following five categories: Environmental Policy, Regulation, and Law; Environmental Management and Administration; Environmental Science; Public Relations and Communications; and Computer Programming and Development. Examples of projects offered by the NNEMS fellowship program relating to the above categories are as follows: 1) Environmental Policy, Regulation and Law: Fellowships offered in this category may provide students an opportunity to review and evaluate existing policies and regulations, or perhaps conduct research related to the development of new policies. A recently funded project involved a student working with EPA staff to analyze international air quality issues and approaches related to capacity building work with China. The student gained insight into international air pollution issues and be exposed to EPA, federal government, and bilateral and multilateral international programs that address air quality. 2) Environmental Management and Administration: The fellowships offered in this category may focus on environmental management goals. A recently funded project involved a student conducting research and learning about energy efficiency, green purchasing, and green buildings. The student also analyzed environmental measurement goals, such as expected greenhouse gases reduced, electricity saved, and gallons of water diverted. 3) Environmental Science: Research projects in this category typically include direct participation in field studies and laboratory research. A recently funded project involved a student researching the implications of low-impact development (LID) in watershed restoration. The student gained an understanding of the principles and philosophy of "green infrastructure" and LID and its relationship with the Green Highway Program (GHP) and watershed resources protection and preservation. 4) Public Relations and Communications: These types of projects may include the review and analysis of public response to EPA policies and regulations, as well as general public opinion of environmental issues. These projects may also include assisting with the development of communication tools. A recently funded project involved a student conducting research and analysis on the content and design of a regional website and other communications. For a complete listing of NNEMS 2009 project descriptions, see: <http://www.epa.gov/enviroed/NNEMS>. Fiscal Year 2010: NNEMS fellows are placed in EPA Headquarters and Regional offices

and laboratories nationwide. They work on a variety of EPA developed research projects and environmental topics relating to the following five categories: Environmental Policy, Regulation, and Law; Environmental Management and Administration; Environmental Science; Public Relations and Communications; and Computer Programming and Development. Examples of projects offered by the NNEMS fellowship program relating to the above categories are as follows:

1) Environmental Policy, Regulation and Law: Fellowships offered in this category may provide students an opportunity to review and evaluate existing policies and regulations, or perhaps conduct research related to the development of new policies. A recently funded project involved a student working with EPA staff to The fellow research issues related to energy development and transportation infrastructure planning and implementation and will learn about specific environmental issues related to a particular project or sector of projects. The fellow will gain valuable insight into the roles of different stakeholders in the process and the impacts of these projects on communities. 2)

Environmental Management and Administration: The fellowships offered in this category may focus on environmental management goals. A recently funded project involved a student working with EPA staff to: (1) review and identify electrical equipment via electrical as-built-drawings; (2)conduct research in the development of a sub-metering project; and (3) update and maintain data via an AutoCAD database. The student will increase his or her knowledge of the software development lifecycle, software functionality, quality assurance review, AutoCAD drawings; purpose of AutoCAD layering function; facility data identification; facility data management; and energy conservation. 3) Environmental Science: Research projects in this category typically include direct participation in field studies and laboratory research. The fellow will perform research on available innovative approaches for treating DNAPL contamination at sites. The fellow will review current treatment methods and case studies of Superfund sites where the methods have been applied. 4) Public Relations and Communications: These types of projects may include the review and analysis of public response to EPA policies and regulations, as well as general public opinion of environmental issues. These projects may also include assisting with the development of communication tools. A recently funded project will involve analytical investigations of the AIRNow Web tools, which provide the public with easy access to national air quality information. In addition, the fellow will research the marketing efforts of the AIRNow program, EnviroFlash, which is a system that sends e-mails about daily air quality forecasts to subscribers. The fellow will explore state-of-the-art Web analytical tools, such as Google Analytics and CrazyEgg, to gather Web usage data from the AIRNow Web site (www.airnow.gov). The fellow will gain insight into Web analytical tools and the marketing efforts used to promote the air quality notification system, EnviroFlash. For a complete listing of NNEMS 2010 project descriptions, see:<http://www.epa.gov/enviroed/NNEMS>. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Applicants must meet all of the basic eligibility requirements. Eligible applications then will be reviewed by panels comprised of EPA staff members. Applications will be evaluated based on the following criteria: 1) relevancy of classroom experience of the student as it relates to the EPA research project, 2) the student's understanding of the proposed EPA research project subject matter, 3) relevancy of work experience of the student (whether volunteer activities, internships, or paid jobs) as it relates to the EPA research project, 4) relevancy of the student's academic studies as it relates to the EPA research project, 5) leadership skills, written communication skills, and demonstrated success at working well in an office, laboratory, or field environment, as appropriate to the project, and 6) potential for success, as reflected by academic records, letters of reference, and other relevant information. Applications that are scored highly by the panels of reviewers are sent to NNEMS staff and EPA project officers for selection consideration.

NUCLEAR REGULATORY COMMISSION

77.006 U. S. NUCLEAR REGULATORY COMMISSION NUCLEAR EDUCATION GRANT PROGRAM

Nuclear Education Grant Program - Curricula Development

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

The Energy Policy Act of 2005, Section 651(c)(1), Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051(b).

OBJECTIVES:

To provide assistance to accredited colleges and universities in support of courses, studies, training, curricula, and disciplines relevant to nuclear safety, security, environmental protection, or any other field that the Commission determines to be critical to its regulatory mission.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as the salaries, materials and supplies, equipment, limited travel, publication costs and services required for conducting the curricula development related activities. Restrictions on use of funds are specified in the funding opportunity announcement or the award document. Funding is provided for the purpose of improving or supporting curricula that does not necessarily duplicate work already in process or contemplated by NRC, is not already known to NRC, or has previously unrecognized merit.

Applicant Eligibility:

Applicants must be an accredited U.S. public and private institutions of higher education.

Beneficiary Eligibility:

Accredited U.S. public and private institutions of higher education will benefit.

Credentials/Documentation:

Administration and cost will be determined in accordance with guidance in 2 CFR 215 and 2 CFR 220, respectively, for institutions of higher education. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Letters of intent may be requested as stated in the funding opportunity announcement posted at Grants.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. OMB Circular No. 2CFR 215 applies to this program. The NRC will accept electronic applications only from eligible organizations submitted as specified in the funding opportunity announcement (FOA) posted at www.grants.gov. Apply using the electronic application package required in the FOA. Responding to a FOA ensures that the correct application package is used and enables NRC to receive the application from Grants.gov.

*NOTE: FOA is Grants.gov's terminology for what NRC refers to as Program Announcement (PA), Agency Announcement (AA) or Funding Notice (FN).

Award Procedure:

Applications will be screened for relevance and then reviewed for scientific and technical merit by qualified individuals based on a set of criteria stated in the funding opportunity announcement. Recommendations for award are made by the review panel via the U. S. Nuclear Regulatory Commission Office of Human Resources Training Division, and the selected applications will be forwarded to the U.S. Nuclear Regulatory Commission, Office of Administration, Division of Contracts, for grant negotiation and award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Matching is not required but encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for grants are normally up to two years and shall not exceed three (3) years at intervals determined at the time of award. Funds must be expended by the recipient organization within the project period of performance or as specified in the award. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The semi-annual progress report (reported on SF-PPR, SF-PPR-B, and SF PPR-E) requirements will be stipulated in the terms of award in compliance with 2 CFR 215.51. The Recipient shall submit a "Federal Financial Report" (SF-425) at intervals specified in the award to the Grants Officer and Program Office in compliance with 2 CFR 215.52. Performance monitoring will be conducted by NRC staff as stipulated in the terms of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must comply with 2 CFR 215.53, "Retention and Access Requirements for Records."

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 09 \$4,800,000; FY 10 est \$4,700,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Award amounts range from \$50,000 to \$150,000 in FY 2009 with an average of \$108,000 for 12 months of financial assistance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 U.S.C. 2051(b); 2 CFR 215 and 220. Technical and administrative information for this program are available at <http://www.nrc.gov/about-nrc/grants.html>

Regional or Local Office:

None.

Headquarters Office:

John Gutteridge, 11555 Rockville Pike, Mail Stop: W5-A6, Rockville, Maryland 20852 Email: John.Gutteridge@nrc.gov Phone: (301) 492-2313.

Website Address:

www.nrc.gov .

RELATED PROGRAMS:

77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria (as stated in the funding opportunity announcement) for

support is based on the soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation, and dissemination plans. The applicant's capability to perform the project is assessed to the degree that the project will strengthen its teaching or research capacity; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives.

77.007 U.S. NUCLEAR REGULATORY COMMISSION MINORITY SERVING INSTITUTIONS PROGRAM (MSIP)

NRC Minority Supporting Institutions Program

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

Energy Policy Act of 2005, Section 651.c.4 and 622, Public Law 109-58, 42 U.S.C 2051(b); Executive Order 13256, 13270, and 13230.

OBJECTIVES:

To fund minority serving programs and activities, projects, symposiums, and training for the exchange and transfer of knowledge and skills relevant to nuclear safety, security, environmental protection, or any other fields the Commission deems critical to its mission. To provide assistance for minority serving programs and activities to include, but not limited to, mentoring, leadership development, training, instruction, developmental learning, research and development, program evaluation, and technical assistance. To provide assistance to include direct institutional subsidies, facilities and equipment acquisition, internships, fellowships, scholarships, and tuition and housing assistance.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as salaries, stipends, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training and related activities. Restrictions on use of funds depend on grant provisions, the nature of assistance provided, and the purpose(s) for which the programs and activities were funded to achieve.

Applicant Eligibility:

Minority Serving Institutions and organizations that provide services to Minority Serving Institutions and their students and faculty.

Beneficiary Eligibility:

U.S. public and private Minority Serving Institutions and their students and faculty will benefit.

Credentials/Documentation:

Cost will be determined in accordance with Office of Management and Budget Circulars and requirements in 2 CFR 215-230. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Letters of intent are required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Proposals should be submitted as specified in the funding opportunity announcement that will be posted at www.grants.gov.

Award Procedure:

Proposals will be screened for relevance, and, where required, reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the review panel via U.S. Nuclear Regulatory Commission Office of Small Business and Civil Rights (SBCR), and the selected proposals will be forwarded to U.S. Nuclear Regulatory Commission, Office of Administration, Division of Contracts for development and award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Proposals for renewal are subject to review and acceptance by the Nuclear Regulatory Commission Office of Small Business and Civil Rights.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project periods for grants shall not exceed five (5) years at intervals determined at the time of the award. Funds must be obligated within the approved project period. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. No cash reports are required. Progress report requirements will be stipulated in the terms of award in compliance with 2 CFR 215.5. Federal Financial Reports (SF-425) must be submitted to the Agency in compliance with 2 CFR 215.51 and 2 CFR 215.52, at intervals stipulated in the terms of award. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must comply with 2 CFR 215.53, "Retention and Access Requirements for Records."

Account Identification:

31-0200-0-1-276.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,392,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

Award amounts range from \$5,400 to \$117,500 with an average of \$67,000 in FY2009.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines for The Minority Supporting Institution Program is on line at <http://www.nrc.gov/about-nrc/grants/minority-serving-institutions-program.pdf>

EPA Section 651(c) (4), 42 U.S.C. 2051(b); 2 CFR 215 and 220.

Regional or Local Office:

None.

Headquarters Office:

Ms. Tuwanda M. Smith, Esq. Two White Flint North, 11545 Rockville Pike , Rockville, Maryland 20852 Email: EEOPrograms@nrc.gov Phone: 301-415-7394

Website Address:

www.nrc.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Soundness of the proposed approach including objectives, methodology, plan of operation, timetable, expected products and results, evaluation and dissemination plans. The applicant's ability to perform the project is assessed relative to the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to the program objectives in the funding opportunity announcement.

77.008 U.S. NUCLEAR REGULATORY COMMISSION SCHOLARSHIP AND FELLOWSHIP PROGRAM

NRC Scholarship/Fellowship and Faculty Development Programs

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

Energy Policy Act of 2005, Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051(b).

OBJECTIVES:

This Scholarship and Fellowship program provides funding for undergraduate scholarships, graduate fellowships, trade school and community college scholarships, and faculty development grants. The program allows students to pursue an education in science, engineering, or another field of study that the Commission determines is in a critical skill area related to its regulatory mission. Scholarship and fellowship recipients need to be enrolled in a trade school or postsecondary accredited educational institution; programs accredited by an accrediting agency or state approval agency; registered apprenticeship programs; a professional degree or graduate program offered by an accredited institution of higher education in the United States.

The activity supported by this funding is intended to benefit the nuclear sector broadly. Consequently, NRC requires scholarship and fellowship students to serve 6 months in nuclear-related employment for each full or partial year of academic support. The employment may be with NRC, other Federal agencies, State agencies, Department of Energy laboratories, nuclear-related industry, or academia in the students sponsored fields of study. Recipients of scholarships and fellowships must commit to the service obligation agreement.

The program also supports faculty development in the nuclear-related fields of Nuclear Engineering, Health Physics, Radiochemistry and related disciplines as determined by the NRC. The program specifically target probationary, tenure-track faculty in these academic areas during the first 6 years of their career.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides funding to accredited post-secondary schools and institutions of higher education to provide scholarships, fellowships, and stipends to develop a cadre of professionals, and a workforce capable of supporting the design, construction, operation, and regulation of nuclear facilities and the safe handling of nuclear materials. Faculty development grants provide support for developing applications for research, small amounts for initiating or continuing research projects in their areas of expertise, and expenses associated with an institutions faculty development plan.

Funds are managed by the awardee institution. Primary responsibility for general supervision of all grant activities rests with the grantee institution. Funds may not be used for purposes other than those specified in the award. Fellowships, scholarship and stipends are paid to the students and fellows directly through his/her institution. Scholarship and fellowship recipients are required to comply with a service obligation agreement for a period of 6 months for every year or partial year of support received.

Applicant Eligibility:

Applicants must be an accredited U.S. public or private institutions of higher education.

Beneficiary Eligibility:

Undergraduate and Graduate students of four year accredited public or private institutions of higher educations. Beneficiaries also include students in a technical or trade program within an accredited Trade School or Community College.

Credentials/Documentation:

Applications using the SF-424 forms stipulated in the Funding Opportunity Announcement must be submitted through Grants.gov, signed electronically by an official authorized to commit the institution in business and financial affairs, and who can commit the organization on the SF-424B to certain proposal certifications. Costs will be determined in accordance with 2 CFR 220 (OMB Circular No. A-21) for colleges and universities. Recipients for scholarship and fellowship support must show evidence of ability such as academic records, and grade point average. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. OMB Circular 2 CFR 215 applies to this program.

Award Procedure:

Applications are processed by the assigned staff and approved by the NRC, based on a set of criteria established by the NRC, as stated in the Funding Opportunity Announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal are subject to review and acceptance by the U.S. Nuclear Regulatory Commission office of Human Resources Training Division.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Matching is not required for this program but is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for project grants shall be between one and four years (depending on the program) years at intervals determined at the time of award. Funds must be expended within the active period of performance.

Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Semi-annual progress reports (reported on SF-PPR, SF-PPR-B, and SF-PPR-E) and final report requirements will be stipulated in the terms of award in compliance with 2 CFR 215.51. The recipient shall submit a "Federal Financial Report," SF-425 at intervals specified in the terms of award in compliance with 2 CFR 215.52. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees must comply with 2 CFR 215.53, "Retention and Access Requirements for Records."

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants) FY 09 \$15,000,000; FY 10 est \$15,000,000; FY 11 est not reported. - Funds availability are subject to annual appropriations.

Range and Average of Financial Assistance:

Awards range from \$36,500 to \$450,000 in FY2009, with an average award of \$307,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports, brochures, guidelines and frequently asked questions are available at <http://www.nrc.gov/about-nrc/grants.html>.

Regional or Local Office:

None.

Headquarters Office:

John Gutteridge 11555 Rockville Pike
Mail Stop: W5-A6
Rockville, MD 20852

, Rockville, Maryland 20852 Email: John.Gutteridge@nrc.gov Phone: 301-492-2313

Website Address:

www.nrc.gov

RELATED PROGRAMS:

77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No current data available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Capacity and ability of the institution to effectively conduct the program. Number and quality of students that will be served by the program. Justification for number of students served and amount of stipend and scholarship support. Quality and feasibility of recruitment and marketing strategies. Quality of technical programs for scholarship/fellowship programs. Type of student support, i.e. mentoring or advisor assistance Feasibility and completeness of an evaluation plan that will measure the effectiveness of the scholarship/fellowship program Institutional support for the program and the extent to which the institution is committed to making the program an organizational focus. Plans for sustainability. Additional merit review considerations apply. See the selection criteria as stated in the funding opportunity announcement.

77.009 U.S. NUCLEAR REGULATORY COMMISSION OFFICE OF RESEARCH FINANCIAL ASSISTANCE PROGRAM

NRC OFFICE OF RESEARCH GRANT PROGRAM

FEDERAL AGENCY:

Nuclear Regulatory Commission

AUTHORIZATION:

Energy Policy Act of 2005, Title , Part , Section 651(c), Public Law 109-58, 119 Stat. 801, 42 U.S.C 2051(a)(b).

OBJECTIVES:

The Office of Nuclear Regulatory Research (RES) furthers the regulatory mission of the NRC by providing technical advice, technical tools and information for identifying and resolving safety issues, making regulatory decisions, and promulgating regulations and guidance. RES conducts

independent experiments and analyses, develops technical bases for supporting realistic safety decisions by the agency, and prepares the agency for the future by evaluating safety issues involving current and new designs and technologies. RES develops its program with consideration of Commission direction and input from the program offices and other stakeholders.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

This program provides funding to educational institutions, nonprofit organizations, State and local governments, and professional organizations. The award recipient bears primary responsibility for general supervision of all financial assistance activities. Funds may not be used for purposes other than those specified in the award.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Costs will be determined by Office of Management and Budget Circulars and Guidance in 2 CFR 215-230. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Office of Nuclear Regulatory Research, Division of Program Management, Policy Development & Analysis Staff, 301-251-7668. Telecommunications for the hearing impaired are available at: TDD 1-800-635-4512. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The NRC will accept electronic applications only from organizations submitted as specified in the funding opportunity announcement (FOA) posted at www.grants.gov. Apply using the electronic application package required in the FOA. Responding to a FOA ensures that the correct application package is used and enables NRC to receive the application from Grants.gov.

* Note: FOA is Grants.gov terminology for what NRC refers to as Program Announcement (PA), Agency Announcement (AA), or Funding Notice (FN).

Award Procedure:

Applications are processed by the assigned NRC staff based on a set of criteria established by the NRC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal/continuations are subject to the review and acceptance by the U.S. Nuclear Regulatory Commission Office of Nuclear Regulatory Research.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project periods for both grants and cooperative agreements shall not exceed five (5) years at intervals determined at the time of the award. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Financial reports will be stipulated in the

terms of award in compliance with 2 CFR 215.51 and 2 CFR 215.52. Progress reports and final report requirements will be stipulated in the terms of award in compliance with 2 CFR 215.5. The Recipient shall submit a Federal Financial Report (SF-425) as specified in the terms of award. Performance report monitoring will be conducted as stipulated in the terms of award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients must comply with 2 CFR 215.53 Retention and Access Requirements for Records."

Account Identification:

31-0200-0-1-276.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$2,280,000; FY 10 est \$1,500,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Award amounts range from \$25,000 to \$75,000 in FY2008.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: -. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Reports or brochures on regulatory decisions, results of research, results of incident investigations, and other technical and administrative information are available at <http://www.nrc.gov/reading-rm/doc-collections/nuregs/>

Regional or Local Office:

None.

Headquarters Office:

Mary Muessle 21 Church Street, Rockville, Maryland 20853 Email: Mary.Muessle@nrc.gov Phone: 301-251-7668

Website Address:

<http://www.nrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection of Research support through financial assistance methods may be made to support basic, advanced, and developmental scientific research where the purpose is to conduct or further that research at nonprofit institutions of higher education, nonprofit organizations, State and local governments, and professional organizations.

Factors generally indicating support through financial assistance methods:

- the primary purpose of the proposal is to aid or support the development of knowledge or understanding of the subject or phenomena under study;
- the exact course of the work and its outcome are not defined precisely and specific points in time for achievement of significant results may not be specified;
- the nature of the proposed work is such that the recipient will bear prime responsibility for the conduct of the research and will exercise judgment and original thought toward attaining the scientific goals within broad parameters of the research areas proposed and the related resources provided;
- the research problem requires long-term support (that is, in excess of 1 year) for the study to mature to maximum scientific effectiveness (this does not

preclude shorter term assistance);
- meaningful technical reports (as distinguished from semiannual status reports) can be prepared only as new findings are made rather than on a predetermined time schedule; and
- simplicity and economy in execution and administration are mutually desirable.

DEPARTMENT OF ENERGY

81.003 GRANTING OF PATENT LICENSES

(DOE Patents Available for Licensing)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, Sections 156 and 161(g), as amended, Public Law 83- 703, 68 Stat. 919, 42 U.S.C. 2186 and 2201; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101 ; Public Law 96-517, 35 U.S.C. 207, 208, 209.

OBJECTIVES:

To encourage widespread utilization of inventions covered by DOE owned patents.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

Nonexclusive, revocable licenses are granted by Department of Energy (DOE) to responsible applicants with plans for development and/or marketing on approximately 1,500 DOE owned United State patents. Exclusive and partially exclusive licenses may also be granted. Similar licenses on approximately 200 DOE owned foreign patents may be accorded to United State citizens and corporations, and to others under terms and conditions which depend upon particular facts. Licenses for use or sale in the United States may normally be granted only to licensees that agree to substantial manufacture in the United States. Copies of U.S. patents may be obtained from the United States Patent and Trademark Office, Department of Commerce, Washington, DC 20231, for a modest fee.

Applicant Eligibility:

Individuals, firms, or corporations with satisfactory plans and intentions to commercialize the invention may apply.

Beneficiary Eligibility:

Individuals, firms, and corporations will benefit.

Credentials/Documentation:

Satisfactory plans for development and/or marketing of the invention. In the case of corporations, the State of incorporation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By letter to the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE, Washington, DC 20585, identifying the patent by its number, together with information as to: (1) The nature and type of the applicant's business; (2) the purpose for which the license is desired together with documentation as to the applicant's plan for development and/or marketing of the invention to achieve that purpose; (3) the geographical areas in which the applicant will practice the invention; (4) the applicant's willingness to render periodic reports on the use of the licensed subject matter; (5) the applicant's status as a small business firm, minority business, or other; and (6) remittance of a \$25 processing fee for each license requested.

Award Procedure:

Patent licenses are issued by the Office of the Assistant General Counsel for Technology Transfer and Intellectual Property, DOE.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Normally three months for nonexclusive licenses; somewhat longer for exclusive licenses.

Appeals:

Applicants denied a license and revoked licensees have the right to appeal in accordance with 10 CFR 781 by filing notice of appeal within 30 days. Appeals board is designated upon filing of notice of appeal.

Renewals:

Licenses may be renewed upon reapplication, contingent on satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There are no funds obligated under this program. See the following for information on how assistance is awarded/released: There are no funds obligated under this program.

Reports:

No program reports are required. If license is royalty bearing, DOE has the right to inspect the books of account as necessary to determine accuracy of statement. Annual reports are required to be submitted as to the extent of utilization and royalties due, if applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. If license is royalty bearing, DOE has the right to inspect the books of account as necessary to determine accuracy of statement.

Records:

None except where the specific license provides for payment of royalty.

Account Identification:

89-0228-0-1-276.

Obligations:

(Dissemination of Technical Information) FY 09 \$0; FY 10 est \$0; FY 11 est \$0
- There are no dollars obligated under this program.

Range and Average of Financial Assistance:

No dollars are obligated under this program.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 3 patent licenses were granted. Fiscal Year 2010: It is estimated that 3 patent licenses will be granted. Fiscal Year 2011: It is estimated that 3 patent licenses will be granted.

REGULATIONS, GUIDELINES, AND LITERATURE:

Licensing of government owned inventions (37 CFR 404). 10 CFR 781, DOE Patent Licensing Regulations; "DOE Invention Licensing Program" describes the program and is available at <http://www.osti.gov/genoun/>.

Regional or Local Office:

None.

Headquarters Office:

John T. Lucas, Office of the Assistant General Counsel for Technology Transfer, 1000 Independence Ave, SW, Washington, District of Columbia 20585 Phone: (202) 586-2802.

Website Address:

<http://www.doe.gov>

RELATED PROGRAMS:

11.900 Patent and Trademark Technical Information Dissemination

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Nonexclusive licenses - satisfactory plan for development and/or marketing of the invention. Exclusive licenses in addition, a determination in accordance with 35 U.S.C. 209(c) that exclusivity is reasonable and necessary for commercialization.

81.022 USED ENERGY-RELATED LABORATORY EQUIPMENT GRANTS

(Used Equipment Grants)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, Section 31(a) and (b), as amended, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

To assist United States institutions of higher education in equipping their science and engineering laboratories for energy-related research and/or instructional purposes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Used energy-related laboratory equipment is granted to nonprofit educational institutions of higher learning for use in energy-oriented research or instructional programs in the life, physical, and environmental sciences and engineering. The donated equipment must remain in the United States. The donated equipment must not be sold or placed on eBay for sale.

Applicant Eligibility:

Nonprofit educational institutions of higher learning, such as universities, colleges, junior colleges, middle schools, high schools, hospitals, and technical institutes or museums located in the United States and interested in establishing or upgrading energy oriented educational and research programs in the life, physical, and environmental sciences and engineering may apply. Sufficient training on proper use of the scientific equipment may be provided to 'high-need' middle schools and high schools dependent upon the level of need as determined by the guidelines set forth by the U.S. Department of Education. All ERLE program applicants are required to provide a statement that validates their capabilities to use the requested scientific equipment. The program reserves the right to deny an applicants' request if it appears that the applicants' ability to successfully use the equipment is lacking.

Beneficiary Eligibility:

Nonprofit institutions of higher education benefit. Middle schools and high schools are limited to certain approved equipment.

Credentials/Documentation:

Institutions complete the on-line application. This application includes: (1) Identification of institution and school and/or department where equipment would be used; (2) the objectives to be met through use of the equipment; (3) a detailed listing of the equipment requested; (4) a brief description of energy-oriented course offerings and numbers of graduate and undergraduate students enrolled; (5) data on qualifications of faculty members to make effective use of the equipment; (6) reference to any prior grant for equipment (financial or otherwise) to the same or to a related department; (7) the name and title of the person preparing the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of eligible institutions should contact Department of Energy (DOE) Used Energy-Related Laboratory Equipment (ERLE) web site located at <http://erle.osti.gov/erle>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The guideline and

application are on the website. The application will be automatically submitted to the appropriate DOE field office via electronic mail.

Award Procedure:

Award is on basis of first-received, first- qualified proposal.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 15 to 30 days. From 30 days.

Appeals:

From 1 to 15 days. A written appeal must be submitted within 15 days after a freeze is placed on an item.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not Applicable. Method of awarding/releasing assistance: by letter of credit.

Reports:

At the end of the first year of use, institution must report on the manner in which equipment was used in establishment, expansion, or enhancement of energy research and/or energy related courses. Cash reports are not applicable. At the end of the first year of use, institution must report on the manner in which equipment was used in establishment, expansion, or enhancement of energy research and/or energy related courses. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits are performed on a selective basis.

Records:

None.

Account Identification:

89-0224-0-1-271.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY09-Total program count of donated equipment grants = 571

Total dollar worth FY09= \$12,090.689.17

This program ensures that equipment purchased with taxpayer dollars will continue to serve a public purpose. The success that this program has enjoyed with institutions of higher learning is now being shared with students in middle schools and high schools. This will enhance the ability of our Nation's schools to train the next generation of scientists and engineers. Fiscal Year 2010: Estimated count of awards FY10-Present program count of donated equipment grants = 609

Total dollar worth FY10= \$8,824.046.74

The ERLE program will accomplish its goal of seeing more colleges, universities, middle schools and high schools and other nonprofit educational institutions of higher learning in the United States with an increase of better performance of important research. Fiscal Year 2011: FY11-Estimated grants of donated equipment = 700

Estimated dollar worth FY11= \$20,000.000.00.

REGULATIONS, GUIDELINES, AND LITERATURE:

The "Energy-Related Laboratory Equipment (ERLE) Guidelines" are available via the Internet at <http://erle.osti.gov/erle>.

The grantee must not sell or place DOE scientific equipment on eBay for sale.

Regional or Local Office:

None.

Headquarters Office:

Vera A. O'Connor, Program Manager, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: vera.oconnor@science.doe.gov Phone: (202) 586-9742 Fax: (202) 586-0019.

Website Address:

<http://erle.osti.gov/erle>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.036 INVENTIONS AND INNOVATIONS

(I&I)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Federal Nonnuclear Energy Research and Development Act of 1974, Section 14, Public Law 577-93, 88 Stat. 1894, 42 U.S.C 5913; Department of Energy Organization Act of 1977, Public Law 95-91, 42 U.S.C 7101.

OBJECTIVES:

To encourage the development and commercialization of energy-saving inventions by providing financial and technical assistance to projects that have a potential for significant energy savings and future commercialization markets through a competitive solicitation process.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The assistance provides financial assistance for research and development of innovative, energy-saving ideas and invention. Inventions and Innovations support energy efficiency and renewable energy technology development in focus areas that align with the Office of Energy Efficiency and Renewable Energy programs. Further Program information can be found at <http://www.eere.energy.gov/inventions>.

Applicant Eligibility:

Individuals that are U.S. citizens, either native-born or naturalized, small businesses that are U.S. owned as defined in 10 CFR 600.501.

Beneficiary Eligibility:

Individual inventors and small businesses will benefit.

Credentials/Documentation:

This Program is excluded from coverage under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Only proposals prepared in response to the requirements contained in the annual competitive solicitation will be accepted. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>.

Award Procedure:

Imported Data is accurate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Unsuccessful applicants are entitled to a debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing is not required however cost sharing is highly encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 1 to 2 years. See the following for information on how assistance is awarded/released: Funds awarded are subject to Congressional Appropriation.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133.

Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0203-0-1-270.

Obligations:

(Project Grants (Discretionary)) FY 09 \$1,814,310; FY 10 est \$102,000; FY 11 est \$150,000

Range and Average of Financial Assistance:

\$500,000 to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not able to verify information at this time. Fiscal Year 2010: Innovation Ecosystem Initiative. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: DOE Financial Assistance Regulations 10 CFR 600; <http://www.eere.energy.gov>

Regional or Local Office:

None. EERE Information Center (877)337-3463.

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: 2 grants under the Innovation Ecosystem Development Initiative. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Uniqueness, technical feasibility, marketability, energy relationship (including energy savings).

81.041 STATE ENERGY PROGRAM

SEP

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, Public Law 95-91, 42 U.S.C. 7101; American Recovery and Reinvestment Act of 2009; Energy Policy and Conservation Act, Public Law 94-163, 42 U.S.C. 6321-6326; National Energy Conservation Policy Act of 1978, Public Law 95-619 and Public Law 101-440; Balanced Budget Down Payment Act II of 1996, Public Law 104-134.

OBJECTIVES:

The program provides financial and technical assistance to State governments to create and implement a variety of energy efficiency and conservation projects in order to provide leadership to maximize the benefits of energy efficiency and renewable energy through communications and outreach activities, technology deployment, and accessing new partnerships and resources across the geographic panorama of the United States and its territories. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To reduce the total energy use of the eligible entities; and
- * To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Grants are to be used by States for the development, implementation, or modification of State plans submitted to and approved by DOE. To be eligible for Federal assistance, each State plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163; and may also include a wide range of optional activities.

A wide variety of activities are eligible for use of the grant funds including:

- * Developing/implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy.
- * Conducting residential and commercial building energy audits.
- * Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.)
- * Providing grants to nonprofit organizations to perform energy efficiency retrofits.
- * Developing/implementing programs to conserve energy used in transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes/pathways, pedestrian walkways, and synchronized traffic signals).
- * Developing and implementing building codes and inspection services to promote building energy efficiency.
- * Implementing energy distribution technologies.
- * Developing public education programs to increase participation and efficiency rates for recycling programs.
- * Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources.
- * Installing light emitting diodes (LEDs).
- * Developing, implementing, and installing renewable energy technologies on or in any government building.
- * Any other activity as determined by the Secretary of Energy in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency. Funds cannot be used for the purchase of land, buildings or any interest therein; construction of buildings or structures; research, development or demonstration of non-commercially available technology; or subsidies of public transportation, fares, utility rate demonstrations or State tax credits for energy conservation.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

The ultimate potential beneficiaries will be the people affected by the plan each

State develops. This is anticipated to be the State's population.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. Only one application from each State, however a separate application is required for ARRA funds; compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 10 CFR Part 600 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States submit applications and plans (or amendment to plans) in response to 10 CFR 420.

Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.net

The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

Award Procedure:

Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy personnel. Grant procedures are in accordance with Federal government regulations. Notification of grant awards will be issued by a DOE Procurement Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

Appeals:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds. Assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

Statutory Formula: Title 10 Vol 3, Chapter II, Part 420, Subpart B Section 420.11, Public Law Energy Policy and Conservation Act 42 USC 6321 et seq. Matching Requirements: Percent: 20%. There is a 20 percent match requirement for the SEP, however leveraging of funds on the part of the recipient is encouraged. This requirement is waived for ARRA funding.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Authorized funding is established by ECPA, NECPA, ESA and ARRA. Funds awarded annually are subject to Congressional appropriation, with no restriction on spending period, except ARRA requires all funds be spent within 36 months of award. Funds are released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by DOE. See the following for information on how assistance is awarded/released: See award procedures above.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial status reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133.

In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with 10 CFR 600.

Account Identification:

89-0321-0-1-270; 89-0331-2-1-272.

Obligations:

(Formula Grants) FY 09 \$3,094,000,000; FY 10 est \$2,461,835; FY 11 est \$2,500,000 - \$3.1 Billion in FY 2009 funding was appropriated under the American Recovery and Reinvestment Act of 2009.

Range and Average of Financial Assistance:

\$1-\$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Increased energy efficiency to reduce energy costs and consumption for consumers, businesses and government. Reduced reliance on imported energy. Improved the reliability of electricity and fuel supply and the delivery of energy services. Reduced the impacts of energy production and use on the environment. Fiscal Year 2010: Grants to State Energy Offices in all States and U.S. Territories to design and carry out their own renewable energy priorities and program funding to adopt emerging renewable energy and energy efficiency technologies. Fiscal Year 2011: Advance policies, programs, and market strategies that accelerate job creation and reduce energy bills, while achieving energy and climate security for the nation.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009 and 10CFR 420.

Regional or Local Office:

None. Angela Young, Division Director, NETL Project Management Center (PMC)
(412) 386-6042; EERE Information Center, (877)337-3463.

Headquarters Office:

Mark Bailey, 950 L'Enfant Plaza SW, Room 6044, Washington, District of Columbia 20585 Phone: (202) 586-9424.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.042 Weatherization Assistance for Low-Income Persons; 81.119 State Energy Program Special Projects; 81.128 Energy Efficiency and Conservation

Block Grant Program (EECBG)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Strengthening Building Retrofit Markets - \$25.5 million

Multi-state group with individual awards for: Massachusetts: \$2,587,976; Alabama: \$3,013,751; Virginia: \$2,886,500; and Washington: \$2,587,500. Fiscal Year 2010: Transportation programs to conserve energy; Development of an energy efficiency and conservation strategy. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009. In addition, each State energy conservation plan must contain the five program activities required by the Energy Policy and Conservation Act, Public Law 94-163, and may also contain a wide range of optional activities.

81.042 WEATHERIZATION ASSISTANCE FOR LOW-INCOME PERSONS

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009; 10 CFR Part 440 ; Title IV, Energy Conservation and Production Act; Energy Policy Act of 2005; 10 CFR Part 600; Energy Independence and Security Act of 2007.

OBJECTIVES:

To improve home energy efficiency for low-income families through the most cost-effective measures possible. The programs objectives are:
* To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities; and
* To reduce the total energy use of the eligible units while ensuring their health and safety.

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Formula Grants

USES AND USE RESTRICTIONS:

Improvement of the thermal efficiency and cooling of dwellings by the installation of weatherization materials such as attic insulation, caulking, weather-stripping, furnace efficiency modifications, certain mechanical measures to heating and cooling systems, and replacement furnaces, boilers, and air-conditioners. States may average expenditures per dwelling unit for materials, program support and labor costs not to exceed an average per unit of \$6,500 adjusted annually for inflation. Up to 10 percent of each grant may be spent by a State and its subgrantees for administrative expenses (a State may not use more than 5 percent of the total State grant for such purposes).

Applicant Eligibility:

States, including the District of Columbia, and in certain instances, Native American tribal organizations. In the event a State does not apply, a unit of general purpose local government, or community action agencies and/or other nonprofit agencies within that State becomes eligible to apply.

Beneficiary Eligibility:

All low-income households are eligible to receive weatherization assistance. A low-income household is one whose combined income falls at or below 125 percent of the poverty level determined by the Office of Management and Budget's poverty income guidelines or the basis on which Federal, State, or local cash assistance payments have been made. A State may also elect to make all homes eligible under the HHS Low-income Home Energy Assistance Program (LIHEAP) eligible for weatherization assistance and may use either 150 percent of poverty or 60 percent of State median income.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. The Department of Energy (DOE) requires that grantees meet certain statutory and administrative requirements which can be found in the

Weatherization Program Rules available at 10 CFR Section 440 et al and from DOE offices. Governors or their designated agencies must apply for grants to the appropriate DOE office and submit State Plans and applications pursuant to State applications at 10 CFR part 440.12. Any household at or below 200% of poverty, per the modified statute, is considered low-income. About 15 million of all eligible households are good candidates for Weatherization. Priority service is given to the elderly, people with disabilities, and families with children. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. A copy of the final State plan must accompany the grant application from the State. Consultation and assistance will be available from the DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. State applications for the WAP Program must be submitted through FedConnect to be considered for award. Information on how to submit applications via FedConnect can be found at <http://www.fedconnect.net>

Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

It is the responsibility of the applicant to verify successful transmission.

ARRA Applications will be reviewed and awarded in accordance with the final 2009 Recovery Act Formula Allocations, set forth in the Weatherization Assistance Program Notice 09-1B

Award Procedure:

States and tribes will submit applications for available allocation. Grant applications will be reviewed by DOE Office of Energy Efficiency and Renewable Energy (EERE) personnel. Grant procedures will be in accordance with Federal government regulations. Notification of grant awards will be issued DOE Procurement Office and/or EERE personnel serving the applicant State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

Appeals:

As required under 42 U.S.C. 6861 et al and 10 CFR Section 440.

Renewals:

Renewals are subject to review by the DOE EERE Project Management Center and the headquarters program office and subject to the availability of funds. Financial assistance will be provided from sums appropriated for any fiscal year only upon annual application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Authorized funding is established by ECPA, NECPA, ESA and ARRA. See the following for information on how assistance is awarded/released: No information was provided.

Reports:

No program reports are required. Cash reports are not applicable. Quarterly progress and financial status reports are required along with a final report at the end of the project. Quarterly financial reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The administrative requirements for DOE grants and cooperative agreements are contained in 10 CFR Part 600 and 10 CFR Part 440.

Account Identification:

89-0321-0-1-270; 89-0331-2-1-272.

Obligations:

(Project Grants) FY 09 \$5,158,711,917; FY 10 est \$206,510,962; FY 11 est \$250,000,000 - 3 Billion in FY09 funding was appropriated under the American Recovery and Reinvestment Act of 2009.

Range and Average of Financial Assistance:

\$2,500 to \$6,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Developed low-income weatherization training centers and programs. Fiscal Year 2010: Developed Weatherization Innovative Pilot Program to promote innovative ways to weatherize homes of low-income customers. Weatherized 300,000 Homes for Low Income Persons. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009. Weatherization Assistance guidelines published June 1, 1977. Amendments to guidelines published January 2, 1979, August 29, 1979, February 27, 1980, June 1, 1981, March 1, 1982, January 27, 1984, January 4, 1985, December 5, 1985, March 4 1993, June 5, 1995, and December 8, 2000.

Regional or Local Office:

None. Angela Young, Division Director, NETL Project Management Center (PMC) Division, (412) 386-6042; EERE Information Center, (877) 337-3463.

Headquarters Office:

Weatherization and Intergovernmental Program, EE-2K, Office of Energy Efficiency and Renewable Energy, 1000 Independence Avenue, SW, Washington, District of Columbia 20585 Phone: (1-877) 337-3463 (Toll Free).

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program; 81.119 State Energy Program Special Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 2009 Weatherization Formula Grants and Recovery Act WAP Formula Grants. Fiscal Year 2010: Weatherization Assistance Program Sustainable Energy Resources for Consumer Grants and a Weatherization Innovation Pilot Program. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009. DOE requires that grantees meet certain statutory and administrative requirements which can be found in the Weatherization Program Guidelines at <http://apps1.eere.energy.gov/weatherization/>.

81.049 OFFICE OF SCIENCE FINANCIAL ASSISTANCE PROGRAM

(Basic Energy Sciences; High Energy Physics; Nuclear Physics; Fusion Energy Sciences; Biological and Environmental Research; Advanced

Scientific Computing Research, and Workforce Development for Teachers and Scientists)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 42 U.S.C. 2051; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; American Recovery and Reinvestment Act of 2009, Public Law 111-5, Recovery Act); Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101 ; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 42 U.S.C. 5817; Small Business Innovation Research Program Reauthorization Act of 2000, Public Law 106-554; Small Business Technology Transfer Program Reauthorization Act of 2001, Public Law 107-50.

OBJECTIVES:

To provide financial support for fundamental research in the basic sciences and advanced technology concepts and assessments in fields related to energy.

The application deadlines will be posted at the Grants.gov web site:

<http://www.grants.gov>

or

FedConnect at: <https://www.fedconnect.net/Fedconnect/>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, and services required for conducting research, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on grant provisions.

Applicant Eligibility:

Colleges and universities, nonprofit organizations, for-profit commercial organizations, State and local governments, small businesses, and unaffiliated individuals.

Beneficiary Eligibility:

Colleges and universities, nonprofit organizations, for-profit commercial organizations, State and local governments, small businesses, and unaffiliated individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular A-21 for institutions of higher education and OMB Circular A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Informal communication addressed to the Headquarters Office listed below is desirable before preparing a detailed formal application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application forms must be downloaded from the specific funding opportunity announcement at the Grants.gov web site:

<http://www.grants.gov> or FedConnect at:

<https://www.fedconnect.net/Fedconnect/>

The application deadlines will also be posted at the above sites.

Award Procedure:

Decisions to approve are made by a Headquarters program official. A DOE procurement office is then authorized to negotiate and prepare an appropriate award document.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Normally, 6 months from receipt of grant application. Maximum, 12 months.

The application deadlines will be posted at the Grants.gov web site:

<http://www.grants.gov> or FedConnect at:

<https://www.fedconnect.net/Fedconnect/>.

Appeals:

None.

Renewals:

Applications for renewal are subject to review and acceptance by the Headquarters Office of Science program offices. For ongoing grant projects, renewal applications must be submitted six months prior to the grant's project period expiration date.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Usually, cost sharing is encouraged but not required. In some cases, however, cost sharing may be required by specific funding opportunity announcements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, grants are approved for three-year project periods and funded one year at a time. Depending upon specific circumstances, grant project periods may range from one year to five years, at the discretion of the program office. See the following for information on how assistance is awarded/released: No additional information is provided.

Reports:

No program reports are required. No cash reports are required. Typically for research projects, grantees are required to submit an annual progress report and a final report at the end of the project. The schedule of reports is arranged at the time of the negotiation. Quarterly financial status reports are required along with a final report. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs incurred are subject to audit throughout the grant period and/or before final payment, with the extent and frequency of audit depending on the size of the grant and on the particular grant provisions.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0224-0-1-271; 89-0222-0-1-251.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$1,430,662,056; FY 10 est \$1,290,000,000; FY 11 est \$1,290,000,000

Range and Average of Financial Assistance:

\$10,000 to \$2,000,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Office of Science research programs, which complement DOE's applied missions in energy resources, environmental quality, and national security, have been at the forefront of modern scientific advances and have expanded the frontiers of basic scientific knowledge. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research projects. Student support on basic science research projects has helped to train the future scientific talent in DOE supported science areas such as materials science, chemistry, mathematics, general life sciences, geosciences, genetics, radiation biology, ecology, nuclear medicine, and high energy and nuclear physics. Fiscal Year 2010: Office of Science research programs, which

complement DOE's applied missions in energy resources, environmental quality, and national security, have been at the forefront of modern scientific advances and have expanded the frontiers of basic scientific knowledge. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research projects. Student support on basic science research projects has helped to train the future scientific talent in DOE supported science areas such as materials science, chemistry, mathematics, general life sciences, geosciences, genetics, radiation biology, ecology, nuclear medicine, and high energy and nuclear physics. Fiscal Year 2011: Office of Science research programs, which complement DOE's applied missions in energy resources, environmental quality, and national security, have been at the forefront of modern scientific advances and have expanded the frontiers of basic scientific knowledge. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research projects. Student support on basic science research projects has helped to train the future scientific talent in DOE supported science areas such as materials science, chemistry, mathematics, general life sciences, geosciences, genetics, radiation biology, ecology, nuclear medicine, and high energy and nuclear physics.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 605; 10 CFR 600; Application Guide for the Office of Science Financial Assistance Program.

Regional or Local Office:

None.

Headquarters Office:

Deborah Greenawalt, 19901 Germantown Road, Germantown, Maryland 20874-1290 Email: Deb.Greenawalt@science.doe.gov Phone: (301) 903-4074.

Website Address:

<http://www.sc.doe.gov/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Results and accomplishments of basic research performed under these programs are reported in open scientific literature. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Scientific and/or technical merit of the project; appropriateness of the proposed method or approach; competency of the applicant's personnel and the adequacy of proposed resources; and reasonableness and appropriateness of the proposed budget.

81.057 UNIVERSITY COAL RESEARCH

University Coal Research

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Public Law 83-703; Energy Reorganization Act of 1974, Public Law 93-438; Research and Marketing Act of 1946, as amended, Public Law 85-934; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93- 577; Department of Interior and Related Agencies Appropriations for 1980, Public Law 96-126; Department of Interior and Related Agencies Appropriations for 2004, Public Law 108-108. , Executive Order Atomic Energy Act of 1954, as amended, Public Law 83-703; Energy Reorganization Act of 1974, Public Law 93-438; Research and Marketing Act of 1946, as amended, Public Law 85-934; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93- 577; Department of Interior and Related Agencies Appropriations for 1980, Public Law 96-126; Department of Interior and Related Agencies Appropriations for 2004, Public Law 108-108.

OBJECTIVES:

To improve scientific and technical understanding of the chemistry and physics

involved in the conversion and utilization of coal. The primary objective is to fund long-term, high-risk, meritorious fundamental research for the advancement of science related to all Fossil Energy's coal-related programs. Through an annual solicitation, applications are sought with the potential to overcome barriers in technology development and accelerate the identification of solutions for energy and environmental problems. Solicited research should contemplate nearly total carbon closure by the year 2015 along with substantial increases in energy conversion efficiency for utilizing our nation's abundant coal resources.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Work must be related to program objectives. The eligibility of recipients for DOE financial assistance awards under the UCR Program is limited to U.S. universities, colleges, and university-affiliated research institutions. Projects selected for an award must have a teaching professor as Principal Investigator or a Co-Principal Investigator.

Applicant Eligibility:

Only US colleges and universities can apply.

Beneficiary Eligibility:

In accordance with 10 CFR 600.6(b), eligibility for award is restricted to U.S. colleges, universities, and university-affiliated research institutions. Grants awarded through the UCR Program are for maintaining and upgrading the educational, training and research capabilities of U.S. universities and colleges in the fields of science, environment, energy and technology related to coal. The involvement of professors and students generates fresh research ideas and enhances the education of future scientists and engineers. To assure the program continues to support the performance of high quality fundamental research by professors and students at U.S. colleges and universities, applications may be submitted by U.S. colleges, universities and university-affiliated research institutions provided the following criteria are met: (1) Principal Investigator or a Co-Principal Investigator listed in the application is a teaching professor at the submitting university. If this condition is met, other participants, Co-Principal Investigators or research staff, who do not hold teaching positions may be included as part of the research team; (2) Applications from university-affiliated research institutions must be submitted through the college or university with which they are affiliated; and (3) At least one student registered at that university is to receive compensation for performing research.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of 10 CFR 600. Proposals should be submitted through <http://www.grants.gov>.

Award Procedure:

Proposals reviewed by qualified experts in accordance with the factors listed in 10 CFR Chapter II, Part 600 with specific emphasis on the scientific and technical merit of the proposed research and the factors listed in the formal solicitation for proposals.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25.%. Limited university/industry collaboration is encouraged but not required. A minimum of 25% cost sharing is required for larger sized grants that support university/industry collaboration. 10-CFR-600.3.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects awarded through the UCR Program have a performance period of 36 months and usually are funded by the Electronic Transfer System. See the following for information on how assistance is awarded/released: Funding allocated by EFT.

Reports:

Quarterly reports and technical progress reports containing research accomplishments and information on cost status, reported per task is required. Additionally, a final technical report is due 90 days after the end of performance period. Quarterly project Financial Cash Transaction Report is required. Quarterly project technical progress and a final report is required. Topical Reports may be submitted as appropriate. Expenditure reports are required quarterly. Performance monitoring is achieved through submission of progress reports, site visits, and the Principal Investigators are required to participate in a two day Annual Contractors Review Meeting, typically held in June.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-110, in conjunction with OMB Circular No. A-21, "Cost Principles for Education Institutions".

Records:

Retention of records will be required pursuant to guidelines set forth in 10 CFR 600.

Account Identification:

89-0213-0-1-271.

Obligations:

(Project Grants) FY 09 \$2,352,410; FY 10 est \$2,145,228; FY 11 est \$2,400,000

Range and Average of Financial Assistance:

Maximum funding for 36 month project is \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program improved the scientific and technical understanding of the chemistry and physics involved in the conversion and utilization of coal through financial sponsorship of long-term, high-risk, meritorious fundamental research. The FY 2009 UCR annual solicitation received 36 applications seeking to overcome barriers in technology development and accelerate the identification of solutions for energy and environmental problems. Six (6) projects were selected to receive funding for research contemplating nearly total carbon closure by the year 2015 along with substantial increases in energy conversion efficiency for utilizing our nation's abundant coal resources. Fiscal Year 2010: The program continued to improve the scientific and technical understanding of the chemistry and physics involved in the conversion and utilization of coal through financial sponsorship of long-term, high-risk, meritorious fundamental research. The FY2010 UCR solicitation received 32 applications seeking to overcome barriers in technology development and accelerate the identification of solutions for energy and environmental problems. Seven (7) projects were selected to receive funding for research contemplating nearly total carbon closure by the year 2015 along with substantial increases in energy conversion efficiency for utilizing our nation's abundant coal resources. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

A formal competitive solicitation for proposals will be issued in [month] for the fiscal year 2011 program.

Regional or Local Office:

None. Project Office - National Energy Technology Laboratory, P.O. Box 10940, Pittsburgh, PA 15236. Contact: Ms. Paula Flenory, Telephone (412) 386-4781.

Headquarters Office:

Fred Glaser, Office of Fossil Energy (FE-22), Department of Energy, Washington, District of Columbia 20585 Email: fred.glaser@hq.doe.gov Phone: (301) 903-2676.

Website Address:

<http://www.netl.doe.gov/technologies/coalpower/advresearch/>.

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY2010 seven projects were awarded:

Computational Energy Sciences:

Illinois Institute of Technology, Chicago, Ill. - This research will develop a computational fluid dynamics model to perform simulations describing the heterogeneous gas-solid absorption/regeneration and water-gas-shift reactions for a regenerative magnesium oxide-based process that removes carbon dioxide and enhances production of hydrogen in coal gasification processes. The research will support scaling-up the novel carbon capture technology by determining/optimizing several key process parameters such as sorbent particle size/porosity, the absorber diameter/height, the amount of recycled sorbent, and the location of particle feeding points and internal baffles. (DOE award: \$299,853; recipient cost share: \$129,703)

University of California, Merced, Calif. - This project will develop and/or apply models based on spectral properties of gases and solid particles (coal, ash, soot, bed material) to allow efficient high-fidelity, real-time determination of radiative fluxes and sources in two-phase coal combustion systems. The research will also develop a modular two-phase radiative transfer equation solver, which will be incorporated into NETL's MFIX and the open source OpenFOAM both state-of-the-art two-phase computational fluid dynamics/combustion models. (DOE award: \$299,931)

Material Science:

Carnegie Mellon University, Pittsburgh, Pa.- The proposed project will develop high-resolution methods, including an image-based technique, for modeling the mechanical response of metallic alloys in three dimensions. The work will provide the capability to generate synthetic digital microstructures that can accurately represent complex alloys and high resolution simulations of mechanical response that provide new insight for the design of novel refractory alloys such as those based on tungsten, niobium, molybdenum and chromium. (DOE award: \$298,191)

University of Missouri, Kansas City, Mo. - A systematic large-scale computational study of advanced alloys based on refractory metals such as molybdenum that will have acceptable mechanical properties at high temperature will be conducted. (DOE award: \$299,925)

Clemson University, Clemson, S.C. -The performances of materials with high melting temperatures, such as refractory alloys like tungsten, are often limited by properties at their grain boundaries (GB) and controlled by GB segregation. Thus, understanding and control of GB segregation in these materials is of great practical importance in developing more efficient energy systems. Research partners Clemson University and Purdue University will extend their study of GB segregation and embrittlement in tungsten for the mechanistic design of alloys to be used in coal-fired power plants. The proposed study will extend the research group's thermodynamic theory and statistical models from binary to multicomponent refractory alloys and will develop and validate multiscale modeling strategies to predict GB embrittlement from GB structure and chemistry. (DOE award: \$300,000; recipient cost share: \$28,196)

Sensors and Controls:

West Virginia University, Morgantown, W.Va. - West Virginia University will collaborate with NexTech Materials, Ltd., to develop micro-scale, chemical sensors and sensor arrays composed of nano-derived, metal-oxide composite materials to detect gases such as hydrogen and hydrogen sulfide within high-temperature environments (>500C). The long-term goal is to demonstrate sensor materials and processing strategies that can be used on micro-sensor arrays to monitor these and other gases (carbon dioxide, carbon monoxide, methane, and nitrogen oxides) within the harsh environments of various industrial energy applications including current and future coal-fired power plants. (DOE award: \$299,950)

University of Pittsburgh, Pittsburgh, Pa. - The objective of this nano-engineering research is the production of functional metal oxide sensing materials integrated with high-temperature optical sensor platforms for real-time fossil fuel gas composition analysis. Rapid measurement of a wide array of fossil fuel gas species in real-time will enable automatic control over large combustors and fuel cells. (DOE award: \$298,395)

For more information, see:

<http://www.fossil.energy.gov/programs/powersystems/advresearch/advresearch-university.html>. Fiscal Year 2010: In 2010, 6-7 awards are anticipated to be awarded. Fiscal Year 2011: In 2011, Seven awards are anticipated to be awarded.

CRITERIA FOR SELECTING PROPOSALS:

Emphasis is on the scientific and technical merit of the proposed research and the factors listed as generic goals in the formal solicitation for proposals.

81.064 OFFICE OF SCIENTIFIC AND TECHNICAL INFORMATION (OSTI)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Policy Act of 2005 (Public Law 109-58), Section 982, Department of Energy Organization Act of 1977 (Public Law 95-91), Energy Reorganization Act of 1974 (Public Law 93-438), Atomic Energy Acts of 1946 (Public Law 79-585) and 1954, as amended (Public Law 83-703), America COMPETES Act of 2007 (Public Law 110-69), Section 1009 and Section 3191, Public Law 109-58, 42 U.S.C 5916, 7112.

OBJECTIVES:

To advance science and sustain technological creativity by making R&D results available, findable and useful to the Department of Energy (DOE) researchers and the public. To collect, preserve, disseminate, and leverage the scientific and technical information (STI) resources of DOE in order to provide access to national and global STI for use by DOE, the scientific research community, academia, US industry, and the public to expand the knowledge base of science and technology. To acquire domestic and international information of interest to DOE and the scientific community through partnerships with U.S. agencies and over 120 countries and international organizations.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION

USES AND USE RESTRICTIONS:

The Scientific and Technical Information (STI) produced through DOE and its predecessor agencies includes information from the broad range of science disciplines of interest to the Energy Department mission. OSTI is the sole source of specialized collections containing results of closed-out DOE research and development projects. OSTI provides information services to DOE and DOE contractor organizations and makes Energy Department information publicly available through a suite of OSTI web tools and services. Most information services are provided electronically through databases and full-text compilations at no cost. Some collections result from partnerships with other national and international governmental agencies.

Applicant Eligibility:

State and local governments and universities that are DOE contractors are provided electronic access to Energy Department information in specific areas

of concern. Energy information exchange agreements may be made with non-DOE affiliates, universities and other organizations involved in energy-related research. Public access to R&D documents is also available through the National Technical Information Service (NTIS) and the Government Printing Office (GPO).

Beneficiary Eligibility:

DOE federal employees and contractors are primary beneficiaries of DOE STI collections in their specific areas of concern. Although most web-based collections are available to the general public through official government outlets, limited-access databases contain information restricted by international exchange agreements or other access restrictions.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. By written or telephone inquiry to the information contacts shown below.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

89-0230-0-1-270.

Obligations:

(Salaries) FY 09 \$8,900,000; FY 10 est \$8,900,000; FY 11 est \$8,900,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sharon Jordan, 1 Science.gov Way, Oak Ridge, Tennessee 37830 Email: jordans@osti.gov Phone: (865) 576-1194.

Website Address:

<http://www.osti.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.079 REGIONAL BIOMASS ENERGY PROGRAMS

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Water Development Appropriations Act, Public Law 500-99; Department of Energy Act of 1977, Public Law 91-95.

OBJECTIVES:

Help meet the President's goal of significantly increasing America's use of fuels, chemicals, materials, and power made from domestic biomass on a sustainable basis. This is a Congressionally-directed project. Funding is not available to the general public.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer any of several biomass energy technologies to the scientific and industrial communities. For regional programs, such technologies will be appropriate for the needs and resources of particular regions of the United States.

Applicant Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate, interstate, State and local government agencies, and universities may apply.

Beneficiary Eligibility:

Profit organizations; private nonprofit institutions/organizations; intrastate, interstate, State and local government agencies, universities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This is a Congressionally-directed program. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement. Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>. It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

Varies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Varies.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewals are subject to review by the headquarters program office and subject to the availability for funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing is not required however cost sharing is strongly encouraged.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies. See the following for information on how assistance is awarded/released: Awards are executed by DOE EERE Golden Field Office.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0224-0-1-271.

Obligations:

(Project Grants) FY 09 \$25,705; FY 10 est \$4,781,750; FY 11 est \$0 - This is a Congressionally directed program. FY2011 budget is unconfirmed at this time however will be updated upon confirmation.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://management.energy.gov/policy_guidance.htm

Regional or Local Office:

None. EERE Information Center, (877) 337-3463.

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a Congressionally-directed program. Merit of the proposal is determined by: (1) Technical relevance to program objectives; (2) potential contribution of the feedstock or conversion process to the energy needs of the region; (3) environmental and employment considerations; and (4) amount of cost-sharing by recipient.

81.086 CONSERVATION RESEARCH AND DEVELOPMENT

(Conservation)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; American Recovery and Reinvestment Act of 2009; Public Law 95-911 and Public Law 97-377; Department of Energy Organization Act of 1977, as amended.

OBJECTIVES:

To conduct a balanced, long-term research effort in Buildings Technologies, Industrial Technologies, Vehicle Technologies, and Hydrogen and Fuel Cell Technologies. Grants will be offered on a competitive basis to develop and transfer various energy conservation technologies to the nonfederal sector. Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used in the form of cooperative agreements, or grants to nonprofit institutions and organizations to develop and transfer energy conservation technologies to the scientific and industrial communities, States, and local governments.

Applicant Eligibility:

Profit organizations, private nonprofit institutions/organizations, State and local governments may apply.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, State and local governments benefit.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the [grants.gov](http://www.grants.gov) website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>
From 60 to 120 days.

Appeals:

None.

Renewals:

Grants may be modified or extended.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula, but cost sharing is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 2 months to 2 years. See the following for information on how assistance is awarded/released: No additional information is provided.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and expenditure reports, and a final report at the conclusion of the grant time period. As previously mentioned quarterly expenditure reports, and a final report at the conclusion of the grant time period are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

None.

Account Identification:

89-0224-0-1-271; 89-0321-0-1-270; 89-0125-0-1-272; 89-0215-0-1-999.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$203,737,131; FY 10 est \$1,722,290,324; FY 11 est \$180,000,000 - American Reinvestment and Recovery Act Funds:

Buildings Energy Star Rebates - \$300,000,000; Solid State Lighting - \$45,600,000; Buildings Training and Development Program - \$9,500,000; Building America Energy Efficient Housing Partnership - \$25,000,000; Advanced Energy Efficient Building Technologies - \$100,000,000; Advance Battery Manufacturing - \$2,000,000,000 and Transportation Electrification - \$400,000,000; Industrial Technologies - \$266,000,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Successful Building America Energy Efficient Housing Partnerships and Advanced Energy Efficient Building Technologies. Fiscal Year 2010: Advancements in Solid State Lighting. Fiscal Year 2011: Advancements in Solid State Lighting.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance/financial_assistance.htm

Regional or Local Office:

None. Golden Field Office
1617 Cole Blvd
Golden Colorado 80401
(303) 275-4700
Building Technologies (303) 275-4810
Industrial Technologies (303) 275-4758 and Fuel Cells and Infrastructure (303) 275-4903.

Headquarters Office:

Weatherization and Intergovernmental Program, EE-2K, Office of Energy Efficiency and Renewable Energy, 1000 Independence Avenue, SW, Washington, District of Columbia 20585 Phone: (1-877) 337-3463.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Auburn University of Auburn, Alabama was selected for award to develop design improvements in tree-length harvesting machines for energy plantations, configure and assemble a high-productivity, lowest-cost harvesting and transportation system for biomass, and demonstrate at full industrial scale and document performance of the harvesting, storage, pre-processing, and transportation system. Fiscal Year 2010: 9.5M to the State of Idaho to improve energy efficiency of local communities throughout the state. \$14.4M to the State of New Jersey to deploy programs and projects that will reduce fossil fuel emissions, decrease overall energy use, and improve energy efficiency at the state and local level. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.087 RENEWABLE ENERGY RESEARCH AND DEVELOPMENT

(Renewable Energy)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977; Public Law 101-218; Public Law 95-238, Section 207; American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To conduct balanced research and development efforts in the following energy technologies: solar, biomass, hydrogen, fuel cells and infrastructure, wind and hydropower, hydrogen, and geothermal. Grants will be offered to develop and transfer to the nonfederal sector various renewable energy technologies on a competitive basis.

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov>.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments.

Assistance may be used to develop and transfer renewable energy technologies to the scientific and industrial communities, State, and local governments.

Applicant Eligibility:

Profit organizations, private nonprofit institutions/organizations, intrastate, interstate, and local agencies and universities may apply.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, intrastate, interstate, State and local agencies and universities will benefit.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Application due dates will be posted in the individual funding opportunity announcements posted at <http://www.grants.gov>. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The

application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by Energy Efficiency and Renewable Energy (EERE) program personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Field Offices. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Submission deadlines will be published in the funding opportunity announcements at <http://www.grants.gov> From 60 to 180 days.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula, but cost-sharing is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Award project periods range from 2 months to 1 year. See the following for information on how assistance is awarded/released: No further information is available.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and expenditure reports, and a final report at the conclusion of the grant time period. As described above. Quarterly expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

None.

Account Identification:

89-0224-0-1-271; 89-0321-0-1-270.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$472,809,833; FY 10 est \$1,942,659,482; FY 11 est \$475,000,000 - American Reinvestment and Recovery Act Funds:

Biomass - \$800,000,000; Geothermal - \$ 400,000,000; Hydrogen - \$43,400,000; Solar - \$117,600,000; \$32,000,000 - Water and Wind - \$118,000,000.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Acceleration of emerging marine and hydrokinetic (MHK) technologies which seeked to generate renewable electricity from the nation's oceans and free-flowing rivers and streams. Fiscal Year 2010: Solutions for Wind Energy Workforce Development; Enhanced Short Term Wind Energy Forecasting for Increased Utility Operations. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: American Recovery and Reinvestment Act of 2009; EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov>; DOE Financial Assistance Regulations(10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance/financial_assistance.htm

Regional or Local Office:

None. Golden Field Office, 1617 Cole Blvd, Golden Colorado, 80401, (303) 275-4700. Solar Energy Technologies, (303) 275-4953; Wind and Hydropower, (303) 275-4905; Geothermal Technologies, (303) 275-4972; Biomass, (303) 275-4771 and Fuel Cells, (303) 275-4903.

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.doe.gov>

RELATED PROGRAMS:

81.079 Regional Biomass Energy Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Princeton Power - Princeton Power is partnered with First Energy Corp. (Ohio), Center for Power Electronics Systems (Va.), International Battery, Inc. (Pa.), Tectonic Corp (N.J.), and Process Automation Corp. (N.J.). This project addressed finishing details to complete the design for a 100-kilowatt "Demand Response Inverter" based on Princeton's unique circuit designs and the use of new state-of-the-art components. Fiscal Year 2010: Energent Corporation -Energent will demonstrate the innovative features of a geothermal power plant using a scale-resistant heat exchanger design that will allow increased use of low temperature resources. The power plant has the potential to increase power production from the brine flow (the volume of hydrocarbon and water per segment of time that come out of the wellhead) by 40 percent over current technology. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation laws, reports, and as otherwise specified in specific solicitation document(s).

81.089 FOSSIL ENERGY RESEARCH AND DEVELOPMENT

Office of Fossil Energy, Department of Energy

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Public Law 95-91 DOE Organization Act of 1977, as amended by Public Law 109-58 Energy Policy Act 2005. Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-557; Energy Policy and Conservation Act, Public Law 94-163.

OBJECTIVES:

The mission of the Fossil Energy (FE) Research and Development program is to promote the development and use of environmentally and economically superior technologies for supply, conversion, delivery, utilization and reliability constraints of producing and using fossil fuels. These activities will involve cooperation with industry, DOE Laboratories, universities and States. Success in this mission will benefit the Nation through lower energy costs, reduced environmental impact, increased technology exports, and reduced dependence on insecure energy sources.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Emphasis is on applied research and technology development.

Applicant Eligibility:

States, local governments, universities, governmental entities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, U.S. Territories, and individuals are eligible to apply.

Beneficiary Eligibility:

Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, joint Federal/Industry corporations, and individuals will benefit.

Credentials/Documentation:

Prior successful research and development experience in the fossil energy field and related areas. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Any information listed above, is subject to change. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site: <http://www.grants.gov>. Unsolicited Proposals (USP) should be submitted in accordance with the instructions posted at the following web site: <http://www.netl.doe.gov/business/usp/USPGuide.pdf>
This program is subject to the provisions of 10 CFR 600 and FAR 15.6.

Award Procedure:

DOE solicitations sets forth award procedures. Unsolicited proposals will be evaluated by DOE personnel assigned to the specific area with peer reviews as required. This program is excluded from coverage under E.O. 12372.

Deadlines:

Oct 30, 2009: Deadlines are subject to change, please check with contact person(s) listed. None for USP. For others the deadline is stated in the financial assistance opportunity announcement (FOA) posted at <http://www.grants.gov>.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

None.

Renewals:

Awards may be amended or extended as required.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Varies with each grant/cooperative agreement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time period for a grant or cooperative agreement is dependent upon the individual project submitted. See the following for information on how assistance is awarded/released: TBD.

Reports:

Program and/or financial reports may be required. No cash reports are required. Quarterly progress and financial reports are required. The final scientific/technical report is due within 90 calendar days after the grant or cooperative agreement project period ends. TBD. TBD.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Possible project audit, overhead rate audit, final closeout audit. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations." Non-federal entities that expend \$500,000 or more of federal funds within their fiscal year shall have an audit made for that year in accordance with this Circular. For commercial (for-profit)

organizations, audits should be performed in accordance with 10 CFR 600.126(c) and/or 10 CFR 600.316.

Records:

Required by grant/assistance award document.

Account Identification:

89-0224-0-1-271.

Obligations:

(Cooperative Agreements) FY 09 \$381,000,000; FY 10 est \$400,000,000; FY 11 est \$400,000,000

Range and Average of Financial Assistance:

\$10,000 to \$10,000,000/Average:\$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Promoted the development and use of environmentally and economically superior technologies for supply, conversion, delivery, utilization and reliability constraints of producing and using fossil fuels. These activities involved cooperation with industry, DOE Laboratories, universities and States. reduced environmental impact, increased technology exports, and reduced dependence on insecure energy sources. Fiscal Year 2010: The mission of the Fossil Energy (FE) Research and Development program is to promote the development and use of environmentally and economically superior technologies for supply, conversion, delivery, utilization and reliability constraints of producing and using fossil fuels. These activities will involve cooperation with industry, DOE Laboratories, universities and States. Success in this mission will benefit the Nation through lower energy costs, reduced environmental impact, increased technology exports, and reduced dependence on insecure energy sources. Fiscal Year 2011: The mission of the Fossil Energy (FE) Research and Development program is to promote the development and use of environmentally and economically superior technologies for supply, conversion, delivery, utilization and reliability constraints of producing and using fossil fuels. These activities will involve cooperation with industry, DOE Laboratories, universities and States. Success in this mission will benefit the Nation through lower energy costs, reduced environmental impact, increased technology exports, and reduced dependence on insecure energy sources.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Assistance Regulations, 10 CFR 600; Federal Acquisition Regulation 15.6, Unsolicited Proposals; Guide to Unsolicited Proposals: <http://www.netl.doe.gov/business/usp/unsol.html>

Regional or Local Office:

None. National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochran Mill Road, Pittsburgh, PA; 15236-0940. For Unsolicited Proposals, E-mail John Augustine at john.augustine@netl.doe.gov.

Headquarters Office:

Maria Jones, 1000 Independence Ave., SW, Washington, District of Columbia 20623 Email: Maria.Jones@hq.doe.gov Phone: (202) 586-1890.

Website Address:

<http://www.netl.doe.gov> and <http://www.fossil.energy.gov>

RELATED PROGRAMS:

81.057 University Coal Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: TBD. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Technical merit; (2) priority of technical work relating to proposal; (3) relevance to current program objectives; (4) cost-benefit judgment; and (5) amount of cost-sharing by potential recipient.

81.105 NATIONAL INDUSTRIAL COMPETITIVENESS THROUGH ENERGY, ENVIRONMENT, AND ECONOMICS

(NICE3)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended, Public Law 95-91; Energy Policy Act of 1992, as amended, Public Law 102-486.

OBJECTIVES:

This program is in close-out. Financial assistance will not be provided in the future.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

None.

Beneficiary Eligibility:

Both state and local governments benefited from these grants. Commercial firms with expertise in waste reduction and pollution prevention, large and small businesses, and others in the business of preventing pollution and energy conserving technologies also benefited.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. NICE3 is in close-out mode. There is no appropriation for grant project funding.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Unsuccessful applicants from the competitive solicitation were entitled to a written debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects covered a period of up to 3 years with release of funding subject to work progress. Access to process implementation and efficiency data is to be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Access to process implementation and efficiency data must be available for 10 years after project completion to measure performance against expectation and to support commercialization of the technology.

Account Identification:

89-0215-0-1-250.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Funding is not available at this time.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.energy.gov>; <http://www1.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.106 TRANSPORT OF TRANSURANIC WASTES TO THE WASTE ISOLATION PILOT PLANT: STATES AND TRIBAL CONCERNS, PROPOSED SOLUTIONS**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

Waste Isolation Pilot Plant Land Withdrawal Act, Public Law 102-579; National Security and Military Application of Nuclear Energy Authorization Act, Public Law 96-164.

OBJECTIVES:

To enlist cooperation among the Tribal and the Southern, Western, Northeast, and Midwest state governments along the Waste Isolation Pilot Plant (WIPP) shipping corridors in developing plans and procedures for the safe and uneventful transportation of transuranic waste from current temporary storage facilities to the WIPP.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

The participating States, tribes, and the DOE will work to develop, coordinate, and implement activities in accident prevention, emergency preparedness, and public information programs to help ensure the safe transportation of transuranic waste to the WIPP site and safe disposal operations. Financial assistance is provided to support cooperation among the tribes, the Southern, Western, Northeastern, and Midwestern states along the WIPP shipping corridors, in developing plans and procedures for the safe uneventful transportation of transuranic waste from current temporary storage facilities to WIPP. Restrictions on the use of these funds depend on the specific collaborative agreement. Applicants must meet the guidelines established by DOE.

Applicant Eligibility:

Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, Council of State Governments (midwest and eastern regions), and tribal governments along WIPP transportation routes. DOE administers agreements with 10 southern State governments, two Midwest state governments, and two northeast state governments. The Western Governors' Association is the negotiating body for

12 western states on the WIPP transportation route. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the 8 affected tribal governments.

Beneficiary Eligibility:

Benefits from this program will go to DOE and the State and tribal governments located on the WIPP disposal phase shipping corridor.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. After informal communication, a formal proposal by the applicants is submitted to the DOE Carlsbad Field Office. Note: All eligible parties are participating in the program and applications from others will not be accepted.

Award Procedure:

Decisions are made by DOE Carlsbad Field Office. Award documents are then prepared.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Schedule for DOE payments will be established during negotiations. See the following for information on how assistance is awarded/released: Schedule for DOE payments will be established during negotiations.

Reports:

A reporting schedule will be established during negotiations. No cash reports are required. A reporting schedule will be established during negotiations. A reporting schedule will be established during negotiations. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits will be conducted to ensure that funding is properly used. Audits will be performed at appropriate intervals depending on the duration of the project.

Records:

The grantee is expected to maintain records to fulfill auditing needs.

Account Identification:

89-0242-0-1-053.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$28,846,000; FY 10 est \$28,908,000; FY 11 est \$28,970,000

Range and Average of Financial Assistance:

\$50,000 to \$2,150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funds are awarded to program participants for emergency training, equipment, and public information to support the shipment of

transuranic waste to WIPP. As new transportation corridors are scheduled to open, the affected States and Indian Tribes will be funded accordingly. Fiscal Year 2010: Funds are awarded to program participants for emergency training, equipment, and public information to support the shipment of transuranic waste to WIPP. As new transportation corridors are scheduled to open, the affected States and Indian Tribes will be funded accordingly. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Rules and 10 CFR 600 applies.

Regional or Local Office:

None. Department of Energy, Carlsbad Field Office, P.O. Box 3090, Carlsbad, NM 88221-3090. Contact: Bill Mackie, Institutional Affairs Manager, Telephone: (575) 234-7335.

Headquarters Office:

Mary Bisesi, Office of Environmental Management, Office of Disposal Operations, Department of Energy, Washington, District of Columbia 20585
Phone: (301) 903-7416.

Website Address:

<http://www.em.doe.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Details on funded projects for transportation and emergency response planning activities are contained in State and tribal work plans submitted to the Carlsbad Field Office. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The awards are restricted to the entities listed in the "Applicant Eligibility" portion of this program listing. Eligibility is restricted by action of the Western Governors' Association, Southern States Energy Board, the State of New Mexico, and tribal governments along WIPP transportation routes. DOE administers agreements with 10 southern State governments, two mid-west State governments, and zero northeast state governments (at the current time). The Western Governors' Association is the negotiating body for 12 western states on the WIPP transportation route. Each tribal government on the WIPP transportation route elected to represent themselves. Participation in this program is restricted to the above list of organizations and to the 8 affected tribal governments.

81.108 EPIDEMIOLOGY AND OTHER HEALTH STUDIES FINANCIAL ASSISTANCE PROGRAM

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Federal Nonnuclear Energy Research and Development Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101 ; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051;.

OBJECTIVES:

To provide financial support for research, education, conferences, communication, and other activities relating to the health of Department of Energy workers, as well as other populations potentially exposed to health hazards associated with energy production, transmission, and use.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Restrictions on use of funds depend on award provisions. Support is provided for work in such areas as the health experience of DOE and DOE contractor workers; health experience of

populations living near DOE facilities; health experience of workers exposed to toxic substances; use of biomarkers to recognize exposure to toxic substances; conduct of epidemiologic and other health studies relating to energy production, transmission, and use (including electromagnetic fields) in the United States and abroad; compilation, documentation, management, use, and analysis of data for the DOE Comprehensive Epidemiologic Data Resource; and other systems or activities enhancing these areas, as well as other program areas as may be described by notice published in the Federal Register.

Applicant Eligibility:

Colleges and universities, businesses, and nonprofit institutions may apply.

Beneficiary Eligibility:

Colleges and universities, businesses, and nonprofit institutions will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A formal application by the principal investigator interested in doing the work is required. If submitted on behalf of an institution, the application should be sent through the appropriate administrative official. In the case of any competitive solicitations issued under this program, the application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site at <http://www.grants.gov/>.

Award Procedure:

Decisions to approve are made by the Headquarters Program Director. DOE procurement offices are then instructed to negotiate and prepare an appropriate award document.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 120 to 180 days. Normally 6 months.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days. Proposals for renewal are subject to review and acceptance by the Headquarters Office.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The schedule of DOE payments is arranged at the time of award. See the following for information on how assistance is awarded/released:
Incrementally funded unless full funding is available.

Reports:

No program reports are required. No cash reports are required. Monthly progress/status reports detailing monthly activities are required. Monthly expenditure reports detailing accrued costs are required. Performance shall be monitored and details provided in the monthly progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Recipient is expected to maintain records required to audit or otherwise substantiate costs incurred under the grant.

Account Identification:

89-0224-0-1-271.

Obligations:

(Project Grants) FY 09 \$25,000,000; FY 10 est \$25,000,000; FY 11 est \$25,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Results and accomplishments of basic research and training performed under these programs are reported in open scientific literature. The research and training supported by these awards will help train future scientific talent in epidemiology and other health related issues. Fiscal Year 2010: Results and accomplishments of basic research and training performed under these programs will be reported in open scientific literature. The research and training supported by these awards will help train future scientific talent in epidemiology and other health related issues. Fiscal Year 2011: Results and accomplishments of basic research and training performed under these programs will be reported in open scientific literature. The research and training supported by these awards will help train future scientific talent in epidemiology and other health related issues.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 602. Guidelines and current program announcements are included in application kits.

Regional or Local Office:

None.

Headquarters Office:

Ron W. Barnes, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: ron.barnes@eh.doe.gov Phone: (301) 903-1244.

Website Address:

<http://www.hss.energy.gov/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Several cooperative agreements were awarded for work on DOE's Former Worker Medical Screening Program, as required by the 1993 Defense Authorization Act. This program consumes the majority of any funding available for grants as described by CFDA 81.108. Fiscal Year 2010: Will continue to fund several cooperative agreements which were awarded for work on DOE's Former Worker Medical Screening Program, as required by the 1993 Defense Authorization Act. This program consumes the majority of any funding available for grants as described by CFDA 81.108. Fiscal Year 2011: Will continue to fund several cooperative agreements which were awarded for work on DOE's Former Worker Medical Screening Program, as required by the 1993 Defense Authorization Act. This program consumes the majority of any funding available for grants as described by CFDA 81.108.

CRITERIA FOR SELECTING PROPOSALS:

The scientific and technical merit of the proposed research, appropriateness of the proposed method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors set forth in a Notice of Availability or in a specific solicitation.

81.112 STEWARDSHIP SCIENCE GRANT PROGRAM

(Defense Science)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68

Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

(1) To grow the U.S. scientific community through university involvement in areas of fundamental science and technology relevant to stockpile stewardship; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) to train scientists in specific areas of long-term research relevant to stockpile stewardship; (4) to increase the availability of unique experimental facilities sited at NNSA's laboratories to the academic community, particularly for collaborations in areas of relevance to stockpile stewardship; and (5) to develop and maintain a long-term recruiting pipeline to NNSA's laboratories by increasing the visibility of NNSA's Defense Program's scientific activities to the U.S. faculty and student communities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as the salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for basic and applied research in the field of physical science in support of the DOE stockpile stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring an idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Some solicitations are open to institutions of higher education only.

Applicant Eligibility:

Some solicitations are open to institutions of higher education only and others include nonprofit organizations and for profit commercial organizations. See individual funding opportunity announcements for details on eligibility.

Beneficiary Eligibility:

Depending upon the eligibility requirements of the individual solicitation, U.S. public and private institutions of higher education and/or nonprofit organizations and for profit commercial organizations will benefit. The Federal government will also benefit from the research of these grants.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Proposals should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov/>.

Award Procedure:

Proposals will be screened for stewardship relevance and then reviewed for scientific and technical merit by qualified individuals. The review and selection process for each funding opportunity are included in the solicitation. Decisions to approve are made by a Headquarters program official within the Program Office. Selected proposals will be forwarded to the NNSA Albuquerque Service Center for grant development, negotiation and award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal are subject to review and acceptance by the Program Office.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, grants are approved for two-year or three-year project periods and funded one year at a time. Depending upon specific circumstances, grant project periods may range from one year to five years, at the discretion of the program office. Cooperative Agreements typically have five-year project periods and are funded one year at a time. See the following for information on how assistance is awarded/released: The NNSA Service Center will make determination of method of funding release at the time of award.

Reports:

No program reports are required. SF425 quarterly. Progress Reports annually. No expenditure reports are required. Performance monitoring is required for Cooperative Agreements.

Audits:

No audits are required for this program.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$82,852,803; FY 10 est \$87,000,000; FY 11 est \$90,000,000

Range and Average of Financial Assistance:

grants \$50,000 - \$750,000 per year
Cooperative agreements \$1,000,000-\$55,000,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The research supported helped to increase U.S. efforts in physical science relevant to stockpile stewardship and trained future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs. Fiscal Year 2010: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs. Fiscal Year 2011: The research supported helps to increase U.S. efforts in physical science relevant to stockpile stewardship and trains future scientific talent in these areas. Results and accomplishments of basic research performed under these programs are reported in open scientific literature. University research programs often support graduate students who assist principal investigators with research programs.

REGULATIONS, GUIDELINES, AND LITERATURE:

10 CFR 600, Federal Statutes, OMB Circulars, and other Government-wide guidance implementing 10 CFR 600, DOE Acquisition Policy and Guidance, DOE Guide to Financial Assistance.

Regional or Local Office:

None.

Headquarters Office:

Terri Lynn Batuyong, 1000 Independence Avenue, SW, Washington, District of Columbia 20585 Email: terri.batuyong@nnsa.doe.gov Phone: (202) 586-9342 Fax: (202) 586-8005.

Website Address:

<http://www.nnsa.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: For current and past awards please visit <http://www.nnsa.energy.gov/aboutus/ourprograms/defenseprograms/defenseescienceuniversityprograms>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope; (2) Scientific/technical merit of the project, including innovativeness and originality; (3) Expected impact on the area of technical scope addressed; (4) Consistency with the objective of this academic alliances program to focus on advanced experimental investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) For projects currently receiving funding under this program, the quality and scientific impact of recent results and accomplishments; (8) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex. Generally, a higher degree of interaction both quantitatively and qualitatively is considered desirable; (9) Leverage provided by cost sharing with other funding sources.

81.113 DEFENSE NUCLEAR NONPROLIFERATION RESEARCH

Defense Nuclear Nonproliferation Research

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189, 103 Stat. 1684, 42 U.S.C. 2051, Section 3157, 1684 Stat. 42, 2051 U.S.C.; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817, Title I, Section 107, 1240 Stat. 42, 5817 U.S.C.; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; 7101 U.S.C.

OBJECTIVES:

To conduct basic and applied research and development that enhances U.S. national security and reduces the global danger from the proliferation of weapons of mass destruction and special nuclear materials through needs-driven research and development. The emphasis is on developing the requisite technologies to detect and deter nuclear proliferation and to meet U.S. nuclear detonation detection goals. Research focuses on advanced detection systems and concepts to support current and future USG policies. Additionally, a separate, specific, award for the National Science and Security Consortium (NSSC) will be granted. This award will address the pressing shortfalls in trained professionals capable of supporting crucial nuclear nonproliferation missions such as nuclear forensics and international safeguards.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, supplies, equipment, travel, publication costs, services required for conducting nonproliferation research and development to reduce the global danger from the proliferation of weapons of mass destruction. Construction or related costs are not allowed under these project grants. All work must comply with export control regulations.

Applicant Eligibility:

Universities (public and private), institutions of higher education with postdoctoral programs, and nonprofit non-government organizations (public and private) whose activities benefit the general public through results which are available to the National Nuclear Security Administration (NNSA), other

U.S. government agencies, and universities and institutions of higher learning may apply.

Beneficiary Eligibility:

The NNSA, other U.S. government agencies, universities and institutions of higher learning will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher learning. For Non-government organizations, Costs will be determined in accordance with OMB Circular No. A-122 . This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The NNSA Service Center in Albuquerque, New Mexico issues Funding Opportunity Announcements (FOAs) under which formal applications may be submitted by a scientist or principal investigator who will conduct or lead the research team. The FOAs will be posted to Grants.gov which can be accessed at: <http://www.grants.gov>.

Award Procedure:

Evaluations will be conducted by technical staff assigned to the Office of Nonproliferation Research and Development or at the DOE national laboratories. Final decisions for approval are made by the source selection authority. DOE/NNSA Procurement is then instructed to negotiate and prepare the grant award documents. Program management responsibilities may be delegated as a whole or in part to the NNSA Service Center or NNSA Site Offices.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Deadlines will be provided in the individual BAAs posted at Grants.gov.

Appeals:

Not Applicable.

Renewals:

Grants will be modified and extended as required. Renewals are subject to an annual review by the Headquarters and/or NNSA Service Center.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant period is for up to three years depending upon available appropriations. The schedule of payments is arranged at the time of award, but it is expected under the Integrated University Program that proposals will be fully funded for their three year lifecycles in one lump sum. See the following for information on how assistance is awarded/released: Depending on the program some can be awarded lump sum or incrementally funded.

Reports:

No program reports are required. Cash reports are not applicable. Quarterly Progress reports, and final reports are required. Also progress is reported annually at the University Industry Technical Interchange meeting for the life of the project. In the first year creation of a poster for project presentation is required and formal presentations are required thereafter. All projects are subject to this audit process. Expenditure reports are not applicable. Independent performance and merit reviews are required in the second year of the lifecycle. All projects are subject to this audit process.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0243-0-1-053.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$28,160,383; FY 10 est \$25,133,368; FY 11 est \$15,000,000

Range and Average of Financial Assistance:

The expected range of Awards is approximately \$200k to \$999k for the lifecycle of the project. We anticipate one award of \$15M (lifecycle) in support of the Nuclear Science and Security Consortium.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The results and accomplishments from basic and applied research and development performed under these grants are reported in the open scientific literature. University research may be integrated with ongoing research and development programs at the DOE national laboratories which will facilitate access to and use of special facilities and equipment. The objective of these grants is to advance the state of knowledge on science and technology. The final product may lead to improved or new commercial products to ensure availability to the U.S. arms control and nonproliferation community. Fiscal Year 2010: 22 grants awarded. Fiscal Year 2011: We expect 15 grants to be awarded and fully funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

See Code of Federal Regulations (CFR); 10 CFR 600 and OMB Circulars

Regional or Local Office:

None.

Headquarters Office:

Ivy D. Martin, 1000 Independence Ave SW, Washington , District of Columbia 20585 Email: ivy.martin@hq.doe.gov Phone: (202) 586-2246 Fax: (202) 586-0485.

Website Address:

<http://www.nnsa.doe.gov/na-20>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: An Innovative Hybrid Deterministic/Monte Carlo Radiation Transport Method for Modeling Radiation Sensor Systems; Accurate radiometric temperature measurements using thermal infrared imagery of small targets, physics-based modeling, and companion high-resolution; BiI3 Crystals for High Energy Resolution Gamma-Ray Spectroscopy. Fiscal Year 2010: Multi-Element Polarimetric Millimeter Wave Radiometer Utilizing Optical Up-Conversion; Ultrafast Large Area ZnO Thermal Neutron Scintillators for a Near Term Replacement of 3He; Critical Analysis for Model Selection for Nuclear Proliferation Predictions. Fiscal Year 2011: Grants will be awarded to conduct research on: (1) Remote Sensing data analysis techniques (2) improved materials and methods for gamma-ray and neutron detection (3) alternatives to radiation sources for medical and industrial processes (4) safeguard technologies, (5) nuclear forensics and (6) simulation and algorithm science related to nonproliferation.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined on the following criteria: 1) Potential impact and relevance of proposed work; 2) technical merit; 3) qualifications of the proposed investigators; 2) quality of the proposed research plan, and 3) proposed schedule, budget and available resources.

81.117 ENERGY EFFICIENCY AND RENEWABLE ENERGY

INFORMATION DISSEMINATION, OUTREACH, TRAINING AND TECHNICAL ANALYSIS/ASSISTANCE

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Reorganization Act of 1974, 42 U.S.C. 5813(6)(7)(8); ARRA 2010, 42 U.S.C 5813 (6-8); Department of Energy Organization Act of 1977; ARRA 2010, 42 U.S.C 712; Energy Policy Act 1992 , 42 U.S.C 13231.

OBJECTIVES:

The Department of Energy (DOE) seeks to provide financial assistance for information dissemination, outreach, training and related technical analysis/assistance which will: (1) stimulate increased energy efficiency in transportation, buildings, industry and the Federal sector and encourage increased use of renewable and alternative energy; and (2) accelerate the adoption of new technologies to increase energy efficiency and the use of renewable and alternative energy through the competitive solicitation of applications.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives as described in a single competitive solicitation or multiple competitive solicitations. The solicitation(s) will provide funding in various program areas within the Office of Energy Efficiency and Renewable Energy: Solar, Wind and Hydropower, Geothermal, Biomass, Industrial Technologies, FreedomCAR, Building Technologies, Weatherization and Intergovernmental, Federal Energy Management Program, and the Office of the Assistant Secretary for Energy Efficiency and Renewable Energy. Restrictions on use of funds depend on the provisions of the specific project agreement.

Applicant Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities may apply. DOE Laboratories are not eligible.

Beneficiary Eligibility:

Profit organizations, individuals, private nonprofit institutions/organizations, public nonprofit institutions/organizations, State and local governments, Native American organizations, Alaskan Native corporations and universities benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/>. A separate application must be submitted for each DOE Energy Efficiency and Renewable Energy (EERE) Program Area of Interest.

Award Procedure:

All applications which pass an initial review will receive an objective merit review. Objective Merit Review Committees will evaluate applications based on the evaluation criteria set forth in the competitive solicitation. After the Merit Review Committee has completed its evaluations, an EERE Office Director or other Program Official will evaluate the applications against program policy factors set forth in the competitive solicitation. Final decisions will be made by the EERE Assistant Secretary, Deputy Assistant Secretaries, and the Director of the Federal Energy Management Program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time phasing of assistance will vary with the program phase and activity. The maximum term of awards varies among the Program Areas of Interest and ranges from 6 months to 5 years. See the following for information on how assistance is awarded/released: Funds are released through the Electronic Transfer System. Annual budget periods are set by each grantee within parameters established by DOE.

Reports:

No program reports are required. No cash reports are required. Semi-annual reporting is required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs incurred are subject to audit throughout the grant period and before final close-out.

Records:

A grantee is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0215-0-1-272; 89-0224-0-1-271.

Obligations:

(Project Grants) FY 09 \$38,009,812; FY 10 est \$41,240,972; FY 11 est \$45,000,000 - ARRA Funds Totaling \$7,000,000 will be obligated for Weatherization Training, Materials and Workforce Planning.

Range and Average of Financial Assistance:

Vary.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Developed Industrial Assessment Centers and Launched Save Energy Now Initiative. Fiscal Year 2010: Launched Industrial Technologies Program Superior Energy Performance Program and Launched National Administrator of the Solar Instructor Training Network. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: EERE Federal Register notices; EERE Solicitations, which may be accessed through the EERE homepage at: <http://www.eere.energy.gov/>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://management.energy.gov/policy_guidance.htm

Regional or Local Office:

None. EERE Information Center (877) 337-3463.

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of

Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection will be based on an objective merit review recommendation, program policy factors, the amount of funds available, provisions of appropriation law and reports and as otherwise specified in the solicitation document.

81.119 STATE ENERGY PROGRAM SPECIAL PROJECTS

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 710; ARRA 2009, Public Law 91-95, 42 U.S.C 7101; Balanced Budget Down Payment Act II of 1996; ARRA 2009, Public Law 134-104; National Energy Conservation Policy Act of 1978, Public Law 95-619; ARRA 2009, Public Law 619-95; Energy Policy and Conservation Act; ARRA 2009, Title III, Part C, Section 361-366, Public Law 163-94, 42 U.S.C 6321-6326.

OBJECTIVES:

To allow States to submit proposals to implement specific Department of Energy (DOE) Office of Energy Efficiency and Renewable Energy deployment activities and initiatives as Special Projects under the State Energy Program. States will compete for funding to implement activities relating to a number of programmatic areas such as building codes and standards, alternative fuels, industrial efficiency, building efficiency, and solar and renewable technologies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, materials, and supplies, equipment, travel, publication costs, and services required for implementing the activities described in the application.

Applicant Eligibility:

All States plus the District of Columbia, the U.S. Virgin Islands, Puerto Rico, Guam, Samoa, and the Commonwealth of the Northern Mariana Islands may apply.

Beneficiary Eligibility:

States and their project partners will benefit.

Credentials/Documentation:

Costs will be determined in accordance with 10 CFR 600 for State and local Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web-site: at <http://www.grants.gov>. Applicants for sub-awards from the states should consult the office or official designated as the single point of contact within the State for more information on the process the State requires to be followed in applying for assistance.

Award Procedure:

Evaluations will be conducted by staff of the Office of Energy Efficiency and Renewable Energy end-use sectors participating in the annual solicitation. Final

decisions to approve are made by Assistant Secretary Office of Energy Efficiency and Renewable Energy. DOE Field Offices are then instructed to negotiate and prepare the grant award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines are set forth in the solicitation.

Appeals:

None.

Renewals:

Renewals are subject to review by the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Cost sharing is not required however strongly encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Vary. See the following for information on how assistance is awarded/released: Funds are released through the Electronic Transfer System.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs will be verified and subject to audit according to standard government practice and in accordance with OMB Circular No A-102.

Records:

In accordance with 10 CFR 600.

Account Identification:

89-0215-0-1-270.

Obligations:

(Project Grants) FY 09 \$990,405; FY 10 est \$10,576,696; FY 11 est \$100,000 - All FY10 funds are Industrial Technology American Reinvestment and Recovery Act Funds for the Save Energy Now National Initiative.

Range and Average of Financial Assistance:

500,000 - 1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the American Recovery and Reinvestment Act of 2009 and Program requirements issued in Federal Register prior to actual invitation and solicitation to the States.

Regional or Local Office:

None.

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection process will be determined by the criteria announced in the annual solicitation.

81.121 NUCLEAR ENERGY RESEARCH, DEVELOPMENT AND DEMONSTRATION

(NE RD&D)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 32, Public Law 83-703, 68 Stat. 919, 42 U.S.C 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C 5817; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, Stat. , 42 U.S.C 7101; Atomic Energy Act, Section 31, Public Law - , 42 U.S.C 2051.

OBJECTIVES:

Provide financial assistance to address key issues affecting the worldwide use of nuclear energy through the research, development, and demonstration of science and technology fields related to nuclear energy and its underlying sciences.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial assistance, opportunities are defined in individual solicitations available on the DOE website or Grants.gov. Notices published on Grants.gov define research, development, and demonstration areas that the Department intends to support such as: advanced reactors, fuel and reactor concepts that minimize proliferation risks, nuclear fuel and fuel cycles, advanced instrumentation, controls, simulation, modeling and analyses, nuclear waste management, construction, manufacturing and fabrication technologies, and related fundamental nuclear science areas in materials, reactor physics, chemistry, radiochemistry, health physics, and thermal hydraulics and fluid dynamics. Notices published on Grants.gov define development or demonstration projects and study areas activities that the Department intends to support such as: advanced designs, technologies or techniques, new regulatory or licensing requirements, and economic analyses. For a given solicitation, financial assistance may be available in whole or in part, for the following in support of nuclear energy research and related fields in development and demonstration activities: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical activities, market analyses, financing plans and other activities necessary to achieve the objective. Restrictions on use of funds depend on solicitation and award provisions.

Applicant Eligibility:

Federal, State, local governments, universities, consortia, nonprofit institutions, commercial corporations, and individuals may apply.

Beneficiary Eligibility:

Any individual, partnership, corporation, association, joint venture, institution of higher education, or nonprofit organization will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for institutions of higher education. Costs will be determined in accordance with OMB Circular No. A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. DOE solicitations set forth award procedures. Refer to www.ne.doe.gov for additional information.

Award Procedure:

DOE solicitations set forth award procedures. Applications will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation. Evaluations will be coordinated by a Merit Review Chair and conducted by a review board. This responsibility will be performed by the Office of Nuclear Energy or delegated to a DOE Operations Office. Final decisions will be made by the approved Program Officer or the delegated Source Selection Official. For additional information, please refer to www.ne.doe.gov.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Normally 6 to 9 months.

Deadlines for submissions will be posted in the individual funding opportunities posted at <http://www.grants.gov>.

Appeals:

Not Applicable.

Renewals:

Proposals for renewal are subject to review and acceptance by the Program Director or Source Selection Official.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: As stated in each solicitation.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The period of performance and schedule of DOE payments is arranged at the time of award. See the following for information on how assistance is awarded/released: ASAP Method of Payment.

Reports:

As stated in each solicitation. Cash reports are not applicable. As stated in each solicitation. Normally quarterly financial status reports will be required. Performance monitoring is tracked and reported according to set procedures dictated in each solicitation.

Audits:

Not Applicable.

Records:

Award recipient is expected to maintain auditable records to substantiate total costs incurred under the agreement and as required by the financial assistance award.

Account Identification:

89-0319-0-0-000.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$213,986,585; FY 10 est \$140,516,512; FY 11 est \$140,000,000 - ** OMB needs to know breakdown of these numbers (FY2008, FY2009, and FY2010 est.) **.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program accomplishments are available in the Department of Energy's Annual Finance and Performance Report located at www.cfo.doe.gov. Fiscal Year 2010: Program accomplishments will be available in the Department of Energy's Annual Finance and Performance Report located at www.cfo.doe.gov. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Idaho Operations Office, 1955 Fremont Avenue, Idaho Falls, ID 83415. Nuclear Programs Support Division, Contact: Ken Osborne, Telephone: (208) 526-0805.

Headquarters Office:

Kenny K. Osborne, 1955 Fremont Ave, Idaho Falls, Idaho 83415 Email: osbornkk@id.doe.gov Phone: (208) 526-0805.

Website Address:

<http://www.ne.doe.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each proposal will be evaluated based on an objective merit review in accordance with the requirement of "DOE Financial Assistance Regulations" (10 CFR 600) and the evaluation criteria set forth in the competitive solicitations. These criteria will include: appropriateness of the proposal method or approach, competency of research personnel and adequacy of proposed resources, reasonableness and appropriateness of the proposed budget, and other appropriate factors.

81.122 ELECTRICITY DELIVERY AND ENERGY RELIABILITY, RESEARCH, DEVELOPMENT AND ANALYSIS**FEDERAL AGENCY:**

Department of Energy

AUTHORIZATION:

Energy Tax Act of 1978, Public Law 95-618; Department of Energy Organization Act of 1977, as amended, Public Law 95-91; Energy Policy Act of 2005 (EPACT), Public Law 109-58; Energy Security Act of 1980, Public Law 96-294; Energy Policy Act of 1992 (EPACT), Public Law 102-486; Energy Independence and Security Act of 2007 (EISA), Public Law 110-140; Superconductivity and Competitiveness Act of 1988, Public Law 100-697; American Recovery and Reinvestment Act of 2009 (ARRA); Public Law 111-5.

OBJECTIVES:

Through research, development, demonstration, technology transfer, and education and outreach activities, lead national efforts to modernize the electric grid; enhance security and reliability of the energy infrastructure; and mitigate the impact of, and facilitate recovery from, disruptions to the energy supply. Develop cost-effective technology that enhances the reliability, efficiency, and resiliency of the electric grid, while enabling the effective utilization of emerging and renewable generation sources and promoting the rapid deployment and integration of advanced digital "Smart Grid" technology that is needed to modernize the nations electric delivery network for enhanced operational intelligence and connectivity.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Assistance provided as cost-shared cooperative agreements or grants to nonprofit and profit institutions and organizations to develop, demonstrate and transfer technologies or information developed to utilities, energy companies, energy regulators, state and local governments and other stakeholders. ARRA funding includes the Smart Grid Investment Grant Program, Smart Grid Demonstration program, Workforce Training, and State and Local Government Assistance.

Applicant Eligibility:

All types of domestic entities are eligible to apply, such as profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments. Not eligible are Federal agencies, Federally Funded Research and Development Center (FFRDC) Contractors, and nonprofit organizations described in section 501(c)(4) of the Internal Revenue Code of 1986 that engaged in lobbying activities after December 31, 1995.

Beneficiary Eligibility:

Profit organizations, private nonprofit institutions/organizations, universities, research organizations, and state and local governments benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms as furnished by DOE and required by 10 CFR Part 600 must be used to apply for financial assistance, as further specified in competitive solicitation(s). The application forms must be downloaded from the specific funding opportunity announcement posted on the Grants.gov web site: <http://www.grants.gov/>

Award Procedure:

All applications which pass an initial review will receive an objective merit review. The objective merit review will be based on evaluation criteria set forth in the competitive solicitation(s) or criteria set forth in 10 CFR Part 600 for Unsolicited Proposals. Evaluations will be conducted by the Office of Electricity Delivery and Energy Reliability personnel at DOE Headquarters in Washington, DC. This responsibility may also be delegated to DOE Operations Offices and technical experts. Final decisions will be made by the Assistant Secretary, Office of Electricity Delivery and Energy Reliability.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Grants and cooperative agreements may be modified or extended.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: As Specified under Section 988 of the Energy Policy Act of 2005 and EISA, with exceptions, a minimum of 20% cost share is required for research and development. A minimum of 50% is required for demonstration. Higher cost share may be requested. The Smart Grid Investment Grant Program provides grants of up to 50%. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for up to five years, as specified in the solicitation. See the following for information on how assistance is awarded/released: To be determined.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly progress and a final report at the conclusion of the grant or cooperative agreement time period. Expenditure reports required as specified in the grant or cooperative agreement. Typically these reports consist of quarterly expenditure reports and a final report at the conclusion of the grant or cooperative agreement time period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

89-0318-0-1-999 - OE Annual Appropriations; 89-0224-0-1-999;
89-0328-0-1-999 - OE ARRA.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$27,523,427; FY 10 est \$40,000,000; FY 11 est \$30,000,000 - Annual Appropriations. (Project Grants (Cooperative Agreements)) FY 09 \$86,839,976; FY 10 est \$4,150,000,000; FY 11 est \$0 - Recovery Act Appropriations.

Range and Average of Financial Assistance:

FY09 award obligations from annual appropriations ranged from \$140,000 to \$5,200,000, with the average at \$820,000. FY09 ARRA award obligations ranged from \$250,000 to \$8,100,000, with the average at \$1,470,000. FY10 award obligations for annual appropriations range from \$20,000 to \$5,000,000 with the average at \$775,000. FY10 ARRA award obligations range from \$58,000 to \$200,000,000, with the average at \$15,600,000. FY11 award obligations for annual appropriations are expected to be similar to those in FY10. There will be no additional awards using ARRA funds in FY11.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 59 awards were made from ARRA funds, and 35 awards were made from annual appropriations in the areas of Power Electronics, Cyber Security, Transmission Reliability and Integration of Renewable Resources. Fiscal Year 2010: Over 260 awards totalling over \$4 billion were made from ARRA funding, and approximately \$40,000,000 of annual appropriations are scheduled to be awarded to new solicitations in the areas of Power Electronics, Cyber Security and Energy Storage. Fiscal Year 2011: \$30,000,000 is scheduled to be awarded in the areas of Power Electronics, Energy Storage Materials Research and Advanced Computation and Grid Modeling. There will be no additional awards from Recovery Act funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and literature: Office of Electricity Delivery and Reliability homepage, at <http://www.oe.energy.gov>; DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance.htm.

Regional or Local Office:

None. National Energy Technology Laboratory: <http://www.netl.doe.gov>.

Headquarters Office:

Office of Electricity Delivery and Energy Reliability, 1000 Independence Ave SW, Washington, District of Columbia 20585 Phone: (202) 586-1411.

Website Address:

<http://www.oe.energy.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Annual appropriations were awarded to support work in Clean Energy Transmission Reliability, Renewables Integration, Smart Grid R&D, Cyber Security and Energy Storage technologies. Recovery Act funds were awarded for State Government Energy Assurance and Smart Grid Demonstrations. Fiscal Year 2010: Annual appropriations will be awarded to support planned new awards in Power Electronics, Clean Energy Transmission Reliability, Cyber Security and Energy Storage research and development. Remaining ARRA funds will be awarded for the Smart Grid Investment Grant Program, Smart Grid Demonstrations, Workforce Training and Local Government Energy Assurance Initiatives. Fiscal Year 2011: Annual appropriations will be awarded in the areas of Power Electronics, Energy Storage Materials Research and System-level development and Advanced Computation and Grid Modeling. There will be no additional awards from Recovery Act funding.

CRITERIA FOR SELECTING PROPOSALS:

Selection is based on an objective merit review, program policy factors, the availability of funds, provisions of appropriation law and reports and as otherwise specified in specific solicitation document(s).

81.123 NATIONAL NUCLEAR SECURITY ADMINISTRATION (NNSA) MINORITY SERVING INSTITUTIONS (MSI) PROGRAM

National Nuclear Security Administration

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 3157, Public Law 101-189; 103 Stat. 1684, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title I, Section 107, Public Law 93-438; 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy (DOE) Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101; National Nuclear Security Administration Act, Public Law 106-65, as amended; Consolidated Appropriations Act, 2005, Public Law 108-447; Energy and Water Development Appropriations Act, 2006, Public Law 109-103; Revised Continuing Appropriations Resolution, 2007, Public Law 110-5, as amended; Executive Order 13230, President's Advisory Commission on Educational Excellence for Hispanic Americans, October 12, 2001, as amended; Executive Order 13256, White House Initiative on Historically Black Colleges and Universities, February 12, 2002, as amended; Executive Order 13270, Tribal Colleges and Universities, July 3, 2002, as amended.

OBJECTIVES:

The program's primary focus is to engage minority serving institutions (MSIs) in NNSA mission activities, collaborative research projects throughout the NNSA complex, and future workforce development.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support, in whole or in part, may be provided for salaries, stipends, materials and supplies, equipment, travel, publication and training, and services required for conducting research, training, and related activities. Funding is provided for the purpose of exploring an idea that does not duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. Restrictions on the use of funds depend on grant provisions.

Applicant Eligibility:

Historically Black Colleges and Universities (HBCUs), Hispanic Serving Institutions (HSIs), Tribal Colleges and Universities (TCUs) and non-profit institutions servicing HBCUs, HSIs and TCUs.

Beneficiary Eligibility:

The general public, scientists, researchers, student/trainee, graduate students, institutions of higher learning, NNSA, and other US government agencies will benefit. Middle and high school will also benefit due to the institutions of higher learning's programs supported by NNSA.

Credentials/Documentation:

Documentation for indirect cost rates and any other documentation required by 10CFR Part 600 and CFR Title 2. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application forms and instructions are available upon request from the Associate Administrator for Management and Administration Office (NA-60); National Nuclear Administration (NNSA) U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, D.C. 20585; phone: (202) 586-6174.

Award Procedure:

NNSA's technical staff conduct evaluations in accordance with the 10CFR Part 600 and 2CFR. If the proposal meets the pre-established CFR criteria, then a grant is awarded.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. The range is from 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Grants will be modified or extended as required. Renewals are subject to an annual review by the NNSA's Headquarters and/or Operations' program offices.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. According to 10CFR Part 30(2)(b), 20% matching funds for applied research are required. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grant period ranges from 1 to 5 years depending upon available appropriations. Expenditure of funds is allowed during the budget period specified in the grant document. The payment type and schedule are arranged at the time of award. Funding is provided to the grantee on a reimbursable basis unless the grant provisions specify otherwise. See the following for information on how assistance is awarded/released: reimbursement.

Reports:

The DOE Federal Assistance Reporting Checklist (DOE F 4600.2) is incorporated into the grant document at the time of award. This checklist specifies the programmatic and financial reports that are required as well as the timeframe for report submission. Standard Form 425 is completed and submitted on a quarterly basis. The grantee completes the SF-425 to specify the cumulative cash receipts, disbursements and on-hand balance. Quarterly Progress reports indicative of the progress made, planned activity and estimated expenditures for the subsequent quarters are required. A quarterly expenditure report specifying expenses for the quarter, cumulative expenditures and on-hand balance. Progress reports are monitored to measure grantee's progress and expenditures are monitored to ensure that there is a correlation between work performed and expenditures.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Costs incurred are subject to audit throughout the grant period and/or before final payment in accordance with grant provisions and 10CFR Part 600 and CFR Title 2.

Records:

The grantee is required to maintain auditable records in accordance with CFR Part 2 Subpart 215.53.

Account Identification:

89-0299-0-1-050.

Obligations:

(Project Grants) FY 09 \$27,399,000; FY 10 est \$19,855,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Grant awards range from \$250K to \$4.7M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Minority institutions faculty and students are 1) conducting valuable research in support of the NNSA mission requirements, 2) collaborating with NNSA Headquarters, Site Offices and re National Laboratories on projects and scientific and engineering research, 3)educating and graduating nuclear engineers, 4)participating in summer internships around the NNSA complex and 4)forming collaborative partnerships among the participating institutions and other minority and majority of institutions of

higher education. From FY 05 to present, NNSA has made financial assistance awards to 43 Minority Serving Institutions (MSIs) and non-profit organizations totaling over \$109,000,000 (includes \$19M to be awarded by 9/30/10). Fiscal Year 2010: Fiscal Year 2010 funds will be utilized to fund existing grants. However, subject to the availability of funds, new awards will be made. Fiscal Year 2011: Budget projections for Fiscal 2011 is \$10,000,000.00.

REGULATIONS, GUIDELINES, AND LITERATURE:

10CFR Part 600 and CFR Part 2.

Regional or Local Office:

None.

Headquarters Office:

Malika S. Hobbs, 1000 Independence Avenue S.W., Washington, District of Columbia 20585 Email: malika.hobbs@nnsa.doe.gov Phone: (202) 586-6174 Fax: (202) 287-5737.

Website Address:

<http://www.nnsa.doe.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Educating engineers and scientists, scientific research, K-12 science and math initiatives, minority faculty and student summer internships. Fiscal Year 2010: Educating engineers and scientists; scientific research, K-12 science and math initiatives, minority faculty and student summer internships. Fiscal Year 2011: Educating engineers and scientists, scientific research, K-12 science and math initiatives, minority faculty and student summer internships.

CRITERIA FOR SELECTING PROPOSALS:

The selection process is based on the following criteria: 1) Potential impact and relevance of proposed work; 2) technical merit; 3) qualifications of the proposed investigators; 4) quality of the proposed research plan; 5) proposed schedule, budget and available resources.

81.124 PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM

Office of Advanced Simulation and Computing (ASC)

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Atomic Energy Act of 1954, as amended, Section 31, Public Law 83-703, 68 Stat. 919, 42 U.S.C. 2051; Energy Reorganization Act of 1974, Title 1, Section 107, Public Law 93-438, 88 Stat. 1240, 42 U.S.C. 5817; Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-577; Department of Energy Organization Act of 1977, as amended, Public Law 95-91, 42 U.S.C. 7101.

OBJECTIVES:

(1) To foster the science of building integrated, multi-scale, and multi-physics codes with the primary focus on code validation and verification to help advance the newly emergent predictive science in academia; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) and to train scientists in specific areas of long-term research relevant to DOE stockpile stewardship.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial support in whole or in part may be provided for such purposes as salaries, materials and supplies, equipment, travel, publication costs, training costs, and services required for conducting research, training, related activities, and advanced technology projects or assessments. Funding is provided for applied research in the field of computational science in support of the DOE NNSA Stockpile Stewardship program. Restrictions on use of funds depend on grant provisions. Funding is provided for the purpose of exploring a research idea that does not unnecessarily duplicate work already in progress or contemplated by DOE, is not already known to DOE, or has previously unrecognized merit. See the Minimum Requirements in the FOA.

Applicant Eligibility:

Only U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Beneficiary Eligibility:

Only U.S. Public and Private Education Institutions with Ph.D. granting programs can apply.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications should be submitted as specified in the funding opportunity announcement posted at <http://www.grants.gov>.

Award Procedure:

Proposals will be screened for ASC programmatic relevance and then reviewed for scientific and technical merit by qualified individuals. Recommendations for award are made by the ASC office, Defense Programs, NNSA, and selected proposals will be forwarded to the NNSA Service Center in Albuquerque, NM, for grant development and award.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. See individual opportunity announcements at <http://www.grants.gov> for deadlines for each specific announcement.

Appeals:

From 90 to 120 days.

Renewals:

Applications for annual renewal are subject to review and acceptance by the NNSA Office of Advanced Simulation and Computing.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 10%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The NNSA cooperative award assistance is available for total of 5 project-year time window, subject to approval of annual renewals. The annual funding should be expended within the allocated timeframe, with 10% allowed for carryover to the next fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

Annual program reports are required. Quarterly submissions of SF-272 are required. Quarterly technical progress reports are required. Cost accruals are reported quarterly. Annual technical reviews by external review panels are performed.

Audits:

No audits are required for this program.

Records:

Recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant.

Account Identification:

89-0240-0-1-053.

Obligations:

(Project Grants) FY 09 \$15,500,000; FY 10 est \$16,500,000; FY 11 est \$17,500,000

Range and Average of Financial Assistance:

\$17M for each award for 5 project years ranging from mid-FY08 to mid-FY13, with \$3.4 M as the average annual award amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The five directive centers are performing and achieving programs goal. Fiscal Year 2010: (1) To foster the science of building integrated, multi-scale, and multi-physics codes with the primary focus on code validation and verification to help advance the newly emergent predictive science in academia; (2) to promote and sustain scientific interactions between the academic community and scientists at the NNSA laboratories; (3) and to train scientists in specific areas of long-term research relevant to DOE stockpile stewardship. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Thuc Hoang, 1000 Independence Ave. SW., Washington, District of Columbia 20585 Email: thuc.hoang@nnsa.doe.gov Phone: (202) 586-7050 Fax: (202) 586-0405.

Website Address:

<http://www.sandia.gov/NNSA/ASC/univ/psaap.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See list of selected PSAAP Centers at <http://www.sandia.gov/NNSA/ASC/univ/psaap.html>. Fiscal Year 2010: See above list. Fiscal Year 2011: See above list.

CRITERIA FOR SELECTING PROPOSALS:

(1) Alignment with the areas of technical scope called forth in the call for application; (2) Scientific/technical merit of the project; (3) Expected impact on the proposed area of research activity; (4) Consistency with the objective of this academic alliances program to focus on advanced computational science investigations; (5) Qualifications of the Applicant's personnel and adequacy of proposed resources; (6) Feasibility of plans for carrying out the proposed research, considering such factors as: appropriateness of the technical method and approach, facility compatibility, other commitments, competition and timing; (7) Level of interaction with NNSA/DP laboratory personnel and the potential to train students in scientific areas defined by the technical scope in order to build a long-term recruiting pool for the NNSA/DP laboratory complex; (8) Leverage provided by cost sharing by applicant and with other funding sources.

81.126 FEDERAL LOAN GUARANTEES FOR INNOVATIVE ENERGY TECHNOLOGIES

Loan Guarantee Program Office (LGPO) or Advanced Technology Vehicles Manufacturing Loan Program (ATVM). Both of these are part of the Loan Programs Office (LPO).

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Policy Act of 2005, PL 109-58, 119 Stat 1117-1122, Title XVII, 42 USC 15801; August 8, 2005. Energy Independence and Security Act of 2007, PL 110-140, Section 136.

OBJECTIVES:

To encourage, through the use of Federal loan guarantees, early commercial use in the United States of new or significantly improved technologies in energy projects that; 1) avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and (2) employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued. (42 U.S.C. 16513(a)). Also, under section 1705, guarantees can be made for rapid deployment of certain renewable and electric transmission projects. For ATVM (Sec 136 of EISA2007, issue loans to automobile and part manufacturers for cost of

re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components, and for engineering integration costs.

TYPES OF ASSISTANCE:

Direct Loans; Guaranteed/Insured Loans

USES AND USE RESTRICTIONS:

The assistance provides Federal loan guarantees for technologies that avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; and employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued and which provide a reasonable assurance of repayment. Further Program information may be found at

<http://www.lgprogram.energy.gov>.

Projects that may be eligible for a loan guarantee include: Renewable energy systems including wind, photovoltaics, biomass and hydropower projects; Advanced fossil energy technology including gasification, integrated gasification combined cycle, industrial gasification, petroleum coke gasification and coal to oil liquefaction projects; Efficient end use technologies; Hydrogen fuel cell technology for residential, industrial, or transportation applications; Advanced nuclear energy facilities; Carbon capture and sequestration practices and technologies, including agricultural and forestry practices that store and sequester carbon; Efficient electrical generation, transmission, and distribution technologies; Efficient end-use energy technologies; Production facilities for fuel efficient vehicles, including hybrid and advanced diesel vehicles; Pollution control equipment; and Refineries, meaning facilities at which crude oil is refined into gasoline. For ATVM, issue loans to automobile and part manufacturers for cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components, and for engineering integration costs. See above. The terms of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices. The tenor of ATVM loans varies from project to project.

Applicant Eligibility:

Any corporation, company, partnership, association, society, trust, joint venture, joint stock company, or governmental nonfederal entity, that has the authority to enter into, and is seeking, a loan guarantee for a loan or other debt obligation of an Eligible Project. For ATVM loans, automobile manufacturers and parts suppliers are potential recipients. See the www.atvmloan.energy.gov website for details.

Beneficiary Eligibility:

Small businesses, profit organizations, quasi-public nonprofits, public institutions and interstate, intrastate, State and local governments will benefit from the loan guarantee program. For ATVM, DOE has promulgated regulations defining the eligibility requirements for automobile manufacturers which are available on the www.atvmloan.energy.gov website.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application procedures for a Department of Energy Title XVII loan guarantee are unique to each solicitation issued by the Department. Potential applicants should only apply for a loan guarantee under the specific funding opportunity announcement posted on the Federal Government website at <http://www.fedconnect.net> or <http://www.lgprogram.energy.gov>. For additional information, see final regulations, 10 CFR Part 609, Loan Guarantees for Projects That Employ Innovative Technologies; Final Rule, published on

October 23, 2007. For ATVM loans, the application procedure is also detailed in each solicitation. See the <http://www.ATVMloan.energy.gov> website for details.

Award Procedure:

Award Procedures are identified in the individual solicitations for both LGPO and ATVM.

Except for division C of Public Law 108-324, the Secretary shall make guarantees or loans under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Ranges of approval/disapproval times are identified in individual solicitations.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: For the LGPO Section 1703 loan guarantees, this is a "self-pay" program. Applicants will pay the subsidy costs as determined by the credit subsidy calculation. Also, fees will be charged to recover DOE's administrative costs in managing the Loan Guarantee Program. A fee schedule has been determined and published in each solicitation. No guarantee shall be made unless: (1) an appropriation for the cost has been made; or (2) the Secretary has received from the borrower a payment in full for the cost of the obligation and deposited the payment into the Treasury. (42 U.S.C. 16512.) Section 1705 guarantees have appropriated funds for the credit subsidy but applicant's and awardees are subject to additional fees during the application process and after award. See the solicitations at <http://www.lgprogram.energy.gov> for details. For ATVM Section 136 direct loans, there is no self pay for credit subsidy. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The term of an obligation shall require full repayment of principle and interest on the Guaranteed Obligations and other project debt over a period of up to the lesser of 30 years or 90 percent of the projected useful life of the project's major physical assets as calculated in accordance with generally accepted accounting principles and practices. Tenor may be less for the ATVM loans. See the following for information on how assistance is awarded/released: Except for division C of Public Law 108-324, the Secretary shall make guarantees or loans under this or any other Act for projects on such terms and conditions as the Secretary determines, after consultation with the Secretary of the Treasury.

Reports:

The specific reports are detailed in each solicitation and in the final closing documents for the loans or loan guarantees. In addition, any recipients of ARRA funding under the LGPO's Title XVII program must meet the ARRA Section 1512 reporting requirements. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. This includes any ARRA Section 1512 reporting requirements. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. The terms and conditions for each loan or guarantee will contain a schedule of all required reports. The terms and conditions for each loan or guarantee will contain a schedule of all required reports and performance monitoring. In general, the monitoring will be similar to commercial practices.

Audits:

Not Applicable.

Records:

A recipient of a guarantee or loan shall keep such records and other pertinent documents as the Secretary shall prescribe by regulation, including such records

as the Secretary may require to facilitate an effective audit. The Secretary and the Comptroller General of the United States, or their duly authorized representatives, shall have access, for the purpose of audit, to the records and other pertinent documents.

Account Identification:

89-0208-0-1-271 - Title 17, Innovative Technology Loan Guarantee Program, Recovery Act ; 89-0224-0-1-251; 89-0224-0-1-271.

Obligations:

(Guaranteed/Insured Loans) FY 09 \$3,361,100,000; FY 10 est \$1,090,000,000; FY 11 est \$5,660,000,000 - In addition to our FY09 authority, the Loan Guarantee Program will also support the rapid deployment of renewable energy and electric power transmission projects, the Secretary may make loan guarantees for projects that commence construction not later than September 30, 2011", using appropriated credit subsidy cost authority.

Details on numbers above keeping in mind that obligated credit subsidy will leverage a higher number for approved loans or loan guarantees noted in parentheses. Obligations include both ATVM and LGPO and their respective administrative funds plus credit subsidy funds. The key data for potential applicants is to look at the estimated value of loans or loan guarantees issued and not the obligations. Recent and pending Congressional action will impact these numbers significantly.

ATVM

FY09

Admin: \$17.4 M

Credit Subsidy: \$3.280 B (\$8.379 B loans)

FY10 Forecast

Admin: \$20 M

Credit Subsidy: \$1B (\$3.333 B loans)

FY11 Forecast

Admin: \$10 M

Credit Subsidy: 3.2 B (\$10.7 B loans)

LGPO

FY09

Admin: \$23.2 M

Credit Subsidy: \$40.5 M (535 M loans)

FY10 Forecast

Admin: \$35 M

Credit Subsidy: \$35 M (\$262 M Loans)

FY11 Forecast

Admin: \$50 M

Credit Subsidy: \$2,400 M (\$16.0 B loans).

Range and Average of Financial Assistance:

The value of the loan or guarantee will be determined on a project by project basis.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Met or exceeded all goals. Program performance was reported through DOE and OMB and is available on the government-wide websites to the public as part of the Administration's transparency programs. Fiscal Year 2010: Continue to issue loans and loan guarantees in an expedited manner and to meet the negotiated high performance goals in areas such as green house gas reductions, new power generated, and jobs created. Fiscal Year 2011: Continue to issue loans and loan guarantees in an expedited manner and to meet the negotiated high performance goals in areas such as green house gas reductions, new power generated, and jobs created.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following list represents an overview of regulations, guidelines, and

literature associated with the loan guarantee program. Solicitation Number: DE-PS01-06LG00001, - Loan Guarantee Solicitation Announcement, - Federal Loan Guarantees for Projects that Employ Innovative Technologies in Support of the Advanced Energy Initiative, Available at:

<http://www.lgprogram.energy.gov/Solicitationfinal.pdf> Guidelines for Proposals Submitted in Response to the first Solicitation under Title XVII of the Energy Policy Act of 2005, Available at:

<http://www.lgprogram.energy.gov/FinalGuidelines.pdf>. - Final Regulations - Final regulations were published in the Federal Register, 10 CFR 609, Loan Guarantees for Projects That Employ Innovative Technologies; Final Rule, on October 23, 2007. All subsequent solicitations such as the new one for commercial manufacturing of renewable energy systems and components are also on the website identified above for LGPO and at <http://www.atvmlans.energy.gov> for ATVM.

Regional or Local Office:

None.

Headquarters Office:

Michael B. Fraser, 1000 Independence Ave, SW LP-10, Washington, District of Columbia 20585 Email: michael.fraser@hq.doe.gov Phone: (202) 586-1910.

Website Address:

<http://www.lgprogram.energy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to solicitation and guidelines for the criteria to be utilized. Typically, significant weight is given to the credit worthiness of the project, the technical merit, and compliance with eligibility requirements.

81.127 ENERGY EFFICIENT APPLIANCE REBATE PROGRAM (EEARP)

EEARP

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Energy Policy Act of 2005, Title 1, Part B.

OBJECTIVES:

The program provides financial and technical assistance to States to establish residential energy star rated appliance rebate programs. The programs objectives are:

* To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;

* To improve energy efficiency in the residential sector.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Establish or continuation of State energy efficient appliance rebate program to provide rebates to residential consumers for the purchase of residential Energy Star products to replace used appliances of the same type.

Applicant Eligibility:

Assistance available to States and US Territories and possessions.

Beneficiary Eligibility:

Individuals and families are the ultimate beneficiaries.

Credentials/Documentation:

Compliance with State and local environmental statutes and regulations. Costs will be determined in accordance with 10 CFR Part 600 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and

assistance will be available from DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for the Energy Efficient Appliance Rebate Program must be submitted through FedConnect to be considered for award. Information on how to submit applications via FedConnect can be found at https://www.fedconnect.net/FedConnect/PublicPages/FedConnect_Ready_Set_Go.pdf It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

States submit application for available allocation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the solicitation.

Appeals:

As required under the American Recovery and Reinvestment Act of 2009.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant periods under this program will be twenty four months. All funds must be expended within this period. See the following for information on how assistance is awarded/released: No information is provided.

Reports:

No program reports are required. Financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the project period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly progress reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the project period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0331-2-1-272.

Obligations:

(Formula Grants) FY 09 \$29,750,800; FY 10 est \$275,993,500; FY 11 est \$0 - It is anticipated that all funds obligated under this program will be Recovery Act funds.

Range and Average of Financial Assistance:

Range: 500k-6 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: State Energy Efficient Appliance Rebate Program. Fiscal Year 2010: State Energy Efficient Appliance Rebate Program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. Energy Efficiency and Renewable Energy Clearinghouse (1-877) EERE-INFO or (1-877) 337-3463 (Toll Free).

Headquarters Office:

Office of Energy Efficiency and Renewable Energy, 1000 Independence Avenue, SW, Washington, District of Columbia 20585 Phone: (1-877) 337-3463 (Toll Free).

Website Address:

<http://https://www1.eere.energy.gov/informationcenter/>

RELATED PROGRAMS:

81.041 State Energy Program; 81.119 State Energy Program Special Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Formula Grant allocations to supplement State Programs.

81.128 ENERGY EFFICIENCY AND CONSERVATION BLOCK GRANT PROGRAM (EECBG)

EECBG

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

The Energy Efficiency and Conservation Block Grant Program (EECBG) was authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA), signed into Public Law 110-140 on December 19, 2007.

OBJECTIVES:

The program provides financial and technical assistance to assist State and local governments create and implement a variety of energy efficiency and conservation projects. The programs objectives are:

- * To reduce fossil fuel emissions created as a result of activities within the jurisdictions of eligible entities;
- * To reduce the total energy use of the eligible entities; and
- * To improve energy efficiency in the transportation, building, and other sectors.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants (Special)

USES AND USE RESTRICTIONS:

A wide variety of activities are eligible for use of the grant funds including:

- * Developing/implementing an energy efficiency and conservation strategy and retaining technical consultant services to assist in the development of such a strategy.
- * Conducting residential and commercial building energy audits.
- * Establishing financial incentive programs for energy efficiency improvements (e.g., loan programs, rebate programs, waive permit fees.)
- * Providing grants to nonprofit organizations to perform energy efficiency retrofits.
- * Developing/implementing programs to conserve energy used in transportation (e.g., flex time by employees, satellite work centers, promotion of zoning requirements that promote energy efficient development, transportation infrastructure: bike lanes/pathways, pedestrian walkways, and synchronized traffic signals).

- * Developing and implementing building codes and inspection services to promote building energy efficiency.
- * Implementing energy distribution technologies.
- * Developing public education programs to increase participation and efficiency rates for recycling programs.
- * Purchasing/implementing technologies to reduce and capture methane and other greenhouse gases generated by landfills or similar sources.
- * Installing light emitting diodes (LEDs).
- * Developing, implementing, and installing renewable energy technologies on or in any government building.
- * Any other activity as determined by the Secretary of Energy in consultation with the Secretaries of Transportation and Housing and Urban Development and the Administrator of the Environmental Protection Agency.

Applicant Eligibility:

State and local governments including US Territories and Possessions.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No preapplication form or conference is required by DOE. Consultation and assistance will be available from DOE in the preparation of the application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms must be downloaded from the specific funding opportunity announcement posted on the grants.gov website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.net

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

States and eligible units of local governments and Tribes will submit applications for available allocation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the Funding Opportunity Announcement.

Appeals:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: There is no local match requirement for the EECBG, however leveraging of funds on the part of the recipient is encouraged.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant periods under this program will be eighteen months. Funds must be under contract within eighteen months. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No program reports are required. No cash reports are required. Quarterly project and financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Quarterly project and financial status reports are required. Quarterly financial reports required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with the Energy Independence and Security Act of 2007 and DOE procurement procedures.

Account Identification:

89-0331-2-1-272.

Obligations:

(Formula Grants) FY 09 \$1,495,369,254; FY 10 est \$1,696,992,137; FY 11 est \$0 - All obligations under this program will be made with Recovery Act funds.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Developed programs that lowered energy use, reduce carbon pollution, and create green jobs locally. Fiscal Year 2010: Continue to develop programs that lower energy use, reduce carbon pollution and create green jobs locally. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. Energy Efficiency and Renewable Energy Clearinghouse (1-877) EERE-INFO or (1-877) 337-3463 (Toll Free).

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.041 State Energy Program; 81.042 Weatherization Assistance for Low-Income Persons

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Alaska used its Recovery Act EECBG funding to implement energy efficiency and renewable energy projects in local communities across the state, including energy audits and building retrofits, transportation efficiency programs, and installations of renewable energy technologies on government buildings. Fiscal Year 2010: Philadelphia LED Traffic Light Project. The Philadelphia Streets Department is using a portion of the city's

EECBG funding to convert 85,000 LCD traffic light bulbs with LED lights.
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

As described under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009.

81.129 ENERGY EFFICIENCY AND RENEWABLE ENERGY TECHNOLOGY DEPLOYMENT, DEMONSTRATION AND COMMERCIALIZATION

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

EPACT 1992, EPACT 2005, EISA 2007, ARRA 2009.

OBJECTIVES:

This program provides financial assistance for the technology deployment, demonstration, and commercialization of Energy Efficiency and Renewable Energy technologies. This includes Biomass, Building Technologies, Federal Energy Management, Geothermal Technologies, Projects involving Hydrogen, Fuel Cells and Infrastructure Technologies, Industrial Technologies, Solar Energy Technologies, Vehicle Technologies, Weatherization and Intergovernmental, and Wind and Hydropower technologies.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

No information provided.

Applicant Eligibility:

Anyone who meets the requirements specified in the funding opportunity announcement at <http://www.grants.gov> may apply.

Beneficiary Eligibility:

Beneficiaries are states and local governments and other public and private institutions.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms must be downloaded from the specific funding opportunity announcement posted on the [grants.gov](http://www.grants.gov) website at <http://www.grants.gov/> and submitted per instructions in the funding opportunity announcement.

Applicants must register with FedConnect to submit their application. FedConnect website: www.fedconnect.net

Submission deadlines will be published in the funding opportunity announcement at <http://www.grants.gov>

It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

Applications which pass an initial review will receive an objective merit review. An objective Merit Review Committee will evaluate applications in accordance with the evaluation criteria set forth in the competitive solicitation.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Unsuccessful applicants from the competitive solicitation are entitled to a written debriefing by DOE program officials with a clear explanation of why the proposal was not accepted for funding.

Renewals:

Renewals are subject to review by the DOE Project Management Center and the headquarters program office and subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Federal funds may be awarded to applicants that can match DOE Federal funds at 50 percent. Other matching requirements may be determined by specific legislation or as allowed by the Secretary of Energy.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

No information provided. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Quarterly project and financial status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. No cash reports are required. Quarterly project status reports are required. Additional terms and conditions are invoked by ARRA 2009. A final report is required at the end of the contract period. In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. A 10-year tracking and follow-up reporting mechanism may be required. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit procedures in accordance with OMB Circular No. A-133. In addition, audit requirements need to comply with the Energy Independence and Security Act of 2007 and DOE procurement procedures as well as with 10 CFR 600.

Records:

In accordance with the Energy Independence and Security Act of 2007, EPACT 1992 and 2005, ARRA 2009 and DOE procurement procedures. Access to process implementation and efficiency data may be required to be available for 10 years after project completion to measure performance against expectation and support commercialization of the technology. The grantee is expected to maintain records to fulfill auditing needs.

Account Identification:

89-0321-0-1-270.

Obligations:

(Cooperative Agreements) FY 09 \$21,775,078; FY 10 est \$7,217,263; FY 11 est \$0 - It is anticipated that all funds obligated under this program will be Recovery Act funds. FY2011 budget unconfirmed to date however upon confirmation will be updated accordingly.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE financial assistance Rules and 10 CFR 600 applies.

Regional or Local Office:

None.

Headquarters Office:

LaTonya A. Poole, 1000 Independence Ave., SW, Washington, District of Columbia 20585 Email: latonya.poole@ee.doe.gov Phone: (202) 586-3835.

Website Address:

<http://www.eere.energy.gov>

RELATED PROGRAMS:

81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are restricted to the entities listed in the Applicant Eligibility portion of this program listing. All election criteria will conform to EISA 2007, EPACT 1992 and 2005, and ARRA 2009 requirements as applicable to the specific project authorization.

81.130 CARBON CAPTURE AND STORAGE-FUTUREGEN 2.0

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

H.R. 1 American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

The objective of this Office of Fossil Energy (FE) Carbon Capture and Storage (CCS) program is to award \$1 billion in funding from the American Recovery and Reinvestment Act of 2009 (ARRA) to the FutureGen Industrial Alliance (Alliance), Ameren Energy Resources, Babcock & Wilcox, and Air Liquide Process & Construction, Inc., to build FutureGen 2.0, a clean coal repowering program and carbon dioxide (CO2) storage network. FutureGen 2.0 consists of two major projects: (1) repowering of an existing power plant for CO2 capture and (2) developing a CO2 transportation and storage system consisting of a pipeline and a deep saline injection reservoir.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Emphasis is on research, development and demonstration of CCS.

Applicant Eligibility:

Private industry, individuals, and nonprofit organizations may apply.

Beneficiary Eligibility:

These projects address the ARRA objectives by:

providing substantial employment opportunities for local and regional organizations, thus ensuring a well trained energy workforce, maintaining US scientific leadership in advanced near-zero emission technologies for fossil-fuel-based power plants, reducing greenhouse gas emissions through carbon capture and geologic sequestration; and reducing dependence on foreign oil and enhancing energy security through efficient use of abundant and secure domestic resources.

Consistent with the intent of the ARRA, in the near-term it is anticipated that these projects collectively would generate up to 750 construction jobs over a 3-4 year period and creation of 1,255 or more permanent jobs in management, operation and maintenance of the plant, pipeline and storage facility. During the projects test periods, it is estimated that a minimum of 1 million tons/year of CO2 will be delivered, transported and sequestered. Injection into the Mt. Simon formation would allow for verification of safe and permanent CO2 storage in saline formations.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Decisions to approve are made by the Assistant Secretary of Fossil Energy and negotiations are conducted by the Office of Procurement in Headquarters and/or the procurement office located in the field.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Awards may be amended or extended as required.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A Department-wide cost sharing requirements is established by Section 988 of the Energy Policy Act (EPAct) of 2005 for most research, development, demonstration, and commercial application activities initiated after the date of enactment of EPAct 05 (August 8, 2005). The Secretary may reduce cost sharing requirements for demonstration and commercial application activities as necessary and appropriate, taking into consideration any technological risk relating to the activity. The DOE target for this program is 20 percent. See the following for information on how assistance is awarded/released: TBD.

Reports:

No program reports are required. No cash reports are required. A final technical report is due within 90 days after completion of the project. There will be additional reports associated with the Recovery Act requirements and included in the terms and conditions of the award document. Quarterly financial reports are required. There will be additional reports associated with the Recovery Act requirements and included in the terms and conditions of the award document. No performance monitoring is required. Quarterly financial reports will be required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be performed monthly to ensure that funds have been applied in accordance with the American Recovery and Reinvestment Act of 2009. Possible project audit, overhead rate audit, final closeout audit. For commercial (for-profit) organizations, audits should be performed in accordance with 10 CFR 600.126(c) and/or 10 CFR 600.316.

Records:

Required by the agreement terms and conditions.

Account Identification:

89-0211-0-1-271.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$1,000,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

\$400M - \$590M/each.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations, 10 CFR 600

Regional or Local Office:

See Regional Agency Offices. National Energy Technology Laboratory,

Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochran Mill Road, Pittsburgh, PA; 15236-0940. Contact: john.augustine@netl.doe.gov.

Headquarters Office:

Maria Jones, Department of Energy, Fossil Energy Program, 1000 Independence Avenue S.W., Mail Stop FE-3, Washington, District of Columbia 20585 Email: Maria.Jones@hq.doe.gov Phone: (202) 586-6660.

Website Address:

http://www.fossil.energy.gov

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

81.131 EXPAND AND EXTEND CLEAN COAL POWER INITIATIVE

Expand and Extend Clean Coal Power Initiative Round III Funding Opportunity Announcement

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

H. R. 1 American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

The mission of the Office of Fossil Energy (FE) Clean Coal Power Initiative (CCPI) Round III Funding Opportunity Announcement is to gain broader carbon capture and storage experience at the commercial-scale by expanding the relevant technologies, applications, fuels and geologic formations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Emphasis is on applied research and technology development and commercial demonstration of technology. The restrictions are outlined in the FOA.

Applicant Eligibility:

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Beneficiary Eligibility:

.

Credentials/Documentation:

Prior successful experience with current state of the art technologies that will support the next stage Carbon Capture and Storage (CCS) development for coal based power generation technologies at the commercial scale. Pre-application letters are requested, but not required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant should follow the procedures listed in the specific funding opportunity announcement (FOA) posted on the Grants.gov (www.grants.gov) and FedConnect (www.fedconnect.net) websites.

Award Procedure:

Decisions to approve are made by the Assistant Secretary for Fossil Energy and negotiations are conducted by the Office of Procurement in DOE and/or the procurement office located in the field.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the FOA.

Appeals:

Not Applicable.

Renewals:

Awards may be modified or extended as required.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50.%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Yes, there is a restriction placed on the time permitted to spend the money awarded. See the following for information on how assistance is awarded/released: The length of time for financial assistance awards is dependent upon the individual project submitted.

Reports:

In addition, a monthly report will be required. A final technical report is due within 90 days after completion of the project. There will be additional reports associated with the Recovery Act requirements and included in the terms and conditions of the award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Required by the agreement terms and conditions.

Account Identification:

89-0211-0-1-271.

Obligations:

(Salaries) FY 09 \$50,000,000; FY 10 est \$745,000,000; FY 11 est not reported.
- Goals: Demonstrate capacity for capturing and injecting large volumes of CO2 from commercial and industrial sources (e.g., cement plants, chemical plants, refineries, steel and aluminum plants, manufacturing facilities, etc.) into underground formations. Target a capture level of 75 percent of the CO2 that would be otherwise emitted to the atmosphere for sequestration or beneficial re-use. Include a small allocation for innovative concepts for beneficial CO2 reuse.

Range and Average of Financial Assistance:

Up to \$300000000 and Average \$200000000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Reviews of CCPI-3 applications were completed and projects were selected. Selection and award of Major Demonstration projects significantly expands the opportunities to demonstrate CCS at commercial scale in geologic formation. All \$796 million in Recovery Act Funding allocated for CCPI-3 was awarded to four CCPI-3 projects. These projects were awarded to Hydrogen Energy California LLC (HECA) on August 30, 2009, American Electric Power Service Corporation (AEP) and Summit Texas Clean Energy LLC (STCE) on January 20, 2010, and NRG Energy Inc. on May 7, 2010. 57 jobs have been created thus far.

Goals: Projects demonstrate advanced coal-based technologies that will capture and sequester or put to beneficial use carbon emissions. The selections demonstrate technologies that make progress toward a target CO2 capture efficiency of 90%; make progress toward a capture and sequestration goal of

less than 10% increase in the cost of electricity for gasification systems and less than 35% for combustion and oxycombustion systems; and capture and sequester or put to beneficial use an amount of CO₂ emissions in excess of the minimum of 300,000 tons per year required by CCPI. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations at 10 CFR 600

Regional or Local Office:

None. National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochrans Mill Road, Pittsburgh, PA; 15236-0940. Contact: john.augustine@netl.doe.gov.

Headquarters Office:

Maria Jones, 1000 Independence Ave., SW, Washington, District of Columbia 20623 Email: Maria.Jones@hq.doe.gov Phone: (202) 586-1890.

Website Address:

<http://www.netl.doe.gov>

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria include:

1. Technical Merit
2. Project Organization and Project Management
3. Commercialization Potential
4. Funding Plan
5. Financial Business Plan.

81.132 GEOLOGIC SEQUESTRATION SITE CHARACTERIZATION

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

H.R. 1 American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

The mission of the Office of Fossil Energy (FE) Geologic Sequestration Site Characterization grant is to comprehensively characterize a minimum of ten geologic formations which could include saline formation, oil and gas fields, and coal seams. Utilize site characterizations to accelerate carbon capture storage in a range of geologic formations that encompass the entire United States. Leverage existing work completed by the Regional Carbon Sequestration Partnerships and State Geologic Surveys.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Emphasis is on applied research and technology development.

Applicant Eligibility:

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Beneficiary Eligibility:

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Credentials/Documentation:

Prior successful R&D experience in Geologic Sequestration and Site Characterization focusing on the characterization of geologic storage formations related to saline formations, depleted oil fields, or coal seams. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The applicant should follow the procedures listed in the specific funding opportunity announcement posted on the Grants.gov or FedConnect website. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The application forms must be downloaded from the specific funding opportunity announcement (FOA) posted at <http://www.grants.gov> or at <https://www.fedconnect.net/FedConnect/>

Award Procedure:

Decisions to approve are made by the Assistant Secretary for Fossil Energy and negotiations are conducted by the Office of Procurement in Headquarters and/or the procurement office located in the field.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the FOA.

Appeals:

Not Applicable.

Renewals:

Awards may be modified or extended as required.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length and time for financial assistance awards is dependent upon the individual project submitted. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No program reports are required. No cash reports are required. Quarterly progress and financial reports are required. A final technical report is due within 90 days after completion of the project. Quarterly financial reports are required. There will be additional reports associated with the Recovery Act requirements and included in the terms and conditions of the award document. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits will be performed monthly to ensure that funds have been applied in accordance with the American Recovery and Reinvestment Act of 2009. Possible project audit, overhead rate audit, final closeout audit. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations." Non-federal entities that expend \$500,000 or more of federal funds within their fiscal year shall have an audit made for that year in accordance with this Circular. For commercial (for-profit) organizations, audits should be performed in accordance with 10 CFR 600.126(c) and/or 10 CFR 600.316.

Records:

Required by the agreement terms and conditions.

Account Identification:

89-0211-0-1-271.

Obligations:

(Cooperative Agreements) FY 09 \$58,000; FY 10 est \$49,900,000; FY 11 est not reported. - These are all Recovery Act dollars.

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Almost all projects were awarded on 12/1/09. 11 projects were selected to characterize 11 different geologic formations through the

United States. Nine projects are focusing the characterization efforts on geologic sinks below the surface of the land in the United States. Two of the projects are determining capacity estimates of geologic formation offshore of the United States. The Geologic Sequestration Site Characterization project kick-off meeting was held on February 3-4, 2010 at NETL in Pittsburgh. All of the projects presented reviews of their project plans during the meeting. By June 30, 2010, each of the recipients has begun the reservoir data collection tasks of geological and geochemical formation information for their projects (such as deep area well logs, in-house logs, oil and gas field files, scout tickets, collection of rock samples, collection of natural gas content information, collection of seismic data, and collection of stratigraphic and lithologic characterization information from reference sources). Many of the projects also begun collection of hydrological data, which provides information about groundwater formations and groundwater flow. Projects are also creating databases to store this information. 27 jobs have been created thus far.

Goals: The projects will work to determine the usefulness of potential geologic storage sites, and augment existing data sets through coordination with a public database and participating in technical working groups on best practices for site characterization and approving storage site selection. The information gained from these projects will further DOE's effort in developing a national assessment of CO₂ storage capacity in deep geologic formations. The data from these projects will be incorporated in the NATCARB database and geographic information system. This will make the results of this research accessible to the public and industry looking to understand future opportunities for building commercial sequestration projects throughout the United States. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations, 10 CFR 600

Regional or Local Office:

None. National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochran Mill Road, Pittsburgh, PA; 15236-0940. Contact: john.augustine@netl.doe.gov.

Headquarters Office:

Maria Jones 1000 Independence Avenue S.W., Mail Stop FE-3, Washington, District of Columbia 20585 Email: Maria.Jones@hq.doe.gov Phone: (202) 586-1890

Website Address:

<http://www.netl.doe.gov>, <http://www.fossil.energy.gov>

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The merit review evaluation criteria will be published in the FOA such as: (1) Technical merit; (2) priority of technical work relating to proposal; (3) relevance to current program objectives; (4) cost-benefit judgment; and (5) amount of cost-sharing by potential recipient.

81.133 GEOLOGIC SEQUESTRATION TRAINING AND RESEARCH GRANT PROGRAM

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

H.R. 1 American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

The mission of the Fossil Energy (FE) Sequestration Training and Research Grant Program is to develop a future generation of geologists, scientists and engineers to fill the gap and provide the skills required for National-scale, large volume geologic storage projects. This program will emphasize advancing educational opportunities across a broad range of minority colleges and universities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Enhance educational opportunities across a broad range of universities, colleges, and university affiliated research institutions to develop a future generation of geologists, scientists and engineers to expand the workforce and provide the skills required for national-scale, commercial geologic storage projects. Educational and training opportunities will be developed by seeking grant applications from universities, colleges, and university affiliated research institutions to educate and train undergraduate and graduate level students through research related to CCS in geology, geophysics, geomechanics, geochemistry and reservoir engineering disciplines needed to staff a broad national CCS program targeting underground storage and monitoring of carbon dioxide (CO₂) in deep geologic formations. Fundamental research is needed to advance science in: simulation and risk assessment; monitoring, verification, and accounting; geological related analytical tools; methods to interpret geophysical models; CO₂ capture; well completion and integrity; and water production/clean-up/alternative use.

Applicant Eligibility:

TBD.

Beneficiary Eligibility:

TBD.

Credentials/Documentation:

Prior successful experience in the education and training of Carbon Capture and Storage (CCS) technologies to develop a future generation of geologists, scientists, and engineers in CCS technologies. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant should follow the procedures listed in the specific funding opportunity announcement (FOA) posted on the Grants.gov (www.grants.gov) and FedConnect (www.fedconnect.net) websites.

Award Procedure:

Decisions to approve are made by the Assistant Secretary for Fossil Energy and negotiations are conducted by the Office of Procurement in Headquarters and/or the procurement office located in the field.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Deadlines are identified in the FOA.

Appeals:

Not Applicable.

Renewals:

Awards may be modified or extended as required.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This information will be published in the FOA. See the following for information on how assistance is awarded/released: This information will be published in the FOA.

Reports:

Program reports are not applicable. Cash reports are not applicable. A final technical report is due within 90 days after completion of the project. There will be additional reports associated with the Recovery Act requirements and included in the terms and conditions of the award document. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be performed to ensure that funds have been applied in accordance with the American Recovery and Reinvestment Act of 2009. Possible project audit, overhead rate audit, final closeout audit.

Records:

Required by the agreement terms and conditions.

Account Identification:

89-0211-0-1-271.

Obligations:

(Project Grants) FY 09 \$19,467,707; FY 10 est \$0; FY 11 est not reported. - There will be an estimated \$12.93M directed to the Universities and another \$6.9M directed to training facilities.

Range and Average of Financial Assistance:

TBD.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Status: All 43 research projects were awarded on 12/1/09. These projects will train future engineers and scientists by supporting their research efforts on various aspects of CCS. All seven training projects were awarded on 11/16/09. These projects establish educational programs for college students, researchers, professionals, and trades personnel at institutions throughout the United States, which will lead to developing a new generation of geologists, scientists, and engineers. All seven Regional Sequestration Technology Training projects participated in a joint kick-off meeting held on 11/18/09, in conjunction with the Regional Carbon Sequestration Partnerships Annual Review Meeting in Pittsburgh, PA. The seven projects presented reviews of their projects during the meeting. All 43 Geologic Sequestration Training and Research projects participated in separate kick-off meetings via WebEx teleconferences held from 11/30/09 to 3/29/10, which were organized by the NETL project managers in conjunction with the project principal investigators. Each of the seven Regional Training Centers has identified target audiences for the workshops and developed short courses in an effort to create a skilled workforce for the carbon capture and storage (CCS) industry. The institutes have established that the training programs will be comprised of experts having world class technology transfer, training, and expertise on the subject of CCS. Prior to June 30, 2010, the institutes have also established that the trainers will develop basic training materials for their participants and deliver them efficiently through an established technology transfer network with online capabilities and a communications program. By identifying potential participants and completing training schedules, the institutes have initiated sequestration technology training programs to prepare geologists, engineers, scientists, information specialists, regulators, and other professionals with the training required to participate in the growing sequestration industry. 55 jobs have been created thus far.

Goals: Develop a future generation of geologists, scientists, and engineers to fill the gap and provide the skills required for National-scale, large-volume geologic storage projects. Emphasize advancing educational opportunities across a broad range of minority colleges and universities

. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations, 10 CFR 600

Regional or Local Office:

None. National Energy Technology Laboratory, Acquisition & Assistance Division; P.O. Box 10940, Mail Stop 921-107, 626 Cochrans Mill Road, Pittsburgh, PA; 15236-0940. Contact: john.augustine@netl.doe.gov.

Headquarters Office:

Maria Jones, 1000 Independence Ave., SW, Washington, District of Columbia

20623 Email: Maria.Jones@hq.doe.gov Phone: (202) 586-1890.

Website Address:

<http://www.netl.doe.gov>

RELATED PROGRAMS:

81.089 Fossil Energy Research and Development

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The merit review evaluation criteria will be published in the FOA such as: (1) Technical merit; (2) priority of technical work relating to proposal; (3) relevance to current program objectives; (4) cost-benefit judgment; and (5) amount of cost-sharing by potential recipient.

81.135 ADVANCED RESEARCH AND PROJECTS AGENCY ENERGY FINANCIAL ASSISTANCE PROGRAM

ARPA-E

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

This agency was chartered and authorized in 2007 in the America COMPETES Act (Public Law 110-69).

OBJECTIVES:

To support the Presidents National Objectives for the Department of Energy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ARPA-E will fund research and development of transformational energy related technologies.

Applicant Eligibility:

Any recipient other than foreign entity.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Compliance with Federal, State and local environmental statutes and regulations, as required under the Energy Independence and Security Act of 2007. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Pre-application required in the form of a Concept Paper. Consultation and assistance will be available from DOE in the preparation of the application. This program is not eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications for ARPA-E must be submitted through FedConnect to be considered for award. Information on how to submit application via FedConnect can be found at: <https://www.fedconnect.net/FedConnect/PublicPages/FedConnectReadySetGo.pdf>. It is the responsibility of the applicant to verify successful transmission.

Award Procedure:

All eligible applications will be considered through the Departments Merit Review Process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are identified in the Funding Opportunity Announcement.

Appeals:

As required under the Energy Independence and Security Act of 2007 and

American Recovery and Reinvestment Act of 2009.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20.%. There is a requirement for cost sharing of at least 20%, a higher percentage may be required for individual Funding Opportunity Announcements. Educational institutions that are sole grantees, and teams/consortia consisting exclusively of educational institutions that receive funding may be eligible for a reduced cost share of not less than 10% of the total allowable project costs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As required under the Energy Independence and Security Act of 2007 and American Recovery and Reinvestment Act of 2009. See the following for information on how assistance is awarded/released: No information provided.

Reports:

In accordance with the Energy Independence and Security Act of 2007, DOE procurement procedures and the Recovery Act of 2009. Quarterly progress reports will be required along with a final report. Cash reports are not applicable. Progress reports are not applicable. Quarterly financial reports will be required along with a final report. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit requirements laid out in the OMB Circulars apply to the ARPA-E Program. Circulars are available at the White House website.

Records:

In accordance with the Energy Independence and Security Act of 2007, DOE procurement procedures and the Recovery Act of 2009.

Account Identification:

89-0336-0-1-270 - Energy Transformation Acceleration Fund - Recovery Act.

Obligations:

(Cooperative Agreements) FY 09 \$3,000,000; FY 10 est \$384,000,000; FY 11 est \$0 - Energy Transformation Acceleration Fund - Recovery Act. (Cooperative Agreements) FY 09 \$0; FY 10 est \$0; FY 11 est \$200,000,000 - Energy Transformation Acceleration Fund.

Range and Average of Financial Assistance:

Varies.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Successfully stood up the ARPA-E program, created policies and procedures for new program, issued initial Funding Opportunity Announcement. Fiscal Year 2010: Fund 110 transformational energy technology research and development projects. Fiscal Year 2011: Fund 50 transformational energy technology research and development projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described under the Energy Independence and Security Act of 2007, America COMPETES Act and the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Antony DiGiovanni, Department of Energy, 1000 Independence Avenue, S.W., Washington, District of Columbia 20585 Phone: (202) 287-1030.

Website Address:

<http://www.arpa-e.energy.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

As described in the FOA.

81.136 LONG-TERM SURVEILLANCE AND MAINTENANCE

FEDERAL AGENCY:

Department of Energy

AUTHORIZATION:

Department of Energy Organization Act of 1977, as amended Public Law 96-573; Resource Conservation and Recovery Act (RCRA), Public Law 95-480; Superfund Amendments and Reauthorization Act of 1986, Public Law 99-499; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) as amended; Uranium Mill Tailings Radiation Control Act of 1978, Public Law 95-604; Atomic Energy Act of 1954, Section 31, as amended, Public Law 83-703; Executive Order 12898, Federal Actions To Address Environmental Justice in Minority Populations and Low-Income Populations.

OBJECTIVES:

The objectives of the Office of Legacy Management are to manage the Departments post-closure responsibilities and ensure the future protection of human health and the environment. This Office has control and custody for legacy lands, structures, and facilities and is responsible for maintaining them at levels suitable for their long-term use. This Office also provides environmental assistance to enable communities around DOE sites to address environmental issues.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Financial assistance is provided in support of the program objectives. Restrictions on the use of funds depend on the provisions of the specific project agreement. Applicants must meet the guidelines established by DOE or contained in the specific Congressional authorization for a particular program being supported.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

These determinations will be made by DOE Headquarters. An environmental impact statement may be required depending on the program and project. An environmental impact statement is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. After informal communication with DOE Headquarters Office, a formal proposal by the organization interested in doing the work must be submitted through an appropriate official of the corporate entity, organization or institution. A Standard Form 424, Application for Federal Assistance, Standard Form 424A, Budget Information for Non-Construction Programs, Standard Form 424B, Assurances for Non-Construction Programs, and a written proposal should be submitted to the project office and include: a title, objectives, time frame, and a budget breakdown.

Award Procedure:

Projects are reviewed at the Office of Legacy Management and award decisions are made throughout the fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

90 to 180 days.

Appeals:

None.

Renewals:

Awards may be modified or extended as required.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Varies with each grant/cooperative agreement.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time period for a grant or cooperative agreement is dependent upon the individual project proposed. See the following for information on how assistance is awarded/released: Varies with each grant/cooperative agreement.

Reports:

Program reports are not applicable. Cash reports are not applicable. A final report may be due at the end of the grant or cooperative agreement. SF 425, Federal Financial Report is required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

A recipient is expected to maintain auditable records to substantiate the total costs incurred under the grant or cooperative agreement.

Account Identification:

89-0243-0-1-270.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$3,046,453; FY 10 est \$3,937,887; FY 11 est \$3,443,635 - Additional Information: FY 2009 - Two applications under the 81.136 CFDA number were processed and awarded for \$833K out of the \$3M that was obligated under this program office. The remaining \$2.2M of FY09 obligations were awarded using other CFDA numbers that existed before this program office and the 81.136 CFDA number were established. FY 2010 Three applications under the 81.136 CFDA number were processed and awarded for \$1.5M out of the estimated \$3.9M obligations planned under this program office. The remaining \$2.4M of the estimated FY10 obligations are to be awarded under this program and will be issued using other CFDA numbers that existed before this program office and the 81.136 CFDA number were established. The 81.136 CFDA program belongs to the Office of Legacy Management which took over awards that transferred from a variety of program offices after the Legacy Management program was established during FY04.

Range and Average of Financial Assistance:

\$10,000 to \$4,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: awarded 29 Grants and 4 Cooperative Agreements; Fiscal Year 2010; awarded 24 Grants and 14 Cooperative Agreements; Fiscal Year 2011: No Change anticipated. Fiscal Year 2010: It is anticipated that 3 applications will be received and 3 awards will be granted in fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

DOE Financial Assistance Regulations (10 CFR 600); and the DOE Guide to Financial Assistance, both of which may be accessed through the DOE Office of Management home page at http://www.management.energy.gov/policy_guidance.htm

Regional or Local Office:

None. Deborah J. Boggs, Business Management Specialist, Office of Legacy Management, 3600 Collins Ferry Road, Morgantown, WV 26505, (304) 285-4473.

Headquarters Office:

Ingrid B. Colbert, 1000 Independence Aven., S.W., Washington, District of Columbia 20585 Email: Ingrid.Colbert@hq.doe.gov Phone: (202) 856-1431.

Website Address:

<http://www.lm.doe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals are selected based on statutory eligibility and work that is beneficial to the overall accomplishment of the Office of Legacy Management goals and objectives.

Goal 1: Protect human health and the environment through effective and efficient long-term surveillance and maintenance.

Goal 2: Preserve, protect, and make accessible legacy records and information.

Goal 3: Support an effective and efficient work force structured to accomplish Departmental missions and assure continuity of contractor worker pension and medical benefits.

Goal 4: Manage legacy land and assets, emphasizing protective real and personal property reuse and disposition.

Goal 5: Improve program effectiveness through sound management.

In most cases, the criteria for award on the specific scope are associated with the site and the local entities. All grants/cooperative agreements are awarded to entities specifically associated with a respective site and regulatory agreements (i.e., State has oversight rights). Grants/cooperative agreements are almost always sole-source. Criteria may include cost of the project, applicants qualifications, and applicability to LMs mission being To manage the Department's post-closure responsibilities and ensure the future protection of human health and the environment. Legacy Management has control and custody for legacy lands, structures, and facilities and is responsible for maintaining them at levels consistent with Departmental long-term plans.

DEPARTMENT OF EDUCATION

84.002 ADULT EDUCATION - BASIC GRANTS TO STATES

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), Title II of the Workforce Investment Act of 1998, 20 U.S.C. 9201 et seq.

OBJECTIVES:

To fund local programs of adult education and literacy services, including workplace literacy services, family literacy services, and English literacy and integrated English literacy-civics education programs. Participation in these programs is limited to adults and out-of-school youths aged 16 and older who do not have a high school diploma or equivalent and who are not enrolled or required to be enrolled in a secondary school under State law. See 20 USC 9202(1).

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Act lists specific performance measures on which States are assessed. These include: demonstrated improvements in literacy skill levels in reading, writing, and speaking the English language, numeracy, problem-solving, English language acquisition and other literacy skills; placement in, retention in, or completion of postsecondary education, training, unsubsidized employment, or career advancement; receipt of a high school diploma or its equivalent; other objective, quantifiable measures, as identified by the State agency. Not more than 12.5 percent of the State allotment may be used for State leadership activities, including professional development; 82.5 percent or more of the State allotment must be used to support local programs and corrections

education and other institutionalized individuals. Not more than 8.25 percent of the State allotment may be used for correctional education and other institutionalized individuals; not more than 5 percent (or \$65,000, whichever is greater) of the State allotment may be used for State administrative costs. Annual appropriations have in recent years set aside an amount that is reserved for English literacy and civics education services to immigrants and other limited English proficient populations. In FY10, the amount was \$75 million. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Formula grants are made to designated eligible State agencies that under State law are responsible for administering or supervising statewide policy for adult education and literacy, including such entities as State educational agencies (SEAs), postsecondary agencies, or workforce agencies. State agencies shall provide direct and equitable access to: local educational agencies; public or private nonprofit agencies; community-based organizations of demonstrated effectiveness; institutions of higher education; volunteer literacy organizations of demonstrated effectiveness; libraries; public housing authorities; nonprofit institutions not described above that have the ability to provide literacy services to adults and families; and consortia of the entities described above.

Beneficiary Eligibility:

Adults and out-of-school youths who are 16 years of age and older, who are not enrolled or required to be enrolled in secondary school under State law, and who lack sufficient mastery of basic educational skills to enable them to function effectively in society or do not have a secondary school diploma or its recognized equivalent, and have not achieved an equivalent level of education, or are unable to speak, read, or write the English language.

Credentials/Documentation:

A 5-year State plan as provided for in Section 224 of the AEFLA, Education Department General Administrative Regulations, 34 CFR 74, 76, 77, 79, 80, 81, 82, 85, and 86 and 34 CFR Part 462. The State plan shall include a certification from a State official that all provisions and amendments thereto are consistent with the Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The Director of Adult Education and Literacy will provide guidance on specific problems and technical assistance in the preparation of State Plans. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each eligible educational agency must submit to the Secretary of Education a 5-year State plan that sets forth the manner and procedures under which the State will carry out activities to achieve its program objectives and priorities and fulfill the purposes of the Act.

Award Procedure:

The Department approves the 5-year State plan and any amendment thereto and makes allocations to the State each July 1.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

States are afforded an opportunity for hearings.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Initial Allotment: Eligible outlying areas receive an initial allotment of \$100,000 and eligible states, including the District of Columbia and Puerto Rico, receive an initial allotment of \$250,000.

Matching Requirements: Generally, the remainder is allotted to all eligible agencies on the basis of the ratio of adults and youths age 16 and older who are not enrolled or required to be enrolled in secondary school under State law and who do not hold a high school diploma or equivalent. No agency may receive less than 90 percent of its allotment from the preceding fiscal year. The data source is a special tabulation by the Bureau of the Census. Matching Requirements: To receive a grant, States, the District of Columbia, and Puerto Rico must provide a nonfederal contribution in an amount equal to 25 percent of the total amount of funds expended for adult education and literacy activities in the State. To receive a grant, eligible outlying agencies must provide a nonfederal contribution in an amount equal to 12 percent of the total amount of funds expended for adult education and literacy activities. This program has supplement, not supplant and maintenance of effort (MOE) requirements. See Section 241 (a) and (b), 20 usc 925 (a) and (b). Because of the nonsupplanting requirement the applicant must use a restricted indirect cost rate, which is referenced under 34 CFR 76 564-76.569. For assistance call the Office of the Chief Financial Officer / Indirect Cost Group on 202-708-7770. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds must be obligated within 27 months of their date of availability. States draw funds as needed under the Electronic Transfer System. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR, and as approved by OMB. Cash reports are not applicable. Progress reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR as approved by OMB. Expenditure reports: Annual Financial and Program Performance Reports in accordance with the provisions in EDGAR. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the date of submission of the final Financial Status Report.

Account Identification:

91-0400-0-1-501.

Obligations:

(Formula Grants) FY 09 \$55,581,045; FY 10 est \$628,221,000; FY 11 est \$612,315,000

Range and Average of Financial Assistance:

FY 10: \$803,620-\$95,761,504; \$11,852,975 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See:

<http://www2.ed.gov/about/offices/list/ovae/pi/AdultEd/facts-figures.html>.

Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Adult Education General Provisions and State-Administered Basic Grant Program.

Regional or Local Office:

None.

Headquarters Office:

Anthony Corio, 400 Maryland Ave., Washington, District of Columbia 20202
Email: Anthony.Corio@ed.gov Phone: (202) 245-6836.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/pi/AdultEd/index.html>

RELATED PROGRAMS:

84.191 Adult Education_National Leadership Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.004 CIVIL RIGHTS TRAINING AND ADVISORY SERVICES**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Civil Rights Act of 1964, Title IV, as amended.

OBJECTIVES:

To provide technical assistance and training services to school districts to cope with educational problems occasioned by race, gender, and national origin desegregation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds support Equity Assistance Centers to provide technical assistance and training, upon request, in the areas of race, sex, and national origin to public school districts and other responsible governmental agencies to promote equitable education opportunities. The centers work in the areas of civil rights, equity, and school reform. This assistance helps schools and communities ensure that equitable education opportunities are available and accessible for all children.

Applicant Eligibility:

Any private, nonprofit organization or any public agency, (other than a State educational agency or school board), may apply.

Beneficiary Eligibility:

Educational personnel and elementary and secondary students in local school districts benefit.

Credentials/Documentation:

Submission of a proposal for one or more of the sections of Title IV, Civil Rights Act of 1964. More detailed information may be found in the regulations. OMB Circular No. A-122 for nonprofit organizations also applies. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Final recommendations are made following advice from a panel of peer reviewers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30 to 90 Days.

Appeals:

Not Applicable.

Renewals:

Equity assistance centers receive 3-year awards if they meet the criteria for annual continuation in the regulations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are appropriated on an annual basis. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and ED General Administrative Regulations for Direct Project Grant and Contract Programs, 34 CFR 74, 75. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 09 \$9,489,000; FY 10 est \$6,989,000; FY 11 est \$6,989,000

Range and Average of Financial Assistance:

\$556,321-\$757,353, year 1; \$690,707 - average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2009: Appropriations language included \$2.5 million for one-time grants for local educational agencies facing challenges in creating student assignment plans that comply with the 2007 Supreme Court decision regarding student assignment plans and race. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 270, 271, and 272 apply.

Regional or Local Office:

None.

Headquarters Office:

Sandra Brown, School Support and Technology Programs, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: sandra.brown@ed.gov Phone: (202) 260-2638.

Website Address:

<http://www.ed.gov/programs/equitycenters/index.html> or
<http://www.ed.gov/about/contacts/gen/othersites/equity.html>

RELATED PROGRAMS:

84.165 Magnet Schools Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Year 3 continuation/renewal awards. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

New equity assistance center applications are evaluated on the basis of selection criteria, in Section 272.30(a)-(g), addressing mission and strategy, organizational capability, plan of operation, quality of key personnel, budget and cost-effectiveness, evaluation plan, and adequacy of resources. The highest ranking application for each geographical service area is selected for an award. A competition is planned for FY11.

84.007 FEDERAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITY GRANTS

(FSEOG)

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 3, 20 U.S.C. 1070b-1070b-3.

OBJECTIVES:

To provide need-based grant aid to eligible undergraduate postsecondary students to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Grants are for undergraduate study, and range from \$100 to \$4,000 per academic year. However, if reasonable study abroad costs exceed the cost of attendance at the home institution, the amount of the grant may exceed the \$4,000 maximum by as much as \$400. A student is eligible to receive a FSEOG for the period of time needed to complete the first undergraduate baccalaureate course of study being pursued by that student. If it awards grants to students in that year an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000, expended plus 4 percent of expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent of expenditures in excess of \$5,500,000.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation (see listing of ED Regional Offices in Appendix IV). Students should contact the institution(s) they attend or wish to attend. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Participating institutions submit the Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Federal Student Aid office. New institutions submit only the application form.

Award Procedure:

The Federal Student Aid office determines final awards and notifies participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

Oct 01, 2009 Deadlines are specified on the application (usually October 1). Contact the program office for additional information.

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1, and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

There are annual renewals.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part A, Subpart 3.

Matching Requirements: Funds are allocated to institutions on the basis of the institution's base guarantee and pro rata share and then on demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Needs Analysis Methodology specified in statute. This program requires institutional matching funds. The Federal share may not exceed 75 percent unless waived based on objective criteria established in regulations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. See the following for information on how assistance is awarded/released: Specified in the award document.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED 646-1) is submitted by each institution at the close of each award year (June 30). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits are performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to the activities of a given fiscal year must be retained for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$757,465,000; FY 10 est \$757,465,000; FY 11 est \$757,465,000

Range and Average of Financial Assistance:

The average award in FY 2009 was \$736. The average award in FY 2010 is estimated to be \$736.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Institutions awarded \$958,816,000 in aid to 1,302,740 students. Fiscal Year 2010: Institutions will award \$958,816,000 in aid to 1,302,740 students. Fiscal Year 2011: It is estimated that institutions will award

\$958,816,000 in aid to approximately 1,302,740 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 676, Student Guide fact sheet, no charge; Student Financial Aid Handbook, no charge; Notification to Members of Congress, list of grantees, published annually or as required, no charge; Federal Needs Analysis Methodology Booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

See Regional Agency Offices. Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>; www.studentaid.gov

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance; 84.031 Higher Education Institutional Aid; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.010 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES

(Title I Basic, Concentration, Targeted and Education Finance Incentive Grants)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title I, Part A, 20 U.S.C 6301.

OBJECTIVES:

To help local educational agencies (LEAs) and schools improve the teaching and learning of children failing, or most at-risk of failing, to meet challenging State academic achievement standards.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Use of funds varies, depending on whether a school is operating a schoolwide program under Section 1114 of Title I of the ESEA or a targeted assistance program under Section 1115 of the ESEA. A school with at least a 40 percent poverty rate may choose to operate a schoolwide program under Section 1114 that allows Title I funds to be combined with other Federal, State, and local funds to upgrade the school's overall instructional program. Schoolwide program schools must receive the amount of non-Federal resources they would have received in the absence of Title I funds. All other participating schools must operate targeted assistance programs, which provide extra instruction to those children failing, or most at risk of failing, to meet challenging State academic achievement standards. Targeted assistance programs must ensure that Title I services supplement, not supplant the regular education programs normally provided with non-Federal funds by local educational agencies. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs) and the Secretary of the Interior. Local educational agencies (LEAs) and Indian tribal schools are subgrantees.

Beneficiary Eligibility:

Children who are failing, or most at risk of failing, to meet challenging State academic standards.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. SEAs apply for funds as part of Title I, Part A, State plans submitted to the Department of Education in accordance with Section 1111 of ESEA or as part of a consolidated State plan submitted under Section 9302 of ESEA. The plan remains in effect for the duration of the State's participation in Title I, Part A, but must be updated to reflect substantive changes. Participating LEA's must file an approved plan with their SEAs.

Award Procedure:

The Department of Education (ED) makes grants through SEAs to LEAs using statutory formulas based primarily on Census Bureau estimates of the number of children from low-income families in each LEA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

If an LEA's plan is disapproved by the SEA it may appeal to the SEA and then to the Department of Education under Section 432 of the General Education Provisions Act (GEPA).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part A.

Matching Requirements: The statute includes four separate formulas for allocating Title I Grants to LEAs. Under the statute, Basic and Concentration Grants are funded at the 2001 appropriation level. Basic Grants are allocated to almost all local educational agencies based on each State's per-pupil expenditure for education and the number of school-aged children from low-income families. Concentration Grants are allocated to LEAs having more than 6,500 children from low-income families or a poverty rate of more than 15 percent. In addition, funds appropriated in excess of the fiscal year 2001 level are allocated as Targeted Grants, which make higher payments to LEAs with higher numbers or percentages of poor children. The law also includes a separately authorized Education Finance Incentive Grants formula, which incorporates factors designed to measure a State's commitment to provide sufficient education funding, as well as how equitably that funding is distributed across districts. Allocations from all four formulas are combined into a single award to eligible LEAs. Within LEAs, funds are targeted to schools with the greatest percentages of poor children.

This program has MOE requirements, see funding agency for further details. The maintenance of effort requirements in sections 1125A(e) and 9521 of the ESEA apply to Title I, Part A.

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure under section 421(b) of GEPA, subject to the carryover limitation of section 1127 of the ESEA. See the following for information on how assistance is awarded/released: The information is contained in the award documents.

Reports:

States submit annual performance reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR) in the Appendix to 34 CFR, Part 80, requires State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with section 443(a) of the General Education Provisions Act, States and LEAs must maintain certain program records for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 09 \$492,401,000; FY 10 est \$492,401,000; FY 11 est \$492,401,000

Range and Average of Financial Assistance:

The estimate range of awards in FY 2009 is \$33,766,098 - \$1,651,553,208. The average award is \$275,836,865. The estimated range of awards in FY 2010 is \$29,984,004 - \$1,726,744,093. The average award is \$275,836,865. The estimated range of awards in FY 2011 is \$32,464,829 - \$1,743,542,341. The average award is \$275,836,865.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For Title I guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Susan Wilhelm, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, District of Columbia 20202 Email: susan.wilhelm@ed.gov Phone: (202) 260-0984.

Website Address:

<http://www.ed.gov/programs/titleiparta/index.html> .

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.013 Title I Program for Neglected and Delinquent Children

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.011 MIGRANT EDUCATION_STATE GRANT PROGRAM

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part C, 20 U.S.C 6391.

OBJECTIVES:

To assist States in ensuring that migratory children have the opportunity to meet the same challenging State content and performance standards that all children are expected to meet.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Program funds are used to support high-quality and comprehensive educational programs that address the needs of migratory children. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569.

Applicant Eligibility:

State educational agencies or consortia of State educational agencies and other appropriate entities may apply.

Beneficiary Eligibility:

Children, ages 0 through 21, of migratory agricultural workers or migratory fishers, including children (i.e. persons age 21 or under) who are agricultural workers or migratory fishers or who are the spouses of migratory workers or migratory fishers, who have moved across school district lines within the past 36 months to obtain temporary or seasonal employment in agriculture or fishing work.

Credentials/Documentation:

States are responsible for ensuring that information documenting a child's eligibility is recorded on a Certificate of Eligibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State educational agency works closely with local educational agencies, IHEs, and public and nonprofit private agencies that serve migratory children. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. State educational agencies submit applications to the Department of Education that meet the requirements of the Elementary and Secondary Education Act and applicable regulations.

Award Procedure:

The Department of Education notifies an approved State educational agency of its award through a Grant Award Notification (GAN).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part C.

Matching Requirements: Funds are allocated through a statutory formula based on each State's per pupil expenditure and the number of eligible full-time and part-time equivalent migrant students aged three through twenty-one residing within the State. In determining the full-time and part-time equivalent number of migratory children who are in a State during the summer months, the Secretary adjusts the number to take into account the special needs of those children for summer and/or intersession programs and the additional costs of operating such programs during the summer. Beginning in 2003, each State will receive at least 100 percent of its fiscal year 2002 allocation and any amount in

excess of that appropriation will be distributed by the formula included above. Sec 1303, ESEA.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are for a period of twelve months. Unspent funds may be carried over into the next fiscal year. See the following for information on how assistance is awarded/released: Electronic funds transfer.

Reports:

Each SEA receiving funds is required to submit an annual report that provides information on the number of children identified as eligible for the program, characteristics of children served the services provided, staff, and student performance. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain program records must be maintained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 09 \$394,771,000; FY 10 est \$394,771,000; FY 11 est \$394,771,000

Range and Average of Financial Assistance:

The range of awards in FY 2008 was \$83,907 - \$129,842,389; FY 2009 was \$78,046 - \$139,756,105..

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The MEP awarded 48 grants and identified 462,316 eligible migrant children. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200.

Regional or Local Office:

None.

Headquarters Office:

Frances Moran, Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202-6135 Email: frances.moran@ed.gov Phone: (202) 260-1164.

Website Address:

<http://www.ed.gov/programs/mep/index.html>.

RELATED PROGRAMS:

84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program; 84.149 Migrant Education_College Assistance Migrant Program; 84.213 Even Start_State Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.013 TITLE I STATE AGENCY PROGRAM FOR NEGLECTED AND DELINQUENT CHILDREN

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part D.

OBJECTIVES:

To help provide educational continuity for neglected and delinquent children and youth in State-run institutions for juveniles and in adult correctional institutions, so that these youth can make successful transitions to school or employment once they are released.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used for supplemental education services including transition services. If certain criteria are met, state agencies are eligible to use funds for institutionwide program. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs). State agencies responsible for providing free public education for those children in institutions who are neglected or delinquent, responsible for children who are enrolled in education programs in adult correctional facilities, and responsible for those children in community day programs who are neglected or delinquent may apply to their state education agencies for subgrants.

Beneficiary Eligibility:

Children in a State institution for neglected or delinquent children, or in an adult correctional institution, or a community day school operated by a State agency.

Credentials/Documentation:

There are cost principles for this program. See 34 CFR 80.22, which incorporates OMB Circular A-87 (Cost Principles for State, Local and Indian Tribal Governments), now codified at 2 CFR Part 225. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An SEA may apply for State Agency Neglected and Delinquent funds as (1) part of its Title I, Part D, State plan submitted to the Department under Section 1414 of ESEA or (2) as part of a consolidated State plan under Section 14302 of ESEA. To receive funds, State agencies must apply to the SEA and provide the information and assurances required in Section 1414 of ESEA.

Award Procedure:

The Department of Education's Office of Elementary and Secondary Education awards grants to State educational agencies, that in turn, award subgrants to the State agencies that have submitted applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

Not Applicable.

Renewals:

Although State plans are effective for the duration of Title I's authorization, SEAs are required to update plans to reflect changes. State agencies must update their applications annually to receive funds.

Formula and Matching Requirements:

Statutory Formula: Title I, Part D, Subpart A.

Matching Requirements: Funds are allocated through a formula based on the number of children in State-operated institutions and per-pupil education expenditures for the State. Each State's allocation is generated by child counts in State institutions that provide at least 20 hours a regular program of instruction from nonfederal funds; adult correctional institutions must provide 15 hours of instruction a week.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, awards to States are made from July 1 to September 30 of the following year. In addition, funds remain available to the State for an additional fiscal year for obligation and expenditure. See the following for information on how assistance is awarded/released: Contact Program Office.

Reports:

Each State agency conducting a Part D program is required to evaluate the program's impact on students served at least once every 3 years. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit requirements for this program are set forth in 34 CFR 80.26, which requires grantees to comply with the Single Audit Act Amendment of 1996 and OMB Circular A-133 (Audits of States, Local Governments and Non-Profit Organizations). 80.26(b) also requires grantees to determine whether subgrantees have complied with the Act and OMB Circular A-133.

Records:

In accordance with the General Educational Provisions Act and the Education Department General Administrative Regulations, certain project records must be maintained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 09 \$48,927,040; FY 10 est \$50,427,000; FY 11 est \$50,427,000

Range and Average of Financial Assistance:

2009: Range: \$69,671 - \$2,953,982; Average: \$945,506
2010: Range: \$69,671 - \$2,957,521; Average: \$945,506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Rm. 3C130, Washington, District of Columbia 20202 Email: john.mclaughlin@ed.gov Phone: (202) 401-0962.

Website Address:

<http://www.ed.gov/programs/titleipartd/index.html>.

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 93.645 Child Welfare Services_State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current

Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

State agencies are eligible to receive assistance if they are providing free public education for children who are (1) in institutions for neglected or delinquent children; (2) attending community day programs for neglected or delinquent children; or (3) in adult correctional institutions.

84.015 NATIONAL RESOURCE CENTERS PROGRAM FOR FOREIGN LANGUAGE AND AREA STUDIES OR FOREIGN LANGUAGE AND INTERNATIONAL STUDIES PROGRAM AND FOREIGN LANGUAGE AND AREA STUDIES FELLOWSHIP PROGRAM

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 602.

OBJECTIVES:

Centers: To promote instruction in modern foreign languages and area and international studies that are critical to national needs by supporting the establishment, strengthening and operation of such programs at colleges and universities. Centers may focus on undergraduate training only or may be comprehensive, including undergraduate, graduate, and professional training components. Fellowships: To meet the needs of the United States for experts in modern foreign languages combined with area studies and world affairs by supporting fellowships for undergraduate and graduate study at institutions of higher education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Centers: Funds may be used for instructional costs of language and area and international studies programs, administration, lectures, conferences, library resources and staff, outreach, and travel. Fellowships: Funds may be used for academic year and summer fellowships to institutions of higher education (IHEs) in order to assist graduate students in acquiring foreign language and either area or international studies competencies. A fellowship awarded to an undergraduate student may include an allowance for educational programs in the United States or education programs abroad that meet certain criteria.

Applicant Eligibility:

Centers: U.S. institutions of higher education or consortia of institutions of higher education are eligible to apply. Applying institutions provide evidence of existing resources and institutional commitment to language and area and international studies through a curriculum that provides instruction dealing with a particular country or world area and its languages, or with international studies and modern foreign languages, including the international aspects of professional or other fields of study. Fellowships: Accredited U.S. institutions of higher education or consortia of institutions of higher education offering area or international studies programs combined with language study are eligible to apply for allocations of fellowships.

Beneficiary Eligibility:

Centers: U.S. institutions of higher education or consortia of institutions of higher education will benefit. Fellowships: Undergraduate and graduate students enrolled in funded centers and programs will benefit. Student candidates must be U.S. citizens or nationals or permanent residents training in area or international studies and in modern foreign languages programs that have or are developing performance-based language instruction. Undergraduate students must be engaged in intermediate level study or above of a less commonly taught language. Training must be undertaken through an institution that has an allocation of Foreign Language and Area Studies (FLAS) Fellowships.

Credentials/Documentation:

. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible institutions may submit proposals for the funding of centers only, fellowships only, or both when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of proposals are available from the International Education and Programs Service.

Award Procedure:

Centers: Final recommendations are made to the Secretary following evaluations from panels of experts that evaluate applications according to published selection criteria. Fellowships: The student should contact his/her sponsoring institution for information concerning application forms, deadlines, and related matters. Institutional proposals are approved or disapproved by the Secretary, following the same procedures as for Center applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days.

Appeals:

Not Applicable.

Renewals:

Higher education institutions receiving funds for four year phased program support must submit annual performance reports for the second, third and fourth year for continuation of such support. Students seeking fellowships apply to their institutions for each summer or academic year award period. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Centers: Grants are awarded for project period of up to 48 months. Payments are made as stipulated in the grant. Renewals are subject to the availability of funds. Fellowships: Academic year and summer fellowship awards to student recipients are made by the institutions on a competitive basis. If summer fellowships are issued, they will be for a summer session in which the equivalent of an academic year's foreign language study is completed. Payments are made by the grantee institution. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

Centers: Centers submit interim and annual performance reports through the International Resource Information (IRIS) system. Centers submit a final performance report 90 days after the project end date. Fellowships: FLAS granting institutions report on fellowship award recipients at the beginning and end of each award period. Institutional final reports (awards lists) and budget reports are required annually. Cash reports are not applicable. Contact program office. Expenditure reports are not applicable. Contact program office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grant or relating to the accountability for awarded funds must be available upon request: (1) for 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of completion of Federal financial audit, whichever is later. Fellowships: All records bearing on the receipt and expenditure of funds under the program must be available for inspection by the Department: (1) for 3 years after the fiscal

year in which grant funds are spent, (2) until audit or for 5 years after grant, or (3) until resolution of any audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$32,583,000; FY 10 est \$34,219,000; FY 11 est \$34,219,000 - For Centers. (Project Grants) FY 09 \$33,097,000; FY 10 est \$35,400,000; FY 11 est \$35,400,000 - For Fellowships.

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655, 656, and 657, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Carla White, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, Washington, District of Columbia 20006 Email: carla.white@ed.gov Phone: (202) 502-7631.

Website Address:

<http://www.ed.gov/programs/iegpsnrc/>; or
<http://www.ed.gov/programs/iegpsflas/>.

RELATED PROGRAMS:

84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.220 Centers for International Business Education; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

34 CFR 655, 656, and 657 apply to the Centers and Fellowships Programs. Selection criteria for evaluating applications and selecting centers are listed in the Title VI, International Education Program Regulations in 34 CFR 655.31, 656.21 or 656.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. 34 CFR 657.21 gives the criteria for selection of institutional awards for fellowship allocations. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.016 UNDERGRADUATE INTERNATIONAL STUDIES AND FOREIGN LANGUAGE PROGRAMS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part A, Section 604.

OBJECTIVES:

The Undergraduate International Studies and Foreign Language Program issues awards to institutions of higher education and public and nonprofit private agencies and organizations. The purpose of the awards is to: (1) assist institutions of higher education to plan, develop, and carry out a program to strengthen and improve undergraduate instruction in international studies and foreign languages; and (2) assist associations and organizations to develop projects that will make an especially significant contribution to strengthening and improving undergraduate instruction in international studies and foreign languages.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each program assisted with Federal funds must primarily enhance the international academic program of the institution. Eligible activities may include but are not limited to: development of a global or international studies program that is interdisciplinary in design; development of a program that focuses on issues or topics, such as international business or international health; development of an area studies program and its corresponding foreign languages; creation of innovative curricula that combine the teaching of international studies with professional and preprofessional studies, such as engineering; research for and development of specialized teaching materials, including language instruction, i.e., business French; establishment of internship opportunities for faculty and students in domestic and overseas settings; and development of study abroad programs. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations.

Beneficiary Eligibility:

Accredited colleges and universities, and public and nonprofit private agencies and organizations will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. When funds are available for the support of new programs, announcements are issued in the Federal Register. Application forms and guidelines for submission of proposals are available from the International Education Programs Service at the Department of Education..

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants in accordance with the published criteria.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Institutions of higher education and associations and organizations currently receiving funds for two- or three-year phased program support must submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: The non-Federal share of the cost of the programs assisted may be provided in cash from the private sector corporations or foundations in an amount equal to one-third of the total cost of the programs or may be provided as an in-cash or in-kind contribution from institutional and noninstitutional funds, including State and private sector corporation or

foundation contributions, equal to one-half of the total cost of the programs assisted herein. The Secretary may waive or reduce the matching requirement for institutions that are eligible to receive assistance under part A or B of Title III or under Title V of the Higher Education Act of 1965, as amended. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods, subject to renewals of one or two years. Payments are made as stipulated in the grant. Renewals are subjects to the availability of funds. See the following for information on how assistance is awarded/released: The information is included in the grant award documents.

Reports:

All programs must provide final reports upon completion of the program. Cash reports are not applicable. Contact program office. Expenditure reports are not applicable. Contact program office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under a grant or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which contract was liquidated; or (2) until the grantee is notified of completion of Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$4,633,505; FY 10 est \$4,634,000; FY 11 est \$4,634,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Thirty new and 24 continuation grants were made. Fiscal Year 2010: Nineteen new awards and 30 continuation grants are expected to be awarded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 658, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Christine Corey, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1190 K Stret, NW, Washington, District of Columbia 20006 Email: Christine.corey@ed.gov Phone: (202) 502-7629.

Website Address:

<http://www.ed.gov/programs/iegpsugisf/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.018 Overseas Programs_Special Bilateral Projects; 84.019 Overseas Programs - Faculty Research Abroad; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In addition to evaluation on the basis of criteria found in the Education Division General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, and 78 the Secretary will further evaluate applications for Federal support for international studies programs in accordance with the criteria published in 34 CFR 655, 658, and 45 CFR 86872.

84.017 INTERNATIONAL RESEARCH AND STUDIES**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA), Title VI, Part A, Section 605.

OBJECTIVES:

To improve foreign language, area, and other international studies training through support of research, studies, development of specialized instructional materials, and the publication of specialized materials developed as a result of research conducted under this program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program supports research and studies that may include but are not limited to: (1) Studies and surveys to determine needs for increased or improved instruction in modern foreign languages, area studies, or other international fields including the demand for foreign language, area, and other international specialists in government, education, and the private sector; (2) research on more effective methods of providing instruction and achieving competency in foreign languages, area studies, or other international fields; (3) research on applying performance tests and standards across all areas of foreign language instruction and classroom use; (4) developing and publishing specialized materials for use in foreign language, area studies, and other international fields or for training foreign language, area, and other international specialists; (5) studies and surveys to assess the use of graduates or programs supported under title VI of the HEA by governmental, educational, and private-sector organizations and other studies assessing the outcomes and effectiveness of supported programs; (6) comparative studies of the effectiveness of strategies to provide international capabilities at institutions of higher education; (7) evaluations of the extent to which programs assisted under title VI of the HEA that address national needs would not otherwise be offered; (8) studies and surveys of the use of technologies in foreign language, area studies, and international studies programs; (9) studies and evaluations of effective practices in the dissemination of international information, materials, research, teaching strategies, and testing techniques throughout the educational community, including elementary and secondary schools; (10) evaluations of the extent to which programs assisted under title VI of the HEA reflect diverse perspectives and a wide range of views and generate debate on world regions and international affairs, as described in the grantees application; (11) systematic collection, analysis, and dissemination of data that contribute to achieving the purposes of title VI, part A of the HEA; and (12) support for programs or activities to make data collected, analyzed, or disseminated under title VI, part A publicly available and easy to understand. Funds awarded under this program may not be used for the training of students and teachers.

Applicant Eligibility:

Public and private agencies, organizations, institutions, and individuals may apply.

Beneficiary Eligibility:

Public and private agencies, organizations, institutions, and individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-122 for Non-Profit Organizations, and OMB Circular No. A-21 for Educational Institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Formal applications should be prepared in response to announcements of mandatory program requirements and closing dates in the Federal Register.

Award Procedure:

Applications are reviewed by Department of Education officials and review panels of scholars.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From six to seven months.

Appeals:

Other - Not Specified.

Renewals:

Projects conducted under a grant may be phased, with the phases following the first phase subject to renewal on a non-competitive basis. Renewals of grants, which were not included in the original negotiations, will be considered in competition with all other new applications and are dependent on the submission of reports that demonstrate that substantial progress has been made.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Depends on project, but is generally from 12 to 36 months. Phasing of projects is determined during the negotiation process. See the following for information on how assistance is awarded/released: This information is included in the grant award document.

Reports:

A final report or subject data as established through negotiation. Interim progress reports and a financial report are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For three years after close of the fiscal year of grant expiration or (2) until the grantee is notified of completion of the Federal audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$6,569,743; FY 10 est \$6,585,577; FY 11 est \$6,858,577

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For FY 2009, 22 new awards and 22 continuation awards.
Fiscal Year 2010: For FY 2010, 8 new awards and 33 continuation awards are expected to be made. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are available at 34 CFR 655, 660, and the Education Department General Administrative Regulations. Contact the program office

for information.

Regional or Local Office:

None.

Headquarters Office:

Beth MacRae, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, Washington, District of Columbia 20006 Email: beth.macrae@ed.gov Phone: (202) 502-7596.

Website Address:

<http://www.ed.gov/programs/iegpsirs/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.018 Overseas Programs_Special Bilateral Projects; 84.019 Overseas Programs - Faculty Research Abroad; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Multi-Media Materials for Teaching Colloquial Arabic and Culture, Business Hindi, Survey of Foreign Language Enrollments in U.S. Colleges and Universities, Fall 2009. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting proposals is stated in 34 CFR 655.31, 660.31, 660.32, and 660.33. The Secretary will evaluate applications in accordance with the published criteria. An applicant may receive as many as five or fifteen points or as few as zero points under each criterion. (20 U.S.C. 1121-1127, 3063-3065.)

84.018 OVERSEAS PROGRAMS_SPECIAL BILATERAL PROJECTS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To increase mutual understanding and knowledge between the people of the United States and those in other countries by offering qualified U.S. educators opportunities to participate in short-term study seminars abroad on topics in the social sciences and the humanities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds provide round-trip economy airfare, room and board, tuition and fees for seminars. Actual locations may vary from year-to-year. No dependents are to accompany awardees participating in seminars.

Applicant Eligibility:

(1) Applicants must be U.S. citizens or have permanent resident status; (2) must hold a bachelor's degree; (3) must have at least 3 years full-time in teaching, administering or supervising in the humanities, the social sciences or social studies subjects; (4) applicants must be currently employed full-time in teaching, administering or supervising in the aforementioned areas; (5) applicants also must meet any language requirements if applicable (see the application booklet for details). If selected, awardees must furnish evidence of good health and emotional maturity.

Beneficiary Eligibility:

(1) Undergraduate faculty members of four year colleges/universities and 2-year community colleges in the fields of the humanities and social sciences; (2) supervisors and secondary (grades 9 through 12) and elementary and junior high school (grades K through 8) teachers of social studies and humanities; and

(3) curriculum development specialists, administrators of local and State education agencies who have direct responsibility for developing curriculum in the subject areas encompassed by the social sciences and the humanities.

Credentials/Documentation:

Applicants' basic data; education and professional preparation; present and previous employment; specific statement of objectives; plans to utilize the knowledge and experience gained from the seminar; involvement in educational/professional/civic groups, associations/organizations; personal traits; honors/awards/publications, etc. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

International Education Graduate Programs Service, Department of Education, publishes an annual announcement of opportunities and conducts open competition to select participants. Binational commissions or foundations or other appropriate agencies receive funding to conduct the seminars. The standard application forms as furnished by the Federal agency and as required by OMB Circular No. A-102 must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible applicants may submit applications for a position in one of the seminars when the announcement of a new competition is made through journals, newsletters, educational associations, individuals, etc.

Award Procedure:

Recommendations are made to the Secretary of Education following advice from a panel of consultants. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Applicants notified in March of selection for seminars.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From 4 to 6 weeks. See the following for information on how assistance is awarded/released: The information is included in the award documents.

Reports:

Individual curriculum projects, outreach activities and program evaluations from participants are submitted. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact program office.

Audits:

No audits are required for this program.

Records:

Retained for one year.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$2,380,155; FY 10 est \$2,249,221; FY 11 est \$2,506,443

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. Program announcements and related information are available from the Program Officer for International Education, Department of Education.

Regional or Local Office:

None.

Headquarters Office:

Carly Borgmeier International Education Programs Service, Department of Education, 1990 K St NW, Suite 6080, Washington, District of Columbia 20006 Email: carly.borgmeier@ed.gov Phone: (202) 502-7691

Website Address:

<http://www.ed.gov/programs/iegpssap/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.019 Overseas Programs - Faculty Research Abroad; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.019 OVERSEAS PROGRAMS - FACULTY RESEARCH ABROAD

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Executive Order Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To contribute to the development and improvement of modern foreign language and area studies in the U.S. by providing opportunities for scholars to conduct research abroad.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Generally, awards are not available for projects focusing primarily on Western Europe or on countries where the United States has no diplomatic representation. This program funds fellowships through institutions of higher education (IHEs) to faculty members who propose to conduct research abroad in modern foreign languages and area studies to improve their skill in languages and knowledge of the culture of the people of these countries. Funds support: travel expenses to and from the residence of the fellow and the country or countries of research, a maintenance stipend for the fellow related to his or her academic year salary, and an allowance for research-related expenses overseas such as books and photocopying, tuition, affiliation fees, local travel, and other incidental expenses. Fellowships are adjusted for duplicating support.

Applicant Eligibility:

Institutions of higher education may apply.

Beneficiary Eligibility:

Those benefiting: (a)(1) Is a citizen or national of the United States; or (2) Is a permanent resident of the United States; (b) Is employed by an institution of higher education; (c) Has been engaged in teaching relevant to his or her

foreign language or area studies specialization for the two years immediately preceding the date of the award; (d) Proposes research relevant to his or her modern foreign language or area specialization which is not dissertation research for a doctoral degree; and (e) Possesses sufficient foreign language skills to carry out the research project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Eligible institutions will be responsible for accepting, screening, and applying through the Department of Education's e-Application website to the International Education Programs Service (IEPS) those individual applications that meet the institution's technical and academic criteria and the program's eligibility requirements. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Faculty members may address requests for general information to the Advanced Training and Research Team (ATRT), IEPS. Completed applications should be submitted directly to the faculty members employing institution, which will then be submitted to the Department via e-Application.

Award Procedure:

The IEPS will make the initial selection and recommendation of fellowship recipients with the advice of a panel of academic specialists in modern foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. The selections are subject to review and final approval by the J. William Fulbright Foreign Scholarship Board (FSB). The grant covering successful scholars is made to the institutions which employ the faculty members.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From 3 to 12 months. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

Individual faculty recipient: Pre-fellowship report is due prior to departure. A post-fellowship report must be submitted via the International Resource Information System (IRIS) no later than 90 days after the termination date of the award. Institutional grantee: required Must submit a final post-fellowship report via IRIS no later than 90 days after the termination date of the award. This report includes final award balances and expenditures. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Records bearing on receipt and expenditure of grant funds must be made available for the Department inspection: (1) For 3 years after the close of the fiscal year in which expenditures are made if departmental audit has occurred

by that time; (2) until audit or for 5 years following end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$1,398,505; FY 10 est \$1,584,200; FY 11 est \$1,700,000

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Eighteen new awards. Fiscal Year 2010: Eighteen new grants are expected to be awarded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 663, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Dudzinski, Advanced Training and Research Team, International Education Programs Service, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, SW., Washington, District of Columbia 20202 Email: cynthia.dudzinski@ed.gov Phone: (202) 502-7589.

Website Address:

<http://www.ed.gov/programs/iegpsfra/>.

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special Bilateral Projects; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following selection criteria for this program are from 34 CFR 663.21 and are as follows: The maximum score for all of the criteria, including the competitive preference priority is 105 points. The maximum score for each criterion is indicated in parentheses. Quality of proposed project (60 points): In determining the quality of the research project proposed by the applicant, the Secretary considers (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (10 points); (2) The relationship of the research to the literature on the topic and to major theoretical issues in the field, and the projects originality and importance in terms of the concerns of the discipline (10 points); (3) The preliminary research already completed or plans for research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries (10 points); (4) The justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points); (5) The applicants plans to share the results of the research in progress with scholars and officials of the host country or countries and the American scholarly community (10 points); and (6) The objectives of the project regarding the sponsoring institutions plans for developing or strengthening, or both, curricula in modern foreign languages and area studies (10 points). Qualifications of the applicant (40 points): In determining the qualifications of the applicant, the Secretary considers (1) The overall strength of the applicants academic record (teaching, research, contributions, professional association

activities) (10 points); (2) The applicants excellence as a teacher or researcher, or both, in his or her area or areas of specialization (10 points); (3) The applicants proficiency in one or more of the languages (other than English and the applicants native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated language barriers (15 points); and (4) The applicants ability to conduct research in a foreign cultural context, as evidenced by the applicants previous overseas experience, or documentation provided by the sponsoring institution, or both (5 points). Subject to change. Contact program officer for further information.

84.021 OVERSEAS PROGRAMS - GROUP PROJECTS ABROAD

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To contribute to the development and improvement of the study of modern foreign languages and area studies in the United States by providing opportunities for teachers, faculty, and upperclassmen and/or graduate students to study, travel, and research in foreign countries.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grant does not provide funds for project-related expenses within the United States. The grant funds may be used in the country of study for the following: maintenance stipend; round-trip international travel; project-related local travel; artifacts; books and other teaching materials; rent for instructional facilities; and clerical and professional services.

Applicant Eligibility:

Institutions of higher education, State departments of education, private nonprofit educational organizations, and a consortium of institutions, departments, and organizations.

Beneficiary Eligibility:

A participant must be: a citizen, or permanent resident of the United States, faculty member and teachers at all levels; a graduate or upperclassman who plans a teaching career in modern foreign languages or area studies.

Credentials/Documentation:

Cost will be determined in accordance with OMB Circular No. A-87 for State and local governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An institution or organization interested in the program should plan and propose a group project that would significantly improve its program in foreign language and area studies. Specific guidelines for preparation of proposals as published in the Federal Register are available upon request from the International Education Programs Service (IEPS). The institution or organization must give assurance that the individuals it nominates to undertake the projects are appropriately qualified.

Award Procedure:

With the advice of a panel of academic consultants and an assessment of the feasibility and suitability of each proposal by U.S. Embassies and Binational Commissions in the proposed countries of study, the IEPS will make initial selections, and preliminary recommendations of grant recipients to the Secretary. All recommended selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

For short-term seminar, curriculum development, and group research or study projects ranging from 5 weeks, generally, to 12 months; up to 48 months for advanced intensive language training projects. See the following for information on how assistance is awarded/released: The information is included in the grant award document.

Reports:

Interim progress, terminal program, and financial reports from the grantee.

Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact program office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133.

Audit requirements as described in 34 CFR 74.26 do not pertain to this program. All fiscal records subject to audit at any time within five years after close of the fiscal year in which expenditures are made.

Records:

Documents bearing on receipt and expenditure of grant funds must be made available for inspection by the Department: (1) For three years after the close of the fiscal year in which expenditures are made if departmental audit has occurred by that time; (2) until audit or for five years following the end of budget period in which grant expired, whichever is later; or (3) until resolution of outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$5,198,426; FY 10 est \$5,504,123; FY 11 est \$4,874,240

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 664, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Michelle Guilfoil, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K St. NW, 6th Floor, Washington, District of Columbia 20006 Email: michelle.guilfoil@ed.gov Phone: (202) 502-7625.

Website Address:

<http://www.ed.gov/programs/iegpsgpa/>.

RELATED PROGRAMS:

19.400 Educational Exchange_Graduate Students; 19.401 Educational Exchange_University Lecturers (Professors) and Research Scholars; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special

Bilateral Projects; 84.019 Overseas Programs - Faculty Research Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 664.31 and are as follows: (a) Plan of operation (20 points), (b) quality of key personnel (10 points), (c) budget and cost effectiveness (10 points), (d) evaluation plan (20 points), (e) adequacy of resources (5 points), (f) potential impact of the project on the development of the study of modern foreign languages and area studies in American education (15 points), (g) relevance to the institution's educational goals and its relationship to its program development in modern foreign languages and area studies (5 points), (h) the extent to which direct experience abroad is necessary to achieve the project's objectives and the effectiveness with which relevant host country resources will be utilized (10 points), and (i) the extent to which the proposed project addresses the competitive preference priorities (10 points). Subject to change. Contact program officer for further information.

84.022 OVERSEAS PROGRAMS - DOCTORAL DISSERTATION RESEARCH ABROAD

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Mutual Educational and Cultural Exchange Act of 1961, as amended, Section 102(b)(6), Public Law 87-256.

OBJECTIVES:

To provide opportunities for graduate students to engage in full-time dissertation research abroad in modern foreign language and area studies with the exception of Western Europe. This program is designed to contribute to the development and improvement of the study of modern foreign languages and area studies in the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be available to enable doctoral candidates to complete field research for their dissertations. Generally awards will not be available for projects focusing primarily on Western Europe or countries where the United States has no diplomatic representation. Financial provisions include basic stipend computed on the cost-of-living in the country where research is to be conducted; cost of international round trip jet economy air fare for fellow only; baggage allowance, dependents allowance for accompanying dependents based on cost-of-living in host country; project allowance to be utilized for the purchase of expendable materials, services, and supplies, tuition payments to foreign institutions, and local travel expenditures; funds to secure health and accident insurance.

Applicant Eligibility:

Institutions of higher education may apply.

Beneficiary Eligibility:

Candidate for Doctoral Dissertation Research Abroad Fellowship must: (1a) Be a citizen or national of the United States; (1b) be a permanent resident of the United States; (2) be a graduate student in good standing at an institution of higher education who, when the fellowship period begins, has been admitted to candidacy in a doctoral degree program in modern foreign languages and area studies at that institution; (3) plan a teaching career in the United States upon graduation; (4) possess adequate skills in the foreign language(s) necessary to carry out the dissertation research project.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The institutional project director will be responsible for accepting, screening, and forwarding through the Department of Education's Application system to the Advanced Training and Research Team those individual applications which meet the institution's technical and academic criteria and the program's eligibility requirements. Candidates for dissertation research fellowships apply directly to the institutions at which they are enrolled in a Ph.D. program, not to the Department of Education. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Institutions should request application materials from the Advanced Training and Research Team. Students should address requests for application forms institutional project director at the graduate schools at which they are enrolled in a Ph.D. program.

Award Procedure:

The Advanced Training and Research Team will make initial selection and recommendation of fellowship recipients with the advice of a panel of specialists in foreign language and area studies and comments by U.S. embassies and binational commissions in the proposed countries of research. Selections are subject to review and final approval by the presidentially appointed J. William Fulbright Foreign Scholarship Board. The grant covering successful graduate students is made to the applicant, i.e., institutions of higher education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made for at least 6 but not more than 12 months. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

Fellows: Pre-Fellowship reports through the grantee institution no later than 30 days prior to travel to begin research. Final reports through the grantee institution no later than 90 days after the termination date of the award. Institutions: fiscal reports. No cash reports are required. No progress reports are required. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Records bearing on receipt and expenditure of funds to be made available for inspection by the Department for 3 years after close of fiscal year in which expenditures are made if departmental audit has occurred by that time, until audit or for five years following the end of the budget period in which the grant expired (whichever is later) or until resolution of any outstanding audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$5,437,734; FY 10 est \$5,984,734; FY 11 est \$5,800,000

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 148 Fellowships awarded. Fiscal Year 2010: 148 new fellowships are expected to be awarded. Fiscal Year 2011: 148 new fellowships are expected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Final regulations published in 34 CFR 662, and in the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Amy Wilson, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K St NW, Room 6084, Washington, District of Columbia 20006 Email: ddra@ed.gov Phone: (202) 502-7689.

Website Address:

<http://www.ed.gov/programs/iegpsddrap/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special Bilateral Projects; 84.019 Overseas Programs - Faculty Research Abroad; 84.021 Overseas Programs - Group Projects Abroad; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See Website
<http://www2.ed.gov/programs/iegpsddrap/awards.html>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this program are from 34 CFR 662.21 and are listed in the following paragraphs. The maximum score for all of the criteria, including the competitive preference priority, is 105 points. The maximum score for each criterion is indicated in parentheses. Quality of proposed project (60 points): In determining the quality of the research project proposed by the applicant, the Secretary considers: (1) The statement of the major hypotheses to be tested or questions to be examined, and the description and justification of the research methods to be used (10 points); (2) the relationship of the research to the literature on the topic and to major theoretical issues in the field, and the project's originality and importance in terms of the concerns of the discipline (10 points); (3) the preliminary research already completed in the United States and overseas or plans for such research prior to going overseas, and the kinds, quality and availability of data for the research in the host country or countries (10 points); (4) the justification for overseas field research and preparations to establish appropriate and sufficient research contacts and affiliations abroad (10 points); (5) the applicant's plans to share the results of the research in progress and a copy of the dissertation with scholars and officials of the host country or countries (10 points); and (6) the guidance and supervision of the dissertation advisor or committee at all stages of the project, including guidance in developing the project, understanding research conditions abroad, and acquainting the applicant with research in the field (10 points). Qualifications of the applicant (40 points): In determining the qualifications of the applicant, the Secretary considers (1) the overall strength of the applicant's graduate academic record (10 points); (2) the extent to which the applicant's academic record demonstrates strength in area studies relevant to the proposed project (10 points); (3) the applicant's proficiency in one or more of the languages (other than English and the applicant's native language) of the country or countries of research, and the specific measures to be taken to overcome any anticipated

language barriers (15 points); and (4) the applicant's ability to conduct research in a foreign cultural context, as evidenced by the applicant's references or previous overseas experience, or both (5 points). Subject to change. Contact program officer for further information.

84.027 SPECIAL EDUCATION GRANTS TO STATES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Executive Order Sections 611-618, Part B, 20 U.S.C 1411-1418.

OBJECTIVES:

To provide grants to States to assist them in providing a free appropriate public education to all children with disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used by State and local educational agencies, in accordance with the IDEA, to help provide the special education and related services needed to make a free appropriate public education available to all eligible children and, in some cases, to provide early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, District of Columbia, Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Guam and Virgin Islands, the Department of the Interior, and freely associated States. Local educational agencies apply to their State educational agency for subgrants.

Beneficiary Eligibility:

Children with disabilities will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States are eligible to receive funds under this program if the State establishes eligibility for funds under Section 612 of Part B of the IDEA. Local education agencies establish eligibility by meeting local application eligibility requirements of Section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award is forwarded to the State department of education. Notification of the award is made to Chief State School Officer.

Deadlines:

May 10, 2009: The submission date for State applications is May 10 to expedite funding, but plans or amendments are accepted throughout the fiscal year. The submission date for State applications is May 10 to expedite funding, but plans or amendments are accepted throughout the fiscal year.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Funds are awarded based on a statutory formula. In general, subject to minimum and maximum funding requirements, if the funds available to States increase from one year to the next, each state first receives what it received in 1999. Eighty-five percent of the remaining funds are distributed based on the relative number of children with disabilities in the age range for which States mandate services; 15 percent of the remaining funds are distributed based on the relative numbers of those children living in poverty. If the amount available to these entities decreases from one year to the next, each entity first receives what it did in 1999. Remaining funds are distributed in proportion to the increased these entities received between 1999 and the present year. Most of the funds must be distributed to local education agencies directly serving children. States may retain the remaining funds for state-level activities including administration of, support of, and direct services to children with disabilities.

Statutory citation: IDEA Part B Sec 611(a).

This program has no matching requirements. However, there are non-supplanting and excess cost requirements. MOE requirements for this program apply to both State Educational Agencies and local educational agencies. Contact the program office for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. States and local education agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APR) are submitted to the Department of Education from the State departments of education. No cash reports are required. No progress reports are required. 34 CFR 80.40. 34 CFR 80.41.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731).

Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 09 \$11,505,211,000; FY 10 est \$11,505,211,000; FY 11 est \$11,755,211,000

Range and Average of Financial Assistance:

In FY 2009, regular annual allotments to States, including DC and Puerto Rico, ranged from \$17 million to \$1.2 billion, with an average award of \$218 million. Grants to outlying areas ranged from \$4 million to \$13 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR 300, and 34 CFR 76-77,79,80-82,84-86, and

97-99.

Regional or Local Office:

None.

Headquarters Office:

Ruth Ryder, Office of the Assistant Secretary for Special Education Programs, Department of Education, 400 Maryland Avenue, S.W, Washington, District of Columbia 20202 Email: Ruth.Ryder@ed.gov Phone: (202) 245-7629.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection; 84.391 Special Education Grants to States, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding based upon applications approved under IDEA, Section 612.

84.031 HIGHER EDUCATION_INSTITUTIONAL AID

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA), Title III, Part A and Part F; Higher Education Act of 1965, as amended, Title V.

OBJECTIVES:

To help eligible colleges and universities to strengthen their management and fiscal operations and to assist such institutions to plan, develop, or implement activities including endowment building that promise to strengthen the academic quality of their institutions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Eligible institutions may apply under Part A, B or Part F of Title III. Part A includes Strengthening Institutions Program (SIP), the American Indian Tribally Controlled Colleges and Universities (TCCUs), Alaska Native and Native Hawaiian serving (ANNH) institutions, Asian American and Native American Pacific Islander-serving Institutions (AANAPISI), Native American-Serving Nontribal Institutions (NASNTI and Predominantly Black Institutions (PBIs). Part B includes the Historically Black Colleges and Universities (HBCUs) program and the Historically Black Graduate Institutions (HBGIs) program. Part A provides funds to eligible institutions to plan, develop, and implement activities for development of faculty, funds, and administrative management, and improvement of academic programs, acquisition of equipment for use in strengthening academic programs and institutional management, and joint use of facilities such as libraries, laboratories, and student services. Part B provides funds to undergraduate HBCUs and graduate HBGIs. Funds may be used for purchase, rental, or lease of scientific equipment; construction, maintenance, renovation, improvement in classroom, library and other instructional facilities; support of faculty exchanges and fellowships; academic instruction in disciplines in which Blacks are underrepresented, purchase of library books, periodicals, and other educational materials; tutoring, counseling, and student services; funds and administrative management; acquisition of equipment for use in funds management; endowment funds; and joint use of facilities. Title V, Part A, Developing Hispanic Serving Institutions (HSIs) program provides funds to

eligible HSIs for activities such as: faculty development; funds and administrative management; development and improvement of academic programs; endowment funds; curriculum development; scientific or laboratory equipment for teaching; construction or renovation of instructional facilities; joint use of facilities; academic tutoring; counseling programs; student support services, purchase of educational materials; articulation agreements; creating facilities for distance learning technologies; teacher education programs; K-12 community outreach programs; and the expansion of graduate and professional programs. Title V, Part B: Promoting Postbaccalaureate Opportunities for Hispanic Americans program provides funds to eligible HSIs for activities such as: purchase or rental of scientific or laboratory equipment; construction or renovation of instructional facilities; purchase of educational materials; support for low-income postbaccalaureate students; support of academic instruction and faculty and curricular development; creating facilities for distance learning technologies; and collaboration with other institutions of higher education to expand postbaccalaureate offerings. Title III, Part F, Section 371 authorizes funds for Hispanic Serving Institutions STEM and Articulation Programs to support the kinds of activities being supported under the existing Title V program, except that priority is given for applications that propose to increase the number of Hispanic and other low income students attaining degrees in fields of science, technology, engineering, and mathematics (STEM) and to applications that propose to develop model transfer and articulation agreements between the 2-year and 4-year HSIs in such fields. Funds provided for Title III and Title V may not be used for activities other than those in an approved application, activities that are inconsistent with any applicable State plan of higher education or State plan for desegregation, a school or department of divinity or any religious worship or sectarian activity; general operating and maintenance expenses; indirect costs; or supplanting of other funds available to the institution. These programs are subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

An institution of higher education that qualifies as eligible using criteria as specified in the regulations. Under Part A, specific and basic requirements as stated in the program regulations must be met. Eligible applicants include institutions that have: (1) A low average per full-time equivalent (FTE) educational and general expenditures; (2) a substantial percentage of students having Pell Grants or other Federal need-based financial aid. However, a waiver of the low educational and general expenditure requirement and the needy student enrollment requirement may be granted to institutions meeting the criteria specified in the existing regulations. Under Part B HBCUs, historically Black institutions that were established prior to 1964, whose principal mission was, and is, the education of Black Americans are eligible. A listing of those institutions is published in program regulations; these HBCUs are also eligible under the Endowment Challenge Grant Program. Institutions eligible for PBI program grants are defined in Title III Section 318(b) of the HEA. Institutions eligible for HBGI program grants are specified in Title III Section 326(e)(1) of the HEA. Under the Title V programs, an HSI is defined as an institution that has an enrollment of undergraduate full-time equivalent students that is at least 25 percent Hispanic.

Beneficiary Eligibility:

Applicant institutions of higher education, including those in the territories and possessions that meet statutory eligibility requirements will benefit.

Credentials/Documentation:

Institutions must document that they are accredited by a nationally recognized accrediting agency or that they are making satisfactory progress toward such accreditation. A 4-year institution must have authority to award a bachelor's degree. A 2-year institution must offer a program acceptable for full credit towards a bachelor's degree, or a two year program in engineering, mathematics, or the physical or biological sciences designed to prepare the student to work as a technician at a semiprofessional level. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications for funding are available from the program office. Application deadlines are published in the Federal Register.

Award Procedure:

Title III Part A applicants in the SIP, ANNH, AANAPISI, and NASNTI programs and Title V applications are evaluated by external reviewers who are experts in postsecondary education. The Department makes final awards based primarily on the scores assigned to the applications by the external reviewers and the recommendations submitted by the staff of Institutional Development and Undergraduate Education Service, Office of Postsecondary Education.

Title III applicants in the TCCUs, PBIs, HBCUs, and HBGI programs makes final awards based on a statutory formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six months.

Appeals:

Not Applicable.

Renewals:

Under the Strengthening Institutions Program, priority is given to applicants who are not already receiving a grant. Institutions that received a 5-year development grant are not eligible to receive an additional grant until 2 years after the date on which the 5-year terminates. After fulfilling the wait-out requirement, institutions applying for another grant under the Strengthening Institutions Programs must reapply for eligibility.

Formula and Matching Requirements:

Statutory Formula: Funds are allocated to TCCUs, PBIs, HBCUs, and HBGI based on a statutory formula. Title III, Part A, Section 316(d), Title III, Part B, Sections 324 and 326(f).

Matching Requirements: Under the Strengthening Institutions Program, TCCUs, HBCUs, and HSIs, institutions of higher education may use no more than 20 percent of grant funds to establish or increase an institution's endowment fund. The endowment funds must be matched at a rate of one non-Federal dollar for each Federal dollar. Under the HBGI program, eligible institutions that have project grants over \$1,000,000 must match the entire Federal share on a dollar-for-dollar basis.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the Strengthening Institutions Program, TCCUs, ANNH, AANAPI and HSIs, planning grants are for a period of up to 1 year, development grants are for a period of 5 years. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

As required by the Special Grant Terms and Conditions. No cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Subject to a Federal audit any time during the term of the grant and within a period of 3 years after the termination of Federal support.

Records:

Must be retained for 5 years after the end of the fiscal year during which the expenditures were made or until the grantee is notified that the records are no longer needed.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$93,256,000; FY 10 est \$117,429,000; FY 11 est \$123,300,000 - Developing Hispanic-Serving Institutions. (Project Grants) FY 09 \$31,913,000; FY 10 est \$30,084,000; FY 11 est \$30,838,000 - Strengthening ANNH. (Project Grants) FY 09 \$323,095,000; FY 10 est \$351,586,000; FY 11 est \$364,915,000 - Strengthening HBCUs. (Project Grants) FY 09 \$7,500,000; FY 10 est \$8,600,000; FY 11 est \$8,780,000 - Strengthening AANAPISI. (Project Grants) FY 09 \$8,577,000; FY 10 est \$9,503,000; FY 11 est \$9,503,000 - Strengthening MSEIP. (Project Grants) FY 09 \$100,000,000; FY 10 est \$100,000,000; FY 11 est \$100,000,000 - HSI STEM. (Project Grants) FY 09 \$53,158,000; FY 10 est \$60,169,000; FY 11 est \$61,677,000 - Strengthening TCCUs. (Project Grants) FY 09 \$11,500,000; FY 10 est \$22,000,000; FY 11 est \$22,000,000. (Project Grants) FY 09 \$80,000,000; FY 10 est \$84,000,000; FY 11 est \$88,200,000 - Strengthening Institutions (Part A). (Project Grants) FY 09 \$58,500,000; FY 10 est \$61,425,000; FY 11 est \$64,496,000 - Strengthening HBGI. (Project Grants) FY 09 \$17,800,000; FY 10 est \$10,801,000; FY 11 est \$11,341,000 - Strengthening PBIs. (Project Grants) FY 09 \$5,000,000; FY 10 est \$8,600,000; FY 11 est \$8,780,000 - Strengthening NASNTI.

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 150 new and 452 continuation grants. Fiscal Year 2010: Approximately 560 grants are expected to be made in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 607, 608, 609, 628, the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

James Laws, Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, 1990 K St., N.W., Washington, District of Columbia 20006 Email: james.laws@ed.gov Phone: (202) 502-7719.

Website Address:

<http://www.ed.gov/about/offices/list/ope/idues>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(a) Under Title III and Title V, the Department appoints review panels to provide comments and recommend ratings for the applications. The reviewers numerically rate each application assigned and provide the Secretary with comments on each. Criteria are published in the Federal Register along with the point value for each criterion. (b) Under HBCUs and HBGI, funds are allocated based on a statute-driven formula. To be eligible to receive a grant under the Strengthening HBCUs program, an institution must be designated by the Secretary of Education as a Historically Black College or University. HBGI receiving discretionary grants are specified in the program statute.

84.032 FEDERAL FAMILY EDUCATION LOANS

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part B.

OBJECTIVES:

To encourage lenders such as banks, credit unions, savings and loan associations, pension funds, insurance companies, and schools to make loans to vocational, undergraduate, and graduate students enrolled at eligible postsecondary institutions to help pay for educational expenses. The loans are insured by a State or private nonprofit guaranty agency and reinsured by the Federal government.

TYPES OF ASSISTANCE:

GUARANTEED/INSURED LOANS

USES AND USE RESTRICTIONS:

To help defray costs of education at a participating school. Repayment on Federal Stafford Loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS Loans generally begins within 60 days of disbursement of the last installment; repayment of a Consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

Under the Federal Stafford Loan Program, any U.S. citizen, national, or person in the United States for other than a temporary purpose, who is enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary school may apply. An otherwise eligible student is eligible for loans during a single 12-month period in which the student is enrolled in a non-degree/non-certificate course of study that the school has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Federal PLUS Loan program, parents may borrow for dependent students, and beginning July 1, 2006, graduate students may borrow to support their own education. Under the Federal Unsubsidized Stafford Loan program, graduate or professional students, and independent undergraduate students may apply; under certain circumstances, a dependent undergraduate student may apply for an Federal Unsubsidized Stafford Loan. Students who are enrolled at an eligible institution on at least a half-time basis in a program that is required by a State for elementary or secondary teacher certification are also eligible for Federal Family Education Loan Program (FFEL) aid (Stafford, Unsubsidized Stafford and PLUS). Under the Federal Consolidation Loan program, a borrower may have his or her Stafford, Unsubsidized Stafford, PLUS, Perkins (formerly NDSL), and Health Professions Student loans consolidated, provided that the borrower is in a grace period or repayment status on all loans being consolidated, or is a delinquent or defaulted borrower who will reenter repayment through consolidation, and does not have another application for a consolidation loan pending. Only U.S., citizens or nationals may receive a loan to attend eligible foreign postsecondary schools. A student presently enrolled at a participating institution must maintain satisfactory academic progress in the course of study he/she is pursuing. Also, the borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any school. The borrower must also file a statement of registration compliance (Selective Service). To receive a subsidized Stafford Loan, all borrowers must undergo a financial need analysis to determine eligibility for Federal interest and special allowance benefits. Unsubsidized Federal Stafford, PLUS, or Consolidation loans are non-need based programs. A Stafford Loan applicant who is an undergraduate student who attends a school that participates in the Pell Grant program must receive a determination of his or her Pell Grant eligibility or ineligibility before the school may certify an application for a loan. If the applicant is determined to be eligible for a Pell Grant, the applicant must apply for a Pell Grant for the enrollment period before the institution may certify an application for a FFEL loan. An Unsubsidized Stafford Loan applicant must also receive a determination of need for a loan under the Stafford Loan program and, if eligible, must apply for a Stafford Loan prior to the school's certification of the Unsubsidized Stafford Loan application.

Beneficiary Eligibility:

Generally, for the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs, any U.S. citizen, national, or person in the United States for other than a temporary purpose, who is enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, professional, or vocational student at a participating

postsecondary school benefits. If a student is enrolled in a non-degree/noncertificate course of study, the student may be eligible for Stafford or Unsubsidized Stafford loans for one consecutive twelve-month period, if the school determines that the course of study is necessary in order for the student to enroll in a program leading to a degree or certificate. A student enrolled on at least a half-time basis in a program required by a State for a professional credential or certificate for employment as a teacher in an elementary or secondary school located in that State is eligible to receive aid under the Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs. Consolidation Loan borrowers need not be enrolled in any school to be eligible.

Credentials/Documentation:

For the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs, the student will need certification of eligibility from the school, and may be required to supply documentation to verify the accuracy of data used in the Stafford Loan need analysis. 87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Information regarding application procedures may be obtained from lenders, schools, the Department of Education, States, or guaranty agencies. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs are obtained from a participating lender, guaranty agency, or school. FFEL Consolidation Loan applications are obtained from lenders. The borrower completes his or her portion of a Stafford, Unsubsidized Stafford, or PLUS loan application and submits it to the school. The school must certify the student meets eligibility requirements for the loan, including a certification that the student is enrolled, or accepted for enrollment as at least a half-time student, and that the student is making satisfactory progress. The school must also certify the type and amount of loan the student is eligible to receive. The lender then completes its portion of the application and forwards it to the guarantor for commitment. In some States, the guarantor issues the notice of guarantee prior to sending the application to the lender.

Award Procedure:

If the lender agrees to make the loan, the application is forwarded to the guarantor for insurance. The lender disburses the proceeds of a Stafford, Unsubsidized Stafford, or PLUS loan to the school or, if the student is attending a foreign school, directly to the borrower. Consolidation Loan proceeds are disbursed directly to the holders of the loans selected for consolidation. In most cases, a loan must be disbursed in more than one installment over the length of the period of enrollment for which the loan was intended, based on a disbursement schedule provided to the lender by the school on behalf of the borrower. This multiple disbursement requirement does not apply to Consolidation Loans. The borrower is required to pay an insurance premium of one percent of the principal amount of a subsidized Stafford Loan, Unsubsidized Stafford Loan, or PLUS Loan. The charging of the insurance premium and the amount is determined by the guarantor. In addition, for a Stafford, Unsubsidized Stafford, or PLUS loan, the borrower is required to pay a three percent "origination fee" (on loans disbursed on or after July 1, 1994) which is used to help defray program costs. These origination fees are normally deducted proportionately from each disbursement of the loan proceeds. Under the Higher Education Reconciliation Act (HERA) of 2005, these fees were reduced to 2 percent on July 1, 2006; 1.5 percent on July 1, 2007; 1 percent on July 1, 2008; and 0.5 percent on July 1, 2009; or Stafford and insubsidized Stafford. The fees would be eliminated as of July 1, 2010. Plus loans retain a 3 percent origination fee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of school. The College Cost Reduction and Access Act (CCRAA) of 2007 authorized phased reductions to the interest rates for Subsidized Stafford loans borrowed by undergraduates with the rate cut in half to 3.4 percent on July 1, 2011. Repayment generally is made over a period of 10 years for Federal Stafford, and PLUS Loans, excluding periods of authorized deferment and forbearance, but extended repayment plans are available. The repayment period for a Consolidation Loan depends on the amount of the loan and may be up to 30 years, exclusive of periods of authorized deferment and forbearance. CCRAA created a new income-based repayment plan for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income. Any outstanding balance would be forgiven after 25 years of repayment in the income-based repayment option. See the following for information on how assistance is awarded/released: Contact the program office for information.

Reports:

Lenders submit quarterly reports of loans outstanding in order to receive interest benefits and special allowance payments. Guaranty agencies submit reports in order to receive reinsurance and administrative payments. Additional operational reports are required for specific purposes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Lenders, schools (except foreign schools), and guaranty agencies are subject to examination and program review by the Department of Education. Generally, program reviews are performed on a fiscal year basis. Participating schools must have an independent audit of all Stafford Loan Program transactions at least annually. Guaranty agencies must have independent financial and compliance audits annually. Guaranty agencies must conduct annual reviews of the ten largest loan volume lenders in their areas as well as schools with default rates above 20 percent that do not have a default management plan approved by the Secretary.

Records:

Lenders must maintain records to support and identify loan transactions, interest billings, and/or special allowance. Guaranty agencies must maintain complete records to support and identify their activities. Federal regulations specify administrative and fiscal records required to be maintained by schools.

Account Identification:

91-4251-0-1-502.

Obligations:

(Direct Loans) FY 09 \$35,233,000,000; FY 10 est \$66,779,000,000; FY 11 est \$0 - FFEL Consolidation volume: FY 09 est \$218,000,000; FY 10 est \$185,000,000; FY 11 est \$0.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 682.

Regional or Local Office:

See Regional Agency Offices. See list of Regional Offices listed in Appendix

IV of the Catalog. Each State with an operating guaranty agency maintains an office in its respective State. A list of guaranty agency addresses is available from the address below.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance; 84.007 Federal Supplemental Educational Opportunity Grants; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.033 FEDERAL WORK-STUDY PROGRAM

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part C; 42 U.S.C. 2751-2756a.; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide part-time employment to eligible postsecondary students to help meet educational expenses and encourage students receiving program assistance to participate in community service activities.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The Federal share of compensation paid to students does not exceed 75 percent for work on campus, or work in a public agency or private nonprofit organization, unless waived by the Secretary. The Federal share of compensation paid to students does not exceed 50 percent for jobs with a private-for-profit organization. No more than 25 percent of an institution's work-study allocation may be used for private-for-profit organizations. If it provides FWS employment to its students in that year, an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000 of its program expenditures, plus up to 4 percent for expenditures greater than \$2,750,000 but less than \$5,500,000 plus up to 3 percent of expenditures in excess of \$5,500,000. An institution is required to use at least 5 percent of its total FWS allocation to pay the Federal share of wages to students employed in community service jobs unless it receives a waiver.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular

No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation. See listing of ED Regional Offices in Appendix IV of the Catalog. Students should contact the institution(s) they attend or plan to attend. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Participating institutions submit a Fiscal Operations Report/Application to Participate in Federal Student Financial Aid programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Office of Federal Student Aid. New institutions submit only the application form.

Award Procedure:

The Office of Student Financial Aid determines final awards and notifies participating institutions. Postsecondary institutions determine individual student awards.

Deadlines:

Oct 01, 2009: Deadlines are specified on the application (usually October 1). Specified on the application (usually October 1).

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1 and final award notifications sent by April 1.

Appeals:

Not Applicable.

Renewals:

Annual.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part C.

Matching Requirements: Under the funding formula, funds are distributed to institutions, first, on the basis of the institution's base guarantee plus the pro rata share received during the 1999-2000 award year under the FWS Program and, then, on the basis of the aggregate need of the eligible students in attendance. Employers of FWS recipients must contribute 25 percent of the funding, (except in the case of private, for-profit organizations, which must match 50 percent) and in the case of established criteria for which the matching requirement is waived.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30). Cash reports are not applicable. Progress reports are not applicable. A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (DE 646-1) is submitted by each institution at the close of each award year (June 30). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. ARRA funds are included in the regular appropriation program compliance under OMB Circular A-122. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the Department of Education's Office of the Inspector General as often as its time

schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to activities of a given fiscal year must be retained for a period of 3 years following the institution's submission of its Fiscal Operations Report for an award year or until final resolution of an applicable audit, whichever is later.

Account Identification:

91-0199-0-1-502; 91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$980,492,000; FY 10 est \$980,492,000; FY 11 est \$980,492,000 - FY 2009 total includes \$200,000,000 provided by the American Recovery and Reinvestment Act of 2009.

Range and Average of Financial Assistance:

The average award in FY 2009 was \$1,524. The average award in FY 2010 is estimated at \$1,524.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Institutions awarded \$1,417,322,000 to 930,001 recipients. Fiscal Year 2010: Institutions are estimated to award \$1,170,845,000 to approximately 768,271 recipients. Fiscal Year 2011: Institutions are estimated to award \$1,170,845,000 to approximately 768,271 recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 675. Notification to Members of Congress, list of grantees, published annually or as required, no charge; Student Guide, no charge; Student Financial Aid Handbook, no charge; Federal Needs Analysis Methodology, no charge; Verification Handbook, no charge; Training Materials, no charge.

Regional or Local Office:

See Regional Agency Offices. Students should contact the educational institution(s) they attend or plan to attend. Public and private nonprofit organizations should contact grantee educational institutions in their own State or multi-State areas. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243.

Website Address:

<http://www.ifap@ed.gov>; www.studentaid.ed.gov

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.031 Higher Education_Institutional Aid; 84.032 Federal Family Education Loans; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.037 PERKINS LOAN CANCELLATIONS

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended., Title IV, Part E.

OBJECTIVES:

To reimburse institutions for their share of loans canceled for Perkins Loan recipients who enter certain fields of public service and to restore to institutional revolving funds the full amounts of Direct and Federal Perkins Loans canceled for certain types of teaching service, Head Start service, military service in areas of hostility, law enforcement or corrections officer

service, service as a nurse or medical technician, service to certain types of high risk children, and volunteer service.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

To reimburse institutions for their share of loans canceled for Perkins Loan recipients.

Applicant Eligibility:

Higher education institutions (public, other nonprofit, postsecondary vocational and proprietary) meeting the eligibility requirements may apply.

Beneficiary Eligibility:

For Federal Perkins and National Direct Loan borrowers with loans made on or after July 23, 1992, and for Federal Perkins National Direct and Defense loan borrowers with an outstanding balance as of October 7, 1998, on loans made before 7/23/92. Loan cancellation is available for service as a full-time teacher in a designated elementary or secondary school having a high enrollment of children from low-income families; as a full-time staff member in a Head Start Program; as a member of the Armed Forces (in an area of hostilities); as a volunteer in the Peace Corps or ACTION programs as a full-time law enforcement officer or corrections officer serving local, State, or Federal agencies; as a full-time special education teacher, including teachers of infants, toddlers, children, or youth with disabilities in a public or other nonprofit elementary or secondary school system, or as a full-time qualified professional provider of early intervention services in a public or other nonprofit program under public supervision; as a full-time teacher of mathematics, science, foreign languages, bilingual education, or any other field of expertise that is determined by the State education agency to have a shortage of qualified teachers; as a full-time nurse or medical technician; or as a full-time employee of a public or private nonprofit child or family service agency that is providing or supervising the provision of services to high risk children and their families from low-income communities. Cancellation provisions are different for loans made before July 23, 1992.

Credentials/Documentation:

Cancellation certificates require documenting public service. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In case of loans canceled under provisions of the National Defense Education Act, the institution receives funds from the Department of Education equal to the institutional contribution of the amounts canceled; for loans canceled pursuant to Title IV, Part E, of the Higher Education Act, the institution receives funds from the Department of Education to restore the full amount canceled. No application form is required.

Award Procedure:

Reimbursements are made annually upon acceptance of the institution's Fiscal Operations Report.

Deadlines:

Oct 01, 2009 Deadlines are specified on application notices (usually October 1).

Range of Approval/Disapproval Time:

Reimbursements are made upon acceptance of the Fiscal Operations Reports.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title IV.

Matching Requirements: Under the National Defense Education Act, as amended, payments are based on ratio of institutional matching monies to total

loan amount. The payment replaces institutional funds (not less than one-tenth of loan) which were canceled for teaching and/or military service. For loans canceled pursuant to the Higher Education Act, the full amount canceled for teaching, Head Start, military, volunteer, law enforcement or corrections officer, nurse or medical technician, and child or family service agency is restored to the fund at the institution.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Reimbursement takes place upon acceptance of an annual Fiscal Operations Report. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

A Fiscal Operations Report is submitted by each institution at the close of each award year (June 30). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

Records pertaining to the eligibility and repayment history of each loan recipient must be retained by the institutions for three years after the final repayment or cancellation occurs or until the loan is assigned. An institution must keep other records for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until final resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$67,164,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Not Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 674; Student Guide, no charge; Student Financial Aid Handbook, no charge; notification to Members of Congress, list of grantees published annually or as required, no charge; Federal Needs Analysis Methodology Booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator for Student Financial Assistance in the appropriate Regional Office. See Appendix IV of the Catalog.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., , Washington, District of Columbia 20202 Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>; www.studetaid.gov

RELATED PROGRAMS:

64.117 Survivors and Dependents Educational Assistance; 84.007 Federal Supplemental Educational Opportunity Grants; 84.031 Higher Education Institutional Aid; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.040 IMPACT AID_FACILITIES MAINTENANCE

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VIII, Section 8008.

OBJECTIVES:

To maintain school facilities used to serve federally connected military dependent students that are owned by the Department of Education and operated by local educational agencies (LEAs), and to transfer those facilities to the LEAs, where appropriate.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To maintain federally owned school facilities and restore or improve them where appropriate to enable an LEA to accept ownership. The Department directly oversees construction projects, unless the LEA has agreed to accept transfer of the facility, in which case funds may be provided to the LEA to complete the project after the transfer. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

LEAs that operate school facilities owned by the Department of Education.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. There is no application form for Section 8008 grants. Contact the program office for information.

Award Procedure:

The Department of Education makes final decisions to fund projects.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Contact the program office for this information.

Appeals:

Not Applicable.

Renewals:

Approved construction projects may be revised during construction, subject to appropriate approvals.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length and terms of an award will be established prior to the award. See the following for information on how assistance is awarded/released: This information is included in the award document.

Reports:

In accordance with OMB Circular No. A-102 (Attachments H and I) and Education Department General Administrative Regulations (EDGAR) for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with OMB Circular No. A-102 (Attachment C) and Education Department General Administrative Regulations, for direct project grant and contract programs, Part 100a, Subpart F. See 34 CFR 74, Subpart H, Standards for Grantees Financial Management Systems.

Account Identification:

91-0102-0-1-501.

Obligations:

(Project Grants) FY 09 \$4,864,000; FY 10 est \$4,864,000; FY 11 est \$4,864,000

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Completed transfer of titles to 2 facilities operated by Department of Defense. Fiscal Year 2010: (Est): Completed transfer of 3 facilities owned by Department of Education to LEAs. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published at 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Catherine Schagh, Department of Education, OESE Impact Aid Programs 400 Maryland Ave., S.W., Rm. 3E105, Washington, District of Columbia 20202
Email: impact.aid@ed.gov Phone: (202) 260-3858.

Website Address:

<http://www.ed.gov/about/offices/list/oes/impactaid/index.html>.

RELATED PROGRAMS:

84.041 Impact Aid; 84.401 Impact Aid School Construction, Recovery Act; 84.404 Impact Aid -- School Construction Formula Grants, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Emergency repairs are funded as needed. Priority for more extensive projects is given to LEAs that agree to accept transfer of the school facility.

84.041 IMPACT AID

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title VIII.

OBJECTIVES:

To provide financial assistance to local educational agencies (LEAs) where affected by Federal activities, i.e., where the tax base of a district is reduced

through the Federal acquisition of real property (Section 8002, ESEA), and where the presence of certain children living on Federal property places a financial burden on the LEAs that educate them (Sections 8003 and 8007, ESEA).

TYPES OF ASSISTANCE:

FORMULA GRANTS; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Under formula awards, most assistance becomes part of the general operating fund of the LEAs. Districts usually deposit the Federal payment in the general operating funds account in accordance with State laws, together with all State and local funds available for current operating expenses and use this combined account to finance the school program in the district. Special additional payments made to LEAs related to enrollments of federally connected children with disabilities must be used for programs and projects designed to meet the special educational and related needs of these children. Payments for construction may be used for construction and renovation, debt service, or other capital fund activities. Under discretionary awards, funds must be used for the project grant described in the application and grant award notification. The discretionary construction grant program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Local educational agencies that provide free public elementary or secondary education may apply. Under Section 8002, generally, assistance is provided to districts where an aggregate of 10 percent or more of the assessed valuation of all real property in the school district as of the time(s) of acquisition has been acquired by the Federal Government since 1938 and the district is not being substantially compensated by revenue from activities on the eligible Federal property. Under Section 8003, assistance is provided to districts where at least three percent or 400 of the total number of eligible federally connected children in average daily attendance (ADA) live on Federal property including Indian lands and/or have a parent who works on Federal property or is on active duty in the uniformed services. Under Section 8007(b), LEAs that enroll a high proportion (at least 40 percent) of federally connected children, or have little or no funding capacity, or "heavily impacted" districts may be eligible for a competitive construction award.

Beneficiary Eligibility:

Public elementary and secondary school children benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted to the Secretary of Education and a copy is sent to the State educational agency (SEA). Applications become available on the Department's e-Grants web site annually, typically in mid November. Applications must show the membership of federally connected children on the survey date chosen by the school district within the period prescribed by the Secretary.

Award Procedure:

Funds are generally made available when an appropriation is made.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Contact the Program Office for this information.

Appeals:

An administrative law hearing is available for any LEA or State adversely affected by any action of the Secretary. The hearing must be requested under the time frame included under the program regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title VIII, Sections 8002, 8003, and 8007(a).

Matching Requirements: Under Section 8002, payments are determined under a statutory formula that considers the assessed valuation of the property acquired by the Federal government and the LEA's local tax rate for current expenditures. Under Section 8003, payments are determined under a statutory formula that considers the average daily attendance of federally connected students for the previous year, the per-pupil expenditure average from the third preceding year, the weight in the statute attached to certain categories of federally connected students, the total current expenditures of the LEA, and the total membership of the LEA. Under Section 8007(a), payments are determined under a statutory formula that considers the average daily attendance of children living on Indian lands and dependents of the military living on and off base. Under Section 8007(b), payments are awarded on a competitive basis to certain eligible LEAs that receive Impact Aid.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments are made annually to eligible LEAs. See the following for information on how assistance is awarded/released: This information is included in award documents.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The program staff conducts mail-in or on-site reviews to verify application data and collect additional required information.

Records:

In accordance with the General Education Provision Act and program regulations, an LEA generally must retain records supporting its payment amount for 3 years after the last payment for a fiscal year.

Account Identification:

91-0102-0-1-501.

Obligations:

(Formula Grants) FY 09 \$66,208,000; FY 10 est \$67,208,000; FY 11 est \$67,208,000 - This is specific to Section 8002, Federal Property Payments. (Formula Grants) FY 09 \$1,177,137,000; FY 10 est \$1,204,111,000; FY 11 est \$1,186,602,000 - This is specific to Section 8003 & 8007(a). (Project Grants (Discretionary)) FY 09 \$17,509,000; FY 10 est \$0; FY 11 est \$17,509,000 - This is specific to Section 8007(b).

Range and Average of Financial Assistance:

For FY 2011, the estimated range of awards for Basic Support payments is expected to be between \$281-\$53,932,287 with an average award of \$967,544; for Payments for Children With Disabilities, the estimated range of awards is expected to be between \$525-\$1,404,968 with an average award of \$55,952; for Payments for Federal Property, the estimated range of payments is expected to be \$175-\$5,600,000 with an average award of \$329,562. For Impact Aid Construction, the estimated range of payments is expected to be \$50,000-\$5,000,000 with an average award of approximately \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 1,360 grants on behalf of over 1 million children. Fiscal Year 2010: 1,264 grants on behalf of over 1 million children. Fiscal Year 2011: est. 1,400 applicants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are included in 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Catherine Schagh, Department of Education, OESE Impact Aid Programs 400 Maryland Ave., S.W., Rm. 3E105, Washington, District of Columbia 20202
Email: impact.aid@ed.gov Phone: (202) 260-3858.

Website Address:

<http://www.ed.gov/about/offices/list/oese/impactaid/index.html>.

RELATED PROGRAMS:

84.040 Impact Aid_Facilities Maintenance; 84.401 Impact Aid School Construction, Recovery Act; 84.404 Impact Aid -- School Construction Formula Grants, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Payments are computed on the basis of the statutory formula. Payments are prorated if appropriations are insufficient.

84.042 TRIO_STUDENT SUPPORT SERVICES

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Section 402D.

OBJECTIVES:

To provide supportive services to disadvantaged college students to enhance their potential for successfully completing the postsecondary education program in which they are enrolled and increase their transfer rates from 2-year to 4-year institutions. To foster an institutional climate supportive of the success of disadvantaged college students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All projects must provide academic tutoring, advice on postsecondary course selection, financial aid counseling, services to improve financial and economic literacy, assistance in applying for graduate and professional programs, and activities to help students in 2-year institutions enroll in 4-year programs. Projects may also provide personal and career counseling; exposure to cultural events; mentoring; services to secure temporary housing during academic breaks for students who are homeless; activities for students with disabilities or limited English proficiency, homeless students, and students in foster care; and grant aid (not to exceed 20 percent of a project's funds).

Applicant Eligibility:

Institutions of higher education and combinations of institutions of higher education may apply.

Beneficiary Eligibility:

Low-income, first generation college students or disabled students who are enrolled or accepted for enrollment at the institution that is the recipient of the grant and who are in need of academic support in order to successfully pursue a program of postsecondary education. At least two-thirds of the project participants must be disabled or must be low-income individuals who are first generation college students. The remaining participants must be disabled, low-income individuals, or first generation college students. One-third of the disabled participants must be low-income. Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General Administrative Regulations (EDGAR). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State Plan is required. Requests for program applications should be made to the Office of Federal TRIO Programs, College and University Support Team. This program is subject to the provisions of EDGAR.

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 646.21 and 646.22. Grants are awarded through the Federal TRIO Programs office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

Yes. The program statute authorizes a second review of unsuccessful applications. The Department is developing regulations to implement a second review process for unsuccessful applicants. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

The grant period is 5 years. Continuation awards for up to five years are approved on an annual basis; subject to the availability of funds and evidence that the grantee is meeting the conditions of the grant and making substantial progress towards meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Any grantee that uses funds for student grant aid must match the funds, in cash, from non-Federal funds, in an amount that is not less than 33 percent of the total amount of student grant aid funds, unless the grantee is eligible for a waiver to the match.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, with annual continuation awards. Continuation awards are subject to the availability of funds and satisfactory progress by the grantee. See the following for information on how assistance is awarded/released: Contact the program office.

Reports:

Performance reports are required on an annual basis. No cash reports are required. Progress reports are required for a project in its first year of funding. Staff monitor grant drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with Education Department General Administrative Regulations, 34 CFR 75.702 and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$301,500,000; FY 10 est \$301,000,000; FY 11 est \$301,000,000

Range and Average of Financial Assistance:

The average 2008 award was \$301,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program support 946 projects. Fiscal Year 2010: The program is expected to support approximately 1,031 projects. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, 34 CFR 646 and Education Department General Administrative Regulations (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

Deborah Walsh, Department of Education, Office of Postsecondary Education, Federal TRIO Programs, 1990 K St, NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: trio@ed.gov Phone: (202) 502-7694.

Website Address:

<http://www.ed.gov/programs/triostudsupp/>.

RELATED PROGRAMS:

84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of 34 CFR 75.253. For new awards, applicants will be selected under this part on the basis of the criteria set forth in 34 CFR 646.21. Contact the program office for additional information.

84.044 TRIO_TALENT SEARCH**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1, Sections 402B, 20 U.S.C 1070a-12.

OBJECTIVES:

To identify qualified disadvantaged youths with potential for postsecondary education; to encourage them in continuing in and graduating from secondary school and in enrolling in programs of postsecondary education; to publicize the availability of student financial aid; and to increase the number of secondary and postsecondary school dropouts who reenter an educational program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to educational institutions and agencies to identify qualified disadvantaged youths with potential for postsecondary education, encourage them to complete secondary school and undertake postsecondary educational training, and publicize existing forms of student aid. . Projects must provide connections to academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, connections to services designed to improve financial and economic literacy, and guidance and

assistance in re-entering and completing secondary school. Projects may also provide academic tutoring personal and career counseling; information on career options, exposure to college campuses; and services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care. A grantee may not use the project as a part of its recruitment program.

Applicant Eligibility:

Institutions of higher education (IHEs), public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Individuals residing in the target area or attending a target school who have potential for education at the postsecondary level and who can benefit from one or more of the services provided by the project. Two-thirds must be low-income individuals who are also potential first generation college students. Project participants must be between 11 and 27 years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education; no State plan is required. Requests for program applications should be made to the Federal TRIO Programs. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 643.21 and 34 CFR 643.22. New regulations are being developed. Consult the program office for details. Grantees will be selected on the basis of satisfactory proposals and availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department is developing regulations to implement a second review process for unsuccessful applicants. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for five year project periods. Continuation awards are approved on an annual basis, subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The statute establishes a minimum grant amount.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, annual awards. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports (form ED 524B) are required for a project in its first year of funding. Staff monitor grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR (74.26, 75.702, 75.910, 74.61.)

Records:

In accordance with EDGAR 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$141,500,000; FY 10 est \$142,000,000; FY 11 est \$142,000,000

Range and Average of Financial Assistance:

Varies by competition. In FY 08 the average award was approximately \$306,000. The statutory minimum is \$200,000, unless the applicant requests a smaller amount.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program supported 464 grants. Fiscal Year 2010: Estimated 464 grants. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 34 Code of Federal Regulations Part 643
Education Department General Administrative Regulations (EDGAR), 34 CFR Parts 74, 75, 77, 79, 82, 84, 85, and 86.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Loretta Brown, Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: loretta.brown@ed.gov Phone: (202) 502-7539.

Website Address:

<http://www.ed.gov/programs/trioalent/>.

RELATED PROGRAMS:

84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria that were set forth in 643.21 of the program regulations. New regulations are under development. Contact the program office for additional information.

84.047 TRIO_UPWARD BOUND

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 2, Title IV, Part A, Section 402C, 20 U.S.C 1070a-13.

OBJECTIVES:

To generate skills and motivation necessary for success in education beyond high school among low-income and potential first-generation college students and veterans. The goal of the program is to increase the academic performance and motivational levels of eligible enrollees so that such persons may complete secondary school and successfully pursue and complete a postsecondary educational programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to eligible grantees to provide academic instructional programs, personal and academic counseling, career guidance and special instruction to prepare project participants for careers in which persons from disadvantaged backgrounds are particularly underrepresented, tutoring, and exposure to cultural events and academic programs not usually available to disadvantaged youths.

Projects must provide academic tutoring services, advice on and assistance in selecting secondary and college courses, assistance in preparing for college entrance exams and in completing college applications, information on student financial aid and assistance in completing financial aid applications, services designed to improve financial and economic literacy, and guidance and assistance in re-entering and completing secondary school. Projects may also provide academic tutoring; personal and career counseling; information on career options; summer college campus residential programs; services specially designed for students with disabilities or limited English proficiency, homeless children and youth, and students in foster care; and to pay stipends to students, not to exceed \$40 a month during the academic year and \$60 a month during the summer. A grantee may not use the project as part of its recruitment program. Separate projects provide special services for veterans and for students with a special interest in math and science.

Applicant Eligibility:

Institutions of higher education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program, secondary schools.

Beneficiary Eligibility:

Low-income individuals and potential first generation college students who have a need for academic support in order to successfully pursue a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first generation college students. The remaining one-third must be either low-income, first-generation college students, or students who have a high risk for academic failure. Required low-income criteria are stated in application materials. Except for veterans, who can be served regardless of age, project participants must be between 13 and 19 years old and have completed the eighth grade but have not entered the twelfth grade (exceptions allowed).

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program (non-profit organization). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, application and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers who are not employees of the Federal Government evaluate new proposals on the basis of the selection criteria specified in 34 CFR 645.31 and 34 CFR 645.32. Grantees will be selected on the basis of rank order of total scores and availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department is developing regulations to implement a second review process for unsuccessful applicants. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Continuation awards for up to 5 years approved on an annual basis; subject to the availability of funds and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. The statute establishes a minimum grant amount.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects; annual awards. See the following for information on how assistance is awarded/released: Contact the program office for the information.

Reports:

Performance reports are required on an annual basis. No cash reports are required. Progress reports are required for a project in its first year of funding. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.702, 74.61.

Records:

In accordance with (EDGAR) 34 CFR 75.730, 75.731, and 75.732 and 34 CFR 645 43(c).

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$362,200,000; FY 10 est \$360,100,000; FY 11 est \$360,100,000

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 1,143 awards. Fiscal Year 2010: Estimated 1,136 awards.

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 645.

Regional or Local Office:

See Regional Agency Offices. Department of Education regional offices. See Appendix IV in the Catalog.

Headquarters Office:

Gaby Watts, Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 1990 K St., NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: gaby.watts@ed.gov Phone: (202) 502-7545.

Website Address:

<http://www.ed.gov/programs/trioupbound/>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards, applicants will be selected on the basis of the criteria set forth in 34 CFR 645.31. New regulations are under development. Contact the program office for additional information.

84.048 CAREER AND TECHNICAL EDUCATION -- BASIC GRANTS TO STATES**FEDERAL AGENCY:**

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV), Title I, 20 U.S.C 2321.

OBJECTIVES:

To develop more fully the academic, career, and technical skills of secondary and postsecondary students who elect to enroll in career and technical education programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used by eligible agencies and their eligible recipients (local subgrantees), in accordance with Perkins IV, to provide career and technical education. Each State must distribute at least 85 percent of its award to local agencies to fund secondary and postsecondary institutions that provide career and technical education services, from which the State may choose to award an amount equivalent to 10 percent of its award to make awards to local agencies in rural areas, areas with high percentages of career and technical education students, and areas with high numbers of career and technical education students. Each State may keep up to 5 percent (or \$250,000, whichever is greater) of its award for State administration and up to 10 percent of its award to fund leadership activities (and from that amount, it must reserve an amount equivalent to 1 percent of its award to assist individuals in State institutions and between \$60,000 and \$150,000 to fund services to prepare individuals for non-traditional fields). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

The Department of Education makes formula grants to State boards for career and technical education. Eligible recipients for subgrants are local educational

agencies and postsecondary institutions.

Beneficiary Eligibility:

A wide range of individuals pursuing career and technical education and training will benefit.

Credentials/Documentation:

The establishment of a State Board for Career and Technical Education is required. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A 5-year State plan for career and technical education must be prepared. State plans are subject to public hearings. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Eligible agencies submit 5-year State plans for career and technical education, and annual revisions, to the Department pursuant to section 122 of Perkins IV. However, the outlying areas, except for Palau, are eligible to submit an application for Perkins IV funding under the Consolidated Grants to Insular Areas Program. See CFDA 84.403. Eligible recipients submit local plans to the eligible agency in their States pursuant to section 134 of Perkins IV.

Award Procedure:

Once the Secretary approves an eligible agency's 5-year State plan or annual revisions, the Department forwards the grant award to the State official designated by the eligible agency and notifies the State director for CTE.

Deadlines:

Mar 30, 2010 The submission date for State plan or annual revisions is generally set in late March or early April in guidance issued annually by the Department.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Secretary shall not finally disapprove a State plan, except after giving the eligible agency notice and opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: The funding formula is based on State per-capita income and population in three age cohorts (15-19,20-24, and 25-65). The formula provides for a minimum State allocation and a "hold-harmless" provision in the formula ensures that no State's share of the appropriation is less than its share of the fiscal year 1998 appropriation. A special provision limits the increase a State with an initial allocation of the 0.5 percent minimum may receive, resulting in a number of States that receive an allocation of less than 0.5 percent of the total. If appropriations result in the amount of funds for allocation to States exceeding the amount of funds allocated to States from the FY 2006 appropriation, up to one-third of the additional funds are allotted to States with FY 2006 grants that are less than the minimum 0.5 percent grant amount and the remainder flows to the other States. In addition, the Pacific territories receive 0.13 percent of the total appropriated for State Grants to operate the same kinds of career and technical education programs as the States. Also, 1.25 percent of the total appropriation for State Grants is set aside for grants to federally recognized Indian tribes and tribal organizations, and 0.25 percent is set aside for competitive grants to organizations that primarily serve and represent Native Hawaiians. The Bureau of the Census supplies the population data; the Department of Labor supplies the per-capita income data. Matching Requirements: Section 102 of the Perkins Acts requires 50/50 matching for State administration. No match is required for American Samoa, the Northern Mariana Islands, the Virgin Islands, Guam, or the Republic of

Palau.

Section 311(b) of the Perkins Act describes maintenance-of-effort requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, annual grants to eligible agencies are made from July 1 to September 30 of the following year. In addition, funds remain available to the eligible agencies for an additional fiscal year for obligation and expenditure under section 421(b) of the General Education Provisions Act, as amended (GEPA). Contact the headquarters office for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: States draw funds as needed under the Electronic Funds Transfer System.

Reports:

OVAE's Consolidated Annual Report (CAR) for Perkins IV requires annual financial status reports, performance data reports, and program narrative reports. Eligible agencies must also submit Office for Civil Rights Compliance Reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-administered programs (34 CFR 76.730-76.731). Generally, the eligible agency and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review. See also section 443 of GEPA.

Account Identification:

91-0400-0-1-501.

Obligations:

(Formula Grants) FY 09 \$1,141,988,000; FY 10 est \$1,141,988,000; FY 11 est \$1,264,000,000

Range and Average of Financial Assistance:

The range or awards in FY 2009 was \$613,000 to \$128,508,000, including the 50 States, the District of Columbia, Puerto Rico, and the Virgin Islands.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: In school year 2009-2010 over 14.8 million students enrolled in secondary and postsecondary career and technical education programs. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 34 CFR 400 and 403; Education Department General Administrative Records (EDGAR) at 34 CFR Parts 74, 76-77, 79, 80-82, 84-86, and 97-99, 74, 76 (except 76.103), 77, 79, 80, 81, 82, and 85; OCR Guidelines for Vocational Education.

Regional or Local Office:

None.

Headquarters Office:

Sharon Lee Miller, Department of Education, OVAE Division of High School, Postsecondary and Career Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: sharon.miller@ed.gov Phone: (202) 245-7846.

Website Address:

<http://www.ed.gov/about/offices/list/ovae/pi/cte/index.html>.

RELATED PROGRAMS:

17.201 Registered Apprenticeship and Other Training; 23.002 Appalachian Area Development; 84.002 Adult Education - Basic Grants to States; 84.051 Career and Technical Education -- National Programs; 84.101 Career and Technical Education - Indian Set-aside; 84.243 Tech-Prep Education; 84.245 Tribally Controlled Postsecondary Career and Technical Institutions ; 84.259 Native Hawaiian Career and Technical Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Same as FY 2008. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Eligible agencies qualify for funding based upon their State plans and annual revisions approved under Perkins IV, section 122.

84.051 CAREER AND TECHNICAL EDUCATION -- NATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Section 114 of the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV), 20 U.S.C 2324.

OBJECTIVES:

To provide support, directly or through grants, contracts or cooperative agreements, for research, development, demonstration, dissemination, evaluation, assessment, capacity building, and technical assistance activities aimed at improving the quality and effectiveness of career and technical education programs authorized under the Perkins Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are made to support activities designed to improve career and technical education. Announcements of grant competitions are published in the Federal Register. Contract competitions are announced in the FedBizOps.

Applicant Eligibility:

Depending on the activity to be funded, eligible applicants include institutions of higher education or consortia of institutions of higher education, public or private nonprofit organizations, or consortia thereof, and State boards designated as the sole State agencies for the administration of State career and technical education (CTE), or consortia thereof.

Beneficiary Eligibility:

Education professionals, CTE sole State agencies; local educational agencies; CTE providers, CTE students; and the general public.

Credentials/Documentation:

Signature by authorized designated officials on the grant application or contract proposal. For State agencies, allowability of costs is determined in accordance with OMB Circular No. A-87 "Cost Principles for State, Local and Indian Tribal Governments"; For costs incurred by non-profit organizations allowability is determined in accordance with OMB Circular A-122, "Cost Principles for Non-Profit Organizations"; and for costs incurred by institutions of higher education allowability is determined in accordance with OMB Circular A-21, "Cost Principles for Educational Institutions". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications for grants must be prepared in accordance with the regulations in 34 CFR Part 75, the Department's notices inviting applications, and any notices announcing requirements, priorities, and selection

criteria that the Department may publish. Proposals for contracts must be prepared in accordance with program announcements published in the FedBizOps.

Award Procedure:

Applications are reviewed in accordance with section 114 of Perkins IV and with the requirements set forth for application notices and any notices announcing requirements, priorities, and selection criteria that the Department may publish in the Federal Register for a given competition and the regulations at 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 to 120 days.

Appeals:

There are no appeal rights for denial of grants funded under section 114 of Perkins IV. For contracts the Federal Procurement Regulations, 41 CFR 1, 2, 407, and 408 apply.

Renewals:

Continuation awards are made annually, for a maximum award period of 5 years for the National Research Center on Career and Technical Education and as specified by the Department for other activities, subject to the availability of appropriations and the grant recipient 's performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Maximum award period varies by activity. Annual continuation awards are subject to the availability of appropriations and contingent on recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific contract reporting requirements are contained in the Request for Proposals and contract documents. Reporting requirements for grants are required in the regulations at 34 CFR 74 and 75. Reports are required annually. Reports are required annually. Reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Under OMB Circular A-133, recipients that are institutions of higher education, other non-profit organizations, or State and local governments, are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years after completion of the activity for which the funds are used.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 09 \$7,860,000; FY 10 est \$7,860,000; FY 11 est \$7,860,000

Range and Average of Financial Assistance:

Varies by program competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Same as FY 2008. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and Federal Register program notices, as applicable.

Regional or Local Office:

None.

Headquarters Office:

Ricardo Hernandez, Department of Education, OVAE Policy, Research and Evaluation Staff, 400 Maryland Ave., S.W., Washington, District of Columbia 22202 Email: Ricardo.Hernandez@ed.gov Phone: (202) 245-7818.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Same as FY 2008. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Each request for proposal and notice inviting grant applications contains either evaluation criteria or selection criteria, which are applied in the review and selection of contract or grant applications for funding. (See also 34 CFR Part 75 for the Department's selection criteria.)

84.060 INDIAN EDUCATION GRANTS TO LOCAL EDUCATIONAL AGENCIES

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VII, Part A, Subpart 1.

OBJECTIVES:

To address the unique education and culturally related academic needs of Indian students, including preschool children, so that these students can achieve to the same challenging state performance standards expected of all students. This program is the Department's principal vehicle for addressing the particular needs of Indian children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants supplement the regular school program, helping Indian children sharpen their academic skills, assisting students in becoming proficient in the core content areas, and providing students an opportunity to participate in enrichment programs that would otherwise be unavailable. Funds support such activities as after-school programs, tutoring, and dropout prevention. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local educational agencies (LEAs) that enroll at least 10 Indian children or in which Indians constitute at least 25 percent of the total enrollment. These requirements do not apply to LEAs serving Indian children in Alaska, California, and Oklahoma or located on, or in proximity to, an Indian reservation. Schools that receive funding from the Department of Interior (DOI) Bureau of Indian Education (BIE) under Section 1130 of the Education Amendments of 1978, 25 U.S.C. 2001, are deemed to be eligible to participate in this program. If an eligible LEA does not apply, an Indian tribe that makes up at least half of the LEAs Indian students may apply in lieu of the LEA.

Beneficiary Eligibility:

Eligible Indian children enrolled in eligible local educational agencies, and BIE-funded schools.

Credentials/Documentation:

The applicant must certify the number of eligible Indian children enrolled. The grant award is computed based on this information. An applicant must also assure that it has carried out a number of other required activities, including: holding a public hearing, establishing an Indian parent committee, and making arrangements for an evaluation of the effectiveness of the project. No grant will be made unless the applicant has the written approval of the applicant's Indian parent committee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency must be used for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The LEA annually submits to the Department of Education an application describing its proposed project. Application forms are available from the headquarters office.

Award Procedure:

Awards are issued annually directly to the LEA. Awards are made to all applicants that meet the applicable requirements of the Act and that have designed a project that the Secretary of Education determines will substantially increase the educational opportunities of the Indian children served by the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 160 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: The Department calculates the amount of a grant that an LEA receives by multiplying the number of Indian children enrolled in the LEA's schools by the average per-pupil expenditure for the State in which the applicant is located, or 80 percent of the national average per pupil expenditure, whichever is greater. In setting the actual amount of a grant, an applicant's formula amount is adjusted on the basis of available appropriations. In order for an LEA to receive a grant, the appropriate State educational agency (SEA) must certify that the combined fiscal effort of that LEA and the State with respect to the provision of free public education by that LEA for the preceding fiscal year was not less than 90 percent of the combined fiscal effort for that purpose for the second preceding fiscal year. If an LEA does not meet this requirement and the Secretary does not grant a waiver for unusual circumstances, the LEA's grant award is reduced in the proportion by which the LEA failed to meet the combined fiscal effort requirement. DOI/Bureau of Indian Education grantees are exempt from the combined fiscal effort requirement. This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months and funds must be spent or obligated within the project period. Payments are made by direct deposit upon request by LEAs. See the following for information on how assistance is awarded/released: Contact the program office for this information.

Reports:

Financial and performance reports are due within 90 days of the termination of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-0101-0-1-501.

Obligations:

(Formula Grants) FY 09 \$99,331,000; FY 10 est \$104,331,000; FY 11 est \$104,331,000

Range and Average of Financial Assistance:

Range of New Awards: \$3,872-\$2,688,479; Average New Award: \$82,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awards were made to 1,101 LEAs, 21 tribes, 89 BIE-grant/contract schools, and 54 BIE-operated schools. Fiscal Year 2010: Awards were made to 1,126 LEAs, 21 tribes, 67 BIE-grant/contract schools, and 51 BIE-operated schools. The projects will serve approximately 473,973 Indian students in elementary and secondary schools, with an average per student payment of \$220. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 78, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Bernard Garcia, Office of Indian Education, Department of Education, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: bernard.garcia@ed.gov Phone: (202) 260-1454.

Website Address:

<http://www.ed.gov/about/offices/list/oese/oie/programs.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR; contact the program office for additional information.

84.063 FEDERAL PELL GRANT PROGRAM

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide eligible undergraduate postsecondary students who have demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching. Applicants must demonstrate need according to Part F of the Higher Education Act of 1965, as amended.

Applicant Eligibility:

Undergraduate students and students pursuing a teaching certificate enrolled as regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program offered.

Beneficiary Eligibility:

Undergraduate students and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens and meet financial need criteria. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Federal Pell Grant, using a payment schedule developed by the Department of Education that determines the amount of the award based on the student's expected family contribution, cost of attendance, and enrollment status.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application which contains preprinted student data and requires students only to update certain information. However, eligibility is still annually determined.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part F.

Matching Requirements: Federal Pell Grant eligibility determinations are based on Part F of the HEA, as amended. This national need analysis formula determines financial eligibility for Federal Pell grants and other Federal student aid and is applied uniformly to all applicants. This formula determines a student's "expected family contribution" (EFC). The fundamental elements of this need analysis formula are the parents' and/or the student's income and assets (excluding home), the family's household size, and the number of family members attending postsecondary institutions. The EFC is determined as the sum of: (1) A percentage assessment of net income (remaining income after subtracting allowances for basic living expenses) and (2) a percentage assessment of net assets, other than a home, (remaining assets after subtracting an asset protection allowance).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Students are able to receive two Federal Pell Grant during any award year (July 1 through June 30), depending on enrollment level. Funds for one Federal Pell Grant are usually disbursed at least twice during an award year. Students may only receive a Federal Pell Grant until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students. Cash reports are not applicable. Progress reports are not applicable. Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. ARRA funds are included in the regular appropriation program compliance under OMB Circular A-133.

Records:

All records pertaining to the eligibility of each Federal Pell Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0200-0-1-502; 91-0199-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$35,661,000,000; FY 10 est \$22,483,000,000; FY 11 est \$34,878,000,000 - FY 2009 - Includes \$16,283,000,000 in FY 2009 provided under the American Recovery and Reinvestment Act of 2009.

Range and Average of Financial Assistance:

For FY 2009, grants will range from \$976-\$5,350; the average grant is estimated at \$3,591. For FY 2010, grants will range from \$555-\$5,550; the average grant is estimated at \$3,848.

For FY 2011, grants will range from \$555-\$5,550; the average grant is estimated at \$3,859.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Approximately 98,105,000 recipients expected. Fiscal Year

2010: Approximately 8,745,000 recipients expected. Fiscal Year 2011: Approximately 9,078,000 recipients expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Pell Grant Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965 as amended. Regulations governing administration of the Pell Grant Program are found in 34 CFR 600, 668, and 690. The Student Guide; Free Application for Federal Student Aid (no charge); "The Expected Family Contribution Formula"; "The Student Financial Aid Handbook."

Regional or Local Office:

See Regional Agency Offices. Federal Student Aid Information Center. Telephone: (1-800) 433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.037 Perkins Loan Cancellations; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965, as amended.

84.066 TRIO_EDUCATIONAL OPPORTUNITY CENTERS**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Executive Order Subpart 2, Chapter 1, Section 402F, Title IV, Part A.

OBJECTIVES:

To provide information on financial and academic assistance available for qualified adults desiring to pursue a program of postsecondary education and to assist them in applying for admission to institutions of postsecondary education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to institutions of higher education or agencies for establishment and operation of centers to collect and disseminate information concerning financial aid and academic programs available to participants seeking to pursue postsecondary education and to assist participants in preparing admission and financial aid applications. Centers may also provide tutoring and counseling for project participants who are not enrolled in an Upward Bound or a Student Support Services project. A grantee may not use the center as a part of its recruitment program.

Applicant Eligibility:

Institutions of Higher Education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth, combinations of such institutions, agencies and organizations, and as appropriate to the purposes of the program; secondary schools.

Beneficiary Eligibility:

Persons residing in the target area who need one or more of the services provided by the project in order to pursue a program of postsecondary

education and who desire to pursue or who are pursuing a program of postsecondary education. Two-thirds of the participants must be low-income individuals who are also potential first-generation college students. Project participants must be at least nineteen years old (exceptions allowed). Required low-income criteria for participants are stated in application materials.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular A-21 applies. OMB Circular A-122 applies. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Programs, College and University Preparation and Support Team. This program is subject to the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected non-Federal field readers evaluate new proposals based on the selection criteria established in program regulations 34 CFR 644.21 and 34 CFR 644.22. Grantees will be selected on the basis of satisfactory proposals and availability of funds. Grants are awarded through Federal TRIO Programs office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department is developing regulations to implement a second review process for unsuccessful applicants. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for five years. Continuation awards are approved on an annual basis, subject to the availability of funds and evidence that the grantee is meeting the conditions of the grant and making substantial progress towards meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. The statute establishes a minimum grant amount.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five-year projects, annual awards. Continuation awards are subject to the availability of funds and evidence that the grantee is making satisfactory progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports are required for a project in its first year of funding. Expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR), 34 CFR 74.26, 75.702, 75.910, and 74.61.

Records:

In accordance with Education Department General Administrative Regulations, 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$46,800,000; FY 10 est \$46,800,000; FY 11 est \$46,800,000

Range and Average of Financial Assistance:

Varies by competition. In 2008, the average annual award was approximately \$376,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 124 projects. Fiscal Year 2010: Estimated 124 projects.
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulation 34 CFR 644. New regulations are under development. Contact the program office for additional details.

Regional or Local Office:

None.

Headquarters Office:

Rachel Couch, Federal TRIO Programs, Office of Postsecondary Education, Department of Education, 1990 K St., NW, 7th Floor, Washington, District of Columbia 20006-8510 Email: ope.trio@ed.gov Phone: (202) 502-7655.

Website Address:

<http://www.ed.gov/programs/trioeoc/>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.103 TRIO Staff Training Program; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards the Selection Criteria in 34 CFR 644.21 are considered. New regulations are under development. Contact the program office for additional information.

84.069 LEVERAGING EDUCATIONAL ASSISTANCE PARTNERSHIP (LEAP-formerly SSIG)

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, , Executive Order Title IV, Part A, Subpart 4.

OBJECTIVES:

To provide grants to States for use in programs of financial assistance to eligible postsecondary students.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To make grants of up to \$5,000 for full-time (reduced for less than full-time)

attendance to students with substantial financial need who are enrolled at postsecondary institutions. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

The agency responsible for administering each State's need-based scholarship/grant program. U.S. Territories are also eligible to apply.

Beneficiary Eligibility:

Postsecondary education students with substantial financial need will benefit.

Credentials/Documentation:

A State application reviewed and approved annually by the Secretary of Education qualifies the State for funds. States pay administrative costs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

For technical assistance for States regarding the State application, refer to ED Form 1288. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The designated State student scholarship or grant assistance agency in each State annually applies for State allotments/reallotments of funds; refer to ED Form 1288. Eligible students annually apply to their State of residence for a grant under this program, if the State has received an allotment. Student applicants must follow the application procedures as directed by each State and must demonstrate substantial financial need to receive a grant.

Award Procedure:

The Federal Student Aid office awards grants to State student scholarship or grant assistance agencies for making grants or providing work-study financial assistance to students.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 30 to 45 days.

Appeals:

Appeals of final disapprovals can be made to the Secretary and may be subject to judicial review.

Renewals:

New State applications are required annually.

Formula and Matching Requirements:

Statutory Formula: Title IV, Part A, Subpart 4.

Matching Requirements: Each State receives an allotment and possibly a reallocation of funds based upon the number of students it deems eligible to participate in the program relative to the total number of such students in all States; no State receives less than its fiscal year 1979 allotment (Section 415B(a)(1), HEA). Maintenance of effort (MOE) requirements provide that annual State-appropriated expenditures in the program must not be less than the average for the previous three fiscal years (Section 415C(b)(8), HEA). States match Federal funds on at least their MOE level, but not less than a one-to-one basis, out of resources appropriated by the State which represent additional State grant expenditures over an established base year, defined as the second year before the State entered the LEAP Program (Section 415C(b)(6), HEA). This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating States for one award year at a time following the year of application. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual fiscal performance report (ED Form 1288-1) and other reports as required by the Secretary. No cash reports are required. No progress reports are

required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records are examined by State or Federal auditors.

Records:

States must maintain records sufficient to identify student grants awarded in compliance with the program statute and requirements concerning the expenditure of Federal funds and matching State appropriated funds. Records must be retained for three years or until completion of Department audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Formula Grants) FY 09 \$63,852,000; FY 10 est \$63,852,000; FY 11 est \$0

Range and Average of Financial Assistance:

Maximum student award is \$5,000; Average student award is \$1,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 692 (Program Regulations) reissued July 14, 1981, amended December 1, 1986, November 27, 1987, and January 28, 1994. Directory of State Agency Official Contacts (current).

Regional or Local Office:

None. Program description and a List of State student scholarship or assistance agencies available in the Education Department regional offices. Program is administered from the Department's headquarters.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., , Washington, District of Columbia 20202 Phone: (800) 433-3243

Website Address:

<http://www.ifap.ed.gov>; www.studentaid.gov.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.063 Federal Pell Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.083 WOMEN'S EDUCATIONAL EQUITY PROGRAM

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part D, Subpart 21, 20 U.S.C 7231-7238.

OBJECTIVES:

(1) To promote gender equity in education in the United States; (2) to promote equity in education for women and girls who suffer from multiple forms of discrimination based on sex, race, ethnic origin, limited English proficiency, disability, or age; and (3) to provide financial assistance to enable educational agencies and institutions to meet the requirements of Title IX of the Education Amendments of 1972.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Department may award two types of grants: (1) implementation grants for gender equity programs in schools, and (2) research and development grants to develop model equity programs. This program is subject to non-supplanting requirements and grantees must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Public agencies; private nonprofit agencies; organizations, institutions; student groups; community groups; and individuals may apply.

Beneficiary Eligibility:

Public agencies, private nonprofit agencies, institutions, organizations, student groups, community groups, and individuals will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Department publishes grant application forms and instructions in the Federal Register.

Award Procedure:

Applications and proposals are reviewed by a panel of experts in women's programs that represent various geographical areas, racial and ethnic groups, and levels of education. Final decisions on grants are made by the Department on the basis of the selection criteria published in the regulations and statutory considerations, and to the extent feasible, on the basis of geographical distributions. The Department may give special consideration to applications submitted by applicants that have not received assistance under this part.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months from receipt of applications.

Appeals:

Not Applicable.

Renewals:

The project period for an award may not exceed four years. To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to four years, subject to the availability of funds. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

As part of the continuation funding process, grantees must submit annual performance reports. The program office will establish a due date. A final performance report must also be submitted. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional audit requirements may be specified in grant award documents.

Records:

In accordance with Section 443 of the General Education Provisions Act, as amended, grantees must maintain records for 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$2,423,000; FY 10 est \$2,423,000; FY 11 est \$2,278,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Department made 13 new awards. Fiscal Year 2010: The Department made 13 continuation awards. Fiscal Year 2011: Same as FY 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

Educational Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Beverly A. Farrar, Department of Education, Office of Innovation and Improvement, Improvement Programs, 400 Maryland Avenue, S.W., Rm 4W242, Washington, District of Columbia 20202 Email: beverly.a.farrar@ed.gov Phone: (202) 205-3145.

Website Address:

<http://www.ed.gov/programs/equity/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: Continuation awards for 13 4-year awards made in FY 09.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are outlined in the Education Department General Administrative Regulations. Contact the program office for more information.

84.101 CAREER AND TECHNICAL EDUCATION - GRANTS TO NATIVE AMERICANS AND ALASKA NATIVES FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Title I, Section 116, 20 U.S.C 2326.

OBJECTIVES:

To make grants, cooperative agreements, or enter into contracts with Indian tribes, tribal organizations, Alaska Native entities, and department of the Interior/Bureau of Indian Education (Bureau-funded schools), to plan, conduct, and administer programs or portions of programs authorized by and consistent with the Carl D. Perkins Career and Technical Education Act of 2006.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Americans and Alaska Natives. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance with 34 CFR 76.563. For assistance with restricted indirect cost rates call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Federally recognized Indian tribes, tribal organizations, Alaska Native entities, and consortia of any of these entities may apply. Additionally, Bureau-funded schools proposing to use their award to assist secondary schools operated and supported by the U.S. Department of the Interior to carry out career and technical education programs may apply.

Beneficiary Eligibility:

Federally recognized Indian tribes, tribal organizations, and Alaska Natives, and Bureau-funded schools except for Bureau-funded schools proposing to use their grants to support secondary school CTE programs.

Credentials/Documentation:

Allowability of costs is determined in accordance with OMB Circular No. A-87 "Costs Principles for State, Local and Indian Tribal Governments" and OMB Circular No. A-21 "Cost Principles for Educational Institutions.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application notices and Application Packages are published in the Federal Register. Applications must be prepared and submitted in accordance with program announcements and Application Packages are published in the Federal Register.

Award Procedure:

Applications are approved using the selection criteria established in the program's notice inviting applications for new awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

After receiving written notice that the Department of Education will not award a grant, the eligible applicant has 30 calendar days to request, in writing, a hearing to review the Department's decision. (20 U.S.C. 2326(b)(2); 25 U.S.C. 450(f)).

Renewals:

Continuation awards are made annually, for a maximum of 5 years, subject to the availability of appropriations and each grant recipient's performance as shown in end-of-year performance reports.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 5 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific reporting requirements are contained in the notice inviting applications and in grant award documents. Reports required annually. Reports required annually. Reports required annually. Reports required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 09 \$14,511,000; FY 10 est \$14,511,000; FY 11 est \$14,511,000

Range and Average of Financial Assistance:

Range: \$300,000-\$600,000
Average award: \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74,75,77,80,81,82,84,85,86,97,98 and 99. EDGAR, NACTEP Federal Register notice of MARCH 23, 2007 (72 FR 13770 and Application Package.

Regional or Local Office:

None.

Headquarters Office:

Linda Mayo, Department of Education, OVAE Division of Academic and Technical Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202-7241 Email: linda.mayo@ed.gov Phone: (202) 245-7792.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Same as FY 2008. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following criteria are used in reviewing applications. Each application must address each selection criterion. Points are awarded based on the extent to which an application addressed each criterion: (a) Need for project; (b) significance; (c) quality of the project design; (d) quality of project services; (e) quality of personnel; (f) adequacy of resources; (g) quality of the management plan; and (h) quality of the project evaluation. Additional points are awarded to eligible applicants that (1) propose exemplary approaches that involve, coordinate with, or encourage tribal economic development plans; (2) are accredited or are candidates for accreditation by a nationally recognized accreditation organization as an institution of postsecondary career and technical education; and (3) operate career and technical education programs that are accredited or are candidates for accreditation by a nationally recognized accreditation organization and issue certificates for completion of career and technical education programs, (20 U.S.C. 2326(e)).

84.103 TRIO STAFF TRAINING PROGRAM

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 402G, Executive Order Subpart 2, Chapter 1.

OBJECTIVES:

To provide training for staff and leadership personnel employed in, or preparing for employment in, projects funded under the Federal TRIO Programs (program

numbers 84.042, 84.044, 84.047, 84.066, and 84.217.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to eligible grantees to provide training for project directors and staff of funded Federal TRIO Programs projects. The grants may provide support for conferences, seminars, internships, workshops, and the publication of manuals designed to improve the operation of Federal TRIO Programs projects.

Applicant Eligibility:

Institutions of higher education and other public and private nonprofit institutions and organizations.

Beneficiary Eligibility:

Leadership personnel, full-time and part-time staff members of projects under the Federal TRIO Programs, and individuals preparing for employment as staff or leadership personnel in projects under the Federal TRIO Special Programs will benefit.

Credentials/Documentation:

Costs will be determined in accordance with the Education Department General Administrative Regulations (EDGAR). OMB Circular No. A-21 applies to this program. OMB Circular No. A-122 applies to this program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with program regulations, applications, and timetables issued by the Department of Education. No State plan is required. Requests for program applications should be made to the Federal TRIO Program, College and University Support Team. This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR).

Award Procedure:

Selected nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in 34 CFR 642.31. Grants are awarded through the Federal TRIO Programs Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department is developing regulations to implement a second review process for unsuccessful applicants. An unsuccessful applicant requesting a second review must provide evidence that the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

The grant period is 2 years. Grantees may receive one continuation award (for the second year of the grant), subject to the availability of funds and the grantee meeting the conditions of the grant and making substantial progress toward the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grant period is two years. Grantees may receive one continuation award approved on an annual basis subject to the availability of funds and grantee meeting the conditions of the grant and making substantial progress toward meeting the project objectives. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are submitted on an annual basis. No cash reports are required. Required for a project in the first year of funding using form ED 524B. Staff monitor grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR and 34 CFR 75.702, 74.61.)

Records:

In accordance with 34 CFR 75.730, 75.731, and 75.732.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$4,300,000; FY 10 est \$3,400,000; FY 11 est \$3,400,000

Range and Average of Financial Assistance:

FY 09: Range of awards \$400,000 to \$450,000. Average award \$428,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program supported 8 projects. Fiscal Year 2010: Estimated 10 projects. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR and regulations 34 CFR 642.

Regional or Local Office:

None.

Headquarters Office:

Suzanne Ulmer, Federal Trio Programs, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, 7th Floor, Washington, District of Columbia 20006 Email: suzanne.ulmer@ed.gov Phone: (202) 502-7789.

Website Address:

<http://www.ed.gov/programs/triotrain/> .

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.217 TRIO_McNair Post-Baccalaureate Achievement

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department will select applicants to be funded for new awards under this part on the basis of criteria set forth in 34 CFR 642.31. New regulations are under development. Contact the program office for additional information.

84.116 FUND FOR THE IMPROVEMENT OF POSTSECONDARY EDUCATION

(FIPSE)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part B, 20 U.S.C. 1138.

OBJECTIVES:

To provide assistance for innovative programs that improve access to and the quality of postsecondary education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

The Fund provides project grants for activities sponsored by institutions and agencies that develop and demonstrate more effective approaches to the postsecondary teaching and learning. Each year, the Department establishes funding competitions and priorities. Funded projects are action-oriented, focusing on improvements in practice rather than support for basic research.

Applicant Eligibility:

Eligible applicants include institutions of higher education, other public and private non-profit institutions and agencies and combinations of these institutions and agencies. A range of providers of postsecondary educational services is thus eligible including but not limited to: 2 and 4-year colleges and universities, community organizations, libraries, museums, consortia, and student groups.

Beneficiary Eligibility:

Postsecondary educational institutions and their students will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application announcements and resources for applicants are available at the FIPSE home page <http://www2.ed.gov/about/offices/list/ope/fipse/index.html>. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards. The Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Proposals are reviewed by field readers, by staff, and by appropriate Department officials. State Postsecondary Education Commissions must be given an opportunity to comment on projects funded in their States.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 8 months.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Fund will support multi-year projects; grants are awarded on a 1-to 4-year basis. Funds are released as required. Renewals are subject to the availability of appropriations. Method of awarding/releasing assistance: by letter of credit.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 5248. Cash reports are not applicable. Annual progress reports are required as a part of the submittal of form ED 5248. Annual expenditure reports are required as a part of the submittal of form ED 5248. Annual performance monitoring are required as a part of the submittal of form ED 5248.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to maintain standard financial records.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$133,667,000; FY 10 est \$159,403,000; FY 11 est \$64,036,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Current program achievements are highlighted on the following Web sites: FIPSE Grants in Action, FIPSE Award-winning Projects, and Calendar of Presentations by FIPSE Grantees. Fiscal Year 2010: Current program achievements are highlighted on the following Web sites: FIPSE Grants in Action, FIPSE Award-winning Projects, and Calendar of Presentations by FIPSE Grantees. Fiscal Year 2011: Current program achievements are highlighted on the following Web sites: FIPSE Grants in Action, FIPSE Award-winning Projects, and Calendar of Presentations by FIPSE Grantees.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34 CFR 74, 75, 77, 79, 82, 84, 85, 86, 97, 98, and 99 apply.

Regional or Local Office:

None.

Headquarters Office:

Cassandra Courtney, Fund for the Improvement of Postsecondary Education, Office of Postsecondary Education, Department of Education, 400 Maryland Ave. S.W., Washington, District of Columbia 20202 Email: cassandra.courtney@ed.gov Phone: (202) 502-7506.

Website Address:

<http://www2.ed.gov/about/offices/list/ope/fipse/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Examples of funded projects may be found using the searchable database of projects funded since 1994 on the program Website. Searches may be done by competition, year, institution, state, topic and `. Fiscal Year 2010: Examples of funded projects may be found using the searchable database of projects funded since 1994 on the program Website. Searches may be done by competition, year, institution, state, topic and `. Fiscal Year 2011: Examples of funded projects may be found using the searchable database of projects funded since 1994 on the program Website. Searches may be done by competition, year, institution, state, topic and `.

CRITERIA FOR SELECTING PROPOSALS:

Grantees are selected based on the project's significance in improving postsecondary education; feasibility; and appropriateness.

84.120 MINORITY SCIENCE AND ENGINEERING IMPROVEMENT (MSEIP)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title III, Part E, Subpart 1.

OBJECTIVES:

To (1) effect long-range improvement in science and engineering education at predominantly minority institutions and (2) increase the participation of underrepresented ethnic minorities, particularly minority women, in scientific and technological careers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds are generally used to implement institutional projects, special projects and cooperative grants. Institutional grants support the implementation of a comprehensive science improvement plan, which may include any combination of activities for improving the preparation of minority students for careers in science. Special project grants to minority institutions support activities that improve the quality of training in science and engineering at minority institutions. Special project grants to non-minority eligible applicants support activities that provide a needed service to a group of eligible minority institutions. Cooperative grants assist groups of non-profit accredited colleges and universities in working together to conduct a science improvement program. Grant funds may be used for a broad range of activities that address specific barriers that eliminate or reduce the entry of minorities into science and engineering fields. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Private and public nonprofit accredited (or successfully working toward accreditation) institutions of higher education that award baccalaureate degrees; and are minority institutions; public or private accredited (or successfully working toward accreditation) nonprofit institutions of higher education that award associate degrees, and are minority institutions that have a curriculum that includes science and engineering subjects; and enters into a partnership with public or private nonprofit institutions of higher education that award baccalaureate degrees in science or engineering. Applications may also be submitted by nonprofit science-oriented organizations, professional scientific societies, and institutions of higher education that award baccalaureate degrees, that provide a needed service to a group of minority institutions; or provide in-service training for project directors, scientists, and engineers from minority institutions; or consortia of organizations, that provide needed services to one or more minority institutions, the membership of which may include: institutions of higher education which have a curriculum in science or engineering; institutions of higher education that have graduate or professional programs in science or engineering; research laboratories of, or under contract with the Department of Energy; private organizations that have science or engineering facilities; or quasi-governmental entities that have a significant scientific or engineering mission.

Beneficiary Eligibility:

Private or public accredited (or successfully working toward accreditation) 2- and 4-year institutions of higher education whose enrollments of a single minority or a combination of minorities exceed 50 percent of the total enrollment. Minorities means American Indian; Alaskan Native; Black (not of Hispanic origin); Hispanic (including persons of Mexican, Puerto Rican, Cuban, and Central or South American origin); Pacific Islander; or other ethnic group who are underrepresented in science and engineering.

Credentials/Documentation:

Institutions must provide the information necessary to establish their eligibility for participation in MSEIP. The data on enrollment furnished to the Office for

Civil Rights to satisfy requirements for the "Fall Enrollment and Compliance Report of Institutions of Higher Education" are acceptable. Applications must be signed by an authorized organizational official. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An eligible institution may submit a proposal for funding of a planned project and proposed amount of the grant when announcement of a new competition appears in the Federal Register. See 34 CFR 637 and the specific program guidelines. Application forms are available from the MSEIP.

Award Procedure:

Panels of outside experts with knowledge of the fields covered by the application review all eligible applications. Grants awards are recommended to the Secretary by the program office, in the order of merit.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed for up to 2 years, renewals subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One to three years. Funds are awarded annually and disbursed as required. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The program requires interim and annual performance reports. A final performance report is required within 90 days upon completion of the project for all funded projects. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Compliance with standard Department of Education requirements.

Records:

Grantees are required to maintain standard programmatic and financial records. Records are subject to inspection during the life of the grant and for three years thereafter.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$8,577,000; FY 10 est \$9,503,000; FY 11 est \$9,503,000

Range and Average of Financial Assistance:

FY 2009: Average new award was \$176,000; Average continuation award was \$149,000. Awards ranged from approximately \$100,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 16 new awards and 38 continuation awards were made. Fiscal Year 2010: Approximately 22 new awards and 32 continuation awards will be made. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 637 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Bernadette Hence, Office of Postsecondary Education, Institutional Development and Undergraduate Education Service, Department of Education, 1990 K. Street, NW, 6th Floor, Washington, District of Columbia 20006 Email: bernadette.hence@ed.gov Phone: (202) 219-7038.

Website Address:

<http://www.ed.gov/programs/idadesmsi/>

RELATED PROGRAMS:

84.031 Higher Education_Institutional Aid; 84.116 Fund for the Improvement of Postsecondary Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Two projects allow students to perform research in various sources of alternative energy. Results from one of these projects led to application for a patent dealing with innovative production of bio-fuels. Other projects provided opportunities for exploration of careers for high-school minority students in engineering through dual enrollment programs. These programs allow high-school students to enroll in college courses. In one of these programs, students received both an Associate Degree of Science (AS) in Engineering and a high school diploma.

In addition, forensic science programs have been developed across the nation by several MSEIP grantees and thereby have helped to increase the enrollment and interest in STEM (science, technology, engineering, and mathematics) fields. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Decisions are based primarily on the scientific and educational merits of described activities and conformance with the objectives of the program. Priority is given to applicants which have not previously received funding from the MSEIP program and to previous grantees with a proven record of success, as well as to proposals that contribute to achieving balance among projects with respect to geographic region, academic discipline, and project type.

84.126 REHABILITATION SERVICES_VOCATIONAL REHABILITATION GRANTS TO STATES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Part A and B, Section 100-111.

OBJECTIVES:

To assist States in operating comprehensive, coordinated, effective, efficient and accountable programs of vocational rehabilitation; to assess, plan, develop, and provide vocational rehabilitation services for individuals with disabilities, consistent with their strengths, resources, priorities, concerns, abilities, capabilities, and informed choice so they may prepare for and engage in competitive employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal and State funds are used to cover the costs of providing vocational rehabilitation services which include: assessment, counseling, vocational and other training, job placement, reader services for the blind, interpreter services for the deaf, medical and related services and prosthetic and orthotic devices, rehabilitation technology, transportation to secure vocational rehabilitation services, maintenance during rehabilitation, and other goods and services necessary for an individual with a disability to achieve an employment outcome. Services are provided to families of disabled individuals when such services will contribute substantially to the rehabilitation of such individuals who are being provided Vocational Rehabilitation services. Funds can also be used to provide Vocational Rehabilitation services for the benefit of groups of individuals with disabilities including the construction and establishment of community rehabilitation programs.

Applicant Eligibility:

State agencies in all States (including territories/possessions) designated as the sole State agency to administer the vocational rehabilitation program may receive funds with the submission of an approved State plan in the Rehabilitation Act of 1973, as amended.

Beneficiary Eligibility:

In order to be eligible for vocational rehabilitation services, an individual must have a physical and/or mental impairment, which, for such an individual, constitutes or results in a substantial impediment to employment, and requires vocational rehabilitation services to achieve an employment outcome.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State Vocational Rehabilitation agencies are required to submit a State Plan describing the manner in which they will administer the VR and Supported Employment programs in their States. The State is not required to submit any policies, procedures or descriptions related to its State Plan that have been previously approved and demonstrate that the State currently meets the requirements of the Act. However, State agencies are required to annually update and submit State Plan attachments related to: (1) the comprehensive system of personnel development; State assessments, estimates, goals and priorities, and reports of progress; (2) innovation and expansion activities; and (3) a description of the State's plans with respect to the distribution of State Supported Employment Title VI, Part B funds.

Award Procedure:

Grant awards are made to State VR agencies with an approved State plan. States are notified of their annual allotment under the funding formula. Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The State plan must be approved before the State agency can receive its allotment of funds. If a State plan is disapproved, and after reasonable effort has been made to resolve a dispute, the State agency may request a hearing. If dissatisfied with the hearing officer's initial decision, the State agency may seek the Secretary's review of the decision.

Appeals:

A State may appeal the Secretary's decision to disapprove the State plan by filing a petition for review with the United States Court of Appeals for the circuit in which the State is located.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The program's matching requirement is 78.7 percent Federal and 21.3 percent Non-Federal funds. This program has a maintenance of effort (MOE) requirement. The MOE level is based on the amount of the State's non-Federal expenditures under the VR program for the Federal fiscal year two years earlier.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are appropriated and may remain available for an additional year if the matching requirement is met in the year of the appropriation. Grant awards are issued biannually (1st and 4th quarter). See the following for information on how assistance is awarded/released: Electronic Transfer.

Reports:

Program report: Annual and quarterly reports. No cash reports are required.
Progress report: Annual and quarterly reports. Expenditure reports: quarterly financial reports (Federal Financial Report (SF-425). Performance monitoring: The Rehabilitation Act requires the conduct of annual reviews and periodic on-site monitoring of whether a State VR agency is complying substantially with the provisions of its State plan and with the program's performance indicators established under section 106 of the Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Conducted by ED Office of Inspector General and by site visits of regional staff. Both are periodic but no set schedule.

Records:

Case service records for individual consumers. Financial and programmatic records must be kept for a prescribed period of three years (34 CFR 80.42).

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 09 \$2,938,522,000; FY 10 est \$3,047,247,000; FY 11 est \$3,047,247,000

Range and Average of Financial Assistance:

In FY 2009 regular annual allotments to States, including D.C. and Puerto Rico ranged from \$9.8 million to \$285 million, with a median award of \$42 million. Grants to outlying areas ranged from \$1 million to \$3 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Vocational Rehabilitation Regulations (34 CFR part 361). Rehabilitation Services Policy Directives and Technical Assistance Circulars.

Regional or Local Office:

None.

Headquarters Office:

Carol Dobak, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Carol.Dobak@ed.gov Phone: (202) 245-7325.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

64.007 Blind Rehabilitation Centers; 64.013 Veterans Prosthetic Appliances; 64.116 Vocational Rehabilitation for Disabled Veterans; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161 Rehabilitation Services_Client Assistance Program; 84.169 Independent Living_State Grants; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.234 Projects with Industry; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.250 Rehabilitation Services_American Indians with Disabilities; 84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.128 REHABILITATION SERVICES_SERVICE PROJECTS**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended,, Section 304 and 305.

OBJECTIVES:

To provide discretionary grant funds to State vocational rehabilitation agencies and public nonprofit organizations for special projects and demonstrations which hold promise of expanding and otherwise improving services to individuals with disabilities over and above those provided by the Basic Support Program administered by States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Migrant and seasonal farm workers (subsection 304) and recreational programs (subsection 305). Grants support vocational rehabilitation services to migrant and seasonal farm workers and projects that establish and operate recreational programs for individuals with disabilities.

Applicant Eligibility:

Recreational projects are awarded to States, public agencies and nonprofit organizations. Grants cannot be made directly to individuals. Migrant and seasonal farm worker projects are funded by grants to a State designated agency (including territories/possessions) and to nonprofit and local agencies in collaboration with the State agencies.

Beneficiary Eligibility:

Individuals with disabilities and individuals with significant disabilities as defined in Sections 7(20)(A) and 7(21)(A), respectively, of the Rehabilitation Act of 1973, as amended.

Credentials/Documentation:

Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. Applications should be submitted to the Department e-Grants Website or to the Department

of Education, Application Control Center, 400 Maryland Ave. S.W., Washington, DC 20202-4725, according to instructions specified in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

Awards are made on approval of the Commissioner of Rehabilitation Services and the Assistant Secretary, OSERS for new projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days.

Appeals:

Not Applicable.

Renewals:

Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance. Renewals are available only through a new application process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally matching is required; the Migratory Worker program requires 10 percent matching. The Federal share of the costs of the Recreation program shall be 100 percent for the first year, 75 percent for the second year, and 50 percent for the third year with corresponding matching requirements for the second and third years.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project support may be available for up to 3 to 5 years depending upon satisfactory performance and availability of funds. Funds are granted on a 12-month basis. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial reports and progress reports must be submitted as prescribed by grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No.A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75) records related to grant funding, compliance and performance must be maintained for a period of five years after completion of the grant activity.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$2,239,000; FY 10 est \$2,239,000; FY 11 est \$2,239,000 - (migrant and seasonal farm workers). (Project Grants) FY 09 \$2,474,000; FY 10 est \$2,474,000; FY 11 est \$2,474,000 - (recreational).

Range and Average of Financial Assistance:

varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86; and the regulations for this program in 34 CFR 369 apply. Specific guidelines and literature may be obtained from the RSA headquarters offices.

Regional or Local Office:

None.

Headquarters Office:

Sonja Turner, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W, Washington, District of Columbia 20202 Email: sonja.turner@ed.gov Phone: (202) 245-7557.

Website Address:

<http://www.ed.gov/programs/rsamigrant/index.html>; or

<http://www.ed.gov/programs/rsarecreation/index.html>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are announced in the Federal Register. Grants for migrant and seasonal farmworker projects are made to a designated State agency and to nonprofit and local agencies working in collaboration with the State agencies. Applications for discretionary grants are subjected to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program regulations 34 CFR75.210.

84.129 REHABILITATION LONG-TERM TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support projects to provide academic training in areas of personnel shortages identified by the Secretary to increase the number of personnel trained in providing vocational rehabilitation services to individuals with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation counseling, independent living, rehabilitation medicine, physical and occupational therapy, prosthetics-orthotics, speech-language, pathology and audiology, rehabilitation of individuals who are blind and individuals who are deaf, and rehabilitation technology. A grantee must use at least 75 percent of the total award for scholarships.

Applicant Eligibility:

Institutions of Higher Education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals preparing for employment in the field of rehabilitation of individuals with disabilities.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725. Attn: 84.129.

Award Procedure:

New and continuation grants are awarded by the Rehabilitation Services Administration. New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10.%. The Federal share may not be more than 90 percent of the total cost of a project under this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects are generally supported for a maximum of 5 years; with budget periods of 12 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper records must be maintained for a period of time equal to the time required to fulfill the obligations of each grantee to determine whether a scholar has met the work-or-repay provision in 34 CFR 386.34, or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$22,996,871; FY 10 est \$19,549,000; FY 11 est \$19,549,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 386 and the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.263 Rehabilitation Training_Experimental and Innovative Training; 84.264 Rehabilitation Training_Continuing Education; 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the purpose of the content and the training project to the administratively established mission of the public rehabilitation program and the objectives of the Rehabilitation Services Administration. The methodology to be employed in implementing the project and its feasibility for the achievement of the established educational objectives. The existence of a working relationship with the State vocational rehabilitation agency and other agencies and rehabilitation facilities providing vocational rehabilitation services. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 386.

84.132 CENTERS FOR INDEPENDENT LIVING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C.

OBJECTIVES:

To support a Statewide network of centers for independent living (centers or CILs) and provide financial assistance to centers that comply with the standards and assurances in section 725(b) and (c) of the Rehabilitation Act of 1973, as amended (Rehabilitation Act) consistent with the design included in the State Plan for Independent Living for establishing a statewide network of centers. Centers are consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agencies that are operated in local communities by individuals with disabilities and provide an array of IL services that are designed to enhance independence and productivity of individuals with significant disabilities and to promote their full inclusion and integration into the mainstream of American society.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Federal funds awarded under this program, the CIL program, are used for the establishment and operation of centers for independent living which offer a wide range of independent living (IL) services. Services must include independent living core services which are information and referral services, independent living skills training, peer counseling, including cross-disability peer counseling, and individual and systems advocacy. As appropriate, IL services include a combination of any other independent living services specified in the Rehabilitation Act and 34 CFR Section 364.4 of the IL program regulations. Section 721(b) of the Rehabilitation Act specifies that not less than 1.8 percent and not more than two percent of Federal funds appropriated for the CIL program must be reserved each fiscal year to provide training and technical assistance under contracts with entities who have experience in the operation of centers for independent living.

Applicant Eligibility:

Private non-profit organizations. An eligible agency under the CIL program is a consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agency or a state agency in states in which no eligible private non-profit organization applies for a grant. The Rehabilitation Act and regulations require the Commissioner of the Rehabilitation Services Administration to make awards based on a statutory order of priority. A States allotment for IL Part C funds is determined according to a population-based formula. To the extent funds are available, the order of priority is as follows: existing centers that meet the standards and assurances in section 725(b) and (c) of the Act must receive the level of funding each center received in the prior year. Existing centers that meet the Acts standards and assurances then receive a cost-of-living increase consistent with section 721(c)(3) of the Act. If there are sufficient excess funds in a States allotment, and there are areas in the State that are unserved or underserved by centers, the Commissioner must fund new centers. If there are insufficient funds to fund new centers, the excess funds may be distributed to existing centers or reallocated to other States.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in section 7 of the Rehabilitation Act and 34 CFR 364.4 of the IL program regulations. This refers to an individual with a severe physical, mental, cognitive or sensory impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of IL services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-122 (Cost Principles for Nonprofit Organizations). If the center is operated by a State agency, OMB Circular A-87 is used to determine the allowability of costs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80, if a state agency receives the award, apply to this program. Applications should be submitted to the Department e-Grants Website or to the Department of Education, Application Control Center, 400 Maryland Ave. S.W., Washington, DC 20202-4725, according to instructions specified in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

New awards are made based upon the results of a competitive peer review process of applications and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Projects may be continued based on the submission of an annual performance report (the section 704 report), and RSAs determination that the center has met the standards and assurances under section 725(b) and (c) of the Rehabilitation Act, and the availability of funds. Amounts of continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory Formula: Rehabilitation Act Section 721(c) and 722(e).

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Existing projects are approved on an annual basis with funding on a twelve month basis. New projects are funded for five years with funding approved on a 12-month basis. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual and fiscal progress reports and self-evaluations (section 704 reports) must be submitted as prescribed by Section 725 (c)(8) of the Rehabilitation Act, and grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for non-profit recipients (34 CFR Part 74) records related to grant funding, compliance and performance must be maintained for a period of three years after completion of the grant activity and the final financial report is submitted or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$77,266,000; FY 10 est \$80,266,000; FY 11 est \$83,656,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Grants to 334 CILs, 2 States and 1 territory. Fiscal Year 2010: Grants to 335 CILs, 2 States and 1 territory. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 364 and 366.

Regional or Local Office:

None.

Headquarters Office:

Veronica Hogan, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email:

veronica.hogan@ed.gov Phone: (202) 245-7378.

Website Address:

http://www.rsa.ed.gov/programs.cfm

RELATED PROGRAMS:

84.169 Independent Living_State Grants; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.398 Independent Living State Grants, Recovery Act ; 84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act ; 84.400 Centers for Independent Living, Recovery Act.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program include extent of need for the project, past performance, satisfaction of the standards and assurances set forth in Section 725, quality of key personnel, budget and cost-effectiveness, evaluation plan, plan of operation, and involvement of individuals with significant disabilities. Criteria for evaluating applications are published in program regulations at 34 CFR 366.

84.133 NATIONAL INSTITUTE ON DISABILITY AND REHABILITATION RESEARCH

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title II.

OBJECTIVES:

To support and coordinate research and its utilization in order to improve the lives of people of all ages with physical and mental disabilities, especially persons with severe disabilities, through: (1) identifying and eliminating causes and consequences of disability; (2) maximizing the health, physical, and emotional status of persons with disabilities and their functional ability, self-sufficiency, self-development and personal autonomy; (3) preventing or minimizing personal and family, physical, mental, social, educational, vocational, and economic effects of disability; and (4) reducing and eliminating physical, social, educational, vocational, and environmental barriers to permit access to services and assistance and to use their abilities in daily life.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for research, demonstration, dissemination/utilization projects of national significance, and career training projects. All applications must meet standards of excellence in research and evaluation design. Fellowships support individual investigators in pursuing research in rehabilitation.

Applicant Eligibility:

States, public, private, or nonprofit agencies and organizations, institutions of higher education, and Indian tribes and tribal organizations are eligible for research projects and specialized research activities related to the rehabilitation of individuals with disabilities; fellowships may be awarded to individuals.

Beneficiary Eligibility:

Individuals with disabilities may benefit directly or indirectly from research and other activities conducted by grantees, such as technical assistance and dissemination.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves their acceptance or the utilization of their facilities or services. Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The NIDRR long-range research plan serves as the basis for program announcements that are published in the Federal Register and Requests for Proposals that are published in the Commerce Business Daily. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department. Official notice of approved applications is made through issuance of a Notice of Grant Award or an official letter of fellowship award. Grants and fellowships are awarded by the Secretary, Department of Education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Ranges from 30 to 120 days. Generally, solicited grants, fellowships, and contracts will be acted upon within 120 days.

Appeals:

There are no formal appeal procedures.

Renewals:

Grantees must submit annual progress reports in order to receive continuation funding. Awards may be renewed annually for up to five years throughout the project period of the award. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees funded under Sections 204(a) and 202(k) of the Rehabilitation Act, as amended, are required to share in the cost of projects. The amount of cost-sharing is negotiable. Cost-sharing for other grantees is at the Secretary's option.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded in 12 month budget periods. Support beyond the first 12 month budget period is contingent upon evidence that the grantee has made substantial progress toward achieving the objectives and outcomes for a project, and availability of appropriations. Awards may be made for a maximum of five years. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual reports of progress and annual expenditures are required on all projects. Comprehensive final reports are due 90 days after the end of the project. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant or contract number including all receipts and expenditures, must be maintained for three years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$10,774,100; FY 10 est \$109,241,000; FY 11 est

\$109,241,000

Range and Average of Financial Assistance:

The range and average vary greatly according to the competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 233 new and continuation awards. Fiscal Year 2010: Estimated 230 new and continuation awards. Fiscal Year 2011: Estimated 230 new and continuation awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administrative policies for programs and fellowships may be obtained from the program office. NIDRR program regulations are contained in 34 CFR Parts 350-359.

Regional or Local Office:

None. Contact Headquarters Office.

Headquarters Office:

Donna Nagle, Department of Education, OSERS National Institute on Disability and Rehabilitation Research 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: donna.nangle@ed.gov Phone: (202) 245-7462.

Website Address:

<http://www.ed.gov/about/offices/list/osers/nidrr/index.html>.

RELATED PROGRAMS:

64.007 Blind Rehabilitation Centers; 84.129 Rehabilitation Long-Term Training; 84.224 Assistive Technology

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Research and Technical Assistance Center on Vocational Rehabilitation Program Management. University of Massachusetts Boston: Rehabilitation Engineering Research Center on Telerehabilitation. University of Pittsburgh: Noninvasive Examination of ALS Using Surface EMG. Rehabilitation Institute of Chicago: Accommodations and ADA for Post-Secondary Students with Disabilities. University of Kansas. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Objectives of projects and programs must be in consonance with and should contribute to achievement of the goals and objectives of the Institute. Adequate facilities must be available or planned to carry out the specific project or program. Personnel must be trained and qualified to carry out the proposed programs, and staffing patterns must be appropriate. Demonstrations of adequate plans and procedures for ensuring the relevance to current needs in rehabilitation and the potential for project results must be effectively utilized. Commitment of available resources on the part of the applicant and evidence that the applicant has knowledge of rehabilitation issues as well as knowledge of past and present related research activities. A statement showing that the estimated cost to the government is reasonable in relation to anticipated project results. Demonstrate the ability and capacity to achieve stated goals. Demonstrate, coordinate and cooperate with regional programs, State agency programs, and private rehabilitation facilities. Specific weighted selection criteria are contained in program regulations. For fellowships, criteria are specified in program regulations, 34 CFR Part 356. These criteria include the education and experience of the individual and the quality of the fellowship proposal.

84.141 MIGRANT EDUCATION_HIGH SCHOOL EQUIVALENCY PROGRAM

(HEP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A.

OBJECTIVES:

To assist students who are engaged, or whose immediate family is engaged, in migrant and other seasonal farm work to obtain the equivalent of a secondary school diploma and subsequently to gain employment or be placed in an institution of higher education or other postsecondary education or training.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to recruit and provide academic and support services (including counseling, health services, stipends, and placement) to migrant students to obtain the equivalent of a secondary school diploma and to subsequently gain employment or be placed in an institution of higher education or other postsecondary education or training.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

Persons who are engaged or whose immediate family is engaged in migrant and other seasonal farmwork or who have participated or have been eligible to participate in the Title I, MEP or the Employment and Training Administration, Department of Labor - Migrant and Seasonal Farmworker Programs. Eligible beneficiaries are age 16 and older or beyond the age of compulsory school attendance, and lacking a high school diploma or its equivalent.

Credentials/Documentation:

To be eligible to participate in HEP, the applicant or his or her immediate family must: (1) Have worked a minimum of 75 days during the past 24 months in migrant on seasonal farmwork, or have been eligible to participate or have participated within the past 2 years in Title I, Migrant Education Program or the Employment and Training Administration, Department of Labor - Migrant and Seasonal Farmworker Programs; (2) not have earned a secondary school diploma or its equivalent; (3) not be currently enrolled in an elementary or secondary school; (4) be 16 years of age or older, or above the age of compulsory school attendance in the State where the project is located; and (5) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. OMB Circulars No. A-21 for IHEs and No. A-122 for nonprofit organizations apply to this program. Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations also applies to recipients of this program. Application forms are available from the Department of Education as announced in a Notice Inviting Applications (NIA). An eligible applicant submits its application to the Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. Applications are reviewed and evaluated by a panel for selection and possible funding.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. The Department of Education sends a Grant Award Notification (GAN) to the successful applicants. The Department conducts a budget analysis of allowable activities and costs and recommendations for new grants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 60 months. Funds are awarded for 12-month budget periods. Continuations are subject to the availability of funds and recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

An Annual Performance Report (APR) showing satisfactory performance is required to receive a continuation award each year and a final performance report is required 90 days after completion of a project. No cash reports are required. No progress reports are required. Expenditure summarizations are required annually by the APR annual and final instructions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (34 CFR 74, 75, and 80), grantees must maintain certain project records for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$18,588,360; FY 10 est \$19,948,431; FY 11 est \$19,948,431

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No. of awards projected and No. awarded by Fiscal 2009 = 16 and 17. Fiscal Year 2010: No. of awards projected and No. awarded by Fiscal 2010 = 13 and TBD. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) 34 CFR 74, 75, 77, 79, 82, 84, 85, 86, 97, and 99. (b) The regulations in 34 CFR part 206. (c) The definitions in 34 CFR 200.81. (d) The regulations in 20 CFR 669.110 and 669.320.

Regional or Local Office:

None.

Headquarters Office:

David De Soto, Office of Migrant Education, Office of Elementary and Secondary Education, US Department of Education, 400 Maryland Avenue, S.W., Room 3E334, Washington, District of Columbia 20202 Email: david.de.soto@ed.gov Phone: (202) 260-8103.

Website Address:

<http://www.ed.gov/program/hep/index.html>

RELATED PROGRAMS:

84.047 TRIO_Upward Bound; 84.144 Migrant Education_Coordination Program; 84.149 Migrant Education_College Assistance Migrant Program; 84.214 Even Start_Migrant Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current

Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Migrant Education High School Equivalency Program regulations (34 75.210) include the following criteria for selecting proposals, as follows: need for project (15 points); quality of management plan (20 points); quality of project design (20 points); quality of project services (15 points); quality of project personnel (10 points); adequacy of resources (10 points); quality of project evaluation (10 points). For the purpose of making grants under Part A, Section 418A of the authorizing statute, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that meets the prior experience of service delivery competitive preference priority.

84.144 MIGRANT EDUCATION_COORDINATION PROGRAM**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part C, Section 1308(d), 20 U.S.C 6398.

OBJECTIVES:

To provide financial incentives to State Educational Agencies (SEAs) to participate in consortia that provide high-quality project designs and services to improve the interstate or intrastate coordination of migrant education programs by addressing key needs of migratory children who have their education interrupted.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to: implement the consortium activities to improve interstate and intrastate coordination of migrant education programs by addressing key needs of migratory children whose education is interrupted or to carry out any other activities authorized under the Migrant Education formula program (MEP). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEAs) receiving MEP Basic State Formula grants, in a consortium with another State or other appropriate entities.

Beneficiary Eligibility:

Migratory children of migratory agricultural workers or migratory fishers, or individuals under 21 years old who are migratory agricultural workers or migratory fishers, or spouses of such workers or fishers whose education is interrupted benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. The applications are reviewed and evaluated by a panel of peer reviewers.

Awards are made on a competitive basis.

Award Procedure:

The Department reviews each application using selection criteria and priorities published in Federal Register notices and the application package. The U.S. Department of Education sends Grant Award Notification (GAN) to successful applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately three months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Interstate and intrastate coordination grants have no statutory formula or matching requirements. An SEA may receive only one incentive grant award regardless of the number of consortia in which it participates. Consortium incentive grant awards are calculated on the basis of a two-tiered formula: SEAs whose MEP allocations are \$1 million or less will, in general, receive a grant award that is twice the amount of the award provided to SEAs whose MEP allocations are greater than \$1 million. Within each tier, awards will be of equal size. However, no SEA will receive an incentive grant award that exceeds the amount of its Title I, Part C, MEP formula grant or \$250,000, whichever is less.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Consortium Incentive Grants for interstate and intrastate coordination activities will be awarded for up to two years, subject to the availability of funds. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual performance reports are required from SEAs participating in consortium incentive grants and from other grant recipients. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the Education Department General Administration Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, grantees must maintain certain project records for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$3,000,000; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

Range: \$66,666-\$133,333.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Continuation awards were awarded to 3 consortiums. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 75, 76, and 80.

Regional or Local Office:

None.

Headquarters Office:

Michael Moreno, Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, SW, Room 3E257, Washington, District of Columbia 20202 Email: michael.moreno@ed.gov Phone: (202) 401-2928.

Website Address:

<http://www.ed.gov/about/offices/list/oese/ome/index.html>.

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.141 Migrant Education_High School Equivalency Program; 84.149 Migrant Education_College Assistance Migrant Program; 84.214 Even Start_Migrant Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: MAS (Mathematics Achievement = Success); LEARN (Literacy Education and Reading Network); OSY (Opportunities for Out-of-School Youth). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for interstate/intrastate coordination grants are consistent with 34 CFR 75.

84.145 FEDERAL REAL PROPERTY ASSISTANCE PROGRAM

FEDERAL AGENCY:

Office of Human Resources and Administration, Department of Education

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), as amended,; Department of Education Organization Act of 1979.

OBJECTIVES:

To convey surplus Federal Real Property for educational purposes at fair market value.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Must be used for education purposes including but not limited to higher education, elementary and secondary education, libraries, central administrative facilities, educational television and radio, rehabilitation and training, vocational education and research, correctional education centers.

Applicant Eligibility:

Those groups, organizations, entities, or institutions providing educational programs including: States; their political subdivisions and instrumentalities; and tax supported organizations or private nonprofit institutions held exempt from taxation under Section 501(C)(3) of the Internal Revenue Code of 1954 may apply.

Beneficiary Eligibility:

Program participants receiving educational opportunities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

All potentially eligible entities are notified of the availability of property including State and local officials or any known educational entity authorized to operate within the general geographical area. Upon receipt of a notice of intent to file an application, a preapplication conference is possible. An environmental impact assessment is required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application guides and deadlines for filing applications are provided on a case by case basis. All applicants must demonstrate the legal, financial, and technical ability to conduct the educational programs proposed.

Award Procedure:

Written notification with follow-up issuance of formal transfer of title.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Thirty to 90 days for tentative decisions, after receipt of the application, and 90 to 360 days to the formal transfer of a title.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 30 years. The fair market value (FMV) of the property is amortized over a period of time established based on the type of property acquired. Public benefit discount of the FMV is granted when public educational programs are being conducted. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

An annual written report of activities by the recipient. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. On-site audits are conducted during the period of restriction to assure operation as specified in the application and deed of transfer.

Records:

Record requirements will be included in award documents.

Account Identification:

91-0800-0-1-503.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Funds are not separately identifiable.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: 34 CFR 12; Pamphlet: How to Acquire Surplus Federal Real Property for Educational Purposes; Guidelines: Application outline and preparation assistance can be obtained at the zone office level.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of regional offices.

Headquarters Office:

David Hakola, Federal Real Property Assistance Program, Office of the Administrator for Management Services, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Phone: (202) 401-0500.

Website Address:

<http://www.ed.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution and its program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, not met needs, integrated research, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

84.149 MIGRANT EDUCATION_COLLEGE ASSISTANCE MIGRANT PROGRAM

(CAMP)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Section 418A, 20 U.S.C 1070d-2.

OBJECTIVES:

To assist students that are engaged, or whose immediate family is engaged in migrant and other seasonal farmwork, and are enrolled or are admitted for enrollment on a full-time basis in the first academic year at an institution of higher education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to provide supportive and instructional services, including tutoring and counseling services and assistance in obtaining student financial aid (including stipends, tuition, and room and board) to first-year college students, assist those students in obtaining financial aid for their remaining undergraduate years, and provide follow-up services, such as monitoring and reporting students first year and subsequent year academic progress, and referrals to counseling services, academic assistance or financial aid.

Applicant Eligibility:

Institutions of higher education or private nonprofit agencies in cooperation with institutions of higher education may apply.

Beneficiary Eligibility:

First-year college students that are engaged, or whose immediate family is engaged, in migrant and other seasonal farmwork or who have participated or been eligible to participate in the Title I, Migrant Education Program, or the Employment and Training Administration, Department of Labor - Migrant and Seasonal Farmworker Programs.

Credentials/Documentation:

To be eligible to participate in a CAMP project, the applicant must: (1) be enrolled or admitted for enrollment as a full-time student at a participating institution of higher education; (2) not be beyond the first academic year of a program of study at the institution of higher education, as determined under the standards of the institution; and (3) be determined by the grantee to need the academic and supporting services and financial assistance provided by the project in order to complete an academic program of study at the institution of higher education. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms are available from the Department of Education, as announced in a Notice Inviting Applications (NIA). An eligible applicant submits its application to the Department of Education no later than the date announced by the Department in the Federal Register. An application must be prepared and submitted in accordance with the regulations, instructions, and forms included in the grant application package. Applications are reviewed and evaluated by a panel of peer reviewers. OMB Circulars A-21 and A-122 applies to this program.

Award Procedure:

The Department reviews each application using selection criteria published in the Federal Register. The Department of Education, sends a Grant Award Notification (GAN) to successful applicant of its award. The Department conducts a budget analysis of allowable activities and costs and recommendations for new grants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months.

Appeals:

Not Applicable.

Renewals:

Grants are awarded up to 60 months. Continuation awards are subject to the availability of funds and recipient performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is up to 60 months; funds are awarded for 12-month budget period. Continuations are subject to the availability of funds and recipient performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

An Annual Performance Report (APR) showing satisfactory performance is required to receive a continuation award each year, and a final performance report is required 90 days after completion of a project. No cash reports are required. No progress reports are required. Expenditure summarizations are required annually by the APR annual and final instructions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (34 CFR 74, 75, and 80), grantees must maintain certain project records for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$15,408,800; FY 10 est \$16,536,229; FY 11 est \$16,536,229

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No. of awards projected and No. awarded by FY 2009 = 16 and 17. Fiscal Year 2010: No. of awards projected and No. awarded by FY2010 = 13. Fiscal Year 2011: No. of awards projected and No. awarded by FY2011 est. 13; 2011 = est. 6 and TBD.

REGULATIONS, GUIDELINES, AND LITERATURE:

(a) 34 CFR 74, 75, 77, 79, 82, 84, 85, 86, 97, and 99. (b) The regulations in 34 CFR part 206. (c) The definitions in 34 CFR 200.81. (d) The regulations in 20 CFR 669.110 and 669.320.

Regional or Local Office:

None.

Headquarters Office:

David De Soto, Office of Migrant Education, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Room 3E344, Washington, District of Columbia 20202 Email: david.de.soto@ed.gov Phone: (202) 260-8103.

Website Address:

<http://www.ed.gov/programs/camp/index.html>.

RELATED PROGRAMS:

84.011 Migrant Education_State Grant Program; 84.042 TRIO_Student Support Services; 84.047 TRIO_Upward Bound; 84.141 Migrant Education_High School Equivalency Program; 84.144 Migrant Education_Coordination Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Migrant Education College Assistance Program regulations (34 CFR 75.210) include the following criteria for selecting proposals, as follows: need for project (15 points); quality of management plan (20 points); quality of project design (20 points); quality of project services (15 points); quality of project personnel (10 points); adequacy of resources (10 points); quality of project evaluation (10 points). For the purpose of making grants under Part A, Section 418A of the authorizing statute, the Secretary must consider prior experience of service delivery by expiring projects that submit an application under this program and award up to a maximum of 15 additional points to an application that meets the prior experience of service delivery competitive preference priority.

84.153 BUSINESS AND INTERNATIONAL EDUCATION PROJECTS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part B, Section 611.

OBJECTIVES:

To promote innovation and improvement in international business education curricula at institutions of higher education (IHEs) and promote linkages between IHEs and the business community.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Institutions eligible for discretionary grant funding must enter into an agreement with a business enterprise, trade organization or association engaged in international economic activity, or a consortium of the named entities.

Eligible activities include but are not limited to: Improving the business and international education curriculum of institutions to serve the needs of the business community, including the development of new programs for mid-career or part-time students; developing programs to inform the public of increasing international economic interdependence and the role of U.S. businesses within the international economic system; internationalizing curricula at the junior and community college level and at undergraduate and graduate schools of business; developing area studies and interdisciplinary international programs; establishing export education programs; Conducting research and developing specialized teaching materials appropriate to business-oriented students; establishing student and faculty fellowships and internships or other training or research opportunities; creating opportunities for business and professional faculty to strengthen international skills; developing research programs on issues of common interest to IHEs and private sector organizations and associations engaged in or promoting international economic activity; establishing internships overseas to enable foreign language students to develop their foreign language skills and knowledge of foreign cultures and societies; establishing links overseas with IHEs and organizations that contribute to the education objectives of this program; and establishing summer institutes in international business, foreign areas, and other international studies designed to carry out the purposes of this program. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 377-3838.

Applicant Eligibility:

Accredited institutions of higher education may apply. Institutions must enhance their own international academic programs and provide appropriate services to the business community to expand U.S. exports abroad.

Beneficiary Eligibility:

Students, faculty at accredited institutions will benefit. Business community will also benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the International Education Programs Service.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants, developed according to procedures in regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Project period may last up to 24 months. Applicants submit performance reports for continuation funding according to instructions established by the Department. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The total cost of carrying out a program supported by a grant under the Business and International Education Program must be no less than 50 percent of the total cost of the project in each fiscal year. The non-Federal share of the cost may be provided either in-kind or in cash. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a 12 or 24 month period. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions must provide final reports upon completion of the program. Financial reports are also required. No cash reports are required. Contact program office. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available upon request: (1) for three years after close of the fiscal year in which the grant was closed out; or (2) until the grantee is notified of the completion of a Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$4,551,949; FY 10 est \$4,501,048; FY 11 est \$4,592,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Twenty-three new awards and 33 continuation awards. Fiscal Year 2010: Thirty new awards and 23 continuation awards are expected to be awarded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655, 661, Education Department General Administrative Regulations. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Tanyelle Richardson, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, Washington, District of Columbia 20006 Email: tanyelle.richardson@ed.gov Phone: (202) 502-7626.

Website Address:

<http://www.ed.gov/programs/iegpsbie/>.

RELATED PROGRAMS:

84.220 Centers for International Business Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 661.31. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the

Federal Register.

84.160 TRAINING INTERPRETERS FOR INDIVIDUALS WHO ARE DEAF AND INDIVIDUALS WHO ARE DEAF-BLIND

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302(f).

OBJECTIVES:

To support projects that increase the numbers and improve the skills of manual, oral, and cued speech interpreters providing services to individuals who are deaf and individuals who are deaf-blind.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to eligible entities to provide training for manual, tactile, oral and cued speech interpreters. This training may include classroom instruction, workshops, seminars, and field placement. Curriculum may include specialty areas such as interpreting in legal, medical, or rehabilitation settings or for deaf-blind, speech-impaired or developmentally disabled persons.

Applicant Eligibility:

Public or private nonprofit agencies and organizations, including institutions of higher education are eligible for assistance.

Beneficiary Eligibility:

Individuals preparing for employment as interpreters for individuals who are deaf and individuals who are deaf-blind who are presently serving as interpreters and wish to maintain or raise the level of their skills, and the persons who will receive the services of interpreters are beneficiaries of this program.

Credentials/Documentation:

OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for non-profit organizations applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. Application is made to Department of Education, Application Control Center, 400 Maryland Ave., S.W., Washington, DC 20202-4725.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the

availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to share in the cost of projects with a portion subject to individual negotiations.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, with budget periods of 12 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75) records related to grant funding, compliance and performance must be maintained for a period of five years after completion of the grant activity.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$2,096,247; FY 10 est \$2,096,000; FY 11 est \$2,096,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Training of Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind Regulations (34 CFR Part 385); (34 CFR Part 396); the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/students/college/aid/rehab/catinter.html>

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.263 Rehabilitation Training_Experimental and Innovative Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the project to the stated objectives of the interpreter training program. The number of trainees expected to be involved; demonstrated capacity or potential for providing interpreter training, the past record of existing training programs; and the geographical area expected to be served.

84.161 REHABILITATION SERVICES_CLIENT ASSISTANCE PROGRAM

(CAP)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title I, Part B, Section 112, 29 U.S.C 732.

OBJECTIVES:

(1) To provide assistance in informing and advising clients and client applicants of available benefits under the Rehabilitation Act; (2) to assist and advocate for clients and client applicants in their relationships with projects, programs and services provided under this Act, including assistance and advocacy in pursuing legal, administrative and other appropriate remedies; (3) to provide information on available services under the Act and Title I of the Americans with Disabilities Act to any individual with disabilities in the State.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for services to help clients and applicants understand the rehabilitation services available under the Rehabilitation Act, and to provide information to individuals with disabilities in the State. The CAP is not allowed to bring class action suits.

Applicant Eligibility:

States and Territories (through the Governor) are eligible for awards. The Governor shall designate a public or private agency to conduct the State's program.

Beneficiary Eligibility:

Clients and client applicants receiving services or interested in seeking assistance under the Rehabilitation Act of 1973, as amended, will benefit from CAP services.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Governor submits assurances that meet the requirements established under the Rehabilitation Act, as amended, and Federal regulations.

Award Procedure:

Notice of annual allotment to States, quarterly grant award notices and funds are withdrawn under the Electronic Transfer System or by Treasury check.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The State may appeal to the Office of Administrative Law Judges (34 CFR 81).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on

the basis of the relative population of each State, except no State shall receive less than \$50,000, Territories \$30,000. When total funds available for this program exceed \$7,500,000, the minimum allotment is \$100,000 for States and \$45,000 for Territories.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded for one fiscal year. Funds that are not obligated by the end of the fiscal year may be carried over for obligation in the year succeeding the year in which the funds were awarded. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are required annually. Cash reports are required quarterly. Progress reports are not applicable. Expenditure reports are required annually. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Information on clients and client applicants served as cases should be maintained.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 09 \$11,576,000; FY 10 est \$12,288,000; FY 11 est \$12,288,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Client Assistance Program Regulations (34 CFR part 370).

Regional or Local Office:

None.

Headquarters Office:

Jim Doyle, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: jim.doyle@ed.gov Phone: (202) 245-6630.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.128 Rehabilitation Services_Service Projects; 84.132 Centers for Independent Living; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.187 Supported Employment Services for Individuals with Significant Disabilities; 84.234 Projects with Industry; 84.240 Program of Protection and Advocacy of Individual Rights; 84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.165 MAGNET SCHOOLS ASSISTANCE

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part C.

OBJECTIVES:

To provide grants to eligible local educational agencies (LEAs) or consortia of LEAs to establish and operate magnet schools that are part of approved court-ordered or federally approved voluntary desegregation plans. Magnet programs aim to eliminate, reduce, or prevent minority group isolation in elementary and secondary schools while strengthening students' academic achievement.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used for planning and promotional activities directly related to the development, expansion, continuation, or enhancement of academic programs offered at magnet schools; for purchasing books, materials, equipment; and for paying or subsidizing the salaries of teachers and instructional staff in magnet schools. Funds may not be used for transportation or activities that do not augment academic improvement. No more than 50 percent of the funds an applicant receives in the first year of a grant may be used for planning. No more than 15 percent of the funds received for the second year may be used for planning, and no more than 10 percent in the third year. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.

Applicant Eligibility:

Local educational agencies (LEA) or consortia of LEAs that are implementing court-ordered or federally approved voluntary desegregation plans that include magnet schools are eligible to apply.

Beneficiary Eligibility:

Local educational agencies and participating students will benefit.

Credentials/Documentation:

Applicants must submit a copy of the desegregation plan they are implementing, or will implement, if assistance is made available. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application notices are published in the Federal Register and applications must be sent to the Education Department on or before the closing date.

Award Procedure:

Recommendations for the approval of applications are made by the program staff on the basis of published criteria, statutory priorities, State comments received under the E.O. 12372 process, and with the advice and assistance of a panel of reviewers. In addition, no application may be approved unless the Assistant Secretary for Civil Rights determines that all required assurance will be met.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is anticipated to be 90 to 120 days from the closing date.

Appeals:

Not Applicable.

Renewals:

The project period for a Magnet School award may not exceed 36 months. To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The length of grants will be up to 36 months, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As part of the continuation funding process, grantees must submit performance reports annually. Final performance reports must be submitted within 90 days of the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Under section 443 of the General Education Provisions Act grantees must maintain records for 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$104,829,000; FY 10 est \$100,000,000; FY 11 est \$110,000,000

Range and Average of Financial Assistance:

Range of awards: \$350,000-\$4,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 41 continuation awards made in FY09. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information on regulations (34 CFR 280).

Regional or Local Office:

None.

Headquarters Office:

Rosie Kelley, Department of Education, Office of Innovation and Improvement Parental Options and Information, 400 Maryland Ave., SW, Room 4W221, Washington, District of Columbia 20202 Email: rosie.kelley@ed.gov Phone: (202) 260-0911.

Website Address:

<http://www.ed.gov/programs/magnet/index.html> .

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Quality criteria are used to evaluate the applicant's project services; personnel; project design; budget and resources; evaluation plan; and commitment and

capacity. Competitive priority is given to applicants that demonstrate the greatest need for assistance; that carry out new or significantly revised magnet schools; that select students to attend magnet schools through methods such as lottery, rather than academic examination; and expanding capacity of choice. For funds appropriated for the program in excess of \$75 million, competitive priority is given to those applicants that did not receive funds under the program in the last fiscal year of the previous funding cycle.

84.169 INDEPENDENT LIVING STATE GRANTS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B.

OBJECTIVES:

To provide financial assistance to States for expanding and improving the provision of independent living (IL) services to individuals with significant disabilities by promoting and maximizing their full integration and inclusion into the mainstream of American society.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds received under this program may be used to carry out the purposes of the Independent Living State Grants Program described in section 713 of the Rehabilitation Act and 34 CFR Section 365.1 of the IL program regulations. These purposes include to support the operation of the Statewide Independent Living Council (SILC), to provide individuals with significant disabilities the core independent living services to demonstrate ways to expand and improve independent living services; to support the operations of centers for independent living that are in compliance with the standards and assurances in section 725 (b) and (c) of the Rehabilitation Act; to support activities to increase the capacities of public or nonprofit agencies and organizations and other entities to develop comprehensive approaches or systems for providing independent living services; to conduct studies and analyses, gather information, develop model policies and procedures, and present information, approaches, strategies, findings, conclusions, and recommendations to Federal, State, and local policymakers in order to enhance independent living services for individuals with significant disabilities; to train individuals with significant disabilities, individuals with disabilities, and individuals providing services to individuals with disabilities and other persons regarding the independent living philosophy; and to provide outreach to populations that are unserved or underserved by programs under Title VII of the Rehabilitation Act, including minority groups and urban and rural populations.

Applicant Eligibility:

Any designated State Unit (DSU) in a State with an approved State Plan for Independent Living (SPIL) may apply for assistance under this program. The DSU is the State agencies designated as the State unit to administer the State's independent living services program. DSUs in the 50 States and the District of Columbia and the territories (Commonwealth of Puerto Rico and Virgin Islands) and the outlying areas (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) are eligible to apply.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in section 7 of the Rehabilitation Act and 34 CFR 364.4 of the IL program regulations. This refers to an individual with a severe physical, mental, cognitive or sensory impairment whose ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of IL services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. The match for this program may be cash or in-kind. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 76 and 80 apply to this program. In order to be eligible for an allotment under this program, States must submit a State Plan for Independent Living (SPIL) meets requirements in section 704 of the Rehabilitation Act and 34 C.F.R. Part 364 of the IL program regulations. State applicants must submit a 3-year State plan which must be submitted not later than July 1, of the fiscal year preceding the first fiscal year of the forth coming 3-year period.

Award Procedure:

A notice of annual allotment to the State is issued. Initial distributions are subject to reallocation at the end of the fiscal year if the Commissioner determines that the State will not expend its allotment. States receive annual grant award notices and funds may be withdrawn under the Electronic Transfer System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

34 CFR 76.703 governs the approval/disapproval time of State plans in relation to when a State may begin to obligate funds.

Appeals:

After reasonable effort has been made to resolve the questions involved, and the State has pursued a hearing before the Office of the Administrative Law Judge, the State may appeal to the United States Court of Appeals for the circuit in which the State is located pursuant to the IL program regulations at 34 CFR 364.12.

Renewals:

Awards are made on an annual basis and may be renewed for up to 2 additional years, for a total of 3 years under an approved State Plan for Independent Living.

Formula and Matching Requirements:

Statutory Formula: Section 711 of the Rehabilitation Act contains the formula the Department uses for the allocation of funds to each State. Federal funds are distributed based on the percentage each State's population constitutes of the total population of the United States. The source is the Population Estimates Annual, Bureau of the Census.

Matching Requirements: Under section 712(b) of the Rehabilitation Act, the States must contribute 10% of the cost of the program, in cash or in kind. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by 34 CFR Sections 80.40 and 80.41 of the Education Department General Administrative Regulations (EDGAR) performance reports and financial reports are required annually. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Education Department

periodically conducts audits and site visits of designated State unit programs funded under the IL State Grants Program.

Records:

As required by EDGAR for State-administered programs (34 CFR 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 09 \$23,215,500; FY 10 est \$23,450,000; FY 11 est \$23,450,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: . Fiscal Year 2010: . Fiscal Year 2011: .

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 76, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 364 and 365.

Regional or Local Office:

None. .

Headquarters Office:

Veronica Hogan, Office of Special Education and Rehabilitation Services Administration, Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: veronica.hogan@ed.gov Phone: (202) 245-7378.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.132 Centers for Independent Living; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.398 Independent Living State Grants, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An approved State plan is a condition for receipt of funds under the IL State Grants program under the Rehabilitation Act. State plan requirements are contained in section 704 of the Rehabilitation Act and 34 CFR 364.

84.170 JAVITS FELLOWSHIPS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part A, Subpart I.

OBJECTIVES:

To provide fellowships for graduate study in the arts, humanities, and social sciences to individuals of superior ability selected on the basis of demonstrated achievement, financial need, and exceptional promise. Fellowships are awarded to students intending to pursue a doctoral degree and may be awarded to students pursuing a master's degree in those fields in which the master's degree is commonly accepted as the terminal degree.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A recipient is entitled to use the fellowship in a graduate program at any accredited institution of higher education in which the recipient may decide to enroll. For each fellowship awarded, the institution receives an institutional allowance determined in accordance with the statutory formula in Section 703 of the Higher Education Act of 1965, as amended. The student receives a stipend set at the level of support based on that provided by the National

Science Foundation graduate fellowships. No stipend may exceed the Fellow's demonstrated level of need according to measurements of need set forth in Part F of Title IV of the Higher Education Act. An individual receives payments only during the periods that he or she maintains satisfactory progress in his or her program of study and devotes essentially full time to study or research in the field in which the fellowship was awarded.

Applicant Eligibility:

Eligibility is limited to U.S. citizens or nationals, permanent residents of the United States, and citizens of any one of the Freely Associated States. Applicants must be enrolled or eligible to be enrolled in a graduate program in an approved field of study at an accredited institution of higher education. Sixty percent of these new fellowships shall be awarded to applicants in the humanities, twenty percent to applicants in the social sciences, and twenty percent to applicants in the arts.

Beneficiary Eligibility:

Citizens and nationals of the United States, permanent residents of the United States, and citizens of any one of the Freely Associated States.

Credentials/Documentation:

Regulations were published in the Federal Register on January 19, 1998. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted in accordance with the notice of closing date published in the Federal Register.

Award Procedure:

The Education Department will make awards in accordance with the criteria established by the Javits Fellowship Board. Contact the program office for additional information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Requests for continuation of support are made on an annual basis by the institution at which the fellow is pursuing graduate study, but may not exceed 48 months. Continuation awards are subject to the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the grant is one year, but renewable for up to a total of 48 months, subject to the availability of appropriations. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Certification from an appropriate official at an institution of higher education approved by the Secretary, stating that the fellow is making satisfactory progress and has devoted essentially full time to study or research in the field in which the fellowship was awarded. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records bearing on the receipt and expenditure of funds under this program must be available for inspection by the Education Department. Records must be held for three years after the fiscal year in which expenditures are liquidated, or five years after the grant, or until resolution of any audit questions.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$9,529,559; FY 10 est \$9,687,000; FY 11 est \$9,687,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 237 fellowships awarded. Fiscal Year 2010: 219 fellowships are expected to be awarded. Fiscal Year 2011: 218 fellowships are expected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Javits Fellowship Program regulations are found at 34 CFR 650. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Carmen Gordon, Teacher and Student Development Programs Service, Office 1990 K St NW, 6th Floor, Washington, District of Columbia 20006-8524
Email: OPE_Javits_Program@ed.gov Phone: (202) 219-7138.

Website Address:

<http://www2.ed.gov/programs/jacobjavits/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are established by the Fellowship Board. Fellowship applications are evaluated based on scholarly achievements, including Graduate Record Examination (GRE) scores on the general test only if applying in the humanities, social sciences, multi-or interdisciplinary fields of art history or creative writing; awards; honors; narratives describing personal goals; and three letters of recommendation.

84.173 SPECIAL EDUCATION_PRESCHOOL GRANTS**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), Part B, as amended, Section 61920 U.S.C. 1419.

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to children with disabilities ages 3 through 5 years, and at a State's discretion, to 2- year- old children with disabilities who will reach age three during the school year.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used by State and local educational agencies, in accordance with the IDEA, to provide special education and related services to children with disabilities ages 3 through 5, and at the State's discretion, to 2-year -old children with disabilities who will reach age 3 during the next school year; and in some cases, early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, the District of Columbia, and Puerto Rico. Local educational agencies apply to their State educational agency for sub grants.

Beneficiary Eligibility:

Children aged 3 through 5 with disabilities, and (at the State's option) 2-year-old children with disabilities that will reach age 3 during the school year, that require special education and related services will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States are eligible to receive funds under this program if the State establishes eligibility under Section 612 of Part B of the IDEA and if the State makes a free appropriate public education available to all children ages 3 through 5 years with disabilities residing in the State. Local educational agencies establish eligibility for Preschool Grant funds by meeting local application eligibility requirements in section 613 of Part B of the IDEA.

Award Procedure:

Once the State application has been approved, a grant award representing the total preschool grant amount for that fiscal year is forwarded to the State's department of education. Notification of the award is made to the Chief State School Officer.

Deadlines:

May 10, 2010 The submission date for the State applications is May 10 to expedite funding, but plans or amendments are accepted throughout the school year.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Department must not take final action to disapprove an application until the State is provided reasonable notice and an opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory citation: IDEA Part C Sec. 619(c)

Funds are awarded based on a statutory formula. In general, subject to minimum and maximum funding requirements, if the funds available to States remain the same or increase from one year to the next, each State first receives what it received in 1999. Eighty-five percent of the remaining funds are distributed based on the relative numbers of children aged three through five; 15 percent of the remaining funds are distributed based on the relative numbers of those children living in poverty. If the amount available to these entities decreases from one year to the next, each entity first receives what it did in 1999. Remaining funds are distributed in proportion to the increase these entities received between 1999 and the present year. Most of the funds must be distributed to local educational agencies directly serving children with disabilities aged three through five. States may retain an amount of funds for state-level activities, including administration of, support of, and direct services to children with disabilities aged three through five. This program has no matching requirements. No match requirement. However, there are non-supplanting and excess cost requirements. This program has MOE requirements, see funding agency for further details.

MOE requirements for this program apply to both State Educational Agencies and local educational agencies. Contact the program office for further details.

Length and Time Phasing of Assistance:

Grants are issued each fiscal year. The Federal obligation period is a 15-month period from July 1 through September 30. State and local educational agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: Contact the program office for information on how assistance is awarded/released.

Reports:

State Performance Plans (SPPs) and Annual Performance Reports (APRs) are submitted to the Department of Education from the State Departments of Education. No cash reports are required. No progress reports are required. 34 CFR 80.41. Performance monitoring is applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 09 \$374,099,000; FY 10 est \$374,099,000; FY 11 est \$374,099,000

Range and Average of Financial Assistance:

For FY 2009, regular annual allotments to States, including DC and Puerto Rico, ranged from \$240,249 to \$37,840,680, with an average award of \$7,194,212.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR Part 300, and 34 CFR Parts 76-77, 79, 80-82, 84-86, and 97-99.

Regional or Local Office:

None.

Headquarters Office:

Nancy Treusch, Office of Special Education Programs, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: nancy.treusch@ed.gov Phone: (202) 245-7553.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/programs.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.181 Special Education-Grants for Infants and Families; 84.392 Special Education - Preschool Grants, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding if they submit approvable applications under IDEA, section 612 and if they make a free appropriate public

education available to all children with disabilities ages three through five years, residing in the State.

84.177 REHABILITATION SERVICES_INDEPENDENT LIVING SERVICES FOR OLDER INDIVIDUALS WHO ARE BLIND

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 2.

OBJECTIVES:

To provide any independent living services that are described in 34 CFR Section 367.3(b) of the IL program regulations to older individuals who are blind that improve or expand services for these individuals; and conduct activities to help improve public understanding of the problems of these individuals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The designated State unit may use funds awarded under the OIB program to carry out the activities described in the program objectives section of this document. Among the independent living services that can be provided to older individuals who are blind are those services to help correct blindness, provision of eyeglasses and other visual aids, services and equipment to enhance mobility and self-sufficiency, mobility training, Braille instruction, and other services and equipment to help older persons who are blind adjust to blindness, guide services, reader services and transportation, and any other appropriate services to help an older person who is blind in coping with daily living activities, IL core services including IL skills training, information and referral, peer counseling and individual and systems advocacy; and other IL services as defined in the Rehabilitation Act and the IL program regulations.

Applicant Eligibility:

Any State agency (in the 50 States and the District of Columbia and territories (Commonwealth of Puerto Rico and Virgin Islands) and outlying areas (Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands) designated by the State as the State agency and authorized to provide rehabilitation services to blind individuals may apply. In order to receive assistance under this program, a DSA must- submit in a timely manner and obtain approval from the Department of an application containing the agreements, assurances, and information that the Department determines to be necessary to carry out this program..

Beneficiary Eligibility:

Older individuals who are blind, as defined in 34 C.F.R. 367.5 of the OIB program regulations are the primary beneficiaries of assistance under this program. This term means An individual aged 55 or older whose severe visual impairment makes competitive employment extremely difficult to obtain but for whom independent living goals are feasible.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 76 and 80 apply to this program. In order to be eligible for an allotment under this program, States must submit an application for a grant under the OIB program in the form and manner prescribed by the Department and the application must contain the

assurances listed in 367.11 of the OIB program regulations and section 752(i) of the Rehabilitation Act, including an assurance that the DSA will seek to incorporate any new methods and approaches relating to services for older individuals who are blind into the State Plan for Independent Living.

Award Procedure:

A notice of annual allotment to the State is issued. Initial distributions are subject to reallocation at the end of the fiscal year if the Commissioner determines that the State will not expend its allotment. States receive annual grant award notices and funds may be withdrawn under the Electronic Transfer System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

34 CFR 76.703 governs the approval/disapproval time of State plans in relation to when a State may begin to obligate funds.

Appeals:

After reasonable effort has been made to resolve the questions involved, and the State has pursued a hearing before the Office of the Administrative Law Judge, the State may appeal to the United States Court of Appeals for the circuit in which the State is located pursuant to the 34 CFR 76.202 and 76.902.

Renewals:

Awards are made on an annual basis and may be renewed for up to two additional years, for a total of three years under an approved OIB application and the availability of funds.

Formula and Matching Requirements:

Statutory Formula: Section 752(j) of the Rehabilitation Act contains the formula the Department uses for the allocation of funds to each State.
Matching Requirements: Under section 752(f) of the Rehabilitation Act, the States must contribute 10% of the cost of the program, in cash or in kind.
Matching Requirements: Cost-sharing of \$1 for each \$9 of Federal grant funding is required.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis under an approved 3-year OIB application. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by 34 C.F.R. 80.40 and 80.41 of the Education Department General Administrative Regulations (EDGAR), performance reports and financial reports are required annually. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-administered programs (34 CFR 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$33,809,490; FY 10 est \$34,151,000; FY 11 est \$34,151,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 76, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 367 and selected sections of 34 CFR 364 and 365, listed in 34 CFR 367.4.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Akinola, Office of Special Education and Rehabilitative Services, Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: elizabeth.akinola@ed.gov Phone: (202) 245-7303.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.132 Centers for Independent Living; 84.169 Independent Living_State Grants; 84.240 Program of Protection and Advocacy of Individual Rights; 84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An approved OIB application is a condition for receipt of funds under the OIB program under Chapter 2 of Title VII of the Rehabilitation Act. Application requirements are contained in section 752(i) of the Rehabilitation Act and 34 CFR 367.10 and 367.11.

84.181 SPECIAL EDUCATION-GRANTS FOR INFANTS AND FAMILIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, 20 U.S.C. 1431-1444, Part C.

OBJECTIVES:

To provide grants to States to assist them to implement and maintain a Statewide, comprehensive, coordinated, multidisciplinary, interagency system to make available early intervention services to infants and toddlers with disabilities and their families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used to assist States in implementing and maintaining their Statewide systems of early intervention services. Funding may also be used to provide direct services for eligible infants and toddlers with disabilities aged birth through 2 and their families that are not otherwise provided by other public or private sources, to expand and improve services for infants and toddlers with disabilities that are otherwise available and to provide a free appropriate public education, in accordance with Part B of IDEA, to children with disabilities from their third birthday to the beginning of the following school year. States also have the discretion to provide services to infants and toddlers who are at risk of having substantial developmental delays if they do not receive appropriate early intervention services. The Individuals with Disabilities Education Improvement Act of 2004 amended IDEA to allow states, at their discretion, to continue to serve children with disabilities under this program beyond age three until the children enter or are eligible to enter kindergarten or elementary school, if such children are otherwise eligible for services under the Preschool Grants Program (84.173), and previously received services under the Part C

program.

Applicant Eligibility:

Eligible applicants are the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Secretary of the Interior and the following four outlying area jurisdictions: Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Infants and toddlers with disabilities aged birth through 2 and their families will benefit.

Credentials/Documentation:

. In general, each State or jurisdiction must submit an application, including certifications, policies and procedures, and statement of assurances to receive IDEA Part C FY 2009 funds; however no separate application were required for States to receive IDEA Part C ARRA funds. Each State lead agency is designated by the Governor of that State or jurisdiction. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Specific application procedures are provided in the application form for the program. The State lead agencies, the applicants for this program, are designated by the Governor.

Award Procedure:

Once the State application is approved, a grant award representing the total State allocation is forwarded to the State lead agency. This is a forward funded program, in which funds become available for obligation on July 1st of the fiscal year for which they are appropriated and remain available to States for 15 months through September of the following year and an extra twelve months.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time for reviewing the State's application is determined by EDGAR (in 34 CFR 76.703) and ranges from 45 to 90 days.

Appeals:

The Department may not disapprove an application unless the Department determines, after notice and opportunity for a hearing, that the applicant has failed to meet an eligibility requirement.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Awards are based on each State applicant's proportionate share of children, aged birth through two years, in the general population, based on the most recent satisfactory data available from the United States Bureau of the Census. No State may receive less than 0.5 percent of the total funds available for all States. The Department of Interior receives 1.25 percent of the amount available to States. The Outlying Areas combined receive up to 1 percent of the funds appropriated. This program has nonplanting and MOE requirements. 20 USC 1443.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are issued each year. The Federal obligation period is a 15-month period from July 1 through September 30 of the following fiscal year. State and local education agencies have an additional 12-month period to obligate their funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

State lead agencies must submit an Annual Performance Report under IDEA sections 616 and 642. An annual report to the Governor and to the Secretary by the State Interagency Coordinating Council on the status of early intervention programs operated within the State as required under Section 641(e)(1)(D) of the Act, and other information that the Secretary may require, including information required under Section 618 of the Act. Annual State Performance Plans and Annual Performance Reports are submitted to the Department of Education by the lead agency on an annual basis. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by GEPA and EDGAR for State-administered programs (in 34 CFR 76.730-731), generally, the State lead agency and its contractors must retain records related to grant funds and compliance for a period of 5-years.

Account Identification:

91-0300-0-1-501.

Obligations:

(Formula Grants) FY 09 \$439,427,000; FY 10 est \$439,427,000; FY 11 est \$439,427,000

Range and Average of Financial Assistance:

For 2009, regular annual allotments to States, including DC and Puerto Rico ranged from, \$2,152,956 to \$53,559,544; with an average award of \$7,709,246.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Est. 354,900. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 303.

Regional or Local Office:

None.

Headquarters Office:

Ruth Ryder, Office of Special Education Programs-MSIP, Department of Education, 400 Maryland Avenue, S.W, Washington, District of Columbia 20202 Email: Ruth.Ryder@ed.gov Phone: (202) 245-7629.

Website Address:

<http://www.ed.gov/about/offices/list/osep/osep/programs.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.392 Special Education - Preschool Grants, Recovery Act ; 84.393 84.393 Special Education - Grants for Infants and Families, Recovery Act; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

State lead agencies designated by the Governor are eligible applicants and must submit an approved application under Part C of the IDEA for regular IDEA Part C funds.

84.184 SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES_NATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title IV, Part A, Subpart 2, Section 4121-4130.

OBJECTIVES:

To enhance the Nation's efforts to prevent the illegal use of drugs and violence among, and promote safety and discipline for, students at all educational levels; and to support programs to reduce alcohol abuse among secondary school students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects funded under this program support alcohol abuse reduction, drug and violence prevention and education, and school emergency preparedness activities. The Secretary may fund applications that address specific priorities and requirements published in the Federal Register. Maintenance-of-effort provisions apply to local educational agencies (LEAs); an LEA may receive a grant only if its State educational agency finds that either the combined fiscal effort per student or the aggregate expenditures of the LEA and the State with respect to the provision of free public education by the LEA for the preceding fiscal year was not less than 90 percent of the combined fiscal effort or aggregate expenditures for the second preceding fiscal year. If applicable, all grantees must use an indirect cost rate that is consistent with the provisions in 34 CFR 75.560 through 75.564.

Applicant Eligibility:

Public and private organizations, and individuals.

Beneficiary Eligibility:

Higher education institutions, State educational agencies (SEAs), local educational agencies (LEAs), and nonprofits will benefit, as will students, teachers, and parents.

Credentials/Documentation:

Contact the headquarters for credential/documentation requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive peer review of applications, the Department of Education makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The estimated amount of time needed to approve/disapprove an application is five months following the application deadline date.

Appeals:

Not Applicable.

Renewals:

Contingent upon the availability of funding and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants will generally be made for a period of 12 to 48 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specified reporting requirements are included in the grant, cooperative agreement or contract document. Cash reports are not applicable. Contact program office. Contact program office. Contact program office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit requirements are found in Part 74 of EDGAR.

Records:

As required by EDGAR, 34 CFR 75.730-734.

Account Identification:

91-0203-0-1-501.

Obligations:

(Project Grants) FY 09 \$220,240,000; FY 10 est \$224,053,000; FY 11 est \$224,053,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Available at website address. Fiscal Year 2010: Available at website address. Fiscal Year 2011: Not reported.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are in the Department of Education's General Administrative Regulations (34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, 99, and 299).

Regional or Local Office:

None.

Headquarters Office:

William Modzeleski, Department of Education, Office of Safe and Drug-Free Schools, Potomac Center Plaza, Room 10089, Washington, District of Columbia 20202 Email: William.Modzeleski@ed.gov Phone: (202) 245-7831.

Website Address:

<http://www.ed.gov/about/offices/list/osdfs/programs.html#state>.

RELATED PROGRAMS:

84.186 Safe and Drug-Free Schools and Communities_State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Available at Website address. Fiscal Year 2010: Available at Website address. Fiscal Year 2011: Available at Website address.

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: (1) Need for the project; (2) significance; (3) quality of project design; (4) adequacy of resources; (5) quality of the management plan; and (6) quality of project evaluation.

84.185 BYRD HONORS SCHOLARSHIPS**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 6, 20 U.S.C. 1070d-38.

OBJECTIVES:

To provide scholarships to support postsecondary education to outstanding high school seniors who show promise of continued academic achievement in an effort to recognize and promote student excellence and achievement.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Scholarships of \$1,500 per year of study, for a maximum of 4 years, at any eligible institution of higher education.

Applicant Eligibility:

The State educational agencies (SEA), which supervise public elementary and secondary schools in the States, are responsible for administering the program. For the purposes of this program, the States include the fifty States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, the Northern Mariana Islands, and Guam.

Beneficiary Eligibility:

A student must meet residency and citizenship requirements, be a graduate of a public or private secondary school or have been accepted for enrollment at an institution of higher education, have demonstrated outstanding academic achievement, and show promise of continued academic achievement to be eligible to receive a scholarship.

Credentials/Documentation:

To receive allotments for scholarships, a State must submit a participation agreement to the Department of Education as required by Section 419E of the program statute. A State is not required to provide further application information for funding unless the State wants to modify an approved agreement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Annual allotments are provided through grant awards to the State educational agencies for making scholarships to qualifying students. Students apply to their State of legal residence for a scholarship under this program. Student applicants must follow the application procedures as directed by each State. Each participating State awards scholarships to eligible students in accordance with selection procedures developed by the State.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

To be eligible for allotments for any year, States must enter into an agreement with the Department of Education in accordance with Section 419E of the program statute and the program requirements for the year as published in the Federal Register by the Secretary. To be eligible for renewal of scholarships, students must continue to meet residency and citizenship requirements, be enrolled as a full-time student, and maintain satisfactory academic progress. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory Formula: Formula is defined in section 419D of the program statute. Each participating State is allotted funds based on the ratio of the State's population ages five through seventeen years to the population ages five through seventeen in all participating States, except that no State shall receive less than 10 scholarships of \$1,500 each.

Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Contact Program Office. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

An annual performance report of the expenditure of Scholarship funds and

other reports as required by the Secretary. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audit requirements as described in 34 CFR 74.26 do not apply to this program.

Records:

Records must be maintained for 5 years after the activity for which the funds were awarded or until completion of the Department Audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Formula Grants) FY 09 \$40,642,000; FY 10 est \$42,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range: \$60,000 - \$5,037,000; Average: \$725,732.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funding was provided to 27,094 Byrd Scholars. Fiscal Year 2010: Funding was provided to an estimated 28,000 Byrd Scholars. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 654 (Program Regulations) published in the Federal Register on August 11, 1993, fact sheet, program description, and Directory of State Agency Official Contacts (current). Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Darryl Davis, Department of Education, Office of Postsecondary Education, Higher Education Programs, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: darryl.davis@ed.gov Phone: (202) 502-7657.

Website Address:

<http://www.ed.gov/programs/iduesbyrd/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.186 SAFE AND DRUG-FREE SCHOOLS AND COMMUNITIES STATE GRANTS**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title IV, Part A, Subpart 1.

OBJECTIVES:

To offer a disciplined environment conducive to learning, by preventing violence in and around schools and strengthening programs that prevent the illegal use of alcohol, tobacco, and drugs, involve parents, and are coordinated with related Federal, State, and community efforts and resources.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Drug prevention programs must convey a clear and consistent message that the illegal use of alcohol and other drugs is wrong and harmful. Generally, funds under the program may not be used for construction, medical services, or drug treatment or rehabilitation. This program is subject to maintenance-of-effort requirements. In addition, grantees and subgrantees must use an indirect cost rate that is consistent with the provisions in 34 CFR 75.560 through 75.564.

Applicant Eligibility:

State Departments of Education; State Governors; Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Mariana Islands may apply. Organizations primarily serving and representing Native Hawaiians for the benefit of Native Hawaiians may also apply under a designated grant competition.

Beneficiary Eligibility:

Governors' offices, SEAs, LEAs, Insular Areas, Tribal governments, and other public and private nonprofit organizations (including parent groups, community action agencies, and other community-based organizations); American Indians and Native Hawaiians; and students and teachers in public and private schools in each State.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each State must submit to the Department of Education an application covering a 5-year period which is amended as needed.

Award Procedure:

Applications from States are reviewed for compliance with the statute. If it is determined that the application meets the statutory requirements, the Assistant Deputy Secretary awards the State its allotment. For Native Hawaiians program, peer reviewers recommend grantees based on the quality of applications submitted.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The estimated amount of time needed to approve/disapprove a State's application is approximately sixty days. For Native Hawaiians Program, approximately sixty days are needed to review and award grants after receipt of application.

Appeals:

Not Applicable.

Renewals:

State applications are amended as needed. Awards are generally made effective July 1 of each calendar year. For Native Hawaiians Program, continuation grants are negotiated at the time of initial award.

Formula and Matching Requirements:

Statutory Formula: The statutory formula used to determine each State's allotment is authorized by Title IV, Part A, Subpart 1, Section 4111, Elementary and Secondary Education Act, as amended (ESEA). This program has maintenance-of-effort requirements; see funding agency for further details. From the total appropriation, 1 percent or \$4.75 million (whichever is greater) is reserved for the Outlying Areas, 1 percent or \$4.75 million (whichever is greater) is reserved for the DOI Bureau of Indian Education for programs for Indian youth, and 0.2 percent is reserved for programs for Native Hawaiians.

The Department allocates the remaining funds by formula to States, half on the basis of school-aged population and half on the basis of State shares of ESEA Title I Concentration Grants funding for the previous year, provided that no State receives less than the greater of: (1) one-half of 1 percent of the total, or (2) the amount it received under the program in fiscal year 2001. Of each State's allocation, the Governor may elect to administer up to 20 percent of the funds; the remainder is administered by the State educational agency. The SEA must subgrant at least 93 percent to LEAs. LEA allocations are based 60 percent on ESEA Title I Concentration Grant funding for the preceding year and 40 percent on enrollment.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, State awards are made from July 1 of one year to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure. Grantees draw down funds electronically as needed. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

A report on the programs funded by the State, is required by Section 4117 of the Act. Financial and performance reports are required in accordance with 34 CFR 76.720, 80.40, and 80.41. For Native Hawaiians programs, financial and performance reports are required in accordance with 34 CFR 74.51, 74.52, and 75.720. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with 34 CFR 76.730, grantees must maintain appropriate records related to grant funds, compliance, and project performance for three years after the completion of activity for which the grant or subgrant funds were used that are sufficient to establish fiscal accountability and to facilitate an effective audit. For Native Hawaiians program, records retention and access requirements are in accordance with 34 CFR 74.53 and 75.730-732.

Account Identification:

91-0203-0-1-501.

Obligations:

(Formula Grants) FY 09 \$294,759,000; FY 10 est \$0; FY 11 est \$0 - No additional funding is expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

In 2009 formula allocations to States ranged for \$1,423,000 to \$35,162,000 and averaged \$5,473,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awards were made for programs that help create safe and drug-free learning environments for children in all 50 States, the outlying areas, and the BIA, and to Native Hawaiian organizations. Fiscal Year 2010: Program in phase-out due to termination of funding. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the formula grants program is governed by the Statute, EDGAR (34 CFR 76, 77, 79, 80, 81, 82, and 85) and non-regulatory guidance. In addition, 34 CFR 98 and 99 apply to this program. The administration of the Native Hawaiians program is governed by the Statute and EDGAR (34 CFR 74, 75, and 77).

Regional or Local Office:

None.

Headquarters Office:

Paul Kesner, Department of Education, Office of Safe and Drug-Free Schools, Potomac Center Plaza, Room 10109, Washington, District of Columbia 20202
Email: paul.kesner@ed.gov Phone: (202) 245-7889.

Website Address:

<http://www.ed.gov/about/offices/list/osdfs/programs.html#state>.

RELATED PROGRAMS:

84.184 Safe and Drug-Free Schools and Communities_National Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Drug and violence prevention activities such as developing instructional materials; professional development programs for school personnel; community service projects; conflict resolution, peer mediation, mentoring, and character education; establishing safe zones of passage for students to and from school; acquiring and installing metal detectors; hiring security personnel; student instruction; parent education and involvement; student assistance programs, including counseling, identification, and referral services; and strengthening readiness and emergency management planning efforts. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States receive formula grants based upon the submission of an approvable application. For Native Hawaiians program direct grant awards are made through a peer review process where applications are selected based on quality.

84.187 SUPPORTED EMPLOYMENT SERVICES FOR INDIVIDUALS WITH THE MOST SIGNIFICANT DISABILITIES

(Supported Employment State Grants)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VI, Part B.

OBJECTIVES:

To provide grants for time limited services leading to supported employment for individuals with the most significant disabilities to enable such individuals to achieve the employment outcome of supported employment.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds are used to: (1) complement services under Title I of the Rehabilitation Act of 1973, as amended; (2) provide skilled job trainers who accompany the worker for intensive on-the-job training; (3) provide systematic training; (4) provide job development; (5) provide follow-up services; (6) provide regular observation or supervision at training sites; and (7) provide other services needed to support an individual in employment. Funds may not be used to provide the required extended services once an individual transitions from the time limited services provided under Title VI-B.

Applicant Eligibility:

State vocational rehabilitation agencies (including territories and possessions) designated in the State plan to administer the Vocational Rehabilitation Program.

Beneficiary Eligibility:

Individuals with the most significant disabilities who have been determined eligible for vocational rehabilitation services under Title I of the Rehabilitation Act of 1973, as amended, and who has identified supported employment as an employment outcome.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each State agency must submit a State plan supplement as part of the State plan under Title I of the Rehabilitation Act. Vocational rehabilitation agencies submit the State Plan and supplement to RSA.

Award Procedure:

Grant awards are made to State VR agencies with an approved State plan. States are notified of their annual allotment under the funding formula. Grant funds are withdrawn under the Electronic Transfer System.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

State plans must be approved within 90 days of being submitted to RSA. The State Plan and Supported Employment supplement must be approved prior to allotment of program funds to state VR agencies.

Appeals:

A State may appeal the Secretary's decision to disapprove the State plan by filing a petition for review with the United States Court of Appeals for the circuit in which the State is located.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Rehabilitation Act of 1973, as amended, Title VI, Part B. Federal funds are distributed based on the U.S. total populations and State population and the source is the Population Estimates Annual, Bureau of the Census. No State shall receive less than \$300,000 or one-third of one percent of the sums made available for the fiscal year, whichever is greater. The minimum allotment for Territories is 1/8 of 1 percent of the amount appropriated. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds become available for obligations for the fiscal year for which they are appropriated and may remain available for obligation for an additional year. Grant funds are withdrawn under the Electronic Transfer System. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Annual and quarterly progress reports and annual budget (Standard Form 425) are required. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Case records on individual consumers, fiscal records for a prescribed period for audit purposes and retention of records for three years are required.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 09 \$29,181,000; FY 10 est \$29,181,000; FY 11 est

\$29,181,000

Range and Average of Financial Assistance:

In FY 2009, range of awards is \$36,476 to \$2,982,743. The average State award, excluding territories is \$552,756.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, about 61% of the 15,000 individuals who obtained a supported employment outcome under the Vocational Rehabilitation program, had at least some of their services paid for with funds from the Supported Employment State Grants program. Fiscal Year 2010: Data not yet available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Supported Employment Services for Individuals with the Most Significant Disabilities (34 CFR part 363).

Regional or Local Office:

None.

Headquarters Office:

Carol Dobak, Department of Education, OSERS Rehabilitation Services Administration 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Carol.Dobak@ed.gov Phone: (202) 245-7325.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

64.007 Blind Rehabilitation Centers; 64.013 Veterans Prosthetic Appliances; 64.116 Vocational Rehabilitation for Disabled Veterans; 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.128 Rehabilitation Services_Service Projects; 84.132 Centers for Independent Living; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.161 Rehabilitation Services_Client Assistance Program; 84.169 Independent Living_State Grants; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable (Catalog users should contact the State Vocational Rehabilitation agency for criteria).

84.191 ADULT EDUCATION_NATIONAL LEADERSHIP ACTIVITIES

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Adult Education and Family Literacy Act, (AEFLA), Section 243, of the Workforce Investment Act of 1998, 20 USC 9253.

OBJECTIVES:

To support applied research, development, demonstration, dissemination, evaluation, and related activities that contribute to the improvement of adult education and literacy activities nationally.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use; Project Grants; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

To support activities to enhance the quality of adult education and literacy activities nationwide. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Postsecondary education institutions, public or private agencies or organizations, or consortia of these institutions, agencies, or organizations are eligible.

Beneficiary Eligibility:

Basic education and literacy programs for adults seeking to obtain an education at the primary or secondary levels will benefit through national evaluation, leadership and/or technical assistance efforts.

Credentials/Documentation:

Legal signature by a designated official on the application or proposal. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed when applying for a competitive grant. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines which are published in the Federal Register.

Award Procedure:

Proposals or applications are reviewed in accordance with regulations for the Adult Education Act. After negotiation, a notification for award is sent to the successful recipient.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding will usually cover a 12-18 month period. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

Financial status and performance reports required by the Education Department General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are contained in the award document. Subject to the terms of the award document, cash reports, progress reports, expenditure reports and performance monitoring may or may not be applicable. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act,

grantees must maintain records for three years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants (Contracts)) FY 09 \$6,878,000; FY 10 est \$11,346,000; FY 11 est \$41,346,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are found in 34 CFR 460 and 34 CFR 75.730-75.734. EDGAR

Regional or Local Office:

None.

Headquarters Office:

Christopher Coro Department of Education, OVAE Division of Adult Education and Literacy 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: christopher.coro@ed.gov Phone: (202) 245-7717

Website Address:

<http://www.ed.gov/about/offices/list/ovae/pi/AdultEd/index.html>.

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications should address each criterion: (1) Plan of operation; (2) quality of key personnel; (3) budget and cost-effectiveness; (4) evaluation plan; (5) adequacy of resources; (6) national need; and (7) adequacy of resources. Contact the program office for additional information.

84.196 EDUCATION FOR HOMELESS CHILDREN AND YOUTH

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act, Title VII, Subtitle B.

OBJECTIVES:

To ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children, the Education for Homeless Children and Youth program provides assistance to States, Outlying Areas, and the Department of Interior/Bureau of Indian Education (BIE) to: (1) establish or designate an Office of Coordinator of Education of Homeless Children and Youths; (2) develop and carry out a State plan for the education of homeless children; and (3) make subgrants to local educational agencies to support the education of those children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

SEAs and LEAs may use funds for activities allowable under section 723(d) of the McKinney-Vento Homeless Assistance Act that facilitate the educational success of homeless children and youth. Such activities include: tutoring summer enrichment programs, the provision of school supplies, and professional development designed to heighten educators' understanding of and sensitivity to the needs of homeless children and youth. Services provided with these funds cannot replace the regular academic program and must expand upon or improve services provided as part of the regular academic program. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Departments of Education in the 50 States, the District of Columbia, Puerto Rico, the Outlying Areas, and schools serving Indian students that are funded by the Secretary of the Interior may apply. Only LEAs are eligible for State subgrants.

Beneficiary Eligibility:

Homeless children and youth in elementary and secondary schools (and homeless preschool children and the parents of homeless children) of the applicant agency will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A State that desires to receive a grant under this program may submit to the Department either an individual State plan or an ESEA consolidated State plan.

Award Procedure:

Grant awards to SEAs will be issued when applications are approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

State plans are updated once per authorization of the statute.

Formula and Matching Requirements:

Statutory Formula: Title VII, Subpart B.

Matching Requirements: Each SEA receives an amount that bears the same ratio to the amount appropriated for this program as the amount allocated under Section 1122 of the Elementary and Secondary Education Act bears to the total allocations made under Section 1122. States shall not receive less than \$150,000, 0.25 percent of the total, or the amount of the State's fiscal year 2001 award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is provided for one fiscal year. Sums appropriated in each fiscal year shall remain available for the succeeding fiscal year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

States must report the number of homeless children and youth in the State and the number being served by this program. They must also report on the nature and extent of problems relative to access of homeless children and youth to public preschools, elementary schools, and secondary schools and the difficulties in identifying the special needs of such children. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 \$65,427,000; FY 10 est \$65,427,000; FY 11 est \$65,427,000

Range and Average of Financial Assistance:

FY 2009 - \$6,032-\$12,751,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for The Education for Homeless Children and Youth program may be obtained through the Office of Elementary and Secondary Education.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: john.mclaughlin@ed.gov Phone: (202) 401-0962.

Website Address:

<http://www.ed.gov/programs/homeless/index.html>.

RELATED PROGRAMS:

10.553 School Breakfast Program; 10.555 National School Lunch Program; 10.556 Special Milk Program for Children; 84.010 Title I Grants to Local Educational Agencies; 84.011 Migrant Education_State Grant Program; 84.013 Title I Program for Neglected and Delinquent Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. LEA subgrants are awarded by States on the basis of need and the quality of the application.

**84.200 GRADUATE ASSISTANCE IN AREAS OF NATIONAL NEED
FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part A, Subpart 2.

OBJECTIVES:

To provide fellowships through graduate academic departments, programs, and units of institutions of higher education to graduate students of superior ability who demonstrate financial need for the purpose of sustaining and enhancing the capacity for teaching and research in academic areas of national need, as designated by the Secretary.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards made to institutions under this part shall be used exclusively to provide direct fellowship aid that will include need-based stipends, based on the support level of National Science Foundation fellowships and an institutional allowance for each individual awarded a fellowship to be adjusted annually in accordance with the Department of Labor's Consumer Price Index. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

Academic departments and programs of IHEs that provide courses of study leading to a graduate degree may apply. Nondegree granting institutions may submit joint proposals with degree-granting IHEs.

Beneficiary Eligibility:

Graduate students receiving fellowships must demonstrate financial need, have excellent academic records, plan to pursue the highest degree in the field, and be a U.S. citizen or a National, or a permanent resident of the U.S., or intend to become a U.S. citizen, be a permanent resident of the Trust Territory of the Pacific Islands, or a citizen of any one of the Freely Associated States.

Credentials/Documentation:

A properly formatted application must be filed with the Secretary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Instructions and forms concerning application procedures are available on the program website following publication of the Notice Inviting Application funding criteria in the Federal Register.

Award Procedure:

Panels of experts from outside the Government will appraise all applications. The program office will recommend funding to the Secretary, who will make the final decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately three months.

Appeals:

Not Applicable.

Renewals:

Noncompeting continuation applications must be given funding preference subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. A grantee must match the award by 25 percent. Federal funds and matching funds must be used for stipends, tuition fees, and other educational costs of students. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of the award is three years, subject to yearly appropriations. However students may receive support for up to five years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual fiscal and performance reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit requirements as described in 34 CFR 74.26 do not apply to this program.

Records:

None.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$31,030,000; FY 10 est \$31,030,000; FY 11 est \$31,030,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 174 new and continuing grants were awarded; 706 new and continuing fellowships were provided. Fiscal Year 2010: Estimated 176 new and continuing grants to be awarded; estimated 702 new and continuing fellowships to be provided. Fiscal Year 2011: Estimated 176 new and continuing grants to be awarded; estimated 702 new and continuing fellowships may be provided.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations were published in the Federal Register on December 16, 1993. The application package including forms and regulations are available on the GAANN website. See program website for further information.

Regional or Local Office:

None.

Headquarters Office:

Gary Thomas, Teacher & Student Development Programs Service, Graduate Assistance in Areas of National Need Program, Office of Postsecondary Education, Department of Education, 1900 K St, NW, 6th Floor, Washington, District of Columbia 20006 Email: ope_gaann_program@ed.gov Phone: (202) 502-7767.

Website Address:

<http://www.ed.gov/programs/gaann/>;

<http://www2ed.gov/programs/gaann/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This program uses the selection criteria contained in program regulations made available in the application package. Contact the program office for additional information. See program website for further information.

84.206 JAVITS GIFTED AND TALENTED STUDENTS EDUCATION GRANT PROGRAM

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part D, Subpart 6.

OBJECTIVES:

To provide financial assistance to State and local educational agencies, institutions of higher education, and other public and private agencies and organizations, to stimulate research, development, training, and similar activities designed to build a nationwide capability in elementary and secondary schools to meet the special educational needs of gifted and talented students. To supplement the use of State and local funds for the education of gifted and talented students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for: (1) the professional development of personnel involved in the education of gifted and talented students, including training in equitable teaching methods; (2) the establishment or identification of exemplary programs serving gifted and talented students, including those for identifying and educating students who might not be served by a traditional program; (3)

implementing innovative learning strategies; (4) encouraging the adaptation of model gifted and talented programs for use by all students; (5) strengthening the capability of State educational agencies to provide leadership and assistance to local entities in the planning, operation, and improvement of programs; and (6) research, technical assistance, and information dissemination.

Applicant Eligibility:

State and local educational agencies, institutions of higher education, other public and private agencies and organizations (including Indian tribes and organizations, as defined by the Indian Self-Determination and Education Assistance Act, and Native Hawaiian organizations) may apply.

Beneficiary Eligibility:

State and local educational agencies, institutions of higher education, other public and private agencies, organizations, teachers and students benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for private nonprofit organizations are applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Described in an application notice published in the Federal Register. Contact the headquarters office listed below for application packages containing the announcement, application, and other forms.

Award Procedure:

The selection is competitive, based on staff and nonfederal review according to the selection criteria contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately two to four months from the closing date.

Appeals:

Not Applicable.

Renewals:

Awards are made when funds are available. New awards are not made every year. Following an initial, competitively selected award of up to 12 months, 2-4 additional 1-year non-competing continuation awards may be made, for a total maximum term of 3-5 years; subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made when funds are available. New awards are not made every year. Following an initial, competitively selected award of up to 12 months, two to four additional 1-year non-competing continuation awards may be made for a total of 3 - 5 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress and financial reports as required by the Education Department General Administrative Regulations (EDGAR) 34 CFR 75 unless otherwise required in the award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, for direct grant program. Generally, records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion.

Account Identification:

91-1000-0-1-503.

Obligations:

(Project Grants) FY 09 \$7,463,000; FY 10 est \$7,463,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education's General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 78, 79, and 80.

Regional or Local Office:

None.

Headquarters Office:

Patricia Johnson, Department of Education, OESE, Jacob K. Javits Gifted and Talented Students Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: patricia.johnson@ed.gov Phone: (202) 260-7813.

Website Address:

<http://www.ed.gov/programs/javits/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for the approval of proposals under this program are contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The specific criteria to be used for a particular grant competition are selected from among those in 34 CFR 700, and announced in the application notice published in the Federal Register.

84.213 EVEN START_STATE EDUCATIONAL AGENCIES**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part B, Subpart 3.

OBJECTIVES:

To help break the cycle of poverty and illiteracy and improve the educational opportunities of low-income families, by integrating early childhood education, adult literacy or adult basic education, and parenting education into a unified family literacy program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Subgrants are used for family literacy activities such as recruitment and screening of children and parents, design of programs, instruction of children

and parents, support services, staff training, evaluation, and coordination with other programs. Funds may not be used for indirect costs.

Applicant Eligibility:

State educational agencies (SEAs).

Beneficiary Eligibility:

Parents eligible for participation under the Adult Education Act and their children aged birth through seven. Families must be in need of Even Start services, as indicated by a low income level and low level of adult literacy or English language proficiency, or other need-related indicators.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State educational agencies submit State plans to the Department of Education in accordance with requirements in the Elementary and Secondary Education Act and guidance by the Department.

Award Procedure:

The Department of Education awards grants to SEAs based on their relative shares of ESEA Title I, Part A funds. SEAs award subgrants giving priority to proposals that target areas designated as empowerment zones or enterprise communities or that propose to serve families in other high-poverty areas.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

Other - Not Specified.

Renewals:

Federal formula funds are awarded to SEAs annually. Subgrants are for up to four years with annual renewals subject to approval of the SEA and the availability of funds. After the subgrant ends, an applicant may reapply for a new subgrant.

Formula and Matching Requirements:

Statutory Formula: ESEA Title I, Part A.

Matching Requirements: The Department awards grants to SEAs based on their relative shares of ESEA Title I, Part A funds. The Federal share of the cost of a subgrant project may not be more than 90 percent in the first year, 80 percent in the second year, 70 percent in the third year, 60 percent in the fourth year, and 50 percent in any subsequent year.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula grants to SEAs are awarded on July 1. Funds are available to States for obligation and expenditure for a total of 27 months. Subgrants may not exceed 4 years in duration. See the following for information on how assistance is awarded/released: by electronic transfer.

Reports:

Annual performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain project records must be retained for three years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 09 \$66,454,000; FY 10 est \$61,138,000; FY 11 est \$46,000,000

Range and Average of Financial Assistance:

Range (FY10): \$305,688-\$7,306,641; Average: \$1,175,725.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See program website for details. Fiscal Year 2010: See program website for details. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76 (except for 76.600-76.677), 77, 80-82, and 85; Education Department General Administrative Regulations (EDGAR). For more information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Melvin Graham, Department of Education, OESE Academic Improvement and Teacher Quality, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: melvin.graham@ed.gov Phone: (202) 260-8268.

Website Address:

<http://www.ed.gov/programs/evenstartformula/index.html>.

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States; 84.010 Title I Grants to Local Educational Agencies; 84.011 Migrant Education_State Grant Program; 84.258 Even Start_Indian Tribes and Tribal Organizations; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

SEAs apply their own criteria to select subgrantees most likely to succeed in meeting the purpose of Even Start and in effectively implementing the program elements in Section 1205 and the requirements in Section 1208(a)(1) and (2), Part B, Title I of ESEA. SEAs must give priority to projects that target services to the neediest families or to projects that are located in areas designated as Empowerment Zones or Enterprise Communities under Public Law 103.66.

84.214 EVEN START_MIGRANT EDUCATION

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, Title I, Part B.

OBJECTIVES:

To improve the educational opportunities of migrant families through family literacy programs that integrate early childhood education, adult literacy or adult basic education, and parenting education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used for family literacy activities such as recruitment and screening of children and parents, design of programs, instruction for children and parents, staff training, support services, evaluation, and coordination with other programs. Indirect costs are not an allowable project cost unless a waiver is obtained from the Secretary of Education.

Applicant Eligibility:

Any State or local government entity, institution of higher education or non-profit organization is eligible to apply. The Secretary specifically invites application from State educational agencies (SEAs) that administer migrant programs; local educational agencies (LEAs) that have a high percentage of migrant students; and nonprofit community- based organizations that work with migrant families.

Beneficiary Eligibility:

Parents who are migratory agricultural workers or fishers and their children, from birth through age 7, as defined in 34 CFR 200.81. Parents must also be eligible for participation under the Adult Education Act or be within the State's compulsory school attendance age range. Families must be in need of Even Start services as indicated by a low income level and low level of adult literacy or English language proficiency, as well as other need indicators.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications or proposals must be prepared and submitted in accordance with applicable program announcements. Application forms are available from the Department of Education, Office of Migrant Education. Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the application package. The applications are reviewed and evaluated by a panel of three reviewers with expertise in program components. OMB Circular No. A-133 applies to this program.

Award Procedure:

A panel appointed by the Secretary reviews each application and evaluates the application on the basis of selection criteria published in the Federal Register. The program office reviews financial information to ensure costs reflect required program elements, including relevant matching costs. The Secretary makes awards based on applicant scores.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days following the deadline for submitting applications.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for four years, with annual renewals subject to satisfactory performance reports and the availability of funds. Applicants may apply for consecutive 4-year cycles, with increased requirements for local cost share.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The Federal share of the cost of a project is not more than 90 percent in the first year, 80 percent in the second, 70 percent in the third, and 60 percent in the fourth and final year. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made for up to 48 months. Funds are awarded for a 12-month period from October 1 to September 30 of the following year. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer of funds.

Reports:

Semi-annual progress reports are submitted in July. Annual performance report is required 90 days after the end of each budget cycle. Cash reports are not applicable. No progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 a year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provision Act and the Education Department General Administration Regulations, certain project records must be maintained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$1,993,650; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

Average estimated award: \$318,700. Estimated range of awards: \$185,000 - \$400,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In year three of a four-year cycle, 6 projects enrolled over 270 families; served over 600 children from birth through 4 years of age; and provided Adult Education and English as a Second Language services to more than 300 adults. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74, 75, 77, 80, 82, and 85 and Part 200. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

DonnaMarie Fekete, Department of Education, OESE Office of Migrant Education, 400 Maryland Ave., SW, Room 3E313, Washington, District of Columbia 20202 Email: donnamarie.fekete@ed.gov Phone: (202) 260-2815.

Website Address:

<http://www.ed.gov/programs/mees/index.html>.

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States; 84.010 Title I Grants to Local Educational Agencies; 84.011 Migrant Education_State Grant Program; 84.141 Migrant Education_High School Equivalency Program; 84.149 Migrant Education_College Assistance Migrant Program; 84.213 Even Start_State Educational Agencies; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Six projects continued in the States of AL, IL, LA, NE, UT, WA. The WA project conducts

year-round online classes to engage parents who travel throughout WA and CA. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Extent of need for the project; quality of plan design; quality of project services; quality of key personnel; quality of management plan; evaluation plan.

84.215 FUND FOR THE IMPROVEMENT OF EDUCATION

(FIE)

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Subpart 1 Title V, Part D, and the American History and Civics Education Act of 2004.

OBJECTIVES:

FIE: To conduct nationally significant programs to improve the quality of education, assist all students to meet challenging State content standards, and contribute to the achievement of elementary and secondary students.

American History and Civics Education Act: To establish academies to improve the teaching and learning of American history and civic.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds may be used to support a wide range of projects under the FIE general authority for programs, and additional specific program authorities. Competitions are announced in the Federal Register. Specific limitations and restrictions for each competition are provided in the Federal Register notice.

Applicant Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions may apply.

Beneficiary Eligibility:

State educational agencies, local educational agencies, institutions of higher education, public and private organizations and institutions will benefit.

Credentials/Documentation:

OMB Circular No. A-21; OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Described in application notices published annually in the Federal Register. Contact the headquarters offices listed below for application packages containing the announcement, application, and assurance forms and for further information about the specific programs.

Award Procedure:

Awards are competitively selected following review by nonfederal experts in accordance with Subpart D of Part 75 of the Education Department General Administrative Regulations (EDGAR).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for

multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in the meeting of the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Following an initial, competitively selected award, additional non-competing continuation awards may be made. The possible length of the project is announced in the application notice in the Federal Register. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required. Cash reports are not applicable. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED524B. Staff also monitors grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and the ED regulations implementing OMB Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$363,041,500; FY 10 est \$382,632,000; FY 11 est \$670,925,000

Range and Average of Financial Assistance:

Varies by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. OMB Circulars A-21, A-87, A-110, A-122, and A-133.

Regional or Local Office:

None.

Headquarters Office:

Carol Lyons, Office of Innovation and Improvement (OII), 400 Maryland Avenue S.W., Washington, District of Columbia 20202 Email: carol.lyons@ed.gov Phone: (202) 205-4760.

Website Address:

<http://www.ed.gov/programs/find/cfda/index.html?src=In>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: FY 2010 The FIE CFDA 84.215 programs funded in 2010 were 84.215B Excellence in Economic Education; 84.215C Gulf Coast Recovery Grant Initiative; 84.215E Elementary and Secondary School Counseling Programs; 84.215F Carol M. White Physical Education Program; 84.215H Foundations for Learning Grnts; 84.215J Full Service Community Schools Program; 84.215K Fund for the Improvement of Education--Programs of National Significance; 84.215L Smaller Learning Communities; 84.215M Grants for the Integration of Schools and Mental Health Systems; 84.215U Fund for the Improvement of Education--Programs of National Significance; 84.215Y Educational, Cultural, Apprenticeship, and Exchange Programs for Alaska Natives, Native Hawaiians, and Their Historical Whaling and Trading Partners in Massachusetts; 84.215P Promise Neighborhoods; 84.215T Facilities Clearinghouse; 84.215W Financial Literacy; The American History and Civics Educaiton Act program funded was Academies for American History and Civics. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for these programs are contained in Section 75.210 of the Education Department General Administrative Regulations (EDGAR). The specific criteria to be used for particular grant competitions are announced in the application package or application notice in the Federal Register.

84.217 TRIO_MCNAIR POST-BACCALAUREATE ACHIEVEMENT FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 1 Section 402E.

OBJECTIVES:

To provide grants for institutions of higher education to prepare participants for doctoral studies through involvement in research and other scholarly activities. Participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with participants as they complete their undergraduate requirements. Institutions encourage participants to enroll in graduate programs and then track their progress through to the successful completion of advanced degrees. The goal is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used by the participating institution to provide eligible participants with opportunities for research and other scholarly activities; summer internships; seminars; tutoring; academic counseling; and activities designed to help students secure admission and financial assistance for graduate study. Funds may be used for : services to improve students' financial and economic literacy, mentoring and exposure to cultural events. At least two-thirds of the project participants must be low-income individuals who are first-generation college students. The remaining participants may be from a group that is underrepresented in graduate education.

Applicant Eligibility:

Institutions of higher education or combinations of institutions of higher education may apply.

Beneficiary Eligibility:

2/3 of participants must be low-income first generation college students. The remaining students must or students from groups underrepresented in graduate education that are enrolled in a degree program at an eligible institution of higher education will benefit.

Credentials/Documentation:

Costs will be determined in accordance with Education Department General

Administrative Regulations and the program regulations, Section 647 of the Code of Federal Regulations. OMB Circular A-21 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Develop a proposal in accordance with legislation, regulations, applications, and timetables issued by the Secretary of Education. No State plan is required. Requests for applications should be made to the Federal TRIO Program. This program is subject to the provisions of the Education Department General Administrative Regulations.

Award Procedure:

Nonfederal field readers evaluate new proposals on the basis of the selection criteria specified in the program regulations, 647.21. Grants are awarded by the Federal TRIO Programs office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 90 to 180 days.

Appeals:

The program statute authorizes a second review of unsuccessful applications. The Department is developing regulations to implement a second review process for unsuccessful applicants. An unsuccessful applicant requesting a second review must provide evidence for the Department, an agent of the Department, or a peer reviewer made a technical, administrative, or scoring error in the processing or review of its application.

Renewals:

Awards are for 5-year project periods. Continuation awards are made annually. Generally, continuation awards are made if sufficient funds have been appropriated and the grantee is meeting the conditions of the grant and making substantial progress toward meeting the project objectives.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Five year projects, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report. No cash reports are required. Progress reports (ED 524B) are required for a project in its first year of funding. Staff monitor grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of the required annual performance report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. States, local governments, and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. In accordance with Education Department General Administrative Regulations, 34 CFR 61, 74, 75, and 702.

Records:

In accordance with the Education Department General Administrative

Regulations, 34 CFR 75, 730, 75.731, and 75.732 and 647.32 of the program regulations.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$47,527,000; FY 10 est \$47,594,000; FY 11 est \$47,594,000

Range and Average of Financial Assistance:

Varies by competition. In FY 2008, the average award was approximately \$240,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 200 grants. Fiscal Year 2010: 200 grants. Fiscal Year 2011: Estimated 200 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 647 and Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Virginia Pinkney, Department of Education, Federal TRIO Programs, Office of Postsecondary Education, 1990 K Street, NW, 7th Floor, Washington, District of Columbia 20006 Email: trio@ed.gov Phone: (202) 502-7735.

Website Address:

<http://www.ed.gov/programs/triomcnair/>.

RELATED PROGRAMS:

84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.066 TRIO_Educational Opportunity Centers; 84.103 TRIO Staff Training Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new awards, the Department will select applicants under the criteria that were set forth in 647.21 of the program regulations. New regulations are under development. Contact the program office for additional information.

84.220 CENTERS FOR INTERNATIONAL BUSINESS EDUCATION

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended., Title VI, Part B, Section 612.

OBJECTIVES:

To provide a comprehensive university approach to improve the teaching of international business by bringing together faculty from numerous disciplines and to engage in research to promote the international competitiveness of U.S. business.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The centers funded will: be national resources for the teaching of improved business techniques, strategies, and methodologies that emphasize the international context in which business is transacted; provide instruction in critical foreign languages and international fields needed to provide an understanding of the cultures and customs of U.S. trading partners; provide research and training in the international aspects of trade, commerce, and other fields of study; provide training to students enrolled in the institution or institutions in which a center is located; serve as regional resources to local businesses by offering programs and providing research designed to meet the international training needs of such businesses; and serve other faculty, students, and institutions of higher education located within their respective regions. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For

assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-377-3838.

Applicant Eligibility:

Accredited public and nonprofit private institutions of higher education, or consortia of such institutions, that establish a center advisory council before the date Federal assistance is received may apply. This council will conduct extensive planning concerning the scope of the center's activities and the design of its program prior to establishing the center.

Beneficiary Eligibility:

Students and faculty of accredited institutions of higher education will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible institutions may submit proposals for funding of projects when the announcement of a new competition appears in the Federal Register. Application forms and guidelines are available from the International Education Programs Service.

Award Procedure:

Final recommendations are made to the Secretary of Education following advice from a panel of consultants developed according to procedures defined by the Education Department General Administrative Regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 150 days.

Appeals:

Not Applicable.

Renewals:

Project period may last up to 48 months. Applicants submit performance reports for continuation funding according to instructions published by the Department. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Federal share of the cost of centers is: (a) Not more than 90 percent for the first year in which Federal funds are furnished; (b) not more than 70 percent for the second year; and (c) not more than 50 percent for the third year and succeeding years. The nonfederal share for planning, establishing and operating the center may be provided by in-cash or in-kind assistance.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a up to 48 month period, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions must provide final reports upon completion of the program. Financial reports are also required. Cash reports are not applicable. Progress reports are required. Expenditure reports are required. Performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available upon request for 3 years after close of the fiscal year in which the grant was closed out or until the grantee is notified of the completion of a Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$11,527,300; FY 10 est \$12,757,000; FY 11 est \$12,757,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Thirty-one continuation awards were made. Fiscal Year 2010: Thirty-three new awards are expected to be awarded in FY 2010. Fiscal Year 2011: Thirty-three new awards are expected to be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 74, 75, 77, 82, 84, 85, 86, 97, 98, and 99 (Education Department General Administrative Regulations).

Regional or Local Office:

None.

Headquarters Office:

Susanna C. Easton, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, Washington, District of Columbia 20006 Email: Susanna.easton@ed.gov Phone: (202) 502-7628.

Website Address:

<http://www.ed.gov/programs/iegpscibe/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.153 Business and International Education Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part B, Section 612 of the Higher Education Act of 1965, as amended.

84.224 ASSISTIVE TECHNOLOGY

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Assistive Technology Act of 1998, as amended.

OBJECTIVES:

To provide States with financial assistance that supports programs designed to maximize the ability of individuals of all ages with disabilities and their family members, guardians, advocates, and authorized representatives to obtain assistive technology devices and assistive technology services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to carry out the functions authorized under the Act. States may provide assistance to Statewide community-based organizations or directly to individuals with disabilities of all ages. Technical assistance contracts

support States and other entities. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

States, including the District of Columbia, Puerto Rico, and outlying areas may apply. Applicants are designated by Governors.

Beneficiary Eligibility:

Individuals with disabilities, States, and community-based organizations providing services to individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 76 and 80 apply. Unless otherwise directed in the Federal Register Announcement, applications should be submitted to the Department of Education, Application Control Center, 400 Maryland Avenue, SW., Washington, DC 20202.

Award Procedure:

State plans are reviewed by Department of Education staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. States and territories are allocated a base amount that is not less than the amount they received in FY 2004. Funds appropriated in excess of the base are divided in half and distributed equally to each State, and by a State population-based formula.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual reports are required. Quarterly cash reports are required. Progress reports are not applicable. Annual expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recordkeeping requirements and regulations are included in the statute.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 09 \$25,660,000; FY 10 est \$25,660,000; FY 11 est \$25,660,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Robert Groenendaal, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: robert.groenendaal@ed.gov Phone: (202) 245-7393.

Website Address:

<http://www.ed.gov/about/offices/list/osers/nidrr/index.html>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the regulations. Contact the Program Office for more information.

84.229 LANGUAGE RESOURCE CENTERS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title VI, Part A, Section 603.

OBJECTIVES:

To provide grants for establishing, strengthening, and operating centers that serve as resources for improving the nation's capacity for teaching and learning foreign languages through teacher training, research, materials development, and dissemination projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Centers funded must carry out activities to improve the teaching and learning of foreign languages. These activities must include effective dissemination efforts, whenever appropriate, and may include--(a) the conduct and dissemination of research on new and improved methods for teaching foreign languages, including the use of advanced educational technology; (b) the development and dissemination of new materials for teaching foreign languages, to reflect the results of research on effective teaching strategies; (c) the development, application, and dissemination of performance testing that is appropriate for use in an educational setting to be used as a standard and comparable measurement of skill levels in foreign languages; (d) the training of teachers in the administration and interpretation of foreign language performance tests, the use of effective teaching strategies, and the use of new technologies; (e) a significant focus on the teaching and learning needs of the less commonly taught languages, including an assessment of the strategic needs of the United States, the determination of ways to meet those needs nationally, and the publication and dissemination of instructional materials in the less commonly taught languages; (f) the development and dissemination of materials designed

to serve as a resource for foreign language teachers at the elementary and secondary school levels; and (g) the operation of intensive summer language institutes to train advanced foreign language students, to provide professional development, and to improve language instruction through preservice and inservice language training for teachers. Equipment costs may not exceed 15 percent of the grant total.

Applicant Eligibility:

An institution of higher education or a consortia of institutions of higher education is eligible to receive an award.

Beneficiary Eligibility:

Institutions of higher education or combinations of such institutions and individuals will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Eligible institutions may submit applications for funding when the announcement of a new competition is issued in the Federal Register. Application forms and guidelines for the submission of applications are available from the Advanced Training and Research Team of the International Education Programs Service

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Higher education institutions selected to receive funds for the four year phased program must submit annual performance reports for the continuation of support. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to a 48-month period. Continuation awards are subject to the submission of satisfactory progress reports and are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Funded centers must provide final reports upon completion of the 48 month program. Interim and annual progress reports are required throughout the grant's duration. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to the accountability for awarded funds must be available upon request: (1) For 3 years after close of the fiscal year in which the grant expired or (2) until the grantee is notified of the

completion of the Federal financial audit, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$5,022,000; FY 10 est \$5,022,000; FY 11 est \$5,022,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fifteen continuation awards. Fiscal Year 2010: Fifteen new awards are expected to be awarded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 655 and 669, and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Cynthia Dudzinski, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K Street, NW, 6th Floor, Washington, District of Columbia 20006 Email: cynthia.dudzinski@ed.gov Phone: (202) 502-7589.

Website Address:

<http://www.ed.gov/programs/iegpslrc/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special Bilateral Projects; 84.019 Overseas Programs - Faculty Research Abroad; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.153 Business and International Education Projects; 84.220 Centers for International Business Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 655.31, 669.21, and 669.22. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register.

84.234 PROJECTS WITH INDUSTRY

(PWI)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VI, Part A.

OBJECTIVES:

To create and expand job and career opportunities for individuals with disabilities in the competitive labor market by partnering with private industry to provide job training and placement, and career advancement services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to support projects to prepare persons with disabilities for employment in the competitive labor market.

Applicant Eligibility:

Projects with Industry grants are awarded to community rehabilitation program providers, designated State units, Indian tribe or tribal organizations, employers, labor unions, nonprofit organizations, trade associations, and other agencies or organizations with the capacity to create and expand job and career opportunities for individual with disabilities. Grants cannot be made directly to individuals. Only applicants that propose to serve a geographic area that is currently unserved or underserved by the PWI program can receive new awards under this program.

Beneficiary Eligibility:

An individual is eligible for services under this program if the individual to be served is an individual with a disability or an individual with a significant disability, as defined in Sections 7 (20)(A) and 7(21)(A), respectively, of the Rehabilitation Act of 1973, as amended. The recipient of the grant under which the services are provided, may make determinations of eligibility to the extent that the determination is appropriate, available, and consistent with the requirements of the Act.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. 21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations, with Appendix E to 45 CFR part 74 for hospitals or with 48 CFR part 31 for commercial for-profit organizations other than a hospital and an educational institution. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulation at 34 CFR Parts 74, 75 and 80 apply to this program. Applications should be submitted to the Departments e-Grants website or to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202 as according to instructions in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 150 to 180 days.

Appeals:

Not Applicable.

Renewals:

Projects with multi-year project periods may be continued based on making substantial progress toward meeting project objectives as documented in the annual performance report in accordance with 34 CFR 75.253, meeting the minimum performance levels of the compliance indicators, as described in 34 CFR Part 379 Subpart F, and availability of adequate funds. Renewals are available only through a new application process.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 20%. The Federal share may not exceed 80 percent of total project cost.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project support may be available up to 60 months. See the following for

information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR Part 75). Annual financial reports, compliance indicator data and reports of progress must be submitted as required by the grant award terms and conditions. No cash reports are required. No expenditure reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75). Generally, records related to grant fund, compliance, and performance must be maintained for a period of five years after completion of the grant activity.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$19,197,000; FY 10 est \$19,197,000; FY 11 est \$19,197,000

Range and Average of Financial Assistance:

Range: \$118,095-\$500,000
Average: \$295,139.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: (A) Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86; and (B) the regulations for this program in 34 CFR Parts 369 and 379 apply.

Regional or Local Office:

None.

Headquarters Office:

Kerrie Clark, Department of Education, OSERS 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: kerrie.clark@ed.gov Phone: (202) 245-7281.

Website Address:

<http://www.ed.gov/programs/rsapwi/index.html>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States;
84.235 Rehabilitation Services Demonstration and Training Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are announced in the Federal Register. Applications for discretionary grants are subject to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program and Department regulations at 34 CFR Part 379.

84.235 REHABILITATION SERVICES DEMONSTRATION AND TRAINING PROGRAMS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of

Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 303(b)-(d).

OBJECTIVES:

To provide financial assistance to projects and demonstrations for expanding and improving the provision of rehabilitation and other services authorized under the Act or that further the purposes of the Act, including related research and evaluation activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Authorized activities under this program include carrying out special demonstrations for expanding and improving the provision of rehabilitation and other services authorized under this Act and that further the purposes of the Act. Projects may include demonstrations to increase client choice; technical assistance; systems change; special studies and evaluations; demonstrations of service delivery; model demonstrations; transition services; supportive employment; services to underserved populations and/or unserved or underserved areas; services to low-incidence disabilities; transportation; and other projects designated by the Secretary. Other authorized programs include Parent Information and Training and Braille training projects.

Applicant Eligibility:

Eligible applicants are States and public or nonprofit organizations. Grants cannot be made directly to individuals.

Beneficiary Eligibility:

Individuals with disabilities.

Credentials/Documentation:

Costs will also be determined in accordance with OMB Circular No. A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. Applications should be submitted to the Department of Education, Application Control Center. Attention: (CFDA 84-235), 400 Maryland Avenue, S.W., Washington, DC 20202. The standard application kit as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. ED No. 80-0013 must also be submitted.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 150 to 180 days.

Appeals:

Not Applicable.

Renewals:

Projects with multi-year periods may be continued based on annual review of accomplishments and availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to 60 months. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (34 CFR Part 75). Annual performance reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See 34 CFR 74.26.

Records:

As required by EDGAR for direct grant programs (34 CFR Part 75). Generally records related to grant funds, compliance, and performance must be maintained for a period of 5 years after completion.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$6,506,000; FY 10 est \$6,506,000; FY 11 est \$6,506,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations: (A) The Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86; and (B) the regulations for this program in 34 CFR Part 373 apply.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA/Programs/Discretionary/demotrain.htm> l.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States;
84.128 Rehabilitation Services_Service Projects

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding priorities are published in the Federal Register. Applications for discretionary grants are subject to peer review procedures leading to recommendations for approval or disapproval by Federal and nonfederal experts. Criteria for evaluating applications are published in program and department regulations (34 CFR 369).

84.240 PROGRAM OF PROTECTION AND ADVOCACY OF

INDIVIDUAL RIGHTS**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title V, Section 509.

OBJECTIVES:

To provide grants for States to support systems for protection and advocacy for the rights of individuals with disabilities who are ineligible for advocacy services from the other protection and advocacy programs or whose problems fall outside the scope of services available from the Client Assistance Program (CAP). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal funds are used to support a system in each State to protect the legal and human rights of individuals with disabilities who need services that are beyond the scope of the Client Assistance Program (CAP) under Section 112 of the Rehabilitation Act of 1973, as amended (the Act), and are ineligible for the protection and advocacy programs under Part C of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (DDA) and the Protection and Advocacy for Individuals with Mental Illness Act (PAIMI).

Applicant Eligibility:

Only designated protection and advocacy agencies in each State and Territory may apply. The Governor designates the protection and advocacy agency.

Beneficiary Eligibility:

Individuals with disabilities will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Any application for a grant must contain assurances that the eligible protection and advocacy system will: (1) have in effect a system to protect and advocate for the rights of eligible individuals with disabilities; (2) have the same general authorities as outlined in Part C of the DDA; (3) have the authority to pursue legal, administrative, and other appropriate remedies to ensure the protection of the rights of individuals with disabilities; (4) provide information and referral services to individuals with disabilities in the State; (5) develop a priorities and objectives statement each year; (6) seek public comment about their proposed priorities and objectives statement; (7) establish a grievance procedure for clients and prospective clients of the eligible protection and advocacy system; (8) use the funds available for this program to supplement nonfederal funds that would otherwise be available for this purpose; and (9) utilize, to the maximum extent possible, mediation and other alternative dispute resolution procedures before resorting to formal administrative or legal remedies.

Award Procedure:

Once the applications have been approved, awards are made on the basis of the relative population of each State. The minimum allotment is \$100,000 for States and \$50,000 for Territories.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The State may appeal to the Office of Administrative Law Judges.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: In accordance with the Act Federal funds are distributed on the basis of the relative population in each State, except no State shall receive less than \$100,000, Territories \$50,000.

Matching requirements are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are required annually. A report describing the types of services and activities being undertaken by programs funded under the program, the total number of individuals served, the types of disabilities represented by such individuals, and the types of issues being addressed on behalf of such individuals. Required quarterly. No progress reports are required. No expenditure reports are required. Performance reports are required annually.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Final records must be maintained for 3 years after the grant ends or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 09 \$17,101,000; FY 10 est \$18,101,000; FY 11 est \$18,101,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations were published at 34 CFR Part 381.

Regional or Local Office:

None.

Headquarters Office:

Jessica Smith, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jessica.smith@ed.gov Phone: (202) 245-6493.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.161 Rehabilitation Services_Client Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.243 TECH-PREP EDUCATION

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV), Title II, 20 U.S.C 2371.

OBJECTIVES:

This program provides assistance to States to award grants to consortia of local agencies and postsecondary education institutions for the development and operation of programs consisting of the last two years of secondary education and at least two years of postsecondary education, designed to provide tech prep education to the student leading to an associate degree or a two-year certificate. The program also is designed to strengthen links between secondary and postsecondary schools.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States must award program funds to consortia of local agencies and postsecondary education institutions. Consortia must implement tech-prep programs that have the following elements: (1) an articulation agreement between secondary and postsecondary consortium participants; (2) a two-plus-two or a two-plus-four design with a common core of proficiency in math, science, communication, and technology; (3) a specifically developed tech-prep curriculum; (4) joint in-service training of secondary and postsecondary teachers to implement the tech-prep curriculum effectively; (5) training of counselors to recruit students and to ensure program completion and appropriate employment; (6) equal access for special populations to the full range of tech-prep programs; (7) preparatory services, and (8) coordination with activities conducted under Title I of Perkins IV. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Costs Group on (202) 708-7770.

Applicant Eligibility:

The Department of Education makes formula grants to State boards for career and technical education agencies. Eligible recipients for subgrants are consortia of (1) local educational agencies, intermediate education agencies or area career and technical education schools serving secondary school students, or secondary schools funded by the Department of Interior/Bureau of Indian Education; and (2) nonprofit or proprietary institutions of higher education that offer a 2-year associate degree program, and are qualified as institutions of higher education pursuant to Section 481(a) of the Higher Education Act of 1965, including institutions receiving assistance under the Tribally Controlled Community College Assistance Act of 1978, or a two-year apprenticeship program that follows secondary instruction, if such institutions are not subject to a default management plan required by the Secretary of Education.

Beneficiary Eligibility:

Individuals desiring to participate in a combined secondary/postsecondary program leading to a postsecondary degree or 2-year certificate with technical preparation.

Credentials/Documentation:

The establishment of a State Board for career and technical education is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. Eligible agencies submit 5-year State plans for career and technical education, and annual revisions, to the Department pursuant to section 122 of Perkins IV. However, the Virgin Islands may submit an application for Perkins IV funding under the Consolidated Grants to Insular Areas authority. See CFDA 84.403. Additionally, any eligible agency may chose to consolidate its tech-prep funds with funds that it receives under the Career and Technical Education -- Basic Grants to States Programs. See CFDA 84.048.

Award Procedure:

Once the Secretary approves an eligible agency's 5-year State plan or annual revisions, the Department forwards the grant award to the State official designated by the eligible agency and notifies the State director for CTE.

Deadlines:

Mar 30, 2010 The submission date for State plan or annual revisions is generally set in late March or early April in guidance issued annually by the Department. Contact the headquarters for application deadlines.

Range of Approval/Disapproval Time:

Within 90 days.

Appeals:

The Secretary shall not finally disapprove a State plan, except after giving the eligible agency notice and opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: The funding formula is based on State per-capita income and population in three age cohorts (15-19, 20-24, and 25-65). The formula provides for a minimum State allocation of at least 0.5 percent of the total, and a "hold-harmless" provision in the formula ensures that no State's share of the appropriation is less than its share of the fiscal year (FY) 1998 appropriation. A special provision limits the increase a State with an initial allocation of the 0.5 percent minimum may receive, resulting in a number of States that receive an allocation of less than 0.5 percent of the total. If appropriations result in the amount of funds for allocation to States exceeding the amount of funds allocated to States from the FY 2006 appropriation, up to one-third of the additional funds are allotted to States with FY 2006 grants that are less than the minimum 0.5 percent grant amount and the remainder flows to the other States. The Bureau of the Census supplies the population data; the Department of Labor supplies the per capita income data.

Matching Requirements: See statute for maintenance of effort requirements. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Generally, annual grants to eligible agencies are made from July 1 to September 30 of the following year. In addition, funds remain available to the eligible agencies for an additional fiscal year for obligation and expenditure under section 421(b) of the General Education Provisions Act, as amended (GEPA). See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

OVAEs Consolidated Annual Report (CAR) for Perkins IV requires annual financial status reports, performance data reports, including on the tech-prep indicators in section 203(e) of Perkins IV, and program narrative reports. Eligible agencies must also submit Office for Civil Rights Annual Compliance Reports. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Any additional audit requirements may be included in grant documents.

Records:

As required by EDGAR for State-administered programs (34 CFR 76.730-76.731). Generally, the eligible agency and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the grantee or subgrantee submits its final expenditure report for that funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review. See also section 443 of GEPA.

Account Identification:

91-0400-0-1-501.

Obligations:

(Formula Grants) FY 09 \$102,923,000; FY 10 est \$102,923,000; FY 11 est \$0

Range and Average of Financial Assistance:

FY11: \$54,653-\$11,251,825.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See The Perkins Collaborative Resource Network (PCRN), <http://cte.ed.gov/index.cfm>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and regulations, Title 34 CFR 400 and 406; Education Department General Administrative Records (EDGAR) at 34 CFR Parts 74, 76-77, 79, 80-82, 84-86, and 97-99; OCR Guidelines for Vocational Education.

Regional or Local Office:

None.

Headquarters Office:

Sharon Lee Miller, Department of Education, Office of Vocational and Adult Education, Division of Academic and Technical Education, 550 12th Street, SW, Washington, District of Columbia 20202 Email: sharon.miller@ed.gov Phone: (202) 245-7846.

Website Address:

<http://www.ed.gov/about/offices/list/ovae/pi/cte/tphome.html>.

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States; 84.051 Career and Technical Education -- National Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: See FY 2008. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Eligible agencies qualify for funding based upon their State plans and annual revisions approved under Perkins IV, section 122.

84.245 TRIBALLY CONTROLLED POSTSECONDARY CAREER AND TECHNICAL INSTITUTIONS**FEDERAL AGENCY:**

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 117, 20 U.S.C 2327.

OBJECTIVES:

To make grants to tribally controlled postsecondary career and technical institutions to provide career and technical education services and basic support for the education and training of Indian students.

TYPES OF ASSISTANCE:

Project Grants; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds may be used to train teachers, purchase equipment, provide instructional services, and provide institutional support. This program is subject to non-supplanting requirements. However, the statute authorizes grantee institutions not to use a restricted indirect cost rate (20 U.S.C. 2327(c)(3)). For assistance call the Office of the Chief Financial Officer/Indirect Cost Group

(202) 708-7770.

Applicant Eligibility:

A tribally controlled postsecondary career and technical institution that: (1) Is formally controlled, or has been formally sanctioned or chartered, by the governing body of an Indian tribe or Indian tribes; (2) offers a technical degree or certificate granting program; (3) is governed by a board of directors or trustees, a majority of whom are Indians; (4) demonstrates adherence to stated goals, a philosophy, or a plan of operation, that fosters individual Indian economic and self-sufficient opportunity, including programs that are appropriate to stated tribal goals of developing individual entrepreneurship and self-sustaining economic infrastructures on reservations; (5) has been in operation for at least 3 years; (6) holds accreditation with or is a candidate for accreditation by a nationally recognized accrediting authority for postsecondary vocational and technical education; (7) enrolls the full-time equivalent of not less than 100 students, of whom a majority are Indians; and (8) receives no Federal funds under the Tribally Controlled College or University Act of 1978 or the Navajo Community College Act may apply.

Beneficiary Eligibility:

Indian students and tribally controlled postsecondary career and technical institutions not receiving Federal funds under the Tribally Controlled College or University Act of 1978 or the Navajo Community College Act may benefit.

Credentials/Documentation:

Signature by authorized designated official on the application is required. Allowability of costs is determined in accordance with OMB Circular No. A-21 "Cost Principles for Educational Institutions.". This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must be prepared and submitted to the Department of Education in accordance with program announcements and established deadlines as published in the Federal Register.

Award Procedure:

Applications are reviewed in accordance with Federal Register program notices inviting applications and the regulations in 34 CFR Part 75.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

The statute authorizing this program provides applicants with a statutory complaint resolution procedure for grant determinations and calculations (20 U.S.C. 23279(g)).

Renewals:

Continuation awards are made to grantees contingent upon the availability of appropriations and subject to grantees submitting acceptable performance reports, in accordance with 34 CFR 75.253.

Formula and Matching Requirements:

Statutory Formula: Title 20 U.S.C. 2327(c)(1). This program has a statutory formula that is triggered in any year in which the sums appropriated for the program are not sufficient to pay in full the total amount that approved applicants are eligible to receive. (20 U.S.C. 2327(c)(1)). This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The total project period may not exceed 5 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit financial status, performance, and expenditure reports, as required by the Department of Education General Administrative Regulations, 34 CFR 75.720. Specific reporting requirements are discussed in the program's Federal Register program announcements and in the award document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. The Department monitors grantee performance under this program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0201-0-1-501.

Obligations:

(Project Grants) FY 09 \$7,773,000; FY 10 est \$8,162,000; FY 11 est \$8,162,000

Range and Average of Financial Assistance:

Estimated range: \$3,000,000-\$4,000,000; Estimated average: \$3,683,000; Actual range: \$2,878,730-\$4,483,270; Actual average: \$3,681,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74, 75, 77, 80, 81, 82, 84, 85, 86, 97, 98 and 99 and the Tribally Controlled Postsecondary Career and Technical Institutions Program Federal Register notice inviting applications of May 15, 2007 (72 FR 27297) and Application Package.

Regional or Local Office:

None.

Headquarters Office:

Nancy Essey, Department of Education, OVAE Division of Adult and Technical Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: nancy.essey@ed.gov Phone: (202) 245-7789.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Same as FY 2008. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria were published in the notice inviting applications published in the Federal Register on May 15, 2007. (72 FR 27297).

84.246 REHABILITATION SHORT-TERM TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support special seminars, institutes, workshops, and other short-term courses in technical matters relating to the delivery of vocational, medical, social, and

psychological services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational rehabilitation and independent living of individuals with disabilities, such as rehabilitation disciplines and program areas supported under the Rehabilitation Training program. Conferences and meetings where training is not the primary focus may not be supported under this program.

Applicant Eligibility:

State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations, including institutions of higher education may apply.

Beneficiary Eligibility:

Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit. Projects under this program are designed to provide short-term training and technical instruction in areas of special significance to the delivery of vocational, medical, social, and psychological rehabilitation services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. OMB Circular No. A-21 for educational institutions, and OMB No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.246.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is from 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine adequate performance.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects are generally supported for one to five years. Funds are granted on a twelve month basis. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by the grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years.

Records:

As required by the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$750,000; FY 10 est \$750,000; FY 11 est \$750,000

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Part 385 and 34 CFR Part 390.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.263 Rehabilitation Training_Experimental and Innovative Training; 84.264 Rehabilitation Training_Continuing Education; 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Quality of key personnel, plan of operation, budget and cost-effectiveness, adequacy of resources, evidence of need, relevance to the State/Federal rehabilitation service program, and nature and scope of training program content are included in the selection criteria.

84.250 REHABILITATION SERVICES_AMERICAN INDIANS WITH DISABILITIES**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Part C, Section 121.

OBJECTIVES:

To provide vocational rehabilitation services to American Indians with disabilities that reside on or near Federal or State reservations, consistent with their individual strengths, resources, priorities, concerns, abilities, capabilities, and informed choice, so that they may prepare for and engage in gainful employment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Projects provide financial assistance to the governing bodies (and consortia of such governing bodies) of Federal or State Recognized Tribes for the establishment and operation of vocational rehabilitation services projects for American Indians with disabilities residing on or near tribal reservations.

Applicant Eligibility:

Governing bodies of Indian tribes or consortia of those governing bodies located on or near Federal and State reservations may apply.

Beneficiary Eligibility:

American Indians with disabilities residing on or near a Federal or State reservation who meet the definition of an individual with a disability in Section 7 (8) (A) of the Rehabilitation Act.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80, apply to this program. Applications should be submitted to the Department e-Grants Website or to the Department of Education, Application Control Center, 400 Maryland Ave. S.W., Washington, DC 20202-4725, according to instructions specified in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department. In making grants, the Department gives priority consideration to applications for the continuation of programs which have been funded under the program. The Commissioner of the Rehabilitation Services Administration approves continuation awards for existing projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Projects with multi-year project periods may be continued based on review of the annual performance report and in accordance with 34 CFR Part 75-253 and the availability of adequate funds.

Formula and Matching Requirements:

Statutory Formula: The amount of funds available annually for this program is reserved from the amount appropriated for the State VR program in an amount not less than 1 percent and not more than 1.5 percent under section 110(c)(1) of the Rehabilitation Act.

Matching Requirements: Percent: 10%. Projects are required to contribute ten percent of the cost of the project in cash or in-kind, unless the Commissioner of RSA waives the matching requirement under 34 CFR 371.40(b) if the applicant demonstrates that it does not have sufficient resources to contribute the

non-Federal share of the cost of the program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project support is available for up to 60-months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial reports and progress reports must be submitted as prescribed by grant award terms and conditions. Cash reports are not applicable. Progress reports: Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR Part 80.40 and 80.41. Expenditure reports are not applicable. Performance monitoring: Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Case records are for individual program participants. Fiscal records must be kept for audit purposes. Retention of records is required for three years.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$36,113,000; FY 10 est \$37,449,000; FY 11 est \$37,449,000

Range and Average of Financial Assistance:

Range of awards in FY 2009 is \$286,000 to \$2,000,000; Average award: \$463,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: About 5,800 individual received service under this program and about 1,694 individuals exited the program after achieving an employment outcome. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR 75, 77, 80, 81, 82, 84, 85, and 97. Vocational Rehabilitations Service Projects regulations 34 CFR 369 and Vocational Rehabilitations Services Projects for American Indians with Disabilities regulations 34 CFR 371.

Regional or Local Office:

None.

Headquarters Office:

Alfreda Reeves, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: alfreda.reeves@ed.gov Phone: (202) 245-7485.

Website Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The availability of funds is published in the Federal Register. Applications for discretionary grants are subject to peer review procedures by Federal and nonfederal experts that lead to recommendations for approval or disapproval. The selection criteria for this competition are from 34 CFR 75.210 of EDGAR and are listed in the application package. Selection criteria include extent of need for the project, significance, quality of the project design, quality of the

project services, quality of project personnel, adequacy of resources, and quality of the management plan.

84.256 TERRITORIES AND FREELY ASSOCIATED STATES EDUCATION GRANT PROGRAM

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part A, Section 1121 (a), (b) and (c).

OBJECTIVES:

To support activities consistent with the purposes of the Elementary and Secondary Education Act, including teacher training, curriculum development, instructional materials or general school improvement and school reform, and direct educational services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for activities consistent with the purposes of the Elementary and Secondary Education Act.

Applicant Eligibility:

Local educational agencies (LEAs) in the Republic of Palau and in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Beneficiary Eligibility:

LEAs in the Republic of Palau and in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Provided by the Pacific Resources for Education and Learning (PREL). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Section 1121 of Title I requires that the Department reserve up to \$5 million from Title I Grants to LEA funds each fiscal year for competitive grants to local educational agencies in the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands), and the Republic of Palau. Of this amount, the Secretary may reserve not more than 5 percent to pay the administrative costs of the Pacific Resources for Education and Learning (PREL) regarding activities assisted under this program. PREL conducts the award competition and makes recommendations to the Secretary regarding the award of grants.

Award Procedure:

Applications for continuations are submitted to the Department of Education, Office of Elementary and Secondary Education, School Support and Technology Programs, Washington, DC. PREL conducts the competition for grants and submits recommendations of applications for funding to the Secretary of Education. The Secretary awards grants taking into consideration the recommendations of PREL.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Continuation awards of 12 months each may be made for a total of 36 months. Continuations are contingent upon evidence of satisfactory progress toward goals and objectives and subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to 36 months with annual continuations. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Single Audit Act applies.

Records:

Records must be maintained as necessary for audit and for three years after the program ends.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$3,694,491; FY 10 est \$3,701,828; FY 11 est \$5,000,000 - Funds are provided on a multi-year basis and, for this program, awards are made in the fiscal year following the appropriation, i.e., the FY10 appropriation is used for awards in FY11.

Range and Average of Financial Assistance:

to be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Contact the PREL office.

Headquarters Office:

Valerie Rogers, School Support and Technology Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., LBJ Bldg, Room 3W241, Washington, District of Columbia 20202 Email: Valerie.rogers@ed.gov Phone: (202) 260-2543.

Website Address:

<http://www.ed.gov/programs/TFSEGP/index.html> .

RELATED PROGRAMS:

84.402 Consolidated Grants to the Outlying Areas, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed in accordance with criteria in the application package.

84.258 EVEN START_INDIAN TRIBES AND TRIBAL ORGANIZATIONS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part B, Subpart 3.

OBJECTIVES:

To help break the cycle of poverty and illiteracy and improve the educational opportunities of low-income families through family literacy programs that integrate early childhood education, adult basic education, and parenting education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used for family literacy activities such as recruitment and screening of children and parents, design of programs, instruction for children and parents, staff training, support services, evaluation, and coordination with other programs. Indirect costs are not an allowable project cost unless a waiver is obtained from the Secretary of Education.

Applicant Eligibility:

Federally recognized Indian tribes and tribal organizations as defined in the Indian Self-Determination and Education Assistance Act, Section 4, may apply.

Beneficiary Eligibility:

Parents eligible for participation under the Adult Education Act, and their children from birth to seven years old will benefit. Families must be in need of Even Start Services, as indicated by a low income level and a low level of adult literacy or English language proficiency, or other need-related indicators.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications from Federally recognized Indian tribes and tribal organizations must be submitted in accordance with the regulations, instructions, and forms included in the grant application package.

Award Procedure:

The Department of Education notifies successful applicants of awards. Actual negotiation and awarding of grants is done by the Department's Grant and Contracts Service in cooperation with program staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Grants are for up to 4 years with annual renewals subject to the approval of the Department of Education and the availability of funds. Applicants may reapply at the end of the grant period.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: The Federal share of the cost of a subgrant project is not more than 90 percent in the first year, 80 percent in the second year, 70 percent in the third year, 60 percent in the fourth year, and 50 percent in any subsequent year.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may not exceed 4 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required. Cash reports are not applicable.

Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administration Regulations in 34 CFR 80, State and local Governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80. For this purpose, the term "State" includes any Indian tribe.

Records:

In accordance with the Education Department General Administrative Regulations (EDGAR), certain project records must be retained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$782,759; FY 10 est \$996,810; FY 11 est \$750,000

Range and Average of Financial Assistance:

Average: \$195,690; Range: \$105,000-\$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 75, 77, 80, 82, and 85. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Amber Sheker, Department of Education, OESE Academic Improvement and Teacher Quality, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: amber.sheker@ed.gov Phone: (202) 205-0653.

Website Address:

<http://www.ed.gov/programs/evenstartindian/index.html> .

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States; 84.010 Title I Grants to Local Educational Agencies; 84.011 Migrant Education_State Grant Program; 84.213 Even Start_State Educational Agencies; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria in the Education Department General Administrative Regulations (EDGAR), 34 CFR 75.209 and 75.210 are used to evaluate applications.

84.259 NATIVE HAWAIIAN CAREER AND TECHNICAL EDUCATION FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Carl D. Perkins Career and Technical Education Act of 2006, Section 116(h), 20 U.S.C 2326(h).

OBJECTIVES:

To make grants to organizations primarily serving and representing Native Hawaiians for programs or portions of programs authorized by, and consistent with, the Carl D. Perkins Career and Technical Education Act of 2006.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

To provide career and technical education opportunities for Native Hawaiians. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, in accordance with 34 CFR 76.563. For assistance with restricted indirect cost rates call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

Community-based organizations primarily serving and representing Native Hawaiians may apply.

Beneficiary Eligibility:

Native Hawaiians individuals will benefit.

Credentials/Documentation:

Allowability of costs is determined in accordance with OMB Circular No. A-122 "Cost Principles for Non-Profit Organizations," which applies to nonprofit grantees. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with program announcements which are published in the Federal Register.

Award Procedure:

The Department reviews each application using the selection criteria in the program notice published in the Federal Register, in accordance with 34 CFR Part 75, and sends the Notification of Grant Award to each recipient selected for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 to 150 days after deadline for transmitting applications.

Appeals:

Not Applicable.

Renewals:

Continuation awards may be made, subject to acceptable performance reports and the availability of appropriations. Maximum award period is 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The total project period may not exceed 3 years. Renewals are subject to the availability of funds and grantee performance. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specific reporting requirements are contained in 34 CFR parts 74 and 75 and in the grant award documents. Reports are required annually. Reports are required annually. Reports are required annually. Reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years from the time that work carried out under the project is completed.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 09 \$2,902,000; FY 10 est \$2,902,000; FY 11 est \$2,902,000

Range and Average of Financial Assistance:

Estimated range: \$250,000-\$500,000; Estimated average: \$289,827; Actual range: \$258,219-\$513,638; Actual average: \$362,220.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Parts 74 and 75 and program Federal Register notices.

Regional or Local Office:

None.

Headquarters Office:

Nancy Essey, Department of Education, OVAE Division of Academic and Technical Education 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: nancy.essey@ed.gov Phone: (202) 245-7789.

Website Address:

<http://www2.ed.gov/about/offices/list/ovae/programs.html>

RELATED PROGRAMS:

84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following criteria are used in reviewing applications. A segment or segments of an application should address each criterion. Points are awarded to the extent that evidence in the application satisfies each criterion: (A) Quality of project design; (B) quality of management plan; (C) quality of data collection plan; (D) quality of project personnel plan; and (E) adequacy of resources, and (F) quality of project evaluation.

84.263 REHABILITATION TRAINING_EXPERIMENTAL AND INNOVATIVE TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

This program is designed to: (a) Develop new types of training programs for rehabilitation personnel and to demonstrate the effectiveness of these new types of training programs for rehabilitation personnel in providing rehabilitation services to individuals with disabilities; and (b) develop new and improved methods of training rehabilitation personnel so that there may be a more effective delivery of rehabilitation services by State and other rehabilitation agencies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are provided in fields directly related to the vocational and independent living rehabilitation of individuals with disabilities.

Applicant Eligibility:

State vocational rehabilitation agencies, including Territories and other public or nonprofit agencies and organizations (including institutions of higher education) may apply.

Beneficiary Eligibility:

Individuals preparing for or employed in positions relating to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

An application should show evidence of current accreditation by or seeking of accreditation from the designated accreditation agency in the respective professional field. OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.263.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of applications by staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Grantees are required to share in the costs of projects with the proportion subject to individual negotiation. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may generally be supported for a maximum of 5 years. Funds are granted on a 12-month basis. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years.

Records:

Proper records must be maintained as required by the Education Department General Administrative Regulations (EDGAR) or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$500,000; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 387.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.264 Rehabilitation Training_Continuing Education; 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects are in the application notice. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 387.

84.264 REHABILITATION TRAINING_CONTINUING EDUCATION

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title III, Section 302.

OBJECTIVES:

To support training centers that serve either a Federal region or another geographical area and provide for a broad integrated sequence of training activities that focus on meeting recurrent and common training needs of employed rehabilitation personnel throughout a multi-State geographical area.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are limited to support of continuing education programs for personnel such as rehabilitation counselors, administrators, independent living

specialists, audiologists, rehabilitation teachers for individuals who are blind, rehabilitation technology specialists who provide vocational, independent living, and client assistance services to individuals with disabilities.

Applicant Eligibility:

Institutions of higher education, State vocational rehabilitation agencies (including territories), and other public or nonprofit agencies and organizations.

Beneficiary Eligibility:

Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations.. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attn: 84.264.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to share in the cost of projects with the proportion subject to individual negotiation.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects may be supported for a maximum of 5 years, 12-month budget periods. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133.

Records:

Proper records must be maintained as required by the Education Department General Administrative Regulations (EDGAR) or until all audit questions are resolved.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$8,300,000; FY 10 est \$8,224,550; FY 11 est \$8,224,550

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 389.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.263 Rehabilitation Training_Experimental and Innovative Training; 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program and priorities for projects in the application notice are considered. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 389.

84.265 REHABILITATION TRAINING STATE VOCATIONAL REHABILITATION UNIT IN-SERVICE TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Executive Order Title III, Section 302(g)(3).

OBJECTIVES:

This program is designed to support special projects for training State vocational rehabilitation unit personnel in program areas essential to the effective management of the unit's program of vocational rehabilitation services or in skill areas that enable personnel to improve their abilities to provide

vocational rehabilitation services to individuals with disabilities. Projects are designed to: (1) address recruitment and retention of qualified rehabilitation professionals; (2) provide for succession planning; (3) provide for leadership development and capacity building; and (4) provide training on the Rehabilitation Act of 1973, as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants may only be made to agencies designated to administer the State vocational rehabilitation program. Fifteen percent of the funds appropriated for the Rehabilitation Services Administration Training program must be allocated for In-Service Training.

Applicant Eligibility:

State vocational rehabilitation agencies, including territories, may apply.

Beneficiary Eligibility:

Individuals employed by designated State rehabilitation agencies will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control center, 400 Maryland Avenue, S.W., Washington, DC 20200-4725. Attn: 84.265.

Award Procedure:

Grants are awarded by the Rehabilitation Services Administration. New awards are made based upon the results of a peer review process and the review and approval of staff in various offices within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 160 days.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees with Basic Awards over the minimum allotment are required to match ten percent of the cost of projects. Grantees with Basic Awards at the minimum allotment must match 4%.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may generally be supported for a maximum of 5 years, with 12-month budget periods. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years.

Records:

As required by the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 75, 77, 79, 80, 81, 82, and 85.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$5,664,900; FY 10 est \$5,664,900; FY 11 est \$5,664,900

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Parts 385 and 388.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States; 84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.263 Rehabilitation Training_Experimental and Innovative Training; 84.264 Rehabilitation Training_Continuing Education; 84.275 Rehabilitation Training_General Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The relevance of the content of the training to the mission of the public rehabilitation program is considered. Priorities for certain projects are stated in the application notice. Criteria for evaluating applications are published in program regulations 34 CFR Parts 385 and 388.

84.268 FEDERAL DIRECT STUDENT LOANS

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part D.

OBJECTIVES:

To provide loan capital directly from the Federal government (rather than

through private lenders) to vocational, undergraduate, and graduate postsecondary school students and their parents.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

To help defray costs of education at a participating school. Repayment on Federal Stafford loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS loans generally begins within 60 days of disbursement of the last installment; repayment of a Consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

The applicant must be a U.S. citizen, national, or person in the United States for other than a temporary purpose. A student borrower must be enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary school. An otherwise eligible student is eligible for loans during a single twelve-month period in which the student is enrolled in a non-degree/non-certificate course of study that the school has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Direct PLUS program, parents may borrow for dependent students. Also, graduate and professional students are eligible to borrow PLUS Loans. Direct Unsubsidized and PLUS loans are non-need based programs. In order to receive a Direct Subsidized Loan, all borrowers must undergo a financial need analysis to determine eligibility for Federal interest. A student that is presently enrolled at a participating institution must be maintaining satisfactory academic progress in the course of study that they are pursuing. The borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any school. The borrower must also file a Statement of Registration compliance (Selective Service). Borrowers that are unable to obtain consolidation loans from lenders under FFEL or who are unable to obtain a consolidation loan with income sensitive repayment terms acceptable to the borrower from a lender, shall be eligible for a Direct Consolidation Loan. Married couples may consolidate eligible loans.

Beneficiary Eligibility:

Vocational, undergraduate, and graduate postsecondary school students and their parents.

Credentials/Documentation:

The borrower will need certification of eligibility from the school and may be required to supply documentation to verify the accuracy of data used in the Direct Subsidized loan need analysis. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for the Direct Subsidized and Unsubsidized loans are obtained from the school. Direct consolidation loan applications are obtained from the Department of Education. To obtain a Direct Subsidized or Unsubsidized loan, a student shall complete and submit a Free Application for Federal Student Aid (FAFSA). All applications (with the exception of PLUS) for Direct Loans are processed by the Central Processor System (CPS) in the initial step to determine a student's Title IV eligibility. Schools will receive official output from the CPS, and then will be able to assemble a financial aid award package. A school participating in the Direct Loan program may award Direct Loans as part of the financial aid package. If a Direct Loan is not included in the aid package, a student may contact the school to request loan information.

Award Procedure:

Schools participate in the Direct Loan program either by originating loans or by using an alternative originator to originate loans. The school must certify the

borrower's eligibility to receive the funds. To obtain a Direct PLUS Loan, the parent completes an application and authorizes a credit check. If the parent does not have an adverse credit history the loan may be disbursed. Schools may disburse funds by crediting them directly to the student's account at the school, issuing a check or cash, or by initiating an electronic fund transfer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of school. The interest rate on new Stafford and Unsubsidized Stafford Loans starting July 1, 2006 is fixed at 6.8 percent. The College Cost Reduction and Access Act (CCRAA) of 2007 authorized phased reductions to the interest rates for Subsidized Stafford loans borrowed by undergraduates with the rate cut in half to 3.4 percent on July 1, 2011. There are four repayment plans that are available for Direct Loan borrowers: (1) A standard repayment plan with a fixed monthly payment amount over a period of up to 10 years; (2) an extended repayment plan with a fixed monthly payment amount paid over a period of 12 to 30 years, depending on the amount owed; (3) a graduated repayment plan with a monthly payment that will increase generally every 2 years. The repayment period varies from 12 to 30 years, depending on the amount owed; and (4) except for Direct PLUS Loan borrowers, an income contingent repayment (ICR) plan with a monthly payment that is adjusted annually based on the income of the borrower and paid over an extended period of time not to exceed 25 years. Any unpaid balance remaining after 25 years in the ICR plan is forgiven by the Secretary of Education. However, under current IRS rules, the amount written off is considered taxable income to the borrower in the year it is written off. A legislative change removing this taxable treatment has been sought. CCRAA created a new income-based repayment plan for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income. Any outstanding balance would be forgiven after 25 years of repayment in the income-based repayment option. CCRAA also created a new loan forgiveness program for Direct Loan borrowers who work in public service during a 10-year period while they are repaying their loans. If these borrowers during repayment have an outstanding loan balance after 10 years of working in public service, the Federal Government will forgive the remaining balance on behalf of the borrower. Public service may include a variety of public disciplines such as education, health, law, military service and public safety. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The Department will produce a variety of management information system accounting, and financial management reports. Schools must submit all reports required by 34 CFR 685 and 34 CFR 668. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Schools are subject to examination and program review by the Department of Education. Program reviews are performed on a fiscal year basis.

Records:

Schools must maintain proper administrative and fiscal procedures and all necessary records as set forth in the regulations in 34 CFR 685 and 34 CFR

668. Schools must keep all records involved in any loan, claim, or expenditure questioned by a Federal audit until resolution of any audit questions. In addition to records required by 34 CFR 668, for each Direct Loan received under 34 CFR 685 by or on behalf of this student, a school shall maintain a copy of the loan application and data electronically submitted to the Secretary.

Account Identification:

91-0243-0-1-502.

Obligations:

(Direct Loans) FY 09 \$29,739,000,000; FY 10 est \$73,529,000,000; FY 11 est \$116,394,000,000 - (Figures represent Gross Loan volume, without Consolidations). (Direct Loans) FY 09 \$12,523,000,000; FY 10 est \$14,830,000,000; FY 11 est \$17,565,000,000 - Figures represent DL Gross Consolidation volume.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Student Loan Reform Act of 1993, Public Law 103-66, 34 CFR 685.

Regional or Local Office:

See Regional Agency Offices. See the list of Regional Offices listed in Appendix 5 of the FFEL Catalog.

Headquarters Office:

Federal Student Aid Information Center Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: 800-433-3243

Website Address:

<http://www.ifap.ed.gov/>.

RELATED PROGRAMS:

84.032 Federal Family Education Loans; 84.063 Federal Pell Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.269 INSTITUTE FOR INTERNATIONAL PUBLIC POLICY

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VI, Part C.

OBJECTIVES:

To establish an Institute for International Public Policy. This Institute shall conduct a program to enhance the international competitiveness of the United States by increasing the participation of underrepresented populations in the international service, including private international voluntary organizations and the foreign service of the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to support: (1) a sophomore-year summer policy institute; (2) a junior-year abroad program; (3) a junior-year summer policy institute; (4) internships--junior year, senior year, and postbaccalaureate; (5) a senior language institute; (6) an advanced degree program in international affairs; and (7) institutional grants to strengthen undergraduate international affairs programs at selected campuses.

Applicant Eligibility:

A consortium consisting of one or more of the following entities: An institution eligible for assistance under Part B of Title III of the Higher Education Act of 1965, as amended; an institution of higher education that serves substantial

numbers of African Americans or other underrepresented minority students and an institution of higher education with programs in training foreign service professionals.

Beneficiary Eligibility:

Institutions of higher education and minority students will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Technical assistance in preparing applications is available from the agency information contact listed below. An informal preapplication conference with the agency information contact is recommended.

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible consortia may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the International Studies Team of the International Education Programs Service (IEPS) at the Department of Education.

Award Procedure:

Final recommendations are made to the Secretary following advice from a panel of consultants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 120 days.

Appeals:

Not Applicable.

Renewals:

The project period may last up to 60 months. Applicants must receive annual approval for renewals.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. The Federal share for each fiscal year shall not exceed 50 percent of the cost of each project.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A grant is awarded for a 60 month period; subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The Institute shall prepare a report once every two years on the activities of the Institute and shall submit it to the Secretary of Education and the Secretary of State. Interim performance reports required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audit requirements as described in 34 CFR 74.26, to not apply to this program. All fiscal records will be subject to audit by the Department of Education at any

time within 3 years after expiration of the grant.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) For 3 years after the close of the fiscal year that the grant expired; or (2) until grantee is notified that the fiscal audit has closed, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$1,837,000; FY 10 est \$1,945,000; FY 11 est \$1,945,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are available at 34 CFR 655 and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Tanyelle Richardson, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K. Street, NW, 6th Floor, Washington, District of Columbia 20006 Email: tanyelle.richardson@ed.gov Phone: (202) 502-7626.

Website Address:

<http://www.ed.gov/programs/iegpsiipp/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.018 Overseas Programs_Special Bilateral Projects; 84.019 Overseas Programs - Faculty Research Abroad; 84.021 Overseas Programs - Group Projects Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.153 Business and International Education Projects; 84.220 Centers for International Business Education; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part C of the Higher Education Act of 1965, as amended.

84.274 AMERICAN OVERSEAS RESEARCH CENTERS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title VI, Part A, Section 609.

OBJECTIVES:

To enable American overseas research centers, that are consortia of higher education institutions, to promote postgraduate research, exchanges, and area studies.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants may be used to pay all or a portion of the cost of establishing or operating a center or program, including the cost of faculty and staff stipends and salaries; faculty, staff, and student travel; the operation and maintenance of overseas facilities; the cost of teaching and research materials; the cost of bringing visiting scholars and faculty to a center to teach or to conduct research; the cost of organizing and managing conferences; and the cost of publishing and disseminating materials for the scholarly and general public.

Applicant Eligibility:

The Secretary shall only award grants to centers that: (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code.

Beneficiary Eligibility:

Consortia of institutions of higher education that (1) receive more than 50 percent of their funding from public or private United States sources; (2) have a permanent presence in the country in which the center is located; and (3) are organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986 which are exempt from taxation under Section 501(a) of the Code will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible centers may submit an application for funding when a new competition is announced in the Federal Register. Application forms and guidelines for the submission of applications are available from the Office of International Education Programs Service at the Department of Education.

Award Procedure:

Applications are evaluated by peer review panels with area studies expertise. Funding recommendations are made to the Secretary based on the outcome of the peer review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval time is approximately 90 days.

Appeals:

Not Applicable.

Renewals:

Centers selected to receive funds for the 4-year project period must submit annual performance reports for continuation of support. Continuation grant awards are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for 12-month periods for a total project period of up to 48 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Funded centers submit annual performance reports through the International

Resource Information System. The annual performance reports provide narratives about the status of project activities, including evaluation efforts, as well as the centers progress toward achieving the objectives for the reporting period. The annual reports also provide information about budget expenditures during the reporting period. A final performance report is due 90 days after the end date of the final budget period of the project. Financial status reports are not required. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

All records supporting claims under grants or relating to accountability for awarded funds must be available on request: (1) for 3 years after the close of the fiscal year that the grant expired; or (2) until the grantee is notified that the fiscal audit has closed, whichever is later.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$1,197,122; FY 10 est \$1,197,122; FY 11 est \$1,197,122

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Eleven continuation awards. Fiscal Year 2010: Eleven continuation awards. Fiscal Year 2011: Competition for new awards anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is governed by the authorizing legislation and the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79 through 82, 85, 86, 97, 98, and 99. FY 2011 application guidelines will be announced in the Federal Register. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Cheryl Gibbs, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K Street, N.W., Washington, District of Columbia 20006 Email: Cheryl.Gibbs@ed.gov Phone: (202) 502-7634.

Website Address:

<http://www.ed.gov/programs/iegpsaorc/>.

RELATED PROGRAMS:

84.015 National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program; 84.016 Undergraduate International Studies and Foreign Language Programs; 84.017 International Research and Studies; 84.019 Overseas Programs - Faculty Research Abroad; 84.022 Overseas Programs - Doctoral Dissertation Research Abroad; 84.153 Business and International Education Projects; 84.220 Centers for International Business Education; 84.229 Language Resource Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package or in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part A, Section 609 of the Higher Education Act of 1965, as amended.

84.275 REHABILITATION TRAINING_GENERAL TRAINING

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, III U.S.C 302.

OBJECTIVES:

To ensure that skilled personnel are available to provide rehabilitation services to individuals with disabilities through: (1) Vocational, medical, social, and psychological rehabilitation programs; (2) supported employment programs; and (3) independent living client assistance programs. To support projects that: (1) Maintain and upgrade basic skills and knowledge of personnel employed; and (2) increase the numbers of qualified personnel trained in providing rehabilitation services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are limited to support of education programs for personnel such as rehabilitation counselors, administrators, independent living specialists, audiologists, rehabilitation teachers for individuals who are blind, rehabilitation technology specialists who provide vocational, independent living, and client assistance services to individuals with disabilities.

Applicant Eligibility:

State vocational rehabilitation agencies, and other public or nonprofit agencies and organizations, including institutions of higher education may apply.

Beneficiary Eligibility:

Individuals employed in positions related to the rehabilitation of individuals with disabilities will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular No. A-21 for educational institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80 apply to this program. New applications are made to the Department of Education, Application Control Center, 400 Maryland Avenue, S.W., Washington, DC 20202-4725. Attention: 84.275.

Award Procedure:

Applications are reviewed by panels of field readers. Each panel will generally include two or more nonfederal members with experience and training in the proposed area of training.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 160 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years; with 12-month budget period. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$300,000; FY 10 est \$300,000; FY 11 est \$300,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations can be found in 34 CFR Part 385.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/offices/OSERS/RSA>.

RELATED PROGRAMS:

84.129 Rehabilitation Long-Term Training; 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind; 84.235 Rehabilitation Services Demonstration and Training Programs; 84.246 Rehabilitation Short-Term Training; 84.263 Rehabilitation Training_Experimental and Innovative Training; 84.264 Rehabilitation Training_Continuing Education; 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in application notices. Contact the Program Office for this information.

84.282 CHARTER SCHOOLS

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V Part B, Subpart 1.

OBJECTIVES:

The program supports the planning, development, and initial implementation of charter schools and the dissemination of information on charter schools.

Charter schools provide enhanced parental choice and are exempt from many statutory and regulatory requirements. In exchange for this increased flexibility, charter schools establish plans to improve student academic achievement and to stimulate the creativity and commitment of teachers, parents, and the public.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Each State educational agency (SEA) receiving a grant should award subgrants to one or more eligible applicants in their State, enabling them to plan and implement a charter school. Each eligible applicant, other than an SEA, receiving funds from the Secretary or an SEA shall use the funds to plan and implement a charter school. Grantees receive up to 3 years of assistance, of which they may not use more than 18 months for planning and program design and not more than 2 years for the initial implementation of a charter school. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202)708-7770.

Applicant Eligibility:

State educational agencies (SEAs) with specific statutes authorizing charter schools are eligible. If an eligible SEA elects not to participate in this program or does not have an approved application, authorized public chartering agencies participating in partnerships with charter school developers may apply, directly to the Secretary.

Beneficiary Eligibility:

School administrators, teachers, and students and their parents are beneficiaries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Contact the program office for application information. Application notices are published in the Federal Register.

Award Procedure:

Contact the program office for this information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

To receive continuation funding, grantees must demonstrate substantial progress toward meeting their goals and objectives. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than 3 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As part of the continuation funding process, grantees must submit performance reports annually. The programs office will establish a due date. Final performance reports must be submitted within 90 days of the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$256,031,000; FY 10 est \$216,031,000; FY 11 est \$310,000,000

Range and Average of Financial Assistance:

Range of awards: \$500,000 - \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Scott Pearson, Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., SW, Room 4W314, Washington, District of Columbia 20202 Email: scott.pearson@ed.gov Phone: (202) 401-8532.

Website Address:

<http://www.ed.gov/programs/charter/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in program statute. Contact the program office for more information.

84.283 COMPREHENSIVE CENTERS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Education Technical Assistance Act of 2002, Section 203.

OBJECTIVES:

This program supports 21 comprehensive centers that provide training and technical assistance, and professional development in reading, mathematics, and technology, primarily to State educational agencies. By statute, the Department is required to establish at least one center in each of the 10 geographic regions served by the Department's regional education laboratories.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Comprehensive Centers consist of 21 centers, including 16 regional centers and 5 content centers. Grantees are required to develop five-year plans for carrying out authorized activities that address State and regional needs. The 16 regional centers provide services primarily to State educational agencies to enable them to assist school districts, regional education agencies, and schools, especially low-performing schools. Each regional center provides training and technical assistance in the implementation and administration of programs authorized under the Elementary and Secondary Education Act and the use of scientifically valid teaching methods and assessment tools in mathematics, science, reading and language arts. The 5 content centers focus on specific areas, with one center in each of five areas: accountability, instruction, teacher quality, innovation and improvement, and high schools. These centers supply much of the research-based information and products in the specific area that regional centers use when working with States.

Applicant Eligibility:

Research organizations, institutions, agencies, institutions of higher education (IHEs), or partnerships among such entities, or individuals that have the expertise to provide training to States, school districts, and schools may apply.

Beneficiary Eligibility:

Agencies supporting or providing elementary and secondary education will benefit, including state and local educational agencies, schools, Indian tribes, community-based organizations, and other recipients of funds under ESEA.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications or proposals must be prepared and submitted in accordance with applicable program announcements.

Award Procedure:

After completion of competitive review of applications, the Department makes the final decision on the approval and funding of applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards are made for up to five years, subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be awarded for up to five years subject to the availability of funds and performance by the grantee. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Specified reporting requirements are included in the grant or cooperative agreement document. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As required by EDGAR, 34 CFR 74.

Records:

Records must be kept for three years after the completion of the activity that grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 09 \$57,113,000; FY 10 est \$56,313,000; FY 11 est \$56,313,000

Range and Average of Financial Assistance:

Range of awards in FY 10: \$909,357 - \$6,386,515; Average: \$2,681,571.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Department awarded a 6th year of funding to the current cohort of grantees in FY 10. The Department anticipates holding a new competition in FY 11. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations applicable to this program are in The Department of Education's General Administrative Regulations (34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 85, and 86).

Regional or Local Office:

None.

Headquarters Office:

Fran Walter Department of Education, OESE, School Support and Technology Programs, 400 Maryland Ave. S.W., Washington, District of Columbia 20202
Email: fran.walter@ed.gov Phone: (202) 205-9198

Website Address:

<http://www.ed.gov/programs/newccp/index.html>.

RELATED PROGRAMS:

84.004 Civil Rights Training and Advisory Services

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria include: (1) Meeting the purpose of the authorizing statute; (2) extent of need for the center; (3) plan of operation; (4) quality of key personnel; (5) budget and cost-effectiveness; (6) evaluation plan; and (7) adequacy of resources.

84.286 READY TO TEACH**FEDERAL AGENCY:**

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, Executive Order Title V, Part D,

Subpart 8, 20 U.S.C. 7257-7257d.

OBJECTIVES:

To carry out a national telecommunications-based program to improve teaching, and prepare students to achieve challenging academic standards, in core curriculum areas. The Ready to Teach program also supports digital educational programming grants that enable eligible entities to develop, produce, and distribute educational and instructional video programming.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Not more than five percent of the amount received under the grant may be used for administrative costs.

Applicant Eligibility:

Nonprofit telecommunications entities or a partnership of those entities, as defined in section 397(12) of the Communications Act of 1934, that can demonstrate a capacity for the development and distribution of educational instructional television programming of high quality.

Beneficiary Eligibility:

Elementary and secondary, students and school teachers who provide instruction in core curriculum areas (in the United States).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and instructions are available from the program office. Application deadlines and other information for applicants are published in the Federal Register.

Award Procedure:

Applicants are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtained approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Digital educational programming grants awarded under ESEA Sec. 5484 require a match of not less than 100 percent from non-federal sources. Grants awarded under ESEA Sec. 5481, to carry out a national telecommunications-based program, have no formula or matching requirements. Such matching funds may include funds provided for the

transition to digital broadcasting, as well as in-kind contributions.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Following a competitively selected 12-month award, up to two additional years may be awarded. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required for each budget period. Specific due dates are determined by the Department and forwarded to applicants accordingly. Final performance reports are due 90 days after expiration or termination of the award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for direct grant programs (34 CFR 75) the grantee shall maintain records and other evidence pertaining to all costs incurred, revenues, or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$10,700,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 and 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Not funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Sharon Harris, Department of Education, Office of Innovation and Improvement, Technology in Education Programs, 400 Maryland Ave. S.W., Room 4W216, Washington, District of Columbia 20202 Email: sharon.harris@ed.gov Phone: (202) 205-5880.

Website Address:

<http://www.ed.gov/programs/readyteach/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Program not funded in FY 2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department uses the selection criteria contained in the Education Department General Administrative Regulations (EDGAR) to evaluate applications to this program. The specific criteria to be used for a particular grant competition are selected from among these on 34 CFR 75, Section 75.210, and announced in the application package or the application notice in

the Federal Register.

84.287 TWENTY-FIRST CENTURY COMMUNITY LEARNING CENTERS

FEDERAL AGENCY:

Office of Educational Research and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title IV, Part B.

OBJECTIVES:

To create community learning centers that provide academic enrichment opportunities for children, particularly students who attend high-poverty and low-performing schools. The program is intended to help students meet state and local student standards in core academic subjects, such as reading and math; assist students a broad array of enrichment activities that should complement their regular academic programs; and offers literacy and other educational services to the families of participating children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Projects funded under this program must be for the purpose of meeting the needs of the residents of rural and inner-city communities, through the creation or expansion of community learning centers. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies are eligible for funds under this program.

Beneficiary Eligibility:

Residents of all ages within the communities served by the learning centers will benefit, with a focus on school-aged children.

Credentials/Documentation:

Contact program office. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States must apply for funds either through a program-specific application or by including the program in a consolidated State plan authorized under Title IX of the ESEA. Contact the headquarters office listed below for more information.

Award Procedure:

Contact the program office for this information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: ESEA Title IV, Part B.

Matching Requirements: Funds are allocated to States in proportion to each State's share of funds in the previous fiscal year under Part A of Title I of the ESEA, except that no State may receive less than 0.5 of 1 percent of the amount available to States.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Generally, SEAs are subject to the reporting requirements contained in Title IX of the ESEA consolidated state performance report section 9303(b). Annual progress and financial reports as required by EDGAR. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact Program Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the EDGAR, Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year.

Records:

As required by the provisions in EDGAR for direct grant programs. Records related to grant funds, compliance, and performance must be maintained for a period of 3 years after completion, subject to the exceptions listed in EDGAR 34 CFR 74.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 09 \$1,131,166,000; FY 10 est \$1,166,166,000; FY 11 est \$1,166,166,000

Range and Average of Financial Assistance:

Range (FY 10): \$5,542,713-\$130,889,513; Average (FY 10): \$21,318,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Approximately 814,000 students will attend 21st CCLC programs for 30 days or more. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Pilla Parker, Department of Education, OESE 21st-Century Community Learning Centers, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: pilla.parker@ed.gov Phone: (202) 260-3710.

Website Address:

<http://www.ed.gov/programs/21stcclc/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No current data available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information.

84.293 FOREIGN LANGUAGE ASSISTANCE

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part D, Subpart 9.

OBJECTIVES:

To support innovative model programs of foreign language study in public elementary and secondary schools.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects must be designed to support innovative model programs of foreign language study in elementary and secondary schools. Projects must show the promise of being continued beyond the grant period. Not less than three-fourths of the appropriation must be used for elementary-level projects. Beginning in FY08, Congress has appropriated funds specifically to award funds to partnerships of institutions of higher education (IHEs) along with local educational agencies (LEAs) to establish articulated programs of study in languages critical to the U.S. national security. Critical languages are defined as languages critical to United States national security and include Arabic, Chinese, Korean, Japanese, Russian, and languages in the Indic, Iranian, and Turkic language families. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564- 76.569. For assistance call the Office of the Chief Financial Officer/ Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State and local educational agencies may apply.

Beneficiary Eligibility:

Elementary and secondary school children benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To participate, an organization must have on file with the Secretary of Education, by the designated deadline, an application that complies with the statutory requirements. Contact the program office for specific application information.

Award Procedure:

Applications are approved for awards by the Director, Office of English Language Acquisition, Language Enhancement, and Academic Achievement For Limited English Proficient Students, based on evaluations and recommendations of outside experts and an administrative review of the applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on an annual review of the performance data and the

availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Subject to waiver. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for 3 to 5 years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Financial status and performance reports, as required by EDGAR, 34 CFR 75.720. Specific reporting requirements are contained in the grant documents. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain appropriate records related to grant funds, as required by EDGAR.

Account Identification:

18-1000-0-1-501.

Obligations:

(Project Grants) FY 09 \$26,328,000; FY 10 est \$26,928,000; FY 11 est \$26,928,000

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

No specific regulations have been developed. EDGAR, 34 CFR 75, 77, 80, 81, 85, 86, 97, 98, 99, and 299.

Regional or Local Office:

None.

Headquarters Office:

Rebecca Richey, Department of Education, OELA 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Rebecca.richey@ed.gov Phone: (202) 245-7133.

Website Address:

<http://www.ed.gov/programs/flap/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria include: need for the project, significance, and quality of project design. The Department does not plan to hold a competition in FY11.

84.295 READY-TO-LEARN TELEVISION

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part D, Subpart3, 20 U.S.C. 6775 A.

OBJECTIVES:

To facilitate student academic achievement by developing educational programming, with accompanying educational support materials, for preschool and early elementary school children and their families.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The program makes awards to support the development and distribution of educational video programming and related outreach materials. Administrative costs are limited by the authorizing statute. Entities receiving a grant, contract, or cooperative agreement from the Secretary may not use more than five percent of the amounts received for the normal and customary expenses of administering the grant.

Applicant Eligibility:

To be eligible to receive a grant, contract, or cooperative agreement under Subsection (a) an entity shall be a public telecommunications entity that can demonstrate a capacity to: (1) develop and disseminate educational and instructional television programming nationwide; (2) contract with the producers of children's television programming; (3) negotiate such contracts in a manner that returns an appropriate share of ancillary income from sales of program-related products; and (4) localize programming and materials to meet specific State and local needs.

Beneficiary Eligibility:

Programming is to be made widely available, with support materials as appropriate, to young children, their parents, child care workers, and Head Start and Even Start providers.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This procedure is described in the application notice published annually in the Federal Register. Contact the headquarters office listed below for application packages containing the announcement, application, and forms.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the administrative regulations governing the Evaluation of Applications for Grants and Cooperative Agreements (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant program (see 34 CFR 75.253). Generally, for multiple-year awards, continuation awards after the first budget period are made if: sufficient funds have been appropriated; the recipient has either made substantial progress in meeting the goals of the project or obtaining approval for changes in the project; the recipient has submitted all required reports; and continuation is in the best interest of the government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Following an initial award of 12 months, four additional 1-year, non-competing continuation awards may be made, for a total term of 5 years. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

The recipient must submit an annual report. At a minimum, the report must describe the program activities including: (1) Programming that has been developed directly or indirectly and the target population of the programs developed; (2) supporting materials that have been developed to accompany the programming and the method by which materials are distributed to consumers and users of the programming; (3) the means by which programming developed under this Section has been distributed, including the distinct learning technologies that have been utilized to make programming available and the geographic distribution achieved through such technologies; and (4) the initiatives undertaken to develop public or private partnerships to secure nonfederal support to develop and distribute the broadcasting of educational and instructional programming. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and ED regulations implementing OMB Circular No. A-133 (see 34 CFR 80).

Records:

As required by EDGAR for direct grant programs (34 CFR 75). The grantee shall maintain records and other evidence pertaining to all costs incurred, revenues, or other applicable credits acquired under its award as stated or contract terms and conditions. (34 CFR 75.721). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$25,382,800; FY 10 est \$27,248,000; FY 11 est \$27,300,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Three-quarters of RTL television programs were deemed by a review panel to be of high quality. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations (EDGAR) 34 CFR, 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Joe Caliguro, Department of Education, Office of Innovation and Improvement, Technology in Education Programs 400 Maryland Ave., SW, Washington,

District of Columbia 20202 Email: joseph.caliguro@ed.gov Phone: (202) 205-5449.

Website Address:

<http://www.ed.gov/programs/rtltv/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See <http://www2.ed.gov/programs/rtltv/activities.html>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Department uses the selection criteria contained in the Education General Administrative Regulations (EDGAR) to evaluate applications to this program. The specific criteria to be used for a particular grant competition are selected from among these in 34 CFR 75, Section 75.210, and announced in the application package or the application notice in the Federal Register.

84.299 INDIAN EDUCATION -- SPECIAL PROGRAMS FOR INDIAN CHILDREN

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VII, Part A, Subpart 2.

OBJECTIVES:

To support projects to develop, test, and demonstrate the effectiveness of services and programs to improve educational opportunities and achievement of Indian children; to increase the number of qualified Indian individuals in teaching or other education professions that serve Indian people, to provide training to qualified Indian individuals to enable such individuals to become teachers, administrators, teacher aides, social workers, and ancillary educational personnel, and to improve the skills of qualified Indian individuals who serve in education professions.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Under the absolute priorities for this program, grants support early childhood and kindergarten projects, high school projects that aim to aid students in the transition from secondary to postsecondary education, and professional development projects that increase the number and skills of qualified Indian teachers and principals who serve Indian people.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Successful applicants are subject to the requirement of applicable OMB Circulars on grant award agreements.

Award Procedure:

The Department awards these grants on a competitive basis. Applications are

reviewed and ranked by a panel of outside reviewers. The program office notifies successful applicants of awards and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60-120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of up to 4 years; continuations awards are contingent on performance and to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annual shall submit to the Secretary a report of its operations and activities. Each annual report shall include: (1) An executive summary; (2) information project status; (3) budget information; and (4) supplemental information. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits may be conducted by the Department's Office of Inspector General staff make site visits. Audits are periodic with no set schedule.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0101-0-1-501.

Obligations:

(Project Grants) FY 09 \$19,060,000; FY 10 est \$19,060,000; FY 11 est \$19,060,000

Range and Average of Financial Assistance:

In FY 2009 the range of awards was \$98,587-\$399,625; the average award was \$286,744.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Department made 25 new awards and 50 continuation awards. Fiscal Year 2010: The Department expects to make approximately 16 new awards and 57 continuation awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See 34 CFR 263

Regional or Local Office:

None.

Headquarters Office:

Lana Shaughnessy, Office of Indian Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: lana.shaughnessy@ed.gov Phone: (202) 205-2528.

Website Address:

<http://www.ed.gov/programs/indiandemo/index.html>;
<http://www.ed.gov/programs/indianprofdev/index.html>

RELATED PROGRAMS:

84.060 Indian Education_Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Not currently available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in EDGAR and 34 CFR 263; contact the program office for additional information.

84.304 CIVIC EDUCATION - WE THE PEOPLE AND THE COOPERATIVE EDUCATION EXCHANGE PROGRAM

FEDERAL AGENCY:

Office of Safe and Drug-Free Schools, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Executive Order Title II, Part C, Subpart 3.

OBJECTIVES:

This program supports the Cooperative Education Exchange Program (CEEP). The CEEP objectives are: (1) To make available to educators from eligible countries exemplary curricula and teacher training programs in civics, government, and economics education, developed in the United States; (2) to assist eligible countries in the adaptation, implementation, and institutionalization of such programs; (3) to create and implement civics, government, and economic education programs for students that draw upon the experiences of participating eligible countries; (4) to provide a means for the exchange of ideas and experiences in civics, government, and economic education among political, educational, governmental, and private sector leaders of participating eligible countries; and (5) to provide support for--(a) independent research and evaluation to determine the effects of educational programs on students' development of the knowledge, skills, and traits of character essential for the preservation and improvement of constitutional democracy; and (b) effective participation in, and the preservation and improvement of, an efficient market economy.

Civic Education also supports the We the People program, which has two components. The Citizen and the Constitution that is designed (1) to continue and expand the educational activities of the program; (2) to carry out activities to enhance student attainment of challenging academic content standards in civics and government; (3) to provide a course of instruction on the basic principles of the Nations constitutional democracy and the history of the Constitution of the United States, including the Bill of Rights; (4) to provide, at the request of the participating school, school and community simulated congressional hearings following the course of instruction described in item 3; (5) to provide an annual national competition of simulated congressional hearings for secondary school students who wish to participate in such a program; (6) to provide advanced, sustained, and ongoing trainings of teachers about the Constitution and the political system of the United States; (7) to provide materials and methods of instruction, including teacher training, that utilize the latest advancements in educational technology; and (8) to provide civic education materials and services to address specific problems such as the prevention of school violence and the abuse of drugs and alcohol. The second We the People component, Project Citizen, (1) to continue and expand the educational activities; (2) to carry out activities to enhance student attainment of challenging academic content; (3) to provide a course of instruction at the middle school level on the roles of State and local governments in the Federal system established by the Constitution; (4) to provide an annual national showcase or competition; (5) to provide optional school and community simulated State legislative hearings; (6) to provide advanced, sustained, and ongoing training of teachers on the roles of State and local governments in the

Federal system established by the Constitution; (7) to provide materials and methods of instruction, including teacher training, that utilize the latest advancement in educational technology; and (8) to provide civic education materials and services to address specific problems such as the prevention of school violence and the abuse of drugs and alcohol.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The education program authorized under this subsection shall be made available to public and private elementary schools and secondary schools, including Bureau of Indian Education funded schools, in the 435 congressional districts, and in the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Applicant Eligibility:

By statute, the Center for Civic Education and the National Council on Economic Education, each receive program funds through a grant or contract. In addition, a competition is held for organizations experienced in the development of curricula and programs in civics and government education and economic education for students in elementary schools and secondary schools in countries other than the United States.

Beneficiary Eligibility:

Educational leaders in the areas of civics and government education, and economic education, including teachers, curriculum and teacher training specialists, and scholars in relevant disciplines.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Contact the Headquarters Office listed below for application packages containing the announcement, application, and assurance forms.

Award Procedure:

Applications are reviewed along with annual performance reports, in accordance with EDGAR. The Deputy Assistant Secretary, Office of Safe and Drug-free Schools approves the recommended slate for funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Directed awards are made for twelve months to the Center for Civic Education and to the National Council on Economic Education. In addition, one to three grants are competitively awarded for a period of 36 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See 34 CFR 74.26. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133. State and local governments are subject to the requirements in the Single Audit Act and the ED regulations implementing OMB Circular No. A-128 (see 34 CFR 80).

Records:

As required by EDGAR for direct grant programs (34 CFR 75). Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion.

Account Identification:

18-0203-0-1-501.

Obligations:

(Project Grants) FY 09 \$33,459,000; FY 10 est \$35,000,000; FY 11 est \$43,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The regulations applicable to this program are in the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, 86, and 97.

Regional or Local Office:

None.

Headquarters Office:

Rita Foy Moss, Department of Education, OSDFS, 400 Maryland Ave, S.W., Washington, District of Columbia 20202 Email: rita.foy.moss@ed.gov Phone: (202) 205-8061.

Website Address:

<http://www.ed.gov/programs/coopedexchange/index.html>;
[http://www.ed.gov/programs/we the people/index.html](http://www.ed.gov/programs/we%20the%20people/index.html).

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications under this program are contained in 34 CFR 700, Evaluation of Applications for Grants and Cooperative Agreements. The specific criteria to be used for a particular grant competition are selected from among those in 34 CFR 700, and announced in the application package or the application notice in the Federal Register.

84.305 EDUCATION RESEARCH, DEVELOPMENT AND DISSEMINATION**FEDERAL AGENCY:**

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Education Sciences Reform Act of 2002, Title I, Parts A, B and D, Sections 133 and 172.

OBJECTIVES:

To support the development and distribution of scientifically valid research, evaluation, and data collection that support learning and improve academic achievement.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants will support basic and applied research, development, dissemination, evaluations, and research training in education.

Applicant Eligibility:

Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities.

Beneficiary Eligibility:

Institutions and individuals involved with education will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard Form 424, "Application for Federal Assistance," an application narrative, and required certifications must be submitted by the deadline specified in the Federal Register.

Award Procedure:

Applications are reviewed by panels of field readers in accordance with 34 CFR 700, "Evaluation of Applications for Grants and Cooperative Agreements."

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 210 to 240 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years. Funds are granted on a 12 month basis. All grantee obligations shall be liquidated within 90 days following the end of the award period. Payments may be made according to a predetermined schedule or by way of reimbursement. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations

(EDGAR) for direct grant programs (34 CFR 75). Generally, financial reports and program reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Project Grants) FY 09 \$127,992,772; FY 10 est \$154,000,000; FY 11 est \$154,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Emily Doolittle, Institute of Education Sciences, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: Emily.Doolittle@ed.gov Phone: (202) 219-1201.

Website Address:

<http://ies.ed.gov/ncer>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

**84.310 PARENTAL INFORMATION AND RESOURCE CENTERS
FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended., Executive Order Title V, Part D, Subpart 16.

OBJECTIVES:

To provide grants to nonprofit organizations and nonprofit organizations in consortia with local educational agencies (LEAs) to assist them in establishing parental information and resource centers. These centers strive to: (1) assist

parents in helping their children to meet State and local standards; (2) obtain information about the range of programs, services, and resources available nationally and locally for parents and school personnel who work with parents; (3) help parents use the technology applied in their children's education; (4) plan, implement, and fund activities for parents that coordinate the education of their children with other programs that serve their children and families; and (5) coordinate and integrate early childhood programs with school-age programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each grantee receiving funds under this program must use at least 50 percent of the funds provided to serve areas with high concentrations of low-income families in order to serve parents that are severely educationally or economically disadvantaged. At least 30 percent of the funds must also be used to establish, expand, or operate a Parents As Teachers Program or a Home Instruction Program for Preschool youngsters. Other requirements include the establishment of a special advisory committee and design requirements. Grantees must serve both urban and rural areas. For funding in subsequent years, grantees must demonstrate that a portion of the services provided under this project is supported through nonfederal contributions.

Applicant Eligibility:

Nonprofit organizations and nonprofit organizations in consortia with LEAs may apply.

Beneficiary Eligibility:

Preschool and school-aged children and their parents will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with the notice published in the Federal Register. Contact the program office for more information.

Award Procedure:

Applications are reviewed by panels of field readers. Points are awarded according to the selection criteria for discretionary grants contained in the Education Department General Administrative Regulations (EDGAR). Applications are ranked based on the number of points awarded and are selected for funding based on their rank order and geographic location in order to satisfy statutory provisions. Grants are distributed, to the greatest extent possible, to all geographic regions of the country.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Grantees are required to contribute, from nonfederal sources, a portion of the services provided under the project after the first year. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 4 years. Funds are granted on a 12-month basis. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administration Regulations (EDGAR), 34 CFR 74, nonprofit organizations are subject to the audit requirements in OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations."

Records:

As required by the Education Department General Administrative Regulations.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$39,254,000; FY 10 est \$39,254,000; FY 11 est \$39,254,000

Range and Average of Financial Assistance:

FY 09 Range: \$374,290 - \$892,428; Average: \$614,614.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The Department expects to make 62 continuation awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR). For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Rosie Kelley Department of Education, Office of Innovation and Improvement Parental Options and Information, 400 Maryland Ave., SW, Room 4W221, Washington, District of Columbia 20202 Email: rosie.kelley@ed.gov Phone: (202) 260-0911

Website Address:

<http://www.ed.gov/programs/pirc/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in the Education Department General Administrative Regulations are used to evaluate this program. For more information contact the Program Office.

84.315 CAPACITY BUILDING FOR TRADITIONALLY

UNDERSERVED POPULATIONS

(Capacity Building)

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Section 21.

OBJECTIVES:

To enhance the capacity and increase the participation of historically Black colleges and universities, Hispanic serving institutions of higher education, and other institutions of higher education where minority enrollment is at least 50 percent, in competitions for grants, contracts, and cooperative agreements under the Rehabilitation Act, as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are limited to: awards to minority entities and Indian tribes to carry out activities under the programs authorized under titles II, III, VI, and VII of the Rehabilitation Act; awards to minority entities and Indian tribes to conduct research, training, technical assistance, or related activities, to improve services provided under the Rehabilitation Act, especially services provided to individuals from minority backgrounds; and awards to eligible entities to provide outreach and technical assistance to minority entities and Indian tribes to promote their participation in activities funded under the Rehabilitation Act.

Applicant Eligibility:

States and public and nonprofit agencies and organizations may apply.

Beneficiary Eligibility:

Historically Black colleges and universities; (2) Hispanics serving institutions of higher education; (3) Indian tribal colleges and universities (4) other institutions of higher education whose minority student enrollment is at least 50 percent; and (5) Indian Tribes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process that the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

All new applications are reviewed by a panel of at least three individuals. Each panel will generally include two or more nonfederal consultants with experience and training in the proposed area of training. Each panel will include a person with a disability and an individual from a minority background.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 120 to 150 days following the application deadline.

Appeals:

Other - Not Specified.

Renewals:

Renewals are available only through the new application process. Projects with an approved multi-year project period may be continued based on the availability of appropriated funds and an annual review of accomplishments to determine satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for 1 to 5 years, with budget periods of 12-months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and progress reports must be submitted as required by the grant award's terms and conditions. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits must be conducted on a continuing basis or at scheduled intervals, usually once a year, but at least once every 2 years. Institutions of higher education and nonprofit organizations are subject to the audit requirements of OMB Circular No. A-133.

Records:

As required by EDGAR.

Account Identification:

91-0301-0-1-506.

Obligations:

(Project Grants) FY 09 \$2,353,180; FY 10 est \$2,403,250; FY 11 est \$2,403,250

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Ellen Chesley, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202-2649 Email: ellen.chesley@ed.gov Phone: (202) 245-7300.

Website Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in the Education Department General Administrative Regulations are used to evaluate this program.

84.318 EDUCATIONAL TECHNOLOGY STATE GRANTS

(Enhancing Education through Technology Program)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part D, Subparts 1 and 2.

OBJECTIVES:

To provide grants to State educational agencies (SEAs) on a formula basis to (a) improve student academic achievement through the use of technology in schools; (b) assist all students in becoming technologically literate by the end of eighth grade; and (c) encourage the effective integration of technology with teacher training and curriculum development to establish successful research-based instructional methods.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

At least 95 percent of awards to SEAs must be used to make subgrants to LEAs.

Half of the subgrant funds (the 95 percent) must be used for formula awards to eligible local educational agencies (LEAs) that receive funds under Title I, Part A. The remaining one-half provides competitive awards to eligible local entities (see beneficiary eligibility). Beginning in the fiscal year (FY) 2006 Appropriations Act, Congress has annually included language overriding this statutory provision and included language that provides SEAs with the flexibility to reserve up to 100 percent of their allocations for competitive awards to eligible entities. The Congress has continued to provide this flexibility in FY 2010 under Department of Education Appropriations Act, 2010. Each LEA and eligible entity receiving funds under this program must use at least 25 percent of its funds to provide professional development; the remaining funds must be used carry out other activities consistent with the purposes of the program and the district's local technology plan. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564- 76.569. For assistance call the Office of the Chief Financial Officer/ Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies (SEA).

Beneficiary Eligibility:

Elementary and secondary schools, students, and teachers will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applications are distributed to the Chief State School Officer for all eligible SEAs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. States have two options for applying for funds under this program. Option 1: An SEA may submit an individual program application that includes a new or updated statewide long-range strategic educational technology plan that considers the educational technology needs of LEAs in the State, and an application that addresses the following topics: (1) strategies for improving academic achievement; (2) goals using advanced technology to improve student academic achievement, aligned with challenging State standards; (3) steps to increase accessibility to technology; (4) accountability measures; (5) innovative instruction delivery strategies; (6) an assurance that financial resources provided under the Educational Technology program will supplement, and not supplant, State and local funds; (7) professional and curricular development; (8) the SEA will provide technical assistance to applicants seeking Ed Tech funds; (9) a description of technology resources and systems that the State will provide; (10) strategies for financing technology; (11) strategies for parental involvement; (12) competitive grant process description; (13) integration of

technology with curricula and instruction; (14) teacher incentives; and (15) a description of how public and private entities will participate in the implementation and support of the plan. Option 2: States may apply for funds through a consolidated application, as provided for in ESEA Sec. 9301. Through a consolidated application, a State will not submit all of the information required for individual Educational Technology applications, but will submit only "descriptions, information, assurances, and other materials that are absolutely necessary for the consideration of the consolidated State plan or consolidated State application" (ESEA Section 9302(b)(3)). The specific information that an SEA must include in a consolidated application that incorporates the Educational Technology program was detailed in the consolidated State application documents the Department published in the Federal Register.

Award Procedure:

The Department reviews all State applications and plans.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 days from receipt of application.

Appeals:

The Secretary may not disapprove a State technology plan or State application, before offering the State an opportunity to revise the plan and a hearing. (See 34 CFR 76.202.).

Renewals:

SEAs must report annually to the Secretary regarding progress in meeting State goals and plans. The report must include: (1) a proposal of State activities for the succeeding year; (2) a summary of the progress of the State's LEAs in meeting local goals; and (3) a plan to increase student learning. Renewals are subject to the availability of resources.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are generally made for 1 year, on an annual basis subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for State-administered programs (34 CFR part 76). Financial and performance reports are required annually. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State-Administered programs (34 CFR part 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 5 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 \$269,872,000; FY 10 est \$100,000,000; FY 11 est \$100,000,000

Range and Average of Financial Assistance:

State awards range: FY10 - \$483,875 - \$11,478,598.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Enid Marshall, School Support and Technology Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: enid.marshall@ed.gov Phone: (202) 708-9499.

Website Address:

<http://www.ed.gov/programs/edtech/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.323 SPECIAL EDUCATION - STATE PERSONNEL DEVELOPMENT

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 1, Section 651-655, 20 U.S.C 1451-1455.

OBJECTIVES:

To assist State educational agencies in reforming and improving their systems for personnel preparation and professional development in early intervention, educational and transition services, to improve results for children with disabilities. As used in this program, personnel means special education teachers, regular education teachers, principals, administrators, related services personnel, paraprofessionals, and early intervention personnel serving infants, toddlers, preschoolers, or children with disabilities, except where a particular category of personnel, such as related services personnel, is identified.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The uses of funds under the State Personnel Development Grants program are described in section 654 of the IDEA (20 U.S.C. 1454). Not less than 90 percent of the grant funds for any fiscal year must be used for spent on professional development activities described in section 654(a) of IDEA (20 U.S.C. 1454(a)), including improving results for children with disabilities through the delivery of high-quality instruction and the recruitment, hiring and retention of highly qualified special education teachers. Not more than 10 percent of grant funds for any fiscal year must be spent on the other activities described in section 654(b) of IDEA (20 U.S.C. 1454(b)), such as reforming special education and regular education teacher certification (including recertification) or licensing requirements and carrying out programs that establish, expand, or improve alternative routes for State certification of special education teachers.

Applicant Eligibility:

State Educational Agencies.

A State educational agency of one of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, and the outlying areas (U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana

Islands) may apply.

Beneficiary Eligibility:

Infants and toddlers with disabilities as defined in the IDEA and their families, and children with disabilities as defined in the IDEA and their parents benefit from this program.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The regulations in 34 C.F.R. Part 80 (Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments) implement OMB Circular A-102. The standard application forms as furnished by the Office of Special Education and Rehabilitative Services must be used for this program. Information about submitting applications for this program can be found in the Notice Inviting Applications for this program for a particular fiscal year and in the approved application package. A notice inviting applications is generally published in the Federal Register annually, depending on the availability of appropriations for a particular fiscal year. Awards are made on a competitive basis based on the availability of funds. The regulations in 34 C.F.R. Part 74 (Administration of Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations) implement OMB Circular A-110. OMB Circular A-110 is not applicable to SEAs; however, it is applicable to SEA partners, such as institutions of higher education or other non-profit organizations.

Award Procedure:

Applications are peer reviewed and grants are made on a competitive basis subject to the approval of the Assistant Secretary, OSERS.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

A Continuation awards may be made annually for up to 5 years throughout the project period of an approved multi-year grant the award, depending upon the availability of appropriations and the OSERS Assistant Secretary's determination that the grantee has made substantial progress in meeting the objectives of the approved application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations and the grantee's compliance with the requirements of the grant. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit an annual performance report (APR) as required under EDGAR (34 CFR Part 80 80.41 and 34 C.F.R. Part 75, 75.720 74.50). Final reports are made at the end of the project period. Cash reports are not applicable. Progress is monitored through the grantee's APR. Expenditure reports are not applicable. Program performance is monitored through APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its grant, as stated in award terms and conditions.

Account Identification:

91-0300-0-1-500.

Obligations:

(Project Grants) FY 09 \$48,000,000; FY 10 est \$48,000,000; FY 11 est \$48,000,000

Range and Average of Financial Assistance:

For 2010, the range of awards is expected to be between \$500,000 and \$2,000,000; the average award is expected to be about \$1,000,000, excluding outlying areas.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, a total of 45 States received grants under this program, including 41 continuation awards and 4 new awards. Fiscal Year 2010: FY 2010, a total of 45 grants are planned, including 37 continuation awards and about 8 new awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no implementing regulations for the State Personnel Development Grants program. However, the Notice of Final Priority published in the Federal Register on June 9, 2006, at 71 F.R. 33578, sets out the regulatory requirements that are applicable to this program, and the Secretary considers only applications that conform to the absolute priority for this program set out in the Notice of Final Priority for this program.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Coffey, Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: jennifer.coffey@ed.gov Phone: (202) 245-6673.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: H323A090005, Comprehensive Systems Change Through RTI and SW-PBS, Colorado Department of Education. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.324 RESEARCH IN SPECIAL EDUCATION

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Education Sciences Reform Act of 2002, Part E.

OBJECTIVES:

To support scientifically rigorous research contributing to the solution of specific early intervention and education problems associated with children with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for research on children with or at risk for disabilities and training in special education research.

Applicant Eligibility:

Applicants that have the ability and capacity to conduct scientifically valid research are eligible to apply. Eligible applicants include, but are not limited to, non-profit and for-profit organizations and public and private agencies and institutions, such as colleges and universities.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities or at risk for disabilities benefit from this research.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard Form 424, "Application for Federal Assistance," an application narrative, and required certificates must be submitted by the date specified in the Federal Register.

Award Procedure:

Applications will be reviewed by panels of field readers in accordance with 20 U.S.C. 9501 et seq. Education Sciences Reform Act of 2002 section 175.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

240 days.

Appeals:

Not Applicable.

Renewals:

Renewals are based on the review of annual performance reports and the recommendation of the project officer. All renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations

(EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. Cash reports are not applicable. Annual progress and performance reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant.

Account Identification:

91-1100-0-1-500.

Obligations:

(Project Grants) FY 09 \$65,795,000; FY 10 est \$63,610,568; FY 11 est \$68,795,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR 34 CFR 74, 77, 80, 81, 82, 84, 85, 86 (Part 86 applies only to IHEs), 97, 98, and 99. In addition 34 CFR 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230.

Regional or Local Office:

None.

Headquarters Office:

Robert Ochsendorf, Department of Education, Institute of Education Sciences, National Center for Special Education Research, Office of the Commissioner, 400 Maryland Ave, S.W., Washington, District of Columbia 20202 Email: Robert.Ochsendorf@ed.gov Phone: (202) 219-2234.

Website Address:

<http://ies.ed.gov/ncser>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Refer to Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>. Fiscal Year 2010: Refer to Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>. Fiscal Year 2011: Refer to Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.325 SPECIAL EDUCATION - PERSONNEL DEVELOPMENT TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of

Education

AUTHORIZATION:

Individuals with Disabilities Education Act, Part D, Subpart 2, Section 662, 20 U.S.C 1461-1462.

OBJECTIVES:

The purposes of this program are to (1) help address State-identified needs for highly qualified personnel--in special education, related services, early intervention, and regular education--to work with infants, toddlers, and children with disabilities; and (2) ensure that those personnel have the necessary skills and knowledge, derived from practices that have been determined through scientifically based research and experience, to be successful in serving those children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to prepare personnel to serve children with both low and high-incidence disabilities, leadership personnel, and for special projects. Awards are made only to eligible applicants that meet State and professionally recognized standards for the preparation of special education and related services personnel, if the purpose of the project is to assist personnel in obtaining degrees.

Applicant Eligibility:

State educational agencies, local education agencies, public charter schools that are LEAs under State law, institutions of higher education, other public agencies, private nonprofit organizations, outlying areas, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities are the primary beneficiaries under this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, subject to the availability of appropriations. (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.51-53. Expenditure reports are monitored through the APR. Performance reports are monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 74.53 and 34 CFR 75.730-732).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 09 \$90,653,000; FY 10 est \$90,653,000; FY 11 est \$90,653,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 304, Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77,79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Bonnie Jones, Department of Education, OSERS Office of Special Education Programs, Potomac Center Plaza, 550 12th Street, SW, , Washington, District of Columbia 20202 Email: bonnie.jones@ed.gov Phone: (202) 245-7395.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 84.325D - Preparation of Leadership Personnel; 84.325K -

Combined Priority for Personnel Development; and 84.325T Special Education Pre-Service Program Improvement Grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.326 SPECIAL EDUCATION TECHNICAL ASSISTANCE AND DISSEMINATION TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, D, Subpart 2, Section 663, 20 U.S.C 1463.

OBJECTIVES:

The purpose of the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program is to promote academic achievement and to improve results for children with disabilities by providing technical assistance, supporting model demonstration projects, disseminating useful information, and implementing activities that are supported by scientifically based research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations. (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.721).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants (Capacity Building and Complaint Processing, Training)) FY 09 \$48,549,000; FY 10 est \$49,549,000; FY 11 est \$49,549,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: Est. New Projects Funded: 7.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Kelly Worthington, Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: kelly.worthington@ed.gov Phone: (202) 245-7581.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and

Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 84.326C - Deaf-Blind Centers (DC, PR, VI); 84.326M Model Demonstration Centers: Multi-Tiered Models in High Schools; and 84.326R Regional Resource Centers. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.327 SPECIAL EDUCATION TECHNOLOGY AND MEDIA SERVICES FOR INDIVIDUALS WITH DISABILITIES

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part D, Subpart 3, Section 674.

OBJECTIVES:

The purposes of the Technology and Media Services for Individuals with Disabilities program are to: (1) improve results for children with disabilities by promoting the development, demonstration, and use of technology; (2) support educational media services activities designed to be of educational value in the classroom setting to children with disabilities; and (3) provide support for captioning and video description that are appropriate for use in the classroom setting.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

State educational agencies (SEAs), local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if approved by the Secretary, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities and their families benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A recipient of a grant, contract, or cooperative agreement may be required to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years, subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award, as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion. (34 CFR 75.721).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 09 \$38,615,000; FY 10 est \$43,973,000; FY 11 est \$41,223,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Est. 69 new awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education General Administrative Regulations (EDGAR) 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

David Malouf, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: david.malouf@ed.gov Phone: (202) 245-6253

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 84.327A - Steppingstones of Technology; 84.327B - Research Dev Ctr on Digital Image/Graphic Content in AIM; 84.327G - Center on Technology Implementation. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.328 SPECIAL EDUCATION_PARENT INFORMATION CENTERS**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended., Part D, Subpart 3, Section 671-673, 20 U.S.C 1471-1473.

OBJECTIVES:

The purpose of the program is to ensure that parents of children with disabilities receive training and information to help improve results for their children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Parent organizations, as defined in Section 671(a)(2) of the Individuals with Disabilities Education Act are eligible for parent center awards under IDEA sections 671 and 672. For section 672 funding, these parent centers must meet additional conditions set forth in section 672. For IDEA section 673 State educational agencies (SEAs) local educational agencies (LEAs), public charter schools that are LEAs under State law, institutions of higher education (IHEs), other public agencies, private nonprofit organizations, outlying areas, freely associated States, Indian tribes or tribal organizations, and, if the Secretary approves, for-profit organizations.

Beneficiary Eligibility:

Infants, toddlers and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

OMB Circulars A-21, A-122, and A-87 may apply to grantees under this program depending on the grantee. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award, if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations (See 34 CFR 75.253).

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The Department may require a recipient of a grant, contract, or cooperative agreement to share in the cost of a project. (See 20 U.S.C. 1482 (a)(2)(A).)

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years; subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on annual basis. Final reports shall be made at the end of the project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally, records related to grant funds, compliance, and performance must be maintained for a period of five years after completion (34 CFR 75.721).

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 09 \$27,028,000; FY 10 est \$28,028,000; FY 11 est \$28,028,000

Range and Average of Financial Assistance:

The range and average vary by competition.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR 74, 75, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Gail Houle, Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: gail.houle@ed.gov Phone: (202) 245-7381.

Website Address:

<http://www.ed.gov/about/offices/list/osers/osep/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.329 Special Education_Studies and Evaluations; 84.373 Special Education_Technical Assistance on State Data Collection

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 84.328C - Community Parent Resource Centers; 84.328M - Parent Training and Information Centers. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210, and announced in the application package or the application notice in the Federal Register.

84.329 SPECIAL EDUCATION_STUDIES AND EVALUATIONS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Section 664, 20 U.S.C 1464.

OBJECTIVES:

To assess the progress in implementing the Individuals with Disabilities Education Act, including State and local efforts to provide (1) Free appropriate public education to children with disabilities; and (2) early intervention services to infants and toddlers with disabilities who would be at risk of having substantial developmental delays if early intervention services were not provided.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

A State educational agency, a local educational agency, an institution of higher education, any other public agency, a private non-profit organization, an outlying area, an Indian tribe or tribal organization (as defined under section 4 of the Indian Self-Determination and Education Assistance Act), and a for-profit organization, if the Secretary finds it appropriate in light of the purposes of a particular competition for a grant, contract, or cooperative agreement. The Department may limit the entities eligible for an award to categories of these eligible entities.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities, and other individuals with disabilities, and their families benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications will be reviewed by a panel of experts.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award, depending upon the availability of appropriations. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from one to five years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the project period. Cash balance reports will be required annually. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under this grant.

Account Identification:

91-1100-0-1-500.

Obligations:

(Project Grants) FY 09 \$9,460,000; FY 10 est \$11,460,000; FY 11 est \$11,460,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jonathan Jacobson, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: jonathan.jacobson@ed.gov Phone: (202) 208-3876.

Website Address:

<http://ies.ed.gov/ncee>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.184 Safe and Drug-Free Schools and Communities_National Programs; 84.324 Research in Special Education; 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.330 ADVANCED PLACEMENT PROGRAM (ADVANCED PLACEMENT TEST FEE; ADVANCED PLACEMENT INCENTIVE PROGRAM GRANTS)**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part G.

OBJECTIVES:

To award grants to State educational agencies, local educational agencies, and national nonprofit educational organizations with expertise in advanced placement services to support State and local efforts to increase access to advanced placement classes and tests for low-income students and to award grants for State educational agencies to cover part or all of the cost of test fees for low-income students enrolled in advanced placement courses.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Advanced Placement Incentive Grants program provides grants to eligible entities to enable them to increase the participation of low-income students in both pre-advanced placement and advanced placement courses and tests. The Advanced Placement Test Fee program provides awards to enable States to cover all or part of the cost of test fees for low-income students who are enrolled in advanced placement classes. The Elementary and Secondary Education Act requires the Secretary to give priority to funding the Advanced Placement Test Fee program, with remaining funds allocated to Advanced Placement Incentive Grants.

Applicant Eligibility:

Local educational agencies, nonprofit educational organizations with expertise in advanced placement services, and State educational agencies may apply for AP Incentive grants. State educational agencies may apply for the AP Test Fee program.

Beneficiary Eligibility:

Low-income students who (1) are enrolled in an advanced placement class; and (2) plan to take an advanced placement test.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Secure grant application forms and instructions from the Federal Register. Prepare and address submission according to published directions.

Award Procedure:

Applications are reviewed by Education Department staff. Final decisions on grants are made by the Secretary on the basis of statutory considerations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to 120 days of receipt of applications.

Appeals:

Not Applicable.

Renewals:

Awards are made annually based on an applicant's eligibility and contingent on the availability of funds and project performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for one year for the AP Test Fee program, and for up to 3 years for the AP Incentive Grant program. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

A final report must be submitted. Reporting requirements are included in the final grant documents. Grantees also may be subject to national evaluation performed by the Department of Education. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional audit requirements may be specified in the grant award documents.

Records:

Records are to be maintained as indicated in the grant or contract award document.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$43,450,000; FY 10 est \$45,840,000; FY 11 est

\$45,840,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 41 new awards were made by the Test Fee program; 20 continuation grants and 18 new grants were made by the Incentive program. Fiscal Year 2010: 42 new awards are expected to be made by the Test Fee program; 38 continuation grants are expected to be made by the Incentive program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR 75, 77, 79, 80, 81, 82, 85, 86, and 99. Contact the Program Office for this information.

Regional or Local Office:

None.

Headquarters Office:

Ivonne Jaime, Department of Education, OESE Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: ivonne.jaime@ed.gov Phone: (202) 260-1519.

Website Address:

<http://www.ed.gov/programs/apfee/index.html>,
<http://www.ed.gov/programs/apincent/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

To receive funds under the AP Test Fee program, an SEA must submit information to the Department describing the Advanced Placement test fees the State will pay on behalf of individual students. The applicant must include (1) or assume that the requested funds will supplement and not supplant existing fee payment efforts; (2) a description of the method that the SEA will use to identify low-income individuals and an assurance that the funds will be used to serve this population; and (3) a description of the applicant's plan to disseminate information on the availability of test fee payments to eligible individuals through secondary school teachers and guidance counselors. Grant recipients must expand access for low-income individuals to advanced placement programs. Eligible activities include teacher training, development of pre-advanced placement courses, coordination and articulation between grade levels to prepare students for academic achievement in AP or IB courses, books and supplies, and participation in online AP or IB course. In awarding grants, the Secretary gives priority to applications that: (1) demonstrate a pervasive need for access to AP incentive programs; (2) provide for the involvement of business and community organizations in the activities to be assisted; (3) assure the availability of matching funds from State, local, or other sources; (4) demonstrate a focus on developing or expanding AP programs and participation in the core academic areas of English, mathematics, and science; (5) demonstrate an intent to carry out activities that target LEAs serving schools with a high concentration of low-income students, or schools with a high concentration of low-income students; and (6) in the case of applications submitted by LEAs, assure that the LEA serves schools with a high concentration of low-income students; or (7) demonstrate an intent to carry out activities to increase the availability of, and participation in, on-line AP courses.

84.331 GRANTS TO STATES FOR WORKPLACE AND COMMUNITY TRANSITION TRAINING FOR INCARCERATED INDIVIDUALS

FEDERAL AGENCY:

Office of Vocational and Adult Education, Department of Education

AUTHORIZATION:

Higher Education Act Amendments of 1998, as amended, Title VIII, Part D, 20 USC 1151.

OBJECTIVES:

To assist and encourage incarcerated individuals who have obtained a

secondary school diploma or its recognized equivalent to acquire educational and job skills through coursework in order to prepare such individuals to pursue a postsecondary education certificate, an associates degree, or bachelors degree while in prison; and to provide them with employment counseling and other related services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

State correctional education agencies that receive a grant may use funds to assist and encourage incarcerated individuals who have obtained a secondary school diploma or its recognized equivalent to acquire educational and job skills through coursework to prepare such individuals to pursue a postsecondary education certificate, an associates degree, or bachelors degree while in prison; and to provide them with employment counseling and other related services. Services must start during incarceration and end not later than two years after release from incarceration.

Applicant Eligibility:

State correctional education agencies, as designated by the Governor of any of the 50 States, the Commonwealth of Puerto Rico, District of Columbia, Guam, American Samoa, the Virgin Islands, and the Northern Mariana Islands, may apply.

Beneficiary Eligibility:

Individuals who: (1) are incarcerated in a State prison; (2) are eligible to be released or paroled within 7 years; (3) are 35 years of age or younger; (4) were not convicted of certain crimes; and (5) have obtained a secondary school diploma or its equivalent.

Credentials/Documentation:

A State plan is required. The State plan must include a certification from a State official that all provisions and amendments thereto are consistent with the Act. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Department of Education will provide guidance on specific issues and technical assistance in the preparation of State Plans. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each designated State correctional education agency must submit to the Secretary of Education a State plan, which sets forth the procedures under which the State will carry out activities to achieve its program objectives and priorities and fulfill the purposes of the Act.

Award Procedure:

The Secretary approves the State Plan and any amendment thereto and makes allocations to the State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range is approximately 4 weeks from the date of application receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: 20 USC 1151. From the amount appropriated, the Secretary allots to each State an amount that bears the same relationship to the amount appropriated as the total number of eligible individuals in each State bears to the total number of eligible individuals in all States.

Matching Requirements: There is no matching requirement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a 12-month period. Funds must be expended within 27 months of their date of availability. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual Financial and Program Performance Reports in accordance with the statutory requirements of the program and the provisions in EDGAR. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with Section 443 of the General Education Provisions Act, grantees must maintain records for 3 years.

Account Identification:

91-0400-0-1-501.

Obligations:

(Project Grants) FY 09 \$17,186,000; FY 10 est \$17,186,000; FY 11 est \$17,186,000

Range and Average of Financial Assistance:

FY 10 Range: \$24,714 - \$2,113,155; Average: \$350,735.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: The Department expects to award approximately 50 grants. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The provisions of Title VIII, Part D of the Higher Education Amendments of 1998, the requirements of the General Education Provisions Act (GEPA), and the Education Department General Administrative Regulations (EDGAR), Parts 67, 77, 79, 80, 81, 82, 85, and, 86 apply.

Regional or Local Office:

None.

Headquarters Office:

John Linton, Department of Education, OVAE 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: john.linton@ed.gov Phone: (202) 245-6592.

Website Address:

<http://www.ed.gov/programs/transitiontraining/index.html>

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States; 84.048 Career and Technical Education -- Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.332 COMPREHENSIVE SCHOOL REFORM DEMONSTRATION (CSR)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title I, Part F.

OBJECTIVES:

The Comprehensive School Reform (CSR) program support for a clearinghouse

of school reform projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The programs supported a clearinghouse of school reform information.

Applicant Eligibility:

State educational agencies (SEAs). The subgrantees are local education agencies (LEAs) applying to their SEAs in collaboration with eligible schools within the LEAs.

Beneficiary Eligibility:

State educational agencies, local educational agencies, and public schools.

Credentials/Documentation:

Applications should demonstrate the existence of a State-wide plan for supporting research-based comprehensive school reform that describes the process and selection criteria by which competitive subgrants will be made; how the SEA will ensure that only high-quality comprehensive school reform programs are funded; how the SEA will provide information and assistance to school districts and schools; and how the SEA will evaluate results achieved in schools districts receiving assistance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Department allocates funds to any State with an approved application.

Award Procedure:

The Department awards a continuation grant to projects that first received funding in a previous fiscal year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Grants are awarded to SEAs annually. Subgrants are for up to three years with annual renewals subject to the approval of the SEA and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds awarded to SEAs must be obligated not later than September 30, 2001. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Educational Provisions Act and the Education Department General Administrative Regulations, certain project records must be maintained for 5 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$1,605,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected FY 2010 or 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: None. Fiscal Year 2010: None. Fiscal Year 2011: None.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 76, regarding the program application package for SEAs. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Braden Goetz, Comprehensive School Reform Demonstration Program, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: braden.goetz@ed.gov Phone: (202) 260-0982.

Website Address:

<http://www.ed.gov/programs/compreform/index.html>.

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 84.215 Fund for the Improvement of Education

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: None.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are contained in EDGAR. Contact the program office for additional information.

84.333 DEMONSTRATION PROJECTS TO SUPPORT POSTSECONDARY FACULTY, STAFF, AND ADMINISTRATIONS IN EDUCATING STUDENTS WITH DISABILITIES

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended., Title VII, Part D, Subpart 1.

OBJECTIVES:

To support model demonstration projects to provide technical assistance or professional development for faculty, staff, and administrators in institutions of higher education, to enable such faculty, staff and administrators to provide students with disabilities a quality postsecondary education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Projects receiving funds must carry out one or more of the following activities: Developing innovative, effective and efficient teaching methods and strategies to provide faculty, staff, and administrators with the skills and supports necessary to teach students with disabilities and ensure the successful and smooth transition of students with disabilities from secondary school to postsecondary education; the synthesis of research and other information related to the provision of postsecondary educational services to students with disabilities; conducting of professional development and training sessions for faculty, staff, and administrators from other IHEs to enable them to meet the postsecondary needs of students with disabilities; developing innovative and effective teaching methods and strategies to provide postsecondary faculty, staff, and administrators with the ability to provide accessible distance

education programs or classes that would enhance the access of students with disabilities to postsecondary education, including the use of accessible curricula and electronic communication for instruction and advising; making postsecondary education more accessible to students with disabilities through curriculum development, consistent with the principles of universal design for learning; and providing information, training, and technical assistance to faculty, staff, and administrators with respect to disability-related fields that would enable such faculty, staff, and administrators to encourage interest and participation in, enhance awareness and understanding of, provide educational opportunities in, teach practical skills related to, and offer work-based opportunities in such fields among students with disabilities and other students.

Applicant Eligibility:

Institutions of higher education.

Beneficiary Eligibility:

Faculty and administration in postsecondary education and students with disabilities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies will be mailed upon request.

Award Procedure:

Peer review panels are composed of representatives from public and private higher education, as well as, individuals with expertise in effective training strategies and knowledge of the needs of postsecondary students with disabilities, the learning characteristics of students with disabilities, and programs for students with disabilities in postsecondary education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within 5 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Grants may last for up to 3 years, subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are to be made for 3 years, subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports and a final performance report is required. Reporting requirements are specified in the grant award documents. Cash reports are not applicable. Annual performance reports and a final performance report are required. Reporting requirements are specified in the grant award

documents. Expenditure reports are not applicable. Annual performance reports and a final performance report are required. Reporting requirements are specified in the grant award documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$6,755,000; FY 10 est \$6,755,000; FY 11 est \$6,755,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 23 non-competing continuation awards were made. Fiscal Year 2010: 23 non-competing continuation awards are expected to be awarded. Fiscal Year 2011: Estimated 23 new competitive awards are expected to be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 75, published in the Federal Register on March 6, 1997.

Regional or Local Office:

None.

Headquarters Office:

Shedita Alston, Department of Education, Office of Postsecondary Education, 1990 K St NW, 6th Floor, Washington, District of Columbia 20006 Email: shedita.alston@ed.gov Phone: (202) 502-7808.

Website Address:

<http://www.ed.gov/programs/disabilities/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information on criteria for individual competitors.

84.334 GAINING EARLY AWARENESS AND READINESS FOR UNDERGRADUATE PROGRAMS

(GEAR-UP)

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 2, Chapter 2.

OBJECTIVES:

Provides 6-or 7-year grants to States and partnerships to provide support and maintain a commitment to eligible low-income students, including students with disabilities, to help the students obtain a secondary school diploma (or its recognized equivalent) and to prepare for and succeed in postsecondary education. GEAR UP has two major service components. All projects provide a comprehensive set of early intervention services including mentoring, tutoring, academic and career counseling, parental involvement, and other college preparation activities like exposure to college campuses and financial

aid information and assistance. Some projects provide college scholarships to participating students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) State Grants. States receiving funds are required (unless they receive a waiver) to provide both a required activities and a scholarship component, targeted to low-income students in grades K-12. At least 50 percent, but not more than 75 percent, of the grant funds must be used to provide scholarships to participating students. Conversely, at least 25 percent, but not more than 50 percent, of the funds must be used for required and permissible activities. To meet the matching requirement, States must cover at least 50 percent of the project costs with cash or in-kind contributions from non-Federal sources. (2) Partnership Grants. Eligible partnerships consist of one or more local educational agencies and one or more degree granting institutions of higher education and not less than two other community organizations or entities such as businesses, professional organizations, State agencies, institutions, or other public or private agencies or organizations. Partnerships receiving funds are not required to provide a scholarship component. However, they are required to provide services to at least one cohort or grade level of students beginning no later than the 7th grade, in a school that has a 7th grade and in which at least 50 percent of the students enrolled are eligible for free or reduced-price lunch, or to an entire grade level of students, not later than the 7th grade, who reside in public housing.

This program is subject to nonsupplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

A State, or a partnership consisting of one or more local educational agencies one or more degree granting institutions of higher education; and at least two community organizations or entities, such as businesses, professional associations, community-based organizations, philanthropic organizations, State agencies, parent groups, and/or nonprofit organizations.

Beneficiary Eligibility:

Low-income students and students in high-poverty schools.

Credentials/Documentation:

OMB Circular No. A-21 applies. OMB Circular No. A-122 applies. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies are available on the web page and will also be mailed upon request.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, and State, and local education agencies having expertise in elementary, secondary, and higher education.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The statute requires a cash or in kind match from non-Federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for up to 7 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual performance and financial reports are required. Cash reports are not applicable. Annual performance reports are required as part of the submittal of form ED 524B. Annual expenditures reports are required as part of the submittal of form ED 524B. Staff also monitor grants drawdowns using agency financial reports. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

EDGAR for direct grant programs (34 CFR part 75). Generally, records related to grant funds, compliance and performance must be maintained for a period of 3 years after completion of the grant.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$313,212,000; FY 10 est \$323,212,000; FY 11 est \$323,000,000

Range and Average of Financial Assistance:

State grants: Average award, \$2,932,416 per year. Partnership grants: Average award, \$1,111,428 per year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations, EDGAR; 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99 and 34 CFR Part 694; OMB Circulars A-21, A-87, A-110, A-122, and A-133.

Regional or Local Office:

None.

Headquarters Office:

James Davis, Department of Education, Office of Postsecondary Education, 1990 K Street NW, Washington, District of Columbia 20006 Email: james.davis@ed.gov Phone: (202) 502-7802.

Website Address:

<http://www.ed.gov/programs/gearup/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.335 CHILD CARE ACCESS MEANS PARENTS IN SCHOOL**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title IV, Part A, Subpart 7.

OBJECTIVES:

To support the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards shall be used to support or establish child care programs serving low-income students enrolled in college. Funds may be used to provide before or after school services to the extent necessary to allow students to pursue a postsecondary career. No funds from grants can be used for construction other than minor renovations to meet State or local health or safety needs.

Applicant Eligibility:

An institution of higher education is eligible to apply if the total amount of all Federal Pell Grant Funds awarded to students enrolled at the institution of higher education for the preceding fiscal year equals or exceeds \$350,000.

Beneficiary Eligibility:

Benefiting are low-income students enrolled in postsecondary programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies will be mailed upon request.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and child care providers having expertise in child care service are designated to review application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The grant amount awarded to an institution shall not exceed one percent of the total amount of all Federal Pell Grant funds awarded to students enrolled at the institution of higher education for the preceding fiscal year.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants shall be awarded for a period of 4 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

No program reports are required. No cash reports are required. Progress reports are required. Expenditure reports are required. Performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$16,034,000; FY 10 est \$16,034,000; FY 11 est \$16,034,000

Range and Average of Financial Assistance:

\$10,000 - \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 104 new awards and 56 continuation awards were made. Fiscal Year 2010: Approximately 55 new awards and 104 continuation awards are expected to be made in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Josephine Hamilton, Department of Education, Office of Postsecondary Education, Higher Education Programs, 1990 K Street, NW, Washington, District of Columbia 20006 Email: josephine.hamilton@ed.gov Phone: (202) 502-7583.

Website Address:

<http://www.ed.gov/programs/campisp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will be selected from those in the Education Department General Administrative Regulations (EDGAR). Contact the Program Office for more information.

84.336 TEACHER QUALITY PARTNERSHIP GRANTS

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title II, Part A.

OBJECTIVES:

To improve student achievement; improve the quality of new and prospective teachers by improving the preparation of prospective teachers and enhancing professional development activities for new teachers; hold teacher preparation

programs at institutions of higher education (IHEs) accountable for preparing highly qualified teachers; and recruit highly qualified individuals, including minorities and individuals from other occupations, into the teaching force.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards may be used to reform teacher preparation programs through either the Pre-Baccalaureate or fifth year initial licensing Program and/or the Teaching Residency Program. Additionally, funded programs have the option of implementing a School Leadership Program as well as establishing a Partnership with a Digital Education Content Developer.

Applicant Eligibility:

States and partnerships that consist of at least one institution of higher education, a school, department or program of education within the partner institution, one school of arts and sciences within the partner institution, one high-need local educational agency, and a high-need school or a consortium of high-need schools served by the high-need local educational agency. A high-need local educational agency; is an agency that serves an elementary school or secondary school located in an area containing: (1) A high percentage of individuals or families with incomes below the poverty line, (2) a high percentage of secondary teachers not teaching in the content area that they were trained to teach, or (3) a high teacher turnover rate. An accredited institution of higher education, with a teacher training program must demonstrate the following: (A) A graduate from the teacher training program exhibits strong performance on State-determined qualifying assessments for new teachers through demonstrating that 80 percent or more of the graduates of the program intending to enter the field of teaching have passed all of the applicable State qualification assessments for new teachers including an assessment of each prospective teacher's subject matter knowledge in the content area or areas in which the teacher intends to teach; (B) be ranked among the highest-performing teacher preparation programs in the State as determined by the State; (C) the teacher training program requires all the students of the program to participate in intensive clinical experience that meets high academic standards in the case of secondary candidates to successfully complete an academic major in the subject area in which the candidate intends to teach; (D) competence through a high level of performance in relevant content area; (F) successful completion of an academic major in the arts and sciences in the case of elementary school candidates, or (G) competence through a high level of performance in core academic subjects areas. (Note: Entities that may constitute a partnership are specifically listed in the Law.).

Beneficiary Eligibility:

Benefiting are students and school communities in high-need schools and school districts.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Peer review panels composed of representatives from public and private higher education, State and local educational agencies with expertise in teacher education are designated to review applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within four months of the deadline for submission of applicants.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those for new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has statutory requirements as follows: eligible partnerships are required to match 100 percent of the grant. Eligible partnerships may submit a request to waive the 100 percent match requirement for the first two years of the grant. The Secretary has the authority to grant this waiver to eligible partnerships as authorized under section 203(c)(2) of the HEA, as amended.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a performance period of five years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75). Generally, annual financial and progress reports must be submitted as required by grant award terms and conditions. No cash reports are required. Annual progress reports are required as part of the submittal of form ED 524B. Annual expenditure reports are required as part of the submittal of for ED 524B. Staff also monitor drawdowns using agency financial reports. Annual performance monitoring is required as part of the submittal of for ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the awards.

Account Identification:

91-0204-0-1-501; 91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$50,000,000; FY 10 est \$43,000,000; FY 11 est \$57,000,000

Range and Average of Financial Assistance:

Estimated average award is \$1.5 million per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Peggi Zelinko, Department of Education, Office of Innovation and Improvement, Teacher Quality Programs, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: peggi.zelinko@ed.gov Phone:

(202) 260-2614.

Website Address:

<http://www2.ed.gov/programs/tqpartnership/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for this information.

84.337 INTERNATIONAL EDUCATION, TECHNOLOGICAL INNOVATION AND COOPERATION FOR FOREIGN INFORMATION ACCESS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended (HEA), Title VI, Part A, Section 606.

OBJECTIVES:

Grants are awarded to institutions of higher education, public or nonprofit private libraries, or partnerships between institutions and other such institutions, libraries, or non-profit educational organizations, to develop innovative techniques or programs using new electronic technologies to collect, organize, and preserve, and widely disseminate information from foreign sources on world regions and countries other than the United States that address our Nation's teaching and research needs in international education and foreign languages.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards may be used to support projects to acquire, facilitate access to, or preserve foreign information resources in print or electronic forms; develop new means of immediate, full-text document delivery for information and scholarship from abroad; develop new means of or standards for shared electronic access to international data; support collaborative projects of indexing, cataloging, and other means of bibliographic access for scholars to important research materials published or distributed outside the United States; develop methods for the wide dissemination of resources written in non-Roman language alphabets; assist teachers of less commonly taught languages in acquiring, via electronic and other means, materials suitable for classroom use; and promote collaborative technology based projects in foreign languages, area studies, and international studies among grant recipients under Title VI of the HEA; and establish linkages to facilitate carrying out program activities between(1) the institutions of higher education, libraries, and partnerships receiving grants; and (2) institutions of higher education, nonprofit educational organizations, and libraries overseas; and to carry out other activities that the Secretary determines are consistent with the purpose of the grants awarded under this program.

Applicant Eligibility:

Institutions of higher education, public or nonprofit private libraries or partnerships between such institutions and other such institutions, libraries, or nonprofit educational organizations.

Beneficiary Eligibility:

Institutions of higher education, and public or nonprofit private libraries or partnerships of such institutions or libraries.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date.

Award Procedure:

Peer review panels composed of representatives from public and private higher education and libraries having expertise in the utilization of technology for accessing and disseminating information on world regions other than the United States that address our Nation's teaching and research needs in international education and foreign languages.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification usually is made within four months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal of multi-year projects are processed and funded before those of new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: This program has no statutory formula to determine the amount of a grant. The grantee is required to contribute to the project of an amount from nonfederal sources equal to at least 33 1/3 percent of the total cost of the project. The nonfederal contribution may be in cash or in-kind. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be made on a multi-year basis. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

A final performance report is required. Cash reports are not applicable. Contact program office. Expenditure reports are not applicable. Contact program office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Records supporting claims under a grant must be maintained for four years after termination of the award.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$2,108,169; FY 10 est \$2,108,169; FY 11 est \$2,108,169

Range and Average of Financial Assistance:

Range: \$106,000 - \$189,999; Average: \$162,167.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Thirteen new awards were made in FY 2009. Fiscal Year 2010: Thirteen continuation awards are expected to be awarded in FY 2010. Fiscal Year 2011: Thirteen continuation awards are expected to be made in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is governed by the authorizing statute and the Education Department General Administrative Regulations, 34 CFR 74, 75, 77, 79, 82, 84, 85, 86, 97, 98 and 99. Applicable guidelines will be announced in the Federal

Register. Contact the Program Office for information.

Regional or Local Office:

None.

Headquarters Office:

Susanna C. Easton, International Education Programs Service, Office of Postsecondary Education, Department of Education, 1990 K. Street, NW, 6th Floor, Washington, District of Columbia 20006 Email: Susanna.easton@ed.gov Phone: (202) 502-7628.

Website Address:

<http://www.ed.gov/programs/iegpsticfia/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for awards will be evaluated competitively in accordance with the selection criteria published in 34 CFR 75.209 and 75.210. The Secretary will weigh criteria using points indicated in the application package and in a notice published in the Federal Register. Applicants should address the selection criteria only in the context of the program requirements in Title VI, Part A, Section 606 of the Higher Education Act of 1965, as amended.

84.343 ASSISTIVE TECHNOLOGY STATE GRANTS FOR PROTECTION AND ADVOCACY

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Section 5 of the Assistive Technology Act of 1998, as amended.

OBJECTIVES:

To support protection and advocacy services through the systems established to provide protection and advocacy services under the Developmental Disabilities Assistance and Bill of Rights Act for the purpose of assisting in the acquisition, utilization, or maintenance of assistive technology or assistive technology services for individuals with disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to support protection and advocacy services related to assistive technology services and devices. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 76-564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group at (202) 708-7770.

Applicant Eligibility:

Designated protection and advocacy agencies in States and outlying areas only.

Beneficiary Eligibility:

Persons with disabilities who may benefit from assistive technology services and devices.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. 34 CFR parts 74 and 80 applies

to this program. The standard application forms are furnished by the Office of Special Education and Rehabilitative Services, Rehabilitation Services Administration. Contact the Program Office for more information.

Award Procedure:

Applications are reviewed by Department of Education staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The grantee may appeal to the Office of Administrative Law/Judges (34 CFR part 81).

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Funds are distributed on a State population basis, with a minimum annual grant of \$50,000. Territories receive a minimum of \$30,000.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Annual formula grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress reports are required. Quarterly cash reports are required. Progress reports are not applicable. Annual expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As stated in grant or contract terms and conditions, the awardee shall mail accounts, records, and other evidence pertaining to all costs incurred, review other applicable credits acquired under this grant.

Account Identification:

91-0301-0-1-506.

Obligations:

(Formula Grants) FY 09 \$4,300,000; FY 10 est \$4,300,000; FY 11 est \$4,300,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for this information.

Regional or Local Office:

None.

Headquarters Office:

Jessica Smith, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jessica.smith@ed.gov Phone: (202) 245-6493.

Website Address:

<http://www.rsa.ed.gov/programs.cfm>

RELATED PROGRAMS:

84.240 Program of Protection and Advocacy of Individual Rights

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.345 UNDERGROUND RAILROAD EDUCATIONAL AND CULTURAL PROGRAM

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Amendments of 1998; Title VIII, Part H.

OBJECTIVES:

To provide grants to nonprofit educational organizations that are established to house research, display, interpret and communicate information to elementary and secondary schools, institutions of higher education, and the general public relating to the history of the Underground Railroad.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

In accordance with eligibility requirements. Organizations receiving funds must: house, display, interpret and communicate information regarding artifacts and research on the Underground Railroads history and on lessons learned from Underground Railroad history; demonstrate substantial private support through a public-private partnership; create an endowment to fund shortfalls in the costs of the on-going operations of the facility; make project efforts available, electronically and by other means, to elementary and secondary schools, institutions of higher education, other local and regional facilities that have Underground Railroad history collections and programs. In addition, grantees may establish a network of satellite centers throughout the United States to help disseminate information regarding the Underground Railroad.

Applicant Eligibility:

An application submitted for funding under this program must include: (1) The name, address, and web site address, if any, of the nonprofit educational institution seeking to participate, and the name, title, mailing and e-mail address, and telephone number of a contact person for the institution. (2) A description of the facility used to house, display and interpret the artifacts related to the history of the Underground Railroad, including lessons to be drawn from such history. (3) A description of the plan to display, interpret and maintain the project artifacts and materials. (4) A description of the nonprofit educational institutions project that will make the interpretive efforts available to institutions of higher education, elementary and secondary schools, and the general public that award a baccalaureate or graduate degree. (5) A description of the substantial private support for the facility through the implementation of a public private partnership between a State or local public entity and a private entity. for the support of the facility as required in section 841 (b) (2) of the Higher Education Amendments of 1998. (6) Documentation commitment of the private entity to provide matching funds for the support of the facility in an amount equal to 4 times the amount of the contribution of federal grant. (7) A description of the endowment created to fund any and all shortfalls in the costs of the on-going operations of the facility and expected sources of these funds. (8) If the nonprofit educational institution proposes to establish satellite centers, it must provide documentation showing how the satellite centers will raise 80 percent of the funds required from nonfederal public and private sources. (9) A detailed description of how the applicant intends to electronically link the facility with other local and regional facilities that have collections and programs that interpret the history of the underground railroad.

Beneficiary Eligibility:

Nonprofit educational organizations that are established to research, display, interpret and collect artifacts relating to the history of the Underground Railroad.

Credentials/Documentation:

Nonprofit certification including a description of the purpose for which the organization was established. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All applications should clearly designate a contact person, telephone number, and the e-mail address of the contact person. Using E-Application, applicants should electronically submit the original application to the U.S. Department of Education.

Award Procedure:

Awards are reviewed by outside reviewers.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 to 180 days.

Appeals:

Not Applicable.

Renewals:

Dependent upon the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: An agreement documenting the commitment of the private entity to provide matching funds for the support of the facility in an amount equal to 4 times the amount of the contribution of the State or local public entity is required. An explanation of the proposed contribution of the State or local public entity and documentation showing that not more than 20 percent of the matching funds will be provided by the Federal government is also required.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to three years. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

For each fiscal year for which the organization receives funding under this section, a report must be submitted to the Secretary of Education that contains a description of the programs and activities supported by the funding, the audited financial statement of the organization for the preceding fiscal year, a plan for the programs and activities to be supported in the succeeding year and an internal and an external evaluation of the programs and activities supported by the funding. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Contact program office.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26. In addition, as part of its annual report, every grantee must submit the previous fiscal years audited financial statement of its entire organization.

Records:

No Data Available.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$1,945,000; FY 10 est \$1,945,000; FY 11 est \$0 - No appropriation is expected for FY 2011 for this program.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Three awards. Fiscal Year 2010: Two awards are expected to be made in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

Claire Cornell, Department of Education, Office of Postsecondary Education, 1990 K Street NW, Room 6151, Washington, District of Columbia 20006
Email: claire.cornell@ed.gov Phone: (202) 502-7609.

Website Address:

<http://www.ed.gov/programs/ugroundrr/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for information.

84.350 TRANSITION TO TEACHING

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Executive Order Title II, Part C, Subpart 1, Chapter B.

OBJECTIVES:

To recruit and retain highly qualified midcareer professionals (including highly qualified paraprofessionals) and recent graduates of institutions of higher education, as teachers in high-need schools, including recruiting teachers through alternative routes to teacher certification; and to encourage the development and expansion of alternative routes to certification and licensure under State-approved programs that enable individuals to be eligible for teacher certification and licensure within a reduced period of time, relying on the experience, expertise, and academic qualifications of an individual or other factors in lieu of traditional course work in the field of education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To develop or enhance alternative route programs to recruit, select, prepare, place, support, and retain individuals (mid-career individuals, paraprofessional, and recent college graduates) to become certified and successful teachers in high-need subjects areas and reduce the need for teachers in high need schools in high need local education agencies. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

State educational agencies; high-need local educational agencies; for profit and nonprofit organizations with proven records of effectively recruiting and retaining highly qualified teachers, in partnership with high-need local educational agencies or State educational agencies; institutions of higher education, in partnerships with high-need local educational agencies or State educational agencies; regional consortia of State educational agencies; and consortia of high-need local educational agencies.

Beneficiary Eligibility:

Mid-career professionals from various fields who possess strong subject-matter skills to become teachers; recent college graduates with baccalaureate degrees in the academic subjects that the individuals will teach paraprofessionals who

want to become teachers; high-need LEA's with critical teacher shortages, particularly in the fields of mathematics, science, foreign languages, bilingual education, reading, and special education.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicant must submit the following by the designated deadline: (1) The applicant must provide a written narrative which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications.

This Section must be limited to no more than 50 double-spaced pages. (2) Required forms to be submitted with the application include SF-424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness. Applications are reviewed and scored by a panel of experts. Applications are ranked according to score by funding category; i.e., national, State, or local applicant. A funding slate is prepared and the highest funded applications are recommended for funding. Successful and unsuccessful applicants are notified of outcome. Grant awards are prepared and mailed to successful grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Part 86 - Subpart E of the Education Department General Administrative Regulations (EDGAR) outlines procedures governing appeals of decisions by a designated Department official to demand the repayment of Federal financial assistance or terminate the eligibility of an institution of higher education, State educational agency or local educational agency.

Renewals:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and substantial progress. Renewals after 3 years are based on availability of appropriations and progress made based an interim evaluation each project is required to submit.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be funded for a period of 36 months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance reports are due 90 days after expiration or termination of the award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of three years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$43,707,000; FY 10 est \$43,707,000; FY 11 est \$93,707,000

Range and Average of Financial Assistance:

FY 09: Range: \$1 - \$3,327,480; Average: \$465,565.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funded 21 new projects and made 73 continuations. Fiscal Year 2010: The Department expects to make approximately 93 continuation awards in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidelines were published in the Federal Register in April 2001. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Beatriz Ceja Department of Education, Office of Innovation and Improvement, Teacher Quality Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: beatriz.ceja@ed.gov Phone: (202) 205-5009

Website Address:

<http://www.ed.gov/programs/transitionteach/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210. Contact the program office for more information.

84.351 ARTS IN EDUCATION

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title V, Part D, Subpart 15.

OBJECTIVES:

To provide competitive grants that support the integration of the arts into the elementary and secondary school curriculum, with particular focus on improving the academic achievement of low-income students.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are awarded on a competitive basis to support the following types of activities: (1) research on arts education; (2) development and dissemination of model school-based arts education programs; (3) development of model State arts education assessments based on State academic achievement standards; (4)

development of curriculum frameworks in the arts; (5) development of model professional development programs in the arts for teachers and administrators; (6) collaborative activities with Federal agencies or institutions involved in arts education, arts educators, and organizations representing the arts; and (7) model programs to integrate arts education into the regular elementary and secondary school curriculum. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

State educational agencies; local educational agencies; institutions of higher education; museums and other cultural institutions; and any other public or private agencies, institutions, or organizations.

Beneficiary Eligibility:

Arts educators and administrators, and their students benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The applicant must submit the following by the designated deadline: Written narrative addressing the selection criteria and application content; all required forms: SF 424; A,B,C,D of Form 525; Assurances Non-Construction programs; Certification Regarding Lobbying, Debarment and Suspension; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion - Lower Tiered Covered Transactions.

Award Procedure:

Applications are reviewed by the agency for eligibility and completeness; applications are reviewed and scored by a panel of experts; and applications are ranked according to raw scores. A funding slate is prepared, and the highest ranked applications are recommended for funding. Successful and unsuccessful applicants are notified of the outcome, and grant awards are prepared and mailed to successful grantees.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Part 86, Subpart E, of the Education Department General Administrative Regulations (EDGAR) outlines procedures governing appeals of decisions by a designated Department official to demand the repayment of Federal financial assistance or terminate the eligibility of an institution of higher education, State educational agency or local educational agency.

Renewals:

Applicants may apply for a project period of up to three years. Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants under this program may be awarded for up to 48 months. Continuation

awards are subject to the availability of funds and substantial progress by the grantee. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Performance Reports are due within six to ten months of the initial award date; these reports are used to determine whether the grantee has made substantial progress toward meeting the project objectives and should or should not receive continuation funding. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$38,166,000; FY 10 est \$40,000,000; FY 11 est \$41,000,000

Range and Average of Financial Assistance:

Range of new awards: \$100,000 to \$350,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department of Education General Administrative Regulations (EDGAR). Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Diane Austin, Model Development and Dissemination Grants, Department of Education, Office of Innovation and Improvement, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: diane.austin@ed.gov Phone: (202) 260-1280.

Website Address:

<http://www.ed.gov/programs/artsed/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the Education Department General Administrative Regulations (EDGAR) 34 CFR 75.210.

84.354 CREDIT ENHANCEMENT FOR CHARTER SCHOOL FACILITIES

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Part B, Subpart 2.

OBJECTIVES:

To provide grants to eligible entities to leverage funds through credit

enhancement initiatives in order to assist charter schools in using private-sector capital to acquire, construct, renovate, or lease academic facilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant recipients must deposit the funds received under this program (other than funds used for administrative costs) in a reserve account established and maintained by the entity for this purpose. Amounts deposited in such an account must be used by the entity to help charter schools access private-sector capital for acquiring, renovating, or constructing facilities. Reserve accounts must be used for one or more of the following purposes: (1) Guaranteeing, insuring, and reinsuring bonds, notes, evidences of debt, loans, and interests therein; (2) Guaranteeing and insuring leases of personal and real property; (3) Facilitating financing by identifying potential lending sources and encouraging private lending and other similar activities that directly promote lending to, or are conducted for the benefit of, charter schools; and (4) Facilitating the issuance of bonds by charter schools or by public entities for the benefit of charter schools. This may be accomplished by providing technical, administrative, and other appropriate assistance, including the recruitment of bond counsel, underwriters, and potential investors and the consolidation of multiple charter school projects within a single bond issue.

Applicant Eligibility:

A public entity, such as a State or local government entity, a private nonprofit entity, or a consortium of such entities may apply.

Beneficiary Eligibility:

Public charter schools as defined in Section 5210, ESEA.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, any priorities included in the application notice, and all required assurances and signatures. "Intergovernmental Review of Federal Programs."

Award Procedure:

The Department of Education awards these grants on a competitive basis. The program office notifies successful applicants of awards and negotiates and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds under this program are available for the Department of Education to obligate by September 30 of the fiscal year in which they are appropriated. Once these funds are obligated, they must be expended from the Federal account in five years. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annually must submit to the Secretary a report of its operations and activities. Each such annual report must include-- (1) a copy of the most recent financial statements, and any accompanying opinion on such statements, prepared by the independent public accountant reviewing the financial records of the eligible entity; (2) a copy of any report made on an audit of the financial records of the eligible entity that was conducted during the reporting period; (3) an evaluation by the eligible entity of the effectiveness of its use of the Federal funds in leveraging private funds; (4) a listing and description of the charter schools served during the reporting period; (5) a description of the activities carried out by the eligible entity to assist charter schools; and (6) a description of the characteristics of lenders and other financial institutions participating in the activities undertaken by the eligible entity during the reporting period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$8,300,000; FY 10 est \$8,300,000; FY 11 est \$8,300,000

Range and Average of Financial Assistance:

\$8,300,000 (one award in FY 2010).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: One award made. Fiscal Year 2010: One award was made. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Ann Margaret Galiatsos, Department of Education, Office of Innovation and Improvement, Parental Options and Information, 400 Maryland Ave., SW., Washington, District of Columbia 20202 Email: ann.galiatsos@ed.gov Phone: (202) 205-9765.

Website Address:

<http://www.ed.gov/programs/charterfacilities/index.html> .

RELATED PROGRAMS:

84.282 Charter Schools

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. For additional information contact the program office.

84.356 ALASKA NATIVE EDUCATIONAL PROGRAMS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Title VII, Part C.

OBJECTIVES:

To support projects that recognize and address the unique educational needs of Alaska Native students and to support supplemental education programs to benefit Alaska Natives.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable activities include, but are not limited to: the development of curricula and education programs that address the educational needs of Alaska Native students; the development and operation of student enrichment programs in science and mathematics; professional development activities for educators; family literacy services; and dropout prevention programs. An eligible entity may use not more than 5 percent of the funds received under this program for the administrative costs of carrying out its responsibilities under this Subpart.

Applicant Eligibility:

Alaska Native organizations, education entities with experience in developing or operating Alaska Native programs or programs of instruction conducted in Alaska Native languages, cultural and community-based organizations with experience in developing or operating programs to benefit Alaska Natives, and consortia of organizations may apply. A State educational agency (SEA) or local educational agency (LEA) may apply as part of a consortium involving an Alaska Native organization. The consortia may include other eligible applicants.

Beneficiary Eligibility:

Alaska Natives as defined in Public Law 103-382.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The Program Office notifies successful applicants of awards and negotiates and awards grants. Contact the Program Office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds under this program are available for the Department of Education to obligate by September 30 of the fiscal year for which they are appropriated. Once these funds are obligated, they must be expended from the Federal account by September 30 of the subsequent fiscal year. In addition, two years after the grantee's receipt of grant funds, the Secretary may collect any or all funds in a reserve account if the grantee has not made substantial progress or is no longer using the funds for their specified purpose. Continuation awards are subject to the availability of appropriations and adequate progress on project implementation. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annually shall submit to the Secretary a report of its operations and activities. Each annual report shall include: (1) An executive summary; (2) information on project status; (3) budget information; and (4) supplemental information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 09 \$33,315,000; FY 10 est \$33,315,000; FY 11 est \$33,315,000

Range and Average of Financial Assistance:

Range of new awards: \$300,000-\$700,000; Average new award: \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Thirty new awards and 22 continuation awards were made. Fiscal Year 2010: Two new awards and 45 continuation awards were made. Fiscal Year 2011: If the program receives an appropriation equal to the FY 2010 amount, approximately 30 new awards and 32 continuations would be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Pamela Reed, Department of Education, OESE School Improvement Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: pamela.reed@ed.gov Phone: (202) 401-3684.

Website Address:

<http://www.ed.gov/programs/alaskanative/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.358 RURAL EDUCATION**FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title VI, Part B.

OBJECTIVES:

To provide financial assistance to rural districts to carry out activities to help improve the quality of teaching and learning in their schools.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grantees under the Small, Rural School Achievement program component may use program funds to carry out activities authorized under: ESEA Title I-Part A (Grants to LEAs); Title II-Part A (Improving Teacher Quality State Grants); Title II-Part D (Educational Technology State Grants); Title III (Language Instruction for Limited English Proficient and Immigrant Students); Title IV-Part A (Safe and Drug-Free Schools and Communities State Grants); Title IV-Part B (21st Century Community Learning Centers); and Title V-Part A (State Grants for Innovative Programs). Grantees under the Rural and Low-Income School Program component may use program funds for: teacher recruitment and retention; teacher professional development; educational technology; parental involvement activities; activities authorized under ESEA Title IV-Part A (Safe and Drug-Free Schools and Communities); activities authorized under ESEA Title I-Part A (Grants to LEAs); and activities authorized under ESEA Title III (Language Instruction for Limited English Proficient and Immigrant Students). This program is subject to non-supplanting requirements and must use a restricted indirect cost rate that is referenced under 34 CFR 75.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on 202-708-7770.

Applicant Eligibility:

For the Small, Rural School Achievement program eligible recipients are local educational agencies (LEAs) in which (1) the total number of students in average daily attendance at all of the schools served by the LEA is less than 600 or where each school in the LEA is located in a county with a total population density of less than 10 persons per square mile; and (2) all of the schools served by the LEA are designated as rural by the U.S. Department of Education's National Center for Education Statistics (NCES) using the NCES school locale methodology in place at the time of NCLB's enactment or the LEA is located in an area of the State defined as a rural by a State governmental agency. For the Rural and Low-Income School program eligible recipients are State educational agencies (SEAs). SEAs then must distribute funds to local educational agencies (LEAs) on a formula or competitive basis. An LEA is eligible to receive a grant under this program only if (1) it is not eligible for funds under the Small, Rural School Achievement program; (2) at least 20% of the school children ages 5-17 in the LEA come from families with incomes below the poverty line; and (3) all of the schools served by the LEA are designated with a School Locale Code of 6, 7, or 8 under the Department of Education's National Center for Education Statistics (NCES) locator system using the NCES school locale methodology in

place at the time of NCLB's enactment. If an SEA chooses not to participate in the program, the Secretary uses the State's allocation to make direct grants to eligible LEAs in the State.

Beneficiary Eligibility:

Elementary and secondary schools, students, and teachers in rural schools will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. LEAs annually submit applications to the Department of Education. For the Small, Rural School Achievement program component, however, the Department considers the application requirement to be met if the LEA submitted an application in any prior year and has drawn down funds from the third preceeding the fiscal year award (if the LEA received an award that year (e.g., FY 2007 grantees that drew down none of those funds are required to reapply in FY 2010 to receive an award). Application forms and instructions are available from the headquarters office. For the Rural and Low-Income School program component, an SEA must submit an application that includes specific, measurable goals and objectives for the activities to be carried out through the grant. If an SEA does not participate in the program, eligible LEAs in the State may submit an application directly to the Department.

Award Procedure:

For the Small, Rural School Achievement program component, awards are issued annually directly to eligible LEAs on a formula basis. Awards are made to all that apply and meet the applicable requirements of the Act. Contact the program office for more information. For the Rural and Low-Income School program component, awards are issued annually to SEAs, which make subgrants to LEAs. Awards are made to all SEAs that apply and meet the applicable requirements of the Act. If an SEA does not participate, awards are issued to eligible LEAs in the State either competitively or by formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title VI, Part B.

Matching Requirements: For the Small, Rural School Achievement program, the Department calculates the amount of a grant that an eligible LEA receives by multiplying the number of students in average daily attendance in excess of 50 by \$100, adding this product to \$20,000, and subtracting the amounts the LEA received the previous year for Parts A and D of Title II, Part A of Title IV, and Part A of Title V of the Elementary and Secondary Education Act of 1965, as amended. The maximum award is limited to \$60,000. To make the total award amounts sum to the appropriation, a ratable increase or decrease is applied to each award. For the Rural and Low-Income School program, the Department reserves one-half of one percent of the half of the appropriation for Outlying Areas and one-half of one percent for the Bureau of Indian Education at the Department of the Interior. The Department of the Education allocates the remaining funds to States proportionally to the sum of the average daily attendance of the eligible LEAs within the respective States. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for 1 year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual financial and performance reports are due within 90 days of the termination of the project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained 3 years after the completion of the activity for which grant funds were used.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 \$173,382,000; FY 10 est \$174,882,000; FY 11 est \$174,822,000

Range and Average of Financial Assistance:

Range of new awards for SRSA: \$0 - \$60,000; Average new award for SRSA: \$21,000

Range of new subgrants for RLIS: \$2,000 - \$633,000; Average new subgrants for RLIS: \$58,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, 4105 LEAs received Small Rural School Achievement awards, 1,497 LEAs were eligible to receive Rural Low Income School Grant Awards. Fiscal Year 2010: Approximately 4,116 LEAs are expected to receive Small, Rural School Achievement grants and 1,500 LEAs are expected to receive Rural and Low-Income School grants. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, 97, 98 and 99.

Regional or Local Office:

None.

Headquarters Office:

Eric Schulz, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: reap@ed.gov Phone: (202) 260-7349.

Website Address:

<http://www.ed.gov/programs/reapsrsa/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information.

84.359 EARLY READING FIRST

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 as amended, Title I, Part B, Subpart 2.

OBJECTIVES:

To support local efforts to enhance the early language, literacy, and prereading

development of preschool-aged children, particularly those from low-income families, through instructional strategies and professional development based on scientifically based reading research. Early Reading First programs must have a high-quality oral language and print-rich environment, and must monitor children's progress toward language, literacy, and cognitive goals using screening reading assessments and other appropriate measures.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds support projects designed to help early childhood centers improve their programs by creating centers of excellence that provide preschool-age children with language and cognitive skills and an early reading foundation. Funds must be used to: enhance children's language, cognitive, and early reading skills through professional development for teachers; provide early language and reading development and instructional materials as developed from scientifically based reading research; provide preschool-age children with cognitive learning opportunities in high-quality language and literature-rich environments; use screening assessments to effectively identify preschool children who may be at risk for reading failure; and improve existing early childhood programs by integrating scientifically based reading research into all aspects of the program (including instructional materials, teaching strategies, curricula, parent engagement, and professional development).

Applicant Eligibility:

The following entities are eligible to apply for an Early Reading First grant: (1) One or more local educational agencies that are eligible to receive a Reading First State grant subgrant; (2) One or more public or private organizations of agencies, acting on behalf of one or more programs that serve preschool age children (such as a Head Start program, a child care program, an Even Start Family literacy program, a lab school at a university), located in a community that is eligible for a Reading First grant; (3) One or more local educational agencies described in (1), in collaboration with one or more organizations or agencies described in (2).

Beneficiary Eligibility:

Early childhood staff and children in early childhood programs benefit from this program.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Those applicants who are invited to submit a full application must prepare and submit them in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the U.S. Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, the competitive priority included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF-424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit (1) one original and (2) copies of their application.

Award Procedure:

The Department of Education notifies successful applicants of awards. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the full application deadline.

Appeals:

Not Applicable.

Renewals:

The Department may award grants with a project period of up to 6 years. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects may be funded for a period of up to 6 years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports must be submitted at the end of each budget period. Performance reports must be submitted at the end of the grant period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to EDGAR, Part 80.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$112,549,000; FY 10 est \$0; FY 11 est \$0 - No new obligations are expected in FYs 2010 or 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Rebecca Marek, Department of Education, OESE Academic Improvement and Teacher Quality, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: rebecca.marek@ed.gov Phone: (202) 260-0968.

Website Address:

<http://www.ed.gov/programs/earlyreading/index.html>.

RELATED PROGRAMS:

84.213 Even Start_State Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For further information, contact the program office.

84.360 HIGH SCHOOL GRADUATION INITIATIVE

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part H.

OBJECTIVES:

To support effective, sustainable and coordinated dropout prevention and reentry programs in high schools with annual dropout rates that exceed their State average annual dropout rate. Middle schools that have students who continue on to these high schools are also supported.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant recipients must implement proven strategies for reducing the number of students who drop out before completing secondary school and for assisting youth to reenter school after they have dropped out. These strategies may include: (1) identifying students at risk of dropping out; (2) providing at-risk students with services designed to prevent them from dropping out; (3) identifying and encouraging youth who already have dropped out to reenter school; and (4) implementing other comprehensive approaches.

Applicant Eligibility:

State educational agencies (SEAs) and local educational agencies (LEAs) serving communities with dropout rates above the State's average annual dropout rate are eligible to apply for funding.

Beneficiary Eligibility:

School administrators, teachers, students, and parents are beneficiaries.

Credentials/Documentation:

Information to support annual dropout rate calculations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, and includes all required assurances and signatures. Required assurances and certifications include: Group Application Certification (if applicable); Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80- 0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a

program narrative that includes responses to the selection criteria. Applicants are encouraged to submit (1) one original and (2) copies of their application.

Award Procedure:

The Department of Education notifies successful applicants of awards. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 8-12 weeks.

Appeals:

Not Applicable.

Renewals:

Continuation funding is dependent upon future program appropriations and demonstrated substantial progress toward meeting goals and objectives.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than 5 years. Continuation awards are dependent on the availability of funding. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit performance reports annually. The program office will establish a due date. Final performance reports must be submitted within 90 days after the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$50,000,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. For further guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Theda Zawaiza, Department of Education, OESE, 400 Maryland Ave., S.W. Room 3E122, Washington, District of Columbia 20202 Email:

theda.zawaiza@ed.gov Phone: (202) 205-3783.

Website Address:

<http://www.ed.gov/programs/dropout/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the program guidelines. Contact the program office for more information.

84.361 VOLUNTARY PUBLIC SCHOOL CHOICE

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title V, Part B, Subpart 3.

OBJECTIVES:

To support efforts to establish or expand intra-district, inter-district, and open enrollment public school choice programs to provide parents, particularly parents whose children attend low-performing public schools, with expanded education options and with greater choice for their children's education.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

An eligible entity that receives a grant under this subpart may use the grant funds for: (1) planning or designing a program (for not more than 1 year); (2) the cost of making tuition transfer payments to public elementary schools or secondary schools to which students transfer under the program; (3) the cost of capacity-enhancing activities that enable high-demand public elementary schools or secondary schools to accommodate transfer requests under the program; (4) the cost of carrying out public education campaigns to inform students and parents about the program; and (5) other costs reasonably necessary to implement the program. An eligible entity that receives a grant under this subpart may not use the grant funds for school construction. The eligible entity may use not more than 5 percent of the funds made available through the grant for any fiscal year for administrative expenses.

Applicant Eligibility:

State educational agencies (SEAs); local educational agencies (LEAs); or a partnership of either (a) one or more SEAs and (b) one or more LEAs or other public, for-profit, or nonprofit entities; or (a) one or more LEAs and (b) one or more public, for-profit, or nonprofit entities.

Beneficiary Eligibility:

Public school students.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in

the application notice, and all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. The program office notifies successful applicants of awards, negotiates final budgets, and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

The General Education Provisions Act hearing procedures regarding recovery of funds are applicable to this program.

Renewals:

Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards may be made for up to 5 years. Continuation awards are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As part of the continuation funding process, grantees must submit performance reports annually. Final performance reports must be submitted within 190 days of the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$25,819,000; FY 10 est \$25,819,000; FY 11 est \$25,819,000

Range and Average of Financial Assistance:

Range of awards: \$720,000-\$2,640,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 14 continuation awards made in FY09. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Jeanne Gilroy, Department of Education, Office of Innovation and Improvement Parental Options and Information 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: jeanne.gilroy@ed.gov Phone: (202) 205-5482.

Website Address:

<http://www.ed.gov/programs/choice/index.html>.

RELATED PROGRAMS:

84.282 Charter Schools

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications.

84.362 NATIVE HAWAIIAN EDUCATION

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title VII, Part B.

OBJECTIVES:

To develop innovative educational programs to assist Native Hawaiians and to supplement and expand programs and authorities in the area of education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Program funds may be used to carry a variety of authorized activities including, but not limited to: early education and care programs, family-based education centers, beginning reading and literacy programs, activities to address the needs of gifted and talented Native Hawaiian students, professional development for educators, and activities to enable Native Hawaiian students to enter and complete postsecondary education programs. An eligible entity may use not more than 5 percent of the funds received under this program for the administrative costs of carrying out its responsibilities under this subpart.

Applicant Eligibility:

Eligible applicants include: Native Hawaiian educational organizations and community-based organizations; public and private nonprofit organizations, agencies, and institutions with experience in developing or operating Native Hawaiian programs or programs of instruction in the Native Hawaiian language; or consortia of the organizations, agencies, and institutions described above.

Beneficiary Eligibility:

Native Hawaiian children and adults.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with a notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, and any priorities included in the application notice, and includes all required assurances and signatures.

Award Procedure:

The Department of Education awards these grants on a competitive basis. Applications are reviewed and ranked by a panel of outside reviewers. The program office notifies successful applicants of awards and awards grants. Contact the program office for more information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made for a period of up to three years, continuation awards are based on the availability of appropriations and adequate progress on project implementation. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Each eligible entity receiving a grant under this program annually must submit to the Department a report of its operations and activities. Each such annual report must include: (1) an executive summary; (2) information project status; (3) budget information; and (4) supplemental information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The financial records of each eligible entity receiving a grant under this subpart shall be maintained in accordance with generally accepted accounting principles and shall be subject to an annual audit by an independent public accountant.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years after the end of the grant.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 09 \$33,315,000; FY 10 est \$34,315,000; FY 11 est \$34,315,000

Range and Average of Financial Assistance:

Range of New Awards: \$250,000-\$950,000; Average New Award: \$425,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Sixteen new awards and 31 continuation awards were made. Fiscal Year 2010: Eight new awards and 38 continuation awards were made. Fiscal Year 2011: If the program receives an appropriation equal to the FY 2010 amount, approximately 25 new awards and 24 continuation awards would be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Joanne Osborne, Department of Education, OESE, Academic Improvement and

Teacher Quality Programs, 400 Maryland Ave., S.W., Rm. 3W215, Washington, District of Columbia 20202 Email: joanne.osborne@ed.gov Phone: (202) 260-1265.

Website Address:

<http://www.ed.gov/programs/nathawaiian/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

84.363 SCHOOL LEADERSHIP**FEDERAL AGENCY:**

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended., Executive Order Title II, Part B, Subpart 5, Section 2151(b).

OBJECTIVES:

To provide support to eligible entities in the development of innovative programs that recruit, develop, prepare, and mentor principals and assistant principals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grantees under this program may use funds for the following purposes: (1) providing financial incentives to aspiring new principals; (2) providing stipends to principals who mentor new principals; (3) carrying out professional development programs in instructional leadership and management; and (4) providing incentives that are appropriate for teachers or individuals from other fields who want to become principals and that are effective in retaining new principals.

Applicant Eligibility:

Eligible entities include: (1) high-need local educational agencies; (2) consortia of high-need local educational agencies; and (3) partnerships of high-need local educational agencies, nonprofit organizations, and institutions of higher education.

Beneficiary Eligibility:

Local education agencies and school leaders.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with the regulations, instructions, forms and deadlines published in the Federal Register.

Award Procedure:

The Department of Education notifies successful applicants of awards. Negotiation and awarding of grants will be completed by the Department of Education's Office of Innovation and Improvement staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are awarded for up to 5 years. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress and financial reports are required in accordance with the Education Department General Administrative Regulations. Final performance reports must be submitted within 90 days following the end of the grant award period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the Education Department General Administrative Regulations in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 a year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations, certain records must be retained for three years after the end of the grant.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$19,220,000; FY 10 est \$29,220,000; FY 11 est \$79,220,000

Range and Average of Financial Assistance:

FY 09 Range: \$62,361 - \$1,375,027; Average: \$660,580.
No current data available for FY 2010 & FY 2011.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Department made 7 new awards and 22 continuation awards. Fiscal Year 2010: The Department expects to make approximately 15 new awards and 29 continuation awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

Beatriz Ceja, Department of Education, Office of Innovation and Improvement, Teacher Quality Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: beatriz.ceja@ed.gov Phone: (202) 205-5009.

Website Address:

<http://www.ed.gov/programs/leadership/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria contained in EDGAR, 34 CFR 75.209 and 75.210 are used to evaluate applications. Contact the program office for additional information.

**84.364 IMPROVING LITERACY THROUGH SCHOOL LIBRARIES
FEDERAL AGENCY:**

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title I, Part B, Subpart 4.

OBJECTIVES:

To provide students with increased access to up-to-date school library materials, a well-equipped, technologically advanced school library media center, and well-trained, professionally certified school library media specialists to improve literacy skills and achievement of students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A recipient local educational agency (LEA) receiving a grant may use program funds to: acquire school library media resources; acquire and use technology that can help to develop the information retrieval and critical thinking skills of students; facilitate Internet links and other resource-sharing networks; provide professional development and collaborative activities for school library media specialists; and provide students with access to school libraries during non-school hours. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 75.563. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Local educational agencies (LEAs) with a child poverty rate of at least 20 percent are eligible.

Beneficiary Eligibility:

School library media center specialists, teachers, students, parents and the communities are beneficiaries.

Credentials/Documentation:

Information to document the need for and impact of school library improvement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education a complete application that addresses the purposes of the program, the selection criteria, the absolute priority included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Group Application Certification (if applicable); Assurances for Non-Construction Programs (SF 422B); Certification regarding Lobbying, Debarment; Suspension and Other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-0013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to

Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF424); the required Budget Form (ED Form 524); an itemized budget and other budget information; proof of eligibility; a project abstract; and a program narrative that includes responses to selection criteria.

Award Procedure:

Applications are reviewed and ranked by a panel of outside readers. The Department of Education notifies successful applicants of awards and negotiates the final award documents.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally 60 to 120 days after the deadline for applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than 12 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees must submit a final performance reports within 90 days after the end of the grant award period documenting how the funds were used and the how school library media centers were improved. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after the fiscal year in which the expenditure was made by the grantee, or until the grantee is notified of the completion of the Federal fiscal audit. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-0900-0-1-501.

Obligations:

(Project Grants) FY 09 \$19,145,000; FY 10 est \$19,145,000; FY 11 est \$19,145,000

Range and Average of Financial Assistance:

Range of New Awards: \$30,000-\$500,000; Average New Award: \$231,849.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fifty-seven awards were made. Fiscal Year 2010: Fifty awards were made. Fiscal Year 2011: If the program receives an appropriation equal to the FY 2010 amount, approximately 45-55 awards would be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. For further guidance and other information, contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Pilla Parker, Department of Education, OESE Academic Improvement and Teacher Quality Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: pilla.parker@ed.gov Phone: (202) 260-3710.

Website Address:

<http://www.ed.gov/programs/lsl/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are contained in the program guidelines. Contact the program office for more information.

84.365 ENGLISH LANGUAGE ACQUISITION GRANTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), as amended, Title III, Part A, Sections 3101-3129.

OBJECTIVES:

To help ensure that limited English proficient children (LEP) and youth, including immigrant children and youth, attain English proficiency and meet the same challenging State academic content and student academic achievement standards as all children and youth are expected to meet.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The Department makes awards to States using a formula based on their share of limited English proficient (LEP) children and immigrant children. States must use at least 95 percent of their allocations to award local educational agencies subgrants to assist limited English proficient students to learn English and meet challenging State academic content and student academic achievement standards and to provide immigrant students enhanced instructional opportunities. States may reserve up to 5 percent of their allocations for administrative costs and technical assistance to subgrantees. Subgrantees must provide high-quality professional development training for teachers and support personnel. Training must improve teacher instruction and assessment capabilities, and enhance teachers' ability to understand and use curricula, assessment measures, and instructional strategies for LEP students. Training must also be based upon scientifically based research and be of sufficient intensity and duration to have a positive and lasting impact. Funds may also be used for identifying, acquiring, and upgrading curricula, instructional materials, educational software, and assessment procedures. This program is subject to non-supplanting requirements and grantees must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

States and outlying areas with approved State plans are eligible to receive funds.

Beneficiary Eligibility:

Children with limited English proficiency benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. States apply for grants by submitting a plan to the Department that describes the process they will use in establishing standards and objectives for raising the level of English proficiency academic achievement of LEP students, making sub-grants to eligible entities, and holding sub-grantees accountable for meeting annual measurable achievement objectives. Outlying area applicants may apply under the Consolidated Application Process for outlying areas through which they may combine various program funds. An application submitted under the Consolidated Application Process for outlying areas must address the purpose of the grant, priorities, goals and objectives, activities, evaluation, needs, and include a budget indicating how the funds will be used.

Award Procedure:

The Department allocates funds to any State or outlying area with an approved plan. States must expend at least 95 percent of their Title III allotments to award subgrants to local educational agencies and other eligible entities to meet the educational needs of LEP and immigrant children and youth.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Section 432 of the General Education Provisions Act provides local educational agencies with a right of appeal in disagreements between State and local educational agencies, including disagreements over funding decisions. Where a local educational agency alleges that the denial of funding is "a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program," it may, within 30 days, request a hearing from the State educational agency. Once the hearing is held and the State educational agency issues its written ruling, the local educational agency may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Language Instruction For Limited English Proficient and Immigrant Students, Title III, Public Law 107-110. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance Funds under the State Formula Grant and the Outlying Area Grant programs are 1-year grants. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Specific for formula grants: States prepare and submit reports every year on the programs and activities carried out by the SEA and the effectiveness of such programs and activities in improving the education of children who are limited English proficient. Local educational agencies that receive sub-grants from SEAs must provide States with an evaluation every second year. The evaluation must include: A description of the programs and activities conducted; a description of the progress made by children in learning English and meeting challenging State academic content and achievement standards; the number and percentage of children in the programs attaining English proficiency by the end of each year; and a description of the progress made by children in meeting State academic content and student achievement standards for each of the 2 years after such children are no longer receiving services. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-1300-0-1-501.

Obligations:

(Formula Grants) FY 09 \$673,900,000; FY 10 est \$692,500,000; FY 11 est \$73,900,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

EDGAR; 34 CFR 76. For more information contact the Program Office.

Regional or Local Office:

None.

Headquarters Office:

Supreet Anand Office of English Language Acquisition, 400 Maryland Avenue, SW, Washington, District of Columbia 20202 Email: supreet.anand@ed.gov Phone: (202) 401-9795.

Website Address:

<http://www2.ed.gov/about/offices/list/oela/funding.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.366 MATHEMATICS AND SCIENCE PARTNERSHIPS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part B.

OBJECTIVES:

To improve the academic achievement of students in mathematics and science by encouraging States, institutions of higher education (IHEs), local educational agencies (LEAs), and elementary and secondary schools to participate in programs that: improve and upgrade the status and stature of mathematics and science teaching by encouraging IHEs to improve mathematics and science teacher education; focus on the education of mathematics and science teachers as a career-long process; bring mathematics and science teachers together with scientists, mathematicians, and engineers to improve their teaching skills; and develop more rigorous mathematics and science curricula that are aligned with state and local academic achievement standards that reflect expectations for postsecondary study in engineering, mathematics, and science.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program supports State and local efforts to improve students' academic achievement in mathematics and science by promoting teachers' content knowledge teaching skills for elementary and secondary school teachers. Grantees may also use program funds to align instruction with challenging State and local content standards; establish distance learning programs for mathematics and science teachers; and recruit individuals with mathematics, science, and engineering majors into the teaching profession through the use of signing and performance incentives, stipends, and scholarships. Professional development must include summer workshops, or institutes and programs that bring mathematics and science teachers into contact with working scientists, mathematicians, and engineers in order to expand teachers' subject matter knowledge.

Applicant Eligibility:

The Department awards 3-year grants directly to partnerships on a competitive basis when the appropriation for the program is less than \$100 million. If the appropriation reaches or exceeds \$100 million, as has been the case since fiscal year 2003, the Department provides grants to States by formula based on the number of children aged 5 to 17 who are from families with incomes below the poverty line; States then award the funds competitively to partnerships. Eligible partnerships must include the State educational agency (if the Department is awarding the grants directly to partnerships); an engineering, mathematics, or science department of an IHE; and a high-need LEA. In addition, partnerships may include another engineering, mathematics, science, or teacher training department of an IHE; additional LEAs, public charter schools, public or private elementary or secondary schools; a business; or a nonprofit or for-profit organization of demonstrated effectiveness in improving the quality of mathematics and science teachers.

Beneficiary Eligibility:

University staff, school administrators, teachers, students, parents and the community.

Credentials/Documentation:

This program is included under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible partnerships submit an application directly to the Department when the program's appropriation is less than \$100 million. Partnerships submit an application to the State if the appropriation is \$100 million or greater.

Award Procedure:

The Department awards grants to States by formula. States then award grants to eligible partnerships.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a period of not more than three years. Renewals are subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) of State-administered programs (34 CFR Part 76). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. The State will correct deficiencies in program operations that are identified through audits, monitoring, or evaluation. In accordance with the Education Department General Administrative Regulations (EDGAR) in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

Records must be maintained for three years. In all cases of audit questions, records must be maintained until resolution has occurred.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 \$178,978,000; FY 10 est \$180,478,000; FY 11 est \$180,478,000

Range and Average of Financial Assistance:

FY09: \$890,000-\$20,038,000; Average: \$3,180,000

FY10: \$900,000-\$20,100,000; Average: \$3,225,000

FY11: No data available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Annual reports available for FY 2006 and FY 2007. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. For further guidance and other information, contact the Program Office.

Regional or Local Office:

None.

Headquarters Office:

Pat O'Connell Johnson, Academic Improvement and Teacher Quality Programs, Office of Elementary and Secondary Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: patricia.johnson@ed.gov Phone: (202) 260-7813.

Website Address:

<http://www.ed.gov/programs/mathsci/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The States establish program guidelines on selection criteria. Contact the Program Office for more information.

84.367 IMPROVING TEACHER QUALITY STATE GRANTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title II, Part A.

OBJECTIVES:

To provide grants to State educational agencies (SEAs), local educational agencies (LEAs), State agencies for higher education (SAHEs) and eligible partnerships in order to increase student academic achievement through strategies such as improving teacher and principal quality and increasing the number of highly qualified teachers in the classroom and highly qualified principals and assistant principals in schools and hold local educational agencies and schools accountable for improvements in student academic

achievement.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

SEAs must use 95 percent of awards for subgrants to LEAs for the following purposes: to assist schools in effectively recruiting and retaining highly qualified teachers; to afford to LEAs the means of recruiting, hiring, and retaining teachers; to make available professional development activities that address subject matter knowledge; and other activities as set forth in Section 2123. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.563-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770. SEAs retain 2.5 percent of award to conduct State-level activities and 2.5 percent is given to the SAHE and must be used for projects carried out by partnerships of institutions of higher education (IHEs) and high-need LEAs.

Applicant Eligibility:

States with approved ESEA Consolidated State Plans.

Beneficiary Eligibility:

Elementary and secondary schools, teachers, and paraprofessionals, principals, students, parents, and pupil services personnel will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An SEA is eligible for funding if it submits an application that includes Statewide activities based on scientifically based research and an explanation of why the activities will improve student academic achievement. The plan must: (1) assure compliance by LEAs; (2) assure that the activities are aligned with challenging State academic content, student academic achievement standards, State assessments, and State and local curricula; and (3) describe the use of the funds to improve the quality of the State's teachers and principals. The State Education Agency for Higher Education makes grants, on a competitive basis, to eligible partnerships to carry out as activities with the 2.5 percent of funding that is reserved for IHE-LEA partnerships.

Award Procedure:

The Department allocates funds to any State whose application the Secretary has "deemed approved" under conditions set forth in Section 2112(c).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The procedure of an appeal is described in ESEA section: 2112(c), (d), and (e). Contact the program office for more information.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title II, Part A.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, awards to States are available for obligation at the Federal level from July 1 to September 30 of the following year. In addition, funds remain available to States for an additional fiscal year for obligation and expenditure.

See the following for information on how assistance is awarded/released:
Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) of State-administered programs (34 CFR part 76). Generally, SEAs are subject to the reporting requirements contained in Title IX of the ESEA, Consolidated State Performance Report Section 9303 (b). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The State will correct deficiencies in program operations that are identified through audits, monitoring, or evaluation. In accordance with the Education Department General Administrative Regulations (EDGAR) in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and 5300,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

The State will maintain such records, provide such information to the Secretary, and afford such access to the records as the Secretary may find necessary to carry out the Secretary's duties. In accordance with the General Education Provisions Act, and the Education Department General Administrative Regulations, States must maintain certain program records for 3 years.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 not reported.; FY 10 est \$2,947,749,000; FY 11 est \$2,947,749,000

Range and Average of Financial Assistance:

2009: Range: \$13,986,101 - \$327,106,669; Average: \$55,599,618
2010: Range: \$14,023,601 - \$331,062,153; Average: \$55,743,849
2011: Range: \$14,023,601 - \$331,062,153; Average: \$55,743,849.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Witt, Office of Elementary and Secondary Education, Academic Improvement and Teacher Quality Programs, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: elizabeth.witt@ed.gov Phone: (202) 260-5585

Website Address:

<http://www.ed.gov/programs/teacherqual/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

After consultation with the governor, an SEA submits a consolidated application and is not required to submit separate applications. The Secretary requires only descriptions, information, assurances (including assurances of compliance with applicable provisions regarding participation by private school children and teachers), and other materials that are necessary for the consideration of a consolidated State application. Contact the Program Office for additional information.

84.368 GRANTS FOR ENHANCED ASSESSMENT INSTRUMENTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VI, Part A, Subpart 1, Section 6112.

OBJECTIVES:

To enhance the quality of assessment instruments and systems used by States for measuring the achievement of all students. Funded projects must meet one or more of the following priorities: (1) improve the quality, validity, and reliability of State academic assessments; (2) measure student academic achievement using multiple measures of student academic achievement from multiple sources; (3) chart student progress over time; and (4) evaluate student academic achievement through the development of comprehensive academic assessment instruments, such as performance and technology-based academic assessments.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Successful applicants must use funds to carry out the activities described in their approved applications and in accordance with regulations.

Applicant Eligibility:

State educational agencies (SEAs) and/or consortia of SEAs are eligible to receive grants.

Beneficiary Eligibility:

State educational agencies (SEAs) and/or consortia of SEAs, local educational agencies and local schools will benefit.

Credentials/Documentation:

Applicants must prepare and submit applications in accordance with the application package, which includes instructions and guidance for completing and submitting an application. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The applicant may work in collaboration with institutions of higher education, other research institutions or other organizations. An application from a consortium of SEAs must designate one SEA as the fiscal agent. The designated SEA submits the application. The application package includes instructions and guidance on submitting an applications as well as a list of required documents and forms.

Award Procedure:

Funds are awarded on a competitive basis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

No-cost extensions are available.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period is up to 24 months. See the following for information on

how assistance is awarded/released: Assistance is awarded through electronic transfer.

Reports:

Reports must be submitted in accordance with the terms of the award document. Cash reports are not applicable. Progress reports must be submitted in accordance with the terms of the award document. Expenditure reports must be submitted in accordance with the terms of the award document. Performance monitoring will be in accordance with the terms of the award document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Any additional audit requirements will be included in grant award documents.

Records:

Records must be maintained in accordance with EDGAR; requirements will be included in grant award documents.

Account Identification:

91-1000-0-1-501.

Obligations:

(Project Grants) FY 09 \$10,732,000; FY 10 est \$10,732,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

The average award is \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations may be found at the Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 80, 81, 82, 84, 85, 86, 97, 98, and 99. Other information may be found at the program website.

Regional or Local Office:

None.

Headquarters Office:

Collette Roney, Student Achievement and School Accountability Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: Collette.Roney@ed.gov Phone: (202) 401-5245.

Website Address:

<http://www.2.ed.gov/programs/eag/index.html>

RELATED PROGRAMS:

84.369 Grants for State Assessments and Related Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are included in the Notice Inviting Application, which is posted on the program website.

84.369 GRANTS FOR STATE ASSESSMENTS AND RELATED ACTIVITIES

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title VI, Part A, Subpart I, Section 6111.

OBJECTIVES:

The purpose of this program is: (1) To support the development of the

additional State assessments and standards required by Section 1111(b) of the Elementary and Secondary Education Act (ESEA), as amended; and (2) if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to ensuring that the State's schools and local education agencies are held accountable for results.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

State educational agencies must use these funds to carry out the responsibilities described in Section 6111 of the ESEA. Funds may be used to support the development of the additional State assessments and standards required by Section 1111(b) of the ESEA; or, if a State has developed the assessments and standards required by Section 1111(b), then to support the administration of those assessments or to carry out other activities related to ensuring that the State's schools and local education agencies are held accountable for results.

Applicant Eligibility:

State educational agencies with either: (1) An approved consolidated application that includes an application for funds under Section 6111 or (2) an approved Title I Part A application that includes an application for funds under Section 6111.

Beneficiary Eligibility:

States and local educational agencies.

Credentials/Documentation:

This program is included for coverage under OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States applying for Title I, Part A, funds under the consolidated application procedure must indicate Section 6111 on their list of "ESEA Programs included in the consolidated application" and must respond adequately to the single question asking them to identify how they will use Section 6111 funds. States applying for Title I, Part A, funds under an individual program application procedure must indicate Section 6111 on their cover sheet for this application and must respond adequately to the single question asking them to identify how they will use Section 6111 funds.

Award Procedure:

The Department will allocate funds to any State whose State application submission demonstrates that funds will be used for covered activities and that costs associated with those activities are reasonable.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 30-90 days.

Appeals:

If the Department disapproves a State's application for funds authorized by Section 6111, the State has the right to a hearing in accordance with Section 76.202 of the Education Department General Administrative Regulations (EDGAR).

Renewals:

States with approved applications will automatically receive grant awards for any year for which there is an appropriation for the program.

Formula and Matching Requirements:

Statutory Formula: Title VI, Part A, Subpart 1. Under Title VI, Part A, Subpart 1 of the Elementary and Secondary Act, as amended, the first \$400 million appropriated for State Assessment Grants is distributed to the States through a formula that is based on each State's relative share of the total population of

children aged 5 through 17. Any funds appropriated in excess of \$400 million is available for grants for enhanced assessment instruments authorized in section 6112 of the Elementary and Secondary Education Act. The Department awards funds made available for the Enhanced Assessment Instruments program to States educational agencies on a competitive basis. (See CFDA 84.368 for a description of the Enhanced Assessment Instruments program.). Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards of funds are available for obligation for a maximum of 27 months. They become available to the Department on July 1, and are awarded to States on or about that date. They remain available for obligation under the initial period of availability for 15 months (until September 30, of the following fiscal year). Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. Funds for succeeding fiscal years, if appropriated, will follow a similar timeline. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). States receive awards under this program are required to respond to any questions that the Department asks in its annual Consolidated State Performance Report survey. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All grantees must maintain and complete records in accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR).

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 \$408,732,000; FY 10 est \$410,732,000; FY 11 est \$450,000,000

Range and Average of Financial Assistance:

FY 2008: range of awards to States: \$3,344,879 - \$32,918,202; average award: \$7,615,385

FT 2009: range of awards to States: \$3,338,296 - \$32,776,448; average award: \$ 7,615,385

FY2010 estimate: range of awards to States: \$ 3,338,296 - \$32,776,448; average award: \$ 7,615,385.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 76, 77, 79, 80, 81, 82, 85, 86, 97, 98, and 99. 34 CFR Parts 200 and 300.

Nonregulatory guidance concerning Title I, Part A assessment requirements is available at <http://www.ed.gov/admins/lead/account/saa.html>.

Regional or Local Office:

None.

Headquarters Office:

Zollie Stevenson, Office of Elementary and Secondary Education, Student Achievement and School Accountability Programs, 400 Maryland Avenue,

S.W., Washington, District of Columbia 20202 Email: zollie.stevenson@ed.gov
Phone: (202) 260-1824.

Website Address:

<http://www2.ed.gov/program/gsa/index.html>

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 84.368 Grants for Enhances Assessment Instruments

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.370 DC SCHOOL CHOICE INCENTIVE PROGRAM

FEDERAL AGENCY:

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

DC School Choice Incentive Act of 2003, (Title III of Division C of the Consolidated Appropriations Act, 2004).

OBJECTIVES:

To provide low-income parents residing in the District of Columbia (District) with expanded options for the education of their children. This program is part of a broader school improvement effort in the District.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Department awarded one grant on a competitive basis to continue operation of the scholarship program for current student participants. These scholarships of up to \$7,500 per student per school year will enable students who are enrolled currently in the program to attend a participating nonpublic District elementary and secondary school of their choice and may be used to pay tuition and fees and transportation.

Applicant Eligibility:

An educational entity of the District of Columbia Government; a nonprofit organization; or a consortium of nonprofit organizations.

Beneficiary Eligibility:

To receive an award under this program, an applicant must ensure that a majority of the members of its voting board or governing organization are residents of the District of Columbia. The Secretary gives priority to applications from eligible entities that will most effectively provide students and families with the widest range of educational options; to applications from eligible entities that will most effectively target resources to students and families who lack the financial resources to take advantage of available educational options; and to applications from eligible entities that will most effectively give priority to eligible students who, in the school year preceding the school year in which the student would use the scholarship, attend an elementary or secondary school identified for improvement, corrective action, or restructuring under section 1116 of the Elementary and Secondary Education Act of 1965, as amended (ESEA) (20 U.S.C. 6316).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to the regulations in 34 CFR Part 79. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Applicant must submit the following by the designated deadline: (1) The applicant must provide a written narrative, which addresses the selection criteria (and the required application content) that reviewers use in evaluating their applications. (2) Required forms to be submitted with the application include SF424; Sections A, B, and C of ED Form 524; Assurance - Non Construction Programs; Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Disclosure of Lobbying Activity; Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions. (3) Applicants for grants under this program are required to submit applications electronically using the Electronic Grant Application System (e-Application) available through the Department's e-GRANTS system. Specific instructions for submitting applications electronically are included in the application notice.

Award Procedure:

Applications are reviewed by the Department for eligibility and completeness. Applications are reviewed and scored by a panel of experts. A funding slate is prepared and the highest ranked application is recommended for funding. Successful and unsuccessful applicants are notified of outcome. A grant awards is prepared and mailed to the successful grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 days.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 12 months. Continuation awards are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 12 months. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports are required for each budget period. Specific due dates are determined by the Department and forwarded to applicants accordingly. Final performance reports are due 90 days after expiration or termination of the award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain financial records must be maintained for a period of 3 years.

Account Identification:

20-1817-0-1-501.

Obligations:

(Project Grants) FY 09 \$14,000,000; FY 10 est \$13,200,000; FY 11 est \$8,300,000

Range and Average of Financial Assistance:

Average new awards: \$12,661,016.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Closing Date Notice will be published in the Federal Register. Contact the Program Office for more information.

Regional or Local Office:

None.

Headquarters Office:

Michelle Armstrong, Department of Education, Office of Innovation and Improvement, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: michelle.armstrong@ed.gov Phone: (202) 205-1729.

Website Address:

<http://www.ed.gov/programs/dcchoice/index.html> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are contained in the closing date notice.

84.371 STRIVING READERS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, , Title I, Part E, Section 1502; FY 2010 Consolidated Appropriations Act , Public Law 111-117.

OBJECTIVES:

In fiscal years 2005-2009, the Striving Readers program supported competitive grants to implement and evaluate reading interventions for middle- or high-school students reading significantly below grade level. In fiscal year 2010, Congress included language in the Department of Education Appropriations Act that changed Striving Readers from an adolescent literacy program to a comprehensive literacy development and education program intended to advance the literacy skills - including pre-literacy skills - reading, and writing of students from birth through grade 12. The objective of the Striving Readers Adolescent Literacy program is to raise student achievement by improving the reading skills of middle and high school students who are reading below grade level. The objective of the Striving Readers Comprehensive Literacy program is to advance literacy skills, including pre-literacy skills, reading and writing for students from birth through grade 12, including limited-English-proficient students and students with disabilities.

TYPES OF ASSISTANCE:

Formula Grants; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Striving Readers Adolescent Literacy grantees must use their funds to carry out the activities described in their approved applications. Striving Reader programs include supplemental literacy intervention strategies targeted to students who are reading significantly below grade level; cross-disciplinary strategies for improving student literacy that may include professional development for subject matter teachers and use of research-based reading strategies in classrooms across subject areas; and a strong experimental evaluation component. Grant recipients, must, for example, use funds for reasonable costs needed to implement a supplemental literacy intervention, which may include additional staff time for extra periods of instruction, age-appropriate reading materials for struggling adolescent readers, assessment instruments, professional development opportunities for staff focused on literacy, and evaluation. Funds must be used in Title I-eligible publish schools serving grades 6-12 with significant numbers of students reading below grade level. Striving Readers Comprehensive Literacy formula grantees must use

these funds to carry out the activities described in their approved applications. Formula grant funds may be used for costs that are reasonable, necessary, and integral in creating or supporting a State Literacy Team with expertise in literacy development and education for children from birth to grade 12 to assist the state in developing a comprehensive literacy plan. Striving Readers Comprehensive Literacy project grantees must use these funds to create a comprehensive literacy program to advance literacy skills, including pre-literacy skills, reading and writing. These funds must be used for services and activities that have characteristics of effective literacy instruction through professional development, screening and assessment, targeted interventions for students reading below grade level and other research-based methods of improving classroom instruction and practices for all students.

Applicant Eligibility:

State and local educational agencies are eligible applicants.

Beneficiary Eligibility:

Adolescent students (in grades 6-12) who are reading below grade level. LEAs that have one or more high schools or middle schools with significant numbers of students reading below grade level or that are at risk of not meeting or are not meeting Title I adequate yearly progress requirements.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must prepare and submit an application in accordance with the Application and Instructions that will be published on the Striving Readers Comprehensive Literacy website at <http://www.ed.gov/programs/strivingreaders-literacy/index.html>. OMB required assurances and certifications include: Standard Forms 424B (Assurances for Non-Construction Programs), including the assurances relating to the legal authority to apply for assistance; access to records; conflict of interest; merit systems; nondiscrimination; Hatch Act provisions; labor standards; flood hazards; historic preservation; protection of human subjects; animal welfare; lead-based paint; Single Audit Act; and the general agreement to comply with all applicable Federal laws, executive orders and regulations; lobbying in Department Form 80-0013, Standard Form-LLL, Disclosure Form to Report Lobbying.

Award Procedure:

The Department of Education notifies successful applicants of awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the full application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of appropriations and satisfactory progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 5 years. Renewals are

subject to the availability of appropriations and satisfactory progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual progress reports must be submitted at the end of the budget period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. Performance monitoring is included in the annual progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR) in 34 CFR 80. State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to EDGAR, Part 80.

Records:

In accordance with the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), certain records must be retained for 3 years.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$12,000,000; FY 11 est \$12,000,000. (Project Grants) FY 09 \$34,821,000; FY 10 est \$176,000,000; FY 11 est \$225,000,000

Range and Average of Financial Assistance:

Formula grants: \$150,000 to \$727,892.; Average: \$200,000
Project (discretionary) grants: TBD.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), 34 CFR Parts 74, 74, 76, 77,79,80,81,82,85,86,97,98,and 99. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Miriam Lund, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: miriam.lund@ed.gov Phone: (202) 401-2871.

Website Address:

<http://www.ed.gov/programs/strivingreaders/index.html>.

RELATED PROGRAMS:

84.213 Even Start_State Educational Agencies; 84.359 Early Reading First

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria will be included in the application package. For additional information please visit the website
<http://www.ed.gov/programs/strivingreaders-literacy/index.html>.

84.372 STATEWIDE DATA SYSTEMS

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Educational Technical Assistance Act of 2002, Title II, Section 208, Public Law 107-79.

OBJECTIVES:

These grants are intended to enable State educational agencies to design, develop, and implement statewide, longitudinal data systems to efficiently and accurately manage, analyze, disaggregate, and use individual student data, consistent with the Elementary and Secondary Education Act of 1965 and to facilitate analyses and research to improve student academic achievement and close achievement gaps.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, travel, equipment, and supplies as required to carry out design and implementation efforts. Grant funds shall be used to supplement and not supplant other State or local funds used for developing State data systems.

Applicant Eligibility:

State educational agencies.

Beneficiary Eligibility:

State educational agencies, local educational agencies, non-profit and for-profit organizations, and individuals involved with education will benefit.

Credentials/Documentation:

Applicants for these data systems projects must present a plan and furnish evidence that the scientific competence, facilities, equipment, and supplies are appropriate to carry out the project. Applicants must ensure technical quality; provide for the generation of accurate data, and provide for the timely use of such data needed by State and local educational agencies both to comply with the Elementary and Secondary Education Act of 1965 and to facilitate research to improve student academic achievement and close achievement gaps; and, meet voluntary standards and guidelines for linking individual student data. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must include: ED Form 424, "Application for Federal Assistance" and ED 524, "Budget Information Non-Construction Programs." Specific application and submission procedures, as well as additional information about the specific contents, page limits, and page formatting of applications, are provided in the individual Request for Applications found under "Funding Opportunities" at the Institute of Education Sciences web site: <http://ies.ed.gov/funding>.

Award Procedure:

All accepted applications competing for grants are reviewed for technical merit by panels of peer reviewers. Official notice of an approved application is made through issuance of a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately four to six months following the application deadline.

Appeals:

Not Applicable.

Renewals:

Recipients of multi-year grants must submit an annual performance report for each year funding has been approved. A review of the annual performance report is used to determine whether substantial progress has been made toward

meeting the project objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects generally may be supported for one to three years. All grantee obligations shall be liquidated within 90 days following the end of the project period. Payments may be made according to a predetermined schedule or by way of reimbursement. Renewals are subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs (34 CFR 75. Generally, financial reports and program reports must be submitted as required by grant terms and conditions. Cash reports are not applicable. Progress reports are required. Annual performance reports are required as part of the submittal of form ED 524B. Expenditure reports are required. Annual expenditure reports are required as part of the submittal of form ED 524B. Staff also monitor drawdowns using agency financial reports. Performance monitoring is required. Reporting on performance measures is required as part of the submittal of form ED 524B.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All expenditures by state governments will be audited by the grantee or at the grantee's direction with reasonable frequency, usually once a year but not less than once every two years. The Secretary of Education, the Director of the Institute, and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access, for the purpose of audit and examinations, to all applicable records and to any other pertinent books, documents, papers, and records of the grantee.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-1100-0-1-503.

Obligations:

(Project Grants) FY 09 \$48,500,000; FY 10 est \$48,500,000; FY 11 est \$54,350,000

Range and Average of Financial Assistance:

Estimated range of grants awarded in 2009: \$3,000,000 to \$9,000,000 for the entire grant period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 77, 80, 81, 82, 84, 85, 86 (part 86 applies only to institutions of higher education), 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230. For more information, see specific Request for Applications available on Institute website <http://www.ed.gov/programs/edresearch/applicant.html>.

Regional or Local Office:

None.

Headquarters Office:

Tate Gould, Department of Education, IES, National Center for Education Statistics, 1990 K Street NW, Room 9023, Washington, District of Columbia 20006 Email: tate.gould@ed.gov Phone: (202) 219-7080.

Website Address:

<http://nces.ed.gov/programs/slds>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.373 SPECIAL EDUCATION_TECHNICAL ASSISTANCE ON STATE DATA COLLECTION

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Improvement Act, as amended, Executive Order Part B, Sections 611(c) and 616(i), 20 U.S.C 1411.

OBJECTIVES:

To provide technical assistance, where needed, to improve the capacity of States to meet the data collection requirements of the IDEA.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to support the objectives of the program.

Applicant Eligibility:

Public and private agencies and organizations, including for profit and non-profit agencies and organizations.

Beneficiary Eligibility:

Infants, toddlers, and children with disabilities benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

An applicant should consult the office or official designated as a single point of contact in his or her State for more information on the process to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications are reviewed and evaluated by outside experts and program staff, in accordance with the Education Department General Administrative Regulations (EDGAR) (34 CFR 75, Subpart D).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

As required by EDGAR, awards may be renewed annually for up to five years throughout the project period of the award if the recipient has made substantial progress in meeting the goals of the project, depending upon the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically from 1 to 5 years. Renewals subject to the availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained for a period of 3 years from the date the grantee submits its final expenditure report for the funding period. 34 CFR 80.42.

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 09 \$15,000,000; FY 10 est \$25,000,000; FY 11 est \$25,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 74, 75, 76, 77, 79, 80, 81, 82, 85, and 86. (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

David Egnor, Department of Education, OSERS Office of Special Education Programs, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: david.egnor@ed.gov Phone: (202) 245-7334.

Website Address:

<http://http://www.ed.gov/about/offices/list/osers/osep/index.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.323 Special Education - State Personnel Development; 84.324 Research in Special Education; 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities; 84.327 Special Education_Technology and Media Services for Individuals with Disabilities; 84.328 Special Education_Parent Information Centers; 84.329 Special Education_Studies and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting among grant applications will normally be selected from those in the Education Department General Administrative Regulations (EDGAR), 34 CFR Part 75.210.

84.374 TEACHER INCENTIVE FUND

(TIF)

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Department of Education Appropriations Acts for Fiscal Years 2006-2010., Title V, Part D, Subpart 1.

OBJECTIVES:

To support programs that develop and implement performance-based compensation systems for teachers, principals, and other personnel in high-need schools. These compensation systems must consider growth in student academic achievement as well as classroom evaluations conducted multiple times during each school year, among other factors, and provide educators with incentives to take on additional responsibilities and leadership roles.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Successful applicants must use these funds to carry out the activities described in their approved applications. Grantees may use the funds to develop and implement performance-based compensation systems, including incentives to take on individual responsibilities and leadership roles.

Applicant Eligibility:

Eligible applicants are local educational agencies (LEAs), including charter schools that are LEAs in their State, State educational agencies (SEAs), or partnerships of (1) an LEA, an SEA, or both, and (2) at least one non-profit organization. The fiscal agent must be the SEA or LEA.

Beneficiary Eligibility:

State educational agencies, local educational agencies, non-profit organizations, and students, teachers and/or principals benefit from the program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must prepare and submit applications in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the U.S. Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection

criteria, the competitive priority (if applicable) included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non- Construction programs (SF 422B); Certification regarding Lobbying, Disbarment; Suspension and other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-00013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF 424); the required Budget Form (ED Form 524); an itemized budget and other budget information; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit (1) original and (2) copies of the application.

Award Procedure:

If an applicant is successful, the Department of Education will notify the applicant's U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN). The Department of Education may also notify successful applicants informally.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Applicants may apply for a project period of up to five years. Renewals are subject to the availability of funds and a grantee's satisfactory progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applicants may apply for a project period of up to 5 years. Renewals are subject to the availability of funds and a grantee's satisfactory progress. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Annual performance reports must be submitted during each yearly budget period in order to receive continuation awards. Final performance reports and the final evaluation report must be submitted after the end of the grant period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the Education Department General Administrative Regulations (EDGAR) in 34 CFR 80 and OMB Circular A-133, Nonfederal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part.

Records:

In accordance with the General Education Provisions Act and The Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years.

Account Identification:

91-0204-0-1-501.

Obligations:

(Project Grants) FY 09 \$97,270,000; FY 10 est \$400,000,000; FY 11 est

\$800,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The Department expects to make approximately 33 continuation awards and 100 new awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 81, 82, 84, 85, 97, 98, and 99. Contact the program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

April Lee, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: april.lee@ed.gov Phone: (202) 401-2266.

Website Address:

<http://www.ed.gov/programs/teacherincentive>.

RELATED PROGRAMS:

84.385 Teacher Incentive Fund, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria were included in the full application package and published in the Federal Register notice. Contact the program office for more information.

84.375 ACADEMIC COMPETITIVENESS GRANTS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Executive Order Title IV, Part A, Subpart 1, Section 401A.

OBJECTIVES:

To provide eligible first and second-year full-time undergraduates who have completed a rigorous course of study in high school with need-based grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen who has been accepted for enrollment in, and is making satisfactory progress at an eligible institution of higher education. Eligible schools may be public or private non-profit institutions of higher education (such as colleges, universities, vocational technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance. Recipients must demonstrate need according to Part F of the Higher Education Act of 1965, as amended, and must be eligible for a Federal Pell Grant.

Applicant Eligibility:

Undergraduate students enrolled as full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. Applicants must be U.S. citizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program of study offered.

Beneficiary Eligibility:

First- and second- year undergraduate students who are U.S citizens and are

eligible to receive a Federal Pell Grant. Students must be full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. A first-year undergraduate must also be a first-time undergraduate, enrolled or accepted for enrollment in a 2- or 4-year degree-granting institution, and have completed, after January 1, 2006, a rigorous secondary school program. A second-year undergraduate at such an institution must have completed such a rigorous program after January 1, 2005, and have obtained a cumulative grade-point-average (GPA) of at least 3.0 in the first academic year as an undergraduate. In addition, students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1950, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Academic Competitiveness Grants, using a payment schedule developed by the Department of Education that determines the amount of the award based on the amount of available funding and the estimated number of recipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application that contains preprinted student data and requires students only to update certain information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are limited to one Academic Competitiveness Grant during any award year (July 1 through June 30). Funds for these grants are usually disbursed at least twice during an award year. Students may only receive an Academic Competitiveness Grant until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as furnish any other reports the Secretary requires. No reports are required of students. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Annual audits will be made.

Records:

All records pertaining to the eligibility of each Academic Competitiveness Grant recipient and all fiscal management records must be maintained by the institution for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0205-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$511,507,000; FY 10 est \$678,184,000; FY 11 est \$0

Range and Average of Financial Assistance:

Grants are up to \$750 for first-year students and up to \$1,300 for second-year students. In combination with other Federal student aid, awards may not exceed a student's cost of education. FY 08 the estimated average grant is \$762.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 716,000 recipients. Fiscal Year 2010: Estimated 786,000 recipients. Fiscal Year 2011: Estimated 0 recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Federal Student Aid Information Center, Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.037 Perkins Loan Cancellations; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership; 84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.376 NATIONAL SCIENCE AND MATHEMATICS ACCESS TO RETAIN TALENT (SMART) GRANTS

(SMART Grants)

FEDERAL AGENCY:

Office of Student Financial Assistance Programs, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended., Executive Order Title IV, Part A, Subpart 1, Section 401A.

OBJECTIVES:

To provide eligible third- and fourth-year full-time undergraduates who major in certain designated technical fields or foreign languages with need-based

grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen who has been accepted for enrollment in, and is making satisfactory progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education (such as colleges, universities, vocational technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance. Recipients must demonstrate need according to Part F of the Higher Education Act of 1965, as amended, and must be eligible for a Federal Pell Grant.

Applicant Eligibility:

Undergraduate students enrolled as full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. Applicants must be U.S. citizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program of study offered.

Beneficiary Eligibility:

Third- and fourth-year undergraduate students who are U.S. citizens and are eligible to receive a Federal Pell Grant. Students must be full-time regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. Students are required to pursue a major in physical, life, or computer sciences, mathematics, technology, engineering or a critical foreign language, and obtain a cumulative GPA of at least 3.0 in the coursework required for the major being pursued. In addition, students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the SMART Grants, using a payment schedule developed by the Department of Education that determines the amount of the award based on the amount of available funding and the estimated number of recipients.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an

applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application that contains preprinted student data and requires students only to update certain information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are limited to one SMART Grant during any award year (July 1 through June 30). Funds for these grants are usually disbursed at least twice during an award year. Students may only receive a SMART Grant until they have received a bachelor's degree. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as furnish any other reports the Department requires. No reports are required of students. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Annual audits will be made.

Records:

All records pertaining to the eligibility of each SMART Grant recipient and all fiscal management records must be maintained by the institution for a period of 3 years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0205-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$358,428,000; FY 10 est \$475,224,000; FY 11 est \$0

Range and Average of Financial Assistance:

Grants are up to \$4,000 for third- and fourth-year students. In combination with other Federal student aid, awards may not exceed a student's cost of education. For FY 08 the estimated average grant is \$2,833.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 139,000 recipients. Fiscal Year 2010: Estimated 150,000 recipients. Fiscal Year 2011: Estimated 0 recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the program office for more information.

Regional or Local Office:

None. Federal Student Aid Information Center. Telephone: (1-800) 433-3243. Regional Director, Federal Student Aid, the Director of Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid (FSA), Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.037 Perkins

Loan Cancellations; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership; 84.375 Academic Competitiveness Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.377 SCHOOL IMPROVEMENT GRANTS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act (ESEA), as amended, Executive Order Section 1003(g), Title I, Public Law 107-110, 115 Stat. 1442, 20 U.S.C 6303(g).

OBJECTIVES:

To support competitive subgrants to local educational agencies (LEAs) that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to raise substantially the achievement of students in their lowest-performing schools. In general, SEAs must give priority to LEAs with Title I eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. LEAs seeking funding to serve such schools must implement one of four school intervention models: turnaround model, restart model, school closure, or transformation model.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

A State educational agency (SEA) must allocate at least 95 percent of the amount of the funds it receives directly to LEAs, primarily to implement specified school intervention models in Title I eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. An SEA may retain up to 5 percent of the grant amount received for administration, evaluation, and technical assistance expenses. At the LEA level, these funds may be used for any reasonable costs associated with implementing required school intervention models, or with carrying out school improvement, corrective action, or restructuring activities described in Section 1116(b).

Applicant Eligibility:

SEAs with approved State plan amendments are eligible to receive funds.

Beneficiary Eligibility:

To be eligible to receive Title I School Improvement funds, an LEA must have one or more schools identified for school improvement, corrective action, or restructuring under Section 1116(b) of Title I.

Credentials/Documentation:

OMB Circular A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Pursuant to Section 76.140 of the Education Department General Administrative Regulations, the U.S. Department of Education (ED) is requiring States to implement application. ED has issued guidance and an electronic application for this program, which may be found at <http://www2.ed.gov/programs/sif/index.html>.

Award Procedure:

The Department will allocate funds to any State whose submission meets the Department's application requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

In programs administered by ED, Section 432 of the General Education Provisions Act provides LEAs with a right of appeal when there are disagreements between State and local educational agencies, including disagreements over funding decisions. Where an LEA alleges that the denial of funding is a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program, it may, within 30 days, request a hearing from the SEA. Once the hearing is held and the SEA issues its written ruling, the LEA may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Under ESEA, ED allocates section 1003(g) School Improvement funds to States through a formula that is based on each State's proportionate share of funds received under ESEA Title I, Part A (Grants to LEAs), Part C (Migrant Education program), and Part D, Subpart 1 (State Agency Neglected and Delinquent program). Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Title I School Improvement funds are available for obligation by local educational agencies for a maximum of 27 months after funds become available on July 1. Funds become available to the Department on July 1, and will be allocated to States on or after that date. They remain available for obligation by LEA under the initial period of availability for 15 months. Under section 421(b) of the General Education Provisions Act, any funds that remain unobligated may be carried over for obligation for an additional 12 months. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantees must submit program performance information through their annual Consolidated State Performance Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with EDGAR in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-0900-0-1-501.

Obligations:

(Formula Grants) FY 09 \$545,633,000; FY 10 est \$545,633,000; FY 11 est \$900,000,000

Range and Average of Financial Assistance:

Fiscal Year 2009: Range: \$1,323,400 - \$62,985,358. Average: \$10,391,906.

Fiscal Year 2010 (est): Range: \$3,771,972 - \$178,949,620. Average: \$28,875,500.

Fiscal Year 2011 (est): Range: \$2,003,461-\$117,127,027. Average: \$17,145,393.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

ED is in the process of issuing guidance and instructions for States to use in applying for these funds.

Regional or Local Office:

None.

Headquarters Office:

Carlas McCauley, 400 Maryland Avenue, SW, 3C116, Washington, District of Columbia 20202 Email: carlas.mccauley@ed.gov Phone: (202) 260-0824.

Website Address:

<http://www.ed.gov/oese> .

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 84.388 School Improvement Grants, Recovery Act; 84.389 Title I Grants to Local Educational Agencies, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.378 COLLEGE ACCESS CHALLENGE GRANT PROGRAM**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title VII, Part E , 20 U.S.C 1141.

OBJECTIVES:

To foster partnerships among federal, State and local government entities and philanthropic organizations through matching challenge grants aimed at increasing the number of underrepresented students who enter and remain in postsecondary education.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States or philanthropic organizations (if a State does not meet program requirements) use funds to implement services and activities needed to achieve the goals and objectives of the program. The services and activities authorized in the statute include: providing information to students and families on postsecondary education planning, benefits, opportunities, financing options and career preparation; assisting students in completing FAFSA; engaging outreach activities for students at risk of not enrolling in or completing postsecondary education; offering professional development for middle and secondary school guidance counselors, and college admissions counselors and financial administrators; providing need based grant aid; repaying student loans or lower interest rates; and offering tutoring/mentoring services, and other support services. A grantee may use not more than 6 percent of the total amount of the sum of the federal share provided and nonfederal share required for administrative purposes.

Applicant Eligibility:

An eligible applicant is the State agency with jurisdiction over higher education, or another agency designated by the Governor of the State. The Secretary may award funds to philanthropic organizations in cases where the State fails to meet program requirements.

Beneficiary Eligibility:

The authorized beneficiaries are underrepresented students and families.

Credentials/Documentation:

States or philanthropic organizations (if a State does not meet program requirements) must submit an application containing a description of its capacity to administer and report on grant activities and services, a plan for using grant funds to meet program requirements, including any special efforts the grantee will undertake to benefit underrepresented students. The grantee will also describe how the nonfederal share will be provided, as well as the structure the state has in place to administer the activities and services. The governor of a state must designate in writing which State agency is authorized to administer the grant. A letter from the governor (on letterhead, signed and dated) must be included in the application. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Each State must submit an application covering a 2-year period to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. An allotment is awarded to States or philanthropic organizations if the Assistant Secretary determines the application meets necessary requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The estimated range of time need to approve/disapprove an application is usually three months after the deadline for submission.

Appeals:

Not Applicable.

Renewals:

State applications are amended as needed.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Funds are awarded on a formula basis to States (or philanthropic organizations if a State does not meet program requirements). Allotments are based on the relative number of persons between the ages of 5 and 17 and 15 and 44 living below the poverty line with no State receiving less than one percent of the funds appropriated for a fiscal year. Poverty status data for participating States will be obtained from the Census Bureau. The minimum allotment for each State for a fiscal year cannot be an amount that is less than 1 percent of the total amount appropriated for the program. The statute requires a nonfederal matching contribution of not less than 1/3 of the costs of the project activities and services. This may be provided through in-kind and/or cash contributions.

This program has MOE requirements, see funding agency for further details. In order to receive a CACG grant, States must meet the MOE requirement in Section 137 of the Higher Education Act, as amended.

Length and Time Phasing of Assistance:

Grants are made for up to two years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

States or philanthropic organizations (if a State does not meet program requirements) administering the grant must submit an annual performance report. The report must include: each activity or service that was provided to

students and families over the course of the year; the cost of providing each activity or service; and the total contributions from private organizations included as nonfederal share for the fiscal year. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for three years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

(Formula Grants) FY 09 \$65,667,000; FY 10 est \$150,000,000; FY 11 est \$150,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the formula grant program is governed by the statute and EDGAR (34 CFR 76, 77, 79, 80, 81, 82 and 85).

Regional or Local Office:

None.

Headquarters Office:

Karmon Simms-Coates, Department of Education, Office of Postsecondary Education, College Access Challenge Grant Program, 1990 K Street, NW, 6th Floor, Washington, District of Columbia 20006-8524 Email: karmon.simms-coates@ed.gov Phone: (202) 502-7807.

Website Address:

<http://www.ed.gov/programs/cacg/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

State will receive funding based on the submission of an approvable application. The application must present a viable plan to achieve goals and objectives and also comply with statutory and regulatory requirements.

84.379 TEACHER EDUCATION ASSISTANCE FOR COLLEGE AND HIGHER EDUCATION GRANTS (TEACH GRANTS)

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Subpart 9, Title VI, Part A.

OBJECTIVES:

To provide annual grants of up to \$4,000 to eligible undergraduate and graduate students who agree to teach specified high-need subjects at schools serving primarily disadvantaged populations for four years within eight years of graduation. For students who do not fulfill their service requirements, grants convert to Direct Unsubsidized Stafford Loans with interest accrued from the date of award.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high-quality teacher preparation and professional development services; is financially sound; provides, or assists in the provision, of pedagogical coursework; and provides, or assists in the provision, of supervision and support services to teachers.

Applicant Eligibility:

Undergraduate and graduate students completing coursework or other requirements necessary to begin a career in teaching. Students must attend an institution of higher education that provides high-quality teacher preparation and professional development services; is financially sound; provides, or assists in the provision, of pedagogical coursework; and provides, or assists in the provision, of supervision and support services to teachers.

Beneficiary Eligibility:

This program was created by the College Cost Reduction and Access Act of 2007, Public Law 110-84; it began operation on July 1, 2008. Students must have a grade-point-average (GPA) comparable to a 3.25 on a scale of zero to 4.0, except that, if the student is in the first year of undergraduate education, the grade point average is determined based on the student's cumulative secondary school grade point average. A student applying for a grant to obtain a graduate degree must be a teacher or a retiree from another occupation with expertise in a field in which there is a shortage of teachers; grants for graduate education may also be obtained by a student who is or was a teacher who is pursuing high-quality alternative certification. In addition, students must sign an agreement to serve under which they agree to serve as a full-time teacher for not less than four years within eight years of completing the course of study for which they received a TEACH Grant. Service must be performed at a school serving a primarily disadvantaged population and must be in one of the following fields: mathematics, science, a foreign language, bilingual education, special education, as a reading specialist, or in another field documented as high-need. Students must also agree to provide evidence of qualifying employment upon completion of each year of service. Failure to complete these service requirements results in the student's TEACH Grants being treated as Federal Direct Unsubsidized Stafford Loans, with interest accrued from the date of award. Students must also sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Students must complete a FAFSA, complete an agreement to serve and submit it to the Department of Education.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends disburses the TEACH Grants based on the enrollment status of the student.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

To be determined in regulation.

Renewals:

Students who have applied in the previous award year must continue to meet all

eligibility requirements and reaffirm their intention to fulfill the service requirements before obtaining subsequent grants.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are limited to one TEACH Grant during an academic year. Funds for these grants will likely be disbursed at least twice during an academic year. See the following for information on how assistance is awarded/released:

Electronic transfer.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as furnish any other reports the Secretary requires. Students must affirm each year that they intend to fulfill their service requirements and submit a certification for each year of required service. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Annual audits will be made.

Records:

All records pertaining to the eligibility of each TEACH Grant recipient and all fiscal management records must be maintained by the institution for a period of three years or until an acceptable audit has been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0206-0-1-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$80,560,000; FY 10 est \$103,923,000; FY 11 est \$121,832,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funding will support awards to approximately 31,932 students. Fiscal Year 2010: Funding supported awards to 30,506 students. Fiscal Year 2011: Funding will support awards to approximately 37,277 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.037 Perkins Loan Cancellations; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership; 84.375 Academic Competitiveness Grants; 84.376 National Science and Mathematics Access to Retain Talent (SMART) Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.380 SPECIAL EDUCATION -- OLYMPIC EDUCATION PROGRAMS

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Special Olympic Sports and Empowerment Act, Section 3(a), 42 U.S.C 15001.

OBJECTIVES:

This program promotes the expansion of Special Olympics and the design and implementation of Special Olympics education programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for: (1) Activities to promote the expansion of Special Olympics, including activities to increase the participation of individuals with intellectual disabilities within the United States and (2) The design and implementation of Special Olympics education programs, including character education and volunteer programs that support the purposes of this Act, that can be integrated into classroom instruction and are consistent with academic content standards.

Applicant Eligibility:

Special Olympics is the only eligible recipients of funds.

Beneficiary Eligibility:

Individuals with intellectual disabilities.

Credentials/Documentation:

OMB Circular No. A-122 applies. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Special Olympics shall submit an application at such time, in such manner, and containing such information as the Department may require.

Award Procedure:

Applications will be reviewed by the Department.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards may be renewed annually for up to five years throughout the project period of the award depending on the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years subject to availability of appropriations. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Progress reports shall be made on an annual basis. Final reports shall be made at the end of the grant award period. Cash reports are not applicable. Grantees must submit an annual performance report (APR) as required under EDGAR 34 CFR 74.50. Expenditure reports are monitored through the APR. Program performance is monitored through the APR. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable.

Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR, the awardee shall maintain accounts, records, and other evidence pertaining to all costs incurred, revenues or other applicable credits acquired under its award as stated in grant or contract terms and conditions. Generally records related to grant funds, compliance, and performance must be maintained for a period of 3 years from the date the grantee submits its final expenditure report for the funding period. 34 CFR 80.42.

Account Identification:

91-0300-0-1-501.

Obligations:

(Project Grants) FY 09 \$8,095,000; FY 10 est \$8,095,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 300; 34 CFR Parts 74, 75, 76, 77, 79, 80, 81, 82, 85, and 86. (EDGAR).

Regional or Local Office:

None.

Headquarters Office:

Terry Jackson, Department of Education, OSERS Office of Special Education Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: terry.jackson@ed.gov Phone: (202) 245-6039.

Website Address:

<http://www2.ed.gov/programs/osepoly/index.html>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Details on Project Unify can be found on the Special Olympics website <http://www.specialolympics.org/projectunify.aspx>. Fiscal Year 2010: Details on Project Unify can be found on the Special Olympics website <http://www.specialolympics.org/projectunify.aspx>. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.381 BACCALAUREATE DEGREES IN SCIENCE, TECHNOLOGY, ENGINEERING, MATHEMATICS, AND CRITICAL FOREIGN LANGUAGES AND MASTER'S DEGREES IN SCIENCE, TECHNOLOGY, ENGINEERING, MATHEMATICS, AND CRITICAL FOREIGN LANGUAGES

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

America Creating Opportunities to Meaningfully Promote Excellence in Technology, Education, and Science Act, 2007, Sections 6113 and 6114; Public Law 110-69 (America COMPETES Act of 2007), TITLE VI Education, Subtitle A Teacher Assistance, PART I Teachers For A Competitive Tomorrow.

OBJECTIVES:

Teachers for a Competitive Tomorrow: Programs for Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, or Critical Foreign Languages with Concurrent Teacher Certification (TCT-B): To award grants to develop and implement programs that provide courses of study in science, technology, engineering, mathematics, or critical foreign languages (STEM or CFL) that are integrated with teacher education and lead to a baccalaureate degree with concurrent teacher certification in one of the specified content fields.

Teachers for a Competitive Tomorrow: Programs for Masters Degrees in Science, Technology, Engineering, Mathematics, or Critical Foreign Language Education (TCT-M): To award grants to develop and implement programs that provide courses of study in science, technology, engineering, mathematics, or critical foreign languages (STEM or CFL) that are integrated with teacher education and lead to a masters degree for teachers in one of the specified content fields, or masters degree in teaching (that results in teacher education) for current STEM or CFL professionals.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To plan, develop, and carry out allowable activities that will increase the number of teachers receiving baccalaureate or master's degrees and being certified in the areas of science, technology, engineering, mathematics and critical foreign languages.

TCT-B Authorized Activities addressed in Sec. 6113(d) of Public Law 110-69 state (1) IN GENERAL.- Each eligible recipient receiving a grant under this section shall use the grant funds to enable a partnership to develop and implement a program to provide courses of study in science, technology, engineering, mathematics, or a critical foreign language that (A) are integrated with teacher education programs that promote effective teaching skills; and (B) lead to a baccalaureate degree in science, technology, engineering, mathematics, or a critical foreign language with concurrent teacher certification. (2) PROGRAM REQUIREMENTS. The program shall (A) provide high-quality research, laboratory, or internship experiences for program participants; (B) provide student teaching or other clinical classroom experiences that (i) are integrated with coursework; and (ii) lead to the participants ability to demonstrate effective teaching skills; (C) if implementing a program in which program participants are prepared to teach science, technology, engineering, mathematics, or critical foreign language courses, include strategies for improving student literacy; (D) encourage the participation of individuals who are members of groups that are underrepresented in the teaching of science, technology, engineering, mathematics, or critical foreign languages; (E) encourage participants to teach in schools determined by the partnership to be most in need, and actively assist the participants in finding employment in such schools; (F) offer training in the use of and integration of educational technology; (G) collect data regarding and evaluate, using measurable objectives and benchmarks, the extent to which the program succeeded in (i) increasing the percentage of highly qualified mathematics, science, or critical foreign language teachers, including increasing the percentage of such teachers teaching in those schools determined by the partnership to be most in need; (ii) improving student academic achievement in mathematics, science, and where applicable, technology and engineering; (iii) increasing the number of students in secondary schools enrolled in upper level mathematics, science, and, where available, technology and engineering courses; and (iv) increasing the numbers of elementary school and secondary school students enrolled in and continuing in critical foreign language courses; (H) collect data on the employment placement and retention of all graduates of the program, including information on how many graduates are teaching and in

what kinds of schools;

(I) provide ongoing activities and services to graduates of the program who teach elementary school or secondary school, by

(i) keeping the graduates informed of the latest developments in their respective academic fields; and

(ii) supporting the graduates of the program who are employed in schools in the local educational agency participating in the partnership during the initial years of teaching through

(I) induction programs;

(II) promotion of effective teaching skills; and

(III) providing opportunities for regular professional development; and

(J) develop recommendations to improve the school, department, or program of education participating in the partnership.

TCT-M Authorized Activities addressed in Sec. 6114(c) of Public Law 110-69 state each eligible recipient receiving a grant under this section shall use the grant funds to develop and implement a 2- or 3-year part-time masters degree program in science, technology, engineering, mathematics, or critical foreign language education for teachers in order to enhance the teachers content knowledge and teaching skills, or programs for professionals in science, technology, engineering, mathematics, or a critical foreign language that lead to a 1-year masters degree in teaching that results in teacher certification. The program shall

(1) promote effective teaching skills so that program participants become more effective science, technology, engineering, mathematics, or critical foreign language teachers;

(2) prepare teachers to assume leadership roles in their schools by participating in activities such as teacher mentoring, development of curricula that integrate state of the art applications of science, technology, engineering, mathematics, or critical

foreign language into the classroom, working with school administrators in establishing in-service professional development of teachers, and assisting in evaluating data and assessments to improve student academic achievement;

(3) use high-quality research, laboratory, or internship experiences for program participants that are integrated with coursework;

(4) provide student teaching or clinical classroom experience;

(5) if implementing a program in which participants are prepared to teach science, technology, engineering, mathematics, or critical foreign language courses, provide strategies for improving student literacy;

(6) align the content knowledge in the masters degree program with challenging student academic achievement standards and challenging academic content standards established

by the State in which the program is conducted;

(7) encourage the participation of

(A) individuals who are members of groups that are underrepresented in the teaching of science, technology, engineering, mathematics, or critical foreign languages;

(B) members of the Armed Forces who are transitioning to civilian life; and

(C) teachers teaching in schools determined by the partnership to be most in need;

(8) offer tuition assistance, based on need, as appropriate;

(9) create opportunities for enhanced and ongoing professional development for teachers that improves the science, technology, engineering, mathematics, and critical foreign language content knowledge and teaching skills of such teachers; and

(10) evaluate and report on the impact of the program.

Applicant Eligibility:

Institutions of higher education (IHEs) (as defined under section 101(a) of the Higher Education Act of 1965, as amended) that receive a grant on behalf of a department of science, technology, engineering, mathematics, or a critical foreign language, or on behalf of a department or school that offers a competency-based degree program (in science, technology, engineering, mathematics, or a critical foreign language) that includes teacher certification.

Beneficiary Eligibility:

Undergraduate students pursuing a baccalaureate degree in science, technology, engineering, mathematics, or critical foreign language, with concurrent teacher certification (TCT-B).;

Teachers in high-need schools pursuing a 2- or 3-year part-time masters degree program in science, technology, engineering, mathematics, or critical foreign language education (TCT-M); and

Professionals in science, technology, engineering, mathematics, or critical foreign language pursuing a masters degree in teaching that results in teacher certification (TCT-M).

Credentials/Documentation:

Potential grantees must electronically submit an application responding to the selection criteria for the program adhering to specific formatting requirements. Applicants must describe in detail how the grant will be administered as well as how the grant activities will produce highly qualified teachers in the specified content areas. This program is excluded from coverage under OMB Circular No. A-87. However, OMB Circular A-21 and A-110 apply to the TCT-B and TCT-M programs. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Each institution must submit an application covering up to five years of program implementation. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. An application package is available from the Office of Higher Education Programs. Copies are available on the TCT web page and will also be mailed upon request.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. Awards will be made in rank order to those institutions scored highest by a panel(s) of peer reviewers (composed of representatives from public and private higher education, and State, and local education agencies having expertise in elementary, secondary, and higher education).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An applicant is notified of award status within 4 months of the deadline of submission of applications for the TCT-B and TCT-M competitions.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The TCT-B and TCT-M programs have a 50. percent (of the Federal dollar amount awarded)% .required match from cash and/or in-kind contributions from non-Federal sources.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be made for up to 5 years subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are required to submit an annual performance report on or about May 15 of each fiscal year so that the Department may determine whether substantial

progress was made and a continuation award is merited. A final report is required upon termination of funding. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are applicable, since the TCT grantees report annually on their line-item expenditures for a year relative to the proposed budgets. The annual expenditures and budgets are required to be reported as part of the submittal of form ED 524B. TCT staff also monitor grants drawdowns using the Department financial reports, Grants Administration and Payment System (GAPS), and G5 system. As stated in Public Law 110-69, Title VI, Subtitle A, PART I, Sec. 6113(e) Annual Report (for TCT-B grantees) and Sec. 6114(d) EVALUATION and REPORT (for TCT-M grantees), because of the annual reporting (to the Dept. of Education) reporting requirements, performance monitoring is thereby applicable to both the TCT Baccalaureate (TCT-B) and Masters (TCT-M) Degrees programs. Grantees must submit annual reports on specific performance measures, and report actual outcomes relative to pre-established targets on the given measures. These reports are reviewed by the TCT program office to determine if grantees have achieved their expected outcomes, and are progressing sufficiently with their funded grant to merit continuation of Federal funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are subject to audit requirements as described in 34 CFR 74.26.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for three years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$2,184,000; FY 10 est \$2,184,000; FY 11 est \$2,184,000

Range and Average of Financial Assistance:

Available TCT-B grants: Average award: \$225,000 per year. TCT-M Grants: Average award: \$225,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Program and grant project accomplishments will be addressed the Annual Performance Reports submitted by TCT-B grantees (June 15, 2010 deadline) and TCT-M grantees (July 15, 2010 deadline). Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Title VI of the America COMPETES Act governs the administration of the discretionary grant program, as well as and Education Department General Administrative Regulations (EDGAR).

34 CFR parts 74, 75, 77, 79, 80, 82, 85, 86, 97, 98, and 99.

Regional or Local Office:

None.

Headquarters Office:

James Davis, Department of Education, Office of Postsecondary Education, 1900 K Street NW, Room 6109, Washington, District of Columbia 20006
Email: james.davis@ed.gov Phone: (202) 502-7802.

Website Address:

www.ed.gov/programs/tct .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Baccalaureate

Program:

The William & Mary (W&M) Science and Mathematics Teaching Fellows Program will produce a total of 50 additional highly qualified new teachers over five years who will be prepared to teach in high-needs school divisions. In addition, these TCT Teaching Fellows will receive mentorship support as they progress through their first years of teaching. In order to recruit more STEM majors into teaching, three new courses will be developed and implemented: (1) How Students Learn, (2) a Freshman seminar on the importance of K-12 education to a free world, and (3) Theory and Reality: Practicum in Science and Math Teaching in High-Needs Schools

Masters Program:

Lesley University and the Springfield Public School district (SPS) will develop a program to provide credentials for grade 5-8 middle school mathematics teachers, mid-career change teachers seeking licensure, and special needs teachers, and paraprofessionals who work with mathematics instructors. The project will improve the mathematics content knowledge of all participants who either teach mathematics in the middle school or support the teaching/learning of mathematics in their school/district; develop a large base of highly qualified mathematics teachers in SPS middle schools; build a critical mass of teacher-leaders and teacher implementers who can sustain an inquiry-based, problem solving-based mode of mathematics instruction in the district; and develop meaningful instructional and conceptual synergy between mathematics content and the district curriculum. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

TCT-B grants: The Secretary evaluates an application on the basis of the criteria drawn from Section 6113 of the authorizing statute and from the broad criteria in 34 CFR Part 75, sections 75.209(a) and 75.210 of the Education Department General Administrative Regulations (EDGAR).

TCT-M grants: The Secretary evaluates an application on the basis of the criteria drawn from Section 6114 of the authorizing statute and from the broad criteria in 34 CFR Part 75, sections 75.209(a) and 75.210 of the Education Department General Administrative Regulations (EDGAR).

84.382 STRENGTHENING MINORITY-SERVING INSTITUTIONS

FEDERAL AGENCY:

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Title III, Part F, Section 371(b)(2)(c)(ii), 371(b)(2)(D)(iii), and 371(b)(2)(D)(iv); Higher Education Act of 1965, as amended.

OBJECTIVES:

To strengthen Predominantly Black Institutions (PBI); Asian American and Native American Pacific Islander-Serving Institutions (AANAPISI); and Native American-Serving Nontribal Institutions (NASNTI) that propose to carry out activities to improve and expand such institution's capacity to serve low-income and minority students.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 371: Applicants under the PBI program (Section 371) may use funds to carry out programs in science, technology, engineering, or mathematics (STEM); health education; internationalization or globalization; teacher preparation; or improving educational outcomes of African American males. Applicants under the AANAPISI (Section 371) and NASNTI (Section 371) programs may use funds to assist such institutions with planning, developing, undertaking and carrying out activities to improve and expand the institution's capacity to serve Asian American and Native American Pacific Islanders and Native Americans. More specifically, AANAPISI grantees may use funds to carry out activities described in section 311(c). Applicants under the AANAPISI program may use funds to improve and expand their capacity to serve Asian American and Native American Pacific Islander students and low-income individuals. More specifically, grantees may use their funds for the purchase, rental, or lease of scientific or laboratory equipment for educational purposes; renovation and improvement in classrooms, libraries, laboratories,

and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist in attaining advanced degrees in the field of instruction of the faculty; curriculum development and academic instruction; purchase of library books, periodicals, and other educational materials; funds and administrative management, and acquisition of equipment for use in strengthening funds management; joint use of facilities, such as laboratories and libraries; academic tutoring and counseling programs and student support services. Uses of funds are restricted to the allowable activities described in Section 311(c) of the HEA. NASNTI grantees may use funds for the purchase, rental, or lease of scientific laboratory equipment for educational purposes, including instructional and research purposes; renovation and improvement in classroom, library, laboratory, and other instructional facilities; support of faculty exchanges, faculty development, and faculty fellowships to assist faculty in attaining advanced degrees in the faculty's field of instruction; curriculum development and academic instruction; the purchase of library books, periodicals, microfilm, and other educational materials, funds and administrative management, and acquisition of equipment for use in strengthening funds management; the joint use of facilities such as laboratories and libraries; and academic tutoring and counseling programs and student support services. Uses of funds under each program are restricted to the allowable activities described in Section 371 of the HEA.

Applicant Eligibility:

At the time of application, PBIs must have an enrollment of undergraduate students that is at least 40 percent Black American students. AANAPISI and NASNTI applicants must have, at the time of application, an enrollment of undergraduate students not less than 10 percent Asian American and Native American Pacific Islanders and Native Americans, respectively.

Beneficiary Eligibility:

The authorized beneficiaries are underrepresented; low-income; first-generation; minority; undergraduate students.

Credentials/Documentation:

Institutions of Higher Education must submit an application responding to the established selection criteria. Applicants must describe in detail, the need for the project and how the proposed activities will address the goals and objectives of the program. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is subject to the requirements of the regulations in 34 CFR Part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each applicant must submit an application covering a period not to exceed 24-months to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements. A discretionary grant is awarded to institutions of higher education if they score within funding range and contingent upon funds being available.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

An applicant is notified of award status within 30 days of the end of the competition.

Appeals:

Not Applicable.

Renewals:

Dependent upon the availability of appropriations. Awards are generally made around June 30 of each year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants under Section 371 are made for up to 60 months, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Institutions of higher education administering these grants must submit an annual performance report at the completion of the each 12-month cycle. IHES are also required to submit final reports ninety (90) days after the end of the grant. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$15,000,000; FY 10 est \$15,000,000; FY 11 est \$15,000,000 - PBI. (Project Grants) FY 09 \$5,000,000; FY 10 est \$5,000,000; FY 11 est \$5,000,000 - AANAPISI. (Project Grants) FY 09 \$5,000,000; FY 10 est \$5,000,000; FY 11 est \$5,000,000 - NASNTI.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of discretionary grant programs is governed by 371 of the HEA and the Education Department General Administrative Regulations.

Regional or Local Office:

None.

Headquarters Office:

James Laws, Department of Education, Office of Postsecondary Education, Office of Higher Education Programs, 1990 K St., N.W., Washington, District of Columbia 20006 Email: james.laws@ed.gov Phone: (202) 502-7719.

Website Address:

<http://www.ed.gov/programs/pbi/> for the Predominantly Black Institutions Program <http://www.ed.gov/programs/aanapicraa/> for the Asian American and Native American Pacific Islander-Serving Institutions Program <http://www.ed.gov/programs/nasnticraa/> for t

RELATED PROGRAMS:

84.031 Higher Education_Institutional Aid

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria can be found in EDGAR 34 CFR Part 75.210.

84.383 HOMELESS EDUCATION DISASTER ASSISTANCE PROGRAM**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009, Div. B, Title I, Chapter 7, Public Law 110-329.

OBJECTIVES:

To provide assistance to local educational agencies (LEAs) whose enrollment of homeless students has increased as a result of a natural disaster that occurred in calendar year 2008.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Activities supported with program funds must be allowable under section 723(d) of the McKinney-Vento Homeless Assistance Act. Funds may be used to assist any homeless student in the schools of the LEA, including homeless preschool students, and is not limited to those students who became homeless as a result of a natural disaster. The LEA may use the funds to support future activities, as well as to reimburse itself for costs incurred in carrying out activities during 2008, designed to help homeless students enroll in, attend, or succeed in school.

Applicant Eligibility:

LEAs that have at least 50 students enrolled in one or more grades kindergarten through grade 12 who became homeless in 2008 as a result of a natural disaster that the President has declared under Title IV of the Robert T. Stafford Disaster Assistance and Emergency Assistance Act of 1974. Those disasters are listed on the web site of the Federal Emergency Management Agency (FEMA) at: <http://www.fema.gov/news/disasters.fema?year=2008>.

Beneficiary Eligibility:

Students identified as homeless as provided in section 725 of the McKinney-Vento Homeless Education Assistance Act, as amended. Such students include homeless preschool students, and are not limited to those students who became homeless as a result of a natural disaster.

Credentials/Documentation:

OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible LEAs seeking funds under the Homeless Education Disaster Assistance Program funds must submit an electronic application to the Department. The application must include, among other things, data indicating the number of students in kindergarten through grade twelve who became homeless as a result of a natural disaster that occurred in 2008.

Award Procedure:

The Department made one-time grant awards directly to eligible LEAs based on a formula outlined in the notice inviting applications published in the Federal Register.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Division B, Chapter 7, Public Law 110-329.

Matching Requirements: The Department awarded Homeless Education Disaster Assistance program funds directly to eligible LEAs that applied using a formula based on the number of students enrolled in one or more grades kindergarten through grade twelve who became homeless as a result of a natural disaster that occurred in 2008. Specifically, the Department calculated each LEA's award amount based on the quotient obtained by dividing the number of its enrolled students in kindergarten through grade twelve who became homeless as a result of a natural disaster that occurred in 2008 by the total number of such students for all LEAs submitting an application. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Homeless Education Disaster Assistance Program funds became available for obligation upon receipt of those funds by an LEA. Funds remain available for obligation by an LEA for an initial period of availability that expires on September 30, 2009. Under section 421(b) of the General Education Provisions Act, an LEA may carry over any funds that remain unobligated after September 30, 2009 and has an additional 12 months to obligate such funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 \$15,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, 97, 98, and 99. The Department published in the Federal Register a notice inviting invitation, which provides instructions and criteria for LEAs to use in applying for these funds. The Department is also in the process of developing additional guidance and instructions for States to use in applying for these funds.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: John.Mclaughlin@ed.gov Phone: (202) 401-0962.

Website Address:

<http://www.ed.gov/programs/heda/index.html>

RELATED PROGRAMS:

84.196 Education for Homeless Children and Youth

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Department awarded funds to individual LEAs or a consortium of LEAs that had a minimum of 50 students enrolled in kindergarten through grade twelve who became homeless because of a natural disaster that occurred during 2008. The Department made grant awards to all eligible LEAs that apply using a formula that was based on the number of students enrolled in kindergarten through grade twelve who became homeless as a result of a natural disaster that occurred in 2008.

84.384 STATEWIDE DATA SYSTEMS, RECOVERY ACT

FEDERAL AGENCY:

Institute of Education Sciences, Department of Education

AUTHORIZATION:

Educational Technical Assistance Act of 2002, Title II, Public Law 107-79, 20 U.S.C 9607; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

These grants are intended to enable all States to create comprehensive P-20 systems that permit the generation and use of accurate and timely data, support analysis and informed decision-making at all levels of the education system, increase the efficiency with which data may be analyzed to support the continuous improvement of education services and outcomes, facilitate research to improve student academic achievement and close achievement gaps, support education accountability systems, and simplify the processes used by State educational agencies to make education data transparent through Federal and public reporting.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may support salaries, travel, equipment, and supplies as required to carry out design and implementation efforts. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the development of data systems. Grant funds shall be used to supplement and not supplant other State or local funds used for developing State data systems.

Applicant Eligibility:

State educational agencies.

Beneficiary Eligibility:

State educational agencies, local educational agencies, nonprofit and for profit organizations, and individuals involved with education will benefit.

Credentials/Documentation:

Applicants for these data systems projects must present a plan and furnish evidence that the scientific competence, facilities, equipment, and supplies are appropriate to carry out the project. Applicants must ensure technical quality; provide for the generation of accurate data and provide for the timely use of such data both to comply with the Elementary and Secondary Education Act of 1965 and to facilitate research to improve student academic achievement and close achievement gaps; and ensure privacy protections. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must include: ED Form 424, "Application for Federal Assistance" and ED 524, "Budget Information Non-Construction Programs." Specific application and submission procedures, as well as additional information about the specific contents, page

limits, and page formatting of applications, are provided in the individual Request for Applications found under "Funding Opportunities" at the Institute of Education Sciences web site: <http://ies.ed.gov/funding/>.

Award Procedure:

All accepted applications competing for grants are reviewed for technical merit by panels of peer reviewers. Official notice of an approved application is made through issuance of a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Recipients of multi-year grants must submit an annual performance report for each year funding has been approved. A review of the annual performance report is used to determine whether substantial progress has been made toward meeting the project objectives. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Contact program office. See the following for information on how assistance is awarded/released: Assistance is awarded/released through electronic transfer.

Reports:

As required by Section 1512 of the American Recovery and Reinvestment Act of 2009. As required by Section 1512 of the American Recovery and Reinvestment Act of 2009 and as required by the Education Department General Administrative Regulations (EDGAR) for direct grant programs. Financial reports and program reports must be submitted as required by grant award terms and conditions. Grantees under this competition should anticipate having to report, at a minimum, at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Staff also monitor drawdowns using agency financial reports. Annual performance reports are required. Staff also monitor drawdowns using agency financial reports. Reporting on performance measures is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All expenditures by state governments will be audited by the grantee or at the grantee's direction with reasonable frequency, usually once a year but not less than once every 2 years. The Secretary of Education, the Director of the Institute, and the Comptroller General of the United States, or any of their duly authorized representatives, shall have access, for the purpose of audit and examinations, to all applicable records and to any other pertinent books, documents, papers, and records of the grantee.

Records:

Each grantee will keep intact, and accessible, records relating to the receipt and expenditure of Federal funds and the expenditures of the grantee's contribution to the cost of the project, if any. Records will normally be retained for 3 years after the date of the submission of the final expenditure report, or for grants that are reviewed annually, 3 years after the date of the award.

Account Identification:

91-0197-0-1-503.

Obligations:

(Project Grants) FY 09 \$250,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

Estimated range of new 2009 awards: \$5,100,000 to \$19,700,000 for the entire project period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of the Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 77, 80, 81, 82, 84, 85, 86 (part 86 applies only to institutions of higher education), 97, 98, and 99. In addition 34 CFR part 75 is applicable, except for the provisions in 34 CFR 75.100, 75.101(b), 75.102, 75.103, 75.105, 75.109(a), 75.200, 75.201, 75.209, 75.210, 75.211, 75.217, 75.219, 75.220, 75.221, 75.222, and 75.230. For more information, see specific Request for Applications available on Institute website <http://www.ed.gov/programs/edresearch/applicant.html>.

Regional or Local Office:

None.

Headquarters Office:

Tate Gould, Department of Education, IES, National Center for Education Statistics, Room 9023, 1900 K Street, N.W., Washington, District of Columbia 20006 Email: Tate.Gould@ed.gov Phone: (202) 219-7080.

Website Address:

<http://nces.ed.gov/programs/slds>

RELATED PROGRAMS:

84.372 Statewide Data Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for selecting applications are contained in the Request for Applications that announces the competition. The Request for Applications is posted on the Funding Opportunities home page of the Institute web site: <http://ies.ed.gov/funding/>.

84.385 TEACHER INCENTIVE FUND, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, Title V, Part D, Subpart 1, and the American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To support projects that develop and implement performance-based compensation systems for teachers, principals, and other personnel in high-need schools that must include growth in student academic achievement as well as classroom evaluations conducted multiple times during each school year, among other factors, and provide educators with incentives to take on additional responsibilities and leadership roles.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Successful applicants must use these funds to carry out the activities described in their approved applications. Grantees may use these funds to develop and implement performance-based compensation systems, including incentives to take on individual responsibilities and leadership roles.

Applicant Eligibility:

Contact Program Office.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

OMB Circular No. A-87 applies to grantees under the TIF program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must prepare and submit applications in accordance with the notice published in the Federal Register. By the due date, eligible applicants must submit to the Department of Education, Application Control Center, a complete application that addresses the purposes of the program, the selection criteria, and the competitive priority (if applicable) included in the application notice, and includes all required assurances and signatures. Required assurances and certifications include: Assurances for Non-Construction programs (SF 424B); Certifications regarding Lobbying, Disbarment; Suspension and other Responsibility Matter, and Drug-Free Workplace Requirements (ED Form 80-00013); Disclosure of Lobbying Activities (SF LLL) (if applicable); Response to Notice to All Applicants (Section 427, GEPA). Applications should also include a Cover Page (SF 424); the required Budget Form (ED Form 524); an itemized budget and other budget information; a project abstract; and a program narrative that includes responses to selection criteria. Applicants are encouraged to submit one original and two copies of the application.

Award Procedure:

If an applicant is successful, the Department of Education notifies the applicants U.S. Representative and U.S. Senators and will send the successful applicant a Grant Award Notification (GAN). The Department of Education may also notify the successful applicant informally.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval/disapproval time is approximately 60 to 120 days following the application deadline.

Appeals:

Not Applicable.

Renewals:

Renewals are subject to the availability of appropriations and a grantee's satisfactory progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The application notice will specify the length of the project period. Renewals are subject to the availability of funds and a grantees satisfactory progress. See the following for information on how assistance is awarded/released: Electronic Transfer.

Reports:

No program reports are required. No cash reports are required. Annual performance reports must be submitted for each project year. Final performance reports and the final evaluation report must be submitted after the end of the grant period. Grantees under this competition should anticipate having to report, at a minimum, at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provisions Act and The Education Department General Administrative Regulations (EDGAR), certain records must be retained for three years.

Account Identification:

91-0197-0-1-501.

Obligations:

(Salaries) FY 09 \$200,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Estimated range of awards: \$239,000 to \$10,366,000.

Estimated average award: \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR), Parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 97, 98, and 99. Contact program office for additional information.

Regional or Local Office:

None.

Headquarters Office:

April Lee, Headquarters Office: Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Email: april.lee@ed.gov Phone: (202) 401-2266.

Website Address:

No Data Available

RELATED PROGRAMS:

84.374 Teacher Incentive Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria were included in the full application package and published in the Federal Register notice. Contact the program office for more information.

84.386 EDUCATION TECHNOLOGY STATE GRANTS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title II, Part D, Subpart 1 and American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide grants to State educational agencies (SEAs) on a formula basis to (a) improve student academic achievement through the use of technology in schools; (b) assist all students in becoming technologically literate by the end of eighth grade; and (c) encourage the effective integration of technology with teacher training and curriculum development to establish successful research-based instructional methods.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

At least 95 percent of awards to SEAs must be used to make subgrants to LEAs. Half of the subgrant funds (the 95 percent) must be used for formula awards to eligible local educational agencies (LEAs) that receive funds under Title I, Part A. The remaining one-half provides competitive awards to eligible local entities (see beneficiary eligibility). However, States may use 100 percent of the ARRA funds for this program to make competitive subgrants. Each LEA and eligible entity receiving funds under this program must use at least 25 percent of its funds to provide professional development; the remaining funds must be used carry out other activities consistent with the purposes of the program and the district's local technology plan. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate which is referenced under 34 CFR 76.564- 76.569. For assistance call the Office of the Chief Financial Officer/ Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

Contact Program Office.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. State applications for funding under Title II, D, Subpart 1 submitted to and approved by the Department as part of the FY 2002 State Consolidated Applications are applicable.

Award Procedure:

The Department reviews all State applications and plans.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The Secretary shall not disapprove a State technology plan or State application, before offering the State an opportunity to revise the plan and a hearing. (See 34 CFR 76.202.).

Renewals:

> 180 Days. SEAs shall annually report to the Secretary. Renewals are subject to the availability of resources.

Formula and Matching Requirements:

Statutory Formula: Title II, Part D, Subpart 1.

Matching Requirements: Funds are allocated to States proportionate to their share under Part A of Title I of ESEA but with a minimum allocation to any State of one-half of one percent of the amount appropriated.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards generally are made for 1 year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

As required by the Education Department General Administrative Regulations (EDGAR) for State-administered programs (34 CFR part 76), financial and performance reports are required annually. Grantees under this program may anticipate having to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. As required by

EDGAR. As required by EDGAR. As required by EDGAR. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Any additional audit requirements will be included in award documents.

Records:

As required by EDGAR for State-Administered programs (34 CFR part 76). Generally, States and subgrantees must retain records related to grant funds and compliance for a period of 5 years.

Account Identification:

91-1001-0-1-501.

Obligations:

(Formula Grants) FY 09 \$650,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

State awards range from \$3,209,375 to \$71,578,424.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 79, 80, 81, 82, 85, and 86.

Regional or Local Office:

None.

Headquarters Office:

Enid Marshall, School Support and Technology Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., FB6, Room 3E307, Washington, District of Columbia 20202
Email: enid.marshall@ed.gov Phone: (202) 708-9499.

Website Address:

<http://www.ed.gov/programs/edtech/index.html>

RELATED PROGRAMS:

84.318 Education Technology State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.387 EDUCATION FOR HOMELESS CHILDREN AND YOUTH, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

McKinney-Vento Homeless Assistance Act, Title VII, Subtitle B ; American Recovery and Reinvestment Act of 2009 (ARRA),, Public Law 111-5.

OBJECTIVES:

To ensure that all homeless children and youth have equal access to the same free, appropriate public education available to other children, the Education for Homeless Children and Youth program provides assistance to States, Outlying Areas, and the Bureau of Indian Education (BIE) to: (1) establish or designate an Office of Coordinator of Education of Homeless Children and Youths; (2) develop and carry out a State plan for the education of homeless children; and (3) make subgrants to local educational agencies to support the education of those children.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

SEAs and LEAs may use funds for activities allowable under section 723(d) of the McKinney-Vento Homeless Assistance Act that facilitate the educational success of homeless children and youth. Such activities include: tutoring summer enrichment programs, the provision of school supplies, and professional development designed to heighten educators' understanding of and sensitivity to the needs of homeless children and youth. Services provided with these funds cannot replace the regular academic program and must expand upon or improve services provided as part of the regular academic program. This program is subject to non-supplanting requirements and grantees and subgrantees must use a restricted indirect cost rate which is referenced under 34 CFR 76.564-76.569.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A State that desires to receive a grant under this program must submit to the Department an individual State plan.

Award Procedure:

Grant awards to SEAs were made in April 2009.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Biennial updates of State plans are required. Renewals are subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory Formula: Title VII, Subtitle B.

Matching Requirements: Each SEA received an amount that has proportional to the number of homeless students identified by the State during the 2007-2008 school year relative to the number of such children identified nationally during that school year. SEAs made subgrants to LEAs on a competitive basis or according to a formula based on the number of homeless students identified by the LEAs.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each State subgranted the funds to LEAs no later than 120 days after receiving its grant from the Department. LEAs have until September 30, 2011, to obligate these funds as provided in section 421(b) of the General Education Provisions Act. See the following for information on how assistance is awarded/released: Funds will be released through electronic transfer.

Reports:

LEAs receiving subgrants must report quarterly data to the SEA. Cash reports are not applicable. Progress reports are not applicable. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional audit requirements may be included in the grant award documents.

Records:

All grantees must maintain and complete records as provided in EDGAR.

Account Identification:

91-1001-0-1-501.

Obligations:

(Formula Grants) FY 09 \$70,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

The State award for funds made available under ARRA was \$1.3 million; individual State awards range from \$175,000 to \$19 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Not applicable. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for The Education for Homeless Children and Youth program may be obtained through the Office of Elementary and Secondary Education.

Regional or Local Office:

None.

Headquarters Office:

John McLaughlin, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: john.mclaughlin@ed.gov Phone: (202) 401-0962.

Website Address:

<http://www.ed.gov/programs/homeless/index.html>

RELATED PROGRAMS:

84.196 Education for Homeless Children and Youth; 84.383 Homeless Education Disaster Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. Under ARRA each SEA was required to award subgrants to LEAs on a competitive basis, through a formula based on the number of homeless children in the LEA, or through a combination of both, within 120 days after receiving its grant from ED.

84.388 SCHOOL IMPROVEMENT GRANTS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Section 1003(g) of the Elementary and Secondary Education Act (ESEA), as amended (Public Law 107-110)(115 Stat. 1442, 20 USC 6303(g)) and the American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To support competitive subgrants to local educational agencies (LEAs) that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to raise substantially the achievement of students in their lowest-performing schools. In general, SEAs must give priority to LEAs with Title I eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. LEAs seeking funding to serve such schools must implement one of four school intervention models: turnaround model, restart model, school closure, or transformation model.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

A State educational agency (SEA) must allocate at least 95 percent of the amount of the funds it receives directly to LEAs, primarily to implement specified school intervention models in Title I eligible schools ranked in the bottom five percent of such schools, based on student achievement and lack of progress in improving student achievement, as well as secondary schools with a graduation rate below 60 percent over a number of years. An SEA may retain up to 5 percent of the grant amount received for administration, evaluation, and technical assistance expenses. LEAs may use these funds for any reasonable costs associated with implementing required school intervention models, or with carrying out school improvement, corrective action, or restructuring activities described in Section 1116(b).

Applicant Eligibility:

SEAs with approved State plan amendments are eligible to receive funds.

Beneficiary Eligibility:

LEA will benefit from these funds.

Credentials/Documentation:

OMB Circular A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Pursuant to Section 76.140 of the Education Department General Administrative Regulations, the Department of Education (ED) is requiring States to submit an application. ED has issued guidance and an electronic application for this program, which may be found at <http://www2.ed.gov/programs/sif/index.html>.

Award Procedure:

ED will allocate funds to any State whose submission meets the Department's application requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies with each State.

Appeals:

In programs administered by ED, Section 432 of the General Education Provisions Act provides LEAs with a right of appeal when there are disagreements between State and local educational agencies, including disagreements over funding decisions. Where an LEA alleges that the denial of funding is a violation of State or Federal law, rules, regulations, or guidelines governing the applicable program, it may, within 30 days, request a hearing from the SEA. Once the hearing is held and the SEA issues its written ruling, the LEA may appeal a negative ruling to the Secretary.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Under ESEA, ED allocates section 1003(g) School Improvement funds to States through a formula that is based on each State's proportionate share of funds received under ESEA Title I, Part A, (Grants to LEAs), Part C (Migrant Education program), and Part D, Subpart 1 (State Agency Neglected and Delinquent program). Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Title I School Improvement funds made available under the ARRA are available for obligation by LEAs through September 30, 2011, as provided under section 421(b) of the General Education Provisions Act. See the following for information on how assistance is awarded/released: electronic

transfer.

Reports:

Grantees are subject to the reporting requirements contained in Parts 76 and 80 of the Education Department General Administrative Regulations (EDGAR). Grantees under this program may be required to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Specific requirements will be included in the grants award documents. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantees must submit program performance information through their annual Consolidated State Performance Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with EDGAR in the Appendix to 34 CFR 80, State and local governments that receive financial assistance of \$500,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to Part 80, or in accordance with Federal Laws and regulations governing the program in which they participate.

Records:

All grantees must maintain and complete records as provided for in EDGAR.

Account Identification:

91-0901-0-1-501.

Obligations:

(Formula Grants) FY 09 \$3,000,000,000; FY 10 est \$0; FY 11 est \$0 - No additional expected obligations for FY 2010 or 2011.

Range and Average of Financial Assistance:

For fiscal year 2009, the range of award is \$7,276,591-\$346,347,890, and the average award is \$57,136,893.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department is in the process of issuing guidance and instructions for States to use in applying for these funds. Contact the program office for more information.

Regional or Local Office:

None.

Headquarters Office:

Carlas McCauley, 400 Maryland Avenue, SW, 3C116, Washington, District of Columbia 20202 Email: carlas.mccauley@ed.gov Phone: (202) 260-0824

Website Address:

<http://www.ed.gov/oese>

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies; 84.377 School Improvement Grants; 84.389 Title I Grants to Local Educational Agencies, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**84.389 TITLE I GRANTS TO LOCAL EDUCATIONAL AGENCIES, RECOVERY ACT
FEDERAL AGENCY:**

Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965 (ESEA), Title I, Part A, 20 U.S.C. 6301 et seq. and the American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To help local educational agencies (LEAs) and schools improve the teaching and learning of children failing, or most at-risk of failing, to meet challenging State academic achievement standards.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Use of funds varies, depending on whether a school is operating a schoolwide program under Title I, section 1114 of ESEA or a targeted assistance program under Section 1115 of the ESEA. A school with at least a 40 percent poverty rate may choose to operate a schoolwide program under Section 1114 that allows Title I funds to be combined with other Federal, State, and local funds to upgrade the school's overall instructional program. Schoolwide program schools must receive the amount of non-Federal resources they would have received in the absence of Title I funds. All other participating schools must operate targeted assistance programs that provide extra instruction to those children failings, or most at risk of failing, to meet State academic achievement standards. Targeted assistance programs must ensure that Title I services supplement, and do not supplant the regular education programs normally provided with non-Federal funds by local educational agencies. This program is subject to non-supplanting requirements and must use a restricted indirect cost rate, which is referenced under 34 CFR 76.564-76.569. For assistance call the Office of the Chief Financial Officer/Indirect Cost Group on (202) 708-7770.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

OMB Circular A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. SEAs apply for funds as part of Title I, Part A, State plans submitted to the Department of Education in accordance with Section 1111 of ESEA or as part of a consolidated State plan submitted under Section 9302 of ESEA. The plan remains in effect for the duration of the State's participation in Title I, Part A, but must be updated to reflect substantive changes. Participating LEA's must file an approved plan with their SEAs. No new applications are needed for ARRA funds, although SEAs may require LEAS to submit them.

Award Procedure:

The Department of Education (ED) makes grants through SEAs to LEAs using two statutory formulas based primarily on Census Bureau estimates of the number of children from low-income families in each LEA.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

The Department obligated 50 percent of funds to SEAs on April 1, 2009, and expects to obligate the remainder by the end of fiscal year 2009.

Appeals:

If an LEA's plan is disapproved by the SEA it may appeal to the SEA and then to the Department of Education under Section 432 of the General Education Provision Act (GEPA).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: ED allocates Title I, Part A funds made available under the ARRA through the Targeted and Education Finance Incentive Grant formulas authorized in sections 1125 and 1125A of the ESEA. Targeted Grant funds are allocated to LEAs based on each State's per-pupil expenditure for education and the number of school-aged children from low-income families. As required in section 1125 of the ESEA, ED applies weights to the count of children included in the formula so that LEAs with higher numbers or percentages of low-income children receive a higher allocation. To be eligible for a Targeted Grant an LEA (without application of the formula weights) must have at least 10 children in its Title I formula count, and the number of those children must be at least 5 percent of the LEAs school-age population. The Education Finance Incentive Grant (EFIG) formula, in addition to using the number of children from low-income families and the States per-pupil expenditure, factors in (1) the States effort to provide financial support for education compared to its relative wealth as measured by its per-capita income (fiscal effort factor) and (2) the degree to which education expenditures among school districts within a State are equalized (equity factor). To qualify for funds under the EFIG formula, an LEA must also meet the 10 formula child and 5 percent eligibility thresholds established for Targeted Grants.

This program has MOE requirements, see funding agency for further details. The maintenance of effort requirement in sections 1125A(e) and 9521 of the ESEA apply to Title I, Part A.

Length and Time Phasing of Assistance:

Title I, Part A ARRA funds are available for obligation from February 17, 2009, to September 30, 2010. Subject to the carryover limitations in section 1127 of ESEA, LEAs will have until September 30, 2011, to obligate these funds as provided in section 421(b) of the General Education Provisions Act. See the following for information on how assistance is awarded/released: N/A.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. In addition, each SEA and LEA must submit quarterly reports as to their use of Title I, Part A ARRA funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. States and local governments that receive financial assistance between \$25,000 and \$500,000 within the State's fiscal year shall have an audit made in accordance with the Appendix to 34 CFR, Part 80, or in accordance with the Federal laws and regulations governing the programs in which they participate.

Records:

In accordance with the General Education Provisions Act, States and LEAS must maintain certain program records for 3 years.

Account Identification:

91-0901-0-1-501.

Obligations:

(Formula Grants) FY 09 \$10,000,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

For FY 2009, the awards made from funds available under the ARRA range from \$25.8 million to \$1.1 billion; the average award is \$190.4 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 200. For Title I guidance and other information, contact the program

office.

Regional or Local Office:

None.

Headquarters Office:

Susan Wilhelm, 400 Maryland Avenue, SW. , Washington, District of Columbia 20202-6132 Email: susan.wilhelm@ed.gov Phone: (202) 260-0984.

Website Address:

<http://www.ed.gov/programs/titleiparta/index.html>; or
<http://www.ed.gov/policy/gen/leg/recovery/programs.html>

RELATED PROGRAMS:

84.010 Title I Grants to Local Educational Agencies

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.390 REHABILITATION SERVICES-VOCATIONAL REHABILITATION GRANTS TO STATES, RECOVERY ACT FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, Title I, Parts A and B, Sections 100-111; and the American Recovery and Reinvestment Act of 2009 (ARRA).

OBJECTIVES:

To assist States in operating comprehensive, coordinated, effective, efficient and accountable programs of vocational rehabilitation; to assess, plan, develop, and provide vocational rehabilitation services for individuals with disabilities, consistent with their strengths, resources, priorities, concerns, abilities, and capabilities so they may prepare for and engage in competitive employment.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal and State funds are used to cover the costs of providing vocational rehabilitation services which include: assessment, counseling, vocational and other training, job placement, reader services for the blind, interpreter services for the deaf, medical and related services and prosthetic and orthotic devices, rehabilitation technology, transportation to secure vocational rehabilitation services, maintenance during rehabilitation, and other goods and services necessary for an individual with a disability to achieve an employment outcome. Services are provided to families of disabled individuals when such services will contribute substantially to the rehabilitation of such individuals who are being provided vocational rehabilitation services. Funds can also be used to provide Vocational Rehabilitation services for the benefit of groups of individuals with disabilities including the construction and establishment of community programs.

Applicant Eligibility:

State agencies in all States (including territories/possessions) designated as the sole State agency to administer the vocational rehabilitation program may receive funds.

Beneficiary Eligibility:

In order to be eligible for vocational rehabilitation services, an individual must have a physical and/or mental impairment, which, for such an individual, constitutes or results in a substantial impediment to employment, and requires vocational rehabilitation services to achieve an employment outcome.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs."

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. There is no separate application required for States to be eligible to receive funds under the ARRA. State Vocational Rehabilitation (VR) agencies do not need to submit a new or amended State Plan.

Award Procedure:

ARRA grant awards will be made to State VR agencies with an approved FY 2009 State Plan. States are notified of their allotment under the funding formula. Grant awards are issued to State VR agencies and funds are withdrawn under the Electronic Transfer System.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

There is no deadline associated with each States ARRA grant allocation for the State Vocational Rehabilitation Services program. Funds will be made available to each State agency based on the States eligibility determination for FY 2009 Vocational Rehabilitation State Grant funds. Changes to the State Plan and annual updates related to the regular appropriation must be submitted to the Rehabilitation Services Administration (RSA) by July 1 of each year.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Part A, Subpart 110. Funds are distributed based on population weighted by per capita income. The statistical factors for fund allocation are: per capita income 3-year average by State (Bureau of Economic Analysis estimates) and U.S. total and State population (Annual Population Estimates, Bureau of the Census).

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available for obligation at the Federal level to State VR agencies until September 30, 2010. State VR agencies must obligate the ARRA funds they receive by September 30, 2011. See the following for information on how assistance is awarded/released: Funds are distributed by electronic transfer.

Reports:

Annual and quarterly reports are required. Grantees must report on how ARRA funds under this program are spent. ARRA reporting requirements were included in grant award documents. Annual and quarterly progress reports; annual budget and case service reports; quarterly financial reports. Grantees must report on how ARRA funds under this program are spent. The Rehabilitation Act requires the conduct of annual reviews of periodic on-site monitoring of whether a State VR agency is complying substantially with the provisions of its State plan and with the program's performance indicators established under section 106 of the Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Conducted by ED Office of Inspector General and by site visits of regional staff. Both are periodic but no set schedule.

Records:

Case service records for individual consumers. Financial and programmatic

records must be kept for a prescribed period of 3 years (34 CFR 80.42).

Account Identification:

91-0302-0-1-506.

Obligations:

(Formula Grants) FY 09 \$540,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 and 2011.

Range and Average of Financial Assistance:

The range of ARRA grant awards is expected to be \$204,598 to \$56,470,213.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Vocational Rehabilitation Regulations (34 CFR part 361). Rehabilitation Services Policy Directives and Technical Assistance Circulars.

Regional or Local Office:

None.

Headquarters Office:

Carol Dobak, Department of Education, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: carol.dobak@ed.gov Phone: (202) 245-6325.

Website Address:

<http://www.ed.gov/programs/rsabvrs/index.html>

RELATED PROGRAMS:

84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.391 SPECIAL EDUCATION GRANTS TO STATES, RECOVERY ACT

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; Individuals with Disabilities Education (IDEA), Part B, as amended, Section 611-618, 20 U.S.C. 1411-1418.

OBJECTIVES:

To provide grants to States to assist them in providing a free appropriate public education to all children with disabilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are used by State and local educational agencies, in accordance with the IDEA, to help provide the special education and related services needed to make a free appropriate public education available to all eligible children and, in some cases, to provide early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, District of Columbia, Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Guam and Virgin Islands. State educational agencies make subgrants to local educational agencies.

Beneficiary Eligibility:

Children with disabilities will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the application under CFDA 84.027. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States did not need to submit new applications to receive the first 50 percent of the Recovery Act funds for Part B Grants to States because these funds were made available to each State based on the States eligibility determination for FY 2008 Part B funds. In order to receive the remaining 50 percent of Grants to States funds under the Recovery Act, States were required to submit an amendment to the FY 2009 application for review and approval by the Department. This amendment was required to address the record-keeping and reporting requirements that are specified in the Recovery Act. States are eligible to receive funds under this program if the State establishes eligibility for funds under Section 612 of Part B of the IDEA. Local education agencies establish eligibility by meeting local application eligibility requirements of Section 613 of Part B of the IDEA.

Award Procedure:

Grants awards representing the total Part B grant amount under the Recovery Act were forwarded to the States department of education. Notification of the award was made to the Chief State School Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Part B. These funds were provided as a supplement to the regular IDEA, Grants to States grants (CFDA 84.027). Under the applicable formula, eighty-five percent of the funds were distributed based on the relative number of children in the age range for which States mandate services and 15 percent of the funds were distributed based on the relative numbers of those children living in poverty. IDEA Part B 611(a).

This program has no matching requirements. However, there are non-supplanting and excess cost requirements.

This program has MOE requirements, see funding agency for further details. The MOE requirements for this program apply to both State Educational Agencies and local educational agencies. Contact the program office for further details.

Length and Time Phasing of Assistance:

Funds are available for obligation at the Federal level until September 30, 2010. State and local education agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: No information provided.

Reports:

For Recovery Act-funded programs, States will be required to report on how funds are spent. Additional information on record keeping and reporting requirements will be included in application instructions or program guidance.

Grantees receiving Recovery Act funds should anticipate having to report, at a minimum, at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Other reports may be required as specified in grant terms and conditions. In addition, financial and program reports must be submitted as detailed in CFDA 84.027. Financial and program reports must be submitted as required by grant award terms and conditions. Financial and program reports must be submitted as required by grant award terms and conditions. Financial and program reports must be submitted as required by

grant award terms and conditions. Financial and program reports must be submitted as required by grant award terms and conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the subgrantee submits its final expenditure report for the funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0299-0-1-501.

Obligations:

(Formula Grants) FY 09 \$11,300,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

The range of awards to States, the District of Columbia, and Puerto Rico is \$16.4 million to \$1.2 billion, with an average award of \$219 million. Grants to outlying States ranged from \$200,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR Part 300, and 34 CFR Parts 76-77,79, 80-82,84-86, and 97-99.

Regional or Local Office:

None.

Headquarters Office:

Ruth Ryder, Office of the Assistant Secretary for Special Education Programs, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: RuthRyder@ed.gov Phone: (202) 245-7629.

Website Address:

<http://www.ed.gov/about/offices/list/osep/index.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding based upon applications approved under IDEA, Section 612.

84.392 SPECIAL EDUCATION - PRESCHOOL GRANTS, RECOVERY ACT

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, , Part B, Section 619, 20 U.S.C 1419; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To provide grants to States to assist them in providing special education and related services to children with disabilities ages 3 through 5 years, and at a

State's discretion, to 2-year-old children with disabilities who will reach age three during the school year.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds are used by State and local educational agencies, in accordance with IDEA, to provide special education and related services to children with disabilities ages 3 through 5, and at the State's discretion, to 2-year-old children with disabilities who will reach age 3 during the next school year, and in some cases, early intervening services.

Applicant Eligibility:

State educational agencies in the 50 States, the District of Columbia, and Puerto Rico. Local educational agencies apply to their State educational agencies for sub grants.

Beneficiary Eligibility:

Children aged 3 through 5 with disabilities, and (at the State's option) 2-year-old children with disabilities that will reach age 3 during the school year, that require special education and related services will benefit.

Credentials/Documentation:

No State or jurisdiction may receive a grant unless the Secretary has approved the annual application and statement of assurances. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The Department of Education awarded 50 percent of the Preschool Grant ARRA funds on April 1, 2009. The other 50 percent will be awarded by September 30, 2009. A separate application is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States did not need to submit new applications to receive the first 50 percent of the Recovery Act funds for Preschool Grants because these funds were made available to each State based on the States eligibility established for FY 2008 Part B funds. In order to receive the remaining 50 percent of Preschool Grant funds under the Recovery Act, States were required to submit an amendment to the FY 2009 application for review and approval by the Department. This amendment was required to address the record keeping and reporting requirements that are specified in the Recovery Act. States are eligible to receive funds under this program if the State establishes eligibility under section 612 of Part B of the IDEA, and the State makes a free appropriate public education available to all children ages 3 through 5 years with disabilities residing in the State. Local educational agencies establish eligibility for Preschool Grant funds under the Recovery Act by meeting local application eligibility requirements in section 613 of Part B of the IDEA.

Award Procedure:

Grant awards representing the total preschool grant amount under the Recovery Act were forwarded to the State's department of education. Notification of the award was made to the Chief State School Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Part B, Section 619. Statutory citation: IDEA Part C Sec. 619(c)

These funds were provided as a supplement to the regular IDEA, Preschool Grants (CFDA 84.173). Under the applicable formula, eighty-five percent of the funds were distributed based on the relative numbers of children aged three through five and 15 percent of the funds were distributed based on the relative numbers of those children living in poverty.

Matching Requirements: No match requirement. However, there are non-supplanting and excess cost requirements. The MOE requirements for this program apply to both State Educational Agencies and local educational agencies. Contact the program office for further details.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available for obligation at the Federal level until September 30, 2010. State and local educational agencies have an additional 12-month period to obligate funds they receive. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. For the Recovery Act-funded programs, States are required to report on how funds are spent. Additional information on record keeping and reporting requirements were included in application instructions or program guidance. Grantees receiving Recovery Act funds should anticipate having to report, at a minimum, at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Other reports may be required as specified in grant terms and conditions. In addition, financial and program reports must be submitted as detailed in CFDA 84.173.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR for State administered programs (34 CFR 76.730-731). Generally, the State and its subgrantees must retain records related to grant funds and compliance for a period of 3 years from the date the subgrantee submits its final expenditure report for the funding period. 34 CFR 80.42. Also, all records supporting claims for Federal funds or relating to the accountability of the grantee for the expenditure of such funds must be accessible for administrative review.

Account Identification:

91-0299-0-1-501.

Obligations:

(Formula Grants) FY 09 \$400,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations are expected in FY 2010 or 2011.

Range and Average of Financial Assistance:

Range of awards to States, District of Columbia and Puerto Rico -- \$260,486 to \$41,028,219; average award \$7,692,308.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program regulations are at 34 CFR Part 300 and 34 CFR Parts 76-77, 79, 80-82, 84-86, and 97-99.

Regional or Local Office:

None.

Headquarters Office:

Ruth Ryder, Office of the Assistant Secretary for Special Education Programs, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: RuthRyder@ed.gov Phone: (202) 245-7629.

Website Address:

<http://leg/recovery/programs.html>

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.391 Special Education Grants to States, Recovery Act; 84.393 84.393 Special Education - Grants for Infants and Families, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Not Applicable. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States and other eligible entities qualify for funding if they submit approvable applications under IDEA, section 612 and if they make a free appropriate public education available to all children with disabilities ages three through five years, residing in the State.

84.393 SPECIAL EDUCATION - GRANTS FOR INFANTS AND FAMILIES, RECOVERY ACT**FEDERAL AGENCY:**

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Individuals with Disabilities Education Act (IDEA), as amended, Part C, 20 U.S.C 1431-1444; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To provide grants to States to assist them to implement and maintain a statewide, comprehensive, coordinated, multidisciplinary, interagency systems to make available early intervention services to infants and toddlers with disabilities and their families.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

IDEA Part C AARA funds are used to assist States in implementing and maintaining their statewide systems of early intervention services. Funding may also be used to provide direct services for eligible infants and toddlers with disabilities aged birth through 2 and their families that are not otherwise provided by other public or private sources, to expand and improve services for infants and toddlers with disabilities that are otherwise available, and to provide a free appropriate public education, in accordance with Part B of IDEA, to children with disabilities from their third birthday to the beginning of the following school year. States also have the discretion to provide services to infants and toddlers who are at risk of having substantial developmental delays if they do not receive appropriate early intervention services. The Individuals with Disabilities Education Improvement Act of 2004 amended IDEA to allow states, at their discretion, to continue to serve children with disabilities under this program beyond age three until the children enter or are eligible to enter kindergarten or elementary school, if such children are otherwise eligible for services under the Preschool Grants Program (84.173), and previously received services under the Part C program.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

In general, each State or jurisdiction must submit an application, including certifications, policies and procedures, and statement of assurances to receive IDEA Part C FY 2009 funds; however no separate application were required for States to receive IDEA Part C ARRA funds. Each State lead

agency is designated by the Governor of that State or jurisdiction. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

There was no separate application required for States to be eligible to receive IDEA Part C ARRA funds under the Recovery Act. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A State did not need to submit a new application to receive the first 50 percent of its IDEA Part C ARRA because this portion of funds were made available to each State based on the States eligibility determination for FY 2008 Part C funds. States did not submit separate applications to receive the second 50 percent of its IDEA Part C ARRA funds but were required to comply with the record keeping and reporting requirements under section 1512 of the ARRA.

Award Procedure:

Two grant awards, each representing 50 percent of the total State allocation of IDEA Part C ARRA funds under the Recovery Act were made to each State lead agency on April 1, 2009 and September 30, 2009 with the funds available for obligation on February 17, 2009.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

The Department shall not take any final action, such as disapproval of a grant application, until a State is provided reasonable notice and an opportunity for a hearing.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Part C.

Matching Requirements: Funds available under the Recovery Act are distributed based on the regular Part C statutory formula. Awards are based on each State applicant's proportionate share of children, aged birth through two years, in the general population, based on the most recent satisfactory data available from the United States Bureau of the Census. No State receives less than 0.5 percent of the total amount of funds available for all States. The four Outlying Areas combined receive up to 1 percent of the funds appropriated for the IDEA Part C program.

This program has MOE requirements, see funding agency for further details.

This program has nonsupplanting and MOE requirements.

Length and Time Phasing of Assistance:

IDEA Part C ARRA FY 2009 funds are available for obligation at the Federal level until September 30, 2010. State agencies have an additional 12-month period to obligate their funds (i.e., until September 30, 2011). See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

State lead agencies must submit an Annual Performance Report under IDEA sections 616 and 642. An annual report to the Governor and to the Secretary by the State Interagency Coordinating Council on the status of early intervention programs operated within the State as required under Section 641(e)(1)(D) of the Act, and other information that the Secretary may require, including information required under Section 618 of the Act. Annual State Performance Plans and Annual Performance Reports are submitted to the Department of Education by the lead agency on an annual basis. For the ARRA program funds, States must also report quarterly and separately beginning on October 1, 2009 under ARRA section 1512 on how IDEA Part C ARRA funds under this

program are spent. Cash reports are not applicable. Progress reports are not applicable. Reporting requirements will be included in the grant award document. Performance monitoring requirements will be included in the grant award document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by GEPA and EDGAR for State-administered programs (in 34 CFR 76.730-731). Generally, the State lead agency and its contractors must retain records related to grant funds and compliance for a period of 5 years.

Account Identification:

91-0299-0-1-501.

Obligations:

(Formula Grants) FY 09 \$500,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

The range of awards for States, the District of Columbia and Puerto Rico: \$2,398,294 to \$59,511,391; average award to States is \$8,928,571.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: N/A. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR Part 303.

Regional or Local Office:

None.

Headquarters Office:

Ruth Ryder, Director, Office of Special Education Programs-MSIP, Department of Education, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: RuthRyder@ed.gov Phone: (202) 245-7629.

Website Address:

<http://www.ed.gov/about/offices/list/osep/osep/programs.html>.

RELATED PROGRAMS:

84.027 Special Education_Grants to States; 84.173 Special Education_Preschool Grants; 84.181 Special Education-Grants for Infants and Families; 84.392 Special Education - Preschool Grants, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

State lead agencies designated by the Governor are eligible applicants and must submit an approved application under Part C of the IDEA for regular FY 2009 IDEA Part C funds; as noted above, applications were not required for IDEA Part C ARRA funds.

84.394 STATE FISCAL STABILIZATION FUND (SFSF) - EDUCATION STATE GRANTS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Division A, Title XIV, Public Law 111-5.

OBJECTIVES:

To support and restore funding for elementary, secondary, and postsecondary education and, as applicable, early child hood education programs and services in States and local educational agencies.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States must first use this grant to provide any funds needed: (a) to restore State elementary and secondary education support for fiscal years 2009, 2010, and 2011 to the greater of the fiscal year 2008 or 2009 level; (b) to allow existing State formula increases to support elementary and secondary education for fiscal years 2010 and 2011 to be implemented and allow funding for phasing in State equity and adequacy adjustments, if such increases were enacted prior to October 1, 2008; and (c) to restore support for public institutions of higher education (IHEs) for fiscal years 2009, 2010, and 2011 to the greater of fiscal year 2008 or 2009, excluding tuition and fees paid by students. If there is insufficient funding to carry out this activity for each fiscal year, the Governor must allocate funds in proportion to the relative shortfall in State support for each education sector. States must use any remaining funds to provide local educational agencies (LEAs) with subgrants based on their relative shares of funding under Title I, Part A of the Elementary and Secondary Education Act of 1965 (ESEA).

LEAs that receive funds may use funds for activities authorized under the ESEA, the Individuals with Disabilities Education Act (IDEA), the Adult and Family Literacy Act, and the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins).

LEAs are prohibited from using funds for: payment of maintenance costs; stadiums or other facilities primarily used for athletic contests or exhibitions or other events for which admission is charged to the general public; purchase or upgrade of vehicles; or improvement of stand-alone facilities whose purpose is not the education of children including central office administration or operations or logistical support facilities.

IHEs are prohibited from using the funds: to increase endowments; for the maintenance of systems, equipment, or facilities; for the modernization, renovation, or repair of stadiums or other facilities primarily used for athletic contests or exhibitions or other events for which admission is charged to the general public; or the modernization, renovation, or repair of facilities used for sectarian instruction or religious worship or in which a substantial portion of the functions of the facilities are subsumed in a religious mission.

Public IHEs receiving funds must use these funds for educational and general expenditures, and in such a way as to mitigate the need to raise tuition and fees, or for modernization, renovation, or repairs of facilities that are primarily used for instruction, research, or student housing.

Applicant Eligibility:

Contact Program Office.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Governor must submit an application to the Department containing assurances that the State will: (a) maintain support for elementary, secondary, and higher education for FY 2009, 2010, and 2011 at least at the FY 2006 level; (b) take actions to improve effectiveness and address inequities in the distribution of highly qualified teachers; (c) improve the collection and use of education data; (d) enhance the quality of the States academic standards and assessments; (e) include limited English proficient students and students with disabilities in State assessments; and (f) take actions to turn around schools identified for corrective action or restructuring under Title I, Part A of the ESEA, 20 U.S.C. 6301 et seq.

Each State must also provide baseline data that demonstrate its current status in each of the areas described in the assurances referenced above, a description of how it intends to use its allocation, and other information as the Secretary may require.

Award Procedure:

The Department makes awards to States on a formula basis upon approval of the State application.

Deadlines:

Jan 11, 2010

Range of Approval/Disapproval Time:

Approval time will vary by State.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIV, Section 14001.

Matching Requirements: 61 percent of State allocations is based on relative shares of individuals aged 5 to 24, and 39 percent is based on relative shares of total population. The Department awards up to one-half of 1 percent of the appropriated funds to the outlying areas.

This program has MOE requirements, see funding agency for further details. Contact Program Office.

Length and Time Phasing of Assistance:

Funds are available to the Secretary of Education for obligation until September 30, 2010, and to the States, IHEs, LEAs and other entities until September 30, 2011. The Department will reallocate any funds that a Governor does not subgrant or otherwise commit within 2 years to the remaining States. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

For each year of the program, a State receiving funds under this title must submit a report to the Department that describes the uses of funds provided under this title within the State; the distribution of funds received; the number of jobs saved or created; tax increases averted; the States progress in reducing inequities in the distribution of highly qualified teachers, developing a longitudinal data system, and implementing valid assessment; actions taken to limit tuition and fee increases at public IHEs; the extent to which public IHEs maintained, increased, or decreased enrollments of in-State students; and a description of each modernization, renovation and repair project funded. Specific reporting requirements are detailed in Division A, Title XV of ARRA. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are required in accordance with guidance from OMB and the Department. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act, 31 U.S.C. 75, and OMB Circular A-133.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. The recipient must maintain such records for 3 years after the completion of the activity for which funds are used.

Account Identification:

91-1909-0-1-501.

Obligations:

(Formula Grants) FY 09 \$39,743,348,000; FY 10 est \$0; FY 11 est \$0 - No

additional obligations expected in FY 2010 or 2011.

Range and Average of Financial Assistance:

Awards to States will vary in size from approximately \$67.6 million to \$4.9 billion. The average grant award will be approximately \$760 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program can be found at <http://www.ed.gov/programs/statestabilization/applicant.html>.

Regional or Local Office:

None.

Headquarters Office:

James Butler, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: James.Butler@ed.gov Phone: (202) 260-9737.

Website Address:

<http://www.ed.gov/programs/statestabilization>

RELATED PROGRAMS:

84.395 State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act; 84.396 State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act; 84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.395 STATE FISCAL STABILIZATION FUND (SFSF) - RACE-TO-THE-TOP INCENTIVE GRANTS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Title XIV (Public Law 111-5).

OBJECTIVES:

To reward States that have made significant progress in achieving equity in teacher distribution; establishing a longitudinal data system; enhancing the quality of assessments; including English language learners and students with disabilities in State assessments; improving academic content and achievement standards; and providing effective support to schools identified for corrective action and restructuring.

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

Race to the Top State grants reward States that have made significant progress in improving the equitable distribution of qualified teachers; establishing longitudinal data systems; enhancing the quality of assessments; including English language learners and students with disabilities in State assessments; improving academic content and achievement standards; and providing effective support to schools identified for corrective action and restructuring. Each State receiving a Race to the Top grant shall use at least 50 percent of its grant to provide subgrants to school districts based on their relative shares of funding under Title I for the most recent year. The Race to the Top Assessment Program provides funding to consortia of States to develop assessments that are valid, support and inform instruction, provide accurate information about what students know and can do, and measure student achievement against standards designed to ensure that all students gain the knowledge and skills needed to succeed in college and the workplace. These assessments are intended to play a critical role in educational systems; provide administrators, educators, parents, and students with the data and information needed to continuously improve teaching and learning; and help meet the President's goal of restoring, by 2020, the nation's position as the world leader in college graduates.

Applicant Eligibility:

Contact program office.

Beneficiary Eligibility:

Contact program office.

Credentials/Documentation:

Contact program office. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The States must submit an application describing the status of the States progress in improving the equitable distribution of qualified teachers; establishing longitudinal data systems; enhancing the quality of assessments; including English language learners and students with disabilities in State assessments; improving academic content and achievement standards; and providing effective support to schools identified for corrective action and restructuring. In addition, the application must include a description of the strategies the State is employing to help ensure that students in subgroups that have not met the States proficiency targets continue to make progress toward meeting the States student academic achievement standards; the achievement and graduation rates of public elementary and secondary students and strategies the State is employing to help ensure that all subgroups of students continue making progress toward meeting the States student academic achievement standards; how the State would use its grant funding to improve student academic achievement in the State, including how it will allocate the funds to give priority to high-need local educational agencies; and a plan for evaluating the States progress in closing achievement gaps.

Award Procedure:

The Department of Education determines which States receives Race to the Top grants and the amount of those grants, on the basis of the States applications under section 14005 and other criteria the Department determines appropriate. The Department will make Race to the Top awards in FY 2010.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made in FY 2010. See the following for information on how assistance is awarded/released: Assistnace will be awarded by electronic transfer.

Reports:

Program reports will be required; details will be included in grant award documents. Cash reports may be required; details will be included in grant award documents. Progress reports may be required; details will be included in grant award documents. Expenditure reports may be required, details will be included in grant award documents. Performance monitoring reports may be required; details will be included in grant award documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act, 31 U.S.C. 75, and OMB circular A-133.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. The recipient shall maintain such records for 3 years after the completion of the activity for which funds are used.

Account Identification:

91-1909-0-1-501.

Obligations:

(Project Grants) FY 09 \$4,350,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: To be determined. Fiscal Year 2011: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Department issued regulations and guidance for this program, which can be found at: <http://www2.ed.gov/programs/racetothetop/legislation.html>

Regional or Local Office:

None.

Headquarters Office:

Joe Conaty, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202
Email: Joseph.Conaty@ed.gov Phone: (202) 401-0113.

Website Address:

<http://www.ed.gov/policy/gen/leg/recovery/programs.html>

RELATED PROGRAMS:

84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act; 84.396 State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act; 84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To be determined.

**84.396 STATE FISCAL STABILIZATION FUND (SFSF) - INVESTING IN INNOVATION (I3) FUND, RECOVERY ACT
FEDERAL AGENCY:**

Office of Innovation and Improvement, Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Title XIV (Public Law 111-5).

OBJECTIVES:

To provide competitive grants to (a) local educational agencies (LEAs) or (b) partnerships between a nonprofit organization and one or more LEAs or a consortium of schools with a record of improving student achievement and attainment in order to expand the implementation of, and investment in, innovative practices that are demonstrated to have an impact on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The Department will award three types of grants: Scale-up, Validation, and Development. Funds will 1) allow eligible entities to expand and develop innovative practices that can serve as models of best practice; 2) allow eligible entities to work in partnership with the private sector and the philanthropic community; and 3) support eligible entities in identifying and documenting best practices that can be shared and taken to scale based on demonstrated success.

Applicant Eligibility:

(1) local educational agencies (LEAs) and (2) nonprofit organizations in partnership with (a) one or more LEAs or (b) a consortium of schools.

Beneficiary Eligibility:

High-need students in LEAs will benefit.

Credentials/Documentation:

OMB Circular No. A-110 and A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Department of Education will develop an application, procedures, and criteria to award grants on a competitive basis. Applicants must select one of three types of grants: Scale-up, Validation, or Development and must apply to one of four absolute priorities. Applicants for all types of grants may choose to write to any of four competitive preference priorities. Applications are reviewed by panels of peer reviewers. Points are awarded on the basis of the published selection criteria, are ranked on the basis of the points awarded, and selected for funding based on the rank order.

Award Procedure:

To be eligible to receive an i3 grant, applicants must: 1) implement practices, strategies, or programs for high-need students; 2) an LEA applying on its own must demonstrate that it: (a) closed achievement gaps or improved achievement for all groups of students, and (b) achieved significant improvement in other areas; partnerships involving a non-profit organization must demonstrate that the non-profit organization has a record of significantly improving student achievement, attainment, or retention through its record of work with an LEA or schools; 3) address one of the absolute priorities; 4) secure matching funds from the private sector equal to 20% of the award; and 5) meet the evidence requirement of the type of grant for which the applicant applied.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately four months from receipt of applicants.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards will be made in FY 2010. Applicants can request awards of 3, 4, or 5 years. For FY 2010, funds for all years are awarded in FY 2010. See the following for information on how assistance is awarded/released: No information provided.

Reports:

As required for ARRA funded grants. Annual performance reports. No cash reports are required. No progress reports are required. No expenditure reports

are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act, 31 U.S.C. 75, and OMB circular A-133.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. The recipient shall maintain such records for three years after the completion of the activity for which funds are used.

Account Identification:

91-1909-0-1-501.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$650,000,000; FY 11 est \$0 - No new obligations are expected in FY 2011.

Range and Average of Financial Assistance:

Scale-up: up to \$50 million; Validation: up to \$30 million; Development: up to \$5 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Education Department General Administrative Regulations (EDGAR) in 34CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99. The notice of final priorities requirements, definitions, and selection criteria (NFP) for this program published in the Federal Register.

Regional or Local Office:

None.

Headquarters Office:

Margo Anderson, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: margo.anderson@ed.gov Phone: (202) 205-3010.

Website Address:

<http://www.State.Fiscal.Fund@ed.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria will be contained in the program guidance.

84.397 STATE FISCAL STABILIZATION FUND (SFSF) - GOVERNMENT SERVICES, RECOVERY ACT**FEDERAL AGENCY:**

Department of Education

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Division A, Title XIV, Public Law 111-5.

OBJECTIVES:

To support public safety and other government services, which may include assistance for elementary and secondary education and public institutions of higher education (IHEs), and for modernization, renovation or repair of public school facilities and IHE facilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

States must use the SFSF Government Services funds for public safety and other government services, which may include assistance for elementary and secondary education and public IHEs, and for modernization, renovation, or repair of public school facilities and IHE facilities.

LEAs are prohibited from using funds for: payment of maintenance costs; stadiums or other facilities primarily used for athletic contests or exhibitions or other events for which admission is charged to the general public; purchase or upgrade of vehicles; or improvement of stand-alone facilities whose purpose is not the education of children including central office administration or operations or logistical support facilities.

IHEs are prohibited from using the funds: to increase endowments; for the maintenance of systems, equipment, or facilities; for the modernization, renovation, or repair of stadiums or other facilities primarily used for athletic contests or exhibitions or other events for which admission is charged to the general public; or the modernization, renovation, or repair of facilities used for sectarian instruction or religious worship or in which a substantial portion of the functions of the facilities are subsumed in a religious mission.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Governor must submit an application to the Department of Education (the Department) containing assurances that the State will: (a) maintain support for elementary, secondary, and postsecondary education for FY 2009, 2010, and 2011 at least at the FY 2006 level; (b) take actions to improve teacher effectiveness and address inequities in the distribution of highly qualified teachers; (c) improve the collection and use of education data; (d) enhance the quality of the States academic standards and assessments; (e) include limited English proficient students and students with disabilities in State assessments; and (f) take actions to turn around schools identified for corrective action or restructuring under Title I, Part A of the ESEA, 20 U.S.C. 6301 et seq. Each State must also provide baseline data that demonstrates its current status in each of the areas described in the assurances referenced above, a description of how it intends to use its allocation, and other information as the Secretary may require.

Award Procedure:

The Department makes awards to States on a formula basis upon approval of the State application.

Deadlines:

Jan 11, 2010

Range of Approval/Disapproval Time:

Approval time will vary by State.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIV, Division A, Public Law 111-5.

Matching Requirements: Sixty-one percent of State allocations are based on relative shares of individuals aged 5 to 24, and 39 percent are based on relative

shares of total population.

This program has MOE requirements, see funding agency for further details.

See funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available to the Secretary of Education for obligation until September 30, 2010, and to the States, LEAs, IHEs, and other entities until September 30, 2011. The Department will reallocate any funds that a Governor does not subgrant or otherwise commit within 2 years to the remaining States. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

For each year of the program, a State receiving funds under this title shall submit a report to the Department that describes the uses of funds provided under this title within the State; the distribution of funds received; the number of jobs saved or created; tax increases averted; the States progress in reducing inequities in the distribution of highly-qualified teachers, developing a longitudinal data system, and implementing valid assessments; actions taken to limit tuition and fee increases at public IHEs; and the extent to which public IHEs maintained, increased, or decreased enrollments of in-State students. Specific reporting requirements are detailed in Division A, Title XV of ARRA. Cash reports are not applicable. No progress reports are required. Expenditure reports are required in accordance with guidance from OMB and the Department. Contact the program office for additional information. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act, 31 U.S.C. 75, and OMB circular A-133.

Records:

Each recipient must maintain records that fully disclose the total cost of the activity for which the funds are used, the share of the cost provided from other sources, and other such records as will facilitate an effective financial or programmatic audit. The recipient shall maintain such records for three years after the completion of the activity for which funds are used.

Account Identification:

91-1909-0-1-501.

Obligations:

(Formula Grants) FY 09 \$8,842,652,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations are expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

Awards to States vary in size from approximately \$15 million to \$1 billion. The average grant award is approximately \$169 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program can be found at <http://www.ed.gov/programs/statestabilization/applicant.html>

Regional or Local Office:

None.

Headquarters Office:

James Butler, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202
Email: James.Butler@ed.gov Phone: (202) 260-9737.

Website Address:

<http://www.ed.gov/programs/statestabilization>

RELATED PROGRAMS:

84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants,

Recovery Act; 84.395 State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act; 84.396 State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.398 INDEPENDENT LIVING STATE GRANTS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B ; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To supplement the Independent Living State Grants Funds (CFDA 84.169) awarded to eligible States, territories and outlying areas in Fiscal Year 2009 to assist those entities in improving and expanding the provision of independent living services for individuals with significant disabilities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Independent Living State Grants (IL Part B) Recovery Act funds must be used consistent with the IL program regulations in 34 CFR Parts 364 and 365 and the General Education Provisions Act and parts of the Education Department General Administrative Regulations which are listed in the applicable regulations sections of the IL program regulations. States can use Recovery Act funds for the same purposes for which they can use Independent Living State Grants funds. More information about possible uses IL Part B Recovery Act funds can be found in the CFDA for the State Grants Program (84.169).

Applicant Eligibility:

There were no applications for this program because IL Part B Recovery Act funds were awarded as a supplement to the Fiscal Year 2009 IL Part B grants of the designated State units (DSU) in States where the Department had approved the State Plan for Independent Living (SPIL). Applicants were required to comply with the conditions in the IL State Grant Grant Award as well as the additional grant conditions required of entities receiving the Recovery Act funds. A specific condition that recipients of IL Part B Recovery Act grants were required to meet was to amend their approved SPIL if their proposed use of the IL Part B Recovery Act funds would result in a significant and relevant change in the information or assurances in the SPIL that would materially affect the information in or operation of the approved SPIL.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in 34 CFR Section 364.4 of the IL program regulations.

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. The match for this program may be cash or in-kind. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

There was no separate application required for this program. Agencies were eligible to receive funds for this program under the Recovery Act if they had an approved State Plan for Independent Living. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. DSUs in States with an approved State Plan for Independent Living are not required to submit an application to receive funds for this program under the Recovery Act. In some States, the approved State Plan for Independent Living may need to be revised or amended to account for the increased funding available under the Recovery Act. See discussion of special grant conditions above.

Award Procedure:

All agencies eligible for funding under an approved State Plan for Independent Living for the Independent Living State Grants program (CFDA 84.169) are eligible to receive funds provided under the Recovery Act for this program. A grant award based on each agency's share of the States formula allocation of the funds provided by the Recovery Act for this program was issued, with a few exceptions, on April 1, 2009.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title VII, Chapter 1, Part B, Section 711(a). Rehabilitation Act of 1973, as amended.

Matching Requirements: Recovery Act funds will be allocated among States according to the formula in section 711 of the Rehabilitation Act using annual population estimates from the U.S. Census Bureau. Matching requirements in section 712(g) of the Rehabilitation Act are applicable to this program. The non-Federal share for any fiscal year is one State dollar for every nine Federal dollars. The State contribution may be cash or in-kind. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation at the Federal level until September 30, 2010. State agencies have an additional 12-month period to obligate their funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Program reports are not applicable. Cash reports are not applicable. As a supplement to FY 2009 awards, States will include the Recovery Act funds in the annual performance and financial reports required by 34 C.F.R. 80.40 and 80.41 of the Education Department General Administrative Regulations (EDGAR). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required. Grantees receiving funding under the Recovery Act are required to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Specific reporting requirements were included in grant award documents. Contact the program office for more information. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Education Department periodically conducts audits and site visits of designated State unit programs funded under Part B. The Education Department periodically conducts audits and site visits of designated State unit programs funded under Part B.

Records:

As required by EDGAR for State-administered programs. Generally, States and sub-grantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0302-0-1-506.

Obligations:

(Formula Grants) FY 09 \$18,200,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

In FY 2009, the range of awards is expected to be \$22,523 to \$1,623,087.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 76, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 364 and 365.

Regional or Local Office:

None.

Headquarters Office:

William Bethel, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: william.bethel@ed.gov Phone: (202) 245-6775.

Website Address:

<http://www.ed.gov/programs/rsailstate/index.html>.

RELATED PROGRAMS:

84.132 Centers for Independent Living; 84.169 Independent Living_State Grants; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act ; 84.400 Centers for Independent Living, Recovery Act.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An approved State plan is a condition for receipt of IL State Grant funds under the Recovery Act.

84.399 INDEPENDENT LIVING SERVICES FOR OLDER INDIVIDUALS WHO ARE BLIND, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 2. ; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To supplement the Independent Living Services to Older Individuals Who Are Blind (OIB) grants (CFDA 84.177) awarded to eligible States, territories and outlying areas in Fiscal Year 2009 to assist those entities in improving and expanding the provision of independent living services for older individuals who are blind.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

OIB Grant funds awarded under the Recovery Act were in addition to the States Fiscal Year 2009 OIB Grant award. Those funds must be used consistently with the provisions in the IL programs regulations in 34 C.F.R. Parts 364 and 367 and the General Education Provisions Act and provisions in the Education Department General Administrative Regulations (EDGAR) which are listed in the applicable regulations section of the OIB program regulations. States can use Recovery Act funds for the same purposes for which they can use OIB funds. See the CFDA for the OIB program for a more full description of the use of funds section.

Applicant Eligibility:

Designated State agencies (DSA) in the States and Territories and outlying areas with approved applications for FY2009 were eligible to receive OIB Recovery Act funds. The Department awarded the OIB Recovery Act funds to DSAs, with a few exceptions, on April 1, 2009.

Beneficiary Eligibility:

Older individuals who are blind, as defined in 34 C.F.R Part 367.5 of the OIBIL program regulations, are the primary beneficiaries of this program. This term means An individual aged 55 or older whose severe visual impairment makes competitive employment extremely difficult to obtain but for whom independent living goals are feasible..

Credentials/Documentation:

The State agency must certify the availability of State funds for matching purposes. The match for this program may be cash or in-kind. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

There is no separate application required for the OIB Recovery Act funds. DSAs are eligible to receive Recovery Act funds for the OIB program if they have a current approved application under Independent Living Services for Older Individuals Who Are Blind program (CFDA 84.177). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. DSAs in a State with an approved application for FY2009 are not required to submit an application to receive funds for this program under the Recovery Act because these funds have been made available to each Agency based on the Agency's established eligibility for FY 2009 funds under CFDA 84.177.

Award Procedure:

All agencies eligible for funding under an approved FY2009 application for the OIB program (CFDA 84.177) are eligible to receive funds provided under the Recovery Act for this program. The Recovery Act funds have been awarded based on the formula allocations for States authorized under section 752(j) of the Rehabilitation Act. A grant in the amount of this allocation was released to all eligible Agencies, with a few exceptions, on April 1, 2009.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Rehabilitation Act of 1973, as amended, Title VII, Chapter 2, Section 752(j). Awards are made on the basis of State population.

Matching Requirements: Cost-sharing of \$1 for each \$9 of Federal grant funding is required.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation by the Department until September 30, 2010. Grantees have an additional 12-month period to obligate their funds pursuant to section 19 of the Rehabilitation Act. See the following for information on how assistance is awarded/released: Funds will be released by electronic transfer.

Reports:

As a supplement to FY 2009 awards, States will include the Recovery act funds in the annual performance and financial reports required by 34 C.F.R. 80.40

and 80.41 of the Education Department General Administrative Regulations (EDGAR). No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required. Grantees receiving funding under the Recovery Act are required to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Specific reporting requirements were included in grant award documents. Contact the program office for more information. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Any additional audit requirements will be included in grant award documents.

Records:

As required by EDGAR for State-administered programs. Generally, States and sub-grantees must retain records related to grant funds and compliance for a period of 3 years.

Account Identification:

91-0302-0-1-506.

Obligations:

(Formula Grants) FY 09 \$34,300,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

In FY 09, the range of awards is expected to be \$3,170 to \$3,707,077.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 76, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 367 and selected sections of 34 CFR 364 and 365, listed in 34 CFR 367.4.

Regional or Local Office:

None.

Headquarters Office:

William Bethel, Department of Education, OSERS 400 Maryland Ave., S.W., Rm. 5057, PCP, Washington, District of Columbia 20202 Email: william.bethel@ed.gov Phone: (202) 245-6775.

Website Address:

<http://www.ed.gov/programs/rsailob/index.html>

RELATED PROGRAMS:

84.132 Centers for Independent Living; 84.169 Independent Living_State Grants; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.398 Independent Living State Grants, Recovery Act ; 84.400 Centers for Independent Living, Recovery Act.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: N/A. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An approved FY2009 OIB application is a condition for receipt of OIB funds under the Recovery Act.

84.400 CENTERS FOR INDEPENDENT LIVING, RECOVERY ACT.

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To supplement funding to existing centers for independent living (CILs) and create new CILs in a State that comply with the standards and assurances in section 725(b) and (c) of the Rehabilitation Act of 1973, as amended (Rehabilitation Act) consistent with the design included in the State Plan for Independent Living for establishing a statewide network of centers. Centers are consumer-controlled, community-based, cross-disability, nonresidential, private nonprofit agencies that are operated in local communities by individuals with disabilities and provide an array of IL services that are designed to enhance independence and productivity of individuals with significant disabilities and to promote their full inclusion and integration into the mainstream of American society.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

CIL (IL Part C) funds awarded under the Recovery Act must be used consistently with the program regulations for the CILs program in 34 C.F.R. Parts 364 and 366 and the General Education Provisions Act and the Education Department General Administrative Regulations (EDGAR), which are listed as applicable in the CIL program regulations. CILs can use Recovery Act funds for the same purposes for which they can use CIL program funds. More information about possible uses of CIL Recovery Act funds can be found in the CFDA for the CIL Program (84.132). Section 721(a) of the Rehabilitation Act specifies that not less than 1.8 percent and not more than 2 percent of Federal funds appropriated for the program must be reserved to provide training and technical assistance to CILs, agencies eligible to become CILs, and Statewide Independent Living Councils, through entities that have experience in the operation of centers for independent living.

Applicant Eligibility:

Existing CILs, currently receiving CIL funding, can receive IL Part C Recovery Act funds, if consistent with the State determination by the Commissioner of the Rehabilitation Services Administration (RSA) regarding distribution of the IL Part C Recovery Act funds in the State and the States approved or amended SPIL. The Commissioner of RSA will use IL Part C Recovery Act funds in certain States, consistent with the State determination by the Commissioner of the RSA regarding distribution of the IL Part C Recovery Act funds in the State and the States approved or amended SPIL, to conduct a competition to establish new centers in those States that have unserved or underserved areas and whose funding is sufficient to support a new center. Those applicants for a new center must submit a satisfactory application in accordance with the application procedures listed below.

Beneficiary Eligibility:

Individuals with significant disabilities as defined in section 7 of the Rehabilitation Act and 34 CFR 364.4 of the IL program regulations. This refers to an individual with a severe physical, mental, cognitive or sensory impairment whose , ability to function independently in the family or community or whose ability to obtain, maintain, or advance in employment is substantially limited and for whom the delivery of IL services will improve the ability to function, continue functioning, or move toward functioning independently in the family or community or to continue in employment.

Credentials/Documentation:

Costs for States that administer these grants through the authorization in section 723 and costs for State agencies the receive grants under the IL Part C program will be determined in accordance with OMB Circular No. A-87 for State and local governments. Costs for all other grantees will be determined in accordance with OMB Circular No. A-122, Cost Principles for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Education Department General Administrative Regulations at 34 CFR Parts 74, 75 and 80, if a state agency receives the award, apply to this program. Applications should be submitted to the Department e-Grants Website or to the Department of Education, Application Control Center, 400 Maryland Ave. S.W., Washington, DC 20202-4725, according to instructions specified in the Notice Inviting Applications. The standard application kit as furnished by the Department of Education must be used for this program.

Award Procedure:

New awards are made based upon the results of a competitive peer review process of applications and the review and approval of staff in various offices within the Department. Awards to existing CILs are based on the approval of ED 524 Budget form.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

The CIL Recovery Act funds must be obligated by the Department by September 30, 2010, and CILs will have five years to spend their award from the date of obligation. For each 12 month budget period, the CILs must submit an annual performance report (the section 704 report), and RSA must determine that the center has met the standards and assurances under section 725(b) and (c) of the Rehabilitation Act. Centers are required to track and account for the ARRA CIL grant funds separately from the regular fiscal year CIL grant funds. The Department has issued specific guidance for preparing and submitting this recordkeeping and reporting information, required by section 1512 of ARRA, and other guidance governing ARRA funds at <http://www.ed.gov/policy/gen/leg/recovery/section-1512.html>.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Section 721 of the Rehabilitation Act provides a population-based formula for the allocation of program funds among States. These funds are then awarded through competitive grants to CILs or eligible agencies in the State.

This program does not have MOE requirements. This program does not have cost sharing or matching requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation by the Department until September 30, 2010. Pursuant to the Supplemental Appropriations Act 2009, Pub. L. 111-032, CIL grantees have an additional 5-year period to obligate their funds. New projects are typically funded for one, three or five years with funding approved on a 12-month basis. See the following for information on how assistance is awarded/released: electronic transfer.

Reports:

The CIL ARRA funds received and activities support by those funds must be included in grantees annual and fiscal progress reports and self-evaluations (section 704 reports) submitted as prescribed by Section 725 (c)(8) of the Rehabilitation Act, and grant award terms and conditions. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Grantees receiving funding under the Recovery Act are also required to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Specific reporting requirements will be

included in grant award documents. Centers are required to track and account for the ARRA CIL grant funds separately from the regular fiscal year CIL grant funds. The Department has issued specific guidance for preparing and submitting this recordkeeping and reporting information, required by section 1512 of ARRA, and other guidance governing ARRA funds at <http://www.ed.gov/policy/gen/leg/recovery/section-1512.html>. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional audit reports may be included grant documents.

Records:

As required by EDGAR for non-profit recipients (34 CFR Part 74) records related to grant funding, compliance and performance must be maintained for a period of three years after completion of the grant activity and the final financial report is submitted or until all audit questions are resolved.

Account Identification:

91-0302-0-1-506.

Obligations:

(Project Grants) FY 09 \$87,500,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

To be determined.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 74, 75, 77, 79, 80, 81, 82, 84, 85, and 97; Program Regulations in 34 CFR Parts 364 and 366.

Regional or Local Office:

None.

Headquarters Office:

William Bethel, Rehabilitation Services Administration, 400 Maryland Avenue, S.W., Washington, District of Columbia 20202 Email: william.bethel@ed.gov Phone: (202) 245-6775.

Website Address:

<http://www.ed.gov/programs/cil/index.html>.

RELATED PROGRAMS:

84.132 Centers for Independent Living; 84.169 Independent Living_State Grants; 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind; 84.398 Independent Living State Grants, Recovery Act ; 84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria for this program include extent of need for the project, past performance, satisfaction of the standards and assurances set forth in Section 725, quality of key personnel, budget and cost-effectiveness, evaluation plan, plan of operation, and involvement of individuals with significant disabilities. Criteria for evaluating applications are published in program regulations at 34 CFR 366.

**84.401 IMPACT AID SCHOOL CONSTRUCTION, RECOVERY ACT
FEDERAL AGENCY:**

Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Section 8007(b), Title VIII; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To provide school construction assistance to local educational agencies (LEAs) where the presence of certain children living on Federal property places a financial burden on the LEAs that educate them (Section 8003, ESEA).

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Awards must be used for construction activities, including the preparation of drawings and specifications for school facilities; erecting, building, acquiring, altering, remodeling, repairing, or extending school facilities; and inspecting and supervising the construction of school facilities.

Applicant Eligibility:

Contact Program Office.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are submitted to the Secretary of Education and a copy is sent to the State educational agency (SEA). The applications must contain a description of the proposed project, a budget table, project timeline, and an independent certification that the need for the project exists. The applications will be made available through the e-grants e-Application web page at <http://e-grants.ed.gov>.

Award Procedure:

Funds are awarded on a competitive basis. Applications are reviewed by program analysts to ensure preliminary eligibility and completeness. All eligible applications are peer-reviewed and ranked in order for selection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

An administrative law hearing is available for any LEA or State adversely affected by any action of the Secretary. The hearing must be requested under the time frame included in the program regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Performance periods will vary depending on the type of project funded. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The LEA will be required to submit financial documentation to verify the appropriate use of funds. In addition, the LEA will be required to provide a narrative on the outcome of the project and its impact

on local employment. ARRA-funded programs may also be required to report on how funds under this program are spent and the results of those expenditures. Additional requirements will be included in application instructions or program guidance. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional audit requirements may be included in grant award documents.

Records:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Account Identification:

91-0103-0-1-501.

Obligations:

(Project Grants (Discretionary)) FY 09 \$60,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

For 2009, 24 awards were made and the range of awards was \$101,000 - \$5,127,865; the average award was \$2,470,883.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are included in 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Catherine Schagh, Department of Education, OESE, Impact Aid Programs 400 Maryland Ave., S.W., Washington, District of Columbia 20202-6244 Email: impact.aid@ed.gov Phone: (202) 260-3858.

Website Address:

<http://www.ed.gov/about/offices/list/oease/impactaid/index.html>.

RELATED PROGRAMS:

84.041 Impact Aid; 84.404 Impact Aid -- School Construction Formula Grants, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Not Applicable. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Selection criteria that the Department will consider are: the current health and safety risk to school students and personnel; the LEA's ability to support the needs of the current enrollment; the LEA's ability to provide a comprehensive educational program that meets current State standards; the extent to which projects would use energy-efficient and recyclable materials; the use of non-traditional or alternative building methods to expedite construction and maximize cost efficiency; the feasibility of completing the project within 24 months; and the availability of other resources for the project.

84.402 CONSOLIDATED GRANTS TO THE OUTLYING AREAS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Title V, Public Law 95-134, 48 U.S.C 1469a; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To make an annual consolidated grant to an Insular Area in an amount that is the sum of its allocations under two or more of the four programs for which it is eligible under the American Recovery and Reinvestment Act of 2009 (ESEA, Title I, Part A-Grants to LEAs; ESEA, Title I, Section 1003(g)-School Improvement Grants; Title II, Part D-Educational Technology State Grants; McKinney-Vento Homeless Assistance Act-Title VII-B Grants) to assist it in carrying out one or more of State-administered formula grant programs of the Department.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

An Insular Area shall use the funds it receives under a consolidated grant to carry out, in its jurisdiction, one or more of the programs included in the grant. (See above programs.) An Insular Area may only use and administer funds under the eligible programs to be consolidated described in section 76.125 (c) of EDGAR during a fiscal year for which the Insular Areas is entitled to receive funds under an appropriation for that program.

Applicant Eligibility:

Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands EDGAR 76.125(b).

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An Insular Area applies annually for a grant consolidating two or more of the programs eligible to be consolidated in accordance with the application requirements of EDGAR 76.131-76.132.

Award Procedure:

The Secretary awards a single consolidated grant annually to each Insular Area that meets the requirements of EDGAR 76.125 through 76.137 and each program under which the grant funds are to be used and administered. The Secretary may decide that one or more programs cannot be included in the consolidated grant if it is determined that the Insular Area failed to meet the program objectives stated in its plan for the previous fiscal year in which it carried out the programs. The eligibility of a particular Insular Area to receive grant funds under a Federal education program is determined under the statute and regulations for that program (EDGAR 76.130 (a), (b), (d)).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Applications will be reviewed within approximately 30 days. Approval will be granted upon determination that the application meets the requirements of EDGAR 76.131(1) and (2).

Appeals:

The Secretary shall not disapprove a consolidated grant application before offering the Insular Area an opportunity to revise the plan and a hearing. (See 34 CFR 76.202.).

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant funds are available from Feb. 17, 2009 through September 30, 2011. See the following for information on how assistance is awarded/released: Contact Program Office.

Reports:

As required by EDGAR 76.132 (5), an annual report is required to be submitted to the Secretary containing information covering the program or programs for which the grant is used and administered, including the financial and program performance information as required under 34 CFR 74.51-74.52 and 34 CFR 80.40-80.41. ARRA-funded programs may be required to report on how funds under this program are spent and the results of those expenditures. Additional requirements will be included in application instructions or program guidance. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR 76.132(7), the grantee keeps records, including a copy of the application document under which funds are to be spent, which show how the funds received under the consolidated grant have been spent.

Account Identification:

91-0901-0-1-501; 01-1001-0-1-501.

Obligations:

(Formula Grants) FY 09 \$39,001,431; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Awards range from approximately \$3.5 million to \$13.3 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 80, 81,82, and 85.

Regional or Local Office:

None.

Headquarters Office:

Valerie Rogers, School Support and Technology Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., LBJ Bldg, Room 3W241, Washington, District of Columbia 20202 Email: Valerie.rogers@ed.gov Phone: (202) 260-2543.

Website Address:

<http://www.ed.gov/about/offices/list/oese/sst/index.html>

RELATED PROGRAMS:

84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.403 CONSOLIDATED GRANT TO THE OUTLYING AREAS

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act, as amended, Title V, Public Law 95-134, 48 U.S.C 1469a.

OBJECTIVES:

To make an annual consolidated grant to assist an Insular Area in carrying out one or more State-administered formula grant programs of the Department. (i.e., -ESEA, Title I, Part A-Grants to LEAs; ESEA, Title I, Section 1003(g)-School Improvement Grants; ESEA, Title I, Part B, subpart 3-Even Start Grants; ESEA, Title II, Part A-Teacher Quality Grants; ESEA, Title II, Part D-Educational Technology State Grants; ESEA, Title III, English Language Acquisition Grants; ESEA, Title IV, Part B-21st Century Community Learning Centers Grants; ESEA, Title V, Part A-State Grants for Innovative Programs; ESEA, Title IV, Part A-Safe and Drug-Free Schools and Communities-SEA Funds; ESEA, Title IV, Part A-Safe and Drug-Free Schools and Communities-Governors Funds; AEFLA-Section 243-Adult Education State Administered Program grants; Perkins Act, Title I-Career and Technical Education Basic State Grants, Perkins Act, Title I, Career and Technical Education-Tech Prep Education Grants; ESEA, Title VI, Part A-State Assessments; McKinney-Vento Homeless Assistance Act-Title VII-B Grants; ESEA, Title II, Part, B-Mathematics and Science Partnerships grants; HEA, Title IV, Part A, Subpart 4- Leveraging Educational Assistance Partnership Grants; ESEA, Title VI, Part B, Rural and Low-Income School Program.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

An Insular Area must use the funds it receives under a consolidated grant to carry out, in its jurisdiction, one or more of the programs included in the grant. (See above programs.). An Insular Area may only use and administer funds under the eligible programs to be consolidated described in section 76.125 (c) of EDGAR during a fiscal year for which the Insular Areas is entitled to receive funds under an appropriation for that program.

Applicant Eligibility:

Virgin Islands, Guam, American Samoa and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An Insular Area applies annually for a grant consolidating two or more of the programs eligible to be consolidated in accordance with the application requirements of EDGAR 76.131-76.132.

Award Procedure:

The Department awards a single consolidated grant annually to each Insular Area that meets the requirements of EDGAR 76.125 through 76.137 and each program under which the grant funds are to be used and administered. The Department may decide that one or more programs cannot be included in the consolidated grant if it is determined that the Insular Area failed to meet the program objectives stated in its plan for the previous fiscal year in which it carried out the programs. The eligibility of a particular Insular Area to receive grant funds under a Federal education program is determined under the statute and regulations for that program (EDGAR 76.130 (a), (b), (d)).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approval will be granted upon determination that the application meets the requirements of EDGAR 76.131 (1) and (2).

Appeals:

The Department shall not disapprove a consolidated grant, before offering the Insular Area an opportunity to revise the application and a hearing. (See 34 CFR 76.202.).

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: A grantee must be eligible under a program to receive funds and must meet the requirements of the program on which it uses funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards generally run for one fiscal year. See the following for information on how assistance is awarded/released: Contact the program office for the information.

Reports:

As required by EDGAR 76.132(5), an annual report is required to be submitted to the Secretary containing information covering the program or programs for which the grant is used and administered, including the financial and program performance information as required under 34 CFR 74.51-74.52 and 34 CFR 80.40-80.41. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

As required by EDGAR 76.132(7), the grantee keeps records, including a copy of the application document under which funds are to be spent, which show how the funds received under the consolidated grant have been spent.

Account Identification:

01-0200-0-1-501; 91-0203-0-1-501; 91-0900-0-1-501; 91-0400-0-1-501; 91-1300-0-1-501; 91-1000-0-1-501.

Obligations:

(Formula Grants) FY 09 \$77,609,922; FY 10 est \$72,946,025; FY 11 est not reported. - Information for FYs 2010 and 2011 are not yet available. Contact the program office for more information.

Range and Average of Financial Assistance:

Awards range from approximately \$9 million to \$23 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 76, 77, 80, 81,82, and 85

Regional or Local Office:

None.

Headquarters Office:

Valerie Rogers, School Support and Technology Programs, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Avenue, S.W., LBJ Bldg, Room 3W241, Washington, District of Columbia 20202 Email: Valerie.rogers@ed.gov Phone: (202) 260-2543.

Website Address:

<http://www.ed.gov/about/offices/list/oese/sst/index.html>

RELATED PROGRAMS:

84.402 Consolidated Grants to the Outlying Areas, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.404 IMPACT AID -- SCHOOL CONSTRUCTION FORMULA GRANTS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Elementary and Secondary Education Act of 1965, as amended, Section 8007(a), Title VIII ; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To provide school construction assistance to local educational agencies (LEAs) where the presence of children living on Federal property places a financial burden on the LEAs that educate them (Section 8003, ESEA).

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Awards must be used for construction activities, including the preparation of drawings and specifications for school facilities; erecting, building, acquiring, altering, remodeling, repairing, or extending school facilities; and inspecting and supervising the construction of school facilities.

Applicant Eligibility:

Contact Program Office.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. No applications are required. Awards will be determined based on information from Impact Aid Section 8003 applications submitted for FY 2008.

Award Procedure:

Funds are awarded according to a statutory formula, based on the number of eligible federally connected children in average daily attendance who are dependents of members of the uniformed services and children living on Indian lands.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Department expects to obligate funds to SEAs within approximately one month after passage of ARRA.

Appeals:

An administrative law hearing is available for any LEA or State adversely affected by any action of the Secretary. The hearing must be requested under the time frame included in the program regulations.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title VIII, Section 8007(a).

Matching Requirements: Payments are determined according to a statutory formula, based on the number of eligible federally connected children in average daily attendance living on Indian lands or whose parents are in the uniformed services.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This is a one-time appropriation. Performance periods will vary depending on the type of project funded. See the following for information on how assistance is awarded/released: N/A.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. The LEA will be required to submit financial documentation to verify the appropriate use of funds. In addition, the LEA will be required to provide a narrative on the outcome of the project and its impact on local employment. ARRA-funded programs will report on how funds under this program are spent and the results of those expenditures. Additional requirements may be included in grant award documents. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

In accordance with the General Education Provision Act and program regulations, an LEA generally must retain records supporting its payment amount for 3 years after the last payment.

Account Identification:

91-0103-0-1-501.

Obligations:

(Formula Grants) FY 09 \$40,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 or 2011.

Range and Average of Financial Assistance:

For 2009, the range of awards were \$2,296 - \$5,096,920; the average award was about \$220,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are included in 34 CFR 222.

Regional or Local Office:

None.

Headquarters Office:

Catherine Schagh, Department of Education, OESE, Impact Aid Programs, Rm 3E105, 400 Maryland Ave., S.W., Washington, District of Columbia 20202-6244 Email: impact.aid@ed.gov Phone: (202) 260-3858.

Website Address:

<http://www.ed.gov/about/offices/list/oese/impactaid/index.html>.

RELATED PROGRAMS:

84.041 Impact Aid; 84.401 Impact Aid School Construction, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: N/A. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.405 TEACHER QUALITY PARTNERSHIPS, RECOVERY ACT

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Higher Education Act, as amended, Title II, Part A, 20 U.S.C 1021; American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

The Teacher Quality Partnership Program seeks to improve student achievement, improve the quality of prospective and new teachers working in high need local educational agencies (LEAs) and high need schools, hold teacher preparation programs accountable for preparing highly qualified teachers, and recruit highly qualified individuals from other occupations into the teaching force by creating successful and high quality Pre-Baccalaureate Teacher Preparation programs and Teaching Residency programs. Projects may also include a school leadership component to train superintendents, principals, early childhood educators program directors, or other school leaders in high-need or rural LEA's. Initiatives to support development of digital education content, to improve the quality of pre-baccalaureate teacher preparation programs, and /or to enhance the quality of pre-service training of prospective teachers are also supported.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds must be used for one or both of the following purposes: (1) to strengthen pre-baccalaureate teacher education programs and/or (2) to develop one-year teaching residency programs, which enable participants (who are paid living stipends) to earn master's degrees and teacher certification. In addition, projects may also add a leadership component to train superintendents, principals, early childhood educator program directors, and other school leaders, and/or a component to develop digital education content for the purpose of improving the quality of pre-baccalaureate teacher preparation programs or to enhance the quality of pre-service training for prospective teachers.

Applicant Eligibility:

Contact Program Office.

Beneficiary Eligibility:

Contact Program Office.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. In any fiscal year in which funds are available for new awards, the Department of Education publishes a notice in the Federal Register inviting applications and establishing a deadline date. Each institutions must submit an application through Grant.gov covering the entire 5 years of program implementation.

Award Procedure:

Peer review panels, composed of representatives from public and private institutions of higher education, state and local educational agencies, and other entities with expertise in teacher or early childhood education, are designated to review applications. Applications are reviewed for quality and compliance with statutory and regulatory requirements. Awards will be made in rank order to those institutions scored highest by the review panels.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Notification usually is made within 4 months of the deadline for submission of applications.

Appeals:

Not Applicable.

Renewals:

Applications for renewal for multi-year projects are processed and funded before those new projects. Renewals are subject to the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Each eligible partnership receiving a grant shall provide, from non-federal sources, an amount equal to 100 percent of the amount of the grant, which may be provided in cash or in-kind, to carry out the activities. Under no circumstances may matching contributions be made with federal funds, or for non federal funds used as match in another federal grant program. In addition, unrecovered indirect costs may not be used as match. Grantees whose approved application/budgets identify an amount of match that exceeds the statutory minimum for any project period must meet that higher amount. Grantees can request a waiver of the required match due to special circumstances.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for up to 5 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

Grantees are required to submit an annual program and performance reports on or about May 15th of each fiscal year so the Department may determine whether substantial progress has been made and a continuation award is warranted. A final report is required upon termination of funding. Other reporting is required under the American Recovery and Reinvestment Act. Guidance will be provided on these requirements at a later date, but will include, at a minimum, at the end of each quarter, the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Any additional auditing requirements may be included in grant award documents.

Records:

Records supporting claims under a grant must be maintained for 5 years after termination of the awards.

Account Identification:

91-0196-0-1-502.

Obligations:

(Project Grants) FY 09 \$100,000,000; FY 10 est \$0; FY 11 est \$0 - No additional obligations expected for FY 2010 and 2011.

Range and Average of Financial Assistance:

For FY 2009, the estimated range of awards is \$1,000,000 to \$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: N/A. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Program Office for additional information.

Regional or Local Office:

None.

Headquarters Office:

Peggi Zelinko, Department of Education, Office of Innovation and Improvement, Teacher Quality Partnerships, 400 Maryland Ave. SW, Washington, District of Columbia 20202 Email: Peggi.Zelinko@ed.gov Phone: (202) 260-2614.

Website Address:

<http://www.ed.gov/programs/heatqp/>

RELATED PROGRAMS:

84.336 Teacher Quality Enhancement Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the Program Office for this information.

84.406 CAPACITY BUILDING FOR TRADITIONALLY UNDERSERVED POPULATIONS, RECOVERY ACT.

FEDERAL AGENCY:

Office of Special Education and Rehabilitative Services, Department of Education

AUTHORIZATION:

Rehabilitation Act of 1973, as amended, Section 21(b)(2)(C), 29 U.S.C 718b(b)(2)(C); American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.

OBJECTIVES:

To provide technical assistance to minority entities and American Indian Tribes to promote their participation in activities funded by the Rehabilitation Act, including assistance to enhance their capacity to carry out such activities.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grants are limited to: awards to eligible entities to provide outreach and technical assistance to minority entities and Indian tribes to promote their participation in activities funded under the Rehabilitation Act, including assistance to enhance their capacity to carry out such activities.

Applicant Eligibility:

Check with program office.

Beneficiary Eligibility:

The governing bodies of Indian tribes or consortia of those governing bodies located on or near Federal and State reservations that have a grant from the Department of Education under the American Indian Vocational Rehabilitation Services Program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. Costs will be determined in accordance with OMB Circular A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The standard application forms as furnished by the Department of Education must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Education Department General Administrative Regulations at 34 CFR Parts 74,

75 and 80, if a state agency receives the award, apply to this program. Applications should be submitted to the Department e-Grants Website or to the Department of Education, Application Control Center, 400 Maryland Ave. S.W., Washington, DC 20202-4725, according to instructions specified in the Notice Inviting Applications published in the Federal Register. The standard application kit as furnished by the Department of Education must be used for this program. Contact the program office for more information.

Award Procedure:

New awards are made based upon the results of a peer review process and the review and approval application by staff within the Department.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

In a multi-year project when all funding is provided in the first year, the grantee may draw down funds for the next budget period after the Department has reviewed the annual performance report and determined that the grantee has made substantial progress.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for obligation at the Federal level until September 30, 2010.

New projects are typically funded for 5 years with funding approved on a 12-month basis. See the following for information on how assistance is awarded/released: No information provided.

Reports:

No program reports are required. No cash reports are required. Progress reports are not applicable. Grantees will report on how funds under this program are spent and the results of those expenditures. Because grantees will be receiving ARRA funds, they will be required to report at the end of each quarter on the amount of grant funds obligated and expended; all activities for which those funds were obligated and expended; the completion status of those activities; the estimated number of jobs created or retained by the project and those activities; and detailed information on any contracts awarded with grant funds. Specific reporting requirements will be included in the grant award documents. Contact the program office for more information. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Fiscal records must be maintained for 5 years after the grant ends and the final financial report is submitted or until all audit questions are resolved. Contact the program office for additional guidance.

Account Identification:

91-0302-0-1-506.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$1,400,000; FY 11 est \$0 - No additional obligations expected for FY 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: N/A. Fiscal Year 2010: Some program accomplishments are expected to include the following: (the AIVRS TA Center must, in a culturally appropriate manner) (1) Provide training and technical assistance to AIVRS grantees to improve their understanding of the principles, requirements, and practices that serve as the foundation of the VR process and VR service provision (e.g., the determination of eligibility, the development of individualized plans for employment, and the requirement to provide informed consumer choice); (2) Provide comprehensive training to AIVRS staff on the regulatory requirements and grants management practices that are necessary for the proper administration of AIVRS projects including, but not limited to, requirements found in 34 CFR parts 369 and 371 and the Education Department General Administrative Regulations (EDGAR); (3) Provide comprehensive training on requirements and practices associated with fiscal management found in EDGAR, the cost principles of the Office of Management and Budget (OMB) Circular A-87, and general fiscal management practices; (4) Provide guidance on the need to utilize community resources and build relationships with State VR agencies in order to expand the range of the employment choices available for consumers and of the financial resources projects can leverage in order to provide the services consumers need; (5) Provide training on how the AIVRS projects can improve inter- and intra-tribal communication regarding confidentiality and the development of cooperative agreements with State VR agencies and Federal entities (e.g., the Department of the Interior and the Bureau of Indian Affairs); (6) Provide technical assistance on methods associated with measuring project performance, including the development of goals, performance measures, and efficiency models, and on the reporting of performance data; (7) Identify other technical assistance and training needs of the AIVRS projects; (8) Provide technical assistance to AIVRS project directors that will allow them to develop the skills and capacity necessary to train AIVRS project staff themselves and build an infrastructure that sustains training and technical assistance for these projects; and (9) Collaborate with the regional TACE centers to ensure that the services provided by the AIVRS TA Center and the TACE centers complement, and do not unnecessarily duplicate, each other. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Education Department General Administrative Regulations. (EDGAR) in 34 CFR 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, and 97. For more information contact the program office.

Regional or Local Office:

None.

Headquarters Office:

Tom Finch, Department of Education, OSERS Rehabilitation Services Administration, 400 Maryland Ave., SW, Washington, District of Columbia 20202 Email: tom.finch@ed.gov Phone: (202) 245-7343.

Website Address:

<http://www.ed.gov/about/offices/list/osers/rsa/index.html>.

RELATED PROGRAMS:

84.315 Capacity Building for Traditionally Underserved Populations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: N/A. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria for this competition are from 34 CFR 75.210 of EDGAR and are listed in the application package.

84.407 TRANSITION PROGRAMS FOR STUDENTS WITH INTELLECTUAL DISABILITIES INTO HIGHER EDUCATION**FEDERAL AGENCY:**

Office of Postsecondary Education, Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended., Title VII, Part D, Section 767 and 777(b), 20 U.S.C 1140G.

OBJECTIVES:

To support model demonstration programs that promote the successful

transition of students with intellectual disabilities into higher education.

Funds also will support a coordinating center for institutions of higher education that offer inclusive comprehensive transition and postsecondary programs for students with intellectual disabilities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

An institution of higher education (IHE) shall use grant funds to establish a model comprehensive transition and postsecondary program for students with intellectual disabilities that 1) serves students with intellectual disabilities, 2) provides individual supports and services for the academic and social inclusion of students with intellectual disabilities in academic courses, extracurricular activities, and other aspects of the IHEs regular postsecondary program, 3) provides a focus on academic enrichment, socialization, independent living skills, including self-advocacy, and integrated work experiences and career skills that lead to gainful employment, 4) integrates person-centered planning in the development of the course of study for each student with an intellectual disability participating in the model program, 5) participates with the coordinating center established under section 777(b) of the Higher Education Act, as amended, in the evaluation of the model program, 6) partners with one or more local education agencies to support students with intellectual disabilities participating in the model program who are still eligible for special education and related services under the Individuals with Disabilities Education Act, 7) plans for the sustainability of the model program after the end of the grant period, and 8) creates and offers a meaningful credential for student with intellectual disabilities upon the completion of the model program.

The coordinating center will provide recommendations related to the development of standards, provide technical assistance and evaluations for model demonstration programs. The center will assist in the development, evaluation, funding, outreach, and continuous improvement of model transition programs.

Applicant Eligibility:

Institutions of Higher Education or a consortia of IHEs are eligible for awards under this program.

Beneficiary Eligibility:

Grant funds establish model comprehensive transition and postsecondary programs for students with intellectual disabilities. Funds also support a coordinating center that provides technical assistance, for all comprehensive transition and postsecondary programs for students with intellectual disabilities.

Credentials/Documentation:

Each IHE or consortia of IHEs desiring a grant under this program shall submit an application to the Department at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each IHE or consortia of IHEs must submit an application covering a 5-year period to the Department of Education.

Award Procedure:

Applications are reviewed for quality and compliance with statutory and regulatory requirements, and are scored by peer reviewers based on criteria included in the application package. The Department determines which applications will be funded based on the quality of the applications and the following factors: 1) providing for an equitable geographic distribution of grants, 2) providing grant funds for model comprehensive transition and postsecondary programs for students with intellectual disabilities that will serve

areas that are underserved by programs of this type, and 3) giving preference to applicants that form a partnership with any relevant agency serving students with intellectual disabilities such as a vocational rehabilitation agency, integrate students with intellectual disabilities into housing offered to nondisabled students, or involve students attending the IHE who are studying special education, general education, vocational rehabilitation, assistive technology, or related fields in the model program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The estimated range of time need to approve/disapprove an application is usually 3 months after the deadline for submission.

Appeals:

Not Applicable.

Renewals:

Grants are awarded for a period of 5 years, subject to the availability of appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 25%. Grantees must provide matching funds for model transition programs, in cash or in kind, of at least 25 percent of the cost of the grant.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are made for up to 5 years, subject to the availability of funds. See the following for information on how assistance is awarded/released: Grants funds are awarded by electronic transfer.

Reports:

Grantees must submit an annual performance report at such time, in such manner, and containing or accompanied by such information as the Department may reasonably require. Cash reports are not applicable. Report requirement will be included in grant award documents. Report requirement will be included in grant award documents. Report requirement will be included in grant award documents.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees must maintain appropriate records pertaining to grant funds, compliance and project performance, for 3 years after the completion of activities for which the grant or subgrant funds were used. This documentation should be sufficient to establish fiscal accountability and facilitate an effective audit.

The administration of the discretionary grant program is governed by the statute and EDGAR (34 CFR 74, 75, 77, 79, 82, 84, 86, 97, 98, and 99).

Account Identification:

91-0201-0-1-502.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$11,000,000; FY 11 est \$12,000,000

Range and Average of Financial Assistance:

Not currently available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The administration of the discretionary grant program is governed by the statute

and EDGAR (34 CFR 74, 75, 77, 79, 82, 84, 86, 97, 98, and 99).

Regional or Local Office:

None.

Headquarters Office:

James Davis, Department of Education, Office of Postsecondary Education, Transition Programs for Students with Intellectual Disabilities Into Higher Education, 1990 K Street, N.W., Washington, District of Columbia 20006
Email: james.davis@ed.gov Phone: (202) 502-7802.

Website Address:

No Data Available

RELATED PROGRAMS:

84.333 Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Contact the program office for information on selection criteria.

84.408 POSTSECONDARY EDUCATION SCHOLARSHIPS FOR VETERAN'S DEPENDENTS

Iraq and Afghanistan Service Grant (IASG)

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Higher Education Act of 1965, Executive Order Subpart 10, Title IV, Part A, Subpart 10, Section 420R.

OBJECTIVES:

To provide eligible veteran's dependent undergraduate postsecondary students with non-need based grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. The student must also be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching.

Applicant Eligibility:

The student must be an eligible veterans dependent whose parent or guardian was a member of the Armed Forces of the United States and died as a result of performing military service in Iraq or Afghanistan after September 11, 2001. At the time of the parent or guardians death, the student was less than 24 years of age or enrolled at an institution of higher education. Undergraduate students and students pursuing a teaching certificate enrolled as regular students in an eligible program at an eligible institution of higher education and making satisfactory academic progress. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program offered. Applicants must apply annually using the Free Application for Federal Student Aid (FAFSA). To be eligible, the applicant must meet all IAS Grant eligibility requirements, and must not have a Federal Pell Grant eligible Expected Family Contribution (EFC).

Beneficiary Eligibility:

Eligible veterans dependent undergraduate students and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. The eligible veterans dependent student cannot receive both an Iraq and Afghanistan Service Grant and Federal Pell Grant during the same award year.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Student completes a "Free Application for Federal Student Aid" and submits it to the processing agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The Department of Education calculates the student's financial eligibility for assistance and returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the IASG. The Secretary shall award grants under this section in the same manner, and with the same terms and conditions, including the length of the period of eligibility, as the Secretary awards Federal Pell Grants, except that the award rules and determination of need applicable to Federal Pell Grants shall not apply to grants under IASG. The amount of the IASG award is the same as the maximum Pell Grant for the award year, adjusted for the students enrollment status and cost of attendance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

An institution may adjust on a case-by-case basis a student's FAFSA data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Eligibility is determined annually.

Formula and Matching Requirements:

Statutory Formula: Higher Education Opportunity Act (HEOA), Public Law 110-315; Higher Education Act of 1965, Title IV, Part A, Subpart 10, Section 420R, as amended. The Department shall award grants under this formula section in the same manner, and with the same terms and conditions, including the length of the period of eligibility, as the Department awards Federal Pell Grants, except that the award rules and determination of need applicable to Federal Pell Grants shall not apply to no match grants under IASG. The amount of the IASG award is the same as the maximum Pell Grant for the award year, adjusted for the students enrollment status and cost of attendance.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Students are not limited to one Iraq and Afghanistan Service Grant during any

award year (July 1 through June 30). There is funding for students to receive a second IASG during a single award year. IASG funds are disbursed by each payment period, at least twice during an award year. Students may only receive an IASG until they have received a bachelor's degree, except for those enrolled in an eligible program leading to a professional certification in teaching. Students are limited to a maximum of 18 semesters or equivalent of IASG funding to receive their bachelors degree. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. IAS Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has been completed, whichever is later.

Records:

No Data Available.

Account Identification:

91-0248-0-1-502.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$232,000; FY 11 est \$240,000

Range and Average of Financial Assistance:

For FY 2010, grants will range from \$555-\$5,550; the average grant is estimated at \$3,310 For FY 2011, grants are expected to range from \$555-\$5,550; the average grant is estimated at \$3,310.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Less than 1,000 recipients are expected. Fiscal Year 2011: Less than 1,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing administration of the IAS Grant Program are expected to be similar to the Pell Grant Program found in 34 CFR 600, 668, and 690. Funding Education Beyond High School; Free Application for Federal Student Aid; "The Student Financial Aid Handbook."

Regional or Local Office:

See Regional Agency Offices. Federal Student Aid Information Center. Telephone: (1-800) 433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov> and www.FederalStudentAid.ed.gov

RELATED PROGRAMS:

84.007 Federal Supplemental Educational Opportunity Grants; 84.032 Federal Family Education Loans; 84.033 Federal Work-Study Program; 84.037 Perkins Loan Cancellations; 84.042 TRIO_Student Support Services; 84.044 TRIO_Talent Search; 84.047 TRIO_Upward Bound; 84.063 Federal Pell Grant Program; 84.066 TRIO_Educational Opportunity Centers; 84.069 Leveraging Educational Assistance Partnership

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Grants are awarded to postsecondary education students based on the provisions of the Higher Education Opportunity Act (HEOA), Public Law 110-315;

Higher Education Act of 1965, Title IV, Part A, Subpart 10, Section 420R, as amended.

84.409 CIVIL LEGAL ASSISTANCE ATTORNEY STUDENT LOAN REPAYMENT PROGRAM

Civil Legal Assistance Attorney Loan Repayment Program

FEDERAL AGENCY:

Department of Education

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part B, Section 428L.

OBJECTIVES:

To encourage qualified individuals to enter and continue employment as civil legal assistance attorneys.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

The Civil Legal Assistance Attorney Student Loan Repayment Program provides benefits to borrowers on a first-come, first-served basis. Loan repayment commitments are limited to the amount appropriated for the program for a given fiscal year by Congress and are only available to eligible applicants until those funds are fully committed. A loan repayment commitment to a borrower in one fiscal year does not guarantee a commitment for the remainder of the years covered by the borrowers Service Agreement or in future years. The Department cannot provide any benefits to a borrower for a year for which Congress does not appropriate funds.

Applicant Eligibility:

A borrower employed on a full-time basis as a civil legal assistance attorney who meets all of the criteria for student loan repayment, under the borrowers Service Agreement with the Department, may receive repayment of up to \$6,000 of the outstanding balance of the borrowers eligible student loans for each year of completed service, subject to the availability of funds for the fiscal year, under one or more Service Agreements, up to a total amount of \$40,000 per borrower. The borrower also must not be in default on a loan for repayment, be continually licensed to practice law and execute a service agreement with the Secretary.

Beneficiary Eligibility:

Upon an employers certification of an applicants completion of a given year of required service, the Department will send the repayment amount to the applicants loan holder to be applied to their outstanding balance of eligible student loans, not to exceed the annual maximum of \$6,000. A borrower who voluntarily ceases qualifying employment before completing the full period of service required under the agreement, or who is involuntarily separated from qualifying employment due to misconduct, will be required to repay any repayment benefit received.

Credentials/Documentation:

Applicants will need to provide certification of employment prior to receiving loan repayment benefits. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application/service agreement materials are available on the Departments website. Applicants may submit completed and signed application/agreements to the Department electronically, by fax, or by mail.

Award Procedure:

Applications/service agreements are received by the Department and reviewed for completeness and consistency with statutory eligibility requirements. Loan repayment commitments are limited to the amount appropriated for the program for a given fiscal year by the Congress and are only available to eligible

applicants until those funds are fully committed. Therefore, awards are made to eligible borrowers on a first-come, first-served basis based on the receipt date of the borrowers application/service agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Successful applicants will be notified by September 30 of the year in which they apply.

Appeals:

Not Applicable.

Renewals:

A loan repayment commitment to a borrower in one fiscal year does not guarantee a commitment for the remainder of the years covered by the borrowers service agreement or in future years. Priority for student loan repayment in any fiscal year is given to borrowers who have received forgiveness benefits during the preceding fiscal year and have not yet completed the three years of service under their first service agreement and to new applicants who have practiced law for a period of five years or less and have spent not less than 90 percent of that period working as civil legal assistance attorneys. (As fiscal year 2010 is the first year of this program, these priorities will not apply for 2010 awards.).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications will be accepted and loan repayment benefits will be paid only for years in which Congress appropriates funds for the program. If no funds are appropriated, benefits will not be paid even if a borrower has a valid service agreement. See the following for information on how assistance is awarded/released: Electronically.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Schools must maintain proper administrative and fiscal procedures and all necessary records as set forth in the regulations in 34 CFR 685 and 34 CFR 668. Schools must keep all records involved in any loan, claim, or expenditure questioned by a Federal audit until resolution of any questions. In addition to records required by 34 CFR 668, for each Direct Loan received under 34 CFR 685 by or on behalf of this student, a school shall maintain a copy of the loan application and data electronically submitted to the Secretary.

Account Identification:

91-0201-0-1-502.

Obligations:

(Direct Loans) FY 09 \$0; FY 10 est \$5,000,000; FY 11 est \$2,500,000

Range and Average of Financial Assistance:

A borrower employed as a civil legal assistance attorney who meets all of the criteria under the service agreement may receive repayment of up to \$6,000 for each year of completed service, up to a total amount of \$40,000, contingent on availability of funds.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: N/A. Fiscal Year 2010: Funding for this program was first provided in Fiscal Year 2010; as of now, there is no current data available.

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Higher Education Act of 1965, as amended, Title IV, Part B, Section 428L.

Regional or Local Office:

See Regional Agency Offices. Federal Student Aid Information Center. Telephone: (1-800) 433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Federal Student Aid Information Center, Federal Student Aid, Department of Education, 400 Maryland Ave., S.W., Washington, District of Columbia 20202 Phone: (800) 433-3243.

Website Address:

<http://www.ifap.ed.gov> and/or studentaid.ed.gov

RELATED PROGRAMS:

84.032 Federal Family Education Loans; 84.268 Federal Direct Student Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

84.410 EDUCATION JOBS FUND

ED Jobs Fund

FEDERAL AGENCY:

Office of Elementary and Secondary Education, Department of Education

AUTHORIZATION:

Title I, Public Law 111-126.

OBJECTIVES:

To provide funds to States to assist local educational agencies (LEAs) in saving or creating education jobs for school year 2010-2011.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Each State may reserve up to 2 percent of its allocation for the costs of administering the program. The State must award the remaining portion of its allocation (at least 98 percent) to LEAs. The Governor may not award funds to entities other than LEAs.

A State may not use program funds, directly or indirectly, to establish, restore, or supplement a rainy day fund, or to supplant State funds in a manner that has this effect. Furthermore, a State may not use program funds, directly or indirectly, to reduce or retire debt obligations incurred by the State or to supplant State funds in a manner that has this effect.

An LEA must use its funds only for compensation and benefits and other expenses, such as support services, necessary to retain existing employees, to recall or rehire former employees, and to hire new employees, in order to provide early childhood, elementary, or secondary educational and related services.

The statute prohibits LEAs from using ED Jobs funds for general administrative expenses or for other support services expenditures as those terms were defined by the National Center for Education Statistics in its Common Core of Data as of the date of enactment of the Act. These prohibited expenses would include administrative expenditures related to the operation of the superintendents office or the LEAs board of education, including the salaries and benefits of LEA-level personnel. Prohibited activities would also include the payment of expenditures for fiscal services, LEA planners/researchers, and human resource services. Further, an LEA may not pay for compensation and benefits of individuals who are not employees of an LEA.

Applicant Eligibility:

States are eligible to receive these funds. In the event that Governors do not

apply, other entities, including SEAs, are eligible to receive these funds.

Beneficiary Eligibility:

State and local educational agencies as well as public elementary and secondary schools, students, teachers, and parents.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The Department will review State applications on a rolling basis. By statute, a Governor may submit an application no later than September 9, 2010. The Department encourages Governors to submit approvable applications as soon as possible so that LEAs may use program funds early in the 2010-2011 school year. The Department anticipates awarding a States total Education Jobs Fund allocation within two weeks of the Governors submission of an approvable application.

An approvable application is one that includes:

The Governors assurance that the State will comply with programmatic and fiscal requirements;

The Governors assurance that the State will submit the required maintenance-of-effort (MOE) data to the Department within 60 days of the States grant award; and

The LEA distribution method.

Award Procedure:

The Secretary of Education will make awards to States on a formula basis upon approval of the State application.

Deadlines:

Sep 09, 2010 The Governor of a State must submit an approvable application within 30 days of enactment of the Act. The Department must make awards within 45 days of enactment if the Governor submits an approvable application by the submission deadline. If the Governor fails to submit an approvable application by the submission deadline, the Department may distribute the States allocation to another entity or other entities in the State.

Range of Approval/Disapproval Time:

Approval time will vary by State.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Sixty-one percent of State allocations will be based on relative shares of individuals aged 5 to 24, and thirty-nine percent will be based on relative shares of total population. The Secretary awards up to one-half of one percent of the appropriated funds to the outlying areas and one-half of one percent to the Secretary of the Interior for schools operated or funded by the Bureau of Indian Education.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are to be used to carry out activities during the 2010-2011 school year. See the following for information on how assistance is awarded/released: Electronic transfer.

Reports:

States must comply with the reporting requirements, including subrecipient reporting requirements, of section 1512 of the American Recovery and

Reinvestment Act of 2009. States must also submit annual reports as specified by the Department. Cash reports are not applicable. States must comply with the reporting requirements, including subrecipient reporting requirements, of section 1512 of the American Recovery and Reinvestment Act of 2009. States must also submit annual reports as specified by the Department. States must comply with the reporting requirements, including subrecipient reporting requirements, of section 1512 of the American Recovery and Reinvestment Act of 2009. States must also submit annual reports as specified by the Department. States must comply with the reporting requirements, including subrecipient reporting requirements, of section 1512 of the American Recovery and Reinvestment Act of 2009. States must also submit annual reports as specified by the Department.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All grantees are subject to the provisions of the Single Audit Act, 31 U.S. Code 75.

Records:

The State and its LEAs must maintain records that separately track and account for Education Jobs funds. In accordance with the requirements of Section 443(a) of GEPA(20 U.S.C. 1232f (a)), the State and its LEAs must maintain records that that will facilitate an effective audit and substantiate that the funds were used in compliance with applicable requirements.

Account Identification:

91-0012-0-1-501.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$10,000,000,000; FY 11 est \$0 - No additional funding is expected in FY 2011.

Range and Average of Financial Assistance:

Awards to States will vary in size from approximately \$17.5 million to \$1.2 billion. The average grant award will be approximately \$190.4 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidance for this program is posted at <http://www2.ed.gov/programs/educationjobsfund/applicant.html>.

Regional or Local Office:

None.

Headquarters Office:

James Butler, Office of Elementary and Secondary Education, Department of Education, 400 Maryland Ave. SW, Washington, District of Columbia 20202
Email: James.Butler@ed.gov Phone: (202) 401-9737.

Website Address:

No Data Available

RELATED PROGRAMS:

84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act; 84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

85.101 HOMELAND SECURITY AWARD

FEDERAL AGENCY:

Christopher Columbus Fellowship Foundation

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Title IV, Section 400-429, Public Law 102-281, 106 Stat. 139-145, 20 U.S.C 5701-5708.

OBJECTIVES:

To promote and encourage "cutting edge" innovation in the vast arena of homeland security.

TYPES OF ASSISTANCE:

Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

The assistance can be used to further research or as the recipient chooses.

Applicant Eligibility:

This is a competition NOT a grant application. Individuals/companies compete and their accomplishments are judged on how they are making measurable and constructive contributions related to basic and/or advanced research in the area of homeland security. Nominees must be involved in the field of homeland security. In public/private partnership with AgustaWestland North America, the Christopher Columbus Fellowship Foundation will present four \$25,000 Homeland Security Awards to advance research and honor individuals or companies pursuing new discoveries in the field of homeland security. A \$25,000 Homeland Security Award will be presented in each of the following four fields: 1) Biological, Radiological, Nuclear, Chemical and Explosive Attacks; 2) Border and Transportation Security; 3) Cyber Security and Information Sharing; and 4) Emergency Response to Natural and Man-made Disasters.

Beneficiary Eligibility:

U.S. citizens/companies permitted by their employer or any other relevant authority to accept a monetary award bestowed by the Christopher Columbus Fellowship Foundation, a Federal government agency. The individuals/companies will be honored for making measurable and constructive contributions related to basic and/or advanced research in the area of homeland security.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All Nominations are received online at: www.ccolumbusfoundationawards.org. Only Nominations that meet the eligibility requirements are accepted. Nominations are accepted from all 50 States. The deadline for Nominations is May 7, 2010.

Award Procedure:

The Awards are sponsored by a public-private partnership between the Christopher Columbus Fellowship Foundation and AgustaWestland North America, a global leader in designing, manufacturing and supporting the world's most technologically advanced helicopters. All Nominations are read by the Foundation Board of Trustees to select the Semifinalists. Nominators are notified of the Nominee's selection as a Semifinalist and asked to provide background material to support the Nomination. A national Evaluation Committee reviews and comments on the Semifinalist's background material. The Foundation Board of Trustees and AgustaWestland select the Award winners. The Award will be presented in Washington, D.C. on a date to be determined.

Deadlines:

Feb 25, 2010 to May 07, 2010

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

76-0100-0-7-502.

Obligations:

(Direct Payments with Unrestricted Use) FY 09 \$25,000; FY 10 est \$100,000; FY 11 est \$100,000 - In 2009 there was one \$25,000 award. In 2010, there will be four \$25,000 awards.

Range and Average of Financial Assistance:

\$25,000 per award.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of fiscal year 2009, 16 Homeland Security Awards have been presented. In 2009, the \$25,000 Homeland Security Award was presented to James Thomas, American Association for the Advancement of Science Fellow and Laboratory Fellow at Pacific Northwest National Laboratory (PNNL), Richland, WA. Mr. Thomas was recognized for his leadership in establishing the growing science of visual analytics and associated technology to aid in detecting, predicting, preventing and responding to acts of terrorism and other catastrophic threats. Mr. Thomas is founder and past Director of the Department of Homeland Security National Visualization and Analytics Center. His responsibilities at PNNL include establishing investment directions for Information and Computing Technology (ICT), representing ICT in and outside PNNL, leading major technology initiatives, mentoring staff, and being a Principal Investigator on several major science programs. Fiscal Year 2010: As of 2010, 20 Homeland Security Awards have been presented. In 2010, the eighth annual Homeland Security Awards, sponsored by the public-private partnership of the Christopher Columbus Fellowship Foundation and AgustaWestland North America, will be presented on October 5 in Washington, D.C.

The \$25,000 Homeland Security Award in the Biological, Radiological, Nuclear, Chemical and Explosive Attacks field is presented to Charles A. Gentile, Head, Tritium Systems, Princeton University - Plasma Physics Laboratory, Princeton, NJ. He is recognized for the development of the Miniature Integrated Nuclear Detection Systems (MINDS), which can identify radioactive materials used in such things as dirty bombs.

The \$25,000 Homeland Security Award in the Border and Transportation Security field is presented to Milind Tambe, Ph.D., Professor of Computer Science and Industrial & Systems Engineering, USC Viterbi School of Engineering, Los Angeles, CA. He is recognized for his research in game theoretic algorithms for use in counter-terrorism and homeland security. An example of his work is the Assistant for Randomized Monitoring Over Routes (ARMOR) system deployed at Los Angeles International Airport.

The \$25,000 Homeland Security Award in the Cyber Security and Information Sharing field is presented to the FBI Criminal Justice Information Services, Clarksburg, WV, for the development and deployment of the National Data Exchange System (N-DEX), a nationwide information sharing system to fight crime and terrorism.

The \$25,000 Homeland Security Award in the Emergency Response to Natural and Man-Made Disasters field is presented to Budhendra Bhaduri, Ph.D., Group Leader, Geographic Information Science & Technology, Oak Ridge National Laboratory, Oak Ridge, TN. Dr. Bhaduri is recognized for the development of the LandScan USA database, which can estimate the population at risk during emergency preparedness and saving lives during emergency response. Fiscal Year 2011: There will be four \$25,000 in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judith Shellenberger, 110 Genesee Street, Suite 390, Auburn, New York 13021
Email: JUDITHMSCOLUMBUS@CS.COM Phone: (315) 258-0090 Fax: (315) 258-0093.

Website Address:

<http://www.columbusfdn.org> or <http://www.ccolumbusfoundationawards.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.102 CHRISTOPHER COLUMBUS AWARDS

FEDERAL AGENCY:

Christopher Columbus Fellowship Foundation

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Title IV, Section 400-429, Public Law 102-281, 106 Stat. 139-145, 20 U.S.C 5701-5708.

OBJECTIVES:

The Christopher Columbus Awards is a national, community-based science and technology program for middle school students. The program challenges the students to work in teams of three to four with an adult coach, to identify an issue in their community and apply the scientific method to create an innovative solution to that problem.

TYPES OF ASSISTANCE:

Project Grants (Special)

USES AND USE RESTRICTIONS:

All members of two Gold Medal winning teams are presented with U.S. Savings Bonds.

The grant must be used to further develop the team's solution to the community issue they addressed in the competition. The grant team will work with a community partner on the project throughout the next year.

Applicant Eligibility:

Applicants are teams of three to four students in sixth through eighth grade with a coach, who identify a problem in their community and solve it using the scientific method.

Beneficiary Eligibility:

U.S. citizens, middle school students.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This

program is excluded from coverage under OMB Circular No. A-110. Application materials may be requested by individuals who meet the eligibility requirements stated above. Entry forms and all competition information are available for download at: www.christophercolumbusawards.com or may be requested by calling (800) 291-6020 ext. 3154. Completed entries must be received by February 9, 2009. The program is sponsored by the Christopher Columbus Fellowship Foundation and managed by MMS Education, 105 Terry Drive, Newtown, PA 18940, Attn: Stephanie Hallman. Entries are accepted from teams of three to four students in grades six to eight from all 50 states, territories and possessions only. All team entries must include: a Christopher Columbus Awards Entry form (signed by team members and the coach), a team-written section and the visual component. To enter, mail the original and two copies of the team's community solution to: Christopher Columbus Awards, 105 Terry Drive, Suite 120, Newtown, PA 18940.

Award Procedure:

The Christopher Columbus Awards have three judging phases. The teams' entries are reviewed by a regional panel of judges who select 30 semifinalist teams. Another judging panel selects eight finalist teams and their coaches. The finalist teams receive an all-expense-paid trip to Walt Disney World to attend National Championship Week and compete for U.S. Savings Bonds and the \$25,000 Columbus Foundation Community Grant. The finals and the Christopher Columbus Academy are held at Walt Disney Resorts, Orlando, FL, from June 14-18, 2009.

Deadlines:

Feb 09, 2009 The deadline is in early February each year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

12-18 months. See the following for information on how assistance is awarded/released: U.S. Savings Bonds are presented following the awards ceremony. The grant is paid in installments upon the approval and under the direction of the Program Manager.

Reports:

Program reports are not applicable. No cash reports are required. Interim progress reports regarding the grant project. Final report on project. Receipts of all expenses and travel incurred regarding the grant project. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

76-0100-0-7-502.

Obligations:

(Project Grants (Special)) FY 09 \$243,759; FY 10 est \$265,000; FY 11 est \$275,000

Range and Average of Financial Assistance:

\$2,000 U.S. Savings bonds
\$25,000 Grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, the \$25,000 Stephen J. Moses Community Grant, went to the Ergo-PAD (Ergonomic Posture Alignment Device) team from Carmel Valley Middle School, San Diego, CA, which highlighted the problems of poor body posture when using computers. The team developed the

Ergo-PAD, a specialized seat cushion that uses sensory feedback to train people to develop healthy posture while sitting at a computer. The team from St. Philip the Apostle School, Addison, IL, won gold medals and \$2,000 U.S. Savings Bonds for each team member for their Recycle Because You Care project to improve local participation in recycling using a community awareness outreach regarding the importance of recycling and supplying free recycling bins to every household. The team from All Saints Catholic School, Manahawkin, NJ, won gold medals and \$2,000 U.S. Savings Bonds for each team member for their Call of the Wild entry highlighting the plight of natural habitats being lost to suburban sprawl through a four-pronged plan employing action, outreach, education and political initiatives to preserve the many endangered species identified in the community. Fiscal Year 2010: In 2010, the \$25,000 Columbus Foundation Community Grant went to the Arthritis Magic team from Madison Middle School in Madison, MS. Highlighting the problems people with arthritis have gripping objects, making opening doors a difficult task, the team developed Arthritis Magic: a pull system designed to turn a doorknob and open a door when pulled. The team from Learning Without Limits Science Club, West Branch, IA, won gold medals and \$2,000 U.S. Savings Bonds for each team member for their Lights Out community awareness program. This outreach project highlights the benefits of retrofitting inefficient T12 lighting systems used in local business with energy efficient T8 or T5 lighting systems. The team from All Saints Catholic School from Manahawkin, NJ, won gold medals and \$2,000 U.S. Savings Bonds for each team member for their Kreepy Krawlers community outreach program. Highlighting the problems and damage caused by an increase in the local gypsy moth population, this outreach program educates the community about various ways to control the gypsy moth population. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judith Shellenberger, 110 Genesee Street, Suite 390, Auburn, New York 13021
Email: JUDITHMSCOLUMBUS@CS.COM Phone: (315) 258-0090 Fax: (315) 258-0093.

Website Address:

<http://www.christophercolumbusawards.com> or <http://www.columbusfdn.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.104 LIFE SCIENCES AWARDS

FEDERAL AGENCY:

Christopher Columbus Fellowship Foundation

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Title IV, Section 400-429, Public Law 102-281, 106 Stat. 139-145, 20 U.S.C 5701-5708.

OBJECTIVES:

The Life Sciences Awards are designed to encourage and promote cutting edge innovation in the field of life sciences. Monetary Awards will be presented to an adult scientist; three secondary school educators; and three secondary students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions for Direct Payments for award to scientists, secondary school educators or current secondary students. Restrictions apply only to the additional \$25,000 in research funds that will be presented to the recipient of the Chairmen's Distinguished Life Sciences Award which may be used to hire a

graduate student to assist with the research or the funds may be used toward the research itself. Funds for the research project will be released in increments, not to exceed two years, based on the progress of the research, with a final report on the results due upon completion.

Applicant Eligibility:

This is a competition NOT a grant application. Individuals compete and their accomplishments are judged on how they exemplify excellence in life sciences. Nominees must be involved in the field of life sciences research or life sciences education as follows: one adult scientist; three current secondary school educators; three current secondary school students in Biology, Chemistry or other life sciences courses.

Beneficiary Eligibility:

In public/private partnership with the U.S. Chamber of Commerce, the Foundation will present seven Life Sciences Awards to U.S. citizens permitted by their employer or any other relevant authority to accept a monetary award bestowed by the Christopher Columbus Fellowship Foundation, a Federal government agency. Seven monetary awards will be presented as follows: one \$25,000 award to an adult scientist with an additional \$25,000 for research; three \$10,000 awards to current secondary school educators; and three \$5,000 awards to current secondary school students in biology, chemistry or other life sciences course who are judged to exemplify excellence in life sciences.

Chairmen's Distinguished Life Sciences Award

The \$25,000 Chairmen's Distinguished Life Sciences Award will be presented to an adult scientist who is making or has recently made a significant and positive contribution related to developing a "cutting edge" innovation in the field of life sciences. In addition, \$25,000 in research funds will be presented to be used to hire a graduate student to assist with the research or the funds may be used toward the research itself. In order to receive the research funds, a comprehensive outline on the research project must be submitted and approved by the Foundation.

Life Sciences Educator Awards

Three \$10,000 Life Sciences Educator Awards will be presented to current secondary school educators, with at least five years of teaching experience, and who are making or have recently made significant and positive contribution related to promoting the study of life sciences to students.

Life Sciences Student Awards

Three \$5,000 Life Sciences Student Awards will be presented to current secondary school students who are making or have recently made significant and positive contributions related to the study of Biology, Chemistry and other life science courses.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Only Nominations that meet the eligibility requirements are accepted. Nominations will be accepted from all 50 States. All Nominations are received online at www.columbusfoundationawards.org. The deadline is Tuesday, April 13, 2010.

As a part of the Nomination for the Chairmen's Distinguished Life Sciences Award, a comprehensive outline of the specific research project must accompany the initial Nomination, and will be judged as part of the overall Nomination packet submitted.

Award Procedure:

All Nominations are read by the Board of Trustees of the Christopher Columbus Fellowship Foundation to select the Semifinalists. Nominators are notified of the Nominees selection as a Semifinalist and asked to provide

background material to support the Nomination. A national Evaluation Committee reviews and comments on the Semifinalists background material. The Foundation Board and the U.S. Chamber ultimately select the winners. The Awards were presented in Washington, D.C., on July 13, 2010.

Deadlines:

Feb 25, 2010 to Apr 13, 2010

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable for the Direct Payments with Unrestricted Use for lump sums. See the following for information on how assistance is awarded/released: Lump sums for award winners are disbursed immediately. The Foundation must approve the Research project. Research funds may be disbursed in increments for up to a two-year period, with a final report on the results due upon completion.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required for the research project, with a final report on the results due upon completion. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

76-0100-0-7-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$0; FY 10 est \$25,000; FY 11 est \$25,000 - An additional \$25,000 in research funds will be presented to the recipient of the Chairmen's Distinguished Life Sciences Award to be used to hire a graduate student to assist with the research or the funds may be used toward the research itself. In order to receive the research funds, a comprehensive outline on the research project must be submitted and approved by the Foundation. (Direct Payments with Unrestricted Use) FY 09 \$45,000; FY 10 est \$70,000; FY 11 est \$70,000 - In 2008 and 2009, Awards were presented as follows: one \$25,000 Award per year to a scientist/researcher; one \$10,000 Award per year for a high school AP Biology or AP Chemistry teacher; one \$5,000 Award per year for an AP Biology student and one \$5,000 Award per year for an AP Chemistry student.

In 2010, the number of awards was increased to seven as follows: one \$25,000 award to an adult scientist or researcher with an additional \$25,000 for research funds; three \$10,000 awards to a current secondary school educators; and three \$5,000 awards to current secondary school students who are judged to exemplify excellence in the field of life sciences. Two secondary school awards were presented in 2010.

Range and Average of Financial Assistance:

\$5,000-\$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, the second annual Life Sciences Awards, sponsored by the public-private partnership of the Christopher Columbus Fellowship Foundation, a Federal government agency, and the U.S. Chamber of Commerce were presented. Bryon Petersen, Ph.D., at the University of Florida in Gainesville, FL, was awarded the 2009 \$25,000 Chairmens Distinguished Life

Sciences Award. Dr. Petersen is recognized worldwide as a foremost authority in hepatic stem cells and their role in liver pathobiology. He is currently conducting research in stem cell biology and how it relates to the patho-physiology of the liver. This research shows that bone marrow derived cells could become functioning hepatocytes, and several clinical trials have been attempted based upon his discovery. Additionally, Dr. Petersen is investigating the usefulness of gene/stem cell therapy in the treatment of certain inherited metabolic diseases of the liver Crigler-Najjar Syndrome (C-NS) and Glycogen Storage Disease (GSD). Children with C-NS are unable to eliminate bilirubin from their bodies and, therefore, must undergo daily 12-hour exposure to special blue lights, just to survive. Without daily treatments, a child would suffer brain damage, muscle and nerve damage and death due to bilirubin toxicity. Children with GSD suffer in a different way, having to eat/drink a corn-starch meal every four hours to maintain their blood glucose levels. If they don't, they become hypoglycemic and will fall into a coma and die. His studies combine two high-profile fields stem cells and gene therapy that will hopefully cure these children of their disease, not just treat them.

Beenu Gupta, Biology teacher at The Charter School of Wilmington, Wilmington, DE, was awarded the 2009 \$10,000 Life Sciences Educator Award. Beenu's classroom is dubbed the Disneyland of Biology. Students have been known to sing and dance as they dive into Molecular Biology. Mrs. Gupta said: I have always been passionate about learning and teaching, so I decided to become a high school teacher, where I could provide a solid foundation for college-bound students. My goal has always been to make learning fun, and a life-long experience. The Charter School of Wilmington is a college preparatory high school with a focus on mathematics and science and was ranked 41st in U.S. News and World Reports America's Best High Schools 2008.

Henry Zheng, a senior at Centennial High School in Ellicott City, MD, was awarded the 2009 \$5,000 Life Sciences Biology Student Award. Henry has been conducting research at The Johns Hopkins University Applied Physics Laboratory since his sophomore year of high school. Under the supervision of Dr. Jeffery Lesko, Biomedical Engineering Group, Henry has worked on his computational neuroscience project that improves the performance of an arm prosthesis. He has received many awards and recognition for this research including the international 2008 FUSION conference in Kn, Germany and in 2009 from coast to coast Seattle, Washington to Washington, D.C.

Justin Grzyb, a senior at Westchester Country Day School, High Point, NC, was awarded the 2009 \$5,000 Life Sciences Chemistry Student Award. Since his freshman year in high school, Justin has spent his summers at The Johns Hopkins University. Working for two years under the supervision of Professor Tim Weihs, Department of Materials Science and Engineering, Justin researched and learned about technology surrounding NanoFoil, a reactive thin film composed of alternating, nano-scaled layers of Nickel and Aluminum. He then successfully completed his own project to find a way to create uniform, curved particles of NanoFoil, and then measure the reaction velocity of these particles inside a vacuum. His work was acknowledged in two different research papers, and he is the co-inventor on a provisional patent for creating microscopic particles of NanoFoil. Fiscal Year 2010: Number of Awards will increase from four to seven Awards, plus an additional \$25,000 for research for the Chairmen's Distinguished Life Sciences Award.

In 2010, the third annual Life Sciences Awards, sponsored by the public-private partnership of the Christopher Columbus Fellowship Foundation, a Federal government agency, and the U.S. Chamber of Commerce were presented on July 13, 2010 in Washington, D.C. James F. Leary, Ph.D., SVM Professor of Basic Medical Sciences and Biomedical Engineering, Birck Nanotechnology Center, Purdue University, Lafayette, IN, was awarded the 2010 \$25,000 Chairmen's Distinguished Life Sciences Award. Dr. Leary's research involves designing "next-generation", advanced nanodelivery systems for drugs and genes to combat cancer and other diseases. He has invented a variety of new nanomedical devices with targeting molecules that deliver therapeutic drugs precisely to diseased cells to perform single cell "nanosurgery", which eliminates the diseased cells while trying to preserve nearby normal cells, allowing for much smaller drug doses and fewer side effects. Dr. Leary will also receive up to \$25,000 in research funds.

Derrick C. Wood, Chemistry Educator, Conestoga High School, Berwyn, PA, was awarded the 2010 \$10,000 Life Sciences Educator Award. Teaching at Conestoga High School since 2004, Derrick instills the same passion for Chemistry that he experiences - by showing his students that Chemistry is not an exercise in futility, but is extremely relevant to their lives. He authored Case-Studies for his High School Chemistry curriculum and uses them as an alternative and authentic way of integrating the lab component into Chemistry, giving his students the opportunity to experience science in the same way it is done outside the classroom. Derrick has given presentations at NSTA and ACS National Conventions where he shared his curriculum with teachers across the country. In Derrick's opinion though, his greatest accomplishments are the students that have graduated from Conestoga with a passion for science and have pursued college majors and careers as a result of the same love for science that I embrace.

Michelle Bagley, Biology Educator, Centennial High School, Ellicott City, MD, was awarded the 2010 \$10,000 Life Sciences Educator Award. Michelle has been an educator for 30 years teaching biology and research, a passion she developed doing science fair projects during her own school years. Michelle has written curriculum for the county and the National Association of Biology Teachers and has made numerous presentations for conferences and organizations on a variety of topics. She has been at Centennial High School since 1991 and currently works with students in the Intern/Mentor Program as part of the Gifted and Talented Program. Among her students, she boasts winners in the Siemens Competition, Christopher Columbus Life Science Student Award, Intel Science Talent Search, Intel International Science and Engineering Fair, and many others. She has been honored as a Presidential Scholar Teacher, a Coca-Cola Educator of Distinction, and Intel Teacher of the Year.

Ryan Templeton, Biology Educator, Mathematics and Science High School at Clover Hill, Midlothian, VA, was awarded the 2010 \$10,000 Life Sciences Educator Award. Ryan teaches freshman biology and AP Biology at the Mathematics and Science High School at Clover Hill, where he also serves as Research Coordinator. Ryan is also Director of the Virginia Summer Governor's School for Life Sciences and Medicine at Virginia Commonwealth University. Emphasizing and encouraging student research in his classes, his students conduct independent research projects and present their findings at national and international competitions. His efforts have been recognized by the Virginia Academy of Science with an E.C.L. Miller Teaching Award, and a Virginia Presidential Award for Excellence in Mathematics and Science Teaching, as well as commendations from the Virginia Department of Education and Virginia Mathematics and Science Coalition.

Jason Gandelman, a senior at Staples High School, Westport, CT, was awarded the 2010 \$5,000 Life Sciences Student Award. Jason's high school research investigated toxic compounds called Advanced Glycation End-products (AGEs), which are known to contribute to the long-term health problems associated with diabetes, a disease his family has a long history with. Jason's study showed that yeast has evolved mechanisms to minimize the formation of toxic AGE compounds. Attempting to identify a protein that will block the human body's receptor sites from binding with AGEs, Jason believes his study will lead to new medications to prevent or cure blood vessel and kidney damage associated with diabetes. Jason aspires to continue conducting research in biological chemistry at Harvard University.

Anirudh Mohan, a senior at Thomas Jefferson High School for Science and Technology, Alexandria, VA, was awarded the 2010 \$5,000 Life Sciences Student Award. Anirudh's primary passion lies in the field of biomedical engineering, with an interest in pursuing both technical and business perspectives. During his last two years of high school, he conducted nanobiotechnology research at George Mason University. His research involved the nanoengineering of polymers to synthesize novel, smart diagnostic devices which can be utilized in lieu of conventional techniques, such as differential diagnosis. He published his results in American Chemical Society journals, earned semifinalist status in the Siemens Competition, and received multiple science fair honors at the Virginia state level. In the Fall, he will begin to pursue his studies as an Angier B. Scholar at Duke University. Fiscal Year 2011: Seven Awards, plus an additional \$25,000 for research for

the Chairmen's Distinguished Life Sciences Award.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judith Shellenberger, 110 Genesee Street, Suite 390, Auburn, New York 13021
Email: JUDITHMSCOLUMBUS@CS.COM Phone: (315) 258-0090 Fax: (315) 258-0093.

Website Address:

<http://www.ccolumbusfoundationawards.org> or <http://www.columbusfdn.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.105 AGRISCIENCE AWARDS

Agriscience Awards

FEDERAL AGENCY:

Christopher Columbus Fellowship Foundation

AUTHORIZATION:

Christopher Columbus Quincentenary Coins and Fellowship Foundation, Title IV, Section 400-429, Public Law 102-281, 106 Stat. 139-145, 20 U.S.C 5701-5708.

OBJECTIVES:

To encourage and promote "cutting edge" innovation in the field of Agriscience.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Direct Payments with Unrestricted Use

USES AND USE RESTRICTIONS:

No restrictions for Direct Payments to scientists/researchers, current secondary school educators or current secondary school students. Restrictions apply to Research projects only, as described below. Research project funds only: Additional funds for the scientist/researcher project will be released in increments based on the progress of the research, not to exceed two years, with a final report on the results due upon completion.

Applicant Eligibility:

Nominees must be involved in the field of Agriscience research or Agriscience education in one of the following areas: adult scientists or researchers; current secondary school educators; or current secondary school students.

Beneficiary Eligibility:

In public/private partnership with the American Farm Bureau Federation, the Foundation will present eight Agriscience Awards to U.S. citizens permitted by their employer or any other relevant authority to accept a monetary award bestowed by the Christopher Columbus Fellowship Foundation, a Federal government agency. Eight monetary awards and research funds will be presented as follows: Two \$25,000 Distinguished Agriscience Scientist Awards will be presented to adult scientists who are making or have recently made significant and positive contributions in the field of agriscience. In addition, \$25,000 will be presented to each scientist recipient to be used to hire a graduate student/researcher to assist with a specific research project or to be used toward the project itself. The awards will provide incentive for continuing the research. Three \$10,000 Agriscience Educator Awards will be presented to three current secondary school educators, with at least 5 years of teaching experience, and who emphasize concepts, principles and applications in their curriculum to promote the study of agriscience to students. Three \$5,000 Agriscience Student Awards will be presented to current secondary school students who are making or have recently made significant and positive contributions related to the study of agriscience.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Only Nominations that meet the eligibility requirements stated above are accepted. Nominations will be accepted from all 50 States. All Nominations are received online at www.agriscienceawards.com. The deadline is Tuesday, April 6, 2010. As a part of the Nomination for the Distinguished Agriscience Scientist Awards, a comprehensive outline of the specific research project must accompany the initial Nomination, and will be judged as part of the overall Nomination packet submitted.

Award Procedure:

The Awards are sponsored by a public-private partnership between the Foundation and the American Farm Bureau Federation. All Nominations are read by the Board of Trustees of the Christopher Columbus Fellowship Foundation to select the Semifinalists. Nominators are notified of any the Nominees selection as a Semifinalist and asked to provide background material to support the Nomination. A national Evaluation Committee reviews, ranks and comments on each Semifinalist. The Board of Trustees ultimately selects the winners of each award. The Award were presented in Washington, D.C., on July 12, 2010.

Deadlines:

Feb 12, 2010 to Apr 06, 2010

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Direct lump sum payments to researchers, educators and students. Research projects - see below. See the following for information on how assistance is awarded/released: Research projects only - funds will be distributed for up to 2 years based on the progress of the project.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required for the research projects only for up to 2 years of the project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

No Data Available.

Account Identification:

76-0100-0-7-502; 76-8187-0-7-502.

Obligations:

(Direct Payments for Specified Use) FY 09 \$0; FY 10 est \$50,000; FY 11 est \$50,000 - Two research awards totalling \$50,000 for FY 2010 and FY 2011. (Direct Payments with Unrestricted Use) FY 09 \$0; FY 10 est \$95,000; FY 11 est \$95,000 - Eight direct payments of unrestricted use awards totalling \$95,000 in lump sum payments in FY 2010 and FY 2011.

Range and Average of Financial Assistance:

\$5,000 - \$25,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not Applicable. Fiscal Year 2010: This is the inaugural year of the Awards. The Awards are intended to recognize, through eight monetary awards and research funds, two adult scientists and provide research funds; three current secondary school educators; and three current secondary school students who are judged to exemplify excellence in the field of Agriscience and to highlight the importance of agriculture in the 21st century.

Patricia Kennedy, Ph.D., Professor in the Department of Fisheries and Wildlife at Oregon State University, Eastern Oregon Agricultural Research Center, Union, OR, was awarded the \$25,000 Distinguished Agriscience Scientist Award. Dr. Kennedy has a unique faculty position; she is one of the few wildlife biology faculty at a land grant institution who is stationed at an Agricultural Experiment Station. She represents the future of these stations where research into agricultural sustainability requires both a commodity and ecological perspective. Dr. Kennedy is renowned for her practical and proactive approach to endangered species management, with research aimed at reducing the need to list wildlife as threatened or endangered. Currently, Dr. Kennedy directs a variety of collaborative investigations on management alternatives that promote sustainable livestock and crop production in the inter-mountain west. Dr. Kennedy will also receive up to \$25,000 in research funds.

Thomas J. Jackson, Ph.D., Research Hydrologist with the U.S. Department of Agriculture, Agricultural Research Service, Hydrology and Remote Sensing Lab, Beltsville, MD, was awarded the 2010 \$25,000 Distinguished Agriscience Scientist Award. Dr. Jackson's research involves the application and development of remote sensing technology in hydrology and agriculture, primarily microwave measurement of soil moisture, resulting in over 300 scientific publications. The focus of his current research is the development of the Soil Moisture Active Passive (SMAP) satellite, scheduled for 2014. He is the SMAP science team lead for calibration and validation. Dr. Jackson will also receive up to \$25,000 in research funds.

Crystal Retzlaff, Agriculture Educator and FFA Advisor, Oconto Falls High School, Oconto Falls, WI, was awarded the 2010 \$10,000 Agriscience Educator Award. Crystal has been teaching at Oconto Falls High School for seven years. She teaches Genetics, Biotechnology, Pet/Companion Animals, Exploring Agriculture, Veterinary Science, Food Science, Introduction to Career Foods, Food Processing, Wildlife/Forestry, Animal Science, Landscape/Floriculture, and Anatomy/Physiology. She also advises the local FFA consisting of over 125 members.

Lisa Konkel, Agriscience Educator and FFA Advisor, Big Foot Union High School, Walworth, WI, was awarded the \$10,000 Agriscience Educator Award. Lisa is a veteran teacher with 17 years of teaching experience. When she started at Big Foot, it was a half-time program which quickly became a full-time program after her first year and is now a two-person Agriscience Department. Curriculum transformation has occurred in the past 15 years from a primarily production agriculture focus to the balance of production and agribusiness concepts with a strong emphasis on science incorporation.

Byron L. Ernest, Department Head for Agriculture, Lebanon Community School Corporation, Lebanon, IN, was awarded the \$10,000 Agriscience Educator Award. Mr. Ernest was recruited to start Lebanon's Agriculture Department which now, in its 7th year, has four teachers and an enrollment of 584 students in grades 8-12, the largest in Indiana. Byron teaches Advanced Life Science courses in animals, plant and soil, and food science. These are dual credit courses with Purdue University College of Agriculture. In cooperation with AgReliant Genetics, he has developed project/inquiry-based agriscience lessons where students are doing actual research, and publish their findings through the use of pod-casts and wiki sites. This transparency allows actual scientists from around the world to critique the students' work. Byron was named the 2010 Indiana Teacher of the Year, the first time an Agriculture Instructor has ever been selected for this honor.

Rosemary Chapple, Senior, Waterloo High School, Waterloo, IL, was awarded the 2010 \$5,000 Agriscience Student Award. Raised on a small Angus cattle farm in Southern Illinois, Rosemary has been a 7-year, 4-H member, showing

cattle and chickens. Her three chick experiments led her to 24 different competitions including two international science fairs and three national FFA agriscience fairs. Rosemary recently won the Illinois State SAE award in Emerging Technologies featuring her experiments. A member of the Waterloo FFA, she served on the State officer team as president of 14 FFA chapters. In the Fall, Rosemary will attend the University of Illinois majoring in Aerospace Engineering.

Stephanie Hoskins, Senior, Lincoln Park Academy High School, Fort Pierce, FL, was awarded the 2010 \$5,000 Agriscience Student Award. From a young age, Stephanie was intrigued by the enigmas of natural science. In 7th grade, she began researching the use of bacteria isolates as biological control agents against plant pathogenic fungi and has developed her research over the past six years. At the conclusion of her research, she has confirmed *Paenibacillus lentimorbus* and *Burkholderia pyrrocinia* as biological control agents against 13 plant pathogenic fungi and is the first person to identify the genes responsible for the observed antimicrobial activity. Stephanie will attend Vanderbilt University in the Fall and plans to become a microbiologist.

Jake Carlson, Junior, Elk Grove High School, Elk Grove, CA, was awarded the 2010 \$5,000 Agriscience Student Award. Jake has been showing livestock since he was eight, and he currently owns 35 head of Toggenburg and Saanen dairy goats. Jake has successfully exhibited his goats in California and across the western United States. Jake also completed his Eagle Scout requirements this year by building and installing 10 wood duck nesting boxes in Deer Creek Wildlife Preserve. He will begin serving as President of the Elk Grove FFA Chapter, as well as Sacramento Section Vice President, and plans to run for a California State FFA office. After high school, Jake plans to attend Fresno State University and major in biological sciences, with a future goal of becoming a large animal veterinarian. Fiscal Year 2011: The Awards are intended to recognize, through eight monetary awards and research funds, two adult scientists and provide research funds; three current secondary school educators; and three current secondary school students who are judged to exemplify excellence in the field of agriscience and to highlight the importance of agriculture in the 21st century.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Judith Shellenberger, 110 Genesee Street, Suite 390, Auburn, New York 13021
Email: JUDITHMSCOLUMBUS@CS.COM Phone: (315) 258-0090 Fax: (315) 258-0093.

Website Address:

<http://www.agriscienceawards.com> or <http://columbusfdn.org>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

MILLENNIUM CHALLENGE CORPORATION

85.002 MCC FOREIGN ASSISTANCE FOR OVERSEAS PROGRAMS

FEDERAL AGENCY:

Millennium Challenge Corporation

AUTHORIZATION:

Millennium Challenge Act of 2003, 22 U.S.C. 7701 et seq.

OBJECTIVES:

To provide United States assistance for global development through the Millennium Challenge Corporation; to provide such assistance in a manner that promotes economic growth and the elimination of extreme poverty and strengthens good governance, economic freedom, and investments in people.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental organizations and educational institutions, to carry out activities in some of the world's poorest countries, but only those committed to good governance; economic freedom, and investments in their citizens. MCC provides these well-performing countries with large-scale grants to fund country-led solutions for reducing poverty through sustainable economic growth. MCC grants complement other U.S. and international development programs.

Applicant Eligibility:

Compacts are large, 5-year grants for countries that pass MCC's eligibility criteria. Threshold programs are smaller grants awarded to countries that come close to passing these criteria and are firmly committed to improving their policy performance.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals who are eligible for MCC Compact or Threshold programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Competitive.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. Cost-sharing requirements are indicated in each grant announcement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

5 years. See the following for information on how assistance is awarded/released: contact agency for information.

Reports:

Not Applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Government shall have access to and the right to examine records of any party to an Agreement or any entity that participates in the performance of an Agreement that directly pertain to and involve transactions relating to, the Agreement for a period of three (3) years after final payment is made. This requirement shall not apply to sub grantee/recipients and this paragraph only applies to any record that is created or maintained in the ordinary course of business or pursuant to any provision of law. The records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3-year period, whichever is later. The terms of this paragraph shall be included in all sub-agreements to the Agreement

Records:

see audits.

Account Identification:

95-2750-0-1-151.

Obligations:

(Project Grants) FY 09 \$7,400,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: : MCC has approved over \$7.4 billion in compact and threshold programs worldwide that support country-determined projects in such sectors as agriculture and irrigation transportation (roads, bridges, ports); water supply and sanitation; access to health; finance and enterprise development; anti-corruption initiatives; land rights and access and access to education. The aggressive implementation of compacts and threshold programs is promoting growth opportunities, opening markets, raising the standard of living, and creating a more prosperous future for some of the world's poorest people. MCC is a prime example of smart U.S. Government assistance in action, benefiting both developing countries and U.S. taxpayers through competitive selection; country-led solutions; and country-led implementation. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

James R. Blades, Millennium Challenge Corporation, Contracts and Grants Management Division, 875 15th Street, NW, Washington, District of Columbia 20005 Email: bladesjr@mcc.gov Phone: (202) 521-3600.

Website Address:

<http://www.mcc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

85.003 MCC DOMESTIC ASSISTANCE FOR OVERSEAS PROGRAMS**FEDERAL AGENCY:**

Millennium Challenge Corporation

AUTHORIZATION:

Millennium Challenge Act of 2003, 22 U.S.C. 7701 et seq.

OBJECTIVES:

To provide United States assistance for global development through the Millennium Challenge Corporation; to provide such assistance in a manner that promotes economic growth and the elimination of extreme poverty and strengthens good governance, economic freedom, and investments in people.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

Funds are authorized through grants and cooperative agreements, primarily with non-governmental organizations and educational institutions, to carry out activities in some of the world's poorest countries, but only those committed to good governance; economic freedom, and investments in their citizens. MCC provides these well-performing countries with large-scale grants to fund

country-led solutions for reducing poverty through sustainable economic growth. MCC grants complement other U.S. and international development programs.

Applicant Eligibility:

Compacts are large, 5-year grants for countries that pass MCCs eligibility criteria. Threshold programs are smaller grants awarded to countries that come close to passing these criteria and are firmly committed to improving their policy performance.

Beneficiary Eligibility:

Beneficiaries are foreign governments, foreign public or private institutions or organizations, or foreign individuals who are eligible for MCC Compact or Threshold programs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Competitive.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Other - Not Specified.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

5 years. See the following for information on how assistance is awarded/released: contact agency for information. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: contact agency for information.

Reports:

Not Applicable.

Audits:

No audits are required for this program.

Records:

see audits.

Account Identification:

95-2750-0-1-151.

Obligations:

(Project Grants) FY 09 \$7,400,000; FY 10 est not reported.; FY 11 est not reported.

Range and Average of Financial Assistance:

No data available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: : MCC has approved over \$7.4 billion in compact and threshold programs worldwide that support country-determined

projects in such sectors as agriculture and irrigation transportation (roads, bridges, ports); water supply and sanitation; access to health; finance and enterprise development; anti-corruption initiatives; land rights and access and access to education. The aggressive implementation of compacts and threshold programs is promoting growth opportunities, opening markets, raising the standard of living, and creating a more prosperous future for some of the worlds poorest people. MCC is a prime example of smart U.S. Government assistance in action, benefiting both developing countries and U.S. taxpayers through competitive selection; country-led solutions; and country-led implementation. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices.

Headquarters Office:

James R. Blades, Millennium Challenge Corporation, Contracts and Grants Management Division, 875 15th Street, NW, Washington, District of Columbia 20005 Email: bladesjr@mcc.gov Phone: (202) 521-3600.

Website Address:

<http://www.mcc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

89.001 NATIONAL ARCHIVES REFERENCE SERVICES_HISTORICAL RESEARCH

FEDERAL AGENCY:

National Archives and Records Administration

AUTHORIZATION:

National Archives and Records Administration Act of 1984, Public Law 98-497, 98 Stat. 2280.

OBJECTIVES:

Objectives: To provide professional reference service to members of the general public and employees of the Federal government who are conducting research in Federal records or in historical materials in Presidential Libraries; provide advice and information to the public through conferences, workshops and other outreach activities on the availability and use of Federal records and publications in NARA's custody.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; DISSEMINATION OF TECHNICAL INFORMATION; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The assistance provided by NARA is designed to assist the public in obtaining access to information contained in records which are in the custody of the National Archives. Restrictions on the use of accessioned records in the National Archives of the United States are divided into two categories: general restrictions and specific restrictions. General restrictions apply to all records regardless of origin and apply to types of information or classes of records exempt from disclosure under the Freedom of Information Act, for example, national security information. Specific restrictions are specified by the transferring agency or donor and apply only to records in a particular record group or a portion of that record group. Access to records in the records center operations within NARA's regional records services facilities; in the National Personnel Records Center; and in the Washington National Records Center is controlled by the agency which created the records. General restrictions apply to all records regardless of origin, and apply to types of information or classes

of records exempt from disclosure under the Freedom of Information Act. Specific restrictions are specified by the transferring agency or donor, and apply only to records in a particular record group or a portion of that group. All procedures are included in 36 CFR 1256.

Applicant Eligibility:

Anyone (general public).

Beneficiary Eligibility:

Anyone (general public).

Credentials/Documentation:

Photographic identification. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To see records in person, NARA Form 14003, Researcher Application, is required. To obtain information or copies by mail, contact the depository; if a form is required, applicant will be notified. The use of publications, such as microfilm publications of the U.S. Census, does not require the completion of a Researcher Application. Microfilm publications are available in regional facilities in self-service reference rooms. Request for civilian and military personnel records should be addressed to the National Personnel Records Center. Requests for court records should be addressed to the appropriate regional records services facility. Requests for records held in records center operations of the NARA regional records services facility and in the Washington National Records Center should be addressed directly to the agency having legal custody.

Award Procedure:

Not applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not Applicable. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

88-0300-0-1-804.

Obligations:

(Salaries) FY 09 \$49,569,125; FY 10 est \$52,034,949; FY 11 est \$53,589,234

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During Fiscal Year 2009, the National Archives provided a total of 1,519,517 reference responses from the following sources: Records Services-Washington, D.C. provided 83,510; Regional Records Services provided 1,397,264; and Presidential Libraries provided 38,743. National Archives provided 552,872 reference items to researchers (Records Services-Washington, D.C. provided 404,548; Regional Records Services provided 71,414; and Presidential Libraries provided 76,910). Fiscal Year 2010: It is estimated that for fiscal year 2010, the National Archives will provide 1,950,711 reference responses (Records Services-Washington, DC, 91,818; Regional Records Services 1,817,367; and Presidential Libraries 41,526). National Archives estimates 602,997 items will be provided to researchers (Records Services-Washington, DC, 443,877; Regional Records Services 75,366; and Presidential Libraries 83,754). Fiscal Year 2011: It is estimated that for fiscal year 2011, the National Archives will provide 1,950,711 reference responses (Records Services-Washington, DC, 91,818; Regional Records Services 1,817,367; and Presidential Libraries 41,526). National Archives estimates 602,997 items will be provided to researchers (Records Services-Washington, DC, 443,877; Regional Records Services 75,366; and Presidential Libraries 83,754).

REGULATIONS, GUIDELINES, AND LITERATURE:

36 CFR Chapter XII, Subchapter C. Over 200 printed publications are available as listed in General Information Leaflet No. 3, "Select List of Publications of the National Archives and Records Administration," available without charge from the Publication Services (NEPS), National Archives and Records Administration, Washington, DC 20408.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a list of addresses.

Headquarters Office:

Jacquelyn A. Gerard, 8601 Adelphi Road, College Park, Maryland 20740
Email: jacquelyn.gerard@nara.gov Phone: (301) 837-1742 Fax: (301) 837-3224.

Website Address:

<http://www.archives.gov>.

RELATED PROGRAMS:

89.003 National Historical Publications and Records Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

89.003 NATIONAL HISTORICAL PUBLICATIONS AND RECORDS GRANTS

FEDERAL AGENCY:

National Archives and Records Administration

AUTHORIZATION:

National Archives and Administration Act of 1984, Public Law 108-383, 118 Stat. 2218.

OBJECTIVES:

To undertake a wide-range of activities related to the preservation, publication, and use of documentary sources relating to the history of the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for projects to strengthen the nation's archival infrastructure through collaboration with the States; to provide leadership in preserving and making accessible important documentary sources in electronic form; to improve the knowledge, performance, and professional skills of those who work with historical records; for the preservation, arrangement and description of records of historical interest; and for the publication in book, microform or electronic editions of papers and documents of national historical significance, especially in completing editions of papers on America's founding era.

Applicant Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes (see 44 U.S.C. 2504), educational and other nonprofit institutions (e.g., universities, colleges, libraries, historical societies, museums, university presses, archives, etc.) and individuals.

Beneficiary Eligibility:

State and local governments, U.S. territorial agencies, federally and State recognized Indian tribes, educational and other nonprofit institutions, and individuals.

Credentials/Documentation:

A Data Universal Number System (DUNS) must be obtained. It has been adopted by the Federal Government to help track how Federal grant money is distributed. To obtain this number, call Dun & Bradstreet hotline at 1-866-705-5711. Registration with Central Contractor Registry (CCR) is required. Visit the CCR website at <http://www.ccr.gov>. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications to the NHPRC must be submitted via www.grants.gov. Guidelines and application instructions are available online at www.archives.gov/nhprc.

Award Procedure:

Applications are reviewed by state historical records advisory boards, subject area specialists and other appropriate individuals. Grant awards are recommended by the National Historical Publications and Records Commission at biennial meetings. Grants are formally made by the Archivist of the United States to the applicant institution or individual by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 4 to 6 months.

Appeals:

Applications may be revised and resubmitted in accordance with established procedures.

Renewals:

Procedures for the renewal of applications are the same as the original application procedure process.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies depending on scope of project. See the following for information on how assistance is awarded/released: Based upon the submitted material.

Reports:

No program reports are required. No cash reports are required. Narrative Progress reports are required for all grants. These reports are due 30 days after the end of each 6-month period, with the final report due within 90 days after the expiration of the grant period. Grants with a duration of 6 months or less require a final report only. Financial status reports are required for all grants. SF-425, Federal Financial Report, shall be used for all financial reports, which are due 30 days after the end of each 12-month period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

Records:

The grantee institution maintains records which fully disclose the amount and disposition of granted funds, the total cost of the undertaking, the portion of the cost supplied by other sources, and such other records as will facilitate an effective audit. Documentation must be retained for three years following the submission of the final expenditure report.

Account Identification:

88-0301-0-1-804.

Obligations:

(Project Grants) FY 09 \$8,661,000; FY 10 est \$13,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

\$3,500.00 to \$300,000.00 with average of \$80,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY2009, 206 grant applications were reviewed and 107 grants were awarded. The average amount of grant awarded was \$77,401. Fiscal Year 2010: In FY2010, it is estimated that 225 grant applications will be reviewed and 110 grants will be awarded. The average amount of grant awarded is estimated to be \$90,111. Fiscal Year 2011: In FY2011, it is estimated that 240 grant applications will be reviewed and 120 grants will be awarded. The average amount of grant awarded is estimated to be \$93,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicable regulations and guidelines (2 CFR Parts 215.220,225, and 230; 36 CFR 1206-1212), as well as grant opportunity announcements are available at www.archives.gov/nhprc.

Regional or Local Office:

None.

Headquarters Office:

NHPRC, Room 106, Archives-I, Washington, District of Columbia 20408
Email: nhprc@NARA.gov Phone: (202) 357-5010.

Website Address:

<http://www.archives.gov/nhprc>

RELATED PROGRAMS:

45.149 Promotion of the Humanities_Division of Preservation and Access

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See program guidelines at www.archives.gov/nhprc.

89.005 COOPERATIVE AGREEMENTS TO SUPPORT THE PROGRAMS OF THE NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)**FEDERAL AGENCY:**

National Archives and Records Administration

AUTHORIZATION:

, Public Law 108-383, 118 Stat. 2218, 44 U.S.C 2119.

OBJECTIVES:

To undertake a wide-range of activities for the public purpose of carrying out the programs of the National Archives and Records Administration.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Cooperative agreements are entered into to support various activities for the public purpose of carrying out the programs of the National Archives and Records Administration.

Applicant Eligibility:

State and local governments, other public entities, educational institutions, or private nonprofit organizations (including foundations or institutes organized to support the National Archives and Records Administration or the Presidential archival depositories operated by it).

Beneficiary Eligibility:

State and local governments, other public entities, educational institutions, or private nonprofit organizations (including foundations or institutes organized to support the National Archives and Records Administration or the Presidential archival depositories operated by it).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submit proposal for a cooperative agreement to the Policy and Planning Staff, National Archives and Records Administration, 8601 Adelphi Road, Room 4100, College Park, MD 20740; Telephone: (301) 837-1850.

Award Procedure:

The Policy and Planning Staff review and consider all proposals consistent with the statutory authority and NARA policy. Proposals are then presented to the Senior Staff with a recommendation by the Policy and Planning Staff. The Archivist and the Senior Staff make the final decision with respect to all proposals.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Proposals are typically reviewed and decided upon within a few weeks, although actual time frames may vary.

Appeals:

Not Applicable.

Renewals:

Procedures for renewals are the same as the original proposal process.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements are entered into on an annual basis. The phasing of assistance depends upon the particular project. See the following for information on how assistance is awarded/released: Depends upon the particular project.

Reports:

Depends on the particular project. Cash reports are not applicable. Depends on the particular project. Expenditure reports are not applicable. Depends on the particular project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The nonfederal entity maintains records which fully disclose the amount and disposition of funds transferred pursuant to a cooperative agreement, the total cost of the undertaking, the portion of the cost supplied by other sources, and such other records as will facilitate an effective audit.

Account Identification:

88-0300-0-1-804.

Obligations:

(Cooperative Agreements) FY 09 \$25,000; FY 10 est \$75,000; FY 11 est \$75,000

Range and Average of Financial Assistance:

Up to \$25,000 per cooperative agreement, with a cap of \$75,000 per year: \$50,000 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 1 Cooperative Agreement was entered into in FY2009. Fiscal Year 2010: It is estimated that 3 cooperative agreements will be entered into in FY 2010. Fiscal Year 2011: It is estimated that 3 cooperative agreements will be entered into in FY2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Policy & Planning Staff, National Archives & Records Administration 8601 Adelphi Road, Room 4100, College Park, Maryland 20740 Phone: (301) 837-1850.

Website Address:

<http://www.archives.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Projects must support the public purpose of carrying out programs of the National Archives and Records Administration.

BROADCASTING BOARD OF GOVERNORS**90.500 INTERNATIONAL BROADCASTING INDEPENDENT GRANTEE ORGANIZATIONS****FEDERAL AGENCY:**

Broadcasting Board of Governors

AUTHORIZATION:

Public Laws: 103-236 as amended, Public Law 103-236, 22 U.S.C 6201.

OBJECTIVES:

To promote freedom and democracy and enhance understanding through multimedia communication of accurate, objective, and balanced news, information, and other programming about America and the world to audiences overseas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding supports the operations of the grantee organizations. The annual appropriation process provides funds and restrictions on fund usage would be identified in the appropriation and authorization law, and in yearly grant agreements each grantee signs. In the individual grant agreements for RFE/RL, RFA, and MBN there is language that describes limitations on the grant, on administrative and managerial costs, and on the use of funds. The grantees must also comply with Circulars A-110, A-122, and A-133.

Applicant Eligibility:

Grants are currently only available to three existing nonprofit organizations, Radio Free Europe/Radio Liberty, Radio Free Asia, and Middle East Broadcasting Networks as identified in authorizing or appropriations language. Grants are not available to State or local governments or the general public.

Beneficiary Eligibility:

Direct beneficiaries of these grants are three nonprofit entities based and incorporated in the United States. The grants allow these three United States-based entities to provide an objective and balanced alternative source of news, opinion, and information related to the U.S. and U.S. policy to overseas populations. Secondary beneficiaries of these grants are overseas listeners who benefit from an alternative news source that provides objective information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The grantees submit resource requirements to the BBG for inclusion in the development of the BBG budget estimates. The BBG consolidates this data into the BBG budget submission to OMB and Congress. Upon Congressional approval of the current year appropriation, the grantees submit an annual financial plan described in Reports section.

Award Procedure:

At the beginning of the fiscal year, the BBG and the grantee sign an annual grant agreement which thereby grants funds for the planning and operating expenses related to international broadcasting for each grantee. BBG and the grantees also sign grant amendments prepared throughout the fiscal year.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

The three organizations currently receiving grants may continue to receive funding through the annual appropriation process for each fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grantees receive yearly grants and must spend the money in the year awarded unless given permission by the Board of Governors to carry money into the following fiscal year. See the following for information on how assistance is awarded/released: The grantees are part of the Agency's budget submission, and their yearly funding level is set by Congress and included in the Agency's appropriation. Grantees are paid on a monthly basis through wire transfer.

Reports:

The Grantees submit monthly reports that to the Governors of the Broadcasting Board of Governors. These reports include updates on programming initiatives, program reviews, research, human resources issues, legal status, budget issues, financial reports, security issues and any other items of importance. SF 425. Progress reports are not applicable. Grantees provide monthly obligation and expenditure reports to the BBG for comparison against the approved financial plan. The Grantees are required to perform yearly program reviews on their language and support services. In addition, the Board conducts its own annual Language Service Review to aid in determining overall resource allocation.

These reviews require services to report on a wide variety of performance measures, including: audience, credibility, weekly reach, budget information, signal quality, affiliate information, etc.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Grantees are required to undergo an annual audit.

Records:

All reports described above and business documents such as bid solicitations, evidence of shipment for commodities procured in the United States and procurement and service contracts, shall be maintained for a period of 3 years from the date of the initial submission in a manner that will permit verification of the grantee's compliance with its representations, warranties, and obligations contained in this agreement. If any litigation, claim or audit is started before the expiration of the 3-year period, the records shall be retained until all open matters have been resolved.

Account Identification:

95-0204-0-1-154; 95-0206-0-1-154.

Obligations:

(Salaries) FY 09 \$238,674,000; FY 10 est \$245,741,000; FY 11 est \$251,423,000 - Breakout of Funding is as follows:
RFE/RL: FY 2009-\$92,336; FY 2010-\$95,912; FY 2011-\$95,557
RFA: FY 2009-\$35,919; FY 2010-\$37,228; FY 2011-\$38,404
MBN: FY 2009-\$110,419; FY 2010-\$112,601; FY 2011-\$117,462 (in thousands).

Range and Average of Financial Assistance:

FY 2009 Range: \$35,919 to \$110,419; Average \$79,558
FY 2010 Range: \$37,228 to \$112,601; Average \$81,914
FY 2011 Range: \$38,404 to \$117,462; Average \$83,808 (in thousands).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See Projection. Fiscal Year 2010: Each of the three grantee organizations serves a unique geographic region. Their accomplishments in each region are described below:

Radio Free Europe/Radio Liberty (RFE/RL): As of March 2010, RFE/RL broadcasts in 28 languages to Central, Eastern, and Southeastern Europe; Russia; the Caucasus; Central Asia; Iraq; Iran; and Afghanistan. Eighteen of RFE/RL's broadcast languages almost two-thirds of the total are directed to countries or regions where the majority populations are Muslim. Each week, RFE/RL broadcasts more than 1,000 hours of radio programming and nearly five hours of television from its operations center in Prague and from its 20 bureaus. During fiscal year 2009, RFE/RL's 20 Internet websites reached a monthly average of over 2.1 million unique visitors, logged nearly 4 million requests to listen to radio content online, and garnered an average of 15.1 million page views each month reflecting increases of 13 percent, 18 percent, and 21 percent respectively over the previous year.

RFE/RL's proximity to its broadcast regions facilitates production of relevant, locally-oriented programming in a cost-efficient manner. As of July 2009, RFE/RL programs were available on 238 local AM, FM, UKV, and TV affiliates across its broadcast region. RFE/RL is increasing delivery of its information products on television, the Internet, and other emerging media in order to attract new audiences and to reach closed and semi-closed societies.

Radio Free Asia (RFA): RFA broadcasts in nine languages and four dialects to China (including Tibet and the Xinjiang Region of Western China), Burma, Cambodia, Laos, North Korea, and Vietnam, providing unbiased news to Asian countries that do not tolerate a free press, and filling the news gap created by State-controlled media. Through its network of reporters across Asia and its seven bureaus/offices, RFA frequently leads the world in breaking news and

continues to cover stories not reported by other media.

As a multi-media news organization, RFAs broadcasters focus on developing vibrant, relevant content that meets the demands of its radio audiences and drives visitors to its websites. RFA material can be downloaded to mobile devices, repackaged and republished to circumvent ever increasing censorship, or shared by word-of-mouth.

Middle East Broadcasting Network (MBN): MBN is a multimedia broadcasting organization. Its brands currently include Alhurra, Radio Sawa, and Afia Darfur. Alhurra operates three, 24/7 Arabic-language news and information television channels Alhurra, Alhurra-Iraq, and Alhurra Europe. Radio Sawa broadcasts 24/7 on seven programming streams in the Middle East, and utilizes Web sites as sources of up-to-the-minute news. MBN broadcasts from its headquarters in Northern Virginia, with production outlets in Baghdad, Cairo, Dubai, Beirut, and Jerusalem, and correspondents in the Middle East and around the world.

Alhurra is distributed digitally via Arabsat and Nilesat, the same satellites used by all major Middle Eastern channels, as well as terrestrial transmitters in Baghdad, Mosul, Basra, Tikrit and Al Hilla. Alhurra Europe is distributed on Eutelsat Hotbird 8. Alhurra is also streamed on its news and information website at www.alhurra.com.

Radio Sawa can be heard throughout the Middle East through FM and medium wave (AM) transmissions on seven streams including: (1) Iraq (FM and AM); (2) Jordan and the West Bank (FM); (3) the Gulf (FM and AM); (4) Egypt and the Levant (AM); (5) Morocco (FM); (6) Sudan, Djibouti and Yemen (FM and AM); and (7) Lebanon and Syria (FM). Radio Sawa is also streamed on its news and information Web site www.radiosawa.com. Fiscal Year 2011: See FY 2010 description of accomplishments.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. None.

Headquarters Office:

Karen Larson, 330 Independence Avenue, SW, Washington, District of Columbia 20237 Email: klarson@bbg.gov Phone: (202) 321-4194.

Website Address:

<http://www.bbg.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

JAPAN U.S. FRIENDSHIP COMMISSION

90.300 JAPAN-U.S. FRIENDSHIP COMMISSION GRANTS

FEDERAL AGENCY:

Japan U.S. Friendship Commission

AUTHORIZATION:

Public Law 94-118.

OBJECTIVES:

to promote educational, intellectual, artistic and cultural exchange and research between Japan and the United States.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Not applicable. 501(c)3, or other non-profit organization.

Applicant Eligibility:

Public and state-controlled institutions of higher education; non-profits having a

501(c)3 status with the IRS, other than institutions of higher education; private institutions of higher education.

Beneficiary Eligibility:

Public and state-controlled institutions of higher education; non-profits having a 501(c)3 status with the IRS, other than institutions of higher education; private institutions of higher education.

Credentials/Documentation:

Copy of 501(c)3 or other non-profit status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of proposal conforming to JUSFC guidelines.

Award Procedure:

Grants typically made before beginning of project activity.

Deadlines:

Aug 01, 2011 August 1 (or next business day when this fall on a weekend) annually.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately six weeks between submission of proposal and decision.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: High expectation of matching funds from outside sources. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Typically six months to eighteen months. Method of awarding/releasing assistance: lump sum.

Reports:

A narrative report describing project undertaken with grant funds. No cash reports are required. No progress reports are required. Final financial report required detailing how grant funds were spent. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Must be kept by grantee for a three-year period.

Account Identification:

89-1191-0-1-300.

Obligations:

(Project Grants) FY 09 \$1,600,000; FY 10 est \$1,600,000; FY 11 est \$1,600,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

see www.jusfc.gov for JUSFC guidelines and literature

Regional or Local Office:

None.

Headquarters Office:

Margaret Mihori, 1201 15th Street, NW, Suite 330, Washington, District of Columbia 20005 Email: mmihori@jusfc.gov Phone: (202) 653-9800 Fax: (202) 653-9802.

Website Address:

www.jusfc.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See www.jusfc.gov.

U.S. ELECTION ASSISTANCE COMMISSION

90.400 HELP AMERICA VOTE COLLEGE PROGRAM**FEDERAL AGENCY:**

U.S. Election Assistance Commission

AUTHORIZATION:

Help America Vote Act of 2002, Title V, Public Law 111-111-117, Stat. , 42 U.S.C 15521-1523.

OBJECTIVES:

The purpose of this program is: (1) to encourage students enrolled at institutions of higher education (including community colleges), to assist state and local governments in the administration of elections by serving as nonpartisan poll workers or assistants; (2) to encourage college students to become cognizant of the elections process and civic educations, and to assist in the smooth administration of elections in their community; and (3) to encourage state and local governments to use the services of the students participating in the Help America Vote College Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to to assist EAC in implementing the Help America Vote College Program (College Program or HAVCP). EAC established the HAVCP in 2004, and through fiscal year 2009 has distributed over \$2.3 million to colleges and nonprofits to recruit, train and support students serving as poll workers on Election Day.

The purpose of the HAVA College Poll Worker Program is to:

- 1) Encourage students enrolled at institutions of higher education (including community colleges) to assist State and local governments in the administration of elections by serving as poll workers or assistants;
- 2) Encourage college students to become cognizant of the elections process and civic education, and to assist in the smooth administration of elections in their community; and
- 3) Encourage state and local governments to use the services of the students participating in the program. Applicants may not use any part of an award from the EAC to fund religious instruction, worship or proselytizing, voter registration, get out the vote (GOTV) drives or other political activities that could be construed as lobbying. Applications that propose voter registration or GOTV efforts will be considered non-responsive and will not be eligible for funding under this announcement. Project funds must be used for tasks and activities carried out without partisan bias and without promoting any particular political point of view regarding any election issue or candidates. Applicants should reserve approximately \$1,200 of federal funds in the travel line item of the budget to attend a national grantee meeting to be held by the EAC in 2011 to share best practices and lessons learned about recruiting college poll workers.

Pre-award costs can be requested by applicants approved for funding under this Notice, but are subject to approval from EAC per Office of Management and Budget guidelines. Construction is not an allowable activity or expenditure under this program. Grantees may recover indirect costs under this grant up to 5 percent of the total Federal share of the grant. If an applicant has an approved

federal indirect cost rate the remainder

of the indirect costs can be used as a matching contribution. Applicants without an approved indirect rate may not claim indirect costs as a matching contribution. Current and previous EAC grantees must be up-to-date on all reporting requirements in order to receive an award under this competition.

Applicant Eligibility:

The following are eligible to apply for the Help America Vote College Program: (1) State controlled institutions of higher education; (2) private institutions of higher education; (3) community colleges; (4) non-partisan student organizations; and (5) non-profit organizations, other than institutions of higher education (including faith-based, community-based, and tribal organizations).

Beneficiary Eligibility:

College and University Students, election officials.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit applications electronically through Grants.gov. The application for Federal Assistance, Standard Form 424, is to be submitted as well. Specified instructions are published in the Federal Register, at <http://www.eac.gov>, and at <http://www.grants.gov>.

Award Procedure:

1. compliance review
2. External Review
3. Staff Review and recommendations
4. Award notification
5. issuance of Notice of Grant Award.

Deadlines:

Feb 18, 2010 to Mar 31, 2010 As specified in announcement or application instructions.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to a three year grant award with operating funds for the first year. See the following for information on how assistance is awarded/released: Expense reimbursement.

Reports:

Annual reports are due 30 days after the period ending December 31. No cash reports are required. No progress reports are required. Federal Financial Report SF-425 (FFR) must be submitted annually for the period ending September 30 by October 31. A final FFR is due 30 days after the close of the grant. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Records must be retained for at least 3 years; records shall be retained beyond

the 3-year period if all findings have not been resolved.

Account Identification:

95-1650-0-1-808.

Obligations:

(Project Grants) FY 09 \$750,000; FY 10 est \$750,000; FY 11 est \$750,000

Range and Average of Financial Assistance:

Anticipated Number of New Awards: 10-15 Maximum Award Amount: \$65,000

Minimum Award Amounts: \$30,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Help America Vote Act of 2002; Grant Administrative Requirements; OMB Circulars A-21 and A-122; grant agreement.

Regional or Local Office:

None.

Headquarters Office:

Mark Abbott, 1225 New York Ave, NW, Suite 300, Washington, District of Columbia 20005 Email: mabbott@eac.gov Phone: (202) 566-2166.

Website Address:

www.eac.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see Request for Application.

90.403 U.S. ELECTION ASSISTANCE COMMISSION RESEARCH GRANTS

EAC Research Grants

FEDERAL AGENCY:

U.S. Election Assistance Commission

AUTHORIZATION:

Consolidated Appropriations Act for Fiscal Year 2010 , Public Law 111-117; Help America Vote Act, 2002, Public Law 107-252, 42 U.S.C 15521 - 15523.

OBJECTIVES:

To carry out research and development to improve the quality, reliability, accuracy, accessibility affordability and security of voting equipment, election systems and technology.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants

USES AND USE RESTRICTIONS:

Research and Development grants; Dissemination of research findings; Accessibility Research; Logic and Accuracy testing of voting systems; Post election audit research.

Applicant Eligibility:

Voting system technology research and development.

Beneficiary Eligibility:

beneficiaries are not eligible to apply for funding or support.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must submit an intend to apply notice by the deadline provided in the funding notice.

Award Procedure:

All proposals will be first submitted to a compliance review for eligibility. All applications in compliance with this Notice and the application instructions will be advanced for programmatic review. EAC staff will review all qualified proposals using the selection criteria described in Section II of this Notice. An outside peer review process may be used at EACs discretion. During the staff review process, EAC staff may request additional supporting documentation from the applicant. EAC staff may also consider geographic representation, urban/rural composition of the applicants, and other criteria when making final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies from 1 to 5 years. See the following for information on how assistance is awarded/released: Cost Reimbursement.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual reports. Annual SF-425. Annual progress on performance measures required as part of progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees are subject to Audit by EAC's inspector general and may be required to conduct an annual single audit.

Records:

Records must be maintained for 5 years after the close of the grant.

Account Identification:

95-1650-0-1-808.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$8,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$50,000 to 8,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The funding notices published at www.eac.gov contain all required regulations and guidelines for this program.

Regional or Local Office:

None.

Headquarters Office:

Mark Abbott, 1201 New York Ave, Suite 300, Washington , District of

Columbia 20005 Email: mabbott@eac.gov Phone: (202) 566-2166.

Website Address:

http://www.eac.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In awarding grants under this Notice, EAC will consider:

Program/Research Design (50 percent)

Organizational Capacity (35 percent)

Budget/Cost Effectiveness (15 percent).

UNITED STATES INSTITUTE OF PEACE

91.001 ANNUAL GRANT COMPETITION

FEDERAL AGENCY:

United States Institute of Peace

AUTHORIZATION:

United States Institute of Peace ACT; Department of Defense Act , Executive Order Authorization Act of 1985, Title XVII, Section 1701, Public Law 98-525, 98 Stat. 2492,2649, 22 U.S.C 1701.

OBJECTIVES:

The Institute supports research, education, training and dialogue programs in the United States and abroad on international peace and conflict resolution.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding is available for any project that falls within the Institute's broad mandate of international conflict resolution. Unsolicited grants are offered across a broad range of relevant disciplines, skills, and approaches. Topic areas of interest to the Institute include, but are not restricted to, the origins of conflict, the role played by religious, ethnic, economic, political, social, and environmental factors in generating or accelerating conflict within, between, or among states; international conflict management; diplomacy; negotiation theory; Track Two (unofficial) diplomacy; methods of third-party dispute settlement; international law; international organizations and collective security; deterrence and balance of power; arms control; psychological theories about international conflict; the role of nonviolence and nonviolent sanctions; moral and ethical thought about conflict and conflict management; the role of peacekeeping, humanitarian intervention, and postconflict peace building; and theories about relationships among political institutions, human rights, and conflict. Priority is accorded to projects that have practical applications and that may be useful for policymakers.

Applicant Eligibility:

The Institute may provide grant support to nonprofit organizations, public institutions, and individuals both U.S. and foreign including the following: civil society organizations; institutions of secondary, postsecondary, and community education; public and private education, training, or research institutions; libraries; and public departments and agencies (including U.S. state and territorial departments of education and commerce). Government officials, whether U.S. or foreign, are not eligible to receive Institute funds. Other U.S. government employees should contact the Grant Program staff prior to submission of an application to determine their eligibility.

Beneficiary Eligibility:

Nonprofit organizations, official public institutions, and individuals. Grantees may be U.S. citizens, nonprofit organizations and official public institutions, or foreign nationals and foreign nonprofit institutions.

Credentials/Documentation:

Resume/curriculum vitae. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants must submit their project on an official application form (last revised February 2004, with accompanying documentation as described therein.

Award Procedure:

Most grant proposals are vetted through a rigorous, multi-stage process of review, which may include consideration by independent experts and professional staff at the Institute. Proposals are grouped by theme or regional focus and sent to relevant external experts for their evaluation. The final authority for decisions about grants rests with the Board of Directors. Outside review of an application may also be sought as part of the review process.

Deadlines:

Oct 01, 2010 The Unsolicited application deadline is October 1 (or the next business day if the date falls on a weekend or a U.S. holiday). This is a receipt deadline not postmark deadline.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Grantee may submit an application for renewed funding after all requirements on the previous grant are fulfilled. Renewed funding request will be submitted to the Board of Directors for review. Grantees may request an extension of an ongoing project by submitting a letter to the Director of the Grant Program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically one year in duration, however, short and long-undertakings are also appropriate. Funds are released according to the schedule negotiated between USIP and the grantee. All funds must be spent or obligated within the established grant period. See the following for information on how assistance is awarded/released: The initial disbursement is remitted upon receipt of signed award document. Subsequent payments are scheduled throughout the grant period.

Reports:

No program reports are required. No cash reports are required. Award recipients are required to submit interim progress reports and a final report at the end of the grant period. Award recipients are required to submit interim financial reports and a final financial report at the end of the grant period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation, statistical records, and all other records pertinent to the grant shall be retained by the grantee for 3 years following the submission of the final report of project expenditures.

Account Identification:

95-1300-0-1-153.

Obligations:

(Project Grants) FY 09 \$1,900,000; FY 10 est \$1,900,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register No. 3155-01.

Regional or Local Office:

See Regional Agency Offices. Annual Grant Competition, Grant Program, United States Institute of Peace, 1200 17th Street NW., Suite 200, Washington, DC 20036-3006. Telephone: (202) 429-3842. E-mail: grants@usip.org.

Headquarters Office:

Annual Grant Competition, 1200 17th Street, NW, Suite 200, Washington, District of Columbia 20036 Email: grants@usip.org Phone: (202) 429 3842.

Website Address:

<http://www.usip.org>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

91.002 PRIORITY GRANT COMPETITION**FEDERAL AGENCY:**

United States Institute of Peace

AUTHORIZATION:

United States Institute of Peace Act; Department of Defense Act , Executive Order Authorization Act of 1985, Title XVII, Section 1701, Public Law 98-525, 98 Stat. 2492,2649, 22 U.S.C 1701.

OBJECTIVES:

To provide support for education and training, research, and public information on international peace and conflict resolution and on themes and topics identified by the institute.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Starting in October 2006, the Grant program launches its new Solicited Grant Initiative with the following elements: Funding for conflict prevention, conflict management, and post-conflict peacebuilding in Colombia, Iraq, Nigeria, Pakistan, and Sudan. Funding for projects relating to Iran. Priority will be given to initiatives focusing on Iranian relations with the West and with its neighbors in the region, and on Iran's role in regional and international affairs.

Applicant Eligibility:

For, Colombia, Iraq, Nigeria, Pakistan and Sudan, preference will be given to applications submitted by non-profit organizations based in those countries or to groups that partner with them. For Iran and the countries above, applications may be submitted by nonprofit organizations, public institutions, and individuals. Grantees may be U.S. citizens and U.S. nonprofit or public institution or foreign nationals and foreign nonprofit institutions.

Beneficiary Eligibility:

Nonprofit organizations, public institutions, and individuals. Grantees may be U.S. citizens, nonprofit organizations and public institutions, or foreign nationals and foreign nonprofit institutions.

Credentials/Documentation:

Resume/curriculum vitae. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants must submit their project on an official application form (last revised February 2004), with accompanying documentation as described therein.

Award Procedure:

Proposals submitted to the Solicited Grant Initiative will first be discussed with, and reviewed by, Grant program staff. In the event of a successful review, applications will be forwarded for further review to experts outside of USIP. During the review process, staff may contact applicants to request further information. Final approval of funded projects will be contingent upon further review and approval by USIP's executive officers and Board of Directors. For previous grantees, evaluations of their earlier grants will be conducted before final decisions will be made.

Deadlines:

Oct 01, 2010: Open all year long. The countries listed under no. 70 are expected to be the focus of this solicited initiative for at least one year from October 1, 2009. Applicants may apply at any time throughout the year. Awards will be announced throughout the year.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Grantees may submit an application for renewed funding after all requirements on the previous grant are fulfilled. Renewed funding requests will be submitted to the Board of Directors for review. Grantees may request an extension of an ongoing project by submitting a letter to the Director of the Grant Program.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically one year in duration, however, short and long-term undertakings are also appropriate. Funds are released according to the schedule negotiated between USIP and the grantee. All funds must be spent or obligated within the established grant period. See the following for information on how assistance is awarded/released: Initial Payment is remitted upon receipt of signed award documents. Subsequent payments are made throughout the grant period.

Reports:

Program reports are not applicable. Cash reports are not applicable. Award recipients are required to submit interim narrative reports periodically and a final report at the end of the grant period. Award recipients are required to submit interim financial reports periodically and a final financial report and the of the grant period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation, statistical records, and all other records pertinent to the grant shall be retained by the grantee for 3 years following the submission of the final report of project expenditures.

Account Identification:

95-1300-0-1-153.

Obligations:

(Project Grants) FY 09 \$27,555,940; FY 10 est \$2,800,000; FY 11 est \$2,800,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register No. 3155-01.

Regional or Local Office:

See Regional Agency Offices. Priority Grant Competition, Grant Program, United States Institute of Peace, 1200 17th Street, NW., Suite 200, Washington, DC 20036-3006. Telephone: (202) 429-3842. E-mail: grants@usip.org.Solicited Grant.

Headquarters Office:

Priority Grant Competition, 1200 17th Street NW., Suite 200, Washington , District of Columbia 20036-3006 Email: grants@usip.org Phone: (202) 429-3842.

Website Address:

http://www.usip.org.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

91.003 91.003 ANNUAL SENIOR FELLOWSHIP COMPETITION

Jennings Randolph Senior Fellowship Program

FEDERAL AGENCY:

United States Institute of Peace

AUTHORIZATION:

United States Institute of Peace ACT; Department of Defense Act , Executive Order Authorization Act of 1985 , Title XVII, Section 1701 , Public Law 98-525, 98 Stat. 2492,2649 , 22 U.S.C 1701.

OBJECTIVES:

Established in 1987 USIP's Jennings Randolph Senior Fellowship Program provides scholars, policy analysts, policymakers, and other experts with opportunities to spend time in residence at the Institute, reflecting and writing on important conflict management and international peace and security challenges. The main objective of the program is to increase our understanding of violent conflict and the paths towards peace. The programs overall priority is to support the best scholars and experts in the field to undertake innovative projects that contribute to the theory and practice of peacebuilding.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Fellowship funds are used to support the work of outstanding practitioners, scholars, and other professionals to conduct cutting-edge research that result in publishable manuscripts for consideration by the Institute Press. Topic areas of interest to the Institute include, but are not restricted to, the origins of conflict, the role played by religious, ethnic, economic, political, social, and environmental factors in generating or accelerating conflict within, between, or among states; international conflict management; diplomacy; negotiation theory; Track Two (unofficial) diplomacy; methods of third-party dispute settlement; international law; international organizations and collective security; deterrence and balance of power; arms control; psychological theories about international conflict; the role of nonviolence and nonviolent sanctions; moral and ethical thought about conflict and conflict management; the role of peacekeeping, humanitarian intervention, and postconflict peace building; and theories about relationships among political institutions, human rights, and conflict. Priority is given to proposals deemed likely to make timely and significant contributions to the understanding and resolution of ongoing and emerging conflicts and other challenges to international peace and security.

Senior Fellowships usually last for ten months, starting in October, but shorter-term fellowships are also available. While completing their proposed project, Fellows consult with staff about ongoing programs, speak publicly about their work, and consult with the media, Congress, and others in the foreign policy establishment about topical issues related to their areas of expertise. Fellowship awards may not be granted for projects that constitute policy making for a government agency or private organization, focus to any substantial degree on conflicts within U.S. domestic society, or adopt a partisan, advocacy, or activist stance.

Applicant Eligibility:

Fellowship applications are vetted through a rigorous, multi-stage review that includes consideration by independent experts and professional staff at the Institute. The final authority for decisions regarding Jennings Randolph Senior Fellowship awards rests with the Institute's Board of Directors.

Beneficiary Eligibility:

Citizens of any country may apply. Non-U.S. Citizens without permanent resident status must obtain a J-1 exchange visitor visa to participate in the Fellowship Program. J-1 status requires recipients to reside in their home country for two years following the fellowship before applying for the H or L visa, or for permanent residency in the United States.

There is no specific educational degree requirement for Senior Fellowship candidates. Fellows come from a variety of professional backgrounds and from early, middle, and late stages of their careers.

Joint applications (two or more applicants for a single project) will not be accepted.

The following examples suggest the range of eligible candidates:

- * Government and Nongovernment Practitioners in international security, peacebuilding, and public affairs, such as diplomats, negotiators, mediators, government policymakers and administrators, military officials, officials and professional staff of international organizations, international lawyers, community leaders, businesspersons, labor leaders, clergy, and leaders in health and humanitarian affairs;

- * Scholars and Researchers, such as college and university professors, policy analysts in governmental or non-government research organizations, and independent consultants and writers;

- * Media and Communications Specialists such as journalists, editors, and producers in print, television, radio, and other communications media.

Credentials/Documentation:

Resume/curriculum vitae. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Components of the Jennings Randolph Senior Fellowship Application

I. General Information: This page contains the name of the applicant and project title.

II. Project and Person Summary: The title and brief summary of the proposed project. The applicant must describe why it is important and its relevance to the Institute's mandate. They must also provide a brief autobiographical statement.

III. Project Description: This section contains 5 mandatory sections and 1 optional section.

1. Subject and Significance
2. Methods and Design
3. USIP Mandate Fulfillment
4. Products

- 5. Work Plan
- 6. Bibliography (Optional)

IV. Curriculum Vitae/Resume: Provide us with a CV/resume of no more than 5 pages, in 12-point font.

V. Family and Visa Status

VI. Estimated Fellowship Costs

VII. Reference Letters

Award Procedure:

Senior Fellow applications are vetted through a rigorous, multi-stage review that includes consideration by independent experts and professional staff at the Institute. The final authority for decisions regarding Senior Fellowship awards rests with the Institute's Board of Directors.

Deadlines:

Jan 01, 2010 to Sep 08, 2010: The Senior Fellowship application deadline is September 8 (or the next business day if the date falls on a weekend or U.S. holiday).

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Fellows may request an extension for a renewal of funding past the end-date of the Fellowship. The extension must be approved by the Associate Vice President of the Fellowship Program and the duration of the entire Fellowship period must not exceed 24 months.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Fellowships are typically ten months in duration, but shorter-term fellowships are also available. Funds are released according to the schedule negotiated between USIP and the Fellow and/or institution. All funds must be spent or obligated within the established fellowship period. See the following for information on how assistance is awarded/released: Stipends are awarded as fixed lump-sum payments; however, for the convenience of the fellows, the stipends are paid in equal installments at the end of each month.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The Fellow must retain all contractual documents from the start date of the fellowship until the end of the tax year following the completion of the fellowship.

Account Identification:

95-1300-0-1-153.

Obligations:

(Cooperative Agreements) FY 09 \$895,100; FY 10 est \$929,500; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Register No. 3155-01.

Regional or Local Office:

See Regional Agency Offices. Jennings Randolph Fellowship Program, United States Institute of Peace, 1200 17th Street NW, Suite 200, Washington, DC 20036, jrprogram@usip.org. (202) 429-3862.

Headquarters Office:

Shira B. Lowinger, United States Institute of Peace, 1200 17th Street NW, Suite 200, Washington, District of Columbia 20036 Email: slowinger@usip.org
Phone: (202) 429-3862.

Website Address:

http://www.usip.org

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selection of Senior Fellows is based on the following criteria:

- * Project Significance. Does this project tackle an important topic of relevance to the USIP mandate and the field of international peace, conflict and security studies?
- * Project Design. Is the project soundly conceived? Does it identify a key problem to be analyzed and does it have a clear methodology?
- * Implementation. Can the project be completed according to the proposed design, timetable, and budget? What is the likelihood of the completion of a publishable book or report during or soon after the fellowship period?
- * Track Record and Reputation. Does the candidate have a good track record and reputation for finishing projects on time? What is the candidate's standing in the field?
- * Potential as a Fellow. What is the applicant's potential for participating in the Institute's collegial life and outreach efforts?.

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001 CIVIL RIGHTS AND PRIVACY RULE COMPLIANCE ACTIVITIES

Office for Civil Rights

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Civil Rights Act of 1964, Title VI, as amended; Rehabilitation Act of 1973, Sections 504 and 508, as amended; Education Amendments of 1972, Title IX, as amended; Age Discrimination Act of 1975, as amended; Nondiscrimination Provisions of the Public Health Service Act, Titles VI, VII, VIII, and XVI, as amended; Omnibus Budget Reconciliation Act of 1981, Public Law 97-35, as amended; Drug Abuse Offense and Treatment Act of 1972, Section 407; Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970, Section 321, as amended; Low-Income Home Energy Assistance Act of 1981, 42 U.S.C. 8625; Community Services Block Grant Act, 42 U.S.C. 398; Family Violence Prevention and Services Act, as amended, Section 307 (a)(1) and (2), Public Law 98-457; Americans with Disabilities Act of 1990, Title II, Subtitle A, Public Law 101-336; Small Business Job Protection Act of 1996, Section 1808, Public Law 104-188; Health Insurance Portability and Accountability Act of 1996 (HIPAA), 42 U.S.C. 1320d-2, 45 CFR Part 160 and Subparts A and E of Part 164--Standards for Privacy of Individually Identifiable Health Information (the "Privacy Rule"); Public Health Service Act, Title IX, 42 U.S.C. 299b-22, as amended by the Patient Safety and Quality Improvement Act of 2005, Public Law 109-41; Part C of title XI of the Social Security Act, 42 U.S.C. 1320d-d-8, as amended by Section 105 of the Genetic Information Nondiscrimination Act of 2008 (GINA), Public Law 110233; Subtitle D of the HITECH Act, Public Law 111-5, 123 Stat. 115 (2009).

OBJECTIVES:

The Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) promotes and ensures that people have equal access to and the opportunity to participate in and receive services from all HHS-funded programs without facing unlawful discrimination, and that the privacy of their health information is protected. OCR protects the civil rights of all individuals

who are subject to discrimination in health and human services programs and protect the health information privacy rights of consumers through investigations, voluntary dispute resolution, enforcement, technical assistance, policy development and information services.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING; INVESTIGATION OF COMPLAINTS

USES AND USE RESTRICTIONS:

The Office for Civil Rights (OCR) in the Department of Health and Human Services (HHS) is responsible for promoting and ensuring that people have equal access to and the opportunity to participate in and receive services from all HHS-funded programs without facing unlawful discrimination, and that the privacy of their health information is protected. These HHS funded programs are provided through state agencies, nursing homes, skilled nursing facilities, medical laboratories, hospitals, day care centers, social service agencies and other providers. Any person who believes that an individual has been discriminated against in the provision of these services because of race, color, national origin, disability, age, sex or religion, may file a complaint with OCR. An individual who believes that discrimination on the basis of disability has occurred in a health or social services program of a state or local government entity may file a complaint with OCR under Title II of the Americans with Disabilities Act of 1990, regardless of whether the entity receives Federal funds. Individuals may also file complaints of denials of health care for reasons other than the need and availability of services, by recipients of Hill-Burton grants and loans. In addition, a person may file a complaint under the Multiethnic Placement Act if 1994, as amended by Section 1808 of the Small Business Job Protection Act of 1996, alleging that an adoption or foster care agency or entity funded by HHS discriminatorily relied on a parents or child's race, color, or national origin in making a placement decision. OCR will investigate complaints and take steps to ensure compliance with applicable civil rights laws.

OCR also enforces the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule as well as the Patient Safety and Quality Improvement Act (PSQIA) of 2005. OCR's activities under the Privacy Rule include enforcement actions, including conducting investigations, taking compliance actions, and making penalty determinations, and conducting public education, technical assistance and other outreach activities: to health care providers, health care clearinghouses and health plans, to ensure that they understand their responsibilities under the Rule; to other entities that may be impacted by the Rule, including Federal, State and local government agencies, researchers, and business associates of covered entities; and to consumers to inform them of their rights under the Privacy Rule. Anyone who believes that a person, agency or organization covered under the HIPAA Privacy Rule ("a covered entity") has violated the Privacy Rule may file a complaint with OCR. OCR's activities under PSQIA include administering an enforcement program regarding the confidentiality protections, including conducting investigations, taking compliance actions, and making penalty determinations and interpreting standards for confidentiality protections and the enforcement program for guidance and technical assistance to Patient Safety Organizations (PSOs) and providers and public information.

Applicant Eligibility:

Anyone who believes he or she has been discriminated against in the manner outlined above or seeks information concerning civil rights, and recipients of Federal financial assistance who desire technical assistance and information for the purpose of assuring their compliance with nondiscrimination laws. Regarding the HIPAA Privacy Rule, anyone who believes that the Privacy Rule has been violated in the manner outlined above, or who seeks information about the Rule; consumers that need to know their rights under the Rule; and entities that need technical assistance and information for the purpose of assuring compliance with the Privacy Rule.

Beneficiary Eligibility:

Individuals subject to discrimination and recipients who require technical assistance and information. Regarding the HIPAA Privacy Rule, individuals subject to violation of their health information privacy, covered entities, and others needing or seeking technical assistance and information concerning the Rule.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Complaints of discrimination and alleged violations of the HIPAA Privacy Rule may be filed, and technical assistance information and public education may be requested, at the Headquarters and Regional Office locations of the Office for Civil Rights.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

A complaint must be filed not later than 180 days of the alleged discrimination, unless the time for filing is waived for good cause. Complaints under the Privacy Rule must be filed within 180 days of the date when the complainant knew, or should have known, that the act or omission complained of occurred, unless the time limit is waived for good cause.

Appeals:

Individuals can appeal a civil rights discrimination complaint determination in some instances by submitting, in writing, a request for reconsideration to the Director, Office for Civil Rights. OCR imposes civil money penalties (CMPs) on a covered entity found to be in violation of the HIPAA Privacy Rule. If CMPs are imposed by OCR, the covered entity may request a hearing in which an HHS administrative law judge (ALJ) decides if the CMPs are supported by the evidence in the case. OCR is required to present the evidence to support the imposition of CMPs to the ALJ. The covered entity may also then appeal the ALJ's decision to the HHS Departmental Appeals Board (DAB) and ultimately to the U.S. Courts of Appeal.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0135-0-1-751.

Obligations:

(Salaries) FY 09 \$40,099,000; FY 10 est \$41,099,000; FY 11 est \$44,382,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: OCR received approximately 9,900 individual complaints will be filed in FY 2009 (2,500 civil rights discrimination cases and 7,400 Privacy Rule cases); OCR completed action on approximately 10,400 complaint

cases (2,550 discrimination and 7,850 Privacy Rule). OCR completed 2,415 compliance reviews (including 2,385 pre-grant and 30 post-grant reviews) in FY 2009 and provided information and training to more than 95,400 individuals. HIPAA PRIVACY RULE -- In FY 2009 OCR published guidance on the limited circumstances when the Secretary of HHS may waive sanctions and penalties against a covered hospital that does not comply with certain provisions of the HIPAA Privacy Rule following a Presidential emergency or disaster declaration or a Secretarial declaration of a public health emergency. To promote the adoption of health information technology, OCR published in December 2009 a series of fact sheets that clarify how the HIPAA Privacy Rule applies to, and can be used to help structure the privacy policies behind, electronic health information exchange in a networked environment. This guidance was developed in conjunction with the Office of the National Coordinator for the Privacy and Security Toolkit, which implements the principles in The Nationwide Privacy and Security Framework for Electronic Exchange of Individually Identifiable Health Information. In January 2009, OCR and CVS pharmacy chain entered in to a resolution agreement to settle allegations of unsecure disposal of protected health information in dumpsters accessible to the public, for a resolution amount of \$2.25 million and a 3-year corrective action plan settlement and take corrective action to ensure the safe and proper disposal of patient information. In a coordinated action, CVS also signed a consent decree with the Federal Trade Commission, (FTC) to settle potential violations of FTC law. OCR and the FTC conducted their investigations jointly. This is the first instance in which OCR has coordinated investigation and resolution of a case with the FTC. Fiscal Year 2010: OCR projects that approximately 11,400 individual complaints will be filed in FY 2010 (3,100 civil rights discrimination cases and 8,300 Privacy Rule cases); OCR is projected to complete action on approximately 13,725 complaint cases (3,600 discrimination and 10,125 Privacy Rule). OCR is also projected to complete 2,425 compliance reviews (including 2,400 pre-grant and 25 post-grant reviews) in FY 2010 and to provide information and training to more than 98,200 individuals. Fiscal Year 2011: OCR projects that approximately 13,200 individual complaints will be filed in FY 2011 (3,900 civil rights discrimination cases and 9,300 Privacy Rule cases); OCR is projected to complete action on approximately 14,825 complaint cases (3,700 discrimination and 11,125 Privacy Rule). OCR is also projected to complete 2,725 compliance reviews (including 2,700 pre-grant and 25 post-grant reviews) in FY 2011 and to provide information and training to more than 201,200 individuals.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title VI of the Civil Rights Act of 1964--the implementing regulation (45 CFR 80), "Your Rights Under Title VI of the Civil Rights Act of 1964 fact sheet, "Know Your Rights" brochure regarding national origin discrimination and limited English proficiency, a video in VHS and DVD formats entitled "Title VI Limited English Proficiency"; Section 504 of the Rehabilitation Act of 1973--the implementing regulation for HHS funded programs and activities (45 CFR 84), and fact sheets "Your Rights Under Section 504," "Your Rights as a Person with HIV Infection, AIDS, or Related Conditions," "Your Rights Under Section 504 and the Americans with Disabilities Act," and the HHS Section 504 federally conducted regulation (45 CFR 85); Age Discrimination Act of 1975--implementing regulations, HHS (45 CFR 91) and government-wide (45 CFR 90), and fact sheet "Your Rights Under the Age Discrimination Act"; Community Service Assurance of the Hill-Burton regulation (42 CFR 124) and fact sheet "Community Service Assurance Under the Hill-Burton Act"; Sex Discrimination in Health-Related Training Programs regulation (45 CFR 83); fact sheets on: Section 1808/Multiethnic Placement Act (MEPA); the Americans with Disabilities Act (ADA); "How to File a Discrimination Complaint with OCR"; "Know Your Civil Rights"; Civil Rights Frequently Asked Questions; a video presentation: OCR guidance on Temporary Assistance for Needy Families (TANF) and Disability; OCR guidance documents and questions and answers on MEPA; various technical assistance materials for Medicare providers and applicants, including a sample nondiscrimination policy, LEP policy, auxiliary aids policy, accessibility policy, and grievance procedure, and various other civil rights publications.

HIPAA regulations and standards, including the Privacy Rule, 45 CFR Part 160 and Subparts A and E of Part 164; "Your Health Information Privacy Rights"; "Privacy and Your Health Information"; fact sheets and guidance on specific aspects of the Privacy Rule, and additional compliance tools such as "Am I a

Covered Entity" and Frequently Asked Questions (FAQs). A complete list of Privacy Rule publications is available. There is also a brochure titled "Protecting Your Civil Rights in Health and Social Services and Your Health Information Privacy Rights," which provides general information about the Office for Civil Rights and its services. All literature is available at no charge; however, because of budgetary constraints and availability of stock, quantities may be limited. Most publications can be downloaded from OCR's website and are available in languages other than English. All publications are available in alternative formats for individuals with disabilities.

Regional or Local Office:

See Regional Agency Offices. Region I - Boston (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
 Peter Chan, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 Government Center
 J.F. Kennedy Federal Building - Room 1875
 Boston, MA 02203
 Voice phone(617)565-1340
 FAX (617)565-3809
 TDD (617)565-1343

Region II - New York (New Jersey, New York, Puerto Rico, Virgin Islands)
 Michael Carter, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 Jacob Javits Federal Building
 26 Federal Plaza - Suite 3312
 New York, NY 10278
 Voice Phone (212)264-3313
 FAX (212)264-3039
 TDD (212)264-2355

Region III - Philadelphia (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
 Paul Cushing, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 150 S. Independence Mall West
 Suite 372, Public Ledger Building
 Philadelphia, PA 19106-9111
 Main Line (215)861-4441
 Hotline (800) 368-1019
 FAX (215)861-4431
 TDD (215)861-4440

Region IV - Atlanta (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
 Roosevelt Freeman, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 Atlanta Federal Center, Suite 3B70
 61 Forsyth Street, S.W.
 Atlanta, GA 30303-8909
 Voice Phone (404)562-7886
 FAX (404)562-7881
 TDD (404)331-2867

Region V - Chicago (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
 Valerie Morgan-Alston, Regional Manager
 Office for Civil Rights
 U.S. Department of Health and Human Services
 233 N. Michigan Ave., Suite 240
 Chicago, IL 60601
 Voice Phone (312)886-2359
 FAX (312)886-1807
 TDD (312)353-5693

Region VI - Dallas (Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Ralph Rouse, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
1301 Young Street, Suite 1169
Dallas, TX 75202
Voice Phone (214)767-4056
FAX (214)767-0432
TDD (214)767-8940

Region VII - Kansas City (Iowa, Kansas, Missouri, Nebraska)
Frank Campbell, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
601 East 12th Street - Room 248
Kansas City, MO 64106
Voice Phone (816)426-7277
FAX (816)426-3686
TDD (816)426-7065

Region VIII - Denver (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Velveta Howell, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
1961 Stout Street -- Room 1426 FOB
Denver, CO 80294-3538
Voice Phone (303)844-2024
FAX (303)844-2025
TDD (303)844-3439

Region IX - San Francisco (American Samoa, Arizona, California, Guam, Hawaii, Nevada)
Michael Kruley, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
90 7th Street, Suite 4-100
San Francisco, CA 94103
Voice Phone (415)437-8310
FAX (415)437-8329
TDD (415)437-8311

Region X - Seattle (Alaska, Idaho, Oregon, Washington)
Linda Yuu Connor, Regional Manager
Office for Civil Rights
U.S. Department of Health and Human Services
2201 Sixth Avenue - M/S: RX-11
Seattle, WA 98121-1831
Voice Phone (206)615-2290
FAX (206)615-2297
TDD (206)615-2296.

Headquarters Office:

Director, Office for Civil Rights, Hubert H. Humphrey Building - Mail Stop
Room 509-F6, 200 Independence Ave. S.W., Washington, District of Columbia
20201 Email: OCRMail@hhs.gov Phone: (202) 619-0403.

Website Address:

<http://www.hhs.gov/ocr>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.004 COOPERATIVE AGREEMENTS TO IMPROVE THE HEALTH STATUS OF MINORITY POPULATIONS

NUCA

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended, U.S.C.

OBJECTIVES:

To provide support for activities which have the potential to improve the health status and/or quality of life of racial/ethnic minorities, with the objective of reducing the excessive burden and death borne by minority and disadvantaged populations in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for support of projects or activities consistent with the mission of the Office of Minority Health. Funds are not to be used for the provision of health care services, construction, augmentation of on-going Office of Minority Health (OMH) supported demonstrations, or supplementation of on-going project activities.

Applicant Eligibility:

Public and private nonprofit entities may apply. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of the minority and disadvantaged groups: Blacks; Hispanics; American Indians; Alaska Natives; Asian/American Pacific Islanders; or subgroups of these.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information and application kits may be requested by calling 240-453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreements will have a project period not to exceed 5 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$12,000,000; FY 10 est \$12,000,000; FY 11 est \$12,000,000

Range and Average of Financial Assistance:

\$150,000 to 3,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations
Tower Building, Suite 600, 1101 Wootton Parkway
Rockville, MD 20852. Telephone at (240) 453-8444

Grants Management Contact:
Office of Grants Management, 1101 Wootton Parkway, Suite 550,
Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550
1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov
Phone: (240) 453-8822.

Website Address:

<http://www.OMHRC.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.006 STATE AND TERRITORIAL AND TECHNICAL ASSISTANCE CAPACITY DEVELOPMENT MINORITY HIV/AIDS DEMONSTRATION PROGRAM

CTA/CD

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

A collaborative effort to demonstrate that the involvement of State and Territorial Offices of Minority Health in coordinating a statewide response to the HIV/AIDS crisis in minority communities can have a greater impact on the communities' understanding of the disease, and the coordination of prevention and treatment services for minority populations, than agencies and/or organizations working independently; and to stimulate and foster the development of effective and durable service delivery capacity for HIV prevention and treatment among organizations closely linked with the minority populations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to support minority-targeted health education and prevention strategies which will help to eliminate or reduce the risk for acquiring or transmitting HIV/AIDS and increase access to services and treatment; and to provide technical assistance and capacity development to community based minority-serving organizations to enhance their delivery capacity for HIV/AIDS prevention and treatment. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities.

Applicant Eligibility:

State/Territorial Offices of Minority Health or, for those states and territories that do not have established Office of Minority Health, a state or territorial minority health entity located within a State or Territorial Department of Health which functions in the capacity of an Office of Minority Health; and community based, minority-serving organizations.

Beneficiary Eligibility:

Members of the minority groups: American Indians or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; or subgroups of these populations.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission, where applicable. Documentation to verify official status as a State or Territorial Office of Minority Health signed by a State/territorial level authorizing official (e.g., Governor or designated official, Commissioner of Health or designee) or documentation to verify official status as a State or territorial minority health entity signed by the Commissioner of Health or designee in the Department of Health, as applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information and application kits may be requested by calling 240-453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Varies, but a project period is generally limited to 3 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The grants will have a project period not to exceed 3 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedure will be provided at the time the award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$2,900,000; FY 10 est \$2,900,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$210,000 to \$230,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations,
1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact:

Office of Grants Management,
1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.007 PUBLIC AWARENESS CAMPAIGNS ON EMBRYO ADOPTION

Embryo

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

This program is authorized by Section 1704 of the Public Health Service Act, 42 U.S.C. Section 300u-3. , 42 U.S.C 300u-3.

OBJECTIVES:

To fund public awareness campaigns on embryo adoption. The intent is to fund at least one project in each of three distinct categories (1) training for professionals to equip them with the specific knowledge and skills to support the unique needs of embryo donors; (2) regional/local strategies for informing and educating professional audiences; and (3) the use of new media with the goal of increasing public awareness regarding embryo donation and/or adoption. Entities may apply for more than one category; however, a separate application is required for each category identified in this announcement. Applicants should demonstrate experience with embryo donation and/or adoption programs that conform to professionally recognized standards governing embryo donation and/or adoption and other applicable Federal or

State requirements. For the purposes of this announcement, embryo donation and/or adoption is defined as the donation of frozen embryo(s) from one party to a recipient who wishes to bear and raise a child or children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The increasing success of assisted reproductive technologies (ART) has resulted in a situation in which an infertile couple typically creates several embryos through in-vitro fertilization (IVF). During IVF treatments, couples may produce many embryos in an attempt to become pregnant with several being cryopreserved (frozen) for future use. If a couple becomes pregnant without using all of the stored embryos, they may choose to release the remaining unused embryos for donation and adoption allowing other infertile couples the experience of pregnancy and birth. Embryo donation and/or adoption is a relatively new process in which individuals who have extra frozen embryos agree to release the embryos for transfer to the uterus of another woman, either known or anonymous to the donor(s) for the purpose of the recipient(s) attempting to bear a child and be that child's parent.

Applicant Eligibility:

Eligible applicants include public agencies, nonprofit organizations, and for-profit organizations. Eligibility to compete for this announcement is limited to particular applicant organizations. Only agencies and organizations, not individuals, are eligible to apply. One agency must be identified as the applicant organization and will have legal responsibility for the project. Additional agencies and organizations can be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of the recipients. Faith-based and community-based organizations meeting the eligibility requirements may apply, or they may be included as co-participants, subgrantees, subcontractors, or collaborators if they will assist in providing expertise and in helping to meet the needs of recipients. Eligibility is limited to organizations that can demonstrate previous experience with embryo adoption and are knowledgeable in all elements of the process of embryo adoption.

Beneficiary Eligibility:

Potential donors and/or recipients of frozen embryos.

Credentials/Documentation:

Proof of nonprofit status is required of nonprofit organizations and institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be prepared on the forms supplied and in the manner prescribed in the application kits provided by the Office of Public Health and Science (OPHS). The application must be signed by an individual authorized to act for the applicant agency and to assume responsibility for the obligations imposed by the terms and conditions of the grant award. Each application will be evaluated individually against the following four criteria by an objective review panel appointed by the OPHS. Before the review panel convenes, each application will be screened for applicant organization eligibility, as well as to make sure the application contains all the essential elements. Applications received from ineligible organizations and applications received after the deadline will be withdrawn from further consideration. A panel of at least three reviewers will use the evaluation criteria listed below to determine the strengths and weaknesses of each application, provide comments and assign numerical scores. Applicants should address each criterion in the project application. The point values (summing up to 100) indicate the maximum numerical weight each criterion will be accorded in the review process.

Award Procedure:

The results of a competitive review are a primary factor in making funding decisions. In addition, Federal staff will conduct administrative reviews of the applications and, in light of the results of the competitive review, will recommend applications for funding to the Assistance Secretary for Health (ASH). The ASH reserves the option of discussing applications with other funding sources when this is in the best interest of the Federal government. The ASH may also solicit and consider comments from Public Health Service Regional Office staff and others within Department of Health and Human Services (DHHS) in making funding decisions. The ASH makes final decisions regarding the applications to be funded. The OPHS does not release information about individual applications during the review process. When final decisions have been made, successful applicants will be notified by letter of the outcome of the final funding decisions. The official document notifying an applicant that a project has been approved for funding is the Notice of Grant Award (NGA), which sets forth the amount of funds granted, the terms and conditions of the award, the effective date of the grant, the budget period for which initial support will be given, and the total project period for which support is contemplated. The ASH will notify an organization in writing when its application will not be funded. Every effort will be made to notify all unsuccessful applicants as soon as possible after final decisions are made.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. From 1-2 months.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are approved for one year period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant Project periods are for 24 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Health and Human Services (HHS) and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR Part 74.53, and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$4,000,000; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

\$350,000-500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Office of Population Affairs, Office of Public Health and Science, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville MD, 20852. Telephone: (240) 453-2828.

DHHS, Office of Public Health and Science, Office of Grants Management 1101 Wootton Parkway, Suite 550, Rockville MD, 20852. Telephone: (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

1: Objectives and Need for Assistance (30 Points) Applicants must demonstrate a clear understanding of the legislative goals and demonstrate how their approach to the design of a public awareness campaign will contribute to achieve the legislative goals. Criterion 2: Approach (30 Points) In this section, applicants are expected to define goals and specific, measurable objectives for the: (A) Design of the public awareness campaign, (B) implementation, (C) evaluation, and (D) dissemination. 3: Organizational Profile (20 Points) Applicants need to demonstrate that they have the capacity to implement the proposed program. This criterion consists of three broad topics: (A) Management plan, (B) staff qualifications, and (C) organizational capacity and resources. 4: Budget and Budget Justification (20 Points) Applicants are expected to present a budget with reasonable project costs, appropriately allocated across component areas and sufficient to accomplish the objectives. Applicants are expected to allocate sufficient funds in the budget to provide for the project director to attend a two-day orientation meeting in the Washington, DC area.

93.008 MEDICAL RESERVE CORPS SMALL GRANT PROGRAM

Medical Reserve Corps (MRC)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 1703 of the Public Health Service (PHS) Act.

OBJECTIVES:

To support the development of Medical Reserve Corps (MRC) units in communities throughout the United States to: (1) increase capacity at the community level to respond during emergencies which have medical consequences, and (2) improve public health in communities through volunteerism on an ongoing basis.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used for establishment of community-based, citizen volunteer Medical Reserve Corps. Funds may be used for organizing, volunteer

recruitment, assessment of risks and vulnerabilities, strategy development, planning, training, drills/practice, supplies and equipment.

Applicant Eligibility:

Eligible applicants for this funding opportunity are national-level nonprofit organizations with significant local, state and national networking connections. The applicant organization must demonstrate.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. Costs will be determined in accordance with 45 CFR 74 and 92. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Final award decisions will be made by the Director of the Office of the Civilian Volunteer Medical Reserve Corps. In making this decision, the Director will fund one cooperative agreement and will consider:

- (1) recommendations of the review panel;
- (2) reviews for programmatic and grants management compliance;
- (3) the reasonableness of the estimated cost to the government considering the available funding and anticipated results; and
- (4) the likelihood that the proposed project will result in the expected benefits.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

This Cooperative Agreement will have a project period not to exceed 5 years, funds will be allotted on 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Necessary instructions regarding payment procedures will be provided at the time of the award. Method of awarding/releasing assistance: quarterly.

Reports:

Progress reports are required semi-annually, a final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report is due 90 days following the end of the project period. In addition to the required semi-annual progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In

accordance with the provisions of OMB Circular No. A- 133 "Audit of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt as noted in Circular No. A- 133. In addition, grants and cooperative agreements are subject to inspection and audits by HHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit finding(s).

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$6,775,000; FY 11 est \$7,000,000

Range and Average of Financial Assistance:

Only one Cooperative Agreement awarded at the amount of \$6,775,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Brad Austin, MPH, FACHE
Captain, U.S. Public Health Service
Senior Program Officer
Office of the Civilian Volunteer Medical Reserve Corps
Office of the Surgeon General
U.S. Department of Health & Human Services
Room 18C-14, Parklawn Building
5600 Fishers Lane, Rockville, MD 20857
Phone (301) 443-1388
Brad.Austin@hhs.gov.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.medicalreservecorps.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) Implementation plan which describing linkages to existing community resources, the role the Medical Reserve Corps will most likely have, and the time frame for planning and implementation; 2) Management plan describing the organization's capabilities; 3) Evaluation plan describing program goals and how those goals will be assessed; 4) Supporting documentation detailing how the Medical Reserve Corps is connected to a Citizen Corps Council; and 5) Background on the adequacy of demonstrated knowledge of emergency medical response/care systems and utilization of volunteers.

93.009 COMPASSION CAPITAL FUND

CCF Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Section 1110 of the Social Security Act governing Social Services Research and Demonstration activities; the Departments of Labor, Health and Human

Services, and Education, and Related Agencies Appropriations Act of 2004., Public Law 107-116.

OBJECTIVES:

To provide grants to charitable organizations to emulate model social service programs and to encourage research on the best practices of social service organizations. This is accomplished through the goal of assisting faith-based and community organizations to increase their effectiveness, enhance their ability to provide social services, expand their organizations, diversify their funding sources, and create collaborations to better serve those most in need. This is accomplished by funding experienced intermediary organizations in well-defined geographic locations with a proven track record of providing technical assistance to smaller faith-based and community organizations in their communities, providing one-time \$50,000 grants to smaller faith-based and community organizations to build their capacity, and providing grants to strengthen broad-based collaborative community that are working to reduce gang involvement, youth violence, and child abuse and neglect and to foster positive youth development.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Intermediary organizations use the CCF funds to provide two services within their communities: 1) Training assistance to faith-based and community organizations; and 2) Financial support through sub-awards to some subset of the faith-based and community organizations in their communities. Technical assistance activities funded under CCF are to be conducted at no cost to interested faith-based and community organizations. Applicants must have demonstrated experience in the delivery of capacity-building assistance to smaller organizations in several of the following areas: strategic planning, financial management, board development, fund development, and outcome measurement. Technical assistance should be provided on a long-term, on-going basis to smaller organizations. The program goals are further accomplished through the issuance of sub-awards by the funded intermediary organizations to a diverse set of small faith-based and community organizations that seek to increase program and organizational effectiveness for a 12-month period. Sub-award recipients must adhere to the following: sub-award recipients must be chosen from fair and open competitive process; sub-award recipients must not be pre-selected; the awarding process must be fair and open competition and include outreach to both faith-based and community organizations; intermediary organizations must provide on-going technical assistance and capacity-building support to the organizations to which they issue sub-awards; and the criteria for selection must not include consideration of the religious nature of the program it offers. Priority for sub-awards is given to organizations that historically have not received grants from the Federal government. Intermediaries must not require sub-award applicants to provide matching funds even if the applicants offer matching funds in their applications. Intermediaries must not require sub-award applicants to have 501 (c) (3) status or to identify a sponsoring organization with 501 (c) (3) status; Organizations that partner with an intermediary to deliver technical assistance or provide a cost-sharing fund for the proposed project are not to be eligible for sub-awards, unless approved by the Administration for Children and families; Sub-awards should not be used to assist organizations in differing stages of development. Sub-awards may also be provided to promising organizations to expand the reach of existing programs; Sub-awards must not be used to provide direct services, but rather improve the sub-awardee's efficiency and capacity. The Office of Community Services (OCS) will not fund any project, where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant. The applicant must have a substantive role in the implementation of the project for which funding is requested. This prohibition does not bar the making of sub-grants or sub-contracting for specific services or activities needed to conduct the project. CCF monies shall not be used to support inherently religious practices such as religious instruction, worship, or proselytization. Grant or sub-award recipients, therefore, may not and will not be selected based on religious criteria. Neutral, non-religious criteria that neither favor nor disfavor religion must be employed in a selection of a grantee and sub-award recipients.

Applicant Eligibility:

Program is no longer accepting grant applications.

Beneficiary Eligibility:

Program is no longer accepting grant applications.

Credentials/Documentation:

Program is no longer accepting grant applications. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Program is no longer accepting applications. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. This program is no longer accepting applications.

Award Procedure:

This program is no longer accepting applications.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The Demonstration program award grants for one project period for 12 months. See the following for information on how assistance is awarded/released: Not Applicable.

Reports:

CCF recipients are required to submit semi-annual program progress reports. grantees are required to submit semi-annual financial status reports using the required standard form (SF-269) which is located on the Internet at: <http://forms.psc.gov/forms/sf/SF-269.pdf>. CCF recipients are required to submit semi-annual program progress reports. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

CCF complies with 74.50 Purpose of reports and records, 74.51 Monitoring and reporting program performance and 74.52 Financial reporting of the Code of Federal Regulations.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$19,315,089; FY 10 est not reported.; FY 11 est not reported. - This program did not receive funding in FY 2010 and no funding was requested in FY 2011.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 111 grants were awarded. Fiscal Year 2010: Not Applicable.

Fiscal Year 2011: Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Program Manager, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, DC 20447. Contact: Thom Campbell, Telephone: (202) 401-5483 (office); (202) 401-4694 (fax); thom.campbell@acf.hhs.gov.

Headquarters Office:

Thom Cambell Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, District of Columbia 20447
Email: thom.campbell@acf.hhs.gov Phone: (202) 401-5483 Fax: (202) 401-4694

Website Address:

<http://www.acf.hhs.gov/programs/ccf>

RELATED PROGRAMS:

93.711 ARRA STRENGTHENING COMMUNITIES FUND

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.010 COMMUNITY-BASED ABSTINENCE EDUCATION (CBAE) (CBAE)**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Title XI, Section 1110 of the Social Security Act.

OBJECTIVES:

To promote abstinence education, as defined by Section 510(b)(2) in Title V of the Social Security Act, for adolescents aged 12 through 18. The entire focus of these programs is to educate young people and create an environment within communities that supports teen decisions to postpone sexual activity until marriage. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Pursuant to Section 510(b)(2) of Title V of the Social Security Act, the term "abstinence education," for purposes of this program means an educational or motivational program that:

- (A) Has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity;
- (B) Teaches abstinence from sexual activity outside marriage as the expected standard for all school age children;
- (C) Teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems;
- (D) Teaches that a mutually faithful monogamous relationship in the context of marriage is the expected standard of human sexual activity;
- (E) Teaches that sexual activity outside of the context of marriage is likely to have harmful psychological and physical effects;
- (F) Teaches that bearing children out-of-wedlock is likely to have harmful consequences for the child, the child's parents, and society;
- (G) Teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and
- (H) Teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

Public and private agencies responsible for the administration (or supervision of the administration) of projects under Section 1110 of the Social Security Act that teach abstinence, using the definitions contained in Section 510(b)(2) of the Act, to adolescents ages 12 through 18. Eligible applicants include: State governments; County governments; City or Township governments; independent school districts; private institutions of higher education; special district governments; public and State-controlled institutions of higher education; Native American Tribal governments (Federally recognized); public housing authorities/Indian housing authorities; Native American Tribal organizations (other than Federally recognized Tribal governments); non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education; non-profits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education; for-profit organizations other than small businesses; and, small businesses. Faith-based and community organizations that meet the statutory eligibility requirements are eligible to apply under this announcement. Sex education programs that promote the use of contraceptives are not eligible for funding under this program. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

Beneficiary Eligibility:

Adolescents ages 12 through 18.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Specific instructions are published in a program announcement made available through www.grants.gov.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts that assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

Appeals:

Other - Not Specified.

Renewals:

From 60 to 90 days. Awards are generally made for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Grant applications will be considered on a noncompetitive basis for years two through five subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are generally made for a 5-year project period. In the first year of the

project, grants will be awarded on a competitive basis. Grant applications will be considered on a noncompetitive basis for years two through five subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a five-year project period. The CBAE program was discontinued in FY10. No new funds will be available and no new awards will be made. See the following for information on how assistance is awarded/released: All grant-funded work must be completed on or before October 29, 2010.

Reports:

Grantees are required to submit cash transaction reports, progress reports, and expenditure reports. Cash reports are not applicable. Grantees are required to submit program progress reports semi-annually throughout the project period. Program progress reports are due 30 days after the reporting period. Final programmatic reports are due 90 days after the close of the project period. Grantees are required to submit financial reports (SF-269) semi-annually throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Performance monitoring occurs by reviewing program progress reports, conducting monitoring phone calls and conducting monitoring site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 09 \$99,086,734; FY 10 est \$0; FY 11 est \$0 - In FY 09, 171 grants were continued and \$6,437,261 was awarded for contracts. The proposed budget for FY 10 and FY 11 makes no provision for the CBAE program.

Range and Average of Financial Assistance:

\$250,000 to \$600,000; \$425,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 171 grants were awarded in FY 09. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following address:
<http://www.acf.hhs.gov/programs/fysb>.

Regional or Local Office:

None.

Headquarters Office:

Debbie Powell, 2150 Maryland Ave., SW, Washington, District of Columbia 20447 Email: debbie.powell@acf.hhs.gov Phone: (202) 205-2360.

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.111 Adolescent Family Life Research Grants; 93.235 Abstinence Education Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address:
http://www.acf.hhs.gov/grants/grants_fysb.html.

93.015 HIV PREVENTION PROGRAMS FOR WOMEN

(HIV Prevention Programs for Women)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Executive Order 42 U.S.C. 300u-2(a)(1), 300u-3, and 300u-6(e) . 42 U.S.C 300u-2(a)(1).

OBJECTIVES:

HIV Prevention for Women Living in the Rural South: (1) Increase knowledge of accurate HIV prevention information among women living in rural communities in the south; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural communities in the south; (3) improve receptivity to and awareness of HIV prevention education, necessary to reduce the stigma among women in rural southern communities; and (4) increase the number of women living in the rural south, who voluntarily receiving HIV testing. HIV Prevention for Young Women Attending Minority Institutions: (1) Increase on campus activities targeting women at risk for HIV infection; (2) improve HIV prevention education efforts involving women on campus; (3) increase knowledge base of accurate HIV/STD prevention information among women attending minority institutions; and (4) improve access to HIV health related services for women attending minority institutions. HIV Prevention for Women Living in the Rural and Frontier Indian Country: (1) Increase knowledge of accurate HIV prevention information among women living in Indian Country; (2) improve and increase access to quality HIV prevention services to women living with or at high risk for HIV infection in rural and frontier Indian Country; (3) improve receptivity to and awareness of HIV prevention education necessary to reduce the stigma among women in rural and frontier Indian Country; and (4) increase the number of women living in rural and frontier Indian Country, who voluntarily receiving HIV testing. HIV Prevention for Women Incarcerated and Newly Released: (1) Increase the number of incarcerated women receiving pre-release discharge planning, particularly those who are living with HIV or at high risk for HIV infection; (2) increase the number of HIV infected incarcerated women who are connected to drug assistance programs, medical care, and case management services prior to release or at time of release; (3) increase the number of community linkages and networks for ensuring continuum of care for incarcerated and newly released women living with or at high risk for HIV in locations with high rates of HIV infections and incarcerated populations; and (4) increase the number of newly released women receiving support services and HIV care six months post release. HIV Prevention for Women Living in the U.S. Virgin Islands & Puerto Rico: (1) Increase access to quality care and treatment for women living with HIV infection; (2) improve and increase access to secondary HIV prevention services to women living with HIV infection and primary prevention for those women at risk for infection; (3) increase understanding of living with HIV, disease management, and treatment adherence; and (4) increase community support activities for women living with HIV and their families.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

The applicant must be a Public College or University; a Historically Black College or University; a Hispanic Serving Institution; a Tribal College or University; a Private Non-Profit Community-Based Organization; a Native

American Tribal Organization; and/or a Faith-Based Community Organization serving underserved women. Small businesses or organizations not in an official partnership with a qualified institution are not eligible for funding under this announcement.

Beneficiary Eligibility:

Underserved women living in the Rural South; Young Women Attending Minority Institutions; Native/American Indian and Alaskan Native Women Living in Rural and Frontier Indian Country; Women Incarcerated and Newly Released Living with or at Risk for HIV/AIDS/STDs; and Women Living in Puerto Rico and the U.S. Virgin Islands, will benefit from this program.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Division for Policy and Program Development, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

Support may be requested for a total project period not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support may be requested for a total project period not to exceed 5 years. Non-competing continuation awards of up to the amount specified in the announcement published in the Federal Register will be made subject to satisfactory performance and availability of funds. Method of awarding/releasing assistance: quarterly.

Reports:

Progress reports are required semi-annually, a final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report is due 90 days following the end of the project period. In addition to the required semi-annual progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In

accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$4,000,000; FY 10 est \$4,000,000; FY 11 est \$4,000,000

Range and Average of Financial Assistance:

For specific Information see the program announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.4woman.gov/owh> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicant must be a Public College or University; a Historically Black College or University; a Hispanic Serving Institution; a Tribal College or University; a Private Non-Profit Community-Based Organization; a Native American Tribal Organization; and/or a Faith-Based Community Organization serving underserved women. Small businesses or organizations not in an official partnership with a qualified institution are not eligible for funding under this announcement. For specific details see the full announcement published in the Federal Register.

93.017 STRENGTHENING THE MANAGEMENT AND SERVICES OF THE WOMEN'S AND CHILDREN'S HOSPITALS IN KABUL

Strengthening the Management and Services Abroad

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 103 (a) (1); Section 103 (a) (7) of Public Law 107-327; Public Health Service Act, Section 307.

OBJECTIVES:

The primary goal of this project is to improve the quality of care at health institutions in Afghanistan Hospital by developing effective leadership and management and improving operations. The funding will provide essential material resources within budgetary limitations for patient care, operations, facility management and for grant activities related to leadership and management development. To create equitable and high quality care through the acceptance and delivery of donated medical equipment, pharmaceuticals, and technology-related training for physicians, nurses, midwives, and other

health care workers at hospitals in Kabul, Afghanistan.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

Applications may be submitted by non-profit entities with offices in the United States and partner country or incorporated and headquartered in the United States with offices in the United States. Additionally, organizations or consortiums of organizations, including faith-based and community based organizations, that have collective experience with accepting donated medical technology, upgrading drug formularies, training health care providers, local and international transportation, and other logistics are encouraged to apply for a grant under this announcement.

Beneficiary Eligibility:

Underserved women and children receiving services.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts and of peer reviewers. Funding decisions will be determined by the Deputy Director, Asia and Pacific Division of the Office Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; program relevance and, availability of funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 30 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project period is for up to 3 years with annual renewals and budget periods and are contingent upon available funding.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is for up to 3 years with annual renewals and budget periods and

are contingent upon available funding. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees will submit quarterly an annual technical reports that include a detailed discussion of the process, impact, outcome and evaluation of the Program. Grantee must submit Cash Reports on a minimum schedule of each quarter throughout the budget period. Grantees will submit quarterly an annual technical reports that include a detailed discussion of the process, impact, outcome and evaluation of the Program. Grantee must submit an annual Financial Status Report (SF-269) in accordance with provisions of the general regulations which apply under Monitoring and Reporting Program Performance, 45 CFR parts 74 and 92. The performance monitoring report is due annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$3,000,000; FY 10 est \$2,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the HHS Grants Policy Statement (DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

Regional or Local Office:

None. Office of Global Health Affairs
U.S. Department of Health and Human Services
200 Independence Ave., S.W., Room 639 H
Washington, D.C. 20201
Phone: (202) 690-6174
Fax: (202) 690-7127.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

www.globalhealth.gov/oirh.shtml .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit

by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according to the listed criteria in the Federal Register announcement.

93.018 STRENGTHENING PUBLIC HEALTH SERVICES AT THE OUTREACH OFFICES OF THE U.S.-MEXICO BORDER HEALTH COMMISSION

Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

22 U.S.C 290n.

OBJECTIVES:

This initiative addresses and targets outreach and health promotion activities; evaluation and assessments; health data analysis and surveillance; public information, promotion and communication; Healthy Border/Healthy Gente activities; and will work with communities to implement programs to improve the health of border residents; and will publicize the achievements and challenges of border health; and will provide programmatic and administrative support to the members and staff of the United States - Mexico Border Health Commission. This assistance is geared to support current, on-going and proposed public health initiatives in this border region that support the goals and objectives of the United States - Mexico Border Health Commission as it serves to strengthen access to health care, disease prevention, and public health along the state border.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs. Funds shall not be used to fund direct health care services or equipment for patients (e.g. diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

This is a single eligibility cooperative agreement offered to the TX, NM, AZ, and CA State Department of Health. The State Department of Health will work with the Border State Outreach Office to accomplish the goals and objectives of the U.S.-Mexico Border Health Commission.

Beneficiary Eligibility:

Underserved individuals residing in border area communities, and increase assistance to United States- Mexico Border Health Commission.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

Project period is for 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Grants can have a project period not to exceed 4 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are required semi-annually, a final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. Progress reports are required semi-annually. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report is due 90 days following the end of the project period. In addition to the required semi-annual progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 09 \$2,600,000; FY 10 est \$2,600,000; FY 11 est \$2,600,000

Range and Average of Financial Assistance:

\$400,000 to \$475,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the application instructions, the Federal Register announcement, and the HHS Grants Policy Statement (HHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994); 45 CFR 100 applies.

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices. For assistance on programmatic issues, please contact: Mr. Dan ReynaMSS, MPA
General Manager, U.S. Section; U.S.-Mexico Border Health Commission; Office of Global Health Affairs; Office of the Secretary; Department of Health and Human Services, El Paso, Texas 79901. Telephone (915) 532-1006, ext. 13, FAX (915) 532-1697, e-mail Dan.Reyna@hhs.gov or Office of Global Health Affairs, 200 Independence Ave, SW, Room 639H, Washington, DC 20201.

Headquarters Office:

Eric C. West, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

www.globalhealth.gov/oirh.shtml .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according to the following criteria: Technical Approach, 45 points; Experience and Capabilities of the Organization, 45 points; and Facilities and Resources, 10 points.

93.019 TECHNICAL ASSISTANCE AND PROVISION FOR FOREIGN HOSPITALS AND HEALTH ORGANIZATIONS

(Technical Assistance and Provision for Foreign Hospitals and Health Organizations)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

- a. The Omnibus Appropriations Act, 2009, appropriated funds to HHS for carrying out Title III of the Public Health Service Act (PHS Act). Public Law 111-8, 123 Stat. 524, 777 (March 11, 2009).
- b. Pursuant to Section 307(a)(2) of the PHS Act, 42 U.S.C. Section 242l(a)(2), HHS is authorized to participate with other countries in cooperative endeavors in biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (PEPFAR Reauthorization Act). The purpose of the PEPFAR Reauthorization Act, 22 U.S.C. Section 7603, is to strengthen and enhance United States leadership and the effectiveness of the United States response to the HIV/AIDS, tuberculosis, and malaria pandemics and other related and preventable infectious diseases as part of the overall United States health and development agenda. , 42 U.S.C 242l(a)(2).

OBJECTIVES:

To provide support for a quality of care improvement project based in a partner healthcare institution around the world, to provide continuing education and refresher training to physicians and other staff at hospitals and other Health Organizations around the world, and strengthen support and relations with Ministers of Public Health (MOPH).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to cover costs of: personnel; consultants; grant related office supplies and software; grant related travel (domestic only); educational, promotional and evaluation materials; and other grant related costs.

Funds shall not be used to fund direct health care services or equipment for patients (e.g., diagnostic tests, screening equipment, treatment, etc.). Also, funds may not be used for: building alterations or renovations, construction, screening supplies or equipment, incentives and prizes, food, fund raising activities, political education and lobbying, and other activities that are not grant related.

Applicant Eligibility:

none.

Beneficiary Eligibility:

Citizens of the world.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. All grant announcements are posted on www.grants.gov. Application kits for new opportunities may be requested by calling (240) 453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Office of Global Health Affairs and will take into consideration the recommendations and ratings of the review panel; program needs, and stated preferences.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 60 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Support is recommended for a specified project period (5 years), and is not generally considered for renewal beyond that period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Within a

project period, continuation applications must be submitted annually on a noncompeting basis for each year of support. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$5,000,000; FY 10 est \$3,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

\$650,000.00 to \$3,000,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Measurable outcomes of the program were in alignment with one (or more) of the following performance goals: Increase access to care and improve quality of care; Improve disease prevention and health education; Improve workforce development and retention; Improve public health infrastructure; and Improve outreach and health promotion to the community. Fiscal Year 2010: Measurable outcomes of the program are in alignment with one (or more) of the following performance goals: Increase access to care and improve quality of care; Improve disease prevention and health education; Improve workforce development and retention; Improve public health infrastructure; and Improve outreach and health promotion to the community. Fiscal Year 2011: Measurable outcomes of the program will be in alignment with one (or more) of the following performance goals: Increase access to care and improve quality of care; Improve disease prevention and health education; Improve workforce development and retention; Improve public health infrastructure; and Improve outreach and health promotion to the community.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Global Health Affairs, DHHS, 200 Independence Ave., S.W., Room 639 H, Washington, DC 20201. Telephone: (202) 690-6174, Fax: (202) 690-7127.

Grants Management Contact:

Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

http://www.globalhealth.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be screened by OGHA staff for completeness and for responsiveness to the program guidance. Applicants should pay strict attention addressing these criteria, as they are the basis upon which applications will be judged. Those applications judged to be non-responsive or incomplete will be returned to the applicant without review. Applications that are complete and responsive to the guidance will be evaluated for scientific and technical merit by an appropriate peer review group specifically convened for this solicitation and in accordance with HHS policies and procedures. As part of the initial merit review, all applications will receive a written critique. All applications recommended for approval will be discussed fully by the ad hoc peer review group and assigned a priority score for funding. Eligible applications will be assessed according the following criteria: Technical Approach 45 points; Experience and Capabilities of the Organization 45 points; and Facilities and Resources 10 points.

93.041 SPECIAL PROGRAMS FOR THE AGING TITLE VII, CHAPTER 3 PROGRAMS FOR PREVENTION OF ELDER ABUSE, NEGLECT, AND EXPLOITATION

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, Title 7, Section 721.

OBJECTIVES:

To support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including: (1) providing for public education and outreach to identify and prevent elder abuse, neglect, and exploitation; (2) providing for public education and outreach to promote financial literacy and prevent identity theft and financial exploitation of older individuals; (3) ensuring the coordination of services provided by area agencies on aging with services instituted under the State adult protection service program, State and local law enforcement systems, and courts of competent jurisdiction; (4) promoting the development of information and data systems, including elder abuse reporting systems, to quantify the extent of elder abuse, neglect, and exploitation in the State; (5) conducting analyses of State information concerning elder abuse, neglect, and exploitation and identifying unmet service, enforcement, or intervention needs; (6) conducting training for individuals, professionals, and paraprofessionals, in relevant fields on the identification, prevention, and treatment of elder abuse, neglect, and exploitation, with particular focus on prevention and enhancement of self determination and autonomy; (7) providing technical assistance to programs that provide or have the potential to provide services for victims of elder abuse, neglect, and exploitation and for family members of the victims; (8) conducting special and on going training for individuals involved in serving victims of elder abuse, neglect, and exploitation, on the topics of self determination, individual rights, State and Federal requirements concerning confidentiality, and other topics determined by a State agency to be appropriate; (9) promoting the development of an elder abuse, neglect, and exploitation system; (10) examining various types of shelters serving older individuals (in this paragraph referred to as safe havens), and testing various safe haven models for establishing safe havens (at home or elsewhere), that recognize autonomy and self-determination, and fully protect the due process rights of older individuals; and (11) supporting multidisciplinary elder justice activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to State Agencies on Aging to develop and enhance comprehensive and coordinated programs for the prevention and treatment of elder abuse, neglect, and exploitation, consistent with relevant State law and coordinated with State adult protective service activities. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted for approval to the Assistant Secretary on Aging. Funds are used to develop, strengthen, and carry out activities for prevention and treatment of elder abuse, neglect and exploitation.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Individuals 60 years of age and older, targeting those older individuals with the greatest social needs and those with the greatest economic needs.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog).

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging after review and evaluation of submitted state plans.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute. However, the grants can be terminated by the Assistant Secretary for Aging if the required State Plan is not approved, or if the required intrastate funding formula is not approved.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

From 60 to 90 days. Applications are submitted for a 2-, 3-, or 4-year period and are revised as necessary.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program reports are required. Submitted through the payment management system. No progress reports are required. SF-269. Through the appropriate Regional Office.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$5,005,440; FY 10 est \$5,005,440; FY 11 est \$5,005,440

Range and Average of Financial Assistance:

Range: \$3,128 - 501,013

Average: \$89,383.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: States used this funding to support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including training law enforcement officers, health care providers, and other professionals on how to recognize and respond to elder abuse; supporting outreach and education campaigns to increase public awareness of elder abuse and how to prevent it; and supporting the efforts of state and local elder abuse prevention coalitions and multidisciplinary teams. Fiscal Year 2010: States use this funding to support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including training law enforcement officers, health care providers, and other professionals on how to recognize and respond to elder abuse; supporting outreach and education campaigns to increase public awareness of elder abuse and how to prevent it; and supporting the efforts of state and local elder abuse prevention coalitions and multidisciplinary teams. Fiscal Year 2011: States will use this funding to support activities to develop, strengthen, and carry out programs for the prevention, detection, assessment, and treatment of, intervention in, investigation of, and response to elder abuse, neglect, and exploitation (including financial exploitation), including training law enforcement officers, health care providers, and other professionals on how to recognize and respond to elder abuse; supporting outreach and education campaigns to increase public awareness of elder abuse and how to prevent it; and supporting the efforts of state and local elder abuse prevention coalitions and multidisciplinary teams.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

To assist state efforts in developing comprehensive State Plans, the U.S. Administration on Aging provided technical support funding to the National Association of State Units on Aging to develop the TASC planning zone, which includes information on elder abuse prevention programming:
<http://nasua.org/tasc/>

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Barbara Dieker, One Massachusetts Ave., NW, Washington, District of Columbia 20001 Email: Barbara.Dieker@aoa.hhs.gov Phone: (202) 357-0139 Fax: (202) 357-3459.

Website Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.042 SPECIAL PROGRAMS FOR THE AGING TITLE VII, CHAPTER 2 LONG TERM CARE OMBUDSMAN SERVICES FOR OLDER INDIVIDUALS

(State Grants for Long Term Care Ombudsman Services)

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

None.

OBJECTIVES:

The principal role of this Ombudsman Program is to investigate and resolve complaints made by or on behalf of residents of nursing homes or other long-term care facilities. Ombudsmen also promote policies and practices needed to improve the quality of care and life in long-term care facilities and educate both consumers and providers about residents' rights and good care practices.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen service systems through designated State Agencies on Aging, Area Agencies on Aging and other local entities. Funds under this Title and Chapter are included in State aging plans covering 2, 3, or 4 years, with annual revisions as necessary, submitted for approval to the Assistant Secretary for Aging. Funds are used to design and implement programs for the provision of long-term care ombudsman services for older individuals living in long-term care facilities.

Applicant Eligibility:

All States and U.S. Territories which have State Agencies on Aging designated by the governors.

Beneficiary Eligibility:

Older individuals residing in institutional long-term care facilities or requiring assistance in entering or transferring from such facilities.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. (See Appendix IV of the Catalog for listing).

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Applications are submitted for a 2-, 3-, or 4-year period and revised as

necessary.

Formula and Matching Requirements:

Statutory Formula:

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$16,291,466; FY 10 est \$16,827,000; FY 11 est \$16,827,000

Range and Average of Financial Assistance:

\$10,412 to \$1, 689,883; \$288,638.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.)

Headquarters Office:

Rimas Liogys, One Massachusetts Ave., N.W., Washington, District of Columbia 20001 Email: Rimas.Liogys@AOA.HHS.Gov Phone: (202) 357-3454.

Website Address:

<http://www.aoa.gov> .

RELATED PROGRAMS:

93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis.

93.043 SPECIAL PROGRAMS FOR THE AGING_TITLE III, PART D_DISEASE PREVENTION AND HEALTH PROMOTION SERVICES

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and F, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 87 Stat. 30; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat. 713; Public Law 95-65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97- 115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100- 175, 101 Stat. 926; Section 705, Public Laws 100-628,

102-375, and 106-501, 42 U.S.C. 3022-3030(M).

OBJECTIVES:

To develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and Area Agencies on Aging. Funds are provided for disease prevention and health promotion services including health risk assessments; routine health screening; nutrition screening; counseling and educational services for individuals and primary care givers; health promotion; physical fitness; home injury control and home safety screening; screening for the prevention of depression, and referral to psychiatric and psychological services; education on availability of benefits and appropriate use of preventive services; education on medication management; information concerning diagnosis, prevention, and treatment of neurological and organic brain dysfunction; gerontological counseling and counseling regarding social services and follow-up health services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop or strengthen preventive health service and health promotion systems through designated State Agencies on Aging and area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual revisions as necessary, must be submitted to State agencies for approval. Funds are used to design and implement programs for periodic preventive health services to be provided at senior centers or alternative sites as appropriate. The preventive health services under this part may not include services eligible for reimbursement under Medicare.

Applicant Eligibility:

Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Older individuals, especially those living in areas of States which are medically underserved and in which there are a large number of older individuals who have the greatest economic need for the services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, which they have designated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

Applications are submitted for a 2-,3-, or 4-year period with annual revisions.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 85%. Formula grants are 85 percent Federal and 15 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including plant, equipment, or services. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Annual Program Performance Report. Submitted through the payment management system. Progress reports are not applicable. SF 269 Status Report (Semi-annual). No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$21,026,000; FY 10 est \$21,026,000; FY 11 est \$21,026,000 - *Current Fiscal year 2010 is an actual figure of \$21,026,000.

Range and Average of Financial Assistance:

\$13,141 to \$2,132,032; \$375,464.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 56 grants to States and related jurisdictions were made. In fiscal year 2011, 56 grants to States and related jurisdictions are anticipated. Funds are provided for disease prevention and health promotion services including health risk assessments; routine health screening; nutrition screening; counseling and educational services for individuals and primary care givers; health promotion; physical fitness; home injury control and home safety screening; screening for the prevention of depression and referral to psychiatric and psychological services; education on availability of benefits and appropriate use of preventive services; education on medication management; information concerning diagnosis, prevention, and treatment of neurological and organic brain dysfunction gerontological counseling and counseling regarding social services and follow-up health services. Fiscal Year 2010: In fiscal year 2010, 56 grants to States and related jurisdictions are anticipated. Funds are provided for disease prevention and health promotion services including health risk assessments; routine health screening; nutrition screening; counseling and educational services for individuals and primary care givers; health promotion; physical fitness; home injury control and home safety screening; screening for the prevention of depression and referral to psychiatric and psychological services; education on availability of benefits and appropriate use of preventive services; education on medication management; information concerning diagnosis, prevention, and treatment of neurological and organic brain dysfunction gerontological counseling and counseling regarding social services and follow-up health services. Fiscal Year 2011: In fiscal year 2011, 56 grants to States and related jurisdictions are anticipated. Funds are provided for disease prevention and health promotion services including health risk assessments; routine health screening; nutrition screening; counseling and educational services for individuals and primary care givers; health promotion; physical fitness; home injury control and home safety screening; screening for the prevention of depression and referral to psychiatric and psychological services; education on availability of benefits and appropriate use of preventive services; education on medication management; information concerning diagnosis, prevention, and treatment of neurological and organic brain dysfunction gerontological counseling and counseling regarding social services and follow-up health

services.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Robert Hornyak, Administration on Aging, DHHS, Washington, District of Columbia 20201 Email: robert.hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non- competitive basis. State Grants are awarded upon approval of a State Plan.

93.044 SPECIAL PROGRAMS FOR THE AGING TITLE III, PART B GRANTS FOR SUPPORTIVE SERVICES AND SENIOR CENTERS FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title III, Parts A and B, Public Law 89-73, as amended; Public Law 90-42, 81 Stat. 106; Public Law 91-69, 83 Stat. 108; Public Law 93-29, 89 Stat. 301; Public Law 93-351, 88 Stat. 357; Public Law 94-135, 89 Stat 713; Public Law 95- 65, 91 Stat. 269; Public Law 95-478, 92 Stat. 1513; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Section 705, Public Law 100-628; 42 U.S.C. 3022-3030d; Public Law 106-501.

OBJECTIVES:

To encourage State Agencies on Aging and Area Agencies on Aging to concentrate resources to develop and implement comprehensive and coordinated community-based systems of service for older individuals via Statewide planning, and area planning and provision of supportive services, including multipurpose senior centers. The objective of these services and centers is to maximize the informal support provided to older Americans to enable them to remain in their homes and communities. Providing transportation services, in-home services, and caregiver support services, this program insures that elders receive the services they need to remain independent.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are awarded to States to develop and strengthen comprehensive and coordinated service delivery systems through designated State Agencies on Aging and Area Agencies on Aging. A State plan covering 2, 3, or 4 years, with annual amendments as necessary, must be submitted for approval to the Assistant Secretary for Aging. Area Plans also cover 2, 3, or 4 years, and are to be submitted to State agencies for approval. These funds are distributed by intrastate funding formulas to area agencies in States with planning and service areas and directly to service providers in the 13 States designated as single-planning-and-service-area States. In addition to supportive nutrition services, these funds may be used to support other services including the renovation, acquisition and alteration, and construction of multipurpose senior centers.

Applicant Eligibility:

Only State and U.S. Territories which have State Agencies on Aging designated by the Governors are eligible to receive these grants.

Beneficiary Eligibility:

Individuals age 60 and over, targeting those older individuals with the greatest economic needs, the greatest social needs, and those residing in rural areas.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but intergovernmental consultation review is excluded. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office for State application instructions. Also, see 45 CFR 1321.

Award Procedure:

The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, which they have designated.

Deadlines:

May 03, 2010 Funds are awarded to State Agencies on Aging based on the Federal fiscal year.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 1321 and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2-, 3-, or 4-year period with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Formula Grants are 85 percent Federal and 15 percent nonfederal funds. See funding agency for further details.

Matching Requirements: Percent: 15.%.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

SF 269 Financial Status Report (semi-annual) and the Annual Program Performance Report. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$351,348,000; FY 10 est \$361,348,000; FY 11 est \$371,348,000

Range and Average of Financial Assistance:

\$227,915 to \$36,241,336; \$6,511,866.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Hornyak, Administration on Aging, DHHS, One Massachusetts Avenue, NW, Washington, District of Columbia 20201 Email: robert.hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3 Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2 Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D Disease Prevention and Health Promotion Services; 93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Noncompetitive. State grants awarded based on approval of State Plan, and intrastate funding formula for States with area agencies.

93.045 SPECIAL PROGRAMS FOR THE AGING TITLE III, PART C NUTRITION SERVICES

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, Public law 89-73, as amended; Public law 90-42, 81 Stat. 106; Public Law 91-69, 85 Stat. 108; Public Law 92-258, 86 Stat. 88; Public Law 93-87 Stat. 301; Public law 93-351, 88 Stat. 357; Public law 94-135, 89; Stat. 713; Public Law 95-65, 91 Stat. 269; Public law 95-478, 92 Stat. 513; Public law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Title III, Part C, Public Law 100-175; public Law 100-628; 42 U.S.C. 3030e-3030g; Public Law 102-375; Public 106-501.

OBJECTIVES:

To provide grants to States to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older Americans in order to maintain health, independence and quality of life. Meals may be served in a congregate setting or delivered to the home, if the older individual is homebound.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Local projects must provide a hot or other appropriate meal which complies with the Dietary Guidelines for Americans and provides one-third of the Dietary Reference Intakes (DRI), at least once per day, 5 or more days per week, except in rural areas where a lesser frequency is determined feasible, to eligible people aged 60 and over and their spouses.

Applicant Eligibility:

Only States and U.S. Territories which have State Agencies on Aging designated by the governors are eligible to receive these grants.

Beneficiary Eligibility:

Older individuals aged 60 and over and their spouses, especially those older individuals with the greatest social need or those with the greatest economic need, and in certain cases, under age 60, if the individual is handicapped or disabled and resides with an older individual.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Consult the appropriate Regional Office or HQ for State Plan instructions.

Award Procedure:

The Administration on Aging awards funds through a statutory formula.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. States are entitled to these grants by statute.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

State Plans are submitted for a 2-, 3-, or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: See statute for formula description.

Matching Requirements: Percent: 15%. The nonfederal contribution may be in the form of cash or in-kind contributions, including plant, equipment, or services.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

3 years. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Report requirements are identified in the Notice of Award. Recipients will be required to complete cash report information in the Payment Management System when requesting funds. (Semi-annual) and the annual Program Performance Report are required. SF 269 Financial Status Report are required along with the completion of the supplemental form. Site visits, meeting performance measures etc.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final

expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$648,728,000; FY 10 est \$648,818,000; FY 11 est \$648,818,000

Range and Average of Financial Assistance:

Congregate Nutrition Services: FY09 \$434,269,000; FY 10 est.\$434,269,000
Home-Delivered Nutrition Services: FY09 \$214,459,000; FY 10 est. \$214,549,000

No estimates for FY 11.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fifty-six grants were made to States and related jurisdictions in fiscal year 2006. It is estimated that 56 grant awards will be made in fiscal years 2007 and 2008. Congregate and home delivered meals improved nutritional status, decreased the risk of disease and disability, and helped maintain physical and mental functions to millions of older Americans. Fiscal Year 2010: Congregate and home delivered meals improved nutritional status, decreased the risk of disease and disability, and helped maintain physical and mental functions to millions of older Americans. Fiscal Year 2011: Congregate and home delivered meals improved nutritional status, decreased the risk of disease and disability, and helped maintain physical and mental functions to millions of older Americans.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 1321.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Robert Hornyak, Office of Home and Community-Based Services, Administration on Aging, DHHS
One Massachusetts Avenue, NW, Washington, District of Columbia 20201
Email: robert.hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects; 93.052 National Family Caregiver Support, Title III, Part E; 93.053 Nutrition Services Incentive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Mandatory formula awards. State grants are awarded based on approval of a State plan.

93.047 SPECIAL PROGRAMS FOR THE AGING_TITLE VI, PART A, GRANTS TO INDIAN TRIBES_PART B, GRANTS TO NATIVE HAWAIIANS**FEDERAL AGENCY:**

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title VI, Public Law 89-73, 92 Stat. 1513.

OBJECTIVES:

To promote the delivery of supportive services, including nutrition services, to older Indians, Alaskan Natives, and Native Hawaiians and to enable tribal

organizations to provide multifaceted systems of support services to family caregivers. Services are comparable to services provided under Title III of the Older Americans Act of 1965, as amended.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available through grants to eligible Indian Tribal Organizations for services comparable to those provided under Title III. Services must include nutrition services and information and referral, and may include transportation and other services authorized under Title III. Funds may be used for staffing senior centers. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+. Grants also are available to private or nonprofit organizations having the capacity to provide services to older Native Hawaiians.

Applicant Eligibility:

Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), and public or nonprofit private organizations which serve Native Hawaiian Elders, which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must demonstrate that they have the ability to deliver supportive and nutrition services.

Beneficiary Eligibility:

Indians who are 60 years of age and older, and in the case of nutrition services, their spouses. Tribes also have the authority to define Indians under 60 years of age as "older Indian" making them eligible for services.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. In order to receive a grant, the eligible tribal organization, or for Native Hawaiians, the nonprofit private organization serving Native Hawaiians, must submit an application in accordance with instructions published in the Federal Register by the Administration on Aging.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Usually within 60 to 90 days.

Appeals:

Appeals are processed in accordance with Administration on Aging regulations in 45 CFR 1326 and 1328.

Renewals:

Renewals within the grant period are based on satisfactory performance during the prior year, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants are awarded for no less than a 12-month period. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

A program performance report is required annually. Submitted through the Payment Management System. No progress reports are required. A financial report is due annually to the funding agency. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 09 \$32,790,938; FY 10 est \$28,000,000; FY 11 est \$30,000,000

Range and Average of Financial Assistance:

Grants to Indian Tribes, Part A range from \$72,740 to \$178,703; average, \$98,813. Grants to Native Hawaiians, Part B range from \$93,720 to \$1,689,630; average, \$891,675.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, grants were awarded to 244 tribal organizations and two Native Hawaiian Organizations for nutrition and supportive services to Native American, Alaskan Native and Native Hawaiian elders. A similar number of grants are expected to be awarded in fiscal years 2010 and 2011. Fiscal Year 2010: In fiscal year 2010, grants were awarded to 244 tribal organizations and two Native Hawaiian Organizations for nutrition and supportive services to Native American, Alaskan Native and Native Hawaiian elders. A similar number of grants are expected to be awarded in fiscal year 2011. Fiscal Year 2011: In fiscal year 2011, it is expected that awards will be issued to 244 tribal organizations and two Native Hawaiian Organizations for nutrition and supportive services to Native American, Alaskan Native and Native Hawaiian elders.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1328. Guidelines are issued by the Assistant Secretary for Aging.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services Regional Offices (See Appendix IV of the Catalog).

Headquarters Office:

Yvonne Jackson, One Massachusetts Ave., N.W., Washington, District of Columbia 20001 Email: Yvonne.Jackson@aoa.hhs.gov Phone: (202) 357-3501 Fax: (202) 357-3570.

Website Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D_Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging Title III, Part C_Nutrition Services; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Supportive and Nutrition Services for Older Indian Persons - Passamaquoddy Tribe, Perry, Maine; (2) Supportive and Nutrition

Services to Older Indian Persons -San Carlos Apache Tribe; (3) Supportive and Nutrition Services to Older Indian Persons - Yakima Indian Nation; (4) Supportive and Nutrition Services to Older Indian Persons - St. Croix Tribal Council, Wisconsin; (5) Supportive and Nutrition Services to Older Indians - Ute Mountain Ute Tribe. Fiscal Year 2010: (1) Supportive and Nutrition Services for Older Indian Persons - Passamaquoddy Tribe, Perry, Maine; (2) Supportive and Nutrition Services to Older Indian Persons -San Carlos Apache Tribe; (3) Supportive and Nutrition Services to Older Indian Persons - Yakima Indian Nation; (4) Supportive and Nutrition Services to Older Indian Persons - St. Croix Tribal Council, Wisconsin; (5) Supportive and Nutrition Services to Older Indians - Ute Mountain Ute Tribe. Fiscal Year 2011: (1) Supportive and Nutrition Services for Older Indian Persons - Passamaquoddy Tribe, Perry, Maine; (2) Supportive and Nutrition Services to Older Indian Persons -San Carlos Apache Tribe; (3) Supportive and Nutrition Services to Older Indian Persons - Yakima Indian Nation; (4) Supportive and Nutrition Services to Older Indian Persons - St. Croix Tribal Council, Wisconsin; (5) Supportive and Nutrition Services to Older Indians - Ute Mountain Ute Tribe.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made based upon the extent to which the project meets the requirements of the law and regulations.

93.048 SPECIAL PROGRAMS FOR THE AGING_TITLE IV_AND TITLE II_DISCRETIONARY PROJECTS

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Title IV, Public Law 89-73, 79 Stat. 218, as amended; Public Law 97-115, 95 Stat. 1595; Public Law 98-459, 98 Stat. 1767; Public Law 100-175; Public Law 100-628, 42 U.S.C. 3031-3037b; Public Law 102-375; Public Law 106-501.

OBJECTIVES:

To support the development and testing of innovative programs, services and systems of support that respond to the needs of the nations growing elderly population, to improve knowledge of the problems and needs of the elderly, to help ensure adequately trained personnel in the field of aging, and to demonstrate better ways of improving the quality of life for the elderly. AoA will also direct part of the ARRA prevention funds to a National Technical Assistance Center designed to support states as they implement and expand evidence-based, chronic disease self management programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each year, AoA issues multiple Funding Opportunity Announcements. The specific use of funds will vary across these multiple announcements. Depending on the specific program goals and objectives cited in an individual announcement, funds may be used for any one or more, but not necessarily all, of the following: (a) to demonstrate and/or replicate new or proven methods and practices to improve the quality and effectiveness of programs and services; (b) to ensure proven programs and approaches are replicated with fidelity to the original research (c) to evaluate new or existing programs and services; (d) to conduct applied research and analysis to improve access to and delivery of services; (e) to provide training and technical assistance to professionals in the field. Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) to substitute, supplant or expand funding for Older American Act formula funded programs, including supportive and nutritional services funded under Title III of the Older Americans Act; (d) medical care, institutional care or income maintenance; (e) projects which do not involve innovative approaches, and whose outcomes do not have the potential for national dissemination and replication; (f) equipment purchases unless the equipment is necessary to carry out a project that is otherwise fundable under Title IV.

Applicant Eligibility:

Grants may be made to any public or nonprofit private agency, organization, or institution. Contracts may be awarded to any agency, organization or institution. Grants and contracts are not available to individuals.

Beneficiary Eligibility:

Older individuals aged 60 and older, family caregivers and grandparents, and older individuals who are relative caregivers, individuals at high-risk of institutional placement, and individuals in need of assistance with or planning ahead for their long-term care needs.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The availability of competitive grant funds under Title IV is announced from time to time via program announcements that are simultaneously published on www.grants.gov and on the AoA web site at <http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>. In addition, announcements intended for a wide audience of applicants may be published in the Federal Register. All required forms and instructions for specific program announcements can be found on the AoA web site or by contacting the Administration on Aging, Office of Grants Management. Contract information is published in the "Commerce Business Daily."

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Grant extensions may be requested from the grantee's Program Officer.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. This program has no statutory formula. Matching requirements may be required of any grantee to the extent deemed appropriate by the Assistant Secretary for Aging and are generally set at a minimum of 25 percent of the total cost of the project. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, projects are approved for a period of 12 to 36 months. Support beyond the first year is contingent upon the availability of funds and evidence of satisfactory progress. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Quarterly SF-272. Semi-annual progress reports and final reports are required of grantees. SF-269. Performance monitoring accomplished through site visits, review of progress reports, and general interaction between the Federal Program Officer and grantee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$59,866,000; FY 10 est \$63,296,635; FY 11 est \$57,228,000 - The number of awards in fiscal year 2009 totaled 256. It is estimated that 260 awards will be made in fiscal year 2010. Awards in fiscal year 2009 were made to support: (1) A national program to enlist retired professional and other older volunteers in combating Medicare/Medicaid fraud and waste; (2) a national program of statewide senior legal information services, legal and related elder rights projects; (3) Performance Outcomes Measures Projects; (4) the Eldercare Locator, a national toll-free aging information and assistance telephone service; (5) projects focused on minority aging issues; (6) information and assistance resource centers for older adults and persons with disabilities; (7) evidence-based health promotion and disease prevention grants designed to translate clinical research into effective approaches delivered through community-based aging services providers; (8) community living program grants to assist individuals at risk of institutionalization and spend-down to Medicaid to maintain their independence in the community.

Range and Average of Financial Assistance:

\$50,000 to \$2,000,000.
\$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awards in fiscal year 2009 were made to support: (1) A national program to enlist retired professional and other older volunteers in combating Medicare/Medicaid fraud and waste; (2) a national program of statewide senior legal information services, legal and related elder rights projects; (3) Performance Outcomes Measures Projects; (4) the Eldercare Locator, a national toll-free aging information and assistance telephone service; (5) projects focused on minority aging issues; (6) information and assistance resource centers for older adults and persons with disabilities; (7) evidence-based health promotion and disease prevention grants designed to translate clinical research into effective approaches delivered through community-based aging services providers; (8) community living program grants to assist individuals at risk of institutionalization and spend-down to Medicaid to maintain their independence in the community. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See Chapter XIII, Title 45 of the Code of Federal Regulations on AoA supported projects. Annual guidelines, program information, and application instructions are available from the Administration on Aging, Washington, DC 20201.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for addresses).

Headquarters Office:

Lori Gerhard, Department of Health and Human Services, Administration on Aging, One Massachusetts Ave, N.W., Washington, District of Columbia 20001 Email: Lori.Gerhard@aoa.hhs.gov Phone: (202) 357-3443.

Website Address:

<http://www.aoa.gov>. For information on current funding opportunities and associated application forms, visit:
<http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>.

RELATED PROGRAMS:

93.041 Special Programs for the Aging Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation; 93.042 Special Programs for the Aging Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals; 93.043 Special Programs for the Aging Title III, Part D_Disease Prevention and Health Promotion Services; 93.044 Special Programs for the Aging Title III, Part B_Grants for Supportive Services and

Senior Centers; 93.051 Alzheimer's Disease Demonstration Grants to States; 93.052 National Family Caregiver Support, Title III, Part E; 93.990 National Health Promotion

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Evidence-Based Disease Prevention Grants Program; (2) National Center on Elder Abuse; (3) Senior Medicare Patrol Projects; and (4) Aging and Disability Resource Centers; (5) Community Living Program; (6) the Eldercare Locator; a national toll-free aging information and assistance telephone service. Fiscal Year 2010: (1) Evidence-Based Disease Prevention Grants Program; (2) National Center on Elder Abuse; (3) Senior Medicare Patrol Projects; and (4) Aging and Disability Resource Centers; (5) Community Living Program; (6) the Eldercare Locator; a national toll-free aging information and assistance telephone service. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Details for evaluating applications are published as part of each Title IV program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and, (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.051 ALZHEIMER'S DISEASE DEMONSTRATION GRANTS TO STATES

(Alzheimer's Demonstration Program)

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

No Change.

OBJECTIVES:

To assist States in creating a system of dementia care for persons with Alzheimer's disease and their families, by carrying out demonstration projects for planning, establishing, and operating programs to: (1) Create models of assistance for persons with Alzheimer's disease and their families; and (2) to improve the responsiveness of the existing home and community based care system to persons with Alzheimer's disease and related disorders and their families by linking programs to other state system change efforts, including state programs to streamline consumer access to services and family caregiver support programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) Not less than 50 percent of the federal grant must be spent on home health care, personal care, day care, companion services, short-term care in health facilities, and other respite care to individuals with Alzheimer's disease and related disorders. (2) Grant funds cannot be used to replace existing, State, Federal, or private insurance funds. (3) Matching funds are required. (4) Not more than 10 percent of the grant will be expended for administrative expenses.

Applicant Eligibility:

State government agencies are eligible for grant awards; the applicant agency is encouraged to have the support and active involvement of the Single State Agency on Aging. Only one application per State will be funded, however, multiple state and local agencies are encouraged to collaborate in planning and carrying out the project.

Beneficiary Eligibility:

(1) Individuals with Alzheimer's disease and related disorders; (2) families of those individuals; and (3) care providers of those individuals.

Credentials/Documentation:

Applicants must submit: (1) A description of intended use of the grant; (2) information describing programs and activities to be supported; (3) the number of individuals receiving services and the cost of services; and (4) a plan describing coordination of such services. Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant Application for Federal Assistance (Nonconstruction Programs) are in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in Grants.gov when funding is available. As specified in the program announcement, application forms and instructions may be obtained by writing to the US Department of Health and Human Services, Administration on Aging, Grants Management Office, Washington, DC 20201.

Award Procedure:

After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

Sep 09, 2010: As announced in the Program Announcement. As specified in guidelines published in the Program Announcement and on Grants.gov.

Range of Approval/Disapproval Time:

Approximately 90 days from closing date or receipt of application.

Appeals:

Not Applicable.

Renewals:

As outlined in the program announcement.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are typically 3 years in duration. Length of future awards will be published in the Program Announcement and on Grants.gov. Method of awarding/releasing assistance: by letter of credit.

Reports:

As outlined in the program announcement. SF272 submitted to the Payment Management System. See Program Announcement. SF269. Through site visits and reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 09 \$11,464,000; FY 10 est \$11,462,000; FY 11 est \$11,464,000

Range and Average of Financial Assistance:

Approximately \$200,000 to \$1,000,000 per grant.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A comprehensive review of the program was conducted in 2008 to obtain input from people that have used the program, people that have administered the program at state and community levels, researchers, and other stakeholders. The purpose of the review was to learn how the program can be better used to inform the development of national policy. Stakeholders

recommended focusing the program on developing services for people in the early stages of Alzheimer's disease, making long term service and support systems more "dementia capable", and working with researchers and community based organizations to translate and disseminate evidence based programs for people with Alzheimer's disease or related dementias and their caregivers. Since 2008, 14 states have received evidence based grants and are in the process of translating 10 evidence based interventions into practice and developing toolkits for national dissemination. Thirty-one (31) states, the District of Columbia and Puerto Rico have innovation grants and are working to make their long term service and support systems more dementia capable and/or working to serve people in the early stages of Alzheimer's disease. For the most recent 12-month period approximately, 4,000 people with Alzheimer's disease and their caregivers have received direct services, educational seminars and workshops. Approximately 16 percent of those served are minorities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Home Health Care and Alzheimer's Disease Amendments of 1990 (Public Law 101-557); Health Professions Education Partnerships Act of 1998 (Public Law 105-392).

Regional or Local Office:

None. Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.)

Headquarters Office:

Jane Tilly, One Massachusetts Ave., N.W., Washington, District of Columbia 20001 Email: Jane.Tilly@AoA.HHS.gov Phone: (202) 357-3438.

Website Address:

For more information about current funding opportunities and associated application forms visit - <http://www.aoa.gov/doingbus/fundopp/fundopp.asp> or www.grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: A listing of currently funded projects may be obtained by contacting Jane Tilly at (202) 357-3438 or by visiting <http://www.AoA.gov>. Fiscal Year 2010: A listing of currently funded projects may be obtained by contacting Jane Tilly at (202) 357-3438 or by visiting <http://www.AoA.gov>. Fiscal Year 2011: A listing of currently funded projects may be obtained by contacting Jane Tilly at (202) 357-3438 or by visiting <http://www.AoA.gov>.

CRITERIA FOR SELECTING PROPOSALS:

As specified in the program announcement.

93.052 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE III, PART E**FEDERAL AGENCY:**

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, as amended, Public Law 106- 501, Title III, Part E.

OBJECTIVES:

To assist States, Territories in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling, and caregiver training to caregivers to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

This authority is for making grants to States under Title III-E to enable the

provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging through an approved State plan and intrastate funding formula.

Beneficiary Eligibility:

Family caregivers, grandparents and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Formula Grants - This program is subject only to the State Plan Consolidation Section of E.O. 12372. State plans are covered under this Section, but Intergovernmental Consultation Review is excluded. Applicants are encouraged to consult with the Administration on Aging in the development of the application. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants - Application Procedures: Consult with the appropriate Regional Office for State application instruction. As specified in the program announcement, application forms and instructions may be obtained by writing to the Administration on Aging, Grants Management Division, One Massachusetts Avenue, NW Washington, DC 20201.

Award Procedure:

Formula Grants - The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to substate level organizations, that they have designated.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Formula Grants - States are entitled to these grants by statute.

Appeals:

Formula Grants - Appeals are processed in accordance with the HHS regulations in 45 CFR, Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Formula Grants - State plans are submitted for a 2,3,or 4-year period, with annual revisions as necessary.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR 1321.49. Formula grants (Title III-E) -- are 75 percent Federal and 25 percent nonfederal. The nonfederal contribution may be in the form of cash or in-kind contributions, including plant, equipment, or services.

Matching Requirements: Percent: 25.%.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program progress reports are required semi-annually for formula and project grants. Information submitted through the Payment Management System. Annual Reports. 269 Final Statute report are due at the end of each grant year. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records must be kept available for 3 years after submission of expenditure reports and 5 years after final disposition of non-expendable property in accordance with the regulations at 45 CFR 74, Subpart D.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$154,220,000; FY 10 est \$154,220,000; FY 11 est \$154,220,000

Range and Average of Financial Assistance:

\$95,424 to \$15,506,472; \$2,726,389.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funds were provided to enable 56 States to provide multifaceted systems of support services for family caregivers and for grandparents or older individuals who are relative caregivers. In fiscal years 2010 and 2011, it is estimated that a similar number of grants will be made to states. Fiscal Year 2010: Funds were provided to enable 56 States to provide multifaceted systems of support services for family caregivers and for grandparents or older individuals who are relative caregivers. In fiscal years 2010, it is estimated that a similar number of grants will be made to states. Fiscal Year 2011: Funds were provided to enable 56 States to provide multifaceted systems of support services for family caregivers and for grandparents or older individuals who are relative caregivers. In fiscal year 2011, it is estimated that a similar number of grants will be made to states.

REGULATIONS, GUIDELINES, AND LITERATURE:

Formula and Project Grants: 45 CFR 75, 45 CFR 92.

Regional or Local Office:

See Regional Agency Offices. Administration on Aging Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Robert Hornyak, Office of Home and Community-Based Services, Administration on Aging, DHHS One Massachusetts Avenue, NW, Washington, District of Columbia 20201 Email: robert.hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.053 NUTRITION SERVICES INCENTIVE PROGRAM

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, as amended, Section 311, 42 U.S.C. 303a, Section 604, 42 U.S.C. 3057.

OBJECTIVES:

To reward effective performance by States and Tribes in the efficient delivery of nutritious meals to older adults through the use of cash or commodities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to State agencies on aging and Indian Tribal Organizations to purchase foods of United States origin or to access commodities from the United States Department of Agriculture (USDA). These foods are to be used in the preparation of congregate and home-delivered meals by nutrition services programs. Commodities available from the USDA may not be sold, exchanged, or otherwise disposed of (authorized distribution excepted) without prior, specific approval of USDA.

Applicant Eligibility:

State agencies on aging and Indian Tribal Organizations (ITO) that receive funding through Titles III and VI of the OAA may receive grants of cash from the Administration on Aging (AoA) or commodities from the USDA.

Beneficiary Eligibility:

For Title III congregate meals, persons who are older adults (age 60 years and above) or a spouse of an older adult, regardless of age; disabled adults who live in housing facilities primarily occupied by older adults where a congregate site is located; disabled adults under age 60, who reside at home with older adults; and volunteers, regardless of age, who assist in meal service during meal hours. For Title III home-delivered meals, an older individual must be assessed to be homebound. The spouse of a homebound individual regardless of age or condition may receive a meal if receipt of the meal is assessed to be in the best interest of the homebound older adult. For Title VI, all of these criteria apply. In addition, an ITO may select the age that defines who is an older adult.

Credentials/Documentation:

State agencies on aging and Indian Tribal Organizations must complete agreements with the AoA. Nutrition Programs must comply with forms established by their State agencies on aging. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All States and Indian Tribal Organizations are eligible.

Award Procedure:

issuance of Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. None.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Title III and Title VI program agreements are indefinite, unless withdrawal is requested or required because of program irregularities.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program has MOE requirements, see funding agency for further details. See program statute.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

No program reports are required. Submitted through the Payment Management System. Progress report data submitted. 269 Financial Status reports are due at the end of each grant year. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Adequate to account for all receipts and dispositions; to be retained for 3 years following the close of the fiscal year.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$153,429,000; FY 10 est \$161,015,000; FY 11 est \$161,015,000

Range and Average of Financial Assistance:

\$503-\$16,858,820; \$533,127.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During fiscal year 2009 about 238 million meals were served to program participants. Fiscal Year 2010: During fiscal year 2010 it is expected that about 238 million meals will be served to program participants. Fiscal Year 2011: During fiscal year 2011 it is expected that about 238 million meals will be served to program participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92.

Regional or Local Office:

See Regional Agency Offices. Regional Administrators, Administration on Aging, Department of Health and Human Services, Region Offices. (See Appendix IV of the Catalog).

Headquarters Office:

Jean Lloyd, Office of Home and Community-Based Services, Administration on Aging, DHHS
One Massachusetts Ave., N.W., Washington, District of Columbia 20201
Email: Jean.Lloyd@AOA.HHS.GOV Phone: (202) 357-3582.

Website Address:

<http://www.aoa.gov>.

RELATED PROGRAMS:

93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.047 Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Programs 93.045 and 93.047, Special Programs for the Aging relating to nutrition services and Indian programs respectively are examples of projects that have been funded under this program. Both of these programs supply supportive services including nutrition services to older Americans. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.054 NATIONAL FAMILY CAREGIVER SUPPORT, TITLE VI, PART C, GRANTS TO INDIAN TRIBES AND NATIVE HAWAIIANS
FEDERAL AGENCY:**

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act, Public Law 106-501, as amended by Older Americans Act, Title VI, Part C, Public Law 109-365.

OBJECTIVES:

To assist Indian Tribal Organizations in providing multifaceted systems of support services for: (1) Family caregivers; and (2) grandparents or older

individuals who are relative caregivers. Services to be provided include: information to caregivers about available services; assistance to caregivers in gaining access to the services; individual counseling and caregiver training to assist the caregivers in making decisions and solving problems relating to their caregiving roles; respite care to enable caregivers to be temporarily relieved from their caregiving responsibilities; and supplemental services, on a limited basis, to complement the care provided by caregivers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This authority is for making grants to Indian Tribal Organizations under Title VI-C to enable the provision of multifaceted systems of support services for family caregivers; and for grandparents or older individuals who are relative caregivers.

Applicant Eligibility:

Indian Tribal Organizations and Native Hawaiian organizations with approved applications under Title VI, Parts A and B.

Beneficiary Eligibility:

Family caregivers, grandparents, and older individuals who are relative caregivers will benefit.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications are submitted in accordance with program announcements developed by the Administration on Aging (AoA) and will be published in the Federal Register. As specified in the program announcement, application forms and instructions may be obtained by writing to the Administration on Aging, Dept. of Health and Human Services, Office of Grants Management, Washington, DC 20001.

Award Procedure:

After review and approval, notification of award will be issued to the grantee, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved approximately 60 to 90 days from closing date or receipt of application.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1326 and 1328.

Renewals:

Renewals are issued as outlined in the program announcement published in the Federal Register.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

One year. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

Program progress reports are required annually. Submitted through the Payment Management System. No progress reports are required. SF-269 Financial status

reports are required annually. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 09 \$6,351,477; FY 10 est \$6,000,000; FY 11 est \$7,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Administration on Aging Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for listings.).

Headquarters Office:

Yvonne Jackson, One Massachusetts Ave., NW, Washington, District of Columbia 20001 Email: Yvonne.Jackson@aoa.hhs.gov Phone: (202) 357-3501. Fax: 202-357-3570

Website Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

93.047 Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.061 INNOVATIONS IN APPLIED PUBLIC HEALTH RESEARCH

Applied Public Health Research

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 247b, Section 317(k)(2).

OBJECTIVES:

To foster the new knowledge necessary to develop, enhance, and disseminate effective public health services, programs, and policies that improve health and quality of life for the population.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Applied public health research provides the critical new knowledge to address the CDC priority research needs. Research is focused on improving public health practices to maximize positive health outcomes and impacts.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

Beneficiary will be to public and private nonprofit and for profit organizations and by governments and their agencies, such as: Public nonprofit organizations Private nonprofit organizations, For profit organizations, Small, minority, women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally Recognized Indian Tribal Governments, Indian tribes, Indian tribal organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide located at: http://www.grants.gov/applicants/apply_for_grants.jsp or applications must be prepared using the most current PHS 398 research grant application instructions and forms. The PHS 398 application instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. Applicants are required to have a Dun and Bradstreet Data Universal Numbering System (DUNS) number to apply for a grant from the Federal Government. The DUNS number is a nine-digit identification number, which uniquely identifies business entities. Obtaining a DUNS number is easy and there is no charge. To obtain a DUNS number, access www.dunandbradstreet.com or call 1(866) 705-5711.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 90 to 150 days.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal

Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to 3 years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Cash reports are not applicable. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period. Financial status report is due no more than 90 days after the end of the budget period. A final financial report is due no more than 90 days after the end of the project period. Annual progress report, (PHS 2590, OMB Number 0925-0001, <http://grants.nih.gov/grants/funding/2590/2590.htm>) is due no less than 90 days before the end of the budget period. The progress report will serve as the non-competing continuation application. A final performance report is due no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$27,546,806; FY 10 est \$11,998,463; FY 11 est \$5,567,073

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92 For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>.

Regional or Local Office:

None.

Headquarters Office:

Dr. Juliana Cyril, 1600 Clifton Rd., Atlanta, Georgia 30333 Email: jcyril@cdc.gov Phone: (404) 639-4639.

Website Address:

<http://www.cdc.gov> or <http://www.cdc.gov/od/science/PHResearch>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to the FOA will be evaluated for scientific and technical merit by an appropriate peer review group in accordance with the review criteria. As part of the initial merit review, all applications may: Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score and receive a written critique.

93.062 BIOMONITORING PROGRAMS FOR STATE PUBLIC HEALTH LABORATORIES

Centers For Disease Control and Prevention (CDC) Department of Health and Human Services

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 317 of the Public Health Service Act, (42 U.S.C. Section 247 b), as amended., 42 U.S.C 247b.

OBJECTIVES:

To support the development or expansion of state-based biomonitoring programs, including necessary infrastructure, that will increase the capability and capacity of state public health laboratories (1) to assess human exposure to environmental chemicals within their jurisdictions; (2) to determine which chemicals people are exposed to and the levels of those chemicals in peoples bodies; (3) to determine the prevalence of people with levels of chemicals that are above a known toxicity threshold; (4) to determine whether levels of selected chemicals are higher among minorities, children, women of childbearing age, or other potentially vulnerable groups; (5) to assess the effectiveness of state public health actions to reduce the exposure of their residents to specific chemicals of concern; (6) to compare state-generated biomonitoring data with CDC national biomonitoring data; and (7) to track trends in levels of chemicals in a states population or subgroups of that population over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements may be made to eligible entities for the support of projects to develop or expand state-based biomonitoring programs. Funding may be used for personnel salaries (for full-time, part-time, or contractor positions), laboratory instruments and supplies, training, travel, and indirect costs. Funds may not be used for research, clinical care, furniture or office equipment, pre-award costs, or the construction of new laboratory space. In addition, the direct and primary recipient in the cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Applicant Eligibility:

No additional information.

Beneficiary Eligibility:

No additional information.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications that must be submitted with the application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications and required forms for this program can be obtained from Grants.gov or from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Please visit the Grants.gov Web site at www.grants.gov to find and apply for all Federal grant/cooperative agreement opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Support is recommended for a specified project period, not to exceed 5 years, with each project period having a 12-month budget period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a twelve month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Annual progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$5,000,000; FY 11 est \$4,700,000 - Range: \$1 million to \$ 3 million per award
Approximate average award: \$2.14 million.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: New program just starting. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. HHS Grants Policy Statement at:
<http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.063 CENTERS FOR GENOMICS AND PUBLIC HEALTH**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act.

OBJECTIVES:

To provide technical assistance to community, State and regional organizations by responding to identified needs and requests for information assistance and training in genomics and population health for the health workforce, with a particular focus on enhancing knowledge and awareness of genomics applications among public health workers, and support the integration of genomics into population health research, policy and practice; provide competency based training, identify opportunities to serve as a credible and impartial provider of current information about genomics, participate in collaborative processes with other Centers, CDC and external partners and evaluate the achievements and impact of the Centers' activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may not be used to support the provision of direct patient care, for facility or capital outlay, or to conduct research involving human subjects.

Applicant Eligibility:

Universities, colleges, and research institutions.

Beneficiary Eligibility:

Targeted State and community program capacity in genomics and population health will benefit.

Credentials/Documentation:

1)access to, and well-defined availability of, staff or collaborators with multidisciplinary expertise (epidemiology, public health practice, genomics, clinical genetics and medicine, policy, health communications and education); 2)demonstrated ability to develop educational and practice collaborations among public, private, and academic partners, with emphasis on public health and health science institutions, State health departments and other agencies, health care organizations and community groups; 3)experience relevant to the specific objectives and activities of the program, particularly direct involvement with public health practice, improving population health, and integrating genomics into practice; and 4) expertise in needs assessment and planning and delivering technical assistance and training to public health workers or other health professionals. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 120 days from receipt of application.

Appeals:

Other - Not Specified.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient as documented in required reports, and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to four years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. See the following for information on how assistance is awarded/released: Assistance is available for a 12-month budget period within project periods ranging from one to four years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System.

Reports:

Program reports are not applicable. No cash reports are required. Current budget period activities objectives, current budget period financial progress, new budget period program proposed activity objectives, budget, additional requested information, measure of effectiveness, financial status report by 90

days after the end of the budget and semi-annual progress report. Current budget period activities objectives, current budget period financial progress, and new budget period program proposed activity objectives. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Financial records, support documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Funds for fiscal year 2011 are not available. However, a no-cost extension is in place. Funds may be available in future fiscal years.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Center for Genomics & Public Health at the University of Washington is a training and resource center that serves as a hub of expertise in genomics and public health. Goals met included technical assistance, training, information dissemination, collaboration, relationship building, meetings, publications and evaluation. Fiscal Year 2010: Activities associated with the second annual Summer Institute in Public Health Genomics will be completed. The website for the University of Washington Center for Genomics & Public Health will continue. Participation in planning and organizing GaPPNet will continue. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and Part 92. For information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address:

<http://www.accessgpo.gov/nara/cfr-table-search.html>.

Regional or Local Office:

See Regional Agency Offices. Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2700.

Headquarters Office:

Shirlene Elkins 1600 Clifton Road NE
mailstop E-61
, Atlanta, Georgia 30329 Email: SElkins@cdc.gov Phone: 4044980082

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.064 LABORATORY TRAINING, EVALUATION, AND QUALITY ASSURANCE PROGRAMS

(Quality Assurance in Pathology and Laboratory Medicine)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(k)(2), 42 U.S.C 247b(k)(2).

OBJECTIVES:

To improve the quality of laboratory testing practices relevant to clinical and public health settings and to determine standardized approaches to quality assurance in pathology and laboratory medicine that can be applied in multiple, diverse settings (e.g. community hospitals, academic medical centers, and independent laboratories) that demonstrate measurable and sustainable improvements over time.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding opportunities are made available to evaluate quality assurance methods for addressing the pre-analytic, analytic, and post-analytic components of laboratory testing in clinical and public health laboratory settings; and to provide technical assistance and training to public health laboratory scientists and leaders on laboratory standards and quality improvement models applicable to laboratory management services.

Applicant Eligibility:

Applications may be submitted by public and private nonprofit organizations and by governments and their agencies, such as: Public nonprofit organizations, private nonprofit organizations, universities, colleges, research institutions, hospitals, community and faith based organizations, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau).

Beneficiary Eligibility:

Applicants must have experience in the administration and evaluation of standardized quality assurance programs in multiple, diverse laboratory sites (including community hospitals and academic medical centers). This experience is required for an applicant to be able to assess the effectiveness of these quality assurance programs and to determine best practices.

Credentials/Documentation:

Title 2 of the United States Code section 1611 states that an organization described in section 501(c)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting an award, grant, or loan. For all other nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: Telephone: (770) 488-2700. Applications must be submitted through www.grants.gov.

Award Procedure:

Applications will be evaluated for completeness and responsiveness by the agency program and CDC Procurement and Grants Office (PGO). CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) will be prepared and processed, along with appropriate notification to the public. Initial award provides funds for the first budget period (usually 12 months) and the NoA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement project periods are 1 to 5 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of the project period. Cash reports are not applicable. Annual or semiannual progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal governments officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine HHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$2,304,561; FY 10 est \$3,348,718; FY 11 est \$3,548,718

Range and Average of Financial Assistance:

\$100,000 to \$500,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. For general questions about this announcement, contact: Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: 770-488-2700. For program technical assistance, contact: Charlene Beach, Centers for Disease Control and

Prevention, NCPDCID, DLS, 1600 Clifton Road, MS G25. Telephone: (404) 498-2282, Fax: (404) 498-2210, E-mail: cho1@cdc.gov. For financial, grants management, or budget assistance, contact: Sharron Orum, Grants Management Specialist, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2716, E-mail: spo2@cdc.gov.

Headquarters Office:

Charlene H. Beach, CDC/NCPDCID/DLS, 1600 Clifton Road, NE Mailstop G25, Atlanta, Georgia 30333 Email: cho1@cdc.gov Phone: (404) 498-2282 Fax: (404) 498-2210.

Website Address:

http://www.cdc.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific and technical merit by objective or peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria.

93.065 LABORATORY LEADERSHIP, WORKFORCE TRAINING AND MANAGEMENT DEVELOPMENT, IMPROVING PUBLIC HEALTH LABORATORY INFRASTRUCTURE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(k)(3) of the Public Health Service Act, [42 U.S.C. 247b (k)(3), as amended., Executive Order N/A, Title 42, Part 247b(k)(3), Section 317(k)(3).

OBJECTIVES:

To promote and maintain a collaborative relationship with the Association of Public Health Laboratories (APHL) that includes the National Laboratory Training Network (NLTN) and the National Laboratory Partnership (NLP) for the purpose of improving public health laboratory infrastructure, maintaining a competent and trained laboratory workforce, promoting laboratory leadership activities to ensure future laboratory leaders, ensure laboratory preparedness for emerging infectious diseases or other biologic and chemical public health threats, promote technology transfer to ensure up-to-date technologies for the testing laboratory, and to enhance communication linkages between state and local public health laboratories, and the clinical laboratory testing community.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Improvement of public health laboratory infrastructure, state-of-the-art training for the nation's laboratorians to be prepared in dealing with public health threats, improving laboratory leadership capabilities, enhancing inter-laboratory communications. There are no restrictions.

Applicant Eligibility:

State governments, specifically State public health laboratories, particularly any organization representing state public health laboratories and having an established training network.

Beneficiary Eligibility:

This project represents the front line defense against health threats to the nation's public. The nation's public is the ultimate recipient of benefits from this program.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For all other nonprofit grantees, cost will be

determined in accordance with HHS Regulations 45 CFR 74 Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: 770-488-2700. Application forms can be mailed to you.

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From two to three months.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash reports are not applicable. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: current budget period activities objectives, current budget period financial progress, new budget period program proposed activity Objectives, budget, additional requested information, and measures of effectiveness.; Financial status report and annual progress report, no more than 90 days after the end of the budget period; and Final financial and performance reports, no more than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and personnel records including financial awards, financial status reports, interim reports, and final reports must be maintained for a period of seven years.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$16,338,650; FY 11 est \$8,693,973

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Angela Banks, 1600 Clifton Road, Mailstop E21, Atlanta, Georgia 30333
Email: zww0@cdc.gov Phone: (404) 498-6399.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed program, Methods, Proposal Adequacy, Evaluation, Program Personnel and Budget (not scored).

**93.066 STATE VITAL STATISTICS IMPROVEMENT PROGRAM
FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 304 and 306.

OBJECTIVES:

To improve the timeliness, quality and sustainability of the de-centralized vital statistics system by adopting nationally-developed, consensus standards and guidelines; by actively working with states to re-engineer their state-owned and operated systems using these standards/guidelines; and by improving the efficiency of business operations of state vital statistics programs. Working collaboratively between State and Federal agencies, the goal is to facilitate the development and implementation of re-engineered systems in all 57 registration areas. These will be systems that can: (1) provide quality and timely data for public health surveillance and medical research; (2) meet citizen needs for legal copies of their birth and death records, including providing paper copies of records to citizens for their immediate legal or personal use, and at citizens' request, transferring records electronically to local, State or Federal agencies to satisfy an agency's need for a record; meet Federal agencies' needs for record verification and authentication; (3) result in comparable vital registration/statistics systems in each state; (4) use national standards and guidelines, including the 2003 revision of the U.S. Standard Certificates of Birth and Death and the Report of Fetal Death, the ICD-10 classification system, the FIPS geographic coding scheme, and the standard HL-7 vital events messaging; (5) support national security and privacy requirements; (6) integrate or harmonize easily with other public health systems such as Public Health Information Network (PHIN), immunization registries, and newborn screening, and (7) use Internet technology.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restrictions, which must be taken into account while writing your budget, are as follows: Approved project plan required prior to expenditure of funds; all travel will need to be identified in the approved project plan; and purchase of computer hardware and software will require prior approval before expenditure.

Applicant Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Beneficiary Eligibility:

An application may be submitted by public or private nonprofit organization whose primary mission is the support of state vital statistics and vital records programs.

Credentials/Documentation:

Applicant are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the cooperative agreement. Measures must be objective and quantitative, and must measure the intended outcome. These measures of effectiveness must be submitted with the application and will be an element of evaluation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity use application form PHS 5161. Application forms and instructions are available on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm.

Award Procedure:

Successful applicant will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Feb 15, 2010: The program has been established for 5 years, with each option depending on the availability of funding and performance of the awardee. 4:00 p.m. Eastern Time on the deadline date.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Throughout the project period, CDC's commitment to continuation of this award will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient as documented in required reports, and the determination that continued funding is in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Reports about the objectives accomplished. Written reports are required at mid-term of the project year and at the end of the project year. At least

quarterly meetings are required of the awardee. Financial status reports are required at the end of the project year. Updates on financial status is provided at mid-term of the project year. At mid-term of the project year, reports are required on the objectives achieved and the objectives to be achieved in the remainder of the project year. At the end of the project year, a progress report is required on the objectives achieved. Reports are provided on the amount spent within the approved budget categories. Performance monitoring is handled in four ways: 1) required quarterly meetings; 2) regular conference calls on specific issues; 3) joint projects with DVS staff; and 4) emails/telephone conversations with the staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, support documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$500,000; FY 10 est \$571,500; FY 11 est \$824,500

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

- Fiscal Year 2009: 1. Data transfer system was developed and piloted in 7 states. Have begun the national implementation of this system.
2. Performance measures have been developed and first set of measures were distributed to the states and territories.
3. Onsite business process reviews were conducted with 7 states/territories., Evaluations of those visits are being undertaken.
4. Additional chapters of the Security manual have been developed. NAPHSIS is also in the process of educating the states about the security procedures as well as assessing the states vis-avis the security manual as they undertake business process reviews.
5. NAPHSIS continues to provide technical assistance to states and territories about electronic birth, death, and fetal detal systems.
6. National database on the status of states and re-engineering continues to be updated and is distributed to the project officer on demand. They have now put maps on their website showing the status of states with respect to re-engineering.
7. Joint national workshop of security and field operations was conducted. About 45 people attended this workshop from across the country. Fiscal Year 2010: 1. Design and conduct national workshop on Managing a Vital Records Office.
2. Implement the new data transfer system in each of the 57 jurisdictions (target 20 states in this fiscal year).
3. Assess the performance of the vital records offices.
4. Conduct business process reviews of 3 states in this fiscal year and assess the status of the states that received reviews in the prior fiscal year.
5. Conduct survey of states on the capture of veteran status information.
6. Provide technical assistance to states to assist them in planning and implementing electronic birth and death systems.
7. Develop 2 additional chapters for the security manual and participate on the national security panel.
8. Update the national database on the status of state re-engineering activities.
Fiscal Year 2011: 1. Develop web-based tool for training physicians on the

cause of death.

2. Assist states with the planning, development and implementation of web-based systems.
3. Continue to conduct business process reviews for states having difficulty delivering their vital events records to NCHS.
4. Update and maintain the national database on the re-engineering status of states.
5. Develop a web-based training strategy for enhancing the management and other skills of the leadership of the state vital statistics programs.
6. Complete the security manual and facilitate the adoption of the security procedures and best practices guidelines.
7. Continue to implement the the data transfer system and to link that system with the National Vital Statistics System to allow for the easy exchange of records between states and NCHS.
8. Assist with the development of the Model Laws for Vital Statistics.
9. Promote the timeliness of reporting to NCHS.
10. Develop new registrars training program.
11. Support NCHS technical assistance to and training of international countries in registration methods and practices.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Technical Information Management Section, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. Telephone: (770) 488-2700.

Headquarters Office:

Delton Atkinson, Centers for Disease Control and Prevention, National Center for Health Statistics/Division of Vital Statistics, 3311 Toledo Road, Room 7313, Hyattsville, Maryland 20782 Email: dxa9@cdc.gov Phone: (301) 458-4467 Fax: (301) 458-4034.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.068 CHRONIC DISEASES: RESEARCH, CONTROL, AND PREVENTION

(Research, Control, and Prevention)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act , Title 42, Part 247b(k)(2), Section 301(a), Public Law USC-242l(a) and (b).

OBJECTIVES:

To assist State and local health agencies, health related organizations, and other public and private organizations in their efforts to prevent and control chronic diseases and disorders through research, development, capacity building, and intervention. To utilize research data to improve detection, diagnosis, treatment, and care of chronic diseases and their complications. To generate new knowledge related to chronic diseases that will improve health and quality of life and eliminate health disparities among segments of the population.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with conducting research and surveillance of chronic diseases and their complications. Funds may also be used for program planning and development, to support capacity building, and for evaluation of chronic disease prevention and control programs. CDC will

have substantial involvement with the award recipient during performance of the funded activity.

Applicant Eligibility:

Applications may be submitted by State or local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Marianna Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau). Eligible applicants also include public and private nonprofit organizations, for profit organizations, small, minority, women-owned businesses, universities, colleges, research institutions, hospitals, community-based organizations, faith-based organizations, Federally recognized Indian tribal governments, Indian tribes, and Indian tribal organizations. Foreign institutions may be eligible for some specific programs.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 1 to 5 years with 12-month budget periods. . Method of awarding/releasing assistance: lump sum.

Reports:

Annual or semiannual progress reports are required. Cash reports are not applicable. Annual or semiannual progress reports are required. Final financial status and performance reports are required 90 days after the end of a project period. Annual or semiannual progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$3,227,030; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Brenda Colley Gilbert, 1600 Clifton Rd, Atlanta, Georgia 30333 Email: bjc4@cdc.gov Phone: (770) 488-8390.

Website Address:

<http://www.cdc.gov/> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance. All applications will be peer reviewed.

93.069 PUBLIC HEALTH EMERGENCY PREPAREDNESS

PHEP

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act , Section 307, Public Law 107-188; Public Health Service Act, Section 301, Public Law 109-417, 42 U.S.C 247d-3; Public Health Service Act , Section 311; Public Health Service Act, Section 319; Public Health Service Act, Section 317.

OBJECTIVES:

To develop emergency-ready public health departments by upgrading,

integrating and evaluating State and local public health jurisdictions preparedness for and response to terrorism, pandemic influenza, and other public health emergencies with Federal, State, local, and tribal governments, the private sector, and non-governmental organizations (NGOs). These emergency preparedness and response efforts are intended to support the National Response Plan (NRP) and the National Incident Management System (NIMS).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the U.S. Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the U.S. Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State and Local Health Departments.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. CDC provides an Internet-based system for submitting applications, including narrative and budget, electronically. This system will also enable applicants to complete most required forms electronically, which can then be signed and uploaded into the system. Applicants are required to use this system in lieu of paper-based applications. Under separate cover, CDC will provide detailed instructions on obtaining a digital certificate to access the CDC web portal <https://sdn.cdc.gov> and use the electronic application system. This program is subject to the provisions of 45 CFR Parts 74 and 92, as appropriate.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Also, appropriate notification is made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 5 years with 12-month budget periods. Applications must be submitted in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10.%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually and assistance is available for a 12-month budget period. There are five separate budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Semiannual and year end progress reports are required. No cash reports are required. Semiannual and year end progress reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Performance monitoring is maintained by oversight of Project Officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of three years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$688,914,546; FY 10 est \$688,259,211; FY 11 est \$679,893,776

Range and Average of Financial Assistance:

FY09 Range - \$329,686 to \$49,341,755

FY09 Average - \$11,111,525.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current

Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Van A. King, 1600 Clifton Road, MS-D29, Atlanta, Georgia 30329-4018

Email: vbk5@cdc.gov Phone: (404) 639-5276.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The applicants must submit their application in accordance with appropriate program guidance. Applications will be reviewed for completeness by the Procurement and Grants Office staff and for technical acceptability by the Coordinating Office of Terrorism Preparedness and Emergency Response and CDC subject matter experts. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified that their application did not meet submission requirements.

93.070 ENVIRONMENTAL PUBLIC HEALTH AND EMERGENCY RESPONSE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act Sections 301, 307, 311, 317, and 319C (42 U.S.C. Sections 241, 242I, 243, 247b and 247d-3), as amended, Public Law 109-417, Public Law 109-147, U.S.C 241-242I-243.

OBJECTIVES:

To bring public health and epidemiologic principles together to identify, clarify, and reduce the impact of complex environmental threats, including terrorist threats and natural disasters, on populations, domestic and foreign. These programs and activities focus on safeguarding the health of people from environmental threats; providing leadership in the use of environmental health sciences-including environmental epidemiology, environmental sanitation, and laboratory sciences-to protect public health; and responding to issues and sharing solutions to environmental health problems worldwide. To accomplish the above, the CDC: (1) conducts surveillance and investigations that increase the knowledge about the relation between human health and environmental threats; (2) uses this knowledge to develop national public health programs and policies to prevent or control public health problems; (3) plans, prepares, and responds to emergencies, including terrorist threats, technologic accidents, and natural disasters; (4) provides direct service delivery to partners engaged in environmental health services such as food, safety, rodent control, water quality, and sanitation; (5) develops and applies laboratory science to prevent disease and death caused by exposure to environmental chemicals and to improve the diagnosis, treatment, and prevention of selected chronic diseases; (6) conducts Biomonitoring to assess individual human exposure to environmental chemicals by measuring them in human specimens (e.g. blood and urine); and, (7) conducts activities on emerging environmental threats such as climate change and the built environment.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

NONE.

Applicant Eligibility:

.

Beneficiary Eligibility:

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Credentials/Documentation:

Applicants should review the individual CDC Funding Opportunity Announcement document issued under this CFDA program for any required proof or certifications that must be submitted prior to, or simultaneous with, submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. To apply for this funding opportunity use application form HHS-5161-1. Application forms and instructions are available on www.grants.gov and/or the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff at: (770) 488-2700. Application forms can be mailed to you.

Award Procedure:

Award Procedure: Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. Unsuccessful applicants will receive notification of the results of the application review by mail. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month renewals. Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal Government. Applications must be obtained from Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2800.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Length and Time Phasing of Assistance

Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a twelve month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance

Support is recommended for a specified project period, not to exceed 5 years. Awards are made annually and assistance is available for a 12-month budget period. There are five budget periods within the 5-year project period. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal

government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$28,641,481; FY 10 est \$28,641,481; FY 11 est \$28,641,481

Range and Average of Financial Assistance:

\$10,000 to \$1,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. In addition, grants will be available under the authority of and administered in accordance with the HHS Grants Policy Statement available at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardnerm, 4770 Buford Hwy, NE, MS F61 , Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure; 93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

93.071 MEDICARE ENROLLMENT ASSISTANCE PROGRAM

Medicare Enrollment Assistance Program

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

N/C.

OBJECTIVES:

To provide outreach to eligible Medicare beneficiaries regarding the benefits available under title XVIII of the Social Security Act, including the Medicare prescription drug benefit under Part D of title XVIII of the Social Security Act and under the Medicare Savings Program, and to coordinate efforts to inform older Americans about benefits available under Federal and state programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may not be used for: (a) construction and/or major rehabilitation of buildings; (b) basic research (e.g., bio-medical experiments); (c) continuation and/or expansion of existing services, and (d) medical care, institutional care or income maintenance. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments, OMB Circular A-21 for Educational Institutions, and OMB Circular A-122 for Non-Profit Organizations.

Applicant Eligibility:

Formula grants: State governments and U.S. Territories, with distribution to designated area agencies on aging and Indian Tribal Organizations through an approved State plan. Project grants: Grants may be made to any public or nonprofit private agency, organization, or institution.

Beneficiary Eligibility:

Individuals eligible for Medicare benefits, including Part D drug benefits, and older persons eligible for benefits and services provided under Federal and state programs.

Credentials/Documentation:

Formula Grants - AoA will utilize appropriate census and Medicare coverage data to identify statutory target populations. Project Grants -- Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants - Grant applications are in accordance with program announcements developed by the Administration on Aging (AoA) and will be posted on www.grants.gov. Project Grants - The availability of competitive grant funds is announced via program announcement that is simultaneously published on www.grants.gov and on the AoA web site at www.aoa.gov/doingbus/fundopp/fundopp.asp.

Award Procedure:

Formula Grants - The Administration on Aging awards funds through a statutory formula to State Agencies on Aging. State agencies approve and award funds to Indian Tribal Organizations, Area Agencies on Aging and Aging and Disability Resource Centers. Project Grants - Notification of award will be issued to the grantee.

Deadlines:

Sep 09, 2010: Does not apply. As specified in each program announcement posted on www.grants.gov and www.aoa.gov/doingbus/fundopp/fundopp.asp.

Range of Approval/Disapproval Time:

From 60 to 90 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Formula Grants - State plans are submitted for one-year period, with annual revisions. Project Grants - Grant extensions are available and may be requested.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percentage Dependent on Statute. This program has MOE requirements, see funding agency for further details. Supplemental form must be completed.

Length and Time Phasing of Assistance:

Formula Grants - One year. Project Grants - One to Five Years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 24, 1997), "Audits of State and Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards is exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

Financial records must be kept available for 3 years after submission of expenditure reports in accordance with the regulations at 45 CFR Parts 74 and 92.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 09 \$17,500,000; FY 10 est \$30,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog of Federal Domestic Assistance for addresses.).

Headquarters Office:

Robert Hornyak, Director, Administration on Aging, DHHS, One Massachusetts Ave., Washington, District of Columbia 20001 Email: robert.hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>. For information on current funding opportunities and associated application forms, visit: <http://www.grants.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Awards are made on a non-competitive basis. State Grants are awarded upon approval of a State Plan.

93.072 LIFESPAN RESPITE CARE PROGRAM

Lifespan Respite Care Program

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

The Lifespan Respite Care Act of 2006, Public Law 109-716, 42 U.S.C 201.

OBJECTIVES:

To expand and enhance respite care services to family caregivers; improve the statewide dissemination and coordination of respite care; and to provide, supplement, or improve access and quality of respite care services to family caregivers, thereby reducing family caregiver strain. The Act also creates a National Lifespan Respite Resource Center designed to maintain a national

database on lifespan respite care; provide training and technical assistance to State, community and nonprofit respite care programs; and provide information, referral, and educational programs to the public on lifespan respite care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used for the development and enhancement of lifespan respite care at the State and local levels; the provision of respite care services for family caregivers caring for children or adults; training and recruiting of respite care workers and volunteers; the provision of information to caregivers about available respite and support services; and assistance to caregivers in gaining access to such services. Funds may also be used for training programs for family caregivers to assist family caregivers in making informed decisions about respite care services; other services essential to the provision of respite care as may be specified by AoA; or training and education for new caregivers. Funds may also be used to establish a National Resource Center on Lifespan Respite Care. Matching funds are required. Funds may not be used to supplant other Federal, State, or local funds available for respite care services.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Each governor desiring the eligible State agency of his or her State to receive a grant or cooperative agreement under this section shall submit an application on behalf of such agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications for federal assistance (Nonconstruction Programs) are in accordance with program announcements developed by the Administration on Aging (AoA) and will be simultaneously published on Grants.gov (www.grants.gov) and on the AoA web site at <http://www.aoa.gov/AoARoot/Grants/index.aspx> when funding is available. As specified in the program announcement, application forms and instructions may be obtained by writing to the Department of Health and Human Services, Administration on Aging, Grants Management Office, Washington, DC., 20201.

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. As specified in each program announcement posted on www.grants.gov and <http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>.

Appeals:

Not Applicable.

Renewals:

As outlined in the program announcement.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. Eligible State agencies must provide a non-Federal cash or in-kind contribution of not less than 25% of the total award.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be made for a period not to exceed 3 years. See the following for information on how assistance is awarded/released: Payment Management

System.

Reports:

Program progress reports are required semi-annually for project grants. Submitted through the Payment Management System. No progress reports are required. 269 Financial Status reports are due annually. Evaluation of performance made through review of reports and oversight by Project Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Cooperative Agreements) FY 09 \$2,500,000; FY 10 est \$2,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

Lifespan Respite Care Program \$200,000 average.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92; Lifespan Respite Care Act of 2006 (Public Law 109-716); HHS Grants Policy Statement (<http://www.aoa.gov/AoARoot/Grants/Terms/index.aspx>).

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog.).

Headquarters Office:

Robert Hornyak, Office of Home and Community-Based Services, Administration on Aging, DHHS One Massachusetts Avenue, NW, Washington, District of Columbia 20201 Email: robert.hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

93.051 Alzheimer's Disease Demonstration Grants to States; 93.052 National Family Caregiver Support, Title III, Part E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competitive. Details for evaluating applications are published as part of the program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) involvement of community-based organizations in planning and implementation activities; and (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.073 BIRTH DEFECTS AND DEVELOPMENTAL DISABILITIES - PREVENTION AND SURVEILLANCE

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order 317(k)(2), Title 42, Part 241(a),

Section 301(a), 42 U.S.C 247b(k)(2).

OBJECTIVES:

To work with State health agencies and other public and private and nonprofit organizations in planning, development, implementation, coordination, or evaluation of programs or other activities related to improved birth outcomes, prevention of birth defects and developmental disabilities and the improvement of infant and child health and developmental outcomes. To provide a national, State or local focus for the prevention of conditions in persons with birth defects and developmental disabilities; to employ epidemiological methods to set priorities, build capacity and direct health promotion interventions for persons with birth defects or developmental disabilities; to expand public health training opportunities through fellowships to introduce geneticists, epidemiologists, and clinicians to public health practice through education, training and career-enhancing experiences.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to strengthen, to expand, to build upon or to enhance research, surveillance, health promotion, education, prevention/intervention activities that contribute to the mission and activities of the Division of Birth Defects and Developmental Disabilities. Funds may be used to improve and strengthen State and local public health infrastructure by providing technical assistance to other appropriate health agencies, organizations, special groups or coalitions. Funds may be used to support capacity building, program planning, development, implementation, evaluation, and surveillance for birth defects, developmental disabilities infant and child health and developmental outcomes, including related health promotion, education and training, prevention/intervention. Funds may also be used to coordinate the dissemination of prevention information to the general public, target populations and among state and local agencies and public and private sector organizations in the United States. Funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

N/A.

Beneficiary Eligibility:

State; Consumer; Local; Public nonprofit institution/organization; Federally Recognized Indian Tribal Governments; Individual/Family; Private nonprofit institution/organizations and others.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandy wine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488-2700.

Funding announcements will be posted on www.grants.gov. Forms and instructions are available in an interactive format on the www.grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website.

Award Procedure:

After review and approval, a Notice of Award from the CDC Procurement and Grants Office (PGO) is prepared and signed by an authorized Grants Management Officer and mailed to the recipient officer identified in the

application, along with appropriate notification to the public. The initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Cooperative agreement awards and grants, competitive and single eligibility, are determined by an internal objective committee review process at CDC, including peer reviews for research activities. Awards will be based on evaluation criteria set forth in the respective Funding Opportunity Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. Throughout project periods, CDC's commitment to continuation of awards will be conditioned on the availability of funds and evidence of satisfactory progress by recipient as documented in the required reports. If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 4 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for 12-month budget periods within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Methods of awarding/releasing assistance: quarterly draw down, lump sum. Method of awarding/releasing assistance: quarterly.

Reports:

Quarterly or semiannual progress reports are required. Annual Progress reports and Financial Status Reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Cash reports are not applicable. Quarterly or semiannual progress reports are required. Annual Progress reports and Financial Status Reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. The National Center on Birth Defects and Developmental Disabilities may propose on-site meetings, off-site or other processes for the exchange of information on progress toward objectives of collaborative activities. A plan of proposed activities is required annually. The reporting format and required report content details are specified in Funding Opportunity Announcements. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$34,337,927; FY 10 est \$1,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

FY 10 range is dependent upon funding availability. Award amounts range from \$5,000 to \$1.5M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: It is estimated that around 200 applications or continuations will be received. Fiscal Year 2010: Approximately 60 awards will be granted in fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. The HHS Grants Policy Statement can be found online at:
<http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Brenda Silverman, 1600 Clifton Road, NE, Atlanta, Georgia 30333 Email: exv9@CDC.GOV Phone: (404) 498-3911.

Website Address:

<http://www.cdc.gov/ncbddd>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Awards include the Autism and Developmental Disabilities (ADDM) Network; the National Centers for Autism and Developmental Disabilities Research and Epidemiology (CADDRE); the National Birth Defects Prevention Study (NBDPS) Birth Defects Research Centers; enhancement/development for state birth defects surveillance systems; development of guidelines and education materials; public health conference support; public health genetics training.

Funding Opportunity Number DD06-601 Enhancing current Capacity for Surveillance of Autism Spectrum Disorders and Other Developmental Disabilities from a Public Health Perspective. Fiscal Year 2010: Funding Opportunity Number: CDC-RFA-DD10-1001 Population-Based Birth Defects Surveillance and Utilization of Surveillance Data by Public Health Programs

Funding Opportunity Number: CDC-RFA-DD10 1002; Enhancing Public Health Surveillance of Autism Spectrum Disorders and Other Developmental Disabilities through the Autism and Developmental Disabilities Monitoring (ADDM) Network

Funding Opportunity Number: CDC-RFA-DD09 908; (New) Reducing Risks for an Alcohol-Exposed Pregnancy (AEP) in High Risk Women Attending Sexually Transmitted Diseases (STD) Clinics in Urban Settings. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In general, applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and merit of the overall application relative to the types of projects proposed; (2) clarity of purpose and overall qualifications, adequacy

and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.086 HEALTHY MARRIAGE PROMOTION AND RESPONSIBLE FATHERHOOD GRANTS

(Healthy Marriage Promotion and Responsible Fatherhood Grants)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 403; The Deficit Reduction Act of 2005 (DRA), Public Law 109-171.

OBJECTIVES:

Included four objectives: (1) To fund Healthy Marriage Promotion activities that will help couples, who have chosen marriage for themselves, gain greater access to marriage education services on a voluntary basis. These services will help couples acquire the skills and knowledge necessary to form and sustain a healthy marriage; (2) To fund Responsible Fatherhood promotion activities specifically designed to promote responsible fatherhood to reverse the rise in father absence and its subsequent impact on our nation's children. ACF I awarded these funds on a competitive basis to States, territories, Indian tribes and tribal organizations, and public and nonprofit community entities, including faith-based organizations; (3) Awarded competitive based demonstration projects designed to test the effectiveness of tribal governments or tribal consortia in coordinating the provision to tribal families at risk of child abuse or neglect of child welfare services and services under tribal programs funded under this part; and, (4) To provide technical assistance by the Federal government to States, Indian tribes and tribal organizations, receiving a grant for any program funded under this part.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Secretary may not award funds on a noncompetitive basis, and may not provide any such funds to an entity for the purpose of carrying out healthy marriage promotion activities or for the purpose of carrying out activities promoting responsible fatherhood unless the entity has submitted to the Secretary an application which describes how the programs or activities proposed in the application will address, as appropriate, issues of domestic violence; and what the applicant will do, to the extent relevant, to ensure that participation in the programs or activities is voluntary, and to inform potential participants that their participation is voluntary; and contains a commitment by the entity to not use the funds for any other purpose; and to consult with experts in domestic violence or relevant community domestic violence coalitions in developing the programs and activities.

Applicant Eligibility:

The Healthy Marriage and Responsible Fatherhood grants were awarded one time for a five-year (5) project period. The awards were made final in September 2006 and will run for five consecutive years up through September 2011. There are no subsequent competitive awards for this program. Eligible organizations for Healthy Marriage Grants in general were public and private entities. This included, but was not limited to: States; County governments; City or township governments; Special district governments; State controlled institutions of higher education; Native American tribes and tribal organizations; Nonprofit organizations; Private organizations of higher education; For-profit organizations; and faith-based and community organizations. Application Eligibility for Responsible Fatherhood Grants included: States; territories; Indian tribes and tribal organizations; and public and non-profit community entities, including Faith-based organizations. Application Eligibility for Tribal TANF Child Welfare TA Grants includes Native American Tribal governments.

Beneficiary Eligibility:

Families, couples, individuals in need of assistance with marriage enhancement and relationship education, parenting education, economic stability services. In general these funds will assist in the efforts to enhance and expand the ability of States, Native American governments, local governments, for-profit organizations, non-profit community organizations and other public entities to provide family formation services to those in need.

Credentials/Documentation:

Any nonprofit agency was required to provide proof of its nonprofit status through one of the following: (a) A reference to the applicant organization's listing in the Internal Revenue Service's (IRS) most recent list of tax-exempt organizations described in the IRS code. (b) A copy of a currently valid IRS tax exemption certificate (c) A statement from a State taxing body, State Attorney General, or other appropriate State official certifying that the applicant organization has a nonprofit status and that none of the net earnings accrue to any private shareholders or individuals. (d) A certified copy of the organization's certificate of incorporation or similar document that clearly establishes nonprofit status. (e) Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is its local nonprofit affiliate. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Funding for this program was awarded in September 2006 and no additional funds are available. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants needed to complete all the standard forms required for making application for awards under this announcement. Applicants that requested financial assistance submitted Standard Forms 424, 424A, 424B and the associated certifications and assurances. Specific instructions were published in the official program announcement.

Award Procedure:

Applications received by the due date were reviewed and scored competitively. Experts in the field, generally persons from outside the Federal government, used the evaluation criteria listed in Part V of the Program Announcement to review and score the applications. The results of the review were a primary factor in making funding decisions. ACF may also solicit comments from Regional Office staff and other Federal agencies. ACF may consider a variety of factors in addition to the review criteria identified above, including geographic diversity/coverage and types of applicant organizations, in order to ensure that the interests of the Federal Government are met in making the final selections. The successful applicants were notified through issuance of a Financial Assistance Award document which set forth the amount of funds granted, the terms and conditions of the grant, the budget period for which initial support will be given, the nonfederal share to be provided, and the total project period for which support is contemplated.

Deadlines:

Jun 01, 2006 to Jul 17, 2006: HHS-2006-ACF-OFA-FR-0144. The Healthy Marriage and Responsible Fatherhood grant deadlines have closed. All awards for these programs were made in fiscal year 2005. The grants were one time for a five-year (5) period through fiscal year 2010. No other applications are being accepted and no future funding is anticipated.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time: All grants were approved/disapproved within the 60-90 day period. This program was funded in September 2006 no additional funds are available.

Appeals:

Not Applicable.

Renewals:

Awards were made for 5-year project periods, funding for years 2 thru 5 are not competitive and will depend upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The grants were awarded for project periods of up to five years. Awards, however, were made on a competitive basis, for a one-year budget period. Applications for continuation grants funded under these awards beyond the one-year budget period but within the five-year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the government. Method of awarding/releasing assistance: lump sum.

Reports:

Semi -Annual progress reports. No cash reports are required. Semi -Annual progress reports, SF-269 and SF-272. Semi -Annual progress reports, site visits and annual conferences.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1552-1-0-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$149,870,000; FY 10 est \$150,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Healthy Marriage Grants range from \$250,000 to \$3,250,000 depending on the scope of the project. Average award: \$1,000,000. Responsible Fatherhood Grants will range from \$200,000 to \$1,000,000 depending on the scope of the project. Average award: \$700,000. Tribal TANF Child Welfare Grants will range from \$25,000 to \$100,000. Average Award: \$140,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Organizations are currently active grantees, providing skill-based healthy marriage education and responsible fatherhood activities, which include marriage education, parenting skills, and economic stability. Additionally, OFA funds the National Healthy Marriage Resource Center (NHMRC) and the National Responsible Fatherhood Clearinghouse (NRFC). The NHMRC serves as a clearinghouse and national repository for information, research, technical assistance, and as a catalyst of the healthy marriage field. The National Responsible Fatherhood Clearinghouse assists organizations, states and communities by providing valuable information designed to promote and support Responsible Fatherhood. Primarily a tool for professionals operating Responsible Fatherhood programs, the NRFC provides access to print and electronic publications, timely information on fatherhood issues, and targeted resources that support OFA-funded Responsible Fatherhood grantees. Both the NHMRC and the NRFC are successfully conducting national media campaigns in traditional, social media, and web-based outlets. Fiscal Year 2010: We estimate that each organization will remain active grantees, providing skill-based healthy marriage education and responsible fatherhood activities, which include marriage education, parenting skills, and economic stability. Additionally, OFA funds the National Healthy Marriage Resource Center (NHMRC) and the National Responsible Fatherhood Clearinghouse (NRFC).

The NHMRC serves as a clearinghouse and national repository for information, research, technical assistance, and as a catalyst of the healthy marriage field. The National Responsible Fatherhood Clearinghouse assists organizations, states and communities by providing valuable information designed to promote and support Responsible Fatherhood. Primarily a tool for professionals operating Responsible Fatherhood programs, the NRFC provides access to print and electronic publications, timely information on fatherhood issues, and targeted resources that support OFA-funded Responsible Fatherhood grantees. Both the NHMRC and the NRFC are successfully conducting national media campaigns in traditional, social media, and web-based outlets. Fiscal Year 2011: Fiscal Year 2010 is the last budget year for this project. There will be no accomplishments to report for Budget FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin McDonald, Office of Family Assistance (OFA), 370 L'Enfant Promenade, S.W., 5th Floor East, Washington, District of Columbia 20447
Email: Robin.McDonald@acf.hhs.gov Phone: (202) 401-5587.

Website Address:

www.acf.hhs.gov/healthymarriage/index.html.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.087 ENHANCE THE SAFETY OF CHILDREN AFFECTED BY PARENTAL METHAMPHETAMINE OR OTHER SUBSTANCE ABUSE

Targeted Grants to Increase the Well-Being of, to Improve the Permanency Outcomes for, and Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, as amended, Title IV, Part B, Section 437(f), 42 U.S.C 629g.

OBJECTIVES:

To provide, through interagency collaboration and integration of programs activities and services that are designed to increase the well-being of, improve permanency outcomes for, and enhance the safety of children who are in an out-of-home placement or are at risk of being placed in an out-of-home placement as a result of a parent's or caretaker's methamphetamine or other substance abuse.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 437(f) of Title IV-B of the Social Security Act and may include the following: (1) family-based comprehensive long-term substance abuse treatment services; (2) early intervention and preventative services; (3) children and family counseling; (4) mental health services; (5) parenting skills training; (6) replication of successful models for providing family-based comprehensive long-term substance abuse treatment services. Other suggested activities and services are listed in the program announcement. 100% of funds are set-aside for discretionary activities.

Applicant Eligibility:

State governments, County governments, Local Governments, City or township governments, Regional Organizations, U.S. Territory or Possession,

Independent school districts, Public and State-controlled institutions of higher education, Indian/Native American Tribal governments (Federally recognized), Indian/Native American Tribal organizations (other than Federally recognized), Indian/Native American Tribally Designated Organizations, Public/Indian Housing Authorities, Non-profits with 501(c)(3) IRS status (other than institutions of higher education), Non-profits without 501(c)(3) IRS status (other than institutions of higher education), Private institutions of higher education, For-profit organizations (other than small businesses), Small businesses, Hispanic-Serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), Alaska Native and Native Hawaiian Serving Institutions, and Special district governments. Foreign entities are not eligible under this announcement. Applications must represent regional partnerships formed by a collaborative agreement. Applications must identify a primary applicant responsible for administering the grant. The primary applicant must be one of the regional partnership organizations listed above. The primary applicant cannot be an individual. The regional partnership must include either the State child welfare agency that is responsible for the administration of the State plan under title IV-B or title IV-E of the Social Security Act or an Indian tribe or tribal consortium but the regional partnership may not consist of only State entities.

Beneficiary Eligibility:

Agencies or organizations serving children and families who have experienced or are at risk of experiencing an out of home placement as a result of a parent's or caregivers methamphetamine or other substance abuse.

Credentials/Documentation:

Non-profit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms as furnished by DHHS must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcement of availability of funds is posted to grants.gov. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the fields of child welfare and substance abuse treatment. Final decisions are made by the Commissioner, Administration for Children, Youth and Families (ACYF).

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

This program was announced and grants awarded in FY 2007 for 3 and 5 years. There is no additional announcement anticipated for this program in FY 2010 or FY 2011.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Projects are awarded with a provision for non-competitive renewal during the selected award period (3 or 5 years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The required match must increase over the course of the grant period, such that grantees must contribute 15 percent of the costs of grant-funded services and activities in FY 2007 and FY 2008, 20 percent of such costs in FY 2009 and FY 2010, and 25 percent of such costs in FY 2011. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants were available for a 36- or 60-month period. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grantees must select and track performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency, and well-being of children and their families. PSC 272. Program progress reports are required semiannually with a final report at completion of the project. Financial expenditure reports are required semiannually with a final report at completion of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$29,400,000; FY 10 est \$19,500,000; FY 11 est \$20,000,000

Range and Average of Financial Assistance:

FY 09 range from \$489,937 to \$750,000 with an average of \$754,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: All 53 grants have submitted data, and are providing services for more than 1,800 children and families. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

None.

Headquarters Office:

Elaine Stedt, Office on Child Abuse and Neglect, Children's Bureau, 1250 Maryland Avenue, S.W., Washington, District of Columbia 20024 Phone: (202) 205-7941.

Website Address:

<http://www.acf.hhs.gov/programs/cb> .

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the program announcement including, but not limited to: (1) demonstration of regional impact of methamphetamine or other substance abuse; (2) organizational capacity to collaborate with other service providers; and (3) relevance of proposed services and activities in enhancing the well-being of children in foster care or at risk of foster care due to a parent's or caretaker's substance abuse.

93.088 ADVANCING SYSTEM IMPROVEMENTS TO SUPPORT**TARGETS FOR HEALTHY PEOPLE 2010**

(ASIST2010)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. 300u-2(a), 42 U.S.C 300u-2(a).

OBJECTIVES:

To improve surveillance/information systems that allow tracking of program progress on HP 2010 objectives at the grantee level. To develop and implement a plan to sustain the program after OWH funding ends. To use a public health systems approach to improve performance on two or more HP 2010 objectives that target women and/or men in Focus Areas 1, Access to Quality Health Services, 3-Cancer, 5-Diabetes, 7-Educational and Community-Based Programs, and 12-Heart Disease and Stroke.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to achieve the applicants and the Office on Women's Health (OWH), OWH's program objectives. Funds may not be used for construction, building alterations, equipment, printing, food, and medical treatment.

Applicant Eligibility:

none.

Beneficiary Eligibility:

Women and men across the life span.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application kits may be obtained by accessing [Grants.gov](http://www.grants.gov) at <http://www.grants.gov>.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be made by the OWH and will take into consideration the recommendations and ratings of the review panel; recommendations made based on the pre-award site visit; program needs, stated preferences; and, geographic location.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline due date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project period is for 3 year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is not to exceed 3 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-550.

Obligations:

(Salaries) FY 09 \$5,900,000; FY 10 est \$2,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$275,000 to \$575,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Program Management Contact:
Office on Women's Health, DHHS
200 Independence Avenue, SW Room 712E Washington, DC 2020

Grants Management Contact:

Office of Grants Management, 1101 Wootton Parkway, Suite 550,
Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville,
Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.womenshealth.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for review, applications must be received by the Office of Public Health and Science, Office of Grants Management by 5:00 p.m. Eastern Time on the due date published in the Dates section of the FR. Applications will be screened upon receipt. Those that are judged to be in compliance will be reviewed for technical merit by a technical panel composed of experts with experience with sex and gender programs, program management, service delivery, outreach, health education, Healthy People 2000 and/or Healthy People 2010, leadership development, and program assessment in accordance

with DHHS policy. The technical review of the applications will consider the following factors: Factor 1: Background and Implementation Plan (30 points). Factor 2: Strength of the Public Health System/Collaborative Partnership (Partnership Plan) (20 points). Factor 3: Management Plan (20 points). Factor 4: Evaluation Plan (20 points). Sustainability Plan (10 points) Details regarding the elements to be addressed within each Factor are available in the FR notice.

93.089 EMERGENCY SYSTEM FOR ADVANCE REGISTRATION OF VOLUNTEER HEALTH PROFESSIONALS

ESAR-VHP

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417.

OBJECTIVES:

To establish and maintain a national interoperable network of state systems. Each system is maintained by a State or group of States for the purpose of verifying the credentials, certifications, licenses, relevant training, and hospital privileges of health care professionals who volunteer to provide health services during a public health emergency.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Grant funds may be used for ESAR-VHP related activities only. Grants funds may not be used for construction and/or major rehabilitation of buildings. Grants funds may not be used for basic research (e.g. scientific or medical experiments.). When appropriated and apportioned; all funds available will be used for discretionary grant awards.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR funding opportunity announcement issued under this CFDA program for any proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular A-122 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov - FIND. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the FOA) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Sep 30, 2010: Applicable deadlines and due dates are specified in the funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days. None.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are limited up to 36 months. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incurrence of an expenditure (up to three days) from the Payment Management System.

Reports:

Grantees are required to submit program reports, also known as Program Progress Reports. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 09 \$3,115,389; FY 10 est \$3,672,000; FY 11 est \$3,672,000

Range and Average of Financial Assistance:

\$50,000 to \$60,000 per award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Jennifer Hannah, National Healthcare Preparedness Programs, Office of Preparedness and Emergency Operations, Office of the Assistant Secretary for Preparedness and Response, Department of Health and Human Services, 395 E Street, S.W., Suite 1075, Washington, District of Columbia 20024 Email: jennifer.hannah@hhs.gov Phone: (202) 245-0722.

Website Address:

<http://www.phe.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Purpose and Need; (2) Approach, Work Plan and Activities; (3) Project Outcomes, Evaluation/Dissemination; and (4) Level of Effort.

93.090 GUARDIANSHIP ASSISTANCE**GUARDIANSHIP ASSISTANCE****FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 473; as amended.

OBJECTIVES:

To provide Federal Financial Participation (FFP) to States, Indian Tribes, Tribal organizations and Tribal consortia (Tribes) who opt to provide guardianship assistance payments for the care of children by relatives who have assumed legal guardianship of eligible children for whom they previously cared as foster parents.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Federal assistance may be used only in support of the care of children who meet the eligibility requirements and their siblings under certain situations as specified in the statute. All relatives assuming guardianship of such children are eligible for the nonrecurring expenses associated with obtaining legal guardianship up to \$2000. States and Tribes may receive Federal Financial Participation (FFP) only if title IV-E plans have been approved by the Secretary.

Applicant Eligibility:

Funds are available to States, the District of Columbia, Puerto Rico, and to Tribes with approved title IV-E plans.

Beneficiary Eligibility:

Beneficiaries are children who meet the following requirements: (1) the child has been eligible for title IV-E foster care maintenance payments while residing for at least six consecutive months in the home of the prospective relative guardian; and (2) the State or Tribe has determined that the permanency options of being returned home or adoption are not appropriate for the child; and (3) the child demonstrates a strong attachment to the prospective relative guardian and the prospective guardian is committed to caring permanently for the child; and (4) for children who have attained the age of 14, the child has been consulted regarding the kinship guardianship arrangement. Beneficiaries may also be siblings of eligible children placed in the same kinship guardianship arrangement. FFP is available to States and Tribes for payments made to a relative guardian in accordance with a kinship guardianship agreement that is in writing, negotiated and is binding.

Credentials/Documentation:

Costs are to be determined in accordance with OMB Circular No. A-87 and 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable expenditures. Final decisions and awards are made by the Headquarters Office.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

HHS will approve/disapprove applications (title IV-E plans or amendments to them) within 45 days of receipt by HHS.

Appeals:

Refer to 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Funding is provided on an open-ended basis. Federal Financial Participation (FFP) is equal to the Federal Medical Assistance Percentage (FMAP) rate (as defined by sec. 1905(b) of the Social Security Act and as temporarily enhanced through Section 5001 of Public Law 111-5, the American Recovery and Reinvestment Act of 2009 (ARRA)) for the total expended for kinship guardianship assistance payments; 75% of staff training costs; and 50% of other administrative costs. Short term training provided to kinship guardians is subject to a special FFP rate that varies by fiscal year (55% FFP in FY 2009; 60% for FY 2010, 65% for FY 2011).

Matching Requirements: The non-Federal share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the Tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs and, for a specified portion of administration and training costs only, in-kind expenditures (or contributions) from specified third party sources.

This program does not have MOE requirements.

Matching Requirements: Federal Financial Participation (FFP) for kinship guardianship is equal to the FMAP (as defined by sec. 1905(b) of the Social Security Act) for the total expended for kinship guardianship assistance payments; 75% of staff training costs; and 50% of other administrative costs. Short term training provided to kinship guardians is subject to a special FFP rate that varies by fiscal year (55% FFP in FY 2009; 60% for FY 2010).

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal financial participation is available to a State or Tribe operating under an approved title IV-E plan. The title IV-E agency may implement and claim allowable guardianship assistance program costs beginning on the first day of the quarter in which an approvable title IV-E plan amendment is submitted to the Administration for Children and Families (ACF) to implement the guardianship assistance program. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Other reports as may be required by the Secretary. PSC-272. No progress reports are required. Expenditure reports are required 30 days after the end of the quarter; other reports as required by the Secretary. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

States and Tribes must maintain records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, allocated and direct administrative and training costs, and the provision of financial assistance. See also 45 CFR 92.42.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1546-0-1-609.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$17,000,000; FY 11 est \$81,000,000 - Additional ARRA grants: FY 09 \$0; FY 10 est \$1,000,000; FY 11 est \$2,000,000.

Range and Average of Financial Assistance:

The program became available as an option for States as of October 7, 2008 and for Tribes as of October 1, 2009, Therefore estimates on the range and average of financial assistance are not yet available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Two States were approved to administer title IV-E plans that include operation of a Guardianship Assistance Program. Fiscal Year 2010: It is estimated that five States will have approved title IV-E plans that include operation of a Guardianship Assistance Program. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance in ACYF-CB-PI-10-01 which can found at http://www.acf.hhs.gov/programs/cb/laws_policies/policy/pi/2008/pi0807.htm. See also the HHS Grants Policy Statement found online at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Child Welfare Program Manager, ACF, HHS in the appropriate Regional Office. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.)

Headquarters Office:

Gail Collins, 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: gail.collins@acf.hhs.gov Phone: (202) 205-8552.

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.091 SOCIAL SERVICES AND INCOME MAINTENANCE BENEFITS ENROLLMENT COORDINATION GRANTS

SSIMB Grants

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 1110, Public Law 111-117.

OBJECTIVES:

To provide funds for benefits outreach and enrollment assistance activities awarded competitively to private, nonprofit organizations to support community pilot projects in communities with a high concentration of poverty

that could be sustained through other funding sources after an 12-month grant period.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

(1) Grantees receive the discretionary grant to support-on-going facilitated outreach and enrollment assistance to low-income individuals and families to access already existing Federal, State, and local benefit programs. (2) Grantees use award to initiate new benefits enrollment projects especially for the un-served. (3) Project should be sustained through other funding sources after an 12-month grant period.

Applicant Eligibility:

The Secretary is authorized to make grants to private-nonprofit organizations with 501(c)(3) or 501(c)(4) status. Foreign entities are not eligible. Faith-based and community organizations are eligible.

Beneficiary Eligibility:

Private, nonprofit organizations provide services to low-income individuals and families to support benefits outreach and enrollment assistance activities. While low income populations are the general target population, benefits eligibility for specific Federal, State, and local programs will differ for each program included in the benefits enrollment outreach and assistance pilots.

Credentials/Documentation:

Proof of 501 (c)(3) or (4) status is required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Awards are granted on a competitive basis. Announcements concerning the availability of funds along with the application package, including all required forms, will be posted at www.grants.gov. Applications to the Office of Community Services for grants under the announcement should be addressed to: OCS Operations Center 1515 Wilson Blvd., Suite 100, Arlington, Virginia 22209. Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This program is subject to the provisions of Title 45 CFR Part 74 (non-governmental entities). All applications for assistance under this program must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) SF-424B, Assurances-Non- Construction Programs; (4) Certificate Regarding Drug Free Workplace Requirements; (5) Certification regarding environmental tobacco smoke; (6) Certification Regarding Debarment, Suspension, and Other Responsibility Matters; and (7) Certification Regarding Lobbying. These must be accompanied by a detailed budget, and a program narrative submitted in accordance with the format detailed in the program announcement.

Award Procedure:

Objective review will be made for all grant awards. Selection of applicants will be determined based on the review criteria outlined in the program announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made available for periods of 12 months. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

TBD.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$2,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$350,000 per grant for 12 month period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 0 grants were awarded. Fiscal Year 2010: In FY 10, 5 grants will be awarded. Fiscal Year 2011: It is anticipated that 0 grants will be awarded in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Marsha Werner, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, District of Columbia 20447
Email: marsha.werner@acf.hhs.gov Phone: (202) 401-5281 Fax: (202) 401-5718 .

Website Address:

<http://www.acf.hhs.gov/programs/ocs/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each funding opportunity announcement.

93.092 PERSONAL RESPONSIBILITY EDUCATION PROGRAM

PREP

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

The Social Security Act, Title V, Section 513(c)(1), Public Law 111-148, 42 U.S.C 713(c)(1).

OBJECTIVES:

The purpose of this program is to educate adolescents and young adults on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/AIDS.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

To carry out personal responsibility education programs designed to educate adolescents on both abstinence and contraception for the prevention of pregnancy and sexually transmitted infections, including HIV/24 AIDS. The State program component must fund teen pregnancy prevention and personal responsibility education programs that have been proven on the basis of rigorous scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. State programs must teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. Applicants for innovative program funding should describe the research base for proposed projects, such as the rationale for substantial modifications to evidence-based models and/or developmental research that suggests an innovative model is likely to be effective with a vulnerable or underserved population. The list of evidence-based models developed by the HHS Office of Adolescent Health (OAH) is published on the OAH website at

<http://www.hhs.gov/ophs/oah/prevention/research/programs/index.html>.

Additionally, the innovative practices component must implement programs to target high-risk, vulnerable, and culturally under-represented youth populations. Such programs must be either significant modifications of the above list of evidence-based models or are based upon other promising approaches with a strong research basis. All programs must agree to participate in a rigorous Federal evaluation of their project. Innovative programs must conduct their own rigorous, independent evaluations unless they are selected for the Federal evaluation. From the \$75,000,000 appropriation, \$10,000,000 (13.3%) is designated for demonstrations of innovative teen pregnancy prevention and personal responsibility education programs; \$3,250,000 (5% of the appropriation after the \$10,000,000 is removed) is set aside for Tribes and Tribal organizations; \$6,500,000 (10% of the appropriation after the \$10,000,000 is removed) is designated for program support and evaluation. The remaining \$55,250,000 (73.7% of the appropriation) is for States to implement evidence-based demonstration projects and is allotted by formula. However, beginning in 2012, funds from States that elect not to apply for funds may be distributed on a discretionary basis to entities within those States.

Applicant Eligibility:

Funds are allotted to 59 States and Territories to enable them to carry out personal responsibility education programs. Eligible applicants include the 50 United States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Micronesia, the Republic of the Marshall Islands, and the Republic of Palau. If any States or Territories do not apply for funding in FY 2010 or 2011, they will no longer be eligible to apply for this program and their allotments will be made available to local organizations or entities for the same purpose in the same geographic location.

Funds (\$10,000,000) shall be reserved to award grants to entities to implement innovative youth pregnancy prevention strategies and target services to high-risk, vulnerable, and culturally under-represented youth populations.

Funds shall be reserved for grants to Indian tribes and tribal organizations.

Funds shall be reserved for program support that may be provided directly or through a competitive award process. Program support includes research, training and technical assistance, providing consultation and resources on a broad array of teen pregnancy prevention strategies, and developing materials to support grant activities. Funds shall also be reserved to evaluate programs and activities.

Beneficiary Eligibility:

Personal responsibility education programs shall provide services to adolescents and young adults. Applicants are encouraged to serve youth populations that are the most high-risk or vulnerable for pregnancies or otherwise have special circumstances, including youth in and aging of out foster care, homeless youth, youth with HIV/AIDS, pregnant youth who are under 21 years of age, mothers who are under 21 years of age, and youth residing in areas with high birth rates

for youth. Applicants must include the most recent pregnancy data for the State for youth ages 10 to 14 and youth ages 15-19, and the most recent birth rates among the same age groups, and trends in those rates for the most recently preceding 5-year period for which data are available.

Credentials/Documentation:

A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representatives authority to apply for and administer the Personal Responsibility Education grant program funds on behalf of the State or Territory.

For other funding available under this CFDA number, non-profit organizations applying for funding are required to submit proof of their non-profit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The PREP program includes formula and competitive grant awards to a range of entities. The OMB circulars that are relevant vary according to the funding opportunity and the kind of organization that will be implementing the grant award.

Award Procedure:

For funds allotted to States and Territories (States), the Administration for Children and Families will advise States and Territories of the funding opportunity through a Federal Register notice and/or by a Request for Application (RFA). Applications will be rated Approved, Approved with Conditions, or Approval Pending by Program administrators. Applicants that receive an Approved rating will receive grant awards and will not need to send further information. States that submit applications that are Approved with Conditions" will receive grant awards with descriptions of weaknesses and subsequent conditions that must be met in order to receive Approved status. The States will be given a set period to send to ACF a response that addresses the conditions. States that submit applications that are rated Approval Pending will receive a list of weaknesses cited by the reviewer and directions for submitting an amended application that addresses the weaknesses. The State will not receive a grant award until the State submits a satisfactory application. The States will be notified of a final due date for submission of a satisfactory application.

For funds reserved for innovative strategy grants, applications will be reviewed on a nationwide basis and in competition with other submitted applications. Eligible applications will be reviewed by an Objective Review Committee which will apply the criteria given in the Request for Application (RFA) in order to derive priority scores. The review may include both expert peer reviewers and Federal staff who will review each application that meets the responsiveness and screening criteria. Additionally, the review results may form the basis for development of the programmatic terms and conditions of the cooperative agreement. Applications will be provided to the Director of the Office of Adolescent Health and the Commissioner for the Administration on Children, Youth and Families in order by score and rank determined by the review panel. Final award decisions will be made collaboratively by the Director, OAH and the Commissioner, ACYF. In making the award decision, the Director and the Commissioner will take into account the score and rank order given by the Objective Review Committee, and other considerations given in the RFA.

Funds reserved for grants to Indian tribes and tribal organizations will be made in a manner determined by the Secretary, in consultation with Indian tribes and tribal organizations, to be appropriate.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Consult the RFA or contact the headquarters office, as

appropriate, for application deadlines.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

Statutory Formula: Title V.

Matching Requirements: Cost sharing or matching of non-Federal funds is not required. However, funded programs should build on but not duplicate or replace current Federal programs as well as state, local or community programs, and programs should coordinate with existing programs and resources in the community.

This program has MOE requirements, see funding agency for further details. This program has an MOE requirement for states and local entities to require that expenditure of non-federal funds for activities, programs or initiatives allowed in this program be no less than such expenditures in FY 09. The intent is to supplement and not supplant the funds expended in FY 09.

Length and Time Phasing of Assistance:

Funds allotted to States and Territories for a fiscal year shall remain available for expenditure by the State through the end of the second succeeding fiscal year. If a State or territory does not submit an application for fiscal year 2010 or 2011, they will no longer be eligible to submit an application to receive funds from the amounts allotted to the State for each of fiscal years 2010 through 2014. Those funds shall be used for awarding three year grants to local organizations and entities for the same purpose and in the same geographic region.

Additional information about the availability of funding will be provided in the funding opportunity announcement. Method of awarding/releasing assistance: quarterly.

Reports:

Each award recipient will report annual progress. Grantees must submit all required reports in a timely manner and in recommend format (to be provided). The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these timely could affect future funding. Until HHS has migrated to the SF 425 FFR, award recipients will utilize the SF 269 FSR. The report is an accounting of expenditures under the project that year. There will be data collection, performance measurement, and reporting (e.g., monitoring requirements) for the State and Innovative Practice grantees, as the Secretary determines. However, Federal oversight of the Tribal component may be subject to the outcomes of the Tribal Consultation. The recipient shall submit an annual SF-269 Financial Status Report due no later than 90 days after the end of the applicable 12 month period. The report is an accounting of expenditures under the project that year. More specific information on this reporting requirement will be included in the Notice of Grant Award. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Grantees must comply the relevant sections of the Code of Federal Regulations and OMB circulars to perform required audits.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$75,000,000; FY 11 est \$75,000,000 - The total

budget for each year beginning FY 10 is \$75,000,000 with an estimated breakdown as follows:

Grants to States and Territories: \$55,250,000
Innovative Strategy Grants: \$10,000,000
Grants for Indian Tribes or Tribal Organizations: \$3,250,000
Program Support and Evaluation: \$6,500,000.

Range and Average of Financial Assistance:

Each State or Territory shall be allotted at least \$250,000 or an amount determined by a formula using the number of individuals who have attained age 10 but not attained age 20 in the State or Territory to the total number of such individuals in the entire U.S. based on the most recent Census data, whichever is greater. Applicants for which Census data are not available will be eligible for the minimum allocation of \$250,000. The range and average of financial assistance for the other grants and program activities will be described in the Request for Application.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

No regulations have been developed at this time. Governing authorities shall be the legislative language and the terms and conditions of the cooperative agreement awards as described in the Request for Application.

Regional or Local Office:

None.

Headquarters Office:

LeBretia White, 1250 Maryland Ave., SW, Washington, District of Columbia 20024 Email: lebretia.white@acf.hhs.gov Phone: (202) 205-9605 Fax: (202) 205-9535.

Website Address:

<http://www.acf.hhs.gov/programs/FYSB> (pending). This program will be added to the FYSB website and/or the T&TA provider website (to be announced).

RELATED PROGRAMS:

93.010 Community-Based Abstinence Education (CBAE) ; 93.235 Abstinence Education Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funded State programs must replicate evidence-based, effective programs or strategies that substantially incorporate elements of effective programs that have been proven on the basis of rigorous scientific research to change behavior, which means delaying sexual activity, increasing condom or contraceptive use for sexually active youth, or reducing pregnancy among youth. The programs must include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception. Such activities should teach adulthood preparation subjects including healthy relationships, adolescent development, financial literacy, parent-child communication, educational and career success, and healthy life skills. The programs must: be medically-accurate and complete; include activities to educate youth who are sexually active regarding responsible sexual behavior with respect to both abstinence and the use of contraception; place substantial emphasis on both abstinence and contraception for the prevention of pregnancy among youth and sexually transmitted infections; and must provide age-appropriate information and activities. The information and activities carried out under the program must be provided in the cultural context that is most appropriate for individuals in the particular population group to which they are directed.

REQUIREMENTS OF INNOVATIVE STRATEGIES PROJECTS: Proposals will be funded based on how effectively they can develop, replicate, refine, and test innovative strategies for preventing teenage pregnancy. Programs must be medically accurate, age appropriate, and target services to identified vulnerable and at-risk populations. Funded projects are expected to generate lessons learned so others can benefit from these strategies and innovative approaches

and increase the capacity of communities to develop, implement, and evaluate interventions for dissemination and replication by others. Funded projects must show that the proposed intervention is: (a) based on some preliminary evidence of effectiveness; (b) a significant adaptation of an evidence-based program; or (c) is a new and innovative approach to teenage pregnancy prevention with a sound basis in research.

REQUIREMENTS OF TRIBAL PROJECTS:
Criteria for Tribal projects will be developed as the Secretary, in consultation with Indian Tribes and Tribal Organizations, deem appropriate.

93.093 DEMONSTRATION PROJECTS TO ADDRESS HEALTH PROFESSIONS WORKFORCE NEEDS

Health Professions Education, Training and Advancement

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XX, Section 2008, Public Law 111-148, 42 U.S.C 1397.

OBJECTIVES:

To provide eligible individuals with the opportunity to obtain education and training for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The funds can be used to provide education and training to eligible individuals interested in entering the healthcare field. This funding must be used to provide education and training for occupations in the health care field. The occupations must pay well, either experience labor shortages or be in high demand. Eligible individuals are individuals receiving assistance under the State TANF program or other low income individuals as described by the applicant. At least three grants must be awarded to an eligible Indian Tribe, Tribal Organization or Tribal College or University. The entire \$80,000,000 will be used for discretionary grants.

Applicant Eligibility:

This demonstration project is to provide low-income individuals with opportunities for education, training, and career advancement to address health professions workforce needs. Eligible individuals may obtain education and training for occupations in the health care field that pay well and are expected to either experience labor shortages or be in high demand.

Beneficiary Eligibility:

Eligible individual beneficiaries are individuals receiving assistance under the State TANF program; or other low-income individuals described by the eligible entity in its application for a grant under this section.

Credentials/Documentation:

To be determined. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

To be determined. Applicants must demonstrate that they have consulted with the State agency responsible for administering the State TANF program, the local workforce investment board in the area in which the project is to be conducted (unless the applicant is such board), the State workforce investment board established under section 111 of the Workforce Investment Act of 1998, and the State Apprenticeship Agency recognized under the Act of August 16, 1937 (commonly known as the National Apprenticeship Act) (or if no agency has been recognized in the State, the Office of Apprenticeship of the Department of Labor) and that the project will be carried out in coordination with such entities. An environmental impact statement is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. None.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the field.

Deadlines:

Jun 01, 2010 to Jun 30, 2010 The deadline for the receipt of applications is stated in the Program Announcement.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Each year following the initial competitive funding, non-competitive continuation applications will be received and processed within 60-90 days.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. None.

This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

Grants are available for not less than one year and not more than five years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Budget period: September 29, 2010 September 30, 2011 (one year budget period). See the following for information on how assistance is awarded/released: Funds are drawn down by grantees on a periodic basis, typically each month.

Reports:

An eligible entity awarded a grant to conduct a demonstration project under this program shall submit interim reports to the Secretary on the activities carried out under the project and a final report on such activities upon the conclusion of the entities participation in the project. Such reports shall include assessments of the effectiveness of such activities with respect to improving outcomes for the eligible individuals participating in the project and with respect to addressing health professions workforce needs in the areas in which the project is conducted. The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these timely could affect future funding. Until HHS has migrated to the SF 425 FFR, award recipients will utilize the SF 269-Financial Status Report and the SF 272-Cash Transaction Report. The report is an accounting of expenditures under the project that year. An eligible entity awarded a grant to conduct a demonstration project under this program shall submit interim reports to the Secretary on the activities carried out under the project and a final report on such activities upon the conclusion of the entities participation in the project. Such reports shall include assessments of the effectiveness of such activities with respect to improving outcomes for the eligible individuals participating in the project and with respect to addressing health professions workforce needs in the areas in which the project is conducted. The grantees shall submit an annual Financial Status Report (FSR). An FSR is due no later than 90 days after the end of the applicable 12 month period and failure to submit these timely could affect future funding. Until HHS has migrated to the SF 425 FFR, award recipients will utilize the SF 269-Financial Status Report and the SF 272-Cash Transaction Report. The report is an accounting of expenditures under the project that year. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. This Circular is issued pursuant to the Single Audit Act of 1984, Public Law 98-502, and the Single Audit Act Amendments of 1996, Public Law 104-156. It sets forth standards for obtaining consistency and uniformity among Federal agencies for the audit of States, local governments, and non-profit organizations expending Federal awards

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1534-0-1-506.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$80,000,000; FY 11 est \$80,000,000 - None.

Range and Average of Financial Assistance:

The range is \$2,000,000 - \$5,000,000. The grant average is \$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the Funding Opportunity Announcement, the application instructions, and the HHS Grants Policy Statement. The HHS Grants Policy Statement can be found at: <http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Regional or Local Office:

None.

Headquarters Office:

Robin Y. McDonald, 370 L'Enfant Proemaded, S.W., Washington, District of Columbia 20447 Email: Robin.McDonald@acf.hhs.gov Phone: (202) 401-5587.

Website Address:

<http://www.acf.hhs.gov/programs>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.103 FOOD AND DRUG ADMINISTRATION_RESEARCH

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Radiation Control for health Safety Act of 1968, Title XVII, Public Law 90-602, 42 U.S.C 300u; Public Health Service Act as amended, Title III, Section 301(c), Public Law 78-410, 42 U.S.C 241 and 263; Small Business Innovation Research Program Reauthorization Act of 1992 as amended, Public Law 102-564.

OBJECTIVES:

To assist institutions and organizations, to establish, expand, and improve research, demonstration, education and information dissemination activities; acquired immunodeficiency syndrome (AIDS), biologics, blood and blood products, therapeutics, vaccines and allergenic projects; drug hazards, human and veterinary drugs, clinical trials on drugs and devices for orphan products development; nutrition, sanitation and microbiological hazards; medical devices and diagnostic products, radiation emitting devices and materials; food safety and food additives. These programs are supported directly or indirectly by the following Centers and Offices: Center for Biologics Evaluation and Research (CBER); Center for Drug Evaluation and Research (CDER); Center for Devices and Radiological Health (CDRH); Center for Veterinary Medicine (CVM), Center for Food Safety and Applied Nutrition (CFSAN), National Center for Toxicological Research (NCTR), the Office of Orphan Products Development (OPD) and Office of Regulatory Affairs (ORA), and the Office of the Commissioner (OC). Small Business Innovation Research (SBIR) Programs: to

stimulate technological innovation; to encourage the role of small business to meet Federal research and development needs; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation by minority and disadvantaged persons in technological innovation. Funding support for scientific conferences that are relevant to the FDA scientific mission and public health are available. Funds are also provided to assist with State Food Safety Task Force and Protection meetings, Innovative Food Defense Projects, and Food Protection Rapid Response Team and Program Infrastructure Improvement Prototype Projects (RRT).

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants; Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

Research grants are awarded to an eligible institution in the name of a principal investigator for a discrete project representing the investigator's interest and competence and are responsive to programmatic requirements as stated in the Funding Opportunity Announcement (FOA). Funds are to be used for costs as identified in the FOA and as directly allocable, necessary and reasonable to carry out the proposed research aims and objectives. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants for this program are for the competitive continuation of the research initiated in Phase I, and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Conference grants provide partial support for domestic scientific conferences related to the FDA mission. Food Safety Task Force and Protection, Innovative Food Defense Projects and Rapid Response Team and Program Infrastructure Improvement Prototype project grant funds are to be used as stated in the FOA. As stated in the FOA and terms and conditions of award.

Applicant Eligibility:

The grants are available to any domestic or foreign, public or private, for-profit or non-profit entity (including State and local units of government). Federal agencies that are not part of HHS may apply. Organizations that engage in lobbying activities, as described in section 501 (c) (4) of the Internal Revenue Code of 1968, are not eligible. Other eligible institutions include Private/Public and State Controlled institutions of higher education including Hispanic serving Institutions; Historically Black Colleges and Universities (HBCUs); Tribally Controlled Colleges and Universities (TCCUs); Alaska Native and Native Hawaiian Serving Institutions; Nonprofit with 501(c)(3) IRS Status (other than Institution of Higher Education); Non-domestic (non-U.S.) entity; Small Business; For-Profit Organization (other than Small Business); State Government; Regional Organization; U.S. Territory or Possession; Indian/Native American Tribal Government (Federal recognized and other than Federally recognized); Indian/Native American Tribally Designated Organization; Faith-based or community based organizations.

Beneficiary Eligibility:

Domestic or foreign entities, public or private Colleges, universities, profit-making organizations, nonprofit institutions, hospitals, and State and local governments; U.S. Territory or Possession; Indian/Native American Tribal Government (Federal recognized and other than Federally recognized); Indian/Native American Tribally Designated Organizations and faith-based organizations.

Credentials/Documentation:

All grant applications must present a research plan and provide evidence of scientific competence, experimental methods and programmatic relevance, and the available facility and resources to accomplish the proposed aims and objectives. Phase I Small Business Innovation Research applications should be an idea or concept with commercial potential; and Phase II applications should provide evidence that commercialization of the research results are plausible; for-profit organizations' with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations (FAR); OMB Circular No. A-21 for educational institutions, 45 CFR 74 Appendix E for Hospitals; OMB Circular No. A-122 for nonprofit organizations and large non-profit organizations listed in Attachment C of OMB circular A-122 are subject to Federal Acquisition Regulation (FAR) 48 CFR Subpart 31.2 (A subawardee would be subject to the cost principles

applicable to its type of organization). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as provided by the FDA through Grants.gov and required by 45 CFR 74 and 92 must be used for this program. All research applicants must complete the SF-424 R&R application for new, competing continuations or competing supplemental research grants. Solicited applications in response to Funding Opportunity Announcements (FOA) must be submitted through Grants.gov unless otherwise stated in the FOA. Consultation with Program Staff is available by contacting the Headquarters Office listed below.

Award Procedure:

All accepted research grant applications receive a dual scientific review for technical merit by an appropriate initial Ad Hoc Review group and a second level review by a National Advisory Council or Board, as appropriate. All approved applications compete for available funds on the basis of scientific merit and programmatic relevance. All applications accepted under the Radiation Control for Health Safety Act of 1968, Public Law 90-602 and Small Scientific Conference grants, and the ORA programs are evaluated under objective review procedures.

Deadlines:

Dec 05, 2009: SBIR. Research grant applications due dates are stated in the Funding Opportunity Announcement as published in the Federal Register and/or in the NIH Guide. SBIR applications are received and processed by the Center for Scientific Review at the National Institutes of Health (NIH) on April 5, August 5 and December 5; Conference grant applications are received via Grants.gov on October 15, January 15, April 15, and July 15. Unsolicited grants should be sent to the Headquarters Office listed below.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 6 to 9 months for research grant applications. SBIR applications: Approximately 6 months. Conference applications: Approximately 3 months.

Appeals:

From 30 to 60 days.

Renewals:

From 90 to 120 days. Renewals (competing continuation applications) are available. Procedures are the same as for new applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Routinely grants are awarded from 1 to up to 5 years years as requested and/or recommended by reviewing groups, successful annual performance and the availability of funds. Small Business Innovation Research Phase I awards are generally for 6 months; Phase II awards are generally for 2 years. See the following for information on how assistance is awarded/released: Domestic payments are made available through the DHHS Payment Management System (PMS).

Reports:

As noted in the terms and conditions of award. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Reporting requirements are as noted in the FOA and in the Terms and Conditions of Award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions in the most recently revised Circular A133.

Records:

All grant and Cooperative agreement documentation must be retained for 3 years from the day on which the grantee submits the final financial status reports for the project period. Financial and Programmatic records, supporting documents, statistical records and all other records that are required by the terms of the grant, or may be considered pertinent to a grant must be retained for 3 years from the date the grantee submits the final financial status report for the report period. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts and grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Project Grants) FY 09 \$38,665,076; FY 10 est \$40,598,329; FY 11 est \$40,598,329

Range and Average of Financial Assistance:

Varies by grant mechanism and program specific requirements.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52 - Grants for Research Project; 45 CFR Part 46 - Protection of Human Subjects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement, (Most recent revision) available at <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>; and the Public Health Omnibus Solicitation for Small Business Innovation Research Grant applications.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Michelle Hawley, Division of Acquisition Support and Grants, Office of Acquisition and Grant Services, Food and Drug Administration, Department of Health and Human Services, HFA-500, Room 2107, 5600 Fishers Lane, Rockville, Maryland 20857 Email: Michelle.Hawley@fda.hhs.gov Phone: (301) 827-1991 Fax: (301) 827-7101.

Website Address:

<http://www.fda.gov>.

RELATED PROGRAMS:

93.448 Food Safety and Security Monitoring Project; 93.449 Ruminant Feed Ban Support Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy and suitability of the available facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance to programmatic objectives as noted in the FOAs For Small Business Innovation Research applications, the major

elements in evaluating Phase I grant applications include the assessment of: (1) The technical merit of the proposed research; (2) the soundness of the proposed design and methods; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the potential of the proposed research for technological innovation and commercial application; (5) the appropriateness of the budget requested; and (6) the adequacy and suitability of the facilities and research environment. In review of Phase II applications, criteria include those listed above, in addition to the following: (1) the degree in which Phase I objectives were met and the feasibility demonstrated; and (2) the adequacy of the Phase II objectives and methodology for addressing the proposed research. For conference programs, the support of scientific meetings is contingent on the programmatic interests and priorities of the individual centers and programs.

93.104 COMPREHENSIVE COMMUNITY MENTAL HEALTH SERVICES FOR CHILDREN WITH SERIOUS EMOTIONAL DISTURBANCES (SED)

(CMHS Child Mental Health Service Initiative)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Services Act, Title V, Part E, Section 561, Public Law 102-321, 42 U.S.C.

OBJECTIVES:

To provide community-based systems of care for children and adolescents with a serious emotional disturbance and their families. The program will ensure that services are provided collaboratively across child-serving systems; that each child or adolescent served through the program receives an individualized service plan developed with the participation of the family (and, where appropriate, the child); that each individualized plan designates a case manager to assist the child and family; and that funding is provided for mental health services required to meet the needs of youngsters in these systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries, wages and fringe benefits of professional and support staff; travel directly related to carrying out service activities; supplies, communications, and rental of equipment and space; training specifically aimed at one of the following: the development of individualized service plans; the provision of therapeutic foster care, group home care; intensive home-based services, or intensive day treatment services; administration of the system; and appropriate continuing education of two days or less; contracts for performance of activities under the approved project; and other such items necessary to support project activities. Grant funds and required matching funds may not be used for: other required services; to purchase or improve real estate property; provide residential care and/or services in residential treatment centers serving more than 10 children in inpatient hospital settings; any training activities with the exception of those mentioned above; and non-mental health services including mental health medical services, educational services, vocational counseling and rehabilitation, and protection and advocacy. 100% of funds are set aside for discretionary activities.

Applicant Eligibility:

States, political subdivisions of a State, such as county or local governments, and Federally Recognized Indian Tribal governments.

Beneficiary Eligibility:

Children under age 22 with a diagnosed serious emotional disturbance, serious behavioral disorder, or serious mental disorder.

Credentials/Documentation:

In order for an entity to be eligible it must provide the following credentials or documentation along with an application for assistance: (1) Documentation that the State mental health agency of the applicant receives funds from the Center for Mental Health Services Community Mental Health Services Block Grant; (2) documentation that the applicant entity is qualified to deliver services under

the State Medicaid Plan and has an agreement to do so, either directly or through a service provider organization; (3) documentation that the State has an approved plan for the development of a system of care for community-based services for children with a serious emotional disturbance approved by the Secretary of the U.S. Department of Health and Human Services. For the purpose of this program, an approved State Mental Health Plan for children and adolescents with serious emotional disturbance submitted in accordance with the requirements of Section 1912 of the Public Health Service Act, will be accepted as such a plan; and (4) matching requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard application forms, as furnished by the Public Health Service (PHS 5161-1, Rev. July, 2000) and required by 45 CFR Part 92 for State and local governments, must be used by applicants. Grant application kits may be obtained from: Knowledge Exchange Network (KEN) at 1 (800) 789-2647.

Award Procedure:

Applications submitted are reviewed in a two-tired peer review process. Grants in support of projects recommended for approval by the initial review group and the Center for Mental Health Services Advisory Council are awarded directly to the applicant organization.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 120 to 180 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%.

This program has MOE requirements, see funding agency for further details. A requirement contained in certain legislation, regulations, or administrative policies that a recipient must maintain a specified level of financial effort in the health area for which Federal funds will be provided in order to receive Federal grant funds. This requirement is usually given in terms of a previous base-year dollar amount.

Length and Time Phasing of Assistance:

Project will be funded for up to 6 years. Payments will be made under an Electronic Transfer System. See the following for information on how assistance is awarded/released: SAMHSA, awards grants projects for the twelve months budget period release and release at the time award is issued.

Reports:

CMHI and CH requires quarterly program reports. No cash reports are required. CMHI and CH requires quarterly program reports. The 269 Financial Status Report is required by SAMHSA, Grants Management Office 90 days after the end of the budget period. SAMHSA, monitors the grants programs through monthly conference calls, site visits, continuation application and quarterly progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year record retention requirement: records shall be retained beyond the 3-year period if the final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$54,042,527; FY 10 est \$76,640,280; FY 11 est \$71,082,502

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2009 71 awards were made. Fiscal Year 2010: In fiscal year 2010, it is estimated to fund 67 award. Fiscal Year 2011: In FY 2011 it is estimated to fund 67 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92. Guidelines are provided along with instructions in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Revised April 1, 1994).

Regional or Local Office:

None.

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following review criteria will be used in evaluating proposals: (1) Potential significance of the proposed project; (2) appropriateness of goals and objectives; (3) adequacy and appropriateness of the proposed approach and activities; (4) evidence of the State's readiness and commitment to the proposed project; (5) commitment to family participation in system development and care of their children and adolescents; (6) degree of cultural competence demonstrated; (7) capability and experience of project director, consultants, and other key staff; (8) adequacy of available resources; (9) reasonableness of the proposed budget; (10) cooperation in the evaluation activities; and (11) evidence of activities directed at developing continues funding support. Award criteria include: (1) quality of proposed project as determined by the review process; (2) geographical distribution; and (3) availability of funds.

93.105 BILINGUAL/BICULTURAL SERVICE DEMONSTRATION GRANTS

(Bi/Bi) Bilingual/Bicultural

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

Office of Minority Health (OMH) is authorized to conduct this program under 42 U.S.C. Section 300 u-6, section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

To improve and expand the capacity for linguistic and cultural competence of health care professionals and paraprofessionals working with Limited-English-Proficient (LEP) minority communities and improve the

accessibility and utilization of health care services among the LEP minority populations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to support projects that provide bilingual/bicultural assistance in the delivery of health services for minority populations. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or supplantation of on-going project activities.

Applicant Eligibility:

Public and private nonprofit minority community-based organizations or health care facilities serving a targeted LEP minority community may apply.

Beneficiary Eligibility:

Members of the minority groups whose native language is other than English: American Indians or Alaska Natives, Asians, Blacks or African Americans, Hispanics or Latinos, Native Hawaiians or other Pacific Islanders, or a subgroup of any of these groups.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information and application kits may be requested by calling 240-453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Grant projects can have a 3-year project period, with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant projects can have a 3-year project period, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash basis or under a letter of credit. Necessary instructions regarding procedures will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: by letter of

credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$2,200,000; FY 10 est \$2,200,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$175,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations,
1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact:

Office of Grants Management,
1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Reducing linguistic and cultural barriers which exist between Hispanic patients and health care providers through language and cultural training sessions; (2) developing and implementing a case management and education program that targets specific groups of limited-English-speaking Asians and Pacific Islanders; (3) assessing the health status of Chinese, Vietnamese, Cambodian, and Laotian seniors; providing lectures on Asian

health care needs and specific health resources, and providing bilingual and bicultural translators and interpreters; and increasing knowledge and awareness about managed care and its implications. Fiscal Year 2010: (1) Reducing linguistic and cultural barriers which exist between Hispanic patients and health care providers through language and cultural training sessions; (2) developing and implementing a case management and education program that targets specific groups of limited-English-speaking Asians and Pacific Islanders; (3) assessing the health status of Chinese, Vietnamese, Cambodian, and Laotian seniors; providing lectures on Asian health care needs and specific health resources, and providing bilingual and bicultural translators and interpreters; and increasing knowledge and awareness about managed care and its implications. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.107 AREA HEALTH EDUCATION CENTERS POINT OF SERVICE MAINTENANCE AND ENHANCEMENT AWARDS

AHEC-POSME

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 751(a) (2), as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148, Section 5403.

OBJECTIVES:

The Patient Protection and Affordable Care act, Public Law 111-148, amended the PHS Act, Section 751, Area Health Education Centers. Legislative changes include AHEC Infrastructure Development awards which replace Basic/Core AHEC Program awards and AHEC Point of Service Maintenance and Enhancement awards which replace Model State Supported AHEC Program awards. The required Program Activities in the amended legislation embrace the following goals: (1) to educate and train students to become culturally competent primary care health professionals who will provide healthcare in underserved areas and to health disparity population; (2) to increase the number and variety of primary care health professionals who provide care to underserved populations in Health Professional Shortage Areas (HPSAs) and other medically underserved areas; and (3) to recruit into health careers individuals from underrepresented minority population or from disadvantaged or rural backgrounds. The AHEC Point of Service Maintenance and Enhancement awards consist of cooperative agreements with accredited schools of medicine and nursing to maintain and improve the effectiveness and the capabilities of an existing AHEC program, and make other modifications to the program that are appropriate due to changes in demographics and needs of populations served. The AHEC awardees link the academic resources of medical schools and participating health profession schools with local educational and clinical sites, and thereby establish a network of primary care oriented community-based training sites to provide educational services to health profession students, faculty-preceptors and health care providers in underserved areas, and ultimately to improve the delivery of health care in an underserved region or in an entire state. Grantees shall allocate not less than 75 percent of the award to area health education centers to coordinate comminute-based training and interdisciplinary training in underserved areas and for health disparity populations. AHEC health careers recruitment activities shall be coordinated, where practicable, with Department of Labor workforce investment boards, and shall include an emphasis on careers in public health. Interdisciplinary training shall involve physicians, physician assistants, nurse practitioners, nurse midwives, dentists, psychologists, pharmacists, optometrists, community health workers, public health and allied

health professionals, as practicable.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds shall be used to recruit individuals from underrepresented, disadvantaged, or rural backgrounds into the health professions; establish and maintain community based placements or preceptorships with an emphasis on primary care; conduct interdisciplinary training involving an array of health professionals; deliver or facilitate continuing education; propose and implement outcomes measurement and evaluation strategies. Not less than 75 percent of the total amount provided to an AHEC program under subsection 751(a) (2) shall be allocated to the area health education centers participating in the program. The aggregate amount of awards to schools in the State for the fiscal year under Point of Service AHEC authority shall not exceed the lesser of (i) \$2 million; and (ii) amount equal to \$250,000 times the aggregate number of AHEC centers operated in the State by the schools.

Applicant Eligibility:

An entity eligible to apply for AHEC Point of Service Maintenance and Enhancement awards (formerly referred to as Model AHEC Program awards) means an entity has received funds under this section (751), is operating an area health education center program, including an area health education center or centers, and has a center or centers that are no longer eligible to receive financial assistance under subsection (a)(1).

Beneficiary Eligibility:

Accredited public or nonprofit schools of allopathic medicine or osteopathic medicine, the parent institution on behalf of such schools, and also, accredited schools of nursing in States in which no AHEC program is in operation.

Beneficiaries include also a full range of trainees: high school students, health profession students, and practicing health professionals.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

3 to 4 months after the review of the application.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Matching Funds: With respect to the costs of operating a program through a grant under section 751, to be eligible for assistance under section 751, an entity shall make available (directly or through contributions from State, county or municipal governments or the private sector) recurring nonfederal contributions in cash or in kind toward such costs in an amount that is equal to not less than 50 percent of such costs. At least 25 percent of the total required non-Federal contributions shall be in cash. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are available for expenditure during the appropriate budget period. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A Uniform Progress Report (UPR) must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. No cash reports are required. A Uniform Progress Report (UPR) must be submitted annually. . .

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$18,000,000; FY 10 est \$20,000,000; FY 11 est \$20,000,000

Range and Average of Financial Assistance:

\$1,115,232 to \$92,940; \$428,665.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In 2009, 55 AHEC programs and 240 AHEC centers ongoing in 47 states, Guam and Palau; training 20,471 medical students and 28,998 associated health professionals in primary care community sites in underserved and rural areas; health careers training provided to 30,646 high school students from underrepresented minority populations and/or economically or educationally disadvantaged populations.

42 Point of Service AHEC Program awards were made. Fiscal Year 2010: It is expected that 42 Point of Service AHEC Program awards will be made. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. CAPT Norma Hatot, Senior Nurse Consultant,

Area Health Education Centers Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857 and E-mail at : nhatot@hrsa.gov.

Louis D. Coccodrilli, Chief, Area Health Education Centers Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857 and E-mail at lcoccodrilli@hrsa.gov.

Headquarters Office:

Louis D. Coccodrilli, 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857 Email: lcoccodrilli@hrsa.gov Phone: (301) 443-6950.

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

NEED- Involves the extent to which the application describes the problem(s) to be addressed and the target population(s) and geographic area(s) to be served by the AHEC(s).

RESPONSE- Involves the degree to which the proposal responds to AHEC legislative requirements and program activities.

EVALUATE MEASURES- The adequacy of the evaluation strategies to monitor and evaluate project results.

IMPACT- Involves the extent and effectiveness of plans for dissemination of project results and/or the extent to which project results may be national in scope. The degree to which the project activities are replicable, and/or sustainability of the program as Federal funding decreases.

RESOURCES/CAPABILITIES- Involves the extent to which project personnel are qualified by training and/or experience to implement and carry out the proposal. Considers the capabilities of the applicant organization and quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project.

SUPPORT REQUESTED- Involves the reasonableness of the proposed budget and resources in relation to the objectives, scope of the project, complexity of activities, and anticipated results.

See guidance at www.grants.gov for detailed selection criteria.

93.110 MATERNAL AND CHILD HEALTH FEDERAL CONSOLIDATED PROGRAMS

Special Projects of Regional and National Significance SPRANS, including the Community Integrated Service Systems CISS; Public Health Service Act - Section 399BB Programs under the Combating Autism Act of 2006; The Heritable Disorders Program and the Congenital Conditions Program.

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 502(a)(1) and (b)(1), as amended; 42 U.S.C. 702.; Section 399BB of the Public Health Service Act; Section 1109, 1111 and 1112 of the Public Health Service Act and Section 399R of the Public Health Service Act.

OBJECTIVES:

To carry out special maternal and child health (MCH) projects of regional and national significance; to conduct training and research; to conduct genetic

disease testing, counseling, and information development and dissemination programs; for grants relating to hemophilia without regard to age; and for the screening of newborns for sickle cell anemia, and other genetic disorders. and to support comprehensive hemophilia diagnostic and treatment centers. These grants are funded with a set-aside from the MCH Block grant program. SPRANS grants are funded with 15 percent of the Block Grant appropriation of up to \$600 million. When the appropriation exceeds \$600 million, 12.75 percent of the amount over \$600 million is set aside for the Community Integrated Service Systems grants. 15 percent of the balance remaining over \$600 million is also for SPRANS. The CISS program is to develop and expand the following: (1) Home visitation; (2) increased participation of obstetricians and pediatricians; (3) integrated service delivery systems; (4) maternal and child health centers for women and infants, under the direction of a not-for-profit hospital; (5) services for rural populations; and (6) community-based services for children with special health care needs in order to enhance or develop an integrated services system. In FY 2008 Congress first funded the Combating Autism Act program, which is for early detection, education and intervention activities on autism and other developmental disorders.

First funded in 2004, the Heritable Disorders Program is established to improve the ability of States to provide newborn and child screening for heritable disorders and affect the lives of all of the nations infants and children.

Newborn and child screening occur at intervals across the life span of every child. Newborn screening universally provides early identification and follow-up for treatment of infants affected by certain genetic, metabolic, hormonal and/or functional conditions. It is expected that newborn and child screening will expand as the capacity to screen for genetic and congenital conditions expands.

First funded in 2009, the Congenital Conditions program is established to provide information and support services to women and their families that have received a diagnosis for Down Syndrome, Spina Bifida, Dwarfism and other prenatally or postnatally diagnosed conditions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities which show promise of a substantial contribution to the advancement of maternal and child health services. Genetic grants are for genetic disease testing, counseling and information development and dissemination. Hemophilia grants are for the support of centers which provide hemophilia diagnostic and treatment services. Other special project grants are designed to support activities of a demonstration nature which are designed to improve services for mothers and children.

Applicant Eligibility:

Training grants may be made to public or private nonprofit institutions of higher learning. Research grants may be made to public or private nonprofit institutions of higher learning and public or private nonprofit private agencies and organizations engaged in research or in Maternal and Child Health (MCH) or Children with Special Health Care Needs (CSHCN) programs. Any public or private entity is eligible for hemophilia and genetics grants and other special project grants, including CISS. Eligible entities for the Heritable Disorders Program include a State or a political subdivision of a State; a consortium of 2 or more States of political subdivisions of States; a territory; a health facility or program operated by or pursuant to a contract with or grant from the Indian Health Service; or any other entity with appropriate expertise in newborn screening, as determined by the Secretary. Eligible entities for the Congenital Disorder Program include States or political subdivision of a State; a consortium of 2 or more States of political subdivisions of States; a territory; a health facility or program operated by or pursuant to a contract with or grant from the Indian Health Services, and or non-governmental organizations with expertise in prenatally and postnatally diagnosed conditions.

Beneficiary Eligibility:

For training grants: (1) Trainees in the health professions related to MCH; and (2) mothers and children who receive services through training programs. For research grants: public or private nonprofit agencies and organizations engaged in research in MCH or CSHCN programs. For hemophilia, sickle cell,

thalassemia or genetics, and other special projects: (1) Public or private agencies, organizations and institutions; and (2) mothers and children, and persons with hemophilia (any age), who receive services through the programs. For the Congenital Disorders program: (1) parents who receive a positive diagnosis prenatally, at birth, or up to 1 year after the affected child's birth; (2) healthcare providers who provide, interpret, and inform parents of the results of positive test diagnosis for congenital conditions; (3) national and local peer-support programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 35%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, annual program service reports, and special reports are required. Annual Federal Financial Report. Quarterly Federal Financial Report Cash Transaction Report. Refer to the funding opportunity announcement for further information. Refer to the funding opportunity announcement for further information. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$136,281,000; FY 10 est \$142,000,000; FY 11 est \$149,000,000

Range and Average of Financial Assistance:

\$15,000 to \$3,997,824; \$254,731.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: 535 projects were awarded in FY 2010. Fiscal Year 2011: 559 projects will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. 42 CFR Part 51a Project Grants for Maternal Child and Health.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Associate Administrator for Maternal and Child Health, Health Resources and Services Administration, Department of Health and Human Services, Room 18-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2170.

Headquarters Office:

Associate Administrator for Maternal and Child Health, 5600 Fishers Lane, Room 18-05, Rockville, Maryland 20857 Phone: (301) 443-2170.

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

93.234 Traumatic Brain Injury State Demonstration Grant Program; 93.251 Universal Newborn Hearing Screening; 93.365 Sickle Cell Treatment Demonstration Program; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.111 ADOLESCENT FAMILY LIFE RESEARCH GRANTS

AFL Research

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Executive Order 42 USC 300u et seq., 42 U.S.C 300u et seq.

OBJECTIVES:

To encourage and support research projects and dissemination activities concerning the societal causes and consequences of adolescent sexual activity, contraceptive use, pregnancy and child rearing. To determine ways to alleviate,

eliminate or resolve negative consequences of adolescent sexual activity, pregnancy and childbearing for parents, children and their families.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may be used for descriptive or explanatory surveys, longitudinal studies, or limited demonstration projects for services done for the purpose of increasing knowledge and understanding of the objectives. Abortions may not be provided or encouraged. In the case of a "for-profit" grantee, no grant funds may be paid in excess of allowable direct and indirect costs.

Applicant Eligibility:

State and local government agencies; private organizations (nonprofit and profit); institutions of higher education.

Beneficiary Eligibility:

Non-pregnant adolescents, pregnant adolescents, adolescent parents, and their families will benefit.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations. Costs for-profit organizations will be determined by 48 CFR, Subpart 31.2 of the Federal Acquisition Regulation. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application Form PHS-398 (Rev. May 1995) for Research Grants should be submitted. The standard application forms, as furnished by PHS and required by 45 CFR, Part 74, (for institutions of higher education, hospitals, and other non-profit organizations), and 45 CFR, Part 92, (for State and local governments), must be used for this program. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. This program is subject to the provisions of 45 CFR, Part 74, 45 CFR, Part 92, and OMB Circular No. A-102 (administrative guidelines and standards for states and local governments), and OMB Circular No. A-110 (administrative guidelines and standards for institutions of higher education, hospitals, and other non-profit organizations).

Award Procedure:

After considering the recommendation of the review group, the Deputy Assistant Secretary for Population Affairs makes the final decision on the funding of approved applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 3 to 5 months.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects may be approved for 1 year; a project may be renewed for two additional 1-year periods based on submission of an application, including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may not exceed 3 years with budget periods not to exceed 12 months. Payments will be made either on a monthly cash request basis or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$1,000,000; FY 10 est \$0; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

\$100,000 to \$400,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations, 42 CFR 52 and 52h, 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. Program guidance is provided in the application kit.

Regional or Local Office:

None. Office of Adolescent Pregnancy Programs, Office of Population Affairs, Department of Health and Human Services, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852. Telephone: (240) 453-2828.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.opa.osophs.dhhs.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: This program has funded projects for the following purposes: (1) Adolescents at risk; and (2) adoption versus parenting. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: This program has funded projects for the following purposes: (1) Adolescents at risk; and (2) adoption versus parenting.

CRITERIA FOR SELECTING PROPOSALS:

(All applications are subject to peer review, in a system established by 42 CFR

Section 52h.8, which must find a project to be of scientific merit before it can be awarded a grant.) Criteria include: (1) Scientific and technical significance and originality; (2) adequacy of methodology; (3) qualifications and experience of principal investigator staff; (4) availability of necessary resources; (5) reasonableness of budget and duration; and (6) adequacy of protection of humans, animals, and the environment.

93.113 ENVIRONMENTAL HEALTH

National Institute of Environmental Health Sciences

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Part A, Section 301 and Title IV, Part A, Section 401, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241, as amended, 42 U.S.C. 288, Public Law 99-500, Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To foster understanding of human health effects of exposure to environmental agents in the hope that these studies will lead to: the identification of agents that pose a hazard and threat of disease, disorders and defects in humans; the development of effective public health or disease prevention strategies; the overall improvement of human health effects due to environmental agents; the development of products and technologies designed to better study or ameliorate the effects of environmental agents; and the successful training of research scientists in all areas of environmental health research. Supported grant programs focus on the following areas: (1) Understanding biological responses to environmental agents by determining how chemical and physical agents cause pathological changes in molecules, cells, tissues, and organs, and become manifested as respiratory disease, neurological, behavioral and developmental abnormalities, cancer, and other disorders; (2) Determining the mechanisms of toxicity of ubiquitous agents like metals, natural and synthetic chemicals, pesticides, and materials such as nanoparticles, and natural toxic substances, and their effects of on various human organ systems, on metabolism, on the endocrine and immune systems, and on other biological functions; (3) Developing scientific information about potentially toxic and hazardous chemicals by concentrating on toxicological research, testing, test development, and validation efforts; (4) Conducting broad-scale efforts in biometry and risk estimation for determining, monitoring and predicting the probable health risks of cancer, organ system toxicities, and diseases and disorders resulting from human exposures to various environmental hazards, including the development of new statistical analytic tools and methods; (5) Conducting environmental public health research that requires communities as active participants in all stages of research, dissemination, and evaluation to advance both the science and the development of practical materials for use in communities, with a focus on translating research findings into tools, materials, and resources that can be used to prevent, reduce, or eliminate adverse health outcomes caused by environmental exposures; (6) Expanding and improving the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (7) Expanding and improving the STTR program to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (8) Providing support for broadly based multi-disciplinary research and training on environmental health problems in Environmental Health Sciences Core Centers. These Centers serve as national focal points and resources for research and manpower development. The Centers for Neurodegenerative Science addresses the need for integrated research efforts involving basic and clinical scientists in a quest to discover the causes of and possible treatments for neurodegenerative diseases. The Breast Cancer and the

Environment Research Program (co-funded with NCI) studies the impact of prenatal to-adult environmental exposures that may predispose a woman to breast cancer. A special emphasis is on the impact of environmental factors on a girls pubertal development, a known risk factor for breast cancer. Through these programs, NIEHS expects to achieve the long range goal of developing new clinical and public health applications to improve disease prevention, diagnosis, and therapy. Additional Centers programs developed in recent years, include the Centers for Oceans and Human Health (P50)(co-funded with NSF), Children's Environmental Health Centers (P01)(co-funded with US EPAA) and the Autism Centers of Excellence (co-funded with (NIMH) (U54) and the ; (9) Supporting research training programs which serve to increase the pool of trained research manpower with needed expertise in the Environmental Health Sciences through support of Individual and Institutional National Research Service Awards (NRSAs); (10) The Outstanding New Environmental Scientist Program which provides first time research grant funding to outstanding junior scientists in the formative stages of their career who are proposing to make a long term commitment to environmental health sciences research and to address the adverse effects on environmental exposures on human biology, human pathophysiology and human disease.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grants and Cooperative Agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I, scientific and technical merit, and commercial potential of the Phase II application. Career development awards such as Independent Scientist Awards (Supersedes former Research Career Development Award): These awards are in amounts up to \$75,000 (plus fringe benefits, eight percent indirect costs, and \$25,000 for research support) and are made to institutions to provide salary and research support for research scientists who need an additional period of sponsored research as a way to gain experience in a research area new to the candidate or in an area that would demonstrably enhance the candidate's scientific career. Supplementation from nonfederal funds is allowed. Mentored Clinical Scientist Development Awards and Mentored Patient-Oriented Research Career Development Awards (encompass the previous Physician Scientist Awards and Clinical Investigator Awards): Awards up to \$75,000 (salary), \$25,000 for research support plus eight percent indirect costs and fringe benefits, to provide for specialized study for clinically trained professionals who are committed to a career in research and have the potential to develop into independent investigators. Supplementation from nonfederal funds is allowed. Midcareer Investigator Award in Patient Oriented Research: These awards provide salary for levels of effort between 3-6 person months per year (plus fringe benefits) and \$25,000 of career development support to provide support for mid-career health professional doctorates at the mid-career level protected time to devote to patient oriented research and to act as mentors, primarily for clinical residents. The Mentored Quantitative Research Development Award: Awards up to \$75,000 salary (plus fringe) and up to \$40,000 in Career Development Expenses to junior faculty with quantitative and engineering backgrounds to allow them to refocus their research on NIH-relevant topics. Supplementation from non-federal funds is allowed. The Pathways to Independence Award provides up to five years of support consisting of two phases. The initial phase provides 1-2 years of mentored support for highly promising postdoctoral research scientists. Phase 2 consists of up to 3 years of independent research support contingent on securing an independent tenure track or equivalent research position. The total costs per year for the mentored phase should not exceed \$90,000 per year, and the total costs for the independent phase may not exceed \$249,000 per year. NIEHS Core Center grants (P30) are primarily intended to provide infrastructure support and the support of core research facilities. In addition, an appropriate

indirect cost is provided as determined by negotiated agreement with the grantee's cognizant government organization. National Research Service Awards (NRSAs): Individual predoctoral and postdoctoral training awards are made for the support of fellows who engage in research training in environmental toxicology, environmental pathology, environmental mutagenesis, or environmental epidemiology/biostatistics. These training grants enable institutions to make awards to individuals selected by them, for both predoctoral and postdoctoral research training in the aforementioned areas. Each individual who receives a postdoctoral NRSA is obligated upon termination of the award to comply with certain service and payback provisions.

Applicant Eligibility:

Research Grants, Cooperative Agreements, Science Education Grants, SBIR Grants, Independent Scientist Awards, Mentored Research Scientist Development Award, Mentored Clinical Scientist Development Award, and the Academic Career Awards: A university, college, hospital, State, local or tribal governments, nonprofit research institution, or for-profit organization may submit an application and receive a grant for support of research by a named principal investigator. Candidates for Academic Career Awards and Midcareer Investigator Awards in Patient Oriented Research must have a doctoral degree and peer-reviewed, independent, research support at the time the award is made. Candidates for Mentored Clinical Scientist Development Awards and Mentored Patient Oriented Research Career Development Awards must have a clinical degree or its equivalent and must have initiated post graduate clinical training. Candidates holding a Ph.D. degree are ineligible. Candidates who have served as principal investigators on PHS-supported research projects are ineligible. A candidate for Academic Career Awards must have a clinical or research doctorate degree. Those eligible for the Development Award must be able to devote at least 75 percent effort. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Centers: A university-based, nonprofit research institution, or for-profit organization proposing an integrated research program established to accomplish a stated mission, covering activities ranging from very basic research to the actual application of research results in the prevention and control of environmental health problems, may submit an application under the direction of a named Center Director. National Research Service Awards: (1) Nonprofit domestic organizations may apply for the Institutional NRSA; (2) Individual NRSA awardees must be nominated and sponsored by a public for-profit or nonprofit private institution having staff and facilities appropriate to the proposed research training program; (3) all awardees must be citizens or have been admitted to the United States for permanent residence; (4) to be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree).

Beneficiary Eligibility:

For Research Grants: Any nonprofit or for-profit organization, company, or institution engaged in biomedical research. For Centers and Training Grants: University-based nonprofit institutions; for-profit organizations conducting research; and individuals nominated by a private institution conducting research.

Credentials/Documentation:

Research Grants, Science Education Grants, Cooperative Agreements,

Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Awards, and Academic Career Awards: Applications must be signed by appropriate officials of the submitting institution. For educational institutions and Indian Tribal governments, Costs will be determined in accordance with OMB Circular No. A-21. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For non-profit grantees, costs will be determined by OMB Circular A-122. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-2 and PHS 6426-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Centers: Application must be signed by appropriate officials of the submitting institution. National Research Service Awards: (1) Individual NRSA Awards - The applicant's academic record, research experience, citizenship, institutional sponsorship, proposed area and plan of training must be included in the application; (2) Institutional NRSA - The applicant institution must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organizations' costs are determined in accordance with 45 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research Grants, Science Education Grants, Cooperative Agreements, SBIR Grants and Awards: Application forms and instructions for their submission are available from the Division of Extramural Outreach and Information Resources, National Institutes of Health, 6701 Rockledge Drive, MSC-7910, Bethesda, MD 20892. Small Business Technology Transfer (STTR) program uses same procedure as SBIR immediately above. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program by those applicants which are State and local units of government. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://grants.nih.gov/grants/funding/sbir.htm> on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to grants.gov at <http://grants.gov>. For Center grants and NRSA Training grants the standard application forms, as furnished by PHS and required by 45 CFR 92, must be used for this program by those applicants that are State or local units of government. Centers: Consultation with National Institute of Environmental Health Sciences staff is essential prior to submission of an application. National Research Service Awards (Individual): Prior to formal application, an applicant must arrange for acceptance at sponsoring institution by a sponsor who will supervise the training. Application Kits and instructions for submission are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, Room 6207, 6701 Rockledge Drive, MSC-7910, Bethesda, MD 20892-7910. Specific information concerning Centers may be obtained by contacting the office indicated under Information Contacts. This program is subject to the provisions of 45 CFR, 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Made on the basis of dual review by peer groups of all applications. The first level of reviews is by a study section for scientific merit. In addition, a national advisory council provides a secondary level of review for all applications. As

required by P.L. 109-482, the NIH Health Reform Act of 2006, all research grant and cooperative agreements must undergo Advisory Council/Board review and approval prior to funding, including those \$50,000 and under in direct costs. Review of Individual NRSA applications by an Advisory Council/Board is not required. Final approval of these recommendations and decisions concerning funding are made by the Director, NIEHS. All accepted applications are evaluated first for technical merit by an appropriate scientific review group and then by a national advisory council. All accepted SBIR and STTR applications are first evaluated for scientific and technical merit by an appropriate scientific peer review panel, then by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds based on scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 6 to 9 months. SBIR and STTR: About 7-1/2 months. Centers and Institutional National Research Service Awards: From 6 to 9 months. Individual National Research Service Awards: From 6 to 8 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Research Grants, Cooperative Agreements, Center Grants, and Institutional Training Grants: Renewal applications are subject to same criteria as new applications. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Scientist Development Award, Academic Career Awards, and Individual Training grants are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grants, Cooperative Agreements, Center Grants, and NRSA Institutional grants may be awarded for up to 5 years, generally in 12-month budget periods and may be extended through a competitive renewal. Science Education Grants may be awarded for up to 5 years, in 12-month budget periods, and are not renewable. Independent Scientist Awards are awarded for 5 years in 12-month budget periods, and are non-renewable. Mentored Research Scientist Awards are for up to 5 years, 12-month budget periods, and are non-renewable. Mentored Clinical Scientist Development Awards and Academic Career Awards are for up to 5 years and are renewable. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. National Research Service Awards: Individual awards are non-renewable and may be for 1, 2, or 3 years, but no individual may receive NRSA support at the predoctoral level for more than 5 years and at the postdoctoral level for more than 3 years. Funds are released primarily on basis of an Electronic Transfer System. See the following for information on how assistance is awarded/released: Funds are released primarily on basis of an Electronic Transfer System.

Reports:

Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Cash reports are not applicable. Annual and final progress reports are required for all Grant Awards. Annual financial reports are due for a subset of grant awards. Final financial reports are due for all grant awards. Additional reports are required after termination of National Research Service

Awards to ascertain compliance with the service and payback provisions. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submit the last expenditure report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Project Grants) FY 09 \$313,664,000; FY 10 est \$335,327,000; FY 11 est \$343,586,000

Range and Average of Financial Assistance:

Range: \$2,000 to \$2,560,722
Average: \$361,950.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 573 Research Project Grant (RPG) awards were made including 24 SBIR/STTR awards. In Fiscal Year 2009 in addition to the unsolicited grant applications that were funded, several Funding Opportunity Announcements (FOAs) were issued for new and continuing programs. The Research to Action: Assessing and Addressing Community Exposures to Environmental Contaminants was a new R21 program initiated in FY 2009 to bring together community members and environmental and occupational health researchers to investigate the potential health risks of environmental and occupational exposures that are of concern to the community. This FOA resulted in the submission of 102 applications; of these 8 awards were made. There were several continuing programs for which awards were made in FY 2009. The Environmental Health Sciences (EHS) Core Center Program FOA (released in FY 2008) received 7 applications, 3 of which were funded in FY 2009. The EHS Core Center Program provides infrastructure support (i.e., core facilities) to universities for the conduct of environmental health research. The Childrens Environmental Health and Disease Prevention Research Centers (P01s), a joint program with US EPA received 11 applications and six awards were made. The Childrens Centers supports a multidisciplinary program of basic and applied research (P01, program project grants) to examine the effects of environmental factors on childrens health and well-being. The Outstanding New Environmental Scientist Award (ONES) FOA received 41 applications in FY 2009 and six awards were made. The ONES Program promotes the career advancement of the most highly creative and promising new scientists who are prepared to make long term career commitment to research in the mainstream of the environmental health sciences, and who can propose ground-breaking approaches to problems of how environmental exposures affect human biology, human pathophysiology, and human disease. Fiscal Year 2010: In fiscal year 2010, it is anticipated that 633 RPG awards (including SBIR and STTR awards), 27 Center grants, 54 Individual and 51 Institutional NRSAs will be made. In Fiscal Year 2010 several FOAs were issued for new programs. An FOA for a new program titled Engineered Nanomaterials: Linking Physical and Chemical Properties to Biology (U19) was released for funding in FY 2010. The objective of this program is to understand how physical and chemical characteristics of engineered nanomaterials (ENMs) influence their molecular interactions with biological matrices and elicit biological responses. Awards made through this FOA will use a multi-project cooperative agreement (U19) mechanism. Twenty-nine applications were received and it is anticipated that four to five awards will be made. The Virtual Consortium for Translational/Transdisciplinary Environmental Research (ViCTER) (R01) is a

new program released through an FOA in FY 2010. The intent of the ViCTER program is to foster transdisciplinary collaboration and promote translational research efforts between and among basic (technology and mechanism oriented), clinical (patient-oriented) and population-based researchers and other individuals with expertise relevant to environmental health who have come together in common interest around a particular environmental stressor(s) of interest. Twenty-one applications were received and it is anticipated that at least six awards will be made. An FOA released in FY 2009 established a new The Childrens Environmental Health Formative Centers (P20) program that allows for the development of new research teams, connections with communities and other stakeholders, in order to obtain preliminary data on childhood diseases and disorders where the evidence of an environmental contribution has yet to be fully established or appreciated. Twenty-five applications were received and 6 applications were funded. The Breast Cancer and the Environment Research Program (BCERP) was re-competed in FY 2010. Three FOAs were issued as part of the BCERP. The purpose of the BCERP is to form a network of multi-disciplinary teams drawn from the individual research projects that will work collaboratively to conduct transdisciplinary research focused on the impacts of environmental exposures on pubertal development, other critical developmental time points and breast cancer risk. Three applications were received in response to the Early Environmental Exposures: Continuing Studies of Human Puberty FOA and all three were funded. Nineteen applications were received in response to Environmental Influences during Windows of Susceptibility and Breast Cancer Risk FOA. It is anticipated that at least six applications will be awarded. Two applications were received in response to the FOA for the Breast Cancer and the Environment Research Coordinating Center and one application will be funded. In addition to these programs, the EHS Core Centers Program and the ONES program were re-announced for funding in FY 2010. Fiscal Year 2011: In fiscal year 2011, it is anticipated that 644 RPG awards (including SBIR and STTR awards), 27 Center grants, 54 Individual and 51 Institutional NRSAs will be made. In Fiscal Year 2011 there are several new research programs planned.

A new research program on Climate Change and Health: Assessing and Modeling Population Vulnerability to Climate Change (R21) will be initiated. The FOA is being released in FY 2010 with awards to be made in FY 2011. This FOA will encourage research applications that examine the differential risk factors of populations that lead to or are associated with increased vulnerability to exposures, diseases and other adverse health outcomes related to climate change. It is anticipated that 20-30 applications will be received and that 5-8 new awards will be made in FY 2011. Two FOAs are planned for release in FY 2010 with funding of applications to occur in FY2011 that will provide opportunities for biosensors and candidate biomarkers developed under the trans-NIH Genes and Environment Initiative - Exposure Biology Program and other programs to be validated in pilot human studies. The Validation and Field Testing of New Tools for Characterizing the Personal Environment FOA to be released in FY 2010 for funding in FY 2011 solicit applications to conduct field testing and initial validation of existing prototype tools for characterizing the personal environment including chemical exposures, diet, physical activity, psychosocial stress and the use of addictive substances. It is anticipated that 20-30 applications will be received and 6-8 awards being made. The Validation and Field Testing of Novel Biomarkers of Response to Environmental Stressors FOA to be released in FY 2010 for funding in FY 2011 solicit applications to conduct validation of promising candidate biomarkers and technologies by taking advantage of existing epidemiological studies with extensive exposure information. It is expected that 20-30 applications will be received and 4- 6 awards being made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; various other publications and application kits, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, NIH, Room 6207, 6701 Rockledge Drive, Bethesda, MD 20892. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Program Contacts: Research Grants: Dr. William A Suk, Director, Center for Risk & Integrated Sciences, DERT, NIEHS, E-mail: suk@niehs.nih.gov. Telephone: (919) 541-0797; or Dr. Jerrold Heindel, Acting Chief, Cellular, Organ and Systems Pathobiology Branch, E-mail: heindelj@niehs.nih.gov. Telephone: (919) 541-0781; or Dr. Claudia Thompson, Acting Chief, Susceptibility and Population Health, E-mail: thomps14@niehs.nih.gov; Telephone: (919)541-4638. Partnerships in Environmental Public Health Grants: Dr. Claudia Thompson, Acting Chief, Susceptibility and Population Health, E-mail: thomps14@niehs.nih.gov; Telephone: (919) 541-4638. Independent Scientist Awards, Mentored Research Scientist Development Awards, Mentored Clinical Research Scientist Development Awards, Academic Career Awards: Dr. Carol Shreffler, Program Administrator, Cellular, Organ and Systems Pathobiology Branch, E-mail: shreffl1@niehs.nih.gov. Telephone:(919)541-1445. AREA, SBIR and STTR Grant Programs: Dr. Daniel Shaughnessy, Program Administrator, Susceptibility and Population Health Branch, E-mail: shaughn1@niehs.nih.gov. Telephone: (919)541-2506. P30 Core Centers Program Contact: Dr. Leslie Reinlib, Program Administrator, Susceptibility and Population Health Branch, E-mail: reinlib@niehs.nih.gov; Telephone: (919)541-4998; NRSA Program Administrator: Dr. Michael Humble, Program Administrator, Cellular, Organ and Systems Pathobiology Branch, E-mail: humble@niehs.nih.gov. Telephone: (919) 316-4621. Grants Management Contact: Ms. Dorothy Duke, Chief, Grants Management Officer, Grants Management Branch, E-mail: duke3@niehs.nih.gov. Telephone: (919) 541-2749. For each program contact, the rest of the mailing address is: Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, P.O. Box 12233, Research Triangle Park, NC 27709.

Headquarters Office:

Benigno Encarnacion, 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarna1@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

<http://www.niehs.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following are examples of projects funded in FY 2009: (1) research to study the role of oxidative stress and lipid profiles in the progression of amyotrophic lateral sclerosis among newly diagnosed ALS patients; (2) research to better understand the transport of inhaled nanoparticles into/across alveolar epithelium, using polystyrene, silica, and metal oxide particles in alveolar epithelial cells in vitro and in vivo; (3) a research project supported by the Childrens Environmental Health and Disease Prevention Research Centers to examine the effects of in utero and early life exposure to potentially carcinogenic chemicals present in homes (i.e., pesticides, tobacco-related and epigenetic factors and their interplay in the development of childhood leukemia; (4) a research project funded through the Research to Action FOA that is a partnership between university investigators and community-based organizations to determine if children are exposed to increased levels of traffic-related PM at school compared to ambient levels in the communities where the children reside and develop and implement a community-driven anti-idling campaign; and (5) a research project by a new investigator supported through the ONES Program that combines state-of-the-art unbiased epigenomic approaches with specific quantitative epigenetic techniques to identify dose- dependent alterations in the fetal epigenome following in utero BPA exposure in both animal model and human samples. Fiscal Year 2010: The following are examples of projects funded in FY 2010: (1) a research project to investigate the role of DNA polymerase eta (Pol eta) structure and function in translesion synthesis using a combination of biochemical, genetic, and structural approaches to determine how Polη mediates proficient and error-free replication through DNA lesions such as a UV induced cis-syn TT dimer, an 8-oxoguanine formed from oxidative damage, and a cisplatin G-G crosslink formed upon cisplatin chemotherapy; (2) a research project to investigate the factors that influence an individuals susceptibility to arsenic toxicity using a nested case-control epidemiology design that tests the hypotheses that the capacity to methylate As is influenced by As methyltransferase (AS3MT) genotypes and that AS3MT genotypes and As methylation are associated with risk for skin lesions; (3) a research project

to test the hypothesis that prenatal DES exposure leads postnatally to increased susceptibility to autoimmunity and cancer by altering the positive and/or negative selection of T cells in the thymus leading to generation of skewed T cell repertoire with enhanced reactivity towards self antigens and decreased response against non-self antigens; (4) a research project by a new investigator supported through the ONES Program to understand copy number variation (CNV) as a mechanism of human disease using *Daphnia* to test the hypothesis that exposure to environmental contaminants increase the rate of mutations resulting in CNVs, which have functional consequences on gene expression; and (5) a research project funded through the BCERP that will utilize a unique existing cohort of girls followed from ages 6 and 7, who have had semiannual examinations over 3-5 years to better understand the relationships between timing of onset and pathway of puberty with menarche, interaction between endocrine disruptors and polymorphisms, and changes in body composition. Fiscal Year 2011: In Fiscal Year 2011 there are several new research programs planned. A new research program on Climate Change and Health: Assessing and Modeling Population Vulnerability to Climate Change (R21) will be initiated. In addition, two FOAs are planned for release in FY 2010 with funding of applications to occur in FY2011 that will provide opportunities for biosensors and candidate biomarkers developed under the trans-NIH Genes and Environment Initiative - Exposure Biology Program and other programs to be validated in pilot human studies.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.116 PROJECT GRANTS AND COOPERATIVE AGREEMENTS FOR TUBERCULOSIS CONTROL PROGRAMS

Tuberculosis Prevention and Control and Laboratory Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(a)317E, 42 U.S.C. 247b-b(a), as amended; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Preventive Health Amendments of 1984, Public Law 98-555; Public Health Service Act of 1987, as amended, Public Law 100-177; TB Prevention Amendments Act of 1990, as amended, Public Law 101-368.

OBJECTIVES:

To assist State and local health agencies in carrying out tuberculosis (TB) control activities designed to prevent transmission of infection and disease. Financial assistance is provided to TB programs to ensure that the program needs for the core TB prevention and control activities are met: finding all cases of active tuberculosis and ensuring completion of therapy; finding and screening persons who have had contact with TB patients, evaluating them for TB infection and disease, and ensuring completion of appropriate treatment, and conducting TB surveillance and TB public health laboratory activities that are essential to addressing these priorities. Each of these core activities (completion of therapy, contact investigation, TB surveillance, and TB laboratory activities) is essential to effective TB prevention and control, and they are mutually reinforcing. Thus, they constitute a "package" of core activities. These are the highest priority TB prevention and control activities and should be carried out by all State and local TB prevention and control programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used to support both local personnel and individuals in direct assistance (i.e., "in lieu of cash") positions under Section 317 of the Public Health Service Act and to purchase equipment, supplies and services directly related to project activities, particularly directly observed therapy, patient outreach, morbidity surveillance, outreach and program assessment. Project funds may not be used to supplant State or local funds available for tuberculosis control, or to support construction costs or inpatient care.

Applicant Eligibility:

Under Section 317 of the Public Health Service Act, official public health agencies or their bona fide agents of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

Official public health agencies of State and local governments, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operations, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Award Procedure:

After officials review and approve applications, a Notice of Award is prepared and processed, and appropriate notification is made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 120 to 180 days.

Renewals:

From 60 to 90 days. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the requirements described in 45 CFR 92.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula or matching requirements. Although there are no matching requirements, applicants must assume part of the project costs and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(b)(2)317E.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: One year. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Quarterly or semiannual narrative and performance statistical reports are required of the recipient. Semiannual reports should address the recipients progress of achievement of the stated objectives. Annual financial status reports are also required of the recipient no later than 90 days after the end of each budget period. Final financial status and are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$98,531,318; FY 10 est \$99,043,905; FY 11 est \$99,043,905

Range and Average of Financial Assistance:

\$86,938 to \$9,330,772 with an average of \$1,456,528.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Support was provided for 68 cooperative agreements in fiscal year 2009 with special emphasis on the Nation's inner cities where much of the tuberculosis morbidity occurs. Fiscal Year 2010: It is estimated that support will also continue for the 68 cooperative agreements in fiscal year 2010 with continued special emphasis on the Nation's inner cities where much of the tuberculosis morbidity occurs. Fiscal Year 2011: It is estimated that support will also continue for the 68 cooperative agreements in fiscal year 2011 with continued special emphasis on the Nation's inner cities where much of the

tuberculosis morbidity occurs.

REGULATIONS, GUIDELINES, AND LITERATURE:

Subpart A of 42 CFR 51b is applicable, including all regulations incorporated by reference under Section 51b.105. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

John Moran, 1600 Clifton Road, MS E10, Atlanta, Georgia 30333 Email: jsm4@cdc.gov Phone: (404) 639-0969 Fax: (404) 639-8959.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Cooperative agreements were provided to health departments to intensify tuberculosis prevention and control activities, primarily through the use of outreach workers. Fiscal Year 2010: Cooperative agreements are provided to health departments to intensify tuberculosis prevention and control activities, primarily through the use of outreach workers. Fiscal Year 2011: Cooperative agreements will be provided to health departments to intensify tuberculosis prevention and control activities, primarily through the use of outreach workers.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated in 2010 on the 2008 data including the total number of cases reported, the number of bacteriologically confirmed cases reported, the bacteriologically substantiated rate of disease, the number of tuberculosis cases among racial and ethnic minorities, significant tuberculosis problems among the homeless, cumulative number of TB/AIDS cases, and significant increases in tuberculosis morbidity. The number of tuberculosis cases under current supervision which have organisms resistant to one or more anti-tuberculosis drugs will also be considered in evaluating and prioritizing projects for funding. In addition, the overall potential effectiveness of the applicant's plan of operation in meeting the objectives of proposed projects will be considered in evaluating and prioritizing projects.

93.117 PREVENTIVE MEDICINE RESIDENCY PROGRAM

Preventive Medicine Residency Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Sec. 768, 42 U.S.C. 295c, as amended by the Patient Protection and Affordable Care Act (Pub. Law 111-148).

OBJECTIVES:

Grants are intended to promote postgraduate education in the specialty of preventive medicine. Preventive Medicine is the specialty of medical practice which focuses on the health of individuals and defined populations in order to protect, promote, and maintain health and well-being and prevent disease, disability and premature death. It is one of the 24 specialties recognized by the American Board of Medical Specialties. Preventive Medicine encompasses three areas of specialization: (1) General Preventive Medicine and Public Health, (2) Occupational Medicine, and (3) Aerospace Medicine.

An applicant must demonstrate to the Secretary that it has or will have available full-time faculty members with training and experience in the fields of preventive medicine and support from other faculty members trained in public health and other relevant specialties and disciplines.

TYPES OF ASSISTANCE:

PROJECT GRANTS; Training

USES AND USE RESTRICTIONS:

Grants are intended to assist in meeting the costs of planning and developing new preventive medicine programs; maintaining or improving existing residency training programs in preventive medicine; and providing financial assistance to medical residents enrolled in such programs. Grants may not be used for construction or for the provision of direct patient services.

Each trainee receiving stipend support must: (a) be a citizen of the United States, a non-citizen U.S. national, or a foreign national having in his or her possession a visa permitting permanent residence in the United States; (b) be a physician who has graduated from an accredited school of medicine or osteopathic medicine in the United States; or if a graduate from a foreign school, meet the criteria of the Educational Commission for Foreign Medical Graduates, for entry into the program supported by this grant; and (c) plan to complete the grant-supported program and engage in the practice and/or teaching of preventive medicine, especially in positions which meet the needs of medically underserved populations.

Applicant Eligibility:

Eligible applicants are schools of medicine, osteopathic medicine and public health. An applicant must demonstrate to the Secretary that it has or will have available full-time faculty members with training and experience in the fields of preventive medicine and support from other faculty members trained in public health and other relevant specialties and disciplines.

An applicant must demonstrate to the Secretary that it has or will have available full-time faculty members with training and experience in the fields of preventive medicine and support from other faculty members trained in public health and other relevant specialties and disciplines.

Beneficiary Eligibility:

U.S. citizens, noncitizen nationals, and those foreign nationals who possess a visa permitting permanent residence in the United States may receive a stipend under this program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuation applications may be submitted during the final budget period of the approved project period. Applications must be submitted in accordance with instructions and deadlines for competitive applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The BHP Performance Report has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education. For additional information please review the 2009 BHP performance guidance at <http://bhpr.hrsa.gov/grants/>.

A financial status report is required within 90 days after the end of the budget period. More specific information will be included in the award notice. No cash reports are required. The BHP Performance Report has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education. For additional information please review the 2009 BHP performance guidance at <http://bhpr.hrsa.gov/grants/>.

A financial status report is required within 90 days after the end of the budget period. More specific information will be included in the award notice. The BHP Performance Report has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education. For additional information please review the 2009 BHP performance guidance at <http://bhpr.hrsa.gov/grants/>.

A financial status report is required within 90 days after the end of the budget period. More specific information will be included in the award notice. The BHP Performance Report has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education. For additional information please review the 2009 BHP performance guidance at <http://bhpr.hrsa.gov/grants/>.

A financial status report is required within 90 days after the end of the budget period. More specific information will be included in the award notice.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before

the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$1,231,304; FY 10 est \$2,365,000; FY 11 est \$2,365,000

Range and Average of Financial Assistance:

FY 09: \$239,850 to \$250,000 (range) \$246,261 (average)

FY 10: Data not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$1,231,304 was awarded to five entities. Fiscal Year 2010: Fiscal Year 2010: A new competition was offered. It is estimated that \$2,365,000 will be available in appropriated funds to support eight grantees. Anticipated program start date: July 1, 2010. Fiscal Year 2011: It is estimated that \$2,365,000 will be available in appropriated funds to support eight noncompeting continuations grantees. Anticipated program start date: July 1, 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Elsie Quinones, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-5244 and E-mail: equinones@hrsa.gov.

Headquarters Office:

Elsie Quinones 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Email: equinones@hrsa.gov Phone: (301) 443-5244

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.412 ARRA - Preventive Medicine Residency Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by consultants for technical merit recommendations based on the potential effectiveness of the proposed project in carrying out the training purposes of section 768 of the PHS Act. Approval of any application will be based on an analysis of the following factors: PREVENTIVE MEDICINE - purpose, need and rationale, project plan, project management, fiscal plan, trainee diversity, and project effectiveness. For applicants who are currently funded grantees or applicants who are not currently funded, but have received funding within the previous four years, reviewers will examine the progress report summary to determine the current or prior project's: impact on preventive medicine education both locally and nationally; ability to carry out grant-specific objectives and BHP performance objectives; and dissemination activities.

93.118 ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS)

ACTIVITY

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(l).

OBJECTIVES:

To develop and implement HIV prevention programs of public information and education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Support for cooperative agreements for HIV activities.

Applicant Eligibility:

Public and private organizations, both nonprofit and for-profit (universities, colleges, research institutions and other public and private organizations); State and local governments, U.S. Territories and possessions; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, small and minority businesses, and businesses owned by women.

Beneficiary Eligibility:

Official health and education agencies, as well as individuals subject to AIDS.

Credentials/Documentation:

Nonprofit institutions: Costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q, for nonprofit organizations. For-profit institutions: Costs will be determined by Federal Acquisition Regulations 48 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications are made in the form of an application, PHS-5161-1 or PHS 398, to the Centers for Disease Control and Prevention (CDC). The standard application forms, as furnished by CDC and required by 45 CFR, Parts 74 or 92, must be used for this program. This program is subject to the provisions of 45 CFR, Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See FOA for details.

Appeals:

Applicants may resubmit application for next announcement or review.

Renewals:

As stated in the Terms and Conditions.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From 1 to 5 years (renewable). Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records and supporting documents must be maintained for 3 years after the end of each budget period. If questioned, records must be kept until matters are settled.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$1,560,812; FY 10 est \$3,056,294; FY 11 est \$3,056,294 - FY 09 \$1,560,812; FY 10 est \$3,056,294; FY 11 est \$3,056,294.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS; 45 CFR, Part 74; Guidelines established by CDC for AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carson, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation, criteria as stated in the Program Announcements.

93.121 ORAL DISEASES AND DISORDERS RESEARCH

Dental and Craniofacial Research.

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992; Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To improve oral, dental and craniofacial health through research, research training, and the dissemination of health information. The research portfolio supports basic, clinical and transitional research from molecular biology to patient-oriented and community-based clinical investigations. The

Developmental Biology and Genetics Program supports research on etiology, pathogenesis, epidemiology, prevention, diagnosis, and treatment of inherited craniofacial-oral-dental diseases and disorders (e.g., ectodermal dysplasia, cleft lip and palate, amelogenesis imperfecta, dentitionogenesis imperfecta, osteogenesis imperfecta, and other inherited diseases that have craniofacial-oral-dental manifestations) as well as on normal craniofacial-oral-dental development, and genome-wide association studies. 2. The Translational Genetics and Genomics program supports genetic/genomic research using epidemiologic and family-based methods, gene discovery using high throughput technologies, and gene-environment interactions. 3. The Microbiology Program supports basic and translational research on the role of oral microbes in health and disease including the etiology, pathogenesis, diagnosis, treatment, and prevention of oral infectious diseases. Areas of interest include biofilms and microbial ecology, microbial genomics and metagenomics, microbial virulence and disease pathogenesis, prevention and treatment. 4. The AIDS and Immunosuppression Program supports basic, translational and clinical research on HIV infection and AIDS to advance understanding of the underlying molecular, cellular, and genetic mechanisms that enable or prevent HIV infection and development of oral complications associated with AIDS and AIDS malignancies. 5. The Immunology and Immunotherapy Program supports basic and translational research on the immune aspects of oral diseases such as caries and periodontal diseases. The program includes host responses to microbes, immunology of biofilms, oral inflammation, systemic diseases, immunotherapy, immunopathologies, and host susceptibility to infection. 6. The Epithelial Cell Regulation and Transformation Program supports basic and translational research on the molecular mechanisms of oral epithelial cell regulation as they relate to the development and progression of diseases of the oral mucosa, including head and neck cancer. The program also supports and encourages the application of genomic, proteomic, and imaging technologies through research on the early diagnosis, prevention, and treatment of head and neck cancers. 7. The Mineralized Tissue and Salivary Gland Physiology Program supports basic and translational research on craniofacial skeletal biology and pathobiology including the physiology of tooth, bone and cartilage, and associated disorders and diseases, saliva and salivary glands, and pharmacogenetics. The goal of the program is to promote interdisciplinary and multidisciplinary approaches to advance the understanding of normal and abnormal processes underlying oral, dental and craniofacial diseases and disorders. 8. The Molecular and Cellular Neuroscience Program supports basic and translational research on orofacial pain and neuropathies, temporomandibular joint and muscle disorders, development of biomarkers for diagnostics and prognostics and development of therapeutics. 9. The Tissue Engineering and Dental and Craniofacial Regenerative Medicine Program supports basic and translational research on the reconstruction, remodeling and repair of the oral and craniofacial tissues damaged as a result of disease or injury. The goal of this scientific program is to promote interdisciplinary and multidisciplinary approaches to engineering of biocompatible oral and craniofacial tissue constructs and their functional integration into the host tissue microenvironment. The program also supports efforts that employ bioengineering approaches for restoration, remodeling and regeneration of diseased and injured native host tissues. 10. The Dental and Biomaterials Program supports basic and translational extramural research on dental materials and devices, dental implants, biocompatibility of dental restorative materials, and biomaterials for craniofacial reconstruction. 11. The Behavioral and Social Sciences Research Program supports basic and applied BSS research to promote oral health, to prevent oral diseases and related disabilities, and to improve management of craniofacial conditions, disorders and injury. 11. The Center for Clinical Research supports clinical trials, dental practice based research networks, epidemiology research, and health disparities research. The Research Training and Career Development Program insures the future of dental and craniofacial research by developing an outstanding and diverse scientific work force through training and fellowship programs designed primarily for graduate, and post-doctoral stages of education, including dual degree (DDS, PhD) programs, as well as for continued career development of scientists and retraining of mid-career scientists. Diversity fellowships serve to expand the diversity of the scientific work force by supporting the training of predoctoral students from underrepresented groups. The Small Business Innovation Research (SBIR) program seeks to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research

and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program seeks to stimulate and foster scientific and technological innovation and foster technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grants: Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation in the oral health sciences. They are awarded to universities, colleges, medical and dental schools, hospitals, and other nonprofit and for-profit institutions. Awards include investigator-initiated project grants, exploratory and developmental grants, small grants, program project grants, center grants, and career development awards. Individual and institutional Dentist Scientist Awards provide support for research career development in both clinical and basic science areas. National Research Service Awards (NRSAs) provide support directly to individuals for research training in specified biomedical areas, and can be made to institutions to enable them to accept individuals for research training. Individuals who receive NRSAs may be obligated upon termination of the award to comply with service and payback provisions. SBIR Phase I grants (duration of approximately 6 months) provide support to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants support the continuation of the research initiated in Phase I to further develop the commercial products or process initiated in Phase I. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (duration of typically 1 year) support cooperative efforts between small businesses and research institutes to determine the scientific and technical merit, and commercial feasibility of a product or process with potential for commercial application. Phase II funding is based on the results of the research initiated in Phase I and the scientific and technical merit, and commercial potential of the Phase II application.

Applicant Eligibility:

Grants: Scientists at universities, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. NRSA and career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be citizens, or non-citizen nationals of the United States or have been admitted to the United States for permanent residence. (4) To be eligible, postdoctoral NRSA and career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. SBIR and STTR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project for SBIR awards. For STTR awards, the small business must "partner" with a research institution in a cooperative research and development project where at least 40 percent of the project is to be performed by the small business and at least 30 percent by the research institution. In both Phase I and Phase II for both SBIR and STTR, the research must be performed in the U.S. and its possessions. To be eligible for funding grant applications must be evaluated for scientific merit and program relevance by a peer scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Grants: Applications for research grants must include the objectives (specific aims), research strategy, significance, innovation, methodology, and resources for the specific project, and must present the relevant background and experience of the applicant. NRSA and career development awards: (1) Individual Candidates: the applicant's academic record, research experience, citizenship, institutional sponsorship, proposed research area, and plan for training, must be included in the application. (2) Institutional Candidates: the application must include the objectives, methodology, and resources for the research training program, the research qualifications and experience of participating faculty in training students and fellows, and the criteria to be used in selecting individuals for support. Costs will be determined in accordance with OMB Circular No. A-87 for State and Local Governments. Costs for for-profit organizations are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other than State and Local Government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business) must present an idea in the research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grants: Scientists at universities, medical and dental schools, hospitals, laboratories, and other public or private nonprofit and for-profit institutions. NRSA and career development awards: (1) Nonprofit domestic organizations may apply for institutional awards. (2) Individual candidates or applicants must arrange sponsorship by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. (3) All awardees must be US citizens or non-citizen nationals of the United States or have been admitted to the United States for permanent residence. (4) To be eligible, postdoctoral NRSA and career development awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Institutional applicants must be able to provide the staff and facilities suitable for the proposed research training. SBIR and STTR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project for SBIR awards. For STTR awards, the small business must "partner" with a research institution in a cooperative research and development project where at least 40 percent of the project is to be performed by the small business and at least 30 percent by the research institution. In both Phase I and Phase II for SBIR and STTR awards, the research must be performed in the U.S. or its possessions. To be eligible for funding, grant applications must be evaluated for scientific merit and program relevance by a peer scientific review group and approved by a national advisory council.

Award Procedure:

Grants: All accepted applications competing for research project grants, career development awards, and NSRA awards are reviewed by two advisory groups. Primary review is conducted by an initial review group composed of peer scientists, and secondary review by a national advisory council such as the National Advisory Dental and Craniofacial Research Council. Secondary review of NRSA fellowship applications is conducted by the institute staff rather than by the advisory council. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate initial review group and by an advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Oct 01, 2009: See Other Deadline Information. All new, renewal, resubmission, and revision institutional NRSAs (T32, T90/R90): September 25. All new research project (R01, U01) applications: February 5, June 5, and October 5. All renewal, resubmission and revision R01s: March 5, July 5, and November 5. All new career development applications: February 12, June 12, and October 12. All renewal, resubmission and revision career development applications: March 12, July 12, and November 12. New, renewal, resubmission and revision of other research grant applications (R03, R21, R34): March 16, July 16, and November 16. All renewal, resubmission and revision of small business SBIR and STTR applications: April 5, August 5, and December 5. All renewal, resubmission and revision of NSRA individual awards, fellowship series, except diversity fellowships (F30, F32, F33): April 8, August 8, and December 8. All renewal, resubmission and revision of Diversity Fellowship applications (F31): April 13, August 13, and December 13. All renewal, resubmission and revision of conference grant applications (R13/U13): April 12, August 12, and December 12. All renewal, resubmission and revision of Academic Research Enhancement Awards (R15): February 25, June 25, and October 25. All renewal, resubmission and revision of AIDS and AIDS-related applications: May 7, September 7, and January 7. Deadlines for funding opportunity announcements as specified in the published announcement.

Range of Approval/Disapproval Time:

> 180 Days. Research Project Grants and NSRA: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

From 90 to 120 days. A Principal Investigator (P.I.) may question the technical or procedural aspects of the review of his/her application by communicating with the program staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Grants: Applications for approval beyond the approved project period must be submitted at least 6 to 9 months in advance of the termination date. NRSA: Institutional Awards may be made up to 5 years. No individual may receive NIH/NRSA support at the postdoctoral level for more than 3 years, unless a specific waiver is requested and approved.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants: Approval of a project includes a determination of support for the authorized project period (generally not to exceed 5 years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period, an application for renewal must be submitted in accordance with the deadline dates and instructions attached to the form. SBIR Phase I awards are generally for 6 months; Phase II awards normally are for 2 years. STTR Phase I awards are generally for 1 year; Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Awards are made annually.

Reports:

No program reports are required. No cash reports are required. Annually. Annually. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal

officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0873-0-1-550.

Obligations:

(Salaries) FY 09 \$287,349,000; FY 10 est \$296,110,000; FY 11 est \$298,905,000

Range and Average of Financial Assistance:

FY2008: research project grants: range \$9,000-\$3,221,000, average \$356,000; NRSA: range \$18,000-\$859,000, average \$44,000; SBIR/STTR: range \$18,000-\$1,861,000, average \$381,000. Career development: range \$84,000-\$181,000, average \$109,000.

FY2009: research project grants: range \$6,000-\$3,268,000, average \$396,000; NRSA: range \$10,000-\$1,041,000, average \$45,000; SBIR/STTR: range \$97,000-\$1,328,000, average \$301,000. Career development: range \$85,000-\$181,000, average \$122,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 149 competing research project grants at \$56,726,000. 458 non-competing research project grants at \$187,791,000. 29 SBIR/STTR awards at \$8,719,000. 7 research centers at \$16,379,000. 74 career development awards at \$9,019,000. 343 NRSA awards at \$15,388,000. 19 other research grants at \$1,726,000. Fiscal Year 2010: 152 competing research project grants at \$58,756,000. 449 non-competing research project grants at \$194,120,000. 29 SBIR/STTR awards at \$8,938,000. 7 research centers at \$16,830,000. 70 career development awards at \$8,763,000. 350 NRSA awards at \$16,206,000. 15 other research grants at \$1,435,000. Fiscal Year 2011: 154 competing research project grants at \$60,822,000. 450 non-competing research project grants at \$194,960,000, 29 SBIR/STTR awards at \$9,100,000, 6 research centers at \$15,876,000, 71 career development awards at \$8,835,000, 350 NRSA awards at \$17,137,000, 14 grants at \$1,275,000 other research.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 66; 45 CFR 74; NIDCR Grants and Funding website (<http://www.nidcr.nih.gov/GrantsAndFunding/>), NIH Grants website (<http://grants.nih.gov/grants/oer.htm>). Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Dr. Alicia Dombroski, 6701 Democracy Blvd.; Room 660, MSC 4878, Bethesda, Maryland 20892 Email: adombroski@nidcr.nih.gov Phone: (301) 594-4805 Fax: (301) 480-8303.

Website Address:

<http://www.hhs.gov>; <http://www.nidcr.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Oral clefts: moving from genome wide studies toward functional genomics; molecular and genetic regulation of craniofacial development; signaling mechanism in early tooth development; genetics of caries, genome wide association study of periodontitis; pathophysiologic mechanisms of bisphosphonate related osteonecrosis of the jaws; systems approach to sjogren's syndrome pathogenesis (SASSP); combinatorial Imaging of the oral microbiome; click chemistry for novel antimicrobials against periodontal pathogens; novel antibacterial fluoride-releasing dental materials;

biomarkers to guide treatment and improve survival in oral/oropharyngeal cancer; comprehensive analysis of genetic alterations in oral cancer; mechanisms and treatment of dental pain; models and mechanisms for the transition of acute-to-chronic orofacial pain; regeneration of clinically relevant orofacial tissues in pre-clinical models; local modulation of inflammation to heal cranial-facial bone defects; oral mucosal immunity in vulnerable HIV infected populations; AIDS related candidiasis: dynamic expression of drug resistant genes; oral cancer detection methods in a community setting; molecular and epidemiologic characterization of salivary gland carcinomas; oral health status and its correlates in adults with developmental disabilities; health literacy and oral health status of African refugees; delivery of polyphenols in gum for treatment of gingivitis; HIV testing in dental care settings; computer-assisted guidance for tobacco dependence interventions in dental offices; genetic risk of osteonecrosis of the jaw(ONJ) in patients with metastatic cancer; new faculty development for research to reduce oral health disparities; targeting metastatic oral cancer with dual-modal metallic nanoparticles; stimulation of tooth repair and regeneration by interaction with dental materials. Fiscal Year 2010: Biomimetic nanomatrix for bone tissue regeneration; the role of primary cilia in craniofacial development; fibroblast growth factor signaling in odontogenic epithelial stem/progenitor cell; does inflammation enhance regeneration?; healing of craniofacial defects using adipose-derived stem cells; periodontitis and pre-clinical diabetes; building collaborative research infrastructure to reduce oral health disparities; Candida albicans and Staphylococcus aureus dual species biofilms; bone sialoprotein in osteogenesis and bone regeneration. Fiscal Year 2011: No data currently available.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing proposed means for (a) safeguarding human and animal subjects, and/or (b) protecting against or minimizing any adverse effects on the environment.

93.123 HEALTH PROFESSIONS PREGRADUATE SCHOLARSHIP PROGRAM FOR INDIANS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives for the purpose of completing pre-graduate education leading to a baccalaureate degree only in the following areas: (1) Pre-Medicine: priority as follows: senior, junior,

sophomore, freshman; (2) Pre-dentistry: priority as follows: senior, junior, sophomore, freshman.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Support is limited to 4 years of full-time education, or part-time equivalent for a maximum of 8 years.

Applicant Eligibility:

Individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency, and have been accepted for enrollment or are enrolled in an accredited pre-graduate program in a pre-medicine or pre-dentistry curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility in order to be considered. The applicant's advisor must also provide verification that the courses to be taken are required for an undergraduate curriculum leading to a bachelor's degree in pre-medicine or pre-dentistry curriculum and represents the number of credit hours which the school requires to be full-time or at least 6 hours of part-time study. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application form OMB No. 0917-0006 and instructions are provided by the Indian Health Service and are usually available to interested individuals in February. An application form and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) are submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Grants are awarded on a competitive basis. Approved funding includes payments for stipends and books that are made directly to the individual recipient. Tuition and fee payments are made to the recipient's college or university.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operation.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding is subject to the availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Tuition payments are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the academic period. An amount is provided to students for books and other necessary expenses. See the following for information on how assistance is awarded/released: Stipend payments are paid monthly for the academic period.

Reports:

No program reports are required. No cash reports are required. Students are required to submit their official transcripts as they are received. Initial progress reports are required for each quarter. Notification of Academic Problem/Change is required at any time a problem or change occurs. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

The Indian Health Service Scholarship Program maintains records on all students. Records must be maintained for 4 years after the final payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 09 \$1,364,846; FY 10 est \$1,364,846; FY 11 est \$1,364,846

Range and Average of Financial Assistance:

\$18,913 to \$27,217; \$20,049.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.370-36.374. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 96-537 (which amends Public Law 94-437, Section 103), published in the Federal Register on February 29, 1984.

Regional or Local Office:

None. Program Contact: Dr. Dawn Kelly, IHS Scholarship Program, Indian Health Service, 801 Thompson Avenue, TMP Suite 450A, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.971 Health Professions Preparatory Scholarship Program for Indians; 93.972 Health Professions Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.124 NURSE ANESTHETIST TRAINEESHIPS

NAT

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 811, 42 U.S.C. 296j, as amended, Health Professions Education Partnership Act of 1998, Public Law 105-392, and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

Grants are awarded to eligible institutions to provide financial support through traineeships for licensed registered nurses enrolled as full-time students beyond the twelfth month of study in a master's nurse anesthesia program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To meet the costs of traineeships that will pay all or part of the costs of tuition, books, fees, and reasonable living expenses for the program for registered nurses to become nurse anesthetists for up to a maximum of 18 months of full-time study. Award recipients must have completed 12 months of study in a nurse anesthesia master's program. Restricted uses: Indirect costs and trainee travel are not allowed. The Affordable Care Act (ACA) of 2010 removed the No more than ten percent of the traineeship funds under this section may be obligated for individuals in doctoral degree programs restriction.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education, which provide registered nurses with full-time anesthetist master's or doctoral education and have evidence of accreditation from the American Association of Nurse Anesthetists (AANA) Council on Accreditation of Nurse Anesthesia Education Programs.

Beneficiary Eligibility:

Traineeship recipients are selected by the participating institutions. A candidate must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, be enrolled full-time beyond the 12th month of study in a participating nurse anesthetist master's education program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Applications may be submitted annually.

Formula and Matching Requirements:

Statutory Formula: Statutory Funding Preference and Special Consideration if met.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for (1) year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from

the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Report. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 09 \$1,250,000; FY 10 est \$1,250,000; FY 11 est \$1,250,000

Range and Average of Financial Assistance:

FY 09: Range is \$1,639 - \$43,840; Average is \$15,060. FY10: Range is \$1,711 - \$41,983; Average \$15,262.45.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 83 awards were made. Fiscal Year 2010: 82 awards were made. Fiscal Year 2011: No Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Ms. Karen Breeden, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 9B-45, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5787.

Headquarters Office:

Karen Breeden 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857
Phone: (301) 443-5787

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

All eligible applicants are considered in a formula for determined awards. Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study. The applicants nurse anesthetist program must be accredited by the Council on Accreditation of the American Association of

Nurse Anesthetists.

93.127 EMERGENCY MEDICAL SERVICES FOR CHILDREN

EMS for Children

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 1910, and the Patient Protection and Affordable Care Act of 2010 (Public Law 111-148).

OBJECTIVES:

To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 1910 of the Public Health Service Act.

Applicant Eligibility:

State Partnerships.

Beneficiary Eligibility:

All children will benefit from the project grants administered by this program, including children from minority groups.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made about 4 months after receipt of applications.

Appeals:

Applicants may reapply for support by submitting a revised application.

Renewals:

Renewal applications will be accepted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of

awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are generally for up to 3 years, but may be up to 4 years with an optional 5th year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports are required. No cash reports are required. Progress reports are required. No expenditure reports are required. No performance monitoring is required. No cash reports are required. Annual Financial Reports. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$18,259,113; FY 10 est \$19,555,326; FY 11 est \$19,555,326

Range and Average of Financial Assistance:

\$115,000 to \$ 2,000,000; \$232,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 74 grants and cooperative agreements awarded that include State Partnership grants (H33); Targeted Issues (H34); EMSC Network Development Demonstration Project (U03); and EMSC 1 Resource Centers (U07). Fiscal Year 2010: It is estimated that 73 grants and cooperative agreements will be funded that include State Partnership grants (H33); Targeted Issues grants (H34); EMSC Network Development Demonstration Project Cooperative Agreements (U03); and EMSC Resource Centers (U07). Fiscal Year 2011: It is estimated that 73 grants and cooperative agreements will be funded that include State Partnership grants (H33); Targeted Issues grants (H34); EMSC Network Development Demonstration Projects (U03); and EMSC Resource Centers (U07).

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. EMSC Senior Program Manager, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-38, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:

EMSC Senior Program Manager, 5600 Fishers Lane, Room 18-A38, Rockville, Maryland 20857 Phone: (301) 443-1321.

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: State Partnership Grants

The State Partnership grants focus on the following performance measures: 1) Ensure operational capacity to provide pediatric emergency care by guaranteeing pre-hospital provider agencies have on-line and off-line pediatric medical direction; pre-hospital providers agencies have essential pediatric equipment and supplies; implement a state-wide, territorial, or regional facility recognition program for hospitals that are able to stabilize and/or manage pediatric emergencies; and hospitals have written inter-facility transfer guidelines and agreements that specify alternate sites that have the capabilities to meet the clinical needs of critically ill and injured pediatric patients; 2) Adopt requirements by states/territories for pediatric emergency education for the recertification of paramedics; and, 3) Establish permanence of EMSC in each state/territory

National EMSC Data Analysis Resource Center (NEDARC) Cooperative Agreement

NEDARC provides technical assistance, training workshops, and site visits in order to assist EMSC projects and state EMS offices develop their capabilities to collect, analyze, and utilize EMS data. NEDARC provided the foundation from which the NEMSIS Technical Assistance Center was created and is an example of collaboration between EMSC and the National Highway Traffic Safety Administration (NHTSA). NEDARC focuses on the following performance measure: Provide national leadership for maternal and child health bureay (strengthen the MCH knowledge base and support scholarship within the MCH Community)

Targeted Issues Grants

Targeted Issues grants are intended to address specific needs or concerns in the field of pediatric emergency care that transcend state boundaries. Typically the projects result in a new product or resource or the demonstration of the effectiveness of a model system component or service of value to the nation. Types of projects that have been funded within this category include developing model pediatric components for state disaster plans, screening and secondary prevention for psychological sequelae of pediatric injury, basic emergency lifesaving skills in schools, and emergency preparedness for infants with significant heart disease. Targeted Issues grantees focus on the following performance measure: Provide national leadership for maternal and child health bureay (strengthen the MCH knowledge base and support scholarship within the MCH Community)

Network Development Demonstration Project (NDDP) Cooperative Agreements

Projects are cooperative agreements that demonstrate a system of regional applied pediatric emergency medical services centers designed to expand and improve emergency services for children who need treatment for trauma or critical care. Awardees are linked together in a network to demonstrate a capacity to conduct multi-site studies on issued relating to the management of pediatric events that occur in hospitals as well as in transport. NDDP grantees focus on the following performance measure: Provide national leadership for Maternal and Child Health Bureau (strengthen the MCH knowledge base and support scholarship within the MCH Community). Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be reviewed and evaluated by a panel of reviewers experienced in the planning, implementation, and monitoring of emergency medical services and pediatric care. The reviewers will recommend a score for applications; recommend any modifications or conditions to the grant if

awarded; and recommend any changes to the proposed budget. Recommendations of the review panel are presented to the Associate Administrator for MCH. Panel recommendations are advisory only, and the Associate Administrator for MCH will be responsible for final decisions regarding awards. Reviewers will use the criteria and questions described in the section on Categories of Grants: Program Narrative and Review Criteria to evaluate proposals. Applicants are urged to address these criteria as directly as possible in the text of the program narrative.

93.129 TECHNICAL AND NON-FINANCIAL ASSISTANCE TO HEALTH CENTERS

State and Regional Primary Care Associations

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section (I).

OBJECTIVES:

To provide necessary technical and non-financial assistance to potential and existing health centers, including: 1) training and assistance in fiscal and program management (program requirements); 2) operational and administrative support (performance improvement); and 3) the provision of information regarding resources available under Section 330 and how they can best be used to meet the health needs to the communities served by potential and existing health centers (program development/analysis).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to provide certain technical and non-financial assistance to health centers and other qualified organizations.

Applicant Eligibility:

State and Regional Primary Care Associations who currently work with BPHC-supported programs or other qualified community-based providers with similar missions throughout their State or region.

Beneficiary Eligibility:

This program will benefit medically underserved populations.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, and a determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports are required. No cash reports are required. Federal Financial Report (FFR). No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$40,000,000; FY 10 est \$42,000,000; FY 11 est \$38,000,000 - .

Range and Average of Financial Assistance:

\$300,000 to \$2,200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 51 grantees funded. Fiscal Year 2010: 51 grantees funded in FY 10. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Headquarters Program Office: Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Eastern Division: 301-594-4488;

Central Mid-Atlantic Division; Telephone: (301) 594-4420; Western Division; Telephone: (301) 480-1130.

Headquarters Office:

Bureau of Primary Health Care, 5600 Fishers Lane, Room 17-105, Rockville, Maryland 20857 Phone: (301) 443-4300.

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applicants for funding under Section 330 to provide technical and non-financial assistance to health centers will be evaluated on their ability to perform State or regional-specific assistance in the following areas: 1) training and assistance in fiscal and program management (program requirements); 2) operational and administrative support (performance improvement), and 3) program development/analysis. The application should outline specific activities under each core function. These activities must support and advance the HRSA/BPHC mission goals and focus on HRSA/BPHC supported health centers. The extent and type of activities under each core function should depend on demonstrated health centers needs and HRSA/BPHC/State/regional priorities.

93.130 COOPERATIVE AGREEMENTS TO STATES/TERRITORIES FOR THE COORDINATION AND DEVELOPMENT OF PRIMARY CARE OFFICES

State Primary Care Offices

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 330(k), 330(m) and 333(d).

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically-underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to perform State-wide primary care planning and resource coordination, including Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Services Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

Applicant Eligibility:

States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State. or represent multiple territories where appropriate.

Beneficiary Eligibility:

Medically underserved populations and areas within States will benefit from this program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or

certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

The Division of Independent Review is responsible for managing objective reviews within Health Resources and Services Administration. Applications will receive an objective and independent review performed by a committee of experts. Applications are reviewed for merit and are recommended for approval or disapproval. Each applicant will receive written notification of the outcome of the objective review process, including summary of the expert committee's assessment of the application's merits and weaknesses, and whether the application was selected for funding. Applicants who are selected for funding may be required to respond in a satisfactory manner to conditions placed on their application before funding can proceed. Final decisions are made jointly by the Associate Administrator for Health Professions, the Associate Administrator for Primary Care, and the Associate Administrator for Clinician Recruitment and Service. Letters of notification do not provide authorization to begin performance. The Notice of Grant Award, which is signed by Grants Management Officer and is sent to the applicant's agency's Authorized Representative, is the authorizing document.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Support is recommended for a specified project period, not in excess of 5 years. After initial awards are made, projects may be renewed annually depending on the submission and approval of a satisfactory progress report. Future funding is contingent on the availability of funds for such purposes.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit annual progress reports. Federal Financial Reports are required no later than 90 days after the end of each budget period. Final federal financial reports and final summary reports are due within 90 days after the end of a project period. See above. Expenditure reports are

not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000 - (Grants) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000; FY 12 est \$10,000,000; and FY 13 est \$10,000,000.

Range and Average of Financial Assistance:

Average award per recipient \$188,679.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: In FY 10, 53 applicants were funded. Fiscal Year 2011: It is anticipated that 53 new awards will be funded in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Office of Shortage Designation, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-18, Rockville, Maryland 20857. Telephone: (301) 594-0816.

Headquarters Office:

Office of Shortage Designation 5600 Fishers Lane, Room 9A-18, Rockville, Maryland 20874 Phone: (301) 594-0816

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: A cooperative agreement to a State Health Department engaged in the following: (1) statewide primary health care planning and resource coordination; (2) health systems development; (3) National Health Service Corps monitoring activities; (4) supporting the use of State resources (including Medicaid, Children's Health Insurance Program, Area Health Education Centers, and Maternal and Child Health) for primary care purposes to medically underserved populations; and (4) promoting partnerships with State and Regional Primary Care Associations, State Offices of Rural Health, Ryan White, and Maternal and Child Health. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated in the following areas: (1) Ability to assess and

analyze the State's health policy environment, its unmet need for preventive and primary care services, its health care marketplace and its major disparities in health outcomes; and (2) develop and implement strategies and activities to effectively assist health centers and NHSC delivery sites in their response to these State environmental conditions and needs identified.

93.134 GRANTS TO INCREASE ORGAN DONATIONS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Section 377 of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f. Clinical Interventions to Increase Organ Procurement (CIOP): Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. Social and Behavioral Interventions to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. State Donor Registry Support Program and Public Education Efforts to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. Public Education Efforts to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1.

OBJECTIVES:

To support grants for the purpose of increasing public commitment to organ donation and the number of organs recovered and ultimately transplanted. To that end, the Division of Transplantation has a cooperative agreement and 4 grant programs with the following objectives:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: The purpose of this program is to establish and operate a national system to provide reimbursement of travel and subsistence expenses, and other non-medical expenses that may be authorized by the Secretary to individuals making living donations of their organs.

Clinical Interventions to Increase Organ Procurement (CIOP): This program provides support for the implementation and evaluation of model interventions for identifying appropriate heart beating and non-heart-beating donation candidates, evaluating donated organs, maintaining donor stability, and optimizing methods for organ procurement. In FY 2007, the sole focus of the CIOP was to provide support for model interventions for increasing organ donation from uncontrolled donors after cardiac death (UDCD). In FY 2008 the CIOP focus returned to Donation after Neurological Death (DND) and Donation after Cardiac Death (DCD) both controlled and uncontrolled. The FY 2009 focus will again be on DND and DCD. Support would also be likely for hypothesis driven testing of emerging technologies that may be useful for evaluating and improving organ function.

Social and Behavioral Interventions to Increase Solid Organ Donation: This program provides support for the implementation and rigorous evaluation of highly promising strategies and approaches for increasing public attitudes, practices and ultimately commitment to organ donation as well as family consent at a relative time of death.

State Donor Registry Support Program: This program provides support to units of State government to develop or improve a statewide electronic registry in which residents can indicate their intent to be a donor which can be accessed by procurement personnel on a 24/7 basis to determine donation wishes of residents at their time of death. The ultimate objective is to ensure that every American has the opportunity to designate his or her donation wishes in a manner that is consistently available when needed.

Public Education Efforts to Increase Solid Organ Donation: The purpose of this program is to support the implementation of public education and outreach programs that show promise of increasing organ donation. Specifically, this program supports the replication of strategies demonstrated in the Divisions grant program Social and Behavioral Interventions to Increase Solid Organ Donation or through other research efforts to be effective in increasing organ

donation. The program also supports the implementation of efforts to increase organ donation that adopt and replicate strategies demonstrated in the public health literature as being effective methods for modifying other health behaviors. The program also supports the implementation of public education and outreach efforts that are based on an established framework for successful public health outreach programs. This grant program is supportive of the Divisions mission to educate the public about deceased and/or living donation and to encourage individuals to document their decision to be a donor in their statewide donor registry or by some other mechanism where a registry is unavailable.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Funds made available through this program may not be made available to donating individuals when payment for qualified reimbursement expenses has been made, or can reasonably be expected to be made: (1) under any State compensation program, under an insurance policy, or under any Federal or State health benefits program; (2) by an entity that provides health services on a prepaid basis; or (3) by the recipient of the organ.

All Other Programs: Funds may not be used for activities that are reimbursable under Medicare.

Applicant Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: As specified in Section 377 of the Public Health Service Act, as amended, eligible applicants include States, transplant centers, qualified organ procurement organizations under section 371, or other public or private entities. Clinical Interventions to Increase Organ Procurement Grant Program (CIOP): As specified in Section 377A (b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). Social and Behavioral Interventions to Increase Solid Organ Donation Grant Program: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). State Donor Registry Support Program: As specified in Section 377A(c) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to States for activities pertaining to the State donor registry. If the applicant is working with a consortium, any consortium members that are OPTN members are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). Public Education Efforts to Increase Solid Organ Donation: As specified in Section 377A(b) of the Public Health Service Act, as amended, the Secretary may make peer-reviewed grants to public and nonprofit private entities for the purpose of carrying out studies and demonstration projects to increase organ donation and recovery rates, including living donation. If the applicant is an OPTN member, and if the applicant is working with a consortium that includes OPTN members, the applicant and all other OPTN members involved in the project are expected to be in compliance with the final rule governing the operation of the OPTN Section 121.11(b2). Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations: As specified under section 377B of the Public Health Service Act, the Secretary may award grants to qualified organ procurement organizations and hospitals under section 371 to establish programs coordinating organ donation activities of eligible hospitals and qualified organ procurement organizations under section 371. For purposes of this section, eligible hospital means a hospital that performs significant trauma care, or a hospital or consortium of hospitals that serves a population base of not fewer than 200,000 individuals.

Beneficiary Eligibility:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Primary beneficiaries are low/moderate income living organ donors and recipients. All other Programs: Beneficiaries are all organ transplant recipients.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Cooperative Agreement is awarded for a 12-month budget period over a four-year period. Both Clinical Interventions Research grants and Social and Behavioral Research grants are awarded for a 12-month budget period over a three-year period. State Donor Registry Support Program grants are awarded for a 12-month budget period over a one-year period. Public Education Efforts to Increase Solid Organ Donation are awarded for a 12-month budget period over a two year period. Grants to Establish Programs Coordinating Organ Donation Activities of Hospitals and Organ Procurement Organizations are awarded for a 12-month budget period over a two-year period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Two progress reports are required in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. The grantee also submits monthly updates to HRSA on applications received and funded by the Program.

Clinical Interventions to Increase Organ Procurement Grant Program: Quarterly

progress reports are required for all awardees in addition annual financial reports. Final progress reports and final financial status reports are due 90 days from the end of the project period.

Social and Behavioral Interventions to Increase Organ Donation Grant Program: Two progress reports are required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period.

State Donor Registry Support Program: One progress report is required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period.

Public Education Efforts to Increase Solid Organ Donation: Two progress reports are required for all awardees in addition to annual financial reports. Final reports and final financial status reports are due 90 days from the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$2,391,572; FY 10 est \$1,392,776; FY 11 est \$1,684,571 - These figures are for the Clinical Interventions to Increase Organ Procurement Grant Program. (Cooperative Agreements) FY 09 \$22,543; FY 10 est \$2,000,000; FY 11 est \$2,000,000 - These figures are for the Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation Program. (Project Grants) FY 09 \$3,337,571; FY 10 est \$3,458,610; FY 11 est \$3,292,695 - These figures are for the Social and Behavioral Interventions to Increase Organ Donation Grant Program. (Project Grants) FY 09 \$1,689,796; FY 10 est \$1,838,489; FY 11 est \$2,517,165 - These figures are for the Public Education Efforts to Increase Organ Donation Program. (Project Grants) FY 09 \$122,408; FY 10 est \$0; FY 11 est \$0 - These figures are for the State Donor Registry Support Program.

Range and Average of Financial Assistance:

Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: \$2,000,000

Social and Behavioral Interventions to Increase Organ Donation Grant Program: \$65,000 to \$585,761; \$226,789.

State Donor Registry Support Program: \$97,872 to \$175,725; \$145,352

Public Education Efforts to Increase Organ Donation: \$206,362 to \$413,360; \$328,294.75.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See www.hrsa.gov for additional information. Fiscal Year 2010: Clinical Interventions to Increase Organ Procurement Grant Program: No new competing grants will be funded in FY 2010. Social and Behavioral Interventions to Increase Solid Organ Donation Grant Program: The State Donor Registry Support Grant will not be funded in FY 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Mesmin Germain, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-0053.

Clinical Interventions to Increase Organ Procurement Grant Program: Bernard Kozlovsky, MD, MS, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-0565.

Social and Behavioral Interventions to Increase Organ Donation Grant Program and Public Education Efforts to Increase Solid Organ Donation: Rita Maldonado, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-3622.

State Donor Registry Support Program: Venus Walker, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301.443.7578.

Public Education Efforts to Increase Solid Organ Donation: Rita Maldonado, MPH, Division of Transplantation, Healthcare Systems Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 12C-06, Rockville, MD 20857. Telephone: 301-443-3622.

Headquarters Office:

Division of Transplantation, 5600 Fishers Lane, Room 12-105, Rockville, Maryland 20857 Phone: (301) 443-3300.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please refer to the Program Guidance.

93.135 CENTERS FOR RESEARCH AND DEMONSTRATION FOR HEALTH PROMOTION AND DISEASE PREVENTION

(Prevention Research Centers)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 330u-5, Section 1706.

OBJECTIVES:

To: (1) To establish, maintain, and operate academic-based centers for high-quality research and demonstration with respect to health promotion and disease prevention; (2) to establish linkages, where applicable, between ongoing, basic research in a wide array of fields and applied research in disease prevention and health promotion; (3) to bring the knowledge and expertise of academic health centers to bear on practical public health problems; (4) to field

test and rigorously evaluate more cost-effective methods and strategies for preventing unnecessary illness and promoting good health; and (5) to shorten the time lag between the development of new and proven effective disease prevention and health promotion techniques and their widespread application. 6) to involve the community in the development, conduct, and implementation of prevention research.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstration projects pertaining to health promotion and disease prevention plus certain indirect costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into consortia agreements or contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

Eligible applicants are accredited schools of medicine, schools of osteopathy, and schools of public health as defined in Section 701 (4) of Public Health Service Act.

Beneficiary Eligibility:

Academic health centers, scientist/researchers, operational public health programs, targeted high risk groups, selected demonstration areas, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with HHS Regulations, 45 CFR 74, Subpart Q for nonprofit organizations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 9/2004, Interim revised 4/2006). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

Approved grants are funded based on priority score ranking from a scientific review, as well as availability of funds, secondary review and such other significant factors deemed necessary and appropriate by the agency. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 5152-1) indicates support recommended for remainder of project period, allocations of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From six to nine months.

Appeals:

Other - Not Specified.

Renewals:

Applications for renewal will be reviewed in the same manner as new applications and will compete for available funds with other applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years (renewable, based on competitive applications and availability of funds). See the following for information on how assistance is awarded/released: Lump sum unless there are funding restrictions due to pending issues (e.g., IRB concerns.).

Reports:

Interim progress (annually); terminal progress report (three months after end of project); and reprints and copies of resulting publications. No cash reports are required. Interim progress (annually); terminal progress report (three months after end of project); and reprints and copies of resulting publications. Financial status reports (annual). Interim progress (annually).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, including documents to support entries on accounting records and substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$1,851,719; FY 10 est \$2,138,968; FY 11 est \$2,200,000. (Cooperative Agreements) FY 09 \$33,825,380; FY 10 est \$38,836,650; FY 11 est \$40,000,000 - The FY 2009 and FY 2010 obligations are actual funds awarded to Prevention Research Centers for both core and special interest projects. The fiscal year 2011 estimate includes funding for both core and special interest project.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Eduardo Simoes, 1600 Clifton Rd, Atlanta, Georgia 30333 Email: esimoes@cdc.gov Phone: (770) 488-5586.

Website Address:

<http://www.cdc.gov/prc>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Prevention Research Center applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses

other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.136 INJURY PREVENTION AND CONTROL RESEARCH AND STATE AND COMMUNITY BASED PROGRAMS

National Center for Injury Prevention and Control

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301 and 394, as amended, 42 U.S.C. 241.

OBJECTIVES:

RESEARCH GRANTS: (1) To support injury control research on priority issues; (2) to integrate aspects of engineering, public health, behavioral sciences, medicine, engineering, health policy, economics and other disciplines in order to prevent and control injuries more effectively; (3) to rigorously apply and evaluate current and new interventions, methods, and strategies that focus on the prevention and control of injuries; (4) to stimulate and support Injury Control Research Centers (ICRCs) in academic institutions which will develop a comprehensive and integrated approach to injury control research and training; and (5) to bring the knowledge and expertise of ICRCs to bear on the development of effective public health programs for injury control. **STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS:** (1) To develop and evaluate new methods or to evaluate existing methods and techniques used in injury surveillance by public health agencies; and (2) to develop, expand, or improve injury control programs to reduce morbidity, mortality, severity, disability, and cost from injuries.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are available for costs directly attributed to the performance of research and demonstrations of surveillance or interventions/evaluations programs pertaining to injury prevention and control plus certain direct costs of the grantee in accordance with established policies of the Public Health Service. Grantees may not award subgrants but may enter into contracts as necessary to achieve the aims of the program.

Applicant Eligibility:

For **INJURY PREVENTION AND CONTROL RESEARCH PROGRAMS, AND INJURY CONTROL RESEARCH CENTERS:** Eligible applicants may include any nonprofit or for-profit organization; for **STATE AND COMMUNITY PROGRAM GRANTS/COOPERATIVE AGREEMENTS:** State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau) and political subdivisions of States (in consultation with States), Federally recognized or state-recognized American Indian/Alaska Native tribal governments, American Indian/Alaska native tribally designated organizations, Alaska Native health corporations, Urban Indian health organizations, Tribal epidemiology centers; for **COMMUNITY-BASED PROGRAMS:** public, private, nonprofit and for-profit organizations may be eligible.

Beneficiary Eligibility:

FOR **RESEARCH GRANTS:** Academic health centers, scientist/researchers, operational public health programs, State and local governments, and public and private organizations involved in injury research. FOR **STATE AND COMMUNITY-BASED GRANTS AND COOPERATIVE AGREEMENTS:** State and local health departments, and community-based organizations.

Credentials/Documentation:

For all nonprofit grantees, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' costs are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. Funding Opportunity Announcements for this program are posted on www.Grants.gov, the official federal agency wide e-grant Web site. Application forms and instructions specific to each announcement are posted at that site. Electronic Submission: CDC strongly encourages the applicant to submit applications electronically by utilizing the forms and instructions posted on www.Grants.gov. Registering your organization through www.Grants.gov is the first step in submitting applications online. Registration information is located in the "Get Started" screen of www.Grants.gov and the one-time registration process will take three to five days to complete. While application submission through www.Grants.gov may be optional for various announcements, we strongly encourage you to use this online tool. Please visit www.Grants.gov at least 30 days prior to filing your application to familiarize yourself with the registration and submission processes. We suggest submitting electronic applications prior to the closing date so if difficulties are encountered, you can resolve them prior to the deadline. For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov. For the hearing impaired: TTY (770) 488-2783. If you do not have access to the Internet, or if you have difficulty accessing the forms on-line, you may contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIM) staff at: (770) 488-2700. DUNS Number: Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System number as the universal identifier when applying for Federal grants or cooperative agreements. The D&B number can be obtained by calling (866) 705-5711 or through the web site at <http://www.dnb.com/us/>.

Award Procedure:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff, and for responsiveness by the National Center for Injury Prevention and Control and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application. FOR **RESEARCH GRANTS:** Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by NCIPC in accordance with the review criteria stated below. All applications will: undergo a selection process in which only those applications deemed to have the highest scientific merit, generally the top half of applications under review, will be discussed and assigned a priority score; receive a written critique; receive a second level of review by the Board of Scientific Counselors. FOR **NON-RESEARCH GRANTS/COOPERATIVE AGREEMENTS:** Applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the grant or cooperative agreement. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of the announcement. Measures must be objective and quantitative and must measure the intended outcome. The measures of effectiveness must be submitted with the application and will be an element of evaluation. An objective review panel will evaluate complete and responsive applications according to the criteria listed. The objective review process will follow the policy requirements as stated in the GPD 2.04, <http://198.102.218.46/doc/gpd204.doc>.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Renewals are made by competitive applications and reviews.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

From one to five years (noncompeting renewals based on availability of funds).

Method of awarding/releasing assistance: lump sum.

Reports:

Equipment inventory (three months after end of project); invention statement (annual) and reprints and copies of resulting publications. No cash reports are required. Interim progress report (annual) and final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Financial status reports (annual). Final performance report (three months after end of project). For Injury Control Research Centers, an annual progress report is also required. For Applied Methods in Surveillance, and State and Community-Based Injury Control Programs, semi-annual progress reports are also required. Site visits performed as needed/required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of a budget period. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$114,322,792; FY 10 est \$110,000,000; FY 11 est \$110,000,000

Range and Average of Financial Assistance:

Injury Control Research Centers: \$920,000. Injury Control Research Projects: \$200,000 to \$300,000; \$250,000. State and Community Based Injury Control Programs: \$40,000 to \$300,000; \$170,000. Youth Violence Prevention Programs: \$150,000 to \$425,000; \$275,000. Violence Against Women Community-based Demonstration Programs: \$85,000 to \$800,000; \$600,000. Applied Research for Traumatic Brain Injury: \$100,000 to \$150,000; \$125,000. Surveillance and Traumatic Brain Injury Follow-up Registry: \$16,000 to \$500,000; \$258,000. Other Unintentional Injuries: \$50,000 to \$1,050,000; \$550,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, CDC will again provide technical

assistance and grant funds to numerous Injury Control Research Centers (ICRCs) and RO-1 Grantees. Fiscal year 2009 awards are expected to support the same injury-related areas as in fiscal year 2008. Fiscal Year 2010: In fiscal year 2011, CDC will again provide technical assistance and grant funds to numerous Injury Control Research Centers (ICRCs) and RO-1 Grantees. Fiscal years 2011 awards are expected to support the same injury-related areas as in fiscal year 2010. Fiscal Year 2011: In fiscal year 2011, CDC will again provide technical assistance and grant funds to numerous Injury Control Research Centers (ICRCs) and RO-1 Grantees. Fiscal years 2011 awards are expected to support the same injury-related areas as in fiscal year 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; basic grant administration policies of DHHS and PHS are also applicable, 45 CFR 74 and 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None. Injury Control Research Centers: Adele Childress, Program Manager, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 ahc0@cdc.gov. Telephone: (770) 488-4233 FAX: (770) 488-1670. R-01 Awards: JoAnn Thierry, Program Manager, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 jxt4@cdc.gov. Telephone: (770) 488-1556 FAX: (770) 488-1670. Community- Based Grant Programs Robin Forbes, Public Health Advisor, Resource Management Team, National Center for Injury Prevention and Control, Centers for Disease Control and Prevention (F63), 4770 Buford Hwy. NE Atlanta, Georgia 30341-3724 rjf0@cdc.gov. Telephone: (770) 488-1324 Fax: (770) 488-1662.

Headquarters Office:

Robin J. Forbes, 4770 Buford Hwy NE, MS-F63, Atlanta, Georgia 30341-3724 Email: rjf0@cdc.gov Phone: (770) 488-1324.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: (1) Injury Control Research Centers (ICRC) will continue to undertake a broad range of work to address injury-related topics. (2) Funded Injury Prevention and Control Projects address priority research concerns with a focus on older adult falls, child maltreatment and residential fire injuries. As examples, researchers are investigating the mechanism of traumatic brain injury due to impact, other investigators are defining risk factors for intimate partner violence and another group is evaluating the effectiveness of trauma systems. (3) Surveillance programs address E- coded hospital discharge data; model surveillance systems to address nonfatal injuries resulting from intentional and unintentional injuries. Fiscal Year 2011: (1) Injury Control Research Centers (ICRC) will continue to undertake a broad range of work to address injury-related topics. (2) Funded Injury Prevention and Control Projects address priority research concerns with a focus on older adult falls, child maltreatment and residential fire injuries. As examples, researchers are investigating the mechanism of traumatic brain injury due to impact, other investigators are defining risk factors for intimate partner violence and another group is evaluating the effectiveness of trauma systems. (3) Surveillance programs address E- coded hospital discharge data; model surveillance systems to address nonfatal injuries resulting from intentional and unintentional injuries.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention being given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as richness, breadth, and scientific merit of the overall application relative to the types of research, demonstrations, and special projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed activities; (3) feasibility and likelihood of producing meaningful results based on the significance of the proposed activities and relevant evaluation procedures; (4) overall match between the

proposed programs and the nation's health priorities and needs; and (5) reasonableness of the proposed budget in relation to the work proposed.

93.137 COMMUNITY PROGRAMS TO IMPROVE MINORITY HEALTH GRANT PROGRAM

Community Partnership

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

To support minority community health coalitions to develop, implement, and conduct demonstration projects which coordinate integrated community-based screening and outreach services, and include linkages for access and treatment to minorities in high-risk, low-income communities; and to support minority community health coalitions involving non-traditional partners in carrying out projects to increase the educational understanding of HIV/AIDS, increase testing, and improve access to HIV/AIDS prevention and treatment services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to conduct collaborative efforts to modify the behavioral and/or environmental conditions which are implicated in the health problems of minority groups: for example, cancer; cardiovascular disease and stroke; chemical dependency; diabetes; homicide, suicide, and unintentional injuries; infant mortality; human immunodeficiency virus (HIV) infection and acquired immunodeficiency syndrome (AIDS). These projects are to address socio-cultural and linguistic barriers to care and should have the potential for replication in similar communities. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or to supplant ongoing project activities.

Applicant Eligibility:

Eligible applicants are private nonprofit community-based minority serving organizations which will serve as the grantee organization for the coalition. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Members of minority groups: American Indians or Alaska Natives; Asians; Blacks or African Americans; Hispanics or Latinos; Native Hawaiians or other Pacific Islanders; or subgroups of these populations.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information and application kits may be requested by calling 240-453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health, will make a final decision on funding projects and a notice

of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Grant projects can have a 3-year project period with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant projects can have a 3-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$1,500,000; FY 10 est \$1,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Office of Minority Health, Division of Program Operations, 1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact: Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following are examples of activities funded under this program; (1) Developing a program to prevent the incidence, increase the diagnosis and improve the management of childhood asthma through educational sessions, screenings, events, case management and ongoing support; (2) conducting vision assessment, eye health education and referral to eye doctors, disseminating health education materials in 7 languages, and providing diabetes screening, counseling and referral; and 3) developing outreach and education activities, complemented by efforts of faith-based organizations, to address HIV in the African American community. Fiscal Year 2010: The following are examples of activities funded under this program; (1) Developing a program to prevent the incidence, increase the diagnosis and improve the management of childhood asthma through educational sessions, screenings, events, case management and ongoing support; (2) conducting vision assessment, eye health education and referral to eye doctors, disseminating health education materials in 7 languages, and providing diabetes screening, counseling and referral; and 3) developing outreach and education activities, complemented by efforts of faith-based organizations, to address HIV in the African American community. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.138 PROTECTION AND ADVOCACY FOR INDIVIDUALS WITH MENTAL ILLNESS

PAIMI

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Protection and Advocacy for Individuals with Mental Illness Act of 1986, 42 U.S.C 10801, et seq.; Developmental Disabilities Assistance and Bill of Rights Act, as amended October 30, 2000, 42 U.S.C 6041 et seq.

OBJECTIVES:

To enable the expansion of the Protection and Advocacy system established in each State to: (1) Protect and advocate the rights of individuals with mental illness; (2) investigate incidents of abuse and neglect of individuals with mental illness if the incidents are reported to the system or if there is probable cause to believe that the incidents occurred; (3) investigate incidents of serious injury and deaths in public and private care and treatment facilities and non-medical community-based facilities for children and youth; and (4) to receive reports of all serious injuries and deaths related to incidents of seclusion and restraint in public and private care and treatment facilities in the States and Territories.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to assist in meeting the costs of planning, developing, expanding, and implementing activities to support attainment of the protection and advocacy goals. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established. Not more than 10 percent of an allotment can be used for providing technical assistance and training for staff, and a system which is a public entity cannot be required by the State to obligate more than 5 percent of the allotment for administrative expenses.

Applicant Eligibility:

State and local government agencies, public or private organizations designated by the Governor under Part C of the Developmental Disabilities Assistance and Bill of Rights Acts as systems to protect and advocate the rights of persons with developmental disabilities in that State.

Beneficiary Eligibility:

Individuals with significant mental illness or severe emotional impairment (children) who are at risk for abuse, neglect, or civil rights violations while residing in care or treatment facilities have service priority. Persons with significant mental illness and severe emotional impairment living in the community, including their own home, may be served as determined by their state protection and advocacy systems PAIMI program funded priorities and objectives and available resources includes persons who are in the process of being admitted to a facility rendering care or treatment, persons being transported to such a facility, or persons who are involuntarily confined in a municipal detention facility, jails, or prisons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-110 for non-profit organization. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An annual application is required in accordance with 42 CFR Part 51.

Award Procedure:

Grants are directly awarded by the Center for Mental Health Services to the system established in the State to protect and advocate the rights of persons with developmental disabilities, as outlined in the "Developmental Disabilities Assistance and Bill of Rights Act of 2000", as amended October 30, 2000 by Public Law 106-420 (45 USC 6041 et seq.) .

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 42, Part 51, Public Law 106-420. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each allotment is available for obligation over a 24-month period; payments are made through an Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for at least 3 years after the date of submission of required financial status reports.

Account Identification:

75-1362-0-1-550.

Obligations:

(Formula Grants) FY 09 \$35,162,400; FY 10 est \$35,652,400; FY 11 est \$35,652,400

Range and Average of Financial Assistance:

\$230,800 - \$3,157,066; \$625,480.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 57 awards were made in fiscal year 2009. Fiscal Year 2010: It is estimated 57 awards will be made in fiscal year 2010. Fiscal Year 2011: It is estimated 57 awards will be made in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

HHS Grants Policy Statement, 45 CFR 1386 Subpart B (DD regulations) and 42 CFR 51 (PAIMI regulations), Notice of Proposed Rulemaking FR 59739: 64367ff, December 14, 1994, 45 CFR 92 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; 45 CFR 74 Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations.

Regional or Local Office:

None.

Headquarters Office:

Barbara J. Orlando, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: barbara.orlando@samhsa.hhs.gov Phone: (240) 276-1422 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements.

93.140 INTRAMURAL RESEARCH TRAINING AWARD

(IRTA)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Health Professionals Education Partnerships Act, Title IV, Section 409, 42 U.S.C 282(b)(13).

OBJECTIVES:

The National Institutes of Health (NIH) IRTA Traineeships are established for the principal benefit of the participants and to provide opportunities for developmental training and practical research experience in a variety of disciplines related to biomedical research, medical library research and related fields. The IRTA components are: 1) Postdoctoral IRTA - is designed to provide advance practical research experience to physicians and other doctoral researchers who are at the beginning stages of their professional research careers; 2) Predoctoral IRTA - is designed to provide practical research training

and experience to students, by supplementing academic course work and/or encouraging pursuit of professional careers in biomedical research to: a) students enrolled in doctoral degree programs in biomedical sciences; b) students who have been accepted into graduate, doctoral, or medical degree programs and wish an opportunity to delay or interrupt their education for an interim research experience before entering school; 3) post baccalaureate IRTA - is designed to provide recent college graduates, particularly minorities, women, and persons with disabilities, an opportunity to postpone application to graduate or medical school and to provide a means to introduce individuals early in their careers to the research field, encourage their pursuit of professional careers in biomedical research, and allow additional time to pursue successful application to either graduate or medical school programs; 4) technical IRTA - is designed to produce a cadre of highly trained research support professionals capable of performing the latest advanced techniques in a laboratory by developing the trainees' skills in the conduct of basic and applied research; and 5) student IRTA - is designed to provide a developmental training experience to promising high school and undergraduate and graduate students who have expressed a strong interest in or are studying disciplines related to biomedical sciences.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Participants in the IRTA Program will be provided access to NIH facilities and equipment and assistance in their training from senior NIH researchers. Trainees may not engage in primary patient care activities, be assigned official supervisory responsibilities, or sign documents on behalf of the Government. Funding support will come from the individual National Research Institutes, Centers and Divisions (ICD) that comprise the National Institutes of Health. All funding is discretionary and subject to the availability of appropriations and apportionment. The IRTA Program does not include financial loans.

Applicant Eligibility:

Candidates for IRTA Program must be U.S. Citizens or Permanent Resident Aliens: 1) Postdoctoral IRTA participants must possess a Ph.D., M.D., D.D.S., D.M.D., D.V.M. or equivalent degree in biomedical, behavioral or related sciences; or certification by a university as meeting all the requirements leading to such a doctorate; and 5 or fewer years of relevant postdoctoral experience and up to 2 additional years of experience not oriented toward research (i.e., clinical training for physicians); 2) predoctoral IRTA participants must be: a) students enrolled in Ph.D., M.D., D.D.S., D.M.D., D.V.M., or equivalent degree programs at fully accredited U.S. universities, which frequently involves dissertation research. The research experience is undertaken as an integral part of the student's ongoing academic preparation and is credited toward completion of degree requirements; or b) students who have been accepted into graduate, doctoral, or medical degree programs and who have written permission from their school either to delay entrance for up to 1 year, or to interrupt their current schooling and to return within 1 year to their degree granting programs; 3) post baccalaureate IRTA participants are individuals who have graduated from a fully accredited college or U.S. university no more than 2 years prior to the activation date of traineeship, and intend to apply to graduate or medical school in biomedical research within the next year or students who have been accepted into graduate, other doctoral or medical degree programs and who have written permission of their school to delay entrance for up to 1 year; 4) technical IRTA participants are individuals who have graduated from a fully accredited U.S. college or university with a bachelor's or master's degree in any discipline; and 5) student IRTA participants are at least 16 years of age and are enrolled at least half-time in high school or have been accepted for or are enrolled as an undergraduate or graduate in an accredited U.S. college or university and are in good academic standing.

Beneficiary Eligibility:

The IRTA Program benefits the participants by combining an opportunity for study with practical work experience and valuable research training experience at the NIH.

Credentials/Documentation:

These standards are contained in the Applicant Eligibility section. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicant may send the application documentation to a specific IC, or send application documentation to the NIH Office of Education: 1) Postdoctoral IRTA applicants must submit CV, bibliography, 3 letters of reference, statement of research goals, official copy of transcript, and must provide an official copy of doctoral degree and brief summary of doctoral dissertation; 2) predoctoral IRTA applicants must submit CV, bibliography, 3 letters of reference emphasizing research potential, statement of research goals, an official copy of undergraduate, graduate, and/or medical school transcript, and verification from the university that the applicants is in good academic standing, is enrolled in a doctoral degree program, and that the school is agreeable to the student's participation. In addition, (if applicable), must have written permission from their institutions to interrupt their educational program including a written agreement allowing students to return to their degree program within 1 year; 3) post baccalaureate IRTA applicants must submit CV, bibliography, 3 letters of reference emphasizing potential, statement of research goals, and official copy of undergraduate school transcript; 4) technical IRTA applicants must submit a resume or CV, 2 letters of reference, statement of career goals, and official copy of undergraduate transcript; and 5) student IRTA applicants must submit a resume or CV, 2 letters of reference, official copy of school transcript, letter from school verifying academic standing, information on honors and achievements, and statement of interest in biomedical research.

Award Procedure:

Awards are made by the interested Institutes or Centers based on the information provided by the applicant, the availability of NIH researchers to provide training, and the availability of financial and other resources to support the research training.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. Generally, an applicant is advised that an award has or has not been provided within 90 days from submitting an application.

Appeals:

Not Applicable.

Renewals:

1) Postdoctoral IRTA component: Initial traineeship are for 1 or 2 years with a maximum traineeship of 5 calendar years. 2) Predoctoral IRTA component: Initial traineeship are for 1 month to 2 years with a maximum traineeship of 5 calendar years. 3) Post baccalaureate IRTA component: Initial traineeship is for 1 year with a maximum traineeship of 2 calendar years. 4) Technical IRTA component: Initial traineeship are for 2 years with a maximum traineeship of 3 calendar years. 5) Student IRTA component: Initial traineeship is for 1 month to 1 year. The maximum traineeship period is unlimited as long as the student meets eligibility criteria.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Monthly stipend payments are made directly to awardees. See the following for information on how assistance is awarded/released: Monthly stipend payments are made directly to awardees.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

75-0846-0-1-552.

Obligations:

(Salaries) FY 09 \$80,164,000; FY 10 est \$81,328,000; FY 11 est \$83,030,000 - Includes awards to postdocs, graduate students, postbaccs, and summer students.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Sharon L. Milgram, 2 Center Drive, Bethesda, Maryland 20892 Email: milgrams@od.nih.gov Phone: (301) 594-2053.

Website Address:

<http://www.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.142 NIEHS HAZARDOUS WASTE WORKER HEALTH AND SAFETY TRAINING

Superfund Worker Training Program

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, as amended, Title I, Section 126, Public Law 99-499; Public Health Service Act, Section 405(b)(1)(C), as amended, Public Law 99-158.

OBJECTIVES:

To provide cooperative agreements and project grant support for the development and administration of model worker health and safety training programs consisting of classroom and practical health and safety training of workers and their supervisors, who are engaged in activities related to hazardous materials, hazardous waste generation, treatment, storage, disposal, removal, containment, transportation, or emergency response. To assist organizations in the development of institutional competency to provide appropriate training and education to hazardous waste workers.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Cooperative agreements and project grants are intended to support the direct costs of a project, in accordance with an approved budget, plus indirect costs at a pre-established rate. Both the award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Sciences (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

A public or private nonprofit entity, including tribal governments, that provide worker health and safety education and training, may submit an application and receive a cooperative agreement or project grant for support of waste worker education and training by a named principal investigator. Recipients/grantees may use services, as appropriate, of other public or private organizations necessary to develop, administer, or evaluate proposed worker training

programs, as long as the requirement for awards to nonprofit organizations is not violated. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants.

Beneficiary Eligibility:

Any public or private entity providing worker safety and health education and training will benefit from this program.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular A-21 for universities, and A-122 for private nonprofit other than universities. For other recipient/grantees, costs will be determined by HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and instructions for their submission are available from the Office of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714; e-mail: ASKNIH@odrockml.od.nih.gov and the National Institute of Environmental Health Sciences (MD 3-01), P.O. Box 12233, 104 Alexander Drive, Research Triangle Park, NC 27709. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program by those applicants that are State and local units of government. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate.

Award Procedure:

As required in HHS Regulations, 42 CFR Part 65, applications will be reviewed administratively by NIEHS staff for completeness and responsiveness to the program announcement. Applications then will be reviewed on a competitive basis for technical merit by an ad hoc review committee composed primarily of non-government members with expertise in occupational safety and health training and hazardous waste operations convened by the NIEHS. A second level of review will be conducted by the National Advisory Environmental Health Sciences Council. This second level of review will be for program relevance. The final approval of these recommendations and decisions concerning funding is made by the Director, National Institute of Environmental Health Sciences (NIEHS) or, if in conflict, by appropriate NIH staff. Formal award notices are sent to successful applicants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 8 to 9 months.

Appeals:

> 180 Days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Renewal awards are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Full program cooperative agreements may be awarded for up to 5 years, generally in 12-month budget periods. Funds are released primarily on the basis

of an Electronic Transfer System. The Request for Application announcement is expected to be release in the summer of 2009. See the following for information on how assistance is awarded/released: Funds are released primarily on the basis of an Electronic Transfer System.

Reports:

A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees. Cash reports are not applicable. A detailed annual cooperative agreement or project grant summary report is required with the renewal application for all program recipients/grantees. Annual and final progress reports, and financial status reports are required for all awardees. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial and program records must be retained for 3 years from the day on which the awardee submits the final financial status report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Cooperative Agreements) FY 09 \$26,755,000; FY 10 est \$27,171,000; FY 11 est \$27,970,000 - Reimbursable funding from the oil spill are not reported.

Range and Average of Financial Assistance:

Range: \$24,127 to \$3,434,410
Average: \$1,114,792.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement March 1, 2001. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS (MD EC-22), P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

None. Headquarters Office: Program Contact: Mr. Joseph T. Hughes, Jr., Program Director, Worker Education and Training Program, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-0217, E-mail: Hughes3@niehs.nih.gov. Grants Management Contact: Carolyn Mason, Grants Management Officer, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-1373, E-mail: Mason6@niehs.nih.gov.

Headquarters Office:

Benigno Encarnacion, 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarna1@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

<http://www.niehs.nih.gov/careers/hazmat/index.cfm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: During the upcoming year (2009-2010), WETP will build upon this record. WETP will continue to develop and implement services to Spanish-speaking workers - meeting their needs for training they can understand and implement. The WETP will continue to prepare for disasters that are certain to occur; for example, curricula and trainers are being prepared for the requirements of a long-term response to a major, chemical disaster. And the WETP will continue to maintain a gold standard of safety and health training - real hands-on and classroom training that our evaluations show reduces occupational death, disease, and injury. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following factors considered for applications for full program cooperative agreements include: (1) Methods and techniques to be used for identifying, describing, and accessing target worker populations and anticipated impact of the proposed program; (2) evidence of the organization's performance and effectiveness in planning, implementing and operating appropriate worker health and safety training and education programs; (3) adequacy of the detailed program plan for curricula development, training of instructors, distribution of course materials, and direct student training; (4) technical and professional expertise of the proposed program director, present or proposed staff, and consultants; (5) methods proposed for evaluating appropriateness, quality, and effectiveness of training; (6) availability of appropriate facilities and equipment to support the described educational and training activities including hands-on instruction and demonstration; (7) evidence of the organization's past performance and effectiveness in planning, implementing, and operating training and education programs for workers; (8) the likelihood that the program will continue beyond the grant period; and (9) reasonableness of the proposed program activities.

93.143 NIEHS SUPERFUND HAZARDOUS SUBSTANCES_BASIC RESEARCH AND EDUCATION

NIEHS Superfund Research Program

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Superfund Amendments and Reauthorization Act of 1986, Title I, Section III, and Title II, Section 209, Public Law 99- 499, as amended; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 311(a), Public Law 96-510; Public Health Service Act, Section 301, Public Law 78-410, as amended; Public Law 99-500.

OBJECTIVES:

To establish a unique program linking biomedical research with engineering, geoscience and ecological research. The goals of the Program are to establish and support an innovative program of basic research and training consisting of multi-project, interdisciplinary efforts and individual investigator projects. Areas of research may include: (1) methods and technologies to detect hazardous substances in the environment; (2) advance techniques for the detection, assessment, and evaluation of the effects of hazardous substances on humans; (3) methods to assess the risks to human health presented by hazardous substances; and (4) and basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances. It is intended that advanced or graduate training be integrated into the multi-project research program to provide opportunities in biomedical and environmental health, environmental sciences, ecology, and geosciences. The Program encourages the integration of interdisciplinary training to ensure that its students are prepared to tackle the complex issues presented by hazardous waste sites.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Grants made under this program are for university-based programs, and the objective is to establish and maintain coordinated research efforts that link basic biomedical research with related engineering, hydrogeological and ecological studies. In addition, the Superfund Research Program supports small business research through the Small Business Innovative Research (SBIR) and

Small Business Technology Transfer (STTR) programs. The award and use of funds are subject to applicable provisions of basic statutory authorities, appropriations acts, pertinent regulations, and operating policies of the National Institute of Environmental Health Science (NIEHS), the National Institutes of Health (NIH), and the Department of Health and Human Services (DHHS).

Applicant Eligibility:

An accredited institution of higher education, as defined in the Higher Education Act, 20 U.S.C. (annotated) 3381, may submit an application and receive a grant for support of research by a named principal investigator. Subcontracts may be made with public and private organizations, including: generators of hazardous wastes; persons involved in the detection, assessment, evaluation, and treatment of hazardous substances; owners and operators of facilities at which hazardous substances are located; and State, local and Tribal governments. Nonprofit organizations which are incorporated under 501(c)(4) are prohibited from receiving grants. Organizations applying for a grant under the SBIR/STTR programs must qualify as a U.S.-owned Small Business Concern (SBC).

Beneficiary Eligibility:

Any accredited institution of higher education engaged in biomedical research and/or engineering and ecological research. SBIR and STTR awards are restricted to small business that meet NIH's criteria for SBC. Tribal entities that meet these requirements are eligible to apply.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. Costs will be determined in accordance with OMB Circular Nos. A-87 for State and local governments, A-21 for universities, and A-122 for private nonprofit other than universities. For-profit organizations, costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Letter of Intent Prospective applicants are asked to submit a letter of intent. Although it is not required, is not binding, and does not enter into the review of a subsequent application, the information that it contains allows IC staff to estimate the potential review workload and plan the review. It is due one month prior to the receipt of the applications. Applications for the Superfund Research Program are accepted in response to funding opportunity announcements (FOA) which are posted on National Institute of Environmental Health Sciences website (www.niehs.nih.gov) under the tab "funding opportunities". Applications submitted in response to a multi component FOA must use the PHS 398 (version dated 6/09) paper submission. Application instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Applications submitted in response to an individual investigator FOA must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. SBIR/STTR grant applications are accepted in response to the NIH Omnibus solicitation using the SF424 electronic application process (<http://www.grants.gov>). The omnibus grant solicitation is released in early spring of each year and has 3 receipt dates: April 5, August 5 and December 5.

Award Procedure:

Grants are awarded on the basis of a dual review by peer groups of all applications. The first level of review is by a Special Emphasis Panel of peers for scientific merit. The National Advisory Environmental Health Sciences Council provides a secondary level of review for all applications.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 9 to 12 months.

Appeals:

> 180 Days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

> 180 Days. Renewal requests are subject to the same criteria as new applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grants for the multi component and individual investigator may be awarded for up to 5 years, generally in 12-month budget periods. Funds are released primarily on the basis of an Electronic Transfer System. Grants made under the SBIR/STTR grants are made generally made for six months to two years. See the following for information on how assistance is awarded/released: Funds are released primarily on the basis of an Electronic Transfer System.

Reports:

No program reports are required. No cash reports are required. Annual and final progress reports and financial status reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditure and other financial and program records must be retained for 3 years from the day on which the grantee submits the final financial status report for the report period.

Account Identification:

75-0862-0-1-552.

Obligations:

(Project Grants) FY 09 \$46,340,000; FY 10 est \$46,925,000; FY 11 est \$48,494,000

Range and Average of Financial Assistance:

Range: \$14,200 to \$3,301,861

Average: \$1,007,391.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In Fiscal Year 2009, as a result of a solicitation for Superfund Research Program multi-project grants made in Fiscal Year 2008, the NIEHS received twelve applications and from these made five awards in Fiscal Year 2010. Of these five applications received in Fiscal Year 2009, three were from grantees who had previously been funded by the Program and two were new applications. In Fiscal Year 2009, the Program released another multi-project grant solicitation which resulted in the receipt of 14 applications. Of these 14 applications, it is estimated that three to four awards will be made in Fiscal Year 2011. Another solicitation for multi-project grants will be released in Fiscal Year 2010 for receipt of applications in April 2011 and awards from this solicitation will be made in Fiscal Year 2012. Approximately twelve applications are anticipated in response to this solicitation and two to four awards are likely to be made. Fiscal Year 2010: In Fiscal Year 2010, Superfund Research Investigators reported that residents of Bangladesh exposed to well water with arsenic concentrations as low as 10g/L are at potentially increased

risk of death. An estimated 21% of deaths from all causes and 24% of deaths linked to chronic diseases could be attributed to drinking arsenic-contaminated well water at concentrations greater than 10g/L. These findings have important public health implications for other populations that have low-level arsenic exposure through drinking water. The current arsenic standard for drinking water is 10g/L or 10 parts per billion. Fiscal Year 2011: In FY 2011, the Superfund Research Program will continue to support research and make advances in the areas germane to its legislative mandates: to develop methods to detect hazardous substances in the environment; advance techniques for the detection, assessment, and evaluation of the effects of hazardous substances on humans; research methods to assess the risks to human health presented by hazardous substances; and develop basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; NIH Guide to Grants and Contracts; NIH Grants Policy Statement December 01,2003. Other publications, information, and applications and kits are available from the Office of Extramural Research, Outreach and Activities Resources, National Institutes of Health, Bethesda, MD 20892, and the NIEHS, P.O. Box 12233, 111 Alexander Drive, Research Triangle Park, NC 27709.

Regional or Local Office:

None. Program Contact: Dr. William Suk, Director, Superfund Hazardous Substance Basic Research and Training Program, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Public Health Service, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. E-mail suk@niehs.nih.gov, Telephone: (919) 541-0797. Grants Management Contact: Lisa A.Edwards, Grants Management Officer, Grants Management Branch, Division of Extramural Research and Training, National Institute of Environmental Health Sciences, National Institutes of Health, Department of Health and Human Services, P.O. Box 12233, Research Triangle Park, NC 27709. Telephone: (919) 541-0751; E-mail: archer@niehs.nih.gov.

Headquarters Office:

Benigno Encarnacion, 111 TW Alexander Drive, Research Triangle Park, North Carolina 27709 Email: encarna1@niehs.nih.gov Phone: (919) 541-5147.

Website Address:

<http://www-apps.niehs.nih.gov/sbrp/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In Fiscal Year 2009, the Superfund Research Program established a new multi-project program where it is providing \$12.3 million over four years to explore new mechanisms of polyaromatic hydrocarbons (PAHs) and their impact on human health. The projects focus on PAHs in skin and transplacental cancer and prevention approaches; construction of the first PAH physiologically-based pharmacokinetic model using both mice and humans; adverse effects on development in a versatile model (zebrafish); development of passive sampling devices, deployed at Superfund sites, testable in the zebrafish model; development of analytical methods for tracking another emerging potential health threat, nanomaterials; and determining PAH composition, atmospheric deposition, and the effects of PAHs "aging" in transport to the United States.

Also in Fiscal Year 2009, a grant was renewed for \$15.5 million over five years to investigate toxicant-induced disease mechanisms and develop potential biomarkers associated with co-exposures and identify, characterize, separate, and remediate complex mixtures.

A second grant was renewed in Fiscal Year 2009 for \$12.3 million over five years for the investigation of adverse effects to human health and the environment from neurotoxic chemicals, primarily metals and pesticides. Collectively, the projects develop and validate biomarkers for: elucidating underlying neurotoxicity mechanisms in humans and animal models; identifying early-stage neurologic disease processes in humans; characterizing dose-response relations for selected neurotoxicants with neurologic disease risk, severity, and progression, using parkinsonism as a model outcome; and, for

implementing phytoremediation techniques. Fiscal Year 2010: In Fiscal Year 2010, five awards were made. First, a newly funded a multi-project grant awarded in the amount of \$9.9 million over four years, focusing on Superfund metal mixtures, biomarkers, and neurodevelopment. The projects take advantage of geographically isolated cohorts to derive insights into developmental outcomes of metal exposures in children and funds research into the characterization of sites with soils and water contaminated with metals.

In another new multi-project grant awarded in Fiscal Year 2010 in the amount of \$9.4 million over four years, researchers are defining the relationship between exposure to Superfund-related contaminants and preterm birth. Funds are also being used to develop new technology for the discovery, transport characterization, and green remediation of Superfund and related contaminants in karstic aquifers.

A previously funded multi-project grant was renewed in Fiscal Year 2010 for five years for a total of \$12.2 million. In this grant researchers are characterizing the fate and transport of hazardous materials from Superfund sites, and are developing new technologies for thermal and bioremediation of toxic waste. A biomedical component to the grant will employ classical technologies and a rapid immunochemical and cell based analyses to evaluate sites, validate models of transport and determine human susceptibility, exposure, and effect. The grantee is also developing biomarkers to serve as biological dosimeters in exposure studies.

In another grant renewal, \$15.3 million was awarded over five years to identify routes of exposure to polychlorinated biphenyls (PCBs) to find ways to prevent exposure or reduce the effects. The investigators are working to measure sources, transport, and exposures of PCBs, their distribution, metabolism and toxicity in animals and humans, and develop novel methods of phytoremediation. This grant includes a community based participatory research component that includes low income minorities into the research process

In a third renewal, \$13.1 million was awarded over five years to study hazardous waste risk and remediation. Investigators are examining the mechanism of arsenic toxicity in target tissues and potential therapeutic approaches. The grant also investigates how arsenic and TCE/PCE can be optimally characterized for risk assessment and remediation. Additionally, Investigators will evaluate the effects of currently allowed levels of arsenic in drinking water, dust, and chlorinated solvents. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Major factors considered in the evaluation of responsive applications include: (1) Scientific merit of each proposed project, including the novelty, originality, and feasibility of the approach and the adequacy of the experimental design; (2) technical merit and justification of each core unit; (3) competence of the investigators to accomplish the proposed research goals, their commitments, and the amount of time they will devote to the program; (4) scope of the overall effort in relation to the objective of the program to create unique interdisciplinary programs to eventually include, not only biomedical components, but also engineering, ecological and/or hydrogenological components; (5) adequacy of the facilities to perform the proposed research; (6) integration of the various projects and core units into an effective program and plans for interactions among investigators; (7) adequacy and commitment of institutional resources to administer an integrated, collaborative program; (8) appropriateness of the budget for the proposed program; (9) relevance of proposed research to problems associated with hazardous waste substances; and (10) progress of program to date for completing renewal applications.

93.145 AIDS EDUCATION AND TRAINING CENTERS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 2692, 42 U.S.C. Section 300ff-111, as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009

(Public Law 111-87).

OBJECTIVES:

(1) To train health personnel, including practitioners in programs under this title and other community providers, in the diagnosis, treatment, and prevention of HIV disease, including the prevention of the perinatal transmission of the disease, including measures for the prevention and treatment of opportunistic infections, and including (as applicable to the type of health professional involved), prenatal and other gynecological care for women with HIV/AIDS; (2) To train the faculty of schools of, and graduate departments or programs of, medicine, nursing, osteopathic medicine, dentistry, public health, allied health, and mental health practice to teach health professions students to provide for the health care needs of individuals with HIV/AIDS; (3) To develop and disseminate curricula and resource materials relating to the care and treatment of individuals with such disease and the prevention of the disease among individuals who are at risk of contracting the disease; and (4) To develop protocols for the medical care of women with HIV/AIDS, including prenatal and other gynecological care for such women.; and (5) To develop protocols for the medical care of women with HIV/AIDS, including prenatal and other gynecological care for such women.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants will be awarded for the establishment of AIDS Education and Training Centers.

Applicant Eligibility:

Applicants must have extensive experience in the field of health professional training and adult learning, HIV disease and disease management, and program administration and monitoring.

Beneficiary Eligibility:

Primary health care personnel and organizations that employ healthcare.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Annual noncompetitive, competitive awards typically are for 3 or 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are typically awarded for a 3 or 5-year project period with 12-month budget periods. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, OMB-approved data reports, and special reports (if any) are required. A Financial Report is to be submitted within 90 days after the close of each budget period and a final Financial Report is required 90 days following the end of the project period. Refer to the funding opportunity announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$32,723,479; FY 10 est \$32,884,580; FY 11 est \$37,443,000

Range and Average of Financial Assistance:

\$450,000 to \$4,400,000; \$2,055,286.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: Training occurs through a national network of 11 regional centers and four national AETC Centers. During the period of July 2008 June 2009, 18,070 training events totaling 50,990 hours were provided by the AETCs to 148,496 attendees. AETCs offered training under 42 topic areas; the most commonly presented topics in 2009 were antiretroviral therapy, followed by adherence, clinical manifestation of HIV disease, co-morbidities, and HIV routine laboratory tests. As in past years, nurses accounted for the largest proportion of AETC trainees: 33 percent, physicians comprised 17 percent of all trainees; and dentists and other oral health professionals accounted for seven percent of trainees. Forty-four percent of all AETC trainees are from racial/ethnic minorities and 73 percent are women. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Steven R. Young, M.S.P.H., Director, Division of Training and Technical Assistance/AIDS ETCs, HIV/AIDS Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 7-29, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5761 or Fax: (301) 594-2835.

Headquarters Office:

Steven R. Young, M.S.P.H. 5600 Fishers Lane, Room 7-29, Rockville, Maryland 20857 Phone: (301) 443-5761 Fax: (301) 594-2835.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.914 HIV Emergency Relief Project Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease; 93.978 Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: Fifteen projects were funded under this program throughout the U.S. and its territories to provide training and education on state of the art HIV care and treatment to health care providers. Most of the projects are located at universities, while one is hospital based. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

In making grants, the Secretary shall give preference to qualified projects which will -- (A) train, or result in the training of health professionals who will provide treatment for minority individuals with HIV/AIDS and other individuals who are at high risk of contracting such disease; (B) train, or result in the training of minority health professionals and minority allied health professions to provide treatment for individuals with such disease; and (C) train or result in the training of health professionals and allied health professionals to provide treatment for hepatitis B or C co-infected individuals. The acronym HIV stands for "human immunodeficiency virus", the etiologic agent for acquired immune deficiency syndrome (AIDS).

93.150 PROJECTS FOR ASSISTANCE IN TRANSITION FROM HOMELESSNESS (PATH)

(PATH)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title V, Part C, Section 521, as amended, 42 U.S.C 290cc-21 et seq; Stewart B. McKinney Homeless Assistance Amendments Act of 1990, Public Law 101-645.

OBJECTIVES:

To provide financial assistance to States to support services for individuals who are suffering from serious mental illness or serious mental illness and substance abuse; and are homeless or at imminent risk of becoming homeless. Programs and activities include: (1) Outreach services; (2) screening and diagnostic treatment services; (3) habilitation and rehabilitation services; (4) community mental health services; (5) alcohol or drug treatment services; (6) staff training; (7) case management services; (8) supportive and supervisory services in residential settings; (9) referrals for primary health services, job training, educational services, and relevant housing services; and (10) prescribed set of housing services.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the State to achieve the described objectives except that funds cannot be used: (1) To support emergency shelters or construction of housing facilities; (2) for inpatient psychiatric or substance abuse treatment costs; or (3) to make cash payments to intended recipients of mental health or substance abuse services. Not more than 20 percent of the

payment may be expended for housing services; not more than 4 percent of the total allocation may be expended for administrative expenses. The applicants must agree that the payments will be expended solely for making grants to political subdivisions of the State, and to nonprofit private entities (including community-based veterans organizations and other community organizations) for the purpose of providing the services.

Applicant Eligibility:

States, District of Columbia, Guam, American Samoa, the Commonwealths of Puerto Rico and the Northern Mariana Islands, and the Virgin Islands.

Beneficiary Eligibility:

Individuals who have a serious mental illness or serious mental illness and substance abuse; and are homeless or are at imminent risk of becoming homeless.

Credentials/Documentation:

This program is subject to 45 CFR 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

There is no preapplication requirement. This program is not subject to the intergovernmental review requirements of E.O. 12372, as implemented through DHHS regulations at 45 CFR 100. However, individual States may require, or an applicant State mental health agency may want to implement, coordination procedures similar to those specified in E.O. 12372. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Chief Executive Officer of a State or Territory must apply annually for an allotment. Applications include a completed application form and a set of assurances.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Grant awards are issued directly by the Center for Mental Health Services (CMHS) to the designated State agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 8 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Part 92, Public Law 101-645.
Matching Requirements: The formula is cited in Section 524 of the Public Health Service Act, as amended by Public Law 101-645. The formula allots funds on the basis of the population living in urbanized areas of the State, compared to the population living in urbanized areas of the entire United States except that no State receives less than \$300,000 (\$50,000 for Territories). States must agree to make available, directly or through donations from public or private entities, nonfederal contributions equal to not less than \$1 (in cash or in kind) for each \$3 of Federal funds provided in such grant. Territories have no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each allotment is available for obligation for the award period (1-year); payments are made through an Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

Each State shall prepare and submit to the Secretary of Health and Human Services annual reports on its activities necessary for securing a record and a

description of the purposes for which funds received under Section 521 were spent and the recipients of such funds, and for determining whether such amounts were expended in accordance with the provisions of the legislation. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it.

Account Identification:

75-1362-0-1-550.

Obligations:

(Formula Grants) FY 09 \$57,059,000; FY 10 est \$62,121,000; FY 11 est \$62,121,000

Range and Average of Financial Assistance:

\$50,000 to \$8,261,000; \$1,018,910.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 56 awards were made in fiscal year 2009. Fiscal Year 2010: It is estimated 56 awards will be made in fiscal year 2010. Fiscal Year 2011: It is estimated 56 awards will be made in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. HHS Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Barbara J. Orlando, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: barbara.orlando@samhsa.hhs.gov Phone: (240) 276-1422 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 55,173,000. Fiscal Year 2010: 62,121,000. Fiscal Year 2011: 62,121,000.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill all statutory and regulatory requirements.

93.153 COORDINATED SERVICES AND ACCESS TO RESEARCH FOR WOMEN, INFANTS, CHILDREN, AND YOUTH

Ryan White Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part D, as amended, Public Law 109-415, Ryan White Care HIV/AIDS Treatment Extension Act of 2009.

OBJECTIVES:

The purpose of this funding is providing family-centered care involving outpatient or ambulatory care (directly or through contracts) to women, infants,

children, and youth with HIV/AIDS, and may be used to provide additional support services to patients and families through the provision of coordinated, comprehensive, culturally and linguistically competent, family-centered services. Funds will be used to support programs that provide primary medical care and : (1) Link established systems of care to coordinate service delivery and ensure that HIV-infected women, infants children, and youth have access to the existing and emerging HIV treatments that can make a difference; (2) Implement HIV prevention programs for the transmission of HIV from mother to child; (3) Educate clients about the opportunities for participation in clinical research and other research activities; and (4) Address the intensity of service needs, high costs, and other complex barriers to comprehensive care and research experienced by medically underserved and challenging populations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds granted must be expended solely for carrying out the approved project in accordance with application guidelines, the conditions of award and the applicable cost principles.

Applicant Eligibility:

Public and nonprofit private entities that provide primary care services, either directly or by another entity that is linked with the grantee, either through a contract, written partnership, or other formal agreement. Proof of nonprofit status is required for nonprofit organizations. Primary care providers must show proof of Medicaid eligibility.

Beneficiary Eligibility:

Women, infants, children, and youth with HIV/AIDS and their affected family members.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Grants may be made for up to 5-year project periods, subject to availability of funds. After initial awards, projects may be renewed contingent upon submission and approval of a continuation application.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards, on a competitive basis will be for a one year budget period, although project periods may be approved for up to five years. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, annual program service reports and special reports must be submitted as required. No cash reports are required. The grantee must also submit the annual Ryan White Data Report and the Ryan White Services Report due March 15 each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. Grantees must also submit an Allocations Report, 60 days after the notice of grant award. Grantees must submit a Federal Financial Report on SF 245 within 90 days after the end of the budget period. Expenditures Report within 90 days after the end of the budget period. (OMB 0915-0318). Additionally, each funded program must submit a budget period renewal application each year prior to the end of the budget period. A final financial status report and final performance report must be submitted 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$70,947,170; FY 10 est \$72,066,181; FY 11 est \$72,066,181

Range and Average of Financial Assistance:

\$230,384 to \$2,569,004; \$766,222.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Approx. 98 projects will be funded in FY 10. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Anna Huang, MD, Branch Chief, Northeastern Region, Division of Community Based Programs/HIV/AIDS Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 7A-30, 5600 Fishers Lane, Rockville, MD 20857. Telephone:

(301) 443-3995.

Headquarters Office:

Division of Community Based Programs, 5600 Fishers Lane, Room 7-A30, Rockville, Maryland 20857 Phone: (301) 443-1019.

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are included in the program guidance materials provided with application kits. Contact the HRSA Grant Application Center for details.

93.155 RURAL HEALTH RESEARCH CENTERS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 711 of the Social Security Act as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003.

OBJECTIVES:

To support the operation of rural health research centers, research dissemination, rural policy analysis, rapid response to quick data turn around requests, and rural health best practices to provide an information base, research dissemination, and policy analysis capability on the full range of rural health services issues, including financing, recruitment and retention of health professionals, access to care, health disparities and rural delivery systems.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of Section 711 of the Social Security Act as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003.

Applicant Eligibility:

All public and private entities, nonprofit and for-profit, including faith-based and community-based organizations, and Federally recognized tribes and/or tribal organizations, are eligible to apply. Please see individual grant guidances at www.grants.gov for program-specific eligibility.

Beneficiary Eligibility:

The entities that will benefit from this program are health care personnel, health research personnel, and the general public.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under these CFDA programs for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Approximatley 6 months.

Appeals:

Not Applicable.

Renewals:

Research center awards may be made for up to 4-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance. One-year research grants are only available for one year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Awards are normally made in a lump sum for the entire budget period. Payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Report. No cash reports are required. FSR. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$4,740,000; FY 10 est \$4,740,000; FY 11 est \$9,950,000

Range and Average of Financial Assistance:

For Research Centers: (FY 09 \$600,000 to \$700,000 range and average is \$650,000 and for FY10 \$600,000 to \$700,000 range and average is \$650,000. For Research Dissemination FY 09 \$100,000 to \$140,000 range and average is \$120,000 and for FY10 \$100,000 to \$140,000 range and average is \$120,000. For Rural Policy Analysis FY09 \$225,000 and for FY10 \$225,000. For rapid response to quick data turn around requests FY09 \$350,000 and for

FY10 \$350,000.

For rural health best practices FY09 \$1,800,000 and for FY10 \$1,800,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09 seven non-competitive research center grants and one competitive Research Dissemination, Rural Policy Analysis, Rapid Response, and Rural Health Best Practices grant were each awarded. Fiscal Year 2010: It is anticipated that in FY 10 and FY 11 there will be seven Research Center awards and one Research Dissemination award, Rural Policy Analysis, Rapid Response, and Rural Health Best Practices grant awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Joan Van Nostrand, D.P.A. for Rural Health Research Center grants and Research Dissemination grant, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Program Contact: Michelle Goodman for Rural Policy Analysis awards, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Program Contact: Carrie Cochran for Rapid Response awards, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Program Contact: Karen Beckham for Rural Health Best Practices, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Office of Rural Health Policy, 5600 Fishers Lane, Room 9-A55, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria is listed in the application guidance.

93.156 GERIATRIC TRAINING FOR PHYSICIANS, DENTISTS AND BEHAVIORAL/MENTAL HEALTH PROFESSIONALS

GTPD

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII of the Public Health Service (PHS) Act, as amended by the Health Professions Education Partnerships Act of 1998, P.L. 105-392, Section 753(b) and the Patient Protection and Affordability Act of 2010, P.L. 111-148.

OBJECTIVES:

Grants are given for faculty training projects in geriatric medicine, dentistry, and behavioral or mental health. The purpose of this grant program is to provide support, including fellowships, for geriatric training projects to train physicians, dentists and behavioral or mental health professionals who plan to teach geriatric medicine, geriatric dentistry or geriatric behavioral or mental health.

TYPES OF ASSISTANCE:

PROJECT GRANTS; Training

USES AND USE RESTRICTIONS:

A grant may be made to meet the cost of a project which will propose: (1) a 1-year retraining program in geriatrics for: (a) physicians who are faculty in departments of internal medicine, family medicine (including osteopathic general practice), gynecology, geriatrics, and behavioral or mental health at schools of medicine and osteopathic medicine; (b) dentists who are faculty members at schools of dentistry or at hospital departments or dentistry; and (c) behavioral or mental health professionals who are faculty members in departments of behavioral or mental health; and/or (2) a 2-year internal medicine or family medicine fellowship program providing emphasis in geriatrics which shall be designed to provide training in clinical geriatrics and geriatrics research for: (a) physicians who have completed graduate medical education programs in internal medicine, family medicine (including osteopathic general practice), behavioral or mental health, neurology, gynecology, or rehabilitation medicine; (b) dentists who have demonstrated a commitment to an academic career and who have completed postdoctoral dental training, including postdoctoral dental education programs or who have relevant advanced training or experience; and (c) behavioral or mental health professionals who have completed graduate medical education programs in behavioral or mental health. Each project for which a grant is made must: (1) be staffed by full-time teaching physicians who have experience or training in geriatric medicine or geriatric behavioral or mental health; (2) be staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching dentists who have experience or training in geriatric dentistry; (3) be staffed, or enter into an agreement with an institution staffed by full-time or part-time teaching behavioral or mental health professionals who have experience or training in geriatric behavioral or mental health; (4) be based in a graduate medical education program in internal medicine or family medicine or in a department of geriatrics or behavioral or mental health; (5) provide training in geriatrics and are exposed to the physical and mental disabilities of elderly individuals through a variety of service rotations, such as geriatric consultation services, acute care services, dental services, geriatric behavioral or mental health units, day and home care programs, rehabilitation services, extended care facilities, geriatric ambulatory care and comprehensive evaluation units, and community care programs for elderly mentally retarded individuals, and (6) provide training in geriatrics through one or both of the two training options. See above.

Applicant Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs.

Beneficiary Eligibility:

Grants may be made to accredited public or private nonprofit schools of medicine, schools of osteopathic medicine, teaching hospitals, or graduate medical education programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Four to six months following receipt of application.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period is three years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A Uniform Progress Report must be submitted annually. No cash reports are required. Annual progress reports, in the form of HRSA's performance reporting system, are required. Electronic reporting requirements can be viewed at www.HRSA.gov.

A financial report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. Financial reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$6,569,999; FY 10 est \$8,100,000; FY 11 est \$8,100,000

Range and Average of Financial Assistance:

FY 2009 Range and Average: \$501,113 to \$1,090,000; \$588,836.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There were 11 GTPD awards made in 2009.
FY 2009 Range and Average: \$501,113 to \$1,090,000; \$588,836. Fiscal Year 2010: Estimated Range and Average: \$500,000 to \$1,000,000; \$523,616. Fiscal Year 2011: Estimated Range and Average: \$500,000 to

\$1,000,000; \$523,616.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. CAPT Young Song, Senior Program Management Consultant or Marcia Starbecker, Chief, Geriatrics & Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: 301-443-3353; 301-443-6867.

Headquarters Office:

Young Song 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-3353

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See www.hrsa.gov. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by peer reviewers to determine the extent to which the applicant documents the following criteria: 1. Need (Maximum Value = 10 points): Evaluation of the described need for the proposed project including: (a) the need for the project in the geographic area to be served; (b) the national and/or local need for the proposed project and the gaps the project is intended to fill; (c) the relationship of the specific objectives of the project to the potential of the project to meet the statutory purposes of the grant program; (d) how the applicants plan for carrying out the project is consistent with at least one Federal, State or regional plan to assure a competent healthcare workforce; and (e) the degree to which the proposed project adequately addresses the purpose of the Geriatric Training for Physicians, Dentists and Behavioral and Mental Health Professionals Program; 2. Response (Maximum Value = 20 points) Evaluation of both objectives for the overall program and objectives which specify what the fellows and trainees will be able to do when the training program is completed. Description of how the objectives for the proposed project support BHP's National Goals (see section on Administrative and National Policy Requirements for description). Description of how the objectives for the proposed project support HRSA's Cultural and Linguistic Competence Related Objectives. The extent to which the approaches and activities described are likely to meet the goals of the training program. Evaluation of the following:

- o how, when, where, and by whom project activities will be conducted
- o linkages with relevant educational and health care entities
- o how well the proposed project relates to the intent and focus of this program and project guidelines.
- o how the project improves the applicants present situation in terms of geriatric training;

3. Evaluative Measures (Maximum Value = 10 points): Evaluation of the potential effectiveness of the evaluation strategy to measure the project objectives and proposed performance standards. Description of the data to be collected, the methods of data collection, and the manner in which data will be analyzed and reported; 4. Impact (Maximum Value = 25 points): Curriculum/Proposed Training (Maximum Value = 10 points): Evaluation of the quality of the training components including clinical, research, See program guidance for the GTPD at www.grants.gov.

93.157 CENTERS OF EXCELLENCE

Centers of Excellence (COEs)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 736, 42 U.S.C. 293, as amended by the Patient Protection and Affordable Care Act of 2010, P.L. 111-148, Section 5401.

OBJECTIVES:

The goal of this program is to assist eligible schools in supporting programs of excellence in health professions education for underrepresented minority individuals. The grantee is required to use the funds awarded to: (1) develop a large competitive applicant pool through linkages with institutions of higher education, local school districts, and other community-based entities; and establish an education pipeline for health professions careers; (2) establish, strengthen, or expand programs to enhance the academic performance of under-represented minority students attending the school; (3) improve the capacity of such school to train, recruit, and retain underrepresented minority faculty including the payment of stipends and fellowships; (4) carry out activities to improve the information resources, clinical education, curricula and cultural competence, to facilitate the entry of under-represented minority students into health or allied health professions schools; (5) facilitate faculty and student research on health issues particularly affecting underrepresented minority groups, including research on issues relating to the delivery of health care; (6) carry out a program to train students of the school in providing health services to a significant number of underrepresented minority individuals through training provided to such students at community-based health facilities. These facilities provide such health services and are located at a site remote from the main site of the teaching facilities of the school; and (7) provide stipends as appropriate.

TYPES OF ASSISTANCE:

Formula Grants; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used by health professions schools to: (1) establish, strengthen, or expand programs to enhance the academic performance of minority students attending the school; (2) establish, strengthen or expand programs to increase the number and quality of minority applicants to the school; (3) improve the capacity of the school to train, recruit, and retain minority faculty; (4) with respect to minority health issues, to carry out activities to improve the information resources and curricula of the school and clinical education at the school; and (5) facilitate faculty and student research on health issues particularly affecting underrepresented minority groups.

Applicant Eligibility:

Eligible applicants include designated health professions schools that are: accredited schools of allopathic medicine; osteopathic medicine; dentistry; pharmacy; or a graduate program in behavioral or mental health; or other public and nonprofit health or educational entities that meet the required conditions regarding: (a) COEs at designated Historically Black Colleges and Universities; (b) Hispanic COE; (c) Native American COE; and d) Other COE, as described in Section 736(c)(2), (3), (4) and (5) of the Public Service Act

In general, designated health professions schools must meet the following general meet these specified conditions:

- (1) have a significant number of under-represented minority individuals enrolled in the schools;
- (2) have been effective in assisting under-represented minority students of the schools to complete the program of education and receive the degree involved;
- (3) have been effective in recruiting under-represented minority individuals to enroll in and graduate from the school, including providing scholarships and other financial assistance to such individuals and encouraging under-represented minority students from all levels of the educational pipeline to pursue health professions careers; and
- (4) have made significant recruitment efforts to increase the number of under-represented minority individuals serving in faculty or administrative positions at the school.

Beneficiary Eligibility:

Certain HBCUs and eligible health professions schools must train a significant number of under-represented minority students in medicine, dentistry, and pharmacy; faculty recruitment, training and retention; and faculty and student research activities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, noncompeting continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A uniform progress report will not be submitted with the noncompeting continuation (NCC) application for FY 09. Instead, the NCC COE grants will participate in a pilot for FY 09. HRSA is implementing a streamlined process to renew the budget period and release the continuation funding for the ongoing HRSA award. This process eliminates the annual NCC funding opportunity announcement and the need for you to submit a full continuation application through Grants.gov and the HRSA EHBs. No cash reports are required. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$20,602,000; FY 10 est \$24,602,000; FY 11 est \$24,602,000

Range and Average of Financial Assistance:

\$2,188,610 to \$4,250,322; Average: \$3,009,032.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: In FY 2010, an estimated 10 non-compete awards plus 1-4 new awards will be made, totaling 11 to 14 funded COE programs. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Violet R.H. Woo, Chief, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Program information and assistance may be obtained by calling (301) 443-8032.

Headquarters Office:

Violet R.H. Woo 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-8032

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The Centers of Excellence have 7 criteria:

(1) NEED (20 points) - Tthe extent to which a description of a) the problem, and the associated factors contributing to this problem, b) the need with respect to students, faculty development, and URMs in the health professions, and c) geographic factors, such as the size of the area that will be served, and any regional issues.

(2) RESPONSE (40 points) The extent to which project responds to the Purpose included in the program description. This includes the clarity of the proposed goals and objectives and their relationship to the identified project. The extent to which the activities (scientific or other) described in the application are capable of addressing the problem and attaining the project objectives.

For Centers of Excellence, the response should focus on the statutory purposes:

a) Competitive Applicant Pool: To develop a large competitive applicant pool through linkages with institutions of higher education, local school districts, and

other community-based entities and establish an educational pipeline for health professions careers; b) Student Performance: To establish, strengthen, or expand programs to enhance the academic performance of underrepresented minority (URM) students attending the school; c) Faculty Development: To improve the capacity of such schools to train, recruit, and retain URM faculty including payment of such stipends and fellowships as the Secretary may determine is appropriate; d) Information Resources, Clinical Education, Curricula, and Cultural Competence: To carry out activities to improve the information resources, clinical education, curricula and cultural competence of the graduates as they relate to minority health issues; e) Faculty/Student Research: To facilitate faculty and student research on health issues particularly affecting URM groups, including research on issues relating to the delivery of health care; and f) Student Training in Providing Health Care Services: To carry out a program that trains students of the school in providing health care services to a significant number of URMs at community-based health facilities that provide such health care services and are located at a site away from the main teaching facilities of the school.

(3) EVALUATIVE MEASURES (10 points) - The effectiveness of the method proposed to monitor and evaluate the project results. Evaluative measures must be able to assess: 1) to what extent the program objectives have been met; and 2) to what extent these can be attributed to the project.

(4) IMPACT (5 points) - The extent and effectiveness of the dissemination of the project results, the extent to which the project results may be national in scope, the degree to which the project activities are replicable, and the sustainability of the program beyond the Federal funding. It may include the extent to which disseminating COE project is to the Health Professions Education community regionally or nationally.

(5) RESOURCES /CAPABILITIES (10 points) The extent to which project personnel are qualified by training and/or experience to implement and carry out projects. This includes the capabilities of the applicant organization, and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project. This may include whether the health professions school has a significant number of URM individuals enrolled in the school, including individuals accepted for enrollment in the school, if the school has 100% of their URM students receiving some type of financial assistance.

(6) SUPPORT REQUESTED (10 points) - The extent to which the overall budget, including non-trainee expenses, is a) cost effective, reasonable, and consistent with the stated objectives and proposed activities, b) expenditures are well justified, and c) in-kind contributions are documented.

(7) CULTURAL COMPETENCE DEVELOPMENT (5 points) The extent to which the COE demonstrates COE specific courses and/or activities, appropriate to the educational level that develops students knowledge and appreciation of the impact of culture on health. Included is how well cultural competence activities facilitate the enhancement of key tools and skills that improve the ability of program participants to effectively communicate and provide services to patients from diverse social and cultural backgrounds.

93.161 HEALTH PROGRAM FOR TOXIC SUBSTANCES AND DISEASE REGISTRY

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Public Law 99-499, as amended; 42 U.S.C. 9604(i); Resource Conservation and Recovery Act, as amended; Public Law 98-616, 42 U.S.C. 6939a., 42 U.S.C 9604(i).

OBJECTIVES:

To work closely with State, local, and other Federal agencies to reduce or eliminate illness, disability, and death resulting from exposure of the public and workers to toxic substances at spill and waste disposal sites.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

To strengthen State and local environmental health programs. Services may include: (1) Health assessments; (2) health effects studies; (3) exposure and disease registries; (4) technical assistance; (5) consultation; (6) dissemination of technical information; (7) provision of specialized services and assistance, including responses to public health emergencies; (8) training State and other health professionals in broad areas related to environmental health; and (9) research of chemical toxicity.

Applicant Eligibility:

States or political subdivisions thereof, to include the District of Columbia, American Samoa, Guam, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Federated States of Micronesia, the Virgin Islands, the Federated States of Marshall Island, the Republic of Palau, National organizations, Federally-recognized Indian tribal governments, public and private non-profit universities and colleges.

Beneficiary Eligibility:

The general public and particularly individuals and families who reside around or near hazardous waste sites.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. For-profit organization's costs will be determined in accordance with the Federal Acquisition Regulation, 48 CFR, Chapter I, Subpart 31.2, "Cost Principles for Contracts and Commercial Organizations." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Information on the submission of applications may be obtained from the Grants Management Officer, Acquisition and Assistance Branch A, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program. This program is subject to the provisions of OMB Circular No. A-110. By formal agreement, the CDC Grants Management Branch acts on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, Centers for Disease Control and Prevention (CDC), acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

Awards are made for project periods of 1 to 5 years with 12-month budget period. Renewals or continuation awards, with the project period are made on the basis of satisfactory progress and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

It is anticipated that awards will be for a 12-month budget period with a proposed project period ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

See Funding Opportunity for details. Cash reports are not applicable. See Funding Opportunity for details. See Funding Opportunity for details. See Funding Opportunity for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 09 \$7,653,187; FY 10 est \$7,653,187; FY 11 est \$7,653,187

Range and Average of Financial Assistance:

Average range: \$130,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Toxicological profiles, Federal regulations for interim procedures to petition for Public Health Assessments published in August 18, 1992 issue of the 42 CFR 90, Health Assessments and Health Effects Studies of Hazardous Substances Releases and Facilities; Final Rule; Published in February 13, 1990, issue of the Status of Health Research Report; Case studies in environmental medicine; and ATSDR Annual Report. PHS Policy Statement No. (OASH) 94- 50,000, (Rev.) April 1, 1994 is available. Section 106 and subsection 118(e) of the Great Lakes Critical Programs Act of 1990 33 U.S.C. 1268(e).

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Relevance of the proposal to the objective of this program. (2) Demonstrated experience in evaluating human health effects from exposures to hazardous substances in the environment through multimedia exposure pathways. (3) Training and experience of staff to be assigned to and/or hired for the project. (4) Suitability of facilities and equipment available or to be purchased for the project. (5) Appropriateness of the requested budget relative to the work proposed. (6) Capability of the applicant and its consultants to carry

out the tasks involved in the project. (7) Soundness and innovation of the proposed approach to the range of activities presented in the project. (8) Capability of the applicant's administrative structure to foster successful scientific and administrative management of the program or study as described in the application. (9) Adequacy of the proposed time frame for completion of programs or studies.

93.162 NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT PROGRAM

NHSC Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 2541-1), as amended; Section 331(i) of the PHS Act (42 USC 254d(i)), as amended.

OBJECTIVES:

The National Health Service Corp (NHSC) Loan Repayment Programs objective is to increase the supply of primary care physicians, dentists, dental hygienist, behavioral and mental health professionals, certified nurse midwives, primary care nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSA) within the U.S.A and its territories by assisting the repayment of qualifying educational loans in return for service to populations located health professional shortage areas identified by the Secretary of the Department of Health and Human Services.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Awards provide payments of up to \$50,000 towards participants' qualified government and commercial health professions undergraduate and graduate education loans during the first 2 years of full time practice or 4 years of half time practice at a selected National Health Service Corps (NHSC) Loan Repayment Service Site under a written agreement with this program. For a 3rd and 4th subsequent year, payments of up to \$35,000 a year may be made available under a written full time agreement, for a 5th subsequent year payments of up to \$25,000 a year may be made available under a written full time agreement, for a 6th subsequent year payments of up to \$20,000 a year may be available under a written full time agreement, and for a 7th subsequent year and beyond, payments of up to \$15,000 may be available under a full time written agreement. Health professions given priority for selection are those identified by communities.

Applicant Eligibility:

Individuals are eligible to apply if they have (1) U.S. citizenship; (2) a health professions degree or are in professional practice (they must hold an unrestricted health professions license from the State in which they will be working, and be eligible for selection for a Federal civil service appointment, or hold an appointment as a commissioned officer in the Regular or Reserve Corps of the U.S. Public Health Service); (3) not defaulted on any Federal debt or do not have a court judgment against them; and (4) no existing service obligation.

Beneficiary Eligibility:

Primary care, oral health, and mental and behavioral health professions are eligible for the Loan Repayment Program (LRP). Specific specialties within these professions are selected for LRP awards based on community demand for health services.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is

not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions

Award Procedure:

NHSC loan repayment awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals whose training is in a health discipline or specialty determined by the Secretary for Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to service in health professional shortage areas.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 Days.

Appeals:

Not Applicable.

Renewals:

Contract amendments will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is a centralized web based payment system for HHS awards.

Reports:

No reports are required.

Audits:

Not Applicable.

Records:

Not applicable.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$63,394,077; FY 10 est \$77,614,769; FY 11 est \$96,666,270

Range and Average of Financial Assistance:

New awards range from \$5,000 to \$50,000, with an average award being \$45,383.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 981 new NHSC Loan Repayment awards were made using base funding. Fiscal Year 2010: Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Chief, National Health Service Corps Loan Repayment Branch, Division of Applications and Awards, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Headquarters Office:

Division of Applications and Awards, 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.303 Nursing Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Priority will be given to applicants who are individuals whose training is in a health or specialty profession determined by the Secretary to be needed by NHSC.

93.164 INDIAN HEALTH SERVICE EDUCATIONAL LOAN REPAYMENT

(IHS Loan Repayment Program)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Amendments of 1988, Section 108, Public Law 100-713.

OBJECTIVES:

To insure that the Indian Health Service (IHS) has an adequate supply of trained health professionals for Indian health program facilities by providing for the repayment of educational loans for participants who agree (by written contract) to serve an applicable period of time at a facility IHS has designated as a loan repayment priority site or in a designated specialty at a site with an appropriate position.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

This program will provide repayment of loans incurred for health professions educational expenses in exchange for service in a designated loan repayment priority site. Recipients must agree by written contract to serve an applicable period of time in such a site; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied. The minimum period of participation is 2 years. Maximum payment to a program participant is \$20,000 per year and an additional 20 percent of the award amount for tax liability. The minimum period of participation is 2 years. Maximum payment to a program participant is \$20,000 per year and an additional 20 percent of the award amount for tax liability.

Applicant Eligibility:

Eligible individuals must be enrolled: (1) In a course of study or program in an accredited institution, as determined by the Secretary, within any State and be scheduled to complete such course of study in the same year such as individual applies to participate in such program; (2) in an approved graduate training program in a health profession; (3) have a degree in health profession and a

license to practice a health profession; (4) be eligible for, or hold, an appointment as a commissioned officer in the Regular or Reserve Corps of the Public Health Service (PHS); (5) be eligible for selection for civilian service in the Regular or Reserve Corps of the PHS; (6) meet the professional standards for civil service employment in the IHS; (7) be employed with an Indian health program funded under Public Law 93-638, Indian Self-Determination, Title V of Public Law 94-437 and its amendments or the Buy Indian Act (25 U.S.C. 47); (8) submit an application to participate in the IHS Loan Repayment Program; and (9) sign and submit to the Secretary for Health and Human Services at the time of such application, a written contract agreeing to accept loan repayment of health professions educational loans and to serve for an applicable period of service at loan repayment priority site as determined by the Secretary. The term "State" is defined in Section 331 (i)(4) of the PHS Act.

Beneficiary Eligibility:

Health professionals who have Government (Federal, State, local) and commercial unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) A transcript showing final year of study and full-time status; (2) a copy of a health professions degree; (3) a copy of health professions license; (4) a copy of transcript showing completion of graduate education; and (5) a copy of all loan documentation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants should request an application package, complete the required information, and return it to the Indian Health Service (IHS) Headquarters for review. (See Headquarters Office section below for address.) Once a completed application packet is received, it will be reviewed and the determination of award recipients will be made based upon merit. Award will be made on award dates published in the Federal Register.

Award Procedure:

Upon determination of who will receive an award, that individual will be notified in writing by the program. The individual will in turn notify the program in writing of his/her acceptance. Awards will be contingent upon the score that an eligible Loan Repayment Program site receives and score is applied to the individual matched to that site. Upon arriving at his/her duty station, participant must submit proof of employment to the IHS Loan Repayment Program Office. Individuals can be matched to an IHS tribal, urban, or buy Indian site by an IHS area recruiter or the equivalent. Applicants needing to match to an IHS priority site should work with an IHS recruiter in the service area of their choice. When an award occurs, the Secretary will sign the contract and the individual will receive final notification of their award and a copy of their signed contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operations.

Appeals:

Not Applicable.

Renewals:

If a program recipient satisfactorily completes his/her initial service and continues to have remaining eligible debt, he/she may extend his/her contract on a single-year basis, provided that funds are available and the participant continues to meet the eligibility requirements of the program. A recipient wishing to extend his/her contract must submit a formal request for extension and sign a new 1-year contract.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments will be made to the individuals of the program on an annual basis.
See the following for information on how assistance is awarded/released:
Payments will be made to the individuals of the program on an annual basis.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. Program participants must be certified annually as performing at an eligible site in an eligible full-time position.

Audits:

No audits are required for this program.

Records:

The IHS will maintain records of applicants and participants for 3 years after information of expenditure reports are completed.

Account Identification:

75-0390-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 09 \$23,608,630; FY 10 est \$21,714,581; FY 11 est \$21,714,581

Range and Average of Financial Assistance:

\$3,000 to \$48,000 for a 2-year obligation; \$43,315.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Authorization is contained in Public Law 100-713, as amended by Public Law 102-573; Indian Health Service Educational Loan Repayment Program Information Bulletin; Notice of Availability of Funds.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for IHS Area Offices.

Program Contact: Ms. Jackie Sanitago, Chief, Loan Repayment Program, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-3396. Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same number for FTS.

Headquarters Office:

Grants Policy Office, 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The IHS defined "Health Profession" to mean family medicine, internal medicine, pediatrics, geriatric medicine, obstetrics and gynecology, podiatric medicine, nursing, public health nursing, dentistry, psychiatry, osteopathy, optometry, pharmacy, psychology, public health, social work, marriage and family therapy, chiropractic medicine, environmental health and engineering, and allied professions. Other factors that will be employed to determine which applicant is selected for awards include: (a) An applicant's length of current employment in the IHS, tribal or urban program; (b) availability for service earlier than other applicants (first come, first served); and (c) date the completed application was received.

93.165 GRANTS TO STATES FOR LOAN REPAYMENT PROGRAM

State Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 3381, 42 U.S.C. 254 q-1.

OBJECTIVES:

To increase the availability of primary health care in health professional shortage areas (HPSAs) by assisting States in operating programs for the repayment of educational loans of health professionals in return for their practice in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into SLRP contract with the States. Funds cannot be used for the following purposes: costs of administering the program, State matching funds, or any other purpose. Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into SLRP contract with the States.

Applicant Eligibility:

Eligible entities include the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Palau, the Marshall Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Applicants for State programs must have completed a course of study required to practice independently without supervision, as one of the following health care professionals: Doctors of Allopathic Medicine or Osteopathic Medicine, General Practice Dentists (D.D.S. or D.M.D.), Pediatric Dentists, Primary Care Certified Nurse Practitioners, Certified Nurse-Midwives, Primary Care Physician Assistants, Registered Clinical Dental Hygienists, Health Service Psychologist (formerly Clinical or Counseling Psychologists (Ph.D. or equivalent), Licensed Clinical Social Workers (masters or doctoral degree in social work), Psychiatric Nurse Specialists, Mental Health Counselors, Licensed Professional Counselors (masters or doctoral degree with a major study in counseling), Marriage and Family Therapists (masters or doctoral degree with a major study in marriage and family therapy). The primary care specialties approvable for physicians are: family medicine (and osteopathic general practice), internal medicine, including geriatricians, pediatrics, obstetrics/gynecology, and geriatrics and general psychiatry. General practitioners (physicians who have not completed residency training programs) are not eligible for funding under the SLRP. The primary care specialties approval for nurse practitioners and physician assistants are: adult, family, pediatrics, psychiatry/mental health, geriatrics, or womens health.

Participants must provide full-time clinical service for a minimum of two years in a public or non-profit entity located in a federally designated health professional shortage area (HPSA).

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award..

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

From 120 to 180 days. Subsequent funding depends upon the availability of funds and program performance. Renewals are funded through a non-competitive process each year.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Fifty-percent (50%). States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State may not use any Federal funds or in-kind contributions to satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals.

The Federal share must be used to repay the government and commercial loans of health professionals for tuition, educational expenses and living expenses described in Section 338B(g)(1) of the Public Health Service Act.. States must assume administrative costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

A grant award is for 1 year. Applicants may propose a project period of up to 4 years. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) non-competing continuation application(s) report through the EHBs; (4) a Final Report. All BHPr grantees are required to submit a final report within 90 days after the project period ends. No cash reports are required. See above. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$9,151,764; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

FY 09 Range of awards: \$71,453 to 600,000; average: \$255,145.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A non-competing grant cycle was offered and 22 grants were awarded \$6,314,171. A competing grant cycle was offered and 10 grants were awarded \$2,551,453. Fiscal Year 2010: A new competition was offered. Number of awards, amount awarded, range and average of award is not available at this time. Fiscal Year 2011: No current data is available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Elsie Quinones, Acting Chief, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: 301-443-5244 and Email equinones@hrsa.gov.

Headquarters Office:

Elsie Quinones 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-5244

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.364 Nursing Student Loans; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Listing of funded projects appears in www.hrsa.gov. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new and competing continuation grants the following criteria will be used to evaluate State applications to determine which States are to be supported under this notice: (a) The extent of the State's need for health professionals consistent with the health professions and specialties identified later in this notice; (b) the number and type of providers the State proposes to support through this program; (c) the appropriateness of the proposed placements of State LRP recipients (e.g., consistency and coordination with State-based plans to improve access to primary health services for the underserved communities and individuals); (d) the appropriateness of the qualifications, the administrative, and managerial ability of the staff to implement the proposed project; (e) the suitability of the State's approach and the degree to which the plan of a State is coordinated with Federal, State, and other programs for meeting the State's health professional needs and resources, including mechanisms for ongoing evaluation of the program's activities; (f) the source and plans for the use of the nonfederal match (the degree to which the nonfederal match exceeds the minimum requirements or has increased over time and the amount of the match relative to the needs and resources of the State); (g) for competing continuation applicants only, the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the

State's short-term and long-term professional needs: (h) an assessment of the reasons for initial breaches by health professionals of repayment contracts; and (i) the grantee's history of compliance with reporting requirements. Noncompeting continuation applications will be evaluated on the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs: (j) and assessment of the reasons for initial breaches by health professionals of repayment contracts; and (k) the grantee's history of compliance with reporting requirements.

93.172 HUMAN GENOME RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 461 and 487, as amended; Public Laws 78-410 and 99-158, 42 U.S.C. 241, as amended; 42 U.S.C. 285k; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To obtain genetic maps, physical maps, and determine the deoxyribonucleic acid (DNA) sequences of the genomes of humans and model organisms to be used as resources in biomedical research, medicine, and biotechnology. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The research project grant is awarded to an eligible institution in the name of a principal investigator for a discrete project or group of related projects representing the investigator's interest and competence. Funds may be used for salaries and wages, equipment, supplies, travel and other costs required to carry out the research project. National Research Service Awards are made directly to individuals for research training in disciplines supporting the research areas. In addition, grants may be made to institutions to enable them to select individuals for National Research Service Awards. Each individual who receives a National Research Service Award is responsible for certain service and payback provisions. Responsibilities of grantees and restrictions on use of funds are set forth in the Public Health Service policy statement on grants for research projects, which is available on request from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health (NIH), 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax (301) 480- 0525. E-mail: asknih.od.nih.gov. Small Business Innovation Research (SBIR) Program: SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and

technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Research Projects: Awards can be made to any public or private, for-profit or nonprofit university, college, hospital, laboratory, or other institution, including State and local units of government, qualifying small businesses (through the Small Business Innovation Research/STTR Programs, and to individuals. To be eligible for funding, a proposal must be approved for scientific merit and program relevance by a scientific review committee and a national advisory council. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its territories. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Non-federal public and private domestic organizations may apply for an Institutional National Research Service Award. Individual National Research Service awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Doctoral awardees must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.O., D.V.M., Sc.D., E.Eng., or equivalent domestic or foreign degree). Applicants to the Small Business Innovation Research/STTR Programs must meet special requirements for small businesses, as defined by the Small Business Administration.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for a research project must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. Use grant application form PHS-398 (Rev. May 1995) provided by, the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, Bethesda, MD 20892. For applicants for National Research Service Awards, the academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. The applicant institution must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for the award, and a detailed budget and justification for the grant funds requested. For-profit organizations, costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR 74 Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and 6246-2 are used to apply for Phase I and Phase II awards, respectively, or SBIR and STTR programs. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and information concerning the area of science being supported may be obtained from the Division of Extramural Outreach and Information, National Institutes of Health, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910, Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Complete the application and return it to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892 for assessment by a scientific review committee. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations", as appropriate. The SBIR and STTR Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH "Small business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone (301) 206-9385; fax: (301) 206-9722; e-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. Completed SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications for project grants and institutional National Research Service Awards are reviewed for scientific merit by an appropriate initial review group and by the National Advisory Council for Human Genome Research (NACHGR). (Individual NRSA applications are not reviewed by council.) All approved applications compete for available funds on the basis of scientific merit and program emphasis. Awards are issued throughout the year. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a impact score ranging from the best (10) to worst (90) compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit (which includes the potential of the proposed research for commercial application), program relevance, and program balance among the areas of research.

Deadlines:

Feb 05, 2010: This is the first of three deadlines that occur annually for new research projects. The other two dates are June 5, 2010 and October 5, 2010. New Research Projects (R01) and Renewals: February 5, June 5, and October 5. Program Project Grants and Center Grants: January 25, May 25, and September 25. Other Research Grants (R03, R21, R33, R21/R33, R34, R36): February 16, June 16, and October 16. Individual NRSA: April 5, August 5, and December 10. Institutional NRSA: January 25, May 25, and September 25. SBIR/STTR Applications: April 5, August 5, and December 5. SIP: Contact Headquarters Office.

Range of Approval/Disapproval Time:

Project Grants: About 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Renewal grants are competitively awarded.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All awards are made for at least 1 year. Additional support may be available for up to 4 more years depending upon the recommendations of the scientific review group, the National Advisory Council for Human Genome Research, successful annual performance, and availability of funds. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly.

Reports:

Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. Cash reports are not applicable. Annual progress and financial status reports for continuing projects and final reports on all projects upon conclusion are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0891-0-1-552.

Obligations:

(Project Grants) FY 09 \$444,024,000; FY 10 est \$421,382,000; FY 11 est \$397,138,000 - For FY09 and FY10, these figures represent total base dollars for Research Grants, SBIR/STTR, and NRSA training grants plus Recovery and Reinvestment Act funds. For FY11, the figure represents total base dollars for Research Grants, SBIR/STTR, and NRSA training grants only.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure and other miscellaneous program literature are available from Headquarters Office. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant applications.

Regional or Local Office:

None.

Headquarters Office:

Anna J. Rossoshek, 5635 Fishers Lane, Suite 4076, Bethesda, Maryland 20892
Email: rossosheka@mail.nih.gov Phone: (301) 451-8323.

Website Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Structural Annotation of the human Genome, (2) High molecular weight DNA purification instrument for metagenomics, (3) Statistical Methods for the Analysis of ChIP-chip Data (4) Continuous Sequencing-By-Synthesis Based on a Digital Microfluidic Platform (5) Natural genetic variation in the human genome (6) Population Genetic Inferences from Dense Genotype Data. Fiscal Year 2010: (1) Comprehensive characterization of the Drosophila transcriptome, (2) Genomes and Genetics at the BCM-HGSC, (3) Statistical Software for Genetic Association Studies, (4) Genetic Epidemiology of Causal Variants Across the Life Course, (5) Hybrid

Nanochannel Arrays for Single Molecule Linear Genome Analysis, (6) Developing Proteogenomic Mapping for Human Genome Annotation. Fiscal Year 2011: (1) Detecting Natural Selection for the 1000 Genomes Dataset, (2) DNA Pattern Identification and Analysis, (3) Rapid Genetic Engineering of Stem Cells, (4) Epidemiology of Putative Causal Variants in the Multiethnic Cohort, (5) EDAC: ENCODE Data Analysis Center, (6) 99.99% Accuracy Direct DNA Sequencing via the Protein Nanopore Method.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; and (5) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget request for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.173 RESEARCH RELATED TO DEAFNESS AND COMMUNICATION DISORDERS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 464 A-F, and 487, as amended; 42 U.S.C. 241, 285m, 285m-3, and 288; Public Law 100-553; 102 Stat. 2769; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To investigate solutions to problems directly relevant to individuals with deafness or disorders of human communication in the areas of hearing, balance, smell, taste, voice, speech, and language. The National Institute on Deafness and Other Communication Disorders (NIDCD) supports research including investigation into the etiology, pathology, detection, treatment, and prevention of disorders of hearing and other communication processes, primarily through the support of basic and applied research in anatomy, audiology, biochemistry, bioengineering, epidemiology, genetics, immunology, microbiology, molecular biology, the neurosciences, otolaryngology, psychology, pharmacology, physiology, psychophysics, speech-language pathology, and other scientific disciplines. The NIDCD supports: (1) Research into the evaluation of techniques and devices used in diagnosis, treatment, rehabilitation, and prevention of disorders of hearing and other communication processes; (2) research into prevention and early detection and diagnosis of hearing loss and speech, voice, and language disorders and research into preventing the effects of such disorders by means of appropriate referral and rehabilitation; (3) research into the detection, treatment, and prevention of disorders of hearing and other communication processes in the elderly population and its rehabilitation to ensure continued effective communication skills; and (4) research to expand knowledge of the effects of environmental agents that influence hearing or other communication processes. Small Business

Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project Grants and Centers Grants may be used to provide salaries, equipment, supplies, travel, and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes as stated in the application and award document. Some National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical and behavioral research areas. Other training grants are made to institutions to enable them to make NRSAs to individuals selected by them. Each postdoctoral individual who receives an NRSA may be obligated, upon termination of the award, to comply with certain service and payback provisions. Mentored and unmentored career development awards are made to enhance the independent research capability of highly promising individuals during the formative stages of their careers. Small Business Innovation Research (SBIR) Program: Phase I grants (of approximately 6 months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research efforts initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grant (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Grant funds may be expended only for the purpose stated in the application and award document.

Applicant Eligibility:

Project Grants and Centers Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for institutional National Research Service Awards. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research and/or research training environment and for significance of the problem. Approved proposals compete for available funds. Awardees of almost all Research Career Development Programs must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or Territories. To be eligible, postdoctoral NRSA trainees and fellows must have a professional or scientific doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the

U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, physicians, and other health and allied health professionals.

Credentials/Documentation:

Project Grants are awarded to an institution in the name of an individual investigator. Centers Grants are also awarded to an institution in the name of an investigator designated as the Program Director. Persons qualified to carry out research related to the NIDCD grant programs described above may apply for funds through their institution to support their investigations. Research career development must be conducted under the direction of a competent sponsor. A candidate for a Career Award must have an earned M.D., Ph.D. or equivalent degree and have had prior research experience. National Research Service Awards: (1) Individual NRSA Fellowship Awards for predoctoral and postdoctoral training: The candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Training Grants for predoctoral and postdoctoral training: The applicant institution must show the objectives, design and resources for the research training program; the qualifications and experience of directing staff and training preceptors; the criteria to be used in selecting individuals for stipend support; and a detailed, justified budget for the numbers and type of trainee positions requested. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulation. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF 424 (R&R) is used to apply for SBIR Phase I and Phase II. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number as the Universal Identifier when applying for Federal grants or cooperative agreements. The DUNS number can be obtained by calling (866) 705-5711 or through the web site at: <http://www.dunandbradstreet.com>. The NIH is converting to SF424 (R&R) Research and Related forms and electronic submission through Grants.gov for the submission of all grant applications. Visit <http://era.nih.gov/ElectronicReceipt> for information and updates on this new process and www.nidcd.nih.gov/funding/foa to identify funding opportunity announcements relevant to NIDCD. Research Fellowships: Prior to formal application, a candidate must be accepted at an institution as a doctoral student or postdoctoral fellow and have a sponsor who will supervise the training. Fellows may be sponsored by a (domestic or foreign) nonprofit institution. Application forms and information concerning current areas being supported under the Research Fellowship Award Program should be obtained from the Office of Research Manpower, National Institutes of Health, Bethesda, MD 20892. Application forms for Individual or Institutional NRSA's and information concerning the areas of science being supported may be obtained from the Office of Research Manpower, Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892, and should be submitted to the same address. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments, and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page <http://www.nih.gov/grants/funding/sbir.htm>. For further assistance in all above areas, contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Research grant, career development, and research training program applications are reviewed initially by technical panels composed of scientific authorities, and by the National Deafness and Other Communication Disorders Advisory Council composed of 18 leaders in medicine, science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants and Centers Grants: Approximately 6 to 9 months. Career Program: From 6 to 9 months. SBIR/STTR applications: About 6 to 9 months. Institutional Training Grants: From 10 to 12 months. Individual Fellowships: From 4 to 6 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/not97-232.htm>. Final decisions on unresolved appeals are made with the advice of the National Deafness and Other Communication Disorders Advisory Council.

Renewals:

> 180 Days. By application and review in the same manner as new applications. Research career awards are not renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research Grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Center Grant awards support may be for a period not to exceed 5 years. Career development awards provide support for up to 5 years. NRSA fellowship and traineeship awards are usually for a 12-month period, with recommendation of additional support of no more than 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years. See the following for information on how assistance is awarded/released: After the progress report is evaluated and deemed satisfactory, a notice of grant award for each grant is issued annually.

Reports:

Program reports are not applicable. The grantee organization submits quarterly cash 272 reports. A progress report is required yearly for each grant. A financial status report is due either annually or at the end of the project period, depending upon the type of grant. Performance monitoring is covered in the annual progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0890-0-1-552.

Obligations:

(Project Grants) FY 09 \$328,000,000; FY 10 est \$336,983,000; FY 11 est \$343,018,000

Range and Average of Financial Assistance:

Competing Grants: FY09 \$14,000-\$883,000; \$259,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There were 893 applications reviewed and 325 competing applications were funded. Fiscal Year 2010: An estimated 870 applications are expected to be reviewed and an estimated 311 competing applications are expected to be funded. Fiscal Year 2011: An estimated 1,084 applications are expected to be reviewed and an estimated 316 competing applications are expected to be funded. The Institute supports a wide variety of basic and applied research studies concerning balance control, hearing, the senses of smell and taste, as well as speech, voice, and language. Encouraging progress has been made in each of these areas and is expected to continue. Clinical topics under investigation include vertigo; mild, moderate and profound hearing impairment; the design and efficacy of hearing aids and cochlear implants; aphasia; specific language impairment; disorders of taste and smell; stuttering, and voice disorders.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants and Centers Grants: 42 CFR 52 or 52a; 42 CFR 66; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Debra S. Holmes 6120 Executive Boulevard
EPS - Room 400C, Bethesda, Maryland 20892-7180 Email:
holmesd@mail.nih.gov Phone: 3014968693 Fax: 3014026250

Website Address:

<http://www.nidcd.nih.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Spatial processing in auditory cortex; electrophysiology of olfactory discrimination; threshold determination with auditory brainstem response; molecular approaches for developmental and infectious aspects of hearing; outcomes in children with hearing loss; taste transduction; physiology of the vestibular system; objective assessment of vocal hyperfunction; developmental trends of early childhood stuttering; structure and acquisition of American Sign Language; treatment for adult aphasia; and characterization of Specific Language Impairment. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed project; (5) the necessity of budget components requested in relation to the proposed project; (6) the relevance and importance to announced program objectives; (7) innovation; (8) risk to human subjects, including inclusion of minorities and children, and animal protection. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant

applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.178 NURSING WORKFORCE DIVERSITY

NWD

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 821, 42 U.S.C. 296m, as amended by the Affordable Care Act (ACA), Public Law 111-148.

OBJECTIVES:

To increase nursing education opportunities for individuals who are from disadvantaged backgrounds (including racial and ethnic minorities under-represented among registered nurses) by providing student stipends and scholarships, stipends for diploma or associate degree nurses to enter a bridge or degree completion program, student scholarships or stipends for accelerated nursing degree programs, pre-entry preparation, advanced education preparation, and retention activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project. Indirect costs are allowed for administrative costs incurred as a result of the project, limited to 8 percent of direct costs exclusive of equipment and tuition and fees. The maximum annual (12-month) amount for stipends is \$3000 per eligible student. Scholarships are limited to a maximum of \$7,000 per eligible student per year for upper division nursing students. The maximum amount that can be requested for scholarships in a grant is \$40,000 per year.

Applicant Eligibility:

Eligible applicants are accredited schools of nursing, nursing centers, academic health centers, State or local governments, an Indian Tribe or Tribal organization, other public or private non-profit entities including faith-based and community organizations, and for-profit entities capable of carrying out the legislative purpose. Schools of nursing providing formal degree programs must be accredited by a national nurse education accrediting agency or State approval agency recognized by the U.S. Department of Education.

Beneficiary Eligibility:

Accredited public and nonprofit private schools of nursing and other public or nonprofit private entities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission

of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the third year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees. A final progress report must be submitted within 90 days after the end of the project period. A Federal Financial Report (FFR) must be submitted within 90 days after the end of each budget period. A final financial report must be submitted within 90 days after the end of the project period. See above for reporting requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim,

negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$14,851,245; FY 10 est \$15,561,880; FY 11 est \$15,561,880

Range and Average of Financial Assistance:

Range: \$99,536 564,103; Average first year award: \$337,536.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Project Abstracts for funded projects are available at www.hrsa.gov. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. LCDR Aisha K. Mix, MPH, MSN, RN, CCM, Project Officer, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6193.

Headquarters Office:

Aisha K. Mix 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857
Phone: (301) 443-6193

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Project Abstracts for funded projects are available at www.hrsa.gov. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the project must be consistent with and promote the activities identified in the law. Need must be documented, and the capability for achieving project goals must be described. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.184 DISABILITIES PREVENTION

(Disability and Health)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Part 241(a), Section Section 301(a) , Public Law USC-42 U.S.C. 247(b).

OBJECTIVES:

To (1) Provide a national focus for the prevention of secondary conditions in persons within selected disability domains including mobility, personal care,

communication, and learning; (2) build State capacity to coordinate program activities and assess the magnitude of disability in States; (3) employ epidemiological methods to set priorities and direct health promotion interventions for persons with disabilities; (4) conduct research projects to understand secondary conditions and measure the impact of the environment on the lives of persons with disabilities, and conduct studies on the effectiveness of interventions in targeted groups of persons with disabilities; (5) fund and support a national limb loss information center; (6) fund and support a national information center on physical activity for persons with disabilities; (7) fund and support a national paralysis and quality of life/health promotion information and resource center; (8) fund and support a national spina bifida information and resource center and (9) fund and support the Healthy Athletes screening program.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CDC issued continuation awards in fiscal year 2010 for 16 State disability and health cooperative agreements. CDC issued competitive awards to four information/resource centers to address the prevention of secondary conditions and health promotion for persons with disabilities. State capacity cooperative agreements: These awards are providing financial assistance to: 1) Establish and/or sustain State offices of disability and health to promote the visibility of preventing secondary conditions as a State public health priority, and serve as a technical assistance resource and statewide focus for the prevention of secondary conditions; 2) support an advisory function to coordinate and provide policy and program direction guidance in the State; 3) develop and/or implement a State strategic plan or policy instrument for health promotion for persons with disabilities; 4) establish and implement university partnerships to support and complement State project activities; 5) maintain and refine prescribed public health surveillance or survey activities for disability domains of mobility, personal care, communications, and learning in order to implement prevention efforts and program evaluation activities; 6) provide technical assistance to communities; and 7) promote education and health promotion programs for persons with disabilities, conduct training of health professionals, and facilitate access to services for persons with disabilities. Research project grants: Financial assistance under this program is being used to: 1) Implement and evaluate programs to identify and quantify preventable secondary conditions within disability domains which include physical, medical, cognitive, emotional, and/or psychosocial conditions and their prevention; 2) determine the risk and protective factors in specified populations of persons who have a disability; 3) measure the effectiveness and costs of preventive interventions; and 4) develop measurements of the environment that can facilitate or hinder access to participation for persons with a disability. National Limb Loss Information Center: Financial assistance has been awarded to operate a national clearinghouse to provide educational materials and self-help guidance to persons with limb loss and limb difference their families, and develop a peer visitation training initiative for the conduct of education and training sessions in hospitals, rehabilitation facilities, and support groups. The National Center on Physical Activity and Disability provides multiple sources of information and references on a vast array of inquiries on exercise, fitness and health promotion activities. Christopher and Dana Reeve Foundation: Financial assistance has been awarded to operate a national resource center for persons with paralysis, their families, and their caregivers. National Spina Bifida Information and Resource Center: Financial assistance has been awarded to expand local programs for those affected by spina bifida, seed research into spina bifida, and expand information resources. Special Olympics, Inc: Financial assistance has been awarded to conduct the Healthy Athletes program. In these cases, project funds may not be used to supplant State, local, or institutional funds available for these activities, or for construction costs, or to purchase facilities or space. Grantees may enter into contracts as necessary to help achieve the objectives of their respective programs.

Applicant Eligibility:

Based on available funding for fiscal year 2010, CDC issued continuation awards for 16 State projects. Eligibility for the programs in the future are State health departments or other official organizational authority (agency or instrumentality) of States, including the District of Columbia, the

Commonwealth of Puerto Rico, and any territory or possession of the United States. Also, four national information centers on limb loss, physical activity, paralysis, and spina bifida were funded. Eligible applicants for competing applications in fiscal year 2010 included public and private nonprofit entities, including universities, university-affiliated systems, not-for-profit medical centers, research institutions and rehabilitation hospitals, disability service groups such as advocacy and voluntary organizations and independent living centers, and federally recognized Indian Tribal Governments. For the limb loss information center, the physical activity, paralysis and spina bifida resource center projects; continuation applications were solicited for these programs in FY 2010. New competitive applications were solicited from States in FY 2007.

Beneficiary Eligibility:

In addition to the eligible applicants, other groups who will receive benefits from the program include persons with disabilities and family members of persons with disabilities, persons with limb loss, minority populations, refugees, infants, children, youth, adults, senior citizens, women, all educational levels, all income levels, urban, suburban, and rural populations, health/rehabilitation professionals, scientists, educators, and researchers.

Credentials/Documentation:

State disability and health applicants documented in their fiscal year 2007 competitive applications the need for assistance, indicated the objectives of their projects, presented their approaches to meet set objectives, and outlined the method of operation. Current information centers requested continuation funding to meet original protocols and objectives. For nonprofit recipients, costs will be determined in accordance with HHS Regulation 45 CFR 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The guidelines will indicate whether or not an application is subject to Executive Order 12372. If the application is subject for review per State Executive Order 12372, please follow these instructions:

1. The SPOC should be contacted as early as possible to receive any necessary instructions on the State process. If the project serves more than one State, the department should contact the SPOC of each affected State.
2. The necessary information should be mailed to the SPOC.
3. Please provide a copy of the cover letter sent to the SPOC to Grants & Contracts along with the hard copy application. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the Grants.gov web site, at the following Internet address: www.grants.gov. Submit the final application using the www.grants.gov website. This program is subject to the provisions set forth in 45 CFR 92, for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

State disability and health cooperative agreement competitive awards are determined by an internal objective committee review process at CDC. Research project grant competitive awards are determined by an external peer review. At such times, awards will be based on evaluation criteria set forth in the respective Program Announcements, the availability of funds, and such other significant factors as deemed necessary and appropriate by CDC. Awards for State disability and health projects will be issued for expected project periods of 5 years. Future awards for Research project grants are not expected to be issued until fiscal year 2011 given the funding cycles for those projects. The Notices of Grant Award will indicate financial support for the first budget year, allocations of Federal funds by budget category, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Between 60 to 95 days from the deadline date for submission of applications.

Appeals:

Not Applicable.

Renewals:

A new competition for State awards was conducted in February 2007. Competition for new or additional State projects will be based on future appropriations. The current research project grants will conclude their respective project periods during the period in September 2011. New Research grants are expected to be announced in September 2012. The National Limb Loss Information Center, the National Center on Physical Activity and Disability, the National Paralysis Resource Center, and the National Spina Bifida Information and Resource Center received non-competing continuation funding in fiscal year 2010.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Sixteen State disability and health projects received financial assistance in fiscal year 2007 for five year project periods. The competitive research grants funded in fiscal year 2006 had three year project periods. Other future solicitations (when announced) will indicate the length of the project periods and program requirements for each of these programs. Method of awarding/releasing assistance: lump sum.

Reports:

Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Cash reports are not applicable. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project. Annual progress reports are required. Financial status reports are required no later than 90 days after the end of each specified budget period. Final financial status reports and a final program report is required 90 days after the end of the project.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$1,283,877; FY 11 est \$1,368,047 - State capacity projects: FY 07 \$4,606, 616; FY 08 \$5,209, 769; FY 09 \$5,029,769, FY . Research Projects: FY 07 \$2,299, 620; FY 08 \$2, 2922, 260 and FY09 \$2,922,620. National Limb Loss Information Center: FY 07 \$1,650,00, FY 08 \$1,650,000; and FY 09 \$1,650,000, FY 10 \$1,650,000.

National Center on Physical Activity and Disability; FY 07 \$800,000; FY08, and FY 09 \$925,000, FY 10 \$925,000; Special Olympics FY 07 \$5,500,00; FY 08 \$5,508,084 and FY 09 \$5,479, 271. National Spina Bifida Resource Center: FY 07 \$1,250,000; FY 08 \$1,250,000; and FY 09 \$1,250,000 and Christopher and Dana Reeve Paralysis Resource Center, FY 08 \$5,617,350 and FY 09 \$5,254,403; FY 10 \$6,410,000 .

Range and Average of Financial Assistance:

The competitive awards using fiscal year 2010 funds for the 16 State capacity projects ranged from \$200,000 to \$450,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Jacqui Butler, 1825 Century Center Boulevard Rm 4074, Atlanta, Georgia 30345 Email: jabutler@cdc.gov Phone: (404) 498-0274.

Website Address:

<http://www.cdc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

There was a recent competition for State awards in February 2007. New Research projects will be awarded in September 2011. In these cases, applications are reviewed based on evaluation criteria explicit in the respective Program Announcements. Applications must address demonstrated program need, the magnitude of the problem, the management work plan, collaborative associations, and the approach toward setting and meeting overall project objectives and time frames. These future competitive applications would also be evaluated on the capacity of the applicant to demonstrate effective collaborations with other agencies and data sources critical to preventing secondary conditions, identifying and addressing health promotion needs for persons with disabilities, denoting the capability of the project to address minority and low-income populations in the prevention of secondary conditions, promoting accessibility to all program services for persons with disabilities, and offering sound proposals toward development of surveys and surveillance for useful data bases within outlined targeted disability activities.

93.185 IMMUNIZATION RESEARCH, DEMONSTRATION, PUBLIC INFORMATION AND EDUCATION, TRAINING AND CLINICAL SKILLS IMPROVEMENT PROJECTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

None.

OBJECTIVES:

To assist States, political subdivisions of States, and other public and private nonprofit entities to conduct research, demonstration projects, and provide public information on vaccine-preventable diseases and conditions.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used for the costs associated with organizing and conducting these projects, and in certain circumstances, for purchasing vaccine. Requests for direct assistance (i.e., "in lieu of cash") for personnel, vaccines, and other forms of direct assistance will be considered. Funds may not be used to supplant existing immunization program activities.

Applicant Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivisions of States, and other public and private nonprofit entities.

Beneficiary Eligibility:

Under Section 317(k) of the Public Health Service Act: States, political subdivisions of States, and other public and private nonprofit entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for State and local governmental agencies, and by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by the NCIPC in accordance with the review criteria. As part of the initial merit review, all applications may: (1) Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score; (2) receive a written critique; and (3) receive a second level review by the Science and Program Review Subcommittee (SPRS) of the Advisory Committee for Injury Prevention and Control (ACIPC). Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Oct 01, 2010 Contact Headquarters Office for application deadline.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

Not Applicable.

Renewals:

From 60 to 90 days. Project periods are for one to five years with 12-month renewals. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2716.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: Variable. Budget period: For 12 months. See the following for information on how assistance is awarded/released: Various avenues.

Reports:

Subject to OMB clearance, quarterly narrative progress reports (including appropriate statistical data) are required 30 days after the end of each quarter. Narratives should address progress or lack of progress in achieving project objectives, including methods being used to resolve problems. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and performance reports are required 90 days after the end of a project period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 not reported.; FY 10 est \$9,200,000; FY 11 est \$9,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Anjella Vargas-Rosales, 12 Corporate Blvd, Room 5114, Mailstop E-05, Atlanta, Georgia 30329 Email: acv6@cdc.gov Phone: (404) 200-7465 Fax: (404) 639-8626.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.186 NATIONAL RESEARCH SERVICE AWARD IN PRIMARY CARE MEDICINE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

National Institutes of Health Reform Act of 2006, Public Law 109-482, Title

IV, Section 487 of the Public Health Service Act and Division G, Title II, Section 221 of the Consolidated Appropriations Act of 2008, Public Law 110-161.

OBJECTIVES:

To prepare qualified individuals for careers that significantly impact the Nations primary care research agenda. Within the framework of the programs longstanding commitment to excellence and projected need for investigators in particular areas of research, attention must be given to recruiting individuals with proven experience in biomedical and behavioral sciences.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

National Research Services Awards (NRSA) in Primary Care are made to approved institutions to enable them to provide up to three years of NRSA fellowship support to qualified postdoctoral individuals undertaking research training in primary care. Each NRSA trainee is obligated to comply with Federal service and payback provisions. Trainees in the first 12 months of postdoctoral support incur one month of service payback obligation for each month of support. Postdoctoral trainees in the 13th and subsequent months of NRSA support do not incur any further service obligation. Furthermore, the 13th and subsequent months of postdoctoral training serve to discharge the NRSA payback obligation.

Applicant Eligibility:

Domestic public or private nonprofit organizations or institutions may apply for training grants. Applicant institution must be an entity that trains primary care providers in medicine or dentistry and has received federal support as evidenced by receipt of a grant under Title VII, section 747 of the Public Health Service Act, designed to prepare the primary care health workforce. State or local governments and U.S. Territories are eligible. For profit organizations are not eligible. All persons supported as fellows or trainees must be citizens or non-citizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions, including State or local governments and U.S. Territories, who have received grants or contracts under Sections 747, 748 or 749 of the Public Health Service Act, are the beneficiaries of this program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 5 to 6 months from receipt of application.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

No individual trainee may receive more than 3 years of aggregate National Research Service Award support at the post-doctoral level, including any combination of support from institutional and individual awards. Institutional training grants may be awarded for project periods up to 3 years. Awards are made on an annual basis and it is expected that expenditures will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with the Department of Health and Human Services (DHHS), which may be the Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Training) FY 09 \$7,506,900; FY 10 est \$7,506,900; FY 11 est \$7,500,000

Range and Average of Financial Assistance:

\$250,000 to \$340,000; \$295,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 24 continuation awards . Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsgov/grants>.

Regional or Local Office:

See Regional Agency Offices. Shari W. Campbell, Deputy Director, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, MD 20857. Telephone: (301) 594-4251; E-mail address scampbell@hrsa.gov.

Headquarters Office:

Shari W. Campbell, 5600 Fishers Lane, Room 9A-37, Rockville, Maryland
20857 Phone: (301) 594-4251.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedure above to obtain a copy of the application.

93.187 UNDERGRADUATE SCHOLARSHIP PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

(NIH Undergraduate Scholarship Program; UGSP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act., Title IV, Part G, Section 487D.

OBJECTIVES:

To provide service-conditioned scholarships to individuals from disadvantaged backgrounds who agree to pursue undergraduate education at accredited institutions. Individuals must pursue a course of academic study which prepares them for professions needed by the National Institutes of Health (NIH). NIH expects to facilitate interest of these individuals in pursuing careers in the biomedical/biobehavioral sciences at the NIH.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program will provide scholarships to individuals who are enrolled or accepted for enrollment as full-time students at an accredited undergraduate institution of higher education and who are from disadvantaged backgrounds. Each recipient must agree by written contract to serve as an NIH employee full-time for not less than 10 consecutive weeks of each year during which the individual is attending the undergraduate institution and receiving a scholarship; and, not later than 60 days after obtaining the educational degree, each recipient must begin serving as a full-time NIH employee for one year for each year of NIH scholarship support (a deferment of the service obligation may be obtained if the Director, NIH, determines that such is appropriate). Applicants must submit a proposed academic program for each academic year and agree that this program will not be altered without prior approval. The applicant must be in good academic (maintain a minimum GPA of 3.5) standing with their educational institution for continuation under the scholarship program. Maximum scholarship per academic year is \$20,000. Scholarship funds may only be used for tuition expenses and qualified educational and living expenses incurred while attending school.

Applicant Eligibility:

An eligible applicant must be (1) A U.S. citizen, national, or permanent resident; (2) enrolled or accepted for enrollment as a full-time student at an accredited undergraduate institution (4 year school) of higher education; (3) from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) in good academic standing (minimum GPA of 3.5 or be in the top 5 percent) with his/her educational institution; and (5) submit an application to participate in the Undergraduate Scholarship (UGS) Program; (6) agree to serve as a full-time NIH employee for not less than 10 consecutive weeks of each year during which the individual is attending the educational institution and receiving a scholarship; (7) agree to serve as a full-time NIH employee for 12 months for each academic year during which the scholarship was provided, not later than 60 days after obtaining his or her academic degree, unless a service deferment is granted.

Beneficiary Eligibility:

Undergraduate students from disadvantaged backgrounds pursuing academic programs supporting professions needed by the NIH.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Verification of enrollment or acceptance to an accredited institution of higher education (4 year school); (2) a proposed academic program for the school year; (3) certification from the academic institution that the applicant is in good academic standing (minimum GPA of 3.5, or be in the top 5 percent); (4) certification from the academic institution that the applicant qualifies for participation in an educational assistance program for individuals from disadvantaged backgrounds as defined by the Secretary of Health and Human Services; and (5) other documentation as may be required by law, statute, or regulation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application, a signed contract, and other required information (as listed in the application packet) are submitted to the Program office. Once an application package is received by the administering Program Office, preliminary eligibility will be determined and highly qualified applicants will be interviewed. Final determination of awards will be made upon review by the Undergraduate Scholarship Program Committee at a regularly scheduled meeting.

Award Procedure:

Priority will be given to applicants who are prior recipients. The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications submitted for program participation. Criteria which determine competitive ranking for awards may include: (1) career goal in biomedical/biobehavioral research; (2) academic performance; and (3) letters of recommendation. A student's financial need alone does not affect selection. Applicants approved for award will be notified by the Program Director. The Secretary, and his/her designee will sign the service contract of approved applicants, provide a copy to the participant and undergraduate institution notice of approved award amount. Scholarship payments, including room and board, books, fees and transportation are made to the college or university for dispersal.

Deadlines:

Feb 28, 2011 Contact the Headquarters Office listed below for application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 3 months.

Appeals:

Not Applicable.

Renewals:

Annual renewal applications are required to extend the award. Extensions of the scholarship award are subject to availability of funds, acceptable academic and laboratory performance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each award covers 1 calendar year, and may be extended for an additional 3 years, for a maximum of 4 years. No awardee may receive more than 4 years of support. See the following for information on how assistance is awarded/released: beginning of academic term.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Federal Employment) FY 09 \$280,000; FY 10 est \$400,000; FY 11 est \$440,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. contact: Darryl Murray, 301-594-2222, murrayda@mail.nih.gov.

Headquarters Office:

Darryl Murray Building 2, Room 2E20
Two Center Drive, Bethesda, Maryland 20892 Email: murrayda@mail.nih.gov
Phone: 301-594-2222 Fax: 301-480-5481

Website Address:

<http://ugsp.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Undergraduate Scholarship Program Committee will review, rank, and approve or disapprove applications based on career goals and academic performance. Priority will be given to applicants who have demonstrated good academic performance, demonstrated a career goal to pursue biomedical/biobehavioral research, and have characteristics which support the likelihood they will complete their service obligations.

93.189 HEALTH EDUCATION TRAINING CENTERS CONTINUING EDUCATIONAL SUPPORT FOR HEALTH PROFESSIONALS SERVING IN UNDERSERVED COMMUNITIES

Health Education Training Centers

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service (PHS) Act, Title VII, Section 752, as amended by the Patient Protection and Affordable Care Act, P.L. 111-148, Section 5403.

OBJECTIVES:

The legislative purpose of this initiative is to improve health care, increase retention, increase representation of minority faculty members, enhance the practice environment, and provide information dissemination and educational support to reduce professional isolation through the timely dissemination of research findings using relevant resources. Grantees will use a variety of educational approaches (e.g., distance learning, collaborative conferences, continuing education activities, electronic and telelearning activities) to deliver information to primary care providers located in underserved and rural areas including border areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds awarded under this section shall be used to provide innovative activities to enhance education through distance learning, continuing educational activities, collaborative conferences, and electronic and telelearning activities, with priority for primary care.

Applicant Eligibility:

Grant applications shall be accepted from health professions schools, academic health centers, or other appropriate public or private nonprofit entities.

Beneficiary Eligibility:

Same as applicant eligibility above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Competitive continuation applications may be submitted during the final budget period of the approved project.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The first project period will be up to 3 years to allow for the development and implementation of health education training centers and primary care continuing education programs. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A Uniform Progress Report (UPR) - Comprehensive Performance Management System (CPMS) Report must be submitted annually and can be submitted electronically. No cash reports are required. A Uniform Progress Report (UPR) - Comprehensive Performance Management System (CPMS) Report must be submitted annually and can be submitted electronically. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Grantees are required to maintain grant accounting records 3 years after the date

they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

FY 05, Border HETCs: \$100,000 to \$556,010; \$355,462.
FY 05, Non-Border HETCs: \$239,508 to \$326,615; \$296,069.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Louis Coccodrilli, MPH
Chief, AHEC Branch
Parklawn Building Room 9-36
Rockville, Maryland 20857
Phone: (301) 443-6950
E-mail: lcoccodrilli@hrsa.gov.

Headquarters Office:

CAPT Norma Hatot Parklawn Building Room 9-36
5600 Fishers Lane
Rockville, Maryland 20857, Rockville, Maryland 20857 Email:
nhatoti@hrsa.gov Phone: (301) 443-6950

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

NEED- Demonstrates an understanding of the purpose of the HETC program, and demonstrates an understanding of the identified need(s).

RESPONSE- The degree to which the proposed project responds to the program requirements as set forth in sections.

EVALUATE MEASURES- The adequacy of the evaluation strategies to monitor and evaluate project results.

IMPACT- The potential of the proposed HETC program to disseminate information and deliver continuing education programs to primary care providers in underserved and rural areas including border areas.

RESOURCES/CAPABILITIES- Evidence of qualifications in key personnel bio-sketches; evidence of adequate staffing plan for proposed project; evidence of ability to implement complex programs with similar requirements; evidence of institutional support.

SUPPORT REQUESTED- Evidence of a reasonable detailed budget and budget rationale to accomplish the project objectives.

93.191 PATIENT NAVIGATOR AND CHRONIC DISEASE PREVENTION PROGRAM

Patient Navigator and Chronic Disease Prevention Program (PN)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 340A of the Public Health Service Act (42 U.S.C. 256a), as amended by the Patient Protection and Affordable Care Act, Pub. L. 111-148.

OBJECTIVES:

The Patient Navigator Program focuses on improving patients health outcomes through enhanced chronic disease management by using patient navigators and the implementation of PN programs to include recruitment, training, assignment, and employment of such navigators.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the recruitment, training, assignment, and employment of patient navigators to perform the following six duties: (1) Acting as contacts, including by assisting in the coordination of health care services (such as screening clinics, diagnosis centers, treatment facilities, and clinical trials) and provider referrals for individuals who are seeking prevention or early detection services for, or who following a screening or early detection service are found to have a symptom, abnormal finding, or diagnosis of cancer or other chronic disease; (2) Facilitating the involvement of community organizations in assisting individuals who are at risk for or who have cancer or other chronic diseases to receive better access to high-quality health care services (such as by creating partnerships with patient advocacy groups, charities, health care centers, community hospice centers, other health care providers, or other organizations in the targeted community); (3) Notifying individuals of clinical trials and, on request, facilitating enrollment of eligible individuals in these trials; (4) Anticipating, identifying, and helping patients to overcome barriers within the health care system to ensure prompt diagnostic and treatment resolution of an abnormal finding of cancer or other chronic disease; (5) Coordinating with relevant health care ombudsman programs to provide information to individuals who are at risk for or who have cancer or other chronic diseases about health coverage, including private insurance, health care savings accounts, and other publicly funded programs (such as Medicare, Medicaid, health programs operated by the Department of Veterans Affairs or the Department of Defense, the Children's Health Insurance Program, and any private or governmental prescription assistance programs); and (6) Conducting ongoing outreach to health disparity populations, including the uninsured, rural populations, and other medically underserved populations, in addition to assisting other individuals who are at risk for or who have cancer or other chronic diseases to seek preventative care. Funds may not be used to fund legal fees or costs, referral fees, research or other services, including the delivery of health care services other than the patient navigator duties, or facilities or real property.

Applicant Eligibility:

Eligible applicants include public or non-profit private health centers (including federally qualified health centers), health facilities operated by or pursuant to a contract with the Indian Health Service, hospitals, cancer centers, rural health clinics, academic health centers, and non-profit entities that enter into a partnership or coordinate referrals with such centers, clinics, facilities or hospitals to provide navigation services.

Beneficiary Eligibility:

Eligible beneficiaries include health disparity populations who have or are at risk for cancer or other chronic diseases.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget period within an approved project period.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Programs are required to submit data on cross-site evaluation measures on a monthly basis. Programs are required to design and implement as site-specific and clinical evaluation which is due at the end of the grant period. These evaluations are used to supply the legislatively mandated Report to Congress on a bi-annual basis. No cash reports are required. A Uniform Progress Report must be submitted for a second or subsequent budget period within a previously approved project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$4,000,000; FY 10 est \$5,000,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

FY09: \$156,643 to \$500,000; \$383,533.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Kyle Peplinski, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, 5600 Fisher Lane Rm. 9-36 Rockville, MD 20857, 301-443-7758.

Headquarters Office:

Kyle Peplinski 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-7758

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The patient navigator program has 8 review criteria which are used to review and rank applications. Applicants should pay strict attention to addressing all of these criteria, as they are the basis upon which the reviewers will evaluate applications.

Criterion 1. Need (10 points)

The applications will be reviewed on the extent to which the application describes the problem(s) and associated contributing factors to the problem(s) as follows:

- i. The application describes the health care service and chronic disease management environment in the service area and the need for Federal funds to support patient navigator services.
- ii. The project targets a health disparity population. The application describes the target population and documents their unmet chronic health care and health literacy needs and uses demographic data where appropriate.
- iii. If the applicant chooses to focus on a specific age group, a justification for this approach is provided.
- iv. The applicant describes the communities current assets and needs in regards to:
 - 1) Coordination of health care services and provider referrals for individuals who are seeking prevention services, early detection of, or care for cancer or other chronic disease.
 - 2) Involvement of community organizations in assisting individuals who are at risk for or who have cancer or other chronic diseases to receive better access to high-quality health care services.
 - 3) Notification of individuals with chronic disease of clinical trials.
 - 4) Prompt diagnostic and treatment resolution of abnormal findings of cancer or other chronic disease.
 - 5) Provision of health insurance information to people who are at risk for or who have cancer or other chronic diseases.
 - 6) Outreach to health disparity populations, including the uninsured, rural populations, and other medically underserved populations, in addition to assisting other individuals who are at risk for or who have cancer or other chronic diseases to seek preventative care.
- v. The application explains sufficiently why the community cannot meet the chronic disease management needs of the population in the service area.
- vi. The application addresses relevant barriers in the service area that the

project hopes to overcome. The following barriers are discussed in relationship to the project:

- 1) geographic isolation
 - 2) lack of quality health care providers
 - 3) cultural and linguistic barriers,
 - 4) limited transportation services
 - 5) lack of health insurance and information about health options, and
 - 6) socioeconomic status.
- vii. A map of the service area depicting the communities to be served and relevant service providers and community organizations is included.
- viii. Currently available resources and services relevant to the service area are identified.

Criterion 2. Response (20 Points)

The extent to which the proposed project responds to the Purpose included in the program description. The extent to which the goals and activity plans described in the application are capable of addressing the problem and attaining the project objectives as follows:

- i. Clearly demonstrates the sites capability of initiating patient navigation services, including technological capabilities.
- ii. The application identifies the projects proposed goals which are in line with the identified community needs and program objectives outlined in the application guidance. There is clear evidence and rationale to support how health care outcomes of underserved populations who are at risk for or who have chronic diseases including cancer will be improved as a result of the project.
- iii. The application presents an outlined, effective, comprehensive, coherent strategy to carry out grant-funded activities in order to reach proposed goals.
- iv. Provides information potential project partners, including expertise and how the expertise relates to the goals of the PN program. The application explains why the project has decided not to partner with relevant providers or organizations.
- v. Discusses how the PN program will coordinate with existing authorized programs in order to facilitate access to high-quality health care services.
- vi. Identifies similar programs in the community, their role, and justifies the need for an additional program (this program) to serve this population.
- vii. Addresses the issue of how the PN program will resolve differences in executing the project and resolve turf issues should they arise.
- viii. Identifies how the PN program will strengthen health providers and relevant community organizations ability to serve the chronic disease needs of the community.
- ix. Describes how navigation efforts for the chronic diseases selected as a focus of navigation are coordinated with existing programs.
- x. Describes how the proposed project goals and objectives align with Healthy People 2010.
- xi. The applicant included a clear work plan matrix (See Attachment 7) that is reasonable, clearly articulated, and defines the goals, strategies, activities, measurable outcomes and process measures. The objectives are: specific; measurable; achievable; realistic with the proposed personnel, facilities, equipment, and community network; and doable in the stated time frame. An evaluation method is described for each objective that is effective and includes outcome measures. The work plan defines the person or organization responsible for carrying out each activity and includes an anticipated time frame. For applicants in the earlier planning stages, the applicant has identified as much of the described detail as possible and noted plans for approaching each requested steps. The work plan should include tasks for or identify plans for addressing each of the following five areas:

1) Recruitment of Patient Navigators:

- a. Methodology for identifying and recruiting potential patient navigators
- b. Plan to attract individuals with the appropriate skill mix, local knowledge, and desire to serve the target population
- c. Proposed number and justification for the number of navigators needed or explanation of how this number will be obtained.
- d. Evidence of an interested and eligible patient navigator applicant pool, including patient navigator candidates from underrepresented minority and disadvantaged populations with knowledge of local health disparity populations.

2) Training Plan

- a. Applicants must provide assurances that patient navigators recruited, assigned, trained, or employed using grant funds meet minimum core proficiencies, as defined by the applicant. These proficiencies must be tailored for the main focus or intervention of the navigator involved.
- b. Has defined minimum core proficiencies that are tailored for the main focus or intervention of the navigator involved.
- c. Provides assurance that each patient navigator recruited, assigned, trained, or employed meets the core proficiencies in h above.
- d. A description of and timeline for the proposed trainings for each project year, including justification for these trainings.
- e. Names and roles of any academic institutions or other partners to be involved in the training activities.
- f. Rationale for the proposed training methodologies and description of infrastructure and approvals for any distance learning.
- g. Plans to participate in or present at local, regional, State or national conferences focused on chronic diseases, patient support, health care systems, payment education or information on SCHIP and Medicaid or other related subjects part of the ongoing training efforts.
- h. Discussion of how the program will ensure that all training is culturally competent.
- i. Identification of how the program will maintain flexibility to address unanticipated training needs of patient navigators in a timely manner.

3) Employment of Patient Navigators

- a. Discussion of how the program will meet the requirement for hiring the navigators with direct knowledge of the community to be served.
- b. Discussion of choice to utilize volunteer and/or employed patient navigators.
- c. Methodology for determining salary and benefits for the navigators.
- d. Minimum qualifications for patient navigator employment (or how the project will establish such standards) to include: education requirements, prior work experience, appropriate background checks, specified levels of expertise in health care or the community, oversight or supervision requirements by licensed professionals, and the ability to effectively communicate in a culturally sensitivities manner.
- e. Supervision of patient navigators
- f. Plans for utilization of clinical specialists.
- g. If the project does not use lay health workers as navigators, a justification for the use of health professionals and discussion of how the decision impacts the recruitment and budget of the program.

4) Assignments of Patient Navigators

- a. Programs plan for assigning patient navigators and for defining the roles and responsibilities of the patient navigators.
- b. Discussion of whether every patient navigator will be trained in all chronic diseases or if they might specialize in a chronic disease.
- c. Explanation of how decisions will be made in the geographic and demographic distribution of patient navigators.

5) Program Management

In addition to describing how the applicant will recruit, assign, train, and employ patient navigators, the work plan should describe how the PN program will:

- a. Track and report on common data elements provided by HRSA, as well as develop site-specific measures.
- b. Participate in technical assistance through monthly conference calls and peer-learning workshops.
- c. Proof that the program meets or has a plan to meet requirements for local, regional and national accreditation/approval requirements for patient care and the educational program necessary to implement the project.
- d. Obtain Institutional Review Board (IRB) approval or describe the planned process for IRB review with timelines.

- xii. The proposed patient navigator projects goals contribute to meeting Healthy People 2010 objective.
- xiii. The application discusses potential challenges in designing and implementing the program activities and proposes logical, effective approaches to resolve such challenges.

Models that Work

The applicant states whether or not the project proposal is based on another program that succeeded in another community. If it is based on another program, the applicant describes the program, how it was funded, and they believe it will succeed in a new community.

Criterion 3. Impact (15 Points)

- i. The extent to which significant community impact (stable access to care) is attainable given the proposed level of staff, resources available, proposed activities and length of the project period.
 - a. The extent to which the program facilitates access to financial support and services to include prescription drug access.
 - ii. The extent to which the project will increase communication among the local health care providers with an emphasis on reducing duplication of services and creating more efficient and cost effective care.
 - a. The extent to which the applicant plans to collaborate and partner with health providers in the community and proposes an inclusive process for developing a sustainability plan.
 - iii. The extent to which the project will meet the community's need for culturally competent patient navigators.
 - iv. If another similar program is or has been operational in the community, the extent to which the application should address the most pressing needs of the community.
 - v. Future program sustainability after grant funding expires has been considered.
 - a. The extent to which proposed training materials can be used as a community resource for future training of patient navigators.
 - vi. The extent to which the project activities are or will be established to be replicable elsewhere and the presence of plans for dissemination of project information and results.

Criterion 4. Evaluative Measures (20 Points)

The effectiveness of the methods and plans proposed to monitor and evaluate the project in relation to local needs. Responses must address current project plans as they relate to:

- i. An outline for how the applicant organization proposes to establish baseline measures and benchmarks that meet the Secretary's requirements to evaluate program outcomes.
- ii. Ongoing quality assurance/improvement strategies that will allow the early detection and modification of ineffective strategies.
- iii. An understanding of how the applicant will integrate HRSA provided data measures and tools into existing evaluation systems.
- iv. Proposed outcome measures (crosscutting and clinical as described above) that are site-specific, given local populations and chronic disease(s) chosen, and how analysis of those measures will contribute to evaluating the project effectiveness.
- v. Methods to monitor and evaluate the project results and identifies the project evaluator if applicable.

Criterion 5. Resources/Capabilities (15 Points)

- i. The extent to which the applicant organization is capable of successfully meeting program requirements and implementing the proposed project. The organization has quality resources available to fulfill the needs of the project.
- ii. The extent to which current or proposed project personnel are qualified to implement and carry out the proposed project.
- iii. The extent to which current or proposed linkages with partners, such as community agencies, including small grassroots faith-based and community-based organizations, and other relevant Federal and local partners, enhance the capabilities for implementation of the project.
- iv. The extent to which the program shows demonstrated support (financial, in-kind, and other) from community leaders, agencies, institutions and other relevant local organizations for the project and its activities.
- v. The extent to which the applicant has a financial management system in place and the capability to manage the grant.
- vi. If distance learning methodologies are planned, the organization has infrastructure to support this and users will have access to technology support.
- vii. The extent to which the program has plans in place to ensure acceptable oversight or supervision of patient navigators.

Criterion 6. Support Requested (5 Points)

The fiscal plan describes a budget that demonstrates:

- i. The applicant's itemized budget table or spreadsheet is clearly presented.
- ii. A budget narrative (bulleted points are fine) is clearly articulated in detail for each item presented in the budget tables.
- iii. The budget narrative logically documents how and why each line item request (such as personnel, travel, etc.) supports the goals and activities of the proposed grant-funded activities.
- iv. The estimated cost to the government of proposed grant-funded activities appears reasonable.
- v. The budget does not include request for funding for direct health care services delivery; to purchase, construct or renovate facilities or real property; or to purchase vehicles.

Criterion 7. Health Literacy, Diversity and Cultural Competence (5 Points)

The application needs a plan to ensure project diversity and cultural competence to include:

- i. Clear documentation that the organization's strategic plan, policies, and initiatives demonstrate a commitment to serving the specific target population and familiarity with the culture and literacy level of the particular target group;
- ii. Assurance that there will be navigators from underrepresented minorities and/or from other disadvantaged backgrounds consistent with local population demographics; and
- iii. Convincing and clear documentation assuring that navigators will be prepared to provide culturally competent information and support.

Criterion 8. Proven History of Successful Patient Navigation Program (10 Points)

- If applicable, the applicant has demonstrated that they have implemented a successful patient navigation program for at least six (6) months including:
- i. Having recruited, assigned, trained, and employed patient navigators within their organization for at least six (6) months.
 - ii. Have demonstrated through qualitative and quantitative means that their PN program has been successful at reducing health disparities and improving health care outcomes.

In making awards of grants under this section, preference will be given to any qualified applicant that (A) has a high rate for placing graduates in practice settings having the principal focus of serving residents of medically underserved communities; or (B) during the 2-year period preceding the fiscal year for which such an award is sought, has achieved a significant increase in the rate of placing graduates in such settings; or (C) fulfills the requirements for new programs. Applicants should review the HRSA Guidance documents issued under this CFDA program for preference requirements.

In making awards of grants under this section, preference will be given to any qualified applicant that (A) has a high rate for placing graduates in practice settings having the principal focus of serving residents of medically underserved communities; or (B) during the 2-year period preceding the fiscal year for which such an award is sought, has achieved a significant increase in the rate of placing graduates in such settings. Applicants should review the HRSA Guidance documents issued under this CFDA program for preference requirements.

93.193 URBAN INDIAN HEALTH SERVICES

The Indian Health Service (IHS), Office of Urban Indian Health Programs (OUIHP)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Title V, Public Law 102-572; Indian Health Care Improvement Act, Executive Order Sections 503, Title V, Section 511, Public Law 94-437.

OBJECTIVES:

To provide health-related services to Urban Indians including: (1) Alcohol and substance abuse prevention, treatment, rehabilitation, and education; (2) mental health needs assessment and services; (3) health promotion and disease prevention services; (4) immunization services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

These grants are limited to established urban Indian health services. The recipient organization must be an urban Indian organization with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act (25 U.S.C. 1651 et seq). 100% funds under the Urban Indian Health Program are discretionary activities.

Applicant Eligibility:

Urban Indian organizations with whom the Secretary has entered into a contract or grant under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

Urban Indians residing in the urban centers in which the organization is located.

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; and (2) that it has a current contract or grant with the Indian Health Service under Title V of the Indian Health Care Improvement Act. Costs will be determined in accordance with OMB Circular No. A-122, Nonprofit Institutions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Grants are awarded to all eligible organizations based on a competitive grant process. Applications are processed and grants are awarded by Indian Health Service Headquarters. Funding level available to an organization is based on specific criteria in the Act to include size of urban Indian population, accessibility to, and utilization of, other health resources available to that population and identification of need for services.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operations.

Appeals:

Not Applicable.

Renewals:

Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods of up to 5 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division Of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Management Operations 90 after the end of each budget period. IHS grants are monitored by the Division of Grants Management Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Project Grants) FY 09 \$36,189,000; FY 10 est \$43,139,000; FY 11 est \$43,139,000

Range and Average of Financial Assistance:

\$122,832 to \$626,765; \$227,856.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program guidelines, including applicable sections of Public Law 94-437, as amended; 45 CFR 74 and 92; and the HHS Grants Policy Statement, dated 1/07.

Regional or Local Office:

None. Program Contact: Ms. Danielle Steward, Health Systems Specialist, Urban Programs, Indian Health Service, 801 Thompson Avenue, Suite 200, Rockville, MD 20852. Telephone: (301) 443-4680. Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Management Operations, Indian Health Service, 801 Thompson Avenue, Suite TMP360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office, 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be reviewed by staff of the Indian Health Service to ensure compliance with the following: (1) Size of the urban Indian population; (2) accessibility to, and utilization of, other health resources available to such population; (3) duplication of existing Indian Health Service or other Federal grants or contracts; (4) capability of the organization to adequately perform the activities required under the grant; (5) satisfactory performance standards for the organization in meeting the goals; (6) identification of need for services; and (7) proposed methodology for accomplishing the stated goals of the program.

93.197 CHILDHOOD LEAD POISONING PREVENTION PROJECTS STATE AND LOCAL CHILDHOOD LEAD POISONING PREVENTION AND SURVEILLANCE OF BLOOD LEAD LEVELS IN CHILDREN

(Childhood Lead Poisoning Prevention Program (CLPPP))

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order Section 317 (k)(2) and 3171 of the Public Health Service Act, [42 U.S.C. Sections 247b and 247b-10], as amended. , Title 42, Part 247b, Section 247b-10.

OBJECTIVES:

To (1) Develop and/or enhance a surveillance system that monitors all blood lead levels; (2) assure that children who are potentially exposed to lead receive follow up care; (3) assure awareness and action among the general public and affected professionals in relation to preventing childhood lead poisoning in high risk areas in collaboration with other government and community based organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Awards are to be used by State and local government agencies to develop, improve, and expand their capacity to address the problem of childhood lead poisoning in communities with demonstrated high-risk populations. Recipients of awards are expected to: (a) write, implement and evaluate a jurisdiction-wide childhood lead poisoning elimination plan; (b) write, implement and evaluate screening plans to target resources to children at the highest risk for lead poisoning; (c) maintain a jurisdiction-wide childhood lead surveillance program, with an analysis plan for collected data, (d) conduct primary prevention activities for pregnant women and/or families with children at high risk for lead poisoning, (e) develop an assurance plan for timely and appropriate case management of children with elevated blood lead levels, (f) demonstrate strategic partnering with community organizations and with other state/local agencies involved in environmental and child health activities, (g) coordinate with organizations and agencies involved in lead-based paint hazard reduction activities and development of protective policy; and (h) evaluate programmatic impact on childhood lead poisoning within the applicant's jurisdiction. Awards cannot supplant existing funding for childhood lead poisoning prevention programs or activities. Grant awards may not be expended for medical care and treatment, or for environmental remediation of lead sources, however, there must be an acceptable plan to ensure that these program activities are appropriately carried out. The surveillance component of this grant is intended to assist State health departments in implementing or enhancing a complete surveillance system to track all PbB levels in children. This is essential for grant recipients to target interventions to high-risk populations and to track progress toward eliminating childhood lead poisoning.

Applicant Eligibility:

Assistance will be provided to State health departments or their bonafide agents and the health departments of the following five local jurisdictions (or their bonafide agents) that have the highest estimated number of children with elevated blood lead levels : New York, NY; Chicago, IL; Detroit, MI; Los Angeles County, CA, and Philadelphia, PA, or their bona fide agents. Also eligible are health departments or other official organizational authorities of the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and federally recognized Indian tribal governments. Competition is limited by authorizing legislation.

Beneficiary Eligibility:

In addition to the eligible applicants, others who receive benefits from the program include infants and children from six months to six years of age who are screened for lead poisoning and family members who care for lead-poisoned children. Lead poisoning potentially affects all children, but disproportionately affects minority children and children of low-income

families. Since the effects of lead poisoning can be long lasting, benefits of the program can also affect youth and adults, persons at all educational and income levels, and urban, suburban, and rural populations.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application forms are both available from and submitted to the Grants Management Branch, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Standard application forms, as furnished by CDC and required by 45 CFR 92 must be used for this program. This program is subject to the provisions set forth in 45 CFR 92 for State and local governments.

Award Procedure:

Approved grants are funded based on a priority score ranking from an objective review process coordinated by the Centers for Disease Control and Prevention. CDC will give funding preference to state programs with significant estimated numbers of children with elevated blood lead levels that direct federal funds to localities with high concentrations of children at risk for childhood lead poisoning. CDC will also give funding preference to the five local jurisdictions with the highest estimated number of children with elevated blood lead levels. Awards are made based on availability of funds and other significant factors as deemed necessary and appropriate by the agency. Funds are awarded for a one-year (12 month period). A Notice of Grant Award (Form PHS 5161-1) is provided which indicates the current award as well as support recommendations for the remainder of the project period (up to three years). The Notice of Grant Award indicates allocations for Federal funds by budget categories and any special conditions, if applicable.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From two to three months.

Appeals:

From 60 to 90 days.

Renewals:

From 90 to 120 days. Renewal requests cannot exceed a five year project period. Priority consideration will be given to noncompeting continuation applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period (July 1 - June 30) with project periods of up to five years subject to the availability of funds and satisfactory progress of the grantee. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports. Cash reports are not applicable. Refer to the the funding opportunity announcement for details. Refer to the the funding opportunity announcement for details. Refer to the the funding opportunity announcement for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, these grants are subject to inspection and audits by DHHS and other Federal officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$26,414,041; FY 10 est \$25,970,089; FY 11 est \$25,970,089

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994. The basic grant administration policies of DHHS and PHS are also applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, , Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the applicant's ability to identify populations and communities at high risk and the overall balance of the program's design to address the childhood lead poisoning problem. This requires the development of specific and measurable objectives and a sound operational plan that includes screening of high risk populations, medical and environmental management, lead hazard remediation, and health education and risk reduction activities to appropriately address the problem. Applications also will be evaluated on the ability of the program to carry out childhood lead poisoning prevention program activities utilizing the core public health functions as well as establishing working relations or partnerships with other agencies, organizations or groups that have interest in the prevention of childhood lead poisoning.

**93.202 CAPACITY BUILDING AMONG AMERICAN INDIAN TRIBES
FEDERAL AGENCY:**

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Section 104(i)(14)(15)and (17) of the Comprehensive Environmental Response,

Compensation and Liability Act; 42 U.S.C. 9604; Sections (i)(14)(15), and (17), as amended.

OBJECTIVES:

To address the Tribal public health issues that result from hazardous substances in the environment by: 1) building Tribal environmental health capacity 2) addressing health issues from releases of hazardous substances into the environment, and 3) develop culturally appropriate health education materials and/or vehicles to engage Tribal community members in public health activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To enhance the Tribes ability to collaborate with Agency for Toxic Substance and Disease Registry (ATSDR) in conducting public health activities related to potential human exposures from the Hanford Nuclear Reservation.

Applicant Eligibility:

Federally Recognized American Indian Tribal Governments: Coeur d'Alene Tribe; Coville Confederated Tribes; Confederated Tribes of the Umatilla Indian Reservation; Kalispel Tribe; Kootenai Tribe of Idaho; Nez Perce Tribe; Spokane Tribe; Confederated Tribes of the Warm Springs Reservation of Oregon; and Yakama Indian Nation.

Beneficiary Eligibility:

Federally Recognized Indian Tribal Governments, and the general public.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87, A-21, and A-122 as applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use application packages through www.Grants.gov or contact CDC PGO TIMS 770-488-2700 with technical difficulties. By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR will prepare a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

Awards are made for project periods of 1 to 5 years with 12-month budget periods. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of 1 to 5 years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of

awarding/releasing assistance: lump sum.

Reports:

See Funding Opportunity for Details. Cash reports are not applicable. See Funding Opportunity for Details. See Funding Opportunity for Details. See Funding Opportunity for Details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for 10 years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 09 \$100,000; FY 10 est \$100,000; FY 11 est \$100,000

Range and Average of Financial Assistance:

Estimate range per award \$50,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing the program are set forth in 45 CFR Parts 74 and 92 and 40 CFR Part 35. Guidelines are available in the application kit and the PHS Grants Policy Statement No. 90-50-000 (Revised).

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61 , Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed and evaluated based on the following criteria: 1) Develop and administered effective culturally competent measures to engage community members in environmental public health activities: 2) Describe how the environmental health needs assessment will be used for environmental health: 3) Outlined the activities to develop a 10-year Environmental Health Plan (EHP): 4) Described the Tribes capability (or inability) to carry out the proposed EHP: 5) Describe how the Tribe will resolve current problems of exposures: 6) and Budget justification.

93.204 SURVEILLANCE OF HAZARDOUS SUBSTANCE EMERGENCY EVENTS

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104(i)(1)(E), (9), and (15), Public Law 99-499, 42 U.S.C. 9604., 42 U.S.C 9604.

OBJECTIVES:

To assist State health departments in developing a State-based surveillance system for monitoring hazardous substance emergency events. This surveillance system will allow the State health department to better understand the public health impact of hazardous substance emergencies by developing, implementing, and evaluating a State-based surveillance system.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The participant State health agencies will use the information collected to assess the burden of adverse health effects created by these unexpected, sudden releases of hazardous substances, describe the situations and persons most likely impacted, define the risk factors for adverse health effects, and work with other agencies to implement prevention activities. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies, and services. Equipment may be purchased with cooperative agreement funds; however, justification must be provided which should include a cost comparison of purchase versus lease options. All purchased equipment must be compatible with ATSDR's equipment and shall be returned to ATSDR at the completion of the project.

Applicant Eligibility:

Eligible applicants are the official public health departments of the States and the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, the Northern Mariana Islands, and American Samoa and federally-recognized Indian Tribal Governments.

Beneficiary Eligibility:

Beneficiaries include Federal, State, local, other public institutions/organizations, federally-recognized Indian tribal governments, U.S. Territories/possessions, and the general public.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use application on www.Grants.gov. By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR, will prepare a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

From 90 to 120 days. Awards are made for project periods of one to five years

with 12-month budget periods. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of one to five years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

See Funding Opportunity for guidance. Cash reports are not applicable. See Funding Opportunity for guidance. See Funding Opportunity for guidance. See Funding Opportunity for guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for ten years in accordance with EPA's "Superfund Financial Management and Record keeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 09 \$1,000,000; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

Estimate average range per award will be \$60,000 to \$80,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are set forth in 45 CFR 92 and 40 CFR 35. Guidelines are available in the application kit and the PHS Grants Policy Statement No. 94-50,000 (Revised), April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated according to the following criteria: (1) Appropriateness and knowledge of surveillance systems; (2) Proposed methodology; (3) Capability and coordination efforts; (4) Quality of information collection; (5) Program personnel; and (6) Program budget (not scored).

93.206 HUMAN HEALTH STUDIES_APPLIED RESEARCH AND DEVELOPMENT

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104(i)(7), (9), and (15), Public Law 99-499; 42 U.S.C. 9604 (i)(7), (9), and (15).

OBJECTIVES:

To solicit scientific proposals designed to answer public health questions arising from situations commonly encountered at hazardous waste sites. The objective of this research program is to fill gaps in knowledge regarding human health effects of hazardous substances identified during the conduct of ATSDR's health assessments, consultations, toxicological profiles, and health studies, including but not limited to those health conditions prioritized by ATSDR. The ATSDR Priority Health Conditions are (in alphabetical order): (1) Birth defects and reproductive disorders; (2) cancers (selected anatomic sites); (3) immune function disorders; (4) kidney dysfunction; (5) Liver Dysfunction; (6) Lung and Respiratory Diseases; and (7) neurotoxin disorders.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To strengthen the State governments, State colleges, State universities, State research institutions, federally-recognized Indian tribal governments, U.S. Territories and possessions to fill the gap in knowledge regarding the occurrence and risk factors for the Priority Health Conditions.

Applicant Eligibility:

Eligible applicants are State health departments or their bona fide agents or instrumentalities. This includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, the Northern Mariana Islands, American Samoa, the political subdivisions thereof, which may include State universities, State colleges, and State research institutions, and federally-recognized Indian tribal governments.

Beneficiary Eligibility:

The ultimate beneficiaries of this program are the Federal, State, local, other public institutions/organizations, federally-recognized Indian tribal governments, U.S. Territories/possessions, and the general public in their ability to address potential health problems related to environmental exposures and adverse health outcomes.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Nos. A-87, A-21, and A-122 as applicable. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use application from www.Grants.gov By formal agreement, the CDC Grants Management Branch will act on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR has final authority to approve funding of applications. When an application is approved for funding, the Grants Management Officer, CDC, acting as agent for ATSDR, will prepare a Notice

of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

From 90 to 120 days. Awards are made for project periods of one to five years with 12-month budget periods. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The awards will be made for 12-month budget periods within a project period of 1 to 5 years. Continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Check the Funding Opportunity Announcement for guidance. Cash reports are not applicable. Check the Funding Opportunity Announcement for guidance. Check the Funding Opportunity Announcement for guidance. Check the Funding Opportunity Announcement for guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for 10 years in accordance with EPA's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies." Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon the request of the Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 09 \$500,000; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.209 CONTRACEPTION AND INFERTILITY RESEARCH LOAN REPAYMENT PROGRAM

(CIR LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C. 288-2, Title IV (National Research Institutes), Part G (Awards and Training), Section 487B (Loan Repayment Program for Research with Respect to Contraception and Infertility), as amended by Public Law 105-392.

OBJECTIVES:

The CIR-LRP is designed to provide an incentive for health professionals to work in areas of reproductive research directly related to contraceptive development and/or infertility diagnosis and treatment by providing assistance in repaying educational loans for individuals. The CIR-LRP will pay up to \$35,000 of the principal and interest of a participant's educational loans for each year of commitment not to exceed one-half of the remaining loan balance. In addition to the loan repayments, the CIR-LRP may pay participants up to 39 percent of the amount paid to lenders as partial payments on the increased income tax liability caused by the Program's loan repayments. In return for these loan repayments, applicants must agree to commit to a period of obligated service of not less than 2 years conducting research with respect to contraception and/or infertility. The long-range objective of the CIR-LRP is to stimulate the commitment of researchers to sustaining a career focus on contraception and/or infertility research.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The assistance provided by the CIR-LRP is entirely of a financial nature in the form of loan repayments. The monies provided by this program are restricted to the repayment of pre-existing, qualified loans procured by the participant for educational expenses incurred in the pursuit of undergraduate or graduate degrees.

Applicant Eligibility:

Applicants must be a U.S. citizen (or U.S. national or permanent resident) and a physician, Ph.D.-level scientist, nurse, physician's assistant, graduate student, or postgraduate research fellow training in the health professions. You must commit to conduct contraception and infertility research for 50% of your time (at least 20 hours weekly based on a 40 hour week) for two years and it must be funded by a domestic nonprofit or U.S. Government (Federal, state or local) entity. Also, the research must not be prohibited by Federal law or NIH policy. Complete eligibility information is available at <http://www.lrp.nih.gov/about/lrp-contr.htm>.

Beneficiary Eligibility:

Assistance in the form of loan repayments will be made to qualified health professionals (including graduate students) who are participants in the CIR-LRP.

Credentials/Documentation:

In order to be considered for selection into the CIR-LRP, eligible applicants must complete and submit the electronic application materials located at www.lrp.nih.gov, which include: (1) a Loan Verification Form for each loan to be considered for repayment; (2) an institutional statement providing assurance that the applicant will be engaged in employment/training at an NICHD intramural laboratory or in a qualified domestic nonprofit institution (tax-exempt under 26 USC 501), for not less than two years from the anticipated effective date of the NIH Loan Repayment Program Contract between the individual and NICHD (this assurance is conducted electronically);

and (3) a signed NIH Loan Repayment Program Contract by which the applicant agrees to serve the obligated minimum period of two years conducting contraception and/or infertility research at an NICHD intramural laboratory or in a qualified domestic nonprofit institution (tax-exempt under 26 USC 501). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Information regarding the CIR-LRP and the preparation of forms for application to the CIR-LRP can be obtained by contacting the CIR-LRP headquarters office at the National Institute of Child Health and Human Development, NIH, or via the World Wide Web at www.lrp.nih.gov. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Qualified individuals interested in participating in the CIR-LRP should visit the NIH Loan Repayment Program Website at www.lrp.nih.gov for all application forms and requirements. The application will be evaluated by a Review Committee, convened for this purpose by the Division of Scientific Review, NICHD, NIH, HHS. In selecting applicants for participation in the CIR-LRP, the panel will consider eligibility status, quality of the applicant, and applicant's career plan focus. All selections are subject to final approval by the Director, NICHD. The NICHD will notify the sponsoring grantee institution and the applicant of the outcome of the review.

Award Procedure:

Once the applicant is selected to participate in the CIR-LRP, the applicant and the Director, NICHD, as a designate of the Secretary of Health and Human Services, will sign a written binding contract stating the terms and conditions for participating in the CIR- LRP. The effective date of the contract will be the date it is signed by the Director, NICHD, or the date employment/training begins at an NICHD-funded Specialized Contraception or Infertility Research Center, whichever is later.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The selection process takes from 6 to 8 months following receipt of application.

Appeals:

Not Applicable.

Renewals:

> 180 Days. A CIR-LRP participant completing the initial 2-year period of obligated service conducting research with respect to contraception and/or infertility has an option to extend the obligatory period of service on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The CIR-LRP will pay equal quarterly payments to the lenders. Each payment will be made shortly after the end of each full quarter of satisfactory service. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0897-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,069,015; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

In fiscal year 2009 the range of financial assistance was between \$6,739 and \$103,138. The average contract cost was \$44,542.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the funding level for the Contraception and Infertility Research LRP was \$1,069,015 for total of 24 contracts. The fiscal year 2009 Extramural LRP application period closed on December 15, 2008, and funding decisions were made by August 2009. Fiscal Year 2010: In fiscal year 2010, the projected funding level for the Contraception and Infertility Research LRP is \$1,000,000 with a projected total of 22 contracts. The projected fiscal year 2010 average award is \$45,000. The expected award range is \$6,900 - \$103,000. Fiscal Year 2011: In fiscal year 2011, the projected funding level for the Contraception and Infertility Research LRP is \$1,000,000 with a projected total of 22 contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Proposed Rulemaking was approved by NIH on September 19, 2001, and by the Department of Health and Human Services on December 31, 2001.

Regional or Local Office:

None.

Headquarters Office:

Eugene G. Hayunga 6100 Executive Blvd., Room 2C01, Bethesda, Maryland 20892-7510 Email: ehayunga@mail.nih.gov Phone: (301) 496-6856.

Website Address:

<http://nichd.nih.gov/> .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers; 93.285 National Institutes of Health Pediatric Research Loan Repayment Program; 93.307 Minority Health and Health Disparities Research ; 93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Review criteria for CIR-LRP applications include: (1) Appropriateness of the applicant's previous training and experience as preparation for a career in contraception and infertility research; (2) Suitability of the applicant's proposed clinical research activities in the two-year period to foster a career in contraception and infertility research; (3) Assessment of the applicant's commitment to a research career as reflected by the personal statement of long-term career goals and the plan outlined to achieve those goals; (4) Strength of recommendations attesting to the applicant's potential for a career in contraception and/or infertility research; (5) Availability of appropriate scientific colleagues to achieve and/or enhance the applicant's research independence; and (6) Quality and appropriateness of institutional resources and facilities.

93.210 TRIBAL SELF-GOVERNANCE PROGRAM: IHS COMPACTS/FUNDING AGREEMENTS

(OTSG Compacts)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act (ISDEAA), Title V, Public Law 93-638, as amended by Tribal Self-Governance Amendments of 2000, Public Law 106-260, 25 U.S.C 458aaa.

OBJECTIVES:

To make financial assistance awards to Indian tribes to enable them to assume programs, functions, services, and activities (PFSA) (or portion thereof) with respect to which Indian tribes or Indians are primary or significant beneficiaries, administered by the Department of Health and Human Services (DHHS) through the Indian Health Service. Such assumptions will be by compact, Funding Agreement or construction project agreement entered into under Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are to be spent in accordance with Pub. L. 93-638, as amended, applicable regulations and negotiated compact, funding agreement, or construction project agreement under Title V terms. Per 42 C.F.R. 137.167 and 137.168, a Self-Governance Tribe must apply the cost principles of the applicable OMB Circular, except as modified by: (a) section 106(k) of the Act (25 U.S.C. 450j-1), (b) other provisions of law, or (c) any exemptions to applicable OMB circulars subsequently granted by the OMB. No other audit or accounting standards shall be required by the Secretary. Per 42 C.F.R. 137.100, pursuant to section 508(h) of the Act 25 U.S.C. 458aaa7(h), a Self-Governance Tribe may retain and spend interest earned on any funds paid under a compact or funding agreement. Per 42 C.F.R. 137.101, a Self Governance Tribe is under a duty to invest and manage the funds as a prudent investor would, in light of the purpose, terms, distribution requirements, and provisions in the compact or Funding Agreement and Title V. This duty requires the exercise of reasonable care, skill, and caution, and is to be applied to investments not in isolation, but in the context of the investment portfolio and as a part of an overall investment strategy that should incorporate risk and return objectives reasonably suitable to the Self-Governance Tribe. In making and implementing investment decisions, the Self-Governance Tribe has a duty to diversify the investments, unless, under the circumstances, it is prudent not to do so. In addition, the Self-Governance Tribe must: (a) Conform to fundamental fiduciary duties of loyalty and impartiality; (b) Act with prudence in deciding whether and how to delegate authority and in the selection and supervision of agents; and (c) Incur only costs that are reasonable in amount and appropriate to the investment responsibilities of the Self-Governance Tribe.

Applicant Eligibility:

Per 42 C.F.R. 137.18, to be eligible to participate in self-governance, any Federally-Recognized Indian tribe must have: (a) successfully completed the planning phase described in 42 C.F.R. 137.20; (b) requested participation in self-governance by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrated, for three fiscal years, financial stability and financial management capability. Per 42 C.F.R. 137.21, the Indian tribe provides evidence that, for the three years prior to participation in self-governance, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance Funding Agreements with any Federal agency. Per 42 C.F.R. 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in self-governance. An Indian tribe may use other resources to meet the planning requirement and to negotiate. For each fiscal year, an additional 50 Indian tribes that meet the eligibility criteria shall be entitled to participate in self-governance.

Beneficiary Eligibility:

Federally-recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 450b(l)) will benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Per 42 C.F.R. 137.18, to be eligible to participate in

self-governance, any Federally-Recognized Indian tribe must have: (a) successfully completed the planning phase described in 42 C.F.R. 137.20; (b) requested participation in self-governance by resolution or other official action by the governing body of each Indian tribe to be served; and (c) demonstrated, for three fiscal years, financial stability and financial management capability. Per 42 C.F.R. 137.21, the Indian tribe provides evidence that, for the three years prior to participation in self-governance, the Indian tribe has had no uncorrected significant and material audit exceptions in the required annual audit of the Indian tribe's self-determination contracts or self-governance funding agreements with any Federal agency. Per 42 C.F.R. 137.26, an Indian tribe does not need to receive a planning or negotiation cooperative agreement to be eligible to participate in self-governance. An Indian tribe may use other resources to meet the planning requirement and to negotiate. For each fiscal year, an additional 50 Indian tribes that meet the eligibility criteria shall be entitled to participate in self-governance.

Award Procedure:

Funds are transferred to Indian tribes who have successfully negotiated a compact, Funding Agreement, or construction project agreement entered into under Title V.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operations.

Appeals:

See 42 C.F.R. 137.410-445, for regulations governing compacts, Funding Agreements, and construction project agreements entered into under Title V.

Renewals:

Per 42 C.F.R. 137.35, upon approval and execution of a self governance compact, the compact remains in effect for so long as permitted by Federal law or until terminated by mutual written agreement or retrocession or reassignment of all PSFA. Per 42 C.F.R. 137.55, a Funding Agreement shall have the term mutually agreed to by the parties. Absent notification from an Indian tribe that it is withdrawing or retroceding the operation of one or more PSFA identified in the Funding Agreement, the Funding Agreement shall remain in full force and effect until a subsequent Funding Agreement is executed.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly.

Reports:

See 42 C.F.R. 137.200-207 for reporting requirements for Self-Governance Tribes under Title V. Per 42 C.F.R. 137.200, compacts or Funding Agreements negotiated between the Secretary and a Self-Governance Tribe must include a provision that requires the Self-Governance Tribe to report on health status and services delivery. These reports may only impose minimal burdens on the Self-Governance Tribes. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Per 42 C.F.R. 137.165, under the provisions of section 506(c) of the Act 25 U.S.C. 458aaa5(c), Self-Governance Tribes must undertake annual audits pursuant to the Single Audit Act, 31 U.S.C. 7501 et seq. Per 42 C.F.R. 137.166, exceptions are described in 31 U.S.C. 7502 of the Single Audit Act.

Records:

In accordance with 42 CFR - 137.175, Tribes are required to maintain records and provide Federal agency access to those records as provided in 137.177 - 137.176, except to the extent that a Self-Governance Tribe specifies otherwise in its compact or funding agreement, the records of the Self-Governance Tribe shall not be considered Federal records for purposes of chapter 5 of title 5, U.S.C. - 137.177, after 30 days advance written notice from the Secretary, the Self-Governance Tribe must provide the Secretary with reasonable access to such records to enable the Department to meet its minimum legal recordkeeping system requirements under sections 3101 through 3106 of title 44 U.S.C. - 137.178, at the option of a Self-Governance Tribe, patient records may be stored at Federal Records Centers to the same extent and in the same manner as other Department patient records in accordance with section 105(o) of the Act 25 U.S.C. 450j(o). - 137.179, a Self-Governance Tribe may make agreements with the Federal Records Centers regarding disclosure and release of the patient records stored pursuant to 137.178. - 137.180, a Tribe must consider the potential application of Tribal, Federal and state law and regulations that may apply to requests for access to Tribal patient records, such as the provisions 42 CFR 2.1.2.67 pertaining to records regarding drug and/or alcohol treatment.

Account Identification:

75-0390-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,236,000,000; FY 10 est \$1,205,000,000; FY 11 est \$1,205,000,000

Range and Average of Financial Assistance:

Range \$73,000 to \$120,789,200; Average \$12,422,680.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act, Tribal Self-Governance Title V, Pub. L. 93-638, as amended. Pub. L. 106-620, 25 U.S.C. 458aaa (2000) and regulations codified at 42 C.F.R. Part 137. According to Section 517 (e) of the Act, 25 U.S.C. 458aaa-16(e), unless expressly agreed to by the participating Indian tribe in the compact or funding agreement, the participating Indian tribe shall not be subject to any agency circular, policy, manual, guidance, or rule adopted by the Indian Health Service, except for the eligibility provisions of section 105(g) and regulations promulgated under section 517.

Regional or Local Office:

None. Program Contact: Ms. Hankie P. Ortiz, Director, Office of Tribal Self-Governance, Indian Health Service, 801 Thompson Avenue, Suite 240, Rockville, MD 20852. Phone: (301) 443-7821 Fax: (301) 443-1050. For Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service; 801 Thompson Avenue, TMP, Suite 360; Rockville, Maryland 20852; Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.211 TELEHEALTH PROGRAMS

There are three separate telehealth programs as follows:

- Telehealth Network Grant Program (TNGP)
- Telehealth Resource Center Grant Program (TRCGP)
- Licensure Portability Grant Program (LPGP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Telehealth Network Grant Program (TNGP) and the Telehealth Resource Center Grant Program (TRCGP) is authorized by Section 330I of the Public Health Service Act. (The Telehomecare provision of the TNGP is authorized by the Conference Report on Appropriations for the Departments of Labor, Health and Human Services, and Education and Related Agencies for the Fiscal Year ending September 30, 2006).

The Licensure Portability Grant Program (LPGP) is authorized by Section 330L of the Public Health Service Act, 42 USC 254c-18.

OBJECTIVES:

The Telehealth Network Grant Program (TNGP) has two provisions: Telehealth Networks (TNGP-TH) grants demonstrate how telehealth networks improve healthcare services in rural communities. Telehomecare Networks (TNGP-THC) grants fund demonstration or pilot projects for telehomecare, services that may include, but are not limited to, case management by physicians, hospitals, medical clinics, home health agencies, or other health care providers who supervise the care of patients in their homes.

The Telehealth Resource Center Grant Program (TRCGP) is designed to expand the availability of technical assistance in the development of telehealth services, leveraging the experience of mature programs with expertise in providing and implementing telehealth services.

The Licensure Portability Grant Program (LPGP) supports State professional licensing boards to carry out programs under which licensing boards of various States cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Telehealth Network Grant Program (TNGP) - Funds support the use of telehealth (electronic information and telecommunications technologies to support and promote long-distance health care and ancillary services) in two ways:

- 1) Telehealth Networks, for improving access to health care services; provide a baseline of information for a systematic evaluation of telehealth systems; purchase or lease and install equipment; and to operate and evaluate the telehealth system.
- 2) Telehomecare Networks, for improving access to health services for patients in their homes and for evaluating the cost and effectiveness through provision of clinical care and remote monitoring of patients in their own homes using telehealth technologies. Primary expenses for telehomecare shall be for personnel costs for provision of services and for evaluation. Overall, not more than 40 percent of grant funds may be expended for equipment. Not more than 15 percent of grant funds may be expended for indirect costs. Grant funds may not be used for purchasing and installing telecommunications transmission equipment (e.g., microwave towers, satellite dishes, amplifiers, digital switching equipment or laying cable or telephone lines) or to acquire real property. Construction costs are allowable only for minor renovations related to the installation of equipment.

Important: For both Telehealth and Telehomecare Networks, the Telehealth Network Grant Program (TNGP) seeks to fund non-profit or public organizations with a demonstrable successful track record in implementing telehealth technology and with a network of partners in place and committed to the project as of the date of application. Telehealth Network Grant Program (TNGP) funds are intended to fund network expansion and/or to increase the breadth of services of existing successful telehealth networks. Start-up projects with no demonstrable telehealth experience and/or with prospective network partners not committed to the project will not be competitive. Applicants failing to submit verifiable information with respect to the commitment of network partners will not be funded.

Important: Projects selected for funding must provide clinical services for which performance measures can be developed. In addition, an applicant must provide evidence to show that it will be ready to begin to implement the project upon grant award.

Applicants must provide an evaluation design to measure quantitative outcomes, which should be measured in the following areas: impact on quality of care; appropriateness of use of the technology; whether access was improved; whether clinical outcomes were improved; and, how the cost of service delivery was affected in terms of efficiency and effectiveness of care. Of particular interest will be programs that can clearly measure the costs of their telehealth services and measure the impact of the telehealth program on : 1) improving access to health care services for residents of communities that did not have such services locally before the program; 2) hospitalization rates and emergency room visit rates per year for patients receiving disease management services for diabetes, congestive heart failure, stroke and other chronic diseases, as well as for patients receiving home care/home monitoring services; 3) controlling blood glucose levels in diabetic patients; 4) improving the efficiency of health care; 5) reducing medical errors; and, 6) other clear outcome measures.

Note: For FY 2009, the Agency is conducting a competition for the 3-year project period commencing September 1, 2010 and ending August 31, 2012.

Telehealth Resource Center Grant Program (TRCGP) - Funds support the development of regional Telehealth Resource Centers (TRCs), which serve as a regional focus for supporting telehealth activities throughout their respective regions; one national Telehealth Resource Center focuses on legal and regulatory telehealth issues; and two Telehomecare Resource Centers whose focus is to provide technical assistance to new or existing healthcare providers that wish to establish telehealth services to clients in their place of residence. Grant funds are used for salaries, equipment, operating, travel expenses, or other costs for: providing technical assistance, training and support; disseminating information and research findings related to telehealth services; promoting effective collaboration among telehealth resource centers and HRSA; conducting evaluations to determine the best utilization of telehealth technologies to meet health care needs; promoting the integration of the technologies used in clinical information systems with other telehealth technologies; fostering the use of telehealth technologies to effectively provide healthcare information and education for health care providers and consumers; and, implementing special projects that involve collaboration among TRCs to advance the field of telehealth. Grant funds are not used for: acquiring real property; equipment costs of more than 40% of total grant funds; for equipment or transmission costs not directly related to the grant purposes; to purchase or install general purpose voice telephone systems; construction costs; and indirect costs exceeding 15% of total grant funds.

The Licensure Portability Grant Program (LPGP) - Grant funds are used for salaries, equipment, software development, operating, or other costs associated with developing legislative, administrative, and technical projects to address licensure barriers that hinder the practice of telemedicine across state lines. Grant funds may also be used for activities involving significant expansion of existing state agreements for cross-state recognition of professional licenses to other states.

Applicant Eligibility:

Telehealth Network Grant Program (TNGP) - A grantee must be a nonprofit or public entity that will provide services through a Telehealth Network (TNGP-TH) to rural communities or through a Telehomecare Network (TNGP-THC) to patients in their homes located in either urban underserved or rural communities. Telehomecare Network (TNGP-THC) grantees should have demonstrated experience in providing telehomecare services. For both Telehealth Networks (TNGP-TH) and Telehomecare Network (TNGP-THC) networks, proof of non-profit status is required. Each entity participating in the network may be a nonprofit or for-profit entity. Faith-based and community based organizations are eligible under the Telehealth Network Grant Program (TNGP). (For FY 2010, funding is available under the TNGP-TH (Telehealth Network) to provide services in non-rural areas, but at least 50% of funding must be used to provide services to rural areas. The Telehealth Network

Grants Program (TNGP) announcement contains details regarding this new provision.)

Telehealth Resource Center Grant Program (TRCGP) - A grantee must be a public or private nonprofit organization. Faith-based and community based organizations are eligible to apply. Services may be provided to rural or urban communities.

Licensure Portability Grant Program (LPGP) - A grantee must be a State professional licensing board, or a national organization of professional licensing boards that provides services to state licensing boards. Note: American Indian and/or Alaska Native Tribal Organizations are eligible provided those organizations meet the eligibility requirements above.

Beneficiary Eligibility:

Telehealth Network Grant Program (TNGP) - Health care providers in rural areas, in medically underserved areas, in frontier communities, and for medically underserved populations. TNGP-TH grantees include in the network at least two (2) of the following entities (at least one (1) of which shall be a community-based health care provider: (a) community or migrant health centers or other federally qualified health centers; (b) health care providers, including pharmacists, in private practice; (c) entities operating clinics, including rural health clinics; (d) local health departments; (e) nonprofit hospitals, including community (critical) access hospitals; (f) other publicly funded health or social service agencies; (g) long-term care providers; (h) providers of health care services in the home; (i) providers of outpatient mental health services and entities operating outpatient mental health facilities; (j) local or regional emergency health care providers; (k) institutions of higher education; or (l) entities operating dental clinics. TNGP-THC grantees are experienced in providing telehealth services, have a substantial caseload, are targeted to patients with chronic illnesses and senior citizens, have a history of doing evaluations and monitoring telehomecare network performance in terms of quality, cost, and effectiveness of services.

Telehealth Resource Center Grant Program (TRCGP) - Health care providers in rural areas, in medically underserved areas, in frontier communities, and medically underserved populations. The regional telehealth resource centers must support the activities of existing or developing telehealth networks in their regions to meet the health care needs of rural or other populations to be served, including the improvement of access to services and the quality of the services received by those populations. Regional TRCs should have expertise in at least seven (7) of the following areas: A wide Range of Clinical Telemedicine Services; Telecommunications Technologies; Critical or Emergency Care; Residential telehealth (home care)/chronic disease management; Nursing Home/Inpatient Care; Distance Education and Training; Telehealth Technologies both Interactive and Store-and-Forward. A Regional TRC collaborates with other TRCs or organizations to address any of the seven areas where the TRC may not be expert. The National TRC exhibits expertise in the following areas: Reimbursement (Medicare, Medicaid, private insurance); Licensure (legislative and regulatory issues); Privacy, security, and confidentiality legislation at federal and state levels; Food and Drug Administration regulation; Telecommunications legal and regulatory issues; and, Private credentialing and accreditation organizations and issues (e.g., Joint Commission on Accreditation of Health Care Organizations). Note: American Indian and/or Alaska Native Tribal Organizations are eligible beneficiaries provided those organizations meet the beneficiary requirements above.

Licensure Portability Grant Program (LPGP) - State professional licensing boards to carry out programs under which licensing boards of various States cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine. Non Competing Continuation grants will continue to focus on licensure issues for physicians and nurses. The funded projects are to build on the first year of efforts to develop national models for implementing model agreements to expedite the licensure process and eliminate redundancies associated with applying for physician licenses in multiple jurisdictions.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission

of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are generally solicited by a program announcement in the HRSA Preview. HRSA requires applicants (for new competitions) or grantees/awardees (for continuation applications) to submit applications electronically through Grants.gov. The program guidance contains detailed application and submission instructions, including information on the non-competitive continuation application and submission process. Grantees/awardees must submit proposals according to the program guidance which specifies required forms, and contains additional general information and instructions for grant applications, including proposal narratives, and budgets. The program guidance, when available, may be obtained by: Downloading from <http://www.grants.gov>; or, Contacting the HRSA Grants Application Center: The Legin Group, Inc., 910 Clopper Road, Suite 155 South Gaithersburg, MD 20878, Telephone: 877-477-2123, HRSAGAC@hrsa.gov. Application information may also be found by visiting <http://www.hrsa.gov/grants/default.htm>.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

For FY 2009, funding was based on selections made under a competitive review process. For FY 2010, funding for the second year of the 3-year project period will be based upon an evaluation of the grantees first year performance, the second year program design, and expenditure justifications. In addition, for FY 2010, new competitions for the TNGP and the TRCGP are underway. All final funding decisions are made by the Associate Administrator, Office of Rural Health Policy, Health Resources and Services Administration, based on recommendations made by the Director, Office for the Advancement of Telehealth.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

2 months.

Appeals:

Not Applicable.

Renewals:

Renewals have not been determined.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Competitions are conducted every three years, with up to a 3-year project period. For projects awarded under a competition, grants are made annually each year for up to 3 years. For example, projects awarded in FY 2009 have a 3-year project period commencing September 1, 2009 and ending August 31, 2011. A new competition is being conducted in FY 2010 for a 3-year project period, commencing September 1, 2010 and ending August 31, 2012. Payments are made through an electronic transfer system or cash demand

system. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Quarterly Progress Reports are required. Annual progress and financial status reports are required 90 days from the end of the budget period and the final performance report and final financial status report are due 90 days from the end of the project period.

As required by the Government Performance and Review Act (1993), all federal agencies must develop strategic plans, describing their overall goals and objectives. These "GPRA Plans" must provide annual performance reports containing quantifiable measures of each program's progress in meeting the stated goals and objectives. The performance assessment instruments developed by the Office for the Advancement of Telehealth (OAT) with its grantees fulfill GPRA requirements to report to Congress on the impact of OAT's telehealth grant programs. Moreover, OAT hopes to use the information derived from these instruments to demonstrate the "value-added" that telehealth services contribute to improving health care. OAT has incorporated these performance assessment tools into the routine reporting required as part of the "mid-year or mid-cycle" and annual reports required under each grant. Each of the programs will also get reports comparing themselves to the entire set of grantees, on these same measures. There are two data reporting periods each year; during these biannual reporting periods, data is reported for the previous six months of activity. Grantees have approximately six weeks to enter their data during each biannual reporting period. No expenditure reports are required. See above for additional information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$2,175,000; FY 10 est \$5,450,000; FY 11 est not reported. - TRCGP - FY 08, \$2,094,250; FY 09, \$2,176,800; FY 10 estimated \$5,450,000 total funds available, of which an estimated \$1,300,000 is available for non-competing continuation funding for FY 09 projects and \$4,150,000 is available for a new FY 10 competition. (Project Grants) FY 09 \$4,165,000; FY 10 est \$6,165,000; FY 11 est \$11,600,000 - TNGP - FY 08, \$3,689,291; FY 09, \$4,245,802; FY 10 estimated \$6,165,000 total funds available, of which an estimated \$4,165,000 is available for non-competing continuation funding for FY 09 projects and \$2,000,000 is available for a new FY 10 competition. (Project Grants) FY 09 \$350,000; FY 10 est \$350,000; FY 11 est not reported. - LPGP - FY 08, \$650,000; FY 09, \$350,000; FY 10 \$350,000.

Range and Average of Financial Assistance:

TNGP In FY 06 through FY 08, awards each year ranged from approximately \$226,000 to \$270,000, with an average award each year of approximately \$240,000. In FY 09, the financial assistance for the Telehealth Network Grants ranged from \$230,000 to \$245,000. During that same year, the Telehomecare Grantees on average received \$261,000. For the FY 2010 competition, awards in FY 10 and FY 11 will range from \$210,000 \$250,000. TRCGP In FY 06, the first year of the FY 2006-2008 award cycle, for the

Regional TRCs, the financial assistance ranged from approximately \$250,000 to \$310,000. The average award was approximately \$310,000. The National TRC received \$160,000.

In FY 07, the second year of the FY 2006-2008 award cycle, for the Regional TRCs, the financial assistance was \$325,000 for each of the five grantees. The National TRC received \$175,000.

In FY 08, the third year, the Regional TRCs on average received \$372,000. The National TRC received \$218,750. In FY 09, each of the Regional TRCs was awarded \$487,950 for an average award of \$487,950. The National TRC was awarded \$225,000.

For FY 10 The estimated award for Regional TRCs is \$325,000 for each grantee. The National TRC will receive approximately \$225,000. The Telehomecare TRCs will receive \$300,000 for each grantee.

LPGP - In FY 06, the first year of the FY 2006-2008 award cycle, two grantees received \$342,500 each. In FY 07, the second year of the FY 2006-2008 award cycle, the two grantees received \$342,500 and \$344,814. In FY 08 one grantee was awarded \$309,196 and for FY 09 one grantee received an estimated award of \$350,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Telehealth programs are building upon the projects demonstrated skill in evaluation, providing healthcare, and, as appropriate, in legal and regulatory issues and in the provision of technical assistance. Fiscal Year 2010: The Telehealth programs are building upon the projects demonstrated skill in evaluation, providing healthcare, and, as appropriate, in legal and regulatory issues and in the provision of technical assistance.

TNGP

FY 2003 FY 2005. With approximately \$3.75 million awarded to fund 15 grants, the TNGP improved access to specialty services for residents of underserved rural communities. With a population of 3.8 million individuals who lived in these underserved communities, access to specialty care was provided for less than \$1/person/year in TNGP program expenditures. Specialty services include mental health, diabetes, cardiology, dermatology, home health care and monitoring, pediatrics, radiology and many other specialties based on the needs of the particular community where the project is located. (For further information on services and activities, see the OAT Grantee Directory at <http://telehealth.hrsa.gov/>)

The TNGP has shown home care can improve efficiency by reducing nurse travel time, and individual grantees have reported savings in a variety of small scale studies across different applications. During the three year grant cycle FY 2003- FY 2005, 7 grantees estimated that telehome care services saved over \$77,000 in salary and travel costs associated with the reduced need for nurses to travel. In addition, several TNGP grantees have demonstrated in small scale studies the efficiency of their telehealth programs in producing tangible savings. The University of Tennessee recently reported a 56% decrease in emergency room (ER) visits per month for its telehealth diabetes program patients and a 38% decrease in spending on clinic visits per month for telehealth patients. The University of Arkansas has shown that by tracking patient outcomes through its TNGP grant, it has saved the Arkansas Medicaid Program over \$14 million in emergency transports and medical costs by conducting telemedicine consults and assessments on high-risk obstetrics patients in rural areas. The Ohio Tele-mental Health Program reports that of the 709 telecommunication events recorded since the inception of the grant (clinical consultation, management, psychiatric services and education -- 48 of which were individual direct service encounters) have yielded a savings of \$213,530 in saved mileage and saved staff "downtime."

Individual programs have reported a significant impact of the TNGP program on the sustainability of services. Programs in Arkansas, Missouri, Kentucky, and Arizona report a significant impact on their obtaining ongoing state funding . For example, the program in Arkansas reports that its demonstrable success significantly contributed to obtaining Medicaid reimbursement for the telehealth services, and the provision of these services resulted in measurable savings. The Kentucky Telehealth Network program has documented the extensive leveraging of TNGP dollars (for every dollar invested through the HRSA telehealth programs, the program has been able to generate almost \$7

dollars, primarily from the telehealth network sites themselves and the state.) Further, the TNGP is contributing to the ability of grantees to gain additional state and local support. The TNGP funded Kentucky Telehealth Network Program Kentucky has worked with the state legislature to create a statewide telehealth program, as has Missouri and Arizona. The University of Tennessee program reports that for every dollar provided by OAT grants, the program has been able to raise \$2, in large part through service contracts with state and local organizations, such as the Tennessee Department of Children and Family Services, the Tennessee Department of Health, Shelby County government, and the like.

FY 2006 FY 2008. TNGP grantees are developing evaluation designs to measure process and outcomes. Quantitative outcomes will measure the following areas: impact on quality of care; appropriateness of use of the technology; whether access was improved; whether clinical outcomes were improved; and, how the cost of service delivery was affected in terms of efficiency and effectiveness of care.

16 grants were awarded from FY 2006 FY 2008. With approximately \$4 million awarded each year, the TNGP improved access to specialty services for residents of underserved rural communities. With a population of 4.3 million individuals who lived in these underserved communities, access to specialty care was provided for less than \$1/person/year in TNGP program expenditures. Specialty services include mental health, diabetes, cardiology, dermatology, home health care and monitoring, pediatrics, radiology and many other specialties based on the needs of the particular community where the project is located. (For further information on services and activities, see the OAT Grantee Directory at <http://www.hrsa.gov/telehealth/publications.htm>)

OAT had a program assessment in 2006. The program was cited for its success in expanding access to services in underserved rural communities. OAT developed annual performance measures and is tracking performance against benchmarks. The 2006-2008 cohort of TNGP grantees provided a total number of 96 clinical services, across 690 sites in underserved rural communities for a total of 786 sites and services. When added to the baseline of 489 services, the TNGP has supported 1,275 sites and services in these communities since FY 2005. In FY 2007, 191 communities had access to Pediatric services and 159 communities had access to adult mental health services for which they otherwise would not have had access in the absence of the TNGP grants.

The program began in FY 2006 to collect data on a long-term measure to assess the programs impact on clinical outcomes in diabetic patients served by the grantees of the TNGP program, targeting control of hemoglobin A1C levels in patients. In FY 2006, 34% achieved ideal glycemic control, while in FY 2007, 42% were able to achieve ideal glycemic control compared to a target of 21%.

Tele-homecare/monitoring. TNGP grantees have developed common metrics and data analysis strategies, based on data routinely collected through the OASIS system, which is a nationally recognized standardized data collection system of performance measures. The performance measures focus on the impact of these grants on the cost and effectiveness of the services provided. Data are being collected and aggregated from all programs, with a report of the findings available in FY 2011.

The TRCGP and LPGP are newly funded programs for FY 2006 through FY 2009. As such, these grantees are presently building organizational capacity.

The TRCGP grantees provided individualized technical assistance to groups developing Telehealth services, created five detailed toolkits and specialized training materials, and facilitated technical assistance through regional webinars and teleconferences in the 24 states that they cover, including US-Affiliated Pacific Islands. Standard performance measures are under development to evaluate the performance of these grantees beginning in 2011. The TRCGP grantees have also developed and conducted workshops at the HRSA Grantee Meetings and the OAT Grantee workshop.

The LPGP grantees are developing programs under which licensing boards of various States will cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine. These grants will

continue to focus on licensure issues for physicians and nurses. HRSA has been tracking performance of its Licensure Portability Grant Program (LPGP) grantees. In FY 2006, the Federation of State Medical Boards (FSMB) was awarded a three-year grant to reduce the legal and administrative barriers to states sharing licensure information. In its first year, FSMB established pilot projects in two regions of the country to develop and maintain a centralized interactive data management system. FSMB also compiled state profiles of the technical capabilities to electronically share licensure data for each of the 14 states in the pilot regions, and conducted a policy analysis of each to determine legislative and regulatory barriers to sharing data. A comprehensive policy review of the nine states that have specific telemedicine licenses/registration programs also has been completed.

In FY 2008, the FSMB expanded the focus of its activities, adopting two major objectives: (1) to increase the number of states adopting a common licensure application (a key step in reducing the barriers to licensure portability); and (2) to increase the number of states that participate in mutual recognition of each others licenses. Results from these activities are now available.

The National Council of State Boards of Nursing (NCSBN) was also awarded an LPGP grant to identify and implement enhancements to its current program for cross-state recognition of licenses for nurses - the Nurse Licensure Compact (NLC) - and to support states that are in the process of or considering adoption of the NLC. In the first year, NCSBN developed a cost analysis tool as a reference for States to address misconceptions regarding the cost of adopting the NLC. To date, 23 states have implemented a NLC. The NCSBN focused the third year of its grant on a detailed evaluation of the existing NLC and assessing areas for streamlining the compact to facilitate the adoption of it by more states. The final report from this grant is now available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Telehealth programs are subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Carlos Mena, Project Officer (TNGP and LPGP), Telephone: (301) 443-3198; Monica Cowan, Project Officer (TRCGP), Telephone: (301) 443-0076; Office for the Advancement of Telehealth, Office of Rural Health Policy, 5600 Fishers Lane, Room 10B-11, Rockville, MD 20857.

Headquarters Office:

Carlos Mena, 5600 Fishers Lane, Room 10B-11, Rockville, Maryland 20857
Phone: (301) 443-0076.

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The TNGP - University of Arkansas for Medical Sciences, Telehealth for Kids in Delta Schools (Telehealth KIDS), Little Rock, AR: The projects purpose is to work with local healthcare providers, facilities, parents and school staff to develop services and protocols that will complement and support local providers, strengthen existing referral patterns, and emphasize services that are currently unavailable in Lee County. The project will focus on children who currently have no doctor or who are currently unable to access available health care services due to poverty, lack of transportation, or complicating family issues. Specific needs identified by Lee County will target Asthma, Diabetes, Behavioral, and General Pediatric Health issues. The project links Whitten Elementary School and Lee High School into the existing network. Telehealth KIDS will use telehealth technology and Telehomecare monitoring to improve

access and clinical outcomes, and overall health of Lee County Students. Telehealth KIDS will install interactive video systems. Desktop cameras will be purchased for behavioral consulting by health professionals. Interactive education units will be used at Lee County Health Unit and Lee High School. Telehomecare monitoring will be used for students suffering from asthma and diabetes. Home Health Monitors will be distributed to students homes. Lee County Schools are currently connected by T1 lines. The University of Arkansas for Medical Sciences competed and received funding in FY 2006 and FY 2007, and has been operational since 1995.

Expected outcomes include reduction of hospitalization and emergency room visits, measuring the impact of telehealth program on controlling blood glucose levels in diabetic patients, collecting data to measure clear outcomes, including improving access for individuals that otherwise would not have such access, productivity, efficiency, dollars saved and quality of services. The project is developing a detailed evaluation and data plan that addresses the required performance measures.

The TRCGP The Great Plains Telehealth Resource and Assistance Center (GPTRAC) began in October of 2006 and is now transferred from Avera to the University of Minnesota. Current partnerships are with Avera Health, South Dakota; The Evangelical Lutheran Good Samaritan Society, South Dakota; North Dakota State University Telepharmacy Network, North Dakota and Saint Elizabeth Health System, Nebraska. The GPTRAC serves the six states of South Dakota, North Dakota, Minnesota, Iowa, Nebraska and Wisconsin. Assistance will also be provided to other entities in other locations as requested or needed.

This Regional TRC will increase telehealth utilization among rural and frontier health care providers by breaking down both geographic and experiential barriers. This center will serve telehealth programs in this region and nationally by focusing on individualized coaching services; providing information, assistance and direction as requested and needed; an on-line toolbox; and a regional telehealth conference. Services provided include: general one-to-one assistance and direction in topics such as telehealth policies/procedures, licensure, scheduling, evaluation, research, and others; on-line resource toolbox; annual regional conference; identify key regional issues; in general, help rural facilities acquire the skills/expertise to implement telehealth programs.

The project outcomes are as follows: 1) To increase the knowledge of applications, practices and research findings relating to telehealth; 2) To increase the quality and quantity of standards-based information regarding the best utilization of telehealth technologies; 3) To increase the number of contacts initiated by providers; 4) To improve the skill level of key staff members to conceptualize, plan, implement and evaluate telehealth programs; and, 5) To increase the number of providers that utilize telehealth technology.

The LPGP Two grantees are developing projects to support State professional licensing boards to carry out programs under which various States will cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine across state boundaries. The grantees are focusing on projects that will reduce impediments to the practice of telemedicine across state lines by physicians and nurses, respectively. The funded projects are to build on the first year of efforts to develop national models for addressing barriers to adoption of the Nurse Licensure Compact and implement model agreements to expedite the licensure process and eliminate redundancies associated with applying for physician licenses in multiple jurisdictions. The grants are intended to result in an expansion of existing state agreements for cross-state recognition of professional licenses. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For FY 2010, the Agency is conducting competitions for the TNGP and the TRCGP for the project period commencing September 1, 2010 and ending August 31, 2012.

TNGP

Applications will be funded based on the extent which documentation is provided in the following areas:

Need, the documented need for the project and the likely demand for the proposed services;

Response, the extent to which project objectives, activities and benefits are consistent with the objectives of the grant program and the needs for the project;

Evaluative Measures, the extent to which the project measures success in meeting its goals and objectives;

Impact, the level of local involvement in planning and implementing the project, level of commitment as evidenced by cost participation of applicant, other network members and/or other organizations, and long-term plans for sustainability;

Resources/Capabilities, the ability of network members, including clinicians, to implement the project and the corresponding feasibility of the projects plan of activities;

Support Requested, the reasonableness of the budget to proposed activities and anticipated outcomes/results; and,

Technology/Integrating Administrative and Clinical Systems, the extent to which the applicant proposes to integrate administrative and clinical information systems and effectively deploy technology.

The TNGP will seek to select projects that have demonstrated skill in evaluation. In addition, applicants must evidence a successful track record in providing telehealth services and to demonstrate how the proposed funds will expand services to new communities and/or populations. Applicants must provide an evaluation design to measure process and outcomes. Quantitative outcomes should be measured in the following areas: impact on quality of care; appropriateness of use of the technology; whether access was improved; whether clinical outcomes were improved; and, how the cost of service delivery was affected in terms of efficiency and effectiveness of care.

Of particular interest will be programs that can clearly measure the costs of their telehealth services and measure the impact of the telehealth program on :

1) improving access to health care services for residents of communities that did not have such services locally before the program; 2) reducing hospitalization rates and emergency room visit rates per year for patients receiving disease management services for diabetes, congestive heart failure, stroke and other chronic diseases, as well as for patients receiving home care/home monitoring services; 3) controlling blood glucose levels in diabetic patients; 4) improving the efficiency of health care; 5) reducing medical errors; and, 6) other clear outcome measures. Also, of particular interest are networks that include Community Health Centers funded by the Health Resources and Services Administration (HRSA) in the network (i.e.,330 grantees).

TRCGP

TRC applicants will be assessed on their current activities and success in addressing the following areas:

Telecommunications, industry standards, and technology assessment;
Extensive experience in providing technical assistance at the local, regional, and national levels

Breadth of clinical services offered by their network and integration of clinical information systems;

Ability to overcome reimbursement and other policy challenges to achieve sustainability of their networks;

Comprehensive evaluation strategy (including collecting baseline data) and implementation as evidenced by publications, especially ones that address effectiveness, costs, and productivity;

Experience in maintaining a strong and efficient operational/management structure; and

Experience in developing and implementing strategic/business plans.

LPGP

The LPGP seeks projects that can clearly serve as national models and have implications for overcoming State statutory and regulatory licensure barriers to cross-state practice of telemedicine for all the health professions, with an emphasis on projects proposed by boards or national organizations of

professional licensing that have demonstrated success in pioneering creative programs to address these issues. As a result, grantees must display a clear understanding of the barriers to cross-state practice and a realistic, feasible approach to overcome legislative, regulatory, or administrative barriers to cross-state practice and the clarity of the proposed goals and objectives and their relationship to the identified project.

Non-Competing Continuation Funding

For FY 2010 (projects awarded competitively in FY 09) and for FY 2011 (projects awarded competitively in FY09 and FY10), non-competing continuation funding for Telehealth grantees will be based upon an evaluation of each of the grantees first and second years of respective performance, as applicable, the third year program design, and expenditure justifications.

93.212 CHIROPRACTIC DEMONSTRATION PROJECT GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 755(b)(3), 42 U.S.C. 294e(3) as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392.

OBJECTIVES:

Grants are awarded to carry out demonstration projects in which chiropractors and physicians collaborate to identify and provide effective treatment for spinal and lower-back conditions. The project requirements include: (1) The project must address the identification and treatment of spinal and/or lower-back conditions; (2) the project must be founded on collaborative efforts between chiropractors and allopathic or osteopathic physicians; (3) each project must include a strong research protocol which will result in a significant expansion of documented research in the area addressed and which is suitable for publication in referred health professions journals, including research oriented publications; (4) the project must include an explicit strategy for case-finding and a strategy for making direct comparisons to other forms of treatment. The results must be generalizable to patients cared for in a clinical practice addressing spinal and/or lower-back conditions; and (5) whenever feasible, minorities and women should be included in study populations so that research findings can be of benefit to all persons at risk of the disease, disorder, or condition under study.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant and contract funds may be used for personnel, equipment, supplies, domestic travel, consultants and guest lecturers, rental of space, renovations, and other costs directly related to the project as described in the approved application. Program funds may not be used for construction of facilities, acquisition of land, foreign travel, or support of students, including fellowships, stipends, tuition, fees, or travel allowances. Program funds may not be used to support patient care costs.

Applicant Eligibility:

To be eligible for a Chiropractic Demonstration Project, the applicant shall be: a health professions school, an academic health center, or public or private nonprofit accredited schools of chiropractic.

Beneficiary Eligibility:

Health professionals will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months from receipt of application.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, current grantees may submit new applications for three years of support.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. The Uniform Progress Report (UPR) must be submitted annually. Financial reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$1,814,492; FY 10 est \$1,945,000; FY 11 est \$1,945,000

Range and Average of Financial Assistance:

\$383,375 to \$534,327; \$453,623.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 4 new awards funded. Fiscal Year 2010:

Fiscal Year 2010: 4 continuation awards funded. Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Catherine Rupinta, Program Officer, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, Room 9A-27, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1070.

Headquarters Office:

Catherine Rupinta 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857 Phone: (301) 443-1070

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: 4 new awards funded. Fiscal Year 2010: Fiscal Year 2010: 4 continuation awards funded. Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact; (5) Resources/Capabilities; and (6) Support Requested.

93.213 RESEARCH AND TRAINING IN COMPLEMENTARY AND ALTERNATIVE MEDICINE

National Center for Complementary and Alternative Medicine

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 485D, Title VI, Section 601, Public Law 105-277, 112 Stat. 2681-387, 42 U.S.C 281 as amended.

OBJECTIVES:

To evaluate alternative, complementary, or unconventional medical treatments. The following objectives support this goal: (1) coordinate and facilitate the investigation of alternative medical practices through peer-reviewed grant solicitations; (2) interface with the Center's National Advisory Council; (3) conduct technology assessment conferences for the purpose of establishing areas of Clinical and Pre-clinical research that need to be further developed within Alternative Medicine; (4) maintain a comprehensive bibliographic data base in conjunction with the National Library of Medicine; (5) and (6) establish an intra- and extra-mural clinical research fellowship program focusing on broad areas of clinical, scientific, and administrative training in CAM.

The National Center for Complementary and Alternative Medicine (NCCAM) regularly examines and redefines its research priorities. In setting research priorities, NCCAM considers its existing research portfolio, its 5-year strategic plan, the recommendations of the National Advisory Council for Complementary and Alternative Medicine, current scientific advances, the plans of other NIH institutes and centers, and input from expert panels and stakeholders. Research constituting a rigorous evidence base for CAM practices will be developed through a range of research strategies including basic and translational research, and clinical investigation.

Current research priorities are shaped by the following considerations regarding

Research Approaches, Areas of Special Interest, and Areas of Lower Program Priority.

Research Approaches

Basic and Translational Research

NCCAM's support of basic research emphasizes and encourages in vitro and in vivo studies of the biological effects and mechanisms of action underlying complementary and alternative medicine (CAM) approaches, as well as studies characterizing the active elements of an intervention. Studies should use state-of-the-art techniques in areas such as imaging, pharmacogenosy, proteomics and epigenomics, and employ optimal animal models and methodology.

NCCAM's support of translational research addresses the need for valid, reliable, and relevant research tools, outcome measures, and innovative methodology to enhance the rigor of CAM clinical studies and to ensure that they are maximally informative. Studies intended to identify and validate objective endpoints or biomarkers, assess and measure adherence or treatment fidelity, and otherwise strengthen the design of subsequent CAM clinical trials are emphasized.

Observational Studies and Clinical Investigations

NCCAM's clinical research portfolio utilizes clinical trial, case-control, observational, qualitative, and other experimental methodologies to determine safety and estimate the efficacy of CAM approaches. The clinical research portfolio also includes studies of the contribution to improved health and wellness made by CAM interventions as they are practiced in "real-world" settings.

Studies should be designed to inform decision making about the design of and/or the need for subsequent investigations. Important areas of investigation include examination of pharmacology, bioavailability, and ADME (absorption, distribution, metabolism, and excretion) of biologically based interventions; optimizing dosing of all types of CAM interventions; refinement and validation of outcome measures (including patient-reported outcomes that are particularly relevant to CAM modalities); optimizing control groups, masking strategies, and other methodological enhancements; obtaining preliminary data on safety and efficacy; and conducting early phase clinical investigations to elucidate mechanisms of action and to test models.

In general phase III clinical trials will be supported under the cooperative agreement mechanism after careful consideration by NCCAM Staff. Investigators interested in proposing phase III studies are strongly encouraged to contact a relevant NCCAM Program Officer.

Areas of Special Interest

Although a wide range of research topics are of interest to NCCAM, studies focusing on CAM interventions used frequently by the American public, and on the conditions for which they are most frequently used are particularly encouraged. These would include, but not be limited to, investigations of the impact of CAM modalities in alleviating chronic pain syndromes and inflammatory processes, and improving health and wellness. Among all types of studies, attention to a range of endpoints meaningful to improved health, well-being, and quality of life is strongly encouraged.

Investigators are urged to discuss applications with a relevant NCCAM Program Officer.

Areas of Lower Program Priority

NCCAM is already investing substantial resources in the following areas of research. We will continue to fund novel and significant research on these topics. However, until results of the currently funded studies are available, applications in these areas are likely to be considered of lower program priority. Investigators are strongly urged to contact the relevant NCCAM Program Officer before submitting an application in any of the following areas:

Clinical trials of saw palmetto and African plum (Pygeum) for benign prostatic hypertrophy
Clinical efficacy or effectiveness studies of low-carbohydrate diets for weight

loss

Clinical studies of silymarin for chronic liver diseases

Clinical studies using probiotics

Early Stage and New Investigators

NCCAM is strongly committed to assisting new and early stage investigators in establishing a research career. Early stage investigators are those within 10 years of completing their terminal research degree, or their medical residency, or its equivalent. New investigators are those who have yet to compete successfully for a substantial (e.g., R01) NIH research grant. Each Advisory Council round, based on available funds, NCCAM: 1) will consider early stage or new investigator status as one of the criteria for designating grant applications as being of high program priority and 2) may make additional R01 grant awards to new and early stage investigators with percentiles or scores beyond the formal payroll.

NCCAM continues to accept applications in areas not listed. All investigators are urged to discuss potential applications with the relevant NCCAM Program Officer.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Project grants and cooperative agreements may be made to eligible institutions for the support of various projects in CAM. The grants may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals miscellaneous items, and indirect costs. For the individual post-doctoral training grant, support is for salary of the trainee, tuition and fees, self-only health insurance, research supplies, equipment, travel to scientific meetings, and related items.

Applicant Eligibility:

The awardee will be either a university, college, hospital, public agency, nonprofit research institution, or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations costs are determined by in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. Costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. NIH is in the process of converting to SF424 (Research and Related (R&R)) forms and electronic submission through Grants.gov. Information on this plan and on registering for electronic submission is available at <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-05-067.html>. Updates regarding the transition process are at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Award Procedure: All accepted applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score ranging from the best (10) to worst (90) compete for available funds based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants and Cooperation Agreement: Approximately 10 months. Training: For 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Office. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage

www.nih.gov/grants/guide/1997/97.11.21/n2.html .

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years, maximum of 5 years with prior approval before submission. Renewals may be awarded for additional periods of up to 5 years (with prior approval before submission) based on competitive peer review. Funds are provided through Monthly Demand Payment System or an Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Type 5 progress reports are required. Expenditure reports on a quarterly basis are sent to the data warehouse. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0896-0-1-552.

Obligations:

(Project Grants) FY 09 \$88,547,000; FY 10 est \$91,361,000; FY 11 est \$93,040,000

Range and Average of Financial Assistance:

range from low to high: R21, \$197.7 thousand to U19 1.955 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: In FY 2010 NCCAM will make awards under a new initiative (to be released in FY 2009) entitled Program for Translational Tools for CAM Clinical Research, which will fund research on metrics, standard protocols, and outcome measures aimed at improving the quality, consistency, and comparability of clinical CAM research. The Center will also fund studies under its initiatives Dietary Supplements Research Centers: Botanicals; Exploratory/Developmental Grant for Complementary and Alternative Medicine Studies using Cells, Tissues, and Animal Models of Disease; and Biology of Manual Therapies.

In FY 2010, NCCAM will continue to fund a portfolio of investigator-initiated clinical research, including new research projects under its initiatives

Effectiveness Research/CAM Interventions and Chronic Back Pain; Exploratory/Developmental Grant for Complementary and Alternative Medicine Studies of Humans; Outcomes, Cost-Effectiveness, and the Decision Making Process to Use Complementary and Alternative Medicine; and Omics and Variable Research Responses to CAM: Secondary Analysis for CAM Clinical Trials. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Martin Goldrosen, 6707 Democracy Blvd, Suite 401, Bethesda, Maryland 20817 Email: goldrosm@mail.nih.gov Phone: (301) 594-2014.

Website Address:

<http://nccam.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; (6) the relevance and importance to the announced program objectives; and (7) the interfacing of conventional researchers and technology with unconventional/alternative clinicians for the purpose of providing clinical and scientific collaborations when and where appropriate.

93.217 FAMILY PLANNING SERVICES

FP Services

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X Statute and Regulations: Requirements regarding the provision of family planning services under Title X can be found in the statute (Title X of the Public Health Service Act, 42 U.S.C. 300 et seq.) and in the implementing regulations which govern project grants for family planning services (42 CFR part 59, subpart A.), 42 U.S.C 300 et seq.

OBJECTIVES:

To provide educational, counseling, comprehensive medical and social services necessary to enable individuals to freely determine the number and spacing of their children, and by so doing helping to reduce maternal and infant mortality, promote the health of mothers, families and children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants must be used for family planning services including contraceptive services, infertility services and special services to adolescents. Family planning services include information on all medically approved methods of contraception including natural family planning methods; counseling services, physical examinations including cancer detection and laboratory tests; STD and HIV prevention education, screening and referral; contraceptive supplies, and periodic follow-up examinations. Infertility services include assessment, information, education, and arrangements for referral if necessary. Special services to adolescents include in-depth information, education including abstinence education counseling, and referral to and from other social and

medical service agencies, and such ancillary services as are necessary to facilitate clinic attendance for adolescents who need contraceptive services. These family planning services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. To the extent possible, entities which receive grants under this subsection shall encourage family participation in projects assisted under this subsection. Funds may not be used in programs where abortion is a method of family planning. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations. Priority in the provision of services will be given to persons from low-income families. Grants must be used for family planning services including contraceptive services, infertility services and special services to adolescents. Family planning services include information on all medically approved methods of contraception including natural family planning methods; counseling services, physical examinations including cancer detection and laboratory tests; STD and HIV prevention education, screening and referral; contraceptive supplies, and periodic follow-up examinations. Infertility services include assessment, information, education, and arrangements for referral if necessary. Special services to adolescents include in-depth information, education including abstinence education counseling, and referral to and from other social and medical service agencies, and such ancillary services as are necessary to facilitate clinic attendance for adolescents who need contraceptive services. These family planning services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. To the extent possible, entities which receive grants under this subsection shall encourage family participation in projects assisted under this subsection. Funds may not be used in programs where abortion is a method of family planning. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations. Priority in the provision of services will be given to persons from low-income families.

Applicant Eligibility:

Any public (including city, county, local, regional, or State government) entity or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Persons who desire family planning services and who would not otherwise have access to them. Priority to be given to persons from low-income families. Individuals from other than low-income families will be charged a fee in accordance with an established fee schedule although inability to pay must not be a deterrent to services.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No. A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by PHS and required by 45 CFR 74 (for institutions of higher education, hospitals, and other non-profit organizations), and 45 CFR 92 (for state and local governments) must be used for this program. All other applicants should submit completed Form OPHS-1,(Revised 6/2001), U.S. Department of Health and

Human Services Public Health Service Grant Application, documenting the need for the grant and the proposed amount of the grant. The Regional Health Administrator has approval authority for the program. Contact should be made with the appropriate Regional Health Administrator (see name/address in Appendix IV) to obtain the Application Kit. This program is subject to the provisions of 45 CFR 74, 45 CFR 92, as well as OMB Circular No. A-102 (Administrative Guidelines for state and local governments) and OMB Circular No. A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations).

Award Procedure:

Following approval by the Regional Health Administrator, the Office of Grants Management, OPHS prepares a Notice of Grant Award, secures necessary clearances and approval signatures, issues the Notice of Grant Award, enters the approved award in the grant payment process, and provides notification of grant approval to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 90 to 270 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are generally given commitments of funding for 3 to 5 years. Projects must submit a continuation application each non-competitive year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Usually 1 year. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Controller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$327,000,000; FY 10 est \$330,000,000; FY 11 est \$327,000,000

Range and Average of Financial Assistance:

\$1.5 mil to \$3.0 mil.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Regional Health Administrator, DHHS Regional Offices (See Appendix IV of the Catalog for addresses).

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.opa.osophs.dhhs.gov>.

RELATED PROGRAMS:

93.260 Family Planning_Personnel Training; 93.974 Family Planning_Service Delivery Improvement Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Projects include: (1) A State Health Department which subcontracts to service providers throughout an entire State; and (2) a service provider to serve multi-counties or a smaller geographic area. Fiscal Year 2010: Projects include: (1) A State Health Department which subcontracts to service providers throughout an entire State; and (2) a service provider to serve multi-counties or a smaller geographic area. Fiscal Year 2011: Projects include: (1) A State Health Department which subcontracts to service providers throughout an entire State; and (2) a service provider to serve multi-counties or a smaller geographic area.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated in terms of which will best promote the purposes of the authorizing legislation, taking into account: (1) the number of patients to be served, especially low-income patients; (2) local need; (3) relative need of the applicant; (4) applicant's ability to make rapid and effective use of Federal assistance; (5) adequacy of the applicant's facilities and staff; (6) availability and commitment of nonfederal resources within the community; and (7) compliance with pertinent regulations and guidelines.

93.220 CLINICAL RESEARCH LOAN REPAYMENT PROGRAM FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS

(NIH Clinical Research Loan Repayment Program; CR LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 41631, Public Law 100-43, 288-5 U.S.C.

OBJECTIVES:

To recruit and retain health professionals from disadvantaged backgrounds to conduct clinical research at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in clinical research as employees of the NIH for a minimum two-year period.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. One-year continuation contracts are available, dependent upon the level of debt and continued involvement in clinical research. Maximum program benefit is \$35,000 per year in loan

repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree; or hold the position of Physician Assistant; (3) come from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (5) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (6) are not eligible to participate in the CR-LRP if they have an existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (7) submit an application to participate in the CR-LRP; and (8) sign and submit to the Secretary of Health and Human Services, at the time of agreeing to accept repayment of educational loans, a contract agreeing to engage in clinical research as an employee of the NIH for a minimum of 2 years.

Beneficiary Eligibility:

Clinical researchers from disadvantaged backgrounds who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from governmental or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during period when debt was incurred; (3) copies of recommendations, special skills, certifications, or other copies of loan documentation; (4) certification from the school(s) attended that the applicant qualified for participation in an educational assistance program for individuals from disadvantaged backgrounds which are sponsored by the Secretary of Health and Human Services or self-certification describing circumstances which qualify him/her under the Secretary's definition of an individual from a disadvantaged background; and (5) other documentation as may be required by law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial 2-year contract, participants may apply and be recommended for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 2 years and whose renewal ranking scores within the funding range. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program participants must be certified quarterly as to having satisfactorily performed their research assignments. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 09 \$77,469; FY 10 est \$361,000; FY 11 est \$361,000

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayments range from \$4,000 to \$70,000, Tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$68,454.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: NIH projects that nine awards will be granted in 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487E of Public Health Service Act (42 U.S.C. 288-5); Public Law 105-392; Federal Register, Volume 59, No. 201, October 19, 1994; 42 CFR Part 68a Federal Register, Volume 63, No. 210, October 30, 1998.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education
National Institutes of Health
U.S. Department of Health and Human Services
Building 2, Room 2E18
2 Center Drive
, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov Phone: 3014021283 Fax: 3014802942

Website Address:

www.lrp.nih.gov .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Please see the website, www.lrp.nih.gov. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Clinical Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified clinical research and qualifies as being from a disadvantaged background. LRC approval, in part, is based upon the scientific merit of the research and the credentials of the applicant as they relate to performing qualified clinical research.

93.223 DEVELOPMENT AND COORDINATION OF RURAL HEALTH SERVICES

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 711, as amended, (42 U.S.C. 912).

OBJECTIVES:

To (1) Build and sustain rural health infrastructure by providing technical assistance to rural communities , (2) provide guidance and best practices on rural health networks (3) improve quality of rural health care.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved activities in accordance with the intent of the cooperative agreement as stipulated in the notice of grant award.

Applicant Eligibility:

Nonprofit private organizations that represent national, State and local rural health constituencies. The applicant must represent National, State, and local constituency groups who are interested in and committed to improvements in rural health care.

Beneficiary Eligibility:

Underserved populations in rural areas and rural health care providers wishing to expand their services. Rural health care advocacy groups and their constituents.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually for a project period of 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A Final Performance Report and a financial report (FFR) are due 90 days following the end of the project period. No cash reports are required. A progress report and a financial report are to be submitted within 90 days after the close of the budget period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$750,000; FY 10 est \$1,100,000; FY 11 est \$1,100,000

Range and Average of Financial Assistance:

Average award is \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. The Office of Rural Health Policy, Health Resources and Services Administration, Parklawn Building, Room 9A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

The Office of Rural Health Policy, 5600 Fishers Lane, Room 9-A55, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.913 Grants to States for Operation of Offices of Rural Health

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and rated on the applicant's ability to address the following areas: To (1) Build and sustain rural health infrastructure by providing technical assistance to rural communities, (2) provide guidance and best practices on rural health networks (3) improve quality of rural health care.

93.224 CONSOLIDATED HEALTH CENTERS (COMMUNITY HEALTH CENTERS, MIGRANT HEALTH CENTERS, HEALTH CARE FOR THE HOMELESS, PUBLIC HOUSING PRIMARY CARE, AND SCHOOL BASED HEALTH CENTERS)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 330(e), (g), (h), and (i).

OBJECTIVES:

To improve the health of the Nation's underserved communities and vulnerable populations by assuring access to comprehensive, culturally competent, quality primary health care services. Individual health center grant mechanisms include: (1) Community Health Centers; (2) Migrant Health Centers; (3) Health

Care for the Homeless; and (4) Public Housing Primary Care Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility and provision of primary health care services. Funds may not be used for inpatient services, or to make cash payments to intended recipients of services.

Applicant Eligibility:

Eligible applicants are public and non-profit private entities, including tribal, faith-based and community-based organizations that have the capacity to effectively administer the grant.

Beneficiary Eligibility:

Population groups in medically underserved areas.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the project's goals, and a determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. After Notices of Grant Award are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Uniform Data Reporting Annual reports. No cash reports are required. See funding opportunities announcement. No expenditure reports are required. Performance Monitoring Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$1,915,000,000; FY 10 est \$2,208,276,000; FY 11 est \$2,435,938,000

Range and Average of Financial Assistance:

\$200,000 to \$11,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For information, see www.hrsa.gov. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Headquarters Program Office: Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Eastern Division: (301) 594-4488; Central Mid-Atlantic Division: (301) 594-4420; Western Division: (301) 480-1130.

Headquarters Office:

Bureau of Primary Health Care, 5600 Fishers Lane, Room 17-105, Rockville, Maryland 20857 Phone: (301) 594-4110.

Website Address:

<http://www.hrsa.gov> .

RELATED PROGRAMS:

93.129 Technical and Non-Financial Assistance to Health Centers

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are available in the funding opportunity guidance document. In addition, all applicants are expected to demonstrate compliance with applicable requirements including the Health Center Program Expectations. In addition to these general requirements, there are specific requirements and expectations for applicants requesting funding under each of the section 330 programs. Applicants are strongly encouraged to demonstrate compliance with these requirements and expectations in the application. Applicants requesting funding under multiple programs are expected to demonstrate compliance with the expectations and requirements of all of those programs.

93.225 NATIONAL RESEARCH SERVICE AWARDS_HEALTH SERVICES RESEARCH TRAINING

FEDERAL AGENCY:

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C 487.

OBJECTIVES:

To provide predoctoral and postdoctoral training opportunities in health services research. Individual fellowships will be awarded directly to applicants for postdoctoral research training. Domestic institutions will also be awarded grants to enhance predoctoral and postdoctoral training programs and provide support to trainees selected by them. The purpose of these awards is to provide fellows and trainees with both academic training and actual experience in the application of research methods to the systematic analysis and evaluation of the organization, financing, utilization, and delivery of health care services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Fellowship support is available for full-time health services research training. An institutional allowance is provided to the sponsoring institution for each fellow. Also, research training grants are awarded to institutions to provide support to trainees selected by the training program director at the grantee institution. This support is available for predoctoral and postdoctoral trainees. Offsets for tuition and fees are allowable for trainees, and an amount for training related expenses will be provided. Facilities and administrative costs may be requested at 8 percent of allowable direct costs (less tuition and fees), or the negotiated facilities and administrative cost rate, whichever is less. Postdoctoral fellows and trainees in the first 12 months of postdoctoral NRSA support incur one month of payback obligation for each month of support. The thirteenth and subsequent months of postdoctoral NRSA support are considered payback service for prior postdoctoral support. Predoctoral fellows and trainees do not incur a service payback obligation. Discretionary funds are available.

Applicant Eligibility:

Domestic public or private nonprofit organizations or institutions may apply for training grants. The applicant institutions must have or expand training programs designed to develop competent investigators in the methods and techniques of conducting health services research. State or local governments and U.S. Territories are eligible. Profit-making organizations are not eligible. Individual applicants for postdoctoral fellowships must have received a clinical or research doctoral degree. All persons supported as fellows or trainees must be citizens or noncitizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility:

Individuals and public or private nonprofit organizations or institutions, including State or local governments and U.S. Territories, are the beneficiaries of this program.

Credentials/Documentation:

An individual applicant for a postdoctoral fellowship must document receipt of a doctoral degree and acceptance by an appropriate institutional sponsor. Institutional applicants for training grants must document their research and training experiences, staff, curriculum, facilities, and other resources available. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information about health services research areas being supported is available from AHRQ. Individual fellowship applicants and institutions should submit Form SF 424 R&R. For State agencies, no State Plan is required. For State and local governments, the standard forms, as furnished

by PHS and required by 45 CFR, Part 92, may be used. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a Notice of Award is issued. Before receiving support, all individual fellows and institutional postdoctoral trainees must submit required forms, including a payback agreement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Contact Headquarters Office for deadline dates for Institutional Training Grants. Individual applications for postdoctoral fellowships are due: April 8, August 8, and December 8.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a grant beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Generally, no individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination of support from institutional and individual awards.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Fellowship support at the postdoctoral level is generally limited to 3 years and at the predoctoral level to 5 years. Institutional training grants may be awarded for project periods up to 5 years. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request system. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Grantees must submit quarterly SF272 reports to PSC. Annual and final progress reports are required. For Institutional Training Grants, a final progress report is due at the close of the project period. Annual reports of activities for tracking payback provisions are required of all fellows and trainees upon termination of support. Annual Financial Status Reports are also required for Institutional National Research Service Awards. These Financial Status Reports must be submitted within 90 days after the close of each budget period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants records are subject to audit and inspection by Federal auditors.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved. Records relating to individual payback obligations are retained for one year after the individual has fulfilled or has been excused from fulfilling the payback obligation.

Account Identification:

75-1700-0-1-552.

Obligations:

(Training) FY 09 \$7,902,000; FY 10 est \$7,827,000; FY 11 est \$7,827,000

Range and Average of Financial Assistance:

(Individuals) \$35,000 to \$65,000; \$45,000 average. (Institutions) \$250,000 to \$500,000; \$350,000 average. These figures are total costs.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 7 individual fellowships and 28 institutional training grants were awarded. Fiscal Year 2010: In fiscal year 2010, it is estimated that 6-9 individual fellowships and 28 institutional training grants will be awarded. Fiscal Year 2011: In fiscal year 2011 it is estimated that 6-9 individual fellowships and 28 institutional training grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 42 CFR Part 66; HHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Shelley Benjamin 540 Gaither Road, Rockville, Maryland 20850 Phone: 301-427-1528

Website Address:

<http://www.ahrq.gov>.

RELATED PROGRAMS:

93.226 Research on Healthcare Costs, Quality and Outcomes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: see <http://www.ahrq.gov/fund/training/t32.htm>. Fiscal Year 2010: see <http://www.ahrq.gov/fund/training/t32.htm>. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For Individual fellowship applications, the following review criteria will be used: (1) The applicant's past academic and research accomplishments; (2) research goals; (3) research training proposed; (4) qualifications of the sponsor; (5) appropriateness of training environment; and (6) reference reports. For Institutional training grant applications, the following review criteria will be used: (1) goals of the proposed training and probability of achievement; (2) substantive content, including courses offered; (3) qualifications and responsibilities of program director and faculty; (4) ability to recruit trainees; (5) extent of institutional participation through provision of necessary space, curriculum time, financial support, and appropriate facilities; (6) documented cooperation of related agencies in providing research experience; (7) proposed number of students; (8) methods for monitoring and evaluating trainees; and (9) reasonableness of budget. In all cases, AHRQ will consider the recommendations of the review committee, the Council program interests, and availability of funds in making the final selection of grantees.

93.226 RESEARCH ON HEALTHCARE COSTS, QUALITY AND OUTCOMES**FEDERAL AGENCY:**

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IX, 42 U.S.C 299 ff.

OBJECTIVES:

To support research and evaluations, demonstration projects, research networks, and multidisciplinary centers and to disseminate information on health care and on systems for the delivery of such care involving: (1) The quality, effectiveness, efficiency, appropriateness and value of health care services; (2) quality measurement and improvement; (3) the outcomes, cost, cost-effectiveness, and use of health care services and access to such services; (4) clinical practice, including primary care and practice-oriented research; (5) health care technologies, facilities and equipment; (6) health care costs,

productivity, organization, and market forces; (7) health promotion and disease prevention, including clinical preventive services; (8) health statistics, surveys, database development, and epidemiology; and (9) medical liability. In support of this research, the Agency has a special interest in health care and its delivery in the inner city, in rural areas, and for priority populations (low-income groups, minority groups, women, children, the elderly, and individuals with special health care needs).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The research should contribute to the health services knowledge base from which empirically based information can be derived by policymakers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs (also referred to as facilities and administrative costs). Unallowable costs are indicated in the HHS Grants Policy Statement. Discretionary funds are available.

Applicant Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, U.S. Territories, sponsored organizations, non-government organizations, minority groups, specialized groups, public or private institutions of higher education, and other public or nonprofit private agencies, institutions, or organizations. Research project grants may also be awarded to individuals. For-profit organizations are eligible to apply for these grants only if "cooperative agreement" is the designated funding mechanism. Organizations described in section 501(c)4 of the Internal Revenue Code that engage in lobbying are not eligible.

Beneficiary Eligibility:

Federal, State or local government agencies, federally-recognized Indian Tribal Governments, public or private nonprofit institutions, U.S. territories, Native American organizations, sponsored organizations, consumers, students, minority groups, specialized groups, health or education professionals, individuals, scientist/researchers, and the general public.

Credentials/Documentation:

Nonprofit organizations must submit proof of their nonprofit status when applying for grants. Costs will be determined in accordance with DHHS Regulations 45 CFR 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in response to a Funding Opportunity Announcement (FOA). All FOAs are posted on www.Grants.gov. Refer to the FOA of interest for specific requirements regarding the application procedure as AHRQ is in the process of transitioning to the new SF-424 Research and Related (R&R) grant application form and to electronic submission through www.Grants.gov for competing awards. For FOAs that have not yet been transitioned to electronic submission through www.Grants.gov, nongovernmental applicants should submit using Form PHS-398 (Rev. November 2007); State and local governments may submit using the standard application forms, as furnished by PHS and required by 45 CFR Part 92. For State agencies, no State Plan is required. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. This program is subject to the provisions of 45 CFR Part 92 for State and local governments.

Award Procedure:

Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. AHRQ considers the recommendations of the review committee, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a Notice of Award is

issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be approved for project periods of up to 5 years, depending on the grant program. Refer to the FOA of interest for information regarding the maximum duration of the project period. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Grantees must submit quarterly SF272 reports to PSC. Progress reports are required on an annual basis. A final progress report must be submitted within 90 days after the end of a project. Inventions are to be reported immediately. A Final Invention Statement must be submitted within 90 days after the end of a project. A Financial Status Report (FSR) must be submitted within 90 days after the end of each budget period. A final FSR must be submitted within 90 days after the end of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved.

Account Identification:

75-1700-0-1-552.

Obligations:

(Project Grants) FY 09 \$91,544,000; FY 10 est \$128,077,000; FY 11 est \$198,515,000

Range and Average of Financial Assistance:

\$2,900 to \$2,327,805; \$272,805 average. These are total cost figures (direct costs plus associated facilities and administrative costs, if appropriate).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 379 grants were provided to similar organizations for the support of extramural health services research and demonstration and dissemination projects. Fiscal Year 2010: it is estimated that approximately 433 grants will be provided to similar organizations for the support of extramural health services research and demonstration and dissemination projects. Fiscal Year 2011: it is estimated that approximately 362 grants will be provided to

similar organizations for the support of extramural health services research and demonstration and dissemination projects.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 67, Regulations for Grants for Health Services Research, Evaluation, Demonstration, and Dissemination Projects as amended by Public Law 106-129; HHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

George Gardner 540 Gaither Road, Rockville, Maryland 20850 Phone: 301-427-1447

Website Address:

<http://www.ahrq.gov>.

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 2009 AHRQ funded: new grants related to Patient-Centered Health Research; noncompeting support focusing on Optimizing Care for Complex Patients; noncompeting support focusing on Utilizing Health IT to Improve Health Care Quality; new and noncompeting grants focusing on patient safety research; noncompeting support for Centers for Education and Research on Therapeutics; noncompeting support for Consumer Assessment of Healthcare Providers and Systems (CAHPS); and new and noncompeting support for investigator-initiated health services research. Fiscal Year 2010: In FY 2010 it is anticipated that AHRQ will fund: noncompeting grant support for Patient-Centered Health Research; new grants related to the Transformation of Primary Care; noncompeting support focusing on Utilizing Health IT to Improve Health Care Quality and other Health Information Technology research; new and noncompeting grants focusing on patient safety research; new grants focusing on Healthcare-Associated Infections; new grants looking at Medical Malpractice; noncompeting support for Centers for Education and Research on Therapeutics; noncompeting support for Consumer Assessment of Healthcare Providers and Systems (CAHPS); and new and noncompeting support for investigator-initiated health services research. Fiscal Year 2011: In FY 2011 it is anticipated that AHRQ will support: noncompeting grant support for Patient-Centered Health Research; noncompeting grants related to the Transformation of Primary Care; new grants related to Health Information Technology research; new and noncompeting grants focusing on patient safety research; new grants focusing on Healthcare-Associated Infections; noncompeting support for Consumer Assessment of Healthcare Providers and Systems (CAHPS); and new and noncompeting support for investigator-initiated health services research.

CRITERIA FOR SELECTING PROPOSALS:

The proposals must first be reviewed by review groups of peers and recommended for approval on the basis of scientific and technical merit. This includes consideration of the qualifications of the principal investigator and staff to conduct the research using appropriate methodology and budget. Those approved proposals which are most relevant to the identified program priority issues of the AHRQ are funded to the extent that funds are available.

93.228 INDIAN HEALTH SERVICE_HEALTH MANAGEMENT DEVELOPMENT PROGRAM

(Indian Health)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Law 83-568, 42 U.S.C. 2001-2004a; Indian Self-Determination and Education Assistance Act, Section 104 (b), Public Law 93-638, 25 U.S.C. 450, Section 9, Public Law 98-250; Public Law 100 - 472.

OBJECTIVES:

To improve the quality of the health of American Indians and Alaska Natives by providing a full range of curative, preventive and rehabilitative health services; and to improve the management capability of American Indians and

Alaska Natives to assume operation of all or part of an existing Indian Health Service (IHS) direct-operated health care program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The assistance is used for four types of management related projects: (1) Feasibility Studies; (2) Planning; (3) Tribal Health Management Structure Development; and (4) Evaluation. Tribal management grants may not be used to support operational programs, or to supplant existing public and private resources. This assistance is available to Federally-recognized Indian Tribes and Tribal-sanctioned Tribal organizations.

Applicant Eligibility:

Federally-recognized Tribes and Tribally-sanctioned Tribal organizations.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant Tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as Tribal membership, enrollment, residence on tax exempt land, ownership of restricted property, active participation in Tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of Native Indian or Alaskan descent is required for direct services. Provisions of grants under Public Law 93-638 and its amendments require Tribal endorsement in the form of a Resolution to sanction Tribal participation. Costs will be determined in accordance with OMB Circular No. A-122 for Nonprofit Organizations as implemented through program regulations 42 CFR 36 and applicable grant administration regulations 45 CFR, Part 92, (45 CFR 74 for nonprofit organizations). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The applicant package may be found in Grants.gov. or at http://www.ihs.gov/NonMedicalPrograms/gogp/gogp_funding.asp. Information regarding the electronic application process may be directed to Michelle G. Bulls, Grants Policy Officer at (301) 443-6528. Applications under Public Law 93-638 to staff and manage health services and facilities may be submitted to respective IHS Area Office. Tribal Management Program is processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR 92 for Indian Tribes and OMB Circular No. A-110, if applicant is a nonprofit Tribal organization.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by Headquarters.

Deadlines:

Jun 22, 2009 For Public Law 93-638 grants applications must be submitted 90 days prior to the proposed project start date. Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. 90 days. Grants are approved/disapproved within ninety (90) days from receipt of application/proposal in IHS Headquarters.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Renewal of grants is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 days after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Management Operations 90 days after the end of each budget period. A SF269 Financial Status Report will be due to the Division of Grants Management Operations 90 days after the end of each budget period. IHS grants are monitored by the Division of Grants Management Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 09 \$2,586,000; FY 10 est \$2,586,000; FY 11 est \$2,669,000

Range and Average of Financial Assistance:

\$50,000 - \$100,000 Range; \$100,000 Average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 30 Awards were funded. Fiscal Year 2010: 27 Awards will be funded. Fiscal Year 2011: Approximately 28 awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36; 45 CFR 92 and 45 CFR 74, HHS Grants Policy Statement, (Rev.) January, 2007; Program Guidelines for Competitive Projects.

Regional or Local Office:

None. Program - Ms. Patricia J. Spotted Horse, Program Analyst, Tribal Management Grant Program, Indian Health Service, 801 Thompson Avenue, Suite 220, Rockville, MD 20852, Phone: (301) 443-1104; Grants - Ms. Tammy Bagley, Grants Policy Office, 12300 Twinbrook Parkway, Suite 360, Rockville MD 20852, phone: (301) 443-5204.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov/nonmedicalprograms/tmg/index.asp>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.231 EPIDEMIOLOGY COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437, 25 U.S.C 1621m.

OBJECTIVES:

To develop epidemiology centers and public health infrastructure through the augmentation of existing programs with expertise in epidemiology and a history of regional support. Activities should include, but not be limited to, development of surveillance for disease conditions, epidemiological analysis, interpretation, and dissemination of surveillance data, investigation of disease outbreaks, development and implementation of epidemiological studies, development and implementation of disease control and prevention programs, and coordination of activities with other public health authorities in the region. Proposed activities which cover large population and/or geographical areas that do not necessarily correspond with current Indian Health Service (IHS) administrative areas are encouraged.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used to develop and conduct activities to achieve at least one epidemiology programs in each of the 12 Areas of Indian country. The recipient activities will coordinate and participate in projects, investigations, or studies of national scope; and share surveillance and other data collected. IHS activities will convene workshops/meetings; provide technical assistance and consultation; provide training; conduct site visits; and coordinate all epidemiological activities on a national basis. Funds will be used to support activities that are directly related to the grant project. All indirect costs will be issued based on the indirect cost rate agreement that is negotiated by the recipient, as appropriate. 100% are discretionary funds.

Applicant Eligibility:

Any federally recognized Indian tribe or tribal organization, as defined in Section 4(d) and 4(e) of the Indian Health Care Improvement Act, Public Law 94-437, as amended. An intertribal consortia or Indian organization, if it is incorporated for the primary purpose of improving Indian health, and it is representative of the tribes or urban Indian communities in which it is located. An urban Indian organization is defined as a nonprofit corporate body situated in an urban center eligible for services under Title V of the Indian Health Care Improvement Act, Public Law 94-437, as amended.

Beneficiary Eligibility:

American Indians and Alaska Natives will benefit.

Credentials/Documentation:

The applicant must provide documentation of: (1) Nonprofit status; (2) tribal resolution(s); and (3) letters of support and collaboration with regional IHS, State, or university organizations. Costs will be determined in accordance with OMB Circular No. A-122 (nonprofit organizations), and applicable grant administration regulations 45 CFR 74 and 45 CFR 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact

Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). Waivers from the electronic process must be made at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

These awards are issued under a competitive grant process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative agreements will be awarded for project periods of up to 3 years. Within the project period, a continuation application must be submitted via grants.gov annually on a non-competitive basis for each year of support. Additional support is subject to availability of funds. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division Of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Operations 90 after the end of each budget period. IHS grants are monitored by the Division of Grants Management Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 09 \$6,000,000; FY 10 est \$6,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

\$399,500 to \$540,000; \$469,750.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 94-437, Section 214(a)(1), as amended by Public Law 102-573; 45 CFR 92 and 45 CFR 74; authorizes the cooperative agreement grant awards. HHS Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: Dr. James Cheek; Division of Epidemiology and Disease Prevention, Indian Health Service, Headquarters West, 5300 Homestead Road, NE; Albuquerque, New Mexico 87110; Telephone: (505) 248-4132. For Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service; 801 Thompson Avenue, TMP, Suite 360; Rockville, Maryland 20852; Telephone: (301) 443-5204. (Telephone numbers are not toll-free).

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Selection criteria are introduction, current capacity and project objectives, approach and results and benefits, project evaluation, organization capabilities and qualifications, and budget. Consideration will be given to applicants: (1) Proposing to provide services to large regions consisting of more than a single IHS administrative area; and (2) demonstrating evidence of past and current epidemiological activities.

93.232 LOAN REPAYMENT PROGRAM FOR GENERAL RESEARCH

(NIH General Research Loan Repayment Program; GR-LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part VI, Section 487C, Public Law 100-43, 42 U.S.C 288-3.

OBJECTIVES:

To recruit and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in laboratory or clinical research as employees of the NIH for a minimum 3-year period.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in laboratory or clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 3 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts for 1-year terms are available, dependent upon the level of debt and continued involvement in research that supports the research and institutional needs and the mission of the NIH. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold."

An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (4) be appointed to the NIH Intramural Research Program under any temporary or permanent employment mechanism, so long as the employment has the potential to last a minimum of three years; (5) not be under any existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (6) submit an application to participate in the Loan Repayment Program for General Research; and (7) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in research as an employee of the NIH for a minimum of 3 years.

Beneficiary Eligibility:

NIH researchers who possess substantial unpaid educational debt relative to income will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from government or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during the period in which the debt was incurred; and (3) other documentation as may be required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. The approximate time for approval/disapproval is 3 months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 90 to 120 days. At the conclusion of the initial 3-year contract, participants may apply and be considered for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 3-year period. One-year continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 3 years. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or
2. an audit that meets the requirements of OMB Circular No. A-133.

In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable

access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 09 \$4,972,327; FY 10 est \$4,767,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

(Loan Repayment) For initial 3-year contracts, loan repayment awards may range from \$6,000 to \$105,000; Tax reimbursements range from \$2,966 to \$51,896. The average contract cost which includes loan and tax reimbursement is \$102,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: NIH projects that 80 awards will be made in fiscal year 2010. Fiscal Year 2011: NIH projects that 80 awards will be made in fiscal year 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487C of the Public Health Service Act (42 U.S.C. 288-3); Public Law 105-392; and Federal Register, Volume 59, No. 201, October 19, 1994. Notice of Proposed Rulemaking is pending.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education
National Institutes of Health
U.S. Department of Health and Human Services
Building 2, Room 2E18
2 Center Drive
, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov Phone:
3014021283 Fax: 3014802942

Website Address:

www.lrp.nih.gov.

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Please see the website, www.lrp.nih.gov. Fiscal Year 2010: Please see the website, www.lrp.nih.gov. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH General Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified research and has qualifying educational debt. LRC approval, in part, is based upon the scientific merit of the research, its priority to the research institutes, and the credentials of the applicant.

**93.233 NATIONAL CENTER ON SLEEP DISORDERS RESEARCH
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

NIH Reauthorization Act of 1993, Public Health Service Act, Section 503: Subpart 2, Part C, Title IV, Section 424, 42 U.S.C. 285b et seq.

OBJECTIVES:

The purpose of the Center is to support research, training, health information dissemination and other activities with respect to sleep and sleep disorders, including biological and circadian rhythm research, basic understanding of sleep, chronobiological and other sleep related research; and to coordinate the

activities of the Center with related activities of other Federal agencies, public and nonprofit organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research, as well as institutions or companies organized for profit, may apply for grants with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Effective for the December 1,

2005 submission date and beyond, all SBIR and STTR Phase I, Phase II, and Fast-Track grant applications must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 (R&R) forms and Application Instruction Guide. Complete SBIR and STTR application instructions, including requirements for electronic submission, can be found at <http://grants.nih.gov/grants/funding/sbir.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research grant applications are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual NRSA applications may be obtained from and submitted to the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. For some special grant programs, applicants may be advised to submit directly to the Office of Scientific Review, Division of Extramural Research Activities, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Dec 01, 2006 New Research Grants: February 5, June 5, and October 5 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplements: March 5, July 5, and November 5. Individual NRSAs: April 8, August 8, and December 8. Individual NRSA: January 25, May 25, and September 25. SBIR/STTR Grants: April 5, August 5, and December 5.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH grants information page <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or
 2. an audit that meets the requirements of OMB Circular No. A-133.
- In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and

copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 09 \$44,823,936; FY 10 est \$46,034,182; FY 11 est \$47,415,208 - In fiscal year 2009, 113 research grants and National Research Service Awards were made. The estimates of fiscal year 2010 are 106 research grants and 8 National Research Service awards. The estimates for fiscal year 2011 are 110 research grants and 9 National Research Service Awards. In fiscal year 2009, for new and competing renewal awards: 203 grant applications were received, and of these, 22 were awarded; 12 National Research Service Award applications were received, and of these, 1 was awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2009, 4 Phase I awards, and 1 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$26,537 to \$2,312,166; \$430,999. SBIR/STTR: Phase I - \$100,000; Phase II - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Since Sleep Disorders Research Program is now intergrated into the Cardiovascular, Lung and Blood Diseases Research Programs, all fundings related to sleep research have already been reported under the respective programs.

Headquarters Office:

Roy L. White, 6701 Rockledge Drive, Rm 7176, MSC7924, Bethesda, Maryland 20817 Email: whiter@nhlbi.nih.gov Phone: (301) 435-0310.

Website Address:

<http://www.nhlbi.nih.gov/sleep>.

RELATED PROGRAMS:

93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.234 TRAUMATIC BRAIN INJURY STATE DEMONSTRATION GRANT PROGRAM

TBI

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 1252, as amended, Public Law 104-166, 42 U.S.C. 300d-52.

OBJECTIVES:

To improve access to health and other services for individuals with TBI and their families.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project grants to States to improve access to health and other TBI-related services for people of all ages are established within HRSA. Any State seeking TBI grant funds is required to agree to establish or have an advisory board within the appropriate health department of the State or within another

department as designated by the Chief Executive Officer of the State. The Board's composition as specified must include representatives of the involved State agencies; public and nonprofit private health related organizations; disability advisory or planning groups; members of an organization or foundation representing individuals with TBI; State and local injury control programs if they exist, and a substantial number of individuals with TBI and/or their family members. The grants are called "Implementation Partnership Grants." These grants are to be used in building a statewide system to assure access to comprehensive and coordinated TBI services. Grants can address a wide range of activities and should reflect gaps or needed system change identified through the statewide TBI needs assessment.

Applicant Eligibility:

Only State governments are eligible to apply for funding under the TBI grant program. The application for Implementation Partnership funds may only come from the State agency designated as the lead for TBI services. The State maternal and child health program is expected to be involved in the program. Only one application from each State may enter the review process and be considered for an award under this program.

Beneficiary Eligibility:

Individuals with TBI and their families, including those in high risk groups, such as children and youth, the elderly, Native Americans and Alaska natives, military service members and veterans.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 3 to 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

No renewals; grants are approved for up to four years for Implementation Grants.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 50%. States must make available nonfederal cash or in-kind matching funds in an amount that is not less than \$1 for each \$2 of Federal funds provided under the grant.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are for 1, 2, 3, or 4 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports are required. Federal financial report cash transaction report. Refer to the funding opportunity announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 4 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 4-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 4-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$6,050,836; FY 10 est \$6,110,994; FY 11 est \$5,219,729

Range and Average of Financial Assistance:

Up to \$250,000; average is approximately \$248,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

See Regional Agency Offices. LT Donelle McKenna, Project Director, Division of Services for Children with Special Health Care Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-18, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2370, E-mail: jmartin-heppel@hrsa.gov.

Headquarters Office:

LT Donelle McKenna, Room 18A-18, 5600 Fishers Lane, Rockville, Maryland 20857 Email: jmartin-heppel@hrsa.gov Phone: (301) 443-2370.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.127 Emergency Medical Services for Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: Two projects funded at \$100,000; 18 projects funded at \$250,000. Fiscal Year 2010: Year 2010: Two projects funded at \$100,000; 21 projects funded at \$250,000. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed by nonfederal consultants who make recommendations to the Secretary of the Department. The Secretary will

approve projects which best promote the purposes of TBI grants. Review criteria: The following review criteria apply to the State implementation grant: (1) the adequacy of the State's proposed method for linking its Statewide TBI Action Plan to the findings of the statewide needs assessment; (2) the extent to which the proposal reflects the involvement of necessary public/private organizations and agencies to assure a comprehensive approach and the necessary support to aid sustainability (3) the qualifications, experience, and commitment to the program as indicated by time that will be devoted to the program, of the designated lead person for TBI within the State; (4) the extent to which the proposed plan addresses those groups within the State that are at highest risk of sustaining a TBI, including, these groups, identified by the CDC: Children from 0-4, Adolescents from 15-19, the elderly, Native Americans, and other underserved populations. In addition, there should be a plan to assist veterans and service members and their families who request assistance. (5) reasonableness of the proposed budget, and effectiveness of the proposed plan to accomplish the goals and objectives the State has for its TBI program.

93.235 ABSTINENCE EDUCATION PROGRAM

Title V

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Title V, Section 510 of the Social Security Act.

OBJECTIVES:

To enable States to provide abstinence education, and at the option of the State, where appropriate, mentoring, counseling, and adult supervision to promote abstinence from sexual activity, with a focus on those groups which are most likely to bear children out-of-wedlock.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Pursuant to Section 510(b)(2) of Title V of the Social Security Act, the term "abstinence education" means an educational or motivational program that: (A) has as its exclusive purpose, teaching the social, psychological, and health gains to be realized by abstaining from sexual activity; (B) teaches abstinence from sexual activity outside marriage as the expected standard for all school age children; (C) teaches that abstinence from sexual activity is the only certain way to avoid out-of-wedlock pregnancy, sexually transmitted diseases, and other associated health problems; (D) teaches that a mutually faithful monogamous relationship in the context of marriage is the expected standard of human sexual activity; (E) teaches that sexual activity outside of the context of marriage is likely to have harmful psychological and physical effects; (F) teaches that bearing children out-of-wedlock is likely to have harmful consequences for the child, the child's parents, and society; (G) teaches young people how to reject sexual advances and how alcohol and drug use increases vulnerability to sexual advances; and (H) teaches the importance of attaining self-sufficiency before engaging in sexual activity.

Applicant Eligibility:

Funds are allotted to 59 States and Territories to enable them to carry out abstinence education programs. Eligible applicants include the 50 United States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Mariana Islands, Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

School-age girls and boys, adolescents, at-risk populations subject to out-of-wedlock births, parents, youth serving professionals and families.

Credentials/Documentation:

A signed letter from the authorized representative must accompany each application; it should include documentation establishing the authorized representative's authority to apply for and administer the Abstinence Education grant program funds on behalf of the State or Territory. OMB Circular No.

A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application procedures will be given in the Funding Opportunity Announcement (FOA).

Award Procedure:

ACF will recruit and train Federal staff and contractors to review State applications. Program administrators will review observations and comments of reviewers to assure they are in compliance with guidelines and Federal law. Applications will be rated Approved, Approved with Conditions, or Approval Pending. States that submit applications that are Approved will receive grant awards and will not need to send further information. States that submit applications that are Approved with Conditions" will receive grant awards with descriptions of weaknesses and subsequent conditions that must be met in order to receive Approved status. The applicants will be given a set time period to send to ACF a response that addresses the conditions. States that submit applications that are rated Approval Pending will receive a list of weaknesses cited by the reviewer and directions for submitting an amended application that addresses the weaknesses. The State will not receive a grant award until the State submits a satisfactory application. The applicants will be notified of a final due date for submission of a satisfactory application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 75%. There is a required match of 3 nonfederal dollars for every 4 federal dollars. For example, if a state's federal award is \$100,000, the State must provide a match of \$75,000, which would represent 43% of the total project cost of \$175,000 (counting both federal grant and state match). The match can be reached by use of state dollars, local government dollars, private dollars including foundation dollars, in-kind support, or any combination thereof. This program does not have MOE requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

In accordance with Section 503 of the Social Security Act, Any amount payable to a State under this title from allotments for a fiscal year which remains unobligated at the end of such year shall remain available to such State for obligation during the next fiscal year. For example, funds appropriated and allocated to the States in FY 2009 (starting October 1, 2008) are available for obligation and expenditures through September 30, 2010. Two-year budget periods are contingent upon Congressional re-authorization or the continued extension of the authorization and funding of the program. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

Progress reports and financial expenditure reports are required. The SF-272 is required. Specific guidance regarding data to be reported will be given in the program announcement. Program guidelines allow States to expend abstinence education funds over a two-year period. For FY 2009, the budget period is October 1, 2008 through September 30, 2010. An interim Financial Status Report (SF-269A) is due December 30, 2009, and a final fiscal report is due December 30, 2010. Performance monitoring occurs by reviewing program

progress reports, conducting monitoring phone calls and conducting monitoring site visits.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. As described in Title V, Section 506(b)(1) of the Social Security Act, each state shall, not less often than once every 2 years, audit its expenditures from amounts received under this title.

Records:

Records of the recipient shall be made available to the Secretary to secure an accurate description of the activities for which funds were spent in achieving the purposes of this section. States shall make available copies of reports and audits for public inspection within the State. Within 30 days following the completion of each audit report, the State shall submit a copy of that audit report to the Secretary.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 09 \$22,949,000; FY 10 est \$50,000,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

Range: \$57,057 - \$4,777,916

Average: \$847,458 (\$50 Million divided by 59 eligible States, Territories and the District of Columbia).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09 30 grants were funded. Fiscal Year 2010: It is anticipated that 59 grants will be awarded. Fiscal Year 2011: It is anticipated that 59 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Family and Youth Services Bureau central office for available literature. You may also get information on the Family and Youth Services Bureau website at the following address: <http://www.acf.hhs.gov/programs/fysb>

Regional or Local Office:

None.

Headquarters Office:

Debbie Powell, 2150 Maryland Ave., SW, Washington, District of Columbia 20447 Email: debbie.powell@acf.hhs.gov Phone: (202) 205-2360.

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.010 Community-Based Abstinence Education (CBAE) ; 93.092 Personal Responsibility Education Program; 93.111 Adolescent Family Life Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grantees implement a wide range of program types including: in-class abstinence education, after-school abstinence education, parent education, training for abstinence educators, mentoring, assemblies, community retreats, conferences and other activities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed for addressing all criteria given in the program announcement.

93.236 GRANTS FOR DENTAL PUBLIC HEALTH RESIDENCY TRAINING

Dental Public Health Residency Training (DPHRT) and Grants to States to Support Oral Health Workforce Activities (SSOHWAA)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

DPHRT: Public Health Service Act, Title VII, Section 768; Health Professions Education Partnerships Act of 1998, Public Law 105-392 SSOHWAA: Part D of Title III of the Public Health Service Act as amended, Subpart X, Primary Dental Programs, Section 340 G (42 U.S.C. 256g).

OBJECTIVES:

To plan and develop new residency training programs and to maintain or improve existing residency training programs in dental public health; and to provide financial assistance to residency trainees enrolled in such programs. To improve the accessibility of the oral health workforce for underserved geographic areas and populations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

DPHRT: Grants are intended to assist in meeting the costs of planning and developing new dental public health residency training programs and providing financial assistance to trainees enrolled in the programs. Grants may not be used for construction or for providing direct patient services. SSOHWAA: Grants are intended to assist activities in States that are geographically dispersed throughout the United States and support a group of projects that address the oral health workforce needs of underserved urban and rural populations. Grants funds may not be used to take the place of current funding for the activities. Grants may not be used for construction or for providing direct patient services.

Applicant Eligibility:

An entity that offers a Dental Public Health Training Program accredited by the American Dental Association Commission on Dental Accreditation, such as a School of Public Health or Dentistry, is eligible to apply. Governmental and nonprofit entities, including faith-based and community-based organizations, that meet other eligibility requirements, are eligible to apply. Each applicant must demonstrate that the institution has or will have available full-time faculty members with training and experience in the field of dental public health and support from other faculty members trained in public health and other relevant specialties and disciplines. Eligible applicants include Governor appointed State government entities. Each State is limited to only one application, and must address one or more of twelve activities for addressing oral health workforce needs as contained in the authorizing legislation and program guidance for applicants. Applicants must have significant experience with addressing oral health workforce issues in underserved populations.

Beneficiary Eligibility:

An entity that offers a Dental Public Health Training Program accredited by the American Dental Association Commission on Dental Accreditation, such as a School of Public Health or Dentistry, is eligible to apply. Governmental and nonprofit entities, including faith-based and community-based organizations, that meet other eligibility requirements, are eligible to apply. Beneficiaries include Governor appointed State government entities. It is anticipated the oral health workforce also will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit

the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of application.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to 3 years of support.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 40%. DPHRT: None. SSOHWA: an entity that receives a grant under this program must contribute non-Federal funds to activities carried out under this grant to an amount equal to at least 40 percent of the Federal funding in support of the project. Matching funds may be a combination of in-kind contributions, fairly valued, and any other funding from State, local, community, or other organization sources. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A progress report must be submitted for the second or subsequent budget period within the approved project period for continuation funding. Financial status reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period. Refer to the funding opportunities announcement. A progress report must be submitted for the second or subsequent budget period within the approved project period for continuation funding. A final progress report and financial report must be submitted within 90 days after the end of the project period. Refer to the funding. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$282,910; FY 10 est \$289,910; FY 11 est \$282,910 - DPHRT:

Past Fiscal Year: 2009 actual _____\$282,910_____

Current Fiscal Year: 2010 estimate _____289,910_____

Budget Fiscal Year: 2011 estimate _____\$282,910_____. (Salaries) FY 09 \$10,000,000; FY 10 est \$17,500,000; FY 11 est \$17,500,000 - SSOHWA:

Past Fiscal Year: 2009 actual _____\$10,000,000_____

Current Fiscal Year: 2010 estimate _____\$17,500,000_____

Budget Fiscal Year: 2011 estimate _____\$17,500,000_____.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Past Fiscal Year: 2009

DPHRT: three awards were made. SSOHWA: 25 new awards were made. Fiscal Year 2010: Current Fiscal Year: 2010

DPHRT: three non-competing continuation awards are anticipated. SSOHWA: 25 non-competing continuation awards and 15 new awards are anticipated. Fiscal Year 2011: Budget Fiscal Year: 2011

DPHRT: three non-competing continuation awards are anticipated. SSOHWA: 40 non-competing continuation awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. DPHRT and SSOHWA: Shane Rogers, Program Officer, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9A-27, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-5260 and e-mail address: srogers@hrsa.gov.

Headquarters Office:

Shane Rogers Room 9A-27, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: 301) 443-5260

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: DPHRT: None noted. SSOHWA: The 25 projects awarded in FY 09 incorporated one or more of the following thirteen oral health workforce activities: (1) loan forgiveness and repayment programs for dentists who serve in dental health professions shortage areas and who agree to provide services to patients regardless of the patient's ability to pay; (2) recruitment and retention efforts; (3) grants, low-interest and no-interest loans to help providers who participate in Medicaid program establish and expand dental practices; (4) establish or expand dental residency programs in States without a dental school; (5) programs to expand or establish oral health activities in dental health professional shortage areas such as community-based dental facilities, free standing dental clinics, mobile or portable dental clinics, and private dental services to enhance capacity through additional equipment or hours of operation; (6) placement and support of dental students, residents, and advanced dental trainees; (7) continuing dental education including distance-based

education; (8) practice support through teledentistry; (9) community-based prevention services such as water fluoridation and dental sealant programs; (10) coordination with education systems to promote children going into oral health or science professions; (11) establish faculty recruitment programs in dental training institutions noted for community outreach and serving the underserved; (12) develop or augment an existing state dental officer to coordinate State oral health and access issues; (13) other activities determined to be appropriate by the Secretary. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal consultant(s) for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedure above to obtain a copy of the application.

93.237 SPECIAL DIABETES PROGRAM FOR INDIANS_DIABETES PREVENTION AND TREATMENT PROJECTS

(Indian Health)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33; Consolidated Appropriation Act 2001, Public Law 106-554; SDPI Reauthorization of 2002 Public Law 107-360 (FY 2004 through FY 2008).; Medicare Improvements for Patients and Providers Act of 2008, Public Law 110-275.

OBJECTIVES:

To promote improved health care among American Indians/Alaska Natives through special diabetes prevention and treatment services with objectives and priorities determined at the local level. Extension of SDPI funds for FY 2010 and FY 2011 now includes a new grant application process that directs Community-Directed Diabetes Programs to identify and implement at least one Indian Health Diabetes Best Practice with defined goals, objectives and key measures based on community assessment and results of diabetes care and outcomes audit. The funding mechanism is a competitive grant program. An additional initiative located at CFDA #: 93-442 called the SDPI Demonstration Projects grant program continues the Demonstration Projects activities to implement and evaluate defined activities in two areas primary prevention of diabetes in people with prediabetes and prevention of cardiovascular disease in people with diabetes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

P.L. 110-275 funds (FY 2010 and FY 2011) to fund 1) competitive grant programs to implement primary, secondary, and tertiary diabetic prevention and treatment and related data collection and 2) competitive demonstration projects to implement and evaluate (a) primary prevention of diabetes in people with prediabetes and (b) prevention of cardiovascular disease in people with diabetes. The award amount will include both direct and indirect costs. Grant funds may not be used for any other purpose. Funding may not be used as matching funds for other Federal programs.

Applicant Eligibility:

The Public Health Service Act, as amended, states that the following groups are eligible to apply for grants: Indian Health Service (IHS) entities: Indian tribes or tribal organizations who operate an Indian Health program. This includes program under a contract, grant, cooperative agreement or compact with the IHS under the Indian Self-Determination Act; and Urban Indian organizations that operate an urban Indian Health program. This includes programs under a grant or contract with the IHS under Title V of the Indian Health Care Improvement Act.

Beneficiary Eligibility:

American Indians/Alaskan Natives will be the ultimate beneficiaries of the funded projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with Circular No. A-122, for nonprofit

organizations or. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). If you require additional assistance please contact Tammy Bagley, Grants Policy Officer at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of either 45 CFR 92 or 45 CFR 74, depending upon the type of applicant organization. Grant applications will be reviewed under an Objective Review process. Applications will be ranked. Grants will be awarded based on the results of the Objective Review process.

Award Procedure:

After review and approval, a notice of this grant award is prepared and processed along with the appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Funds are expected to be awarded no later than 45 days after complete applications are received. Awards could be delayed for applications not meeting initial approval.

Appeals:

From 60 to 90 days.

Renewals:

The 1997 BBA Public Law 105-33 project period is currently up to 5 years with non-competitive renewals projected annually. The HR 4577 Public Law 106-554 project period is projected to be 3 years with non-competitive renewals projected annually. The SDPI Reauthorization of 2002 Public Law 107-360 project period is up to 5 years with non-competitive grants and competitive grants renewals projected annually. The 2008 Public Law 110-275 is for 2 years extension of SDPI funds for FY 2010 and FY 2011.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The Public Law 105-33 project was limited to 5 years (total) with annual budget periods. The Public Law 106-554 project was limited to 3 years (total) with annual budget periods. The Public Law 107-360 project is limited to 5 years (total) with annual budget periods. The Public Law 110-275 project is limited to 2 years with annual budget periods (FY 2010 and FY 2011). Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 days after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Management Operations 90 days after the end of each budget period. IHS grants are monitored by the Division of Grants Management Operations for financial compliance and by the IHS Program Staff for programmatic

compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, reports, books, documents, papers, or other records of the grantee, contractor, or subcontractor, or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts, and transcripts. In accordance with 45 CFR 92 or 45 CFR 74, Subpart D, as applicable, grantees are required to maintain grant records for 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records have been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Project Grants) FY 09 \$112,300,000; FY 10 est \$112,300,000; FY 11 est \$112,300,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Continuation of grants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74; HHS Grants Policy Statement (Rev.) January, 2007.

Regional or Local Office:

None.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

IHS Division of Diabetes Treatment and Prevention:
<http://www.diabetes.ihs.gov>; IHS Division of Grants Operations and Grants Policy:
http://www.ihs.gov/NonMedicalPrograms/gogp/index.cfm?module=gogp_funding

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For P.L. 110-275 funds (from FY 2010 & FY 2011) all grant programs reapply for new grants through competition.

93.240 STATE CAPACITY BUILDING

(Site Specific Activities Cooperative Agreement Program)

FEDERAL AGENCY:

Agency for Toxic Substances and Disease Registry, Department of Health and Human Services

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, Sections 104(i)(1)(E), (4), (6), (7), (9), (14) and (15), as amended; Superfund Amendments and Reauthorization Act of 1986, 42 U.S.C. 9604; Resource Conservation and Recovery Act, Section 3109(b) and (c), as amended; Hazardous and Solid Waste Amendments of 1984, 42 U.S.C. 6939 (b) and (c).
. 42 U.S.C 6939 (b) and(c).

OBJECTIVES:

To fulfill the mandated objectives of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA) and the Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, in coordination with Agency for Toxic Substances and Disease Registry (ATSDR), by assisting public health agencies to build capacity to conduct (1) Health consultations, (2) public health assessments, (3) exposure investigations, (4) community involvement, (5) health education, and (6) public health studies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The uses are to strengthen State health agency environmental health programs. Services include public health assessments, consultations, exposure investigations, health education, and follow-up health investigations/studies. Funds may be expended for reasonable program purposes, such as personnel, travel, supplies and services. Funds for contractual services may be requested. However, the awardee, as the direct and primary recipient of PHS grant funds, must perform a substantive role in carrying out project activities and not merely serve as a conduit for an award to another party or provide funds to an ineligible party. Equipment may be purchased with cooperative agreement funds; however, the equipment must meet applicable Federal requirements. These funds may not be used by the recipient to conduct activities at any Federal site where the State is a party to litigation at the site.

Applicant Eligibility:

Eligible applicants are the official public health agencies of States or their bona fide agents or instrumentalities, to include the District of Columbia, American Samoa, the Commonwealth of Puerto Rico, the Virgin Islands, the Federated States of Micronesia, Guam, the Northern Mariana Islands, the Republic of the Marshall Islands, and the Republic of Palau, and the Federally- recognized Indian tribal governments.

Beneficiary Eligibility:

Beneficiaries are individuals and/or families living in communities near or in proximity of Superfund sites.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must use application Form PHS 5161-1. Application packets are available from: Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Rd., Room 3000, Mailstop K-75, Atlanta, GA 30341. By formal agreement, the CDC Procurement and Grants Office will act for and on behalf of ATSDR on this matter.

Award Procedure:

The Assistant Administrator, ATSDR, determines applications to be approved and the priorities for funding. When an application is approved for funding, the Grants Management Officer, CDC, acting as the agent for ATSDR, will prepare

a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 60 to 90 days.

Appeals:

Other - Not Specified.

Renewals:

From 90 to 120 days. Awards are made for project periods from 1 to 5 years. Renewal awards cannot be made beyond the project period without competition.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The annual awards are for a 12 month budget period within a 3 to 5 year project period. Noncompetitive continuation awards within the project period are made on the basis of satisfactory progress and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the Funding Opportunity for guidance. Cash reports are not applicable. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance. Refer to the Funding Opportunity for guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Detailed and accurate records of travel expenditures, personnel hours and all other costs will be retained for at least 10 years in accordance with EPS's "Superfund Financial Management and Recordkeeping Guidance for Federal Agencies". Such documents may be required to provide the basis of cost recovery actions or other litigation. Additionally, this documentation must be available for audit or verification upon request of the office of Inspector General.

Account Identification:

75-8252-0-1-551.

Obligations:

(Salaries) FY 09 \$9,400,000; FY 10 est \$8,000,000; FY 11 est \$8,000,000

Range and Average of Financial Assistance:

\$150,000 to \$350,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Caroline Gardner, 4770 Buford Hwy, NE, MS F61, , Atlanta, Georgia 30341-3717 Email: ctg8@cdc.gov Phone: (770) 488-0572.

Website Address:

<http://www.atsdr.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for core activities only were reviewed and evaluated based on the following criteria: (1) Proposed Program: (a) Merit scientific and technical merit of the proposed project to perform public health assessments, consultations, exposure investigations, health education and public health studies consistent with ATSDR guidance and in a timely manner. Applicant's ability to evaluate the public health impact of hazardous waste sites using health, environmental, and demographic data, and health-related concerns from the local community. (b) Requirements Applicant's understanding of the requirements, objectives, and complexities of the interactions required for a successful program. (c) Collaboration Applicant's plan to collaborate with political and private subdivisions of Federal, State, and local health and environmental agencies and community groups to obtain information needed for evaluating the public health impact of hazardous waste sites, disseminate results of findings, and prevent exposure if identified. (2) Program Personnel: The principal investigator or project director and his/her ability to devote time and effort to provide effective leadership, and the qualifications of the support staff. (3) Applicant Capability: Adequacy and commitment of institutional resources, facilities, space, and equipment necessary for conducting the project are available and sufficient. (4) Program Budget: Extent to which the budget is reasonable, clearly justified, and consistent with intended use of funds. The priority order for funding cooperative agreements was as follows: (a) Number of proposed and/or listed National Priority List (NPL) sites (Federal and nonfederal) based on the most current EPA list, (b) number of Comprehensive Environmental Response, Compensation, and Liability Information System (CERCLIS) sites (Federal and nonfederal) based on the most current EPA list; (c) applicants who applied for both Core Activities and optional Activities; and (d) geographic distribution across the United States. (5) Human Subjects: Applicant's plan for adequate protection of human subjects. Applications for conducting Core Plus Optional Activities were reviewed and evaluated based on the following criteria: Proposed Program: In addition to the criteria outlined above for Core Activities, the applicant had to indicate an understanding of and capability for conducting human health studies as contained in the proposed site-specific protocol which had to include: (a) The approach, feasibility, adequacy, and rationale for the proposed study design, (b) the technical merit of the proposed study, (c) the proposed timeline, including measurable objectives, (d) proposed method for disseminating the results of the study.

93.241 STATE RURAL HOSPITAL FLEXIBILITY PROGRAM

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Balanced Budget Act of 1997, Section 4201, Public Law 105-33. Reauthorized in 2008 in Public Law 110-275.

OBJECTIVES:

The State Rural Hospital Flexibility Program helps States work with rural communities and hospitals to develop and implement a rural health plan, designate critical access hospitals (CAHs), develop integrated networks of care, improve emergency medical services, provide support for quality improvement, quality reporting, performance improvements and benchmarking, and improve service and organizational performance..

The Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement and Information Services to Rural Hospital Flexibility Program Grantees provide technical assistance, support, and evaluation to the State Rural Hospital Flexibility Program grantees and Critical Access Hospitals.

The Rural Veterans Health Access Program provides funding to states to develop and strengthen networks and collaborations for health care organizations to meet the needs of rural veterans targeting states with high percentages of veterans to the total population.

The Delta Health Initiative (DHI) Cooperative Agreement Program addresses longstanding unmet rural health needs (access to health care, health education,

research, job training, and capital improvements) of the Delta Region in the State of Mississippi.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects.

Applicant Eligibility:

States that have submitted a State Rural Health Plan to the Centers for Medicare and Medicaid Services (CMS) can apply. All other States need to submit an application to the Regional Administrator of their CMS Regional Office that expresses the State's interest in developing a Medicare Rural Hospital Flexibility Program before they can apply for grant funds.

Beneficiary Eligibility:

States with at least one hospital located in a non-metropolitan statistical area or county and provides CMS with necessary assurances.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 5 months.

Appeals:

Not Applicable.

Renewals:

The State Rural Hospital Flexibility Program awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Information Services to Rural Hospital Flexibility Program Grantees- awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

The Rural Veterans Health Access Program awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Delta Health Initiative Cooperative Agreement Program- awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. Funds are available to grantees on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A performance progress report is submitted with each noncompeting continuation application. A financial status report is to be submitted within 90 days after the close of the budget period. An annual data report is due within 30 days of the end of the budget period. A final program report is to be submitted within 90 days after the close of the project period. In addition, grantees are required to participate in a national program evaluation. No cash reports are required. Refer to funding opportunity announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$900,000; FY 11 est \$900,000 - These figures are for the Rural Veterans Health Access Program. (Project Grants) FY 09 \$500,000; FY 10 est \$600,000; FY 11 est \$500,000 - These figures are for the Information Services to Rural Hospital Flexibility Program Grantees. (Project Grants) FY 09 \$24,000,000; FY 10 est \$33,000,000; FY 11 est \$24,000,000 - These figures are for the Delta Health Initiative Cooperative Agreement Program. (Project Grants) FY 09 \$22,300,000; FY 10 est \$22,800,000; FY 11 est \$22,800,000 - These figures are for the The State Rural Hospital Flexibility Program. (Project Grants) FY 09 \$1,000,000; FY 10 est \$1,000,000; FY 11 est \$1,000,000 - These figures are for the Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement.

Range and Average of Financial Assistance:

The State Rural Hospital Flexibility Program- \$260,000 to \$650,000; \$490,000. Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement-\$1,000,000
Information Services to Rural Hospital Flexibility Program Grantees- \$500,000

Delta Health Initiative Cooperative Agreement Program- \$24,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The State Rural Hospital Flexibility Program- It is estimated that 45 awards will be made in FY 09 and FY 10.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement- It is estimated that 1 award will be made in FY 09 and FY 10.

Information Services to Rural Hospital Flexibility Program Grantees- It is estimated that 1 award will be made in FY 09 and FY 10.

Delta Health Initiative Cooperative Agreement Program- It is estimated that 1 award will be made in FY 09 and FY 10. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. The State Rural Hospital Flexibility Program Contact: Steve Hirsch, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 10B-45, Rockville, MD 20857. Telephone: (301) 443-0835.

Medicare Rural Hospital Flexibility Program Evaluation Cooperative Agreement- Program Contact: Iran Naqvi, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 10B-45, Rockville, MD 20857. Telephone: (301) 443-0835.

Information Services to Rural Hospital Flexibility Program Grantees- Program Contact: Steve Hirsch, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 10B-45, Rockville, MD 20857. Telephone: (301) 443-0835.

Delta Health Initiative Cooperative Agreement Program- Program Contact: Bridget Ware, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 10B-45, Rockville, MD 20857. Telephone: (301) 443-0835.

Rural Veterans Health Access Program Program Contact: Samantha Williams, Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane, Room 10B-45, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office (152):
Office of Rural Health Policy 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835.

Headquarters Office:

Office of Rural Health Policy, 5600 Fishers Lane, Room 9-A55, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters for selection criteria.

93.242 MENTAL HEALTH RESEARCH GRANTS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 2000; Public Health Service Act, Title III, Section 301, 42 U.S.C 241.

OBJECTIVES:

To support research and research training to understand the biological, psychological, and functional changes that are involved in the causes and course of mental illness; to hasten the translation of science advances into innovations in clinical care; to conduct studies of the risk factors for major psychiatric disorders; to conduct clinical neuroscience studies to elucidate causes and functional effects of these disorders; to conduct research on psychosocial, pharmacological, and somatic treatment development; to support research and research training with the ultimate goal of preventing and curing mental disorders that originate in childhood and adolescence; to conduct research across basic behavioral/psychological processes, environmental processes, brain development, genetics, developmental psychopathology, and therapeutic interventions; to translate knowledge from basic science to discover the developmental origins of mental disorders and effect their prevention and cure. To provide support for integration of research on neurobehavioral mechanisms of psychopathology; understanding of the trajectories of risk/illness; and the design and testing of innovative and personalized treatments. To support research in the areas of basic neuroscience, genetics, basic behavioral science, research training, resource development, technology development, drug discovery, and research dissemination; to support studies that will generate relevant basic science knowledge which can then be utilized to improve diagnosis, treatment, and prevention of mental and behavioral disorders; to support research to evaluate the effectiveness of pharmacologic, psychosocial, rehabilitative, and combination interventions on mental and behavior disorders; to evaluate interventions for children, adolescents, and adults, focusing on acute and long-term therapeutic effects; to conduct mental health services research, including studies of services organization and delivery; interventions to improve the quality and outcomes of care; and research on the dissemination and implementation of evidence-based interventions into service settings; to develop and disseminate behavioral interventions that prevent HIV/AIDS transmission; to clarify the biological, psychological, and functional mental health effects of HIV/AIDS infection and alleviate the associated consequences. Research supported by the National Institute of Mental Health may employ theoretical, laboratory, clinical, epidemiological, and field studies. Studies may involve individuals with a mental disorder diagnosis, individuals with symptom levels that do not meet diagnostic thresholds, and healthy individuals of all ages. Research also may involve animal, computational, and mathematical models appropriate to the system being investigated and the state of the field. Areas eligible for research support are: basic and clinical neuroscience; genetics; geriatrics research; adult psychopathology; experimental therapeutics; developmental, behavioral, clinical, and epidemiological research relevant to mental disorders, behavioral disorders, and HIV/AIDS, in terms of the causes, prevention, treatment, and service delivery; basic (brain and behavior), clinical, and services research on the mental health problems of women, minorities, and rural populations; and research designed to reduce and eliminate mental health disparities both within and outside the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects by a named investigator in an area representing his/her specific interests and competencies. (2) Exploratory/Development and Clinical Exploratory/Developmental Grants encourage the development of new research activities in categorical program areas; (3) Small Research Grants provide research support specifically limited in time and amount for studies in categorical program areas; (4) Collaborative Clinical Research Grants support evaluations of various methods of therapy and/or prevention; (5) Program Project and Center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; and (6) Cooperative Agreements support single or a group of related research activities with greater involvement of federal staff. Standard

small grants are limited to \$50,000 direct costs for a period of 2 years or less, while other small grants are for less time and funds. SBIR and STTR grants are awarded in two stages: Phase I grants are awarded to establish the technical merit and feasibility of a proposed research and development effort; only Phase I awardees are eligible to receive Phase II support.

Applicant Eligibility:

Public, private, profit, or nonprofit agencies (including State and local government agencies), eligible Federal agencies, universities, colleges, hospitals, and academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses, and STTR grants can be awarded only to domestic small businesses which "partner" with a research institution in cooperative research and development. For further definitions, requirements, and restrictions see the SBIR and STTR Omnibus Solicitations of the NIH, CDC, and FDA for SBIR and STTR Grant Applications.

Beneficiary Eligibility:

Public, private, profit, or nonprofit organizations.

Credentials/Documentation:

For-profit organizations' costs will be determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For all other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Instructions for electronic submission may be found with the federal SF424 (R&R) form packages that are found in the SBIR/STTR funding opportunity announcements. Instructions are provided for SBIR Phase I and Phase II awards, respectively; as well as for STTR Phase I and Phase II awards, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Most applications must be prepared and submitted electronically. Most applicants use the SF 424 (Research & Related) for electronic submission. Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). Some institutions may submit the grant data directly (using what is called a system-to-system transfer). For further details, see grants.gov or eRA Commons. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the NIH Guide to Grants and Contracts and the Grants.gov sites (see URLs listed above). All SBIR and STTR applications must be submitted electronically. The timeline for transition to electronic applications and the new forms may be found at: <http://era.nih.gov/ElectronicReceipt/>. For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Applications for the Resource-related Research Projects (R24) and Cooperative Agreement applications ("U" applications) must be prepared using the PHS 398 research grant application instructions and forms (Revised 11/2007). The PHS 398 is available at <http://grants1.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Consultation on a proposed project may be obtained from the NIMH branch or office responsible for the research area of interest. Applications are reviewed by principally nonfederal consultants recruited nationwide from the mental health field. The amounts of the award and period of support are determined on the basis of merit of the project and the nature of the grant mechanism. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge

Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR, Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR, Part 42.

Award Procedure:

All applications for research grants, cooperative agreements, SBIR and STTR grants are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Mental Health Council (excepting Small Grants). All competitive applications compete for available funds on the basis of scientific and technical merit, program relevance, and program balance. All SBIR and STTR applications receiving a priority score compete for set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Applications: From 240 to 270 days from submission of application. SBIR/STTR applications: About 7- 1/2 months; Mental Health Education Programs and Various/Small Grants: From 5 to 6 months. AIDS-related research is determined in 180 days.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH homepage <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Support is recommended for a specified project period, not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a new competing request. Small grants, exploratory, and dissertation grants are for 1-3 years (depending on program) and are not renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Generally, Phase I awards are for 6 months, and Phase II awards are for 2 years. STTR: Generally, Phase I awards are for 1 year and Phase II awards are for 2 years. Information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support; (3) annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: (1) a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or (2) an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Salaries) FY 09 \$1,035,738,866; FY 10 est \$1,063,703,815; FY 11 est \$1,099,869,745

Range and Average of Financial Assistance:

\$2,000 to \$8,925,852; \$429,767.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, 2,410 grants were awarded. Fiscal Year 2010: FY10 an estimated 2,475 grants will be awarded. Fiscal Year 2011: FY11 an estimated 2,559 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grant Policy Statement (Revised 12/2003). Grants will be available under the authority if and administered in accordance with the PHS Grants Policy Statement and federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Applications; and Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Jean G. Noronha, National Institute of Mental Health, 6001 Executive Blvd, Room 6147, MSC 9609 Rockville, MD 20852 (for Express mail), (301) 443-3367, Fax: (301) 443-4720 jnoronha@mail.nih.gov, Bethesda, Maryland 20892-9609 Email: jnoronha@mail.nih.gov Phone: (301) 443-3367 Fax: (301) 443-4720.

Website Address:

<http://www.nimh.nih.gov>.

RELATED PROGRAMS:

93.281 Mental Health Research Career/Scientist Development Awards; 93.282 Mental Health National Research Service Awards for Research Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: See NIH RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2010: Fiscal Year 2010: See NIH RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The following consideration will be used in determining projects to be funded: (1) Technical merit of the proposed project as determined by peer review; (2) Availability of funds; and (3) Relevance to program priorities.

93.243 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES PROJECTS OF REGIONAL AND NATIONAL SIGNIFICANCE

(PRNS)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title V, Section 509; 516, 42 U.S.C 290bb; Children's Health Act of 2000, Section 520 A-J,581,582, Public Law 106-310.

OBJECTIVES:

Substance Abuse and Mental Health Service Administration (SAMHSA) was reauthorized by the Children's Health Action of 2000, Public law 106-310. Under this reauthorization, SAMHSA was given the authority to address priority substance abuse treatment, prevention and mental health needs of regional and national significance through assistance (grants and cooperative agreements) to States, political subdivisions of States, Indian tribes and tribal organizations, and other public or nonprofit private entities. Under these sections, CSAT, CMHS and CSAP seek to expand the availability of effective substance abuse treatment and recovery services available to Americans to improve the lives of those affected by alcohol and drug additions, and to reduce the impact of alcohol and drug abuse on individuals, families, communities and societies and to address priority mental health needs of regional and national significance and assist children in dealing with violence and traumatic events through by funding grant and cooperative agreement projects. Grants and cooperative agreements may be for (1) knowledge and development and application projects for treatment and rehabilitation and the conduct or support of evaluations of such projects; (2) training and technical assistance; (3) targeted capacity response programs (4) systems change grants including statewide family network grants and client-oriented and consumer run self-help activities and (5) programs to foster health and development of children; (6) coordination and integration of primary care services into publicly-funded community mental health centers and other community-based behavioral health settings funded under Affordable Care Act (ACA).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will provide immediately useful, practical knowledge that service providers need as they wrestle with the rapidly changing health care environment. Refer FY2010 SAMHSA Grants Funding Opportunities at www.samhsa.gov. 100% of grants funds under this CFDA.

Applicant Eligibility:

Public organizations, such as units of State and local governments and to domestic private nonprofit organizations such as community-based organizations, universities, colleges and hospitals.

Beneficiary Eligibility:

other non-profits.

Credentials/Documentation:

Applicants must provide proof of licensure, accreditation, certification, or chartering to provide substance abuse and/or mental health services or such other credential documentation as specific for a particular topical area. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must comply with the Executive Order (E.O.) 12372 if their state(s) participates. Review process recommendations from the state Single Point of Contact (SPOC) are due no later than 60 days after application deadline. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All applicants must use application form PHS 5161-1

(rev. 7/00). Application kits containing the necessary forms and instructions may be obtained by contacting: the National Clearinghouse for Alcohol and Drug Information, PO Box 2345, Rockville, MD 20847-2345. Phone: (301) 468-26000 or 1 (800) 729-6686 or the National Mental Health Services Knowledge Exchange Network at 1 (800) 789-2647.

Award Procedure:

Applications for grants and cooperative agreements recommended for approval by the initial review group and concurred in by the appropriate National Advisory Council and the Center Director(s) are awarded directly by the appropriate Center (CMHS, CSAP, CSAT) to the applicant organization.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90-120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. CMHS and CSAT, STOP DFC. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 7 years with 12 month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. See the following for information on how assistance is awarded/released: SAMHSA awards grants projects for the twelve months budget period and release at the time the award is issued.

Reports:

Reports are required quarterly, semi-annually, or annually depending on individual program requirements. Quarterly reports are due to the Division of Payment. See above information. The Standard Long Form 269 Financial Status Report (FSR) is required 90 days after the end of the budget period. Site Visits, Conference Calls, Program Progress Reports and GPRA Data Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with the provisions of OMB Circular No A-133 entities that receive financial assistance of \$500,000 or more in a year shall have an audit made in accordance with A-133.

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Salaries) FY 09 \$564,656,271; FY 10 est \$564,656,271; FY 11 est \$564,656,271 - Estimated \$20,000,000 ACA funds will be obligated in FY 2010. Estimated \$20,000,000 ACA funds will be obligated in FY 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: program progress reporting and data. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92 and the HHS Grant Policy Statement, OMB Cost

Principles, terms and conditions of award

Regional or Local Office:

None.

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Conference Grants
Suicide Prevention Resource Center
National Technical Assistance Center for Children and Adolescent Mental Health Cooperative Agreement
Statewide Consumer Network Grants
Statewide Family network Grants
Projects to Deliver Peer-to Peer Recovery Support Services
Grants to Expand Substance Abuse Treatment Capacity in Targeted Areas of Need
Offender Reentry Program
Grants to Expand Substance Abuse Treatment Capacity for Juvenile Treatment Drug Courts
Cooperative Agreement for the Physician Clinical support System for the Treatment of
Substance Use Disorders
Primary and Behavioral Health Care Integrations Program (including ACA funds)
Training and Technical Assistance Center for Primary and Behavioral Health Care Integration (including ACA funds)
See www.samhsa.gov for other programs to be posted in FY2010. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Application submitted related to merit, peer review criteria scored, and generally funded in score order.

93.247 ADVANCED NURSING EDUCATION GRANT PROGRAM

ANE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 811, 42 U.S.C. 296j, as amended Affordable Care Act, Public Health Law 111-148.

OBJECTIVES:

Grants are awarded to eligible institutions for projects that support the enhancement of advanced nursing education and practice including master's and doctoral programs, combined RN/ master's degree programs, post-nursing master's certificate programs, or in the case of nurse mid-wives, in certificate programs in existence on November 12, 1998, to serve as nurse practitioners, clinical nurse specialists, nurse-midwives, nurse anesthetists, nurse educators, nurse administrators, public health nurses, or in other nurse specialties determined by the Secretary to require advanced education.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To meet the costs of projects that support the enhancement of advanced nursing education and practice. For salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and other expenses related to the project. Restricted uses: Indirect costs are allowed for administrative costs incurred as a

result of the project, limited to eight percent of direct costs. Student support through stipends, tuition, and fees is not eligible for support.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or bodies or State agency, approved for the purpose of nursing education by the Secretary of Education.

Beneficiary Eligibility:

Accredited schools of nursing, academic health centers and other public or private entities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods for both new and competing continuation applications are for 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. A progress report must be submitted for the second and subsequent budget periods within an approved project period.

Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$43,187,035; FY 10 est \$42,355,722; FY 11 est \$42,355,722 - FY 09 \$43,187,035 (53 new and 107 non-competing continuations); FY 10 est \$42,355,722 (40 new and 111 non-competing continuations); FY 11 est \$42,355,722.

Range and Average of Financial Assistance:

Range is \$91,454 to \$499,913; Average is \$269,918.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2008, there were 152 grantees awarded with an estimated 5,649 part-time and full-time advanced practice nursing students enrolled and 1,785 graduates. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Dr. Irene Sandvold, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9B-45, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

Dr. Irene Sandvold Room 9B-45, 5600 Fishers Lane, Rockville, MD 20857, Rockville, Maryland 20857 Phone: (301) 443-6333

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.124 Nurse Anesthetist Traineeships; 93.358 Advanced Education Nursing Traineeships

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Nurse Practitioner and Nurse-Midwifery education programs; Clinical Nurse Specialist projects; programs in nurse anesthetist education, nurse educator, nurse administrator, public health nursing, and other nurse specialists determined by the Secretary to require advanced nurse education. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Project purposes must be consistent with and promote the purpose identified in the Law. Need must be documented, and the capability of achieving project goals must be described. In making awards of grants under this Section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State or local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.249 PUBLIC HEALTH TRAINING CENTERS GRANT PROGRAM**FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by P.L. 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To improve the Nation's public health system by strengthening the technical, scientific, managerial and leadership competencies and capabilities of the current and future public health workforce. Emphasis is placed on developing the existing public health workforce as a foundation for improving the infrastructure of the public health system and helping achieve the Healthy People 2010 Objectives. With respect to a public health training center, applicants must agree to: (1) specifically designate a geographic area, including medically underserved populations, e.g., elderly, immigrants/refugees, disadvantaged, to be served by the Center that shall be in a location removed from the main location of the teaching facility of the school participating in the program with a Center; (2) assess the public health personnel needs of the area to be served by the Center and assist in the planning and development of training programs to meet their needs; (3) establish or strengthen field placements for students in public or nonprofit private public health agencies or organizations; and (4) involve faculty members and students in collaborative projects to enhance public health services to medically underserved communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may support salaries, consultant costs, equipment, and travel as requested to develop and implement a Public Health Training Center. Grant funds may not be used for construction, patient services or student tuition. See above for additional information.

Applicant Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training in public health may apply.

Beneficiary Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB

Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 5 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual progress reports, in the form of HRSA's performance reporting system, are required. Electronic reporting requirements can be viewed at www.HRSA.gov. No cash reports are required. Annual progress reports, in the form of HRSA's performance reporting system, are required. Electronic reporting requirements can be viewed at www.HRSA.gov. Financial status reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. Electronic reporting requirements can be viewed at www.HRSA.gov.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$5,200,000; FY 10 est \$20,400,000; FY 11 est \$20,400,000

Range and Average of Financial Assistance:

(FY 2010) \$400,000 to \$650,000; \$500,000 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For FY 09, 14 public health training center continuation awards were made. Fiscal Year 2010: For FY 2010, 6 non-competing continuation awards and 27 competing awards are anticipated. Fiscal Year 2011: For FY 2011, 27 non-competing continuation and 4 competing awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. John Kress, MHA, Director, Public Health Training Centers, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0157 and E-mail address at jkress@hrsa.gov.

Headquarters Office:

Marcia Starbecker 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Email: mstarbecker@hrsa.gov Phone: (301) 443-6867

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See www.hrsa.gov. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance at www.grants.gov.

93.250 GERIATRIC ACADEMIC CAREER AWARDS

Geriatric Academic Career Award (GACA)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Section 753(c) of Public Health Service Act, 42 U.S.C. 294c, as amended by the Patient Protection and Affordable Care Act, Public Law 111-148, Section 5305(b).

OBJECTIVES:

The purpose of Geriatric Academic Career Award is to support the career development of physicians, nurses, social workers, psychologists, dentists, pharmacists, and allied health professionals as academic geriatricians by requiring them to provide training in clinical geriatrics, including the training of interdisciplinary teams of health professionals. The provision of such training shall constitute at least 75 percent of the obligations of such individual under the award. In addition, the individual must provide a documented commitment from the health professions institution where he or she has a full-time faculty appointment to spend 75 percent of his or her total time on teaching and developing skills in interdisciplinary education in geriatrics.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

As provided by Section 753(c)(5) of the authorizing legislation, an individual

receiving an award under this subsection shall provide training in clinical geriatrics, including the training of interdisciplinary teams of health care professionals. The provision of such training shall constitute at least 75 percent of the obligations for individuals under this award. See above.

Applicant Eligibility:**1. Individuals Eligible to Receive Awards**

Eligible project directors are individuals who are applying to the Health Resources and Services Administration for a Geriatric Academic Career Award through the accredited health professions school of medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health where they have a junior faculty appointment.

- A. To be eligible to receive a GACA award, an individual must be:
- B. Board certified or board eligible in internal medicine, family practice, psychiatry, or licensed dentistry, or have completed any required training in a discipline and be employed in an accredited health professions school that is approved by the Secretary;
- C. Have completed an approved fellowship program in geriatrics or have completed specialty training in geriatrics as required by the discipline and any additional geriatrics training as required by the Secretary; and
- D. Have a junior (non-tenured) faculty appointment at an accredited school of medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or other allied health disciplines in an accredited health professions school that is approved by the Secretary.

2. Institutions Eligible to Submit Applications on Behalf of Eligible Individuals)

Institutions eligible to receive payments and to submit applications on behalf of individuals applying for a Geriatric Academic Career Award include accredited health professions schools of medicine, osteopathic medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health.

Beneficiary Eligibility:

Junior faculty at an accredited health professions school of medicine, nursing, social work, psychology, dentistry, pharmacy, or allied health.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made within 3 to 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuations will not be entertained by the program.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Five (5) years. Funding beyond the first year is dependent on the availability of appropriated funds for the Geriatric Academic Career Award Program in FY 2011, grantee satisfactory performance, and a decision that funding is in the best interest of the Federal government. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

An Annual Progress Report must be submitted annually. Final progress and financial reports must be submitted within 90 days after the end of the project period. No cash reports are required. An Annual Progress Report must be submitted annually. Final progress and financial reports must be submitted within 90 days after the end of the project period. Final progress and financial reports must be submitted within 90 days after the end of the project period. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$6,278,819; FY 10 est \$5,000,000; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

FY 10: \$69,436/Awardee and the amount will be annually adjusted by the Consumer Price Index.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: Data will be available in Jan, 2011. Fiscal Year 2010: Data not available yet. Fiscal Year 2011: Data not available yet.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. CDR Young Song, Senior Program Management Consultant, Division of Nursing, Health Resources and Services Administration, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6333.

Headquarters Office:

CDR Young Song 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-6333

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In determining the funding of applications, consideration is given to: There are six review criteria for the Geriatric Academic Career Award:

Criterion 1. Need (Maximum Points 25): The extent to which the application describes: a) the need for the proposed Geriatric Academic Career Award; b) the project directors commitment to a full-time academic career in geriatrics; c) the strength of the project directors professional responsibilities in the grantee institution and elsewhere; d) prior training and how it is related to the objectives and long-term career plans of the project director in academia teaching geriatrics, e) the project directors teaching efforts in geriatrics to this point in his/her academic career in geriatrics, including any publications; and f) evidence of the project directors potential to develop a career in academia.

Criterion 2. Response (Maximum Points 25): Career Development Plan: The extent to which the project director is early in his/her career as a junior faculty teaching and developing skills in interdisciplinary education in geriatrics. The extent to which the applicants training and experience will contribute to the achievement of the goals, objectives, and plans described in the applicants Career Development Plan.

 The extent to which the specificity of the objectives and career development plan will promote the project director in achieving the overall goal as an academic teaching faculty member in geriatrics.

 The extent to which identified objectives and specific plans address teaching interdisciplinary education in geriatrics and skill development interdisciplinary education in geriatrics.

 The extent to which the project director identifies a five-year outcome that will enhance academic clinical geriatrics and the individuals own career as an academic teaching faculty member in geriatrics.

 The extent to which specific plans include teaching and developing skills in interdisciplinary education in geriatrics will result in educational publication opportunities and productivity in national professional societies, in which the specific plans will result in:

- o meeting the statutory service requirement (providing training in clinical geriatrics, including the training of interdisciplinary teams of health professionals. The provision of such training shall constitute at least 75 percent of the obligations of such individual under the award.);
 - o interacting with and learning from other clinician-educators locally and nationally;
 - o obtaining the necessary pedagogical skills to achieve career goals; and
 - o addressing HRSA's cultural and linguistic competence.
- o Length of time as a faculty member (the longer the time in a junior faculty position, the less number of points should be awarded).
- o New GACA applicant who never received funding as a GACA recipient.
- o Likelihood that after completion of the GACA training, the individual will continue as a full-time academic teaching faculty member teaching interdisciplinary education in geriatrics.

Criterion 3. Evaluative Measures (Maximum Points 10): The effectiveness of the project directors evaluation plan for assessing his or her own progress toward meeting career goals, including his or her effectiveness as an interdisciplinary education teacher in geriatrics.

Criterion 4. Impact (Maximum Points 10): The extent and effectiveness of plans for dissemination of project results and/or the extent to which project results may be national in scope and/or the degree to which the project activities are replicable, and the sustainability of the project director as a full-time faculty member teaching interdisciplinary education in geriatrics. The extent to which the institution has a successful track record of employing previously funded GACA recipients as full-time faculty teaching interdisciplinary clinical

geriatrics. For Competing Continuing Applications only, the extent to which the project was successful in meeting career development goals and objectives and in addressing the statutory service requirement which was to provide training in clinical geriatrics, including the training of interdisciplinary teams of health care professionals for at least 75 percent of the obligations of the recipient under the Award.

Criterion 5. Resources and Capabilities (Maximum Points 25): The extent to which the commitment and expertise of the mentor and the commitment of the institution to provide a supportive environment for the achievement of the applicants career goals and willingness to meet reporting requirements are demonstrated in the following:

Expertise of the primary mentor;
Adequacy of frequency of meetings with the mentor;
Clinical assignments and opportunities provided by the institution;
Teaching assignments and opportunities provided by the institution;
Protected time provided to achieve the goals of the award; and
Opportunities for leadership provided.

Criterion 6. Support Requested (Maximum Points 5): The extent to which the proposed budget will address the objectives in the career development plan.

93.251 UNIVERSAL NEWBORN HEARING SCREENING

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 399M of the Public Health Service Act.

OBJECTIVES:

This program supports state programs for reducing the loss to follow-up of infants who have not passed a physiologic newborn hearing screening examination prior to discharge from the newborn nursery. Although more than 95% of newborns are screened for hearing loss in the first few days of life, nationally about 20-40% of infants for whom further assessment is indicated cannot be documented as having appropriate follow-up. This funding opportunity is to initiate new activities to significantly reduce this loss to follow-up. Support is also provided to one national technical resource center.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program supports state programs for reducing the loss to follow-up of infants who have not passed a physiologic newborn hearing screening examination prior to discharge from the newborn nursery. Although more than 95% of newborns are screened for hearing loss in the first few days of life, nationally about 20-40% of infants for whom further assessment is indicated cannot be documented to have appropriate follow-up. This funding opportunity is to initiate new activities to significantly reduce this loss to follow-up.

Applicants should have a relationship with both the Title V programs and the Early Intervention programs.

Applicant Eligibility:

Grants to States and one grant to an organization that will provide technical assistance to the States.

Beneficiary Eligibility:

Infants/Families will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made 2 to 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Project periods for are for 3-5 years. The submission of a progress report and budgetary information will be requested for each continuation year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter-of-Credit or Cash Demand System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Report. Federal Financial Report Cash Transaction Report. Refer to the funding opportunity announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$17,791,229; FY 10 est \$17,665,372; FY 11 est \$19,000,000

Range and Average of Financial Assistance:

\$125,000 to \$1,800,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Irene Forsman, Integrated Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-18, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-9023.

Headquarters Office:

Irene Forsman, 5600 Fishers Lane, Room 18A-18, Rockville, Maryland 20857
Phone: (301) 443-9023.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available
Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The objective review of all applications includes thorough and consistent examinations of each submission by persons knowledgeable in the field for that support is requested and has no direct relationship with the organization or individual submitting the application and do not have personal or invested interest in the award of a grant to that organization or individual. Peer review is a system that uses reviewers that are the professional equals of the principal investigator or program director and will be responsible for directing or conducting the proposed project. That is a form of objective review. Reviewers may be Federal or nonfederal. Final review criteria are included in the application kit.

93.253 POISON CENTER SUPPORT AND ENHANCEMENT GRANT PROGRAM

Poison Control Centers (PCC)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Poison Center Support, Enhancement, and Awareness Act of 2008, Public Law 110-377.

OBJECTIVES:

The objectives are (1) to support PCCs efforts to prevent and provide treatment recommendations for poisonings; (2) to comply with operational requirements needed to sustain certification and or achieve certification; and (3) to conduct an evaluation of the strategies and activities undertaken within the project application and other purposes as stated in Section 1273 (b) of Public Law 110-377.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Assistance provided under this program may be used to support PCCs efforts to prevent and provide treatment recommendations for poisonings and to conduct an evaluation of the strategies and activities undertaken within the project application; support certification efforts of non-certified centers in order to improve access to poison education, prevention, and treatment services; and encourage the development and implementation of innovations or improvements that expand the organizational capacity of PCCs by

strengthening their operational infrastructure, improving their ability to increase access to high quality services and promoting poison prevention education and outreach to high-risk and underserved populations.

Applicant Eligibility:

State-designated Poison Control Centers.

Beneficiary Eligibility:

Residents of the 50 United States, Puerto Rico, the District of Columbia, the U.S. Virgin Islands, Guam, American Samoa, and the Federated States of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. including documentation of maintenance of effort. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions will be made 2 to 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Renewals will be determined by the Associate Administrator for the Healthcare Systems Bureau and extensions are requested in writing addressed to the Grants Management Specialist.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Refer to the funding opportunities announcement. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards.

Reports:

A final report is required. No cash reports are required. Progress reports for the Incentive Cooperative Agreements are required 3 times per year. For the Support Grants, progress reports are requested as the need arises. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$25,518,331; FY 10 est \$27,577,000; FY 11 est \$29,314,000

Range and Average of Financial Assistance:

\$65,825 to \$2,953,704.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 55 grants awarded and 10 cooperative agreements. Fiscal Year 2010: Fiscal Year 2010: It is estimated that 55 grants and up to 10 cooperative agreements will be awarded in FY10. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Poison Control Program, Healthcare Systems Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 10C-06, 5600 Fishers Lane, Rockville, Maryland 20857. Telephone: (301) 443-6192.

Headquarters Office:

Poison Control Program, 5600 Fishers Lane, Room 10-C06, Rockville, Maryland 20857 Phone: (301) 443-6192.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Poison Data Monitoring System Monitoring for a Better Tomorrow
Northern New England Poison Center

Purpose

The purpose of this project is to further develop and expand the Poison Data Monitoring System (PDMS), a previously developed innovative system used to share poison center data with public health agencies. This project will improve poison center operations by providing useful, real-time poison center data to regional, state, and local public health agencies.

Creation of a Strategic Exotic Snake, Fish, and Invertebrate Antivenom Availability System
New Mexico Poison Control Center

Purpose

The purpose of the project is to create a strategic exotic antivenom availability system through the Nations zoos and accessible through the online Antivenom Index (AI) and the national poison center system. This system will enhance the search capabilities of the AI, track exotic antivenom use, create an exotic envenomation clinical database, and produce additional information resources

to assist in the management of these cases.

Innovative Approach to Expand Preventative Educational Outreach and to Enhance Access to Poison Center Services through Collaboration
Central Ohio Poison Center (COPC)

Purpose

The purpose of the project is to expand and implement wide-range use of the Be Poison Wise! poison prevention program in the entire COPC region with particular emphasis on reaching senior citizens and Appalachian communities.

IMPACT Cleveland Lead Project
Greater Cleveland Poison Control Center

Purpose

The purpose of this project is to develop, coordinate, and lead the implementation of IMPACT (Increasing Methods of Prevention for At-Risk Children Today) to test children for lead poisoning and provide education to their parents/guardians.

Enhancing Outreach, Education, and Resources for Latino and Rural Populations of Illinois
Illinois Poison Center (IPC)

Purpose

The purpose is to expand outreach and education efforts in rural pockets of central and Southern Illinois where significant populations of medically underserved families and growing Latino communities reside. With grant funds, the IPC will continue to grow its unique online educator resource center, training courses, and satellite network system to establish community partnerships.

In FY 2009, Poison Center Support and Enhancement Grants were awarded to the following Poison Control Centers:

- Children's Hospital of Alabama (Birmingham)
- Oregon Poison Center (Portland)
- Arizona Poison and Drug Information Center (Tucson)
- Samaritan Regional Poison Center (Phoenix)
- Arkansas Poison and Drug Information Center (Little Rock)
- California Poison Control System
- Rocky Mountain Poison and Drug Center (Denver)
- Connecticut Poison Control Center (Farmington)
- The Poison Control Center (Philadelphia)
- National Capital Poison Center (Washington)
- Florida Poison Information Center (Jacksonville)
- Florida Poison Information Center (Miami)
- Florida Poison Information Center (Tampa)
- Georgia Poison Center (Atlanta)
- Rocky Mountain Poison and Drug Center (Denver)
- Rocky Mountain Poison and Drug Center (Denver)
- Illinois Poison Control Center (Chicago)
- Indiana Poison Center (Indianapolis)
- Iowa Statewide Poison Control Center (Sioux City)
- Mid-America Poison Control Center (Kansas City)
- Kentucky Regional Poison Center (Louisville)
- Louisiana Drug and Poison Information Center (Monroe)
- Northern New England Poison Center (Portland)
- Maryland Poison Center (Baltimore)
- National Capital Poison Center (Washington)
- Regional Center for Poison Control and Prevention (Boston)
- Children's Hosp. of MI Regional Poison Control Center (Detroit)
- Hennepin Regional Poison Center (Minneapolis)
- Cardinal Glennon Children's Hosp Regional Poison Ctr (St Louis)
- Mississippi Regional Poison Control Center
- Rocky Mountain Poison and Drug Center (Denver)
- The Poison Center (Omaha)
- Rocky Mountain Poison and Drug Center (Denver)
- Northern New England Poison Center (Portland)

New Jersey Poison Information and Education System (Newark)
New Mexico Poison and Drug Information Center (Albuquerque)
Central NY Poison Control Center (Syracuse)
Finger Lakes Regional Poison and Drug Info Ctr (Rochester)
Long Island Regional Poison and Drug Info Ctr (Mineola)
New York City Poison Control Center (New York City)
Western NY Regional Poison Control Center (Buffalo)
Carolina Poison Control Center

Hennepin Regional Poison Center (Minneapolis)
Central Ohio Poison Center (Columbus)

The purpose of these grants is to support poison centers efforts to 1) prevent and provide treatment recommendations for poisonings; 2) comply with operational requirements needed to sustain certification and or achieve certification; and 3) conduct an evaluation of the strategies and activities undertaken within the project application. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Completeness and clarity of the project narrative; practicality and achievability of the plan to use requested grant funds to increase access to services; clarity and appropriateness of the budget and coordinated budget narrative; strength and adequacy of professional staff and subcontractors; clarity and strength of methodology; and strength of letters of support for any collaborative efforts.

93.254 INFANT ADOPTION AWARENESS TRAINING

IAATP

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Children's Health Act of 2000, Title XII, Subtitle A and B, Section 330F and Section 330G, Public Law 106-310.

OBJECTIVES:

To develop and implement programs to train designated staff of eligible health centers in providing adoption information and referrals to pregnant women on an equal basis with all other courses of action included in non-directive counseling to pregnant women.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used to plan and implement: (1) approved programs directly or through grants or cooperative agreements with other adoption organizations; (2) a training curriculum, consistent with best practice guidelines; (3) reimbursement to eligible health centers for all costs in obtaining the training. Project funds may not be used for construction.

Applicant Eligibility:

Nonprofit private national, regional, or local organizations among whose primary purposes are adoption and are knowledgeable in all elements of the adoption process, e.g., types of adoptions, relevant State and local laws regarding relinquishment procedures and putative father involvement and medical and financial resources for birth mothers, and on providing adoption information and referrals to pregnant women.

Beneficiary Eligibility:

Private nonprofit organizations, health professionals, health staff of Federally funded voluntary family planning projects supported under Section 1001 of the Public Health Service Act, staff of community health centers, migrant health centers, and centers providing services to homeless individuals and centers providing services to residents of public housing supported under Section 330 of the Public Health Service Act. PHS-funded school health centers, and public health clinics, or any health professional providing option counseling to pregnant women.

Credentials/Documentation:

Demonstration of 501(c)(3) status or status pending with the IRS. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application procedures can be obtained via the World Wide Web at <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Review is conducted by at least three nonfederal professionals. Final funding decisions will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Application deadlines can be obtained via the World Wide Web at <http://www.acf.hhs.gov/grants/index.html>.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

One to Five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Recipients will be required to submit programmatic performance reports semi-annually. PSC-272. Progress reports are not applicable. Recipients will be required to submit financial expenditure reports semi-annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to an award shall be retained for a period of 3 years from the date of submission of the final expenditure report.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$12,953,000; FY 10 est \$12,953,000; FY 11 est \$0

Range and Average of Financial Assistance:

FY 09: \$738,293 to \$4,318,130 with an average of \$1,514,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 6 grants were awarded in fiscal year 2009. Fiscal Year 2010: It is estimated that 6 grants will be awarded in fiscal year 2010. Funds will continue to be awarded through 2011, based on the availability of funds. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Julie Lee, Administration on Children, Youth and Families, Children's Bureau, 1250 Maryland Avenue, SW., Room 8113, Washington, District of Columbia 20024 Phone: (202) 205-8640 Fax: (202) 260-9345.

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of any application will be based on an analysis of the following factors: (1) The administrative and management capability of the applicant to carry out the proposed project in a cost-effective manner; (2) the extent of responsiveness to the project requirements, guidelines, and application requirements; and (3) the potential effectiveness of the proposed project in carrying out the training purposes of Section 330F of the Children's Health Act of 2000. Under Part B of the Health Act, approval of any application will be based on an analysis of the following factors: the administrative and management capability of the applicant to carry out the proposed project in a cost-effective manner; the extent of responsibility to the project requirements, guidelines, and application requirements; and the potential effectiveness of the proposed project in carrying out the purposes of Part B of The Children's Health Act.

93.255 CHILDREN'S HOSPITALS GRADUATE MEDICAL EDUCATION PAYMENT

CHGME Payment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III Part D, Section 340E, 42 U.S.C. 256E, as amended; The Children's Health Act, 2000, Public Law 106-310, Healthcare Research and Quality Act, 1999, Public Law 106-129; as amended; Public Law 109-307; Social Security Act.

OBJECTIVES:

The Children's Hospitals Graduate Medical Education (CHGME) Payment Program provides funds to children's teaching hospitals to support the training of pediatric and other residents in graduate medical education (GME) programs. Since Federal financial support of graduate medical education is extensively supported by the Medicare system, the CHGME Payment Program compensates for the disparity in the level of Federal funding for Freestanding children's teaching hospitals versus other types of teaching hospitals. The Secretary of DHHS has delegated the authority for the administration of the CHGME Payment Program to Health Resources and Services Administration (HRSA), which re-delegated it to the Bureau of Health Professions (BHP).

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are restricted to eligible children's hospitals for direct expenses and the other indirect expenses associated with operating approved graduate medical residency programs. See above for additional information.

Applicant Eligibility:

Applicants (children's teaching hospitals) must meet the following eligibility requirements in accordance with the Federal Register Notice, Vol. 66, No. 41 published on March 1, 2001: (1) Participate in an approved graduate medical education program; (2) have a Medicare provider agreement; (3) be excluded from the Medicare Inpatient Prospective Payment System (PPS) under Section 1886(d)(1)(B)(iii), of the Social Security Act and its accompanying regulations; and (4) be a "freestanding" children's hospital.

Beneficiary Eligibility:

Any public or private nonprofit and profit children's teaching hospital with an

accredited residency training program which meets all eligibility requirements may apply.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications are made by submission of application face page (HRSA 99), determination of weighted and unweighted resident FTE count form (HRSA 99-1), indirect medical education data related to the teaching of residents form (HRSA 99-2), assurance/certification attestation form (HRSA 99-3), and the performance data (GPRA) tables (HRSA 99-4). For children's teaching hospitals that file full Medicare Cost Reports, adjudication is conducted by comparing the hospital's application to the Medicare Fiscal Intermediary reports. A comprehensive FTE resident assessment is conducted each fiscal year for all children's hospitals applying for funds. This assessment mirrors CMS audits of Medicare Cost Reports. The aforementioned documents are available electronically via the CHGME PP web site at <http://www.bhpr.hrsa.gov/childrenshospitalgme/>.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Section 340E(a) requires the Secretary of DHHS to make payments for direct and indirect expenses associated with operating approved graduate medical residency training programs for each fiscal year. The two statutory formulas (direct medical education and indirect medical education) payments to eligible children's teaching hospitals are made as outlined in Federal Register Notice (FRN) Vol. 65, No. 118 published on June 19, 2000; FRN Vol. 66, No. 41 published on March 1, 2001; and FRN Vol. 66, No. 140 published on July 20, 2001 and FRN Vol.67, No. 186, published on September 25, 2002. and FRN Vol 68, No. 208, published October 22, 2003. The formula was updated to incorporate section 422 of the Medicare Modernization Act (MMA) and updates to variables used in the formulas made by CMS through rules and regulations.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Hospitals can appeal the determination of FTE resident counts by CHGME fiscal intermediaries to the Provider Reimbursement Review Board (PRRB) under section 1878 of the Social Security Act.

Renewals:

Eligible children's hospitals must complete a CHGME Payment Program application for inclusion in the program for each fiscal year that they are applying for funds. They must also submit a reconciliation application that will reflect the Secretary determination of the Final FTE resident counts carried out by CHGME fiscal intermediaries led by the Blue Cross and Blue Shield Association (BCBSA). The BCBSA is under contract the BHP to conduct the assessments of residents counts reported by children's hospitals on their initial applications for funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

In accordance with the Healthcare Research and Quality Act, 1999, Public Law 106-129, the Secretary of DHHS shall determine before the beginning of each fiscal year that payments are made for a hospital. The amounts of the payments for direct and indirect medical education are made to each hospital in 12 equal interim installments during the period. The Children's Health Act, Public Law 106-310, amends the length of the CHGME Payment Program through fiscal year 2005. Public Law 109-307 re-authorizes the program, through fiscal year 2011.

In accordance with the Healthcare Research and Quality Act, 1999, Public Law 106-129, the Secretary of DHHS shall determine before the beginning of each fiscal year that payments are made for a hospital. The amounts of the payments for direct and indirect medical education are made to each hospital in 12 equal interim installments during the period. The Children's Health Act, Public Law 106-310, amends the length of the CHGME Payment Program through fiscal year 2005. Public Law 109-307 re-authorizes the program, through fiscal year 2011. . See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Public Law 109-307 requires childrens hospitals receiving CHGME funds to submit an annual report that focuses on their GME training program. The Department has to submit a report to Congress by 2011. The childrens hospitals comply with the Government Performance Result Act (GPRA) and submit performance data each fiscal year as part of their reconciliation applications for funds. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. DHHS, or any other authorized Federal agency, may conduct an audit to determine whether the applicant has complied with all governing laws and regulations in its application for funding. Any and all information submitted to DHHS by an applicant or participating hospital during or after the award of funds is subject to review in an audit. Eligible hospitals are subjected to the terms of the Full-Time Equivalent Assessment Process Guidance and Assessments which is available electronically via CHGME Payment Program web site noted in Application Procedure above.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$297,000,000; FY 10 est \$317,500,000; FY 11 est \$317,500,000

Range and Average of Financial Assistance:

\$12,229.48 to \$21,469,526.93; \$5,297,850.56 in combined DME and IME payments.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The CHGME Payment Program made awards to 57 eligible

children's teaching hospitals in FY 01, 59 eligible children's teaching hospitals in FY 02, 61 in FY 03, 61 in FY 04, 60 in FY 05, FY 06, and FY 07, and 56 childrens hospitals in FY 09. Fiscal Year 2010: It is estimated that awards will be made to 56 eligible teaching hospitals. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Healthcare Research and Quality Act, 1999 (Public Law 106-129), Section 340E of the Public Health Service Act; The Children's Health Act, 2000 (Public Law 106-310, Title XX); Public Law 108-490; Public Law 109-307; Social Security Act, Section 1886, 42 CFR 412.105; 42 CFR 413.86; FRN Vol. 65, No. 118 published on June 19, 2000; FRN Vol. 66, No. 41 published on March 1, 2001; and FRN Vol. 66, No. 140 published on July 20, 2001, and FRN Vol. 68, No. 204, published on October 22, 2003.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Dr. Daniel Mareck, Director, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, MD 20857. Telephone: (301) 443-6190.

Headquarters Office:

Dr. Daniel Mareck 5600 Fishers Lane, Room 9-A27, Rockville, Maryland 20857 Phone: (301) 443-6190

Website Address:

www.hrsa.gov; <http://www.bhpr.hrsa.gov/childrenshospitalgme>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Children's teaching hospitals must meet all of the eligibility criteria before being considered for program funds and must be training residents during the year for which they are applying for funding. This is a noncompetitive annual award.

93.256 STATE HEALTH ACCESS PROGRAM**SHAP****FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Omnibus Appropriations Act, Public Law 111-8.

OBJECTIVES:

The purpose of the State Health Access Program is to support States that demonstrate they are ready to implement a program design which provides the uninsured with access to health insurance. The overarching program goal is to provide support to States to implement program designs already developed which provide access to affordable health care coverage to all or a segment of the uninsured citizens in a State.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The purpose of the State Health Access Program (SHAP) is to support States that are ready to implement a program design to provide the uninsured with access to affordable health care coverage. Eligible States will be required to demonstrate that they have achieved the key State and local statutory or regulatory changes required to implement the new program within twelve months of the grant start date. The types of activities that can be supported through this grant can be widely varied, including but not limited to: three share community coverage (employer, State or local government, and the individual); reinsurance plans that subsidize a certain share of carrier losses

within a certain risk corridor; subsidized high risk insurance pools; health insurance premium assistance; creation of a State insurance connector authority to develop new, less expensive, portable benefit packages for small employers and part-time and seasonal workers; development of statewide or automated enrollment systems for public assistance programs; health savings accounts; and innovative strategies to insure low-income childless adults. States may choose to implement one activity or a combination of activities. Other innovative programs that expand insurance to a targeted or comprehensive uninsured population will also be considered.

The majority of awarded funds are to be spent directly on implementation activities to start up program operations that are important to the implementation of the health care coverage program. Examples could include funding the administration of a connector model, designing or expanding a management information system to enhance enrollment capacities, development and dissemination of outreach and enrollment materials, development of a comprehensive website which facilitates education of and enrollment into the program, or to pay the start up costs of providing premium subsidies to those eligible for the program.

The intention behind the funding of these types of activities is to demonstrate how a state can significantly increase the States health care coverage under an expansion program. For example, the funded activity may result in an important increase in enrollment or a measurable improvement in progress toward attracting hard to reach target populations. Thus, an evaluation plan must be included in the application which describes the evaluation design and data collection methods to be used.

Two types of State grants are available under this program: 1) targeted grants of \$2-4 million a year for a 5 year grant period for States focusing health coverage initiatives on a limited sub population(s); and 2) grants of \$7-10 million a year for a 5 year grant period for States planning more comprehensive coverage initiatives .

Applicant Eligibility:

States and US Territories and Possessions.

Eligible applicants are any public State entity designated by the Governor of that State. The Governor must be the requestor of the grant and only one entity per State is permitted to apply. For purposes of this grant program, the term State refers to any of the 50 States, the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, American Samoa, and the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

A letter signed by an authorized individual on behalf of the lead State agency or organization designated by the Governor must be included. The letter should indicate the potential grants principal contact person, the amount of funding requested, and the names of all agencies and organizations participating in the proposed program. The project must be directed by a component of State government.

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the

HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Each of the applications will undergo an eligibility and conformance review by Federal staff at the State Health Access Program Office. Applications that meet the eligibility and conformance review criteria will be assigned to members of an Objective Review Committee (ORC) that will review them based on the evaluation criteria listed in the application guidance. The results of the ORC reviews will be shared with the Associate Administrator, Healthcare Systems Bureau, who will make the final decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The time required for the applications to be approved or disapproved is projected to be 90 days.

Appeals:

Not Applicable.

Renewals:

Applicants responding to this announcement may request funding for a project period of up to five (5) years. Renewals will be determined by the Associate Administrator, Healthcare Systems Bureau. Extensions must be requested in writing addressed to the Grants Management Specialist.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 20%. States shall be required to demonstrate their seriousness of intent by matching twenty (20) percent of the Federal grant through non-Federal resources, which could be a combination of State, local, and private dollars from insurers, providers, and other private organizations. Waiver of the matching requirement shall be possible if financial hardship is demonstrated. Evidence of financial hardship should be strongly supported through a letter from the States Budget or fiscal Officer with thorough justification provided. In addition, States are required to demonstrate their ability to financially sustain the health care coverage program without Federal grant funding after the five-year grant period. . MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are available to grantees on an as needed basis throughout the budget period and payments are made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Reports are to be provided as outlined in the grant conditions. Reports are to be provided as outlined in the grant conditions. Reports are to be provided as outlined in the grant conditions. Reports are to be provided as outlined in the grant conditions.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$70,945,306; FY 10 est \$75,000,000; FY 11 est \$75,000,000

Range and Average of Financial Assistance:

Range - \$2,000,000-\$10,000,000.

Average Award - 5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: SHAP grants were funded for the first time in September 2009. Early preliminary figures and the numbers reported reflecting activities from Sept. 1, 2010 to May 21, 2010 may be found:

<http://www.hrsa.gov/statehealthaccess/grantees.htm>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Michelle Herzog, Director, State Health Access Program, Healthcare Systems Bureau, Health Resources and Service Administration, Room 10C-03, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0650. Fax: (301) 443-1221.

Headquarters Office:

Michelle Herzog 5600 Fishers Lane, Room 10C-03., Rockville, Maryland 20857 Phone: (301) 443-0650

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The State Health Access Program grants awarded in FY 09 are available at: <http://www.hrsa.gov/statehealthaccess/>. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Please see program guidance.

93.257 GRANTS FOR EDUCATION, PREVENTION, AND EARLY DETECTION OF RADIOGENIC CANCERS AND DISEASES

Radiation Exposure Screening and Education Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 417C, Public Law 106-245.

OBJECTIVES:

To award grants for the purpose of enabling grantees to carry out programs for: (1) screening individuals described under section 4 (a)(1)(A)(i) or 5(a)(1)(A) of the Radiation Exposure Compensation Act (42 U.S.C. 2210 note) for cancer as a preventative health measure; (2) providing appropriate referrals for medical treatment of individuals screened under paragraph (1) and to ensure, to the extent practicable, the provision of appropriate follow-up services; (3) developing and disseminating public information and education programs for the detection, prevention, and treatment of radiogenic cancers and diseases; and facilitating applicants in the documentation of claims as described in section 5(a) of the Radiation Exposure Compensation Act (41 U.S.C. 2210 note).

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Grants are awarded to organizations that develop new or expand existing capacity to provide screening, referrals for medical treatment, education, and eligibility assistance for eligible individuals. Restrictions on the Use of Grant Funds: (1) grant funds may not be used to pay for inpatient services; (2) grant funds may not be used to make cash payments to intended recipients of primary health care services or specialty care; (3) grant funds may not be used to supplant other provider/third party coverage payments available to the patient; (4) grant funds may not be used to purchase or improve real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment without the approval of the HRSA Grants Management Office; and, (5) not more than 10 percent of any grantee's funds shall be used for legal services to assist users in obtaining benefits under the Radiation Exposure Compensation Program.

Applicant Eligibility:

The following entities, (located within the approved States of Arizona, Colorado, Idaho, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Texas, Utah, Washington or Wyoming) are eligible to apply for the funds: (1) National Cancer Institute-designated cancer centers; (2) Department of Veterans Affairs hospitals or medical centers; (3) Federally Qualified Health Centers; (3) agencies of any State or local government that currently provide direct health care services; (4) IHS health care facilities, including programs provided through tribal contracts, compacts, grants, or cooperative agreements with the IHS and which are determined appropriate to raising the health status of Indians; and (5) nonprofit organizations.

Beneficiary Eligibility:

For purposes of the RESEP, individuals eligible for health screening, education, medical referral, and appropriate follow-up services include an individual who either: (1) was employed in a uranium mine or uranium mill (including any individual who was employed in the transport of uranium ore or vanadium-uranium ore from such mine or mill) located in Colorado, Nevada, New Mexico, Arizona, Wyoming, South Dakota, Washington, Utah, Idaho, North Dakota, Oregon, and Texas at any time during the period beginning on January 1, 1942, and ending on December 31, 1971; (2) was a miller or ore transporter who worked for at least 1 year during the period beginning on January 1, 1942, and ending on December 31, 1971; (3) was physically present in the nuclear arms testing area (which includes, in the State of Utah, the counties of Beaver, Garfield, Iron, Kane, Millard, Piute, San Juan, Sevier, Washington, and Wayne; in the State of Nevada, the counties of Eureka, Lander, Lincoln, Nye, White Pine, and that portion of Clark County that consists of townships 13 through 16 at ranges 63 through 71; and in the State of Arizona, the counties of Apache, Coconino, Gila, Navajo, and Yavapai) for a period of at least 2 years during the period beginning on January 21, 1951, and ending on October 31, 1958; (4) was physically present in the nuclear arms testing area, cited in 3. above, for the period beginning on June 30, 1962, and ending on July 31, 1962, or (5) participated onsite in a nuclear arms test involving the atmospheric detonation of a nuclear device, in either the area described in 3. above or within the official boundaries of the Pacific, Trinity, or South Atlantic Test Sites.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at

www.grants.gov to both find and apply for all Federal grant opportunities.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 180 days.

Appeals:

Not Applicable.

Renewals:

Radiation Exposure Screening and Education Program (RESEP) awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually. After funds are issued, funds are released in accordance with payment procedures of DHHS, which may be an Electronic Transfer System or monthly cash request system. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. No cash reports are required. Final financial status and progress reports are due 90 days after the end of a project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$1,952,000; FY 11 est \$1,952,000

Range and Average of Financial Assistance:

\$178,894 to \$ 279,020.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: ORHP has implemented a new data collection tool, the Performance Improvement Management System (PIMS). Program Accomplishments and updates for the Radiation Exposure Screening and Education Program will reported in PIMS tool for FY 2010 and beyond. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Vanessa Hooker, vhooker@hrsa.gov; 301-594-5105. The Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room10B-45, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Vanessa Hooker 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://http://www.hrsa.gov/gethealthcare/conditions/radiationexposure>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Funded projects include Community Health Centers, Indian Health Service Clinics, Medical Centers and Universities that have implemented and expanded primary RESEP services of Outreach, Education, Screening, and Referral. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Need and Readiness -- the extent to which the applicant can demonstrate a need for these services in their area and their readiness to provide them; (2) administration -- the extent to which the applicant demonstrates that it has the administrative experience and capacity to successfully implement this program; (3) health care services -- the extent to which the applicant has the capacity to provide or arrange for the required services; (4) collaborative arrangements -- the extent to which the applicant has developed and documented collaborative arrangements with other local providers to conduct outreach, provide services and make referrals; and, (5) appropriateness of budget -- the extent to which the applicant's budget for the scope of the proposed activities.

93.259 RURAL ACCESS TO EMERGENCY DEVICES GRANT

RAED

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 413 of the Cardiac Arrest Survival Act of 2000; Public Law 106-505.

OBJECTIVES:

The purpose of the RAED Grant Program is to (1) purchase automated external defibrillators (AEDs) that have been approved, or cleared for marketing, by the Food and Drug Administration; (2) provide defibrillator and basic life support training in automated external defibrillator usage through the American Heart Association, the American Red Cross, or other nationally recognized training courses, and (3) place the AEDs in rural communities with local organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for the purposes outlined in the approved projects.

Applicant Eligibility:

Awards will be made to community partnerships for purchase, placement and training for AEDs in eligible rural areas. These partnerships are defined as a consortium of first responders (e.g., EMS, law enforcement and fire departments) and local for-profit and nonprofit entities that may include but are not limited to, long-term care facilities, rural health clinics, community health centers, post offices, libraries and other civic centers, athletic facilities, senior citizen and day care facilities, faith-based organizations and schools without AEDs. An applicant must be part of a statewide, regional or multi-county consortium or rural community organization applying as a community partnership.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

Not Applicable.

Renewals:

RAED awards may be made for up to 3-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application, availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually and payment is made through the DHHS Payment Management System. Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

An electronic quarterly Federal Financial Report (FFR) is due to the Division of Payment Management within 30 days of the end of each quarter. A final program report will be submitted to the Secretary, DHHS via ORHP within 90 days after the close of the budget period. This report will include a retrospective review of how the AED(s) affected response times, pre-hospital mortality rates,

etc. No cash reports are required. A final FFR must be submitted to HRSA via the Electronic HandBooks (EHB) within 90 days of the end of each budget period. No expenditure reports are required. A Performance Improvement Measurement System Report will be due by August 30, 2011.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$1,780,000; FY 11 est \$1,780,000 - HRSA is currently collecting and analyzing data through an electronic Performance Improvement Measurement System.

Range and Average of Financial Assistance:

\$46,408 to \$103,000; \$96,129.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Eileen Holloran, Office of Rural Health Policy, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 10B-45, Rockville, MD 20857. Telephone: (301) 443-7529 .

Headquarters Office:

Office of Rural Health Policy, 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For additional information, refer to the specific funding opportunities announcement.

93.260 FAMILY PLANNING_PERSONNEL TRAINING

FP Training.

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X of the PHS Act, 42 U.S.C. 300 et seq, 42 U.S.C 300 et seq.

OBJECTIVES:

To provide job specific training for personnel to improve the delivery of family planning services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to provide training to Title X project staffs; to improve utilization and career development of paraprofessional and paramedical manpower in family planning services, particularly in rural areas. Funds may not be used in programs where abortion is a method of family planning. Regulations and Program Guidelines: The regulations set out at 42 CFR part 59, subpart C, govern grants to provide training for family planning service providers. Prospective applicants should refer to the regulations in their entirety. Training provided must be consistent with the requirements for providing family planning services under Title X. These requirements can be found in the Title X statute, the implementing regulations (42 CFR part 59, subpart A), and the Program Guidelines for Project Grants for Family Planning Services (Program Guidelines) (January 2001). In addition, any training regarding sterilization of clients as part of the Title X program should be consistent with 42 CFR part 50, subpart B (Sterilization of Persons in Federally Assisted Family Planning Projects). Copies of the Title X statute, applicable regulations, and Program Guidelines can be obtained by contacting the OPHS Office of Grants Management, or may be downloaded from the Office of Population Affairs (OPA) web site at <http://www.hhs.gov/opa>, and are provided in the application kit for this announcement.

Applicant Eligibility:

Any public entity (including city, county, local, regional, or State government) or nonprofit private entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Mariana Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this program. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

Personnel delivering family planning services in Title X projects.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allow ability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for state and local governments, and OMB Circular No.A-122 for non-profit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application kits may be requested by contacting the Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. All grant opportunities are posted on www.grants.gov

Award Procedure:

The Regional Health Administrator, DHHS, makes the final decision(s) to approve, hold, or reject individual applications. Applicants are notified regarding the final decision on each application. All projects funded are issued a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 90 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are renewed, as required, based on submission of an application including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally approved for a project period of 3-5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$50,000,000; FY 10 est \$50,000,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

\$1.0 MIL TO \$2.0 MIL.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Regional Health Administrator, DHHS Regional Offices. (See Appendix IV of the Catalog for addresses.)

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.osophs.dhhs.gov> .

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.974 Family Planning_Service Delivery

Improvement Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Short-term family planning personnel training; (2) brief workshops on specific topics; and (3) family planning/women's health specialty training continuing education course for health care practitioners. Fiscal Year 2010: (1) Short-term family planning personnel training; (2) brief workshops on specific topics; and (3) family planning/women's health specialty training continuing education course for health care practitioners. Fiscal Year 2011: (1) Short-term family planning personnel training; (2) brief workshops on specific topics; and (3) family planning/women's health specialty training continuing education course for health care practitioners.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be evaluated to determine which would best promote the purposes of the authorizing legislation, taking into account: (1) The increase in delivery of services to people, particularly low-income groups, with a high percentage of unmet need for family planning services; (2) the promise of the program to fulfill the family planning services needs of the area to be served; (3) capacity to use assistance rapidly and effectively; (4) the administrative and management capability and competence of the applicant; (5) competence of the project staff; and (6) compliance with pertinent regulations and guidelines.

93.262 OCCUPATIONAL SAFETY AND HEALTH PROGRAM

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Federal Mine Safety and Health Act, Section 501(a), 30 U.S.C 1(NOTE) & 951(a); Public Health Service Act, Section 301(a) and 405, 42 U.S.C 241 and 284; Occupational Safety and Health Act of 1970, Section 20(a) and 21(a), 29 U.S.C 669(a) & 670(a); Executive Order Federal Regulations 42 CFR 52 and 45, Part 74 and 92.

OBJECTIVES:

To (1) recognize new hazards; (2) define the magnitude of the problem; (3) follow trends in incidence; (4) target exceptional hazardous workplaces for intervention; and (5) evaluate the effectiveness of prevention efforts. The goal of this program is to increase worker safety and health. To develop specialized professional and paraprofessional personnel in the occupational safety and health field with training in occupational medicine, occupational health nursing, industrial hygiene, occupational safety, and other priority training areas. To perform medical monitoring and treatment for World Trade Center Responders and non-Responders and to create a Registry of affected workers.

TYPES OF ASSISTANCE:

Project Grants; Training

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements are intended to support the direct costs of a project, in accordance with an approved budget, plus an appropriate amount for indirect costs. Training grants: Funds may be used for long term training programs and/or education and research centers. Support is provided for the direct costs of the program, plus certain indirect costs determined by Public Health Service policy on training programs. Amounts of stipends and other details are in accordance with Public Health Service policy. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support.

Applicant Eligibility:

Eligible applicants include for-profit or non-profit organizations, public or private institutions, such as universities, colleges, hospitals, and laboratories, units of State and local governments, eligible agencies of the Federal government, domestic or foreign institutions/organizations, faith-based organizations, Indian Tribes, Tribal Government, College and/or Organizations. Racial/ethnic minority individuals, women, and persons with disabilities are encouraged to apply as Principal Investigators. Training Grants: Any public or private educational institution or agency that has demonstrated competency in

occupational safety and health training at the technical, professional, or graduate level may apply. Trainees must be admissible to the grantee institution and must be enrolled in occupational safety and health training programs. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). For SBIR grants primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Research institutions and agencies as well as workers affected by occupational hazards.

Credentials/Documentation:

Applications must be signed by appropriate officials of the submitting institution. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q. For SBIR grants applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications are prepared and submitted following instructions provided in Funding Opportunity Announcements (FOAs). NIOSH publishes FOAs at <http://www.Grants.gov>, and in the NIH Guide for Grants and Contracts at <http://grants1.nih.gov/grants/guide/index.html>. Grants.gov is the portal for applications. Appropriate forms are specified in each FOA. Research and training programs utilize either the SF-424 R&R for electronic submission or the PHS 398 application form and instructions which are available on the Internet at: <http://grants1.nih.gov/grants/forms.htm>.

Award Procedure:

Awards are made on the basis of a two-step review of an investigator-prepared application. Applications are initially reviewed for scientific and technical merit by a scientific peer review group. The second level of review is performed by the NIOSH Secondary Review Committee for program relevance. Final approval of funding recommendations is made by the Director, NIOSH.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants and Awards: 7-9 months. Training Grants: 9-10 months. SBIR: 7-8 months.

Appeals:

From 120 to 180 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff in the NIOSH Office of Extramural Programs.

Renewals:

> 180 Days. Support is recommended for a specified project period, usually not in excess of 5 years. Prior to the end of a project period, the grantee may apply for renewal of support for a new project period. Applications for renewal (competing continuation, Type 2) will be reviewed in the same manner as a new application and will compete for available funds with other applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Research and Training Grants and Cooperative Agreements may be awarded for project periods ranging from one to five years depending on the recommendations of the scientific review group and the Secondary Review Committee, and on demonstration of successful performance and the availability of funds. SBIR Grants: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Notice of Grant Award.

Reports:

Terminal progress report (including citations of all resulting publications) within 90 days after end of project support. Cash reports are not applicable. Interim progress reports (including citations of all resulting publications) annually as part of a non-competing continuation application for previously recommended support. Annual financial status report within 90 days after the conclusion of each budget period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, including documents to support entries on accounting records and to substantiate charges to each grant, must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants) FY 09 \$94,525,742; FY 10 est \$94,600,000; FY 11 est \$94,600,000 - Occupational Safety and Health Program.

Range and Average of Financial Assistance:

General Grants and Cooperative Agreements: \$15,000 to \$4,924,000. Training Grants: \$29,000 to \$1,770,000. SBIR Grants: Phase I -up to \$150,000; Phase II - up to \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The actual number awards for FY 2009 was 233. Fiscal Year 2010: The estimated number of awards for FY 2010 is 240. Fiscal Year 2011: The estimated number of awards for FY 2011 is 240.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Parts 86 and 87. 45 CFR Part 74 or 92, as applicable. OMB Circulars A-21 and A-87. The PHS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the NIH Grants Policy Statement. Funding opportunity announcements as published in the NIH Guide: (<http://grants1.nih.gov/grants/guide/index.html>), the Grants.gov website (www.grants.gov), and the NIOSH OEP web site (<http://www.cdc.gov/niosh/oep/>). Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Any additional grant program legislation and regulation cited in the Notice of Grant Award.

Regional or Local Office:

None. Program Contact: Office of Extramural Programs, National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 1600 Clifton Road, NE., MS-E74, Atlanta, GA 30333. Telephone: (404) 498-2530. Grants Management Contact: Mr. Larry Guess, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Acquisition and Assistance Branch, Centers for Disease Control and Prevention, Department of

Health and Human Services, 626 Cochran Mill Road, PO Box 18070, Pittsburgh, PA 15236. Telephone: (412) 386-6826.

Headquarters Office:

Stephanie L. Shack, 1600 Clifton Rd., NE, Cubicle 4201.23, Mailstop E-74, Atlanta, Georgia 30333 Email: SShack@cdc.gov Phone: (404) 498-2547.

Website Address:

<http://www.cdc.gov/niosh/oep>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to stated program objectives. Training Grants: Criteria used in evaluating training proposals include: (1) Overall potential contribution of the project toward meeting program objectives; (2) the need for training in the areas outlined in the application; (3) curriculum content and design; (4) previous record of training; (5) evaluation methods; (6) experience and training of the project director and staff; (7) institutional commitment; (8) academic and physical environment; (9) past performance; and (10) appropriateness of budget. The following criteria will be used in considering the scientific and technical merit of SBIR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.264 NURSE FACULTY LOAN PROGRAM (NFLP)

NFLP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 846A, 42 U.S.C. 297n-1, as amended by the Affordable Care Act of 2010, Public Law 111-148, Section 847(f).

OBJECTIVES:

To increase the number of qualified nursing faculty. Schools of Nursing that receive NFLP funding must provide loans to students enrolled in eligible advanced degree nursing programs (masters or doctoral) with an education component(s) that will prepare the student to teach. NFLP loan recipients complete the education program, and following graduation, may cancel up to 85% of the NFLP loan while serving as full-time nurse faculty at an accredited

school of nursing.

TYPES OF ASSISTANCE:

Direct Loans

USES AND USE RESTRICTIONS:

The school must establish an NFLP fund to be used only for making NFLP loans to eligible students and for the costs associated with collection of these loans. Institutions may award a maximum loan amount of \$35,500 per student for an academic year to support the cost of tuition, fees, books, and other reasonable education expenses. Upon completion of the program, an amount up to 85 percent of the loan may be cancelled by the institution as follows: upon completion of the first, second, and third year of full-time faculty employment in an accredited school of nursing, 20 percent of the principal and interest of the unpaid loan shall be cancelled for each year; upon completion of the fourth year of full-time employment as faculty in an accredited school of nursing, 25 percent of the principal and interest of the unpaid loan shall be cancelled for the fourth year. The school will establish an NFLP fund to be used only for making NFLP loans to eligible students and for the costs associated with collection of these loans. (See above for additional information.) Institutions may award a maximum loan amount of \$30,000 per student for an academic year to support the cost of tuition, fees, books, laboratory expenses and other reasonable education expenses. Upon completion of the program, an amount up to 85 percent of the loan may be cancelled by the institution as follows: upon completion of the first, second, and third year of full-time faculty employment in a school of nursing, 20 percent of the principal and interest of the unpaid loan shall be cancelled for each year; upon completion of the fourth year of full-time employment as faculty in a school of nursing, 25 percent of the principal and interest of the unpaid loan shall be made be given for the fourth year.

Applicant Eligibility:

Eligible applicants include all accredited public, nonprofit and private or for-profit schools of nursing that offer advanced degree nursing education programs (master's or doctoral) to that prepare advanced degree nursing students to serve as nurse faculty.

In addition, the institution must be located in a State in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Trust Territory of the Pacific.

Beneficiary Eligibility:

Eligible nursing students must: (1) be a citizen or national of the United States, or a lawful permanent resident of the United States, the Commonwealths of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Trust Territory of the Pacific Islands; (2) be enrolled in an advanced degree program in nursing to become qualified nursing faculty; (3) not be in default on a Federal debt; and (4) maintain good academic standing.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov Web site at www.grants.gov. Applications are generally solicited by a funding opportunity announcement on the HRSA web site at <http://www.bhpr.hrsa.gov/grants/>.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program

official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 months after receipt of application.

Appeals:

Not Applicable.

Renewals:

Applications must be submitted annually for new funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Schools that participate in the loan program must contribute to the loan fund an amount equal to but not less than one-ninth of the fiscal year award.

Funding Factor: As provided under Title VIII of the Public Health Service Act, Section 846A (42 U.S.C. 297n-1), as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148, section 847(f) a statutory funding priority will be awarded to School of Nursing Student Loans that support doctoral nursing students.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for one (1) year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The institution must submit the Nurse Faculty Loan Program, Annual Operating Report (AOR) annually through electronic submission at <https://grants.hrsa.gov/webexternal/home.asp>.

The NFLP Annual Operating Report (AOR) is due each year within 45 days following the last day of the project period and should reflect the loan fund activity for the reporting period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Loans) FY 09 \$10,679,570; FY 10 est \$23,480,276; FY 11 est \$23,480,276

Range and Average of Financial Assistance:

Range is \$2,552 to \$728,671; Average is \$107,874.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, there were 149 schools participating in the program. The latest annual report data in the HRSA EHBs indicates 793 NFLP loan recipients, 223 NFLP graduates and 194 NFLP graduates employed as nurse faculty for the 2008-2009 academic period. Fiscal Year 2010: FY 2010 report data for the 2009-2010 academic period will be available by August 2010. Fiscal Year 2011: FY 2011 report data for the FY 2010-2011 academic period is not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Office: Denise Thompson, Public Health Analyst, Division of Nursing, Health Resources and Services Administration, Department of Health and Human Services 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857, Phone: (301) 443-1399, Email: dtompson@hrsa.gov.

Headquarters Office:

Denise Thompson 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857 Email: dtompson@hrsa.gov Phone: (301) 443-1399

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funded projects establish a NFLP loan fund to provide direct loan support to nursing students enrolled in an advanced education nursing program and following graduation from the program may cancel 85% of the NFLP loan while serving as full-time nurse faculty. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements. All eligible applicants are considered in a formula for determining awards. Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.265 COMPREHENSIVE GERIATRIC EDUCATION PROGRAM(CGEP)

CGEP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 855, Nurse Reinvestment Act, Public Law 107-205, of 2002 as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To train and educate nursing personnel in providing geriatric care for the elderly.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants to develop and implement, in coordination with programs under section 753, programs and initiatives to train and educate individuals in providing geriatric care for the elderly. Grants may be used to: Provide training to individuals who will provide geriatric care for the elderly; develop and disseminate curricula relating to the treatment of the health problems of elderly individuals; train faculty members in geriatrics; or provide continuing education to individuals who provide geriatric care; or establish traineeships for individuals who are preparing for advanced education nursing degrees in geriatric nursing, long-term care, gero-psychiatric nursing or other nursing areas that specialize in the care for the elderly population. Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential personnel travel expenses and other expenses related to the project. Restricted uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to eight percent of direct costs. Trainee expenses are not allowed.

Applicant Eligibility:

Eligible applicants are schools of nursing, academic health centers, a health care facility, a program leading to certification as a certified nurse assistant, a partnership of such a school and facility, or a partnership of such a program and facility, other appropriate public or private nonprofit entities; and for-profit entities capable of carrying out the legislative purpose.

Beneficiary Eligibility:

Training is for nursing personnel who provide, or will provide, care for the elderly and faculty members.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget period of the approved project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 3 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. No cash reports are required. A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. A progress report must be submitted for the second and subsequent budget periods within an approved project period. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$4,163,057; FY 10 est \$4,567,000; FY 11 est \$4,567,000

Range and Average of Financial Assistance:

(FY 2009) \$148,000 - \$172,800.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 27 awards were made in 2009. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: LCDR Carol Corbie, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-7762.

Headquarters Office:

Carol Corbie 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-7762

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed by a panel of peer reviewers using the following criteria: A clearly stated project purpose; a documented need for the proposed project; the potential effectiveness of the proposed project; a clearly articulated project plan including evaluation of project objectives; a plan for addressing diversity and cultural competence; a comprehensive plan for project management; the reasonableness of the budget and fiscal plan; the presence of established and/or planned linkages with relevant entities.

93.266 RAPID EXPANSION OF ANTIRETROVIRAL THERAPY PROGRAMS FOR HIV-INFECTED PERSONS IN SELECTED COUNTRIES IN AFRICA AND THE CARIBBEAN UNDER THE PRESIDENT'S EMERGENCY PLAN FOR AIDS RELIEF

Global AIDS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 301(a) and 307 of the Public Health Service Act, 42 U.S.C. Sections 241 (a) and 2421, as amended, Public Law 108-25, United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003, 22 U.S.C. 7601.

OBJECTIVES:

(1) To rapidly expand antiretroviral therapy (ART) to low income HIV-infected persons in a manner that is consistent with national plans and policies in the 15 targeted countries under the President's Emergency Plan for AIDS Relief (PEPFAR); and (2) To develop sustainable indigenous capacity to continue these programs after the project ends.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements will be awarded to organizations with excellent HIV care and treatment programs to expand their services.

Applicant Eligibility:

Applicants must have 3 years experience of providing ART in 3 or more of the targeted countries. Eligible entities are those providing clinical care and anti-retroviral therapy in at least 3 of the 15 focus countries under the President's Emergency Plan.

Beneficiary Eligibility:

Health care personnel and people living with AIDS.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110

applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

3-6 months.

Appeals:

Not Applicable.

Renewals:

Projects are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From 1 to 5 years. Budget Period: For 12 months. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Interim Progress Reports no less than 90 days before the end of the budget period. This will serve as the non-competing continuation application. Semi-annual Progress Report 7 months after the beginning of each budget period should provide progress on achieving objectives and modifications or new objectives. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$152,000,000; FY 10 est \$152,000,000; FY 11 est \$152,000,000

Range and Average of Financial Assistance:

Floor: \$8,000,000; Ceiling \$120,000,000; Average \$88,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Dr. Barbara Aranda-Naranjo, Global Program, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Suite 7-05, Rockville, MD 20857. Telephone: (301) 443-3327.

Headquarters Office:

Dr. Barbara Aranda-Naranjo 5600 Fishers Lane, Suite 7-05, Rockville, Maryland 20857 Phone: (301) 443-3327

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.941 HIV Demonstration, Research, Public and Professional Education Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's capability is sufficient in the areas of clinical care, drug and health commodities, laboratory services, training, community mobilization and behavior, monitoring and evaluation and sustainability; feasibility of their expansion plan; extent to which the organizational structure, management and staffing is appropriate and sound and the presentation of a reasonable budget.

93.267 STATE GRANTS FOR PROTECTION AND ADVOCACY SERVICES

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 1253, 300d-531, of the Public Health Service Act, Title V, 42 U.S.C. 290aa, et seq.

OBJECTIVES:

To make grants to Protection and Advocacy systems as established in each State for the purpose of enabling such systems to provide services to individuals with traumatic brain injury which may include: (1) information, referrals, and advice, (2) individual and family advocacy, (3) legal representation, and (4) specific assistance in self-advocacy.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to plan for, develop outreach strategies for, and carry out services for individuals with traumatic brain injury as authorized in the Section 1253 of the PHS Act. Grant funds must supplement, not supplant, nonfederal funds available in the State in which the protection and advocacy system is established.

Applicant Eligibility:

Grants are directly awarded to the system established in the State to protect and advocate the rights of persons with developmental disabilities, as outlined in the "Developmental Disabilities Assistance and Bill of Rights Act of 2000," as amended October 30, 2000 by Public Law 106-420 (45 U.S.C. 6041 et seq.). Grants are also available to the Protection and Advocacy Organization established to serve the Native American Consortium.

Beneficiary Eligibility:

Individuals with traumatic brain injury.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Public Health Service Act, Chapter 1253.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period for these grants is 48 months. Each allotment is available for obligation over a 24-month period; payments are made through an Electronic Transfer System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

See the funding opportunity announcement for additional information. See the funding opportunity announcement for additional information. See the funding opportunity announcement for additional information. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 4 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 4-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 4-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 09 \$3,273,589; FY 10 est \$3,272,375; FY 11 est \$3,300,000

Range and Average of Financial Assistance:

20,000 to \$117,267; \$52,105.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. LT Donelle McKenna, Program Officer, Protection and Advocacy for Traumatic Brain Injury Program, Division of Services for Children with Special Healthcare Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Room 18A-18, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: 301-443-2370.

Headquarters Office:

LT Donelle McKenna, 5600 Fishers Lane, Room 18-A18, Rockville, Maryland 20857 Phone: (301) 443-2370.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.234 Traumatic Brain Injury State Demonstration Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications for allotments must fulfill statutory requirements.

93.268 IMMUNIZATION GRANTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Section 317(j) of the Public Health Service Act (42 U.S.C. 247b(j)) reauthorized in Section 4204 of the Patient Protection and Affordable Care Act.

OBJECTIVES:

To assist states and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases (including measles, rubella, poliomyelitis, diphtheria, pertussis, tetanus, hepatitis B, hepatitis A, varicella, mumps, haemophilus influenza type b, influenza, and pneumococcal pneumonia).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, organizing, and conducting immunization programs directed toward vaccine-preventable diseases and for the purchase of vaccine; and for the implementation of other program elements, such as assessment of the problem; surveillance and outbreak control; information and education; adequate notification of the risks and benefits of immunization; compliance with compulsory school immunization laws; vaccine storage, supply management, and delivery; citizen

participation; and use of volunteers. Vaccine will be available "in lieu of cash" if requested by the applicants. Requests for personnel and other items "in lieu of cash" will also be considered. Vaccine purchased with grant funds may be provided to private practitioners who agree not to charge for vaccine. Grant funds may be used to supplement (not substitute for) existing immunization services and operations provided by a state or locality.

Applicant Eligibility:

Any U.S. state, and in consultation with state health authorities, political subdivisions of states and other public entities and U.S. territories may apply; private individuals and private nonprofit agencies are not eligible for immunization grants.

Beneficiary Eligibility:

Any U.S. state, political subdivision (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds. Costs will be determined in accordance with 2 CFR 225 (OMB Circular No. A-87) for state and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2716. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for state and local governments, must be used for this program.

Award Procedure:

After review and approval of an application, a Notice of Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: Variable. Budget Period: About 12 months. See the following for information on how assistance is awarded/released: Periodic grant rounds.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants) FY 09 \$3,514,289,286; FY 10 est \$4,030,473,328; FY 11 est \$4,008,549,507 - 317 Grants: FY 2009 \$460,219,425; FY 2010 est. \$451,411,020; and FY 2011 est. \$451,411,020. VFC Grants: FY 2009 \$3,054,069,861; FY 2010 est. \$3,579,062,308; and FY 2011 est. \$3,557,138,487.

Range and Average of Financial Assistance:

317 Grants: From \$252,725 to \$48,568,982; \$6,870,723 VFC Grants: From \$1,649,229 to \$368,026,903; \$52,334,322.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not updated by program. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Aaron Borrelli, 1600 Clifton Road, NE, MS E-52, Atlanta, Georgia 30033
Email: aborrelli@cdc.gov Phone: (404) 639-8715.

Website Address:

<http://www.cdc.gov/vaccines>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.269 COMPLEX HUMANITARIAN EMERGENCY AND WAR-RELATED INJURY PUBLIC HEALTH ACTIVITIES FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Executive Order Sections 307 and 317(k)(2) of the Public Health Service Act, [42 U.S.C. Section 2421 and 247b(k)(2)], as amended.

OBJECTIVES:

To bring public health and epidemiologic principles to the aid of populations affected by complex humanitarian emergencies. Work will focus on the following main program areas: (1) Providing technical assistance including rapid health and nutrition assessments, public health surveillance, epidemic investigations, disease prevention and control, program evaluation and emergency preparedness; (2) Developing, implementing and disseminating findings from operational research projects aimed at developing more effective public health interventions; (3) Designing, implementing, and evaluating training activities to strengthen the capacity of CDC, other U.S. government agencies, international and private voluntary organizations, other governments, and public health students; (4) Developing and disseminating guidelines on

public health issues and technical areas; and (5) Planning and maintaining partnerships with strategic international, bilateral, and non-governmental relief organizations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of projects related to domestic and international complex humanitarian emergencies and war-related injuries. The grants and cooperative agreements may be used for personnel, salaries, consultant costs, survey costs, training, equipment, supplies, travel, patient costs, miscellaneous items, and indirect costs.

Applicant Eligibility:

The general public will benefit from the objectives of this program.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

Award Procedure:

After review and approval of an application, a notice of award is made in writing and issued by the Grants Management Officer, Procurement and Grants Office, CDC. Appropriate notification is also made to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 2 to 3 months.

Appeals:

From 60 to 90 days.

Renewals:

From 90 to 120 days. Support is recommended for a specified project period, not to exceed 5 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period, not to exceed 5 years. Method of awarding/releasing assistance: lump sum.

Reports:

Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements is provided in 45 CFR Parts 74 and 92.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$3,499,000; FY 10 est \$3,499,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Pam Moon, 1600 Clifton Road, N.E. at CDC Parkway, Atlanta, Georgia 30333
Email: PYM1@cdc.gov Phone: (404) 639-7515.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposal selection criteria can be found in the program guidance.

**93.270 ADULT VIRAL HEPATITIS PREVENTION AND CONTROL
FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

301 and 317N of the Public Health Service Act (42 U.S.C. section 241 and 274b-15), as amended.

OBJECTIVES:

To assist State and local health agencies, health related organizations and other governmental and nongovernmental organizations in their efforts to decrease the incidence of new infections of hepatitis A, hepatitis B and hepatitis C viruses and to decrease risks for chronic liver disease including cirrhosis and liver cancer in persons with chronic hepatitis B and hepatitis C infections.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with establishing and maintaining a hepatitis surveillance and prevention program for the management, coordination, and evaluation of activities directed towards prevention of viral hepatitis infections including the identification, counseling and referral for medical management of persons with chronic HBV or HCV infection and integration of viral hepatitis prevention services into health care and public health services for adults at risk for viral hepatitis.

Applicant Eligibility:

Nonprofit with 501C3 IRS status (other than institution of higher education); Nonprofit without 501C3 IRS status (other than institution of higher education); For-profit organizations (other than small business); Small, minority, and women-owned businesses; Universities; Colleges; Research institutions; Hospitals; Community-based organizations; Faith-based organizations; Federally recognized or state-recognized American Indian/Alaska Native tribal governments; American Indian/Alaska native tribally designated organizations; Alaska Native health corporations; Urban Indian health organizations; Tribal epidemiology centers; State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau); Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

The general public will benefit from the objectives of this program.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74 and Part 92. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2700.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support is recommended for a specified project period not to exceed 5 years.

Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual financial status reports are required no later than 90 days after the end of each budget period. Financial status reports are required 90 days after the end of a project period.

Also see FOA for additional information. Quarterly or semiannual narrative and performance report are required of the recipient. Semiannual reports should address the recipient's progress of achievement of the stated objectives. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Property records must be retained in accordance with the HHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$4,972,193; FY 10 est \$5,203,429; FY 11 est \$5,200,000 - FY09 \$4,972,193; FY10 \$5,203,429; and FY11 est. \$5,200,000.

Range and Average of Financial Assistance:

\$4,924,888 to \$5,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carsonn, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.273 ALCOHOL RESEARCH PROGRAMS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 464H, and 42 U.S.C. 290Gb; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564, Public Law 78-410, 42 U.S.C 241.

OBJECTIVES:

To develop a sound fundamental knowledge base which can be applied to the development of improved methods of treatment and more effective strategies for preventing alcoholism and alcohol-related problems. The National Institute on Alcohol Abuse and Alcoholism (NIAAA) supports research in a broad range of disciplines and subject areas related to biomedical and genetic factors, psychological and environmental factors, alcohol-related problems and medical disorders, health services research, and prevention and treatment research. Small Business Innovation Research (SBIR) program: to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation and technology transfer through cooperative research and development carried out between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

(1) Research Project Grants provide support for clearly defined projects or a small group of related activities, and when appropriate, support of conferences; (2) Program Project Grants are for large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) Small Grants are for small-scale exploratory and pilot studies or exploration of an unusual research opportunity; Small Grants are limited to \$50,000 for direct costs for a period of up to 2 years; and (4) Exploratory/Developmental Grants are limited for a period of up to 2 years, and a maximum of \$275,000 for direct costs for the entire 2- year period. Funds may be used only for expenses directly related to the approved research project. SBIR Phase I grants (of approximately 6- months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or processes. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Public or private profit and nonprofit agencies, including State, local, or regional government agencies, universities, colleges, hospitals, academic or research institutions may apply for research grants. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public, profit and nonprofit private organizations.

Credentials/Documentation:

For-profit grantees' costs will be determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart C, Section 74.27. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by PHS, must be used by grant applicants. Application kits, containing the necessary forms and instructions, if not available at the applicant institution, may be obtained from the NIAAA. Consultation on proposed projects is also available. Preapplication consultation with NIAAA staff is required before submission of a Program Project grant application or any unsolicited new grant application requesting \$500,000 or more direct costs in any one year. Applications are reviewed by nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of the merit of the project. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Research Grants in support of projects recommended for approval by the National Advisory Council on Alcohol Abuse and Alcoholism and approved for payment are awarded directly by the NIAAA to the applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Dec 15, 2011 February 1, June 1, and October 1. SBIR: April 15, August 15, and December 15. STTR: December 1, only. AIDS related research applications January 2, May 1, and September 1.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of application for grant support. SBIR/STTR applications about 7-1/2 months. AIDS related research applications about 6 months.

Appeals:

A principal investigator may appeal the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for renewal of support for a new project period. An application for renewal is processed as a competing request. Small Grants and Exploratory/Developmental Grants are not renewable, but grantees may apply for research project grants on the same topics.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small Grants are limited to 2 years or less and are not renewable. Exploratory/Developmental Grants are limited to 3 years or less and are not renewable. Payments will be made either on a Monthly Cash Request System or under an Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued shortly after an award is made. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Awards will be released electronically to applicant institution. Funds are released annually.

Reports:

Program reports are not applicable. Cash reports are not applicable. Annual progress reports as a part of the continuation application. A final expenditure report is required at the conclusion of the project. The NIAAA Program officers will monitor performance by evaluating the annual progress report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0894-0-1-552.

Obligations:

(Project Grants) FY 09 \$340,803,000; FY 10 est \$349,887,000; FY 11 est \$356,437,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. Guidelines are included in application kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 90-50,000, (Rev.) April 1, 1994. Specific program announcements are available electronically from the NIAAA World Wide Web Home Page on the Internet at <http://www.niaaa.nih.gov> or from the NIH Home Page under Institutes and Offices. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. The Headquarters Office (National Institute on Alcohol Abuse and Alcoholism) is responsible for the administration of these programs.

Headquarters Office:

Ranga V. Srinivas, National Institute on Alcohol Abuse and Alcoholism, National Institutes of Health, 5635 Fishers Lane Room 2085, Rockville, Maryland 20852 Email: srinivar@mail.nih.gov Phone: (301) 451-2067 Fax: (301) 4437-043.

Website Address:

<http://www.nih.gov>

RELATED PROGRAMS:

Array

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit of the proposal; (2) program balance; and (3) relevance to NIAAA priorities and public health issues. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.275 SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES-ACCESS TO RECOVERY

ATR I, ATR II

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Public Health Act, Section 501(d)(5), 509, Public Law 106-310, 42 U.S.C 290aad5-290bb-2.

OBJECTIVES:

To implement voucher programs for substance abuse clinical treatment and recovery support services pursuant to sections 501 (d)(5) and 509 of Public Health Service Act (42 U.S.C. sections 290aa(d)(5) and 290bb-2). This program, called Access to Recovery (ATR), is to provide client choice among substance abuse clinical treatment and recovery support service providers, expand access to a comprehensive array of clinical treatment and recovery support options (including faith-based programmatic options), and increase substance abuse treatment capacity. Monitoring outcomes, tracking costs, and preventing waste, fraud and abuse to ensure accountability and effectiveness in the use of Federal funds are also important elements of the ATR program. Through the ATR grants, States, Territories, the District of Columbia and Tribal Organizations (hereinafter collectively referred to as "States") will have flexibility in designing and implementing voucher programs to meet the needs of clients in the State. The key to successful implementation of the voucher programs supported by the ATR grants will be the relationship between the States and clients receiving services, to ensure that clients have a genuine, free, and independent choice among eligible providers. States are encouraged to support any mixture of clinical treatment and recovery support services that can be expected to achieve the program's goal of achieving cost-effective, successful outcomes for the largest number of people.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities. Funds may be used for 15 percent administrative cost and 85 percent voucher. Also follow the policies and procedures that applies to the eligible entity. Funds may be used for 15 percent administrative cost and 85 percent voucher.

Applicant Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization. (A "Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban Indian health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such an organization.) The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application. Eligibility is limited to the immediate office of these Chief Executives because only they have the authority to leverage funding across the State, implement the necessary policy changes, manage the fiscal responsibilities, and coordinate the range of programs necessary for successful implementation of the voucher programs to be funded through these grants. No more than on application from any one Chief Executive or head of a Tribal Organization will be funded.

Beneficiary Eligibility:

Eligibility for Access to Recovery (ATR) grants is limited to the immediate office of the Chief Executive (e.g., Governor) in the States, Territories, District of Columbia; or the head of a Tribal Organization" means the recognized governing body of any Indian tribe or any legally established organization of Indians, including urban health boards, inter-tribal councils, or regional Indian health boards, which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such an organization.).

Credentials/Documentation:

The Chief Executive of the State, Territory, or District of Columbia, or the head of the Tribal Organization must sign the application. OMB Circular No. A-87

applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications must comply with the following requirements, or they will be screened out and will not be reviewed: Use of the PHS 5161-1 application; Application submission requirements in Section IV-3 of this document; and Formatting requirements provided in Section IV-2.4 of this document. Applicants may request a complete application kit by calling SAMHSA's National Clearinghouse for Alcohol and Drug Information (NCADI) at 1 (800) 729-6686. Applicants also may download the required documents from the SAMHSA Web site at www.samhsa.gov. Click on "grant opportunities." PHS 5161-1 (revised July 2000)-Includes the face page, budget forms, assurances, certification, and checklist. Applicants must use the PHS 5161-1. Applications not submitted on the PHS 5161-1 will be screened out and will not be reviewed. Request for Applications (RFA)-Includes instructions for the grant application. This document is the RFA.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Applications will be accepted for a project period of 1 to 3 years with 12-month budget periods. Annual awards will be made subject to continued availability of funds and progress achieved. See the following for information on how assistance is awarded/released: SAMHSA, Grants Management Office notifies grantees through a Notice of Award (NoA).

Reports:

Monthly program reports are required by the program officials. No cash reports are required. Quarterly progress report are required by the program officials. The 269 long form, financial status report is required by SAMHSA, Grants Management Office 90 days after the end of the budget period. SAMHSA monitors the grant program through Government Performance and Result Act (GPRA Government Project Officer), conference calls, site visits, and monthly and quarterly reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year records retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Project Grants) FY 09 \$55,314,030; FY 10 est \$55,314,030; FY 11 est \$55,314,030 - The ATR III will be funded in FY 2010.

Range and Average of Financial Assistance:

\$2,000,000 - 4,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 24 awards were funded. Fiscal Year 2010: To be announced. Fiscal Year 2011: to be announced.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cost Principles, 45 CFR Parts 74 and 92, the HHS Grant Policy Statement, OMB Cost Principles, Request for Application (RFA) and Terms and Conditions of Award.

Regional or Local Office:

None.

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.276 DRUG-FREE COMMUNITIES SUPPORT PROGRAM GRANTS

(Drug-Free Community Grants)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Drug-Free Communities Act of 1997, Public Law 105-20; Reauthorized by Public Law 107-82.

OBJECTIVES:

To increase the capacity of community coalitions to reduce substance abuse, and over time, to reduce substance abuse among adults through strengthening collaboration among communities, public, and private entities. To disseminate state-of-the-art information on practices and initiatives that have proven to be effective in reducing substance abuse among youth.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Drug Free Communities Program (DFC) was created by the Drug Free Communities Act, 1997 (Public Law 105-20), reauthorized through the Drug Free communities Reauthorization Act of 2001 (Public Law 107-82) and reauthorized again through the Office of national Drug Control Policy Reauthorization Act of 2006 (Public Law 109-469). The latest reauthorization extended the program for an additional five years until 2012.

Applicant Eligibility:

Community coalitions must demonstrate that the community coalition has worked together for a period of not less than 6 months on substance abuse reduction initiatives. The coalition must: meet the composition requirements; ensure that there is substantial community volunteer effort; ensure that the coalition is a nonprofit, charitable, educational organization, or unit of local government, or is affiliated with an eligible organization or entity; possess a strategy to be self-sustaining; provide a 100-150 percent cash or in-kind match; and agree to participate in an evaluation of the coalition's program.

Beneficiary Eligibility:

Community coalitions, children, youth, and adults, those at-risk of substance abuse, and private nonprofit, and public community agencies.

Credentials/Documentation:

Cost Principles that apply are A-21 for educational institutions, and A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Drug Free Community (DFC) is a collaborative initiative sponsored by Office of National Drug Control Policy (ONDCP) and Substance Abuse and Mental Health Services Administration (SAMHSA). Applications will be screened initially by ONDCP and SAMHSA to determine whether the applicant meets all the eligibility requirements. Applications submitted by eligible coalitions that meet all requirements will then be evaluated, scored, and rated by a peer review panel.

Award Procedure:

Upon approval by the Office of National Drug Control Policy and Substance Abuse and Mental Health Services Administration, a Notice of Award (NoA) signed by SAMHSA's Grants Management Officer is sent to the applicant agency. The NoA is the sole obligating document that allows the grantee to receive Federal funding for work on the grant project.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 1 to 4 months.

Appeals:

None.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Drug-Free Communities Support Program grants awarded under the Drug-Free Communities Act do require a 100-150 percent cash or in-kind match depending on funding.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the Drug-Free Communities Act, awards will be made for one year. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Quarterly report SF272 requires by the Division of Payment Management. Under the Drug-Free Communities Support Grants biannual progress and annual financial reports are required. Expenditure reports are not applicable. classification tool survey is due each year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantee's fiscal year.

Records:

Grantee must keep complete records on the disposition of funds, and records

related to the grant must be retained for 3 years.

Account Identification:

15-0405-0-1-754.

Obligations:

(Project Grants (Discretionary)) FY 09 \$81,877,600; FY 10 est \$63,653,475; FY 11 est \$63,653,475

Range and Average of Financial Assistance:

\$35,000 to \$125,000; \$109,053.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is estimated that 558 awards will be made in fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92; also the HHS Grant Policies.

Regional or Local Office:

None.

Headquarters Office:

Barbara J. Orlando, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: barbara.orlando@samhsa.hhs.gov Phone: (240) 276-1422 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are judged according to their consistency with the policies and program priorities established by the Drug-Free Communities Act. Specific criteria are applied that are related to the particular program areas under which projects are funded. The criteria are published in the Federal Register as part of the individual program announcements. Applications undergo a competitive peer review process as outlined in HHS.

93.279 DRUG ABUSE AND ADDICTION RESEARCH PROGRAMS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 464L, 464N, and 464P, 42 U.S.C. 241, 42 U.S.C. 284, 42 U.S.C. 295, 42 U.S.C. 2850-2, and 42 U.S.C. 2850-4; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support biomedical, basic and clinical neuroscience, behavioral and social science, epidemiologic, health services and health disparity research to develop new knowledge and approaches related to the prevention, diagnosis, treatment, etiology, and consequences of drug abuse and addiction, including HIV/AIDS. To support research training and research scientist development. To support dissemination of research findings. Small Business Innovation Research (SBIR) program: to expand and improve the SBIR program. The legislation is intended to expand and improve the SBIR programs to emphasize and increase private sector commercialization of technology developed through Federal SBIR research and development; increase small business participation in Federal research and development; and foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in the SBIR program. The legislation intends that the Small Business Technology Transfer (STTR) program stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and foster and

encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

(1) Research project grants provide support for clearly defined projects or a small group of related research activities, and when appropriate, support of research conferences; (2) program project and center grants support large-scale, broad-based programs of research, usually interdisciplinary, consisting of several projects with a common focus; (3) small grants support newer, less experienced investigators; investigators at institutions without a well developed research tradition and resources; the testing of new methods or techniques; small-scale exploratory and pilot studies, or exploration of an unusual research opportunity; small grants provide research support of up to \$50,000 direct costs per year for a period of up to 2 years; (4) research training grants including fellowships and institutional training grants, career development grants and loan repayment contracts; (5) SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research or research and development efforts to determine the quality of performance of the small business grantees. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercially viable products or processes. Only Phase I awardees are eligible to apply for Phase II support; STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application and the quality of performance of the small business concerns. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

For research grants the following organizations/institutions are eligible to apply: Public/State Controlled Institutions of Higher Education; Private Institutions of Higher Education: Hispanic-serving Institutions, Historically Black Colleges and Universities (HBCUs), Tribally Controlled Colleges and Universities (TCCUs), and Alaska Native and Native Hawaiian Serving Institutions; Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education); Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education); Small Businesses; For-Profit Organizations (Other than Small Businesses); State Governments; Indian/Native American Tribal Governments (Federally Recognized); Indian/Native American Tribally Designated Organizations; County Governments; City or Township Governments; Special District Governments; Independent School Districts; Public Housing Authorities/Indian Housing Authorities; and Other(s): Eligible Agencies of the Federal Government, Faith-based or Community-based Organizations, U.S. Territory or Possession, Indian/Native American Tribal Governments (Other than Federally Recognized), Regional Organizations, and Non-domestic (non-U.S.) Entities (Foreign Organizations). Eligible Individuals: Any individual, or individuals (multiple PDs/Pis), with the skills, knowledge, and resources necessary to carry out the proposed research as the PD/PI is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as individuals with disabilities are always encouraged to apply for NIH support. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research and/or development must be performed in the U.S. and its possessions. To be eligible, an SBIR grant application must be approved for scientific merit and program relevance by a scientific review group and by a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business

concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific and technical merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Public or private profit and nonprofit sponsored organizations and individuals, minority groups, small businesses, health professionals, students, trainees, scientists and general public.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The National Institutes of Health is using www.grants.gov to accept electronic submission of applications. They are also available from the NIH web site at www.nih.gov. Information concerning NIDA and the types of research supported may be found at www.drugabuse.gov or www.nida.nih.gov. Consultation on a proposed project may also be obtained from NIDA. Applications are reviewed by primarily nonfederal consultants recruited nationwide. The amount of the award and period of support are determined on the basis of scientific merit of the project as well as financial and programmatic consideration. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Phone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

Project grants with which the National Advisory Council on Drug Abuse has concurred with the recommendations of the Scientific Review Groups and approved by NIDA for payment are awarded directly by NIDA to the applicant institution. All accepted, research projects, SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by the National Advisory Council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of grant application. For AIDS applications the range will not exceed 180 days from cited receipt dates. SBIR/STTR applications: About 225 days.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Support is recommended for a specified project period, usually not in excess of 5 years. Prior to termination of a project period, the grantee may apply for additional support via competing continuation application unless otherwise

restricted. Small and exploratory/developmental grants are limited to up to 2 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. NA.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Grantee may apply for renewal of support on a competing basis unless otherwise restricted. Within the project period, continuation applications must be submitted on a non-competing basis for each year of approved support. Small grant support is limited to no more than 2 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Interim progress reports annually as part of a non-competing application for previously recommended support. Annual financial status report within 90 days after termination of annual grant and immediate and full reporting of any inventions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0893-0-1-552.

Obligations:

(Project Grants) FY 09 \$38,645,000; FY 10 est \$39,225,000; FY 11 est \$40,402,000

Range and Average of Financial Assistance:

Range-\$83,236 to \$1,248,747; Average \$154,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 251 awards were issued. Fiscal Year 2010: 251 awards are anticipated. Fiscal Year 2011: 251 awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; Guidelines are included in applications kits. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1998. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR Part 52 and 42 CFR Part 52a; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Camilla L. Holland 6101 Executive Blvd., Rm. 220, Bethesda, Maryland 20892
Email: chollan1@nida.nih.gov Phone: 3014351384

Website Address:

<http://www.nih.gov>; www.drugabuse.gov; <http://www.nida.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: (1) Epidemiology of drug abuse among minority populations; (2) Studies of AIDS among IV Drug Abusers; (3) Studies of Narcotic-Induced Respiratory Depression; (4) Endorphins: Metabolism, Release, and Tolerance; (5) Neurobiological and Behavior Mechanisms of Addictive and Compulsive Behavior; (6) Maternal/Paternal Effects of Drugs of Abuse; and (7) Effects of Drug Abuse on Adolescent and Development. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded: (1) Scientific and technical merit; (2) the feasibility of the research; (3) potential contribution to the national drug abuse problem; and (4) relevance to NIDA priorities. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technology.

93.280 NATIONAL INSTITUTES OF HEALTH LOAN REPAYMENT PROGRAM FOR CLINICAL RESEARCHERS

(NIH LRP-CR)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C 288-5A.

OBJECTIVES:

To attract and retain health professionals to clinical research careers by offering educational loan repayment for participants who agree by written contract to engage in clinical research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in clinical research in a qualified nonprofit institution or Federal or other domestic government agency (State, local), and in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. The maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary State and local tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt

threshold" will not be repaid by NIH and must be paid by the program participants.

Applicant Eligibility:

(1) A U.S. citizen, U.S. national, or permanent resident of the United States; (2) Have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) Have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) Conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (State or local); (5) Engage in qualified clinical research. Clinical research is patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which an investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials; (6) Engage in qualified clinical research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) Agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) Sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in clinical research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their nonfederal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as a Federal employee for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing clinical research careers and who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and

ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program for Clinical Researchers. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. See the following for information on how assistance is awarded/released: check with the program office.

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during the service period. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 09 \$40,286,759; FY 10 est \$45,000,000; FY 11 est \$44,000,000

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$65 to \$15,146. The average cost which includes loan and tax reimbursement is \$42,457.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 932. Fiscal Year 2010: NIH projects that 932 awards will be made in fiscal year 2009 and 2010. Fiscal Year 2011: NIH projects that 932 awards will be made in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 USC 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Stephen J. Boehlert, 6011 Executive Blvd., Suite 206, Bethesda, Maryland 20892 Email: boehlers@od.nih.gov Phone: (301) 451-4465.

Website Address:

www.lrp.nih.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.281 MENTAL HEALTH RESEARCH CAREER/SCIENTIST DEVELOPMENT AWARDS

(Research Career/Scientist Development)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 301, 42 U.S.C 241.

OBJECTIVES:

To provide support for research career development relating to causes, risk, diagnosis, genetics, development, epidemiology, prevention, treatment, and services use for mental illness, behavioral disorders, and HIV/AIDS; and relating to basic neuroscience and basic behavioral science research addressing basic and clinical research pertinent to mental disorders; in order to increase the number of individuals who are competing to advance research supported by the National Institute of Mental Health support, including women, individuals from underrepresented racial and ethnic minority groups, individuals with disabilities and individuals from disadvantaged backgrounds.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Mentored Research Scientist Development Award (K01, MRSDA), Mentored Clinical Scientist Development Award (K08, MCSDA), and Mentored Quantitative Research Career Development Award (K25) provide support for individuals with research potential who need additional mentored development and/or experience in a productive research environment. Awards are available for up to 5 years of salary support (up to \$90,000 per year) for the individual candidate, fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are allowable under justified circumstances. Mentored Patient-Oriented Research Career Development Award (K23) supports the career development of individuals who have made commitment to focus their research endeavors on patient-oriented research. Awards are available for three to five years up to \$90,000 per year to help offset the full time salary requirement of the candidate. The NIH Pathway to Independence (PI) Award (K99/R00) provides up to 2 years of mentored support (K99) for a postdoctoral individual followed by up to 3 years of support after the awardee transitions to research independence (R00). K99/R00 awards are awards for up to 5 years of support. During the K99 phase, awards provide up to \$50,000 per year for the salary of the individual candidate as well as fringe benefits, and 8 percent F&A costs. Specialized training costs and limited research costs are allowable under justified circumstances. Salary support for all mentored awards may be supplemented by the sponsoring institution from nonfederal funds. The Independent Scientist Award (K02, ISA) is for independent scientists whose careers are developing rapidly; the Mid-career Investigator Award in Patient-Oriented Research (K24) is for outstanding clinical scientists engaged in patient-oriented research. No specialized training costs are available; limited

research costs for K24s and K02s in theoretical areas are available.

Applicant Eligibility:

All awards in these series are made to appropriate domestic research centers, medical schools, departments of psychiatry, non-medical academic departments, psychiatric hospitals or hospitals with psychiatric services, community mental health centers, and biomedical research institutes on behalf of individuals who need the opportunity to realize research potential. Except for the NIH Pathway to Independence (PI) Award (K99/R00), the individuals must be citizens or nationals of the United States or have been lawfully admitted for permanent residence. The NIH Pathway to Independence (PI) Award (K99/R00) is open to both U.S. citizens and non-U.S. citizens. Individuals must qualify by scholastic degree and previous training and/or experience.

Beneficiary Eligibility:

Public and private profit or nonprofit organizations will benefit.

Credentials/Documentation:

For other grantees, costs will be determined in accordance with HHS Regulation 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be prepared and submitted electronically using the SF 424 (Research & Related). Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). For further assistance, contact GrantsInfo, Telephone (301) 435-0714, Email: grantsInfo@nih.gov. Information and instructions may be obtained from the program officials at the National Institute of Mental Health. Applications are reviewed by nonfederal consultants and recommended for support on the basis of merit. This program is subject to the provisions of OMB Circular No. A-21 for educational organizations and 45 CFR, Part 52.

Award Procedure:

All "K" Series awards are recommended for approval by the National Advisory Mental Health Council and those approved are awarded directly by NIMH to the applicant institution.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 240 to 270 days from submission of the application. Review of AIDS-related applications is expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeals procedure is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

K01, K08, K23, K25 awards are made for up to 5-year periods with no renewal. K02 and K24 awards are made for a 5-year period, renewable once (unless holder has held a previous K02 or K24 award). K99 awards are made for up to 2-year periods with no renewal.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Varies, with a project periods ranging from 1 to 5 years. Funding commitments are made annually. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Reports:

No program reports are required. No cash reports are required. Reports must be submitted as follows: (1) Interim progress reports annually as part of a non-competing application for previously recommended support; (2) terminal progress report within 90 days after end of project support; (3) annual financial status report within 90 days after termination of annual grant for some programs. In addition, immediate and full reporting of any inventions is required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Per the regulations, a for-profit (commercial) organization is subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant. For-profit organizations have two options regarding the type of audit that will satisfy the audit requirements either: 1. a financial related audit (as defined in the Government Auditing Standards, GPO Stock #020-000-00-265-4, <http://www.gao.gov/govaud/ybk01.htm>) of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements contained in OMB Circular No. A-133(<http://www.whitehouse.gov/OMB/circulars/a133/a133.html>).

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Salaries) FY 09 \$61,560,017; FY 10 est \$62,483,417; FY 11 est \$64,357,920

Range and Average of Financial Assistance:

\$4,951 to \$191,660; \$155,063.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, 397 awards were made. Fiscal Year 2010: FY10 est 402 awards. Fiscal Year 2011: FY11 est 415 awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Jean G. Noronha, National Institute of Mental Health, 6001 Executive Blvd, Room 6147, MSC 9609, Bethesda, MD 20892-9609, Rockville, MD 20852 (for Express mail), (301) 443-3367 (301) 443-4720 (FAX), Bethesda, Maryland 20892-9609 Email: jnoronha@mail.nih.gov Phone: (301) 443-3367 Fax: (301) 443-4720.

Website Address:

<http://www.nimh.nih.gov>

RELATED PROGRAMS:

93.242 Mental Health Research Grants; 93.282 Mental Health National Research Service Awards for Research Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: See NIH RePORTer tool to examine

funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2010: Fiscal Year 2010: See NIH RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations are used in determining projects to be funded: (1) priority score and recommendation of the Initial Review Committee; (2) program priorities of the Institute; and (3) the availability of funds.

93.282 MENTAL HEALTH NATIONAL RESEARCH SERVICE AWARDS FOR RESEARCH TRAINING

(NRSA Program)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 487, 42 U.S.C 288.

OBJECTIVES:

To ensure a continuing and adequate supply of well-trained scientists who are able to conduct research on mental health problems. The program provides training grants to institutions and fellowships to individuals at both the predoctoral and postdoctoral levels. There are awards to medical students proposing to acquire doctoral research degrees in addition to their medical degrees. Awards are made for training in the areas of basic and clinical neuroscience, genetics, developmental, behavioral, clinical, epidemiological, prevention, treatment and services research relevant to mental illnesses, behavioral disorders, and HIV/AIDS. These programs encourage training individuals in mental health research who are from underrepresented racial and ethnic groups, individuals with disabilities, or individuals disadvantaged because of educational or other circumstances that have inhibited their ability to pursue such a career.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Individual fellowships are made to students seeking predoctoral or postdoctoral support for full-time research training or who are enrolled in an formal M.D./Ph.D. program. An institutional allowance will be provided to the sponsoring institution for each awardee. In addition, any domestic public or nonprofit institution may apply for an institutional research training grant in a specified area of research from which a number of stipend awards will be made to individuals selected by the training program director at the institution. Support is available for both predoctoral and postdoctoral trainees and will include an amount for institutional expenses. Indirect costs may be requested at 8 percent of total direct costs, exclusive of tuition and related fees and expenditures for equipment, or actual indirect costs, whichever is less. Postdoctoral students receiving fellowships or stipend support under either individual or institutional grants for 12 months or less are obligated to pay back this support through an equivalent period of continued postdoctoral training or a period of health related research and/or teaching activities after the completion of their training. Postdoctoral individuals in their thirteenth and subsequent months of support will incur no further obligation, and such support will be considered acceptable postdoctoral payback service. Annual FY 09 stipend levels will be \$20,976 for predoctoral awards and \$37,368 to \$51,552 for postdoctoral awards dependent upon the number of years of relevant postdoctoral experience. Research training support may not be used for internships or other clinical training. COR Honors Undergraduate institutional training grants are designed to increase the number of well-prepared students from institutions with substantial minority enrollments who can compete successfully for entry into Ph.D. programs relevant to the mission of the NIMH. Stipends of \$11,064 per year may be requested for each full-time, junior/senior level trainee and other related institutional costs may be requested as described in the applicable program announcement.

Applicant Eligibility:

For a training grant, an institution must be a domestic public or nonprofit

private organization. The applicant institution must have, or be able to develop, the staff and facilities to provide the proposed research training in an environment suitable for performing high-quality research. An applicant for individual predoctoral fellowship support must be enrolled in a research doctoral degree program by the proposed activation date of the fellowship. A postdoctoral applicant must have received a Ph.D., Psy.D., M.D., D.D.S., Sc.D., D.N.S., D.O., D.S.W., or equivalent degree from an accredited institution to be eligible for an individual postdoctoral fellowship. An applicant for an M.D./Ph.D. individual fellowship must be enrolled in an M.D./Ph.D. program at an approved medical school, accepted in a related scientific program, and supervised by a mentor in the related scientific field. All individuals to be supported under the NRSA program must be citizens or noncitizen nationals of the United States, or have been lawfully admitted to the United States for permanent residence.

Beneficiary Eligibility:

Individuals and nonprofit organizations will benefit.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-21 for educational institutions. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q, and 42 CFR Part 66. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Institutional training applications must be prepared using the PHS 398 research grant application instructions and forms (revised 11/2007). The PHS 398 is available at <http://grants1.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Individual fellowship applications must be prepared and submitted electronically using the SF 424 (Research & Related). Information about the SF 424 form may be found on <http://grants.nih.gov/grants/funding/424/index.htm>, but application packages should be accessed through the appropriate funding opportunity announcement, found either on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>) or through the Grants.gov website (<http://www.grants.gov/>). For further assistance contact GrantsInfo, Telephone (301) 435-0714, Email: GrantsInfo@nih.gov. Information concerning current areas of science being supported is available from the National Institute of Mental Health (NIMH). Completed paper forms should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed for scientific merit by nonfederal consultants recruited nationwide from the mental health field. Applications other than fellowships must also be reviewed by the National Advisory Mental Health Council. If an institutional training grant is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant institution. If an individual fellowship is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the designated sponsoring institution business official. Postdoctoral students to be supported must submit required appointment forms, including a payback agreement and a Statement of Non-Delinquency on Federal Debt Certification. Predoctoral trainees whose appointments began after June 10, 1993, no longer incur a payback obligation. Honors undergraduate trainees incur no payback obligations as a result of COR support.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 5 to 7 months. Review of AIDS-related applications is

expedited.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

By law an individual may receive no more than 5 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). Any exception to these limitations requires a waiver from the Director of the awarding Institute based on review of justification from the awardee and the program director for the institutional grant.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

By law an individual may receive no more than 5 years of support in the aggregate at the predoctoral level and no more than 3 years of support in the aggregate at the postdoctoral level under the NRSA program (through an individual and/or institutional award). For an M.D./Ph.D. fellowship, 6 years may be requested. In practice, recommendations of review committees are generally for 2 or 3 years. Awards for institutional grants may be made for project periods of up to 5 years. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the application organization.

Reports:

No program reports are required. No cash reports are required. Annual progress reports are required. Financial status reports are required for Institutional and COR Honors Undergraduate National Research Service Awards. Annual reports of activities for tracking payback provisions are required from postdoctoral fellows and trainees upon termination of support. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For nongovernmental grant recipients, audits are to be carried out in accordance with the provisions set forth in OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-0892-0-1-552.

Obligations:

(Salaries) FY 09 \$42,474,784; FY 10 est \$42,789,097; FY 11 est \$45,570,389

Range and Average of Financial Assistance:

Predoctoral stipends: \$21,180. Postdoctoral stipends: \$37,740 to \$52,068 COR Honors Undergraduate: \$11,172.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, 291 individual and 138 institutional grant were awarded. Fiscal Year 2010: In FY10, an estimated 293 individual and 139 institutional grants will be awarded. Fiscal Year 2011: In FY11, an estimated 312 individual and 148 institutional grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 66. NIH Grants Policy Statement (Revised 12/2003).

Regional or Local Office:

None.

Headquarters Office:

Jean G. Noronha, National Institute of Mental Health, 6001 Executive Blvd, Room 6147, MSC 9609, Bethesda, MD 20892-9609, Rockville, MD 20852 (for Express mail), (301) 443-3367 (301) 443-4720 (FAX), Bethesda, Maryland 20892-9609 Email: jnoronha@mail.nih.gov Phone: (301) 443-3367 Fax: (301) 443-4720.

Website Address:

<http://www.nimh.nih.gov>.

RELATED PROGRAMS:

93.242 Mental Health Research Grants; 93.281 Mental Health Research Career/Scientist Development Awards

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Year 2009: See NIH RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2010: Fiscal Year 2010: See NIH RePORTer tool to examine funded research grants (<http://projectreporter.nih.gov/reporter.cfm> and/or <http://report.nih.gov/rcdc/categories/>). Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The following considerations will be used in determining projects to be funded. For Training Grants: (1) quality of the proposed training program as determined by peer review; (2) availability of funds; and (3) program priority. For Fellowships: (1) overall merit of the application; (2) relevance of the application to the research priorities and mission of the institute and programs; and (3) availability of funds.

93.283 CENTERS FOR DISEASE CONTROL AND PREVENTION INVESTIGATIONS AND TECHNICAL ASSISTANCE

CDC_Investigations, Technical Assistance and Affordable Care Act Projects

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 243, 247b(k)(2).

OBJECTIVES:

To assist State and local health authorities and other health related organizations in controlling communicable diseases, chronic diseases and disorders, and other preventable health conditions. Investigations and evaluation of all methods of controlling or preventing disease and disability are carried out by providing epidemic aid, surveillance, technical assistance, consultation, and program support; and by providing leadership and coordination of joint national, State, and local efforts. STEPS- To enable communities to reduce the burden of chronic disease, including: preventing diabetes among populations with pre-diabetes; increasing the likelihood that persons with undiagnosed diabetes are diagnosed; reducing complications of diabetes; preventing overweight and obesity; reducing overweight and obesity; and reducing the complications of asthma. STEPS will achieve these outcomes by improving nutrition; increasing physical activity; preventing tobacco use and exposure, targeting adults who are diabetic or who live with persons with asthma; increasing tobacco cessation, targeting adults who are diabetic or who live with persons with asthma; increasing use of appropriate health care services; improving the quality of care; and increasing effective self-management of chronic diseases and associated risk factors. REACH - REACH U.S. supports community coalitions that design, implement, evaluate, and disseminate community-driven strategies to eliminate health disparities Racial and ethnic groups targeted include: African American/Black, American Indian/Alaska Native, Asian, Native Hawaiian/Other Pacific Islander, and Hispanic/Latino. Health priority areas include: breast and cervical cancer;

cardiovascular disease; diabetes mellitus; adult/older adult immunization, hepatitis B, and/or tuberculosis; asthma; and infant mortality. ACA funding: Policy Education -- To ensure public policy leaders have the tools and knowledge to support improvements in the public health infrastructure so that the public health system is prepared to respond to both acute and chronic threats relating to the Nation's health.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

To strengthen State and local disease prevention and control programs, such as tuberculosis, childhood immunization, and sexually-transmitted diseases. To support national and local programs to combat disability related to epilepsy through education, partnership development, and enhanced communication. Services and program support: Epidemic aid; technical assistance (field studies and investigations of ongoing disease problems; occupational safety and health); consultation; dissemination of technical information; and provision of specialized services and assistance, including responses to public health emergencies. Training: Training State and local health professionals in broad areas of epidemiology, disability, and research programs such as hospital infections, hepatitis, vector-borne diseases, food-borne diseases, epilepsy, and tuberculosis, at the State or other health related organization's request.) To support capacity building, program planning, development, implementation, evaluation, and surveillance for current and emerging chronic disease conditions related to tobacco use. To achieve four Program Goals through community interventions and mobilization; counter-marketing; policy development and implementation, and surveillance and evaluation. Goals include preventing initiation to tobacco use among young people; eliminate exposure to second hand smoke; promote cessation among adults and young people who use tobacco; and identify and eliminate tobacco-related disparities among specific population groups. Lead regional efforts to prevent and reduce the use of tobacco and exposure to secondhand smoke. Conduct evaluations and implementation of culturally relevant and community competent tobacco control and prevention strategies and continue regional capacity building efforts. To address goal four of the National Tobacco Control Program (Identify and Eliminate Tobacco-Related Disparities). To increase the o eliminate health disparities among segments of the population, including differences that occur by gender, race or ethnicity, education, income, disability, geographic location, or sexual orientation. Establish a National Network consortium among six priority populations to coordinate and evaluate tobacco control and prevention initiatives through a broad national strategy to prevent and reduce tobacco use and exposure to second hand smoke and to eliminate tobacco-related disparities among priority populations. To provide funding to establish and/or enhance state-based telephone quitlines to provide tobacco cessation telephone counseling to the public at large. To fund projects that address the promotion of cessation among adults and young people who use tobacco (quitlines.) Address at the National level the promotion of cessation among adults and young people who use tobacco (quitlines). To build state arthritis programs that exponentially expand access and use of evidence-based interventions by embedding intervention programs into existing systems, conducting surveillance and data analysis to inform decision making, and developing state-level intervention, policy, and communication focused partnerships that further program goals. To strengthen state oral health programs and public health core capacity and to reduce inequalities in the oral health of targeted populations. To improve state public health programs, including support for program leadership and staff, to monitor oral health risk factors, and for developing and evaluating prevention programs. To support evidenced- based interventions to prevent oral disease, including community water fluoridation and school-based dental sealant programs. To identify and disseminate information on best practices for state and community oral health programs. To assess state programs and provide recommendations to address gaps and weaknesses. To collaborate in development of the National Oral Health Surveillance System and to cosponsor the annual National Oral Health Conference. To develop initiatives related to oral disease prevention and chronic disease and health promotion capacity. To improve and strengthen state oral health infrastructure by providing technical assistance to state health agencies and coalitions, developing and i mpl ementing a plan to advance the Surgeon General's National Call to Action to Promote Oral Health. To coordinate the dissemination of comprehensive oral disease prevention

information and health promotion programmatic expertise among state and local agencies and public and private sector organizations in the United States. To develop and promote policies to address oral disease prevention for high-risk adults. To identify modifiable barriers to optional care across diverse managed care settings. To promote public awareness and partnership, provide interstitial cystitis (IC) education for the general public and for health care providers, and develop and enhance communication channels to allow for improved interaction and information sharing among those with IC, advocates for persons with IC and their families, those who provide care and services for persons with IC, researchers and public health scientists. To promote public awareness and partnerships, assess the impact and burden of cognitive impairment within states and nationally, describe the characteristics of expanded data on caregivers and care from a public health perspective, provide Alzheimers disease and cognitive health education for the general public and health professionals, and develop and enhance communication channels to allow for improved interaction and information sharing about cognitive impairment and Alzheimers disease.

ACA funding: Conduct policy education aimed at strengthening public health infrastructure and performance including: 1) training/education about health reform as it relates to improvement of public health infrastructure investments; 2) networking between jurisdictions to ensure peer support and intra-jurisdictional learning about the role of public health in health reform; and 3) technical assistance in policy development that supports public health goals.

Applicant Eligibility:

States, political subdivisions of States, local health authorities, Federally recognized or state recognized American Indian/Alaska Native tribal governments and organizations with specialized health interests may apply. Colleges, universities, private nonprofit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Beneficiary Eligibility:

States, political subdivisions of States, local health authorities, and individuals or organizations with specialized health interests will benefit. Colleges, universities, private non-profit and public nonprofit domestic organizations, research institutions, faith-based organizations, and managed care organizations for some specific programs such as Diabetes.

Credentials/Documentation:

For other grantees, costs will be determined by HHS regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. To apply for research funding opportunities, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR Parts 74 or 92, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the

development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. Projects are renewable for periods of 1 to 3 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 1 to 5 years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Financial status and progress reports are required annually. Upon completion of the project, final financial status and performance reports are required. Interim reporting requirements may be delineated in the FOA. Cash reports are not applicable. Progress reports are required annually. Interim reporting requirements may be delineated in the FOA. Financial status reports are required annually. Upon completion of the project, final financial status reports are required. Progress reports are required annually. Upon completion of the project, performance reports are required. Interim reporting requirements may be delineated in the FOA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$21,421,543; FY 10 est not reported.; FY 11 est not reported. - Please see fiscal information for years 2010, and 2011 for Racial and Ethnic Approaches to Community Health Cooperative Agreement:
FY2010 - 24,203,000
FY2011 - 24,203,000 (Level Funding)

Please see fiscal information for years 2009, 2010, and 2011 for the Division of Oral Health for agreements 08-802, 816, 908, 1012 and 10-1012.

FY09

08-802: \$5,071,300

816: \$437,500

908: \$300,00

FY10

08-802: \$5,070,305

816: \$420,000

908: \$376,998

10-1012: \$880,342

FY 11 (Level Funding)

08-802: \$5,071,300

816: \$420,000

908: \$370,000

10-1012: \$880,342

FY10 est \$500,000; FY est not reported - PPACA Prevention and Public Health Fund (Title IV, Section 40002). (Salaries) FY 09 \$189,000; FY 10 est \$189,000; FY 11 est not reported. - PPACA Prevention and Public Health Fund (Title IV, Section 4002). (Salaries) FY 09 \$36,370,099; FY 10 est \$36,370,099; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit and identified on the notices of award. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Elijah West, 1600 Clifton Rd, Atlanta, Georgia 30333 Phone: (770) 488-5549.

Website Address:

<http://www.cdc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed on the basis of scientific/technical merit, with attention given to such matters as: (1) The degree to which the applicant satisfies the essential requirements and possesses other desired characteristics, such as depth, breadth, and scientific merit of the overall application relative to the types of research and demonstration projects proposed; (2) clarity of purpose and overall qualifications, adequacy and appropriateness of personnel to accomplish proposed prevention research projects and demonstration projects, and the nation's health priorities and needs; (3) ability to generalize, translate and disseminate to State or local health departments, boards of education and other appropriate national regional, and local public health agencies and organizations; (4) reasonableness of the proposed budget in relation to the work proposed.

93.284 INJURY PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKAN NATIVES_COOPERATIVE AGREEMENTS

Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301a.

OBJECTIVES:

To improve the quality of the health of American Indians and Alaska Natives

by developing the capacity of tribes to address their injury problems.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The assistance is used for three types of injury prevention related projects: (1) Part I Basic: Build or enhance local injury prevention capacity; and (2) Part II Intervention: Implement a proven or promising injury prevention intervention. This assistance is available to federally-recognized Indian tribes, tribal organizations, and urban Indian organizations. All IHS funds are discretionary funds.

Applicant Eligibility:

Federally-recognized tribes, tribal organizations, non-profit organizations serving primarily American Indians and Alaska Natives, and urban Indian organizations may apply.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Provisions of cooperative agreement require tribal endorsement in the form of Resolution to sanction tribal participation. Costs will be determined in accordance with OMB Circular No. A-87 for State, local, and Indian tribal governments (and Circular No. A-122 for Nonprofit Organizations) implemented through applicable grant administration regulations 45 CFR, 92 (45 CFR, 74 for nonprofit organizations). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard application forms, as furnished by IHS and required by 45 CFR, 92, for State and local governments and 45 CFR, 74, for nonprofit organizations, must be used for this program. Injury Prevention Programs are processed and administered by IHS Headquarters. This program is subject to the provisions of 45 CFR 92 for Indian tribes and Part 74 for Nonprofit organizations.

Award Procedure:

Cooperative agreements are awarded on a competitive basis with processing and final approved by Indian Health Service Headquarters.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operations.

Appeals:

Cooperative agreement appeals will follow PHS appeals procedures: 42 CFR, Part 50 Subpart D and DHHS appeals procedures: 45 CFR, Part 16.

Renewals:

Renewal of multi-year cooperative agreements is on a year-to-year basis and requires the submission of continuation applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

IHS Grants are usually 3 to 5 years for a project period. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division Of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Operations 90 after the end of each budget period. IHS grants are monitored by the Division of Grants Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, that are pertinent to the HHS grant in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, 92.42, grantees are required to maintain grant records 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 09 \$1,400,000; FY 10 est \$1,400,000; FY 11 est \$1,400,000 -

Range and Average of Financial Assistance:

Injury Prevention: Part I Basic Core Capacity Injury Prevention up to \$75,000; Part II Injury Intervention up to \$10,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, HHS Grants Policy Statement dated 1/07, ; Program Guidelines for Competitive Projects.

Regional or Local Office:

None. See Appendix IV of the Catalog for Indian Health Service Area Offices.

Injury Prevention Program Contact: Ms. Nancy Bill, Injury Prevention Program Manager, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-1054. For Grants Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) The apparent capability of the applicant to organize and manage the proposed project successfully considering the adequacy of staff, management systems, equipment and facilities; (2) the soundness of the applicant's plan for conducting the project and for assuring effective utilization of grant funds; (3) the adequacy of the budget in relation to the scope of the project and available funds; and (4) the relative effectiveness of the applicant's plan to carry out each of the requirements as set forth in the application.

93.285 NATIONAL INSTITUTES OF HEALTH PEDIATRIC RESEARCH LOAN REPAYMENT PROGRAM

(NIH Pediatric Research Loan Repayment Program; PR-LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C. 288-6.

, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C 288-6.

OBJECTIVES:

To attract and retain health professionals to pediatric research careers by offering educational loan repayment for participants who agree by written contract to engage in pediatric research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in pediatric research in a qualified nonprofit institution or Federal or other domestic government agency (State or local), and who are engaged in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary state and local tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants.

Applicant Eligibility:

Eligible applicants must: (1) be a U.S. citizen, U.S. national, or permanent resident of the United States; (2) have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (Federal, State, or local); (5) engage in qualified pediatric research (pediatric research is research that is directly related to diseases, disorders, and other

conditions in children); (6) engage in qualified pediatric research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in pediatric research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as Federal employees for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing pediatric research careers who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is non-profit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Nov 15, 2010: At 8:00 p.m., EST. Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The approximate time for approval/disapproval is 6 months from

the closing date of the annual application period.

Appeals:

Not Applicable.

Renewals:

> 180 Days. At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available for eligible individuals whose renewal applications score within the funding range. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 09 \$18,150,387; FY 10 est \$16,273,473; FY 11 est \$16,000,000

Range and Average of Financial Assistance:

For initial 2-year contract periods, loan repayment of 50 percent of education debt up to \$70,000 plus tax payments. For renewal contracts, loan repayment of 50 percent of education debt per year up to \$35,000 per year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 388. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in the Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-310, 42 U.S.C. 288-6. The NIH PR-LRP was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Stephen J. Boehlert, 6011 Executive Blvd., Suite 206, Bethesda, Maryland 20892 Email: boehlers@od.nih.gov Phone: (301) 451-4465.

Website Address:

www.lrp.nih.gov .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.209 Contraception and Infertility Research Loan Repayment Program; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.307 Minority Health and Health Disparities Research ; 93.308 Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research; 93.364 Nursing Student Loans; 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Pediatric Research Loan Repayment Program. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.286 DISCOVERY AND APPLIED RESEARCH FOR TECHNOLOGICAL INNOVATIONS TO IMPROVE HUMAN HEALTH FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 401(b)(1) &467z.

OBJECTIVES:

To support hypothesis, design, technology, or device-driven research relation to the discovery, design, development, validation, and application of technologies related to biomedical imaging and bioengineering. The program includes biomaterials (biomimetics, bioprocessing, organogenesis, rehabilitation, tissue engineering, implant science, material science, interface science, physics and stress engineering, technology assessment of materials/devices), biosensors/biotransducers (technology development, technology assessment, development of algorithms, telemetry), nanotechnology (nanoscience, biomimetics, drug delivery systems, drug bioavailability, microarray/combinatorial technology, genetic engineering, computer science, technology assessment), bioinformatics (computer science, information science, mathematics, biomechanics, computational modeling and simulation, remote diagnosis and therapy), imaging device development, biomedical imaging technology development, image exploitation, contrast agents, informatics and computer sciences related to imaging, molecular and cellular imaging, bioelectrics/biomagnetics, organ and whole body imaging, screening for diseases and disorders, and imaging technology assessment and surgery (technique development and technology development).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grant funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. Individual National Research Service Awards (NRSAs) are made directly to individuals for research training in specified areas related to the mission of NIBIB. Institutional NRSAs may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship or traineeship is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research (SBIR) Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer (STTR) Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply for a research grant. An applicant for an individual NRSA must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. An eligible institution for an institutional NRSA must be capable of providing predoctoral and/or postdoctoral research training opportunities for engineers,

bioengineers or other. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Credentials/Documentation: Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For an individual NRSA, the applicant's academic record, research experience, citizenship, and institutional sponsorship should be documented in the application. For an institutional NRSA, the applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular No. A-21. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Use of PHS 398 (Rev. 11/2007) and associated forms are required for all applications submitted to the NIH. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application Form PHS 398 (Rev. 11/2007) is the standard form. Application kits are available on-line at <http://grants1.nih.gov/grants/oer.htm>. Completed applications should be submitted via Grants.gov (<http://www.grants.gov>) or to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. The same application form will be used for all types of applications including training (NRSA), both individual and institutional, and SBIR/STTR. The training (NRSA) program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. SBIR and STTR grant applications should be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) SBIR/STTR Application Guide.

Award Procedure:

All applications for research or training are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. All applications favorably recommended compete for available funds on the basis of scientific merit and program emphasis. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Feb 01, 2009 Research Grants: February 1, June 1, and October 1. Renewals: March 1, July 1, and November 1. Individual NRSA's: April 5, August 5, and December 5. Institutional NRSA's: January 10, May 10 and September 10. SBIR or STTR: April 1, August 1, and December 1.

Range of Approval/Disapproval Time:

> 180 Days. Research Grants: From 6 to 9 months. NRSA's: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

From 30 to 60 days. A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants2.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Research Grant applications submitted for renewal are reviewed and selected for funding on a competitive basis. NRSA awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research Grant awards are usually made annually with no project periods to exceed 5 years in length. NRSA Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. Normally SBIR and STTR Phase I awards are for 6 months and Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Normally, awards are released each fiscal year.

Reports:

No program reports are required. Cash reports are not applicable. Annual Progress Reports are required. Instructions are found: <http://grants1.nih.gov/grants/funding/2590/2590.htm>. Annual financial status reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0898-0-1-552.

Obligations:

(Salaries) FY 09 \$267,016,000; FY 10 est \$274,162,000; FY 11 est \$281,069,000

Range and Average of Financial Assistance:

FY 09, Range: \$2000 - \$1,824,208 Avg.: \$366,781; FY 10 est. Range: \$3,000 - \$1,807,777; Avg.: \$373,105; FY 11 est. Range: \$3,000 - 1,804,471; Avg.: \$381,609.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 09 No. of Awards made: 728. Fiscal Year 2010: FY 10 est. No. of Awards will be made: 735. Fiscal Year 2011: FY 11 est. No. of Awards will be made: 737.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Program Contact: William Heetderks, MD, PhD, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number: (301) 496-9388. Facsimile: (301) 480-4973. Business Contact: Joe Mossiman, National Institute of Biomedical Imaging and Bioengineering, National Institutes of Health, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone Number: (301) 451-4247. Facsimile: (301) 480-4530. Use the same numbers for FTS.

Headquarters Office:

Valery Gordon, Office of Extramural Policy National Institute of Biomedical Imaging and Bioengineering, 6707 Democracy Blvd. Suite 200, MSC-5477, Bethesda, Maryland 20892-5477 Email: gordonv@mail.nih.gov Phone: (301) 451-0161 Fax: (301) 480-4973.

Website Address:

<http://www.nibib.nih.gov> .

RELATED PROGRAMS:

93.113 Environmental Health; 93.121 Oral Diseases and Disorders Research; 93.172 Human Genome Research; 93.173 Research Related to Deafness and Communication Disorders; 93.242 Mental Health Research Grants; 93.273 Alcohol Research Programs; 93.279 Drug Abuse and Addiction Research Programs; 93.361 Nursing Research; 93.394 Cancer Detection and Diagnosis Research; 93.395 Cancer Treatment Research; 93.396 Cancer Biology Research; 93.837 Cardiovascular Diseases Research; 93.838 Lung Diseases Research; 93.839 Blood Diseases and Resources Research; 93.846 Arthritis, Musculoskeletal and Skin Diseases Research; 93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research; 93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.859 Biomedical Research and Research Training; 93.865 Child Health and Human Development Extramural Research; 93.866 Aging Research; 93.867 Vision Research; 93.879 Medical Library Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge be advanced? What will be the effect of these studies on the concepts or methods that drive this field? (2) Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well-integrated, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? (3) Innovation: Does the project employ novel concepts, approaches or method? Are the aims original and innovative? Does the project challenge existing paradigms or develop new methodologies or technologies? (4) Investigator: Is the investigator appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the principal investigator and other researchers (if any)? (5) Environment: Does the scientific environment in which the work will be done contribute to the probability of success? Do the proposed experiments take advantage of unique features of the scientific environment or employ useful collaborative arrangements? Is there evidence of institutional support? (6) the

appropriateness of the proposed budget and duration in relation to the proposed research; (7) the relevance and importance to announced program objectives; (8) the adequacy of plans to include both genders, minorities and their subgroups, and children as appropriate for the scientific goals of the research. Plans for the recruitment and retention of subjects will also be evaluated; (9) the adequacy of the proposed protection for humans or the environment, to the extent they may be adversely affected by the project proposed in the application; and (10) the adequacy of the proposed plan to share data, if appropriate. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.288 NATIONAL HEALTH SERVICE CORPS SCHOLARSHIP PROGRAM

NHSC Scholarship Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Sections 338A and 338C-E, 338G.

OBJECTIVES:

To increase the supply of primary care physicians, dentists, behavioral and mental health professionals, certified nurse midwives, primary care nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSA) within the United States by providing service-obligated scholarships to health professions students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, dentistry, primary care nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may be included if needed by National Health Service Corps. Scholarships pay tuition and required fees to the school, a stipend to students and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses include books, supplies, equipment, uniforms, travel for the provision of clinical services, etc. The program requires a 1 year of service with a minimum 2-year service commitment post graduation. A maximum of 4 years of support may be awarded. Service is primarily a nonfederal salaried or contracted employee of a public or private non-profit entity, providing full-time primary health services. Services may also be in a fee for service private practice setting, or a Federal employee in Federal health systems such as the Indian Health Service, Immigration Health Service or Bureau of Prisons. Service sites are selected by the scholarship recipient from the list of high priority sites in HPSAs identified by the NHSC. Physicians receive their site list at the beginning of their last year of residency training; nurse practitioners,

nurse midwives, and physician assistants receive their site list within 6 months of their graduation. Service sites are limited to the United States, territories, and successor States to the Trust Territories, the Republic of Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. Deferments of service are granted to physicians to complete residencies in family practice, internal medicine, pediatrics, psychiatry, and obstetrics/gynecology.

Applicant Eligibility:

At the time of award, the applicant must be a U.S. citizen or national. The applicant must be enrolled or accepted for enrollment in an accredited school in the U.S., in a course of study leading to a degree in medicine, osteopathic medicine, dentistry, or other health professions. The applicant must be free of any Federal judgment liens or service obligation, have not defaulted on a Federal debt, and have no conflicting service obligation. The applicant must submit an application and a signed contract to the National Health Service Corps, Division of Applications and Awards, the Bureau of Clinician Recruitment and Service by the last Friday in March, agreeing to accept payment of scholarship and provide full-time primary health services in a Health Professional Shortage Area.

Beneficiary Eligibility:

U.S. citizens or nationals enrolled or accepted for enrollment in a State in a course of study leading to a degree in medicine, osteopathic medicine, dentistry or other health profession.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

NHSC scholarship awards are very competitive; the Program anticipates more applicants for scholarship awards than there are funds available. The applications of individuals who meet the eligibility criteria are scored numerically. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification to successful and rejected applicants is made no later than 6 months after deadline from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewal applications are not necessary for students in compliance; contracts may be multi-year funded through graduation (1 to 4 years, if the applicant signs the contract through the graduation date).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each award covers 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support. See the following for information on how assistance is awarded/released: Direct Deposit in recipients accounts through PSC.

Reports:

Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester as a full-time student pursuing the program for which the scholarship was awarded.

The employing facility must complete and send a semi-annual Employment Certification Form verifying that the participating health professional is providing full-time. The certification includes a signature by an appropriate official of the facility. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$19,441,374; FY 10 est \$9,747,120; FY 11 est \$12,851,804 -

Range and Average of Financial Assistance:

\$40,000-\$320,000 per year, with the average award being \$202,506.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 88 new NHSC Scholarship awards were made. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. National Health Service Corps Scholarship Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 594-4400. For 24-hour toll-free calls from outside MD: (1-800) 221-9393, use for requesting applications.

Headquarters Office:

Division of Applications and Awards 5600 Fishers Lane, Room 8A-55, Rockville, Maryland 20857 Phone: (301) 594-4400

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.364 Nursing Student Loans; 93.972 Health Professions Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Priority for consideration will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they complete their service obligation. Third priority will be given to students who are from a "disadvantaged background."

93.289 PRESIDENT'S COUNCIL ON FITNESS, SPORTS, AND

NUTRITION

President's Council on Fitness, Sports, and Nutrition (PCFSN)

FEDERAL AGENCY:

President's Council on Physical Fitness and Sports, Department of Health and Human Services

AUTHORIZATION:

Executive Order Executive Order 13265, dated June 6, 2002, as amended by Executive Order 13545, dated June 22, 2010.

OBJECTIVES:

The objectives of the PCFSN are confined to expanding interest in and awareness of regular physical activity, fitness, sports participation and good nutrition for Americans of all ages by encouraging the development, improvement, or enhanced coordination of programs that address physical activity, fitness, sports participation, and good nutrition. These objectives are accomplished by providing consultation and technical assistance, general publications and a web site, and by means of program evaluation and guidance to schools, government agencies, employee organizations, industrial organizations, recreation and park departments, youth organizations and others who wish to introduce or improve physical activity, fitness, sports, and nutrition programs. The PCFSN has no grant-making authority.

TYPES OF ASSISTANCE:

ADVISORY SERVICES AND COUNSELING

USES AND USE RESTRICTIONS:

Activities are largely limited to providing public information on physical activity, fitness, sports, and nutrition programs.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The PCFSN has no funds available to offer any type of financial assistance. However, requests for technical assistance and/or advisory services can be submitted to the Council in writing or orally. This program is excluded from coverage under E.O. 12372.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. See the following for information on how assistance is awarded/released: Not applicable. The PCFSN has no grant making authority.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$1,245,592; FY 10 est \$1,225,000; FY 11 est \$1,235,000 - None.

Range and Average of Financial Assistance:

Not applicable. The PCFSN has no grant making authority.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The PCPFS continued to serve as a catalyst for the Department to promote, encourage, motivate the development of physical activity/fitness and sports participation for all ages and abilities, and to publicize the health benefits of regular physical activity. PCPFS accomplished this through the President's Challenge Physical Activity and Fitness Award Program, and through a number of partnerships with private sector and non-profit organizations. Fiscal Year 2010: The PCFSN will continue to serve as a catalyst for the Department to promote, encourage, and motivate the development of physical activity fitness, sports participation, and good nutrition, particularly through the President's Challenge Physical Activity and Fitness Awards program. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Jane D. Wargo; President's Council on Fitness, Sports, and Nutrition; Department of Health and Human Services; 1101 Wootton Parkway; Tower Building, Suite 560; Rockville, MD 20852; Telephone: (240) 276-9847; Fax: (240) 276-9860. Email address: jane.wargo@hhs.gov.

Headquarters Office:

Jane D. Wargo, President's Council on Fitness, Sports, and Nutrition, Department of Health and Human Services, 1101 Wootton Parkway Tower Building, Suite 560, Rockville, Maryland 20852 Email: jane.wargo@hhs.gov Phone: (240) 276-9847 Fax: (240) 276-9860.

Website Address:

<http://www.fitness.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.290 NATIONAL COMMUNITY CENTERS OF EXCELLENCE IN WOMEN'S HEALTH

(CCOE or Community Centers of Excellence)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. 300u-2(a), 42 U.S.C 300u-2(a).

OBJECTIVES:

To provide recognition and funding to community-based programs that unite promising approaches in women's health across the following six components: (1) Comprehensive health service delivery; (2) training for lay and professional health providers; (3) community- based research; (4) public education and outreach; (5) leadership development for women as health care consumers and providers; and (6) technical assistance to ensure the replication of promising

models and strategies that coordinate and integrate women's health activities at the community level and improve health outcomes for underserved women. The focus of the CCOE initiative is to integrate, coordinate, and strengthen linkages between activities/programs that are already underway in the community in order to reduce fragmentation in women's health services and activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A majority of the funds from the CCOE award must be used to support staff and efforts aimed at coordinating and integrating the major components of the CCOE program. The Center Director, or the person responsible for the day-to-day management of the CCOE program, must devote at least a 75 percent level of effort to the program. Additionally, 25 percent of the award funding must target efforts to foster the transfer of lessons learned/successful strategies from the CCOE program (technical assistance). Funds may be used for personnel, consultants, supplies (including screening, education, and outreach supplies), and grant related travel. Items costing less than \$5,000 are considered to be supplies. Funds may not be used for construction, building alterations, medical treatment, equipment, or renovations. All budget requests must be fully justified in terms of the proposed CCOE goals and objectives and include a computational explanation of how costs were determined. Applications from academic health centers or organizations that are part of academic health centers and state, county and local health departments will not be accepted. To ensure a wide geographic distribution of the Center of Excellence in Women's Health model, applications will not be accepted from organizations in States or territories that currently have a CCOE or a CoE program. Applications will not be accepted from organizations in the following States/Territories: AZ, CA, IL, IN, LA, MA, MI, MO, MN, NM, NY, OH, PA, PR, VT, WA, and WI.

Applicant Eligibility:

The CCOE applicant must be public or private nonprofit community-based hospitals, community health centers, or community-based organizations serving underserved women. Community entities/organizations, including faith-based organizations, that have alliances, partnerships, networks with, or have other affiliations with an academic health center are also eligible to apply for a CCOE grant as long as the community entity/organization has a leading management role in the activity and maintain control of all funds. Organizations that have previously submitted CCOE applications, but were not funded, are also eligible to reapply for this award.

Beneficiary Eligibility:

Underserved women will benefit.

Credentials/Documentation:

Each applicant for a cooperative agreement funded under this CCOE announcement must, at a minimum: (1) present a plan to integrate all six components of the CCOE program by the end of the first year of funding, although only four components have to be in place at the time the application is submitted; (2) develop a CCOE advisory board or ensure that their already established advisory board is included in the decision-making process for CCOE program development, identification of community-based research questions, and formulation of CCOE policies; (3) be a sustainable organization with an established network of partners capable of providing coordinated and integrated women's health services in the targeted community; (4) have an established clinical care center/facility, an operating public educational/outreach program, and a community identified as the recipient of technical assistance at the time the application is submitted; (5) demonstrate the ways in which the organization and the care that are coordinated through its partners are women-focused, women-friendly, women-relevant, and sensitive to the importance of patient/provider communication/relationships for medically underserved women of all ages; (6) detail/specify the roles and resources/services that each partner organization brings to the program, the duration and terms of agreement as confirmed by a signed agreement between the applicant organization and each partner, and describe how the partner organizations will operated within the CCOE structure; (7) describe in detail plans for the local evaluation of the CCOE program and when and how information obtained from the evaluation will be used to enhance the CCOE program; and (8) describe in detail the planned community-based research and

the research methodology/procedure. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All available grant opportunities are posted on www.grants.gov. Application kits may be requested by calling 240-453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete, arrive after the deadline, or are from states that already have a CCOE or a CoE program will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts in the fields of program management, community service delivery, community service delivery, community outreach, health education, community-based research, education, training, leadership/career development, and technical assistance to other communities. Funding decisions will be determined by the Director, Division for Program Management, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators. Preference will be given to DHHS regions that do not have a CCOE or a CoE program and to programs proposed to be implemented in medically underserved areas, enterprise communities, empowerment zones, and applications from Regions IV and VIII.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project period is for 3 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Support may be requested for a total project period not to exceed 5 years. Non-competing continuation awards of up to \$150,000 will be made subject to satisfactory performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$1,500,000; FY 10 est \$900,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$150,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:

Department of Health and Human Services, Office of Public Health and Science, Office on Women's Health, 200 Independence Ave, SW, Washington, DC 20201.

Grants Management Contact:

Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.4woman.gov/owh/CCOE/index.htm> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications selected for funding will be reviewed using the following criteria: (1) The implementation plan as judged by the appropriateness of the existing community resources and linkages, the appropriateness of the proposed approach, component integration, and specific activities described to address each element of the CCOE program, soundness of the evaluation plan, willingness to anticipate in the national CCOE evaluation, and willingness to contribute to the development of a comprehensive national CCOE "how-to manual." (2) The management plan which describes the applicant organization's capability to manage the project as determined by the qualifications of the proposed staff or requirements for "to be hired" staff, proposed staff level of effort, management experience of the lead agency and the experience, resources, and role of each partner organization. (3) The evaluation plan and its relationship to program goals. (4) Plans for the provision of technical assistance and the potential for replication of the CCOE model in similar populations and communities. (5) The merit of the objectives outlined by the applicant do address the CCOE program in a way relevant to the targeted community needs and available resources. (6) Adequacy of demonstrated knowledge of systems of health care for underserved women at the local level; demonstrated need within the proposed local community and target population of underserved women; demonstrated support and established linkages in place to operate a fully functional CCOE program; demonstrated access to medically underserved women; and documented past efforts/activities outcome with underserved women.

93.291 SURPLUS PROPERTY UTILIZATION

(Federal Property Assistance Program)

FEDERAL AGENCY:

Program Support Center, Department of Health and Human Services

AUTHORIZATION:

Federal Property and Administrative Services Act of 1949, Section 203(k), Public Law 81-152, 40 U.S.C. 484., Section 203(k), Public Law 81-152, 101 Stat. , 40 U.S.C 484; Stewart B. McKinney Homeless Assistance Act of 1987, Section 501, Public Law 100-77, 101 Stat. 509, 42 U.S.C 11301.

OBJECTIVES:

To convey or lease all surplus Federal real properties made available by the disposal agency which are needed and usable by eligible organizations and institutions to carry out health programs, including homeless assistance programs.

TYPES OF ASSISTANCE:

SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS

USES AND USE RESTRICTIONS:

Real property must be used for eligible health purposes including research. It may consist of land with or without buildings and other improvements or buildings only. A discount of up to 100 percent based on the proposed-use program is granted. This discount applied against the fair value of the property, is earned by approved use over a prescribed period of 30 years for land with or without improvements, and a lesser time for leased facilities and improvements which are sold without land. Allowance of less than 100 percent requires payment of the difference in cash at the time of conveyance. Property must be used for the purpose for which conveyed, and may not be sold, leased, mortgaged, or encumbered without consent of the Department. Property must be used for the approved purpose for a specific period of time (i.e., 30 years); property can not be sold, encumbered, mortgaged, etc. without prior approval of office; grantee required to submit annual utilization reports; grantee must not discriminate in its use of the property; etc.

Applicant Eligibility:

States, their political subdivisions and instrumentalities; tax-supported public health institutions, and nonprofit institutions which (except for institutions which lease property to assist the homeless under Title V of Public Law 100-77) have been held exempt from taxation under Section 501 (c) (3) of the 1986 Internal Revenue Code.

Beneficiary Eligibility:

Anyone attending, working with or for, or served by the eligible applicants. Examples of potentially eligible use programs are hospitals, public health clinics, water and sewer systems, institutions for the rehabilitation of mentally or physically handicapped, health research institutions, homeless assistance facilities, and other institutions with basic health programs.

Credentials/Documentation:

Applicants must demonstrate current need for properties they request and the ability to carry out the proposed program. Applicants must also provide documentation of its authority to hold title to real property and evidence of tax-exempt status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Notice of availability of surplus real property is sent by the Division of Property Management, Program Support Center (PSC), Department of Health and Human Services (DHHS), to all known potentially interested institutions. When applying for real property, an informal preapplication conference either in person or by telephone is recommended. At that time, consultation and assistance are available to aid in the preparation of an application. Applicants are required to submit factors for consideration of potential environmental impact, in accordance with the Environmental Questionnaire furnished with the application instructions. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants interested in acquiring Federal real properties must contact the

Division of Property Management, PSC, DHHS.

Award Procedure:

Real property is awarded to the applicant whose programs of use are determined to be in the highest public interest. Land (with or without improvements) is conveyed by quitclaim deed or lease; buildings for off-site removal are by agreement of sale.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Normally, approval or disapproval is made within 30 days after an application has been submitted for real property. For properties to assist homeless individuals under Title V of the McKinney Act, approval or disapproval is made 25 days after a complete application is received.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Deed restrictions run for a period of 30 years and Agreements of Sale are normally for 5 years, after which the transferee has clear title to the property. Restrictions on leased property run for the period of the lease. See the following for information on how assistance is awarded/released: Via deed or lease.

Reports:

Transferee is required to submit an annual utilization report on the operation and maintenance of the property. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Department's Audit agency makes periodic audits of the headquarters operation and may make spot checks of the utilization by real property transferees.

Records:

Transferees of real property, who expend funds to rebuild, refurbish, or to otherwise improve property under restrictions, should maintain records of these costs in the event they wish to dispose of the property prior to termination of the restriction period.

Account Identification:

75-4552-0-1-551.

Obligations:

(Sale, Exchange, or Donation of Property and Goods) FY 09 \$674,000; FY 10 est \$691,000; FY 11 est \$783,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 45, Subtitle A, Part 12, Disposal and Utilization of Surplus Real Property for Public Health Purposes. Literature: The following pamphlet is available from the Division of Property Management: "How to Acquire Federal Surplus Real Property for Public Health Purposes," at no charge.

Regional or Local Office:

None.

Headquarters Office:

Theresa M. Ritta, 5600 Fishers Lane, Parklawn Building, Rockville, Maryland 20857 Email: tritta@psc.gov Phone: (301) 443-2265.

Website Address:

<http://www.psc.gov/aos/federalprop>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications must establish eligibility of the institution, its programs, and the proposed use to meet the program needs. A public benefit allowance formula, uniformly applied, determines the respective benefits of each program. Basic allowance of 50 percent is allowed to institutions meeting the following: (1) Proof of current need; (2) ability to operate and maintain; (3) suitability of facilities or adaptability for conversion; (4) requirement for utilization through period of restrictions; and (5) nondiscrimination because of race, color, sex, age, handicap, or national origin. Additional allowances are made for tax support, accreditation, hardship, integrated research, outpatient services, public services, and training programs. For competing programs, the one showing the greatest public benefit is selected. Where property can be divided, as many compatible programs as possible are accommodated.

93.295 INTERGENERATIONAL APPROACHES TO HIV/AIDS PREVENTION EDUCATION WITH WOMEN ACROSS THE LIFESPAN PILOT PROGRAM

(Intergenerational)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

42 U.S.C. 300u-2(a), 42 U.S.C 300u-2(a).

OBJECTIVES:

For African American, Native American/American Indian, Hispanic/Latino, and Asian/Pacific Islander women and other female members of the family 12+ years old to: know their serostatus; increase their knowledge of HIV/AIDS prevention; gain competencies in cross-generational communications about health in general and sexual health specifically; and connect with a primary healthcare physician (and navigate other systems of care).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

A majority of the funds from the award must be used to support staff and efforts aimed at implementing the program. Funds may be used for supplies (including screening, education, and outreach supplies); local travel to perform duties of the funded HIV/AIDS prevention program; and out-of-town travel (required training at one national and one regional HIV/AIDS prevention conference). Funds may not be used for construction, building alterations, equipment, medical treatment, or renovations. All budget requests must be justified fully in terms of the proposed goals and objectives and include an itemized computational explanation/breakout of how costs were determined.

Applicant Eligibility:

The applicant must be a non-profit community-based organizations, faith-based organizations, national organizations, colleges and universities, clinics and hospitals, research institutions, State and local government agencies, tribal government agencies and tribal/urban Indian organizations.

Beneficiary Eligibility:

Non-profit community-based, faith-based, and women's service organizations serving women will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information and application kits may be requested by calling 240-453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with HHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be determined by the Director, Division for Policy and Program Development, Office on Women's Health and will take into consideration the recommendations and ratings of the review panel; program needs, stated preferences; geographic location; and recommendations of DHHS Regional Women's Health Coordinators.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Project period is for 1 year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period not to exceed 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with: the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$1,200,000; FY 10 est \$1,200,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$150,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: To teach cross-generations of women how to develop healthy communication patterns built on caring, trusting familial relationships. To equip women to give accurate information about their sexual health issues by incorporating gender-focused, culturally competent, and linguistically-appropriate HIV/AIDS prevention information. Fiscal Year 2010: To teach cross-generations of women how to develop healthy communication patterns built on caring, trusting familial relationships. To equip women to give accurate information about their sexual health issues by incorporating gender-focused, culturally competent, and linguistically-appropriate HIV/AIDS prevention information. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact: Department of Health and Human Services, Office of Public Health and Science, Office on Women's Health, 200 Independence Ave, SW, Washington, DC 20201

Grants Management Contact:

Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.4woman.gov/owh> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To receive consideration, the applications must be received by the Office of Grants Management, Office of Public Health and Science (OPHS), Department of Health and Human Services (DHHS), no later than July 31, 2006. Application Review Information Criteria: The technical review of applications will consider the following (4) factors: Factor 1: Background/Understanding of the Problem (30%), Factor 2: Implementation /Approach (25%), Factor 3: Management Plan (25%), and Factor 4: Evaluation Plan (20%). For specific details see the full announcement published in the Federal Register.

93.296 STATE PARTNERSHIP GRANT PROGRAM TO IMPROVE MINORITY HEALTH

State Partnership Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq., Title 17, Section 1707, 42 U.S.C 300u et seq.

OBJECTIVES:

To facilitate the improvement of minority health and eliminate health disparities (adult/child immunization, asthma, cancer, diabetes, heart disease and stroke, HIV, infant mortality, and mental health) through the development of partnerships with state and territorial offices of minority health.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used to support the development and implementation of minority-targeted strategies which will facilitate improvement in minority health and address health disparities and gaps. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

State/Territorial Offices of Minority Health (established through legislation, executive order, or a directive process) are eligible to apply.

Beneficiary Eligibility:

Members of minority groups: Alaskan Natives; American Indians; Asians; Blacks/African Americans; Hispanics/Latinos; Native Hawaiians and other Pacific Islanders; or subgroups of these.

Credentials/Documentation:

Documentation to verify official status as a State or Territorial Office of Minority Health (e.g., copy of Executive Order, statute, or administrative directive) and a signed statement from an authorizing official (e.g., Commissioner of Health or designee in the state/territorial Department of Health). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application kits for this Program may be obtained by accessing Grants.gov at <http://www.grants.gov> or the GrantSolutions system at <http://www.grantsolutions.gov>. To obtain a hard copy of the application kit for this grant program, contact OPHS Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852; or by phone at 2404538822. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the program announcement. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the Grants.gov Web Site Portal is encouraged. Information about this system is available on the Grants.gov Web Site.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Approximately 90 to 120 days from the application deadline date.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants can have a project period not to exceed 5 years, with 12 month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Progress reports are required semi-annually. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report report is due 90 days following the end of the project period. Grantees are required to use the PDS evaluation system. In addition to the required semi-annual progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For State and local governments, audits are to be carried out in accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," or Federal laws and regulations of the programs in which they participate.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$5,000,000; FY 10 est \$6,000,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

120,000 to 175,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Headquarters Office: Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-2882.

Program Contact: Ms. Sonsiere Cobb-Souza, Acting Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8444.

Grants Management Contact: Office of Grants Management, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be obtained from the Program contact. Listed below are some criteria used to review applications: 1) consistency of project's objectives relevant to the Program purpose and the federal Office of Minority Health's mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organization's management capability; 4) adequacy of qualifications and experience of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.297 TEENAGE PREGNANCY PREVENTION PROGRAM**FEDERAL AGENCY:**

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

The statutory authority for awards under this Funding Opportunity Announcement is contained in Division D, Title II of the Consolidated Appropriations Act, 2010 (Public Law 111-117).

OBJECTIVES:

To make competitive grants to public and private entities to (a) fund a wide range of evidence-based teen pregnancy prevention programs, and (b) research and demonstration projects testing additional strategies for preventing teenage pregnancy.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

A wide range of evidence-based programs are eligible for these funds. Grants are available to funding medically accurate and age appropriate programs that reduce teen pregnancy. Of the \$100 million appropriated, \$75 million must be used for programs that have been proven effective through rigorous evaluation to reduce teenage pregnancy, behavioral risk factors underlying teenage pregnancy, or other associated risk factors. The remaining \$25 million must be used for research and demonstration grants to develop, replicate, refine, and test additional strategies for preventing teenage pregnancy. Funds are to support reasonable program purposes including staffing, travel, supplies, and services. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation.

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations and private nonprofit entities.

Beneficiary Eligibility:

Teenagers in the US.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

This program does not require preapplication coordination. The Governor(s) of the State(s) in which the project is to be located is to be given 60 days in which to review and comment on applications for funding under this authority. This program is subject to the Public Health Systems Reporting Requirements.

Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Requests for the standard Grant Application Form OPHS-1 and instructions for submission should be directed to Ms. Karen Campbell, Director, Office of Grants Management, Office of Public Health and Science (OPHS), Office of the Secretary, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8822. A signed original and two copies of the application are to be sent to the above address. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS GrantSolutions system or the Grants.gov Web site Portal is encouraged. Information about these systems is available on the OPHS GrantSolutions Web site (<https://www.grantsolutions.gov>), the Grants.gov Web site (<http://www.grants.gov>), or may be requested from the OPHS Office of Grants Management at (240) 453-8822.

Application kits may be requested by calling (240) 453-8822 or writing to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications should be submitted to: Ms. Karen Campbell, Director, Office of Public Health and Science (OPHS), Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852. Applications must be prepared using Form OPHS-1. This form is available in Adobe Acrobat format at the following website: <http://www.cdc.gov/od/pgo/forminfo.htm> . The Office of Public Health and Science (OPHS) provides multiple mechanisms for the submission of applications. Applicants will receive notification via mail from the OPHS Office of Grants Management confirming the receipt of applications submitted using any of these mechanisms. Applications submitted to the OPHS Office of Grants Management after the deadlines will not be accepted for review and will be returned to the applicant unread. The submission deadline will not be extended. Applications which do not conform to the requirements of the grant announcement will not be accepted for review and will be returned to the applicant. Applications may only be submitted electronically via the electronic submission mechanisms specified below. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted for review. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the OPHS e-Grants system or the Grants.gov Web site Portal is encouraged. Information about this system is available on the OPHS e-Grants Web site, <https://egrants.osophs.dhhs.gov>.

Application Form PHS-398 (Rev. May 1995) for Research Grants should be submitted. The standard application forms, as furnished by PHS and required by 45 CFR, Part 74, (for institutions of higher education, hospitals, and other non-profit organizations), and 45 CFR, Part 92, (for State and local governments), must be used for this program. Applications are reviewed and evaluated by a group composed primarily of nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. This program is subject to the provisions of 45 CFR, Part 74, 45 CFR, Part 92, and OMB Circular No. A-102 (administrative guidelines and standards for states and local governments), and OMB Circular No. A-110 (administrative guidelines and standards for institutions of higher education, hospitals, and other non-profit organizations).

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Adolescent Health. After considering the recommendation of this panel, the Director of the Office of Adolescent Health makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Apr 01, 2010 to Jun 01, 2010

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 5 months.

Appeals:

Not Applicable.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. No matching requirement. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Financial status reports are due at the end of each budget period, and a final financial status report is due 90 days following the end of the project period. Progress reports are required for each budget period. Expenditure reports are not applicable. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$100; FY 11 est \$100 - (Grants) FY 2010 New program estimate \$100 million.

Range and Average of Financial Assistance:

Evidence-based Programs:
\$400,000 to \$600,000
\$600,000 to \$1,000,000
\$1,000,000 to \$1,500,000

Research and Demonstration Program:
\$400,000-\$600,000
\$600,000-\$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Ms. Evelyn Kappeler, Acting Director, Office of Adolescent Health, Office of Public Health and Science, Office of the Secretary, Tower Building, 1101 Wootton Pkwy, Rockville, MD 20852.

Headquarters Office:

Eric C. West, 1101 Wootton Parkway, Suite 550, Rockville, Maryland 20852
Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.hhs.gov/oah>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain prevention services requirements specified in the statute. Priority will be given to applicants who: (1) Serve an area (a) where there is a high incidence of adolescent pregnancy, (b) where the incidence of low-income families is high, and (c) where the availability of programs for adolescents as specified in this act is low; (2) show evidence (a) for care services, of ability to deliver wide range of required services on single-site or network basis, or (b) for prevention services, ability to provide services targeted to population; (3) will utilize to maximum extent possible (a) existing programs and facilities, and (b) other sources of funding; (4) can demonstrate community commitment to the project; (5) have involved community in developing the project; (6) will demonstrate replication and innovative programs; and (7) demonstrate the reasonableness of the estimated cost considering the anticipated results.

93.300 NATIONAL CENTER FOR HEALTH WORKFORCE ANALYSIS

NCHWA

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII; Section 761; 42 U.S.C. 294n, as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392. As Amended by the Patient Accountability and Care Act Sections 5102 and 5103.

OBJECTIVES:

To support health workforce data collection and analysis and the development of information describing the health professions workforce and the analysis of workforce-related issues in order to provide necessary information for decision-making regarding future directions in health professions and nursing programs in response to societal and professional needs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds can be used to provide for targeted information collection and analysis activities related to health workforce, research on high priority workforce questions, the development of a nonfederal analytic and research infrastructure related to health workforce-related issues, and to conduct program evaluation and assessment.

Applicant Eligibility:

Those eligible to apply are: state or local governments, health professions schools, schools of nursing, academic health centers, community-based health facilities, and other appropriate public or private nonprofit entities, including faith based and community based organizations.

Beneficiary Eligibility:

Those who will ultimately benefit from these grants are the local, rural, State, and Federal legislators and policy makers who will receive the information needed with which to make health workforce decisions.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period awardees may submit a competing continuation application for an additional 5 years of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Some cooperative agreements may require a matching requirement for State and local studies. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available up to 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A Progress Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. A Progress Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date

they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$750,000; FY 10 est \$0; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

\$50,000 to \$1,000,000; \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Roger Straw, Director, Office of Workforce Policy and Performance Management, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, 5600 Fishers Lane, Room 9A18, Rockville, MD 20857. Telephone: (301) 443-0367.

Headquarters Office:

Roger Straw 5600 Fishers Lane, Room 9-A18, Rockville, Maryland 20857
Phone: (301) 443-0367

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

(1) The degree to which an applicant specializes in health workforce research. An applicant will be considered as specializing in health workforce research through identification of its mission, health workforce research accomplishments, and dedicated staff to health workforce research. (2) The degree to which the applicant demonstrates State and National experience and accomplishments in health workforce issues and research directly supporting the BHP priorities, health workforce distribution, racial/ethnic diversity of the health workforce, geriatrics, and genetics. Experience and accomplishments could be demonstrated through past or currently funded research. (3) The degree to which the applicant has health workforce research experience relevant to the proposed research project. For example, for a proposed research project that focuses on a given geographic area such as the US-Mexico border, the applicant should demonstrate experience in conducting US-Mexico border health workforce research. In terms of workforce discipline-specific studies, the applicant should demonstrate experience in conducting research on the given discipline. (4) The degree to which the applicant's proposed research includes: a well-developed background statement, clearly stated research objectives, clearly stated project activities and methodology, and a well-developed, reasonable management plan that will enable the successful completion of the project.

93.301 SMALL RURAL HOSPITAL IMPROVEMENT GRANT PROGRAM

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 1820(g)(3) of Social Security Act, as amended.

OBJECTIVES:

To help hospitals: 1) pay for costs related to the implementation of prospective payment systems; 2) purchase computer software and hardware that would protect patient privacy; 3) educate and train hospital staff on computer information systems to protect patient privacy; and 4) support quality improvement and computer assisted activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

All small rural hospitals located in the 50 States and Territories.

Beneficiary Eligibility:

Eligible small rural hospitals are non-Federal, short-term general acute care facilities that are located in a rural area of the US and the territories, including faith-based hospitals. For the purpose of this program, 1) small is defined as 49 staffed beds or less and 2) rural is defined as either located outside of a Metropolitan Statistical Area (MSA) or located within a rural census tract of a MSA, as determined under the Goldsmith Modification or the Rural Urban Commuting Areas (RUCAs). Hospitals may be for-profit or not-for-profit. Tribally operated hospitals under Titles I and V of P.L. 93-638 are eligible to the extent that such hospitals meet the above criteria. Regardless of geographic location, all designated Critical Access Hospitals (CAHs) are eligible. To determine eligibility and to request a hospital application, hospitals should contact their State Office of Rural Health (SORH).

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to six months.

Appeals:

Not Applicable.

Renewals:

SHIP awards may be made for up to 5-year project periods. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon submission and approval of an application,

availability of appropriated funds, and awardee's satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made annually and payment is made through the DHHS Payment Management System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. An annual progress report and final financial report (FFR) are due within 90 days after end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$14,879,518; FY 10 est \$14,848,033; FY 11 est \$14,848,033

Range and Average of Financial Assistance:

\$27,301 to \$1,082,973; \$323,467.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Jeanene Meyers, Office of Rural Health Policy, Parklawn Building, Room 10B-10, 5600 Fishers Lane, Rockville, MD, 20857, 301-443-0835.

Headquarters Office:

Office of Rural Health Policy 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Small rural hospitals use funds to: 1) Purchase computer software and hardware (such as applications that focus on quality improvement, performance improvement and patient safety); 2) Educate and train hospital staff on computer information systems (such as using technology to improve patient outcomes); and 3) Offset costs related to the implementation of prospective payment systems (PPS) (such as updating chargemasters or providing training in billing and coding). Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated based on: (1) extent to which applicant is responsive to the requirements and purposes of this program; and (2) extent to which application describes need, strategies and investment to address those needs.

93.303 NURSING SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 846, as amended, Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

To increase the supply of registered nurses (RN) in approved health care facilities with a critical shortage of nurses by providing service-obligated scholarships to nursing students.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Service-obligated scholarships are awarded to full-time and part-time students of nursing. Scholarships pay tuition and required fees to the school, a monthly stipend to students and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses include books, supplies, equipment, uniforms, travel, for the provision of clinical service, etc. The program requires a one year of service with a minimum 2-year service commitment post graduation. A maximum of four years of educational support may be awarded. Service obligation must be fulfilled at a health care facility with a critical shortage of nurses. These facilities currently include Indian Health Service facilities, Native Hawaiian Health Centers, hospitals, Federally Qualified Health Centers, rural health clinics, nursing homes, skilled nursing facilities, home health agencies, hospice programs, State or local Public Health Departments, and ambulatory surgical centers.

Applicant Eligibility:

The applicant must be a U.S. citizen or national, enrolled or accepted for enrollment in a fully accredited US academic institution with a graduate, baccalaureate, or associate degree or diploma nursing program. The applicant must be free of any Federal judgment liens or service obligation, have not defaulted on a federal debt and have no conflicting service obligations. Financial need is also reviewed as part of the eligibility determination. The applicant must submit an application and a signed contract, agreeing to accept payment of scholarship funds and provide health care services in a health care facility with a critical shortage of nurses for a minimum of two years.

Beneficiary Eligibility:

United States citizens or nationals enrolled or accepted for enrollment in a fully accredited graduate, baccalaureate, associate degree or diploma nursing program.

Credentials/Documentation:

The applicant must submit an enrollment/acceptance letter from the school or university and proof of US citizenship at the time of application. Applicants must also provide documentation of Expected Family Contribution (EFC). The Department of Education's Student Aid Report (SAR) from the Free Application for Federal Student Aid (FAFSA) is also required. Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission

of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are generally solicited by a program announcement in the HRSA forecast and application details can be found at www.hrsa.gov. Applications and required forms for this program can also be obtained from the HRSA Call Center at 12530 Parklawn Drive, Suite 350, Rockville, MD 20852 or by calling (877) 464-4772 for guidance and instructions. Potential applicants can request email notification on the NSP website when applications become available on-line.

Award Procedure:

The NSP awards are very competitive and the Program anticipates more applicants for scholarship awards than there are funds available. The applications of individuals who meet the eligibility criteria are scored numerically. All applicants will receive a letter about their selection for a scholarship award. Applicants who will be notified of the disposition of their application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Notification is made within 4-5 months after deadline for receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewal applications are not necessary for students in compliance. Contracts may be multi-year funded through graduation (one to four years) if the applicant signs the contract through the graduation date.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each award covers one to four years of educational support, as requested. No awardees may receive more than four years of support. See the following for information on how assistance is awarded/released: See funding opportunity announcement.

Reports:

The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form to NSP each semester as a full-time student pursuing the program for which the scholarship was awarded. The employing critical shortage facility must complete and send a semi-annual Employment Certification Form verifying that the participating nurse is providing full-time or part-time continuous service as a RN. The certification includes a signature by an appropriate official of the facility. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$9,618,535; FY 10 est \$27,785,951; FY 11 est \$27,468,060

Range and Average of Financial Assistance:

\$20,000 - \$220,000; \$49,383.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009 the NSP made 189 new awards. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Nursing Scholarship Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8A-55, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4829. The HRSA Call Center at www.hrsa.gov. or 1 (877)464-4772, Monday through Friday (except Federal Holidays).

Headquarters Office:

Nursing Scholarship Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Service Room 8A-55, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 443-4829

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Scholarships covering tuition, monthly stipends and payments for other reasonable education expenses made to nursing students. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specified category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in the amendment in section 846(e) of the Public Health Service (PHS) Act, a funding preference is given to eligible applicants with the greatest financial need. NSP will use the Department of Education's Student Aid Report (SAR) from the Free Application for Federal Student Aid (FAFSA) to evaluate financial need.

93.307 MINORITY HEALTH AND HEALTH DISPARITIES RESEARCH FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III and IV, Part A and Part E, Sections 485E, 485F, 485G; Public Law 99-158 and Public Law 106-525; 42 U.S.C. 287c-31, 42 U.S.C. 287c-32, 42 U.S.C. 287c-33, 42 U.S.C. 241 and 285; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564. , Title III, Part A & E, Section 485E 485F 485G, Public Law 99-158, 106 Stat. 525, 42 U.S.C 287c-31.

OBJECTIVES:

To support basic, clinical, social, and behavioral research, promote research infrastructure and training; foster emerging programs; disseminate information; and reach out to minority and other health disparity communities. The National Center on Minority Health and Health Disparities (NCMHD) has established programs to pursue these goals: (1) The Centers of Excellence Program promotes research to improve minority health, and/or reduce and eliminate health disparities, build research capacity for minority health and health disparities research in minority-serving and other institutions; encourages the participation of health disparity groups and communities in biomedical and behavioral research and prevention and intervention activities; and brings together investigators from relevant disciplines in a manner that will enhance and extend the effectiveness of their research; (2) NCMHD Research Endowment Program: builds research capacity and infrastructure at eligible Section 736 health professions schools (42 U.S.C. 293) to facilitate minority health and other health disparities research to close the disparity gap in the burden of illness and death experienced by racial and ethnic minority

Americans and other health disparity populations; promotes a diverse and strong scientific, technological and engineering workforce; and emphasizes the recruitment and retention of underrepresented minorities and other socio-economically disadvantaged populations in the fields of biomedical, and behavioral research and other areas of the scientific workforce; (3) Health Disparities Research Loan Repayment Program (HDR-LRP): recruits and retains highly qualified health professionals to research careers that focus on minority health or other health disparities issues; (4) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (ECR-LRP), also referred to as the Disadvantaged Individuals Loan Repayment Program within this document: recruits and retains highly qualified health professionals from disadvantaged backgrounds to conduct clinical research. This nucleus of clinical investigators has the potential to improve medical care within their respective communities by promoting clinical programs that address the health problems associated with these communities; (5) Research Infrastructure in Minority Institutions (RIMI) Program: assists non-doctoral degree institutions in developing their research infrastructure primarily through collaborations with research-intensive universities thereby enabling the institutions to develop and enhance their capacity and competitiveness to conduct biomedical or behavioral research; (6) Minority Health and Health Disparities International Research Training Program (MHIRT), awards enable U.S. institutions to tailor short-term basic science, biomedical and behavioral mentored student international research training opportunities to address global issues related to understanding, reducing, and eliminating health disparities; (7) Community-Based Participatory Research (CBPR) program: this program supports the development, implementation and evaluation of intervention research using the community-based participatory research (CBPR) principles and methods in targeting diseases of major public health importance in health disparity communities. CBPR awards promote participatory research collaboration between scientific researchers and their community partners. This initiative starts with a three-year research planning grant followed by a competitive five-year intervention research grant and concludes with a three-year research dissemination grant. The CBPR initiative engages the community in all phases of the research process and is directed to specific diseases/health conditions in a particular health disparity population. (8) Small Business Innovation Research (SBIR) Program: increases private sector commercialization of innovations derived from Federal research and development; encourages small business participation in Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation; (9) Small Business Technology Transfer (STTR) Program: to stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; fosters technology transfer between small business concerns and research institutions; increases private sector commercialization of innovations derived from Federal research and development; and fosters and encourages participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (10) Research Project Grants (RPG): supports investigators whose current research focuses on diseases/conditions that disproportionately affect racial/ethnic minorities and other underserved populations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are intended to support the direct costs of a project in accordance with an approved budget, plus appropriate amount for indirect costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. Endowment grants: Some qualifying institutions may use income earned from a research endowment corpus to support institutions in their effort to expand and add teaching programs in the biomedical and behavioral sciences and related areas, to improve facilities, to enhance student and faculty recruitment and retention, to develop instructional delivery systems and information technology in areas that will enhance minority health and other health disparities research activities, and to establish endowed chairs and programs. Other qualifying institutions must use income earned from a research endowment corpus to increase the institutions' investments in the recruitment and retention of

underrepresented minority students and students from other health disparity populations that matriculate at such institutions. This includes activities such as increasing student recruitment and retention, creating merit-based and need-based scholarships, establishing or enhancing tutoring, providing counseling, and designing student service programs to improve academic success, and faculty recruitment and retention. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Contracts provide repayment of existing educational loan debt incurred by health professionals that have agreed to conduct minority health or other health disparities research; or highly qualified health professionals from disadvantaged backgrounds whom plan to conduct clinical research. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts recipients must execute a written contract agreeing to engage in qualified research for a minimum of two years. Contracts may be renewed for a one- or two-year period after the completion of the initial two-year contract, provided that the participant applies for a competitive renewal contract, continues to engage in qualified research, and has eligible repayable debt remaining. Program payments are made directly to the participants' lenders following each quarter and a verification of satisfactory research service, unless otherwise agreed upon by the participant and the Director, NCMHD. SBIR grants: SBIR Phase I grants are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR grants: STTR Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. STTR Phase II grants are based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. See Program Announcements: PA-07-280 and PA-07-281. As specified in each of the program announcements.

Applicant Eligibility:

Individuals and public and private institutions, both non-profit and for-profit, who propose to establish, expand, and conduct research, promote or engage in research training, and outreach activities that contribute to improving minority health and/or eliminating health disparities. Endowment grants: Only Section 736 health professional schools (see 42 U.S.C. 293) with net endowment assets less than or equal to 50 percent of the national average of endowment funds at institutions that conduct similar biomedical research or training of health professionals are eligible for the research endowment awards. All applicants must have a HRSA Centers of Excellence Award at the time of award of an NCMHD Research Endowment Grant. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Qualified health professionals that are U.S. citizens, nationals or lawfully admitted for permanent residence in the U.S.; have student loan debt equal to or less than 20 percent of their institutional based salary; have no Federal judgment liens against their property arising from a Federal debt; and owe no obligation of health professional service to the Federal government, a State, or other entity unless deferrals are granted during the length of their Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts service obligation. SBIR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. Small business concerns must be at least 51 percent US owned by individuals and independently operated and/or at least 51 percent owned and controlled by another (one) for-profit business concern that is at least 51 percent owned and controlled by one or more individuals. The research must be performed in the U.S. or its possessions. STTR grants: Domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which the research is proposed, and have no more than 500 employees) that "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution.

Beneficiary Eligibility:

Any non-profit or for-profit organization, company, or institution engaged in biomedical and behavioral research. Endowment grants: Section 736

institutions. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Qualified health professionals who conduct minority health or other health disparities research or highly qualified health professionals from disadvantaged backgrounds who will conduct clinical research and who possess substantial unpaid educational debt relative to income.

Credentials/Documentation:

Applicants must present a research plan and furnish evidence required for research grant applications, PHS 398, (Rev. 05/01) as specified in the application form. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q and OMB Circular No. A-21 for Educational Institutions and OMB Circular No. A-87 for state and local governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. Endowment grants: Requirements for the endowment application, PHS 398 (Rev. 05/01) are specified in the application form and supplemented by the Invitation to Apply (for limited competition). Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Applicants must provide general information, research statement, training/mentoring plan, environment description, certification of loan status, loan data verification, recommendations, and an institutional supporting statement. Requirements for the loan repayment application, OMB Approval No. 0925-0361, are specified in the electronic application form located at www.lrp.nih.gov. SBIR and STTR grants: Applicant organizations (small business concerns) must present a research plan that includes an idea that has the potential for commercialization, and furnish evidence that the scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. To apply for SBIR Phase I and Phase II Grants, applicants must complete SF424 (R&R) Application for Electronic Submission. For general information on SF424 (R&R) Application and Electronic Submission, see these Web sites: SF424 (R&R) Application and Electronic Submission Information: <http://grants.nih.gov/grants/funding/424/index.htm>. General information on Electronic Submission of Grant Applications: <http://era.nih.gov/ElectronicReceipt/>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications for the various programs should be submitted to the NIH office that is responsible for the receipt of applications, and in such form and manner as the application prescribes. (All information pertaining to grants hereinafter, also apply to Endowment Grants unless otherwise noted). Research Grants: Applications must be prepared either electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (Revised 9/2004, Interim Revision 4/2006). The PHS 398 is available at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. The format is interactive. For NIH planned transition dates of mechanisms for electronic submission, see: http://era.nih.gov/ElectronicReceipt/files/Electronic_receipt_timeline_Ext.pdf. For further assistance contact Grants Info, Telephone (301) 435- 0714, Email: GrantsInfo@nih.gov. NRSAs (Individual): Individuals must submit the application form, PHS Individual National Research Service Award (PHS 416-1, rev. 10/05), and include at least three letters of reference. If the applicant has been lawfully admitted to the United States for permanent residence, the appropriate item should be checked on the Face Page of the application. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of the PHS 416-1 application, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I

and Phase II. Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact Grants Info, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov.

Award Procedure:

Each application will be forwarded to an initial scientific review committee or Special Emphasis Panel (SEP) of non-NIH scientists and the National Advisory Council on Minority Health and Health Disparities (NACMHD) will conduct the secondary review. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: All eligible and completed applications will be forwarded to a SEP for review. Awards will be made to those applications recommended by the SEP and approved by the Director, NCMHD. SBIR and STTR grants: All eligible and completed applications will be forwarded to an initial scientific review committee and to the NACMHD for the secondary review. All applications receiving a priority score compete for available SBIR/STTR set-aside funds.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. Approximately 6 to 9 months. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Approximately 6 to 8 months. SBIR and STTR grants: Approximately 7 to 8 months.

Appeals:

A principal investigator or applicant may question the substantive or procedural aspects of the review of his/her application by communicating with NCMHD staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants2.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal of grant awards beyond the initial project period of support is contingent upon successful competition by the applicant and upon the appropriation of funds for this purpose. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Prior to the expiration of a participant's current award, the participant may apply for a one- or two-year extension provided the participant continues to engage in qualified minority health or other health disparities research and has outstanding repayable debt remaining. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts renewal applications are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

All awards have a project period of one to five years. Non-competitive grant awards in successive years (year 2 up to year 5) are awarded based on the applicant's annual performance and the availability of funds. Endowment Grants: Awards have a project period of one to three years. Non-competitive years (year 2 up to year 3) are awarded based on the applicant's annual performance and the availability of funds. The project period for these awards are directly linked to the amount of time remaining on the applicant's HRSA Centers of Excellence project period. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: An initial contract provides assistance for a two-year period, and a renewal contract provides assistance for an additional one- or two-year period. SBIR grants: Generally, Phase I awards are for six months, and Phase II awards are for two years. STTR grants: Generally, Phase I awards are for one year, and Phase II awards are for two years. All funds for any award must be obligated within five years from the date of the award. See the following for information on how assistance is awarded/released: See above for information about each program.

Reports:

Annual progress and selected financial status reports for continuing projects and final reports on all projects upon conclusion are required. Endowment Grants: The NCMHD will exercise extended monitoring of a participant's annual progress towards meeting the objectives as outlined in the participant's five-year

strategic plan for prioritizing the use of endowment income. These reports will extend five years beyond the last year of a participant's project period. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: Participants must be periodically certified to have satisfactorily performed the duties of their positions. Cash reports are not applicable. . . .

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$300,000 or more in Federal awards will have a single or a program specific audit conducted for that year. Nonfederal entities that expend less than \$300,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials. Health Disparities Loan Repayment and Disadvantaged Individuals Loan Repayment contracts: There are no statutory audit requirements for this program.

Records:

Expenditures and other financial records must be retained three years from the day on which the grantee submits the last financial status report for the report period. HDR-LRP / ECR-LRP contracts: Applicant records will be retained for three years after rejection and participant records for six years after completion of final service obligation.

Account Identification:

75-0897-0-1-552 - (1) Centers of Excellence (COE) grants: FY 09 \$66,897,000; FY 10 est not available; and FY 11 est not reported. (2) NCMHD Research Endowment grants: FY 09 \$27,048,000; FY 10 est not available; and FY 11 est not reported. (3) Health Disparities Loan Repaym.

Obligations:

(Project Grants) FY 09 \$40,286,759; FY 10 est \$45,000,000; FY 11 est \$44,000,000 - 1) Centers of Excellence (COE) grants: FY 09 \$66,897,000; FY 10 est not available; and FY 11 est not reported. (2) NCMHD Research Endowment grants: FY 09 \$27,048,000; FY 10 est not available; and FY 11 est not reported. (3) Health Disparities Loan Repayment Program (HDR) contracts: FY 09 \$13,229,000; FY 10 est not available; and FY 11 est not reported. (4) Extramural Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (ECR) contracts: FY 09 \$938,000; FY 10 est not available; and FY 11 est not reported. (5) Research Infrastructure in Minority Institutions (RIMI) grants: FY 09 \$18,381,000; FY 10 est not available; and FY 11 est not reported. (6) Minority Health and Health Disparities International Research Training (MHIRT) grants, formerly the Minority International Research Training Program (MIRT) previously managed by the NIH Fogarty International Center: FY 09 \$4,514,000; FY 10 est not available; and FY 11 est not reported. (7) Community-Based Participatory Research grants (CBPR): FY 09 \$22,244,000; FY 10 est not available; and FY 11 est not reported. (8) Small Business Innovation Research (SBIR) and (9) Small Business Technology Transfer Grant Applications (STTR): FY 09 \$5,520,000; FY 10 est not available; and FY 11 est not reported. (10) Research Project Grants (RPG): FY 09 \$4,375,000; FY 10 est not available; and FY 11 est not reported.

Range and Average of Financial Assistance:

(1) Centers of Excellence (COE) grants: total awards made by the NCMHD ranged from \$271,554 to \$1,639,379; average \$1,216,302. (2) Endowment grants: total awards made by the NCMHD ranged from \$312,500 to \$5,000,000; average \$3,005,309. (3) HDR Loan Repayment Program contracts: total awards made by the NCMHD ranged from \$1,979 to \$103,138; average \$44,693. (4) ECR Loan Repayment Program contracts: total awards made by the NCMHD ranged from \$7,036 to \$103,138; average \$52,137. (5) Research Infrastructure in Minority Institution grants (RIMI): total awards made by the NCMHD ranged from \$22,929 to \$1,010,845; average \$765,857. (6) Minority Health and Health Disparities Research International Research Training (MHIRT) grants: total awards made by the NCMHD ranged from \$39,744 to \$242,920; average \$188,072. (7) Community-Based Participatory Research (CBPR) grants: total awards made by the NCMHD ranged from \$63,517 to \$748,692; average \$529,628. (8) Small Business Innovation Research (SBIR) grants and (9) Small Business Technology Transfer (STTR) Grant Application:

Typically, Phase I awards normally may not exceed \$100,000 total (direct costs, indirect costs and profit/fee) and Phase II awards normally may not exceed \$750,000. However, these award levels for time and amounts are statutory guidelines, not ceilings. (10) Research Project Grants (RPG): total awards made by the NCMHD ranged from \$247,979 to \$450,624; average \$364,622.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 296. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: 296.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in 42 USC 271c-31 et. Seq.; 42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; and miscellaneous program literature from the Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241. Additional loan repayment information can be obtained at www.lrp.nih.gov. SBIR and STTR information can also be obtained from the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; and the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Francisco S. Sy, NIH/NCMHD, 6707 Democracy Blvd. Suite 800, Bethesda, Maryland 20892-5465 Phone: (301) 496-7074.

Website Address:

<http://www.ncmhd.nih.gov>. <http://www.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating all new grant and renewal grant applications include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; and the necessity of the budget components requested in relation to the proposed project, and the relevance and importance to the announced program objectives. HDR-LRP contracts: Applicants must be recommended for funding by the Special Emphasis Panel after a review of the applications based on: the appropriateness of the applicant's previous training and experience to prepare him/her for a career in health disparities research; the suitability of the applicant's proposed research activities in the two-year loan repayment period to foster a research career in health disparities; the assessment of the applicant's commitment to a research career in health disparities as reflected by the personal statement and the plan outlined to achieve those goals; the strength of recommendations attesting to the applicant's potential for a research career; the availability of appropriate scientific colleagues to achieve and/or enhance the applicant's research independence; and the quality and appropriateness of institutional resources and facilities. SBIR and STTR grants: For Phase I grant applications, the criteria used are: the soundness and technical merit of the proposed approach; the qualifications of the proposed principal investigator, supporting staff, and consultants; the technological innovation of the proposed research; the potential of the proposed suitability of the facilities and research environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. For Phase II grant applications, the criteria used are: the degree to which the Phase I objectives were met and feasibility demonstrated; the scientific and technical merit of the proposed approach for achieving the phase II objectives; the qualifications of the proposed principal investigator, supporting staff and consultants; the technological innovation, originality, or societal importance of the proposed research; the potential of the proposed research for commercial

application; the reasonableness of the budget requested for the work proposed; the adequacy and suitability of the facilities and research environment; and where applicable, the adequacy of assurances detailing the proposed means for safeguarding human or animal subjects and/or protecting against or minimizing any adverse effect on the environment. All persons interested in any of the DHHS/NIH/NCMHD grant and/or contract program initiatives are strongly encouraged to carefully read the Request for Applications as they are announced annually in order to understand what is required for each unique program as well as meet each deadline in a timely manner.

93.308 EXTRAMURAL LOAN REPAYMENT FOR INDIVIDUALS FROM DISADVANTAGED BACKGROUNDS CONDUCTING CLINICAL RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act; Title IV, Subtitle D, Part G, Section 487E, as amended; Public Law 106-554; 42 U.S.C. 288-5. , Title IV, Part G, Section 487E, Public Law 106-554, 42 U.S.C 288-5.

OBJECTIVES:

To encourage the recruitment and retention of qualified health professionals from disadvantaged backgrounds to conduct clinical research. Clinical research consists of patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which the investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide repayment of existing educational loan debt incurred by health professionals from disadvantaged backgrounds engaged in clinical research. Recipients must execute a written contract agreeing to engage in such research for a minimum of two years. The Secretary of the Department of Health and Human Services, or the Secretary's designee, may grant extension contracts for a one-year or two-year period after the completion of the initial two-year contract, provided that the participant applies for an extension, continues to engage in qualified research, and there is sufficient repayable debt remaining.

Applicant Eligibility:

An applicant must: (1) be a United States citizen, national, or permanent resident; (2) have an M.D., Ph.D., Pharm.D., D.D.S., D.M.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) come from a disadvantaged background; (4) conduct research that is supported by a non-profit institution, foundation, professional society or U.S. government entity, (4) commit 50 percent or more of his/her time to clinical research; (5) have total qualifying educational debt greater than or equal to 20 percent of his/her institutional base salary at the time of award; (7) conduct research in accordance with applicable Federal, state and local law; (8) not be under any existing service obligations to Federal, State, or other entities, unless and until the existing service obligation is discharged or deferred during the period of program service; (9) not have Federal judgment liens against their property arising from a Federal debt from receiving Federal funds until all judgments have been paid in full or otherwise satisfied; (10) not be a full-time employee of a Federal government agency. Part-time Federal employees who engage in qualifying research as part of their non-Federal duties are eligible to apply for loan repayment if they meet all other eligibility requirements; and (11) have the institution provide an assurance that the applicant will be provided research support for two years, that the applicant will engage in qualified clinical research for 50 percent of his/her time, that the funding foundation, professional society, or institution is considered to be non-profit pursuant to Section 501 of the Internal Revenue Code or is a U.S. government entity, and certify the applicant's annual institutional base salary.

Beneficiary Eligibility:

Qualified health professionals from a disadvantaged background who conduct clinical research and who possess substantial unpaid educational debt relative to income will benefit from this program.

Credentials/Documentation:

An applicant must submit the following documentation electronically: (1) Applicant Information Statement; (2) Biosketch; (3) Personal Statement, which includes a discussion of career goals and academic objectives; (4) Description of Research Activities; (5) Contact Information of Three Recommenders; (6) Contact Information of Institution Official able to serve as the Institutional Contact; (7) On-line Certification; (8) Loan Information; (9) Notice of Grant/Award (or PHS 2271 for T32 recipients), if applying based on NIH support; and (10) Certification of Disadvantaged Background. The Research supervisor or mentor must electronically submit the following: (1) Recommendation; (2) Biosketch; (3) Assessment of the Research Activities Statement submitted by the applicant; (4) Description of the Research Environment; and (5) Training or Mentoring Plan. Recommenders must submit their recommendations electronically. Institutional Contacts must electronically submit a certification that: (1) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (2) assures that the applicant is or will be engaged in qualifying research for 50 percent of his/her time, i.e., not less than 20 hours per week; (3) certifies that the funding foundation, professional society, or institution is considered to be non-profit as provided under Section 501 of the Internal Revenue Code (26 U.S.C. 501) or is a U.S. government entity (Federal, state or local); and (4) provides the applicant's institutional base salary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application for participation in the program can be obtained from www.lrp.nih.gov and should be submitted to the NIH office, which is responsible for the receipt of applications, in such form and manner as the Secretary or the authorized designee may prescribe.

Award Procedure:

All eligible and completed applications will be forwarded to the Special Emphasis Panel (SEP) for review. Awards will be made to those applications approved by the SEP, subject to the receipt of an appropriation and/or allocation of funds from the United States Congress, the NIH and/or NCMHD. All selections are subject to final approval by the Secretary or the authorized designee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. The approximate time for approval/disapproval is 6-8 months.

Appeals:

Applicants may question the substantive or procedural aspects of the review of their application by communicating with NCMHD staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants2.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

After the completion of the initial two-year contract, participants may apply for a one-year or two-year extension provided the participant continues to engage in qualified clinical research and has outstanding repayable debt remaining. Applications submitted for an extension are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory

formula or matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

An initial contract provides assistance for a two-year period, and an extension contract provides assistance for a one-year or two-year period. Program payments will be made directly to lenders following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary or authorized designee. Method of awarding/releasing assistance: quarterly.

Reports:

Standard annual report from the NIH Division of Loan Repayment. Cash reports are not applicable. Participants must be periodically certified to have satisfactorily performed the duties of their positions. No expenditure reports are required. Participants must be periodically certified to have satisfactorily performed the duties of their positions.

Audits:

Not Applicable.

Records:

The NCMHD will retain applicant records for three years after rejection and participant records for six years after completion of final service obligation.

Account Identification:

75-0897-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 09 \$13,132,490; FY 10 est \$11,847,226; FY 11 est \$12,000,000

Range and Average of Financial Assistance:

The total dollar assistance for FY 09 for the 2-year contract period ranged from \$4,775 up to \$70,000 in loan repayments, and from ~\$2,261 up to ~\$33,138 in tax reimbursements. The average dollar assistance of awards made in FY 09 was ~\$35,600 in loan repayments and ~\$16,537 in tax reimbursements.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 18. Fiscal Year 2010: 18. Fiscal Year 2011: 18.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 106-554; Section 487E of the Public Health Service Act (42 U.S.C. 288-5).

Regional or Local Office:

None.

Headquarters Office:

Francisco S. Sy, NIH/NCMHD, 6707 Democracy Blvd. Suite 800, Bethesda, Maryland 20892-5465 Phone: (301) 496-7074.

Website Address:

<http://www.ncmhd.nih.gov>.

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.209 Contraception and Infertility Research Loan Repayment Program; 93.923 Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP); 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Determinants for Disparate Outcomes in Type II Diabetes; Waist Circumference to Screen for Cardiovascular Disease Risk Factors in a Racially Diverse Adolescent Population; and Using Social Epidemiology to Understand the Links Among Risk and Protective Factors and Adolescent Substance Use. Fiscal Year 2010: Determinants for Disparate Outcomes in Type II Diabetes; Waist Circumference to Screen for Cardiovascular Disease Risk Factors in a Racially Diverse Adolescent Population; and Using Social Epidemiology to Understand the Links Among Risk and Protective Factors and Adolescent Substance Use. Fiscal Year 2011: Determinants for Disparate Outcomes in Type II Diabetes; Waist Circumference to Screen for Cardiovascular Disease Risk Factors in a Racially Diverse Adolescent Population; and Using Social Epidemiology to Understand the Links Among

Risk and Protective Factors and Adolescent Substance Use.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications/proposals include the assessment of the following: (1) personal statement; (2) proposed research project and its scientific merit (priority will be given to biomedical and behavioral researchers); (3) training plan and environment (which includes the research program, the facilities/environment, the mentor/advisor's training, the applicant's training); (4) loan debt ratio and any associated impediments that may affect the applicant's decision to pursue a research career; and (5) references.

93.310 TRANS-NIH RESEARCH SUPPORT

Common Fund Research Support

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To provide support for new initiatives designed to address major opportunities and gaps in biomedical research that no single NIH institute could address alone, but which the agency as a whole can pursue to stimulate the progress of biomedical research and to catalyze changes that will serve to transform new scientific knowledge into tangible benefits for public health. Information on recent and current initiatives is available at <http://commonfund.nih.gov/>.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

To support research and other projects that will accelerate fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments.

Applicant Eligibility:

Awards can be made to domestic, public or private, for-profit or nonprofit organization, university, college, hospital, laboratory, or other institution, including State and local units of government, and individuals. Some initiatives will accept applications from foreign organizations. Additional details on eligibility are specified in the Common Fund Grants and Funding Opportunities funding announcement, listed at <http://commonfund.nih.gov/>.

Beneficiary Eligibility:

Institutions as described above.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Prepare and submit applications according to instructions provided in the applicable Common Fund Grants and Funding Opportunities announcement, located at <http://commonfund.nih.gov/>. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement (rev. 11/2003), and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The length of the review cycle is four to nine months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal (competing continuation, type 2) applications to Common Fund programs are not accepted unless specifically accepted by NIH staff.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least one year, with additional support (up to four more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: drawn from established accounts.

Reports:

Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Cash reports are not applicable. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or
2. an audit that meets the requirements of OMB Circular No. A-133.

In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is

submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0846-0-1-552.

Obligations:

(Project Grants) FY 09 \$498,000,000; FY 10 est \$485,500,000; FY 11 est \$517,000,000

Range and Average of Financial Assistance:

\$381 to \$16,000,000 with the average being \$542,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: awards were made to support research projects that accelerate fundamental discovery and translation of that knowledge into effective prevention strategies and new treatments that will lead to better health for U.S. citizens. Lists of the awards that were made are available on the NIH Common Fund web site, at <http://commonfund.nih.gov/grants/fundedresearch.asp>. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Deputy Director of the Office of Strategic Coordination, phone 301-402-7617.

Headquarters Office:

Elizabeth Wilder Building One, Room 201, Bethesda, Maryland 20892 Email: Elizabeth.Wilder@nih.gov Phone: (301) 402-7617 Fax: (301) 435-7268.

Website Address:

<http://commonfund.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.338 FOREIGN PUBLIC HEALTH CONSTRUCTION

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act (PHSA), Section 307(b)(10), 42 U.S.C. 242l, as amended., Title PHS, Section 307b10.

OBJECTIVES:

PHSA Section 307(b)(10) authorizes the award of grants or cooperative agreements to public or nonprofit private institutions or agencies in foreign countries to acquire, lease, construct, alter, or renovate facilities in their country in furtherance of activities authorized under Public Health Service Act Section 307(a), which includes: (1) biomedical research, health care technology, and the health services research and statistical analysis authorized under 42 U.S.C. Section 242k and 42 U.S.C. Subchapter VII; and (2) biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008, 22 U.S.C. 7601. Awards are limited to real property projects, and can not include any other CDC assistance program activities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Support for Construction Projects, Modernization Projects, and Minor Alteration and Renovation Projects only. Construction Projects include construction of a new building of any dollar value, alteration and renovation of any dollar value that changes the footprint of an existing building, acquisition of an existing building of any dollar value, and permanently-installed trailers and modular units. Modernization Projects are limited to alteration and renovation that does not change the footprint of an existing building, and is limited to a single project in excess of \$500,000 or an aggregate of multiple projects for a single facility that total in excess of \$500,000. Minor Alteration and Renovation Projects are limited to alteration and renovation that does not change the footprint of an existing building, and is limited to a single project of \$500,000 or less or an aggregate of multiple projects for a single facility that total \$500,000 or less. All projects are subject to requirements for recipient responsibilities, project manager duties, property title and insurance, project signage, fixed equipment, budget, international use of funds, construction assurance statement, and public policy. Prior approval of individual project design and specifications, contracts, and phases is required. Funding is accompanied by a liability disclaimer. Technical assistance may be provided. Construction and Modernization Projects are subject to additional requirements for notice of federal interest, leased facilities, mortgages, construction insurance, allowable costs, completion, post-completion facility usage, property disposal, and real property status reporting.

Applicant Eligibility:

An eligible applicant must: (1) Be a public or nonprofit private institution or agency in a foreign country; and (2) Be located in the same foreign country as the Project. An eligible project must: (1) Be located in the same foreign country as the Recipient; (2) Be limited to approved Construction, Modernization, and Minor Alteration and Renovation activities; and (3) Result upon completion is a facility that is for use by recipient personnel only, and not for use by any U.S. Government personnel; and (4) Fulfill a purpose of Public Health Service Act Section 307(a). Sub-recipients are not authorized.

Beneficiary Eligibility:

An eligible applicant must: (1) Be a public or nonprofit private institution or agency in a foreign country; and (2) Be located in the same foreign country as the Project. An eligible project must: (1) Be located in the same foreign country as the Recipient; (2) Be limited to approved Construction, Modernization, and Minor Alteration and Renovation activities; and (3) Result upon completion is a facility that is for use by recipient personnel only, and not for use by any U.S. Government personnel; and (4) Fulfill a purpose of Public Health Service Act Section 307(a). Sub-recipients are not authorized.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. Applicants that do not have access to the Internet or have difficulty accessing the forms on-line should contact the CDC Procurement and Grants Office, Technical Information Management Section (PGO-TIMS), Telephone (770)488-2700, Email: PGOTIM@cdc.gov.

Applicants must be responsive to the specific requirements in the funding opportunity announcement. Application forms available at www.Grants.gov include the SF 424 (Application for Federal Assistance); the SF 424-C (Budget Information - Construction Programs); the SF 424-D (Assurances - Construction Programs); the GG Lobbying Form (Certification Regarding Lobbying for applications over \$100,000); the SF-LLL Disclosure of Lobbying Activities (for applications over \$100,000, if required); the Project Narrative Attachment; and the Budget Narrative Attachment. OMB Circular No. A-102 does not apply.

Award Procedure:

Applications that are complete and responsive will be evaluated for technical merit and receive support. CDC will not review incomplete or non-responsive applications. Applications that are complete and responsive will undergo an objective review process, receive a written critique, and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. Applications are due by the date established in the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has no statutory formula. This program has no matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Individual projects will be incrementally funded in phases with lump sum awards. The initial project period will not exceed five years. Payments are made on an advance or reimbursement basis, as specified in the award. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are required as part of the review and approval process for individual project phases. For completed Construction and Modernization Projects, an annual Real Property Status Report is required for the duration of federal interest in the project. Financial Status Reports on expenditures for each budget period and for the overall project period are required. A quarterly Cash Transaction Report is required. A quarterly Cash Transaction Report is required. As noted in the Funding Opportunity Announcement.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with HHS policy, foreign recipients are subject to the same audit requirement as commercial organizations specified in 45 CFR 74.26(d).

Records:

Record retention and access requirements for records are specified in 45 CFR

74.53.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$2,000,000; FY 11 est \$200,000,000 - FY10 - \$2,000,000 Est. to fund one award (Haiti); FY11 - \$200,000,000 Est. for multiple awards.

Range and Average of Financial Assistance:

New program with no awards to date.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74. HHS Grants Policy Statement (<http://www.ahrq.gov/fund/hhspolicy.htm>).

Regional or Local Office:

None.

Headquarters Office:

Nick Farrell, 1600 Clifton Rd NE (Mailstop D69), Atlanta, Georgia 30333
Email: NFarrell@cdc.gov Phone: (404) 639-7866.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria cited in the funding opportunity announcements.

93.342 HEALTH PROFESSIONS STUDENT LOANS, INCLUDING PRIMARY CARE LOANS/LOANS FOR DISADVANTAGED STUDENTS

HPSL/PCL/LDS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Part C, Section 721-735, 42 U.S.C. 292q-292y; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved health discipline.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Funds on deposit can only be used for loans to eligible students pursuing a full-time course of study. The maximum amount a student may borrow is the cost of attendance (including tuition, other reasonable educational expenses and reasonable living expenses). Third and fourth year medical and osteopathic medicine students may be eligible for additional funding to repay earlier higher-interest educational loans. The interest rate is 5 percent.

Effective July 1, 1993, to be eligible for a Primary Care Loan (PCL), students of allopathic medicine and osteopathic medicine must meet financial need criteria and agree to enter and complete a residency training program in primary health care not later than 4 years after the date on which the student graduates from such school. The PCL borrower must also practice primary health care for 10 years (including the years spent in residency training) or through the date in which the loan is repaid in full, whichever occurs first.

To be eligible for Loans for Disadvantaged Students (LDS) students must meet the HPSL criteria and also be from a disadvantaged background as defined by the Secretary. A school must be carrying out a program for recruiting and retaining students from disadvantaged backgrounds, including racial and ethnic minorities and carrying out a program for recruiting and retaining minority faculty to be eligible for LDS funds. In addition, the school must agree to ensure that adequate instruction regarding minority health issues is in the curricula of the school. Health clinics must provide services to a significant number of individuals who are from disadvantaged backgrounds, including members of minority groups and enter into arrangements with one or more such clinics for the purpose of providing students of the school with experience in clinical services to such individuals. Secondary educational institutions and undergraduate institutions of higher education must enter into arrangements with one or more such institutions for the purpose of carrying out programs regarding the educational preparation of disadvantaged students, including minority students, to enter the health professions and recruit such individuals into the health professions. These institutions should also establish a mentor program for assisting disadvantaged students, including minority students, toward completion of the educational requirements for degrees from the school.

Applicant Eligibility:

Any accredited public or other nonprofit private school of medicine, dentistry, osteopathic medicine, optometry, podiatry, pharmacy, or veterinary medicine which provides a course of study leading to a degree of Doctor of Medicine or Doctor of Osteopathic Medicine for PCL borrowers, Doctor of Dentistry (or an equivalent degree), Doctor of Optometry (or an equivalent degree), Doctor of Podiatric Medicine (or an equivalent degree), Bachelor of Science in Pharmacy (or an equivalent degree), Doctor of Pharmacy (or an equivalent degree), or Doctor of Veterinary Medicine (or an equivalent degree) for HPSL and LDS borrowers. Additionally, the school must be located in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, or the Federated State of Micronesia.

Beneficiary Eligibility:

Student applicants must display financial need and be enrolled or accepted for enrollment in a health professions or nursing school to pursue a full-time course of study leading to a degree as specified above. Students must also be citizens, nationals or lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, or the Federated State of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Students should apply at financial aid office of school of their choice for assistance in applying for a loan. The school then tabulates requests for financial aid and award loans based on needs of students and available funds. New applications for this program can be acquired from the Division of Student Loans and Scholarships by calling (301) 443-1173. Annual operating reports serve as the renewal application for current loan recipient institutions.

Award Procedure:

Notification is made in writing by a Notice of Award. When Federal Capital Contribution funds are available for re-distribution, a Notice of Award is sent to the School's Contact Person notifying the school of the amount of Federal funds

allocated for loans within program and discipline prior to the beginning of the academic year in which the award is to be utilized. Students are notified by the schools financial aid office of approval or disapproval of loans at times determined by schools.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Applications are required only for new schools. Annual operating reports are required for renewal.

Formula and Matching Requirements:

Statutory Formula: Allotment of funds based on statutory formula which takes into account the ratio each school's enrollment bears to the enrollments of all schools making application for funds but never in excess of request of school. Under the Federal Capital Contribution method, a school must match Federal Capital Contribution with an amount equal to one-ninth of the funds drawn down.

Matching Requirements: 11% Matching Requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time required by a student to complete the necessary course of study leading to a degree and subject to the availability of funds. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov>. AOR must be submitted 45 days after the end of each budget period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

Financial records must be kept until such time as agreed upon with the Secretary as specified in 42 CFR 57.215.

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Loans) FY 09 \$24,056,441; FY 10 est \$20,171,302; FY 11 est \$22,000,000

Range and Average of Financial Assistance:

\$1,116 to \$1,804,330 for FY 09;; Average: \$197,184 per institution for FY 09.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Program Contact: Jim Essel (PCL), Lesa Bandy (LDS), or Cynthia Johnson (HPSL), Division of Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4776. Use the same number for FTS.

Headquarters Office:

Jim Essel (PCL), Lesa Bandy (LDS), or Cynthia Johnson (HPSL) 5600 Fishers Lane, Room 9-105, Rockville, Maryland 20857 Phone: (301) 443-4776

Website Address:

<http://bhpr.hrsa.gov/dsa>

RELATED PROGRAMS:

Array

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.358 ADVANCED NURSING EDUCATION TRAINEESHIPS

ANET

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 811, 42 U.S.C. 296j, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392, Affordable Care Act, Public Law 111-148.

OBJECTIVES:

Grants are awarded to eligible institutions to provide financial support through traineeships for registered nurses enrolled in advanced education nursing programs to prepare nurse practitioners, clinical nurse specialists, nurse-midwives, nurse anesthetists, nurse administrators, nurse educators, public health nurses and nurses in other specialties determined by the Secretary to require advanced education.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds can only be used to pay all or part of the costs of tuition, books, and fees of the program of advanced nurse education, and reasonable living expenses of the individual during the period for which the traineeship is provided. The Affordable Care Act (ACA) of 2010 removed the No more than ten percent of the traineeship funds under this section may be obligated for individuals in doctoral degree programs restriction.

Indirect costs and trainee travel are not allowed. Maximum period of support is limited to 36 months of full or part-time study for each graduate student. Nurse anesthetist students are only eligible for traineeship support during their first year of study. They are eligible for Nurse Anesthetist Traineeship Program traineeships during their second and third years of study.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public nonprofit entities accredited by a recognized body or

bodies or State agency, approved for the purpose of nursing education by the Secretary of Education.

Beneficiary Eligibility:

The participating institutions select traineeship recipients. A candidate must be enrolled in an advanced education nursing program for preparation as a nurse practitioner, nurse-midwife, nurse anesthetist, clinical nurse specialist, public health nurse, nurse administrator, nurse educator, or other nurse specialty determined by the Secretary to require advanced education. The candidate must be pursuing a master's or doctoral degree, a combined RN to master's degree, nurse-midwifery certificate in existence on November 12, 1998, or a post-nursing master's certificate. The candidate must be a citizen of the United States, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing through a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6-8 months after receipt of application.

Appeals:

Not Applicable.

Renewals:

Applications must be submitted annually.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for (1) year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Reporting Requirements have changed. The annual FSR is not required. No expenditure reports are required. Program performance will be reported as part of the next competing application.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-551.

Obligations:

(Project Grants) FY 09 \$16,000,000; FY 10 est \$16,000,000; FY 11 est \$16,000,000

Range and Average of Financial Assistance:

Range is \$1,994 - \$282,288; Average is \$45,584.05.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: awarded 270 grants. Fiscal Year 2010: Fiscal year 2010: awarded 351 grants. Fiscal Year 2011: No Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Office: Karen Breeden, AENT Project Officer, Department of Health and Human Services, Health Resources and Services Administration, Bureau of Health Professions, Division of Nursing, 5600 Fishers Lane, Room (B-45, Parklawn Building, Rockville, MD 20857. Telephone: 301- 443-6333.

Headquarters Office:

Karen Breeden 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857 Phone: (301) 443-6333

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All eligible applicants are considered in a formula for calculating awards. Eligible applicants be accredited and must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.359 NURSE EDUCATION, PRACTICE AND RETENTION GRANTS

NEPQR and Faculty Development: ITNEP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 831, 42 U.S.C. 296p, as amended by the Affordable Care Act of 2010, Public Law 111-148, Section 5309.

OBJECTIVES:

Nurse Education, Practice, Quality, and Retention Program (NEPQR) Grants are awarded to eligible applicant organizations for projects to strengthen and enhance the capacity for nurse education, practice, quality, and retention to address the nursing shortage. There are three major priority areas (Education, Practice and Retention) and eight purposes associated with the three priority areas. Applicants must select and focus on one of these eight purposes in the grant application.

The education priority areas are: Purpose E1) expanding enrollment in baccalaureate nursing programs; Purpose E2) providing education in new technology, including distance learning methodologies.; The practice priority areas are: Purpose P1) establishing or expanding nursing practice arrangements in non-institutional settings to demonstrate methods to improve access to primary health care in medically underserved communities; Purpose P2) providing care for underserved populations and other high-risk groups such as the elderly, individuals with HIV/AIDS, substance abusers, the homeless, and victims of domestic violence; Purpose P3) providing coordinated care, quality improvement, and other skills needed to practice in existing and emerging organized health care systems; Purpose P4) developing cultural competencies among nurses.

The retention priority areas are: Purpose R1) career ladder bridge programs which promote career advancement for nursing personnel and registered nurses and; Purpose R2) improving the retention of nurses and enhancing patient care that is directly related to nursing activities by enhancing collaboration and communication among nurses and other health care professionals, and by promoting nurse involvement in the organizational and clinical decision-making processes of a health care facility.

Faculty Development: Integrated Technology into Nursing Education and Practice Initiative (Faculty Development: ITNEP).

The purpose of this initiative is to provide support to nursing collaboratives for faculty development in the use of information and other technologies in order to expand the capacity of collegiate schools of nursing to educate students for 21st century health care practice. Nursing collaboratives will use healthcare information systems to enhance nursing education and practice, optimize patient safety, and drive improvements in health care quality.

For this initiative, use of information and other technologies in nursing education and practice, includes, but is not limited to, informatics, telehealth, mannequin-based and patient simulators, computer-based instructions, virtual simulation, interactive simulated case studies, advanced 3D graphics, e-Learning technology, and other simulated or virtual methods to enhance nursing education and practice. Since no institution or organization currently offers the full spectrum of information and other technologies necessary to carry out this initiative, the nursing collaboratives must develop linkages and partnerships to undertake this initiative.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project. Restricted Uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to 8 percent of direct costs exclusive of equipment and tuition and fees.

Applicant Eligibility:

Eligible applicants are: accredited schools of nursing, health care facilities, a partnership of such a school and a health care facility, nursing centers, academic health centers, State or local governments, and other public or private non-profit entities determined appropriate by the Secretary. The term health care facility means as Indian Health Service health center, a Native Hawaiian health center, a hospital, a Federally qualified health center, a rural health center, a nursing home, a home health agency, a hospice program, a public health clinic, a State or local department of public health, a skilled nursing facility, an ambulatory surgical center, or any other facility designated by the Secretary. The eligible applicant must be capable of carrying out the legislative purpose of the NEPQR program.

Schools of nursing providing undergraduate education and formal degree

programs must be accredited by a national nurse education accrediting agency or State approval agency recognized by the Secretary of the U.S. Department of Education. Newly established programs should consult with the U.S. Department of Education and provide appropriate documentation.

Beneficiary Eligibility:

Accredited public and nonprofit private schools of nursing and other public or nonprofit private entities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

NEPQR

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the last year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Faculty Development: ITNEP applications for the ITNEP program may only be submitted for new grant awards. This program does not accept competing applications beyond the initial five year project period.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NEPQR

Competing and competing continuation grant applications are submitted for three (3) years of support, except for the following two legislative purposes: (1) establishing or expanding nurse practice arrangements and (2) enhancing patient care delivery systems which are for five years of support.

Faculty Development: ITNEP.

Cooperative agreements are submitted for five years of support. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A Financial Financial Report (FFR) must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. No expenditure reports are required. A Uniform Progress Report (UPR) and Comprehensive Performance Management System (CPMS) Report must be submitted annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$1,980,692; FY 10 est \$2,562,807; FY 11 est \$1,969,674 -. (Project Grants) FY 09 \$32,480,822; FY 10 est \$35,600,241; FY 11 est \$35,600,241

Range and Average of Financial Assistance:

NEPR: \$65,477 - \$584,837;\$250,000.
ITNEP: \$238,142 - \$300,000; \$269,071.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data. Fiscal Year 2010: No Current Data. Fiscal Year 2011: No Current Data.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. NEPQR Dr. Janice Young, CDR Daniel Reed, and LT Kameron Cooper Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9B-45, Rockville, MD 20857. Telephone: (301) 443-6193.

ITNEP: Nancy Douglas-Kersellius, Nurse Consultant, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Room 9B-45, Rockville, MD 20857, 301-443-6333, Fax: 301-443-0791.

Headquarters Office:

Division of Nursing 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857 Phone: (301) 443-6193

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current

Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the project must be consistent with and promote the activities identified in the law. Need must be documented, and the capability for achieving project goals must be described. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.360 BIOMEDICAL ADVANCED RESEARCH AND DEVELOPMENT AUTHORITY (BARDA), BIODEFENSE MEDICAL COUNTERMEASURE DEVELOPMENT

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Section 319L, as amended by Pandemic and All-Hazards Preparedness Act of 2006, Public Law 109-417; Consolidated Appropriations Act, 2010, Division DDepartments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2010.

OBJECTIVES:

The purpose of this program is to coordinate the acceleration of countermeasure and product advanced research and development by (A) facilitating collaboration between the Department of Health and Human Services and other Federal agencies, relevant industries, academia, and other persons, with respect to such advanced research and development; (B) promoting countermeasure and product advanced research and development; (C) facilitating contacts between interested persons and the offices or employees authorized by the Secretary to advise such persons regarding requirements under the Federal Food, Drug, and Cosmetic Act and under section 351 of the Public Health Service Act; and (D) promoting innovation to reduce the time and cost of countermeasure and product advanced research and development. The Public Health Service Act at 42 U.S.C. 247d-6a defines a qualified countermeasure as a drug (as that term is defined by section 321(g)(1) of title 21), biological product (as that term is defined by section 262(i) of this title), or device (as that term is defined by section 321(h) of title 21), that the Secretary determines to be a priority (consistent with sections 182(2) and 184(a) of title 6) to - (i) diagnose, mitigate, prevent, or treat harm from any biological agent (including organisms that cause an infectious disease) or toxin, chemical, radiological, or nuclear agent that may cause a public health emergency affecting national security; or (ii) diagnose, mitigate, prevent, or treat harm from a condition that may result in adverse health consequences or death and may be caused by administering a drug, biological product, or device that is used as described in this subparagraph.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Support international in-country advanced development and industrialization of human pandemic influenza vaccine. Support activities related to the mitigation of the global shortage of influenza vaccines through awards and sub-awards to developing countries with the potential and capacity to manufacture various influenza vaccines. Basic research (e.g. scientific or medical experiments) is not allowable. 100% of funds are for discretionary activities.

Applicant Eligibility:

All types of entities are eligible, including highly qualified foreign nationals outside the United States either alone or in collaboration with American participants when such transactions may inure to the benefit of the American people. Applicants should review the individual funding opportunity announcements issued under this CFDA program.

Beneficiary Eligibility:

All individuals including at-risk individuals such as children, pregnant women, elderly, and others at-risk who may be given special priority.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcements issued under this CFDA program for any credentials or certifications that must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained through Grants.gov FIND, <http://www.grants.gov/>. Applicants should visit Grants.gov - FIND for the funding opportunity announcement and Grants.gov - APPLY to download application materials to complete and submit their applications in accordance with the funding opportunity announcement (FOA).

Award Procedure:

Applications that successfully proceed through initial screening (as outlined in the funding opportunity announcement) will be objectively reviewed based upon the review criteria specified in the FOA. Applications selected for funding will be processed and the applicant notified in writing through the issuance of a Notice of Award from the Office of the Assistant Secretary for Preparedness and Response.

Deadlines:

Sep 30, 2010: Applicable deadlines and due dates are specified in each funding opportunity announcement.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are limited up to 60 months. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incursion of an expenditure (up to three days) from the Payment Management System.

Reports:

Program reports are not applicable. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Grantees are required to submit semi-annual Program Progress Reports as well as final Program Progress Reports. Report formats and submission requirements are outlined in the funding opportunity announcement. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring of awardees is completed by BARDA program staff.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0140-0-1-551.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$15,000,000; FY 10 est \$16,000,000; FY 11 est \$16,000,000

Range and Average of Financial Assistance:

\$250,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that 20 applications will be received and 12 awards will be issued in fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 109-417, 45 CFR 92, 45 CFR 74, 45 CFR 46, 2 CFR, HHS Grants Policy Statement found at <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Michael L. Perdue, Biomedical Advanced Research and Development Authority, 330 Independence Ave SW, Room G-640, Washington, District of Columbia 20201 Email: Michael.Perdue@hhs.gov Phone: (202) 260-0966.

Website Address:

<http://www.hhs.gov/aspr/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Discretionary awards are subject to objective review. Reviewers will individually evaluate and score applications based upon the review criteria specified in the funding opportunity announcement. Scored applications will be ordinarily ranked. The highest ranked applications will receive priority consideration for award within available funding.

93.361 NURSING RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 464, 487, 42 U.S.C 285q; Public Health Service Act, Public Law 102-564; Public Health Service Act, Public Law 99-158.

OBJECTIVES:

The National Institute of Nursing Research (NINR) supports clinical and basic research to build the scientific foundation for clinical practice, prevent disease and disability, manage and eliminate symptoms caused by illness, and enhance end-of-life and palliative care. NINR seeks to extend nursing science by integrating the biological and behavioral sciences, employing new technologies to research questions, improving research methods, and developing the scientists of the future. NINR accomplishes its mission through research on preventing, delaying the onset, and slowing the progression of disease and disability. This includes (a) finding effective approaches to achieving and sustaining a healthy lifestyle and preventing diseases and disability; (b)

improving the quality of life for patients, families, and informal caregivers by enhancing the individual's role in managing disease and disability, relieving symptoms, and improving outcomes; (c) eliminating health disparities, including disparities based on race/ethnicity, gender, socio-economic status, geography, cultural factors, and disability, and (d) addressing issues at the end of life, improving palliative care, enhancing the quality of life for the dying patient, and supporting family and informal caregivers. These efforts are crucial in translating scientific advances into cost-effective health care that does not compromise quality. NINR accomplishes its mission by supporting grants to universities and other research organizations as well as by conducting research intramural at laboratories in Bethesda, Maryland. The research fosters interdisciplinary collaborations to ensure a comprehensive approach to research on illness and disabling conditions. This approach is especially relevant in research aimed at developing interventions that address the needs of diverse populations across the life span, adapting and generating new technologies, integrating biological and behavioral science, improving methods for future scientific discoveries. In addition, the Institute supports comprehensive research training programs to prepare individuals with requisite interdisciplinary skills to conduct nursing research. Small Business Innovation Research (SBIR) program: NINR seeks to expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation Small Business Technology Transfer (STTR) program: Through this program, NINR seeks to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Centers Program: To promote interdisciplinary research, offer an environment for research training and career development, and concentrate research through Centers for Nursing Research.

Program areas are as follows: Chronic conditions and infectious diseases, including health issues of individuals with arthritis, diabetes, urinary incontinence, digestive disorders, and musculoskeletal disorders; Health behavior and minority health, including studies of health disparities, women's health, men's health, and health and risk behaviors research; Cardiopulmonary and critical care science, including research on responses to acute cardiovascular events, chronic management of cardiovascular disease, and responses to acute and chronic pulmonary conditions such as COPD, asthma, and other non-infection-caused conditions; Neuroscience, including research on symptom management and/or prevention of cognitive deficits, neurological conditions, and informal caregiving for persons with these conditions; HIV/AIDS and oncology, including symptom management, self-management, and caregiving of patients with cancer, HIV/AIDS, and immune disorders; Reproductive, child, and family health, including reproduction and child bearing issues, infant development and illness, child and adolescent health promotion and risk reduction, and family health; End of life and long term care, including clinical management of physical and psychological symptoms, communication, ethics and clinical decision-making, caregiver support, and care delivery issues at the end of life.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grants: Funds may be used for salaries, consultation, equipment, travel and other usual costs, subject to Federal regulations applicable to the grant. National Research Service Awards (NRSAs) (Individual): Individual awards are made to institutions on behalf of the individual for research training in specified areas related to the mission of NINR. NRSAs (Institutional): Grants may be made to institutions to enable them to accept individuals for research training. Each individual who receives a NRSA postdoctoral fellowship on an NRSA award must comply with all applicable service and payback provisions. SBIR Phase I grants: (of approximately 6-months' duration) are to establish the

technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

Research Grants: Any corporation, public or private institution or agency, or other legal entity, either nonprofit or for-profit, may apply. NRSAs (Individual): An applicant must be a registered professional nurse with either a baccalaureate and/or a master's degree in nursing or a related field and must be a citizen of the United States or lawfully admitted for permanent residence. Those on temporary or student visas are not eligible. NRSAs (Institutional): An eligible institution must be capable of providing predoctoral and/or postdoctoral research training opportunities for nurses. SBIR grants: can be awarded only to domestic small businesses (entities that are independently owned and operated for profit; are not dominant in the field in which research is being proposed; and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the United States or its possessions. To be eligible for funding, a grant application must be reviewed for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Individuals and public or private institutions.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. NRSAs (Individual): The applicant's academic record, research experience, citizenship, licensure as a registered nurse, and institutional sponsorship should be documented in the application. NRSAs (Institutional): The applicant organization must show the objectives, methodology and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and detailed budget justification for the amount of grant funds requested. Costs will be determined in accordance with OMB Circular A-21, Cost Principles for Educational Institutions. For-profit organizations' costs are determined in accordance with 48 CFR Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR, Phase I and Phase II. Application is made electronically through Grants.gov (<http://www.grants.gov>). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research Grants:

Applications must be prepared either electronically through Grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (rev. 4/2006). The PHS 398 is available at <http://grants.nih.gov/grants/funding/phs398/phs398.html>. The format is interactive. For assistance contact GrantsInfo, Telephone (301) 435- 0714, Email: GrantsInfo@nih.gov. NRSAs (Individual): Individuals must submit the application form, PHS Individual National Research Service Award (PHS 416-1, rev. 10/05), and include at least three letters of reference. If the applicant has been lawfully admitted to the United States for permanent residence, the appropriate item should be checked on the Face Page of the application. Applicants who have applied for and have not yet been granted admission as a permanent resident should check the Permanent resident block on the Face Page of the PHS 416-1 application, and also write in the word "pending." A notarized statement documenting legal admission for permanent residence must be submitted prior to the issuance of an award. SBIR and STTR: The SF 424 (Research and Research-Related) application forms and the SF 424 SBIR/STTR Application Guide are used to apply for both SBIR and STTR grants, Phase I and Phase II. Application must be made electronically through grants.gov (<http://www.grants.gov>). For further assistance contact GrantsInfo, Telephone: (301) 435-0714, E-mail: GrantsInfo@nih.gov.

Award Procedure:

Research Grants and NRSAs (Institutional): All accepted applications are reviewed for scientific merit by an appropriate initial review group and then by a national advisory council. (Individual NRSA applications are not reviewed by council.) All applications favorably recommended compete for available funds on the basis of scientific merit and mission/program relevance. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, mission/program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Research Grants: From 6 to 9 months. NRSAs: From 6 to 9 months. SBIR/STTR: About 7-1/2 months. AIDS Grants: About 6 months.

Appeals:

Research Grants: A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the NINR.

Renewals:

Research Grants: Renewal applications are accepted. NRSAs: Awards may be made for 1 to 5 years. No individual may receive more than 5 years of aggregate NRSA support beyond the predoctoral level, and no individual may receive NRSA support for more than 3 years of support beyond the postdoctoral level. Institutional awards may be renewed.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research Grants: Awards are usually made annually with no project periods to exceed 5 years in length. NRSAs: Institutional awards may be made for up to 5 years, and Individual awards may be made for up to 5 years at the predoctoral level, 3 years at postdoctoral level, and 2 years at senior level. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Grant awards are made annually.

Reports:

Final reports including the financial status report on all projects are required within 90 days after their conclusion. No cash reports are required. Progress

reports where applicable are required annually for continuing projects. Financial status reports where applicable are required annually for continuing projects. Progress reports where applicable are required annually for continuing projects.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0889-0-1-552.

Obligations:

(Project Grants) FY 09 \$112,819,000; FY 10 est \$116,372,000; FY 11 est \$119,202,000 - Research Grants. (Training) FY 09 \$8,996,000; FY 10 est \$8,996,000; FY 11 est \$9,536,000 - National Research Service Awards (NRSA).

Range and Average of Financial Assistance:

(Research Grants) \$10,792 to \$911,785; \$358,000 avg. cost. (NRSAs) Individual Awards \$4,662 to \$54,747; \$32,000 avg. cost of FTTP/Awd.; Institutional Awards \$12,515 to \$510,022; \$44,000 average cost of FTTP.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 315 research grants, 78 NRSA individual FTTPs, and 148 NSRA institutional FTTPs were awarded for 2009. Fiscal Year 2010: 305 research grants, 78 NRSA individual FTTPs, and 148 NRSA institutional FTTPs are projected for awards in 2010. Fiscal Year 2011: 315 research grants, 78 NRSA individual FTTPs, and 148 NSRA institutional FTTPs are projected to be awarded for 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant

Applications.

Regional or Local Office:

None.

Headquarters Office:

Dr. Yvonne Bryan, National Institute of Nursing Research, National Institutes of Health, Room 710, One Democracy Plaza, 6701 Democracy Blvd., Bethesda, Maryland 20892 Email: bryany@mail.nih.gov Phone: (301) 594-6906.

Website Address:

<http://www.ninr.nih.gov/>

RELATED PROGRAMS:

93.226 Research on Healthcare Costs, Quality and Outcomes; 93.242 Mental Health Research Grants; 93.359 Nurse Education, Practice and Retention Grants; 93.399 Cancer Control; 93.866 Aging Research

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Please see 2008 for a representation of the general science areas covered. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) Significance: Does this study address an important problem? If the aims of the application are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? (2) Approach: Are the conceptual framework, design, methods, and analyses adequately developed, well-integrated, well-reasoned, and appropriate to the aims of the project? Does the applicant acknowledge potential problem areas and consider alternative tactics? For applications designating multiple PIs, is the leadership approach, including the designated roles and responsibilities, governance, and organizational structure, consistent with and justified by the aims of the project and the expertise of each of the PIs? (3) Innovation: Is the project original and innovative? Does the project challenge existing paradigms or clinical practice; address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or employ novel concepts, approaches or methodologies, tools, or technologies for this area? (4) Investigators: Are the PD/PIs and other key personnel appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level(s) of the principal investigator(s) and other researchers? Do the PD/PIs and investigative team bring complementary and integrated expertise to the project (if applicable)? (5) Environment: Do(es) the scientific environment(s) in which the work will be done contribute to the probability of success? Do the proposed studies benefit from unique features of the scientific environment(s), or subject populations, or employ useful collaborative arrangements? Is there evidence of institutional support? In addition to the above criteria, the following items will continue to be considered in the determination of scientific merit and the priority score: Resubmission Applications (formerly revised/amended applications): Are the responses to comments from the previous scientific review group adequate? Are the improvements in the resubmission application appropriate? Protection of Human Subjects from Research Risk: The involvement of human subjects and protections from research risk relating to their participation in the proposed research will be assessed. Inclusion of Women, Minorities and Children in Research: The adequacy of plans to include subjects from both genders, all racial and ethnic groups (and subgroups), and children as appropriate for the scientific goals of the research will be assessed. Plans for the recruitment and retention of subjects will also be evaluated. Care and Use of Vertebrate Animals in Research: If vertebrate animals are to be used in the project, the adequacy of the plans for care and use of vertebrate animals to be used in the project will be assessed. Biohazards: If materials or procedures are proposed that are potentially hazardous to research personnel and/or the environment, determine if the proposed protection is adequate. Applications compete for available funds with all other recommended applications. The following will be considered in making funding decisions: Scientific merit of the proposed project as determined by peer review; Availability of funds; Relevance of program priorities. The following criteria will be used in considering the scientific and technical merit of all SBIR/STTR grant applications: Significance: Does the proposed project have commercial potential to lead to a

marketable product, process or service? Does this study address an important problem? What may be the anticipated commercial and societal benefits that may be derived from the proposed research? If the aims of the application are achieved, how will scientific knowledge or clinical practice be advanced? What will be the effect of these studies on the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field? Does the application lead to enabling technologies (e.g., instrumentation, software) for further discoveries? Will the technology have a competitive advantage over existing/alternate technologies that can meet the market needs? Approach: Are the conceptual or clinical framework, design, methods, and analyses adequately developed, well-integrated, well-reasoned, and appropriate to the aims of the project? Is the proposed plan a sound approach for establishing technical and commercial feasibility? Are the milestones and evaluation procedures appropriate? Does the applicant acknowledge potential problem areas and consider alternative tactics? For applications designating multiple PDs/PIs, is the leadership approach, including the designated roles and responsibilities, governance, and organizational structure, consistent with and justified by the aims of the project and the expertise of each of the PDs/PIs? Innovation: Is the project original and innovative? For example: Does the project challenge existing paradigms or clinical practice; address an innovative hypothesis or critical barrier to progress in the field? Does the project develop or employ novel concepts, approaches, methodologies, tools, or technologies for this area? Investigator(s): Are the PD/PI(s) and other key personnel appropriately trained and well suited to carry out this work? Is the work proposed appropriate to the experience level of the PD/PI(s) and other researchers, including consultants and subcontractors (if any)? Do the PD/PIs and investigative team bring complementary and integrated expertise to the project (if applicable)? Are the relationships of the key personnel to the small business and to other institutions appropriate for the work proposed? Environment: Do(es) the scientific and technological environment in which the work will be done contribute to the probability of success? Do the proposed studies benefit from unique features of the scientific environment, or subject populations, or employ useful collaborative arrangements? Is there evidence of institutional support? Is there sufficient access to resources (e.g., equipment, facilities)? In addition to the above criteria, Phase II grant applications will be reviewed based upon the following criteria: 1. How well did the applicant demonstrate progress toward meeting the Phase I objectives, demonstrating feasibility, and providing a solid foundation for the proposed Phase II activity? 2. Did the applicant submit a concise Commercialization Plan that adequately addresses the specific areas described in the SF424 (R&R) SBIR/STTR Application Guide and the SBIR/STTR Information component? 3. Does the project carry a high degree of commercial potential, as described in the Commercialization Plan? In addition to the above criteria, the following items will continue to be considered in the determination of scientific merit and the priority score: Resubmission Applications: Are the responses to comments from the previous scientific review group adequate? Are the improvements in the resubmission application appropriate? Protection of Human Subjects from Research Risk: The involvement of human subjects and protections from research risk relating to their participation in the proposed research will be assessed. Inclusion of Women, Minorities and Children in Research: The adequacy of plans to include subjects from both genders, all racial and ethnic groups (and subgroups), and children as appropriate for the scientific goals of the research will be assessed. Plans for the recruitment and retention of subjects will also be evaluated. Care and Use of Vertebrate Animals in Research: If vertebrate animals are to be used in the project, the adequacy of the plans for their care and use will be assessed. Biohazards: If materials or procedures are proposed that are potentially hazardous to research personnel and/or the environment, determine if the proposed protection is adequate. SBIR/STTR applications compete for available funds with all other recommended SBIR/STTR applications. The following will be considered in making funding decisions: Scientific and technical merit of the proposed project as determined by peer review; Availability of funds; Relevance of the proposed project to program priorities.

93.364 NURSING STUDENT LOANS

NSL

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 835-842, 42 U.S.C. 297a-i, as amended; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved nursing program.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

The maximum amount a student may borrow is \$3,300 for an academic year for each of the first two years, \$5,200 for each of the final 2 years, or the amount of the student's financial need, whichever is less. The total is limited to \$17,000 maximum. Loans to full and half-time nursing students enrolled after June 30, 2000 who are citizens, nationals, lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, the American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia. Funds are made to schools of nursing to capitalize loan. The maximum amount a student may borrow is \$3,300 for an academic year for each of the first two years, \$5,200 for each of the final 2 years, or the amount of the student's financial need, whichever is less. The total is limited to \$17,000 maximum. Loans to full and half-time nursing students enrolled after June 30, 2000 who are citizens, nationals, lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, the American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia. Funds are made to schools of nursing to capitalize loan.

Applicant Eligibility:

All accredited public and nonprofit private schools of nursing that prepare students for practice as registered or graduate nurses, and that do not discriminate against students because of race, color, origin, sex, or handicapping conditions, are eligible to apply for funds to be disbursed to qualified nursing students.

Beneficiary Eligibility:

The Nursing Student Loan Program provides financial assistance to nursing students who are citizens, nationals or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa or the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated State of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. New applications for this program can be acquired from the Division of Student Loans and Scholarships by calling (301) 443-1173.

Annual operating reports serve as the new year application for current NSL recipient institutions

Award Procedure:

The Notice of Award is sent to the School's Contact Person notifying the school of the amount of Federal funds allocated for loans prior to the beginning of the academic year in which the award is to be utilized. Student is notified by

student aid office of approval or disapproval at times determined by schools.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Applications are required for new schools. Annual operating reports are required for renewals.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching Requirements: Percent: 11%. Schools participating in loan programs must match the Federal contribution with an amount not less than one-ninth of the Federal allotment.

MOE requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and time of assistance depends upon the time required by the student to complete the necessary course of study leading to a degree and is subject to availability of funds. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Operating Report (AOR) through electronic submission at <http://grants.hrsa.gov>. AOR must be submitted 45 days after the end of each budget period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Loans) FY 09 not reported.; FY 10 est \$1,073,242; FY 11 est \$1,073,242

Range and Average of Financial Assistance:

\$3,190 to \$66,666 (FY 2009).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and

tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Christine Brazell, Division of Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, Room 9-95, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0992. Use same number for FTS.

Headquarters Office:

Christine Brazell 5600 Fishers Lane, Room 9-95, Rockville, Maryland 20857 Phone: (301) 443-0992

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

84.063 Federal Pell Grant Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements.

93.365 SICKLE CELL TREATMENT DEMONSTRATION PROGRAM SCTDP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 712(c) of the American Jobs Creation Act of 2004, P.L. 108-357.

OBJECTIVES:

To support demonstration projects for the development and establishment of system mechanisms and partnerships to enhance the prevention and treatment of sickle cell disease (SCD) through coordination of service delivery, genetic counseling and testing, bundling of technical services, training of health professionals, and patient and provider education.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved projects in accordance with Section 712 of the Public Law 103-357.

Applicant Eligibility:

Eligible entities include, Federally-qualified health center, as defined in section 1905(10)(2)(B) of the Social Security Act (42 U.S.C. 1396d(10)(2)(B)), nonprofit hospital or clinic, or university health center that provides primary health care, that: (1) has a collaborative agreement with a community-based Sickle Cell Disease organization or a nonprofit entity with experience in working with individuals who have Sickle Cell Disease; and (2) demonstrates that either the Federally-qualified health center, the nonprofit hospital or clinic, the university health center, the community-based Sickle Cell Disease organization or the Sickle Cell Disease experts who serve as consultants to the project have at least 5 years of experience in working with individuals who have Sickle Cell Disease.

Beneficiary Eligibility:

Projects will benefit individuals with Sickle Cell Disease and health professionals who provide care for individuals with Sickle Cell Disease.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or

certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 2-3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports. Refer to funding opportunities announcement. Refer to funding opportunities announcement. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Review (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$3,918,699; FY 10 est \$4,371,611; FY 11 est \$4,750,000 -

Range and Average of Financial Assistance:

\$320,000.00 to \$400,000; \$360,000.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Office: Lorriane Brown, RN, BS, CPHP, Genetic Services Branch, Division of Services for Children with Special Health Needs, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-19, 5600 Fishers Lane, Rockville, MD 20857; Telephone: (301) 443-9775.

Headquarters Office:

Lorriane Brown, RN, BS, CPHP 5600 Fishers Lane, Room 18-A19, Rockville, Maryland 20857 Phone: (301) 443-9775

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the funding opportunity announcement materials provided with application kits.

93.389 NATIONAL CENTER FOR RESEARCH RESOURCES

(DBT, DCRR, DCM, DRI)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 479-480 487, Public Law 99-158; Public Health Service Act, Title III, Section 301, Public Law 78-410, U.S.C 341, 287-288; Small business research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

The National Center for Research Resources (NCRR) provides laboratory scientists and clinical researchers with the environments and tools they need to understand, detect, treat, and prevent a wide range of diseases. This support enables discoveries that begin at a molecular and cellular level, move to animal-based studies, and then are translated to patient-oriented clinical research, resulting in cures and treatments for both common and rare diseases. NCRR connects researchers with one another and with patients and communities across the nation to harness the power of shared resources and research.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Division of Biomedical Technology supports research, development and access to sophisticated technologies at resource centers nationwide which assist academic, nonprofit organizations in the development, use, and dissemination of innovative technologies useful for the advancement of biomedical science. Awards are provided under a variety of funding mechanisms composed of Biomedical Technology Resource(BTR) grants, Research Project Grants, Shared Instrumentation Grants (SIG), High End Instrumentation (HEI) Program and other mechanisms, such as Exploratory Grants to develop new technologies for biomedical research, Small Business Innovation Research (SBIR) grants, and Small Business Technology Transfer (STTR) grants. The Division for Clinical Research Resources makes it possible to apply knowledge gained through basic research to the development of lifesaving drugs, devices, and therapies that protect the health of our nation's citizens by providing research infrastructure for clinical investigators. These specialized institutional resources are equipped to be flexible and responsive to changing scientific and economic environments and to unforeseen research challenges. The Division for Clinical Research Resources helps translate scientific knowledge into effective patient care through General Clinical Research Centers (GCRC), Research Career Development (K23, K24), Clinical Research Curriculum Award (CRCA, K30), Clinical Research-related activities, Small Business Innovation Research (SBIR) grants and Small Business Technology Transfer (STTR) grants; and improves pre-college science education and the public's understanding of science through the Science Education Partnership Award (SEPA) Program. Additionally, a new consortium, funded through Clinical and Translational Science Awards (CTSAs), will transform how clinical and translational research is conducted, ultimately enabling researchers to provide new treatments more efficiently and quickly to patients. The Division of Comparative Medicine supports research and resource projects, as well as centers that enable biomedical scientists to use high quality, disease-free animals effectively in research on human health problems. Support is also available for the development of a wide range of other research models, particularly marine invertebrates and lower vertebrates, and access to an array of important biological materials. These objectives are accomplished through activities of National Primate Research Centers (NPRC), Laboratory Animal Science (LAS), and Biological Models and Materials Research (BMMR). The Division of Research Infrastructure addresses the under representation of minority investigators; enhances the research environment at minority colleges and universities in the health sciences; broadens the geographic distribution of research grant funding to states with historically low NIH success rates; and improves biomedical research facilities through matching grants for construction and renovation. These objectives are accomplished through activities of the Research Centers in Minority Institutions (RCMI) program, the RCMI Clinical Research Infrastructure Initiative (RCRII), and the Institutional Developmental Award (IDeA). The Office of Construction supports the Research Facilities Improvement Program (RFIP) and the Animal Facilities Improvement Program.

Applicant Eligibility:

BTR Grants: Nonprofit health professional schools; other academic institutions; hospitals; State and local health agencies; and research organizations with programs in biomedical technology research located in the United States and its territories. SIG and HEI awards will be made to institutions only, not to individuals. GCRC and CTSA grants: schools, research hospitals, and other institutions capable of carrying out well-designed studies in any preclinical or clinical science involving human beings as research subjects in conjunction with investigators funded through the National Institutes of Health (NIH) and other Federal agencies. Research Career Development: Applications may be submitted on behalf of candidates by domestic, nonfederal organizations, public or private institutions of higher education. Clinical Research Scholar Program Award: Applications will be accepted from domestic, nonprofit organizations, public and private institutions of higher education that have strong, well-established clinical research and training program. SEPA: Public and private for-profit and nonprofit institutions and museums. DCM: Institutions of higher education, hospitals, and other institutions and organizations, both nonprofit and for-profit, seeking to establish, continue, or enlarge programs consistent with the objectives of the program. Applicants for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institutional

NRSA. RCMI: Institutions with more than 50 percent minority enrollment that award an M.D., D.D.S., D.V.M., or other doctoral degree in the health professions and/or a Ph.D. in the health-related sciences. This program is open only to institutions within the United States and its territories. RCRII: RCMI eligible institutions affiliated with medical schools. IDeA: Domestic public and private for profit and nonprofit institutions in States in which the success rate for applications to the NIH has been historically low. RFIP and Animal Facilities Improvement: Except where otherwise prohibited by law, any nonprofit organization competent to carry out biomedical research.

Beneficiary Eligibility:

Biomedical investigators at any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

SIG, HEI: A major user group of three or more investigators should be identified. Each major user must have NIH peer-reviewed research support at the time of the award. The application must show a clear need for the instrumentation by projects supported by multiple NIH research awards and demonstrate that these projects will require at least 75 percent of the total usage of the instrument. Applications for NRSA must be citizens of the United States or be admitted to the United States for permanent residency. Applicants must be nominated and sponsored by a public or private nonprofit institution with staff and facilities suitable for the proposed research training. Nonprofit domestic organizations may apply for the institution NRSA. RCMI, RCRII, and CCRC: Applicants must certify eligibility (see Applicant Eligibility requirements). IDeA, and Animal Facilities Improvement: None. RFIP: Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, The National Environmental Policy Act, and listing of all relevant licenses, permits or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular Nos. A-122, "Cost Principles for Nonprofit Organizations," and in the case of SIG & HEI No. A-87 for State and local governments or A-21 for Educational Institutions, and HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms may be obtained from the Division of Extramural Outreach and Information, 6701 Rockledge Drive, MSC 7910, Bethesda, MD 20892-7910. Telephone (301) 435-0714, e-mail: ASKNIH@odrockml.od.nih.gov. Completed applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, MSC 7710, Bethesda, MD 20892-7710. The standard application forms PHS 398, (Rev. November 1998), as furnished by PHS and required by 45 CFR, Part 92, and OMB Circular No. A-110 must be used for all programs. For filing NRSA individual fellowship award applications, Form 416-1 (Rev. October 1991) is needed. CTSA: Special instructions for preparing applications should be requested from the Division for Clinical Research Resources, One Democracy Plaza, 6701 Democracy Blvd., National Center for Research Resources, National Institutes of Health, Bethesda, MD 20892-4874. RFIP: Construction applications are to be submitted on a Standard Form 424, Application for Federal Assistance.

Award Procedure:

The initial review of applications from eligible investigators and institutions is reviewed by committees comprised of authorities in various fields of biomedical research and science education, as appropriate. Each application is given a peer evaluation for merit, and recommendations are forwarded to the National Advisory Research Resources Council for final review and recommendation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of their application by communicating with the NCRR staff. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

K23, K24, SIG, HEI, Animal Facilities Improvement, and RFIP: None. All others may be renewed upon successful reapplication.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

All programs except for RFIP: 1 to 5 years. An Electronic Transfer System is used for transferring funds. RFIP: Construction must be completed within the time specified in grant award. The initial budget period is usually 2 years in length, although extensions may be requested. All funds must be obligated within 5 years from the date of award. See the following for information on how assistance is awarded/released: All programs except for RFIP: 1 to 5 years. An Electronic Transfer System is used for transferring funds. RFIP: Construction must be completed within the time specified in grant award. The initial budget period is usually 2 years in length, although extensions may be requested. All funds must be obligated within 5 years from the date of award.

Reports:

No program reports are required. No cash reports are required. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions. RFIP: The grantee is responsible for performance of the contractor. The contractor must be covered by performance and payment bonds. Annual progress and financial status reports are required for all grants. Final performance and financial reports are required 90 days following the end of the project period. Reports are required after termination of NRSA to ascertain compliance with the service and payback provisions. RFIP: The grantee is responsible for performance of the contractor. The contractor must be covered by performance and payment bonds. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify

the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0848-0-1-552.

Obligations:

(Project Grants) FY 09 \$431,264,000; FY 10 est \$457,700,000; FY 11 est \$477,700,000 - General Clinical Research Centers/Clinical and Translational Science Awards. (Project Grants) FY 09 \$419,352,000; FY 10 est \$425,801,000; FY 11 est \$421,059,000 - Clinical Research. (Project Grants) FY 09 \$31,609,000; FY 10 est \$35,740,000; FY 11 est \$36,153,000 - Research Project Grants. (Project Grants) FY 09 \$150,321,000; FY 10 est \$176,488,000; FY 11 est \$196,077,000 - Other Research. (Project Grants) FY 09 \$224,073,000; FY 10 est \$228,562,000; FY 11 est \$235,419,000 - Special Comprehensive Centers. (Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Construction. (Project Grants) FY 09 \$130,224,000; FY 10 est \$123,572,000; FY 11 est \$127,279,000 - Comparative Medicine Centers. (Project Grants) FY 09 \$57,040,000; FY 10 est \$58,757,000; FY 11 est \$60,520,000 - Research Centers in Minority Institutions. (Project Grants) FY 09 \$82,729,000; FY 10 est \$81,747,000; FY 11 est \$84,199,000 - Biomedical Technology Research Centers. (Project Grants (Capacity Building and Complaint Processing, Training)) FY 09 \$6,598,000; FY 10 est \$6,664,000; FY 11 est \$6,977,000 - Research Training. (Project Grants (Contracts)) FY 09 \$56,218,000; FY 10 est \$61,704,000; FY 11 est \$65,912,000 - Research & Development Contracts.

Range and Average of Financial Assistance:

In fiscal year 2009, there were 108 Research Project Grants; In FY 2010, it is estimated that there will be 123 and in FY 2011, 119. There were 65 Clinical Research Resource Center Awards in 2009 (includes General Clinical Research Centers and Clinical and Translational Science Awards). It is estimated that there will be 61 in FY 2010 and 60 in FY 2011. There were 19 General Clinical Research Centers in FY 2009 and it is estimated that there will be 11 in FY 2010 and 9 in FY 2011. There were 46 Clinical and Translational Science Awards in FY 2009 and it is estimated that there will be 48 in FY 2010 and 49 in FY 2011. There were 49 Biomedical Technology Resource Centers in FY 2009 and it is estimated there will be 48 in FY 2010 and FY 2011. There were 100 Specialized Comprehensive Centers in FY 2009 and it is estimated that there will be 100 in FY 2010 and 100 in FY 2011. There were 47 Comparative Medicine Centers in FY 2009. It is estimated that there will be 47 in FY2010 and 47 in FY 2011. There were 23 Research Centers in Minority Institutions in FY 2009 and it is estimated that there will be the same number in FY 2010 & FY 2011. There were 365 Other Research Grants in FY 2009. It is estimated that there will be 368 in FY 2010 & 361 in FY 2011. There were 135 Full Time Training positions in FY 2009. Its estimated that there will be 135 in FY 2010 & FY 2011. There were 91 Research and Development Contracts in FY 2009. It is estimated that there will be 87 in FY 2010 & 89 in FY 2011.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

BT: OMB Circular No. A-21, "Cost Principles for Educational Institutions." Reference: 44 FR 12368, March 6, 1979; Rev. No. 1, 47 FR 33658, August 3, 1982; Rev. No. 2, 51 FR 20908, June 9, 1986. OMB Circular No. A-110, "Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations." Reference: 41 FR 32016, July 30, 1976. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." OMB Circular No. A-128, "Audits of State and Local Governments"; Reference: Public Law 98-502, the Single Audit Act of 1984. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local

Governments"; Reference: 34 CFR 255. 45 CFR 92. Reference: 42 FR 45828. OMB Circular No. A-122, "Cost Principles for Nonprofit Organizations." Reference: 45 FR 46022, July 8, 1980. 45 CFR 74; 42 CFR 52h. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal Regulations at 42 CFR 52 and 42 USC 241. Department Staff Manual "Grants Administration"; Indirect Cost Register, DHHS; PHS Grants Policy Statement, DHHS Publications No. (OASH) 94-50,000, (Rev.) April 1, 1994; "NIH Grants Policy Guide," Office for Protection from Research Risks, NIH; "A Guide to Grant and Award Programs of the NIH"; and miscellaneous program literature from Headquarters Office.

Regional or Local Office:

None.

Headquarters Office:

Patricia Newman, 6701 Democracy Boulevard , Room 994-MS4874 , Bethesda, Maryland 20892-4874 Email: pnewman@mail.nih.gov Phone: (301) 435-0864.

Website Address:

<http://www.ncrr.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. RFIP: Factors considered in making awards include the merit of the proposal, the needs of the institution, with special consideration for institutions designated as centers of excellence, the commitment of the institution, the availability of funds, and the overall program priorities.

93.393 CANCER CAUSE AND PREVENTION RESEARCH

Cancer Cause and Prevention Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301 (c) and 410, Public Law 78-410, as amended, 42 U.S.C. 241; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To identify those factors that cause cancer in man and to develop mechanisms for cancer prevention in man. The following research programs are included: epidemiology, chemical and physical carcinogenesis, biological carcinogenesis, nutrition, immunology, field studies and statistics, chemoprevention, and organ site studies. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation on socially and economically disadvantaged small business concern and women-owned small business concern in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development and economically disadvantage small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concern (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant applicant must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research on cancer.

Credentials/Documentation:

For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan and idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (Rev. January 2010) is used to apply for SBIR Phase I and Phase II and STTR Phase I and Phase II. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (Rev. January 2010) is the standard application form. Both forms can be obtained

online at <http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by DHHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH's Small Business Funding Opportunities home page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov/>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533-2) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

(Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal must be reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or Letter of Credit. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after end of budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal

officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Causation Research.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$646,383,000; FY 10 est \$661,892,000; FY 11 est \$685,182,000 - (Grants) For RPG: FY 09 \$646,383,000; FY 10 est \$661,892,000; and FY 11 est \$685,182,000. For SBIR/STTR: FY 98 \$8,105,000; FY 10 est \$9,843,000; and FY 11 est \$10,721,000.

Range and Average of Financial Assistance:

Range: \$1,250 to \$5,747,185.

Average: \$410,141.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY2009 est. 397 awards which were 19.4% of applications received.
FY2009 est. 13 awards which were 25.5% of applications received. Fiscal Year 2010: FY 2010 est. 1591 total awards. Fiscal Year 2011: FY 2011 est. 1551 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 74; 45 CFR 92; PHS Grants Policy Statement. No. (OASH) 94-50,000, (Rev.) April 1, 1994; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Catherine M. Battistone 6116 Executive Blvd., Rm. 8044, Rockville, Maryland 20852 Email: battistc@mail.nih.gov Phone: 3015941088

Website Address:

<http://www.nih.gov/>; <http://cancer.gov/>; <http://cancercontrol.cancer.gov/>; <http://prevention.cancer.gov/>.

RELATED PROGRAMS:

93.394 Cancer Detection and Diagnosis Research; 93.395 Cancer Treatment Research; 93.396 Cancer Biology Research; 93.399 Cancer Control

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.394 CANCER DETECTION AND DIAGNOSIS RESEARCH

Cancer Detection and Diagnosis Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, as amended, 42 U.S.C. 241; Public Law 100-607, 42 U.S.C. 285 and 285a; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To improve screening and early detection strategies and to develop accurate diagnostic techniques and methods for predicting the course of disease in cancer patients. Screening and Early Detection research includes development

of strategies to decrease cancer mortality by finding tumors early when they are more amenable to treatment. Diagnosis research focuses on methods to determine the presence of a specific type of cancer; to predict its course and response to therapy, both a particular therapy or a class of agents; and to monitor the effect of the therapy and the appearance of disease recurrence. These methods include diagnostic imaging and direct analyses of specimens from tumor or other tissues. Support is also provided for establishing and maintaining resources of human tissue to facilitate research. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultants costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or organization, unit of tribal government, or a for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for

funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants.

<http://grants.nih.gov/grants/funding/424/index.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at <http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically via Grants.gov. The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

(Regular Grants) Approximately 10 months. SBIR/STTR: About 7-1/2 months. See website: <http://grants1.nih.gov/grants/funding/submissionschedule.htm>.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page

<http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula or matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS.

Terminal reports are required 6 months after the end of the project. No expenditure reports are required. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Detection and Diagnosis Research.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$235,742,000; FY 10 est \$256,368,000; FY 11 est \$263,168,000 - (Grants) (RPG) FY 09 \$235,742,000; FY 10 est \$256,368,000; and FY 11 est \$263,168,000. For SBIR/STTR: FY 09 \$40,532,000; FY 10 est \$45,973,000 and FY 11 est \$45,669,000.

Range and Average of Financial Assistance:

Range: \$3,181 to \$8,896,390

Average: \$372,420.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY2009 RPG act. - 172 awards which were 16.2% of applications received

FY2009 SBIR/STTR act. - 56 awards which were 20.4% of applications received. Fiscal Year 2010: FY 2010 est. 796 total awards. Fiscal Year 2011: FY 2011 est. 841 total awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institute of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Catherine M. Battistone, 6116 Executive Blvd., Rm. 8044, Rockville, Maryland 20852 Email: battistc@mail.nih.gov Phone: (301) 594-1088 Fax: (301) 402-0275.

Website Address:

<http://www.prevention.cancer.gov/programs-resources/groups/ed> and <http://prevention.cancer.gov/programs-resources/groups/cb>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.395 CANCER TREATMENT RESEARCH

Cancer Treatment Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended, Public Law 100-607, 42 U.S.C 285(a); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To develop the means to cure as many cancer patients as possible and to control the disease in those patients who are not cured. Cancer Treatment Research includes the development and evaluation of improved methods of cancer treatment through the support and performance of both fundamental and applied laboratory and clinical research. Research is supported in the discovery, development, and clinical testing of all modes of therapy including: surgery, radiotherapy, chemotherapy, and biological therapy including molecularly targeted therapies, both individually and in combination. In addition, research is carried out in areas of nutritional support, stem cell and bone marrow transplantation, image guided therapies and studies to reduce toxicity of cytotoxic therapies, and other methods of supportive care that may supplement and enhance primary treatment. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has

potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution, or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned, and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' cost are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424 is used to apply for SBIR Phase I and Phase II, respectively. Grant form SF424 is also used to apply for STTR Phase I and Phase II, respectively. See the website for more information on electronic submission of grants.

<http://grants.nih.gov/grants/funding/424/index.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application form PHS-398 is the standard form which may be obtained electronically from: <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. The standard application forms required by 45 CFR, Part 92 for State and local governments, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the Web. Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040-MSB 7710, Bethesda, MD 20892-7710.

Award Procedure:

Approved grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and if they are recommended for further consideration, by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 10 months. SBIR Applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements: Average 3 to 4 years, maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funds are provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the DHHS. Terminal reports are required 6 months after the end of the project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

Not Applicable.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Treatment Research.

Obligations:

(Salaries) FY 09 \$520,353,000; FY 10 est \$561,365,000; FY 11 est \$589,312,000 - (Grants) For RPG: FY 2009 \$520,353,000; FY 2010 est \$561,365,000; and FY 2011 est \$589,312,000. For SBIR/STTR: FY 2009 \$35,376,000; FY 2010 est \$35,689,000; and FY 2011 est \$34,490,000.

Range and Average of Financial Assistance:

Range: \$3,110 to \$5,273,382
Average: \$ 441,726.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Competing FY2009 RPG act. - 302 awards which were 18.6% of applications received.

Competing FY2009 SBIR/STTR act. - 74 awards which were 21.8% of applications received. Fiscal Year 2010: It is estimated that 1,353 total awards will be made in FY 2010. Fiscal Year 2011: It is estimated that 1,438 total awards will be made in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92. Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. Not applicable.

Headquarters Office:

Catherine M. Battistone, 6116 Executive Blvd., Rm. 8044, Rockville, Maryland 20852 Email: battistc@mail.nih.gov Phone: (301) 594-1088.

Website Address:

<http://www.nci.nih.gov>.

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.394 Cancer Detection and Diagnosis Research; 93.396 Cancer Biology Research; 93.399 Cancer Control

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.396 CANCER BIOLOGY RESEARCH

Cancer Biology Research

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 410, and 411, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285 and 285a; Small

Business Research and Development Enhancement, Act of 1992, as amended, Public Law 102-564.

OBJECTIVES:

To provide fundamental information on the cause and nature of cancer in people, with the expectation that this will result in better methods of prevention, detection and diagnosis, and treatment of neoplastic diseases. Cancer Biology Research includes the following research programs: cancer cell biology, cancer etiology, structural biology and molecular applications, DNA and chromosomal aberrations, tumor biology and metastasis, and immunology and hematology. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer; increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and Facilities and Administrative Costs (formerly known as indirect costs). SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II are for the continuation of the research initiated in Phase I that is likely to result in a commercial product. The SBIR/STTR Fast Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project must be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) is used to apply for SBIR Phase I and Phase II, STTR Phase I and Phase II grants, and Fast-Track. See the website for more information on the electronic submission of grants.

<http://grants.nih.gov/grants/funding/424/index.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The NIH is in the process of a transition to fully electronic grant submission through Grants.gov (see <http://era.nih.gov/ElectronicReceipt> for details). Depending on the grant mechanism, either form PHS-398 (Rev. June 2009) or form SF424(R&R) (January 2010) is the standard form. Both can be obtained at <http://grants1.nih.gov/grants/forms.htm>. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications must be submitted electronically via Grants.gov The following is a website address for NIH electronic submission of grant application information: <http://era.nih.gov/ElectronicReceipt/index.htm>.

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. The initial grant award provides funds for the first budget period (usually 12 months) and letter Notice of Award indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any. All accepted SBIR/STTR applications are evaluated for technical merit by an appropriate scientific review group and if they are recommended for further consideration, by a national advisory council. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Aug 05, 2009 All Grants including renewals and supplements: April 5, August 5, December 5. Cooperative Agreements and contracts are usually submitted in response to a Request for Applications (RFA) or a Request for Proposals (RFP). The receipt date is stipulated in the RFA or RFP.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months. SBIR/STTR: Approximately 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/notice-files/not97-232.html.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and Cooperative Agreements: Average 3 to 4 years, and a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Biology Research.

Obligations:

(Salaries) FY 09 \$519,021,000; FY 10 est \$508,234,000; FY 11 est \$530,279,000 - (Grants) RPG: FY 2009 \$519,021,000; FY 2010 est \$508,234,000; and FY 2011 est \$530,279,000. SBIR/STTR: FY 2009 \$7,942,000; FY 2010 est \$5,934,000; and FY 2011 est \$6,560,000.

Range and Average of Financial Assistance:

Range: \$10,688 to \$4,501,160

Average: \$350, 690.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Competing FY2009 RPG act. - 364 awards which were 21.5% of applications received.

Competing FY2009 SBIR/STTR - 10 awards which were 11.4% of applications received. Fiscal Year 2010: Fiscal year 2010: It is estimated that 1,518 total awards will be made in FY2010. Fiscal Year 2011: Fiscal year 2011: It is estimated that 1,523 total awards will be made in FY2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Catherine M. Battistone, 6116 Executive Blvd., Rm. 8044, Rockville, Maryland 20852 Email: battistc@mail.nih.gov Phone: (301) 594-1088 Fax: (301) 402-0275.

Website Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.397 CANCER CENTERS SUPPORT GRANTS

Cancer Centers Support Grants

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 305(a), 410, 411, and 414, Public Law 78-410, 42 U.S.C. 241, as amended; Public Law 100-607, 42 U.S.C. 285, 285a and 285a-3; Public Law 99-500.

OBJECTIVES:

To provide an organizational focus and stimulus for the highest quality cancer research that effectively promotes interdisciplinary cancer research aimed toward the ultimate goal of reducing cancer incidence, mortality and morbidity. The Cancer Center Support Grant (CCSG) provides the resources and infrastructure to facilitate the coordination of interdisciplinary programs across a broad spectrum of research from basic laboratory research to clinical investigation to population science. The CCSG supports salaries for scientific leadership of the Center, shared resources for funded center investigators, certain administrative costs, planning and evaluation, and developmental funds for new recruitments and feasibility studies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

In general, CCSGs do not support research projects directly but are used to enhance the ongoing cancer research and to strengthen the existing research base according to the "Policies and Guidelines Relating to the Cancer Center Support Grant."

Applicant Eligibility:

Any nonprofit institution within the United States with a peer-reviewed cancer research base of 4.0 million dollars in direct costs may apply for a Cancer Center Support Grant.

Beneficiary Eligibility:

University, college, public agency or research institution in the U.S.

Credentials/Documentation:

The application requests documentation concerning the qualifications of the principal investigators and associates, pertinent budget information and justification for the support of this proposed grant. Methods to be utilized are outlined in the application materials. Costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q, and for State and local governments in accordance with OMB Circular No. A-87. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Method of Application: Form PHS-398 (Rev. June 2009) can be obtained from the grant.nih.gov/grants/forms.htm website (click on PHS-398). In addition, supplemental instructions for applications are contained in the Cancer Center Support Grant Guidelines which can be obtained by writing to the Office of Cancer Centers, 6116 Executive Boulevard, Suite 700, MSC 8345, Bethesda, MD 20892-8345 (301-496-8531 or http://cancercenters.cancer.gov/grants_funding/index.html). Generally, the potential applicant should send a letter of intent to the National Cancer Institute, Office of Cancer Centers, submitting a CCSG application to the same address

for review (see Guidelines for CCSGs). Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Grants are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for the remainder of the project period, allocation of Federal funds by budget categories and special conditions, if any.

Deadlines:

Oct 01, 2009 Cancer Center Support Grant Applications (P30 mechanism): Renewals, New and Supplemental: February 1, June 1, and October 1. Cancer Center Planning Grant Applications (P20 mechanism): No new applications are accepted.

Range of Approval/Disapproval Time:

Core Grants: Approximately 10 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Same procedure as new applications.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years of support may be requested. Grants may be awarded up to 5 years based on competitive peer review. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An annual financial status report is requested 90 days after the end of the budget period. Special reports may be requested by DHHS. Terminal reports are required 90 days after the end of a project. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Center Support Grants532273000.

Obligations:

(Salaries) FY 09 \$532,273,000; FY 10 est \$544,338,000; FY 11 est \$573,568,000 - (Grants) (Total Research Centers) FY 2009 \$532,273,000; FY 2010 est \$544,338,000; and FY 2010 est \$573,568,000.

Range and Average of Financial Assistance:

Range: \$24,615 to \$13,879,526

Average: \$2,757,891.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal year 2009 will fund an estimated 193 total Centers which will include 63 Cores, 62 SPOREs and 5 Specialized Centers. Fiscal Year 2010: Fiscal year 2010 will fund an estimated 196 total Centers which will include 61 Cores, 59 SPOREs and 5 Specialized Centers. Fiscal Year 2011: Fiscal year 2011 will fund an estimated 199 total Centers which will include 61 Cores, 59 SPOREs and 5 Specialized Centers.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 45 CFR 74; 45 CFR 92; PHS Grants Policy Statement, DHHS Publication No. (OASH) 90- 50,000, (Rev.) October 1, 1990.

Regional or Local Office:

None. None.

Headquarters Office:

Catherine M. Battistone, 6116 Executive Blvd., Rm. 8044, Rockville, Maryland 20852 Email: battistc@mail.nih.gov Phone: (301) 594-1088.

Website Address:

<http://cancercenters.cancer.gov/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating applications include the following: (1) An adequate base of established programs of high quality in laboratory and clinical cancer research; (2) research activity in a variety of disciplines, and evidence of high degree of interdisciplinary coordination, interaction and cooperation among center members; (3) appropriate and adequate organization and facilities for the conduct and evaluation of center activities; (4) a qualified director with adequate authority; and (5) an adequate commitment of the parent institution or institutions to the cancer center. The center should be recognized as a major element within the organizational structure.

93.398 CANCER RESEARCH MANPOWER

Cancer Research Manpower

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 413 and 487, as amended, Public Law 100-607, 42 U.S.C. 285a, 42 U.S.C. 288.

OBJECTIVES:

To make available support to nonprofit and for-profit institutions interested in providing biomedical training opportunities for individuals interested in careers in basic, clinical, and prevention research important to the National Cancer Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Research Manpower program provides support for research training in all scientific fields related to cancer. The Ruth L. Kirschstein National Research Service Awards (NRSA) for Individual Fellows, are made directly to individuals for research training in biomedical sciences areas. In addition, the National Institutes of Health (NIH) will award the Ruth L. Kirschstein NRSA Institutional Research Training grants to institutions, to enable them to make NRSA awards to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Cancer Education Grants are designed to promote education programs carried out by organizations engaged in health-related education, research, or training, located in the United States, its possessions, or territories. Various Career awards are designed to sponsor the development of independent scientists. Individual short-term support for students is available under the Cancer Education Program.

Applicant Eligibility:

University, college, hospital, public agency, or nonprofit research institution for institutional grants and individuals for fellowships. The applicant institution must be able to provide the staff and facilities and be responsible for the selection of trainees and overall direction of the training. Selected awardees must be citizens of the United States or be admitted to the United States for permanent residency. Career and Cancer Education awards may be given to for-profit institutions.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institution or for-profit institution.

Credentials/Documentation:

(1) Individual Ruth L. Kirschstein NRSA: The applicant's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. (2) Institutional Ruth L. Kirschstein NRSA: Applicants must show the objectives, methodology, and resources for the training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for award and a detailed budget and justification for the amount of grant funds requested. (3) Institutional Cancer Education Grants: Applicants must describe the organization and administration of the proposed program, list and define institutional objectives, methodology, resources and techniques of evaluation, as well as a justification of proposed expenditures. (4) For Career awards, the applicant must provide documentation on the candidate, sponsor, career development plan, research proposed, and institutional commitment. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications for the Individual Ruth L. Kirschstein NRSA must be prepared using the PHS 416-1 application form and instructions for Individual National Research Service Award Fellowships (rev. 10/05). The PHS 416-1 is available at <http://grants.nih.gov/grants/funding/416/phs416.htm>. For further assistance, direct inquiries may be made to GrantsInfo@nih.gov or (301) 435-0714. Applications for the Institutional Ruth L. Kirschstein NRSA must be prepared using the PHS 398 Form (Revised 9/2004). Refer to Section IV of the instructions titled Instructions For Preparing An NRSA Application. The PHS 398 Form (Revised June 2009) is available at <http://grants.nih.gov/grants/forms.html>. Applications for Cancer Education and Career grants must be prepared using the PHS 398 Form. Refer to Section III of the instructions, Preparing An Individual CDA Application. Applicants are advised to consult the "Submitting an Application" section of the Program Announcement, since each award has distinct application criteria. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Room 1040, Bethesda, Maryland 20892-7710 (Bethesda, MD 20817 for express/courier service).

Award Procedure:

Applications are reviewed for scientific merit by an appropriate study section, committee, or advisory council. If recommended for approval and a decision to make award is made, a formal award notice will be sent to the applicant.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 10 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available under the Review Criteria section of the NIH Office of Extramural Research website at http://grants2.nih.gov/grants/policy/nihgps_2003/NIHGPS_Part3.htm.

Renewals:

Cancer Education Grants may be made for periods of up to 5 years and renewals may be submitted when appropriate. Research training grants may be renewed for additional project periods of 5 years. Only the following Career awards are renewable: K05 and K24 only a one-time renewal after a 5-year project period, and the K12 up to 5 years (Trainees 2-7 years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Up to 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. An annual financial status report is required 90 days after the end of the budget period. Special reports may be requested by the grantor. Terminal reports are required 6 months after the end of a project. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Manpower Research.

Obligations:

(Salaries) FY 09 \$181,198,000; FY 10 est \$183,997,000; FY 11 est \$189,397,000 - (Grants/Manpower) FY2009 \$181,198,000; FY2010 est \$183,997,000; and FY2011 est \$189,397,000.

Range and Average of Financial Assistance:

Range: \$1,192 to \$1,130,389
Average: \$175,997.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009 there were 201 Individual Full Time Training Positions (FTTP). Of the 201 FTTP, 79 were competing awards totalling \$3,489,000. In FY 2009 there were 177 Institutional FTTP. Of the 177 FTTP, 24 were competing awards totalling \$8,513,000. In FY2009 there were 499 Career awards totalling \$79,120,000 and 83 Cancer Education awards totalling \$31,945,000. Fiscal Year 2010: In FY 2010, it is estimated that 953 Manpower awards will be made. Fiscal Year 2011: In FY 2010, it is estimated that 954 Manpower awards will be made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 6; 42 CFR 66; 45 CFR 74; Application kit, National Institutes of Health; PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

See Regional Agency Offices. None.

Headquarters Office:

Catherine M. Battistone, 6116 Executive Blvd., Rm. 8044, Rockville, Maryland 20852 Email: battistc@mail.nih.gov Phone: (301) 594-1088.

Website Address:

<http://www.cancer.gov/researchandfunding/training>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include the assessment of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.399 CANCER CONTROL

Cancer Control

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Sections 301 and 412, Public Law 78-410; 42 U.S.C. 241; Public Law 100-607; 42 U.S.C. 285a-1; Public Law 99-500.

OBJECTIVES:

To reduce cancer incidence, morbidity, and mortality through an orderly sequence from research on interventions and their impact in defined populations to the broad, systematic application of the research results through dissemination and diffusion strategies. Primary emphasis is on the inclusion of a cancer prevention and control intervention in any proposed study. Cancer Prevention and Cancer Control research studies are classified into one of five phases that represent the orderly progression noted in the definition: (1) Hypothesis development; (2) methods development and testing; (3) controlled intervention trials to establish cause-and-effect relationships; (4) research in defined populations; and (5) demonstration and implementation studies. Primary interests are in research on cancer control interventions in Phases 2 through 5, and on cancer prevention research in all phases. Cancer Prevention and Control programs include those in the following areas: (1) chemoprevention; (2) cancer communications; (3) nutrition, diet, and physical activity; (4) screening and early detection, including biomarker development and validation; (5) biobehavioral mechanisms; (6) tobacco control; (7) health disparities research; (8) symptom management, cancer rehabilitation and survivorship; (9) health services and outcomes research; and (10) surveillance research. Both Cancer Prevention and Cancer Control use the Small Business Innovation Research (SBIR) and the Small Business Technology Transfer (STTR) programs to encourage research participation by the small business community. The goals of each program are as follows. SBIR: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. STTR: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and cooperative agreements may be made to eligible institutions for the support of cancer research projects. The grants and cooperative agreements may be used for personnel, consultant costs, equipment, supplies, travel, patient costs, animals, alterations and renovations, miscellaneous items, and indirect costs. Restrictions are imposed against the use of funds for entertainment, foreign travel (unless specifically authorized), office equipment, and other items not normally necessary for the effective prosecution of such research. SBIR Phase I grants (of approximately 6- months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I, and that are likely to result in commercial products

or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. The SBIR Fast-Track Initiative provides additional assistance to applicants by expediting the decision and award of SBIR Phase II funding for scientifically meritorious applications for projects that have a high potential for commercialization. Fast-Track is a parallel review option whereby Phase I and Phase II projects are reviewed concurrently with the aim of reducing or eliminating the funding gap between Phase I and Phase II.

Applicant Eligibility:

The awardee will be a university, college, hospital, public agency, nonprofit research institution or for-profit organization that submits an application and receives a grant or cooperative agreement for support of research by a named principal investigator. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

University, college, hospital, public agency, nonprofit research institutions or for-profit organizations will benefit.

Credentials/Documentation:

For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form SF424(R&R) (Rev. January 2010) is used to apply for SBIR Phase I and Phase II and STTR Phase I and Phase II. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Depending on the grant mechanism, either Form PHS-398 (Rev. June 2009) or Form SF424(R&R) (Rev. January 2010) is the standard application form. Both forms can be obtained online at <http://grants.nih.gov/grants/forms.htm>. The standard application forms, as furnished by DHHS and required by 45 CFR Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate. SBIR and STTR Grant Solicitations and SBIR Contract Solicitations may be obtained electronically through the NIH Small Business Funding Opportunities home page at <http://www.nih.gov/grants/funding/sbir.htm>. The Solicitations include

submission procedures, review considerations, and grant application or contract proposal forms. All competing SBIR and STTR grant applications should be submitted electronically to the NIH via Grants.gov (<http://www.grants.gov/>).

Award Procedure:

Grants and cooperative agreements are funded based on scientific merit, program relevance, and program balance and are made annually. Initial award provides funds for first budget period (usually 12 months) and Notice of Grant Award (Form PHS 1533) indicates support recommended for remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Regular Grants: Approximately 10 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available online through the NIH home page at <http://www.grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Applications submitted for renewal are reviewed and selected for funding on a competitive basis.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants and cooperative agreements average from 3 to 4 years, up to a maximum of 5 years. Renewals may be awarded for additional periods of up to 5 years based on competitive peer review. Funding is provided through Monthly Demand Payment System or an Electronic Transfer System. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Progress reports are required each year. Annual financial status report is required 90 days after the end of a budget period. Special reports may be requested by DHHS. Terminal reports are required 6 months after the end of a project. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0849-0-1-550 - Cancer Control.

Obligations:

(Salaries) FY 09 \$78,765,000; FY 10 est \$88,601,000; FY 11 est \$77,730,000 - (Grants) FY2009 \$78,765,000; FY2010 est \$88,601,000; and FY2011 est \$77,730,000.

Range and Average of Financial Assistance:

Average: \$1,158,309.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There were a total of 68 competing awards in FY 2009.
Fiscal Year 2010: It is estimated that 58 total awards will be made in FY 2010.
Fiscal Year 2011: It is estimated that 76 total awards will be made in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants: 42 CFR 52; 45 CFR 74 and PHS Grants Policy Statement, DHHS Publication No. (OASH) 94- 50,000, (Rev.) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Catherine M. Battistone, 6116 Executive Blvd., Rm. 8044, Rockville, Maryland 20852 Email: battistc@mail.nih.gov Phone: (301) 594-1088 Fax: (301) 402-0275.

Website Address:

<http://www.nih.gov>; <http://cancer.gov>; <http://cancercontrol.cancer.gov>;
<http://prevention.cancer.gov>.

RELATED PROGRAMS:

93.393 Cancer Cause and Prevention Research; 93.394 Cancer Detection and Diagnosis Research; 93.395 Cancer Treatment Research; 93.396 Cancer Biology Research; 93.398 Cancer Research Manpower

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives.

93.400 NATIONAL HEALTH SERVICE CORPS SCHOLARSHIP PROGRAM

ARRA - National Health Service Corps Scholarship Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Title II, Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the National Health Services Corps' (NHSC) objective is to increase the supply of primary care physicians, dentists, behavioral and mental health professionals, certified nurse midwives, primary care nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSA) within the U.S. by providing service-obligated scholarships to health professions students.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Service-obligated scholarships for full-time students of allopathic (M.D.) and osteopathic (D.O.) medicine, dentistry, family nurse practitioner, nurse midwifery, and primary care physician assistants. Other health disciplines may

be included if needed by National Health Service Corps. Scholarships pay tuition and required fees to the school, a stipend to students and a single annual payment to the student to assist with other reasonable educational expenses. Reasonable expenses include books, supplies, equipment, uniforms, travel for the provision of clinical services, etc. Program requires a one year of service with a minimum 2-year service commitment post graduation. A maximum of 4 years of support may be awarded. Service is primarily a nonfederal salaried or contracted employee of a public or private non-profit entity, providing full-time primary health services. Services may also be in a fee for service private practice setting, or a Federal employee in Federal health systems such as the Indian Health Service, Immigration Health Service or bureau of Prisons. Service sites are selected by the scholarship recipient from the list of high priority sites in HPSAs identified by the NHSC. Each year of support incurs 1 year of service with a 2 year minimum service commitment requirement post graduation.

Applicant Eligibility:

U.S. citizens or nationals enrolled full time in a fully accredited program within a State leading to a degree in medicine, osteopathic medicine, dentistry, or other health profession.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

The applicant must submit an enrollment/acceptance letter from the school or university and proof of US citizenship at the time of application. If applicable, a Certificate of "Disadvantaged Background" from medical or nursing school financial aid officials would also need to be submitted.

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are generally solicited by an Applicant Information Bulletin and application details can be found at www.hrsa.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each year of support incurs 1 year of service with a 2 year minimum service commitment requirement post graduation. See the following for information on

how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester as a full-time student pursuing the program for which the scholarship was awarded. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550 - NA.

Obligations:

(Direct Payments for Specified Use) FY 09 \$8,411,500; FY 10 est \$20,446,445; FY 11 est \$5,000,000

Range and Average of Financial Assistance:

\$40,000-\$320,000 per year, with the average award being \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: New awards made for the following years: FY 2009 is 70. Fiscal Year 2010: The estimates for ARRA FY 10 is 107. Fiscal Year 2011: The estimates for ARRA FY 11 is 25.

REGULATIONS, GUIDELINES, AND LITERATURE:

See funding opportunity announcement.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. National Health Service Corps Scholarship Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, Department of Health and Human Services, Room 8-36, 5600 Fishers Lane, Rockville, Maryland 20857. Phone: (301) 594-4400. For 24-hour toll-free calls from outside MD: 1-800-221-9393, use for requesting applications.

152 Headquarters Office:

Office of Financial Management: Lisa Walsh, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11-03, 301-443-0197.

153 Web Site Address:

www.hrsa.gov.

Headquarters Office:

National Health Service Corps Scholarship Program 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4400.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: NA. Fiscal Year 2011: NA.

CRITERIA FOR SELECTING PROPOSALS:

By statute, first priority will be given to any applicant for such assistance, who has previously received a scholarship under this section or under section 758 of the PHS Act. Priority for consideration will be given to all students who have characteristics which increase the probability they will continue professional practice in a Health Professional Shortage Area when they complete their service obligation. Third priority will be given to students who are from a "disadvantaged background Who have HPSA retention characteristics.

93.401 ARRA - NATIONAL HEALTH SERVICE CORPS LOAN REPAYMENT

ARRA - NHSC Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5.

OBJECTIVES:

To increase the supply of primary care physicians, dentists, dental hygienist, behavioral and mental health professionals, certified nurse midwives, primary care nurse practitioners, certified family nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSA) within the U.S.A and its territories by assisting the repayment of qualifying educational loans in return for service to populations located health professional shortage areas identified by the Secretary of the Department of Health and Human Services.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Awards provide an initial payment of up to \$50,000 towards participants' qualified government and commercial health professions undergraduate and graduate education loans in return for providing 2 years of clinical service at an approved NHSC community site(s). NHSC LRP participants in good-standing may have an opportunity to request, payments of up to \$35,000 a year for a 3rd and 4th subsequent year, payments of up to \$25,000 a year for a 5th subsequent year, payments of up to \$20,000 a year for a 6th subsequent year , and, payments of up to \$15,000 for a 7th subsequent year and beyond may be available to continue their service obligation at an approved NHSC community. Health professions given priority for selection are those identified by communities.

Applicant Eligibility:

See above.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are generally solicited by a Applicant Information Bulletin and application details can be found at www.hrsa.gov.

Award Procedure:

NHSC loan repayment awards are made based on the highest need as determined by descending health professional shortage area scores. Preference is given to qualified applications with documented disadvantaged background and/or exceptional financial need status and will be funded regardless of HPSA score. Priority will be given to individuals whose training is in a health discipline or specialty determined by the Secretary for Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to service in health professional shortage areas.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

National Health Service Corps Loan Repayment Branch, Division of Applications and Awards, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

Appeals:

Not Applicable.

Renewals:

Contract amendments will be considered according to the availability of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Initial loan repayment awards require a minimum 2 year service obligation. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. All recipients must submit a payment history to the Division of Applications and Awards, Bureau of Clinician and Recruitment Service within 45 days of making payments for qualifying educational loans. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Audits:

Not Applicable.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$37,087,055; FY 10 est

\$93,195,000; FY 11 est \$53,730,000

Range and Average of Financial Assistance:

New awards range from \$5,000 to \$50,000, with an average award being \$44,703.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: ARRA funds were used to make 829 new National Health Service Corps LRP awards in FY 2009. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 42 CFR Part 62, Subpart B of the implementing regulations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. National Health Service Corps Loan Repayment Branch, Division of Applications and Awards, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8-37, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: (1-800) 221-9393.

Headquarters Office:

Lisa Walsh, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11-03, Rockville, Maryland 20857 Email: lwalsh@hrsa.gov Phone: (301) 443-0197.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Preference will be provided to qualified applicants with documented disadvantaged background and/or exceptional financial need status regardless of HPSA score. Priority will be given to applicants who are individuals whose training is in a health profession or specialty determined by the Secretary to be needed by NHSC.

93.402 ARRA - STATE LOAN REPAYMENT PROGRAM

ARRA - State Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5.

OBJECTIVES:

For medically underserved populations, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA). To increase the availability of primary health care in health professional shortage areas (HPSAs) by assisting States in operating programs for the repayment of educational loans of health professionals in return for their practice in HPSAs.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Project Grants

USES AND USE RESTRICTIONS:

Funds under this grant must only be used to repay the qualifying educational loans of health professionals who have entered into SLRP contract with the States. Funds cannot be used for the following purposes: costs of administering

the program, State matching funds, or any other purpose.

Applicant Eligibility:

Eligible entities include the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, Palau, the Marshall Islands, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

Applicants for State programs must have completed a course of study required to practice independently without supervision, as one of the following health care professionals: Doctors of Allopathic Medicine or Osteopathic Medicine, General Practice Dentists (D.D.S. or D.M.D.), Pediatric Dentists, Primary Care Certified Nurse Practitioners, Certified Nurse-Midwives, Primary Care Physician Assistants, Registered Clinical Dental Hygienists, Health Service Psychologist (formerly Clinical or Counseling Psychologists (Ph.D. or equivalent), Licensed Clinical Social Workers (masters or doctoral degree in social work), Psychiatric Nurse Specialists, Mental Health Counselors, Licensed Professional Counselors (masters or doctoral degree with a major study in counseling), Marriage and Family Therapists (masters or doctoral degree with a major study in marriage and family therapy). The primary care specialties approvable for physicians are: family medicine (and osteopathic general practice), internal medicine, including geriatricians, pediatrics, obstetrics/gynecology, and geriatrics and general psychiatry. General practitioners (physicians who have not completed residency training programs) are not eligible for funding under the SLRP. The primary care specialties approval for nurse practitioners and physician assistants are: adult, family, pediatrics, psychiatry/mental health, geriatrics, or womens health.

Participants must provide full-time clinical service for a minimum of two years in a public or non-profit entity located in a federally designated health professional shortage area (HPSA).

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Subsequent funding depends upon the availability of funds and program performance. Renewals are funded through a non-competitive process each year. It is anticipated that there will be no ongoing support of SLRP grant activities after the end of the two-year project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 50%. Matching Requirement: Fifty-percent (50%). States must agree to make available (directly or through donations from public or private entities) non-Federal contributions in cash toward SLRP contracts in an amount not less than \$1 for each \$1 of Federal funds provided in the grant. A State may not use any Federal funds or in-kind contributions to satisfy the non-Federal match requirement. A State must verify that contributions from sources other than State appropriated funds are non-Federal. States may use non-Federal funds in excess of the \$1 for \$1 minimum match to supplement the repayment of qualifying loans of health professionals. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

A grant award is for 1 year. Applicants may propose a project period of up to 2 years. States are asked to commit the full amount of funding for their loan repayment awards to practitioners in the current fiscal year to avoid out-year commitments for additional Federal funds. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Recipient States must submit: (1) a Financial Report within 90 days of the end of each budget period; (2) Semi-Annual Field Strength Reports through the HRSA Electronic Handbooks System (EHBs); (3) non-competing continuation application(s) report through the EHBs; (4) a Final Report along with an annual financial report in the format approved under OMB Circular 0915 0004. All BHP grantees are required to submit a final report within 90 days after the project period ends. Cash reports are not applicable. See above. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Salaries) FY 09 \$5,814,000; FY 10 est \$4,566,000; FY 11 est \$0

Range and Average of Financial Assistance:

FY 09 Range of awards: \$50,000 to 2,000,000; average: \$323,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A non-competing grant cycle was offered and 18 grantees were awarded \$5,814,000. Fiscal Year 2010: A non-competing grant cycle offered in 2010, and 13 grantees were awarded. Fiscal Year 2011: No program offered in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy

Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Elsie Quinones, Acting Chief, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-5244 and Email equinones@hrsa.gov.

Headquarters Office:

Elsie Quinones, 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-5244.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: 93.165, State Loan Repayment Program; 93.162, National Health Service Corps Loan Repayment Program; 93.364, Nursing Student Loans; 93.908, Nursing Education Loan Repayment Program. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For new and competing continuation grants the following criteria will be used to evaluate State applications to determine which States are to be supported under this notice: (a) The extent of the State's need for health professionals consistent with the health professions and specialties identified later in this notice; (b) the extent to which special consideration will be extended to federally designated HPSAs with large minority populations; (c) the number and type of providers the State proposes to support through this program; (d) the appropriateness of the proposed placements of State LRP recipients (e.g., consistency and coordination with State-based plans to improve access to primary health services for the underserved communities and individuals); (e) the appropriateness of the qualifications, the administrative, and managerial ability of the staff to implement the proposed project; (f) the suitability of the State's approach and the degree to which the plan of a State is coordinated with Federal, State, and other programs for meeting the State's health professional needs and resources, including mechanisms for ongoing evaluation of the program's activities; (g) the source and plans for the use of the nonfederal match (the degree to which the nonfederal match exceeds the minimum requirements or has increased over time and the amount of the match relative to the needs and resources of the State); (h) for competing continuation applicants only, the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs: (i) an assessment of the reasons for initial breaches by health professionals of repayment contracts; and (j) the grantee's history of compliance with reporting requirements. Noncompeting continuation applications will be evaluated on the grantee's progress in achieving stated goals and objectives for the previous year's grant; this includes a progress report on the impact the State LRP placements have had on the State's short-term and long-term professional needs: (i) and assessment of the reasons for initial breaches by health professionals of repayment contracts; and (ii) the grantee's history of compliance with reporting requirements.

93.403 ARRA GRANTS FOR TRAINING IN PRIMARY CARE MEDICINE AND DENTISTRY TRAINING AND ENHANCEMENT

Primary Care Medicine Training

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5.

OBJECTIVES:

Grants are awarded for the following purposes: (1) Residency Training in Primary Care-- to plan, develop, and operate or participate (including provision of financial assistance to residents in such programs) in approved residency programs in family medicine, general internal medicine and/or general pediatrics; (2) Physician Faculty Development in Primary Care-- to plan, develop, and operate (including provision of financial assistance to trainees in such programs) programs for the training of physicians who teach or plan to teach in family medicine (including geriatrics), general internal medicine and/or general pediatrics training programs; (3) Predoctoral Training in Primary Care-- to plan, develop, and operate or participate (including provision of financial assistance to students in such programs) in predoctoral programs in family medicine, general internal medicine and/or general pediatrics; (4) Academic Administrative Units in Primary Care-- to meet the costs of projects to establish, maintain or improve academic administrative units (which may be departments, divisions, or other units) to provide clinical instruction in family medicine, general internal medicine and/or general pediatrics; (5) Physician Assistant Training in Primary Care-- to meet the costs of projects to plan, develop, and operate or maintain programs for the training of physician assistants and for the training of individuals who will teach in programs to provide training of physician assistants; (6) Interdisciplinary and Interprofessional Graduate Joint Degree -- to plan, develop, and operate joint degree programs to provide interdisciplinary and interprofessional graduate training in public health and other health professions to provide training in environmental health, infectious disease control, disease prevention and health promotion, epidemiological studies and injury control.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants may not be used for new construction, patient services.

Applicant Eligibility:

The applicant organization must meet the eligibility requirements as indicated for each program area: Residency Training in Primary Care; Physician Faculty Development in Primary Care; Physician Assistant Training in Primary Care; Interdisciplinary and Interprofessional Graduate Joint Degree; Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, or public or private nonprofit entities are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Joint Degree applicants must be able to confer a degree; i.e., a college, university, or medical school. The joint degree program must support the development of primary care training programs. Predoctoral Training in Primary Care; Academic Administrative Units in Primary Care; Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Beneficiary Eligibility:

For the following programs: Residency Training in Primary Care; Physician Faculty Development in Primary Care; Physician Assistant Training in Primary Care; Interdisciplinary and Interprofessional Graduate Joint Degree; Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, or public or private nonprofit entities are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Predoctoral Training in Primary Care; Academic Administrative Units in Primary Care; Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for up to 5 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A progress report must be submitted for the second or subsequent budget periods within the approved project period for continued funding. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period. A UPR/CPMS report must be submitted annually. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A progress report must be submitted for the second or subsequent budget periods within the approved project period for continued funding. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period. A UPR/CPMS report must be submitted annually. Expenditure reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A progress report must be submitted for the second or subsequent budget periods within the approved project period for continued funding. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period. A UPR/CPMS report must be submitted annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$48,000,000; FY 11 est \$0 - Information not available.

Range and Average of Financial Assistance:

No data available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No data available. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Brenda L. Williamson, 5600 Fishers Lane, Room 9-A27, Rockville, Maryland 20857 Phone: (301) 443-1467.

Website Address:

No Data Available

RELATED PROGRAMS:

93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal review panels for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedures in the application guidance.

93.404 ARRA DENTAL PUBLIC HEALTH RESIDENCY TRAINING GRANTS

ARRA Dental Public Health Residency Training Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

To plan and develop new residency training programs and to maintain or improve existing residency training programs in dental public health; and to provide financial assistance to residency trainees enrolled in such programs, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants are intended to assist in meeting the costs of planning and developing new dental public health residency training programs and providing financial assistance to trainees enrolled in the programs. Grants may not be used for construction or for providing direct patient services.

Applicant Eligibility:

An entity that offers a Dental Public Health Training Program accredited by the American Dental Association Commission on Dental Accreditation, such as a School of Public Health or Dentistry, is eligible to apply.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA is requiring applicants to apply electronically through Grants.gov. All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. Grantees must request an exemption in writing from DGPWaivers@hrsa.gov, and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to 3 years of support.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Additionally, a progress report must be submitted for the second or subsequent budget period within the approved project period for continuation funding. A final progress report and financial status report must be submitted within 90 days after the end of the project period. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Additionally, a progress report must be submitted for the second or subsequent budget period within the approved project period for continuation funding. Financial status reports are required within 90 days after the end of each budget period. A final progress report and

financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Financial status reports are required within 90 days after the end of each budget period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Additionally, a progress report must be submitted for the second or subsequent budget period within the approved project period for continuation funding. Financial status reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$269,032; FY 10 est \$272,366; FY 11 est \$272,567

Range and Average of Financial Assistance:

\$84,000 to \$100,000; \$90,940 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

John R. Kress 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-6864

Website Address:

No Data Available

RELATED PROGRAMS:

93.236 Grants for Dental Public Health Residency Training

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal reviewers for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Procedure above to obtain a copy of the application, and the respective factors delineated in the application.

93.405 ARRA - PUBLIC HEALTH TRAINEESHIP PROGRAM

ARRA - Public Health Traineeship Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 and Public Health Service Act, Title VII, section 767, 42 U.S.C. 294; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

To support students pursuing a graduate degree in fields with a severe shortage of health professionals, such as epidemiology, environmental health, toxicology, nutrition, biostatistics, and maternal and child health through the provision of tuition, fees, stipends and allowances.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Grant funds may be used only to support students enrolled in grant-eligible graduate programs for severe shortage public health professions. Students pursuing joint degrees such as the MPH/MD, MPH/MSN, MPH/MSA, etc., are eligible for traineeship support for completion of their public health degree only. Funding may not be sought for courses or other expenses related to their non-public health degree curriculum. Funding may not be used to support residency requirements. Traineeship expenditures are limited to payment of stipends, tuition and fees in accordance with the institutions established rates, and a transportation allowance on an individual trainee basis when prior approval has been obtained from the Grants Management Officer. Grantees may not spend grant funds for sectarian instruction or for any religious purpose. Use of traineeship funds for indirect cost (overhead) of the trainee institution is not authorized.

Applicant Eligibility:

Eligibility for this funding opportunity is limited to the current grantees/awardees receiving funds under this activity code. Funding is for a second or subsequent budget period within a previously approved project period for the Public Health Traineeship Program. Eligible applicants must be any school of public health, and/or other public or nonprofit private entities, including faith-based and community-based organizations, accredited by the Council on Education for Public Health (CEPH), and other public or nonprofit private institutions accredited by a body recognized for the purpose of providing specialized training in public health by the Secretary of the Department of Education.

Beneficiary Eligibility:

Eligibility for this funding opportunity is limited to the current grantees/awardees receiving funds under this activity code. Funding is for a second or subsequent budget period within a previously approved project period for the Public Health Traineeship Program. Eligible applicants must be any school of public health, and/or other public or nonprofit private entities, including faith-based and community-based organizations, accredited by the Council on Education for Public Health (CEPH), and other public or nonprofit private institutions accredited by a body recognized for the purpose of providing specialized training in public health by the Secretary of the Department of Education.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA is requiring all

applicants applying for funding opportunities to apply electronically through Grants.gov. Grantee Organizations must register with Grants.gov (if not already registered). All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. To submit an application electronically, use the <http://www.Grants.gov> apply site. When using Grants.gov you will be able to download a copy of the application package, complete it off-line, and then upload and submit the application via the Grants.gov site. Grantees must request an exemption in writing from DGPWaivers@hrsa.gov, and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal. Make sure you specify the announcement number you are seeking relief for. As indicated, HRSA and its Grants Application Center (GAC) will only accept paper applications from applicants that received prior written approval. This is a formula-based award. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 1 year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Uniform Progress Report must be submitted via on-line for the current reporting period via the Bureaus web page www.bhpr.hrsa.gov/grants, click on Grantee Reports. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Uniform Progress Report must be submitted via on-line for the current reporting period via the Bureaus web page www.bhpr.hrsa.gov/grants, click on Grantee Reports. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A financial status report must be submitted within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Uniform Progress Report must be submitted via on-line for the current reporting period via the Bureaus web page www.bhpr.hrsa.gov/grants, click on Grantee Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$2,985,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

\$9,404 to \$182,096; \$57,194.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: For FY 09, 30 Public Health Traineeships grant awards were made. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Marcia Starbecker, Chief, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-6867 and Email: mstarbecker@hrsa.gov.

Headquarters Office:

Marcia Starbecker, 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857 Email: mstarbecker@hrsa.gov Phone: (301) 443-6867.

Website Address:

No Data Available

RELATED PROGRAMS:

93.884 Grants for Training in Primary Care Medicine and Dentistry

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See: www.hrsa.gov. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

After staff review, funds are awarded on a formula basis among the eligible applicant schools of public health and other accredited public or private nonprofit institutions based on the number of students preparing to enter occupations for which there exist severe shortages.

93.406 NURSING EDUCATION LOAN REPAYMENT PROGRAM (NELRP)

ARRA - Nursing Education Loan Repayment Program (NELRP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5. , Public

Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the objective of NELRP is to assist in the recruitment and retention of professional registered nurses (RN) in approved critical shortage areas to increase access to quality health care by offering loan repayment in return for full-time service at an eligible health care facility with a critical shortage of nurses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The program offers RNs substantial economic assistance to repay their qualifying educational loans in exchange for full-time service at a health care facility with a critical shortage of nurses. NELRP participants enter into a contract agreeing to provide full-time service at an approved Critical Shortage Facility (CSF) for two years. In return, NELRP will pay 30 percent of the Participant's total qualifying loan balance each year (total of 60 percent). A participant may be eligible to amend his/her two-year NELRP contract to serve for a third (optional) year at a CSF. If a participant works full-time for a third year in a CSF, the NELRP will pay an additional 25 percent of the participant's original qualifying loan balance, bringing the total up to 85 percent of eligible loan balances. For specific service-obligated educational loan repayment. Loan repayments for 2 years of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service. For specific service-obligated educational loan repayment. Loan repayments for 2 years of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service.

Applicant Eligibility:

For specific service-obligated educational loan repayment.

Beneficiary Eligibility:

Critical shortage facilities including, but not limited to, Indian Health Service health centers, hospitals, Federal Hospitals, Federally Qualified Health Centers, Native Hawaiian health centers, rural health clinics, nursing homes, home health agencies, hospice programs, State or local public health departments, and ambulatory surgical centers.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are generally solicited by a program announcement and application details can be found at www.hrsa.gov. Individuals applying for this funding opportunity are required to submit an application electronically.

Award Procedure:

Funding preferences are used to determine the sequential order in which qualified applicants are considered for an award. As provided in section 846(e) of the PHS Act, as amended, a funding preference will be given to qualified applicants with greatest financial need, which the NELRP defines as those qualified applicants whose total qualifying educational loans are 40% or greater than their gross salary. In addition, a funding preference is given to RNs working in the types of health care facilities that have the most severe nursing shortage. Applicants are grouped into one of the preference levels described in the Applicant Information Bulletin based on their qualifying nursing education debt to gross annual salary ratio and place of employment. Awards are made to applicants starting with the first preference category by decreasing qualifying

nursing education debt to salary ratio until funds are expended.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Pre-application Coordination:
This program is excluded from coverage under E.O. 12372.

92 Application Procedure:
Applications are generally solicited by a program announcement and application details can be found at www.hrsa.gov. Individuals applying for this funding opportunity are required to submit an application electronically.

93 Award Procedure:
Funding preferences are used to determine the sequential order in which qualified applicants are considered for an award. As provided in section 846(e) of the PHS Act, as amended, a funding preference will be given to qualified applicants with greatest financial need, which the NELRP defines as those qualified applicants whose total qualifying educational loans are 40% or greater than their gross salary. In addition, a funding preference is given to RNs working in the types of health care facilities that have the most severe nursing shortage. Applicants are grouped into one of the preference levels described in the Applicant Information Bulletin based on their qualifying nursing education debt to gross annual salary ratio and place of employment. Awards are made to applicants starting with the first preference category by decreasing qualifying nursing education debt to salary ratio until funds are expended.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each award covers 1 to 4 years of support, as requested. No awardees may receive more than 4 years of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual reports by schools indicating average student educational expenses. The academic institution where the student is enrolled must complete and submit a Verification of Enrollment Form each semester as a full-time student pursuing the program for which the scholarship was awarded. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$26,864,400; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009 427 awards were made utilizing ARRA funds. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lisa Walsh Room 8-36, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: 301-443-0197

Website Address:

No Data Available

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.407 ARRA - SCHOLARSHIPS FOR DISADVANTAGED STUDENTS

ARRA - Scholarships for Disadvantaged Students

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5.

OBJECTIVES:

American Recovery and Reinvestment Act of 2009 (Recovery Act) promotes diversity among health professions students and practitioners by providing scholarships for students from disadvantaged backgrounds. Eligible health professions and nursing schools apply for funds to provide scholarships to students from disadvantaged backgrounds who have financial need and are enrolled, or accepted for enrollment, as full-time students at the schools.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Scholarships may only be expended for tuition expenses, other reasonable educational expenses, and reasonable living expenses incurred while in attendance for the year. The Secretary is required to make available at least 16 percent of the funds to schools that will provide scholarships only for nursing students. Schools are required to agree that, in providing scholarships under this program, preference shall be given to students from disadvantaged backgrounds for whom the costs of attending the school would constitute a severe financial hardship and disadvantaged students. The Secretary may not make a grant to a school unless the school is carrying out a program for recruiting and retaining students from disadvantaged backgrounds and have met the eligibility criteria of demonstrating the success of outcome measures.

Applicant Eligibility:

Accredited public or private nonprofit schools of allopathic medicine, nursing,

osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, chiropractic, allied health, or schools offering graduate programs in public health, behavioral and mental health or physician assistants.

Beneficiary Eligibility:

Students from disadvantaged backgrounds enrolled full-time in health professions or nursing schools who are citizens, nationals, or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa or the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, the Federated States of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application information may also be found by visiting www.grants.gov.

Award Procedure:

Based upon the availability of funds, notices of award are issued to the eligible schools which in turn select and issue the awards to the eligible students.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Annual applications are required for all schools.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each scholarship award is made for 1 year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Scholarships for Disadvantaged Students Performance Report must be submitted 45 days after the end of each budget period. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Scholarships for Disadvantaged Students Performance Report must be submitted 45 days after the end of each budget period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Additionally, a financial report must be submitted 90 days after the end of each budget period. Performance monitoring is not

applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$19,342,430; FY 10 est \$20,657,570; FY 11 est \$0

Range and Average of Financial Assistance:

1,000 to \$650,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Fiscal Year 2010: est 336 health professions and nursing schools will receive awards and over 10,000 students will be assisted. Fiscal Year 2011: Fiscal Year 2011: No ARRA funding. Fiscal Year 2012: No ARRA funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Contact for ARRA funding: Andrea Stampone, Division of Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Parklawn Building, Room 8-42, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4776. Use the same numbers for FTS.

Headquarters Office:

Andrea Stampone, 5600 Fishers Lane, Room 8-42, Rockville, Maryland 20857 Phone: (301) 443-4776.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.925 Scholarships for Health Professions Students from Disadvantaged Backgrounds

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Not Applicable. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.408 ARRA - NURSE FACULTY LOAN PROGRAM

ARRA-NFLP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 846A, 42 U.S.C. 297n-1 and the American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

The purpose of the Nurse Faculty Loan Program as supported through the American Recovery and Reinvestment Act (ARRA) is to increase the number of qualified nursing faculty and direct resources to address health professions workforce shortages. The ARRA augments the regularly appropriated NFLP funding level for the purpose of directing emergency resources to increase the number of qualified nursing faculty. These funds are dedicated to strengthening the nurse educator workforce in order to (1) meet the demand for nurse faculty and (2) remove a major barrier to accepting as many as qualified nursing students as possible thus helping to alleviate the Nations nursing shortage. Schools of Nursing that receive ARRA-NFLP funds must use funds to provide loans to students enrolled in eligible advanced degree nursing programs (masters or doctoral) with an education component(s) that will prepare the student to teach. NFLP loan recipients complete the education program, and following graduation, may cancel up to 85% of the NFLP loan while serving as full-time nurse faculty at an accredited school of nursing.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The school must establish an ARRA-NFLP fund to be used only for making NFLP loans to eligible students and for the costs associated with collection of these loans. Institutions may award a maximum loan amount of \$35,500 per student for an academic year to support the cost of tuition, fees, books, and other reasonable education expenses. Upon completion of the program, an amount up to 85 percent of the loan may be cancelled by the institution as follows: upon completion of the first, second, and third year of full-time faculty employment in an accredited school of nursing, 20 percent of the principal and interest of the unpaid loan shall be cancelled for each year; upon completion of the fourth year of full-time employment as faculty in an accredited school of nursing, 25 percent of the principal and interest of the unpaid loan shall be cancelled for the fourth year.

ARRA-NFLP funds must be kept separate from regularly appropriated NFLP funds and should not be commingled for tracking and reporting purposes. No one student may receive both ARRA-NFLP funds and regularly appropriated NFLP funds in the same budget/project period.

Applicant Eligibility:

Eligible applicants include all accredited public, non-profit and private or for-profit schools of nursing that offer an advanced degree nursing education program (masters or doctoral) to prepare advanced degree nursing students to serve as faculty.

In addition, the school must be located in a State in the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Trust Territory of the Pacific.

Beneficiary Eligibility:

Eligible nursing students must: (1) be a citizen or national of the United States, or a lawful permanent resident of the United States, the Commonwealths of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Trust Territory of the Pacific Islands; (2) be enrolled in an advanced degree program in nursing to become qualified nursing faculty; and (3) not be in default on a Federal debt; and (4) maintain good academic standing.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov Web site at www.grants.gov. Applications are generally solicited by a program announcement on the HRSA web site at <http://www.bhpr.hrsa.gov/grants/>. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. <http://www.bhpr.hrsa.gov/grants/>.

Appeals:

Not Applicable.

Renewals:

Applications must be submitted annually for new funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Schools that participate in the loan program must contribute to the loan fund an amount equal but to not less than one-ninth of the fiscal year award.

Funding Factor: As provided under Title VIII of the Public Health Service Act, Section 846A (42 U.S.C. 297n-1), as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148, section 847(f) and the American Recovery and Reinvestment Act of 2009, Public Law 111-5, a statutory funding priority will be awarded to School of Nursing Student Loans that support doctoral nursing students.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 1 year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. The institution must submit the Nurse Faculty Loan Program, Annual Operating Report (AOR) annually through electronic submission at <https://grants.hrsa.gov/webexternal/home.asp>. The NFLP Annual Operating Report (AOR) is due each year within 45 days following the last day of the project period and should reflect the loan fund activity for the reporting period. Institutions must comply with ARRA reporting requirements. Additional ARRA reporting requirements are as mandated by Section 1512 of the Recovery Act and OMB guidance made available at www.recovery.gov for required reporting information. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. The institution must submit the Nurse Faculty Loan Program, Annual Operating Report (AOR) annually through electronic submission at <https://grants.hrsa.gov/webexternal/home.asp>. The NFLP Annual Operating Report (AOR) is due each year within 45 days following the last day of the project period and should reflect the loan fund activity for the reporting period. Institutions must comply with ARRA reporting requirements. Additional ARRA reporting requirements are as mandated by Section 1512 of the Recovery Act and OMB guidance made available at www.recovery.gov for required reporting information.

Institutions must comply with ARRA reporting requirements. Additional ARRA reporting requirements are as mandated by Section 1512 of the Recovery Act and OMB guidance made available at www.recovery.gov for required reporting information. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. The institution must submit the Nurse Faculty Loan Program, Annual Operating Report (AOR) annually through electronic submission at <https://grants.hrsa.gov/webexternal/home.asp>. The NFLP Annual Operating Report (AOR) is due each year within 45 days following the last day of the project period and should reflect the loan fund activity for the reporting period. Institutions must comply with ARRA reporting requirements. Additional ARRA reporting requirements are as mandated by Section 1512 of the Recovery Act and OMB guidance made available at www.recovery.gov for required reporting information. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$5,328,340; FY 10 est \$6,611,660; FY 11 est \$0 - FY 2009: Range is \$6,495 to \$451,792; Average is \$81,974.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 65 schools participating in the program received \$5,328,340 in ARRA funding. FY 2010 program data for the 2009-2010 academic period will be available by August 2010. Fiscal Year 2010: Not available. Fiscal Year 2011: Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Office: Nicolette Solan-Pegler, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Parklawn Building, 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857, Phone: (301) 443-5729, Email: nsolan-pegler@hrsa.gov.

Headquarters Office:

Nicolette Solan-Pegler, 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857 Phone: (301) 443-5729.

Website Address:

<http://www.hrsa.gov/>

RELATED PROGRAMS:

93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Funded projects establish a NFLP loan fund to provide direct loan support to nursing students enrolled in an advanced education nursing program and following graduation from the program may cancel 85% of the NFLP loan while serving as full-time nurse faculty. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements. All eligible applicants are considered in a formula for determining awards. Eligible applicants must meet all applicable Federal guidelines and/or graduates must be eligible for national nursing organization certification, and/or programs must meet other national organizational guidelines, as appropriate, in the nursing field of study.

93.409 FACULTY LOAN REPAYMENT PROGRAMS

ARRA - Faculty Loan Repayment Programs (FLRP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the Faculty Loan Repayment Program (FLRP) provides a financial incentive for degree-trained health professionals from disadvantaged backgrounds to pursue academic careers in allopathic medicine, nursing (RN only), osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, public health, allied health or graduate programs in behavioral and mental health practice for a minimum of 2 years.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Direct Payments for Specified Use. Funds will be used to repay eligible health professions educational loans incurred by the applicant in return for serving a minimum of 2 years as a full-time or part-time faculty member at one of the following accredited health profession college or university: allopathic medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, public health, or a school that offers a graduate program in behavioral or mental health, and certain allied health programs. The Faculty Loan Repayment Program will pay up to \$20,000 a year in return for a two year service commitment obligation, based on the participants outstanding balance of eligible educational loans (the maximum amount is \$40,000 for 2 years). Recipients of a FLRP award will receive a contract signed by Secretary of HHS or his/her designee.

Applicant Eligibility:

Individuals from disadvantaged backgrounds who: (1) are U.S. citizens or nationals; (2) have a degree in allopathic medicine, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, nursing, graduate public health, selected allied health or graduate behavioral and mental health or are enrolled in an approved graduate training program in one of the health professions listed above or are enrolled as full-time students in accredited institutions described above and in the final course of study or program leading to a degree from the institution; (3) have a minimum 2-year employment commitment from an eligible college or university for a full-time or part-time faculty position; (4) have an agreement with the eligible college or university for equivalent loan repayment; and (5) be currently repaying eligible undergraduate and/or graduate health professions educational loans.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FLRP applications are generally solicited by a program announcement posted on the HRSA Web site at www.HRSA.gov

Award Procedure:

The Faculty Loan Repayment Program will pay up to \$20,000 a year in return for a two year service commitment obligation, based on the participants outstanding balance of eligible educational loans (the maximum amount is \$40,000 for 2 years). Recipients of a FLRP award will receive a contract signed by Secretary of HHS or his/her designee.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for 2 years and the time is specified in the contract. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,194,841; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

\$52,273 (average award for FY09 including funds for award tax liability).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 22 FLRP awards were made utilizing ARRA funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Lisa Walsh Room 8-36, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: 301-443-0197

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.410 ARRA LICENSURE PORTABILITY GRANT PROGRAM

ARRA - Licensure Portability Grant Program (LPGP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

Provide support for State professional licensing boards to carry out programs under which licensing boards of various States cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

LPGP - Grant funds are used for salaries, equipment, software development, operating, or other costs associated with developing legislative, administrative, and technical projects to address licensure barriers that hinder the practice of telemedicine across state lines. Grant funds may also be used for activities involving significant expansion of existing state agreements for cross-state recognition of professional licenses to other states.

Applicant Eligibility:

Eligible applicants include State professional licensing boards, including national organizations of professional licensing boards that provide services to State licensing boards.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA is requiring applicants to apply electronically through Grants.gov. All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. Grantees must request an exemption in writing from DGPWaivers@hrsa.gov, and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Two-year project period. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual progress and financial reports are required 90 days from the end of the budget period and the final performance report and final financial reports are due 90 days from the end of the project period. Progress reports are to be submitted every 6 months. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual progress and financial status reports are required 90 days from the end of the budget period and the final performance report and final financial status report are due 90 days from the end of the project period. Progress reports are to be submitted every 6 months. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual progress and financial status reports are required 90 days from the end of the budget period and the final performance report and final financial status report are due 90 days from the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before

the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$350,000; FY 10 est \$350,000; FY 11 est \$350,000 - (Project Grants) FY 09 \$350,000; FY 10 \$350,000 FY 11 est \$350,000 -- ARRA LPGP (Grants) was funded for FY 10 and FY 11 at \$997,900.

Range and Average of Financial Assistance:

ARRA LPGP Average award approximately \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The LPGP grantees are developing programs under which licensing boards of various States will cooperate to develop and implement State policies that will reduce statutory and regulatory barriers to telemedicine. These grants will continue to focus on licensure issues for physicians and nurses. The funded projects are to build on the first year of efforts to develop national models for addressing barriers to adoption of the Nurse Licensure Compact and implement model agreements to expedite the licensure process and eliminate redundancies associated with applying for physician licenses in multiple jurisdictions. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Carlos Mena, MS, Public Health Analyst, Office for the Advancement of Telehealth, Office of Health Information Technology, Health Resources and Services Administration (HRSA), Parklawn Bldg., 5600 Fishers Ln, RM 10B-11 Rockville, MD 20857, Tel: (301) 443-3198.

Headquarters Office:

Carlos Mena, 5600 Fishers Lane, Room 10B-11, Rockville, Maryland 20857 Phone: (301) 443-3198.

Website Address:

No Data Available

RELATED PROGRAMS:

93.211 Telehealth Network Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For non-competing continuation funding for Telehealth grantees will be based upon an evaluation of each of the grantees first two years of performance, the third year program design, and expenditure justifications. For FY 2010, the Agency developed program guidance to facilitate a competition for the 3-year FY 2010 through FY 2012 project period.

93.411 ARRA EQUIPMENT TO ENHANCE TRAINING FOR HEALTH PROFESSIONALS

ARRA - Equipment for Training of Health Professionals (ETHP)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

American Recovery and Reinvestment Act of 2009 (Recovery Act) supports the purchase of equipment through a grant program that will enhance infrastructure supporting health professions training programs. The EHP program provides funding to purchase training equipment for health professions training programs. The goals of the program include: (1) investing in the educational infrastructure, through the purchase of equipment, to enhance the quality of health professions education; (2) strengthening the National capacity for health professions education through the purchase of health professions training equipment; and (3) increasing the number of highly skilled health workforce professionals in the United States or its territories through the availability and use of training equipment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Applications must request the purchase of equipment that will be used to expand and improve the quality of the training program. The EHP equipment purchases should enhance a structured clinical learning experience within a health professions training program. Funding to support the operations of the equipment (such as staffing, software, maintenance and supplies) is prohibited under the EHP program, unless it is part of an equipment purchase package.

Applicant Eligibility:

A health professions training program as defined by this program is one of 21 programs authorized under Titles III, VII and VIII of the PHS Act which focus all or in part on the training of health professionals. Refer to the funding opportunity announcement for more specifics.

Beneficiary Eligibility:

Training programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are generally solicited by a program announcement in www.hrsa.gov/grant. Application information may be downloaded from www.grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be made in FY 2010 for up to a two-year project period. After Notices of Grant Award are issued, funds are released in accordance with DHHS payment procedures. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Cash reports are not applicable. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. No cash reports are required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. No cash reports are required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Cash reports are not applicable. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Cash reports are not applicable. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. Performance monitoring is not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. No cash reports are required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Cash reports are not applicable. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Cash reports are not applicable. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$50,000,000; FY 11 est \$0 - FY 09 est \$0; FY 10 est \$50,000,000 - ARRA funds for FY 10 total approximately \$50 million.

Range and Average of Financial Assistance:

\$5,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. The National Environmental Policy Act (NEPA), 42 U. S. C. 4321-4370d also applies. HRSA grant awards are also subject to the requirements of the HHS Grants Policy Statement (HHS GPS, found at <http://www.hrsa.gov/grants/>), that are applicable to the grant based on recipient type and purpose of award. ARRA-funded awards are also subject to the HHS ARRA Standard Terms and Conditions.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None.

Headquarters Office:

Gail Lipton, 5600 Fishers Lane, Room 9-05, Rockville, Maryland 20857 Phone: (301) 443-6509.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications are available in the funding opportunity guidance document, in the following broad categories: (1) the extent to which the application describes its needs and links the purchase of the equipment to the enhancements of health professions programs; (2) the extent to which the application describes the proposed goals and objectives and their relationship to the purchase of equipment; (3) the extent to which the applicant describes the expected impact of the equipment on the training program; and (4) the budget justification logically documents how and why each equipment purchase supports the goals and activities of the training program.

93.412 ARRA - PREVENTIVE MEDICINE RESIDENCY PROGRAM

ARRA - Preventive Medicine Residency Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

To promote the post-graduate education of physicians in preventive medicine and public health as funded under the American Recovery and Reinvestment Act of 2009 (ARRA). Preventive Medicine includes the specialties of preventive medicine and public health, occupational medicine and aerospace medicine.

TYPES OF ASSISTANCE:

Project Grants; Training

USES AND USE RESTRICTIONS:

Grants are intended to assist in meeting the costs of planning and developing

new preventive medicine programs; maintaining or improving existing residency training programs in preventive medicine; and providing financial assistance to residents enrolled in such programs. Grants may not be used for construction or for the provision of direct patient services. See guidance at www.grants.gov for additional restrictions, including trainee stipends.

Applicant Eligibility:

Eligible applicants are schools of medicine, osteopathic medicine and public health. An applicant must demonstrate to the Secretary that it has or will have available full-time faculty members with training and experience in the fields of preventive medicine and support from other faculty members trained in public health and other relevant specialties and disciplines.

Beneficiary Eligibility:

U.S. citizens, noncitizen nationals, and those foreign nationals who possess a visa permitting permanent residence in the United States may receive a stipend under this program.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA is requiring applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is available for up to 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Grant recipients must submit periodic progress reports. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

All BHP grantees are required to submit a final report within 90 days after the project period ends. Cash reports are not applicable. All projects funded with

ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Grant recipients must submit periodic progress reports. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

All BHPPr grantees are required to submit a final report within 90 days after the project period ends. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Grant recipients must submit periodic progress reports. A final progress report and final financial status report must be submitted within 90 days after the end of the project period.

All BHPPr grantees are required to submit a final report within 90 days after the project period ends. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$6,685,000; FY 11 est \$2,365,212 -

Range and Average of Financial Assistance:

Est. FY 10 \$211,825 to \$250,000; \$236,735 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program was not implemented in Fiscal Year 2009. Fiscal Year 2010: Forty-two grant applications were received for evaluation and consideration for funding. Grant awards for Fiscal Year 2010 are anticipated on or about July 1, 2010. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Elsie Quinones, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: 301-443-5244 and Email: equinones@hrsa.gov.

Headquarters Office:

Elsie Quinones 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Email: equinones@hrsa.gov Phone: (301) 443-5244

Website Address:

<http://See HRSA.gov website.>

RELATED PROGRAMS:

93.117 Grants for Preventive Medicine

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by consultants for technical merit recommendations based on the potential effectiveness of the proposed project in carrying out the training purposes of section 768 of the PHS Act. Approval of any application is based on an analysis of the following factors: PREVENTIVE MEDICINE - purpose, need and rationale, project plan, project management, fiscal plan, trainee diversity, and project effectiveness. For applicants who are currently funded grantees or applicants who are not currently funded, but have received funding within the previous four years, reviewers will examine the progress report summary to determine the current or prior project's: impact on preventive medicine education both locally and nationally; ability to carry out grant-specific objectives and BHPPr performance objectives; and dissemination activities.

See grant guidance at www.grants.gov for detailed selection criteria.

93.414 ARRA - STATE PRIMARY CARE OFFICES

ARRA - PCOs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5.

OBJECTIVES:

To coordinate local, State, and Federal resources contributing to primary care service delivery and workforce issues in the State to meet the needs of medically-underserved populations through health centers and other community-based providers of comprehensive primary care and the retention, recruitment, and oversight of health professions for medically underserved populations, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Recipients will be expected to perform State-wide primary care planning and resource coordination, including Medicaid, Children's Health Insurance program, State Offices of Rural Health, Ryan White Area Health Education Centers, Maternal and Child Health, etc.; support health systems development, primary care practitioners retention and recruitment, National Health Services Corps monitoring activities, as well as activities relating to other special populations including rural populations; and conduct operational and administrative support activities.

Applicant Eligibility:

States or territories, political subdivisions of States, agencies of States, or other public entities that operate solely within one State.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA is requiring applicants to apply electronically through Grants.gov. All applicants must submit in this

manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. Grantees must request an exemption in writing from DGPWaivers@hrsa.gov, and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made annually. After awards are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Semi-annual program reports, financial status reports, and special reports are required as requested. Federal Financial Reports are required as requested. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Semi-annual program reports and special reports are required as requested. Federal Financial Reports are required as requested. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Financial status reports are required as requested. Federal Financial Reports are required as requested. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Semi-annual program reports and special reports are required as requested. Federal Financial Reports are required as requested.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 not reported.; FY 10 est \$2,000,000; FY 11 est \$2,000,000 - (Cooperative Agreements) ARRA Funding: FY 09 \$2,000,000.

Range and Average of Financial Assistance:

Average award per recipient \$ 37,848.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Office of Shortage Designation Branch, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-18, Rockville, Maryland 20857. Telephone: (301) 594-0816.

Headquarters Office:

Office of Shortage Designation Branch, 5600 Fishers Lane, Room 9A-18, Rockville, Maryland 20857 Phone: (301) 594-0816.

Website Address:

No Data Available

RELATED PROGRAMS:

93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices; 93.162 National Health Service Corps Loan Repayment Program; 93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicants will be evaluated in the following areas: (1) Ability to assess and analyze the State's health policy environment, its unmet need for preventive and primary care services, its health care marketplace and its major disparities in health outcomes; and (2) develop and implement strategies and activities to effectively assist health centers and NHSC delivery sites in their response to these State environmental conditions.

93.415 ARRA - CENTERS OF EXCELLENCE

ARRA - COEs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

The goal of this program is to assist eligible schools in supporting programs of excellence in health professions education for underrepresented minority individuals, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA). The grantee is required to use the funds awarded to: (1) develop a large competitive applicant pool through linkages with institutions of higher education, local school districts, and other community-based entities; and establish an education pipeline for health professions careers; (2) establish, strengthen, or expand programs to enhance the academic performance of underrepresented minority students attending the school; (3) improve the capacity of such school to train, recruit, and retain underrepresented minority faculty including the payment of stipends and fellowships; (4) carry out activities to improve the information resources, clinical education, curricula and

cultural competence, to facilitate the entry of underrepresented minority students into health or allied health professions schools; (5) facilitate faculty and student research on health issues particularly affecting underrepresented minority groups, including research on issues relating to the delivery of health care; (6) carry out a program to train students of the school in providing health services to a significant number of underrepresented minority individuals through training provided to such students at community-based health facilities. These facilities provide such health services and are located at a site remote from the main site of the teaching facilities of the school; and (7) provide stipends as appropriate.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds may be used by health professions schools to: (1) establish, strengthen, or expand programs to enhance the academic performance of under-represented minority students attending the school; (2) establish, strengthen or expand programs to increase the number and quality of minority applicants to the school; (3) improve the capacity of the school to train, recruit, and retain minority faculty; (4) with respect to minority health issues, to carry out activities to improve the information resources and curricula of the school and clinical education at the school; and (5) facilitate faculty and student research on health issues particularly affecting minority groups.

Applicant Eligibility:

Eligible applicants include designated health professions schools that are: accredited schools of allopathic medicine; osteopathic medicine; dentistry; pharmacy; or a graduate program in behavioral or mental health; or other public and nonprofit health or educational that meet the required conditions regarding: (a) COEs at designated Historically Black Colleges and Universities; (b) Hispanic COE; (c) Native American COE; and d) Other COE, as described in Section 736(c)(2), (3), (4) and (5) of the Public Service Act

In general, designated health professions schools must meet the following general meet these specified conditions:

- (1) have a significant number of underrepresented minority individuals enrolled in the schools;
- (2) have been effective in assisting underrepresented minority students of the schools to complete the program of education and receive the degree involved;
- (3) have been effective in recruiting underrepresented minority individuals to enroll in and graduate from the school, including providing scholarships and other financial assistance to such individuals and encouraging under-represented minority students from all levels of the educational pipeline to pursue health professions careers; and
- (4) have made significant recruitment efforts to increase the number of under-represented minority individuals serving in faculty or administrative positions at the school.

Beneficiary Eligibility:

See above.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA is requiring applicants to apply electronically through grants.gov. All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. Grantees must request an exemption in writing from dgpwaivers@hrsa.gov and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal. Application information may be

found by visiting www.grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Notification is made in writing by a Notice of Grant Award.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for approximately 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Financial Reports are required within 90 days after the end of each budget period. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Financial Reports are required within 90 days after the end of each budget period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Financial Reports are required within 90 days after the end of each budget period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Financial Reports are required within 90 days after the end of each budget period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A uniform progress report must be submitted annually within the approved project period. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Financial Reports are required within 90 days after the end of each budget period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until

the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Salaries) FY 09 \$4,924,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

\$2,188,610 to \$4,250,322, with an average award of \$3,009,032.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data. Fiscal Year 2010: No Current Data. Fiscal Year 2011: No Current Data.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Violet Woo, Chief, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. (301) 443-8032.

Headquarters Office:

Violet Woo 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857 Phone: (301) 443-8032

Website Address:

<http://bhpr.hrsa.gov/grants/diversity.htm>

RELATED PROGRAMS:

93.157 Centers of Excellence

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The Centers of Excellence have 7 criteria:

(1) NEED (20 points) - The extent to which a description of a) the problem, and the associated factors contributing to this problem, b) the need with respect to students, faculty development, and URMs in the health professions, and c) geographic factors, such as the size of the area that will be served, and any regional issues.

(2) RESPONSE (40 points) The extent to which project responds to the Purpose included in the program description. This includes the clarity of the proposed goals and objectives and their relationship to the identified project. The extent to which the activities (scientific or other) described in the application are capable of addressing the problem and attaining the project objectives.

For Centers of Excellence, the response should focus on the statutory purposes:
a) Competitive Applicant Pool: To develop a large competitive applicant pool through linkages with institutions of higher education, local school districts, and other community-based entities and establish an educational pipeline for health professions careers; b) Student Performance: To establish, strengthen, or expand programs to enhance the academic performance of underrepresented minority (URM) students attending the school; c) Faculty Development: To improve the capacity of such schools to train, recruit, and retain URM faculty including payment of such stipends and fellowships as the Secretary may determine is appropriate; d) Information Resources, Clinical Education, Curricula, and Cultural Competence: To carry out activities to improve the information resources, clinical education, curricula and cultural competence of the graduates as they relate to minority health issues; e) Faculty/Student Research: To facilitate faculty and student research on health issues

particularly affecting URM groups, including research on issues relating to the delivery of health care; and f) Student Training in Providing Health Care Services: To carry out a program that trains students of the school in providing health care services to a significant number of URMs at community-based health facilities that provide such health care services and are located at a site away from the main teaching facilities of the school.

(3) EVALUATIVE MEASURES (10 points) - The effectiveness of the method proposed to monitor and evaluate the project results. Evaluative measures must be able to assess: 1) to what extent the program objectives have been met; and 2) to what extent these can be attributed to the project.

(4) IMPACT (5 points) - The extent and effectiveness of the dissemination of the project results, the extent to which the project results may be national in scope, the degree to which the project activities are replicable, and the sustainability of the program beyond the Federal funding. It may include the extent to which disseminating COE project is to the Health Professions Education community regionally or nationally.

(5) RESOURCES /CAPABILITIES (10 points) The extent to which project personnel are qualified by training and/or experience to implement and carry out projects. This includes the capabilities of the applicant organization, and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project. This may include whether the health professions school has a significant number of URM individuals enrolled in the school, including individuals accepted for enrollment in the school, if the school has 100% of their URM students receiving some type of financial assistance.

(6) SUPPORT REQUESTED (10 points) - The extent to which the overall budget, including non-trainee expenses, is a) cost effective, reasonable, and consistent with the stated objectives and proposed activities, b) expenditures are well justified, and c) in-kind contributions are documented.

(7) CULTURAL COMPETENCE DEVELOPMENT (5 points) The extent to which the COE demonstrates COE specific courses and/or activities, appropriate to the educational level that develops students knowledge and appreciation of the impact of culture on health. Included is how well cultural competence activities facilitate the enhancement of key tools and skills that improve the ability of program participants to effectively communicate and provide services to patients from diverse social and cultural backgrounds.

**93.416 ARRA - HEALTH CAREERS OPPORTUNITY PROGRAM
ARRA - HCOP**

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) , Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), the HCOP program strives to develop a more competitive applicant pool to build diversity in the health professions. The program's goal is to provide students from economically and educationally disadvantaged backgrounds an opportunity to develop the skills needed to successfully compete to enter and graduate from health professions schools, allied health professions schools, graduate programs in behavioral and mental health, and programs for the training of physician assistants.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Section 739 provides for assisting individuals from disadvantaged backgrounds to undertake education to enter a health or allied health profession. Grant funds may be used for: (1) recruitment; (2) facilitating entry; (3) counseling, mentoring and other services; (4) preliminary education and health research

training; (5) financial aid/information dissemination; (6) primary care exposure activities; (7) development of a more competitive applicant pool; and (8) stipends. Funds under this Section may not be used for: (1) Direct compensation of payment to parents who participate in HCOP activities; (2) Training expenses for faculty or staff; (3) Tuition of fees for students, including test taking fees for health professions schools; (4) Dependency allowances; (5) Construction of facilities, acquisition of land, or fund raising; (6) Interview trips to health and allied health professions schools; (7) Support of courses that are offered as part of the regular academic curriculum; (8) Sectarian instruction or for any religious purpose; (9) Job placement activities; (10) Activities for health professionals (e.g. internships, externships, residencies); (11) Remedial courses; (12) Faculty support for full-time course work during the academic year; (13) Pilot projects, planning projects, feasibility studies, or activities of a similar nature; (14) Entertainment activities (including meals, beverages, lodging, and transportation).

Applicant Eligibility:

Grants may be made to accredited schools of medicine, osteopathic medicine, public health, dentistry, veterinary medicine, optometry, pharmacy, allied health, chiropractic, podiatric medicine, public or nonprofit private schools that offer graduate programs in behavioral and mental health, programs for the training of physician assistants, and other public or private nonprofit health or educational entities.

Beneficiary Eligibility:

Students who meet the definition of either educationally or economically disadvantaged, and who express an interest in pursuing a health degree program are eligible for participation in an HCOP program during their primary, secondary pre-professional, and professional education. Students must be U.S. citizens, non-citizen nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. An individual will be determined to be disadvantaged if he or she comes from a background that has inhibited the individual from obtaining the knowledge, skills, and abilities required to enroll in and graduate from a health professions school or program providing education or training in an allied health profession; or comes from a family with an annual income below a level based on low income thresholds according to family size published by the Bureau of the Census, adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in health professions programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA is requiring applicants to apply electronically through Grants.gov. All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. Grantees must request an exemption in writing from dgpwaivers@hrsa.gov and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal. Application information may be found by visiting www.grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Sara Rue, Public Health Analyst, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period is approximately 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Uniform Progress Report must be submitted for a second or subsequent budget period within a previously approved project period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Uniform Progress Report must be submitted for a second or subsequent budget period within a previously approved project period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. For an annual financial status report is required 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Salaries) FY 09 \$2,517,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

\$66,083 to \$1,454,970, with an average award of \$618,803.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: N/A. Fiscal Year 2010: N/A. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments; and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Kyle Peplinski, Public Health Analyst, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Rm. 9-36, Rockville, MD 20857, by telephone at 301-443-7758 and E-mail at kpeplinski@hrsa.gov.

Violet R. H. Woo, Chief, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane Rm. 9-36, Rockville, MD 20857, by telephone at 301-443-8032 and E-mail at vwoo@hrsa.gov.

Headquarters Office:

Kyle Peplinski 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Email: kpeplinski@hrsa.gov Phone: (301) 443-3043.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.822 Health Careers Opportunity Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Overview: (1) The applicant's record in developing, training, and strengthening the academic performance of disadvantaged students throughout the educational pipeline, including health professions schools; (2) the needs assessment study; (3) the established relationship between the program's stated needs, objectives, and outcomes; (4) the project's plan for developing and expanding the pool of competitive health professions school applicants; (5) the qualifications and experience of the faculty and staff to implement and manage an HCOP program, as well as the experience of the faculty and staff in working with the proposed target group; (6) evidence that formal linkages have been established in order to create a more comprehensive HCOP program; (7) the project activities and their reasonability given the proposed level of staff effort, length of project period and budget requested, resources available, and linkages established; (8) the proposed methods for evaluating the project (including data collection, analysis and reporting procedures, quality control methods and type of personnel involved); (9) the demonstrated knowledge and expertise the applicant possess for coordinating activities with school districts and other community based entities, as well as identifying and leveraging resources from private, philanthropic, business and other government entities; and (10) the budget demonstrates effective use of grant funds and is reasonable, based on: (a) a detailed justification for each line item, (b) the level of in-kind support and other sources of funds, both Federal and non-Federal, the applicant proposes to utilize for carrying out the program, (c) the applicant's proposed plan for continuation of the proposed project beyond the federally-funded project period.

REVIEW CRITERIA. All competitive applications will be reviewed and ranked on the basis of 7 review criteria are used to review and rank applications.

1. **RESOURCES/ CAPABILITIES:** a) the applicant demonstrates a commitment to disadvantaged students and/or underserved communities; b) the applicant provides documentation of evidence utilizing institutional resources and activities aimed at developing, training and strengthening the academic performance and cultural competence of disadvantaged students throughout the educational pipeline, including health professions schools; c) the applicant demonstrates a commitment to disadvantaged students and/or underserved communities; d) the applicant provides documentation of evidence utilizing institutional resources and activities aimed at developing, training and

strengthening the academic performance and cultural competence of disadvantaged students throughout the educational pipeline, including health professions schools; e) project personnel are qualified by training and/or experience to implement and carry out the project; and f) the capabilities of the applicant organization, and quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project.

2. **IMPACT:** a) the applicant clearly describes the plan for disseminating and implementing HCOP project results to the education and/or health professions community, regionally and/or nationally; b) the applicant clearly delineates specific venues, media, timelines, etc.; c) the applicant describes the extent to which the project activities are replicable; and d) the applicant describes the probability of the program, or significant components of the program, being maintained beyond HCOP funding.

3. **NEED:** a) the extent to which the application describes the problem and associated contributing factors to the problem, with support data provided; b) the targeted disciplines mirror the health professions workforce needs of the geographic area to be served; and c) support data includes information on the academic performance and social needs of students in the targeted area and demographics for health professionals in the geographic area.

4. **RESPONSE:** a) an effective, well-delineated plan for carrying out all seven (7) of the HCOP program purposes. The plan explicitly identifies the targeted health disciplines and includes measurable objectives that are clearly written with corresponding methodology, evaluation and budget sections that describe in detail how they will be carried out, evaluated and supported by HCOP grant dollars and/or other sources; b) the extent to which the proposed project responds to the Purpose included in the program description; c) the clarity of the proposed goals and objectives and their relationship to the identified project; d) the extent to which the activities described in the application are capable of addressing the problem and attaining the project objectives; and e) the extent to which the projects objectives are appropriate, measurable, logical, time-framed, and provide baseline data.

5. **CULTURAL COMPETENCE DEVELOPMENT:** a) the proposed project must clearly define goals and objectives for teaching cultural competence, including educational and training activities for each educational level; and b) the objectives must be measurable and attainable.

6. **SUPPORT REQUESTED:** a) the overall proposed budget, including non-trainee expenses, should be cost effective, reasonable and consistent in relation to the objectives, the proposed activities and the anticipated results; and b) expenditures should be justified and in-kind contributions documented.

7. **PROGRESS SUMMARY OF EVALUATIVE MEASURES (FOR COMPETING CONTINUATIONS):** a) the progress summary reveals that the applicant was able to successfully manage the grant and met the objectives of the current HCOP grant; b) lists the original grant objectives and provides outcomes for each objective in measurable terms; and c) provides an evaluation of the outcomes and lists corrective actions taken to remedy objectives that were not met.

93.417 ARRA - NURSING WORKFORCE DIVERSITY

ARRA-NWD

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), these funds will be used to increase nursing education opportunities

for individuals who are from disadvantaged backgrounds (including racial and ethnic minorities under-represented among registered nurses) by providing student stipends and scholarships, pre-entry preparation and retention activities. To support projects focused on creating registered nurses.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for salaries of personnel specifically employed for the project; consultant fees; supplies and equipment necessary to conduct the project; essential travel expenses and student stipends or scholarships; and other expenses related to the project.

Restricted Uses: Indirect costs are allowed for administrative costs incurred as a result of the project, limited to 8 percent of direct costs exclusive of equipment and tuition and fees. Stipends are \$250 per month to qualifying students who participate full time in structured project activities. The maximum annual (12-month) amount for stipends is \$3000 per student. Scholarships are limited to a maximum of \$7,000 per student per year for qualifying full or part time nursing majors. The maximum amount that can be requested for scholarships in a grant is \$40,000 per year.

Applicant Eligibility:

See funding opportunity announcement.

Beneficiary Eligibility:

See funding opportunity announcement.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HRSA is requiring applicants to apply electronically through Grants.gov. All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. Grantees must request an exemption in writing from DGPWaivers@hrsa.gov, and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. LCDR Aisha K. Mix, MPH, MSN, RN, CCM, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 8C-26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6193.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support. Budget periods are for 1 year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Bureau of Health Professions Progress Report must be submitted annually. Final progress and financial reports must be submitted within 90 days after the end of the project period. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Bureau of Health Professions Progress Report must be submitted annually. Final progress and financial reports must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. A Financial Status Report (FSR) must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$2,617,845; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Range: \$791,491 to \$1,002,550; Average: \$872,615.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. LCDR Aisha K. Mix, MPH, MSN, RN, CCM, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6193.

Headquarters Office:

Aisha K. Mix 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857
Phone: (301) 443-6193

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.178 Nursing Workforce Diversity

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

The purpose of the project must be consistent with and promote the activities identified in the law. Need must be documented, and the capability for achieving project goals must be described. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments. This preference will only be applied to applications that rank above the 20th percentile of applications recommended for approval.

93.418 ARRA - EMERGENCY MEDICAL SERVICES FOR CHILDREN

ARRA - EMS for Children

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) (PL 111-5), Public Law 111-5.

OBJECTIVES:

To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 1910 of the Public Health Service Act.

Applicant Eligibility:

To support demonstration projects for the expansion and improvement of emergency medical services for children who need treatment for trauma or critical care, as funded under the American Recovery and Reinvestment Act of 2009 (ARRA).

Beneficiary Eligibility:

All children will benefit from the project grants administered by this program, including children from minority groups.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HRSA is

requiring applicants to apply electronically through Grants.gov (www.grants.gov). All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. Grantees must request an exemption in writing from dgpwaivers@hrsa.gov and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Annual basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made annually, in accordance with the project period method of awarding grants. Payments are made through a Letter of Credit or Cash Demand System. Project periods are generally for up to 3 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, program service reports, and special reports must be submitted as required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Please visit the following Web site for further information on ARRA reporting requirements.

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Cash reports are not applicable. Annual program reports, financial status reports, program service reports, and special reports must be submitted as required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Please visit the following Web site for further information on ARRA reporting requirements.

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Annual program reports, financial status reports, program service reports, and special reports must be submitted as required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Please visit the following Web site for further information on ARRA reporting requirements.

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Annual program reports, financial status reports, program service reports, and special reports must be submitted as required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Please visit the following Web site for further information on ARRA reporting requirements.

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$3,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$3,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Daniel Kavanaugh, EMSC Senior Program Manager, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-38, 5600 Fishers Lane, Rockville, MD 20857, 301-443-1321.

Headquarters Office:

Daniel Kavanaugh Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A-38, 5600 Fishers Lane, Rockville, MD 20857., Rockville, Maryland 20857 Email: dkavanaugh@hrsa.gov Phone: 301-443-1321

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

20.600 State and Community Highway Safety; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.127 Emergency Medical Services for Children

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: State Partnership Grants

The State Partnership grants fund EMS state agencies to focus on the following performance measures:

1. Ensure operational capacity to provide pediatric emergency care by guaranteeing pre-hospital provider agencies have on-line and off-line pediatric medical direction; pre-hospital providers agencies have essential pediatric equipment and supplies; implement a state-wide, territorial, or regional facility recognition program for hospitals that are able to stabilize and/or manage pediatric emergencies; and hospitals have written inter-facility transfer guidelines and agreements that specify alternate sites that have the capabilities to meet the clinical needs of critically ill and injured pediatric patients.
2. Adopt requirements by states/territories for pediatric emergency education for the recertification of paramedics.
3. Establish permanence of EMSC in each state/territory

National EMSC Data Analysis Resource Center

NEDARC provides technical assistance, training workshops, and site visits in order to assist EMSC projects and state EMS offices develop their capabilities to collect, analyze, and utilize EMS data. NEDARC provided the foundation from which the NEMSIS Technical Assistance Center was created and is an example of collaboration between EMSC and the National Highway Traffic Safety Administration (NHTSA)

Targeted Issues Grants

Targeted Issues grants are intended to address specific needs or concerns in the field of pediatric emergency care that transcend state boundaries. Typically the projects result in a new product or resource or the demonstration of the effectiveness of a model system component or service of value to the nation. Types of projects that have been funded within this category include developing model pediatric components for state disaster plans, screening and secondary prevention for psychological sequelae of pediatric injury, basic emergency lifesaving skills in schools, and emergency preparedness for infants with significant heart disease.

Network Development Demonstration Project (NDDP)

Projects are cooperative agreements that demonstrate a system of regional applied pediatric emergency medical services centers designed to expand and improve emergency services for children who need treatment for trauma or critical care. Awardees are linked together in a network to demonstrate a capacity to conduct multi-site studies on issues relating to the management of pediatric events that occur in hospitals as well as in transport. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Grant applications will be reviewed and evaluated by a panel of reviewers experienced in the planning, implementation, and monitoring of emergency medical services and pediatric care. The reviewers will recommend a score for applications; recommend any modifications or conditions to the grant if awarded; and recommend any changes to the proposed budget. Recommendations of the review panel are presented to the Associate Administrator, MCHB. Panel recommendations are advisory only, and the Associate Administrator, MCHB will be responsible for final decisions regarding awards. Reviewers will use the criteria and questions described in the section on Categories of Grants: Program Narrative and Review Criteria to evaluate proposals. Applicants are urged to address these criteria as directly as possible in the text of the program narrative.

93.419 ARRA - MATERNAL AND CHILD HEALTH (MCH) PEDIATRIC RESEARCH NETWORK PROGRAM

ARRA - MCH Pediatric Research Network Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act) (PL 111-5), Public Law 111-5.

OBJECTIVES:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), to carry out special maternal and child health (MCH) projects of regional and national significance; to conduct training and research; to conduct genetic disease testing, counseling, and information development and dissemination programs; for grants relating to hemophilia without regard to age; and for the screening of newborns for sickle cell anemia, and other genetic disorders and to support comprehensive hemophilia diagnostic and treatment centers.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Training grants are made to institutions of higher learning for training personnel for health care and related services for mothers and children. Research grants are for the purpose of research activities which show promise of a substantial contribution to the advancement of maternal and child health services. Genetic

grants are for genetic disease testing, counseling and information development and dissemination. Hemophilia grants are for the support of centers which provide hemophilia diagnostic and treatment services. Other special project grants are designed to support activities of a demonstration nature which are designed to improve services for mothers and children.

Applicant Eligibility:

As funded under the American Recovery and Reinvestment Act of 2009 (ARRA), to carry out special maternal and child health (MCH) projects of regional and national significance.

Beneficiary Eligibility:

For research grants: public or private nonprofit agencies and organizations engaged in research in MCH or CSHCN programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Annual basis.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are made on an annual basis for the duration of the grant period, and payments are made through an Electronic Transfer System or Cash Demand System. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual program reports, annual program service reports and special reports as required. Cash reports are not applicable. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual program reports, annual program service reports and special reports as required. All projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual financial status reports, annual program service reports and special reports as required. All projects funded with ARRA funds are

subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual program reports, annual financial status reports, annual program service reports and special reports as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$3,500,000; FY 11 est \$0 - ARRA funding for FY2010 only.

Range and Average of Financial Assistance:

3,500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Headquarters Office:

Stella Yu, Sc.D, MPH Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18A- -55, 5600 Fishers Lane, Rockville, MD 20857, Rockville, Maryland 20857 Email: syu@hrsa.gov Phone: (301) 443- 2207

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.234 Traumatic Brain Injury State Demonstration Grant Program; 93.300 National Center for Health Workforce Analysis; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

General criteria are described in Program Regulations 45 CFR 51, and specific criteria are included in the program guidance materials provided with application kits. Contact Central Office for details.

93.420 ARRA - COMMUNITY HEALTH APPLIED RESEARCH NETWORK

Includes the following two programs:

Community Health Applied Research Network (CHARN);
CHARN Central Data Management and Coordinating Center (CDMCC)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 , Public Law 111-5.

OBJECTIVES:

To provide infrastructure support for consortia of safety net* providers and academic institutions to develop the capacity to undertake community-based comparative effectiveness research (CER). It is anticipated that by the end of the grant period, the funded consortia would be able to conduct high quality observational and interventional studies on priority comparative effectiveness topics.

*The term Safety-Net Provider means a provider that by mandate or mission organizes and delivers a significant level of healthcare and other health-related services to the uninsured, Medicaid, and other vulnerable populations.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

Funds are to support CER Data Infrastructure activities or investments that develop, build, or maintain data, information systems, or tools to support CER research and analysis. These investments could also include data linkages to administrative databases and registries, operational and administrative support, including scientific and technical personnel. None of the funds appropriated or otherwise made available in ARRA may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604)

Grantees are not permitted to issue subgrants. ARRA-funded awards are also subject to the HHS Standard Terms and Conditions as described in Appendix D on the web at:

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Applicant Eligibility:

CHARN: Health Center Consortia, such as a health center as defined and funded under Section 330 of the Public Health Service (PHS) Act, as amended (42 U.S.C. 254b), applying on behalf of a managed care Network or plan, that has received Federal grants under subsection 330(e)(1)(A) for at least the two consecutive preceding years; or a health center as defined and funded under Section 330 of the Public Health Service (PHS) Act, as amended (42 U.S.C. 254b), applying on behalf of a Practice Management Network. Applicants must have a formal relationship with an academic research institution, through mechanisms such as MOU, MOA, etc.

CHARN CDMCC: Academic research institutions, such as public or nonprofit universities, laboratories, hospitals, and other public or private institutions.

Beneficiary Eligibility:

The beneficiaries of this support are going to be the funded entities themselves, such as the health center consortia or health center controlled networks; the collaborating institutions that are the Affiliates of the funded entities, such as academic institutions and other research organizations; and, ultimately, the patient populations that are served by the funded entities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Jun 09, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days. Applicants can locate funding opportunities along with deadline information at

<http://www.hrsa.gov/grants/default.htm> and www.grants.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The assistance is available for no more than 3 years. ARRA restrictions require that money awarded must be spent by the end of the third year of the project, no later than September 30, 2013. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Progress Reports are required with information on grantee progress on program-specific goals. A financial report is required within 90 days of the end of each year. The report is an accounting of expenditures under the project. Quarterly reports must be compliant with the provisions set in the Recovery Act. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5),

http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=111_cong_bills&docid=f:h1enr.pdf, including reporting requirements outlined in Section 1512 of the Act.

All CHARN grantees are required to submit a final report within 90 days after the project period ends. All information required by Section 1512 of the Recovery Act must be submitted through FederalReporting.gov. Submit a quarterly electronic PSC-272 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. All CHARN grantees are required to submit a final report within 90 days after the project period ends. All information required by Section 1512 of the Recovery Act must be submitted through FederalReporting.gov. Grantees are required to submit a quarterly electronic PSC-272 via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. Grantees will be required to participate, where appropriate, in an on-site performance review of their HRSA funded program(s). Grantees will be required to participate, where appropriate, in an on-site performance review of their HRSA funded program(s) by a review team from HRSA's Office of Regional Operations (ORO).

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Successful applicants must comply with audit requirements of OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. Recipients agree to separately identify the expenditures for each grant award funded under ARRA on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC).

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550 - CHARN; 75-0350-0-1-550 - CHARN CDMCC.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$10,000,000; FY 11 est \$0 - The American Recovery and Reinvestment Act of 2009 (ARRA or Recovery Act) provides funds for the Office of the Secretary for comparative effectiveness research (CER) activities.

The program does not begin until September, 2010.

Range and Average of Financial Assistance:

Approximately \$10,000,000 will be awarded to five applicants for a period of three years per applicant, for average total costs of \$666,667 per year per applicant.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. Any identifiable patient health information collected by grantees under this award will also be obtained and managed in accordance with 45 CFR Parts 160 and 164. Information on these requirements can be found at <http://hhs.gov/ocr/hipaa>. Federal regulations at 45 CFR Part 46 require that research involving human subjects must be evaluated in accordance with those regulations. For guidelines on compliance with 45 CFR 46 see <http://www.hhs.gov/ohrp/humansubjects/guidance/45cfr46.htm>.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Susan G. Queen
Office of Planning, Analysis, and Evaluation
5600 Fishers Lane, Room 10-33
Rockville, Maryland 20857
squeen@hrsa.gov (301) 443-1129.

Headquarters Office:

Robert Mills, 5600 Fishers Lane, Room 7-90, Rockville, Maryland 20857
Email: rmills@hrsa.gov Phone: (301) 443-3899.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee. Please refer to the funding opportunity announcements for specific information on review criteria. The application due date was June 9, 2010.

93.440 NATIONAL PROJECT MANAGEMENT OF THE HEALTHCARE COMMUNITIES KNOWLEDGE GATEWAY

Knowledge Gateway

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Sections 330(l), 340B, and 377 of the Public Health Service Act.

OBJECTIVES:

The objectives of this collaborative agreement is to provide technical assistance to HRSA grantees and other providers of healthcare services by supporting the Healthcare Communities Knowledge Gateway, a robust knowledge and content management system portal, and related quality improvement efforts that bridge healthcare, public health, local and state based community organizations in improvement efforts across the United States. Healthcare Communities Knowledge Gateway provides support to the following: (a) Patient Safety and Clinical Pharmacy Services Collaborative (PSPC) participant organizations and related community based activities; (b) the Donation and Transplantation Community of Practice (DTCP) continued growth and success in saving lives and increasing awareness of the need for additional donors; and (c) information resources for the State Health Access program.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

The cooperative agreement recipient will operate and manage the Healthcare Communities Knowledge Gateway main portal and resources center, results-sharing site, and help desk or create an equivalent system without disruption of service to the current users such as eligible organizations and a variety of HRSA grantees including but not limited to: health centers, Counties and States, transplant hospitals and centers, qualified organ procurement organizations, educational institutions, state and local health departments, public health and other private entities. Refer to the funding opportunity announcement for specific terms of the cooperative agreement.

Applicant Eligibility:

Public, private, for profit and not-for-profit entities are eligible to apply. The applicant must have the ability to provide technical support and development; national project management of community based improvements, results sharing with automated analysis, and associated resources; and improve community resources including payer and health information technology systems.

Beneficiary Eligibility:

Beneficiaries include current users such as eligible organizations and a variety of HRSA grantees including but not limited to: health centers, Counties and States, transplant hospitals and centers, qualified organ procurement organizations, educational institutions, state and local health departments, public health and other private entities.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

This cooperative agreement will provide funding for 3 years. Approximately \$1,000,000 is expected to be available annually to fund one cooperative agreement. Applicants may request up to \$1,000,000 per year. Funding beyond the first year is dependent on the availability of appropriated funds in subsequent fiscal years, awardee satisfactory performance, and a decision that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This cooperative agreement will provide funding for 3 years. Approximately \$1,000,000 is expected to be available annually to fund one cooperative agreement. Applicants may request up to \$1,000,000 per year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The awardee must submit a progress report to HRSA on a quarterly basis. Sample text describing the report: This report has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data including performance measurement data to measure the progress and impact of the project. Further information will be provided in the award notice. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the award. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. See above. See above. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

Approximately \$1,000,000 is expected to be available annually to fund one

cooperative agreement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Fred Butler Jr., Public Health Analyst, HRSA Healthcare Systems Bureau, Parklawn Building, Room 12C-06, 5600 Fishers Lane, Rockville, MD 20857
Telephone: (301) 594-4483, Fax: (301) 594-6095, Email: fbutler@hrsa.gov.

Headquarters Office:

Fred Butler Jr., 5600 Fishers Lane, Rockville, Maryland 20874 Email: fbutler@hrsa.gov Phone: (301) 594-4483.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.107 Model State-Supported Area Health Education Centers; 93.134 Grants to Increase Organ Donations

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunities announcement for further information.

93.441 INDIAN SELF-DETERMINATION

(Indian Self-Determination 638 Contracts)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act, Title 1, Section 102, Public Law 93-638.

OBJECTIVES:

To enable Indian tribes to assume the management and operation of programs, functions, services, and activities (PFSA) for the delivery of health care to Indian people. To enter into a self-determination contract or contracts with Indian tribes or tribal organizations (T/TO) interested in transferring the responsibility for the administration and provision of health services from the government to tribal leadership.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Funds are for the tribes to provide the PFSAs transferred from the Indian Health Service (IHS). The funds are not available for construction unless specifically authorized by Congress. Tribes can reallocate funds within their budget from one line item to another without contract modifications. Tribes also receive administrative funds for the contracted programs.

Applicant Eligibility:

Any federally-recognized tribe that formally requests, through a governing body action, a resolution, to enter into a self-determination contract or contracts for the purpose of planning, conducting, and administering programs, or portions thereof, including construction programs, provided that the T/TO submits a contract proposal that conforms to the requirements contained in 25 CFR 900.8 may apply.

Beneficiary Eligibility:

Indian tribes will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The Agency shall, within 30 days of receipt of a notice of intent to contract, provide the tribe pre-proposal technical assistance, if requested to do so. The Agency will also notify the tribe of the amount of funds available to contract, under 106(a) of the ISDA, and begin planning for the transfer of responsibilities and funding to the tribe. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A tribe or tribal organization may submit a proposal for a self-determination contract or a proposal to amend or renew an existing contract. Unless there is a declination issue the Secretary shall within 90 days after receiving the proposal, approve the proposal and award a contract to the tribe. Declination issues are: (A) The service to be rendered to the Indian beneficiaries of the particular program or function to be contracted will not be satisfactory; (B) adequate protection of trust resources is not assured; (C) the proposed project or function to be contracted for cannot be properly completed or maintained by the proposed contract; (D) the amount of funds proposed under the contract is in excess of the applicable funding level for the contract as determined under Section 106(a); or (E) the program, function, service, or activity (or portion thereof) that is the subject of the proposal is beyond the scope of programs, functions, services, or activities covered under paragraph (1) because the proposal includes activities that cannot lawfully be carried out by the contractor.

Award Procedure:

The agency negotiation team and the representatives of the tribe shall develop the agenda for the contract negotiation session(s). The agency negotiation team should assure that any issues identified during the proposal review stage, together with any agency recommendations for overcoming these issues, are raised at the negotiations. Title I contracts are generally awarded at the area office level. They are awarded for a definite period of time (1 to 3 years) or may be designated as "mature" and awarded for a definite or indefinite period of time.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Section 102 of the ISDA and Subpart L of the regulations are the basic sections covering the appeal procedures. Section 900.150 of 25 CFR lists the decisions a T/TO can appeal under Subpart L (25 CFR 900.150-169). The procedures here apply to those appeals. Also, 25 CFR Section 900.151 of the regulations describes appeals that are covered under Subpart N of the regulations and other appeals. The T/TO has three options in appealing a decision under 25 CFR 900-150. The T/TO can request an informal conference and/or can file a formal appeal. The T/TO also has the option of going directly to the Federal court at any time.

Renewals:

Annual funding agreements are renegotiated and renewed annually. Title I contracts may be designated as "mature" and awarded for a definite or indefinite period of time.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: A T/TO may use funds provided under a Title I contract to meet matching or close participation requirements under other Federal and nonfederal programs. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

n/a. Method of awarding/releasing assistance: quarterly.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Section 5(f) of the ISDA requires a T/TO to submit an annual "Single Audit Act" as required by Chapter 75 of Title 31 U.S.C. OMB Circular No. A-133, Audits of States, Local Governments and Nonprofit Organizations, revised June 24, 1997, rescinded circular No. A-128 and superseded the Prior version of A-133. Revised circular No. A-133 now applies to T/TO.

Records:

Department of Health and Human Services (DHHS) and the Comptroller General of the United States or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0391-0-1-551.

Obligations:

(Direct Payments for Specified Use) FY 09 \$509,600,000; FY 10 est \$509,600,000; FY 11 est \$509,600,000

Range and Average of Financial Assistance:

\$31,963 to \$25,950,652; \$1,722,872.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Public Law 93-638, as amended, Internal Agency Procedures Manual for Contracting Under Title I of the Indian Self-Determination and Education Assistance Act; Indian Health Circular No. 2000-01, "Contract Support Costs apply."

Regional or Local Office:

See Regional Agency Offices. Aberdeen Area IHS, Alaska Area IHS, Albuquerque Area IHS, Bemidji Area IHS, Billings Area IHS, California Area IHS, Nashville Area IHS, Navajo Area IHS, Oklahoma City Area IHS, Phoenix Area IHS, Portland Area IHS, and Tucson Area IHS.

Headquarters Office:

Grants Policy Office, 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants who meet the requirements of Section 102 of Public Law 93-638, as amended, and provide a proposal in accordance with 25 CFR 900 will be funded.

93.442 SPECIAL DIABETES PROGRAM FOR INDIANS (SDPI) COMPETITIVE GRANT PROGRAM**FEDERAL AGENCY:**

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Balanced Budget Act of 1997, Public Law 105-33; Medicare Improvements for Patients and Providers Act of 2008, Public Law 110-275; Consolidated Appropriations Act of 2001, Public Law 106-554; Reauthorization of SDPI 2002, Public Law 107-360; Medicare, Medicaid, and SCHIP Extension Act of 2007, Public Law 110-173.

OBJECTIVES:

The Indian Health Service (IHS) announces a new initiative under the Special Diabetes Program for Indians (SDPI). This funding mechanism is a competitive cooperative agreement program that will provide funding to selected SDPI grantees for a demonstration project to implement and evaluate defined activities in one of two areas (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds (including direct costs and allowable indirect costs) may be used only for expenses clearly related and necessary to carry out approved activities that will support the implementation and evaluation of demonstration projects under this competitive grant program.

Applicant Eligibility:

Applicants eligible to receive an award under this announcement are SDPI grant recipients. The applicant must be one of the following entities: IHS hospital or clinic, federally recognized Tribes, Title V Urban Indian Health Programs or consortia of any of the above. A SDPI grant recipient can apply only once as a single entity or as part of consortia. An application submitted by consortia of SDPI grant recipients must be submitted by one of the SDPI grant recipients sanctioned as the principal applicant. A letter of support must be included in the application from each SDPI grantee the principle applicant is representing. The letter must specifically state that the principal applicant is officially representing that SDPI grantee in this application. Applicants for consortia who do not submit these letters of support at the time of the application receipt date will not be reviewed and are ineligible for the award. Smaller applicants are encouraged to apply as part of consortia, especially their diabetes registry is less than 250. Applicants are strongly encouraged to establish eligibility of their proposed applications prior to submission. Inquires about eligibility should be addressed to Gordon Quam, SDPI Demonstration Projects Grant Project Officer, IHS Division of Diabetes Treatment and Prevention at 505-248-4182; email to diabetesprogram@ihs.gov. Applicants that are not SDPI grant recipients are not eligible. Non-profit tribal organizations or national/area health boards are not eligible, consistent with recent tribal consultation on this issue. Applications that do not meet these eligibility requirements will be returned to the applicant without further review.

Beneficiary Eligibility:

American Indians and Alaska Natives will be the ultimate beneficiaries of the funded demonstration projects through either prevention or direct treatment services.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular Circular No. A-122, "Cost Principles for Non-Profit Organizations." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications can be found in the grants.gov. Applications will also be available on request from the IHS Division of Diabetes Treatment and Prevention at the following address: 5300 Homestead Road, NE, Albuquerque, NM 87110. Telephone: (505) 248-4182, fax 505-248-4188, email address: diabetesprogram@ihs.gov. The application will be posted on the IHS Division of Diabetes Treatment and Prevention web

site at www.diabetes.ihs.gov and on the HHS Grants web site at www.grants.gov. Applicants may submit one application for one or both demonstration projects. A separate application is required for each demonstration project. Applicants will be funded for only one project (primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes). Tribal Approval of Application/Letter of Support: It is the policy of the IHS that all projects involving AIAN tribes be approved by the Tribal governments with jurisdiction. Therefore, the following documentation is required as part of this application: 1) For federally recognized Indian tribe - a resolution of support from the Tribal government must be a part of the application. Applications that involve more than one Indian tribe must include resolutions of support from all participating tribes. 2) For an eligible consortium of tribes - a resolution of support from each tribe of the consortium must be included. 3) For Title V Urban Indian Health Programs - a letter of support from the program's board must be included. 4) For IHS hospitals or clinics - a letter of support from the Service Unit Director or Chief Executive Officer must be included. 5) For all applicants - letters of support from all partners and collaborating entities. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except Federal holidays). If you require additional assistance please contact Tammy Bagley, Grants Policy Officer; Telephone: (301) 443-5204 at least ten days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. Upon receipt, IHS will administratively review applications by completeness and responsiveness. Applications that are incomplete, non-responsive to this RFA, do not meet eligibility criteria or do not follow the guidelines of the SF 424 will be returned to the applicant without further consideration. Applications will be evaluated for technical merit by appropriate peer review groups convened by the IHS National Diabetes Program in accordance with established criteria.

Award Procedure:

Applicants will only be eligible to receive one award for funding in one area (i.e. primary prevention of diabetes or prevention of cardiovascular disease in people with diabetes). Priorities for funding will be based on the technical merit of the application, the assessed potential of the applicant and the likelihood of the applicant to successfully implement the defined interventions. Awards will be made only to organizations with financial management systems and management capabilities that are acceptable under PHS Policy. Awards will be administered under the PHS Grants Policy Statement. Awards will be subject to the availability of funds and grants will be administered in accordance with applicable Office of Management and Budget (OMB) Circulars, Department of Health and Human Services grant regulations at 45 CFR Parts 74 and 92, the Public Health Service Grants Policy Statement and other applicable IHS policies and procedures such as the regulations governing protection of human subjects at 45 CFR Part 46. Grants Management will not award a grant without an approved application in conformance with regulatory and policy requirements and which describes the purpose and scope of the project to be funded. When the application is approved for funding, the Grants Management Office will prepare a Notice of Grant Award with special terms and conditions binding upon the award and refer to all general terms applicable to the award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The anticipated date for the announcement of selectees is 60 days after the application due date.

Appeals:

Not Applicable.

Renewals:

This funding will be awarded as a cooperative agreement, renewable annually for up to one additional year (FY 2011). The IHS will determine if cooperative

agreements are renewable after these 2 years depending on funding levels and congressional actions.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available during the stated Project Periods. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division Of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Management Operations 90 after the end of each budget period. IHS grants are monitored by the Division of Grants Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records of the grant must be retained for 3 years after submission of the final expenditure report. If questions remain, such as those resulting from an audit, pertinent records must be kept until the matter is resolved. The Secretary, the Inspector General of the Department of the Health and Human Services, and the Comptroller General of the United States or any of their authorized representatives shall have the right to access all records, report, books, document, papers or other records of the grantee, contractor, or subcontractor or of any entity pertinent to the DHHS grant in order to make audits, examinations, excerpts and transcripts.

Account Identification:

75-0390-0-1-551.

Obligations:

(Salaries) FY 09 \$23,300,000; FY 10 est \$23,300,000; FY 11 est \$23,300,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Dr. Kelly Acton, Director, Division of Diabetes Treatment and Prevention, 5300 Homestead Road, NE., Albuquerque, New Mexico 87110. Telephone: (505) 248-4182. Fax: (505) 248-4188. Grant Management contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Fax: (301) 443- 9602.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

IHS Division of Diabetes Treatment and Prevention:

<http://www.diabetes.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.443 HEALTH PROMOTION/DISEASE PREVENTION PROGRAM FOR AMERICAN INDIANS AND ALASKA NATIVES

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Authorized by 25 U.S.C. 13, Synder Act, Public Law 83-568, Transfer Act, 42 U.S.C. 2001.

OBJECTIVES:

To create healthier American Indian/Alaska Native (AI/AN) communities by developing, implementing, and coordinating innovative and effective community and clinic-based interventions programs designed to promote health and prevent chronic disease and disability.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

Applicant Eligibility:

Federally-recognized tribes, tribal organizations, nonprofit organizations primarily American Indians and Alaska Natives, and urban Indian organizations may apply.

Beneficiary Eligibility:

Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian Health and medical service program and who are regarded as an Indian by the community in which he lives as evidenced by such factors as tribal membership, enrollment, residence on tax exempt land, ownership or restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation:

Certification of American Indian or Alaska descent is required for direct services. Costs will be determined in accordance with Circular No. A-122 for Tribal nonprofit organizations codified through applicable grant administration regulations 45 CFR Part 92 and as applicable 45 CFR Part 74. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at 1-800-518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). If you require additional assistance please contact Tammy Bagley, Grants Policy Officer at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. Health Promotion/Disease Prevention program are processed and administered by IHS Headquarters. This

program is subject to the provisions of 45 CFR Part 92 for Indian tribes and Part 74 for Nonprofit organizations, and OMB Circulars No. A-110, A-122, A-87, and A-102.

Award Procedure:

Grants are awarded on a competitive basis with processing and final approval by Indian Health Service Headquarters.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Grants are approved or disapproved within 90 days of receipt of grant applications by the Indian Health Service, Division of Grants Operation.

Appeals:

Other - Not Specified.

Renewals:

Project periods are for 3 years with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for project periods up to 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division Of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Management Operations 90 after the end of each budget period. IHS grants are monitored by the Division of Grants Management Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grantees are subject to site visits and audits by the Department of Health and Human Services (DHHS) and other Federal officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audit, examinations, excerpts, and transcripts. In accordance with 45 CFR Parts 74 and 92, grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Salaries) FY 09 \$1,300,000; FY 10 est \$1,300,000; FY 11 est \$1,300,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under the provisions of 45 CFR Part 92 and the HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.444 TRIBAL SELF-GOVERNANCE PROGRAM: PLANNING AND NEGOTIATION COOPERATIVE AGREEMENT

(Tribal Self Governance)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Self-Determination and Education Assistance Act (ISDEAA), Title V, Public Law 93-638, as amended by Tribal Self-Governance Amendments of 2000, Public Law 106-260, 25 U.S.C 458aaa.

OBJECTIVES:

To make financial assistance awards to Indian tribes to enable them to assume programs, functions, services, and activities (PFSA) (or portions thereof) with respect to which Indian tribes or Indians are primary or significant beneficiaries, administered by the Department of Health and Human Services (HHS) through the Indian Health Service (IHS). In preparation for additional tribes each fiscal year, the IHS will make Planning and Negotiation Cooperative Agreements available. The Planning Cooperative Agreements allow Tribes to gather information to determine the current types and extent of PFSA and funding levels available within its service areas and to plan for the types and extent of PFSA and funding to be made available to the tribe under a compact. The Negotiation Cooperative Agreements provide tribes with additional funding to negotiate Self-Governance compacts pursuant to Title V of the ISDEAA.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Establishing and operating programs to provide planning and negotiation resources to tribes interested in participating in the Tribal Self-Governance Program (TSGP) or expanding current Self Governance activity.

Applicant Eligibility:

For Planning Cooperative Agreements, any Federally-recognized tribe which (1) formally requests, through a governing body action, a Planning Cooperative Agreement for the purpose of preparing to enter the TSGP and (2) has furnished organization-wide single audit reports as prescribed by Public Law 96-502, the Single Audit Act of 1984, as amended, for the previous 3 years that contain no uncorrected significant and material audit exceptions. See OMB Circular No. A-133 (June 27, 2003 and June 26, 2007). For Negotiation Cooperative Agreements, in addition to the two criteria listed above, applicants must have successfully completed the planning phase as described at 42 C.F.R. 137.20.

Beneficiary Eligibility:

Federally-recognized Indian tribes and tribal organizations (as defined in 25 U.S.C. 450b(1)) may benefit.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The preferred method for receipt of applications is electronic submission through <http://www.Grants.gov>. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support by telephone at (800) 518-4726 or by electronic mail at support@grants.gov. Customer Support is available 24 hours a day, 7 days a week (except for Federal Holidays). If you require additional assistance please contact Ms. Tammy Bagley, Grants Policy Officer at (301) 443-5204 at least fifteen days prior to the application deadline. To submit an application electronically, please use the Internet Website located at <http://www.Grants.gov>. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. An electronic copy of a grant application submitted by electronic mail to the IHS will not be accepted.

Award Procedure:

Cooperative agreements are made directly by the IHS to the Indian tribal applicants, based on results of a competitive review process.

Deadlines:

Apr 16, 2010: est. June 2010. Contact the Headquarters Office listed below for deadline dates.

Range of Approval/Disapproval Time:

From 30 to 60 days. Within 45 days of IHS deadline.

Appeals:

From 30 to 60 days. Per 42 C.F.R. 137.416, decisions relating to the award of Cooperative Agreements may be appealed under 45 C.F.R. Part 5.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable. Method of awarding/releasing assistance: quarterly.

Reports:

Grantees must submit the reports consistent with the applicable deadlines. Failure to submit required reports within the time allowed may result in suspension or termination of an active grant, withholding of additional awards for the project, or other enforcement actions such as withholding of payments or converting to the reimbursement method of payment. Progress reports are required to be submitted semi-annually and within 30 days after the budget period ends. A final report must be submitted within 90 days of expiration of the budget/ project period. Financial status reports are required to be submitted semi-annually within 30 days after the budget period ends. Final financial status reports are due within 90 days of expiration of the budget/ project period. Federal cash transaction reports are due every calendar quarter to the Division of Payment Management (DPM), Payment Management Branch. Please refer to the DPM website (<http://www.dpm.psc.dov/>) for additional guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

DHHS and the Comptroller General of the United States, or any of their authorized representatives, shall have the right to access any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS award, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain accounting records 3 years after the end of the budget period of the award. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements) FY 09 \$164,979; FY 10 est \$840,000; FY 11 est \$840,000

Range and Average of Financial Assistance:

Range \$30,000 to \$75,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 1 Planning Award, 3 Negotiation Awards. Fiscal Year 2010: IHS estimates it will award approximately 16 (8 planning and 8 negotiation) awards, subject to the availability of funds. Fiscal Year 2011: IHS estimates it will award approximately 16 (8 planning and 8 negotiation) awards, subject to the availability of funds.

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Self-Determination and Education Assistance Act, Tribal Self-Governance Title V, Pub. L. 93-638, as amended. Pub. L. 106-260, 25 U.S.C. 458aaa (2000) and regulations codified at 42 C.F.R. Part 137.

Regional or Local Office:

None. Anna Old Elk, Program Analyst, Office of Tribal Self-Governance, Indian Health Service, 801 Thompson Avenue Suite 240, Rockville, Maryland 20852. Email: Anna.Oldelk@ihs.gov Phone: (301) 443-7821 Fax: (301) 443-1050. Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same number for FTS.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

For Planning Cooperative Agreements, the criteria are: Goals/Objectives; Methodology; Management of Health Program(s); Organizational Capabilities and Qualifications; and Budget Justification. For Negotiation Cooperative Agreements, the criteria are: Demonstration of Previous Planning Activities; Thoroughness of Approach, Project Outcome; and Administrative Capabilities.

93.445 INDIAN HEALTH SERVICE SANITATION FACILITIES CONSTRUCTION PROGRAM

IHS SFC Program

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

25 U.S.C. 13 Snyder Act, Public Law 83-568, Transfer Act, 42 U.S.C. 2001, Public Law 86-121, Indian Sanitation Facilities Act; and Title III of Public Law 94-437, Indian Health Care Improvement Act, as amended.

OBJECTIVES:

To provide essential sanitation facilities, such as safe drinking water and

adequate waste disposal systems, for Indian homes and communities. The IHS Sanitation Facilities Construction Program, an integral component of the IHS disease prevention activity, has carried out those authorities since 1960 using funds appropriated for Sanitation Facilities Construction to provide potable water and waste disposal facilities for AI/AN people. As a result, the rates for infant mortality, the mortality rate for gastroenteritis and other environmentally related diseases have been dramatically reduced, by about 80 percent since 1973. The IHS physicians and health professionals credit many of these health status improvements to IHS' provision of water supplies, sewage disposal facilities, development of solid waste sites, and provision of technical assistance to Indian water and sewer utility organizations. The provision of Indian sanitation facilities is a very important component of the overall effort required to achieve a reduction in infant mortality, a goal highlighted in Healthy People 2010 "The Year 2010 Objectives for the Nation. Safe drinking water supplies and adequate waste disposal facilities are essential preconditions for most health promotion and disease prevention efforts, as well as being a major factor in the quality of life of Indian people.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Sanitation Facilities Construction (SFC) program provides essential sanitation facilities including water supply, sewage and solid waste disposal facilities to American Indian and Alaska Native (AI/AN) homes and communities. The SFC budget supports Indian Health Service (IHS) health priorities by providing water supply, sewage, and solid waste disposal facilities to AI/AN homes and communities. These services will implement strategies/activities to address the health disparities that exist in these areas. The funds must be expended for the specific purpose outlined in the Public Law 86-121 Arrangements. The funds must be expended for the specific purpose outlined in the Public Law 86-121 Arrangements.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Projects are selected from a priority list.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Funds are not recurring.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Average project length is 4 years. Funds are non-recurring. Obligated funds are disbursed upon receipt of approved invoices. See the following for information

on how assistance is awarded/released: Funds are disbursed upon receipt and approval of invoices for work completed.

Reports:

No program reports are required. The Director of the SFC Program, sends a list to IHS Finance Office, which allots the specified amounts to Area Finance offices. Each Area SFC Program creates obligations for projects selected. The mechanism for allocating, allotting, obligating, invoicing, and paying is the Department's Unified Financial Management System (UFMS) which provides reports as required to those who have access to the system. IHS Finance provides weekly reports to the Department. Quarterly. No expenditure reports are required. Yes, by the appropriate IHS staff for the performance and financial reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Public Law 86-121 projects are subject to inspection and audits by HHS and other Federal government officials as required by applicable law or regulation.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a recipient, subrecipient, contractor, or subcontractor, that are pertinent to the project in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR 92.42, recipients are required to maintain project records 3 years after they submit their final expenditures report. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues arising from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0391-0-1-551.

Obligations:

(Provision of Specialized Services) FY 09 \$93,000,000; FY 10 est \$95,000,000; FY 11 est \$95,000,000

Range and Average of Financial Assistance:

\$7,000 to \$2,800,000. Average project cost was about \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The SFC Program manages over 4,800 active projects. In FY 2009, the SFC Program funded 322 projects to provide essential sanitation facilities to AI/AN homes. The SFC Program provided 16,907 AI/AN homes with water, sewage disposal, and/or solid waste water facilities. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Indian Health Manual Part 5 Chapter 2; Internal Agency Procedures-- Memorandum of Agreement Guidelines Working Draft June 2003 Version 1.01; Criteria for the Sanitation Facilities Construction Program Version 1.01; Sanitation Deficiency System Working Draft May 2003; Environmental Review Manual for Indian Health Service Indian Health Manual Part 5 Chapter 2; Internal Agency Procedures-- Memorandum of Agreement Guidelines Working Draft June 2003 Version 1.01; Criteria for the Sanitation Facilities Construction Program Version 1.01; Sanitation Deficiency System Working Draft May 2003; Environmental Review Manual for Indian Health Service Programs January 2007.

Regional or Local Office:

See Regional Agency Offices. see <http://www.ihs.gov/index.cfm?module=AreaOffices>.

Headquarters Office:

Division of Sanitation Facilities Construction, 12300 Twinbrook Parkway,

Suite 610, Rockville, Maryland 20852 Phone: (301) 443-1046.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 2009, the SFC Program funded 322 projects to provide essential sanitation facilities to AI/AN homes. The following are examples as required:

CA09M16, Cahuilla Individual Well Disinfect, \$9,000: Provide an ultraviolet disinfection system for a well for the Cahuilla Band of Mission Indians of the Cahuilla Reservation, California.

OK10Q97, Modoc/Ottawa Co. ind. water & sewer, \$73,800: Drill wells, replace water service lines, and install pumps and controls; provide four water service lines, meters, and taps; install septic tank and drainfields (STDF), replace STDF, replace sewer line; eight homes served for the Modoc Tribe of Oklahoma.

AL10101, UMU 2010 Housing, \$100,000: Provide individual water and wastewater facilities to 5 homes on the Ute Mountain Ute Indian Reservation, Colorado.

NS08RE9, Cher-Soco Crk Sewer Repl, \$278,000: Replace existing 12" sewer line with a minimum 36" from Wendy's at US 19 parallel to Cherokee outfall near Highway 441 at the Hungry Bear; Eastern Band of Cherokee Indians of North Carolina.

PH10E32, W&S facilities for 75 new houses, \$739,000: Community water and sewer services for 75 new houses built by the Gila River Pima Maricopa Indian Community, Arizona.

AN08NJ2, Selawik Island Water Storage Tank, \$2,664,000: Install a new treatment system in existing water treatment plant and flush and disinfect the existing water distribution lines in the Native Village of Selawik, Alaska. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected from a priority list mandated by Public Law 94-437, as amended, in consultation with the applicable Tribe. Contact the appropriate IHS Area Office referenced above or in Appendix IV for additional information.

93.448 FOOD SAFETY AND SECURITY MONITORING PROJECT

Food Safety and Security Monitoring Project

FEDERAL AGENCY:

Food and Drug Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Security and Bioterrorism Preparedness and Response Act of 2002, Public Law 107-188.

OBJECTIVES:

To complement, develop and improve State, Indian Tribal, and local food safety and security testing programs through the provision of supplies, personnel, facility upgrades, training in current food testing methodologies and participation in proficiency testing to establish additional reliable laboratory sample analysis capacity and analysis of surveillance samples. A new program will be included to complement, develop and improve State, Indian Tribal, and local food safety and security analyses of foods and food products related to radiological terrorism or other emergency situations through the provision of supplies, personnel, facility upgrades, training in current food testing methodologies, participation in proficiency testing to establish additional reliable laboratory sample analysis capacity, participation in method enhancement activities to extend analysis capability, and analysis of surveillance samples in the event of a large-scale radiological terrorism event

affecting foods or food products.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The grants and cooperative agreements are intended to expand participation in networks to enhance Federal, State, local and tribal food safety and security efforts. In addition to the promotion of a continuing, reliable capability and capacity for laboratory sample analyses of foods and food products for the rapid detection and identification of toxic chemicals, toxins or radiological terrorism affecting foods or food products. These funds cannot be used to fund or conduct food inspections for food safety regulatory agencies. They may not be used for new building construction, however remodeling of existing facilities is allowed, provided that remodeling costs do not exceed 25% of the grant. Funding restrictions are as noted in the Funding Opportunity Announcement and the Terms and Conditions of Award.

Applicant Eligibility:

These cooperative agreement and grants programs are only available to State, Local and Tribal government Food Emergency Response (FERN) laboratories.

Beneficiary Eligibility:

Ultimately the general population will be the beneficiary of more rapid and reliable food testing to enhance food safety efforts. The FERN laboratory network will also benefit from better testing methods, equipment and training.

Credentials/Documentation:

Applications must present a plan and furnish evidence that facilities, personnel and equipment are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms and procedures as required by 45 CFR 72, and as outlined in the Funding Opportunity Announcement (FOA) as published in the NIH Guide or Federal Register and as furnished by the FDA through grants.gov, must be used for this program. All new and competing continuations or competing supplemental research grants must be submitted through [Grants.gov](http://grants.gov). The application packages are posted under "APPLY" section of this announcement under <http://www.grants.gov>.

Award Procedure:

All accepted applications receive a scientific review for technical merit and a recommendation for approval by an appropriate initial Ad Hoc Review group. All approved and scored applications compete for available funds on the basis of score, scientific merit and program relevance. Geographic distribution will be a consideration in the award selection process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 90-120 days.

Appeals:

From 30 to 60 days.

Renewals:

From 90 to 120 days. Renewals are available. Procedures are the same as for new applications.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of support will depend on the nature of the project, program relevance and the availability of funds. For those projects with an expected duration of more than 1 year, future recommended support, up to five years will depend on: 1) performance during the preceding year and, 2) the availability of Federal funds. See the following for information on how assistance is awarded/released: Domestic awards are made available through the Payment Management System (PMS).

Reports:

Program reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Cash reports are not applicable. Progress Reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Expenditure reports are required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award. Performance monitoring is required as stated in the Funding Opportunity Announcement and the Terms and Conditions of Award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

All grant and cooperative agreement documentation must be retained for 3 years from the day on which the grantee submits the final financial status report for the project period. Financial and programmatic records, supporting documents, statistical records and all other records that are required by the terms of the grant or may be considered pertinent to a grant must be retained for 3 years from the date the grantee submits the final financial status report for the project period. See 45 CFR 74.53 and 92.42 for exceptions and qualification to the 3-year retention requirement. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

Account Identification:

75-0600-0-1-554.

Obligations:

(Cooperative Agreements) FY 09 \$4,400,000; FY 10 est \$4,400,000; FY 11 est \$4,400,000

Range and Average of Financial Assistance:

An average of \$350,000 total cost per award per year (direct and indirect costs combined) for up to five years as established in the Funding Opportunity Announcements, recommended by Ad Hoc Review and indicated in the Notice of Grant Award.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR Part 52-Grants for Research Projects; 45 CFR Part 74 - Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospital, Other Nonprofit Organizations and Commercial Organizations; and certain grants and agreements with States, Local Governments and Indian Tribal Governments; 45 CFR Part 92-Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments; the HHS Grants Policy Statement (most recent update) available at <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>; Executive Order 12372, Intergovernmental Review of Federal Programs as implemented through 45 CFR Part 100-Intergovernmental Review of Department of Health and Human Services Programs and Activities.

Regional or Local Office:

See Regional Agency Offices. See Headquarters Office below.

Headquarters Office:

Jennifer Gabb, Division of Federal-State Relations,, Office of Regulatory Affairs (ORA), Food and Drug Administration, HFC-140, 5600 Fishers Lane, Rm 1207, Rockville, Maryland 20857 Email: jennifer.gabb@fda.hhs.gov Phone: (301) 827-2899.

Website Address:

http://www.fda.gov/ora/fed_state/default.htm; or <http://www.grants.gov>.

RELATED PROGRAMS:

93.103 Food and Drug Administration_Research; 93.449 Ruminant Feed Ban Support Project

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be reviewed for responsiveness by the Grants Management staff and the Office of Regulatory Affairs (ORA). To be responsive, the application must be submitted in accordance with the program guidelines as published in the Funding Opportunity Announcement in the Federal Register Notice and/or NIH Guide. All responsive applications will undergo a programmatic/scientific review by an Ad Hoc Review committee. Each application will be scored and ranked based on the following criteria: a) adequacy of facilities, expertise of project staff, equipment, support services, commitment to analyze surveillance samples, and quality management practices needed for the project; b) expertise in the use of gas chromatography/mass spectrometry for the analysis of foods or animal tissues; c) expertise in the use of liquid chromatography/mass spectrometry for the analysis of foods or animal tissues; d) expertise in the use of inductively coupled plasma/mass spectrometry for the analysis of foods or animal tissues; e) expertise in the use of ELISA and other antibody based analyses for the identification of toxins in foods or animal tissues; f) current food or animal tissue analysis programs; g) the rationale and design to meet the goals of the cooperative agreement; h) quality control and quality assurance procedures and practices; i) abilities and procedures in place to recall personnel, establish extended work-weeks. All funding decisions will be made by the Commissioner of Food and Drug Administration or his designee.

93.451 THE LINKAGE TO LIFE PROGRAM: REBUILDING BROKEN BRIDGES FOR MINORITY FAMILIES IMPACTED BY HIV/AIDS.

L2L Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq., Title 17, Section 1707, 42 U.S.C 300u et seq.

OBJECTIVES:

The Linkage to Life Program seeks to: (1) demonstrate the effectiveness of a family-centered, integrated health and social service network approach to reducing HIV/AIDS incidence and improving health outcomes among high-risk minority populations in transition from domestic violence, incarceration, and substance abuse treatment; (2) address the health and social barriers that may contribute to HIV/AIDS incidence among high-risk, racial and ethnic minorities; and (3) assist in the prevention of generational cycles of behavior that increases risk of future HIV infection among dependent youth.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used to support projects or activities consistent with the mission of the Office of Minority Health of the U.S. Public Health Service, and that facilitate improvement in the HIV/AIDS health outcomes among high-risk minority populations in transition from domestic violence, incarceration, and substance abuse treatment. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

Applicant Eligibility: To qualify for funding, an applicant must: be a private non-profit community-based, minority serving organization with 5 years of experience providing comprehensive HIV/AIDS services to high-risk minority

populations. Additionally, applicants must document experience in extensive case management, and coordination of healthcare, social, or supportive services for minority populations (adults and youth) in transition from substance abuse treatment, domestic violence, and/or incarceration; and represent a family-centered integrated health and social services network that includes a variety of local healthcare, social and support service organizations, community-based organizations, and other applicable stakeholders to address gaps in services for high-risk minority populations in transition. At a minimum the HSSR Network must include four geographically proximal partners that includes: the applicant organization; a SAMHSA funded community-based substance abuse treatment, behavioral treatment, or recovery support program; a community-based clinical organization funded by HRSA to provide comprehensive HIV/AIDS treatment, service coordination, and case management; and a local social/support service organization (social and/or support services may include job placement, alternative education programs for youth, eligibility assistance for health coverage, transitional housing, food, transportation, etc.).

Beneficiary Eligibility:

The L2L Program places primary focus on high-risk minority populations in transition from domestic violence, incarceration, and substance abuse treatment; particularly families with dependent youth.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application kits for the L2L Program may be obtained by accessing Grants.gov at <http://www.grants.gov> or the GrantSolutions system at <http://www.grantsolutions.gov>. To obtain a hard copy of the application kit for this grant program, contact OPHS Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852; or by phone at 2404538822. A signed original and two copies of the application are to be sent to the Office of Grants Management, Office of Public Health and Science (OPHS), Department of Health and Human Services (DHHS) c/o Grant Application Center, 1515 Wilson Blvd., Suite 100, Arlington, VA 22209, no later than 5:00 p.m. Eastern Time on the due date. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the program announcement. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the Grants.gov Web Site Portal is encouraged. Information about these systems is available on the Grants.gov Web Site (<http://www.grants.gov>), or may be requested from the OPHS Office of Grants Management at (240) 453-8822. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application kits for the L2L Program may be obtained by accessing Grants.gov at <http://www.grants.gov> or the GrantSolutions system at <http://www.grantsolutions.gov>. To obtain a hard copy of the application kit for this grant program, contact OPHS Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852; or by phone at 2404538822. A signed original and two copies of the application are to be sent to the Office of Grants Management, Office of Public Health and Science (OPHS), Department of Health and Human Services (DHHS) c/o Grant Application Center, 1515 Wilson Blvd., Suite 100, Arlington, VA 22209, no later than 5:00 p.m. Eastern Time on the due date. Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the program announcement. Any applications submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. While applications are accepted in hard copy, the use of the

electronic application submission capabilities provided by the Grants.gov Web Site Portal is encouraged. Information about these systems is available on the Grants.gov Web Site (<http://www.grants.gov>), or may be requested from the OPHS Office of Grants Management at (240) 453-8822.

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Minority Health. After considering the recommendation of this panel, the Director of the Office of Minority Health makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Jul 02, 2010 to Aug 02, 2010 To be considered for review, applications must be submitted by the established due date. Applications not meeting the deadline will be considered late and will be returned to the applicant unread.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Approximately 90 to 120 days from the application deadline date.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Length and Time Phasing of Assistance: Grants can have a project period not to exceed 4 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: quarterly.

Reports:

Progress reports are required for semi-annually, a final performance report is due 90 days following the end of the project period. Cash reports are required for each cash withdrawal or transfer. Progress reports are required for each budget period. Financial Status Reports are due at the end of each budget period, and a final Financial Status Report report is due 90 days following the end of the project period. Grantees are required to use the PDS evaluation system. In addition to the required semi-annual progress reports, a final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. For State and local governments, audits are to be carried out in accordance with the provisions of OMB Circular No. A-133 or Federal laws and regulations of the programs in which they participate.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$2,840,000; FY 11 est \$2,840,000

Range and Average of Financial Assistance:

\$400,000 to \$475,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: None. Fiscal Year 2010: This is a new program. It is anticipated that up to 6 awards will be supported in fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Headquarters Office: Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-2882.

Program Contact: Ms. Sonsiere Cobb-Souza, Acting Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8444.

Grants Management Contact: Office of Grants Management, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.omhrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: None. Fiscal Year 2010: This is a new program. It is anticipated that funded projects will address the unmet needs of transitional racial and ethnic minority families at highest risk for HIV infection and/or living with HIV/AIDS and is expected to result in: increased access to a comprehensive continuum of HIV/AIDS treatment, primary healthcare, social, and supportive services; increased access to substance abuse and mental health treatment; increased access to HIV counseling, testing, and referral within transitional housing and substance abuse treatment programs; increased access to HIV counseling, testing, and referral for partners and dependent youth; improved adherence to a prescribed HIV treatment plan; improved health outcomes and survivability rates; decreased frequency of substance abuse relapses upon discharge from a treatment program; decreased recidivism rates at one year post-release from incarceration; increased leveraging and efficient use of resources and other assets through strategic partnerships; and increased capacity of communities to address social determinants of health and HIV risk. Fiscal Year 2011: This is a new program. It is anticipated that funded projects will address the unmet needs of transitional racial and ethnic minority families at highest risk for HIV infection and/or living with HIV/AIDS and is expected to result in: increased access to a comprehensive continuum of HIV/AIDS treatment, primary healthcare, social, and supportive services; increased access to substance abuse and mental health treatment; increased access to HIV counseling, testing, and referral within transitional housing and substance abuse treatment programs; increased access to HIV counseling, testing, and referral for partners and dependent youth; improved adherence to a prescribed HIV treatment plan; improved health outcomes and survivability rates; decreased frequency of substance abuse relapses upon discharge from a treatment program; decreased recidivism rates at one year post-release from incarceration; increased leveraging and efficient use of resources and other assets through strategic partnerships; and increased capacity of communities to address social determinants of health and HIV risk.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Program Announcement or can be obtained from the Program contact. Listed below are some criteria used to

review applications: 1) consistency of projects objectives relevant to the Program purpose and the federal Office of Minority Healths mission; 2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; 3) strength of proposed grant organizations management capability; 4) adequacy of qualifications and experience of proposed personnel; and 5) strength of analysis of potential impact or innovation the project proposes to generate.

93.452 HEALTH IMPROVEMENT FOR RE-ENTERING EX-OFFENDERS INITIATIVE (HIRE) HIV/AIDS

HIRE Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C. 300u et seq., 42 U.S.C 300u et seq.

OBJECTIVES:

The HIRE Program seeks to improve the HIV/AIDS health outcomes of ex-offenders re-entering the mainstream population (Re-entry Population) by supporting community-based efforts to ensure their successful transition from state or federal incarceration back to their communities. It is expected that applicants will ensure that ex-offenders returning to their communities from incarceration or detention are sufficiently prepared to: maximize their chances for successful reintegration; seek to eliminate the structural and medical barriers to reintegration that are necessary to preserve public safety; acknowledge the roles that families and communities play in the reentry process and ensure that they are sufficiently prepared to take on those responsibilities; and collect the data needed from pre-release and post-release prison medical reports to better evaluate the effectiveness of the project and assist ex-offenders affected by HIV/AIDS.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to be used to support projects or activities consistent with the mission of the Office of Minority Health of the U.S. Public Health Service, and that facilitate improvement in the HIV/AIDS health outcomes of ex-offenders re-entering the mainstream population. Funds are not to be used for the provision of health care treatment, for construction, or to supplant ongoing project activities.

Applicant Eligibility:

To qualify for funding, an applicant organization must: be located within one of the three targeted states of New York, Florida, or Texas and have a minimum of five years experience providing HIV/AIDS related health and support services and be a: private nonprofit community-based, minority-serving organization (see definitions) which addresses health, human, or correctional services; or public entity (local government) which addresses health, human or correctional services; or Tribal governmental entity which addresses health, human or correctional services; or faith-based organization which provides comprehensive pre-release, transitional or reentry services.

Beneficiary Eligibility:

The HIRE Program places primary focus on the reentry populations in the three targeted states, with special emphasis on the following reentry subpopulations: substance abusers, men who have sex with men, and individuals impacted by mental health disorders.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See published announcement of program.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Minority Health will make a final decision on funding projects and a Notice of Grant Award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants can have a project period not to exceed 3 years, with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are required annually. Cash reports are required for each cash withdrawal or transfer. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the Notice of Grant Award is issued. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period, and a final status report is due 90 days following the end of the project period. A Final Performance Report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$2,000,000; FY 10 est \$2,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

\$200,000 to \$250,000 75-0942.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. Ms. Sonsiere Cobb-Souza, Acting Director, Division of Program Operations, Office of Minority Health, Office of Public Health and Science, Office of the Secretary, Tower Building, Suite 600, 1101 Wootton Parkway, Rockville, Maryland 20852. Telephone: (240) 453-8444.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.omhrc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: This is a new program. It is anticipated that funded projects will increase access and utilization of data and data-related activities, improve coordination/alignment of health services through alliances and partnerships, and/or increase representation of American Indians and Alaska Natives in the health professional, paraprofessional, and health research fields. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Program Announcement or can be obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of projects objectives relevant to the Program purpose and the federal Office of Minority Healths mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organizations management capability; (4) adequacy of qualifications and experience of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

93.453 COALITION FOR A HEALTHIER COMMUNITY

Coalition for a Healthier Community (CHC)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title XVII, Section 1707 (e)(1), 42 U.S.C 300u et seq. , 42 U.S.C 300u et seq.

OBJECTIVES:

1. For Phase I, to provide 1 year of financial and technical support to an existing wellness coalition to develop a strategic action plan and implementation plan to reduce gender based health disparities in targeted communities.
2. Enhance collaborative working partnerships among health organizations, community based and faith based organizations, public and private academic institutions, hospitals, medical groups and practices.
3. Enhance consumer and provider awareness of gender-based health issues in the community;
4. Increase the capacity and strengthen infrastructure among local public health organizations to increase the coordination of services to better serve women and girls
5. For Phase II, to provide up to 5 years of financial and technical support for implementation of the strategic action health plan.
6. To develop and implement a health intervention plan which will be sustained after OWH funding ends.
7. To use a public health systems approach to improve on two or more identified health issues affecting women and/or girls.
8. Improve surveillance/information systems that allow tracking of program progress on gender based morbidity/ mortality objectives at the grantee level.
9. Reduce the incidence and prevalence of adverse health outcomes among women girls in local communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used to implement evidence-based strategies to program objectives. Funds may not be used for construction, building alterations, equipment, printing, food, and medical treatment.

Applicant Eligibility:

Eligible applicants include public and private non profit organizations (public and private academic institutions and hospitals); community-based and faith-based organizations, medical groups/practices and State, city, county, and local health departments, tribes and tribal organizations with expertise/experience in the proposed objectives. The applicant must be part of an existing coalition. At least a third of the partners should have demonstrated experience addressing gender differences through appropriate interventions, programs, or research related to the selected objectives.

Beneficiary Eligibility:

Women and girls across the life span.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications will be screened upon receipt. Those that are judged to be incomplete or arrive after the deadline will be returned without comment. Accepted applications will be reviewed for technical merit in accordance with PHS policies. Applications will be evaluated by a technical review panel composed of experts. Funding decisions will be made by the OWH and will take into consideration the recommendations and ratings of the review panel; recommendations made based on the pre-award site visit; program needs, stated preferences; and, geographic location.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For Phase I, project period is not to exceed 1 year.
For Phase II, project period is not to exceed 5 years. Method of awarding/releasing assistance: quarterly.

Reports:

The final program report is due 30 days after the close of the project period (August 31, 2010). Cash reports are required for each cash withdrawal or transfer. For Phase I, the applicant will submit quarterly progress reports on December 10, 2010, March 10, and June 10, 2011. The purpose of the progress reports is to provide accurate and timely program information to

program managers and to respond to Congressional, Departmental, and public requests for information about the program. The Financial Status Report will be due 90 days after the close of each 12-month budget period. The strategic action plan will be due on May 13, 2011 and the implementation plan will be due on July 16, 2011. The July 16, 2011 report will serve as the competing renewal application. An original and two copies of the competing renewal application must be submitted no later than July 16, 2011.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$1,600,000; FY 11 est \$4,000,000

Range and Average of Financial Assistance:

For Phase I, the OWH anticipates making a 1 year award of a \$100,000 (direct and indirect) starting September 1, 2010, and ending August 31, 2011. For Phase II, the OWH anticipates making competitive continuation awards available for up to 5 years for implementation ranging from 300,000-400,000 total cost (indirect and direct). For Phase II, the number of awards made is contingent upon satisfactory progress of Phase I the quality and type of applications received, the amount of funds requested by the applicants and the availability of Federal funds.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None. Ms. Stephanie Alexander
DHHS, OWH, DPM
Hubert Humphrey Building, 733E
200 Independence Avenue, SW
Washington, DC 20201
Phone : (202) 401-9546
Fax: (202) 401-4005
Email: stephanie.alexander@hhs.gov.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.womenhealth.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

To be considered for review, applications must be received by the Office of Public Health and Science, Office of Grants Management, c/o LCG by 5:00 p.m. Eastern Time on the due date published in the Dates section of the FR. Applications will be screened upon receipt. Those that are judged to be in compliance will be reviewed for technical merit by a technical panel composed

of experts with experience with sex and gender programs, program management, program delivery, outreach, health education and program assessment in accordance with DHHS policy. The technical review of the applications will consider the following factors for Phase I:

Factor 1: Background and Summary (10 points)

Factor 2: Coalition and Capacity Plan (20 points)

Factor 3: Management Plan (25 points)

Factor 4: Target Population (15 points)

Factor 5: Evaluation Plan (20 points)

Factor 6: Sustainability Plan (10 points)

The technical review of the applications will consider the following factors for Phase II:

Factor 1: Background and Need (20 points)

Factor 2: Implementation Plan (25 points)

Factor 3: Management Plan (20 points)

Factor 4: Evaluation Plan (20 points)

Factor 5: Sustainability Plan (15 points)

Details regarding the elements to be addressed within each Factor are available in the FR notice.

93.500 PREGNANCY ASSISTANCE FUND PROGRAM

Support for Pregnant and Parenting Teens and Women

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act PL: 111-148 SEC. 10212 - 10214.

OBJECTIVES:

Competitive Grants to States to assist pregnant and parenting teens and women.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

A State may use amounts received under a grant to make funding available to eligible high schools (grades 7-12), community service center, and institutions of higher education to establish, maintain or operate pregnant and parenting students. Funds may be used to supplement, not supplant, existing funding for such services. A State may also make funds available to its Attorney General to assist in providing services for eligible pregnant women who are victims of domestic violence, sexual violence, sexual assault, or stalking, or to provide technical assistance to other organizations. States may also use funds for public awareness and education concerning services available to pregnant and parenting teens and women, in keeping with the intent of the Fund.

Applicant Eligibility:

A State may apply for a grant. The term State includes the District of Columbia, any commonwealth, possession, or other territory of the United States, any Indian tribe or reservation.

Beneficiary Eligibility:

Pregnant and Parenting Teens and Women.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The Governor(s) of the State(s) in which the project is to be located is to be given 60 days in which to review and comment on applications for funding under this authority. An applicant should consult the office or official designated as the single point of contact in the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the Public Health Systems Reporting Requirements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The announcement for this grant opportunity may be found on www.Grants.gov the application forms and guidelines are available for online submission or for downloading and submitting on paper (hardcopy). If assistance is needed, please contact the OPHS Office of Grants Management, Grant Application Center: Telephone: (240) 453-8822(OGM) or 1-888-203-6161(GAC). Submitted Applications are screened upon receipt for completeness, responsiveness and conformance to the program announcement. Those applications judged to be unacceptable based on this initial screening will be returned. Applications may only be submitted electronically via the electronic submission mechanisms specified in the announcement (Grants.gov).

Any application submitted via any other means of electronic communication, including facsimile or electronic mail, will not be accepted. While applications are accepted in hard copy, the use of the electronic application submission capabilities provided by the Grants.gov website portal or the OPHS Grant Solutions system is encouraged.

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Adolescent Health. After considering the recommendation of this panel, the Director of the Office of Adolescent Health makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. An eligible institution of higher education that receives funding shall contribute an amount from non-Federal funds equal to 25 percent of the amount of the funding provided. The non-Federal share may be in cash or in-kind, fairly evaluated, including services, facilities, supplies or equipment. The non-Federal contribution does not apply to eligible high schools and community service organizations. This program has MOE requirements, see funding agency for further details. See program announcement for details.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: quarterly.

Reports:

Please see Notice of Grant Award for detailed requirements. Quarterly Cash Reports are required to be filed with the Division of Payment Management. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period, and a final status report is due 90 days following the end of the project period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$25,000,000; FY 11 est \$25,000,000

Range and Average of Financial Assistance:

Range: \$50,000-\$500,000; average \$200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

HHS Grants Policy Statement is available online:
<http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Regional or Local Office:

See Regional Agency Offices. Program Contact: Department of Health and Human Services, Office of Public Health and Science, Office of Adolescent Health; Ms. Evelyn Kappeler; 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-2800. Grants Management Contact: Department of Health and Human Services, Office of Public Health and Science, Office of Grants Management 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8449.

Website Address:

<http://www.hhs.gov/oah>

RELATED PROGRAMS:

93.297 Teenage Pregnancy Prevention Program; 93.995 Adolescent Family Life_Demonstration Projects

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain prevention services requirements specified in the statute. Priority will be given to applicants who: (1) Serve an area (a) where there is a high incidence of adolescent pregnancy, (b) where the incidence of low-income families is high, and (c) where the availability of programs for adolescents as specified in this act is low; (2) show evidence (a) for care services, of ability to deliver wide range of required services on single-site or network basis, or (b) for prevention services, ability to provide services targeted to population; (3) will utilize to maximum extent possible (a) existing programs and facilities, and (b) other sources of funding; (4) can demonstrate community commitment to the project; (5) have involved community in developing the project; (6) will demonstrate replication and innovative programs; and (7) demonstrate the reasonableness of the estimated cost considering the

anticipated results.

93.501 AFFORDABLE CARE ACT (ACA) GRANTS FOR SCHOOL-BASED HEALTH CENTER CAPITAL EXPENDITURES SBHCC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act of 2010, Title IV, Section 4101, Public Law 111-148.

OBJECTIVES:

The goal of the program is to award funds made available by the Patient Protection and Affordable Care Act (Health Reform) that will support school-based health center efforts to expand capacity to provide primary healthcare services to school-aged children. This competitive funding opportunity will be available to new and existing school-based health centers to address significant and pressing capital improvement needs, including: construction, repair, renovation, and the purchase of equipment.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

An eligible entity shall use funds provided under an awarded grant only for expenditures for facilities (including the acquisition or improvement of land, or the acquisition, construction, expansion, replacement, or other improvement of any building or other facility), equipment, or similar expenditures. No funds provided shall be used for expenditures for personnel or to provide health services. See above and funding opportunity announcement.

Applicant Eligibility:

To be eligible for a grant under this subsection, an entity shall be a school-based health center or a sponsoring facility of a school-based health center.

A school-based health center is defined as a health clinic that:

1. Is located in or near a school facility of a school district or board of an Indian tribe or tribal organization;
2. Is organized through school, community, and health provider relationships;
3. Is administered by a sponsoring facility;
4. Provides through health professionals primary health services to children in accordance with State and local law, including laws relating to licensure and certification; and
5. Satisfies such other requirements as a State may establish for the operation of such a clinic.

A sponsoring facility includes any of the following:

1. A hospital
2. A public health department
3. A community health center
4. A non-profit health care agency
5. A school or school system
6. A program administered by the Indian Health Service or the Bureau of Indian Affairs or operated by an Indian tribe or a tribal organization.

Beneficiary Eligibility:

Beneficiary Eligibility: School-based health centers or a sponsoring facility of a school-based health center.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preamplification Coordination:

The National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. 4321

(Public Law 91-190, Section 2, January 1, 1970, 83 Stat., 852), including Public Disclosure, Section 102 of NEPA, and E.O. 11514, requires Federal agencies to assess the environment impacts of major Federal actions, including construction projects supported in whole or in part through Federal contracts, grants, subsidies, loans, or other forms of funding assistance. HRSA requires that applicants provide information on anticipated environmental impact as part of their applications. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines are included in the Funding Opportunity Announcement. You may also contact the headquarters for application deadlines or www.hrsa.gov.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for 2 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web-based payment system for HHS awards.

Reports:

A progress report must be submitted quarterly. A final progress report and final Federal Financial Report (FFR) must be submitted within 90 days after the end of the project period. See below. A progress report must be submitted quarterly.

A final progress report and final Federal Financial Report (FFR) must be submitted within 90 days after the end of the project period. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before

the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

07-0352-0-1-035 - TAFS number 75-0352/x.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$50,000,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

\$25,000 to \$350,000; \$250,000.

It is anticipated that approximately 1,000 applications will be received and 350 awards will be made in fiscal year 2011.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Matthew Kozar, Public Health Analyst, Office of Policy and program Development, Bureau of Primary Health Care, Health Resources and Services Administration, Room 17C-26, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1034, and e-mail address mkozar@hrsa.gov.

Headquarters Office:

Matthew Kozar, Office of Policy and Program Development, Bureau of Primary Health Care, Health Resources and Services Administration, Room 17C-26, Parklawn Building, 5600 Fishers Lane, Rockville, Maryland 20857 Email: mkozar@hrsa.gov Phone: (301) 443-1034.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The types of projects that HRSA anticipates funding will consist of, but not limited to the following:

- New construction/addition to an existing or new site;
- Renovation of an existing or newly acquired site;
- Minor modifications/repairs to an existing site;
- The purchase and installation of modular units;
- The purchase of mobile vans; and
- Purchase of moveable equipment. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant addresses the Review Criteria outlined in Section V of the funding opportunity announcement.

93.502 AFFORDABLE CARE ACT (ACA) INFRASTRUCTURE TO EXPAND ACCESS TO CARE

IEAC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title X, Section 10502 of the Patient Protection and Affordable Care Act of

2010 (Public Law 111-148).

OBJECTIVES:

Grants are awarded to meet the cost of projects for debt service on, or direct construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services. The facility must be affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school. The Governor must certify that: (1) the new health center is critical for the greater access to care in the State; (2) the new health center is essential for the continued viability of the medical and dental school and its academic health center; (3) the Federal support is up to 40 percent of the total cost of the project; and (4) the State has an established funding mechanism to provide the remaining funds to complete the project.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds must be used for debt service on or the construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services. The facility must be affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school. See answer provided above under item 70. Funding is available for debt service on, or direct construction or renovation of a health care facility. The Federal support is up to 40 percent of the total cost of the project and the State must have an established funding mechanism to provide the remaining funds to complete the project.

Applicant Eligibility:

Institutions of Higher Education. Eligible applicants must have a project that requires debt service on, or direct construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services. The project must be affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school.

Beneficiary Eligibility:

Institutions of Higher Education, General Public.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. The Governor must certify that: (1) the new health center is critical for the greater access to care in the State; (2) the new health center is essential for the continued viability of the medical and dental school and its academic health center; (3) the Federal support is up to 40 percent of the total cost of the project; and (4) the State has an established funding mechanism to provide the remaining funds to complete the project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The National Environmental Policy Act of 1969 (NEPA), 42 U.S.C. 4321 (Public Law 91-190, Section 2, January 1, 1970, 83 Stat. 852), including Public Disclosure, Section 102 of NEPA, and E.O. 11514, requires Federal agencies to assess the environment impacts of major Federal actions, including construction projects supported in whole or in part through Federal contracts, grants, subsidies, loans, or other forms of funding assistance.

HRSA requires that applicants provide information on anticipated environmental impact as part of their applications. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program

official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 40%. 40 percent Federal support and 60 percent State funding mechanism to provide remaining funds to complete the project.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grantee will be given 5 years to complete the project and drawdown the funds from the Payment Management System (PMS). See the following for information on how assistance is awarded/released: Grantee will drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantee must submit quarterly progress and financial reports on the status of the project. No cash reports are required. Grantee must submit quarterly progress and financial reports on the status of the project. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the FFR. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - TAFS 75-0950-10/11.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$99,900,000

Range and Average of Financial Assistance:

One award will be made (\$100,000,000).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program not offered in FY 09. Fiscal Year 2010: FOA developed and announced. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at: <http://www.hrsa.gov/grants/hhsgrantspolicy.pdf>.

Regional or Local Office:

See Regional Agency Offices. David Colwander, Chief, Facilities Monitoring Branch, Division of Facilities Compliance and Recovery, Healthcare Systems Bureau, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 10-105, Rockville, MD, 301 443-5656.

Headquarters Office:

David Colwander, 5600 Fishers Lane, Room 10-105, Rockville, Maryland 20857 Phone: (301) 443-5656.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by an Objective Review Committee to determine the extent to which the applicant documents the following, such as: (1) the project is for debt service on, or direct construction or renovation of a health care facility that provides research, inpatient tertiary care, or outpatient clinical services; (2) the facility is affiliated with an academic health center at a public research university that contains a States sole public academic medical and dental school; (3) the Governor certifying that: (a) the new health center is critical for the greater access to care in the State; (b) the new health center is essential for the continued viability of the medical and dental school and its academic health center; (c) the Federal support is up to 40 percent of the total cost of the project; and (d) the State has an established funding mechanism to provide the remaining funds to complete the project.

93.503 AFFORDABLE CARE ACT (ACA) NURSING AND HOME HEALTH AIDES TRAINING PROGRAM

NAHHA

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 831, 42 U.S.C. 296p, as amended by the Patient Protection and Affordable Care Act (Public Law 111-148); Conference Report, H. Rept. 111-366, Division D, Title II at 997 (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

To train nurse and home health aides to provide care in complex health care environments. These training programs could be offered on-campus, at alternate sites, and through distance education methodologies and could enable the aides to receive college credits for future advancement opportunities. The program would ensure that we have competent nursing and home health aides with acquire skills that would be transportable to any job market in the Nation.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Infrastructure training grants are awarded to eligible applicant organizations for project to strengthen and enhance the capacity of nursing and home health aide training programs. This will enable individuals to enter into a nursing or home

health aide position and gain entry into the nursing career ladder. Funds may be used for the development, testing, and demonstration of training programs for nursing home aides and home health aides on-campus, at alternate sites, and through distance education methodologies. Restricted Uses: Indirect costs under training grants to organizations other than state, local or Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Grants may be made to colleges or community-based training programs.

Beneficiary Eligibility:

Beneficiary Eligibility: Students, the general public, etc.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. For application deadline, refer to funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the last year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Status Reports

1. Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year.

2. Submit Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHP Pr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks (EHBs) system at <https://grants.hrsa.gov/webexternal/home.asp>.

3. Submit a Final Report.

All BHP Pr grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. See below. See above for additional information. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System (PMS). The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-550 - (75-321-2010).

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$2,605,000; FY 11 est not reported. - Newly created program in FY 2010.

Range and Average of Financial Assistance:

Up to \$250,000 per grantee; Approximately 10 new awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Renata Thompson, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration,

Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-6193.

Headquarters Office:

Renata Thompson, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857 Phone: (301) 443-6193.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement. In making awards of grants under this section, preference will be given to any qualified applicant with a project that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State in local health departments.

93.504 AFFORDABLE CARE ACT (ACA) FAMILY TO FAMILY HEALTH INFORMATION CENTERS

F2F HICs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 501(c)(1)(A)(iii), (42 USC 702) as amended; the Patient Protection and Affordable Care Act of 2010, Section 5507 (P.L. 111-148).

OBJECTIVES:

To carry out special maternal and child health (MCH) projects of regional and national significance for the development and support of Family to Family Health Information Centers. The Family to Family Health Information Centers (A) assist families of children with disabilities or special health care needs to make informed choices about health care in order to promote good treatment decisions, cost-effectiveness, and improved health outcomes for such children; (B) provide information regarding the health care needs of, and resources available for, such children; (C) identify successful health delivery models for such children; (D) develop with representatives of health care providers, managed care organizations, health care purchasers, and appropriate State agencies, a model for collaboration between families of such children and health professionals; (E) provide training and guidance regarding caring for such children; (F) conduct outreach activities to the families of such children, health professionals, schools, and other appropriate entities and individuals; and (G) are staffed by such families who have expertise in Federal and State public and private health care systems; and by health professionals.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for carrying out the approved project in accordance with Section 501(c)(A) of the Social Security Act.

Applicant Eligibility:

Eligible applicants for the Family to Family Health Information Centers program include any public or private entity, faith-based or community-based organization that can document previous experience to address and fulfill review criteria in the guidance. Only organizations that are staffed by families in the 50 States and the District of Columbia are eligible. Applicants must have experience related to the purpose of the program, must have a focus on health for children and youth with special health care needs (CYSHCN) (as defined by the MCH Bureau), and the effort must be family-run. If an applicant is a family organization with a history of funding that is condition specific or related to

education, mental health or developmental disabilities, as with any applicant, evidence of health for the broad CYSHCN population must be evident in their application. If a different fiduciary agency is needed, such as a university, activities must still be family-run for the entire grant cycle.

Beneficiary Eligibility:

Projects will benefit (1) public or private agencies, organizations and institutions engaged in activities for CYSHCN; (2) family members and children who receive services through the program; and (3) professionals and trainees who provide services to CYSHCN.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory continuation application.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, annual program service reports, and special reports as required. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Annual financial reports and annual narrative progress reports. Submit a Financial Status Report (FSR or SF-269) directly to HRSA. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed semi-annually or annually depending on the terms and conditions of award.

Failure to submit the report may result in the inability to access grant funds. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - TAFS: 75-0350X.

Obligations:

(Salaries) FY 09 \$5,000,000; FY 10 est \$5,000,000; FY 11 est \$5,000,000 - Budget Fiscal Year: 2012 estimate \$5,000,000.

Range and Average of Financial Assistance:

\$95,700.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 51 projects funded for activities supported under this CFDA. Fiscal Year 2010: 51 projects funded for activities supported under this CFDA. Fiscal Year 2011: 51 projects funded for activities supported under this CFDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Associate Administrator for Maternal and Child Health, Health Resources and Services Administration, Department of Health and Human Services, Room 18-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2170.

Headquarters Office:

Not Applicable, 5600 Fishers Lane, Room 18-05, Rockville, Maryland 20857 Phone: (301) 443-2170.

Website Address:

No Data Available

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.127 Emergency Medical Services for Children; 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth; 93.234 Traumatic Brain Injury State Demonstration Grant Program; 93.251 Universal Newborn Hearing Screening; 93.365 Sickle Cell Treatment Demonstration Program; 93.418 ARRA - Emergency Medical Services for Children; 93.419 ARRA - Maternal and Child Health (MCH) Pediatric Research Network Program; 93.926 Healthy Start Initiative; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.505 AFFORDABLE CARE ACT (ACA) MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAM

ACA Home Visiting Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Legislative Authority: Social Security Act, Title V, Section 511 (42 U.S.C. 701), as amended by the Patient Protection and Affordable Care Act of 2010 (PPACA) (P.L. 111-148).

OBJECTIVES:

The Maternal, Infant, and Early Childhood Home Visiting Program is designed : (1) to strengthen and improve the programs and activities carried out under Title V; (2) to improve coordination of services for at risk communities; and (3) to identify and provide evidence-based home visiting programs to improve outcomes for families who reside in at risk communities.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Assistance can potentially be used for conducting State needs assessments, developing State Plans for home visiting programs and systems, and enhancing States infrastructure for improving coordination of services for at-risk communities and identifying and providing comprehensive services to improve outcomes for families who reside in at-risk communities, as well as for implementing State home visiting service programs. A portion of the funding may not be drawn down until the Needs Assessment and Updated State Plan are submitted and approved. 100%.

Applicant Eligibility:

Eligibility for funding is limited to a single application from each State, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Northern Mariana Islands, and American Samoa. The Governor has the responsibility and authority to designate which entity or group of entities will apply for and administer home visiting program funds on behalf of the State or US Territories.

Regardless of the entity or entities designated by the Governor, this application must contain the concurrence and signatures of the:

- Director of the States Title V agency;
- Director of the States agency for Title II of CAPTA;
- Director of the States Single State Agency for Substance Abuse Services;
- Director of the States Head Start State Collaboration Office.

Beneficiary Eligibility:

- Eligible families residing in communities in need of such services, as identified in a State needs assessment
- Low-income eligible families
- Eligible families who are pregnant women under age 21
- Eligible families with a history of child abuse or neglect or have had interactions with child welfare services
- Eligible families with a history of substance abuse or need substance abuse treatment
- Eligible families that have users of tobacco products in the home
- Eligible families that are or have children with low student achievement
- Eligible families with children with developmental delays or disabilities
- Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US

Eligible family:

- o A woman who is pregnant, and the father of the child if available, or
- o A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Contact the Headquarters or Regional Office as appropriate for application deadlines or view the specific funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period.

Formula and Matching Requirements:

- This program has no statutory formula.
- This program has no matching requirements.
- This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded to an eligible entity for a fiscal year remain available for expenditure through the end of the second succeeding fiscal year after award. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Additional information about the availability of funding will be provided in the funding opportunity announcement.

Reports:

Grantees are required to submit progress and financial reports on the status of the project using the Electronic Handbooks System (<https://grants.hrsa.gov/webexternal/home.asp>). Refer to the specific funding opportunity announcement for further information. Submit a quarterly electronic Federal Financial Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Successful applicants receiving funding will be required, within 90 days from the end of the project period, to electronically complete the program specific data forms. The requirement includes providing expenditure data for the final year of the project period, the project abstract and award summary data as well as final indicators/scores for the performance measures. A Financial Report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year. It must be submitted on-line by grantees in the HRSA Electronic

Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. More specific information will be included in the award notice. It must be submitted on-line by grantees in the HRSA Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0321-0-1-550 - TAFS: 75-0321/2010.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$88,000,000; FY 11 est \$227,000,000

Range and Average of Financial Assistance:

\$500,000 - \$7,782,987.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Audrey M. Yowell, PhD, MSSS; Health Resources and Services Administration; Maternal and Child Health Bureau; 5600 Fishers Lane, 18A-39; Rockville MD 20857; (301) 443-4292; ayowell@hrsa.gov.

Headquarters Office:

Audrey M. Yowell, 5600 Fishers Lane, 18A-39, Rockville, Maryland 20857
Email: ayowell@hrsa.gov Phone: (301) 443-4292.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

In FY2010, awards will be made on a formula basis. All applications will be reviewed internally by grants management officials (business and financial review) and program staff (technical review) for eligibility, completeness, accuracy, and compliance with the requirements outlined in this announcement. The program review will include the States response to the Program Narrative section of the funding opportunity announcement. Of particular concern will be:

o The capacity and commitment of the entity designated by the Governor to administer an evidence-based home visiting program under the Maternal, Infant, and Early Childhood Home Visiting Program.

o The clarity and feasibility of the States approach to conducting and submitting the needs assessment and the degree to which this approach complies with the specific requirements for an application under this FOA, as outlined above and in accordance with section 511(b) of Title V, as amended by the PPACA.

o The clarity of the States plan for ensuring coordination and collaboration among entities and stakeholders.

o The clarity of the States description of anticipated technical assistance needs.

93.506 ACA NATIONWIDE PROGRAM FOR NATIONAL AND STATE BACKGROUND CHECKS FOR DIRECT PATIENT ACCESS EMPLOYEES OF LONG TERM CARE FACILITIES AND PROVIDERS FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Title VI, Subtitle B, Part III, Subtitle C, Section 6201 of the Health Care Reform Patient Protection and Affordable Care Act, Title VI, Part III, Section 6201, Public Law 111-148.

OBJECTIVES:

The program is intended to establish a nationwide program to identify efficient, effective, and economical procedures for long term care facilities and providers to conduct background checks on a statewide basis on all prospective direct patient access employees.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

CMS is conducting a nationwide program that will identify efficient, effective and economical procedures for long term care facilities and providers to conduct background checks on prospective direct patient/resident access employees. In order to participate in this nationwide program, a State must guarantee that it will make available non-Federal funds to cover a portion of the cost to be incurred by the State to carry out the program in their State.

Applicant Eligibility:

CMS is inviting proposals from all States and U.S. territories to be considered for inclusion in this National Background Check Program which will be in effect through 2012. Federal matching funds are available to all States and U.S. territories.

Beneficiary Eligibility:

Beneficiary Eligibility: These facilities and providers include skilled nursing facilities, nursing facilities, home health agencies, hospice care providers, long-term care hospitals, personal care service providers, adult day care providers, residential care providers, assisted living facilities, intermediate facilities for the mentally retarded (ICFs/MR) and other entities that provide long-term care services, as specified by each participating State.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Participating States must guarantee non-Federal funds to cover a portion of the cost to conduct the program in their State. CMS will provide a three-to-one match for these funds to each grantee. The participating State must require fingerprint checks as part of the criminal background check for all direct patient access employees. The participating State must have a plan to implement the program (a) statewide and (b) in all long term care entities specified in Section 6201 of the ACA, although the State may phase-in the

program over a multi-year period, and the phase-in may be accomplished by geographical location, provider type, or other factors determined by the State.

Award Procedure:

This is not a competitive grant. All applying States that provide an application that is scored by the Federal technical panel at 69.5 points or greater shall receive a grant. Applications that score below 69.5 points will not be included as grantees.

Funding for this program shall become effective upon CMS approval application. Grants will be awarded to every State that meets the qualifications and terms of agreement described in this solicitation. This includes providing a satisfactory application that meets all provisions of the Law and scores at least 69.5 points or greater (out of 100) from the Federal technical panel review. Grantees may expect to begin receiving funds within 30 days from the Notice of Grant Award.

Deadlines:

Jun 01, 2010 to Aug 01, 2010 Grant applications are due to CMS by August 1st 2010.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: (A) NEWLY PARTICIPATING STATES.

(i) IN GENERAL.As part of the application submitted by a State under paragraph (1)(A)(iii), the State shall guarantee, with respect to the costs to be incurred by the State in carrying out the nationwide program, that the State will make available (directly or through donations from public or private entities) a particular amount of non-Federal contributions, as a condition of receiving the Federal match under clause (ii).

(ii) FEDERAL MATCH.The payment amount to each State that the Secretary enters into an agreement with under paragraph (1)(A) shall be 3 times the amount that the State guarantees to make available under clause (i), except that in no case may the payment amount exceed \$3,000,000.

(B) PREVIOUSLY PARTICIPATING STATES.H. R. 3590607

(i) IN GENERAL.As part of the application submitted by a State under paragraph (1)(B)(iii), the State shall guarantee, with respect to the costs to be incurred by the State in carrying out the nationwide program, that the State will make available (directly or through donations from public or private entities) a particular amount of non-Federal contributions, as a condition of receiving the Federal match under clause (ii).

(ii) FEDERAL MATCH.The payment amount to each State that the Secretary enters into an agreement with under paragraph (1)(B) shall be 3 times the amount that the State guarantees to make available under clause (i), except that in no case may the payment amount exceed \$1,500,000 .

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

36 months. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must also agree to respond to requests that are necessary for the evaluation of the National Background Check Program grant efforts to satisfy the statutory requirements for the Federal evaluation enumerated in Section 6201 of the ACA and provide data on key elements of their Background Check Program grant activities. This will include detailed information on the number of background checks requested by various providers, information gathered in the course of background checks, employment decisions made based on this information and their rationale, whether prospective employees challenge the results of adverse decisions and the outcomes of these challenges. It will also include cost accounting information of sufficient quality to allow reliable calculation of the costs of the various components of the background checks programs. Grantees must agree to fully cooperate with any Federal evaluation

of the program and provide quarterly or semi-annually any required program progress and financial reports in a form prescribed by CMS (including the SF-269a, Financial Status Report forms). These reports will be designed to outline how grant funds were used and to describe program progress, as well as barriers and measurable outcomes. CMS will provide a format for reporting. No progress reports are required. No expenditure reports are required. Following the awarding of the grants, grantees must submit an operational protocol. The operational protocol must describe in detail the policies and procedures that the State will follow during the program period. The operational protocol should detail the responsibilities of the providers included in the program; the State government personnel and any additional responsible parties (e.g., contractors) involved in the program. Those who receive grant awards will be provided further detail as to the expected contents of the operational protocol prior to or during the first grantee meeting.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

No Data Available.

Account Identification:

75-0511-0-1-550.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$35,000,000; FY 11 est \$91,000,000 - \$144 million obligated for the 36 month period . FY 2010 \$35 million; FY 2011 \$91 million; FY 2012 \$18 million.

Range and Average of Financial Assistance:

\$1.5 to \$3 million per State per year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Cost Principles, the HHS Grant Policy Statement, OMB Cost Principles, Request for Application (RFA) and Terms and Conditions of Award.

Regional or Local Office:

See Regional Agency Offices. Debra Spears CMCS/SCG/DNH.

Headquarters Office:

Debra Spears 7500 Security Blvd., Baltimore, Maryland 21244 Email: Debra.Spears@cms.hhs.gov Phone: 410-786-7506

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) AGREEMENTS. H. R. 3590604

(A) NEWLY PARTICIPATING STATES.The Secretary shall enter into agreements with each State

(i) that the Secretary has not entered into an agreement with under subsection (c)(1) of such section 307;

(ii) that agrees to conduct background checks under the nationwide program on a Statewide basis; and

(iii) that submits an application to the Secretary containing such information and at such time as the Secretary may specify.

(B) CERTAIN PREVIOUSLY PARTICIPATING STATES.The Secretary shall enter into agreements with each State

- (i) that the Secretary has entered into an agreement with under such subsection (c)(1), but only in the case where such agreement did not require the State to conduct background checks under the program established under subsection (a) of such section 307 on a Statewide basis;
- (ii) that agrees to conduct background checks under the nationwide program on a Statewide basis; and
- (iii) that submits an application to the Secretary containing such information and at such time as the Secretary may specify .

93.507 STRENGTHENING PUBLIC HEALTH INFRASTRUCTURE FOR IMPROVED HEALTH OUTCOMES

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Act, Section 301 and 317, 42 U.S.C 241 and 247b ; Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002.

OBJECTIVES:

This funding was appropriated under the Patient Protection and Affordable Care Act (ACA) (Public Law 111-148). The Prevention and Public Health Fund (Title IV, Section 4002) was established under this legislation to provide for expanded and sustained national investment in prevention and public health programs to improve health and help restrain the rate of growth in private and public sector health care costs. This program will help State, Tribal, Local and U.S. Territories sustain and build a strong core public health infrastructure that is prepared for responding to both acute and chronic threats relating to the Nations health such as emerging infections, disparities in health status, increase in chronic disease and injury rates. This program has two components; Graduated Based Funding for Public Health Transformation (Component I) and Enhanced Funding for Public Health Transformation (Component II). This funding is to advance any or all of the key areas for infrastructure investment and will help ensure successful implementation of ACA, specifically by:

Improving the public health workforce (e.g., e-learning, other training, and fellowship programs); vital statistics system (electronic birth and death registration); food and water borne disease identification and prevention, prevention of hospital acquired infections, electronic health record/IT systems, communications systems and processes (e.g. information syndication and social media capacity), laboratory and epidemiologic capacity.

Developing information systems to support adoption, implementation, enforcement, and/or evaluation of public health legislation, codes, rules, regulations, ordinances, and other policies.

Improving public health system development/redevelopment by building or reengineering of infrastructure to improve networking, coordination, standardization, and centralization of public health services to effectively and efficiently address priority health indicators.

Implementing best healthcare practices and impact evaluation

Improving information and data system capacity through policy/communication for standardized data collection and analysis systems, information management technology, information dissemination, and meaningful data use and translation

Improving organizational capacity to effectively and efficiently use resources such as fiscal agents, and intermediaries.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to strengthen State, Tribal, Local, and Territorial public health core infrastructure. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Eligible applicants include all 50 states, Washington, D.C., 9 large local health departments supporting cities with populations of 1 million or more inhabitants (Chicago, Illinois; Dallas, Texas; Houston Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania; Phoenix, Arizona; San

Antonio, Texas; San Diego, California), 5 U.S. Territories 3 U.S. Affiliated Pacific Islands, and up to 7 federally-recognized tribes with an established public health departments structure (or their equivalent) that provide public health services to their tribal members or their bona fide agents.

Beneficiary Eligibility:

State health departments, large local health departments supporting cities with populations of 1 million or more inhabitants, the District of Columbia, U.S. Territories, tribal health organizations and the general public.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo and objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Each funded applicant must provide an interim and annual progress report. The interim progress report is due no less than 90 days before the end of the specified budget period. The Interim Progress Report will serve as the

non-competing continuation application. Semi-annual progress reports are also required. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are required, no more than 90 days after the end of the project period. No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2010 and reporting by January 10, 2010 the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

99-9999-9-9-999.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$42,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

Component I: This amount is based on population and will continue for each year of the cooperative agreement:

- Below 1.5 million = \$100,000
- 1.5 million - 5 million = \$200,000
- 5 million - 8 million = \$300,000
- Above 8 million = \$400,000.

Component II: \$1M - \$2.95M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: None. Fiscal Year 2010: It is anticipated in FY 2010 that up to 100 applications will be received.

Component I: up to 75 will be awarded.

Component II: up to 29 will be awarded. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

See Regional Agency Offices. Local Office - Program Contact: Kristin Brusuelas, Branch Chief, Government Relations Branch, Office for State, Tribal, Local and Territorial Support, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE, Mailstop K86, Atlanta, GA 30333. Telephone: (770) 488-1624. Fax: (770) 488-1600. E-mail: KMB0@cdc.gov; Grants Management Contact: Annie Camacho- Harrison, Procurement and Grants Office, Center for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Room 1126, Atlanta, GA 30341. Telephone: (770) 488-2098.

Headquarters Office:

Rickenya L. Hodge, 2500 Century Pkwy, Atlanta, Georgia 30329 Email: rid9@cdc.gov Phone: (404) 498-2292.

Website Address:

<http://www.cdc.gov>; www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that include quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcement.

93.508 AFFORDABLE CARE ACT (ACA) TRIBAL MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAM

Tribal Home Visiting (HV) Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 511(h)(2)(A), as amended by Affordable Care Act (ACA), Section 2951, Public Law 111-148.

OBJECTIVES:

For eligible Tribes (or consortia of Tribes), Tribal Organizations, and Urban Indian Organizations, strengthen and improve maternal and child health programs, improve service coordination at at-risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at-risk communities.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Assistance will be used to conduct a needs assessment that: considers community characteristics and the quality and capacity of existing home visiting programs and other supportive services; is coordinated with other relevant needs assessments; and involves community stakeholders as appropriate; collaborative planning efforts to address identified needs by developing capacity and infrastructure; providing high-quality, evidence-based home visiting services to pregnant women and families with young children aged birth to kindergarten entry; and conducting rigorous local evaluations that may include examining effectiveness of home visiting models in serving Tribal populations, adaptations of home visiting models for Tribal communities, or questions regarding implementation of infrastructure necessary to support evidence-based home visitation models in Tribal communities. Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable.

Grant awards will not allow reimbursement of pre-award costs.

Construction and purchase of real property are not allowable activities or expenditures under this grant award.

The statute requires that grantees supplement and not supplant, funds from other HV initiatives.

Awards issued under this announcement are subject to the uniform administrative requirements and cost principles of 45 CFR Part 74 (Awards and Subawards to Institutions of Higher Education, Hospitals, Other Non-Profit Organizations, and Commercial Organizations), or 45 CFR Part 92 (Grants and Cooperative Agreements to State, Local, and Tribal Governments).

An application funded with the release of Federal funds through a grant award, does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

Grantees are subject to the limitations set forth in 45 CFR Part 74.81 (Prohibition against profit), which states that, "... no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs."

Grantees are also subject to the requirements of 45 CFR Part 87, Equal Treatment for Faith-Based Organizations: "Direct Federal grants, sub-award funds, or contracts under this ACF program shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this program. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which includes the prohibition against Federal funding of inherently religious activities, can be found at the HHS web site at: <http://www.hhs.gov/fbci/waisgate21.pdf>.

A faith-based organization receiving HHS funds retains its independence from Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs. For example, a faith-based organization may use space in its facilities to provide secular programs or services funded with Federal funds without removing religious art, icons, scriptures, or other religious symbols. In addition, a faith-based organization that receives Federal funds retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents in accordance with all program requirements, statutes, and other applicable requirements governing the conduct of HHS funded activities." Additional information on "Understanding the Regulations Related to the Faith-Based and Community Initiative" can be found at: <http://www.hhs.gov/fbci/regulations/index.html>.

The Code of Federal Regulations (CFR) is available at <http://www.gpoaccess.gov/CFR/>.

Awards issued under this announcement are subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to http://www.acf.hhs.gov/grants/award_term.html. All funds are discretionary under this program.

Applicant Eligibility:

Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations, as defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437.

Beneficiary Eligibility:

- Eligible families residing in at-risk American Indian/Alaskan Native communities in need of such services, as identified in a needs assessment
- Low-income eligible families
- Eligible families who are pregnant women under age 21
- Eligible families with a history of child abuse or neglect or have had interactions with child welfare services
- Eligible families with a history of substance abuse or need substance abuse treatment
- Eligible families that have users of tobacco products in the home
- Eligible families that are or have children with low student achievement
- Eligible families with children with developmental delays or disabilities
- Eligible families who, or that include individuals serving or formerly serving in the Armed Forces, including those with members who have had multiple deployments outside the US

Eligible family:

A woman who is pregnant, and the father of the child if available, or

A parent or primary caregiver of the child, including grandparents or other relatives and foster parents serving as the child's primary caregiver from birth until kindergarten entry, including a noncustodial parent with an ongoing relationship with, and at times provides physical care for the child.

Credentials/Documentation:

Applicants should review the individual funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Each application will be screened to determine whether it was received by the closing date and time and whether the requested amount exceeds the award ceiling. Applications that are designated as late or those with requests that exceed the award ceiling will be returned to the applicant with a notation that they were deemed non-responsive and will not be reviewed.

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in the funding opportunity announcement. Each panel is made up of experts with knowledge and experience in the area under review. Generally, review panels are composed of three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding and are one element of the decision-making process.

ACF may elect to not fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider a preference to fund organizations serving emerging, unserved, or under-served populations, including those located in pockets of poverty, and to consider the geographic distribution of Federal funds in its funding decisions.

ACF may refuse funding for projects with what it regards as unreasonably high start-up costs for facilities or equipment, or for projects with unreasonably high operating costs. In addition, federal staff will conduct an administrative review of the applications and the results of the competitive review and will make recommendations for funding to the Acting Director, Office of Family Assistance (OFA). With the results of the competitive review and information from federal staff, the Acting Director of OFA, in consultation with Deputy Assistant Secretary and Inter-Departmental Liaison for Early Childhood Development, will make the final funding decisions.

Applications that are approved but unfunded may be held over for funding in the next funding cycle, pending the availability of funds, for a period not to exceed one year.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants (cooperative agreements) are generally awarded for a 5-year project period. In the first year of the project, grants will be awarded on a competitive basis. Pending successful completion of Year 1 activities, submission of a plan that meets requirements, and approval of this plan, applications will be considered on a noncompetitive continuation basis for Years 2 - 5 subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for 12-month budget periods with a 5-year project period.

Funds awarded to grantees under this program will be made available for expenditure through the end of the second succeeding fiscal year after award. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees under this announcement will be required to submit performance progress and financial reports periodically throughout the project period. Federal Financial Report (FFR) - Federal Cash Transaction Report. Progress reports are not applicable. At a minimum, grantees are required to submit the ACF-OGM SF-PPR, which consists of the ACF-OGM SF-PPR Cover Page and the Program Indicators-Attachment B. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. None.

Records:

Awards issued under this program are subject to the uniform administrative requirements of 45 CFR Part 74 (Awards And Subawards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations) or 45 CFR Part 92 (Grants And Cooperative Agreements To State, Local, And Tribal Governments).

Account Identification:

75-0321-0-1-506.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$3,000,000; FY 11 est \$7,500,000 - Three percent of annual appropriations under Section 511(h)(2)(A) of Title V of the Social Security Act, as amended by Section 2951 of the Affordable Care Act (ACA) (Public Law 111-148) shall be reserved for purposes of making grants to eligible entities that are Indian Tribes (or a consortium of Indian Tribes), Tribal organizations, or Urban Indian Organizations. Tribes (or a consortium of Indian Tribes), Tribal Organizations, or Urban Indian Organizations are those defined by Section 4 of the Indian Health Care Improvement Act, Public Law 94-437.

Range and Average of Financial Assistance:

The range is \$100,000-\$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not Applicable. Fiscal Year 2010: Approximately 15 grants will be awarded in FY 2010. Fiscal Year 2011: Number of grant awards for FY 2011 are not yet determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Carol Gage Child Care Bureau, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W. , Washington, District of Columbia 20447 Email:

tribal.homevisiting@hhs.gov Phone: 202-690-6243 Fax: 202-690-5600

Website Address:

<http://www.acf.hhs.gov/programs>

RELATED PROGRAMS:

93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the funding opportunity announcement.

93.509 AFFORDABLE CARE ACT (ACA) STATE HEALTH CARE WORKFORCE DEVELOPMENT GRANTS

ACA State Health Care Workforce Development Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 5102 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

The primary focus of this program is to address health workforce shortages within each State and nationally. Of secondary importance but essential in order to develop viable Statewide plans and identify key shortage areas, is the need to support the development of uniform data collection across States on licensed health professionals. This uniform data collection will be used to develop baseline numbers on the current supply of the health care workforce in order to evaluate the impact and success of this program. Efforts to develop and implement State health care workforce plans across State and Federal organizations (including the Departments of Health and Human Services, Labor, and Education) should result in increases in the number of health care providers available to address the nations health care needs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may only be used to for the purposes of enabling (1) State partnerships to complete comprehensive health care workforce development planning and (2) to implement those plans or carry out activities as defined by the State application in order to address current and projected workforce demands within the State. Applicants may not receive both a planning and an implementation award. 100% of the funds are to be competitively awarded in the form of discretionary grants.

Applicant Eligibility:

Planning Grant Eligibility -- To be eligible to receive a planning grant, a State must have an eligible partnership. An eligible partnership is a State Workforce Investment Board, that includes or modifies the members to include at least one representative from each of the following: health care employer, labor organization, a public 2-year institution of higher education, a public 4-year institution of higher education, the recognized State federation of labor, the State public secondary education agency, the State P16 or P20 Council (statewide assemblies of education, business, and community leaders charged with developing strategies to better coordinate, integrate, and improve education for preschool through college students), if such a council exists, and a philanthropic organization that is actively engaged in providing learning, mentoring, and work opportunities to recruit, educate, and train individuals for, and retain individuals in, careers in health care and related industries.

Implementation Grants Eligibility. To be eligible for an implementation grant, a State partnership (including the members stated above) shall have (1) received a planning grant as specified under subsection (c) of Section 5102, P.L. 111-148 and completed all requirements of such grant; or (2) completed a satisfactory application, including a plan to coordinate with required partners and complete the required activities during the two year period of the

implementation grant.

Beneficiary Eligibility:

Those who will ultimately benefit from these grants are the local, rural, State, and Federal legislators and policy makers who will receive the information needed with which to make health workforce decisions.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Planning Grants Match -- Each State partnership receiving a planning grant shall provide an amount, in cash or in kind, that is not less than 15 percent of the amount of the grant, to carry out the activities supported by the grant. The matching requirement may be provided from funds available under other Federal, State, local or private sources to carry out the activities.

Implementation Grants Match -- Each State partnership receiving an implementation grant shall provide an amount, in cash or in kind that is not less than 25 percent of the amount of the grant, to carry out the activities supported by the grant. The matching funds may be provided from funds available from other Federal, State, local, or private sources to carry out such activities.

This program has no matching requirements.

This program does not have MOE requirements. Refer to the funding opportunity announcement specific award amounts. There are no additional requirements.

Length and Time Phasing of Assistance:

Planning grants will be awarded for a period of one year. Implementation grants will be awarded for a period of two years, except in those cases where the Health Resources and Services Administration (HRSA) determines that the grantee is high performing and the activities supported by the grant warrant up to 1 additional year of funding. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

All grantees must participate in HRSA's evaluation and reporting activities. A Progress Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the

project period. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. All grantees must participate in HRSA's evaluation and reporting activities. A Progress Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Refer to funding opportunity announcement for information on performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-055.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est not reported.; FY 11 est \$158,000,000

Range and Average of Financial Assistance:

Planning Grant: \$150,000 maximum per award. One-year project period.
Implementation Grant: \$2,500,000 average per award. Two-year project period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Newly created program in FY 2010. Approximately \$8,000,000 is expected to be available to fund approximately 30 new State Planning Grants. Approximately \$150,000,000 is expected to be available to fund approximately 30 new State Implementation Grants. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Aisha Fields, 5600 Fishers Lane, Room 9A-55, Rockville, Maryland 20857
Phone: (301) 443-3656.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement.

93.510 AFFORDABLE CARE ACT (ACA) PRIMARY CARE RESIDENCY EXPANSION PROGRAM

ACA PCRE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 747, as amended by the Patient Protection and Affordable Care Act (Public Law 111-148); Conference Report, H. Rept. 111-366, Division D (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The Primary Care Residency Expansion program is a 5-year initiative aimed at increasing the number of primary care residents trained in a primary care specialty. The program's purpose is to increase new residency training positions over and above the currently number being trained. The program increases enrollment in primary care residency programs through funding additional resident stipends.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may only be used to fund an accredited family medicine, general internal medicine or general pediatric residency program. Restricted Uses: Applicants may request support for only one residency program.

Funds may only be used to fund an accredited family medicine, general internal medicine or general pediatric residency program with a funding preference for a program with a community health center as a major participating site and a funding priority for programs with significant community-based clinical rotation(s).

Program funding may only be used to support the cost of resident stipends. Personnel, staffing, fringe benefits, consultant cost, travel, equipment, supplies, subcontracts and other items are strictly prohibited under this grant award.

Applicant Eligibility:

Eligible applicants include public or nonprofit private hospitals, schools of medicine or osteopathic medicine or a public or private nonprofit entity of which the Secretary has determined is capable of carrying out such grants. Applicants may request support for only one residency program (discipline).

Beneficiary Eligibility:

Primary Care Residency Students.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA

requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the last year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Total allocations will be over \$100 million. Refer to the funding opportunity announcement specific award amounts. There are no additional requirements.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for five (5) years of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Status Reports

1. Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year.

2. Submit a Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHPPr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

3. Submit a Final Report.

All BHPPr grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report

may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. See above or refer to funding opportunity announcement. See above. Refer to the funding opportunity announcement for additional information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - TAFS: 75-0350X.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$168,000,000; FY 11 est \$36,372,375 - Newly created program in FY 2010.

Range and Average of Financial Assistance:

\$1,900,000 per award over a 5-year period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Shane Rogers, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-05, Rockville, MD 20857. Telephone: (301) 443-5260.

Headquarters Office:

Shane Rogers, 5600 Fishers Lane, Room 9-05, Rockville, Maryland 20857
Phone: (301) 443-5260.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement. The program has a funding preference for those programs with a community health center as a major participating site and it has a funding priority for programs with significant community-based clinical rotation(s). HRSA is placing an emphasis on program evaluation and prefers to fund applicants with processes in place to assess the impact and outcome of the program.

93.511 AFFORDABLE CARE ACT (ACA) GRANTS TO STATES FOR

HEALTH INSURANCE PREMIUM REVIEW

Premium Review Grants

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordability Care Act, Section 1003, as amended by Public Health Service Act, Title XXVII, Part C, Section 2794.

OBJECTIVES:

A program to assist States in a) in reviewing and, if appropriate under State law, approving premium increases for health insurance coverage, and b) in providing information and certain data requirements to the HHS Secretary on premium increase patterns c) grant funds may also be used to establish data centers that will compile and publish fee schedule information.

Refer to funding opportunity announcement for additional information.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds are to be used as outlined in Section 1003 of the Affordable Care Act (ACA). Grant funds that are not fully obligated under this, or subsequent grant programs authorized under Section 1003 of the ACA, by FY 2014 can remain available to the Secretary for grants to States for planning and implementing the insurance reforms and consumer protections outlined in Section 1003 of the ACA.

Applicant Eligibility:

Grants to States' (including the District of Columbia) Department of Insurance for premium review.

Beneficiary Eligibility:

Grants to States' Department of Insurance for premium review.

Credentials/Documentation:

Applicants should review the OCIIO funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. The Office of Consumer Information and Insurance Oversight requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the OCIIO program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Section 1003 of the ACA provides for a 5-year program of grants to states for premium review; subsequent grants under Section 1003 will be awarded on an annual basis.

Refer to funding opportunity announcement for additional information on subsequent grants awarded for premium review authorized under Section 1003 of ACA.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant funding for this funding opportunity announcement will be available for the remainder of FY 2010 and FY 2011. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual program reports, annual program service reports, and special data reports as required in the funding opportunity announcement. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Annual financial reports and annual narrative progress reports are required. Submit a Financial Status Report (FSR or SF-269) directly to OS. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed semi-annually or annually depending on the terms and conditions of award. Failure to submit the report may result in the inability to access grant funds. As described in the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0112-0-1-551.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$51,000,000; FY 11 est \$51,000,000

Range and Average of Financial Assistance:

\$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

OICIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Jacqueline R. Roche, Department of Health and Human Services, 200 Independence Avenue, SW, Hubert H. Humphrey Building, Washington DC, District of Columbia 20201 Email: jacqueline.roche@hhs.gov Phone: (202) 260-6094.

Website Address:

<http://www.hhs.gov/ociio>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.512 AFFORDABLE CARE ACT (ACA) PERSONAL AND HOME CARE AIDE STATE TRAINING PROGRAM (PHCAST)

ACA PHCAST

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Affordable Care Act of 2010, Public Health Law 111-148, Section 5507(b).

OBJECTIVES:

To train personal and home care aides to provide care in complex health care environments such as home healthcare services, residential care facilities, and private households. Grants will be made to State entities to conduct demonstration projects for purposes of developing care training competencies and certification programs for personal and home care aides. The program would ensure that we have competent personal and home care aides with acquired skills that would be transportable to any job market in the Nation, thus strengthening the direct-care worker workforce.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Infrastructure training grants are awarded to eligible applicant organizations for projects to strengthen and enhance the capacity of personal and home care aide training programs. This will enable individuals to enter into a personal and/or home care aide position. Funds may be used for the development, evaluation, and demonstration of training programs for personal and/or home care aides on-campus, at alternate sites, and through distance education methodologies. Restricted Uses: Indirect costs under training grants to organizations other than state, local or Indian tribal governments will be budgeted and reimbursed at 8 percent of modified total direct costs rather than on the basis of a negotiated rate agreement, and are not subject to upward or downward adjustment.

Applicant Eligibility:

Grants may be made to applicants from any of the 50 states of the United States of America, the District of Columbia, the Commonwealth of Puerto Rico, the US Virgin Islands, Guam, and American Samoa, as well as Federally recognized Indian Tribal governments.

Beneficiary Eligibility:

Eligible entities from any of the 50 states of the United States of America, the District of Columbia, the Commonwealth of Puerto Rico, the US Virgin Islands, Guam, and American Samoa.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for three (3) years of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

1. Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year.

2. Submit a Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHP Pr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

3. Submit a Final Report.

All BHP Pr grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report

may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. See above. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year. See above for additional information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - TAFS: 75-0350-2010.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$5,000,000; FY 11 est \$5,000,000 - Newly created program in FY 2010.

Range and Average of Financial Assistance:

Up to \$750,000 per grantee; Approximately 6 new awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Renata Thompson, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-6193.

Headquarters Office:

Renata Thompson, 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20895
Phone: (301) 443-6193.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal review panels for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the application guidance.

93.513 AFFORDABLE CARE ACT (ACA) ADVANCED NURSING EDUCATION EXPANSION INITIATIVE

ACA ANEE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and

Human Services

AUTHORIZATION:

Section 811 of the Public Health Service Act (42 U.S.C. 296j), as amended by the Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

The purpose of the Advanced Nursing Education Expansion (ANEE) Initiative is to increase the number of primary care nurse practitioners (PC NPs) and nurse-midwives. The grants will provide stipends for students enrolled in accredited PC NP and accredited nurse-midwifery programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The grantee is responsible for the disbursement of grant funds to eligible students. Stipend grant funds may be used as follows:

- 1) ANEEP grant funds may be used only to support stipends awarded under the terms of the Notice of Grant Award (NGA).
- 2) Stipends for students cannot exceed \$22,000 per year.
- 3) Full tuition and fees, reasonable living expenses and other educational costs are allowable costs.
- 4) Trainee travel (including daily commuting costs) is not an allowable expense.
- 5) Indirect Costs (Facilities and Administrative - F&A Costs) are not applicable to the ANEEP Program. The applicant may request funds for stipends for primary care nurse practitioner students and nurse-midwifery students of \$22,000 per participating student per year for two years in this application. The student is to receive the full amount of allowable stipend.

Applicant Eligibility:

Eligible applicants are collegiate schools of nursing, academic health centers, and other private or public entities accredited by a national nursing accrediting agency recognized by the Secretary of the U.S. Department of Education that offers and has students enrolled in a primary care nurse practitioner program and/or a nurse-midwifery program accredited by the Accreditation Commission on Midwifery Education.

Beneficiary Eligibility:

Project participants (students) must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant application and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The duration of the project period is for 5 years and the total of the five budget period are to be awarded in the first year of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

1. Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year.

2. Submit a Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHPPr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

3. Submit a Final Report.

All BHPPr grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>

4. The applicant must report on graduates employment each year for five years after graduation. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHPPr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Refer to the funding opportunity announcement for additional information. Refer to the funding opportunity announcement for additional information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - TAFS: 75-0350-2010.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$64,000,000; FY 11 est \$64,000,000

Range and Average of Financial Assistance:

Up to \$21,180 per award for each year of the two year project period per student; approximately 300 awards per year.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Dr. Irene Sandvold, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9B-45, Rockville, MD 20857. Telephone: (301) 443-2295.

Headquarters Office:

Dr. Irene Sandvold, 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857 Phone: (301) 443-2295.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement. The program has a funding preference shall be granted to applicants with projects that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State or local health departments.

93.514 AFFORDABLE CARE ACT (ACA) EXPANSION OF PHYSICIAN ASSISTANT TRAINING PROGRAM

ACA EPAT

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 747, as amended by the Patient

Protection and Affordable Care Act (Public Law 111-148); Conference Report, H. Rept. 111-366, Division D (referencing S. Rept. 111-66), accompanying the Consolidated Appropriations Act, 2010, Public Law 111-117.

OBJECTIVES:

The Expansion of Physician Assistant Training Programs is a five-year initiative aimed at increasing the number of physician assistants. The programs purpose is to increase the number of new physician assistants training positions over and above the current number being trained by an institution. The program increases enrollment in physician assistant programs through funding additional physician assistant stipends.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are to be distributed, through a competitive application process, to accredited physician assistant training programs and may only be used to provide stipend support to physician assistant students. Restricted Uses: Applicants may request support for only one physician assistants program.

Funds may only be distributed to accredited physician assistant training programs.

Program funding may only be used to support the cost of physician assistant stipends. Personnel, staffing, fringe benefits, consultant cost, travel, equipment, supplies, subcontracts and other items are strictly prohibited under this grant award.

Applicant Eligibility:

Eligible applicants include public or nonprofit academically affiliated physician assistant training programs that have as their objective, the education of individuals who, upon graduation of their studies in the program, will be qualified to provide primary care medical services with the supervision of a physician.

Beneficiary Eligibility:

Physician assistant students in training.

Project participants (students) must be U.S. Citizens, non-citizen nationals, or foreign nationals who possess visas permitting permanent residence in the United States. Individuals on temporary student visas are not eligible.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Competing continuation applications may be submitted for continuance of a grant beyond the initial project period. A competing continuation application shall be submitted during the beginning of the last year of the initial project period. The submission date is the same for new applications and competing continuation applications. These applications are reviewed in the same manner and at the same time as new competing applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Competing and competing continuation grant applications are submitted for five (5) years of support. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Status Reports

1. Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year.

2. Submit a Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHPPr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

3. Submit a Final Report.

All BHPPr grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHPPr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

All BHPPr grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core

performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Refer to funding opportunity announcement. Refer to funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - TAFS: 75-10-0350.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$32,000,000; FY 11 est \$2,902,625

Range and Average of Financial Assistance:

Stipends of approximately \$22,000 per student, annually, for two years. Actual grant award amount(s) will vary depending on the number of students. Average award, per 5-year period is \$1.9M.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No program in Fy 2009. Fiscal Year 2010: Stipends of approximately \$22,000,000 per student, annually, for two years. Actual grant award amount(s) will vary depending on the number of students. Average award, per 5-year period is \$1.9M. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Shane Rogers, Division of Medicine and Dentistry, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9A-27, Rockville, MD 20857. Telephone: (301) 443-5260.

Headquarters Office:

Shane Rogers, 5600 Fishers Lane, Room 9A-27, Rockville, Maryland 20857
Phone: (301) 443-5260.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in the application. See the section on Application Review in the funding opportunity announcement. The program has a funding preference for those

programs with a community health center as a major participating site and it has a funding priority for programs with significant community-based clinical rotation(s). HRSA is placing an emphasis on program evaluation and prefers to fund applicants with processes in place to assess the impact and outcome of the program.

93.515 AFFORDABLE CARE ACT (ACA) NURSE-MANAGED HEALTH CLINICS

ACA NMHC

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 5208 of the Patient Protection and Affordable Care Act, Public Law 111-148.

OBJECTIVES:

To provide federal funding to support the development and operation of Nurse-Managed Health Clinics (NMHC) to: 1) improve access to primary health care, disease prevention and health promotion in medically underserved areas (including enhancements of outreach strategies); 2) enhance nursing practice by increasing the number of structured clinical teaching sites for undergraduate and graduate nursing students; and 3) enhance electronic processes for establishing effective patient and workforce data collection systems. Under this program, the focus would support the training and practice development site for nurse practitioners to build the capacity of primary care provider workforce.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Awards may be used to support the operation of nurse managed centers, implement new technologies, training programs for nurse practitioner students and other students of other health related disciplines, and enhancement of patient care and accessibility.

Applicant Eligibility:

Eligible applicants must be nurse-practice arrangements managed by advanced practice nurses, that provide primary care or wellness services to underserved or vulnerable populations and is associated with a school, college, university, or department of nursing, federally qualified health center or independent nonprofit health or social services agency.

Beneficiary Eligibility:

Beneficiaries include the following: individual/family; specialized group; health professionals; education profession; student/trainee; graduate student.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Any Nurse Managed Clinic that will have undergraduate or graduate nursing students receiving clinical education or experiences during this grant period MUST provide a Memorandum of Agreement with the school(s) of nursing for clinical placement arrangement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program

official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Competing grant applications are submitted for a 3-year grant period. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Status Reports

1. Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year.

2. Submit a Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHPPr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

3. Submit a Final Report.

All BHPPr grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Submit a Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHPPr Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted

on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

Submit a Final Report.

All BHP grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Refer to funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550 - TAFS: 75-0350-2010.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$15,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$15 million will be available to fund approximately 10 grant awards. Average award amount will be \$1.5 million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Lt. Kami Cooper, Division of Nursing, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9B-45, Rockville, MD. Telephone: (301) 443-8842.

Headquarters Office:

Lt. Kami Cooper, 5600 Fishers Lane, Room 9B-45, Rockville, Maryland 20857
Phone: (301) 443-8842.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on analysis of the factors set forth in the applicants proposal. See the section on Application Review in the funding opportunity announcement. The program has a funding preference shall be granted to applicants with projects that will substantially benefit rural or underserved populations, or help meet public health nursing needs in State or local health departments.

93.516 AFFORDABLE CARE ACT (ACA) PUBLIC HEALTH TRAINING CENTERS PROGRAM

ACA PHTC Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by Public Law 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To improve the Nation's public health system by strengthening the technical, scientific, managerial and leadership competencies and capabilities of the current and future public health workforce. Emphasis is placed on developing the existing public health workforce as a foundation for improving the infrastructure of the public health system and helping achieve the Healthy People 2010 and 2020 Objectives. With respect to a public health training center, applicants must agree to: (1) specifically designate a geographic area, including medically underserved populations, e.g., elderly, immigrants/refugees, disadvantaged, to be served by the Center that shall be in a location removed from the main location of the teaching facility of the school participating in the program with a Center; (2) assess the public health personnel needs of the area to be served by the Center and assist in the planning and development of training programs to meet their needs; (3) establish or strengthen field placements for students in public or nonprofit private public health agencies or organizations; and (4) involve faculty members and students in collaborative projects to enhance public health services to medically underserved communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may support salaries, consultant costs, equipment, and travel as requested to develop and implement a Public Health Training Center. Grant funds may not be used for construction, patient services or student tuition.

Applicant Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training in public health may apply.

Beneficiary Eligibility:

Accredited schools of public health or other public or nonprofit private institutions accredited for the provision of graduate or specialized training will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods are for 5 years. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Status Reports

1. Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. The report is an accounting of expenditures under the project that year.

2. Submit a Progress Report(s).

All Bureau of Health Professions grantees are required to submit a progress report to HRSA on an annual basis. This report entitled, BHP Progress Report, has two parts. The first part demonstrates grantee progress on program-specific goals. The second part collects core performance measurement data to measure the Bureaus progress through its grantees in: (1) improving the distribution, diversity, and quality of the healthcare workforce, (2) improving the educational environment infrastructure, and (3) increasing students selection of primary care education.

Progress reports are generally due in August each year and must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>.

3. Submit a Final Report.

All BHP grantees are required to submit a final report within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, grantee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the grantees overall experiences over the entire project period. The final report must be submitted on-line by grantees in the Electronic Handbooks system at <https://grants.hrsa.gov/webexternal/home.asp>. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for

additional information. See above. A Financial Status Report (FSR) is required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. Refer to funding opportunity announcement for additional information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Financial Status Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$15,400,000; FY 11 est \$20,400,000 - The program has \$15.4 million in funding for FY 2010.

Range and Average of Financial Assistance:

(FY 2010) \$400,000 to \$650,000; \$500,000 average.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$0 in FY09. See Item 160, Related Programs for additional information. Fiscal Year 2010: For FY 2010, 6 non-competing continuation awards and 27 competing awards are anticipated. Fiscal Year 2011: For FY 2011, 27 non-competing continuation and 4 competing awards are anticipated.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. John Kress, MHA, Director, Public Health Training Centers, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0157 and E-mail address at jkress@hrsa.gov

Marcia Starbecker, Chief, Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-6867 and E-mail address at: mstarbecker@hrsa.gov.

Headquarters Office:

Marcia Starbecker, Room 9-36, 5600 Fishers Lane, Rockville, Maryland 20857 Email: mstarbecker@hrsa.gov Phone: (301) 443-6867.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.249 Public Health Training Centers Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: For FY 09, 14 public health training center continuation awards were made.
2009 actual: \$5.2 million. For additional information:
www.publichealthtrainingcenters.org. Fiscal Year 2010: Not Applicable. Fiscal Year 2011: Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

See guidance at: www.grants.gov.

93.517 AFFORDABLE CARE ACT AGING AND DISABILITY RESOURCE CENTER

ACA ADRC

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Patient Protection and Affordable Care Act , Title III, Part III, Section 2405, Public Law 111-111-148, III Stat. 930, 1395W U.S.C 3306.

OBJECTIVES:

ADRCs are designed to serve as visible and trusted sources where people can turn to for objective information on their long-term services and support options and their Medicare benefits. These programs also provide one-on-one counseling and advice to help consumers, including private pay individuals, to fully understand how available options relate to their particular needs, as well as streamlined access to all publicly supported long-term services and support programs.

Funding under this Program will help states further develop and strengthen their statewide systems of person-centered information, counseling and access.

Through this grant program, states and local aging and disability programs will receive funds to provide outreach and assistance to Medicare beneficiaries on their Medicare benefits including prevention; use additional funds through a competitive process, to provide Options Counseling on health and long term care through ADRCs; use additional funds through a competitive process in existing Money Follows the Person states for Nursing Home Transitions and Diversions; and finally, AoA and CMS will be funding states, through a competitive process, to strengthen the role of ADRCs in the implementation of Evidence-Based Care Transition models that integrate the medical and social service systems to help older individuals and those with disabilities remain in their own homes and communities after a hospital, rehabilitation or skilled nursing facility visit and avoid unnecessary readmission.

(A) to serve as visible and trusted sources of information on the full range of long-term care options that are available in the community, including both institutional and home and community-based care;

(B) to provide personalized and consumer friendly assistance to empower people to make informed decisions about their care options;

(C) to provide coordinated and streamlined access to all publicly supported long-term care options so that consumers can obtain the care they need through a single intake, assessment and eligibility determination process;

(D) to help people to plan ahead for their future long-term care needs; and

(E) to assist, in coordination with the State Health Insurance Assistance Program, Medicare beneficiaries in understanding and accessing the Prescription Drug Coverage and prevention health benefits available under the Medicare Modernization Act.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

1. Support the participation of State staff, local ADRC staff, partners, consumers and other stakeholders in the process of developing State-specific standards for ADRC Options Counseling.
2. Implement the standard operating procedures statewide or in at least one ADRC site.
3. Provide training for new or existing options counselors to meet the new standards.

4. Expand options counseling services to a new population or into a new setting or situation.

5. Modify existing IT/MIS client tracking systems and protocols as needed to meet new Options Counseling standards.

6. Gather and analyze service delivery and consumer outcomes data.

7. Gather feedback from ADRC staff and stakeholders about their experience with the new standards to contribute toward future improvement and refinement.

8. Support the participation of State staff, local ADRC staff, partners, consumers and other stakeholders in collaborative process of establishing minimum national standards for options counseling (regular conference calls and two in-person conferences). The following activities are not fundable:  Construction and/or major rehabilitation of buildings  Basic research (e.g. scientific or medical experiments)  Continuation of existing projects without expansion or new and innovative approaches. This is a discretionary grant program that will accept applications and issue awards based on merit. There is \$10M set aside for the ACA - ADRC program.

Applicant Eligibility:

ADRCs are designed to serve as visible and trusted sources where people can turn to for objective information on their long-term services and support options and their Medicare benefits. These programs also provide one-on-one counseling and advice to help consumers, including private pay individuals, to fully understand how available options relate to their particular needs, as well as streamlined access to all publicly supported long-term services and support programs.

Funding under this Program will help states further develop and strengthen their statewide systems of person-centered information, counseling and access.

Through this grant program, states and local aging and disability programs will receive funds to provide outreach and assistance to Medicare beneficiaries on their Medicare benefits including prevention; use additional funds through a competitive process, to provide Options Counseling on health and long term care through ADRCs; use additional funds through a competitive process in existing Money Follows the Person states for Nursing Home Transitions and Diversions; and finally, AoA and CMS will be funding states, through a competitive process, to strengthen the role of ADRCs in the implementation of Evidence-Based Care Transition models that integrate the medical and social service systems to help older individuals and those with disabilities remain in their own homes and communities after a hospital, rehabilitation or skilled nursing facility visit and avoid unnecessary readmission.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

A. Applicant is a State Agency or instrumentality of the State (e.g., State Unit on Aging, State Medicaid Agency, State Disability Agencies);

B. Applicant has received an award to implement ADRCs through the AoA and CMS ADRC grants funded in fiscal years 2003, 2004, 2005 and 2009, and/or the CMS Person-Centered Hospital Discharge Planning Model Grants. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. AoA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the AoA program official with delegated authority is responsible for final selection and funding decisions

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the AoA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

Project period 24 months. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

No program reports are required. SF-272 completed at the Payment Management System website. Semi-Annual, due every 6 months from the start of the project period, with a final report due 90 days after the award expiration date. SF-269, due annually. Site visits and standard project monitoring requirements apply.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See above.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Financial Status Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Up to \$500K.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: N/A. Fiscal Year 2010: States Aging and Disability Resource Centers to serve as visible and trusted sources of information on the full range of long-term care options that are available in the community, including both institutional and home and community-based care; to provide personalized and consumer friendly assistance to empower people to make informed decisions about their care options; to provide coordinated and streamlined access to all publicly supported long-term care options so that consumers can obtain the care they need through a single intake, assessment and eligibility determination process; to help people to plan ahead for their future long-term care needs; and to assist, in coordination with the State Health Insurance Assistance Program, Medicare beneficiaries in understanding and accessing the Prescription. Fiscal Year 2011: TBD.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and

tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. AoA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of program.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Joe Lugo, One Massachusetts Ave., NW, Washington, District of Columbia 20001 Email: Joe.Lugo@aoa.hhs.gov Phone: (202) 357-3417 Fax: (202) 357-3469.

Website Address:

<http://http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are scored by assigning a maximum of 100 points across four criteria during the merit review process:

A. Current Program Status and Proposed Approach - (50 points);

B. Organizational Capacity - (20 points).

C. Project Management and Stakeholder Participation - (20 points).

D. Work Plan and Budget (10 points);.

93.518 93.518 - AFFORDABLE CARE ACT - MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS

ACA MIPPA

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Act: Patient Protection and Affordable Care Act - Part: Part III, Title III: Improving the Quality and Efficiency of Health Care, Executive Order Improving the Quality and Efficiency of Health Care, Public Law 111-111-148 , III Stat. , 1395w U.S.C 23(f).

OBJECTIVES:

To provide outreach to eligible Medicare beneficiaries regarding the benefits available under title XVIII of the Social Security Act, including the Medicare prescription drug benefit under Part D of title XVIII of the Social Security Act and under the Medicare Savings Program, and to coordinate efforts to inform older Americans about benefits available under Federal and state programs.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

As a condition for receiving funding, States are required to submit one plan for Sections 1 through 3. States should describe how the SHIP, AAA and ADRC efforts will be coordinated to provide outreach to beneficiaries with limited incomes statewide, for general Part D outreach and assistance to beneficiaries in rural areas, and for outreach aimed at preventing disease and promoting wellness.

Outreach efforts of the SHIP will be coordinated with the related outreach efforts of, AAAs and ADRCs to provide assistance to beneficiaries with limited income for LIS and MSP, and provide general Part D outreach and assistance on Medicare benefits. Specifically, States should expand their efforts to reach beneficiaries through coordinated efforts.

Applicant Eligibility:

Not Applicable.

Beneficiary Eligibility:

Not Applicable.

Credentials/Documentation:

As a condition for receiving funding, all State agencies participating in this announcement will submit one joint plan that will be signed by each participating State agency. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. AoA released a PA on June 2, 2010 and it is posted on grants.gov. States were also notified through a press release and AoA/CMS communications channels.

Award Procedure:

States will submit a single state plan that is due to AoA by July 30, 2010. The plan is reviewed and evaluated by the Federal Agency for compliance with the announcement and statute. Notice of Awards issued by the Agency upon approval of the plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements. Not Applicable.
This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

Project period will be 24 months. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

9 month program report and a 24 month project report. SF272 - prepared at PMS. Progress reports are not applicable. Annual SF-269 reports. Site visits and standard project monitoring requirements apply.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Financial Status Report (FSR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0142-0-1-506.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$30,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Formula Based range for awards.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable. AoA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.aoa.gov/AoARoot/Grants/Terms/index.aspx>

Regional or Local Office:

None.

Headquarters Office:

Greg Case, One Massachusetts Ave., Washington, District of Columbia 20001
Email: Greg.Case@aoa.hhs.gov Phone: (202) 357-3442 Fax: (202) 357-3467.

Website Address:

<http://www.aoa.gov/AoARoot/Grants/Funding/index.aspx>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States are expected to establish and meet measurable performance goals for the various MIPPA funding opportunities. Plans for funding formula under MIPPA under the Affordable Care Act will be reviewed by AoA and CMS based on the requirements of the Program Announcement. AoA and CMS will contact applicants to negotiate any questions or concerns before issuing a Notice of Award.

93.519 AFFORDABLE CARE ACT (ACA) CONSUMER ASSISTANCE PROGRAM GRANTS

Consumer Assistance Program Grants

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

The Public Health Service Act Section 2793, as amended by Section 1002 of the ACA.

OBJECTIVES:

To assist consumers with filing complaints and appeals, assist consumers with enrollment into health coverage, and educate consumers on their rights and responsibilities. In addition, by law they will collect data on consumer inquiries and complaints to help the Secretary identify problems in the marketplace and strengthen enforcement. Starting in 2014, programs must also help resolve problems with premium credits for Exchange coverage.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants Funds are to be used as outlined in Section 1002 of the Affordable Care Act (ACA). Section 1002 also includes specific restrictions for these grant funds. Grant funds are to be used as outlined in Section 1002 of the Affordable Care Act (ACA).

Applicant Eligibility:

Consumer Assistance program grants are grants to States.

Beneficiary Eligibility:

Projects will benefit (1) Consumer Assistance Program.

Credentials/Documentation:

Applicants should review the funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications and required forms for this program can be obtained from Grants.gov. OCIIO requires all applicants to apply electronically through Grants.gov

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the OCIIO program with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Refer to funding opportunity announcement for applicable appeals procedures.

Renewals:

Section 1002 of the ACA provides for appropriated funds FY 2010; such sums are authorized but not appropriated for FY 2011 and thereafter.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Section 1002 of the ACA states that grants shall main available without fiscal year limitation. Method of awarding/releasing assistance: lump sum.

Reports:

As required under Public Health Service Act (PHSA) Section 2793(d), awardees are expected to submit an initial data collection report to HHS with six (6) months from the date of award. After the data collection report submission, quarterly data collection reports will be required. Quarterly data collection reports will be due 30 days after the end of each quarter. Awardees must also agree to respond to request and provide data on consumer assistance activities as needed by the Secretary. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Refer to funding opportunity announcement for required reports. Submit a Financial Status Report (FSR or SF-269) directly to OS. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed annually. Failure to submit the report may result in the inability to access subsequent grant funds. Performance monitoring may include training, technical assistance, conference calls, and seminars.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records and progress reports 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained

until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0111-0-1-551.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$29,000,000

Range and Average of Financial Assistance:

Minimum is \$120,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: N/A. Fiscal Year 2011: To provide assistance to consumers in navigating the health insurance markets.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other non profit organizations and commercial organizations, as applicable.

OCIIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/aboutog/ogpoe.html>

Regional or Local Office:

See Regional Agency Offices. Eliza Navarro Bangit, Office of Insurance Oversight, Office of Consumer Information and Insurance Oversight, Department of Health and Human Services, HHH Building, 200 Independence Ave., S.W., Washington D.C. 20201.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW, Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: (202) 260-6121.

Website Address:

<http://http://www.hhs.gov/ociio/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.520 CENTERS FOR DISEASE CONTROL AND PREVENTION AFFORDABLE CARE ACT (ACA) COMMUNITIES PUTTING PREVENTION TO WORK

Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k)(2) Health Care and Education Affordability Reconciliation Act of 2010, Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2311.

OBJECTIVES:

This funding was appropriated under the Health Care and Education Affordability Reconciliation Act of (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) (2) to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. This initiative will address the following: Increased levels of physical activity Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and transfats);Decreased smoking prevalence and decreased teen smoking initiation; and Decreased exposure to secondhand smoke.

Communities funded under this announcement will accomplish this by implementing population-based approaches such as policy, systems, and environmental changes across 5 evidence-based strategies: access, media, point of decision information, social support services, and price in both schools and communities. Both components are necessary to achieve behavior change in youth that sustain from school settings into family and community settings, and supports sustaining healthy behavior into adulthood. Communities funded by this announcement will receive a menu of evidence-based interventions along with support and tools from the Centers for Disease Control and Prevention (CDC) to strengthen and develop effective strategies tailored to their needs.

The cooperative agreements will address the Healthy People 2010 focus areas of obesity, cardiovascular disease, tobacco, and educational and community-based programs; the Health Protection Goals of Healthy People in Every Stage of Life which states that all people, and especially those at greater risk of health disparities, will achieve their optimal lifespan with the best quality of health in every stage of life, and Healthy People in Healthy Places which states that the places where people live, work, learn, and play will protect and promote their health and safety, especially those at greater risk of health disparities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Of the \$34 million dollars will be used to support intensive community approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows:

Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions in the following areas; to increased levels of physical activity, improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats); decreased smoking prevalence and decreased teen smoking initiation; and to decreased exposure to secondhand smoke.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not spend more than 10% of the total award on nicotine replacement therapy.

Applicant Eligibility:

Eligible applicants that can apply for this funding opportunity are listed below:

All grant applications not funded under DP09-912ARRA consisting of Federally recognized Tribal Governments, Regional Area Indian Health Boards, Urban Indian organizations, and Inter-Tribal Councils as designated by the Principal Tribal elected official or chief executive officer County/City Health Departments

The official state health department (or its bona fide agent), or its equivalent, as designated by the Governor, is to serve as the lead/fiduciary agency for Small City and Rural Community applications. For this announcement, the term State includes the 50 states, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

The official local health department (or its bona fide agent), or its equivalent, as designated by the mayor, county executive, or other equivalent governmental official.

All grantees funded under funding opportunity announcement CDC-RFA-DP09-901 and CDC-RFA-DPPO-902 which are all official state health departments (or its bona fide agent) of the 50 states, the District of Columbia, Puerto Rico and the U.S. Virgin Islands, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the

Republic of the Marshall Islands, and the Republic of Palau.

Beneficiary Eligibility:

Any State, local health department (as described above), and other public entities will benefit.

Credentials/Documentation:

Applicants will be required to provide the following information: Demonstrate that they have a coalition in place with experience in promoting health promotion or prevention of chronic disease outcomes or experience that relates to improving chronic disease outcomes, including the capacity to influence policy, system and/or environmental changes in the community. Demonstrate that they have an existing community action plan in place related to improving behaviors that lead to chronic diseases through changing policy, systems and/or environment. Provide documentation that they have received a grant of sufficient size to indicate the ability to adhere to Federal requirements and to expend a large amount of funds in the project period outlined by the Communities Putting Prevention to Work Initiative. Ensure that at least 50% of the total award is spent extramurally. Provide letters of support from school districts indicating support for implementing the YRBS survey for baseline and follow-up data.

Successful applicants will also be required to meet quarterly benchmarks for the Communities Putting Prevention to Work Initiative. At the end of each quarter, the grantee will receive a score card that indicates the percentage of benchmarks being met (100%-70% of benchmarks = green; 70%-50% of benchmarks = yellow; less than 50% of benchmarks = red). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that were complete and responsive under FOA DP09-912ARRA to this competitive funding opportunity announcement were evaluated for programmatic and technical merit. Applications were reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that were non-responsive to the eligibility criteria did not advance through the review process. Applicants were notified the application did not meet submission requirements.

The panel was comprised of a primary, secondary, and tertiary reviewer that will score the applications and document their strengths and weaknesses. The applications were scored against the criteria not against one another. Successful applicants received a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

A technical review panel will evaluate complete and responsive applications according to the evaluation criteria listed in funding opportunity announcements D09-901 and DP09-902.

An objective review panel will evaluate complete and responsive applications

according to the evaluation criteria listed in funding opportunity announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the availability of funding under the Affordable Care Act (ACA).

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: Until April 30, 2012. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Health Care and Education Affordability Reconciliation of Act of 2010 must comply with all requirements specified in: (a) The amount of unobligated award balances; (b) A detailed list of all projects or activities for which Health Care Reform Act funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (c) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient. (d) Recipients must account for each Health Care Reform Act award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down Health Care Reform Act funds on an award-specific basis. Pooling of Health Care Reform Act award funds with other funds for drawdown or other purposes is not permitted. (e) Recipients must account for each Health Care Reform Act award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. Recipients of Federal awards from funds authorized under Health Care and Education Affordability Reconciliation of Act of 2010 must comply with all requirements specified in: (a) The amount of unobligated award balances; (b) A detailed list of all projects or activities for which Health Care Reform Act funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (c) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient. (d) Recipients must account for each Health Care Reform Act award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down Health Care Reform Act funds on an award-specific basis. Pooling of Health Care Reform Act award funds with other funds for drawdown or other purposes is not permitted. (e) Recipients must account for each Health Care Reform Act award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of

the project period. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$34,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: None. Fiscal Year 2010: In fiscal year 2010, projected to issue 7-10 awards to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. This initiative will address the following:

- Increased levels of physical activity
- Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats);
- Decreased smoking prevalence and decreased teen smoking initiation; and
- Decreased exposure to secondhand smoke. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

MEMORANDUM FOR THE HEADS OF DEPARTMENTS AND AGENCIES: Updated Implementing Guidance for the American Recovery and Reinvestment Act of 2009
Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Mike Waller, 4770 Buford Hwy, NE, Mailstop K45, Atlanta, Georgia 30341
Email: MNW1@cdc.gov Phone: (770) 488-5264.

Website Address:

<http://www.cdc.gov/>; and www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to state and local health departments for Evidenced-Based Clinical and Community-Based Prevention and Wellness activities. CDC will require state applicants to specifically address in their applications state plans for sustaining the impact of Health Care Reform Act investments beyond the federal funding provided in the next two years.

Specifically, states will need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan. Other criteria will be listed in individual funding opportunity announcements.

93.521 THE AFFORDABLE CARE ACT: BUILDING EPIDEMIOLOGY, LABORATORY, AND HEALTH INFORMATION SYSTEMS CAPACITY IN THE EPIDEMIOLOGY AND LABORATORY CAPACITY FOR INFECTIOUS DISEASE (ELC) AND EMERGING INFECTIONS PROGRAM (EIP) COOPERATIVE AGREEMENTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act (PHS Act), Section 301 and 317, 42 U.S.C 241 and 247b; Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002.

OBJECTIVES:

Funding was appropriated under regular CDC appropriations, HHS/CDC H1N1 appropriations, and the Patient Protection and Affordable Care Act (the Affordable Care Act) (Public Law 111-148). The Prevention and Public Health Fund (Title IV, Section 4002) was established under PPACA to provide for expanded and sustained national investment in prevention and public health programs to improve health and help restrain the rate of growth in private and public sector health care costs. The Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) and Emerging Infections Program (EIP) cooperative agreements were formed in 1995 as key components of CDC's national strategy to address and reduce emerging infectious disease (EID) threats. The programs play a critical role in strengthening national infectious disease infrastructure by serving as collaborative platforms for state and local health departments, CDC programs, and academic and various other public health partners to improve the ability to detect and respond to EIDs and other public health threats. Specifically, the programs build epidemiology, laboratory, and information systems capacity, integrate epidemiology and laboratory practice, implement active surveillance, and conduct targeted research aimed at improving methods and informing national surveillance and response activities. Overall, additional funds from multiple sources including PPACA will allow ELC and EIP partner agencies to substantially address gaps in EID epidemiology and laboratory capacity (e.g. number and training level of epi and lab staff, efficient/functional information systems, etc).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, and the implementation of other program elements to build public health epidemiology, laboratory, and health information systems capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual. Funds may not be used for clinical care.

Applicant Eligibility:

Eligible applicants include the current ELC and EIP grantees which consists of all 50 states, Washington, D.C., 5 largest local health departments (Chicago, Illinois; Houston Texas; Los Angeles, California; New York City, New York; Philadelphia, Pennsylvania;), Puerto Rico, and the Republic of Palau or their bona fide agents.

Beneficiary Eligibility:

State health departments, large local health departments, the District of Columbia, U.S. Territories, and the general public.

Credentials/Documentation:

Credentials and or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O.

12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

Award Procedure:

Applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo an objective review process (ELC) or a peer review process (EIP), receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to five years. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2010 and reporting by January 10, 2010 the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). Each funded applicant must provide an interim and annual progress report. The interim progress report is due no less than 90 days before the end of the specified budget period. The Interim Progress Report will serve as the non-competing continuation application. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are required, no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

00-0000-0-0-000.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$21,250,000; FY 11 est \$45,450,000 - FY2010: Approximately \$21,250,000 in cooperative agreement funding will be made available. This consists of approximately \$19,200,000 Affordable Care Act funding and approximately \$2,050,000 other funding. FY2011: Approximately \$45,450,000 in cooperative agreement funding will be made available. This consists of approximately \$44,000,000 Affordable Care Act funding and approximately \$1,450,000 other funding.

Range and Average of Financial Assistance:

ELC: Awards in FY2010 will range from approximately \$50,000 to \$300,000 with an average of approximately \$184,000.
EIP: Awards in FY2010 will range from approximately \$200,000 to \$600,000 with an average of approximately \$455,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated in FY2010 that up to 68 applications (58 ELC + 10 EIP) will be received.
ELC: Up to 58 will be awarded.
EIP: Up to 10 will be awarded. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>.

Regional or Local Office:

None.

Headquarters Office:

Greg J. Jones, Acting Branch Chief, Scientific and Program Services Branch, Division of Preparedness and Emerging Infections (proposed), National Center for Emerging and Zoonotic Diseases (proposed), Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE
Mailstop C10, Atlanta, Georgia 30333 Email: GJJ1@cdc.gov Phone: (404) 639-4180 Fax: (404) 639-3106.

Website Address:

<http://www.cdc.gov>; www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) clearly provide a plan that includes quantitative process and outcome measures (2) provide adequate and clear information to enable the reviewer to gain a reasonable understanding of the applicants recent and current efforts to address the issues and (3) provide a realistic plan with activities that can be achieved in year one and subsequent years. Other criteria will be listed in the individual funding opportunity announcement.

93.522 AFFORDABLE CARE ACT (ACA) PREVENTION CENTER FOR HEALTHY WEIGHT

Prevention Center

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Title V, Section 501(a)(2) Social Security Act (42 U.S.C. 701) and Section 4002 of the Patient Protection and Affordable Care Act (P.L. 111-148).

OBJECTIVES:

The Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA), Office of Planning, Analysis and Evaluation (OPAE) announces the availability of funding for a cooperative agreement in fiscal year (FY) 2010 to support the Prevention Center for Healthy Weight (PC) to plan, implement and manage a Healthy Weight Collaborative, and to provide technical assistance targeting all HRSA and DHHS grantees participating in the HRSA funded Healthy Weight Collaborative (HWC). The authority for this program is Title V, Section 501(a)(2) Social Security Act (42 U.S.C. 701) and Section 4002 of the Patient Protection and Affordable Care Act (P.L. 111-148).

Aligning with Administration and Departmental activities related to Healthy Weight and HRSA's strategic plan, the mission of the HWC is to discover, develop, and disseminate evidence-based community-based and promising clinical interventions to prevent and treat obesity for children and families. The cooperative agreement awardee will do the following:

- Plan, implement, and manage the HWC;
- Provide technical assistance to HRSA and DHHS grantees to improve approaches to prevent and treat overweight and obesity in their respective communities;
- Improve quality of care to prevent and treat overweight and obesity through understanding of quality improvement concepts, tools, and techniques;
- Support teams participating in the HWC; and
- Demonstrate a commitment to long-term sustainability of the project after the Federal period of support.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The cooperative agreement recipient will engage HRSA and DHHS grantees in a variety of activities that will build capacity at the community level to transfer knowledge, skills, and practical approaches to quality management around the prevention and treatment of overweight and obesity for children and families. The targeted audience for this program includes HRSA and DHHS grantees and is inclusive of safety net providers, health departments, community-based organizations, and other constituents and stakeholders in the HRSA and DHHS Program network. Funds may not be used to support the following: the delivery of health care services, Construction, or Alteration or renovation.

Applicant Eligibility:

Any public or private entity, including an Indian tribe or tribal organization (as those terms are defined at 25 USC 450(b)) is eligible to apply.

Beneficiary Eligibility:

See above for additional information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Applicants responding to this announcement may request funding for a project and budget period of up to 18 months. See the following for information on how assistance is awarded/released: Awardees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Status Reports:

1) Submit a Financial Report. A financial report is required within 90 days of the end of each budget period. It is submitted electronically through the HRSA EHBs. The report is an accounting of expenditures under the project that year. More specific information will be included in the award notice.

2) Submit a Progress Report(s). Further information will be provided in the award notice.

3) Submit a Final Report.

A final report is due within 90 days after the project period ends. The final report collects program-specific goals, core performance measurement data, awardee objectives and accomplishments, barriers encountered, and responses to summary questions regarding the awardees overall experiences over the entire project period. The final report must be submitted on-line by awardees in the Electronic Handbooks system at

<https://grants.hrsa.gov/webexternal/home.asp>. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the cooperative agreement. The FFR Cash Transaction Reports must be filed within 30 days of the end of the quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Further information will be provided in the award notice. A financial report is required within 90 days of the end of each budget period. It is submitted electronically through the HRSA EHBs. The report is an accounting of expenditures under the project that year. More specific information will be included in the award notice. Further information will be provided in the award notice.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Award recipients are required to maintain cooperative agreement accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise

from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$5,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

One Cooperative Agreement will be funded for approximately \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Amanda Cash
HRSA/Office of Planning, Analysis and Evaluation
5600 Fishers Lane, 10-49
Rockville, MD 20857
(301) 443-0208.

Headquarters Office:

Amanda Cash 5600 Fishers Lane, 10-49

, Rockville, Maryland 20857 Phone: (301) 443-0208

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal objective review panels for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See Section V - Application Review Information in the funding opportunity announcement.

93.523 THE AFFORDABLE CARE ACT: HUMAN IMMUNODEFICIENCY VIRUS (HIV) PREVENTION AND PUBLIC HEALTH FUND ACTIVITIES

The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Executive Order This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended, 42 U.S.C 247b,247b(k)(2); Patient Protection and Affordable Care Act , Executive Order This program is authorized under the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs. , Title IV, Section 4002, Public Law 111-148, Stat. 124-1025, 42 U.S.C.

OBJECTIVES:

Program activities under this funding will allow for CDC partnership with state and local health departments and other agencies to substantially reduce HIV risk and incidence. Specifically, the program activities may include but are not

limited to:

1. Expanded and improved surveillance activities, including a laboratory reporting supplement to the current surveillance program to enable state and local health departments to improve the reporting of HIV laboratory data with special emphasis on CD4 and VL test results.
2. Increased HIV testing and linkage to care services.
3. Comprehensive HIV prevention activities, including the implementation of a structured, evidence-based collection of HIV prevention interventions in a limited number of jurisdictions with the most cases of AIDS. Interventions will include HIV testing, linkage and retention in care, Prevention with Positives including antiretroviral therapy and behavioral interventions, prioritized behavioral interventions for people at highest risk of HIV infection, condom distribution, and enhanced policy, systems, and environmental approaches.
4. Activities to support the above efforts, including program monitoring and evaluation, capacity building, and technical assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, data collection, organizing, and the implementation of program elements to build HIV prevention and public health capacity. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.

Applicant Eligibility:

Eligible applicants include state and local governments or their Bona Fide Agents.

Beneficiary Eligibility:

State and local health departments, U.S. Territories, and the general public will benefit.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download application forms from www.Grants.gov. Applications must be submitted electronically at www.Grants.gov. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and emailed to the program director and a hard copy mailed to the recipient fiscal officer identified in the application.

Unsuccessful applicants will receive notification of the results of the application review by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. About 120 days from receipt of application.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon the conditions in the funding announcement and are subject to the availability of funds under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period. See the following for information on how assistance is awarded/released: xxx.

Reports:

The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. The reporting schedule will be based on the requirements listed in the funding announcement. In most cases, funded applicants must provide an interim and annual progress report. Where applicable, the interim progress report is due no less than 90 days before the end of the specified budget period. Where applicable, the Interim Progress Report (IPR) will serve as the non-competing continuation application. Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are due no later than 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

75-1043-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$21,600,000; FY 11 est \$21,600,000

Range and Average of Financial Assistance:

Awards will range from approximately \$85,000 to \$1,700,000 with an average of approximately \$500,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b.

Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

None.

Headquarters Office:

Megan B. Copeland, 1600 Clifton Road, MS E-07, Atlanta, Georgia 30096
Email: mzf3@cdc.gov Phone: (404) 639-8864.

Website Address:

<http://www.cdc.gov>; www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by Division of HIV/AIDS Prevention (DHAP), NCHHSTP and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

CDC will conduct a review to evaluate complete and responsive applications according to the criteria listed in Section V. Application and Submission Information, subsection Evaluation Criteria within the individual funding announcement. Applicants will be notified if their application did not meet program requirements.

93.524 THE AFFORDABLE CARE ACT (ACA): CAPACITY BUILDING ASSISTANCE TO STRENGTHEN PUBLIC HEALTH INFRASTRUCTURE AND PERFORMANCE

CBA to Strengthen Public Health Infrastructure and Performance

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended, and the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002 (Prevention and Public Health Fund), Title IV, Section 4002.

OBJECTIVES:

This funding was appropriated under the Patient Protection and Affordable Care Act (PPACA) (PL 111-148). The Prevention and Public Health Fund (Title IV, Section 4002) was established under this legislation to provide for expanded and sustained national investment in prevention and public health programs to improve health and help restrain the rate of growth in private and public sector health care costs.

This program will help state, tribal, local and U.S. territorial health departments by making available to them capacity building assistance (including technical consultation, skills building/training, information, and technology transfer assistance) that will improve: 1) the planning, coordination, and implementation of public health infrastructure investments, and 2) the evaluation (including dissemination of best practices) of public health infrastructure investments.

The overall goal of capacity building assistance is to ensure improvements in the public health infrastructure so that it is prepared for responding to both acute and chronic threats relating to the Nations health such as emerging infections, disparities in health status, and increases in chronic disease and injury rates.

This supplement for capacity building assistance will be focused on the provision of technical consultation, skills building/training, information, and technology transfer assistance to ensure successful planning, implementation, coordination and evaluation of the key areas for public health infrastructure

investments. This expert assistance will prepare states, tribal, local and territorial health departments to successfully implement PPACA. Some of the capacity building needs are in the following areas:

Improving the public health workforce (e.g., e-learning, other training, and fellowship programs); vital statistics system (electronic birth and death registration); food and water borne disease identification and prevention, prevention of hospital acquired infections, electronic health record/IT systems, communications systems and processes (e.g. information syndication and social media capacity), laboratory and epidemiologic capacity.

Developing information systems to support adoption, implementation, enforcement, and/or evaluation of public health legislation, codes, rules, regulations, ordinances, and other policies.

Improving public health system development/redevelopment by building or engineering of infrastructure to improve networking, coordination, standardization, and centralization of public health services to effectively and efficiently address priority health indicators.

Implementing best health care practices and impact evaluation

Improving information and data system capacity through policy/communication for standardized data collection and analysis systems, information management technology, information dissemination, and meaningful data use and translation

Improving organizational capacity to effectively and efficiently use resources such as fiscal agents, and intermediaries, and

Improving technical and program guidance and evaluation of preventive services to expand coverage for community and clinical preventive services.

This includes technical consultation and promotion on the adoption and utilization of the recommendations from the Guide for Community Preventive Services.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with providing capacity building assistance to strengthen State, Tribal, Local, and Territorial public health infrastructure investments. Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies (including training materials), and services, such as contractual.

Applicants will be required to participate, comply, and cooperate with CDC for any reporting requirements stipulated by, or mandated for, the receipt of PPACA funding. PPACA funding is intended to be used only in support of PPACA programs and projects.

Applicant Eligibility:

Eligible applicants are limited to national, non-profit, public health professional organizations funded through the competitive funding opportunity announcement HM08-805. These organizations include: the Association of State and Territorial Health Officials (ASTHO), the National Association of County and City Health Officials (NACCHO), the American Public Health Association (APHA), the Council of State and Territorial Epidemiologists (CSTE), the Public Health Foundation (PHF), the Public Health Accreditation Board (PHAB), The National Network of Public Health Institutes (NNPHI), the Task Force for Global Health/Public Health Informatics Institute (TFGH/PHII), the National Association of Local Boards of Health (NALBOH), the Public Health Data Standards Consortium (PHDSC), and the Association of Maternal and Child Health Programs (AMCHP).

Beneficiary Eligibility:

State health departments, large local health departments supporting cities with populations of 1 million or more inhabitants, the District of Columbia, U.S. Territories, tribal health organizations and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Allowable costs will be determined in accordance with OMB Circular No. A-87 for non-profit non-governmental organizations.

Pre-application coordination is not required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Applicants must download supplemental application forms from www.Grants.gov. Supplemental applications must be submitted electronically at www.Grants.gov.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms on-line, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO-TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov

Award Procedure:

Supplemental applications that are complete and responsive will be evaluated for scientific and technical merit and receive support. CDC will not review incomplete and non-responsive applications. Applications that are complete and responsive will undergo and objective review process, receive a written critique and be scored according to the published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer. Initial award provides funds for the first budget period (usually 12 months) and the NOA will indicate support recommended for the remainder of the project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the Patient Protection and Affordable Care Act.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is available for a 12-month budget period within project periods ranging from one to three years. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

Each funded applicant must provide an interim and annual progress report. The interim progress report is due no less than 90 days before the end of the specified budget period. The Interim Progress Report will serve as the non-competing continuation application. The annual progress report is due no less than 90 days after the end of the budget year (05/31). No later than 10 days after the end of each calendar quarter, starting with the quarter ending December 31, 2010 and reporting by January 10, 2010, the recipients must submit a calendar quarter cash transaction report (SF-272 or top portion of the SF-425 Financial Report Form) to the Payment Management System (PMS). Each funded applicant must provide an interim and annual progress report. The

interim progress report is due no less than 90 days before the end of the specified budget period. The Interim Progress Report will serve as the non-competing continuation application. The annual progress report is due no less than 90 days after the end of the budget year (05/31). Financial Status Reports (SF 269) are required no more than 90 days after the end of the budget period. Final financial status and progress reports are required, no more than 90 days after the end of the project period. Applicants selected for these competitive supplemental awards will be monitored by project officers to ensure accomplishment of approved objectives and activities (including development and dissemination of deliverables, and number of training and technical assistance episodes delivered), and for capacity building outcomes such as adoption of best practices by state, tribal, local and territorial health departments and increases in the implementation and evaluation of public health infrastructure investments.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO). The grantee is to also ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grants funds received exceed \$500,000). The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42.

Account Identification:

00-0000-0-0-000 - To Be Assigned.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$4,000,000; FY 11 est \$5,000,000 - This is a new program and the following is expected in cooperative agreement funding: \$0.00 is expected for FY 2009, approximately \$4,000,000.00 for FY2010, and approximately \$5,000,000.00 for FY 2011.

Range and Average of Financial Assistance:

The range is between \$.5M and \$4M, and the average would be \$660,000.00.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55b. Guidelines are available. 45 CFR 92, and also HHS Grants Policy Statement at <http://www.ahrq.gov/fund/hhspolicy.htm>

Regional or Local Office:

None.

Headquarters Office:

Samuel Taveras 1600 Clifton Road, MS-E70, Atlanta, Georgia 30333 Email: syt2@cdc.gov Phone: (404) 498-1126 Fax: (404) 498-1112.

Website Address:

<http://www.cdc.gov>; www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) demonstrated track record of providing technical consultation, skills building/training, information, or technology transfer assistance to state, tribal, local and territorial health departments, (2) understanding of the capacity building infrastructure development needs of state, tribal, local, and territorial health departments, (3) a clear plan of action with objectives achievable in one year and subsequent years, and (4) a clear assessment strategy (plan) to measure the effectiveness of capacity building assistance in improving the planning, implementation, coordination, evaluation (including dissemination of best practices) of public health infrastructure investments. Other criteria will be listed in the individual funding opportunity announcement.

93.525 STATE PLANNING AND ESTABLISHMENT GRANTS FOR THE AFFORDABLE CARE ACT (ACA)'S EXCHANGES

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Affordable Care Act, Section 1311(a).

OBJECTIVES:

To provide assistance to States for activities (including planning activities) related to establishing an Exchange that facilitates the purchase of qualified health plans, provides for the establishment of a Small Business Health Options Program (SHOP Exchange), and meets the requirements set forth by the Secretary and the Affordable Care Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grant funds are to be used for planning and implementation activities related to setting up a health insurance Exchange.

Applicant Eligibility:

Eligible applicants for the State Planning and Establishment Grants for the Affordable Care Act's Exchanges are States (including DC).

Beneficiary Eligibility:

Projects will benefit States or entities authorized by the State that will set up Exchanges in States.

Credentials/Documentation:

Applicants should review the funding opportunity announcement documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. OCIO requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the OCIO program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

This grant has a 1-year project period. These grants are not subject to renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements. Statutory formulas are not applicable to this program.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

FY 2009 not reported. Grant funding for this funding opportunity announcement will be awarded in Federal Fiscal Year 2010 and will be available through FY 2011. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Final Report could include a draft implementation plan, needs assessment, list of resources, and an evaluation plan. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access grant funds. Go to www.dpm.psc.gov for additional information. Quarterly Report may include accomplishments and barriers. Public Report States post information about the grants on their respective websites. Submit a Financial Status Report (FSR or SF-269) directly to OS. The report identifies cash expenditures against the authorized funds for the grant. The Financial Status Report must be filed semiannually or annually depending on the terms and conditions of award. Failure to submit the report may result in the inability to access grant funds. Performance Review - as described in the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the final Federal Financial Report. If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0115-0-1-551.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$51,000,000; FY 11 est not reported. - To be determined.

Range and Average of Financial Assistance:

Maximum award is \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: Fiscal Year 2010: States can use these funds for specific planning activities related to establishing Exchanges that are explained in the funding opportunity announcement. Fiscal Year 2011: To be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments or 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

OCIO awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose

of award. The HHS GPS is available at <http://dhhs.gov/asfr/ogapa/aboutog/ogpoe.html>.

Regional or Local Office:

See Regional Agency Offices. Michelle T. Feagins, Office of Consumer Information and Insurance Oversight, Department of Health and Human Services, 200 Independence Ave, SW, Washington DC 20201.

Headquarters Office:

Michelle T. Feagins, 200 Independence Ave., SW. , Washington, District of Columbia 20201 Email: michelle.feagins@hhs.gov Phone: (301) 492-4312.

Website Address:

<http://www.hhs.gov/ociio>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Approval of an application will be based on an analysis of the factors set forth in Section V--Application Review in the funding opportunity announcement.

93.526 AFFORDABLE CARE ACT (ACA) GRANTS FOR CAPITAL DEVELOPMENT IN HEALTH CENTERS

ACA Capital Development Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Patient Protection and Affordable Care Act of 2010, Title IV, Section 4101.

OBJECTIVES:

To award grants to Health Center Programs for major facility improvement projects.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds will be used to support the costs of alteration/renovation or construction of a facility that is consistent with the Health Center Programs mission to provide comprehensive, culturally competent, quality primary healthcare services to medically underserved communities and vulnerable populations.

Applicant Eligibility:

Eligibility is limited to currently-funded health centers (see 93.224).

Beneficiary Eligibility:

Populations in medically underserved areas.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under the relevant program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Eligibility is limited to currently-funded grantees under the Health Center Program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding

decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the specific funding opportunity announcement issued under the program for additional information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

Grant funds will be awarded for 1-5 years.

Length and Time Phasing of Assistance:

Grant funds will be awarded for 1-5 years. See the following for information on how assistance is awarded/released: Refer to the relevant funding opportunity announcement for additional information. After Notices of Award are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Case Request System.

Reports:

Refer to the relevant funding opportunity announcement for additional information. Refer to the relevant funding opportunity announcement for additional information. Refer to the relevant funding opportunity announcement for additional information. Refer to the relevant funding opportunity announcement for additional information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$300,000,000 - Project Grants Estimated obligations over the FY 2011 through FY 2015 are a total of \$1.5 billion.

Range and Average of Financial Assistance:

N/A.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Primary Health Care, Health Resources and Services Administration
5600 Fishers Lane, Room 17C-26, Rockville, Maryland 20857 Phone: (301)
594-4300.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.703 ARRA Health Center Integrated Services Development Initiative[g1]

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the funding opportunity announcement issued under this CFDA program for specific information on the review criteria used in evaluating all applications.

**93.527 AFFORDABLE CARE ACT (ACA) GRANTS FOR NEW AND EXPANDED SERVICES UNDER THE HEALTH CENTER PROGRAM
FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Section 330 of the Public Health Service Act (42 U.S.C. 254b), as amended by Title C, Section 10503 of The Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To provide for expanded and sustained national investment in community health centers funded under section 330 of the Public Health Service Act.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility and provision of primary health care services. Funds should not be used for inpatient services, or to make cash payments to intended recipients of services. Refer to the funding opportunity announcement under this CFDA number for additional information.

Applicant Eligibility:

Eligible applicants for funding new access points are public and private non-profit entities, including faith-based and community-based organizations that have the capacity to effectively administer the grant. Eligible applicants for funding expanded services are grantees currently funded as Health Centers under section 330 of the Public Health Service Act.

Beneficiary Eligibility:

Population groups in medically underserved areas.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award (NoA).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement under this CFDA program for specific information.

Appeals:

Not Applicable.

Renewals:

Awards to support projects beyond the first budget year will be contingent upon Congressional appropriation, compliance with applicable statutory and regulatory requirements, demonstrated organizational capacity to accomplish the projects goals, and a determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

This program has MOE requirements, see funding agency for further details.

See funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually. After Notices of Award (NoA) are issued, funds are released in accordance with DHHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Refer to the specific funding opportunity announcement under this CFDA program for additional information. Submit a quarterly electronic Federal Financial Report (FFR) Cash Transaction Report via the Payment Management System. The report identifies cash expenditures against the authorized funds for the grant. The FFR Cash Transaction Reports must be filed within 30 days of the end of each quarter. Failure to submit the report may result in the inability to access award funds. Go to www.dpm.psc.gov for additional information. Refer to the funding opportunity announcement under this CFDA program for additional information. Refer to the funding opportunity announcement under this CFDA program for additional information. Refer to the funding opportunity announcement under this CFDA program for additional information.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for 3 years after the date they submit the FSR. If any litigation, claim, negotiation, audit, or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$1,000,000,000; FY 11 est \$1,200,000,000

Range and Average of Financial Assistance:

No current information.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The program is subject to the provision of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 75 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations. HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Headquarter Program Office: Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, MD 20857. Eastern Division: (301) 594-4488; Central Mid-Atlantic Division: (301) 594-4420; Western Division: (301) 594-4420.

Headquarters Office:

Bureau of Primary Health Care, HRSA, 5600 Fishers Lane, Rockville, Maryland 20857 Phone: (301) 594-4110.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting proposals are available in the funding opportunity announcement under this CFDA program. In addition, all applicants are expected to demonstrate compliance with applicable requirements including the Health Center Program Expectations. In addition to these general requirements, there are specific requirements and expectations for applicants requesting funding under each of the section 330 programs. Applicants are strongly encouraged to demonstrate compliance with these requirements and expectations in the application. Applicants requesting funding under multiple programs are expected to demonstrate compliance with the expectations and requirements of all of those programs.

93.550 TRANSITIONAL LIVING FOR HOMELESS YOUTH

(TLP) Transitional Living for Homeless Youth and (MGH) Maternity Group Homes

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Juvenile Justice and Delinquency Prevention Act of 1974; The Runaway and Homeless Youth Act, Title III, Part B, Section 321, Public Law 110-378, 42 U.S.C 5701-5752.

OBJECTIVES:

The overall purpose of the Transitional Living Program (TLP) for Homeless Youth and the Maternity Group Homes (MGH) is to establish and operate transitional living projects for homeless youth, including pregnant and parenting youth. This program is structured to help older homeless youth achieve self-sufficiency and avoid long-term dependency on social services. Transitional living projects provide shelter, skills training, and support services to homeless youth, including pregnant and parenting youth, ages 16 to less than 22. This extends the residential stay for homeless youth to 635 days or 21

months. MGHs provide the same services as the TLP in addition to providing parenting instructions and child care. Other services that are offered include, but are not limited to, transportation, family planning, and pregnancy prevention services.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Transitional Living Programs are required to provide youth with stable, safe living accommodations and services that help them develop the skills necessary to move to independence. Living accommodations may be host family homes, group homes, Maternity Group Homes, or "supervised apartments." (Supervised apartments are either agency-owned apartment buildings or "scattered site" apartments, which are single-occupancy apartments rented directly by young people with support from the agency.) Eligible applicants include States, localities, private entities, and coordinated networks of such entities unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Indian Tribes that are not federally recognized and urban Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based organizations are eligible to apply for Transitional Living Program grants.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Transitional Living Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, nonprofit agencies. Faith-based organizations and small community-based organizations are eligible to apply.

Beneficiary Eligibility:

Homeless youth (ages 16 to 21).

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administration procedures will be determined in accordance with Parts 74 and 92 or Title 45, Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts, which assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Awards are made for 5-year project periods; funding after the first year is dependent upon satisfactory performance, availability of funds and determination that continued funding would be in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 10%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of 5 years. Funded applicants receive their full award amount each year of the five year funding cycle. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Quarterly using the SF-272 Federal Cash Transaction Report through the payment management system. Funded applicants are required to submit semi-annual program narrative reports, SF-269 financial reports as well as RHYMIS raw data client service reports. A final narrative and SF-269 financial report is due at the completion of the five year funding cycle. Semi-annually using the SF-PPR- Performance Progress Report. Semi-annually using the SF-269 Financial Status Report. An onsite review by federal staff is performed at least once during a project period using the Runaway and Homeless Youth Monitoring Protocol.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$43,764,000; FY 10 est \$43,990,000; FY 11 est \$43,990,000

Range and Average of Financial Assistance:

Range of grant is \$100,000 to \$200,000; the average grant is \$190,136.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 215 grants were funded in FY 09 and \$1,000,000 in contracts. Fiscal Year 2010: 215 grants are projected to be funded in FY 10 and \$1,300,000 in contracts. Fiscal Year 2011: 215 grants are expected to be funded in FY 11 and \$1,300,00 in contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link. Information is also available through the National Clearinghouse on Families and Youth, P.O. Box 13505, Silver Spring, MD 20911-3505. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Families, Family and Youth Services Bureau (See Appendix IV of this Catalog for the addresses of the Regional Offices.).

Headquarters Office:

Curtis Porter 1250 Maryland Avenue, SW, Washington, District of Columbia 20447 Email: curtis.porter@acf.hhs.gov Phone: 202-205-8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth; 93.623 Basic Center Grant; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 215 grants were funded in FY 09. Fiscal Year 2010: 215 grants were funded in FY 10. Fiscal Year 2011: 215 grants expect to be awarded in FY 11.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html.

93.551 ABANDONED INFANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Abandoned Infants Assistance Act of 1988, Section 104, Public Law 100-505, as amended; Child Abuse Prevention and Treatment and Adoption Reform Act, Section 302, 42 U.S.C 670.

OBJECTIVES:

To develop, implement and operate projects that demonstrate how to: (1) Prevent the abandonment of infants and young children exposed to HIV/AIDS and drugs, including the provision of services to family members for any conditions that increased the probability of abandonment of an infant or young child; (2) identify and address the needs of abandoned infants, especially those born with AIDS and those exposed to drugs; (3) assist these children to reside with their natural families, if possible, or in foster care; (4) recruit, train and retain foster parents for these children; (5) carry out residential care programs for abandoned children and children with AIDS who are unable to reside with their families; (6) establish programs of respite care for families and foster families; (7) recruit and train health and social services personnel to work with families, foster families and residential care staff; and (8) prevent the abandonment of infants and young children by providing needed resources through model programs. This program also funds technical assistance, including training, with respect to the planning, development and operation of the projects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to accomplish any of the stated program objectives.

Applicant Eligibility:

State or local governments; federally- recognized Indian Tribal governments; U.S. Territories and possessions; and nonprofit organizations and universities.

Beneficiary Eligibility:

Infants and children impacted by HIV/AIDS and or substance abuse, their parents, families, and other caretakers.

Credentials/Documentation:

Requirements will be specified in pertinent Federal Register Announcements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application procedure can be obtained at: <http://www.acf.hhs.gov/grants/index.html> or by contacting Headquarters Office, listed below, for application information.

Award Procedure:

Review is conducted by at least three non-federal professionals. Final funding decision will be made by Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 180 days.

Appeals:

Other - Not Specified.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10.%. Matching requirements are described in each Funding Opportunity Announcement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants will be made for a period up to 48 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program progress reports are required semi-annually; a final report is required no later than 90 days after the completion of the project. Financial reports are required semi-annually, a final expenditure report must be submitted no later than 90 days after the completion of the project. Program progress reports are required semi-annually; a final report is required no later than 90 days after the completion of the project. Financial reports are required semi-annually, a final expenditure report must be submitted no later than 90 days after the completion of the project. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$11,628,000; FY 10 est \$11,628,000; FY 11 est \$11,628,000

Range and Average of Financial Assistance:

Awards range from \$218,996 to \$850,000 with the average award being \$491,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 23 grants were awarded. Fiscal Year 2010: In fiscal year 2010, it is estimated that 19 grants will be awarded. Fiscal Year 2011: In fiscal year 2011, it is estimated that 19 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature.

Regional or Local Office:

None.

Headquarters Office:

Patricia Campiglia, 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: patricia.campiglia@acf.hhs.gov Phone: (202) 205-8060.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Demonstration projects designed to prevent the abandonment of drug-affected and HIV positive infants and young children; to maintain family stability and to reunify and strengthen families impacted by substance abuse and HIV/AIDS; service demonstration projects designed to provide comprehensive, coordinated and community-based social support services to clients in a location accessible to the target population; to provide support for family caregivers, and provide therapeutic services in a recreational setting for HIV-infected or affected children and young people. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Contact Headquarters Office for specific criteria.

93.556 PROMOTING SAFE AND STABLE FAMILIES**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, as amended, Title IV, Part B, Section Subpart 2.

OBJECTIVES:

To prevent the unnecessary separation of children from their families, improve the quality of care and services to children and their families, and ensure permanency for children by reuniting them with their parents, by adoption or by another permanent living arrangement. This is accomplished through issuance of grants to State child welfare agencies and eligible Indian Tribes to serve families at risk or in crisis, including provision of the following services: reunification and adoption services, preplacement/preventive services, follow-up services after return of a child from foster care, respite care, services designed to improve parenting skills; and infant safe haven programs; to fund community-based family support services that promote the safety and well-being of children and families, to afford children a safe, stable and supportive family environment, to strengthen parental relationships and promote healthy marriages, and otherwise to enhance child development; time-limited family reunification services to facilitate the reunification of the child safely and appropriately within a timely fashion; and adoption promotion and support services designed to encourage more adoptions out of the foster care system, when adoption, promotes the best interests of the child. In addition, a portion of funds also is reserved in FY 2008 - FY 2011 for a separate formula grant for States and territories to support monthly caseworker visits with children who are in foster care. A small proportion of appropriated funds are reserved for research, evaluation and technical assistance, which may be awarded competitively through contracts or discretionary grants.

TYPES OF ASSISTANCE:

FORMULA GRANTS; PROJECT GRANTS

USES AND USE RESTRICTIONS:

For the main Promoting Safe and Stable Families Formula Grants, a significant portion of funds must be spent on each of the service categories of family preservation, family support services, time-limited family reunification services and adoption promotion and support services. State grantees must limit administrative costs to 10 percent of the total expenditures required to draw their allotment. Caseworker visit formula grants: States and territories are required to spend funds to support monthly caseworker visits with children in foster care with a primary emphasis on activities designed to improve caseworker retention, recruitment, training and ability to access the benefits of technology. Discretionary Grants: Grants are awarded for research, evaluation and technical assistance activities relating to family support, family preservation, time-limited family reunification and adoption promotion support.

Applicant Eligibility:

(1) Formula Grants: States, territories and certain Indian Tribes are eligible applicants. For caseworker visit funds, only States and territories are eligible applicants. (2) Discretionary Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations) with expertise in providing, evaluating and/or providing technical assistance related to family preservation, family support, time-limited

family reunification and adoption promotion and support.

Beneficiary Eligibility:

Families and children who need services to assist them to stabilize their lives, strengthen family functioning, prevent out-of-home placement of children, enhance child development and increase competence in parenting abilities, facilitate timely reunification of the child, and promote appropriate adoptions.

Credentials/Documentation:

Formula Grants: The State agency which administers the social services program under Title XX of the Social Security Act (Social Services Block Grant) must also be the agency which administers the Title IV-B programs. Discretionary Grants: Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Discretionary grants under this program are eligible for coverage under E.O. 12372, however, formula grants are not. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application Procedure (1) Formula Grants: A 5-year agency plan must be submitted. The plan must be jointly developed by the Secretary and the State or Indian Tribe and written after consultation by the agency with appropriate public and non-profit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations. (2) Discretionary Grants: Announcement of availability of funds is published in the Federal Register. Eligible applicants submit applications by specified deadlines.

Award Procedure:

(1) Formula Grants: Funds will be approved after the agency plan, or annual update is submitted and approved. (2) Discretionary Grants: Grant applications are reviewed by a panel of nonfederal professionals with expertise in child and family services, evaluation and related fields. Final decisions are made by the Commissioner, Administration for Children, Youth, and Families (ACYF). Contract proposals are reviewed by a panel of Federal Staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range of Approval/Disapproval Time (1) Formula Grants: The agency plan or its annual update is due June 30 of each year. Approval/disapproval is due September 30 of each year. (2) Discretionary Grants: From 3 to 6 months.

Appeals:

Appeals are processed in accordance with Departmental regulations at 45 CFR 16.

Renewals:

Discretionary Grants: Grants are generally available for a 12-, 24-, or 36- month period. Some may be renewed for up to a total of 5 years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds.

Formula and Matching Requirements:

Statutory Formula:
Matching Requirements: Percent: 25%. Statutory Formula: (1) Formula Grants: State allotments are computed based on the number of children receiving food stamps over a three year rolling average. Allotments to Indian Tribes, including Tribal consortia are based on a percent set aside of the total appropriation in each fiscal year and computed based on the number of children in the tribe compared to the total number of children in eligible Indian Tribes. However, grants will not be made to Indian Tribes whose allotment is less than

\$10,000. Allotments to Territories are based on the formula in subpart 1 of Title IV-B. Matching Requirements: FFP is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the grantee. Grantees must provide a 25 percent match for these expenditures. (2) Discretionary Grants: The funding formula and matching requirements are described in each program announcement. This program does not have MOE requirements. A MOE requirement is applicable to the formula grants to States. Specifically, States may not use the Federal funds under title IV-B, subpart 2, to supplant Federal or non-Federal funds for existing family preservation and family support services as of 1992 (base year). The discretionary grants component of this program does not have MOE requirements.

Length and Time Phasing of Assistance:

(1) Formula Grants: Grants are available for obligation and liquidation in the FY granted and in the subsequent FY. Grant awards will be made quarterly on a fiscal year basis through a letter of credit. The Electronic Transfer System will be used for monthly cash draws from Federal Reserve Banks. (2) Discretionary Grants: Grants are generally available for a 12-, 24-, or 36- month period. Some may be renewed for up to a total of 5 years. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: quarterly. Method of awarding/releasing assistance: quarterly.

Reports:

(1) Formula Grants: After the end of each of the first four fiscal years, the States, Territories, and Indian Tribes must submit an interim review of progress toward accomplishment of the goals in the State or tribal plan. After the end of the fifth fiscal year, the States and Indian Tribes must perform a final review of progress toward accomplishment of the goals. An SF-269 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Performance monitoring is conducted through the Children and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37. (2) Discretionary Grants: Financial and program reports are required semiannually with a final report at completion of the project. PSC-272 and annually, SF-269. Performance monitoring is not applicable. PSC-272. (1) Formula Grants: After the end of each of the first four fiscal years, the States, Territories, and Indian Tribes must submit an interim review of progress toward accomplishment of the goals in the State or tribal plan. After the end of the fifth fiscal year, the States and Indian Tribes must perform a final review of progress toward accomplishment of the goals. (2) Discretionary Grants: Financial and program reports are required semiannually with a final report at completion of the project. Annually, SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirement of 45 CFR Part 92.

Records:

(1) Formula Grants: Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42. (2) Discretionary Grants: All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 09 \$381,475,117; FY 10 est \$391,721,737; FY 11 est \$392,221,737

Range and Average of Financial Assistance:

States and Territories: \$180,239 to 34,757,984 with an average of \$6,019,347.
Tribes: \$10,244 to \$1,858,864 with an average of \$80,715. Discretionary grant awards range from \$100,000 to 1,040,000 with the average award being \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The timeliness of exits from foster care to either guardianship or adoption is one measure of effectiveness for the PSSF program. In FY 2008 (latest available data year), 41.9 percent of children exiting from foster care to guardianship or adoption did so within two years of placement. This represents an increase from previous FYs and exceeds the established program target.
Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

See Regional Agency Offices. Consult Regional Administrators, Administration for Children and Families. (See Appendix IV of the Catalog for listing).

Headquarters Office:

Eileen West, 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: eileen.west@acf.hhs.gov Phone: (202) 205-8438.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse; 93.586 State Court Improvement Program; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.659 Adoption Assistance; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.557 EDUCATION AND PREVENTION GRANTS TO REDUCE SEXUAL ABUSE OF RUNAWAY, HOMELESS AND STREET YOUTH

(SOP) Street Outreach Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Reconnecting Homeless Youth Act of 2008, Public Law 110-378 Part E.

OBJECTIVES:

The Street Outreach Program (SOP) makes grants available to nonprofit agencies for the purpose of providing street-based services to runaway, homeless and street youth who have been subjected to, or are at risk of being subjected to, sexual abuse, prostitution, or sexual exploitation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provide education and prevention services, directly or through collaboration with other agencies, to runaway, homeless and street youth that have been subjected to or are at risk of sexual exploitation or abuse. The goal and design of these efforts is to help young people leave the streets to build positive relationships.

Applicant Eligibility:

Any private, nonprofit agency is eligible to apply. Nonfederally recognized Indian Tribes and urban Indian organizations are eligible to apply for grants as private, nonprofit agencies: (Note: Public agencies are not eligible).

Beneficiary Eligibility:

Runaway and homeless street youth will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts that assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau. Recommendations are made to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Grantee must provide a nonfederal share or match of at least 10 percent of the Federal funds awarded. The nonfederal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a period of 36 months. Method of awarding/releasing assistance: quarterly.

Reports:

Progress and fiscal reports must be submitted semi-annually. A final program and expenditure report must be submitted within 90 days after the completion of the project period. Fiscal reports (SF-269) must be submitted semi-annually. A final program and expenditure report must be submitted within 90 days after the completion of the project period. *See program reports (narratives). *See cash reports (SF-269). Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133.

Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$17,721,000; FY 10 est \$17,971,000; FY 11 est \$17,971,000 -

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period; Average award is \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 164 grants were awarded in FY 09 and \$1,400,000 in contracts. Fiscal Year 2010: 166 grants are projected to be awarded in FY 10 and \$1,600,000 in contracts. Fiscal Year 2011: 168 grants are projected to be awarded in FY 11 and \$1,700,000 in contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link. Information is also available through the National Clearinghouse on Families and Youth, 5515 Security Lane Suite 800 North Bethesda, MD 20852. Telephone: (301) 608-8098. Fax: (301) 608- 8721. The National Clearinghouse on Families and Youth website address is <http://www.http://ncfy.acf.hhs.gov> and general email address is info@ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Family, Family and Youth Services Bureau, Division of Youth Services. (See Appendix IV of this Catalog for the addresses of the Regional Offices.)

Headquarters Office:

Curtis Porter 1250 Maryland Avenue, S.W., Washington, District of Columbia 20447 Phone: 202.205.8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.623 Basic Center Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 164 grants were awarded for street-based education and outreach, emergency shelter, survival aid, individual assessment, treatment and counseling prevention and education activities, information and referral, crisis intervention, and follow-up support. Fiscal Year 2010: In FY 10, 166 grants are projected to be awarded for street-based education and outreach, emergency shelter, survival aid, individual assessment, treatment and counseling prevention and education activities, information and referral, crisis intervention, and follow-up support. Fiscal Year 2011: In FY 11, 168 grants are expected to be awarded for street-based education and outreach, emergency shelter, survival aid, individual assessment, treatment and counseling prevention and education activities, information and referral, crisis intervention, and follow-up support.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants/grants_fysb.html. Organizations with experience in providing services to homeless youth are given priority.

93.558 TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(TANF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, 42 U.S.C 601 et seq.

OBJECTIVES:

To provide grants to States, Territories, the District of Columbia, and Federally-recognized Indian Tribes operating their own Tribal TANF programs to assist needy families with children so that children can be cared for in their own homes; to reduce dependency by promoting job preparation, work, and marriage; to reduce and prevent out-of-wedlock pregnancies; and to encourage the formation and maintenance of two-parent families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States, Territories, the District of Columbia, and Federally-recognized Indian Tribes operating their own TANF programs have broad flexibility to use the grant funds in any manner that meets the purposes of the program (including providing low-income households with assistance in meeting home heating and cooling costs) and in ways that States and Territories were authorized to use funds received under the predecessor Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS), and Emergency Assistance (EA) programs. States and Territories may also transfer a limited portion of their assistance grant funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. Not more than 15 percent of any State grant may be spent on administrative costs, exclusive of certain computerization and information technology expenses. Cash grants, work opportunities, and other services are made directly to needy families with children. For Tribal programs, ACF will negotiate a limitation on administrative costs for the first year of the program's operation not to exceed 35 percent, for the second year of the program's operation not to exceed 30 percent, and then for the third and subsequent years of the program's operation not to exceed 25 percent. For the TANF program, there are certain prohibitions, restrictions, and limitations on the provision of assistance. For example, families may only receive Federally-funded assistance for five years. In addition, Federal funds may not be used to provide medical services, unless it is pre-pregnancy family planning services (Tribes, however, may use Federal funds for medical services if they are job-related).

Applicant Eligibility:

In general, all States, Territories, the District of Columbia, and all Federally-recognized Tribes in the lower 48 States and 13 specified entities in Alaska are eligible. State and local agencies and Tribes that operate TANF programs must do so under plans determined to be complete or approved by the Department of Health and Human Services (DHHS). For Contingency Funds, all States and the District of Columbia are eligible if they are determined to be a "needy State" by satisfying either an unemployment trigger or a food stamp trigger. Territories and Tribes are not eligible for Contingency Funds.

Beneficiary Eligibility:

Needy families with children, as determined eligible by the State, Territory, or Tribe in accordance with the State or Tribal plan submitted to the Department of Health and Human Services (HHS).

Credentials/Documentation:

Federal funds go to the State, Territory, or Tribal agency certified by the Chief Executive Officer. Needy families must meet State or Tribal eligibility requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each State, Territory, and the District of Columbia must develop a State plan and include the certifications signed by the Executive Officer (Governor). Applicants must consult with local governments and private organizations and provide them 45 days to comment on the plan. The State plan and certifications must be submitted to the Secretary of HHS. Tribes may apply to the Secretary to receive funds and to administer the TANF block grant. Tribes would submit a 3-year family assistance plan. The Secretary, in consultation with the Tribe, would set program requirements and time-limits for receipt of welfare-related services, consistent with the purposes of the program

and economic conditions/resources of each Tribe. Tribes should contact the OFA Regional TANF Program Manager for further Tribal plan submittal procedures. For Contingency Funds, each State must request these funds monthly for each month they meet either the unemployment or food stamp triggers. For Supplemental Grants, there is no application. Supplemental Grants are awarded annually and issued quarterly to the States (in number 17) with exceptionally high population growth in the early 1990s, historic (1994) welfare grants per poor person lower than 35 percent of the national average, or a combination of above average population growth and below average historic welfare grants per poor person.

Award Procedure:

Once a plan is determined complete, or in the case of a Tribe the plan is approved, by the DHHS, Family Assistance Grants are awarded in quarterly payments. Supplemental Grants are also awarded in quarterly payments. Contingency Fund awards will be made monthly.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

States, Territories, the District of Columbia, and Tribes implement their assistance programs according to their State and Tribal plans. The Secretary does not have authority to approve or disapprove a State plan, only to determine its completeness. Tribal plans are subject to approval by the Secretary. For Contingency Funds, approval/disapproval will be from 30 to 60 days after receipt of the request for funds.

Appeals:

States, Territories, the District of Columbia, and Tribes facing an adverse action by the Secretary may appeal under both administrative and judicial procedures. In addition, States, Territories, the District of Columbia, and Tribes must provide opportunities for recipients who have been adversely affected to be heard in a State or Tribal administrative or appeal process.

Renewals:

States, Territories, and the District of Columbia must periodically (every 2 or 3 years) renew their funding status by submitting their TANF plan. Tribes must renew their funding status every 3 years. For Contingency Funds, requests for additional funding must be made monthly.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Chapter 2, Part 260; 286.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

States, Territories, the District of Columbia, and Tribes are awarded their assistance grants in quarterly payments. They may reserve grant moneys, without fiscal year limitation, to provide any allowable benefits and services that are consistent with the purposes of the TANF program. With certain exceptions, most families are limited to no more than 5 years of assistance under the Federal grant. Tribes have the flexibility to establish time limits on receipt of assistance. For Contingency Funds, grant awards are issued monthly to States meeting the eligibility criteria stipulated under Section 101. Method of awarding/releasing assistance: quarterly.

Reports:

States, Territories, the District of Columbia, and Tribes operating their own Tribal TANF programs are required to collect and report to the Secretary on a quarterly basis case record information on the families receiving assistance. They are also required to report administrative costs and overhead expenditures on programs for needy families, participation by noncustodial parents in work activities, and transitional services provided to former recipients. They may be required to report child poverty information annually in accordance with the methodology the Secretary has established by regulation. No cash reports are required. No progress reports are required. Quarterly financial reports. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be conducted by the Inspector General under Chapter 75 of Title 31, United States Code. If a State or Territory is found to have used funds from the State Family Assistance Grant in violation of the statute, the Secretary shall reduce the grant payable to them for the immediately succeeding fiscal year by that same amount. For Tribes, the Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and OMB Circular A-133.

Records:

States, Territories, the District of Columbia, and Tribes must maintain records containing information the Secretary may require by regulation.

Account Identification:

75-1552-0-1-609.

Obligations:

(Formula Grants) FY 09 \$1,106,924,000; FY 10 est \$212,397,000; FY 11 est \$0 - (Contingency Funds--estimated ending balance). (Formula Grants) FY 09 \$319,450,000; FY 10 est \$0; FY 11 est \$319,450,000 - (Supplemental Grants for Population Increases). (Formula Grants) FY 09 \$77,377,000; FY 10 est \$77,875,000; FY 11 est \$77,875,000 - (Territory Assistance Grants and Matching Grants). (Formula Grants) FY 09 \$16,484,125,000; FY 10 est \$16,488,667,000; FY 11 est \$16,488,667,000 - (State and Tribal Family Assistance Grants).

Range and Average of Financial Assistance:

State and Tribal Family Assistance grants are estimated from \$77,195 to \$3,659,482,790 with an average of \$149,896,973.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Grants were made to 50 States, the District of Columbia, 3 Territories, and 63 Tribes. Fiscal Year 2010: Grants will be made to 50 States, the District of Columbia, 3 Territories, and a projected 70 Tribes. Fiscal Year 2011: Grants will be made to 50 States, the District of Columbia, 3 Territories, and a projected 75 Tribes.

REGULATIONS, GUIDELINES, AND LITERATURE:

Temporary Assistance for Needy Families (TANF) Final Rule was originally published in the Federal Register on April 12, 1999 (Vol. 64, No. 69). Publication of the Deficit Reduction Act of 2005 was published in the Federal Register on February 5, 2008 (Vol. 73, No. 24) to incorporate changes resulting from reauthorization of the TANF program. Program rules for State programs can be found at 45 CFR Parts 260 through 265. The Tribal Temporary Assistance for Needy Families (TANF) Final Rule was published in the Federal Register on February 18, 2000 (Vol. 65, No. 34). Tribal rules can be found at 45 CFR Part 286.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Joanne Krudys, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 127.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Darrel McGhee, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2936.

Region V (IL, IN, MI, MN, OH, WI):

Steven Krasner, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-3265.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 276, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY)

Felicia Gaither, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 1961 Stout Street, 9th Floor, Denver, CO 80294. Telephone: (303) 844-1483.

Region IX (AZ, CA, HI, NV):

Dan Baker, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8450.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rshelbourne@acf.hhs.gov Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.560 FAMILY SUPPORT PAYMENTS TO STATES_ASSISTANCE PAYMENTS

(Adult Programs in the Territories)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XVI, 42 U.S.C 1351.

OBJECTIVES:

To provide aid to the aged, blind, and the permanently and totally disabled in Guam, Puerto Rico, and the Virgin Islands.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Cash payments are made to eligible needy aged, blind, or disabled persons in Guam, Puerto Rico, and the Virgin Islands. These payments are to cover costs for food, shelter, clothing, and other daily living needs recognized as necessary by each Territory's program. In addition, an individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least eighteen years of age and totally disabled to receive aid to the permanently and totally disabled.

Applicant Eligibility:

Agencies must operate under Department of Health and Human Services (HHS) approved plans and agreements, which must comply with all Federal statutory and regulatory requirements governing these programs. The applicant must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least eighteen years of age and totally disabled to receive aid to the permanently and totally disabled.

Beneficiary Eligibility:

The beneficiary must be needy -- i.e., meet specific income and resource requirements. In addition, the individual must be at least age 65 to receive assistance for the aged; must be determined blind to receive aid to the blind; or must be at least eighteen years of age and totally disabled to receive aid to the permanently and totally disabled. These programs also have specific unearned and earned income disregards that are applied in determining the amount of assistance payable.

Credentials/Documentation:

Federal funds must go to a certified Territorial welfare agency. Individuals must meet all eligibility requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Eligible individuals should apply directly to their Territorial or local welfare agency. The Territories should contact the Regional TANF program manager for application forms. (See Appendix IV of the Catalog for addresses.) OFA/Regional Temporary Assistance for Needy Families (TANF) program managers have authority to approve applications and amendments; disapproval authority is held by the Director of the Office of Family Assistance, in the Administration for Children and Families. Territories contact the Regional TANF program manager for applications for administrative funds.

Award Procedure:

Once an application is approved, Territories are awarded funds quarterly based on ACF-approved estimates of maintenance assistance and administrative costs. Notification of awards must be made to the designated Territorial agency. Eligible individuals receive monthly subsistence checks from Territorial welfare agencies.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 30 to 60 days. Welfare agencies must have a time standard for notifying applicants of approval/disapproval; not over 45 days after application, except 60 days for aid to disabled.

Appeals:

From 90 to 120 days. Individuals denied assistance must be given a fair hearing on appeal (45 CFR, Chapter 2, Section 205.10). Territorial agencies may request a hearing before the Assistant Secretary for Family Support regarding adverse decisions (45 CFR, Chapter 2, Section 201.14; 45 CFR, Part 16).

Renewals:

> 180 Days. The agency must conduct periodic reviews to determine whether conditions justifying eligibility still exist.

Formula and Matching Requirements:

Statutory Formula: Title XVI.

Matching Requirements: Program funds are provided based on a formula linked to the number of recipients receiving benefits each month.

Administrative costs are matched at 50%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Individuals receive support from the Territorial or local welfare agency until they no longer meet the eligibility requirements. See the following for information on how assistance is awarded/released: Payments to eligible individuals are paid monthly.

Reports:

No program reports are required. Cash reports are not applicable. No progress reports are required. Territorial claims must be submitted quarterly to receive Federal matching funds. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Territories must maintain records which substantiate eligibility determination and grants that are made.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 09 \$32,808,000; FY 10 est \$33,000,000; FY 11 est \$33,000,000

Range and Average of Financial Assistance:

No data available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACF regulations are published in the Federal Register, 45 CFR 200 et seq.

Regional or Local Office:

See Regional Agency Offices. Region II (Puerto Rico, Virgin Islands)

Joanne Krudys, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 127.

Region IX (Guam)

Dan Baker, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8450.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rshelbourne@acf.hhs.gov

Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.563 CHILD SUPPORT ENFORCEMENT

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, 42 U.S.C 1673-6103-6.

OBJECTIVES:

To enforce the support obligations owed by absent parents to their children, locate absent parents, establish paternity, and obtain child, spousal and medical support.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States and some Tribes provide support enforcement services directly to individuals who are receiving federally-funded Foster Care Maintenance Payments, Medicaid, Temporary Assistance to Needy Families (TANF) (or those who cease to receive TANF), and to individuals not otherwise eligible for such services. TANF, Medicaid, and certain federally-funded Foster Care applicants or recipients must have assigned support rights to the State. Non-TANF individuals other than those who cease to receive TANF and/or who provide authorization to the IV-D agency to continue support enforcement services, must have signed a written application for support enforcement services. The State must provide services to locate absent parents, establish paternity and enforce support obligations.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands, and Guam. Each of these jurisdictions is required to establish or designate a single and separate State Child Support Enforcement Agency. Tribes are eligible and we currently have seventeen tribal child support programs and 23 in the start-up phase.

Beneficiary Eligibility:

The State must provide support enforcement services to: (1) All applicants for, or recipients of TANF, Foster Care Maintenance Payments, and Medicaid, for whom an assignment to the State of support rights has been made and who are in need of such services; (2) all individuals who cease to receive TANF; (3) individuals who provide authorization to the IV-D agency to continue support enforcement services; and (4) any other individual who is in need of such services and who has applied for them.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

State officials prepare and review state plans and amendments. Regional Office staff are available for consultation or assistance in preparation of State plans and amendments. The standard application forms, as furnished by Department of Health and Human Services (DHHS) and required by OMB Circular No. A-102, must be used for this program. High level State officials review State Plans and amendments before they are submitted. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point

of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications are made in the form of a State Plan, prepared in the format provided by the Office of Child Support Enforcement.

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide support enforcement services to individuals eligible under an approved Title IV-D State plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. State Plans and amendments submitted as new State Plans, and Plan amendments may require up to 90 days for approval.

Appeals:

From 30 to 60 days. The State may request reconsideration of plan disapproval or plan amendment disapproval within 60 days after that disapproval.

Renewals:

Once approved, State IV-D plans remain in effect until amended or revised.

Formula and Matching Requirements:

Statutory Formula: Title IV-D, Public Law 94-46,94-88,94,365,95-30,95-59,95-171,95-598,96-178,96-265,96-272,96-611, 97-35,97-248,98-369,98-378,100-485,101-508.

Matching Requirements: States receive a 66 percent Federal Financial Participation (FFP). Tribes receive 90 percent FFP during the first three years of operation of a Title IV-D program and then 80 percent FFP for each subsequent year of operation.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal funds are awarded quarterly on a fiscal year basis. The Electronic Transfer System is used for monthly cash draw from Federal Reserve Banks based on quarterly awards. Method of awarding/releasing assistance: by letter of credit.

Reports:

Periodic audits are made as part of recipient's systems of financial management and internal control in accordance with Public Law 98-502, the single Act of 1984. Cash reports are done on Form 269A. Periodic reports are required on the progress of the programs. Quarterly financial reports of administrative expenditures and child support collections and annual program reports including caseload data are required by OCSE. Periodic audits are conducted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS Inspector general and State Audit (private groups) and other Federal government officials. OCSE conducts data reliability of variables used to compute performance measures. These audits were mandated by The Child Support Performance and Incentive Act of 1998 (CSPIA).

Records:

The IV-D agency must maintain records necessary for the proper and efficient administration of the State IV-D plan.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 09 \$4,674,810,064; FY 10 est \$4,953,630,000; FY 11 est \$4,617,995,000

Range and Average of Financial Assistance:

In FY09, from \$800,999,190 to \$3,083,492; \$47,220,304; FY10, from \$847,062,745 to \$3,261,223; \$50,036,667; FY11, from 789,178,928 to 3,038,369; \$46,646,414.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 54 grants were awarded to States. Tribal programs are estimated to receive \$30,856,009 for FY 2009. Child support collections are estimated at \$26 billion for FY 2009. Fiscal Year 2010: 54 grants are expected to be awarded to States. Tribal programs are estimated to receive \$42,000,000 in FY 2010. Child support collections are estimated to be \$25 billion in FY 2010. Fiscal Year 2011: 54 grants are expected to be awarded to States. Tribal programs are estimated to receive \$42,000,000. Child support collections are estimated to be \$28 billion in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR, Chapter III, 45 CFR 16, 45 CFR 74, 45 CFR 95, 45 CFR 213, 45 CFR 232.

Regional or Local Office:

See Regional Agency Offices. Regional Representative, Department of Health and Human Services. (See Appendix IV of the Catalog for addresses and telephone numbers.).

Headquarters Office:

Joyce Pitts 901 D. Street, S.W., Washington, District of Columbia 20447
Email: jpitts@acf.hhs.gov Phone: 2024015374 Fax: 2024015642

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.597 Grants to States for Access and Visitation Programs; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.564 CHILD SUPPORT ENFORCEMENT RESEARCH

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, Section 1115, 42 U.S.C 1315.

OBJECTIVES:

To discover, test, demonstrate, and promote utilization of new concepts which will increase cost effectiveness, reduce welfare dependency, and increase child support collections from absent parents.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded for innovative research and demonstrations of regional and national significance that are responsive to program priorities in Child Support Enforcement, and for coordination, administration and provision of services to target populations. All applications must meet standards of excellence in research and evaluation design.

Funds authorized under Section 1115 of the Social Security Act are limited to State agencies administering the Child Support Enforcement Program or their umbrella agencies.

All applications must outline explicitly the information sought about the administration, or effects of the proposed research and how the activity will be evaluated. Funds may not be used for construction. A Section 1115 waiver of any of the State Plan requirements under Title IV-D of the Social Security Act

may be granted in connection with any experimental pilot or demonstration project that will assist in promoting the objectives of the Child Support Enforcement program and meets certain conditions.

Applicant Eligibility:

Section 1115 grants may be made only to State Child Support Enforcement agencies or their umbrella agencies.

Beneficiary Eligibility:

State agencies, local governments, nonprofit organizations, and for-profit organizations.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. Costs will be determined in accordance with OMB Circular No. A-87 for State governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used for this program. Application kits which contain the prescribed application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Office of Grants Management, Director, Division of Discretionary Grants, 6th Floor East, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-4577. Contact: Daphne Weeden, Director, Division of Discretionary Grants. Eligible interested parties are encouraged to submit applications on grants.gov. To be considered for a grant award, all applications must be submitted on the prescribed application forms and submitted to the Division of Grants Management. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. As part of the project title (page 1 of the application form, Form SF-424) the applicant must clearly indicate whether the application submitted is in response to a priority project identified in a program announcement and must reference the unique project identifier (e.g., HHS-2010 - ACF - OCSE-FD-0113) for which the application is to compete. Applications are initially screened for relevance to program interests of the Office of Child Support Enforcement (OCSE). Ineligible applications will be returned to the applicant. Eligible applications are reviewed and evaluated by a review panel of not fewer than three knowledgeable people. A limited number of projects may be approved pending available funds and will compete with other nonpriority projects. Written assessment of each application is made.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application for a grant is disapproved, applicants may request the reasons for disapproval. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval.

Renewals:

Extensions and continuations of grants with 1-year project periods are generally not available except under extreme circumstances. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for continuation each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grants are typically three years in length. For multi-year projects, support beyond the first year is contingent upon acceptable evidence of satisfactory progress and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly narrative reports are required for all grant projects. Semi-annual expenditure reports are required for all projects. Quarterly report are required. Semi-annual expenditure reports are required. Periodic audit reports are made and monitoring is conducted by the Federal Project Officer.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number act number and including all receipts and expenditures must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1501-0-1-609.

Obligations:

(Project Grants) FY 09 \$4,000,000; FY 10 est \$2,000,000; FY 11 est \$2,000,000 - OCSE and the Centers for Medicare and Medicaid Services (CMS) have historically shared \$4,000,000 in Section 1115 funds. In FY 2009, CMS declined to award grant opportunities under Section 1115 grant program and relinquished their share of funding for additional OCSE grants.

Range and Average of Financial Assistance:

FY 10 \$60,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Thirty new grants were awarded. Fiscal Year 2010: In FY 2010, OCSE expects to award approximately \$985,000 in new grants. Fiscal Year 2011: In FY 2011, OCSE expects to award \$2,000,000 in new grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

The pamphlets "Research Grants in Social Security," "Grants Administration Policies," and application kits may be obtained from the ACF, Office of Grants Management, Division of Discretionary Grants, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Regional or Local Office:

See Regional Agency Offices. Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Shirley Decker 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: shirley.decker@acf.hhs.gov Phone: 202 401-5291

Website Address:

<http://www.acf.hhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.563 Child Support Enforcement; 93.597 Grants to States for Access and Visitation Programs; 93.601 Child Support Enforcement Demonstrations and Special Projects; 93.647 Social Services Research and Demonstration; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In FY 2009, 30 projects were funded in these subject areas: prisoner reentry (in collaboration with Department of Justice and Labor); family centered services; arrears management, projects to increase collections, and IV-D/IV-A/university partnerships, medical support and projects addressing the economic downturn. Fiscal Year 2010: For FY 2010, 14 projects are under review in these areas: active duty military; veterans; projects to prevent and manage arrears through collaboration with other agencies; and projects to build assets. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Objectives and need for assistance; (2) approach; (3) competence of staff; (4) independent evaluation; and (5) reasonableness of budget.

93.566 REFUGEE AND ENTRANT ASSISTANCE STATE ADMINISTERED PROGRAMS

Refugee Cash and Medical Assistance and Refugee Social Services

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Refugee Education Assistance Act of 1980, Title V, Public Law 96-422, 94 Stat. 1809, 8 U.S.C 1522; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386; William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 212-235, Public Law 110-457; Consolidated Appropriations Act of 2008, Public Law 110-161, 121 Stat. 2212; Defense Authorization Act of 2008, Public Law 110-181, 122 Stat. 398; FY 2009 Omnibus Appropriations Bill, Section 602, Public Law 111-08.

OBJECTIVES:

To reimburse States for assistance provided to refugees, asylees, Cuban and Haitian entrants, victims of a severe form of trafficking, certain Amerasians from Viet Nam, and Iraqi and Afghan Special Immigrant Visa Holders (SIVs) for resettlement by funding cash assistance, medical assistance, care of unaccompanied refugee minors, and social services for the eligible population. State agencies may purchase training and services from other providers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance is limited to refugees, asylees, Cuban and Haitian entrants, certain Amerasians, victims of a severe form of trafficking, and Iraqi and Afghan Special Immigrants, as defined in the authorizing Acts, supplemented by appropriate documentation provided to the refugee from the United States Citizenship and Immigration Services (USCIS). For victims of trafficking, a certification or letter of eligibility issued by HHS/ORR is required. Federal policy governs other eligibility factors. The scope of services for which funds are available to State and local welfare and health agencies for assistance on behalf of eligible refugees is similar to that in regular domestic public assistance programs.

Applicant Eligibility:

State agencies, State Replacement Designees, and Wilson/Fish projects are eligible to apply for these funds.

Beneficiary Eligibility:

Refugees, Cuban and Haitian entrants, Amerasian immigrants, asylees, certified victims of a severe form of trafficking, and Iraqi/Afghan SIVs.

Credentials/Documentation:

Persons for whom assistance is authorized are limited to refugees with documentation from the USCIS as to refugee status, to certain Amerasian immigrants and their accompanying family members, to Cuban and Haitian entrants who meet definitions under Title V of the Refugee Education Assistance Act of 1980, asylees, Iraqi and Afghan Special Immigrants, and victims of a severe form of trafficking who have received a certification or eligibility letter from HHS/ORR. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Submittal, and approval of, State plans, annual service plans, and annual State estimates.

Award Procedure:

Grants are made to designated State agencies following submittal of approved State plans, annual service plans, and annual State estimates.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. No later than 45 days after the State plan or plan amendment is submitted, the ORR director will determine whether the plan or plan amendment meets requirements for approval and will approve or disapprove the plan.

Appeals:

From 30 to 60 days. Any State dissatisfied with approval or disapproval of the state plan or plan amendment may, within 60 days, file a petition with the Director for reconsideration of the determination. The State may also request a hearing. The Director will affirm, modify, or reverse the original determination, within 60 days of submission of the petition, or within 60 days after a hearing.

Renewals:

Annual submission of plans and State estimates.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter IV, Part 400.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded on a quarterly basis. Funds for Cash and Medical Assistance must be obligated within the fiscal year of appropriation and liquidated within the next fiscal year. Funds for social services must be obligated by end of the fiscal year after the fiscal year of appropriation and liquidated within the following fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

State agencies submit program performance reports three times a year. Cash reports are not applicable. State agencies submit program progress reports three times a year. State agencies submit expenditure reports four times a year. ORR regularly monitors State-administered programs, including cash and medical assistance, social services, and the unaccompanied refugee minors program. ORR primarily targets on-site monitoring to States with large populations that receive significant funding. The ORR monitoring team reviews with State staff the eligibility and documentation requirements and verifies the maintenance of accurate and up-to-date client files. ORR also monitors State financial, aggregate assistance and services, and case-level reports and reviews State Plans and State Plan amendments. These steps help assure that States conduct their programs with program and fiscal integrity and follow statutory requirements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain financial records for 3 years after final payment or of the time period for the particular records in Parts 1-20 of Federal Procurement Regulations, whichever expires earlier.

Account Identification:

75-1503-0-1-609.

Obligations:

(Formula Grants) FY 09 \$279,528,000; FY 10 est \$341,032,000; FY 11 est \$379,700,000

Range and Average of Financial Assistance:

In FY 2009, grants for the Refugee Cash and Medical Assistance program ranged from \$19,000 to \$57,000,000; the average was \$5,000,000. Grants for the Refugee Social Services program ranged from \$75,000 to \$25,000,000; the average was \$2,000,000. It is anticipated that this pattern will continue in FY 2010 and FY 2011.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 400, Subparts A-I. Various materials are available on request from the Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, Washington, DC 20447.

Regional or Local Office:

None.

Headquarters Office:

Pamela Green-Smith, 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20447 Email: pamela.greensmith@acf.hhs.gov Phone: (202) 401-4531 Fax: (202) 401-0981.

Website Address:

<http://www.acf.hhs.gov/programs/orr> .

RELATED PROGRAMS:

93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Cash and medical assistance for up to 8 months, (2) case management, (3) employment services, (4) care for unaccompanied refugee minors, and (5) English language training concurrent with employment services. Fiscal Year 2010: (1) Cash and medical assistance for up to 8 months, (2) case management, (3) employment services, (4) care for unaccompanied refugee minors, and (5) English language training concurrent with employment services. Fiscal Year 2011: (1) Cash and medical assistance for up to 8 months, (2) case management, (3) employment services, (4) care for unaccompanied refugee minors, and (5) English language training concurrent with employment services.

CRITERIA FOR SELECTING PROPOSALS:

States must have submitted an approved State Plan, annual service plan, and annual State estimates.

93.567 REFUGEE AND ENTRANT ASSISTANCE_VOLUNTARY AGENCY PROGRAMS

Matching Grant Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Defense Authorization Act, 2008, Public Law 110-181; Consolidated Appropriations Act, 2008, Public Law 110-161; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386; FY 2009 Omnibus Appropriations Bill, Public Law 111-08.

OBJECTIVES:

To assist refugees in becoming self-supporting and independent members of American society, by providing grant funds to private nonprofit organizations to support case management, transitional assistance, and social services for new arrivals.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Federal funds of up to \$2,200 per refugee are provided on a matching basis of \$2.20 of Federal funds matched by \$1.10 of private funds and in-kind contributions. Required services include case management, job development, and maintenance assistance for four to six months. Funds may also be used for English language training concurrent with employment services, job training, and cash allowance.

Applicant Eligibility:

Grant awards are limited to States and private, nonprofit organizations which have a Reception and Placement Cooperative Agreement with the Department of State or Department of Homeland Security.

Beneficiary Eligibility:

Refugees must be enrolled within 31 days of arrival. Entrants/asylees must be enrolled within 31 days of granting of parole or asylum.

Credentials/Documentation:

Newly arriving refugees, certain Amerasians, Cuban or Haitian Entrants, asylees, and Iraqi or Afghan Special Immigrants, as evidenced in the documentation from the United States Citizenship and Immigration Services (USCIS), formerly the Immigration and Naturalization Services (INS), and victims of trafficking with certification documents issued by HHS. Cost principles for nonprofit organizations will be determined by OMB Circular No. A-122. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Eligible applicants submit an application to HHS. This document includes a detailed program proposal and budget. Subsequent to review by an independent panel and consideration of past performance, the Director of the Office of Refugee Resettlement makes final funding decisions and grant awards are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Deadlines are established in the notice of funds availability, which is published on grants.gov and the ORR website at www.acf.hhs.gov/programs/orr.

Appeals:

Not Applicable.

Renewals:

Contingent of funds availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 50%. Grants are awarded on a basis of

\$2.20 in Federal funds for each \$1.10 of private funds up to a maximum of \$2,200 per refugee. Up to 80 percent of the nonfederal share may be through in-kind donations, with a minimum required cash match of 20 percent. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded with a 3-year project period and incremental 1-year budget periods. Method of awarding/releasing assistance: lump sum.

Reports:

Program progress reports are required every four months; and financial status reports are required semi-annually. An interim final financial status report is due 90 days after the program period has ended; and a final financial status report is due 210 days after the project period. Cash reports are not applicable. Program progress reports are required every four months; and financial status reports are required semi-annually. SF-269 Financial status reports are required semi-annually. The program has an annual monitoring schedule to conduct site visits to approximately 5-10% of all local affiliates. On monitoring visits to local affiliate, the team selects representative caseload for review and evaluates eligibility for the program, self-sufficiency plan, case notes, employment outcomes and also conducts interviews with staff to assess their respective knowledge of the program requirements. The team will also interview select clients and visit local employers of refugee clients to assess local agency-employer-client relations. Also, Matching Grant program guidelines include the requirement that national volags must monitor their affiliates and report back to ORR on an annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

The grantee shall maintain individual case records on all eligible refugees counted as receiving match grant services.

Account Identification:

75-1503-0-1-609.

Obligations:

(Cooperative Agreements) FY 09 \$60,000,000; FY 10 est \$65,309,000; FY 11 est \$60,000,000

Range and Average of Financial Assistance:

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

All inquiries should be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Ronald Munia, 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20009 Email: ronald.munia@acf.hhs.gov Phone: (202) 401-4559 Fax: (202) 401-0981.

Website Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Matching grant awards are made on the basis of the evaluation criteria in the notice of funding availability.

93.568 LOW-INCOME HOME ENERGY ASSISTANCE

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Low Income Home Energy Assistance Act of 1981, as amended.; The Omnibus Budget Reconciliation Act of 1981; Energy Policy Act of 2005 , Public Law 109-58.

OBJECTIVES:

To make Low Income Home Energy Assistance Program (LIHEAP) grants available to States and other jurisdictions to assist eligible households to meet the costs of home energy. Supplemental Leveraging Incentive Funds may be awarded to reward States and other jurisdictions that provide additional benefits and services to LIHEAP-eligible households beyond what could be provided with Federal funds. Up to 25 percent of the leveraging incentive funds may be set aside for LIHEAP grantees that provide services through community-based nonprofit organizations to help LIHEAP-eligible households reduce their energy vulnerability under the Residential Energy Assistance Challenge Program (REACH). Training and Technical Assistance: To provide training and technical assistance to States and other jurisdictions administering the LIHEAP block grant program.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Energy Assistance Block Grants: Funds are awarded to States and other jurisdictions, which then make payments directly to an eligible low-income household or, on behalf of such household, to an energy supplier to assist in meeting the cost of home energy. Up to 10 percent of these funds may be used for State and local planning and administration. Up to 15 percent may be used for low-cost residential weatherization. Grantees may request that HHS grant a waiver for the fiscal year that increases from 15 percent to 25 percent funds that can be allotted for residential weatherization. Depending upon specific appropriations, HHS may allocate supplemental LIHEAP leveraging incentive funds to grantees that have acquired nonfederal leveraged resources in order to provide additional benefits and services to LIHEAP-eligible households to help them meet their home heating and cooling needs. Up to 25 percent of leveraging incentive funds may be allocated by HHS to LIHEAP grantees that provide services through community-based nonprofit organizations to LIHEAP-eligible households to reduce their energy vulnerability, under the Residential Energy Assistance Challenge Program (REACH). Up to \$600,000,000 is authorized as emergency contingency funds to be used to meet additional needs arising from a natural disaster or other emergency. Such funds will be made available only after submission to Congress of a formal budget request for all or part of the funds by the President that designates the amount of the request as an emergency under the Balanced Budget and Emergency Deficit Control Act of 1985. Training and Technical Assistance: the Secretary has authority to set aside up to \$300,000 from each years appropriation for training and technical assistance relating to the Low-Income Home Energy Assistance Program. These T/TA activities are accomplished through: grants, contracts, or jointly financed cooperative or interagency agreements with States, Indian tribes, tribal organizations, public agencies or private nonprofit organizations; through interagency agreements, including with Federal agencies, or through on-site compliance reviews of LIHEAP grantees. The nature of and amount awarded for training and technical assistance vary from year to year.

Applicant Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally-and State-recognized Indian Tribal governments which request direct funding, and specified Territories may receive direct grants. The prospective

grantee must submit an annual application. Grantees desiring leveraging incentive funds and REACH funds must submit special applications each year. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Beneficiary Eligibility:

Energy Assistance Block Grants: All States, the District of Columbia, federally- and State-recognized Indian Tribal governments that request direct funding, and specified Territories may provide assistance to households with incomes up to the greater of 150 percent of the poverty level or 60 percent of the State median income. Grantees may establish lower income eligibility levels, but they may not set the limit below 110 percent of the poverty level. Training and Technical Assistance: States, Indian tribes or tribal organizations, Territories, public agencies, and private nonprofit organizations may apply. Nothing in the Statute precludes a business concern that applies jointly with a private nonprofit organization from receiving a training and technical assistance grant.

Credentials/Documentation:

Energy Assistance Block Grants: The chief executive officer of the State, Tribe or Territory or his designee must certify to 16 assurances required by law. Households must meet beneficiary eligibility requirements. Grantees desiring leveraging incentive funds or REACH funds must submit annual applications. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Requirements vary and are at www.grants.gov or www.fedbizopps.gov. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Energy Assistance Block Grants: Applications are submitted by the chief executive officer of a State, Indian Tribe (recognized by the Federal government or a State government), tribal organization, or Territory, or his designee. Applications contain assurances prescribed by law and a plan describing how certain assurances will be carried out, and other information specified by law. Separate applications are required to qualify for leveraging incentive funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Households wishing to apply for benefits should contact the local welfare agency or community action agency for information regarding the agency administering the program in their jurisdiction. Training and Technical Assistance: Requirements that apply to each request for proposals vary and are at www.grants.gov or www.fedbizopps.gov.

Award Procedure:

Energy Assistance Block Grants: Grants are made upon receipt of a complete application by the Office of Community Services Headquarters Office. Leveraging incentive funds and REACH funds will be awarded based on applications submitted by grantees. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Procedures that apply to each request for proposals vary and are at www.grants.gov or www.fedbizopps.gov.

Deadlines:

Sep 01, 2010 Energy Assistance Block Grants: States and Territories must submit their applications by September 1, prior to the fiscal year for which funds are sought, unless the Department agrees to a later date. Tribal applications must also be submitted by September 1, prior to the fiscal year for which the funds are sought, unless the State in which a tribe is located agrees to a later date. All applications must be completed by December 15, of the fiscal year for which funds are sought, unless the Department (in the case of States and Territories) or the State (in the case of tribal grantees) agrees to a later date. Leveraging incentive fund reports are due by November 30 of each year. Reach

applications are due by March 30 of each year. Contact Headquarters Office listed below for further information. www.grants.gov or www.fedbizopps.gov.

Range of Approval/Disapproval Time:

Energy Assistance Block Grants: The Department reviews plans for completeness and will act on the plans submitted as quickly as possible. Leveraging incentive and REACH applications will be reviewed and acted upon as quickly as possible. Training and Technical Assistance: Range of time varies, depending upon the nature and complexity of a request for proposals.

Appeals:

Energy Assistance Block Grants: Grantees may request a hearing regarding repayment of funds or withholding of funds under Section 2608 of the authorization. Training and Technical Assistance: Not applicable for unsuccessful applicants for grants or cooperative agreements. For contracts, prospective applicants may object to a contract award by filing a protest with the contracting officer or higher authority. The notification issued by the contracting office to unsuccessful bidders contains the procedures and time frame for filing a protest.

Renewals:

Energy Assistance Block Grants: Annual applications are required. Separate annual applications are also required for leveraging incentive funds and REACH funds. Instructions will be issued if emergency contingency funds are released. Training and Technical Assistance: Award periods may vary and are at www.fedbizopps.gov.

Formula and Matching Requirements:

Statutory Formula: Section 2604 of the LIHEAP statute addresses this issue. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Energy Assistance Block Grants: Grant awards are made to grantees with complete applications for carrying out the program within the fiscal year. Up to 10 percent of a fiscal year's grant may be held available for obligation in the subsequent fiscal year. For leveraging incentive funds and REACH funds, obligation must occur by the end of the fiscal year following the year in which the funds are appropriated, without regard to the 10 percent carryover limit. See the following for information on how assistance is awarded/released: Section 2607 of the Low Income Home Energy Assistance Program, as amended.

Reports:

Energy Assistance Block Grants: Grants: Grantees must submit an annual report within 90 days of the end of the fiscal year on the amount of funds obligated during the fiscal year and the last date of obligations of funds. A report is required annually on the number and income levels of households served during the previous year, on those households that are served that have members who are elderly, disabled, or young children, and on the number and income levels of all households that apply for assistance whether or not they are served. This report must be included as part of the annual plan before funds are released. A report on the amount of funds that the grantee desires to carry over for obligations in the succeeding fiscal year, the reasons any such funds will not be used during the first fiscal year, the types of assistance to be provided with funds carried over and the amount of funds, if any, to be subject to reallocation must be submitted by August 1 annually. Grant awards for the following fiscal year will not be released until this report is received. No cash reports are required. Training and Technical Assistance: Grantees are required to submit quarterly financial reports and a final progress report. For contracts, contractors are required to submit quarterly financial reports form HHS-646, Financial Report of Individual Project (Contract). A report is required from those grantees expending up to 5 percent of funds under section 2605(b)(16) for services that encourage and enable households to reduce their home energy needs. A report is due by November 30 of each year for those grantees that which to receive leveraging incentive funds, reporting the amount value of leveraged resources they added to the program in the previous fiscal year. For Reach a financial status report is due 90 days after the end of the program year and a final evaluation is due six months after the end of the program year. Performance monitoring is required for leveraging incentive funds.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. (As discussed in Appendix I of this Supplement, Federal Programs Excluded from the A-102 Common Rule, LIHEAP is exempt from the provisions of the OMB cost principles circulars. However, according to 45 CFR 96.31(a), LIHEAP grantees and sub-grantees are responsible for obtaining audits in accordance with the Single Audit Act Amendments of 1996 (31 U.S.C. 7501-7507) and revised OMB Circular A-133, Audits of State, Local Governments, and Non-Profit Organizations. An independent auditor in accordance with generally accepted Government auditing standards covering financial audits shall make the audits. State cost principals requirements apply to LIHEAP.

Records:

Grant accounting records must be maintained in accordance with section 2605(b)(10).

Account Identification:

75-1502-0-1-609.

Obligations:

(Formula Grants) FY 09 \$4,509,672,000; FY 10 est \$4,509,672,000; FY 11 est \$2,510,000,000 - Contingency Fund: FY09 \$590,328,000; FY10 \$590,328,000; FY11 est. \$790,000,000.

Range and Average of Financial Assistance:

FY09 \$4,651,781 to \$475,409,152; Average is \$86,841,142.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 243 grants were awarded. Fiscal Year 2010: In FY 10, 243 grants will be awarded. Fiscal Year 2011: It is anticipated that 243 grants will be awarded in FY11.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96

Regional or Local Office:

None.

Headquarters Office:

Nick St. Angelo Division of Energy Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W, Washington, District of Columbia 20447 Phone: (202) 401-9351 Fax: (202) 401-5661

Website Address:

<http://www.acf.hhs.gov/programs/liheap>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria pertinent to each request for training and technical assistance proposals are published in the Federal Register or Federal Business Opportunities (www.febsbizopps.gov).

93.569 COMMUNITY SERVICES BLOCK GRANT

CSBG Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Community Services Block Grant (CSBG) Act.

OBJECTIVES:

To provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income

communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act) and (1) To provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious foodstuffs, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

(1) States receive block grants to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. (2) States are required to use at least 90 percent of their allocations for grants to "eligible entities" as defined in the Community Services Block Grant (CSBG) Act, as amended; this includes primarily locally-based community action agencies and/or organizations that serve seasonal or migrant farm workers. (2) No more than the greater of \$55,000 or 5 percent of each State's allocation may be used for administrative expenses at the State level.

Applicant Eligibility:

The Secretary is authorized to make grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants.

Beneficiary Eligibility:

States make grants to qualified locally-based nonprofit community antipoverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed 125 percent of the official poverty line.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of Health and Human Services (HHS). Each application must contain assurances by the appropriate State designee that the State will comply with Section 676 of the Community Services Block Grant

Act and also meet conditions enumerated in Sections 678(B-D). The State is required to hold at least one legislative hearing every three years in conjunction with the development of the State Plan (Section 676(a)(3)). States are also required to conduct public hearings on the proposed use and distribution of funds to be provided under the Act. The latter sets forth the general purpose for which funds will be used, restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. The Chief Executive Officer of each State is also required to designate a lead agency to prepare and submit a plan to the Secretary of HHS describing how the State will carry out the assurances in Section 676. This program is excluded from coverage under 45 CFR, Part 1050.

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the Community Services Block Grant Act. The Office of Management and Budget (OMB) has the authority to apportion to the HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards, normally on a quarterly basis.

Deadlines:

Sep 01, 2009 The application deadline for States and Indian Tribes and Tribal Organizations is September 1 of each fiscal year.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Funds may be withheld from any State which does not utilize its allotment substantially in accordance with the provisions of the Community Services Block Grant Act and the assurances provided in its application. This may be done only after adequate notice and an opportunity for a hearing is conducted within the affected State.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. Section 674 of the CSBG Act authorizes the Secretary to use one and one-half of one percent of the amount appropriated to be reserved for corrective action activities, training, technical assistance, planning, evaluation, and data collection activities related to programs or projects carried under this subtitle. Such activities may be carried out through grants, contracts, or cooperative agreements with eligible entities or with organizations or associations whose membership is composed of eligible entities or agencies that administer programs for eligible entities. One-half of one percent of the amount appropriated is apportioned on the basis of need among Guam, American Samoa, the Virgin Islands, the Northern and Mariana Islands. Of the remaining amount, each state, (excluding the above, but including the District of Columbia and the Commonwealth of Puerto Rico) is allotted an amount which bears the same ratio as the amount received by the state for fiscal year 1981 under Section 221 of the Economic Opportunity Act of 1964 bore to the total amount received by all states for fiscal year 1981 under Section 221. However, if the appropriation exceeds \$345,000,000 after the amount necessary for purposes of discretionary funding under Section 680 and the one-half of one percent set-aside for territories and insular areas under Section 675(A) are determined, no state receives less than one-half of one percent of the amount appropriated. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Entitlement amounts are for a specific fiscal year and the grant funds allotted to the State will be awarded in accordance with apportionment of funds from the Office of Management and Budget. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year. See the following for information on how assistance is awarded/released: Each fiscal year.

Reports:

All funded grantees and sub-grantees must submit SF- 269 annually, as required by the Code of Federal regulation 45 CFR 96. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracing of funds to a level of expenditure adequate to insure that funds have not been spent unlawfully.

Account Identification:

75-1504-0-1-506.

Obligations:

(Formula Grants) FY 09 \$699,984,000; FY 10 est \$700,000,000; FY 11 est \$700,000,000

Range and Average of Financial Assistance:

\$1,019 to \$55,942,793; average \$5,242,585.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 251 grants were awarded. Fiscal Year 2010: In FY 10, 243 grants will be awarded. Fiscal Year 2011: It is anticipated that 243 grants will be awarded in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16, 45 CFR 74 and 45 CFR 96.

Regional or Local Office:

None.

Headquarters Office:

Division of State Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: POC@DHS.GOV Phone: (202) 401-2333

Website Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.568 Low-Income Home Energy Assistance; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.570 COMMUNITY SERVICES BLOCK GRANT_DISCRETIONARY AWARDS

Community Economic Development & Rural Community Facilities Programs

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Community Services Block Grant (CSBG) Act.

OBJECTIVES:

To support program activities to alleviate the causes of poverty in distressed communities which: (1) assist businesses in creating jobs for low-income individuals, i.e., develop employment and business development opportunities for low-income individuals; (2) a better standard of living for rural low-income individuals in terms of water and waste water treatment.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Federal funds awarded under the Secretary's Discretionary Authority may be used for activities that: improve the quality of the economic and social environment of low-income residents by providing resources to eligible applicants, by arresting tendencies toward dependency, chronic unemployment and community deterioration in urban and rural areas; and by addressing needs of water and wastewater treatment in Rural Communities. Community Economic Development grants are awarded to cover project costs for (1) startup or expansion of business, physical improvements, or commercial activities. (2) Capital expenditures such as the purchase of equipment or real property; (3) allowable operating expenses; and (4) loans or equity investments. Expenditures must result in the creation of employment or business opportunities for low-income individuals. Generally, the project must be completed within 36 months for non-construction projects and 60 months for construction projects. Projects must document public/private partnership mobilizing cash and/or in-kind contributions; and be located in areas characterized by poverty and other indicators of socioeconomic distress, such as high levels of unemployment, or high levels of violence, gang activity, crime, drug use and/or low-income individuals or designation as an Empowerment Zone or Enterprise Community (EZ/EC). Rural Community Facilities grants are awarded to provide training and technical assistance to small, rural communities concerning their water and waste water treatment needs. RF grants focus on the development and upgrading of small water and wastewater systems in low-income rural areas. Types of services provided included training of persons responsible for community water and wastewater facilities; assisting communities with problem-solving and planning for facilities; producing and disseminating publications, newsletters, videos, and training manuals about rural waste and wastewater systems; and assisting rural communities in leveraging Federal, State, local, and private sector financing for facilities.

Applicant Eligibility:

For Community Economic Development projects, eligibility is restricted to private, locally initiated, nonprofit community development corporations (or affiliates of such corporations) governed by a board consisting of residents of the community and business and civic leaders. This includes faith based community development corporations. For Rural Community Development Activity projects, which is referred to as Rural Community Facilities projects, eligibility is restricted to multi-state, regional private, non-profit organizations that can provide training and technical assistance to small, rural communities interested in safe water and waste water treatment needs.

Beneficiary Eligibility:

A project must be targeted to address the needs of a specific segment of low-income individuals or families. The official poverty line established by the Director of the Office of Management and Budget, published annually by the Department of Health and Human Services is used as a criterion of eligibility in the Community Economic Development Discretionary Grant program.

Credentials/Documentation:

Costs will be determined in accordance with the provisions of Title 45 CFR 74. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Awards are granted on a competitive basis. Announcements concerning the availability of funds, requests for applications, along with application form and assurance documents, are at www.grants.gov. Applications to the Office of Community Services for grants under the announcement should be addressed to: OCS Operations Center 1515 Wilson Blvd., Suite 100, Arlington, Virginia 22209.

Applicants are urged to inform and coordinate application submissions with State and local governments where such information and coordination is appropriate or necessary for the success of the program. This program is subject to the provisions of Title 45 CFR Part 74 (non-governmental entities). All applications for assistance under this program must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) SF-424B, Assurances-Non- Construction Programs; (4) Certificate Regarding Drug Free Workplace Requirements; (5) Certification regarding environmental tobacco smoke; (6) Certification Regarding Debarment, Suspension, and Other Responsibility Matters; and (7) Certification Regarding Lobbying. These must be accompanied by a detailed budget, and a program narrative submitted in accordance with the format detailed in the program announcement.

Award Procedure:

The Director, Office of Community Services, Administration for Children and Families (ACF), will make the final decision on all grant awards. Selection of applicants will be determined based on the review criteria outlined in the program announcement.

Deadlines:

Sep 01, 2009 All information and application forms required to prepare a grant are at www.grants.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days. Generally, solicited grants will be acted upon within 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

From 90 to 120 days. Rural Community Development Activity projects (also referred to as Rural Community Facilities Projects), are awarded for a five year project period with 12 month budget periods.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, Community Economic Development financial assistance awards are made available for periods of 36 to 60 months; Rural Community Facilities financial assistance awards are awarded for 12-month budget periods with a five year project period. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Semi-annual progress reports, semi-annual financial reports and a final report summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required by OCS. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit to insure that funds have been expended in accordance with the regulations, grant terms and conditions of this program. Audits and supporting records must be kept on file for at least 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$9,984,000; FY 10 est \$10,000,000; FY 11 est \$0. (Project Grants (Discretionary)) FY 09 \$36,000,000; FY 10 est \$36,000,000; FY 11 est \$36,000,000

Range and Average of Financial Assistance:

Up to \$800,000 for Community Economic Development and \$1,344,581 for Rural Community Development Activities.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 50 grants were awarded for CED and 9 grants were awarded for RCF. Fiscal Year 2010: In FY 10, 42 grants will be awarded for CED and 9 grants will be awarded for RF. Fiscal Year 2011: It is anticipated that 42 grants will be awarded for CED in FY 11, and 0 grants will be awarded for RCF since funds are not being requested.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements are posted on the web.

Regional or Local Office:

None. OCS Liaisons, stationed in - ACF.

Headquarters Office:

Rafael J. Elizalde Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: rafael.elizalde@acf.hhs.gov Phone: (202) 401-5115

Website Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.593 Job Opportunities for Low-Income Individuals

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement.

93.575 CHILD CARE AND DEVELOPMENT BLOCK GRANT

Child Care and Development Block Grant (CCDBG)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Care and Development Block Grant Act of 1990, as amended, 42 U.S.C 9858; Consolidated Appropriations Act of 2010, Public Law 111-117.

OBJECTIVES:

The Child Care and Development Block Grant (CCDBG or Discretionary Funds) is a part of the Child Care and Development Fund (CCDF) program, along with the Child Care Mandatory and Matching Funds (see CFDA 93.596). Refer to CFDA 93.713 for information on the supplemental Fiscal Year 2009 CCDBG funds appropriated under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5). To make grants to States, Territories, Tribes, and tribal organizations serving federally-recognized tribes (public institutions of higher education and hospitals are not eligible applicants) for child care assistance for low-income families and to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations. Current priorities include: making systemic investments in quality child care to promote child development and learning; and creating a system that is child focused, family friendly, and fair to providers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

In the Fiscal Year 2010 Consolidated Appropriations Act, Congress directs that

funds appropriated for the Child Care and Development Block Grant (CCDBG or Discretionary Funds) must be used to supplement, not supplant, State general revenue funds for child care assistance for low-income families. Lead Agencies must use Discretionary Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG. Certain amounts of Discretionary Funds must be used for specific purposes: quality expansion; infant and toddler quality improvement; and child care resource and referral, including a national toll-free hotline; and school-age child care activities. A portion of the Discretionary Funds is also designated for the U.S. Department of Health & Human Services to carry-out research, demonstration, and evaluation projects. Not more than five percent of the aggregate amount of CCDF Funds expended by the State or Territory (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State or Territory to carry-out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State or Territory shall use not less than four percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. Except for approved construction of child care facilities by tribal grantees, no Discretionary Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading facilities to meet State and local child care standards). No Discretionary Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction. However, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of faith-based or community child care providers. No Discretionary Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school. Lead Agencies shall assure that a substantial portion of the Discretionary Funds will be used to provide assistance to low-income working families who are not receiving assistance under the Temporary Assistance for Needy Families program, attempting through work activities to transition off of temporary assistance programs, nor at risk of becoming dependent on temporary assistance programs.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally recognized Tribal Governments, including Alaskan Native Corporations.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who reside with a family whose income does not exceed 85 percent of the State median income for a family of the same size, and who reside with a parent (or parents) who is working or attending job training or educational program; or are in need of, or are receiving protective services.

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the Grantee will comply with the requirements of the CCDBG Act and all applicable Federal law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Discretionary Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan.

In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each CCDF plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act (CCDBG). The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the CCDBG; an outline of the intended use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.1.

Award Procedure:

Grants are awarded after the receipt and approval of the CCDF plan by the Administration for Children and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appealing the disapproval of CCDF plans are specified in regulations (45 CFR Part 99).

Renewals:

CCDF plans must be submitted every two years.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Part 98.53.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. Grantees must obligate all Discretionary Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds must be liquidated no later than the end of the third fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

As specified in 45 CFR Part 98, States must report to ACF annually aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide an annual count of children and families served through CCDF; average hours of service per child by type of care; average monthly payment and co-payments per child; number of children served by income; and supplemental narratives. No cash reports are required. No progress reports are required. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper authorizations for payments as well as identify strategies for reducing future improper authorizations for payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and Construction/Renovation funds. Monitoring is in accordance with 45 CFR

98.90.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1515-0-1-609.

Obligations:

(Formula Grants) FY 09 \$2,127,081,000; FY 10 est \$2,127,081,000; FY 11 est \$2,927,081,000 - Above obligations include contracts and grants. These figures do not include CCDF Mandatory Funds and Matching Funds under CFDA 93.596. Also excluded are CCDF Discretionary Funds provided by the American Recovery and Reinvestment Act (see CFDA 93.713).

Range and Average of Financial Assistance:

For States, including DC and Puerto Rico, the range of grants in FY 2010 is: \$8,543,318 to \$532,554,743; average grant is \$94,404,688. For 258 Tribal grantees, the range of grants in FY 2010 is: \$22,859 to \$8,832,550; average grant is \$391,014. For the four Territories, the range of grants in FY 2010 is \$1,885,982 to \$3,978,605; average grant is \$2,658,851. These figures are inclusive of funds received through CFDA 93.575 and 93.596 but exclude funds provided by the American Recovery and Reinvestment Act (see CFDA 93.713).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 317 grants were awarded. Fiscal Year 2010: 314 grants were awarded. Fiscal Year 2011: It is anticipated that 314 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Child Care Bureau Regional Program Managers (RPM). A list of the RPM's and their contact information is available at www.acf.hhs.gov/programs/ccb/ta/raaddr/program_managers.htm. You may also contact the Child Care Bureau, Office of Family Assistance at (202) 690-6782 or by Fax at (202) 690-5600.

Headquarters Office:

Carol Gage, Child Care Bureau, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 901 D St. S.W., 5th Floor East, Washington, District of Columbia 20447 Email: carol.gage@acf.hhs.gov Phone: (202) 690-6782 Fax: (202) 690-5600.

Website Address:

<http://www.acf.hhs.gov/programs/ccb>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.713 ARRA CHILD CARE AND DEVELOPMENT BLOCK GRANT

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Discretionary Funds are awarded to States via a block grant, which, through their appointed Lead Agencies, make many of the decisions about priorities, policies, and expenditures in achieving goals related to improved family access to quality child care. States are required to commit at least four percent of their CCDF Funds to activities such as consumer education, resource and referral services, provider training, and caregiver recruitment designed to improve child care availability and quality. Targeted funds designated for quality, infant and toddler care, resource and referral, and school-age care, further support State efforts to improve child care access and

quality. Fiscal Year 2010: Anticipated results are the same as FY 2010. Fiscal Year 2011: Anticipated results are the same as FY 2010.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.576 REFUGEE AND ENTRANT ASSISTANCE_DISCRETIONARY GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Defense Authorization Act of 2008, Public Law 110-181; Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; Victims of Trafficking and Violence Protection Act of 2000, Public Law 106-386; Consolidated Appropriations Act of 2008, Public Law 110-161; FY 2009 Omnibus Appropriations Bill, Public Law 111-08; Refugee Education Assistance Act of 1980, Title V, Public Law 96-422, 94 Stat. 1809, 8 U.S.C 1522.

OBJECTIVES:

To improve resettlement services for refugees, asylees, Cuban and Haitian entrants, Amerasians, certified victims of a severe form of trafficking, and special immigrants from Iraq or Afghanistan. Program objectives include: (1) decreasing the numbers of refugees on public assistance and the length of time refugees require such assistance; (2) promoting refugee family stability and healthy marriages; (3) encouraging the placement of refugees in locations with good job opportunities and lower costs of living; (4) enhancing services to disadvantaged refugee groups; (5) promoting older refugees' access to aging services; (6) assisting low-income refugees with matching funds for individual development accounts and with financial literacy classes; 7) providing micro-credit to refugees interested in starting new businesses but unable to access commercial sources of capital; (8) providing services to refugees in rural areas; (9) providing preventive health services; and (10) providing school impact assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the notice of funds availability and in the grant award.

Applicant Eligibility:

Public and private nonprofit agencies.

Beneficiary Eligibility:

Refugees, asylees, Cuban and Haitian entrants, certain Amerasians, certified victims of a severe form of trafficking, and special immigrants from Iraq and Afghanistan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Agencies submit applications which are reviewed and evaluated in accordance with criteria specified in the notice of funds availability. Notices of funds availability may be accessed at Grants.gov or at the Office of Refugee Resettlement website at www.acf.hhs.gov/programs/orr. Application kits can also be accessed at the ORR website.

Award Procedure:

Eligible applicants submit an application to HHS. This document includes a detailed program proposal and budget. Subsequent to review by an independent panel and consideration of past performance, the Director of the Office of Refugee Resettlement makes final funding decisions and grant awards are issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds made available under this program are for project periods ranging from 17 months to 5 years. See the following for information on how assistance is awarded/released: Grantees draw down funds as they incur expenditures.

Reports:

Program Performance Reports are required, usually on a quarterly or semi-annual basis. Final program reports summarizing the activities and accomplishments of the project in relation to the original approved goals and objectives are also required 90 days after the end of the project period. No cash reports are required. Program Performance Reports are required, usually on a quarterly or semi-annual basis. Financial Status Reports are required, usually on a quarterly or semi-annual basis. Final financial reports are also required 90 days after the end of the project period. Performance monitoring activities include on-site and desk monitoring of programs. On site, the ORR team interviews agency staff and clients and reviews case files and financial documents. In addition to program monitoring, program performance reports and Financial Status reports (SF-269) are reviewed to ensure that agencies are spending funds appropriately and are performing in a manner consistent with the approved application and grant.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants and other agreements. Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from Federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or subgranting entity.

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit for the project period and for three years after the end of the project period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Project Grants) FY 09 \$75,069,000; FY 10 est \$75,004,000; FY 11 est \$100,004,000

Range and Average of Financial Assistance:

The amounts of grants range from a minimum of \$50,000 to a maximum of

\$18,000,000 based on the type of funding and services to be provided.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notices of funds availability are posted on the ACF and ORR website and can also be accessed through Grants.gov. Inquiries may be directed to the Information Contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Ronald Munia 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20009 Email: ronald.munia@acf.hhs.gov Phone: (202) 401-4559 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria for awarding funds is published in the notice of funds availability.

93.579 U.S. REPATRIATION

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 1113, 24 U.S.C 9.

OBJECTIVES:

To provide temporary assistance, care, and treatment to eligible US citizens and their dependents after their return to the United States from travel in a foreign country due to physical or mental illness, destitution, war, threat of war, or a similar crisis and who are without resources.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program provides temporary assistance such as cash assistance, medical care, admission into a nursing home or hospital, settling with family or friends, moving into permanent housing, foster care placement, transportation, overnight lodging, food, provision for escort services, clothing and other goods and services necessary for the health or welfare of individuals (including guidance, counseling, and other welfare services), furnished to U.S. citizens and their dependents who are without available resources in the U.S. upon their arrival from abroad and not exceeding 90 days. This temporary assistance is given in the form of a loan and must be repaid to the U.S. Government. Repatriates sign repayment agreements in which they agree to repay all repatriation costs to the Federal government.

Applicant Eligibility:

Social service organizations with expertise in mental health, child welfare, the criminal justice system, and emergency assistance.

Beneficiary Eligibility:

U.S. citizens and their dependents returning to the U.S. from abroad who are without resources.

Credentials/Documentation:

Proof of U.S. Citizenship. If applying due to mental condition, a certificate as to mental status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Grants are awarded through the Administration for Children and Families discretionary grants procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Project periods are for five years with one year budget periods.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded with a five-year project period and annual budget periods.

See the following for information on how assistance is awarded/released:

Grantees draw down funds, as required, for reimbursement of expenditures from the HHS Payment Management System.

Reports:

Program reports are required quarterly; a final report is required 90 days after the end of the project period. No cash reports are required. Progress reports are required quarterly. The progress reports include updates on individual cases and the status of payments and collections. The Financial Status Report (SF-269) is also required. There is ongoing desk monitoring of the grantee and yearly on-site monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The grantee must maintain records for three years after the conclusion of the project period.

Account Identification:

75-1501-0-1-609.

Obligations:

(Salaries) FY 09 \$1,000,000; FY 10 est \$25,000,000; FY 11 est \$1,000,000 - FY 2010 reflects estimates due to emergency assistance offered in the repatriation of U.S. Citizens from Haiti.

Range and Average of Financial Assistance:

One award of approximately \$1,000,000 is awarded each year of the project period.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Elizabeth Russell Office of Refugee Resettlement
370 L'Enfant Promenade SW
Eighth Floor
, Washington, District of Columbia 20447 Email:
Elizabeth.Russell@acf.hhs.gov Phone: (202) 401-4845 Fax: (202) 401-0981

Website Address:

<http://www.acf.hhs.gov/programs/orr>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The criteria are included in the grant announcement.

93.581 IMPROVING THE CAPABILITY OF INDIAN TRIBAL GOVERNMENTS TO REGULATE ENVIRONMENTAL QUALITY**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Sec. 803(d) of the Native American Programs Act of 1974 (NAPA), 42 U.S.C. 2991b(d). , Public Law 101-408; This program is authorized under Sec. 803(d) of the Native American Programs Act of 1974 (NAPA), 42 U.S.C. 2991b(d). , Public Law 93-644, 42 U.S.C 2991b.

OBJECTIVES:

The Environmental program provides funding for projects that support the development, enforcement, and monitoring of tribal environmental quality and regulatory programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for planning, developing and implementing tribal environmental regulatory projects through competitive grants. Types of allowable activities are: to regulate and enforce environmental activities on Indian lands; to develop regulations, ordinances and laws to protect the environment; to develop the technical and program capacity to carry out a comprehensive tribal environmental program and perform essential environmental program functions; to promote training and education of tribal employees; to develop technical and program capability to meet tribal and Federal regulatory requirements; to develop technical and program capability to monitor compliance and enforcement of tribal environmental regulations, ordinances, and laws; to establish a baseline condition for regulatory purposes; and to ensure the tribal court system enforcement requirements are developed in concert with and support the tribe's comprehensive environmental program.

Applicant Eligibility:

Federally-recognized Indian tribes; consortia of Indian tribes; incorporated nonfederally and state-recognized Tribes; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANCSA) and/or nonprofit village consortia; and tribal governing bodies (IRA or traditional councils) as recognized by the Bureau of Indian Affairs. These include: Tribal Government Environmental Department programs, other Environmental programs, and Native American/Alaska Native Organizations, including Indian groups, cooperatives, corporations, partnerships, and associations.

Beneficiary Eligibility:

American Indians and Alaska Natives.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of the Code of Federal Regulations, which implement the requirements of applicable OMB Circular Nos. A-87, A-21, and A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information regarding the availability for grant funds will be published from time to time in the Federal Register as Program Announcements, which will provide details on program objectives for which applications are being solicited and other application requirements. The Administration for Native Americans will provide each applicant with the appropriate forms for the application for Federal Assistance and instructions for applying for grants from Administration for Native Americans programs. Applications should be submitted to Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Mar 09, 2011: by 4:30 pm EST. Each program announcement specifies the due dates for submission of applications.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. This program has no statutory formula for distribution of funds. A matching share of 20 percent of the total approved project cost is required unless waived in accordance with criteria which are also published in 45 CFR 1336.50. This program has maintenance of effort requirements; see funding agency for further details. Waiver requirements are contained in 45 CFR, Part 1336.50. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grantees may apply for competitive continuation support within a project period of 1 to 3 years. Method of awarding/releasing assistance: lump sum per budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Program reports are not applicable. Cash reports are not applicable. Objective Progress Reports (OPR) are required quarterly using the OPR form which follows closely the Project Objective Work Plan (OWP). Financial Status reports are required quarterly. By statute one third of the grant portfolio must receive on-site impact evaluation. - . - .

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$2,471,419; FY 10 est \$2,200,000; FY 11 est \$1,900,000

Range and Average of Financial Assistance:

\$50,000 - \$300,000

Average = \$125,000 per budget period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 10 new Environmental grants were awarded.

Fiscal Year 2010: In FY 2010, it is anticipated that 16 new and continuation Environmental grants will receive funding. Fiscal Year 2011: In FY 2011, it is anticipated that 14 new and continuation grants will receive funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Patricia E. McDonald

Administration for Native Americans

Administration for Children and Families

Department of Health and Human Services

Aerospace Building, 2nd Floor West

370 L'Enfant Promenade

, Washington , District of Columbia 20447 Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana/>.

RELATED PROGRAMS:

66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Environmental Assessment; Infrastructure Improvement; Regulation Development, Ordinances, or Laws Development or Amendments; Technical Program Development; Training; Enforcement; Energy Assessment; Energy Projects for Export; Renewable Energy Resources. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the program announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.583 REFUGEE AND ENTRANT ASSISTANCE_WILSON/FISH PROGRAM

Wilson/Fish program; Fish/Wilson Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Education Assistance Act of 1980, Title V, Public Law 96-422, 94 Stat. 1809, 8 U.S.C 1522; Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. 111, 8 U.S.C 1522; FY 2009 Omnibus Appropriations Bill, Section 602, Public Law 111-08; Consolidated Appropriations Act, 2008, Public Law 110-161, 121 Stat. 2212; Victims of Trafficking and Violence Protection Act of 2000 , Public Law 106-386; Defense Authorization Act, 2008, Public Law 110-181, 122 Stat. 398.

OBJECTIVES:

To develop alternative projects which promote early employment of refugees, certain Amerasians from Viet Nam, Cuban and Haitian entrants, asylees, certified victims of a severe form of trafficking, and special immigrants from Iraq and Afghanistan. States, voluntary resettlement agencies, and other resettlement organizations may develop innovative approaches for the provision of refugee cash and medical assistance, otherwise available through the State-administered program. The purpose of the Wilson-Fish program is to provide integrated services that include cash assistance, medical assistance and other related services described above in order to increase refugees' prospects for early employment and self-sufficiency, reduce their level of welfare

dependence, and promote coordination among voluntary resettlement agencies and services providers. In addition, the WF program allows ORR to ensure that refugee assistance programs exist in every State where refugees are resettled. Projects must serve all eligible refugees in a community. There are two categories for applications, Category 1 and Category 2. Category 1 are projects which establish or maintain a refugee program in a State where the State is not participating in the refugee program or is withdrawing from the refugee program or a portion of the program. There are currently seven Wilson/Fish program which fall under category 1 (Alabama, Alaska, Idaho, Kentucky, Louisiana, Nevada and South Dakota). Category 2 are projects which provide an alternative to the existing system of assistance and services to refugees. There are currently five Wilson/Fish program which fall under category 2 (Colorado, Massachusetts, North Dakota, Vermont and San Diego).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant award. Assistance is limited to refugees, asylees, Cuban and Haitian entrants, certain Amerasian immigrants, certified trafficking victims, and special immigrants from Iraq and Afghanistan, as defined in the authorizing Acts. The refugee must document his immigration status in order to be eligible for assistance. The scope of services available to refugees from Wilson/Fish grantees is similar to that of the State-Administered Refugee Resettlement Programs, which in turn is similar to regular domestic public assistance programs.

Applicant Eligibility:

States, voluntary resettlement agencies, and other nonprofit refugee resettlement organizations may apply to initiate an alternative program. No separate funding is appropriated for Wilson/Fish demonstration projects. Funds are drawn instead from funds earmarked for refugee cash and medical assistance grants and social services allocations for the State-administered program (93.566) according to ORR projections.

Beneficiary Eligibility:

Refugees, certain Amerasian immigrants, Cuban/Haitian entrants, asylees, and victims of a severe of trafficking are eligible for services and assistance through funded projects in a community. Cash assistance is transitional for up to 8 months; services may be provided for a longer period of time.

Credentials/Documentation:

Proof of immigration status in the form of an I-94 card or final grant of asylum letter from the U.S. Citizenship and Immigration Services (USCIS), formerly the Immigration and Naturalization Service (INS). For victims of a severe form of trafficking, clients must have a certification or eligibility letter issued by HHS/ORR. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Awards are granted on a competitive basis, as specified in the program announcements. Each agency desiring to respond to a program announcement is required to submit an application. Applications are reviewed and evaluated pursuant to criteria specified in the program announcement.

Award Procedure:

Eligible applicants submit an application to HHS. Subsequent to review by an independent panel, the Director of ORR makes the funding decision on all grant awards.

Deadlines:

Apr 30, 2010 Closing dates for new projects is April 30 of each year.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Contingent upon funding availability, grantee performance, and the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, funds made available for this program will be for 1-year budget period, however the project period is up to 5 years. Funds are awarded all at once at the beginning of each budget period (lump sum). If refugee arrivals and refugee cash and medical assistance recipients exceed those that were estimated at the time of application, a grantee can submit a request for supplemental funding to meet the unanticipated need for refugee cash and medical assistance. Method of awarding/releasing assistance: lump sum.

Reports:

Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required. Cash reports are not applicable. Progress reports are required, usually on a quarterly basis. Financial reports are required, usually on a quarterly basis. During the monitoring visits, a representative collection of case files are reviewed to ensure clients are receiving appropriate services. Specifically, the ORR WF team reviews client, legal, financial, categorical and time eligibility, the Family self-sufficiency plan, receipt of cash assistance, case management and employment service and outcomes. Also the ORR WF team interviews agency staff and clients to ensure that information gleaned from the case-file, agency staff and clients corroborates with one another. In addition to program monitoring quarterly performance reports, financial status reports (SF-269) and Annual goal plans are reviewed to ensure WF agencies that agencies are spending funds appropriately and are performing in a manner consistent with the approved application and cooperative agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits are made a part of the system of financial management and internal control to meet terms and conditions of grants and other agreements.

Records:

Grant recipients are required to keep all financial, business and program reports necessary for program review and audit for the project period and for 3 years following the end of the project period to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of the program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Cooperative Agreements) FY 09 \$30,000,000; FY 10 est \$32,000,000; FY 11 est \$32,000,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Wilson/Fish Program announcement can be found on the ORR website. All inquiries should be directed to the Information Contacts listed below.

Regional or Local Office:

None.

Headquarters Office:

Carl Rubenstein, 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20447 Email: carl.rubenstein@acf.hhs.gov Phone: (202)

205-5933 Fax: (202) 205-5888.

Website Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation criteria for selecting projects is available in the Wilson/Fish Standing Announcement, which can be found at Grants.gov and on the ORR website at www.acf.hhs.gov/programs/orr.

93.584 REFUGEE AND ENTRANT ASSISTANCE_TARGETED ASSISTANCE GRANTS

Targeted Assistance Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Refugee Act of 1980, Section 412, Public Law 96-212, 94 Stat. , 8 U.S.C 1522; Refugee Education Assistance Act of 1980, Public Law 96-422; Victims of Trafficking Violence and Protection Act of 2000, Public Law 106-386; Defense Authorization Act of 2008, Public Law 110-181; FY 2009 Omnibus Appropriations Bill, Section 602, Public Law 111-08; Consolidated Appropriations Act of 2008, Public Law 110-161.

OBJECTIVES:

To provide funding for employment-related and other social services for refugees, asylees, certain Amerasians, victims of a severe form of trafficking, entrants, and Iraqi and Afghan special immigrants in areas of high refugee concentration and high welfare utilization. The word "refugee" is used here to encompass all of these eligible populations.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Assistance is limited to employment-related and other social services for refugees, asylees, certain Amerasians, victims of a severe form of trafficking, Afghan and Iraqi special immigrant, and Cuban and Haitian entrants, as defined in the authorizing Acts, supplemented by appropriate documentation provided to the refugee from the Bureau of Citizenship and Immigration Services (USCIS).

Applicant Eligibility:

State agencies, State Replacement Designees, and Wilson/Fish projects are eligible to receive funding for assistance to counties and similar areas in States where, because of factors such as unusually large refugee populations and high refugee concentrations in relation to the overall population, there exists a need for supplementation of available resources for services to refugees.

Beneficiary Eligibility:

Persons admitted to the U.S. within the last 5 years as refugees under Section 207 of the Immigration and Nationality Act; granted asylum under Section 208 of the Act; Cuban and Haitian entrants, as defined in Section 501 of the Refugee Education Assistance Act; and certain Amerasians from Vietnam and their accompanying family members, as defined by Section 584(c) of the Foreign Relations, Export Financing, and Related Programs Appropriation Act of 1988. Victims of a severe form of trafficking who have received a certified or letter of eligibility from ORR.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. States with qualifying counties must submit an application for funding in accordance with application requirements described in the annual notice of availability of formula allocation funding for targeted assistance grants. A State must submit a single application on behalf of all qualified counties in that State. Funding is contingent upon the submission and approval of a State application. Subsequent to the approval of the application by ORR, county governments develop local targeted assistance plans and submit them to the State.

Award Procedure:

Grants are made to designated State agencies on behalf of qualified counties (as determined every three years depending on number of arrivals). State agencies, in turn, receive, review, and determine the acceptability of individual county targeted assistance plans. Not less than 95 percent of targeted assistance funds must be allocated by the State to qualified counties.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Subject to approval of application.

Appeals:

Not Applicable.

Renewals:

Grants are for an annual budget period and a 3-year project period. FY 09 was the second year of the 3-year grant cycle (FY 08 - FY 10). Counties that qualified in FY 08 continue to qualify for TAP through FY 10. The new 3-year grant cycle will begin in FY 11 when ORR will re-qualify counties for TAP assistance.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter IV, Part 400.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are allocated on a yearly basis and must be obligated by the end of the fiscal year following the year of award. Method of awarding/releasing assistance: lump sum.

Reports:

Trimesterly program performance (and related statistics) reports are provided by the State agency to ORR. Cash reports are not applicable. Trimesterly program performance (and related statistics) reports are provided by the grantee to ORR. Quarterly expenditure reports are provided by the grantee to ORR. ORR on-site monitoring teams review with grantee staff the eligibility and documentation requirements for this program and verify the maintenance of accurate and up to date client files. ORR staff also monitor State financial, aggregate services, and case level reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and program records must be maintained by the grantee for 3 years after the end of the project period.

Account Identification:

75-1503-0-1-609.

Obligations:

(Formula Grants) FY 09 \$43,731,000; FY 10 est \$43,731,000; FY 11 est \$43,731,000

Range and Average of Financial Assistance:

In FY 2009, awards ranged from \$276,700 to \$16,123,902.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Subpart L-400.310 to 400.319. Various materials are available on request from the Office of Refugee Resettlement, Administration for Children and Families, Department of Health and Human Services, Aerospace Building, 8th Floor West, Washington, DC 20447.

Regional or Local Office:

None.

Headquarters Office:

Pamela Green-Smith, 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20447 Email: pamela.greensmith@acf.hhs.gov Phone: (202) 401-4531 Fax: (202) 401-0981.

Website Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

A State with at least one qualifying county submits an application on behalf of the qualified counties in that State. Subsequent to the approval of the State's application by ORR, county governments develop local targeted assistance plans for its submission to the State.

93.586 STATE COURT IMPROVEMENT PROGRAM

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part subpart 2, Section 438.

OBJECTIVES:

To assist State courts in performing their role in the continuum of care provided for families and children at risk. It provides State courts with the flexibility to design assessments which identify barriers to timely and effective decision-making, highlight practices which are not fully successful, examine areas they find to be in need of correction or added attention, and then implement reforms which address the State courts specific needs. New objectives added in 2005 focus on court-agency collaboration and, direct courts to 1) improve case tracking and analysis and 2) increase training of court personnel, including cross-training with agency staff. In 2006 an objective was added to require assessment and improvement of State courts handling of the interstate placement of children.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants under the State Court Improvement Program may be used for assessment and improvement activities, broadly defined, of the child welfare functions of a court system; for improved case tracking and analysis of child welfare cases; and for training of legal and judicial personnel in child welfare cases, including cross-training with child welfare agency staff and contractors.

Applicant Eligibility:

The highest State courts in each of the 50 States, the District of Columbia and

Puerto Rico are eligible to apply for funding. The term "highest State court" means the judicial tribunal which is the ultimate court of appeals in the State.

Beneficiary Eligibility:

The State courts are eligible.

Credentials/Documentation:

All State courts which submit applications as per instructions released by the Children's Bureau, Administration on Children, Youth, and Families (ACYF) are eligible to receive Court Improvement Program grant funds. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Program instructions can be obtained from the Children's Bureau, ACYF and the appropriate HHS Regional Offices.

Award Procedure:

Awards to approved applicants will be made from the Administration for Children and Families, Office of Administration, Office of Grants Management.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Federal approval time will be between 30 and 120 days.

Appeals:

Appeals are processed in accordance with HHS Departmental regulations at 45 CFR Part 16.

Renewals:

Applications will be requested and accepted annually, based on guidance to State courts by the Children's Bureau.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. Each State court with an approved application is allotted \$85,000 for fiscal year 2007-2011. In addition to this base amount, the remainder of the amount appropriated for all State courts (i.e. \$12,089,272 for fiscal year 2008) will be divided among those courts with approved applications according to each State's proportionate share of children under the age of 21. If any State courts do not apply for their share of these funds, the unclaimed amount will be reallocated each year to all other State courts with approved applications. Nonfederal share (or match) is required at the rate of 25 percent of the total budget. The same formula and match requirements apply to funds appropriated for case tracking/analysis and training for fiscal years 2006 through 2010 (\$20,000,000). This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Treasury will provide funds for this program. Method of awarding/releasing assistance: by letter of credit.

Reports:

Both fiscal and program reports are required annually and are due 90 days after the close of the grant year. Both fiscal and program reports are required annually and are due 90 days after the close of the grant year. No progress reports are required. Both fiscal and program reports are required annually and are due 90 days after the close of the grant year. No progress reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Records must be retained at least 3 years. Records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

75-1512-0-1-506.

Obligations:

(Formula Grants) FY 09 \$32,089,263; FY 10 est \$32,089,263; FY 11 est \$32,089,263

Range and Average of Financial Assistance:

Grants ranged from \$98,480 to \$1,071,920 with the average award being \$237,044.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 51 grants were awarded in fiscal year 2009. Fiscal Year 2010: 51 grants were awarded in fiscal year 2010. Fiscal Year 2011: It is estimated that 51 grants will be awarded in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-PI-06-05 issued June 15, 2006, and ACYF-CB-PI-07-09 issued June 4, 2007. The Court Improvement Program is not regulated.

Regional or Local Office:

See Regional Agency Offices. Please contact the ACF branch of the appropriate Regional Office. (See Appendix IV of the Catalog.)

Headquarters Office:

David Kelly, 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: david.kelly@acf.hhs.gov Phone: (202) 205-8709.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.643 Children's Justice Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.587 PROMOTE THE SURVIVAL AND CONTINUING VITALITY OF NATIVE AMERICAN LANGUAGES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 803(a) and 803C of the Native American Programs Act of 1974, 42 U.S.C. 2991b and 2991b-3, as amended by the Esther Martinez Native American Languages Preservation Act of 2006, Public Law 109-394., Public Law 102-524; This program is authorized under Section 803(a) and 803C of the Native American Programs Act of 1974, 42 U.S.C. 2991b and 2991b-3, as amended by the Esther Martinez Native American Languages Preservation Act of 2006, Public Law 109-394., Public Law 93-644, 42 U.S.C 2991b.

OBJECTIVES:

To provide financial assistance to eligible applicants for the purpose of promoting the survival and continued vitality of native languages. This program area is divided into two categories: (1) Native Language Preservation and Maintenance and (2) Esther Martinez Initiative. The Language Preservation and Maintenance Grants are 12, 24 or 36-month projects that enable communities to conduct assessments of native languages, plan language programs and implement language projects that support the communities long-term language preservation goals. The Esther Martinez Initiative Grants are 36-month projects that will be awarded to applicants that meet the statutory requirements for immersion projects with language nests, restoration programs or language survival schools in accordance with P.L. 109-394.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for language preservation, enhancement and immersion including language nests. This is a discretionary grant program.

Applicant Eligibility:

Public and private nonprofit agencies, including but not limited to, Federally-recognized Indian Tribes (as listed by the Bureau of Indian Affairs in an October 21, 1993 Federal Register notice); Incorporated Non-Federally recognized Indian Tribes; Alaska Native villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit village consortia; Nonprofit Alaska Native Regional Associations with village specific projects; Nonprofit Native organizations in Alaska with village specific projects; Incorporated nonprofit multi-purpose community-based Indian organizations; Urban Indian Centers; Public and nonprofit private agencies serving Native Hawaiians; National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives; Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands; and Tribally Controlled Community Colleges, Tribally Controlled Post Secondary Vocational Institutions, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serves Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of the Code of Federal Regulations, which implement the requirements of applicable OMB Circular Nos. A-87, A-21, and A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information regarding the availability of grant funds will be posted in Grants.gov and the ACF Funding Opportunities websites as Funding Opportunity Announcements, which will provide details on program objectives for which applications are being solicited and other application requirements. Hard copy applications should be submitted to Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, Aerospace Building 6th Floor East, 370 L'Enfant Promenade, SW, Washington, DC 20447. Electronic submissions are submitted through Grants.gov.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Mar 09, 2011: by 4:30 pm EST. Each program announcement specifies the due date for submission of applications.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/Disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. This program has no statutory formula for distribution of funds. A matching share of 20 percent of the total

approved project cost is required unless waived in accordance with criteria which are also published in 45 CFR 1336.50. This program has maintenance of effort requirements; see funding agency for further details. Waiver requirements are contained in 45 CFR, Part 1336.50.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grantees may apply for competitive continuation support within a project period. Preservation and Maintenance projects can be 12, 24 or 36 months and Esther Martinez projects are 36-month grants. Method of awarding/releasing assistance: lump sum per budget period.

. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. No program reports are required. Cash reports are not applicable. Objective Progress Reports (OPR) are required quarterly using the OPR form which follows closely the Project Objective Work Plan (OWP). Financial Status reports are required quarterly. By statute, one third of the grant portfolio must receive on-site impact evaluation. As indicated above - Financial Status reports are required quarterly. By statute one third of the grant portfolio must receive on-site impact evaluation.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$9,805,374; FY 10 est \$12,200,000; FY 11 est \$12,900,000

Range and Average of Financial Assistance:

Language Preservation and Maintenance - \$50,000 200,000; Average = \$100,000 per budget period
Esther Martinez Initiative - \$50,000 - \$300,000; Average = \$150,000 per budget period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 40 new Language grants were awarded. Fiscal Year 2010: In FY 2010, it is estimated that 71 new and continuation Language grants will receive funding. Fiscal Year 2011: In FY 2011, It is estimated that 70 language grants will receive funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Patricia E. McDonald
Administration for Native Americans
Administration for Children and Families
Department of Health and Human Services
370 L'Enfant Promenade
Aerospace Building, 2nd Floor West, Washington, District of Columbia 20447
Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Language Preservation and Maintenance: Data Collection,

Language Assessment; Master/Apprentice programs; comprehensive Native language immersion programs; design and test teaching materials for students, parents and language instructors; record, transcribe and archive oral testimony; plan and design language resource materials including multi-media language learning tools; train teachers, interpreters or translators of Native languages; produce/disseminate culturally relevant media and materials.

Esther Martinez Initiative: Implement Language Nest; Language Survival School. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the program announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.590 COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act, as amended, Section 210, 42 U.S.C 5116 et.seq.

OBJECTIVES:

To assist States to support community-based efforts to develop, operate, expand, and enhance, and where appropriate to network, initiatives aimed at the prevention of child abuse and neglect.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are used to develop, operate, expand, and enhance community-based, prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. One percent is set aside for discretionary grants to migrant and tribal populations and an additional amount to fund a national resource center to provide training and technical assistance to State lead agencies. To receive funds, States must meet eligibility requirements stated in the authorizing legislation.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

There are no eligibility requirements. Beneficiaries, which include children and their families, and organizations dealing with community-based, prevention focused programs and activities designed to prevent child abuse and neglect.

Credentials/Documentation:

Applications require certification and/or documentation that the State meets the eligibility requirements described in the Act requiring that: (1) the CEO of the State has designated a lead entity to administer funds under this title for the purposes identified under the authority of this Title; (2) the CEO of the State has provided assurances that the lead entity will provide or be responsible for providing community-based, prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from public and private sector members, parents, and public and private nonprofit service providers, individuals and organizations experienced working in partnership with families with children with disabilities; and (3) the CEO of the State has provided additional assurances that the lead entity has demonstrated certain capacities that ensure the States ability to meet the purposes of this authority. Applicable costs and administrative procedures will be determined in accordance with 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication is not required. Advice and technical assistance are available from the Children's Bureau, OCAN to State applicants. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States and Territories respond to Annual Program Instruction which announces availability of funds. Application must include assurance and information required in Program Instruction, as well as completed forms from Program Instruction.

Award Procedure:

Applications are reviewed against all eligibility requirements at the Headquarters level. Approval/disapproval is decided by the Commissioner, ACYF.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be provided in the annual Program Instruction. Contact Headquarters Office listed below for future deadlines.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, part 16.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: States that meet all of the eligibility requirements will be awarded funds based on the following statutory formula: (a) 70 percent of the total amount appropriated is allotted among the States based on the number of children under the age of 18 in each such State, except that each State shall receive not less than \$175,000; and (b) the remaining 30 percent of the total amount appropriated is allotted in an amount that bears the same proportion to such amount appropriated as the amount leveraged by the State from private, State, or other nonfederal sources and directed through the State lead agency in the preceding fiscal year bears to the aggregate of the amount leveraged by all States from private, State, or other nonfederal sources and directed through the lead agency of such States in the preceding fiscal year. There is also a required 20 percent State match.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded by the Secretary to the State on an annual basis, as described by the formula information Section. Method of awarding/releasing assistance: lump sum.

Reports:

Financial and program progress reporting requirements are described in Program Instructions.

A State receiving a grant under this title, through reports provided to the Secretary

1. shall demonstrate the effective development, operation and expansion of a community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect that meets the requirements of this title;
2. shall supply an inventory and description of the services provided to families by local programs that meet identified community needs, including core and optional services as described in section 202;
3. shall demonstrate that they will have addressed unmet needs identified by the inventory and description of current services required under section 205(3);
4. shall describe the number of families served, including families with children with disabilities, and parents with disabilities, and the involvement of a

diverse representation of families in the design, operation, and evaluation of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect, and in the design, operation and evaluation of the networks of such community-based and prevention-focused programs;

5. shall demonstrate a high level of satisfaction among families who have used the services of the community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect;

6. shall demonstrate the establishment or maintenance of innovative funding mechanisms, at the State or community level, that blend Federal, State, local and private funds, and innovative, interdisciplinary service delivery mechanisms, for the development, operation, expansion and enhancement of the community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect;

7. shall describe the results of a peer review process conducted under the State program; and

8. shall demonstrate an implementation plan to ensure the continued leadership of parents in the on-going planning, implementation, and evaluation of such community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. PSC-272. Program progress reporting requirements are described in Program Instructions. Financial reporting requirements are described in Program Instructions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records are to be kept in accordance with 45 CFR Parts 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$41,689,000; FY 10 est \$41,689,000; FY 11 est \$41,689,000

Range and Average of Financial Assistance:

In FY 2009, the range of financial assistance was from a low of \$200,000 to a high of \$3,601,266, with an average of \$711,393.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 60 grants were awarded and 37% of programs funded are evidence-informed or evidence-based. Fiscal Year 2010: In FY 2010, it is anticipated that 60 grants will be awarded. Fiscal Year 2011: In FY 2011, it is anticipated that 60 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None.

Headquarters Office:

Melissa Lim Brodowski Office on Child Abuse and Neglect, 1250 Maryland Avenue, SW, 8th Floor, Washington, District of Columbia 20024 Email: melissa.brodowski@acf.hhs.gov Phone: 202-205-2629

Website Address:

<http://www.friendsnrc.org>

RELATED PROGRAMS:

93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Among other projects, CBCAP will continue to provide funds to Tribes, Tribal organizations and migrant programs, and to the FRIENDS NRC. CBCAP grants support parenting programs, home visitation, family resource centers, respite care, parent mutual support, family support, and, public awareness. Funds also are used to provide training and technical assistance and to evaluate programs. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements will receive funds under this program.

93.591 FAMILY VIOLENCE PREVENTION AND SERVICES/GRANTS FOR BATTERED WOMEN'S SHELTERS_GRANTS TO STATE DOMESTIC VIOLENCE COALITIONS

Family Violence Prevention and Services Act Grants to State Domestic Violence Coalitions

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act, as extended by the Department of Health and Human Services Appropriations Act, 2010, Public Law 111-117, 42 U.S.C 10401-10421.

OBJECTIVES:

To further the purposes of domestic violence intervention and prevention through coordination, training, and collaboration with systems that affect victims of domestic violence; to provide services, community education and technical assistance to such programs to establish and maintain shelter and related services for victims of domestic violence and their dependents.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

State domestic violence coalitions may use funds under the Act to support a range of activities, including working with local domestic violence programs and providers of direct services to encourage appropriate responses to domestic violence within the State; working with judicial and law enforcement agencies to encourage appropriate responses to domestic violence cases and examine legal issues; working with family law judges, criminal court judges, Child Protective Services agencies, and children's advocates to develop appropriate responses to child custody and visitation issues in domestic violence cases as well as cases where domestic violence and child abuse are both present; conducting public education campaigns regarding domestic violence through the use of public service announcements and informative materials that are designed for print media, billboards, public transit advertising, electronic broadcast media, and other vehicles for information including information aimed at underserved racial, ethnic or language-minority populations; and participating in planning and monitoring the distribution of grants and grant funds within the State under Section 303(a) of the Act. (See CFDA 93.671, Family Violence Prevention and Services: Grants to State and Indian Tribes.)

Applicant Eligibility:

To be eligible for a grant under this Section, an entity shall be a statewide nonprofit State Domestic Violence Coalition, or a membership organization consisting of the battered women's shelters in the state, or territory.

Beneficiary Eligibility:

This program will benefit victims of domestic violence, their children and other dependents, their families, and other persons affected by such violence including friends, relatives, and the general public.

Credentials/Documentation:

Applications must be submitted for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR 74. This

program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted to the Administration on Children, Youth and Families. No standard application forms are required. Specific instructions are published via the Internet under the Form section at the following website: <http://www.acf.hhs.gov/grants>. Contact Headquarters Office listed below for further information.

Award Procedure:

Funds are awarded directly to State Domestic Violence Coalitions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

See 45 CFR 16, Procedures of the Department Appeals Board.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Part 1370, Subpart H. No less than 10 percent of the amount available to grants will be made available to the State Domestic Violence Coalition in each of the 50 States, Puerto Rico and the District of Columbia. Grants for the Coalitions in the combined U.S. Territories of Guam, the U.S. Virgin Islands, Northern Mariana Islands, and American Samoa shall not receive less than 1/53 of the funds appropriated for each fiscal year. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The annual grant award must be expended by each State Domestic Violence Coalition by the end of the fiscal year following the fiscal year for which funds are awarded. Payments are made through the Electronic Transfer System. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Recipients submit an annual Performance Progress Report by December 29 of each year. Recipients submit quarterly Federal Financial Reports (FFR). Performance progress reports are required and selected grantees may be identified for site visits by federal program officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

State coalitions are required to maintain records documenting the purposes for which expenditures were made. Requirements are found in 45 CFR Part 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$12,777,600; FY 10 est \$13,005,200; FY 11 est \$14,000,000

Range and Average of Financial Assistance:

In FY10 each state coalition on average received \$245,381 and territories received \$122,690.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 53 Statewide Domestic Violence Coalitions were funded. Fiscal Year 2010: 54 Statewide Domestic Violence Coalitions were funded. Fiscal Year 2011: It is estimated that 55 Statewide Domestic Violence Coalitions will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations codified in 45 CFR 1300. Final Rulemaking was published February 22, 1996 at 45 CFR 1370.

Regional or Local Office:

None.

Headquarters Office:

Kenneth E. Noyes, Esq. 1250 Maryland Avenue SW, Washington, District of Columbia 20024 Email: kenneth.noyes@acf.hhs.gov Phone: 202-205-7891 Fax: 202-401-7038

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants; 93.994 Maternal and Child Health Services Block Grant to the States

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 53 Statewide Domestic Violence Coalitions were funded. Fiscal Year 2010: 54 Statewide Domestic Violence Coalitions were funded. Fiscal Year 2011: It is estimated that 55 Statewide Domestic Violence Coalitions will be funded.

CRITERIA FOR SELECTING PROPOSALS:

Each State Domestic Violence Coalition will receive its respective share of funds if the application submitted meets the necessary requirements.

93.592 FAMILY VIOLENCE PREVENTION AND SERVICES/GRANTS FOR BATTERED WOMEN'S SHELTERS_DISCRETIONARY GRANTS

Family Violence Prevention and Services Act Discretionary Grants

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act as extended by the Department of Health and Human Services Appropriations Act, 2010, Public Law 111-117, 42 U.S.C 10401-10421.

OBJECTIVES:

To fund a wide range of discretionary activities for the purpose of preventing family violence; protecting victims and their dependents; improving the design, delivery, and coordination of services to address family violence; gathering information on the incidence of family violence; and increasing knowledge and understanding of the issue through research, demonstration, and evaluation projects. Specific sections in the Act authorize funding for such discretionary projects as the National Resource Centers; a national domestic violence hotline; public education and information activities; and research into the most effective programs for the prevention, identification, and treatment of family violence.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Discretionary grants may be made to public and private entities and may take the form of a competitive grant, a cooperative agreement, or a contract, depending on the statutory requirements. Applicants must meet the program and administrative requirements specified in the appropriate Federal Register or Commerce Business Daily announcement.

Applicant Eligibility:

Depending on the purpose of the project and the statutory requirements, an

applicant may be a public agency, a private agency (nonprofit), a federally-recognized Indian tribe, an Alaska Native Village, or a nonprofit Alaska Native Regional Corporation.

Beneficiary Eligibility:

These discretionary grants will benefit victims of family violence, their dependents, families, other interested persons, and the general public.

Credentials/Documentation:

Applicants must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR Parts 74 for private nonprofit agencies and organizations; and in accordance with 45 CFR Part 92 for State, local, and Indian Tribal governments. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Awards are granted on a competitive basis. All applications must contain standard forms 424 and 424A and all required certifications. Specific instructions are published via the Internet under the Forms section at the following website: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

The Commissioner, Administration on Children, Youth and Families, will make the final decision on all awards. Selection of applicants will be determined based on the review criteria published on the following website: http://www.acf.hhs.gov/grants/grants_fysb.html. Funds are awarded directly to grantees.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals (continuations), if authorized under the terms of the award, are awarded based on satisfactory performance and availability of funding.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Dependent upon priority application request.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Projects may vary from a 12-month project period to a 5-year project period depending on statutory and programmatic requirements. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. Cash reports are not applicable. Semi-Annual Performance Progress Reports (PPR) are required. Quarterly Federal Financial Reports (FFR) are required. Performance progress reports are required and selected grantees may be identified for site visits by federal program officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92 and OMB Circular No.

A-133.

Records:

Recipients are required to keep financial and program records necessary for program review and audit to ensure that funds have been expended in accordance with the statute, regulations, grant conditions, and administrative terms of the project funded.

Account Identification:

75-1536-0-1-506.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$15,985,500; FY 10 est \$16,214,000; FY 11 est \$18,500,000 - Cooperative Agreements (Discretionary Grants) FY09 and FY 10 est includes \$3,209,000 for the National Domestic Violence Hotline; FY11 est includes \$4,500,000 for the National Domestic Violence Hotline. (Contracts) FY09: \$1,053,000, FY10: \$1,157,000, FY11: \$1,200,000.

Range and Average of Financial Assistance:

\$141,509 to \$3,209,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 23 discretionary grants were awarded and 3 contracts funded. Fiscal Year 2010: 22 discretionary grants will be awarded and 3 contracts funded. Fiscal Year 2011: It is anticipated that 26 discretionary grants will be awarded and 4 contracts will be funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations codified in 45 CFR 1300. You may also get information on the Family and Youth Services Bureau website at <http://www.acf.hhs.gov/programs/fysb>.

Regional or Local Office:

None.

Headquarters Office:

Marylouise Kelley Family and Youth Services Bureau
1250 Maryland Ave., SW, Washington, District of Columbia 20024 Email: marylouise.kelley@acf.hhs.gov Phone: 202-401-5756 Fax: 202-401-7038

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.612 Native American Programs; 93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: One National Resource Center on Domestic Violence and four Special Issue Resource Centers, the National Domestic Violence Hotline, public information and education campaigns, and outreach activities to underserved and diverse populations. Fiscal Year 2010: One National Resource Center on Domestic Violence and four Special Issue Resource Centers, the National Domestic Violence Hotline, public information and education campaigns, and outreach activities to underserved and diverse populations. Fiscal Year 2011: One National Resource Center on Domestic Violence and four Special Issue Resource Centers, the National Domestic Violence Hotline, public information and education campaigns, and outreach activities to underserved and diverse populations.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on the criteria published in the Funding Opportunity Announcement located on the following website: <http://www.acf.hhs.gov/grants> or Commerce Business Daily program announcement.

93.593 JOB OPPORTUNITIES FOR LOW-INCOME INDIVIDUALS (JOLI Program)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Support Act of 1988, Section 505, Public Law 100-485, 42 U.S.C 1926; as amended, Personal Responsibility and Work Opportunity Reconciliation Act of 1996, Section 112, Public Law 104-193.

OBJECTIVES:

The purpose of the JOLI program is to create new jobs to be filled by low-income individuals. JOLI grantees create jobs through business plans and the provision of technical and/or financial assistance to private employers in the community. Accordingly, JOLI grantees assist in creating new employment and business opportunities for individuals receiving Temporary Assistance for Needy Families (TANF) and for other low-income individuals. Funded projects focus on one of three program strategies to create new job and employment opportunities: (1) new business ventures, (2) business expansion, and (3) self-employment/micro-enterprise.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funded projects must: (1) create new employment and/or business opportunities for TANF recipients and other low-income individuals; (2) enter into a cooperative agreement with the local TANF agency involving client referrals to the applicant project and supportive services for clients, and applicants are encouraged to establish a formal partnership with the local Child Support Enforcement (CSE) agency involving referrals of non-custodial parents to the applicant; (3) develop a comprehensive project design that describes: the work program and strategy; the participant training design; supportive services and counseling; technical assistance and financial assistance plan; access to business capital; and, follow-up participant support services; and, (4) include an independent, methodologically sound evaluation of the effectiveness of the activities in creating new jobs and/or business opportunities. Funds awarded under this program cannot be used for 1) new construction, 2) the purchase of real property, 3) fundraising, 4) reimbursement of pre-award costs, 5) any project where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant, or 6) a previously funded grantee to carry out the same project in the same geographic area. A minimum of 20 percent of the funds must be used for the provision of loans or other financial assistance to program participants. Grantee's applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 74.

Applicant Eligibility:

Only nonprofit organizations (including community development corporations, faith based, charitable, and tribal organizations) that are tax-exempt under section 501(a) of the Internal Revenue Code of 1986, by reason of paragraph (3) or (4) of section 501(c) of such Code are eligible to apply.

Beneficiary Eligibility:

Only recipients of Temporary Assistance for Needy Families (TANF) benefits and any other individual, whose income level does not exceed 100 percent of the official poverty guidelines, as published by the U.S. Department of Health and Human Services, can be served under this program. Emphasis is placed on serving individuals who are TANF recipients, homeless, unemployed, non-custodial parents, reside in public housing or receive housing assistance.

Credentials/Documentation:

Applicants must include with the application written evidence of the local TANF agency's willingness to enter a cooperative agreement. Applicants are strongly encouraged to include with the application written evidence of a partnership with the local CSE agency. All non-profit organizations (including community development corporations, faith based, charitable, and tribal organizations) must include with the application written proof of tax-exempt status under 501(c) (3) or (4) of Internal Revenue Code of 1986. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. All information and forms required to prepare a grant are published in the Federal Register and www.grants.gov website.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Financial Assistance Award. Official notice of an accepted application proposal is made through award of a grant.

Deadlines:

Sep 01, 2009 All information and application forms required to prepare a application are at www.grants.gov.

Range of Approval/Disapproval Time:

From 90 to 120 days. Generally, solicited grants will be acted upon within 90-120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are approved for up to a 3-year budget and project period. The maximum grant for the full project and budget period is \$311,000. See the following for information on how assistance is awarded/released: Grant awards are approved for up to a 3-year budget and project period. The maximum grant for the full project and budget period is \$311,000.

Reports:

Semi annual progress and expenditure reports are required. Cash reports are not applicable. See above. Expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial and other records of the grant are to be kept 3 years after the termination of the grant or until audited, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$5,288,000; FY 10 est \$2,644,000; FY 11 est \$0

Range and Average of Financial Assistance:

FY09 average award \$403,209; FY10 est average award \$225,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 12 grants were awarded. Fiscal Year 2010: In FY 10, 10 grants will be awarded. Fiscal Year 2011: It is anticipated that 0 grants will be awarded in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

The JOLI Program Announcement information is available at: www.grants.gov; and the Poverty Guidelines is available at: <http://aspe.os.dhhs.gov/poverty/index.shtm1>.

Regional or Local Office:

None.

Headquarters Office:

Rafael J. Elizalde Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, U.S. Department of Health and Human Services, 370 L'Enfant Promenade, SW, Washington, District of Columbia 20447 Email: rafael.elizalde@acf.hhs.gov Phone: (202) 401-5115

Website Address:

<http://www.acf.hhs.gov/programs/ocs/index.html>

RELATED PROGRAMS:

93.570 Community Services Block Grant_Discretionary Awards; 93.601 Child Support Enforcement Demonstrations and Special Projects; 93.645 Child Welfare Services_State Grants; 93.647 Social Services Research and Demonstration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement.

93.594 TRIBAL WORK GRANTS

(Native Employment Works; NEW)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part A, Section 412.

OBJECTIVES:

To allow eligible Indian Tribes and Alaska Native organizations to operate a program to make work activities available.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Tribes have broad flexibility to use the grant for the purpose of making work activities available.

Applicant Eligibility:

An Indian Tribe or Alaska Native organization that conducted a Tribal JOBS (Job Opportunities and Basic Skills Training) Program in fiscal year 1995.

Beneficiary Eligibility:

Service areas and populations as designated by the eligible Indian Tribe or Alaska Native organization.

Credentials/Documentation:

The grantee's chief executive officer must sign the application for assistance (the grantee's Native Employment Works plan), documenting the Tribe's official support for, and approval of, the application/plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each eligible Indian Tribe or Alaska Native organization must submit a NEW plan to the Secretary for approval.

Award Procedure:

The Administration for Children and Families (ACF) will issue grant awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. Approximately 45 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are issued annually. Effective for NEW funds awarded on or after July 1, 1999, grantees may carry forward (carry over) unobligated NEW fund balances for use in subsequent fiscal years, without fiscal year limitation. Method of awarding/releasing assistance: by letter of credit.

Reports:

The annual program report includes a narrative report in which grantees summarize their activities, achievements, and barriers during the report period, and a statistical report in which grantees report on clients they served during the report period. Cash reports are not applicable. (Please see the description above of the program report. These are annual program progress reports.) Grantees must submit SF 269A (Financial Status Report - Short Form) annually. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Secretary will have the ability to maintain program funding accountability consistent with generally accepted accounting principles and the requirements of the Single Audit Act of 1984 and OMB Circular A-133.

Records:

Indian Tribes and Alaska Native organizations must maintain records containing information the Secretary may require.

Account Identification:

75-1552-0-1-609.

Obligations:

(Formula Grants) FY 09 \$7,558,000; FY 10 est \$7,633,000; FY 11 est \$7,633,000

Range and Average of Financial Assistance:

From \$5,187 to \$1,752,666; \$97,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 78 grants were awarded. Fiscal Year 2010: It is anticipated that 78 grants will be awarded in FY 2010. Fiscal Year 2011: We expect to award 78 grants in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for the Native Employment Works (NEW) program were published on February 18, 2000, and became effective June 19, 2000.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Joanne Krudys, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 127.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Darrel McGhee, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2936.

Region V (IL, IN, MI, MN, OH, WI):

Steven Krasner, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-3265.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 276, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY)

Felicia Gaither, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 1961 Stout Street, 9th Floor, Denver, CO 80294. Telephone: (303) 844-1483.

Region IX (AZ, CA, HI, NV):

Dan Baker, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8450.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Ann Bowker Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: ann.bowker@acf.hhs.gov Phone: (202) 401-5308 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/dts/>

RELATED PROGRAMS:

17.265 Native American Employment and Training; 93.558 Temporary Assistance for Needy Families

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 78 NEW grantees were funded to operate work activity programs. Fiscal Year 2010: We expect that 78 NEW grantees will continue to operate work activity programs using FY 2010 funds. Fiscal Year 2011: We expect that 78 NEW grantees will continue to operate work activity programs using FY 2011 funds.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.595 WELFARE REFORM RESEARCH, EVALUATIONS AND NATIONAL STUDIES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Deficit Reduction Act of 2005, Public Law 109-171, 42 U.S.C 613 and 710.

OBJECTIVES:

To support research on the benefits, effects, and costs of operating different State welfare programs, including studies on the effects of different programs and the operation of such programs on welfare dependency, employment rates, child well-being, family formation and healthy marriage, illegitimacy, teen pregnancy, and others; to assist States in developing and evaluating innovative approaches for reducing welfare dependency and increasing the well-being of minor children living at home.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative research, demonstrations, and evaluations that are responsive to the Administration for Children and Families (ACF) program priorities. All applications must meet standards of excellence in research, demonstration, or evaluation design. Construction or the purchase of real estate are not allowable under these grants or contracts. Further, these funds may not be used to supplement the cost of program operations otherwise capped under the law. Organizations may not use funds under these grants or contracts to engage in inherently religious activities, such as worship, religious instruction, or proselytizing. In addition, an organization receiving ACF funds shall not, in providing ACF-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which include the prohibition against Federal funding of inherently religious activities, as well as provisions related to nondiscrimination against religious organizations and the ability of religious organizations to maintain their religious character, can be found at either 45 CFR 87.1 or the HHS web site at <http://www.os.dhhs.gov/fbc/waisgate21.pdf>. Through grants, contracts, or interagency agreements, may fund research on the benefits, effects, and costs of operating different State programs funded under the Temporary Assistance for Needy Families Program and evaluations of innovative approaches to reducing welfare dependency and increasing child well-being. All funding is discretionary.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental entities, colleges, universities, nonprofit and for-profit organizations (if fee is waived), and faith- and community-based organizations. Grants or cooperative agreements cannot be made directly to individuals. Contracts may be awarded to non-profit or for-profit organizations.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to cooperate when the project involves the use of other agencies, facilities, or services. Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status. For State and local governments, costs will be determined in accordance with 45 CFR 92 and other grantees, applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants will be able to find a synopsis of grant or cooperative agreement opportunities and apply electronically for opportunities via: www.grants.gov. Applicants will also be able to find the complete text of grant announcements on the ACF web site located at: <http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Financial Assistance Award (FAA). This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation. Official notice of an accepted contract proposal is made through award of a contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants, cooperative agreements, and contracts will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and approved. If an application is recommended for approval for 2 or more years, the grantee must submit a formal request for a non-competitive continuation application each year accompanied by a progress report which will be evaluated prior to a recommendation of continuation. This process is not applicable to contracts.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Grantees are often required to share in the cost of projects. Cost sharing may range up to 25 percent of the total approved project cost for grants or cooperative agreements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. See the following for information on how assistance is awarded/released: Post award, our Division of Payment Management will establish an account from which a grantee may draw down awards. For additional information on this process see: http://www.dpm.psc.gov/grant_recipient/new_grantee_information/hhs_grantee_info.aspx?explorer.event=true.

Reports:

No program reports are required. No cash reports are required. Grantees are required to submit performance progress reports (ACF-OGM-SF-PPR) throughout the project period. Performance progress reports are due 30 days after the reporting period. Final programmatic reports are due 90 days after the close of the project period. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. Grantees are required to submit program financial expenditure reports throughout the project period. Financial reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Grantees will use the Financial Status Report (FSR) SF-269 (long form) for required financial reporting. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

(Project Grants) FY 09 \$20,990,000; FY 10 est \$21,000,000; FY 11 est \$21,000,000 - Two funding streams, Welfare Research and the Child Welfare Study, are focussed on child welfare, welfare reform, employment strategies, and family formation. With regard to Welfare Research, FY 09 \$14,995,000 FY 10 \$15,000,000, and FY 11 est \$15,000,000. With regard to the Child Welfare Study, FY 09 \$5,995,000, FY 10 \$6,000,000, and FY 11 est \$6,000,000.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: We awarded 3 grants and 15 contracts related to welfare reform, employment strategies and family strengthening in FY 2009. Fiscal Year 2010: We anticipate funding 3 grants and 16 contracts in FY 10. Fiscal Year 2011: We anticipate funding 2 grants and 16 contracts in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.grants.gov. Helpful information on contracts is available at www.fedbizopps.gov.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.).

Headquarters Office:

Karl J. Koerper, Office of Planning, Research and Evaluation, Administration for Children and Families, 370 L'Enfant Promenade, SW Floor, West, Washington, District of Columbia 20447 Email: Karl.Koerper@acf.hhs.gov Phone: (202) 4014535.

Website Address:

<http://www.acf.hhs.gov/programs/opre>.

RELATED PROGRAMS:

93.009 Compassion Capital Fund ; 93.558 Temporary Assistance for Needy Families; 93.647 Social Services Research and Demonstration; 93.648 Child Welfare Services Training Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In fiscal year 2009, we funded projects to test promising strategies to promote employment and self-sufficiency and reduce welfare utilization, to examine the overlap of individuals with disabilities in the Temporary Assistance for Needy Families and Supplemental Security Income programs and pilot-test innovations for engaging individuals with disabilities in employment-related activities, research on strengthening families and a study of children who are at risk of abuse or neglect or are in the child welfare system. More information describing research and evaluation projects we have funded can be found at <http://www.acf.hhs.gov/programs/opre/>. Fiscal Year 2010: We anticipate funding similar types of projects in fiscal year 2010. Fiscal Year 2011: We anticipate funding similar types of projects in fiscal year 2011.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be funded in whole or in part depending on: (1) the ranked order of applicants resulting from competitive review; (2) staff review and consultations; (3) the combination of projects that best meet the information needs of the program; (4) the funds available; and (5) other relevant considerations. Typical criteria include project cost and budget justification, the

qualification of the principal investigator and organization, the quality of approach and methodologies employed, and results and the programs objectives and results and benefits expected. Specific criteria for selecting recipients under individual funding announcements under this program are published in www.Grants.gov for grant announcement and in the solicitation for requests for proposals published in www.fedbizopps.gov for contracts.

93.596 CHILD CARE MANDATORY AND MATCHING FUNDS OF THE CHILD CARE AND DEVELOPMENT FUND

The Child Care Mandatory and Matching Funds of the Child Care and Development Fund (CCDF)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Section 418, 42 U.S.C 618; Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Public Law 104-193); Child Care and Development Block Grant Act of 1990, as amended (Public Law 101-508, 42 USC 9858, et seq); Balanced Budget Act of 1997 (Public Law 105-33); and the Deficit Reduction Act of 2005 (Public Law 105-171).

OBJECTIVES:

The Child Care Mandatory and Matching Funds are a part of the Child Care and Development Fund (CCDF) program, along with the Child Care and Development Block Grant (CCDBG) or Discretionary Funds (see CFDA 93.575). To make grants to States, Tribes, and tribal organizations for child care assistance for low-income families and to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State including systemic investments in quality child care to promote child development and learning, and to create a system that is child focused, family friendly, and fair to providers; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations. Current priorities include: making systemic investments in quality child care to promote child development and learning; and creating a system that is child focused, family friendly, and fair to providers.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Title IV of the Social Security Act appropriates funds (Mandatory and Matching Funds) for the purpose of providing child care assistance. Lead Agencies must use Mandatory and Matching Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG Act. Lead Agencies must ensure that not less than 70 percent of the total amount of Mandatory and Matching Funds are used to provide child care assistance to families who are receiving assistance under the Temporary Assistance for Needy Families program, families who are attempting through work activities to transition off of temporary assistance programs, and families who are at risk of becoming dependent on temporary assistance programs. Not more than five percent of the aggregate amount of CCDF Funds expended by the State (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State to carry-out all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State shall use not less than four percent of the CCDF Funds to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. Except for approved construction of child care facilities by tribal grantees, no Mandatory or Matching Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent

improvement of any building or facility (other than for minor remodeling and for upgrading of facilities to meet State and local child care standards.) No Mandatory or Matching Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction. However, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of faith-based or community child care providers. No Mandatory or Matching Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally recognized Tribal Governments, including Alaskan Native Corporations.

Beneficiary Eligibility:

Children under age 13 (or, at the option of the grantee, up to age 19, if physically or mentally incapable of self-care or under court supervision), who reside with a family whose income does not exceed 85 percent of the State median income for a family of the same size, and who reside with a parent (or parents) who is working or attending job training or educational program, or are in need of, or are receiving protective services.

Credentials/Documentation:

Lead Agencies must operate under a CCDF plan approved by the Administration for Children and Families, and must provide assurances that the grantee will comply with the requirements of the CCDBG Act and all applicable Federal law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Mandatory and Matching Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to the Administration for Children and Families, as well as, financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the Child Care and Development Block Grant Act (CCDBG). The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the amended CCDBG; an outline of the proposed use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

Grants are awarded after the receipt and approval of an application and plan by the Administration for Children and Families.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The Administration for Children and Families will review the CCDF plans for approval and will act on the plans within 90 days.

Appeals:

Guidelines for appealing disapprovals of CCDF plans are specified in regulations at 45 CFR Part 99.

Renewals:

CCDF plans must be submitted every two years.

Formula and Matching Requirements:

Statutory Formula: Title 45 CFR, Part 98.53.

Matching Requirements: Allocations of the Mandatory Funds are based on a State's Federal share of the expenditures for the now-repealed AFDC-linked child care programs (AFDC/JOBS Child Care, Transitional Child Care, and At-Risk Child Care) in 1994 or 1995, or the average of 1992 through 1994, whichever was greater. A State is not required to expend any State funds in order to receive its share of the Mandatory Funds. The remaining funds are Matching Funds and are distributed based on the number of children under age 13 in a State compared with the national total of children under age 13. To access Matching Funds, a State must obligate all of its Mandatory Funds allotted in a fiscal year and maintain 100 percent of the State's share of expenditures for the former programs in fiscal year 1994 or fiscal year 1995, whichever is greater. Matching Funds must be matched at the applicable FMAP rate, which is the Medicaid Program matching rate. Not less than one percent, but not more than two percent of the total Mandatory and Matching Funds are reserved for Tribes and tribal organizations based on the number of children living on or near tribal reservations or other appropriate area served by the tribal grantee. Tribes and tribal organizations are not required to provide matching funds. The District of Columbia is treated as a State for purposes of receiving its share of Mandatory and Matching Funds. Territories are not eligible to receive Mandatory or Matching Funds.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Grant awards are made to Lead Agencies with approved CCDF plans. If Matching Funds are requested, Mandatory Funds must be obligated by the end of the first fiscal year. There is no time limit on liquidation of Mandatory Funds and no time limit on obligation of Mandatory Funds if no Matching Funds are requested. Matching Funds must be obligated by the end of the first fiscal year and liquidated by the second fiscal year. State funds expended toward the Maintenance of Effort requirement must be both obligated and expended by the end of the first fiscal year. Mandatory and Matching Funds awarded to Tribes and tribal organizations must be obligated by the end of the second fiscal year and liquidated by the end of the third fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

As specified in 45 CFR Part 98, States must report to the Administration on Children and Families on an annual basis aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. Tribes must also provide an annual count of children and families served through CCDF; average hours of service per child by type of care; average monthly payment and co-payments per child; number of children served by income; and supplemental narratives. No cash reports are required. No progress reports are required. States must also submit quarterly expenditure reports. Territories are required to report estimates and expenditures for the Discretionary Fund only on a quarterly basis. States, DC, and Puerto Rico are required to measure, calculate, and report improper authorizations for payments as well as identify strategies for reducing future improper authorizations for payments. One-third of the 50 States, DC, and Puerto Rico must report annually on a three-year cycle. Tribes report expenditures for the Tribal Mandatory, Discretionary, and Construction/Renovation funds. Monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Additional auditing may be necessary.

Records:

Proper grant accounting records must be maintained.

Account Identification:

75-1550-0-1-609.

Obligations:

(Formula Grants) FY 09 \$2,917,000,000; FY 10 est \$2,917,000,000; FY 11 est \$3,717,000,000 - These figures do not include CCDF Discretionary Funds under CFDA 93.575. Also excluded are CCDF Discretionary Funds provided by the American Recovery and Reinvestment Act under CFDA 93.713.

Range and Average of Financial Assistance:

For States, including DC and Puerto Rico, the range of grants in FY 2010 is: \$8,543,318 to \$532,554,743; average grant is \$94,404,688. For 258 Tribal grantees, the range of grants in FY 2010 is: \$22,859 to \$8,832,550; average grant is \$391,014. For the four Territories, the range of grants in FY 2010 is \$1,885,982 to \$3,978,605; average grant is \$2,658,851. These figures are inclusive of funds received through CFDA 93.575 and 93.596 but exclude funds provided by the American Recovery and Reinvestment Act (see CFDA 93.713).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 294 grants were awarded. Fiscal Year 2010: It is anticipated that 294 grants will be awarded. Fiscal Year 2011: It is anticipated 294 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Child Care Bureau Regional Program Managers (RPM). A list of the RPM's and their contact information is available at www.acf.hhs.gov/programs/ccb/ta/raaddr/program_managers.htm. You may also contact the Child Care Bureau, Office of Family Assistance at (202) 690-6782 or by Fax at (202) 690-5600.

Headquarters Office:

Carol Gage Child Care Bureau, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services 901 D St. S.W., 5th Floor East, Washington, District of Columbia 20447 Email: carol.gage@acf.hhs.gov Phone: 2026906782 Fax: 2026905600

Website Address:

<http://www.acf.hhs.gov/programs/ccb>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.575 Child Care and Development Block Grant; 93.713 ARRA CHILD CARE AND DEVELOPMENT BLOCK GRANT

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Mandatory and Matching Funds are awarded to States via a block grant, which, through their appointed Lead Agencies, make many of the decisions about priorities, policies, and expenditures in achieving goals related to improved family access to quality child care. States are required to commit at least four percent of their CCDF Funds to activities such as consumer education, resource and referral services, provider training, and caregiver recruitment designed to improve child care availability and quality. Fiscal Year 2010: Anticipated results are the same as FY 2009. Fiscal Year 2011: Anticipated results are the same as FY 2010.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.597 GRANTS TO STATES FOR ACCESS AND VISITATION PROGRAMS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part D, Section 469B, Public Law 104-193.

OBJECTIVES:

To enable States to create programs which support and facilitate access and visitation by non-custodial parents with their children. Activities may include mediation, counseling, education, development of parenting plans, visitation enforcement and development of guidelines for visitation and alternative custody arrangements.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

A State to which a grant is made under this section may not use the grant to supplant expenditures by the State for allowable activities, but shall use the grant to supplement such expenditures at a level at least equal to the level of such expenditures for fiscal year 1995.

Applicant Eligibility:

All States, the District of Columbia, Puerto Rico, Virgin Islands and Guam.

Beneficiary Eligibility:

Custodial and non-custodial parents and children.

Credentials/Documentation:

The Governors have designated a single State agency to represent the State in carrying out this responsibility. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are submitted biannually using the standard application form, as furnished by the Department of Health and Human Services. Application kits which contain the prescribed application forms and supplemental descriptive information on the access and visitation grant program are available from: The Office of Child Support Enforcement, Administration for Children and Families, 4th Floor, 370 L'Enfant Promenade, SW., Washington DC 20447.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period for which support is given, the total project period, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

Governed by 45 CFR Part 16 - "Procedures of the Department Grant Appeals Board".

Renewals:

Extensions and continuations of grants are not available.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 10%. The award amount is based on the number of children in a State living with one biological parent as determined by

the Census Bureau.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded for a two-year project period. Method of awarding/releasing assistance: by letter of credit. Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly reports are required. OCSE-269A reports are required. Periodic progress reports are required. Annual 269A expenditure reports are required by Section 303.109. Monitoring is done when the progress reports are reviewed for accuracy and completeness.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-1501-0-1-609.

Obligations:

(Formula Grants) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$12,000,000

Range and Average of Financial Assistance:

The amount of funding provided to each grantee is based on an allocation formula with a designated minimum amount, provided in statute.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 54 grants were awarded. Fiscal Year 2010: In FY 2010, 54 grants were awarded. Fiscal Year 2011: For FY 2011, pending enactment of the FY 2011 President's Budget legislative proposal to make Tribes meeting certain criteria eligible to receive these grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration Policies and application kits may be obtained from: Office of Child Support Enforcement, Administration for Children and Families, 4th Floor, 370 L'Enfant Promenade, S.W., Washington DC 20447.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for ACF Regional Offices.

Headquarters Office:

Myles Schlank 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: myles.schlank@acf.hhs.gov Phone: 202 401-9329

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.563 Child Support Enforcement

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.598 SERVICES TO VICTIMS OF A SEVERE FORM OF TRAFFICKING

Anti-Trafficking in Persons Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Trafficking Victims Protection Act of 2000, as amended, Public Law 106-386, 114 Stat. 1464, 20 U.S.C 7105(b)(1)(A).

OBJECTIVES:

To provide outreach to, identification of, and service referral to individuals who may be victims of a severe form of trafficking. To enhance anti-trafficking efforts in the U.S. by building regional capacity, developing regional coalitions, conducting public awareness, and providing training and technical assistance on human trafficking issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Office of Refugee Resettlement (ORR) provides funding for outreach to, and identification of, human trafficking victims, in particular among vulnerable populations. Funds are also provided to conduct public awareness and to build and maintain the Rescue and Restore Regional Programs that serve as the focal points for regional public awareness campaign activities and intensification of local outreach to identify victims of human trafficking. Rescue and Restore Regional Program grantees must sub-award 60 percent of grant funds. Funds may be used only for the purposes set forth in the grant award.

Applicant Eligibility:

State and local governments and private organizations. Under the "Victims of Trafficking and Violence Protection Act of 2000 (VTPA), and the Trafficking Victims Protection Act of 2003 (TVPRA)," trafficking victims are eligible for benefits and services to the same extent as refugees.

Beneficiary Eligibility:

Beneficiaries are adult victims of a severe form of trafficking who have been certified by HHS/ORR. Children under 18 years of age subjected to a severe form of trafficking who have received letters of eligibility from HHS/ORR are considered eligible for services. Certain family members of victims of a severe form of trafficking are eligible for federally funded or administered benefits and services to the same extent as refugees. Holders of the "Derivative T Visas" (T-2, T-3, T-4, or T-5 visa) are eligible for services. Potential victims of trafficking are also eligible for services.

Credentials/Documentation:

Cost principals for nonprofit organizations will be determined by OMB Circular No. A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Each application submitted under this program announcement will undergo a pre-review to determine if (1) the application was received by the closing date and submitted in accordance with the instructions in this announcement and (2) the applicant is eligible for funding.

Award Procedure:

Applications that pass the initial Administration for Children and Families (ACF) screening will be evaluated and rated by an independent review panel on the basis of specific evaluation criteria. The evaluation criteria were designed to assess the quality of a proposed project and to determine the likelihood of its success.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. It is anticipated that the approval/disapproval time will not exceed 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are generally made available for 2 to 3-year project periods with funding on an annual basis. Funds are available to reimburse costs, up to the amount of the award, incurred during the year for which the funds are awarded. See the following for information on how assistance is awarded/released: Funds are awarded each year during the project periods.

Reports:

Program reports are required, usually on a quarterly or semi-annual basis. Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are also required. Cash reports are not applicable. No progress reports are required. SF-269 Financial Status Reports are due on a semi-annual basis. Final financial reports are also required. On-site and desk monitoring is done annually and on an ongoing basis.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants and other agreements. "In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133." Nonprofit organization receiving less than \$25,000 a year in Federal awards are exempt from federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or subgranting entity.

Records:

Grant recipients are required to keep all financial, business, and program reports necessary for program review and audit during the project period and for 3 years thereafter to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Project Grants) FY 09 \$4,086,000; FY 10 est \$4,507,000; FY 11 est \$4,483,000

Range and Average of Financial Assistance:

In FY 2009, 19 grants were awarded or continued, ranging from \$100,000 to \$600,000. The average grant amount was \$215,027. It is estimated that 19 grants will be awarded or continued in FY 2010 and 13 in FY 2011. The range of awards in FY 2010 and 2011 is estimated to be \$150,000 to \$800,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal year 2009: 380 victims received certification or eligibility letters. Fiscal Year 2010: It is estimated that the number of trafficking victims will be approximately 450. Fiscal Year 2011: Fiscal Year 2011: no projection available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Maggie Wynne, 370 L'Enfant Promenade, S.W., 8th Floor West, Washington, District of Columbia 20447 Email: Maggie.Wynne@acf.hhs.gov Phone: (202)

401-4664 Fax: (202) 401-5487.

Website Address:

<http://www.acf.dhhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.583 Refugee and Entrant Assistance_Wilson/Fish Program; 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants; 93.676 Unaccompanied Alien Children Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Notice of criteria for awarding funds can be found at the ORR website. Please refer to the Information Contact section for more information.

93.599 CHAFEE EDUCATION AND TRAINING VOUCHERS PROGRAM (ETV)

Chafee Education and Training Vouchers (ETV)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 477(h); as amended.

OBJECTIVES:

To provide resources to States and eligible Indian Tribes to make available vouchers for postsecondary training and education, to youths who have aged out of foster care or who have been adopted or left for kinship guardianship from the public foster care system after age 16.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used to provide vouchers for postsecondary education and training to youth otherwise eligible for services under the State's or Tribe's Chafee Foster Care Independence Program. Vouchers may also be provided to youth who leave foster care for adoption or kinship guardianship after age 16. Vouchers can be provided to youth up to the age of 23, as long as they are participating in the program at age 21 and are making satisfactory progress toward completing their course of study or training. Vouchers provided to individuals may be available for the cost of attending an institution of higher education (as defined in section 472 of the Higher Education Act) and shall not exceed the lesser of \$5,000 per grant year or the total cost of attendance as defined in section 472 of the Higher Education Act.

Applicant Eligibility:

State governments, including the 50 States, the District of Columbia and Puerto Rico (hereafter "States"), and eligible Indian Tribes, Indian Tribal organizations, or Indian Tribal consortia (hereafter "Tribes").

Beneficiary Eligibility:

Youth otherwise eligible for services under a State's or Tribe's Chafee Foster Care Independence Program. (This includes youth who have left foster care because they attained 18 years of age, and have not yet attained 21 years of age; youth likely to remain in foster care until 18 years of age, commensurate with the State's or Tribe's criteria used to determine eligibility for the program; and former foster care recipients, age 21 and younger.) Vouchers may also be provided to youth who are adopted from foster care or exit foster care to kinship guardianship after attaining age 16 and to youth up to the age of 23, as long as they are participating in the voucher program at age 21 and are making satisfactory progress toward completing their course of study or training.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applications must include the information and assurances required from the States or Tribes. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A 5-year State or Tribal plan must be submitted with annual updates. The plan must coordinate the provision of services under the State's or Tribe's Education and Training Voucher Program with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the State's or Tribe's annual allotment.

Deadlines:

Jun 30, 2010

Range of Approval/Disapproval Time:

From 60 to 90 days. The plan or its annual update is due June 30 of each year. Approval/disapproval is due September 30 of each year.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: States: Each State is allocated an amount of funds which bears the same ratio as the number of children in foster care in that State bears to the total number of children in foster care in all States in the most recent fiscal year for which such information is available. Date submitted by States into the Adoption and Foster Care Analysis and Reporting System (AFCARS) national database will be used to calculate State allotments.

Tribes: Each Tribe with an approved plan is allocated an amount equal to the number of children in foster care under the responsibility of the Tribe (either directly or under supervision of the State) in the most recent fiscal year for which such information is available to the sum of the total number of children in foster care under the responsibility of all Indian Tribes in the State, that have an approved plan.

Matching Requirements: Percent: 20.%. The Federal government will pay 80 percent of the total amount of funds expended by the grantee up to the amount of funds allocated for the Educational and Training Vouchers program. The grantee must provide matching contributions to cover the additional 20% of the costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis. Method of awarding/releasing assistance: quarterly.

Reports:

Annual updates to the State's or Tribe's plan. PSC-272. Progress reports are not applicable. Submitted to Administration for Children and Families, Office of Administration. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$45,347,487; FY 10 est \$45,351,000; FY 11 est \$45,351,000

Range and Average of Financial Assistance:

FY 09: \$97,724 to \$6,851,700 with an average of \$847,768.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 53 grants were awarded in fiscal year 2009. Fiscal Year 2010: Estimate 53 grants will be awarded. Fiscal Year 2011: Estimate 53 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Instructions (PI): PI-ACYF-CB-PI-09-06, issued June 3, 2009; PI-ACYF-CB-PI-09-07, issued June 3, 2009; PI-ACYF-CB-PI-10-08, issued April 20, 2010; PI-ACYF-CB-PI-10-09, issued April 20, 2010; PI-ACYF-CB-PI-10-10, issued 2010.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administrator for Children and Families.

Headquarters Office:

Catherine Heath 1250 Maryland Ave, SW; 8th Floor, Washington, District of Columbia 20024 Phone: 202-690-7888

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.600 HEAD START

Head Start

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007, Public Law 110-134, 42 U.S.C 9801.

OBJECTIVES:

To promote school readiness by enhancing the social and cognitive development of low-income children, including children on federally recognized reservations and children of migratory farm workers, through the provision of comprehensive health, educational, nutritional, social and other services; and to involve parents in their children's learning and to help parents make progress toward their educational, literacy and employment goals. Head Start also emphasizes the significant involvement of parents in the administration of their local Head Start programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible; i.e. from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs may serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their service area that are income eligible and

predominantly serve children meeting the low income criteria. Training and technical assistance grants are available to Head Start programs.

Applicant Eligibility:

Any government, federally-recognized Indian tribe, or public or private nonprofit or for profit agency which meets the requirements may apply for a grant. However, application will be considered only when submitted in response to a specific announcement, published via the Internet at the following website address: http://www.acf.hhs.gov/grants/grants_hsb.html, which solicits proposals to establish new Head Start Programs. Grantee agencies may subcontract with other child-serving agencies to provide services to Head Start children.

Beneficiary Eligibility:

Head Start/Early Head Start programs are for children from birth up to the age when the child enters the school system; however, Head Start programs only serve pre-school age children while Early Head Start programs serve children from birth through age three. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The Office of Head Start will provide each applicant agency with a completed check list form showing exactly which items must be completed by each applicant and delegate agency.

Award Procedure:

All funds are awarded directly to the grantees. Funds for local Head Start/Early Head Start programs, including a portion of training and technical assistance funds, are awarded by the Office of Head Start's Regional Offices. Funds for the following are awarded by the Central Office of Head Start: American Indian and Alaskan Native programs; Migrant programs; research, demonstration and evaluation efforts and some training and technical assistance efforts.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. This, depending on the nature of the proposal, can vary considerably from 90 days to as much as several months.

Appeals:

Grantees who are denied refunding may appeal adverse decisions to the HHS Departmental Appeals Board. There is no appeal for new grants denials.

Renewals:

From 90 to 120 days. OHS Headquarters or Regional Offices will inform grantees of the application procedures for renewal.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for a 12-month budget period. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual information on the types of children served and the services provided. No cash reports are required. No progress reports are required. Semi-annual spending reports. At least once every three years every agency is monitored. New grantees are monitored after their first year in operation.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR, Parts 74 and 92.

Records:

Grantee must maintain an accounting system adequate to meet the purposes of the grant. Requirements for records are found in 45 CFR, Part 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$7,110,283,000; FY 10 est \$7,234,783,000; FY 11 est \$8,223,958,000

Range and Average of Financial Assistance:

136,000-200,351,000; 4,300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Comprehensive early childhood services were provided to 904,153 children and families. Fiscal Year 2010: Comprehensive early childhood services will be provided to 904,153 children and families. Fiscal Year 2011: Comprehensive early childhood services will be provided to 970,653 children and families.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 - 1311. These are available on request at no charge.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Louise Eldridge, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150.

Region II (NJ, NY, PI, VI):

Iran Rodriguez, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173.

Region III (DC, DE, MD, PA, VA, WV):

Nancy Elmore, Regional Program Manager, Administration for Children and Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Marsha Lawrence, Regional Program Manager, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61- Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2841.

Region V (IL, IN, MI, MN, OH, WI):

Kay Willmoth, Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-7562.

Region VI (AR, LA, NM, OK, TX):

Susan Johnston, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844.

Region VII (IA, KS, MO, NE):

Lynda Bitner, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275.

Region VIII (CO, MT, ND, SD, UT, WY)

Ross Weaver, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1106.

Region IX (AZ, CA, HI, NV):

Jan Len, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8447.

Region X (AK, ID, OR, WA):

Nancy Hutchins, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 2201 Sixth Avenue, room 610 - M/S RX-70, Seattle, WA 98121. Telephone: (206) 615-3661.

Headquarters Office:

Colleen Rathgeb 1250 Maryland Avenue, S.W., Washington, District of Columbia 20024 Email: colleen.rathgeb@acf.hhs.gov Phone: 202-205-7378

Website Address:

<http://www2.acf.dhhs.gov/programs/hsb> .

RELATED PROGRAMS:

93.708 ARRA - HEAD START; 93.709 ARRA EARLY HEAD START

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.601 CHILD SUPPORT ENFORCEMENT DEMONSTRATIONS AND SPECIAL PROJECTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security, Title IV, Part D, Section 452(j), Public Law 104-193, 42 U.S.C 652j.

OBJECTIVES:

To design and carry out special projects of regional and national significance relating to the improvement of the Child Support Enforcement Program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded for innovative special improvement projects and demonstrations that improve the effectiveness of the Child Support Enforcement (CSE) program on the regional and national levels. These activities must be consistent with the goals of the national child support mission to ensure all children receive financial and medical support from both parents and strengthen the ability of the nation's child support programs to collect support on behalf of children and families. Non-child support applicants are required to partner with a State/local or Tribal CSE agency.

Applicant Eligibility:

Eligibility is open to all types of domestic applicants other than individuals (including sole proprietorships).

Beneficiary Eligibility:

State/local agencies, Tribal governments, nonprofit organizations and other organizations involved in child support enforcement will benefit.

Credentials/Documentation:

When appropriate, applicants should present written evidence of other agencies' willingness to cooperate when the project involves their cooperation or the utilization of their facilities or service. For other grantees, costs will be determined in accordance with OMB Circular No. A-21 for educational institutions, OMB Circular No. A-122 for nonprofit organizations, and any amendments to the aforementioned. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application forms and supplemental descriptive information on the priority projects are available from: Administration for Children and Families, Child Support Enforcement Program, 4th floor, 370 L'Enfant Promenade, SW., Washington, DC 20447. Applications may be submitted to this address or via grants.gov. See the actual program announcement for details. The application shall be executed by an individual authorized to act for the applicant agency or organization and to assume for the agency or organization the obligations imposed by the terms and conditions of the grant. The application must clearly indicate whether the application submitted is in response to a program announcement (e.g. CFDA 93.601) and must reference the announcement number for which the application is to compete. Applications are reviewed and evaluated by a review panel of not less than three knowledgeable people. Written assessment of each application is made.

Award Procedure:

Official notice of approved grant applications is made through issuance of a Notice of Grant Award. This provides the amount of funds awarded, the purpose of the award, the term and conditions of the award, the budget period for which support is given, the total project period for which support is contemplated, and the total grantee participation.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 120 days. Generally, solicited grants will be acted upon within 90 days.

Appeals:

There are no formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated. In the case of unsolicited proposals, applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval. In the case of solicited proposals, reworking time may be allowed to prepare addenda which clarify various aspects of projects. If an applicant is not awarded a grant, the applicant can request a written response that will address: (1) Weak and deficient areas of the proposal; (2) impact of weaknesses or deficiencies in not being selected; and (3) factors that were the basis of the selection of the successful grantee.

Renewals:

Generally, project and budget periods vary according to priority area (up to 3 years). Projects of a longer duration will be considered if unique circumstances warrant. If projects are approved for a period longer than 12 months, funding will be provided in discrete 12-month increments, or "budget periods." Funding beyond the first 12-month budget period is not guaranteed. Future funding will

depend on the grantee's satisfactory performance and the availability of future appropriations.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Generally, project and budget periods vary according to the priority area (up to 3 years). For multi-year projects, support beyond the first year is contingent upon acceptable evidence of satisfactory progress and availability of funds.
Method of awarding/releasing assistance: by letter of credit.

Reports:

Quarterly program reports are required. Semi-annual 269A reports are required. Quarterly narrative progress reports are required. Semi-annual reports of expenditures are required from the 396A. Periodic monitoring are done when progress reports are reviewed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper accounting records, identifiable by grant or contract number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR 74 or 92. Records must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1553-0-1-609.

Obligations:

(Project Grants) FY 09 \$1,148,332; FY 10 est \$755,000; FY 11 est \$720,000

Range and Average of Financial Assistance:

\$100,000 to \$200,000; \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Four grants were awarded. Fiscal Year 2010: OCSE estimates that four grants will be awarded in FY 2010. Fiscal Year 2011: OCSE expects to award four new grants in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Grants Administration Policies," and application kits may be obtained from the ACF, Office of Child Support Enforcement, 4th Floor, 370 L'Enfant Promenade, SW., Washington, DC 20447.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administrators. (See Appendix IV of the Catalog for Regional Offices).

Headquarters Office:

Susan Greenblatt, 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: susan.greenblatt@acf.hhs.gov Phone: (202) 401-4849.

Website Address:

<http://www.acf.dhhs.gov/programs/cse>.

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.563 Child Support Enforcement; 93.564 Child Support Enforcement Research; 93.597 Grants to States for Access and Visitation Programs; 93.647 Social Services Research and Demonstration

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Objectives and need for assistance; (2) approach; (project management and

staffing plan); (3) evaluation and how success will be measured; and (4) reasonableness of project costs.

93.602 ASSETS FOR INDEPENDENCE DEMONSTRATION PROGRAM

AFI Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Assets for Independence Act.

OBJECTIVES:

The purpose of the Assets for Independence program is to demonstrate and evaluate the effectiveness of asset-building projects that assist low-income people in becoming economically self-sufficient by teaching them about economic and consumer issues and enabling them to establish matched savings accounts called individual development accounts (IDAs). The program is demonstrating and evaluating the effects of AFI projects and IDAs in terms of increasing the economic self-sufficiency of low-income families; promoting savings for home ownership, post-secondary education, and small business or micro-enterprise development; and stabilizing families and communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants for five-year project and budget periods. Grantees must allocate at least 85% of the grant funds for matching participants' IDA savings and 2% of the grant funds for data collection and evaluation. Grantees may use the remaining 13% to support other project activities. Grantees are required to provide or secure non-federal cash contributions equal to or greater than the grant amount.

Applicant Eligibility:

This program supports innovative projects administered by national, State-wide, regional and community-based organizations. Eligible applicants are: (1) Private nonprofit organizations that are tax exempt under Section 501(c)(3) of the Internal Revenue Code; (2) State or local governments or agencies or Tribal governments submitting applications jointly with tax exempt organizations; (3) credit unions designated as low-income credit unions by the National Credit Union Administration; or an organization designated as a Community Development Financial Institution by the Secretary of the Treasury.

Beneficiary Eligibility:

Eligible project participants are individuals that are members of households eligible for assistance under Temporary Assistance for Needy Families (TANF) or of households whose annual adjusted gross income does not exceed 200 percent of the poverty income guidelines. The net worth of the household at the end of the calendar year preceding the determination of eligibility must not exceed \$10,000 excluding the primary dwelling unit and one motor vehicle owned by a member of the household. Grantees may restrict eligibility to people with lower incomes and net worth.

Credentials/Documentation:

Low Income Credit Unions must submit official documentation that the National Credit Union Administration has designated the organization as such. Community Development Financial Institutions must submit documentation that the Department of the Treasury has designated the organization as such. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Competitive applications must be submitted on Standard Forms 424, 424A, and 424B, and include a project narrative as

described in the program announcement issued by the Office of Community Services and published on the www.grants.gov website. Required forms are attached to the published announcement. No State plan is required.

Award Procedure:

The Director, Office of Community Services makes funding decisions based on recommendations of a panel of experts who review funding proposals. The panel review is based on criteria set forth in the program announcement.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Applications will normally be approved/disapproved and grant awards made within 90 days of application receipt.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 100%. The matching requirement is for a non-federal cash contribution.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

These grants have 5-year budget and project periods. Grantees may access grant funds throughout the period to the extent that they provide proof that they have the required non federal cash support on hand. See the following for information on how assistance is awarded/released: Grantees may access federal grant funds to the extent that they provide proof of the required non-federal cash contribution.

Reports:

Program reports are not applicable. Standard Financial Report. Narrative Program Progress Report. Expenditure reports are not applicable. Performance and Outcome Indicator Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Program records, financial records, and all other records pertinent to the award shall be retained for a period of three years from the date of submission of the final expenditure report.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$22,515,000; FY 10 est \$24,025,000; FY 11 est \$24,025,000

Range and Average of Financial Assistance:

The Office of Community Services (OCS) awards grants for this program ranging up to \$1,000,000. The average grant is approximately \$350,000. Eligible entities may apply for new grants up to the statutory limit of \$1,000,000 each year.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 50 grants were awarded. Fiscal Year 2010: In FY 10, 50 grants will be awarded. Fiscal Year 2011: It is anticipated that 50 grants will be awarded in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

The following regulations: Title 45 of the Code of Federal Regulations, Part 16

- DHHS Grant Appeals Process, Part 74 - Administration of Grants (grants with sub grants to entities), Part 75 - Informal Grant Appeal Procedures, Part 76 - Debarment and Suspension from Eligibility For Financial Assistance, Sub part F - Drug Free Workplace Requirements, Part 80 - Non-discrimination Under Programs Receiving Federal Assistance through Department of Health and Human Services Effectuation of Title VI of the Civil Rights Act of 1964, Part 81 - Practice and Procedures for Hearings Under Part 80 of this Title, Part 83 - Regulation for the Administration and Enforcement of Sections 799A and 845 of the Public Health Service Act, Part 84 - Non-discrimination on the Basis of Handicap in Programs and Activities Receiving Federal Financial Assistance, Part 85 - Enforcement of Non-discrimination on the Basis of Handicap in Programs or Activities Conducted by Department of Health and Human Services, Part 86 - Non-discrimination on the Basis of Sex in Education Programs and Activities Receiving or Benefiting from Federal Financial Assistance, Part 91 - Non-discrimination on the Basis of Age in Health and Human Services Programs or Activities Receiving Federal Financial Assistance, Part 92 - Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments (Federal Register, March 11, 1988), Part 93 - New Restrictions on Lobbying, Part 1000 - Individual Development Accounts.

Regional or Local Office:

None.

Headquarters Office:

James Gatz, 370 L'Enfant Promenade, SW, Suite 500 West, Washington, District of Columbia 20447 Email: afiprogram@acf.hhs.gov Phone: 1-866-778-6037 Fax: (202) 401-5648.

Website Address:

<http://www.acf.hhs.gov/programs/ocs/afi>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: List of funded projects is available on request to Information Contact and on the website listed above. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Organization experience and administrative capability; (2) Sufficiency of the project, design and plan: The degree to which the project described in the application appears likely to aid project participants in achieving economic self-sufficiency through activities requiring one or more of the qualified expenses (home purchase, post secondary education or training, or business capitalization); (3) Adequacy of plan for providing information for evaluation; (4) Commitment of non-federal funds and additional resources; (5) Results or benefits expected; and (6) Significant and beneficial impacts.

93.603 ADOPTION INCENTIVE PAYMENTS

Adoption Incentive Payments

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 473A; as amended.

OBJECTIVES:

To provide incentives to States to increase annually the number of foster child adoptions, special needs adoptions, and older child adoptions.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

A State shall not expend an amount paid to the State under this grant except to provide to children of families any activity or service (including post-adoption services) that may be provided under Part B or E of Title IV of the Social Security Act. Amounts expended by a State in accordance with the preceding sentence shall be disregarded in determining State expenditures for purposes of Federal matching payments under Sections 423, 434, and 474 of the Social

Security Act.

Applicant Eligibility:

Applications are not required. The States, including Puerto Rico and the District of Columbia, may receive payments. The Children's Bureau shall determine the numbers of foster child adoptions, the special needs adoptions, and the older child adoption in a State on the basis of AFCARS data as reported by each State and accepted by the Children's Bureau no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions were finalized (i.e., the "earning year").

Beneficiary Eligibility:

Beneficiaries are those children and families eligible under Title IV-B and Title IV-E of the Social Security Act, as amended.

Credentials/Documentation:

The Children's bureau shall determine the numbers of foster child adoptions, the special needs adoptions, and the older child adoptions, as well as the highest ever foster child adoption rate in a State on the basis of data reported by each State to the Adoption and Foster Care Analysis and Reporting System (AFCARS) data and accepted by the Children's Bureau no later than May 15 of the fiscal year subsequent to the fiscal year in which the adoptions were finalized (i.e., the "earning year"). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. States are automatic applicants.

Award Procedure:

Incentive payments will be issued annually, in the fiscal year subsequent to the earning year. Payment will be based on AFCARS data submitted no later than May 15 of the fiscal year following the earning year. AFCARS data must be accepted and approved by the Children's Bureau.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

AFCARS reports must be received no later than May 15 of subsequent fiscal years in order to be considered for incentive payments.

Appeals:

Not Applicable.

Renewals:

Each "earning year" (fiscal years 2008 - 2012), State adoption data received through AFCARS will be considered on its own merit.

Formula and Matching Requirements:

Statutory Formula: The adoption incentive payment payable to a State for a fiscal year shall be equal to the sum of (a) \$4,000, multiplied by the number of foster child adoptions in the State during the fiscal year which exceeds the base number of foster child adoptions for the State for the fiscal year; (b) \$8,000 multiplied by the number of foster child adoptions of children age 9 or older in the State during the fiscal year in which exceeds the base number of foster child adoption of children age 9 or older for the State for the fiscal year; and (c) \$4,000, multiplied by the number of special needs adoptions of children under age nine in the State during the fiscal year which exceeds the base number of special needs adoptions of children under age 9 for the State for the fiscal year, if the state receives a bonus under (a) or (b). Awards are pro-rated if insufficient funds are available to make the full awards. In addition, if funds remain available after making the above awards, a State is eligible to earn an additional incentive award by exceeding the highest ever foster child adoption rate in the State between FY 2002 and the immediately preceding fiscal year. The incentive is calculated by multiplying the State's highest ever foster child adoption rate times the number of children in foster care on the last day of the preceding fiscal year and subtracting that number from the number of foster

child adoptions in the State in that fiscal year. That number is rounded to the nearest whole number and then multiplied by \$1,000. If a State does not qualify for an incentive payment under (a) or (b), the State may still receive an award for (c) if the State exceeds the highest ever adoption rate. There are no matching requirements for these funds.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments to a State in a fiscal year shall remain available for use by the State through the end of the succeeding fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

States must identify in the Child and Family Services Plan (CFSP) or Annual Progress and Services Report (APSR) as applicable (due June 30 of each year), the services they have provided to children and families as a result of the expenditure of adoption incentive payments. Should more than one fiscal year's incentive funds be expended during a given reporting period, the report should reflect the services provided and identify the fiscal year's funds expended. PSC-272. No progress reports are required. Actual expenditures of incentive funds must be reported annually on Form SF- 269, Financial Status Report. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with 45 CFR 92.

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$36,500,000; FY 10 est \$39,500,000; FY 11 est \$42,000,000

Range and Average of Financial Assistance:

FY 2009: For FY 2008 earning year, 43 States earned incentive payments totaling \$36.5 million. The awards ranged from \$2,400 to \$9,754,990 with an average award amount of \$668,021 for qualifying States.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 43 States earned incentive payments totaling \$36.5 million. Fiscal Year 2010: Number of States to receive incentive awards is yet to be determined. Fiscal Year 2011: Number of States to receive incentive awards is yet to be determined.

REGULATIONS, GUIDELINES, AND LITERATURE:

ACYF-CB-IM-03, issued September 1, 2009.

Regional or Local Office:

See Regional Agency Offices. ACF Children's Bureau Regional Child Welfare Program Managers.

Headquarters Office:

Gail Collins Division of Program Implementation, Children's Bureau, Administration on Children, Youth and Families, 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Phone: 202-205-8552

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E; 93.659 Adoption Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All State Agencies are considered if the number of adoptions exceed the baseline number of adoptions.

93.604 ASSISTANCE FOR TORTURE VICTIMS

Services for Survivors of Torture

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Torture Victims Relief Act of 1998, Public Law 105-320.

OBJECTIVES:

The Office of Refugee Resettlement (ORR) provides a comprehensive program of support for domestic centers and programs for survivors of torture. The Services for Survivors of Torture Program is committed to enabling persons who have suffered torture in their home countries to regain their health and independence and rebuild productive lives in the U.S.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable activities include treatment of the physical and psychological effects of torture, social and legal services, and provision of research and training to health care providers to enable them to treat the physical and psychological effects of torture.

Applicant Eligibility:

Eligible applicants are public or private non-profit agencies.

Beneficiary Eligibility:

Individuals and families, regardless of immigration status, who have suffered torture.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Eligible applicants submit an application to the Administration for Children and Families in accordance with the requirements described in the notice of funding availability.

Award Procedure:

Applications are reviewed by a panel of independent experts in accordance with the evaluation criteria included in the notice of funding availability. Funding decisions are made by the Director of ORR based on the review.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded for a 3-year project period, with 1-year budget periods. See the following for information on how assistance is awarded/released: Funds are made available for reimbursement of allowable expenditures.

Reports:

Program Performance Reports are required on a semi-annual basis. Final Program Performance Reports summarizing the activities and accomplishments of the project over the entire project period are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. SF-269 Financial Status Reports are required semi-annually. A final SF-269 Financial Status Report is required 90 days after the end of the project period. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Grant recipients are required to keep all financial and program reports necessary for program review and audit for the project period and for 3 years after the end of the project period to insure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-609.

Obligations:

(Project Grants) FY 09 \$10,603,000; FY 10 est \$10,862,000; FY 11 est \$10,861,000

Range and Average of Financial Assistance:

Grant awards range from \$265,000 to \$535,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Over 5,000 survivors of torture are expected to be provided with services under this program in FY 2010. Fiscal Year 2011: Over 5,000 survivors of torture are expected to be provided with services under this program in FY 2010.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Holly Herrera, 370 L'Enfant Promenade SW, 8th Floor West, Washington, District of Columbia 20447 Email: holly.herrera@acf.hhs.gov Phone: (202) 401-5541.

Website Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.576 Refugee and Entrant Assistance_Discretionary Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Notice of the program criteria for awarding funds can be found at <http://www.acf.hhs.gov/programs/orr>.

93.605 FAMILY CONNECTION GRANTS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part B, subpart 1, Section 427.

OBJECTIVES:

To provide matching grants to State, local or tribal child welfare agencies and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements for the purpose of helping

children who are in, or at risk of entering, foster care to reconnect with family members.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are provided for services or activities that are consistent with the purpose of section 102, Public Law 110-351, and may include the following: (1) a kinship navigator program to assist kinship caregivers in learning about, finding and using programs and services to meet the needs of the children they are raising and their own needs, and to promote effective partnerships among public and private agencies to ensure kinship caregiver families are served; (2) intensive family-finding efforts that utilize search technology to find biological family members for children in the child welfare system; (3) family group decision-making meetings for children in the child welfare system that enable families to make decisions and develop plans that nurture children and protect them from abuse and neglect; and (4) residential family treatment program that enable parent and their children to live in a safe environment for a period of not less than 6 months. 100% of funds are used for discretionary activities.

Applicant Eligibility:

State, local or tribal child welfare agencies and private nonprofit organizations that have experience in working with foster children or children in kinship care arrangements.

Beneficiary Eligibility:

Public or private nonprofit agencies or organizations or tribal child welfare agencies working with foster children or children in kinship care arrangements or children at risk of entering foster care that help the children reconnect with family members.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms as furnished by HHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcement of availability of funds is posted to www.Grants.gov. Eligible applicants submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of non-federal professionals with expertise in the field of child welfare, particularly foster and kinship care.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 6 months. The deadline for the receipt of applications is stated in the Program Announcement.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Projects are awarded with a provision for non-competitive renewal during the approved award period (one to three years).

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: The required match must increase over the course of the grant period, such that grantees must contribute 25 percent of the program costs for the first and second year of the grant and 50 percent of the program costs for the third year of the three year grant. (Section 427(d) of the Social Security Act).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are available for 36-month project period with three 12-month budget periods. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Grants must select and track performance indicators that measure the extent to which grant-funded activities and services enhance the safety, permanency and well-being of children and their families. PSC-272. Program progress reports are required semiannually with a final report at completion of the project. Financial reports are required semiannually with a final report at completion of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1512-0-1-609.

Obligations:

(Project Grants (Discretionary)) FY 09 \$14,923,381; FY 10 est \$15,000,000; FY 11 est \$15,000,000 - Of the \$15,000,000 appropriated each year, the authorizing legislation requires 3% of the funds to be set aside for evaluation and allows an additional 2% of funds to be set aside for technical assistance, each fiscal year.

Range and Average of Financial Assistance:

Awards will range from a floor of \$450,000 to a ceiling of \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 24 grants were awarded. Fiscal Year 2010: In fiscal year 2010, the 24 grants awarded in FY 2009 will be continued, and no new grants will be awarded. Fiscal Year 2011: In fiscal year 2011, it is anticipated that 24 grants will be continued and 6 new grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific program requirements are contained in the Funding Opportunity Announcement, the application instructions, and the HHS Grants Policy Statement. The HHS Grants Policy Statement can be found at: <http://www.hhs.gov/grantsnet/>.

Regional or Local Office:

None.

Headquarters Office:

Cathy Overbaugh Division of Research and Innovation, Children's Bureau, 1250 Maryland Avenue, S.W., 8th Floor, Washington, District of Columbia 20024
Email: cathy.overbaugh@acf.hhs.gov Phone: (202)205-7273

Website Address:

<http://www.acf.hhs.gov/programs/cb>

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.652 Adoption Opportunities; 93.669 Child Abuse and Neglect State Grants; 93.670 Child Abuse and Neglect Discretionary Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the program announcement including, but not limited to: (1) organizational capacity to collaborate with other service providers; (2) relevance of proposed services and activities in enhancing the well-being of children in foster care, in kinship care arrangements, or at risk of foster care and in helping the children reconnect with family members; and (3) demonstrated ability to conduct a kinship navigator program; provide intensive family-finding efforts that utilize search technology; conduct family group-decision making efforts and provide residential family treatment programs.

93.612 NATIVE AMERICAN PROGRAMS

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under Section 803(a) of the Native American Programs Act of 1974 (NAPA), 42 U.S.C. 2991b and 2991b-3., Public Law 93-644; This program is authorized under Section 803(a) of the Native American Programs Act of 1974 (NAPA), 42 U.S.C. 2991b and 2991b-3., Title VIII, Public Law 102-375, 42 U.S.C 2991; Indian Reorganization Act Amendments, Section 215, Public Law 100-581; Older Americans Act Amendments of 1987, Title V, Public Law 100-175.

OBJECTIVES:

To promote economic and social self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and other Native American Pacific Islanders from American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands. The SEDS program provides funding for projects to support the interests of children and families and to help strengthen communities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funding may be used for such purposes as: (1) Governance projects to increase tribal and village governments ability to exercise local control and decision-making over their resources; (2) Economic Development projects, which promote the long term mobilization and management of economic resources necessary to achieve a diversified economy; (3) Strengthening Families projects which incorporate culturally relevant strategies to strengthen families, foster child well-being, and promote responsible fatherhood to nourish and sustain healthy families and (4) Social Development projects which invest in human and social capital to advance the needs of Native Americans while incorporating culturally appropriate activities to enhance tribal, Native community, and Alaska Native village goals.

Applicant Eligibility:

Public and private nonprofit agencies, including but not limited to, Federally-recognized Indian Tribes (as listed by the Bureau of Indian Affairs in an October 21, 1993 Federal Register notice); Incorporated Non-Federally recognized Indian Tribes; Alaska Native Villages as defined in the Alaska Native Claims Settlement Act (ANSCA) and/or nonprofit village consortia; Nonprofit Alaska Native Regional Associations with village specific projects; Nonprofit Native organizations in Alaska with village specific projects; Incorporated nonprofit multi-purpose community-based Indian organizations; Urban Indian Centers; Public and nonprofit private agencies serving Native Hawaiians; National or regional incorporated nonprofit Native American organizations with Native American community-specific objectives; Public and nonprofit private agencies serving native peoples from Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands; and Tribally Controlled Community Colleges, Tribally Controlled Post Secondary Vocational Institutions, and colleges and universities located in Hawaii, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands which serves Native American Pacific Islanders.

Beneficiary Eligibility:

American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of the Code of Federal Regulations, which implement the requirements of applicable OMB Circular Nos. A-21, and A-122. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Information regarding the availability of grant funds will be posted on Grants.gov and the ACF Funding Opportunities websites as funding opportunity announcements. Funding opportunity announcements will provide details on program objectives for which applications are being solicited and other application requirements. Hard copy applications should be submitted to U. S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Mail Stop Aerospace Center 6th Floor-East, 370 L'Enfant Promenade, SW., Washington, DC 20447. Electronic submissions are submitted through Grants.gov.

Award Procedure:

All funds are awarded directly to the grantees.

Deadlines:

Mar 23, 2011: by 4:30 pm EST. Each program announcement specifies the due date for submission of applications.

Range of Approval/Disapproval Time:

From 120 to 180 days. Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. This program has no statutory formula for distribution of funds. A matching share of 20 percent of the total approved project cost is required unless waived in accordance with criteria which are also published in 45 CFR 1336.50. This program has maintenance of effort requirements; see funding agency for further details. Waiver requirements are contained in 45 CFR, Part 1336.50. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grantees may apply for competitive continuation support within a project period of 1 to 3 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Cash reports are not applicable. No program reports are required. Cash reports are not applicable. Objective Progress Reports (OPR) are required quarterly using the OPR form which follows closely the Project Objective Work Plan (OWP). Financial Status reports are required quarterly. By statute, one third of the grant portfolio must receive on-site impact evaluation. As indicated above - Financial Status reports are required quarterly. By statute one third of the grant portfolio must receive on-site impact evaluation.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Financial records, supporting documents and all other related records pertinent to ANA grants must be maintained for a period of 3 years. If an audit is not completed by the end of the 3-year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$28,508,306; FY 10 est \$27,200,000; FY 11 est \$27,200,000

Range and Average of Financial Assistance:

\$25,000 to \$500,000
Average = \$250,000 per budget period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 68 new SEDS grants were awarded. Fiscal Year 2010: In FY 2010, it is estimated that 120 new and continuation SEDS grants will receive funding. Fiscal Year 2011: In FY 2011, it is estimated that 120 new and continuation SEDS grants will receive funding.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in 45 CFR 1336.

Regional or Local Office:

None.

Headquarters Office:

Patricia E. McDonald
Administration for Native Americans
Administration for Children and Families
Department of Health and Human Services
370 L'Enfant Promenade
Aerospace Building, 2nd Floor West, Washington, District of Columbia 20447
Phone: (877) 922-9262

Website Address:

<http://www.acf.hhs.gov/programs/ana>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Social Development: Youth Development; Cultural Preservation Activities; Education Development; Foster Parent Training; Supporting Elders; Supporting People with Disabilities, Tourism, Economic Stability Fatherhood.

Economic Development: Business Development; Community Strategic Planning; Organizational Capacity Building; Subsistence Project; Emergency Response Activities; Transportation for Elders and Disabled; Transportation for Workforce Development; International Tourism and Trade; Job Training

Governance: Tribal Government Program Enhancement; Management and Leadership Development; Information Management Systems; Codes and Ordinances; Constitutional Reform; Operational Planning; Tribal Court Systems. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific criteria for selecting proposals for funding are stated in each program announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the program announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

93.613 PRESIDENT'S COMMITTEE FOR PEOPLE WITH INTELLECTUAL DISABILITIES (PCPID)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Executive Order 12994 as amended on July 25, 2003.

OBJECTIVES:

To advise the President on issues pertaining to people with intellectual disabilities (mental retardation).

TYPES OF ASSISTANCE:

Information

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

General public.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. None.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

NOT APPLICABLE.

Appeals:

NOT APPLICABLE.

Renewals:

NOT APPLICABLE.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

NOT APPLICABLE. See the following for information on how assistance is awarded/released: NOT APPLICABLE.

Reports:

Not Applicable.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-1536-0-1-506.

Obligations:

(Salaries) FY 09 \$322,391; FY 10 est \$263,359; FY 11 est \$391,513 - Obligations includes Federal Staff on board (3) GS 14/ GS 11/ GS 9.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

"Federal Advisory Committee Act of 11972 as amended", Executive Order 12994 as amended on July 25, 2003", the HHS "Charter as amended". "The New Freedom Initiative".

Regional or Local Office:

None.

Headquarters Office:

Laverdia T. Roach 901 D STREET, S.W. - SUITE 210, WASHINGTON, District of Columbia 20447 Email: laverdia.roach@acf.hhs.gov Phone: 2026190634 Fax: 2022059519

Website Address:

<http://www.acf.hhs.gov/programs/pcpid>

RELATED PROGRAMS:

27.005 Federal Employment for Individuals With Disabilities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.616 MENTORING CHILDREN OF PRISONERS

(MCP) Mentoring Children of Prisoners

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Section 439 of the Social Security Act as amended by the Child and Family Services Improvement Act of 2006 (<http://www.acf.hhs.gov/programs/fysb/content/aboutfysb/documents>), Public Law 109-288.

OBJECTIVES:

To provide competitive grants to applicants in areas with significant numbers of children of prisoners to support the establishment and operation of programs using a network of public and private entities and to provide mentoring services for these children.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provide mentoring and support services to urban and/or suburban populations with substantial numbers of children with incarcerated parents.

Applicant Eligibility:

Those eligible to apply for funding under this grant competition include applicants in areas where there are substantial numbers of children of prisoners. Applicants must establish or expand and operate programs using a network of public and private entities to provide this mentoring. This includes any State or local government unit, Tribe and Tribal organization, private nonprofit, community and faith based groups.

Beneficiary Eligibility:

Children of prisoners ages 4 through 18 will benefit.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Public Law 107-133. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Consultation or assistance is available from the Family and Youth Services Bureau (FYSB), Administration for Children and Families, Department of Health and Human Services. The standard application forms furnished by DHHS and required by OMB Circular No. A-102 must be used for this program. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applicants are reviewed by a panel of nonfederal experts which assigns scores according to published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes the recommendations to the ACYF Commissioner, who makes the final funding decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

The initial grant award is for a 12-month budget period. A non-competitive application is required for a 12-month continuation grant to be awarded in each of two subsequent years subject to the availability of funds, satisfactory progress on the part of the grantee, and a determination that continued funding would be in the best interest of the Federal Government.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Grantee must provide a nonfederal share or match of at least 25 percent of the total project budget in the first and second years of the project. In the third year, the grantee must provide a nonfederal share or match of at least 50 percent of the total project budget. The nonfederal share may be met by cash or in-kind contributions although applicants are encouraged to meet their Federal match requirements through cash contributions. There is no match requirement for the Mentoring Children of Prisoners Voucher Program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will be awarded for a period of 36 months with 12 month budget periods. Method of awarding/releasing assistance: quarterly.

Reports:

Applicants must submit quarterly performance reports, semi-annual financial reports, and agree to submit other required program and financial reports, as instructed by FYSB. Financial SF-269 reports are due semi-annually. Performance reports are due quarterly. Financial SF-269 reports are due semi-annually. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$49,300,000; FY 10 est \$49,314,000; FY 11 est \$49,314,000 - (Contracts) FY 2009 \$3,000,000, and FY 2010 est. \$3,000,000 and FY 2011 est. \$2,000,000; Voucher Program FY 2009 \$15,000,000 (13,000 vouchers). FY 2010 vouchers concluded with FY 2009 funding. \$15,000,000 was reprogrammed into discretionary grants funding.

Range and Average of Financial Assistance:

Grants will range from \$200,000 to \$1,000,000 depending on the scope of the project.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 195 grants were awarded in FY 2009 and \$3,000,000 in contracts. Fiscal Year 2010: In FY 2010, 212 grants are projected to be awarded and \$3,000,000 in contracts. Fiscal Year 2011: Projection for FY 2011 is 214 grants to be awarded and \$2,000,000 in contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link.

Regional or Local Office:

See Regional Agency Offices. Acting Associate Commissioner, Family and Youth Services Bureau, Administration for Children and Families, Department of Health and Human Services, 1250 Maryland Avenue, S.W., Washington, DC 20447. Contact: Debbie Powell. Telephone: (202) 205-8102. FTS is not available.

Headquarters Office:

Curtis Porter 1250 Maryland Ave, SW , Washington, District of Columbia 20447 Email: curtis.porter@acf.hhs.gov Phone: 202.205.8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 195 grants were awarded in FY 2009. Fiscal Year 2010: 212 grants are projected to be awarded in FY 2010. Fiscal Year 2011: 214 grants are projected for FY 2011.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: http://www.acf.hhs.gov/grants_fysb.html.

93.617 VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES GRANTS TO STATES

(Election Assistance for Individuals with Disabilities (EAID))

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Help America Vote Act, Title II, Part 2, Section 261, Public Law 107-252.

OBJECTIVES:

Section 261 of HAVA provides that funds be made available to States to: (1) make polling places, including the path of travel, entrances, exits, and voting areas of each polling facility, accessible to individuals with the full-range of disabilities (e.g., visual impairments, including blindness; hearing impairments, including deafness; the full-range of mobility impairments, including gross and fine motor impairments, emotional impairments, and intellectual impairments); (2) provide the same opportunity for access and participation (including privacy and independence) to individuals with the full-range of disabilities; (3) provide training for election officials, poll workers, and election volunteers on how best to promote the access and participation of individuals with the full-range of disabilities in election for Federal office; and (4) provide individuals with the full-range of disabilities with information about the accessibility of polling places.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To assist States to provide greater accessibility to individuals with full-range of disabilities as it relates for the four (4) areas of funding. Grant funds are only available for five years after the appropriation year, as required by 31 U.S.C. Section 1552.

Applicant Eligibility:

States (including the District of Columbia, the Commonwealth of Puerto Rico,

Guam, American Samoa, and the Virgin Islands).

Beneficiary Eligibility:

Individuals with full-range of disabilities.

Credentials/Documentation:

Applicant eligibility: Secretary of State/Chief Election Official of States including the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, and the U.S. Virgin Islands. Beneficiary Eligibility: States and Units of Local Government. Cost will be determined in accordance with 45 CFR Parts 74 and 92. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The reporting requirements information collection for the application and annual report are approved under OMB control number 0970-0327, expiration date 11/30/2010.

Award Procedure:

Funds will be awarded annually.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. The statistical factor used to fund distribution is the population age 18 and over in each State. No State will receive less than \$100,000. There is no matching requirement. Method of awarding/releasing assistance: quarterly.

Reports:

Annual narrative report that describes how any funds authorized were used. Report due no later than December 31st of each year. No cash reports are required. Annual progress report. Expenditures are to be reported using a Financial Status Report Short Form (SF-269A). Submission of annual financial reports are due 90 days after the end of each 12-month period (October 1-September 30). Annual progress and financial status report are used as the primary monitoring tools.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements of 45 CFR Parts 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$12,154,000; FY 10 est \$12,154,000; FY 11 est \$12,154,000

Range and Average of Financial Assistance:

Not less than \$100,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 55 grants was awarded in FY 2009. Fiscal Year 2010: It is anticipated that 55 grants will be awarded in FY 2010. Fiscal Year 2011: It is anticipated that 55 grants will be awarded in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Grant Administrative Requirements.

Regional or Local Office:

None. Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 690-5557.

Headquarters Office:

Melvenia Wright Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, District of Columbia 20201 Email: mwright@acf.hhs.gov Phone: (202) 690-5557.

Website Address:

<http://www.acf.hhs.gov/programs.add>

RELATED PROGRAMS:

93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.618 VOTING ACCESS FOR INDIVIDUALS WITH DISABILITIES-GRANTS FOR PROTECTION AND ADVOCACY SYSTEMS

Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Help America Vote Act, Title II, Part 5, Section 291, Public Law 107-252.

OBJECTIVES:

Section 291 of HAVA provides that funds be made available to Protection and Advocacy Systems to: (1) ensure full participation in the electoral process for individuals with disabilities; (2) provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process; (3) participate in advocacy and education efforts revolving around HAVA implementation efforts in their State or Territory; (4) training and education of election officials, poll workers, and election volunteers regarding the rights of the voters with disabilities and best practices in working with individuals with disabilities; (5) assist individuals with disabilities in filing complaints under the State-based administrative grievance procedure required by HAVA and represent individuals with disabilities in any hearing that may be held regarding the complaint; (6) provide assistance to States and other governmental entities regarding the physical accessibility of polling places; and (7) obtain training and technical assistance on voting issues, including education regarding accessible voting equipment and systems. Once educated, the information may be used to inform others of the availability of accessible voting equipment and its use.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

To assist Protection and Advocacy Systems to ensure full participation in the electoral process of individuals with a full-range of disabilities. Grant funds are only available for five years after the appropriation year, as required by 31 U.S.C. Section 1552.

Applicant Eligibility:

States and Territories who have a Protection and Advocacy System in place in accordance with the Developmental Disabilities Assistance and Bill of Rights Act of 2000.

Beneficiary Eligibility:

Individuals with full-range of disabilities.

Credentials/Documentation:

Cost will be determined in accordance with 45 CFR Parts 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The Protection and Advocacy Systems (P&As) for each territory and state must submit an application by the requested deadline as indicated in the Program Instruction. The application may be in the format of its choice addressing the seven areas in emphasis which the Help America Vote Act (HAVA) funds should be used. Funds requested by the P&As are used to provide education, training and assistance to individuals with disabilities that will promote their participation in the electoral process in seven different areas. The application is faxed, emailed and mailed to the Administration on Developmental Disabilities (ADD) for furthering review and processing. The application is reviewed thoroughly in accordance with the eligibility criteria as outlined in the program instruction and processed approved by the program office.

Award Procedure:

Funds will be awarded annually.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

None. Awards are generally made for a 12-month project period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. The Protection and Advocacy System in each State including the District of Columbia or the Commonwealth of Puerto Rico shall receive less than \$70,000 and no Territory will receive less than \$35,000. Method of awarding/releasing assistance: quarterly.

Reports:

Annual narrative report that describes how any funds authorized are due no later than December 31st of each year. No cash reports are required. Annual

program and annual financial status reports required. Expenditures are to be reported using a Financial Status Report Short Form (SF-269A) submission of annual financial reports are due 90 days after the end of each 12-month period (October 1 - September 30). Monitoring is performed through the review of annual program and financial status reports required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements of 45 CFR Parts 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$4,888,100; FY 10 est \$4,888,100; FY 11 est \$4,888,100 - T&TA P&A set-aside FY 2008 \$368,000; FY 2009 \$368,000; and FY 2010 estimate \$368,000.

Range and Average of Financial Assistance:

The awards range from \$35,000 to \$323,000. The average award amount is approximately \$144,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2009 55 grants to P&As and 2 grants for T&TA set-aside for a total of 57 grant awards. Fiscal Year 2010: FY 2010 55 grants to P&As and 2 grants for T&TA set-aside for a total of 57 grant awards. Fiscal Year 2011: FY 2011 55 grants to P&As and 2 grants for T&TA set-aside for a total of 57 grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Parts 74 and 92, Grant Administrative Requirements.

Regional or Local Office:

None. Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, DC 20201. Contact: Melvenia Wright. Telephone: (202) 690-5557.

Headquarters Office:

Melvenia Wright, Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 200 Independence Avenue, S.W., Washington, District of Columbia 20201 Email: mwright@acf.hhs.gov Phone: (202) 690-5557.

Website Address:

<http://www.acf.hhs.gov/programs.add>

RELATED PROGRAMS:

93.617 Voting Access for Individuals with Disabilities_Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.623 BASIC CENTER GRANT

(BCP) Basic Center Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Reconnecting Homeless Youth Act, Public Law 110-378 A.

OBJECTIVES:

The purpose of Part A of the RHY Act (Runaway and Homeless Youth Grant Program) is to establish or strengthen locally controlled community-based programs that address the immediate needs of runaway and homeless youth and their families. Services must be delivered outside of the law enforcement, child welfare, mental health and juvenile justice systems. The goals and objectives of the Basic Center Program are to: 1) Alleviate problems of runaway and homeless youth; 2) reunite youth with their families and encourage the resolution of intra-family problems through counseling and other services; 3) strengthen family relationships and encourage stable living conditions for youth; and 4) help youth decide upon constructive courses of action.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Each Basic Center program is required to provide outreach to runaway and homeless youth; temporary shelter for up to twenty one (21) days; food; clothing; individual, group and family counseling; aftercare and referrals, as appropriate. Basic Center programs are required to provide their services in residential settings for at no more than twenty (20) youth unless a state or local law or regulation requires a higher maximum to comply with licensure requirements for facilities serving child and youth. Some programs also provide part or all of their shelter services through host homes (usually private homes under contract to the centers), with counseling and referrals being provided from a central location. Basic Center programs offer shelter to youth who are less than 18 years of age and who are at risk of separation from their family.

Applicant Eligibility:

States, localities, private entities, and coordinated networks of such entities are eligible to apply for a Basic Center Program grant unless they are part of the law enforcement structure or the juvenile justice system. Federally recognized Indian organizations are also eligible to apply for grants as private, non-profit agencies.

Beneficiary Eligibility:

Runaway and homeless youth and their families are the beneficiaries. Services can be provided to youth up to the age of 18.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal Assistance, Standard Form 424 must be submitted. Specific instructions are published via the Internet under the Form section at the following website address: <http://www.acf.hhs.gov/grants>.

Award Procedure:

All applications are reviewed by a panel of nonfederal experts which assigns scores according to the published criteria. The panel's scores are factored into the recommendations for funding. Scores and recommendations are reviewed by the Associate Commissioner of the Family and Youth Services Bureau, who makes recommendations to the ACYF Commissioner, who makes the final funding decisions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Awards are generally made for 3-year project periods; funding for the second and third year is dependent upon satisfactory performance and availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 10%. Federal share of grant is up to 90 percent. The nonfederal share may be in cash or in-kind contributions. The statute contains a formula for allocation of funds by State. It is based on the population of each State under age 18 as a proportion of the current national population under age 18.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded competitively for project periods of up to 3 years. Method of awarding/releasing assistance: quarterly.

Reports:

Program performance reports are due semi-annually. A final report is due 90 days after conclusion of project period. SF-269 Financial reports are due semi-annually. A final report is due 90 days after conclusion of project period. Program performance reports are due semi-annually. A final report is due 90 days after conclusion of project period. SF-269 reports are submitted semi-annually. These are performed semi-annually as Program Performance Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained 3 years after termination of the project or until audit is completed, whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$53,468,000; FY 10 est \$53,744,000; FY 11 est \$53,744,000

Range and Average of Financial Assistance:

\$100,000 to \$200,000 per budget period.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 373 grants were funded in FY 09 and \$2,500,000 in contracts. Fiscal Year 2010: In FY 10, 375 grants are projected to be awarded and \$3,100,000 in contracts. Fiscal Year 2011: It is projected that 375 grants will be funded in FY 11 and \$3,100,000 in contracts.

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact Headquarters Office listed below for available literature. You may also get information on the Family and Youth Services Bureau website at the following address <http://www.acf.hhs.gov/programs/fysb> under the "Policy and Legislative Documents" link. Information is also available through the National Clearinghouse on Families and Youth, P.O. Box 13505, Silver Spring, MD 20911-3505. Telephone: (301) 608-8098. Fax: (301) 608-8721. The National Clearinghouse on Families and Youth website address is www.ncfy.com.

Regional or Local Office:

See Regional Agency Offices. Contact: Administration for Children and Families, Regional Offices, Family and Youth Services Bureau (See Appendix IV of this Catalog for the addresses of the Regional Offices.).

Headquarters Office:

Curtis O. Porter 1250 Maryland Avenue, S.W, 8th Fl., Washington, District of Columbia 20024 Phone: 202.205.8306

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 373 grants were funded in FY 09. Fiscal Year 2010: 375 grants were awarded in FY 10. Fiscal Year 2011: 375 grants are expected to be funded in FY 11.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made on the basis of the degree to which: (1) The likelihood that the proposal will provide necessary services including shelter, counseling, and aftercare to runaway and other homeless youth and their families; (2) reasonableness of cost of these services; and (3) qualifications of staff. Applications will be evaluated based upon the criteria published in the Funding Opportunity Announcement located on the following website address: <http://www.acf.hhs.gov/grants>.

93.630 DEVELOPMENTAL DISABILITIES BASIC SUPPORT AND ADVOCACY GRANTS

(State Councils on Developmental Disabilities and Protection and Advocacy Systems)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 121, Public Law 106-402, 42 U.S.C 15021-15045.

OBJECTIVES:

To enable individuals with developmental disabilities to become independent, productive, integrated and included into their communities. Funding under these programs is to assist States in the development of a plan for a comprehensive and coordinated system of services and other activities to enhance the lives of individuals with developmental disabilities and their families to their maximum potential, and to support a system which protects the legal and human rights of individuals with developmental disabilities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

(1) Allotments under the basic developmental disabilities formula grant program may be used by States for priority area and other activities, including administrative costs, to build capacity, to refocus existing services, and to advocate to better meet the needs of individuals with developmental disabilities. The designated State agency in each State receives, accounts for and disburses funds, and provides for required assurances and other administrative support services on behalf of the State Developmental Disabilities Council, which carries out the priority area activity and other activities under an approved triennial State Plan. This plan and corresponding budget is developed and administered by the State Developmental Disabilities Council. Federal funds may be expended for up to half the cost of the functions of the designated State agency under this program, but may not exceed five percent of a State's allotment or \$50,000, whichever is less. (2) Allotments under the protection and advocacy program may be used to assist States in supporting a system which will have authority to pursue legal and other remedies to protect the rights of individuals with developmental disabilities within the State.

Applicant Eligibility:

State grant agencies are the designated State agencies of the respective States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Mariana Islands, American Samoa. Under the basic developmental disabilities program, the designated State agency must not provide or pay for services to individuals

with developmental disabilities, unless it has held such designation on the date of the enactment of the Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1994, and the Governor of the State (or the legislature, where appropriate and in accordance with State law) determines prior to June 30, 1994, not to change the designation of such agency. The State can only receive funding under the basic developmental disabilities program if it is also participating in the protection and advocacy program. The agency designated to implement the State system under the protection and advocacy program cannot provide or pay for services to individuals with developmental disabilities, and that agency must have authority to obtain access to records of individuals with developmental disabilities.

Beneficiary Eligibility:

To be eligible for a grant, an agency must be designated to administer the program on behalf of the State. The Basic Program benefits individuals with developmental To be eligible for a grant, an agency must be designated to administer the program on behalf of the State. The Basic Program benefits individuals with developmental disabilities through systems change. The Protection and Advocacy system benefits individuals with developmental disabilities. Developmental disability is defined here as a severe chronic disability of an individual that is attributable to mental, physical, or a combination of impairments, is manifested before age 22, that is likely to continue indefinitely, that results in substantial functional limitations in three or more of the following major life activities (self-care, receptive and expressive language, learning, mobility, self-direction, capacity for independent living, and economic self-sufficiency), and that reflects an individual's lifelong need for services. Infants and children from birth to age 9, inclusive, are included if they have a developmental delay or condition with a high probability of resulting in developmental disabilities if services are not provided.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Basic Support: Requests for allotments are submitted in the form of a State plan, which must be submitted not less than every 5 years, and must address all of the requirements of the Statute. Protection and Advocacy: Application is made by the State's submission of a description of the Protection and Advocacy system in the State and appropriate assurances as required by law, and by annual submission statement on the goals and priorities.

Award Procedure:

Formula awards are processed through a payment management system to the State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Automatic, under approved application.

Formula and Matching Requirements:

Statutory Formula: Title I Programs for Individuals with Developmental Disabilities, Public Law 106-402.
Matching Requirements: Percent: 25%. Match is only for the Councils. No match is required for Protection and Advocacy allotments. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds provided under allotments must be obligated by states by the end of the fiscal year following the fiscal year for which appropriations were received. Such obligation must be liquidated by the end of the following fiscal year. Payments are made through Electronic Transfer System or, when such is not practicable, on basis of payment requests from the State to meet current needs. Method of awarding/releasing assistance: lump sum.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3-year period if all findings have not been resolved.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$74,316,000; FY 10 est \$75,066,000; FY 11 est \$75,066,000

Range and Average of Financial Assistance:

Basic Support: \$450,000; Protection and Advocacy: \$200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY2009, 110 grants were awarded. Fiscal Year 2010: 110 grants projected to be awarded. Fiscal Year 2011: 110 grants projected to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92, Grant Administrative Requirements; and Program Regulations in Chapter XIII of Title 45 of the Code of Regulations, Parts 1385 and 1386.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, Department of Health and Human Services, Regional Offices. (See Appendix IV of the Catalog for list of addresses.).

Headquarters Office:

Jennifer Johnson, Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade SW, Washington, District of Columbia 20447 Email: jjohnson@acf.hhs.gov Phone: (202) 690-5982.

Website Address:

<http://www.acf.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.631 Developmental Disabilities Projects of National Significance; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.631 DEVELOPMENTAL DISABILITIES PROJECTS OF NATIONAL SIGNIFICANCE

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 161, Public Law 106-402, 42 U.S.C 15081-15083.

OBJECTIVES:

To provide for grants, contracts and cooperative agreements for projects of national significance to increase and support the independence, productivity, and integration and inclusion into the community of individuals with developmental disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project grants, contracts, and cooperative agreements are approved for personnel, equipment, travel, supplies, etc. Duplicative Federal assistance is precluded. Uses include: (1) Family support activities; (2) Projects to educate policy makers; (3) projects to conduct data collection and analysis; (4) projects to provide technical assistance for developing information and referral systems; (5) Federal interagency initiatives; (6) technical assistance projects; (7) projects to enhance opportunities for individuals with developmental disabilities who are from racial and ethnic minority backgrounds; (8) projects to improve supportive living and quality of life opportunities which enhance recreation, leisure and fitness; (9) projects concerning the transition of youth with developmental disabilities from school to work and to adult life; and (10) other projects of national significance. Funds are not awarded solely for direct service delivery, construction, or for the continuation or expansion of existing projects, but rather for projects which are considered innovative and likely to have significant national impact.

Applicant Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Beneficiary Eligibility:

In general, any State, local, public or private nonprofit organization or agency may apply.

Credentials/Documentation:

Proof of community need and consistency with developmental disabilities State Plans. Proof of nonprofit status. Compliance with statutes, regulations, and approval of State agency and DHHS/ACF Regional Offices. Costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Forms and instructions for project grants are available from HHS Central Office and the appropriate DHHS-ACF Regional Office. Forms and instructions for projects of national significance grants are available at www.grants.gov. One signed original and two copies of the grant application are required, including all attachments, and must be submitted to the address specified in the application kit. Three additional copies would be useful to facilitate processing. In addition, concurrently, one (1) copy of the application should be submitted to the applicant's DD State Council and two (2) copies to the appropriate State Single Point of Contact (SPOC) for review and comment as per Executive Order 12372, "Intergovernmental Review of Federal Programs." (Addresses for State Developmental Disabilities Councils and SPOCs are included in the application kit.) The application must be signed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms and conditions of the grant award including the regulations for the Projects of National Significance Grants Program.

Award Procedure:

Applications are reviewed and recommendations made by the Central Office, Administration on Developmental Disabilities. Successful applicants are notified through the issuance of a Financial Assistance Award which sets forth in writing the amount of funds granted, the purpose of the grant, the terms and conditions of the grant award, the effective date of the award, the budget period for which support is given and the total grantee participation. The initial award, when applicable, also specifies the project period for which support is contemplated.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 180 days according to the type of program being requested.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are specified in each published program announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods for grants are specified in each published program announcement. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress reports are required for each budget period. Final progress report is required 90 days after the end of project period. Expenditure reports are required for each budget period. Performance monitoring includes review of reports and site visits as required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$14,134,000; FY 10 est \$14,162,000; FY 11 est \$14,162,000

Range and Average of Financial Assistance:

The range is \$50,000 to \$300,000; The average is \$184,566.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 59 grants were awarded. Fiscal Year 2010: It is estimated that 46 grants will be awarded. Fiscal Year 2011: It is estimated that 46 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1387.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, Administration for Children and Families, HHS Regional Offices (See Appendix IV of the Catalog.).

Headquarters Office:

Ophelia McLain, Administration on Developmental Disabilities, Administration

for Childrn and Families, Department of Health and Human Services; 370 L'Enfant Promenade, S.W., Washington, District of Columbia 20447 Email: omclain@acf.hhs.gov Phone: (202) 690-7025.

Website Address:

<http://www.acf.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Project to evaluate the work of the Councils, P&As and UCEDDs on behalf of individuals with developmental disabilities and their families. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

No application can be approved unless it shows/provides evidence of its non-profit status and the State in which the applicant's project will be conducted has an approved DD State plan under this Act. Applications are also to be reviewed by the State Developmental Disabilities Council for the State in which it is to be conducted. Project's proposed design is complete and feasible, and includes measurable objectives and an evaluation component. Project does not supplant activities that are funded under other Federal programs. Competing grant applications will be reviewed and evaluated against criteria that are specified in each published program announcement.

93.632 UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES EDUCATION, RESEARCH, AND SERVICE

(University Centers (UCEDD))

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Developmental Disabilities Assistance and Bill of Rights Act of 2000, Title I, Section 151, Public Law 106-402, 42 U.S.C 15061-15066.

OBJECTIVES:

To pay the Federal share of the cost of administration and operation of interdisciplinary centers that: (1) Provide interdisciplinary training for personnel concerned with developmental disabilities; (2) provide community service activities that include training and technical assistance and may include direct services, e.g., family support, individual support, personal assistance services, educational, vocational, clinical, health and prevention; (3) conduct research (basic and applied), evaluation and analysis of public policy in areas affecting individuals with developmental disabilities; and (4) disseminate information as a national and international resource.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Salaries for administrators, coordinators, and others needed to operate the Center and expenses required to start up new programs, and faculty for training programs who will meet critical manpower shortages and are not eligible for support from other sources.

Applicant Eligibility:

A public or nonprofit entity which is associated with, or is an integral part of a college or university and which provides at least: interdisciplinary training; demonstration of exemplary services, technical assistance, research and dissemination of findings.

Beneficiary Eligibility:

Individuals of all ages with developmental disabilities attributable to a mental and/or physical impairment, their families, and personnel and trainees providing services to them.

Credentials/Documentation:

Assurance of maintenance of effort; statement of financial resources, especially

other federally-assisted programs; identification of personnel needs and resources as found in developmental disabilities State Plans for the applicant's service area; evaluation of present training programs and utilization of space in the facility; and capability of informing researchers and others in development of services related research. Memorandum of Understanding with the University. Eligible candidate designated in the previous fiscal year as a Center. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

No preapplication form is required. Consultation and assistance in preparing application is available at the DHHS Central Office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms are available from the DHHS Central Office. Completed application forms and narrative should be sent to the State Developmental Disabilities Council, Designated State Agency and Protection and Advocacy agency for review and comment. The standard application forms, as furnished by DHHS must be used for this program.

Award Procedure:

Applications are evaluated by outside peer reviews and grants are awarded at the DHHS ADD Central Office. Recommendations for funding are made by the Headquarters Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 45 to 90 days.

Appeals:

Appeals are processed in accordance with regulations in 45 CFR, Part 16.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

Statutory Formula: Title I, Subpart D, Public Law 106-402.
Matching Requirements: Percent: 75%. If project activities or products target individuals with developmental disabilities who live in an urban or rural poverty area, the Federal share may not exceed 90 percent of the project's necessary costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

University Centers awards are made for 5 years with a 12-month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is required. Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant applications. An annual progress report is required. A Final progress report is required upon project completion. Fiscal reports are required as prescribed by grant specifications. Grantees receive technical assistance through on-site compliance reviews and other supports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be maintained for 3 years.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$37,943,000; FY 10 est \$38,943,000; FY 11 est \$38,943,000

Range and Average of Financial Assistance:

The range is between \$500,000 and \$549,000. The average is \$520,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, 71 grants were awarded. Fiscal Year 2010: In FY 2010, it is anticipated that there will be 71 grants awarded. Fiscal Year 2011: In FY 2011, it is anticipated that there will be 71 grants awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations are published in Chapter XIII of Title 45 of the Code of Federal Regulations, Part 1388.

Regional or Local Office:

None.

Headquarters Office:

Jennifer Johnson, Administration on Developmental Disabilities, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade SW, Washington, District of Columbia 20447 Email: jennifer.johnson@acf.hhs.gov Phone: (202) 690-5982.

Website Address:

<http://www.acf.dhhs.gov/programs/add>.

RELATED PROGRAMS:

93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.631 Developmental Disabilities Projects of National Significance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Grants are funded to assist in the operation of public or nonprofit facilities which are associated with a college or university and provide training programs and delivery of services for persons with developmental disabilities. In addition, tied to the level of funds appropriated, training grants may be awarded to the University Centers. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

University Centers must address the provisions of the Act and regulations. Geographic dispersion. University Affiliation.

93.643 CHILDREN'S JUSTICE GRANTS TO STATES

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse and Treatment Act (CAPTA), Section 107, 42 U.S.C 5106 et. seq.

OBJECTIVES:

To encourage States to enact reforms which are designed to improve: (a) The handling of child abuse and neglect cases, particularly cases of child sexual abuse and exploitation, in a manner which limits additional trauma to the child victim; (b) the handling of cases of suspected child abuse or neglect related fatalities; (c) the investigation and prosecution of cases of child abuse and neglect, particularly child sexual abuse and exploitation; and (d) the handling of cases involving children with disabilities or serious health-related problems who are victims of abuse or neglect.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used for reforms in the following categories: (a) Investigative, administrative, and judicial handling of cases of child abuse and neglect, particularly child sexual abuse and exploitation; cases involving suspected child maltreatment related fatalities; and cases involving a potential combination of jurisdictions, such as interstate, Federal-State, and State-Tribal, in a manner which reduces the additional trauma to the child victim and the victim's family and which also ensures procedural fairness to the accused; (b) experimental,

model and demonstration programs for testing innovative approaches and techniques which may improve the prompt and successful resolution of civil and criminal court proceedings, or enhance the effectiveness of judicial and administrative action in child abuse and neglect cases, particularly child sexual abuse and exploitation cases, including the enhancement of performance of court-appointed attorneys and guardians ad litem for children, and which also ensure procedural fairness to the accused; and (c) reform of State laws, ordinances, regulations, protocols and procedures to provide comprehensive protection for children from abuse, particularly child sexual abuse and exploitation, while ensuring fairness to all affected persons. To receive funds, States must meet eligibility requirements stated in the authorizing statute.

Applicant Eligibility:

States, Puerto Rico, District of Columbia, Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Marianas.

Beneficiary Eligibility:

Beneficiaries include State governments and victims of child abuse and neglect, particularly child sexual abuse and exploitation.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with 45 CFR Parts 74 and 92. Applications require certification and/or documentation that the State meets eligibility requirements described in the Act. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

No preapplication is required. Advice and technical assistance to State applicants are available from the Children's Bureau, Office on Child Abuse and Neglect within the Administration on Children, Youth and Families. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. No Federal forms are required, but certain assurances and information described in the Annual Program Instruction must be included.

Award Procedure:

Applications will be reviewed against all eligibility requirements contained in the authorizing legislation. All eligible State and territorial governments will receive funding.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines will be contained in the Program Instruction sent to each State and other eligible entities announcing the availability of funds under this program.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, Part 16.

Renewals:

Grants are made annually. A new application is required each year.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: There is no matching requirement. Each State receives a base amount of \$50,000 with an additional amount based on the population of children under age 18 in each State. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant funds may be expended for a period of 2 years after the end of the fiscal year in which the funds are awarded. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are not applicable. PSC-272. Program progress reporting requirements are described in the Program Instructions. Financial reporting requirements are described in the Program Instructions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be kept in accordance with 45 CFR, Parts 74 and 92.

Account Identification:

15-5041-0-2-754.

Obligations:

(Formula Grants) FY 09 \$17,000,000; FY 10 est \$17,000,000; FY 11 est \$17,000,000

Range and Average of Financial Assistance:

FY 09: \$53,553 to \$1,900,799 with an average grant of \$309,091.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Grants were made to 50 States, the District of Columbia, and five territorial governments. Fiscal Year 2010: Grants are expected to be made to 50 States, the District of Columbia, and five territorial governments. Fiscal Year 2011: Grants are expected to be made to 50 States, the District of Columbia, and five territorial governments.

REGULATIONS, GUIDELINES, AND LITERATURE:

All pertinent instructions are contained in the annual Program Instruction.

Regional or Local Office:

None.

Headquarters Office:

Catherine Luby Office on Child Abuse and Neglect, 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Phone: (202) 205-8879

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants; 93.670 Child Abuse and Neglect Discretionary Activities; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States which meet all eligibility requirements and propose projects which meet the required uses of these funds will receive grants.

**93.645 CHILD WELFARE SERVICES_STATE GRANTS
FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-B, Part Subpart 1; as amended.

OBJECTIVES:

The purpose of the Stephanie Tubbs Jones Child Welfare Services program is to promote State and Tribal flexibility in the development and expansion of a coordinated child and family services program that utilizes community-based agencies and ensures all children are raised in safe, loving families.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for the following purposes: (a) protecting and promoting the welfare of all children; (b) preventing the abuse, neglect, or exploitation of children; (c) supporting at-risk families through services that allow children to remain with their families or return to their families in a timely manner; (d) promoting the safety, permanence, and well-being of children in foster care and adoptive families; and (e) providing training, professional development, and support to ensure a well-qualified workforce. State and Tribal grantees must limit administrative costs to 10 percent of the total expenditures required to draw their allotment. States may also not spend (or use as required matching funds) more title IV-B, subpart 1, funds for child care, foster care maintenance and adoption assistance payments in FY 2010 than the State expended for those purposes in FY 2005.

Applicant Eligibility:

States, the District of Columbia, Indian Tribes, Puerto Rico, the Northern Marianas, the Virgin Islands, Guam, and American Samoa.

Beneficiary Eligibility:

Families and children in need of child welfare services will benefit.

Credentials/Documentation:

For States, the department which administers the services program under Title XX must be the designated single State agency to administer child welfare services. Costs will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Environmental impact information is not required for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A 5-year plan must be submitted. The plan must be jointly developed by the Secretary and the State or Indian Tribe and written after consultation with appropriate public and nonprofit private agencies and community-based organizations. The plan must coordinate the provision of services under Title IV-B with services under other Federal or federally-assisted programs serving the same populations.

Award Procedure:

Funds will be awarded after the plan, or annual update, is submitted and approved.

Deadlines:

Jun 30, 2009 States, Territories and Indian Tribes are encouraged to submit application in accordance with Department requirements. The Plan or update submitted is due by June 30 of each year.

Range of Approval/Disapproval Time:

The Plan or update that is due on June 30 of each year. Approval/disapproval is due September 30 of each year.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Percent: 25%. Statutory Formula: Each State (including territories) receives a base allotment amount of \$70,000. The Stephanie Tubbs Jones Federal Child Welfare Services appropriation is further

allotted on a variable formula which takes into account the child population under 21 and the complement of the State per capita income compared to the U.S. per capita income. The statistical factors used to fund allocations are: (1) the population of children under 21 years of age by State and the source is "Current Population Reports", P-25 Bureau of the Census; and (2) 3-year average per capita income by State and the source is the Bureau of Economic Analysis, Department of Commerce. Each eligible Tribes allotment is derived from the allotment for the State in which the Tribe is located and is based on the State population under 21, the number of Indian children in the Tribal population, and the multiplication factor determined by the Secretary in accordance with 45 CFR 1357.40(d)(6). Matching Requirements: Federal financial participation is available up to the full allotment at the rate of 75 percent for allowable program expenditures made by the grantee. Grantees must provide a 25 percent match for these expenditures.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are available for obligation and liquidation in the FY granted and in the subsequent FY. Grant awards are made quarterly on a fiscal year basis through a letter of credit. The Electronic Transfer System will be used for monthly cash draw-downs from Federal Reserve Banks. Method of awarding/releasing assistance: quarterly.

Reports:

At the end of the fifth fiscal year, a final review of progress toward accomplishment of goals is due. Quarterly PSC-272. Interim reviews of progress toward accomplishment of the goals of the plan are due at the end of each of the first four fiscal years. An SF-269 financial status report must be submitted for the funds awarded in each Federal fiscal year (FFY) within three months after the close of the FFY in which the funds were granted (interim report) and again within three months after the close of the subsequent FFY (final report). Performance monitoring is conducted through the Children and Family Services Review (CFSR) process in accordance with 45 CFR 1355.31 - 1355.37. Quarterly PSC-272. Interim review of progress toward accomplishment of the goals of the plan are due at the end of each of the first four fiscal years. Annual SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR Part 92.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$281,744,000; FY 10 est \$281,744,000; FY 11 est \$281,744,000

Range and Average of Financial Assistance:

States and Territories FY 09: \$155,897 to \$32,523,232 with an average of \$4,931,231

Tribes: \$1,318 to \$745,531 with an average of \$37,805.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, 210 grants were awarded. Fiscal Year 2010: It is anticipated for FY 2010 that 213 grants will be awarded. Fiscal Year 2011: It is anticipated for FY 2011 that 213 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355 and 45 CFR 1357.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Contact Regional Child Welfare Program Managers, ACF. (See Appendix IV of the Catalog for a

list of addresses of the Regional offices.).

Headquarters Office:

Eileen West 1250 Maryland Ave, SW, Washington, District of Columbia 20024
Phone: 202-205-8438

Website Address:

<http://www.acf.hhs.gov/programs/cb> .

RELATED PROGRAMS:

93.090 Guardianship Assistance; 93.556 Promoting Safe and Stable Families;
93.600 Head Start; 93.648 Child Welfare Services Training Grants; 93.658
Foster Care Title IV-E; 93.659 Adoption Assistance; 93.667 Social Services
Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.647 SOCIAL SERVICES RESEARCH AND DEMONSTRATION
FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human
Services

AUTHORIZATION:

Social Security Act, Title XI, Section 1110, 42 U.S.C 1310.

OBJECTIVES:

To promote research and demonstrations related to the prevention and reduction
of dependency or the administration and effectiveness of programs related to
that purpose.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Grants, cooperative agreements, and contracts are awarded for innovative
research, demonstrations, and evaluations that are responsive to the
Administration for Children and Families' (ACF) program priorities. All
applications must meet standards of excellence in research, demonstration, or
evaluation design. Construction or the purchase of real estate are not allowable
under these grants or contracts. Further, these funds may not be used to
supplement the cost of program operations otherwise capped under the law.
Organizations may not use funds under these grants or contracts to engage in
inherently religious activities, such as worship, religious instructions, or
proselytizing. In addition, an organization receiving ACF funds shall not, in
providing ACF-funded services, discriminate against a program beneficiary or
prospective program beneficiary on the basis of religion or religious belief.
Regulations pertaining to the Equal Treatment for Faith-Based Organizations,
which include the prohibition against Federal funding of inherently religious
activities, as well as provisions related to nondiscrimination against religious
organizations and the ability of religious organizations to maintain their
religious character, can be found at either 45 CFR 87.1 or the HHS Web site at
<http://www.os.dhhs.gov/fbci/waisgate21.pdf>. Discretionary funding may be
used for contracts or grants, including cooperative agreements, for the cost of
research or demonstration projects, or which will aid in effecting coordination
and planning between private and public welfare agencies or which will
improve the effectiveness of programs carried on or assisted under the Social
Security Act or related programs.

Applicant Eligibility:

Grants and cooperative agreements may be made to or with governmental
entities, colleges, universities, nonprofit and for-profit organizations (if fee is
waived), and faith-based and community organizations. Grants or cooperative
agreements cannot be made directly to individuals. Contracts may be awarded
to nonprofit or for-profit organizations.

Beneficiary Eligibility:

Children, youth, and families, especially low-income families, will benefit.

Credentials/Documentation:

Applicants must present written evidence of other agencies' willingness to

cooperate when the project involves the use of other agencies, facilities, or
services. Nonprofit organizations which have not previously received ACF
program support must submit proof of nonprofit status. For State and local
governments, costs will be determined in accordance with 45 CFR Part 92 and
OMB Circular No. A-87. For other grantees, applicable costs and
administrative procedures will be determined in accordance with 45 CFR Parts
74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is
not required for this program. This program is eligible for coverage under E.O.
12372, "Intergovernmental Review of Federal Programs." An applicant should
consult the office or official designated as the single point of contact in his or
her State for more information on the process the State requires to be followed
in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110
applies to this program. Applicants will be able to find a synopsis of grant or
cooperative agreement opportunities and apply electronically for opportunities
via: www.Grants.gov. Applicants will also be able to find the complete text of
grant announcements on the ACF web site located at:
<http://www.acf.hhs.gov/grants/index.html>.

Award Procedure:

Official notice of approved grant applications is made through issuance of a
Financial Assistance Award (FAA). This provides the amount awarded, the
purpose of the award, the terms and conditions of the award, the budget period
for which support is given, the total project period for which support is
contemplated, and the total grantee participation. Official notice of an accepted
contract proposal is made through award of a contract.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application
deadlines.

Range of Approval/Disapproval Time:

From 60 to 365 days. Generally, solicited grants, cooperative agreements, and
contracts will be acted upon within 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Grant extensions and continuations are available if formally applied for and
approved. If an application is recommended for approval for 2 or more years,
the grantee must submit a formal request for a non-competitive continuation
application each year accompanied by a progress report which will be evaluated
prior to a recommendation of continuation. This process is not applicable to
contracts.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funds are granted on a 1- to 5-year basis, with support beyond the first year
contingent upon acceptable evidence of satisfactory progress, continuing
program relevance, and availability of funds. See the following for information
on how assistance is awarded/released: Post award, our Division of Payment
Management will establish an account from which a grantee may draw down
awards. For additional information on this process see:
http://www.dpm.psc.gov/grant_recipient/new_grantee_information/hhs_grantee_info.aspx?explorer.event=true.

Reports:

No program reports are required. No cash reports are required. Grantees are
required to submit performance progress reports (ACF-OGM-SF-PPR)
throughout the project period. Performance progress reports are due 30 days
after the reporting period. Final reports are due 90 days after the close of the
project period. Reporting forms are available at
<http://www.acf.hhs.gov/grants/resources.html>. Grantees will be required
to submit financial expenditure reports throughout the project period. Financial

reports are due 30 days after the reporting period. Final financial reports are due 90 days after the close of the project period. Grantees use the Financial Status Report (FSR) SF-269 (long form) for required financial reporting. Reporting forms are available at http://www.acf.hhs.gov/grants/grants_resources.html. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the HHS audit agency, and other Federal officials.

Records:

Proper accounting records, identifiable by grant number and including all receipts and expenditures, must be maintained for 3 years in accordance with 45 CFR Part 92. Subsequent to audit, they must be maintained until all questions are resolved, or at the end of the regular 3-year period, whichever is later.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants) FY 09 \$20,188,000; FY 10 est \$25,372,000; FY 11 est \$8,762,000 - The Social Services Research and Demonstration funds are focused on child welfare, welfare reform, employment strategies, and family formation. In addition, we funded \$14,426,000 in grants earmarked by Congress under the Consolidated Appropriations Act, 2009 (Public Law 110-161). We anticipate funding \$17,610,000 for this purpose in FY 2010 and \$0 in FY 2011.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009 funding was provided for 94 grants and 22 contracts. Fiscal Year 2010: We anticipate awarding 74 grants and 21 contracts in FY 2010. Fiscal Year 2011: We anticipate awarding 1 grant and 20 contracts in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

A variety of guidance on grants can be found at www.Grants.gov. Helpful information on contracts is available at www.fedbizopps.gov.

Regional or Local Office:

See Regional Agency Offices. Appropriate ACF Regional Administrator. (See Appendix IV of the Catalog for ACF Regional Offices.).

Headquarters Office:

Karl J. Koerper, Office of Planning, Research and Evaluation, Administration for Children and Families, 370 L'Enfant Promenade, SW, 7th Floor, West, Washington, District of Columbia 20447 Email: Karl.Koerper@acf.hhs.gov Phone: (202) 4014535.

Website Address:

<http://www.acf.hhs.gov/programs/opre>

RELATED PROGRAMS:

93.009 Compassion Capital Fund ; 93.558 Temporary Assistance for Needy Families; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.648 Child Welfare Services Training Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: In fiscal year 2009 funding was provided to projects related to prevention or reduction of dependency or the administration of and effectiveness of programs related to that purpose. We also funded projects earmarked by Congress under the Omnibus Appropriations Act, 2009 (Public Law 111-8). Fiscal Year 2010: We anticipate continuing to fund projects related to prevention or reduction of dependency, or the administration of and effectiveness of programs related to that purpose, in fiscal year 2010. We also anticipate funding grants earmarked by Congress under the Consolidated

Appropriations Act (2010 Public Law 111-117). Fiscal Year 2011: We anticipate continuing to fund projects related to prevention or reduction of dependency, or the administration of and effectiveness of programs related to that purpose, in fiscal year 2011.

CRITERIA FOR SELECTING PROPOSALS:

Applications may be funded in whole or in part depending on: (1) the ranked order of applicants resulting from competitive review; (2) staff review and consultations; (3) the combination of projects that best meet the information needs of the program; (4) the funds available; and (5) other relevant considerations. Typical criteria include project cost and budget justification, the qualification of the principal investigator and organization, the quality of approach and methodologies employed, and results and the programs objectives and results and benefits expected. Specific criteria for selecting recipients under individual funding announcements under this program are published in www.Grants.gov for grant announcement and in the solicitation for requests for proposals published in www.fedbizopps.gov for contracts.

93.648 CHILD WELFARE RESEARCH TRAINING OR DEMONSTRATION

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Section 426, 42 U.S.C. 626, as amended including, Section 429, 42 U.S.C 628b.

OBJECTIVES:

To support research and demonstration projects which are of national or regional significance and special projects for the demonstration of new methods which show promise of substantial contribution to the advancement of child welfare. To demonstrate the utilization of research in the field of child welfare to encourage experimental and special types of child welfare services. To provide professional education opportunities to prospective and current child welfare agency staff and to develop competency-based training curricula and special projects for training child welfare personnel in specific areas.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are made to accredited public or other nonprofit institutions of higher learning, public or other nonprofit agencies and/or organizations and to State or local child welfare agencies for specific projects for training prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; child welfare demonstration projects of national or regional significance; and for utilization of child welfare research to encourage experimental and special types of welfare services.

Applicant Eligibility:

Child Welfare research or demonstration projects of national or regional significance. Utilization of child welfare research to encourage experimental and special types of welfare services.

Beneficiary Eligibility:

Grants are made to accredited public or other nonprofit institutions of higher learning, public or other nonprofit agencies and/or organizations and to State or local child welfare agencies for specific projects for training prospective and current personnel for work in the field of child welfare, including trainee-ships with stipends; child welfare demonstration projects of national or regional significance; and for utilization of child welfare research to encourage experimental and special types of welfare services.

Credentials/Documentation:

Grants to educational institutions are made only to accredited institutions. Costs are determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. Nonprofit organizations must provide proof of nonprofit status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms, as furnished by HHS must be used for this program. Environmental impact information is not required for this program.

This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications made in the format provided by and at the time specified in the annual Funding Opportunity Announcements of the availability of funds.

Award Procedure:

Grants are awarded after review by a panel of mostly nonfederal persons, with final decision by the Commissioner, ACYF in the Headquarters office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR, 16.

Renewals:

Renewals and extensions are available through formal submission of progress reports and continuation applications.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Duration is specified in announcement. See the following for information on how assistance is awarded/released: The manner of the assistance awarded and/or released is specified in the announcement.

Reports:

Program reports are not applicable. Submitted biannually: PSC - 272.

Submitted biannually: SF - PPR. Submitted biannually: SF - 269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are required in accordance with the requirement in 45 CFR 74 and 92.

Records:

Financial and other records of the grant are to be kept 3 years after the termination of the grant or until audited, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$7,207,000; FY 10 est \$27,207,000; FY 11 est \$27,207,000

Range and Average of Financial Assistance:

Grants ranged from \$250,000 to \$3,790,995 with the average award being \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, 7 grants were awarded. Fiscal Year 2010: In fiscal year 2010, 18 grants are projected. Fiscal Year 2011: In fiscal year 2011 it is estimated that there will be 18 grants.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, ACF.

Headquarters Office:

Donna Hornsby 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: donna.hornsby@acf.hhs.gov Phone: 202-205-8405

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.670 Child Abuse and Neglect Discretionary Activities

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which the proposal shows promise of meeting specific objectives defined in the annual program announcement; (2) reasonableness of cost; and (3) qualifications of the applicant organization and staff, and (4) quality of the methodology.

93.652 ADOPTION OPPORTUNITIES

Adoption Opportunities

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment and Adoption Reform Act of 1978, Title II, Section 205; Public Law 95-266; Public Law 100-294; Public Law 102-295; Public Law 104-234, Public Law 111-8, 42 U.S.C 5111 et. seq.

OBJECTIVES:

To facilitate the elimination of barriers to adoption and to provide permanent, loving home environments for children who would benefit from adoption, particularly children with special needs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants and contracts are for (1) the development and implementation of a national adoption and foster care data gathering and analysis system, and a national adoption information exchange system; (2) education, training and technical assistance programs on adoption, (3) ongoing and extensive recruitment on the national level, (4) supporting and studying the placement of children in kinship care arrangements, pre-adoptive, or adoptive homes, (5) maintain a national resource center for special needs adoption, (6) minority recruitment, (7) provision of post legal adoption services, (8) improving the permanent placement rate of children, especially older children, in foster care, (9) improve efforts to eliminate interjurisdictional adoption barriers; (10) study the manner in which interstate placements are financed; (11) best practice recommendations for inter- and intra-state adoptions and how State definitions of special needs differentiate and/or group similar categories of children; and, (12) research adoption outcomes and factors that affect these outcomes.

Applicant Eligibility:

Grants or Contracts: State, local government entities, public or private licensed child welfare or adoption agencies or community based other organizations, or adoptive family groups, minority groups, sectarian institutions.

Beneficiary Eligibility:

Children who are in foster care and with the goal of adoption.

Credentials/Documentation:

Nonprofit organizations which have not previously had Office of Management Services or ACF support must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined by 45 CFR 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Limited consultation available at Headquarters Office. The standard application forms as furnished by DHHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted to the Administration on Children, Youth and Families' (ACYF) Operations Center listed in the program announcement.

Award Procedure:

After review and recommendation by a nonfederal panel, the Commissioner, ACYF, makes final decision.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Determined annually and specified in the annual program announcement which is posted to Grants.gov.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Renewals are available for multi-year grants through formal submission of progress reports and continuation applications. Funding for multi-year grants is provided on an annual basis.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula or matching requirements; however, administratively-required matching is described in the annual program announcement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may extend from 3 to 5 years. Average duration is expected to be 5 years. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. PSC-272. Semi-annual progress reports. Final progress report due 90 days after completion of grant. Semi-annual financial reports. Final financial report due 90 days after completion of grant. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees are required to keep financial and other grant records for 3 years after the completion of the grant or completion of audit whichever occurs first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$26,379,000; FY 10 est \$26,379,000; FY 11 est \$39,332,000

Range and Average of Financial Assistance:

FY 09: \$250,000 to \$1,800,000 with an average of \$475,655.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 38 grants were awarded in fiscal year 2009, 32 continuations, 6 new. Fiscal Year 2010: It is estimated that 34 grants will be awarded in fiscal year 2010. Fiscal Year 2011: It is estimated that 44 grants will be awarded in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Priority statements, application information and submission deadline available at no charge.

Regional or Local Office:

None.

Headquarters Office:

Carole Thompson Children's Bureau, Administration for Children and Families, 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024
Email: carole.thompson@acf.hhs.gov Phone: (202) 260-7794

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An assessment is made of: (1) The degree to which proposal shows promise of meeting specific objectives defined in a program announcement; (2) innovation and advancement of the field, (3) reasonableness of cost; and (4) qualification of staff.

93.658 FOSTER CARE_TITLE IV-E

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470, et seq.; as amended.

OBJECTIVES:

The Title IV-E Foster Care program helps States, Indian Tribes, Tribal organizations and Tribal consortia (Tribes) to provide safe and stable out-of-home care for children under the jurisdiction of the State or Tribal child welfare agency until the children are returned home safely; placed with adoptive families, or placed in other planned arrangements for permanency. The program provides funds to assist with the costs of foster care maintenance for eligible children; administrative costs to manage the program; and training for public agency staff, foster parents and certain private agency staff. In addition, \$3 million is reserved for technical assistance and plan development/implementation grants to eligible Tribes beginning in FY 09.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants

USES AND USE RESTRICTIONS:

Formula grant funds may be used by the State or local (under State supervision) child welfare agency or by the Tribal child welfare agency for FFP in foster care maintenance payments on behalf of eligible children; for administrative and training costs; and for costs related to design, implement and operate a statewide data collection system. Funds may not be used for costs of social services such as those that provide counseling or treatment to ameliorate or remedy personal problem, behaviors, or home conditions for a child, the child's family, or the child's foster family. Plan development/implementation grants to Tribes are one-time grants for projects lasting up to 24 months that are to be used to develop a plan to operate a Title IV-E program for foster care, adoption assistance and kinship guardianship as specified under section 479B of Title IV-E. The Tribe must submit a plan for the Title IV-E program within 24 months of receiving the plan development grant or must repay the grant funds, unless the HHS Secretary waives the requirements under section 471 to carry out a program under section 479B. There is \$3 million reserved for technical assistance and plan development/implementation grants to eligible Tribes beginning in FY 09.

Applicant Eligibility:

States, the District of Columbia, and Puerto Rico, and Indian Tribes.

Beneficiary Eligibility:

Eligible children whose removal and placement in foster care are in accordance with a voluntary placement agreement or judicial determinations to the effect that continuation in the home would be contrary to the child's welfare and that reasonable efforts were made to prevent the removal (or that such efforts were

not necessary), and whose placement and care are the responsibility of the State or Tribal agency administering the title IV-E program.

Credentials/Documentation:

Formula Grants: Costs are to be determined in accordance with OMB Circular No. A-87 and 45 CFR Part 92. Plan Development/Implementation Grants: Non-profit agencies must submit proof of non-profit status. Applicable costs and administrative procedures will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Formula Grants: Consultation is available to States and Tribes from Regional Administration for Children and Families (ACF) offices. Plan Development/Implementation Grants: Standard application forms as furnished by HHS must be used. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Formula Grants: Applications (title IV-E plans) must be submitted to the ACF Regional Child Welfare Program Manager for approval using the standard application forms as furnished by HHS. Plan Development/Implementation Grants: Announcement of availability of funds for these grants is published on grants.gov and the ACF website, www.acf.hhs.gov/grants/open. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Formula Grants: Quarterly awards are made on the basis of estimated expenditures that are later revised accordingly to include only actual allowable expenditures. Estimates are due July 30, October 30, January 30, and April 30. Final decisions and awards are made by the Headquarters Office. Letter-of-credit is issued by the HHS Payment Management System (PMS). Plan Development/Implementation Grants: Applications are reviewed by professional Federal staff with expertise in child and family services, evaluation and related fields. Final decisions are made by the Commissioner, Administration on Children, Youth and Families (ACYF).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Formula Grants: HHS approves or disapproves title IV-E plans within 45 days of receipt by HHS. Plan Development/Implementation Grants: HHS approves or disapproves within 3 to 6 months.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Awards remain effective without renewal but title IV-E plans may be amended as necessary.

Formula and Matching Requirements:

Statutory Formula: Statutory Formula: Funding is provided on an open-ended basis. FFP for foster care maintenance payments incurred by a title IV-E State agency is equal to the Federal medical assistance percentage (FMAP) rate for that State, i.e., the Federal share of payments is from 50 percent to 83 percent, depending on the State (Section 474(a)(1) and 1905(b) of the Social Security Act). FMAP rates are also temporarily enhanced by at least 6.2 percentage points through Section 5001 of Public Law 111-5, the American Recovery and Reinvestment Act of 2009 (ARRA). For Tribes, the applicable FMAP rate is the highest of either a separate FMAP rate calculated based on the Tribe's service population or the FMAP rate for any State in which the Tribe is located. Training of agency staff and other administrative expenditures are matched at 75 and 50 percent Federal share, respectively (Sec. 474(a) of the Social Security Act). Short term training provided to specified professional partners is subject to a special FFP rate that varies by fiscal year (55% FFP in FY 2009; 60% for FY 2010, 65% for FY 2011). Plan Development/Implementation grants are available up to a maximum of \$300,000.

Matching Requirements: Formula Grants: The non-Federal share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the Tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs and, for a specified portion of administration and training costs only, in-kind expenditures (or contributions) from specified third party sources.

Plan Development/Implementation Grants: There is no matching requirement. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula Grants: Funds are paid quarterly through a letter of credit. Plan Development/Implementation Grants: Are provided on a one-time basis for generally 12 - 24 month project periods. Renewal funding for multiple year grants is dependent upon grantee performance and availability of funds. See the following for information on how assistance is awarded/released: See above.

Reports:

Plan Development/Implementation Grants: Financial and program reports are required in accordance with the program announcement. PSC-272. Progress reports are not applicable. Formula Grants: Expenditure reports must be submitted 30 days after the end of each quarter. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

Formula Grants: States and Tribes must maintain all financial and programmatic records that substantiate established eligibility, allocated indirect and direct costs, and awarded grants in accordance with requirements in HHS regulations at 45 CFR 92.42 and written guidance from ACF. Plan Development/Implementation Grants: All financial records are to be maintained for 3 years after termination of the project or until a required audit is completed, whichever comes first.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1546-0-1-609.

Obligations:

(Formula Grants) FY 09 \$4,545,433,000; FY 10 est \$4,406,000,000; FY 11 est \$4,595,000,000 - Additional ARRA grants: FY 09 \$162,051,000; FY 10 est \$201,000,000; FY 11 est \$53,000,000.

Range and Average of Financial Assistance:

Formula Grants: FY 09 - \$3,272,555 to \$1,232,047,700 with an average of \$89,983,183. Plan Development/Implementation Grants: Estimated as ranging from \$50,000 to \$300,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A total of 52 grants were made to states serving approximately 186,400 children. An additional 8 grants were made for tribal and other purposes. Fiscal Year 2010: It is estimated that 52 grants will be made to states serving approximately 174,700 children. An additional 8 grants will be made for tribal and other purposes. Fiscal Year 2011: It is estimated that 52 grants will be made to states serving approximately 168,200 children. An additional 11 grants will be made for tribal and other purposes.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355-1356.

Regional or Local Office:

See Regional Agency Offices. Consult Children's Bureau Regional Child Welfare Program Manager.(See Appendix IV of the Catalog for a list of

addresses of the Regional Offices.).

Headquarters Office:

Jennifer Butler-Hembree 1250 Maryland Ave, SW, Washington, District of Columbia 20024 Phone: 225-654-2527

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.560 Family Support Payments to States_Assistance Payments; 93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.659 Adoption Assistance; 93.674 Chafee Foster Care Independence Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.659 ADOPTION ASSISTANCE

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV-E, Section 470 et seq; as amended.

OBJECTIVES:

To provide Federal Financial Participation (FFP) to States, Indian Tribes, Tribal organizations and Tribal consortia (Tribes) in adoption subsidy costs for the adoption of children with special needs and who meet certain eligibility tests.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

All parents adopting special needs children are eligible for the nonrecurring cost of adoption. Federal subsidy may be used only in support of the adoption of children who meet the definition of special needs as specified in the Statute. States and Tribes may receive Federal Financial Participation (FFP) only if title IV-E plans have been approved by the Secretary.

Applicant Eligibility:

Funds are available to States, the District of Columbia, and Puerto Rico, and to Tribes with approved title IV-E plans.

Beneficiary Eligibility:

Beneficiaries are children who are: (1) in Title IV-E foster care, eligible for AFDC (as in effect July 16, 1996) although this requirement is being phased out between 2010 and 2018, SSI recipients, the minor child living with his/her parent who is in title IV-E Foster Care; or was previously adopted and eligible for adoption assistance but the adoption dissolved or the adoptive parents died and (2) have been determined by the State or Tribe to be special needs, e.g., a special factor or condition which makes it reasonable to conclude that they cannot be adopted without adoption assistance; a State or Tribe determination that the child cannot or should not be returned home; and a reasonable effort has been made to place the child without providing financial or medical assistance. FFP is available from the time of placement for adoption in accordance with State, local or Tribal law or final adoption decree to age 18 (21 if the State/Tribe finds that a disability means aid should continue) as long as the parent supports the child. No child adopted prior to the approval of the State or Tribal Title IV-E plan is eligible for FFP. No means test applies to adopting parents, but the amount of subsidy is agreed to by agency and parents and may be readjusted by joint agreement.

Credentials/Documentation:

The State or Tribe must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Consultation to States and Tribes is available from Regional Offices of ACF,

HHS. The standard application forms as furnished by HHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications (title IV-E plans) are submitted to the Regional Office (RO) of ACF.

Award Procedure:

Quarterly awards are made on the basis of estimated expenditures, which are later revised to include only actual allowable expenditures. Estimates are due July 30, October 30, January 30, and April 30. Final decisions and awards are made by the Headquarters Office. Letter-of-credit is issued by the HHS Payment Management System (PMS).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. HHS will approve/disapprove applications (State plans or amendments to them) within 45 days of receipt by HHS.

Appeals:

Refer to 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: FFP for adoption assistance is equal to the Federal medical assistance percentage (as defined in section 1905(b) of the Social Security Act and as temporarily enhanced through Section 5001 of Public Law 111-5, the American Recovery and Reinvestment Act of 2009 (ARRA) for the total expended for adoption assistance payments, 75 percent of training costs, and 50 percent of other administrative costs. Short term training provided to specified professional partners is subject to a special FFP rate that varies by fiscal year (55% FFP in FY 2009; 60% for FY 2010, 65% for FY 2011).

Matching Requirement: The non-Federal Share of program costs (difference between total cost and FFP) in each funding category constitutes the required match to be met by the title IV-E agency. Matching funds from State agencies must be appropriated monies raised from non-Federal revenue sources. Matching funds from Tribal agencies must also be appropriated monies, but may at the option of the Tribe include Federal funds specifically authorized by Federal statutes to be used as match for other Federal programs and, for specified portion of administration and training costs only, in-kind expenditures (or contributions) from specified third party sources. FFP for adoption assistance is equal to the Federal medical assistance percentage (as defined in section 1905(b) of the Social Security Act) of the total expended for adoption assistance payments, 75 percent of training costs, and 50 percent of other administrative costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Federal financial participation is available to a State or Tribe operating under an approved title IV-E plan. Method of awarding/releasing assistance: quarterly.

Reports:

Other reports due as required by the Secretary. PSC-272. Progress reports are not applicable. Quarterly expenditure reports are required 30 days after the end of the quarter. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 92.

Records:

States and Tribes must maintain records necessary for the proper and efficient operation of the program, including records of applications, determinations of eligibility, allocated and direct administrative and training costs, and the provision of financial assistance.

Account Identification:

75-1545-0-1-609 - ARRA grants: 75-1546-0-1-609.

Obligations:

(Formula Grants) FY 09 \$2,130,185,000; FY 10 est \$2,281,000,000; FY 11 est \$2,522,000,000 - ARRA grants in FY 09, \$193,922,000. ARRA grants in FY 2010 are estimated to be \$220,000,000. ARRA grants in FY 2011 are estimated at \$63,000,000.

Range and Average of Financial Assistance:

FY 09: \$979,985 to \$428,934,381 with an average of \$45,839,919.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A total of 52 grants were made serving approximately 417,200 children. Fiscal Year 2010: It is estimated that 52 grants will be made in fiscal year 2010 with an estimated 434,300 children per month assisted. Fiscal Year 2011: It is estimated that 52 grants will be made in fiscal year 2011 with an estimated 445,700 children per month assisted.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1355-1356.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, ACF, HHS in the appropriate Regional Office. (See Appendix IV of the Catalog for a list of addresses of the Regional Offices.).

Headquarters Office:

Eileen West 1250 Maryland Ave, SW, Washington, District of Columbia 20024
Phone: 202-205-8438

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.556 Promoting Safe and Stable Families; 93.645 Child Welfare Services_State Grants; 93.652 Adoption Opportunities; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.667 SOCIAL SERVICES BLOCK GRANT

SSBG Program

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, Public Law 97-35; Jobs Training Bill, Public Law 98-8 and 473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, Public Law 100-203; Family Support Act of 1998, Public Law 100-485; Omnibus Budget Reconciliation Act of 1993, Public Law 106-66, 42 U.S.C 1397 ET seq.

OBJECTIVES:

To enable each State to furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) To prevent, reduce, or eliminate dependency; (2) to achieve or maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate. In addition, special funding was provided to some states in fiscal year 1995 and 1996 for supplemental SSBG grants in support comprehensive of

community revitalization projects in 104 federally designated Empowerment Zones (EZs) and Enterprise Communities (ECs). The supplemental funding is called "EZ/EC SSBG." The States, through the designated localities, may use the EZ/EC SSBG funds for activities included in each locality's strategic plan for comprehensive revitalization and directed toward goals 1,2 or 3 listed above. These funds will remain available until December 21, 2004. Information about this component of the SSBG is included below as appropriate.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds may be used by States for the proper and efficient operation of social service programs. Except for items (1) and (4) below, for which a waiver from the Secretary may be requested, Federal funds cannot be used for the following: (1) The purchase or improvement of land, or the purchase, construction, or permanent improvement of any building or other facility; (2) the provision of cash payments for costs of subsistence or the provision of room and board (other than costs of subsistence during rehabilitation, room and board provided for a short term as an integral but subordinate part of a social service, or temporary shelter provided as a protective service); (3) the payment of wages to any individual as a social service (other than payment of wages to welfare recipients employed in the provision of child day care services); (4) the provision of medical care (other than family planning services, rehabilitation services or initial detoxification of an alcoholic or drug dependent individual) unless it is an integral but subordinate part of a social service for which grants may be used; (5) social services (except services to an alcoholic or drug dependent individual or rehabilitation services) provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such institution; (6) the provision of any educational service which the State makes generally available to its residents without cost and without regard to their income; (7) any child day care service unless such service meets applicable standards of State and local law; (8) the provision of cash payments as a service; or (9) for payment for any item or service (other than an emergency item or service) furnished by an individual or entity during the period when such individual or entity is excluded pursuant to Section 1128 or Section 1128(A) of the Social Security Act from participation in this program; or at the medical direction or on the prescription of a physician during the period when the physician is excluded based on Section 1128 or 1128(A) from participation in the program and when the person furnishing such item or service knew or had reason to know of the exclusion (after a reasonable time period after reasonable notice has been furnished to the person). A State may transfer up to 10 percent of its allotment for any fiscal year to the preventive health and health services, alcohol and drug abuse, mental health services, maternal and child health services, and low-income home energy assistance block grants.

Applicant Eligibility:

The 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, and American Samoa.

Beneficiary Eligibility:

Under Title XX, each eligible jurisdiction determines the services that will be provided and the individuals that will be eligible to receive services.

Credentials/Documentation:

Prior to expenditure of funds, the State must report on the intended use of the payments the State is to receive, including information on the types of activities to be supported and the categories or characteristics of individuals to be served. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Submission of a pre-expenditure report application is required.

Award Procedure:

States are awarded funds quarterly.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

See 45 CFR, Part 16, Procedures of the Departmental Appeals Board.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. Provides the requirements for the statutory formula and administrative rule.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded quarterly on a fiscal year basis. The Electronic Transfer System will be used based on quarterly grant awards for monthly cash draws from Federal Reserve Banks. The funds will remain available for projects and programs in the designated localities until December 21, 2004.). Method of awarding/releasing assistance: quarterly.

Reports:

An annual report is required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain records documenting the purposes for which expenditures were made.

Account Identification:

75-1534-0-1-506.

Obligations:

(Formula Grants) FY 09 \$2,300,000,000; FY 10 est \$1,700,000,000; FY 11 est \$1,700,000,000

Range and Average of Financial Assistance:

\$48,518 to \$206,071,998 ; \$29,824,563.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 78 grants were awarded, of which 21 grants were awarded for the Disaster Relief Funds. Fiscal Year 2010: In FY 10, 57 grants will be awarded. Fiscal Year 2011: It is anticipated that 57 grants will be awarded in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96.

Regional or Local Office:

See Regional Agency Offices. Local Office: Not applicable. Regional Office: Office of Community Services Regional Liaisons in the Office of the Regional Administrator. (See Appendix IV of the Catalog for Regional Offices.).

Headquarters Office:

Division of State Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services 370 L'Enfant Promenade, SW., Washington, District of Columbia 20201 Email: POC@DHS.GOV Phone: (202) 401-2333

Website Address:

<http://www.acf.hhs.gov/programs/ocs/ssbg>.

RELATED PROGRAMS:

93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers; 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services; 93.600 Head Start; 93.630 Developmental Disabilities Basic Support and Advocacy Grants; 93.645 Child Welfare Services_State Grants; 93.647 Social Services Research and Demonstration; 93.658 Foster Care_Title IV-E; 93.669 Child Abuse and Neglect State Grants; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All States, the District of Columbia, and the five other jurisdictions will receive their share of funds if they submit a pre-expenditure report that meets the requirements.

93.669 CHILD ABUSE AND NEGLECT STATE GRANTS**FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act, as amended, Section 106, 42 U.S.C 5101 et seq.

OBJECTIVES:

To assist States in the support and improvement of their child protective services systems.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The CAPTA Basic State Grant is a formula grant to States only, to be used for improving child protective services systems.

States can choose from a wide variety of activities as outlined in the legislation.

Examples include improving the intake, assessment, screening, and investigation of reports of abuse and neglect; creating and improving the use of multidisciplinary teams and interagency protocols to enhance investigations; improving legal preparation and representation, case management; developing, improving, and implementing risk and safety assessment tools and protocols; developing and updating systems of technology; training regarding research-based strategies to promote collaboration with the families, legal duties of such individuals and personal safety training for case workers; improving the skills, qualifications, and availability of individuals providing services to children and families, and the supervisors of such individuals; developing and delivering information to improve public education relating to the role and responsibilities of the child protection system and the nature and basis for reporting suspected incidents of child abuse and neglect; supporting and enhancing collaboration among public health agencies, the child protection system, and private community-based programs to provide child abuse and neglect prevention and treatment services (including linkages with education systems) and to address the health needs, including mental health needs, of children identified as abused or neglected, including supporting prompt, comprehensive health and developmental evaluations for children who are the subject of substantiated child maltreatment reports.

Applicant Eligibility:

States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Republic of Palau, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

There are no eligibility requirements attached to the beneficiaries of these funds (abused and neglected children and their families).

Credentials/Documentation:

State plans require certification of the State's eligibility under Section 106(b) of the authorizing legislation. Applicable costs and administrative procedure will be determined in accordance with 45 CFR 74 and 92.

In order to be eligible for the Basic State Grant under CAPTA, there are a variety of assurances that the governor must provide in the form of a certification that the State has in effect and is enforcing a State law, or has in effect and is operating a statewide program.

To the extent practicable, States are encouraged to participate in submitting data to the National Child Abuse and Neglect Data System (NCANDS) project. The Children's Bureau (CB) produces an annual national data report, the most recent of which is entitled Child Maltreatment 2008.

Assurances include provisions or procedures on reporting child abuse and neglect; the intake, assessment, screening, and investigation of reports of abuse and neglect; an appeals process; policies and procedures (including appropriate referrals to child protection service systems and for other appropriate services) to address the needs of substance exposed newborns; provisions for immunity for individuals making good faith reports; confidentiality of all records to protect the rights of the child and of the child's parents or guardians; disclosure provisions including those which allow for public disclosure of the findings or information about the case of child abuse or neglect which has resulted in a child fatality or near fatality; procedures to promptly expunge unsubstantiated records; provisions for appointment of a guardian ad litem or CASA to represent the child in judicial proceedings; expedited termination of parental rights in the case of any infant determined to be abandoned under State law; provisions and procedures for improving the training, retention, and supervision of caseworkers; processes for referral of a child under the age of 3 who is involved in a substantiated case of child abuse or neglect to early intervention services funded under part C of the Individuals with Disabilities Education Act; provisions for requiring criminal background checks for prospective foster and adoptive parents and other adult relatives and non-relatives residing in the household; procedures for responding to medical neglect; prevention efforts, caseworker training, community education, and the establishment of Citizen Review Panels. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Technical assistance is available at the regional level to assist States in meeting conditions specified in the Act. The five-year State plan application and approval process under CAPTA is consolidated with the comprehensive Child and Family Services Plan under Title IV-B of the Social Security Act. The State plan may be submitted in a format best suited to a State's need and must be accompanied by the information, certifications, assurances, and documentation required by the annual program instruction. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. State plans and updates must be submitted to the Regional Offices. Complete information is furnished annually to the appropriate State office regarding format and timing of State plan applications and updates.

Award Procedure:

State plans and updates are reviewed at the Regional level; Children's Bureau Associate Commissioner has approval/disapproval authority for these grants.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 2 to 3 months.

Appeals:

Other - Not Specified.

Renewals:

Grants are made annually. States submit a five-year plan and annual updates are

required each year.

Formula and Matching Requirements:

Statutory Formula:

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded annually. Method of awarding/releasing assistance: quarterly.

Reports:

Financial data and annual reporting requirements are described in annual Program Instructions. Financial data and annual reporting requirements are described in annual Program Instructions. No progress reports are required. Financial data and annual reporting requirements are described in annual Program Instructions. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Records must be kept in accordance with 45 CFR Parts 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$26,535,000; FY 10 est \$26,535,000; FY 11 est \$26,535,000

Range and Average of Financial Assistance:

In FY 2009, grants ranged from \$55,618 to \$3,069,635 with an average award of \$473,839.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 56 grants were awarded in FY 2009. Fiscal Year 2010: It is anticipated that 56 grants will be awarded in FY 2010. Fiscal Year 2011: It is anticipated that 56 grants will be awarded in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 45 CFR 1340; annual Program Instruction.

Regional or Local Office:

See Regional Agency Offices. Children's Bureau Regional Program Managers.

Headquarters Office:

Gail Collins 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: gail.collins@acf.hhs.gov Phone: 202-205-8552

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.667 Social Services Block Grant; 93.670 Child Abuse and Neglect Discretionary Activities; 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

States which submit State plans and updates in accordance with the annual updates and statutory requirements.

**93.670 CHILD ABUSE AND NEGLECT DISCRETIONARY ACTIVITIES
FEDERAL AGENCY:**

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Child Abuse Prevention and Treatment Act, Title I, as amended, 42 U.S.C 5101 et seq.

OBJECTIVES:

To improve the national, State, community and family activities for the prevention, assessment, identification, and treatment of child abuse and neglect through research, demonstration, service improvement, evaluation of best practices, dissemination of information, and technical assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants or Contracts are provided for: (1) Technical assistance to public and private nonprofit agencies; (2) research and service demonstration projects to identify, assess, prevent, and treat child abuse and neglect; (3) research into the incidence, consequences, and prevalence of child abuse and neglect; and (4) for the dissemination of information on the incidence, causes, prevention and treatment of child abuse and neglect.

Applicant Eligibility:

Grants: States, local governments, tribes, public agencies or private agencies or organizations (or combinations of such agencies or organizations) engaged in activities related to the prevention, identification, and treatment of child abuse and neglect. Contracts: Public and private agencies.

Beneficiary Eligibility:

Abused and neglected children and their families.

Credentials/Documentation:

Nonprofit agencies must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Parts 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Standard application forms as furnished by the U.S. Department of Health and Human Services (HHS) must be used for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Announcement of availability of funds is published on grants.gov and the ACF web-site, www.acf.hhs.gov/grants/open. Eligible applicants must submit applications by specified deadlines.

Award Procedure:

Grant applications are reviewed by a panel of nonfederal professionals with expertise in the child abuse and neglect field. Final decisions are made by the Commissioner, Administration for Children, Youth and Families (ACYF). Contract proposals are reviewed by a panel of Federal staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Deadlines change from year to year. Each program announcement provides specific deadline information. Contact Headquarters Office for details.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Some projects are awarded with a provision for non-competitive renewal. Most grants are one-time awards. Renewals may be subject to a competitive process.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements are described in each program announcement.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are generally available for a 12, 24, 36 or 60-month period. Renewal funding for multiple-year grants is dependent upon grantee performance and availability of funds. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are required semiannually with a final report at completion of the project. PSC-272. No progress reports are required. Financial reports are required semiannually with a final report at completion of the project. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with requirements in 45 CFR 74 and 92.

Records:

All financial records are to be maintained for 3 years after termination of the project or until audit is completed, whichever comes first.

Account Identification:

75-1536-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 \$41,740,000; FY 10 est \$29,020,000; FY 11 est \$39,020,000 - The estimate for fiscal year 2010 is less than for fiscal year 2009 as Evidence Based Home Visitation was removed from this particular program, The Fiscal Year 2011 estimate does not include Congressional Earmarks, which may be appropriated annually.

Range and Average of Financial Assistance:

FY 08: \$85,480 to \$1,684,537 with an average award of \$363,522.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 63 grants were awarded in FY 2009. Fiscal Year 2010: In FY 2010, an estimated 43 grants will be awarded. Fiscal Year 2011: In FY 2011, an estimated 42 grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1340.

Regional or Local Office:

None.

Headquarters Office:

Jan Shafer Director, Research and Innovation Division, Children's Bureau, 1250 Maryland Ave, SW, Washington, District of Columbia 20024 Phone: (202) 205-8172.

Website Address:

<http://www.acf.hhs.gov/programs/cb>.

RELATED PROGRAMS:

93.600 Head Start; 93.643 Children's Justice Grants to States; 93.645 Child Welfare Services_State Grants; 93.648 Child Welfare Services Training Grants; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications are evaluated on the basis of the degree to which proposals meet specific objectives defined in the annual program announcement including, but

not limited to: (1) Reasonableness of cost; (2) qualifications of staff; and (3) relevance to stated areas of interest.

93.671 FAMILY VIOLENCE PREVENTION AND SERVICES/GRANTS FOR BATTERED WOMEN'S SHELTERS_ GRANTS TO STATES AND INDIAN TRIBES

Family Violence Prevention and Services Act Formula Grants for States and Indian Tribes

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Family Violence Prevention and Services Act as extended by the Department of Health and Human Services Appropriations Act, 2010, Public Law 111-117, 42 U.S.C 10401-10421.

OBJECTIVES:

To assist States and Indian Tribes in the prevention of family violence and the provision of immediate shelter and related assistance for victims of family violence and their dependents.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Federal funds are used by States for grants to local public agencies and nonprofit private organizations to prevent incidents of family violence and to provide immediate shelter and related assistance to victims of family violence. Tribal funds are used to prevent incidents of family violence and to provide immediate shelter and related assistance to victims of family violence. States must give special emphasis to the support of community-based projects of demonstrated effectiveness carried out by nonprofit private organizations, particularly those projects where the primary purpose is to operate shelters for victims of family violence, and those which provide counseling, advocacy, and self-help services to victims and their children. States and Indian Tribes may not impose an income eligibility standard on individuals receiving services supported by funds appropriated under this Act and Federal funds may not be used as direct payment to any victim of family violence. No less than 70 percent of the funds distributed must be used for immediate shelter and related assistance, and no less than 25 percent for related assistance.

Applicant Eligibility:

The 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Northern Mariana Islands, and federally recognized Indian Tribes.

Beneficiary Eligibility:

This program will benefit victims of family violence and their dependents.

Credentials/Documentation:

States and Indian Tribes must submit an application for approval by the Secretary of Health and Human Services. Costs will be determined in accordance with 45 CFR, Parts 74 and 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications must be submitted to the Administration on Children, Youth and Families. No standard application forms are required. Specific instructions are published via the Internet at the following website:
<http://www.acf.hhs.gov/grants>.

Award Procedure:

Formula grants are awarded directly to States, U.S. territories and insular areas, and eligible Indian Tribes.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

See 45 CFR, Part 16, Procedures of the Department Appeals Board.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Part 1370, Subpart H. (1) Each State shall be allotted for payment in a grant authorized under section 303(a), \$600,000, with the remaining funds to be allotted to each State in an amount that bears the same ratio to such remaining funds as the population of such State bears to the population of all States; (2) Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands shall be allotted not less than one eighth of 1 percent of the amounts available for grants under section 303 (a) for the fiscal year for which the allotment is made; and (3) to carry out section 303 (b) the Secretary shall make available not less than 10 percent of such amounts to make grants to Indian Tribes, tribal organizations and nonprofit private organizations approved by an Indian Tribe. No grant may be made to any entity other than a State or an Indian Tribe unless the entity provides for the following non-Federal matching local share: with respect to an entity operating an existing program under this title, not less than 20 percent, with respect to a new program under this title, not less than 35 percent. The local share may be cash or in-kind, may not include any Federal Funds other than provided under this title.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

State allocations must be (expended) by States and Indian tribes by the end of the fiscal year following the fiscal year in which the grant was received. Method of awarding/releasing assistance: lump sum.

Reports:

The Administration for Children and Families is required to submit a biennial Family Violence Prevention and Services Program Report to Congress. Cash reports are not applicable. Grantees are required to submit an annual Performance Progress Report within 90 days following the end of the fiscal year. Grantees must submit annual Financial Status Reports. Performance progress reports are required and selected grantees may be identified for site visits by federal program officers.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees are required to maintain records documenting the purposes for which expenditures were made. Requirements are found in 45 CFR 74 and 92.

Account Identification:

75-1536-0-1-506.

Obligations:

(Formula Grants) FY 09 \$100,369,010; FY 10 est \$102,160,000; FY 11 est \$109,990,000

Range and Average of Financial Assistance:

States: \$719,696 to \$7,681,641; Indian Tribes: \$26,592 to \$2,326,834.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY09, 259 grants were awarded. Fiscal Year 2010: In FY10, 268 grants were awarded. Fiscal Year 2011: In FY11, 276 grants are anticipated to be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations codified in 45 CFR 1300. Final Rulemaking was published February 22, 1996, at 45 CFR 1370.

Regional or Local Office:

None.

Headquarters Office:

Marylouise Kelley 1250 Maryland Avenue, SW, Washington, District of Columbia 20024 Email: marylouise.kelly@acf.hhs.gov Phone: 202-401-5756

Website Address:

<http://www.acf.hhs.gov/programs/fysb>.

RELATED PROGRAMS:

93.044 Special Programs for the Aging Title III, Part B Grants for Supportive Services and Senior Centers; 93.556 Promoting Safe and Stable Families; 93.612 Native American Programs; 93.645 Child Welfare Services State Grants; 93.667 Social Services Block Grant; 93.669 Child Abuse and Neglect State Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: 259 grants were awarded. Fiscal Year 2010: Fiscal Year 2010: 268 grants were awarded. Fiscal Year 2011: Fiscal Year 2011: It is anticipated that 276 grants will be awarded.

CRITERIA FOR SELECTING PROPOSALS:

Each State and eligible jurisdiction, including eligible federally-recognized Indian Tribes, will receive its respective share of funds if the application submitted meets the necessary requirements.

93.674 CHAFEE FOSTER CARE INDEPENDENCE PROGRAM

(CFCIP)

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title IV, Part E, Section 477, Public Law 110-351; as amended.

OBJECTIVES:

To assist States and eligible Indian Tribes in establishing and carrying out programs designed to assist foster youth likely to remain in foster care until 18 years of age, youth who leave foster care for adoption or kinship guardianship after attaining age 16, and youth who have left foster care because they attained 18 years of age and have not yet attained 21 years of age, to make the transition from foster care to self-sufficiency.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grants may be used to assist youth: to make the transition to self-sufficiency; to receive education, training and related services; to prepare for and obtain employment; to prepare for and enter postsecondary training and educational institutions; to provide personal and emotional support to youth through mentors and the promotion of interactions with dedicated adults; and to provide financial, housing, counseling, employment, education, other appropriate support and services to current and former foster care recipients up to the age of 21.

Applicant Eligibility:

State governments, including the 50 States, the District of Columbia and Puerto Rico (hereafter "States"), and eligible Indian Tribes, Indian Tribal organizations or Indian Tribal consortia (hereafter "Tribes").

Beneficiary Eligibility:

Children and youth who are likely to remain in foster care until age 18, youth who left foster care to adoption or kinship guardianship after attaining age 16, and former foster care recipients up to age 21.

Credentials/Documentation:

Costs will be determined in accordance with 45 CFR Part 92. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A five-year State or Tribal plan must be submitted with annual updates. The plan must coordinate the provision of services under the State's or Tribe's Chafee Foster Care Independence Program with services under other Federal or Federally-assisted programs serving the same population. Plans are submitted to the appropriate Regional Child Welfare Program Manager in the appropriate ACF Regional Office.

Award Procedure:

Quarterly awards are made up to the amount of one-fourth of the State's annual allotment.

Deadlines:

Jun 30, 2010

Range of Approval/Disapproval Time:

From 60 to 90 days. The plan or its annual update is due June 30 of each year. Approval/Disapproval is due September 30 of each year.

Appeals:

Appeals are processed in accordance with HHS regulations in 45 CFR Part 16.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: States: Each State is allotted an amount of funds which bears the same ratio as the number of children in foster care in that State bears to the total number of children in foster care in all States in the most recent fiscal year for which such information is available. Data submitted by States into the Adoption and Foster Care Analysis and Reporting System's (AFCARS) national database will be used to calculate State allotments. The minimum payable amount to a State is \$500,000. See Section 477(c) of the Social Security Act.

Tribes: Each Tribe with an approved plan is allocated an amount equal to the number of children in foster care under the responsibility of the Tribe (either directly or under supervision of the State) in the most recent fiscal year for which such information is available to the sum of the total number of children in foster care under the responsibility of the State within which the Tribe is located and the total number of children in foster care under the responsibility of all Indian Tribes in the State, that have an approved plan.

Matching Requirements: Percent: 20%. The Federal government will pay 80 percent of the total amount of funds expended by the grantee up to the amount of Chafee Foster Care Independence Program funds allocated. The grantee must provide matching contributions to cover the additional 20 percent of the costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made quarterly on a fiscal year basis. Method of awarding/releasing assistance: quarterly.

Reports:

Annual updates to the State's or Tribe's plan. PSC-272. Progress reports are not applicable. SF-269. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR 74 and 92.

Records:

Grantees must develop and maintain records which permit review of expenditures in accordance with the provisions of 45 CFR Part 92.20 and 92.42.

Account Identification:

75-1545-0-1-609.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$139,997,172; FY 10 est \$140,000,000; FY 11 est \$140,000,000

Range and Average of Financial Assistance:

\$500,000 to \$20,275,619 with an average award of \$2,618,365.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 53 grants were awarded in fiscal year 2009. Fiscal Year 2010: Anticipate 53 grants and Training and Technical Assistance of approximately \$2.1 million. Fiscal Year 2011: Anticipate 53 grants and Training and Technical Assistance of approximately \$2.1 million.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program Instruction (PI): PI-ACYF-CB-PI-05-06, issued October 12, 2005; PI-ACYF-CB-PI-09-06, issued June 3, 2009; PI-ACYF-CB-PI-09-07, issued June 3, 2009; PI-ACYF-CB-10-08, issued April 20, 2010; PI-ACYF-CB-PI-10-09, issued April 20, 2010; PI-ACYF-CB-PI-10-10, issued June 7, 2010.

Regional or Local Office:

See Regional Agency Offices. Contact Regional Administration for Children and Families. (See Appendix IV of the Catalog for addresses.).

Headquarters Office:

Catherine Heath 1250 Maryland Ave, SW, 8th Floor, Washington, District of Columbia 20024 Phone: 202-690-7888

Website Address:

<http://www.acf.dhhs.gov/programs/cb>.

RELATED PROGRAMS:

93.550 Transitional Living for Homeless Youth; 93.556 Promoting Safe and Stable Families; 93.599 Chafee Education and Training Vouchers Program (ETV); 93.645 Child Welfare Services_State Grants; 93.658 Foster Care_Title IV-E

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

States meeting requirements for entitlement funds will receive grants.

93.676 UNACCOMPANIED ALIEN CHILDREN PROGRAM

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Homeland Security Act of 2002, Section 462, Public Law 107-296, 116 Stat. 2202-2205, 6 U.S.C 279; William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, Section 235, Public Law 110-457, 122 Stat. 5074-5082, 8 U.S.C 1232.

OBJECTIVES:

The Unaccompanied Alien Children's program is designed to provide for the care and placement of unaccompanied alien minors who are apprehended in the U.S. by Homeland Security agents, Border patrol officers, or other law enforcement agencies and are taken into care pending resolution of their claims for relief under U.S. immigration law or released to adult family members or responsible adult guardians. Resolution of their claims may result in release, granting of an immigration status (such as special immigrant juvenile or asylum), voluntary departure, or removal.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funds may be used only for the purposes set forth in the grant and/or contract. Services may be provided only to unaccompanied alien children who are apprehended by agents of the Department of Homeland Security or referred by other federal agencies or departments.

Applicant Eligibility:

State and local governments, private non-profit organizations, and private for-profit organizations.

Beneficiary Eligibility:

Beneficiaries are unaccompanied alien children who are in Federal custody by reason of their immigration status.

Credentials/Documentation:

Cost principles for private nonprofit organizations will be determined by OMB Circular No. A-122. Cost principles governing private for-profit organizations are found under OMB Circular No. A-76. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications submitted in response to program announcements under this program will undergo a pre-review to determine if applicant is eligible for funding.

Award Procedure:

Applications found to be eligible for funding will undergo an evaluation by an independent review panel. In the case of emergency, staff will review on the basis of specific evaluation criteria to determine applicant qualification based upon published review criteria, quality of services to be rendered, and the likelihood of the program success.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The ORR Director may invite applications, if necessary, due to unusual and compelling urgency of unaccompanied alien children apprehended by law enforcement agents at the Department of Homeland Security, or referred by other federal agencies or departments. Deadlines for applications are noted in notices of funds of availability. It is anticipated that the approval/disapproval time will not exceed 30 days in urgent situations, and will not exceed 90 days in normal application situations.

Appeals:

Not Applicable.

Renewals:

Contingent upon appropriations, grantee performance, and whether continuation of funding is in the best interest of the government.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are made on an as needed basis, and on an annual budget basis. Method of awarding/releasing assistance: lump sum.

Reports:

Final program reports summarizing the activities and accomplishments of the project in relation to the approved goals and objectives are required. No cash reports are required. Monthly progress reports of placements are required. Additional progress reports are required, usually on a quarterly or semi-annual basis, but sometimes more frequently. Financial status reports are required, usually on a quarterly or semi-annual basis. Final financial status reports are also required at the end of the project period. ORR conducts programmatic and

financial on-site monitoring of grantees for the purpose of ensuring that the grantee's service delivery program and financial management system meets the requirements and standards of the program. All grantees are visited at least once a year by Project Officer Staff, who regularly make site visits, monitor the care provider grantees, and make recommendations for non-compliance or improvements. ORR regularly requires reports on a daily, weekly, monthly, semi-annual, and annual basis for a variety of functions. In the event deficiencies or needs for improvement are indicated, the items are documented through monitoring reports and corrective action plans are required from the grantee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Periodic audits are made as part of the system of financial management and internal control to meet terms and conditions of grants, contracts, and other agreements. Nonprofit organizations receiving less than \$25,000 a year in Federal awards are exempt from federal audit requirements, but records must be available for review by appropriate officials of the Federal grantor agency or sub-granting entity.

Records:

Grant and contract recipients are required to keep all financial, business, and program reports necessary for program review and audit during the project period and for three years after the end of the project period to ensure that funds have been expended in accordance with the regulations, grant terms, and conditions of this program.

Account Identification:

75-1503-0-1-506.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$102,312,000; FY 10 est \$123,317,000; FY 11 est \$161,119,000

Range and Average of Financial Assistance:

In FY 2009, 22 grants and 7 contracts were awarded; amounts ranged from \$300,000 to \$7,949,687.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program served 6,686 children in FY 2009. Fiscal Year 2010: The program expects to serve 11,000 children in FY 2010. Fiscal Year 2011: The program expects to serve 11,000 children in FY 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program announcements can be found at <http://www.acf.hhs.gov/programs/orr>. All inquiries should be directed to the information contact listed below.

Regional or Local Office:

None.

Headquarters Office:

Maureen Dunn, 370 L'Enfant Promenade SW, 7th Floor East, Washington, District of Columbia 20447 Email: maureen.dunn@acf.hhs.gov Phone: (202) 401-5523 Fax: (202) 401-1022.

Website Address:

<http://www.acf.hhs.gov/programs/orr>.

RELATED PROGRAMS:

93.566 Refugee and Entrant Assistance_State Administered Programs; 93.576 Refugee and Entrant Assistance_Discretionary Grants; 93.598 Services to Victims of a Severe Form of Trafficking

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Types of care included shelter care facilities, staff-secure facilities, secure detention for children with criminal backgrounds, therapeutic group homes, group homes, residential treatment centers for children with severe and acute mental illness, temporary foster care and long term foster care, and therapeutic foster care for children with criminal backgrounds, therapeutic group homes, group homes, residential treatment centers for children with

severe and acute mental illness, temporary foster care and long term foster care, and therapeutic foster care. Fiscal Year 2010: Expected types of care include shelter care facilities, staff-secure facilities, secure detention for children with criminal backgrounds, therapeutic group homes, group homes, residential treatment centers for children with severe and acute mental illness, temporary foster care and long term foster care, and therapeutic foster care. Fiscal Year 2011: Expected types of care include shelter care facilities, staff-secure facilities, secure detention for children with criminal backgrounds, therapeutic group homes, group homes, residential treatment centers for children with severe and acute mental illness, temporary foster care and long term foster care, and therapeutic foster care.

CRITERIA FOR SELECTING PROPOSALS:

Notice of evaluation criteria for program funds can be found at <http://www.acf.hhs.gov/programs/orr>.

Please refer to the Contact section for additional information.

93.701 TRANS-NIH RECOVERY ACT RESEARCH SUPPORT

Trans -NIH Recovery Act Research Support

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Section 301, 42 U.S.C 241.

OBJECTIVES:

To provide support for initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). These projects will provide economic stimulus to the nation while furthering the NIH mission to uncover new knowledge that will lead to better health for everyone.

TYPES OF ASSISTANCE:

Project Grants (Capacity Building and Complaint Processing, Training)

USES AND USE RESTRICTIONS:

To support research and other projects that will support fundamental biomedical discovery and translation of that knowledge into effective prevention strategies and new treatments while also providing economic stimulus to the nation. See above.

Applicant Eligibility:

U.S. Territories and possessions (includes institutions of higher education and hospitals).

Beneficiary Eligibility:

Federal, State, Local, Sponsored organization, Public nonprofit U.S. Territories institution/organization, Other public institution/organization, Federally Recognized Indian Tribal Governments, Specialized group (e.g. health professionals, students, veterans) , Private nonprofit institution/organization Small business, Profit organization, - Quasi-public nonprofit organization, Other private institution/organization, Native American Organizations.

Credentials/Documentation:

Applications must be submitted in compliance with instructions provided with each initiative. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Prepare and submit applications according to instructions provided in the applicable ARRA Funding Opportunities announcement. ARRA grant funding announcements for NIH can be found at <http://grants.nih.gov/recovery/>. This program is subject to the provisions of 45 CFR parts 74 and 92, and 42 CFR Part 52 as well as applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details,

including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal (competing continuation, type 2) applications to ARRA programs are not accepted unless specifically announced by the NIH Institute/Center.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

ARRA funds are available for FY2009 & FY2010 only. Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released:
A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. Annual progress reports and selected financial reports are required for continuing projects. Final progress reports, invention statements, and expenditure reports are required at the end of the projects. In addition, all projects funded with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0846-0-1-552 - TAFS Numbers: 75-0850, 75-0871, 75-0874, 75-0883, 75-0901, 75-0900, 75-0852, 75-0840, 75-0902, 75-0863, 75-0842, 75-0903, 75-0905, 75-0907, 75-0908, 75-0909, 75-0904, 75-0906, 75-0899, 75-0847, 75-0910, 75-0911, 75-0818, 75-0808, 75-0845.

Obligations:

(Project Grants (Capacity Building and Complaint Processing, Training)) FY 09 \$4,953,500,000; FY 10 est \$5,446,500,000; FY 11 est \$0 - the full amount ARRA funding was available for obligation during FY 2009 & FY 2010 and

was not assigned to a fiscal year, no funds for competing awards will be available in FY 2011.

Range and Average of Financial Assistance:

\$2,300 to \$3,800,000; \$620,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oer.htm>.

Regional or Local Office:

See Regional Agency Offices. Financial or Grants Management contact as provided on the initiative, or Director, Office of Policy for Extramural Research Administration (OPERA), OER, NIH for award policy or application issues: 301-435-0938.

Headquarters Office:

OER ARRA, see NIH ARRA Grants Page at Grants Contacts page at <http://grants.nih.gov/recovery/> and the NIH General Grants Contacts page at <http://grants.nih.gov/grants/contacts.htm>, Bethesda, Maryland 20892 Email: OER-ARRA@mail.nih.gov Phone: (301) 435-0714.

Website Address:

<http://www.nih.gov/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding decisions are based on scientific merit (42 CFR 52h) and program relevance. Scientific merit review encompasses: the peer review criteria detailed in the specific funding announcement, as well as the significance, approach, and innovation of the proposed research; the competency of the principal investigator; the adequacy of the resources and environment; the proposed budget and requested period of support; and, where applicable, the adequacy of the measures for protecting against adverse effects upon humans, animals, or the environment.

93.702 NATIONAL CENTER FOR RESEARCH RESOURCES, RECOVERY ACT CONSTRUCTION SUPPORT

NATIONAL CENTER FOR RESEARCH RESOURCES, RECOVERY ACT CONSTRUCTION SUPPORT

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 481A, 42 U.S.C 287.

OBJECTIVES:

To renovate existing research facilities and build new research facilities to meet basic and clinical space requirements, laboratory safety, biohazard containment, and animal care standards in order to support the facility demands of NIH research programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

New physical resources for research include basic research laboratories, clinical and support facilities, and those related to biohazard control that are integral parts of an overall effort. Proposed facilities must be part of an existing research effort or a developing research program. Facilities shall be used for research pertinent to the NIH mission for as long as needed. The Recovery Act has modified the usual period of Federal interest from 20 years to 10 years. Funds must be used for purposes stated in the approved grant application. See above.

Applicant Eligibility:

Construction/Renewal/Rehabilitation.

Beneficiary Eligibility:

Public nonprofit institution/organization.

Credentials/Documentation:

Application should include a complete research program description (with staff curricula vitae), space requirements for projected program, an environmental summary of the impact of the proposed facility as detailed in Public Law 90-190, the National Environmental Policy Act, and listing of all relevant licenses, permits, or other approvals required by State and local authorities. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit institutions. For grants issued to hospitals, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Appendix E, "Principles for Determining Costs Applicable to Research and Development Under Grants and Contracts with Hospitals". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication assistance is available from the address noted below under Information Contacts. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Prepare and submit applications according to instructions provided in the applicable ARRA Funding Opportunities announcement. This program is subject to the provisions of 45 CFR 74 including applicable federal cost principles as referenced, the NIH Grants Policy Statement, and the provisions in the funding announcement. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

Applications are reviewed for scientific and technical merit by a scientific review group and for program relevance by a national advisory council/board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the NIH Scientific/Research contact, as provided on an initiative. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
No information provided.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Grantee is responsible for performance of contractor. Contractor must be covered by performance and payment bonds. Grantees are required to file a Notice of Federal Interest at the time construction begins. In addition, all projects funded

with ARRA funds are subject to special quarterly reporting requirements as outlined in Section 1512 of the Recovery Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records, and also administrative records, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the grant period.

Account Identification:

75-0848-0-1-552.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$1,000,000,000; FY 11 est \$0 - There will be no available funds for competing awards in FY 2011.

Range and Average of Financial Assistance:

Awards will range from \$2M to \$20M total costs. The average award is expected to be \$10M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74; 42 CFR 52. NIH Grants Policy Statement, (Rev.) December 1, 2003. The PHS 398 may be obtained from NIH's Extramural Research Home Page: <http://grants1.nih.gov/grants/oe.htm>.

Regional or Local Office:

See Regional Agency Offices. Ms. Quadira Huff, Division Coordinator, Office of Grants Management, NCRR, 6701 Democracy Blvd., Room 1040, Bethesda, MD 20892-4874. (301) 435-0852; huffq@mail.nih.gov.

Headquarters Office:

Gregory K. Farber, 6701 Democracy Blvd., Room 960, Bethesda, Maryland 20892-4874 Email: farberg@mail.nih.gov Phone: (301) 435-08778.

Website Address:

<http://www.ncrr.nih.gov>

RELATED PROGRAMS:

93.310 Trans-NIH Research Support

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications that are complete and responsive to this program will be evaluated for scientific and technical merit by an appropriate peer review group. The major elements in evaluating proposals include: (1) significance and need of the project, (2) the description of project management and institutional commitment, (3) evaluation of the proposed design, (4) justification for requested equipment, (5) the commitment to sustainable design/environmentally friendly design principles.

93.703 ARRA GRANTS TO HEALTH CENTER PROGRAMS

ARRA Grants to Health Center Programs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5., Public Law 111-5.

OBJECTIVES:

The American Recovery and Reinvestment Act of 2009 (ARRA) provided funds to support grants for the following purposes: (1) to establish new access

points that will increase the number of underserved and uninsured persons with access to comprehensive primary and preventive health care (new access point); (2) to enable health centers to increase services at existing sites and to address spikes in demand to serve uninsured persons (increased demand for services); (3) to enable health centers to carry out alteration/repair/renovation projects; construction projects; health information technology and other equipment purchases (capital improvement projects); and (4) to support selected competitively-reviewed applications submitted by health centers to address significant and pressing capital improvement needs, including modernization, renovation and construction (facility improvement projects).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds may not be used to make cash payments to intended recipients of services. Specific restrictions were applicable to specific opportunities and were specified in applicable award notices. See above.

Applicant Eligibility:

Eligible applicants for new access point funding are public and private non-profit entities, including tribal, faith-based and community based organizations that demonstrate the capability to effectively administer the grant. Eligibility for other opportunities are limited to currently-funded health centers (93.224).

Beneficiary Eligibility:

Population groups in medically underserved areas.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required for the new access points opportunity. An applicant under the new access opportunity should consult the office of official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Preapplication coordination is not required for the other opportunities. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are generally solicited by a program announcement in www.hrsa.gov/grant. Application information may be downloaded from www.grants.gov.

Application and review procedures vary with the opportunity. Applications for new access points are subject to open competition. All currently-funded health centers are permitted to submit applications for increased demand for services and for capital improvement projects at funding levels targeted to each grantee based on that grantees current patient load.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Applicants who are selected for funding may be required to respond in a satisfactory manner to conditions placed on their application before funding may proceed. Letters of notification do not provide authorization to begin performance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The length of time between the application submission date, and the date by which applicants are notified, varies by the opportunity.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

After Notices of Grant Award are issued, funds are released after any applicable special conditions of the award are resolved to the satisfaction of the HRSA. After all conditions applicable to a project are resolved, funds are released in accordance with DHHS payment procedure. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Progress reports must be submitted quarterly for each applicable funded ARRA opportunity. Final Financial Status Reports are due 90 days after the end of each applicable two-year budget/project period. Other reports/documentations/verifications that must be submitted based on the requirements of a specific opportunity are noted in the application grant award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0351-0-1-550.

Obligations:

(Project Grants) FY 09 \$1,348,000,000; FY 10 est \$520,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range of assistance varies with the opportunity. Range in each case is for two year periods. Awards for new access points range from \$500,000 to \$1.3 million. Awards for increased demand for services range from \$101,000 to \$2.1 million. Awards for capital improvement projects range from \$250,000 to \$2,500,000. Awards for facility improvement projects range from \$800,000 to \$12,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program accomplishments are updated quarterly and made available on Recovery.gov. Fiscal Year 2010: Program accomplishments are updated quarterly and made available on Recovery.gov. Fiscal Year 2011: Program accomplishments are updated quarterly and made available on Recovery.gov.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None.

Headquarters Office:

Bureau of Primary Health Care 5600 Fishers Lane, Room 17-105, Rockville, Maryland 20857 Phone: 301-594-4110

Website Address:

<http://www.grants.gov>.

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Two of the four opportunities are assessed by objective review committees; the scoring criteria for these New Access Point and Facility Improvement Project applications are announced in advance in the funding opportunity announcement.

93.704 TRANS-NIH RECOVERY ACT LOAN REPAYMENT SUPPORT

Trans-NIH Recovery Act Loan Repayment Support

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C 288-5a.

OBJECTIVES:

To provide support for NIH loan repayment initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). Initiatives will attract and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in biomedical, behavioral or clinical research in a qualifying nonprofit institution or as an employee of the NIH for 2 years for new intramural and extramural contracts.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

To provide support for NIH loan repayment initiatives funded under The American Recovery and Reinvestment Act of 2009 (ARRA). Initiatives will attract and retain health professionals performing research in fields required by the NIH to carry out its mission by providing educational loan repayment for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in biomedical, behavioral or clinical research in a qualifying nonprofit institution or as an employee of the NIH for 2 years for new intramural and extramural contracts. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research beyond their initial contracts. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Eligible renewal applications that score within the funding range will receive continuation contracts from non-ARRA funding sources. The maximum program benefit is

\$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary State and local tax reimbursements.

Applicant Eligibility:

Small business (less than 500 employees).

Beneficiary Eligibility:

Specialized group (e.g. health professionals, students, veterans).

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility recertified by the Institute or Center which approved their application. Contact the NIH via E-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

At the conclusion of the ARRA 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the award of benefits. Eligible renewal applications that score within the funding range will receive continuation contracts from non-ARRA funding sources.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average contract cost which includes loan and tax reimbursement is \$48,718. See the following for information on how assistance is awarded/released: Not available.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

No Data Available.

Account Identification:

74-4554-0-1-552.

Obligations:

(Direct Payments for Specified Use) FY 09 not reported.; FY 10 est \$12,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average contract cost which includes loan and tax reimbursement is \$48,718.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 U.S.C. 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

None.

Headquarters Office:

Sherry Mills 6011 Executive Blvd., Room 206, MSC 7650, Bethesda, Maryland 20892-7650 Email: lrp@nih.gov Phone: (866) 849-4047 Fax: (866) 849-4046

Website Address:

<http://www.lrp.nih.gov/>

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Programs. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

93.705 AGING HOME-DELIVERED NUTRITION SERVICES FOR STATES

Aging Home-Delivered Nutrition Services for States

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Older Americans Act of 1965, Parts A and C, as amended.

OBJECTIVES:

Under the American Recovery and Reinvestment Act of 2009 to provide grants to States to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older Americans in order to maintain health, independence and quality of life. Meals will be delivered to the home where the older individual is homebound.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Local projects must provide a hot or other appropriate meal which complies with the Dietary Guidelines for Americans and provides one-third of the Dietary Reference Intakes (DRI), at least once per day, five or more days per week, except in rural areas where a lesser frequency is determined feasible, to eligible people aged 60 and over and their spouses.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Applicant Eligibility: Only States and U.S. Territories which have State Agencies on Aging designated by the governors and an approved state plan are eligible to receive these grants.

Beneficiary Eligibility: Older individuals aged 60 and over and their spouses, especially those older individuals with the greatest social need or those with the greatest economic need, and in certain cases, under age 60, if the individual is handicapped or disabled and resides with an older individual. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. NA

Award Procedure:

Awards are made based upon the formula established to states and territories who have a currently approved state plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 15%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants awarded will remain available until September 30, 2010. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

No program reports are required. Completed in the Payment Management System. Reporting as required under ARRA (Public Law 111-5), M-09-10, the OAA as amended, quarterly SF 269 Financial Status Report, quarterly Program Performance Report, and any additional reports as cited in the Notice of Award. Reporting as required under ARRA (Public Law 111-5), M-09-10, the OAA as amended, quarterly SF 269 Financial Status Report, quarterly Program Performance Report, and any additional reports as cited in the Notice of Award. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See audit requirements.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

95-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 not reported.; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Home-Delivered Nutrition Services: \$20,000 to \$3,200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: As of 3/31/2010, 5,829,708 meals have been served to 230,284 individuals. Fiscal Year 2011: Recovery Act funds no longer available.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92, 45 CFR 1321, 2 CFR, Public Law 111-5, M-09-10.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices.

Headquarters Office:

Bob Hornyak, Office of Home and Community-Based Services, Administration on Aging, DHHS
One Massachusetts Avenue, NW, Washington, District of Columbia 20201
Email: Robert.Hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services;
93.053 Nutrition Services Incentive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Non-competitive. State grants are awarded based on approval of a State plan.

93.706 AGING NUTRITION SERVICES FOR NATIVE AMERICANS

Aging Nutrition Services for Native Americans

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Older Americans Act of 1965, as amended.

OBJECTIVES:

Under the American Recovery and Reinvestment Act of 2009 to provide grants to Native American Tribal Organizations who are current grantees under Title VI of the Older Americans Act, as amended, to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services to maintain health, independence and quality of life. Meals may be served in a congregate setting or delivered to the home, if the older individual is homebound.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds are available through grants to eligible Indian Tribal Organizations for services comparable to those provided under Title III. Services must include nutrition services, information and referral. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+. Indian Tribes receive funds based on a formula that considers the number of eligible elder Indians sixty years of age or older represented by the tribal organizations with population ranges from 50-100, 101-200, 201-300, 301-400, 401-500, 501 to 1,500, and 1,501+.

Applicant Eligibility:

Current Title VI Part A/B grantees eligible to apply for ARRA assistance to provide and expand nutrition services and create or retain jobs.

Beneficiary Eligibility:

Applicants must be current Title VI grantees.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Applicant Eligibility: Tribal organizations of Indian tribes eligible for assistance under Section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b), which represent at least 50 Indians or Hawaiians 60 years of age or older. Applicants must demonstrate that they have the ability to deliver supportive and nutrition services.

Beneficiary Eligibility: Indians who are 60 years of age and older, and in the case of nutrition services, their spouses. Tribes also have the authority to define Indians under 60 years of age as "older Indian" making them eligible for services. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. No application is required. Current grant recipients under Title VI of the Older Americans Act will be awarded a grant to provide Nutrition Services. Awards will be made base upon the formula established.

Award Procedure:

Notification of award will be issued to the grantee.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals are processed in accordance with Administration on Aging regulations in 45 CFR 1326 and 1328.

Renewals:

PROGRAM UNDER RECOVERY ACT NOT BEING RENEWED.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants awarded will remain available until September 30, 2010. See the following for information on how assistance is awarded/released: payment management system.

Reports:

No program reports are required. Cash reports are not applicable. Reporting as required under ARRA (Public Law 111-5), M-09-10, and the OAA as amended. Reporting as required under ARRA (Public Law 111-5), M-09-10, the OAA as amended, quarterly Program Performance Report, quarterly SF 269 Financial Status Report, and any additional reports as cited in the Notice of Award. Reporting as required under ARRA (Public Law 111-5), M-09-10, and the OAA as amended. Reporting as required under ARRA (Public Law 111-5), M-09-10, the OAA as amended, quarterly Program Performance Report, quarterly SF 269 Financial Status Report, and any additional reports as cited in the Notice of Award. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Project Grants) FY 09 \$3,000,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Range from \$8,000 to \$22,000;

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, grants were awarded to 246 tribal organization and two Native Hawaiian organizations. As of July 30, 2010, over 34,000 Native American, Alaskan Native and Native Hawaiian elders have been served and over 570,000 meals provided as a direct result of the American Recovery and Reinvestment Act funding. Fiscal Year 2010: No award made in 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92, 45 CFR 1326, Public Law 111-5, M-09-10, NoA.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services Regional Offices.

Headquarters Office:

Yvonne Jackson, Department of Health and Human Services, Administration on Aging, Washington, District of Columbia 20201 Email: Yvonne.Jackson@aoa.hhs.gov Phone: (202) 357-3501.

Website Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

93.047 Special Programs for the Aging Title VI, Part A, Grants to Indian Tribes Part B, Grants to Native Hawaiians

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.707 AGING CONGREGATE NUTRITION SERVICES FOR STATES

Aging Congregate Nutrition Services for States

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

Older Americans Act of 1965, Parts A and C, as amended.; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Under the American Recovery and Reinvestment Act of 2009 to provide grants to States to support nutrition services including nutritious meals, nutrition education and other appropriate nutrition services for older Americans in order to maintain health, independence and quality of life. Meals will be served in a congregate setting.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Local projects must provide a hot or other appropriate meal which complies with the Dietary Guidelines for Americans and provides one-third of the Dietary Reference Intakes (DRI), at least once per day, 5 or more days per week, except in rural areas where a lesser frequency is determined feasible, to eligible people aged 60 and over and their spouses. See above.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Applicable costs and administrative procedures will be determined in accordance with Part 92 of Title 45 of the Code of Federal Regulations.

Applicant Eligibility: Only States and U.S. Territories which have State Agencies on Aging designated by the governors and an approved state plan are eligible to receive these grants.

Beneficiary Eligibility: Older individuals aged 60 and over and their spouses, especially those older individuals with the greatest social need or those with the greatest economic need, and in certain cases, under age 60, if the individual is handicapped or disabled and resides with an older individual. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. NA

Award Procedure:

Awards are made based upon the formula established to states and territories who have a currently approved state plan.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Appeals are processed in accordance with the HHS regulations in 45 CFR Part 1321, and Section 2112 of Title 28, U.S.C.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 15%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds for grants awarded will remain available until September 30, 2010. See the following for information on how assistance is awarded/released: Payment Management System.

Reports:

No program reports are required. Completed at Payment Management System. Reporting as required under ARRA (Public Law 111-5), M-09-10, the OAA as amended, quarterly SF 269 Financial Status Report, quarterly Program Performance Report, and any additional reports as cited in the Notice of Award. Reporting as required under ARRA (Public Law 111-5), M-09-10, the OAA as amended, quarterly SF 269 Financial Status Report, quarterly Program Performance Report, and any additional reports as cited in the Notice of Award. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. See audit requirements.

Records:

Records must be kept available for 3 years after submission of the final expenditure report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 not reported.; FY 10 est \$0; FY 11 est not reported.

Range and Average of Financial Assistance:

Congregate Nutrition Services: \$40,000 to \$6,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: As of 3/31/2010, 9,142,206 meals have been served to 582,743 individuals. Fiscal Year 2010: As of 3/31/2010, 9,142,206 meals have been served to 582,743 individuals. Fiscal Year 2011: Recovery Act funds no longer available for this CFDA.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92, 45 CFR 1321, 2 CFR, Public Law 111-5, M-09-10.

Regional or Local Office:

See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, Regional Offices.

Headquarters Office:

Robert Hornyak, Office of Home and Community-Based Services, Administration on Aging, DHHS
One Massachusetts Avenue, NW, Washington, District of Columbia 20201
Email: Robert.Hornyak@aoa.hhs.gov Phone: (202) 357-0150.

Website Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services;
93.053 Nutrition Services Incentive Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Non-competitive. State grants are awarded based on approval of a State plan.

93.708 ARRA - HEAD START

HS, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007, Public Law 110-134; American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the school readiness of low-income children. The objective of the Head Start program is to enhance the cognitive, social and emotional development of low-income children through the provision of comprehensive health, educational, nutritional, social and other services and to involve parents in their children's learning and to help parents make progress toward their educational, literacy and employment goals. Head Start also emphasizes the significant involvement of parents in the administration of their local Head Start programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible, i.e., from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs can serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their service area that are income eligible and predominantly serve children meeting the low-income criteria. Training and technical assistance grants are available to Head Start programs. The State Advisory Council funds will be awarded as one-time startup grants of not less than \$500,000 to those states that submit an acceptable application, consistent with the requirements of Section 642B of the Head Start Act. See above.

Applicant Eligibility:

Any government, federally-recognized Indian tribe, or public or private nonprofit or for profit agency which meets the requirements may apply for a grant. However, application will be considered only when submitted in response to a specific announcement, published via the Internet at the following website address: http://www.acf.hhs.gov/grants/grants_hsb.html, which solicits proposals to establish new Head Start Programs. Grantee agencies may subcontract with other child-serving agencies to provide services to Head Start children.

Beneficiary Eligibility:

Head Start/Early Head Start programs are for children from birth up to the age when the child enters the school system; however, Head Start programs only serve pre-school age children while Early Head Start programs serve children from birth through age three. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applications will be considered only when submitted in response to a specific announcement, published online at Grants.gov or on the ACF website: http://www.acf.hhs.gov/grants/grants_hsb.html, which solicits proposals to increase enrollment in current programs. The standard application forms as furnished by DHHS must be used for this program. An applicant should consult the office or official designated as the Single Point of Contact (SPOC) in his or her State for more information on the process. (NOTE: State/territory participation in the intergovernmental review process does not signify applicant eligibility for financial assistance under a program. A potential applicant must meet the eligibility requirements of the program for which it is applying prior to submitting an application to its SPOC.). Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Prepare and submit applications according to the instructions provided in the applicable ARRA Funding Opportunity Announcement which can be found online at Grants.gov or on the ACF website:

http://www.acf.hhs.gov/grants/grants_hsb.html. The Office of Head Start will provide each applicant agency with a completed check list showing exactly which items must be completed by each applicant.

Award Procedure:

Funds for local Head Start/Early Head Start programs, including a portion of training and technical assistance funds, are awarded by the Office of Head Start's Regional Offices. State Advisory Council grants will be awarded to the Governors office and awarded centrally by the Office of Head Start.

Deadlines:

May 08, 2009 to Jun 23, 2009

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

OHS Headquarters or Regional Offices will inform grantees of the application procedures for renewal.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 20%. Head Start grantees are required to provide 20 percent of the total cost of the program, although this may be waived wholly or in part if certain conditions pertain. Matching share may be in cash or in-kind fairly evaluated. The Federal share of the cost of State Advisory Councils activities conducted under section 642B of the Head Start Act shall be 30 percent and the state must provide the non-Federal share of 70 percent.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded under the authority of ARRA are available for obligation through September 30, 2010. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Semiannual financial and annual program reports are required. See the following for information on how assistance is awarded/released: State Advisory Council grants will be awarded to the Governors office and awarded centrally by the Office of Head Start.

Reports:

Semiannual financial and annual program reports are required. Funded grantees must submit quarterly reports required by Section 1512 of the Recovery Act. No cash reports are required. No progress reports are required. Expenditure data is included as part of the quarterly reporting requirements of Section 1512 of the Recovery Act. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR, Parts 74 and 92.

Records:

Recipient must maintain information on children and families served, including income data to determine eligibility and data on services provided. Data should be kept for three years.

Account Identification:

75-1537-0-1-506.

Obligations:

(Project Grants) FY 09 \$570,000,000; FY 10 est \$430,000,000; FY 11 est not reported. - Estimate not available for FY 2011.

Range and Average of Financial Assistance:

\$100,000-\$5,000,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Head Start expansion funds provided through the American Recovery and Reinvestment Act of 2009 support services to an additional 13,000 children and families. Fiscal Year 2010: Recovery funds will support services to the same number of children as in FY 2009. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 1311. These are available electronically at the following ACF website: <http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/Head%20Start%20Requirements/Head%20Start%20Requirements>

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Louise Eldridge, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150.

Region II (NJ, NY, PI, VI):

Iran Rodriquez, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173.

Region III (DC, DE, MD, PA, VA, WV):

Nancy Elmore, Regional Program Manager, Administration for Children and Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Marsha Lawrence, Regional Program Manager, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61- Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2841.

Region V (IL, IN, MI, MN, OH, WI):

Kay Willmoth, Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-7562.

Region VI (AR, LA, NM, OK, TX):

Susan Johnston, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844.

Region VII (IA, KS, MO, NE):

Lynda Bitner, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275.

Region VIII (CO, MT, ND, SD, UT, WY)

Ross Weaver, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1106.

Region IX (AZ, CA, HI, NV):

Jan Len, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8447.

Region X (AK, ID, OR, WA):

Nancy Hutchins, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 2201 Sixth Avenue, room 610 - M/S RX-70, Seattle, WA 98121. Telephone: (206) 615-3661.

Headquarters Office:

Colleen Rathgeb, 1250 Maryland Avenue, S.W., Washington, District of Columbia 20024 Email: colleen.rathgeb@acf.hhs.gov Phone: (202) 205-7378.

Website Address:

<http://www2.acf.dhhs.gov/programs/hsb>.

RELATED PROGRAMS:

93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: 1) Full-Year and Full-Day Head Start Programs; (2) Full-Year and Part-Day Head Start Programs; and (3) Part-Year and Part-Day Head Start Programs. Fiscal Year 2010: Same as in FY 2009. Fiscal Year 2011: N/A.

CRITERIA FOR SELECTING PROPOSALS:

The degree to which the proposed project will meet the Head Start Program Performance Standards located on the ACF website:
<http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/Head%20Start%20Requirements/Head%20Start%20Requirements> and other program objectives as specified in the program announcement.

93.709 ARRA - EARLY HEAD START

EHS, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Head Start Act, as amended by the Improving Head Start for School Readiness Act of 2007, Public Law 110-134.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the development of infant and toddler age children. The objective of the Early Head Start program is to enhance the cognitive, social and emotional development of low-income children, including children on federally recognized reservations and children of migratory farm workers, through the provision of comprehensive health, educational, nutritional, social and other services and to involve parents in their childrens learning and to help parents make progress toward their educational, literacy and employment goals. Early Head Start also emphasizes the significant involvement of parents in the administration of their local Early Head Start programs.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

At least 90 percent of the enrollees in a program must be income eligible, i.e., from families whose income is below the poverty line, from families receiving public assistance, from homeless families or children in foster care. Programs may serve an additional 35 percent of participants with incomes up to 130 percent of poverty if they can demonstrate that they already are meeting the needs of children below the poverty line in the area served. American Indian and Alaska Native programs may enroll additional over-income children if they enroll all children in their services area that are income eligible and predominantly serve children meeting the low income criteria. Up to 10 percent of the funding is for training and technical assistance grants and up to 3 percent is for monitoring the program. See above.

Applicant Eligibility:

Any government, federally-recognized Indian tribe, or public or private nonprofit or for profit agency which meets the requirements may apply for a grant. However, application will be considered only when submitted in response to a specific announcement, published via the Internet at the following website address: http://www.acf.hhs.gov/grants/grants_hsb.html, which solicits proposals to establish new Head Start Programs. Grantee agencies may subcontract with other child-serving agencies to provide services to Head Start children.

Beneficiary Eligibility:

Early Head Start programs serve children from birth through age three. No less than 10 percent of each Head Start program's enrollment shall be for children with disabilities.

Credentials/Documentation:

Nonprofit organizations must submit proof of nonprofit status. Applicable costs and administrative procedures will be determined in accordance with Part 74 and 92 of Title 45 of the Code of Federal Regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applications will be considered only when submitted in response to a specific announcement, published online at Grants.gov or on the ACF website: http://www.acf.hhs.gov/grants/grants_hsb.html, which solicits proposals to establish new Early Head Start Programs or increase enrollment in current programs. The standard application forms as furnished by DHHS must be used for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Prepare and submit applications according to the instructions provided in the applicable ARRA Funding Opportunity Announcement which can be found online at Grants.gov or on the ACF website: http://www.acf.hhs.gov/grants/grants_hsb.html. The Office of Head Start will provide each applicant agency with a completed check list form showing exactly which items must be completed by each applicant and delegate agency.

Award Procedure:

All funds are awarded directly to existing and/or new grantees. Funds for local Early Head Start programs, including a portion of training and technical assistance funds, are awarded by the Office of Head Start's Regional Offices. Funds for the following are awarded by the Central Office of Head Start: American Indian and Alaskan Native programs; Migrant and Seasonal programs; and some training and technical assistance efforts.

Deadlines:

May 08, 2009 to Jul 09, 2009

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Other - Not Specified.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 20%. Head Start grantees are required to provide 20 percent of the total cost of the program, although this may be waived wholly or in part if certain conditions pertain. Matching share may be in cash or in-kind fairly evaluated. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds awarded under the authority of ARRA are available for obligation through September 30, 2010. Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Semiannual financial and annual program reports are required. See the following for information on how assistance is awarded/released: Funds are released by monthly allowance on an Electronic Transfer System or by monthly check. Semiannual financial and

annual program reports are required.

Reports:

Semiannual financial and annual program reports are required. Funded grantees must submit quarterly reports required by Section 1512 of the Recovery Act. No cash reports are required. No progress reports are required. Expenditure data is included in the quarterly reports required by Section 1512 of the Recovery Act. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are conducted in accordance with the requirements in 45 CFR, Parts 74 and 92.

Records:

Grantee must maintain an accounting system adequate to meet the purposes of the grant. Requirements for records are found in 45 CFR, Part 74 and 92.

Account Identification:

75-1537-0-1-506.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$1,050,000,000; FY 11 est not reported. - Estimate not available for FY 2011.

Range and Average of Financial Assistance:

\$100,000-\$5,000,000; \$1,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: N/A. Fiscal Year 2010: Early Head Start expansion funds provided through the American Recovery and Reinvestment Act support services to an additional 48,000 children and families. Fiscal Year 2011: N/A.

REGULATIONS, GUIDELINES, AND LITERATURE:

Chapter XIII of Title 45 Code of Federal Regulations, including 45 CFR 1301 1311. These are electronically at the following ACF website:
<http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/Head%20Start%20Requirements/Head%20Start%20Requirement>

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Louise Eldridge, Regional Program Manager, Administration for Children and Families, Office of Head Start, Boston Regional Office, JFK Building, Rm. 2000, 15 New Sudbury Street, Boston, MA 02203. Telephone: (617) 565-1150.

Region II (NJ, NY, PI, VI):

Iran Rodriquez, Regional Program Manager, Administration for Children and Families, Office of Head Start, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 173.

Region III (DC, DE, MD, PA, VA, WV):

Nancy Elmore, Regional Program Manager, Administration for Children and Families, Office of Head Start, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4048.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Marsha Lawrence, Regional Program Manager, Administration for Children and Families, Office of Head Start, Atlanta Regional Office, Atlanta Federal Center, 61- Forsyth Street, SW, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2841.

Region V (IL, IN, MI, MN, OH, WI):

Kay Willmoth, Regional Program Manager, Administration for Children and Families, Office of Head Start, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-7562.

Region VI (AR, LA, NM, OK, TX):

Susan Johnston, Regional Program Manager, Administration for Children and Families, Office of Head Start, Dallas Regional Office, 1301 Young Street, Room 914, Dallas, TX 75202. Telephone: (214) 767-8844.

Region VII (IA, KS, MO, NE):

Lynda Bitner, Regional Program Manager, Administration for Children and Families, Office of Head Start, Kansas City Regional Office, 601 East 12th Street, Rm. 276, Kansas City, MO 64106. Telephone: (816) 426-2275.

Region VIII (CO, MT, ND, SD, UT, WY)

Ross Weaver, Regional Program Manager, Administration for Children and Families, Office of Head Start, Denver Regional Office, 1961 Stout Street, Suite 924, Denver, CO 80294. Telephone: (303) 844-1106.

Region IX (AZ, CA, HI, NV):

Jan Len, Regional Program Manager, Administration for Children and Families, Office of Head Start, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8447.

Region X (AK, ID, OR, WA):

Nancy Hutchins, Regional Program Manager, Administration for Children and Families, Office of Head Start, Seattle Regional Office, 2201 Sixth Avenue, room 610 - M/S RX-70, Seattle, WA 98121. Telephone: (206) 615-3661.

Headquarters Office:

Colleen Rathgeb, 1250 Maryland Avenue, S.W., Washington, District of Columbia 20024 Email: colleen.rathgeb@acf.hhs.gov Phone: (202) 205-7378.

Website Address:

<http://www2.acf.dhhs.gov/programs/hsb>.

RELATED PROGRAMS:

93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: (1) Full-Year and Full-Day Head Start Programs; (2) Full-Year and Part-Day Head Start Programs; and (3) Part-Year and Part-Day Head Start Programs. Fiscal Year 2011: N/A.

CRITERIA FOR SELECTING PROPOSALS:

The degree to which the proposed project will meet the Head Start Program Performance Standards located on the ACF website:
<http://eclkc.ohs.acf.hhs.gov/hslc/Program%20Design%20and%20Management/Head%20Start%20Requirements/Head%20Start%20Requirements> and other program objectives as specified in the program announcement.

93.710 ARRA - COMMUNITY SERVICES BLOCK GRANT

CSBG, Recovery Act.

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5; Community Services Block Grant Act (CSBG Act), as amended by the Community Opportunities, Accountability, Training, and Educational Services Act of 1998, Public Law 105-285.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well-being of children, youth,

families and communities. Objectives are to provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, revitalization of low-income communities, and empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of Title IV of the Social Security Act). Additional objectives are (1) to provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious food, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of such services to low-income individuals.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Uses and restrictions for CSBG Recovery Act funds differ from the uses and restrictions that apply to allotments to States from regular CSBG annual appropriations with regard to use and percentage of funds retained by the States. As with regular CSBG grants, States receive CSBG Recovery Act funds to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. States are required to pass through 99 percent of their Recovery Act allocations for grants to eligible entities, as defined in the CSBG Act and use the remaining one percent of funds for benefits enrollment coordination activities relating to the identification and enrollment of eligible individuals and families. See Above.

Applicant Eligibility:

The Secretary is authorized to make grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Island, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants.

Beneficiary Eligibility:

States make grants to qualified locally-based nonprofit community anti-poverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed 200 percent of the official poverty line.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Under the regularly-funded CSBG Program, each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of HHS. For Recovery Act funds, States will receive a separate allotment of funds based on the statutory formula. Within 30 days of receiving new grant allotments, each State must submit an application amendment outlining the intended use of Recovery Act funds, to include how the State will carry out the assurances in Section 676(b) of the CSBG Act. The application amendment must be a separate document from the original application and must contain assurances by the Chief Executive Officer of the State that the State will comply with the allowable uses of funds under Section 675C of the CSBG Act, with modifications to allowable uses specified in the Recovery Act. The application amendment must set forth the general purposes for which funds will be used, including a description of the State intended use for benefits enrollment coordination activities relating to the identification and enrollment of eligible individuals and families in Federal, State, and local benefit programs. The State also must describe how it will incorporate monitoring of Recovery Act funds into its regular monitoring of CSBG eligible entities. This includes descriptions of how the State will monitor restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. States also must include a description of how they plan to track CSBG funds from ARRA separately from CSBG funds provided through the regular FY 2009 and 2010 appropriations process. In addition, States must indicate how they plan to evaluate the effectiveness of ARRA CSBG funds, including tracking the number of jobs created. This program is excluded from coverage under 45 CFR Part 1050.

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the CSBG Act. The Office of Management and Budget (OMB) has the authority to apportion to HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Funds may be withheld from any State which does not utilize its allotment substantially in accordance with the provisions of the CSBG Act, the American Recovery and Reinvestment Act, and the assurances provided in its application. This may be done only after adequate notice and an opportunity for a hearing conducted within the affected State.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title 45, Chapter 96.71, Part 96, Subpart G, Public Law 97-35. States are required to pass through 99 percent of their Recovery Act allocations for grants to eligible entities, as defined in the CSBG Act and use the remaining one percent of funds for benefits enrollment coordination activities relating to the identification and enrollment of eligible individuals and families.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Formula grant awards will be made in FY 2009. Grant funds allotted to the State will be awarded in accordance with apportionment of funds from OMB. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year. See the following for

information on how assistance is awarded/released: Formula grant awards will be made in FY 2009.

Reports:

Funded grantees must submit quarterly reports required by Section 1512 of the Recovery Act. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. States must conduct financial and compliance audits of block grant funds annually in accordance with Section 678D of the CSBG Act.

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracking of funds to a level of expenditure adequate to ensure that funds have not been spent unlawfully.

Account Identification:

75-1537-0-1-506 - TAFS: 75-0910-1537.

Obligations:

(Formula Grants) FY 09 \$991,637,000; FY 10 est \$8,363,000; FY 11 est \$0

Range and Average of Financial Assistance:

TBD based on statutory formula.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, 133 grants were awarded. Fiscal Year 2010: In FY 10, 50 grants will be awarded. Fiscal Year 2011: It is anticipated that 0 grants will be awarded in FY 11.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 16, 45 CFR Part 74 and 45 CFR Part 96. All ARRA Standard Terms and Conditions will be applicable to the grantee. Also reference the HHS Grants Policy Statement available online at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>

Regional or Local Office:

None.

Headquarters Office:

Division of State Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services 370 L'Enfant Promenade, SW., Washington, District of Columbia 20201 Email: POC@DHS.GOV Phone: (202) 401-2333

Website Address:

<http://www.acf.hhs.gov/programs/ocs/csbg/>

RELATED PROGRAMS:

93.045 Special Programs for the Aging Title III, Part C Nutrition Services; 93.048 Special Programs for the Aging Title IV and Title II Discretionary Projects; 93.568 Low-Income Home Energy Assistance; 93.570 Community Services Block Grant Discretionary Awards; 93.600 Head Start

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.711 ARRA STRENGTHENING COMMUNITIES FUND

SCF, Recovery Act.

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well-being of children, youth, families, and communities.

The objectives of the Strengthening Communities Fund (SCF) are to (1) fund entities to provide training, technical assistance, and financial assistance to local faith-based and community organizations enabling them to more fully participate in economic recovery and (2) build the capacity of State, Local, and Tribal governments to provide outreach to faith-based and community-based organizations. The funding is used to build capacity of government and nonprofit organizations to partner with local faith-based and community-based organizations, with a focus on expanding economic recovery efforts, increasing community access to public benefits (including ARRA benefits), and helping low- and moderate-income people secure and retain employment.

SCF is composed of two programs:

(1) SCF Nonprofit Capacity Building Program

The focus of the SCF Nonprofit Capacity Building Program is to fund organizations that provide training, technical assistance, and competitive financial assistance to faith-based and community-based nonprofit organizations to help them address the broad economic recovery issues present in their communities, including helping low-income individuals secure and retain employment, earn higher wages, obtain better-quality jobs, and increase access to State and Federal benefits and tax credits.

(2) SCF Government Capacity Building Program

The focus of the SCF State, Local, and Tribal Government Capacity Building Program is to build the sustainability and effectiveness of the government entities that provide outreach to faith-based and community-based organizations. Ultimately, these partnerships will enable local nonprofit organizations to better serve those in need and to increase nonprofit organizations' involvement in the economic recovery. Government entities or their designees will use program funds to develop their capacity to serve as a resource to nonprofit organizations and other government agencies regarding ARRA funding/benefits to ensure that disadvantaged and hard-to-serve populations are aware of and understand how to access the benefits and services described in the ARRA. Grantees also will use program funds to offer free capacity building services to nonprofit organizations to improve awareness of and access to ARRA efforts/benefits, improve nonprofits organizational capacities to be active participants in ARRA efforts, and facilitate partnerships between and among nonprofits and other government agencies. For example, grantees could establish a beneficiary benefits clearinghouse for individuals and a funding opportunities clearinghouse for nonprofit organizations, provide outreach and education, and deliver training and technical assistance.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Under the SCF Nonprofit Capacity Building Program, lead organizations assist nonprofit project partners in distressed communities with capacity building activities in four critical areas: (1) organizational development, (2) program development, (3) collaboration and community engagement (4) leadership development and (5) evaluating their effectiveness. Capacity building activities are designed to increase an organization's sustainability and effectiveness, enhance its ability to provide social services, and create collaborations to better serve those in need. Lead organizations use the award funds to provide two services within their communities: (1) training and technical assistance to nonprofit organizations; and (2) competitive financial assistance to some subset of the nonprofit organizations receiving training and technical assistance. Training and technical assistance activities are conducted at no cost to interested nonprofit organizations. Each organization will also provide subawards to local non-profits to help these organizations build the capacity to expand and strengthen programs and services related to helping low-income individuals secure and retain employment, earn higher wages, and obtain better-quality jobs. Examples of these capacity building activities include staff training, technical assistance, and materials and equipment to enhance

efficiency or enable the ability to provide a new service. Funds also help organizations build capacity to expand and strengthen programs aimed at increasing low- and moderate-income individuals access to the state and Federal benefits and tax credits for which individuals are eligible. Examples include developing organizational capacity to offer a counselor-assisted centralized electronic screening and application tool, establishing procedures to assess eligibility of prospective and current clients for benefits and tax credits, developing referral protocols with other local service providers, developing a guide/clearinghouse of benefits and tax credits, and developing the capacity to offer free tax preparation assistance.

Under the SCF State, Local, and Tribal Government Capacity Building Program, funds are awarded to State, city, county and tribal government offices (e.g., offices responsible for outreach to faith-based and community organizations or those offices interested in initiating such an effort) or their designees for the purpose of building the sustainability and effectiveness of the government entities, other government agencies and ultimately local nonprofits to better serve those in need. Specifically, funds are used to build the capacity of organizations that are contributing to the economic recovery and help Federal, State, and local governments ensure that the information and services described in the ARRA reach disadvantaged and hard-to-serve populations. Grantees use program funds to provide free capacity building services to nonprofit organizations, such as developing a funding opportunities clearinghouse and/or training and technical assistance to improve access to ARRA funding/benefits and to improve organizational capacity to be competitive applicants for ARRA funding/benefits. Grantees also will use program funds to build their own capacity to provide these services to nonprofits. Priority for awards will be given to State and Indian/Native American Tribal governments and such governments designees. Grantees must also use funds to measure their own effectiveness and help non-profit organizations develop ways to measure their effectiveness. Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are unallowable under this program. Awards will not allow reimbursement of pre-award costs. Construction is not an allowable activity or expenditure under this program. Purchase of real property is not an allowable activity or expenditure under this program. The SCF grantees and project partners (including subawardees) may not use funds for direct social service provisions. Funds are intended to help grantees and their partners build and strengthen their capacity to provide services. The cost of the augmentation or supplanting of direct services is unallowable. Direct Federal grants, financial assistance funds, or contracts under the Department of Health and Human Services shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Organizations that receive HHS funds may not engage in inherently religious activities, such as worship, religious instruction, or proselytization, as part of the programs or services funded with HHS funds. An organization receiving a SCF award shall not, in providing Federally-funded services, discriminate against a program beneficiary or prospective program beneficiary on the basis of religion or religious belief. SCF program funding may not pay for capacity building activities that support medical and/or health care related activities or items such as medical equipment or supplies or medically-oriented trainings, certifications, or licensures. SCF Nonprofit Capacity Building Program lead organizations may not provide competitive financial assistance to a health care organization. A grantee is not to use award funds to provide a contract to organizations that provide the grantee with a cost share match.

Applicant Eligibility:

(SCF Nonprofit Capacity Building Cooperative Agreements): state governments; county governments; city or township governments; special district governments; public and state-controlled institutions of higher education; Indian/Native American Tribal governments (Federally recognized); nonprofits with 501(c)(3) IRS status (other than institutions of higher education); nonprofits without 501(c)(3) IRS status (other than institutions of higher education); private institutions of higher education; for profit organizations (other than small businesses); small businesses; and faith-based and community organizations that meet the statutory eligibility requirements. Application Eligibility (SCF State, Local, and Tribal Government Capacity Building Grants): state governments; county governments; city or township

governments; Indian/Native American Tribal governments (federally recognized); nonprofits with or without 501(c)(3) IRS status (other than institutions of higher education), if designated as Authorized Entities by the government entity.

Beneficiary Eligibility:

Funds are used to build the capacity of organizations that are contributing to the economic recovery and help Federal, State, and local governments ensure that the information and services described in the ARRA reach disadvantaged and hard-to-serve populations. Funds help organizations build the capacity to expand and strengthen programs and services related to helping low-income individuals secure and retain employment, earn higher wages, and obtain better-quality jobs. Funds also help organizations build the capacity to expand and strengthen programs that increase low- and moderate-income individuals access to the state and federal benefits and tax credits for which individuals are eligible, such as by offering counselor-assisted use of a centralized electronic eligibility screening tool that simplifies the benefit application process. A nonprofit organization receiving training, technical or financial assistance under this program could operate a one-stop center where individuals can obtain free, customized benefits information, tax information, information on ARRA, and/or apply for benefits and file tax returns.

Credentials/Documentation:

The ARRA SCF Funding Opportunity Announcements will specify the required documentation that must be submitted with the applications and by time of the award. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Prepare and submit applications according to the instructions provided in the applicable ARRA SCF Funding Opportunity Announcement which can be found online at Grants.gov or on the ACF website: <http://www.acf.hhs.gov/grants/>.

Award Procedure:

This program is no longer issuing new awards.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 20%. Awardees must provide at least 20 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF (Federal) share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Awardees will be held accountable for commitments of non-Federal resources even if they exceed the amount of the required match. Failure to provide the required amount will result in the disallowance of federal funds. A lack of supporting documentation at the time of application will not exclude the application from competitive review. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

SCF grants and cooperative agreements are for project/budget periods of 24 months. Method of awarding/releasing assistance: lump sum.

Reports:

Funded organizations must submit quarterly reports required by Section 1512 of the Recovery Act. In addition, funded grantees are required to submit Performance Progress Reports, and semi-annual financial status reports using the required standard form (SF-269) which is located on the Internet at: <http://forms.psc.gov/forms/sf/SF-269.pdf>. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

SCF complies with 74.50 Purpose of reports and records, 74.51 Monitoring and reporting program performance and 74.52 Financial reporting of Title 45 of the Code of Federal Regulations.

Account Identification:

75-1537-0-1-506 - TAFS: 75-0910-1537.

Obligations:

(Cooperative Agreements) FY 09 \$46,000,000; FY 10 est \$0; FY 11 est \$0 - No funding requested.

Range and Average of Financial Assistance:

\$1,000,000 for 24 months for SCF Nonprofit Capacity Building Program; \$250,000 for 24 months for SCF State, Local, and Tribal Government Capacity Building Program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Manager, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, DC 20447. Contact: Thom Campbell, Telephone: (202) 401-5483 (office); (202) 401-4719 (fax); thom.campbell@acf.hhs.gov.

Headquarters Office:

Thom Campbell, Office of Community Services (OCS), Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, 5th Floor West, Washington, District of Columbia 20447 Email: thom.campbell@acf.hhs.gov Phone: (202) 401-5483 Fax: (202) 401-4719 .

Website Address:

<http://www.acf.hhs.gov/programs/ocs/index.html>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant organizations are evaluated on the following criteria: Project Abstract, Objectives and Need for Assistance, Approach, Organizational Profiles, Evaluation and Budget and Budget Justification. Applicants will be evaluated under the budget and budget justification criteria on the extent to which they include a budget that is clear, easy to understand, and provides a detailed justification for the amount requested.

93.712 ARRA - IMMUNIZATION

Immunization Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Health Service Act Section 301, 42 U.S.C. 241, and Section 317, 42 U.S.C. 247b, as amended; Health Services and Centers Amendments of 1978, Public Law 95-626; Omnibus Budget Reconciliation Act of 1981.

OBJECTIVES:

The American Recovery and Reinvestment Act (ARRA) appropriated \$300 million to the Section 317 Immunization program (Section 317) which funds 64 immunization programs that include all 50 states, Washington DC, 5 urban areas, the U.S. Territories, and selected Pacific Island nations. Funding supports states and communities in establishing and maintaining preventive health service programs to immunize individuals against vaccine-preventable diseases.

This investment will expand access to vaccines and vaccination services by augmenting current Section 317 activities. Specifically by:

Provision of financial support to state and local immunization programs
Making recommended vaccines available in all states through the existing network of private and public immunization providers and supporting and expanding the network of providers as needed

Expanding access to influenza, zoster, and childhood vaccines through school-associated immunization programs and through innovative vaccine delivery activities in the community

Providing grants to immunization programs to conduct needs assessments and develop plans that will enable health departments to bill private insurance for immunization services provided to insurance plan members

Increasing national public awareness and knowledge about the benefits and risks of vaccines and vaccine-preventable diseases

Enhancing assessments of vaccine coverage, vaccine impact, vaccine effectiveness and vaccine adverse events.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds may be used for costs associated with planning, organizing, conducting and supporting immunization programs directed toward vaccine-preventable diseases, and for the implementation of other program elements as described in Section :050: above and through CDC allowable and unallowable expenses.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide for this requirement through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. Applicants will not be able to use any other SF424 (R&R) forms (e.g., sample forms, forms from another FOA) although some of the "Attachment" files may be useable for more than one FOA.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for State and local governmental agencies, and by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

All applications that are complete and responsive to non-competitive supplemental grant announcements will be evaluated for scientific and technical merit and receive support. Support will be need based and may be formula driven. Applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the American Recovery and Reinvestment Act.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: until 12/31/2010. Budget Period: 24 months. See the following for information on how assistance is awarded/released: Through a grant and/or cooperative agreement via Notice of Award.

Reports:

No program reports are required. Cash reports are not applicable. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below.

Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information:

- a. The total amount of ARRA funds under this award;
- b. The amount of ARRA funds received under this award that were obligated and expended to projects or activities;
- c. The amount of unobligated award balances;
- d. A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including
 - The name of the project or activity;
 - A description of the project or activity;
 - An evaluation of the completion status of the project or activity;

An estimate of the number of jobs created and the number of jobs retained by the project or activity; and

For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment.

e. Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282).

For any sub-award equal to or larger than \$25,000, the following information:

- The name of the entity receiving the sub-award;
 - The amount of the sub-award;
 - The transaction type;
 - The North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number;
 - Program source;
 - An award title descriptive of the purpose of each funding action;
 - The location of the entity receiving the award;
 - The primary location of performance under the award, including the city, State, congressional district, and country; and
 - A unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.
- f. All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.
- g. Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted.
- h. Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award.

Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in award notices.

Final financial status and performance reports are required 90 days after the end of the project period. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The audit must be completed along with a data collection form, and the reporting package shall be submitted within the earlier of 30 days after receipt of the auditors report(s), or 9 months after the end of the audit period. The audit report must be sent to: Federal Audit Clearing House, Bureau of the Census, 1201 East 10th Street, Jeffersonville, IN 47132. Should you have questions regarding the submission or processing of your Single Audit Package, contact the Federal Audit Clearinghouse at: (301) 763-1551, (800) 253-0696 or email: govts.fac@census.gov The grantee is to ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grant funds received exceed \$500,000). The grantee must also ensure that appropriate corrective action is taken within 6 months after receipt of the sub-recipient audit report in instances of non-compliance with Federal law and regulations. The grantee is to consider whether sub-recipient audits necessitate adjustment of the grantees own accounting records. If a sub-recipient is not required to have a program-specific audit, the Grantee is still required to perform adequate monitoring of sub-recipient activities. The grantee is to require each sub-recipient to permit independent auditors to have access to the sub-recipients records and financial statements. The grantee should include this requirement in all sub-recipient contracts.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0942-0-1-550.

Obligations:

(Salaries) FY 09 \$99,971,488; FY 10 est \$12,135,316; FY 11 est \$0 - 2011 No ARRA funds available.

Range and Average of Financial Assistance:

From \$30,000 to \$7,500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 99971488. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55 b. Guidelines are available. 45 CFR 92, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Mr. Michael Detmer, Management Officer, National Center for Immunization and Respiratory Diseases, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop E-05, Atlanta, GA 30333. Telephone: (404) 639-8900. Fax: (404) 639-8626. E-mail: MMD4@cdc.gov.; Grants Management Contact: Sharron Orum, Procurement and Grants Office, Centers for Disease Control and Prevention, Department of Health and Human Services, 2920 Brandywine Road, Room 3618, Atlanta, GA 30341. Telephone: (770) 488-2716.

Headquarters Office:

Joni Young, National Center for Preparedness, Detection, and Control of Infectious Diseases, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop A07, Atlanta, Georgia 30333 Email: JYoung@cdc.gov Phone: (404) 639-4025 Fax: (404) 639-4043.

Website Address:

<http://www.cdc.gov/>; www.Grants.gov

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.268 Immunization Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) The extent of the problem; (2) the establishment of specific and measurable objectives to address the problem; and (3) the development of a sound operational plan which will ensure the implementation of each program element and appropriate use of ARRA funds. In determining awarded amounts, population characteristics including breakdown of population by age category, poverty status, percentage who are non-English speaking, residence (percentage living in rural versus urban/suburban areas) and immunization coverage levels may be considered. Other criteria will be listed in individual funding opportunity announcements.

93.713 ARRA CHILD CARE AND DEVELOPMENT BLOCK GRANT

Child Care and Development Block Grant (CCDBG), Recovery Act Funds.

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5, 42 U.S.C 9858; Child Care and Development Block Grant Act of 1990, Public

Law 101-508, 42 U.S.C 9858.

OBJECTIVES:

The ARRA - CCDBG is a part of the Child Care and Development Fund (CCDF) program, along with Child Care Discretionary Funds (CFDA number 93.575) the Child Care Mandatory and Matching Funds (CFDA number 93.596). This supplemental FY 2009 CCDBG funding was appropriated under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5). This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well being of children, youth, families, and communities. Objectives under the CCDBG Act are: To make grants to States, Territories, Tribes, and tribal organizations for child care assistance for low-income families and to: (1) allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within such State; (2) promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs; (3) encourage States to provide consumer education information to help parents make informed choices about child care; (4) assist States to provide child care to parents trying to achieve independence from public assistance; and (5) assist States in implementing the health, safety, licensing, and registration standards established in State regulations.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Congress directs that Recovery Act funds appropriated for the CCDBG must be used to supplement, not supplant, State general revenue funds for child care assistance for low-income families. Lead Agencies must use CCDBG Recovery Act Funds for child care services on a sliding fee scale basis, activities that improve the quality or availability of such services, and other activities that realize the goals of the CCDBG Act. Not more than 5 percent of the aggregate amount of CCDF Funds, including CCDBG Recovery Act Funds, expended by the State or Territory (15 percent for Tribes or tribal organizations) may be expended for administrative costs incurred by the State or Territory to carryout all of its functions and duties. The term "administrative costs" does not include the costs of providing direct services. A State or Territory shall use not less than 4 percent of the aggregate CCDF Funds, including CCDBG Recovery Act Funds, to improve child care quality and availability including comprehensive consumer education, activities to increase parental choice, and other activities such as resource and referral services, provider grants and loans, monitoring and enforcement of requirements, training and technical assistance, and improved compensation for child care staff. In addition to the minimum four percent quality expenditures, \$255,186,000 of the CCDBG Recovery Act Funds shall be reserved by the States for quality improvement activities, of which \$93,587,000 shall be for activities that improve the quality of infant and toddler care. Except for approved construction of child care facilities by Tribal Grantees, no CCDBG Recovery Act Funds may be used for the purchase or improvement of land, or for the purchase, construction, or permanent improvement of any building or facility (other than for minor remodeling and for upgrading facilities to meet State and local child care standards). No CCDBG Recovery Act Funds provided directly to child care providers through grants or contracts may be expended for any sectarian purpose or activity, including sectarian worship or instruction; however, Grantees must give parents the option of receiving vouchers or certificates to allow parents the choice of faith-based or community child care providers. No CCDBG Recovery Act Funds may be provided for any services provided to students enrolled in grades 1 through 12 during the regular school day; for any services for which such students receive academic credit toward graduation; or for any instructional services which supplant or duplicate the academic program of any public or private school. Lead Agencies shall assure that a substantial portion of the total CCDBG (CCDF Discretionary) Funds will be used to provide assistance to low-income working families who are not receiving assistance under the Temporary Assistance for Needy Families program, attempting through work activities to transition off of temporary assistance programs, nor at risk of becoming dependent on temporary assistance programs.

Applicant Eligibility:

Eligibility: All 50 States, the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and Federally recognized Tribal Governments, including Alaskan

Native Corporations.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Grantees must operate under a CCDF plan approved by the Administration for Children and Families (ACF), and must provide assurances that the Grantee will comply with the requirements of the CCDBG and the Recovery Act and all applicable Federal law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

State, Tribal, and Territorial Lead Agencies already receiving FY2009 funds under the CCDBG program (i.e., Discretionary Funds) have received CCDBG Recovery Act Funds. Separate applications for the CCDBG Recovery Act Funds are not required. To receive funds under the CCDBG Act (CFDA number 93.575), each Grantee must designate a Lead Agency to which grants are awarded and that is accountable for the use of the Discretionary Funds provided, the duties of which shall include developing a CCDF plan. In conjunction with the development of the CCDF plan, the Lead Agency must hold at least one public hearing no earlier than nine months before the CCDF plan becomes effective and after at least 20 days of statewide public notice, to provide the public an opportunity to comment on the provision of child care services under the plan. In advance of the hearing, the Lead Agency must make the content of the plan available to the public. The Lead Agency must also coordinate the provision of services under the program with other Federal, State, and local child care and early childhood development programs. Also, the Lead Agency must consult with appropriate representatives of local governments. Tribal Lead Agencies submitting applications for construction must submit an environmental impact assessment. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. State, Tribal, and Territorial Lead Agencies already receiving FY 2009 funds under the CCDBG Act (i.e., Discretionary Funds) will receive CCDBG Recovery Act Funds. Separate applications for the CCDBG Recovery Act Funds are not required. However, should a Grantee decide to make a substantial change to its approved CCDF plan in FY 2009 as a result of the availability of CCDBG Recovery Act Funds, the Lead Agency must submit an amendment to its existing approved FY 2008-2009 CCDF plan within 60 days of making the change. A decision on the approval of the amendment will be made by ACF within 90 days from the date the amendment is received. (An extension of the time period may be made by a written agreement.)

To receive funds under the CCDBG Act, a Lead Agency desiring to receive an allotment for a fiscal year is required to submit a two-year CCDF plan to ACF, as well as, financial and other information necessary for the grants process. Each plan must contain certifications and assurances by the Lead Agency that it will comply with the requirements of the CCDBG Act. The plan must also include: the designation of a Lead Agency; the provision of assurances regarding policies and procedures as stated in Section 658E(c)(2) of the CCDBG Act; an outline of the intended use of block grant funds in compliance with Section 658E(c)(3) of the CCDBG Act; the provision of certification regarding payment rates as stated in Section 658E(c)(4) of the CCDBG Act; and the establishment of a sliding fee scale. Additional requirements are specified by 45 CFR Parts 98 and 99.

Award Procedure:

In April 2009, ACF awarded CCDBG Recovery Act Funds to all State, Tribe, and Territory Lead Agencies that were currently receiving FY 2009 funds under the CCDBG Act.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

ACF will review for approval any amendments to approved FY 2008-2009 CCDF plans submitted by Lead Agencies within 90 days after receipt of the

amendment. To receive CCDBG Act Funds, CCDF plans must be submitted for approval every two years. FY 2010-2011 CCDF plans will be approved by ACF within 90 days after the due date.

Appeals:

Guidelines for appeals of disapprovals of CCDF plans or plan amendments are specified in regulations (45 CFR Part 99).

Renewals:

To receive CCDBG Act Funds, CCDF plans must be submitted to ACF for approval every two years.

Formula and Matching Requirements:

Statutory Formula: Title 42, Chapter 105, Part II-B, Subpart 9858m, Public Law 101-508.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

CCDBG Recovery Act Funds will be made available to Lead Agencies with approved FY 2008-2009 CCDF plans. Grantees must obligate all CCDBG Recovery Act Funds in the fiscal year in which they are granted or in the succeeding fiscal year. Those funds must be liquidated by the third year. Method of awarding/releasing assistance: lump sum.

Reports:

Funded grantees must submit quarterly programmatic reports. In addition, as specified in 45 CFR Part 98, States must report to ACF annually aggregate data on families, children in care, providers, payment methods, and consumer education. Quarterly case-level reports are required to provide data on families, children, and providers, and payment information. No cash reports are required. No progress reports are required. Funded grantees must submit quarterly financial reports. Monitoring is in accordance with 45 CFR 98.90.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Proper grant accounting records must be maintained. State, Territorial and Tribal Lead Agencies must separately track and report on expenditures of CCDBG Recovery Act Funds.

Account Identification:

75-1516-0-1-609.

Obligations:

(Formula Grants) FY 09 \$1,995,000,000; FY 10 est \$2,500,000; FY 11 est \$0 - One-fourth of 1 percent of these supplemental CCDBG funds is reserved to provide technical assistance to Grantees via contracts. FY 2010 amounts reflect contract obligations for technical assistance activities.

Range and Average of Financial Assistance:

For States, including the District of Columbia and Puerto Rico, the range of CCDBG Recovery Act Funds in FY 2009 was approximately \$2.5 million to \$220 million; the average award was approximately \$38 million. For 260 Tribal Grantees, the approximate range of CCDBG Recovery Act Funds in FY 2009 was: \$3,000 to \$5 million; the average was approximately \$154,000. For the four Territories, the range of CCDBG Recovery Act Funds in FY 2009 was approximately \$1.8 million to \$4 million; the average grant was \$2.5 million (These figures do not include other FY 2009 CCDF funds received through CCDF Mandatory and Matching Funds (93.575) and CCDF Discretionary Funds (93.596)). These funds were not available in Fiscal Year 2008. One-fourth of 1 percent of these supplemental CCDBG funds is reserved to provide technical assistance to Grantees via contracts.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In April 2009, ACF awarded FY 2009 CCDBG Funds under the Recovery Act to 316 Grantees. CCDF grantees have used Recovery Act

Funds to eliminate or avoid child care waiting lists; increase reimbursement rates for child care providers; decrease parent co-payments; and allow for parents that have lost their jobs to continue receiving child care assistance while searching for new employment. Lead Agencies have also invested in programs to increase the quality of child care programs including: creating or expanding Quality Rating and Improvement Systems; investing in infant and toddler programs; and improving health and safety standards. Fiscal Year 2010: No new grants were awarded. Fiscal Year 2011: No new grants will be awarded.

REGULATIONS, GUIDELINES, AND LITERATURE:

These funds are subject to the Child Care and Development Fund regulations at 45 CFR Parts 98 and 99.

Regional or Local Office:

See Regional Agency Offices. Persons are encouraged to communicate with the Child Care Bureau Regional Program Managers (RPM). Contact information for the RPMs is available at http://www.acf.hhs.gov/programs/ccb/ta/raaddr/program_managers.htm.

Headquarters Office:

Child Care Bureau, Office of Family Assistance 901 D Street SW, Aerospace Building, 5th Floor East, Washington, District of Columbia 20047 Phone: (202) 690-6782 Fax: (202) 690-5600.

Website Address:

<http://www.acf.hhs.gov/programs/ccb>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.575 Child Care and Development Block Grant; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.714 ARRA EMERGENCY CONTINGENCY FUND FOR TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) STATE PROGRAM

TANF Emergency Fund, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

TANF Emergency Fund, Recovery Act, Title IV, Part A, Section 2101, Public Law 111-5, 42 U.S.C 603(c).

OBJECTIVES:

This funding will provide economic stimulus to the nation while furthering the ACF mission to promote the economic and social well being of children, youth, families, and communities. The objective of the program is to provide up to \$5 billion for jurisdictions (States, Territories, and Tribes) in fiscal year (FY) 2009 and FY 2010 that have an increase in assistance caseloads and/or certain types of expenditures. This Emergency Fund is in addition to the TANF Contingency Fund in section 403(b) of the Act that currently gives money to qualifying States (but not Territories or Tribes) during an economic downturn.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Emergency Fund grants are Federal TANF funds. Jurisdictions must use these funds in accordance with section 404 of the Act. This does not include authority to transfer emergency funds to either the Social Services Block Grant (SSBG) or the Child Care and Development Block Grant (CCDBG) because that transfer authority is limited to grants made under section 403(a) of the Act. Emergency funds are available until expended.

Jurisdictions may carry over emergency funds for use in a succeeding fiscal year as authorized under section 404(e) of the Act. Section 403(c)(5) of the Act

imposes a cumulative cap on the amount of emergency funding that a jurisdiction can receive for the two-year period. The capped amount consists of the cumulative combined grants from the existing Contingency Fund (section 403(b)) and the Emergency Fund (section 403(c)) and the total of the two cannot exceed 50 percent of the jurisdictions annual Federal TANF family assistance grant.

The same financial and programmatic rules pertaining to the appropriate use of the jurisdictions Federal TANF block grant funds also apply when using the emergency funds. This also means that all TANF requirements, including work participation requirements and time limits, apply to families receiving assistance with emergency funds exactly as they do to families receiving other Federal TANF-funded assistance. See above.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Federal funds go to the State, Territory, or Tribal TANF agencies. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Each jurisdiction must request these funds for each quarter that they are eligible by having an increase in assistance caseloads or certain types of expenditures. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each jurisdiction may request these funds for each quarter that they are eligible by having an increase in assistance caseloads or certain types of expenditures. Information necessary to apply for emergency funds may be submitted electronically or manually. The chief executive officer of the jurisdiction or his/her designee must submit the request for emergency funds.

Award Procedure:

If a request for emergency funds has been approved by HHS, funds will be distributed quarterly.

Deadlines:

Sep 01, 2010 Requests can be submitted any time in fiscal year 2009 and fiscal year 2010, and a jurisdiction may submit requests for funds for a particular quarter as early as one month before the beginning of the quarter. All requests must be awarded by September 30, 2010.

Range of Approval/Disapproval Time:

Review and evaluation of applications is a priority and we will act upon them within an as soon as possible timeframe.

Appeals:

Jurisdictions facing an adverse action by the Secretary of HHS may appeal under both administrative and judicial procedures.

Renewals:

Each jurisdiction may request these funds for each quarter that it is eligible by having an increase in assistance caseloads or certain types of expenditures.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Jurisdictions are awarded their emergency fund grants in quarterly payments. They may reserve grant moneys, without fiscal year limitation. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Cash reports are not applicable. Progress reports are not applicable. Jurisdictions have to submit information on caseloads and expenditures. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits shall be conducted by the Inspector General and the jurisdictions under Chapter 75 of Title 31, United States Code.

Records:

Jurisdictions must maintain records containing information the Secretary may require by regulation. Information submitted in support of a request for Emergency Funds will be tested for reliability and accuracy. Accordingly, jurisdictions are expected, as required by the federal regulations at 45 CFR 92.20 and 45 CFR 92.42, to maintain pertinent documentation related to caseload and expenditure data used to support the request for funds, be able to link the information to the relevant reporting and accounting system, and make such information available in a clear and understandable form that can be validated by an auditor.

Account Identification:

75-1523-0-1-506 - TAFS: 75-0910-1523.

Obligations:

(Formula Grants) FY 09 \$616,695,446; FY 10 est \$4,383,304,554; FY 11 est \$0 - Up to \$5 billion is available in FY 2009 and 2010.

Range and Average of Financial Assistance:

This is a new grant and the information is unknown at this time.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Program rules for State programs can be found at 45 CFR Parts 260 through 265. Tribal rules can be found at 45 CFR Part 286. Guidance for the submittal of applications for the Emergency Fund, will be accomplished through issuance of a Policy Announcement and Program Instruction, including reporting forms approved under the Paperwork Reduction Act.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY, PR, VI):

Joanne Krudys, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 127.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Darrel McGhee, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2936.

Region V (IL, IN, MI, MN, OH, WI):

Steven Krasner, Regional Program Manager, Administration for Children and

Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-3265.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 276, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY)

Felicia Gaither, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 1961 Stout Street, 9th Floor, Denver, CO 80294. Telephone: (303) 844-1483.

Region IX (AZ, CA, GU, HI, NV):

Dan Baker, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8450.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rshelbourne@acf.hhs.gov Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.715 RECOVERY ACT COMPARATIVE EFFECTIVENESS RESEARCH - AHRQ

Recovery Act Comparative Effectiveness Research - AHRQ

FEDERAL AGENCY:

Agency for Healthcare Research and Quality, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Title 8, Public Law 111-5; Social Security Act, Title XI, Part A, Public Law 74-271, 42 U.S.C 1301-1320; Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Title IX, Section 1013, Public Law 108-173, 42 U.S.C 1305; Public Health Service Act, Title IX, 42 U.S.C 299 et seq.

OBJECTIVES:

To improve health outcomes by providing evidence to enhance medical decisions made by patients and their medical providers. This goal is pursued by

generating research comparing different interventions and strategies to prevent, diagnose, treat and monitor health conditions. Funds are available under the American Recovery and Reinvestment Act of 2009 to support research and evaluations, demonstration projects, research networks and multidisciplinary centers, predoctoral and postdoctoral training opportunities, individual fellowships, and to disseminate rigorous evaluations of the impact of different options that are available for treating a given medical condition for a particular set of patients.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

The research will contribute to the health services and clinical knowledge base in comparative effectiveness of different treatment and practices from which empirically based information can be derived by patients, providers, policymakers, and other stakeholders, both immediately and over the coming decades. Grant funds will be available in FYs 2009 and 2010 for costs necessary to carry out an approved project. Unallowable costs, as well as those for which prior written approval is required, are indicated in the HHS Grants Policy Statement. Grantees must comply with all Recovery Act reporting requirements. It is anticipated that 66.2% of the \$300,000,000 in Recovery Act funds provided to AHRQ for CER research will be awarded for discretionary grants.

Applicant Eligibility:

AHRQ anticipates that award recipients will include a combination of academic institutions, States, federally-recognized Indian Tribal Governments, community-based organizations, private or non-profit national organizations, and Federal agencies.

Beneficiary Eligibility:

Funds will be spent to research and provide information on the relative strengths and weaknesses of various medical interventions. Such research will give clinicians and patients valid information with which to make decisions that will improve the performance of the U.S. health care system.

Credentials/Documentation:

Nonprofit organizations must submit proof of their nonprofit status when applying for grants. Costs will be determined in accordance with HHS Regulations 45 CFR 74 or 45 CFR 92 as appropriate. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted in response to a Funding Opportunity Announcement (FOA). All FOAs are posted on www.Grants.gov. Refer to the FOA of interest for specific requirements regarding application procedures as AHRQ is in the process of transitioning to the new SF-424 Research and Related (R&R) grant application form and to electronic submission through www.Grants.gov for competing awards. For FOAs involving grant mechanisms that have not yet been transitioned to electronic submission through [Grants.gov](http://www.Grants.gov), nongovernmental applicants should submit using Form PHS-398 (Rev. November 2007); <http://grants.nih.gov/grants/funding/phs398/phs398.html>; State and local governments may submit using the standard application forms, as furnished by PHS and required by 45 CFR 92. For State agencies, no State Plan is required. Applications are reviewed and evaluated by a group composed of primarily nonfederal scientists. The review is conducted by a panel of experts in the specific study area proposed. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular A-110 for non-profit organizations.

Award Procedure:

Following review for scientific merit by a group composed primarily of nonfederal scientists, grant applications may be reviewed by the National Advisory Council for Healthcare Research and Quality, after which AHRQ makes final decisions to support recommended applications. When such

decisions are made, applicants are notified directly by AHRQ staff and all required steps are taken to issue the Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants may be approved for project periods up to 4 years. Funds will be awarded for the total project in fiscal year 2009 or 2010. Costs budgeted beyond the initial 12 months of funding will be restricted pending satisfactory progress. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request System. Method of awarding/releasing assistance: lump sum.

Reports:

Grantees must submit quarterly reports in compliance with the American Recovery and Reinvestment Act of 2009. Inventions are to be reported immediately. A terminal progress report must be submitted within 90 days after the end of the project. Grantees must submit quarterly SF272 reports to HHS' Program Support Center (PSC). Progress reports are required to be submitted to AHRQ on an annual basis and will be based on the PHS 2590 Non-Competing Continuation Progress Report. A Financial Status Report (SF269) must be submitted within 90 days after the end of each 12 month increment of time. Quarterly performance monitoring in accordance with the requirements of the Recovery Act.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Records must be retained for at least three years; records shall be retained beyond the three year period if audit findings have not been resolved.

Account Identification:

75-0910-1-7-010.

Obligations:

(Project Grants (Discretionary)) FY 09 \$296,669; FY 10 est \$198,200,000; FY 11 est \$0

Range and Average of Financial Assistance:

This is a new program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

American Recovery and Reinvestment Act of 2009; 42 CFR 67, Regulations for Health Services Research, Evaluation, Demonstration, and Dissemination Projects as amended by Public Law 106-129; HHS Grants Policy Statement.

Regional or Local Office:

None.

Headquarters Office:

Jean Slutsky, Agency for Healthcare Research and Quality, Department of Health and Human Services, 540 Gaither Road, Rockville, Maryland 20850
Email: Jean.Slutsky@AHRQ.HHS.gov Phone: (301) 427-1600.

Website Address:

<http://effectivehealthcare.ahrq.gov>

RELATED PROGRAMS:

93.225 National Research Service Awards_Health Services Research Training;
93.226 Research on Healthcare Costs, Quality and Outcomes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The proposals must first be reviewed by review groups and recommended for funding on the basis of scientific and technical merit. This includes consideration of the qualifications of the principal investigator and staff to conduct the research using appropriate methodology and budget. Applications may be reviewed for program relevance by the National Advisory Council for Healthcare Research and Quality. Those recommended proposals which are most relevant to comparative effectiveness and promote the goals of the ARRA will be funded to the extent that funds are available.

93.716 ARRA TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) SUPPLEMENTAL GRANTS

Supplemental Grants for Population Increases in Certain States, Recovery Act

FEDERAL AGENCY:

Administration for Children and Families, Department of Health and Human Services

AUTHORIZATION:

Supplemental Grants for Population Increases in Certain States, Recovery Act, Title IV, Part A, Section 2102, Public Law 111-5, 42 U.S.C 603(a)(3).

OBJECTIVES:

These funds will help promote the economic and social well being of children, youth, families, and communities. The objective of the program is to provide supplemental TANF funds for States with exceptionally high population growth in the early 1990s, historic (1994) welfare grants per poor person lower than 35 percent of the national average, or a combination of above average population growth and below average historic welfare grants per poor person.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The Federal TANF Supplemental Grants are in addition to the States annual Federal TANF block fund. Thus, these funds are subject to the same uses and restrictions as the States annual Federal TANF block grant (see CFDA 93.558 Temporary Assistance for Needy Families), and repeated below.

Each State designs and operates its own TANF program, and establishes the specific eligibility criteria that must be met to receive financial assistance payments or other types of benefits and services. Each State has broad flexibility to use the grant funds in any manner that is reasonably calculated to accomplish a purpose of the TANF program (including providing low-income households with assistance in meeting home heating and cooling costs). The purposes of the TANF program are to assist needy families to care for their children in their own homes; reduce dependency of needy parents by promoting job preparation, work and marriage; prevent and reduce the incidence of out-of-wedlock pregnancies; and encourage the formation and maintenance of two-parent families. A State may also use the funds in ways that had been authorized and allowable under its former approved Aid to Families with Dependent Children (AFDC), Job Opportunities and Basic Skills Training (JOBS), and Emergency Assistance (EA) plans. A State may also transfer a limited portion of its Federal TANF funds to the Child Care and Development Block Grant (CCDBG) and Social Services Block Grant (SSBG) Programs. No State may spend more than 15 percent of its Federal TANF funds on administrative costs, exclusive of certain computerization and information

technology expenses. See above.

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

Federal funds go to the State (the grantee). States decide the objective eligibility criteria and verification required to determine eligibility and payment of TANF benefits. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Supplemental Grants, like the annual Federal TANF block grant, are awarded in quarterly payments.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Varies by State (usually within 45 days).

Appeals:

States must provide opportunities for recipients who have been adversely affected to be heard in a State administrative or appeal process.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 75%. The TANF program, which includes the standard TANF block grant and the additional Supplemental Grants for 17 States, has an annual cost-sharing requirement known as maintenance-of-effort (MOE). This means that every fiscal year, each State receiving Federal TANF funds must spend an applicable percentage of its own money to help eligible families in ways that are consistent with the purposes of the TANF program. The applicable percentage depends on whether the State meets the minimum work participation rate requirements for that fiscal year. If the State does not meet the work participation rates, then it must spend 80 percent of the amount it spent for fiscal year 1994 on AFDC and AFDC-related programs. If the State meets the work participation rates, then the applicable percentage is 75 percent of the amount it spent for fiscal year 1994 on AFDC and AFDC-related programs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

States are awarded Supplemental Grants in quarterly payments. Once awarded, the funds are available to the State until expended. See the following for information on how assistance is awarded/released: No information provided.

Reports:

States receiving the TANF block grant and Supplemental Grants must submit quarterly data reports on families receiving assistance. States must also report expenditures of grant funds on a quarterly basis. Expenditure data related to Supplemental Grants will be reported in a separate category on the quarterly TANF expenditure report for FY 2010. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The TANF program (including the additional TANF supplemental grants) is subject to the Single Audit Act under Chapter 75 of Title 31, United States Code.

Records:

States are subject to the uniform administrative requirements in 45 CFR Part 92. In particular, the regulations at 45 CFR 92.20 require that all grantees have a financial management system that can adequately trace expenditures to ensure that the funds have been used appropriately, and not in violation of any programmatic restrictions and prohibitions; and require that accounting records are supported by source documentation to support all expenditures. Also, the regulations at 45 CFR 92.42 and TANF Program Instruction TANF-ACF-PI-2003-1, dated January 28, 2003 (<http://www.acf.hhs.gov/programs/ofa/policy/pi-ofa/2003/pi2003-1.PDF>), address record retention and access requirements applicable to all financial and programmatic records, supporting documents, statistical records, and other records of grantees or subgrantees.

Account Identification:

75-1558-0-1-506.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$319,450,000; FY 11 est \$0

Range and Average of Financial Assistance:

State Supplemental Grants are from \$1,132,701 to \$60,405,668.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Temporary Assistance for Needy Families (TANF) Final Rule was originally published in the Federal Register on April 12, 1999 (Vol. 64, No. 69). The TANF final rule implementing the statutory changes pursuant to Deficit Reduction Act of 2005 (Public Law 109-171), which reauthorized the TANF program through FY 2010, were published in the Federal Register on February 5, 2008 (Vol. 73, No. 24). The TANF regulations applicable to State TANF Programs are found in 45 CFR Parts 260 through 265.

Regional or Local Office:

See Regional Agency Offices. Region I (CT, MA, ME, NH, RI, VT):

Carol Monteiro, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Boston Regional Office, JFK Building, Rm. 2000, Boston, MA 02203. Telephone: (617) 565-2462.

Region II (NJ, NY):

Joanne Krudys, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, New York Regional Office, 26 Federal Plaza, Room 4114, New York, NY 10278. Telephone: (212) 264-2890, ext. 127.

Region III (DC, DE, MD, PA, VA, WV):

Eileen Friedman, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Philadelphia Regional Office, 150 S. Independence Mall West, Suite 864, Philadelphia, Pennsylvania 19106-3499. Telephone: (215) 861-4058.

Region IV (AL, FL, GA, KY, MS, NC, SC, TN):

Darrel McGhee, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Atlanta Regional Office, 61 Forsyth Street, Suite 4M60, Atlanta, Georgia 30303-8909. Telephone: (404) 562-2936.

Region V (IL, IN, MI, MN, OH, WI):

Steven Krasner, Regional Program Manager, Administration for Children and

Families, Office of Family Assistance, Chicago Regional Office, 233 N. Michigan Ave. - Suite 400, Chicago, IL 60601. Telephone: (312) 353-3265.

Region VI (AR, LA, NM, OK, TX):

Larry Brendel, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Dallas Regional Office, 1301 Young Street, Room 945, Dallas, TX 75202. Telephone: (214) 767-6236.

Region VII (IA, KS, MO, NE):

Gary Allen, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Kansas City Regional Office, Rm. 276, 601 E 12 St., Kansas City, MO 64106. Telephone: (816) 426-2236.

Region VIII (CO, MT, ND, SD, UT, WY):

Felicia Gaither, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Denver Regional Office, 1961 Stout Street, 9th Floor, Denver, CO 80294. Telephone: (303) 844-1483.

Region IX (AZ, CA, HI, NV):

Dan Baker, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, San Francisco Regional Office, 90 7th Street, Ninth Floor, San Francisco, CA 94103. Telephone: (415) 437-8450.

Region X (AK, ID, OR, WA):

Frank Shields, Regional Program Manager, Administration for Children and Families, Office of Family Assistance, Seattle Regional Office, 2201 Sixth Avenue, M/S 74, Seattle, WA 98121. Telephone (206) 615-2569.

Headquarters Office:

Robert M. Shelbourne Office of the Director, Office of Family Assistance, Administration for Children and Families, Department of Health and Human Services, 5th Floor East, Aerospace Building, 370 L'Enfant Promenade, SW., Washington, District of Columbia 20447 Email: rselbourne@acf.hhs.gov Phone: (202) 401-5150 Fax: (202) 401-5554

Website Address:

<http://www.acf.hhs.gov/programs/ofa/tanf/index.html>

RELATED PROGRAMS:

93.558 Temporary Assistance for Needy Families; 93.594 Tribal Work Grants; 93.595 Welfare Reform Research, Evaluations and National Studies; 93.667 Social Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.717 ARRA - PREVENTING HEALTHCARE-ASSOCIATED INFECTIONS

ARRA Preventing Healthcare-Associated Infections

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Health Service Act Sections 301(a)[42 U.S.C. 241(a)] American Recovery and Reinvestment Act of 2009, Public Law 111-5, 42 U.S.C 241(a).

OBJECTIVES:

This funding was appropriated under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5). The ARRA funds are intended to reduce preventable healthcare-associated infections through State health department efforts to prevent HAIs including leveraging the National Health Care Safety Network to support the dissemination of HHS evidence-based practices within hospitals (\$40 million). Specific goals include: (1) progress towards prevention

targets (e.g., 50-70% reduction in bloodstream infections); (2) use of the metrics and supporting systems to assess progress towards meeting the targets; (3) broad implementation of current evidence-based prevention guideline recommendations; (4) develop and implement state public health-healthcare HAI prevention collaboratives to implement prioritized HAI prevention recommendations using CDC's NHSN as the measurement system; (5) enhance and expand participation by hospitals and other healthcare facilities in the NHSN to facilitate reporting of appropriate HAI metrics and progress toward five-year prevention targets to state health departments and CDC, HHS, and other HHS OPDIVs; (6) build a public health workforce in health departments who can coordinate state-wide initiatives to ensure progress towards five-year national prevention targets; (7) assist States in the development and submission of state HAI prevention plans; (8) quickly expand its infrastructure to address a broader array of HAI epidemiology; (9) provide additional training for EIP state staff on HAI epidemiology and surveillance; (10) develop and implement enhanced surveillance tools and methods, and add staff for targeted two year projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CDC will provide 99.5 percent of the funds to eligible states using the following existing Cooperative Agreements: the Epidemiology and Laboratory Capacity for Infectious Disease program, and the Emerging Infections Program. Project funds may be used for costs associated with planning, organizing, conducting and supporting programs directed toward preventing healthcare associated infections, and for the implementation of other program elements as described in Section :050: above. These funds may be used for the preservation of jobs necessary to build capacity in state and local health departments related to healthcare associated infection prevention. See above.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, describe plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next two years and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide for this requirement through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. Applicants will not be able to use any other SF424 (R&R) forms (e.g., sample forms, forms from another FOA) although some of the "Attachment" files may be useable for more than one FOA.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email:

PGOTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for State and local governmental agencies, and by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

All applications that are complete and responsive to non-competitive supplemental grant announcements will be evaluated for scientific and technical merit and receive support. Support will be need based and may be formula driven. Applications that are complete and responsive to competitive supplemental grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the American Recovery and Reinvestment Act.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: until December 29, 2011. Budget Period: variable. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below. Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information:

(a) The total amount of ARRA funds under this award; (b) The amount of ARRA funds received under this award that were obligated and expended to projects or activities; (c) The amount of unobligated award balances; (d) A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment. (e) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282). For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the

sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional district, and country; and (9) a unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.

(f) All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.

(g) Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted. (h) Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in award notices. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0144-0-1-550 - TAFS 75 9/10 0144.

Obligations:

(Cooperative Agreements) FY 09 \$39,800,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

From \$100,000 to \$1,600,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 39800000. Fiscal Year 2010: No Current Data Available

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 55 b. 45 CFR 92, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Joni Young, National Center for Preparedness, Detection, and Control of Infectious Diseases, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop A07, Atlanta, Georgia 30333 Email: JYoung@cdc.gov Phone: (404) 639-4025 Fax: (404) 639-4043.

Website Address:

<http://www.cdc.gov/>; and www.Grants.gov

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to state health departments for HAI activities and would not be available for other non-related infectious disease activities. For state applicants to be eligible they will have to outline a plan for reporting progress toward HHS Action Plan Prevention Targets using specified metrics, either by participating in NHSN or by using NHSN definitions and surveillance methods in systems compatible with NHSN. CDC will require state applicants to specifically address in their applications state plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next 2 years. Specifically, states will need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan. Other criteria will be listed in individual funding opportunity announcements. This Program requires Congressional Notification. Not Approved.

93.718 HEALTH INFORMATION TECHNOLOGY REGIONAL EXTENSION CENTERS PROGRAM

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 3012(c) of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (ARRA).

OBJECTIVES:

Establish Health Information Technology Regional Extension Centers to identify and disseminate best practices and provide technical assistance supporting the adoption and meaningful use of health IT to improve care quality while protecting patient privacy. The statutory objective of the regional centers is to enhance and promote the adoption of health information technology through means specified in PHSA 3012(c)(3).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Funds may be used for the initial and operating expenses incurred in establishing and operating a regional center that provides technical assistance services to providers seeking to adopt, implement, and/or meaningfully use certified electronic health records (EHR) technology. The assistance supported under this health information technology extension program, and carried out by regional centers, is technical assistance and consulting service to providers, and does not include funding to the providers.

None of the funds appropriated or otherwise made available in ARRA may be used by an state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

None.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on www.grants.gov.

Award Procedure:

Following objective review of applications, those applicants whose applications

have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: PHSA 3012(c)(5) states that the Secretary may not provide more than 50 percent of the capital and annual operating and maintenance funds required to create and maintain such a center, except in an instance of national economic conditions which would render this cost-share requirement detrimental to the program and upon notification to Congress as to the justification to waive the cost-share requirements.

The Secretary has determined that a 50 percent cost-share requirement on an annual basis is not in the best interest of the program. Instead, the Secretary has structured a funding partnership between HHS and recipients, as indicated in the Program Announcement.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

PHSA 3012(c)(5), as added by ARRA, specifies that the Secretary may provide financial support to any regional center created under PHSA 3012(c) for a period not to exceed 4 years. Awards are expected to be for two, 2-year budget periods and a four year project period. See the following for information on how assistance is awarded/released: Subject to meeting performance requirements:.

Reports:

Program report requirements, if applicable, will be identified in the Program Announcement. Cash report requirements, if applicable, will be identified in the Program Announcement. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. For purposes of reporting, ARRA recipients must also report on ARRA sub-recipient (sub-grantees and sub-contractors under the cooperative agreement) activities. Reporting requirements will be detailed in the program announcement and included in award notices.

The frequency of interim progress reports and financial status reports will be identified in the program announcement and will be submitted no later than 30 days following the end of the reporting period to which they pertain. The final progress report and final financial status report are due no later than 90 days after the end of the project period. ARRA reporting and agency programmatic reporting provisions will require awardees to evaluate performance in view of stated objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from

the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$674,902,024; FY 11 est \$0

Range and Average of Financial Assistance:

Awards for regional centers are expected to average \$8.5M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR part 74 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Mat Kendall 330 C Street, SW, Suite 1100, Washington, District of Columbia 20201 Email: regional-center-applications@hhs.gov Phone: (202)690-7151

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining merit of applications will be made available in the program announcement(s) for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.719 ARRA - STATE GRANTS TO PROMOTE HEALTH INFORMATION TECHNOLOGY

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA) of 2009, Division A, Title XIII, HITECH Act, Section 3013.

OBJECTIVES:

Promote the electronic movement and use of health information among organizations using nationally recognized interoperability standards. Enable providers to qualify for Medicare and Medicaid financial incentives, authorized by ARRA, by providing health information exchange that meets meaningful use requirements. Improve health care quality and efficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

This state-based program is targeted specifically towards developing widespread and sustainable health information exchange (HIE) capacity in support of the meaningful use definition that qualifies providers for the Medicare and Medicaid incentive payments. This program also supports state public health programs to ensure that public health stakeholders prepare for HIE and mobilizes clinical data needed for consumer engagement across all states.

None of the funds appropriated or otherwise made available in ARRA may be

used by an state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

Either a State or State Designated Entity may apply.

Beneficiary Eligibility:

None.

Credentials/Documentation:

State governments and Qualified State-Designated Entities are eligible to apply.

The program will require a letter from the Governor establishing the Qualified State Designated Entity, if applicable. Documentation must be provided in accordance with the requirements of all applicable OMB Circulars. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on www.grants.gov.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: In fiscal year 2010, the Secretary of the Department of Health and Human Services will determine whether there is a funding matching requirement. Beginning with fiscal year 2011, the HITECH Act, Section 3013, requires awardees to make available non-Federal contributions (which may include in-kind contributions) toward the costs of a grant awarded in an amount equal to not less than \$1 for each \$10 of federal funds provided under this grant in fiscal year 2011, not less than \$1 for each \$7 of federal funds provided under this grant in fiscal year 2012 and not less than \$1 for each \$3 of federal funds provided under this grant for all subsequent fiscal years.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are expected to be for a four year period. See the following for information on how assistance is awarded/released: Subject to meeting performance requirements.

Reports:

Programmatic reporting requirements will be identified in the Program Announcement. Cash reports, if required, will be identified in the Program Announcement. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must also report on ARRA sub-recipient (sub-grantee and

sub-contractor) activities. Reports required by ARRA will be required quarterly.

Additional reporting requirements, including the frequency thereof, will be detailed in Funding Opportunity Announcements and included in award notices. Financial Status Reports. ARRA reporting and programmatic reporting will require awardees to evaluate performance in view of stated objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$547,703,438; FY 11 est \$0

Range and Average of Financial Assistance:

Average of each award is estimated at \$10.25M.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Chris Muir 300 C Street, SW, Suite 1100
, Washington, District of Columbia 20201 Email: christopher.muir@hhs.gov
Phone: (202) 205-0470

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining merit of applications will be made available in the funding opportunity guidance document(s) for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.720 ARRA - SURVEY AND CERTIFICATION AMBULATORY SURGICAL CENTER HEALTHCARE-ASSOCIATED INFECTION (ASC-HAI) PREVENTION INITIATIVE

ASC-HAI Initiative - Recovery Act

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Recovery Act), Section 1864 of the Social Security Act.

OBJECTIVES:

- (a) Improve State Agency inspection capability and frequency for onsite surveys of Ambulatory Surgical Centers nationwide;
- (b) Use a new infection control survey tool developed by the Centers for Disease Control and Prevention and CMS;
- (c) Improve the survey process through the use of a CMS tracer methodology; and
- (d) Use multi-person teams for ASCs over a certain size or complexity.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

States will use the funding to conduct additional ASC surveys, save and/or create jobs directly within the State Agency; contract with experienced surveyors who will be trained in the new ASC survey process, enlist the services of State physicians or certified infection control specialists who will accompany surveyors on several of their initial surveys conducted under the new survey process. Funding may not be used to support other State Agency work required by CMS and may not be used to offset costs that are funded through CMS regular survey and certification budget.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Any questions about the Recovery Act ASC HAI Initiative or the application process should be directed to Angela Mason-Elbert at 410-786-8279. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Memorandum S&C-09-43 outlined the Recovery Act ASC-HAI initiative application process. States must submit projected plans and costs eligible for Recovery Act funding. The plans must include the following:

- Identifying information
- Staffing plan
- Capability Expansion and Hiring Plan
- Training Plan
- Survey Plan
- Barriers and Prospective Solutions
- Budget Estimate

Award Procedure:

All plans must meet the minimum requirements. Plans will be reviewed and grants awarded based on the amount of funding available.

Deadlines:

- Jun 30, 2009 to Aug 30, 2009 Submission of Plan for FY 2009 funding: June 30, 2009
- Selection of States for FY 2009 Implementation: July 2009
- Notice to States of FY 2009 Approval & Funding Levels: July 2009
- Start Implementation in FY 2009 for Volunteer States: July 2009
- Submission of Plan for FY 2010 funding: August 30, 2009
- Notice to States of FY 2010 Approval & Funding Levels: September 2009.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There is no match requirement for States for the Recovery Act ASC-HAI Initiative. Additionally, cost-sharing does not apply insofar as it pertains to the add-ons to the survey process that are occasioned by the Recovery Act.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Funding is available over two years. Method of awarding/releasing assistance: lump sum.

Reports:

All State Agencies must be able to track and report ASC-HAI project activities, program participant data, and spending separate from other survey and certification activities. Reporting requirements include quarterly reports, annual reporting, and close-out reporting. State Agencies are responsible for furnishing data on the number of jobs created or saved.

CMS will produce quarterly reports on what work has been completed, including milestones such as training, outreach efforts, and allotments to State Agencies. CMS will also issue an evaluative report on the new ASC survey process. This report will include State Agency input on the value from the enhanced survey infection control tool and other important aspects of the new survey process. No cash reports are required. No progress reports are required. No expenditure reports are required. Based on State agency input into Survey and Certification databases CMS will capture output and outcome measures such as training of surveyors and an increase of ASC surveys performed.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Recipients must follow the Administrative requirements for record keeping as listed in A-102.

Account Identification:

75-0518-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$1,000,000; FY 10 est \$9,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

FY 09: (12 States participated in pilot program); Range for 12 States is from \$11,500-\$125,000; and an average of \$61,163; FY 10: Range of (43 States participating) \$17,262 - \$1,365,979; and an average of \$190,715.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

The HHS Grants Policy Statement can be found at:

http://www.hhs.gov/grantsnet/docs/HHSGPS_107.doc

The ARRA Award Terms and Conditions can be found at:

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

See Regional Agency Offices. Angela Mason-Elbert,
angela.mason-elbert@cms.hhs.gov - (410) 786-8279
Marilyn Dahl, Marilyn.dahl@cms.hhs.gov - (410) 786-8665 Angela
Brice-Smith, angela.brice-smith@cms.hhs.gov - (410) 786-4340.

Headquarters Office:

Angela Mason-Elbert, 7500 Security Boulevard, Baltimore, Maryland 21207
Email: angela.mason-elbert@cms.hhs.gov Phone: (410) 786-8279.

Website Address:

http://www.cms.hhs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All acceptable proposals will be funded. To the extent that proposals exceed available funds, the funds will be pro-rated downward, beginning with those States that propose to survey more than 33% of non-deemed ASCs.

93.721 ARRA - HEALTH INFORMATION TECHNOLOGY PROFESSIONALS IN HEALTH CARE

Health Information Technology Education (HITE)

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 3016 of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act (ARRA) of 2009, Public Law 111-5.

OBJECTIVES:

PHSA 3016, as added by the Recovery Act, directs the Secretary to provide assistance to institutions of higher education (or consortia thereof) to establish or expand health informatics education programs, including certification, undergraduate, and masters degree programs, for both health care and information technology students to ensure the rapid and effective utilization and development of health information technologies (in the United States health care infrastructure).

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants); Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Activities for which assistance may be provided include the following:

- (1) Developing and revising curricula in medical health informatics and related disciplines.
- (2) Recruiting and retaining students to the program involved.
- (3) Acquiring equipment necessary for student instruction in these programs, including the installation of testbed networks for student use.
- (4) Establishing or enhancing bridge programs in the health informatics fields between community colleges and universities.

None of the funds appropriated or otherwise made available in ARRA may be used by an state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

Financial assistance to institutions of higher education, or consortia thereof, to rapidly develop a health information technology workforce.

Beneficiary Eligibility:

Financial assistance to institutions of higher education, or consortia thereof, to rapidly develop a health information technology workforce.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application procedures will be specified in individual funding opportunity announcements. Applicants may consult with the office or official designated as the contact in the funding opportunity announcement for additional information on the application procedures.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Jan 25, 2010: Competency Examination Program. Application deadlines for each program were established in the funding opportunity announcement for each American Recovery and Reinvestment Act: Information Technology Professionals in Health Care program, as follows:
Curriculum Development Centers January 14, 2010
Community College Consortia to Educate Health Information Technology Professionals January 22, 2010
Competency Examination Program January 25, 2010
Program of Assistance for University-Based Training January 25, 2010.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards were made for program periods ranging from 2 to 4 years. Individual programs' funding opportunity announcements should be consulted for specific information. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports, if required, will be identified in individual funding opportunity announcements. Cash reports, if required, will be identified in individual funding opportunity announcements. Progress and financial reporting requirements will be identified in individual funding opportunity announcements. In addition, recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. Expenditure reports, if required, will be identified in individual funding opportunity announcements. Performance monitoring, if required, will be identified in individual funding opportunity announcements.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant records for a minimum of 3 years from

the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Project Grants (Discretionary)) FY 09 \$0; FY 10 est \$83,725,950; FY 11 est \$0

Range and Average of Financial Assistance:

Awards range from approximately \$1,000,000 - \$11,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR part 74 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm> and ARRA terms and conditions: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

None.

Headquarters Office:

Chitra Mohla 200 Independence Avenue, SW
Suite 729D, Washington , District of Columbia 20201 Email:
HealthITEducation@hhs.gov Phone: 202-205-0690

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Information on funded projects can be accessed via ONCs website at <http://healthit.hhs.gov>.
Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications are available in the program-specific funding opportunity announcements for this program. In general, all applicants were required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.722 ARRA - IHS TRIBAL AGREEMENTS

ARRA IHS Tribal Agreements

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; Indian Self-Determination and Education Assistance Act, Title 1, Section 102, Public Law 93-638, Title 1, Section 102, Public Law 93-638.

OBJECTIVES:

\$500 Million in Recovery Act Funds to Improve Health Care and Boost Economy in Indian Country. These funds will be obligated both through tribal agreements and under contracts subject to the Federal Acquisition Regulation, as summarized in the Agency implementation plans.

The Recovery Act health facility construction funds will be used to complete the construction of the new IHS Eagle Butte Health Center in Eagle Butte, South Dakota and the Norton Sound Regional Hospital in Nome, Alaska. The Eagle Butte Health Center will serve approximately 9,300 American Indians residing on the Cheyenne River Reservation. The facility will replace the existing Eagle Butte IHS Hospital which, at approximately one-third the size of

the new facility, is stressed to meet the needs of the entire service population. The new Norton Sound facility replaces a 61-year-old hospital that is stretched to serve an estimated 10,000 users. The project will create a substantial number of new jobs in construction, transportation, and other professions and help fight unemployment in Nome.

Sanitation facilities funds will be used to complete 169 sanitation facilities projects constructed in 24 states. These projects will provide potable water, waste water disposal and solid waste systems to American Indian and Alaska Native homes and communities. An estimated 16,000 homes will be served by these projects, which will also help foster economic growth and create jobs.

The ARRA will also fund 302 maintenance and improvement projects. Many IHS and tribal facilities require structural renovations, additional space, and design updates to accommodate modern health care delivery practices. These projects will help maintain and improve facilities, which will improve patient care. These projects will also result in enhanced energy conservation and provide local jobs and economic stimulus.

Finally, Recovery Act funds will be used to purchase over 200 pieces of medical equipment, including 35 ambulances and 10 Computed Tomography (CT) scanners, all of which will contribute substantially to patient care. Other equipment to be purchased includes cardiac monitoring equipment, equipment for integration of electronic patient records, blood chemistry analyzers, dental equipment, emergency defibrillators, x-ray systems, among other items. The equipment will be distributed across 24 states.

TYPES OF ASSISTANCE:

Project Grants (Contracts)

USES AND USE RESTRICTIONS:

ARRA funds must be expended for the specific purpose outlined in the ARRA Agreement. The recipient understands it is not authorized to rebudget, redesign, reallocate, or reprogram ARRA funds without written authorization from the Awarding Agency. All IHS Funds are discretionary funds.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Contract agreements are submitted to the Office of Tribal Self Governance and approved by the Director and then forwarded to the Area Offices for payment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The parties agree that the Awarding Agency authority to obligate funds related to this contract expires on September 30, 2010 and that any contractual conditions placed on receipt of payments under this addendum/contract must be met to ensure obligation by that date at the latest or earlier as agreed to in this contract/addendum or in the associated contractual documents. Delay or failure to meet conditions placed on receipt of funds (e.g., completion of specific stage of construction) may place the project or activity at risk of losing ARRA funds. The ARRA funds provided for in this agreement are one-time only, non-recurring funds. By providing ARRA funds, the Awarding Agency is not obligated to provide funding for this project or activity from future appropriations. Method of awarding/releasing assistance: quarterly.

Reports:

Pursuant to section 1512(c) of ARRA, the Contractor shall provide a report on a Quarterly Basis, not later than 10 days after the end of each calendar quarter. The information referenced in this section will be posted by the Contractor online at <http://FederalReporting.gov>. The Contractor shall use the reporting instructions and data elements that will be provided on this website and ensure that any information that is pre-filled is corrected or updated as needed. The information referenced in this section may also be posted by the Awarding Agency to Recovery.gov. The total amount of ARRA funds received from the Awarding Agency. The amount of ARRA funds received that were expended or obligated to projects or activities. A detailed list of all projects or activities for which ARRA funds were expended or obligated, including: a. the name of the project or activity; b. a description of the project or activity; c. an evaluation of the completion status of the project or activity; and d. an estimate of the number of jobs created and the number of jobs retained by the project or activity. The total amount of ARRA funds received from the Awarding Agency. The amount of ARRA funds received that were expended or obligated to projects or activities. Detailed information on any subcontracts awarded by the Tribe to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director, Office of Management and Budget.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the grant in order to make audits, examinations, excerpts, and transcripts. Grantees are required to maintain grant accounting records for 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3 year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0392-2-0-099 - 75-0389 20092010.

Obligations:

(Project Grants (Contracts)) FY 09 \$80,000,000; FY 10 est \$420,000,000; FY 11 est \$0 - The IHSs authority to obligate funds related to ARRA contracts expires on September 30, 2010 and any contractual conditions placed on receipt of payments under the ARRA addendum/contract must be met to ensure obligation by that date at the latest or earlier as agreed to in this contract/addendum or in the associated contractual documents.

Range and Average of Financial Assistance:

\$0 - \$80 Million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

ARRA, Public Law 111-5, ARRA OMB Guidance ; Public Law 93-638, as amended, Public Law 106-206; Internal Agency Procedures Manual for Contracting Under Title I of the Indian Self Determination and Education Assistance Act; Indian Health Circular No. 2000-01; 25 CFR 900; 42 CFR 36; and the terms and conditions on the HHS website at: [Http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html](http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html)

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

: CAPT Randall J.F. Gardner, P.E., USPHS 12300 Twinbrook Parkway, Suite 600A, Rockville, Maryland 20852 Email: Randall.Gardner@ihs.gov Phone: (301) 443-1247.

Website Address:

<http://www.hhs.gov/recovery/> or <http://www.recovery.gov/>

RELATED PROGRAMS:

15.024 Indian Self-Determination Contract Support; 15.025 Services to Indian Children, Elderly and Families; 15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.723 ARRA - PREVENTION AND WELLNESS-STATE, TERRITORIES AND PACIFIC ISLANDS

Centers for Disease Control and Prevention - ARRA Prevention and Wellness - State, Territories and Pacific Islands Supplemental

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under sections 301(a), 307, 310, 3111 and 317(k) (2) American Recovery and Reinvestment Act of 2009 (ARRA) [Public Law 111.5], Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2, and the Comprehensive Smoking Education Act of 1984, Comprehensive Smokeless Tobacco Health Education Act of 1986.

OBJECTIVES:

This funding was appropriated under the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2). The ARRA funds are intended to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. There will be two program components included in the supplemental award announcement; Nutrition, Physical Activity, and Tobacco Control (component 1) and National Network of Tobacco Quitlines (component 2). This initiative will address the following areas in component 1: Increased levels of physical activity Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats); decreased smoking prevalence and decreased teen smoking initiation; and Decreased exposure to secondhand smoke. and Component 2 Expand National Network of Tobacco Quitlines. States, Territories, Pacific Islands and the District of Columbia funded under this announcement will accomplish this by planning and implementing interventions and establishing evidence-based policies to support and institutionalize healthy behaviors related to nutrition, physical activity and tobacco prevention among state/territory residents. These strategies will ultimately help change social norms and make healthier choices easier and more affordable to residents. Programs will focus on policy and environmental changes that both improve state- and territory-wide policies and support community efforts for chronic disease prevention and control.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Of the \$650 million, \$128.1 million will support States and Territories in promoting wellness and preventing chronic disease through state-wide and local policy and environmental change for chronic disease prevention and to expand tobacco quit lines and tobacco counter advertising. This program will support evidence-based policies and interventions at the state and local levels which ultimately help change social norms and make healthier choices easier and more affordable. Programs will focus on policy and environmental changes that both improve statewide policies and support community efforts for chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual to support policy and system changes to reduce chronic disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions in the following areas; to increased levels of physical activity, improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats); decreased smoking prevalence and decreased teen smoking initiation; and to decreased exposure to secondhand smoke. To support a core infrastructure for the delivery of quitline services which include proactive counseling and promotion/outreach. Proactive quitlines exist when a trained counselor telephones the smokers to provide support in initiating a quit attempt and maintaining prolonged abstinence. Funds may be used to expand and promote proactive counseling capacity, enhance and expand integration of online and other electronic information support technologies, expand media and marketing efforts, extend hours of service, expand outreach to specific populations, provide multiple language services, increase collaborations with healthcare systems and providers, and for evaluation. Purchase of Nicotine Replacement Therapy medications is authorized with these funds. FDA-approved Nicotine Replacement Therapy (e.g., nicotine patch, gum, nasal spray, inhaler, and lozenge) is proven effective in helping people quit smoking. Recipients may not use funds for research. Recipients may not use funds for clinical care. Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Recipients may not spend more than 10 percent of the total award on nicotine replacement therapy.

Applicant Eligibility:

Only applicants currently funded under Funding Opportunity Announcement DP09-901 and DP09-902 are eligible to apply for these supplemental funds.

Beneficiary Eligibility:

Only applicants currently funded under Funding Opportunity Announcement DP09-901 and DP09-902 are eligible to apply for these supplemental funds.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, describe plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next two years and provide a budget with justification of funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applicants must download the SF424 application forms through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email:

PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY (770) 488-2783.

Award Procedure:

All applications that are complete and responsive to non-competitive supplemental grant announcements will be evaluated for scientific and technical merit and receive support. Support will be need and formula based and demonstrated capacity to accomplish the activities listed in the supplemental announcement. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: until October 30, 2012. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below. Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information: (a) The total amount of ARRA funds under this award; (b) The amount of ARRA funds received under this award that were obligated and expended to projects or activities; (c) The amount of unobligated award balances; (d) A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (e) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282). For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional district, and country; and (9) a unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity. (f) All sub-awards

less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.

(g) Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted. (h) Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0942-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$130,092,755; FY 11 est \$0 - Funding for ARRA awards were budgeted for a 2-year budget/project period, and funding was obligated in FY10.

Range and Average of Financial Assistance:

Component 1 (State Chronic Disease Policy and System Change - \$300,000 to 3.9 million
Component 2 (Tobacco Quitline) - \$100,000 to 3.2 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not Applicable. Fiscal Year 2010: In fiscal year 2010, projected to issue 53 awards to reduce Chronic Disease risk

factors, prevent and delay chronic disease, promote wellness, and better manage

chronic conditions. This initiative will address the following:

- Increased levels of physical activity
- Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats);
- Decreased smoking prevalence and decreased teen smoking initiation; and
- Decreased exposure to secondhand smoke.

Expand National Network of Tobacco Quitlines. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Kevin Collins, 1600 Clifton Road, NE., Mailstop K45, Atlanta, Georgia 30333
Email: KTCollins@cdc.gov Phone: (770) 488-1218 Fax: (770) 488-1220.

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to state and local health departments for Evidenced-Based Clinical and Community-Based Prevention and Wellness activities. CDC will require state applicants to specifically address in their applications state plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next 2 years. Specifically, states will need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan. Other criteria will be listed in individual funding opportunity announcements.

93.724 ARRA - PREVENTION AND WELLNESS COMMUNITIES PUTTING PREVENTION TO WORK FUNDING OPPORTUNITIES ANNOUNCEMENT (FOA)

Centers for Disease Control and Prevention ARRA Prevention and Wellness Communities Putting Prevention to Work

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under section 301(a) and 317(k) 2) American Recovery and Reinvestment Act of 2009, Public Health Service Act, 42 U.S.C. 241(a) and 247b (k) 2311.

OBJECTIVES:

This funding was appropriated under the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2) to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. This initiative will address the following:

- Increased levels of physical activity
- Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats);
- Decreased smoking prevalence and decreased teen smoking initiation; and
- Decreased exposure to secondhand smoke.

Communities funded under this announcement will accomplish this by implementing population-based approaches such as policy, systems, and environmental changes across 5 evidence-based strategies access, media, point of decision information, social support services, and price in both schools and communities. Both components are necessary to achieve behavior change in youth that sustain from school settings into family and community settings, and supports sustaining healthy behavior into adulthood. Communities funded by this announcement will receive a menu of evidence-based interventions along with support and tools from the Centers for Disease Control and Prevention (CDC) to strengthen and develop effective strategies tailored to their needs.

The cooperative agreements will address the Healthy People 2010 focus areas of obesity, cardiovascular disease, tobacco, and educational and community-based programs; the Health Protection Goals of Healthy People in Every Stage of Life which states that all people, and especially those at greater risk of health disparities, will achieve their optimal lifespan with the best quality of health in every stage of life, and Healthy People in Healthy Places which states that the places where people live, work, learn, and play will protect and promote their health and safety, especially those at greater risk

of health disparities..

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

Of the \$650 million, \$409.9 million will support intensive community approaches to chronic disease prevention and control described in Section 0.50 above. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual to reduce risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions in the following areas; to increased levels of physical activity, improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats); decreased smoking prevalence and decreased teen smoking initiation; and to decreased exposure to secondhand smoke.

Recipients may not use funds for research.

Recipients may not use funds for clinical care.

Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.

The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.

Recipients may not spend more than 10% of the total award on nicotine replacement therapy.

Applicant Eligibility:

See Index.

Beneficiary Eligibility:

See Index.

Credentials/Documentation:

Applicants will be required to provide the following information:

Demonstrate that they have a coalition in place with experience in promoting health promotion or prevention of chronic disease outcomes or experience that relates to improving chronic disease outcomes, including the capacity to influence policy, system and/or environmental changes in the community.

Demonstrate that they have an existing community action plan in place related to improving behaviors that lead to chronic diseases through changing policy, systems and/or environment.

Provide documentation that they have received a grant of sufficient size to indicate the ability to adhere to Federal ARRA requirements and to expend a large amount of funds in the project period outlined by the Communities Putting Prevention to Work Initiative.

Ensure that at least 50% of the total award is spent extramurally.

Provide letters of support from school districts indicating support for implementing the YRBS survey for baseline and follow-up data. Successful applicants will also be required to meet quarterly benchmarks for the Communities Putting Prevention to Work Initiative. At the end of each quarter, the grantee will receive a score card that indicates the percentage of benchmarks being met (100%-70% of benchmarks = green; 70%-50% of benchmarks = yellow; less than 50% of benchmarks = red). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Applicants must download the SF424 application forms through Grants.gov/Apply.

Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or

further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

Award Procedure:

All applications that are complete and responsive to this competitive funding opportunity announcement will be evaluated for programmatic and technical merit. Applications will be reviewed for completeness by the Procurement and Grants Office (PGO) staff and for responsiveness jointly by the National Center for Chronic Disease Prevention and Health Promotion and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet submission requirements.

An objective review panel will evaluate complete and responsive applications according to the evaluation criteria listed in the funding opportunity announcement. The panel will be comprised of a primary, secondary, and tertiary reviewer that will score the applications and document their strengths and weaknesses. The applications will be scored against the criteria not against one another. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no matching requirement.

This program does not have MOE requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: until April 30, 2012. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below. Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information:

(a) The total amount of ARRA funds under this award; (b) The amount of ARRA funds received under this award that were obligated and expended to projects or activities; (c) The amount of unobligated award balances; (d) A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (e) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the

Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282). For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional district, and country; and (9) a unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity.

(f) All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.

(g) Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted. (h) Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine PHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements.

Account Identification:

75-0942-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$392,064,977; FY 11 est \$0 - Funding for ARRA awards were budgeted for a 2-year budget/project period, and funding was obligated in FY10.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Not Applicable. Fiscal Year 2010: In fiscal year 2010, projected to issue 40 awards to reduce Chronic Disease risk factors, prevent and delay chronic disease, promote wellness, and better manage chronic conditions. This initiative will address the following:

- Increased levels of physical activity
- Improved nutrition (e.g. increased fruit/vegetable consumption, reduced salt and trans fats);
- Decreased smoking prevalence and decreased teen smoking initiation; and
- Decreased exposure to secondhand smoke. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 92

Regional or Local Office:

None.

Headquarters Office:

Mike Waller, 1600 Clifton Rd, Atlanta, Georgia 30333 Email: MNW1@cdc.gov Phone: (770) 488-5264.

Website Address:

No Data Available

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to state and local health departments for Evidenced-Based Clinical and Community-Based Prevention and Wellness activities. CDC will require state applicants to specifically address in their applications state plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next 2 years. Specifically, states will need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan. Other criteria will be listed in individual funding opportunity announcements.

93.725 ARRA - COMMUNITIES PUTTING PREVENTION TO WORK: CHRONIC DISEASE SELF-MANAGEMENT PROGRAM

CDSMP

FEDERAL AGENCY:

Administration on Aging, Department of Health and Human Services

AUTHORIZATION:

The statutory authority for cooperative agreements under this program announcement is contained in Division A, Title VIII of the American Recovery and Reinvestment Act (Recovery Act) of 2009 (Public Law 111.5). , Title VIII, Public Law 111-5.

OBJECTIVES:

The American Recovery and Reinvestment Act of 2009 (Recovery Act), signed into law February 17, 2009, is designed to stimulate economic recovery in various ways: to preserve and create jobs; to promote economic recovery; to assist those most impacted by the recession, to stabilize state, territorial and local government budgets to minimize and avoid reductions in essential services and counterproductive state, territorial, and local tax increases; to strengthen the Nations healthcare infrastructure; and to reduce healthcare costs through prevention activities. The Recovery Act includes \$650 million for evidence-based clinical and community-based prevention and wellness strategies that deliver specific, measurable health outcomes. The legislation provides an important opportunity for communities, States, territories, cities, rural areas, and tribes to advance public health across the lifespan and to eliminate health disparities. Of the \$650 million appropriated for this initiative (Communities Putting Prevention to Work), \$32.5 million will support States and territories in promoting wellness by deploying evidence-based chronic disease self-management programs targeted at older adults with chronic conditions. AoA, in collaboration with CDC, is providing Recovery Act funding under this announcement to support state efforts to deploy evidence-based chronic disease self-management (CDSMP) programs that empower older people with chronic diseases to maintain and improve their health status. The community-based collaborative networks setup through these efforts will provide the foundation for an infrastructure and statewide distribution system that States can use for delivering CDSMP and other evidence-based prevention programs to older adults. These statewide distribution systems will include a quality assurance component to ensure the evidence-based prevention programs are being delivered with fidelity and achieving results comparable to those produced in the original research. The ultimate goal is to have States and communities embed these structures into their statewide systems that provide community-based services and supports to older adults to help them maintain their health and independence in the

community.

TYPES OF ASSISTANCE:

Cooperative Agreements (Discretionary Grants)

USES AND USE RESTRICTIONS:

In general, the use of these funds is to support state efforts to deploy evidence-based chronic disease self-management (CDSMP) programs that empower older people with chronic diseases to maintain and improve their health status. These funds are not to be used for free standing CDSMP programs that are not part of a states larger systems development effort to delivery community-based evidence-based prevention programs to older adults. In using funds for this award for infrastructure investment, recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after the date of the enactment of Recovery Act. Recipients shall also use grant funds in a manner that maximizes job creation and economic benefit (Recovery Act Sec. 1602). The following activities are not fundable: construction and/or major rehabilitation of buildings; basic research (e.g. scientific or medical experiments); and, continuation of existing projects without expansion. Furthermore, none of the funds appropriated or otherwise made available in the Recovery Act may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (Recovery Act Sec. 1604). These funds are not to be used for free standing CDSMP programs that are not part of a states larger systems development effort to delivery community-based evidence-based prevention programs to older adults. The following activities are not fundable: construction and/or major rehabilitation of buildings; basic research (e.g. scientific or medical experiments); and, continuation of existing projects without expansion. Furthermore, none of the funds appropriated or otherwise made available in the Recovery Act may be used by any State or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool.

Applicant Eligibility:

Eligible applicants include all 56 States and territories as follows: in the 24 States with existing AoA Evidence-Based Disease Prevention cooperative agreements, eligibility is limited to the recipient State agency of those existing cooperative agreements; in the 32 States and territories that do not have existing AoA Evidence-Based Disease Prevention cooperative agreements, only State units on aging and State health departments are eligible to apply. Regardless of which agency applies, both the State unit on aging and State health department must be full partners in the development and implementation of the proposed program. The State Medicaid Agency must also be involved in the development and implementation of the program. Only one application will be permitted for each State or territory.

Beneficiary Eligibility:

In general, older adults with chronic diseases. Within this population grantees will be asked to define how they will specifically target low-income, minority, and limited English speaking older adults with chronic diseases.

Credentials/Documentation:

The application must include a letter of support from the states Governor in support of the appointed lead agency (i.e. the State unit on aging or the State health department). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Only one application will be accepted from each State or territory, and a letter of support from the Governor must be included with the States application. States are expected to coordinate with tribal entities in their jurisdiction, and give special attention to serving low-income, minority and limited English speaking seniors. States are encouraged to link with other community-based efforts with special attention to leveraging other public and private sector resources, including federally funded (including Recovery Act, Older Americans Act, Public Health Services Act, Medicare, and Medicaid funded) activities, State revenue programs, and private philanthropy. This funding opportunity is an opportunity for State units on aging and State health departments to collaborate on the development of distribution and delivery systems for CDSMP and eventually other evidence-based prevention programs that have the potential to improve the quality of life for millions of seniors and

reduce health care costs. A State governments State unit on aging or health department will be the lead agency for this cooperative agreement. Regardless of which agency is the lead, the entire grant application, including the work plan, should reflect substantial mutual effort, cooperation, and substantive contributions by both agencies throughout the grant period as well as a significant role for the State Medicaid agency. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Grant applications for federal assistance (Nonconstruction Programs) are in accordance with program announcements developed by the Administration on Aging (AoA) and will be simultaneously published on Grants.gov (www.grants.gov) and on the AoA web site at <http://www.aoa.gov/AoARoot/Grants/index.aspx> when funding is available. As specified in the program announcement, application forms and instructions may be obtained by writing to the U.S. Department of Health and Human Services, Administration on Aging, Grants Management Office, Washington, D.C., 20201

Award Procedure:

Notification of Award will be issued to the grantee.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has no statutory formula or matching requirement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Cooperative Agreements will be made for a 2 year period. Grant extensions are available and may be requested from the grantee's Program Officer. Method of awarding/releasing assistance: by letter of credit.

Reports:

Semi-annual program progress reports are required through the life of the award. Financial Reports are required on a quarterly basis through the life of the award. Final program progress reports and financial reports are due 90 days after the end of the project period. Semi-annual program progress reports are required through the life of the award. Financial Reports are required on a quarterly basis through the life of the award. Final program progress reports and financial reports are due 90 days after the end of the project period. All grantees must also comply with all requirements specified in Division A of the Recovery Act, including the reporting requirements outlined in Section 1512. Section 1512 of the Recovery Act requires reports on the use of Recovery Act funding by grantees no later than the 10th day after the end of each calendar quarter and for the federal agency providing those funds to make the reports publicly available no later than the 30th day after the end of that quarter. A full list of the recipient reporting data elements is available at:

http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-21-supp2.pdf and the HHS ARRA award terms and conditions are available at: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be maintained for 3 years after submission of the final financial report.

Account Identification:

75-0142-0-1-506.

Obligations:

(Formula Grants) FY 09 \$0; FY 10 est \$27,500,000; FY 11 est \$0 - ARRA funding. No future funding anticipated.

Range and Average of Financial Assistance:

\$50,000 to \$2,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No funding was available in 2009. Fiscal Year 2010: This is a new program for FY 2010 therefore there are no program accomplishments to date. The agency anticipates up to 56 awards to states and territories. The key objectives of this 2-year funding opportunity are to: (a) Deliver CDSMP to 50,000 individuals; (b) Document the impact of CDSMP on participant behavior and health status; (c) Develop and test an approach for using Medicare claims data to track the impact of CDSMP on participant health care utilization; and (d) Strengthen the capacity of States and communities to systematically deploy CDSMP and other evidence-based prevention programs that benefit older adults. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

All grantees must also comply with all requirements specified in Division A of the Recovery Act, including the reporting requirements outlined in Section 1512. Section 1512 of the Recovery Act requires reports on the use of Recovery Act funding by grantees no later than the 10th day after the end of each calendar quarter and for the federal agency providing those funds to make the reports publicly available no later than the 30th day after the end of that quarter. A full list of the recipient reporting data elements is available at: http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-21-supp2.pdf and the HHS ARRA award terms and conditions are available at: <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. Regional Administrator, Administration on Aging, Department of Health and Human Services, located at all HHS Federal Regional Offices. (See Appendix IV of the Catalog for addresses.).

Headquarters Office:

Jane Tilly, Administration on Aging, One Massachusetts Ave., Washington, District of Columbia 20001 Email: Jane.Tilly@aoa.hhs.gov Phone: (202) 357-3438 Fax: (202) 357-3469.

Website Address:

<http://www.aoa.gov>

RELATED PROGRAMS:

93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects; 93.724 ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Cooperative agreements will be awarded on a competitive basis. Specific details for evaluating applications are published as part of the program announcement. Major emphases are: (1) documented need; (2) expected outcomes and benefits; (3) innovative approach; (4) level of effort; (5) coordination and partnerships; and (6) projects that benefit disadvantaged groups, including low-income, minority and limited English speaking populations.

93.726 ARRA ACCELERATING ADOPTION OF COMPARATIVE EFFECTIVENESS RESEARCH (CER)

CER

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA), Public Law 111-5; Public Health Services Act, Title 42, Section 301.

OBJECTIVES:

Comparative effectiveness research is the conduct and synthesis of research comparing the benefits and harms of different interventions and strategies to prevent, diagnose, treat and monitor health conditions in real world settings. The purpose of this research is to improve health outcomes by developing and disseminating evidence-based information to patients, clinicians, and other decision-makers, responding to their expressed needs, about which interventions are most effective for which patients under specific circumstances.

The purpose of this program is to develop and test strategies to improve the dissemination of CER information and the resultant translation of this evidence into practice in the non-profit and private sector. Doing so effectively would demonstrate a return on the CER investment, and, more importantly, improve the health of the patients served by a given organization. Funds will be used to support organizations that 1) develop evidence-informed, yet innovative dissemination and translation strategies; 2) develop proposals that study the implementation of dissemination and translation strategies within their organization.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program is subject to the provisions of 45 CFR 74, and 45 CFR 92 as well as OMB Circular No. A-102 (Administrative Guidelines for States and Local Governments), and OMB Circular A-110 (Administrative Guidelines for Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations). Funds may not be used for construction or renovation of buildings.

Applicant Eligibility:

Types of institutions or organizations that can apply include integrated health care systems, integrated provider systems, academic medical centers, provider consortia, community health center networks, private payers, other non-governmental organizations that administer or directly provide health care services, and organizations that serve health care consumers. Additional eligible applicants include University-based schools (e.g. School of Public Policy or Public Health) and private research institutes.

Projects must meet the definition of CER in the Federal Coordinating Council Report: <http://www.hhs.gov/recovery/programs/cer/cerannualrpt.pdf>.

Beneficiary Eligibility:

Expected beneficiaries include patients, health care providers, policymakers, and healthcare systems.

Credentials/Documentation:

Nonprofit organizations must provide evidence of tax-exempt status. When projects involve the collaborative efforts of more than one organization or require the use of services or facilities not under the direct control of the applicant, written assurances of specific support or agreements must be submitted by the affected parties. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications are submitted in response to specific funding opportunity announcements via Grants.gov. Each announcement provides specific additional instructions regarding the contents of the narrative description of the project, budget justification, forms and other required information.

Award Procedure:

After the peer review of the application is completed, the Principal Investigator will be able to access his or her Summary Statement (written critique) via the eRA Commons. A formal notification in the form of a Notice of Award (NOA) will be provided to the applicant organization. Once all administrative and programmatic issues have been resolved, the Notice of Award will be generated from the awarding component to the grantee business official. The NOA signed by the grants management officer is the authorizing document.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Extensions to the project period may be made if deemed appropriate by the Assistant Secretary for Planning and Evaluation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program is funded by ARRA and has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants and cooperative agreements are generally funded on a 12-month basis. Support beyond the first year is contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and the availability of funds. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. When multiple years are involved, grantees will be required to submit the Non-Competing Grant Progress Report (PHS 2590) annually. The annual progress reports must include Sections a through f as described in the general PHS form 2590 instructions, as well as sections g through j as described in Section IV of the 2590 instructions. For details regarding progress report submission, refer to <http://www.ahrq.gov/fund/noncomp.htm>. If instructions on the ASPE website are different from the PHS form 2590 instructions, follow the instructions on the ASPE website. The Progress Report is to include descriptive and evaluative comments on both completed activities and plans for the remainder of that year, including any changes foreseen in the future. At a minimum, the reports will include descriptive comments on: progress to date measured against project aims; methodological changes implemented; key preliminary findings; significant problems and resolutions; inclusion of priority populations; and project related publications, presentations, and dissemination activities. ASPE will provide the timetable for these progress reports. A final Progress Report and Financial Status Report are required when an award ends. For further details regarding grant closeout requirements, refer to <http://www.ahrq.gov/fund/closeout.htm>. Financial Status Reports (FSRs; SF 269) are required annually by ASPE for ALL grant programs as described in the HHS Grants Policy Statement (see <http://www.ahrq.gov/fund/hhspolicy.htm>). This report must be submitted for each budget period no later than 90 days after the close of the budget period. A hard copy of the report should be submitted to the assigned grants management specialist.

A final Progress Report and Financial Status Report are required when an award ends. For further details regarding grant closeout requirements, refer to <http://www.ahrq.gov/fund/closeout.htm>. In addition to the standard ASPE terms of award, all funding provided under the Recovery Act will be subject to the HHS Standard Terms and Conditions for American Recovery and Reinvestment Act of 2009 (ARRA). The full text of these terms approved for ASPE awards can be found in the following document: Standard Terms and Conditions for ARRA Awards. Recovery Act-related reporting requirements will be incorporated as a special term of award. A final Progress Report and Financial Status Report are required when an award ends. For further details regarding grant closeout requirements, refer to <http://www.ahrq.gov/fund/closeout.htm>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable as Federal financial assistance are subject to audit by the HHS audit agency and the Office of the Assistant Secretary for Planning and Evaluation and NIH conducts audits.

Records:

In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are to maintain accounting records for a minimum of 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0910-1-7-010.

Obligations:

(Project Grants) FY 08 \$0; FY 09 est \$0; FY 10 est \$14,000,000

Range and Average of Financial Assistance:

ASPE has designated \$300,000 to \$3,000,000 in FY 2010 to fund 5-10 grants, contingent upon the submission of a sufficient number of scientifically meritorious applications.

Budget proposals are limited to \$1,000,000 in total costs per year for a total of \$3,000,000 in costs over 3 years. Facilities and administrative (F&A) costs requested by applicants are included in the total cost limitation. Applications with project periods that exceed 3 years or budgets that exceed \$1,000,000 total costs per year will not be reviewed.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is anticipated that 30 applications will be received and 5-10 awards will be granted in fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grant administration policies (45 CFR 74 and 92) and application kits may be obtained from the ASPE Grants Management Specialist, LTS, National Institute of Allergy and Infectious Diseases, NIH, DEA, DHHS, 6700B Rockledge Drive, Room 3220, Bethesda, MD 20892-7614. Additional information about the mission and activities of the Office of the Assistant Secretary for Planning and Evaluation is available on its website at <http://aspe.hhs.gov>.

Regional or Local Office:

None.

Headquarters Office:

Patrick H. Conway 200 Independence Avenue SW, Room 415-F, Washington, District of Columbia 20201 Email: patrick.conway@hhs.gov Phone: 2026907388

Website Address:

<http://aspe.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The rating criteria will be included in the FOA that requests grant proposals. The criteria typically include such concerns as the significance and usefulness of the project; the appropriateness of the intervention/measurement methodology and design; the qualifications of personnel and the sponsoring organization; and the likelihood that the work plan and budget will produce the intended results.

93.727 ARRA - HEALTH INFORMATION TECHNOLOGY - BEACON COMMUNITIES

Beacon Community Program

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act (ARRA) of 2009, Division A, Title XIII, Part HITECH Act, Section 3011, Public Law 111-5.

OBJECTIVES:

The Beacon Community Program will provide funding to communities to build and strengthen their health information technology (health IT) infrastructure and exchange capabilities to demonstrate the vision of the future where hospitals, clinicians and patients are meaningful users of health IT, and together the community achieves measurable improvements in health care quality, safety, efficiency, and population health.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Awarded communities must use the funding provided under this program to support health IT and information exchange infrastructure and implement performance measurement, quality improvement, and care coordination programs to achieve improvements in health care quality, safety, efficiency, and population health. Funding can build and strengthen existing health IT and information exchange infrastructure and support 1) the use of health information technology by public health departments, 2) adoption of certified electronic health records (by providers not currently eligible for HIT incentive payments or regional HIT extension center assistance), 3) training on and dissemination of information on best practices to integrate health information technology into providers delivery of care, 4) infrastructure and tools for the promotion of telemedicine, 5) community-wide quality reporting repositories and registries, and 6) the protection of health information by all holders of individually identifiable health information. None of the funds appropriated or otherwise made available in ARRA may be used by any state or local government, or any private entity, for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool. (ARRA Sec. 1604).

Applicant Eligibility:

The lead applicant which submits a Beacon Community application on behalf of their community must be a US-based non-profit organization or state, local, tribal or territorial government entity, but may represent a consortium of organizations and providers. Private providers and insurers will be encouraged to participate in the consortia.

Beneficiary Eligibility:

The identification and dissemination of best practices and lessons learned will directly benefit organizations and communities advancing health information technology and exchange. The knowledge attained by these awarded communities will, in turn, benefit the nations communities as a whole.

Credentials/Documentation:

If an applicant is a US-based non-profit entity it must provide documentation of its 501C (3) status or IRS determination letter, IRS tax exemption certificate, or letter from state taxing body verifying tax-exempt status. If the proposal is on behalf of a consortium, there must be letters of commitment from all members of the consortium which include their tax status. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. This program is excluded from Executive Order 12372.

Applications are solicited by a program announcement. The availability of this announcement will be broadly published, including by posting on

www.grants.gov.

All timely applications from eligible entities that conform to the requirements of the program announcement will be forwarded to an objective review committee which will make funding recommendations, based on the merits of the applications. The selection of awardees will be made by the Secretary of HHS, or designee.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. There is no match required for this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards will be made for a 36 month project period with one 36 month budget period. Method of awarding/releasing assistance: lump sum.

Reports:

Program report requirements, if applicable, will be identified in the Program Announcement. Cash report requirements, if applicable, will be identified in the Program Announcement. Progress report requirements, if applicable, will be identified in the Program Announcement. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. ARRA reports will be required quarterly. Reporting requirements for ARRA programs can be found at:

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>.

Applicants will submit annual progress reports as outlined in the Program Announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3- year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3 year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$250,375,000; FY 11 est \$0

Range and Average of Financial Assistance:

Awards may range from a minimum of \$10,000,000 to a maximum of \$20,000,000. Average award amount is anticipated to be \$15,000,000. 15 awards will be granted in Fiscal Year 2010.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74, 45 CFR Part 92, and the HHS Grants Policy Statement located at:

<http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Office of the National Coordinator for Health Information Technology 200 Independence Avenue, SW, Suite 729D, Washington, District of Columbia 20201 Email: BeaconCommunityGrants@hhs.gov Phone: 202-690-7151

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

93.718 Health Information Technology Regional Extension Centers Program;
93.719 ARRA - State Grants to Promote Health Information Technology

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications will be made available in the program announcements for this program. In general, all applicants are required to demonstrate capability to achieve specific and measurable health outcomes and health care efficiency objectives consistent with health IT enabled performance monitoring, feedback, practice redesign, and care coordination.

93.728 ARRA - STRATEGIC HEALTH IT ADVANCED RESEARCH PROJECTS (SHARP)

SHARP

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5) (Recovery Act), Title XIII, Part A, Section 3011.

OBJECTIVES:

To competitively-awarded cooperative agreements to establish Strategic Health IT Advanced Research Projects (SHARP). The awardees will conduct research focusing on where breakthrough advances are needed to address well-documented problems that have impeded adoption of health IT and to accelerate progress towards achieving nationwide meaningful use of health IT in support of a high-performing, learning health care system.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

ONC expects to award four cooperative agreements to support establishment of Strategic Health IT Advanced Research Projects (SHARP) sites for a project period of four years. Each such research site will have a two-part mission:

- (1) to implement a collaborative, interdisciplinary program of research addressing a specific focus area from the list provided below, addressing short-term as well as long-term challenges; and
- (2) to develop and implement a cooperative program between researchers, patient groups, health care providers, and other health IT sector stakeholders to transition the results of research into practice.

Each site will focus on areas where breakthrough improvements are needed to address well-documented problems that have impeded adoption of health IT and thereby accelerate progress on the pathway towards achieving the goals outlined in the strategic plan for health IT developed by the National Coordinator pursuant to PHSA Section 3001(c)(3) as added by the Recovery Act, specifically including the nationwide achievement of meaningful use of health IT to achieve transformational improvement of the health care system.

Each site will implement a collaborative, inter-disciplinary research program addressing one of the specific focus area(s) listed below. An eligible entity may, apply for funding in separate applications to establish more than one site, and thus it is possible a single eligible entity (institution) may receive more than one award under this program. Regardless of the total number of institutions funded to establish sites under this program, it is expected that the all of the awardees will collaborate with each other, as there will be numerous points of intersection among the sites' agendas.

Within its focus (listed below) area, each site will carry out at least the following set of activities:

Initially define and subsequently update or redefine on a yearly basis, the key issues and research challenges within their respective area(s) of focus. The research areas identified will be those that significantly address barriers and solutions to achieving widespread adoption and meaningful use of health IT. Projects to address the identified issues will be mapped to either a short-term (< 2 years) or long-term (4 years) timeframe. Because this is a cooperative agreement, recipients shall plan and allow for collaboration with ONC and other federal staff with relevant expertise as identified or approved by ONC in establishing and updating the definition of key issues and research challenges in the sites focus area;

Conduct ambitious research addressing these key issues and challenges. This work will draw on the scientific methods and expertise of researchers and practitioners in diverse fields;

Collect specific, uniform data about the research activities and track progress toward milestones;

Facilitate practical and efficient processes that enable translation of research into health care and public health innovations, facilitating the transition of multidisciplinary research outcomes into new healthcare products and services both the short- and long-term;

Partner with industry to rapidly transfer short term results of this research into health IT products;

Publish and otherwise disseminate these research findings, preferably in open source journals that maximize the accessibility of this knowledge to the entire health IT community

Participate in an external evaluation; and

Select desired, measurable outcomes specific to the chosen research issue and methods for attaining results.

Research focus areas

In no particular order, the four foci for research identified below will be addressed by this program. The areas have been assigned numbers for ease of reference. Appendix B provides further detail about each of these areas.

1. Security of Health Information Technology This research area addresses the challenges of developing security and risk mitigation policies and the technologies necessary to build and preserve the public trust as health IT systems become ubiquitous.

This research area is responsive to paragraphs (1), (4), (5), and (6) of the PHSA 3011(a), as added by the Recovery Act, through the following activities:

Promoting the development and implementation of health information technology solutions that will support the electronic exchange and use of health information in a secure, private manner;

Promoting the development and implementation of technologies and best practices that enhance the protection of health information by all holders of individually identifiable health information;

Enhancing the capabilities and functionalities of tools to support privacy and security of individually identifiable health information as it is exchanged and used; and,

Supporting and enhancing the assurance of privacy needed providers and patients widespread acceptance of telemedicine and robust participation in

clinical data repositories and registries.

2. Patient-Centered Cognitive Support This research area addresses the challenge of harnessing the power of health IT so that it integrates with, enhances and supports clinicians reasoning and decision making, rather than forcing them into a mode of thinking that is natural to machines but not to people.

PHSA 3011(a), as added by the Recovery Act, directs the support of health IT architecture that will support the use of health information in an accurate manner. Additionally, paragraphs (3) and (5) of the same subsection of the statute authorize use of funding appropriated under PHSA Section 3018, as added by the Recovery Act, to disseminate training and information on best practices to integrate health IT into a providers delivery of care, and to promote the use of clinical data repositories and registries. All of these paragraphs ((1), (3), and (5) of PHSA 3011(a) as added by the Recovery Act) point to longstanding challenges that require new and creative research related to the accurate and effective use of electronic health information to enhance the safety, quality, efficacy, and thus the overall value of care.

3. Healthcare Application and Network Platform Architectures This research area focuses on the development of architectures that are necessary to achieve electronic exchange and use of health information in a secure, private and accurate manner.

PHSA 3011(a)(1), as added by the Recovery Act, authorizes support of health IT architecture that will support the nationwide electronic exchange and use of health information in a secure, private, and accurate manner. This research focus specifically supports the development of new and improved architectures and technology infrastructure needed to achieve the goals outlined in the plan for health IT by the National Coordinator in support of PHSA 3001(c)(3), as added by the Recovery Act, and to support measures for determination of meaningful use of health IT by providers over time (required by Social Security Act (SSA) Sections 1848(o) and 1866(n), as added by Title IV in Division B of the Recovery Act).

4. Secondary Use of EHR Data This research area focuses on strategies to enhance the use of health IT in improving the overall quality of health care.

PHSA 3011(a), as added by the Recovery Act, states that the Secretary shall invest in the infrastructure necessary to allow for and promote the electronic exchange and use of health information for each individual in the United States consistent with the goals outlined in the strategic plan developed by the National Coordinator. PHSA 3001(c)(3), as added by the Recovery Act, directs that the National Coordinator shall, in consultation with other appropriate Federal agencies (including the National Institute of Standards and Technology), update the Federal Health IT Strategic Plan (developed as of June 3, 2008) to include specific objectives, milestones, and metrics with respect to a specific set of topic areas. The current Federal Health IT Strategic Plan (developed as of June 3, 2008), as referenced in the statute, advances secondary use as a key mode of information technology use to transform health care. This is consistent with, and will be updated and expanded upon pursuant to the direction of PHSA 3001(c)(3)(i), (vii) and (viii), as added by the Recovery Act, to add to the updated strategic plan such objectives, milestones, and metrics with respect to:

(Reference: PHSA 3001(c)(3)(i)) the electronic exchange and use of health information and the enterprise integration of such information.

(Reference: PHSA 3001(c)(3)(vii)) strategies to enhance the use of health IT in improving the quality of health care, reducing medical errors, reducing health disparities improving public health, increasing prevention and coordination with community resources, and improving the continuity of care among health care settings.

(Reference: PHSA 3001(c)(3)(viii)) the specific plans for ensuring that populations with unique needs, such as children, are appropriately addressed in the technology design, as appropriate.

Applicant Eligibility:

Any entity submitting an application for this award must be a U.S.-based:

public or private institution of higher education; or
other public or private institution or organization with a research mission.

Beneficiary Eligibility:

This Funding Opportunity Announcement (FOA) will result in new competitively-awarded cooperative agreements to establish Strategic Health IT Advanced Research Projects (SHARP). The awardees will conduct research focusing on where breakthrough advances are needed to address well-documented problems that have impeded adoption of health IT and to accelerate progress towards achieving nationwide meaningful use of health IT in support of a high-performing, learning health care system.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application procedures will be specified in individual program announcements. Applicants should consult with the office or official designated as the contact for additional information on the application procedures.

Award Procedure:

Following objective review of applications, those applicants whose applications have been selected by the Secretary of HHS (or designee) for funding will receive a Notice of Award signed by an HHS Grants Management Officer. The Notice of Award, which is sent to the applicants Authorized Organizational Representative, is the only official notification of award. Unsuccessful applicants will be advised by letter.

Deadlines:

Jan 25, 2010

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

ONC expects to award four cooperative agreements to support establishment of Strategic Health IT Advance Research Projects (SHARP) for a project period of four years. See the following for information on how assistance is awarded/released: PHSA 3012(c)(5), as added by ARRA, specifies that the Secretary may provide financial support to any regional center created under PHSA 3012(c) for a period not to exceed 4 years. Awards are expected to be for two, 2-year budget periods and a four year project period. The Funding Opportunity Announcement provides additional details on how assistance is awarded/released.

Reports:

Reporting and Registration Requirements under Section 1512 of the Recovery Act:

(a) This award requires the recipient to complete projects or activities which are funded under the Recovery Act and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public.

(b) The reports are due no later than ten calendar days after each calendar quarter in which the recipient receives the assistance award funded in whole or in part by the Recovery Act.

(c) Recipients and their first-tier recipients must maintain current registrations in the Central Contractor Registration (www.ccr.gov) at all times during which they have active federal awards funded with Recovery Act funds. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (www.dnb.com) is one of the requirements for registration in the Central Contractor Registration.

(d) The recipient shall report the information described in Section 1512(c) using the reporting instructions and data elements that will be provided online at www.FederalReporting.gov and ensure that any information that is pre-filled is corrected or updated as needed.

The frequency of interim progress reports and financial status reports will be identified in the program announcement and will be submitted no later than 30 days following the end of the reporting period to which they pertain. The final progress report and final financial status report are due no later than 90 days after the end of the project period. ARRA reporting and agency programmatic reporting provisions will require awardees to evaluate performance in view of stated objectives. No cash reports are required. The awardee shall submit annual progress reports related to their projects and overall site performance. A specific Performance Report format will be finalized between the awardee and ONC following the award date.

In accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are incorporated into this award by reference. Failing to comply with these requirements may result in suspension or termination of the award and/or ONC's recovery of award funds.

Quarterly Financial and Programmatic Reporting: Consistent with the Recovery Act's emphasis on accountability and transparency, reporting requirements under Recovery Act programs will differ from and expand upon HHS standard reporting requirements for grants and cooperative agreements. In particular, Section 1512(c) of the Recovery Act sets out detailed requirements for quarterly reports that must be submitted within 10 days of the end of each calendar quarter.

Recovery Act-Required Performance Measures - To assist in fulfilling the accountability objectives of the Recovery Act, as well as the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this program must provide data that measure the results of their work. Expenditure reports are not applicable. The awardee shall submit annual progress reports related to their projects and overall Center performance. A specific Performance Report format will be finalized between the awardee and ONC following the award date.

In accepting an ONC award, the recipient assumes legal, financial, administrative, and programmatic responsibility for administering the award in accordance with the terms and conditions of the award, as well as applicable laws, rules, regulations, and Executive Orders governing HHS assistance awards, all of which are incorporated into this award by reference. Failing to comply with these requirements may result in suspension or termination of the award and/or ONC's recovery of award funds.

Quarterly Financial and Programmatic Reporting: Consistent with the Recovery Act's emphasis on accountability and transparency, reporting requirements under Recovery Act programs will differ from and expand upon HHS standard reporting requirements for grants and cooperative agreements. In particular, Section 1512(c) of the Recovery Act sets out detailed requirements for quarterly reports that must be submitted within 10 days of the end of each calendar quarter.

Recovery Act-Required Performance Measures - To assist in fulfilling the

accountability objectives of the Recovery Act, as well as the Departments responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this program must provide data that measure the results of their work.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The recipient shall comply with audit requirements of OMB Circular A-133. Information on the scope, frequency, and other aspects of the audits can be found on the Internet at www.whitehouse.gov/omb/circulars;

Records:

Grantees are required to maintain grant records for a minimum of 3 years from the submission date of the final financial report. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until the completion of the action and resolution of all issues which arise from it or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0130-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$60,000,000; FY 11 est \$0 - Awards will be in the form of a cooperative agreement with a 4-year project period. Under this type of award, ONC will work collaboratively with each site to accomplish the goals of the award. Each cooperative agreement will anticipate a total budget of between \$10 million and \$18 million over the full 4-year project period.

Range and Average of Financial Assistance:

Awards may range from \$10,000,000 - \$18,000,000
Average award will be \$15,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available
Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 74 and the HHS Grants Policy Statement located at: <http://www.hhs.gov/grantsnet/adminis/gpd/index.htm>. There are no program regulations.

Regional or Local Office:

None.

Headquarters Office:

Wil Yu, 200 Independence, SW, Washington, District of Columbia 22209
Email: wil.yu@hhs.gov Phone: (202) 690-5920.

Website Address:

<http://healthit.hhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific, detailed criteria for determining the merit of applications will be made available in the program announcements for this program. In general, all applicants are required to demonstrate capability to achieve the goals, purposes, and objectives of the program consistent with applicable statutory provisions.

93.729 ARRA HEALTH INFORMATION TECHNOLOGY AND PUBLIC HEALTH

HITECH Public Health

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

Establish activities to support meaningful use of electronic health records through two-way communications between clinicians and national, state and local public health entities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Project funds will be used to improve the interoperability of immunization information systems and electronic laboratory information exchange between public health and clinical care. Of the HITECH funds that CDC will provide 85% of the funds to eligible states/territories/large local health departments, non-governmental organizations, or not-for-profit organizations using the following Cooperative Agreements: the Epidemiology and Laboratory Capacity for Infectious Disease program, the Laboratory Technical Implementation Assistance for Public Health and the CDC State Immunization Program. Project funds may be used for costs associated with planning, organizing, conducting and supporting programs directed toward meeting meaningful use objectives related to the interoperability of immunization registries with Electronic Health Records (EHR) and electronic laboratory information exchange between public health and clinical care. These funds may be used for the preservation of jobs necessary to build capacity in state and local health departments related to these program areas. CDC will provide 85% of the funds to eligible states/territories/large local health departments, non-governmental organizations, or not-for-profit organizations using the following Cooperative Agreements: the Epidemiology and Laboratory Capacity for Infectious Disease program, the Laboratory Technical Implementation Assistance for Public Health and the CDC State Immunization Program.

Applicant Eligibility:

Eligible applicants for grants and cooperative agreements are public health departments that are recipients of Section 317 grantees, Epidemiology and Laboratory Capacity cooperative agreements recipients, or Nonprofit with 501C3 IRS status (other than institution of higher education), Nonprofit without 501C3 IRS status (other than institution of higher education), For-profit organizations (other than small business), Small, minority, and women-owned businesses, Universities, Colleges, Research institutions, Hospitals, Community-based organizations, Faith-based organizations, Federally recognized or state-recognized American Indian/Alaska Native tribal governments, American Indian/Alaska native tribally designated organizations, Alaska Native health corporations, Urban Indian health organizations, Tribal epidemiology centers, State and local governments or their Bona Fide Agents (this includes the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau), Political subdivisions of States (in consultation with States).

Beneficiary Eligibility:

State/territory/large local health departments for two cooperative agreements and open competition for one cooperative agreement.

Credentials/Documentation:

Applicants should review the individual CDC Guidance documents issued under specific Funding Opportunity Announcement (FOAs) for this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide for this requirement through Grants.gov/Apply. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. Applicants will not be able to use any other SF424 (R&R) forms (e.g., sample forms, forms from another FOA) although

some of the. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applicants must download the SF424 (R&R) application forms and SF424 (R&R) Application Guide for this requirement through Grants.gov/Apply.

Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. Applicants will not be able to use any other SF424 (R&R) forms (e.g., sample forms, forms from another FOA) although some of the "Attachment" files may be useable for more than one FOA.

If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGOTIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov.

HHS/CDC Telecommunications for the hearing impaired: TTY 770-488-2783.

The standard application forms must be used for this program, as furnished by CDC and required by 45 CFR 92 for State and local governmental agencies, and by 45 CFR 74 for nongovernmental applicants. This program is subject to the provisions of 45 CFR 92 for State and local governments and 45 CFR 74 for nonprofit organizations.

Award Procedure:

Applications that are complete and responsive to competitive new grant and cooperative agreement announcements will undergo an objective review process, receive a written critique and be scored according to published review criteria. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Renewals will be based upon availability of funding under the American Recovery and Reinvestment Act.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

After Notices of Grant Award are issued, funds are released in accordance with HHS payment procedures, which may be through an Electronic Transfer System or a Monthly Cash Request System. Project period: up to two years. Method of awarding/releasing assistance: by letter of credit.

Reports:

Program reports are not applicable. Cash reports are not applicable. Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA (Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below.

Not later than 10 days after the end of each calendar quarter, starting with the quarter ending June 30, 2009 and reporting by July 10, 2009, the recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information:

- a. The total amount of ARRA funds under this award;
- b. The amount of ARRA funds received under this award that were obligated and expended to projects or activities;
- c. The amount of unobligated award balances;
- d. A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment.
- e. Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282).

For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional

district, and country; and (9) a unique identifier of the entity receiving the award

and of the parent entity of the recipient, should the entity be owned by another entity.

- f. All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS.
- g. Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted.
- h. Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award.

Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in award notices.

Final financial status and performance reports are required 90 days after the end of the project period. Expenditure reports are not applicable. See reporting under progress reports above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The audit must be completed along with a data collection form, and the reporting package shall be submitted within the earlier of 30 days after receipt of the auditors report(s), or 9 months after the end of the audit period. The audit report must be sent to: Federal Audit Clearing House, Bureau of the Census, 1201 East 10th Street, Jeffersonville, IN 47132. Should you have questions regarding the submission or processing of your Single Audit Package, contact the Federal Audit Clearinghouse at: (301) 763-1551, (800) 253-0696 or e-mail: govns.fac@census.gov The grantee is to ensure that the sub-recipients receiving CDC funds also meet these requirements (if total Federal grant or grant funds received exceed \$500,000). The grantee must also ensure that appropriate corrective action is taken within 6 months after receipt of the sub-recipient audit report in instances of

non-compliance with Federal law and regulations. The grantee is to consider whether sub-recipient audits necessitate adjustment of the grantees own accounting records. If a sub-recipient is not required to have a program-specific audit, the Grantee is still required to perform adequate monitoring of sub-recipient activities. The grantee is to require each sub-recipient to permit independent auditors to have access to the sub-recipients records and financial statements. The grantee should include this requirement in all sub-recipient contracts.

Records:

113 Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 92.42 requirements or 45 CFR 74.53, accordingly to the type of recipient.

Account Identification:

75-1701-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$0; FY 10 est \$24,300,000; FY 11 est \$0

Range and Average of Financial Assistance:

Range of awards will be \$100,000 to \$5,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Epidemiology and Laboratory Capacity (ELC) for Infectious Disease program plays a critical role in strengthening our nations infrastructure for preventing and controlling infectious diseases. The program builds epidemiology, laboratory, and information systems capacity in all 50 states to assist frontline state programs respond to infectious disease outbreaks, address the increasing threat of antimicrobial resistance, and detect and respond to new and emerging infectious diseases. As states are in different stages of implementing laboratory information management systems and electronic laboratory orders and results reporting, ELC funding would be flexible in its applications; however monies would be directed specifically toward meeting the goals of meaningful use for electronic laboratory reporting for nationally notifiable conditions.

As of the end of 2008, 52 of 56 CDC Immunization Program grantees reported that approximately 18 million (75%) U.S. children aged <6 years participated in an Immunization Information System (IIS). Further, only 43% of grantees reported that Health Level 7 (HL7) was used as an electronic format for data received by the IIS while 68% reported the receipt of electronic data using flat file format. Grantees report that many Electronic Health Record (EHR) vendors exchanging data with IIS electronically are already certified by the Certification Commission for Health Information Technology (CCHIT), but not for interoperability with IISs. Direct funding to state and local CDC Immunization Program grantees with IIS will be used to plan, enhance, adopt, and apply health information technology standards for direct health care system interoperability. Enhanced EHR-IIS interoperability will improve the completeness of immunization histories available to clinicians and public health, improve the timeliness of immunization data submission to an IIS, improve the quality of IIS coverage assessments, and the data available to other public health systems (e.g. vaccine preventable disease surveillance units). Improved interoperability will also reduce extra immunization, thereby saving time and resources.

The Laboratory Technical Implementation Center for Public Health (LTICPH) will establish capacity to assist public health laboratories and state health departments to enhance laboratory information management systems (LIMS) and other critical IT infrastructure to support interoperability and sharing of electronic laboratory data with public health agencies and lay the groundwork for interoperability with EHRs. This Center will help labs implement and maintain the capacity to format and electronically share standard data, and public health recipients must be able to securely receive, parse, and use such data. Public health department partners, likewise, must develop and maintain

complementary IT infrastructure to securely receive, parse, analyze, and utilize shared data. Electronic laboratory data must be seamlessly incorporated into public health systems to adequately support public health in leveraging laboratory data to ensure a holistic approach to surveillance and to support epidemiological analysis and public health response. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

None.

Headquarters Office:

Laura Conn NCPHI, Centers for Disease Control and Prevention, Department of Health and Human Services, 1600 Clifton Road, NE., Mailstop E85, Atlanta, Georgia 30333 Email: LConn@cdc.gov Phone: 404-639-2475

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria for selecting applications are available in the funding opportunity announcement guidance document. In addition, all applicants are expected to demonstrate compliance with applicable program requirements. Applicants are strongly encouraged to demonstrate compliance with these requirements and expectations in the application. Applicants requesting funding under multiple programs are expected to demonstrate compliance with the expectations and requirements for all of those programs.

93.730 ARRA PREVENTION RESEARCH CENTERS COMPARATIVE EFFECTIVENESS RESEARCH PROGRAM

PRC CER Program

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

This program is authorized under the American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; Public Health Service Act, 42 U.S.C. 241(a) and 247b(k)2 and Public Health Service Act, Title 42, Part 330u-5, Section 1706 , Public Law 111-5.

OBJECTIVES:

To support Prevention Research Centers (PRCs) to perform comparative effectiveness research (CER) that compares innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities), and that preferably include new endpoints such as biologic measures or combined clinical and social interventions. The emphasis is to develop new scientific evidence that fills important knowledge gaps. The interventions or strategies should be policy or environmental but are expected to consider what targeted outcome(s) are anticipated and how such outcomes will be achieved and to describe how the intervention will be implemented so it can be replicated by others.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

CDC has designated \$10,000,000 in FY 2010 to fund 3-5 cooperative agreements to PRCs to compare innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities), and that preferable include new endpoints such as biologic measures or combined clinical and social interventions. The use of and use restrictions are as follows: Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as

contractual to support policy and system changes to perform comparative effectiveness research that compares innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities) preferably those that assess the impact of policy and environmental interventions, and includes new endpoints such as biologic measures or combined clinical and social interventions. Recipients may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget. The recipient must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible. Federal regulations at 45 CFR Part 46 require that applications and proposals involving human subjects research must be evaluated in accordance with those regulations, with reference to the risks to the subjects, the adequacy of protections against these risks, the potential benefits of the research to the subjects and others, and the importance of the knowledge gained or to be gained. Federal funds may not be used on human subjects research until appropriate IRB approvals are in place. .

Applicant Eligibility:

The CDC program limits eligibility only to applicants who have applied for and have been selected as PRCs under Funding Opportunity Announcement DP09-001. Competition is limited to PRCs under this CDC Program Announcement because (1) they are uniquely positioned to perform, oversee, and coordinate public health-oriented CER that promotes the field of prevention research due to their established relationships with community partners and previous experience with CER and (2) these PRCs recently competed for prevention research funding and were deemed to be the best applicants among research institutions that include all schools of public health and schools of medicine with preventive medicine residency programs. The current recipients funded under Funding Opportunity Announcement DP09-001 are:

1. Boston University
2. Case Western Reserve University
3. Columbia University
4. Dartmouth College
5. Emory University
6. Harvard University
7. Johns Hopkins University
8. Morehouse School of Medicine
9. New York University School of Medicine
10. Ohio State University
11. Oregon Health and Science University
12. Saint Louis University (with Washington University)
13. San Diego State University (with University of California at San Diego)
14. Texas A&M Health Science Center
15. Tulane University
16. University of Alabama at Birmingham
17. University of Arizona
18. University of Arkansas for Medical Sciences
19. University of California at Berkeley
20. University of California at Los Angeles
21. University of Colorado Denver
22. University of Kentucky
23. University of Maryland
24. University of Massachusetts Medical School
25. University of Michigan
26. University of Minnesota
27. University of New Mexico
28. University of North Carolina at Chapel Hill
29. University of Rochester
30. University of South Carolina
31. University of South Florida
32. University of Texas Health Science Center at Houston
33. University of Washington
34. West Virginia University
35. Yale University.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The funding opportunity announcement is located on the HHS/CDC website at the following Internet address: <http://www.grants.gov>. Only the forms package directly attached to a specific Funding Opportunity Announcement (FOA) can be used. Applicants must download the SF424 application forms through Grants.gov/Apply. If an applicant does not have access to the Internet, or if they have difficulty accessing the forms online, contact the CDC Procurement and Grants Office Technical Information Management Section (PGO-TIMS) staff. For this, or further assistance, contact PGO TIMS: Telephone (770) 488-2700, Email: PGOTIM@cdc.gov. HHS/CDC Telecommunications for the hearing impaired: TTY (770) 488-2783.

Award Procedure:

All applications which are complete and responsive to the competitive funding opportunity announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened in accordance with CDC peer review procedures. Incomplete and/or non-responsive applications or applications not following instructions given in the FOA will be returned to the applicant without further consideration. Applicants will be notified the application did not meet submission requirements. As part of the initial merit peer review, all applications will (1) undergo a selection process in which only those applications deemed to have the highest scientific merit will be discussed and assigned a priority score (2) these applications will be reviewed for scientific merit using current NIH/CDC criteria (a scoring system of 1-9 points) to evaluate the methods and scientific quality of the application; and (3) receive a written critique. A second level of review will be conducted by a panel of senior federal officials. This panel will review the ranked proposals to assure maximal impact and balance of the proposed research. Successful applicants will receive a Notice of Award (NOA) from the CDC Procurement and Grants Office. The NOA shall be the only binding, authorizing document between the recipient and CDC. The NOA will be signed by an authorized Grants Management Officer.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Applications may be up to 2 years in duration. Method of awarding/releasing assistance: lump sum.

Reports:

Recipients of Federal awards from funds authorized under Division A of the ARRA must comply with all requirements specified in Division A of the ARRA Public Law 111-5), including reporting requirements outlined in Section 1512 of the Act. For purposes of reporting, ARRA recipients must report on ARRA sub-recipient (sub-grantee and sub-contractor) activities as specified below. Not later than 10 days after the end of each calendar quarter, starting with the quarter ending August 30, 2010 and reporting by September 10, 2010, the

recipient must submit quarterly reports to HHS that will be posted to Recovery.gov, containing the following information: The total amount of ARRA funds under this award; (b) The amount of ARRA funds received under this award that were obligated and expended to projects or activities; (c) The amount of unobligated award balances; (d) A detailed list of all projects or activities for which ARRA funds under this award were obligated and expended, including: (1) the name of the project or activity; (2) a description of the project or activity; (3) an evaluation of the completion status of the project or activity; (4) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and (5) for infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and the name of the person to contact at the agency if there are concerns with the infrastructure investment; (e) Detailed information on any sub-awards (sub-contracts or sub-grants) made by the grant recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282). For any sub-award equal to or larger than \$25,000, the following information will be required: (1) the name of the entity receiving the sub-award; (2) the amount of the sub-award; (3) the transaction type; (4) the North American Industry Classification System code or Catalog of Federal Domestic Assistance (CFDA) number; (5) program source; (6) an award title descriptive of the purpose of each funding action; (7) the location of the entity receiving the award; (8) the primary location of performance under the award, including the city, State, congressional district, and country; and (9) a unique identifier of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity. (f) All sub-awards less than \$25,000 or to individuals may be reported in the aggregate, as prescribed by HHS. (g) Recipients must account for each ARRA award and sub-award (sub-grant and sub-contract) separately. Recipients will draw down ARRA funds on an award-specific basis. Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted. (h) Recipients must account for each ARRA award separately by referencing the assigned CFDA number for each award. Additional reporting requirements will be detailed in Funding Opportunity Announcements and included in the award notice. Final financial status and performance reports are required 90 days after the end of the project period. No cash reports are required. No progress reports are required. No expenditure reports are required. An HHS/CDC agency program official or CIO program director will be responsible for the normal scientific and programmatic stewardship of the award and will be named in the Notice of Award. Responsibilities will include carrying out continuous review of all activities to ensure objectives are being met; participating in conference calls for the purpose of assessing overall progress and for program evaluation purposes; providing scientific consultation and technical assistance in the conduct of the project; and conducting site visits to determine the adequacy of the research and to monitor performance against project objectives.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program must be kept readily available for review by personnel authorized to examine HHS grant accounts. Financial records, supporting documentation, statistical records, and all other records pertinent to an award shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with 45 CFR 74.53 requirements.

Account Identification:

75-1701-0-1-551.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$10,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

A total of \$10 million will be awarded with an anticipated 3-5 awards. The total number of awards will depend upon the quality, duration, and costs of the applications received. Because the nature and scope of the proposed research will vary from application to application, it is anticipated that the size and duration of each award also will vary.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: In fiscal year 2010, it is anticipated that 35 applications will be received and 3-5 awards will be granted to perform CER comparing innovative public health strategies or interventions, in new settings or populations. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations 45 CFR Part 74. HHS Grants Policy Statement at: <http://www.hhs.asrt/org/grantinformation/hhsgps107.pdf> Grantees must also comply with the HHS Standard Terms and Conditions for ARRA funds, which can be found at <http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

None.

Headquarters Office:

Elizabeth K Patterson, 4770 Buford Highway N.E. Mailstop K92, Atlanta, Georgia 30341 Email: BPatterson@cdc.gov Phone: (770) 488-6045.

Website Address:

<http://www.cdc.gov/>; and www.Grants.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Funding would be specifically directed to Prevention Research Centers to perform comparative effectiveness research that compares innovative public health strategies or interventions, in new settings or populations (such as minorities or people with disabilities), preferably includes CER that assesses the impact of policy and environmental interventions, and includes new endpoints such as biologic measures or combined clinical and social interventions. CDC will require applicants to specifically address in their applications plans for sustaining the impact of ARRA investments beyond the federal funding provided in the next 2 years. The following criteria will be used by the initial merit review panel in order to judge the likelihood that the proposed research will have a substantial impact on the pursuit of stated goals: significance, approach, innovation, investigators and environment. In addition, reviewers will consider the following additional items in the determination of the scientific and technical merit: budget, inclusion (adequacy of plans to address the needs of both genders, racial and ethnic minorities); protection of human subjects from research risk and privacy and security protections for patients. The following will be considered in making funding decisions: scientific merit of the proposed project as determined by peer review; availability of funds; relevance to program priorities; focus is on public health interventions or strategies in two or more of the following areas: community-level behavior change, environmental-level, and policy level; research addresses populations with major health disparities; and selected PRCs ensures geographic distribution of awards. PRCs will also need to demonstrate a continued plan for progress toward meeting HHS Action Plan prevention targets as demonstrated through reporting metrics outlined in the Plan.

93.731 ARRA - PREVENTION AND WELLNESS - LEVERAGING NATIONAL ORGANIZATIONS

Communities Putting Prevention to Work (CPPW)- Leveraging National Organizations

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

This program is authorized by the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2) and 42 U.S.C. 300k-1, 300 sec.1701 of the Public Health Service Act, as amended., Section 1701, 42 U.S.C 300k-1, 300.

OBJECTIVES:

Providing resources and promoting prevention efforts to ARRA Communities Putting Prevention to Work funded communities through the national organizations systems and networks.

Sustaining community prevention efforts beyond Recovery Act CPPW funding;

Supporting a National Prevention Media Initiative through co-branding and augmenting HHS-developed media campaigns in communities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds are to support reasonable program purposes including staffing, travel, supplies, and services. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation. Grant funds may not be used for: Building alterations or renovations; Construction; Fund-raising activities; Job training; Political education and lobbying; Vocational rehabilitation.

Applicant Eligibility:

Must have an established (two years or longer) national outreach infrastructure with an existing focus on population based approaches such as policy, systems, and environmental change in the areas of tobacco, obesity, nutrition, and/or physical activity.

Beneficiary Eligibility:

Public and Private Non-Profit Institutions
U.S. Citizen (all ages).

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To receive consideration, applications must be received by the Office of Grants Management, Office of Public Health and Science (OPHS), Department of Health and Human Services (DHHS) c/o Grant Application Center, 1515 Wilson Blvd., Suite 100, Arlington, VA 22209, no later than 5:00 p.m. Eastern Time on the due date. Applications that are electronically submitted through www.Grants.gov or www.GrantSolutions.Gov will be accepted until 11:00 P.M. Eastern Time on this date. Applications will not be accepted by fax, nor will the submission deadline be extended. The application due date requirement specified in the announcement supersedes the instructions in the OPHS-1. Applications which do not meet the deadline will be returned to the applicant unread

Award Procedure:

Applications will be reviewed by a peer objective review panel. After considering the recommendation of this panel, the Director of the Office makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

24 months from start date of grant award. See the following for information on how assistance is awarded/released: Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made.

Reports:

Program reports are not applicable. Financial status reports are due at the end of each budget period, and a final status report is due 90 days following the end of the project period. Quarterly Progress reports are required and a final performance report is due 90 days following the end of the 2 year project period. Cash disbursement reports are due prior to receipt of funds or within designated time frame. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a minimum of 3 years, or longer pending completion and resolution of any audit findings.

HHS and the Comptroller General of the United States or any of their designated authorized officials shall have the right of access to any books, documents, papers, or other records of a grantee, sub-grantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Cooperative Agreements) FY 09 \$0; FY 10 est \$10,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

\$0-\$1.2M per award; total available \$10Million.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Notice of Grant Award will contain and reference applicable regulations. Information on HHS Grants Policy Statement and 45 CFR Part 74 can be found here: <http://www.hhs.gov/asrt/og/aboutog/grantsnet.html>

Information on ARRA terms and conditions can be found here:

<http://www.hhs.gov/recovery/grantscontracts/recoverytermsconditions.html>

Regional or Local Office:

See Regional Agency Offices. Ms. Julie Moreno
Office of Public Health and Science
200 Independence Avenue 701-H

Washington, DC 20201

202-401-9581

Julie.Moreno@hhs.gov.

Headquarters Office:

Eric C. West Tower Building Suite 550

1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov

Phone: 2404538822 Fax: 2404538823

Website Address:

<http://www.hhs.gov/recovery/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

CRITERIA FOR SELECTING PROPOSALS:

WORK PLAN (30 points)

ORGANIZATIONAL CAPACITY AND STRUCTURE (20 POINTS)

STAFFING AND MANAGEMENT PLAN (15 POINTS)

PROGRAM REPORTING REQUIREMENTS & EVALUATION PLAN (15 POINTS)

SUSTAINABILITY (10 POINTS)

BUDGET (SF 424A) AND BUDGET NARRATIVE (10 POINTS).

93.760 RURAL PACE (PROGRAM OF ALL-INCLUSIVE CARE FOR THE ELDERLY) PROVIDER GRANT PROGRAM

Rural PACE (Program of All-Inclusive Care for the Elderly) Outlier Payments

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 5302 of Public Law 109-171, the Deficit Reduction Act of 2005.

OBJECTIVES:

To provide services in a geographic service area that is, in whole or in part, a rural area that has received a site development grant under this Section.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds granted should be expended solely for reimbursement of recognized outlier costs in accordance with Section 5302(c) of Public Law 109-171. In the Deficit Reduction Act, a rural PACE provider site is defined as a PACE provider that has been approved to provide services in a geographic service area that is, in whole or in part, a rural area that has received a site development grant under this section. Thus, the statute definition of a rural area as defined in section 1886(d)(2)(D) of the Social Security Act states that a rural area would be a county that is not part of a Metropolitan Statistical Area (MSA)(as defined by the Office of Management and Budget) as established for Medicare Inpatient PPS payments.

Applicant Eligibility:

This grant opportunity is open to any PACE provider that (1) demonstrates meeting the required definition as set out in the Social Security Act and; (2) is eligible to serve in a rural area as a rural PACE pilot site as defined in the Social Security Act; and (3) incurs recognized outlier costs for eligible outlier participants in accordance with section 5302(C) of the Public Law 109-171. To meet the qualification of a PACE provider, an applicant must meet the definitions established in sections 1894(a)(3) or 1934(a)(3) of the Social Security Act. The Act defines a PACE provider as being a public or private, nonprofit entity organized for charitable purposes under 501(3)(c) of the Internal revenue code of 1986; and has entered into a PACE program agreement with respect to its operation of a PACE program as defined in 1894(a)(2) and 1924(a)(2) of the Social Security Act. In addition to meeting the definitions of a PACE provider, the law also requires that each potential applicant meet the full statutory definition of a rural area.

Beneficiary Eligibility:

The medical, social and long-term care needs are met under this initiative and will benefit the elderly beneficiaries who reside in rural areas.

Credentials/Documentation:

Each provider organization may only submit one application per county of interest by the due date. All additional applications submitted for this solicitation that are in excess of this requirement or that are received after the submission date will not be reviewed by CMS. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. CMS will publish grant solicitation on grants.gov in early June of each fiscal year. Information about the Rural PACE grant may be accessed at <http://www.cms.hhs.gov/pace>. A complete electronic application package, including all required forms for this grant is available at <http://www.grants.gov>. Standard application forms and related instructions are also available from Nicole Nicholson, Centers for Medicare and Medicaid Services, Office of Operations Management, Acquisition and Grants Group, C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Telephone: (410) 786-5158 or by E-mail: nicole.nicholson@cms.hhs.gov.

Award Procedure:

None.

Deadlines:

Sep 10, 2010 The due date for applications under this grant is September 10, 2010. Applications will be considered as meeting the deadline if they are received in CMS on or before the due date.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

None.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$0; FY 10 est \$10,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

Floor: \$0; Ceiling: \$500,000 for outlier cost of FY 2010.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 5302, Public Law 109-171, the Deficit Reduction Act of 2005.

Regional or Local Office:

None.

Headquarters Office:

Heidi Arndt, 7500 Security Boulevard, Baltimore, Maryland 21207 Email: Heidi.Arndt@cms.hhs.gov Phone: (410) 786-6107.

Website Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.767 CHILDREN'S HEALTH INSURANCE PROGRAM

(CHIP; SCHIP)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Children's Health Insurance Program Reauthorization Act of 2009.

OBJECTIVES:

1. To provide funds to States to enable them to maintain and expand child health assistance to uninsured, low-income children, and at a state option, low-income pregnant women and legal immigrants, primarily by three methods: (1) obtain health insurance coverage that meets the requirements in Section 2103 relating to the amount, duration, and scope of benefits; (2) expand eligibility for children under the State's Medicaid program; or (3) a combination of the two.
2. To provide funds to Indian health programs operated by Indian Health Service, urban Indian organizations operating a health program under the IHCIA (Public Law 94-437, as amended) and tribes or tribal organizations operating a health program under the ISDEAA (Public Law 93-638, as amended to find eligible, not enrolled Indian children, ensure they are enrolled in Medicaid and CHIP programs and ensure they retain coverage as long as they remain eligible.
3. To provide funds to help States operating separate or combination child health assistance programs make the transition from current payment methodologies for Federally qualified health centers and rural health centers to a payment methodology consistent with section 1902(bb) of the Social Security Act.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

No State is eligible for payments for child health assistance for coverage provided prior to October 1, 1997. Standards used to determine eligibility may include those related to geographic areas to be served by the plan. Age, income and resources, residency, disability status (as long as the standard does not restrict eligibility), access to or coverage under other health coverage, and duration of eligibility are factors. Standards may not discriminate on the basis of diagnosis. Eligibility standards must not cover higher-income children without covering lower-income children, and must not deny eligibility based on a child having a preexisting medical condition. The State must ensure that only targeted low-income children are furnished child health assistance under the plan. Children found through screening to be eligible for Medicaid are to be enrolled in Medicaid. The insurance provided under the State plan does not

substitute for coverage under group health plans. Coordination with other public and private programs providing creditable coverage for low-income children should occur. Child Health Assistance (other than Medicaid), must consist of any of the following: Benchmark coverage; benchmark equivalent coverage (which can be FEHBP-equivalent coverage); State employee coverage or coverage offered through the HMO with the largest insured commercial non-Medicaid enrollment in the State; existing comprehensive State-based coverage; or Secretary-approved coverage. A State child health plan must include a description of the amount (if any) of cost-sharing and must be in accordance with a public schedule. Cost-sharing may be varied in a way that does not favor higher-income children over lower-income children. No cost-sharing is permitted for well-baby and well-child care, including age-appropriate immunizations. Cost-sharing for children at 150 percent of poverty must be consistent with Medicaid; Cost-sharing for children at 150 percent of poverty and above must be based on an income-related sliding scale.

The aggregate for all children in a family cannot exceed 5 percent of the family's income. The State child health plan may not impose pre-existing condition exclusions for covered benefits. States that provide for benefits through a group health plan or group health insurance coverage may permit pre-existing condition exclusions as allowed under the applicable Section of the Employee Retirement Income Security Act (ERISA) and the Health Insurance Portability and Accountability Act (HIPAA). Funds provided to a State under this Title may only be used to carry out the purposes of this Title. Health insurance coverage provided may include coverage of abortion only if necessary to save the life of the mother or if the pregnancy is the result of an act of rape or incest. States may spend up to 10 percent of their total CHIP expenditures (Federal and State) on non-benefit activities, including: outreach conducted to identify and enroll eligible children in CHIP; administration costs; health services initiatives; and other child health assistance. These expenditures are matched at the enhanced CHIP matching rate and counted against both the 10 percent limit and the allotment. Monetary amounts provided by the Federal government or services assisted or subsidized to any significant extent by the Federal government, may not be included in determining the amount of nonfederal contributions required for State matching purposes.

Indian health programs eligible under this title must use funds to provide outreach, enrollment and retention for targeted, low-income children who are Indian. The funds may not be used to provide direct benefits of the CHIP program or to supplant existing activities funded under federal programs.

Applicant Eligibility:

All States and Territories may apply.

Indian health programs operated by Indian Health Service, urban Indian organizations operating a health program under the IHCA (P.L. 94-437, as amended) and tribes or tribal organizations operating a health program under the ISDEAA (P.L. 93-638, as amended) may apply.

Beneficiary Eligibility:

Targeted low-income children will benefit. These children are defined (for the purposes of Title XXI) as children who have been determined eligible by the State for child health assistance under their State plan; are low-income children; or are children whose family income exceeds the Medicaid applicable income level but does not exceed 50 percentage points above the Medicaid applicable income level; and are not found to be eligible for medical assistance under Title XIX or covered under a group health plan or under health insurance coverage. This term does not include a child that is a member of a family that is eligible for health benefits coverage under a State health benefits plan on the basis of a family member's employment with a public agency in the State.

Indian children who are targeted, low-income children will benefit. These children are defined (for the purposes of Title XXI) as children who have been determined eligible by the State for child health assistance under their State plan; are low-income children; or are children whose family income exceeds the Medicaid applicable income level but does not exceed 50 percentage points above the Medicaid applicable income level; and are not found to be eligible for medical assistance under Title XIX or covered under a group health plan or under health insurance coverage. This term does not include a child that is a

member of a family that is eligible for health benefits coverage under a State health benefits plan on the basis of a family member's employment with a public agency in the State.

Credentials/Documentation:

States and Territories must submit and have approved by the Secretary of DHHS, a State Child Health Plan. Individuals must meet State requirements. Indian health programs selected under this solicitation must be operated by Indian Health Service, urban Indian organizations operating a health program under the IHCA ((Public Law 94-437, as amended) and tribes or tribal organizations operating a health program under the ISDEAA (Public Law 93-638, as amended) may apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Title XXI plans and amendments are submitted by the State Governor, or designee, to the CMS Center for Medicaid and State Operations; Families and Children Health Program Group (CMSO/FCHPG). The Title XXI plan should be a stand alone document that fully addresses each relevant Section of the statutory requirements.

Eligible Indian health program grantees will submit applications through grants.gov website prior to the closing date, January 15, 2010, of the solicitation. Applications will be reviewed and awarded to eligible grantees on a competitive basis by April 15, 2010.

Award Procedure (093):

Award Procedure:

The CMS Administrator exercises delegated authority to approve Title XXI plans and amendments. Letters of approval will be signed by the CMS Administrator. Grants to Indian health programs will be awarded on a competitive basis utilizing impartial panels and facilitators on April 15, 2010.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Section 2106 of the Law, specifies that a State plan is considered approved unless the Secretary notifies the State in writing, within 90 days after receipt of the plan, that the plan is disapproved (and the reasons for disapproval) or that specific additional information is needed. Informal clarification and discussion between the State and the DHHS review team is permitted and encouraged during the review period. This does not stop the "90-day clock." The 90-day review period may be stopped by formal written requests for additional information and clarification. The 90-day review period may be stopped as many times as necessary to obtain completed information necessary to disapprove or approve the plan. The 90-day period will resume when the finalized additional information is received by CMS. Grants to Indian health programs will be 3 year grants from April 15, 2010 through April 14, 2013.

Appeals:

If a State wishes to appeal a disapproval, it may petition for a reconsideration of this decision within 60 days after the date of receipt of the disapproval letter, by submitting a written request for reconsideration to the project officer and the regional office. States also have the option to submit a new application following the disapproval, starting a new 90-day review clock.

Renewals:

An approved State child health plan shall continue in effect unless the State amends that plan or the Secretary finds substantial noncompliance of the plan in accordance with the requirements of Title XXI.

The Indian health program grants are one-time grants for a period of three (3) years.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Section 2105(b), Title XXI, provides for an "enhanced Federal Matching Assistance Percentage (FMAP)" for child health assistance provided under Title XXI that is equal to the current FMAP for the fiscal year in the Medicaid Title XIX program, increased by 30 percent of the difference between 100 and the current FMAP for that fiscal year. The enhanced FMAP may not exceed 85 percent. CHIPRA implemented a limitation on matching rates for states that propose to cover children with effective family income that exceeds 300 percent of the poverty line to FMAP rather than EMAP, unless a waiver or State Plan Amendment or state law was in place to cover this population before the enactment of CHIPRA. The formula for determining the final allotment includes: determining the number of States with approved State Plans as the end of the fiscal year. In order for a State to receive a final allotment for a fiscal year, CMS must approve the CHIP State Plan for that State by the end of the fiscal year. Only States with approved State Plans by the end of the fiscal year will be included in the final allotment calculation. The Children's Health Insurance Program Reauthorization Act of 2009 (CHIPRA) was enacted on February 4, 2009 and provided appropriations through FY 2013. The States' FY 2009 final allotments are calculated as 110 percent of the highest of the following three amounts:

Total Federal payments under title XXI to the State for FY 2008, multiplied by an allotment increase factor for FY 2009;

FY 2008 CHIP allotment multiplied by the allotment increase factor for FY 2009; or

The projected Federal payments under title XXI for FY & 2009 as determined on the basis of the February 2009 estimates submitted and certified by the States no later than March 31, 2009. The State FY 2010 allotment will be calculated as the sum of the following four amounts, multiplied by the applicable growth factor for the year.

--the FY 2009 CHIP allotments;

--FY 2006 unspent allotments redistributed to and spent by shortfall states in FY 2009;

--spending of funds provided to shortfall states in the first half of FY 2009; and

--spending of Contingency Fund payments in FY 2009, although there may be none.

For FY 2011 and FY 2013 the allotments will be rebased, on prior year spending. This will be done by multiplying the states growth factor for the year by the new base, which will be the prior years federal CHIP spending. For FY 2012, the allotment for a state will be calculated as the FY 2011 allotment and any FY 2011 contingency fund spending, multiplied by the states growth factor for the year. The amount of the state allotment for previous fiscal year and the amount of any payments made to the State under subsection (k), (l), or (n) for the previous fiscal year multiplied by the allotment increase factor for the current year.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Enrolled children receive medical services as necessary. Federal funds are obligated to the States by issuing Title XXI grant awards. To ensure that all of the appropriated funds are available to States, CMS will issue grant awards to all States with Title XXI State plans approved by the end of the fiscal year equaling the national amount available for allotment to the 50 States, the District of Columbia, and the Commonwealths and Territories for that fiscal year. Grant awards must be issued by the time the CMS/HHS accounting system closes with respect to that fiscal year. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Section 2108 of the Law specifies that States must develop annual reports assessing the operation of their State Plan for each fiscal year, including the progress made in reducing the number of uncovered low-income children and report to the Secretary by January 1, of the following year the results of the assessment. By March 31, 2000, each State with a child health plan must submit to the Secretary an evaluation that includes an assessment of the effectiveness of the State Plan in increasing the number of children with creditable health coverage, in increasing the availability of affordable quality individual and family health

insurance for children, and in coordinating recommendations for improving the program under this Title. By December 31, 2001, the Secretary must submit to Congress and make available to the public, a report based on the evaluations submitted by the States recommendations and conclusions. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. A State child health plan under Title XXI must include an assurance that the State will afford the Secretary access to any records or information relating to the plan for the purposes of review or audit.

Records:

A State child health plan must include an assurance that the State will collect the data, maintain the records, and furnish the report to the Secretary at the times and in standardized format (as the Secretary requires), in order to enable the Secretary to monitor State program administration and compliance and to evaluate and compare the effectiveness of State Plans under this Title.

Account Identification:

75-0515-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 not reported.; FY 10 est \$12,520,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

The range is from \$1,221,139 for the smallest territory (Northern Mariana Islands) to \$1,552,909,600 for the the States (California).

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations and guidance issued related to the Children's Health Insurance Program may be accessed through the World Wide Web at: www.cms.hhs.gov/schip.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator, Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for addresses and telephone numbers).

Headquarters Office:

Center for Medicaid and State Operations 7500 Security Boulevard, Baltimore, Maryland 21244 Phone: 4107863870

Website Address:

<http://www.cms.hhs.gov>.

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.768 MEDICAID INFRASTRUCTURE GRANTS TO SUPPORT THE COMPETITIVE EMPLOYMENT OF PEOPLE WITH DISABILITIES

(Ticket To Work Infrastructure Grants)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Ticket to Work and Work Incentives Improvement Act of 1999, Section 203, Public Law 106-170.

OBJECTIVES:

To support State efforts to enhance employment options for people with disabilities by building Medicaid infrastructure. Funding may be used to develop a Medicaid buy-in, increase availability of Personal Assistance Services, or for State-to-State technical assistance. In addition, States may use funding to develop a comprehensive approach to bridge Medicaid services with

other key supports and programs used by employed people with disabilities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

While the proposals submitted by the States may vary, States participating in this grant program will use the funds to remove the barriers to employment of persons with disabilities, by creating health systems change through the Medicaid program. The development or enhancement of certain core Medicaid components in each State would enable people with disabilities not only to work, but to sustain adequate health coverage if they find they need to relocate to another State for employment purposes. An adequate personal assistance services benefit and a Medicaid buy-in for employed people with disabilities are significant components of the Ticket to Work and Work Incentives Improvement Act. The infrastructure grants program provides money to the States to develop these core elements. In addition, States may use funding to develop a comprehensive approach to bridge Medicaid services with other key supports and programs used by employed people with disabilities. Funds may not be used for the direct provision of services to people with disabilities except on a one-time, last resort, emergency basis for the purpose of sustaining the individual's competitive employment.

Applicant Eligibility:

Either of the following may apply: (a) the single state medicaid agency; or (b) any other agency or instrumentality of a state(as determined under State law) in partnership, agreement and active participation with the single state medicaid agency, the State Legislature, or the office of the Governor.

Beneficiary Eligibility:

There are two groups of potentially eligible beneficiaries, as defined by the Ticket to Work and Work Incentives Improvement Act of 1999. The first group consists of persons with disabilities between the ages of 16 and 65 years old, employed, and who meet income, asset, and resource standards established by the State. The second group of potential beneficiaries consists of persons between the ages of 16 and 65 years old, employed, and who cease to be eligible for Medical Assistance because of medical improvements determined at the time of a regularly scheduled disability review, but who also continue to have a severe medically determinable impairment.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency or its partner agencies or instrumentality's. Individuals must meet State requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. Application forms are submitted to the Acquisition and Grants Group, CMS, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Award Procedure:

Official notice of approved applications is made through the issuance of a grant award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is from 100 to 150 days.

Appeals:

There are no formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report that will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

CMS announced the first round of infrastructure grant awards in October 2000. This grant program is authorized for 11 years beginning in fiscal year 2001. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Progress and expenditure reports are required on all projects. Progress and expenditure reports are required on all projects. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by the DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$65,262,608; FY 10 est \$80,000,000; FY 11 est \$72,000,000

Range and Average of Financial Assistance:

\$500,000 to \$2,750,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Group, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Grant applications forms are also available on the CMS website at <http://www.cms.hhs.gov/twviiia/424forms.pdf>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices.).

Headquarters Office:

Adrienne Delozier, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: Adrienne.Delozier@cms.hhs.gov Phone: (410) 786-0278.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.769 Demonstration to Maintain Independence and Employment; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include: understanding the barriers that impede competitive employment of people with disabilities; the extent to which the proposed infrastructure development will offer enduring and significant improvement in the ability of the system to provide adequate health coverage for people with disabilities and are competitively employed; providing needed personal assistance and other supports, and/or removing other significant employment barriers; the appropriateness of the methods, work plan, budget, and timetable; the qualifications of key staff; and the State's plan for using its grant experiences to identify different or better ways to improve its buy-in or Medicaid services that support the competitive employment efforts of people with disabilities.

93.769 DEMONSTRATION TO MAINTAIN INDEPENDENCE AND EMPLOYMENT

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Ticket to Work and Work Incentives Improvement Act of 1999; Public Law 106-170.

OBJECTIVES:

To prevent the loss of employment and reliance on cash assistance by individuals at risk for disability. To provide benefits equivalent to those provided by Medicaid, to the categorically needy and to workers who have physical or mental impairments that, without medical assistance, will result in a disability.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

These demonstration grants will enable States to assist working individuals by providing the necessary benefits and services required for people to manage the progression of their conditions and remain employed. Federal funds paid to the States under this demonstration must be used to supplement, but not supplant, State funds expended for workers with potentially severe disabilities at the time the demonstration project is approved.

Applicant Eligibility:

All States are eligible to apply.

Beneficiary Eligibility:

Eligible beneficiaries are workers with potentially severe disabilities who are: (a) At least 16 but less than 65 years of age, (b) have specific physical or mental impairments identified by the State that are reasonably expected to lead to blindness or disability (as defined under Section 1614(a) of the Social Security Act, and (c) are employed.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency or its partner agencies. Individuals must meet State requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. Application forms are submitted to the Acquisition and Grants Group, CMS, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Award Procedure:

Official notice of approved applications is made through issuance of a Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 100 to 150 days.

Appeals:

There are formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report that will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

While there are no restrictions precluding States from proposing demonstrations that operate for less than the total length of the demonstration, scoring preference will be given to States that propose to participate for the duration of the demonstration. Funding for the demonstration is available from fiscal year 2001 through fiscal year 2006. If any Federal funding remains available after 2006, payments may be made to the States until funding is depleted or through fiscal year 2009. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. No cash reports are required. Reports of progress and expenditures are required on all projects. Reports of progress and expenditures are required on all projects. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$3,490,710; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

\$284,253 to \$3,980,308; \$2,132,280.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) application kits may be obtained from the Office of Acquisition and Grants Group, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. Grant application forms are also available on the CMS website at <http://www.cms.hhs.gov/twwiia/424forms.pdf>.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional Administrator. (See Appendix IV for Regional Offices).

Headquarters Office:

Adrienne Delozier, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: Adrienne.Delozier@cms.hhs.gov Phone: (410) 786-0278.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.768 Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities; 93.778 Medical Assistance Program; 93.779 Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include: the applicant's proposed strategy to achieve each of the outcomes identified by the applicant, including capability to identify a study population, conduct outreach and enroll individuals; whether the particular Medicaid equivalent benefits and services will be advantageous if provided to demonstration participants; the methodology for evaluation of its demonstration project that adequately measures its effectiveness; the adequacy of measures of the demonstration outcomes; and compliance with all reporting requirements.

93.770 MEDICARE_PRESCRIPTION DRUG COVERAGE

(Medicare Part D)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Authorized under the Medicare Prescription Drug, Improvement and Modernization Act of 2003, Public Law 108-173, as Section 1860D of the Social Security Act.

OBJECTIVES:

To provide prescription drugs to Medicare beneficiaries through their voluntary participation in prescription drug plans, with an additional subsidy provided to lower-income beneficiaries.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Payments will be made to participating prescription drug plans.

Applicant Eligibility:

A non-governmental entity organized and licensed under State law as a risk-bearing entity eligible to offer health insurance in each State in which it is to offer a plan, meeting the requirements in 42 CFR 423.504 and 42 CFR 423.505. The entity may offer prescription drug coverage in conjunction with a Medicare Advantage plan or as a separate stand alone benefit.

Beneficiary Eligibility:

Eligible beneficiaries include individuals who are entitled to Medicare benefits under Part A or enrolled in Part B and who reside in the plan's service area. Individuals in a Medicare Advantage Plan with Part D coverage may not be separately enrolled in a stand alone prescription drug plan.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Potential sponsors apply to CMS to become an approved prescription drug plan. Generally, individuals enroll directly with the prescription drug program sponsor. The sponsor forwards the enrollment and eligibility information to CMS, which verifies eligibility for the drug benefit. Some individuals who are entitled to both Medicare and Medicaid have been enrolled automatically. Low

income beneficiaries may complete a subsidy application at any Social Security office or through their State Medicaid office.

Award Procedure:

Payment will be made by CMS to the prescription drug plan sponsors.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Up to six months for plan sponsors.

Appeals:

Sponsors whose applications to become a prescription drug plan sponsor are rejected have the right to a reconsideration and appeal process. Beneficiaries have the right to a reconsideration and appeal process for adverse coverage determinations.

Renewals:

Contracts with sponsors may be renewed annually. Beneficiaries may enroll and disenroll from plans according to the timeframes established in 42 CFR 423.30-423.46.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Indefinite. See the following for information on how assistance is awarded/released: Monthly payments to Prescription Drug Plan sponsors combined with an annual reconciliation adjustment.

Reports:

Plans must provide periodic reports to CMS, enrollees and the general public on cost; utilization; availability, accessibility and acceptability of services; fiscal soundness and other information required by CMS. Plans must provide an annual report of business transactions and combined financial statements. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Periodic audits of plans by HHS, the Comptroller General or their designees. Periodic audits by CMS and the HHS Office of Inspector General (OIG) of plan cost reporting. Audit by CMS of financial records of at least one-third of participating plans every year.

Records:

None.

Account Identification:

75-8308-0-7-571.

Obligations:

(Insurance) FY 09 \$53,197,241,904; FY 10 est \$64,131,000,000; FY 11 est \$66,759,000,000

Range and Average of Financial Assistance:

Determined by plan offerings, number of enrollees, and utilization.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program were authorized under Section 1860D of the Social Security Act, as enacted under Public Law 108-173, and were published on January 21, 2005.

Regional or Local Office:

None.

Headquarters Office:

Marla Kilbourne, 7500 Security Boulevard, Baltimore, Maine 21244 Email: Marla.Kilbourne@cms.hhs.gov Phone: (410) 786-7622.

Website Address:

http://www.cms.hhs.gov.

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.773 MEDICARE_HOSPITAL INSURANCE

(Medicare Part A)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5.

OBJECTIVES:

To provide hospital insurance protection for covered services to persons age 65 or above, to certain disabled persons and to individuals with chronic renal disease.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Hospital insurance benefits are paid to participating and emergency hospitals, skilled nursing facilities, home health agencies, and hospice agencies to cover the prospective payment amount or reasonable cost of medically necessary services furnished to individuals entitled under this program.

Applicant Eligibility:

Persons age 65 or over and certain disabled persons are eligible for hospital insurance protection. Nearly everyone who reached 65 before 1968 is eligible for hospital insurance, including people not eligible for cash Social Security benefits. A person reaching age 65 in 1968 or after, who is not eligible for cash benefits, needs some work credit to qualify for hospital insurance benefits. The amount of work credit needed depends on age. Hospital insurance (Medicare Part A) is also available to persons, age 65 or over, not otherwise eligible through payment of a monthly premium which is currently \$461. A reduced Part A premium of \$254 per month in 2010 is available to persons with 30 or more quarters paid into the social security system, as well as to their spouse, surviving spouse or divorced spouse. The reduction in Part A premium payments would also apply to the surviving spouse, or divorced spouse of an individual who had at least 30 quarters of coverage under the social security system. Federal employees began contributing toward Medicare hospital insurance coverage beginning January 1983. Employees who worked prior to 1983 and who were employed during January 1983 can receive credit toward establishing Medicare eligibility, if necessary, for prior non-contributory quarters of Federal employment. State and local government employees not already in Social Security-covered positions and hired on or after April 1, 1986 also contribute toward Medicare hospital insurance coverage. Although States may request agreements to cover individuals employed prior to April 1, 1986, no credit is given toward establishing Medicare entitlement for prior employment. Persons under age 65, who have been entitled for at least 24 months to Social Security disability benefits, or for 29 consecutive months to railroad retirement benefits based on disability, are eligible for hospital insurance benefits.

Beneficiary Eligibility:

Persons age 65 or over and qualified disabled persons.

Credentials/Documentation:

Proof of age or disability. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from

coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Telephone or visit the local Social Security Office. Individuals entitled to Social Security or railroad retirement are enrolled without application.

Award Procedure:

The individual will be notified by mail of enrollment, whether automatic or applied for.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

Telephone or visit the local Social Security Office or Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal Courts.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. Method of awarding/releasing assistance: lump sum.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

20-8005-0-7-571.

Obligations:

(Insurance) FY 09 \$241,093,000,000; FY 10 est \$249,031,000,000; FY 11 est \$265,794,000,000 - These figures represent benefit outlays. (not including QIO).

Range and Average of Financial Assistance:

Benefits may be paid based on the prospective payment amount or the reasonable costs of covered inpatient hospital services and based on the reasonable costs of covered post-hospital extended care services which are incurred during a benefit period. For benefit periods beginning in calendar year 2010 the beneficiary is responsible for \$1,100 inpatient hospital deductible, a \$275 per day coinsurance amount for 61 through 90 days of inpatient hospital care, a \$550 per day coinsurance amount for inpatient hospital care during the 60 lifetime reserve days, and a \$137.50 per day coinsurance amount for days 21 through 100 of care in a skilled nursing facility. Home health services are paid in full.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the number of persons protected was 45,752,000. Fiscal Year 2010: In fiscal year 2010, the number of persons protected is estimated to be 46,671,000. Fiscal Year 2011: In fiscal year 2011, the number of persons protected is estimated to be 47,803,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405 and 422; Title 42, Parts 400, 401, 405, 406, 409, 412, 417, and 418. " Medicare and You," SSA-79-10050, and other publications are available from any Social Security Office without charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for a listing of Regional Offices.

Headquarters Office:

Rob Ludwig, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Rob.Lugwig@cms.hhs.gov Phone: (410) 786-5407.

Website Address:

http://www.medicare.gov or http://www.cms.hhs.gov.

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 93.770 Medicare_Prescription Drug Coverage; 93.774 Medicare_Supplementary Medical Insurance; 96.001 Social Security_Disability Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.774 MEDICARE SUPPLEMENTARY MEDICAL INSURANCE

(Medicare Part B)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act Title XVIII, Section 1831.

OBJECTIVES:

To provide medical insurance protection for covered services to persons age 65 or over, to certain disabled persons and to individuals with end-stage renal disease. Enrollment in Part B is voluntary.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Managed care benefits are paid on the basis of Medicare capitation rates. Fee-for-service benefits are paid on the basis of fee schedules or other approved amounts for services furnished by physicians and other suppliers of medical services to aged or disabled enrollees. Benefits are paid on the basis of prospective payment systems for certain covered services furnished by participating providers such as hospitals, skilled nursing facilities and home health agencies.

Applicant Eligibility:

All persons who are eligible for premium-free hospital insurance benefits (see 93.773), and persons age 65 and older who reside in the United States and are either citizens or aliens lawfully admitted for permanent residence who have resided in the United States continuously during the five years immediately preceding the month in which the application for enrollment is filed, may voluntarily enroll for Part B supplementary medical insurance (SMI). The beneficiary pays a monthly premium and an annual deductible. Beginning in calendar year 2008, the Part B premiums have been set based upon beneficiary income. The calendar year 2010 premiums range from \$110.50 to \$353.60 per month, though most beneficiaries in 2010 pay \$96.40. The annual deductible is \$155.00. Some States and other third parties may pay the SMI PART B premium on behalf of qualifying individuals.

Beneficiary Eligibility:

All persons who qualify for hospital insurance, and those who do not qualify for hospital insurance but meet eligibility requirements and choose to purchase Part "B".

Credentials/Documentation:

Proof of age, disability or lawful admission status. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Telephone or visit the local Social Security Office. Most persons entitled to hospital insurance and already receiving benefits from Social Security or the Railroad Retirement Board are enrolled automatically for supplementary medical insurance. Since the program is voluntary, you may decline coverage. Persons not entitled to hospital insurance must file an application.

Award Procedure:

After review of the application is completed, the applicant will be notified by mail.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Telephone or visit the local Social Security Office or the Medicare payment organization responsible for the initial determination. The appeal process ranges from reviews, of the initial determinations to formal hearings and, in cases meeting certain criteria, reviews by Federal courts.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Claims-based payments to providers and suppliers or monthly capitation payments to MA plans.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Providers, suppliers, and Medicare Advantage plans are subject to audit requirements.

Records:

None.

Account Identification:

20-8004-0-7-571.

Obligations:

(Insurance) FY 09 \$204,493,000,000; FY 10 est \$213,335,000,000; FY 11 est \$222,415,000,000

Range and Average of Financial Assistance:

Generally, with exceptions of certain services, the beneficiary is responsible for meeting the annual \$155 deductible before you may begin. Thereafter, Medicare pays a percent of the approved amount of the covered service. For many services, this percentage is 80 percent. For other covered services, the percentage that Medicare pays will vary from 100 percent to 50 percent depending upon the category of service.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, the actual number of enrollees was 42567000. Fiscal Year 2010: In fiscal year 2010, the number of enrollees is estimated to be 43,461,000. Fiscal Year 2011: In fiscal year 2011, the number of enrollees is estimated to be 44,386,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Code of Federal Regulations, Title 20, Parts 401, 405, and 422; Title 42, Parts 401, 405, 407, 408, 410, 413, 416, and 417. "Your Medicare Handbook," and other publications are available from any Social Security Office without charge.

Regional or Local Office:

See Regional Agency Offices. Consult Appendix IV of the Catalog for listing of Regional Offices.

Headquarters Office:

Inga Feldmanayte, 7500 Security Boulevard, Baltimore, Maryland 21207
Email: Inga.Feldmanayte@cms.hhs.gov Phone: (410) 786-5995.

Website Address:

http://www.cms.hhs.gov.

RELATED PROGRAMS:

57.001 Social Insurance for Railroad Workers; 64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 93.770 Medicare_Prescription Drug Coverage; 93.773 Medicare_Hospital Insurance; 93.778 Medical Assistance Program; 96.001 Social Security_Disability Insurance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.775 STATE MEDICAID FRAUD CONTROL UNITS

(SMFCU's)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XIX, Section 1903, Public Law 96-499.

OBJECTIVES:

To eliminate fraud and patient abuse in the State Medicaid Programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The statute authorizes 90 percent matching funds for 12 quarters, and 75 percent matching funds thereafter for investigation and prosecution of fraud and patient abuse in the State Medicaid Programs. A unit must be separate and distinct from the single State Medicaid agency. A unit must also employ sufficient professional, administrative and support staff to carry out its duties and responsibilities in an effective and efficient manner.

Applicant Eligibility:

An established State Medicaid Fraud Control Unit must be a single identifiable entity of the State government which the Secretary certifies (and the Office of Inspector General annually re-certifies) as complying with the requirements of 1903(q) of the Social Security Act (42 CFR 1007) regarding location, function and procedure. Applicants must also comply with section 1902 (a)(61) of the Act as amended by the Omnibus Budget Reconciliation Act of 1993. Tribes (American Indian/Alaskan Native/Native American) are not eligible to apply.

Beneficiary Eligibility:

The State governments will benefit from this program.

Credentials/Documentation:

Units must submit applications containing proposed organization, administration, agreements, detailed budgets and procedures for certification of eligibility (see 42 CFR 1007). Costs will be determined in accordance with OMB Circular No. A-87 and 45 CFR 92. Governors in States applying for Federal assistance must approve the application pursuant to 42 CFR 1007.15(a). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application forms (SF 424, SF 424A, and SF 424B), as furnished by OIG and required by OMB

Circular No. A-102 for State and local governments, must be used for the application. The Inspector General's staff is available to assist with technical development of programs, plans, and budget amendments and revisions.

Award Procedure:

States are awarded funds based on a detailed description of estimated expenditures needed to support the program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The time required to process an application may take up to 90 days.

Appeals:

From 60 to 90 days. If the application is not approved, the State may submit an amended application at any time.

Renewals:

From 60 to 90 days. The application for the annual re-certification must be submitted 60 days prior to the end of each certification period.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: States are awarded, through the issuance of a grant award, for 90 percent of their costs for the first 12 quarters, and 75 percent thereafter, computed against a quarterly maximum allowable of the higher of \$125,000, or one-fourth of 1 percent of the sums expended by the Federal, State, or local government in carrying out the State plan under Title XIX of the Social Security Act.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are awarded quarterly based on an approved annual budget and limitations on entitlement. An Electronic Funds Transfer System will be used. Method of awarding/releasing assistance: quarterly.

Reports:

Annual Reports are required and must be submitted 60 days prior to the expiration of the MFCU's certification period. Federal Financial Reports to report cash transactions are required on a quarterly basis. An Annual Report to report the MFCU's progress is required. Expenditure reports are required and are due 30 days following each quarter and a final expenditure report is required and is due 90 days after the close of the grant year. Performance monitoring is required through the submission of annual reports by the MFCUs to HHS/OIG and through site visits to the MFCUs that are conducted by the HHS/OIG.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. HHS/OIG/Office of Audit Services performs periodic audits of the State program as appropriate; the OIG/Office of Evaluation and Inspections performs an annual re-certification review of each SMFCU.

Records:

All records are to be retained for 3 years, in accordance with 45 CFR 92.

Account Identification:

75-0512-0-1-551.

Obligations:

(Salaries) FY 09 \$195,300,000; FY 10 est \$205,065,000; FY 11 est \$215,319,000 - These Obligation amounts are for salaries and expenses.

Range and Average of Financial Assistance:

FY 2009: Range \$299,000 to \$42,435,000; Average \$3,793,870
FY 2010: Range \$303,000 to \$41,962,000; Average \$3,870,286.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 1007, 45 CFR 92, OMB Circular No. A-87, and Performance Standards issued September 1994.

Regional or Local Office:

None.

Headquarters Office:

Sharon Colby-Elborn, 330 Independence Ave., S.W. Room 5656, Cohen Building, Washington, District of Columbia 20201 Email: sharon.colby-elborn@oig.hhs.gov Phone: (202) 260-3711.

Website Address:

http://www.dhhs.gov.

RELATED PROGRAMS:

93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.777 STATE SURVEY AND CERTIFICATION OF HEALTH CARE PROVIDERS AND SUPPLIERS (TITLE XVIII) MEDICARE

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Sections 1863, 1864, 1865, 1902, 1903, and 1919, Title XVIII.

OBJECTIVES:

To provide financial assistance to any State which is able and willing to determine through its State health agency or other appropriate State agency that providers and suppliers of health care services are in compliance with Federal regulatory health and safety standards and conditions of participation.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds are made available to States for the purpose of inspecting providers and suppliers of health care services, to ensure mandatory adherence to Medicare/Medicaid health and safety standards and conditions. Funds made available under these programs are used to support or reimburse State staff for performing survey activities and for State administration of the program.

Applicant Eligibility:

Under Title XVIII, States enter into Section 1864 agreements with the Secretary of Health and Human Services whereby the designated agency of the State will be supported or reimbursed for on-site inspection of health care providers and suppliers. The designated State agency is usually that unit performing licensure activities within the State health department.

Beneficiary Eligibility:

NA.

Credentials/Documentation:

A signed 1864 Agreement for Title XVIII in order to carry out the survey function. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Sign an 1864 Agreement for support of Medicare/Medicaid survey and certification activities.

Award Procedure:

States are provided a quarterly award based on an approved annual budget under Title XVIII and quarterly formula grants under Title XIX. States are reimbursed for Title XVIII and Title XIX survey activities under Electronic Funds Transfer System procedures. States draw funds through the Department's Payment Management System (PMS).

Deadlines:

Aug 15, 2010 Annual budget proposals for Title XVIII are requested from the appropriate State agency as negotiated with Regional Office.

Range of Approval/Disapproval Time:

From 30 to 60 days. This timeline is for initial approval or disapproval.

Appeals:

Title XVIII appeals are reviewed by the Armed Services Contracts Appeal Board.

Renewals:

Ongoing renewals are awarded to States that are willing and able to conduct required survey activities in compliance with statutory mandate.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required. Cash reports are required. Progress reports are required. Expenditure reports are required. States operate under 1864 Agreement (Title XVIII). Quarterly expenditure reports are required 30 days after the close of each quarter and monitoring is carried out by the Department of Health and Human Services. Performance monitoring is required. Comprehensive final reports are due no later than 90 days after termination projects.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. DHHS Office of Inspector General staff or designated representatives make periodic audits of State survey agencies. In addition, under the Single State Audit Act, States may elect to conduct their own nonpartisan audits and certify the results to the Secretary of Health and Human Services.

Records:

States must maintain surveyor time records, line item and expenditure documentation, which substantiate the costs relating to survey activities.

Account Identification:

75-0511-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$293,128,000; FY 10 est \$346,900,000; FY 11 est \$362,000,000

Range and Average of Financial Assistance:

(State distributed Title XVIII funds) FY 08 range is from \$512,120 to \$31,388,636 and an average of \$5,184,276. FY 09 range is from \$534,383 to \$32,844,353 and an average of \$5,362,115.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: \$30,192,000. Fiscal Year 2010: \$35,600,000. Fiscal Year 2011: \$47,178,000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title XVIII, Social Security Act as amended Sections 1863, 1864 and 1865.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Administrator for Centers for Medicare and Medicaid Services. (See Appendix IV of the Catalog for listing of Regional Offices).

Headquarters Office:

Thomas Hamilton, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: thomas.hamilton@cms.hhs.gov Phone: (410) 786-9493.

Website Address:

[http://www.cms.hhs.gov/contracts/.](http://www.cms.hhs.gov/contracts/)

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Selecting criteria for Federal funding of State certification proposals are available to State survey agencies upon request.

93.778 MEDICAL ASSISTANCE PROGRAM

(Medicaid; Title XIX)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XIX, as amended; Public Laws 89-97, 90-248, and 91-56; 42 U.S.C. 1396 et seq., as amended; Public Law 92-223; Public Law 92-603; Public Law 93-66; Public Law 93-233; Public Law 96-499; Public Law 97-35; Public Law 97-248; Public Law 98-369; Public Law 99-272; Public Law 99-509; Public Law 100-93; Public Law 100-202; Public Law 100-203; Public Law 100-360; Public Law 100-436; Public Law 100-485; Public Law 100-647; Public Law 101-166; Public Law 101-234; Public Law 101-239; Public Law 101-508; Public Law 101-517; Public Law 102-234; Public Law 102-170; Public Law 102-394; Public Law 103-66; Public Law 103-112; Public Law 103-333; Public Law 104-91; Public Law 104-191; Public Law 104-193; Public Law 104-208,104-134; Balanced Budget Act of 1997, Public Law 105-33; Public Law 106-113; Public Law 106-554; Public Law 108-27; Public Law 108-173; Public Law 109-91; Public Law 109-171; Public Law 109-432; Public Law 110-28; Public Law 110-161; Public Law 111-3; Public Law 111-5; Public Law 111-8; Public Law 111-31; Public Law 111-68; Public Law 111-88; Public Law 111-117; Public Law 111-118; Public Law 111-148; Public Law 111-150.

OBJECTIVES:

To provide financial assistance to States for payments of medical assistance on behalf of cash assistance recipients, children, pregnant women, and the aged who meet income and resource requirements, and other categorically-eligible groups. In certain States that elect to provide such coverage, medically-needy persons, who, except for income and resources, would be eligible for cash assistance, may be eligible for medical assistance payments under this program. Financial assistance is provided to States to pay for Medicare premiums, copayments and deductibles of qualified Medicare beneficiaries meeting certain income requirements. More limited financial assistance is available for certain Medicare beneficiaries with higher incomes.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

For the categorically needy, States must provide in and out-patient hospital services; rural health clinic services; federally-qualified health center services; other laboratory and x-ray services; nursing facility services, home health services for persons over age 21; family planning services; physicians' services; early and periodic screening, diagnosis, and treatment for individuals under age 21; pediatric or family nurse practitioner services; and services furnished by a nurse-midwife as licensed by the States. For the medically needy, States are required to provide a minimum mix of services for which Federal financial participation is available (see section 1902(a)(10)(C)(iv) of the Social Security Act).

Applicant Eligibility:

State and local welfare agencies must operate under an HHS-approved Medicaid State Plan and comply with all Federal regulations governing aid and medical assistance to the needy.

Beneficiary Eligibility:

Low-income persons who are over age 65, blind or disabled, members of families with dependent children, low-income children and pregnant women, certain Medicare beneficiaries and, in many States, medically-needy individuals may apply to a State or local welfare agency for medical assistance. Eligibility is determined by the State in accordance with Federal regulations.

Credentials/Documentation:

Federal funds must go to a designated State Medicaid Agency. Individuals must meet State requirements. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Individuals needing medical assistance should apply directly to the State or local welfare agency. States should contact the Regional Administrator, CMS for application forms. (See Appendix IV of the Catalog for agency Regional Office addresses.)

Award Procedure:

States are awarded funds quarterly based on their estimates of funds needed to provide medical assistance to the needy. Awards are made quarterly on a fiscal year basis as follows: October 1, January 1, April 1, and July 1. Individuals receive medical care from providers of medical care who are participating in the Medicaid program.

Deadlines:

Aug 15, 2011 An individual needing medical assistance may apply to the State at any time. States must submit quarterly estimates of funds needed no later than August 15, November 15, February 15, and May 15, in order to receive a timely quarterly grant award for the following quarter.

Range of Approval/Disapproval Time:

Up to 60 days. The States usually provide needy individuals with immediate medical assistance.

Appeals:

Individuals denied medical assistance by the State or local welfare agency must be given a fair hearing on appeal (see 42 CFR, Subchapter C, Part 431, Subpart E). States have 60 days to resubmit revised applications.

Renewals:

Recipients receive assistance as long as they are qualified under State requirements.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Federal funds are available to match State expenditures for medical care. Under the Act, the Federal share for medical services may range from 50 percent to 83 percent. The statistical factors used for fund allocation are: (1) Medical assistance expenditures by State; and (2) per capita income by State based on a 3-year average (source, "Personal Income," Department of Commerce, Bureau of Economic Analysis). Statistical factors for eligibility do not apply to this program. This program has maintenance of effort (MOE) requirements, see funding agency for further details.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

The needy receive medical assistance as necessary. States receive funds quarterly. The Electronic Transfer System will be used by States for monthly cash draws on the Federal Reserve Bank. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. States must submit fiscal and statistical reports, as required, to the Centers for Medicare and Medicaid Services, Department of Health and Human Services. A Treasury Report TUS-5401 is required monthly. States must submit certified expenditure reports within 30 days after the end of

each quarter. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States must maintain records which substantiate direct and indirect costs charged to the grant award activity.

Account Identification:

75-0512-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$264,100,328,000; FY 10 est \$275,368,078,000; FY 11 est \$271,445,602,000

Range and Average of Financial Assistance:

\$5,612,000 TO \$30,285,860,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: It is estimated that there will be 53,300,000 Medicaid enrollees in fiscal year 2010. Fiscal Year 2011: It is estimated that there will be 56,100,000 Medicaid enrollees in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR, Subchapter C.

Regional or Local Office:

See Regional Agency Offices. Contact the Associate Regional Administrator, Division of Medicaid, Center for Medicaid, CHIP and Survey & Certification. (See Appendix IV of the Catalog for addresses and telephone numbers.)

Headquarters Office:

Division of Medicaid, 7500 Security Boulevard, Baltimore, Maryland 21244
Phone: (410) 786-3870.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

64.012 Veterans Prescription Service; 64.013 Veterans Prosthetic Appliances; 93.110 Maternal and Child Health Federal Consolidated Programs; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.560 Family Support Payments to States Assistance Payments; 93.767 State Children's Insurance Program; 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.775 State Medicaid Fraud Control Units; 93.777 State Survey and Certification of Health Care Providers and Suppliers; 96.006 Supplemental Security Income

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.779 CENTERS FOR MEDICARE AND MEDICAID SERVICES (CMS) RESEARCH, DEMONSTRATIONS AND EVALUATIONS

(CMS Research)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title XI, Sections 1110 and 1115; 42 U.S.C. 1310 and 1315(a); Title XVIII, Section 1875; 42 U.S.C. 1395 and 42 U.S.C. 1881 (f); Section 402, Public Law 90-248, as amended; Section 222, Public Law 92-603.

OBJECTIVES:

The Centers for Medicare & Medicaid Services (CMS) conducts research, demonstrations, and evaluations in support of CMS' key role as a beneficiary-centered purchaser of high-quality health care at a reasonable cost. These grants are awarded in the form of research grants and cooperative agreements; Hispanic health services grants; historically black colleges and university grants. For fiscal years 2010 and 2011, CMS research, demonstrations and evaluations will focus on expanding agency efforts to improve the efficiency of payment, delivery, access and quality of our health care programs that serve millions of beneficiaries.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Under all authorizations, all applications must meet standards of excellence in research or evaluation design. Funds may not be used for construction or renovation of buildings. Funds authorized by Section 1115 of the Social Security Act are limited to State agencies administering the Medicaid program.

The research issues and/or hypotheses identified by a project should be clearly stated and realistic and should reflect the issues of interest to CMS and, where appropriate, HHS, Federal Government, and the broader health services research community.

The research design should clearly identify the measures that will be collected and analyzed to address the issues and/or hypotheses. These proposed measures should reflect concepts and variables that are consistent with the stated issues and hypotheses.

The research design should also address the reliability of measures i.e., a measurement should not change when the concept being measured remains constant in value. A common reliability concern is the level of inter-rater reliability of an instrument, determined by the extent to which two or more persons measuring the same characteristic would assign the same score.

The research design should address the validity of proposed measures i.e., the extent to which differences in scores on variable or the measuring instrument represent true differences in the characteristic we are trying to measure, rather than constant or random errors or the influence of other factors.

Applicant Eligibility:

Grants or cooperative agreements may be made to private, or public agencies or organizations, including State agencies that administer the Medicaid program. Private profit organizations may apply.

Beneficiary Eligibility:

All Medicare and Medicaid beneficiaries are eligible.

Credentials/Documentation:

Applicants should present written evidence of other agencies' willingness to cooperate when the project involves collaborative efforts or the utilization of non-CMS facilities or services. Costs will be determined in accordance with OMB Circular No. A-102 for State and local governments. The standard forms, as furnished by DHHS and required by OMB Circular No. A-102, must be used. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

CMS research and demonstration projects are solicited by publication in grants.gov as well. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application forms are submitted to the Acquisition and Grants Group, CMS, 2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. A letter of intent to file an application is necessary 30 days prior to the closing date of each solicitation cycle.

Award Procedure:

Official notice of approved applications is made through issuance of a Notice of Cooperative Agreement or Grant Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Range from 150 to 180 days.

Appeals:

No formal appeals procedures. If an application is disapproved, the reasons for disapproval will be fully stated. Applicants are free to resubmit applications with attention to the changes suggested by the reasons for disapproval. In the case of solicited proposals, extensions may be allowed to prepare revisions which clarify various aspects of projects.

Renewals:

Extensions and continuations of projects are available if formally applied for and approved. If a grant/cooperative agreement application is recommended for approval for 2 or more years, the awardee must annually submit a formal request for continuation accompanied by a progress report which will be evaluated prior to a recommendation of continuation.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Awardees are required to share in the cost of projects. Normally, the minimum cost-sharing requirement is 5 percent of total project costs. This program has no statutory formula, except in Section 1115 projects, where the statutory formula is the same as that established for the Medicaid Program, both administrative and operational.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants/cooperative agreements are generally funded on a 12-month basis, with support beyond the first year contingent upon acceptable evidence of satisfactory progress, continuing program relevance, and availability of funds. Method of awarding/releasing assistance: lump sum.

Reports:

Reports of progress and expenditures are required on all projects. Comprehensive final reports are due no later than 90 days after termination of projects. Cash reports are not applicable. Reports of progress are required on all projects. Reports on expenditures are required on all projects. Reports on performance is required on all projects.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$30,192,000; FY 10 est \$35,600,000; FY 11 est \$47,178,000

Range and Average of Financial Assistance:

\$25,000 to \$1,000,000; \$235,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 30192000. Fiscal Year 2010: 35600000. Fiscal Year 2011: 47178000.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) and application kits may be obtained from the Office of Acquisition and Grants Management, Centers for Medicare and Medicaid Services, Room C2-21-15, Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional

Administrator. (See Appendix IV for Regional Offices.).

Headquarters Office:

Office of Research, 7500 Security Boulevard, Baltimore, Maryland 21244
Email: research@cms.hhs.gov Phone: (1-800) 633-4277.

Website Address:

<http://www.cms.hhs.gov/contracts/>.

RELATED PROGRAMS:

93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The review process for grants/cooperative agreements consists of initially screening applications for completeness and relevancy to CMS priority areas. If the application is not relevant to CMS priority areas, it will be returned to the applicant. If accepted as submitted it will be reviewed and evaluated. The review will be conducted by a panel of not less than three experts. CMS Project Officer will coordinate the panel's review, but will not vote. This individual will also prepare the panel's recommendation to the Director, Office of Research, Development, and Information (ORDI). The panel's recommendations will contain numerical ratings, rankings of applications, and a written assessment of each application. The recommendations will be based on published criteria as stated in the Federal Register. The review process for applications is also stated in the Federal Register Announcement.

93.780 GRANTS TO STATES FOR OPERATION OF QUALIFIED HIGH-RISK POOLS**FEDERAL AGENCY:**

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Trade Act of 2002; Public Law 107-210; Deficit Reduction Act of 2005; Public Law 109-171; Public Law 109-172., Executive Order State High Risk Pool Funding Extension Act of 2006, Public Law 109-171.

OBJECTIVES:

To assist States in the operation of a qualified high-risk health insurance pool by providing Federal funding (for up to appropriate funding levels) of losses incurred by the pool for a given State fiscal year.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

A State must have a qualified high-risk pool (as defined in section 2744(c)(2) of the Public Health Service Act) that has incurred a loss in order to be eligible for a grant.

Applicant Eligibility:

A State must meet all of the following requirements to be eligible for a grant: 1) the State is operating a qualified high-risk pool as defined in section 2744(c)(2) of the Public Health Service Act; 2) the pool restricts premium charged under the pool to no more than 200 percent for applicable standard risk rates for the State; 3) the pool offers a choice of two or more coverage options through the pool; 4) the pool has in effect a mechanism reasonably designed to ensure continued funding of losses incurred by the State; and 5) the FY 2010 amount of \$55 million will be awarded to the 30 federally qualified States currently receiving a HRP Grant awards as a supplemental (extension) award to their 2008 Operational Losses.

Beneficiary Eligibility:

State Agency.

Credentials/Documentation:

Federal funds must go to a designated State Agency or its partner agencies. Individuals must meet State requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The standard application form SF-424 and related forms, as furnished by CMS, must be used for this program. These forms may be downloaded from the following Web site, www.cms.hhs.gov/researchers/priorities/grants.asp. In addition to the standard forms some additional information regarding the history and description of the qualified high-risk pool, accounting of risk pool losses, and contact person information is also required. Please refer to the following Web site for additional information: www.cms.hhs.gov/HighriskPools. Applicants are required to submit an original and two copies of the application to the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. Facsimile (fax) transmissions will not be accepted.

Award Procedure:

The Centers for Medicare and Medicaid Services (CMS) will make a decision for each application received. Each applicant will receive written notification of CMS's decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

60 to 120 days.

Appeals:

If an application is disapproved, the reasons for disapproval will be fully stated.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the original legislation, a total of \$80,000,000 has been made available for the qualified high-risk pool operation grant program. \$40,000,000 was made available for obligation from FY 2003-FY 2004 and another \$40,000,000 was made available for obligation from FY 2004-FY 2005. Under the provisions of section 6202 of the Deficit reduction Act, \$75,000,000 was made available in FY 2006 for this activity. The Consolidated Appropriations Act of 2008 made available \$49,126,500. The Omnibus Appropriations Act of 2009 made available \$75,000,000. The Consolidated Appropriations Act of 2010 made available \$55,000,000. Method of awarding/releasing assistance: lump sum. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Grant awardees may be required to submit quarterly progress and financial reports to CMS. At a maximum, a grantee would have to complete 8 reports per year if requested. It is anticipated that grantees may only need to file semi-annually, thus 4 reports per year. Refer to 45 CFR part 92. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All fiscal transactions identifiable to Federal financial assistance are subject to audit by DHHS audit agency.

Records:

Proper accounting records, identifiable by project number and including all receipts and expenditures, must be maintained for 3 years. Subsequent to audit, they must be maintained until all questions are resolved.

Account Identification:

75-0511-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$75,000,000; FY 10 est \$55,000,000; FY 11 est \$0

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants Administration policies (45 CFR 74 and 92) application kits may be obtained from the Acquisition and Grants Group, CMS, Mailstop C2-21-15, 7500 Security Boulevard, Baltimore, MD 21244-1850. The grant application kit may be downloaded from the following web site, www.cms.hhs.gov/researchers/priorities/grants.asp.

Regional or Local Office:

See Regional Agency Offices. Contact the appropriate CMS Regional Administrator. (See appendix IV for Regional Offices).

Headquarters Office:

Barbara Dailey, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Barbara.Dailey@cms.hhs.gov Phone: (410) 786-9012.

Website Address:

<http://www.cms.hhs.gov/HighRiskPools/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed to ensure it meets the eligibility criteria (as stated above). If eligible, the State will be awarded the lesser 50 percent of losses incurred by its qualified risk pool for the fiscal year in question or its allotment under the formula for the grants.

93.784 FEDERAL REIMBURSEMENT OF EMERGENCY HEALTH SERVICES FURNISHED TO UNDOCUMENTED ALIENS**FEDERAL AGENCY:**

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Medicare Prescription Drug, Improvement, and Modernization Act of 2003, Public Law 108-73, Section 1011; Medicare Modernization Act (MMA).

OBJECTIVES:

To reimburse eligible providers for their otherwise un-reimbursed costs associated with furnishing emergency health services to undocumented and certain other aliens.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Sections 1866(a)(1)(I), 1866 (a)(1)(N), and 1867 of the Social Security Act (the Act) impose specific obligations on Medicare-participating hospitals that offer emergency services. These obligations concern individuals who come to a hospital emergency department and request examination or treatment for medical conditions, and apply to all of these individuals, regardless of whether or not they are beneficiaries of any program under the Act. Section 1867 of the Act sets forth requirements for medical screening examinations of medical conditions, as well as necessary stabilizing treatment or appropriate transfer. In addition, section 1867(h) of the Act specifically prohibits a delay in providing

required screening or stabilization services in order to inquire about the individual's payment method or insurance status.

Applicant Eligibility:

From the allotments made for a State, the Secretary of Health and Human Services shall pay an amount (subject to the total amount available from such allotments) directly to eligible providers located in the State where emergency services were incurred to the extent that the eligible provider was not otherwise reimbursed. An eligible provider defined under the statute is a hospital, physician, or provider of ambulance services (including an Indian Health Service (IHS) facility whether operated by the IHS or by an Indian tribal or tribal organization).

Beneficiary Eligibility:

The amounts of money set aside for each State will be paid directly to hospitals, certain physicians, and ambulance providers for the costs of providing emergency health care required under EMTALA and related hospital inpatient, outpatient, and ambulance services (including those operated by the Indian Health Service and Indian tribes and Tribal organizations) furnished to undocumented aliens, aliens paroled into the United States at a United States port of entry for the purposes of receiving such services, and Mexican citizens permitted temporary entry to the United States with a laser visa.

Credentials/Documentation:

Final policy guidance was released on May 9, 2005 regarding the implementation of section 1011 of the MMA. This notice establishes the general framework and procedural rules for submitting an enrollment application and payment requests, establishes general statements of policy, and provides CMS' interpretation of section 1011. It is posted in the "downloads" section of the following web address:

http://www.cms.hhs.gov/UndocAliens/02_policy.asp#TopOfPage. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. On September 1, 2004, the Secretary established a provider enrollment application.

Award Procedure:

Official notice of approved claims for emergency health care services will be transmitted to eligible providers pursuant to procedures established prior to September 1, 2004 and published in the Federal Register.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Information on what documentation is needed and the appeals process can be found at www.cms.gov/UndocAliens/02policy.asp.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

This project is authorized for four years beginning in FY 2005. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. The Centers for Medicare & Medicaid Services (CMS) has designated TrailBlazer Health Enterprises as the national contractor for Section 1011 and Federal

Reimbursement of Emergency Health Services Furnished to Undocumented Aliens.

TrailBlazer will enroll eligible providers, assist providers with enrollment and billing questions, calculate provider payment amounts, and serve as the compliance contractor.

TrailBlazer will send CMS periodic reports on the payments and they can also be found on their website at www.trailblazerhealth.com/Section1011/Default.aspx. Periodic reports are due to CMS in accordance with the timeframes established by CMS. No performance monitoring is required.

Audits:

No audits are required for this program.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for a period consistent with the information contained in the final payment methodology.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$144,000,000; FY 10 est \$75,000,000; FY 11 est \$80,000,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 144,000,000. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

CMS intends to provide guidance through a Paperwork Reduction Act (PRA) notice. The PRA package will provide substantive information regarding CMS' final implementation approach.

This information can be found in the Final Policy Notice at the following link:

www.cms.gov/UndocAliens.02_policy.asp

Regional or Local Office:

None.

Headquarters Office:

Lorraine Zicha, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Lorraine.Zicha@cms.hhs.gov Phone: (410) 786-0048.

Website Address:

None.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.789 ALTERNATIVES TO PSYCHIATRIC RESIDENTIAL TREATMENT FACILITIES FOR CHILDREN

(Demonstration Projects Regarding Home and Community-Based Alternatives to Psychiatric Residential Treatment Facilities for Children)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 6063, Deficit Reduction Act of 2005, Public Law 109-171.

OBJECTIVES:

Over the last decade, Psychiatric Residential Treatment Facilities (PRTFs) have

become the primary provider for youth with serious emotional disturbances requiring an institutional level of care. However, since they are not recognized as hospitals, nursing facilities or intermediate care facilities for the mentally retarded, many States have been unable to use the 1915(c) waiver authority to provide home and community-based alternatives to care, which would keep the youth in their homes and with their families. Section 6063 of the Deficit Reduction Act of 2005 addresses this issue by providing up to \$218 million to up to 10 States to develop demonstration programs that provide home and community-based services to youth as alternatives to PRTFs. CMS anticipates awarding each successful applicant between \$5 and \$60 million. The PRTF Demonstration is authorized for up to 5 years. Payments may not be made to States after fiscal year 2011. CMS will review and approve each State's Implementation Plan prior to allowing States to access funds for Federal reimbursement of services under this grant. Section 6063 also provides \$1 million for a National Demonstration Evaluation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Budget Neutrality: In conducting these demonstration projects, The Secretary of Health and Human Services shall ensure that the aggregate payments made by the Secretary under title XIX of the Social Security Act (42 U.S.C. 1396 et seq.) do not exceed the amount which the Secretary estimates would have been paid under the title, if the demonstration projects under Section 6063 had not been implemented. Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant. **Indirect Costs:** The provisions of the OMB Circular A-87 govern reimbursement of indirect costs under this solicitation. A copy of OMB Circular A-87 is available online at: <http://www.whitehouse.gov/omb/circulars/a087/a087.html>.

Applicant Eligibility:

Any single State Medicaid Agency, State Mental Health Agency, or instrumentality of the State may apply for this Demonstration Grant. Only one application can be submitted for a given State. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments." By "territory or possession" we mean Guam, the U.S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

The level of care for Medicaid eligible individuals for this demonstration at a minimum must be under the age of 21 and require the need for a PRTF as defined in the State's Medicaid State Plan. For the purposes of this demonstration, youth are defined as "any child, adolescent or young adult under the age of 21". States shall ensure that each participant under the demonstration meets this level of care criterion to participate in the demonstration. Further, States may elect to add additional criteria to carve out or target a specific sub-population to receive home and community-based services under this demonstration. As a part of the application process, States shall submit a copy of the level of care assessment used to assess eligibility for participation in this demonstration. PRTFs are defined as any non-hospital facility with a provider agreement with a State Medicaid Agency to provide the inpatient services benefit to Medicaid-eligible individuals under the age of 21 (CFR 42 441.150 - 441.182). The facility must be accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO) or any other accrediting organization with comparable standards recognized by the State. Additionally PRTFs must comply with Conditions of Participation on the use of restraint and seclusion (CFR 483.350 - 483.376).

Credentials/Documentation:

Each application for this Demonstration Grant must include a letter of endorsement from the Director of the Mental Health Authority and the State Medicaid Director, if the applicant is not the single State Medicaid Agency/State Agency. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit their applications electronically through <http://www.grants.gov>. Please note when submitting your application electronically, you are required, additionally, to mail a signed SF 424 to Nicole Nicholson, Centers for Medicare & Medicaid Services, Office of Operations Management, Acquisition and Grants Group, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. The mailed SF 424 form may be received at the Centers for Medicare & Medicaid Services within 2 business days of the application closing date.

Award Procedure:

How the Merit of Applications Will Be Determined: CMS will employ a multiphase review process to determine the applications that will be reviewed and the merit of the applications that are reviewed. The multiphase review process includes the following: "Applications will be screened by Federal staff to determine eligibility for further review using the criteria detailed in the "Eligibility Information" section of the solicitation. Applications that are received late or fail to meet the eligibility requirements as detailed in the "Applicant Eligibility" section of this solicitation will not be reviewed." Applications will be objectively reviewed by a panel of experts, the exact number and composition of which will be determined by CMS at its discretion, but may include private sector subject matter experts, beneficiaries of Medicaid supports, and Federal and Applicant policy staff. The review panels will utilize the objective criteria described in the "Application Review Criteria Information" section of the solicitation to establish an overall numeric score for each application. The results of the objective review of applications will be used to advise the approving CMS official. Additionally, CMS staff will make final recommendations to the approving official after ranking applications using the scores and comments from the review panel and weighing other factors as described below. **Factors Other than Merit that May be Used in Selecting Applications for Award:** CMS may assure reasonable balance among the grants to be awarded in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of the solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Successful applicants will receive a Financial Assistance Award (FAA) signed and dated by the CMS Grants Management Officer. The FAA is the document authorizing the grant award and will be sent through the U.S. Postal Service to the applicant organization as listed on its SF 424. Any communication between CMS and applicants prior to issuance of the FAA is not an authorization to begin performance of a project.

Appeals:

Appeals are governed by Title 45--Public Welfare. SUBTITLE A--DEPARTMENT OF HEALTH AND HUMAN SERVICES PART 16--PROCEDURES OF THE DEPARTMENTAL GRANT APPEALS BOARD.

Renewals:

Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant. Funding for years 2008 - 2011 will be awarded on a Continuation Grant to each State based on their approved Financial Neutrality Formula and updated Implementation Plan. Any funding allocated for the Federal match for home and community-based services provided under the demonstration that remains unspent will be carried over to the next year of the Grant.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The demonstration period is five years. Budget Period: The budget period is from FY 2007 through FY 2011. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Financial Status Report, form (SF-269), will be required to be submitted semi-annually. This financial status report will account for all uses of grant monies during each reporting period. During the pre-implementation period, CMS will work with the grantees to determine if additional reporting requirements imposed. At the end of each demonstration grant year, States will be required to produce documentation that they have not exceeded the determined budget ceiling and meet all CMS financial requirements. The format of this financial report will be determined during the IP phase of the Demonstration Semi-Annual Progress Reports: Web-based reports in a pre-determined format will be required to be submitted semi-annually. The submission of the finalized IP will be due no later than 9 months after receipt of the Notice of Financial Assistance Award. The IP will be considered the First report due under this demonstration. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Required to follow 45 CFR 74.53.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$8,804,328; FY 10 est \$75,169,000; FY 11 est \$99,074,000

Range and Average of Financial Assistance:

Floor: \$21 million; Ceiling \$21 million for FY 07; \$37 million for FY 08.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

(CFR 42, 441.150 - 441.182) PRTFs are defined as any non-hospital facility with a provider agreement with a State Medicaid Agency to provide the inpatient services benefit to Medicaid-eligible individuals under the age of 21. The facility must be accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO) or any other accrediting organization with comparable standards recognized by the State. (CFR 483.350 - 483.376) Conditions of Participation on the use of restraint and seclusion). Under this demonstration States are granted the same waiver provisions provided to States who operate a section 1915 (c) waiver program. The three waiver provisions of Title XIX of the Social Security Act (the Act) are as follows: 1902 (a)(10)(B) (Comparability) The waiver of this provision of the Act permits a State to limit the provision of waiver services to Medicaid beneficiaries who require the level of care in an institutional setting, are in the target group(s) specified in the waiver, and offer services to waiver participants that are not provided to other Medicaid beneficiaries. 1902(a) (1) (State- wideness). The Secretary may grant a waiver of this provision of the Act in order to permit a State to limit the operation of a waiver to specified geographic areas of the State; and 1902(a) (10) (C) (i) (III) (Income and Resources for the Medically Needy). A State may request a waiver of this provision in order to apply institutional income and resources rules for the medically needy to persons in the community who

otherwise qualify for waiver services. Additionally, States program design under this demonstration shall comply with existing Medicaid statutory and regulatory requirements governing the administration and operation of a section 1915 (c) waiver program, especially the six statutory assurances that are located in 42 CFR 441.302. HCBS waiver application for more detailed guidance on this subject found at:

http://www.cms.hhs.gov/HCBS/02_QualityToolkit.asp#TopOfPage. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. By "territory or possession" we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. CMS will reimburse States for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP). (See Attachment 6 in the solicitation materials, for a list of home and community-based service categories). Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. A complete electronic application package, including all required forms, for this demonstration grant is available at

https://apply.grants.gov/forms_apps_idx.html Standard application forms and related instructions are available online at <http://gsa.gov/forms>. Standard forms are available as detailed in, Section V.A, Address to Request Application Package. The following standard forms must be completed with an original signature and enclosed as part of the proposal: SF 424: Official Application for Federal Assistance (see Note below*) SF 424A: Budget Information SF 424B: Assurances Non-Construction Programs SF LLL: Disclosure of Lobbying Activities PHS-5161-1 (7/00) Additional Certifications - can be found at the following website:

http://apply.grants.gov/forms/sample/SSA_additionalassurances_VI.0.pdf Usual Requirements that must be adhered to by law and regulation: A. Specific administrative and policy requirements of grantees as outlined in 45 CFR 74 and 45 CFR 92, apply to this grant opportunity. B. All grantees receiving awards under these grant programs must meet the requirements of: Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, The Age Discrimination Act of 1975, Hill-Burton Community Service nondiscrimination provisions, and Title II Subtitle A of the Americans with Disabilities Act of 1990.

Regional or Local Office:

None.

Headquarters Office:

Ronald Hendlr, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Ronald.Hendlr1@cms.hhs.gov Phone: (410) 786-2267.

Website Address:

<http://www.cms.hhs.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The Application submission will include a systems assessment, demonstration design and Financial Neutrality Form, following the application instructions in Section IV of the solicitation. Through a competitive process, 10 applications will receive awards. The 10 States receiving awards will be required to submit an Implementation Plan. States receiving an award under this solicitation will have nine months to complete the Implementation Plan for Centers for Medicare & Medicaid Services (CMS) review and approval. CMS will employ a multiphase review process to determine the applications that will be reviewed and the merit of the applications that are reviewed. The multiphase review process includes the following: Applications will be screened by Federal staff to determine eligibility for further review using the criteria detailed in the Eligibility Information section of this solicitation. Applications that are received late or fail to meet the eligibility requirements as detailed in the Applicant Eligibility section of this solicitation will not be reviewed. Applications will be objectively reviewed by a panel of experts, the exact number and composition of which will be determined by CMS at its discretion,

but may include private sector subject matter experts, beneficiaries of Medicaid supports, and Federal and Applicant policy staff. The review panels will utilize the objective criteria described in the Application Review Criteria Information section of this solicitation to establish an overall numeric score for each application. The results of the objective review of applications will be used to advise the approving CMS official. Additionally, CMS staff will make final recommendations to the approving official after ranking applications using the scores and comments from the review panel and weighing other factors as described below. Factors Other than Merit that May be Used in Selecting Applications for Award: CMS may assure reasonable balance among the grants to be awarded in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the Award Information section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

93.790 ALTERNATE NON-EMERGENCY SERVICE PROVIDERS OR NETWORKS

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 6043, Emergency Room Co-payments for Non-Emergency Care, Deficit Reduction Act of 2005, Public Law 109-171, enacted February 8, 2006.

OBJECTIVES:

To provide Federal payments to States for the establishment of alternate non-emergency service providers, or networks of such providers to provide non-emergency care.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds from this program may be used for the establishment of alternate non-emergency service providers, or networks of such providers to provide non-emergency care. States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Beneficiary Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Credentials/Documentation:

Federal funds will be directed to the State Medicaid Agency with the grant award amount identified in the award approval letter. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A State may submit only one application. Applications must be submitted electronically to CMS via E-mail: Matransgrant@cms.hhs.gov.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS' decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project Period: Under this legislation, a total of \$50,000,000 over 4 years (FY 2006-2009) has been made available for the establishment of alternate non-emergency service providers or networks of such providers to provide non-emergency care. CMS will have two separate competitive grant solicitations as follows: 1st FFY 2006 and 2007; 2nd FFY 2008 and 2009.

Budget Period: FY 2006, 2007, 2008, and 2009. Method of awarding/releasing assistance: lump sum.

Reports:

States will be required to submit quarterly reports to CMS related to program status and financial reports as identified in the terms and conditions post award. States will be required to submit quarterly reports to CMS related to program status and financial reports as identified in the terms and conditions post award. States will be required to submit quarterly reports to CMS related to program status and financial reports as identified in the terms and conditions post award. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents and progress/annual reports and all other records pertinent to this program shall be retained three years.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants) FY 09 \$24,020,801; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Grant funds will be allocated based on the number of States that apply and meet grant criteria.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 24020801. Fiscal Year 2010: No Current Data Available

Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

CMS will provide application guidance in the form of a State Medicaid Director letter. The letter may be located at

www.cms.hhs.gov/GrantsAlternaNonEmergServ/.

Regional or Local Office:

None.

Headquarters Office:

Barbara Dailey, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Barbara.Dailey@cms.hhs.gov Phone: (410) 786-9012.

Website Address:

www.cms.hhs.gov/GrantsAlternaNonEmergServ/.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by a team of CMS staff. The applicant selection criteria will consist of the following but will not be limited to only these factors: the project abstract, project narrative and budget.

93.791 MONEY FOLLOWS THE PERSON REBALANCING DEMONSTRATION

(Money Follows the Person Demonstration)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Section 6071, Public Law Deficit Reduction Act of 2005, Public Law 109-171.

OBJECTIVES:

For more than a decade, States have been asking for the tools to modernize their Medicaid programs. With the enactment of the Deficit Reduction Act (DRA) of 2005, States now have new options to rebalance their long-term support programs to allow their Medicaid programs to be more sustainable while helping individuals achieve independence. The Money Follows the Person (MFP) Rebalancing Demonstration (MFP Demo), created by section 6071 of the Deficit Reduction Act of 2005 (P.L. 109-171), supports State efforts to "rebalance" their long-term support systems by offering \$1.75 billion over 5 years in competitive grants to States. Specifically, the demonstration will support State efforts to: "Rebalance their long-term support system so that individuals have a choice of where they live and receive services." Transition individuals from institutions who want to live in the community. Promote a strategic approach to implement a system that provides person centered services and a quality management strategy that ensures the provision of, and improvement of such services in both home and community-based settings and institutions. The demonstration provides for enhanced federal medical assistance percentage (FMAP) for 12 months for qualified home and community-based services for each person transitioned from an institution to the community during the demonstration period. Eligibility for transition is dependent upon residence in a qualified institution. The State may establish the minimum timeframe for residence between 6 months and two years as required by Section 6071(b)(2)(A) of the DRA. The State must continue to provide community-based services after the 12 month period for as long as the person needs community services and is Medicaid eligible. Demonstration grants will be awarded to States from January 1, 2007 through September 30, 2011. CMS will accept one proposal from each State interested in participating in the demonstration program. The State Medicaid Agency must be the lead applicant. States must propose a demonstration period of no less than two consecutive fiscal years but no greater than five years. The State will indicate in its application the targeted group(s) and number of individuals it intends to transition. In making awards, CMS will give preference to States if they include multiple target groups including older individuals, and if they propose to deliver self-directed services. The number of demonstration projects approved by CMS depends largely on the scope (i.e., proposed enrollment and breadth of services) and quality of the proposed projects. Under the demonstration project, the State must propose a system of Medicaid home and community-based care that will be sustained after the demonstration period and is deemed qualified by the Secretary. Specifically, the program must be conducted in conjunction with a "qualified HCBS program" which is a program that is in operation (or approved) in the State for such individuals in a manner that assures continuity of Medicaid coverage of services in the qualified HCBS program for eligible individuals. States may also propose to enhance the services they will provide during the demonstration period to achieve greater success with transition. States will be required to participate in a national qualitative and quantitative evaluation conducted by CMS. Data collected on a national level will help evaluate the core objectives of the statute that are listed below.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Indirect Costs: The provisions of the OMB Circular A-87 govern reimbursement of indirect costs under this solicitation. A copy of OMB Circular A-87 is available online at:

<http://www.whitehouse.gov/omb/circulars/a087/a087.html>.

Applicant Eligibility:

Applicants must be any single State Medicaid Agency, State Mental Health Agency, or instrumentality of the State may apply for this Demonstration Grant. Only one application can be submitted for a given State. By "State" we refer to the definition provided under 45 CFR 74.2 as "any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments." By "territory or possession" we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands.

Beneficiary Eligibility:

As defined in Section 6071(b)(2) of the DRA, the term "eligible individual" means, with respect to an MFP demonstration project of a State, an individual in the State who, immediately before beginning participation in the MFP demonstration project: (i) resides (and has resided, for a period of not less than 6 months or for such longer minimum period, not to exceed 2 years, as may be specified by the State) in an inpatient facility; (ii) is receiving Medicaid benefits for inpatient services furnished by such inpatient facility; and (iii) with respect to whom a determination has been made that, but for the provision of home and community-based long-term care services, the individual would continue to require the level of care provided in an inpatient facility and, in any case in which the State applies a more stringent level of care standard as a result of implementing the State plan option permitted under section 1915 (i) of the Social Security Act, the individual must continue to require at least the level of care which had resulted in admission to the institution.

Credentials/Documentation:

Applications can only be submitted by the single State Medicaid agency. A cover letter must accompany the application. The cover letter must be signed by the State Medicaid Director, and must indicate the title of the project, the principal contact person, the amount of funding requested, and the names of the major partners actively collaborating in the project. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must submit their applications electronically through <http://www.grants.gov>. Please note when submitting your application electronically, you are required, additionally, to mail a signed SF 424 to Nicole Nicholson, Centers for Medicare and Medicaid Services, Office of Operations Management, Acquisition and Grants Group, C2-21-15 Central Building, 7500 Security Boulevard, Baltimore, MD 21244-1850. The mailed SF 424 form may be received at the Centers for Medicare and Medicaid Services within 2 business days of the application closing date.

Award Procedure:

An independent review of all applications will be conducted by a panel of experts including members of the disability community, advocates, providers and staff from State and Federal agencies including CMS. The review panel will assess each application to determine the merits of the proposal and the extent to which it furthers the purposes of the demonstration program. The panel will evaluate each application for further review by CMS. CMS reserves the right to request that States revise or otherwise modify certain sections of their proposals based on the recommendations of the panel and the budget. A low score in one or two areas, even if offset by high scores in other areas, may result in a rejection of the proposal. Final approval of demonstration projects will be made by the Administrator of CMS after consideration of the comments and recommendations of the review panelists, program office recommendations, and the availability of funds. CMS reserves the right to approve or deny any or all proposals for funding. CMS seeks to achieve

reasonable balance among the grants to be awarded in a particular category in terms of key factors such as geographic distribution and broad target group representation. CMS may redistribute grant funds (as detailed in the "Award Information" section of this solicitation) based upon the number and quality of applications received. (e.g., to adjust the minimum or maximum awards permitted or adjust the aggregate amount of Federal funds allotted to a particular category of grants).

Deadlines:

Nov 01, 2009 The deadline for applications is November 1, 2009, (11:59pm Eastern Standard Time).

Range of Approval/Disapproval Time:

Successful applicants will receive a Financial Assistance Award (FAA) signed and dated by the CMS Grants Management Officer. The FAA is the document authorizing the grant award and will be sent through the U.S. Postal Service to the applicant organization as listed on its SF 424. Any communication between CMS and applicants prior to issuance of the FAA is not an authorization to begin performance of a project. Unsuccessful applicants will be notified by letter, sent through the U.S. Postal Service to the applicant organization as listed on its SF 424, after December 1, 2009.

Appeals:

Appeals are governed by Title 45--Public Welfare SUBTITLE A--DEPARTMENT OF HEALTH AND HUMAN SERVICES PART 16--PROCEDURES OF THE DEPARTMENTAL GRANT APPEALS BOARD.

Renewals:

Any funding allocated for the Federal match for home and community-based services provided under the demonstration for the first year of this grant that remain unspent will be carried over to the next year of the Grant.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: A State receiving an award under this solicitation will receive reimbursement for home and community-based services provided under the demonstration on a quarterly basis at the following Federal Medical Assistance Percentage (FMAP) rates: The FMAP rate will be adjusted to reflect the increased FMAP available to States through the American Recovery and Reinvestment Act of 2009 each quarter from October 1, 2008 through December 31, 2010 (increased rate). The funding for the increased FMAP will be provided from Money Follows the Person grant demonstration appropriations. The enhanced FMAP provided by the DRA of 2005 (Enhanced Rate increased up to 50% of the State Match capped at 90%) will be applied to the Recovery Act increased quarterly FMAP. Service Category Match rate for a 12-month demonstration period for "Qualified HCB program" services and HCB Demonstration services are at the Increased and Enhanced Match Rate. Supplemental Demonstration services will be provided at the Increased Rate only for the Recovery Act period. Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. At the end of the Recovery Act period, the yearly published FMAP Rate in the Federal Register will be used to determine the Enhanced Rate and the State match requirements for the prior quarters to the Recovery Act period and subsequent quarters until the end of the demonstration.

This program has MOE requirements, see funding agency for further details. Total expenditures under the State Medicaid program for home and community-based long-term care services will not be less for any fiscal year during the MFP demonstration project than for the greater of such expenditures for fiscal year 2005 or any succeeding fiscal year before the first of the year of the MFP demonstration project.

Length and Time Phasing of Assistance:

The demonstration period is five years. The project period is from January 1, 2007 through September 30, 2011. The budget period is from January 1, 2007 through September 30, 2011. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. Financial Status Report, form (SF-269), will be required to be submitted semi-annually. This financial status report will account for all uses

of grant monies during each reporting period. During the pre-implementation period, CMS will work with the grantees to determine if additional reporting requirements imposed. At the end of each demonstration grant year, States will be required to produce documentation that they have not exceeded the determined budget ceiling and meet all CMS financial requirements. The format of this financial report will be determined during the IP phase of the Demonstration Semi-Annual Progress Reports: Web-based reports in a pre-determined format will be required to be submitted semi-annually. The submission of the finalized IP will be due no later than 9 months after receipt of the Notice of Financial Assistance Award. The IP will be considered the First report due under this demonstration. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to follow 45 CFR 74.53.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$250,450,045; FY 10 est \$452,200,000; FY 11 est \$724,100,000

Range and Average of Financial Assistance:

There is not a prescribed or predetermined maximum floor or ceiling grant award. Each State is unique in the number of individuals that will be projected for transition under the demonstration grant. In addition, the costs of individuals transitioning to community settings may vary, by targeted population. Applicants are advised to request a grant award that is sufficient in the amount needed to transition the projected individuals into community settings. CMS reserves the right to reduce the requested grant award, based on the number and size of additional grant awards given under this demonstration, as well as because of concerns contained within a State's application (i.e., concerns with the number of costs of individuals projected for transition by the individual State.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

To promote effective outcomes from the demonstration, the statute provides waiver authority for four provisions of title XIX of the Social Security Act, to the extent necessary to enable a State initiative to meet the requirements and accomplish the purposes of the demonstration. These provisions are: (1) Statewide (Section 1902(a)(1) of the Social Security Act) - in order to permit implementation of a State initiative in a selected area or areas of the State. (2) Comparability (Section 1902(a)(10)(B) - in order to permit a State initiative to assist a selected category or categories of individuals enrolled in the demonstration. (3) Income and Resource Eligibility (Section 1902(a)(10)(C)(i)(III) - in order to permit a State to apply institutional eligibility rules to individuals transitioning to community-based care. 4. Provider agreement (Section 1902(a)(27)) - in order to permit a State to implement self-direction services in a cost-effective manner for purposes of this demonstration program. By "State" we refer to the definition provided under 45 CFR 74.2 as any of the several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, any territory or possession of the United States, or any agency or instrumentality of a State exclusive of local governments. By "territory or possession" we mean Guam, the U. S. Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. CMS will reimburse States for home and community-based services provided under the demonstration on a quarterly basis at a rate equal to the State's Federal Medical Assistance Percentage (FMAP) or the State's Enhanced FMAP, as specified in the solicitation. Administrative costs will be reimbursed according to the requirements of CFR 42, 433.15. A complete electronic

application package, including all required forms, for this demonstration grant is available at https://apply.grants.gov/forms_apps_idx.html Standard application forms and related instructions are available online at <http://gsa.gov/forms>. Standard forms are available as detailed in, Section V.A, Address to Request Application Package. The following standard forms must be completed with an original signature and enclosed as part of the proposal: SF 424: Official Application for Federal Assistance (see Note below*) SF 424A: Budget Information SF 424B: Assurances - Non-Construction Programs SF LLL: Disclosure of Lobbying Activities PHS-5161-1 (7/00) Additional Certifications - can be found at the following website: http://apply.grants.gov/forms/sample/SSA_additionalassurances_VI.0.pdf Usual Requirements that must be adhered to by law and regulation: Specific administrative and policy requirements of grantees as outlined in 45 CFR 74 and 45 CFR 92, apply to this grant opportunity. All grantees receiving awards under these grant programs must meet the requirements of: Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, The Age Discrimination Act of 1975, Hill-Burton Community Service nondiscrimination provisions, and Title II Subtitle A of the Americans with Disabilities Act of 1990.

Regional or Local Office:

None.

Headquarters Office:

Cathy M. Cope, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: cathy.cope@cms.hhs.gov Phone: (410) 786-8287.

Website Address:

www.cms.hhs.gov/NewFreedomInitiative/02_WhatsNew.asp.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.793 MEDICAID TRANSFORMATION GRANTS

(Medicaid Transformation Grants)

FEDERAL AGENCY:

Centers for Medicare and Medicaid Services, Department of Health and Human Services

AUTHORIZATION:

Deficit Reduction Act of 2005, Public Law 109-171, enacted February 8, 2006, Section 6081, Medicaid Transformation Grants.

OBJECTIVES:

To provide Federal payments to States for the adoption of innovative methods to improve the effectiveness and efficiency in providing Medical Assistance.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds from the program may be used to fund the following examples of innovative methods to improve the effectiveness and efficiency in providing Medical Assistance and are not limited to: (1) methods for reducing patient error rates through the implementation and use of electronic health record, electronic clinical decision support tool, or e-prescribing programs, (2) methods for improving rates of collection from estates of amounts owed under Medicaid, (3) methods to reduce waste, fraud and abuse under Medicaid, such as reducing improper payment rates as measured by annual error rate measurement (PERM), (4) implementation of medication risk management program as part of a drug use review program under section 1927(g), (5) methods for reducing, in clinically appropriate ways Medicaid expenditures for covered outpatient drugs, particularly in the categories of greatest drug utilization, by increasing the utilization of generic drugs through the use of education programs and other incentives to promote greater use of generic drugs, and (6) methods of improving access to primary and specialty physician care for the uninsured using integrated university-based hospital and clinic systems. RESTRICTIONS

-- States may not use funds as the State's share of the Medicaid program costs or as supplemental Disproportionate Share Hospital (DSH) payments.

Applicant Eligibility:

Grant applicants are limited to the 51 State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Beneficiary Eligibility:

State Medicaid Agencies and the Medicaid Agencies in the Federal Territories.

Credentials/Documentation:

Federal funds will be directed to the State Medicaid Agency with the grant award amount identified in the award approval letter. Permissible administrative costs will be determined in accordance with Office of Management and Budget (OMB), Circular No. 87, "Cost Principals for States and Local Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A State may submit only one application. More than one program concept proposal and budget may be included in the application. Applications must be submitted electronically to CMS via E-mail: Matransgrant@cms.hhs.gov.

Award Procedure:

CMS will make a decision for each application received. Each applicant will receive written notification of CMS' decision. Applicants approved for a grant award must submit a letter of acceptance to CMS within 30 days of the date of the award, agreeing to the terms and conditions of the award letter.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

There were no new obligations or appropriations for FY09 or FY10 but the program should remain in the system due to continued outlays. Method of awarding/releasing assistance: lump sum.

Reports:

States are required to submit an annual report to CMS related to the programs supported by this award and the report shall include at a minimum: (1) the specific uses of the funds (2) an assessment of quality improvements and clinical outcomes under this program and (3) estimates of cost savings resulting from this program. In addition, States are required to submit financial reports as required by CMS. No cash reports are required. Progress Reports: see above. Expenditure Reports: see above. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents and progress/annual reports and all other records pertinent to this program shall be retained 3 years.

Account Identification:

75-0516-0-1-551.

Obligations:

(Formula Grants (Apportionments)) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - There were no new obligations or appropriations for FY09 or FY10 but the program should remain in the system due to continued outlays.

Range and Average of Financial Assistance:

Grant funds were allocated based on the number of States that applied and met the grant criteria.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

CMS provided application guidance in the form of a State Medicaid Director letter. The letter is located at www.cms.gov/MedicaidTransGrants/.

Regional or Local Office:

None.

Headquarters Office:

Barbara Dailey, 7500 Security Boulevard, Baltimore, Maryland 21244 Email: Barbara.Dailey@cms.hhs.gov Phone: (410) 786-9012.

Website Address:

<http://www.cms.hhs.gov/MedicaidTransGrants/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Each application will be reviewed by a team of CMS staff. The applicant selection criteria will consist of the following but will not be limited to only these factors: the project abstract, project narrative and budget.

93.822 HEALTH CAREERS OPPORTUNITY PROGRAM

HCOP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 739, 42 U.S.C. 293c, as amended; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

The HCOP program strives to develop a more competitive applicant pool to build diversity in the health professions. The program's goal is to provide students from economically and educationally disadvantaged backgrounds an opportunity to develop the skills needed to successfully compete to enter and graduate from health professions schools, allied health professions schools, graduate programs in behavioral and mental health, and programs for the training of physician assistants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Section 739 provides for assisting individuals from disadvantaged backgrounds to undertake education to enter a health or allied health profession. Grant funds may be used for: (1) recruitment; (2) facilitating entry; (3) counseling, mentoring and other services; (4) preliminary education and health research training; (5) financial aid/information dissemination; (6) primary care exposure activities; (7) development of a more competitive applicant pool; and (8) stipends.

Applicant Eligibility:

Eligible applicants include accredited schools of medicine, osteopathic medicine, public health, dentistry, veterinary medicine, optometry, pharmacy, allied health, chiropractic, podiatric medicine, public and nonprofit private schools that offer graduate programs in behavioral and mental health, programs for the training of physician assistants, and other public or private nonprofit health or educational entities.

Beneficiary Eligibility:

Students who meet the definition of either educationally or economically disadvantaged, and who express an interest in pursuing a health degree program are eligible for participation in an HCOP program during their primary, secondary pre-professional, and professional education. Students must be U.S. citizens, non-citizen nationals, or foreign nationals who possess a visa permitting permanent residence in the United States. An individual will be determined to be disadvantaged if he or she comes from a background that has inhibited the individual from obtaining the knowledge, skills, and abilities required to enroll in and graduate from a health professions school or program providing education or training in an allied health profession; or comes from a family with an annual income below a level based on low income thresholds according to family size published by the Bureau of the Census, adjusted annually for changes in the Consumer Price Index, and adjusted by the Secretary for use in health professions programs.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 4 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget period within an approved project period.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Projects periods are 3 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A Uniform Progress Report must be submitted for a second or subsequent budget period

within a previously approved project period. An annual financial status report is required 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$19,133,000; FY 10 est \$22,133,000; FY 11 est \$22,133,000

Range and Average of Financial Assistance:

FY 08: 193,468 to 1,616,367; \$668,531.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2009 it is estimated that there will be 14 non-competing continuations plus an estimated 16 new awardees, totaling 30 HCOP programs. Fiscal Year 2010: It is estimated that in FY 2010 there will be 1 to 2 new HCOP awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Sara Rue, Project Officer, Diversity Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9-36, 5600 Fishers Lane, Rockville, MD 20857.

Headquarters Office:

Sara Rue, 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857 Phone: (301) 443-3043.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Overview: (1) The applicant's record in developing, training, and strengthening the academic performance of disadvantaged students throughout the educational pipeline, including health professions schools; (2) the needs assessment study; (3) the established relationship between the program's stated needs, objectives,

and outcomes; (4) the project's plan for developing and expanding the pool of competitive health professions school applicants; (5) the qualifications and experience of the faculty and staff to implement and manage an HCOP program, as well as the experience of the faculty and staff in working with the proposed target group; (6) evidence that formal linkages have been established in order to create a more comprehensive HCOP program; (7) the project activities and their reasonability given the proposed level of staff effort, length of project period and budget requested, resources available, and linkages established; (8) the proposed methods for evaluating the project (including data collection, analysis and reporting procedures, quality control methods and type of personnel involved; (9) the demonstrated knowledge and expertise the applicant possess for coordinating activities with school districts and other community based entities, as well as identifying and leveraging resources from private, philanthropic, business and other government entities; and 10) the budget demonstrates effective use of grant funds and is reasonable, based on: (a) a detailed justification for each line item, (b) the level of in-kind support and other sources of funds, both Federal and non-Federal, the applicant proposes to utilize for carrying out the program, (c) the applicant's proposed plan for continuation of the proposed project beyond the federally-funded project period.

REVIEW CRITERIA. All competitive applications will be reviewed and ranked on the basis of 7 review criteria are used to review and rank applications.

1. **RESOURCES/ CAPABILITIES:** a) the applicant demonstrates a commitment to disadvantaged students and/or underserved communities; b) the applicant provides documentation of evidence utilizing institutional resources and activities aimed at developing, training and strengthening the academic performance and cultural competence of disadvantaged students throughout the educational pipeline, including health professions schools; c) the applicant demonstrates a commitment to disadvantaged students and/or underserved communities; d) the applicant provides documentation of evidence utilizing institutional resources and activities aimed at developing, training and strengthening the academic performance and cultural competence of disadvantaged students throughout the educational pipeline, including health professions schools; e) project personnel are qualified by training and/or experience to implement and carry out the project; and f) the capabilities of the applicant organization, and quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project.

2. **IMPACT:** a) the applicant clearly describes the plan for disseminating and implementing HCOP project results to the education and/or health professions community, regionally and/or nationally; b) the applicant clearly delineates specific venues, media, timelines, etc.; c) the applicant describes the extent to which the project activities are replicable; and d) the applicant describes the probability of the program, or significant components of the program, being maintained beyond HCOP funding.

3. **NEED:** a) the extent to which the application describes the problem and associated contributing factors to the problem, with support data provided; b) the targeted disciplines mirror the health professions workforce needs of the geographic area to be served; and c) support data includes information on the academic performance and social needs of students in the targeted area and demographics for health professionals in the geographic area.

4. **RESPONSE:** a) an effective, well-delineated plan for carrying out all seven (7) of the HCOP program purposes. The plan explicitly identifies the targeted health disciplines and includes measurable objectives that are clearly written with corresponding methodology, evaluation and budget sections that describe in detail how they will be carried out, evaluated and supported by HCOP grant dollars and/or other sources; b) the extent to which the proposed project responds to the Purpose included in the program description; c) the clarity of the proposed goals and objectives and their relationship to the identified project; d) the extent to which the activities described in the application are capable of addressing the problem and attaining the project objectives; and e) the extent to which the projects objectives are appropriate, measurable, logical, time-framed, and provide baseline data.

5. **CULTURAL COMPETENCE DEVELOPMENT:** a) the proposed project

must clearly define goals and objectives for teaching cultural competence, including educational and training activities for each educational level; and b). the objectives must be measurable and attainable.

6. SUPPORT REQUESTED: a) the overall proposed budget, including non-trainee expenses, should be cost effective, reasonable and consistent in relation to the objectives, the proposed activities and the anticipated results; and b) expenditures should be justified and in-kind contributions documented.

7. PROGRESS SUMMARY OF EVALUATIVE MEASURES (FOR COMPETING CONTINUATIONS): a) the progress summary reveals that the applicant was able to successfully manage the grant and met the objectives of the current HCOP grant; b) lists the original grant objectives and provides outcomes for each objective in measurable terms; and c) provides an evaluation of the outcomes and lists corrective actions taken to remedy objectives that were not met.

93.824 AREA HEALTH EDUCATION CENTERS INFRASTRUCTURE DEVELOPMENT AWARDS

AHEC-ID

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 751(a)(1), as amended; Health Professions Education Partnerships Act of 2010, Public Law 105-392.

OBJECTIVES:

The Basic/Core Area Health Education Centers (BAHEC) Program and Model State Supported Area Health Education Centers (MAHEC) Program goals are to assist schools to improve the distribution, diversity, supply, and quality of health personnel in the health services delivery system, and to attract and retain health personnel in underserved areas. The AHEC Program awardees link the academic resources of medical schools and participating health professions schools with local educational and clinical sites, and thereby establish a network of community-based training sites to provide educational services to health professions students, faculty-preceptors and health care providers in underserved areas, and ultimately, to improve the delivery of health care in an underserved region or in an entire state. Emphasis is placed on community-based training of health professions students, primary care-oriented medical residents, local providers, and also 9-12 grade students. The BAHEC program assists schools in the initial planning, development and implementation of community-based Area Health Education Centers (AHECs) which assist schools and organize the training of at least three health professions disciplines at training-service delivery sites in rural and underserved areas, of a region or an entire state. Schools which complete Basic AHEC Program development, then compete for Model AHEC Program awards in partnership with affiliated (contracted) AHEC Centers to maintain and enhance/expand training programs and training sites responsive to local, State and Federal needs and priorities. The BAHEC and MAHEC programs embrace the goal of increasing the number of health professions graduates who ultimately practice in underserved areas. Both AHEC programs carry out health careers recruitment and enhancement activities to attract high school students from underserved areas into health careers.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreements may not be used for construction, patient services, nor student tuition or stipends.

Applicant Eligibility:

Eligible applicants for Area Health Education Centers (AHEC) Program cooperative agreements under Section 751(a)(1) include public or private nonprofit accredited schools of medicine and osteopathic medicine and incorporated consortia made up of such schools, or the parent institutions of

such schools. Also, in States in which no AHEC program is in operation, an accredited school of nursing is an eligible applicant.

Beneficiary Eligibility:

Accredited public or nonprofit schools of allopathic medicine or osteopathic medicine, the parent institution on behalf of such schools, and also, accredited schools of nursing in States in which no AHEC program is in operation.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months from receipt of application.

Appeals:

Not Applicable.

Renewals:

Competitive continuations may be submitted during the final budget year of the approved project.

Formula and Matching Requirements:

Statutory Formula: Title Section 751(a)(1), Public Law PHS Act. Awardees shall make available (directly through contributions from State, county or municipal governments, or the private sector) non-Federal contributions in cash in an amount not less than 50 percent of the operating costs of the AHEC Program, except that the Secretary may grant a waiver for up to 75 percent of the amount required in the first 3 years in which an awardee receives funds under this section: Section 751 (a) (1) of the PHS Act as amended.

Matching Requirements: Percent: 50.%.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Funds are available for expenditure during appropriate budget period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A Uniform Progress Report must be submitted annually. A financial status report must be submitted within 90 days after the end of each budget period. Final progress and financial reports must be submitted within 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$12,000,000; FY 10 est \$13,345,000; FY 11 est \$13,345,000

Range and Average of Financial Assistance:

\$120,001 to \$1,271,355; \$918,730.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 09, four competing and eleven non-competing applications for a total of 15 Basic AHEC Program awards were made. Fiscal Year 2010: It is expected that 15 awards will be made in FY 10. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Lou Coccodrilli, M.P.H, Branch Chief, or CAPT Norma Hatot, Program Officer, AHEC Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Parklawn Building, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: 301-443-6950.

Headquarters Office:

Norma Hatot, 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Phone: (301) 443-6950.

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

In determining the funding of applications, consideration is given to: (1) **NEED** Demonstrates an understanding of the purpose of the AHEC program, and demonstrates an understanding of the identified need(s) as evidenced by the applicant's description of target population(s) and geographic areas validated with supporting data, demographics, health status of the target population(s), and associated contributing factors which the proposed AHEC project intends to address in the area(s) to be served by the area health education center(s); (2) **RESPONSE** The degree to which the proposed project responds to the program requirements as set forth in Sections 751(a)(1) and 751(a)(2) of the PHS Act, as amended; degree to which project activities address the AHEC Project and Center requirements; clarity of the project objectives and their relationship to the identified need(s); extent to which project objectives are measurable and attainable within the stated timeframe; clarity of the proposed work plan and mechanisms to assure that satisfactory progress is attained; extent to which the

project fulfills the cost sharing/matching requirements; degree to which project challenges and plans to overcome barriers are addressed; and degree to which the project meets the Medical School 10 Percent Requirement; (3) **EVALUATIVE MEASURES** The adequacy of the evaluation strategy to monitor and evaluate project results: potential of evaluative measures to assess the extent to which project objectives are met and to what extent these can be attributed to the project; clarity of methods and techniques that will be used to measure, analyze, and report the outcomes of each objective; and the extent to which the proposed project adequately responds to AHEC Program performance measures and outcome indicators; the points will be allocated across the performance measures of Distribution, Diversity, and Quality; (4) **IMPACT** The potential of the proposed AHEC program and participating center(s) to continue on a self-sustaining basis; identification of plans for effective, efficient dissemination of project results; potential of project results to be of national significance; and potential for replication of project activities; (5) **RESOURCES/CAPABILITIES** Evidence of qualifications in key personnel bio-sketches; evidence of adequate staffing plan for proposed project; evidence of ability to implement complex programs with similar requirements; evidence of institutional support, e.g., resources and letters of support; and evidence of successful partnerships and linkages with academic and community-based organizations; (6) **SUPPORT REQUESTED** Evidence of a reasonable detailed budget and budget rationale to accomplish the project objectives; evidence of fiscal capability to successfully manage cooperative agreements and contracts; and evidence of efforts to become self-sufficient, e.g., other sources of income, income generation plans, and future funding strategies.

93.837 CARDIOVASCULAR DISEASES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Reauthorization Act of 2000, Public Law 106-554.

OBJECTIVES:

To foster research and prevention, education, and control activities related to heart and vascular diseases and to develop young scientist investigators in these areas. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. Individual Predoctoral and Postdoctoral National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Institutional NRSA awards may be made to eligible institutions to enable them to appoint individuals selected by the institution. Certain service and paycheck provisions may apply to postdoctoral individuals upon termination of the award or termination of the appointment. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process.

Phase II grants are for the continuation of research initiated in Phase I that is likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Some Phase II awardees may be eligible to apply for funds to continue research for up to three years beyond the original Phase II award. Eligible projects involve assessment and improvement of drugs or devices or propose to conduct preclinical studies of drugs or devices that ultimately require: 1) clinical evaluation and/or 2) approval of a Federal regulatory agency. The product being developed must be one for which Federal regulatory approval (e.g., FDA) is a required step toward commercialization. Prospective applicants are strongly encouraged to contact NIH staff to determine eligibility. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application.

Applicant Eligibility:

To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. Any nonprofit organization engaged in biomedical research and institutions or companies organized for profit may apply for almost any kind of grant. Only domestic, non-profit, private or public institutions may apply for NRSA Institutional Research Training Grants. An individual may apply for an NRSA or, in some cases, for a research grant if adequate facilities to perform the research are available. SBIR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. At least 67% of the research or analytic effort must be performed by the small business concern in Phase I. The research and analytic effort performed by the small business must be at least 50% during Phase II. STTR grants can be awarded only to United States small business concerns (entities that are independently owned and operated for profit and have no more than 500 employees) that formally collaborate with a university or other non-profit research institution in cooperative research and development. The principle investigator may be employed with the small business concern or collaborating non-profit research institution as long as s/he has a formal appointment with or commitment to the applicant small business concern. At least 40% of the project is to be performed by the small business concern and at least 30% by the non-profit research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions.

Beneficiary Eligibility:

Research project grants and cooperative agreements, training and career development grants, and SBIR and STTR grants.

Credentials/Documentation:

Individual NRSA and Institutional NRSA trainees must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree); and must possess a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For-profit organization costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with DHHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present, in a research plan, an idea that has potential for commercialization and must furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. The grant application form SF424 (R&R) is used to apply for all SBIR and STTR grants. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research grant applications are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual NRSA applications may be obtained from and submitted to the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. For some special grant programs, applicants may be advised to submit directly to the Office of Scientific Review, Division of Extramural Research Activities, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff inform applicants of the results of the review. If support is contemplated, staff initiate preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Dec 01, 2006 New Research Grants: February 5, June 5, and October 5 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplementals: March 5, July 5, and November 5. Institutional NRSA: January 25 (new, resubmission, amended, and renewal applications) and September (25 resubmission amended applications). Individual NRSA: April 8, August 8, and December 8. SBIR and STTR Grants: April 5, August 5, and December 5.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 9 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the National Heart, Lung, and Blood Institute.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The National Heart, Lung, and Blood Advisory Council may recommend funding for periods typically ranging from one to five years. Funding commitments are made annually. Usually, SBIR Phase I awards are for six months and Phase II for two years, and STTR Phase I awards are for one year and Phase II are for two years. See the following for information on how

assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSAs to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures are required. Final reports are required within 120 days of termination. Reports are required after termination of NRSAs to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or
2. an audit that meets the requirements of OMB Circular No. A-133.

In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required

retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 09 \$1,340,807,006; FY 10 est \$1,377,008,795; FY 11 est \$1,418,319,059 - In fiscal year 2009, 3,464 research grants and National Research Service Awards were made. The estimates of fiscal year 2010 are 3,227 research grants and 307 National Research Service awards. The estimates for fiscal year 2011 are 3,227 research grants and 307 National Research Service Awards. In fiscal year 2009, for new and competing renewal awards: 3,882 grant applications were received, and of these, 905 were awarded; 248 National Research Service Award applications were received, and of these, 122 were awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2009, 47 Phase I awards, and 60 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$5,000 to \$5,341,739; \$454,027. SBIR/STTR: Phase I - \$100,000; Phase II - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; "NIH Guide for Grants and Contracts, and Supplements"; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications; Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Roy L. White, 6701 Rockledge Drive, Rm 7176, MSC7924, Bethesda, Maryland 20817 Email: whiter@nhlbi.nih.gov Phone: (301) 435-0310.

Website Address:

<http://www.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the importance of the problem the proposed research will address; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation of the proposed research; (5) the potential of the proposed research for commercial application; (6) the appropriateness of the budget requested; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality,

or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.838 LUNG DISEASES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422 and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285, and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To use available knowledge and technology to solve specific disease problems of the lungs; to promote further studies on the structure and function of the lung; and to achieve improvement in the prevention and treatment of lung diseases. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSAs) are made directly to individuals for research training in specified biomedical shortage areas. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research, as well as institutions or companies organized for profit, may apply for grants with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees). Primary employment (more than one-half time) of the

principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research grant applications are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual NRSA applications may be obtained from and submitted to the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. For some special grant programs, applicants may be advised to submit directly to the Office of Scientific Review, Division of Extramural Research Activities, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at

<http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparation of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Dec 01, 2006 New Research Grants: February 5, June 5, and October 5 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplements: March 5, July 5, and November 5. Individual NRSA: April 8, August 8, and December 8. Individual NRSA: January 25, May 25, and September 25. SBIR/STTR Grants: April 5, August 5, and December 5..

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

> 180 Days. Renewal applications are reviewed in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office

(GAO).

In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 09 \$556,212,914; FY 10 est \$571,230,663; FY 11 est \$588,367,583 - In fiscal year 2009, 1,348 research grants and National Research Service Awards were made. The estimates of fiscal year 2010 are 1,267 research grants and 69 National Research Service awards. The estimates for fiscal year 2011 are 1,305 research grants and 72 National Research Service Awards. In fiscal year 2009, for new and competing renewal awards: 1,356 grant applications were received, and of these, 318 were awarded; 139 National Research Service Award applications were received, and of these, 46 were awarded. Small Business innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2009, 11 Phase I awards, and 7 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$3,954 to \$6,586,795; \$441,820. SBIR/STTR: Phase I - \$100,000; Phase II - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Roy L. White 6701 Rockledge Drive, Rm 7176, MSC7924, Bethesda, Maryland 20817 Email: whiter@nhlbi.nih.gov Phone: 3014350310

Website Address:

http://www.nhlbi.nih.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.839 BLOOD DISEASES AND RESOURCES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 422, and 487, as amended, Public Laws 78-410 and 99-158, 42 U.S.C. 241, 42 U.S.C. 285 and 42 U.S.C. 288, as amended; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To foster research and research training on the pathophysiology, diagnosis, treatment, and prevention of non-malignant blood diseases, including anemias, sickle cell disease, thalassemia; leukocyte biology, pre-malignant processes such as myelodysplasia and myeloproliferative disorders; hemophilia and other abnormalities of hemostasis and thrombosis; and immune dysfunction. Funding encompasses a broad spectrum of hematologic inquiry, ranging from stem cell biology to medical management of blood diseases and to assuring the adequacy and safety of the nation's blood supply. Programs also support the development of novel cell-based therapies to bring the expertise of transfusion medicine and stem cell technology to the repair and regeneration of human tissues and organs. Small Business Innovation Research (SBIR) program: To stimulate technological innovation; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may support salaries, equipment, supplies, travel, and patient hospitalization as required to perform the research effort. Restrictions or limitations are imposed against the use of funds for entertainment, foreign travel, general-purpose equipment, alterations and renovations, and other items not regularly required for the performance of research. National Research Service Awards (NRSAs) are made directly to individuals for research training. Grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Certain service and payback provisions apply to individuals upon termination of the award. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has

potential for commercial application. These awards are made to small businesses working in collaboration with academic institutions. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. While the intent of the SBIR/STTR programs is commercialization (Phase III), no SBIR/STTR funds are allowed for commercialization activities such as patents; market and sales; market research; business development/product development/market plans; legal fees, travel and other costs including labor relating to license agreements and partnerships.

Applicant Eligibility:

Any nonprofit organization engaged in biomedical research and institutions (or companies) organized for profit may apply for grants, with the exception of NRSAs. An individual may apply for a NRSA or, in some cases, may qualify for a research grant if adequate facilities in which to perform the research are available. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, or owned by another small business that itself is independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees including affiliates). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. SBIR projects must be performed at least 67% by the applicant small business in Phase I and at least 50% of the project in Phase II. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research. Only domestic for-profit small business firms may apply for SBIR and STTR programs.

Credentials/Documentation:

Applicants for individual National Research Service Awards must be citizens of the United States or have been admitted for permanent residency; must hold a doctoral degree (M.D., Ph.D, D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree); and must designate a desire for training in one of the health or health-related areas specified by the National Institutes of Health. Each applicant must be sponsored by an accredited public or private nonprofit institution engaged in such training. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. SBIR and STTR applicants must use the SF424 Research and Related (R&R) application for electronic submission through Grants.gov. Electronic submission of SNAP Progress Reports (eSNAP) apply for non-competing continuations (e.g., second year of Phase II). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research grant applications

are submitted on designated forms to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Forms for individual NRSA applications may be obtained from and submitted to the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. For some special grant programs, applicants may be advised to submit directly to the Office of Scientific Review, Division of Extramural Research Activities, National Heart, Lung, and Blood Institute, Bethesda, MD 20892. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. NIH is transitioning to an electronic application by grant mechanism. Details, including the appropriate application form, can be found at <http://era.nih.gov/ElectronicReceipt/>.

Award Procedure:

All accepted applications are evaluated by an appropriate initial review group (study section). All grant applications receive a final secondary review by the National Heart, Lung, and Blood Advisory Council. Fellowship applications have a secondary review by the staff of the Institute. Staff informs applicants of the results of the review. If support is contemplated, staff initiates preparations of awards for grants. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research. Eligibility criteria must be verified prior to the competing award as stated in the SBIR/STTR Funding Opportunity Announcement and annual OMNIBUS SOLICITATION.

Deadlines:

Dec 01, 2006 New Research Grants: February 5, June 5, and October 5 (or as specified in Request for Applications (RFA) announcements). Renewals and Supplements: March 5, July 5, and November 5. Individual NRSA: April 8, August 8, and December 8. Individual NRSA: January 25, May 25, and September 25. SBIR/STTR Grants: April 5, August 5, and December 5.

Range of Approval/Disapproval Time:

> 180 Days. Regular Grants: From 7 to 9 months. SBIR/STTR Grants: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute.

Renewals:

> 180 Days. Renewals require application and review in the same manner as new applications.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The Advisory Council may recommend funding for periods ranging from 1 to 5 years. Funding commitments are made annually. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released:

Award length may vary depending on the recommendation of the scientific review group, the national advisory council, successful annual performance, and availability of funds. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. Annual reports from grantees are required on progress and expenditures. Final reports are required within 120 days of termination. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or
2. an audit that meets the requirements of OMB Circular No. A-133.

In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as

long as records are retained.

Account Identification:

75-0872-0-1-552.

Obligations:

(Project Grants) FY 09 \$363,994,253; FY 10 est \$373,822,098; FY 11 est \$385,036,761 - In fiscal year 2009, 857 research grants and National Research Service Awards were made. The estimates of fiscal year 2010 are 782 research grants and 97 National Research Service awards. The estimates for fiscal year 2011 are 806 research grants and 100 National Research Service Awards. In fiscal year 2009, for new and competing renewal awards: 976 grant applications were received, and of these, 195 were awarded; 72 National Research Service Award applications were received, and of these, 31 were awarded. Small Business Innovation Research Awards/Small Technology Transfer Research Awards: In fiscal year 2009, 12 Phase I awards, and 8 Phase II awards were made.

Range and Average of Financial Assistance:

Grants: \$2,000 to \$5,132,543; \$466,139. SBIR/STTR: Phase I - \$100,000; Phase II - up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Roy L. White, 6701 Rockledge Drive, Rm 7176, MSC7924, Bethesda, Maryland 20817 Email: whiter@nhlbi.nih.gov Phone: (301) 435-0310.

Website Address:

<http://www.nhlbi.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.846 ARTHRITIS, MUSCULOSKELETAL AND SKIN DISEASES RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 437, 487, Public Law 78-410, 42 U.S.C 241.

OBJECTIVES:

To support research relevant to arthritis, musculoskeletal and skin diseases, the National Institute of Arthritis and Musculoskeletal and Skin Diseases (NIAMS) supports research training and basic and clinical investigations including epidemiology and clinical trials in the areas of skin and rheumatic diseases and musculoskeletal diseases. The Division of Skin and Rheumatic Diseases promotes and supports basic, epidemiological, and clinical studies of skin and rheumatic and related diseases. Studies range from determining the underlying basis and mechanisms of disease (including large genetic studies), to translational and clinical research aimed at the diagnosis, treatment, prediction, and/or prevention of disease. The skin program supports research in normal and diseased skin including keratinocyte biology and wound healing, and disorders such as psoriasis, atopic dermatitis and other chronic inflammatory skin disorders; the vesiculobullous diseases such as pemphigus, bullous pemphigoid, and epidermolysis bullosa; acne, alopecia areata, vitiligo, and skin neoplasia. The rheumatic disease program supports research in the systemic autoimmune diseases and arthritides, including systemic lupus erythematosus (SLE), systemic scleroderma, autoimmune myositis, rheumatoid arthritis, juvenile idiopathic arthritis, spondyloarthropathies, vasculitis, gout, Sjogren's

syndrome, and fibromyalgia syndrome. In addition, the Division supports studies on the extracellular matrix, including research on Marfan syndrome, keloid formation, and pseudoxanthoma elasticum. The Division also supports biopsychosocial research related to rheumatic, musculoskeletal, or skin diseases. Topics include behavioral interventions, pain mechanisms, neuroendocrine and neuroimmune mechanisms, behavioral and social research, and epidemiology. The Division of Musculoskeletal Diseases supports studies of the skeleton and associated connective tissues. Broad areas of interest include skeletal development, metabolism, mechanical properties, and responses to injury. Among these diseases and skeletal disorders are osteoporosis; osteogenesis imperfecta; Paget's disease of bone; vitamin D refractory diseases; and rickets and osteochondrodysplasias. Other areas of interest include treatment of acute and chronic injuries, including carpal tunnel syndrome, repetitive stress injury, low back pain and clinical and epidemiological studies of osteoarthritis. The Division supports development of new technologies with the potential to improve treatment of skeletal disorders and facilitate the repair of trauma in the normal skeleton. These include drugs and nutritional interventions, joint replacement, bone and cartilage transplantation, biomarkers and gene therapy. In addition, bioengineering, sports medicine and musculoskeletal fitness are areas of special research emphasis. This Division also encourages and supports research on skeletal muscle, its diseases and disorders, and its central role in human physiology and exercise. Topics include the molecular structure of muscle and the molecular mechanisms that produce force and motion. Muscle biophysics, cell biology, muscle biology, muscle disorders and therapies, musculoskeletal development, tissue reengineering, and regenerative medicine are encompassed in this area as well. The Division also supports research into the biology of cartilage, tendons, ligaments, menisci, and intervertebral discs, including pre-clinical studies on injury and disease conditions affecting these tissues. NIAMS participates in the Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs. The SBIR program is intended to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The STTR program is intended to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. Individual National Research Service Awards (NRSAs) are made to individuals for research training in specified biomedical shortage areas. In addition, grants may be made to institutions to enable them to make NRSAs to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. Small Business Innovation Research Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Research Grants: Individuals and public and private institutions, both nonprofit

and for-profit, who propose to establish, expand, and improve research activities in health sciences and related fields. National Research Service Awards: Individuals must be nominated and sponsored by a public or private, for-profit or nonprofit institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). Nonprofit domestic organizations may apply for the Institutional National Research Service grant. Small Business Innovation Research grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Research Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. National Research Service Awards: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Requirements are specified in the application form. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Research grants: Applications must be prepared either electronically through grants.gov (<http://www.grants.gov>) using the SF 424 (Research and Research-Related) or on paper using the PHS 398 research grant application instructions and forms (Rev. 11/2007). Individual funding opportunity announcements will indicate the appropriate application format to be used. A listing of NIAMS and other funding opportunities can be found through the NIAMS web site (http://www.niams.nih.gov/Funding/Funding_Opportunities/filter.asp), through the Grants.gov web site (<http://www.grants.gov/>), or on the NIH Guide to Grants and Contracts (<http://grants1.nih.gov/grants/guide/index.html>). For applications that require the PHS 398, the most recent version of the form and instructions are available at <http://grants.nih.gov/grants/funding/phs398/phs398.html> in an interactive format. Electronic applications are submitted through the Grants.gov website.

Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). For assistance contact GrantsInfo, Telephone: (301) 435-0714, Email: GrantsInfo@nih.gov. National Research Service Awards: Completed application forms should be submitted to the Office of Research Manpower, Center for Scientific Review, Grants, National Institutes of Health, Bethesda, MD 20892. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Research Funding Opportunities" web page at <http://grants1.nih.gov/grants/funding/sbir.htm>. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710. Application forms for SBIR and STTR grants may be obtained through the SBIR/STTR funding announcements posted on the Grants.gov sites and the NIH Guide to Grants and Contracts (see URLs listed above). All SBIR and STTR applications must be submitted electronically. Electronic applications are submitted through the Grants.gov website. Completed PHS 398 applications should be submitted to the National Institutes of Health, Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710 or Bethesda, MD 20817 (for express/courier service). This program is subject to the provisions of 45 CFR Part 92 for State and local governments, OMB Circular No. A-110 for nonprofit organizations, cost principles of A-21 for educational institutions, and 42 CFR Part 42.

Award Procedure:

Following review by the appropriate study section and council, the successful applicant is notified by the National Institute of Arthritis and Musculoskeletal and Skin Diseases through a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. Research grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page www.nih.gov/grants/guide/1997/97.11.21/n2.html.

Renewals:

Research grants: renewals by competitive application and review. Extension by request and administrative action. National Research Service Awards: individual awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research grants: Awards may be recommended for up to 5 years. Awards usually are made for 12-month budget periods. SBIR: Normally, Phase I awards

are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0888-0-1-552.

Obligations:

(Project Grants) FY 09 \$407,038,000; FY 10 est \$418,485,000; FY 11 est \$428,532,000 - Research Grants: FY 09 \$407,038,000 ; FY 10 \$418,485,000 ; FY 11 \$428,532,000. National Research Service Awards (NRSAs): FY 09 \$15,514,000; FY 10 \$15,668,000; FY 11 \$16,596,000.

Range and Average of Financial Assistance:

Research Grants: \$1,000 to \$1,775,196; \$324,592. National Research Service Awards: \$25,176 to \$508,406; \$131,578. SBIR/STTR: Phase I awards --

approximately \$100,000 ; Phase II awards --up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Research Grants: In fiscal year 2009, a total of 1,254 noncompeting and competing research grants were funded, including 36 SBIR and STTR awards. National Research Service Awards (NRSA): In fiscal year 2009, 321 trainees were funded. Fiscal Year 2010: The fiscal year 2010 estimate is 1,237 research grant awards, including 36 SBIR and STTR awards. National Research Service Awards (NRSA): estimate 321 trainees. Fiscal Year 2011: The fiscal year 2011 estimate is 1,246 grants, including 37 SBIR and STTR awards. National Research Service Awards (NRSA): estimate 321 trainees.

REGULATIONS, GUIDELINES, AND LITERATURE:

Research Grants: 42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Laura K. Moen, 6701 Democracy Boulevard, Ste 800, Bethesda, Maryland 20892 Email: moeln@mail.nih.gov Phone: (301) 451-6515.

Website Address:

<http://www.hhs.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects and/or (b) protecting against or minimizing any adverse effect on the environment.

93.847 DIABETES, DIGESTIVE, AND KIDNEY DISEASES EXTRAMURAL RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 428, 431, 487, 491, 493, 495, and 498, as amended; Public Laws 78-410, 99-158, 100-607, 106-554, and 107-360; 42 U.S.C. 241, as amended; 42 U.S.C. 285c-2, 42 U.S.C. 285c-5, 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To promote extramural basic and clinical biomedical research that improves the understanding of the mechanisms underlying disease and leads to improved preventions, diagnosis, and treatment of diabetes, digestive, and kidney diseases. Programmatic areas within the National Institute of Diabetes and Digestive and Kidney Diseases include diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases.

Specific program areas of interest include the following:

(a) For diabetes, endocrine, and metabolic diseases areas: Fundamental and clinical studies including the etiology, pathogenesis, prevention, diagnosis, treatment and cure of diabetes mellitus and its complications; Normal and abnormal function of the pituitary, thyroid, parathyroid, adrenal, and other hormone secreting glands; Hormonal regulation of bone, adipose tissue, and liver; on fundamental aspects of signal transduction, including the action of hormones, coregulators, and chromatin remodeling proteins; Hormone biosynthesis, secretion, metabolism, and binding; and on hormonal regulation of gene expression and the role(s) of selective receptor modulators as partial agonists or antagonists of hormone action; and Fundamental studies relevant to metabolic disorders including membrane structure, function, and transport phenomena and enzyme biosynthesis; and basic and clinical studies on the etiology, pathogenesis, prevention, and treatment of inherited metabolic disorders (such as cystic fibrosis).

(b) For digestive disease and nutrition areas: Genetics and genomics of the GI tract and its diseases; Genetics and genomics of liver/pancreas and diseases; Genetics and genomics of nutrition; genetics and genomics of obesity; Bariatric surgery; Clinical nutrition research; Clinical obesity research; Complications of chronic liver disease; Fatty liver disease; Genetic liver disease; HIV and liver; Cell injury, repair, fibrosis and inflammation in the liver; Liver cancer; Liver transplantation; Pediatric liver disease; Viral hepatitis and infectious diseases; Gastrointestinal and nutrition effects of AIDS; Gastrointestinal mucosal and immunology; Gastrointestinal motility; Basic neurogastroenterology; Gastrointestinal development; Gastrointestinal epithelial biology; Gastrointestinal inflammation; Digestive diseases epidemiology and data systems; Nutritional epidemiology and data systems; Autoimmune liver disease; Bile, Bilirubin and cholestasis; Bioengineering and biotechnology related to digestive diseases, liver, nutrition and obesity; Cell and molecular biology of the liver; Developmental biology and regeneration; Drug-induced liver disease; Gallbladder disease and biliary diseases; Exocrine pancreas biology and diseases; Gastrointestinal neuroendocrinology; Gastrointestinal transport and absorption; Nutrient metabolism; Pediatric clinical obesity; Clinical trials in digestive diseases; Liver clinical trials; Obesity prevention and treatment; and Obesity and eating disorders.

(c) For kidney, urologic and hematologic diseases areas: Studies of the development, physiology, and cell biology of the kidney; Pathophysiology of the kidney; Genetics of kidney disorders; Immune mechanisms of kidney disease; Kidney disease as a complication of diabetes; Effects of drugs, nephrotoxins and environmental toxins on the kidney; Mechanisms of kidney injury repair; Improved diagnosis, prevention and treatment of chronic kidney disease and end-stage renal disease; Improved approaches to maintenance dialysis therapies; Basic studies of lower urinary tract cell biology, development, physiology, and pathophysiology; Clinical studies of bladder dysfunction, incontinence, pyelonephritis, interstitial cystitis, benign prostatic hyperplasia, urolithiasis, and vesicoureteral reflux; Development of novel diagnostic tools and improved therapies, including tissue engineering strategies, for urologic disorders; Research on hematopoietic cell differentiation; metabolism of iron overload and deficiency; Structure, biosynthesis and genetic regulation of hemoglobin; as well as Research on the etiology, pathogenesis, and therapeutic modalities for the anemia of inflammation and chronic diseases.

(2) To encourage basic and clinical research training and career development

of scientists during the early stages of their careers. The Ruth L. Kirschstein National Research Service Award (NRSA) funds basic and clinical research training, support for career development, and the transition from postdoctoral biomedical research training to independent research related to diabetes, digestive, endocrine, hematologic, liver, metabolic, nephrologic, nutrition, obesity, and urologic diseases.

(3) To expand and improve the Small Business Innovation Research (SBIR) program. The SBIR Program aims to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to enhance small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

(4) To utilize the Small Business Technology Transfer (STTR) program. The STTR Program intends to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project Grants provide funds for salaries, equipment, supplies, travel, and other expenses associated with scientific investigation relevant to program objectives. NRSA's are made directly to individuals for research training in specified biomedical shortage areas, or, to institutions to enable them to make NRSA's to individuals selected by them. Each individual who receives a NRSA is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Project Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both non-profit and for-profit, and individuals who propose to establish, expand, and improve research activities in health sciences and related fields. NRSA's: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. To be eligible, predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Individuals must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All awardees must be citizens or have been admitted to the United States for permanent residence. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR and STTR grants can be awarded only to domestic small businesses that meet the following criteria: 1) Is independently owned and operated, is not dominant in the field of operation in which it is proposing, has a place of business in the United States and operates primarily within the United States or makes a significant contribution to the US economy, and is organized for profit; 2) Is (a) at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the United States, or (b) for SBIR only, it must be a for-profit business concern that is at least 51% owned and controlled by another for-profit business concern that is at least 51% owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the

United States. 3) Has, including its affiliates, an average number of employees for the preceding 12 months not exceeding 500, and meets the other regulatory requirements found in 13 C.F.R. Part 121. Business concerns are generally considered to be affiliates of one another when either directly or indirectly, (a) one concern controls or has the power to control the other; or (b) a third-party/parties controls or has the power to control both. STTR grants which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers, any nonprofit or for-profit organization, company, or institution engaged in biomedical research. Project Grants: Although no degree of education is either specified or required, nearly all successful applicants have doctoral degrees in one of the sciences or professions. NRSAs: Predoctoral awardees must have completed the baccalaureate degree and postdoctoral awardees must have a professional or scientific degree.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment, and supplies are appropriate to carry out the plan. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Individual NRSA applications for postdoctoral training must include the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training. Institutional Training grant applications for predoctoral and postdoctoral training must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant form PHS 398 is used to apply for SBIR and STTR Phase I Phase II and Phase I/Phase II Fast Track. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Project Grants: Applications for Federal assistance must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Applications may not be submitted in paper format. A registration process through Grants.gov is necessary before submission and applicants are highly encouraged to start the process at least four weeks prior to the grant submission date. Two steps are required for on time submission: (1) The application must be successfully received by Grants.gov no later than 5:00 p.m. local time (of the applicant institution/organization) on the submission/receipt date. (2) Applicants must complete a verification step in the eRA Commons within two business days of notification from NIH. Note: Since email can be unreliable, it is the responsibility of the applicant to periodically check on their application status in the Commons. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program by those applicants that are State or local units of government. SBIR and STTR Grant Solicitations

and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms.

Award Procedure:

Research Grant and Training Program applications are reviewed initially for scientific merit by an appropriate review panel, composed of scientific authorities, and by the National Diabetes and Digestive and Kidney Diseases Advisory Council composed of leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. The successful applicant is sent a Notice of Grant Award. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Project Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR applications: About 7-1/2 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Project Grants: Renewals are determined by competitive application and review. Extensions considered upon request. Individual NRSAs: Awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Grants: Awards are usually made for a 12-month period with recommendation of up to 4 years of additional support. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: The Notice of Award (NoA) is the legal document issued to notify the grantee that an award has been made and that funds may be requested from the designated HHS payment system or office. An NoA is issued for the initial budget period. If subsequent budget periods are also approved, the NoA will include a reference to those budgetary commitments. Funding for subsequent budget periods are generally provided in annual increments following the annual assessment of progress. This funding is also contingent on the availability of funds. The NoA includes all applicable terms of award either by reference or specific statements. It provides contact information for the assigned program officer and grants management specialist. The grantee accepts an NIH award and its associated terms and conditions by drawing or requesting funds from the Payment Management System, or upon the endorsement of a check from the US Treasury for foreign awardees.

Reports:

Project Grants: Expenditures and other financial records, including documents supporting accounting records and substantive charges to each grant, must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period. NRSAs: Documentation of expenditures and other fiscal records must be kept readily available for examination by authorized Government personnel and must be retained for 3

years from the day on which the grantee submits the last expenditure report for the report period. Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Cash reports are not applicable. Annual and terminal progress reports, annual reports of inventions, and annual certification with respect to research involving human subjects are required. Reports of expenditures are required. NRSAs: Reports are required after termination of NRSAs to ascertain compliance with service and payback provisions. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0884-0-1-552.

Obligations:

(Project Grants) FY 09 \$1,352,092,000; FY 10 est \$1,427,399,000; FY 11 est \$1,461,140,000 - NRSAs: FY 2009 Actual: \$56,917,000; FY 2010 Estimate: \$57,402,000; FY 2011 Estimate: \$60,721,000
SBIRs: FY 2009 Actual: \$46,625,000; FY 2010 Estimate: \$47,752,000; FY 2011 Estimate: \$48,183,000.

Range and Average of Financial Assistance:

Project Grants: \$1,000 to \$24,854,886; \$392,138

NRSAs: \$4,200 to \$581,147; \$133,922

SBIR: Phase I awards approximately \$100,000; Phase II awards not to exceed \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Project Grants: In Fiscal Year 2009, 3,448 awards were made. NRSAs: In Fiscal Year 2009, 425 awards and 1,142 trainees were funded. SBIR Awards: In Fiscal Year 2009, 119 awards were made. Fiscal Year 2010: Project Grants: In Fiscal Year 2010, 3,445 are estimated. NRSAs: In Fiscal Year 2010, 429 awards and 1,142 trainees are estimated. SBIR Awards: In Fiscal Year 2010, 122 awards are estimated. Fiscal Year 2011: Project Grants: In Fiscal Year 2011, 3,420 awards are estimated. NRSAs: In Fiscal Year 2011, 453 awards and 1,142 trainees are estimated. SBIR Awards: In Fiscal Year 2011, 124 awards are estimated.

REGULATIONS, GUIDELINES, AND LITERATURE:

Project Grants: 42 CFR 52; 42 CFR 66; 42 CFR 74; 45 CFR 74; 45 CFR 92. Administration Policy Directive No. 65 01 (47 Fed. Reg. 52966 et seq. (1982), as amended by Policy Directive No. 65 01.1 (48 Fed. Reg. 38794 et seq. (1983)). Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement, http://grants.nih.gov/grants/policy/nihgps_2003/; Omnibus Solicitation of the Public Health Service for SBIR Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for STTR Grant Applications.

Regional or Local Office:

None. Project Grants: Dr. Judith Fradkin, Director, Division of Diabetes, Endocrinology, and Metabolic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 689, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 496-7349; Dr. Stephen James, Director, Division of Digestive Diseases and Nutrition, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 675, 6707 Democracy Blvd., Telephone: (301) 594-7680; Dr. Robert Star, Director, Division of Kidney, Urologic and Hematologic Diseases, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 625, 6707 Democracy Blvd., Bethesda, MD 20892-2560. Telephone: (301) 594-7717. Small Business Innovation Research Grants Contact: Mrs. Helen Ling, Senior Grants Management Specialist, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 732, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8857. Grants Management Contact: Mr. Robert Pike, Chief Grants Management Officer, Grants Management Branch, Division of Extramural Activities, National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health, 2 Democracy Plaza, Room 731, 6707 Democracy Blvd., Bethesda, MD 20892. Telephone: (301) 594-8854. Use the same numbers for FTS.

Headquarters Office:

Terra L. Robinson, 6707 Democracy Boulevard, Suite 714, Bethesda, Maryland 20892 Email: robinste@nidk.nih.gov Phone: (301) 496-9488.

Website Address:

<http://www2.nidk.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The Division of Diabetes, Endocrinology and Metabolic Diseases (DEM) provides research funding and support for basic and clinical research in the areas of type 1 and type 2 diabetes and other metabolic disorders, including cystic fibrosis; endocrinology and endocrine disorders; obesity, neuroendocrinology, and energy balance; and development, metabolism, and basic biology of liver, fat, and endocrine tissues.

The Division of Digestive Diseases and Nutrition (DDN) provides research and funding in areas such as pathogenesis of Gastroesophageal reflux disease (GERD); genetics of inflammatory bowel disease (IBD); inflammation in IBD;

prevention of weight gain; treatment of non-alcoholic steatohepatitis (NASH); and long-term treatment of Hepatitis B.

The Division of Kidney, Urology and Hematologic Diseases (KUH) provides research funding and support in areas such as Functional Structure of Renal Tubule; Pathogenesis of Experimental Glomerulonephritis; Pathology of Recovery from Acute Renal Failure; Urinary Stone Prevention; and In vitro Studies of Hematopoietic Regulation.

All NIDDK Divisions fund Small Business Innovation Research grants. For additional examples of scientific areas/funded projects, please see <http://www2.niddk.nih.gov/Research/ScientificAreas/default.htm>. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.853 EXTRAMURAL RESEARCH PROGRAMS IN THE NEUROSCIENCES AND NEUROLOGICAL DISORDERS

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301, 405, 408, 457, 458, 459, and 487, Public Law 78-410, 42 U.S.C 241-285L-2; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

(1) To support extramural research funded by the National Institute of Neurological Disorders and Stroke (NINDS) including: basic research that explores the fundamental structure and function of the brain and the nervous system; research to understand the causes and origins of pathological conditions of the nervous system with the goal of preventing these disorders; research on the natural course of neurological disorders; research on mechanisms associated with stroke and other cerebrovascular disorders, effects of trauma to the nervous system, neuroplasticity and regeneration, and tumors of neural and sensory tissues; improved methods of disease prevention; new methods of diagnosis and treatment; clinical trials; drug development; development of neural prostheses for stroke and paraplegia; epidemiological research; and research training in clinical neuroscience. The Institute supports research on topics including but not limited to stroke; traumatic injury to the brain, spinal cord and peripheral nervous system; neurodegenerative disorders, including

Parkinson's disease and Alzheimer's disease; brain tumors; development of artificial prosthetic devices to restore function to the damaged nervous system; convulsive disorders, including epilepsy; infectious disorders of the brain and nervous system, including AIDS; immune disorders of the brain and nervous system, including multiple sclerosis; disorders related to sleep; and pain. Programmatic areas also include neurodevelopment, repair and plasticity, channels synapses and circuits, neurogenetics, neurodegeneration, neural environment, and systems and cognitive neuroscience. Extramural research is also supported by the Office of Minority Health and Research, the Office of Translational Research, and the Office of Clinical Research. (2) To expand and improve the Small Business Innovation Research (SBIR) program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. (3) To utilize the Small Business Technology Transfer (STTR) program; to stimulate and foster scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The NINDS also participates in the Academic Research Enhancement Award (AREA) Program, which is intended to provide research support to institutions currently having little support from the National Institutes of Health (NIH) support.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

PROJECT GRANTS

Uses and Use Restrictions (070):

Research grants may be used to provide salaries, equipment, supplies, travel and other expenses for research. The grantee institution is obliged to expend grant funds prudently for the purposes stated in the application and award document. National Research Service Awards (NRSA) are made directly to individuals for research training in specified biomedical shortage areas, or to institutions, to enable them to make NRSA to individuals selected by them. Each individual who receives a NRSA may be obligated upon termination of the award to comply with service and payback provisions. Career Development Awards such as the Independent Scientist Awards (K02) provide support for newly independent scientists with health related degrees who can demonstrate the need for a period of intensive research focus as a means of enhancing their research careers and facilitating their ability to obtain major NIH research awards. Mentored Clinical Research Scientist Development Awards (K08) provide support for clinically trained health professionals who need an additional period of sponsored research experience as a way to gain expertise in a research area new to the candidate or in an area that would demonstrably enhance the candidate's scientific career. The Mentored Research Scientist Development Award (K01) are of two types: Re-Entry into the Neurological Sciences (RENS) which are made to women and men who have been out of neuroscience research for at least 3 years to give them an opportunity to re-establish their skills as independent neuroscientists and the Career Development Award to promote diversity in neuroscience research support, an intensive, supervised research career development experience for underrepresented, disabled or disadvantaged career neuroscientists. The Mentored Patient-Oriented Research Career Development Award (K23) provide support for a period of supervised study and research for clinically trained professionals who have the potential to develop into productive clinical investigators. The Mid-career Investigator Award in Patient-Oriented Research (K24) provides support for clinicians to allow them protected time to devote to patient-oriented research and to act as mentors for beginning clinical investigators. The Collaborative Neurological Sciences Award (S11) is to develop and promote competitive neurological science research programs at predominantly minority institutions through collaborations with grantees from research intensive institutions who have NIH grant support to conduct neurological science research. SBIR Phase I grants (of approximately 6-months

to 2 years in duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research efforts initiated in Phase I that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1 to 3 years in duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of Phase II application. Grant funds may be expended only for the purpose stated in the application and award document. The NINDS participates in the NIH SBIR/STTR "fast track" initiative.

Applicant Eligibility:

Research Grants: Any public, private, nonprofit, or for-profit institution is eligible to apply. For-profit institutions are not eligible for Institutional National Research Service Awards but are eligible for Individual NRSA's. All proposals are reviewed for scientific merit, for evaluation of the qualifications of the investigators, for adequacy of the research environment, and for significance of the problem. Approved proposals compete for available funds. All Career Development Program awardees, with the exception of awardees of the Pathway to Independence Award, must be citizens or have been admitted to the United States for permanent residence. Candidates must be nominated for the program by a nonfederal public or private nonprofit institution located in the United States, its possessions or territories. Awardees for RENS must have been accepted by a sponsoring institution, had previous training and experience in neurological science, an interruption in their careers for a period of at least three years and not more than 8 years, and be citizens or non-citizen nationals of the United States. Awardees for the Collaborative Neurological Sciences Award must be from a predominantly minority institution and must have a doctoral degree in a basic or clinical science area. To be eligible, postdoctoral NRSA trainees or fellows must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the entire research must be performed in the United States. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Health professionals, graduate students, health professional students, scientists, and researchers.

Credentials/Documentation:

Research grants are awarded to an institution in the name of an individual investigator. Persons qualified to carry out research related to the extramural programs described above may apply for funds to support their investigations. Mentored Career Program training must be conducted under the direction of a competent sponsor. National Research Service Awards: Individual NRSA Fellowships for postdoctoral training: the candidate's academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training must be included in the application. Institutional Training Grants for predoctoral and postdoctoral training: The applicant institution must show the objectives, methodology and resources for the research training program; the qualifications and experience of directing staff; the criteria to be used in selecting individuals for stipend support; and a detailed budget and

justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR, Part 74 and 92, Subpart Q. For SBIR and STTR grants, the applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Research grant applications exceeding \$500,000 direct costs in any yearly budget period will not be accepted unless the NINDS has agreed to accept the application prior to submission (see <http://grants.nih.gov/grants/guide/notice-files/NOT-OD-02-004.html>). Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. NIH has transitioned to the SF424 family of forms and electronic submission through Grants.gov by research program or funding mechanism. See <http://era.nih.gov/ElectronicReceipt/> for a list of grant mechanisms that have already transitioned to electronic submission. For applications to be submitted electronically, see <http://era.nih.gov/ElectronicReceipt/>. Effective with the August 8, 2009 submission date, all grant applications for the Individual National Research Service Award (NRSA) Fellowships (F-series) will require electronic submission through Grants.gov to eRA Commons. Paper applications will not be accepted for submissions on or after the August 8 transition. The change from paper submission to electronic applications for grant programs that includes Career Development (K12 and K30) and Training & Development (T&D) has been rescheduled to begin with electronic submission on/after January 25, 2010. The complex mechanisms (P, U) has been delayed until further notice. See <http://grants1.nih.gov/grants/forms.htm> to determine the appropriate paper application package (PHS 398 or PHS 416) to be used.

Award Procedure:

Research Grant and Training Program applications are reviewed initially by technical panels, composed of scientific authorities, and by the National Advisory Neurological Disorders and Stroke Council composed of 18 leaders in medical science, education, and public affairs. Approved applications will compete on a merit basis for available funds. Formal award notices are transmitted to the grantee or awardee. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Research grants: Approximately 6 to 9 months. Career program: From 7 to 8 months. SBIR/STTR applications: About 7-1/2 months. Institutional Training Grants: From 6 to 12 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute, and subsequently, the P.I. and applicant institution may appeal to the NINDS appeals officer. A description of the NIH Peer Review Appeal procedures is available on the NIH home page <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

By application and review in same manner as new applications. Research Career Awards may not be renewed.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Research grant awards are made for a 12-month period with recommendation of up to 4 years of additional support. Career Program awards provide support for 3 to 5 years. Training Program awards are usually for a 12-month period with recommendation of additional support of up to a total of 5 years for predoctoral training and no more than 3 years for postdoctoral training. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: quarterly.

Reports:

Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No cash reports are required. Research Grants: Annual and final progress reports, including a description of results, positive and negative, and a list of any publications. Career Program: Awardee submits annual progress report. Termination notice, PHS 416-7, must be submitted upon completion of NRSA training. Reports are required after termination of National Research Service Awards to ascertain compliance with the service and payback provisions. A Financial Status Report must be submitted within 90 days after the close of each budget period for which an award has been issued. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or
2. an audit that meets the requirements of OMB Circular No. A-133.

In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year

retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0886-0-1-552 - Extramural Research.

Obligations:

(Project Grants) FY 09 \$1,096,005,000; FY 10 est \$1,148,390,000; FY 11 est \$1,175,885,000. (Project Grants) FY 09 \$1,345,652,000; FY 10 est \$250,513,000; FY 11 est \$1,175,885,000. (Project Grants (Contracts)) FY 09 \$92,698,000; FY 10 est \$83,735,000; FY 11 est \$85,776,000

Range and Average of Financial Assistance:

Research grants: \$50,000 to \$10,887,994; \$409,498. National Research Service Awards: Institutional \$15,412 to 459,127; \$53,539. Individual: \$2,006 to \$59,402; \$37,213. SBIR: Phase I approximately \$150,000; Phase II not to exceed \$750,000. STTR: Phase I approximately \$100,000; Phase II not to exceed \$500,000. (The SBIR and STTR dollars are guidelines, not limits). FY10 and FY11 are not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal year 2009, there were 641 competing research applications, and of that number 636 were made. Fiscal Year 2010: In fiscal year 2010, there will be 686 competing research applications awards made. Fiscal Year 2011: In fiscal year 2011, there will be 691 competing research applications awards made.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None. National Institute of Neurological Disorders and Stroke (NINDS), Division of Extramural Research (DER), National Institutes of Health, Department of Health and Human Services, Neuroscience Center, 6001 Executive Boulevard, Bethesda, MD 20892. Director DER: Dr. Robert Finkelstein, Suite 3309, Telephone: (301) 496- 9248. The address above (include suite #'s below) should be used for each Program Contact: Dr. Ramona Hicks, Repair and Plasticity, Suite 2206, Telephone: (301) 496-1447; Dr. May Wong, Neural Environment, Suite 2113, Telephone: (301) 496-1431; Dr. Margaret Sutherland, Neurodegeneration, Suite 2203, Telephone: (301) 496-5680; Dr. Daofen Chen, Systems & Cognitive Neuroscience, Suite 2176, telephone: (301) 496-9964; Dr. Shai Silberberg, Channels, Synapses & Circuits, Suite 2131, Telephone: (301) 496-1917; Dr. Robert Riddle, Neurogenetics, Suite 2156, Telephone: (301) 496-5745; Dr. Yuan Liu, Office of International Activities, Suite 2187, Telephone: 301-496-0012; Dr. Deborah Hirtz, Clinical Trials, Suite 2212, Telephone: (301) 496-9135; Dr. Jill Heemskerk, Technology Development, Suite 2229, Telephone: (301) 496-1779; Grants Management Branch: Ms. Tijuana DeCoster, Grants Management Officer, Suite 3258, Telephone: (301) 496-9231; Contracts Management Branch: Mr. Kirk Davis, Contracts Management Officer, Suite 3280, Telephone: (301) 496-1813; Dr. Alfred Gordon, Office of Minority Health and Research, Suite 2151, Telephone: (301) 496-3102; Dr. Stephen Korn, Training and Career

Development Officer, Suite 2186, Telephone: (301) 496-4188.

Headquarters Office:

Robert F. Finkelstein, 6001 Executive Boulevard, Suite 3309, Rockville, Maryland 20892 Email: finkelsR@nih.gov Phone: (301) 496-9248 Fax: (301) 402-4370.

Website Address:

<http://www.ninds.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Grants support research on neurological disorders such as: (1) Cerebral palsy; (2) disorders of aging including Parkinson's, Huntington's, and Alzheimer's diseases and the dementias; (3) muscular, neuromuscular and demyelinating disorders; (4) neuroendocrine studies; neural aspect of learning and behavior; (5) nervous system tumors, and infectious diseases of the central nervous system. Recently funded applications include studies designed to result in advances for a group of useful glycosidases, as well as mechanistic information for the activator protein that is required for lysosomal degradation of GM2, a glycosphingolipid involved in Tay-Sachs disease. Another study focuses on mechanisms of synapse assembly, specifically the role of membrane associated quanylate kinases in the clustering and targeting of ion channels and receptors at the synapse. One example of the long range goal of a successful competing renewal application is to provide a better understanding of the mechanisms of movement of intracellular organelles along microtubules. Such movement plays a role in the process of fast axonal transport in nerve cells. The specific aims of another funded application are to assess environmental and genetic factors as determinants of hand preference, to determine whether measures of developmental stability can explain individual differences in phenotypic expressions of hand preference and to determine whether handedness is predictive of compromised immunological functioning and/or reproductive biology. A new project is aimed at addressing a series of fundamental issues regarding the mechanisms underlying the spread of synaptic modifications in neural networks. Another series of studies will take advantage of newly developed behavioral procedures and selective ibotenic acid lesions to compare the effects of lesioning hippocampus, subiculum, entorhinal cortex, and perirhinal cortex on learning and memory. Included in this effort are multi-disciplinary clinical research centers on Parkinson's disease, stroke, head and spinal cord injury, epilepsy, and demyelinating disorders, as well as program projects and a large number of individual grants. In head and spinal cord injury research studies, particular emphasis is given to the biomedical events and metabolism following injuries, with a view toward both salvaging damaged tissue and also creating conditions in which regeneration of injured nerve cells can take place. The clinical research program sponsors clinical trials to evaluate various therapeutic approaches to head and spinal cord injury, epilepsy, multiple sclerosis and other neurologic and neuromuscular disorders. The effects of systemic cancer on the central nervous system are studied, as part of the brain and spinal cord tumor research effort. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) the scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) the soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b)

protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) the degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and 8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.855 ALLERGY, IMMUNOLOGY AND TRANSPLANTATION RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, 42 U.S.C 241; Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To assist public and private nonprofit institutions and individuals to establish, expand, and improve biomedical research and research training in allergic and immunologic diseases and related areas to assist public, private, and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for research progress in allergic and immunologic diseases. NIAID's Allergy, Immunology and Transplantation Research concerns the immune system as it functions in the maintenance of health and as it malfunctions in the production of diseases. Because of this dual focus, the program encompasses both basic research and clinical research. Small Business Innovation Research (SBIR) program expands and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSAs) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds

prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSAs), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories, and other public or private nonprofit domestic institutions, including State and local units of government, and individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree, and postdoctoral awardees must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for individual NRSAs must include their academic record, research experience, citizenship, institute sponsorship, and the proposed area and plan of training in their applications. The applicant for an institution must specify the objectives, methodology, and resources for the research training program, the qualifications experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition

Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Use Form PHS-398 (Rev. May 1995) to apply for new, renewal, and supplemental research grants. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892-7910. Telephone: (301) 435-0714. Fax: (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR Part 92, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" homepage at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: telephone: (301) 206-9385; fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and a national advisory council. If recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant and applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

New Non-AIDS research applications: February 1, June 1 and October 1. For Renewal and Supplemental Non-AIDS research grant applications: March 1, July 1, and November 1. For all AIDS research grant applications: January 2, May 1, September 1. Research Career Development Award programs: February 1, June 1, October 1. Individual NRSAs: April 5, August 5, and December 5. Institutional NRSA: September 10. SBIR/STTR: April 1, August 1 and December 1.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. no matching requirements.
This program has MOE requirements, see funding agency for further details.
MOE requirements apply with allocations over \$100 million.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Research grant project periods average 4 years; they may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Program reports are not applicable. require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. annual progress reports with information on inventions and expenditures are required. require quarterly expenditure reports and yearly or grant period reports of expenditures. At the end of the project we expect final expenditure reports. yearly and final progress reports are monitored.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0885-0-1-552.

Obligations:

(Project Grants) FY 09 \$619,217,000; FY 10 est \$639,043,000; FY 11 est \$667,925,000

Range and Average of Financial Assistance:

from \$2,500 to \$6,395,901 and the average \$426,165.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 U.S.C. 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Carol Almeida, 6610 Rockledge Drive, Bethesda, Maryland 20892 Email: calmeida@niaid.nih.gov Phone: (301) 496-4701.

Website Address:

http://www.niaid.nih.gov.

RELATED PROGRAMS:

93.856 Microbiology and Infectious Diseases Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: (1) The scientific merit and general significance of the proposed study and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available and proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

**93.856 MICROBIOLOGY AND INFECTIOUS DISEASES RESEARCH
FEDERAL AGENCY:**

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Small Business Research and Development Enhancement Act of 1992, 42 U.S.C 241; Public Health Service Act, Title IV, Section 301.

OBJECTIVES:

To support research related to Microbiology and Infectious Diseases with the broad aim of improving health by controlling disease caused by infectious or parasitic agents. Projects range from studies of microbial physiology and antigenic structure to collaborative trials of experimental drugs and vaccines.

Also supported are studies on the mechanisms of resistance to antibiotics as well as research dealing with epidemiological observations in hospitalized patients or community populations. The objectives of the program are to assist public and private nonprofit institutions and individuals to establish, expand and improve biomedical research and research training in infectious diseases and related areas; to assist public, private and commercial institutions to conduct developmental research; to produce and test research materials; and to provide research services as required by the agency for programs in infectious diseases. Small Business Innovation Research (SBIR) program expands and improves private sector participation in biomedical research. The SBIR Program intends to increase and facilitate private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. The Small Business Technology Transfer (STTR) program stimulates and fosters scientific and technological innovation through cooperative research and development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Research Career Development Awards support the development of scientists during the formative stages of their careers. Individual National Research Service Awards (NRSAs) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, Institutional National Research Service Awards are made to enable institutions to select and make awards to individuals to receive training under the aegis of their institutional program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Beginning with fiscal year 1998, the direct costs awarded in the first year to a research project grant resulting from a competing renewal application will be limited to no more than a 20 percent increase over the direct costs awarded in the last non-competing year of that grant. Research grants provide funds for salaries, equipment, supplies, and travel. They also afford the collateral benefits of enriching the training experience of research workers. Grantees are expected to be judicious in using these funds. The application for a research grant sets forth specific terms and conditions and requires the signatures of the principal investigator and an official authorized to sign for the institution. Scientists and institutions are under an obligation to expend grant funds prudently for the purposes stated in the application and award document. For Research Career Development Awards (RCDAs) the scientists must demonstrate an outstanding research potential for independent research in the sciences related to transplantation, immunology, allergies, and immunological diseases. For National Research Service Awards (NRSAs), each individual who receives NRSA support is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential of the Phase II application.

Applicant Eligibility:

Universities, colleges, hospitals, laboratories and other public or private nonprofit domestic institutions, including State and local units of government. Individuals are eligible to make application for grant support of research by a named principal investigator or a research career development candidate. For-profit organizations are also eligible, with the exception of NRSA. Individual NRSA awardees must be nominated and sponsored by a public or

nonprofit private institution having staff and facilities appropriate to the proposed research training program. All NRSA awardees must be citizens or have been admitted to the United States for permanent residence. To be eligible, predoctoral candidates must have completed the baccalaureate degree and postdoctoral candidates must have a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng.), or must have an equivalent domestic or foreign degree. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is being proposed and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which researches proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

Research grant applicants must define the objectives, methodology, and facilities for the program, and must present the program director's competence and scientific interest. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. Applicants for Individual NRSAs must include their academic record, research experience, citizenship, institutional sponsorship, and the proposed area and plan of training in their applications. The applicant for an Institutional NRSA must specify the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for award, and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Use Form PHS-398 (Rev. May 1995), (PHS- 5161-1 for State and local government units) to apply for new, renewal, and supplemental research grants. Application forms and information concerning current areas of science being supported are available from the Division of Extramural Outreach and Information Resources, Office of Extramural Research, National Institutes of Health, 6701 Rockledge Drive, Room 6207, MSC 7910, Bethesda, MD 20892. Telephone: (301) 435- 0714. Fax (301) 480-0525. E-mail: asknih@od.nih.gov. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Completed applications should

be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: phone: (301) 206-9385; fax: (301) 206-9722; e-mail: a2y@cu.nih.gov. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. SBIR and STTR grant applications should be submitted to the Center for Scientific Review, 6701 Rockledge Drive, Room 1040 - MSC 7710, Bethesda, MD 20892-7710.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and a national advisory council. If the application is recommended for approval and a decision to make an award is made, a formal award notice will be sent to the applicant or applicant institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 8 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. Final decisions on unresolved appeals are made with the advice of the National Advisory Allergy and Infectious Diseases Council.

Renewals:

Renewals of research grant support are by competitive application and review. The competitive application may request support for a segmental period of up to 5 years.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Research grant project periods average 4 years; these may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. See the following for information on how assistance is awarded/released: Research grant project periods average 4 years; these may not be extended beyond 7 years. (Project periods are generally composed of 1-year budget periods.) SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years.

Reports:

Yes annual reports requiring progress reports with information on inventions and expenditures are required. Yes we require quarterly expenditure reports and yearly and/or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yes annual reports requiring progress reports with information on inventions and expenditures are required. Yes we require quarterly expenditure reports and yearly and/or grant period reports of expenditures. At the end of the project we expect final expenditure reports. Yes all yearly and the final progress report are reviewed and monitored.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000

or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0885-0-1-552.

Obligations:

(Salaries) FY 09 \$2,056,759,000; FY 10 est \$2,122,614,000; FY 11 est \$2,218,544,000

Range and Average of Financial Assistance:

Low 1,036 to high 45,599,285. Average is 601,743.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Carol Almeida, 6610 Rockledge Drive, Bethesda, Maryland 20817 Email: calmeida@niaid.nih.gov Phone: (301) 435-8586.

Website Address:

<http://www.niaid.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

See relevant program announcements.

93.859 BIOMEDICAL RESEARCH AND RESEARCH TRAINING FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Section 301 and Title IV of the Public Health Service Act, as amended.

OBJECTIVES:

The National Institute of General Medical Sciences supports research that increases understanding of life processes and lays the foundation for advances in disease diagnosis, treatment and prevention. NIGMS-funded researchers seek to answer important scientific questions in fields such as cell biology, biophysics, genetics, developmental biology, pharmacology, physiology, biological chemistry, bioinformatics, computational biology, selected aspects of the behavioral sciences and specific cross-cutting clinical areas that affect multiple organ systems. NIGMS also provides leadership in training the next generation of scientists and increasing the diversity of the scientific workforce to assure the vitality and continued productivity of the research enterprise.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

NIGMS is organized into divisions and a center that support research and research training in a range of scientific fields. One division has the specific mission of increasing the number of biomedical and behavioral scientists who are members of underrepresented groups.

Major areas in which these units fund research are listed below their names.

Division of Cell Biology and Biophysics:

analytical and separation techniques
biophysical properties of proteins and nucleic acids
cell organization, motility and division
cellular imaging
membrane structure and function
molecular biophysics
single-molecule biophysics and nanoscience
spectroscopic techniques
structural biology
structural genomics and proteomics

Division of Genetics and Developmental Biology:

chromosome organization and mechanics
developmental biology and genetics
DNA replication, recombination and repair
epigenetics
extrachromosomal inheritance
mechanisms of mutagenesis
neurogenetics and the genetics of behavior
population genetics, evolution and the genetics of complex traits
protein synthesis
regulation of cell growth, cell division, cell death and differentiation
RNA transcription and processing
stem cell biology

Division of Pharmacology, Physiology, and Biological Chemistry:

anesthesiology
biochemistry
bioenergetics
bio-organic and bio-inorganic chemistry
biotechnology and metabolic engineering
drug absorption, distribution, metabolism, excretion and predictive toxicology
enzymology
glycochemistry and glycobiology
molecular immunobiology
pharmacogenetics/pharmacogenomics
pharmacology and clinical pharmacology
physiology
synthetic and medicinal chemistry
trauma and burn injury
wound healing

Division of Minority Opportunities in Research:

undergraduate student training and development
post-baccalaureate research education
predoctoral research
postdoctoral research and teaching skill development
faculty development
faculty research
efficacy of interventions research

Center for Bioinformatics and Computational Biology:

biomedical software development
cell and molecular modeling and simulation
computational genomics
database design and enhancement
high-throughput data analysis
systems biology.

Applicant Eligibility:

NIGMS trainees must be U.S. citizens, non-citizen nationals or permanent residents.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company or institution engaged in biomedical research.

Credentials/Documentation:

Each applicant for research projects must present a research plan and furnish evidence that scientific competence, facilities, equipment and supplies are appropriate to carry out the plan. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. NIH announces availability of funds for grant programs by issuing funding opportunity announcements in the NIH Guide for Grants and Contracts (<http://grants.nih.gov/grants/guide/index.html>) and on Grants.gov (<http://www.grants.gov>). Application packages must be downloaded from Grants.gov and submitted through Grants.gov. This program is subject to the provisions of 45 CFR, Part 92 for state and local governments and 45 CFR, Part 72 for other organizations, as appropriate.

Award Procedure:

All accepted applications are reviewed for scientific merit by an appropriate initial review group and by a national advisory council. National Research Service Award (NRSA) applications for the support of individual fellows and other applications requesting less than \$50,000 direct costs per year are not reviewed by the council. All approved applications compete for available funds on the basis of scientific merit and program emphasis.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Project Grants: about 9 months. NRSA: (institutional) 9-12 months, (individual) 6 months. Small Business Innovation Research (SBIR)/Small Business Technology Transfer (STTR): about 7-1/2 months.

Appeals:

A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH Web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

Renewal grants are generally made prior to the expiration of any current award.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Almost all awards are made for at least 1 year, with additional support (up to 4 more years) depending on the recommendation of the scientific review group, the national advisory council, successful annual performance and availability of funds. See the following for information on how assistance is awarded/released: varies by program, always on an annual basis.

Reports:

varies by program, always on an annual basis. varies by program, always on an annual basis. varies by program, always on an annual basis. varies by program, always on an annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits of profit making organizations are addressed in 45 CFR Part 72 (Subpart C, Section 74.26). In

addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last financial status report for the report period.

Account Identification:

75-0897-0-1-552.

Obligations:

(Project Grants) FY 09 \$1,911,377,000; FY 10 est \$1,960,208,000; FY 11 est \$2,007,549,000

Range and Average of Financial Assistance:

\$20,000 to \$10,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16 Procedures of the Departmental Grant Appeals Board; 45 CFR 74; 45 CFR 92; NIH Extramural Programs brochure; miscellaneous program literature from Headquarters Office. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Susan J. Athey, 45 Center Drive MSC6200, Bethesda, Maryland 20892 Email: athays@nigms.nih.gov Phone: (301) 496-7301 Fax: (301) 402-0224.

Website Address:

<http://www.nigms.nih.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

For an overview of NIGMS grant application and review, including funding policy, see <http://www.nigms.nih.gov/Research/Application/GrantAppRev.html>. For more information about NIGMS, visit <http://www.nigms.nih.gov>.

93.865 CHILD HEALTH AND HUMAN DEVELOPMENT EXTRAMURAL RESEARCH

NICHD Eunice Kennedy Shriver National Institute of Child Health and Human Development

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 448 and 487, as amended, Public Laws 78-410 and 99-158, as amended, 42 U.S.C. 241; 42 U.S.C. 285g; 42 U.S.C. 288; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To conduct and support laboratory research, clinical trials, and studies with people that explore health processes. NICHD researchers examine growth and development, biologic and reproductive functions, behavior patterns, and population dynamics to protect and maintain the health of all people. To examine the impact of disabilities, diseases, and defects on the lives of

individuals. With this information, the NICHD hopes to restore, increase, and maximize the capabilities of people affected by disease and injury. To sponsor training programs for scientists, doctors, and researchers to ensure that NICHD research can continue. By training these professionals in the latest research methods and technologies, the NICHD will be able to conduct its research and make health research progress until all children, adults, families, and populations enjoy good health.

The mission of the NICHD is to ensure that every person is born healthy and wanted, that women suffer no harmful effects from reproductive processes, and that all children have the chance to achieve their full potential for healthy and productive lives, free from disease or disability, and to ensure the health, productivity, independence, and well-being of all people through optimal rehabilitation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grantee agrees to administer the grant in accordance with the regulations and policies governing the research grant programs of the Public Health Service as stated in the terms and conditions on the application for the grant. National Research Service Awards: Awarded to individuals for full-time research training in specified behavioral and biomedical shortage areas. Awardees may utilize some of their time in academic and clinical duties if such work is closely related to their research training. Awards may be made to institutions to enable them to make NRS awards to individuals selected by them. Each individual awardee is obligated upon termination of the award to comply with certain service and payback provisions. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and which are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions, both nonprofit and for-profit, and individuals. National Research Service Award: Support is provided for academic and research training only, in health and health-related areas that are periodically specified by the National Institutes of Health. Individuals with a professional or scientific degree are eligible (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree). Predoctoral research training grants to institutions are also supported. Proposed study must result in biomedical or behavioral research training in a specified shortage area and which may offer opportunity to research health scientists, research clinicians, etc., to broaden their scientific background or to extend their potential for research in health-related areas. Applicants must be citizens of the United States or be admitted to the United States for permanent residency; they also must be nominated and sponsored by a public or private institution having staff and facilities suitable to the proposed research training. Domestic nonprofit organizations may apply for the institutional NRS grant. SBIR: SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research

and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical or biobehavioral research.

Credentials/Documentation:

Applicants should submit the most recent revision of research grant application form to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892, using either PHS Form 398 or electronic submission via Grants.gov as directed in the relevant NIH Funding Opportunity Announcement. NIH is in the process of converting to electronic submission of grant applications through Grants.gov, using the SF-424 (Research and Related (R&R)) family of forms. Information on the transition plan and on registering for electronic submission is available at <http://grants.nih.gov/grants/gide/notice-files/NOT-OD-05-067.html>. Updates regarding the transition process may be viewed at <http://era.nih.gov/ElectronicReceipt/>. All required forms specified in the application kit are to be completed by the applicant and submitted with the application package. National Research Service Award: Individual Award: The applicant's academic record, research experience, citizenship, and institution sponsorship should be documented in the application. Institutional Award: the applicant organization must show the objectives, methodology, and resources for the research training program, the qualifications and experience of directing staff, the criteria to be used in selecting individuals for awards, and a detailed budget and justification for the amount of grant funds requested. For-profit organizations' costs are determined in accordance with 48 CFR, Subpart 31.2 of the Federal Acquisition Regulations. For other grantees, costs will be determined by HHS Regulations, 45 CFR, Part 74, Subpart Q. For SBIR and STTR grants, applicant organization (small business concern) must present in a research plan an idea that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Grant forms PHS 6246-1 and PHS 6246-2 are used to apply for SBIR Phase I and Phase II, respectively. Grant forms PHS 6246-3 and PHS 6246-4 are used to apply for STTR Phase I and Phase II, respectively. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92, must be used for this program. National Research Service Award: Prior to formal application, an individual must arrange for acceptance at a sponsoring institution by a sponsor who will supervise the training. Individuals must be sponsored by a domestic or foreign institution. SBIR/STTR: Same as for grants (above). NRSA applications, may be obtained at <http://www.grants.nih.gov/grants/funding/416/phs416.htm>; e-mail: grantsinfo@nih.gov. Completed applications should be submitted to the Center for Scientific Review, National Institutes of Health, 6701 Rockledge Drive, Bethesda, MD 20892-7710, or electronically via Grants.gov. The SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at www.nih.gov/grants/funding/sbir.htm on the World Wide Web. A limited number of hard copies of these publications are produced. Subject to availability, they may be obtained by contacting the NIH support services contractor: Telephone: (301) 206-9385; Fax: (301) 206-9722; E-mail: a2y@cu.nih.gov. The Solicitation includes application forms, which, upon completion, should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892. Small Business Technology Transfer (STTR) program uses same procedure as SBIR immediately above.

Award Procedure:

Each application receives a dual scientific review by non-NIH scientists. Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). National Research Service Awards: Applications are reviewed for scientific merit by an appropriate study section committee or by an institute review committee. If recommended for approval and a decision is made to make an award, a formal award notice will be sent to the applicant and sponsor. Institutional Awards are issued by the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD). All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for the available SBIR/STTR set-aside funds on the basis of scientific and technical merit and the commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 6 to 9 months: National Research Service Awards: From 6 to 9 months. SBIR/STTR: approximately 6 months.

Appeals:

A principal investigator (P.I.) may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute. A description of the NIH Peer Review Appeal procedures is available on the NIH web site at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>.

Renewals:

> 180 Days. Renewal applications are accepted, except for small grants. National Research Service Awards: awards may be made for 1, 2, or 3 years. No individual may receive NIH fellowship support at the postdoctoral level for more than 3 years. Institutional Awards may be renewed.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are usually made annually with no project period to exceed 5 years in length. National Research Service Awards: From 1 to 3 years. SBIR: Phase I awards are generally for 6 months; Phase II awards normally may not exceed 2 years. STTR Phase I awards are generally for 1 year; Phase II awards normally may not exceed 2 years. See the following for information on how assistance is awarded/released: Each year, submitted progress reports for awarded grants are reviewed, and if satisfactory progress is demonstrated, a Notice of Grant Award is issued.

Reports:

Program reports are not applicable. The grantee institution is required to submit a SF 272 - Federal Cash Transactions Report - on a quarterly basis. The grantee institution is required to submit a progress report on an annual basis for each grant award. A Financial Status Report (FSR) is due either annually or at the end of the project period, depending on the type of grant. The grantee institution is required to submit a progress report on an annual basis for each grant award, which includes monitoring of performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal officials.

Records:

Expenditures and other financial records must be retained for 3 years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0844-0-1-552.

Obligations:

(Project Grants) FY 09 \$915,060,000; FY 10 est \$936,728,000; FY 11 est \$966,711,000 - (Total Grants): FY 09 \$885,339,000; FY 10 est \$906,190,000; FY 11 est \$935,183,000. SBIR/STTR: FY 09 \$29,721,000; FY 10 est \$30,538,000; FY 11 est \$31,528,000.

Range and Average of Financial Assistance:

For research project grants, fiscal year 2010, range is \$50,000 to \$5,000,000; average is \$407,352. Individual research fellowship awards: Basic stipend (first year beyond the doctoral degree) of \$39,360. The sponsoring institution will be provided, on application, with an allowance of up to \$7,850 per year to help defray the cost of training. No dependency allowances. SBIR: Average Phase I awards are for approximately \$100,000; Phase II awards may be made for amounts up to \$750,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal year 2009 competing and noncompeting research project grants awarded were 1,774. Of this number, 86 were for SBIR/STTR awards. 51 research centers were awarded. 483 other research grants were awarded. Actual institutional training awards were 740 in fiscal year 2009. The individual training award actuals for fiscal year 2009 were 81. Fiscal year 2010: Fiscal year 2010 competing and noncompeting research project grants estimates are 1,719. Of this number, 86 are expected to be SBIR/STTR awards. Approximately 55 research centers will be awarded. 486 other research grants are anticipated to be awarded. Projected institutional training awards are 747 in fiscal year 2010. The individual training award are projected for fiscal year 2010 to be 82. Fiscal Year 2011: Fiscal year 2011 competing and noncompeting research project grants estimates are 1,764. Of this number, 83 are expected to be SBIR/STTR awards. Approximately 55 research centers will be awarded. 476 other research grants are anticipated to be awarded. Projected institutional training awards are 747 in fiscal year 2011. The individual training award are projected for fiscal year 2011 to be 82.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92; NIH Grants Policy Statement, (Rev.) March 1, 2001, available on the NIH website at http://grants.nih.gov/grants/policy/nihgps_2001/; NIH Guide to Grants and Contracts, available on the NIH website at <http://grants.nih.gov/grants/guide/>. Grants will be available under the authority of and administered in accordance with the NIH Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Eugene G. Hayunga, 6100 Executive Blvd., Room 2C01, Bethesda, Maryland 20892-7510 Email: ehayunga@mail.nih.gov Phone: (301) 496-6856.

Website Address:

<http://www.nichd.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The NICHD and the NHLBI funded meritorious applications that focused on the link between preeclampsia and reduced thyroid function. The analysis combined two separate studies which each suggested a link between preeclampsia and reduced thyroid function. In the first study, women who developed preeclampsia were more likely to have slightly reduced thyroid functioning during the last weeks of their pregnancies. The second study found that women who had preeclampsia during their pregnancies were more likely to have reduced thyroid functioning more than 20 years after they had given birth,

when compared to women who had not had preeclampsia during pregnancy. Fiscal Year 2010: The NICHD, in collaboration with NIAID, NIDA, NIMH, NHLBI, NIDCD and NIH Office of AIDS Research plans to fund research project grant applications for the Pediatric HIV/AIDS Cohort Study (PHACS) network, supported by the NICHD Pediatric, Adolescent, and Maternal AIDS (PAMA) Branch. This initiative was established in 2005 to address two critical pediatric HIV research questions:

What is the long-term safety of fetal and infant exposure to prophylactic antiretroviral chemotherapy?

What are the effects of perinatally acquired HIV infection in adolescents. The goals of this network are to:

Create a body of data to promote better understanding of effect of HIV on sexual maturation, pubertal development, and socialization of perinatally HIV-infected pre-adolescents and adolescents; Acquire more definitive information regarding long-term safety of antiretroviral agents when used during pregnancy and in newborns; Ensure that a mechanism is in place to estimate the upper bounds of risk to children associated with the use of antiretrovirals by their HIV-infected pregnant mothers as recommended in the Public Health Service Guidelines to prevent perinatal HIV transmission; and Ensure that the follow-up of these populations continues.

The PHACS network currently consists of two protocols: the Adolescent Master Protocol (AMP); and the Surveillance Monitoring for ART Toxicities (SMARTT) Study. Both these protocols are structured to accommodate focused sub-studies in future years. Fiscal Year 2011: The NICHD will invest wisely in the strongest science, ensuring that our priorities fill critical knowledge gaps and exploit emerging opportunities, while addressing urgent public health needs. A planned NICHD initiative, utilizing the newest high-throughput genomic technologies, will leverage the research resources developed by the NIH Roadmap to support additional studies in developmental epigenetics. Epigenetic actions on gene expression may critically influence early development from before birth and at key stages throughout childhood, serving as a mediator between genetics and the environment. Thus epigenetic research will lead to a better understanding of birth defects, developmental disabilities, obesity, and other conditions.

To translate scientific knowledge into new and better treatments, the NICHD will continue to examine how medication during pregnancy and delivery affects the mother and the fetus. Researchers will examine why children respond differently than adults to certain drug therapies, and apply this knowledge to improve safety, efficacy, and dosage in children. Such studies will include cutting-edge research in pharmacogenomics, linking different pharmacological outcomes to genetic differences in individuals and laying the foundation for future research in personalized medicine.

NICHD will take strategic advantage of existing resources and infrastructure to ensure that scientific research improves health care. Scientists will assess the newest treatment approaches, but researchers will also evaluate the safety and efficacy of more established treatments for which safety and efficacy data are currently inadequate. The NICHD will invest in the development of new medical devices specifically for fragile infants in neonatal intensive care units, rather than relying on miniaturized versions of instruments designed for adults. NICHD researchers will work to identify the earliest developmental paths leading to obesity. We will address the understudied area of maternal obesity and its immediate and long-term impact on women and their children. Rehabilitation research priorities include better understanding of the immediate and long-term outcomes of critical care treatment of children and of autonomic dysreflexia, a grave and little-studied complication of spinal cord injury that often occurs unexpectedly.

The NICHD will continue efforts to promote women's and children's health around the globe, including studies to improve birth outcomes, to reduce maternal-fetal transmission of HIV, and to address interactions between iron deficiency and malaria.

Reinvigorating the U.S. biomedical research community will be essential in the

next decade. NICHD will build on successful training and career development programs to recruit and mentor the next generation of scientists. The Institutes focus on developmental processes, in both the child and the adult, will continue to provide the basic scientific and clinical insights needed to improve care for a wide range of conditions affecting many populations.

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of the significance of the proposed research; approach; innovation; investigators; and environment. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.866 AGING RESEARCH

Aging

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part C, Section 444,445,445 A-F, Public Law 99-158, 42 U.S.C 285; Public Health Service Act, Title III, Part A, Section 301, Public Law 78-410, 42 U.S.C 241; Small Business Research and Development Enhancement Act of 1992, Public Law 102-564; Public Health Service Act, Title IV, Part F, Section 487, Public Law 100-607, 42 U.S.C 288.

OBJECTIVES:

To encourage biomedical, social, and behavioral research and research training directed toward greater understanding of the aging process and the diseases, special problems, and needs of people as they age. The National Institute on Aging has established programs to pursue these goals. The Division of Aging Biology emphasizes understanding the basic biological processes of aging. The Division of Geriatrics and Clinical Gerontology supports research to improve the abilities of health care practitioners to respond to the diseases and other clinical problems of older people. The Division of Behavioral and Social Research supports research that will lead to greater understanding of the social, cultural, economic and psychological factors that affect both the process of growing old and the place of older people in society. The Division of Neuroscience fosters research concerned with the age-related changes in the nervous system as well as the related sensory, perceptual, and cognitive processes associated with aging and has a special emphasis on Alzheimer's disease. Small Business Innovation Research (SBIR) program: To expand and improve the SBIR program; to increase private sector commercialization of innovations derived from Federal research and development; to increase small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and

encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants are intended to support the direct costs of a project in accordance with an approved budget, plus an appropriate amount for facilities and administrative costs. Grantees must agree to administer the grant in accordance with the regulations and policies governing the research grants program of the Public Health Service. National Research Service Awards (NRSA) (Individual) are made directly to approved applicants for research training in specified biomedical shortage areas. In addition, National Research Service Awards (Institutional) may be made to institutions to enable them to make NRSA to individuals selected by them. Each individual who receives a postdoctoral NRSA is obligated, upon termination of the award, to comply with certain service and payback provisions. Regulations are published in the Code of Federal Regulations and 42 CFR, Part 66. SBIR Phase I grants (of approximately 6 months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. SBIR Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. STTR Phase I grants (normally of 1- year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application.

Applicant Eligibility:

Grants: Universities, colleges, medical, dental and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private institutions (both for-profit and nonprofit), and individuals. National Research Service Award: Individual NRSA may be made for postdoctoral training to applicants who hold a professional or scientific degree (M.D., Ph.D., D.D.S., D.O., D.V.M., Sc.D., D.Eng., or equivalent domestic or foreign degree) or for predoctoral training to applicants registered for doctoral research training. Institutional NRSA may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have a baccalaureate degree. Applicants must be citizens of the United States or admitted for permanent residency. Individual NRSA awardees must be nominated and sponsored by a public or private nonprofit institution having staff and facilities suitable to the proposed research training. Nonprofit domestic organizations may apply for the Institutional NRSA. SBIR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of award and during the conduct of the proposed project. In both Phase I and Phase II, the research must be performed in the U.S. or its possessions. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. To be eligible for funding, a grant application other than a fellowship must be assessed for scientific merit by a scientific review group and receive approval from a national advisory council.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

The grants application submission process is currently in transition from a paper-based to an electronic format. A current transition-to-electronic-submission timeline for all grant mechanisms in the

National Institutes of Health (NIH) Extramural Research Program timeline can be obtained from this site:

<http://grants1.nih.gov/grants/funding/submissionschedule.htm>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. For grant programs still requiring submission of paper applications, the standard application forms, as furnished by the Public Health Service (PHS) and required by 45 CFR Part 92 for State and local governments, must be used. Consultation from institute staff is available for all grant programs. Applicants are encouraged to visit the Internet Web sites of the 27 institutes and centers at the NIH to obtain contact information for program staff. Applicants for multi-project awards are strongly encouraged to consult program staff before applying. Applicants may obtain forms and instructions for application preparation and submission at the following Internet Web site: <http://grants.nih.gov/grants/forms.htm> When completed these applications should be submitted to the Center for Scientific Review, National Institutes of Health, Bethesda, MD. Applications are reviewed for scientific merit, evaluation of applicant qualifications, adequacy of the research environment, and significance of the proposed problem to be studied. The application form and instructions for the preparation and submission of applications to the National Research Service Awards Research Training Grants and Fellowships (NRSA) Program, PHS Form 416-1, can be obtained from the following URL

(<http://grants.nih.gov/grants/funding/416/phs416.htm>). Prior to making formal application to the NRSA programs, individual NRSA applicants must be nominated and sponsored by a Federal, public or nonprofit institution having staff and facilities appropriate to the proposed research training program. Information concerning current areas of science being supported by those institutes participating in the NRSA Program at the NIH is available on the individual institute Web sites. A complete list of institutes at NIH is available at: <http://www.nih.gov/icd>. In addition, information about each institute's NRSA research topics and information about the NRSA Program can be obtained from the Division of Extramural Outreach and Information Resources, (see address above). The NRSA program is subject to the provisions of 45 CFR Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. The annual NIH Small Business Innovation Research (SBIR)-Small Business Technology Transfer (STTR) Omnibus Solicitation and SBIR-STTR Contract Solicitation may be obtained electronically by accessing the following URL: <http://grants1.nih.gov/grants/funding/sbir.htm>. The solicitations include application preparation and submission guidelines and instructions, information about review considerations, and Internet hyperlinks to the SBIR-STTR application and/or contract proposal forms. Applications to NIH's SBIR-STTR Programs must be submitted electronically through the U.S. Federal Government's Internet Web site, [Grants.gov](http://www.grants.gov): www.grants.gov. Submission of SBIR-STTR applications through [Grants.gov](http://www.grants.gov) requires pre-registration, which typically takes 2-4 weeks to complete. Information about registration to submit applications through [Grants.gov](http://www.grants.gov) can be found at: <http://era.nih.gov/ElectronicReceipt/preparing.htm>. Applicants are encouraged to read and refer to the extensive information on electronic submission of grant applications on the NIH Electronic Submission of Grants Applications Internet Web site at: <http://era.nih.gov/ElectronicReceipt>.

Award Procedure:

Grants and Institutional NRSA's: Each application receives an initial scientific review by non-NIH scientists and a secondary review by the National Advisory Council on Aging. Individual NRSA's do not receive a secondary review by the National Advisory Council on Aging. Awards are issued by the NIA to the grantee institution. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants: From 6 to 9 months. National Research Service Awards: From 6 to 9 months. SBIR/STTR: About 7-1/2 months.

Appeals:

From 30 to 60 days. A principal investigator may question the substantive or procedural aspects of the review of his/her application by communicating with the staff of the Institute.

Renewals:

> 180 Days. Grants: Renewal applications are accepted for most programs. Most individual NIH postdoctoral fellowship support is for no more than 3 years. Most individual predoctoral support is for no more than five years. Exploratory/developmental grant awards and Small Grant awards may not be renewed.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. None. This program does not have MOE requirements. None.

Length and Time Phasing of Assistance:

Grant Awards are usually made annually and usually with project periods not to exceed 5 years in length. National Research Service Awards: Institutional awards may be made for up to 5 years, and individual awards may be made for as many as 3 years. SBIR: Normally, Phase I awards are for 6 months; normally, Phase II awards are for 2 years. STTR: Normally, Phase I awards are for 1 year; normally, Phase II awards are for 2 years. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions. Grants: Annual progress reports and reports of expenditures are required. National Research Service Awards: Institutional awards require that a statement of appointment for each trainee selected by the Program Director be submitted to the NIA for each year of training. Reports are required after termination of the awards to ascertain compliance with the service and payback provisions for each institutionally selected trainee. Individual awards require reports upon award expiration to determine compliance with the service and payback provisions. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit

(commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0843-0-1-552.

Obligations:

(Salaries) FY 09 \$151,152,000; FY 10 est \$153,610,000; FY 11 est \$159,171,000. (Salaries) FY 09 \$836,622,000; FY 10 est \$862,411,000; FY 11 est \$881,632,000

Range and Average of Financial Assistance:

Awards vary in range depending on the particular activity codes. Individual fellowships range from \$38,000 to \$51,000 and average about \$42,000. Research grants have much larger ranges - from \$75,000 to several million dollars. Average costs of research grants are around \$400,000. All costs are shown on a single year basis. Awards may be for up to five years.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Robin A. Barr, Division of Extramural Activities, National Institute on Aging, 7201 Wisconsin Avenue, Room 2C218, Bethesda, Maryland 20892 Email: rb42h@nih.gov Phone: (301) 496-9322 Fax: (301) 402-2945.

Website Address:

<http://www.nia.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessments of: the scientific merit and general significance of the proposed study and its objectives; the technical adequacy of the experimental design and approach; the

competency of the proposed investigator or group to successfully pursue the project; the adequacy of the available and proposed facilities and resources; the necessity of the budget components requested in relation to the proposed project; and the relevance and importance to announced program objectives. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.867 VISION RESEARCH

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended, Title III, Part A, Section 301; Health Research Extension Act of 1985, Public Law 99-158, 42 U.S.C. 241; Title IV, Part B, Section 405; 42 U.S.C. 284; Part C, Section 455; 42 U.S.C. 285i; Health Omnibus Programs Extension Act of 1988, Section 487(d); Public Law 100-607, 42 U.S.C. 288(d); Small Business Research and Development Enhancement Act of 1992, Public Law 102-564.

OBJECTIVES:

To support eye and vision research projects that address the leading causes of blindness and impaired vision in the U.S. These include retinal diseases; corneal diseases; cataract; glaucoma and optic neuropathies; strabismus; amblyopia; and low vision and blindness rehabilitation. To increase understanding of the normal development and function of the visual system in order to better prevent, diagnose, and treat sight-threatening conditions; and, to enhance the rehabilitation, training, and quality of life of individuals who are partially-sighted or blind. To support a broad program of basic vision research through grants and cooperative agreements; to encourage high quality clinical research, including clinical trials, other epidemiological studies, and health services research; to encourage research training and career development in the sciences related to vision; and to sponsor scientific workshops in high priority research areas to encourage exchange of information among scientists. Small Business Innovation Research (SBIR) program: To increase private sector commercialization of innovations derived from Federal research and development; to encourage small business participation in Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation. Small Business Technology Transfer (STTR) program: To stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions; to foster technology transfer between small business concerns and research institutions; to increase private sector commercialization of innovations derived from Federal research and development; and to foster and encourage participation of socially and economically disadvantaged small business concerns and women-owned small business concerns in technological innovation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Research grants and cooperative agreements provide funds for salaries, consultant costs, equipment, supplies, travel, patient costs, alterations and renovations, other expenses, and consortium/contractual costs. The scientists and institutions are under an obligation to expend grant funds prudently for the purposes as stated in the application and award document. The Conference Cooperative Agreement supports scientific meetings and workshops in high priority research areas to encourage exchange of information among scientists. The Center Core Grant is intended to enhance an institution's environment and capability to conduct vision research, to facilitate collaborative studies of the visual system and its disorders, and to attract scientists of diverse disciplines to research on the visual system. Career development awards include the Mentored Clinical Scientist Development Award, Mentored Patient-Oriented Research Career Development Award, Institutional Clinical Scientist Development Program, and the NIH Pathway to Independence Award. The Clinical Study Planning Grant is designed to support the development of an applied clinical research plan. NEI Research Grants for Secondary Data Analysis provide limited support for meritorious research projects that involve secondary analysis of research data generated from clinical trials, population research and other applied clinical vision research projects supported by the Institute. Bioengineering Research Grants (BRG) support basic bioengineering research whose outcomes are likely to advance health or health-related vision research. BRGs may propose to apply basic bioengineering design-directed or hypothesis-drive research to an important vision research area. Bioengineering Research Partnership grants support multidisciplinary research teams applying an integrative, systems approach to develop knowledge and/or methods to prevent, detect, diagnose, or treat diseases of the eye and visual system. NEI Translational Research Program on Therapy for Visual Disorders grants provide support to collaborative, multidisciplinary research focused on the development of novel therapies to restore or prevent the loss of function due to visual diseases and disorders. Small Business Innovation Research Phase I grants are awarded to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I that is likely to result in commercial products or processes. Only Phase I awardees are eligible to apply for Phase II support. Small Business Technology Transfer Research, Phase I grants are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. National Research Service Awards (NRSAs), both individual and institutional, support training in vision research. Some individuals who receive an NRSA may be obligated upon termination of the award to comply with certain service and payback provisions. The NIH Extramural Loan Repayment Programs provides payback of a portion of eligible student loan debt of qualified health professionals who agree to conduct clinical research.

Applicant Eligibility:

Public/State-controlled institutions of higher education, private institutions of higher education, Hispanic-serving institutions, Historically Black Colleges and Universities, tribally-controlled colleges and universities, Alaska Native- and Native Hawaiian-serving Institutions, nonprofits with or without 501(c)(3) IRS status, Small Businesses, for-profit organizations, federal institutions, and State and local units of government are eligible to make application for research grants, cooperative agreements, and career development awards. Foreign institutions may apply for research grants and cooperative agreements only. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. Candidates for the Mentored Clinical Scientist Development and Mentored-Patient Oriented Research Career Awards are restricted to those holding health professional degrees in the clinical sciences (M.D., O.D., D.D.S., D.V.M., or equivalent). NRSAs are provided for predoctoral and postdoctoral research training. Individual NRSAs may be made for applicants who hold a professional or scientific degree (M.D., Ph.D., O.D., D.D.S., D.V.M., Sc.D., D.Eng., or equivalent degree). Institutional NRSAs may be made for both predoctoral and postdoctoral research training. Predoctoral awardees must have completed the baccalaureate degree. All awardees must be citizens of the United States, or have been admitted to the U.S. for permanent residence prior to award. Individual NRSA awardees must be nominated and sponsored by a Federal, public or private nonprofit institution having staff and

facilities suitable to the proposed research training. Federal and for-profit organizations, and State and local governments may not apply for an institutional NRSA. Refer to the NIH Program Guidelines on NRSAs for further information. The Small Business Innovation grants: SBIRs can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have not more than 500 employees). Primary employment (more than one-half time) of the principal investigator must be with the small business at the time of the award and during the conduct of the proposed project. The research during both Phase I and Phase II must be performed in the U.S. or its possessions. Only Phase I awardees are eligible to receive Phase II support. STTR grants can be awarded only to domestic small business concerns (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed and have no more than 500 employees) which "partner" with a research institution in cooperative research and development. At least 40 percent of the project is to be performed by the small business concern and at least 30 percent by the research institution. In both Phase I and Phase II, the research must be performed in the U.S. and its possessions. Individuals applying for the NIH Loan Repayment Programs must be engaged in patient oriented research and be trained in a medical subspecialty as defined under Section 206 of Public Law 106-505. These individuals must be U.S. citizens, U.S. citizen nationals, or lawfully admitted for permanent residence in the U.S.; have a student loan debt which equals or exceeds 20 percent of their university compensation; have no Federal judgment lien against their property arising from a Federal debt; and owe no obligation of health professional service to the Federal government, a State, or other entity unless deferrals are granted during the length of their Loan Repayment Program service obligation.

Beneficiary Eligibility:

Any nonprofit or for-profit organization, company, or institution engaged in biomedical research.

Credentials/Documentation:

(1) Research grants and cooperative agreements: Applications for research involving the use of human subjects must include documentation that all key personnel have received training in human subject protection. Applicants may provide additional documentation to establish the scientific and technical merit and the programmatic relevance of the application. (2) Individual NRSA applications: The applicant's academic record, research experience, institutional sponsorship, and the proposed area and plan of training must be included. (3) Institutional NRSA application: The applicant institution must discuss the objectives, methodology and resources for the research training program; the qualifications and experience of staff; the criteria to be used in selecting individuals for an appointment; and a budget and justification for the grant funds requested. Costs will be determined in accordance with the appropriate OMB Circular or with HHS Regulations 45 CFR, Part 74, Subpart Q. (4) For SBIR and STTR grants, the small business concern must present a research plan that has potential for commercialization and furnish evidence that scientific competence, experimental methods, facilities, equipment, and funds requested are appropriate to carry out the plan. Loan Repayment Program applicants must provide certification of loan status, loan data verification, and an institutional supporting statement. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applicants for core grants, clinical study planning grants, cooperative agreements, clinical vision research development awards, small research grants for data analyses, and bioengineering research partnerships should seek preapplication coordination through contact with the National Eye Institute staff. All staff may be contacted at (301) 451-2020. Applicants planning to submit a grant application requesting \$500,000 or more in direct costs for any year are required to discuss their eligibility with the NEI staff, at (301) 451-2020. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard application forms, as required by 45 CFR, Part 74 and 92, must be used for this program. Prior to making formal application to the NRSA programs, individual NRSA applicants

must be nominated and sponsored by a federal, public, or nonprofit institution having staff and facilities appropriate to the proposed research program. Information regarding the application process, access to forms, and information regarding the <http://www.Grants.Gov> site are available on the NEI web site at <http://www.nei.nih.gov>. Supplemental instructions for preparing applications for Core Grants, Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, and cooperative agreements for clinical studies or conferences are available on the internet at <http://www.nei.nih.gov/> SBIR and STTR Grant Solicitations and SBIR Contract Solicitation may be obtained electronically through the NIH's "Small Business Funding Opportunities" home page at <http://www.nih.gov/grants/funding/sbir.htm> on the World Wide Web. The Solicitations include submission procedures, review considerations, and grant application or contract proposal forms. Application forms and information concerning the NRSA program are available from the Center for Scientific Review, National Institutes of Health, Bethesda, MD 20892, and should be submitted to the same address. National Eye Institute guidelines and supplemental instructions for preparing Institutional NRSA applications are available on the World Wide Web at <http://www.nei.nih.gov/> This program is subject to the provisions of 45 CFR 74 and 92 and OMB Circular No. A-110 for nonprofit organizations, as applicable.

Award Procedure:

Applications are reviewed initially for scientific and technical merit. A second level review of the programmatic relevance of applications is provided by the National Advisory Eye Council. Second level review of NRSA fellowship applications is performed by a committee of senior staff of the National Eye Institute. Final approval is made by the Director, National Eye Institute. Formal award notices are transmitted to the appropriate institutional official.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately six to nine months for Research Grants, Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, cooperative clinical studies or conferences, Core Grants, and NRSA Institutional applications; from six to seven months for NRSA individual applications; and for SBIR, about eight months.

Appeals:

A principal investigator may communicate to the staff of the National Eye Institute any concerns regarding the substantive or procedural aspects of the review of an application.

Renewals:

Renewals of research grant or cooperative agreement support are by competitive application and review. Mentored Clinical Scientist Development Awards, Clinical Study Planning Grants, NEI Translational Research Program on Therapy for Visual Disorders, and NEI Research Grants for Secondary Data Analysis are non-renewable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Up to five years for research grants and cooperative agreements, Mentored Clinical Scientist Development Awards, Core Grants, and Institutional NRSA's. Up to three years for Academic Research Enhancement Awards and NRSA Individual postdoctoral awards. Clinical Study Planning Grants: one year. Awards to individuals made through an institutional NRSA may total eight years (five years predoctoral and an additional three years postdoctoral). SBIR: Normally, Phase I awards are for six months; normally, Phase II awards are for two years. STTR: Normally, Phase I awards are for one year; normally, Phase II awards are for two years. Loan Repayment Program Awards are for two years with the opportunity for renewal. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditures and other financial records must be retained for three years from the day on which the grantee submits the last expenditure report for the report period.

Account Identification:

75-0887-0-1-552.

Obligations:

(Project Grants) FY 09 \$550,318,000; FY 10 est \$565,703,000; FY 11 est \$576,802,000

Range and Average of Financial Assistance:

Grants and Cooperative agreements: \$26,298 to \$5,057,448; \$392,655. NRSA (Institutional): \$26,298 to \$560,041; \$217,314. NRSA (Individual): \$14,476 to \$62,002; \$45,355. SBIR Phase I: \$99,231 to \$570,438; \$130,023. SBIR Phase II: \$71,484 to \$953,134; \$431,300. STTR Phase I: \$105,906 to \$310,645; \$188,551. STTR Phase II: \$310,719 to \$408,108; \$346,016.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This program supported 1,253 research grants, including 47 SBIR and STTR awards and 262 NRSA positions. Fiscal Year 2010: The program anticipates supporting 1,299 research grants, including 46 SBIR and STTR awards and 266 NRSA positions. Fiscal Year 2011: The program anticipates supporting 1,307 reasearch grants. A report by the NEI, "National Plan for Eye and Vision Research" provides a comprehensive summary of the program's goals, objectives, accomplishments, and program and management policies, as well as statistical information on the incidence and prevalence of visual disorders. Copies of this document are available on the Internet at <http://www.nei.nih.gov>.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 52; 42 CFR 66; 45 CFR 74; 45 CFR 92. PHS Grants Policy Statement DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994, and Addendum effective February 15, 1995; "NIH Guide for Grants and Contacts and Supplements." National Eye Institute Guidelines are available on the internet at <http://www.nei.nih.gov> Grants will be available under the authority of and administered in accordance with the PHS Grants Policy Statement and Federal regulations at 42 CFR 52 and 42 USC 241; Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR) Grant and Cooperative Agreement Applications. Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Ellen S. Liberman, Ph.D. National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-1900. Grants Management Officer Contact: Mr. William W. Darby, Chief, Grants Management Branch, National Eye Institute, National Institutes of Health, Department of Health and Human Services, Room 1300, 5635 Fishers Lane, Bethesda, MD 20892-1900. Telephone: (301) 451-2020. Use the same numbers for FTS. The National Eye Institute encourages applicants to visit its internet site at www.nei.nih.gov/. Among the many recent additions to the site is an expanded "Research Funding" section which contains all National Eye Institute application guidelines and internet links to relevant NIH information., Bethesda, Maryland 20892-1300 Email: esl@nei.nih.gov Phone: (301) 451-2020 Fax: (301) 402-0528.

Website Address:

<http://www.nei.nih.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in the initial scientific and technical review of most applications include an assessment of significance, approach, innovation, investigator training and experience, and the scientific environment. In addition to these criteria, in accordance with NIH policy, all applications will also be reviewed with respect to the following: the adequacy of plans to include both genders, minorities, and their subgroups as appropriate for the scientific goals of the research; the reasonableness of the proposed budget and duration in relation to the proposed research; and, the adequacy of the proposed protection for humans, animals or the environment, to the extent they may be adversely affected by the project proposed in the application. A second level review of the programmatic relevance of most applications is provided by the National Advisory Eye Council. The following criteria will be used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications will be reviewed based upon the following criteria: (1) The degree to which the Phase I objectives were met and feasibility demonstrated; (2) the scientific and technical merit of the proposed approach for achieving the Phase II objectives; (3) the qualifications of the proposed principal investigator, supporting staff, and consultants; (4) the technological innovation, originality, or societal importance of the proposed research; (5) the potential of the proposed research for commercial application; (6) the reasonableness of the budget requested for the work proposed; (7) the adequacy and suitability of the facilities and research environment; and (8) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment.

93.879 MEDICAL LIBRARY ASSISTANCE

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title IV, Part D, Subpart 2, Section 472-476; Public Health Service Act, Title III, Part A, Section 301.

OBJECTIVES:

To improve health information services by providing funds to train professional personnel; to conduct research in biomedical informatics, bioinformatics and related computer and information sciences; to facilitate management of electronic health science information; to plan, develop and test feasibility of advanced information tools; to prepare certain kinds of biomedical publications; to advance biocomputing and bioinformatics through participation in Federal initiatives relating to biomedical informatics, bioinformatics and biomedical computing; to stimulate and foster scientific and technological innovation through cooperative research development carried out between small business concerns and research institutions, through Small Business Research Innovation (SBIR) and Small Business Technology Transfer (STTR) grants.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

RESEARCH GRANT PROGRAMS: Research Grants are available for fundamental and applied research in biomedical informatics and bioinformatics. Areas of research interest include: representation, organization and retrieval of biomedical and biological knowledge, data and images; enhancement of human intellectual capacities through virtual reality, dynamic modeling, artificial intelligence, and machine learning; medical decision-making; linguistic

analyses for natural language processing and understanding; informatics topics relevant to public health and informatics for disaster management. Research Project Grants (R01) are available for rigorous scientific research studies and experiments. Exploratory/Developmental Grants (R21) support early, conceptual work and feasibility tests. Other research grants include Informatics Conference Grants and a number of NIH-wide grant programs on high priority topics such as health literacy. Further information on research grants is available at <http://www.nlm.nih.gov/ep/Grants.html#research>. **TRAINING PROGRAMS:** NLM's University Biomedical Informatics Research Training Programs promote the career training of talented persons who seek research careers in biomedical informatics, bioinformatics, clinical translational informatics, and public health informatics. A competition for these institution-based training grants is announced every five years. University-based training support may be at the pre- or post-doctoral level. Training sites recruit and choose their trainees, and are expected to have well established computer facilities, strong informatics research and education programs, experienced faculty and staff committed to research in the field of biomedical computing and/or bioinformatics, and available courses or experience in computer science, information science, and cognitive sciences. Institutional training grants provide trainee stipends, tuition and fees, travel, and certain institutional support costs. Further information on training grants is available at <http://www.nlm.nih.gov/ep/Grants.html#training>. **CAREER DEVELOPMENT PROGRAMS:** NLM offers an Independent Career Development award (K22) to help informatics trainees make the transition to a successful independent research career. NIH Pathway to Independence Career Development awards (K99/R00) are for investigators who are making the transition to their first research positions. These grants can last up to 5 years. They include a mentored period, followed by an unmentored period, and provide both salary and a research fund. Research supplement awards are also offered to promote diversity in health-related informatics research, and to promote re-entry into informatics careers. Diversity and re-entry awards are issued as supplements to existing research grants funded by NLM. NLM also participates in the NIH Loan Repayment Award (LRP) program. The LRP awards are available to those who qualify and will commit to two years of clinical or pediatric informatics research. Further information on career development grants is available at <http://www.nlm.nih.gov/ep/Grants.html#career>. **RESOURCE GRANT PROGRAMS:** NLM Information Resource Grants to Reduce Health Disparities (G08) support projects to bring useful, usable health information to health disparity populations and their health care providers. In addition, NLM offers Grants for Scholarly Works to support preparation of books in the history and philosophy of biomedicine, bioethics, and public policy areas of importance to health professionals and biomedical scientists. The purpose, restrictions, funding periods and award limits vary for these grant programs and are described in the FoAs. Further information on resource grants is available at <http://www.nlm.nih.gov/ep/Grants.html#resource>. **SMALL BUSINESS RESEARCH & DEVELOPMENT PROGRAMS:** Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants are made to U.S. small businesses that seek to undertake informatics research and development leading to commercialization. The STTR program requires a small business applicant organization to formally collaborate with a research institution in Phase I and Phase II. SBIR Phase I grants (of approximately 6-months' duration) are to establish the technical merit and feasibility of a proposed research effort that may lead to a commercial product or process. Phase II grants are for the continuation of the research initiated in Phase I and that are likely to result in commercial products or processes. Only Phase I awardees are eligible to receive Phase II support. STTR Phase I grants (normally of 1-year duration) are to determine the scientific, technical, and commercial merit and feasibility of the proposed cooperative effort that has potential for commercial application. Phase II funding is based on results of research initiated in Phase I and scientific and technical merit and commercial potential on Phase II application. Further information on SBIR/STTR grants is available at <http://www.nlm.nih.gov/ep/Grants.html#small>.

Applicant Eligibility:

Any individual(s) with the skills, knowledge, and resources necessary to carry out the proposed research as the project director/principal investigator (PD/PI) is invited to work with his/her organization to develop an application for support. Individuals from underrepresented racial and ethnic groups as well as

individuals with disabilities are always encouraged to apply for NIH support. See Beneficiary Eligibility below for further details.

Beneficiary Eligibility:

Research Grants are available to public or private, domestic or foreign, for profit or not-for-profit institutions or organizations with research capabilities in biomedical informatics, bioinformatics, computer sciences, information sciences and related disciplines. Training Grants may be made to nonfederal public and nonprofit private institutions. With the exception of NIH Pathway to Independence awards, trainees or fellows must be citizens or non-citizen nationals of the United States or have been lawfully admitted to the United States for permanent residence. Resource grants (Information Resource, Scholarly Works) are open to any U.S. public or private nonprofit institution or organization. SBIR and STTR grants can be awarded only to domestic small businesses (entities that are independently owned and operated for profit, are not dominant in the field in which research is proposed, and have no more than 500 employees). To be eligible for funding, a grant application must be approved for scientific merit and program relevance by a scientific review group and a national advisory council.

Credentials/Documentation:

Cost allowability will be determined in accordance with OMB Circular A-87 for State, Local, and Indian Tribal Governments, OMB Circular A-21 for Educational Institutions and for For-profit organizations, costs will be determined in accordance with 48 CFR Subpart 31.2 Federal Acquisition Regulation (FAR). Nonprofit institutions cost principles are outlined under OMB Circular A-122 and for Hospitals, 45 CFR Part 74, Appendix E. These cost principles are codified under 45 CFR 74.27 and 92.22. Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations are outlined in OMB Circular A-110. Documentation providing NIH grants policy and guidance can be found at <http://grants.nih.gov/grants/policy/policy.htm>. The Division of Extramural Programs, NLM provides program specific grant information at <http://www.nlm.nih.gov/ep>. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Only electronic applications are accepted for all types of Research Grants, SBIR and STTR grants, and Resource Grants. Electronic grant submission of the SF424 (R&R) form is via <http://www.grants.gov>. For instructions on submitting grants electronically, see <http://era.nih.gov/ElectronicReceipt/>. These programs are subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations. Updates on NLM application deadlines and links to available forms can also be found at <http://www.nlm.nih.gov/ep/>.

Award Procedure:

Applications are evaluated for merit by a committee of scientific experts and for program relevance by the Board of Regents (BOR) of the National Library of Medicine (NLM). If favorably recommended, the application is considered for funding. An award notice is prepared when it is determined that a grant is to be paid. All accepted SBIR/STTR applications are evaluated for scientific and technical merit by an appropriate scientific peer review panel and by a national advisory council or board. All applications receiving a priority score compete for available SBIR/STTR set-aside funds on the basis of scientific and technical merit and commercial potential of the proposed research, program relevance, and program balance among the areas of research.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

> 180 Days. From 9-10 months after the application deadline.

Appeals:

NIH policy allows a principal investigator (PI) to appeal the outcome of a review if procedural errors or factual errors entered into the review of the application. A description of the NIH Peer Review Appeal procedures is available at <http://grants.nih.gov/grants/guide/notice-files/not97-232.html>. However, differences of scientific opinion that often occur between investigators and reviewers may not be contested through these procedures. In addition, communications from investigators consisting of additional information that was not available to the reviewers are not considered to be appeals.

Renewals:

> 180 Days. Competing renewal applications follow same review procedures and deadlines as new applications; dates for submission are at <http://www.nlm.nih.gov/ep/Deadlines.html>. NIH also permits the resubmission of an application that has been revised following merit review. Deadlines for resubmissions are listed with other deadlines. Applicants should consult the program announcement to find out if renewals are allowed for that grant program.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The length of a grant award varies by the type of grant. R01 research grants generally last three or four years. Resource grants, Exploratory/Developmental grants, and NIH Pathway to Independence awards have defined maximum periods that are provided in the funding opportunity announcement. See the following for information on how assistance is awarded/released: A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization.

Reports:

No program reports are required. No cash reports are required. Annual grant progress reports and Financial Status Reports must be submitted as required. At the end of a grant, the awardee must file a final progress report, final Financial Status Report and final statement of invention. Annual grant progress reports and Financial Status Reports must be submitted as required. At the end of a grant, the awardee must file a final progress report, final Financial Status Report and final statement of invention. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In accordance with 45 CFR 74 Subpart C Section 74.26(d) for-profit organizations that expend more than \$500,000 in DHHS awards in a year shall have an audit of the DHHS awards in accordance with the "Government Auditing Standards" (Yellow Book) or an audit that meets the requirements of OMB Circular A-133. Award recipients that do not meet the \$500,000 threshold are exempt from Federal audit requirements for that year, except as noted in Circular A-133. In addition, grants and cooperative agreements are subject to review or audit by appropriate officials of the Federal (funding) agency, pass-through entity and the Government Accountability Office (GAO). Foreign organizations must use the for-profit audit requirements for DHHS supported awards. The NIH/DHHS Loan Repayment Program (LRP) is not subject to Federal auditing requirements. For OMB policy statements on grants, see http://www.whitehouse.gov/omb/grants/grants_docs.html. Government Auditing Standards is available on the GAO Web site at <http://www.gao.gov/govaud/ybk01.htm>.

Records:

Financial and programmatic grant records must be retained for 3 years from the day on which the grantee submits the last (annual) Financial Status Report (FSR). Streamlined Noncompeting Application Process (SNAP) awardees beginning date for record retention purposes is the date of the FSR submission

for the entire competitive segment of the grant.

Account Identification:

75-0807-0-1-552.

Obligations:

(Project Grants) FY 09 \$62,121,000; FY 10 est \$62,166,000; FY 11 est \$62,166,000

Range and Average of Financial Assistance:

\$17,819 to \$742,500 (range)
\$372,597 - total average cost for Basic Research Grant (R01)
\$137,592 - total average cost for Information Resource Grant (G08)
\$71,223 - total average cost for Scholarly Works Grant (G13).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY2009, EP awarded 117 non-competing grants totaling \$39,122,684, and 42 competing grants for \$9,295,052. Fiscal Year 2010: It is anticipated that approximately 120 awards will be issued in fiscal year 2010. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

National Library of Medicine Grant Programs are awarded under the Public Health Service Act and the Medical Library Assistance Act. Grants and funding awards are made available under the authority of the Acts as codified under 45 CFR 74 (Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals, Other Nonprofit Organizations, and Commercial Organizations; and Certain Grants and Agreements with States, Local Governments, and Indian Tribal Governments and 45 CFR 92 (Uniform Administrative Requirements for Grants and Cooperative Agreements to States and Local Governments); the NIH Grants Policy Statement is available to grantees as a single document governing the NIH/DHHS policy requirements and terms and conditions of NIH/DHHS grant awards. Additional regulations and guidelines are provided in the Omnibus Solicitation of the Public Health Service for Small Business Innovation Research (SBIR - R43, R44) Grant and Cooperative Agreement Applications including the Omnibus Solicitation of the National Institutes of Health for Small Business Technology Transfer (STTR - R41, R42) Grant Applications.

Regional or Local Office:

None.

Headquarters Office:

Extramural Programs, National Library of Medicine Extramural Programs, National Library of Medicine, National Institutes of Health, Public Health Service, Department of Health and Human Services, Bethesda, MD 20894. Telephone: (301) 496-4621. Program Contacts: Telephone: (301) 594-4882. Grants Management Contact: Grants Management Officer: Telephone: (301) 496-4221. Grant Review Contact: Scientific Review Administrator. Telephone: (301) 496-4253. Use the same numbers for FTS. Website: <http://www.nlm.nih.gov/ep>, Bethesda, Maryland 20892 Phone: (301) 496-4621

Website Address:

<http://www.nlm.nih.gov/ep>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: NLM will provide examples of funded projects following the end of fiscal year 2010, when it prepares its Annual Report. Fiscal Year 2010: Lists of awards made since 1997, in each active grant program, are available on the agency's web site at <http://www.nlm.nih.gov/ep/funded.html>. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The standard NIH review criteria, significance, investigator, innovation, approach, environment are used as the basis of merit review. For NLM resource grants, these include considerations as to relevance to program objectives; impact on the management and transmission of biomedical knowledge; institutional readiness and resources available to project; expertise of project director and key personnel; scientific or technical merit of project; sustainability of what is deployed, and appropriateness of budget. Comparative priorities on the above criteria are based on collective judgment of peer reviewers. The

following criteria are used in considering the scientific and technical merit of SBIR/STTR Phase I grant applications: (1) The soundness and technical merit of the proposed approach; (2) the qualifications of the proposed principal investigator, supporting staff, and consultants; (3) the scientific, technical, or technological innovation of the proposed research; (4) the potential of the proposed research for commercial application; (5) the appropriateness of the budget requested; (6) the adequacy and suitability of the facilities and research environment; and (7) where applicable, the adequacy of assurances detailing the proposed means for (a) safeguarding human or animal subjects, and/or (b) protecting against or minimizing any adverse effect on the environment. Phase II grant applications are reviewed based upon the similar criteria, and on the degree to which the Phase I objectives were met and feasibility demonstrated. General Services Administration Office of Governmentwide Policy Office of Acquisition Policy Regulatory and Federal Assistance Publication Division (MVA).

93.884 GRANTS FOR TRAINING IN PRIMARY CARE MEDICINE AND DENTISTRY

Primary Care Training

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 747, 42 U.S.C. 293k as amended.

OBJECTIVES:

Grants are awarded for the following purposes: (1) Residency Training in Primary Care-- to plan, develop, and operate (including provision of financial assistance to residents in such programs) in approved residency programs in family medicine, general internal medicine and/or general pediatrics; (2) Physician Faculty Development in Primary Care-- to plan, develop, and operate (including provision of financial assistance to trainees in such programs) programs for the training of physicians who teach or plan to teach in family medicine (including geriatrics), general internal medicine and/or general pediatrics training programs; (3) Predoctoral Training in Primary Care-- to plan, develop, and operate or participate (including provision of financial assistance to students in such programs) in predoctoral programs in family medicine, general internal medicine and/or general pediatrics; (4) Academic Administrative Units in Primary Care-- to meet the costs of projects to establish, maintain or improve academic administrative units (which may be departments, divisions, or other units) to provide clinical instruction in family medicine, general internal medicine and/or general pediatrics; (5) Physician Assistant Training in Primary Care-- to meet the costs of projects to plan, develop, and operate or maintain programs for the training of physician assistants and for the training of individuals who will teach in programs to provide training of physician assistants; (6) Residency Training in General and Pediatric Dentistry -- to meet the costs of planning, developing, or operating programs and to provide financial assistance to residents in such programs as general dentistry or pediatric dentistry in dental schools, approved residency programs in the general or pediatric practice of dentistry, approved advanced education programs in the general or pediatric practice of dentistry, or approved residency programs in pediatric dentistry .

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants may not be used for new construction, patient services. Grants may not be used for new construction, patient services.

Applicant Eligibility:

The applicant organization must meet the eligibility requirements as indicated for each program area: Residency Training in Primary Care; Physician Faculty; Development in Primary Care; Physician Assistant Training in Primary Care; Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, or public or private nonprofit entities are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Predoctoral Training in Primary Care; Academic Administrative Units in Primary Care; Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to

apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Residency Training in General and Pediatric Dentistry; Entities that have programs in accredited dental schools, approved residency programs in the general or pediatric practice of dentistry, approved advanced education programs in the general or pediatric practice of dentistry, or approved residency programs in pediatric dentistry are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Beneficiary Eligibility:

For the following programs: Residency Training in Primary Care; Physician Faculty Development in Primary Care; Physician Assistant Training in Primary Care; Public or nonprofit private hospitals, accredited schools of medicine or osteopathic medicine, or public or private nonprofit entities are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply: Predoctoral Training in Primary Care; Academic Administrative Units in Primary Care; Accredited public or nonprofit private schools of allopathic or osteopathic medicine are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply. Residency Training in General and Pediatric Dentistry; Entities that have programs in accredited dental schools, approved residency programs in the general or pediatric practice of dentistry, approved advanced education programs in the general or pediatric practice of dentistry, or approved residency programs in pediatric dentistry are eligible to apply. Faith-based and community-based organizations that meet the criteria in the preceding sentence are eligible to apply.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of application.

Appeals:

Not Applicable.

Renewals:

During the last budget period of the project period, competing continuation applications may be submitted for up to three (3) years of support.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for up to 3 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based

payment system for HHS awards.

Reports:

A BPMS and CPMS reports must be submitted annually. No cash reports are required. A progress report must be submitted for the second or subsequent budget periods within the approved project period for continued funding. Financial Status Reports are required within 90 days after the end of each budget period. A final progress report and financial status report must be submitted within 90 days after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$44,351,267; FY 10 est \$51,181,110; FY 11 est \$51,181,110

Range and Average of Financial Assistance:

The average award for FY 2009 was \$195,380 (range of \$55,000 to \$650,000).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 227 awards were made. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Brenda L. Williamson, MA, MS Ed., Branch Chief, Primary Care Medical Education Branch, Division of Medicine and Dentistry of the Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, Room 9A-20, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1467.

Headquarters Office:

Primary Care Medical Education Branch 5600 Fishers Lane, Room 9-A20, Rockville, Maryland 20857 Phone: (301) 443-1467

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Competing applications are reviewed by nonfederal review panels for technical merit recommendations. Approval of an application will be based on an analysis of the factors set forth in the application. See the section on

Application Procedures in the application guidance.

93.887 HEALTH CARE AND OTHER FACILITIES

Renovation or Construction Projects

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Omnibus Appropriations Act Public Law 111-117.

OBJECTIVES:

To construct, renovate, expand, equip, or modernize health care facilities and other health care related facilities.

TYPES OF ASSISTANCE:

Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of the fiscal year 2010 Congressional Appropriations Bill, Public Law 111-117.

Applicant Eligibility:

Eligible applicants include State and local governments, including their universities and colleges, quasi- governmental agencies, private universities and colleges, and private profit and nonprofit organizations. Organizations must be specifically earmarked in the Congressional Appropriation Bill.

Beneficiary Eligibility:

Public or other entities will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application materials are provided to organizations that were specifically earmarked in the Appropriation Bill or Conference Report, based upon information in HRSA's annual appropriation.

Award Procedure:

Only earmarked organizations may receive an award. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are usually issued for a 3-year project period. Funds must be expended

in 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

At the conclusion of the project, recipients must submit a final report on project costs (424C) and a list of equipment purchased with funds. No cash reports are required. Final Financial Report. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$258,880,378; FY 10 est \$0; FY 11 est \$259,586,910

Range and Average of Financial Assistance:

\$47,520 to \$9,419,850; \$346,006.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funds were awarded for construction, renovation, and equipment-only projects. Grants were awarded for 744 projects in Fiscal Year 2009. Fiscal Year 2010: It is anticipated that 575 grants will be awarded in Fiscal Year 2010. Fiscal Year 2011: No Current Data Available for Fiscal Year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Program Contact: Mr. David Colwander, Chief, Facilities Monitoring Branch, Division of Facilities Compliance and Recovery, Healthcare Systems Bureau, 5600 Fishers Lane, Room 10-105, Rockville, Maryland 20857 Phone: (301) 443-5656.

Headquarters Office:

David Colwander 5600 Fishers Lane, Room 11A-16, Rockville, Maryland 20857 Phone: (301) 443-5906

Website Address:

<http://www.hrsa.gov/hcofconstruction/>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Construction of a new Integrated Healthcare Facility for Hood River County in Oregon, Alteration of a 56,000 square foot, pre-existing structure for a Health Care for the Homeless, Inc. clinic in Baltimore, Maryland, and equipment for the Ochsner Clinic Foundation in New Orleans,

LA, to collect, test, quantify, process and store hematopoietic stem cells for bone marrow transplants. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.888 SPECIALLY SELECTED HEALTH PROJECTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

The Consolidated Appropriations Act of 2010 (Public Law 111-117).

OBJECTIVES:

To carry out programs that have been selected by the U.S. Congress as being needed for improved health care (earmarks).

TYPES OF ASSISTANCE:

Project Grants (for specified projects)

USES AND USE RESTRICTIONS:

All funds awarded should be expended solely for carrying out the approved projects in accordance with the provisions of the Consolidated Appropriations Act of 2010 (Public Law 111-117).

Applicant Eligibility:

State and local governments, including their universities and colleges, quasi-governmental agencies, private universities and colleges, and private profit and nonprofit organizations. Organizations must be specifically earmarked in the Congressional Appropriation Bill.

Beneficiary Eligibility:

Public or other entities will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

Only earmarked organizations may receive an award. All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

Not specified.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Awards are usually issued a one-year project period. Grant funds must be expended in 5 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. At the conclusion of the project, grantees must submit a final Federal Financial Report (FFR), a final report on project costs, and a list of equipment purchased with grant funds. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$48,678,529; FY 10 est \$41,344,380; FY 11 est not reported.

Range and Average of Financial Assistance:

No data available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Lilly Smetana, The Office of Rural Health Policy Room 10B-45, 5600 Fishers Lane, Rockville, MD 2085, (301) 443-0835.

Headquarters Office:

The Office of Rural Health Policy, 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.889 NATIONAL BIOTERRORISM HOSPITAL PREPAREDNESS PROGRAM

HPP

FEDERAL AGENCY:

Office of the Secretary, Department of Health and Human Services

AUTHORIZATION:

Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417.

OBJECTIVES:

To ready hospitals and other healthcare systems, in collaboration with other partners, to deliver coordinated and effective care to victims of terrorism and other public health emergencies.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

The distribution of funds will be to the health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands. The statute specifies appropriate use of funds.

Applicant Eligibility:

State health departments of all 50 States, the District of Columbia, the nation's three largest municipalities (New York City, Chicago and Los Angeles County), the Commonwealths of Puerto Rico and the Northern Mariana Islands, the territories of American Samoa, Guam and the United States Virgin Islands, the Federated States of Micronesia, and the Republics of Palau and the Marshall Islands.

Beneficiary Eligibility:

All State health departments listed above, hospitals and supporting health care systems.

Credentials/Documentation:

Applicants should review the individual ASPR Hospital Preparedness Program Funding Opportunity Announcement documents issued under this CFDA for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications from eligible applicants will be reviewed and final funding award recommendations forwarded to ASPR.

Award Procedure:

Notification is made in writing through a Notice of Grant Award issued from the program's Grants Management Office.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417.

Matching Requirements: Percent: 10.0%.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Project periods are limited up to 3 years. Budget periods are limited up to 12 months. See the following for information on how assistance is awarded/released: See the following for information on how assistance is awarded/released: Awardee is issued a Notice of Award, a legal document that informs the awardee that a grant award has been made. Awardee may draw down funds in advance of the incursion of an expenditure (up to three days) from the Payment Management System.

Reports:

Program reports are not applicable. Recipients must report cash transaction data via the Payment Management System (PMS) using the cash transaction data elements captured on the Federal Financial Report (FFR), Standard Form (SF) 425. Recipients will utilize the Transactions section of SF425 in lieu of the SF272. The FFR SF425 cash Transaction Report is due 30 days after the end of each calendar quarter. Semi-annual and year end progress reports are required. ASPR requires cumulative financial reporting through consecutive funding periods on the SF269 FSR long form. The FFR SF425 was designed to replace the Financial Status Report SF269 and the Federal Cash Transactions Report SF272 with one comprehensive financial reporting form. Until HHS fully migrates to the SF425 FFR, recipients are still required to submit the SF269 Financial Status Report (FSR) semi-annually within 30 days after the first 6 month period and within 90 days of the budget period end date. Grantees are required to submit final Financial Status Reports (SF-269). Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Awardees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45, CFR Part 92.

Account Identification:

75-0140-0-1-551.

Obligations:

(Formula Grants (Cooperative Agreements)) FY 09 \$362,017,984; FY 10 est \$390,500,000; FY 11 est \$390,500,000

Range and Average of Financial Assistance:

\$300,000 to \$35,000,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Robert Scott Dugas , Hospital Preparedness Program, U.S. Department of Health and Human Services, Assistant Secretary for Preparedness and Response, 395 E Street, SW, 10th Floor, Suite 1075, Room 13, Washington, District of Columbia 20024 Email: Robert.Dugas@hhs.gov Phone: (202) 245-0732

Website Address:

http://www.phe.gov

RELATED PROGRAMS:

93.003 Public Health and Social Services Emergency Fund

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

This is a formula grant program. The applicants must submit their application in accordance with fiscal year Funding Opportunity Announcement. The applications are reviewed and approved applications are processed immediately. Applications approved with conditions, require the applicant to resubmit supporting information within a prescribed time frame. Upon review and approval, the revised application can then be submitted to Grants Management for processing and issuing a notice of grant award.

93.908 NURSING EDUCATION LOAN REPAYMENT PROGRAM

Nursing Education Loan Repayment Program

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 846(a), as amended, Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

To assist in the recruitment and retention of professional registered nurses (RN) in: 1) approved critical shortage areas to increase access to quality health care by offering loan repayment in return for full-time service at an eligible health care facility with a critical shortage of nurses; and 2) nurses dedicated to serving as full-time faculty members at eligible schools of nursing.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

The program offers Registered Nurses (RN) substantial economic assistance to repay their qualifying educational loans in exchange for full-time service at a health care facility with a critical shortage of nurses. Nursing Education Loan Repayment Program (NELRP) participants enter into a contract agreeing to provide full-time service at an approved Critical Shortage Facility (CSF) for two years. In return, NELRP will pay 30 percent of the participant's total qualifying loan balance each year (total of 60 percent). A participant may be eligible to amend his/her two-year NELRP contract to serve for a third (optional) year at a CSF. If a participant works full-time for a third year in a CSF, the NELRP will pay an additional 25 percent of the participant's original qualifying loan balance, bringing the total to 85 percent of eligible loan balances. Critical Shortage Facilities currently include, but are not limited to, Indian Health Service health centers, hospitals, Federal Hospitals, Federally Qualified health centers, Native Hawaiian health centers, rural health clinics, nursing homes, home health agencies, hospice programs, State or local public health departments, and ambulatory surgical centers.

Applicant Eligibility:

Individuals who satisfy the following criteria are eligible to apply: (1) have received a baccalaureate or associate degree in nursing (or an equivalent degree), a diploma in nursing or a graduate degree in nursing; (2) have unpaid qualifying loans obtained for nursing education; (3) a U.S. citizen, national or a permanent legal resident of the United States; (4) employed full-time (32 hours or more per week) at a critical shortage facility (CSF); (5) have a current unrestricted license in the State in which they intend to practice or be authorized to practice in the State under the Nurse Licensure Compact; and (6) submit a complete application, including a signed contract to work full-time as a registered or advanced practice nurse for 2 years at an eligible health care

facility with a critical shortage of nurses.

Beneficiary Eligibility:

Beneficiaries include registered nurses who have received a diploma, an associate degree, a baccalaureate degree or a graduate degree in nursing from an accredited school of nursing.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 5 months.

Appeals:

Not Applicable.

Renewals:

Renewals for the third year of service will be considered based on the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service. See the following for information on how assistance is awarded/released: Direct Deposit into recipients account through PSC.

Reports:

The employing CSF must complete and send to the NELRP a semi-annual certification that the participating nurse has engaged in a year of full-time continuous service as a registered nurse. The certification includes an endorsement by an appropriate official of the facility. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$19,994,198; FY 10 est \$55,571,901; FY 11 est \$55,571,901

Range and Average of Financial Assistance:

\$20,000 to \$150,000, with an average award being \$53,095.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: NELRP made 392 new loan repayment contracts and 171 3rd year amendments. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Nursing Education Loan Repayment Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 594-4130.

Headquarters Office:

Division of Applications and Awards 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857 Phone: (301) 594-4130

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specific category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in section 846(e) of the PHS Act, as amended, a funding preference is given to eligible applicants with the greatest financial need. Awards are made to the highest ranked applicants until funds are expended. Please review the HRSA Preview or BCRS website at www.hrsa.gov for important information regarding funding preferences.

93.910 FAMILY AND COMMUNITY VIOLENCE PREVENTION PROGRAM

(Family Life Centers)

FEDERAL AGENCY:

Office of Minority Health, Department of Health and Human Services

AUTHORIZATION:

OMH is authorized to conduct this program under 42 U.S.C. Section 300 u-6, Section 1707 of the Public Health Service Act, as amended.

OBJECTIVES:

To establish Family Life Centers on the campuses of 24 minority institutions of higher education to: (1) assess local community resources for violence prevention projects; (2) coordinate activities with existing violence prevention projects; (3) design and implement educational interventions addressing interpersonal family violence; and (4) design and implement a project to identify students from dysfunctional families and support them with coping strategies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds will be used to support a cooperative agreement for a family and community violence program to prevent minority related violence and improve health and human services to minorities. Funds are not to be used for the provision of health care services, construction, augmentation of ongoing Office of Minority Health (OMH) supported demonstrations, or supplantation of ongoing project activities.

Applicant Eligibility:

Eligible Applicants: An institute of higher education representing 4-year undergraduate institutions and a 2 year tribal college historically identified as providing education primarily to minority students, or having a majority

enrollment of minority students.

Beneficiary Eligibility:

Minority families and communities will benefit.

Credentials/Documentation:

Proof of nonprofit status is required as part of the application submission. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All open grant opportunities are posted on www.grants.gov. Additional information and application kits may be requested by calling 240-453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel. The Deputy Assistant Secretary for Minority Health makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished with a Notice of Grant Award for all projects approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. About 60 days.

Appeals:

none.

Renewals:

> 180 Days. The cooperative agreement will have a 4-year project period with 12-month budget periods.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The cooperative agreement will have a 4-year project period with 12-month budget periods. Following the initial budget period, continued funding is subject to the availability of funds and satisfactory program performance. Payments will be made either on a monthly cash request basis or under a letter of credit. Necessary instructions regarding payment procedure will be provided at the time the notice of grant award is issued. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 74.53 and 45 CFR Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$1,000,000; FY 10 est \$1,000,000; FY 11 est \$1,000,000

Range and Average of Financial Assistance:

\$100,000 to \$250,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. Program Management Contact:
Office of Minority Health, Division of Program Operations,
1101 Wootton Parkway, Suite 600, Rockville, MD 20852. Telephone: (240) 453-8444.

Grants Management Contact:
Office of Grants Management,
1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.fcvp.org> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: The following are examples of projects funded under the cooperative agreement: (1) A database and resource directory was developed on viable violence and substance abuse services and resources; (2) A variety of education experiences were provided to youth and families to assist them in making positive life style choices and facilitating their educational pursuits; and (3) Community outreach services were provided to neighborhoods. Fiscal Year 2010: The following are examples of projects funded under the cooperative agreement: (1) A database and resource directory was developed on viable violence and substance abuse services and resources; (2) A variety of education experiences were provided to youth and families to assist them in making positive life style choices and facilitating their educational pursuits; and (3) Community outreach services were provided to neighborhoods. Fiscal Year 2011: The following are examples of projects funded under the cooperative agreement: (1) A database and resource directory was developed on viable violence and substance abuse services and resources; (2) A variety of education experiences were provided to youth and families to assist them in making positive life style choices and facilitating their educational pursuits; and (3) Community outreach services were provided to neighborhoods.

CRITERIA FOR SELECTING PROPOSALS:

Complete review criteria are published in the Federal Register Notice or can be

obtained from the Program contact. Listed below are some criteria used to review applications: (1) consistency of project's goals and objectives with the Office of Minority Health's mission; (2) coherence and feasibility of methodology and activities selected to address the problem as evidence in the proposed implementation plan; (3) strength of proposed grant organization's management capability; (4) adequacy of qualifications, experience and cultural competence of proposed personnel; and (5) strength of analysis of potential impact or innovation the project proposes to generate.

**93.912 RURAL HEALTH CARE SERVICES OUTREACH, RURAL HEALTH NETWORK DEVELOPMENT AND SMALL HEALTH CARE PROVIDER QUALITY IMPROVEMENT PROGRAM
FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act. Section 330A(e) (42 U.S.C. 254c).

OBJECTIVES:

To expand delivery of health care services in rural areas, for the planning and implementation of integrated health care networks in rural areas, and for planning and implementation of small health care provider quality improvement activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

All funds awarded are to be expended solely for carrying out the approved projects.

Applicant Eligibility:

Rural Health Care Services Outreach, Rural Health Network Development and Rural Health Network Development Planning Programs: Rural public or nonprofit private entities that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county; or an organization is constituted exclusively to provide services to migrant and seasonal farmworkers in rural areas and supported under Section 330G of the Public Health Service Act; or the applicant is a Tribal government whose grant-funded activities will be conducted within their Federally-recognized Tribal area.

Rural Health Workforce Development Program: Rural public or nonprofit private entities that include three or more health care providers and at least one accredited health care workforce training organization that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county; or an organization is constituted exclusively to provide services to migrant and seasonal farmworkers in rural areas and supported under Section 330G of the Public Health Service Act; or the applicant is a Tribal government whose grant-funded activities will be conducted within their Federally-recognized Tribal area

Small Health Care Provider Quality Improvement Program: This program is available to support rural public, rural non-profit, or other providers of healthcare services, such as a critical access hospital or rural health clinic. (1) The applicant must be located in a rural area, as determined by eligible rural county or census tracts, and all services must be provided in a rural county or census tract. (2) The applicant exists exclusively to provide services to migrant and seasonal farmworkers in rural areas and is supported under Section 330G of the Public Health Service Act. (3) The applicant is a Tribal government whose grant-funded activities will be conducted within their Federally-recognized Tribal area (documentation of status as a Federally-recognized Native American Tribe must be included).

Delta States Rural Development Network Grant Program: rural, nonprofit or public entities that represent a consortium of three or more diverse organizations that deliver health care services in eligible rural Delta counties/parishes..

Frontier Extended Stay Cooperative Agreement Program: Rural public or nonprofit private entities that include three or more health care providers that provide or support the delivery of health care services. The administrative headquarters of the organization must be located in a rural county or a rural zip code of an urban county; or an organization is constituted exclusively to provide services to migrant and seasonal farmworkers in rural areas and supported under Section 330G of the Public Health Service Act; or the applicant is a Tribal government whose grant-funded activities will be conducted within their Federally-recognized Tribal area.

To ascertain rural eligibility, please refer to <http://datawarehouse.hrsa.gov/RuralAdvisor/>. This website can be searched by eligibility by county and by address.

Beneficiary Eligibility:

Medically underserved populations in rural areas will receive expanded services in rural communities where they did not previously exist.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 6-9 months.

Appeals:

Not Applicable.

Renewals:

Rural Health Care Services Outreach and Rural Health Network Development awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of an application, availability of appropriated funds, and awardees satisfactory performance.

Rural Health Workforce Development Program awards may be made for up to 3-year project periods. After initial awards, projects may be renewed non-competitively contingent upon approval of application, availability of appropriated funds, and awardees satisfactory performance.

Rural Health Network Planning Awards are only available for one year project periods.

The Small Health Care Provider Quality Improvement awards may be up to three year project periods. After initial awards, projects may be renewed non-competitively contingent upon availability approval of an application,

availability of appropriated funds, and awardees satisfactory performance.

The Delta States Rural Development Network awards may be up to three year project periods. After initial awards, projects may be renewed non-competitively contingent upon availability approval of an application, availability of appropriated funds, and awardees satisfactory performance. The Frontier Extended Stay Clinic award may be up to four year project period. Subject to availability of funds, after initial awards, may be renewed non-competitively contingent upon submission and availability of appropriated funds.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Some programs have MOE requirements; please refer to the funding opportunity announcement for further information. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Awards are made annually and funds are available to grantees on an as needed basis throughout the budget period. Payments are made through the Payment Management System (PMS) which is the centralized web based payment system for HHS awards. As necessary, grantees can draw down funds from PMS. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

An electronic Federal Financial Report (FFR) is due to the PMS within 30 days of the end of each quarter. An FFR is due to HRSA within 90 days after the close of each budget period via Electronic HandBook (EHB) submission. A performance progress report is submitted with each non-competing continuation application. Grantees will be required to submit a Performance Improvement Measurement System (PIMS) report through the EHB once a year throughout their project period. A final Program report must be submitted to HRSA within 90 after the close of the project period. An electronic Federal Financial Report (FFR) is due to the PMS within 30 days of the end of each quarter. An FFR is due to HRSA within 90 days after the close of each budget period via Electronic HandBook (EHB) submission. A performance progress report is submitted with each non-competing continuation application. Grantees will be required to submit a Performance Improvement Measurement System (PIMS) report through the EHB once a year throughout their project period. A final Program report must be submitted to HRSA within 90 after the close of the project period. An electronic Federal Financial Report (FFR) is due to the PMS within 30 days of the end of each quarter. An FFR is due to HRSA within 90 days after the close of each budget period via Electronic HandBook (EHB) submission. A performance progress report is submitted with each non-competing continuation application. Grantees will be required to submit a Performance Improvement Measurement System (PIMS) report through the EHB once a year throughout their project period. A final Program report must be submitted to HRSA within 90 after the close of the project period. No expenditure reports are required. A performance progress report is submitted with each noncompeting continuation application. Grantees will be required to submit a Performance Improvement Measurement System (PIMS) report through the Electronic HandBook (EHB) once a year throughout their project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$56,000,000; FY 10 est \$56,000,000; FY 11 est \$56,000,000

Range and Average of Financial Assistance:

A maximum of \$150,000 for the first year of funding for the Rural Health Care Services Outreach Grant; A maximum of \$180,000 for the first year of funding for the Rural Health Network Development Grant; A maximum of \$85,000 for funding for the Rural Health Network Development Planning Grant; A maximum of \$200,000 for the first year of funding for the Rural Health Workforce Development Grant; A maximum of \$100,000 for the Small Health Care Provider Quality Improvement Grant; A maximum of \$510,000 for the Delta States Rural Development Network Grant; A maximum of \$1,500,000 for the Frontier Extended Stay Clinic demonstration.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Health Resources and Services Administrations Office of Rural Health Policy is currently collecting data through the Performance Improvement Measurement System (PIMS). Fiscal Year 2010: Health Resources and Services Administrations Office of Rural Health Policy is currently collecting data through the Performance Improvement Measurement System (PIMS). Fiscal Year 2011: Health Resources and Services Administrations Office of Rural Health Policy is currently collecting data through the Performance Improvement Measurement System (PIMS).

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contacts:

Ms. Kathryn Umali, Rural Health Care Services Outreach Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 10B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Ms. Leticia Manning, Rural Health Network Development Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 10B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Ms. Eileen Holloran, Rural Health Network Development Planning Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 10B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Ms. Christina Villalobos, Rural Health Workforce Development Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 10B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Ms. Elizabeth Rezai-zadeh (Alternate contact: Ann Ferrero), Small Health Care Provider Quality Improvement Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 10B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Ms. Valerie Darden Delta States Rural Development Network Grant Program Coordinator, Office of Rural Health Policy, Health Resources and Services Administration, Room 10B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0835.

Ms. Caroline Cochran, Frontier Extended Stay Clinic Demonstration, Office of Rural Health Policy, Health Resources and Services Administration, Room 10B-45, Parklawn Building, 5600 Fishers Lane, Rockville, MD 29857. Telephone: (301) 443-0835.

Headquarters Office:

Office of Rural Health Policy 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

<http://www.hrsa.gov/ruralhealth/>

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Criteria are based on the need of the project, innovation, realistic and measurable goals and objectives, clearly defined roles of each network member, strength of applicant's management plan, community involvement, level of local commitment, costs, and program evaluation plan. Specific criteria will be included in the guidance for each program.

93.913 GRANTS TO STATES FOR OPERATION OF OFFICES OF RURAL HEALTH**FEDERAL AGENCY:**

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 338J; 42 U.S.C. 254r, as amended by sec. 301 P.L. 105-392.

OBJECTIVES:

To improve health care in rural areas through the establishment of State Offices of Rural Health (SORH).

For the CA to build and sustain rural health infrastructure by providing technical assistance to rural communities, to provide guidance and best practices on rural health networks and to improve quality of rural health care.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

This is a matching grant program with States to support the establishment and ongoing operation of Offices of Rural Health. While a wide range of activities can be conducted to address unique State needs, each State Office of Rural Health must (1) Establish an information clearinghouse, (2) coordinate State and Federal rural health programs throughout the State, (3) provide technical assistance that will improve participation in State and Federal programs, and (4) work to improve availability of health professionals in rural areas.

Applicant Eligibility:

All fifty States may apply. Each State can only submit one application.

Beneficiary Eligibility:

Underserved populations in rural areas; facilities and services in rural areas.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 2 to 3 months.

Appeals:

Not Applicable.

Renewals:

Grantees may request funding beyond an initial project period by submitting a competing continuation application during a regular competing application cycle. All competitive cycles are contingent upon availability of funds for such purposes.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. (Grants) States must match at least \$3 for each \$1 of Federal funds.

(CA) No statutory formula or matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant applications should be submitted for a 5-year project period. Awards are normally made in a lump sum for an entire 12-month budget period. Payments are made annually either through a letter of credit or cash demand system. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A financial report (FFR) is required to be submitted to Grants Management within 90 days of the end of the budget period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$360,000; FY 10 est \$450,000; FY 11 est \$450,000. (Project Grants) FY 09 \$8,900,000; FY 10 est \$8,200,000; FY 11 est \$8,900,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contacts: Keith Midberry, Program Coordinator, State Office of Rural Health Grant Program, Office of Rural Health Policy, Health Resources and Services Administration, Public Health Service, Parklawn Building, Room 10B-45, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2669; E-mail: kmidberry@hrsa.gov.

Headquarters Office:

The Office of Rural Health Policy 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(Grants) Grant applications will be evaluated on the basis of the following criteria: (1) The extent to which the application is responsive to the requirements and purposes of the program; (2) the extent to which the applicant has developed measurable goals, objectives, and an evaluation plan for the required, and any discretionary, activities; (3) the extent to which the Office is coordinated with, and has the cooperation of, other health entities and activities within the State; (4) the strength of the applicant's plans for administrative and financial management of the Office; (5) the reasonableness of the budget proposed for the Office; and (6) the likelihood that the Office will be continued after Federal grant support is completed.

(CA) Applications will be reviewed and rated on the applicant's ability to address the following areas: (1) Developing and disseminating knowledge through the solicitation, review, selection, compilation, and circulation of manuscripts derived from relevant research conducted on rural health issues; (2) organizing, publicizing, and managing meetings to enhance the dissemination of information on rural health care issues, including minority rural health, rural health clinics, and recruitment and retention of health care professionals in rural areas; (3) providing technical and other nonfinancial assistance to State offices of rural health to increase their capability to serve rural residents; (4) developing a network to promote recruitment and retention of health professionals in rural areas; and (5) providing updated information to the public about rural health clinics.

93.914 HIV EMERGENCY RELIEF PROJECT GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part A, as amended, Public Law 109-415; Ryan White HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

To provide direct financial assistance to eligible metropolitan areas (EMAs) and Transitional Grant Areas (TGAs) that have been the most severely affected by the Human Immunodeficiency Virus (HIV) epidemic to develop, organize and operate programs that provide an effective, appropriate and cost efficient continuum of health care and support services for the individuals and families with HIV disease.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds received under a grant are to be used to fund 75 percent core medical services and 25 percent support services. Core medical services include: outpatient and ambulatory health services, AIDS Drug Assistance Program, AIDS, pharmaceutical assistance, oral health care, early intervention services, medical nutritional therapy, substance abuse treatment and mental health treatment, medical case management, including treatment and adherence services. Support services for individuals with HIV/AIDS to achieve medical outcomes (such as respite care for persons caring for individuals with HIV/AIDS, outreach services, medical transportation, linguistic services and referral for health care and support services). A percentage of the grant, determined by the percentage of women, infants, and children in the area with Acquired Immune Deficiency Syndrome, will be used to provide health and support services to infants, children, and women with HIV disease, including treatment measures to prevent the perinatal transmission of HIV. No more than 10 percent of amounts received under a grant may be used to fund grant administration and monitoring activities, program support activities and all activities associated with grantee contract award procedures. Funds may not be used to supplant or replace the resources of such institutional providers that are already devoted to the support of personnel providing HIV-related services. Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make payments to recipients of services.

Applicant Eligibility:

Eligible metropolitan areas (EMA) with a population of 50,000 or more individuals for which the Centers for Disease Control and Prevention has reported a cumulative total of more than 2,000 HIV/AIDS cases for the most recent period of 5 calendar years period. EMAs include: Atlanta, Georgia; Baltimore, Maryland; Boston, Massachusetts; Chicago, Illinois; Dallas, Texas; Detroit, Michigan, Ft. Lauderdale, Florida; Houston, Texas; Los Angeles, California; Miami, Florida; New Orleans, Louisiana; New York, New York; Newark, New Jersey; Orlando, Florida; Philadelphia, Pennsylvania; Phoenix, Arizona; San Diego, California; San Francisco, California; San Juan, Puerto Rico; Tampa-St. Petersburg, Florida; Washington, DC; and West Palm Beach, Florida. Transitional Grant areas (TGA) with a population of 50,000 or more individuals for which the Centers for Disease Control and Prevention has reported a cumulative total of at least 1,000, but not more than 1,999 HIV/AIDS cases for the more recent five year period. TGAs include: Austin, Texas; Bergen-Passaic, Caguas, Puerto Rico; Cleveland, Ohio; Denver, Colorado; Dutchess County, New York; Fort Worth, Texas; Hartford, Connecticut; Jacksonville, Florida; Jersey City, New Jersey; Kansas City, Missouri; Las Vegas, Nevada; Middlesex, New Jersey; Minneapolis, Minnesota; Nassau/Suffolk Counties, New York; New Haven, Connecticut; Norfolk, Virginia; Oakland, California; Orange County, California; Ponce, Puerto Rico; Portland, Oregon; Riverside-San Bernardino, California; Sacramento, California; St. Louis, Missouri; San Antonio, Texas; San Jose, California; Santa Rosa, California; Seattle, Washington; and Vineland, New Jersey.

Beneficiary Eligibility:

Individuals and families with HIV disease will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

About 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month budget period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Annual progress and annual financial reports are required. Annual reports are to be submitted within 90 days after the end of each budget period. The final performance report and final financial status reports are due 90 days from the end of the project period. Reports documenting services and costs will also be required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$663,082; FY 10 est \$679,074; FY 11 est \$679,074

Range and Average of Financial Assistance:

\$875,000 to \$102,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: See www.hrsa.gov for additional information on program

accomplishments. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Douglas Morgan, Director, Division of Service Systems, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 7A-55, Rockville, MD 20857. Telephone: (301) 443-6745.

Headquarters Office:

Division of Service Systems, 5600 Fishers Lane, Room 7-A55, Rockville, Maryland 20857 Phone: (301) 443-6745.

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.917 HIV Care Formula Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications must meet seven criteria set forth in the enacting legislation: (1) Contain a report concerning the dissemination of emergency relief funds and the plan for utilization of such funds; (2) document demonstrate need in such area for supplemental financial assistance to combat the HIV epidemic; (3) demonstrate the existing commitment of local resources of the area, both financial and in-kind, to combat the HIV epidemic; (4) demonstrate the ability of the area to utilize such supplemental financial resources in a manner that is immediately responsive and cost-effective; (5) demonstrate that resources will be allocated in accordance with the local demographic incidence of AIDS including appropriate allocations for services for infants, children, women, and families with HIV disease; (6) demonstrate the inclusiveness of the planning council membership, with particular emphasis on affected communities and individuals with HIV disease; and (7) demonstrate the manner in which proposed services are consistent with the local needs assessment and the statewide coordinated statement of need.

93.917 HIV CARE FORMULA GRANTS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part B, as amended, Public Law 106-415, Ryan White HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

To enable States to improve the quality, availability, and organization of health care and support services for individuals and families with Human Immunodeficiency Virus (HIV) disease.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds received under a grant are to be used to fund 75 percent core medical services and 25 percent support services. Core medical services include: outpatient and ambulatory health services, AIDS Drug Assistance Program, AIDS, pharmaceutical assistance, oral health care, health insurance premium, home health care; home and community-based health services, early intervention services, medical nutritional therapy, substance abuse treatment

and mental health treatment, medical case management, including treatment and adherence services. Support services for individuals with HIV/AIDS to achieve medical outcomes (such as respite care for persons caring for individuals with HIV/AIDS, outreach services, medical transportation, linguistic services and referral for health care and support services). Funds may be used to establish and operate HIV Care Consortia within areas most affected by HIV disease that shall be designed to provide a comprehensive continuum of care to individuals and families with HIV disease; provide home and community-based care services for individuals with HIV disease; provide assistance to assure the continuity of health insurance coverage for individuals with HIV disease; and provide therapeutics to treat HIV disease to individuals with HIV disease. A State shall use a percentage of the grant, determined by the percentage of infants, children, and women with acquired immune deficiency syndrome in the State, to provide health and support services to infants, children, and women with HIV disease, including treatment measures to prevent the perinatal transmission of HIV. Funds may not be used to purchase or improve land, or to purchase, construct or make permanent improvement to any building except for minor remodeling. Funds may not be used to make payments to recipients of services. A State may not use more than 10 percent of amounts received under this grant for administration, planning and evaluation activities. If a State receives the minimum allotment, it may not use more than the amount required to support one full-time equivalent employee for these activities. Seventy-five (75) percent of grant funds must be obligated within 120 days of the budget period start date.

Applicant Eligibility:

All 50 States of the United States, and the District of Columbia and U.S. Territories (and possessions) of the United States, the Commonwealths of Puerto Rico, Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa and the Republic of the Marshall Islands, Federated States of Micronesia, the Republic of Palau.

Beneficiary Eligibility:

Individuals and families with HIV disease.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

None.

Appeals:

Not Applicable.

Renewals:

Yes.

Formula and Matching Requirements:

Statutory Formula:

Matching Requirements: Varies.

This program has MOE requirements, see funding agency for further details. See funding agency for further details.

Length and Time Phasing of Assistance:

Grants are awarded for a 12-month budget period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. Semiannual progress reports are required. The Financial Status Report is due 90 days following the end of each budget period. A final progress report and financial status report are due 90 days following the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 09 \$1,195,563,986; FY 10 est \$1,203,976,183; FY 11 est \$1,283,791,000 - FY 10 awards for the Part B program (CFDA 93.917) provided in the above total does not include the ADAP RFI amount of \$24,999,313.

Range and Average of Financial Assistance:

\$50,000 to \$171,786,592; \$17,963,976.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Douglas Morgan, Director, Division of Service Systems, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 7A-55, Parklawn Building, Rockville, MD 20857. Telephone: (301) 443-6745.

Headquarters Office:

Division of Service Systems, 5600 Fishers Lane, Room 7-A55, Rockville, Maryland 20857 Phone: (301) 443-6745.

Website Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

93.914 HIV Emergency Relief Project Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Grants will be awarded to applicants that submit; (1) An acceptable detailed description of the HIV-related services provided in the State to individuals and families with HIV disease during the year preceding the year for which the grant is requested, and the number of individuals and families receiving such services; (2) a comprehensive plan for the organization and delivery of HIV health care and support services to be funded with assistance received under this part that shall include a description of the purposes for which the State intends to use such assistance; (3) an assurance that the public health agency administering the grant for the State will periodically convene a meeting of individuals with HIV, representatives of grantees receiving both HIV Emergency Relief and HIV CARE Grants, providers, and public agency representatives to develop a statewide coordinated statement of need; and (4) an assurance by the State that; (A) the public health agency that is administering the grant for the State will conduct public hearings concerning the proposed use and distribution of the assistance to be received; and (B) the State will (a) to the maximum extent practicable, ensure that HIV-related health care and support services delivered pursuant to a program established with assistance provided under this part will be provided without regard to the ability of the individual to pay for such services and without regard to the current or past health condition of the individual with HIV disease; (b) ensure that such services will be provided in a setting that is accessible to low-income individuals with HIV disease; (c) provide outreach to low-income individuals with HIV disease to inform such individuals of the services available; (d) for continuum of health insurance coverage, submit a plan to the Secretary that demonstrates that the State has established a program that assures that (1) Such amounts will be targeted to individuals who would not otherwise be able to afford health insurance coverage; and (2) income, asset, and medical expense criteria will be established and applied by the State to identify those individuals who qualify for assistance under such program, and information concerning such criteria shall be made available to the public; (e) the State will provide for periodic independent peer review to assess that quality and appropriateness of health and support services provided by entities that receive funds from the State; (f) the State will permit and cooperate with any Federal investigations undertaken regarding programs; (g) the State will maintain HIV-related activities at a level that is equal to not less than the level of such expenditures by the State for the 1-year period preceding the fiscal year for which the State is applying to receive a grant; and (h) the State will ensure that grant funds are not utilized to make payments for any item or service to the extent that payment has been made.

93.918 GRANTS TO PROVIDE OUTPATIENT EARLY INTERVENTION SERVICES WITH RESPECT TO HIV DISEASE

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part C, as amended, Public Law 109-415, Ryan White Care HIV/AIDS Treatment Extension Act of 2009.

OBJECTIVES:

To increase the capacity of entities that provide comprehensive primary care services to persons with Human Immunodeficiency Virus (HIV) infection, or who are at-risk of infection, and to offer more early intervention services. The services will include increased counseling and testing, partner involvement in risk reduction, transmission prevention, appropriate primary care diagnostic and treatment services, and, as needed, case-management to ensure that individual service needs are met. A capacity development program provides assistance to organizations wishing to develop an expanded HIV program, particularly in rural and underserved areas and communities of color.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applications should be designed to improve the availability, accessibility and organization of ambulatory health services to persons infected with HIV or who are at high risk. Funds may not be used for acquiring property, used for

inpatient or residential care, and no more than 10 percent of the Federal funds may be used for administrative costs.

Applicant Eligibility:

Eligible applicants are public and private nonprofit entities that are: federally qualified health centers under Section 1905(1)(2)(B) of the Social Security Act; grantees under Section 1001 (regarding family planning) other than States; comprehensive hemophilia diagnostic and treatment centers; rural health clinics; health facilities operated by or pursuant to a contract with the Indian Health Service; community based organizations, clinics, hospitals and other health facilities that provide early intervention services to those persons infected with HIV/AIDS through intravenous drug use; or nonprofit private entities that provide comprehensive primary care services to populations at-risk of HIV/AIDS, including faith-based and community-based organizations. Capacity development grants require that applicants be public or private non-profit organizations that are or intend to become HIV Primary Care Providers.

Beneficiary Eligibility:

Persons infected with HIV or who are at high risk of HIV infection.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120-180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. This program has no statutory formula for the amount of the grant. The amount is negotiated based on costs of the proposed grant activities. Grantees are not required to match Federal funds under the grant; however, the level of nonfederal expenditures for early intervention services must be maintained at the level for the year prior to the grant award. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Early Intervention Services grants may be made for up to 5-year project

periods. Continued support, beyond the first year, is contingent upon satisfactory performance and the availability of Federal funds. Capacity development grants may be funded at up to \$150,000 for up to 3 years. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

The grantee must also submit the annual Ryan White Data Report and the Ryan White Services Report due March 15 each year, covering the just-ended Jan. 1 - Dec. 31 calendar year. Grantees must also submit an Allocations Report, 60 days after the notice of grant award. No cash reports are required. Grantees must submit a Federal Financial Report on SF 245 within 90 days after the end of the budget period. Additionally, each funded program must submit a budget period renewal application each year prior to the end of the budget period. A final financial status report and final performance report must be submitted 90 days after the end of the project period. Expenditures Report within 90 days after the end of the budget period. (OMB 0915-0318). Additionally, each funded program must submit a non-competing application each year prior to the end of the budget period. A final financial status report and final performance report must be submitted 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$187,169,806; FY 10 est \$192,346,485; FY 11 est \$197,346,485. (Project Grants) FY 09 \$1,581,639; FY 10 est \$1,000,000; FY 11 est \$1,000,000 - These figures are for the EIS program.

Range and Average of Financial Assistance:

\$100,000 to \$650,000; \$350,000. Planning grants are limited to \$50,000 and Capacity Development grants are limited to \$150,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. For Early Intervention Services Grants: Kathleen Treat, Division of Community Based Programs, HIV/AIDS Bureau, Health Resources and Services Administration, 5600 Fishers Lane, Room 7A-30, Rockville, MD 20857. Telephone: (301) 443-7602. For Capacity Development Grants: Dora Ober at (301) 443-0759 or at the same address as above.

Headquarters Office:

Division of Community Based Programs 5600 Fishers Lane, Room 7-A30,

Rockville, Maryland 20857 Phone: (301) 443-0493

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Evaluations will be based on (1) Applicant's assessment of community need for additional preventive and primary care services to those with, and at risk for, HIV infection; (2) applicant's ability to describe its role in addressing unmet needs; (3) appropriateness of the proposed budget; (4) comprehensiveness of existing and proposed services; (5) collaboration with other local city, county, State HIV-prevention and treatment activities; and (6) adequacy and completeness of applicant's program evaluation plan.

93.919 COOPERATIVE AGREEMENTS FOR STATE-BASED COMPREHENSIVE BREAST AND CERVICAL CANCER EARLY DETECTION PROGRAMS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Breast and Cervical Cancer Mortality Prevention Act of 1990, Section 301(a), Section 317(k)(3), and Section 1501, Public Law 101-354; 42 U.S.C.241a, 42 U.S.C. 247b(k)(3), and 42 U.S.C. 300K; Public Law 103-183, Public Law 101-354, 42 U.S.C 241,247,300.

OBJECTIVES:

To work with official State and territorial health agencies or their designees, and tribal health agencies in developing comprehensive breast and cervical cancer early detection programs. To the extent possible, increase screening and follow-up among all groups of women in the State, tribe or territory, with special to reach those women who are of low income, uninsured, underinsured and minority, and Native Americans.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative agreements funds may be used to assure screening of women for breast and cervical cancer as an early detection preventive measure; assure appropriate referrals for follow-up services for women with abnormal screening tests and routine rescreening; develop and disseminate public education and outreach programs for the early detection and control of breast and cervical cancers; improve the education, training and skills of health professionals (including allied health professionals) in the early detection and control of breast and cervical cancers; establish mechanisms through which the States, tribes and territories can monitor the quality of breast and cervical cancer screening procedures in the State, including the interpretation of such procedures; and evaluate program activities through appropriate surveillance and monitoring. Cooperative agreement funds may not be expended for screening and follow-up services to the extent that payment has been made, or can reasonably be expected to be made, with respect to such items or services: (1) under any State compensation program, under any insurance policy or under any Federal or State health benefits program; or (2) by any entity that provides health services on a prepaid basis. Cooperative agreement funds shall not be used for treatment or treatment services. States, tribes and territories are required to make available nonfederal contributions in cash or in-kind toward such cost in an amount equal to not less than \$1 for each \$3 of Federal funds provided. Such contributions may be made directly or through donations from public or private entities. The payment for treatment services or the donation of treatment service may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contributions in excess of the average amount of nonfederal contributions made by the State, tribe or territory for the two year period preceding the first fiscal year for which the State, tribe

or territory is applying to receive a cooperative agreement for a comprehensive breast and cervical cancer early detection program. In making a determination of the amount of nonfederal contributions for purposes of matching fund requirements, applicants may include any nonfederal amounts expended pursuant to Title XIX of the Social Security Act for the purpose of screening and follow-up for women at-risk for breast and cervical cancers. Can not be used for treatment.

Applicant Eligibility:

Eligible applicants are the official State health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, American Samoa, American Indian and Alaska Native tribes and tribal organizations as defined in Section 4 of the Indian Self-Determination and Education Assistance Act.

Beneficiary Eligibility:

Official State and Territorial health agencies, women especially low-income women.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, identify and describe nonfederal contributions, and provide a budget with justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from Mr. Hector Buitrago in the Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, Georgia 30341. Telephone: (770) 488-2921. This program is subject to the provisions of 45 CFR 92 for State and local governments. The standard application forms, as furnished by PHS and required by 45 CFR 92 must be used for this program.

Award Procedure:

After objective review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

None.

Renewals:

Information on renewals may be obtained from Hector Buitrago, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341; Telephone: (770) 488-2921.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 35.%. Public Law 101-354 requires States, tribes and territories to make available nonfederal contributions (cash or in-kind) toward such costs in an amount equal to not less than \$1 of matching for every \$3 of Federal dollars provided in this cooperative agreement. Such contributions may be made directly or through donations from public or private entities. Payment for treatment services or the donation of treatment services

may not be used for nonfederal contributions. States, tribes and territories may include only nonfederal contribution in excess of the average amount of nonfederal contributions made by the State, tribe territory for the two year period preceding the first fiscal year for which the State, tribe or territory is applying to receive a cooperative agreement for a comprehensive Breast and cervical cancer early detection program.

This program has MOE requirements, see funding agency for further details. The average amount of non-Federal contributions toward breast and cervical cancer programs and activities for the two year period preceding the first Federal fiscal year of funding for NBCCEDP is referred to as Maintenance of Effort (MOE). Only those non-Federal contributions in excess of the MOE amount may be considered matching funds. Supplanting, or replacing, existing program efforts currently paid with Federal or non-Federal sources is not allowable.

Length and Time Phasing of Assistance:

From 1 to 5 years. Budget period is 12 months. Assistance is awarded through the Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

Interim Progress Reports (IPR) are due on or about January 30 of each year and Annual Progress Report are due 90 days after the end of the budget period. Grantees must address fiscal progress in the IPR & Annual Reports. Financial status reports (FSRs) are due 90 days after the end of the budget period. Interim Progress Reports (IPR) are due on or about January 30 of each year and Annual Progress Report are due 90 days after the end of the budget period. Final performance and FSRs are due no more than 90 days after the end of the project period. Final performance and FSRs are due no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$159,825,794; FY 10 est \$161,160,063; FY 11 est \$161,160,063

Range and Average of Financial Assistance:

\$233,558 to \$8,303,092; \$2,350,379.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In fiscal 2009, the Centers for Disease Control and Prevention (CDC) entered into the 19th year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC supports programs in all 50 states, 5 US territories, the District of Columbia, and 12 Tribes/Tribal Organizations. Fiscal Year 2010: In fiscal 2010, the Centers for Disease Control and Prevention (CDC) entered into the 20th year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to continue support of programs in all 50 states, 5

US territories, the District of Columbia, and 12 Tribes/Tribal Organizations. Fiscal Year 2011: In fiscal 2011, the Centers for Disease Control and Prevention (CDC) will enter into the 21st year of the National Breast and Cervical Cancer early Detection Program (NBCCEDP). This landmark Program brings critical breast and cervical cancer screening services to underserved women, including older women, women with low income, and women of racial and ethnic minority groups. CDC plans to continue support of programs in all 50 states, US territories, the District of Columbia, and Tribes/Tribal Organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are no regulations, but guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, applies to cooperative agreements. Public Law 101-354 (August 10, 1994) places specific requirements on monies from this law which are to be used for funding State-based breast and cervical cancer early detection programs.

Regional or Local Office:

None.

Headquarters Office:

Faye L Wong, 4770 Buford Highway, Mailstop K57, Atlanta, Georgia 30341
Email: FWong@cdc.gov Phone: (770) 488-4880 Fax: (770) 488-3230.

Website Address:

<http://www.cdc.gov/cancer>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Grantees provided screening and follow-up for low income women, State health agencies incorporated into their health care system: (1) Public Education: (a) population targeted for screening and follow-up services; (b) for women (other than low income) requiring periodic screening and follow up services; (2) Professional Education: (a) practitioners providing screening and follow-up services for targeted low income women; (b) for all practitioners who will provide or refer women (other than low income) for required periodic screening and follow-up services (3) quality assurance: (a) mammography; (b) cervical cytology; (4) surveillance: (a) breast and cervical cancer incidence registry; (b) tracking and follow-up system; (5) evaluation: (a) implementation of all program components; (b) effectiveness of all program components; and (6) breast and cervical cancer control plan and coalition. Fiscal Year 2010: Grantees will continue to provide screening and follow-up for low income women, State health agencies will incorporate into their health care system: (1) Public Education: (a) population targeted for screening and follow-up services; (b) for women (other than low income) requiring periodic screening and follow up services; (2) Professional Education: (a) practitioners providing screening and follow-up services for targeted low income women; (b) for all practitioners who will provide or refer women (other than low income) for required periodic screening and follow-up services (3) quality assurance: (a) mammography; (b) cervical cytology; (4) surveillance: (a) breast and cervical cancer incidence registry; (b) tracking and follow-up system; (5) evaluation: (a) implementation of all program components; (b) effectiveness of all program components; and (6) breast and cervical cancer control plan and coalition. Fiscal Year 2011: In addition to providing screening and follow-up for low income women, State health agencies incorporate into their health care system: (1) Public Education: (a) population targeted for screening and follow-up services; (b) for women (other than low income) requiring periodic screening and follow up services; (2) Professional Education: (a) practitioners providing screening and follow-up services for targeted low income women; (b) for all practitioners who will provide or refer women (other than low income) for required periodic screening and follow-up services (3) quality assurance: (a) mammography; (b) cervical cytology; (4) surveillance: (a) breast and cervical cancer incidence registry; (b) tracking and follow-up system; (5) evaluation: (a) implementation of all program components; (b) effectiveness of all program components; and (6) breast and cervical cancer control plan and coalition.

CRITERIA FOR SELECTING PROPOSALS:

(1) Extent of disease burden and need; (2) feasibility and appropriateness of operational plan to meet the purpose of the cooperative agreement; (3) the extent of collaboration and community involvement; (4) the extent to which the

applicant appears likely to succeed in implementing proposed objectives; (5) the appropriateness of nonfederal contributions; and (6) the extent to which the budget is reasonable, consistent with the intended use of cooperative agreement funds, and includes evidence of the State's commitment to the program application of financial and/or in-kind contributions from nonfederal sources to activities of the proposed program.

93.923 DISADVANTAGED HEALTH PROFESSIONS FACULTY LOAN REPAYMENT (FLRP) AND MINORITY FACULTY FELLOWSHIP PROGRAM (MFFP)

FLRP/MFF

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 738(b), 42 U.S.C. 293, as amended; Health Professions Education Partnerships Act of 1998, Public Law 105-392.

OBJECTIVES:

The purpose of the Minority Faculty Fellowship Program is to assist eligible entities in increasing the number of underrepresented minority faculty at health professions schools. The applicant school shall demonstrate its ability to: (1) identify, recruit, and select under-represented minority individuals who have potential for teaching, administration, or conducting research at health professions schools; (2) provide such individuals with the skills necessary to enable them to: (a) secure a tenured faculty position, or its equivalent, according to the policies of the school; (b) learn pedagogical skills and program administration; (c) design and conduct research; (d) write grants; and (e) prepare articles suitable for publication in peer reviewed journals; (3) provide services designed to assist such individuals in their preparation for an academic career, including provision of counselors; and 4) enable the fellow to provide health services to rural and/or medically underserved populations.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROJECT GRANTS

USES AND USE RESTRICTIONS:

FLRP - Funds will be used to repay qualifying health professions educational loans consisting of principal and interest on loans incurred by the applicant while enrolled in a school in return for serving a minimum of least 2 years as a full-time or part-time faculty member at one of the following accredited health profession schools: medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, public health, or a school that offers a graduate program in clinical psychology, and certain allied health programs.

MFFP - To be able to receive an MFFP grant under section 738 (b) of the Public Health Service Act, an entity should provide an assurance in the application that each fellowship awarded will include: (1) a stipend in an amount not exceeding 50 percent of the regular salary of a faculty member not to exceed 3 years of training; and (2) an allowance for other expenses such as travel to professional meetings and costs related to specialized training.

Applicant Eligibility:

FLRP - Individuals who are from disadvantaged backgrounds.

MFFP - Fellowship recipients must meet the following conditions: (1) fall under the definition of underrepresented minority individuals (URM). URM is defined as racial and ethnic populations who are underrepresented in the designated health profession discipline relative to the number of individuals who are members of the population involved. This definition would include Black or African American, American Indian or Alaska Native, Native Hawaiian or Other Pacific Islander, Hispanic or Latino, and any Asian other than Chinese, Filipino, Japanese, Korean, Asian Indian or Thai. (2) be a U.S. citizen, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, except in the case of programs specifically designed for support of foreign nationals. Individuals on temporary or student visas are not eligible to receive PHS fellowship or training grant support.

Beneficiary Eligibility:

FLRP - Individuals who are from disadvantaged backgrounds. MFFP - Fellowship recipients must meet the following conditions: (1) Must fall under the definition of underrepresented minority individuals' (URM). URM is defined as racial and ethnic populations who are under-represented in the designated health profession discipline relative to the number of individuals who are members of the population involved. This definition would include Black or African American, American Indian or Alaska Native, Native Hawaiian or Other Pacific Islander, Hispanic or Latino, and any Asian other than Chinese, Filipino, Japanese, Korean, Asian Indian or Thai. (2) the fellow must be a U.S. citizen, non-citizen national, or foreign national who possesses a visa permitting permanent residence in the United States, except in the case of programs specifically designed for support of foreign nationals. Individuals on temporary or student visas are not eligible to receive PHS fellowship or training grant support.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

FLRP - FLRP awards are based on a percentage of the eligible applicant's total debt. Payments are made to individuals via electronic direct deposit. MFFP - Based upon the availability of funds, Notice of Grant awards are issued to the eligible schools which in turn distribute the awards to the fellow(s).

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Four to six months following receipt of applications.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. Percent: 50%. FLRP - The employing institution is required for each year of service to make payments in an amount equal to the amount of principal and interest payments made by the Secretary. These payments must be in addition to the recipients salary the individuals otherwise would receive. However, the Secretary may waive this requirement if it is determined that it would impose an undue financial hardship on the institution involved. A consideration for waiver will be based on required documentation submitted by the institution which must include financial statements to support hardship.

MFFP: Applicants must: (1) provide assurance that such applicant will make available (directly through cash donations) \$1 for every \$1 of Federal funds received under section 738 of the Public Health Service Act; (2) provide an assurance that institutional support will be provided for the individual for the

second and third year at a level that is equal to the total amount of institutional funds provided in the year in which the grant or contract was awarded; (3) provide an assurance that the individual who will receive the fellowship will be a member of the faculty of the applicant school; and (4) provide an assurance that the individual who will receive the fellowship will have, at a minimum, appropriate advanced preparation (such as a master's or doctoral degree) and special skills necessary to enable such individuals to teach and practice. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

FLRP - Assistance is available during the time specified in the contract. MFFP support is limited to a 3-year project period. See the following for information on how assistance is awarded/released: FLRP - Assistance is available during the time specified in the contract. MFFP support is limited to a 3-year project period. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

FLRP - Awards are based on a percentage of the eligible applicant's total debt. Payments are made to individuals via electronic direct deposit.

Reports:

FLRP recipients are required to annually provide documentation demonstrating that funds were used to repay educational loans. No cash reports are required. Grantees are required to submit a Uniformed Progress Report annually to the Division of Diversity and Interdisciplinary Education, Bureau of Health Professions. No expenditure reports are required. Limited performance monitoring is required. No cash reports are required. FLRP recipients are required to annually provide documentation demonstrating that funds were used to repay educational loans. No cash reports are required. Grantees are required to submit a Uniformed Progress Report annually to the Division of Diversity and Interdisciplinary Education, Bureau of Health Professions. No expenditure reports are required. Limited performance monitoring is required. No expenditure reports are required. FLRP recipients are required to annually provide documentation demonstrating that funds were used to repay educational loans. No cash reports are required. Grantees are required to submit a Uniformed Progress Report annually to the Division of Diversity and Interdisciplinary Education, Bureau of Health Professions. No expenditure reports are required. Limited performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,122,312; FY 10 est \$1,266,000; FY 11 est \$1,266,000. (Project Grants) FY 09 \$56,276; FY 10 est \$56,276; FY 11 est \$56,276 - Data above provided for MFF. One MFFP award was made in FY2009: \$56,276.

Range and Average of Financial Assistance:

FLRP - \$5,548 to \$63,400; \$36,281 (average award for FY 06 including funds for award tax liability); \$17,530 to \$55,600; \$49,767.49 (average award for FY 07 including funds for award tax liability); \$49,297.43 (average award for FY08 including funds for award tax liability). One MFFP award was made in FY2009: \$56,276.

MFFP: \$55,087.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: One MFFP award was made in FY2009. \$56,276. Fiscal Year 2010: MFF one award: \$56,825. Fiscal Year 2011: MFF one award: \$56,825.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact for the Faculty Loan Repayment Program: Chrissy Herald, National Health Service Corps Loan Repayment Branch, Division of Applications and Awards, Bureau of Clinician Recruitment and Service, Health Resources and Services Administration, Parklawn Building, Room 8-37, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (800) 221-9393 or (301) 443-4419.

Headquarters Office:

Violet Woo, 5600 Fishers Lane, Room 8-37, Rockville, Maryland 20857
Phone: (301) 443-8032.

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

FLRP - The applicant will be rated on the extent to which the individual meets the intent of the program to expand disadvantaged representation in health professions faculty positions.

MFFP:

Overview: The health professions school should provide information that adequately documents the need and data relative to the school's experience and ability to identify, recruit, select and train underrepresented minority faculty. In addition to teaching, acquiring administrative skills and conducting research, the fellow must also provide health care services, especially as a means of meeting community needs for reducing barriers to health care services to rural or under-served populations. The application should also include a discussion of how the development of underrepresented minority faculty, through use of the fellowship program, will enhance the participant's academic career potential and community service abilities.

Review Criteria are used to review and rank applications. The Minority Faculty Fellowship Program has 6 review criteria:

(1) Need: This includes the extent to which the application describes the problem (e.g. lack of Minority Faculty), and associated factors contributing to this problem.

(2) Response: (a) Faculty Recruitment: This includes the extent to which the school demonstrates that it has the commitment and ability to identify, recruit, and select underrepresented minority individuals who have the potential for teaching, administration, and/or conducting research at a health professions institution; and (b) Faculty Development: This includes the extent to which the schools faculty training program will provide the fellow with the preparation, training, and skills needed to secure a tenured faculty position. The Faculty Development Plan should include the provision of counselors and protected time for research and faculty development. Training may include: pedagogical skills, including teaching experience; program administration; grant writing; research methods and design; and preparation of articles suitable for publication

in peer reviewed journals.

(3) Impact: Faculty Training in Providing Health Service - This includes the extent to which the Fellow is available to provide health services to rural or medically underserved populations.

(4) Resources/Capabilities: This includes the extent to which project personnel are qualified by training and/or experience to implement and carry out projects. This includes the capabilities of the applicant organization; and the quality and availability of facilities and personnel to fulfill the needs and requirements of the proposed project.

(5) Support Requested: This includes the extent to which the overall budget, including non-trainee expenses, is cost effective, reasonable, and consistent with the stated objectives and proposed activities. Expenditures are well justified and in-kind contributions are documented.

(6) Evaluative Measures: This includes the methodology that will be used to monitor and evaluate the project results. Evaluative measures must be able to assess to what extent the program objectives have been met.

93.924 RYAN WHITE HIV/AIDS DENTAL REIMBURSEMENTS COMMUNITY BASED DENTAL PARTNERSHIP

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part F, as amended, Public Law 109-415, Ryan White Care HIV/AIDS Treatment Extension Act of 2009.

OBJECTIVES:

Dental Reimbursement compensate dental schools, postdoctoral dental education programs, and dental hygiene education programs for unreimbursed costs they have incurred in providing oral health services to patients with Human Immunodeficiency Virus or Acquired Immune Deficiency Syndrome (HIV/AIDS). Reimbursement will be made for documenting the number of patients with HIV/AIDS treated and uncompensated costs incurred providing oral health services to them. Community Based Dental Partnership aims to increase access to oral health care services for HIV positive individuals, while at the same time providing education and clinical training for dental and hygiene providers, located in community-based settings. This is achieved through multi-partner collaborations between dental and dental hygiene education programs and community-based dentist and dental clinics, marked by shared expertise and resources.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are restricted to dental schools, postdoctoral dental education programs, and dental hygiene education programs which submit an application documenting unreimbursed costs of oral health care provided to patients with HIV/AIDS.

Applicant Eligibility:

Public or private nonprofit schools of dentistry and dental hygiene, and accredited post-graduate dental training programs, located in the 50 United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa or the Federated States of Micronesia, the Republic of the Marshall Islands and the Republic of Palau.

Beneficiary Eligibility:

Persons living with HIV/AIDS infection.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120-180 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Reimbursement of uncompensated costs incurred in the prior year.

Community-Based Dental Partnership grants may be made for up to five-year project periods. Continued support beyond the first year is contingent upon satisfactory performance and the availability of Federal funds. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Direct Payments for Specified Use) FY 09 \$9,038,351; FY 10 est \$9,000,000; FY 11 est \$9,000,000. (Project Grants) FY 09 \$3,790,119; FY 10 est \$3,790,119; FY 11 est \$3,790,119

Range and Average of Financial Assistance:

Dental Reimbursement: \$1,439 to \$1,143,261; \$154,796. Community-Based Dental Partnership grants: \$184,748 to \$403,042; \$277,713.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Dora Ober, Division of Community Based Programs, HIV/AIDS Bureau, Health Resources and Services Administration, Parklawn Building, Room 7A-30, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0759.

Headquarters Office:

Mahyar Mofidi 5600 Fishers Lane, Room 7-A30, Rockville, Maryland 20857
Phone: (301) 443-2075

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Those dental schools, postdoctoral dental education programs and dental hygiene education programs, that submit applications documenting unreimbursed costs of oral health care provided to patients with HIV/AIDS and show evidence of efforts to establish working relations with HIV care consortia and planning councils, AIDS Education and Training Centers, Title I, Title II, III, IV, Community-Based Dental Partnership, and Special Projects of National Significance programs authorized under Public Law 109-415. Applicants for the Community Based Dental Partnership are reviewed by an objective review committee based on the following criteria: Need, Response, Evaluative Measures, Impact, Resources/Capabilities, and Support Requested.

93.925 SCHOLARSHIPS FOR HEALTH PROFESSIONS STUDENTS FROM DISADVANTAGED BACKGROUNDS

Scholarships for Disadvantaged Students (SDS)

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 737, 42 U.S.C. 293a; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

Promotes diversity among health professions students and practitioners by providing scholarships for students from disadvantaged backgrounds. Eligible health professions and nursing schools apply for funds to provide scholarships to students from disadvantaged backgrounds who have financial need for scholarships and are enrolled, or accepted for enrollment, as full-time students at the schools.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

SDS funds awarded to schools must be used to award full or partial scholarships to eligible students enrolled in health professions programs on a full-time basis. Scholarships may only be expended for tuition expenses, other reasonable educational expenses, and reasonable living expenses incurred while in attendance for the year. The Secretary is required to make available at least 16 percent of the funds to schools that will provide scholarships only for

nursing students. Schools are required to agree that, in providing scholarships under this program, preference shall be given to students from disadvantaged backgrounds for whom the costs of attending the school would constitute a severe financial hardship and disadvantaged students. The Secretary may not make a grant to a school unless the school is carrying out a program for recruiting and retaining students from disadvantaged backgrounds and have met the eligibility criteria of demonstrating the success of outcome measures.

Applicant Eligibility:

Accredited public or private nonprofit schools of allopathic medicine, nursing, osteopathic medicine, dentistry, pharmacy, podiatric medicine, optometry, veterinary medicine, chiropractic, allied health, or schools offering graduate programs in public health, behavioral and mental health or physician assistants.

Beneficiary Eligibility:

Students who are citizens, nationals, or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa or the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, the Federated States of Micronesia; and enrolled full-time in health professions or nursing schools.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 6 months.

Appeals:

Not Applicable.

Renewals:

Annual applications are required for all schools.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each scholarship award is made for 1 year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. The Scholarships for Disadvantaged Students Performance Report (SDSPR) must be submitted 45 days after the end of the budget period through electronic submission, at <http://www.grants.hrsa.gov/webexternal/home.asp>. In addition,

a financial status report must be submitted 90 days after the end of each budget period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$42,105,260; FY 10 est \$45,868,776; FY 11 est \$49,342,000

Range and Average of Financial Assistance:

\$1,000 to \$650,000 Average \$ 142,730.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Fiscal Year 2010: est 308 schools awarded; Fiscal Year 2011: est. 400; Fiscal Year 2012: est. 450. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Andrea Stampone, Division of Student Loans and Scholarships, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Parklawn Building, Room 9-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4776. Use the same numbers for FTS.

Headquarters Office:

Andrea Stampone 5600 Fishers Lane, Room 9-105, Rockville, Maryland 20857 Phone: (301) 443-4776

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program; 93.342 Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364 Nursing Student Loans; 93.908 Nursing Education Loan Repayment Program

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness, accuracy, and to determine if applicant schools meet minimum program requirements.

93.926 HEALTHY START INITIATIVE

Healthy Start

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title III, Part D, Section 330H; 42 U.S.C. 254c-8.

OBJECTIVES:

To eliminate disparities in perinatal infant and maternal health by: enhancing a community's health care infrastructure and service system and a State's infrastructure; and directing resources and interventions to improve access to, utilization of, and full participation in comprehensive perinatal and women's health services, particularly for women and infants at higher risk for poor health outcomes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Every Healthy Start project implements community-driven approaches to address infant mortality rates by reducing maternal behavioral and medical risk factors and promoting healthy outcomes for women and their families. Beginning with prenatal care and continuing through the infant's second year of life, each community awarded funds assures the availability of a core set of services and activities for the perinatal population in their project area. These services include case management, home visiting and links to health care and other needed services for mothers and their infants; direct outreach and peer mentoring by trained community members; screening and referral for perinatal/postpartum depression; and strong coordination with and access to substance abuse, domestic violence, mental health, early intervention, parenting and other critical services for high-risk women and families. In addition, each Healthy Start project is required to have a community-based consortium composed of individuals and organizations and that includes women and families served by their project, to collaborate with their State Title V (MCH) Agency, and to implement a local health system action plan to improve the quality, cultural competence of and access to services and/or to address other problems in the local system of care. Funds may not be used to supplant currently funded activities/services.

Applicant Eligibility:

Urban and rural communities with significant disparities in perinatal health, and States with need to build their infrastructure/capacity to address and support those communities trying to achieve the goals of the Healthy Start program. Eligible applicants are any public or private entity, including an Indian Tribe or tribal organization (as those terms are defined at 25 U.S.C. 450b). Community-based organizations, including faith-based organizations are eligible to apply.

Beneficiary Eligibility:

Service area residents, particularly women and infants in areas with significant perinatal health disparities.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program

official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Final decisions are made approximately 166 days after receipt of applications.

Appeals:

Not Applicable.

Renewals:

After initial award, projects may be renewed annually up to the limit of the project period upon the submission and approval of a satisfactory progress report .

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project periods vary depending on specific grant announcement with non-competing continuation awards made on an annual basis for up to 5 years depending on satisfactory progress and subject to the availability of funds. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports. No cash reports are required. FFR. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$95,889,912; FY 10 est \$102,000,000; FY 11 est \$107,000,000

Range and Average of Financial Assistance:

\$150,000-2,224,776; \$659,270.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Director, Division of Healthy Start and Perinatal Services, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18-12, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-0543.

Headquarters Office:

Director, Division of Healthy Start and Perinatal Services 5600 Fishers Lane, Room 18-12, Rockville, Maryland 20857 Phone: (301) 443-0543

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

See specific program grant guidance.

93.928 SPECIAL PROJECTS OF NATIONAL SIGNIFICANCE

SPNS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XXVI, Part F, as amended, Public Law 109-415; Ryan White HIV/AIDS Treatment Modernization Act of 2006.

OBJECTIVES:

To quickly respond to emergency needs of individuals receiving assistance under the Ryan White program; and to fund special programs to develop a standard electronic client information data system to improve the ability of grantees under the Ryan White program to report client-level data the Secretary.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Special Projects of National Significance (SPNS) is a component of the Ryan White program, funded as Part F of the Ryan White HIV/AIDS Treatment Extension Act of 2009 (P.L. 111-87) and administered through the Health Resources and Services Administration, HIV/AIDS Bureau, (HAB) Division of Science and Policy. SPNS legislative mandate is to quickly respond to the emerging needs of individuals receiving assistance under the Ryan White programs by supporting the development of innovative delivery models of HIV care and services. SPNS evaluates the effectiveness of these models, and promotes the replication of successful models. Areas of evaluation include design, implementation, utilization, costs, and health related outcomes of effective models. The SPNS Program also supports special programs to develop standard electronic client information data systems to enable Ryan White Program grantees to report client level data. Proposals are expected to adequately define and justify the needs, innovative nature, and evaluation methodology of the proposed model of services. Funds shall be used to create and/or evaluate innovative models of HIV care that would likely not exist nor be evaluated without SPNS Program support, or that would extend the care model to previously underserved or uninsured populations. Funds under the SPNS Program cannot be used for: charges that are billable to third party payers (e.g., private health insurance, prepaid health plans, Medicaid, Medicare); construction of new facilities or capital improvements to existing facilities; to purchase or improve land; cash payments to intended service recipients, as opposed to various incentives to encourage participation in evaluation activities.

Applicant Eligibility:

Academic institutions, non-profit organizations including faith-based

organizations, and those eligible for funding under Parts A-D authorized by Title XXVI of the Public Health Service (PHS) Act as amended by the Ryan White HIV/AIDS Treatment Extension Act of 2009 (Ryan White Program).

Beneficiary Eligibility:

Adults, women, children and families with HIV disease.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants will generally be awarded with 60-month project periods and five 12-month budget periods; some grants may have more limited 12, 24 or 36 month project periods. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A final progress report is due 90 days after the end of the project period. In addition, a Financial Report is due within 90 days after the close of each budget period and after the end of the project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$25,000,000; FY 11 est \$25,000,000

Range and Average of Financial Assistance:

\$25,000 to \$550,000; \$250,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: FY09 there were 34 continuation grants and 69 new grants. Fiscal Year 2010: Fiscal Year 2010: FY10 there will be 45 continuation grants and 43 new grant awards. Fiscal Year 2011: Fiscal Year 2011: FY11 there will be 39 continuation grants and approximately 50 new grant awards.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Adan Cajina, Chief, Demonstration and Evaluation Branch, Division of Science and Policy, HIV/AIDS Bureau, Health Resources and Service Administration, 5600 Fishers Lane, Room 7C-07, Rockville, MD 20857. Telephone Number: (301) 443-3180.

Headquarters Office:

Demonstration and Evaluation Branch 5600 Fishers Lane, Room 7-C07, Rockville, Maryland 20857 Phone: (301) 443-3180

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.917 HIV Care Formula Grants; 93.918 Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009:

The Special Projects of National Significance Enhancing Access to and Retention in Quality HIV/AIDS Care for Women of Color Initiative is a multi-site demonstration and evaluation of HIV service delivery interventions for women of color, a population at increasing risk to HIV/AIDS. The initiative funds eleven demonstration sites for up to five years to design, implement and evaluate innovative methods for enhancing access to and retaining women of color living with HIV/AIDS in primary medical care and ancillary services. Interventions include community-based outreach, patient education, intensive case management and patient navigation strategies that promote access to care. The Albert Einstein College of Medicine, the evaluation and support center for this initiative, coordinates the multi-site evaluation and provide programmatic technical assistance to the demonstration sites. The study evaluation design assesses the effectiveness of the selected model(s) in enhancing access to and retention in HIV/AIDS care for women of color. Fiscal Year 2010: Fiscal Year 2010:

The Special Projects of National Significance Hepatitis C Treatment Expansion Initiative will implement and evaluate successful HCV treatment modalities into Ryan White-funded HIV medical care settings. This initiative will award

funds for up to two years to support organizations funded under the Ryan White Program to implement and evaluate interventions designed to provide Hepatitis C (HCV) treatment in the context of Ryan White-funded HIV primary care. HCV treatment is currently underutilized due to both resource and capacity issues. In order to expand HCV treatment, successful applicants must incorporate HAB identified clinical treatment settings and program components in their interventions. A national Evaluation and Technical Assistance Center (ETAC) will be funded to provide clinical and technical support to the demonstration sites. Fiscal Year 2011: The Special Projects of National Significance Health Information Technology Capacity Building Initiative for Ryan White HIV/AIDS Program AIDS Drug Assistance Program (ADAP) grantees will support Ryan White Part B grantees in building capacity in their Health Information Technology (HIT) systems to report client level data for recipients of AIDS Drug Assistance Program (ADAP) services. ADAP provides medications for the treatment of HIV disease, and ADAP funds may also be used to purchase health insurance for eligible clients and for services that enhance access to, adherence to, and monitoring of drug treatments. The program is funded through Part B of the Ryan White HIV/AIDS Treatment Extension Act of 2009 which provides grants to States and Territories. ADAP grants are awarded to all 50 States, the District of Columbia, Puerto Rico, Guam, the U.S. Virgin Islands, and the Pacific Jurisdictions.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation criteria vary and are based on the grant initiative. However, evaluation criteria generally include some or all of the following: Factor 1: Adequacy of demonstrated knowledge of the local HIV service delivery system and the adequacy of the justification of need for the proposed model within the community and target population to be served by the project. Factor 2: Extent of the feasibility and clarity of the description, appropriateness, innovative quality, and potential for evaluation, replication, and dissemination of the proposed model. Factor 3: Comprehensiveness of the program plan as described in clearly stated goals, time-limited and measurable objectives for each goal, activities directly related to each objective, and a timeline that shows the schedule of activities and production of materials that corresponds to milestones stated in the objectives and program evaluation. Factor 4: Thoroughness, feasibility and appropriateness of the project's evaluation design from a methodological and statistical perspective. Factor 5: Extent to which the applicant demonstrates past involvement with disseminating information about HIV service delivery by describing dissemination activities to date (e.g., presenting and publishing findings through reports and papers, training, or technical assistance). Factor 6: Competency of the applicant organization in terms of fiscal, program management, and evaluation.

93.932 NATIVE HAWAIIAN HEALTH SYSTEMS

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Native Hawaiian Health Care Improvement Act, Public Law 102-396. P.L.111-148, Section 1022; Patient Protection and Affordable Care Act (P.L. 111-148, Section 10221.

OBJECTIVES:

To raise the health status of Native Hawaiians living in Hawaii to the highest possible level through the provision of comprehensive health promotion and disease prevention services, as well as primary health services, and to provide existing Native Hawaiian health care programs with all resources necessary to effectuate this policy.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The services of this program include outreach, health promotion, disease prevention, and primary health care services. These services will attempt to integrate traditional health concepts with western medicine so that existing barriers to health care can be removed. It is anticipated that the various components will be integrated into one comprehensive system of care and that the existing health resources of the community will be used to the greatest extent possible.

Applicant Eligibility:

Eligible entities include Papa Ola Lokahi and the "Native Hawaiian Health Care Systems." The term Native Hawaiian health care system is defined as an entity: (1) Which is organized under the laws of the State of Hawaii; (2) which provides or arranges for health care services through practitioners licensed by the State of Hawaii, where licensure requirements are applicable; (3) which is a public or nonprofit private entity; (4) in which Native Hawaiian health practitioners significantly participate in the planning, management, monitoring, and evaluation of health care services; (see attached)and (6) which is recognized by Papa Ola Lokahi (a consortium of Hawaiian and Native Hawaiian organizations) for the purpose of planning, conducting, or administering programs or portions of programs, authorized by this act for the benefit of Native Hawaiians, and is certified by Papa Ola Lokahi as having the qualifications and the capacity to provide the services and meet the requirements of this Act for the benefit of Native Hawaiians.

Beneficiary Eligibility:

Hawaiian Natives will benefit.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range is approximately 120 days.

Appeals:

Not Applicable.

Renewals:

Same as application procedure.

Formula and Matching Requirements:

Statutory Formula: Public Law Public Law 102-396.

Matching Requirements: Grants may not be awarded unless the entity agrees that it will make available, directly or through donations to the entity, nonfederal matching funds in an amount not less than \$1 for every \$5 of Federal funds provided. Nonfederal contributions may be in cash or in kind, fairly evaluated, including plant, equipment, or services. Amounts provided by Federal government or services assisted or subsidized to any significant extent by the Federal Government may not be included in determining the amount of such nonfederal contributions. The match requirements may be waived if the Secretary determines, in consultation with Papa Ola Lokahi, that it is not feasible for the entity to comply with the requirement. Grant funds may not be used to pay for (1) inpatient services; (2) cash payments to intended recipients of health services; or (3) purchasing or improving real property (other than minor remodeling of existing improvements to real property) or to purchase major medical equipment. The entity may not expend more than 10 percent of

amounts received under the grant for administering the grant. Other requirements and limitations are set forth in Public Law 102-396. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Budget periods are for one year. Project periods are for one year. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

For each budget period during which an entity receives or expends funds pursuant to a grant under this program, such entity shall submit to the Secretary and Papa Ola Lokahi a report on: (1) Activities conducted by the entity under the grant; (2) the amounts and purposes for which Federal funds were expended, including a Financial Status Report; and (3) such other information as the Secretary may request. The records and reports of any entity which concern any grant or contract under this program shall be subject to audit by the Secretary, the Inspector General of Health and Human Services, and to the Comptroller General of the United States. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$12,650,000; FY 10 est \$12,750,000; FY 11 est \$12,750,000

Range and Average of Financial Assistance:

\$1,300,000 to \$3,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: It is estimated that 6 awards will be made in FY 10. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Director, Western Division, Bureau of Primary Health Care, Health Resources and Services Administration, 5600 Fishers Lane, Room 16C-26, Rockville, MD 20857. Telephone: (301) 480-1130.

Headquarters Office:

Health Care Systems Branch, Division of Grants Management Operations, Health Resources and Services Administration, 5600 Fishers Lane Room 11A-02, Rockville, MD 20857. Telephone (301) 443-3262.

Headquarters Office:

Health Care Systems Branch 5600 Fishers Lane Room 11A-02, Rockville, Maryland 20857 Phone: (301) 443-3262

Website Address:

www.hrsa.gov .

RELATED PROGRAMS:

93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Each recipient of funds shall provide outreach, health education, a link to primary care providers, case management, immunizations, preventive measures for diabetes, high blood pressure and otitis media; pregnancy and infant care, improvement in nutrition, chronic disease prevention, and collection of data to prevent disease. Papa Ola Lokahi is responsible for planning, training; research; dissemination of information on native Hawaiian health; and coordination of resources for Native Hawaiians; advocacy, and special projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

An objective review of applications for grant support considers the adequacy of the following with reference to the provisions of the Native Hawaiian Health Care Improvement Act: assessment of community needs; program of proposed services; management and staffing plan; and evaluation plan. Priority consideration is given to those applications whose health promotion and disease prevention services are provided through Native Hawaiian Health Care Systems.

93.933 DEMONSTRATION PROJECTS FOR INDIAN HEALTH

Demonstration Projects for Indian Health

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 103 (B)(1), Public Law 93-638, as amended by Public Health Service Act, Section 301 (a)(1); Public Health Service Act.

OBJECTIVES:

To promote improved health care among American Indians and Alaska Natives through research studies and demonstration projects, addressing such issues as, but not limited to Elder Care, Women's Health Care, Children & Youth Initiative, Native American Research Centers for Health (NARCH), National Indian Health Advocacy Program, Tribal Dental Clinical and Preventive Support Centers and Institute for Health Improvement.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Federal assistance is to be used for the following purposes: (1) Research, analysis, and investigation of a broad range of issues affecting the health of American Indians and Alaska Natives; and (2) demonstration projects and studies that provide American Indians/Alaska Natives with impetus and involvement in their health care and that promote improved health care to Indian people. All IHS Grants Funds are for discretionary grant activities.

Applicant Eligibility:

Federally recognized Indian tribes; tribal organizations; nonprofit intertribal organizations; nonprofit urban Indian organizations contracting with the Indian Health Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit health and education entities; and State and local government health agencies.

Beneficiary Eligibility:

American Indians/Alaska Natives will be the ultimate beneficiaries of the funded projects either directly or indirectly depending upon the nature of the project. For example, those individuals who participate in research studies and receive services will be direct beneficiaries while those impacted by policy changes resulting from analyses of Indian health care issues will be indirect

beneficiaries.

Credentials/Documentation:

Costs will be determined in accordance with the applicable OMB Circular: OMB Circular No. A-87 (State, local, and Indian tribal governments); OMB Circular No. A-21 (institutions of higher education); and OMB Circular No. A-122 (nonprofit organizations). Depending upon the nature of the project, letters of support or tribal resolutions may be required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. This program is subject to the provisions of either 45 CFR, Part 92 or OMB Circular No. A-110 depending upon the type of applicant organization. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern), or contact the IHS Grants Policy Office on (301) 443-6290 at least fifteen days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to IHS.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

From 30 to 60 days. Grant appeals will follow PHS appeals procedures: 42 CFR, Part 50, Subpart D and DHHS appeals procedures: 45 CFR 16.

Renewals:

Initial project period of up to 5 years, usually 3 years, with competitive renewals for periods not to exceed a total project period of 5 years.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. All IHS grantees shall submit a Payment Management System (PMS) Federal Cash Transactions Report, SF-272 to the Division of Payment Management at the end of each quarter. Progress Reports are due 90 days after the end of each budget period , or more frequently if stated in the terms and conditions of the IHS grant award. Reports should be sent to the attention of the Grants Management Specialist at the Division of Grants Operations. All IHS grantees shall submit a Financial Status Report (FSR) standard form (SF)-269 within 90 calendar days of the end of each budget period to the appropriate Grants Management Specialist, at the Division of Grants Operations. All IHS grant awards are monitored for Financial compliance by the Division of Grants Operations and for Programmatic Compliance by the IHS Program Staff. NIHA - other reports as required and specified in the program announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the DHHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR Part 92.42 or 45 CFR Part 74, Subpart D, as applicable, grantees are required to maintain grant records 3 years after they submit their final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Project Grants) FY 09 \$2,402,006; FY 10 est \$2,402,006; FY 11 est \$2,402,006 - Elder Health Care Initiatives: FY 09 \$916,150; FY 10 916,150; and FY 11 916,150.
Indian Women's Demonstration: FY 09 \$600,000; FY 10 \$600,000; and FY 11 \$600,000.
Children & Youth Initiative: FY 09 \$885,856; FY 10 \$885,856; and FY 11 \$885,856.
Native American Research Centers for Health: FY 09 \$6,331,053; FY 10 \$6,331,053; and FY 11 \$6,331,053.
Cooperative Agreements: FY 09 \$824,000; FY 10 \$824,000; and FY 11 \$824,000.

Range and Average of Financial Assistance:

\$27,000 to \$300,000;.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 92 and 45 CFR 74, Health and Human Services Grants Policy Statement, January 2007.

Regional or Local Office:

None. Program Contact: For Elders Health Program contact: Dr. Bruce Finke, Nashville Area Elder Health Consultant, 45 Vernon Street, Northampton, MA 01060. Telephone: (413) 584-0790. For Indian Women's Health Demonstration Program Contact: Ms. Carolyn Aoyama, Nurse Consultant, Division of Nursing Services, 801 Thompson Avenue, Suite 300, Rockville, MD 20852. Telephone: (301) 443-1840. For Children & Youth Initiative Program contact: Ms. Judith Thierry, Maternal and Child Health Coordinator, Office of Public Health, 801 Thompson Avenue, Suite 300, Rockville, MD 20852. Telephone: (301) 443-5070. For National Indian Health Advocacy Program, contact: Mr. Ronald B. Demaray, Acting Director, Office of Direct Service and Contracting Tribes, 801 Thompson Avenue, Suite 220, Rockville, MD 20852. Telephone: (301) 443-1104. For Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360 Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

93.936 NATIONAL INSTITUTES OF HEALTH ACQUIRED IMMUNODEFICIENCY SYNDROME RESEARCH LOAN REPAYMENT PROGRAM

(NIH AIDS Research Loan Repayment Program; AIDS LRP)

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VI, Part 68, Section 487A, Public Law 100-607, 288-1 U.S.C.

OBJECTIVES:

To help assure an adequate supply of trained researchers (physicians, registered nurses and scientists) with respect to acquired immunodeficiency syndrome (AIDS) at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants who agree, by written contract, to primarily engage in AIDS research as employees of the NIH.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This program will provide partial repayment of educational loans incurred by physicians, registered nurses and scientists engaged, as employees of the NIH, in AIDS research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Continuation contracts are available, dependent upon the level of debt and continued involvement in AIDS research, and are issued for one-year periods. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual NIH salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree, or hold the position of Physician Assistant; (3) have educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education in excess of 20 percent of their annual NIH salary (exclusive of special allowances of any kind) on the program eligibility date; (4) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (5) not have an existing service obligation to Federal, State, or other entities, unless deferred during period of program service; (6) submit an application to participate in the Loan Repayment Program; and (7) submit to the Secretary for Health and Human Services, at the time of application, a signed contract agreeing to engage in AIDS research at the NIH for a minimum of 2 years.

Beneficiary Eligibility:

AIDS researchers who have unpaid educational loans will benefit from this

program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: 1) Copies of loan applications and agreements from governmental or commercial educational loans that are being considered for repayment; 2) copies of the standard student budget from schools attended during period when debt was incurred; and 3) other documentation as required by law. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each IC. Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or her/his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. The approximate time for approval/disapproval is three months.

Appeals:

From 90 to 120 days. Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to NIH employees.

Renewals:

From 60 to 90 days. At the conclusion of the initial two-year contract, participants may apply and be recommended for subsequent one-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds that maximum repayable amount for 2-years. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services. Method of awarding/releasing assistance: quarterly.

Reports:

A year-end summary is provided for inclusion in the OITE annual report. Program participants must be certified quarterly as to having satisfactorily performed their research assignments. No cash reports are required. Program participants must be certified quarterly as to having satisfactorily performed their research assignments. No expenditure reports are required. No performance monitoring is required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In

accordance with OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO). In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement: 1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or 2. an audit that meets the requirements of OMB Circular No. A-133. In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation. Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants. In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents.

This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-6846-0-1-552.

Obligations:

(Project Grants) FY 09 \$48,000; FY 10 est \$360,000; FY 11 est \$360,000

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayment awards may range from \$4,000 to \$70,000; tax reimbursements range from \$1,977 to \$34,598. The average contract cost which includes loan and tax reimbursement is \$53,162. There were no new awards in 2009. There 4 renewal awards.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: There were four renewal awards in 2009. Fiscal Year 2010: The NIH projects that there will be 8 awards in 2010. Fiscal Year 2011: The NIH projects that there will be eight awards in 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 100-607, Public Law 103-43, Public Law 105-392; Federal Register, Volume 54, No. 215, November 8, 1989; NIH Guide for Grants and Contracts, Volume 23, No. 24, June 24, 1994;

Federal Register, Volume 56, No. 150, August 5, 1991; Section 487A of Public Health Service Act (42 U.S.C. 288-1). Notice of Proposed Rulemaking is pending.

Regional or Local Office:

None.

Headquarters Office:

Patricia A. Cole, PhD Office of Intramural Training and Education, National Institutes of Health, Department of Health and Human Services Building 2, Room 2E18, 2 Center Drive, Bethesda, Maryland 20892-0230 Email: colep@mail.nih.gov Phone: (301) 402-1283 Fax: (301) 480-4942.

Website Address:

www.lrp.nih.gov .

RELATED PROGRAMS:

93.162 National Health Service Corps Loan Repayment Program; 93.164 Indian Health Service Educational Loan Repayment; 93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.232 Loan Repayment Program for General Research; 93.364 Nursing Student Loans

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Please see the website, www.lrp.nih.gov. Fiscal Year 2010: Please see website, www.lrp.nih.gov. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Loan Repayment Program for AIDS Research after the LRC determines that the applicant will conduct qualified AIDS research. LRC approval, in part, is based upon the appropriateness of the research assignment to qualified AIDS research, the scientific merit of the research, and the credentials of the applicant as they relate to performing qualified AIDS research.

93.938 COOPERATIVE AGREEMENTS TO SUPPORT COMPREHENSIVE SCHOOL HEALTH PROGRAMS TO PREVENT THE SPREAD OF HIV AND OTHER IMPORTANT HEALTH PROBLEMS

(SHEPSA)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, 42 U.S.C 241(a) & 243(b).

OBJECTIVES:

To improve the health and well-being of youth and prepare them to be healthy adults.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used to support personnel, purchase equipment, supplies, services, and travel directly related to the program activities and consistent with the scope of this program. Cooperative agreement funds are used to help schools prevent sexual risk behaviors that result in HIV infection, especially among youth who are at highest risk. For example, cooperative agreement recipients implement and integrate effective policies and educational strategies to reduce risk behaviors that lead to HIV infection among youth; implement strategies to reduce disparities among sub-populations of youth disproportionately affected by HIV infection and other health problems related to sexual risk behaviors; evaluate the implementation and effectiveness of strategies to reduce risks for HIV infection among youth in schools for the purposes of programmatic improvement and long-range planning. CDC believes that the specific scope and content of HIV education programs in schools should be locally determined and should be consistent with parental and community values. CDC supports local decision-making by providing sound scientific information to grantees who work directly with schools, communities,

and parents. Funds may be expended for written materials, pictorials, audiovisuals, questionnaires or survey instruments, and educational group sessions related to HIV education for youth, school, and college populations if approved in accordance with the document "Content of AIDS-Related Written Materials, Pictorials, Audiovisuals, Questionnaires, Survey Instruments, and Educational Sessions in Centers for Disease Control Assistance Programs." Cooperative agreement funds are also used to build state education and state health agency partnership and capacity to implement and coordinate school health programs across agencies and within schools. The expected outcome of this effort is to help schools reduce chronic disease risk factors, including tobacco use, poor nutrition, and physical inactivity. For example, cooperative agreement recipients implement and integrate effective policies, environmental changes, and educational strategies to reduce unhealthy behaviors; leverage resources to avoid duplication at the state and local levels; evaluate school health capacity-building efforts while implementing effective strategies to reduce priority health risks. Funds may not be used to conduct research projects, provide direct delivery of patient care or treatment services, and purchase or disseminate condoms. Although public health may have an assurance role in clinical testing and screening, funds are not to be used to provide clinical testing or screening services. Funds may not be used for purchasing computer equipment, office equipment or furnishing, renting or leasing office space, or to support construction or renovation unless specifically approved.

Applicant Eligibility:

Eligible applicants are official States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands), Tribal Governments, large urban school districts (with the highest number of reported AIDS cases, high levels of poverty, and student enrollment greater than 75,000 students), and national non-governmental organizations.

Beneficiary Eligibility:

Official State education agencies in states and territories in the United States (including the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Commonwealth of the Northern Mariana Islands, Federated States of Micronesia, Guam, the Republic of the Marshall Islands, the Republic of Palau, and the U.S. Virgin Islands); local education agencies; national private sector organizations and their constituents; universities and colleges; school-age youth, including minority youth, youth in high-risk situations, and youth with special education needs; college-age youth; and school personnel, including teachers, school nurses, paraprofessionals, and school administrators.

Credentials/Documentation:

Costs will be determined by 45 CFR Part 74, Subpart Q for nonprofit organizations and in accordance with OMB Circular A-87, "Cost Principles for State and Local Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR Part 92 and 45 CFR Part 74. The standard application forms, as furnished by PHS and required by 45 CFR Part 92 for State and local governments and 45 CFR Part 74 for nonprofit organizations must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Information on renewals may be obtained from Bill Ryan, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 2306, Atlanta, GA 30341.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Project period can be up to 5 years. Budget periods are for 12 months. Payment under this program is made available through HHS Payment Management System (PMS). Method of awarding/releasing assistance: lump sum.

Reports:

An annual progress report is due within 90 days after the end of the budget period and a final performance report is due 90 days after the end of the project period. This report describes the extent to which program objectives and activities were carried out, reasons for not achieving objectives or carrying out activities as planned, and other information relevant to the program. This report also describes data generated and includes reports of evaluations of program activities conducted during the budget period. Cash reports are not applicable. An annual progress report is due within 90 days after the end of the budget period and a final performance report is due 90 days after the end of the project period. This report describes the extent to which program objectives and activities were carried out, reasons for not achieving objectives or carrying out activities as planned, and other information relevant to the program. This report also describes data generated and includes reports of evaluations of program activities conducted during the budget period. An annual financial status report is due within 90 days after the end of the budget period and a final financial status report is due within 90 days after the end of the project period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$35,593,000; FY 11 est \$35,593,000

Range and Average of Financial Assistance:

\$10,000 to \$650,000; \$299,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Subject to regulations outlined in 45 CFR Part 74 and 45 CFR Part 92. Program guidelines are available from the Grants Management Contact.

Regional or Local Office:

None. Program Contact: John C. Canfield, Program Development and Services Branch, Division of Adolescent and School Health, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention, Public Health Service, Department of Health and Human Services, 4770 Buford Highway, Mailstop K-31, Atlanta, GA 30341. Telephone: (770) 488-6141. Grants Management Contact: Bill Ryan, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3716, Atlanta, GA 30341. Telephone: (770) 488-2717.

Headquarters Office:

John Canfield, 4770 Buford Highway, NE, MS-K31, Atlanta, Georgia 30341
Email: qzc6@cdc.gov Phone: (770) 488-6141.

Website Address:

<http://www.cdc.gov/healthyyouth> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Continuation awards are made on the basis of the following criteria: (1) the accomplishments of the current budget period show that the applicant is meeting its objectives and carrying out activities as planned; (2) the capacity of the recipient and staff responsible for managing and coordinating activities; (3) current collaboration with HIV prevention programs of State and local health agencies and with other relevant organizations; (4) the objectives for the new budget period are realistic, specific, and measurable; (5) a description of the methods of operation and activities that will be used to accomplish the stated objectives; (6) a plan of evaluation; (7) evidence of support from relevant organizations; (8) plans to share information about the program, including copies of HIV education curricula, program descriptions, progress reports, and educational materials with other agencies interested in HIV education for youth; (9) a budget request that is clearly explained, adequately justified, reasonable, and consistent with the intended use of cooperative agreement funds.

93.939 HIV PREVENTION ACTIVITIES_NON-GOVERNMENTAL ORGANIZATION BASED**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To provide assistance to local, regional and, national nonprofit organizations to: (a) develop and implement effective community-based Human Immunodeficiency Virus (HIV) prevention programs related to achieving national goals; (b) promote coordination for primary and secondary HIV prevention efforts among community organizations, HIV education/prevention service agencies, and public organizations including local and State health departments and substance abuse agencies; and (c) evaluate the HIV prevention programs for which support is provided.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to promote coordination for primary and secondary HIV prevention efforts among community-based organizations, HIV education/prevention service agencies, and nonprofit national and regional organizations.

Applicant Eligibility:

Nongovernmental public and nonprofit private entities are eligible, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries..

Beneficiary Eligibility:

Public and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures and provide a budget justification of funds requested. Costs for nonprofit recipients will be determined in accordance with HHS Regulations, 45 CFR Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2720. The standard application forms must be used for this program as furnished by the Public Health Service and requirement by OMB Circular No. A-110 for nongovernmental applicants. This program is subject to the provisions of OMB Circular No. A-110 for nonprofit organization.

Award Procedure:

After review and approval of an application, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Project periods are for 1 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2722.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As Stated in the Terms and Conditions. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative

agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

There is a 3-year record retention requirement; records shall be retained beyond the 3-year period if final audit has not been done or findings resolved. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$75,578,957; FY 10 est \$78,853,371; FY 11 est \$78,853,371

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carsonn, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs; 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention; 93.947 Tuberculosis Demonstration, Research, Public and Professional Education; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on: (1) Agreements between all agencies providing professional and/or supportive care, either voluntarily or for fees; (2) a description of the approach that will be used to provide prevention case management services; and (3) a description of how services will complement/expand existing agency primary and secondary services and specific criteria published in program guidance.

93.940 HIV PREVENTION ACTIVITIES_HEALTH DEPARTMENT BASED

(HIV Prevention Program)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317, Public Law 100-436.

OBJECTIVES:

To assist States and political subdivisions of States in meeting the cost of establishing and maintaining Human Immunodeficiency Virus (HIV) prevention programs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Project funds may be used to support, develop, implement and evaluate primary and secondary HIV prevention programs implemented by State and local health

departments.

Applicant Eligibility:

States, and in consultation with State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Beneficiary Eligibility:

States, State health authorities, political subdivisions of States including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and U.S. territories and possessions.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention. Telephone: (770) 488-2722. The standard application forms, as furnished by CDC, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to six months.

Appeals:

Not Applicable.

Renewals:

Project periods are for one to five years with 12-month budget periods.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The project period on these grants is one to five years and the budget period is 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. Cash reports are not applicable. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$339,224,029; FY 10 est \$343,434,016; FY 11 est \$343,434,016 - Note: 93.940 includes funds appropriated by congress for HIV testing.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carsonn, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity; 93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based on (1) satisfactory progress in meeting project objectives; (2) the extent to which the objectives for the new budget period are specific; (3) measurable, time phased, realistic, and consistent with National HIV Prevention Goals; (3) the extent to which proposed changes in methods of operation are appropriate and likely to improve prevention efforts; (4) evaluation plans which enhance the success of the project; (5) the availability of funds.

93.941 HIV DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION PROJECTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To develop, test, and disseminate improved HIV prevention strategies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

To assist States, political subdivisions of States, and other public and nonprofit entities with research on the prevention of HIV infection at the community level. Funds may be used to develop, implement and evaluate new intervention, including those targeting people who are infected with HIV. Applicants are encouraged to have research groups participate in the program.

Applicant Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly

or in part within their boundaries and nonprofit private entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries and nonprofit private entities.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From three to six months.

Appeals:

As stated in the Terms and Conditions.

Renewals:

Projects are for one to five years with 12-month budget periods. Application forms must be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention: Telephone: (770) 488-2720.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From one to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal

government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$10,131,667; FY 10 est \$9,088,124; FY 11 est \$9,088,124

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR Part 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94- 50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carsonn, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.978 Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent to which proposed objectives are specific, measurable, time-phased, and consistent with the stated purpose of this program; the extent to which the applicant's proposed plan will identify and reach appropriate hard-to-reach individuals at risk for HIV, and the quality and scope of the applicant's past and current activities to provide education about HIV prevention and AIDS to high risk individuals; the quality of the applicant's proposed interventions and strategies to motivate and reinforce risk reduction behavior change among hard-to-reach individuals; the quality of the applicant's proposed plan to promote changes in community norms regarding HIV prevention and risk reduction behavior, and to generate support, cooperation, and collaboration with community based organizations serving individuals at high risk for HIV; the extent to which the applicant's proposed plan will determine, monitor, and measure changes in the prevalence of specific knowledge, attitudes, beliefs and self-reported behaviors among hard-to-reach individuals; the quality of the applicant's plan, including proposed methods and instruments of measurement to evaluate effectiveness of the strategies used to motivate and reinforce behavior change among groups targeted by the interventions, and the impact of the programmatic efforts on community norms; the extent to which the applicant's proposed plan will enable it to respond to and redirect programmatic efforts based on new information, changes in behavior, and changes in HIV sero prevalence.

93.942 RESEARCH, TREATMENT AND EDUCATION PROGRAMS ON LYME DISEASE IN THE UNITED STATES

(Lyme Disease)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301, 42 U.S.C 241(a).

OBJECTIVES:

To develop, implement and evaluate practical and effective measures for the primary and secondary prevention of Lyme disease in the United States.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

Funding opportunities are made available to develop disease surveillance, conduct ecological and epidemiological studies, develop prevention and control activities, develop better diagnostic tests, and develop and disseminate educational materials and programs. Grants include funds for direct costs, i.e., personnel, travel, equipment, supplies, necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, such as reimbursement of pre-award costs, as well as those for which prior written approval is required, are indicated in the Public Health Service (PHS) Grants Policy Statement (<http://www.hhs.gov/grantsnet.gps/>).

Applicant Eligibility:

Public and nonprofit organizations able to provide services to geographical areas where Lyme disease is endemic or found to be newly emerging in the continental United States. Thus, universities, colleges, research institutions, State and local health departments, and private nonprofit organizations are eligible.

Beneficiary Eligibility:

States, political subdivisions of states, and other public and nonprofit private entities and the general public who may be exposed to the threat of Lyme disease in certain geographical areas.

Credentials/Documentation:

Nonprofit organizations are corporations or associations no part of whose net earnings may lawfully inure to the benefit of any private shareholder or individual. Proof of nonprofit status must be submitted by private nonprofit organizations with the application or, if previously filed with PHS, the applicant must state where and when the proof was submitted. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program has no preapplication requirements. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, applications must be submitted electronically through Grants.gov (<http://www.grants.gov>) using the SF424 Research and Related (R&R) forms and the SF424 (R&R) Application Guide. Detailed application instructions are included in the Funding Opportunity Announcements (FOAs) for federal assistance. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management System, (Add FOA number here), CDC Procurement and Grants Office, U.S. Department of Health and Human Services, 2920 Brandywine Road, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Upon receipt, applications will be evaluated for completeness and responsiveness by the agency program and HHS/CDC Procurement and Grants Office (PGO). HHS/CDC will not review incomplete and non-responsive applications. After review and approval, a notice of grant award (NoA) is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and NoA indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 3 to 6 months.

Appeals:

Not Applicable.

Renewals:

Cooperative agreement project periods are for 3 to 4 years. Subject to availability of funds, after initial awards, projects may be renewed non-competitively contingent upon satisfactory progress by the recipient (as documented in required reports) and the determination that continued funding is in the best interest of the Federal government.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from 3 to 4 years. See the following for information on how assistance is awarded/released: After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System.

Reports:

Program reports are not applicable. Cash reports are not applicable. An original and two hard-copies of the Non-Competing Grant Progress Report (PHS 2590) are due no less than 120 days prior to the end of each budget period within the project period. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required no more than 90 days after the end of the project period. Final performance reports are required no more than 90 days after the end of the project period. All reports must be submitted to the Grants Management Specialist indicated in the NoA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$1,400,363; FY 10 est \$1,981,000; FY 11 est \$2,200,400 - Obligations exclude federal assistance provided to the States through the Epidemiology and Laboratory Capacity (ELC) and Emerging Infections Program (EIP) cooperative agreements, and contracts less than \$100,000.

Range and Average of Financial Assistance:

\$250,000 to \$901,000; Average award depends on funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A non-competitive continuation award was made for the

evaluation of reservoir-targeted vaccine formulations to prevent enzootic transmission of *Borrelia burgdorferi* (Lyme Borreliosis), and two non-competitive continuation awards were made for field trials to evaluate efficacy of natural products for the control of the tick vectors of Lyme disease spirochetes. Fiscal Year 2010: A non-competitive continuation award was made for the evaluation of reservoir-targeted vaccine formulations to prevent enzootic transmission of *Borrelia burgdorferi* (Lyme Borreliosis), and two non-competitive continuation awards were made for the final year of field trials to evaluate efficacy of natural products for the control of the tick vectors of Lyme disease spirochetes.

Two new, competitive research funding opportunities were announced to study the long-term complications of Lyme disease, and for development of more sensitive and specific diagnostic methods and tests for Lyme disease. Five applications were received for each announcement. In September 2010, it is anticipated that one grant award for a project period up to 5 years will be made for the long-term complications study, and two cooperative agreement awards for project periods up to 3 years each will be made for the improved diagnostics project. Fiscal Year 2011: A final year, non-competitive continuation award is anticipated to be made for the evaluation of reservoir-targeted vaccine formulations to prevent enzootic transmission of *Borrelia burgdorferi* (Lyme Borreliosis).

It is also anticipated that one non-competing continuation research grant award will be made for the long-term complications of Lyme disease study, and two non-competing continuation research cooperative agreement awards will be made for the improved diagnostics project.

New funding opportunity announcements in FY 2011 may include support for community-based Lyme disease prevention and control programs in the highest endemic areas of the United States to begin to evaluate and implement selected interventions to reduce Lyme disease.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000 (Rev.) April 1, 1994. Pertinent information may be obtained by contacting the Headquarters Office.

Regional or Local Office:

See Regional Agency Offices. Bacterial Diseases Branch, Division of Vector Borne Diseases, National Center for Emerging and Zoonotic Infectious Diseases, Office of Infectious Diseases, Centers for Disease Control and Prevention, 3150 Rampart Road, Fort Collins, CO 80521. Branch Extramural Activities Contacts: Ms. Diane Hamm - Telephone: (970) 221-6414 and Dr. Charles B. (Ben) Beard - Telephone: (970) 221- 6418. Division Extramural Activities Contacts: Ms. Mary Ellen (Mel) Fernandez - Telephone: (970) 221-6426. Dr. Lyle R. Petersen - Telephone: (970) 221-6428.

Headquarters Office:

Trudy Messmer, Centers for Disease Control and Prevention, OID Extramural Programs, MS E60, 1600 Clifton Road, N.E., Atlanta, Georgia 30333 Email: TMessmer@cdc.gov Phone: (404) 498-2271.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the review criteria described in the Funding Opportunity Announcements (FOAs). In general, the review and selection process of complete and responsive applications to the FOA consists of determination of the scientific merit by peer review, availability of funds, and relevance of program priorities and the priorities of DHHS. Refer to the FOA for additional review criteria.

93.943 EPIDEMIOLOGIC RESEARCH STUDIES OF ACQUIRED IMMUNODEFICIENCY SYNDROME (AIDS) AND HUMAN IMMUNODEFICIENCY VIRUS (HIV) INFECTION IN SELECTED POPULATION GROUPS

(Epidemiologic Research Studies of AIDS and HIV)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Act, Section 301.

OBJECTIVES:

To support research of important HIV-related epidemiologic issues concerning risks of transmission, the natural history and transmission of the disease in certain populations and development and evaluation of behavioral recommendations for reducing AIDS and HIV infection. Of special interest are programs that examine these research issues as they affect minority populations (defined as one of the four federally-recognized groups: African-Americans; Asian/Pacific Islanders; Latinos/Hispanics; and Native Americans).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance is provided to support cooperative agreements that examine important epidemiologic research issues concerning AIDS and HIV infection. The research should contribute to the health services knowledge base from which empirically based information can be derived by policy makers, both immediately and over the coming decades. Grants include funds for direct costs (such as personnel, travel, equipment, or supplies) necessary to carry out an approved project as well as funds for the reimbursement of applicable indirect costs. Unallowable costs, as well as those for which prior written approval is required, are indicated in the PHS Grants Policy Statement.

Applicant Eligibility:

Eligible applicants include States, political subdivisions of States or their agents or instrumentalities, private research organizations, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, other public and private nonprofit organizations, and for-profit organizations.

Beneficiary Eligibility:

State and local health agencies; private research organizations, public and private nonprofit organizations, for profit organizations; minority groups; including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and persons physically afflicted with AIDS/HIV infection.

Credentials/Documentation:

Costs will be determined by HHS Regulations 45 CFR Part 74, Subpart Q for nonprofit organizations and in accordance with OMB Circular No. A-87, "Cost Principles for State and Local Governments.". OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A signed original and five copies of the application Form 398 must be sent to the Centers for Disease Control and Prevention (CDC). State and local governments may use Form 5161. An original and two copies must be submitted. This program is subject to the provisions of 45 CFR 92 for State and Local Governments and 45 CFR 74 for nonprofit organizations, as appropriate.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public, initial award provides funds for first budget period (usually 12 months) and Notice of Award indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any. However, applicants are encouraged to call CDC for programmatic technical assistance prior to the development and submission of their assistance application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days from receipt of application.

Appeals:

As stated in the Terms and Conditions.

Renewals:

If additional support is desired to continue a research project beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance is available for a 12-month budget period within project periods ranging from one to three years. After awards are issued, funds are released in accordance with the payment procedure established by the grantee institution with DHHS, which may be an Electronic Transfer System or a Monthly Cost Request System. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$3,340,496; FY 10 est \$3,724,196; FY 11 est \$3,724,196

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carson, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed and evaluated based on the evidence submitted which specifically describes the applicant's abilities to meet the following criteria: (1) the plans to develop and implement the study describing how study participants will be identified, enrolled, tested and followed; (2) the ability to enroll and follow an adequate number of eligible study participants to assure proper conduct of the study. This includes both demonstration of the availability of HIV-infected potential study participants and the experience of the investigator in enrolling and following such persons; (3) the applicant's current activities in AIDS and HIV research and how they will be applied to achieving the objectives of the study; letters of support from cooperating organizations that demonstrate that nature and extent of such cooperation should be included; (4) the applicant's understanding of the research study objectives and, their ability, willingness and/or need to collaborate with CDC and researchers from other study sites in study design and analysis, including use of common forms, and sharing of specimens and data; (5) the plan to protect the rights and confidentiality of all participants and ensure adequate participation; (6) the size, qualifications and time allocation of the proposed staff and the availability of facilities to be used during the research study; (7) how the project will be administered to assure the proper management of the daily activities of the program; (8) the proposed schedule for accomplishing the activities of the research; including time frames; and (9) the quality of an evaluation plan which specifies methods and instruments to be used to evaluate the progress made in attaining research objectives.

93.944 HUMAN IMMUNODEFICIENCY VIRUS (HIV)/ACQUIRED IMMUNODEFICIENCY VIRUS SYNDROME (AIDS) SURVEILLANCE

(HIV/AIDS Surveillance)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 301(a).

OBJECTIVES:

To continue and strengthen effective human immunodeficiency virus (HIV) and acquired immunodeficiency syndrome (AIDS) surveillance programs and to effect, maintain, measure and evaluate the extent of HIV/AIDS incidence and prevalence throughout the United States and its territories, providing information for targeting and implementing HIV prevention activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for salaries of staff conducting HIV/AIDS surveillance and sero surveillance activities, travel related to carrying out project activities and participating in national planning and implementation meetings, necessary supplies, computer software and hardware, and laboratory, data collection, and analysis costs. Grant funds are to be additive to replace existing resources.

Applicant Eligibility:

The governments, or their agents or instrumentalities, of any of the States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, territories or possessions of United States, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries, and local governments who are current recipients of HIV/AIDS surveillance cooperative agreements.

Beneficiary Eligibility:

Official health agencies will benefit.

Credentials/Documentation:

. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Applications are made in the form of an application PHS-5161-1, to the Centers for Disease Control and Prevention. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments must be used for this program. This program is subject to the provisions of 45 CFR Part 92 for State and local governments.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public. Initial award provides funds for first budget period (usually 12 months) and Notice of Award (Form 5152-1) indicates support recommended for the remainder of project period, allocation of Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. About 120 days after application submission.

Appeals:

As stated in the Terms and Conditions.

Renewals:

Renewals may be approved for 1 to 5 years.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From one to five years. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available for review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of three years after the end of each budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est not reported.; FY 11 est not reported. - FY09 - \$76,456,261; FY10 - est \$77,483,023; FY11 - est \$77,483,023.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994; Administration of Grants, DHHS, 45 CFR 92; Application Instructions and Information and Program Guidance established by CDC for HIV/AIDS cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carson, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Official health agencies which are current recipients of funds for HIV/AIDS surveillance activities; (2) need and background; and (3) a willingness to comply with applicable CDC sero surveillance protocols.

93.945 ASSISTANCE PROGRAMS FOR CHRONIC DISEASE PREVENTION AND CONTROL

(State Cardiovascular Health Programs (CVH); and Racial and Ethnic Approaches to Community Health (REACH))

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act., Title 42, Section 301(a) and 317.

OBJECTIVES:

To work with State health agencies and other public and private nonprofit organizations in planning, developing, integrating, coordinating, or evaluating programs to prevent and control chronic diseases; assist in monitoring the major behavioral risks associated with the 10 leading causes of premature death and disability in the United States including cardiovascular diseases; and, establish new chronic disease prevention programs like Racial and Ethnic Approaches to Community Health (REACH).

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Grant funds may be used for costs associated with planning, implementing, and evaluating chronic disease prevention and control programs. Cooperative agreement funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, tribal organizations, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa. Other public and private nonprofit community based organizations are also eligible (see REACH).

Beneficiary Eligibility:

State health agencies and community based organizations will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, State the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. Costs will be determined in accordance with OMB Circular No. A-21 for Educational Institutions, and OMB Circular No. A-122 for nonprofit organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92 and 74. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for these programs.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to four months.

Appeals:

Not Applicable.

Renewals:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: From 3 three to 5 five years. Budget period: Usually 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Cash reports are not applicable. Progress reports are required on a semi-annual basis. An annual Financial Status Report (FSR) is required. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required on a semi-annual basis.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative

agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$5,697,162; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

There are program regulations under 42 CFR 51b, Project Grants for Preventive Health Services. Guidelines are also available from PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to grants and cooperative agreements.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV.

Headquarters Office:

Mike Waller, 1600 Clifton Rd, Atlanta, Georgia 30333 Phone: (770) 488-5269.

Website Address:

<http://www.cdc.gov/nccdphp>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance; 93.988 Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Based on the evaluation criteria as published in the program and/or Federal Register Announcement.

93.946 COOPERATIVE AGREEMENTS TO SUPPORT STATE-BASED SAFE MOTHERHOOD AND INFANT HEALTH INITIATIVE PROGRAMS

(Infant Health and pre-term delivery Initiative; PRAMS; MCHEP; ART; VAW; Maternal Health Research)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 301(a), 317K., Public Law USC-241(a); 241(a);.

OBJECTIVES:

PRAMS: To work with official public health agencies of States to: (1) establish and maintain State-specific, population-based surveillance of selected maternal behaviors that occur during pregnancy and the child's early infancy; and (2) generate State-specific data for planning and assessing perinatal health programs. MCHEP: To work with official public health agencies of States and localities to develop a multidisciplinary team of individuals dedicated to building the recipient's analytic capacity to use epidemiologic and surveillance data to address the health problems that affect its women, infants, and children. Prevention research activities related to women's health related to pregnancy, in vitro fertilization, violence around pregnancy, pre-term delivery, and other reproductive health complications.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

PRAMS: Cooperative Agreement funds may be used to develop a surveillance system that will identify behavioral risk factors during pregnancy and early infancy, and will identify problems in health care delivery. Funds may be used to support the following activities: (1) Obtaining a commitment of participation from the Maternal and Child Health, Vital Statistics, and Data Processing units; (2) assuring that the State PRAMS program will have access to needed vital records information; (3) forming a Steering Committee to promote user involvement; (4) developing a surveillance protocol; (5) preparing a questionnaire, including State-specific questions; (6) obtaining Institutional Review Board review and approval; (7) implementing surveillance operations, such as sampling, data collection, data management, database development, and data analysis; and (8) planning for data dissemination and use. MCHEP: Cooperative agreement funds may be used by grantees to build their analytic capacity to use epidemiologic and surveillance data to address health problems affecting women, infants and children. Grantees in States, D.C., cities, and U.S. territories will develop a multidisciplinary team to identify populations at increased risk of infant mortality and to help direct programs to reduce this risk. Funds may be used to: (1) Establish a risk-oriented approach to the reduction of infant mortality; (2) substantially build State and local surveillance and epidemiologic capabilities; (3) enhance capacity in States to use surveillance and epidemiologic findings in program and policy development, implementation, and evaluation; (4) disseminate such analytic capabilities to other States by providing information, direct technical assistance, and a pool of knowledgeable and experienced individuals for collaboration; (5) coordinate State programs in database development and intervention research; and (6) develop stronger interactive partnerships among State, territorial, and Federal. To carry out other types of surveillance, prevention research, and demonstrations projects as authorized under the Safe Motherhood authorization.

Applicant Eligibility:

PRAMS and MCHEP: Eligible Category A, maintenance of existing PRAMS surveillance system: eligible applicants are the official State public health agencies designated as vital statistics registration areas in the United States, the District of Columbia, the Commonwealth of Puerto Rico and for PRAMS are the jurisdictions that received direct funding from CDC for PRAMS activities. Also eligible is the public health agency of the City of New York, the only city public health agency designated as a Category A applicant. For PRAMS and MCHEP: Category B, eligible applicants are the official State and territorial public health agencies designated as registration areas for vital statistics, American Samoa, the Commonwealth of Puerto Rico, the Virgin Islands, the Federated States of Micronesia, Guam, the Northern Mariana Islands, the Republic of the Marshall Islands, the Republic of Palau, and Federally-recognized Indian tribal governments. Prevention research eligibility includes public and private not-for-profit agencies including universities.

Beneficiary Eligibility:

Official State and Territorial public health agencies. City of New York public health agency and District of Columbia. Applications may be submitted by public and private nonprofit and for-profit organizations and by governments and their agencies; that is, universities, colleges, research institutions, hospitals, other public and private nonprofit and for-profit organizations, State and local governments or their bona fide agents, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau, federally recognized Indian tribal governments, Indian tribes, or Indian tribal organizations, and small, minority, women-owned businesses.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should

consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From three to four months.

Appeals:

Not Applicable.

Renewals:

Information on renewals may be obtained from the Grants Management Officer, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by CDC and required by 45 CFR 92 for State and local governments, must be used for this program.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project Period: up to five years. Budget Period: All programs: For 12 months. Assistance is awarded through the SMARTLINK II System. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually. Cash reports are not applicable. Progress reports are required annually. Annual financial reports are required at the end of each budget period. A final financial status report is due 90 days after the project period ends. Progress reports are required annually.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreements program shall be retained for a minimum of three years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$5,233,801; FY 11 est not reported.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, applies to cooperative agreements.

Regional or Local Office:

None.

Headquarters Office:

John Lehnerr, 1600 Clifton Rd , Atlanta, Georgia 30333 Phone: (770) 488-5200.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

All programs: (1) The extent to which the application describes and presents appropriate data indicating an understanding of the problem, the program for which assistance is requested, and the purpose of the cooperative agreement; (2) the degree to which the workplan addresses the stated needs, is likely to achieve the purposes of the cooperative agreement, and describes the specific roles and responsibilities of participating personnel; (3) the degree to which the application provides a complete and achievable timetable of appropriate events; (4) the adequacy of the plan to monitor progress toward the stated objectives; (5) the extent to which the budget is reasonable, consistent with the problems identified and the scope of the program proposed to address these problems, and the intended use of cooperative agreement funds, and clearly reflects the applicant's intent to commit nonfederal resources to support the operational costs of these programs. PRAMS only: The degree to which the application describes the process for registering births in the State.

93.947 TUBERCULOSIS DEMONSTRATION, RESEARCH, PUBLIC AND PROFESSIONAL EDUCATION

Tuberculosis Demonstration, Research, Public and Professional Educational Programs

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 317(e), 42 U.S.C. 247(b), as amended.

OBJECTIVES:

To assist States, political subdivisions of States, and other public and nonprofit private entities in conducting research into prevention and control of tuberculosis nationally and internationally, especially in conducting demonstration projects for the prevention and control of tuberculosis; in providing public information and education programs for prevention and control of tuberculosis; and in developing education, training, and clinical skills improvement activities in the prevention and control of tuberculosis for health professionals, including allied health personnel.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Requests for direct assistance (i.e., "in lieu of cash") for personnel, equipment, and supplies directly related to project activities, particularly directly observed therapy, patient outreach, and program assessment will be considered. Project funds may not be used to supplant State or local funds available for tuberculosis control or to support construction costs or inpatient care.

Applicant Eligibility:

States, political subdivisions of States, and other public and nonprofit private

entities.

Beneficiary Eligibility:

States, political subdivisions of States, other public and nonprofit private entities, serving persons with TB infection and disease.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. For nonprofit recipients, costs will be determined in accordance with DHHS Regulations 45 CFR Part 74 Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. The standard application forms 5161 must be used for the program as furnished by CDC and required by 45 CFR 92 for state and local governments and by 45 CFR 74 for nongovernmental applicants.

Award Procedure:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by the NCHHSTP in accordance with the review criteria listed above. As part of the initial merit review, all applications may: (1) Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score; (2) receive a written critique; and (3) receive a second level review by the Science and Program Review Subcommittee (SPRS). Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 120 to 180 days.

Renewals:

From 60 to 90 days. Project periods are for 3 to 5 years with 12-month budget periods. Applications must be obtained from Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Although there are no statutory requirements, applicants must assume part of the project cost and fiscal information must be provided in the narrative portion of the application pursuant to provisions of Section 317(e).

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

From three to five years. Budget Period: For 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. No cash reports are required. Either quarterly or semi-annual narrative and statistical progress reports are required. Progress reports are required 90 days after the end of a project period. Report should address the recipients progress of achievement of the stated objectives. Annual financial status reports are required no later than 90 days after the end of each budget period. Final financial status reports are required 90 days after the end of a project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project shall be retained for at least 3 years or until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Cooperative Agreements) FY 09 \$799,582; FY 10 est \$1,063,871; FY 11 est \$1,063,871

Range and Average of Financial Assistance:

\$80,000 to \$232,199 with an average of \$151,982.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: An award was made during fiscal year 2009 to an international organization to conduct joint conferences, to develop teaching materials and jointly conduct courses in TB epidemiology in developing countries and to conduct demonstration projects of TB control programs in countries of strategic interest to the United States. Another award was made to an international organization to expand efforts and strategies to prevent and control TB and TB/HIV and to implement activities to assist national governments, regional authorities, non-governmental organizations, private and public health care systems, donors and other interested partners to develop, establish, and coordinate systems and procedures to strengthen TB and TB/HIV treatment and control efforts worldwide. An award was also made during fiscal year 2009 to a domestic organization to maintain effective communication capacity among the nation's Tuberculosis Control officials (TB Controllers) and TB nursing professionals. During 2009, an award was made to an organization to travel laboratory staff to a national meeting. Four awards were made during fiscal year 2009. Fiscal Year 2010: An award is expected during fiscal year 2010 to an international organization to conduct joint conferences, to develop teaching materials and jointly conduct courses in TB epidemiology in developing countries and to conduct demonstration projects of TB control programs in countries of strategic interest to the United States. Another award is expected to an international organization to expand efforts and strategies to prevent and control TB and TB/HIV and to implement activities to assist national governments, regional authorities, non-governmental organizations, private and public health care systems, donors and other interested partners to develop, establish, and coordinate systems and procedures to strengthen TB and TB/HIV treatment and control efforts worldwide. An award is also expected during fiscal year 2010 to a domestic organization to maintain effective communication capacity among the nation's Tuberculosis Control officials (TB Controllers) and TB nursing professionals. During 2010, an award is also expected to an organization to travel laboratory staff to a national meeting and

also to perform a laboratory survey. It is estimated that up to six awards will be made in fiscal year 2010. Fiscal Year 2011: An award is expected during fiscal year 2011 to an international organization to conduct joint conferences, to develop teaching materials and jointly conduct courses in TB epidemiology in developing countries and to conduct demonstration projects of TB control programs in countries of strategic interest to the United States. Another award is expected to an international organization to expand efforts and strategies to prevent and control TB and TB/HIV and to implement activities to assist national governments, regional authorities, non-governmental organizations, private and public health care systems, donors and other interested partners to develop, establish, and coordinate systems and procedures to strengthen TB and TB/HIV treatment and control efforts worldwide. An award is also expected during fiscal year 2011 to a domestic organization to maintain effective communication capacity among the nation's Tuberculosis Control officials (TB Controllers) and TB nursing professionals. During 2011, an award is also expected to an organization to travel laboratory staff to a national meeting and also to perform a laboratory survey. It is estimated that up to six awards will be made in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available in the application kit. PHS Grants Policy Statement No. 94-50,000 (Revised) April 1, 1994.

Regional or Local Office:

None.

Headquarters Office:

Heather Duncan, 1600 Clifton Road, MS E-10, Atlanta, Georgia 30333 Email: hld0@cdc.gov Phone: (404) 639-8131 Fax: (404) 639-8604.

Website Address:

<http://www.cdc.gov>.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.978 Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants; 93.991 Preventive Health and Health Services Block Grant

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Awards were made on projects to advance the goals toward TB elimination and a project to conduct conferences to assist in teaching targeted TB control programs about TB epidemiology. Fiscal Year 2010: Awards will be made to advance the goals toward TB elimination and a project to conduct conferences to assist in teaching targeted TB control programs about TB epidemiology. Fiscal Year 2011: Awards will be made to advance the goals toward TB elimination and a project to conduct conferences to assist in teaching targeted TB control programs about TB epidemiology.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the extent of the tuberculosis problem; the establishment of specific and measurable objectives; and the development of a sound operational plan that will ensure the implementation of each program's elements.

93.954 TRIBAL RECRUITMENT AND RETENTION OF HEALTH PROFESSIONALS INTO INDIAN HEALTH PROGRAMS

Tribal Recruitment and Retention of Health Professionals into Indian Health Programs

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Section 110, Public Law 94-437.

OBJECTIVES:

To make financial assistance awards to Indian tribes and tribal and Indian health organizations to enable them to recruit, place, and retain health professionals to fill critical vacancies and to meet the staffing needs of Indian health programs and facilities including those administered by the Indian Health Service (IHS).

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit and retain health professionals into Indian health programs and facilities. All IHS funds are discretionary funds.

Applicant Eligibility:

Any federally-recognized Indian tribe or tribal or Indian health organization is eligible to apply.

Beneficiary Eligibility:

Preference is given to those applicants who propose to recruit for health programs and facilities that IHS has designated as high priority for the IHS Loan Repayment Program for physicians, nurses, and other health professions.

Credentials/Documentation:

Costs for other institutions will be determined by HHS Regulations 45 CFR 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center is open 24 hours a day, 7 days a week (except for Federal holidays). If you require additional assistance please contact the Grants Policy Office at (301) 443-5204 at least 15 days prior to the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us.

Award Procedure:

Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 90 days of IHS deadline.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted via grants.gov annually. Continuation funding is subject to availability of funds, satisfactory performance, and continuing need of the IHS for the program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Payments of grant funds are made through the DHHS Payment Management System or DHHS Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division Of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 after the end of the project

period. A SF269 Financial Status Report will be due to the Division of Grants Operations 90 after the end of each budget period. IHS grants are monitored by the Division of Grants Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

DHHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 09 \$100,000; FY 10 est \$100,000; FY 11 est \$100,000

Range and Average of Financial Assistance:

\$60,000 to \$100,000; \$82,900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The Indian Health Care Improvement Act, Public Law 94-437, amended by Public Law 100-713, enacted November 23, 1988; HHS Grants Policy Statement dated 1/07.

Regional or Local Office:

None. For program information, contact Mr. Carmen Clelland, Chief Recruitment, Office of Public Health Support, Indian Health Service, 801 Thompson Avenue, Suite 120, Rockville, MD 20852. Telephone: (301) 443-5710. For grants management information, contact Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Need - Is the need for the project justified? If a project targets urban Indian health programs, is there justification and documentation for conducting activities at that site? Do proposed efforts complement and expand past recruitment efforts? Approach - Are the objectives well stated? Is the applicant's work plan for conducting the project sound and effective? Is the approach innovative? Are the activities proposed cost effective and will they lead to effective recruitment, placement, and retention? Adequacy of Management Controls - Is the applicant capable of successfully conducting the project both from a technical and business standpoint? Is the proposed

interaction with IHS staff adequate for an application for recruitment of Federal staff? Is the budget sound in relation to the work plan and does it assure effective utilization of grant funds? Are the facilities and equipment adequate? Key Personnel - Regarding the position descriptions, are the qualifications of key personnel appropriate and adequate to carry out the project? If a resume is provided, are the individual's qualifications and experiences consistent with the position description and conduct of the project?.

93.958 BLOCK GRANTS FOR COMMUNITY MENTAL HEALTH SERVICES

(CMHS Block Grant)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

as amended, Public Law 106-310, 42 U.S.C 300X; Public Health Service Act, Title XIX, Part B, Subpart I.

OBJECTIVES:

To provide financial assistance to States and Territories to enable them to carry out the State's plan for providing comprehensive community mental health services to adults with a serious mental illness and to children with a serious emotional disturbance; monitor the progress in implementing a comprehensive community based mental health system; provide technical assistance to States and the Mental Health Planning Council that will assist the States in planning and implementing a comprehensive community based mental health system.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the State to achieve the described objectives except for certain requirements. State plans must meet prescribed criteria. Services under the plan will be provided only through appropriate, qualified community programs (which may include community mental health centers, child mental-health programs, psychosocial rehabilitation programs, mental health peer-support programs and mental-health primary consumer-directed programs). Services under the plan will be provided through community mental health centers only if the centers meet prescribed criteria. Up to 5 percent of grant funds may be used for administering the funds. Funds may not be used to provide inpatient services; to make cash payments to intended recipients of health services; to purchase or improve land, purchase, construct, or permanently improve (other than minor remodeling) any building or other facility, or purchase major medical equipment; to satisfy any requirement for the expenditure of nonfederal funds as a condition for the receipt of Federal funds; or to provide financial assistance to any entity other than a public or nonprofit private entity.

Applicant Eligibility:

State and U.S. Territory Governments.

Beneficiary Eligibility:

Recipients of State and U.S. Territory Governments.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Chief Executive Officer of the State or Territory must apply annually for an allotment. States are required to submit an application which contains a State Plan that describes comprehensive community mental health services for adults with a serious mental illness and children with a serious emotional disturbance, an Implementation Report that describes State progress in implementing the plan for the preceding year, recommendations from the State Mental Health Planning Council, a report on

expenditure of the preceding fiscal year's block grant funds, a maintenance of effort report and agreements signed by the Chief Executive Officer of the State.

Award Procedure:

Grant Awards are issued directly by The Center for Mental Health Services, Substance Abuse and Mental Health Services Administration (SAMHSA) to the designated State agency.

Deadlines:

Sep 01, 2010 The application is due no later than September 1 of the fiscal year prior to the fiscal year for which CMHS Block Grant funding is being requested. The Implementation report is due December 1.

Range of Approval/Disapproval Time:

From 30 to 60 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title PHS Act, Title XIX, Part B, Subpart I, Public Law 106-310.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Under section 1915(b) of the Public Health Service Act, States are required to maintain aggregate State expenditures for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year in which it was allotted through the end of the subsequent fiscal year. Method of awarding/releasing assistance: quarterly.

Reports:

As part of the application, each State must submit an Implementation Report that describes the State's progress in implementing the State's Comprehensive Community Mental Health Services Plan for the preceding fiscal year and a report of the purposes for which the grant received for the preceding fiscal year were expended, including a description of the activities of the State under the program and the recipients of the funds. No cash reports are required. No progress reports are required. Final Financial Status/Federal Financial report is due 90 days after the end of the project period. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-1362-0-1-550.

Obligations:

(Formula Grants) FY 09 \$399,735,000; FY 10 est \$399,735,000; FY 11 est \$399,735,000

Range and Average of Financial Assistance:

\$50,000 to \$53,996,249, \$6,775,169.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 59 awards were made in fiscal year 2009. Fiscal Year 2010: It is estimated that 59 awards will be made in fiscal year 2010. Fiscal Year 2011: It is estimated that 59 awards will be made in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 96.

Regional or Local Office:

None.

Headquarters Office:

Barbara J. Orlando, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: barbara.orlando@samhsa.hhs.gov Phone: (240) 276-1422 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and regulatory requirements. For information regarding statutory and regulatory requirements, contact Dr. Joyce Berry, State Planning and Systems Development Branch, Center for Mental Health Services, SAMHSA, PHS, 1 Choke Cherry Road, Room 2-1093 Rockville, MD 20850. Telephone: (240) 276-1736.

93.959 BLOCK GRANTS FOR PREVENTION AND TREATMENT OF SUBSTANCE ABUSE

(Substance Abuse Prevention and Treatment (SAPT) Block Grant)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title XIX, Part B, Subpart II.; as amended, Public Law 106-310, Public Law 106-310, 42 U.S.C 300x.

OBJECTIVES:

To provide financial assistance to States and Territories to support projects for the development and implementation of prevention, treatment and rehabilitation activities directed to the diseases of alcohol and drug abuse.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds may be used at the discretion of the States to achieve the statutory objectives, including the fulfillment of certain requirements. Not less than 20 percent of the funds shall be spent for programs for individuals who do not require treatment for substance abuse, but to educate and counsel such individuals and to provide for activities to reduce the risk of such abuse by the individuals by developing community-based strategies for prevention of such abuse, including the use of alcoholic beverages and tobacco products by individuals to whom it is unlawful to sell or distribute such beverages or products. States shall expend not less than 5 percent of the grant to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children (either by establishing new programs or expanding the capacity of existing programs). In addition, a State that has a rate of acquired immune deficiency syndrome in excess of the rate stipulated at 42 USC 300A-24(b) must expend the portion of its block grant specified by 300A-24(b) for HIV early intervention services as defined by the PHS Act. States must require programs of treatment for intravenous drug abuse to admit individuals into treatment within 14 days after making such a request or 120 days of such a request, if interim services are made available within 48 hours. States will provide, directly or through arrangements with other public or nonprofit entities, tuberculosis services such as counseling, testing, treatment, and early intervention services for substance abusers at risk for the human immunodeficiency virus (HIV) disease. Other statutory requirements also apply.

Applicant Eligibility:

State and U.S. Territory Governments; or Tribal Organizations. NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of SAPT

Block Grant Funds, per the PHS Act.

Beneficiary Eligibility:

Recipients of State and U.S. Territory Governments; or Tribal Organizations.
NOTE: Only the Red Lake Band of Chippewa Indians is eligible for direct award of SAPT Block Grant Funds, per the PHS Act.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Chief Executive Officer of the State, Territory, or Tribal Organization (limited to the Red Lake Band of the Chippewa) must apply annually for an allotment.

Award Procedure:

Grant awards are issued directly by the Center for Substance Abuse Treatment to the designated State Agency, Territory, or Tribal organization (limited to the Red Lake Band of the Chippewa).

Deadlines:

Oct 01, 2010 The application is due no later than October 1 of the fiscal year for which SAPT Block Grant funding is being requested.

Range of Approval/Disapproval Time:

From 30 to 60 days. Synar Report is due by December 31, 2010.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title XIX , Part B, Subpart II, Public Law 106-310.
This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. Under section 1930 Public Health Service Act, States expenditure for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted through the end of the subsequent fiscal year for which the State is applying for the grant. Method of awarding/releasing assistance: quarterly.

Reports:

Synar report is due on December 31. No cash reports are required. Progress reports are not applicable. Final Federal Financial Report is due 90 days after the end of the budget period. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

The State's law and practices will govern, subject to guidance and regulations as may be promulgated by the Center for Substance Abuse Treatment, in accordance with the statutory requirements.

Account Identification:

75-1362-0-1-550.

Obligations:

(Formula Grants) FY 09 \$1,689,661,450; FY 10 est \$1,708,661,450; FY 11 est \$1,708,661,450

Range and Average of Financial Assistance:

\$117,852 to \$251,659,105; \$28,477,690.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 60 awards were made in fiscal year 2009. Fiscal Year 2010: It is estimated that 60 awards will be made in fiscal year 2010. Fiscal Year 2011: It is estimated that 60 awards will be made in fiscal year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 96.

Regional or Local Office:

None.

Headquarters Office:

Barbara J. Orlando, 1 Choke Cherry Rd., Rockville, Maryland 20857 Email: barbara.orlando@samhsa.hhs.gov Phone: (240) 276-1422.

Website Address:

<http://www.samhsa.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications must fulfill statutory and Federal regulations and regulatory requirements. For information on statutory and Federal regulations requirements contact John J. Campbell, Division of State and Community Assistance, Center for Substance Abuse Treatment, SAMHSA, 1 Choke Cherry Road, Room 5-1069 Rockville, MD 20857.

93.964 PUBLIC HEALTH TRAINEESHIPS

Public Health Traineeships

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 767, as amended (42 U.S.C. 295b).

OBJECTIVES:

To support students pursuing a graduate degree in fields with a severe shortage of health professionals, such as epidemiology, environmental health, toxicology, nutrition, biostatistics, and maternal and child health through the provision of tuition, fees, stipends and allowances.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used only to support students enrolled in grant-eligible graduate programs for severe shortage public health professions. Students pursuing joint degrees such as the MPH/MD, MPH/MSN, MPH/MSA, etc., are eligible for traineeship support for completion of their public health degree only. Funding may not be sought for courses or other expenses related to their non-public health degree curriculum. Funding may not be used to support residency requirements. Traineeship expenditures are limited to payment of stipends, tuition and fees in accordance with the institution's established rates, and a transportation allowance on an individual trainee basis when prior approval has been obtained from the Grants Management Officer. Grantees may not spend grant funds for sectarian instruction or for any religious purpose. Use of traineeship funds for indirect cost (overhead) of the trainee institution is not authorized.

Applicant Eligibility:

Eligibility for this funding opportunity is limited to the current

grantees/awardees receiving funds under this activity code. Funding is for a second or subsequent budget period within a previously approved project period for the Public Health Traineeship Program.

Eligible applicants must be any school of public health, and/or other public or nonprofit private entities, including faith-based and community-based organizations, accredited by the Council on Education for Public Health (CEPH), and other public or nonprofit private institutions accredited by a body recognized for the purpose of providing specialized training in public health by the Secretary of the U.S. Department of Education.

Beneficiary Eligibility:

(1) Trainees must be United States Citizens or non-citizen nationals and foreign nationals who possess a visa permitting permanent residence in the United States. (2) Trainees must be pursuing a graduate degree in an accredited school of public health or other eligible institution. (3) For grants awarded on the basis of a formula, all trainees must meet the admission requirements specified in the approved grant application and those of the institution.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA is requiring all applicants applying for funding opportunities to apply electronically through Grants.gov. Grantee Organizations must register with Grants.gov (if not already registered). All applicants must submit in this manner unless the applicant is granted a written exemption from this requirement in advance by the Director of HRSA's Division of Grants Policy. To submit an application electronically, use the <http://www.Grants.gov> apply site. When using Grants.gov you will be able to download a copy of the application package, complete it off-line, and then upload and submit the application via the Grants.gov site. Grantees must request an exemption in writing from DGPWaivers@hrsa.gov, and provide details as to why they are technologically unable to submit electronically through the Grants.gov portal. Make sure you specify the announcement number you are seeking relief for. As indicated, HRSA and its Grants Application Center (GAC) will only accept paper applications from applicants that received prior written approval. This is a formula-based award. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions. Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 3 to 5 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the initial project period, competing continuation applications may be submitted for up to three (3) years of support.

Formula and Matching Requirements:

Statutory Formula: Available funds are provided to schools of public health and other eligible accredited applicants on the basis of a formula which

considers comparative enrollment of trainees in the applicant's proposal. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project periods are for one year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

No program reports are required. No cash reports are required. A Uniform Progress Report must be submitted via on-line for the current reporting period via the Bureau's web page www.bhpr.hrsa.gov/grants, click on Grantee Reports. A financial status reports must be submitted within 90 days after the end of each budget period. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Financial reports are required within 90 days after the end of each budget period. A final progress report and final financial report must be submitted within 90 days after the end of the project period. Refer to the funding opportunity announcement.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. .

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$14,336,417; FY 10 est \$1,436,417; FY 11 est \$1,436,417

Range and Average of Financial Assistance:

\$9,404 to \$182,096; \$57,194.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 30 Public Health Traineeships grant awards were made.
Fiscal Year 2010: 30 Public Health Traineeships grant awards were made.
Fiscal Year 2011: TBD.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Marcia Starbecker, Chief, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 443-6867 and Email: mstarbecker@hrsa.gov.

Headquarters Office:

Marcia Starbecker 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Email: mstarbecker@hrsa.gov Phone: (301) 443-6867

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See: www.hrsa.gov. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

After staff review, funds are awarded on a formula basis among the eligible applicant schools of public health and other accredited public or private nonprofit institutions based on the number of students preparing to enter occupations for which there exist severe shortages.

93.965 COAL MINERS RESPIRATORY IMPAIRMENT TREATMENT CLINICS AND SERVICES

Black Lung Clinics

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Federal Mine Safety and Health Act, Section 427(a); Black Lung Benefits Act; Public Law 95-239.

OBJECTIVES:

(1) To develop high quality, patient-oriented, integrated systems of care which assure access to and continuity of appropriate primary, secondary and tertiary care with maximum use of existing resources; (2) to minimize the effects of respiratory and pulmonary impairments in coal miners and others with occupational related respiratory diseases; and (3) to emphasize patient and family member education to maximize the patient's ability for self-care.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

USES: Grants may be used for the cost of providing diagnostic and treatment procedures required in the management of black lung and other respiratory impairments in coal miners and others with occupational related respiratory diseases, and costs of equipment and facilities renovation when these costs are demonstrated to be necessary to enable the implementation of services.

RESTRICTIONS: Support is available only in areas where it can be demonstrated that there are significant numbers of active and/or inactive coal miners.

Applicant Eligibility:

Any State and/or public or private entity. Federally recognized tribes and tribal organizations are eligible to apply.

Beneficiary Eligibility:

Coal workers with respiratory and pulmonary impairments and their families.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance will cover operational costs and grantees will generally be expected to begin to deliver services immediately. However, at the discretion of the awarding office, up to 6 months may be allowed for planning and program development. See the following for information on how assistance is awarded/released: Grantee drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Grantees are required to submit an annual report that describes the utilization costs of services provided under the grant, and provide such other information as the Secretary determines appropriate. The Black Lung Clinics Program Database report is due annually according to specific instructions from the Program Office. No cash reports are required. Financial status reports are required no later than 90 days after the end of each budget period. Final financial status and progress reports are due 90 days after the end of a project period. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Project Grants) FY 09 \$5,600,000; FY 10 est \$7,200,000; FY 11 est \$7,200,000

Range and Average of Financial Assistance:

Range of awards is \$149,656 to \$1,504,940. The average award is \$387,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: ORHP is starting to collect data from the grantees which will be included in the Performance Improvement Management System (PIMS). ORHP should have data to showcase soon. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Sheila Tibbs, stibbs@hrsa.gov; 301-443-4304; Office of Rural Health Policy, Health Resources and Services Administration, 5600 Fishers Lane Room 10B-45, Rockville, MD 20857. Telephone: (301) 443-0835.

Headquarters Office:

Office of Rural Health Policy 5600 Fishers Lane, Room 10B-45, Rockville, Maryland 20857 Phone: (301) 443-0835

Website Address:

www.hrsa.gov

RELATED PROGRAMS:

17.307 Coal Mine Workers' Compensation; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.773 Medicare_Hospital Insurance; 93.774 Medicare_Supplementary Medical Insurance; 93.778 Medical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The Black Lung Clinics receive grant funding from HRSA to seek out and provide services to coal miners, including current and retired miners. Black Lung clinics services include:

1. Outreach,
2. Primary care (including screening, diagnosis and treatment),
3. Patient and family education and counseling (including anti-smoking education),
4. Patient care and coordination (including individual patient care plans for all patients and referrals, and
5. Pulmonary rehabilitation.

The clinics also provide education programs to help respiratory disease patients and their families cope with their disease, improve their breathing and endurance, and improve the quality of their lives. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Projects must: (1) Serve a significant number of coal workers with pulmonary impairment without regard for their ability to pay; (2) maximize use of existing resources; (3) assure high quality treatment services and management; (4) assure that enrolled clients will receive education and training in the management of their health care; (5) establish agreements with all levels of care providers to assure continuity of care; (6) provide a coordinator of patient care who will assure the implementation of a patient care plan for each enrollee; and (7) coordinate with other similar projects to assure access to those who need services without duplication of effort.

93.969 GERIATRIC EDUCATION CENTERS

GECs

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title VII, Section 753(a), Health Professions Education Partnerships Act of 1998, Public Law 105-392 as amended by the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.

OBJECTIVES:

Grants are awarded to establish or operate Geriatric Education Centers. to provide interdisciplinary training of health professional faculty, students, and practitioners in the diagnosis, treatment and prevention of disease, disability, and other health problems of the elderly. Health professionals include allopathic physicians, osteopathic physicians, dentists, optometrists, podiatrists, pharmacists, nurse practitioners, physician assistants, chiropractors, clinical psychologists, health administrators, and other allied health professionals. Projects supported under these grants must offer training involving four or more health professions, one of which must be allopathic or osteopathic medicine, and must address all of the following statutory purposes: (a) improve the training of health professionals in geriatrics; (b) develop and disseminate curricula relating to the treatment of the health problems of elderly individuals; (c) support training and retraining of faculty to provide instruction in geriatrics; (d) support continuing education of health professionals who provide geriatric care; and (e) provide students with clinical training in geriatrics in nursing homes, chronic and acute disease hospitals, ambulatory care centers, and senior centers.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Assistance can potentially be used to establish and operate a Geriatric Education Center (GEC). Funds may not be used for trainee costs, the constructions of buildings or the acquisition of land.

Applicant Eligibility:

Grants may be made to accredited health professions schools as defined by Section 799B(1) of the Public Health Service Act, or programs for the training of physicians assistants as defined by Section 799B(3), or schools of allied health as defined in Section 799B(4), or schools of nursing as defined by Section 801(2).

Beneficiary Eligibility:

Health professions schools.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 4 to 6 months after receipt of applications.

Appeals:

Not Applicable.

Renewals:

At the end of the project period, competing continuation applications may be submitted.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grants are awarded as cooperative agreements for a project period of five (5) years. See the following for information on how assistance is awarded/released:

Grantees draw down funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual progress reports, in the form of HRSA's performance reporting system, are required. Electronic reporting requirements can be viewed at www.HRSA.gov. No cash reports are required. Annual progress reports, in the form of HRSA's performance reporting system, are required. Electronic reporting requirements can be viewed at www.HRSA.gov. Financial reports are required within 90 days after the end of each budget period. A final progress report and final financial status report must be submitted within 90 days after the end of the project period. See the funding opportunity announcement for further information.

Audits:

No audits are required for this program.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$16,251,552; FY 10 est \$19,597,542; FY 11 est \$19,597,542

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Range: \$215,756 to \$432,248; Average: \$338,574. Fiscal Year 2010: Est, range: \$300,000 to \$500,000; Average: \$498,938. Fiscal Year 2011: Est, range: \$300,000 to \$500,000; Average: \$498,938.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

None. Cynthia Arno, Public Health Analyst, Geriatrics and Public Health Branch, Division of Diversity and Interdisciplinary Education, Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857; telephone 301 443 3594 and E-mail carno@hrsa.gov.

Headquarters Office:

Cynthia Arno 5600 Fishers Lane, Room 9-36, Rockville, Maryland 20857
Email: carno@hrsa.gov Phone: 301 443 3594

Website Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: See www.hrsa.gov for additional information on funded projects. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All applications will be evaluated by and Objective Review Committee to determine the extent to which the applicant documents the following criteria: (1) Need; (2) Response; (3) Evaluative Measures; (4) Impact; (5) Resources/Capabilities; (6) Support Requested; and Interprofessional Collaboration, Education, and Training.

See GEC program guidance at www.grants.gov.

93.970 HEALTH PROFESSIONS RECRUITMENT PROGRAM FOR INDIANS

(Recruitment Program)

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Title I, Sections 202, 112, 114, and 122, Public Law 94-437, as amended, Public Laws 96-537, 100-713, and 102-573.

OBJECTIVES:

(1) To identify American Indians and Alaska Natives with a potential for education or training in the health professions, and to encourage and assist them to enroll in health or allied health professional schools; (2) to increase the number of nurses, nurse midwives, nurse practitioners and nurse anesthetists who deliver health care services to American Indians and Alaska Natives; and (3) to place health professional residents for short-term assignments at Indian Health Service (IHS) facilities as a recruitment aid.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Establishing and operating programs designed to recruit American Indians and Alaska Natives into health and allied health professional schools.

Applicant Eligibility:

Public or private nonprofit health or educational entities or Indian tribes or tribal organizations as specifically provided in legislative authority.

Beneficiary Eligibility:

Preference is given to applicants in the following order of priority: (1) Indian tribes; (2) tribal organizations; (3) urban Indian organizations and other Indian health organizations; or (4) other public or nonprofit health or educational entities.

Credentials/Documentation:

Costs for other institutions will be determined by HHS Regulations 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. The preferred method for receipt of applications is electronic submission through Grants.gov. However, should any technical problems arise regarding the submission, please contact Grants.gov Customer Support at (1-800) 518-4726 or support@grants.gov. The Contact Center hours of operation are Monday-Friday from 7:00 a.m. to 9:00 p.m. (Eastern Standard Time). If you require additional assistance please contact the Grants Policy Office at (301) 443-6290 at least fifteen days prior to

the application deadline. To submit an application electronically, please use the <http://www.Grants.gov> apply site. Download a copy of the application package, on the Grants.gov website, complete it offline and then upload and submit the application via the Grants.gov site. You may not e-mail an electronic copy of a grant application to us. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments.

Award Procedure:

Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Within 90 days of IHS deadline.

Appeals:

From 60 to 90 days.

Renewals:

From 30 to 60 days. Continuation applications must be submitted annually. Continued funding is subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Payments of grant funds are made through the DHHS Payment Management System (PMS) or DHHS Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds. Method of awarding/releasing assistance: quarterly.

Reports:

Program reports are not applicable. Grantee will be required to submit, quarterly PMS 272 Federal Cash Transaction Reports to the Division of Payment Management Branch. Depending on services provided, progress and financial reports will be required either quarterly or semi-annually with final performance and financial status reports due 90 days after the end of the project period. A SF269 Financial Status Report will be due to the Division of Grants Operations 90 days after the end of each budget period. IHS grants are monitored by the Division of Grants Operations for financial compliance and by the IHS Program Staff for programmatic compliance.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0390-0-1-551.

Obligations:

(Cooperative Agreements (Discretionary Grants)) FY 09 \$738,966; FY 10 est

\$757,386; FY 11 est \$757,386 - for American Indians into Psychology (InPsych). (Cooperative Agreements (Discretionary Grants)) FY 09 \$1,028,250; FY 10 est \$1,068,250; FY 11 est \$1,068,250 - for American Indians into Medicine (InMed).

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Fiscal year 2010 1 application is expected to be funded. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.310 - 36.319. Final rules and regulations, the Indian Health Care Improvement Act, Public Law 94-437, amended by Public Law 100-713, enacted November 23, 1988; and Public Law 102-573, enacted October 29, 1992; HHS Grants Policy Statement revised January, 2007.

Regional or Local Office:

None.

Headquarters Office:

Grants Policy Office 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: 301-443-5204

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Potential effectiveness of the proposed project in carrying out such purposes, (2) the demonstrated capability of the applicants to successfully conduct this type of project, (3) accessibility of the applicant to the target population, (4) relationship of project objectives and known or anticipated Indian health manpower objectives, (5) soundness of the fiscal plan, (6) cost reasonableness, and (7) completeness of the application.

93.971 HEALTH PROFESSIONS PREPARATORY SCHOLARSHIP PROGRAM FOR INDIANS

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Improvement Act, Public Law 94-437.

OBJECTIVES:

To make scholarships to American Indians and Alaska Natives for the purpose of completing compensatory pre-professional education to enable the recipient to qualify for enrollment or re-enrollment in a health professions school or curriculum.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarship awards are limited to persons of American Indian or Alaska Native descent. Awards are limited to a maximum of 2 years of full-time scholarship support under this section of the Indian Health Care Improvement Act.

Applicant Eligibility:

Scholarship awards are made to individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency and who have been accepted for enrollment in a compensatory, pre-professional general education course or curriculum.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native descent.

Credentials/Documentation:

Students must submit proper documentation of Indian eligibility verification

from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and instructions are provided by the Indian Health Service (IHS) Scholarship Program acting through the IHS Area Program Offices. Application forms and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) should be submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure:

Payments for stipends and books are made directly to the individual applicant. Tuition payments and fees are made to the college or university, upon receipt of an invoice.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Tuition payments are paid upon receipt of invoices from the universities. Stipend payments are paid monthly, for 10 months or for the academic period if students attends summer school. Amount for books and other necessary expenses are provided to student. See the following for information on how assistance is awarded/released: Tuition payments are paid upon receipt of invoices from the universities. Stipend payments are paid monthly, for 10 months or for the academic period if students attends summer school. Amount for books and other necessary expenses are provided to student.

Reports:

No program reports are required. No cash reports are required. Students are required to submit their official transcripts as they are received. In addition, recipients must submit initial progress reports on forms supplied by IHS and notifications of problems/changes. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

Indian Health Service Scholarship Branch, IHS, maintains records on all students. Records are maintained for 4 years after the final award payment has been made.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 09 \$2,519,107; FY 10 est \$2,519,107; FY 11 est \$2,519,107

Range and Average of Financial Assistance:

\$17,500 to \$26,019; \$17,366.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

42 CFR 36.320 - 36 324. Final Rules and Regulations, the Indian Health Care Improvement Act, Public Law 94-437, published in the Federal Register on November 18, 1977.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for Indian Health Service Area Offices.

Program Contact: Dr. Dawn Kelly, Scholarship Branch, Division of Health Professions Support, 801 Thompson Avenue, Suite 450A, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS.

Headquarters Office:

Grants Policy Office, 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applicant must be American Indian or Alaska Native. Other elements considered are: (1) Academic performance; (2) faculty recommendation; (3) stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions are taken under consideration.

93.972 HEALTH PROFESSIONS SCHOLARSHIP PROGRAM

FEDERAL AGENCY:

Indian Health Service, Department of Health and Human Services

AUTHORIZATION:

Indian Health Care Amendments of 1988, Section 104.

OBJECTIVES:

To provide scholarships to American Indians and Alaska Natives at health professions schools in order to obtain health professionals to serve Indians. Upon completion, scholarship recipients are obligated to serve in the Indian Health Service or an Indian health organization for each year of support with a minimum of 2 years.

TYPES OF ASSISTANCE:

Training

USES AND USE RESTRICTIONS:

Scholarships support students who pursue full-time or part-time courses of study in health professions needed by the Indian Health Service (IHS). Disciplines have included allopathic and osteopathic medicine, dentistry, nursing (baccalaureate and graduate), public health nutrition (graduate), medical social work (graduate), speech pathology/audiology (graduate), optometry, pharmacology and health care administration. Not all disciplines participate each year. Scholarships may not be awarded to students who have conflicting service obligations after graduation or who are not enrolled members of Federally-recognized tribes. Recipients are required to perform 1 year of obligated service for each year of scholarship support, with a minimum obligation of 2 years in the Indian Health Service, or in a program conducted under a contract entered into under the Indian Self-Determination Act; or in an Indian health organization assisted under Title V of the Indian Health Care Improvement Act; or in private practice in a Health Manpower Shortage Area which addresses the health care needs of a substantial number of Indians. Deferment for internship, residency, or other advanced clinical training for

medical, osteopathy, and dental students is generally not to exceed 3 years, consistent with the specialty needs of the IHS. Currently, 4-year deferments may be granted for obstetrics- gynecology and psychiatry residencies.

Applicant Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of a Federally-recognized tribe are eligible. Applicants for new awards: (1) must be accepted by an accredited U.S. educational institution for a full-time or part-time course of study leading to a degree in medicine, osteopathy, dentistry, or other participating health profession which is deemed necessary by the Indian Health Service; (2) be eligible for or hold an appointment as a Commissioned Officer in the Regular or Reserve Corps of the Public Health Service; or (3) be eligible for civil service in the Indian Health Service.

Beneficiary Eligibility:

Individuals of American Indian or Alaska Native who are enrolled members of Federally-recognized tribe; full-time students of medicine or other health professions; prospective or currently Commissioned Officers in the Regular or Reserve Corps of the Public Health Service; and eligible civilians of the Indian Health Service.

Credentials/Documentation:

Students claiming Indian priority must submit proper certification and documentation as specified in the application. All applicants must provide transcripts of their latest academic work. All incoming freshmen are to provide copies of acceptance letters for enrollment in school. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application forms and instructions are provided by the Indian Health Service Scholarship Program, acting through the IHS Area offices.

Award Procedure:

Priority will be given to applicants who are prior recipients. Special consideration is given to junior and senior level students whose specialty plans are in primary care fields. Criteria which determine competitive ranking awards may include: (1) work experience, preferably health-related in communities of health care need; (2) personal background from a community of health care need; (3) career goals of primary care practice in service to Indians; and, (4) academic performance. Student financial need does not affect selection.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

Not Applicable.

Renewals:

Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Maximum length of funding is a total of 4 years. See the following for information on how assistance is awarded/released: Tuition payments and fees are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 12-month academic period. An amount for books and other necessary expenses is provided to the student.

Reports:

No program reports are required. Annual reports are required from schools indicating average student educational expense. Students are required to submit their official transcripts as they are received. In addition, recipients must submit initial and mid-term progress reports on forms supplied by IHS. No expenditure reports are required. No performance monitoring is required.

Audits:

Not Applicable.

Records:

The Indian Health Service Scholarship Program, maintains records for 7 years following completion of obligated service or other disposition of the scholarship obligation.

Account Identification:

75-0390-0-1-551.

Obligations:

(Training) FY 09 \$9,603,857; FY 10 est \$9,603,857; FY 11 est \$9,603,857

Range and Average of Financial Assistance:

\$24,128 to \$38,222; \$24,694.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Dr. Dawn Kelly, IHS Scholarship Program, Division of Health Professions Support, Indian Health Service, 801 Thompson Avenue, Suite 450A, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Management Contact: Ms. Tammy Bagley, Grants Policy Officer, Division of Grants Management Operations, Indian Health Service, 801 Thompson Avenue, TMP, Suite 360, Rockville, MD 20852. Telephone: (301) 443-5204. Use the same numbers for FTS. See Appendix IV of the Catalog for Indian Health Service Area Program Offices.

Headquarters Office:

Grants Policy Office, 12300 Twinbrook Parkway, Suite 360, Rockville, Maryland 20852 Email: grantspolicy@ihs.gov Phone: (301) 443-5204.

Website Address:

<http://www.ihs.gov>

RELATED PROGRAMS:

93.288 National Health Service Corps Scholarship Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

(1) Academic performance; (2) faculty recommendations; (3) stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions.

93.974 FAMILY PLANNING_SERVICE DELIVERY IMPROVEMENT RESEARCH GRANTS

(SDI)

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Title X of the Public Health Service Act, as amended, Section 1005, as applicable, 42 CFR 59, Public Law 91-572.

OBJECTIVES:

To promote service delivery improvement through research studies and application of knowledge.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grants are awarded to develop research studies for the improvement of family planning services delivery of projects funded under Title X, Section 1001 of the Public Health Service Act. Funds may not be used in programs where abortion is a method of family planning.

Applicant Eligibility:

Any public entity (city, county, local, regional, or State government) or private nonprofit entity located in a State (including the District of Columbia, Puerto Rico, the Commonwealth of the Northern Marianas Islands, Guam, American Samoa, the Virgin Islands, the Federated States of Micronesia, the Republic of Marshall Islands and the Republic of Palau) is eligible to apply for a grant under this subpart.

Beneficiary Eligibility:

All levels of government and nonprofit entities responsible for the efficient and effective delivery of family planning services; providers and recipients of family planning services; and the general public.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following cost principles: OMB Circular No. A-21, for educational institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application Form PHS-398 (Rev. May 1995) for research grants should be submitted. The standard application forms, as furnished by PHS and required by 45 CFR, Part 74, (for institutions of higher education, hospitals and other non-profit organizations), and 45 CFR, Part 92 (for state and local governments), must be used for this program. This program is subject to the provisions of 45 CFR, Part 74, and 45 CFR, Part 92, as well as OMB Circular No. A-102 (administrative guidelines and standards for states and local governments), and OMB Circular No. A-110 (administrative guidelines and standards for institutions of higher education, hospitals, and other non-profit organizations).

Award Procedure:

The Deputy Assistant Secretary for Population Affairs makes final decisions to approve, hold, or reject individual grants or contracts. Applicants are notified regarding the final decision on each application and are furnished statements of award for all projects approved.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 150 to 180 days.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Projects are renewed, as required, based on submission of an application, including a progress report and evidence of plan compliance.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may be approved for a budget period not to exceed 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53, and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Salaries) FY 09 \$600,000; FY 10 est \$600,000; FY 11 est \$600,000

Range and Average of Financial Assistance:

\$150,000 to \$200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

None. OPHS-Office of Population Affairs, Office of Family Planning
Tower Building, 1101 Wootton Pkwy Suite 700
Rockville, MD 20852. Phone 240-453-2828

OPHS-Office of Grants Management
Tower Building 1101 Wootton Parkway, Suite 550
Rockville, MD 20852. Phone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.260 Family Planning_Personnel Training

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: (1) Studies of factors influencing women to discontinue attending family planning clinics; (2) studies of particular family planning needs of underserved subgroups of low income women; and (3) studies to tailor family planning services to the needs of teens. Fiscal Year 2010: (1) Studies of factors influencing women to discontinue attending family planning clinics; (2) studies of particular family planning needs of underserved subgroups of low income women; and (3) studies to tailor family planning services to the needs of teens. Fiscal Year 2011: (1) Studies of factors influencing women to discontinue attending family planning clinics; (2) studies of particular family planning needs of underserved subgroups of low income women; and (3) studies to tailor family planning services to the needs of teens.

CRITERIA FOR SELECTING PROPOSALS:

Scientific merit and significance; competency of project staff; feasibility, reasonableness of proposed budget period relative to proposed research; adequacy of applicant's resources; amount of support required; adequacy of methodology; adequacy of the means for protecting against adverse effects upon humans, animals, or the environment (when applicable).

93.975 NATIONAL ALL SCHEDULES PRESCRIPTION ELECTRONIC REPORTING GRANT

NASPER

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 3, Part P, Public Law 109-60, 42 U.S.C 280g-3.

OBJECTIVES:

To foster the establishment of State-administered controlled substance monitoring programs (PMP) in order to ensure that health care providers have access to the accurate, timely prescription history information that they may use as a tool for the early identification of patients at risk for addiction in order to initiate appropriate medical interventions and avert the tragic personal, family, and community consequences of untreated addiction.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Funds (including direct costs and indirect costs) are to be used for expense clearly related and necessary to carry out the establishment and implementation of a State PMP or to make improvements to an existing State PMP.

Applicant Eligibility:

State and District of Columbia.

Beneficiary Eligibility:

None.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. All applicants must use application HHS-5161-1. States, including the District of Columbia, must apply annually for an allotment, and are required to submit an application which contains a State response to how it would meet the requirements set forth in the Request for Application (RFA).

Award Procedure:

Application for grants recommended for approval by the review group and concurred by the Center Director (CSAT) are awarded directly by CSAT to the applicant organization.

Deadlines:

Aug 10, 2010 A hard copy application is due by 5:00 PM (EST). An electronic application is due by 11:59 PM (EST).

Range of Approval/Disapproval Time:

From 15 to 30 days.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title PHS Act Title III, Part P, Public Law 109-60; 42 USC 280g-3.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Each allotment is available for obligation and expenditure during the fiscal year it was allotted. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. Quarterly report SF272 required by the Division of Payment Management. Quarterly reports for SF 425 required to be submitted to the Division of Payment Management. Yearly financial status reports are due 90 days after the end of the project period to OPDIV. Performance monitoring will be done through progress reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

There is a 3-year records retention requirement. If any litigation, claim, negotiation, audit or other actions involving the records, records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-1362-0-1-550.

Obligations:

(Formula Grants) FY 09 \$2,000,000; FY 10 est \$2,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

\$52,922 to \$454,587; \$153,846.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 74 and 92 and the HHS Grant Policy Statement found at <http://www.hhs.gov/asrt/og/grantinformation/hhsgps107.pdf>

Regional or Local Office:

None.

Headquarters Office:

Jennifer Fan, 1 Choke Cherry Rd., Room 2-1084, Rockville, Maryland 20857
Email: jennifer.fan@samhsa.hhs.gov Phone: (240) 276-1759.

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Eligible applicants who submit an application for improving a State PMP must include a budget cost estimate and a plan for ensuring that the State controlled substance monitoring program is in compliance to the following criteria and penalty requirements: criteria for security information handling and for the database maintained by the State; an agreement to adopt interoperability standards, including health vocabulary and messaging standards, set by SAMHSA; criteria for meeting the uniform electronic format set by SAMHSA; criteria for availability of information and limitation on access to program personnel; criteria for access to the database and procedures to ensure that information in the database is accurate; criteria for the use and disclosure of information including the description of the certification process to be applied

to requests for information; penalties for the unauthorized use and disclosure of information maintained in the monitoring program in violation of applicable State law or regulation. A plan is also needed to enable the State PMP to achieve interoperability with at least one other State PMP. Assurances of compliance must be made; however, if compliance is not feasible or is contrary to the best interests of public health in that state, a statement describing why must be submitted. In addition to the criteria mentioned above, applications for establishing and implementing a State PMP must also include information on the relevant State laws, policies, and procedures regarding purging of information from the database; and assurances of compliance with all requirements for this program.

93.977 PREVENTIVE HEALTH SERVICES_SEXUALLY TRANSMITTED DISEASES CONTROL GRANTS

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Public Law 100-202.

OBJECTIVES:

To reduce morbidity and mortality by preventing cases and complications of sexually transmitted diseases (STD). Project grants under Section 318c awarded to State and local health departments emphasize the development and implementation of nationally uniform prevention and control programs which focus on disease intervention activities designed to reduce the incidence of these diseases, with applied research, demonstration, and public and professional education activities supporting these basic program activities authorized under Section 318b of the Public Health Service Act.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for: (1) Sexually-transmitted disease (STD) surveillance activities, including reporting, screening, and follow-up of diagnostic tests for, and diagnosed cases of, STD; (2) STD case finding and case follow-up activities, including notification of sex partners to infectious cases of STD and follow-up systems; (3) interstate epidemiological referral and follow-up activities; (4) program-specific professional (including appropriate allied health personnel) STD education, training, and clinical skills improvement activities as authorized under section 318(b) of the PHS Act; (5) program-specific STD education and information activities as authorized under Section 318b of the PHS Act; (6) special studies or demonstrations to evaluate or test STD prevention activities; and (7) control strategies and activities as may be prescribed by the Secretary. Grant funds may not be used for: (1) Supplanting funds supporting existing STD control services provided by a State or locality; or (2) unless specifically approved for that purpose, grant funds shall not be used for performing diagnostic tests (other than gonorrhea screening tests), maintaining central registries, providing diagnostic and treatment facilities and services, or purchasing automated data processing equipment.

Applicant Eligibility:

Any State, and, in consultation with the appropriate State Health Authority, any political subdivision of a State, including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Beneficiary Eligibility:

Any State or authorized subdivision including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe the evaluation procedures, and provide a budget with justification of funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Telephone: (770) 488-2720. This program is subject to the provisions of 45 CFR, 92. The standard application forms, as furnished by PHS and required by 45 CFR, 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a Notice of Grant Award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: From one to five years; budget period: 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

No program reports are required. No cash reports are required. Bi-Annual and Annual performance reports. Financial status reports no later than 90 days after the end of each specified period. No expenditure reports are required. Bi-Annual and Annual.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$17,536,069; FY 10 est \$17,989,989; FY 11 est \$18,443,909 - FY09 - \$103,157,163; FY10 - est \$103,157,162; and FY11 - est \$102,824,727.

Range and Average of Financial Assistance:

\$43,000 to \$6,723,000; Average- \$1,345,429.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations for this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carson, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov/std/>

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.260 Family Planning_Personnel Training; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.865 Child Health and Human Development Extramural Research; 93.978 Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated based upon the magnitude of the problem, the establishment of specific and measurable objectives to address the problem, and the development of a sound operational plan which will ensure the implementation of each program element.

93.978 PREVENTIVE HEALTH SERVICES_SEXUALLY TRANSMITTED DISEASES RESEARCH, DEMONSTRATIONS, AND PUBLIC INFORMATION AND EDUCATION GRANTS**FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Section 318b, Public Law 100-202.

OBJECTIVES:

To develop, improve, apply, and evaluate methods for the prevention and control of gonorrhea, chlamydia, syphilis, and other sexually-transmitted diseases (STD) through demonstrations and applied research; to develop, improve, apply, and evaluate methods and strategies for public information and education about syphilis, gonorrhea, chlamydia and other STD, and to support professional (including appropriate allied health personnel) STD education, training, and clinical skills improvement activities.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds may be used for: (1) The costs associated with planning, organizing, and conducting applied research, demonstrations, and education programs; (2) professional (including appropriate allied health personnel) STD education, training, and clinical skills improvement activities; and (3) to reimburse individuals asked to be participants in the applied research. Such reimbursements must be submitted for specific approval. Grant funds may not be used for supplanting funds supporting existing STD control services provided by a State or locality. Proposals for applied research involving human subjects must follow current Public Health Service (PHS) and Centers for Disease Control and Prevention (CDC) guidelines on human experimentation.

Applicant Eligibility:

Any State, political subdivisions of States, and any other public or private nonprofit institutions including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Beneficiary Eligibility:

Any State, political subdivisions of States, and any other public or private nonprofit institutions including American Indian/Alaska Native tribal governments or tribal organizations located wholly or in part within their boundaries.

Credentials/Documentation:

Applicants should document the need for assistance, describe the evaluation procedures, and provide a budget with justification of funds requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with 45 CFR, Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. To apply for this funding opportunity, use application form PHS 398 (OMB number 0925-0001 rev. 5/2001). Forms and instructions are available in an interactive format on the CDC web site, at the following Internet address: www.cdc.gov/od/pgo/forminfo.htm. Submit the signed original and five copies of your application by mail or express delivery service to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341. The standard application forms 5161 must be used for the program as furnished by CDC and required by 45 CFR 92 for state and local governments and by 45 CFR 74 for nongovernmental applicants. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. This program is subject to the provisions of 45 CFR, Part 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations, as appropriate.

Award Procedure:

Applications that are complete and responsive to the announcement will be evaluated for scientific and technical merit by an appropriate peer review group convened by the NCIPC in accordance with the review criteria listed above. As part of the initial merit review, all applications may: 1) Undergo a process in which only those applications deemed to have the highest scientific merit, generally the top half of the applications under review, will be discussed and assigned a priority score; 2) receive a written critique; and 3) receive a second level review by the Science and Program Review Subcommittee (SPRS) of the Advisory Committee for Injury Prevention and Control (ACIPC). Successful applicants will receive a Notice of Grant Award (NGA) from the CDC Procurement and Grants Office. The NGA shall be the only binding, authorizing document between the recipient and CDC. The NGA will be signed by an authorized Grants Management Officer, and mailed to the recipient fiscal officer identified in the application.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as in Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Project period: From 1 to 5 years; budget period: 12 months. Method of awarding/releasing assistance: by letter of credit.

Reports:

See FOA for details. No cash reports are required. See FOA for details. No expenditure reports are required. See FOA for details.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases records must be retained until resolution of any audit questions. Property records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - FY09 - \$4,861,434; FY10 - est \$4,873,432; and FY11 - est \$4,886,431.

Range and Average of Financial Assistance:

\$242,921 to \$610,912; Average - \$358,572.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations governing this program are published under 42 CFR 51b. Guidelines are available. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994, is available.

Regional or Local Office:

None.

Headquarters Office:

Reginald Carson, 1600 Clifton Road, NE, Mailstop E-07, Atlanta, Georgia 30333 Email: rdc2@cdc.gov Phone: (404) 639-0022.

Website Address:

<http://www.cdc.gov/std/>.

RELATED PROGRAMS:

93.217 Family Planning_Services; 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers); 93.260 Family Planning_Personnel Training; 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance; 93.855 Allergy, Immunology and Transplantation Research; 93.856 Microbiology and Infectious Diseases Research; 93.865 Child Health and Human Development Extramural Research; 93.977 Preventive Health Services_Sexually Transmitted Diseases Control Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be evaluated on the need for the proposal and its potential benefit(s) to STD control; possible effects upon local STD control programs; consistency with national program goals; specific and measurable objectives; a

workable and meaningful plan for evaluation; and a sound operational plan that ensures the proposal can be carried out to reach stated objectives.

93.982 MENTAL HEALTH DISASTER ASSISTANCE AND EMERGENCY MENTAL HEALTH

(Mental Health Disaster Assistance)

FEDERAL AGENCY:

Substance Abuse and Mental Health Services Administration, Department of Health and Human Services

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act., Section 416, Public Law 100-707.

OBJECTIVES:

To provide supplemental emergency mental health counseling to individuals affected by major disasters, including the training of workers to provide such counseling.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides funds for staff, travel, consultants and other expenses incident to the provision of mental health counseling to and referral of individuals in a disaster area. Funds may not be used for long-term treatment.

Applicant Eligibility:

Applicants may be State or local nonprofit agencies as recommended by the State Governor and accepted by the Secretary.

Beneficiary Eligibility:

Individuals who were victims of major disasters.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. For other grantees, costs will be determined in accordance with HHS Regulations 45 CFR Part 74, Subpart Q. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The standard application forms, as furnished by PHS and required by 45 CFR, Part 92 for State and local governments, must be used for this program. Application form (PHS 5161-1) is submitted by the State Coordinating Officer to the Regional Director, Federal Emergency Management Agency, no later than 60 days following declaration of a disaster. This program is subject to the provisions of 45 CFR 92 for State and local governments and OMB Circular No. A-110 for nonprofit organizations.

Award Procedure:

Applications are reviewed by an objective review committee and recommended for approval or disapproval. Final determination for an award is made by Federal Emergency Management Agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 30 to 60 days. 30 to 60 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Assistance may be for 9 months with a possible extension of up to 90 days as approved by FEMA Regional Director. See the following for information on how assistance is awarded/released: SAMHSA Grants Management Office notifies grantees through a Notice of Award (NoA).

Reports:

A final progress report is due 90 days after the end of the budget/project period. No cash reports are required. A final progress report is due 90 days after the end of the budget/project period. The project officer requires a quarterly budget expenditure report to determine the supplemental funding. The project officers monitors grantees progress through frequent site visits and request for budget changes, etc.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, 45 CFR 74.26 requires that for-profit recipients and subrecipients have an audit performed in accordance with Government Auditing Standards or Circular No. A-133.

Records:

Records must be retained for at least 3 years; records shall be retained beyond the 3 year period if audit findings have not been resolved.

Account Identification:

75-1362-0-1-550.

Obligations:

(Project Grants (Special)) FY 09 \$29,754,402; FY 10 est \$29,754,402; FY 11 est \$29,754,402 - FY 2009 issued 19 awards.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 19 awards issued. Fiscal Year 2010: Unknown. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

See 44 CFR 205. Guidelines available in application kit. Disaster Assistance and Emergency Mental Health, DHHS Publication No. (ADM) 76-327. PHS Grants Policy Statement, DHHS Publication No. (OASH) 94-50,000, (Rev.) April 1, 1994.

Regional or Local Office:

See Regional Agency Offices. Contact the Regional Director, Federal Emergency Management Agency in the appropriate regional office. (See Appendix IV of the Catalog.).

Headquarters Office:

Kathleen K. Sample, 1 Choke Cherry Road, Room 7-1091, Rockville, Maryland 20857 Email: kathleen.sample@samhsa.hhs.gov Phone: (240) 276-1407 Fax: (240) 276-1430.

Website Address:

<http://www.samhsa.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Unknown. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Presidential declaration of the disaster area. Justified need for supplementary services.

93.988 COOPERATIVE AGREEMENTS FOR STATE-BASED

DIABETES CONTROL PROGRAMS AND EVALUATION OF SURVEILLANCE SYSTEMS

(DPCPs)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Title 42, Section 301(a) 317(k)(3), Public Law USC-95-626.

OBJECTIVES:

Provide resources to state health departments to: (1) Determine the size and nature of diabetes-related problems and why they exist; (2) develop and evaluate new strategies for diabetes prevention; (3) establish partnerships to prevent diabetes problems; (4) increase awareness of diabetes prevention and control opportunities among the public, the health care and business communities, and people with diabetes; (5) improve access to quality care in order to prevent, detect and treat diabetes complications; (6) emphasize prevention of complications and premature mortality among people with diabetes; and (7) utilize a model of influence by linking new programs and existing programs that support social and environmental policies for the promotion of wellness in both people with diabetes and those at risk for diabetes.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Cooperative Agreement funds may be used for costs associated with planning, implementing, and evaluating State based diabetes control programs. Cooperative Agreement funds may not be used for direct curative or rehabilitative services.

Applicant Eligibility:

Eligible applicants are the official State and territorial health agencies of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Northern Mariana Islands, the Federated States of Micronesia, the Republic of the Marshall Islands, the Republic of Palau, and American Samoa.

Beneficiary Eligibility:

State health agencies will benefit.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, outline the method of operation, describe evaluation procedures, and provide a budget with justification for funds requested. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Information on the submission of applications may be obtained from the Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. This program is subject to the provisions of 45 CFR 92. The standard application forms, as furnished by PHS and required by 45 CFR 92 for State and local governments, must be used for this program.

Award Procedure:

After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application

deadlines.

Range of Approval/Disapproval Time:

From 3 to 4 months.

Appeals:

Not Applicable.

Renewals:

Same as Application Procedure.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25.%. Matching funds are required from non-federal sources in the amount of not less than \$1 for each \$4 federal funds awarded to grantees.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

For 5 years. Budget period: Approximately 12 months. Method of awarding/releasing assistance: lump sum.

Reports:

Progress reports are required annually. A plan of action is required annually. Special studies will require protocols, subject to approval. Cash reports are not applicable. Progress reports are required annually. A plan of action is required annually. Special studies will require protocols, subject to approval. Financial status reports are required no later than 90 days after the end of each specified funding period. Final financial status and progress reports are required 90 days after the end of a project. Progress reports are required annually. A plan of action is required annually. Special studies will require protocols, subject to approval.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. In addition, grants and cooperative agreements are subject to inspection and audits by DHHS and other Federal government officials.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the cooperative agreement program shall be retained for a minimum of 3 years, or until completion and resolution of any audit in process or pending resolution. In all cases, records must be retained in accordance with PHS Grants Policy Statement requirements.

Account Identification:

75-0943-0-1-550.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$27,434,043; FY 11 est not reported.

Range and Average of Financial Assistance:

FY 09 range is \$202,000 to \$1,043,922.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Barbara Park, Program Development Branch, Division of Diabetes Translation, National Center for Chronic Disease Prevention and Health Promotion, Centers of Health and Human Services, 1600 Clifton Road, NE., Atlanta, GA 30333. Telephone: (770) 488-1094. Grants Management Contact: Hector Buitrago, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, 2920 Brandywine Road, Room 3000, Atlanta, GA 30341. Telephone: (770) 488- 2921.

Headquarters Office:

Barbara Z Park, 2877 Brandywine Road
Williams Building, Atlanta, Georgia 30341 Email: BPark@cdc.gov Phone:
(770) 488-1094 Fax: (770) 488-5966.

Website Address:

http://www.cdc.gov.

RELATED PROGRAMS:

93.283 Centers for Disease Control and Prevention_ Investigations and Technical Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Background and Need - the extent to which the applicant justifies the need for this program, including whether sufficient information is provided to describe the diabetes burden in the state, the state's environment, anticipated barriers to reducing the burden of diabetes, and plans to overcome barriers.

Project Work Plan 1) Administration, Management, and Leadership - the extent to which the applicant describes plans to address recipient activities in the following categories that are realistic and feasible given the time and resources allocated: a) Five-year Strategic Plan, b) Leadership and Collaboration/Partnership, c) Training, Technical Assistance, and Consultation, d) Coordination of Efforts among Chronic Disease Prevention and Health Promotion Programs, e) Information Exchange, f) Sustainability, and g) Program Management, including the extent to which the staffing number and qualifications will support the objectives and activities of the program. 2) Surveillance, Analyses, and Evaluation - the extent to which the applicant describes plans to address recipient activities in these three categories that are realistic and feasible given the time and resources allocated.

Accomplishments - the extent to which the applicant describes the program accomplishments within the past five and a half years, including the program's contributions to improving the current national objectives.

Proven Capacity - the extent to which the applicant's narrative description of its past performance demonstrates its ability to manage fiscal resources, implement an effective management plan, and maintain and develop adequate leadership and staffing capacity to carry out the program objectives/activities.

93.989 INTERNATIONAL RESEARCH AND RESEARCH TRAINING

Global Health Research and Research Training

FEDERAL AGENCY:

National Institutes of Health, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, Sections 301 and 405, as amended; 42 U.S.C. 241 and 284.

OBJECTIVES:

The John E. Fogarty International Center (FIC) supports research and research training to reduce disparities in global health and to foster partnerships between U.S. scientists and their counterparts abroad. FIC supports basic biological, behavioral, and social science research, as well as related research training. The research portfolio is divided into several programs that support a wide variety of funding mechanisms to meet programmatic objectives.

TYPES OF ASSISTANCE:

PROJECT GRANTS; TRAINING

USES AND USE RESTRICTIONS:

Grants and Research Training Grants provide funds for salaries, equipment, supplies, travel, tuition, and other expenses associated with research training and scientific investigation concerning global health issues. These grants are awarded to universities; colleges; medical, nursing and dental schools; hospitals; and other non-profit and for-profit institutions. Awards include grants, cooperative agreements, international research training grants, and career development awards.

Applicant Eligibility:

In general, universities, colleges, hospitals, laboratories, Federal institutions and other public or private non-profit and for-profit domestic and foreign institutions, and State and local units of government are eligible to submit applications for research grants, research training grants, cooperative

agreements, and career development awards. The grantee institution must agree to administer the grant in accordance with prevailing regulations and policies. The eligibility requirements may differ amongst FIC programs, based on the eligibility section found in our Requests For Applications (RFAs) and Program Announcements (PAs) at: <http://www.fic.nih.gov/funding/index.htm> .

Beneficiary Eligibility:

Any non-profit or for-profit organization, company or institution engaged in health and biomedical research.

Credentials/Documentation:

Applications for research grants must present the objectives, methodology, and resources for the specific research project, and must demonstrate the applicant's competence and interest. For training grants, the applicant's academic record, research experience, and the proposed area and plan of training must be included in the application. The applicant institution must present the objectives, training program, and resources for the research-training program, the research qualifications and experience of directing staff in training students (the criteria to be used in selecting individuals for awards), a detailed budget, and justification for the amount of grants funds requested. Costs will be determined in accordance with OMB Circular A-21 - Cost Principles for Educational Institutions, Circular A-110 - Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, OMB Circular A-122 for Nonprofit Organizations, Circular A-102 - Grants and Cooperative Agreements with State and Local Governments, and Circular A-87, Cost Principles for State, Local and Indian Tribal Governments. For-profit organizations' costs are determined in accordance with Subpart 31.2 of the Federal Acquisition Regulations. For other than State and local government grantees, costs will be determined by Health and Human Services (HHS) Regulations 45 CFR, Part 74, Subpart Q. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Standard application forms, as required by 45 CFR, Part 74 and 92, must be used for this program. Detailed instructions for submitting applications to our programs are provided in our Requests For Applications (RFAs) and Program Announcements (PAs) at: <http://www.fic.nih.gov/funding/index.htm>.

Award Procedure:

Applications are reviewed initially for scientific and technical merit. This is followed by a second level review by the Fogarty International Center's Advisory Board.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Grants: From six to nine months.

Appeals:

A Principal Investigator (PI) may question the substantive or procedural aspects of the initial scientific review of his or her application by communicating with FIC staff.

Renewals:

Non-competing renewal applications may be made for all multi-year awards. Applicants must submit their request on form PHS 2590 two months before the end of the last budget period. Competing renewal applications may be submitted only if allowed in the RFA or PA.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Approval of a project includes determination of support for the authorized project period (not to exceed five years). Awards to support the project are made on an annual basis. At the time of initial award, the grant provides funds for the conduct of the project during the first budget period (usually 12 months) and the Notice of Grant Award (Form PHS-1533) indicates the support recommended and expected to be made available for the remainder of the project beyond the approved project period. Funds are disbursed via the PMS Electronic Transfer System for domestic grantees, and by U.S. Treasury Check or Direct Deposit for foreign grantees. Loan Repayment Program Awards are for two years with the opportunity for renewal. Method of awarding/releasing assistance: quarterly.

Reports:

No program reports are required. Cash reports are not applicable. The grantee is responsible for annual progress reports. These reports are required for program analysis as part of all continuation applications. In addition, a final report must be filed within 90 days of the termination of the grant. The final report includes an account of progress made toward achievement of the originally stated aims; a list of the significant results; and a list of publications resulting from the projects. For most projects, a financial status report must be filed 90 days after each competitive segment. Reports must be provided to FIC staff in accordance with the CFR Title 42 Part 52 - Grants for Research Projects and the CFR Title 45 Part 74 - Uniform Administrative Requirements for grants and cooperative agreements. Annually for training grants, research grants, direct foreign awards not under SNAP. SNAP grantees guidelines requires a final projects report at the end of the project period. Performance is monitored annually by the NIH/Fogarty Center program staff.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133, but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and Government Accountability Office (GAO).

In accordance with 45 Code of Federal Regulations, Part 74.26, for-profit (commercial) organizations are subject to audit requirements for a non-Federal audit if, during its fiscal year, it expended \$500,000 or more under HHS awards and at least one award is a HHS grant or subgrant. The regulation incorporates the thresholds and deadlines of OMB Circular No. A-133, but provides for profit organizations with two options for the type of audit that will satisfy the audit requirement:

1. a financial related audit of the HHS awards in accordance with Government Auditing Standards, or
2. an audit that meets the requirements of OMB Circular No. A-133.

In accordance with NIH grants policy, Foreign grantees are subject to the same audit requirements as for-profit (commercial) organizations.

Records:

Grantees generally must retain financial and programmatic records, supporting documents, statistical records, and all other records that are required by the terms of a grant, or may reasonably be considered pertinent to a grant, for a period of 3 years from the date the annual FSR is submitted. For awards under SNAP (other than those to foreign organizations and Federal institutions), the 3-year retention period will be calculated from the date the FSR for the entire competitive segment is submitted. Those grantees must retain the records pertinent to the entire competitive segment for 3 years from the date the FSR is submitted to NIH. Foreign organizations and Federal institutions must retain records for 3 years from the date of submission of the annual FSR to NIH. See 45 CFR 74.53 and 92.42 for exceptions and qualifications to the 3-year retention requirement (e.g., if any litigation, claim, financial management review, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken). Those sections also specify

the retention period for other types of grant-related records, including F&A cost proposals and property records. See 45 CFR 74.48 and 92.36 for record retention and access requirements for contracts under grants.

In accordance with 45 Code of Federal Regulations, Part 74.53(e), the HHS Inspector General, the U.S. Comptroller General, or any of their duly authorized representatives have the right of timely and unrestricted access to any books, documents, papers, or other records of recipients that are pertinent to awards in order to make audits, examinations, excerpts, transcripts, and copies of such documents. This right also includes timely and reasonable access to a recipients personnel for the purpose of interview and discussion related to such documents. The rights of access are not limited to the required retention period, but shall last as long as records are retained.

Account Identification:

75-0819-0-1-552.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$69,227,000; FY 11 est \$73,027,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Fogarty expects to continue to operate on a Zero Based budget. Our plans are to award at the same funding as we did in FY 2009.

AAIDS International Training and Research Program: 27 awards
Brain Disorders in the Developing World: 9 awards
Ecology of Infectious Diseases Program: 2 awards
Fogarty International Research Collaboration Award - Basic Biomedical: 19 awards
Fogarty International Research Collaboration Award - Behavioral and Social Sciences: 5 awards
Framework Programs for Global Health: 19 awards
Global Health Research Initiative Program for New Foreign Investigators: 6 awards
Global Infectious Disease Research Training Program: 5 awards
Informatics Training for Global Health Program: 7 awards
International Clinical, Operational and Health Services Research and Training Award: 2 awards
International Clinical, Operational and Health Services Research and Training Award for HIV/AIDS and Tuberculosis: 2 awards
International Cooperative Biodiversity Groups Program: 2 awards
International Malaria Clinical, Operational and Health Services Research Training Program Planning Grants:
International Research Ethics Education and Curriculum Development Award: 15 awards
International Research Scientist Development Award: 10 awards
International Tobacco and Health Research and Capacity Building Program: 8 awards
International Training and Research in Environmental and Occupational Health Program: 15 awards
International Training and Research in Population and Health Program: 10 awards
Bioengagement: 1 award
Millennium Promise Awards: Non-communicable Chronic Diseases Research Training Program: 8 awards. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

The grant program legislation and program regulations cited in the Notice of Grant Award, the restrictions on the expenditure of Federal funds in appropriations acts, to the extent those restrictions are pertinent to the award, 45 CFR Part 74 or 45 CFR Part 92, as applicable, the NIH Grants Policy Statement, including addenda in effect as of the beginning date of the budget period, and the terms and conditions on the notice of grant award. Information regarding FIC programs can be found at:
<http://www.nih.gov/fic/programs.html>.

Regional or Local Office:

None.

Headquarters Office:

Kathleen M. Blair, M.A., Fogarty International Center, Division of International Training and Research, 31 Center Drive, MSC 2022, Building 31, Room B2-C39, Bethesda, Maryland 20892-2022 Email: blairk@nih.gov
Phone: (301) 451-9713 Fax: (301) 402-0779.

Website Address:

<http://www.fic.nih.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Examples of funded projects for FY 2010 will focus on projects which provides important support to the following goals in the Fogarty International Center's Strategic Plan for 2008-2012. " Goal I: Mobilize the scientific community to address the shifting global burden of disease and disability. Goal II: Bridge the training gap in implementation research. Goal III: Develop human capital to meet global health challenges. Goal IV: Foster a sustainable research environment in low- and middle-income countries. and Goal V: Build strategic alliances and partnerships in global health research and training.. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The major elements in evaluating proposals include assessment of: (1) the scientific merit and general significance of the proposed study or research training program and its objectives; (2) the technical adequacy of the experimental design and approach; (3) the competency of the proposed investigator or group to successfully pursue the project; (4) the adequacy of the available proposed facilities and resources; (5) the necessity of the budget components requested in relation to the proposed project; and (6) the relevance and importance to the announced program objectives. All pertinent evaluation criteria are provided in the RFAs and PAs.

93.990 NATIONAL HEALTH PROMOTION

Health Promotion

FEDERAL AGENCY:

Office of Disease Prevention and Health Promotion, Department of Health and Human Services

AUTHORIZATION:

Title III of the U.S. Public Health Service Act: 42 U.S.C. Section 301, also Section 1110 of the Social Security Act (42 U.S.C. 1310), along with funds appropriated under 42 U.S.C. 300U-6, Section 1707 of the Public Health Service Act, as amended., 42 U.S.C 300u-6.

OBJECTIVES:

To engage national membership organizations from various sectors as a means of expanding and coordinating health promotion efforts. To promote the development, implementation and coordination of programs that promote good health habits and programs that are designed to prevent disease and disability. These objectives are consistent with the General Authorities set forth in Sections 1701(a) and 301 of the Public Health Service Act

Additionally this program includes a cooperative agreement project entitled Disease Prevention and Health Promotion Scholarship Program. This project supports several focus areas of Healthy People 2010 specifically: Access to Quality Health Services; Educational and Community-Based Programs; Health Communication; and Public Health Infrastructure.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance must be provided to satisfy program needs of the National Health Promotion Program, including but not limited to: (1) identifying or developing materials for health promotion programs for use by schools, medical treatment sites, worksites, and community health promotion programs; (2) adding to the scientific data base, especially to fill gaps identified in the "Healthy People 2010: National Health Promotion and Disease Prevention Objectives"; and (3) identifying the needs of special population groups, such as Blacks, Hispanics, Asian/Pacific Islanders, American Indians/Alaska Natives, those with

disabilities or special health care needs, and elderly Americans.

Applicant Eligibility:

Public or private nonprofit organizations. Faith based organizations are eligible to apply.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. All grant announcements are posted on www.grants.gov. Application kits for new opportunities may be requested by calling (240) 453-8822 or writing to: Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

Accepted applications will be reviewed by a review panel that will make recommendations on the technical merit based on the published criteria. After considering the recommendations of the panel, the Deputy Assistant Secretary for Health, will make a final decision on funding projects and a notice of grant award will be issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days. Approximately 90 days from the application deadline date.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Support is recommended for a specified project period (5 years), and is not generally considered for renewal beyond that period.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Varies, but a project period is generally limited to 5 years or less. Within a project period, continuation applications must be submitted annually on a noncompeting basis for each year of support. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-551.

Obligations:

(Salaries) FY 09 \$2,000,000; FY 10 est \$2,000,000; FY 11 est \$2,000,000

Range and Average of Financial Assistance:

\$500,000 to \$900,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

"Healthy People 2010," 2 vols., Government Printing Office, Stock No. 017-001-00547-9 (\$70.00/set); "Healthy People 2010: Understanding and Improving Health," Government Printing Office, Stock No. 017-001-00550-9 (\$10.00); "Tracking Healthy People 2010," Government Printing Office, Stock No. 017-001-00548-7 (\$67.00); "Healthy People 2010 CD-Rom," Government Printing Office, Stock No. 017-001-00549-5 (\$19.00).

Regional or Local Office:

None. Program Management Contact:
Office of Disease Prevention and Health Promotion, 1101 Wootton Parkway, Suite LL 100, Rockville, MD 20852, Telephone (240) 453-8280.

Grants Management Contact:
Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852, Telephone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building, Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.health.gov/healthypeople> .

RELATED PROGRAMS:

93.226 Research on Healthcare Costs, Quality and Outcomes

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be assessed according to ability to show: organizational goals consistent with the National Health Promotion Program; access to a nationwide network of local affiliates through which health information and health promotion resources can be decentralized; administrative capability and staff expertise to contribute to satisfaction of program need; detailed proposal including cost proposal in response to program announcement.

93.991 PREVENTIVE HEALTH AND HEALTH SERVICES BLOCK GRANT

(PHHS Block Grants)

FEDERAL AGENCY:

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Public Health Service Act, as amended; Omnibus Budget Reconciliation Act of 1981, Title XIX, Section 1905, Public Law 97-35, as amended; Preventive Health Amendments of 1984, Public Law 98-555; Health Omnibus Programs Extension Act of 1988, Public Law 100-607; Preventive Health Amendments of 1992, Public Law 102-531.

OBJECTIVES:

To provide States with the resources to improve the health status of the population of each grantee by: (A) conducting activities leading to the accomplishment of the year 2010 objectives for the nation; (B) rapidly responding to emerging health threats; (C) providing emergency medical services excluding most equipment purchases; (D) providing services for sex offense victims including prevention activities; and (E) coordinating related administration, education, monitoring and evaluation activities.

TYPES OF ASSISTANCE:

Formula Grants

USES AND USE RESTRICTIONS:

Except as described below, Block Grant funds may be used for preventive health service programs for: (A) Activities to achieve improvements in the health status of populations through achievement of the year 2000/2010 health objectives for the nation; (B) preventive health service programs for the control of rodents and for community and school-based fluoridation programs; (C) feasibility studies and planning for emergency medical services systems and the establishment, expansion, and improvement of such systems; Funds may not be used to operate emergency medical services systems or to purchase more than 50 percent of the cost of communications equipment for such systems.(D) providing services to victims of sex offenses and prevention of sex offenses; (E) the establishment, operation, and coordination of effective and cost-efficient systems to reduce the prevalence of asthma and asthma related illnesses, especially among children; (F) related planning, administration, and educational activities; and (G) monitoring and evaluation activities related to (A) through (F).

Applicant Eligibility:

Only State and U.S. Pacific Territorial governments, the District of Columbia, the Kickapoo Tribe of Kansas and the Sante Sioux Tribe of Nebraska are eligible for Preventive Health and Health Services Block Grants.

Beneficiary Eligibility:

The general public will benefit from the objectives of this program with special attention to disparately affected populations.

Credentials/Documentation:

Applications shall contain certification by the CEO of the State that the State has complied with provisions of and will meet the requirements of PHS Act, Title XIX, Section 1905, and Title XVII, Chapter 2 of the Omnibus Reconciliation Act of 1981. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Each State shall submit an application to the funding agency. Specific format is required, and forms are provided.

Award Procedure:

Applications are reviewed for completeness and for compliance with legislative requirements. Award is made to the applicant by the Centers for Disease Control and Prevention.

Deadlines:

Oct 01, 2009 Applications will be accepted beginning on October 1 of the eligible fiscal year.

Range of Approval/Disapproval Time:

From 15 to 30 days. About 3 weeks.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System. See the following for information on how assistance is awarded/released: Each allotment is available for obligations over a 24-month period; payments are made through the Electronic Transfer System.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each State must maintain records which are consistent with their State laws and requirements.

Account Identification:

75-0943-0-1-551.

Obligations:

(Formula Grants) FY 09 \$95,892,759; FY 10 est \$95,892,759; FY 11 est not reported. - FY10 est. not available.

Range and Average of Financial Assistance:

\$21,258 to \$7,026,219; \$1,572,013. (Note: A formula based on fiscal year 1981 allocations to States is used for the annual basic grant allocation.)

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 95,892,759. Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 Code of Federal Regulations, Part 96 (Published in the Federal Register on July 6, 1982 and revised in the Federal Register, October 13, 1987).

Regional or Local Office:

None.

Headquarters Office:

Sakeena Smith, 3005 Chamblee Tucker Road #30, Chamblee, Georgia 30341
Email: szs4@cdc.gov Phone: (770) 488-5426 Fax: (770) 488-5974.

Website Address:

<http://www.cdc.gov/nccdphp/blockgrant>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

**93.993 PUBLIC HEALTH RESEARCH ACCREDITATION PROJECT
FEDERAL AGENCY:**

Centers for Disease Control and Prevention, Department of Health and Human Services

AUTHORIZATION:

Sections 301 and 317(k)(2) of the Public Health Service Act, 42 U.S.C 241 and 247.

OBJECTIVES:

To assess the role of accreditation of human research protection programs to enhance protections afforded to persons involved in the full-range of public health research programs, e.g., epidemiologic research, health services research, and social and behavioral intervention research, as well as traditional biomedical research and clinical trials. Voluntary accreditation is one component of a national oversight system for protection of human subjects.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This project will result in the development of pilot measures that can be used to assess the improvement of the ability of the public health infrastructure (such as State and local public health departments, schools of public health, and other public health research partners) to assess and monitor research involving human subjects. In year two, the pilot measures will be implemented in several locations, such as State or local health departments, schools of public health, or community-based organizations that engage in public health research, and will be evaluated for utility and feasibility in the public health setting. In year three, the measures will be refined and made available to public health research partners to document and evaluate the impact of accreditation as a process to improve protection of human subjects in public health research.

Applicant Eligibility:

Assistance will be provided only to a public, private, for-profit, or nonprofit organization that is currently actively engaged in the process of accrediting human research protection programs that represent the full range of activities, which are applicable to State, and local public health departments.

Beneficiary Eligibility:

Public, private, for-profit, or nonprofit organization that is currently actively engaged in the process of accrediting human research protection programs that represent the full- range of activities, which are applicable to State, and local public health departments.

Credentials/Documentation:

Applicants should document the need for assistance, state the objectives of the project, present their approach to meeting the objectives, and outline the methods of operating. Costs will be determined in accordance with HHS Regulations 45 CFR 74, Subpart Q. For-profit organizations' cost are determined in accordance with the Federal Acquisition Regulations, 48 CFR 31. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is desired for research grants, but not required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Submit the signed original and two copies of PHS form 398. (OMB Number 0925-0001); adhere to the instructions on the Errata Instruction Sheet for PHS 398. Submit the application to: Technical Information Management, CDC Procurement and Grants Office, 2920 Brandywine Road, Atlanta, GA 30341-4146. Applications may not be submitted electronically.

Award Procedure:

Approved grants are funded based on a priority score ranking from an independent review group as well as availability of funds, secondary review and such other significant programmatic factors deemed necessary and appropriate by the agency. Initial award provides funds for the first budget period (usually 12 months) and Notice of Grant Award (Form PHS 5152-1) indicates support recommended for remainder of project period (usually three to five years), allocations for Federal funds by budget categories, and special conditions, if any.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. From 90 to 120 days.

Appeals:

Not Applicable.

Renewals:

Continuation awards within an approved project period will be made on the basis of satisfactory progress as evidenced by required reports and the availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Financial assistance is provided for a 12-month budget period with project periods of up to three years subject to the availability of funds and satisfactory progress of the grantee. See the following for information on how assistance is awarded/released: Please contact Headquarters for more information.

Reports:

Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period. Interim progress report, no less than 90 days before the end of the budget period. The progress report will serve as your non-competing continuation application, and must contain the following elements: (1) Current Budget Period Activities Objectives; (2) Current Budget Period Financial Progress; (3) New Budget Period Program Proposed Activity Objectives; (4) Detailed Line-Item Budget and Justification; and (5) Additional Requested Information. Financial status report, no more than 90 days after the end of the budget period. Final financial and performance reports, no more than 90 days after the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the project must be kept readily available to review by personnel authorized to examine PHS grant accounts. Records must be maintained for a minimum of 3 years after the end of a budget period. If questions still remain, such as those raised as a result of an audit, records must be retained until completion or resolution of any audit in process or pending resolution. Property records must be retained until the matter is completely resolved.

Account Identification:

75-0943-0-1-550.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Juliana Cyril, Office of Public Health Research, Office of the Chief Science Officer, Centers for Disease Control and Prevention, 1600 Clifton Road, MS D-72, Atlanta, Georgia 30333 Email: jcyril@cdc.gov Phone: (404) 639-4639.

Website Address:

<http://www.cdc.gov>

RELATED PROGRAMS:

93.061 Innovations in Applied Public Health Research

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.994 MATERNAL AND CHILD HEALTH SERVICES BLOCK GRANT TO THE STATES

MCH Block Grants

FEDERAL AGENCY:

Health Resources and Services Administration, Department of Health and Human Services

AUTHORIZATION:

Social Security Act, Title V, Section 501(a) (1), as amended.

OBJECTIVES:

To enable States to maintain and strengthen their leadership in planning, promoting, coordinating and evaluating health care for pregnant women, mothers, infants, and children, children with special health care needs (CSHCN) and families in providing health services for maternal and child health populations who do not have access to adequate health care.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

States may use funds to develop systems of care for the provision of health services and related activities, including planning, administration, education and evaluation consistent with the State's annual application. Funds may not be used for (1) Inpatient services other than those provided to children with special health care needs or to high-risk pregnant women and infants and such other inpatient services as the Secretary may approve; (2) cash payments to intended recipients for health services; (3) purchase and improvement of land, construction or permanent improvement of buildings or purchase of major

medical equipment; (4) matching other Federal grants; or (5) providing funds for research or training to any entity other than a public or private nonprofit entity. Beginning in FY 1991, States must use at least 30 percent of their Federal allotment for preventive and primary care services for children, and at least 30 percent for services for children with special health care needs. In addition, each State must establish and maintain a toll-free information number for parents on maternal and child health (MCH) and Medicaid providers. No more than 10 percent of each State's allotment may be used for administration.

Applicant Eligibility:

Title V MCH Block Grants are limited to States and insular areas.

Beneficiary Eligibility:

Mothers, infants, children, including CSHCN, and their families, particularly those of low-income.

Credentials/Documentation:

Applicants should review the individual HRSA funding opportunity announcement issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The level of preapplication coordination between a State Maternal and Child Health Agency and other State and local entities within the State is at the discretion of the State. States are required to have mechanisms in place for obtaining public input and feedback on the development of their Title V Maternal and Child Health Block Grant applications and Title V supported programs. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. HRSA requires all applicants to apply electronically through Grants.gov.

All qualified applications will be forwarded to an objective review committee. Based on the advice of the objective review committee, the HRSA program official with delegated authority is responsible for final selection and funding decisions.

Award Procedure:

Notification is made in writing by a Notice of Award.

Deadlines:

Jul 15, 2010: Applications are due to HRSA by COB on July 15 of each year. www.hrsa.gov.

Range of Approval/Disapproval Time:

From one to two months.

Appeals:

Not Applicable.

Renewals:

Funds will be made available annually to any State submitting an acceptable application.

Formula and Matching Requirements:

Statutory Formula: Title V Section 502(c), Public Law Social Security Act. Subject to 45 CFR Part 96.

Matching Requirements: Percent: 75.%.
This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Funds are awarded each fiscal year in quarterly installments and remain available for expenditure for the current and subsequent fiscal year. See the following for information on how assistance is awarded/released: Grantees drawdown funds, as necessary, from the Payment Management System (PMS). PMS is the centralized web based payment system for HHS awards.

Reports:

Annual Reports which document the States progress towards the achievement of National Performance and Outcome Measures, State Performance Measures,

Health Systems Capacity Indicators and Health Status Indicators. . In the Annual Report, States submit a breakdown of expenditures by funding source (e.g., Federal, State, and local), by individuals served (e.g., women, infants, children and CSHCN) and by Maternal and Child Health service level (i.e., direct health care services, enabling services, population-based services and infrastructure building services.). FFR. See funding opportunity announcement for additional information. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records 3 years after the date they submit the Federal Financial Report (FFR). If any litigation, claim, negotiation, audit or other action involving the award has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0350-0-1-550.

Obligations:

(Formula Grants) FY 09 \$553,831,097; FY 10 est \$553,831,097; FY 11 est \$561,703,054 - (Formula Grants) FY 09 \$553,831,097; FY 10 est \$553,831,097; FY 11 est \$561,703,054.

Range and Average of Financial Assistance:

(FY 2009 Awards) \$149,560 to \$43,328,678; \$9,388,539.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 96 as applicable and individual State Regulations.

HRSA awards are subject to the requirements of the HHS Grants Policy Statement (HHS GPS) that are applicable based on recipient type and purpose of award. The HHS GPS is available at <http://www.hrsa.gov/grants>.

Regional or Local Office:

See Regional Agency Offices. Program Contact: Division of State and Community Health, Maternal and Child Health Bureau, Health Resources and Services Administration, Department of Health and Human Services, Room 18-31, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-2204.

Headquarters Office:

Division of State and Community Health, 5600 Fishers Lane, Room 18-31, Rockville, Maryland 20857 Phone: (301) 443-2204.

Website Address:

www.hrsa.gov.

RELATED PROGRAMS:

93.110 Maternal and Child Health Federal Consolidated Programs

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No current data available. Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

93.995 ADOLESCENT FAMILY LIFE DEMONSTRATION PROJECTS

AFL CARE & Prevention

FEDERAL AGENCY:

Office of Population Affairs, Department of Health and Human Services

AUTHORIZATION:

Executive Order 42 U.S.C. 300z et seq., 42 U.S.C 300z et seq.

OBJECTIVES:

To establish innovative, comprehensive, and integrated approaches to the delivery of care services for pregnant and parenting adolescents with primary emphasis on adolescents who are under 17 years of age. To promote abstinence from sexual relations through provision of age-appropriate education on sexuality and decision-making skills as the most effective method of preventing adolescent pregnancy and avoiding sexually transmitted diseases, including HIV/AIDS.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds for services under this program can only be used to: (1) Provide care services, prevention services, or both care and prevention services to eligible persons; (2) coordinate integrate and provide linkages among providers of care, prevention, and other services for eligible persons; (3) provide supplemental services to eligible persons in the community when existing services are not adequate or not available and are essential to the care of pregnant adolescents and/or to the prevention of adolescent sexual activity and adolescent pregnancy; (4) plan for the administration, evaluation, and coordination of pregnancy prevention services and programs of care for pregnant adolescents and adolescent parents to further the objectives of this legislation; and (5) fulfill assurances required for grant approval. Funded projects may not provide or subcontract for the provision of abortions or abortion counseling or referral (with exceptions).

Applicant Eligibility:

Public (including city, county, regional, and State government) organizations; and private nonprofit organizations.

Beneficiary Eligibility:

For prevention services: non-pregnant adolescents and their families. For care services: pregnant adolescents, infants, adolescent parents, and their families. Primary emphasis should be given to unmarried adolescents 17 years of age and under.

Credentials/Documentation:

A nonprofit private entity must provide evidence of its nonprofit status. Allowability of costs charged to the grant will be determined in accordance with the following Cost Principles: OMB Circular No. A-21 for educational institutions, OMB Circular No. A-87 for State and local governments, and OMB Circular No. A-122 for nonprofit organizations. Faith based organizations are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application kits may be requested by contacting Office of Public Health and Science (OPHS) Office of Grants Management, 1101 Wootton Parkway, Suite 550, Rockville, MD 20852.

Award Procedure:

All applications and proposals are read by a panel of independent experts who make recommendations for action to the Office of Adolescent Pregnancy Programs. After considering the recommendation of this panel, the Deputy Assistant Secretary for Population Affairs makes the final decision on the funding of applications. All applicants are notified in writing of actions taken on their applications. A Notice of Grant Award is issued for those applications that are approved and funded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days. From 3 to 5 months.

Appeals:

Not Applicable.

Renewals:

> 180 Days. Renewal awards may be approved pending availability of funding, and evidence of satisfactory progress and compliance.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: 40% match for year 1

50% match for year 2

60% match for year 3

See Notice of Grant Award for more details.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants may not exceed 5 years (subject to the availability of funds). Payments will be made either on a monthly cash request basis or under the Electronic Transfer System. Necessary instructions for the appropriate type of payment will be issued at the time an award is made. Method of awarding/releasing assistance: by letter of credit.

Reports:

Annual Program reports are required. Cash Reports are due on a minimum basis of quarterly. Progress reports are required for each budget period. Financial status reports are due at the end of each budget period. A final performance report is due 90 days following the end of the project period.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

HHS and the Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. In accordance with 45 CFR, Part 74.53 and 45 CFR, Part 92, grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

75-0120-0-1-550.

Obligations:

(Salaries) FY 09 \$24,000,000; FY 10 est \$12,000,000; FY 11 est \$11,000,000

Range and Average of Financial Assistance:

\$400,000.00 to \$600,000.00.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 74 and 92. Specific program requirements are contained in the Federal Register Notice, the application instructions, and the HHS Grants Policy Statement.

Regional or Local Office:

See Regional Agency Offices. OPHS-OPA-Office of Adolescent Pregnancy

Programs

Tower Building, 1101 Wootton Pkwy Suite 700
Rockville, MD 20852. Phone 240-453-2828

OPHS-Office of Grants Management

Tower Building 1101 Wootton Parkway, Suite 550
Rockville, MD 20852. Phone (240) 453-8822.

Headquarters Office:

Eric C. West, Tower Building Suite 550, 1101 Wootton Parkway, Rockville, Maryland 20852 Email: eric.west@hhs.gov Phone: (240) 453-8822.

Website Address:

<http://www.opa.gov>

RELATED PROGRAMS:

93.111 Adolescent Family Life Research Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: projects to provide care services, prevention services, or both care and prevention services to eligible persons; projects to coordinate, integrate, and provide linkages among providers of care, prevention, and other services for eligible persons; projects to provide supplemental services where these services are not adequate or not available to eligible persons and which are essential to the care of pregnant adolescents and to the prevention of adolescent sexual relations and adolescent pregnancy; and projects which test new approaches to providing care and prevention services which are national in scope. Fiscal Year 2010: projects to provide care services, prevention services, or both care and prevention services to eligible persons; projects to coordinate, integrate, and provide linkages among providers of care, prevention, and other services for eligible persons; projects to provide supplemental services where these services are not adequate or not available to eligible persons and which are essential to the care of pregnant adolescents and to the prevention of adolescent sexual relations and adolescent pregnancy; and projects which test new approaches to providing care and prevention services which are national in scope. Fiscal Year 2011: projects to provide care services, prevention services, or both care and prevention services to eligible persons; projects to coordinate, integrate, and provide linkages among providers of care, prevention, and other services for eligible persons; projects to provide supplemental services where these services are not adequate or not available to eligible persons and which are essential to the care of pregnant adolescents and to the prevention of adolescent sexual relations and adolescent pregnancy; and projects which test new approaches to providing care and prevention services which are national in scope.

CRITERIA FOR SELECTING PROPOSALS:

Proposals must contain care and/or prevention services requirements specified in the statute. Priority will be given to applicants who: (1) Serve an area (a) where there is a high incidence of adolescent pregnancy, (b) where the incidence of low-income families is high, and (c) where the availability of programs for adolescents as specified in this act is low; (2) show evidence (a) for care services, of ability to deliver wide range of required services on single-site or network basis, or (b) for prevention services, ability to provide services targeted to population; (3) will utilize to maximum extent possible (a) existing programs and facilities, and (b) other sources of funding; (4) can demonstrate community commitment to the project; (5) have involved community in developing the project; (6) will demonstrate innovative approaches; and (7) demonstrate the reasonableness of the estimated cost considering the anticipated results.

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE**94.002 RETIRED AND SENIOR VOLUNTEER PROGRAM**

RSVP

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part A, Section 201, Public Law 93-113, 42 U.S.C. 5001, as amended.

OBJECTIVES:

The Retired and Senior Volunteer Program (RSVP) provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 and older in volunteer service to meet critical community needs; and to provide a high quality experience that will enrich the lives of volunteers.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Volunteers are not to supplant hiring, displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. Grants may be used for staff salaries and fringe benefits, staff travel, equipment, and related expenses, and for volunteer out-of-pocket expenses, primarily for transportation. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating non-Corporation funded RSVP projects using local funds. Activities limited to approved assistance application.

Applicant Eligibility:

Assistance must be used consistent with funded application.

Beneficiary Eligibility:

Persons age 55 and older who are willing to volunteer on a regular basis.

Credentials/Documentation:

The applicant must furnish evidence of: capacity to operate direct community service programs; experience and interest in the needs of older adults; and the ability to develop strong community financial and programmatic support. Nonprofit organizations must furnish: proof of nonprofit status, articles of incorporation and certification of accounting capability. Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local RSVP project should contact the Corporation for National and Community Service State Program Office serving their state. (List available at <http://www.nationalservice.gov/about/contact/stateoffices.asp>) The OMB-approved Senior Corps application forms furnished by the Corporation for National and Community Service and required by OMB Circular No. A-102 must be used for this Program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via the Corporations eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/egrants/index.asp>.

Award Procedure:

Grants are awarded by the Corporation for National and Community Service.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 120 days after receipt of application by the Corporation for National and Community Service.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide

for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 90 to 120 days. Grant renewal applications, usually required every 3 years, are submitted 120 days prior to the end of the budget period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: The RSVP sponsor is responsible for generating needed financial support for the RSVP project from all sources, Federal and nonfederal, including grants, cash and in-kind contributions, to meet the budgeted costs of the project. The sponsor will supplement a Corporation for National and Community Service grant with other support to the fullest extent possible. Ten (10) percent of the total budget is normally required for the first year, 20 percent for the second year, and 30 percent in any subsequent years. Sponsors proposing to contribute local support of less than these levels must provide the Corporation with an acceptable written justification for the lower level of support.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grant awards are generally for 3 years with funding provided in one-year increments. Continuation applications must be submitted for the second and third year budget periods. Grant payments are through the DHHS Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required as specified in the grant award notice. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National & Community Service. Progress reports are required as specified in the grant award notice. Federal Financial Status Reports are required as specified in the grant award provisions. Performance monitoring is required as specified in the grant award notice.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Corporation for National and Community Service grants are subject to audit by the Corporation for National and Community Service, the General Accounting Office, other Federal agencies, and contract auditors.

Records:

All financial records for each budget period, including receipts, disbursements, and vouchers for Federal and nonfederal costs, copies of all contracts, personnel records, and job descriptions must be available for a period of three years from date of submission of the Final Financial Report.

Account Identification:

95-2728-0-0-000.

Obligations:

(Project Grants) FY 08 \$56,870,000; FY 09 est \$56,870,000; FY 10 est \$61,327,000

Range and Average of Financial Assistance:

From \$0 to \$884,300; Average \$76,750.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: More than 450,000 older persons will participate in the Corporation for National and Community Service and non-Corporation RSVP projects. They will contribute almost 66,000,000 hours of service to over 60,000 local organizations. Service opportunities will continue in intergenerational activities, education, in-home care, consumer education, public safety, homeland security, mentoring children of prisoners and other health and human service activities. To ensure maximum utilization of program resources, RSVP sponsors will provide guidance and technical assistance to other agencies to expand opportunities available to older people. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2553; RSVP flyers.

Regional or Local Office:

See Regional Agency Offices. Corporation for National and Community Service State Program Offices as listed in Appendix IV of the Catalog.

Headquarters Office:

Linda Esah 1201 New York Ave., NW, Washington, District of Columbia 20525 Phone: (202) 606-5000

Website Address:

<http://www.seniorcorps.gov>

RELATED PROGRAMS:

94.011 Foster Grandparent Program; 94.016 Senior Companion Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The following exemplifies RSVP: An RSVP service volunteer, who is a retired architect and engineer, designs homes for low-income families displaced by midwestern floods. Another volunteer, who is bilingual, uses her fluency in English and Japanese to help non-English speaking Japanese-American seniors access community resources and live independently. Another RSVP volunteer is the coordinator, fund-raiser and supervisor of over 30 other RSVP volunteers who operate an inner-city soup kitchen which feeds more than 250,000 homeless and disadvantaged persons annually. In another instance, RSVP volunteers organized neighborhood watches for an entire city of more than 45,000. As a result, the police noted a 58 percent reduction in crime in some areas. RSVP provides creative opportunities for older people to serve their communities by responding to locally identified needs. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Program Design: (1) Well-documented compelling community need(s); (2) well-designed activities with measurable goals and objectives that meet community needs (3) well-defined roles for participants that lead to measurable outcomes/impact; (4) effective involvement of target community in planning/implementation; and (5) ability to provide or secure effective technical assistance. Strengthening Communities: (1) Strong community partnerships, including well-defined roles for community partners; (2) potential for sustainability, innovation, and/or replicability of project activities; (3) enhanced capacity of organizations and institutions; (4) mobilization of community resources, including volunteers; and (5) bring together people of diverse backgrounds. Participant Development: (1) Effective plans for recruiting, developing, training, supervising, and recognizing participants; (2) well-designed plan to engage participants in high-quality service-learning as defined by the corporation; and (3) well-designed plan for participants to learn to serve together with people of diverse backgrounds. Organizational Capacity: (1) Ability to provide sound programmatic and fiscal oversight; (2) Sound track record in the issue areas(s) to be addressed by the project; (3) Well-defined roles for staff and administrators; and (4) well-designed plans or systems for self-assessment, evaluation and continuous improvement. Budget/Cost-Effectiveness: (1) Adequate budget to support program design; (2) commitment of applicant organization/host agency to securing resources for program, implementation and/or sustainability; and (3) cost-effective within program guidance.

94.003 STATE COMMISSIONS

State Commissions

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended; Edward M. Kennedy Serve America Act.

OBJECTIVES:

To develop a State plan; to assist States in the application process; and to provide oversight of funded AmeriCorps programs within each State. The funds enable States to form a 15 to 25 member, independent, bipartisan commission appointed by a governor to implement service programs in their State.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to operate a commission to oversee service programs.

Applicant Eligibility:

State governments may house or form non-profit organizations as commissions to receive awards.

Beneficiary Eligibility:

States will benefit.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. State commission administrative grant proposals must be submitted to the Corporation.

Award Procedure:

For final procedures contact the Headquarters Office.

Deadlines:

Nov 03, 2009 to Jan 26, 2010 Contact the Corporation for deadline dates.

Range of Approval/Disapproval Time:

From 30 to 60 days. The range is from one to two months.

Appeals:

Not Applicable.

Renewals:

From 30 to 60 days. Renewals are annual.

Formula and Matching Requirements:

Statutory Formula: Funding is based on a population based formula mandated by statute.

Matching Requirements: 15-50%.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Assistance is usually awarded for project periods of three or more years; funding must be obligated during the award project period. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National & Community Service. Annual report as part of application. Federal Financial Status Reports are required as specified in the grant award provisions. Grantee may be monitored by the Corporation, and grantee is required to monitor sub-grantee performance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Required records will be spelled out in the individual grant.

Account Identification:

95-2720-0-1-506.

Obligations:

(Project Grants) FY 08 \$11,742,738; FY 09 est \$11,789,999; FY 10 est \$16,000,000

Range and Average of Financial Assistance:

Range may vary based on annual appropriations and population based formulas. Range has been from \$125,000 to \$1,000,000 over the course of the program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For detailed information contact the Headquarters Office.

Regional or Local Office:

See Regional Agency Offices. See the Corporation for National and Community Service's listing in Appendix IV of the Catalog.

Headquarters Office:

Amy Borgstrom, 1201 New York Avenue NW , Washington, District of Columbia 20525 Email: aborgstrom@cns.gov Phone: (202) 606-6930.

Website Address:

<http://www.nationalservice.org> .

RELATED PROGRAMS:

94.006 AmeriCorps

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

94.006 AMERICORPS

AmeriCorps State and National

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009, Public Law 111-5 (Recovery Act); National and Community Service Act of 1990, as amended; Edward M. Kennedy Serve America Act, 42 Stat. 12561, 45 U.S.C 2520-2550.

OBJECTIVES:

For more than fifteen years, the Corporation for National and Community Servicethrough its Senior Corps, AmeriCorps, and Learn and Serve America programshas mobilized a new generation of engaged citizens. This year, more than 1.8 million individuals of all ages and backgrounds will serve through these programs. They will help thousands of national and community non-profit organizations, faith-based groups, schools, and local agencies meet local needs in education, the environment, health, veterans, economic opportunity, and other critical areas.

AmeriCorps grants are awarded to eligible organizations that identify an unmet need in their community that will be addressed by AmeriCorps members that the organization recruits, trains, and manages. An AmeriCorps member is an individual who is enrolled in an approved national service position and engages in community service. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members receive an education award from the National Service Trust.

AmeriCorps grant funding is distributed to Governor-appointed State Commissions and multi-state grantees. State Commissions award subgrants to organizations in their states, and the multi-state grantees work through operating sites in more than one state. These organizations recruit AmeriCorps members to respond to local needs.

Additional funding opportunities are available to existing AmeriCorps Programs under the American Recovery and Reinvestment Act (Recovery Act) funds to utilize service and volunteerism to stimulate the economy and meet the needs of those negatively impacted by the current economic crisis. Through AmeriCorps Recovery grants, individuals currently unemployed will gain work

experience and valuable skills, and nonprofit organizations and communities negatively affected by the economic crisis will gain critical human resources. Eligible activities include providing job counseling and skills training to the unemployed, constructing or rehabilitating housing, assisting nonprofits facing increased need and decreased resources, recruiting volunteers, making housing resource referrals for and providing legal services to those experiencing eviction or foreclosure, connecting children and families to health care, and allowing after-school centers that have lost funding to stay open.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds must be used to operate or plan national and community service programs. Included in Notices of Federal Funding and application instructions.

Applicant Eligibility:

Assistance must be used consistent with scope of approved / funded application.

Beneficiary Eligibility:

Beneficiaries must be identified within application for assistance.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

See application instructions. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. See application instructions.

Award Procedure:

Contact the Corporation for specific award information.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

Statutory Formula: Funding distributed based upon statutory formulas when applicable.

Matching Requirements: Matching requirements vary from 0 to 50 percent based on duration that the program has been funded; see program regulations and application instructions.

This program has MOE requirements, see funding agency for further details. See application instructions.

Length and Time Phasing of Assistance:

Award project periods are three year duration and funds must be obligated during project period. Funding may be for to less than three years when appropriate. Method of awarding/releasing assistance: lump sum.

Reports:

Semi-annual program reports. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National & Community Service. Annual progress reports. Federal Financial Status Reports are required as specified in the grant award provisions. Subgrantee monitoring required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. None. OMB Circular A-133 applies when total grantee federal funds expenditures meet or exceed \$500,000 per year.

Records:

All records necessary to perform a proper audit must be retained.

Account Identification:

95-2728-0-0-000 - Operating Funds; 95-2729-0-0-000 - Recovery Funds.

Obligations:

(Project Grants) FY 08 \$226,792,326; FY 09 est \$252,590,695; FY 10 est \$363,233,325

Range and Average of Financial Assistance:

\$200,000 for state subgrants awarded by State Commissions, to \$3,000,000 for National Direct grants.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

As described in the Law. Contact the Corporation's headquarters office for further details.

Regional or Local Office:

See Regional Agency Offices. A list has been included in Appendix IV of the Catalog. State commissions should also be contacted.

Headquarters Office:

Amy Borgstrom, 1201 New York Avenue NW , Washington, District of Columbia 20525 Email: aborgstrom@cns.gov Phone: (202) 606-6930.

Website Address:

<http://www.nationalservice.org> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the regulations.

94.007 PROGRAM DEVELOPMENT AND INNOVATION GRANTS

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Various. This listing reflects multiple programs that are identified in legislation and appropriation.

OBJECTIVES:

Innovation grants support innovative demonstration service programs that build the ethic of service among Americans of all ages and backgrounds. These include the Martin Luther King, Jr., Day of Service grants, and Disability Outreach grants. Additional programs may be added based upon legislation and appropriation.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Varies by program. Martin Luther King Jr. Day of Service Grants support community-based service activities conducted to honor the ideals of Dr. King in conjunction with the federal holiday marking his birth. Disability outreach grants support efforts to increase the number of people with disabilities in national and community service. Activities must be consistent with funded application.

Applicant Eligibility:

Eligibility will vary by program. See application instructions.

Beneficiary Eligibility:

State and local governments and nonprofit organizations are beneficiaries of demonstration grants Persons with disabilities who are participants in AmeriCorps programs operated by State commissions, institutions of higher education, nonprofit organizations, and government, as well as programs in which these participants serve, are beneficiaries of disability grants.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Requirements vary by program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Described in the Notice of Funding Availability published in the Federal Register for each program and in the application instructions.

Award Procedure:

Refer to application instructions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

From 60 to 90 days. Continuation and renewal authorities vary by program.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Matching requirements will vary. Refer to individual program notice of funding opportunity and application instructions.

This program does not have MOE requirements. Corporation programs usually do not have maintenance of effort requirements. Refer to individual notice of funding opportunity and application instructions.

Length and Time Phasing of Assistance:

Varies by program. Method of awarding/releasing assistance: lump sum.

Reports:

Program report requirements vary by program; refer to award provisions. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National & Community Service. Vary by program. Refer to award provisions. Federal Financial Status Reports are required as specified in the grant award provisions. Refer to award provisions.

Audits:

No audits are required for this program.

Records:

Records must be maintained for three years after submission of the final Federal Financial Report.

Account Identification:

95-2720-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 08 \$1; FY 09 est \$1; FY 10 est \$1 - Funding varies per year based on available appropriations, active programs, and other events. Refer to agency budget and reports for actual annual obligation amounts.

Range and Average of Financial Assistance:

Varies by program.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulations will vary by program. Refer to notice of funding opportunity and application instructions; and grant provisions upon award.

Regional or Local Office:

None.

Headquarters Office:

Douglas Godesky 8th Floor, Office of Grants Management
1201 New York Avenue, NW, Washington, District of Columbia 20525 Email:
DGodesky@cns.gov Phone: 202-606-6967

Website Address:

<http://www.nationalservice.org> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Varies by program. Specific criteria is published in the Federal Register through a Notice of Funds Availability (NOFA) when appropriate. The Corporation is looking for high quality programs that have the potential to be replicated and which provide meaningful service activities for Americans of all ages, backgrounds and abilities.

94.009 TRAINING AND TECHNICAL ASSISTANCE**FEDERAL AGENCY:**

Corporation for National and Community Service

AUTHORIZATION:

THE NATIONAL AND COMMUNITY SERVICE ACT OF 1990, Title 42USC, Part 12575, Section 125.

OBJECTIVES:

To provide training and technical assistance to national service programs supported by the Corporation for National Service, as well as to State Commissions or alternative entities.

TYPES OF ASSISTANCE:

Dissemination of Technical Information; Training

USES AND USE RESTRICTIONS:

Training and Technical Assistance Cooperative Agreements and Program Development Assistance Training Funds for State Commissions or alternative entities are used to provide training and technical assistance services to CNCS grantees in areas that include sustainability, evaluation, member development and management, organization development and program management, supervisory skills training, financial management and human relations training to support and strengthen the infrastructure of CNCS grantees and sub grantees. The services are provided through national, regional and State workshops and conferences, informational materials in print and electronic formats including virtual knowledge management tools and websites, eCourses, webinars, telephone assistance and on-site consultations. Must be consistent with approved purposes.

Applicant Eligibility:

Public agencies including Federal, State, and local agencies and other units of government, nonprofit organizations including groups serving youths, faith and community based organizations, service organizations, institutions of higher education, Indian tribes, and for-profit companies; State and Federal agencies and non-governmental organizations are eligible to compete for Training and Technical Assistance Cooperative Agreements. Funds designated for Training and Technical Assistance are awarded through a competitive process or are awarded to state commissions through a state formula allocation grant process.

Beneficiary Eligibility:

States, District of Columbia, Puerto Rico, Tribes, Territories, national nonprofit organizations, Federal agencies, professional corps, and multi-State organizations will benefit.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Contact the Corporation for application procedures.

Award Procedure:

Cooperative Agreements and grants are awarded by the Corporation for National and Community Service. Pre-award negotiations are usually held.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. A time frame has not been established.

Appeals:

Appeals procedures are in accordance with CNCS processes.

Renewals:

From 30 to 60 days. Renewals are subject to performance, continuing need, and the availability of funds.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are typically three (3) to five (5) years long funded in one year increments. Funds must be expended during the grant project period. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports must be submitted in accordance with the terms of the cooperative agreement or grant award and as required by law. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National & Community Service. Progress reports must be submitted in accordance with the terms of the cooperative agreement or grant award and as required by law. Federal Financial Status Reports are required as specified in the grant award provisions. CNCS utilizes a risk-based monitoring approach to identify risk and develops a monitoring plan based on the risk level of the grantee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Audits are performed as required by Law.

Records:

Records must be maintained in accordance with the requirements of the Cooperative or Grant Agreement.

Account Identification:

95-2720-0-1-506.

Obligations:

(Salaries) FY 08 \$9,914,528; FY 09 est \$13,817,365; FY 10 est not reported.

Range and Average of Financial Assistance:

See notices of funding availability.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Contact the Corporation for guidelines.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Ralph J. Morales, Corporation for National and Community Service, 1201 New York Avenue, NW, Washington, District of Columbia 20525 Email: rmorales@cns.gov Phone: (202) 606-6829

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Disability and Inclusion Services University of Massachusetts

*Support to state-based inclusion teams

*Consultation and problem-solving

*Webinars and on-site training delivery. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Corporation assesses Training and Technical Assistance proposals for quality, organizational capacity, innovation, cost, and replicability.

94.011 FOSTER GRANDPARENT PROGRAM

FGP

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part B, Section 211, Public Law 93-113, 42 U.S.C. 5011, as amended.

OBJECTIVES:

The Foster Grandparent Program provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 or older, with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality volunteer experience that will enrich the lives of the volunteers. Program funds are used to support Foster Grandparents in providing supportive, person to person service to children with exceptional or special needs or in circumstances identified as limiting their academic, social or emotional development.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for: low-income Foster Grandparent stipends, transportation, physical examinations and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Foster Grandparents may be assigned to children and youth in residential and non-residential facilities, including schools and preschools and to children living in their own homes. Volunteers may not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers may request or receive compensation for services of the volunteers. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, with a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating a non-Corporation funded Foster Grandparent Program project using state, local and/or private funds. Volunteers may not be involved in and funds may not be used for religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. Funds must be used as described in the approved application.

Applicant Eligibility:

Funding must be used consistent with approved application.

Beneficiary Eligibility:

Foster Grandparents must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines, and interested in serving infants, children, and youth with special or exceptional needs. (However, individuals who are not income eligible may serve as non-stipended volunteers under certain conditions.) Foster Grandparents must be physically, mentally, and emotionally capable and willing to serve selected infants, children or youth on a person-to-person basis.

Credentials/Documentation:

The applicant must furnish evidence of: availability of income-eligible older persons, eligible volunteer stations, and the ability to provide sufficient matching nonfederal funds. Nonprofit organizations must furnish: proof of nonprofit status, articles of incorporation, and certification of accounting capability. Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local Foster Grandparent project should contact the Corporation for National and Community Service State Program Office serving their state (list available at <http://www.nationalservice.gov/about/contact/stateoffices.asp>) The OMB-approved Senior Corps application forms furnished by the Corporation for National and Community Service must be used for this Program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via the Corporation's eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/egrants/index.asp>.

Award Procedure:

Grants are awarded by the Corporation for National and Community Service.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 90 to 120 days. Grant renewal applications, usually required every 3 years, are submitted 120 days prior to the end of the current budget period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This Program has no statutory formula. Generally, at least 10 percent of the total project costs must be met by the applicant. In exceptional cases, the Corporation for National and Community Service may make grants in excess of 90 percent of total project budget costs. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are generally for 3 years funded in one-year increments. Continuation applications must be submitted for the second and third year budget periods. Grant payments are made through the DHHS Payment

Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required as specified in the grant award notification. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National and Community Service. Progress reports are required as specified in the grant award notification. Federal Financial Reports are required as specified in the grant award provisions. Performance monitoring is required as specified in the grant award notification.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. The Corporation for National and Community Service grants are subject to audit by the Corporation for National and Community Service, the General Accounting Office, other Federal agencies, and contract auditors.

Records:

All financial records for each budget period, including receipts, disbursements, and vouchers for Federal and non-Federal costs; copies of all contracts; personnel records; and job descriptions must be available for a period of 3 years from date of submission of Final Financial Report.

Account Identification:

95-2728-0-0-000.

Obligations:

(Project Grants) FY 08 \$108,574,000; FY 09 est \$108,574,000; FY 10 est \$110,113,000

Range and Average of Financial Assistance:

From \$0 to \$1,969,700; Average \$333,865.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: More than 29,000 Foster Grandparent volunteers will contribute 24.3 million hours of service to help nearly 263,000 children with special and exceptional needs. Foster Grandparents will serve through a network of 331 local projects nationwide supported with Corporation and non-Corporation funds. These grantees will in turn work with more than 10,000 community organizations that supervise the Foster Grandparents during their service. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 2552; Foster Grandparent Program brochure.

Regional or Local Office:

See Regional Agency Offices. Corporation for National and Community Service State Program Offices as listed in Appendix IV of the Catalog under the Corporation for National and Community Service.

Headquarters Office:

Linda Esah 1201 New York Avenue, NW, Washington, District of Columbia 20525 Email: lesah@cns.gov Phone: 202-606-7538

Website Address:

<http://www.seniorcorps.gov>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.016 Senior Companion Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: More than 29,000 Foster Grandparent volunteers contributed 24.3 million hours of service to help nearly 263,000 children with special and exceptional needs. Foster Grandparents served through a network of 331 local projects nationwide supported with Corporation and non-Corporation funds. These grantees in turn worked with more than 10,000 community organizations that supervise the Foster Grandparents during their service. Special emphasis was placed on terminally ill children, juvenile delinquents, pregnant teenagers, boarder babies and abused children. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Corporation-wide evaluation criteria: program design: Getting Things Done: 1) Well-documented compelling community needs(s); 2) Well-designed activities with measurable goals and objectives that meet community needs; 3)

Well-defined roles for participants that lead to measurable outcomes/impact; 4) Effective involvement of target community in planning/implementation; 5) Ability to provide or secure effective technical assistance. Strengthening Communities: 1) Strong community partnerships, including well-defined roles for community partners; 2) Potential for sustainability, innovation, and/or replicability of project activities; 3) Enhance capacity of organizations and institutions; mobilization of community resources, including volunteers; 4) Bring together people of diverse backgrounds. Participant Development: 1) Effective plan for recruiting, developing, training, supervising, and recognizing participants; 2) Well-designed plan to engage participants in high-quality service-learning as defined by the Corporation; 3) Well-designed plan for participants to learn to serve together with people of diverse backgrounds. Organizational Capacity: 1) Ability to provide sound programmatic and fiscal oversight; 2) Sound track record in the issue areas(s) to be addressed by the project; 3) Well-defined roles for staff and administrators; 4) Well-designed plans or systems for self-assessment, evaluation and continuous improvement. Budget/Cost-Effectiveness: 1) Adequate budget to support program design; 2) Commitment of applicant organization/host agency to securing resources for program implementation and/or sustainability; 3) Cost-effectiveness within program guidance.

94.013 VOLUNTEERS IN SERVICE TO AMERICA

(Americorps*VISTA)

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title I, Part A, Public Law 93-113, 42 U.S.C. 4951 et seq. , 42 U.S.C 4951.

OBJECTIVES:

To supplement efforts of private, nonprofit organizations and Federal, State, and local government agencies to eliminate poverty and poverty-related problems by enabling persons from all walks of life and all age groups to perform meaningful and constructive service as volunteers.

TYPES OF ASSISTANCE:

Provision of Specialized Services

USES AND USE RESTRICTIONS:

Volunteer: Provides full-time, full- year volunteers to local sponsoring organizations to work on clearly- defined tasks which help build sustainable anti poverty programs. The volunteers live among the people they serve at subsistence levels of support. All volunteers work to improve the community's ability to solve its own problems. Members of the poor community should actively participate in the development and implementation of the project. Projects must be responsive to the needs and desires of the people who are to benefit from them in order to be funded by VISTA. Volunteers are not to supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in, and funds are not to be used for, religious activities, labor or anti-labor organization, lobbying, or partisan or non-partisan political activities. Volunteers may be recruited locally to serve in their own communities or referred by the Corporation for National Service to sponsoring organizations in other parts of the country based on the volunteers' skills and project needs.

Applicant Eligibility:

Sponsors applying for VISTA Volunteers must be Federal, State or local government agencies or private, nonprofit organizations. The project proposing to use the volunteers must have a clear anti-poverty focus.

Beneficiary Eligibility:

Persons who are assisted by VISTA project activities must be low-income and VISTA activities must directly benefit the poor by helping communities find permanent solutions to poverty.

Credentials/Documentation:

Applicants must be bona fide public or private nonprofit organizations. Nonprofit organizations must furnish proof of nonprofit status and articles of incorporation. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The Concept Paper and Application forms and assistance in applying for VISTA project sponsorship may be obtained on-line on the Corporation for National and Community Service website: www.NationalService.org. The application form is submitted to the appropriate Corporation for National Service State Office via eGrants, the Corporations online application website. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the review process the State requires to be followed in applying for assistance if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Prospective sponsors apply through Corporation for National Service State Offices. The Governor or other chief executive officer of the State concerned has the right to disapprove programs submitted within 45 days of their submission.

Award Procedure:

New and renewal project applications are reviewed and judged by Corporation for National and Community Service State Offices with final decisions rendered by the Corporation State Director. A limited number of applications may be reviewed and approved by VISTA Headquarters in conjunction with the State Offices.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 120 to 180 days.

Appeals:

No formal appeals for denial of initial project application; however, regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

Renewal applications are required annually at least 120 days prior to the end of the project period. Renewals are subject to the same review procedures as new projects.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. The VISTA program does not, as a rule, provide assistance through cash grants, and, thus has no matching requirements. In the case of those projects that receive small grants to offset costs, there are no matching requirements. VISTA does operate a voluntary cost share program where sponsoring organizations can pay living allowance costs for one or more VISTA members, allowing the program to maximize its reach. Sponsors also provide equipment, work space and other in-kind support of the VISTA members assigned to ensure overall project success. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

VISTA Volunteers are assigned to a project for a given length of time, generally 1 year, as designated in a Memorandum of Agreement between Corporation for National Service and the sponsor. Individual volunteers commit themselves to a 1- year term of service which may be extended with sponsor and Corporation for National Service approval. Note that, with certain small exceptions, the VISTA program does not grant financial resources to organizations, but rather provides the resource through the placement of a VISTA member. Method of awarding/releasing assistance: lump sum.

Reports:

Quarterly Project Progress Reports in first year; semi- annual thereafter unless requested otherwise by Corporation State Office. Annual VISTA Progress Report Supplement(VPRS) report. In the case of VISTA projects that receive small cash grants, HHS Payment Management System collects quarterly cash

transaction reports on behalf of the Corporation for National and Community Service. Progress reports are required as specified in the Memorandum of Agreement. In the case of VISTA projects that receive small cash grants, Federal Financial Reports are required as specified in the grant award provisions. Performance monitoring is required as specified in the Memorandum of Agreement.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Sponsors are subject to audit by Corporation for National Service, the General Accountability Office, other Federal agencies, and contract auditors.

Records:

Sponsors are expected to maintain records pertaining to volunteer assignments and training activities for 3 years after the end of the project year. If applicable, all financial records for each budget period including receipts, disbursements, and vouchers for federal costs must be available for a period of three years from the date submission of the final financial report.

Account Identification:

44-0103-0-1-506.

Obligations:

(Provision of Specialized Services) FY 08 \$93,778,300; FY 09 est \$95,956,700; FY 10 est \$97,932,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: Over 7,000 individuals will enroll in service as VISTA members, serving in just over 1,000 VISTA projects. VISTA members will work with organizations and communities to help build sustainable anti-poverty programs. VISTAs will support these programs by recruiting and managing community volunteers, raising private sector resources, building local partnerships, and other activities designed to build organizational and community capacity. In FY2010, we project that VISTA members will serve a total of 11 hours, and recruited 1.3 million community volunteers who will serve an additional 12 M hours in service to their communities. VISTA members will secure the commitment of \$150 million in private sector support for the organizations and communities they serve. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 1201; VISTA Member Handbook; Publications: Overcoming Poverty, Building Capacity, Is VISTA Right for Your Organization?

Regional or Local Office:

See Regional Agency Offices. See Corporation for National Service Cluster and State Program Offices list in Appendix IV of the Catalog.

Headquarters Office:

Kelly L. Daly, 1201 New York Avenue NW , Washington, District of Columbia 20525 Email: kdaly@cns.gov Phone: (202) 606-6849.

Website Address:

<http://www.americorps.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: VISTAs are serving with the Graduation Coach Program developed by Communities In Schools of the Nation's Capital, to improve student performance and increase high school graduation rates through the delivery of community-based integrated student support services for K-12 students attending schools in two of the District of Columbia's most impoverished Wards - Wards 7 and 8.

The CIS Graduation Coach Program will engages VISTA members as Site Coordinators, resulting in the following projected outcomes during the 12-months covered by the project. 1) Increased attendance. 2) Reduced number of out-of-school suspensions. 3) Increased number of students who are

proficient in reading and math. Longer term goals focus on supporting each school's effort to achieve Adequate Yearly Progress (AYP) in reading and math for the District of Columbia Comprehensive Assessment System (DC CAS). Additionally, CIS will work to build a tightly knit culture of collaboration among service providers and volunteers at each school so they can more effectively meet the holistic needs of students. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

All of the following elements must be found in the applicant's proposal for new or renewal projects. The project must: (1) be poverty oriented in scope and comply with the provisions of the Domestic Volunteer Service Act of 1973 applicable to VISTA and all published regulations and Corporation for National Service policies; (2) show that the project goals, objectives and Volunteer tasks are attainable within the time frame during which the Volunteers will be serving on the project and will produce a measurable result; (3) must recruit and involve low-income community residents in the planning, development and implementation of the VISTA project; (4) provide for frequent and effective supervision of the Volunteers; (5) identify resources needed and make them available for Volunteers to perform their tasks; and (6) have the management capability to carry out the project.

94.016 SENIOR COMPANION PROGRAM

SCP

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Domestic Volunteer Service Act of 1973, as amended, Title II, Part B, Section 211, Public Law 93-113, 42 U.S.C. 5011, as amended.

OBJECTIVES:

The Senior Companion Program provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 and older, particularly those with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality experience that will enrich the lives of the volunteers. Program funds are used to support Senior Companions in providing supportive, individualized services to help adults with special needs maintain their dignity and independence.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The grants may be used for: Senior Companion stipends, transportation, physical examinations, insurance, and meals; staff salaries and fringe benefits, staff travel, equipment, space costs, etc. Assignment of Senior Companions to adults may occur in residential and non-residential facilities and in their own homes. Volunteers do not supplant hiring or displace employed workers, or impair existing contracts for service. No agency supervising volunteers shall request or receive compensation for services of the volunteers. Volunteers are not to be involved in and funds are not to be used for religious activities, labor or anti-labor organizations, lobbying, or partisan or non-partisan political activities. In addition, eligible agencies or organizations may, under a Notice of Grant Award from the Corporation for National and Community Service, receive technical assistance and materials to aid in establishing and operating non-Corporation for National and Community Service funded SCP projects using state, local and private funds.

Applicant Eligibility:

Activities must be consistent with approved and funded application.

Beneficiary Eligibility:

Senior Companions must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines; interested in serving special-needs adults, especially the frail elderly, and must be physically, mentally and emotionally capable, and willing to serve on a person-to-person basis. However, non-income eligible individuals may serve as non-stipended volunteers under certain conditions.

Credentials/Documentation:

The applicant must furnish evidence of: availability of income-eligible older

persons, eligible volunteer stations, ability to provide sufficient matching nonfederal funds. Non-profit organizations must furnish: proof of nonprofit status, articles of incorporation, and certification of accounting capability. Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Organizations interested in exploring the possibility of developing a local Senior Companion project should contact the Corporation for National and Community Service State Program Office serving their state (list available at <http://www.nationalservice.gov/about/contact/stateoffices.asp>) The OMB-approved Senior Corps application forms furnished by the Corporation for National and Community Service must be used for this Program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Applications must be submitted following the Senior Corps Grant Application instructions (OMB Control No. 3045-0035). Applications are submitted via the Corporation's eGrants system. For more information on eGrants, go to <http://www.nationalservice.gov/egrants/index.asp>.

Award Procedure:

Applications are received and awarded through the Corporation's eGrants system.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. 120 days after receipt of application by the Corporation for National and Community Service.

Appeals:

No formal appeals for denial of initial grant application, but regulations provide for hearings on terminations and suspensions, and opportunity to show cause in cases of denial of refunding.

Renewals:

From 90 to 120 days. Grant renewal applications, usually required every 3 years, are submitted 120 days prior to the end of the current budget period.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Generally, at least 10 percent of the total project costs must be met by the applicant. In exceptional cases, the Corporation for National and Community Service may make grants in excess of 90 percent of total project budget costs.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Grant awards are generally for 3 years funded in one-year increments. Continuation applications must be submitted for the second and third year budget periods. Grant payments are made through the DHHS Payment Management System. Method of awarding/releasing assistance: lump sum.

Reports:

Program reports are required as specified in the grant award notification. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National and Community Service. Progress reports are required as specified in the grant award notification. Federal Financial Reports are required as specified in the grant award provisions. Performance monitoring is required as specified in the grant award notification.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The Corporation for National and Community Service grants are subject to audit by the Corporation for National and Community Service, the General Accounting Office, other Federal agencies, and contract auditors.

Records:

All financial records for each budget period, including receipts, disbursements, and vouchers for Federal and non-Federal costs; copies of all contracts; personnel records; and job descriptions must be available for a period of 3 years from date of submission of Final Financial Report.

Account Identification:

95-2728-0-0-000.

Obligations:

(Project Grants) FY 08 \$45,494,000; FY 09 est \$45,494,000; FY 10 est \$46,177,000

Range and Average of Financial Assistance:

From \$0 to \$599,500; Average \$234,500.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: More than 15,000 SCP volunteers will contribute 11 million hours of service to nearly 58,000 clients through the 223 organizations that will receive SCP grants from Corporation and non-Corporation sources. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR Part 2551; Senior Companion Program flyers.

Regional or Local Office:

See Regional Agency Offices. Corporation for National and Community Service State Program Offices, as listed in Appendix IV of the Catalog under Corporation for National and Community Service.

Headquarters Office:

Linda Esah 1201 New York Avenue, NW, Washington, District of Columbia 20525 Email: lesah@cns.gov Phone: 202-606-7538

Website Address:

<http://www.nationalservice.org>

RELATED PROGRAMS:

94.002 Retired and Senior Volunteer Program; 94.011 Foster Grandparent Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: The following exemplifies SCP service: An SCP volunteer provides supportive in-house services to a stroke victim who is confined to a wheelchair, suffers from depression and needs help in daily living. Prior to the arrival of the Senior Companion, the client received no visitors and refused to leave his apartment. Just two months after he arrived, the SCP volunteer convinced his client to venture out of his apartment. Now, the client goes out for meals, exercises and participates in social events at a nearby senior center. Another Senior Companion has provided respite care to the husband of an Alzheimer's patient for over six years. The Senior Companion provides companionship and engages in music and games with the client. Senior Companions provide predominantly in-home services to frail adults, often the elderly. The following statistics suggest the scope of their activities: over 2,400 Senior Companions provided respite to 5,300 Alzheimer's care givers. They served over 5,000 visually impaired, 3,100 hearing impaired, 2,000 terminally ill and 3,000 clients with short-term disabilities. An additional 27,000 frail adults with chronic care disabilities threatening their ability to continue to live at home were served. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

The Corporation-wide evaluation criteria: program design: Getting Things

Done: 1) Well-documented compelling community needs(s); 2) Well-designed activities with measurable goals and objectives that meet community needs; 3) Well-defined roles for participants that lead to measurable outcomes/impact; 4) Effective involvement of target community in planning/implementation; 5) Ability to provide or secure effective technical assistance. Strengthening Communities: 1) Strong community partnerships, including well-defined roles for community partners; 2) Potential for sustainability, innovation, and/or replicability of project activities; 3) Enhance capacity of organizations and institutions; mobilization of community resources, including volunteers; 4) Bring together people of diverse backgrounds. Participant Development: 1) Effective plan for recruiting, developing, training, supervising, and recognizing participants; 2) Well-designed plan to engage participants in high-quality service-learning as defined by the Corporation; 3) Well-designed plan for participants to learn to serve together with people of diverse backgrounds. Organizational Capacity: 1) Ability to provide sound programmatic and fiscal oversight; 2) Sound track record in the issue areas(s) to be addressed by the project; 3) Well-defined roles for staff and administrators; 4) Well-designed plans or systems for self-assessment, evaluation and continuous improvement. Budget/Cost-Effectiveness: 1) Adequate budget to support program design; 2) Commitment of applicant organization/host agency to securing resources for program implementation and/or sustainability; 3) Cost-effectiveness within program guidance.

94.019 SOCIAL INNOVATION FUND

Social Innovation Fund (SIF)

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

National and Community Service Act of 1990, as amended 42 U.S.C. 12653k , 123 Stat. 1565-1571, 42 U.S.C 12653k.

OBJECTIVES:

To support a national network of funds, led by community experts, that identify and invest in promising organizations that demonstrate impact in low-income communities.

The Corporation defines social innovation as the development of a potentially transformative practice or approach to meeting critical social needs. By investing in social innovation as a driver of results and accountability, the Federal government will play a central role in accelerating the spread of promising solutions to address our most pressing national and local challenges.

In FY 2010, SIF awards will be focused on improving measurable outcomes in the following priority areas:

Economic Opportunity Increasing the economic opportunities for economically disadvantaged individuals;
Youth Development and School Support Preparing Americas youth for success in school, active citizenship, productive work, and healthy and safe lives; and
Healthy Futures Promoting healthy lifestyles, and reducing the risk factors that can lead to illness.

The SIF funds will be awarded to existing intermediary organizations, which are either grantmaking institutions or grantmaking partnerships as defined in this Notice. These intermediary organizations will award subgrants to nonprofit community organizations working to address priority issues. To be awarded funding, intermediaries will need to demonstrate: the ability to identify innovative solutions and successfully invest in growth and replication; a track record of using rigorous evidence to select, invest in, and monitor the growth and progression of their grantees; expertise and demonstrated impact in the proposed issue area(s) of focus; and depth and breadth of relationships with stakeholders in the issue area or region of focus.

The SIF will also attract and leverage private donors to match Federal dollars, bringing new resources to support promising organizations. The statute requires

both the SIF intermediaries and their subgrantees to match their grants dollar-for-dollar, in cash, with non-Federal funding.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

The SIF funds are awarded to existing intermediary organizations, grantmaking institutions, or grantmaking partnerships. These intermediary organizations will award subgrants to promising nonprofit community organizations working to address priority issues in low-income communities across the country. Please see the Notice of Federal Funding Availability found at www.nationalservice.gov.

Applicant Eligibility:

Please see the Notice of Federal Funding Availability found at www.nationalservice.gov.

Beneficiary Eligibility:

Please see the Notice of Federal Funding Availability found at www.nationalservice.gov.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information on the application procedures.

Award Procedure:

Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information on the award procedure.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days. Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information on the approval process.

Appeals:

n/a.

Renewals:

This is a new grant and cooperative agreement program with up to 5 year awards.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 100%. Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information on match requirements. This program does not have MOE requirements. Not Applicable.

Length and Time Phasing of Assistance:

The 2010 SIF Awards to intermediaries will be made for periods up to 5 years, but funded annually. If a grantee is awarded \$5 million in the upcoming competition, then that amount will be awarded for the first year of the overall grant period. FY 2010 grantees will be eligible for further annual funding contingent on the availability of appropriations, compliance with grant conditions (including having secured cash matching funds), and satisfactory performance. See the following for information on how assistance is awarded/released: Annual award for a period of up to 5 years.

Reports:

Performance Progress Reports and Federal Financial Reports. Please see the

Notice of Federal Funding Availability found at www.nationalservice.gov for additional information on program reports. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National and Community Service. Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information on the progress reports. Federal Financial Status Reports are required as specified in the grant award provisions. Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information on performance monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained for 3 years after final financial report is accepted by the agency.

Account Identification:

95-2728-0-1-506 - Operating Funds.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 09 \$0; FY 10 est \$50,000,000; FY 11 est \$50,000,000 - This program did not exist in 2008 and 2009. The Corporation anticipates that up to \$50 million will be available to award new cooperative agreements in the approximate amounts of \$5 million to \$10 million to approximately five to seven intermediary organizations.

Range and Average of Financial Assistance:

Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information related to the range and average of financial assistance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information related to literature.

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Paul L. Carttar, Corporation for National and Community and Service, 1201 New York Avenue, Washington, District of Columbia 20005 Email: pcarttar@cns.gov Phone: (202) 606-3238.

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Please see the Notice of Federal Funding Availability found at www.nationalservice.gov for additional information related to the selection criteria.

94.020 CNCS DISASTER RESPONSE COOPERATIVE AGREEMENT

CNCS Disaster Response Cooperative Agreement

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

42 U.S.C. Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) 42 U.S.C. National and Community Service Act of 1990.

OBJECTIVES:

The CNCS Disaster Response Cooperative Agreement allows CNCS to enter into a legal relationship with existing CNCS grantees to reimburse expenses incurred when that program redirects its activities to communities impacted by disaster. It defines the conditions for deployment, reimbursable expenses and support provided by CNCS for these disaster activities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

These agreements will allow reimbursement of disaster-related expenses for existing CNCS grantees deployed to disaster impacted areas. Allowable expenses are detailed in the agreement. Expenses must fall within the pre-approved budget. Disaster deployments must be supported with a FEMA Mission Assignment and CNCS issued disaster deployment form.

Applicant Eligibility:

See application instructions.

Beneficiary Eligibility:

See application instructions.

Credentials/Documentation:

Various records and forms required for first time applicants, as well as copy of last audit may be requested. First time applicants must certify financial systems. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicant must be an existing CNCS grantee. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Federal Acquisition Regulations (FAR) also apply to for-profit entities.

Award Procedure:

Awards are given as a reimbursement for actual disaster related costs.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

From 60 to 90 days.

Renewals:

Agreements are renewed every three years.

Agreements are dependent on base grants. If the base grant is terminated, so is the agreement.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Reimbursement is provided per deployment for actual costs incurred. Method of awarding/releasing assistance: lump sum.

Reports:

After action reports are required for each deployment. No cash reports are required. No progress reports are required. A deployment invoice form must be completed and submitted to claim reimbursement. Performance monitoring is incorporated into base grant performance monitoring requirements.

Audits:

Not Applicable.

Records:

Grantees must maintain documentation for all reimbursable expenses.

Account Identification:

95-8981-0-0-000.

Obligations:

(Direct Payments for Specified Use (Cooperative Agreements)) FY 08 \$7,131,397; FY 09 est \$0; FY 10 est \$50,000

Range and Average of Financial Assistance:

Variable depending on levels of disaster activity across the country.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines provided in cooperative agreement language, application instructions, and CNCS guidance.

Regional or Local Office:

None.

Headquarters Office:

Philip W. Shaw, 1201 New York Avenue, NW, 9th Floor, Washington, District of Columbia 20525 Email: pshaw@cns.gov Phone: (202) 606-6697

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria outlined in Cooperative Agreement application.

94.021 VOLUNTEER GENERATION FUND

Volunteer Generation Fund

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Edward M. Kennedy Serve America Act.

OBJECTIVES:

The Volunteer Generation Fund will increase the number of people who serve in meaningful roles as volunteers dedicated to addressing important needs in communities across America. More specifically, the Fund will support efforts that expand the capacity of volunteer connector organizations to recruit, manage, support and retain individuals to serve in high quality assignments, including those that are aligned with special days of service such as Martin Luther King, Jr. Day and the 9-11 Day of Service and Remembrance.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Competition is limited to state service commissions (or approved alternative administrative entities) or partnerships/consortia of state service commissions. Commissions that receive funding through the Volunteer Generation Fund may directly carry out the activities supported under the award, or may carry out the activity by making sub grants to community-based entities. Must be used for the program and activities identified in the funded grant application.

Applicant Eligibility:

Use of assistance must be consistent with funded approved grant application.

Beneficiary Eligibility:

N/A.

Credentials/Documentation:

See application requirements found in notice of funding availability and application instructions. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program.

Award Procedure:

Financial draw downs through HHS.

Deadlines:

Mar 25, 2010 to May 18, 2010

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

Other - Not Specified.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title I, Chapter Subtitle H, Part Sec. 1808 Clearinghouses, Subpart Sec. 198P Volunteer Generation Fund, Public Law Kennedy Serve America Act. N/A.

Matching Requirements: Percent: 20%. 30% matching requirement in second year

40% matching requirement in third year

50% matching requirement in fourth year and each year thereafter.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Three year grant period; contingent annually upon availability of funds from Congressional appropriations. See the following for information on how assistance is awarded/released: Financial draw downs through HHS.

Reports:

No program reports are required. No cash reports are required. Semi Annual Project Progress Reports. Quarterly Federal Financial Reports. Semi Annual Performance Measurement Reporting Required.

Audits:

No audits are required for this program.

Records:

Not Applicable.

Account Identification:

95-2728-0-1-506.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$4,000,000; FY 11 est \$10,000,000 - N/A.

Range and Average of Financial Assistance:

Minimum Award of \$75,000; no maximum award level established.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Notice of Fund Availability

Regional or Local Office:

None. Not Applicable.

Headquarters Office:

Malcolm F. Coles, 10 Causeway St.Suite 473, Boston, Massachusetts 02222

Email: mcoles@cns.gov Phone: (617) 565-7001.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Program Design	35%
Organizational Capability	50%
Budget Adequacy and Cost-Effectiveness	15%

94.022 NONPROFIT CAPACITY BUILDING

Nonprofit Capacity Building

FEDERAL AGENCY:

Corporation for National and Community Service

AUTHORIZATION:

Edward M. Kennedy Serve America Act of 2009, 42 U.S.C 12653.

OBJECTIVES:

The Nonprofit Capacity Building Program will make grants to intermediary organizations to support the provision of organizational development assistance including training on best practices, financial planning, grant writing, and compliance with applicable tax laws to small and mid-size nonprofit organizations, particularly those facing resource hardship challenges.

TYPES OF ASSISTANCE:

Project Grants (Discretionary)

USES AND USE RESTRICTIONS:

Funds must be used to operate organizational development assistance programs for small and mid-size nonprofits. Included in application instructions. Grantee must be an intermediary nonprofit. Beneficiaries must be small and mid-size nonprofits. Organizational development assistance must be limited to training on best practices, financial planning, grant writing, and compliance with applicable tax laws.

Applicant Eligibility:

Use of assistance is to providing organization development training.

Beneficiary Eligibility:

Nonprofits must be small to midsize nonprofits, served by the intermediary nonprofit grantee.

Credentials/Documentation:

Refer to application instructions. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

To be eligible to receive a grant under this section, an intermediary nonprofit organization shall submit an application to the Corporation at such time, in such manner, and containing such information as the Corporation may require. The intermediary nonprofit organization shall submit in the application information demonstrating that the organization has secured sufficient resources to meet the funding requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 90 to 120 days.

Appeals:

From 30 to 60 days.

Renewals:

From 30 to 60 days.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 50%. The Federal share of the cost shall be 50 percent and the non-Federal share of the cost shall be 50 percent and shall be provided in cash. The intermediary nonprofit grantee shall provide the non-Federal share of the cost through contributions from third parties. The third

parties may include charitable grantmaking entities and grantmaking vehicles within existing organizations, entities of corporate philanthropy, corporations, individual donors, and regional, State, or local government agencies, or other non-Federal sources. However, if the intermediary nonprofit grantee is a private foundation (as defined in section 509(a) of the Internal Revenue Code of 1986), a donor advised fund (as defined in section 4966(d)(2) of such Code), an organization which is described in section 4966(d)(4)(A)(i) of such Code, or an organization which is described in section 4966(d)(4)(B) of such Code, the grantee shall provide the non-Federal share from within that grantee's own funds.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Awards are limited to one-year duration. See the following for information on how assistance is awarded/released. Method of awarding/releasing assistance: lump sum.

Reports:

No program reports are required. HHS Payment Management System collects quarterly cash transaction reports on behalf of the Corporation for National & Community Service. Progress reports via eGrants, the Corporation's online grants application system are required. Federal Financial Status Reports are required as specified in the grant award provisions. Program officers will conduct site visits and, in some cases, the Inspector General's office may conduct additional visits to grantees.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records necessary to perform a proper audit must be retained.

Account Identification:

95-2728-0-1-506.

Obligations:

(Project Grants (Discretionary)) FY 09 not reported.; FY 10 est \$1,000,000; FY 11 est not reported.

Range and Average of Financial Assistance:

Grants will be no less than \$200,000.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Specific guidelines will be developed when federal funds are available for this program.

Regional or Local Office:

See Regional Agency Offices. See Regional Agency Offices. A list has been included in Appendix IV of the Catalog.

Headquarters Office:

Kelly L. Daly, 1201 New York Avenue NW, Washington, District of Columbia 20525 Email: kdaly@cns.gov Phone: (202) 606-6849.

Website Address:

<http://www.nationalservice.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Criteria are contained in the application guidelines.

EXECUTIVE OFFICE OF THE PRESIDENT

**95.001 HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM
HIDTA**

FEDERAL AGENCY:

Executive Office of the President

AUTHORIZATION:

AUTHORIZATION: Office of National Drug Control Policy Reauthorization Act of 2006, Public Law 109-469.

OBJECTIVES:

To reduce drug trafficking and drug production in the United States by-- (A) facilitating cooperation among Federal, State, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; (B) enhancing law enforcement intelligence sharing among Federal, State, local, and tribal law enforcement agencies; (C) providing reliable law enforcement intelligence to law enforcement agencies needed to design effective enforcement strategies and operations; and (D) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs in designated areas and in the United States as a whole.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

This program, established by the Anti-Drug Abuse Act of 1988, authorized the Director of The Office of National Drug Control Policy (ONDCP) to designate areas within the United States which exhibit serious drug trafficking problems and harmfully impact other areas of the country as High Intensity Drug Trafficking Areas (HIDTA). Grant funds are provided to law enforcement initiatives in areas that have been designated as a HIDTA. The initiatives are developed to counter the regional drug threat by regional Executive Boards composed of a balance of Federal, State, local, and tribal law enforcement leadership. Initiatives must include collocated Federal and state/local law enforcement officers. Initiatives must also share information with regional intelligence or information centers and deconflict their operations. The Reauthorization statutes provide the restrictions for the HIDTA program. Discretionary funding is based upon appropriated amounts.

Applicant Eligibility:

Law enforcement initiatives must be located and operate in an area designated as a HIDTA by the Director of ONDCP. The request for funding must be supported by the Executive Board of the regional HIDTA under which they will operate, or in the case of applicants for a new HIDTA, must be submitted by a coalition of law enforcement leaders. Applicants must agree to operate in accordance with HIDTA Program Policy and Budget Guidance and applicable Grant Circulars and Law.

Beneficiary Eligibility:

Law enforcement Drug Task Forces; Drug Related Law Enforcement Initiatives; Drug Related Intelligence or Information Centers located in designated HIDTAs.

Credentials/Documentation:

Operate in an area designated as HIDTA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Applicants must coordinate with and submit their requests through the Executive Board of the Regional HIDTA. This requirement ensures a coordinated response to counter the threat of illegal drugs in the region. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Office of National Drug Control Policy will administer and manage the HIDTA Program. Applicants must submit a proposal to the office on Standard Form 424, Federal Assistance Applications.

Additional application procedures are included in HIDTA Program Policy and Budget Guidance. The receipt, review, and analysis of applications will follow the Office of National Drug Control Policy policies and procedures for the administration of grant applications. This program is subject to the all OMB grant circulars.

Award Procedure:

Upon approval by the Office of National Drug Control Policy, a letter is sent to the applicant agency with copies of the Grant Award. The original signed copy of the Grant Award must be signed by the authorized official and returned to the National HIDTA Assistance Center.

Deadlines:

Jun 15, 2011: June 15th annually.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Each grantee must resubmit annually. The Executive Board of each Regional HIDTA will prepare and submit to ONDCP, an annual request for funds that includes a specific projected budget for each initiative, a description of the initiative for which the funds are requested, and performance data for the prior years activities.

Formula and Matching Requirements:

Statutory Formula: 21 CFR 1403 and 1404; 28 CFR 69.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Under the HIDTA program, award length is mandated by appropriation law. Currently awards are made for two years. See the following for information on how assistance is awarded/released: Grants are awarded as part of the baseline and discretionary funding allocations.

Reports:

Under the HIDTA Program, quarterly progress and financial reports are required. FFR Cash reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required. FFR Financial reports are required to be submitted quarterly. Under the HIDTA Program, quarterly progress and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. All organizations that expend financial assistance of \$500,000 or more in any fiscal year must have a single audit for that year, in accordance with OMB Circular No. A-133, as amended, unless the audit condition on the award says otherwise. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantees fiscal year. In addition, additional external audits may be directed and funded by ONDCP.

Records:

Grantee must keep complete records on the disposition of funds, and records related to the grant must be retained for 3 years.

Account Identification:

11-1070-0-1-754.

Obligations:

(Project Grants) FY 09 \$234,000,000; FY 10 est \$239,000,000; FY 11 est \$209,950,000

Range and Average of Financial Assistance:

\$8,900 to \$11,006,652 awarded in FY 2009; and \$8,900 to \$12,285,056 awarded in FY 2010 to HIDTA State and local grantees. The average amount

of grants awarded in FY 2009 and 2010 - \$202 million.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: In Fiscal Year 2010, over 200 HIDTA grants were funded and it is estimated that 200 will be funded in Fiscal year 2011. Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular A-21 Cost Principles for Educational Institutions. OMB Circular A-87 Cost Principles for State, Local, and Indian Tribal Governments. OMB Circular A-102 Grants and Cooperative Agreements with State and Local Governments. (Codified at 21 CFR 1403) OMB Circular A-110 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations. OMB Circular A-122 Cost Principles for Nonprofit Organizations. OMB Circular A-133 Audits of States, Local Governments, and Non-Profit Institutions. Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Units of Governments, codified at 21 CFR Part 1403, Grants Management Common Rule for State and Local Units of Governments. Government-wide Debarment and Suspension (Nonprocurement), codified at 21 CFR Part 1404. Government-wide Requirements for Drug-free Workplace (Financial Assistance) (codified at 21 CFR Part 1405). New Restrictions on Lobbying (codified at 28 CFR Part 69).

HIDTA Program Policy and Budget Guidance

Regional or Local Office:

None.

Headquarters Office:

Phuong DeSear, 750 17th Street, NW, Washington, District of Columbia 20503 Phone: (202) 395-6739.

Website Address:

<http://www.whitehousedrugpolicy.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: There are four types of HIDTA funded initiatives: Enforcement; Intelligence and Information Sharing; Support; and Management and Coordination. Each HIDTA is required to have at least one initiative of each type.

Enforcement Initiatives: Enforcement Initiatives include multi-agency investigative, interdiction, and prosecution activities targeting drug trafficking and money laundering organizations, drug production organizations, drug gangs, drug fugitives, and other serious crimes with a drug nexus.

Intelligence and Information Sharing Initiatives: Intelligence and Information Sharing Initiatives include intelligence analysis (tactical, operational, and strategic), deconfliction services (event and case/subject), information collection and dissemination, and other analytical support for HIDTA initiatives and participating agencies.

Support Initiatives: Support Initiatives include activities beyond the core Enforcement and Intelligence and Information Sharing Initiatives, e.g. - training, treatment, prevention, crime and forensic labs, and information technology initiatives.

Management and Coordination Initiatives: Management and Coordination Initiatives fund the basic overhead of the HIDTA, e.g. - salaries and fringe benefits for the Director, Deputy Director, and other administrative staff positions approved by the Executive Board, as well as rent and facilities charges for administrative staff, etc. Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

ONDCP uses a threat-driven process that requires each HIDTA Executive Board to assess the drug trafficking activities in its region; design a strategy to attack those activities; plan initiatives to carry out the strategy; and develop a budget that is sufficient to carry out the planned initiatives. ONDCP awards

funds to HIDTAs based on a review and performance based assessment of the Threat Assessment, Strategy, Initiative Description and Budget Proposals that each HIDTA submits to ONDCP.

DEPARTMENT OF HOMELAND SECURITY

97.005 STATE AND LOCAL HOMELAND SECURITY NATIONAL TRAINING PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009, Public Law 110-329.

OBJECTIVES:

Cooperative agreements are awarded to eligible applicants to design, develop, and deliver training content and support for Federal, State, local, and tribal jurisdictions in accordance with FEMA doctrine and approved Homeland Security Strategies.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Provides funding through cooperative and inter-agency agreements to the National Domestic Preparedness Consortium, Rural Domestic Preparedness Consortium, and Continuing Training Grants program training providers for the purposes of the development and delivery of all-hazards training for Federal, State, local, and tribal emergency responders. Refer to program guidance for specific information on eligibility.

Applicant Eligibility:

This is a closed solicitation, available only to eligible organizations. There are two categories funded: 1) Non-Federal members that make up the National Domestic Preparedness Consortium (NDPC) and the Rural Domestic Preparedness Consortium (RDPC) and consist of the following institutions: Louisiana State University, Texas A&M, New Mexico Institute of Mining and Technology, University of Hawaii, Transportation Technology Center Incorporated and Eastern Kentucky University; and 2) Continuing Training Grant programs. These programs meet the continuing training needs of emergency responder communities and have received previous funding through a competitive process e.g., Competitive Training Grant Program (CTGP) or national associations that represent key stakeholders.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

See program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the guidance and application kit.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Potential continuation or extensions of some awards.

Formula and Matching Requirements:

This program has no statutory formula.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Draw down of funds.

Reports:

Semi-annual report. Semi-annual report. Semi-annual report. Semi-annual report. Grantees are required to submit quarterly Financial Status Reports (FSR). The FSRs are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final FSR report is due 90 days after the expiration or termination of grant award, whichever occurs first. Categorical Assistance Progress Reports (CAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Final CAPR report is due 90 days after the expiration or termination of grant award, whichever occurs first.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$118,260,050; FY 10 est \$130,392,000; FY 11 est \$130,392,000 - Includes non-Federal National Domestic Preparedness Consortium (NDPC) members, Continuing Training Grants, and other congressionally identified organizations.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 127,555 course completions

o 102,461 Instructor Led completions

o 25,094 Web-Based completions

1,317,783 total contact hours

30% average increase in Knowledge, Skills, and Abilities (KSA). Fiscal Year

2010: No Current Data Available. Fiscal Year 2011: No Current Data

Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Solicitations may be developed to solicit proposals for new activities.

Regional or Local Office:

None.

Headquarters Office:

FEMA, Techworld, Control Desk, 4th Floor, Room 427, 500 C Street SW, Washington, District of Columbia 20472 Email: askesid@dhs.gov Phone: (1-800) 368-6498

Website Address:

www.dhs.gov.

RELATED PROGRAMS:

97.007 Homeland Security Preparedness Technical Assistance Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Training courses. Fiscal Year 2010: Training courses. Fiscal Year 2011: Training courses.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.007 HOMELAND SECURITY PREPAREDNESS TECHNICAL ASSISTANCE PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009, Public Law 110-329.

OBJECTIVES:

To provide direct assistance to State, regional, Local and Tribal jurisdictions as well as other homeland security organizations, to improve their ability to prevent, protect against respond to, and recover from major events, including threats or acts or terrorism.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Uses: For costs associated with developing and delivering technical assistance services to State and local homeland security personnel to address / support the full spectrum of mission areas, national priorities, and target capabilities as outlined in the National Preparedness Guidelines, National Priorities, and national strategies and doctrine related to homeland security. Refer to program guidance for complete information.

Restrictions: There are restrictions regarding usage of funds, compliance with program requirements, copyrights, patents, and other restrictions. Refer to program guidance for complete information. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Under this solicitation, The Governors Homeland Security Advisory Council (GHSAC) via its overarching parent organization, The National Governors Association (NGA), Center for Best Practices, is the only eligible applicant.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Executive Order 12372 requires applicants from State and local units of government or other organizations providing services within a State to submit a copy of the application to the State Single Point of Contact (SPOC), if one exists, and if this program has been selected for review by the State. Applicants must contact their State SPOC to determine if the program has been selected for State review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. The application submitted through Grants.gov must include the HSPTAP Program Narrative, Budget, and Budget Narrative. Incomplete applications will not be accepted. Refer to program guidance for additional information regarding application procedures and deadlines.

Award Procedure:

FEMA will review applications for completeness and soundness of proposed methodologies and pricing. An applicants approach to implementing and completing the projects outlined in the program guidance must be consistent with existing DHS / FEMA policy. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Refer to program guidance for additional information regarding award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

none.

Renewals:

none.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Announcement of award approval is made through the electronic Grants Management System (GMS). Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Refer to program guidance for additional information. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$2,000,000; FY 10 est \$2,000,000; FY 11 est \$3,000,000

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Promoted planning and overall preparedness activities at the State and local level; supported accreditation and assessment activities; supported the Homeland Security Consortium and related activities. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the program guidance.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/Techworld, Control Desk, 4th Floor, Rm 427, 500 C St., SW Centralized Scheduling and Information Desk (CSID) CSID is open from 8:00 am to 7:00 pm., Eastern Standard Time, Monday through Friday, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.067 Homeland Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.008 NON-PROFIT SECURITY PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Security Disaster Assistance, and Continuing Appropriations Act of 2009 (Public Law 110-329); Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53.

OBJECTIVES:

To support the unique multi-discipline planning, operations, equipment, training, and exercise needs of high threat, high density Urban Areas, and to assist them in building and sustaining capabilities to prevent, protect against, respond to, and recover from threats or acts of terrorism.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Funds provided under this grant are designed to address the unique needs of large urban areas and mass transit authorities. Funds can be used for equipment, training, exercises and planning. Urban Areas must utilize their Urban Area

Homeland Security Strategy and the State's Program and Capability Enhancement Plan as the basis for requesting funds. There must be a clear correlation between the goals, objectives, and priorities identified in the Urban Area Homeland Security Strategy and UASI program activities. In addition, the Urban Area Homeland Security Strategy must also be consistent with and supportive of the State Homeland Security Strategy and the Program and Capability Enhancement Plan submitted by the State as part of the HSGP application. The Nonprofit Security Grant Program (NSGP) provides funds to support security enhancements to property owned or leased by nonprofit organizations at high risk of attack from terrorist organizations in cities identified in the Urban Area Security Strategy Initiative (UASI) grant program. Refer to program guidance.

Applicant Eligibility:

Selected city or combined entities identified within the current year Homeland Security Grant Program guidance and application kit. States that contain the selected cities will be notified of their eligibility to apply for this grant. The State agency with overall responsibility for developing the State Homeland Security Strategy and administering homeland security grant programs will be responsible for the administration of this program. In addition, Nonprofit, 501(c)(3) organizations that are at deemed high risk of a potential terrorist attack and are located within one of the specific UASI-eligible urban areas are eligible to submit applications through their State Administrative Agency (SAA) for (NSGP) funds.

Beneficiary Eligibility:

Local governments.

Credentials/Documentation:

Prior to, or along with, an application for assistance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by the State Administrative Agent and DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance document.

Renewals:

Refer to program guidance document.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on program need.

Reports:

Refer to program guidance document. Refer to program guidance document.
Refer to program guidance document. Financial Status Reports. Refer to
program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-754.

Obligations:

(Formula Grants) FY 09 \$15,000,000; FY 10 est \$19,000,000; FY 11 est not reported. - FY 11 estimate not available.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: NSGP provided funding support for target-hardening activities to nonprofit organizations that are at high risk of a terrorist attack and are located within one of the specific FY 2010 UASI-eligible urban areas.
Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the UASI section of the HSGP solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Department of Homeland Security/Techworld, Control Desk, 4th Floor, Room 427 500 C. St., SW, The Centralized Scheduling and Information Desk (CSID)
CSID is open from 8:00 a.m to 7:00pm., Eastern Standard Time, Monday through Friday., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants; 97.053 Citizen Corps; 97.071 Metropolitan Medical Response System; 97.073 State Homeland Security Program (SHSP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.009 CUBAN/HAITIAN ENTRANT PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Economy Act of 1932, 31 U.S.C 1535; Refugee Education Assistance Act of 1980, Executive Order Executive Order 12341, January 21, 1982, Public Law 96-422.

OBJECTIVES:

(1) To provide primary resettlement services to Cubans and Haitians, paroled into the United States by the Department of Homeland Security (DHS) for humanitarian reasons and; (2) to provide secondary resettlement assistance to Cuban and Haitian entrants living in south Florida whose initial resettlement did not lead to their achievement of economic self-sufficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Assistance is limited to Cuban and Haitian entrants as defined in the authorizing Act. Federal policy governs further eligibility factors. The scope of services for which funds are available to grantees, or cooperative agreement recipients, are defined in program announcements published in the Federal Register dated March 9, 1981, January 5, 1983, July 5, 1985, April 12, 1988, June 26, 1989, and January 5, 1995. see program announcement published in Federal Register dated March 9, 1981, January 5, 1983, July 5, 1985, April 12, 1988, June 26, 1989, January 5, 1995.

Applicant Eligibility:

Public or Private, nonprofit organizations or agencies, and under certain conditions for-profit organizations, agencies, or institutions.

Beneficiary Eligibility:

Cuban and Haitian nationals who meet the definition of entrant set forth in Title V, Section 501(e) of Public Law 96-422.

Credentials/Documentation:

Persons for whom assistance is authorized through resettlement programs are limited to Cuban and Haitian nationals who possess documentation as described in Title V of the Refugee Education Assistance Act of 1980 (Public Law 96-422). This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A grant or cooperative agreement may be amended in order to extend the program and budgetary period if funds are available and the proposed amendment does not represent a substantive deviation from previous services or costs. Applications may also be renewed through the competitive process after

the end of the program period.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

See announcement. Cash reports are not applicable. See announcement. See announcement. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0504-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$7,800,000; FY 10 est \$7,000,000; FY 11 est not reported. - FY11 estimate not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Several regulations apply to the resettlement grants. Until further notice, these include but are not limited to: 28 CFR Part 42, Sub-parts C,G, H and OMB Circular Nos. A-110, A-122, A-133, and Federal Acquisition Regulation (FAR), Sub-part 32.1.

Regional or Local Office:

See Regional Agency Offices. DHS, ICE, OIA, PHAB Miami Office: Room 220, 51 SW 1st Ave., Miami, FL 33130. Telephone: (305) 536-4261.

Headquarters Office:

Department of Homeland Security, Grants and Financial Assistance Division, Office of Procurement, 245 Murray Lane, Bldg 410, Washington, District of Columbia 20258 Phone: (202) 447-5589.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals. The applicant provides for effective program structure and accountability as demonstrated by administrative and programmatic controls, as well as program and client records and reports. (10 POINTS) F. The adequacy of the program evaluation plan, including the methodology and criteria for evaluating effective of the program in resettling the clients. (10 POINTS). Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS). Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS) Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS)mmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS).the applicant provides for effective program structure and accountability as demonstrated by administrative and programmatic controls, as well as program and client records and reports. (10 POINTS) F. The adequacy of the program evaluation plan, including the methodology and criteria for evaluating effective of the program in resettling the clients. (10 POINTS). Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS). Programmatic control, predictability and accountability as evidenced by the structure and continuity inherent in the program design. (15 POINTS) 5. The adequacy of the plans for: a. developing and updating individual client service plans; and, b. the proposed system of case management. (10 POINTS) 6. The reasonableness of the proposed budget and budget narrative, in relation to proposed program activities. (10 POINTS) 7. The plan for program evaluation, including the methodology and criteria for evaluation the program. (5 POINTS) 8. The degree to which the application has provided written documented evidence of community support and acceptance of the program. (5 POINTS).

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97.010 CITIZENSHIP EDUCATION AND TRAINING

Citizenship Education and Training

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Immigration and Nationality Act of 1952, Public Law 82-414, 8 U.S.C 1003-1443b; Consolidated Appropriations Act, 2008, Title IV.

OBJECTIVES:

To promote instruction and training in citizenship responsibilities for persons interested in becoming naturalized citizens of the United States and to initiate and further efforts on their part to learn the English language and acquire the knowledge of U.S. history and government needed to qualify for naturalization.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Contracts)

USES AND USE RESTRICTIONS:

United States Citizenship and Immigration Services (USCIS) has prepared federal publications and educational tools on citizenship at various reading levels for use in acquiring or expanding a knowledge of U.S. history and government. Restrictions on use of funds will be identified in the announcement/program guidance and award provisions. See program guidance.

Applicant Eligibility:

Community- and faith-based organizations, public libraries, as well as adult educators conducting citizenship education classes for aliens, are eligible as described in the section above.

Beneficiary Eligibility:

Immigrant Service Providers; Refugee/Alien.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Immigrant service providers may register for a free copy of educational materials at www.citizenshiptoolkit.gov; For projects other than dissemination of technical information: Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Not applicable for

dissemination of technical information projects.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

One copy available per eligible organization per year. Requests for additional copies are available for purchase through the Government Printing Office at <http://bookstore.gpo.gov/collections/cis-toolkit.jsp>. For other projects, refer to the announcement or application guidance for further information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Not applicable for dissemination of technical information projects. For projects involving monetary assistance, refer to program guidance. Monetary awards may be subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Not Applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. None for dissemination of technical information projects. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

None for dissemination of technical information projects. For other projects, grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0300-0-1-751.

Obligations:

(Project Grants (Contracts)) FY 09 \$1,600,000; FY 10 est \$7,832,500; FY 11 est not reported. - FY 11 estimate not available.

Range and Average of Financial Assistance:

Not applicable for dissemination of technical information projects.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

8 CFR 332 b. Literature. For other than dissemination of technical information projects, OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and

Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.

Regional or Local Office:

See Regional Agency Offices. For more information, visit: www.citizenshiptoolkit.gov.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, S.W., Washington, District of Columbia 20528 Phone: (202) 272-1310.

Website Address:

www.citizenshiptoolkit.gov. and www.dhs.gov.

RELATED PROGRAMS:

84.002 Adult Education - Basic Grants to States

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.012 BOATING SAFETY FINANCIAL ASSISTANCE

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Sport Fish Restoration and Boating Act of 2005/State Boating Safety Financial Assistance, 46 U.S.C 13101-13109.

OBJECTIVES:

To encourage greater State participation and uniformity in boating safety, particularly to permit the States to assume the greater share of boating safety education, assistance, and enforcement activities, and to assist the States in developing, carrying out and financing their recreational boating safety programs. Awards are made available to national nonprofit public service organizations to support national recreational boating safety activities.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Acquisition, maintenance and operating costs of State boating safety program facilities, equipment, and supplies; personnel salaries and reimbursable expenses; costs of training personnel; public boating safety education; cost of acquiring, constructing and repairing public access sites; costs of administering the program; national boating safety activities of national nonprofit public service organizations; and other expenses which the Secretary considers appropriate. National nonprofit public service organizations may apply and receive a combined total of up to 5 percent of the funds available in any 1 year. States (includes Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) are eligible applicants.

Applicant Eligibility:

States (including Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) having a Coast Guard-approved boating safety program may apply for financial assistance. States may not receive more than one-half of the total costs incurred for their boating safety program. States must have a boating safety program as described in 46 U.S.C. 13102(c) to be eligible for a full share of the funds. National nonprofit public service organizations may apply and receive a combined total of up to 5 percent of the funds available in any 1 year.

Beneficiary Eligibility:

Nation's boating public.

Credentials/Documentation:

Applicant Eligibility: States (including Puerto Rico, Virgin Islands, Guam, American Samoa, Northern Marianas, and the District of Columbia) having a Coast Guard-approved boating safety program may apply for financial assistance. States may not receive more than one-half of the total costs incurred for their boating safety program. States must have a boating safety program as described in 46 U.S.C. 13102 (c) to be eligible for a full share of the funds. National nonprofit public service organizations may apply and receive a

combined total of up to 5 percent of the funds available in any 1 year.

Cost of State programs will be determined in accordance with 46 U.S.C. 13107(b) and OMB Circular No. A-87. The Federal cost principles contained in OMB Circular No. A-122 shall be used in determining costs applicable to national nonprofit public service organizations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Will be considered.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Sport Fish Restoration and Boating Act of 2005, Chapter 46, Part 13101-13109.

Matching Requirements: Percent: 50%. One-third of the funds available to the States shall be divided equally among eligible States; one-third shall be divided among eligible States based on the ratio of vessels numbered under approved State numbering systems; the remaining one-third shall be divided among eligible States based on the ratio of State funds spent for State boating safety programs in the previous fiscal year. The Federal share of funds expended on boating safety may not exceed 50 percent of total cost of a State Program. Funds remain available for obligation by the State for 3 years following date of allocation. Not more than 5 percent of the appropriation may be allocated to national nonprofit public service organizations. There is no matching requirement for grants to nonprofit organizations. This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: allocation; request and receipt; lump sum.

Reports:

Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the

cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8149-0-7-403.

Obligations:

(Project Grants) FY 09 \$133,552,127; FY 10 est \$128,938,086; FY 11 est \$118,999,552

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Coast Guard entered into contractual obligations with 50 States, the District of Columbia, Puerto Rico, the Virgin Islands, American Samoa, Guam, Northern Mariana Islands, and 32 national nonprofit public service organizations. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13 (States) and 49 CFR Part 19 (nonprofit organizations); Office of Management and Budget Circular Nos. A-87; A-102; A-110; A-122; A-133; "Grant Management Manual for the National Nonprofit Organization Recreational Boating Safety Grant Program (COMINST M16755.4);" and the State Recreational Boating Safety Program State Guide (COMDTPUB P16755.3).

Regional or Local Office:

None.

Headquarters Office:

W. Vann Burgess, (State Grants)/Donald J. Kerlin, (NonProfit Organizations)
2100 Second Street, SW., Stop 7581, Washington, District of Columbia 20593
Phone: (202) 372-1071/(202) 372-1054.

Website Address:

<http://www.uscgboating.org> <http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance document.

97.013 STATE ACCESS TO THE OIL SPILL LIABILITY TRUST FUND

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Oil Pollution Act of 1990, Public Law 101-380.

OBJECTIVES:

To encourage greater State participation in response to actual or threatened discharges of oil.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are to be used for immediate removal action costs that are directly related to a specific incident which occurs after August 18, 1990. Removal costs must

generally be incurred at the site or in support of site removal activities (e.g., salary costs for State officials actually engaged in the removal actions, transportation costs for transporting persons and materials to the site, costs of equipment usage and consumable, contract costs, and administrative costs for preparing reports and documenting actions which occurred at the site). Limited to \$250,000 per incident. Removal actions must be consistent with the National Contingency Plan (40 CFR 300) and must be approved by the cognizant Coast Guard or Environmental Protection Agency (EPA) Federal On-Scene Coordinator (FOSC). Remedial actions and restorations are ineligible. Funds are to be used for immediate removal action costs that are directly related to a specific incident which occurs after August 18, 1990. Removal costs must generally be incurred at the site or in support of site removal activities (e.g., salary costs for State officials actually engaged in the removal actions, transportation costs for transporting persons and materials to the site, costs of equipment usage and consumable, contract costs, and administrative costs for preparing reports and documenting actions which occurred at the site). Limited to \$250,000 per incident. Removal actions must be consistent with the National Contingency Plan (40 CFR 300) and must be approved by the cognizant Coast Guard or Environmental Protection Agency (EPA) Federal On-Scene Coordinator (FOSC). Remedial actions and restorations are ineligible.

Applicant Eligibility:

The Governor (or his or her designated State official) of any State including Puerto Rico, Guam, American Samoa, U.S. Virgin Islands, Northern Marianas, and any other U.S. territory or possession may apply for financial assistance.

Beneficiary Eligibility:

State Governors.

Credentials/Documentation:

Applicant must either be the Governor of a State or have on file at the NPFC a letter signed by the Governor designating the applicant to be a State official authorized to make a request. The application must establish: (1) the incident is eligible for immediate removal under the Clean Water Act, as amended by OPA 90, (2) the substance discharged or threatening discharge is oil, (3) the incident occurred after August 18, 1990, (4) the aggregate amount of the request is equal to or less than \$250,000, (5) the proposed removal actions are consistent with the NCP (including 40 CFR 300,305(c)'s requirement that a reasonable effort is made to have the discharger voluntarily and promptly perform removal actions), (6) the proposed level of response, proposed actions, and money requested are appropriate for the circumstances, and (7) the State has the means to complete the immediate removal. Detailed application requirements are included in publication entitled Technical Operating Procedures for State Access under Section 1012(d) of OPA 90 (NPFCINST 16451.1). OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is initiated when the Governor or his or her designated State official contacts the cognizant Federal On-Scene Coordinator (FOSC) and requests State access to the Oil Spill Liability Trust Fund (OSLTF, or "the Fund") under 33 CFR 133. This initial request may be made verbally. If the FOSC agrees that the incident is eligible for State Access funding and that State access to the Fund is the best method for addressing the incident, the FOSC will seek obligation of the Fund from the National Pollution Funds Center (NPFC). Since the approval of a request establishes a Cooperative Agreement between the Coast Guard and the State, the provisions of 49 CFR 18 apply to the State Access process.

Award Procedure:

The National Pollution Funds Center will notify the State via telephone and hard copy of the award approval and amount.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Due to the emergency nature of oil discharge incidents, an approval or disapproval decision will be made and communicated to the State as expeditiously as possible (considering the nature and scope of the incident and other operations) following receipt of the request.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Please see guidance. See the following for information on how assistance is awarded/released: funds are used for immediate removal action costs that are directly related to a specific incident.

Reports:

No program reports are required. No cash reports are required. No progress reports are required. No expenditure reports are required. No performance monitoring is required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Due to the need to recover removal costs from responsible parties and the possibility of protracted litigation that may be associated with specific oil discharge removal incidents, State records must be kept for 10 years following submission of the final documents to the Coast Guard, or until the completion of litigation for a specific case, whichever is longer.

Account Identification:

70-8185-0-7-403.

Obligations:

(Project Grants) FY 09 \$0; FY 10 est \$0; FY 11 est not reported.

Range and Average of Financial Assistance:

\$250,000 maximum per oil discharge incident.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

33 CFR 133, 40 CFR 300, 49 CFR 18, 20, 29, and 90, and Technical Operating Procedures for State Access to OSLTF (NPFICINST 16451.1).

Regional or Local Office:

None.

Headquarters Office:

DHS Coast Guard, 245 Murray Lane, Washington, District of Columbia 20528
Phone: (202) 494-9118.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Proposed immediate removal actions must be eligible under the Oil Pollution Act of 1990 (Public Law 101-380), consistent with the National Contingency Plan (40 CFR 300), appropriate for the amount requested, and within the capability of the State to perform.

97.016 REIMBURSEMENT FOR FIREFIGHTING ON FEDERAL PROPERTY

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974 as amended, 15 U.S.C 2201 et seq.

OBJECTIVES:

To provide that each fire service organization which engages in firefighting operations on Federal property may be reimbursed for their direct expenses and direct losses (those losses and expenses that are not considered normal operating expenses) incurred in firefighting.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Reimbursement is provided only for direct costs and losses over and above normal operating costs.

Applicant Eligibility:

Fire Departments, (volunteer and paid) of the States, District of Columbia, Commonwealths, Territories and Possessions of the United States, and Indian lands that the U.S. holds technical legal title to, are eligible to apply.

Beneficiary Eligibility:

Fire departments.

Credentials/Documentation:

See 44 CFR 151 for requirements. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. See 44 CFR 151 for details.

Award Procedure:

None.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Approximately 90 days.

Appeals:

30 days after receiving an initial notification of decision.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

See Program Guidance. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Direct Payments for Specified Use) FY 09 not reported.; FY 10 est not reported.; FY 11 est not reported. - No Current Data Available for FY 2009, 2010, and 2011.

Range and Average of Financial Assistance:

From a few dollars to \$500,000 (no stated limit).

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Section 11 of the Federal Fire Prevention and Control Act of 1974; Public Law 93-498; 44 CFR 151.11.

Regional or Local Office:

None.

Headquarters Office:

Headquarters: Dept of Homeland Security, Federal Emergency Management Agency (FEMA), 245 Murray Lane, Bldg 410, Washington, District of Columbia 20523 Phone: (866) 927-5646.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Fire departments which fight fires on Federal property for which they are not otherwise reimbursed may be reimbursed under this program for direct losses and direct expenses over and above normal operating expenses. The amount of reimbursement is subject to availability of funds (see 44 CFR 151.12). All claims must be accompanied by sufficient supporting data to prove expenses and losses incurred in the amount claimed.

97.018 NATIONAL FIRE ACADEMY TRAINING ASSISTANCE

Student Stipend Reimbursement Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

the Federal Fire Prevention and Control Act of 1974, Section 21, Public Law 93-498; Federal Emergency Management Agency, Executive Order 12127, 44FR 19367, 3CFR, 1979 Comp P 376; U.S.A. Patriot Act of 2001, Public Law

107-56; Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

To provide travel stipends to students attending Academy courses.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Presently, the program covers reimbursement for the cost of a 21-day pre-purchase, nonrefundable ticket for round-trip transportation by common carrier, or privately owned vehicle mileage reimbursement not to exceed the cost of the airline ticket and when appropriate baggage cost for one bag; for National Fire Academy Sponsored on-campus program courses at the National Fire Academy; and a limited stipend for various National Fire Academy Sponsored off-campus delivery courses throughout the nation. For National Fire Academy Sponsored on-campus courses, students are required to pay the cost of meals daily. For National Fire Academy off-campus courses, a limited stipend of up to \$100 for a one-week course and up to \$200 for a two-week course is provided to help offset students' transportation and lodging. Reimbursements are not made to Federal employees who are attending on behalf of their employer, employees from private industry, or foreign students. See Program Guidance. See Program Guidance.

Applicant Eligibility:

Any student who is a member of a fire department or has significant responsibility for fire prevention and control and has been accepted into an eligible course at the National Fire Academy may apply for stipend reimbursement. Federal or private industry employees or foreign students may be accepted into National Fire Academy courses but are not eligible for stipend reimbursement.

Beneficiary Eligibility:

Student or sponsoring organization.

Credentials/Documentation:

Student Stipend Agreement (FEMA Form 75-3) and Student Stipend Agreement (Amendment) (FEMA Form 75-3a) accompanied by a copy of the required supporting documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application is made to the National Emergency Training Center Admission's Office using the Student Stipend Agreement (FEMA Form 75-3) and Student Stipend Agreement (Amendment) (FEMA Form 75-3a) after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation. The completed agreement package will be processed immediately.

Award Procedure:

The completed agreement package will be processed immediately. A U.S. Department of the Treasury payment schedule will be prepared and a reimbursement check issued directly to the beneficiary.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the National Fire Academy, or his designee.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released:
See Program Guidance.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

None.

Account Identification:

70-0564-0-1-800.

Obligations:

(Salaries) FY 09 \$1,597,554; FY 10 est \$1,600,000; FY 11 est \$1,600,000

Range and Average of Financial Assistance:

Refer to Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: The National Fire Academy trained 100,136 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6 day course, and NFAOnline distance learning courses. Fiscal Year 2010: Fiscal Year 2010: It is anticipated that the National Fire Academy will train an estimated 100,000 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6 day course, and NFAOnline distance learning courses. Fiscal Year 2011: Fiscal Year 2011: It is anticipated that the National Fire Academy will train an estimated 100,000 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6 day course, and NFAOnline distance learning courses.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Academy Course Catalog, course schedules.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Department of Security, Federal Emergency Management Agency, C/O 500 C Street, S.W., Washington, District of Columbia 20472
Email: NETC-Admissions@dhs.gov Phone: (1-800) 238-3828.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

97.019 National Fire Academy Educational Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: The National Fire Academy trained 100,136 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6 day course, and NFAOnline distance learning courses. Fiscal Year 2010: Fiscal Year 2010: It is anticipated that the National Fire Academy will train an estimated 100,000 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6 day course, and NFAOnline distance learning courses. Fiscal Year 2011: Fiscal Year 2011: It is anticipated that the National Fire Academy will train an estimated 100,000 fire and emergency services personnel through NFA Sponsored 2/6/10 day on- and off-courses, State sponsored 2/6 day course, and NFAOnline distance learning courses.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.019 NATIONAL FIRE ACADEMY FELLOWSHIP PROGRAM

National Fire Academy Fellowship Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Federal Emergency Management Agency, Executive Order Executive Order 12127 44FR 19367 3 CFR 1979 Comp P 376; U.S.A. Patriot Act of 2001, Public Law 107-56; The Federal Fire Prevention and Control Act of 1974, Section 21, Public Law 93-498; Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

To provide professional development experience for fire service authorities who have demonstrated significant leadership accomplishment.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

Uses: To fund fellowships for senior fire executives to attend and participate in the three-week Senior Executives in State & Local Government Program course that is held twice each year at the Kennedy School of Government-Harvard University in Cambridge, Massachusetts. Restrictions: Use of grant funds must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants / cooperative agreements, lobbying, or intervention in federal regulatory or adjudicatory proceedings. Federal employees may not receive funds under this award and are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program.

Applicant Eligibility:

This funding opportunity is restricted to Harvard University, Kennedy School of Government. There is no cost sharing or match funding requirement associated with this opportunity.

Beneficiary Eligibility:

Eligible beneficiaries are fire service authorities of State and Local governments.

Credentials/Documentation:

The individual will be required to provide documentation related to their roles and responsibilities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

None. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. Applications must include all forms and submissions as stipulated in the program announcement / guidance. Incomplete applications will not be accepted. For comprehensive application / submission information, refer to program guidance.

Award Procedure:

The application will be reviewed and recommended for funding by the DHS/FEMA/USFA/NFA based on the program criteria identified in the announcement / program guidance. Notification of award approval is made through the electronic Grants Management System (GMS).

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Announcement of award approval is made through the electronic Grants Management System (GMS). For complete information regarding length and time phasing of assistance, refer to program guidance. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. In accordance with the provisions of OMB Circular A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards (or receive property, or a combination of both, within the fiscal year) will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133.

Records:

Refer to program guidance.

Account Identification:

70-0564-0-1-800.

Obligations:

(Training) FY 09 \$22,400; FY 10 est \$22,400; FY 11 est \$22,400

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Eight senior fire officials selected for the 19-day program brings together state and local government leaders from across the nation to focus on exploring the interrelationships between citizens and government, analyzing policy options, and examining the ethical and professional responsibilities of leadership. Fiscal Year 2010: Six senior fire officials selected for the 19-day program includes other State and local government leaders from across the country and focuses on exploring the interrelationships between citizens and government, analyzing policy options, and examining the ethical and professional responsibilities of leadership. Fiscal Year 2011: Anticipated six senior fire officials will be selected for the 19-day program which includes other State and local government leaders from across the country and focuses on exploring the interrelationships between citizens and government, analyzing policy options, and examining the ethical and professional responsibilities of leadership.

REGULATIONS, GUIDELINES, AND LITERATURE:

National Fire Academy Course Catalog.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Department of Security, Federal Emergency Management Agency, C/O 500 C Street, S.W., Washington, District of Columbia 20472
Email: FEMA-NIMS@dhs.gov Phone: (1-800) 621-FEMA

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Tuition costs for two individuals for Program for Senior Executives in State and Local Government, Kennedy School of Government.
Fiscal Year 2010: Tuition costs for two individuals for Program for Senior Executives in State and Local Government, Kennedy School of Government.
Fiscal Year 2011: Anticipate tuition costs for two individuals for Program for Senior Executives in State and Local Government, Kennedy School of Government.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.022 FLOOD INSURANCE

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq. authorized the National Flood Insurance Program (NFIP).

OBJECTIVES:

To enable personal and business property owners and renters to purchase flood insurance coverage for buildings and/or contents in low-to-moderate flood risk areas, as well as in high-risk flood zones to reduce taxpayer provided federal disaster assistance and to promote wise floodplain management practices in the Nation's high-risk flood zones.

TYPES OF ASSISTANCE:

INSURANCE

USES AND USE RESTRICTIONS:

The maximum limits of flood insurance coverage for a building and/or personal property, eligible building types, and more detailed additional flood insurance information is contained in the Flood Insurance Manual available online at <http://www.fema.gov/business/nfip/manual.shtm>. In a Regular Program Community the residential building limit is \$250,000 and non-residential building limit is \$500,000. The residential contents limit is \$100,000 and the non-residential contents limit is \$500,000. Lower limits apply to a limited number of Emergency Program buildings and buildings in Alaska, Guam, Hawaii and U.S. Virgin Islands and are listed in the Flood Insurance Manual. The Flood Disaster Protection Act of 1973, as amended by the Flood Insurance Reform Act of 1994, requires flood insurance purchased as a condition of any form of Federal or federally-related financial assistance, including Federal grants, disaster assistance, SBA low-interest disaster assistance loans, and mortgage loans from federally regulated lending institutions and FHA, Farm Credit Administration, VA or guaranteed mortgages secured by buildings in high-risk flood zones, which are called the Special Flood Hazard Areas (SFHAs). Communities having one or more identified SFHAs must enter into the NFIP within 1 year of the official identification of the SFHAs or be denied Federal financial assistance for acquisition or construction purposes within those areas. Federally regulated conventional sources (i.e., banks, savings and loan associations, or similar lending institutions) are permitted to make conventional loans secured by improved real estate or Manufactured Housing located or to be located in SFHAs of a nonparticipating community which has been formally identified as flood-prone for more than 1 year, but Federal disaster assistance for acquisition or construction purposes will not be available in the event of flood or flood-related property damage. The conventional lender is statutorily required to notify the borrower, before making a loan in such an area, that Federal flood insurance and disaster assistance will not be available to the property. The Coastal Barrier Improvement Act of 1990 amended the Coastal Barrier Resources Act of 1982 by greatly enlarging the acreage included in the designated coastal barrier resources system unit. The 1990 Act also added "otherwise protected areas." "Otherwise protected areas" are defined as an undeveloped coastal barrier within the boundaries of an area established under Federal, State, or local law, or held by a qualified organization, primarily for wildlife refuge, sanctuary, recreational or natural resource conservation purposes. The 1982 Act prohibits the sale of new flood insurance on or after October 1, 1983 for new construction or substantial improvements of structures located on any coastal barrier with the Coastal Barrier Resources System designated by this Act. The 1990 Act prohibits the sale of new flood insurance on or after November 16, 1990 for new construction or substantial

improvements of structures located on any new coastal barrier within the Coastal Barrier Resources System designated or modified by this Act. It also prohibits the sale of new flood insurance on or after November 16, 1991 for new construction or substantial improvements of structures located in an otherwise protected area that are not used in a manner consistent with the purpose of the otherwise protected area. Subsequent pieces of legislation have added or deleted areas. Also, the Secretary of the Interior is authorized to make technical revisions and modifications to the boundaries of such units as may be necessary.

Applicant Eligibility:

Federal flood insurance can be made available in any community (a State or political subdivision thereof with authority to adopt and enforce floodplain management measures for the areas within its jurisdiction) that adopts and enforces floodplain management measures consistent with the National Flood Insurance Program regulations.

Beneficiary Eligibility:

Residential and business property owners, renters and state owned property.

Credentials/Documentation:

Same as Applicant Eligibility. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Community officials must submit an NFIP eligibility application form, which is available from the FEMA, together with: copies of adopted floodplain management measures meeting the minimum standards of 44 CFR Section 60.3(a), 60.3(b), 60.3(c), 60.3(d), and/or 60.3(e), as appropriate for the type of flood hazards identified; a list of any incorporated communities within the applicant's boundaries; and estimates of population and, by kind, of buildings situated in the known flood-prone areas of the community. Such Applications should be submitted to the Mitigation Directorate, FEMA, Washington, DC 20472.

Award Procedure:

FEMA reviews the application and if complete, designates the community as participating. The community is informed of its admission, and notice is published in the Federal Register. Residents and property owners may then purchase flood insurance through any property insurance agent or broker.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

For complete applications, a maximum of 10 working days is allowed for review and notification. Communities with one or more identified special flood hazard areas must enter the program within 1 year after the identification of those areas or else prohibitions against Federally related financial assistance for acquisition or construction purposes in identified special flood hazard areas take force. Once the community does qualify, after the prescribed date, these prohibitions are removed. Adequate floodplain management measures must be in effect within 6 months of the date that the special flood hazard area is identified and within 6 months of the date flood water surface elevations are provided.

Appeals:

Communities are allowed to appeal flood-prone identification and are also given an opportunity to appeal proposed flood elevation determinations for new construction and substantial improvement of existing structures in the special flood hazard areas. Notice of the proposed elevations is published in the Federal Register and is sent directly to the local governments. Following notification to the local government, the notice of proposed flood elevation determinations is published twice during a 10-day period in a prominent newspaper. The local community and its individual residents then have 90 days following the second

newspaper notice to submit any scientific or technical data that tend to negate or contradict FEMA's findings. If a conflict in data exists and cannot be resolved at the community level, the community consolidates all individual appeals for review by FEMA. FEMA shall resolve the appeals by consultation with officials of the local government, or by submission of conflicting data to an independent scientific body, or by administrative hearings.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Every two years to FEMA on progress of the floodplain management program. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Specific elevation information on proposed new construction or substantial improvements in the area of special flood hazard. Backup data for biennial report.

Account Identification:

70-4236-0-4-453.

Obligations:

(Insurance) FY 09 \$145,680,052; FY 10 est \$146,000,000; FY 11 est not reported. - No Current Data Available for FY 2011.

Range and Average of Financial Assistance:

Claims paid: \$1 to \$1,900,000; \$21,582.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 20,532 communities participated; 5,565,048 policies in force; and \$1,198,341,744,800 insurance in force. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulation 44 CFR 59 et seq. Publication, "Answers to Questions About the National Flood Insurance Program," "Mandatory Purchase of Flood Insurance Guidelines," "Elevated Residential Structures," "Guide To Flood Insurance Rate Maps," "Flood Insurance Manual" (agents manual), "National Flood Insurance Program. Application Forms," "Manufactured Home Installation in Flood Hazard Areas," "Coastal Construction Manual," "Alluvial Fans: Hazards and Management," "Floodplain Management in the United States: An Assessment Report," "Design Guidelines for Flood Damage Reduction" and "Repairing Your Flooded Home," "Technical Bulletin Series (FIA-TB)" "Answers to Questions About Substantially Damaged Buildings," "Reducing Losses in High Risk Flood Hazard Areas," "Design Manual for Retrofitting Flood- Prone Residential Structures" and "Flood Proofing Non-Residential Structures."

Regional or Local Office:

See Regional Agency Offices. NFIP Regional Offices are listed online at: http://www.fema.gov/business/nfip/nfip_regions.shtm Contact the appropriate FEMA regional office, or the State office responsible for coordinating the NFIP activities.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), Mitigation Directorate, 1800 South Bell Street, Arlington, Virginia 20598-3010 Phone: (800) 621-FEMA (3363).

Website Address:

<http://www.fema.gov/business/nfip>

RELATED PROGRAMS:

10.450 Crop Insurance; 59.008 Disaster Assistance Loans

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.023 COMMUNITY ASSISTANCE PROGRAM STATE SUPPORT SERVICES ELEMENT (CAP-SSSE)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended and 44 CFR Parts 59 and 60, 42 U.S.C 4001 et seq; Flood Disaster Protection Act of 1973, as amended, 42 U.S.C 4002 et seq.

OBJECTIVES:

To provide, through a State grant mechanism, a means to ensure that communities participating in the National Flood Insurance Program (NFIP) are achieving flood loss reduction goals of the NFIP. CAP-SSSE funds are to be used by States to provide technical assistance to NFIP communities, to evaluate community implementation/performance of NFIP floodplain management activities, and to build State and community floodplain management expertise and capacity.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Uses: CAP-SSSE funds are used for activities that support the NFIP. Such activities include the fostering of effective floodplain management programs in participating communities and assisting non-participating communities in enrolling in the NFIP. Restrictions: CAP-SSSE funds cannot be used for conducting floodplain studies or developing floodplain maps. Further, if a State does not have the necessary expertise and capability to conduct CAP-SSSE activities, the Region and State must develop a remedial plan. If the necessary expertise and capability does not develop in accordance with the remedial plan, the following year's CAP-SSSE funding may be reduced or withheld. For complete information on Uses and Restrictions, refer to program guidance. Applicants must be a qualified State that intends to provide technical assistance to NFIP communities. Refer to program guidance for complete information on applicant eligibility.

Applicant Eligibility:

FEMA's ten Regional Offices will provide each State Governor's Office with the grant application package. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the SSSE is voluntary and is contingent upon need and skill level capability.

Beneficiary Eligibility:

The direct beneficiary of the CAP-SSSE is the individual State receiving financial assistance. In addition, participating NFIP communities and local governments which receive flood plain management and flood loss reduction assistance provided by the State are also (indirect) beneficiaries as a consequence of the services they receive.

Credentials/Documentation:

A State's participation in the CAP-SSSE is voluntary and is contingent upon

need and skill level capability as determined by FEMA. In addition, applicant states are required to provide any relevant documentation contained in the grant agreement package provided by FEMA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

FEMA's ten Regional Offices will provide each State Governor's Office with the grant application package. The CAP-SSSE is administered through the Mitigation Division of each FEMA Regional Office. FEMA's Regional Offices will contact eligible States to negotiate a mutually acceptable grant agreement. Funding is made available when the grant agreement is negotiated and agreed upon. Continued participation in the CAP-SSSE is dependent upon completing activities described in the grant agreement. The CAP-SSSE is designed to utilize the floodplain management capabilities of individual States in a cost effective cooperative agreement with FEMA. A State's participation in the CAP-SSSE is voluntary and contingent upon need and skill level capability. Need and skill level will be determined through consultation with States and FEMA Regional Office Mitigation Division points of contact. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Appeals can be made to the appropriate FEMA Regional Office.

Renewals:

Participation in the CAP-SSSE can be renewed each year contingent upon meeting requirements.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Percent: 25%. Funding is provided on a 75 percent maximum Federal, 25 percent minimum State cost-sharing basis. Both cash and in-kind resources may meet the 25 percent cost-share.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to Program Guidance.

Reports:

States must report at least semi-annually to the Regions to demonstrate progress in meeting agreed upon performance measures (as outlined in the State's Five-Year Plan). Some Regions may require quarterly reporting to satisfy Regional grants management requirements. States are expected to cooperate with Regions by submitting documentation or other evidence that demonstrates completion of approved activities. States must provide a final status report on meeting their performance measures to the Region by December 30th of each year in which the state was granted CAP-SSSE funds. For complete reporting requirement information, refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit

Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-4-453.

Obligations:

(Project Grants) FY 09 \$8,600,000; FY 10 est \$8,600,000; FY 11 est \$8,600,000

Range and Average of Financial Assistance:

Refer to Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Funding of State FTEs to provide technical assistance and training to local officials. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to program guidance.

Regional or Local Office:

See Regional Agency Offices. FEMA Regional office information is available on the Internet at <http://www.fema.gov/about/structure>. Information may also be obtained by contacting the State office responsible for coordinating CAP-SSSE activities.

Headquarters Office:

Department of Homeland Security/FEMA, 500 C St., SW, Washington, District of Columbia 20472 Phone: (1-800) 621-FEMA (3363).

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.022 Flood Insurance

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.024 EMERGENCY FOOD AND SHELTER NATIONAL BOARD PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title 3, Section 301-322, Public Law 100-77, 42 U.S.C 11331-11352.

OBJECTIVES:

To supplement and expand ongoing efforts to provide shelter, food, and supportive services for needy families and individuals. To strengthen efforts to create more effective and innovative local programs by providing supplemental funding for them. To conduct minimum rehabilitation of existing mass shelter

or mass feeding facilities, but only to the extent necessary to make facilities safe, sanitary and bring them into compliance with local building codes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Food and related expenses (such as transporting food/food preparation and serving equipment); mass shelter; other shelter (such as hotels and motels); and rent/mortgage and/or utility assistance limited to one month; and limited repairs to feeding and sheltering facilities. Emergency Food and Shelter National Board Program funds cannot be used for: rental security, deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the current Phase (fiscal year): Responsibilities and Requirements, issued by the Emergency Food and Shelter Program National Board. Emergency Food and Shelter (EFS) National Board Program (EFSP) funds cannot be used for: rental security, deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the current Phase (fiscal year): Responsibilities and Requirements, issued by the Emergency Food and Shelter Program National Board.

Applicant Eligibility:

Since funds are initially distributed to jurisdictions based on either a National Board formula or recommendations from State Set-Aside Committees, there is no application process for jurisdictions. All jurisdictions are considered within the National Board formula and all jurisdictions in an individual State may be considered by the State Set-Aside Committee for either initial or additional (if the jurisdiction had previously been selected by the National Board) funding.

Beneficiary Eligibility:

The law directs that the Local Boards, which manage the program at the local level, shall "determine which private nonprofit organizations or public organizations of the local government in the individual locality shall receive grants to act as service providers." The range of participant groups on the Local Boards include the affiliates of the National Board membership which consists of American Red Cross, Catholic Charities USA, National Council of Churches of Christ in the U.S.A., The Jewish Federations of North America, The Salvation Army, and United Way Worldwide, with a local government representative replacing FEMA. Also participating are thousands of independent nonprofits (such as Community Action Agencies and Food Banks and food pantries) which provide food and/or shelter services. Due to the broad category of people in need of such emergency services, the providers can include specialized groups such as domestic violence shelters, Native American organizations, and organizations providing food or shelter to: AIDS patients, handicapped individuals, the elderly, homeless veterans, non-wards of the State teenage runaways, and many other groups with emergency food and shelter needs. As noted earlier, the decisions on selections are made through the consensus of the Local Board as they have assessed their community's most urgent needs. It is important that such agencies use these funds to supplement, not replace, their current efforts. This program is intended to supplement ongoing programs and allow them to extend and expand upon their existing services.

Credentials/Documentation:

The program employs a Local Recipient Organization Certification Form. This form certifies an applicant's status (i.e., that it is a nonprofit or government agency capable of delivering eligible services) and that it will abide by the program guidelines (both on eligible spending and reporting requests), and comply with other fiscal and program rules so stipulated. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

At the local level, following award notification, a Local Board is formed. That

board then advertises the availability of the funds to local nonprofit agencies and governments. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. There is no application process for local jurisdictions since the award notification to local jurisdictions is based on either a National Board formula or recommendations from State Set-Aside Committees.

Award Procedure:

Applications or plans are submitted to the National Board and reviewed by the National Board program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Refer to the Emergency Food and Shelter National Board Program, "Phase 27: Responsibilities and Requirements" document for information on the appeal process. Those cases that cannot be handled locally should be referred to the National Board giving details on action that has been taken up to that point. Any allegations regarding fraud or the misuse of funds should be referred directly to the DHS Office of Inspector General.

Renewals:

Each phase (fiscal year) is a new program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

See program guidance. See program guidance. See program guidance. See program guidance. See program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Local Boards are required to remain in operation until all program and audit requirements of the National Board have been satisfied. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0707-0-1-605.

Obligations:

(Project Grants) FY 09 \$200,000,000; FY 10 est \$200,000,000; FY 11 est \$100,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: An estimated 93,032,145 meals provided; 6,326,048 nights of lodging provided; 149,412 rent/mortgage payments made; and, 311,133 utility payments made. Fiscal Year 2010: Estimate is the same as for Fiscal Year 2009. Fiscal Year 2011: No Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Food and Shelter National Board Program, "Phase 27: Responsibilities and Requirements" document.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for list of addresses for FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), 500 C Street, S.W., Room 614, Washington, District of Columbia 20472 Email: poc@dhs.gov Phone: (800) 621-FEMA (3363).

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

10.568 Emergency Food Assistance Program (Administrative Costs); 14.231 Emergency Shelter Grants Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Local social service organizations providing assistance to hungry and homeless people. Fiscal Year 2010: No Data Available. Fiscal Year 2011: No Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.025 NATIONAL URBAN SEARCH AND RESCUE (US&R) RESPONSE SYSTEM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Earthquake Hazard Reduction Act of 1977; Defense Against Weapons of mass Destruction Act of 1966; National Defense Authorization Act of FY 1997.

OBJECTIVES:

Develop, maintain, deploy, coordinate, and support National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

State and local emergency management agencies may jointly develop one or more US&R Task Force(s), as defined in the National US&R Response System Operation Manual. Use of cooperative agreement funding is restricted to program management/administration, training, equipment acquisition, and storage and maintenance of equipment, to meet task force position criteria, and conduct and participate in meetings determined to benefit the National US&R Response System. Equipment acquisitions are limited to those included in FEMA's approved equipment cache list, including equipment for management/administration and other items approved by the FEMA US&R Program Office. Training requirements as included in the Operation Manual and including Grants Management and Financial Training. See Guidance. See Guidance. See Guidance.

Applicant Eligibility:

Only the 28 sponsoring jurisdictions who are currently designated by FEMA as members of the National Urban Search and Rescue Response System are eligible for readiness and response cooperative agreements.

Beneficiary Eligibility:

Only the 28 State and local government sponsoring organizations formally accepted by FEMA for participation in the National US&R Response System.

Credentials/Documentation:

State and/or local government applicants must verify that all personnel recommended for a task force meet the personnel qualifications as listed in the National US&R Response System Operation Manual, Guidance and Directives. In addition, equipment needs must be verified as being on the approved equipment cache list or as approved by the Program Office. Grant awards/administration will be in accordance with the following: The Grantee shall comply with all applicable laws and regulations. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the yearly application/program guidance.

Award Procedure:

Applications and budget plans are reviewed by DHS US&R Program Office and Grants Management Office. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Appeals must be made in writing and submitted within thirty (30) days from the date of disapproval. The appeal will be submitted to the DHS US&R Program Office and Grants Management Office. Within thirty days following the receipt of an appeal, the US&R Branch Chief will provide the applicant, in writing, a determination on the appeal.

Renewals:

Annual applications must be submitted.

Formula and Matching Requirements:

This program has no statutory formula. This program has no matching requirements. Refer to Program Guidance. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on Program need.

Reports:

Refer to Program Guidance. Refer to Program Guidance. Semi-annual Progress Reports. Quarterly Financial Status Reports. Refer to Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999.

Obligations:

(Project Grants) FY 09 \$28,629,280; FY 10 est \$29,620,000; FY 11 est \$29,620,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Developed, maintained, deployed, coordinated, and supported National Urban Search and Rescue resources on-scene to locate, provide initial medical treatment, and extricate victims of incidents requiring specialized search and rescue operations while simultaneously enhancing the US&R response capabilities of State and local governments. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Interim Federal Rule 44 CFR Part 208, guidelines outlined in the annual statement of work for task force preparedness cooperative agreements. In addition, the requirements for establishing a standardized US&R Task Force are contained in the National US&R Response System Sponsoring Organization Capability Criteria manual, as amended by FEMA. The Grantee shall comply with all applicable laws and regulations. In addition a non-exclusive list of regulations commonly applicable to DHS grants are listed below: Administrative Requirements OMB Circular A-102, State and Local Governments (10/07/94, amended 08/29/97) (44 CFR Part 13) OMB Circular A-110, Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations (11/19/93, amended 09/30/99) (2 CFR Part 215) Cost Principles, 2 CFR Part 225, (OMB Circular A-87), State and Local Governments 2 CFR Part 205, (OMB Circular A-21), Educational Institutions, 2 CFR Part 230, (OMB Circular A-122), Nonprofit Organizations Audit Requirements. OMB Circular A-133, States, Local Governments, and Nonprofit Organizations (06/24/97, includes revisions published in the Federal Register 06/27/03).

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Department of Homeland Security, Federal Emergency Management Agency (FEMA), Grant Programs Directorate, 500 C Street, SW Attn: Tech World/Room 411, Washington, District of Columbia 20472 Phone: (202) 786-9458.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Program Management to ensure day to day operations of the US&R Taskforces. Acquisition of tools and equipment identified in the current US&R equipment cache list, office equipment to management the program, i.e., computers, desks, chairs, file cabinets, etc. Development and delivery of training needed to fulfill task force Missions. Grants and Financial training necessary for the financial management of the cooperative agreements. Lease of or modifications to existing buildings (not to change the building existing footprint) cache storage space. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.026 EMERGENCY MANAGEMENT INSTITUTE_TRAINING ASSISTANCE

(Student Stipend Reimbursement Program (SEP))

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Security Act of 1947, 50 U.S.C 401 et seq.; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C 7701 et seq; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; Defense Production Act of 1950, as amended, 50 U.S.C App. 2061.

OBJECTIVES:

To defray travel expenses of State, local, and tribal emergency management personnel who attend training courses conducted by the Emergency Management Institute, at the Emmitsburg, Maryland facility; Noble Training Center at the Center for Domestic Preparedness in Anniston, Alabama; and selected off-site locations. Its purpose is to improve emergency management practices among State, local, and tribal emergency managers, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: protection, preparedness, mitigation, response, and recovery.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

State (includes territories), local, and tribal emergency management officials, who have been accepted for emergency management training by the Emergency Management Institute, Emmitsburg, Maryland. Reimbursement may be made for a portion of student's travel, but attendees are required to pay for meals. Refer to program guidance document or contact administering program office for additional information.

Applicant Eligibility:

Individuals who are assigned to an emergency management position in State, local or tribal government are eligible. Some restrictions apply, refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

Specialized Group.

Credentials/Documentation:

Individuals who are assigned to an emergency management position in State, local, or tribal government are eligible. Some restrictions apply, refer to program guidance document or contact administering program office for additional information. Individuals must first be accepted/approved by EMI, to attend the training course. Student Stipend Agreement (FEMA 75-3) accompanied by required supporting documentation. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Applications for admission to training course are processed/coordinated through county, State, tribal, and regional emergency management entities. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application is made to the Admissions Office, Management Operations and Support Services Division at the National Emergency Training Center using the Student Stipend Agreement after acceptance into the course. The Student Stipend Agreement must be accompanied by the required supporting documentation.

Award Procedure:

The completed agreement will be processed immediately. If reimbursement is to be made a U.S. Department of Treasury payment schedule is prepared and a

reimbursement check is issued directly to the beneficiary within 60 days.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Certain match requirements include payment of meals by attendees.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance document or contact administering program office for additional information. Certain match requirements (payment of meals by attendees) apply to all participants. See the following for information on how assistance is awarded/released: Refer to program guidance document.

Reports:

No reports are required.

Audits:

Not Applicable.

Records:

Not Applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Direct Payments for Specified Use) FY 09 \$1,466,942; FY 10 est \$1,500,000; FY 11 est \$1,500,000

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 3,310 stipends paid. Fiscal Year 2010: est 3,400 stipends.

Fiscal Year 2011: est 3,400 stipends.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for FEMA Regional Offices.

Headquarters Office:

Emergency Management Institute,
<http://training.fema.gov/EMIWeb/contactus.asp>, 16825 South Seton Avenue,
Emmitsburg, Maryland 21727 Phone: (301) 447-1286.

Website Address:

<http://www.training.fema.gov>

RELATED PROGRAMS:

97.027 Emergency Management Institute (EMI)_Independent Study Program;
97.028 Emergency Management Institute (EMI)_Resident Educational Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selection.

97.027 EMERGENCY MANAGEMENT INSTITUTE (EMI)_INDEPENDENT STUDY PROGRAM

EMI

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C 7701 et seq; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; National Security Act of 1947, 50 U.S.C 401 et seq; Defense Production Act of 1950 as amended, 50 U.S.C 2061.

OBJECTIVES:

The program currently consists of approximately 100 courses available to participants, with the objective of enhancing public and selected audience knowledge of emergency management practices among officials of Federal, State, local, and tribal governments and voluntary organizations in response to emergencies and disasters.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

None.

Applicant Eligibility:

State, local, Federally-recognized Tribal Government, U. S. Territory or possession; specialized group and general public. Refer to program guidance document or contact administering program office for course-specific eligibility requirements.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Course enrollment may be obtained by writing to the FEMA Independent Study Program, Administrative Office, Emergency Management Institute, 16825 South Seton Avenue, Emmitsburg, Maryland 21727 or electronic enrollment may be processed via the Internet at <http://www.training.fema.gov/IS>.

Award Procedure:

Independent study courses furnished directly to applicants meeting course-specific requirements.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

An annual appropriation is anticipated for this program. See the following for information on how assistance is awarded/released: Refer to program guidance document.

Reports:

No reports are required.

Audits:

No audits are required for this program.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Training) FY 09 \$2,400,000; FY 10 est \$2,500,000; FY 11 est \$2,500,000

Range and Average of Financial Assistance:

Not Applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: 1,865,737 course completions . Fiscal Year 2010: Fiscal Year 2010: estimates 2 million completions . Fiscal Year 2011: Fiscal Year 2011: estimates 2 million completions .

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog or Independent Study Program Brochure found on the web at <http://www.training.fema.gov/IS/crslist.asp>.

Regional or Local Office:

See Regional Agency Offices. See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:

Emergency Management Institute (EMI) 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1200.

Website Address:

<http://www.training.fema.gov/IS>

RELATED PROGRAMS:

97.026 Emergency Management Institute_Training Assistance; 97.028 Emergency Management Institute (EMI)_Resident Educational Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: Fiscal Year 2009: 4 new course development projects. Fiscal Year 2010: Fiscal Year 2010: 10 new course development projects. Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.028 EMERGENCY MANAGEMENT INSTITUTE (EMI)_RESIDENT EDUCATIONAL PROGRAM**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

National Security Act of 1947, 50 U.S.C 401 et seq.; Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C 5121 et seq; Defense Production Act of 1950, as amended,, 50 U.S.C App 2061 et; Earthquake Hazards Reduction of 1977, 42 U.S.C 7701 et seq.

OBJECTIVES:

To improve emergency management practices among State, local and tribal government managers, and Federal officials as well, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: protection, preparedness, mitigation, response, and recovery.

TYPES OF ASSISTANCE:

TRAINING

USES AND USE RESTRICTIONS:

The primary audience is Federal, State, local and tribal government officials. Courses are not open to the general public. Acceptance into specific courses is based on a need to know the information and how it will be used for the benefit of the community.

Applicant Eligibility:

Individuals assigned to an emergency management position in Federal, State,

local, or tribal government are eligible. Restrictions apply, however; refer to program guidance document or contact administering program office for additional information.

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Applicants may be asked to verify their emergency management responsibilities. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to acceptance into the course.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

May be made to the Superintendent of the Emergency Management Institute.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Indefinite. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. There may be an evaluation following completion of most courses to determine the application of the information and the benefit derived by the community.

Records:

Not applicable.

Account Identification:

70-0711-0-1-999.

Obligations:

(Training) FY 09 \$3,400,000; FY 10 est \$3,500,000; FY 11 est \$3,500,000

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 23,229 students. Fiscal Year 2010: 24,000 students. Fiscal Year 2011: 24,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Management Institute Course Catalog.

Regional or Local Office:

See Regional Agency Offices. See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), Emergency Management Institute, 16825 South Seton Avenue, Emmitsburg, Maryland 21727 Phone: (301) 447-1286.

Website Address:

www.training.fema.gov

RELATED PROGRAMS:

97.026 Emergency Management Institute_Training Assistance; 97.027 Emergency Management Institute (EMI)_Independent Study Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on selection criteria.

97.029 FLOOD MITIGATION ASSISTANCE

FMA

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended by the Bunning-Bereuter-Blumenauer Flood Insurance Reform Act of 2004, Public Law 108-264.

OBJECTIVES:

To assist States, Indian tribal governments, and communities to reduce or eliminate the long-term risk of flood damage to structures insured under the National Flood Insurance Program (NFIP).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Territories, local and Federally-recognized Indian tribal governments may apply for FMA funding to reduce or eliminate the long-term risk of flood damage to residential properties insured under the National Flood Insurance Program (NFIP). Eligible activities under this program include: acquisition and relocation of at-risk structures and the conversion of property to open space; elevation of existing structures to the Base Flood Elevation (BFE) or an ABFE Advisory Base Flood Elevation (ABFE) or higher; minor physical localized flood control projects; and dry-flood proofing (historic properties only).

Applicant Eligibility:

Eligible applicants Technical Assistance Grants are State agencies or departments that are responsible for administering the FMA program. Eligible applicants for Planning Grants are States and communities participating in the NFIP. Suspended communities or communities on probation under the NFIP are not eligible. Eligible applicants for Project Grants are States and communities participating in the NFIP, and with a FEMA-approved Flood Mitigation Plan. Suspended communities or communities on probation under the NFIP are not eligible.

Beneficiary Eligibility:

States and communities.

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No.

A-21. Federally recognized Indian tribal governments and local governments that are sub-applicants must be participating in the NFIP.

Eligible applicants include State emergency management agencies or a similar office (i.e., the office that has primary emergency management or floodplain management responsibility) of the State; District of Columbia; U.S. Virgin Islands; the Commonwealth of Puerto Rico; American Samoa; Commonwealth of the Northern Mariana Islands; Guam; and Federally-recognized Indian tribal governments. Specific information on sub-applicant eligibility, and application procedures for Federally-recognized Indian tribal governments, is provided in the Severe Repetitive Loss program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: An applicant's FMA project and planning target allocation is based on the national percentage of NFIP policies present within the jurisdiction. An applicant may apply for funding up to or exceeding its target allocation.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter; January 30, April 30, July 30 and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the

cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0701-0-1-453.

Obligations:

(Project Grants) FY 09 \$30,000,000; FY 10 est \$30,000,000; FY 11 est \$35,000,000

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awarded \$28.0 million in assistance grants for mitigation activities affecting 174

Properties;

Aligned all four pre-disaster mitigation grant programs into a unified Hazard Mitigation Assistance (HMA) program guidance and application cycle. This comprehensive approach accomplished HMA objectives of efficiency and standardization, thus creating a platform for future updates and refinements of program policies and practices to make them more unified and user-friendly; Reduce potential annual losses to the NFIP by \$56.0 million through FMA grants

based on a ratio of \$2 in savings for every \$1 spent supporting mitigation activities. Fiscal Year 2010: Finalize alignment of all five mitigation grant programs (including HMGP) into a

unified Hazard Mitigation Assistance (HMA) program guidance;

Provide opportunities for transparency and partnership with States, territories, and

tribal and local governments through the HMA Summit, the External Stakeholders

Workgroup, focus groups and training courses;

Reduce potential annual losses to the NFIP by \$80.0 million through the FMA grants. Fiscal Year 2011: Identify and implement program improvements to increase the effectiveness of the

FMA program. The enhanced cost-effectiveness approach for the FMA program will

be considered for use in the FMA program;

Continue to provide opportunities for transparency and partnership with States, territories, and tribal and local governments through the HMA Summit, the External

Stakeholders Workgroup, focus groups and training courses;

Provide up to \$40.0 million in grant funds for mitigation activities.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Severe Repetitive Loss (SRL) and Flood Mitigation Assistance (FMA) Program Regulations at 44 CFR Part 79; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, and the SRL program guidance.

Other guidelines include OMB Circular No. A-102, Grants and Cooperative Agreements with State and local Governments, and OMB Circular No. A-87, Cost Principles for State, Local and Indian Tribal Governments. This program

is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. Local Governments and Federally-recognized Indian Tribal (if applying through the State) sub-applicants should consult the office or officials designated as the single point of contact in his or her state for more information on the process that the State requires to be followed in applying for assistance.

The Hazard Mitigation Assistance Unified grant program guidance, which is available to the public on the FEMA Internet site:

<http://www.fema.gov/government/grant/fma/index.shtm>

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.

Headquarters Office:

Lloyd Hake, Chief, Grants Implementation Branch, Risk Reduction Division, Mitigation Directorate, Federal Emergency Management Agency 1800 South Bell Street, Arlington, Virginia 20595-3015 Email: Lloyd.Hake@dhs.gov Phone: (202) 646-3428.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation; 97.092 Repetitive Flood Claims; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Project Grants: Minimum Project Eligibility Criteria: The extent to which proposals are cost effective, conform with applicable Federal and State regulations and executive orders, are technically feasible, conform with the Flood Mitigation Plan, are physically located in an eligible community, or benefit such a community directly. Planning Grants are selected based on whether proposals are for eligible activities such as: conducting local planning discussions; contracting for consulting technical services such as engineering and planning; surveying structures at risk; and assessing structures subject to repetitive flood loss. Technical Assistance Grants are selected based on whether proposals are for eligible activities such as: conducting community site visits; reviewing draft applications and plans; participating in planning meetings; providing planning workshops/materials; and, providing grants management workshops/materials.

97.030 COMMUNITY DISASTER LOANS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 417, 42 U.S.C 5184.

OBJECTIVES:

To provide loans subject to Congressional loan authority, to any local government that has suffered substantial loss of revenues in an area in which the President designates a major disaster exists. The funds can only be used to maintain existing functions of a municipal operating character and the local government must demonstrate a need for financial assistance.

TYPES OF ASSISTANCE:

DIRECT LOANS

USES AND USE RESTRICTIONS:

Loans are made only to local governments or other political subdivisions of the State, and are based on need. The funds cannot be used to meet the non federal share of any Federal program, finance capital improvements, or repair or restore public facilities. Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible, the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions. The amount of the loan is based on the demonstrated need. The interest rate is the U.S. Treasury rate for 5-year maturities on the date the Promissory Note is executed, adjusted to the nearest 1/8th. When applicable, if an applicant can demonstrate unusual circumstances involving financial hardship, the Assistance Administrator of the Disaster Assistance Directorate may approve a rate equal to the 5-year maturity rate plus 1 per centum, adjusted to the nearest 1/8th, and further reduced by one-half. The term of the loan is usually 5 years, but may be extended. Interest accrues on the funds as they are disbursed. When applicable, the Assistance Administrator of the Disaster Assistance Directorate may cancel repayment of all or part of the loan if the revenues of the applicant in the 3 fiscal years

following the financial year of the disaster are insufficient to meet the operating budget because of disaster related revenue losses and un-reimbursed disaster related operating expenses.

Applicant Eligibility:

Applicants must be in a designated major disaster area and must demonstrate that they meet the specific conditions of FEMA Disaster Assistance Regulations 44 CFR Part 206, Subpart K, Community Disaster Loans. To be eligible the applicant must demonstrate: 1) a substantial loss of revenues as a result of a major disaster; 2) a need for financial assistance to perform its governmental functions.

Beneficiary Eligibility:

Local governments in a designated disaster area.

Credentials/Documentation:

The loan application is developed from financial information contained in the local government's published financial reports and current annual operating budget. Loan awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the FEMA Regional Director. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Upon declaration of a major disaster, application for a Community Disaster Loan is made through the Governor's Authorized Representative to the Regional Director of FEMA in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.364. The Assistant Administrator of the Disaster Assistance Directorate approves or disapproves the loan. The Designated Loan Officer will execute a Promissory Note with the applicant. The promissory note must be co-signed by the State, or if the State cannot legally co-sign the note, the local government must pledge collateral security.

Award Procedure:

Funds are disbursed to the local government when requested in accordance with the Schedule of Loan Increments in the Promissory Note. Funds awarded to Institutions of Education, Hospitals and Other Non-Profit Organizations will follow requirements of OMB Circulars No. A-110, No. A-21 and No. A-133.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 to 6 months. The loan must be approved in the fiscal year of the disaster or the financial year immediately following.

Appeals:

If a loan application is disapproved, in whole or in part, a revised application may be resubmitted by the local government within 60 days of the date of the disapproval.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the loan is generally 5 years, but may be extended. Funds are disbursed per the Schedule of Payments in the Promissory Note. See the

following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

The applicant must demonstrate a financial need prior to any incremental disbursement by submitting a copy of its most recent financial report as well as data on anticipated and actual revenues. The applicant annually must submit copies of its annual financial reports. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Annual Operating Budgets including revenue and expense and balance sheets must be retained for the duration of the loan. Additional record requirements are detailed in the Federal Disaster Assistance Regulations, 44 CFR 206, Subpart K.

Account Identification:

70-0703-0-1-453.

Obligations:

(Direct Loans) FY 09 \$56,800,000; FY 10 est not reported.; FY 11 est not reported. - No Current Data Available for FY 2010 and FY 2011.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Provided Federal assistance to local governments that incurred a significant loss in revenue for essential governmental services such as a police force, medical care, public education, and firefighting capabilities to the citizens they serve. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 206, Subpart K.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

John Wilmot, 500 C Street, SW, Washington, District of Columbia 20742
Email: John.Wilmot@dhs.gov Phone: (202) 646-2544.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.031 CORA BROWN FUND

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121 et seq.

OBJECTIVES:

To use funds made possible by a bequest of funds from the late Cora C. Brown of Kansas City, Missouri, who left a portion of her estate to the United States for the purpose of helping victims of natural disasters not caused by or attributed to war.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

To provide for disaster-related needs that have not been, or will not be met by government agencies or any other organizations which have programs to address such needs; however, the fund is not intended to replace or supersede these programs. The fund may not be used in a way that is inconsistent with other federally mandated disaster assistance or insurance programs. Money from the fund will not duplicate assistance for which a person is eligible from other sources.

Applicant Eligibility:

Individuals, families, and groups in need of (1) Disaster-related home repair and rebuilding; (2) disaster-related unmet needs; and (3) other services which alleviate human suffering and promote the well being of disaster victims.

Beneficiary Eligibility:

Individuals, families, and groups in need.

Credentials/Documentation:

Recommendation from Regional Director or his/her designee. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Disaster victims do not apply for assistance from the fund, instead, they are identified and recommended by the Regional Director or his/her representatives, with assistance from other governmental agencies and voluntary disaster agencies.

Award Procedure:

None.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

None. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse,

not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8244-0-7-453.

Obligations:

(Direct Payments for Specified Use) FY 09 not reported.; FY 10 est not reported.; FY 11 est not reported. - Program not funded FY 2009.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.181; DOM Chapter 17; Cora Brown Fund Program.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Emergency Preparedness and Response, Federal Emergency Management Agency (FEMA), 245 Murray Lane - Bldg. #410, Washington, District of Columbia 20523 Phone: (202) 646-4528.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.032 CRISIS COUNSELING

CCP

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Crisis Counseling Assistance and Training Act, Public Law 100-707; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 416, Public Law 93-288.

OBJECTIVES:

To provide short-term crisis counseling services to people affected by a Presidentially-declared major disaster. The purpose of the program is to help relieve any grieving, stress, or mental health problems caused or aggravated by the disaster or its aftermath. Assistance is short-term in nature and provided at no cost to the disaster survivor.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The Crisis Counseling Assistance and Training Program (CCP) provides supplemental funding to States, U.S. Territories and Federally recognized tribes for technical assistance and training as well as short-term crisis counseling services to individuals impacted by a Presidentially-declared major disaster that

includes Individual Assistance. CCP services involve the counseling goals of assisting disaster survivors in understanding their current situations and reactions, mitigating additional stress, assisting survivors in reviewing their options, promoting the use or development of coping strategies, providing emotional support and encouraging linkages with other individuals and agencies who may help survivors recover to their pre-disaster level of functioning. The CCP is comprised of the Immediate Services Program (ISP) and the Regular Services Program (RSP). The Immediate Services Program enables the State or local agency to respond to the immediate mental health needs of people affected by the disaster. The Regular Services Program grant provides up to nine months of crisis counseling, outreach, consultation and education services to people affected by a disaster. Funding for the ISP and RSP programs is considered separate and distinct as these are two different grants.

Applicant Eligibility:

States are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the State or local mental health network, the State cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Director to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization.

Beneficiary Eligibility:

In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred.

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars A-102 for State and local governments. States are eligible for grants. If the Governor determines, during an assessment of the need for crisis counseling services, that because of unusual circumstances or serious conditions within the State or local mental health network, the State cannot carry out the crisis counseling program, he/she may identify a public or private mental health agency or organization to carry out the program or request the Department of Homeland Security's Federal Emergency Management Agency (FEMA) Regional Administrator to identify, with assistance of the Secretary of the Department of Health and Human Services (DHHS), such an agency or organization. In order to be eligible for services under this program, an individual must be a resident of the designated disaster area or must have been in the designated area at the time the disaster occurred. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Consultation and technical assistance are available from FEMA and the Department of Health and Human Services Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Mental Health Services (CMHS). Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application for Federal assistance for the Immediate Services Program must be submitted by the State to FEMA no later than 14 days after the Presidential declaration. Application for Federal assistance for the Regular Services Program must be submitted by the State to FEMA, along with a copy to SAMHSA CMHS, no later than 60 days after the Presidential declaration.

Award Procedure:

Immediate Services Program applications are reviewed by FEMA program staff in consultation with SAMHSA CMHS. Grant award is provided by FEMA to the State Emergency Management Agency. Regular Services Program applications are reviewed by FEMA with formal recommendation from SAMHSA CMHS. Grant funds are provided by FEMA to SAMHSA CMHS for award to the State's mental health agency.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Immediate Services Program - The State may appeal the Regional Administrator's decision in writing within 60 days of the date of notification of the application decision. The State may further appeal the Regional Administrator's decision to the Assistant Administrator, Recovery, within 60 days of the date of the Regional Administrator's notification of the application decision. Regular Services Program - The State may appeal FEMA's decision in writing within 60 days of the date of notification of the decision.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Final financial and program reports are due 90 days after the end of the grant's period of performance. Refer to CCP program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 09 \$37,377,764; FY 10 est \$40,000,000; FY 11 est not reported. - FY 2011: Estimate not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During FY 2009, the Crisis Counseling Program implemented, funded and supported 11 new programs. This included the continuation of funding and support of several large scale disasters declared in FY2008. In addition an annual training was held for State Mental Health Authorities. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011:

No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR 206.171, CCP Program Guidance

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA), Individual Assistance 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100
Phone: 202-212-1117

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

93.982 Mental Health Disaster Assistance and Emergency Mental Health

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.033 DISASTER LEGAL SERVICES

DLS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 415, Public Law 93-288, 42 U.S.C 5182.

OBJECTIVES:

To provide legal assistance to low-income individuals affected by a Presidentially-declared major disaster.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use

USES AND USE RESTRICTIONS:

The Disaster Legal Services program provides free legal advice for individuals impacted by a Presidentially-declared major disaster that includes Individual Assistance. Legal advice is limited to cases that do not produce a fee. This free legal advice includes assistance with insurance claims; counseling on landlord/tenant problems; assistance with home repair contracts; assistance in consumer protection matters; counseling on mortgage foreclosure problems; replacement of wills and other important legal documents; drafting powers of attorney; estate administration; preparation of guardianships and conservatorships; and referrals to local and State agencies for additional assistance.

Applicant Eligibility:

FEMA enters into a contract with the American Bar Association Young Lawyers Division to cover the administrative costs of implementing and managing the Disaster Legal Services program.

Beneficiary Eligibility:

Service provision is geared toward low-income individuals who, prior to or because of the disaster, are unable to secure legal services adequate to meet their disaster-related needs.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FEMA

makes a formal request to the National Director of the Disaster Legal Services (DLS) program indicating the intent to implement the DLS program.

Award Procedure:

Administrative funds are made available through a contractual agreement as outlined in the Memorandum of Agreement between FEMA and the American Bar Association on behalf of its Young Lawyers Division.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the program is generally tied to the need for services and varies with each disaster. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Volunteer attorneys submit reports on services and/or advice given to clients. Refer to Program guidance. Refer to Program guidance. Refer to Program guidance. Refer to Program guidance.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

70-0702-0-1-453.

Obligations:

(Provision of Specialized Services) FY 09 \$96,269; FY 10 est \$100,000; FY 11 est not reported. - FY 2011: Estimate not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During FY 2009, the Disaster Legal Services program implemented and funded 15 new programs. An annual training was held for attorneys that volunteer to serve as Disaster Legal Services State District Representatives. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.164; and Disaster Legal Services Handbook.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA) Disaster Assistance Directorate 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1117

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.034 DISASTER UNEMPLOYMENT ASSISTANCE

(DUA)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 410, Public Law 93-288; FEMA has delegated to the Secretary of Labor the responsibility of administering Section 410.

OBJECTIVES:

To provide Federally-funded weekly benefits and re-employment assistance to workers and self-employed individuals who are unemployed as a direct result of a Presidentially-declared major disaster, and who are not eligible for any other State or Federal Regular Unemployment Insurance benefits.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

The Disaster Unemployment Assistance (DUA) program provides weekly benefits and re-employment assistance services to individuals unemployed as a direct result of a Presidentially-declared major disaster that includes Individual Assistance. Funds are used for benefit payments to individuals and project administrative costs to States. DUA weekly benefits and re-employment assistance require proof of employment eligibility, proof of employment or self-employment to assist in determining a Weekly Benefit Amount (WBA). Aliens must prove they are "qualified aliens" (as determined by the Immigration and Naturalization Service) in order to be eligible to receive a federal public benefit.

Applicant Eligibility:

The State Workforce Agency (SWA) applies for Disaster Unemployment Assistance funds to provide to eligible beneficiaries.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

FEMA Disaster Assistance Regulations 44 CFR Part 206.141 and the DOL Regulations at 20 CFR Part 625. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of a major disaster through the appropriate FEMA Regional Administrator having jurisdiction over the State. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206. An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The State Workforce Agency (SWA) performs a needs assessment relating to potential eligible individual applicants for DUA. The State forwards this assessment to the Regional Department of Labor Administrator, which provides its recommendation to FEMA.

Award Procedure:

FEMA provides funding to the Department of Labor, which administers the DUA program on FEMA's behalf. Individual applicants for DUA assistance must apply through their local SWA.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

In general, individual applications are approved or denied within 1 to 2 weeks. Generally, applications for DUA must be filed within 30 days after the date of the SWA announcement regarding availability of DUA. When applicants have good cause, they may file claims after the 30-day deadline. However, no initial application will be considered if filed after the 26th week following the declaration date.

Appeals:

Appeals must be filed in accordance with DOL Regulation 20 CFR Part 625.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

DUA may be paid from the period beginning with the first week (as defined in State law) following the date the major disaster began, and extending up through, but no longer than, the 26th week following the Presidential major disaster declaration date. See the following for information on how assistance is awarded/released. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

The SWA submits reports when requesting additional funding and at the close of the DUA program. Closeout reports must include the ETA 9130 and a narrative. Refer to Department of Labor DUA Handbook. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Internal program audits are conducted.

Records:

Record retention in accordance with DOL Regulation 20 CFR Part 625.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 09 \$17,950,169; FY 10 est \$20,000,000; FY 11 est not reported. - FY 2011: Estimate not available.

Range and Average of Financial Assistance:

Not available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: During FY 2009, the Disaster Unemployment Assistance program implemented and funded 24 new programs while providing supplemental funds to eight DUA programs declared in FY 2008. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Regulations at 44 CFR 206.8, 44 CFR 206.141, and DOL Regulations at 20 CFR Part 625.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 500 C Street, SW, Sixth Floor, Washington, District of Columbia 20472-3100 Phone: (202) 212-1117.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.036 DISASTER GRANTS - PUBLIC ASSISTANCE (PRESIDENTIALLY DECLARED DISASTERS)**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121-5206.

OBJECTIVES:

To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, or wildfire. Program activates only after issuance of a presidential declaration. Public Assistance is awarded in the form of cost-shared grants to States, local governments, other political subdivisions such as special districts, federally recognized Indian tribal governments and Alaska Native villages or organizations, and certain eligible Private Non-Profit organizations. A State is defined as any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana Islands, the Marshall Islands and Micronesia. Following a Presidential declaration, grants may be made for: removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Applicant Eligibility:

State and local governments, other political subdivisions such as a special districts, federally recognized Indian tribal governments, Alaska Native villages or organizations, but not Alaska Native Corporations, and certain Private Non-Profit organizations in designated emergency or major disaster areas.

Beneficiary Eligibility:

Eligible work must be required as a result of the disasters; be located in a designated emergency or major disaster area; and be the legal responsibility of the applicant.

Credentials/Documentation:

Cost will be determined in accordance with FEMA Disaster Assistance Regulations, 44 CFR Part 206 and Part 13, and the FEMA Schedule of Equipment Rates. Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for States, local governments and Indian Tribes. Awards made to Institutions of Higher Education, Hospitals and other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206.36, except as provided in Part 206.35(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. A Presidential Disaster or Emergency Declaration must be issued, which is then followed by an application for Public Assistance through the Governor's Authorized Representative to the Regional Director of FEMA.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. The FEMA Regional Director approves grants from this allocation on the basis of project applications for eligible applicants. States are responsible for distributing funds to applicants. As a condition of receiving assistance under the Stafford Act, applicants are encouraged to take mitigation measures to reduce future losses from natural hazards.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 day to 6 weeks. A Request for Assistance must be submitted by the applicant at the Applicants' Briefing or within 30 days of designation of an emergency declaration or major disaster declaration for the Public Assistance Program.

Appeals:

Any determination made under the Public Assistance Program may be appealed. All appeals must be made through the State and the appropriate FEMA Regional Office listed in Appendix IV of the Catalog. The appeal deadlines are: 60 days from receipt of notification of the action being appealed.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency Assistance Act, Chapter 42, Part 5121-5206, Public Law 93-288.

Matching Requirements: Percent: 25%. The Federal share of the grant is not less than 75 percent with the State and local governments responsible for the remainder.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The length of the assistance period varies depending on the type of work or service. Reimbursement for small projects under \$60,900 is made based on the Federal estimate of costs. For projects over the \$63,200, reimbursement of actual eligible costs is made as the costs are incurred. The \$63,200 limit is adjusted annually to reflect the Consumer Price Index. When purchase of insurance is required, the applicant is obliged to maintain the policy for the economic and useful life of the repairs or of the insured property, whichever is the lesser. Also, applicants must comply with Floodplain Management, Hazard Mitigation, Environmental, and Historic Preservation considerations. See the following for information on how assistance is awarded/released: Payment based on Project need. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Progress status reports are required quarterly. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Expenditure records and related documents must be retained for 3 years from the date the applicant's project application is closed.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 09 \$5,743,024,360; FY 10 est not reported.; FY 11 est not reported. - FY 10 estimate not reported; and FY 2011 estimate not available.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: The Public Assistance Grant Program obligated \$5,743,024,360 to State, and local governments and certain private non-profit entities to provide assistance with debris removal, emergency protective measures and permanent restoration of infrastructure. Fiscal Year 2010: Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR Part 205 for disasters and emergencies declared prior to November 23, 1988; Federal Disaster Assistance Regulations, 44 CFR Part 206 for disasters declared on or after November 23, 1988; "Public Assistance Guide," FEMA 322 (formerly FEMA 286); "Public Assistance Policy Digest," FEMA 321; "Public Assistance Applicant Handbook," FEMA 323. See Public Assistance web page at <http://www.fema.gov/government/grant/pa/index.shtm>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), Disaster Assistance Directorate, Public Assistance Division 500 C Street, S.W., Washington, District of Columbia 20472 Phone: 1-800-621-FEMA (3363)

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

10.054 Emergency Conservation Program; 12.102 Emergency Rehabilitation of Flood Control Works or Federally Authorized Coastal Protection Works; 12.103 Emergency Operations Flood Response and Post Flood Response; 97.034 Disaster Unemployment Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.039 HAZARD MITIGATION GRANT

(HMGP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 404, 42 U.S.C 5170c.

OBJECTIVES:

To provide States and local governments financial assistance to implement measures that will permanently reduce or eliminate future damages and losses from natural hazards through safer building practices and improving existing structures and supporting infrastructure.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Assistance can be used for the acquisition of real property, relocation, demolition of structures, seismic rehabilitation or retrofitting of existing structures; strengthening of existing structures, initial implementation of vegetation management programs, initial training of architects, engineers,

building officials, and other professionals to facilitate the implementation of newly adopted State or local mitigation standards and codes; elevation of residential structures; elevation or dry flood-proofing of non-residential structures in accordance with 44 CFR 60.3, and other activities that bring a structure into compliance with the floodplain management requirements at 44 CFR 60.3, et al.

Applicant Eligibility:

State agencies, local governments, public entities, private nonprofit organizations as defined in 44 CFR Section 206.433, Native American Tribes or authorized tribal organizations, and Alaskan Native villages or organizations, but not Alaskan native corporations with ownership vested in private individuals. States may elect to become Managing States. Managing States are delegated more authority in managing the HMGP. In order to be selected as a Managing State, the State must demonstrate the ability to manage the grant program, must have in effect an approved Enhanced mitigation plan and have a demonstrated commitment to mitigation activities.

Beneficiary Eligibility:

States and communities.

Credentials/Documentation:

Administrative and State, Tribal and Local Multihazard Mitigation Plans (Standard or Enhanced) must be Approved and reviewed by FEMA before funding can be awarded under the Hazard Mitigation Grant Program. States may elect to become Managing States. Managing States are delegated more authority in managing the HMGP. In order to be selected as a Managing State, the State must demonstrate the ability to manage the grant program, must have in effect an approved Enhanced mitigation plan and have a demonstrated commitment to mitigation activities. Alaskan native corporations with ownership vested in private individuals are not eligible. Private nonprofit organizations as defined in 44 CFR Section 206.433 are eligible to apply. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Rather than require applicants to submit a separate preapplication in order for FEMA and the State to identify the need for assistance, FEMA will employ the administrative and Mitigation plans in this manner. When States submit plans for review and indicate their intention to apply for assistance to implement one or more projects described in it, FEMA will use the plan to identify a need for funding assistance, and to discourage applications that are unlikely to be funded. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

An eligible applicant, subgrantee, or State may appeal any determination previously made related to an application for or the provision of Federal assistance according to procedures set forth in Section 206.440.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: FEMA can fund up to 75 percent of the eligible costs of each project. The State or project applicant must provide a 25 percent match. The 25 percent nonfederal share can be a combination of cash, in-kind services,

or materials. The amount of funding for the Program is based on 15 percent of all other disaster grants. States that meet planning requirements to be published as a result of the Disaster Mitigation Act of 2000, may receive an increased percentage (20 percent of all other disaster grants) of HMGP funds if, at the time of the declaration of a major disaster they have in effect an approved Enhanced State Mitigation Plan.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award. FEMA is required to track the use of real property acquired with grant funds in order to ensure that the property is maintained for open space in perpetuity. Sub-grantees and State will need to retain real estate transaction and property tracking records indefinitely.

Account Identification:

70-0700-0-1-999.

Obligations:

(Project Grants) FY 09 \$312,936,080; FY 10 est not reported.; FY 11 est not reported. - Fiscal Year 2010 and 2011 estimate not reported.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Provided opportunities for transparency and partnership with States, Territories, Tribal and local governments through multiple workgroups, courses and activities; Utilized an enhanced Benefit cost Analysis Ration tool to streamline and modify benefit cost analysis reviews to assist State and local communities as they meet the requirements of the Mitigation grant programs and Office of Management and Budget Circular A-94. Utilized an improved portfolio approach to assist States, Territories, Tribal and local governments in the management of pre-qualified mitigation proposals that are : consistent with State and local mitigation plans and priorities; determined technically feasible to reduce losses to the identified hazard(s); cost effective and facilitate more expeditious awarding of grants. Clarified technical requirements for project specific activities that streamlined and simplified requirements for State and local communities to develop eligible

applications and document program needs.

Developed strategies to process efficiencies to award funds more expeditiously, thereby increasing obligation rates. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Subpart N Hazard Mitigation Grant Program Sections 206.430-206.440; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended; Hazard Mitigation Grant Program Desk Reference FEMA 345 October 1999, Hazard Mitigation Grant Program, L-169, February 1996. Property acquisition handbook, FEMA-317.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of FEMA's Regional Offices.

Headquarters Office:

Lloyd Hake, Chief, Grants Implementation Branch, Risk Reduction Division, Mitigation Directorate, Federal Emergency Management Agency 1800 South Bell Street, Arlington, Virginia 20595-3015 Email: Lloyd.Hake@dhs.gov Phone: (202) 646-3428.

Website Address:

<http://www.fema.gov/government/mitigation.shtm>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.047 Pre-Disaster Mitigation; 97.092 Repetitive Flood Claims; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.040 CHEMICAL STOCKPILE EMERGENCY PREPAREDNESS PROGRAM

(CSEPP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Defense Authorization Act of 1986, Title 14, Part B, Section 1412, Public Law 99-145, 50 U.S.C 1521.

OBJECTIVES:

To enhance emergency preparedness capabilities of the States, local, and tribal communities at each of the six chemical agent stockpile storage facilities. The purpose of the program is to assist States and local communities in efforts to improve their capacity to plan for and respond to accidents associated with the storage and ultimate disposal of chemical warfare materials.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Applications are accepted from the States of Alabama, Arkansas, Colorado, Illinois, Indiana, Kentucky, Oregon, Utah, Washington, and the Confederated Tribes of the Umatilla Indian Reservation. The eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subgrantees under their State's application. In keeping with the intent of the Congressional appropriations, CSEPP funds are to be utilized for effective emergency management capabilities in the seven affected States, local, and tribal communities surrounding the six Army stockpile locations. CSEPP funds may not be used as a substitute for other mandated, unfunded programs required for existing needs or by other laws. CSEPP funds may not be used to supplant other forms of emergency management funding. CSEPP funds may not be commingled with other, non-CSEPP funds.

Applicant Eligibility:

Applications are accepted from the States of Alabama, Arkansas, Colorado,

Illinois, Indiana, Kentucky, Oregon, Utah, Washington, and the Confederated Tribes of the Umatilla Indian Reservation. The eligible States house the U.S. Army stockpiles unitary chemical warfare agent as bulk chemicals and munitions. Local governments are eligible to participate as subgrantees under their State's application.

Beneficiary Eligibility:

State, local and tribal governments, and general public.

Credentials/Documentation:

The budget worksheets used for CSEPP must be included in the official application package. These worksheets will be used for budget analysis by FEMA. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A preapplication meeting between the FEMA Regional Offices and their respective States usually occurs to discuss the application and draft work plan. Technical assistance is available for application preparation from the FEMA Regional Office. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS/FEMA program staff. Any issues or concerns noted in the application will be negotiated with the State prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Appeals may be submitted in writing by the authorized State official to the FEMA Regional Administrator.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-999 - also 70 9 10 0715; 70 9 11 0715.

Obligations:

(Project Grants) FY 09 \$69,493,064; FY 10 est \$55,000,000; FY 11 est \$76,048,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Refer to CSEPP Annual Report to Congress. Fiscal Year 2010: Refer to CSEPP Annual Report to Congress. Fiscal Year 2011: Refer to CSEPP Annual Report to Congress.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR 13 and 14; Chemical Stockpile Emergency Preparedness Program Planning Guidance and CSEPP Policy Papers. All available, relevant guidance is routinely provided to all eligible State participants. State and local organizations desiring information about this program should contact their State Emergency Management Agencies or Departments.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for FEMA Regional Offices. State and local government officials and the public are encouraged to contact their State and Local Emergency Management Agencies or Departments.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency, National Preparedness Directorate, Technological Hazards Division, Chemical Stockpile Emergency Preparedness Program 1800 South Bell Street, Crystal City, Virginia 22202 Phone: (703) 605-1370.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Array

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.041 NATIONAL DAM SAFETY PROGRAM

Dam Safety State Assistance Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Dam Safety Act of 2006, Public Law 109-460 Dec. 2006.

OBJECTIVES:

To support State governments and the Commonwealth of Puerto Rico in the development and maintenance of dam safety programs. To enable States and the Commonwealth of Puerto Rico to take precautions that ensure the safety of the dams, such as the development of regulatory authority for the design, construction, operation, and maintenance of dams, the undertaking of dam inspections, and development of Emergency Action Plans (EAPs) for dams.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

For costs associated with the Establishment and maintenance of effective State/Commonwealth dam safety programs. Restrictions: Funds may only be used for the purposes set forth in the grant and must be consistent with the statutory authority for the award. Funds may not be used for matching funds for other Federal grants or cooperative agreements. Funds may not be used for lobbying, or intervention in federal regulatory or adjudicatory proceedings. Note that the FY 2010 grant funds may NOT be used to purchase equipment. In addition, funds may not be used to sue the Federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. Federal funds may not be comingled with State/Commonwealth funds. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. For complete information on Uses and Restrictions, refer to program guidance. Eligible applicants are 49 States (excludes the State of Alabama) and the Commonwealth of Puerto Rico (PR). The states and PR must be working toward and/or developing a State dam safety program that is 1) authorized and budgeted by State or Commonwealth legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information regarding applicant eligibility and the 10 specified criteria and budgeting requirements refer to program guidance.

Applicant Eligibility:

All States are eligible for the National Dam Safety Program Assistance for State Dam Safety Programs including the territory of Puerto Rico.

Beneficiary Eligibility:

The State Dam Safety Program Office of eligible States.

Credentials/Documentation:

The State/Commonwealth must be working toward and/or developing a dam safety program that 1) is authorized and budgeted by State/Commonwealth legislation and 2) includes, at a minimum, the 10 specified criteria and budgeting requirements outlined in the program guidance. For complete information, refer to program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

FEMA makes the award to the State Dam Safety Program Office. Applications or plans are reviewed by DHS/FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Each subsequent fiscal year a State dam safety office must apply for financial assistance incentives. The amount of funding is contingent upon acceptability of the work plan in the application and the amounts made available for primary assistance to be allocated to the States using the one-third/two-third formula in Public Law 109-460, Section 8.

Formula and Matching Requirements:

Statutory Formula: Title Dam Safety Act of 2006, Public Law 109-460. This program has no matching requirements. Refer to program guidance. This program has MOE requirements, see funding agency for further details. Refer to program guidance.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Generally, final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Project Grants) FY 09 \$7,550,000; FY 10 est \$7,339,100; FY 11 est not reported. - Fiscal Year 2011 estimate unavailable.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In accordance with the National Dam Safety Act of 2006 funds provided, improved and enhanced national state dams in forty-nine (49) states and Puerto Rico. Maintaining state dams helps to ensure the safety and negate the possibility of flooding to towns and/or urban populated areas upstream or downstream of state dams. Funds have also allowed FEMA to support a strong, collaborative training program for dam safety professionals and dam owners. With NDSP training funds, FEMA has been able to expand existing training programs, begin new initiatives to keep pace with evolving technology, and enhance the sharing of expertise between the federal and state sectors. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FEMA Performance Office of Partnership Agreement and Cooperative Agreement guidance, Office of Financial Management Modular Instruction, FEMA's National Dam Safety Program Implementation Plan (September 97).

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for list of addresses of Federal Emergency Management Agency Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) Techworld Control Desk, 4th Floor, Room 427, 500 C St. SW, Washington, District of Columbia 20472 Phone: (800) 621-FEMA (3363)

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.023 Community Assistance Program State Support Services Element (CAP-SSSE)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.042 EMERGENCY MANAGEMENT PERFORMANCE GRANTS (EMPG)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53); the Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83); the Post-Katrina Emergency Management Reform Act of 2006 (PKEMRA) (Public Law 109295); and the Robert T. Stafford Disaster Relief and Emergency Assistance Act, (Public Law 93-288), as amended, 42 U.S.C. 5121-5207.

OBJECTIVES:

To provide resources to assist State and local governments to sustain and enhance all-hazards emergency management capabilities. All hazards approach to emergency response, including the development of a comprehensive program, planning, training, exercises, sets the stage for an effective and consistent response to any threatened or actual disaster or emergency, regardless of the cause. States have the opportunity to use EMPG funds to further strengthen their ability to support emergency management activities while simultaneously addressing issues of national concern as identified in the National Priorities of National Preparedness Guidelines.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Each state shall obligate 100% of the total grant program amount to the designated state-level EMA. For more detailed guidance on the EMPG grant program please refer to the current Fiscal Year solicitation guidance.

Applicant Eligibility:

As defined in the Homeland Security Act of 2002 (Public Law 107-296), the term "State" means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States" 6 U.S.C. 101 (14).as defined in the Homeland Security Act of 2002, refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." Either the State Administrative Agency (SAA) or the States Emergency Management Agency (EMA) are eligible to apply directly to FEMA for EMPG funds on behalf of State and local emergency management agencies, however only one application will be accepted from each State or territory.

State Administration Agency (SAA) is the only agency eligible to apply for EMPG funds...All 56 States and territories, as well as the Republic of the Marshall Islands and the Federated States of Micronesia, are eligible to apply for FY 2010 EMPG funds. Pursuant to Article X of the Federal Programs and Services Agreement of the Compact of Free Association authorized by Public Law 108-188, funds are available for the Federated States of Micronesia and for the Republic of the Marshall Islands.

Beneficiary Eligibility:

Funding under this program is ultimately used by emergency management organizations and programs of States, the District of Columbia, territories and possessions of the United States, local, and Indian Tribal governments.

Credentials/Documentation:

Grantees must maintain and expand any necessary capabilities to sustain an effective emergency management program. These capabilities must be able to address all-hazards emergencies. To help assess these capabilities, all EMPG award recipients should be a part of the application. Provide a brief description of the State emergency management priorities and initiatives that will be addressed with FY 2010 EMPG funds as they relate to expenditures outlined in the SF 424A. In addition, the narrative must address, if applicable, the following:

- Overview of the States risk profile (natural, technological, man-made)
- Areas of need identified through assessment processes
- Baseline inventory of where States are now relative to goals and objectives identified in relevant strategic plans
- State emergency management priorities and planning focus for FY 2010

Additionally, all EMPG award recipients are required to submit a budget and budget narrative.

as defined in the Homeland Security Act of 2002, (Public Law 107-296), the term "State" means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States" 6 U.S.C. 101 (14). refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States. Either the State Administrative Agency (SAA) or the States Emergency Management Agency (EMA) are eligible to apply directly to FEMA for EMPG funds on behalf of State and local emergency management agencies, however only one application will be accepted from each State or territory. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Technical assistance is available for application preparation from the FEMA Regional Offices. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A new application is required for each Federal fiscal year.

Formulas and Matching Requirements:

Statutory Formula: Title Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009, Public Law 110-329.

Matching Requirements: Pursuant to the Compact of Free Association,

\$50,000 each may be available for the Federated States of Micronesia and the Republic of the Marshall Islands. In FY 2010, EMPG has a 50 percent Federal and 50 percent State cost share, cash- or in-kind, match requirement, as authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Public Law 93-288), as amended, 42 U.S.C. 5121-5207. Specifically, Title VI, sections 611(j) and 614. Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Refer to the FY 2010 EMPG Guidance and Application Kit. Awards are subject to the Cash Management Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Semi-Annual Progress Report (SAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30 and October 30. Final financial, SAPR and BSIR reports are due 90 days after the expiration or termination of grant award. Refer to the FY 2010 Emergency Management Performance Grants Program Guidance and Application Kit. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0519-0-1-999.

Obligations:

(Formula Grants) FY 09 \$315,000,000; FY 10 est \$329,799,991; FY 11 est not reported. - No Current Data for FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program funds were used to develop plans, conduct training and exercises to validate plans, procure equipment, and hire personnel to help in managing and administering the grant funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

FY 2010 Emergency Management Performance Grants Program Guidance and Application Kit.

Regional or Local Office:

See Regional Agency Offices. The Centralized Scheduling and Information Desk (CSID); Telephone: 1 (800)368-6498 or askcsid@dhs.gov. CSID hours of operation are from 8:00 a.m.7:00 p.m. (EST), Monday - Friday.

Headquarters Office:

FEMA Techworld, Control Desk, 4th Floor, Room 427, 500 C Street SW, Washington, District of Columbia 20472 Phone: (800) 368-6498.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.053 Citizen Corps; 97.067 Homeland Security Grant Program; 97.071 Metropolitan Medical Response System; 97.073 State Homeland Security Program (SHSP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the FY 2010 Grants Program Guidance and Application Kit.

97.043 STATE FIRE TRAINING SYSTEMS GRANTS

(National Fire Academy Training Grants)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Federal Fire Prevention and Control Act of 1974, Title 21, Public Law 93-498; Federal Emergency Management Agency, Executive Order 12127; U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

To provide financial assistance to State Fire Training Systems for the delivery of a variety of National Fire Academy (NFA) courses/programs, administrative costs, and electronic student feedback.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Uses: Grant funds are to be used within each State to deliver NFA training courses/programs and for marketing, administrative costs, and electronic feedback of student data. Each State Fire Training System (State) will receive a total of \$28,000 with \$23,000 earmarked for delivery of NFA training programs and \$5,000 for marketing, administrative costs, and electronic feedback of student data.

Restrictions: DHS grant funds may only be used for the purpose set forth in the grant, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Federal employees are prohibited from serving in any capacity (paid or unpaid) on any proposal submitted under this program. Federal employees may not receive funds under this award. States must use funds for the purpose of delivering NFA- developed courses. Funds unused by a State may be reallocated to other States. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. See program guidance for information on eligibility. Applicant eligibility is restricted to the 50 State Fire Training Systems.

Applicant Eligibility:

Representatives from the 50 State Fire Training Systems.

Beneficiary Eligibility:

Specialized Group; fire and emergency response personnel.

Credentials/Documentation:

Credentials: Applicants must provide a work plan that includes a narrative project description along with the proposed costs for the project. (Allowable costs are determined by reference to OMB Circular No. A-87). The work plan will be used as a guide by the program office to approve / disapprove payment requests, therefore, the plan must be specific and must align with the amount of funding requested / received for the project description.

Documentation: All beneficiaries / students must submit the standard FEMA Form 75-5 (long form) to the State or sponsoring host organization prior to the start of the class. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. Only applications made through www.grants.gov will be accepted. The on-line application requires (among other documentation), the Standard Forms 424, Project Narrative, and Budget. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, refer to program guidance

Award Procedure:

The application will be reviewed and recommended for funding by the DHS/FEMA/USFA/NFA Project Officer based on the program criteria identified in the announcement / guidance. Notification of award is made through the electronic Grants Management System (GMS). Once an award has been approved, a notice is sent to the authorized grantee official. Awards are subject to certain administrative, national policy, and cost principle requirements delineated in both 2 CFR and 44 CFR. For complete information on the award procedure, and CFR (and other) requirements, refer to program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: see program guidance.

Reports:

Quarterly progress reports. Quarterly Financial Status Reports Audits (112): In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. . . .

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 09 \$1,400,000; FY 10 est \$1,400,000; FY 11 est \$1,400,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009: Delivered National Fire Academy 2/6 day off campus courses, State sponsored courses, Endorsement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to 42,326 students. Fiscal Year 2010: Fiscal Year 2010: Anticipated to deliver National Fire Academy 2/6 day off campus courses, State sponsored courses, Endorsement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to an estimated 43,000 students. Fiscal Year 2011: Fiscal Year 2011: Anticipated to deliver National Fire Academy 2/6 day off campus courses, State sponsored courses, Endorsement Program courses, and the Chief Officer training curriculum in State and local areas of the Nation to an estimated 43,000 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

See Regional Agency Offices. FEMA regional offices.

Headquarters Office:

FEMA Emergency Management Agency, Federal Emergency Management Agency, 500 C Street, SW, Washington, District of Columbia 20472 Phone: (1-800) 621-3362.

Website Address:

<http://www.usfa.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.044 ASSISTANCE TO FIREFIGHTERS GRANT

(Fire Grants)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C 2229 et seq.

OBJECTIVES:

To provide financial assistance directly to fire departments and nonaffiliated EMS organizations to enhance their capabilities with respect to fire and fire-related hazards. To help fire departments and nonaffiliated EMS organizations meet their firefighting and emergency response needs. To support organizations that lack the tools and resources necessary to more effectively protect the health and safety of the public and their emergency response personnel with respect to fire and all other hazards.

TYPES OF ASSISTANCE:**PROJECT GRANTS****USES AND USE RESTRICTIONS:**

Firefighting Operations and Firefighter Safety, Eligible Uses: Training, Wellness and Fitness, Firefighting Equipment, Personal Protective Equipment, Modifications to Firefighting Facilities for Firefighter Safety, Other Equipment and Supplies; Restrictions: One-year Funds, Funding Restrictions and/or Limits on Certain Equipment, Personnel, Construction, Vehicles. Protecting the Public from Fire and Fire Related Hazards, Eligible Uses: Public Awareness, Public Education, Inspector Certifications, Building Code Development and Enforcement, Arson Prevention and Detection, Emergency Medical Services, Emergency Medical Vehicles, Training, Equipment/Props/Supplies, Transportation, Contracts/Consultants, Program Personnel; Restrictions: One-year Funds, Funding Limits on Certain Equipment, Construction. Firefighting Vehicles, Eligible Uses: Pumpers/Engines, Tankers/Tenders, Brush Trucks/Attack Pumpers, Rescue, Quints, Aerial Apparatus, Hazardous Material, Ambulance/Transport, Communications/Command, Foam Units, Boats, Equipment for the Vehicle; Restrictions: Aircraft, Hazardous Material, Construction, Personnel, 1-year Funding, Funding Limits on Certain Equipment.

Applicant Eligibility:

Eligible applicants for AFG are limited to fire departments and nonaffiliated EMS organizations. Fire departments or nonaffiliated EMS organizations operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico are eligible for funding. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department.

Beneficiary Eligibility:

The ultimate beneficiaries of this program are the local or tribal communities serviced by the fire department including, but not limited to, local businesses, homeowners and property owners. Additionally, children under 16 years-of-age, seniors, and firefighters would be the beneficiaries since these groups are the targeted "risk groups" for the fire prevention program.

Credentials/Documentation:

Each applicant must certify: 1) that they are an eligible applicant, i.e., a fire department, as defined above; 2) as to the characteristics of their community, i.e., Urban, Suburban, or Rural; 3) to the population size of the community served; and, 4) to the type of department, i.e., volunteer/combination or career. Eligible applicants for AFG are limited to fire departments and nonaffiliated EMS organizations. Fire departments or nonaffiliated EMS organizations operating in any of the 50 States plus the District of Columbia, the Commonwealth of the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, and Puerto Rico are eligible for funding. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression to a population within a fixed geographical area on a first-due basis. A "nonaffiliated EMS organization" is defined as a public or private nonprofit emergency medical services organization that provides direct emergency medical services, including medical transport, to a specific geographic area on a first-due basis but is not affiliated with a hospital and does not serve a geographic area where emergency medical services are adequately provided by a fire department. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

There is no preapplication form required under this grant program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Applicants that were denied grants can request a reconsideration of the decision. Such a request may be successful if it is determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

Grant period is 12 months for this program. In the event that the grantees are unable to fulfill their grant obligations within the 12-month grant period, they may request an extension of the performance period. Such requests will be considered and approved based on the written justification.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: Applicants who protect a population of over 50,000 are required to provide a nonfederal cost-share of not less than 20 percent of the total award. Applicants who protect a population between 20,000 and 50,000 are required to provide a nonfederal cost-share of not less than 10 percent of the total award. Applicants who protect a population of less than 20,000 are required to provide a nonfederal cost-share of not less than 5 percent of the total award. This program also has a Maintenance of Effort requirement intended to ensure that the Federal funds are used to supplement, not supplant, existing resources.

This program has MOE requirements, see funding agency for further details.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit semi-annual financial and performance reports. The "Progress Reports" must include a narrative statement that details the progress of each award. Reports are due 30 days after the end of the sixth month of the performance period. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action

and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

(Project Grants) FY 09 \$565,000,000; FY 10 est \$300,000,000; FY 11 est \$420,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program office awarded over 5,200 grants which totaled over \$505 million. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq. In addition, the program guidance, the FAQs, an applicant tutorial, and other program information can be found on the program's website at www.firegrantsupport.com.

Regional or Local Office:

See Regional Agency Offices. The Assistance to Firefighters Grants are administered from the FEMA Headquarters Office. FEMA also has 10 regional offices which house Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program, TechWorld Bldg - South Tower, 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.045 COOPERATING TECHNICAL PARTNERS

(CTP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Flood Disaster Protection Act of 1973, as amended; the Housing and Urban Development Act of 1969; Housing and Urban Development Act of 1968, also known as National Flood Insurance Act of 1968, as amended

, 42 U.S.C 4101; and

National Flood Insurance Reform Act of 1994.

OBJECTIVES:

To increase local involvement in, and ownership of, the production development and maintenance of Digital Flood Insurance Rate Maps (DFIRMS) flood hazard maps produced for the National Flood Insurance Program (NFIP). To build and maintain partner capabilities, continue to increase local ownership of data and processes, establish sound performance criteria for current Cooperating Technical Partners (CTPs), and to define a career path for potential CTPs to join the program. .

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Uses: For costs associated with Program Management and Technical Mapping Activities as delineated in Tables 2 and 3 of the FY 2010 program guidance. Allowable costs are determined by reference to OMB Circulars A-122, A-21, and A-87. Use of funds must be consistent with the statutory authority for the award. Restrictions: The funds are authorized to supplement, not supplant, ongoing flood hazard mapping efforts by the recipient. The recipient must have in-house staff capabilities in the appropriate technical area for the activity approved in the award. If the work for any portion of a mapping activity is contracted, the recipient of the award must have in-house staff with the technical capability to monitor the contractor(s) and approve the product(s) developed by the contractor(s). Applicants must meet the six (6) eligibility criteria outlined in Part III, Section A of the FY 2010 program guidance. This criteria includes (but is not limited to) the requirement that potential partners have existing processes and / or systems in place to support mapping or data collection activities that contribute to flood hazard identification and that potential partners have the demonstrated capability and capacity to implement, or contract the activities for which funding is being sought.

Applicant Eligibility:

All States and Commonwealths (including the District of Columbia and territories and possessions of the United States), regional agencies, and communities may apply. Applicants must be communities participating and in good standing in the NFIP or service participating NFIP communities.

Beneficiary Eligibility:

State, local, specialized group, small business, general public.

Credentials/Documentation:

Credentials: Potential partners / applicants must meet the criteria outlined in section 2.3.1 of the FY 2009 program guidance and Applicants must be communities participating and in good standing in the NFIP. Documentation: Allowable costs are determined by reference to OMB Circulars A-122, A-21, and A-87. For complete information on credentials and documentation, please refer to program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

All applicants should consult with the FEMA Regional office for application information and technical assistance. Non-profits should consult with FEMA HQ for application information and technical assistance. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. For complete information on all required forms,

attachments, submission instructions / requirements, deadlines, and other procedural information, please refer to program guidance.

Award Procedure:

DHS/FEMA staff will review the submitted applications and make determinations about the potential applicant's capability, capacity, and experience with earned value management systems. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. This program has no matching requirements unless otherwise stated in Congressional Appropriations language. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Announcement of award approval is made through the electronic Grants Management System (GMS). Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. For complete information regarding length and time phasing of assistance, refer to program guidance. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Progress Reports and Financial Status Reports (FSRs) must be submitted quarterly. These reports are due on January 30, April 30, July 30, and October 30. Progress Reports must include the progress of each sub-grant award. Semi-annual reports are required twice within a year and the due dates are established by the FEMA Regional office. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Reports must be submitted to the FEMA Regional CTP Grant Officers. For complete information regarding report submission requirements, refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5464-0-2-453.

Obligations:

(Project Grants) FY 09 \$77,000,000; FY 10 est \$44,000,000; FY 11 est not reported. - Fiscal Year 2011-No current data available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: National Flood Insurance Program (NFIP) mapping products were created as a result of the awards under this grant program. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Annual program guidance is available to all CTP initiative participants and the general public on through the funding opportunity on www.grants.gov

Regional or Local Office:

See Regional Agency Offices. FEMA Regional Offices will manage all Regional program activities under the CTP Program and HQ will manage all HQ activities. See Appendix IV of the Catalog for a listing of FEMA Regional Offices and refer to Part VII (FEMA Contacts) of the program guidance.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), 245 Murray Lane, Bldg 410, Washington, District of Columbia 20523
Email: askscsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.070 Map Modernization Management Support

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.046 FIRE MANAGEMENT ASSISTANCE GRANT

FMAG

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Act, Section 420, Public Law 93-288, 42 U.S.C 5187.

OBJECTIVES:

To provide grants to States, Indian tribal governments and local governments for the mitigation, management and control of any fire burning on publicly (nonfederal) or privately owned forest or grassland that threatens such destruction as would constitute a major disaster.

TYPES OF ASSISTANCE:

PROJECT GRANTS; PROVISION OF SPECIALIZED SERVICES

USES AND USE RESTRICTIONS:

Fire Management Assistance Grants are made in the form of cost-sharing grants for the mitigation, management, and control of any fire on publicly (nonfederal) or privately owned forestland or grassland that threatens such destruction as would constitute a major disaster. The Fire Management Assistance Grant Program, authorized by the Stafford Act and amended by the Disaster Mitigation Act of 2000, provides for the mitigation, management and control of fires that threaten such destruction as would constitute a major disaster.

Applicant Eligibility:

State governments and Indian tribal governments are eligible for fire management assistance grants. The State or Indian tribal government may be the Grantee. The Grantee is the government to which a grant is awarded, and is accountable for the use of funds provided.

Beneficiary Eligibility:

The State Government and/or Indian tribal government, acting as the Grantee,

is the government to which the grant is awarded and which is accountable for the use of the funds provided. Other State entities, Indian tribal governments and local governments are eligible to apply for subgrants.

Credentials/Documentation:

Costs will be determined in accordance with FEMA Regulations, 44 CFR Part 204. Federal funds will be awarded to subgrantees in accordance with State law and procedure and in compliance with 44 CFR Part 13 and 204. Grant awards will be determined in accordance with OMB Circulars No. A-87 and No. A-102 for State and local governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

A FEMA-State Agreement and/or Indian tribal Agreement for the Fire Management Assistance Grant Program (the agreement) is signed by the Governor/Indian tribal government and the Regional Administrator at the beginning of the calendar year or after a State's first fire management assistance grant declaration in a calendar year. The agreement is amended for subsequent approvals of assistance for the remainder of the year. This agreement outlines the level of fire management assistance to be provided for all fires declared during the calendar year, and contains the necessary terms and conditions for requesting and receiving assistance. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. The Governor, Governor's Authorized Representative, or Indian tribal government may request a fire management assistance declaration through the FEMA Regional Administrator. To expedite the request, the State or Indian tribal government may submit a telephone request to the Regional Administrator, promptly followed by a confirming message or letter. The Assistant Administrator of the Disaster Assistance Directorate, shall render a decision on the State's request and notify the Regional Administrator of this decision. This decision may be relayed by telephone, to be followed by a written determination.

Award Procedure:

Requests for fire management assistance declarations must be submitted while a fire is burning uncontrolled and threatens such destruction as would constitute a major disaster. The State should submit its grant application within nine (9) months of the declaration. Upon receipt of a written request from the State, the Regional Administrator may grant an extension for up to three (3) months.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

From 1 hour to 72 hours.

Appeals:

States have the recourse to two types of appeals under the Fire Management Assistance Grant Program. Under the first type of appeal, a Governor, Governor's Authorized Representative, or Indian tribal government may appeal a denial of its request for a fire management assistance declaration. The State/Indian tribal government should submit this one-time request for reconsideration in writing, with additional information, to the Assistant Administrator of the Disaster Assistance Directorate through the Regional Administrator. The appeal must be submitted within 30 days of the date of the letter denying the State's/Indian tribal government's request. The Assistant Administrator will render the final determination on this type of appeal within 90 days of receipt of the appeal or receipt of the additional information requested. The Assistant Administrator may extend the 30-day period provided that the Governor, the Governor's Authorized Representative, or Indian tribal government submits a written request for a time extension within the 30-day period. The Assistant Administrator will evaluate the need for an extension based on the reasons cited in the request and either approve or deny the request for an extension. Under the second type of appeal, an applicant or subgrantee may appeal any determination FEMA makes under an approved fire management assistance declaration through a two level appeal process. Appellants must file appeals within 60 days after receipt of a notice of the

action that is being appealed. The Grantee will review and forward appeals from an applicant or subgrantee, with a written recommendation, to the Regional Administrator within 60 days of receipt. The Regional Administrator will render a determination on first appeals for fire management assistance grant-related decisions within 90 days following receipt of the appeal or requested additional information. In the event the Regional Administrator denies the appeal, the applicant may submit a second-level appeal to the Assistant Administrator of the Disaster Assistance Directorate. Within 90 days following receipt of a second appeal, the Assistant Administrator, will notify the grantee in writing of the disposition of the appeal or of the need for additional information. If the decision is to grant the appeal, the Regional Administrator will take appropriate implementing action. The decision of the Assistant Administrator at the second appeal level shall be the final administrative decision of FEMA.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Fire Management Assistance Grants may be available to States on a 75 percent Federal/25 percent nonfederal cost-sharing basis when we determine that the State's application demonstrates either of the following: total eligible costs for the declared fire meet or exceed the individual fire cost threshold or total costs of all declared and non-declared fires in a given calendar year meet the cumulative fire cost threshold. The individual fire cost threshold for a State for FY 2010 is the greater of \$100,000 or five percent x \$1.29 x state population. The cumulative fire cost threshold for a State FY 2010 is the greater of \$500,000 or three times the five percent x \$1.29 x State population. Both formulas are adjusted annually for inflation using the Consumer Price Index for All Urban Consumers published annually by the Department of Labor.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The time interval during which a declared fire occurs is the incident period. Generally costs must be incurred during the incident period to be considered eligible. Pre-positioning of resources may be approved for Federal funding up to a maximum of 21 days before a declared fire. Mobilization and demobilization also occur outside the incident period and are eligible for Federal funding. Temporary repair work must be completed within 30 days of the close of the incident period for the declared fire. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Within 90 days of the Performance Period expiration date, the State must submit a Financial Status Report (FEMA Form 425) which reports all costs incurred within the incident period and all administrative costs incurred within the performance period. The performance period, defined on the SF-424, may be 9 months from the close of the incident period and may be extended for an additional 3 months by the Regional Administrator. During the performance period the Grantee will submit the subgrants, which document eligible costs; FEMA will review the eligibility of costs, and process, obligate, and close-out the grant. See program guidance. See program guidance. See program guidance. See program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of

the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 09 \$30,000,000; FY 10 est \$20,000,000; FY 11 est \$20,000,000

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Provided federal fiscal resources to State and local governments to control wildfires which minimized loss of natural resources, as well as loss to public and private property from FMAGP declared fires. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance, Fire Management Assistance Grant Program Regulations, 44 CFR Part 204. See the Fire Management Assistance Grant Program page at: <http://www.fema.gov/government/grant/fmagp/index.shtm>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security / FEMA / Public Assistance Division, Control Desk, 4th Floor, Room 427, 500 C St. SW, Washington, District of Columbia 20472 Phone: (800) 621-FEMA (3363).

Website Address:

www.fema.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.047 PRE-DISASTER MITIGATION

PDM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Section 203, 42 U.S.C 5133.

OBJECTIVES:

To provide funding support to states, tribes, territories, communities, and public colleges and universities for pre-disaster mitigation planning and projects primarily addressing natural hazards. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Mitigation Planning: Planning activities that develop state, Indian tribal, local, and university hazard mitigation plans that meet planning criteria outlined in 44 CFR Part 201 are eligible for the PDM program. Hazard mitigation planning activities must primarily focus on natural hazards but may also address hazards caused by manmade events. Plans may be either single or multi-jurisdictional hazard mitigation plans may be submitted for funding. Funding is restricted to a

maximum of \$800,000 Federal share per planning subapplication. Mitigation Projects: Proposed multi-hazard mitigation projects must primarily focus on natural hazards. Funding is restricted to a maximum of \$3 million Federal share per project subapplication. Space limitations preclude a full listing of examples of eligible mitigation projects the following is a partial listing: Voluntary acquisition of real property (i.e. structures and land, where necessary) for conversion to open space in perpetuity; Relocation of public or private structure ; Elevation of existing public or private structures to avoid coastal or riverine flooding; Structural retrofitting and non-structural retrofitting (e.g., storm shutters, hurricane clips, bracing systems) of existing public or private structures; Construction of safe rooms (tornado and severe wind) for public and private structures that meet the FEMA construction criteria; Vegetation management for natural dune restoration, wildfire or snow avalanche; Protective measures for utilities water and sanitary sewer systems and/or infrastructure; Storm water management projects and localized flood control projects, such as certain ring levees, bank stabilization, and floodwall systems that are designed specifically to protect facilities that do not constitute a section of a larger flood control system. Projects submitted for consideration must be consistent with the goals and objectives in the State/Tribal Mitigation Plan. Tribal, local, and university projects also must be consistent with the goals and objectives in the Tribal/Local Mitigation Plan. For additional information concerning PDM uses and restrictions, please see the program guidance.

Applicant Eligibility:

Any State, including the District of Columbia, the U.S. Virgin Islands, the Commonwealth of Puerto Rico, Guam, American Samoa, the Trust Territories of the Pacific Islands, and the Mariana Islands, is eligible as well as Indian tribal governments.

Beneficiary Eligibility:

State and Indian tribal governments are eligible to apply for assistance as a grantee under the PDM program. Local governments and Indian tribal governments are eligible to apply to the grantee for assistance as a subgrantee under the PDM program. In order for floodprone communities to receive funds, applicants must be participating in the National Flood Insurance Program (if they have been mapped through the NFIP) and must be in good standing (not on suspension).

Credentials/Documentation:

Grant awards will be determined in accordance with OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None. Refer to program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory Formula: Title Robert T. Stafford Disaster Relief and Emergency

Assistance Act, Chapter 42, Part 5133, Public Law 93-288.

Matching Requirements: At most 25% nonfederal, 75% Federal. Grants awarded to small, impoverished communities may receive a Federal cost-share of up to 90%. Refer to program guidance for additional information. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0508-0-1-402.

Obligations:

(Project Grants) FY 09 \$90,000,000; FY 10 est \$100,000,000; FY 11 est not reported. - No Data Available for FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Provided opportunities for transparency and partnership with States, Territories, Tribal and local governments through multiple workgroups, courses and activities; Utilized an enhanced Benefit cost Analysis Ration tool to streamline and modify benefit cost analysis reviews to assist State and local communities as they meet the requirements of the Mitigation grant programs and Office of Management and Budget Circular A-94. Utilized an improved portfolio approach to assist States, Territories, Tribal and local governments in the management of pre-qualified mitigation proposals that are : consistent with State and local mitigation plans and priorities; determined technically feasible to reduce losses to the identified hazard(s); cost effective and facilitate more expeditious awarding of grants. Clarified technical requirements for project specific activities that streamlined and simplified requirements for State and local communities to develop eligible applications and document program needs. Developed strategies to process efficiencies to award funds more expeditiously, thereby increasing obligation rates. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

For current FY funds, awards will be governed by Section 203 of the Stafford

Act; and the Hazard Mitigation Assistance Unified grant program guidance, which is available to the public on the FEMA internet site:
<http://www.fema.gov/government/grant/pdm/index.shtm>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for the list of addresses of Federal Emergency Management Agency Regional Offices. A list of FEMA regional offices also is available on the Internet at <http://www.fema.gov/about/contact/regions.shtm>.

Headquarters Office:

Mitigation Division, Emergency Preparedness and Response Directorate, Department of Homeland Security 1800 South Bell Street, Arlington, Virginia 20595-3015 Email: Lloyd.Hake@dhs.gov Phone: (202) 646-3428 Fax: (202) 646-3104.

Website Address:

<http://www.fema.gov/government/grant/pdm/index.shtm>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.092 Repetitive Flood Claims; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.048 FEDERAL DISASTER ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS IN PRESIDENTIAL DECLARED DISASTER AREAS FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended by Public Law 106-390.

OBJECTIVES:

To provide financial assistance and, if necessary, direct assistance to individuals and households affected as a direct result of a Presidentially declared major disaster or emergency, who have uninsured or under-insured, necessary expenses and serious needs and are unable to meet such expenses or needs through other means.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. Program activates only after issuance of a presidential declaration. Housing Assistance, either Financial or Direct, may be provided for the following: (1) Temporary Housing, (2) Home Repair, (3) Home Replacement and (4) Permanent Housing Construction. Assistance not used for the specified purpose will be required to be returned. Other Needs Assistance may be provided for: (1) Medical, (2) Dental, (3) Funeral, (4) Personal Property, (5) Transportation, and (6) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If the State is providing for other needs under Section 408(f) of the Stafford Act, please refer to CFDA 97.050.

Applicant Eligibility:

Individuals and households, in areas declared an emergency or major disaster by the President, whose primary residence has been damaged or destroyed and whose losses are not covered by insurance, are eligible to apply for this program. All needs must be caused by the disaster. The individual or a member of the household must be a citizen of the United States, a non-citizen national, or a qualified alien. Housing Assistance: 1) Temporary Housing Assistance - proof of primary residence; disaster caused displacement, and/or paid receipts for Rent or Lodging Expenses; 2) Repair - primary residence, proof of residency and ownership; disaster related home damage; 3) Replacement - primary residence, proof of residency and ownership; home

destroyed by the disaster; 4) Permanent Housing Construction - primary residence, proof of residency and ownership; disaster related home damage, home is located in an insular area outside the continental United States or in other remote locations. Alternative housing resources are unavailable, infeasible, or not cost-effective. Other Needs Assistance: 1) Medical-disaster caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental-disaster caused expenses, and/or paid receipts (bills) for treatment; 3) Funeral - disaster caused expenses, and/or paid receipts (bills) for services; 4) Personal Property proof of ownership; disaster related personal property damage; 5) Transportation, proof of ownership; vehicle complies with State laws, disaster caused vehicle damage; 6) Other Necessary Expenses and Serious Needs Identified, the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual/Family; Homeowner (located within an area which has been designated as a disaster area, by Presidential declaration).

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206.110-120. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor makes a request (through the FEMA regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Presidential Disaster or Emergency Declaration must be issued, before individuals and households can register an application for assistance with FEMA via a toll-free number or FEMA's Internet Application or by visiting a Disaster Recovery Center. Applications will not be accepted from individuals residing outside of the area declared as a disaster.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through disaster aid programs of other participating federal or state agencies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Varies, in general 7-21 days. Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: Percent: 25%. Housing - not applicable. Other Needs - FEMA or the State may administer the Other Needs Assistance Program. State provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations,

policies, and procedures that govern the program. States may also receive up to 5 percent of the Federal share of the program for administrative costs. The Governor may request a loan of the State's 25 percent share. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, one time grant assistance with a total assistance period not exceeding 18 months. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

No reports are required.

Audits:

This program is excluded from coverage under OMB Circular No. A-133. Internal program audits are conducted.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 09 \$810,579,689; FY 10 est \$153,828,554; FY 11 est not reported. - FY 2011 estimate not available.

Range and Average of Financial Assistance:

For FY 10 assistance ranges from \$50 - \$29,900.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In previous fiscal years, this program provided assistance to individuals and households affected by disasters such as hurricanes, tornadoes, wildfires, or flooding etc. For example, approximately 1.3 million households were approved for assistance in the aftermath of Hurricane Katrina. Fiscal Year 2010: No Current Data Available Fiscal Year 2011: No Current Data Available

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 245 Murray Lane, Bldg. #410, Washington, District of Columbia 20523 Phone: (202) 646-3943.

Website Address:

www.FEMA.gov.

RELATED PROGRAMS:

97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households; 97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.049 PRESIDENTIAL DECLARED DISASTER ASSISTANCE - DISASTER HOUSING OPERATIONS FOR INDIVIDUALS AND HOUSEHOLDS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended by Public Law 106-390.

OBJECTIVES:

To address disaster-related housing needs of individuals and households suffering hardship within an area which, by Presidential declaration, has been

designated as a disaster area.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disaster or emergencies such as an earthquake, hurricane, tornado, floods, or wildfire, etc. Program activates only after issuance of a presidential declaration. Direct assistance under this program is used for temporarily housing disaster victims who lack available housing resources and would be unable to make use of potential financial assistance to rent an alternative place to live. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Individuals and households, in areas which have received a Presidential emergency or major disaster declaration, whose primary residence has been damaged or destroyed and whose losses are not covered by insurance are eligible to apply for this program. The individual or household member must be a citizen of the United States, a non-citizen national, or a qualified alien. Direct Assistance - proof of residency, displacement must be caused by the disaster; and financial assistance to rent an alternative place to live is determined not to be a feasible option by the Federal Emergency Management Agency (FEMA).

Beneficiary Eligibility:

Individual/Family (located within a Presidentially - declared emergency or disaster area).

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The State Governor makes a request for a Presidential declaration of an emergency or a major disaster through the FEMA Regional Director. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. A Presidential disaster or emergency declaration must first be issued. Afterwards, individuals and households within an area which has received a Presidential disaster or emergency declaration, may register an application for assistance with FEMA via a toll-free number, FEMA's online application, or by visiting a Disaster Recovery Center.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster area. Households receive assistance directly from FEMA or State.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, limited to an assistance period not exceeding 18 months. See the following for information on how assistance is awarded/released: Based on program need.

Reports:

Quarterly and final financial reports are required. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Internal program audits are conducted.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 09 \$330,717,896; FY 10 est \$9,731,964; FY 11 est not reported. - FY 11 est not available.

Range and Average of Financial Assistance:

Not applicable.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FEMA supported eighteen ongoing housing missions which at their peak provided temporary housing to approximately 5,300 families.
Fiscal Year 2010: No current data available. Fiscal Year 2011: No current data available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Disaster Assistance Regulations, 44 CFR 206.101.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA) 245 Murray Lane - Bldg #410, Washington, District of Columbia 20523 Phone: (202) 646-3943.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.048 Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas ; 97.050 Presidential Declared Disaster Assistance to Individuals and Households - Other Needs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

97.050 PRESIDENTIAL DECLARED DISASTER ASSISTANCE TO INDIVIDUALS AND HOUSEHOLDS - OTHER NEEDS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended , Public Law 106-390.

OBJECTIVES:

To provide assistance to individuals and households affected by a disaster or emergency declared by the President, and enable them to address necessary expenses and serious needs, which cannot be met through other forms of disaster assistance or through other means such as insurance.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Restricted to Presidential-declared disasters or emergencies, such as an earthquake, hurricane, tornado, wildfire, or flood, etc. The Other Needs Assistance provision of the Individuals and Households Program activates only after issuance of a presidential declaration. "Other Needs Assistance" may be provided for the following: 1) Medical, 2) Dental, 3) Funeral, 4) Personal Property, 5) Transportation, and 6) Other Miscellaneous Expenses. Assistance not used for the specified purpose will be required to be returned. If the State is providing for other needs under Section 408(f) of the Stafford Act, please refer to CFDA 97.050.

Applicant Eligibility:

Applicant Eligibility for individuals and households affected by a Presidential-declared disaster or emergency: 1) Medical disaster caused expenses, and/or paid receipts (bills) for medical treatment; 2) Dental disaster caused expenses, and/or paid receipts (bills) for treatment; 3) Funeral disaster caused expenses, and/or paid receipts (bills) for services; 4) Personal Property proof of ownership; disaster caused personal damage; 5) Transportation proof of ownership; vehicle complies with State laws, disaster caused vehicle damage; and 6) Other Necessary Expenses and Serious Needs Identified the expense or need must be caused by the disaster and approved by FEMA.

Beneficiary Eligibility:

Individual and family located within an area which, by Presidential declaration, has been designated as a disaster area.

Credentials/Documentation:

FEMA Disaster Assistance Regulations at 44 CFR Part 206. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Based on damage assessments and an agreement to commit state funds and resources to the long-term recovery, the State Governor makes a request (through the FEMA Regional Director) for a Presidential Declaration for an emergency or major disaster. Requests for assistance must be made by the Governor in accordance with FEMA Disaster Assistance Regulations, 44 CFR 206, except as provided in Part 206.24(d) for emergency declarations involving primarily Federal responsibility. If the State has selected the program for Review, an applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. A Presidential Disaster or Emergency Declaration must first be issued. Afterwards, individuals and households located within a Presidentially-declared disaster area, may register an application for assistance with FEMA via a toll-free number or FEMA Internet application or by visiting a Disaster Recovery Center.

Award Procedure:

Funds are allocated from the President's Disaster Relief Fund for use in a designated emergency or major disaster. Households receive assistance directly from FEMA or through disaster aid programs of other participating federal or

state agencies.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

7-21 days. Generally, individual and household applications for disaster assistance must be filed within 60 days of the disaster declaration.

Appeals:

Appeals of program decisions must be submitted in writing within 60 days of the date of the notification letter.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: Percent: 25%. The State provides 25 percent of the funds for the total number of grants disbursed for the designated disaster. The Federal Government provides the remaining 75 percent of the costs for grant awards as well as the regulations, policies, and procedures that govern the provision. States may also receive up to 5 percent of the Federal share of the provision for administrative costs. The Governor may request a loan of the State's 25 percent share.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Generally, a one time assistance payment, with a total assistance period of no longer than 18 months. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Quarterly and final financial reports are required. Refer to program guidance. Cash reports are not applicable. Progress reports are not applicable. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. Internal program audits are conducted.

Records:

Expenditure records (receipts) and related documents must be retained by the recipient for 3 years from the date of payment.

Account Identification:

70-0702-0-1-453.

Obligations:

(Direct Payments for Specified Use) FY 09 \$83,305,762; FY 10 est \$50,000; FY 11 est not reported. - FY 2011 Estimate not available.

Range and Average of Financial Assistance:

Currently ranges from \$50.00 to \$29,900.00.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In previous fiscal years, this program provided assistance to individuals and households affected by disasters such as hurricanes, tornadoes, or flooding, etc. For example, approximately 1.3 million households were approved for assistance in the aftermath of Hurricane Katrina. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Emergency Assistance Regulations, 44 CFR 206.110-120.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses for FEMA's Regional Offices.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), 245 Murray Lane - Bldg. #410, Washington, District of Columbia 20523 Phone: (202) 646-3943.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

97.048 Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas ; 97.049 Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.052 EMERGENCY OPERATIONS CENTERS

(EOC)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The EOC Grant Program is authorized by section 614 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5196c) as amended by section 202 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53), Public Law 110-53; Funds for the FY 2010 EOC Grant Program are appropriated under the Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83).., Public Law 111-83.

OBJECTIVES:

The purpose of the Emergency Operations Center (EOC) Grant Program is to improve emergency management and preparedness capabilities by supporting flexible, sustainable, secure, strategically located and fully interoperable EOCs with a focus on addressing identified deficiencies and needs. This program provides funding for construction or renovation of a State, local or tribal government's principal EOC. Fully capable emergency operations facilities at the State and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters cause by any hazard.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The EOC grant program supports EOC construction and renovation. Funds must be spent in compliance with rules and regulations noted in the EOC Grant Program Guidance and Application Kit.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Funding under this program is ultimately to benefit State and local governments.

Credentials/Documentation:

Specific Information on applicant eligibility is identified in the funding opportunity announcement and program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Extensions to the period of performance will be considered only through formal requests to FEMA with specific and compelling justifications why an extension is required.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 25%. The program will require a 75 percent Federal and 25 percent grantee cost share cash or in-kind match requirement. Unless otherwise authorized by law, Federal funds cannot be matched with other Federal funds.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly Federal Financial Reports (FFR) (SF-425) and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due within 30 days of the end of each calendar quarter : January 30, April 30, July 30, and October 30. Semi-Annual Assistance Progress Reports (SAPR). Following an award, the awardees will be responsible for providing updated obligation expenditure information on a semi-annual basis. The SAPR is due within 30 days after the end of the reporting period (July 30 for the reporting period of January 1 through June 30; and January 30 for the reporting period of July 1 through December 31).

Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-000.

Obligations:

(Project Grants) FY 09 \$34,002,500; FY 10 est \$57,600,000; FY 11 est not reported. - No Current Data Available for FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The purpose of the Emergency Operations Center (EOC) Grant Program is to improve emergency management and preparedness capabilities by supporting flexible, sustainable, secure, strategically located and fully interoperable EOCs with a focus on addressing identified deficiencies and needs. This program provides funding for construction or renovation of a State, local or tribal government's principal EOC. Fully capable emergency operations facilities at the State and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters cause by any hazard.

Funded 22 competitive EOC projects totaling \$12,357,500 & \$21,645,000 for 33 non-competitive projects. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for FEMA regional offices.

Headquarters Office:

Department of Homeland Security /FEMA Grant Programs Directorate, 500 C street S.W., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov> .

RELATED PROGRAMS:

97.042 Emergency Management Performance Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.053 CITIZEN CORPS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007 and the Department of Homeland Security Appropriation Act, 2008, Public Law 110-53; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009, Public Law 110-329.

OBJECTIVES:

To bring together government and community leaders and involve citizens in all-hazards emergency preparedness and resilience. Citizen Corp strives to harness the power of every individual through education, training, and volunteer service to make communities safer, stronger, and better prepared to respond to threats of terrorism, crime, public health issues, and disasters of all kinds. As a means of building law enforcement and community collaboration, Citizen Corp works closely with numerous partner organizations devoted to crime awareness and response, including the Department of Justice, the International Association of Chiefs of Police, the Volunteers in Police Service (VIPS) program, the National Sheriffs Association, and Neighborhood Watch programs.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

For costs associated with assisting The International Association of Chiefs of Police (IACP) in developing a national strategy to improve the public's response to witnessing suspicious behavior. The IACP will develop a strategy by (among other things) communicating and coordinating with the ongoing national Suspicious Activity Reporting Initiative (National Security Initiative) and appropriate representatives from the DHS Office of Intelligence and Analysis (I&A), the Department of Justice Bureau of Justice Assistance (BJA), and the Office of the Program Manager for the Information Sharing Environment (PM_ISE). The intent of the strategy is to increase individual responsibility, vigilance, and police-community partnerships. Refer to program guidance.

Applicant Eligibility:

States are eligible to apply for the assistance under this program. For purposes of this program and consistent with the Stafford Act, 42 U.S.C. 5122(4), "State" means any State of the United States, the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. Local governments may receive assistance as subgrantees to the States in which they are located. The term "local government" as used in this program has the meaning set forth in the Stafford Act, 42 U.S.C. 5122(6), and includes any county, city, village, town, district, or other political subdivision of any State, any Indian tribe or authorized tribal organization, or Alaska Native village or organization, including any rural community or unincorporated town or village or any other public entity for which an application for assistance is made by a State or political subdivision thereof.

Beneficiary Eligibility:

State and local units of government.

Credentials/Documentation:

Credentials: The applicant must clearly demonstrate the ability to conduct the necessary research, facilitate at least three focus group sessions, organize and manage the collection of information, evaluate information, and systematically develop a vetted strategy that can be utilized in communities across the nation. **Documentation:** Applicants or grantees may be required to supply documentation certifying that a reduction in non-Federal resources occurred for reasons other than the receipt of expected receipt of Federal funds (non-supplanting requirement). Allowable costs must be documented and are determined by reference to OMB Circular No. A-87. Refer to program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

For preapplication information, refer to program guidance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Applications for this supplemental funding must be submitted using the Office of Justice Programs Grants Management System (GMS). To access GMS, go to <https://grants.ojp.usdoj.gov/>. For complete information on all required forms, attachments, submission instructions / requirements, deadlines, and other procedural information, please refer to program guidance.

Award Procedure:

Applications are assessed for anticipated effectiveness using a peer review process and reviewed by DHS/FEMA program and administrative staff. FEMA will verify compliance with all administrative and eligibility criteria identified in the grant announcement/program guidance. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. Notification of award approval is made through the Grants Management System (GMS). Once an award has been approved, a notice is sent to the authorized grantee official. For complete information regarding the award procedure, refer to program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

A new application is required for each Federal fiscal year.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. None. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Notification of award approval is made through the electronic Grants Management System (GMS). Once an award has been approved, a notice is sent to the authorized grantee official. The period of performance of this grant is twelve (12) months. Any unobligated funds will be de-obligated at the end of the 90 day close-out period. Extensions to the period of performance will be considered only through formal requests to FEMA and will only be granted for specific and compelling reasons. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

For complete information on report submission requirements, refer to program guidance. Refer to program guidance. Obligations and expenditures must be reported on a quarterly basis via the Financial Status Report (FSR). FSRs These reports are due on 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Semi-annual progress report (SAPR) and Bi annual Strategy Implementation Reports (BSIR) are required semi-annually. SAPR. The SAPR is due January 30 and July 30. Final FSR and financial, SAPR and BSIR reports are due 90 days after the expiration or termination of the grant award. Categorical Assistance Progress Reports (CAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial, CAPR and BSIR reports are due 90 days after the expiration or termination of the grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, no later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 09 \$15,000,000; FY 10 est \$12,480,000; FY 11 est not reported. - FY 11 est not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program funds were used to develop plans, conduct training and exercises to validate plans, procure equipment, and hire personnel to help in managing and administering the grant funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

All available, relevant guidance is provided to the designated points of contact of all eligible State participants.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA, 500 C St SW, Techworld Control Desk
4th Floor, Room 427, Washington, District of Columbia 20472 Phone: (202) 646-3640.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.025 National Urban Search and Rescue (US&R) Response System; 97.042 Emergency Management Performance Grants; 97.044 Assistance to Firefighters Grant; 97.052 Emergency Operations Centers; 97.071 Metropolitan Medical Response System; 97.073 State Homeland Security Program (SHSP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.055 INTEROPERABLE EMERGENCY COMMUNICATIONS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53), Public Law 110-53.

OBJECTIVES:

To provide governance, planning, training and exercise funding to States, territories, and local and tribal governments to carry out initiatives to improve interoperable emergency communications, including communications in collective response to natural disasters, acts of terrorism, and other man-made disasters. All activities proposed under the program must be integral to interoperable emergency communications and must be aligned with the goals, objectives, and/or initiatives identified in the grantees approved Statewide Communication Interoperability Plan (SCIP). The Program provides the flexibility to purchase interoperable communications equipment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Refer to program guidance document. .

Applicant Eligibility:

Applicant Eligibility - All 56 states and territories.

Beneficiary Eligibility:

Beneficiary Eligibility: States, territories, and local and tribal governments.

Credentials/Documentation:

Prior to, or along with, an application for assistance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under

E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Percent: 25%. Grant awards will require a 25 percent nonfederal cost share on all equipment purchases. The source of the match funds must be identified in the grant application. The match does not need to be a cash match. In-kind contributions are permitted and must be activities in direct support of and eligible under the project. The nonfederal share may not be funded by other federal funds unless permitted by Federal statute.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to program guidance document. Refer to program guidance document. Quarterly progress reports. Refer to program guidance document. Quarterly Federal Financial Reports (FFR), Semi-Annual Assistance Progress Report (SAPR), and Biannual Strategy Implementation Reports (BSIR). Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

58-0101-0-1-999.

Obligations:

(Project Grants) FY 09 \$48,575,000; FY 10 est \$48,000,000; FY 11 est not reported. - No Current Data Available for FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program funds are used to develop plans, conduct training and exercises to validate plans, procure equipment, and hire personnel to help in managing and administering the grant funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Refer to program guidance.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog.

Headquarters Office:

Department of Homeland Security, Federal Emergency Management Agency (FEMA), 500 C Street, SW, Washington, District of Columbia 20523 Phone: (202) 646-2500 Fax: (202) 646-3061.

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.056 PORT SECURITY GRANT PROGRAM

(Port Security Grants)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Maritime Transportation Security Act of 2002 as amended, 46 U.S.C 70107.

OBJECTIVES:

To provide funds to port areas for the protection of critical port infrastructure from terrorism. To assist ports enhancing maritime domain awareness, improving risk management capabilities to prevent, detect, respond to and recover from attacks involving improvised explosive devices (IEDs) and other non-conventional weapons, as well as training and exercises and TWIC implementation. The Port Security Grant Program also provides funding to eligible ferry systems within eight specific priorities.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

All entities covered by an Area Maritime Security Plan (AMSP) may submit an application for consideration of funding. However, Congress has also specifically directed DHS to apply these funds to the highest risk ports within the United States. In support of this, a total of 102 critical port areas, representing approximately 95 percent of the foreign waterborne commerce of the United States, have been specifically identified. In a number of cases, these port areas have also been grouped together to reflect geographic proximity, shared risk and a common waterway. The following three entities are specifically encouraged to apply: (1) owners/operators of federally regulated terminals, facilities, or U.S. inspected passenger vessels as defined in the Maritime Transportation Security Act (MTSA) 33 CFR Parts 101, 104, 105, and 106; (2) port authorities or other State and local agencies that provide layered security protection to federally regulated facilities in accordance with an AMSP or a facility or vessel security plan; and (3) consortia composed of local stakeholder groups (e.g., river groups, ports, and terminal associations) representing federally regulated ports, terminals, U.S. inspected passenger vessels, or ferries that provide layered security protection to federally regulated

facilities in accordance with an AMSP or a facility or vessel security plan. Please refer to the program guidance and application kit for more details regarding applicant eligibility. Funds may be used for costs associated with maritime domain awareness; IED prevention, protection, response, and recovery capabilities; training and exercises; and management administration. The program guidance outlines uses and restrictions in detail. Refer to program guidance.

Applicant Eligibility:

All entities covered by an Area Maritime Security Plan (AMSP) may submit an application for consideration of funding. However, Congress has also specifically directed DHS to apply these funds to the highest risk ports within the United States. In support of this, a total of 102 critical port areas, representing approximately 95 percent of the foreign waterborne commerce of the United States, have been specifically identified. In a number of cases, these port areas have also been grouped together to reflect geographic proximity, shared risk and a common waterway. The following three entities are specifically encouraged to apply: 1) owners/operators of federally regulated terminals, facilities, or U.S. inspected passenger vessels as defined in the Maritime Transportation Security Act (MTSA) 33 CFR Parts 101, 104, 105, and 106; 2) port authorities or other State and local agencies that provide layered security protection to federally regulated facilities in accordance with an AMSP or a facility or vessel security plan; and 3) consortia composed of local stakeholder groups (e.g., river groups, ports, and terminal associations) representing federally regulated ports, terminals, U.S. inspected passenger vessels, or ferries that provide layered security protection to federally regulated facilities in accordance with an AMSP or a facility or vessel security plan. Please refer to the program guidance and application kit for more details regarding applicant eligibility.

Beneficiary Eligibility:

Critical national seaports and terminals.

Credentials/Documentation:

Prior to, or along with, an application for assistance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not required for all participants. Applicants applying directly through the Fiduciary Agent process must be affirmed prior to submitting applications. See guidance documents for details. Environmental Historical Preservation information is required for post award for projects funding through this program. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance document.

Renewals:

Refer to program guidance document.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. There is a matching requirement of 25 percent of total project costs for public sector entities and 50 percent for private sector entities with the exception of grants

under 25k, in which there is no match requirement. As of FY2009, the match may be cash or in-kind, with the exception of construction activities, in which the match must be cash.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to program guidance document. Refer to program guidance document. Semi-annual reports. Quarterly Financial Status Reports. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Cooperative Agreements) FY 09 \$388,600,000; FY 10 est \$388,600,000; FY 11 est not reported. - No Current Data Available for FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FEMA Staff, in close coordination with the USCG HQ and Sector Staff conducted large scale, on-site outreach visits to port areas via identified Area Maritime Security Committee (AMSC) venues. 154 of the 190 applications received were awarded funds to support National Priorities as outlined within PSGP. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the program guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA echworld, control desk, 4th floor Room 427 500 C St., SW . The Centralized Scheduling and Information Desk (CSID). CSID is open from 8:00am to 7:00pm., Eastern Standard Time, Monday through Friday., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.057 Intercity Bus Security Grants;

97.058 Operation Safe Commerce (OSC) Cooperative Agreement Program; 97.059 Truck Security Program; 97.067 Homeland Security Grant Program; 97.075 Rail and Transit Security Grant Program; 97.078 Buffer Zone Protection Program (BZPP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.057 INTERCITY BUS SECURITY GRANTS

Intercity Bus Security

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Consolidated Security, Disaster Assistance, and Continuing Appropriations Act 2009, Public Law 110-329; 1532 of the Implementing Recommendations of the 9/11 Commission Act of 2007, Public Law 110-53.

OBJECTIVES:

To create a sustainable program for the protection of intercity bus systems and the traveling public from terrorism. To assist operators of fixed-route intercity and charter bus services in obtaining the resources required to support security measures such as enhanced planning, facility security upgrades, exercises, improved communication capabilities, and vehicle and driver protection.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funds may be used for vehicle security enhancements; emergency communication technology; coordinating with local police and emergency responders; facility security enhancements; training and exercises; improvement of ticket identification and further implementation of passenger and baggage screening enhancements; and driver security enhancements. The program guidance outlines uses and restrictions in detail.

Applicant Eligibility:

This program is limited to applicants meeting one or both of the following criteria: (1) own/operate a fixed-route intercity bus service using over-the-road buses and providing services to a defined Urban Area Security Initiative (UASI) jurisdiction; or (2) own/operate a charter bus service using over-the-road buses providing a minimum of 50 trips annually to a defined UASI jurisdiction.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

This program is limited to applicants meeting one or both of the following criteria: (1) own/operate a fixed-route intercity bus service using over-the-road buses and providing services to a defined Urban Area Security Initiative (UASI) jurisdiction; or (2) own/operate a charter bus service using over-the-road buses providing a minimum of 50 trips annually to a defined UASI jurisdiction. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.
Matching Requirements: Percent: 25%.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Project Grants) FY 09 \$11,658,000; FY 10 est \$11,658,000; FY 11 est \$11,658,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: 71 intercity bus companies received awards to fund more than 100 projects aimed at strengthening security at facilities and equipment serving thousands of passengers the reside in UASI areas. Broad project categories and their respective funding levels (as a percentage of the total appropriation) were: Facility Security Enhancements 44%, Vehicle Security Enhancements 37%, Passenger Screening 10%, and Training and Exercises 9%.

Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For information on requirements and guidance please refer to the current FY Intercity Bus Security Grants Program Guide and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA Techworld, Control Desk, 4th Floor, Room 427, 500 C St., S.W., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (800) 368-6498.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

97.056 Port Security Grant Program ; 97.059 Truck Security Program; 97.075 Rail and Transit Security Grant Program; 97.078 Buffer Zone Protection Program (BZPP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.058 OPERATION SAFE COMMERCE (OSC) COOPERATIVE AGREEMENT PROGRAM

(Operation Safe Commerce)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

DHS Appropriations Act for Fiscal Year 2005, Public Law 108-334.

OBJECTIVES:

To explore commercially viable options to enhance cargo security, including business processes and technology prototypes, which support containerized cargo supply chain security management while facilitating the flow of trade.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Allowable costs are detailed in the Request for Applications (RFA). Cost principles applicable to the awards are OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, with OMB Circular No. A-122 for nonprofit organizations, and with 48 CFR Part 31 for commercial organizations. In general, funds may be used for equipment, supplies, services or consultants, travel, audits, other direct costs, facilities and administrative costs (i.e., indirect costs), and other costs related to meeting the program objectives. Funds shall not be used for personnel. Refer to program guidance document.

Applicant Eligibility:

Applicants eligible for the most recent competition were Load Centers, defined as the Ports of Los Angeles/Long Beach, Seattle/Tacoma, and the Port Authority of New York/New Jersey.

Beneficiary Eligibility:

Immediate beneficiaries include applicable stakeholders in the supply chain. Applicable ports will benefit as designated in successful proposals.

Credentials/Documentation:

Stakeholder participation must be demonstrated through letter(s) of commitment. A copy of the applicant's Federally approved indirect cost rate agreement should be submitted. In addition, National Environmental Policy Act (NEPA) information is required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance document.

Renewals:

Refer to program guidance document.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Financial Status Reports. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-402.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est not reported.; FY 11 est not reported. - This CFDA will remain open until closeout of all grants.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Department of Transportation (DOT) grant related regulations include 49 CFR Parts 17-21, 26, 29, and 32. DOT regulations may be superseded by corresponding or applicable new regulations issued by the Department of Homeland Security for this program. Cost principles applicable to the awards are OMB Circular No. A-21 for institutions of higher education, with OMB Circular No. A-87 for State and local governments, with OMB Circular No. A-122 for nonprofit organizations, and with 48 CFR Part 31 for commercial organizations. In addition, the U.S. Customs Service operates related initiatives called the Customs-Trade Partnership Against Terrorism (C-TPAT) and the Container Security Initiative (CSI). See <http://www.customs.treas.gov/> for further information on those programs.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office: Department of Homeland Security/Techworld, control desk, 4th floor, Rm 427 500 C St., SW, Washington, District of Columbia 20472 Phone: (800) 368-6498.

Website Address:

<http://www.fema.gov> .

RELATED PROGRAMS:

97.056 Port Security Grant Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.059 TRUCK SECURITY PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Consolidated Security Disaster Assistance, and Continuing Appropriations Act of 2009, Public Law 110-329.

OBJECTIVES:

In FY 2009 the focus is on the adoption and implementation of the Transportation Security Administration's (TSA) voluntary security action items for transporting Tier I Security Sensitive materials; development of plans to improve effectiveness of transportation and distribution of supplies and commodities during catastrophic events; and the development of a system for DHS to monitor, collect, and analyze tractor trailer tracking information.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

In FY 2009 to implement security improvement measures and policies deemed valuable by DHS as indicated in the Security Action Items publication of June 26, 2008. These items are primarily focused on the purchase and installation or enhancement of equipment and systems related to tractor and trailer tracking systems. Funding will also be provided to develop a system for DHS to monitor, collect, and analyze tracking information, and to develop plans to improve the effectiveness of transportation and distribution of supplies and commodities during catastrophic events. See the appropriate year's program guidance for a detailed description of allowable uses and restrictions. Refer to program guidance.

Applicant Eligibility:

Have a current security plan and transport Tier 1 Commodities as defined by TSA for the Truck Security Action Item Initiative; no restrictions for the Monitoring, Analysis and Planning area..

Beneficiary Eligibility:

Interstate, Intrastate, State, and local.

Credentials/Documentation:

In FY 2009 eligibility for funding under the Truck Security Action Item Implementation Initiative is limited to applicants meeting the following criteria: Have a current security plan subject to Title 49 CFR 172.800 Transport Tier I commodities as defined by TSA through the issuance of Highway Security-Sensitive Materials (HSSM) Security Action Items. There are no restrictions on who may apply for funding under the Monitoring and Analysis and Planning Priorities. Stakeholder participation must be demonstrated through letter(s) of commitment. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information may be required for this program, depending on the nature and scope of the approved projects. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

none.

Renewals:

not applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. This program has no statutory formula. This program has matching requirements ranging from 25% to 50% for the Truck Security Action Item Implementation Initiative.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse,

not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$2,224,750; FY 10 est \$0; FY 11 est \$0 - No Current Data Available Fiscal Year 2010. No Current Data Available Fiscal Year 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awards to six trucking companies were made in FY2009. One of the awardees is currently outfitting its 131 tractors and 390 trailers with Qualcomms next generation OmniVision Mobile Computing Systems tracking systems that meet the latest operating requirements and technology standards. Additionally, the grantee will purchase Panic Button and Tractor Activation Capability for its entire tractor fleet. Lastly, it has implemented a Communications Plan that includes standard operating procedures (SOP) for communications between drivers, appropriate company personnel, and emergency services agencies. Fiscal Year 2010: No Current Data Available Fiscal Year 2010. Fiscal Year 2011: No Current Data Available Fiscal Year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the program guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security /FEMA Techworld, Control Desk, 4th Floor, Room: 427 500 C St. SW, Washington, District of Columbia 20427 Email: askesid@dhs.gov Phone: (800) 368-6498.

Website Address:

www.fema.gov.

RELATED PROGRAMS:

97.056 Port Security Grant Program ; 97.057 Intercity Bus Security Grants; 97.075 Rail and Transit Security Grant Program; 97.078 Buffer Zone Protection Program (BZPP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available Fiscal Year 2009. Fiscal Year 2010: No Current Data Available Fiscal Year 2010. Fiscal Year 2011: No Current Data Available Fiscal Year 2011.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.061 CENTERS FOR HOMELAND SECURITY

Centers of Excellence

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Section 1205, Public Law 110-53, 6 U.S.C 188(b); Emergency Wartime Supplemental Appropriations Act of 2003, Public Law 108-7.

OBJECTIVES:

Establishment of a coordinated, university-based system to enhance the Nation's homeland security. The Department of Homeland Security Centers are envisioned to be an integral and critical component of the new homeland security complex that will provide the Nation with a robust, dedicated and enduring capability that will enhance our ability to anticipate, prevent, respond to, and recover from terrorist attacks. These Centers will also provide a locus to attract and retain the Nation's best and brightest academic scholars in pursuit of homeland security related disciplines. The DHS Centers will complement other programs within the Department (including the Homeland Security Advanced Research Projects Agency) and in other Federal agencies that fund projects-focused research aimed at the development and deployment of specific homeland security technologies and capabilities. DHS Centers may also include cooperative center arrangements with other Federal agencies, or national laboratories.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Universities selected by DHS, may use grant funds for: (1) targeted research areas that leverage the multidisciplinary capabilities of universities and fill gaps in our needed knowledge and our ability to counter terrorist attacks; and (2) involve U.S. undergraduate, graduate, and post-doctoral students who are interested, dedicated and committed to making important contributions in science and technology endeavors that will enhance the overall security of the nation. See Program Guidance.

Applicant Eligibility:

Eligible applicants are accredited U.S. institutions of higher education.

Beneficiary Eligibility:

Public and private colleges and universities.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff for eligibility and responsiveness. Applications will undergo merit review by a panel of subject matter experts which may include federal and non-federal reviewers. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or program guidance document.

Appeals:

None.

Renewals:

Renewals of grant awards are based on performance, program plan, and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management

Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to announcement/program guidance or the award document.

Reports:

See Program Guidance. Required to submit a Cash Transaction Report (SF 272) to the Department of Health and Human Services Division of Payment Management with a copy of the SF 272 to the DHS Grants Officer. See Program Guidance. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 09 \$32,000,000; FY 10 est \$48,000,000; FY 11 est not reported. - FY 2011 Estimate not available.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, 245 Murray Lane, Building 410, Washington, District of Columbia 20523 Email: hsuniversityprograms@dhs.gov Phone: (202) 447-4000.

Website Address:

<http://www.sciencetosecurity.org>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.062 SCHOLARS AND FELLOWS, AND EDUCATIONAL PROGRAMS

(DHS Scholars and Fellows)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

The objectives of this program are: (1) increase the intellectual capacity, skills and talents, especially those of U.S. citizens in areas of relevance to homeland security; (2) to attract undergraduate and graduate students to obtain advanced degrees in disciplines of importance to homeland security; (3) provide advanced professional and research development opportunities for fellows, scholars and faculty in targeted areas; and (4) to increase a diverse and highly talented cadre of new and emerging science and technology expertise working in areas of importance and need to homeland security.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE; Project Grants

USES AND USE RESTRICTIONS:

Individuals must be U.S. citizens and employed or enrolled in an accredited U.S. institution of higher learning, e.g., university, college, etc. Funding for faculty and curriculum development. see announcement.

Applicant Eligibility:

All applicants must be U.S. citizens. Applicants must be a rising college junior or first or second year graduate student, or postdoctoral fellow, studying in one of the following areas including: computer science, engineering, life sciences, math, physical sciences, psychology, social sciences, DVM/PhD, and certain humanities. Applicants must be enrolled in full-time at accredited U.S. institutions. Applicants should have career and employment goals aligned with the mission and objectives of the U.S. Department of Homeland Security. Postdoctoral scientists and engineers who have held the doctorate degree less than five years at the time of application. Summer faculty fellows must have tenure or be tenure-tracked and be from a minority serving institution.

Beneficiary Eligibility:

Undergraduate Student, Graduate Student, Postdoctoral Scholars, and Minority Serving Institution Faculty.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Based on availability of funding and academic progress.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how

assistance is awarded/released: Payment based on Project need.

Reports:

Program reports are not applicable. Cash reports are not applicable. Participant feedback and progress reports are required. Expenditure reports are not applicable. Performance monitoring is not applicable.

Audits:

No audits are required for this program.

Records:

None.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 09 \$3,500,000; FY 10 est \$5,600,000; FY 11 est not reported. - FY11 Estimate not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, University Programs, 245 Murray Lane, Building 410, Washington, District of Columbia 20523 Email: universityprograms@dhs.gov Phone: (202) 447-4000.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

15.059 Indian Graduate Student Scholarships; 47.041 Engineering Grants; 47.049 Mathematical and Physical Sciences; 47.050 Geosciences; 47.070 Computer and Information Science and Engineering; 47.078 Polar Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.065 HOMELAND SECURITY ADVANCED RESEARCH PROJECTS AGENCY

(HSARPA)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Title 3, Section 307, Public Law 107-296.

OBJECTIVES:

To fund research and technology development in support of Homeland Security.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements or Contracts)

USES AND USE RESTRICTIONS:

HSARPA funds only project grants resulting from scientific and technical proposals for basic research, applied research and technology development in areas of science and technology to support homeland security research. These projects will promote revolutionary changes in technologies which would promote homeland security; advance the development, testing, and evaluation, and deployment of critical homeland security technologies; and accelerate the prototyping and deployment of technologies that would address homeland security vulnerabilities. All awards made under the affiliated Small Business

Innovation Research Program will be restricted as required by law and regulation. Funds provided for any other purpose will be specified explicitly in the award document.

Applicant Eligibility:

HSARPA performs its functions in part by awarding contracts, grants, cooperative agreements, or other transactions for prototypes or research to: public or private entities, public or private nonprofit institutions/organizations, businesses, profit organizations, Federally funded research and development centers, universities, individuals, specialized groups, and other private institutions/organizations.

Beneficiary Eligibility:

Beneficiaries are small and large businesses; public and private educational institutions, and profit and non-profit entities.

Credentials/Documentation:

One condition of HSARPA assistance will be acceptable professional qualifications and documented experience necessary to properly conduct the research solicited in the manner proposed. Another condition of assistance may be the ability of applicants to possess specified security clearances, processes and procedures. Applicants must not appear on the nonprocurement suspended or debarred list. In addition, the provisions of OMB Circulars Nos. A-21, A-87, A-88, A-110, A-122, and A-133 must be met, as applicable. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. An environmental impact statement is required for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Renewals of grant awards are based on performance, program plan, and availability of funds.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

As required by solicitation. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

See program announcement. See program announcement. See program announcement. See program announcement. Refer to the program guidance or the award document for frequency of reporting.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a

year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$0; FY 10 est \$2,500,000; FY 11 est not reported. - FY11 est not available.

Range and Average of Financial Assistance:

Refer to Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Homeland Security Act of 2002, Title III, Sec. 307 and 831, Public Law 107-296, and information contained at <http://www.dhs.gov>, <http://www.fedbizopps.gov>, and <http://www.baa.st.dhs.gov>. FAR, OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.

Regional or Local Office:

None.

Headquarters Office:

Grants and Financial Assistance Division, Office of Procurement Operations, Department of Homeland Security, Building 410, 245 Murray Lane SW, Washington, District of Columbia 20528 Phone: (202) 447-5589.

Website Address:

<http://www.dhs.gov>; <http://www.baa.st.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.066 HOMELAND SECURITY INFORMATION TECHNOLOGY RESEARCH, TESTING, EVALUATION AND DEMONSTRATION PROGRAM

Homeland Security Information Technology Research and Demonstration Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act, 2008, Public Law 110-161.

OBJECTIVES:

To foster and identify novel uses of existing "state-of-the-art" information technology that will remove barriers and/or improve information sharing and

integration of State and local public safety interoperability communications.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Allowable costs and any funding restrictions will be identified in the Program Announcement. No construction will be eligible for funding. This funding may only be used to supplement existing funding and not be used to supplant funding. See program guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, U.S. Territories and local governments.

Credentials/Documentation:

The applicant must complete a proposal as outlined in the funding opportunity announcement. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the funding opportunity announcement/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Extensions are at the discretion of DHS. Refer to the funding opportunity announcement or application guidance for information concerning renewals.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: refer to program guidance.

Reports:

see program guidance. see program guidance. see program guidance. see program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$3,500,000; FY 10 est \$3,500,000; FY 11 est not reported. - FY11 estimate not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grants and Financial Assistance Division, 245 Murray Lane, SW Bldg 410 Stop Code 0500, Washington, District of Columbia 20531 Phone: (202) 447-4000.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.067 HOMELAND SECURITY GRANT PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009 (Public law 110 - 329); Implementing Recommendations of 9/11 Commission Act of 2007, Public Law 110-53.

OBJECTIVES:

To enhance the ability of the State, local and tribal governments to prepare, prevent, respond to, and recover from terrorist attacks and other disasters. The Homeland Security Grant Program is the primary funding mechanism for building and sustaining national preparedness capabilities. HSGP is comprised of four separate grant programs.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

The HSGP is comprised of four separate grant programs: State Homeland Security Program (SHSP), Urban Areas Security Initiative (UASI), Citizen Corps Program (CCP), and Metropolitan Medical Response System (MMRS). Funds will be administered by the respective State Administrative Agency (SAA). Each SAA shall make no less than 80 percent of the total grant program amount available to local units of government within 45 days of the receipt of funds. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and designated recipients. Local units of government, as defined in the Department of Homeland Security Appropriations Act of 2005, refer to "any county, city, village, town, district, borough, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State."

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

States, as defined in the Homeland Security Act of 2002, refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and designated recipients. Local units of government, as defined in the Department of Homeland Security Appropriations Act of 2005, refer to "any county, city, village, town, district, borough, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. For specific application instructions, please refer to current program guidance.

Award Procedure:

Applications are assessed for anticipated effectiveness using a peer review process and plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

This program has no statutory formula. Matching Requirements: For more detailed formula and matching requirements on each of the individual grant programs, please refer to the current HSGP solicitation guidance. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Grantees are required to submit an Initial Strategy Implementation Plan (ISIP) within 60 days of the grant award date. SAPR Semi-annual progress report (SAPR) and Bi annual Strategy Implementation Reports (BSIR) are required semi-annually. The SAPR and BSIR are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final SAPR, BSIR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Formula Grants) FY 09 \$1,714,300,154; FY 10 est \$1,831,000,000; FY 11 est \$2,100,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This core assistance program provides funds to build capabilities at the state and local levels and to implement the goals and objectives included in state homeland security strategies and initiatives in their State Preparedness Report. Consistent with the Implementing Recommendations of the 9/11 Act of 2007 (Public Law 110-53) (9/11 Act), states are required to ensure that at least 25 percent of SHSP appropriated funds are dedicated towards law enforcement terrorism prevention-oriented planning, organization, training, exercise, and equipment activities, including those activities which support the development and operation of fusion centers. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security /FEMA Techworld Control Desk 4th Floor Room 427, 500 C St. SW, Centralized Scheduling and Information Desk

(CSID) , Washington, District of Columbia 20472 Email: askcsid@dhs.gov
Phone: (800) 368-6498.

Website Address:

<http://www.fema.gov> .

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.042 Emergency Management Performance Grants; 97.053 Citizen Corps; 97.071 Metropolitan Medical Response System; 97.073 State Homeland Security Program (SHSP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.069 AVIATION RESEARCH GRANTS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 188(b)(1)(c).

OBJECTIVES:

To encourage and support innovative, advanced, and applied research and development in areas of potential benefit to the long-term growth of civil aviation security.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants (Contracts); USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

The grants may be used to support research and development projects at colleges, universities, nonprofit and forprofit institutions in the area of System Security Technology. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. see program guidance.

Applicant Eligibility:

Public and private nonprofit institutions/organizations, colleges and universities, State, local and Indian Tribal Governments and for-profit organizations are eligible to benefit.

Beneficiary Eligibility:

Public and private nonprofit institutions/organizations (colleges and universities), State, local and Indian Tribal Governments and for-profit organizations are eligible to benefit.

Credentials/Documentation:

May be required by U.S. Statute, regulation or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant is notified of funding availability by electronic posting on <http://www.grants.gov>. The applicant will be advised on how to apply for the funding through the funding opportunity announcement and program guidance. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Multi-year grants or cooperative agreements are available; extensions are possible. No-cost extensions available upon request by the recipient and approval by the Grants Office.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

See program guidance. No cash reports are required. Frequency of interim reporting will be identified in the funding opportunity/program guidance document. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See program guidance. See program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 09 \$6,000,000; FY 10 est \$1,200,000; FY 11 est not reported. - FY11 Estimate not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars A-21, Cost Principles for Educational Institutions (relocated to 2 CFR, Part 220); A-87, Cost Principles for State, Local and Indian Tribal Governments (relocated to 2 CFR, Part 225); A-102, Grants and Cooperative Agreements with State and Local Governments; A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations (relocated to 2 CFR, Part 215); A-122, Cost Principles for Nonprofit Organizations (relocated to 2 CFR, Part 230); and A-133, Audits of States, Local Governments and Nonprofit Organizations. In addition to the OMB criteria, program regulations, guidelines, DHS policy and procedures will also apply.

Regional or Local Office:

See Regional Agency Offices. Office of Procurement Operations, Grants Office, Transportation Security Laboratory, W. J. Hughes Technical Center, Bldg 315, Atlantic City, NJ 08405. International Airport, Telephone: (609) 813-2839 or Fax:(609) 383-1973.

Headquarters Office:

Office of Procurement Operations, Grants Office, Transportation Security Laboratory, W. J. Hughes Technical Center, Bldg 315, International Airport, Atlantic City, New Jersey 08405 Phone: (609) 813-2834 Fax: (609) 383-1973.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.070 MAP MODERNIZATION MANAGEMENT SUPPORT

MMMS

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Flood Disaster Protection Act of 1973, as amended, 42 U.S.C 5121-5202; Housing and Urban Development Act of 1968 (also known as the National Flood Insurance Act of 1968), as amended, 42 U.S.C 4101; The National Flood Insurance Reform Act of 1994, Public Law 103-325, 42 U.S.C 4001.

OBJECTIVES:

To increase local involvement in, and ownership of, management of, the development and maintenance of flood hazard maps produced for the National Flood Insurance Program (NFIP).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The funds are authorized to supplement, not supplant (replace), ongoing flood hazard mapping management efforts by the local, regional, or State agency.

Applicant Eligibility:

All States and Commonwealths (including the District of Columbia and territories and possessions of the United States), regional agencies, and communities may apply. All applicants must be communities participating and in good standing in the NFIP or agencies which service participating NFIP communities.

Beneficiary Eligibility:

State; local; U.S. Territory & Possession; other public institution/organization; small business; engineer/architect; builder, contractor, developer; land/property owner; general public.

Credentials/Documentation:

Proposed Business Plans must be pre-approved by the FEMA regional office and all approved activities must ultimately contribute to the achievement of four over-arching goals of the Map Modernization Program. Refer to program guidance document for further information.

All applicants must be communities participating and in good standing in the NFIP or agencies which service participating NFIP communities. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula.

Matching Requirements: There are no matching requirements; however, Regional Directos shall determine individual levels of funding based upon the activities proposed and the level of commitment shown in the Business Plans, and how these activities will contribute toward the overall goals of map modernization. In addition applicants must be legislatively, administratively, and technically capable of providing proposed products or services. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5464-0-2-453.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est \$0 - Program not funded in Fiscal Year 2009

Fiscal Year 2010: No Current Data Available
Fiscal Year 2011: No Current Data Available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program not in funded FY 2009. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

As this program will not be funded in FY2010, annual program guidance is not available.

Regional or Local Office:

See Regional Agency Offices. FEMA Regional Offices will manage all program activities under the MMMS initiative. See Appendix IV of the Catalog.

Headquarters Office:

Federal Emergency Management Agency (FEMA) 245 Murray Lane
Building #410, Washington, District of Columbia 20523 Phone:
1-800-621-3363

Website Address:

<http://www.dhs.gov/>.

RELATED PROGRAMS:

97.045 Cooperating Technical Partners

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.071 METROPOLITAN MEDICAL RESPONSE SYSTEM

(MMRS)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Appropriations, Section 635 a, b, and c, Metropolitan Medical Response System Grant Program, Public Law 109-295; Ongoing Congressional appropriations have funded contracts with 124 MMRS jurisdictions.

OBJECTIVES:

The MMRS program supports the integration of emergency management, health, and medical systems into a coordinated response to mass casualty incidents caused by any hazard. Successful MMRS grantees reduce the consequences of a mass casualty incident during the initial period of a response by having augmented existing local operational response systems before the incident occurs.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Refer to grant program guidance document for specific use and use restriction information. States must obligate at least 97% of MMRS grant funds within 45 days.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, refer to means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and designated recipients. Local units of government, as defined in the Department of Homeland Security Appropriations Act of 2005, refer to "any county, city, village, town, district, borough, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission,

council of government, Indian tribe with jurisdiction over Indian country, authorized tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State."

Beneficiary Eligibility:

Local jurisdictions.

Credentials/Documentation:

Eligible States, as defined in the Homeland Security Act of 2002, refer to "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The SAA is the only agency eligible to apply for HSGP funds and is responsible for obligating HSGP funds to local units of government and designated recipients. Local units of government, as defined in the Department of Homeland Security Appropriations Act of 2005, refer to "any county, city, village, town, district, borough, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State." OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications are assessed for anticipated effectiveness using a peer review process and plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Semi-annual progress report (SAPR) and Biannual Strategy Implementation Reports (BSIR) are due within 30 days after the end of the reporting periods, which are June 30 and December 31, for the life of the award. Grantees are also required to submit quarterly financial reports. These reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial, SAPR and BSIR reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 09 \$39,831,404; FY 10 est \$41,000,000; FY 11 est not reported. - No Current Data Available for FY 2011. Obligations are included in 97.067.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The MMRS program supports the integration of emergency management, health, and medical systems into a coordinated response to mass casualty incidents caused by any hazard. Successful MMRS grantees reduce the consequences of a mass casualty incident during the initial period of a response by having augmented existing local operational response systems before the incident occurs. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For more requirements and guidance, please refer to the MMRS section of the HSGP solicitation guidelines and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, FEMA Office of Grant Programs 500 C Street SW, Centralized Scheduling and Information Desk (CSID), Washington, District of Columbia 20472-3625 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.053 Citizen Corps; 97.073 State Homeland Security Program (SHSP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.072 NATIONAL EXPLOSIVES DETECTION CANINE TEAM PROGRAM**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Federal Aviation Reauthorization Act of 1996, Public Law 104-264; Homeland Security Act of 2002, Public Law 107-296; Aviation and Transportation Security Act.

OBJECTIVES:

The National Explosives Detection Canine Program exists to deter and detect the introduction of explosives devices into the transportation system. In addition, bomb threats cause disruption of air, land and sea commerce and pose an unacceptable danger to the traveling public and should be resolved quickly. Explosives detection canine teams are a proven reliable resource to detect explosives and are a key component in a balanced counter-sabotage program. The use of highly trained explosives detection canine teams is also a proven deterrent to terrorism directed towards transportation systems and provides a timely and mobile response to support facilities, rail stations, airports, passenger terminals, seaports and surface carriers. Canine teams consist of a dog and a handler. There is a 3 team minimum.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Allowable costs are detailed in the terms and conditions of each Cooperative Agreement award. Allowable costs are detailed in the terms and conditions of each Cooperative Agreement award.

Applicant Eligibility:

Designated Airport Authority or State and local law enforcement agencies having responsibility for ensuring passenger, cargo, and commerce security.

Beneficiary Eligibility:

Airports, Airlines, mass transit, passenger rail system passengers, airlines, and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. TSA will contact potential participants of the program based upon established criteria for the specific mode of transportation. Proposals are not sought. No unsolicited applications are accepted. All applicants are pre-selected by TSA.

Award Procedure:

Recipients are pre-selected based on airport/mass transit-passenger rail security needs. Negotiations determine the scope of the award and a cooperative agreement is awarded.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

See Program Guidance.

Appeals:

None.

Renewals:

Every five years.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Refer to program guidance. Refer to program guidance. Quarterly progress reports. Quarterly financial reports. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0550-0-1-400.

Obligations:

(Cooperative Agreements) FY 09 \$26,895,905; FY 10 est \$27,204,500; FY 11 est \$27,204,500

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: NEDCTP expands into the Maritime Transport. ARENA with the addition of new participants DRBA and WSP. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative Agreement and attachments, Standard Operating Practices and Procedures, Applicable TSA Security Directives/Emergency Amendments.

Regional or Local Office:

None.

Headquarters Office:

Chief, Michael Prendergast 1900 Oracle Way, Suite 8000, Reston, Virginia 20598 Email: Michael.Prendergast@dhs.gov Phone: (703) 487-0064.

Website Address:

<http://www.tsa.gov> (click Security and Law Enforcement then Canine and Explosives Program).

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.073 STATE HOMELAND SECURITY PROGRAM (SHSP)

SHSP

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

U.S.A Patriot Act of 2001 , Public Law 107-56; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009 , Public Law 110-329.

OBJECTIVES:

To build capabilities to prevent, deter, respond to, and recover from incidents of terrorism at the State and local levels through planning, equipment, training, and exercise activities and support the implementation of State homeland security strategies and key elements of the national preparedness architecture, including the National Preparedness Goal, the National Incident Management System and the National Response Plan.

TYPES OF ASSISTANCE:

FORMULA GRANTS

USES AND USE RESTRICTIONS:

Funds may be used for planning, organization, equipment, training and exercises as related to the program objectives above, and will be administered by the respective State Administrative Agency (SAA). Each SAA shall make no less than 80 percent of the total grant program amount available to local units of government within 60 days of the receipt of funds. Refer to program guidance document.

Applicant Eligibility:

States, which includes any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States. The State Administering Agency (SAA), designated by the Governor to administer DHS grant funds, is the only agency eligible to apply for these funds and is responsible for obligating the funds to local units of government and other designated recipients. Local units of government and other designated recipients, as defined in the Conference Report accompanying the Department of Homeland Security Appropriations Act of 2006, refers to "any county, city, village, town, district, borough, parish, port authority, transit authority, intercity rail provider, commuter rail system, freight rail provider, water district, regional planning commission, council of government, Indian tribe with jurisdiction over Indian country, authorized Tribal organization, Alaska Native village, independent authority, special district, or other political subdivision of any State."

Beneficiary Eligibility:

General Public.

Credentials/Documentation:

Refer to program guidance document. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications are assessed for anticipated effectiveness using a peer review process and plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance document.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Statutory Formula: Title Homeland Security Act of 2002 as amended by the 9/11 Act.

Matching requirements are not applicable to this program.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Grantees are required to submit an Initial Strategy Implementation Plan (ISIP) within 60 days of the grant award date. Semi-annual progress report (SAPR) and Bi annual Strategy Implementation Reports (BSIR) are required semi-annually. The SAPR and BSIR are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final SAPR, BSIR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Formula Grants) FY 09 \$861,265,000; FY 10 est \$1,077,000,000; FY 11 est \$1,000,000,000

Range and Average of Financial Assistance:

No Current Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: This core assistance program provides funds to build capabilities at the state and local levels and to implement the goals and objectives included in state homeland security strategies and initiatives in their State Preparedness Report. Consistent with the Implementing Recommendations of the 9/11 Act of 2007 (Public Law 110-53) (9/11 Act), states are required to ensure that at least 25 percent of SHSP appropriated funds are dedicated towards law enforcement terrorism prevention-oriented planning, organization, training, exercise, and equipment activities, including those

activities which support the development and operation of fusion centers. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the SHSP section of the HSGP solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Office of Grant Programs, 245 Murray Lane, Bldg. #410, The Centralized Scheduling and Information Desk (CSID). CSID hours of operation are from 8:00 a.m. to 7:00 p.m. (Eastern Time), Monday through Friday., Washington, District of Columbia 20528 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.042 Emergency Management Performance Grants; 97.053 Citizen Corps; 97.071 Metropolitan Medical Response System

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.075 RAIL AND TRANSIT SECURITY GRANT PROGRAM**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Emergency Wartime Supplemental Appropriations Act of 2003, Public Law 108-11; The U.S. Troop Readiness, Veterans Care, Katrina Recovery, and Iraq Accountability Appropriations Act of 2007, Public Law 110-28; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act 2009, Public Law 110-329; Implementing Recommendations of the 9/11 Commission Act of 2007, Section 1406, Public Law 110-53.

OBJECTIVES:

To create sustainable programs for the protection of critical bus, rail, and ferry system infrastructure from terrorism, with special emphasis on the use of explosives and non-conventional threats which would result in major loss of life and severe disruption.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Programs included under the CFDA number are: the Transit Security Grant Program (TSGP), the TSGP Intercity Passenger Rail, and the TSGP Freight Rail Security Grant Program (FRSGP) Funds may be used for planning, organizational activities, equipment acquisitions, training, exercises, management and administrative activities, and other costs the Secretary deems appropriate to support the objectives of the program. The program guidance outlines uses and restrictions in detail.

Applicant Eligibility:

Starting in FY2009 awards are made directly to the transit agencies. The SAA is no longer the grantee. For FY2009 TSGP, the applicant is the transit system itself. Eligible agencies were determined by the UASI urban areas list and National Transit Database based on unlinked passenger trips: Tier I transit agencies in the eight highest risk urban areas, and Tier II all other eligible transit agencies. The TSGP guidance contains a list of eligible urban areas and respective transit agencies. The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under the TSGP Intercity Passenger Rail Security Supplemental. Agencies eligible for the

TSGP Ferry System Security Supplemental were identified using comprehensive, empirically grounded risk analysis modeling. The risk methodology for the IPP programs is consistent across the modes and is linked to the risk methodology used to determine eligibility for the core Department of Homeland Security State and local grant programs. The program guidance identifies the agencies eligible for funding.

Beneficiary Eligibility:

Specialized group; general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Agencies eligible were identified using comprehensive, empirically grounded risk analysis modeling. The risk methodology for the Infrastructure Protection Activities is consistent across the modes and is linked to the risk methodology used to determine eligibility for the core Department of Homeland Security State and local grant programs. The program guidance identifies the agencies eligible for funding. Each designated transit system is the only agency eligible to apply for transit mode (rail and bus transit and ferry service) funds and is responsible for obligating transit mode funds. The National Passenger Railroad Corporation (Amtrak) is the only entity eligible to apply for funding under the TSGP Intercity Passenger Rail. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Categorical Assistance Progress Reports (CAPR) are required semi-annually and are due within 30 days after the end of the reporting period (July 30 with a reporting period of January 1 through June 30, and January 30 with a reporting period of July 1 through December 31) for the life of the award. Grantees are also required to submit quarterly Financial Status Reports (FSR). The FSR are due 30 days after the end of each calendar quarter on January 30, April 30, July 30, and October 30. Final CAPR and FSR reports are due 90 days after the expiration or termination of grant award, whichever occurs first. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 09 \$388,600,000; FY 10 est \$288,000,000; FY 11 est \$1,100,000,000 - FY 2011 Authorized \$1,100,000,000.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: In FY 2009, the TSGP received a total of 71 applications from Tier I and Tier II agencies for a total dollar amount of \$422,632,347. After an extensive review of these applications by both FEMA and TSA, DHS made 59 awards for a total of \$348,600,000. These awards have helped to strengthen and enhance the Nations critical transportation infrastructure. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the program guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

Transportation Infrastructure Support Branch, 800 K Street NW, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

www.dhs.gov.

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.056 Port Security Grant Program ; 97.057 Intercity Bus Security Grants; 97.059 Truck Security Program; 97.067 Homeland Security Grant Program; 97.078 Buffer Zone Protection Program (BZPP)

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.076 NATIONAL CENTER FOR MISSING AND EXPLOITED CHILDREN (NCMEC)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act.

OBJECTIVES:

To support investigative and forensics support activities related to investigations of missing and exploited children, promote awareness of the child pornography tip line and Project Alert; and, in conjunction with other Federal law enforcement agencies, support the establishment and maintenance of a national resource center and clearinghouse dedicated to missing and exploited children issues.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The NCMEC award is designated for a private, nonprofit organization (NPO) which meets Congress's objectives and programmatic criteria for preventing the abduction, abuse, and sexual exploitation of children; and/or assisting law enforcement agencies in the investigation and recovery of those children. See program guidance.

Applicant Eligibility:

Designated private nonprofit institution/organization.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

See program guidance. Cash reports are not applicable. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See program guidance. See program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0400-0-1-751.

Obligations:

(Project Grants) FY 09 \$6,203,000; FY 10 est \$6,305,000; FY 11 est \$6,000,000

Range and Average of Financial Assistance:

Amount may vary.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The NCMEC toll-free hotline has received more than 150,000 calls from parents, law enforcement, prosecutors and citizens seeking assistance or asking for information regarding missing children issues. NCMEC has also disseminated thousands of publications and provided training to several hundred law enforcement officers and prosecutors regarding online crime against children and child protection issues. NCMEC's Cyber Tip Line has received over 165,000 reports of child pornography and child sexual exploitation. NCMEC continues to receive hundreds of hits on their web page daily. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please contact the headquarters office at the address listed below.

Regional or Local Office:

None.

Headquarters Office:

Michael Baucum, 245 Murray Drive, SW, Washington, District of Columbia 20528 Email: Michael.Baucum@uss.s.dhs.gov Phone: (202) 282-8000.

Website Address:

www.dhs.gov

RELATED PROGRAMS:

16.543 Missing Children's Assistance

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Application is reviewed by the U. S. Secret Service and subject-matter experts. Application is evaluated according to the program objectives and goals established by Congress.

97.077 HOMELAND SECURITY RESEARCH TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES RELATED TO NUCLEAR DETECTION**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

To provide funding and/or property for the purpose of conducting research, testing, evaluation, and demonstration of homeland security technologies related to nuclear detection intended to identify, counter, or respond to terrorist threats.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. see program announcement.

Applicant Eligibility:

States, local governments, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment is determined based on projects need.

Reports:

See program announcement. See program announcement. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. see program announcement. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

(Project Grants (Cooperative Agreements or Contracts)) FY 09 \$15,000,000; FY 10 est not reported.; FY 11 est not reported. - FY10 and FY11 Estimate not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Grants and Financial Assistance Division, Office of Procurement Operations, 245 Murray Lane, SW, Washington, District of Columbia 20528 Phone: (202) 447-5589.

Website Address:

<http://www.dhs.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.078 BUFFER ZONE PROTECTION PROGRAM (BZPP)

(BZPP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83) and the Homeland Security Act of 2002 (6 U.S.C. Section 101 et seq.).

OBJECTIVES:

The FY 2010 Buffer Zone Protection Program (BZPP), provides funds to increase the preparedness capabilities of jurisdictions responsible for the safety and security of communities surrounding high-priority Critical Infrastructure and Key Resource (CIKR) assets through planning and equipment acquisition.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

BZPP grant awards will be administered by the respective State Administrative Agency (SAA) and may be used for planning, equipment, and management of protective actions relating to the program objectives. The SAA will allocate program funds to the jurisdiction(s) responsible for the safety and security of the communities in which CI/KR sites are located. For additional information concerning BZPP grant award uses and restrictions, please refer to the program solicitation guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

States, as defined in the Homeland Security Act of 2002, means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States." The State's SAA is the only agency eligible to apply for BZPP grant funds and is responsible for obligating BZPP grant awards to the responsible jurisdiction(s) that have authority over the identified CIKR sites. The term "responsible jurisdiction" as used in the BZPP program guidance and application kit, refers to the primary agency (i.e. State, local, or tribal entity or unit of government), that has authority over the DHS identified CIKR facility and its buffer zone surrounding the facility.

Beneficiary Eligibility:

State and local governmental jurisdiction(s) responsible for the CI/KR sites.

Credentials/Documentation:

States, as defined in the Homeland Security Act of 2002, means "any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and any possession of the United States. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

none.

Renewals:

Funding is subject to reallocation each year, and may be consolidated with other programs.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements. Based on project need.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Federal Financial Reports (FFR) are required quarterly, which is due within 30 days of the end of each calendar quarter and Semi-Annual Assistance Progress Reports (SAPR) is due on a semi-annual basis. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 09 \$48,575,000; FY 10 est \$50,000,000; FY 11 est \$50,000,000

Range and Average of Financial Assistance:

Depending upon the assessment by DHS of the needs of identified CIKR facility; \$200,000 to \$5,200,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Buffer Zone Protection Program (BZPP), provided funds to increase the preparedness capabilities of jurisdictions responsible for the safety and security of communities surrounding high-priority Critical Infrastructure and Key Resource (CIKR) assets through planning and equipment acquisition. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For additional information on program requirements and guidance, please refer to the BZPP Program Guidelines and Application Kit.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Grant Programs Directorate, 500 C Street, SW, Washington, District of Columbia 20472-3625 Email: askcsid@dhs.gov Phone: (800) 368-6498.

Website Address:

http://www.fema.gov

RELATED PROGRAMS:

97.008 Urban Areas Security Initiative ; 97.073 State Homeland Security Program (SHSP)

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.080 INFORMATION ANALYSIS INFRASTRUCTURE PROTECTION (IAIP) AND CRITICAL INFRASTRUCTURE MONITORING AND PROTECTION

Information Analysis and Infrastructure Protection Pilot Projects Critical Infrastructure Monitoring and Protection Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Act., Public Law 107-296, as amended by Department of Homeland Security Appropriations Act, 2010, Public Law 111-83; FY2008 Consolidated Appropriations Act, Public Law 110-161; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act 2009, Public Law 110-329.

OBJECTIVES:

To provide financial or nonfinancial support to explore the feasibility and viability of commercially available protective measure technology to nonfederal entities, through pilot or demonstration projects, or as directed by Congress, to support monitoring of critical infrastructure, initiatives, programs and projects.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to pilot or demonstration projects of commercially available protective measures technologies, or as directed by Congress, to support infrastructure monitoring. Additional information concerning uses and restrictions is contained in the program guidance document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See program guidance.

Applicant Eligibility:

Funds are restricted to State, local government, private, public, profit or non-profit organization, Indian Tribal government or individuals specified by DHS program or Appropriation Statute, or guidance.

Beneficiary Eligibility:

State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS Appropriation Statute.

Credentials/Documentation:

Please refer to the pertinent program announcement or solicitation guidance document. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The funding opportunity announcement will identify if the program is subject to Executive Order 12372. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State

for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Contingent upon future appropriations. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Will vary by project. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

see program guidance. See Program Guidance. Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A110. The frequency of the reports will be identified in the Terms and Conditions of the award. See program guidance. see program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-5609-1-1-000; 70-0565-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$4,000,000; FY 10 est \$1,000,000; FY 11 est not reported. - FY11 estimate not available.

Range and Average of Financial Assistance:

As specified in the program announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

Grants and Financial Assistance Division, Office of Procurement Operations, Department of Homeland Security, 245 Murray Lane, Bldg 410, Washington, District of Columbia 20528 Phone: (202) 447-5589.

Website Address:

<http://www.dhs.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the program guidance document.

97.082 EARTHQUAKE CONSORTIUM

Earthquake Consortium and State Assistance

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Earthquake Hazards Reduction Act of 1977, as amended; The National Earthquake Hazards Reduction Act of 2004, as amended by Public Law 108-360, Public Law 95-124; The Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

The purpose of this funding opportunity is to support the Central U.S. Earthquake Consortium (CUSEC), Northeast States Emergency Consortium (NESEC), Western States Seismic Policy Council (WSSPC), Cascadia Region Earthquake Workgroup (CREW), Earthquake Engineering Research Institute (EERI), any state and locals, including the District of Columbia, the US Virgin Islands, the Commonwealth of Puerto Rico, Guam, American Samoa, the Trust Territories of the Pacific Islands, the Mariana Islands, and Indian tribal governments in: delivering education and training to community and State officials; developing seismic policies, sharing information, and promoting programs to reduce earthquake-related losses; reducing the loss of life, injuries, property losses, and social and economic disruption that results from all hazards.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements)

USES AND USE RESTRICTIONS:

Uses: For costs associated with providing guidance, technology transfer, and assistance to States and local communities with earthquake mitigation activities. Restrictions: This program is non-competitive and restricted to certain specialized/qualified organizations designated by FEMA. DHS grants may only be used for the purpose set forth in the grant and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other federal grants / cooperative agreements, or for lobbying or intervention in federal regulatory or adjudicatory proceedings. In addition, federal funds may not be used to sue the federal government or any other government entity. Pre-award costs are allowable only with the written consent of DHS and such costs must be reflected in the award agreement. All financial and progress reports must be current at the time of application. Refer to program guidance.

Applicant Eligibility:

Nonprofit Organizations designated by FEMA. The program is currently restricted to the following entities and organizations: CUSEC, NESEC, WSSPC, CREW, EERI, any state and locals, including the District of Columbia, the US Virgin Islands, the Commonwealth of Puerto Rico, Guam, American Samoa, the Trust Territories of the Pacific Islands, the Mariana Islands, and Indian tribal governments.

Beneficiary Eligibility:

States, locals, tribes, U.S. Territories, and general public.

Credentials/Documentation:

Credentials: This funding opportunity program is restricted to the following non-profit organizations: CUSEC, NESEC, WSSPC, CREW, EERI, any state and locals, including the District of Columbia, the US Virgin Islands, the Commonwealth of Puerto Rico, Guam, American Samoa, the Trust Territories of the Pacific Islands, the Mariana Islands, and Indian tribal governments. Documentation: Allowable costs and administrative requirements are determined by reference to OMB Circulars A-110, A-122, and A-87. State and Local government Cooperative Agreement requirements are determined by reference to OMB Circular A-102. For complete information on credentials and documentation, please refer to program guidance. OMB Circular No. A-87 applies to this program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. FEMA participates in the Administrations e-government initiative. As part of that initiative, all applicants must file their application using the Federal electronic storefront portal Grants.gov. Eligible applicants must apply through this portal, accessible on the Internet at <http://www.grants.gov>. Applications must include all forms and submissions as stipulated in the program announcement / guidance. Refer to program guidance for complete information on application procedures.

Award Procedure:

Applications will be reviewed by both the program office located in the FEMA Building Science Branch of the Risk Reduction Division within the Mitigation Directorate (DHS/FEMA/MT/RR/BS) and the Grants Program Directorate (GPD/OPS) for consistency with the goals and objectives stated in the guidance. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued. All financial and progress reports must be current at the time of application. Refer to program guidance for complete information regarding award procedures.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

A new application is required for each federal fiscal year.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Notification of award approval is made through the electronic Grants Management System (GMS). A successful applicant will receive notification detailing award specifics within 60-90 days of application. Once an award has been approved, notice is sent to the authorized grantee official. The period of performance shall be a maximum of twelve (12) months from date of award. Extensions to the period of performance will be considered only through formal requests to FEMA and will only be granted for specific and compelling reasons.

All extension requests must be submitted to FEMA at least sixty (60) days prior to the expiration of the grant period of performance. Any unobligated funds will be de-obligated at the end of the 90 day close-out period. Awards may be subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: For additional information, refer to program guidance.

Reports:

Grantees are required to submit semi-annual (quarterly if applicable) financial and performance reports. Semi-annual (Quarterly if applicable) Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each semi-annual or quarterly period: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. For additional information, refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year. For additional information regarding audit requirements, refer to program announcement/guidance.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0711-0-1-453.

Obligations:

(Project Grants (Cooperative Agreements)) FY 09 \$3,225,000; FY 10 est \$3,555,000; FY 11 est \$3,555,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fiscal Year 2009 resulting in the award of 33 cooperative agreements. These agreements allowed states to develop and update seismic mitigation plans, prepare inventories and conduct seismic safety inspections of critical structures and lifelines, and increase earthquake awareness and education. Further, these agreements allowed earthquake consortia to develop seismic policies and share information intended to reduce earthquake related losses through outreach and education to local governments and the business community. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR, Part 361, National Earthquake Hazards Reduction Assistance to State and Local Governments and annual memorandum guidance issued by the FEMA Federal Insurance and Mitigation Directorate.

Regional or Local Office:

None.

Headquarters Office:

Robert Franzen or Claudette Fetterman, 500 C Street SW, Washington, District of Columbia 20472 Phone: (202) 212-2329 or (202) 646-434

Website Address:

www.FEMA.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.083 STAFFING FOR ADEQUATE FIRE AND EMERGENCY RESPONSE (SAFER)

(SAFER ACT)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Federal Fire Prevention and Control Act of 1974, 15 U.S.C 2201 et seq.

OBJECTIVES:

To increase the number of firefighters in local communities and to help them meet industry minimum standards and attain 24/7 staffing for adequate protection against fire and fire-related hazards, and fulfill related roles associated with fire departments.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Not all fire departments will be eligible to apply. Training, equipment, over-time, and administrative expenses are not eligible. Individuals seeking personal assistance are not eligible. This program is intended to assist fire departments and recognized organizations as identified in the program guidance document and application package; and only those fire departments and organizations as specified in the program guidance, are eligible. Funds may be used to recruit, hire, and retain firefighters, but cannot supplant (replace) the applicants funds budgeted for that purpose. Recipients of funds for hiring of new firefighters must commit to maintaining their pre-existing (pre-grant) staffing level, and retaining personnel hired with grant funds, for at least one (1) year beyond the end of the four (4)-year grant period. Thus, recipients must maintain pre-existing staffing levels and retain grant funded personnel throughout the five year period of performance. Federal funds provided are limited for each position. From FY 05 through FY 08, the funds were provided on a sliding scale, recipients must initially match 10 percent of the Federal share the first year. Recipient match increases by certain percentages in the second, third, and fourth years, culminating in 100 percent of personnel costs (per position), in the fifth year and beyond. In FY 09 and FY 10, the grantees will be allowed to draw funds without complying with the preRefer to the program guidance for further information. This program is restricted to the jurisdictions/organizations described in the program guidance. For specific information, refer to the program guidance. In summary, for the purpose of this program, "State" is defined as the fifty States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Applicant Eligibility:

This program is restricted to the jurisdictions/organizations described in the program guidance. For specific information, refer to the program guidance. In summary, for the purpose of this program, "State" is defined as the fifty States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, American

Samoa, and the Commonwealth of the Northern Mariana Islands. The Alaska Village Initiative, a nonprofit organization incorporated in the State of Alaska, shall also be considered eligible for purposes of receiving assistance under this program on behalf of Alaska Native villages. A "fire department" is defined as an agency or organization that has a formally recognized arrangement with a State, territory, local, or tribal authority (city, county, parish, fire district, township, town, or other governing body) to provide fire suppression on a first-due basis to a population within a fixed geographical area. Fire departments may be comprised of members who are all volunteer, combination volunteer/career, or all career.

Beneficiary Eligibility:

Local or tribal communities serviced by the fire department including, local businesses, homeowners and property owners.

Credentials/Documentation:

Applicant must certify that they are an eligible applicant, i.e., a fire department, as described in the program guidance. Refer to program guidance document for specific information. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are peer reviewed and subsequently also reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Applicants that receive an adverse decision or denial, can request reconsideration of the decision. Request for reconsideration must be submitted in writing, to the address contained on the program's website within 30 days of the notification of denial by the administering program office.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Any hiring grant made under this program has a match requirement. Refer to program guidance document for hiring grant match percentages in the first through and including the fifth year; recipient's match percentages increase each year. The recruitment and retention grants do not have any local match requirements. Refer to program guidance document for specific information.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The hiring grants have a five-year performance period. The recruitment and retention grants are made in one-year increments up to a possible four-year period of performance. Refer to program guidance for further details. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on project need.

Reports:

Refer to program guidance document. Refer to program guidance document.

Quarterly progress reports. Quarterly Financial Status Reports. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0561-0-1-999.

Obligations:

(Project Grants) FY 09 \$210,000,000; FY 10 est \$420,000,000; FY 11 est \$420,000,000

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program office is still in the process of awarding 2009 SAFER awards and to date has awarded 63 grants totaling approximately \$92 million. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

U.S.C. 2201 et seq.; Fire Prevention and Control Act of 1974.

Regional or Local Office:

See Regional Agency Offices. SAFER grants are directed from the FEMA Headquarters Office. There are 10 regional offices in FEMA who provide monitoring and oversight of FEMA grantees.

Headquarters Office:

Department of Homeland Security/FEMA Techworld, 500 C St., SW, Control Desk, 4th Floor Rm 427, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (1-866) 274-0960.

Website Address:

<http://www.firegrantsupport.com/safer>.

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.084 HURRICANE KATRINA CASE MANAGEMENT INITIATIVE PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Robert T. Stafford Disaster Relief and Emergency Assistance Act as amended by Public Law 106-390, including Section 701(b); Title 44 Code of Federal Regulations.; Homeland Security Act of 2002, Public Law 107-296, as amended, Title 1, Section 102.

OBJECTIVES:

To provide case management services for displaced persons from Presidentially-declared disaster areas affected by Hurricane Katrina.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

This award is designated for a private, nonprofit organization (NPO) which meets FEMA's national programmatic criteria to act as a lead agency for 6-12 designated private nonprofit organizations, to provide leadership and management of a National Case Management Consortium (NCMC). This consortium will provide case management services to the affected population from Presidentially declared disaster areas affected by Hurricane Katrina.

Applicant Eligibility:

Unsolicited applications will not be accepted. Only the national-scope private nonprofit organization designated by FEMA to manage the NCMC is eligible.

Beneficiary Eligibility:

Displaced persons from Presidentially-declared disaster areas affected by Hurricane Katrina.

Credentials/Documentation:

Unsolicited applications will not be accepted. Only the national-scope private nonprofit organization designated by FEMA to manage the NCMC is eligible. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. FEMA will accept an application from the designated Private Nonprofit Organization to fund and establish a National Case Management Consortium (NCMC) with execution of FEMA Form 20-16 and a grant proposal.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30,

and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-8244-0-7-453.

Obligations:

(Project Grants) FY 09 not reported.; FY 10 est \$0; FY 11 est not reported. - This CFDA will remain open until closeout of all grants.

Range and Average of Financial Assistance:

66,000,000.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR.

Regional or Local Office:

None.

Headquarters Office:

Federal Coordinating Officer, DHS/FEMA 500 C Street, SW, Washington, District of Columbia 20472 Phone: (202) 646-3642 Fax: (202) 646-2730.

Website Address:

<http://www.fema.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.086 HOMELAND SECURITY OUTREACH, EDUCATION, AND TECHNICAL ASSISTANCE

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 101 et. seq.

OBJECTIVES:

To provide funding for outreach, education, and technical assistance in order to raise public awareness of homeland security issues and to work with communities to help them forge partnerships across agencies and disciplines to address preparedness and response. Outreach and technical assistance may take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops or training sessions.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. DHS grant funds may only be used for the purpose set forth in the cooperative agreement, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any other government entity. Refer to program guidance document.

Applicant Eligibility:

In general, States, local governments, institutions of high education, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute. Specific applicant eligibility will be identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Refer to program guidance document.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

"Earmarked" or one-time funding amounts and any match requirements are specified in the Program or Appropriation Statute, regulation or program guidance, and are identified in the funding opportunity announcement.

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: The recipient shall be paid in advance by electronic payments through the U.S. Department of

Health and Human Services (HHS) Payment Management System (SMARTLINK), provided it maintains or demonstrates the willingness and ability to minimize the time elapsing between the transfer of funds and disbursement of expenditures. When these requirements are not met, the recipient will be required to use the reimbursement method of payment processing.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$0; FY 11 est not reported. - No Current Data Available for FY 2010 and FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Headquarters Office, 425 Murray Drive, Washington, District of Columbia 20528 Phone: (202) 447-5689.

Website Address:

www.dhs.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.088 DISASTER ASSISTANCE PROJECTS

(Earmarked Projects or Limited Scope Disaster Projects.
Restricted to entities designated by DHS or congressional statute.)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Public Health Bioterrorism and Public Health Emergencies Act of 2002, Public Law 107-188; Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288; Homeland Security Act, Public Law 107-296.

OBJECTIVES:

This category is not for unsolicited proposals. Provides funding that has been designated by Congressionally appropriated authorization, generally for a specified project, or to provide unique or limited scope funding for certain Disaster Assistance Projects identified by congress or a DHS program office.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Funding is restricted to nonfederal entities invited to apply by DHS or specified in DHS Appropriation Statute.

Applicant Eligibility:

Funds are restricted to nonfederal entities, e.g. State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS or U.S. Appropriation Statute.

Beneficiary Eligibility:

State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by U.S. Appropriation Statute.

Credentials/Documentation:

Credentials or other documentation may be required by the U.S. Appropriation Statute or other statute by project.

Funds are restricted to nonfederal entities, e.g. State, local government, private, public, profit or nonprofit organization, Indian Tribal government or individual specified by DHS or U.S. Appropriation Statute. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

The applicant is notified of funding availability through letter or electronic mail communication. The applicant will be advised on how to apply for the funding through this notification. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. An application (SF 424, budget, program or project narrative and assurances) or plan must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified by the U.S. Appropriation Statute.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval time depends on the type of project to be funded.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: Funding amounts and match requirements are specified in the program guidance document.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Mandated in the appropriation or identified in the application or plan submission. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Financial and progress reports must be submitted in compliance with OMB Circular A-102 or A-110. The frequency of the reports will be identified in the "Terms and Conditions" of the award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for three years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0702-0-1-760.

Obligations:

(Project Grants) FY 09 \$10,696,650; FY 10 est not reported.; FY 11 est not reported. - Estimate not available for FY 2010 and 2011.

Range and Average of Financial Assistance:

Each "earmark" or project funding is designated by the appropriation statute or identified by the program office.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FEMA is funding a disaster case management pilot program with the State of Louisiana to provide disaster case management services to clients impacted by Hurricanes Katrina and Rita through March 31, 2010.

FEMA is funding a disaster case management pilot program with the Mississippi Commission for Volunteer Services (MCVS) to provide disaster case management services to clients residing in Mississippi impacted by Hurricanes Katrina and Rita that are residing in Mississippi. The program will continue to deliver services through August 30, 2010.

FEMA is funding a disaster case management pilot program with the Texas Health and Human Services Commission to provide disaster case management services to clients in Texas who were impacted by Hurricane Ike. The program will continue to deliver services through September 30, 2010. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars, A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with Stat, and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Department of Homeland Security/FEMA Techworld, Control Desk, 4th floor Room 427, 500 C St., SW. The Centralized Scheduling and Information Desk

(CSID). CSID is open from 8:00am to 7:00pm., Eastern Standard Time, Monday through Friday., Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

http://www.fema.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.089 DRIVER'S LICENSE SECURITY GRANT PROGRAM

Driver's License Security Grant Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Public Law 111-83, Department of Homeland Security Appropriations Act, 2010 (Title III Protection, Preparedness, Response, and Recovery); Public Law 110-53, Implementing Recommendations of the 9/11 Commission Act of 2007; and Public Law 109-13, Real ID Act of 2005.

OBJECTIVES:

This program provides funding to prevent terrorism, reduce fraud and improve the reliability and accuracy of personal identification documents that states and territories issue. The program is intended to address a key recommendation of the 9/11 Commission to improve the integrity and security of State-issued drivers licenses (DL) and identification cards (IC).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to State Drivers License Agencies (DLA), also known as State Departments of Motor Vehicles (DMV) or Motor Vehicle Administrations (MVA) that are responsible for issuing driver's licenses. Other eligible applicants include State Public Safety Agencies or Departments, which may apply on behalf of the State DMV/MVA.

Funds may be used in accordance with uses defined in the program guidance document/application kit. Allowable funding categories include: management and administration, planning, equipment, and maintenance and sustainment.

Applicant Eligibility:

The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program.

Beneficiary Eligibility:

State, U.S. Territory and local governments, intrastate, interstate, small business, general public, other public institution/organizations.

Credentials/Documentation:

If required, will be identified in the program guidance/solicitation. The issuing authority for motor vehicle licenses in each State or territory, as identified by the DHS, is the only agency eligible to apply for the Driver's License Security Grant Program. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded

from coverage under OMB Circular No. A-110. Unsolicited applications from entities other than those listed in the "Applicant Eligibility" above, will not be accepted. Eligible applicants must apply on-line using Grants.gov. The Driver's License Security Grant Program application process will require: Narrative to include a description of current resource management capabilities to develop, implement, and manage the programmatic requirements associated with the Driver's License Security Grant Program funding; a proposed work plan; a budget and budget narrative addressing the Driver's License Security Grant Program project related costs and expenses. Applications submitted online must contain information and meet the requirements outlined in the Driver's License Security Grant Program solicitation and program guidance.

Award Procedure:

Applications are reviewed by DHS policy and grant management staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The program and administrative office will evaluate applications and award funds within 60 days of receipt of applications.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. This program has no matching requirements. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

The period of performance for DLSSGP grants is 36 months. See the following for information on how assistance is awarded/released: Payment based on project need. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Progress Reports are due semi-annually within 30 days after the end of the reporting periods. Reports are due: July 30 and January 30. The Final Progress Report is due 90 days after the expiration date of the award period. These reports should consist of a brief narrative discussing achievements to date, and progress towards annual goals, and any issues which may need to be addressed. Required Financial Status Reports (SF 269A) are due quarterly after the end of the reporting period. Reports are due: April 30, July 30, October 30, and January 30. The Final Financial Report is due 90 days after the expiration date of the award period. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency no later than 9 months after the end of the grantees fiscal year.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to a grant shall be retained for a period of at least 3 years after the grant has been closed or until an audit has been conducted that does not show any questionable costs.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 09 \$50,000,000; FY 10 est \$50,000,000; FY 11 est not

reported. - Budget Fiscal Year 2011 estimate not available.

Range and Average of Financial Assistance:

Will be identified in the solicitation/program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Program funds are used to develop plans, conduct training and exercises to validate plans, procure equipment, and hire personnel to help in managing and administering the grant funds. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, FEMA Grant Programs Directorate, 800 K Street, NW, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Will be identified in the solicitation/program guidance.

97.090 LAW ENFORCEMENT OFFICER REIMBURSEMENT AGREEMENT PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

6 U.S.C. 203; 49 U.S.C. 106(m) and 114(m); 49 U.S.C. 114(g), 44901(g), 44903(e) and 44922(f).

OBJECTIVES:

To support deployment of local law enforcement officers at airport screening checkpoints in accordance with CFR 1542.215 and 217.

TYPES OF ASSISTANCE:

DIRECT PAYMENTS FOR A SPECIFIED USE

USES AND USE RESTRICTIONS:

Airports are partially reimbursed for the costs of local law enforcement officers deployed to airport passenger screening checkpoints. Refer to program guidance document or contact the administering program office for specific information. Restricted to state, local, or other public institution/organizations responsible for commercial airport operations within their jurisdiction. Refer to program guidance document or contact the administering program office for specific information.

Applicant Eligibility:

State, local, or other public institution/organizations responsible for commercial airport operations within their jurisdiction, as specified in U.S. statute or TSA program guidance document and regulations.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact

information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. The airport operator contacts the Federal Security Director (FSD) responsible for their airport to request a cooperative agreement. The FSD gathers information from the airport, and local law enforcement agencies, regarding passenger checkpoint staffing, flexible response time, checkpoint hours, number and size of checkpoints, current hourly rate of officers, existing resources, and other factors that could help determine the need for financial assistance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0550-0-1-402.

Obligations:

(Direct Payments for Specified Use) FY 09 \$62,949,907; FY 10 est \$62,687,559; FY 11 est \$62,000,000

Range and Average of Financial Assistance:

Varies by airport category, checkpoint operation hours, geographical location,

and other factors.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Executed over 330 Cooperative Agreements. Fiscal Year 2010: Executed over 325 Cooperative Agreements. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Cooperative Agreement, Standard Operating Practices and Procedures, Applicable TSA Security Directives/Emergency Amendments.

Regional or Local Office:

None.

Headquarters Office:

Leo Ra, 601 S. 12th Street, Arlington, Virginia 22203 Phone: (571) 227-1532.

Website Address:

www.tsa.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.091 HOMELAND SECURITY BIOWATCH PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 308(b)(1)(c).

OBJECTIVES:

To provide funding and/or property for the conduct of operations and other related activities intended to identify, counter, or respond to biological and chemical threats.

TYPES OF ASSISTANCE:

PROJECT GRANTS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement, program guidance, and award provisions. See program announcement.

Applicant Eligibility:

Generally, State and local governments or as specified by U.S. Appropriation Statute. Specific applicant eligibility will be identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. An application (SF-424, Budget, program or project narrative and assurances) must be submitted that outlines the implementation of the project and expenditure of funds in response to the project identified through the announcement.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff, concerns negotiated with the applicant, and award processed.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

The range of approval depends on the type of project to be funded.

Appeals:

Not Applicable.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Specified in the funding opportunity announcement. See the following for information on how assistance is awarded/released: See program announcement.

Reports:

Program and progress reports must be submitted and are identified in the Terms and Conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Program and progress reports must be submitted and are identified in the Terms and Conditions of the award. Financial reports submission are identified in the Terms and Conditions of the award. Requirements are identified in the Terms and Conditions of the award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documentation and all other records to validate the performance of the grant or cooperative agreement must be retained for 3 years from the date the final financial status report is submitted to the DHS.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 09 \$21,000,000; FY 10 est \$24,600,000; FY 11 est not reported. - 2011 Estimate not available.

Range and Average of Financial Assistance:

Specified in the announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos., A-21 Cost Principles for Educational Institutions, A-87 Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

CDR Dan Yereb, Early Detection Division, Office of Health Affairs,

Department of Homeland Security, Washington, District of Columbia 20528
Phone: (202) 254-6712.

Website Address:

<http://www.dhs.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Earmark or as identified in the funding opportunity announcement or program guidance.

97.092 REPETITIVE FLOOD CLAIMS

RFC

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Act of 1968, 42 U.S.C 4001 et seq.

OBJECTIVES:

To assist States, Indian tribal governments, and communities to reduce or eliminate the long-term risk of flood damage to structures insured under the National Flood Insurance Program (NFIP) that have had one or more claims for flood damages through mitigation activities that are in the best interest of the National Flood Insurance Fund (NFIF).

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Indian tribal governments, and communities may apply for RFC project grants for activities that reduce or eliminate the long - term risk of flood damage to structures, insured under the National Flood Insurance Program (NFIP), that have had one or more claims for flood damages. This may include the acquisition of insured structures for the purpose of converting flood-prone land back to open space use; elevation of existing structures; dry floodproofing; and minor localized flood reduction projects.

Applicant Eligibility:

State emergency management agencies or a similar office (i.e., the office that has primary emergency management or floodplain management responsibility) of the State; the District of Columbia; the U.S. Virgin Islands; the Commonwealth of Puerto Rico; American Samoa; the Commonwealth of the Northern Mariana Islands; and Federally - recognized Indian tribal governments. Specific information on sub-applicant eligibility, and application procedures for Federally-recognized Indian tribal governments, is provided on the SRL program guidance.

Beneficiary Eligibility:

States, communities, and land/property owners.

Credentials/Documentation:

Specific information on sub-applicant eligibility, and application procedures for Federally-recognized Indian tribal governments, is provided in the Severe Repetitive Loss (SRL) program guidance.

Grant awards will be determined in accordance with OMB Circulars No. A-102 and No. A-87 for State and local governments. Awards made to Institutions of Higher Education, Hospitals and Other Non-Profit Organizations will be required to follow the requirements of OMB Circulars No. A-110 and No. A-21.

Federally-recognized Indian tribal governments and local governments that are sub-applicants must be participating in the NFIP. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or

her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: DHS FEMA may contribute up to 100 percent of the eligible costs of activities that target eligible properties.

MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

(Project Grants) FY 09 \$10,000,000; FY 10 est \$10,000,000; FY 11 est \$10,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awarded \$8.0 million in assistance grants for mitigation activities affecting 44 Properties; Aligned all four pre-disaster mitigation programs into a unified Hazard Mitigation Assistance (HMA) program guidance and application cycle. This comprehensive approach helped FEMA accomplish the HMA objectives of efficiency and standardization, thus creating a platform for future updates and refinements of program policies and practices to make them more unified and user-friendly; Engaged partners through the SRL Focus Workgroup, the External Stakeholder Workgroups, the HMA Summit and HMA stakeholder training to help identify programmatic and process efforts that will enable both stakeholders and FEMA to more efficiently and effectively deliver the RFC program. FEMA will continue to evaluate the program requirements and processes and the award processes to determine where refinements can be made that improve the overall effectiveness and delivery of the program. Fiscal Year 2010: Align all five mitigation programs into a unified Hazard Mitigation Assistance (HMA) program guidance; Provide opportunities for transparency and partnership with States, territories, and tribal and local governments through the HMA Summit, the External Stakeholders Workgroup, focus groups and training courses; Provide up to \$10.0 million in grant funds for mitigation activities affecting approximately 40 properties. These measures are expected to provide a savings to the National Flood Insurance Program of approximately \$20.0 million in reduced claims payments. Fiscal Year 2011: Identify and implement program improvements to increase the effectiveness of the RFC program. The enhanced cost-effectiveness approach for the SRL program will be considered for use in the RFC program; Continue to provide opportunities for transparency and partnership with States, territories, and tribal and local governments through the HMA Summit, the External Stakeholders Workgroup, focus groups and training courses; Provide up to \$10.0 million in grant funds for mitigation activities affecting approximately 40 properties.

REGULATIONS, GUIDELINES, AND LITERATURE:

Hazard Mitigation Assistance Unified grant program guidance, which is available to the public on the FEMA internet site:
<http://www.fema.gov/government/grant/rfc/index.shtm>

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for a listing of addresses of FEMA's Regional Offices.
<http://www.fema.gov/government/mitigation.shtm>.

Headquarters Office:

Lloyd Hake, 1800 South Bell Street, Arlington, Virginia 20595-3015 Email: Lloyd.Hake@dhs.gov Phone: (202) 646-3428.

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.110 Severe Loss Repetitive Program

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.093 FIRE SERVICE HAZARDOUS MATERIALS PREPAREDNESS AND RESPONSE**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Federal Fire Prevention and Control Act of 1974, Section 21, Public Law 93-498; Federal Emergency Management Agency, Executive Order 12127, , Executive Order 44FR 19367, 3CFR, 1979 Com P 376; U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

Provide information to the fire and emergency services community, emergency managers, and other local government officials concerning issues related to the planning, mitigation, prevention, and response to hazardous materials incidents which includes acts of terrorism.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to recipient designated by Congressional Statute or DHS, and limited in scope to the project description for the purpose of information sharing related to Hazardous Materials and acts of terrorism. Refer to project description or administering program office for specific information. Unsolicited applications for this program will not be accepted. Restricted to recipient designated by Congressional statute or DHS, and limited in scope to the project description for the purpose of information sharing related to Hazardous Materials and acts of terrorism. Refer to project description or administering program office for specific information. Unsolicited applications for this program will not be accepted.

Applicant Eligibility:

Designated Private nonprofit institution/organization.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Refer to program guidance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: see program guidance.

Reports:

Refer to program guidance. . Quarterly progress reports. quarterly Financial Status Reports. .

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June

27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 09 \$50,000; FY 10 est \$50,000; FY 11 est \$50,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: A clearinghouse of information is maintained by the designated non-profit organization regarding hazardous materials (HAZMAT)/terrorism preparedness and response issues. This information is provided to local first responders to support and assist in planning, training, exercises, and sustaining emergency response capabilities. A Homeland Protection & Security Weekly publication, detailing HAZMAT and Terrorism-related incidents and information is distributed to fire/emergency services, local officials, Federal and state agencies, and the public. Support is also provided to defray participant's attendance costs to Hazardous Materials Conferences. Fiscal Year 2010: A clearinghouse of information is maintained by the designated non-profit organization regarding hazardous materials (HAZMAT)/terrorism preparedness and response issues. This information is provided to local first responders to support and assist in planning, training, exercises, and sustaining emergency response capabilities. A Homeland Protection & Security Weekly publication, detailing HAZMAT and Terrorism-related incidents and information is distributed to fire/emergency services, local officials, Federal and state agencies, and the public. Support is also provided to defray participant's attendance costs to Hazardous Materials Conferences. Fiscal Year 2011: A clearinghouse of information is maintained by the designated non-profit organization regarding hazardous materials (HAZMAT)/terrorism preparedness and response issues. This information is provided to local first responders to support and assist in planning, training, exercises, and sustaining emergency response capabilities. A Homeland Protection & Security Weekly publication, detailing HAZMAT and Terrorism-related incidents and information is distributed to fire/emergency services, local officials, Federal and state agencies, and the public. Support is also provided to defray participant's attendance costs to Hazardous Materials Conferences.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

Fire Administration, 500 C Street, S.W., Washington, District of Columbia 20472 Phone: (800) 238-3358.

Website Address:

<http://www.usfa.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.094 PREVENTION ADVOCACY RESOURCES AND DATA EXCHANGE PROGRAM

(PARADE)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56; Federal Emergency Management Agency, Executive Order 12127; The Federal Fire Prevention and Control Act of 1974, Public Law 93-498; Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

To assist State and local fire marshals to implement prevention programs and conduct other networking activities with their counterparts. Provide financial assistance to the Prevention Advocacy Resources and Data Exchange (PARADE) regional networks to support exchange and sharing of fire prevention/protection related materials, risk analysis, hazard reduction, best practices, trend analysis, and related information.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to the 10 PARADE Regions to support the exchange and sharing of fire prevention/protection materials and information. Refer to program guidance document or administering program office for specific information.

Applicant Eligibility:

A State or metropolitan fire department fire marshal or appointed International Fire Marshal's Association (IFMA) representative in each of the regions served by the program.

Beneficiary Eligibility:

State and local; general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Requests for extension to the grant award must be supported by adequate justification, and can only be authorized in writing by the DHS/U.S. Fire Administration Procurement Officer with the concurrence of the U.S. Fire Administration Program Office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
This program has no matching requirements.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

See Program Guidance. See Program Guidance. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of the each quarter; January 30, April 30, July 30, October 30. Final financial and performance reports are due 90 days after the expiration or termination of the grant award. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 09 \$24,000; FY 10 est \$30,000; FY 11 est \$30,000

Range and Average of Financial Assistance:

Refer to Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Fostered the exchange of fire prevention/protection information among Federal, State, and local levels of government. Fiscal Year 2010: No Current Data Available Fiscal Year 2010. Fiscal Year 2011: No Current Data Available Fiscal Year 2011.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles

for Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program, Tech World Bldg - South Tower 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (800) 238-3358.

Website Address:

http://www.fema.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals. Only a single proposal from each of the 10 regions will be accepted for review.

97.095 SAFE KIDS WORLDWIDE

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

U.S.A. Patriot Act of 2001, Public Law 107-56; the Federal Fire Prevention and Control Act of 1974, Public Law 100-476; Federal Emergency Management Agency, Executive Order 12127; Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

Provide financial assistance to reduce fire deaths and injuries among children under the age 14 by educating them in fire safety awareness, fire safety techniques, and providing fire safety equipment.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funds are used to support local coalitions and their high-risk communities and to develop fire and burn education and prevention materials targeting high-risk populations.

Applicant Eligibility:

Qualified nonprofit organization designated by DHS, statutes, and regulations. Unsolicited applications will not be accepted.

Beneficiary Eligibility:

Individual/family; minority group.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Requests for modifications to the grant award can only be authorized in writing by the DHS Assistance Officer with the concurrence of the administering program office. Requests for modifications must be supported by adequate justification.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to Program Guidance.

Reports:

See Program Guidance. See Program Guidance. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Salaries) FY 09 \$200,000; FY 10 est \$200,000; FY 11 est \$200,000

Range and Average of Financial Assistance:

Refer to Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Continued to educate parents, caregivers, and children about the high risk of fire deaths and injuries for children, and possible prevention by behavior modification. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost

Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program, Tech World Bldg - South Tower, 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960.

Website Address:

http://www.dhs.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.097 TRAINING RESOURCE AND DATA EXCHANGE

(TRADE)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; The Federal Fire Prevention and Control Act of 1974, Section 21, Public Law 93-498; U.S.A. Patriot Act of 2001, Public Law 107-56; Executive Order 12127.

OBJECTIVES:

To support the national exchange and sharing of fire/emergency service training related materials and information.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Grant funds are to be used within the TRADE regions to support the exchange and sharing of training related materials and information. Refer to program guidance document or administering program office for specific information. Eligibility is restricted to TRADE network participants consisting of the directors of the 50 State fire service training systems and senior executive training officers from the Nation's largest fire departments.

Applicant Eligibility:

Specialized group; TRADE network participants consisting of the directors of the 50 State fire service training systems and senior executive training officers from the Nation's largest fire departments.

Beneficiary Eligibility:

State and local; general public.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

One grant will be issued to each of the TRADE Regions.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

See program guidance. See program guidance. Quarterly progress reports. Quarterly Financial Status Reports. See program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records must be retained at least 3 years; records shall be retained beyond the 3-year period if audit findings have not been resolved.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 09 \$93,000; FY 10 est \$93,000; FY 11 est \$93,000

Range and Average of Financial Assistance:

\$5,340 to \$17,255 per Region.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Various regional training classes and seminars were sponsored for fire/emergency service personnel within each of the 10 TRADE regions. This program supported a national conference as well as development of regional training catalogues and materials, and non-copyrighted fire-service-related information sharing activities which included the virtual TRADing Post and TRADENET system. Fiscal Year 2010: Various regional training classes and seminars will be sponsored for fire/emergency service personnel within each of the 10 TRADE regions. This program supports a national conference as well as development of regional training catalogues and materials, and non-copyrighted fire-service-related information sharing activities which included the virtual TRADing Post and TRADENET system. Fiscal Year 2011: It is anticipated that various regional training classes and seminars will be sponsored for fire/emergency service personnel within each of the 10 TRADE regions. The program will support a national conference as well as development of regional training catalogues and materials, and non-copyrighted fire-service-related information sharing activities which included the virtual TRADing Post and TRADENET system.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Fund Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMC Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960.

Website Address:

<http://www.usfa.fema.gov/training/nfa/trade/>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Identified in program guidance.

97.098 DISASTER DONATIONS MANAGEMENT PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

The Homeland Security Act of 2002, 6 U.S.C 111 et seq.; Federal Program Information Act, 31 U.S.C 6101-6104; Federal Financial Assistance Management Improvement Act of 1999, Public Law 106-107, 31 U.S.C 6101.

OBJECTIVES:

To distribute a web-based technology solution to State governments and Private Voluntary Organization (PVOs) in order to ensure that in the event of a disaster the State has a resource in place that it can rapidly activate to allow the general public including the private sector to make offers of donated goods, volunteer services, and financial contributions to PVOs. The expectation is that the resource will help manage the information of large numbers of offers, and help lessen the flow of donated goods and volunteer services into the disaster area, without any coordination.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Program is restricted to government and voluntary/nonprofit agencies described in the announcement/program guidance document. The program will: enable potential donors to offer their donations on line; PVOs to view these offers and if interested in them, to contact the donor; PVOs to express what specific disaster relief resources they may need; the potential donor to donate based on verified operational needs; and the State to oversee the process. It will greatly lessen the number of shipments of goods and services into the disaster area without any prior coordination, -- a potentially serious interference with disaster response operations. The program will enable the matching of donated resources and PVO needs, thus adding value to the overall relief efforts. The program will enable government and voluntary agencies to create visibility and establish logistical tracking resources/source locations for donated items, services, and volunteers; and facilitate a match between those resources and needed assistance. Program is restricted to government and voluntary/nonprofit agencies described in the announcement/program guidance document. Refer to announcement or program guidance for further information.

Applicant Eligibility:

Refer to announcement or program guidance for further information.

Beneficiary Eligibility:

State and local governments and Private Voluntary.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Unsolicited applications will not be accepted. Refer to announcement and/or program guidance for further information.

Award Procedure:

Application is reviewed by FEMA program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance.

Appeals:

Refer to program guidance.

Renewals:

Refer to program guidance.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Grants are awarded for the total dollar amount, but the release of the funds is on an as needed basis. The initial performance period shall not exceed 12 months. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Refer to program guidance for further information. See the following for information on how assistance is awarded/released: Payment is based on Project need.

Reports:

Refer to program guidance. Refer to program guidance. Quarterly progress reports. Quarterly financial reports. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records include financial and programmatic records, supporting documents, statistical records, and other records of recipient which are required to be maintained by 44 CFR Part 13.42, program regulations or the grant agreement. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

Account Identification:

70-0700-0-1-453.

Obligations:

(Cooperative Agreements) FY 09 \$515,425; FY 10 est \$500,000; FY 11 est \$500,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Total states that have signed up to receive the web-based tool and received training on the use, 40. Held regional exercises on the use of the NDMN with 9 of 10 regions. Held ongoing informational calls with various

stakeholders including States, Non-profits, Private Sector. 6 States used the NDMN during major disaster operations. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, 245 Murray Drive, SW., Bldg # 410, Washington, District of Columbia 20528 Phone: (202) 212-1074.

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.099 RESIDENTIAL FIRE SAFETY & FIRE SPRINKLER INITIATIVES

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Federal Emergency Management Agency, Executive Order 12127; Federal Fire Prevention and Control Act of 1974; Homeland Security Act of 2002, Public Law 107-296; U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

To support residential fire safety initiatives such as: promoting public awareness of fire protection systems e.g., smoke alarms, and automatic sprinklers; supporting consumer awareness of residential fire sprinkler systems through provision of educational materials, public service announcement (PSA) kits, and newsletters/media releases to the public, home building, and fire protection industry.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding is restricted to nonfederal entities invited to apply by DHS or specified in DHS Appropriation Statute. Refer to program guidance or announcement for further information. See Program Guidance.

Applicant Eligibility:

Unsolicited applications will not be accepted. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Standard Forms 424 (Application for Federal Assistance) and 424A (Budget Information: Non-Construction Programs) must be completed and returned by the recipient. In addition, the following forms must be completed: SF1199A (Direct Deposit Sign-up Form); FEMA Form 20-16 (Summary Sheet for Assurances and Certifications); FEMA Form

20-16A and 16A (Back) (Assurances for Non- Construction Program); FEMA Form 20-16C (Certifications Regarding Lobbying, Debarment, Suspension and Other Responsibility Matters); and Drug-Free Workplace Requirements, and SFLLL (Disclosure of Lobbying Activities).

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Not Applicable.

Renewals:

Requests for modifications to the grant award can only be authorized in writing by the DHS Assistance Officer with the concurrence of the administering program office. Requests for modifications must be supported by adequate justification.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: reimbursement and/or draw down.

Reports:

See program guidance. See program guidance. Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See program guidance. See program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 09 \$130,000; FY 10 est \$170,000; FY 11 est \$130,000

Range and Average of Financial Assistance:

See program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Increased consumer awareness and education about the benefits of residential fire sprinkler systems. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

31 CFR 205: Rules and Procedures for Funds Transfers; OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program, Tech World Bldg - South Tower, 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960.

Website Address:

<http://www.fema.gov> .

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Identified in the program guidance.

97.103 DEGREES AT A DISTANCE PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Executive Order 12127; The Federal Fire Prevention and Control Act of 1974, Section 21, Public Law 93-498; U.S.A. Patriot Act of 2001, Public Law 107-56; Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

To support curriculum development, regional meeting support, program marketing, course material printing and publications and initiation of institutional agreements with local two-year college degree programs and colleges/universities, designated by the National Fire Academy, to participate in the Degrees at a Distance Program (DDP). To provide an alternative means for fire service personnel to earn a bachelor's degree or to pursue college-level learning in a fire related course concentration without the requirement of having to attend on-campus classes.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Restricted to colleges/universities designated by the U.S. Fire Administrations National Fire Academy, to support curriculum development, regional meeting support, program marketing, course material printing and publications; and initiation of institutional agreements with local two-year colleges.

Applicant Eligibility:

Only colleges/universities designated by U. S. Fire Administrations National Fire Academy are eligible, unsolicited applications will not be accepted. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, local and the general public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from

coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Requests for extensions must be supported by adequate justification, and can only be authorized in writing by the DHS/FEMA Grants Management Specialist/Grants Management Division with the concurrence of the administering program office.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Quarterly progress reports. Quarterly Financial Status Reports. Refer to program guidance. Quarterly progress reports. Quarterly Financial Status Reports. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 09 \$70,000; FY 10 est \$70,000; FY 11 est \$70,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Two-hundred and sixty-two students enrolled in the Degrees at a Distance Program and completed with bachelor's degrees in fire administration and fire prevention technology. The 13-course upper-level independent study curriculum was converted from a printed format to a web-based format so that future courses will be available via the internet. Fiscal Year 2010: It is anticipated that over 200 new students will enroll and complete the Degrees at a Distance Program for bachelor's degrees in fire administration and fire prevention technology. Fiscal Year 2011: It is anticipated that over 200 new students will enroll and complete the Degrees at a Distance Program for bachelor's degrees in fire administration and fire prevention technology.

REGULATIONS, GUIDELINES, AND LITERATURE:

Not Applicable.

Regional or Local Office:

None.

Headquarters Office:

Federal Emergency Management Agency, 500 C Street, SW, Washington, District of Columbia 20472 Phone: (800) 621-FEMA.

Website Address:

<http://www.usfa.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.104 HOMELAND SECURITY-RELATED SCIENCE, TECHNOLOGY, ENGINEERING AND MATHEMATICS (HS STEM) CAREER DEVELOPMENT PROGRAM

(HS-STEM Career Development Program)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 182, 188.

OBJECTIVES:

To provide funding for the establishment of scientific career development program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Support is provided to colleges and universities who, in turn, provide scholarships and fellowships. Refer to the program guidance document for additional information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Other Public Institution/Organizations; Other Private Institution/Organizations; (Colleges and Universities).

Credentials/Documentation:

Refer to program guidance. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not applicable.

Renewals:

Contingent upon future Congressional funding. Renewals or extensions to awards are at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need.

Reports:

Grantees are required to submit annual financial and performance reports. Annual Progress Reports must include the progress of each sub-grant award. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See Guidance. Financial Status Reports. see program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Project Grants) FY 09 \$3,500,000; FY 10 est \$2,500,000; FY 11 est not reported. - FY11 Estimate not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Nonprofit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization., A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security, Grants and Financial Assistance Division, Office of Procurement Operations, Office of the Chief Procurement Officer, 245 Murray Lane, SW., Building 410, Washington, District of Columbia 20523 Phone: (202) 447-5589.

Website Address:

www.dhs.gov.

RELATED PROGRAMS:

97.062 Scholars and Fellows, and Educational Programs

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.105 FIREFIGHTER HEALTH AND SAFETY**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

The Federal Fire Prevention and Control Act of 1974; Homeland Security Act of 2002., Public Law 107-296; Executive Order 12127; U.S.A. Patriot Act of 2001, Public Law 107-56.

OBJECTIVES:

To support firefighter and emergency medical service (EMS) health and safety issues outreach efforts.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Support educational outreach, technology transfer, information dissemination, and technical assistance to the fire service/EMS concerning emergency/first responder health and safety issues.

Applicant Eligibility:

Unsolicited applications will not be accepted. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Specialized group; General public.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Requests for modifications to the grant award can only be authorized in writing by the DHS Assistance Officer with the concurrence of the administering program office. Requests for modifications must be supported by adequate justification.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching requirements are not applicable to this program.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0564-0-1-800.

Obligations:

(Project Grants) FY 09 \$371,950; FY 10 est \$496,950; FY 11 est \$350,000

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Enhanced development of technology and systems to increase operational safety of first. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Rules and Procedures for Funds Transfers, 31 CFR 205: OMB Circular A-102: Uniform Administrative Requirements for Grants and Cooperative Agreements with State and Local Governments; OMB Circular A-87: Cost Principles for State and Local Governments; OMB Circular A-110: Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education,

Hospitals, and Other Non-Profit Organizations; OMB Circular A-21: Cost Principles for Educational Institutions; OMB Circular A-122: Cost Principles for Nonprofit Organizations.

Regional or Local Office:

None.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Tech World Bldg - South Tower 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960

Website Address:

http://www.fema.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.106 SECURING THE CITIES

Pilot Project - Securing the cities Initiative

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296.

OBJECTIVES:

The overall goal of the Securing the Cities (STC) initiative is to prevent a Radiological/Nuclear (RN) attack on high risk urban areas by enhancing regional capabilities to detect, identify, and interdict illicit radioactive materials in and around urban areas. One aspect of addressing this goal is providing assistance to State and local jurisdictions in and around major urban areas that will enable these entities to build capabilities by: Leveraging current technologies and deploying them regionally in a coordinated manner; Designing, acquiring, and deploying the components of an operationally viable regional architecture for radiological/nuclear detection, focused on State and local jurisdictions; Developing and implementing a common, multi-agency Concept of Operations (CONOPS) for sharing sensor data and resolving hits within the sensor architecture; Training and exercising by the regional agencies to execute this CONOPS to a high level of proficiency.

TYPES OF ASSISTANCE:

Cooperative Agreements; DISSEMINATION OF TECHNICAL INFORMATION; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and non-financial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions, if any, will be identified in the announcement, program guidance and award provisions. See Program Guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance, or as specified by U.S. Appropriation Statute.

Beneficiary Eligibility:

Federal, State, and local governments, Interstate or intrastate governmental organizations, private, or public organizations, nonprofit organizations, and Indian tribal governments.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

See Program Guidance. The recipient is required to submit a quarterly Cash Transaction Report (SF 272) to the Department of Health and Human Services Division of Payment Management. See Program Guidance. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3 year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0862-0-1-999.

Obligations:

(Salaries) FY 09 \$12,000,000; FY 10 est \$24,000,000; FY 11 est not reported. - FY 2011 Estimate not available.

Range and Average of Financial Assistance:

See Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, 44 CFR 13. OMB Circular A-87, Cost Principles for State, Local, and Tribal Governments, Relocated to 2 CFR Part 225; OMB Circular A-110, relocated to 2 CFR Part 215. Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations. OMB Circular A-122, relocated to 2 CFR Part 230. Cost Principles for NonProfit Organizations. OMB Circular A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Matthew Barton, 245 Murray Lane, Building 410, Mail stop 7100, Washington, District of Columbia 20528 Email: matthew.barton@dhs.gov Phone: (202) 254-7412.

Website Address:

www.dhs.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.107 NATIONAL INCIDENT MANAGEMENT SYSTEM (NIMS)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; Department of Homeland Security Appropriations Act of 2007, Public Law 109-295; Homeland Security Presidential Directive HSPD-5.

OBJECTIVES:

To support the development, integration, and deployment of incident management systems. This includes operational, technical and programmatic standards in support of nationwide implementation of the NIMS model; establish a unified approach to incident management as well as standard command and management structures.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Funding will support implementation of the National Incident Management System (NIMS) which includes incident management standards, related projects, and program support. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State, local, Public Nonprofit Institution/Organization, Federal Recognized Indian Tribal Government, U.S. Territory/Possession, Private Organization, Other Public Institution/Organization.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed and approved for award by DHS program and administrative staff in accordance with program guidance.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance.

Renewals:

Annual.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See program guidance. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 31, April 30, July 31, and Oct. 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 31, April 30, July 31, and October 31. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the

cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0700-0-1-999.

Obligations:

(Cooperative Agreements) FY 09 \$3,839,520; FY 10 est \$5,978,000; FY 11 est \$7,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Preliminary mutual aid compact completed within USET, 30 in-State firefighting mutual aid systems in place, upgrades to EMAC training and operations in place, EMAC Mission Ready Packages finalized, NIMS being incorporated into the CEM program. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

See program guidance.

Regional or Local Office:

None.

Headquarters Office:

National Integration Center, Federal Emergency Management Agency, C/O 999 E Street, NW, Room 300, Washington, District of Columbia 20472-3300
Email: FEMA-NIMS@dhs.gov Phone: 202-646-3850.

Website Address:

<http://www.fema.gov/emergency/nims/index.shtm>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance.

97.108 HOMELAND SECURITY, RESEARCH, TESTING, EVALUATION, AND DEMONSTRATION OF TECHNOLOGIES

Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296, 6 U.S.C 308(b)(1)(c); Implementing Recommendation of the 9/11 Commission Act of 2007, Section 1901, Public Law 110-53.

OBJECTIVES:

To provide funding and/or property for the purpose of conducting research, testing, evaluation, and demonstration of homeland security technologies intended to identify, counter, or respond to terrorist threats.

TYPES OF ASSISTANCE:

DISSEMINATION OF TECHNICAL INFORMATION; PROJECT GRANTS; SALE, EXCHANGE, OR DONATION OF PROPERTY OR GOODS; USE OF PROPERTY, FACILITIES, OR EQUIPMENT

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. See Program Guidance.

Applicant Eligibility:

States, local governments, private, public, profit or nonprofit organizations, Indian Tribal governments, or individuals specified by U.S. Appropriation Statute, including U. S. and international institutions of higher education and educational laboratories.

Beneficiary Eligibility:

Federal, State, and local governments, private, public, profit or nonprofit organizations, Indian tribal governments, and individuals.

Credentials/Documentation:

May be required by the U.S. Statute, regulation, or program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching Requirements: "Earmarked" or one-time funding amounts and any match requirements are specified in the Program or Appropriation Statute, regulation or program guidance, and are identified in the announcement. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: See Program Guidance.

Reports:

See Program Guidance. See Program Guidance. See Program Guidance. See Program Guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse,

not later than nine months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of three years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0800-0-1-999.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$6,500,000; FY 11 est \$0 - FY 2011 Estimate not available.

Range and Average of Financial Assistance:

See Program Guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars Nos. A-21, Cost Principles for Educational Institutions, A-87, Cost Principles for State, Local and Indian Tribal Governments, A-102 Grants and Cooperative Agreements with State and Local Governments, A-110, Uniform Administrative Requirements for Grants and Other Agreements With Institutions of Higher Education, Hospitals and Nonprofit Organizations, and A-133 Audits of States, Local Governments, and Nonprofit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

None.

Headquarters Office:

Melanie Bales, 245 Murray Lane, S.W., Washington, District of Columbia 20548 Email: melanie.bales@dhs.gov Phone: (202) 447-5522.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.109 DISASTER HOUSING ASSISTANCE GRANT**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act, Title 6, Section 112, Public Law 107-296, 6 U.S.C 102; Robert T. Stafford Disaster Relief and Emergency Assistance Act (The Stafford Act); Sections 408(b)(1); and 306(a), Title 42, Section 5149, 5189; Economy Act, Title 31, Section 1535.

OBJECTIVES:

To provide resources for rental assistance, security and utility deposits, and case management services for families who were displaced by Hurricanes Katrina, Rita, Gustav and Ike. The Disaster Housing Assistance Program (DHAP) would be implemented in the form of a rental assistance program that would continue providing housing subsidies for families determined to be eligible for the program.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

Housing and Urban Development's (HUD) Public Housing Agencies (PHAs) will use the assistance to provide eligible families who were displaced by Hurricanes Katrina, Rita, Gustav and Ike with monthly rent subsidies. Families are either receiving or are eligible to receive rental assistance administered by FEMA. PHAs will administer the DHAP to families that have been determined eligible by FEMA through HUD's web-based Disaster Information System. The assistance must be used by PHAs to provide rental assistance to families determined eligible by FEMA. In addition to rental assistance, grant funds shall be used to provide case management services to eligible families and to pay for costs associated with PHA administration of the program.

Applicant Eligibility:

Individuals and families determined eligible for FEMA housing assistance. Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Individuals and families.

Credentials/Documentation:

All Public Housing Agencies with jurisdiction over areas where eligible families reside that administer the Housing Choice Voucher Program are invited to apply provided they have the administrative capacity to assume responsibility for the DHAP.

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Both quarterly reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program

guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0702-0-1-453.

Obligations:

(Project Grants) FY 09 \$365,354,435; FY 10 est \$47,569,348; FY 11 est \$7,489,185

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FY 2009 Approximately 39,000 households received DHAP Katrina/Rita/Ike/Gustav housing assistance. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

Fair Housing Act, Title VI of the Civil Rights Act of 1964; Section 504 of the Rehabilitation Act of 1973; Title II of the Americans with Disabilities Act; 24 CFR 982.352; 982.401; 982.555; PIH Notice 2007-26. Full text may be obtained at the following website:

<http://www.hud.gov/offices/pih/publications/hurricane.cfm>.

Regional or Local Office:

See Regional Agency Offices. Federal Emergency Management Agency-Individual Assistance 500 C St S.W., Washington DC 20472.

Headquarters Office:

Department of Homeland Security, FEMA 245 Murray Lane, Building 410, Washington, District of Columbia 20472 Phone: (202) 646-3943.

Website Address:

www.fema.gov.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.110 SEVERE LOSS REPETITIVE PROGRAM

SRL

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

National Flood Insurance Act of 1968, as amended by the

Bunning-Bereuter-Blumenauer Flood Insurance Reform Act of 2004, Public Law 108-264., Public Law 108-264.

OBJECTIVES:

To assist States and local governments in supporting actions that reduce or eliminate the long-term risk of flood damage to residential properties insured under the National Flood Insurance Program (NFIP) that meet the definition of severe repetitive loss property, and to reduce losses to the National Flood Insurance Fund (NFIF) by funding projects that result in the greatest savings to the NFIF in the shortest time period.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

States, Territories, local and Federally - recognized Indian tribal governments may apply for SRL funding to reduce or eliminate the long-term risk of flood damage to residential properties insured under the NFIP that meet severe repetitive loss property eligibility criteria. Eligible activities under this program include: acquisition and relocation of at-risk structures and the conversion of property to open space; elevation of existing structures to the Base Flood Elevation (BFE) or an ABFE Advisory Base Flood Elevation (ABFE) or higher (for the SRL program Mitigation Reconstruction is only permitted when traditional elevation cannot be implemented); minor physical localized flood control projects; and dry-flood proofing (historic properties only).

Applicant Eligibility:

State emergency management agencies or a similar office (i.e., the office that has primary emergency management or floodplain management responsibility) of the State; District of Columbia; U.S. Virgin Islands; the Commonwealth of Puerto Rico; American Samoa; Commonwealth of the Northern Mariana Islands; Guam; and Federally-recognized Indian tribal governments. Specific information on sub-applicant eligibility, and application procedures for Federally-recognized Indian tribal governments, is provided in the SRL program guidance.

Beneficiary Eligibility:

States, Territories, local governments, Federally-recognized Indian tribal governments, and homeowners.

Credentials/Documentation:

Specific information on sub-applicant eligibility, and application procedures for Federally-recognized Indian tribal governments, is provided in the Severe Repetitive Loss (SRL) program guidance. Federally-recognized Indian tribal governments and local governments that are sub-applicants must be participating in the NFIP. Properties included in project sub-applications must meet the SRL property definition. SRL properties are residential properties that are covered under an NFIP flood insurance policy and: (a) that have at least 4 NFIP claim payments (including building and contents) over \$5,000 each, and the cumulative amount of such claims payments exceeds \$20,000; or (b) for which at least two separate claims payments (building payments only) have been made with the cumulative amount of the building portion of such claims exceeding the market value of the building. For both scenarios (a) and (b), at least two of the referenced claims must have occurred within any 10-year period, and must be greater than 10 days apart. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

DHS FEMA will identify the location of severe repetitive loss properties and furnish that information to the relevant States, Territories, local governments, and Federally-recognized Indian tribal governments. FEMA will also notify owners of severe repetitive loss properties that may be eligible to receive SRL or other FEMA mitigation assistance; that their NFIP insurance premium rates may increase upon declining offers of SRL Program mitigation assistance only (such insurance consequences do not apply to other FEMA mitigation assistance programs); and, that they have the right to appeal such premium rate increases. Further, States, Territories, local governments, and Federally-recognized Indian tribal governments will, to the best extent practicable, consult with SRL property owners to select the most appropriate mitigation activity, prior to submitting SRL grant applications. To be eligible for a SRL grant, Applicants must have a FEMA approved State Mitigation Plan (Standard or Enhanced) or Tribal Mitigation Plan in compliance with 44 CFR

Part 201 by the application deadline. In addition, Sub-applicants must have a FEMA approved local mitigation plan in accordance with 44 CFR Part 201, by the application deadline that, at a minimum, addresses flood hazards to be eligible to receive project grant funding under the SRL program. Establishment of this program through regulation is categorically excluded from the requirement of the preparation of an environmental assessment or an environmental impact statement in accordance with 44 CFR 10.8(d)(2)(ii). This NEPA category excludes the preparation, revision, and adoption of regulations where the rule regulates to actions that qualify for other NEPA categorical exclusions in 44 CFR 10.8(d)(2). This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the announcement or application /program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Property owners who refuse an SRL mitigation offer may become subject to increases of their NFIP insurance premium rates, and have the right to appeal such rate increases. Refer to SRL program guidance or the administering program office for further information.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: DHS FEMA will allocate the available SRL funds to states each fiscal year based upon the percentage of the total number of severe repetitive loss properties located within the State. Ten percent of the total funds made available in any fiscal year will be available to States, Territories, and Federally-recognized Indian tribal governments that have at least one SRL property and that receive little or no allocation. NFIP severe repetitive loss property records are maintained and updated monthly by the FEMA Bureau and Statistical Agent. NFIP severe repetitive loss property records are protected by the Privacy Act, and are only made available to the States, Territories, local governments, and Indian tribal governments eligible to apply for SRL grants. DHS FEMA may contribute up to 75 percent of the eligible costs of activities that target SRL properties. The SRL program offers up to 90 percent Federal cost share funding for projects approved in States, Territories, and Federally-recognized Indian Tribal Plans that include a strategy for mitigating existing and future SRL properties. For further information refer to DHS FEMA SRL and Flood Mitigation Assistance (FMA) program regulations at 44 CFR Part 79, the SRL program guidance, or contact the administering program office. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Based on

project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document. Refer to program guidance document.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee's fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-4236-0-3-453.

Obligations:

(Project Grants) FY 09 \$63,000,000; FY 10 est \$35,000,000; FY 11 est \$35,000,000

Range and Average of Financial Assistance:

Refer to program guidance document.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: Awarded \$63.0 million in assistance grants for mitigation activities affecting 410 SRL properties; Aligned all four pre-disaster mitigation programs into a unified Hazard Mitigation Assistance (HMA) program guidance and application cycle. This comprehensive approach helped FEMA accomplish the HMA objectives of efficiency and standardization, thus creating a platform for future updates and refinements of program policies and practices to make them more unified and user-friendly; Engaged partners and coordinated the SRL program to identify opportunities for future program improvements. Fiscal Year 2010: Align all five mitigation programs including HMGP into unified Hazard Mitigation Assistance program guidance; Provide opportunities for transparency and partnership with States, territories, and tribal and local governments through the HMA Summit, the External Stakeholders Workgroup, focus groups and training courses; Provide up to \$45.0 million in grant funds for mitigation activities affecting approximately 300 properties. These measures are expected to provide a savings to the National Flood Insurance Program of approximately \$90.0 million in reduced claims payments; Continue to refine and apply an enhanced cost-effectiveness approach based on past insurance losses to make it easier for State and local communities to demonstrate the cost effectiveness requirements of the mitigation grant programs; Continue to identify and implement internal program improvements to increase the effectiveness of the SRL program. Fiscal Year 2011: Evaluate the enhanced cost effectiveness approach based on past insurance losses to determine its value to the SRL program and other flood grant programs; Use unobligated balances to provide up to \$45.0 million in grant funds for mitigation activities affecting approximately 300 properties.

REGULATIONS, GUIDELINES, AND LITERATURE:

The SRL and FMA Program Regulations at 44 CFR Part 79; the DHS FEMA Real Property Acquisition Regulations at 44 CFR Part 80, and the SRL program

guidance. Other guidelines include OMB Circular No. A-102, Grants and Cooperative Agreements with State and local Governments, and OMB Circular No. A-87, Cost Principles for State, Local and Indian Tribal Governments. This program is eligible for coverage under E.O. 12372, Intergovernmental Review of Federal Programs. Local Governments and Federally-recognized Indian Tribal (if applying through the State) sub-applicants should consult the office or officials designated as the single point of contact in his or her state for more information on the process that the State requires to be followed in applying for assistance. The Hazard Mitigation Assistance Unified grant program guidance, which is available to the public on the FEMA Internet site: <http://www.fema.gov/government/grant/srl/index.shtm>.

Regional or Local Office:

See Regional Agency Offices. See Appendix IV of the Catalog for listing of addresses of the DHS FEMA Regional Offices.

Headquarters Office:

Lloyd Hake, 1800 South Bell Street, Arlington, Virginia 20595-3015 Email: lloyd.hake@dhs.gov Phone: (202) 646-3428.

Website Address:

<http://www.fema.gov/government/mitigation.shtm>.

RELATED PROGRAMS:

97.029 Flood Mitigation Assistance; 97.039 Hazard Mitigation Grant; 97.047 Pre-Disaster Mitigation; 97.092 Repetitive Flood Claims

EXAMPLES OF FUNDED PROJECTS:

Fiscal Year 2009: No Current Data Available. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.111 REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM (RCPGP)

(RCPGP)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Appropriations Act of 2008, Public Law 110-161; U.S. Troop Readiness, Veterans' Care, Katrina Recovery, and Iraq Accountability Appropriations Act of 2007, Public Law 110-28; Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009, Public Law 110-329.

OBJECTIVES:

The goal of RCPGP is to support an integrated planning system that provides for regional all-hazard planning for catastrophic events and the development of necessary plans, protocols, and procedures to manage a catastrophic event. Objectives include, creating regional planning processes and planning communities through the establishment of a Catastrophic Planning Working Group; Identifying and assessing priority areas of concern using both capabilities-based and scenario-based planning models; Developing enhanced regional plans and addressing shortcomings in jurisdiction plans to support both the management of a catastrophic incident and to enable enduring government; and linking planning efforts to resource allocations.

TYPES OF ASSISTANCE:

PROJECT GRANTS

USES AND USE RESTRICTIONS:

The RCPGP is comprised of funding for fiscal years 2007, 2008, 2009, and 2010. Funds will be allocated to designated Tier I Urban Areas and designated Tier II Urban Areas.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

State and local governments.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

None.

Renewals:

An annual appropriation is anticipated for this program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.
Matching Requirements: Percent: 25%. The program will require a soft match of non-Federal funds totaling 25 per cent.
MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Allocation and draw down of funds.

Reports:

Quarterly reports. Quarterly reports. Quarterly reports. Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or

sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Salaries) FY 09 \$35,000,000; FY 10 est \$35,000,000; FY 11 est \$35,000,000

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The program has served to enhance planning for catastrophic events which may occur within the jurisdictions of the participants. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines, please refer to the solicitation guidance and application kit.

Regional or Local Office:

None.

Headquarters Office:

FEMA Grant Programs Directorate, 500 C Street SW, Washington, District of Columbia 20472 Email: Rcpgp@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov/government/grant/index/shtm>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.112 BORDER INFRASTRUCTURE IMPROVEMENT PROJECTS**FEDERAL AGENCY:**

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, as amended, Public Law 107-296.

OBJECTIVES:

The U.S. Department of Homeland Security will be implementing border security infrastructure improvement projects and initiatives by providing funding, technical assistance and other resources to border communities.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Use and restrictions will be identified in the funding opportunity announcements and program guidance.

Applicant Eligibility:

Applicant eligibility will be identified in the funding opportunity announcements and program guidance.

Beneficiary Eligibility:

States, local governments, territories, nonprofits and private organizations.

Credentials/Documentation:

Applicant eligibility will be identified in the funding opportunity announcements and program guidance. Specific information will be identified in the funding opportunity announcements and program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Will be addressed depending on geographic location of the projects in response to E.O. 12372. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult

the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information will be identified in the funding opportunity announcements and program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Issues or concerns will be negotiated with the successful applicant prior to the award execution.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Application deadlines and other information will be identified in the funding opportunity announcements and program guidance. Approval/Disapproval Time information will be identified in the funding opportunity announcements and program guidance.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Specific information is identified in the funding opportunity announcements and program guidance. See the following for information on how assistance is awarded/released: Specific information is identified in the funding opportunity announcements and program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0533-0-1-751.

Obligations:

(Cooperative Agreements) FY 09 \$39,733,814; FY 10 est \$0; FY 11 est not reported. - No Current Data Available for FY 2010 and FY 2011.

Range and Average of Financial Assistance:

Specific information is identified in the funding opportunity announcement and program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The Border Infrastructure Improvement Program accomplishments include construction of 20.11 miles of fixed barriers in conjunction with improvements to an existing levee system in the US/ Mexico border region of Hidalgo County, Texas. Construction of the Hidalgo County secure fence/levee infrastructures began in May 2008 and are expected to be completed by December 2010. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions and A-122, Cost Principles for Non-Profit Organization.

Regional or Local Office:

None.

Headquarters Office:

Tiffany Hixson, 245 Murray Lane, Building # 410, Washington, District of Columbia 20528 Email: Tiffany.Hixson@dhs.gov Phone: (571) 468-7100.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Specific information will be identified in the funding opportunity announcement and program guidance.

97.113 RAIL AND TRANSIT SECURITY GRANT PROGRAM (ARRA)

Transit Security Grant Program (American Recovery and Reinvestment Act of 2009)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, sections 1406 and 1513 (P.L. 110-53; 6 USC 1135 and 1136); P.L. 111-5, American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To create sustainable programs for the protection of critical bus and infrastructure from terrorism, with special emphasis on construction projects which address the most significant risks and can also be completed in a timely fashion.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Programs included under the CFDA number are: the ARRA Transit Security Grant Program (TSGP), Consistent with sections 1406 and 1513 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53; 6 U.S.C. 1135 and 1163, funds may be used for planning, organizational activities, equipment acquisition, training, management and administrative activities, and other costs the Secretary deems appropriate to support the objectives of the program. Priority will be given to operational packages and capital projects which address the most significant risks and can also be completed in a timely fashion.

Applicant Eligibility:

Pursuant to PL 110-53, in order for a transit agency to be eligible for the ARRA TSGP, they must have either undergone a security assessment conducted by DHS (such as the Baseline Assessment for Security Enhancement (BASE) program security assessment performed by TSA Transportation Security Inspectors Surface, or developed and/or updated their own security plan within the past three years. A list of eligible transit agencies is included in the ARRA TSGP guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

P.L. 111-5 American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. See the following for information on how assistance is awarded/released: Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures.

Reports:

(1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including-- (A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or

activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Financial Status Reports. Progress Reports. Financial Status Reports

Recovery Act funding reports. Subrecipient monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0563-0-1-999 - TAFS: 70 0563.

Obligations:

(Project Grants) FY 09 \$150,000,000; FY 10 est \$0; FY 11 est \$0 - The ARRA TSGP was only available in FY 09. No Current Data is Available for FY 2010 and FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: The FY 2009 ARRA TSGP received a total of 73 applications totaling \$403,813,642 in requests. After an extensive review of these applications by both FEMA and TSA, DHS made 19 awards for a total of \$150,000,000. These awards have not only helped to strengthen and enhance the Nations critical transportation infrastructure, but have also helped create 243 new jobs. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA Techworld Building, Suite 400, 800 K Street, NW, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: 1-800-368-6498

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.114 EMERGENCY FOOD AND SHELTER NATIONAL BOARD PROGRAM (ARRA)

ARRA Emergency Food and Shelter National Board Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Stewart B. McKinney Homeless Assistance Act of 1987, as amended, Title III, Sections 301-316, Public Law 100-77, as amended, 42 U.S.C. 11331-11346; Public Law 111-5 American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To supplement and expand ongoing efforts to provide shelter, food, and supportive services for needy families and individuals. To strengthen efforts to create more effective and innovative local programs by providing supplemental funding for them. To conduct minimum rehabilitation of existing mass shelter or mass feeding facilities, but only to the extent necessary to make facilities safe, sanitary and bring them into compliance with local building codes.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Food and related expenses (such as transporting food/food preparation and serving equipment); mass shelter; other shelter (such as hotels and motels); and rent/mortgage and/or utility assistance limited to one (1) month; and limited repairs to feeding and sheltering facilities. Emergency Food and Shelter National Board Program funds cannot be used for: rental security, deposits of any kind, cash payments of any kind, lobbying efforts, salaries (except as administrative allowance and limited to that total allowance of 2 percent of total award), purchases or improvements of an individual's private property, telephone costs, repairs to government-owned or profit-making facilities and any payments for services not incurred. For a complete listing on eligible and ineligible costs under this program, refer to the current Phase (fiscal year) manual: Responsibilities and Requirements, issued by the Emergency Food and Shelter Program National Board.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

The law directs that the Local Boards, which manage the program at the local level, shall "determine which private nonprofit organizations or public organizations of the local government in the individual locality shall receive grants to act as service providers." The range of participant groups on the Local Boards include the affiliates of the National Board membership which consists of American Red Cross, Catholic Charities USA, National Council of Churches of Christ in the U.S.A., The Jewish Federations of North America, The Salvation Army, and United Way Worldwide, with a local government representative replacing FEMA. Also participating are independent nonprofits (such as Community Action Agencies and Food Banks and food pantries) which provide food and/or shelter services. Due to the broad category of people in need of such emergency services, the providers can include specialized groups such as domestic violence shelters, Native American organizations, and organizations providing food or shelter to: AIDS patients, handicapped individuals, the elderly, homeless veterans, non-wards of the State teenage runaways, and many other groups with emergency food and shelter needs. As noted earlier, the decisions on selections are made through the consensus of the Local Board as they have assessed their community's most urgent needs. It is important that such agencies use these funds to supplement, not replace, their current efforts. This program is intended to supplement ongoing programs and allow them to extend and expand upon their existing services.

Credentials/Documentation:

The program employs a Local Recipient Organization Certification Form. This form certifies an applicant's status (i.e., that it is a nonprofit or government

agency capable of delivering eligible services) that it will abide by the program guidelines (both on eligible spending and reporting requests), and comply with other fiscal and program rules so stipulated. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. There is no application process for local jurisdictions since the award notifications to local jurisdictions are based on either a National Board formula or recommendations from State Set-Aside Committees.

Award Procedure:

Local Board plans are submitted to the National Board and reviewed by the National Board program.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Refer to the Emergency Food and Shelter National Board Program, "Phase 27: Responsibilities and Requirements" document for information on the appeal process. Those cases that cannot be handled locally should be referred to the National Board giving details on action that has been taken up to that point. Any allegations regarding fraud or the misuse of funds should be referred directly to the DHS Office of Inspector General.

Renewals:

Each phase (fiscal year) is a new program.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on Project need. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Local Boards are expected to monitor the expenditure of funds in their respective jurisdictions. It is for that reason that requests for second checks (all awards are divided into two payments) must be authorized by the Local Boards. Along with the request for the second payment, the National Board receives an interim report on eligible spending during that phase. A Final Report also must be filed by each LRO with its Local Board. The Local Board then compiles all the Final Reports within its jurisdiction and submits them (along with any requested documentation) to the National Board. Failure of an LRO to file a Final Report will result in its award being held in escrow the succeeding year. Recovery funding reports will be submitted in the prescribed format within 10 days after the end of each calendar quarter, beginning July 10, 2009. These reports will include the following data elements, as prescribed by the Public Law 111-5, Section 1512 and OMB Memorandum M-09-10: (1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also included unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including--(A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity (Not Applicable to Emergency Food and Shelter); and (E) For

infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment (Not Applicable to Emergency Food and Shelter). (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Local Boards are required to remain in operation until all program and audit requirements of the National Board have been satisfied. Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0708-0-1-605 - TAFS: 70 0708.

Obligations:

(Project Grants) FY 09 \$100,000,000; FY 10 est \$0; FY 11 est not reported. - No Current Data Available for FY 2010 and FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: An estimated 46,708,062 meals provided; 2,185,508 nights of lodging provided; 83,230 rent/mortgage payments made; and, 151,497 utility payments made. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

EFS National Board Program, "Phase 27: Responsibilities and Requirements" manual. OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. See Catalog Appendix IV for list of addresses for FEMA Regional Offices.

Headquarters Office:

Federal Emergency Management Agency (FEMA), 500 C Street, SW, Room 619., Washington, District of Columbia 20472 Email: poc@dhs.gov Phone: (800) 621-FEMA (3363).

Website Address:

<http://www.fema.gov>.

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.115 ASSISTANCE TO FIREFIGHTERS GRANT (ARRA)

ARRA Fire Station Construction

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Public Law 111-5 American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To provide financial assistance directly to fire departments to enhance their capabilities with respect to fire and fire-related hazards. To provide financial assistance in the construction, upgrading or rehabilitation of fire stations.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Fire station construction. (Public Law 111-5 American Recovery and Reinvestment Act of 2009, Section 1511); Eligible Uses: new station construction, existing station upgrading or rehabilitation; up to 5 percent shall be for program administration and no grant shall exceed \$15,000,000. Restrictions: certification as cited below in 083 Credentials/Documentation; funded only under Public Law 111-5.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Each applicant must certify: (1) that they are an eligible applicant, i.e., a fire department as defined above; (2) as to the characteristics of their community, i.e., Urban, Suburban, or Rural; (3) to the population size of the community served; and (4) to the type of department, i.e., volunteer/combination or career. Public Law 111-5 American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are peer reviewed, and reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

From 15 to 30 days. Applicants that were denied grants can request a reconsideration of the decision. Such a request may be successful if it is

determined that the rating criteria were not appropriately applied, or there was a flaw in the competitive process that unfairly disadvantaged the applicant, or there was human error in the processing of the grant application. Requests for reconsideration must be submitted in writing within 30 days of the date of the notification of denial.

Renewals:

> 180 Days. Grant period is 36 months for this program. In the event that the grantees are unable to fulfill their grant obligations within the 36-month grant period, they may request an extension of the performance period. Such requests will be considered and approved based on the written justification.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: No information provided.

Reports:

Grantees are required to submit Progress Reports which will include a narrative statement that details the progress of each award. Recovery funding reports will be submitted in the prescribed format within 10 days after the end of each calendar quarter, beginning July 10, 2009. These reports will include the following data elements, as prescribed by the Public Law 111-5, Section 1512 and OMB Memorandum M-09-10: (1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including-- (A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0567-0-1-999 - TAFS: 70 0567.

Obligations:

(Project Grants) FY 09 \$210,000,000; FY 10 est \$0; FY 11 est not reported. - Funded in FY 09 only.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: AFG program office has awarded 113 construction grants for \$199 million. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Fire Prevention and Control Act of 1974, 15 U.S.C. 2229 et seq. In addition, the program guidance, the FAQs, an applicant tutorial, and other program information can be found on the programs website at www.firegrantsupport.com. OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

See Regional Agency Offices. The Assistance to Firefighters Grants are administered from the FEMA Headquarters Office. FEMA also has 10 regional offices which house Fire Program Specialists who may be contacted for information on the program.

Headquarters Office:

DHS/FEMA/Grant Programs Directorate, Assistance to Firefighters Grant Program, TechWorld Bldg - South Tower 5th Floor, 500 C Street, SW, Washington, District of Columbia 20472 Email: firegrants@dhs.gov Phone: (866) 274-0960.

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

15.031 Indian Community Fire Protection; 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance; 97.018 National Fire Academy Training Assistance; 97.043 State Fire Training Systems Grants; 97.044 Assistance to Firefighters Grant

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.116 PORT SECURITY GRANT PROGRAM (ARRA)

ARRA Port Security Grant Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Maritime Transportation Security Act (46 U.S.C. Section 70107); Public Law 111-5, American Recovery and Reinvestment Act of 2009.

OBJECTIVES:

To create a sustainable program for the protection of regulated ports from terrorism, with one priority on construction projects which address the most significant risks and can also be completed in a timely fashion.

TYPES OF ASSISTANCE:

Cooperative Agreements; Project Grants

USES AND USE RESTRICTIONS:

Funds may be used for costs associated with maritime domain awareness; IED prevention, protection, response, and recovery capabilities; and management and administration. The program guidance outlines uses and restrictions in detail. One of the priorities for the American Recovery and Reinvestment Act (ARRA) Port Security Grant Program (PSGP) is construction projects which address the most significant risks and can also be completed in a timely fashion.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Public Law 111-5, American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not required for all participants. Applicants within designated states are required to coordinate with state designated authorities. See guidance documents for details. Environmental Historical Preservation information is required post award for projects funded through this program. An environmental impact assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to announcement or application guidance for further information.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.
This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: No information provided.

Reports:

(1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including--(A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local

governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. Financial Status Reports. Program Progress Reports. Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Subrecipient monitoring.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0563-0-1-402 - TAFS: 70 0563.

Obligations:

(Project Grants) FY 09 \$150,000,000; FY 10 est \$0; FY 11 est not reported. - No Current Data Available for FY 2010 and FY 2011.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: FEMA Staff, in close coordination with the USCG HQ and Sector Staff conducted large scale, on-site outreach visits to port areas via identified Area Maritime Security Committee (AMSC) venues. Additionally, FEMA hosted numerous nationally available teleconference calls to assist applicants with general grant and application submission questions, as well as providing on the spot technical assistance and support for grants.gov and federalreporting.gov systems both pre- and post- award. FEMA has a high compliance rate with for ARRA reporting requirements. 556 applications were received for ARRA funding, of which 212 were awarded funds to support National Priorities as outlined within the PSGP ARRA guidance. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

Regional or Local Office:

None.

Headquarters Office:

Department of Homeland Security/FEMA, Techworld Building, Suite 400, Attention: Control Desk, 500 C St SW, Washington, District of Columbia 20472 Email: askcsid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.117 TSA AIRPORT CHECKED BAGGAGE INSPECTION SYSTEM PROGRAM (ARRA)

ARRA TSA Checked Baggage Inspection System Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5); Aviation and Transportation Security Act of 2001 (Public Law 107-71). 49 U.S.C Section 114(m)(1) and 106(l)(6), Public Law 107-71.

OBJECTIVES:

The TSA Electronic Baggage System Program (EBSP) is responsible for the deployment and installation of Explosive Detection Systems (EDS) at airports across the nation. EBSP provides support to U.S. commercial airports in implementing and maintaining security compliance requirements and capabilities for Checked Baggage Detection Inspection Screening Systems at airports as mandated by the Aviation and Transportation Security Act of 2001 (Public Law 107-71) to provide 100 percent screening of checked baggage.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

TSA funding is restricted to those airport facility and baggage handling system modifications/ reconfigurations required for the installation of TSA EDS equipment to screen checked baggage. TSA provides, installs and tests the EDS equipment in addition to providing project funding towards required airport facility and baggage handling system modifications. DUNS/CCR - Recipients must either obtain a DUNS number (or update the existing DUNS record), as well as register with the Central Contractor Registration (CCR).

Applicant Eligibility:

See Uses and Use Restrictions.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Public Law 111-5 American Recovery and Reinvestment Act of 2009, Section 1511 Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Airports are required to submit a complete application package.

Award Procedure:

Airports will be selected to mitigate the risk in the aviation system and consistent with the intent of the law to fund projects most ready for execution.

TSA evaluates airport applications against a model incorporating risk and readiness of the airport to start the project. Awards are in the form of an Other Transaction Agreement (OTA). TSA funding and the terms and conditions of the OTA are negotiated between the TSA and the Airport.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Applications submitted by airports for TSA funding are being reviewed. Range of approval/disapproval time varies.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program.

Matching Requirements: TSA utilizes a cost sharing scenario based on the cost share ratio defined in the annual appropriation legislation. TSA provides funding for a specific share of the project costs and the airport contributes the remaining share of the funding.

This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding provided by TSA is obligated in the current TSA fiscal year and is available for expenditure to the airport within five years. See the following for information on how assistance is awarded/released: No information provided.

Reports:

The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also included unobligated allotment balances to facilitate reconciliations. A detailed list of all projects or activities for which recovery funds were obligated and expended, including--(a) The name of the project or activity; (b) A description of the project or activity. Financial Status Reports. Progress Reports. For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. An estimate of the number of jobs created and the number of jobs retained by the project or activity.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Records shall be retained for a period of three years from the day the recipient submits its final invoice. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the three-year period, whichever is alter. Records include financial and program/progress reports, support documents, statistical records and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0556-0-1-402 - Treasury Account Symbol for the Recovery Act is 70 9/0 0556.

Obligations:

(Project Grants) FY 09 \$371,200,000; FY 10 est \$189,600,000; FY 11 est not reported. - No Current Data is Available for FY 2011.

Range and Average of Financial Assistance:

Will vary by airport project and airport category and hub size. Per Congressional legislation, funding is allocated among a wide variety of airports. The financial assistance provided by TSA is effectuated through Other Transactional Authority agreements as the method of providing funding for airport baggage screening projects.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: TSA executed 17 OTAs totaling \$371,200,000. Fiscal Year 2010: No Current Data is Available. Fiscal Year 2011: No Current Data is Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

* OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009. * Office of Management and Budget Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. * Single Audit reports as required by the Single Audit Act Amendments of 1996 * TSA Planning Guidelines and Design Standards available at <http://www.tsa.gov/research/asac/index.shtm>. * TSA White Paper on Checked Baggage Reimbursable and Non-Reimbursable.

Regional or Local Office:

None.

Headquarters Office:

Jenel Cline, 601 South 12th Street, Arlington, Virginia 20598-6016 Email: Jenel.Cline@DHS.gov Phone: (571) 227-3650.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

TSA utilizes an airport prioritization model for airport baggage screening projects based on a risk assessment that identifies operational risks. This overall approach is used to determine the impact of risk and cost analysis to address predicted airport growth rates, numbers of bags and the total project costs to include TSA capital investment in EDS equipment and the TSA costs associated with installation, integration, testing the EDS equipment within the airport facility modification.

97.118 ADVANCED SURVEILLANCE PROGRAM (ASP)

ARRA TSA OST Advanced Surveillance Program

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

American Recovery and Reinvestment Act of 2009 (Public Law 111-5); Aviation and Transportation Security Act of 2001 (Public Law 107-71). 49 U.S.C. Sections 114(m)(1) and 106(l)(6) .

OBJECTIVES:

To provide enhanced remote security and surveillance capability at airports where people or objects are screened and perimeter security is improved. The ASP partners with airport authorities to enable Transportation Security Officers access to real time and recorded camera views of critical activities. ASP provides the additional equipment necessary to achieve the TSA-mandated security recordation requirements of Checkpoint, Checked Baggage and Airport Perimeter, in turn enhancing the Airport Authority's current/existing system. The ASP Program increases the local Airport Authority's capability to remotely monitor, detect and assess all types of threats, including explosive threats and their resolution. Remote monitoring has the potential to detect and prevent the placement or transport of explosives/devices and other threats by increasing situational awareness in the areas of Checkpoint, Checked Baggage and Airport Perimeter. Activities performed under ASP are authorized and mandated by the Aviation and Transportation Security Act of 2001 (Public Law

107-71).

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Transportation Security Administration (TSA) funding is restricted to the purchase and installation of Checkpoint, Checked Baggage and Airport Perimeter surveillance threat detection systems, including explosive detection at the Airport Authority's facilities. The Checkpoint surveillance area is defined as the area in which TSA employees screen people, carry-on baggage, and other items at security checkpoints. The Checked Baggage Surveillance area is defined as the area in which TSA employees screen baggage requiring threat resolution in the Baggage Handling System (BHS) operation following an alarm alert. The use of funding for Airport Perimeter Surveillance is restricted to address airport-identified perimeter security vulnerabilities that can be mitigated through the use of applied security technologies. DUNS/CCR - Recipients must obtain a DUNS number (or update the existing DUNS record), as well as register with the Central Contractor Registration (CCR).

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

See Uses and Use Restrictions.

Credentials/Documentation:

Section 1511 of Public Law 111-5 American Recovery and Reinvestment Act of 2009, Certifications: With respect to covered funds made available to State or local governments for infrastructure investments, the Governor, mayor, or other chief executive, as appropriate, shall certify that the infrastructure investment has received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Such certification shall include a description of the investment, the estimated total cost, and the amount of covered funds to be used, and shall be posted on a website and linked to the website established by section 1526 of the American Recovery and Reinvestment Act of 2009. A State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted.. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is required. An environmental impact assessment is required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under OMB Circular No. A-110. Airports are required to submit a complete application package.

Award Procedure:

Airports will be selected to mitigate the risk in the aviation system and consistent with the intent of the law to fund projects most ready for execution. TSA evaluates airport participation requests against a prioritization matrix incorporating risk and the readiness of the airport to start the project. TSA funding and the terms and conditions of the award are negotiated between the TSA and the Airport.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Not Applicable.

Appeals:

Not Applicable.

Renewals:

Not Applicable.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.
This program does not have MOE requirements.

Length and Time Phasing of Assistance:

The funding provided by TSA is obligated in the current TSA fiscal year and is available for expenditure by the airport within five years. See the following for information on how assistance is awarded/released: Information not provided.

Reports:

(1) The total amount of recovery funds received from that agency; (2) The amount of recovery funds received that were obligated and expended to projects or activities. This reporting will also include unobligated Allotment balances to facilitate reconciliations. (3) A detailed list of all projects or activities for which recovery funds were obligated and expended, including--(A) The name of the project or activity; (B) A description of the project or activity; (C) An evaluation of the completion status of the project or activity; (D) An estimate of the number of jobs created and the number of jobs retained by the project or activity; and (E) For infrastructure investments made by State and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment. (4) Detailed information on any subcontracts or sub grants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of OMB. Financial Status Reports. Progress Reports. Financial Status Reports. Project Reports. Financial Status Reports. Progress Reports. Financial Status Reports. Project Reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. The airport is also subject to the Office of Management Budget, A-133 Audits of States, Local Governments, and Nonprofit Organizations, Reporting requirement.

Records:

Records shall be retained for a period of 3 years from the day the recipient submits its final invoice. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the three-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the three-year period, whichever is later. Records include financial and program/progress reports, support documents, statistical records and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0556-0-1-402 - Treasury Account Symbol for the Recovery Act is 70 9/0 0556.

Obligations:

(Project Grants) FY 09 \$50,400,000; FY 10 est \$11,500,000; FY 11 est not reported. - No Current Data is Available for FY 2011.

Range and Average of Financial Assistance:

Will vary by airport project, airport category and hub size. According to congressional legislation, funding is allocated across a wide variety of airports. The financial assistance provided by TSA is effectuated through Other Transactional Authority agreements as the method of providing funding for airport baggage screening projects.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: TSA executed 12 OTAs for a total value of \$50,400,000.00. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

* OMB Memorandum M-09-10 Subject: Initial Implementing Guidance for the American Recovery and Reinvestment Act of 2009.

* Office of Management and Budget Circular A-133, Audits of States, Local Governments and Non-Profit Organizations.

* Single Audit reports as required by the Single Audit Act Amendments of 1996

* TSA Planning Guidelines and Design Standards available at <http://www.tsa.gov/research/asac/index.shtml>

* TSA White Paper on Checked Baggage Reimbursable and Non-Reimbursable

Regional or Local Office:

None.

Headquarters Office:

Lynn Sciascia, 601 South 12th Street, Arlington, Virginia 20598-6016 Email: Lynn.Sciascia@dhs.gov Phone: (571) 227-1165.

Website Address:

No Data Available

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

TSA utilizes an airport prioritization model for airport baggage screening projects based on a risk assessment that identifies operational risks. The overall approach balances an analysis of total project cost with the impact of risk, while factoring in the predicted airport growth rate, (e.g., Numbers of people and bags). Total project costs include TSA capital investment in EDS equipment and the TSA costs associated with installation, integration, and testing of the EDS equipment within the airport facility modification.

97.119 2010 OLYMPICS FIRST RESPONDER TRAINING

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009, Public Law 110-329.

OBJECTIVES:

This program will provide funding for training activities for Washington State and local Whatcom County, Washington first responders in preparation for the 2010 Winter Olympics. Efforts funded by the grant will be coordinated with Department of Homeland Security (DHS) U.S. Customs and Border Protection (CBP) Security Training and Exercise Support for the 2010 Olympics.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Eligible activities include training for: Volunteer and Reserve Medical Services; Maritime Interdiction Training; Explosive Breaching Training; Advanced Law Enforcement Rapid Response Training; Advanced Hostage Negotiations; Coordination Center Joint Training/National Incident Management System (NIMS) courses.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed

in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Extensions to the period of performance will be considered only through formal requests to FEMA with specific and compelling justifications for an extension.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly "Progress Reports" must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. see above. see above. see above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantee's fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0560-0-1-999.

Obligations:

(Project Grants) FY 09 \$157,000; FY 10 est \$0; FY 11 est \$0

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

For regulations and guidelines please refer to the solicitation guidance and application kit.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

FEMA Grant Programs Directorate, 500 C Street SW, Washington, District of Columbia 20472 Email: askscid@dhs.gov Phone: (1-800) 368-6498.

Website Address:

<http://www.fema.gov/grants>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance for information on criteria for selecting proposals.

97.120 BORDER INTEROPERABILITY DEMONSTRATION PROJECT

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Implementing Recommendations of the 9/11 Commission Act of 2007, Title III, Section 302 (Public Law 110-53), 6 U.S.C. 580, Title , Public Law 110-53.

OBJECTIVES:

To identify solutions that facilitate emergency communications along and across the border and ensure emergency response providers can communicate during natural disasters, acts of terrorism, and other man-made disasters.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

A minimum of six communities (at least three located on the U.S.-Canadian border and at least three located on the U.S.-Mexican border) will be selected for participation in the demonstration project and funded through the State in which each community is located. Refer to program guidance for further information. Competitive grant program.

Applicant Eligibility:

A minimum of six communities (at least three located on the U.S.-Canadian border and at least three located on the U.S.-Mexican border) will be selected for participation in the demonstration project and funded through the State in which each community is located.

Beneficiary Eligibility:

Refer to program guidance for further information.

Credentials/Documentation:

Refer to program guidance for further information. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. This program is excluded from coverage under OMB Circular No. A-110. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the

successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance for further information.

Appeals:

Refer to program guidance for further information.

Renewals:

Refer to program guidance for further information.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: January 30, April 30, July 30, and October 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. States are responsible for reporting on the status of the funding distribution to the Department of Homeland Security no later than 90 days after an award is made to a State. In addition, projects selected for funding are required to report on and share lessons learned. See above. See above. See above. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues that arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$25,500,000; FY 11 est \$0

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Fiscal Year 2009: It is anticipated that 17 applications will be received and up to 6 awards will be made in the current fiscal year. Fiscal Year 2010: No Current Data Available. Fiscal Year 2011: No Current Data Available.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 Code of Federal Regulations (CFR) 13 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local

Governments; OMB Circular A-87, relocated to 2 CFR Part 225. Cost Principles for State, Local, and Tribal Governments; OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Office of Emergency Communications, 425 Murray Lane SW, Washington, District of Columbia 20528 Email: oec@dhs.gov Phone: (202) 343-1705.

Website Address:

http://www.dhs.gov

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to program guidance.

97.121 RADIOLOGICAL/NUCLEAR DETECTION PILOT EVALUATIONS PROGRAM

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Homeland Security Act of 2002, Public Law 107-296; as amended, the SAFE Port Act, Title V, Section 501 (a), Public Law 109-347., Title V, Section 501, Public Law 109-347.

OBJECTIVES:

Pilot evaluations of equipment and Concepts of Operations (CONOPs) for use to address the overall risk of illicit radiological/nuclear materials entering our Nation.

TYPES OF ASSISTANCE:

Cooperative Agreements; Dissemination of Technical Information; Project Grants (Contracts); Use of Property, Facilities, and Equipment

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions. Refer to program guidance for further information. Refer to program guidance for further information.

Applicant Eligibility:

Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the announcement, program guidance and award provisions.

Beneficiary Eligibility:

Refer to program guidance for further information.

Credentials/Documentation:

Refer to program guidance for further information. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is required. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are

contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Refer to program guidance for further information.

Renewals:

Contingent upon future Congressional funding. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of the Department of Homeland Security.

Formula and Matching Requirements:

This program has no statutory formula.

This program has no matching requirements.

This program has MOE requirements, see funding agency for further details. See program guidance.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Payment based on project need.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each fiscal quarter, January 30, April 30, July 30 and October 30. See above. See above. See above. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0860-0-1-999.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$776,000; FY 11 est \$5,000,000 - Equipment, in the amount of \$1.2M, on loan to the recipient of the initial award under this program will be transferred to the recipient at the end of that award.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

2 CFR 215 Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations and OMB Circular No. A-102, Grants and Cooperative Agreements with State and Local Governments; 44 CFR part 13 Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local governments; 2 CFR 225 Cost Principles for State, Local and Indian Tribal Governments; 2 CFR 230 Cost Principles for Non-Profit Organizations; 2 CFR 220 Cost Principles for Institutions of Higher Education; 45 CFR 74 Principles for Determining Costs applicable to Research and Development under Grants and Contracts with Hospitals; and OMB Circular A-133 Audit of States, Local Governments, and Non-Profit Organizations.

Regional or Local Office:

None.

Headquarters Office:

James Inman, Department of Homeland Security, 245 Murray Lane, SW, Washington, District of Columbia 20528-0550 Email: James.Inman@dhs.gov Phone: (202) 254-7419.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the program guidance for information on criteria for selecting proposals.

97.122 BIO-PREPAREDNESS COLLABORATORY

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2010, Title III, Office of Health Affairs, 123 Stat. 2157, Title III, Public Law 111-83, 2157 Stat.

OBJECTIVES:

This is a demonstration project that would support the development of a comprehensive, statewide system to analyze public health trends and detect incidents that may threaten homeland security.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to a demonstration project that would support the development of a comprehensive, statewide system to analyze public health trends and detect incidents that may threaten homeland security. Additional information concerning uses and restrictions is contained in the program guidance document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See program guidance. Refer to the program guidance for further information. Refer to the program guidance for further information. Refer to the program guidance for further information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement and program guidance.

Beneficiary Eligibility:

Refer to the program guidance for further information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application/program guidance.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to program guidance document.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to program guidance. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. See above. See above. See above. See above.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0117-0-1-453.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$5,000,000; FY 11 est not reported. - FY 11 Estimate not available.

Range and Average of Financial Assistance:

Refer to program guidance.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

(Refer to section #140 in CFDA reference manual. OMB Circulars No. A-102, Grants and Cooperative Agreements with State and Local Governments and A-87, Cost Principles for State, Local and Indian Tribal Governments, A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations, A-21, Cost Principles for Educational Institutions, A-122, Cost Principles for Non-Profit Organizations, and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Joan Keiser, 245 Murray Lane, S.W., Mail Stop 0115, Washington, District of Columbia 20528 Email: Joan.Keiser@dhs.gov Phone: (202) 447-5589 Fax: (202) 557-5600 .

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.123 MULTI-STATE INFORMATION SHARING AND ANALYSIS CENTER

(MS-ISAC)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2010, Title III.

OBJECTIVES:

Support the activities of the Multi-State Information Sharing and Analysis Center (MS-ISAC), involving cyber security protections for State, Tribal and local governments.

TYPES OF ASSISTANCE:

Cooperative Agreements

USES AND USE RESTRICTIONS:

Restricted to supporting the activities of the Multi-State Information Sharing and Analysis Center (MS-ISAC), involving cyber security protections for State, Tribal and local governments. Additional information concerning uses and restrictions is contained in the Funding Opportunity Announcement document or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See Funding Opportunity Announcement. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement.

Beneficiary Eligibility:

State Governments, local government, territorial governments, tribal governments.

Credentials/Documentation:

Refer to Section 140: Regulations, Guidelines, and Literature. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the application.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to Funding Opportunity Announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to Funding Opportunity Announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to Funding Opportunity Announcement.

Reports:

Grantees are required to submit quarterly financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days after the end of each quarter: Jan. 30, April 30, July 30, and Oct. 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to the program guidance. Refer to the program guidance. Refer to the program guidance. Refer to the program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 09 not reported.; FY 10 est \$3,000,000; FY 11 est not reported. - FY 2011 and FY 2012 estimates not available.

Range and Average of Financial Assistance:

Refer to Funding Opportunity Announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225), A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (iii. 2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

Kelvin Coleman, 245 Murray Lane, SW, Mail Stop 0115, Washington, District of Columbia 20528 Email: Kelvin.Coleman@dhs.gov Phone: (703) 235-5643.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Refer to the Funding Opportunity Announcement for information on criteria for selecting proposals.

97.124 INTEROPERABLE COMMUNICATIONS AND TRAINING PROJECT

(ICAT)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2010, Public Law 111-83, 2156 Stat.

OBJECTIVES:

The Interoperable Communications and Training (ICAT) Project will create a pilot training program tailored to the first responder and emergency management community in the primary areas of public safety project management, emergency communications and interoperability, and broadband technology use.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Financial and nonfinancial assistance may be provided for the following: personnel costs, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. DHS grant funds may only be used for the purpose set forth in the cooperative agreement, and must be consistent with the statutory authority for the award. Grant funds may not be used for matching funds for other Federal grants, lobbying, or intervention in Federal regulatory or adjudicatory proceedings. In addition, Federal funds may not be used to sue the Federal government or any

other government entity. Refer to program guidance document. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Applicant Eligibility:

Refer to program guidance.

Beneficiary Eligibility:

Refer to program guidance.

Credentials/Documentation:

No Credentials or documentation are required. OMB Circular No. A-87 applies to this program.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

This program is excluded from coverage under OMB Circular No. A-102. OMB Circular No. A-110 applies to this program.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Not Applicable.

Range of Approval/Disapproval Time:

Refer to funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. This program does not have MOE requirements.

Length and Time Phasing of Assistance:

Refer to funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. Method of awarding/releasing assistance: quarterly.

Reports:

Refer to program guidance. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$1,000,000; FY 11 est not reported. - FY 2011 estimate not available.

Range and Average of Financial Assistance:

No Data Available.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225), A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (iii. 2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedures.

Regional or Local Office:

See Regional Agency Offices.

Headquarters Office:

Mike Dame, 245 Murray Lane, SW, Mail Stop # 0614, Washington, District of Columbia 20528 Email: Michael.Dame@dhs.gov Phone: (202) 343-1663.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

97.125 VIRGINIA OPERATIONAL INTEGRATION CYBER CENTER OF EXCELLENCE

(VOICCE)

FEDERAL AGENCY:

Department of Homeland Security

AUTHORIZATION:

Department of Homeland Security Appropriations Act of 2010, Title III, as amended by National Protection and Programs Directorate, Infrastructure Protection and Information Security, Public Law 111-83, 2157 Stat.

OBJECTIVES:

To support the creation of a Municipal Cyber Lab. The Lab will focus on raising awareness of cybersecurity issues among local government officials, and incorporating cybersecurity planning into the mainstream of emergency operations at the local level. The concept encourages the development of capabilities, processes and procedures that will better equip local officials to cope with a cyber incident.

TYPES OF ASSISTANCE:

Project Grants

USES AND USE RESTRICTIONS:

Restricted to supporting the activities of the Virginia Operational Integration Cyber Center of Excellence (VOICCE), involving incorporating cybersecurity planning into the mainstream of emergency operations at the local level. Additional information concerning uses and restrictions is contained in the funding opportunity announcement or can be obtained from the administering program office identified in this announcement. Financial and nonfinancial assistance may be provided for the following: salaries, materials and supplies, equipment, travel, publication costs, subcontractor and supporting costs required for technical and other activities necessary to achieve the objective. Restrictions on use of funds will be identified in the funding opportunity announcement and award provisions. See the funding opportunity announcement and award provisions. See the funding opportunity announcement. Refer to program guidance for further information. Refer to

program guidance for further information.

Applicant Eligibility:

Specific information on applicant eligibility is identified in the funding opportunity announcement.

Beneficiary Eligibility:

Beneficiary Eligibility: State Governments, local government, territorial governments, and tribal governments. Refer to program guidance for further information.

Credentials/Documentation:

No Credentials or documentation are required. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Preapplication coordination is not applicable. Environmental impact information is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedures:

OMB Circular No. A-102 applies to this program. OMB Circular No. A-110 applies to this program. Application deadline and other information are contained in the funding opportunity announcement.

Award Procedure:

Applications or plans are reviewed by DHS program and administrative staff. Any issues or concerns noted in the application will be negotiated with the successful applicant prior to the award being issued.

Deadlines:

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time:

Refer to the funding opportunity announcement.

Appeals:

Not Applicable.

Renewals:

Subject to future appropriations.

Formula and Matching Requirements:

Statutory formulas are not applicable to this program. Matching requirements are not applicable to this program. MOE requirements are not applicable to this program.

Length and Time Phasing of Assistance:

Refer to the funding opportunity announcement. Awards are subject to the Cash Management Improvement Act for payment and/or reimbursement of expenditures. See the following for information on how assistance is awarded/released: Refer to program guidance.

Reports:

Grantees are required to submit semiannual financial and performance reports. Quarterly Progress Reports must include the progress of each sub-grant award. Reports are due 30 days of the reporting period: Jan. 30, July 30. Final financial and performance reports are due 90 days after the expiration or termination of grant award. Refer to program guidance. Refer to program guidance. Refer to program guidance.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133. These audits are due to the cognizant Federal agency, submitted through the Federal Audit Clearinghouse, not later than 9 months after the end of the grantees fiscal year.

Records:

Grant records shall be retained for a period of 3 years from the day the recipient submits its final expenditure report. If any litigation, claim, negotiation, audit,

or other action involving the records has been started before the expiration of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. Grant records include financial and program/progress reports, support documents, statistical records, and other documents that support the activity and/or expenditure of the recipient or sub-recipient under the award.

Account Identification:

70-0565-0-1-999.

Obligations:

(Salaries) FY 09 \$0; FY 10 est \$500,000; FY 11 est not reported. - FY 2011 estimate not available.

Range and Average of Financial Assistance:

Refer to the funding opportunity announcement.

PROGRAM ACCOMPLISHMENTS:

Not Applicable.

REGULATIONS, GUIDELINES, AND LITERATURE:

44 CFR Part 13, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, A-87, Cost Principles for State, Local and Indian Tribal Governments (2 CFR Part 225), A-110, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals and Non-Profit Organizations (2 CFR Part 215), A-21, Cost Principles for Educational Institutions (2 CFR Part 220), A-122, Cost Principles for Non-Profit Organizations (iii. 2 CFR Part 230), and A-133 Audits of States, Local Governments, and Non-Profit Organizations, in addition to program regulations, guidelines, DHS policy and procedure.

Regional or Local Office:

None.

Headquarters Office:

Kelvin Coleman, 245 Murray Lane, SW, Mail Stop 0115, Washington, District of Columbia 20528 Email: Kelvin.Coleman@dhs.gov Phone: (703) 235-2871.

Website Address:

<http://www.dhs.gov>

RELATED PROGRAMS:

Not Applicable.

EXAMPLES OF FUNDED PROJECTS:

Not Applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not Applicable.

Appendix I: Programs Requiring Executive Order 12372 Review

This appendix lists programs requiring Executive Order 12372 review. Executive Order 12372 "Intergovernmental Review of Federal Programs," structures the Federal government's system of consultation with State and local governments on its decisions involving grants, other forms of financial assistance, and direct development. Under E.O. 12372, States, in consultation with their local governments, design their own review processes and select those Federal financial assistance and direct development activities they wish to review.

Since October 1, 1983 most States have acted to establish a review and comment system in response to E.O. 12372. In such cases, applicants for grants will need to meet the requirements of the intergovernmental consultation process established by a particular State and its local governments before Federal agencies take action on an application.

For more information on the process a particular State requires to be followed, an applicant should contact the office or official designated as the single point of contact in his or her State. Consult the individual program description in the Catalog to determine whether the programs under which he/she plans to apply is subject to E.O. 12372. Consult the Federal agency's application package for instructions on State notification.

DEPARTMENT OF AGRICULTURE

10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
10.028 Wildlife Services (B)
10.156 Federal-State Marketing Improvement Program (B)
10.164 Wholesale Farmers and Alternative Market Development (K,M)
10.168 Farmers' Market Promotion Program (B)
10.411 Rural Housing Site Loans and Self-Help Housing Land Development Loans (E)
10.415 Rural Rental Housing Loans (B,E)
10.420 Rural Self-Help Housing Technical Assistance (B)
10.433 Rural Housing Preservation Grants (B)
10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
10.553 School Breakfast Program (A)
10.555 National School Lunch Program (A)
10.556 Special Milk Program for Children (A)
10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
10.558 Child and Adult Care Food Program (A)
10.559 Summer Food Service Program for Children (A)
10.560 State Administrative Expenses for Child Nutrition (A)
10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
10.565 Commodity Supplemental Food Program (A,H)
10.566 Nutrition Assistance For Puerto Rico (C)
10.567 Food Distribution Program on Indian Reservations (B,H)
10.568 Emergency Food Assistance Program (Administrative Costs) (A,B)
10.569 Emergency Food Assistance Program (Food Commodities) (A)
10.664 Cooperative Forestry Assistance (A,B)
10.680 Forest Health Protection (A,B)
10.760 Water and Waste Disposal Systems for Rural Communities (B,E,F)
10.763 Emergency Community Water Assistance Grants (B)
10.766 Community Facilities Loans and Grants (B,E,F)
10.767 Intermediary Relending Program (E)
10.768 Business and Industry Loans (F)
10.769 Rural Business Enterprise Grants (B)
10.770 Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
10.771 Rural Cooperative Development Grants (B)
10.773 Rural Business Opportunity Grants (B)
10.780 Community Facilities Loans and Grants (B,E)
10.781 Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)
10.787 Broadband Initiatives Program (B,E)
10.855 Distance Learning and Telemedicine Loans and Grants (B,E)
10.865 Biorefinery Assistance (F)
10.901 Resource Conservation and Development (K)

10.904 Watershed Protection and Flood Prevention (K)
10.916 Watershed Rehabilitation Program (K)

DEPARTMENT OF COMMERCE

11.010 Community Trade Adjustment Assistance (B)
11.482 Coral Reef Conservation Program (B)
11.550 Public Telecommunications Facilities Planning and Construction (B)
11.557 Broadband Technology Opportunities Program (BTOP) (B)
11.558 State Broadband Data and Development Grant Program (B)

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

14.112 Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (F)
14.123 Mortgage Insurance_Housing in Older, Declining Areas (F)
14.126 Mortgage Insurance_Cooperative Projects (F)
14.127 Mortgage Insurance_Manufactured Home Parks (F)
14.128 Mortgage Insurance_Hospitals (F)
14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
14.134 Mortgage Insurance_Rental Housing (F)
14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
14.138 Mortgage Insurance_Rental Housing for the Elderly (F)
14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas (F)
14.151 Supplemental Loan Insurance_Multifamily Rental Housing (F)
14.157 Supportive Housing for the Elderly (C)
14.181 Supportive Housing for Persons with Disabilities (C)
14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)
14.218 Community Development Block Grants/Entitlement Grants (A)
14.246 Community Development Block Grants/Brownfields Economic Development Initiative (B)
14.314 Assisted Living Conversion for Eligible Multifamily Housing Projects (B)
14.850 Public and Indian Housing (C)
14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)
14.872 Public Housing Capital Fund (A)
14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)
14.884 Public Housing Capital Fund Competitive (Recovery Act Funded) (B)
14.889 Choice Neighborhoods (B)

DEPARTMENT OF THE INTERIOR

15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)
15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
15.253 Not-for-Profit AMD Reclamation (B)
15.423 Minerals Management Service (MMS) Environmental Studies Program

Appendix I: Programs Requiring Executive Order 12372 Review

- (ESP) (B)
- 15.424 Marine Minerals Activities (B)
- 15.425 Offshore Research Technology Center (OTRC) Texas Engineering Experiment Station (TEES) (B)
- 15.427 Federal Oil and Gas Royalty Management (B)
- 15.512 Central Valley Project Improvement Act, Title XXXIV (B)
- 15.514 Reclamation States Emergency Drought Relief (B,J,K)
- 15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)
- 15.524 Recreation Resources Management (B)
- 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)
- 15.538 Lower Colorado River Multi-Species Conservation Program. (B)
- 15.605 Sport Fish Restoration Program (A)
- 15.611 Wildlife Restoration (A)
- 15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)
- 15.615 Cooperative Endangered Species Conservation Fund (B)
- 15.616 Clean Vessel Act (B)
- 15.622 Sportfishing and Boating Safety Act (B)
- 15.626 Firearm and Bow Hunter Education and Safety Program (A)
- 15.628 Multistate Conservation Grant Program (B)
- 15.636 Alaska Subsistence Management (B)

DEPARTMENT OF JUSTICE

- 16.018 Services to Advocate for and Respond to Youth (B)
- 16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)
- 16.544 Youth Gang Prevention (B)
- 16.548 Title V_Delinquency Prevention Program (A)
- 16.550 State Justice Statistics Program for Statistical Analysis Centers (B)
- 16.554 National Criminal History Improvement Program (NCHIP) (B)
- 16.578 Federal Surplus Property Transfer Program (H)
- 16.590 The Community-Defined Solutions to Violence Against Women Grant Program (B)
- 16.593 Residential Substance Abuse Treatment for State Prisoners (A)
- 16.595 Community Capacity Development Office (B,J)
- 16.606 State Criminal Alien Assistance Program (C)
- 16.609 Project Safe Neighborhoods (B)
- 16.610 Regional Information Sharing Systems (B)
- 16.611 Closed-Circuit Televising of Child Victims of Abuse (B)
- 16.614 State and Local Anti-Terrorism Training (K,L,M)
- 16.684 Supporting Teens through Education and Protection Act of 2005 (STEP Act) (B)
- 16.710 Public Safety Partnership and Community Policing Grants (B)
- 16.726 Juvenile Mentoring Program (B)
- 16.727 Enforcing Underage Drinking Laws Program (B)
- 16.738 Edward Byrne Memorial Justice Assistance Grant Program (A,B)
- 16.741 Forensic DNA Backlog Reduction Program (A)
- 16.742 Paul Coverdell Forensic Sciences Improvement Grant Program (A)
- 16.750 Support for Adam Walsh Act Implementation Grant Program (B)
- 16.752 Economic High-Tech and Cyber Crime Prevention (B)
- 16.753 Congressionally Recommended Awards (B)
- 16.803 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (A,B)
- 16.804 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (A,B)
- 16.807 Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (B,C)

DEPARTMENT OF LABOR

- 17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)
- 17.235 Senior Community Service Employment Program (A,B)
- 17.258 WIA Adult Program (A)
- 17.259 WIA Youth Activities (A)
- 17.260 WIA Dislocated Workers (A,B)
- 17.264 National Farmworker Jobs Program (A)
- 17.271 Work Opportunity Tax Credit Program (WOTC) (A)
- 17.278 WIA Dislocated Worker Formula Grants (A)
- 17.600 Mine Health and Safety Grants (B)
- 17.801 Disabled Veterans' Outreach Program (DVOP) (A)
- 17.802 Veterans' Employment Program (B)
- 17.804 Local Veterans' Employment Representative Program (A)
- 17.807 Transition Assistance Program (B)

DEPARTMENT OF TRANSPORTATION

- 20.106 Airport Improvement Program (B,K)
- 20.108 Aviation Research Grants (B,I)
- 20.109 Air Transportation Centers of Excellence (B,I,J)
- 20.205 Highway Planning and Construction (A,B)
- 20.219 Recreational Trails Program (A)
- 20.231 Performance and Registration Information Systems Management (B)
- 20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)
- 20.318 Maglev Project Selection Program - SAFETEA-LU (B)
- 20.500 Federal Transit_Capital Investment Grants (A,B)
- 20.505 Metropolitan Transportation Planning (A)
- 20.507 Federal Transit_Formula Grants (A)
- 20.509 Formula Grants for Other Than Urbanized Areas (A)
- 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)
- 20.514 Public Transportation Research (B,L,M)
- 20.515 State Planning and Research (A)
- 20.516 Job Access_Reverse Commute (B)
- 20.520 Paul S. Sarbanes Transit in the Parks (B)
- 20.521 New Freedom Program (A)
- 20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)
- 20.600 State and Community Highway Safety (A)
- 20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)
- 20.602 Occupant Protection Incentive Grants (B)
- 20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)
- 20.610 State Traffic Safety Information System Improvement Grants (B)
- 20.611 Incentive Grant Program to Prohibit Racial Profiling (B)
- 20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)
- 20.613 Child Safety and Child Booster Seats Incentive Grants (B)
- 20.700 Pipeline Safety Program Base Grants (A)
- 20.703 Interagency Hazardous Materials Public Sector Training and Planning Grants (B)
- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)
- 20.816 Americas Marine Highway Grants (B)
- 20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (B)

NATIONAL ENDOWMENT FOR THE ARTS

- 45.025 Promotion of the Arts_Partnership Agreements (B,K)

NATIONAL ENDOWMENT FOR THE HUMANITIES

- 45.168 Promotion of the Humanities_We the People (B)

ENVIRONMENTAL PROTECTION AGENCY

- 66.001 Air Pollution Control Program Support (B)

Appendix I: Programs Requiring Executive Order 12372 Review

66.032	State Indoor Radon Grants (B)	66.510	Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
66.033	Ozone Transport Commission (B)	66.511	Office of Research and Development Consolidated Research/Training/Fellowships (B)
66.034	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)	66.512	Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)
66.035	Community Action for a Renewed Environment (CARE) Program (B)	66.516	P3 Award: National Student Design Competition for Sustainability (B)
66.036	Clean School Bus USA (B)	66.517	Regional Applied Research Efforts (RARE) (B)
66.039	National Clean Diesel Emissions Reduction Program (B)	66.604	Environmental Justice Small Grant Program (B)
66.040	State Clean Diesel Grant Program (A)	66.605	Performance Partnership Grants (A,B)
66.041	Climate Showcase Communities Grant Program (B)	66.609	Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)
66.042	Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)	66.610	Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.050	Potomac Highlands Implementation Grants (B)	66.611	Environmental Policy and Innovation Grants (B)
66.111	Regional Environmental Priority Projects (B)	66.612	Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)
66.113	Region 3 Environmental Priority Projects (B)	66.707	TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)
66.119	West Coast Estuaries Initiative (B)	66.708	Pollution Prevention Grants Program (B)
66.124	Coastal Wetlands Planning Protection and Restoration Act (B)	66.709	Multi-Media Capacity Building Grants for States and Tribes (B)
66.125	Lake Pontchartrain Basin Restoration Program (PRP) (B)	66.715	Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)
66.202	Congressionally Mandated Projects (B)	66.716	Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)
66.203	Environmental Finance Center Grants (B)	66.717	Source Reduction Assistance (B)
66.305	Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)	66.801	Hazardous Waste Management State Program Support (A)
66.309	Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)	66.802	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.312	State Environmental Justice Cooperative Agreement Program (B)	66.804	Underground Storage Tank Prevention, Detection and Compliance Program (A)
66.418	Construction Grants for Wastewater Treatment Works (B)	66.805	Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.419	Water Pollution Control State, Interstate, and Tribal Program Support (A)	66.806	Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.424	Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)	66.808	Solid Waste Management Assistance Grants (B)
66.432	State Public Water System Supervision (A)	66.809	Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.433	State Underground Water Source Protection (A)	66.810	Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)
66.436	Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)	66.812	Hazardous Waste Management Grant Program for Tribes (B)
66.437	Long Island Sound Program (B)	66.813	Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
66.439	Targeted Watersheds Grants (B)	66.814	Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
66.454	Water Quality Management Planning (A)	66.816	Headquarters and Regional Underground Storage Tanks Program (B)
66.456	National Estuary Program (B)	66.817	State and Tribal Response Program Grants (A)
66.458	Capitalization Grants for Clean Water State Revolving Funds (A)	66.818	Brownfields Assessment and Cleanup Cooperative Agreements (B)
66.460	Nonpoint Source Implementation Grants (A)	66.931	International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)
66.461	Regional Wetland Program Development Grants (B)	66.940	Environmental Policy and State Sustainability Grants (B)
66.462	National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)		
66.463	Water Quality Cooperative Agreements (B)		
66.466	Chesapeake Bay Program (B)		
66.467	Wastewater Operator Training Grant Program (B)		
66.468	Capitalization Grants for Drinking Water State Revolving Funds (A)		
66.469	Great Lakes Program (B,I,L)		
66.471	State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)		
66.472	Beach Monitoring and Notification Program Implementation Grants (A)		
66.474	Water Protection Grants to the States (A)		
66.475	Gulf of Mexico Program (B)		
66.479	Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)		
66.480	Assessment and Watershed Protection Program Grants (B)		
66.481	Lake Champlain Basin Program (B)		
66.509	Science To Achieve Results (STAR) Research Program (B)		

DEPARTMENT OF ENERGY

81.041	State Energy Program (A,B,L)
81.042	Weatherization Assistance for Low-Income Persons (A,L)
81.127	Energy Efficient Appliance Rebate Program (EEARP) (A)
81.128	Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)
81.131	Expand and Extend Clean Coal Power Initiative (B)

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DEPARTMENT OF EDUCATION

84.002	Adult Education - Basic Grants to States (A)	In-Service Training (B)
84.004	Civil Rights Training and Advisory Services (B)	84.269 Institute for International Public Policy (B)
84.011	Migrant Education_State Grant Program (A)	84.274 American Overseas Research Centers (B)
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	84.275 Rehabilitation Training_General Training (B)
84.016	Undergraduate International Studies and Foreign Language Programs (B)	84.286 Ready to Teach (B)
84.027	Special Education_Grants to States (A)	84.287 Twenty-First Century Community Learning Centers (A)
84.031	Higher Education_Institutional Aid (B)	84.293 Foreign Language Assistance (B)
84.042	TRIO_Student Support Services (B)	84.295 Ready-To-Learn Television (B)
84.044	TRIO_Talent Search (B)	84.299 Indian Education -- Special Programs for Indian Children (B)
84.047	TRIO_Upward Bound (B)	84.304 Civic Education - We the People and the Cooperative Education Exchange Program (B)
84.048	Career and Technical Education -- Basic Grants to States (A)	84.310 Parental Information and Resource Centers (B)
84.060	Indian Education_Grants to Local Educational Agencies (A)	84.315 Capacity Building for Traditionally Underserved Populations (B)
84.066	TRIO_Educational Opportunity Centers (B)	84.323 Special Education - State Personnel Development (B)
84.083	Women's Educational Equity Program (B)	84.324 Research in Special Education (B)
84.103	TRIO Staff Training Program (B)	84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
84.116	Fund for the Improvement of Postsecondary Education (B)	84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
84.120	Minority Science and Engineering Improvement (B)	84.327 Special Education_Technology and Media Services for Individuals with Disabilities (B)
84.126	Rehabilitation Services_Vocational Rehabilitation Grants to States (A)	84.328 Special Education_Parent Information Centers (B)
84.128	Rehabilitation Services_Service Projects (B)	84.329 Special Education_Studies and Evaluations (B)
84.129	Rehabilitation Long-Term Training (B)	84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)
84.132	Centers for Independent Living (B)	84.333 Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (B)
84.141	Migrant Education_High School Equivalency Program (B)	84.334 Gaining Early Awareness and Readiness for Undergraduate Programs (B)
84.144	Migrant Education_Coordination Program (B)	84.335 Child Care Access Means Parents in School (B)
84.145	Federal Real Property Assistance Program (H)	84.336 Teacher Quality Partnership Grants (B)
84.149	Migrant Education_College Assistance Migrant Program (B)	84.337 International Education_Technological Innovation and Cooperation for Foreign Information Access (B)
84.153	Business and International Education Projects (B)	84.343 Assistive Technology_State Grants for Protection and Advocacy (A)
84.160	Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)	84.350 Transition to Teaching (B)
84.161	Rehabilitation Services_Client Assistance Program (A)	84.351 Arts in Education (B)
84.165	Magnet Schools Assistance (B)	84.354 Credit Enhancement for Charter School Facilities (B)
84.169	Independent Living_State Grants (A)	84.356 Alaska Native Educational Programs (B)
84.173	Special Education_Preschool Grants (A)	84.359 Early Reading First (B)
84.177	Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)	84.360 High School Graduation Initiative (B)
84.181	Special Education-Grants for Infants and Families (A)	84.361 Voluntary Public School Choice (B)
84.184	Safe and Drug-Free Schools and Communities_National Programs (B)	84.362 Native Hawaiian Education (B)
84.186	Safe and Drug-Free Schools and Communities_State Grants (A)	84.363 School Leadership (B)
84.187	Supported Employment Services for Individuals with the Most Significant Disabilities (A)	84.364 Improving Literacy Through School Libraries (B)
84.191	Adult Education_National Leadership Activities (B,C)	84.370 DC School Choice Incentive Program (B)
84.196	Education for Homeless Children and Youth (A)	84.371 Striving Readers (A,B)
84.200	Graduate Assistance in Areas of National Need (B)	84.373 Special Education_Technical Assistance on State Data Collection (B)
84.206	Javits Gifted and Talented Students Education Grant Program (B)	84.374 Teacher Incentive Fund (B)
84.214	Even Start_Migrant Education (B)	84.378 College Access Challenge Grant Program (A)
84.217	TRIO_McNair Post-Baccalaureate Achievement (B)	84.381 Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)
84.220	Centers for International Business Education (B)	84.382 Strengthening Minority-Serving Institutions (B)
84.224	Assistive Technology (A)	84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act (A)
84.234	Projects with Industry (B)	84.392 Special Education - Preschool Grants, Recovery Act (A)
84.235	Rehabilitation Services Demonstration and Training Programs (B)	84.393 Special Education - Grants for Infants and Families, Recovery Act (A)
84.240	Program of Protection and Advocacy of Individual Rights (A)	84.398 Independent Living State Grants, Recovery Act (A)
84.243	Tech-Prep Education (A)	84.399 Independent Living Services for Older Individuals Who are Blind,
84.246	Rehabilitation Short-Term Training (B)	
84.263	Rehabilitation Training_Experimental and Innovative Training (B)	
84.264	Rehabilitation Training_Continuing Education (B)	
84.265	Rehabilitation Training_State Vocational Rehabilitation Unit	

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Recovery Act (A)

- 84.400 Centers for Independent Living, Recovery Act. (B)
- 84.405 Teacher Quality Partnerships, Recovery Act (B)
- 84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act. (B)
- 84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (B)

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

- 89.003 National Historical Publications and Records Grants (B)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

- 93.007 Public Awareness Campaigns on Embryo Adoption (B)
- 93.010 Community-Based Abstinence Education (CBAE) (B)
- 93.015 HIV Prevention Programs for Women (B)
- 93.018 Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
- 93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (A)
- 93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (A)
- 93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)
- 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)
- 93.053 Nutrition Services Incentive Program (A)
- 93.062 Biomonitoring Programs for State Public Health Laboratories (B)
- 93.063 Centers for Genomics and Public Health (B)
- 93.068 Chronic Diseases: Research, Control, and Prevention (B)
- 93.069 Public Health Emergency Preparedness (B)
- 93.070 Environmental Public Health and Emergency Response (B)
- 93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)
- 93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)
- 93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)
- 93.093 Demonstration Projects to Address Health Professions Workforce Needs (B)
- 93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
- 93.105 Bilingual/Bicultural Service Demonstration Grants (B)
- 93.107 Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)
- 93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
- 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
- 93.129 Technical and Non-Financial Assistance to Health Centers (B)
- 93.134 Grants to Increase Organ Donations (B)
- 93.137 Community Programs to Improve Minority Health Grant Program (B)
- 93.145 AIDS Education and Training Centers (B)
- 93.153 Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)
- 93.155 Rural Health Research Centers (B)
- 93.156 Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B,M)
- 93.161 Health Program for Toxic Substances and Disease Registry (B)
- 93.184 Disabilities Prevention (B)
- 93.185 Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)
- 93.186 National Research Service Award in Primary Care Medicine (M)
- 93.197 Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)
- 93.204 Surveillance of Hazardous Substance Emergency Events (B)
- 93.206 Human Health Studies_Applied Research and Development (B)
- 93.211 Telehealth Programs (B)
- 93.217 Family Planning_Services (B)
- 93.223 Development and Coordination of Rural Health Services (B)
- 93.224 Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)
- 93.234 Traumatic Brain Injury State Demonstration Grant Program (B)
- 93.240 State Capacity Building (B)
- 93.243 Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)
- 93.257 Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)
- 93.260 Family Planning_Personnel Training (B)
- 93.266 Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)
- 93.268 Immunization Grants (B)
- 93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)
- 93.270 Adult Viral Hepatitis Prevention and Control (B)
- 93.276 Drug-Free Communities Support Program Grants (B)
- 93.283 Centers for Disease Control and Prevention_Investigations and Technical Assistance (B)
- 93.290 National Community Centers of Excellence in Women's Health (B)
- 93.295 Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)
- 93.296 State Partnership Grant Program to Improve Minority Health (B)
- 93.389 National Center for Research Resources (B)
- 93.418 ARRA - Emergency Medical Services for Children (B)
- 93.448 Food Safety and Security Monitoring Project (B)
- 93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)
- 93.453 Coalition for a Healthier Community (B)
- 93.500 Pregnancy Assistance Fund Program (B)
- 93.505 Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)
- 93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes (B)
- 93.520 Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)
- 93.521 The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements (B)
- 93.527 Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)
- 93.550 Transitional Living for Homeless Youth (B)
- 93.551 Abandoned Infants (B)
- 93.556 Promoting Safe and Stable Families (A,B)
- 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
- 93.563 Child Support Enforcement (A)
- 93.570 Community Services Block Grant_Discretionary Awards (B)
- 93.576 Refugee and Entrant Assistance_Discretionary Grants (B)
- 93.593 Job Opportunities for Low-Income Individuals (B)
- 93.595 Welfare Reform Research, Evaluations and National Studies (B)

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93.598	Services to Victims of a Severe Form of Trafficking (B)	Grants (B)	
93.600	Head Start (B)	93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)
93.601	Child Support Enforcement Demonstrations and Special Projects (B)	93.990	National Health Promotion (B)
93.602	Assets for Independence Demonstration Program (B)	93.995	Adolescent Family Life_Demonstration Projects (B)
93.604	Assistance for Torture Victims (B)	CORPORATION FOR NATIONAL AND COMMUNITY SERVICE	
93.616	Mentoring Children of Prisoners (B)	94.002	Retired and Senior Volunteer Program (B)
93.617	Voting Access for Individuals with Disabilities_Grants to States (A)	94.007	Program Development and Innovation Grants (B)
93.618	Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A)	94.011	Foster Grandparent Program (B)
93.623	Basic Center Grant (B)	94.013	Volunteers in Service to America (J)
93.631	Developmental Disabilities Projects of National Significance (B)	94.016	Senior Companion Program (B)
93.643	Children's Justice Grants to States (A)	94.022	Nonprofit Capacity Building (B)
93.645	Child Welfare Services_State Grants (A)	EXECUTIVE OFFICE OF THE PRESIDENT	
93.647	Social Services Research and Demonstration (B)	95.001	High Intensity Drug Trafficking Areas Program (B)
93.669	Child Abuse and Neglect State Grants (A)	DEPARTMENT OF HOMELAND SECURITY	
93.670	Child Abuse and Neglect Discretionary Activities (B)	97.005	State and Local Homeland Security National Training Program (B)
93.671	Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (A)	97.007	Homeland Security Preparedness Technical Assistance Program (B)
93.702	National Center for Research Resources, Recovery Act Construction Support (B)	97.008	Non-Profit Security Program (B)
93.703	ARRA Grants to Health Center Programs (B)	97.009	Cuban/Haitian Entrant Program (B)
93.705	Aging Home-Delivered Nutrition Services for States (A)	97.012	Boating Safety Financial Assistance (A)
93.708	ARRA - Head Start (B)	97.013	State Access to the Oil Spill Liability Trust Fund (B)
93.711	ARRA Strengthening Communities Fund (B)	97.029	Flood Mitigation Assistance (B)
93.717	ARRA - Preventing Healthcare-Associated Infections (B)	97.030	Community Disaster Loans (E)
93.731	ARRA - Prevention and Wellness - Leveraging National Organizations (B)	97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
93.768	Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)	97.042	Emergency Management Performance Grants (A)
93.910	Family and Community Violence Prevention Program (B)	97.045	Cooperating Technical Partners (B)
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)	97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)
93.913	Grants to States for Operation of Offices of Rural Health (B)	97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)
93.914	HIV Emergency Relief Project Grants (B)	97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)	97.052	Emergency Operations Centers (B)
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)	97.067	Homeland Security Grant Program (A)
93.926	Healthy Start Initiative (B)	97.070	Map Modernization Management Support (B)
93.928	Special Projects of National Significance (B)	97.071	Metropolitan Medical Response System (B)
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)	97.072	National Explosives Detection Canine Team Program (B)
93.939	HIV Prevention Activities_Non-Governmental Organization Based (B)	97.073	State Homeland Security Program (SHSP) (A)
93.940	HIV Prevention Activities_Health Department Based (B)	97.075	Rail and Transit Security Grant Program (B)
93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)	97.078	Buffer Zone Protection Program (BZPP) (B)
93.942	Research, Treatment and Education Programs on Lyme Disease in the United States (B)	97.080	Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)	97.083	Staffing for Adequate Fire and Emergency Response (SAFER) (B)
93.945	Assistance Programs for Chronic Disease Prevention and Control (B)	97.086	Homeland Security Outreach, Education, and Technical Assistance (B,L)
93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)	97.089	Driver's License Security Grant Program (B)
93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)	97.092	Repetitive Flood Claims (B)
93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)	97.106	Securing the Cities (B,H,I,L)
93.977	Preventive Health Services_Sexually Transmitted Diseases Control	97.107	National Incident Management System (NIMS) (B)
		97.110	Severe Loss Repetitive Program (B)
		97.111	Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
		97.112	Border Infrastructure Improvement Projects (B)
		97.113	Rail and Transit Security Grant Program (ARRA) (B)
		97.116	Port Security Grant Program (ARRA) (B)
		97.119	2010 Olympics First Responder Training (B)
		97.120	Border Interoperability Demonstration Project (B)

Appendix I: Programs Requiring Executive Order 12372 Review

97.121 Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)

Appendix II: Authorization Appendix

Acts and Executive Orders

A

- A. The Omnibus Appropriations Act, 2009, appropriated funds to HHS for carrying out Title III of the Public Health Service Act (PHS Act). Public Law 111-8, 123 Stat. 524, 777 (March 11, 2009).
- b. Pursuant to Section 307(a)(2) of the PHS Act, 42 U.S.C. Section 242l(a)(2), HHS is authorized to participate with other countries in cooperative endeavors in biomedical research, health care services, health care research, or other related activities in furtherance of the activities, objectives or goals authorized under the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008 (PEPFAR Reauthorization Act). The purpose of the PEPFAR Reauthorization Act, 22 U.S.C. Section 7603, is to strengthen and enhance United States leadership and the effectiveness of the United States response to the HIV/AIDS, tuberculosis, and malaria pandemics and other related and preventable infectious diseases as part of the overall United States health and development agenda.-- 93.019
- AIDS Housing Opportunity Act, 42 U.S.C. 12901 et seq.-- 14.241
- AUTHORIZATION: Office of National Drug Control Policy Reauthorization Act of 2006-- 95.001
- Abandoned Infants Assistance Act of 1988, Section 104, Public Law 100-505, as amended-- 93.551
- Accountable Pipeline Safety and Partnership Act of 1996-- 20.700
- Act of August 13, 1968-- 10.053
- Act: Patient Protection and Affordable Care Act - Part: Part III, Title III: Improving the Quality and Efficiency of Health Care-- 93.518
- Adam Walsh Child Protection and Safety Act of 2006 (AWA)-- 16.750
- Administrative Dispute Resolution Act of 1996-- 33.001
- Adult Education and Family Literacy Act-- 17.267
- Adult Education and Family Literacy Act, (AEFLA), Section 243, of the Workforce Investment Act of 1998, 20 USC 9253-- 84.191
- Adult Education and Family Literacy Act, (AEFLA), Title II of the Workforce Investment Act of 1998, 20 U.S.C. 9201 et seq.-- 84.002
- Affordable Care Act-- 93.525
- Affordable Care Act (ACA)-- 93.508
- African Elephant Conservation Act, Title II Section 2001 et seq., Public Law 100-478, 102 Stat. 2315 et seq., 16 U.S.C. 201 et seq., 4211 et seq., 4221 et seq., 4241 et seq., and 1538.-- 15.620
- Age Discrimination in Employment Act of 1967,(Pub. L. 90-202), as amended, 29 U.S.C. section 621 et seq-- 30.008
- Agricultural Adjustment Act of 1938-- 10.167
- Agricultural Credit Act of 1978-- 10.054
- Agricultural Credit Act of 1987-- 10.435
- Agricultural Marketing Act of 1946-- 10.167
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- Clean Water Act of 1972-- 66.305
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- Collaboration between Department of Energy (DOE) and Department of Agriculture (USDA) on a Biomass Research and Development Initiative is directed under various statutory authorities, primarily the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-426) and the Energy Policy Act of 2005 (EPA 2005)(Public Law 109-58; Section 941). USDAs authorization appears in Section 9008 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-426) which replaced section 9008 of the Farm Security, and Rural Investment Act of 2002 (FSRIA) (Public Law 107-171) [7 U.S.C. 8108]; thereby amending section 307 of the Biomass Research and Development Act of 2000 (BRDA) (7 U.S.C. 7624 note, and 7 U.S.C. 8101 note; Public Law 106224). Pursuant to Executive Order 13134, BRDA can be found at (7 U.S.C. 8601 et seq). BRDA authorizes the Biomass Research and Development.-- 10.312
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- Colorado River Basin Project Act, Public Law 90-537, 82 Stat.885; Colorado Ute Indian Water Rights Settlement Act of 1988, as amended, Public Law 100-585, 102 Stat. 1973; Colorado Ute Settlement Act Amendments of 2000, Public Law 106-554, 114 Stat. 2763A-266; and American Recovery and Reinvestment Act of 2009, Public Law 111-5.-- 15.510
- Communications Act of 1934, 47 U.S.C.309(j)(8)(E) as amended, Public Law 109-171 Deficit Reduction Act of 2005, Title III - Digital Television Transition and Public Safety, February 8, 2006.-- 11.559
- Communications Act of 1934, Title III, Part IV, 47 U.S.C 390-394, 397-399 (b) as amended, Public Law 87-447, 76 Stat. 64; Science, State, Justice, Commerce, and Related Agency Appropriations Act of 2006; Public Law 109-108.-- 11.550
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- Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended-- 66.818
- Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended; Superfund Amendments and Reauthorization Act of 1986, Sections 104(i)(1)(E), (9), and (15), Public Law 99-499, 42 U.S.C. 9604.-- 93.204
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Housing and Community Development Act of 1987, Section 561, Public Law 100-242, as amended; Housing and Community Act of 1992, Section 905, Public Law 102-550, 101 Stat. 1815.-- 14.408

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Housing and Community Development Act of 1992, Section 542(c), Public Law 102-550, 12 U.S.C. 1707. HUD's fiscal year 2001 Appropriations Bill amended Section 235 of the Housing and Community Development Act of 1992 to extend the 542 Risk Sharing Program to a permanent multifamily mortgage insurance program.-- 14.188

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Lake Tahoe Restoration Act, Public Law 106-506, as amended by Department of the Interior and Related Agencies Appropriations Act for Fiscal Year 2004, Public Law 108-108. New legislation has been introduced in Congress for LTRA reauthorization and revision, and is expected to be passed by November of 2010. Proposed revisions would not change overall objectives or funding opportunities for this program.-- 10.690

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and Management Act of 1976, Title I, Section 102, Public Law 94- 579, 90 Stat. 2744; Southern Nevada Public Land Management Act of 1998, 31 U.S.C. 6901, Public Law 105-263, 90 Stat. 2343; Lincoln County Land Act of 2000, Public Law 106-298; 30 U.S.C. 230, 62 Stat. 576; 65 Stat. 248; Public Buildings Omnibus Act, 30 U.S.C. 603; Money and Finance, 31 U.S.C. 711 and 717, Public Law 97-258, 96 Stat. 889 and 893; National Reclamation Law, 43 U.S.C. 391, 32 Stat. 388; Chapter 139, 18 Stat. 474; 58 Stat. 745; 65 Stat. 252; Department of the Interior and Related Agencies Appropriations Act of 1993, Title I, Public Law 102-381, 106 Stat. 1378; Federal Land Transaction Facilitation Act, Title II, Public Law 106-248, 106 Stat. 613; Public Law 85-569, 7 U.S.C. 1012, 72 Stat. 348; Federal Land Policy and Management Act of 1976, Title II, Section 213, Public Law 94-579, 90 Stat. 2760, Mineral Revenue Payments Clarification Act of 2000, Title V, Public Law 106-393.-- 15.227

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National Flood Insurance Act of 1968, as amended by the Bunning-Bereuter-Blumenauer Flood Insurance Reform Act of 2004, Public Law 108-264-- 97.110

National Forest Foundation Act, Public Law 101-593-Title IV-Nov. 16, 1990, as amended by Public Law 103-106 Oct. 12, 1993-- 10.682

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National Foundation on the Arts and the Humanities Act of 1965, as amended-- 45.149

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Public Health Service Act. Section 330A(e) (42 U.S.C. 254c).-- 93.912

Public Health Service Act; Title IV, Subtitle D, Part G, Section 487E, as amended; Public Law 106-554; 42 U.S.C. 288-5.-- 93.308

Public Health Services Act-- 93.104, 93.726

Public Health Service Act-- 93.069

Public Health and Welfare-- 15.819

Public Lands-- 15.819

Public Lands Corps Act of 1993-- 15.546

Public Law 101-218-- 81.087

Public Law 103-399; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450; Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.-- 15.052

Public Law 105-277-- 16.730

Public Law 105-277, Div. A, Section 101(e) Title III, Section 343, 112 Stat. 2681-231, 2681-297; Cooperative Forestry Assistance Act of 1978, as amended, 16 USC 2101 et, seq.; Forest and Rangeland Renewable Resources Act of 1978, as amended, 6 USC 1600-1614-- 10.681

Public Law 105-277, Section 323 as amended by Public Law 109-54 Section 434. This program has been permanently authorized, Public Law 111-11.-- 10.693

Public Law 106-181-- 20.930

Public Law 106-246, as amended by Public Law 106-554, authorizes the reimbursement of county and municipal governments in the States of California, Texas, Arizona, and the New Mexico state government, for specific categories of expenses associated with the handling and processing of federally initiated controlled substances cases along the Southwest Border.-- 16.755

Public Law 107-273.-- 16.523

Public Law 108-414, Mentally Ill Offender Treatment and Crime Reduction Act; Public Law 110-416, Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008.-- 16.745

Public Law 110-161, 121 Stat. 1909; Public Law 110-329.-- 16.754

Public Law 110-161, Organic Act 1879, 43 U.S.C. 31-- 15.815

Public Law 110-69 (America COMPETES Act of 2007), TITLE VI Education, Subtitle A Teacher Assistance, PART I Teachers For A Competitive Tomorrow-- 84.381

Public Law 111-117-- 16.123

Public Law 111-5 American Recovery and Reinvestment Act of 2009.-- 97.115

Public Law 111-83, Department of Homeland Security Appropriations Act, 2010 (Title III Protection, Preparedness, Response, and Recovery); Public Law 110-53, Implementing Recommendations of the 9/11 Commission Act of 2007; and Public Law 109-13, Real ID Act of 2005-- 97.089

Public Law 66-259; 29 U.S.C. 11-16-- 17.700

Public Law 75-210, 7 U.S.C. 1010-1012, Bankhead-Jones Farm Tenant Act-- 10.666

Public Law 81-152, 63 Stat. 377, 40 U.S.C. 541 et. seq.-- 39.007

Public Law 83-568, 42 U.S.C. 2001-2004a; Indian Self-Determination and

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Education Assistance Act, Section 104 (b), Public Law 93-638, 25 U.S.C. 450, Section 9, Public Law 98-250; Public Law 100 - 472.-- 93.228
Public Law 87-778 (76 Stat. 806, 16 U.S.C. 582a, et seq.) signed into law on October 10, 1962 is also known as the McIntire-Stennis Cooperative Forestry Research Act.-- 10.202
Public Law 93-531, 25 U.S.C. 640 et seq.; Navajo-Hopi Settlement Act; Public Law 102-180, 105 Stat. 1230; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.-- 15.057
Public Law 94-118-- 90.300
Public Law 95-238, Section 207-- 81.087
Public Law 95-266; Public Law 100-294; Public Law 102-295; Public Law 104-234-- 93.652
Public Law 95-600, 92 Stat. 2810-- 21.006
Public Law 95-91 DOE Organization Act of 1977, as amended by Public Law 109-58 Energy Policy Act 2005. Federal Nonnuclear Energy Research and Development Act of 1974, Public Law 93-557; Energy Policy and Conservation Act, Public Law 94-163.-- 81.089
Public Law 95-911 and Public Law 97-377-- 81.086
Public Law 96-517, 35 U.S.C. 207, 208, 209-- 81.003
Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96- 487, 94 Stat. 2371, 16 U.S.C. 3101; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399; Indian Lands Open Dump Cleanup Act of 1994, 108 Stat. 4164.-- 15.053
Public Law 97-394, 96 Stat. 1976, 28 U.S.C. 2415; Indian Claims Limitation Act of 1982; Public Law 98-250; Public Law 96-487, 94 Stat. 2371, 16 U.S.C. 3101 et seq.; Alaska National Interest Lands Conservation Act; Public Law 92-203, 106 Stat. 2112-2125, 43 U.S.C. 1601; Alaska Native Claims Settlement Act; Public Law 103-399, 108 Stat. 4164; Indian Lands Open Dump Cleanup Act of 1994; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.-- 15.036
Public Law No. 111-8, 123 Stat. 524, 580 authorizes the reimbursement of county and municipal governments in Alaska, Idaho, Maine, Michigan, Minnesota, Montana, New Hampshire, New York, North Dakota, Ohio, Pennsylvania, Vermont, Washington, and Wisconsin for specific categories of expenses associated with the handling and processing of federally initiated cases along the Northern Border.-- 16.814
Public Laws 54-249, 62-426, 71-537, 76-77, and 94- 206, 29 U.S.C. 1, 29 U.S.C. 2b.-- 17.004
Public Laws 58-57, 62-426, 71-537, 82-203, 93-203, 93-567, 94-206, 94-311, and 94-369; 29 U.S.C. 1, 2, 2b, 5, 8, 882, 1601-- 17.002
Public Laws 62-426, 71-537, 91-596 and 94-206, 29 U.S.C. 1-2; 29 U.S.C. 2b; 29 U.S.C. 4; 5 U.S.C. 5305; 29 U.S.C. 651; 29 U.S.C. 673.-- 17.005
Public Laws 62-426, 71-537, and 94-206, 29 U.S.C. 1-2.-- 17.003
Public Laws 79-588, 87-651, 97-86, 10 U.S.C. 5150-5153; 10 U.S.C. 2358, as amended; 10 U.S.C. 2361; 10 U.S.C. 6304; Includes American Recovery and Re-Investment Act (ARRA) funds.-- 12.431
Public Laws: 103-236 as amended-- 90.500
Public Safety Officers' Benefits Act of 1976, as amended (42 U.S.C. 3796, Section 1201, Public Law 90-351, Part L of Title I of the Omnibus Crime Control and Safe Streets Act of 1968).-- 16.571
Public Health Service Act, Title VII; Section 761; 42 U.S.C. 294n, as amended; Health Professions Education Partnerships Act of 1998; Public Law 105-392. As Amended by the Patient Accountability and Care Act Sections 5102 and 5103.-- 93.300
Public Health Service Act-- 93.220, 93.936

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Radiation Control for health Safety Act of 1968-- 93.103
Rail Safety Improvement Act of 2008-- 20.313
Railroad Retirement Act of 1974-- 57.001

Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 821, et seq.)-- 20.316
Railroad Unemployment Insurance Act-- 57.001
Reauthorization of SDPI 2002-- 93.442
Reauthorized by Public Law 107-82-- 93.276
Reclamation Projects Authorization and Adjustment Act of 1992-- 15.535
Reclamation Projects Authorization and Adjustment Act of 1992, Title XXXIV, Central Valley Project Improvement Act, Public Law 102-575.-- 15.512
Reclamation States Emergency Drought Relief Act of 1991, Title II, Public Law 102-250, 43 U.S.C. Section 2201-2214, as amended; Hawaii Water Resources Act of 2000, Title II, Public Law 106-566.-- 15.514
Reconnecting Homeless Youth Act-- 93.623
Reconnecting Homeless Youth Act of 2008-- 93.557
Refugee Act of 1980-- 93.566, 93.567, 93.576, 93.583, 93.584
Refugee Education Assistance Act of 1980-- 93.566, 93.576, 93.583, 93.584, 97.009
Rehabilitation Act of 1973-- 84.126, 84.133, 84.250
Rehabilitation Act of 1973, Title I, Parts A and B, Sections 100-111; and the American Recovery and Reinvestment Act of 2009 (ARRA).-- 84.390
Rehabilitation Act of 1973, as amended-- 17.301, 84.129, 84.160, 84.161, 84.187, 84.234, 84.235, 84.240, 84.246, 84.263, 84.264, 84.265, 84.275, 84.315
Rehabilitation Act of 1973, as amended.-- 84.128, 84.406
Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part B-- 84.169, 84.398
Rehabilitation Act of 1973, as amended, Title VII, Chapter 1, Part C-- 84.132, 84.400
Rehabilitation Act of 1973, as amended, Title VII, Chapter 2-- 84.177
Rehabilitation Act of 1973, as amended, Title VII, Chapter 2.-- 84.399
Reimbursement of Travel and Subsistence Expenses toward Living Organ Donation: Section 377 of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f. Clinical Interventions to Increase Organ Procurement (CIOP): Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. Social and Behavioral Interventions to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. State Donor Registry Support Program and Public Education Efforts to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1. Public Education Efforts to Increase Solid Organ Donation: Section 377A of the Public Health Service (PHS) Act, 42 U.S.C. Section 274f-1.-- 93.134
Requires each executive agency to use a procurement contract as the legal instrument reflecting a relationship between the Federal Government and a State or local government or other recipient whenever the principal purpose of the agreement is the acquisition, by purchase, lease, or barter, of property or services for the direct benefit or use of the Federal Government.

Requires each executive agency, when no substantial involvement is anticipated between the executive agency, acting for the Federal Government, and the State or local government or other recipient during performance of the contemplated activity, to use a grant agreement as the legal instrument reflecting a relationship between the Federal Government and a State or local government or other recipient whenever the principal purpose of the relationship is the transfer of money, property, services, or anything of value to the State or local government.

Requires each executive agency to use a cooperative agreement as the legal instrument reflecting a relationship between the Federal Government and a State or local government or other recipient whenever the principal purpose of the relationship is the transfer of money, property, services, or anything of value to the State or local government, and substantial involvement is anticipated between the executive agency, acting for the Federal Government, and the State or local government or other recipient during performance of the contemplated activity.

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Directs the Director of the Office of Management and Budget, in cooperation with the executive agencies, to undertake a study to develop a better understanding of alternative means of implementing Federal assistance programs, and to determine the feasibility of developing a comprehensive system of guidance for Federal assistance programs.-- 12.357

Residential Lead-Based Paint Hazard Reduction Act of 1992-- 14.900, 14.905

Resource Conservation and Recovery Act-- 66.202

Resource Conservation and Recovery Act (RCRA), Public Law 95-480-- 81.136

Resource Conservation and Recovery Act of 1976-- 66.305, 66.510, 66.511, 66.517, 66.808, 66.816

Revenue Act of 1978-- 21.006

Rhinoceros and Tiger Conservation Act of 1994, Public Law 103-391, 108 Stat. 4094 et seq., 16 U.S.C. 5301 et seq., as amended by the Rhino and Tiger Product Labeling Act of 1998, Public Law 105-312, 112 Stat. 2956 et seq., 16 U.S.C. 5301 et seq.-- 15.619

Richard B. Russell National School Lunch Act-- 10.585

Richard B. Russell National School Lunch Act, Sections 9, 13 and 14, as amended, 42 U.S.C. 1758, 1761 and 1762a.-- 10.559

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751 1760, 1779;-- 10.555

Richard B. Russell National School Lunch Act, as amended, 42 U.S.C. 1751, 1758, 1759a, 1761, 1765, 1766, 1769, 1772, 1773, 1779; School Breakfast Program (SBP); Child Nutrition Act of 1966, as amended, Public Laws 108-265, 104-193, 100-435, 99-661, 97-35; Special Milk Program (SMP); Child Nutrition Act of 1966, as amended; Child and Adult Care Food Program (CACFP); 89 Stat. 522-525, Summer Food Service Program (SFSP); American Recovery Act and Reinvestment Act of 2009, Public Law 111-5. The 2010 Agriculture Appropriations Act (Public Law 111-80).-- 10.579

Richard B. Russell National School Lunch Act, as amended, Sections 9, 11, 14, 16 and 17, as amended, 89 Stat. 522-525, 42 U.S.C. 1758, 1759a, 1762a, 1765 and 1766.-- 10.558

Riegle Community Development and Regulatory Improvement Act of 1994-- 21.012, 21.020

Robert T. Stafford Disaster Relief and Emergency Act-- 97.046

Robert T. Stafford Disaster Relief and Emergency Assistance Act-- 17.225, 97.026, 97.027, 97.028, 97.030, 97.032, 97.033, 97.034, 97.039, 97.047, 97.088

Robert T. Stafford Disaster Relief and Emergency Assistance Act (The Stafford Act); Sections 408(b)(1); and 306(a)-- 97.109

Robert T. Stafford Disaster Relief and Emergency Assistance Act as amended by Public Law 106-390, including Section 701(b); Title 44 Code of Federal Regulations.-- 97.084

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121 et seq.-- 97.031

Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended, 42 U.S.C. 5121-5206.-- 97.036

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended-- 97.050

Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended by Public Law 106-390.-- 97.048, 97.049

Robert T. Stafford Disaster Relief and Emergency Assistance Act.-- 93.982

Rocky Boy's North Central Montana Regional Water System Act of 2002-- 15.525

Rural Development Act of 1972-- 10.167

Rural Electrification Act of 1936, as amended, 7 U.S.C. 901-950.-- 10.850

Rural Electrification Act of 1936, as amended, Title I, Section 19, Public Law 106-472, 114 Stat. 2058, 7 U.S.C. 918a.-- 10.857, 10.858, 10.859

Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c.-- 10.854

Rural Electrification Act of 1936, as amended, Title VI; 7 U.S.C. 950bb-- 10.886

Rural Electrification Act of 1936, as amended, Titles II and III, 7 U.S.C. 921, 922-924, and 930-940.-- 10.851

S

SMART Defense Education Program-- 12.631

SPECIAL NOTES:

(1) Numerous Programs are funded under CFDA 10.500.

(2) Listed below are several programs, which are indicative of the types of projects currently funded under CFDA 10.500. However, the listing is not exhaustive.

1. SMITH-LEVER 3(b) & (c):

(a) Cooperative Extension Programs at 1862 Land-Grant Institutions (aka 3b & c Formula Funds) (FORMULA)

Sections 3(b) and 3(c) of the Smith-Lever Act provide funding for agricultural extension programs at 1862 Land-grant universities.

(b) Cooperative Extension Programs at 1862 Land-Grant Institutions (Special Needs) aka ESPN Special Needs (FORMULA)

Sections 3(b)(1) and 8 of the Smith-Lever Act provide the basis of funding special needs agricultural extension programs at 1862 Land-grant institutions.

(c) Smith-Lever Special Needs Program (aka ESPN Special Needs Projects) Section 7129 of the Food, Conservation, and Energy Act of 2008 (FCEA), re-authorizes Section 3(b) & (c) of the Smith-Lever Act, allowing the Secretary of Agriculture, acting through the Director of the National Institute of Food and Agriculture (NIFA), to conduct competitive grant programs to State Extension Services at 1862 Land-Grant Institutions to support innovative, education-based approaches to addressing emergency preparedness and specific responses related to natural and man-made disasters.

(d) CSRS Retirement Contributions Program (aka ERET CSRS Retirement) (FORMULA)

Funds available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(e) FERS Retirement Contributions Program (aka ERET FERS Retirement) (FORMULA)

Federal funds are available for distribution under the Smith-Lever Act, Section 3(b) and (c), statutory formula.

(f) District of Columbia Public Postsecondary Education Reorganization Act Program (Cooperative Extension Programs) aka EUDC

Sections 208 of the District of Columbia Public Postsecondary Education Reorganization Act provides, in pertinent part, that In the administration of the Act of August 30, 1890 (7 U.S.C. 321-326, 328) (known as the Second Morrill Act), the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301-305, 307, 308) (known as the First Morrill Act); and the term "State", is used in the laws and provisions of law listed in the preceding paragraphs of this section shall include the District of Columbia. In the administration of the Act of May 8, 1914 (7 U.S.C. 341-346, 347a-349) (known as the Smith-Lever Act) the University [of the District of Columbia] shall be considered to be a university established for the benefit of agriculture and the mechanic arts in accordance with the provisions of the Act of July 2, 1862 (7 U.S.C. 301- 305, 307,308); and the term "State" as used in such Act of May 8, 1949, shall include the District of Columbia, except that the District of Columbia shall not be eligible to receive any sums appropriated under section 3 of such Act. In lieu of an authorization of appropriations for the District of Columbia under section 3 of such Act of May 8, 1914, there is authorized to be appropriated such sums as may be necessary to provide cooperative agricultural extension work in the District of Columbia under such Act. Any reference in such Act (other than section 3 thereof) to funds appropriated under such Act shall in the case of the District of Columbia be considered a reference to funds appropriated under this subsection.

Therefore, this grant funds the development of practical applications of research knowledge and giving of instruction and practical demonstrations of existing or improved practices or technologies in agriculture, uses of solar energy with respect to agriculture, home economics, and rural energy, and subjects relating

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thereto to persons not attending the University of the District of Columbia, and imparting information on those subjects through demonstrations, publications, and otherwise and for the necessary printing and distribution of information in connection with the subjects.

Section 7417 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-234) amended section 208 of the District of Columbia Public Postsecondary Education Reorganization Act to eliminate any matching requirement for the extension formula funds provided to the University of the District of Columbia, effective October 1, 2008.

2. Agricultural Extension at 1890 Land-Grant Institutions, Including Tuskegee University and West Virginia State University (Section 1444) (FORMULA)

Section 1444 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95-113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977, is also known as the Section 1444 Program. This law provides the basis for Federal funding for agricultural extension activities at 1890 Land-Grant Institutions, including Tuskegee University and West Virginia State University.

3. SMITH-LEVER 3(d):

(a) Expanded Food and Nutrition (EFNEP) (ENUT Nutrition Education) (FORMULA)

Section 3(d) of the Smith-Lever Act provides that the Secretary of Agriculture may fund extension work in the several States, Territories, and possessions. Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (as amended) (7 U.S.C. 3175) provides the statutory formula for the Expanded Food and Nutrition Education Program (EFNEP). This program supports Federal funding for extension activities associated with disseminating the results of food and nutrition research performed or funded by the U.S. Department of Agriculture to enable low-income individuals and families to engage in nutritionally sound food purchase and preparation practices. Funding extends to EFNEP at State land-grant colleges established under the Morrill Act of July 2, 1862, as amended, and the Morrill Act of August 30, 1890, as amended, including Tuskegee University and West Virginia State University. Section 7116 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended Section 1425 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3175) to accomplish various goals as indicated in Part I.A. of the Formula Grant Opportunity (FGO).

(b) Youth at Risk

Pertinent details will be provided at a future date.

(c) Improve Rural Quality of Life Represents a new program; pertinent details will be provided at a future date.

(d) Farm Safety

Pertinent details will be provided at a future date.

(e) New Technologies at Ag Extension

Pertinent details will be provided at a future date.

(f) Pest Management

Pertinent details will be provided at a future date.

(g) Sustainable Agriculture (SARE-PD)

Pertinent details will be provided at a future date.

(h) Federally Recognized Tribes

Pertinent details will be provided at a future date.

(i) Youth Farm Safety Education & Certification

Pertinent details will be provided at a future date.

(j) EIPM Support

Pertinent details will be provided at a future date.

(k) EIPM Coordination

Pertinent details will be provided at a future date.

4. OTHER EXTENSION ACTIVITIES:

(a) Renewable Resources Extension Act (FORMULA)

The Renewable Resources Extension Act of 1978 (P.L. 95-306, 92 Stat. 349, 16 U.S.C. 1671 et seq.) provides for an expanded and comprehensive extension program for forest and rangeland renewable resources. The majority of the appropriated funds are distributed to eligible institutions based on a formula that considers the geographic extent, ecosystem productivity, economic contribution, and population for each state.

Since FY 2002, a small amount of these funds have been used to fund National Focus Fund Projects which have served to expand comprehensive extension programs for forest and rangeland renewable resources on a national, regional, or multi-institutional scale through better program coordination, innovative technologies, and extension models that can be easily duplicated.

(b) Rural Health and Safety

The authority for this program is under Section 502 (i) of Title V of the Rural Development Act of 1972, as amended (7 U.S.C. 2662). The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I. The Rural Health and Safety Education Competitive Program addresses the Individual and Family Health Education component of the authorization.

(c) Extension Services at the 1994 Institutions

This program is authorized under Section 534(b) of the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 201 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7601). This section amends Section 3 of the Act of May 8, 1914 (Smith-Lever Act) (7 U.S.C. 341 et seq.), as amended. Under this authority, appropriated funds are to be awarded to the 1994 Land-Grant Institutions (hereinafter referred to as 1994 institutions) for Extension work and funds are to be distributed on the basis of a competitive application process.

(d) Grants to Youth Serving Institutions

Title IV of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7630) authorized the Secretary of Agriculture to make grants to the Girl Scouts of the United States of America (GSUSA), the Boy Scouts of America (BSA), the National 4-H Council (4-H Council), and the National FFA Organization (FFA). Section 7309 of the Food, Conservation, and Energy Act (FCEA) of 2008 reauthorized 7 U.S.C. 7630, which was also amended to provide additional flexibility in content delivery to each organization receiving funds and to allow recipients to redistribute all or part of the funds to individual councils or local chapters without further need of approval from the Secretary. In FY 2009, Terms and Conditions of these awards should facilitate the redistribution without further need of approval. The Omnibus Appropriations Act, 2009 (Pub. L. 111-8) appropriates funds under Division A, Title I for grants to youth organizations pursuant to 7 U.S.C. 7630.

(e) Food Animal Residue Avoidance Database Program (FARAD)

Title VI Section 604 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7642) states that -The Secretary of Agriculture shall continue operation of the Food Animal Residue Avoidance Database program (referred to in this section as the FARAD program) through contracts, grants, or cooperative agreements with appropriate colleges or universities.

(f) Federal Administration

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Pertinent details will be provided at a future date.

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(g) 1890 Facilities (Section 1447) (FORMULA)

Pursuant to the authority contained in Section 1447 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) [7 U.S.C. 3222b], and reauthorized by Section 7123 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Pub. L. 110-246) grants will be awarded under the Facilities Grants Program to the 1890 land-grant institutions, including Tuskegee University and West Virginia State University. The Omnibus Appropriations Act, 2009 appropriates funds under Division A, Title I-- 10.500

Safe Drinking Water Act-- 66.035, 66.111, 66.113, 66.202, 66.203, 66.309, 66.310, 66.312, 66.424, 66.474, 66.478, 66.509, 66.510, 66.511, 66.513, 66.514, 66.516, 66.517, 66.600, 66.604, 66.608, 66.610, 66.611, 66.612, 66.709, 66.717, 66.931, 66.940, 66.952

Safe Drinking Water Act (SDWA) Amendments of 1996-- 66.468, 66.471

Safe Drinking Water Act Amendments of 1986-- 66.432, 66.433, 66.816

Safe Drinking Water Act Amendments of 1996-- 66.432, 66.433

Safe Drinking Water Act of 1974-- 66.432, 66.433

Safe, Accountable, Efficient, Flexible Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.521

Safe, Accountable, Flexible, Efficient & Transportation Equity Act: A Legacy for users (SAFETEA-LU)-- 20.516

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users-- 20.513

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.200, 20.215, 20.232, 20.235, 20.240, 20.320, 20.505, 20.514, 20.515, 20.519, 20.522

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU),-- 20.205, 20.219

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU); Consolidated, Security, Disaster Assistance and Continuing Appropriations Act 2009-- 20.314

Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA LU)-- 20.237

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA_LU)-- 20.762

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004-- 20.701

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA- LU)-- 20.761

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.518

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2004, Section 2007-- 20.601

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005-- 20.602, 20.610, 20.931

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 1906-- 20.611

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2010-- 20.612

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 2011-- 20.613

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users (SAFETEA-LU) of 2005, Section 406-- 20.609

Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users of 2005 (SAFTEA-LU)-- 20.764

Safe, Accountable, Flexible, and Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.242

Safe, Accountable, Flexible, and Efficient Transportation Act: A Legacy for Users (SAFETEA-LU)-- 20.231

San Gabriel Basin Restoration Project, Appendix D, Division B, Title I, Section 110, Public Law 106-554, as amended; Energy and Water Development

Appropriations Act, 2002, Title II, Section 202, Public Law 107-66; and Section 2 of Reorganization Plan Number 3 of 1950, 64 Stat. 1262, as amended-- 15.526
San Luis Unit, Central Valley Project Act of June 3, 1960, Public Law 86-488, 74 Stat. 156, Section 5.-- 15.527

Science, State, Justice, Commerce, and Related Agencies Appropriations Act-- 16.748

Second Chance Act of 2007 (H.R. 1593/S. 1060)-- 16.812

Section 1003(g)-- 84.377

Section 1003(g) of the Elementary and Secondary Education Act (ESEA), as amended (Public Law 107-110)(115 Stat. 1442, 20 USC 6303(g)) and the American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5.-- 84.388

Section 102(b)(6)-- 84.019

Section 103 (a) (1); Section 103 (a) (7) of Public Law 107-327; Public Health Service Act, Section 307.-- 93.017

Section 104(i)(14)(15)and (17) of the Comprehensive Environmental Response, Compensation and Liability Act; 42 U.S.C. 9604; Sections (i)(14)(15), and (17), as amended.-- 93.202

Section 105 of the Rail Safety Improvement Act of 2008-- 20.321

Section 1110 of the Social Security Act governing Social Services Research and Demonstration activities; the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act of 2004.-- 93.009

Section 1132(c) of The Housing and Economic Recovery Act of 2008 Public Law 110-289-- 21.010

Section 114 of the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV)-- 84.051

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), as amended (7 U.S.C. 3121), designates the U. S. Department of Agriculture (USDA) as the lead Federal Agency for agricultural research, extension, and teaching in the food and agricultural sciences. Authority for this program is contained in the Equity in Educational Land-Grant Status Act of 1994 (7 U.S.C. 301 note), as amended by the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7601 note). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture may award competitive grants, as defined in section 536 of the Equity in Educational Land Grant Status Act of 1994 (7 U.S.C. 301 note), to assist the 1994 Land-Grant Institutions in conducting agricultural research that addresses high priority concerns of tribal, national or multi-state significance.-- 10.227

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S.

Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)).-- 10.226

Section 1405 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended, (7 U.S.C. 3121) designates the U.S.

Department of Agriculture (USDA) as the lead Federal agency for agriculture research, extension and teaching in the food and agricultural sciences. Section 7109 of the Food, Conservation, and Energy Act of 2008 (P.L. 110-246) amends the authority for this program contained in section 1417(j) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (7 U.S.C. 3152(j)). In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture (USDA), who has delegated the authority to the Director of the National Institute of Food and Agriculture (NIFA), will award grants to: (a) promote and strengthen secondary and 2-year postsecondary agriculture and agribusiness education, and Agriculture in the K-12 Classroom, in order to help ensure the existence in the United States of a qualified workforce to serve the food and agricultural sciences system; and (b) promote complementary and synergistic linkages among secondary, 2-year

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postsecondary, and higher education programs in the food and agricultural sciences in order to attain excellence in education and to encourage more young Americans to pursue and complete a baccalaureate or higher degree in the food and agricultural sciences.-- 10.226

Section 1445 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA), enacted as Title XIV of Public Law 95113 (The Food and Agriculture Act of 1977) on Sept. 29, 1977 is also known as the Evans-Allen Research Program. This law provides the basis for Federal funding for agricultural research activities at 1890 Land-Grant Institutions under the Act of August 30, 1890 (26 Stat. 417419, as amended; 7 U.S.C. 321326 and 328), including Tuskegee University and West Virginia State University. Amended by Public Law 95-547, Public Law 97-98, Public Law 99-198, Public Law 101-624, Public Law 104-127 and Public Law 105-185.-- 10.205

Section 1619-1624 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACTA), Public Law 101-624 (7 U.S.C. 5801).-- 10.215

Section 1622 of FACTA (7 U.S.C. 5812), and for selected national projects-- 10.215

Section 1672D of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 5925f)-- 10.319

Section 1703 of the Public Health Service (PHS) Act-- 93.008

Section 1820(g)(3) of Social Security Act, as amended.-- 93.301

Section 2 (c), Public Law 89-106, 7 U.S.C. 450i(c), as amended-- 10.200

Section 2(b)(2) of Public Law 98-244-- 10.683

Section 2(c)(1)(B) of Public Law 89106, as amended.-- 10.303

Section 202b of Title II of the Housing Act of 1959, 12 U.S.C. 1701q-2 and Consolidated Appropriations Act, 2010 (Public Law 111-117)-- 14.315

Section 202b of the Housing Act of 1959, as amended and the Consolidated Appropriations Act, 2010 (Public Law 111-117).-- 14.314

Section 216 of the Flood Control Act of 1950-- 10.923

Section 229 of the Agricultural Research, Extension and Education Reform Act of 1998 (AREERA) amended Section 1459 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. Section 3292b) to authorize the Secretary of Agriculture to make competitive grants to colleges and universities in order to strengthen United States economic competitiveness and to promote international market development.

Section 7141 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8701 note) authorized the International Science and Education Competitive Grants Program (ISE).-- 10.305

Section 25 of the Food Stamp Act of 1977 (7 U.S.C. 2034), as amended by the Food and Nutrition Act of 2008 and Section 4402 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246), Section (h) (9) (A).-- 10.316

Section 301 and Title IV of the Public Health Service Act, as amended.-- 93.859

Section 301(a) and 307 of the Public Health Service Act, 42 U.S.C. Sections 241 (a) and 2421, as amended, Public Law 108-25, United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003, 22 U.S.C. 7601.-- 93.266

Section 3012(c) of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act of 2009, Public Law 111-5 (ARRA)-- 93.718

Section 3016 of the Public Health Service Act (PHSA), as added by Section 13301 within Title XIII in Division A of the American Recovery and Reinvestment Act (ARRA) of 2009, Public Law 111-5-- 93.721

Section 3021 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act A Legacy for Users (SAFETEA-LU) enacted August 10, 2005 [Public Law 109-59], established a new program called the Alternative Transportation in Parks and Public Lands program. The name of the program was changed to the Paul S. Sarbanes Transit in the Parks under the SAFETEA-LU Technical Corrections Act, 2008.-- 20.520

Section 317 (k)(2) and 3171 of the Public Health Service Act, [42 U.S.C. Sections 247b and 247b-10], as amended.-- 93.197

Section 317(j) of the Public Health Service Act (42 U.S.C. 247b(j)) reauthorized

in Section 4204 of the Patient Protection and Affordable Care Act-- 93.268
Section 317(k)(3) of the Public Health Service Act, [42 U.S.C. 247b (k)(3), as amended.-- 93.065

Section 319C-2 of the Public Health Service (PHS) Act, as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417.-- 93.889

Section 330 of the Public Health Service Act (42 U.S.C. 254b), as amended by Title C, Section 10503 of The Patient Protection and Affordable Care Act of 2010-- 93.527

Section 338B of the Public Health Service (PHS) Act (42 United States Code Section 2541-1), as amended; Section 331(i) of the PHS Act (42 USC 254d(i)), as amended.-- 93.162

Section 340A of the Public Health Service Act (42 U.S.C. 256a), as amended by the Patient Protection and Affordable Care Act, Pub. L. 111-148-- 93.191

Section 3508 National Defense Authorization Act-- 20.814

Section 399M of the Public Health Service Act-- 93.251

Section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA) (7 U.S.C. 7626), as reauthorized by Section 7306 of the Food, Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246), authorized the Secretary of Agriculture to establish a competitive grants program that provides funding for integrated, multifunctional agricultural research, extension, and education activities. Subject to the availability of appropriations to carry out this program, the Secretary may award grants to colleges and universities [as defined by section 1404 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (NARETPA) (7 U.S.C. 3103)], as amended, on a competitive basis for projects that address priorities in United States agriculture and involve integrated research, education, and extension activities, as determined by the Secretary in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB). Section 7129 of the FCEA amended section 406(b) of AREERA (7 U.S.C. 7626(b)), adding Hispanic-serving agricultural colleges and universities (HSACUs) as eligible entities for competitive funds awarded under this authority (see Part III.B. of RFA for more information).-- 10.303

Section 413 of the Cardiac Arrest Survival Act of 2000; Public Law 106-505.-- 93.259

Section 439 of the Social Security Act as amended by the Child and Family Services Improvement Act of 2006 (<http://www.acf.hhs.gov/programs/fysb/content/aboutfysb/documents>)-- 93.616

Section 5 of the Assistive Technology Act of 1998, as amended.-- 84.343

Section 5 of the Farmer-to-Consumer Direct Marketing Act of 1976, Public Law 94-463, as amended by Section 10605 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171.-- 10.168

Section 5 of the Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006-- 20.710

Section 5102 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.509

Section 5208 of the Patient Protection and Affordable Care Act, Public Law 111-148-- 93.515

Section 522(d) of the Agricultural Risk Protection Act of 2000; 7 U.S.C. 1501 section 522(d)-- 10.456

Section 5302 of Public Law 109-171, the Deficit Reduction Act of 2005.-- 93.760

Section 6043, Emergency Room Co-payments for Non-Emergency Care, Deficit Reduction Act of 2005, Public Law 109-171, enacted February 8, 2006.-- 93.790

Section 6063, Deficit Reduction Act of 2005, Public Law 109-171.-- 93.789

Section 6071, Public Law Deficit Reduction Act of 2005, Public Law 109-171.-- 93.791

Section 7106 of the Food, Conservation, and Energy Act (FCEA) of 2008 (Public Law 110-246) amends the legislative authority for this program contained in Section 1417 of the National Agricultural Research, Extension and Teaching Policy Act of 1977 (NARETPA) (99 Stat. 1548; 7 U.S.C. 3152) to include the University of the District of Columbia as an eligible applicant.--

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Section 711 of the Social Security Act as amended by section 432 of the Medicare Prescription Drug Improvement and Modernization Act of 2003.-- 93.155
Section 712(c) of the American Jobs Creation Act of 2004, P.L. 108-357-- 93.365
Section 7204 of the Food Conservation, and Energy Act of 2008 (FCEA) (Public Law 110-246) amends section 1672 of the Food, Agriculture, Conservation and Trade Act of 1990 (FACT) (7 U.S.C. 5925) to authorize the Secretary of Agriculture to make competitive grants to support research and extension activities. The Secretary shall make these grants in consultation with the National Agricultural Research, Extension, and Education, and Economics Advisory Board.-- 10.318
Section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA) amended section 1672B of the Food, Agriculture, Conservation, and Trade (FACT) Act of 1990 (7 U.S.C. 5925b) amending and re-authorizing the Organic Agriculture Research and Extension Initiative (OREI). The FACT Act, as amended, authorizes the Secretary of Agriculture, in consultation with the National Agricultural Research, Extension, Education, and Economics Advisory Board (NAREEEAB), to make competitive grants to support research and extension activities regarding organically grown and processed agricultural commodities for eight legislatively-defined goals. See RFA Part I.B. for further general information and specific details.-- 10.307
Section 7405 of the Farm Security and Rural Investment Act of 2002, [7 U.S.C. 3319f].-- 10.311
Section 8 (x) of the United States Housing Act of 1937; Omnibus Appropriations Act 2009-- 14.880
Section 811 of the Public Health Service Act (42 U.S.C. 296j), as amended by the Affordable Care Act of 2010, Public Law 111-148.-- 93.513
Section 9006 of the Food, Conservation, and Energy Act of 2008 (Public Law 110-246), amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) to re-establish the Biodiesel Fuel Education Program which requires that the Secretary make competitive grants to eligible entities to educate governmental and private entities that operate vehicle fleets, other interested entities (as determined by the Secretary), and the public about the benefits of biodiesel fuel use. The Secretary delegated this authority to the Chief Economist, who in turn delegated this authority to the Director of the Office of Energy Policy and New Uses (OEPNU). OEPNU has joined with NIFA for assistance in administering Biodiesel Education under the authorities found in the Economy Act (31 U.S.C. 1535) and Section 1472 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3318). Specific Biodiesel Education administrative provisions are found in 7 CFR 2903.-- 10.306
Section, 17a(1), Food and Nutrition Act of 2008, 7 U.S.C. 2026(a)(a); Section 17(b)(1), 7 U.S.C. 2026(b)(1); Farm Security and Rural Investment Act of 2002, Public Law 107-171; Public Law 110-246-- 10.580
Sections 1253, 300d-531, of the Public Health Service Act, Title V, 42 U.S.C. 290aa, et seq.-- 93.267
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Secure Rural Schools and Community Self Determination Act of 2000 (16 U.S.C. 500). Public Law 106-393 and amended by 110-343 sec. 601 (Titles I, II and III)-- 10.665
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Shipping Act of 1984, as amended-- 33.001
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Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956, Public Law 84-959, 70 Stat. 986, as amended; Public Law 88-230, 77 Stat. 471, 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.-- 15.060
Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13; Indian Reorganization Act of 1934, Section 10, Public Law 73-383, 48 Stat. 986, 25 U.S.C. 470; Public Law 93-262, 88 Stat. 77 through 83, 25 U.S.C. 1451; Indian Self-Determination and Education Assistance Act, Public Law 93-638, as amended, 25 U.S.C. 450.-- 15.032
Snyder Act of 1921, Public Law 67-85; 42 Stat. 208; 25 U.S.C. 13; Indian Adult Vocational Training Act of 1956; Public Law 84-959; 70 Stat. 986, as amended; Public Law 88-230; 77 Stat. 471; 25 U.S.C. 309; Indian Self-Determination and Education Assistance Act; Public Law 93-638; as amended; 25 U.S.C. 450.-- 15.061
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The Deficit Reduction Act of 2005 (DRA)-- 93.086
The Drug Court Program is authorized under Title I, Part EE, of the Omnibus Crime Control and Safe Streets Act of 1968, Public Law 90-351d, Title I, 82 Stat. 197, as amended by the 21st Century Department of Justice Appropriations Authorization Act, Public Law 107-273, Division B, Title II, Subtitle C, 116 Stat. 1758 (2002).-- 16.585
The EOC Grant Program is authorized by section 614 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5196c) as amended by section 202 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Public Law 110-53)-- 97.052
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The Energy Policy Act of 2005-- 66.039, 66.040, 77.006
The Fair Housing Act 42 U.S.C. 3600 et seq.-- 14.401
The Fair Housing Act, 42 U.S.C. 3600 et seq.-- 14.400
The Farm Security and Rural Investment Act of 2002-- 10.055, 10.352, 10.912, 10.921
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The Federal Fire Prevention and Control Act of 1974-- 97.018, 97.019, 97.044, 97.094, 97.095, 97.097, 97.103, 97.105
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The Food Security Act of 1985-- 10.093, 10.912
The Food, Conservation and Energy Act, Public Law 110-234 (Farm Bill) amended Section 306(a)(2) of the Consolidated Farm and Rural Development Act (CONACT) (7 U.S.C. 1926 (a)(2)).-- 10.759
The Food, Conservation, and Energy Act of 2008-- 10.090, 10.099, 10.913, 10.920
The Food, Conservation, and Energy Act of 2008 (The Farm Bill)-- 10.090
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The Guam Organic Act, 64 Stat. 384, 48 U.S.C. 1421 et. seq., Act of February 20, 1929, 48 U.S.C. 1661; Executive Order 10264; Public Law 83-517, 48 U.S.C. et. seq.; Public Law 94-241, Public Law 104-134, Public Law 108-188, and Public Law 99-658.-- 15.875
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The National Flood Insurance Act of 1968, 42 U.S.C. 4001 et seq. authorized the National Flood Insurance Program (NFIP)-- 97.022
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The Public Health Service Act Section 2793, as amended by Section 1002 of the ACA-- 93.519

The Runaway and Homeless Youth Act-- 93.550

The Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU)-- 20.238, 20.318

The Small Business Act and the Small Business Investment Act of 1958-- 20.910

The Small Business Liability Relief and Brownfields Revitalization Act of 2002-- 66.818

The Small Business and Work Opportunity Tax Act of 2007-- 17.271

The Social Security Act-- 93.092

The Soil Information Assistance for Community Planning and Resource Development Act of 1966-- 10.903

The Specialty Crop Research Initiative (SCRI) is authorized by Section 7311 of the Food, Conservation, and Energy Act of 2008, which added section 412 to the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA). Section 412 of AREERA establishes a specialty crop research and extension initiative to address the critical needs of the specialty crop industry by developing and disseminating science-based tools to address needs of specific crops and their regions.-- 10.309

The Sun Grant Program, was originally established in section 778 of the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2004 (Public Law 108-199), which amended the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8101 et seq.) adding section 9011, entitled the Sun Grant Research Initiative Act of 2003. Section 7526 of the Food, Conservation, and Energy Act of 2008 (7 U.S.C. 8114) establishes the Sun Grant Program, replacing the Sun Grant Research Initiative Act of 2003. Authority for the Sun Grant Program is delegated to the National Institute of Food and Agriculture in title 7 Part 2.66 subpart (a) section (153) of the Code of Federal Regulations.-- 10.320

The Telehealth Network Grant Program (TNGP) and the Telehealth Resource Center Grant Program (TRCGP) is authorized by Section 3301 of the Public Health Service Act. (The Telehomecare provision of the TNGP is authorized by the Conference Report on Appropriations for the Departments of Labor, Health and Human Services, and Education and Related Agencies for the Fiscal Year ending September 30, 2006).

The Licensure Portability Grant Program (LPGP) is authorized by Section 330L of the Public Health Service Act, 42 USC 254c-18.-- 93.211

The Trade Act of 1974-- 10.090

The Transportation, Housing and Urban Development, and Related Agencies Appropriations Act of 2010 (THUD Act), Title I - Department of Transportation, Office of the Secretary, National Infrastructure Investments-- 20.933

The Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2008-- 20.315

The U.S. Troop Readiness, Veterans Care, Katrina Recovery, and Iraq Accountability Appropriations Act of 2007-- 97.075

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000, Public Law 106-408, as amended; the Dingell-Johnson Sport Fish Restoration Act, 64 Stat. 430 as amended, 16 U.S.C. 777m and the Pittman-Robertson Wildlife Restoration Act, 50 Stat. 917 as amended, 16 U.S.C. 669h-2.-- 15.628

The Workforce Investment Act of 1998-- 17.207

The statutory authority for awards under this Funding Opportunity Announcement is contained in Division D, Title II of the Consolidated Appropriations Act, 2010 (Public Law 111-117)-- 93.297

The statutory authority for cooperative agreements under this program announcement is contained in Division A, Title VIII of the American Recovery and Reinvestment Act (Recovery Act) of 2009 (Public Law 111.5).-- 93.725

The statutory authority for the Enforcing Underage Drinking Laws (EUDL) Block Grant Program may be found within Section 504 of the Juvenile Justice and Delinquency Prevention Act, 42 U.S.C. 5783. Congress has delegated the

authority to administer the EUDL Program to the Office of Juvenile Justice and Delinquency Prevention (OJJDP), in the Department of Justice's (DOJ's) Office of Justice Programs (OJP) under the Consolidated Appropriations Act, 2010, Public Law 111-117.-- 16.727

These grants will be awarded under authority provided by the Food, Conservation, and Energy Act of 2008, Section 4405, 7 U.S.C. 8701. This section allows the Secretary of Agriculture to award grants to meet the hunger free communities goals described in the H. Con. Res. 302 (102nd Congress)-- 10.583

This agency was chartered and authorized in 2007 in the America COMPETES Act (Public Law 110-69).-- 81.135

This program is authorized under Sections 317, 317(k)(2), 318 and 318B of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended-- 93.523

This program is authorized under the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Sections 4002, (Prevention and Public Health Fund) for expanded and sustained national investment in prevention and public health programs.-- 93.523

This program is administered under the provisions of section 1455 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA)

(7 U.S.C. 3241) pursuant to section 815 of Public Law 104-127 and Public Law 110-246. Section 1455 of NARETPA, as reauthorized by section 7128 of Public Law 110-246, provides the Secretary of Agriculture with the authority to make competitive grants (or grants without regard to any requirement for competition) to Hispanic-Serving Institutions for the purpose of promoting and strengthening the ability of Hispanic-Serving Institutions to carry out education, applied research, and related community development programs.-- 10.223

This program is administered under the provisions of section 759 of Public Law 106-78 (7 U.S.C. 3242) which was amended and redesignated as section 1419B of the National Agricultural Research, Extension, and Teaching Policy Act (NARETPA) of 1977 (7 U.S.C. 3155). As legislatively reauthorized in the Public Law 110-246, the purpose of this program continues to promote and strengthen the ability of eligible Alaska Native-Serving Institutions and Native Hawaiian-Serving Institutions to carry out education, applied research, and related community development programs within a broadly defined area of food and agricultural sciences and related disciplines. In FY 2009, the Secretary will make competitive grant awards to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the states of Alaska and Hawaii.-- 10.228

This program is administered under the provisions of the Farm Security and Rural Investment Act of 2002 (Section 7501 of Public Law 107-171) as reauthorized in Section 7143 of Public Law 110-246, which amended the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3101 et seq.) by providing for a program of resident instruction grants for insular areas (7 U.S.C. 3363). Funds are available for a program of competitive grants, with funds to be awarded to one or more individual, eligible institutions of higher education in Insular Areas (Insular Area Institutions) or consortia of such eligible institutions, to carry out teaching and education programs in the food and agricultural sciences.-- 10.308

This program is authorized by Section 1704 of the Public Health Service Act, 42 U.S.C. Section 300u-3.-- 93.007

This program is authorized by the American Recovery and Reinvestment Act of 2009 (Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2) and 42 U.S.C. 300k-1, 300 sec.1701 of the Public Health Service Act, as amended.-- 93.731

This program is authorized under Sec. 803(d) of the Native American Programs Act of 1974 (NAPA), 42 U.S.C. 2991b(d).-- 93.581

This program is authorized under Section 317 of the Public Health Service Act, (42 U.S.C. Section 247 b), as amended.-- 93.062

This program is authorized under Section 803(a) and 803C of the Native American Programs Act of 1974, 42 U.S.C. 2991b and 2991b-3, as amended by the Esther Martinez Native American Languages Preservation Act of 2006,

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This program is authorized under Section 803(a) of the Native American Programs Act of 1974 (NAPA), 42 U.S.C. 2991b and 2991b-3.-- 93.612

This program is authorized under section 301(a) and 317(k) 2) American Recovery and Reinvestment Act of 2009, Public Health Service Act, 42 U.S.C. 241(a) and 247b (k) 2311.-- 93.724

This program is authorized under section 301(a) and 317(k)(2) Health Care and Education Affordability Reconciliation Act of 2010, Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2311.-- 93.520

This program is authorized under sections 301 and 317 of the Public Health Service Act (PHS Act), 42 U.S.C. 241 and 247b as amended, and the Patient Protection and Affordable Care Act (Public Law 111-148), Title IV, Section 4002 (Prevention and Public Health Fund).-- 93.524

This program is authorized under sections 301(a), 307, 310, 311 and 317(k) (2) American Recovery and Reinvestment Act of 2009 (ARRA) [Public Law 111.5], Public Health Service Act, 42 U.S. Code 241(a) and 247b (k) 2, and the Comprehensive Smoking Education Act of 1984, Comprehensive Smokeless Tobacco Health Education Act of 1986.-- 93.723

This program is authorized under the American Recovery and Reinvestment Act of 2009 (ARRA), Public Law 111-5; Public Health Service Act, 42 U.S.C. 241(a) and 247b(k)2 and Public Health Service Act, Title 42, Part 330u-5, Section 1706-- 93.730

This program solicitation is issued pursuant to the Small Business Innovation Development Act of 1982, Public Law 97-219, as amended (15 U.S.C. 638) and Section 630 of the Act making appropriations for Agriculture, Rural Development and Related Agencies programs for fiscal year ending September 30, 1987, and for other purposes, as made applicable by Section 101(a) of Public Law 99-591, 100 Stat. 3341.-- 10.212

Ticket to Work and Work Incentives Improvement Act of 1999, Section 203, Public Law 106-170.-- 93.768

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Title III of the U.S. Public Health Service Act: 42 U.S.C. Section 301, also Section 1110 of the Social Security Act (42 U.S.C. 1310), along with funds appropriated under 42 U.S.C. 300U-6, Section 1707 of the Public Health Service Act, as amended.-- 93.990

Title III, Part F, Section 371(b)(2)(c)(ii), 371(b)(2)(D)(iii), and 371(b)(2)(D)(iv)-- 84.382

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Title III, Section 319I, Public Health Service Act (42 U.S.C. 247d-7b), as amended by the Pandemic and All-Hazards Preparedness Act (PAHPA) of 2006, Public Law 109-417-- 93.089

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Title V of Housing and Urban Development Act of 1970-- 14.506

Title V, Part C of the Improving Americas Schools Act of 1994, Public Law 103-382 (October 20, 1994), 7 U.S.C. 301 note, as amended; Public Law 105-185, cited as Equity in Educational Land-Grant Status Act of 1994, (hereafter referred to as the Act) provides that certain tribal colleges, designated as 1994 Land-Grant Institutions, (hereafter referred to as LGIs) receive various benefits.-- 10.222

Title V, Part D, Subpart 16-- 84.310

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Title VI, Subtitle B, Part III, Subtitle C, Section 6201 of the Health Care Reform Patient Protection and Affordable Care Act-- 93.506

Title VII of the Civil Rights Act of 1964 (Public Law 88-352), as amended, 42 U.S.C. section 2000e et seq-- 30.001

Title VII of the Public Health Service (PHS) Act, as amended by the Health Professions Education Partnerships Act of 1998, P.L. 105-392, Section 753(b) and the Patient Protection and Affordability Act of 2010, P.L. 111-148.-- 93.156

Title VII, Section 753(c) of Public Health Service Act, 42 U.S.C. 294c, as amended by the Patient Protection and Affordable Care Act, Public Law 111-148, Section 5305(b)-- 93.250

Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by P.L. 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.-- 93.249

Title VII, Sections 765 and 766 of the Public Health Service Act (42 U.S.C. 295a), as amended by Public Law 105-392 Health Professions Education Partnerships Act of 1998 and the Patient Protection and Affordable Care Act of 2010, Public Law 111-148.-- 93.516

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22 USC 7105(b)(2)(A)-- 16.320

24 CFR 291.500-565, final rule published in Federal Register, October 31, 2006, effective December 1, 2006, following publication in the Federal Register of a proposed rule on September 8, 2005-- 14.198

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Appendix III: Budget Functional Code Appendix

FINANCIAL INFORMATION

Account Identification - This 11-digit budget code identifies the account from which a program is funded as expressed in the Appendix to the Federal Budget. For identification code 75-0350-0-1-551, the first two digits represent the Federal agency code, and the third through sixth digits identify the appropriation (or fund) basic account symbol assigned by the Treasury Department. Where two or more accounts with different Treasury basic account symbols are included in a consolidated program, 99 will be the third and fourth digits, the fifth digit will be the fund type and the sixth digit will reflect the sequence of consolidated programs for that fund type within the agency. The seventh digit identifies the timing of transmittal of budget estimates:

- 0: Regular budget schedules
- 1: Supplemental appropriation
- 2: Legislative proposal, not subject to PAYGO
- 3: Appropriations language to be transmitted later
- 4: Legislative proposal, subject to PAYGO
- 5: Recission proposal
- 6: Adjustments to 1996 continuing resolution levels

The eighth digit identifies the type of government fund involved:

- 1: General fund - General Fund Appropriation Accounts - Accounts established to record amounts appropriated by law to be expended for the general support of the Government.
General Fund Receipt Accounts - Accounts credited with all receipts that are not earmarked by law for a specific purpose.
- 2: Special fund - Special Fund Appropriation Accounts - Accounts established to record appropriated amounts of special fund receipts to be expended for special programs in accordance with specific provisions of law. Special Fund Receipt Accounts - Accounts credited with receipts from specific sources that are earmarked by law for a specific purpose.
- 3: Public Enterprise Fund Accounts - Funds authorized by Congress to be credited with receipts primarily from the public, that are generated by, and earmarked to finance, a continuing cycle of business-type operations.
- 4: Intragovernmental Revolving or Management Fund Intragovernmental Fund Accounts - Accounts established to facilitate financing transactions within and between Federal agencies. These funds may be classified as intragovernmental revolving funds or management funds. Intragovernmental Revolving Fund Accounts - Funds authorized by law to carry out a cycle of intragovernmental business-type operations. These funds are credited with offsetting collections from other agencies and accounts.
- 7: Trust Fund (non-revolving) - Trust funds are established to account for the receipt and expenditure of monies by the Government for carrying out specific purposes and programs in accordance with the terms of a statute that designates the fund as a trust fund (such as the Highway Trust Fund) or for carrying out the stipulations of a trust agreement (such as any of several trust funds for gifts and donations for specific purposes). In a trust non-revolving fund, the receipts designated for the trust fund are in a separate account.
- 8: Trust Fund (revolving) - In a trust revolving fund, the fund is credited with collections earmarked by law to carry out a cycle of business-type operations.
- 9: For use when account consolidations cross fund types. The last three digits represent the functional classification (code) used in the latest Federal Budget document, unless noted otherwise by the Office of Management and Budget. In cases where a program is split between two or more subfunctions: (a) if all subfunctions are in the same major function, the code is the same as for the major function; or (b) if two or more major functions are involved the digits '999' are used. (Example: 75-1361-0-1-550)

Listed below are major functions and subfunctions as used in the budget document to show allocations of budget authority and outlays according to end purposes without regard to agency jurisdiction. The central nature of each major function is identified and defined. Catalog programs are listed following each applicable subfunction (or major function). Note that some subfunctions are not applicable to Catalog programs.

The alphabet(s) in parentheses following the program title, shows the type(s) of assistance available through that program. The alphabet codes with accompanying types of assistance are as follows:

- A: Formula Grants
- B: Project Grants
- C: Direct Payments for Specified Use
- D: Direct Payments with Unrestricted Use
- E: Direct Loans
- F: Guaranteed/Insured Loans
- G: Insurance
- H: Sale, Exchange, or Donation of Property and Goods
- I: Use of Property, Facilities, and Equipment
- J: Provision of Specialized Services
- K: Advisory Services and Counseling
- L: Dissemination of Technical Information
- M: Training
- N: Investigation of Complaints
- O: Federal Employment

Appendix III: Budget Functional Code Appendix

(050) NATIONAL DEFENSE

This function includes those programs directly related to the common defense and security of the United States. It includes the raising, equipping, and maintaining of armed forces (including civilian supporting activities), development and utilization of weapons systems (including nuclear weapons), and related programs. National defense includes direct compensation and benefits paid to active military and civilian personnel; contributions to their retirement, health, and life insurance funds; the conduct of defense research, development, testing, and evaluation; and procurement, construction, stockpiling, and other activities undertaken with the direct object of fostering our national security. Excluded from national defense are benefits or compensation to veterans and their dependents (veterans benefits are generally available to personnel who served the country in national emergencies; benefits for career military personnel are generally charged as a cost to the national defense function) and military retired pay, which is included in the income security function. Also excluded from national defense is the peaceful conduct of foreign relations; foreign military, economic, and humanitarian assistance; subsidies to business by civilian agencies (such as maritime subsidies) that may be partially justified as promoting national security; and research and operations of agencies (such as space research) whose program mission is not directly designed to promote national defense but which could result in some significant benefits to our national security.

81.123 National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (B)

(051) Department of Defense - Military

The entire agency is included in this subfunction. Because of the method of funding and accounting in the Defense Department no further subdivisions on a program basis are available in terms of outlays.

12.404 National Guard ChalleNge Program (A)

12.431 Basic Scientific Research (B)

12.630 Basic, Applied, and Advanced Research in Science and Engineering (B)

(053) Atomic Energy Defense Activities

Those programs of the Department of Energy devoted to national defense activities, such as naval ship reactors and nuclear weapons.

17.310 Energy Employees Occupational Illness Compensation (D)

81.106 Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (B)

81.112 Stewardship Science Grant Program (B)

81.113 Defense Nuclear Nonproliferation Research (B)

81.124 Predictive Science Academic Alliance Program (B)

(054) Defense-Related Activities

Miscellaneous defense activities such as the expenses connected with selective service and civil defense activities in the Federal Emergency Management Agency.

20.813 Maritime Security Fleet Program (C)

(150) INTERNATIONAL AFFAIRS

This function is concerned with the maintenance of peaceful relations, commerce, and travel between the United States and the remainder of the world, and with the promotion of international security and economic development abroad. It includes the conduct of foreign affairs; cost of membership in international organizations; foreign information and exchange activities; foreign military, economic, and humanitarian assistance programs; and loan programs designed to foster U.S. exports. Excluded from international affairs are outlays from domestic programs that may tangentially affect foreign relations or citizens of other nations.

19.500 Middle East Partnership Initiative (MEPI) (B)

(151) International Development and Humanitarian Assistance

This includes humanitarian assistance, development assistance, security supporting assistance, grants to and investments in international financial and development institutions, and the costs associated with concessionary agricultural exports.

85.002 MCC Foreign Assistance for Overseas Programs (B,C)

85.003 MCC Domestic Assistance for Overseas Programs (B,C)

(152) International Security Assistance

This includes all of international security assistance. It involves the transfer of defense articles and services to foreign governments through grants, credit sales, and training.

(153) Conduct of Foreign Affairs

This includes the diplomatic and consular operations of the Department of State and closely-related activities in other agencies (such as the Arms Control and Disarmament Agency).

91.001 Annual Grant Competition (B)

91.002 Priority Grant Competition (B)

91.003 91.003 Annual Senior Fellowship Competition (B)

(154) Foreign Information and Exchange Activities

This includes student and cultural exchange programs and foreign library, radio, or other media information activities designed to promote mutual understanding between the people of the United States and other Nations.

19.022 Educational and Cultural Exchange Programs Appropriation Overseas Grants (B)

90.500 International Broadcasting Independent Grantee Organizations (B)

(155) International Financial Programs

This includes export credit, the military sales trust fund, international commodity agreements, international monetary programs, and other programs designed to improve the functioning of the international financial system.

(250) GENERAL SCIENCE, SPACE AND TECHNOLOGY

This function shows budget resources allocated to science and research activities of the Federal Government to meet the national need to advance knowledge in this area. In addition, research and technology programs that have diverse goals and cannot readily be classified into one specific function are also placed here to avoid detailed splitting of accounts. Included in this function are general scientific research and civilian space programs that are not an integral part of the programs conducted under any other function. This includes the research conducted by the National Aeronautics and Space Administration, and general science research supported by the Department of Energy. As is noted above, research programs that are an integral part of the achievement of other major functions _ e.g., health, national defense, energy _ are excluded from this function. Only if a research program cannot readily be included in other functions is it classified in this function.

81.105 National Industrial Competitiveness through Energy, Environment, and Economics (B)

(251) General Science and Basic Research

This includes the expenses of conducting the National Science Foundation programs and the general science activities of the Department of Energy.

81.049 Office of Science Financial Assistance Program (B)

81.126 Federal Loan Guarantees for Innovative Energy Technologies (E,F)

(252) Space Flight, Research, and Supporting Activities

This subfunction is devoted to the development and operation of space transportation systems, basic scientific research connected with outer space, research and demonstrations designed to provide terrestrial applications of technology developed through space research, and development of new space technologies for future missions. Also included are the costs of tracking and data relay support for NASA space science and applications for flight missions.

(270) ENERGY

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The energy function includes those programs designed to promote an adequate supply and appropriate use of energy to serve the needs of the economy. It includes most of those programs operated by the Department of Energy and its predecessor agencies except for atomic energy defense activities and for general science research not closely related to energy.

- 81.036 Inventions and Innovations (B,K,L)
- 81.041 State Energy Program (A,B,L)
- 81.042 Weatherization Assistance for Low-Income Persons (A,L)
- 81.064 Office of Scientific and Technical Information (L)
- 81.086 Conservation Research and Development (B)
- 81.087 Renewable Energy Research and Development (B)
- 81.119 State Energy Program Special Projects (B)
- 81.129 Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (B)
- 81.135 Advanced Research and Projects Agency Energy Financial Assistance Program (B)
- 81.136 Long-Term Surveillance and Maintenance (B)

(271) Energy Supply

This includes those programs designed to increase the supply of energy through the development of domestic resources and systems capable of using them. Included in energy supply are the costs of research and demonstration of supply systems.

- 10.850 Rural Electrification Loans and Loan Guarantees (E)
- 10.851 Rural Telephone Loans and Loan Guarantees (E,F)
- 10.854 Rural Economic Development Loans and Grants (B,E)
- 81.022 Used Energy-Related Laboratory Equipment Grants (B)
- 81.049 Office of Science Financial Assistance Program (B)
- 81.057 University Coal Research (B)
- 81.079 Regional Biomass Energy Programs (B)
- 81.086 Conservation Research and Development (B)
- 81.087 Renewable Energy Research and Development (B)
- 81.089 Fossil Energy Research and Development (B)
- 81.108 Epidemiology and Other Health Studies Financial Assistance Program (B)
- 81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)
- 81.126 Federal Loan Guarantees for Innovative Energy Technologies (E,F)
- 81.130 Carbon Capture and Storage-FutureGen 2.0 (B)
- 81.131 Expand and Extend Clean Coal Power Initiative (B)
- 81.132 Geologic Sequestration Site Characterization (B)
- 81.133 Geologic Sequestration Training and Research Grant Program (B)

(272) Energy Conservation

Programs in this subfunction are designed to encourage the prudent use of energy resources.

- 81.041 State Energy Program (A,B,L)
- 81.042 Weatherization Assistance for Low-Income Persons (A,L)
- 81.086 Conservation Research and Development (B)
- 81.117 Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (B)
- 81.127 Energy Efficient Appliance Rebate Program (EEARP) (A)
- 81.128 Energy Efficiency and Conservation Block Grant Program (EECBG) (A,B)

(274) Emergency Energy Preparedness

This includes the programs associated with developing and maintaining a stockpile of energy resources (currently confined to petroleum) to meet emergency needs and associated contingency planning activities.

(276) Energy Information, Policy, and Regulation

This includes the unallocable overhead activities of the Department of Energy

plus the costs of energy information and regulation activities.

- 77.006 U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (B)
- 77.007 U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (B,C)
- 77.008 U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (B)
- 77.009 U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (B)
- 81.003 Granting of Patent Licenses (L)

(300) NATURAL RESOURCES AND ENVIRONMENT

This function includes those programs whose primary purpose is to develop, manage, and maintain the Nation's natural resources and environment. It encompasses development and management of water resources; protection and enhancement of environmental quality; development and maintenance of recreational resources; promotion of the prudent use of forest and mineral resources; the fostering of conservation practices on both public and private lands; marine, earth, and atmospheric -related research, and the management of the public domain. Excluded from this function are the outlays for community water supply programs, basic sewer system, and waste treatment plants, which are part of a community or regional development (rather than an environmental enhancement) program or are part of the cost of operating a Federal facility (such as a military installation).

- 15.532 Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (B)
- 15.536 Deschutes River Conservancy (DRC) (B)
- 15.809 National Spatial Data Infrastructure Cooperative Agreements Program (B)
- 15.813 Cooperative Research Units Training Program (B)
- 90.300 Japan-U.S. Friendship Commission Grants (B)

(301) Water Resources

This includes water protection, conservation, irrigation, and related activities including the total costs of multipurpose water projects where it is not feasible to separate out the transportation (navigation) or energy (power) segments of these projects.

- 10.904 Watershed Protection and Flood Prevention (K)
- 10.916 Watershed Rehabilitation Program (K)
- 10.923 Emergency Watershed Protection Program (B)
- 15.510 Colorado Ute Indian Water Rights Settlement Act (B,C)
- 15.511 Cultural Resources Management (B)
- 15.512 Central Valley Project Improvement Act, Title XXXIV (B)
- 15.513 Dutch John Federal Property and Disposition Assistance Act (B)
- 15.514 Reclamation States Emergency Drought Relief (B,J,K)
- 15.516 Fort Peck Reservation Rural Water System (B)
- 15.517 Fish and Wildlife Coordination Act (B)
- 15.518 Garrison Diversion Unit (B)
- 15.519 Indian Tribal Water Resources Development, Management, and Protection (B)
- 15.520 Lewis and Clark Rural Water System (B)
- 15.521 Lower Rio Grande Valley Water Resources Conservation and Improvement (B)
- 15.522 Mni Wiconi Rural Water Supply Project (B)
- 15.523 Perkins County Rural Water System (B)
- 15.524 Recreation Resources Management (B)
- 15.525 Rocky Boy's/North Central Montana Regional Water System (B)
- 15.526 San Gabriel Basin Restoration Project (B)
- 15.527 San Luis Unit, Central Valley Project (B)
- 15.528 St. Mary Storage Unit Facilities Rehabilitation Project (B)
- 15.529 Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (B)

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- 15.530 Water Conservation Field Services Program (WCFSP) (B)
- 15.531 Yakima River Basin Water Enhancement Project (YRBWEP) (B)
- 15.533 California Water Security and Environmental Enhancement (B)
- 15.534 Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (B,C)
- 15.535 Upper Colorado River Basin Fish and Wildlife Mitigation Program. (B)
- 15.537 Middle Rio Grande Endangered Species Collaborative Program (B)
- 15.538 Lower Colorado River Multi-Species Conservation Program. (B)
- 15.539 Equus Beds Division Aquifer Storage Recharge Project (B)
- 15.540 Lake Mead/Las Vegas Wash Program (B)
- 15.541 Colorado River Basin Projects Act of 1968 (B)
- 15.542 Arizona Water Settlement Act of 2004 (B)
- 15.543 Lake Tahoe Regional Wetlands Development Program (B)
- 15.544 Platte River Recovery Implementation Program (B)
- 15.545 Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (B)
- 15.546 Youth Conservation Program (B)

(302) Conservation and Land Management

This includes the costs of operating the public domain (including national forests), payments to encourage private land conservation activities, and surface mining reclamation efforts.

- 10.069 Conservation Reserve Program (C)
- 10.072 Wetlands Reserve Program (C)
- 10.652 Forestry Research (B)
- 10.664 Cooperative Forestry Assistance (A,B)
- 10.672 Rural Development, Forestry, and Communities (B)
- 10.674 Forest Products Lab: Technology Marketing Unit (TMU) (B)
- 10.675 Urban and Community Forestry Program (B)
- 10.676 Forest Legacy Program (B)
- 10.678 Forest Stewardship Program (B)
- 10.679 Collaborative Forest Restoration (B)
- 10.680 Forest Health Protection (A,B)
- 10.681 Wood Education and Resource Center (WERC) (B)
- 10.682 National Forest Foundation (C)
- 10.683 National Fish and Wildlife Foundation (C)
- 10.684 International Forestry Programs (B)
- 10.687 Recovery Act of 2009: Capital Improvement and Maintenance (B)
- 10.688 Recovery Act of 2009: Wildland Fire Management (B)
- 10.689 Community Forest and Open Space Conservation Program (CFP) (B)
- 10.690 Lake Tahoe Erosion Control Grant Program (B)
- 10.691 Good Neighbor Authority (B)
- 10.693 Watershed Restoration and Enhancement Agreement Authority (B)
- 10.694 Southwest Forest Health and Wildfire Prevention (C)
- 10.695 Eastern Nevada Landscape Restoration Project (ENLRP) (B)
- 10.901 Resource Conservation and Development (K)
- 10.902 Soil and Water Conservation (K)
- 10.903 Soil Survey (L)
- 10.905 Plant Materials for Conservation (J)
- 10.907 Snow Survey and Water Supply Forecasting (L)
- 10.912 Environmental Quality Incentives Program (C)
- 10.913 Farm and Ranch Lands Protection Program (C)
- 10.914 Wildlife Habitat Incentive Program (C)
- 10.917 Agricultural Management Assistance (C)
- 10.920 Grassland Reserve Program (C)
- 10.921 Conservation Security Program (C)
- 10.922 Healthy Forests Reserve Program (HFRP) (C)
- 15.034 Agriculture on Indian Lands (C,J,K)
- 15.035 Forestry on Indian Lands (C,J,K)
- 15.037 Water Resources on Indian Lands (C,J,K)
- 15.038 Minerals and Mining on Indian Lands (C,J,L)
- 15.051 Endangered Species on Indian Lands (C,K,L)
- 15.065 Safety of Dams on Indian Lands (C)
- 15.214 Non-Sale Disposals of Mineral Material (H)
- 15.222 Cooperative Inspection Agreements with States and Tribes (B)
- 15.224 Cultural Resource Management (B,H,I,J,K,L,M,N)
- 15.225 Recreation Resource Management (B,I,J,K,L,M)
- 15.228 National Fire Plan - Wildland Urban Interface Community Fire Assistance (B,I,J,K,L,M)
- 15.229 Wild Horse and Burro Resource Management (B,I,J,K,L,M)
- 15.230 Invasive and Noxious Plant Management (B,K,M)
- 15.231 Fish, Wildlife and Plant Conservation Resource Management (B,I,J,K,L,M)
- 15.232 Wildland Fire Research and Studies Program (B)
- 15.233 Forests and Woodlands Resource Management (B,K,M)
- 15.234 Secure Rural Schools and Community Self-Determination (B)
- 15.235 Southern Nevada Public Land Management (B)
- 15.236 Environmental Quality and Protection Resource Management (B,K,L,M)
- 15.237 Rangeland Resource Management (B,K,M)
- 15.238 Challenge Cost Share (B,I,K,M)
- 15.239 Management Initiatives (B,I,K,M)
- 15.241 Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (B,I,M)
- 15.250 Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (B,C)
- 15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
- 15.253 Not-for-Profit AMD Reclamation (B)
- 15.254 Summer Watershed Intern (C)
- 15.255 Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (B)
- 15.421 Alaska Coastal Marine Institute (B)
- 15.422 Louisiana State University (LSU) Coastal Marine Institute (CMI) (B)
- 15.423 Minerals Management Service (MMS) Environmental Studies Program (ESP) (B)
- 15.427 Federal Oil and Gas Royalty Management (B)
- 15.428 Marine Gas Hydrate Research Activities (B)
- 15.615 Cooperative Endangered Species Conservation Fund (B)
- 15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (B)
- 15.657 Endangered Species Conservation Recovery Implementation Funds (B)

(303) Recreational Resources

These are programs involving the acquisition, improvement, and operation of recreational lands and facilities including fish and wildlife and parks. It also includes outlays for the preservation of historic areas.

- 15.407 Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (B)
- 15.605 Sport Fish Restoration Program (A)
- 15.608 Fish and Wildlife Management Assistance (J)
- 15.611 Wildlife Restoration (A)
- 15.614 Coastal Wetlands Planning, Protection and Restoration Act (B)
- 15.616 Clean Vessel Act (B)
- 15.619 Rhinoceros and Tiger Conservation Fund (B)
- 15.620 African Elephant Conservation Fund (B)
- 15.621 Asian Elephant Conservation Fund (B)
- 15.622 Sportfishing and Boating Safety Act (B)
- 15.623 North American Wetlands Conservation Fund (B)

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- 15.626 Firearm and Bow Hunter Education and Safety Program (A)
- 15.628 Multistate Conservation Grant Program (B)
- 15.629 Great Apes Conservation Fund (B)
- 15.630 Coastal Program (C)
- 15.631 Partners for Fish and Wildlife (C)
- 15.634 State Wildlife Grants (A,B)
- 15.635 Neotropical Migratory Bird Conservation (B)
- 15.636 Alaska Subsistence Management (B)
- 15.637 Migratory Bird Joint Ventures (B)
- 15.639 Tribal Wildlife Grants Program (B)
- 15.640 Wildlife Without Borders- Latin America and the Caribbean (B)
- 15.641 Wildlife Without Borders-Mexico (B)
- 15.642 Challenge Cost Share (B)
- 15.643 Alaska Migratory Bird Co-Management Council (B)
- 15.645 Marine Turtle Conservation Fund (B)
- 15.647 Migratory Bird Conservation (B,C)
- 15.649 Service Training and Technical Assistance (Generic Training) (B)
- 15.658 Natural Resource Damage Assessment, Restoration and Implementation (B)
- 15.937 Redwood National Park Cooperative Management with the State of California (B,H,I,K,L,M)
- 15.938 Boston African-American National Historic Site Cooperative Agreement with the Museum of African American History (B,H,I,K,L,M)

(304) Pollution Control and Abatement

This includes outlays for controlling and reducing air, water, and land pollution or that are specifically funded as an environmental enhancement program. It excludes water resources programs, water treatment plants, and similar programs that are not funded as part of an environmental enhancement activity.

- 66.001 Air Pollution Control Program Support (B)
- 66.032 State Indoor Radon Grants (B)
- 66.033 Ozone Transport Commission (B)
- 66.034 Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act (B)
- 66.035 Community Action for a Renewed Environment (CARE) Program (B)
- 66.036 Clean School Bus USA (B)
- 66.037 Internships, Training and Workshops for the Office of Air and Radiation (B)
- 66.038 Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (B)
- 66.039 National Clean Diesel Emissions Reduction Program (B)
- 66.040 State Clean Diesel Grant Program (A)
- 66.041 Climate Showcase Communities Grant Program (B)
- 66.042 Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (B)
- 66.050 Potomac Highlands Implementation Grants (B)
- 66.111 Regional Environmental Priority Projects (B)
- 66.113 Region 3 Environmental Priority Projects (B)
- 66.119 West Coast Estuaries Initiative (B)
- 66.120 Puget Sound Watershed Management Assistance (B)
- 66.121 Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (B)
- 66.122 Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (B)
- 66.123 Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (B)
- 66.124 Coastal Wetlands Planning Protection and Restoration Act (B)
- 66.125 Lake Pontchartrain Basin Restoration Program (PRP) (B)
- 66.202 Congressionally Mandated Projects (B)
- 66.203 Environmental Finance Center Grants (B)
- 66.305 Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (B)
- 66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (B)
- 66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (B)
- 66.312 State Environmental Justice Cooperative Agreement Program (B)
- 66.418 Construction Grants for Wastewater Treatment Works (B)
- 66.419 Water Pollution Control State, Interstate, and Tribal Program Support (A)
- 66.424 Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (B)
- 66.432 State Public Water System Supervision (A)
- 66.433 State Underground Water Source Protection (A)
- 66.436 Surveys, Studies, Investigations, Demonstrations, and Training Grants and Cooperative Agreements - Section 104(b)(3) of the Clean Water Act (B)
- 66.437 Long Island Sound Program (B)
- 66.439 Targeted Watersheds Grants (B)
- 66.454 Water Quality Management Planning (A)
- 66.456 National Estuary Program (B)
- 66.458 Capitalization Grants for Clean Water State Revolving Funds (A)
- 66.460 Nonpoint Source Implementation Grants (A)
- 66.461 Regional Wetland Program Development Grants (B)
- 66.462 National Wetland Program Development Grants and Five-Star Restoration Training Grant (B)
- 66.463 Water Quality Cooperative Agreements (B)
- 66.466 Chesapeake Bay Program (B)
- 66.467 Wastewater Operator Training Grant Program (B)
- 66.468 Capitalization Grants for Drinking Water State Revolving Funds (A)
- 66.469 Great Lakes Program (B,I,L)
- 66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (A)
- 66.472 Beach Monitoring and Notification Program Implementation Grants (A)
- 66.473 Direct Implementation Tribal Cooperative Agreements (B)
- 66.474 Water Protection Grants to the States (A)
- 66.475 Gulf of Mexico Program (B)
- 66.478 Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (B)
- 66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (B)
- 66.480 Assessment and Watershed Protection Program Grants (B)
- 66.481 Lake Champlain Basin Program (B)
- 66.508 Senior Environmental Employment Program (B)
- 66.509 Science To Achieve Results (STAR) Research Program (B)
- 66.510 Surveys, Studies, Investigations and Special Purpose Grants within the Office of Research and Development (B)
- 66.511 Office of Research and Development Consolidated Research/Training/Fellowships (B)
- 66.512 Regional Environmental Monitoring and Assessment Program (REMAP) Research Projects (B)
- 66.513 Greater Research Opportunities (GRO) Fellowships For Undergraduate Environmental Study (B)
- 66.514 Science To Achieve Results (STAR) Fellowship Program (B)
- 66.516 P3 Award: National Student Design Competition for Sustainability (B)

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66.517 Regional Applied Research Efforts (RARE) (B)
66.518 State Senior Environmental Employment Program (B)
66.600 Environmental Protection Consolidated Grants for the Insular Areas - Program Support (B)
66.604 Environmental Justice Small Grant Program (B)
66.605 Performance Partnership Grants (A,B)
66.608 Environmental Information Exchange Network Grant Program and Related Assistance (B)
66.609 Protection of Children and Older Adults (Elderly) from Environmental Health Risks (B)
66.610 Surveys, Studies, Investigations and Special Purpose Grants within the Office of the Administrator (B)
66.611 Environmental Policy and Innovation Grants (B)
66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (B)
66.700 Consolidated Pesticide Enforcement Cooperative Agreements (B)
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (B)
66.707 TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (B)
66.708 Pollution Prevention Grants Program (B)
66.709 Multi-Media Capacity Building Grants for States and Tribes (B)
66.714 Pesticide Environmental Stewardship Regional Grants (B)
66.715 Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and Risks Associated With Lead (B)
66.716 Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (B)
66.717 Source Reduction Assistance (B)
66.718 National Community-Based Lead Outreach and Training Grant Program (B)
66.801 Hazardous Waste Management State Program Support (A)
66.802 Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (B)
66.804 Underground Storage Tank Prevention, Detection and Compliance Program (A)
66.805 Leaking Underground Storage Tank Trust Fund Corrective Action Program (A)
66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites (B)
66.809 Superfund State and Indian Tribe Core Program Cooperative Agreements (B)
66.810 Chemical Emergency Preparedness and Prevention (CEPP) Technical Assistance Grants Program (B)
66.812 Hazardous Waste Management Grant Program for Tribes (B)
66.813 Alternative or Innovative Treatment Technology Research, Demonstration, Training, and Hazardous Substance Research Grants (B)
66.814 Brownfields Training, Research, and Technical Assistance Grants and Cooperative Agreements (B)
66.815 Brownfield Job Training Cooperative Agreements (B)
66.816 Headquarters and Regional Underground Storage Tanks Program (B)
66.817 State and Tribal Response Program Grants (A)
66.818 Brownfields Assessment and Cleanup Cooperative Agreements (B)
66.926 Indian Environmental General Assistance Program (GAP) (B)
66.931 International Financial Assistance Projects Sponsored by the Office of International and Tribal Affairs (B)
66.940 Environmental Policy and State Sustainability Grants (B)
66.950 National Environmental Education Training Program (B)
66.951 Environmental Education Grants (B)

66.952 National Network for Environmental Management Studies Fellowship Program (B)

(306) Other Natural Resources

This includes miscellaneous natural resources programs not classified in other subfunctions, such as marine, earth, and atmosphere-related research, and the cost of geological surveys and mapping.

11.432 National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (B)
11.482 Coral Reef Conservation Program (B)
15.240 Helium Resource Management (B)
15.424 Marine Minerals Activities (B)
15.426 Coastal Impact Assistance Program (CIAP) (A)
15.805 Assistance to State Water Resources Research Institutes (A,B)
15.807 Earthquake Hazards Reduction Program (B)
15.808 U.S. Geological Survey_ Research and Data Collection (B)
15.810 National Cooperative Geologic Mapping Program (B)
15.811 Gap Analysis Program (B)
15.812 Cooperative Research Units Program (B)
15.814 National Geological and Geophysical Data Preservation Program (B)
15.815 National Land Remote Sensing_ Education Outreach and Research (B)
15.816 Minerals Resources External Research Program (B)
15.817 National Geospatial Program: Building The National Map (B)
15.818 Volcano Hazards Program Research and Monitoring. (B)
15.819 Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (B)
15.820 National Climate Change and Wildlife Service Center (B)
15.978 Upper Mississippi River System Long Term Resource Monitoring Program (B)

(350) AGRICULTURE

This function includes those programs that promote the economic stability of agriculture and the Nation's capability to maintain and increase agricultural production. These objectives are accomplished through farm income stabilization programs, research and educational activities, programs that maintain agricultural markets, regulation of agricultural markets and practices, and maintenance of an adequate stockpile of agricultural commodities. This function does not include programs which, though related to rural development, are not directly related to agriculture, such as rural environmental and conservation programs that are classified in the natural resources function. In addition, it excludes the costs connected with concessionary food export sales or food donations whether overseas or for domestic income support purposes.

(351) Farm Income Stabilization

Loans, subsidies, and other payments for purposes of stabilizing agricultural prices at an equitable level. This includes the cost of acquisition and storage of agricultural commodity stockpiles but does not include the cost of foreign agricultural export losses (which are classified in the international affairs function) or domestic donations of food (which are part of an income support, rather than a farm price support, program).

10.051 Commodity Loans and Loan Deficiency Payments (D,E)
10.053 Dairy Indemnity Program (D)
10.055 Direct and Counter-cyclical Payments Program (C)
10.056 Farm Storage Facility Loans (E)
10.085 Tobacco Transition Payment Program (D)
10.088 Livestock Indemnity Program (C)
10.090 Supplemental Revenue Assistance Program (C)
10.092 Tree Assistance Program (D)
10.099 Conservation Loans (E,F)
10.404 Emergency Loans (E)
10.406 Farm Operating Loans (E,F)
10.407 Farm Ownership Loans (E,F)

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- 10.435 State Mediation Grants (B)
- 10.437 Interest Assistance Program (F)
- 10.443 Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (B)
- 10.449 Boll Weevil Eradication Loan Program (E)
- 10.451 Noninsured Assistance (D)
- 10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (B)

(352) Agricultural Research and Services

This includes all other costs of agricultural programs including expenses for programs such as agricultural research and extension services.

- 10.001 Agricultural Research_Basic and Applied Research (B)
- 10.025 Plant and Animal Disease, Pest Control, and Animal Care (B)
- 10.028 Wildlife Services (B)
- 10.153 Market News (L)
- 10.156 Federal-State Marketing Improvement Program (B)
- 10.162 Inspection Grading and Standardization (J)
- 10.163 Market Protection and Promotion (J,K,M)
- 10.164 Wholesale Farmers and Alternative Market Development (K,M)
- 10.165 Perishable Agricultural Commodities Act (N)
- 10.167 Transportation Services (K,M)
- 10.168 Farmers' Market Promotion Program (B)
- 10.169 Specialty Crop Block Grant Program (B)
- 10.170 Specialty Crop Block Grant Program - Farm Bill (B)
- 10.200 Grants for Agricultural Research, Special Research Grants (B)
- 10.202 Cooperative Forestry Research (A)
- 10.203 Payments to Agricultural Experiment Stations Under the Hatch Act (A)
- 10.205 Payments to 1890 Land-Grant Colleges and Tuskegee University (A)
- 10.206 Grants for Agricultural Research_Competitive Research Grants (B)
- 10.207 Animal Health and Disease Research (A)
- 10.210 Food and Agricultural Sciences National Needs Graduate Fellowship Grants (B)
- 10.212 Small Business Innovation Research (B)
- 10.215 Sustainable Agriculture Research and Education (B)
- 10.216 1890 Institution Capacity Building Grants (B)
- 10.217 Higher Education Challenge Grants (B)
- 10.219 Biotechnology Risk Assessment Research (B)
- 10.220 Higher Education Multicultural Scholars Program (B)
- 10.221 Tribal Colleges Education Equity Grants (B)
- 10.222 Tribal Colleges Endowment Program (A)
- 10.223 Hispanic Serving Institutions Education Grants (B)
- 10.226 Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (B)
- 10.227 1994 Institutions Research Program (B)
- 10.228 Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (B)
- 10.256 Consumer Data Initiative (CDI) (L)
- 10.290 Agricultural Market and Economic Research (B)
- 10.303 Integrated Programs (B)
- 10.304 Homeland Security_Agricultural (B)
- 10.305 International Science and Education Grants (B)
- 10.306 Biodiesel (B)
- 10.307 Organic Agriculture Research and Extension Initiative (B)
- 10.308 Resident Instruction Grants for Insular Area Activities (B)
- 10.309 Specialty Crop Research Initiative (B)
- 10.310 Agriculture and Food Research Initiative (AFRI) (B)
- 10.311 Beginning Farmer and Rancher Development Program (B)
- 10.312 Biomass Research and Development Initiative Competitive Grants

- Program (BRDI) (B)
- 10.313 Veterinary Medicine Loan Repayment Program (C)
- 10.314 New ERA Rural Technology Competitive Grants Program (B)
- 10.315 Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (B)
- 10.316 Healthy Urban Food Enterprise Development Center (HUFED) (B)
- 10.317 Food Aid Nutrition Enhancement Program (B)
- 10.318 Women and Minorities in Science, Technology, Engineering, and (B)
- 10.319 Farm Business Management and Benchmarking Competitive Grants Program (B)
- 10.320 Sun Grant Program (B)
- 10.477 Meat, Poultry, and Egg Products Inspection (J)
- 10.500 Cooperative Extension Service (A,B)
- 10.700 National Agricultural Library (L)
- 10.777 Norman E. Borlaug International Agricultural Science and Technology Fellowship (C)
- 10.961 Scientific Cooperation and Research (B,C,M)
- 10.962 Cochran Fellowship Program-International Training-Foreign Participant (C)

(370) COMMERCE AND HOUSING CREDIT

This function includes the promotion and regulation of commerce and the housing and thrift insurance industries. Commerce includes the collection and dissemination of social and economic data unless they are an integral part of another function (such as health). This function includes general purpose loans and subsidies to business and to the housing industry. In general, credit and insurance programs are included in this function; however, if such programs are a means of achieving the basic objectives of another function and are an integral part of the programs of that function they are classified in the other function.

(371) Mortgage Credit

Includes homeownership and related loan and insurance programs.

- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.410 Very Low to Moderate Income Housing Loans (E,F)
- 10.411 Rural Housing Site Loans and Self_Help Housing Land Development Loans (E)
- 10.415 Rural Rental Housing Loans (B,E)
- 10.417 Very Low-Income Housing Repair Loans and Grants (B,E)
- 10.444 Direct Housing_Natural Disaster Loans and Grants (B,E)
- 10.445 Direct Housing_Natural Disaster (E)
- 10.446 Rural Community Development Initiative (B)
- 10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR) (B)
- 10.788 Very Low to Moderate Income Housing Loans - Direct (E,F)
- 10.789 Very Low to Moderate Income Housing Loans - Guaranteed (E,F)
- 14.108 Rehabilitation Mortgage Insurance (F)
- 14.110 Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (F)
- 14.112 Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (F)
- 14.117 Mortgage Insurance_Homes (F)
- 14.119 Mortgage Insurance_Homes for Disaster Victims (F)
- 14.122 Mortgage Insurance_Homes in Urban Renewal Areas (F)
- 14.123 Mortgage Insurance_Housing in Older, Declining Areas (F)
- 14.126 Mortgage Insurance_Cooperative Projects (F)
- 14.127 Mortgage Insurance_Manufactured Home Parks (F)
- 14.128 Mortgage Insurance_Hospitals (F)
- 14.129 Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (F)
- 14.133 Mortgage Insurance_Purchase of Units in Condominiums (F)
- 14.134 Mortgage Insurance_Rental Housing (F)

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- 14.135 Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (F)
- 14.138 Mortgage Insurance_Rental Housing for the Elderly (F)
- 14.139 Mortgage Insurance_Rental Housing in Urban Renewal Areas (F)
- 14.142 Property Improvement Loan Insurance for Improving All Existing Structures and Building of New Nonresidential Structures (F)
- 14.151 Supplemental Loan Insurance_Multifamily Rental Housing (F)
- 14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (F)
- 14.157 Supportive Housing for the Elderly (C)
- 14.159 Section 245 Graduated Payment Mortgage Program (F)
- 14.162 Mortgage Insurance_Combination and Manufactured Home Lot Loans (F)
- 14.163 Mortgage Insurance_Single Family Cooperative Housing (F)
- 14.167 Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d) (F)
- 14.172 Mortgage Insurance_Growing Equity Mortgages (F)
- 14.175 Adjustable Rate Mortgages (F)
- 14.181 Supportive Housing for Persons with Disabilities (C)
- 14.183 Home Equity Conversion Mortgages (F)
- 14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (F)
- 14.188 Housing Finance Agencies (HFA) Risk Sharing (F)
- 14.189 Qualified Participating Entities (QPE) Risk Sharing (F)
- 14.198 Good Neighbor Next Door Sales Program (H)
- 14.199 Multifamily Property Disposition (H)
- 14.311 Single Family Property Disposition (H)
- 14.313 Dollar Home Sales (H)
- 14.865 Public and Indian Housing_Indian Loan Guarantee Program (F)
- 19.345 International Programs to Support Democracy, Human Rights and Labor (B)

(372) Postal Service

Any net outlays of the postal service included in the budget (or off-budget).

(373) Deposit Insurance

Includes insurance programs protecting depository thrift institutions.

(376) Other Advancement of Commerce

This includes loan programs designed to aid specialized forms of business (such as small business) that are not included elsewhere in the functional structure. It also includes the costs of collection and dissemination of economic and demographic statistics (such as census data) and of regulation of business.

- 11.553 Special Projects (B)
- 11.557 Broadband Technology Opportunities Program (BTOP) (B)
- 11.558 State Broadband Data and Development Grant Program (B)
- 11.559 Low-Power Television and Translator Upgrade Program (B)
- 11.802 Minority Business Resource Development (B)
- 11.805 MBDA Business Center (B)
- 14.168 Land Sales-Certain Subdivided Land (Interstate Land Sales Registration) and Real Estate Settlement Procedures Act (L,N)
- 14.171 Manufactured Home Construction and Safety Standards (L,N)
- 59.058 Federal and State Technology Partnership Program (B)

(400) TRANSPORTATION

This function includes all programs with the primary aim of providing for the transportation of the general public and/or their property, regardless of whether local or national and regardless of the particular mode of transportation. It includes the construction of facilities; purchase of equipment; research, testing, and evaluation; provision of communications directly related to transportation (for example, air traffic control by the FAA); and operating subsidies for transportation facilities (such as mass transit) and industries (such as railroads). Transportation includes regulatory activities directed toward transportation.

Excluded from this function are the costs of moving personnel or equipment as part of the operation of other government services; the provision of foreign economic assistance which may take the course of assisting transportation facilities or programs abroad; the construction of roads, trails, etc., as an integral part of the operation of public lands, parks, forests, or military reservations, unless they are specifically funded as a part of a broader transportation program; the construction of roads or other transportation facilities as an integral part of a broad community facility or regional development program where the clear intent of the program is regional development and the provisions of transportation facilities is only an incidental by-product or means to attain the objective of regional development. Also excluded from transportation are research and technology activities devoted to space research, except for aeronautical technology, even though this research may eventually redound to the advantage of general transportation.

97.072 National Explosives Detection Canine Team Program (B)

(401) Ground Transportation

Outlays to aid and/or regulate the various modes of ground transportation, such as roads and highways, railroads, and urban mass transit.

- 20.200 Highway Research and Development Program (B)
- 20.205 Highway Planning and Construction (A,B)
- 20.215 Highway Training and Education (B,M)
- 20.218 National Motor Carrier Safety (A)
- 20.219 Recreational Trails Program (A)
- 20.223 Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (E,F)
- 20.231 Performance and Registration Information Systems Management (B)
- 20.232 Commercial Driver's License Program Improvement Grant (B)
- 20.233 Border Enforcement Grants (B)
- 20.234 Safety Data Improvement Program (B)
- 20.235 Commercial Motor Vehicle Operator Training Grants (B)
- 20.238 Commercial Drivers License Information System (CDLIS) Modernization Grant (B)
- 20.239 Motor Carrier Research and Technology Programs (B)
- 20.240 Fuel Tax Evasion-Intergovernmental Enforcement Effort (B)
- 20.241 Federal Motor Carrier Safety Administration National Training Center (A)
- 20.242 Medical Programs (B)
- 20.301 Railroad Safety (B)
- 20.313 Railroad Research and Development (B)
- 20.314 Railroad Development (B)
- 20.315 National Railroad Passenger Corporation Grants (B)
- 20.316 Railroad Rehabilitation and Improvement Financing Program (E,F)
- 20.317 Capital Assistance to States - Intercity Passenger Rail Service (B)
- 20.318 Maglev Project Selection Program - SAFETEA-LU (B)
- 20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (B)
- 20.320 Rail Line Relocation and Improvement (B)
- 20.321 RAILROAD SAFETY TECHNOLOGY GRANTS (B)
- 20.500 Federal Transit_Capital Investment Grants (A,B)
- 20.505 Metropolitan Transportation Planning (A)
- 20.507 Federal Transit_Formula Grants (A)
- 20.509 Formula Grants for Other Than Urbanized Areas (A)
- 20.513 Capital Assistance Program for Elderly Persons and Persons with Disabilities (A)
- 20.514 Public Transportation Research (B,L,M)
- 20.515 State Planning and Research (A)
- 20.516 Job Access_Reverse Commute (B)
- 20.518 Capital and Training Assistance Program for Over-the-Road Bus Accessibility (B)
- 20.519 Clean Fuels (B)

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- 20.521 New Freedom Program (A)
- 20.522 Alternatives Analysis (B)
- 20.523 Capital Assistance Program for Reducing Energy Consumption and Greenhouse Gas Emissions (B)
- 20.600 State and Community Highway Safety (A)
- 20.601 Alcohol Impaired Driving Countermeasures Incentive Grants I (B)
- 20.602 Occupant Protection Incentive Grants (B)
- 20.607 Alcohol Open Container Requirements (B)
- 20.608 Minimum Penalties for Repeat Offenders for Driving While Intoxicated (B)
- 20.609 Safety Belt Performance Grants (B)
- 20.610 State Traffic Safety Information System Improvement Grants (B)
- 20.611 Incentive Grant Program to Prohibit Racial Profiling (B)
- 20.612 Incentive Grant Program to Increase Motorcyclist Safety (B)
- 20.613 Child Safety and Child Booster Seats Incentive Grants (B)
- 20.614 National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (B)
- 20.701 University Transportation Centers Program (B)
- 20.761 Biobased Transportation Research (B)
- 20.762 Research Grants (B)

(402) Air Transportation

Outlays to aid and/or regulate air transportation, including aeronautical research conducted by the National Aeronautics and Space Administration.

- 20.106 Airport Improvement Program (B,K)
- 20.108 Aviation Research Grants (B,I)
- 20.109 Air Transportation Centers of Excellence (B,I,J)
- 20.901 Payments for Essential Air Services (C)
- 20.930 Payments for Small Community Air Service Development (B)
- 97.047 Pre-Disaster Mitigation (B)
- 97.056 Port Security Grant Program (B)
- 97.057 Intercity Bus Security Grants (B)
- 97.058 Operation Safe Commerce (OSC) Cooperative Agreement Program (B)
- 97.090 Law Enforcement Officer Reimbursement Agreement Program (C)
- 97.116 Port Security Grant Program (ARRA) (B)
- 97.117 TSA Airport Checked Baggage Inspection System Program (ARRA) (B)
- 97.118 Advanced Surveillance Program (ASP) (B)

(403) Water Transportation

Outlays to aid and/or regulate maritime commerce. (To the extent that the data can readily be segregated, this should extend to include inland and deep draft navigation facilities of water resources projects.)

- 20.802 Federal Ship Financing Guarantees (F)
- 20.803 Maritime War Risk Insurance (G)
- 20.806 State Maritime Schools (C,I)
- 20.807 U.S. Merchant Marine Academy (M)
- 20.808 Capital Construction Fund (C)
- 20.812 Construction Reserve Fund (C)
- 20.816 Americas Marine Highway Grants (B)
- 33.001 Shipping_Dispute Resolution and Investigation of Complaints (N)
- 97.012 Boating Safety Financial Assistance (A)
- 97.013 State Access to the Oil Spill Liability Trust Fund (B)

(407) Other Transportation

Outlays for general transportation programs and overhead not readily allocable into any of the preceding subfunctions.

- 20.520 Paul S. Sarbanes Transit in the Parks (B)
- 20.700 Pipeline Safety Program Base Grants (A)
- 20.703 Interagency Hazardous Materials Public Sector Training and Planning

- Grants (B)
- 20.710 Technical Assistance Grants (B)
- 20.720 State Damage Prevention Program Grants (B)
- 20.721 PHMSA Pipeline Safety Program One Call Grant (B)
- 20.722 Technology Development Grant (B)
- 20.764 Hydrogen Storage Research and Development (B)
- 20.900 Transportation_Consumer Affairs (N)
- 20.905 Disadvantaged Business Enterprises_Short Term Lending Program (F)
- 20.907 Entrepreneurial Training and Technical Assistance Women and Girls Program (B)
- 20.910 Assistance to small and disadvantaged businesses (B)
- 20.931 Transportation Planning, Research and Education (B)
- 20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (B)

(450) COMMUNITY AND REGIONAL DEVELOPMENT

This function consists of broad-based community, regional, urban and rural renewal and development programs. It includes grants and loans for water supply and sewer systems (except those specifically funded as environmental protection programs), urban community and economic development programs, regional economic development programs, broad-based public facility construction programs, and relief and rehabilitation of private and public facilities in the event of natural disasters. Aids to businesses such as loans, loan guarantees, and subsidies are not normally included in this function unless such aids are a means of economic development to aid restricted (generally depressed) areas and are not designed to promote particular lines of business for their own sake. Transportation facilities developed as an integral part of a community development (rather than transportation) program are also included in this function. As a normal rule, human development and services programs are excluded from this function (and included in the education, training, employment, and social services function). This function is confined to development of physical facilities or financial infrastructures designed to promote viable community economies.

(451) Community Development

This subfunction includes grants and related programs designed to aid community (largely urban) development. It includes community development block grants and predecessor activities such as the urban renewal and model cities programs. Community development programs are generally carried out by the Department of Housing and Urban Development.

- 14.191 Multifamily Housing Service Coordinators (B)
- 14.218 Community Development Block Grants/Entitlement Grants (A)
- 14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas (B)
- 14.227 Community Development Block Grants/Technical Assistance Program (B)
- 14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (A)
- 14.246 Community Development Block Grants/Brownfields Economic Development Initiative (B)
- 14.247 Self-Help Homeownership Opportunity Program (B)
- 14.248 Community Development Block Grants_Section 108 Loan Guarantees (F)
- 14.251 Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (B)
- 14.259 CPD's Transformation Initiative Technical Assistance (B)
- 14.263 Rural Innovation Fund (B)
- 14.321 FHA Technical Assistance Training Transformation Initiative (M)
- 14.506 General Research and Technology Activity (J)
- 14.514 Hispanic-Serving Institutions Assisting Communities (B)
- 14.515 Alaska Native/Native Hawaiian Institutions Assisting Communities (B)

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14.516	Doctoral Dissertation Research Grants (B)	11.313	Trade Adjustment Assistance for Firms (B)
14.519	Tribal Colleges and Universities Program (B)	15.020	Aid To Tribal Governments (C)
14.520	Historically Black Colleges and Universities Program (B)	15.021	Consolidated Tribal Government Program (C)
14.523	Transformation Initiative Research Grants: Sustainable Community Research Grant Program (B)	15.022	Tribal Self-Governance (C)
14.524	Transformation Initiative Research Grants: Natural Experiments (B)	15.024	Indian Self-Determination Contract Support (C)
14.525	Transformation Initiative Research Grants: Demonstration and Related Small Grants (B)	15.025	Services to Indian Children, Elderly and Families (C)
14.703	Sustainable Communities Regional Planning Grant Program (B)	15.029	Tribal Courts (C)
14.704	Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (B)	15.030	Indian Law Enforcement (C)
14.862	Indian Community Development Block Grant Program (B)	15.032	Indian Economic Development (C)
14.900	Lead-Based Paint Hazard Control in Privately-Owned Housing (B)	15.033	Road Maintenance_Indian Roads (C)
14.902	Lead Technical Studies Grants (B)	15.036	Indian Rights Protection (C)
14.905	Lead Hazard Reduction Demonstration Grant Program (B)	15.040	Real Estate Programs_Indian Lands (C)
14.906	Healthy Homes Technical Studies Grants (B)	15.052	Litigation Support for Indian Rights (C)
14.913	Healthy Homes Production Grant Program (B)	15.053	Attorney Fees_Indian Rights (C)
14.914	Asthma Interventions in Public and Assisted Multifamily Housing (B)	15.057	Navajo-Hopi Indian Settlement Program (C,J)
21.010	Financial Education and Counseling Pilot Program (B)	15.060	Indian Vocational Training_United Tribes Technical College (D,M)
21.011	Capital Magnet Fund (B)	15.061	Indian Job Placement_United Sioux Tribes Development Corporation (D,K)
21.012	Native Initiatives (B)	15.062	Replacement and Repair of Indian Schools (C)
21.020	Community Development Financial Institutions Program (B)	15.063	Improvement and Repair of Indian Detention Facilities (C)
21.021	Bank Enterprise Award Program (B)	15.108	Indian Employment Assistance (C)

(452) Area and Regional Development

Grants, loans, subsidies, and related aids to economic development or depressed areas that are generally either primarily for rural areas or else are more regional in nature or purpose than the community development programs. Area and regional development programs are generally carried out by agencies other than the Department of Housing and Urban Development, such as the Departments of Agriculture, Commerce, and Interior.

10.352	Value-Added Producer Grants (B)
10.760	Water and Waste Disposal Systems for Rural Communities (B,E,F)
10.761	Technical Assistance and Training Grants (B)
10.762	Solid Waste Management Grants (B)
10.763	Emergency Community Water Assistance Grants (B)
10.766	Community Facilities Loans and Grants (B,E,F)
10.767	Intermediary Relending Program (E)
10.768	Business and Industry Loans (F)
10.769	Rural Business Enterprise Grants (B)
10.770	Water and Waste Disposal Loans and Grants (Section 306C) (B,E)
10.771	Rural Cooperative Development Grants (B)
10.773	Rural Business Opportunity Grants (B)
10.780	Community Facilities Loans and Grants (B,E)
10.781	Water and Waste Disposal Systems for Rural Communities - ARRA (B,E)
10.787	Broadband Initiatives Program (B,E)
10.854	Rural Economic Development Loans and Grants (B,E)
10.855	Distance Learning and Telemedicine Loans and Grants (B,E)
10.857	State Bulk Fuel Revolving Fund Grants (B)
10.858	Denali Commission Grants and Loans (B,E)
10.859	Assistance to High Energy Cost Rural Communities (B,E)
10.861	Public Television Station Digital Transition Grant Program (B)
10.862	Household Water Well System Grant Program (B)
10.863	Community Connect Grant Program (B)
10.864	Grant Program to Establish a Fund for Financing Water and Wastewater Projects (B)
10.865	Biorefinery Assistance (F)
10.886	Rural Broadband Access Loans and Loan Guarantees (E,F)
11.010	Community Trade Adjustment Assistance (B)

23.001	Appalachian Regional Development (See individual Appalachian Programs) (B)
23.002	Appalachian Area Development (B)
23.003	Appalachian Development Highway System (B)
23.009	Appalachian Local Development District Assistance (B)
23.011	Appalachian Research, Technical Assistance, and Demonstration Projects (B)

(453) Disaster Relief and Insurance

This subfunction includes those Federal programs uniquely devoted to assist communities to recover from natural disasters and assist families on an emergency basis when they are adversely affected by such disasters.

10.054	Emergency Conservation Program (C)
97.022	Flood Insurance (G)
97.023	Community Assistance Program State Support Services Element (CAP-SSSE) (B)
97.029	Flood Mitigation Assistance (B)
97.030	Community Disaster Loans (E)
97.031	Cora Brown Fund (C)
97.032	Crisis Counseling (B)
97.033	Disaster Legal Services (C)
97.034	Disaster Unemployment Assistance (C,J)
97.036	Disaster Grants - Public Assistance (Presidentially Declared Disasters) (B)
97.041	National Dam Safety Program (B)
97.045	Cooperating Technical Partners (B)
97.046	Fire Management Assistance Grant (B,J)
97.048	Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (B,C)
97.049	Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (C)
97.050	Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (C)
97.070	Map Modernization Management Support (B)
97.082	Earthquake Consortium (B)
97.084	Hurricane Katrina Case Management Initiative Program (B)
97.092	Repetitive Flood Claims (B)

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- 97.098 Disaster Donations Management Program (B)
- 97.109 Disaster Housing Assistance Grant (B)
- 97.110 Severe Loss Repetitive Program (B)
- 97.122 Bio-Preparedness Collaboratory (B)

(500) EDUCATION, TRAINING, EMPLOYMENT, AND SOCIAL SERVICES

This function includes those outlays designed to promote the general extension of knowledge and skills, to provide public services to needy individuals, and/or to assist individuals to become self-supporting members of society. It includes the provision of education or training child care services, employment counselling, vocational rehabilitation, and other social services provided to individuals and families. It also includes cash payments (scholarships, loans, or stipends) to persons when such payments are provided to enable a person to participate in education or training programs; it includes programs designed to provide services to the poor (such as community services) that are not an integral part of some other function, and also includes the costs of research directly related to the programs in this function. This function excludes education or training undertaken as an integral part of the achievement of another functional end (such as training of military personnel; veterans education, training, and rehabilitation; or training of health workers in a health program) and also excludes general purpose scientific research. Nutrition or food service programs that are funded separately from social services or education shall be included as income security rather than being included in this function.

- 84.323 Special Education - State Personnel Development (B)
- 84.324 Research in Special Education (B)
- 84.329 Special Education_Studies and Evaluations (B)

(501) Elementary, Secondary, and Vocational Education

This includes pre-school, elementary, secondary and vocational education programs.

- 15.026 Indian Adult Education (C)
- 15.028 Tribally Controlled Community College Endowments (B)
- 15.042 Indian School Equalization Program (C)
- 15.043 Indian Child and Family Education (B,M)
- 15.044 Indian Schools_Student Transportation (C)
- 15.045 Assistance for Indian Children with Severe Disabilities (C)
- 15.046 Administrative Cost Grants for Indian Schools (B)
- 15.047 Indian Education Facilities, Operations, and Maintenance (C)
- 15.058 Indian Post Secondary Schools (M)
- 15.059 Indian Graduate Student Scholarships (B)
- 15.114 Indian Education_Higher Education Grant Program (B)
- 15.130 Indian Education_Assistance to Schools (C)
- 17.267 Incentive Grants - WIA Section 503 (A)
- 84.002 Adult Education - Basic Grants to States (A)
- 84.004 Civil Rights Training and Advisory Services (B)
- 84.010 Title I Grants to Local Educational Agencies (A)
- 84.011 Migrant Education_State Grant Program (A)
- 84.013 Title I State Agency Program for Neglected and Delinquent Children (A)
- 84.027 Special Education_Grants to States (A)
- 84.040 Impact Aid_Facilities Maintenance (B)
- 84.041 Impact Aid (A,B)
- 84.048 Career and Technical Education -- Basic Grants to States (A)
- 84.051 Career and Technical Education -- National Programs (B)
- 84.060 Indian Education_Grants to Local Educational Agencies (A)
- 84.083 Women's Educational Equity Program (B)
- 84.101 Career and Technical Education - Grants to Native Americans and Alaska Natives (B)
- 84.141 Migrant Education_High School Equivalency Program (B)
- 84.144 Migrant Education_Coordination Program (B)
- 84.149 Migrant Education_College Assistance Migrant Program (B)
- 84.165 Magnet Schools Assistance (B)
- 84.173 Special Education_Preschool Grants (A)
- 84.181 Special Education-Grants for Infants and Families (A)
- 84.184 Safe and Drug-Free Schools and Communities_National Programs (B)
- 84.186 Safe and Drug-Free Schools and Communities_State Grants (A)
- 84.191 Adult Education_National Leadership Activities (B,C)
- 84.196 Education for Homeless Children and Youth (A)
- 84.213 Even Start_State Educational Agencies (A)
- 84.214 Even Start_Migrant Education (B)
- 84.215 Fund for the Improvement of Education (B)
- 84.243 Tech-Prep Education (A)
- 84.245 Tribally Controlled Postsecondary Career and Technical Institutions (B)
- 84.256 Territories and Freely Associated States Education Grant Program (B)
- 84.258 Even Start_Indian Tribes and Tribal Organizations (B)
- 84.259 Native Hawaiian Career and Technical Education (B)
- 84.282 Charter Schools (B)
- 84.283 Comprehensive Centers (B)
- 84.286 Ready to Teach (B)
- 84.287 Twenty-First Century Community Learning Centers (A)
- 84.293 Foreign Language Assistance (B)
- 84.295 Ready-To-Learn Television (B)
- 84.299 Indian Education -- Special Programs for Indian Children (B)
- 84.304 Civic Education - We the People and the Cooperative Education Exchange Program (B)
- 84.310 Parental Information and Resource Centers (B)
- 84.318 Educational Technology State Grants (A)
- 84.325 Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (B)
- 84.326 Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (B)
- 84.327 Special Education_Technology and Media Services for Individuals with Disabilities (B)
- 84.328 Special Education_Parent Information Centers (B)
- 84.330 Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (B)
- 84.331 Grants to States for Workplace and Community Transition Training for Incarcerated Individuals (A)
- 84.332 Comprehensive School Reform Demonstration (B)
- 84.336 Teacher Quality Partnership Grants (B)
- 84.350 Transition to Teaching (B)
- 84.351 Arts in Education (B)
- 84.354 Credit Enhancement for Charter School Facilities (B)
- 84.356 Alaska Native Educational Programs (B)
- 84.358 Rural Education (A)
- 84.359 Early Reading First (B)
- 84.360 High School Graduation Initiative (B)
- 84.361 Voluntary Public School Choice (B)
- 84.362 Native Hawaiian Education (B)
- 84.363 School Leadership (B)
- 84.364 Improving Literacy Through School Libraries (B)
- 84.365 English Language Acquisition Grants (A)
- 84.366 Mathematics and Science Partnerships (B)
- 84.367 Improving Teacher Quality State Grants (A)
- 84.368 Grants for Enhanced Assessment Instruments (B)
- 84.369 Grants for State Assessments and Related Activities (A)
- 84.370 DC School Choice Incentive Program (B)
- 84.371 Striving Readers (A,B)

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84.373	Special Education_Technical Assistance on State Data Collection (B)	84.229	Language Resource Centers (B)
84.374	Teacher Incentive Fund (B)	84.268	Federal Direct Student Loans (E)
84.377	School Improvement Grants (A)	84.269	Institute for International Public Policy (B)
84.380	Special Education -- Olympic Education Programs (B)	84.274	American Overseas Research Centers (B)
84.383	Homeless Education Disaster Assistance Program (A)	84.333	Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (B)
84.385	Teacher Incentive Fund, Recovery Act (B)	84.334	Gaining Early Awareness and Readiness for Undergraduate Programs (B)
84.386	Education Technology State Grants, Recovery Act (A)	84.335	Child Care Access Means Parents in School (B)
84.387	Education for Homeless Children and Youth, Recovery Act (A)	84.336	Teacher Quality Partnership Grants (B)
84.388	School Improvement Grants, Recovery Act (A)	84.337	International Education_Technological Innovation and Cooperation for Foreign Information Access (B)
84.389	Title I Grants to Local Educational Agencies, Recovery Act (A)	84.345	Underground Railroad Educational and Cultural Program (B)
84.391	Special Education Grants to States, Recovery Act (A)	84.375	Academic Competitiveness Grants (C)
84.392	Special Education - Preschool Grants, Recovery Act (A)	84.376	National Science and Mathematics Access to Retain Talent (SMART) Grants (C)
84.393	Special Education - Grants for Infants and Families, Recovery Act (A)	84.378	College Access Challenge Grant Program (A)
84.394	State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (A)	84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants) (C)
84.395	State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act (B)	84.381	Baccalaureate Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages and Master's Degrees in Science, Technology, Engineering, Mathematics, and Critical Foreign Languages (B)
84.396	State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act (B)	84.382	Strengthening Minority-Serving Institutions (B)
84.397	State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (A)	84.405	Teacher Quality Partnerships, Recovery Act (B)
84.401	Impact Aid School Construction, Recovery Act (B)	84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education (B)
84.402	Consolidated Grants to the Outlying Areas, Recovery Act (A)	84.408	Postsecondary Education Scholarships for Veteran's Dependents (C)
84.403	Consolidated Grant to the Outlying Areas (A)	84.409	Civil Legal Assistance Attorney Student Loan Repayment Program (E)
84.404	Impact Aid -- School Construction Formula Grants, Recovery Act (A)	85.101	Homeland Security Award (D)
84.410	Education Jobs Fund (A)	85.102	Christopher Columbus Awards (B)
(502) Higher Education		85.104	Life Sciences Awards (C,D)
This includes postsecondary, college level and graduate school education programs.		85.105	Agriscience Awards (C,D)
84.007	Federal Supplemental Educational Opportunity Grants (C)	(503) Research and General Education Aids	
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program (B)	This includes assistance for the arts, the humanities, educational radio and television, public libraries, and museums.	
84.016	Undergraduate International Studies and Foreign Language Programs (B)	11.550	Public Telecommunications Facilities Planning and Construction (B)
84.017	International Research and Studies (B)	45.024	Promotion of the Arts_Grants to Organizations and Individuals (B)
84.018	Overseas Programs_Special Bilateral Projects (B)	45.025	Promotion of the Arts_Partnership Agreements (B,K)
84.019	Overseas Programs - Faculty Research Abroad (B)	45.129	Promotion of the Humanities_Federal/State Partnership (A)
84.021	Overseas Programs - Group Projects Abroad (B)	45.130	Promotion of the Humanities_Challenge Grants (B)
84.022	Overseas Programs - Doctoral Dissertation Research Abroad (B)	45.149	Promotion of the Humanities_Division of Preservation and Access (B)
84.031	Higher Education_Institutional Aid (B)	45.160	Promotion of the Humanities_Fellowships and Stipends (B)
84.032	Federal Family Education Loans (F)	45.161	Promotion of the Humanities_Research (B)
84.033	Federal Work-Study Program (C)	45.162	Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (B)
84.037	Perkins Loan Cancellations (C,D)	45.163	Promotion of the Humanities_Professional Development (B)
84.042	TRIO_Student Support Services (B)	45.164	Promotion of the Humanities_Public Programs (B)
84.044	TRIO_Talent Search (B)	45.168	Promotion of the Humanities_We the People (B)
84.047	TRIO_Upward Bound (B)	45.169	Promotion of the Humanities_Office of Digital Humanities (B)
84.063	Federal Pell Grant Program (C)	45.201	Arts and Artifacts Indemnity (G)
84.066	TRIO_Educational Opportunity Centers (B)	84.145	Federal Real Property Assistance Program (H)
84.069	Leveraging Educational Assistance Partnership (A)	84.206	Javits Gifted and Talented Students Education Grant Program (B)
84.103	TRIO Staff Training Program (B)	84.305	Education Research, Development and Dissemination (B)
84.116	Fund for the Improvement of Postsecondary Education (B)	84.372	Statewide Data Systems (B)
84.120	Minority Science and Engineering Improvement (B)	84.384	Statewide Data Systems, Recovery Act (B)
84.153	Business and International Education Projects (B)	(504) Training and Employment	
84.170	Javits Fellowships (B)	This includes programs of job or skill training, employment services and placement, and payments to employers to subsidize employment of marginal,	
84.185	Byrd Honors Scholarships (A)		
84.200	Graduate Assistance in Areas of National Need (B)		
84.217	TRIO_McNair Post-Baccalaureate Achievement (B)		
84.220	Centers for International Business Education (B)		

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unemployed, or low income workers.

- 17.201 Registered Apprenticeship and Other Training (M)
- 17.235 Senior Community Service Employment Program (A,B)
- 17.258 WIA Adult Program (A)
- 17.259 WIA Youth Activities (A)
- 17.260 WIA Dislocated Workers (A,B)
- 17.261 WIA Pilots, Demonstrations, and Research Projects (B)
- 17.262 WIA Evaluation (B)
- 17.264 National Farmworker Jobs Program (A)
- 17.265 Native American Employment and Training (B)
- 17.268 H-1B Job Training Grants (B)
- 17.269 Community Based Job Training Grants (B)
- 17.270 Reintegration of Ex-Offenders (B)
- 17.274 Youthbuild (B)
- 17.275 Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors (B)
- 17.276 Health Coverage Tax Credit (HCTC) (B)
- 17.277 Workforce Investment Act (WIA) National Emergency Grants (B)
- 17.278 WIA Dislocated Worker Formula Grants (A)
- 17.279 Green Jobs Innovation Fund Grants (B)
- 17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (B)
- 17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (B,C)
- 17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (B)
- 17.802 Veterans' Employment Program (B)

(505) Other Labor Services

This includes aids to or regulation of the labor market (including gathering labor statistics and mediation and conciliation services) other than employment and training programs, and other than occupational safety and health programs.

- 17.002 Labor Force Statistics (B,L)
- 17.003 Prices and Cost of Living Data (L)
- 17.004 Productivity and Technology Data (L)
- 17.005 Compensation and Working Conditions (B,L)
- 17.301 Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (N)
- 17.303 Wage and Hour Standards (K,N)
- 17.306 Consumer Credit Protection (K,N)
- 17.308 Farm Labor Contractor Registration (K,N)
- 17.309 Labor Organization Reports (K,L,N)
- 17.311 Federal Transit Employee Protection Certifications: Office of Labor-Management Standards (B)
- 17.700 Women's Bureau (K)
- 17.720 Disability Employment Policy Development (B)
- 34.002 Labor Management Cooperation (B)
- 46.001 Labor-Management Relations (J,N)

(506) Social Services

This is composed of two forms of activities: (a) programs involving the provision of a broad range of services to individuals to assist them to improve their vocational capabilities (vocational rehabilitation) or family status, and (b) provision of services to the poor and elderly that are not primarily for income support and that are not an integral part of some other function (for example, community action programs).

- 84.126 Rehabilitation Services_Vocational Rehabilitation Grants to States (A)
- 84.128 Rehabilitation Services_Service Projects (B)
- 84.129 Rehabilitation Long-Term Training (B)
- 84.132 Centers for Independent Living (B)
- 84.133 National Institute on Disability and Rehabilitation Research (B)

- 84.160 Training Interpreters for Individuals who are Deaf and Individuals who are Deaf-Blind (B)
- 84.161 Rehabilitation Services_Client Assistance Program (A)
- 84.169 Independent Living_State Grants (A)
- 84.177 Rehabilitation Services_Independent Living Services for Older Individuals Who are Blind (B)
- 84.187 Supported Employment Services for Individuals with the Most Significant Disabilities (A)
- 84.224 Assistive Technology (A)
- 84.234 Projects with Industry (B)
- 84.235 Rehabilitation Services Demonstration and Training Programs (B)
- 84.240 Program of Protection and Advocacy of Individual Rights (A)
- 84.246 Rehabilitation Short-Term Training (B)
- 84.250 Rehabilitation Services_American Indians with Disabilities (B)
- 84.263 Rehabilitation Training_Experimental and Innovative Training (B)
- 84.264 Rehabilitation Training_Continuing Education (B)
- 84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (B)
- 84.275 Rehabilitation Training_General Training (B)
- 84.315 Capacity Building for Traditionally Underserved Populations (B)
- 84.343 Assistive Technology_State Grants for Protection and Advocacy (A)
- 84.390 Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act (A)
- 84.398 Independent Living State Grants, Recovery Act (A)
- 84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act (A)
- 84.400 Centers for Independent Living, Recovery Act. (B)
- 84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act. (B)
- 93.009 Compassion Capital Fund (B)
- 93.010 Community-Based Abstinence Education (CBAE) (B)
- 93.041 Special Programs for the Aging_Title VII, Chapter 3_Programs for Prevention of Elder Abuse, Neglect, and Exploitation (A)
- 93.042 Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (A)
- 93.043 Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (A)
- 93.044 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers (A)
- 93.045 Special Programs for the Aging_Title III, Part C_Nutrition Services (A)
- 93.047 Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (B)
- 93.048 Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (B)
- 93.051 Alzheimer's Disease Demonstration Grants to States (B)
- 93.052 National Family Caregiver Support, Title III, Part E (A)
- 93.053 Nutrition Services Incentive Program (A)
- 93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (B)
- 93.071 Medicare Enrollment Assistance Program (A,B)
- 93.072 Lifespan Respite Care Program (B)
- 93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (B,L)
- 93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (B)
- 93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants (B)
- 93.092 Personal Responsibility Education Program (A,B)
- 93.093 Demonstration Projects to Address Health Professions Workforce Needs (B)

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93.235 Abstinence Education Program (A)
 93.254 Infant Adoption Awareness Training (B)
 93.508 Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (B)
 93.517 Affordable Care Act Aging and Disability Resource Center (B)
 93.518 93.518 - Affordable Care Act - Medicare Improvements for Patients and Providers (A,B)
 93.550 Transitional Living for Homeless Youth (B)
 93.551 Abandoned Infants (B)
 93.556 Promoting Safe and Stable Families (A,B)
 93.557 Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (B)
 93.569 Community Services Block Grant (A)
 93.570 Community Services Block Grant_Discretionary Awards (B)
 93.581 Improving the Capability of Indian Tribal Governments to Regulate Environmental Quality (B)
 93.586 State Court Improvement Program (A)
 93.587 Promote the Survival and Continuing Vitality of Native American Languages (B)
 93.590 Community-Based Child Abuse Prevention Grants (A)
 93.591 Family Violence Prevention and Services/Grants For Battered Women's Shelters_Grants to State Domestic Violence Coalitions (A)
 93.592 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants (B)
 93.593 Job Opportunities for Low-Income Individuals (B)
 93.599 Chafee Education and Training Vouchers Program (ETV) (A)
 93.600 Head Start (B)
 93.602 Assets for Independence Demonstration Program (B)
 93.603 Adoption Incentive Payments (A)
 93.612 Native American Programs (B)
 93.613 President's Committee for People with Intellectual Disabilities (PCPID) (L)
 93.616 Mentoring Children of Prisoners (B)
 93.617 Voting Access for Individuals with Disabilities_Grants to States (A)
 93.618 Voting Access for Individuals with Disabilities-Grants for Protection and Advocacy Systems (A)
 93.623 Basic Center Grant (B)
 93.630 Developmental Disabilities Basic Support and Advocacy Grants (A)
 93.631 Developmental Disabilities Projects of National Significance (B)
 93.632 University Centers for Excellence in Developmental Disabilities Education, Research, and Service (B)
 93.645 Child Welfare Services_State Grants (A)
 93.647 Social Services Research and Demonstration (B)
 93.648 Child Welfare Research Training or Demonstration (B)
 93.652 Adoption Opportunities (B)
 93.667 Social Services Block Grant (A)
 93.669 Child Abuse and Neglect State Grants (A)
 93.670 Child Abuse and Neglect Discretionary Activities (B)
 93.671 Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (A)
 93.676 Unaccompanied Alien Children Program (B)
 93.705 Aging Home-Delivered Nutrition Services for States (A)
 93.706 Aging Nutrition Services for Native Americans (B)
 93.707 Aging Congregate Nutrition Services for States (A)
 93.708 ARRA - Head Start (B)
 93.709 ARRA - Early Head Start (B)
 93.710 ARRA - Community Services Block Grant (A)
 93.711 ARRA Strengthening Communities Fund (B)
 93.714 ARRA Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program (A)

93.716 ARRA Temporary Assistance for Needy Families (TANF) Supplemental Grants (A)
 93.725 ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (B)
 94.003 State Commissions (B)
 94.007 Program Development and Innovation Grants (B)
 94.009 Training and Technical Assistance (L,M)
 94.013 Volunteers in Service to America (J)
 94.019 Social Innovation Fund (B)
 94.021 Volunteer Generation Fund (B)
 94.022 Nonprofit Capacity Building (B)

(550) HEALTH

This function includes those programs that have as their basic purpose the promotion of the physical and mental health of the nation's population, including the prevention of illness and accidents. It includes planning, financing, and provision of medical care; research into the causes and cure of disease; the financing of specialized programs for training of health workers and researchers; and promotion of consumer and occupational health and safety. Excluded from the health function are Federal health care costs that are an integral part of another function, such as Medicare and medical care for military personnel and for veterans. General scientific research that has medical applications (such as that conducted by the National Science Foundation) and health programs financed through foreign assistance programs are also excluded from the health function.

93.061 Innovations in Applied Public Health Research (B)
 93.062 Biomonitoring Programs for State Public Health Laboratories (B)
 93.063 Centers for Genomics and Public Health (B)
 93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (B)
 93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (B)
 93.066 State Vital Statistics Improvement Program (B)
 93.068 Chronic Diseases: Research, Control, and Prevention (B)
 93.069 Public Health Emergency Preparedness (B)
 93.070 Environmental Public Health and Emergency Response (B)
 93.073 Birth Defects and Developmental Disabilities - Prevention and Surveillance (B)
 93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (B)
 93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (B)
 93.107 Area Health Education Centers Point of Service Maintenance and Enhancement Awards (B)
 93.110 Maternal and Child Health Federal Consolidated Programs (B)
 93.116 Project Grants and Cooperative Agreements for Tuberculosis Control Programs (B)
 93.117 Preventive Medicine Residency Program (B,M)
 93.118 Acquired Immunodeficiency Syndrome (AIDS) Activity (B)
 93.121 Oral Diseases and Disorders Research (B)
 93.124 Nurse Anesthetist Traineeships (A)
 93.127 Emergency Medical Services for Children (B)
 93.129 Technical and Non-Financial Assistance to Health Centers (B)
 93.130 Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (B)
 93.134 Grants to Increase Organ Donations (B)
 93.135 Centers for Research and Demonstration for Health Promotion and Disease Prevention (B)
 93.136 Injury Prevention and Control Research and State and Community Based Programs (B)
 93.138 Protection and Advocacy for Individuals with Mental Illness (A)
 93.145 AIDS Education and Training Centers (B)

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93.150	Projects for Assistance in Transition from Homelessness (PATH) (A)	93.303	Nursing Scholarship Program (C)
93.153	Coordinated Services and Access to Research for Women, Infants, Children, and Youth (B)	93.338	Foreign Public Health Construction (B)
93.155	Rural Health Research Centers (B)	93.342	Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (E)
93.156	Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (B,M)	93.359	Nurse Education, Practice and Retention Grants (B)
93.157	Centers of Excellence (A,B)	93.364	Nursing Student Loans (E)
93.162	National Health Service Corps Loan Repayment Program (C)	93.365	Sickle Cell Treatment Demonstration Program (B)
93.165	Grants to States for Loan Repayment Program (B,C)	93.393	Cancer Cause and Prevention Research (B)
93.178	Nursing Workforce Diversity (B)	93.394	Cancer Detection and Diagnosis Research (B)
93.184	Disabilities Prevention (B)	93.395	Cancer Treatment Research (B)
93.185	Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (B)	93.396	Cancer Biology Research (B)
93.186	National Research Service Award in Primary Care Medicine (M)	93.397	Cancer Centers Support Grants (B)
93.189	Health Education Training Centers Continuing Educational Support for Health Professionals Serving in Underserved Communities (B)	93.398	Cancer Research Manpower (B)
93.191	Patient Navigator and Chronic Disease Prevention Program (B)	93.399	Cancer Control (B)
93.197	Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (B)	93.400	National Health Service Corps Scholarship Program (C)
93.211	Telehealth Programs (B)	93.401	ARRA - National Health Service Corps Loan Repayment (C)
93.212	Chiropractic Demonstration Project Grants (B)	93.402	ARRA - State Loan Repayment Program (B,C)
93.217	Family Planning_Services (B)	93.403	ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (B)
93.223	Development and Coordination of Rural Health Services (B)	93.404	ARRA Dental Public Health Residency Training Grants (B)
93.224	Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (B)	93.405	ARRA - Public Health Traineeship Program (A)
93.234	Traumatic Brain Injury State Demonstration Grant Program (B)	93.406	Nursing Education Loan Repayment Program (NELRP) (C)
93.236	Grants for Dental Public Health Residency Training (B)	93.407	ARRA - Scholarships for Disadvantaged Students (B)
93.241	State Rural Hospital Flexibility Program (B)	93.408	ARRA - Nurse Faculty Loan Program (B)
93.243	Substance Abuse and Mental Health Services_Projects of Regional and National Significance (B)	93.409	Faculty Loan Repayment Programs (C)
93.247	Advanced Nursing Education Grant Program (B)	93.410	ARRA Licensure Portability Grant Program (B)
93.249	Public Health Training Centers Grant Program (B)	93.411	ARRA Equipment to Enhance Training for Health Professionals (B)
93.250	Geriatric Academic Career Awards (B)	93.412	ARRA - Preventive Medicine Residency Program (B,M)
93.251	Universal Newborn Hearing Screening (B)	93.414	ARRA - State Primary Care Offices (B)
93.253	Poison Center Support and Enhancement Grant Program (B)	93.415	ARRA - Centers of Excellence (B)
93.255	Children's Hospitals Graduate Medical Education Payment (C)	93.416	ARRA - Health Careers Opportunity Program (B)
93.256	State Health Access Program (B)	93.417	ARRA - Nursing Workforce Diversity (B)
93.257	Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (B)	93.418	ARRA - Emergency Medical Services for Children (B)
93.259	Rural Access to Emergency Devices Grant (B)	93.419	ARRA - Maternal and Child Health (MCH) Pediatric Research Network Program (B)
93.260	Family Planning_Personnel Training (B)	93.420	ARRA - Community Health Applied Research Network (B,C)
93.262	Occupational Safety and Health Program (B,M)	93.440	National Project Management of the Healthcare Communities Knowledge Gateway (B)
93.264	Nurse Faculty Loan Program (NFLP) (E)	93.502	Affordable Care Act (ACA) Infrastructure to Expand Access to Care (B)
93.265	Comprehensive Geriatric Education Program(CGEP) (B)	93.503	Affordable Care Act (ACA) Nursing and Home Health Aides Training Program (B)
93.266	Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (B)	93.504	Affordable Care Act (ACA) Family to Family Health Information Centers (B)
93.267	State Grants for Protection and Advocacy Services (A)	93.505	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (A,B)
93.268	Immunization Grants (B)	93.506	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (B)
93.269	Complex Humanitarian Emergency and War-Related Injury Public Health Activities (B)	93.510	Affordable Care Act (ACA) Primary Care Residency Expansion Program (B)
93.270	Adult Viral Hepatitis Prevention and Control (B)	93.512	Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (B)
93.275	Substance Abuse and Mental Health Services-Access to Recovery (B)	93.513	Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (B)
93.283	Centers for Disease Control and Prevention_Investigations and Technical Assistance (B)	93.514	Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (B)
93.288	National Health Service Corps Scholarship Program (C)	93.515	Affordable Care Act (ACA) Nurse-Managed Health Clinics (B)
93.300	National Center for Health Workforce Analysis (B)	93.516	Affordable Care Act (ACA) Public Health Training Centers Program (B)
93.301	Small Rural Hospital Improvement Grant Program (B)		

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93.520	Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (B)	93.945	Assistance Programs for Chronic Disease Prevention and Control (B)
93.522	Affordable Care Act (ACA) Prevention Center for Healthy Weight (B)	93.946	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (B)
93.523	The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (B)	93.947	Tuberculosis Demonstration, Research, Public and Professional Education (B)
93.526	Affordable Care Act (ACA) Grants for Capital Development in Health Centers (B)	93.958	Block Grants for Community Mental Health Services (A)
93.527	Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (B)	93.959	Block Grants for Prevention and Treatment of Substance Abuse (A)
93.703	ARRA Grants to Health Center Programs (B)	93.964	Public Health Traineeships (A)
93.712	ARRA - Immunization (B)	93.965	Coal Miners Respiratory Impairment Treatment Clinics and Services (B)
93.717	ARRA - Preventing Healthcare-Associated Infections (B)	93.969	Geriatric Education Centers (B)
93.723	ARRA - Prevention and Wellness-State, Territories and Pacific Islands (B)	93.974	Family Planning_Service Delivery Improvement Research Grants (B)
93.724	ARRA - Prevention and Wellness Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (B)	93.975	National All Schedules Prescription Electronic Reporting Grant (A)
93.777	State Survey and Certification of Health Care Providers and Suppliers (Title XVIII) Medicare (A)	93.977	Preventive Health Services_Sexually Transmitted Diseases Control Grants (B)
93.779	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (B)	93.978	Preventive Health Services_Sexually Transmitted Diseases Research, Demonstrations, and Public Information and Education Grants (B)
93.822	Health Careers Opportunity Program (B)	93.982	Mental Health Disaster Assistance and Emergency Mental Health (B)
93.824	Area Health Education Centers Infrastructure Development Awards (B)	93.988	Cooperative Agreements for State-Based Diabetes Control Programs and Evaluation of Surveillance Systems (B)
93.884	Grants for Training in Primary Care Medicine and Dentistry (B)	93.993	Public Health Research Accreditation Project (B)
93.887	Health Care and Other Facilities (B)	93.994	Maternal and Child Health Services Block Grant to the States (A)
93.888	Specially Selected Health Projects (B)	93.995	Adolescent Family Life_Demonstration Projects (B)
93.908	Nursing Education Loan Repayment Program (C)		
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (B)		
93.913	Grants to States for Operation of Offices of Rural Health (B)		
93.914	HIV Emergency Relief Project Grants (B)		
93.917	HIV Care Formula Grants (A)		
93.918	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease (B)		
93.919	Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (B)		
93.923	Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (B,C)		
93.924	Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership (B,C)		
93.925	Scholarships for Health Professions Students from Disadvantaged Backgrounds (B)		
93.926	Healthy Start Initiative (B)		
93.928	Special Projects of National Significance (B)		
93.932	Native Hawaiian Health Systems (B)		
93.938	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (B)		
93.939	HIV Prevention Activities_Non-Governmental Organization Based (B)		
93.940	HIV Prevention Activities_Health Department Based (B)		
93.941	HIV Demonstration, Research, Public and Professional Education Projects (B)		
93.942	Research, Treatment and Education Programs on Lyme Disease in the United States (B)		
93.943	Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (B)		
93.944	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (B)		

(551) Health Care Services

This includes the costs of financing the provision of medical services to individuals and families whether such services are provided directly by the Federal Government or financed through grants, contracts, insurance, or reimbursements.

15.252	Abandoned Mine Land Reclamation (AMLR) Program (A,B)
93.004	Cooperative Agreements to Improve the Health Status of Minority Populations (B)
93.006	State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (B)
93.007	Public Awareness Campaigns on Embryo Adoption (B)
93.008	Medical Reserve Corps Small Grant Program (B)
93.015	HIV Prevention Programs for Women (B)
93.017	Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (B)
93.018	Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (B)
93.019	Technical Assistance and Provision for Foreign Hospitals and Health Organizations (B)
93.089	Emergency System for Advance Registration of Volunteer Health Professionals (B)
93.105	Bilingual/Bicultural Service Demonstration Grants (B)
93.111	Adolescent Family Life Research Grants (B)
93.123	Health Professions Pregraduate Scholarship Program for Indians (M)
93.137	Community Programs to Improve Minority Health Grant Program (B)
93.161	Health Program for Toxic Substances and Disease Registry (B)
93.164	Indian Health Service Educational Loan Repayment (C)
93.193	Urban Indian Health Services (B)
93.202	Capacity Building Among American Indian Tribes (B)
93.204	Surveillance of Hazardous Substance Emergency Events (B)
93.206	Human Health Studies_Applied Research and Development (B)
93.210	Tribal Self-Governance Program: IHS Compacts/Funding Agreements (C)
93.228	Indian Health Service_Health Management Development Program (B)
93.231	Epidemiology Cooperative Agreements (B)
93.237	Special Diabetes Program for Indians_Diabetes Prevention and

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Treatment Projects (B)	93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (B)
93.240 State Capacity Building (B)	93.790 Alternate Non-Emergency Service Providers or Networks (B)
93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (B)	93.791 Money Follows the Person Rebalancing Demonstration (B)
93.289 President's Council on Fitness, Sports, and Nutrition (K)	93.793 Medicaid Transformation Grants (B)
93.290 National Community Centers of Excellence in Women's Health (B)	93.889 National Bioterrorism Hospital Preparedness Program (A)
93.291 Surplus Property Utilization (H)	93.910 Family and Community Violence Prevention Program (B)
93.295 Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (B)	93.933 Demonstration Projects for Indian Health (B)
93.296 State Partnership Grant Program to Improve Minority Health (B)	93.954 Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (M)
93.297 Teenage Pregnancy Prevention Program (B)	93.970 Health Professions Recruitment Program for Indians (M)
93.358 Advanced Nursing Education Traineeships (A)	93.971 Health Professions Preparatory Scholarship Program for Indians (M)
93.360 Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (B)	93.972 Health Professions Scholarship Program (M)
93.441 Indian Self-Determination (C)	93.990 National Health Promotion (B)
93.442 Special Diabetes Program for Indians (SDPI) Competitive Grant Program (B)	93.991 Preventive Health and Health Services Block Grant (A)
93.443 Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (B)	
93.444 Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (B)	
93.445 Indian Health Service Sanitation Facilities Construction Program (J)	
93.451 The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by HIV/AIDS. (B)	
93.452 Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (B)	
93.453 Coalition for a Healthier Community (B)	
93.500 Pregnancy Assistance Fund Program (B)	
93.511 Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (B)	
93.519 Affordable Care Act (ACA) Consumer Assistance Program Grants (B)	
93.525 State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (B)	
93.718 Health Information Technology Regional Extension Centers Program (B)	
93.719 ARRA - State Grants to Promote Health Information Technology (B)	
93.720 ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (B)	
93.721 ARRA - Health Information Technology Professionals in Health Care (B)	
93.727 ARRA - Health Information Technology - Beacon Communities (B)	
93.728 ARRA - Strategic Health IT Advanced Research Projects (SHARP) (B)	
93.729 ARRA Health Information Technology and Public Health (B)	
93.730 ARRA Prevention Research Centers Comparative Effectiveness Research Program (B)	
93.731 ARRA - Prevention and Wellness - Leveraging National Organizations (B)	
93.760 Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (B)	
93.767 Children's Health Insurance Program (A)	
93.768 Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities (B)	
93.769 Demonstration to Maintain Independence and Employment (B)	
93.775 State Medicaid Fraud Control Units (A)	
93.778 Medical Assistance Program (A)	
93.780 Grants to States for Operation of Qualified High-Risk Pools (A)	
93.784 Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (C)	
	(552) Health Research and Training
	This includes the cost of all research programs _ whether basic or applied _ that are financed specifically as health or medical research. It excludes research that is an integral part of other functions (such as biomedical research in the space program). It also includes programs specifically funded as health training programs.
	93.113 Environmental Health (B)
	93.140 Intramural Research Training Award (B)
	93.142 NIEHS Hazardous Waste Worker Health and Safety Training (B)
	93.143 NIEHS Superfund Hazardous Substances_Basic Research and Education (B)
	93.172 Human Genome Research (B)
	93.173 Research Related to Deafness and Communication Disorders (B)
	93.187 Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (B)
	93.209 Contraception and Infertility Research Loan Repayment Program (C)
	93.213 Research and Training in Complementary and Alternative Medicine (B,M)
	93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (B)
	93.225 National Research Service Awards_Health Services Research Training (B)
	93.226 Research on Healthcare Costs, Quality and Outcomes (B)
	93.232 Loan Repayment Program for General Research (B)
	93.233 National Center on Sleep Disorders Research (B)
	93.242 Mental Health Research Grants (B)
	93.273 Alcohol Research Programs (B)
	93.279 Drug Abuse and Addiction Research Programs (B,M)
	93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (C)
	93.281 Mental Health Research Career/Scientist Development Awards (B)
	93.282 Mental Health National Research Service Awards for Research Training (M)
	93.285 National Institutes of Health Pediatric Research Loan Repayment Program (C)
	93.286 Discovery and Applied Research for Technological Innovations to Improve Human Health (B)
	93.307 Minority Health and Health Disparities Research (B)
	93.308 Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research (C)
	93.310 Trans-NIH Research Support (B,M)
	93.361 Nursing Research (B)
	93.389 National Center for Research Resources (B)
	93.701 Trans-NIH Recovery Act Research Support (B)

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- 93.702 National Center for Research Resources, Recovery Act Construction Support (B)
- 93.704 Trans-NIH Recovery Act Loan Repayment Support (C)
- 93.837 Cardiovascular Diseases Research (B)
- 93.838 Lung Diseases Research (B)
- 93.839 Blood Diseases and Resources Research (B)
- 93.846 Arthritis, Musculoskeletal and Skin Diseases Research (B)
- 93.847 Diabetes, Digestive, and Kidney Diseases Extramural Research (B)
- 93.853 Extramural Research Programs in the Neurosciences and Neurological Disorders (B)
- 93.855 Allergy, Immunology and Transplantation Research (B)
- 93.856 Microbiology and Infectious Diseases Research (B)
- 93.859 Biomedical Research and Research Training (B)
- 93.865 Child Health and Human Development Extramural Research (B)
- 93.866 Aging Research (B)
- 93.867 Vision Research (B)
- 93.879 Medical Library Assistance (B)
- 93.936 National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (B)
- 93.989 International Research and Research Training (B,M)

(554) Consumer and Occupational Health and Safety

This includes such programs as meat and poultry inspection, food and drug inspection, consumer product safety, and occupational safety.

- 10.475 Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (B)
- 10.477 Meat, Poultry, and Egg Products Inspection (J)
- 10.479 Food Safety Cooperative Agreements (B)
- 17.502 Occupational Safety and Health_Susan Harwood Training Grants (B)
- 17.503 Occupational Safety and Health_State Program (B)
- 17.504 Consultation Agreements (B)
- 17.505 OSHA Data Initiative (B)
- 17.600 Mine Health and Safety Grants (B)
- 17.601 Mine Health and Safety Counseling and Technical Assistance (K,L)
- 17.602 Mine Health and Safety Education and Training (M)
- 17.603 Brookwood-Sago Grant (B)
- 17.604 Safety and Health Grants (B)
- 93.103 Food and Drug Administration_Research (B)
- 93.448 Food Safety and Security Monitoring Project (B)

(570) MEDICARE

(571) Medicare

Medicare is composed of the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds. This includes all off-setting collections to these two funds, except for employers share and employee retirement (subfunction_951) and net interest (interest received by on_budget trust funds_902). Also includes trust funds and general funds payments to those funds.

- 93.770 Medicare_Prescription Drug Coverage (C)
- 93.773 Medicare_Hospital Insurance (C)
- 93.774 Medicare_Supplementary Medical Insurance (C)

(600) INCOME SECURITY

This function includes payments for or to persons for which no current service is rendered. It includes military and civilian retirement, disability, unemployment, and welfare payments and the associated administrative costs. Also included in income security are the food stamp, special milk, and child nutrition programs (whether the benefits are in cash or kind); both Federal and trust fund unemployment compensation and workmen's compensation; public assistance cash payments; benefits to coal miners; and low and moderate-income housing

aids. Excluded from this function are social security, financial assistance for educational purposes, provision or reimbursement for child care services and stipends, medical care (whether in cash or kind), and subsidies to businesses (such as farm price supports) even though they may end up as income to persons. Also excluded are income security programs restricted to veterans and their dependents.

(601) General Retirement and Disability Insurance (excluding Social Security)

This includes non-needs-tested retirement and disability programs except for those specifically restricted to Federal employees and social security. Most programs in this subfunction are financed through trust fund mechanisms but it includes some Federal funds programs such as payments to coal miners. The subfunction is composed mainly of the railroad retirement fund and special benefits for coal miners.

- 17.150 Employee Benefits Security Administration (L)
- 17.151 Employee Benefits Security Administration (EBSA) (G)
- 17.302 Longshore and Harbor Workers' Compensation (D)
- 17.307 Coal Mine Workers' Compensation (D)
- 57.001 Social Insurance for Railroad Workers (D)
- 57.005 Economic Recovery Payments (D)

(602) Federal Employee Retirement and Disability

Includes all funded retirement and disability programs restricted to Federal employees. In those cases where there are no retirement funds (such as the Coast Guard retired pay) the case benefits are included in the function where the employees were employed (in this case transportation).

(603) Unemployment Compensation

Benefits for unemployed workers that are not conditioned by needs tests. This subfunction does not include other benefits (such as food stamps) that an unemployed person might be eligible for under any other program.

- 57.001 Social Insurance for Railroad Workers (D)

(604) Housing Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing housing for individuals and families.

- 10.405 Farm Labor Housing Loans and Grants (B,F)
- 10.417 Very Low-Income Housing Repair Loans and Grants (B,E)
- 10.420 Rural Self-Help Housing Technical Assistance (B)
- 10.433 Rural Housing Preservation Grants (B)
- 10.438 Section 538 Rural Rental Housing Guaranteed Loans (F)
- 10.441 Technical and Supervisory Assistance Grants (B)
- 10.442 Housing Application Packaging Grants (B)
- 14.103 Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (C,F)
- 14.149 Rent Supplements_Rental Housing for Lower Income Families (C)
- 14.169 Housing Counseling Assistance Program (B)
- 14.191 Multifamily Housing Service Coordinators (B)
- 14.195 Section 8 Housing Assistance Payments Program (C)
- 14.231 Emergency Shelter Grants Program (A)
- 14.235 Supportive Housing Program (B,C)
- 14.238 Shelter Plus Care (B)
- 14.239 Home Investment Partnerships Program (A)
- 14.241 Housing Opportunities for Persons with AIDS (A,B)
- 14.249 Section 8 Moderate Rehabilitation Single Room Occupancy (B)
- 14.252 Section 4 Capacity Building for Community Development and Affordable Housing (B)
- 14.260 Veterans Homelessness Prevention Demonstration Program (B)
- 14.261 Homeless Management Information Systems Technical Assistance (B)
- 14.262 Homeless Prevention and Rapid Re-Housing Program Technical Assistance (B)

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- 14.314 Assisted Living Conversion for Eligible Multifamily Housing Projects (B)
- 14.315 Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (B)
- 14.316 Housing Counseling Training Program (B)
- 14.317 Section 8 Housing Assistance Payments Program Special Allocations (Recovery Act Funded) (C)
- 14.318 Assisted Housing Stability and Energy and Green Retrofit Investments Program (Recovery Act Funded) (B)
- 14.400 Equal Opportunity in Housing (N)
- 14.850 Public and Indian Housing (C)
- 14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (C)
- 14.866 Demolition and Revitalization of Severely Distressed Public Housing (B)
- 14.867 Indian Housing Block Grants (A)
- 14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (F)
- 14.870 Resident Opportunity and Supportive Services - Service Coordinators (B)
- 14.871 Section 8 Housing Choice Vouchers (C)
- 14.872 Public Housing Capital Fund (A)
- 14.873 Native Hawaiian Housing Block Grants (B)
- 14.874 Loan Guarantees for Native Hawaiian Housing (F)
- 14.877 Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (B)
- 14.878 Affordable Housing Development in Main Street Rejuvenation Projects (B)
- 14.879 Mainstream Vouchers (C)
- 14.880 Family Unification Program (FUP) (C)
- 14.881 Moving to Work Demonstration Program (A)
- 14.882 Native American Housing Block Grants (Formula) Recovery Act Funded (B)
- 14.884 Public Housing Capital Fund Competitive (Recovery Act Funded) (B)
- 14.886 Indian Community Development Block Grant Program (Recovery Act Funded) (B)
- 14.887 Native American Housing Block Grants (Competitive) Recovery Act Funded (B)
- 14.889 Choice Neighborhoods (B)
- 14.891 Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (J)

(605) Food and Nutrition Assistance

Federal income support and related expenses that are specifically for and limited to financing or providing food or nutritional assistance to individuals and families.

- 10.155 Marketing Agreements and Orders (J,K)
- 10.225 Community Food Projects (B)
- 10.551 Supplemental Nutrition Assistance Program (C)
- 10.553 School Breakfast Program (A)
- 10.555 National School Lunch Program (A)
- 10.556 Special Milk Program for Children (A)
- 10.557 Special Supplemental Nutrition Program for Women, Infants, and Children (A)
- 10.558 Child and Adult Care Food Program (A)
- 10.559 Summer Food Service Program for Children (A)
- 10.560 State Administrative Expenses for Child Nutrition (A)
- 10.561 State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (A)
- 10.565 Commodity Supplemental Food Program (A,H)
- 10.566 Nutrition Assistance For Puerto Rico (C)

- 10.567 Food Distribution Program on Indian Reservations (B,H)
- 10.568 Emergency Food Assistance Program (Administrative Costs) (A,B)
- 10.569 Emergency Food Assistance Program (Food Commodities) (A)
- 10.572 WIC Farmers' Market Nutrition Program (FMNP) (A)
- 10.574 Team Nutrition Grants (B)
- 10.576 Senior Farmers Market Nutrition Program (B)
- 10.578 WIC Grants To States (WGS) (B)
- 10.579 Child Nutrition Discretionary Grants Limited Availability (B)
- 10.580 Supplemental Nutrition Assistance Program, Outreach/Participation Program (B)
- 10.582 Fresh Fruit and Vegetable Program (B)
- 10.583 Hunger Free Communities (B)
- 10.585 FNS Food Safety Grants (B)
- 97.024 Emergency Food and Shelter National Board Program (B)
- 97.114 Emergency Food and Shelter National Board Program (ARRA) (B)

(609) Other income security

Income security programs that are not included in any other subfunction. This is composed primarily of either direct payments or grants-in-aid to finance direct payments that constitute cash income to low-income individuals and families, but it also includes refugee assistance and both administrative expenses and offsetting collections in the income security function that are not part of any other subfunction.

- 93.090 Guardianship Assistance (A)
- 93.558 Temporary Assistance for Needy Families (A)
- 93.560 Family Support Payments to States_Assistance Payments (A)
- 93.563 Child Support Enforcement (A)
- 93.564 Child Support Enforcement Research (B)
- 93.566 Refugee and Entrant Assistance_State Administered Programs (A)
- 93.567 Refugee and Entrant Assistance_Voluntary Agency Programs (B)
- 93.568 Low-Income Home Energy Assistance (A)
- 93.575 Child Care and Development Block Grant (A)
- 93.576 Refugee and Entrant Assistance_Discretionary Grants (B)
- 93.579 U.S. Repatriation (B)
- 93.583 Refugee and Entrant Assistance_Wilson/Fish Program (B)
- 93.584 Refugee and Entrant Assistance_Targeted Assistance Grants (A)
- 93.594 Tribal Work Grants (A)
- 93.595 Welfare Reform Research, Evaluations and National Studies (B)
- 93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (A)
- 93.597 Grants to States for Access and Visitation Programs (B)
- 93.598 Services to Victims of a Severe Form of Trafficking (B)
- 93.601 Child Support Enforcement Demonstrations and Special Projects (B)
- 93.604 Assistance for Torture Victims (B)
- 93.605 Family Connection Grants (B)
- 93.658 Foster Care_Title IV-E (A,B)
- 93.659 Adoption Assistance (A)
- 93.674 Chafee Foster Care Independence Program (A)
- 93.713 ARRA Child Care and Development Block Grant (A)

(650) SOCIAL SECURITY

Social Security is composed of the Federal Old_Age and Survivors Insurance and Federal Disability Insurance trust funds and general funds payments to those funds, all offsetting receipts by those trust funds, except those classified in subfunction 903 - interest received by off-budget trust funds.

(651) Social Security

This includes the Old Age and Survivors Insurance (OASI) and Disability Insurance (DI) trust funds and related intergovernmental payments and off_setting collections.

(700) VETERANS BENEFITS AND SERVICES

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This function consists of programs providing benefits and services, the eligibility for which is related to prior military service but the financing of which is not an integral part of the costs of national defense. It includes veterans service-connected compensation; veterans non-service connected pensions; and veterans readjustment benefits, housing, and medical care programs. As a normal rule, the outlays in this function are similar to those in the broader general purpose functions (such as income security or health) but are restricted to veterans, their dependents, and their survivors. Earned rights of career military personnel that are a cost of the defense budget (such as military retired pay or medical care) are excluded from this function. The subfunctions of this function are so structured that they are readily additive to analogous major functions for the general population.

(701) Income Security for Veterans

Includes veterans compensation, pensions, burial benefits and life insurance.

(702) Veterans Education, Training, and Rehabilitation

Composed primarily of the "GI bill" readjustment benefits.

- 17.801 Disabled Veterans' Outreach Program (DVOP) (A)
- 17.803 Uniformed Services Employment and Reemployment Rights (K,L,N)
- 17.804 Local Veterans' Employment Representative Program (A)
- 17.805 Homeless Veterans Reintegration Project (B)
- 17.806 Veteran's Preference in Federal Employment (O)
- 17.807 Transition Assistance Program (B)
- 64.027 Post-9/11 Veterans Educational Assistance (C)
- 64.028 Post-9/11 Veterans Educational Assistance (C)

(703) Hospital and Medical Care for Veterans

Composed of medical care and research financed by the Veterans Administration.

(704) Veterans Housing

Composed of housing loan and guarantee programs for, and restricted to, veterans and dependents.

(705) Other Veterans Benefits and Services

Mainly the administrative expenses of the Veterans Administration.

(750) ADMINISTRATION OF JUSTICE

This function includes those programs designed to provide judicial services, police protection, law enforcement (including civil rights), rehabilitation, and incarceration of criminals, and promotion of the general maintenance of domestic order. It includes the provision of court appointed counsel or other legal services to individuals. This function excludes the costs of the legislative branch, the narrow-purpose police or guard activities providing protection to Federal property, or activities which are an integral part of a broader function (such as postal inspectors, tax collection agents, and Park Service Rangers). The costs of National Guard personnel who are called upon occasionally to provide general public safety protection and military police costs are included under the national defense function rather than in this function.

(751) Federal Law Enforcement Activities

Includes Customs, the Immigration and Naturalization Service, the Drug Enforcement Administration, and police and crime prevention activities in other programs. This subfunction also includes the readily identifiable enforcement costs of civil rights activities.

- 14.400 Equal Opportunity in Housing (N)
- 14.401 Fair Housing Assistance Program_State and Local (B)
- 14.408 Fair Housing Initiatives Program (B)
- 14.416 Education and Outreach Initiatives (B)
- 14.417 Fair Housing Organization Initiatives (B)
- 14.418 Private Enforcement Initiatives (B)
- 14.419 Section 3 Technical Assistance (B)
- 14.420 Fair Housing Initiatives Program Enforcement Testing Technical Assistance (B)

- 16.595 Community Capacity Development Office (B,J)
- 30.001 Employment Discrimination_Title VII of the Civil Rights Act of 1964 (K,N)
- 30.005 Employment Discrimination_Private Bar Program (J)
- 30.008 Employment Discrimination_Age Discrimination in Employment (K,N)
- 30.010 Employment Discrimination Equal Pay Act (K,N)
- 30.011 Employment Discrimination_Title I of The Americans with Disabilities Act (K,N)
- 93.001 Civil Rights and Privacy Rule Compliance Activities (K,N)
- 97.010 Citizenship Education and Training (B)
- 97.076 National Center for Missing and Exploited Children (NCMEC) (B)
- 97.112 Border Infrastructure Improvement Projects (B)

(752) Federal Litigative and Judicial Activities

Includes the cost of the judiciary, the cost of prosecution and Federal expenses connected with financing legal defense activities.

- 16.100 Desegregation of Public Education (J)
- 16.101 Equal Employment Opportunity (J)
- 16.103 Fair Housing and Equal Credit Opportunity (J)
- 16.104 Protection of Voting Rights (J)
- 16.105 Civil Rights of Institutionalized Persons (J)
- 16.109 Civil Rights Prosecution (B)
- 16.110 Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (B,J,N)

(753) Federal Correctional Activities

Covers the cost of incarceration, supervision, parole, and rehabilitation of Federal prisoners.

(754) Criminal Justice Assistance

Includes the cost of grants to State and local governments to assist them in operating and improving their law enforcement and justice system.

- 16.015 Missing Alzheimer's Disease Patient Assistance Program (B)
- 16.018 Services to Advocate for and Respond to Youth (B)
- 16.020 Children and Youth Exposed (B)
- 16.123 Community-Based Violence Prevention Program (B)
- 16.203 Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (B,M)
- 16.320 Services for Trafficking Victims (B,C)
- 16.321 Antiterrorism Emergency Reserve (B)
- 16.523 Juvenile Accountability Block Grants (A,B)
- 16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (A,B)
- 16.541 Part E - Developing, Testing and Demonstrating Promising New Programs (B,J)
- 16.543 Missing Children's Assistance (B)
- 16.544 Youth Gang Prevention (B)
- 16.548 Title V_Delinquency Prevention Program (A)
- 16.550 State Justice Statistics Program for Statistical Analysis Centers (B)
- 16.554 National Criminal History Improvement Program (NCHIP) (B)
- 16.560 National Institute of Justice Research, Evaluation, and Development Project Grants (B,L)
- 16.562 Criminal Justice Research and Development_Graduate Research Fellowships (B)
- 16.566 National Institute of Justice W.E.B. DuBois Fellowship Program (B)
- 16.571 Public Safety Officers' Benefits Program (D)
- 16.575 Crime Victim Assistance (A)
- 16.576 Crime Victim Compensation (A)
- 16.578 Federal Surplus Property Transfer Program (H)
- 16.582 Crime Victim Assistance/Discretionary Grants (B,C)

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16.583	Children's Justice Act Partnerships for Indian Communities (B,C)	(B)	
16.585	Drug Court Discretionary Grant Program (B)	16.809	Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (B)
16.590	The Community-Defined Solutions to Violence Against Women Grant Program (B)	16.810	Recovery Act Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (B)
16.593	Residential Substance Abuse Treatment for State Prisoners (A)	16.811	Recovery Act - Correctional Facilities On Tribal Lands (B)
16.596	Correctional Grant Program for Indian Tribes (B)	16.812	Second Chance Act Prisoner Reentry Initiative (B)
16.606	State Criminal Alien Assistance Program (C)	16.813	NICS Act Record Improvement Program (B)
16.607	Bulletproof Vest Partnership Program (C)	16.814	Northern Border Prosecution Initiative Program (D)
16.608	Tribal Court Assistance Program (B)	16.815	Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (B)
16.609	Project Safe Neighborhoods (B)	16.816	John R. Justice Prosecutors and Defenders Incentive Act (A)
16.610	Regional Information Sharing Systems (B)	93.276	Drug-Free Communities Support Program Grants (B)
16.611	Closed-Circuit Televising of Child Victims of Abuse (B)	93.643	Children's Justice Grants to States (A)
16.614	State and Local Anti-Terrorism Training (K,L,M)	95.001	High Intensity Drug Trafficking Areas Program (B)
16.615	Public Safety Officers' Educational Assistance (D)	97.008	Non-Profit Security Program (B)
16.616	Indian Country Alcohol and Drug Prevention (B)		
16.684	Supporting Teens through Education and Protection Act of 2005 (STEP Act) (B)		
16.710	Public Safety Partnership and Community Policing Grants (B)		
16.726	Juvenile Mentoring Program (B)		
16.727	Enforcing Underage Drinking Laws Program (B)		
16.730	Reduction and Prevention of Children's Exposure to Violence (B)		
16.731	Tribal Youth Program (B)		
16.734	Special Data Collections and Statistical Studies (B)		
16.735	Protecting Inmates and Safeguarding Communities Discretionary Grant Program (B)		
16.737	Gang Resistance Education and Training (B)		
16.738	Edward Byrne Memorial Justice Assistance Grant Program (A,B)		
16.739	National Prison Rape Statistics Program (B)		
16.740	Statewide Automated Victim Information Notification (SAVIN) Program (B)		
16.741	Forensic DNA Backlog Reduction Program (A)		
16.742	Paul Coverdell Forensic Sciences Improvement Grant Program (A)		
16.745	Criminal and Juvenile Justice and Mental Health Collaboration Program (B)		
16.746	Capital Case Litigation (B)		
16.748	Convicted Offender and/or Arrestee DNA Backlog Reduction Program (A)		
16.750	Support for Adam Walsh Act Implementation Grant Program (B)		
16.751	Edward Byrne Memorial Competitive Grant Program (B)		
16.752	Economic High-Tech and Cyber Crime Prevention (B)		
16.753	Congressionally Recommended Awards (B)		
16.754	Harold Rogers Prescription Drug Monitoring Program (B)		
16.755	Southwest Border Prosecution Initiative Program (D)		
16.756	Court Appointed Special Advocates (B)		
16.757	Judicial Training on Child Maltreatment for Court Personnel Juvenile Justice Programs (B)		
16.758	Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (B)		
16.800	Recovery Act - Internet Crimes against Children Task Force Program (ICAC) (B)		
16.801	Recovery Act - State Victim Assistance Formula Grant Program (B)		
16.802	Recovery Act - State Victim Compensation Formula Grant Program (B)		
16.803	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (A,B)		
16.804	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (A,B)		
16.807	Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (B,C)		
16.808	Recovery Act - Edward Byrne Memorial Competitive Grant Program		

(800) GENERAL GOVERNMENT

This function includes the general overhead costs of the Federal Government, including legislative and executive activities; provision of central fiscal, personnel, and property activities; and the provision of services that cannot reasonably be classified in any other major function. As a normal rule, all activities reasonably or closely associated with other functions shall be included in those functions rather than listed as a part of general government.

97.018	National Fire Academy Training Assistance (C)
97.019	National Fire Academy Fellowship Program (M)
97.043	State Fire Training Systems Grants (B)
97.093	Fire Service Hazardous Materials Preparedness and Response (B)
97.094	Prevention Advocacy Resources and Data Exchange Program (B)
97.095	Safe Kids Worldwide (B)
97.097	Training Resource and Data Exchange (B)
97.099	Residential Fire Safety & Fire Sprinkler Initiatives (B)
97.103	Degrees at a Distance Program (B)
97.105	Firefighter Health and Safety (B)

(801) Legislative Functions

Includes most of the costs of the legislative branch except for the Tax Court, the Library of Congress, and the Government Printing Office revolving fund.

(802) Executive Direction and Management

Includes the Executive Office of the President (unless some major grants or operating programs are included in the EOP); occasionally some closely related spending outside the EOP is also included in this subfunction.

(803) Central Fiscal Operations

Includes the general tax collection and fiscal operations of the Treasury Department.

21.003	Taxpayer Service (K)
21.004	Exchange of Federal Tax Information With State Tax Agencies (J)
21.006	Tax Counseling for the Elderly (B)
21.008	Low Income Taxpayer Clinics (B)
21.009	Volunteer Income Tax Assistance (VITA) Matching Grant Program (B)

(804) General Property and Records Management

Includes most of the operations of the General Services Administration (net of reimbursements from other agencies for services rendered).

39.002	Disposal of Federal Surplus Real Property (H)
39.003	Donation of Federal Surplus Personal Property (H)
39.007	Sale of Federal Surplus Personal Property (H)
89.001	National Archives Reference Services_Historical Research (I,K,L)

Appendix III: Budget Functional Code Appendix

- 89.003 National Historical Publications and Records Grants (B)
- 89.005 Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (C)

(805) Central Personnel Management

Includes most of the operating costs of the Office of Personnel Management (formerly the Civil Service Commission) and related agencies (net of reimbursements from other agencies for services rendered).

(806) General Purpose Fiscal Assistance

Includes Federal aid to State, local, and territorial governments that is available for general fiscal support. It includes payments in lieu of taxes, grants from Forest Service and Interior Department rents, payments to territories and Puerto Rico, and the Federal payment to the District of Columbia.

- 10.665 Schools and Roads - Grants to States (A)
- 10.666 Schools and Roads - Grants to Counties (A)
- 15.875 Economic, Social, and Political Development of the Territories (B,D)

(808) Other General Government

Includes miscellaneous other costs such as Federal costs of territorial governments.

- 90.400 Help America Vote College Program (B)
- 90.403 U.S. Election Assistance Commission Research Grants (B)

(999) MULTIPLE FUNCTIONS

This function code is used where two or more accounts with different Treasury basic account symbols are included in a consolidated program.

- 10.444 Direct Housing_Natural Disaster Loans and Grants (B,E)
- 14.103 Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (C,F)
- 15.031 Indian Community Fire Protection (C)
- 15.227 Distribution of Receipts to State and Local Governments (C,D)
- 15.252 Abandoned Mine Land Reclamation (AMLR) Program (A,B)
- 17.207 Employment Service/Wagner-Peyser Funded Activities (A,B)
- 17.225 Unemployment Insurance (A,D)
- 17.245 Trade Adjustment Assistance (B)
- 17.266 Work Incentive Grants (B)
- 17.271 Work Opportunity Tax Credit Program (WOTC) (A)
- 17.272 Permanent Labor Certification for Foreign Workers (J)
- 17.273 Temporary Labor Certification for Foreign Workers (A,J,O)
- 66.808 Solid Waste Management Assistance Grants (B)
- 81.086 Conservation Research and Development (B)
- 81.122 Electricity Delivery and Energy Reliability, Research, Development and Analysis (B)
- 93.507 Strengthening Public Health Infrastructure for Improved Health Outcomes (B)
- 97.005 State and Local Homeland Security National Training Program (B)
- 97.007 Homeland Security Preparedness Technical Assistance Program (B)
- 97.009 Cuban/Haitian Entrant Program (B)
- 97.016 Reimbursement for Firefighting on Federal Property (C)
- 97.025 National Urban Search and Rescue (US&R) Response System (B)
- 97.026 Emergency Management Institute_Training Assistance (C)
- 97.027 Emergency Management Institute (EMI)_Independent Study Program (M)
- 97.028 Emergency Management Institute (EMI)_Resident Educational Program (M)
- 97.039 Hazard Mitigation Grant (B)
- 97.040 Chemical Stockpile Emergency Preparedness Program (B)
- 97.042 Emergency Management Performance Grants (A)
- 97.044 Assistance to Firefighters Grant (B)
- 97.053 Citizen Corps (B)
- 97.055 Interoperable Emergency Communications (B)

- 97.059 Truck Security Program (B)
- 97.061 Centers for Homeland Security (B)
- 97.062 Scholars and Fellows, and Educational Programs (B,C)
- 97.065 Homeland Security Advanced Research Projects Agency (B)
- 97.066 Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (B)
- 97.067 Homeland Security Grant Program (A)
- 97.069 Aviation Research Grants (B,I)
- 97.071 Metropolitan Medical Response System (B)
- 97.073 State Homeland Security Program (SHSP) (A)
- 97.075 Rail and Transit Security Grant Program (B)
- 97.077 Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (B,H,I,L)
- 97.078 Buffer Zone Protection Program (BZPP) (B)
- 97.080 Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (B)
- 97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (B)
- 97.086 Homeland Security Outreach, Education, and Technical Assistance (B,L)
- 97.089 Driver's License Security Grant Program (B)
- 97.091 Homeland Security Biowatch Program (B,I)
- 97.104 Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (B)
- 97.106 Securing the Cities (B,H,I,L)
- 97.107 National Incident Management System (NIMS) (B)
- 97.108 Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (B,H,I,L)
- 97.111 Regional Catastrophic Preparedness Grant Program (RCPGP) (B)
- 97.113 Rail and Transit Security Grant Program (ARRA) (B)
- 97.115 Assistance to Firefighters Grant (ARRA) (B)
- 97.119 2010 Olympics First Responder Training (B)
- 97.120 Border Interoperability Demonstration Project (B)
- 97.121 Radiological/Nuclear Detection Pilot Evaluations Program (B,I,L)
- 97.123 Multi-State Information Sharing and Analysis Center (B)
- 97.124 Interoperable Communications and Training Project (B)
- 97.125 Virginia Operational Integration Cyber Center of Excellence (B)

Appendix IV: Agency Regional and Local Office Addresses

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Appendix IV: Agency Regional and Local Office Addresses

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USDA National Poultry Supervisor/National Egg
Supervisor
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areas)
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North Carolina, South Carolina, Virginia
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Delta States Research Center
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Midwest Area

Area Budget and Fiscal Officer
Northern Regional Research Center
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Area Administrative Officer
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Director
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Central Region

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Kansas, Iowa, Nebraska, North Dakota, South
Dakota)
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Nebraska, North Dakota, Oklahoma, South
Dakota, Texas)
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Eastern Region

(Alabama, Arkansas, Connecticut, Delaware,
District of Columbia, Florida, Georgia, Illinois,
Indiana, Iowa, Louisiana, Kentucky, Maine,
Maryland, Massachusetts, Minnesota, Michigan,
Mississippi, Missouri, New Hampshire, New
Jersey, New York, North Carolina, Ohio,
Pennsylvania, Puerto Rico, Rhode Island, South
Carolina, Tennessee, Vermont, Virginia, Virgin
Islands, West Virginia, Wisconsin)
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(Connecticut, Maine, Massachusetts, New
Hampshire, New Jersey, Rhode Island, Vermont,
New York, Delaware, Pennsylvania, Wisconsin,
Minnesota, Illinois, Indiana, Ohio, Michigan,
District of Columbia, Maryland, Virginia, West
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Northern Region

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Michigan, Minnesota, New Jersey, New York,
Ohio, Pennsylvania, Virginia, West Virginia,

Appendix IV: Agency Regional and Local Office Addresses

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Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)
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Appendix IV: Agency Regional and Local Office Addresses

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Appendix IV: Agency Regional and Local Office Addresses

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FOOD AND NUTRITION SERVICE

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(District 05: California)
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(District 85: Florida, Georgia)
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(District 50: Illinois, Indian)
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Appendix IV: Agency Regional and Local Office Addresses

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Oregon

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International Institute of Tropical Forestry
(International Institute of Tropical Forestry)

Jardin Botanico Sur, 1201 Calle Ceiba
San Juan, PR 00926-1119 United States
(787) 766-5335

Utah

Intermountain- Region 4
(Region 4: Southern Idaho, Nevada, Utah, western one-third of Wyoming)

Federal Office Building, 324 25th Street
Ogden, UT 84401-2300 United States
(801) 625-5239

Wisconsin

Eastern- Region 9
Region 9: (Connecticut, Delaware, Illinois, Indiana, Iowa, Maine, Maryland, Massachusetts, Michigan, Minnesota, Missouri, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia, Wisconsin)

626 East Wisconsin Ave., Suite 800
Milwaukee, WI 53202-4616 United States
(414) 297-3600

To locate Forest Supervisor and Ranger District Offices, consult your local telephone directory under U.S. Department of Agriculture, or the regional offices listed above.

RESEARCH HEADQUARTERS

California

Pacific Southwest Research Station
(Pacific Southwest Research Station: California and Hawaii)

800 Buchanan Street, West Annex Building
Albany, CA 94701-0245 United States
(510) 559-6300

Pacific Southwest- Region 5
(Region 5: California, Hawaii)

1323 Club Drive
Vallejo, CA 95492-1110 United States
(707) 562-8737

Colorado

Appendix IV: Agency Regional and Local Office Addresses

Rocky Mountain Research Station
(Rocky Mountain Research Station: Arizona, Colorado, New Mexico, Kansas, Nebraska, North Dakota, Panhandle, South Dakota, Western Texas, Eastern two-thirds of Wyoming)

2150 Centre Ave, Building A
Fort Collins, CO 80526-1891 United States
(303) 275-5350

North Carolina

Southern Research Station
(Southern Research Station: Florida, Georgia, North Carolina, South Carolina, Virginia, Alabama, Arkansas, Louisiana, Mississippi, Oklahoma, except Panhandle, Puerto Rico, Tennessee, eastern Texas)

200 W. T. Weaver Boulevard
Asheville, NC 28804-3454 United States
(704) 257-4301

Oregon

Pacific Northwest Research Station
(Pacific Northwest Research Station: Alaska, Oregon, Washington)

333 SW First Ave
Portland, OR 97204 United States
(503) 808-2592

Pennsylvania

Northern Research Station
(Northern Research Station: Connecticut, Kentucky, Maine, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Vermont, West Virginia)

11 Campus Blvd., Suite 200
Newtown Square, PA 19073-3200 United States
(610) 557-4023

Utah

(Intermountain: Idaho, Montana, Nevada, Utah, western one-third of Wyoming)
Denver P. Burns
324 25th Street
Ogden, UT 84401
(801) 625-5421

Wisconsin

Forest Products Laboratory
Forest Products Laboratory
One Gifford Pinchot Drive
Madison, WI 53726-2398 United States
(608) 231-9200

NATIONAL AGRICULTURAL STATISTICS SERVICE

SERVICE CENTER

Alabama

Sterling Center, Suite 601
4121 Carmichael Road
Montgomery, AL 36106-3683

(334) 279-3400

Alaska

800 West Evergreen, Suite 201
Palmer, AK 99645-6539
(907) 745-2176

Arizona

3003 North Central Avenue, Suite 900
Phoenix, AZ 85012-2906
(602) 280-8700

Arkansas

700 W. Capitol Avenue, Room 3416
For letter mail: P.O. Box 2778
Little Rock, AR 72201-3225
(501) 301-3200

California

430 G Street, Agency 4169
Davis, CA 95616-4169
(530) 792-5800

Colorado

655 Parfet Street, Room E-100
Lakewood, CO 80215
(303) 236-2801

Delaware

(Delaware, Maryland)
5201 South Dupont Highway
P.O. Box 400
Camden, DE 19934-9998
(302) 697-4300

Florida

(Florida, Virgin Islands)
Federal Building
4440 N.W. 25th Place
P.O. Box 147010
Gainesville, FL 32614-7010
(352) 338-3400

Georgia

355 East Hancock Avenue
Stephens Federal Building
Athens, GA 30601-2768
(706) 546-2162

Hawaii

Federal Building, Room 311
154 Waianuenue Avenue
Hilo, HI 96720
(808) 933-3000

Idaho

9173 West Barnes Drive, Suite A1
Boise, ID 83709
(208) 378-5600

Illinois

Illini Plaza, Suite 103
1817 South Neil Street
Champaign, IL 61820
(217) 398-5235

Indiana

5975 Lakeside Boulevard
Indianapolis, IN 46278
(317) 290-3100

Iowa

Room 873, Federal Building
210 Walnut Street
Des Moines, IA 50309
(515) 284-4663

Kansas

1200 SW Executive Drive
P.O. Box 4653
Topeka, KS 66605
(785) 271-2700

Kentucky

771 Corporate Drive, Suite 200
Lexington, KY 40503
(606) 224-7300

Louisiana

3727 Government Street
Alexandria, LA 71302
(318) 473-7920

Maine

444 Stillwater Avenue, Suite 2
P.O. Box 405
Bangor, ME 04402-0405
(207) 990-9106

Massachusetts

(Connecticut, Massachusetts, Rhode Island)
451 West Street
Amherst, MA 01002
(413) 253-4300

Michigan

3001 Coolidge Road, Suite 200
East Lansing, MI 48823
(517) 337-6635

Minnesota

410 Agribank Building
375 Jackson Street
St. Paul, MN 55101-1853
(651) 602-7800

Mississippi

Suite 831, Federal Building
100 West Capitol Street
Jackson, MS 39269
(601) 965-4316

Missouri

601 Business Loop, 70 West
Parkade Center, Suite 235
Columbia, MO 65203
(573) 876-0976

Montana

Unit 1, Suite B
P.O. Box 850
900 Technology Boulevard
Bozeman, MT 59715

Appendix IV: Agency Regional and Local Office Addresses

(406) 585-2580

Nebraska

Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE 68508
(402) 437-5551

Nevada

1390 South Curry Street
Carson City, NV 89703-9910
(702) 887-1222

New Jersey

Tarnsfield Plaza, Suite 22
790 Woodland Road
Mt. Holly, NJ 08060
(609) 265-3600

New Mexico

6200 Jefferson Street, N.E., Room 255
Albuquerque, NM 87109
(505) 761-4950

New York

The Galleries of Syracuse
441 South Salina Street, Suite 357
Syracuse, NY 13202-2541
(315) 477-6400

North Carolina

4405 Bland Road, Suite 260
Raleigh, NC 27609
(919) 873-2000

North Dakota

Federal Building, Room 208
220 E. Rosser
For Letter mail: P.O. Box 1737
Bismarck, ND 58502-1737
(701) 250-4781

Ohio

Federal Building, Room 507
200 North High Street
Columbus, OH 43215-2477
(614) 469-5606

Oklahoma

100 USDA, Suite 108
Stillwater, OK 74074-2654
(405) 742-1000

Oregon

101 SW Main Street, Suite 1410
Portland, OR 97204-3222
(503) 414-3300

Pennsylvania

One Credit Union Place, Suite 330
Harrisburg, PA 17110-2996
(717) 237-2299

Puerto Rico

New San Juan Office Building, Room 501
159 Carlos E. Chardon Street
Hato Rey, PR 00918-5481

(787) 766-5095

South Carolina

Strom Thurmond Federal Building
1835 Assembly Street
Room 1007
Columbia, SC 29201
(803) 765-5163

South Dakota

Federal Building, Room 210
200 Fourth Street, S.W.
Huron, SD 57350
(605) 352-1100

Tennessee

3322 West End Avenue, Suite 300
Nashville, TN 37203-1084
(615) 783-1300

Texas

Federal Building, Suite 102
101 S. Main
Temple, TX 76501
(254) 742-9700

Utah

Wallace F. Bennett
Federal Building, Room 4311
125 South State Street
P.O. Box 11350
Salt Lake City, UT 84147-0350
(801) 524-4063

Vermont

(New Hampshire, Vermont)
City Center, 3rd Floor
89 Main Street
Montpelier, VT 05602
(802) 828-6010

Virginia

Culpeper Building, Suite 238
1606 Santa Rosa Road
Richmond, VA 23229
(804) 287-1550

Washington

1835 Black Lake Boulevard, S.W., Suite B
Olympia, WA 98512-5715
(360) 704-7740

West Virginia

Federal Building
75 High Street, Room 320
Morgantown, WV 26505-7500
(304) 291-4791

Wisconsin

4949 Kirschling Court
Stevens Point, WI 54481
(715) 345-7600

Wyoming

To locate County Rural Development Officers,
consult your telephone directory under U.S.

Department of Agriculture, or the State Office of
the Rural Development listed above.

Federal Building, Room 1005
100 East B Street
For letter mail: P.O. Box 820
Casper, WY 82602
(307) 261-6300

STATE EMPLOYMENT SECURITY AGENCIES

Alabama

Vacant
State Statistician
Sterling Center, Suite 200
4121 Carmichael Road
For letter mail: P.O. Box 240578
Montgomery, AL 36124-0578
(334) 279-3555
Use the same number for FTS
(800) 832-4181 TOLL F

Alaska

State Statistician
809 South Chugach Street, Suite 4
Palmer, AK 99645

For letter mail: P.O. Box 799
Palmer, AK 99645
(907) 745-4272
(800) 478-6079 TOLL FREE

Arizona

State Statistician
3003 North Central Avenue, Suite 950
Phoenix, AZ 85012
(602) 280-8850
Use the same number for FTS
(800) 645-7286 TOLL F

Arkansas

State Statistician
2301 South University Avenue, Room 103
Little Rock, AR 72204
(501) 296-9926
Use the same number for FTS
(800) 327-2970 TOLL F

California

State Statistician
1220 "N" Street, Room 243
For letter mail: P.O. Box 1258
Sacramento, CA 95812
(916) 498-5161
Use the same number for FTS
(800) 851-1127 TOLL F

Colorado

State Statistician
645 Parfet Street, Suite W-201
For letter mail: P.O. Box 150969
Lakewood, CO 80215-0969
(303) 236-2300
Use the same number for FTS
(800) 392-3202 TOLL F

Delaware

State Statistician

Appendix IV: Agency Regional and Local Office Addresses

Delaware Department of Agriculture Building
2320 South DuPont Highway
Dover, DE 19901
(302) 739-4811
(800) 282-8685 TOLL FREE (Within State Only)

Florida

Vacant
State Statistician
1222 Woodward Street
For letter mail: P.O. Box 530105
Orlando, FL 32853-0105
(407) 648-6013
Use the same number for FTS
(800) 344-6277 TOLL F

Georgia

State Statistician
Stephens Federal Building, Suite 320
Athens, GA 30613
(706) 546-2236
Use the same number for FTS
(800) 253-4419 TOLL F

Hawaii

State Statistician
State Department of Agriculture Building
1428 South King Street
For letter mail: P.O. Box 22159
Honolulu, HI 96823-2159
(808) 973-2907
Use the same number for FTS
(800) 804-9514 TOLL F

Idaho

State Statistician
2224 Old Penitentiary Road
For letter mail: P.O. Box 1699
Boise, ID 83701-1699
(208) 334-1507
Use the same number for FTS
(800) 691-9987 TOLL F

Illinois

State Statistician
Illinois Department of Agriculture Building
801 Sangamon Avenue, Room 54
For letter mail: P.O. Box 19283
Springfield, IL 62794-9283
(217) 492-4295
Use the same number for FTS
(800) 622-9865 TOLL F

Indiana

State Statistician
1148 AGAD Building, Room 223
Purdue University
West Lafayette, IN 47907-1148
(765) 494-8371
(800) 363-0469 TOLL FREE

Iowa

State Statistician
Federal Building
210 Walnut Street, Room 833
Des Moines, IA 50309

(515) 284-4340
Use the same number for FTS
(800) 772-0825 TOLL F

Kansas

State Statistician
632 S.W. Van Buren, Room 200
For letter mail: P.O. Box 3534
Topeka, KS 66601-3534
(785) 233-2230
Use the same number for FTS
(800) 258-4564 TOLL F

Kentucky

Vacant
State Statistician
Gene Snyder and Courthouse Building
601 W. Broadway, Room 645
For letter mail: P.O. Box 1120
Louisville, KY 40201-1120
(502) 582-5293
Use the same number for FTS
(800) 928-5277 TOLL F

Louisiana

State Statistician
5825 Florida Boulevard
For letter mail: P.O. Box 65038
Baton Rouge, LA 70896-5038
(225) 922-1362
(800) 256-4485 TOLL FREE

Maryland

State Statistician
50 Harry S Truman Parkway, Suite 202
Annapolis, MD 21401
(410) 841-5740
(800) 675-0295 TOLL FREE

Michigan

Vacant
State Statistician
201 Federal Building
For letter mail: P.O. Box 20008
Lansing, MI 48901-0008
(517) 377-1831
Use the same number for FTS
(800) 453-7501 TOLL F

Minnesota

State Statistician
8 East 4th Street, Suite 500
For letter Mail: P.O. Box 7068
St. Paul, MN 55107-7068
(651) 296-2230
Use the same number for FTS
(800) 453-7502 TOLL F

Mississippi

State Statistician
121 North Jefferson Street, Suite 230
For letter mail: P.O. Box 980
Jackson, MS 39205-0980
(601) 965-4575
Use the same number for FTS
(800) 535-9609 TOLL F

Missouri

State Statistician
601 Business Loop West, Suite 240
For letter mail: P.O. Box L
Columbia, MO 65205
(573) 876-0950
Use the same number for FTS
(800) 551-1014 TOLL F

Montana

State Statistician
301 South Park, Federal Building, Room 398
For Letter Mail: Drawer 10033
Helena, MT 59626
(406) 441-1240
Use the same number for FTS
(800) 835-2612 TOLL F

Nebraska

State Statistician
100 Centennial Mall North, Room 273, Federal Building
For letter mail: P.O. Box 81069
Lincoln, NE 68501-1069
(402) 437-5541
Use the same number for FTS
(800) 582-6443 TOLL F

Nevada

State Statistician
Max C. Fleischmann Agriculture Building, Room 232
University of Nevada
For letter mail: P.O. Box 8880
Reno, NV 89507-8880
(702) 784-5584
(888) 456-7211 TOLL FREE

New England

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
State Statistician
22 Bridge Street, 3rd Floor
For letter mail: P. O. Box 1444
Concord, NH 03302-1444
(603) 224-9639
Use the same number for FTS
(800) 642-9571 TOLL F

New Jersey

Vacant
State Statistician
Health and Agriculture Building, Room 205
CN-330, New Warren Street
Trenton, NJ 08625
(609) 292-6385
(800) 328-0179 TOLL FREE

New Mexico

State Statistician
2507 North Telsdor Boulevard, Suite 4
For letter mail: P.O. Box 1809
Las Cruces, NM 88004-1809
(505) 522-6023
(800) 530-8810 TOLL FREE

Appendix IV: Agency Regional and Local Office Addresses

New York

State Statistician
Department of Agriculture and Markets
1 Winner's Circle
Albany, NY 12235
(518) 457-5570
(800) 821-1276 TOLL FREE

North Carolina

State Statistician
2 W. Edenton Street
P.O. Box 27767
Raleigh, NC 27611-7767
(919) 856-4394
Use the same number for FTS
(800) 437-8451 TOLL F

North Dakota

State Statistician In Charge
NDSU, Room 448
1250 Albrecht Boulevard
For letter mail: P.O. Box 3166
Fargo, ND 58108-3166
(701) 239-5306
Use the same number for FTS
(800) 626-3134 TOLL F

Ohio

State Statistician
Bromfield Administration Building, Room 103
8995 East Main Street
For Letter Mail: P.O. Box 686
Reynoldsburg, OH 43068-0686
(614) 728-2100
Use the same number for FTS
(800) 858-8144 TOLL F

Oklahoma

State Statistician
2800 North Lincoln Boulevard
Oklahoma City, OK 73105
(405) 525-9226
(888) 525-9226 TOLL FREE

Oregon

State Statistician
1220 S.W. 3rd Avenue
Room 1735, Federal Building
Portland, OR 97204
(503) 326-2131
Use the same number for FTS
(800) 338-2157 TOLL F

Pennsylvania

State Statistician
2301 North Cameron Street, Room G-19
Harrisburg, PA 17110
(717) 787-3904
Use the same number for FTS
(800) 498-1518 TOLL F

South Carolina

State Statistician
1835 Assembly Street, Room 1008
For letter mail: P.O. Box 1911

Columbia, SC 29202-1911
(803) 765-5333
Use the same number for FTS
(800) 424-9406 TOLL F

South Dakota

William G. Hamlin
State Statistician
3528 South Western Avenue
For letter mail: P.O. Box 5068
Sioux Falls, SD 57117-5068
(605) 330-4235
Use the same number for FTS
(800) 338-2557 TOLL F

Tennessee

State Statistician
440 Hogan Road
Holeman Office Building
Ellington Agricultural Center
For letter mail: P.O. Box 41505
Nashville, TN 37204-1505
(615) 781-5300
Use the same number for FTS
(800) 626-0987 TOLL F

Texas

State Statistician
300 East 8th Street
Room 500, Federal Building
For letter mail: P.O. Box 70
Austin, TX 78767-0700
(512) 916-5581
Use the same number for FTS
(800) 626-3142 TOLL F

Utah

State Statistician
176 N. 2200 West, Suite 260
For letter mail:
P.O. Box 25007
Salt Lake City, UT 84125-5007
(801) 524-5003
(800) 747-8522

Virginia

State Statistician
1100 Bank Street, Room 706
For letter mail: P.O. Box 1659
Richmond, VA 23218-1659
(804) 771-2493
Use the same number for FTS
(800) 772-0670 TOLL F

Washington

State Statistician
1111 Washington Street, SE
For letter mail: P.O. Box 609
Olympia, WA 98507-0609
(360) 902-1940
(800) 435-5883 TOLL FREE

West Virginia

State Statistician
1900 Kanawha Boulevard E
For FEDEX/UPS 4720 Brenda Lane, Building 2,

Room 203
Charleston, WV 25312
(304) 345-5958
Use the same number for FTS
(800) 535-7088 TOLL F

Wisconsin

Vacant
State Statistician
2811 Agriculture Drive
For letter mail: P.O. Box 8934
Madison, WI 53708-8934
(608) 224-4848
Use the same number for FTS
(800) 789-9277 TOLL F

Wyoming

State Statistician
504 West 17th Street, Suite 250
For letter mail: P.O. Box 1148
Cheyenne, WY 82003-1148
(307) 772-2181
Use the same number for FTS
(800) 892-1660 TOLL F

NATURAL RESOURCES CONSERVATION SERVICE

**LONGSHORE AND HARBOR WORKERS'
COMPENSATION**

Phillip Jones, Head
National Soil Mechanics Center
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE 68508-3866
(402) 437-5318

Carolyn Adams, Director
Watershed Sciences Institute
101 SW Main Street, Suite 1700
Portland, OR 97204-3225
(502) 414-3001

National Employee Development Center
501 Felix Street, Building 23
Ft. Worth, TX 76115

Dean M. Thompson, Director
NRI&A Institute
Statistical Laboratory
202 Snedecor Hall
Iowa State University
Ames, IA 50011
(515) 294-8177

Frank Clearfield, Director
Social Sciences Institute
North Carolina A&T State University
Applied Survey Research Laboratory
Charles H. Moore Building
Beech & Lindsay Streets
Greensboro, NC 27411
(202) 720-1511

Billy Teels, Director
Wetlands Science Institute
Snowden Hall

Appendix IV: Agency Regional and Local Office Addresses

11400 American Holy Drive
Laurel, MD 20708-4014
(301) 497-5911

Wildon Fontenot, Co-Director
P.O. Box 2890
Washington, DC 20013-2890
(202) 720-4909

Maurice Mausbach, Director
Soil Quality Institute
14th & Independence Avenue, N.W.
Washington, DC 20250
(202) 720-4525

Scott Peterson, Director
National Plant Data Center
P.O. Box 74490
Baton Rouge, LA 70874
(504) 775-6280

John Werner, Co-Director
National Water & Climate Center
101 SW Main Street
Portland, OR 97204-3225
(503) 414-3107

Dennis Lytle, Chair
National Soil Survey Center
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE 68508-3866
(402) 437-5499

Richard Folsche, Director
National Carto & Geospatial Database Center
501 Felix street, Building 23
Ft. Worth, TX 76115
(817) 334-5292, Ext. 3031

Rhett Johnson, Director
Grazing Lands Institute
501 Felix Street, Building 23
P.O. Box 6567
Ft. Worth, TX 76115
(817) 334-5282, Ext. 3510

REGIONAL OFFICES

Diane Gelburd
East Regional Office
1400 Wilson Boulevard, Suite 1100
Arlington, VA 22209
(703) 312-7282

Judy Johnson
South Central Regional Office
501 W. Felix Street, Building 23
P.O. Box 6459
Ft. Worth, TX 76115
(817) 334-5224, Ext. 3700

Charles Adams, Acting
Southeast Regional Office
1720 Peachtree Road, N.W., Suite 716-N
Atlanta, GA 30367
(404) 347-6105

Jeffery Vonk
Northern Plains Regional Office
100 Centennial Mall North, Room 152
Lincoln, NE 68508

(402) 437-5315

Charles Whitmore
Midwest Regional Office
One Gifford Pinchot Drive, Room 204
Madison, WI 53705-3210
(608) 264-5281

Rosendo Trevino III, Acting
West Regional Office
650 Capitol Mall, Room 6072
Sacramento, CA 95814
(916) 498-5284

SERVICE CENTER

Alabama

Ronnie D. Murphy
3381 Skyway Drive
Auburn, AL 36830
(334) 887-4500

Alaska

Charles W. Bell
949 East 36th Avenue
Suite 400
Anchorage, AK 99508-4302
(907) 271-2424

Arizona

Michael Somerville
3003 North Central Avenue, Suite 800
Phoenix, AZ 85012-2945
(602) 280-8808

Arkansas

Kalvin L. Trice
700 W. Capitol Avenue
Federal Building, Room 5404
P.O. Box 2323
Little Rock, AR 72201-3228
(501) 324-5445

California

Henry Wyman, Acting
2121-C 2nd Street, Suite 102
Davis, CA 95616-5475
(916) 757-8215

Colorado

Leroy Stokes, Acting
655 Parfet Street, Room E200C
Lakewood, CO 80215-5517
(303) 236-2886, Ext. 202

Connecticut

Margo L. Wallace
16 Professional Park Road
Storrs, CT 06268-1299
(203) 487-4014

Delaware

Elesa K. Cottrell
1203 College Park Drive, Suite 101
Dover, DE 19904-8713
(302) 678-4160

Florida

T. Niles Glasgow
2614 Northwest 43rd Street
Box 141510
Gainesville, FL 32606-6611
(904) 338-9500

Georgia

Earl Cosby
Federal Building
P.O. Box 13
355 East Hancock Avenue
Athens, GA 30601-2769
(706) 546-2272

Hawaii

Kenneth M. Kaneshiro
300 Ala Moana Boulevard, Room 4316
P.O. Box 50004
Honolulu, HI 96850-0002
(808) 541-2601

Idaho

Luana E. Kiger
3244 Elder Street, Room 124
Boise, ID 83705-4711
(208) 378-5700

Illinois

William J. Gradle
1902 Fox Drive
Champaign, IL 61820-7335
(217) 398-5267

Indiana

Robert L. Eddleman
6013 Lakeside Boulevard
Indianapolis, IN 46278-2933
(317) 290-3200

Iowa

LeRoy Brown
210 Walnut Street, Suite 693
Federal Building
Des Moines, IA 50309-2180
(515) 284-6655

Kansas

Tomas M. Dominguez
760 South Broadway
Salina, KS 67401
(913) 823-4565

Kentucky

David G. Sawyer
771 Corporate Drive, Suite 110
Lexington, KY 40503-5479
(606) 224-7350

Louisiana

Donald W. Gohmert
3737 Government Street
Alexandria, LA 71302-3727
(318) 473-7751

Maine

Darrel Dominick

Appendix IV: Agency Regional and Local Office Addresses

5 Godfrey Drive
Orono, ME 04473
(207) 866-7241

Maryland

David P. Doss
John Hansen Business Center, Suite 301
339 Busch's Frontage Road
Annapolis, MD 21401-5534
(410) 757-0861

Massachusetts

Cecil B. Currin
451 West Street
Amherst, MA 01002-2995
(413) 253-4351

Michigan

Jane E. Hardisty
1405 South Harrison Road, Room 101
East Lansing, MI 48823-5243
(517) 337-6701, Ext. 1201

Minnesota

William Hunt
600 Farm Credit Building
375 Jackson Street
St. Paul, MN 55101-1854
(612) 290-3675

Mississippi

Homer L. Wilkes
Suite 1321, Federal Building
100 West Capital Street
Jackson, MS 39269-1399
(601) 965-5205

Missouri

Roger A. Hansen
Parkade Center, Suite 250
601 Business Loop, 70 West
Columbia, MO 65203-2546
(573) 876-0901

Montana

Shirley Gammon, Acting
Federal Building, Room 443
10 East Babcock Street
Bozeman, MT 59715-4704
(406) 587-6813

Nebraska

Stephen K. Chick
Federal Building, Room 152
100 Centennial Mall North
Lincoln, NE 68508-3866
(402) 437-5327

Nevada

William D. Goddard
5301 Longley Lane
Building F, Suite 201
Reno, NV 89511-1805
(702) 784-5863

New Hampshire

Dawn W. Genes
Federal Building, 2 Madbury Road
Durham, NH 03824-1499
(603) 433-0505

New Jersey

Wayne Maresch
1370 Hamilton Street
Somerset, NJ 08873-3157
(908) 246-1205

New Mexico

Rosendo Trevino, III
6200 Jefferson Northeast, Room 305
Albuquerque, NM 87109-3734
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Appendix IV: Agency Regional and Local Office Addresses

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Appendix IV: Agency Regional and Local Office Addresses

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Shreveport, LA 71101-5513
(318) 676-3440(318) 676-3407 FAX

Maine

Bangor Field Office
Margaret Chase Smith Federal Building
Room 101
202 Harlow Street
Bangor, ME 04402-1384
(207) 945-0467(207) 945-0533 FAX

Maryland

Baltimore Field Office
City Crescent Building
10 South Howard Street, Fifth Floor
Baltimore, MD 21201-2505
(410) 962-2520, Ext. 3474(410) 962-1849 FAX

Massachusetts

Boston Regional Office
Thomas P. O'Neill, Jr. Federal Building
10 Causeway Street, Room 301
Boston, MA 02222-1092
(617) 994-8200(617) 565-5257 FAX

Michigan

Detroit Field Office
Patrick V. McNamara Federal Building
477 Michigan Avenue
Detroit, MI 48226-2592
(313) 226-7900(313) 226-5611 FAX

Grand Rapids Field Office
Trade Center Building
50 Louis Street, N.W.
Grand Rapids, MI 49503-2633
(616) 456-2100(616) 456-2114 FAX

Flint Field Office Office
Municipal Center
1101 S. Saginaw Street
Flint, MI 48502-1953
(810) 766-5112(810) 766-5122 FAX

Mid Atlantic Field Offices

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)

Midwest Field Offices

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

Minnesota

Minneapolis Field Office
220 Second Street, South
Minneapolis, MN 55401-2195
(612) 370-3000, Ext. 2045(612) 370-3220 FAX

Mississippi

Jackson Field Office
Doctor A. H. McCoy Federal Building
100 West Capitol Street, Room 910
Jackson, MS 39269-1096
(601) 965-4757(601) 965-4773 FAX

Missouri

St. Louis Field Office
Robert A. Young Federal Building
1222 Spruce Street, Suite 3207
St. Louis, MO 63103-2836
(314) 539-6583(314) 539-6384 FAX

Montana

Helena Field Office
Power Block Building
7 West Sixth Avenue
Helena, MT 59601
(406) 449-5050(406) 449-5052 FAX

Nebraska

Omaha Field Office
Executive Tower Centre
10909 Mill Valley Road, Suite 100
Omaha, NE 68154-3955

(402) 492-3101(402) 492-3150 FAX

Nevada

Las Vegas Field Office
Atrium Building
333 North Rancho Drive, Suite 700
Las Vegas, NV 89106-3714
(702) 388-6500(702) 388-6244 FAX

Reno Field Office
3702 South Virginia Avenue
Reno, NV 89502-6581
(775) 784-5383(775) 784-5005 FAX

New England Field Offices

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont)
Connecticut State Office
One Corporate Center, 19th Floor
Hartford, CT 06103-3220
(860) 240-4844
(860) 240-4850 FAX

New Hampshire

Manchester Field Office
Norris Cotton Federal Building
275 Chestnut Street
Manchester, NH 03103-2487
(603) 666-7510(603) 666-7667 FAX

New Jersey

Camden Field Office
Hudson Building
800 Hudson Square, Second Floor
Camden, NJ 08102-1156
(856) 757-5081(856) 757-5373 FAX

Newark Field Office
One Newark Center, 13th Floor
Newark, NJ 07102-5260
(973) 622-7900(973) 645-2323 FAX

New Mexico

Albuquerque Field Office
625 Silver Avenue S.W., Suite 100
Albuquerque, NM 87102-3185
(505) 346-7320, Ext. 7332(505) 346-6704 FAX

New York

Albany Field Office
52 Corporate Circle
Albany, NY 12203-5121
(518) 464-4200, Ext. 4204(518) 464-4300 FAX

New York Regional Office
26 Federal Plaza, Suite 3541
New York, NY 10278-0068
(212) 264-8000(212) 264-3068 FAX

Syracuse Field Office
128 Jefferson Street
Syracuse, NY 13202
(315) 477-0616(315) 477-0196 FAX

Buffalo Field Office Office
Lafayette Court
465 Main Street, Second Floor
Buffalo, NY 14203-1780

Appendix IV: Agency Regional and Local Office Addresses

(716) 551-5755, Ext. 5000(716) 551-5752 FAX

New York/New Jersey Field Offices

(New York, New Jersey)]

North Carolina

Greensboro Field Office
Asheville Building
1500 Pinecroft Road
Suite 401
Greensboro, NC 27407-3838
(336) 547-4001(336) 547-4138 FAX

North Dakota

Fargo Field Office
Federal Building
657 2nd Avenue North, Room 366
Fargo, ND 58108-2483
(701) 239-5136(701) 239-5249 FAX

Northern Plains

UMB Plaza
1670 Broadway, 22nd Floor
Denver, CO 80202-4801
(303) 672-5465(303) 672-5003 FAX

Northwest

Seattle Federal Office Building
909 First Avenue, Suite 300
Seattle, WA 98104-1000
(206) 220-5270(206) 220-5234 FAX

Northwest/Alaska Field Offices

(Idaho, Oregon, Washington, Alaska)

Ohio

Cincinnati Field Office
15 East Seventh Street
Cincinnati, OH 45202-2401
(513) 684-3451(513) 684-6224 FAX

Columbus Field Office
200 North High Street
Columbus, OH 43215-2463
(614) 469-2540, Ext. 8116(614) 469-2432 FAX

Cleveland Field Office
Renaissance Building
1350 Euclid Avenue, Suite 500
Cleveland, OH 44115-1815
(216) 522-4058, Ext. 7102(216) 522-4067 FAX

Oklahoma

Oklahoma City Field Office
500 West Main Street, Suite 400
Oklahoma City, OK 73102-2233
(405) 553-7509(405) 553-7588 FAX

Tulsa Field Office
1516 South Boston Street, Suite 100
Tulsa, OK 74119-4030
(918) 581-7434(918) 581-7440 FAX

Oregon

Portland Field Office
400 Southwest Sixth Avenue, Suite 700
Portland, OR 97204-1632
(503) 326-2561(503) 326-2568 FAX

Pacific/Hawaii Field Offices

(Arizona, California, Nevada, Hawaii)

Pennsylvania

Pittsburgh Field Office
Sixth Floor
339 Sixth Avenue
Pittsburgh, PA 15222-2515
(412) 644-6436(412) 644-4240 FAX

Philadelphia Regional Office
The Wanamaker Building
100 Penn Square East
Philadelphia, PA 19107-3380
(215) 656-0500(215) 656-3445 FAX

Puerto Rico

San Juan Field Office
New San Juan Office Building
171 Carlos E. Chardon Avenue
San Juan, PR 00918-0903
(787) 766-5201(787) 766-5995 FAX

Rhode Island

Providence Field Office
Sixth Floor
10 Weybosset Street
Providence, RI 02903-2818
(401) 528-5230(401) 528-5312 FAX

Rocky Mountains Field Offices

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)

South Carolina

Columbia Field Office
Strom Thurmond Federal Building 13th Floor
1835 Assembly Street
Columbia, SC 29201-2480
(803) 765-5592(803) 253-3043 FAX

South Dakota

Sioux Falls Field Office
2400 West 49th Street, Suite I-201
Sioux Falls, SD 57105-6558
(605) 330-4223(605) 330-4428 FAX

Southeast/Caribbean Field Offices

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee, Caribbean, Panama Canal Zone, Puerto Rico, Virgin Islands)

Southern Plains

301 N.W. 6th Street Suite 200
Oklahoma City, OK 73102
(405) 609-8532(405) 609-8403 FAX

Southwest

One North Central Avenue Suite 600
Phoenix, AZ 85004-2361
(602) 379-7200(602) 379-3101 FAX

Southwest Field Offices

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)

Tennessee

Nashville Field Office
235 Cumberland Bend Drive, Suite 200
Nashville, TN 37228-1803
(615) 736-5213, Ext. 7120(615) 736-7848 FAX

Memphis Field Office
One Memphis Place
200 Jefferson Avenue, Suite 1200
Memphis, TN 38103-2335
(901) 544-3367(901) 544-3697 FAX

Knoxville Field Office
John J. Duncan Federal Building
710 Locust Street, Third Floor
Knoxville, TN 37902-2526
(865) 545-4384(865) 545-4569 FAX

Texas

San Antonio Field Office
One Alamo Center
106 South St. Mary's Street
San Antonio, TX 78205
(210) 475-6806(210) 472-6804 FAX

Lubbock Field Office
George H. Mahon Federal Building and United States Courthouse
1205 Texas Avenue, Room 511
Lubbock, TX 79401-4093
(806) 472-7265, Ext. 3030(806) 472-7275 FAX

Houston Field Office
1301 Fannin Suite 2200
Houston, TX 77002
(713) 718-3199(713) 313-2319 FAX

Fort Worth Regional Office
801 Cherry Street
P.O. Box 2905
Fort Worth, TX 76113-2905
(817) 978-5965(817) 978-5567 FAX

Dallas Field Office
525 Griffin Street, Room 860
Dallas, TX 75202-5007
(214) 767-8300(214) 767-8973 FAX

Utah

Salt Lake City Field Office
125 South State Street Suite 3001
Salt Lake City, UT 84138
(801) 524-6070(801) 524-3439 FAX

Vermont

Burlington Field Office
159 Bank Street, 2nd Floor
Burlington, VT 05401-4410
(802) 951-6290(802) 951-6298 FAX

Virginia

Richmond Field Office
The 3600 Centre
600 East Broad Street, 3rd Floor
Richmond, VA 23219-4920
(804) 771-2100(804) 771-2090 FAX

Washington

Appendix IV: Agency Regional and Local Office Addresses

Spokane Field Office
U.S. Courthouse Building
920 West Riverside, Room 588
Spokane, WA 99201-1010
(509) 353-0674(509) 353-0682 FAX

Seattle Regional Office
Seattle Federal Office Building
909 1st Avenue, Suite 200
Seattle, WA 98104-1000
(206) 220-5101(206) 220-5108 FAX

West Virginia

Charleston Field Office
Kanawha Valley Building
405 Capitol Street, Suite 708
Charleston, WV 25301-1795
(304) 347-7000, Ext. 101(304)-347-7050 FAX

Wisconsin

Milwaukee Field Office
Henry S. Reuss Federal Plaza
310 West Wisconsin Avenue, Suite 1380
Milwaukee, WI 53203-2289
(414) 297-3214, Ext. 8001(414) 297-3947 FAX

Wyoming

Casper Field Office
Federal Office Building
100 East B Street, Room 1010
Casper, WY 82601-1969
(307) 261-6250(307) 261-6245 FAX

DEPARTMENT OF THE INTERIOR

District I

All Indian Reservations in North Dakota, South Dakota, Nebraska, Wisconsin, Michigan and Minnesota
Office of Law Enforcement
Bureau of Indian Affairs
115 4th Avenue, S.E., MC: 302
Aberdeen, SD 57401

District II

All Indian Tribes in Eastern Oklahoma, all Indian Reservations in Western Oklahoma, and Kansas
Office Law Enforcement
Bureau of Indian Affairs
101 N. 5th Street
Muskogee, OK 74401

District III

All Indian Reservations in Arizona, Nevada, Utah (excluding Navajo) and Indian Reservations in Northern California
Office of Law Enforcement
Bureau of Indian Affairs
N. 5th Street, Bldg 2
Mailing Address:
P.O. Box 10
Phoenix, AZ 85001

District IV

All Indian Reservations in Southern Colorado, New Mexico, and all Navajo Indian Reservations

in Arizona, New Mexico and Utah
Office of Law Enforcement
Bureau of Indian Affairs
P.O. Box 26567
Albuquerque, NM 87125-6567

District V

All Indian Reservations in Montana, Wyoming, Oregon, Washington, Idaho, and Alaska
Office of Law Enforcement
Bureau of Indian Affairs
316 North 26th Street
Billings, MT 59101

Eastern Region

All Indian Reservations in Alabama, Connecticut, Florida, Louisiana, Maine, Mississippi, New York, North Carolina and Rhode Island
Office of Law Enforcement
Bureau of Indian Affairs
1849 C Street, NW., MS 4550 MIB
Washington, DC 20240-0001

BUREAU OF INDIAN AFFAIRS

Deputy Commissioner of Indian Affairs

1849 C Street N.W., MS-4140 MIB
Washington, DC 20240
(202) 208-5116

101 12th Avenue, Room 16

Metlakatla Field Office
P.O. Box 450
Metlakatla, AK 99926
(907) 886-3791

Fairbanks Agency
Fairbanks, AK 99701-6270
(907) 456-0222

Alaska

Alaska Regional Office
P.O. Box 25520
Juneau, AK 99802-5520
(907) 586-7177

Bethel Field Office
1675 C Street, Suite 279
Anchorage, AK 99501-5198
(907) 271-4086

Anchorage Education Field Office
1675 C Street
Anchorage, AK 99501
(907) 271-4115

Anchorage Field Office
1675 C Street, Suite 211
Anchorage, AK 99501-5198
(907) 271-4088

Arizona

Western Regional Office
Two Arizona Center, 12th Floor
P.O. Box 10, MS-100
Phoenix, AZ 85001-0010
(602) 379-6600

Pima Agency-Education

400 North 5th Street
PO Box 10
Phoenix, AZ 85001
(602) 379-3944

Western Navajo Agency-Education
Highway 160 and Warrior Drive
Building 407
PO Box 746
Tuba City, AZ 86045
(520) 283-2218

Western Navajo Agency
P.O. Box 127
Tuba City, AZ 86045
(520) 283-2254, 2252

Hopi Agency-Education
Highway 264
PO Box 568
Keams Canyon, AZ 86034
(520) 738-2262

Colorado River Agency, Route 1, Box 9-C
Parker, AZ 85344
(520) 669-7111

Fort Apache Agency
P.O. Box 560
Whiteriver, AZ 85941
(520) 338-5353

Ft. Apache Agency-Education
Highway 73 and Elm Street
PO Box 920
White River, AZ 85941
(520) 338-5441

Fort Defiance Agency, P.O. Box 619
Fort Defiance, AZ 86504
(520) 729-7217, 7218

Chinle Agency
P.O. Box 7H
Chinle, AZ 86503
(520) 674-5100

Papago Agency
P.O. Box 578
Sells, AZ 85634
(520) 383-3286

Papago Agency-Education
South Building #49
PO Box 38
Sells, AZ 85634
(520) 383-3292

Fort Defiance Agency-Education
Building 38, Blue Canyon Highway 110
PO Box 110
Fort Defiance, AZ 86504-0110
(520) 729-7251

Pima Agency
P.O. Box 8
Sacaton, AZ 85247
(520) 562-3326

Chinle Agency-Education
Navjo Route 7
PO Box 6003

Appendix IV: Agency Regional and Local Office Addresses

Chinle, AZ 86503
(520) 674-5130 Ext. 201

Fort Yuma Field Office
P.O. Box 11000
Yuma, AZ 85366-1000
(760) 572-0248

Truxton Canon Field Office
P.O. Box 37
Valentine, AZ 86437
(520) 769-2286

Sacramento Area Education Office
2800 Cottage Way
Sacramento, CA 95825
(916) 979-2560, Ext. 234

San Carlos Agency
P.O. Box 209
San Carlos, AZ 85550
(520) 475-2321

Hopi Agency
P.O. Box 158
Keams Canyon, AZ 86034
(520) 738-2228

Salt River Field Office
10000 E. McDowell Road
Scottsdale, AZ 85256
(602) 640-2168

California

Southern California Agency
2038 Iowa Avenue, Suite 101
Riverside, CA 92507-0001
(909) 276-6624

Central California Agency
1824 Tribute Road, Suite J
Sacramento, CA 95815
(916) 566-7121

Palm Springs Field Office
P.O. Box 2245
650 E. Tahquitz Canyon Way, Suite A
Palm Springs, CA 92262
(760) 416-2133

Northern California Field Office
1900 Churn Creek Road, Suite 300
Redding, CA 96002
(530) 246-5141

(See also Arizona (Western)
Pacific Regional Office
Federal Office Building
2800 Cottage Way
Sacramento, CA 95825-1846
(916) 979-2600

Colorado

Southern Ute Agency
P.O. Box 315
Ignacio, CO 81137
(970) 563-4511

Ute Mountain Ute Field Office
P.O. Box KK
Towaoc, CO 81334

(970) 565-8473

Florida

Seminole Agency
6075 Sterling Road
Hollywood, FL 33024
(954) 356-7288

Idaho

Plummer Subagency
P.O. Box 408
850 A Street
Plummer, ID 83851
(208) 686-1887

Northern Idaho Agency
P.O. Drawer 277
Lapwai, ID 83540
(208) 843-2300

Fort Hall Agency
P.O. Box 220
Fort Hall, ID 83203
(208) 238-2301

Kansas

Haskell Indian Nations University
155 Indian Avenue #1305
Lawrence, KS 66046-4800
(785) 749-8404

Haskell Indian Nations University
155 Indian Avenue
Lawrence, KS 66046
(785) 749-8404

Michigan

Michigan Field Office
2901.5 I-75 Business Spur
Sault Ste Marie, MI 49783
(906) 632-6809

Horton Field Office
P.O. Box 31
Horton, KS 66439
(785) 486-2161

Minnesota

Minneapolis Area Education Office
331 South Second Avenue
Minneapolis, MN 55401-2241
(612) 373-1000, Ext. 1090

Midwest Regional Office
One Federal Drive, Room 550
St. Snelling, MN 55111
(612) 713-4400, Ext. 1020

Red Lake Field Office
Red Lake, MN 56671
(218) 679-3361

Minnesota Agency
Room 418, Federal Building
522 Minnesota Avenue, NW
Bemidji, MN 56601-3062
(218) 751-2011

Mississippi

Choctaw Field Office

421 Powell Street
Philadelphia, MS 39350
(601) 656-1522

Montana

Northern Cheyenne Agency
P.O. Box 40
Lame Deer, MT 59043
(406) 477-8242

Billings Area Education Office
316 North 26th Street
Billings, MT 59101-1397
(406) 247-7953

Flathead Field Office
P.O. Box 40
Pablo, MT 59855-5555
(406) 675-0242

Rocky Mountain Regional Office
316 North 26th Street
Billings, MT 59101-1397
(406) 247-7943

Crow Agency, MT 59022
(406) 638-2672

Fort Belknap Agency
RR 1, Box 980
Harlem, MT 59526
(406) 353-2901, Ext. 23

Rocky Boy's Field Office
RR 1, Box 542
Box Elder, MT 59521
(406) 395-4476

Fort Peck Agency
P.O. Box 637
Poplar, MT 59255
(406) 768-5312

Crow Agency
Box 69

Blackfeet Agency
P.O. Box 880
Browning, MT 59417
(406) 338-7544

Navajo Regional Office

P.O. Box #1060
Gallup, NM 87305
(505) 863-8314

Nebraska

Winnebago Agency
P.O. Box 18
Winnebago, NE 68071
(402) 878-2502

Nevada

Western Nevada Agency
1677 Hot Springs Road
Carson City, NV 89706
(775) 887-3500

Eastern Nevada Field Office
1555 Shoshone Circle
Elko, NV 89801

Appendix IV: Agency Regional and Local Office Addresses

(775) 738-0569

New Mexico

Northern Pueblos Agency

P.O. Box 4269

Fairview Station

Espanola, NM 87533

(505) 753-1400

Shiprock Agency

P.O. Box 966

Shiprock, NM 87420

(505) 368-3300

Shiprock Agency-Education

Highway 666N

PO Box 3239

Shiprock, NM 87420-3239

(505) 368-4427, Ext. 360

FTS 8 (700) 479-4370

Zuni Agency

P.O. Box 369

Zuni, NM 87327

(505) 782-5591

Laguna Agency

P.O. Box 1448

Laguna, NH 87026

(505) 552-6001

Ramah-Navajo Agency

Route 2, Box 14

Ramah, NM 87321

(505) 775-3235

Jicarilla Agency

P.O. Box 167

Dulce, NM 87528

(505) 759-3951

Eastern Navajo Agency, P.O. Box 328

Crownpoint, NM 87313

(505) 786-6100

Southern Pueblos Agency-Education

1000 Indian School Road NW

PO Box 1667

Albuquerque, NM 87103

(505) 346-2431

Northern Pueblos Agency-Education

1 Mile North of Espanola

Highway 68

PO Box 4269

Fairview Station

Espanola, NM 87533

(505) 753-1465

Mescalero Agency

P.O. Box 189

Mescalero, NM 88340

(505) 671-4423

Eastern Navajo Agency-Education

1 Main Street, Building 222

PO Box 328

Crownpoint, NM 87313

(505) 786-6150

(See also Arizona (Navajo)

Southwest Regional Office

615 1st Street, N.W.

P.O. Box 26567

Albuquerque, NM 87125-6567

(505) 346-7590

Southern Pueblos Agency

P.O. Box 1667

Albuquerque, NM 87103

(505) 346-2424

New York

(See Virginia, Eastern Regional Office)

New York Field Office

P.O. Box 7366

Syracuse, NY 13261-7366

(315) 448-0620

North Carolina

Cherokee Agency

Cherokee, NC 28719

(704) 497-9131

(See Virginia, Eastern Regional Office)

North Dakota

Standing Rock Agency-Education

Main Street off Highway 106

Agency Avenue

PO Box E

Fort Yates, ND 58538

(701) 854-3497

Fort Berthold Agency

P.O. Box 370

New Town, ND 58763

(701) 627-4707

Southwestern Indian Polytechnic Institute

9169 Coors Road NW

P.O. Box 10146-9196

Albuquerque, NM 87184

(505) 346-2343

Turtle Mountain Agency

P.O. Box 60

Belcourt, ND 58316

(701) 477-3191

Fort Totten Agency

P.O. Box 270

Fort Totten, ND 58335

(701) 766-4545

Standing Rock Agency

P.O. Box E

Fort Yates, ND 58538

(701) 854-3433

Oklahoma Education Office

4149 Highline Boulevard, Suite 380

Oklahoma City, OK 73108

(605) 945-6051 Ext. 301

(405) 605-6057

Turtle Mountain Agency-Education

School Street

PO Box 30

Belcourt, ND 58316

(701) 477-6471 Ext. 211

(See South Dakota)

Oklahoma

Concho Field Office

P.O. Box 68

El Reno, OK 73036-0068

(405) 262-7481

Siletz Field Office

P.O. Box 569

Siletz, OR 97380

(541) 444-2679

Wewoka Agency

P.O. Box 1060

Wewoka, OK 74884

(405) 257-6259

Southern Plains Office

P.O. Box 368

Anadarko, OK 73005-0368

(405) 247-6673, Ext. 257

Pawnee Agency, P.O. Box 440,

Pawnee, OK 74058-0440

(918) 762-2585

Miami Field Office

P.O. Box 391

Miami, OK 74355

(918) 542-3396

Chickasaw Agency

1500 N. Country Club Road

P.O. Box 2240

Ada, OK 74821

(580) 436-0784

Shawnee Field Office

624 West Independence, Suite 114

Shawnee, OK 74801

(405) 273-0317

Okmulgee Field Office

P.O. Box 370

Okmulgee, OK 74447

(918) 756-3950

Anadarko Agency

P.O. Box 309

Anadarko, OK 73005

(405) 247-6677

Talihina Office

Drawer H

Talihina, OK 74571

(918) 567-2207

Eastern Oklahoma Regional Office

Bureau of Indian Affairs

101 North 5th Street

Muskogee, OK 74401-6206

(918) 687-2295

Osage Agency

P.O. Box 1539

Pawhuska, OK 74056

(918) 287-1032

Oregon

Portland Area Education Office

911 North East 11 Avenue

Appendix IV: Agency Regional and Local Office Addresses

Portland, OR 97232-4169
(503) 872-2743

Umatilla Agency
P.O. Box 520
Pendleton, OR 97801
(541) 278-3786

Warm Springs Agency
P.O. Box 1239
Warm Springs, OR 97761
(541) 553-2411

(See also Arizona (Western)
Northwest Regional Office
911 N.E. 11th Avenue
Portland, OR 97232-4169
(503) 231-6702

South Dakota

Cheyenne River Agency
P.O. Box 325
Eagle Butte, SD 57625
(605) 964-6611

Lower Brule Agency
P.O. Box 190
Lower Brule, SD 57548
(605) 473-5512

Great Plains Regional Office
Bureau of Indian Affairs
115 4th Avenue, SE
Aberdeen, SD 57401-4382
(605) 226-7343

Crow Creek Agency
P.O. Box 139
Ft. Thompson, SD 57339
(605) 245-2311

Yankton Agency
P.O. Box 577
Wagner, SD 57380
(605) 384-3651

Pine Ridge Agency
P.O. Box 1203
Pine Ridge, SD 57770
(605) 867-5125

Crow Creek/Lower Brule Agency-Education
140 Education Avenue
PO Box 139
Fort Thompson, SD 57339
(605) 245-2398

Sisseton Agency
P.O. Box 688
Agency Village, SD 57262
(605) 698-3001

Rosebud Agency-Education
1001 Avenue D
PO Box 669
Mission, SD 57555
(605) 856-4478 Ext. 261

Rosebud Agency
P.O. Box 550
Rosebud, SD 57570
(605) 747-2224

Cheyenne River Agency-Education
100 North Main
PO Box 2020
Eagle Butte, SD 51625
(605) 964-8722

Pine Ridge Agency-Education
101 Main Street
PO Box 333
Pine Ridge, SD 57770
(605) 867-1306

Utah

Southern Paiute
Field Office
P.O. Box 720
St. George, UT 84771
(435) 674-9720

(see Arizona (Navajo and Western), and Oregon)

Uintah and Ouray Agency
P.O. Box 130
Fort Duchesne, UT 84026
(435) 722-4300

Virginia

South and Eastern States Agency-Education
3701 North Fairfax Drive, Suite 260
Arlington, VA 22203
(703) 235-3233

Eastern Regional Office
3701 North Fairfax Drive
Suite 260
Arlington, VA 22203
(703) 235-3006

Washington

Yakima Agency
P.O. Box 151 (Tribal)
P. O. Box 632 (BIA)
Toppenish, WA 98948
(509) 865-5121

(See Oregon)

Colville Agency
P.O. Box 111
Nespelem, WA 99155-0111
(509) 634-2316

Olympic Peninsula Agency
P.O. Box 48
Aberdeen, WA 98520
(360) 533-9100

Spokane Agency
P.O. Box 389
Wellpinit, WA 99040
(509) 258-4561

Puget Field Office
2707 Colby Avenue, Suite 1101
Everett, WA 98201
(425) 258-2651

Makah Field Office
P.O. Box 115
Neah Bay, WA 98357
(360) 645-3232

Wisconsin

Great Lakes Agency
615 Main Street, West
P.O. Box 273
Ashland, WI 54806-0273
(715)682-4527

(See Minnesota)

Wyoming

(See Montana)

Cross-references denote which area or offices provide services to eligible Indians within the State.

Wind River Agency
P.O. Box 158
Fort Washakie, WY 82514
(307) 332-7810

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Phoenix, AZ 95004-2203
(602) 417-9266

Branch of Procurement Management

1849 C Streets, N.W., MS: 1075-LS
(This office handles Bureau-wide inquiries)
Washington, DC 20240
(202) 452-5170

California

2800 Cottage Way, Suite W-1834
Sacramento, CA 95825-1886
(916) 978-4527

Colorado

2850 Youngfield Street
Lakewood, CO 80215-7076
(303) 239-3677

Eastern States Office

7450 Boston Boulevard
Springfield, VA 22153
(703) 440-1596

Idaho

1387 S. Vinnell Way
Boise, ID 83709-1657
(208) 373-3909

Montana

5001 Southgate Drive
P.O. Box 36800
Billings, MT 59107-6800
(406) 896-5205

Nevada

1340 Financial Boulevard

Appendix IV: Agency Regional and Local Office Addresses

P.O. Box 12000
Reno, NV 89520-0006
(702) 861-6417

New Mexico

435 Montano NE
Albuquerque, NM 87107
(505) 761-8994

Oregon

1515 SW 5th Avenue
P.O. Box 2965
Portland, OR 97208
(503) 952-6220

Utah

324 South State Street, Suite 301
Salt Lake City, UT 84111-2303
(801) 539-4172

Wyoming

5353 Yellowstone Road
P.O. Box 1828
Cheyenne, WY 82005
(307) 775-6058

BUREAU OF RECLAMATION

Commissioner's Office, Denver, Colorado

P.O. Box 25007
Denver Federal Center
Denver, CO 80225-0007
(303) 445-2692

Great Plains Regional Office

PO Box 36900
Billings, MT 59107-6900
(406) 247-7600

Lower Colorado Regional Office

PO Box 61470
Boulder City, NV 89006-1470
(702) 293-8411

Mid-Pacific Regional Office

Federal Office Building
2800 Cottage Way
Sacramento, CA 95825-1898
(916) 978-5000

Pacific Northwest Regional Office

1150 North Curtis Road, Suite 100
Boise, ID 83706-1234
(208) 378-5012

Upper Colorado Regional Office

125 South State Street, Room 6107
Salt Lake City, UT 84138-1102
(801) 524-3600

FISH AND WILDLIFE SERVICE

(Region VI: Colorado, Kansas, Montana, Nebraska, North Dakota, South Dakota, Utah, Wyoming)

Ralph O. Morgenweck
P.O. Box 25486

Denver Federal Center
Denver, CO 80025
Phone (303) 236-7920 Fax (303) 236-8295

(Region VII: Alaska)

Rowan Gould
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Anchorage, AK 99503
Phone (907) 786-3306, fax (907) 786-3542

California/Nevada

Judy Frye
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Sacramento, CA 95825
Phone (916) 414-6486, fax (916) 414-6464

Georgia

(Region IV: Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee, Virgin Islands)
Sam Hamilton
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Massachusetts

(Region V: Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia, Washington, District of Columbia)
Marvin Moriarty
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Minnesota

(Region III: Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio, Wisconsin)
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New Mexico

(Region II: Arizona, New Mexico, Oklahoma, Texas)
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Oregon

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NATIONAL PARK SERVICE

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National Park Service
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Intermountain Region

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Karen Wade
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Midwest Region

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National Capital Region

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David Linderman, Chief
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Marie Rust, Director
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Pacific West Region

National Park Service
Vacant
Resources, Stewardship and Partnership
111 Jackson Street, Suite 700
Oakland, CA 94607
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Southeast Region

Jerry Belson, Director
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Appendix IV: Agency Regional and Local Office Addresses

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Division of Parks and Outdoor Recreation
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American Samoa

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Arizona State Parks
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Vacant
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Appendix IV: Agency Regional and Local Office Addresses

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Historical and Cultural Programs
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State Historical Society of North Dakota
ND Heritage Center
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Ohio Historic Preservation Office
Ohio Historical Society
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Pennsylvania

Dr. Brent D. Glass, State Historic Preservation
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Harrisburg, PA 17108-1026
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Republic of Palau

Ms. Victoria N. Kanai, Historic Preservation
Officer
Ministry of Social Services
Division of Cultural Affairs
P.O. Box 100, Government of Palau
Koror, PW 96940
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Republic of the Marshall Islands

Mr. Frederick deBrum, Secretary of Interior
Affairs and Historic Preservation Officer, Republic
of the Marshall Islands
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Majuro, MH 96960
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Rhode Island

Mr. Frederick C. Williamson, State Historic
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Historical Preservation and Heritage Commission
Old State House
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Mr. Jay D. Vogt, State Historic Preservation
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Appendix IV: Agency Regional and Local Office Addresses

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Utah State Historical Society
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Vermont

Ms. Emily Wadhams
State Historic Preservation Officer and Director
Agency of Commerce and Community
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Vermont Division for Historic Preservation
National Life Building, Drawer 20
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Virgin Islands

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Department of Planning and Natural Resources
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Department of Historic Resources
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Washington

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Washington State
Department of Community, Trade, and Economic
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West Virginia

Ms. Nancy Herholdt, State Historic Preservation
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Division of Culture and History
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Wisconsin

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Preservation Officer
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Wyoming

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National Park Service

Terrel Emmons
Professional Services
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Office of Surface Mining

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Director, Knoxville Field Office
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U.S. Department of the Interior
Director, Big Stone Gap Field Office
Powell Valley Square Shopping Center
1941 Neeley Road, Suite 201
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Big Stone Gap, VA 24219
(540) 523-4303

U.S. Department of the Interior
Director, Harrisburg Field Office
415 Market Street, Suite 3C
Harrisburg Transportation Center
Harrisburg, PA 17101
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U.S. Department of the Interior
Director, Charleston Field Office
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Charleston, WV 25301
(304) 347-7162

U.S. Department of the Interior
Western Regional Coordinating Center
1999 Broadway, Suite 3320
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U.S. Department of the Interior
Director, Birmingham Field Office

135 Gemini Circle, Suite 215
Homewood, AL 35209
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U.S. Department of the Interior
Mid-Continent Regional Coordinating Center
Alton Federal Building
501 Belle Street, Room 216
Alton, IL 62002
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U.S. Department of the Interior
1951 Constitution Avenue N.W.
Washington, DC 20240
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U.S. Department of the Interior
Director, Indianapolis Field Office
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U.S. Department of the Interior
Director, Lexington Field Office
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Lexington, KY 40503-2922
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U.S. Department of the Interior
Director, Casper Field Office
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100 East "B" Street, Room 2128
Casper, WY 82601-1918
(307) 261-6555

U.S. Department of the Interior
Director, Tulsa Field Office
5100 East Skelly Drive, Suite 470
Tulsa, OK 74135
(918) 581-6431

U.S. Department of the Interior
Director, Albuquerque Field Office
505 Marquette Avenue N.W., Suite 1200
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U.S. Department of the Interior
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U.S. GEOLOGICAL SURVEY

REGIONAL OFFICES

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Appendix IV: Agency Regional and Local Office Addresses

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Virginia

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William Carswell, Jr., Regional Hydrologist
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Mapping Application Center

Pat Dunham
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(Western Region-Alaska, Arizona, California, Hawaii, Idaho, Nevada, Oregon, Utah, Washington)

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West Virginia

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U.S. Attorneys Office
Mailing Address
P.O. Box 2134
1 Courthouse Way, Room 9200
Boston, MA 02210
(617) 748-3218
(617) 748-3965 FAX

New York

Special Agent-in-Charge, Investigations, OIG
JFK Airport, North Boundary Road, Building #77,
Penthouse #2
For letter mail: JFK Airport, P.O. Box 300999
Jamaica, NY 11430-0999
FTS (718) 553-7520
(718) 553-7533 FAX
Use the same number for FT

Pennsylvania

Regional Audit Manager, Audit, OIG
701 Market Street, Suite 201
Philadelphia, PA 19106
(215) 580-2111
(215) 597-1348 FAX
Use the same number for FTS

Texas

Special Agent-in-Charge, Investigations, OIG
Texas Commerce Center
1701 West Business Highway 83, Suite 510
Bentsen Tower
McAllen, TX 78501
(956) 618-8145
(956) 618-8151 FAX
Use the same number for FTS

Regional Audit Manager, Audit, OIG
207 S. Houston Street, Box 4, Room 575
Dallas, TX 75202-4724
(214) 655-5000
(214) 655-5025 FAX
Use the same number for FTS

Special Agent-in-Charge, Investigations, OIG
Bob Casey Federal Courthouse
515 Rusk Avenue, Suite 3307
Letter mail:
P.O. Box 610071
Houston, TX 77208-9998
(713) 718-4888

(713) 718-4706 FAX

Special Agent-in-Charge, Investigations, OIG
4050 Rio Bravo, Suite 200
El Paso, TX 79902
(915) 577-0102
(915) 577-9012 FAX
Use the same number for FTS

Special Agent, Investigations, OIG
207 South Houston Street, Box 5, Room 551
Dallas, TX 75202-4724
(214) 655-5076
(214) 655-5071 FAX

Washington

Special Agent, Investigations, OIG
620 Kirkland Way, Suite 104
Kirkland, WA 98033-6021
(425) 828-3998
(425) 827-2183 FAX
Use the same number for FTS

Washington, DC

Special Agent-in-Charge, Investigations, OIG
1425 New York Avenue, N.W., Suite 7100
For letter mail: P.O. Box 27718
Washington, DC 20038-7718
(202) 616-4760
(202) 616-9881 FAX
Use the same number for FTS

Regional Audit Manager (Washington), Audit,
OIG
1425 New York Avenue, N.W., Suite 6100
Washington, DC 20530
(202) 616-4688
(202) 616-4581 FAX
Use the same number for FTS

Fraud Detection Unit
Special Agent, Investigations, OIG
1425 New York Avenue, N.W., Suite 7100
Washington, DC 20530
(202) 616-4760
(202) 616-9881 FAX

COMMUNITY RELATIONS SERVICE

Detroit

(Michigan)
211 West Fort Street, Room 1404
Detroit, MI 48226
(313) 226-4010

Houston

(Texas)
515 Rusk Avenue, Room 12605
Houston, TX 77002
(713) 718-4861

Miami

(Florida)
51 First Avenue, S.W., Room 624
Miami, FL 33130
(305) 536-5206

Region I

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont)
408 Atlantic Avenue, Suite 222
Boston, MA 02110
(617) 424-5715

Region II

(New Jersey, New York, Puerto Rico, Virgin
Islands)
Room 36-118
26 Federal Plaza
New York, NY 10278
(212) 264-0700

Region III

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)
2nd and Chestnut Street, Room 208
Philadelphia, PA 19106
(215) 597-2344

Region IV

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
75 Piedmont Avenue N.E., Room 900
Atlanta, GA 30303
(404) 331-6883

Region IX

(Arizona, California, Guam, Hawaii, Nevada)
888 South Figueroa Street, Suite 1880
Los Angeles, CA 90017
(213) 894-2941

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
55 West Monroe Street, Room 420
Chicago, IL 60603
(312) 353-4391

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
1420 West Mockingbird Lane, Suite 250
Dallas, TX 75247
(214) 655-8175

Region VII

(Iowa, Kansas, Missouri, Nebraska)
1100 Main Street, Suite 1320
Kansas City, MO 64105
(816) 426-7434

Region VIII

(Colorado, Montana, North Dakota, South Dakota,
Wyoming, Utah)
1244 Speer Boulevard, Suite 650
Denver, CO 80204-3584
(303) 844-2973

Region X

(Alaska, Idaho, Oregon, Washington)
915 Second Avenue, Room 1808
Seattle, WA 98174

Appendix IV: Agency Regional and Local Office Addresses

(206) 220-6700

San Francisco

(California)
120 Howard Street, Suite 790
San Francisco, CA 94105
(415) 744-6565

**DRUG ENFORCEMENT
ADMINISTRATION**

RESEARCH HEADQUARTERS

Atlanta Field Division

75 Spring Street, S.W., Room 740, A53
Atlanta, GA 30303

Boston Field Division

JFK Federal Bldg., Room E-400
15 Sudbury Street
Boston, MA 02203-0402

Caribbean Division

Metro Office Park
Building 17
Guaynabo, PR 00968

Chicago Field Division

230 South Dearborn Street, Suite 1200
Chicago, IL 60604

Dallas Field Division

10160 Technology Boulevard
East Dallas, TX 75220-4343

DEA/EPIC

11339 SSG Sims Street
El Paso, TX 79908-8098

Denver Field Division

115 Inverness Drive, East
Englewood, CO 80112-5116

Detroit Field Division

431 Howard Street
Detroit, MI 48226

El Paso Division

600 South Mesa Hills Drive
El Paso, TX 79912

Houston Field Division

1433 West Loop South, Suite 600
Houston, TX 77027-9506

Los Angeles Field Division

Edward Royal Federal Building
225 East Temple Street, 20th Floor
Los Angeles, CA 90012

Miami Field Division

8400 N.W. 53rd Street
Miami, FL 33166

Mid-Atlantic Laboratory

460 New York Avenue NW
Washington, DC 20532-0001

New Orleans Field Division

3838 North Causeway Boulevard
Three Lakeway Center, Suite 1800
Metairie, LA 70002

New York Field Division

99 10th Avenue
New York, NY 10011

Newark Field Division

80 Mulberry Street, 2nd Floor
Newark, NJ 07102-4206

North Central Laboratory

536 South Clark Street, Room 800
Chicago, IL 60605

Northeast Laboratory

99 Tenth Avenue, Room 721
New York, NY 10011

Office of Training

Drug Enforcement Administration
FBI Academy, P.O. Box 1475
Quantico, VA 22134-1475

Philadelphia Field Division

600 Arch Street, Room 10224
Philadelphia, PA 19106

Phoenix Field Division

Westmount Place
3010 North 2nd Street, Suite 301
Phoenix, AZ 85012

San Diego Field Division

4560 Viewridge Avenue
San Diego, CA 92123-1672

San Francisco Field Division

450 Golden Gate Avenue
San Francisco, CA 94102

Seattle Field Division

400 Second Avenue, West
Seattle, WA 98119-4140

South Central Laboratory

1880 Regal Row
Dallas, TX 75235

Southeast Laboratory

5205 N.W. 84th Avenue
Miami, FL 33166

Southwest Laboratory

410 West 35th Street
National City, CA 91950

Special Testing & Research Laboratory

3650 Concorde Parkway, Suite 200
Chantilly, VA 20151

St. Louis Field Division

7911 Forsythe Boulevard, Suite 500
St. Louis, MO 63105

Washington, DC Field Division

800 K Street, N.W., Suite 500
Washington, DC 20001

Western Laboratory

390 Main Street, Room 700
San Francisco, CA 94105

**FEDERAL BUREAU OF
INVESTIGATION**

Region I

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont)

1 Center Plaza, Suite 600
Boston, MA 02108-1801
(617) 742-5533
(617) 223-6000

Federal Office Building
600 State Street
New Haven, CT 06510-2020
(203) 503-5000
(203) 786-7000

Region II

1 Gateway Center, 22nd Floor
Newark, NJ 07102-9889
(973) 792-3000
(973) 792-3000

(New Jersey, New York, Puerto Rico, Virgin
Islands)

James T. Foley Building
200 McCarty Avenue
Albany, NY 12209-2095
(518) 465-7551
(518) 431-7200

Jacob K. Javits, Federal Office Building
26 Federal Plaza
New York, NY 10278-0004
(212) 384-1000
(212) 384-1000

111 Greencourt Road
Richmond, VA 23228-4948
(804) 261-1044
(700) 923-2000

FBI, Washington Metropolitan Field Office
601 4th Street N.W.
Washington, DC 20535-0002
(202) 278-2000
Use the same number for FTS

7142 Ambassador Road
Baltimore, MD 21244-2754
(410) 265-8080
(410) 281-0198

U.S. Federal Office Building
150 Carlos Chardon, Room 526
Hato Rey, PR 00918-1716
(787) 754-6000
(787) 754-3292

William J. Green, Jr.
Federal Office Building
600 Arch Street, 8th Floor
Philadelphia, PA 19106-1675

Appendix IV: Agency Regional and Local Office Addresses

(215) 418-4500
(215) 418-4000

One FBI Plaza
Buffalo, NY 14202-2698
(716) 856-7800
(716) 843-4300

150 Corporate Boulevard
Norfolk, VA 23502-4999
(757) 455-0100
(757) 455-0123

United States Post Office
700 Grant Street, Suite 300
Pittsburgh, PA 15219-1906
(412) 471-2000
(412) 456-9100

Region IV

St. Louis Centre
1 St. Louis Street, 3rd Floor
Mobile, AL 36602-3930
(334) 438-3674
(334) 219-3555

16320 N.W. Second Avenue
North Miami Beach, FL 33169-6508
(305) 944-9101
(305) 787-6100

400 South Tyron, Suite 900
Wachovia Building
Charlotte, NC 28285-0001
(704) 377-9200
(704) 331-4500

John J. Duncan, FOB
710 Locust Street, Suite 600
Knoxville, TN 37902-2537
(865) 544-0751
(865) 544-3500

2121 8th Avenue North, Room 1400
8th Avenue North
Birmingham, AL 35203-2396
(205) 715-0300-FTS
(205) 326-6166-FAX

EagleCrest Building
225 North Humphreys Boulevard, Suite 3000
Memphis, TN 38120-2107
(901) 747-4300
(901) 747-9739

151 West Park Boulevard
Columbia, SC 29210-3857
(803) 551-4200
(803) 551-4209

Federal Office Building
600 Martin Luther King Place, Suite 500
Louisville, KY 40202-2231
(502) 583-3941
Use the same number for FTS

7820 Arlington Expressway, Suite 200
Jacksonville, FL 32211-7499
(904) 721-1211

Federal Office Building, Suite 1553
100 West Capitol Street

Jackson, MS 39269-1601
(601) 948-5000
(601) 360-7550

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
2635 Century Parkway, NE, Suite 400
Atlanta, GA 30345-3112
(404) 679-9000
(404) 679-6100

Federal Office Building
500 E. Zack Street, Room 610
Tampa, FL 33602-3917
(813) 273-4566
(813) 272-8000

Region IX

450 Golden Gate Avenue, 13th Floor
San Francisco, CA 94102-9523
(415) 553-7400
(415) 553-2000

Suite 400, 201 East Indianola Avenue
Midtowne Business Centre II
Phoenix, AZ 85012-2080
(602) 279-5511
(602) 650-3300

(American Samoa, Arizona, California, Guam,
Hawaii, Nevada)
Kalaniana'ole Federal Office Building
300 Ala Moana Boulevard, Room 4-230
Honolulu, HI 96850-0053
(808) 566-4300
(808) 566-4300

Federal Office Building
9797 Aero Drive
San Diego, CA 92123-1800
(619) 565-1255
(619) 514-5500

4500 Orange Grove Avenue
Sacramento, CA 95841-4205
(916) 481-9110
(916) 977-2200

John Lawrence Bailey Building
700 East Charleston Boulevard
Las Vegas, NV 89101-1545
(702) 385-1281
(700) 545-0110

Federal Office Building
11000 Wilshire Boulevard, Suite 1700
Los Angeles, CA 90024-3672
(858) 565-1255
(858) 514-5500

Region V

Federal Office Building
1240 East 9th Street, Room 3005
Cleveland, OH 44199-9912
(216) 522-1400
(216) 622-6600

575 North Pennsylvania Street, Room 679
Federal Office Building

Indianapolis, IN 46204-1585
(317) 639-3301
(317) 321-6100

Everett McKinley Dirksen Federal Office Building
219 S. Dearborn Street, Room 905
Chicago, IL 60604-1702
(312) 431-1333
(312) 786-2500

111 Washington Avenue South S-1100
Minneapolis, MN 55401-2176
(612) 376-3200
Use the same number for FTS

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
FOB, 550 Main Street, Room 9000
Cincinnati, OH 45202-8501
(513) 421-4310
(513) 562-5600

Patrick V. McNamara Federal Office Building
477 Michigan Avenue, 26th Floor
Detroit, MI 48226-2598
(313) 965-2323
(313) 237-4355

400 West Monroe Street, Suite 400
Springfield, IL 62704-1800
(217) 522-9675
(217) 535-4400

330 East Kilbourn Avenue, Suite 600
Milwaukee, WI 53202-6627
(414) 276-4684
(414) 291-4899

Region VI

U.S. Post Office and Courthouse Building
615 East Houston Street, Suite 200
San Antonio, TX 78205-9998
(210) 225-6741
(210) 978-5400

2500 East T. C. Jester
Houston, TX 77008-1300
(713) 693-5000
(713) 693-3800

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
415 Silver Avenue S.W., Suite 300
Albuquerque, NM 87102
(505) 224-2000
Use the same number for FTS

2901 Leon C. Simon Boulevard
New Orleans, LA 70126-1061
(504) 816-3000
(504) 816-3000

Two Financial Centre, Suite 200
10825 Financial Centre Parkway
Little Rock, AR 72211-3552
(501) 221-9100
(501) 228-8400

1801 North Lamar, Suite 300
Dallas, TX 75202-1795
(214) 720-2200

Appendix IV: Agency Regional and Local Office Addresses

(214) 922-7475

600 South Mesa Hills Drive, Suite 3000
El Paso, TX 79912-5533

(915) 832-5000

Use the same number for FTS

3301 W. Memorail Road
Oklahoma City, OK 73134
(405) 290-7770
(405) 290-3875

Region VII

(Iowa, Kansas, Missouri, Nebraska)
2222 Market Street, Room 2704
St. Louis, MO 63103-2516

(314) 231-4324

(314) 589-2500

Federal Office Building
10755 Burt Street

Omaha, NE 68114-2000
(402) 493-8688
(402) 492-3700

U.S. Courthouse, Room 300

1300 Summitt

Kansas City, MO 64105-1362

(816) 221-6100

(816) 512-8200

Region VIII

(Colorado, North Dakota, South Dakota, Utah,
Wyoming)

Federal Office Building

1961 Stout Street, Suite 1823, 18th Floor
Denver, CO 80294-1823

(303) 629-7171

(303) 628-3000

257 East 200 South, Suite 1200

257 Towers Building

Salt Lake City, UT 84111-2048

(801) 579-1400

(801) 579-4400

Region X

Crown Plaza Building

1500 S.W. 1st Avenue, Suite 400

Portland, OR 97201-5828

(503) 224-4181

(503) 552-5200

Federal Office Building

915 Second Avenue, Room 710

Seattle, WA 98101

(206) 262-2000

(700) 391-8760

(Alaska, Idaho, Oregon, Washington)

101 East Sixth Avenue

Anchorage, AK 99501-2523

(907) 276-4441

(907) 258-5322

IMMIGRATION & NATURALIZATION SERVICE

NATURALIZATION INFORMATION

Alabama

Mobile Port of Entry

P.O. Box 1526

Mobile, AL 36601

(334) 441-5135

Mobile Border Patrol

P.O. Box 1526

Mobile, AL 36633

(334) 441-6139

Alaska

Eagle Port of Entry

C/O Postmaster Box 1

Eagle, AK 99738

(907) 547-2211

Nome Port of Entry

P.O. Box 281

Nome, AK 99762

(907) 443-2065

Anchorage International Airport P.O. Box 19068

4601 Sattelite Drive

Anchorage, AK 99519-0688

(907) 243-1400

Ketchikan Port of Entry

111 Main Street

Ketchikan, AK 99840

(907) 225-2380

Dutch Harbor Port of Entry

2315 Airport Beach Road, Room 205

P.O. Box 750

Dutch Harbor, AK 99692

(907) 581-4114

Anchorage District Office

620 East 10th Avenue, Suite 102

Anchorage, AK 99501-3701

(907) 271-3524

Gambell Port of Entry

P.O. Box 1

Gambell, AK 99742

(907) 985-5211

Skagway Port of Entry

Klondike Highway Border Station

P.O. Box 475

Skagway, AK 99840-0475

(907) 983-3144

Prince Rupert, BC Canada

Alaska Marine Highway Terminal

C/O 111 Main Street

Ketchikan, AK 99840

(604) 624-3833

Dalton's Cache Port of Entry

Mile 40 Haines Highway

P.O. Box 1509

Haines, AK 99827-1509

(907) 767-5580

Turner Port of Entry

P.O. Box 1221

Tok, AK 99780

(907) 774-2242

Fairbanks Port of Entry

6450 Old Airport Way

Fairbanks, AK 99709

(907) 474-0307

Arizona

Eloy Processing Center

4465 E. Hanna Road, Suite 343

Eloy, AZ 85231

(520) 466-2000

San Luis Port of Entry

Highway 95

P.O. Box 448

San Luis, AZ 85349

(520) 627-3591

Casa Grande Border Patrol

396 Camino Mercado

Casa Grande, AZ 85222

(520) 836-7812

Florence Processing Center

3250 North Pinal Parkway Avenue

Florence, AZ 85232

(520) 868-5862

Wellton Border Patrol

29820 Frontage Road

P.O. Box 128

Wellton, AZ 85356

(520) 785-9364

Naco Port of Entry

106 "D" Street

P.O. Box 277

Naco, AZ 85620

(520) 432-3111/2791

Lukeville Port of Entry

Highway 85

P.O. Box D

Lukeville, AZ 85341

(520) 387-6047

Naco Border Patrol

2136 Naco Highway

Bisbee, AZ 85603

(520) 432-5121

Tucson Border Patrol Sector Headquarters

1970 West Ajo Way

Tucson, AZ 85713

(520) 670-6871/6880

Douglas Border Patrol

1051 Lawrence Street

P.O. Box 1175

Douglas, AZ 85608

(520)364-3991

Phoenix Border Patrol

3006 W. Claredon Ave.

Phoenix, AZ 85017

(520) 670-6865

Yuma Border Patrol

12122 S. Avenue A

P.O. Box 2708

Yuma, AZ 85366-2708

(520) 726-8731

Douglas Port of Entry

Appendix IV: Agency Regional and Local Office Addresses

Pan American Ave. & First Street
Douglas, AZ 85607
(520) 364-5532/6479

Phoenix Sky Harbor Airport
P.O. Box 63673
Phoenix, AZ 85082-2673
(602) 275-7745, 7763, 7785

Tucson Border Patrol
2010 Ajo Way
Tucson, AZ 85713
(520) 670-6865

Willcox Border Patrol
200 W. Downen St.
P.O. Box 909
Willcox, AZ 85644-0909
(520) 384-2412

Sasabe Port of Entry
Federal Inspection Building
P.O. Box 326
Sasabe, AZ 85633-0326
(520) 823-4230

Ajo Border Patrol
850 North Tucson - Ajo Hwy. 86
P.O. Drawer "J"
Ajo/Highway, AZ 85321
(520) 387-7002

Phoenix District Office
2035 North Central Avenue
Phoenix, AZ 85004-1548
(602) 514-7799

Nogales Border Patrol
1500 W. La Quinta Road
Nogales, AZ 85621
(520) 377-6000

Sonoita Border Patrol
Highway 82 and 83
P.O. Box 37
Sonoita, AZ 85637-0037
(520) 455-5051

Yuma Border Patrol Sector Headquarters
350 West 1st Street
P.O. Box 2708
Yuma, AZ 85366-2708
(520) 782-9548

Bahamas

Freeport Bahamas Sub-Office
P.O. Box F-2664
Freeport Grand Bahama, Bahamas
(809) 352-5586

California

Indio Border Patrol
45-620 Commerce Street
Indio, CA 92201
(760) 347-3658

Fresno Border Patrol Station
4367 North Golden State Boulevard
Fresno, CA 93722
(209) 487-5506

Campo Border Patrol
3 Forest Gate Road
P.O. Box 68
Campo, CA 91906
(619) 557-6144

Long Beach Seaport Unit
501 W. Ocean Boulevard, Suite 6300
Long Beach, CA 90802
(310) 980-3400

Tecate Port of Entry
P.O. Box 219
Tecate, CA 92080-0219
(619) 478-5029

Fresno Sub-Office
865 Fulton Mall
Fresno, CA 93721-2816
(209) 487-5126

Calexico Port of Entry
200 East 1st Street
P.O. Box 1780
Calexico, CA 92231
(619) 357-1189, 7968, 1143

San Francisco International Airport
P.O. Box 280551
Central Terminal, Lower Level
Customs Area
San Francisco, CA 94128
(415) 876-2876

Ota Mesa Port of Entry
2500 Paseo International
P.O. Box 439018
San Diego, CA 92143-9018
(619) 661-3249, 3231

Bakersfield Processing Center
17635 Industrial Farm Road
P.O. Box 81143
Bakersfield, CA 93380
(805) 861-4465

El Centro Border Patrol Sector Headquarters
1111 North Imperial Ave.
El Centro, CA 92243-1795
(619) 352-3241

Oxnard Border Patrol
275 Skyway Drive
P.O. Box 88
Camarillo, CA 93011
(805) 482-8997

San Clemente Border Patrol
I-5 Northbound Traffic Checkpoint
P.O. Box 3188
San Clemente, CA 92674
(619) 557-5272

Boulevard Border Patrol
39701 Avenue de Robles Verde
P.O. Box 1320
Boulevard, CA 92005
(619) 766-4542

San Marcos Border Patrol
126 South Pacific

P.O. Box 5099
San Marcos, CA 92069
(619) 557-5589

San Ysidro Port of Entry
720 San Ysidro Boulevard
P.O. Box 439108
San Diego, CA 92143-9018
(619) 662-7314, 7240

Calexico East Port of Entry
1699 E. Carr Road
Calexico, CA 92231
(619) 768-2450

Ontario Airport
222 E. Airport Drive
Ontario, CA 91761
(909) 395-8668, 8673

Oakland International Airport
International Terminal
1 Airport Drive
Oakland, CA 94621
(510) 273-7390

Riverside Border Patrol
2060 Chicago Avenue, Suite A2
Riverside, CA 92507
(909) 686-4100

Stockton Border Patrol
P.O. Box 2047
Stockton, CA 95201
(209) 946-6211, 6284

Sacramento Sub-Office
650 Capital Mall
Sacramento, CA 95814
(916) 498-6460

San Jose International Airport
C/O P.O. Box 280551
Central Terminal, Lower Level
Customs Area
San Jose, CA 95110
(408) 291-7209

Long Angeles District Office
300 North Los Angeles Street
Los Angeles, CA 90012
(213) 894-4627

Blythe Border Patrol
16870 W. Hobsonway
P.O. Box 836
Blythe, CA 92226
(619) 922-6715

Los Angeles International Airport
380 Worldway, P.O. Box N-20
Tom Bradley International Terminal, Lower Level
Los Angeles, CA 90045
(310) 215-2104, 2101 - Ext. #107, 103

Imperial Beach Border Patrol
1802 Saturn Way
P.O. Box 68
Imperial Beach, CA 91933-0068
(619) 662-7149

Andrade Port of Entry

Appendix IV: Agency Regional and Local Office Addresses

235 Andrade Road
Winterhaven, CA 92283
(619) 572-5350, 5184

Western Form Center
5600 Rickenbacker Road
Building 701 Bay A
Bell, CA 90201
(213) 526-7404

Temecula Border Patrol
43136 Rancho Way
P.O. Box 38
Temecula, CA 92593
(909) 694-6871

Livermore Border Patrol Station
6102 Ninth Street
Dublin, CA 94568
(925) 828-0371

San Jose Sub-Office
280 S. First Street, Rm. 1150
San Jose, CA 95113
(408) 535-5174

Livermore Border Patrol Sector Headquarters
6102 Ninth Street
Dublin, CA 94568
(925) 828-3770

Brown Field Border Patrol
7560 Britannia Street
San Diego, CA 92173
(619) 661-3154

Salinas Border Patrol
1636 East Alisal Street
P.O. Box 218
Salinas, CA 93902
(408) 754-0633

Sacramento Border Patrol Station
7000 Franklin Blvd.
Bldg. 500, Suite 575
Sacramento, CA 95823
(916) 391-9087

Western Operations Region
24000 Avila Road
P.O. Box 30080
Laguna Niguel, CA 92607-0080
(714) 643-4236

San Pedro Processing Center
2001 Seaside Avenue
Terminal Island
San Pedro, CA 90731
(310) 732-0777

El Centro Border Patrol Station
1081 North Imperial Avenue
El Centro, CA 92243
(760) 353-0541

Bakersfield Satellite Investigations
800 Truxton Avenue, Room 317
Bakersfield, CA 93301
(805) 861-4478

El Centro Processing Center
1115 North Imperial Ave.

El Centro, CA 92243-1739
(619) 353-2170

San Luis Obispo Border Patrol Station
1170 Calle Joaquin
P.O. Box 1486
San Luis Obispo, CA 93406
(805) 543-9490

San Francisco District Office
630 Sansome Street
San Francisco, CA 94111-2280
(415) 705-3102

San Diego International Airport
Lindbergh Field Airport
3665 N. Harbor Drive
San Diego, CA 92101
(619) 298-2612

El Cajon Border Patrol
225 Kenney Street
El Cajon, CA 92020
(619) 557-5072

San Diego District Office
880 Front Street, Suite 1234
San Diego, CA 92101-8834
(619) 557-5645

Colorado

Pueblo Port of Entry
P.O. Box 1879
Pueblo, CO 81002
(719) 544-9369

Grand Junction Port of Entry
255 Main Street
Grand Junction, CO 81501
(303) 243-5141

Denver District Office
4730 Paris Street
Albrook Center
Denver, CO 80239-2804
(303) 371-6213

Connecticut

Hartford Sub-Office
Ribicoff Federal Building
450 Main Street
Hartford, CT 06103-3060
(203) 240-3052

Florida

Orlando Border Patrol
P.O. Box 440
Winter Park, FL 32790-0440
(407) 648-6081

Key West Sub-Office
301 Simonton Street, Room 201
Mail: P.O. Box 86
Key West, FL 33041
(305) 296-2233

Miami District Office
7880 Biscayne Boulevard
Miami, FL 33138
(305) 762-3405

West Palm Beach Sub-Office
301 N. Broadway
Riviera Beach, FL 33404
(561) 841-0498

Miami International Airport
Concourse E, 3rd Floor
P.O. Box 997895
Miami, FL 33299-7895
(305) 526-2612, 2626, 2851, 2930

Tampa Border Patrol
1821B East Sahlman Drive
Tampa, FL 33605
(813) 228-2156 or 2160

Miami Border Patrol Sector Headquarters
7201 Pembroke Road
Pembroke Pines, FL 33023
(954) 963-9807

Key West Port of Entry
Federal Building
301 Simonton Street, Room 201
Key West, FL 33040
(305) 293-9150

Nassau, Bahamas Sub-Office, U.S. Immigration
P.O. Box 599009
Miami, FL 33159-9009
(242) 377-7125

West Palm Beach Port of Entry
4 East Port Road, Room 410
Riviera Beach, FL 33419
(407) 845-6898

Krome North Service Processing Center
18201 S.W. 12th Street
P.O. Box 160327, Snapper Creek Station
Miami, FL 33194
(305) 552-1845

Jacksonville Port of Entry
Federal Building, G-18
400 W. Bay Street
Jacksonville, FL 32202
(904) 232-2164

Georgia

Atlanta District Office
77 Forsyth Street S.W.
Atlanta, GA 30303-0253
(404) 331-0253

Savannah International Airport 33 Bull Street,
Suite 505
Savannah, GA 31401
(912) 652-4460

Hartsfield International Airport Port of Entry
P.O. Box 45527
Atlanta, GA 30320
(404) 763-7816

Guam

Guam International Airport
Sirena Plaza, Suite 100
108 Hernan Cortez Avenue
Agana, GU 96910

Appendix IV: Agency Regional and Local Office Addresses

(671) 642-7611

Hawaii

Honolulu District Office
595 Ala Moana Boulevard
Honolulu, HI 96813
(808) 532-3748/3746

Honolulu International Airport
300 Rodgers Boulevard
Terminal Box 50
Honolulu, HI 96819
(808) 861-8401, 8406, 8400, 8409

Idaho

Eastport Port of Entry
P.O. Box 8
Highway 95
Eastport, ID 83826
(208) 267-2183, 3745

4620 Overland Road
Room 108
Boise, ID 83705
(208) 334-1822

Porthill Port of Entry
P.O. Box 40
Porthill, ID 83853
(208) 267-5309

Illinois

Chicago District Office
10 West Jackson Boulevard, Suite 600
Chicago, IL 60604
(312) 385-1900

Indiana

Indianapolis Sub-Office
Gateway Plaza, Room 400
950 North Meridan Street
Indianapolis, IN 46204
(317) 226-6181

Kansas

Garden City Port of Entry
Box 1239
1404 E. Fulton
Garden City, KS 67846
(316) 275-1054

Wichita Port of Entry
625 North Winterset
Wichita, KS 67212
(316) 945-9741

Kentucky

Louisville Sub-Office
Room 604
Gene Snyder Courthouse
601 West Broadway
Louisville, KY 40202
(502) 582-6526

Louisiana

New Orleans Border Patrol Sector Headquarters
3819 Patterson Drive
P.O. Box 6218

New Orleans, LA 70174
(504) 589-6107

New Orleans International Airport
900 Airline Highway
Kenner, LA 70062
(504) 589-3949

New Orleans District Office
Postal Services Building
701 Loyola Avenue, Room T-8011
New Orleans, LA 70113
(504) 589-6521

Oakdale Processing Center
207 E. 5th Avenue
P.O. Box 5095
Oakdale, LA 71463
(318) 335-0713

Lake Charles Border Patrol
P.O. Box 868
Lake Charles, LA 70601
(318) 477-9245

Baton Rouge Border Patrol
P.O. Box 338
9522 Brookline Drive
Baton Rouge, LA 70821
(504) 389-0231

Maine

Houlton Border Patrol Station
P.O. Box 706
Houlton, ME 04730
(207) 532-9061

Fort Fairfield Port of Entry
RR #1, Box 217
Fort Fairfield, ME 04742
(207) 473-7396

Van Buren Port of Entry
International Bridge
Bridge Street, P.O. Box 116
Van Buren, ME 04785
(207) 868-2202/3900

Madawaska Port of Entry
2 Bridge Street
Madawaska, ME 04756-1230
(207) 728-4565

Van Buren Border Patrol
P.O. Box 26
Main Street
Van Buren, ME 04785
(207) 868-3900

Bar Harbor Port of Entry
Marine Atlantic Ferry Terminal
Eden Street
Bar Harbor, ME 04609
(207) 288-4675

Fort Fairfield Border Patrol
205 Main Street
P.O. Box 410
Fort Fairfield, ME 04742
(207) 472-5041

Bridgewater Port of Entry

RFD Rt. 1, Box 42
Boundary Line Road
Bridgewater, ME 04735
(207) 425-4502

Calais Port of Entry
Border Inspection Station
1 Main Street
P.O. Drawer 421
Calais, ME 04619
(207) 454-2546

Hamlin Port of Entry
H.C. 62, Box 63
Van Buren, ME 04785
(207) 868-0966

Lubec Port of Entry
Federal Building
U.S. Post Office & Border Station
Washington Street & Campobello Bridge
Lubec, ME 04652
(207) 733-4960

Jackman Port of Entry
Star Route 76
Box 629
Jackman, ME 04945
(207) 668-3771

Limestone Port Of Entry
R.F.D. 1, Box 258
Limestone, ME 04750-9729
(207) 325-4760

Vanceboro Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box C
Water Street
Vanceboro, ME 04491
(207) 788-3813

Fort Kent Port of Entry
98 West Main Street
Fort Kent, ME 04743-1016
(207) 834-3223

Coburn Gore Port of Entry
Star Route 73
P.O. Box 35
Eustis, ME 04936
(207) 297-2771

Calais Border Patrol
One Main Street
P.O. Box 245
Calais, ME 04619
(207) 454-3613

Bangor Port of Entry
267A Godfrey Boulevard
P.O. Box 677
Bangor, ME 04402-0677
(207) 945-0334

Jackman Border Patrol
P.O. Box 608
Nickels Road
24 hours
Jackman, ME 04945
(207) 668-3151

Appendix IV: Agency Regional and Local Office Addresses

Houlton Port of Entry
P.O. Box 189
Interstate 95
Houlton, ME 04730
(207) 532-2906

Houlton Border Patrol Sector Headquarters
Route 1, Calais Road
P.O. Box 706
24 Hours
Houlton, ME 04730
(207) 532-6521/6522

Maryland

Baltimore District Office
Fallen Federal Building
31 Hopkins Plaza
Baltimore, MD 21201
(410) 962-2010

Massachusetts

Boston Service Processing Center
427 Commercial Street
Boston, MA 02109
(617) 223-3090/3089/3088

Boston District Office
John F. Kennedy Federal Building
Government Center, Room 1700
Boston, MA 02203
(617) 565-4214

Michigan

Port Huron Port of Entry
2321 Pinegrove Avenue
Port Huron, MI 48060
(810) 982-0493

Port Huron Border Patrol
2112 River Road
Marysville, MI 48040
(810) 364-6041

Sault Ste. Marie Port of Entry
Immigration and Naturalization Service
International Bridge Plaza
Sault Ste. Marie, MI 49783
(906) 632-8822

Algonac Port of Entry
202 Fruit Street
Algonac, MI 48001
(810) 794-3321

Detroit City Airport
11499 Conner
Room 138C
Detroit, MI 48213
(313) 521-8430

Trenton Border Patrol
23100 West Road
P.O. Box 206
Trenton, MI 48183
(313) 676-2972

Grand Rapids Border Patrol
P.O. Box 1678
100 W. 7th St.

Holland, MI 49422-1678
(616) 392-4070

Detroit International Bridge
3033 Porter
Detroit, MI 48216
(313) 963-4408

Detroit Metro Airport
International Terminal
Romulus, MI 48242
(313) 955-6293

Sault Ste. Marie Border Patrol
P.O. Box 141
International Bridge Plaza
Sault Ste. Marie, MI 49783
(906) 632-3383

District/Canada Tunnel
150 E. Jefferson Avenue
Detroit, MI 48226
(313) 568-6019

Minnesota

Grand Portage Port of Entry
Highway 61
Grand Portage, MN 55605
(218) 475-2494

Warroad Border Patrol
P.O. Box 24, Highway 11
Warroad, MN 56763
(218) 386-1802

Grand Marais Border Patrol
P.O. Box 685, Highway 61 East
Grand Marais, MN 55604
(218) 387-1770

Pine Creek Port of Entry
Highway 89
Star Route 5
Roseau, MN 56751
(218) 463-1952

International Falls Border Patrol
Immigration Naturalization Service
1412 Hwy. 11-71 West
International Falls, MN 56649
(218) 283-2461

Ely Port of Entry
P.O. Box 28
Ely, MN 55731
(218) 365-3262

St. Paul District Office
2901 Metro Drive, Suite 100
Bloomington, MN 55425
(612) 335-2211

Noyes Port of Entry
Immigration and Naturalization Service
Rural Route 1, Box 110
Noyes, MN 56740
(218) 823-6291

Crane Lake Port of Entry
7544 Gold Coast Road
Crane Lake, MN 55725
(218) 993-2321

Warroad Port Of Entry
H.C. 02 P.O. Box 245
Warroad, MN 56763
(218) 386-1676

Duluth Port of Entry
U.S. Courthouse & Custom House
Federal Building
515 West First Street
Duluth, MN 55802
(218) 720-5207

Roseau Port of Entry
Route 1, Box 31 - Highway 310 N
Roseau, MN 56751
(218) 463-2054

International Falls
2 Second Avenue
International Falls, MN 56649-2328
(218) 283-8611

Pembina Border Patrol
Rural Route 1
P.O. Box 106
Noyes, MN 56740
(218) 823-6528, 6594

Duluth Border Patrol
114 Federal Building
515 West First Street
Duluth, MN 55802
(218) 720-5465

Lancaster Port of Entry
Route 1 Box 138 - Highway 59
Lancaster, MN 56735
(218) 762-4100

Baudette Port of Entry
International Bridge
P.O. Box 487
Baudette, MN 56623
(218) 634-2661

Mississippi

Gulfport Port of Entry
P.O. Box 1120
Gulfport, MS 39501
(601) 864-3029

Gulfport Border Patrol
P.O. Box 4273
Gulfport, MS 39502
(228) 863-3582

Missouri

Kansas City District Office
9747 North Conant Avenue
Kansas City, MO 64153
(816) 891-0864

St. Louis International Airport
P.O. Box 10406
Lambert Field
St. Louis, MO 63145
(314) 425-7179

St. Louis Sub-Office
Robert A. Young Federal Building

Appendix IV: Agency Regional and Local Office Addresses

1222 Spruce Street, Room 100
St. Louis, MO 63103-2815
(314) 539-2516

Montana

Billings Border Patrol
2601 1st Ave. N.
P.O. Box 2298
Billings, MT 59103
(406) 247-7563

Loring Port of Entry
Port of Morgan
HC82, Box 9250
Loring, MT 59537-9600
(406) 674-5248

Roosville Port of Entry
Immigration and Naturalization Service
8395 Highway 93 North
Eureka, MT 59917-9331
(406) 889-3737

Sweetgrass Port of Entry
Immigration and Naturalization Service
P.O. Box 165 - Interstate 15
Sweetgrass, MT 59484
(406) 335-2911

Raymond Port of Entry
P.O. Box 158
Raymond, MT 59256
(406) 895-2620

Eureka Border Patrol
Highway 93 (JB Shopping Center)
P.O. Box 909
Eureka, MT 59917
(406) 296-2938

Montana Chief Mountain Port of Entry
P.O. Box 376
via Babb, MT 59411
(406) 732-4576

Scobey Port of Entry
P.O. Box 2300
Scobey, MT 59263
(406) 783-5372

Havre Border Patrol Sector Headquarters
2605 5th Avenue S.E.
P.O. Box 112
Havre, MT 59501
(406) 265-6781

Havre Port of Entry
Immigration and Naturalization Service
Simpson Route
Willow Creek Station
Havre, MT 59501
(406) 265-6781

Whitetail Port of Entry
P.O. Box 38 - US Border Inspection Station
Whitetail, MT 59276
(406) 779-3531

Wild Horse Port of Entry
Immigration and Naturalization Service
Havre, MT 59501

(406) 394-2371
Shelby Border Patrol
P.O. Box 653
906 Oilfield Ave.
Shelby, MT 59474
(406) 434-5588

Scobey Border Patrol
P.O. Box 820
Scobey, MT 59263
(406) 487-2621

Opheim Port of Entry
P.O. Box 317
Opheim, MT 59250
(406) 724-3212

Willow Creek Port of Entry
Simpson Route
Havre, MT 59501
(406) 398-5512

Plentywood Border Patrol
P.O. Box 434
Plentywood, MT 59254
(406) 765-1852

Malta Border Patrol
P.O. Box 36
Malta, MT 59538
(406) 654-2711

Piegan Port of Entry
Immigration and Naturalization Service
P.O. Box 109
Babb, MT 59411
(406) 732-9297

Del Bonita Port of Entry
Del Bonita Star Route
Cut Bank, MT 59427
(406) 336-2130

Nebraska

Nebraska Service Center
850 S. Street
P.O. Box 82521
Lincoln, NE 68501-2521
(402) 437-5464

Omaha District Office
3736 South 132nd Street
Omaha, NE 68144
(402) 697-9152

Nevada

Las Vegas Mecarren International Airport
5757 Wayne Newton Boulevard
Las Vegas, NV 89111
(702) 388-6024

Boulder City Border Patrol
P.O. Box 60928
Boulder City, NV 89006-0928
(702) 293-8507

Las Vegas Sub-Office
3373 Pepper Lane
Las Vegas, NV 89120
(702) 388-6640

Reno Sub-Office
1351 Coporate Boulevard
Reno, NV 89502
(702) 784-5186

New Hampshire

Pittsburg Port of Entry
Route #3
P.O. Box 277
Pittsburg, NH 03592
(819) 656-2261

New Jersey

Newark District Office
Federal Building
970 Broad Street
Newark, NJ 07102
(201) 645-2298

Newark International Airport
Terminal B, SAT 3
Newark, NJ 07114
(201) 645-6589

New Mexico

Truth or Consequences Border Patrol
P.O. Box 3310
Truth or Consequences, NM 87901
(505) 744-5235

Deming Border Patrol
P.O. Box 230
Deming, NM 88031
(505) 546-9036

Alamagordo Border Patrol
1997 Hwy 54 South
Alamagordo, NM 88310-7377
(505) 437-6960

Columbus Port of Entry
P.O. Box 307, Pershing Road
Columbus, NM 88029
(505) 531-2694, 95, 96

Albuquerque Sub-Office
517 Gold Avenue, S.W.
Room: 1010
P.O. Box 567
Albuquerque, NM 87103
(505) 248-7357

Las Cruces Border Patrol
3120 North Main
Las Cruces, NM 88001
(505) 527-6895

Lordsburg Border Patrol
441 Duncan Highway, P.O. Box 459
Lordsburg, NM 88045
(505) 542-3221

Las Cruces Patrol Training Office
2320 Temple Street
Las Cruces, NM 88001
(505) 524-4730

New York

Service Processing Center
201 Varrick Street, 4th Floor

Appendix IV: Agency Regional and Local Office Addresses

New York, NY 10014
(212) 620-3441

Massena Port of Entry
P.O. Box 195
Roosevelt, NY 13683
(315) 764-0310
(315) 764-0677

Mooers Port of Entry
Border Inspection Station
State Route 22
Mooers, NY 12958
(518) 236-7116

Rouse Point Port of Entry
US Route 9B
Rouse Point, NY 12979
(518) 297-7521

Lewiston Port of Entry
1 Lewiston Queenston Bridge
Lewistown, NY 14092-1980
(716) 285-1676

Fort Covington Port of Entry
Border Inspection Station
Water Street
Fort Covington, NY 12937
(518) 358-2231

Chateaugay Port of Entry
Border Inspection Station
Star Route 374
Chateaugay, NY 12920
(518) 497-6772

John F. Kennedy International Airport
International Arrivals Building #50
Jamaica, NY 11430
(718) 553-1688
(718) 553-1689

New York District Office
26 Federal Plaza
New York, NY 10278
(212) 264-3911

Champlain Border Patrol
P.O. Box 1228
Ridge Road
Champlain, NY 12919
(518) 298-2531

Ogdensburg Border Patrol
127 North Water Street
Ogdensburg, NY 13669
(315) 393-1150/0100

Office of Inspector General
JFK Airport Station
P.O. Box 999
Jamaica, NY 11430
(718) 553-7520

Thousand Island Bridge Port of Entry
U.S. Immigration and Naturalization Service
46735 U.S. Interstate Route 81
Alexandria Bay, NY 13607-9796
(315) 482-2681/2065

Watertown Border Patrol

Post Office Building
P.O. Box 280
153 Arsenal Street
Watertown, NY 13601-0280
(315) 482-7556

Albany Sub-Office
U.S. Post Office & Customhouse
Room 220
445 Broadway
Albany, NY 12207
(518) 431-0339

Cape Vincent Port of Entry
46735 U.S. Int. Route 81
Alexandria Bay, NY 13607-9796
(315) 654-2781, Ext. 8

Buffalo District Office
130 Delaware Avenue
Buffalo, NY 14202
(716) 846-4741, Ext. 8

Champlain Port of Entry
Border Inspection Station
234 West Service Road
Champlain, NY 12919
(518) 298-3221, 8433, or 2526

Burke Border Patrol
P.O. Box 125
Burke, NY 12917-0125
(518) 483-5941

Niagara Falls Border Patrol
1708 Lafayette Avenue
P.O. Box 281
Niagara Falls, NY 14305-0281
(716) 285-6444

Fulton Border Patrol Station
215 South First Street
Room 228
P.O. Box 70
Fulton, NY 13069-0070
(315) 598-8510

Trout River Port of Entry
Trout River
State Route 30
Via Constable, NY 12926
(518) 483-5021

Buffalo Border Patrol Sector Headquarters
U.S. Border Patrol
231 Grand Island Boulevard
Tonawanda, NY 14150-6502
(716) 551-4101

Niagara Falls Port of Entry
Rainbow Bridge
Niagara Falls, NY 14303
(716) 282-3141

North Carolina

Raleigh-Durham International Airport Port of Entry
P.O. Box 80216
Raleigh, NC 27623
(919) 840-5577

Wilmington Port of Entry
Alton Lennon Federal Building
Room 105
2 Princess Street
Wilmington, NC 28401
(910) 343-4876

Charlotte Sub-Office
6 Woodlawn Green, Room 138
Charlotte, NC 28217
(704) 344-6313

North Dakota

Portal Border Patrol
P.O. Box 298
Portal, ND 58772
(701) 926-4111

Carbury Port of Entry
RR #1 Souris, ND
Carbury, ND 58783
(701) 228-2540

Sarles Port of Entry
Immigration and Naturalization Service
P.O. Box 67, Route 1
6 miles from Village of Sarles, ND 58372
(701) 697-5177

Antler Port of Entry
Immigration and Naturalization Service
RRI - Box 7
Antler, ND 58711
(701) 267-3321

Fortuna Port of Entry
Immigration and Naturalization Service
Hwy 85N, P.O. Box #37
Fortuna, ND 58844
(701) 834-2493

Neché Port of Entry
Hwy 18
Neché, ND 58265
(701) 886-7744

Pembina Port of Entry
Rural Route 1, P.O. Box 110
Noyes Pembina, ND 58271
(701) 825-6722

Walhalla Port of Entry
RR2, Box 145
Walhalla, ND 58282-9459
(701) 549-3233

Noonan Port of Entry
Noonan, ND 58765
(701) 926-5615

Ambrose Port of Entry
Immigration and Naturalization Service
State Highway 42
Rt. HC-1 Box 202
Ambrose, ND 58833
(701) 982-3211

Dunseith Port of Entry
Immigration and Naturalization Service
R.R. 1, Box 117
Dunseith, ND 58329

Appendix IV: Agency Regional and Local Office Addresses

(701) 263-4513, 4460

Grand Forks International Airport
RR #2, Box 72
2787 Airport Drive
Highway 2 West
Grand Forks, ND 58203
(701) 772-3301

Hansboro Port of Entry
Box 237
Hansboro, ND 58339
(701) 266-5633

Maida Port of Entry
Immigration and Naturalization Service
Hwy #1
Maida, ND 58255
(701) 256-5087

Northgate Port of Entry
Flaxton, ND 58737
(701) 596-3805

Hannah Port of Entry
Hannah, ND 58239
(701) 283-5271

Bottineau Border Patrol
P.O. Box 6
Bottineau, ND 58318
(701) 228-3179

Sherwood Port of Entry
Rural Route 2, Box 1D
Sherwood, ND 58782
(701) 459-2250

St. John Port of Entry
Box 15, Rt. 1
St. John, ND 58369
(701) 447-3140

Grand Forks Border Patrol Sector Headquarters
P.O. Box 12669 Zip: 58208-2669
2320 S. Washington Street
Grand Forks, ND 58201
(701) 775-6259

Portal Port of Entry
P.O. Box 8
Portal, ND 58772
(701) 926-4221

Ohio

Cleveland District Office
Anthony J. Celebreeze Federal Building
1240 E. 9th Street, Room 1917
Cleveland, OH 44199
(216) 522-4766

Toledo Port of Entry
Federal Office Building, Room 713
234 Summit Street
Toledo, OH 43604-1536
(419) 259-6474

Oklahoma

Oklahoma Sub-Office
4149 Highline Boulevard, Suite 300
Oklahoma City, OK 73108

(405) 231-5928

Oregon

Portland District Office
511 N.W. Broadway
Portland, OR 97209
(503) 326-3962

Roseburg Border Patrol
1036 S.E. Douglas
P.O. Box 1728
Roseburg, OR 97470
(503) 440-4518

Coos Bay Port of Entry
P.O. Box 209
Coos Bay, OR 97420
(503) 242-4785

Astoria Port of Entry
P.O. Box 236
Astoria, OR 97103
(503) 325-3054

Portland International Airport
P.O. Box 55067
Portland, OR 97238-5067
(503) 326-3409

Pennsylvania

Philadelphia District Office
1600 Callowhill Street
Philadelphia, PA 19130
(215) 656-7150

Pittsburgh Sub-Office
INS/Department of Justice
2130 Federal Building
1000 Liberty Avenue
Pittsburgh, PA 15222
(412) 395-4457

Puerto Rico

San Juan District Office
U.S. Immigration and Naturalization Service
P.O. Box 365068
San Juan, PR 00936
(787) 766-5514

Deferred Inspections
U.S. Federal Building
Room 380
Chardon Street
Hato Rey, PR 00918
(809) 766-5000

Aquadilla Service Processing Center
P.O. Box 250480
Aquadilla, PR 00604-0480
(787) 882-3565/3567/3568

Mayaguez Port of Entry
U.S. Immigration and Naturalization Service
P.O. Box 2990, Marina Station
Mayaguez, PR 00709-2990
(809) 831-3440

San Juan International Airport
P.O. Box 37900
Isle Verde, PR 00937-0900

(809) 253-4525

Ponce Port of Entry
Immigration and Naturalization Service
P.O. Box 173
Playa Station
Ponce, PR 00734-3173
(809) 843-3220

District Director's Office
Immigration and Naturalization Service
New Federal Building, 3rd Floor
Carlos Chardon Street
Hato Rey, PR 00918

Rhode Island

Providence Sub-Office
200 Dyer Street
Providence, RI 02903-3993
(401) 528-5528, 5323

South Carolina

Charleston Port of Entry
Federal Building, Room 110
334 Meeting Street
Charleston, SC 29403
(803) 727-4421

Tennessee

Memphis Sub-Office
245 Wagner Place
Suite 250
Memphis, TN 38103-3815
(901) 544-4108

Texas

Port Isabel Port of Entry
Alien Processing Center (PIC)
Route 3, Box 341
Buena Vista Road
Los Fresnos, TX 78566
(210) 233-4431

Progreso Port of Entry
International Bridge Route 2, Box 600
Westlaco, TX 78596
(210) 565-6304

Dallas Border Patrol
2800 South Pipeline Road
Euless, TX 76040
(817) 540-0150

Midland Border Patrol Station
P.O. Box 60405
Midland, TX 79711
(915) 561-8911

Comstock Border Patrol
P.O. Box 700, Highway 85 East
Comstock, TX 78837
(915) 292-4450, 4680

Corpus Christi Border Patrol
6809 Leopard Street
P.O. Box 10344
Corpus Christi, TX 78460-0344
(512) 289-0552

Marfa Border Patrol Sector Headquarters

Appendix IV: Agency Regional and Local Office Addresses

P.O. Box 1
300 Madrid Street
Marfa, TX 79843
(915) 729-4353

Harlingen Border Patrol
901 Rangerville Road
P.O. Box 642
Harlingen, TX 78551
(956) 427-8511

Brackettville Border Patrol
P.O. Box 216
802 West Spring Street
Brackettville, TX 78832
(830) 563-2477

Hidalgo Port of Entry
International Bridge
Hidalgo, TX 78557-3019
(210) 843-2201, 2202

San Antonio International Airport
9800 Airport Boulevard
Terminal No. 1
San Antonio, TX 78216
(210) 826-6261

Port Arthur Port of Entry
4550 Jimmy Johnson Boulevard, Suite 3
Port Arthur, TX 77642
(409) 727-3375

Mercedes Border Patrol
P.O. Box 125
623 International Boulevard
Mercedes, TX 78570
(956) 968-0602

Rio Grande City Port of Entry
International Bridge, P.O. Box 278
Roma, TX 78584
(210) 487-2200 or
(210) 849-1676

Brownsville Border Patrol
P.O. Box 5076
Brownsville, TX 78520
(956) 542-3585

Gateway to the American International Bridge #1
100 Convent Street
Laredo, TX 78040
(210) 722-2484

McAllen Border Patrol
4201 W. Military Highway
McAllen, TX 78503
(956) 618-8163

Amarillo Border Patrol
P.O. Box 2287
Amarillo, TX 79105
(806) 324-2278

San Antonio District Office
8940 Four Winds Drive
San Antonio, TX 78239
(210) 967-7109

Juarez-Lincoln International Bridge #2
700 Zaragoza Street, Building #3
Laredo, TX 78042
(512) 722-5440

Fabens Border Patrol
802 East Main
P.O. Box 908
Fabens, TX 79838
(915) 764-2417

Rocksprings Border Patrol P.O. Box 576
Rocksprings, TX 78880
(830) 683-2255

Port Isabel Service Processing Center
Route 3, Box 341
Los Fresnos, TX 78566
(210) 548-2530

Galveston Port of Entry
P.O. Box 388
Galveston, TX 77550
(409) 766-3581

Dallas Forth Worth Port of Entry
P.O. Box 610365
Dallas-Fort Worth, TX 75261
(214) 574-2141

Falfurrias Border Patrol
P.O. Box 479
Falfurrias, TX 78355
(512) 325-5616

El Paso District Office
1545 Hawkins, Suite 167
El Paso, TX 79925
(915) 540-1700, 1701

Dallas District Office
8101 North Stemmons Freeway
Dallas, TX 75247
(214) 655-3011

Presidio Port of Entry
International Bridge, P.O. Box 937
Presidio, TX 79845
(915) 229-3265, 3663

El Paso Border Patrol Sector Headquarters
8901 Montana Ave., P.O. Box 9578
El Paso, TX 79986
(915) 834-8350

Sanderson Border Patrol
P.O. Box 628
Sanderson, TX 78268
(915) 345-2972

Fort Hancock Border Patrol
P.O. Box 218
Fort Hancock, TX 79839
(915) 769-3978

Carrizo Springs Border Patrol
P.O. Box 194
Highway 85 East
Carrizo Springs, TX 78834
(830) 876-3557

Houston Intercontinental Airport
P.O. Box 60457
Houston, TX 77205
(713) 233-3700

Brownsville International Airport
Brownsville, TX 78520
(210) 542-8296

Austin Sub-Office
3708 South Second Street
P.O. Box 6496
Austin, TX 78704
(512) 482-7901, 7904

El Paso Port of Entry
Paso Del Norte Bridge
El Paso, TX 79901
(915) 534-6767

Fort Hancock Port of Entry
P.O. Box 235
Fort Hancock, TX 79839
(915) 769-3810

Laredo Administrative Offices, Building #2
700 Zaragoza Street
P.O. Box 179
Laredo, TX 78042-0179
(210) 722-3283

San Angelo Border Patrol
P.O. Box 61106
San Angelo, TX 76906
(915) 949-0139

Big Bend Park Border Patrol
P.O. Box 67
Big Bend, TX 79834
(915) 477-2287

McAllen Border Patrol Sector Headquarters
Mail: P.O. Box 1179 Zip Code 78502
2301 South Main Street
McAllen, TX 78503
(210) 686-5496

Falcon Heights Port of Entry
International Dam, P.O. Box 278
Roma, TX 78584
(210) 848-5221

Los Ebanos Port of Entry
International Ferry
P.O. Box 399
Los Ebanos, TX 78565
(512) 485-2721

Pharr Port of Entry
9910 S. Cage Boulevard, Suite C
Pharr, TX 78557-9723
(956) 783-4739, 4758

San Antonio Border Patrol
5000 North Industrial Drive
San Antonio, TX 78268
(210) 521-7926

Processing Center
8915 Montana Avenue
El Paso, TX 79925
(915) 225-1941

Office of Inspector General
3 Butterfield Trail Boulevard
Suite 120
El Paso, TX 79906

Appendix IV: Agency Regional and Local Office Addresses

(915) 534-7370

Abilene Border Patrol
P.O. Box 3076
555 Walnut Street
Abilene, TX 79604-3076
(915) 673-3010

Kingsville Border Patrol
Navel Air Station, Building 3731
Kingsville, TX 78363
(512) 592-3284

Hebbronville Border Patrol
802 North Sigrid Street
Hebbronville, TX 78361
(512) 527-3256

Intelligence Center
11339 SSG Sims Street
El Paso, TX 79908-8098
(915) 760-2031

Lubbock Border Patrol
P.O. Box 1632, 1205 Texas Avenue
Lubbock, TX 79408
(806) 472-7355

Port Arthur Port of Entry
2875 75th Street, Room 106
Port Arthur, TX 77640
(409) 727-3375

Rio Grand City Border Patrol
2230 East Highway
P.O. Box 481
Rio Grand City, TX 78582
(956) 487-2700

Port Isabel Border Patrol
Route 3, Box 340
Los Fresnos, TX 78566
(956) 233-9554

Uvalde Border Patrol
Industrial Park #30
Uvalde, TX 78801
(830) 278-7133

Fabens Port of Entry
P.O. Box 908
Fabens, TX 79838
(915) 764-2419

Van Horn Border Patrol
700 N.W. Access Road
P.O. Box 368
Van Horn, TX 79854
(915) 283-2795

Amistad Dam Port of Entry
P.O. Box 4060
Del Rio, TX 78841-4060
(210) 775-7213

Laredo Border Patrol Sector Headquarter
207 W. Del Mar Boulevard
Laredo, TX 78041
(956) 723-4367

Laredo South Border Patrol Station
Rural Route 3, P.O. Box USBP-SLS/L
Laredo, TX 78043

(210) 727-0644

Del Rio Border Station
P.O. Box 2020, Qualia Drive
Del Rio, TX 78841-2020
(830) 703-2100

Alpine Border Patrol
P.O. Box 958
Alpine, TX 79831
(915) 837-3550

Freer Border Patrol
P.O. Box "W"
Freer, TX 78357
(512) 394-7613

Cotulla Border Patrol
692 Main Street
Cotulla, TX 78014
(830) 879-3051

Presidio Border Patrol
P.O. Box 929
Presidio, TX 79845
(915) 229-3330

Fort Stockton Border Patrol
P.O. Box 607
Fort Stockton, TX 79735
(915) 336-2468

Del Rio Border Patrol Sector Headquarters
P.O. Box 2020
Del Rio, TX 78841-2020
(210) 703-2100

Zapata Border Patrol
Lot C-2015
U.S. Hwy 83 South
P.O. Box 685
Zapata, TX 78076-0685
(210) 765-6395

Eagle Pass Port of Entry
International Bridge, P.O. Box 4280
Eagle Pass, TX 78852
(210) 773-9205, 9206

Harlingen District Office
2102 Teege Road
Harlingen, TX 78550
(210) 427-8691

Llano Border Patrol
P.O. Box 134, 211 East Tarrant
Llano, TX 78643
(915) 247-4912

Brownsville Port of Entry
1500 East Elizabeth Street, Room 203
Brownsville, TX 78520
(210) 546-1675

Utah

5272 South College Drive, Suite 100
Salt Lake City, UT 84123
(801) 265-8807

Vermont

Norton Port of Entry
Border Inspection Station

State Route 114
P.O. Box 117
Norton, VT 05907
(802) 822-5222

West Berkshire Port of Entry
RFD #1 Box 1110
Enosburg Falls, VT 05450
(802) 933-2301

St. Albans Sub-Office
Federal Building
P.O. Box 328
St. Albans, VT 05478
(802) 527-3191

Beecher Falls Port of Entry
Border Inspection
Route 102
Beecher Falls, VT 05902
(802) 266-3320

Beebe Plain Port of Entry
Beebe Plain, VT 05823
(802) 873-3151

Highgate Springs Port of Entry
Border Inspection Station
U.S. Route 7
RFD Swanton, VT 05488
(802) 868-3349

Pinnacle Port of Entry
R.D. #1, Box 300
Richford, VT 05476
(802) 848-3319

Derby Line Port of Entry
Route 91
P.O. Box 367
Derby Line, VT 05830
(802) 873-3316

Richford Border Patrol
Main Street
P.O. Box 67
Richford, VT 05476
(802) 848-7713

Alburg Springs Port of Entry
Alburg Springs, RR#2
Alburg, VT 05440
(802) 796-3704

Eastern Region Operations
70 Kimball Avenue
South Burlington, VT 05403-6813
(802) 660-5000

Eastern Service Center
75 Lower Welden Street
St. Albans, VT 05479-0001
(802) 527-3100

Newport Border Patrol
P.O. Box 815
Newport, VT 05855
(802)334-6722

Beecher Falls Border Patrol
P.O. Box 215
24 hours

Appendix IV: Agency Regional and Local Office Addresses

Beecher Falls, VT 05902
(802) 266-3035

Administrative Center
70 Kimball Avenue
South Burlington, VT 05403-6813
(802) 660-1111

Richford Port of Entry
Border Inspection Station
RFD #1, Box 40
Richford, VT 05476
(802) 848-7766

Morses Line Port of Entry
RFD #1
Morses Line, VT 05457
(802)285-2214

North Troy Port Of Entry
State Route 105
North Troy, VT 05859
(802) 988-2633

Swanton Border Patrol Sector Headquarters
Grand Ave
P.O. Box 705
Swanton, VT 05488
(802) 868-3361

East Richford Port of Entry
RFD #1
P.O. Box 1690
East Richford, VT 05476
(802) 848-3001

Swanton Border Patrol Station
Route 78
P.O. Box 209
24 Hours
Swanton, VT 05488
(802) 868-3229/3320

Alburg Port of Entry
U.S. Border Station
State Route 225
Alburg, VT 05440
(802)796-3703

Canaan Port of Entry
Border Inspection Station
State Route 114
P.O. Box 129
Canaan, VT 05903
(802) 266-8994

Eastern Form Center
P.O. Box 567
Williston, VT 05495-0567
(802) 951-6225

Virgin Islands

Cruz Bay Port of Entry
P.O. Box 450
Cruz Bay
St. John, VI 00831
(340) 776-6390

Christiansted St. Croix, Port of Entry
P.O. Box 1270 Kingshill
Christiansted St. Croix, VI 00851

(809) 778-6559/6300

Charlotte Amalie, St. Thomas, VI Sub-Office
Nisky Center, First Floor, Suite 1A
Charlotte Amalie St. Thomas, VI 00801
(809) 774-1390

Virginia

Norfolk Sub-Office
5Norfolk Federal Building
200 Granby Mall, Room 439
Norfolk, VA 23510-1882
(804) 441-3095

Washington District Office
4420 North Fairfax Drive
Arlington, VA 22203
(202) 307-1504

Washington

Nighthawk Port of Entry
Star Route
Loomis, WA 98827
(509) 476-2125

Lynden Border Patrol
8334 Guide Meridian Road
P.O. Box 708
Lynden, WA 98264
(360) 354-4118

Friday Harbor Port of Entry
202 Front Street North
Staff by Customs
P.O. Box 1907
Friday Harbor, WA 98250
(206) 378-2080

Wenatchee Border Patrol
93 Eastmont, McQuaig Bldg.
P.O. Box 1825
Wenatchee, WA 98807
(509) 884-8893

Spokane Border Patrol
N. 10710 Newport Highway
Spokane, WA 99218

Seattle Sea Inspections
815 Airport Way South
Seattle, WA 98134
(206) 553-1246

Laurier Port of Entry
Immigration and Naturalization Service
Highway 395
P.O. Box 40
Laurier, WA 99146
(509) 684-2100

Seattle District Office
815 Airport Way South
Seattle, WA 98134
(206) 553-0070

Sumas Port of Entry
109 Cherry Street
P.O. Box 99
Sumas, WA 98295
(360) 988-4781

Port Townsend Port of Entry
Staffed by Customs)
1322 Washington
P.O. Box 951
Port Townsend, WA 98368-0004
(360) 385-3777

Longview Port of Entry
P.O. Box 1027
Longview, WA 98632
(206) 423-5550

Bellingham Port of Entry
104 W. Magnolia and Cornall Street, Room 207
P.O. Box 2055
Bellingham, WA 98227
(360) 676-8411 (Downtown)
(360) 734-3520 (Airport)

Vancouver-BC Candada
P.O. Box 450
Point Roberts, WA 98281-0450
(604) 278-3360, 3986, 2520

Boundary Port of Entry
5338 North Port Juanita Road
Colville, WA 99114
(509) 732-4470

Oroville Border Patrol
1105 Main Street
P.O. Box 99
Oroville, WA 98844-9726
(509) 476-3622

Lynden Port of Entry
9949 Guide Meridian Road
Lynden, WA 98264
(206) 354-6661

Blaine Port of Entry
Immigration and Naturalization Service
Peace Arch POE
100 Peace Portal Drive
Blaine, WA 98230
(360) 332-8511

Blaine Border Patrol
1580 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-4205

Oroville Port of Entry
Route 1, Box 130
Oroville, WA 98844
(509) 476-2454, 3132

Spokane Airport
691 U.S. Courthouse Building
Spokane, WA 99201
(509) 353-4699

Ferry Port of Entry
3559 Toroda Customs Bridge Road
Curlew, WA 99118-9715
(509) 779-4655 (Customs)

Bellingham Border Patrol
2745 McLeod Rd.
P.O. Box 31428

Appendix IV: Agency Regional and Local Office Addresses

Bellingham, WA 98228-3428
(360) 733-8420

Frontier Port of Entry
Immigration and Naturalization Service
4939 Highway 25 North
Northport, WA 99157
(509) 732-4418

Port Angeles Border Patrol
138 West First Street
Port Angeles, WA 98362
(360) 452-5970

Danville Port of Entry
19130 North Highway 21
Danville, WA 99121-9704
(509) 779-4860

Tacoma Port of Entry
3600 Port Tacoma Road
Suite 303
P.O. Box 1296
Tacoma, WA 98401
(206) 922-0848

Yakima Port of Entry
417 E. Chestnut
P.O. Box 49
Yakima, WA 98907
(509) 575-5944

Blaine Border Patrol Sector Headquarters
1590 H Street
P.O. Box 3529
Blaine, WA 98231
(360) 332-7707, 7919

Spokane Sub-Office
619 U.S. Courthouse Building
Spokane, WA 99201
(509) 353-2761

Colville Border Patrol
209 East Juniper Ave.
P.O. Box 146
Colville, WA 99114
(509) 684-6272

Pacific Highway Port of Entry
9950 Pacific Highway
Blaine, WA 98230
(360) 332-6091, 7237

Seattle Tacoma International Airport
S. 178 and Pacific Highway
Seattle, WA 98158
(206) 553-0466, 2299

Metaline Falls Port of Entry
P.O. Box 631
Metaline Falls, WA 99153
(509) 446-2572

Washington, DC

Dulles International Airport
P.O. Box 17775
Washington, DC 20041
(703) 661-5100

Immigration and Naturalization Service
Headquarters

425 I Street, NW
Washington, DC 20536
(202) 514-4316

Wisconsin

Milwaukee Sub-Office
Federal Building, Room 186
517 East Wisconsin Avenue
Milwaukee, WI 53202
(414) 297-3161

DEPARTMENT OF LABOR

DIVISION OF COAL MINE WORKERS'
COMPENSATION

Colorado

(Western United States)
Kevin Peterson, DD
1801 California Street, Suite 925
Denver, CO 80202-2614
(720) 264-3100

Kentucky

(Alabama, Florida, Georgia, Mississippi, North
Carolina, South Carolina, Tennessee)
Brenda Jamison, SCE
402 Campbell Way
Spring Street
Mt. Sterling, KY 40353
(1-800-366-4628)

Harry Skidmore, DD
334 Main Street, 5th Floor
Pikeville, KY 41501
(1-800-366-4599)

Ohio

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
Donald Dopps, Jr., DD
1160 Dublin Road, Suite 300
Columbus, OH 43215
(1-800-347-3771)

Pennsylvania

(Western Pennsylvania and Maryland)
Colleen Smalley, DD
1225 South Main Street
Wellington Square, Suite 405
Greensburg, PA 15601
(1-800-347-3753)

(Central Pennsylvania and Virginia)
Stuart Glassman, DD
Penn Traffic Building, 2nd Floor
319 Washington Street
Johnstown, PA 15901
(1-800-347-3754)

(Connecticut, Delaware, District of Columbia,
Eastern Pennsylvania, Maine, Massachusetts, New
Hampshire, New Jersey, New York, Rhode Island,
Vermont)
Maribeth Girton, DD
S. Main Towers
105 North Main Street, Suite 100
Wilkes-Barre, PA 18701

(1-800-347-3755)

West Virginia

(Northern West Virginia)
Loretta Bird, Supervisory CE
Federal Building, Suite 3116
425 Juliana Street
Parkersburg, WV 26101
(1-800-347-3751)

(Southern West Virginia)
Robert Hardesty, DD
Charleston Federal Center
500 Quarrier Street, Suite 110
Charleston, WV 25301
(1-800-347-3749)

DIVISION OF FEDERAL EMPLOYEES
COMPENSATION

Region I-Boston

Michael Harvill, DD
JFK Federal Building, Room E-260
Boston, MA 02203
(617) 565-2129

Region II-New York

Jonathan Lawrence, DD
201 Varick Street, Room 750
New York, NY 10014
(212) 337-2044

Region III-Philadelphia

William J. Staarman, DD
Curtis Center, Suite 715 East
170 South Independence Mall West
Philadelphia, PA 19106-3308
(215) 861-5481

Region IV-Jacksonville

William C. Franson, DD
214 North Hogan Street, Suite 1006
Jacksonville, FL 32202
(904) 357-4725

Region IX-San Francisco

Sharon Tyler, DD
71 Stevenson Street, Suite 305
San Francisco, CA 94105
(415) 975-4162

Region V-Chicago

Robert Sullivan, DD
1240 East Ninth Street, Room 851
Cleveland, OH 44199
(216) 522-2092

Johnny Dawkins, DD
230 Dearborn Street, 8th Floor
Chicago, IL 60604
(312) 596-7133

Region VI-Dallas

Frances Memmolo, DD
Federal Building
525 South Griffin Street, Room 100
Dallas, TX 75202
(214) 767-2580

Appendix IV: Agency Regional and Local Office Addresses

Region VII-Kansas City

Charles O. Ketcham, Jr, DD
City Center Square Building
1100 Main Street, Suite 750
Kansas City, MO 64105
(816) 426-2195

Region VIII-Denver

Shirley Bridge, DD
1801 California Street, Suite 915
Denver, CO 80202
(720) 264-3007

Region X - Seattle

Doris Carender, DD
Federal Office Building
1111 Third Avenue, Suite 615
Seattle, WA 98101-3212
(206) 553-5255

Washington

LONGSHORE AND HARBOR WORKERS' COMPENSATION

California

(District 18: Southern California)
Eric Richardson, DD
401 E. Ocean Boulevard, Suite 720
Long Beach, CA 90802
(562) 980-3578

(District 13: Arizona, Northern California,
Nevada)

Philip Williams, DD
71 Stevenson Street, Room 1705, MA:
P.O. Box 3770
San Francisco, CA 94119-3770
(415) 975-4274

Florida

(District 6: Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)

Chris Gleasman, DD
214 N. Hogan Street, Suite 1040
Jacksonville, FL 32202
(904) 357-4757

Hawaii

(District 15: Hawaii, Pacific Area to 60 east
longitude)

Vacant
300 Ala Moana Boulevard, Room 5-135
P.O. Box 50209
Honolulu, HI 96850
(808) 541-1983

Illinois

(District 10: Illinois, Indiana, Michigan,
Minnesota, Ohio, Wisconsin, Iowa, Missouri,
Nebraska, Kansas)

Thomas C. Hunter, DD
230 South Dearborn Street, Room 578
Chicago, IL 60604
(312) 596-7153

Louisiana

(District 7: Arkansas, Louisiana)
Michael Brewer, DD
701 Loyola Avenue, Room 13032
New Orleans, LA 70113
(504) 589-2671

Maryland

(District 4: District of Columbia, Maryland)
John McTaggart, Acting DD
31 Hopkins Plaza, Room 410-B
Baltimore, MD 21201
(410) 962-3677

Massachusetts

(District 1: Connecticut, Maine, Massachusetts,
New Hampshire, Rhode Island, Vermont)
Marcia Finn, DD
JFK Federal Building, Room E-260
Boston, MA 02203
(617) 565-2103

New York

(District 2: New Jersey, New York, Puerto Rico,
Virgin Islands)
Richard Robilotti, DD
201 Varick Street, Room 750
P.O. Box 249
New York, NY 10014-0249
(212) 337-2030

Pennsylvania

(District 3: Delaware, Pennsylvania, West
Virginia)
John McTaggart, DD
Curtis Center, Suite 790 West
170 South Independence Mall West
Philadelphia, PA 19106-3313
(215) 861-5459

Region X - Seattle

Texas

(District 8: Oklahoma, New Mexico, Texas)
Charles Lee
8866 Gulf Freeway, Suite 140
Houston, TX 77017
(713) 943-1605

Virginia

(District 5: Virginia)
Basil Voultides, DD
200 Granby Mall
Room 212
Norfolk, VA 23510
(757) 441-3071

Washington

(District 14: Alaska, Colorado, Idaho, Oregon,
Montana, North Dakota, South Dakota, Utah,
Washington, Wyoming)
Karen Staats, DD
1111 3rd Avenue, Suite 620

Seattle, WA 98101-3212
(206) 553-4471

Alabama

Linda Henderson
Medical Forum Building
950 22nd St North, Suite 660
Birmingham, AL 35203
(205) 731-0820

Arizona

Sarah Nelson
3221 N. 16th St., Suite 303
Phoenix, AZ 85016
(602) 640-2960

Arkansas

Joel Maltbia
TCBY Tower, Suite 735
425 West Capitol Avenue
Little Rock, AR 72201
(501) 324-5436

California

Hector Sanchez
34 Civic Center Plaza, Suite 406
P.O. Box 12800
Santa Ana, CA 92712
(714) 836-2784

Vacant

Federal Building
11000 Wilshire Boulevard, Suite 8103
Los Angeles, CA 90024
(310) 235-6800

Donna Onodera, RD
U.S. Department of Labor, ESA/OWCP
71 Stevenson Street, Suite 1705
San Francisco, CA 94105
(415) 975-4160

Angel Luevano
1301 Clay Street, Suite 1080N
Oakland, CA 94612
(510) 637-2938

Vacant

5675 Ruffin Road, Suite 320
San Diego, CA 92123
(619) 557-6489

Alice Young
60 South Market Street, Suite 410
San Jose, CA 95113
(408) 291-7384

California/Los Angeles

Billy F. Beaver
1055 E. Colorado Boulevard
Suite 200
Pasadena, CA 91106
(626) 229-1000 (626) 229-1098 FAX

Colorado

Robert Mansanares, RD
U.S. Department of Labor, ESA/OWCP
1801 California Street, Suite 920

Appendix IV: Agency Regional and Local Office Addresses

Denver, CO 80202-2614
(720) 264-3160

Connecticut

Jacqueline Currie
135 High Street, Room 311
Hartford, CT 06103
(860) 240-4277

District of Columbia

Betty Sledd-Stewart
Riddell Building, Suite 422
1730 K Street, N.W.
Washington, DC 20006
(202) 254-2501

Florida

Celso Peruyera
Brickell Plaza Federal Building
909 S.E. 1st Avenue, Suite 722
Miami, FL 33131
(305) 536-5670

Ronald Bailey
1851 Executive Center Drive, Suite 200
Jacksonville, FL 32207
(904) 232-3073

Alice Webster
Commodore Building, Suite 160
3444 McCrory Place
Orlando, FL 32803
(407) 648-6181

Nancy Ricker, RD
U.S. Department of Labor, ESA/OWCP
214 North Hogan Street, Suite 1026
Jacksonville, FL 32202
(904) 357-4725

Georgia

Millard Rutherford
61 Forsyth Street., Room 7B65
Atlanta, GA 30303
(404) 562-2444

Hawaii

Trent Williams
300 Ala Moana Boulevard, Room 7326
P.O. Box 50149
Honolulu, HI 96850
(808) 541-2933

Illinois

Nancy Jenson, Acting RD
U.S. Department of Labor, ESA/OWCP
230 South Dearborn Street, Room 800
Chicago, IL 60604
(312) 596-7131

Patrick Nutter
230 South Dearborn, Room 434
Chicago, IL 60604
(312) 596-7046

Indiana

Phillip M. Stepteau
429 N. Pennsylvania St., Room 308

Indianapolis, IN 46204
(317) 226-5860

Kentucky

Carmen Rendon
Mazzoli Federal Building, Room 185
600 Martin Luther King Place
Louisville, KY 40202
(502) 582-6275

Louisiana

Elizabeth Laiche
701 Loyola Avenue, Room 13029
New Orleans, LA 70113
(504) 589-6575

Maryland

Victor S. Rodriguez
Appraisers' Stores Building
103 South Gay Street, Room 202
Baltimore, MD 21202
(410) 962-3572

Massachusetts

Roger O'Campo
JFK Federal Building, Room E-235
Boston, MA 02203
(617) 565-2055

Dorothy Reed, Deputy Regional Director
U.S. Department of Labor, ESA/OWCP
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Appendix IV: Agency Regional and Local Office Addresses

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EMPLOYMENT TRAINING ADMINISTRATION

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Appendix IV: Agency Regional and Local Office Addresses

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Appendix IV: Agency Regional and Local Office Addresses

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(Alabama, Florida, Georgia, Kentucky,

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MINE SAFETY AND HEALTH ADMINISTRATION

COAL MINE SAFETY AND HEALTH

Alabama

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and counties of Alabama and Georgia south and
west of the District 7 boundary indicated above)
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Colorado

(District No. 9: All States west of the Mississippi
River, except for Minnesota, Iowa and all counties
of Missouri south of the Missouri River; including
Alaska and Hawaii) Federal Region VIII
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Indiana

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Missouri north of the Missouri River) Federal
Region V
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Kentucky

(District No. 6: counties of Kentucky east of and
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Menifee, Morgan, Magoffin, Floyd, Pike, Letcher)
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Billy Fatch
159 North Mayo Trail
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(District No. 10: counties of Kentucky west of and
including Gallatin, Owen, Franklin, Anderson,
Mercer, Boyle, Casey, Russell And Cumberland)
Federal Region IV
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(District No. 7: Coal Mine Safety and Health:
North Carolina, South Carolina, Tennessee,
counties of Alabama north and east of and
including Jackson, Marshall, Etowah, and
Cherokee, counties of Georgia north of and
including Polk, Bartow, Cherokee, Fors
Joseph W. Pavlovich
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Barbourville, KY 40906
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Pennsylvania

(District No. 1: Maine, New Hampshire, Vermont,
Massachusetts, Rhode Island, Connecticut, New
York, New Jersey, Delaware, and counties of
Pennsylvania east of and including Susquehanna,
Sullivan, Columbia, Montour, Northumberland,
Dauphin, York) Federal Region III
Frank Strunk
The Stegmaier Building, Suite 034
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(District No. 2: counties of Pennsylvania west of
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Snyder, Juniata, Perry, Cumberland, Adams)

Appendix IV: Agency Regional and Local Office Addresses

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Virginia

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West Virginia

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(District No. 4: counties of West Virginia south of and including Mason, Putnam, Kanawha, Clay, Nicholas, Webster, Greenbrier, Pocahontas)

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METAL AND NONMETAL MINE SAFETY AND HEALTH

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2900 4th Avenue, North, Suite 303
Billings, MT 59101
(406) 247-7494

Nebraska

Area Director
Overland-Wolf Building, Room 100
6910 Pacific Street
Omaha, NE 68106
(402) 221-3182

Nevada

Resource Center
Federal Building, Room 204
705 North Plaza
Carson City, NV 89701
(775) 885-6963

New Hampshire

Area Director
55 Pleasant Street, Room 3901
Concord, NH 03301
(603) 225-1629

New Jersey

Area Director
Marlton Executive Park
701 Route 73 South, Building 2, Suite 120
Marlton, NJ 08053
(856) 757-5181

Area Director
500 Route 17 South, 2nd Floor
Hasbrouck Heights, NJ 07604
(201) 288-1700

Area Director
Plaza 35, Suite 205

Appendix IV: Agency Regional and Local Office Addresses

1030 St. Georges Avenue
Avenel, NJ 07001
(732) 750-3270

Area Director
299 Cherry Hill Road, Suite 304
Parsippany, NJ 07054
(973) 263-1003

New Mexico

Area Director
Western Bank Building
505 Marquette Avenue, N.W., Suite 820
Albuquerque, NM 87102
(505) 248-5302

New York

Area Director
660 White Plains Road, 4th Floor
Tarrytown, NY 10591-5107
(914) 524-7510

Area Director
42-40 Bell Boulevard
Bayside, NY 11361
(718) 279-9060

Area Director
1400 Old Country Road, Suite 208
Westbury, NY 11590
(516) 334-3344

Area Director
3300 Vickery Road
North Syracuse, NY 13212
(315) 451-0808

Area Director
201 Varick Street, Room 905
New York, NY 10014
(212) 620-3200

Area Director
5360 Genesee Street
Bowmansville, NY 14026
(716) 684-3891

Area Director
John Tomich Federal Building
401 New Karner Road, Suite 300
Albany, NY 12205-3809
(518) 464-4338

North Carolina

Area Director
Century Station, Federal Building, Room 438
300 Fayetteville Mall
Raleigh, NC 27601-9998
(919) 856-4770

North Dakota

Area Director
Federal Office Building
1640 East Capitol Avenue
Bismarck, ND 58501
(701) 250-4521

Ohio

Area Director

Federal Office Building, Room 899
1240 East Ninth Street
Cleveland, OH 44199
(216) 522-3818

Area Director
Federal Office Building, Room 4028
36 Triangle Park Drive
Cincinnati, OH 45246
(513) 841-4132

Area Director
Ohio Building
420 Madison Avenue, Suite 600
Toledo, OH 43604
(419) 259-7542

Area Director
Federal Office Building
200 North High Street, Room 620
Columbus, OH 43215
(614) 469-5582

Oklahoma

Area Director
55 N. Robinson, Suite 315
Oklahoma City, OK 73102
(405) 278-9560

Oregon

Area Director
1220 Southwest Third Avenue, Room 640
Portland, OR 97204
(503) 326-2251

Pennsylvania

Area Director
Federal Building, Room 1428
1000 Liberty Avenue
Pittsburgh, PA 15522-4101
(412) 395-4903

Area Director
3939 West Ridge Road, Suite B-12
Erie, PA 16506
(814) 833-5758

Area Director
850 North 5th Street
Allentown, PA 18102
(610) 776-0592

Area Director
U.S. Customs House, Room 242
Second and Chestnut Streets
Philadelphia, PA 19106
(215) 597-4955

Area Director
49 North Progress Avenue
Progress Plaza
Harrisburg, PA 17109
(717) 782-3902

Area Director
7 North Wilkes-Barre Boulevard
Suite 410
Wilkes-Barre, PA 18702
(570) 826-6538

Puerto Rico

Area Director
BBV Plaza Building
1510 F.D. Roosevelt Avenue, Suite 5B
Guaynabo, PR 00968
(787) 277-1560

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Marthe Kent, Regional Administrator
Department of Labor, OSHA
JFK Federal Building, Low Rise Building, Room E-340
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Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Robert Kulick, Regional Administrator
Department of Labor, OSHA
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New York, NY 10014 United States
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Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
John Hermanson, Regional Administrator
The Curtis Center - Suite 740 West
170 Independence Mall West
Philadelphia, PA 19106-3309 United States
(215) 861-4900

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Cindy Coe, Regional Administrator
61 Forsyth Street S.W., Room 6T50
Atlanta, GA 30303 United States
(404) 562-2300

Region IX

(Arizona, California, Commonwealth of Northern Mariana Islands, Guam, Hawaii, and Nevada)
Ken Atha, Regional Administrator
Department of Labor, OSHA
71 Stevenson Street, Room 420
San Francisco, CA 94105 United States
(415) 975-4310

Region V

(Illinois, Indiana, Minnesota, Michigan, Ohio, Wisconsin)
Michael Connors, Regional Administrator
Department of Labor, OSHA
230 South Dearborn Street
32nd Floor, Room 3244
Chicago, IL 60604
(312) 353-2220

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma,

Appendix IV: Agency Regional and Local Office Addresses

Texas)
Dean McDaniel, Regional Administrator
Department of Labor, OSHA
525 Griffin Street, Room 602
Dallas, TX 75202 United States
(214) 767-4731

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Charles E. Adkins, Regional Administrator
Department of Labor, OSHA
City Center Square
1100 Main Street, Suite 800
Kansas City, MO 64105 United States
(816) 426-5861

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Greg Baxter, Regional Administrator
Department of Labor, OSHA
1999 Broadway Street, Room 1690
Denver, CO 80202-5716 United States
(303) 844-1600

Region X

(Alaska, Idaho, Oregon, Washington)
Richard Terrill, Regional Administrator
Department of Labor, OSHA
1111 Third Avenue, Suite 715
Seattle, WA 98101-3212
(206) 553-5930

Rhode Island

Area Director
380 Westminster Mall, Room 243
Providence, RI 02903
(401) 528-4669

South Carolina

Area Director
1835 Assembly Street, Room 1468
Columbia, SC 29201
(803) 765-5904

Tennessee

Area Director
2002 Richard Jones Road, Suite C-205
Nashville, TN 37215-2809
(615) 781-5423

Texas

Area Director
17625 El Camino Real, Suite 400
Houston, TX 77058
(281) 286-0583

Area Director
Corpus Christi Area Office
U.S. Department of Labor, OSHA
Wilson Plaza West
606 N. Carancahua, Suite 700
Corpus Christi, TX 78476
(361) 888-3420

Area Director
507 North Sam Houston Parkway East, Suite 400

Houston, TX 77060
(281) 591-2438
Area Director
Federal Building, Room 806
1205 Texas Avenue
Lubbock, TX 79401
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Area Director
700 E. San Antonio Street, Room C-408
EL Paso, TX 79901
(915) 534-6251

Area Director
903 San Jacinto Boulevard, Suite 319
Austin, TX 78701
(512) 916-5783

Area Director
8344 East R.L. Thornton Freeway, Suite 420
Dallas, TX 75228
(214) 320-2400

Area Director
North Star II, Suite 302
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Ft. Worth, TX 76180-7610
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Utah

Area Director
1781 South 300 West
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Salt Lake City, UT 84165-0200
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Virginia

District Supervisor
200 Granby Street, Room 614
Norfolk, VA 23510
(757) 441-3820

Washington

Area Director
505 106th Avenue N.E., Suite 302
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(206) 553-7520

West Virginia

Area Director
405 Capitol Street, Suite 407
Charleston, WV 25301
(304) 347-5937

Wisconsin

Area Director
Henry S. Reuss Building
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Milwaukee, WI 53203
(414) 297-3315

Area Director
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District Supervisor
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Eau Claire, WI 54701
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Area Director
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California

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915 Wilshire Boulevard
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Los Angeles, CA 90017 United States
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Colorado

1999 Broadway Street
Room 2435
Denver, CO 80202 United States
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District of Columbia

800 North Capitol Street NW
Room 120
Washington, DC 20002-4244 United States
(202) 513-7300

Georgia

Atlanta Federal Building
Room 8B85
61 Forsyth Street, S.W.
Atlanta, GA 30303-2219 United States
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Illinois

Federal Office Building
Suite 774
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Chicago, IL 60604-1505 United States
(312) 596-7160

Louisiana

600 South Maestri Place
Room 604
New Orleans, LA 70130 United States
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Massachusetts

JFK Federal Building
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Appendix IV: Agency Regional and Local Office Addresses

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New York

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New York, NY 10014 United States
(646) 264-3190

130 South Elmwood Street
Room 510
Buffalo, NY 14202-2465 United States
(716) 842-2900

Ohio

Federal Office Building
Suite 831
1240 East Ninth Street
Cleveland, OH 44199-2053 United States
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525 Vine Street
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Cincinnati, OH 45202-3168 United States
(513) 684-6840

Pennsylvania

The Curtis Center
170 S. Independence Mall West
Room 760W
Philadelphia, PA 19106-3310 United States
(215) 861-4818

Federal Office Building
Room 1411
1000 Liberty Avenue
Pittsburgh, PA 15222-4004 United States
(412) 395-6925

Tennessee

233 Cumberland Bend Drive
Suite 110
Nashville, TN 37228-1809 United States
(615) 736-5906

Texas

A. Maceo Smith Federal Building
Suite 300
525 Griffin Street
Dallas, TX 75202-5007 United States
(972) 850-2500

Washington

Federal Office Building
Suite 605
1111 Third Avenue
Seattle, WA 98101-3212 United States
(206) 398-8099

Wisconsin

517 East Wisconsin Avenue
Suite 118
Milwaukee, WI 53203-2213 United States
(414) 297-1501

OFFICE OF THE SECRETARY, WOMEN'S BUREAU

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
Jacqueline Cooke, Regional Administrator
JFK Federal Building, Room E-270
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Use the same number for FTS

Region II

(New Jersey, New York, Puerto Rico, Virgin Islands)
Mary C. Murphree, Regional Administrator
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New York, NY 10014
(212) 337-2389
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Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)
Cornelia Moore, Regional Administrator
The Curtis Center, Suite 880 West
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Philadelphia, PA 19104
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Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
Delores Crockett, Regional Administrator
61 Forsyth Street, S.W., Suite 7T95
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Region IX

(Arizona, California, Hawaii, Nevada)
Jenny Erwin, Regional Administrator
71 Stevenson Street, Room 927
San Francisco, CA 94105
(415) 975-4750
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Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)
Nancy Chen, Regional Administrator
230 South Dearborn Street, Room 1022
Chicago, IL 60604
(312) 353-6985 or 1-800-648-8183
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Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
Beverly Lyle, Regional Administrator
Suite 735, Federal Building
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Dallas, TX 75202

(214) 767-6985 or 1-888-887-6794
Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Rose A. Kemp, Regional Administrator
Center City Square Building
1100 Main Street, Suite 845
Kansas City, MO 64106
(816) 426-6108 or 1-800-252-4706
Use the same number for FTS

Region VIII

(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Frances Jefferson, Regional Administrator
Federal Office Building
1801 California Street, Suite 905
Denver, CO 80202-2614
(303) 844-1286 or 1-800-299-0886
Use the same number for FTS

Region X

(Alaska, Idaho, Oregon, Washington)
Karen Furia, Regional Administrator
1111 Third Avenue, Room 925
Seattle, WA 98101-3211
(206) 553-1534 or 1-888-296-7011
Use the same number for FTS

VETERAN'S EMPLOYMENT AND TRAINING SERVICE

REGIONAL ADMINISTRATORS AND STATE
DIRECTORS FOR VETERANS' EMPLOYMENT
AND TRAINING

Region I

DVET Richard Gray
Veterans' Employment and Training Service
Department of Labor
P.O. Box 603
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Montpelier, VT 05602
(802) 828-4441

DVET John Dunn
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Department of Labor
57 Spruce Street
Westerly, RI 02891
(401) 528-5134

DVET Paul L. Desmond
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Department of Labor
19 Staniford Street
C.F. Hurley Building, 2nd Floor, ES Operations
Section
Boston, MA 02114
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DVET William Mason
Veterans' Employment and Training Service
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CT Department of Labor Building
200 Folly Brook Boulevard

Appendix IV: Agency Regional and Local Office Addresses

Wethersfield, CT 06109
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(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)
RAVET David Houle
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Department of Labor
JFK Federal Building, Room E-315
Government Center
Boston, MA 02203
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DVET Jon Guay
Veterans' Employment and Training Service
Department of Labor
5 Mollison Way
P.O. Box 3106
Lewiston, ME 04243
(207) 753-9090

DVET Richard Ducey
Veterans' Employment and Training Service
Department of Labor
143 North Main Street, Room 208
Concord, NH 03301
(603) 225-1424

Region II

DVET James H. Hartman
Veterans' Employment and Training Service
Department of Labor
Harriman State Campus
Building 12, Room 518
Albany, NY 12240-0099
(518) 457-7465

(New Jersey, New York, Puerto Rico and Virgin Islands)
Veterans' Employment and Training Service
Department of Labor
201 Varick Street, Room 766
New York, NY 10014
(212) 337-2211

DVET Alan E. Grohs
Veterans' Employment and Training Service
Department of Labor
Labor Building, 11th Floor, CN058
Trenton, NJ 08625
(609) 292-2930

DVET Angel Mojica
Veterans' Employment and Training Service
Department of Labor
Puerto Rico Department of Labor and Human Services
198 Calle Guayama, 20th Floor
Hato Rey, PR 00917
(787) 754-5391

Region III

DVET Lawrence Babitts
Veterans' Employment and Training Service
Department of Labor
Labor and Industry Building, Room 1108
Seventh and Forster Streets
Harrisburg, PA 17121

(717) 787-5834

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia and West Virginia)
RAVET Joseph Hortiz, Jr.
Veterans' Employment and Training Service
Department of Labor
The Curtis Center, Suite 770 West
170 South Independence Mall West
Philadelphia, PA 19106
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Veterans' Employment and Training Service
Department of Labor
703 E. Main street, Room 118
Richmond, VA 23219
(804) 786-7269

DVET Gary Lobdell
Veterans' Employment and Training Service
Department of Labor
1100 North Eutaw Street, Room 210
Baltimore, MD 21201
(410) 767-2110

DVET Charles Stores, Jr.
Veterans' Employment and Training Service
Department of Labor
112 California Avenue
Capitol Complex - Room 204
Charleston, WV 25305-0112
(304) 558-4001

DVET Stanley Williams
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Department of Labor
500 C Street, N.W., Room 108
Washington, DC 20001
(202) 724-7005

DVET David White
Veterans' Employment and Training Service
Department of Labor
4425 N. Market Street, Room 420
Wilmington, DE 19809-0828
(302) 761-8138

Region IV

DVET Richard E. Ritchie
Veterans' Employment and Training Service
Department of Labor
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Nashville, TN 37219-3795
(615) 736-7680

DVET Thomas Karrh
Veterans' Employment and Training Service
Department of Labor
649 Monroe Street, Room 543
Montgomery, AL 36131-6300
(334) 223-7677

Derek W. Taylor
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1527
Tallahassee, FL 32302-1527
(850) 942-8800

Ed Gresham
Veterans' Employment and Training Service
Department of Labor
Sussex Place, Suite 504
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Atlanta, GA 30303-1751
(404) 656-3127

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)
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Veterans' Employment and Training Service
Department of Labor
Sam Nunn Atlanta Federal Center
61 Forsyth Street, S.W., Room 6-T85
Atlanta, GA 30303
(404) 562-2305

DVET Charles R. Netherton
Veterans' Employment and Training Service
Department of Labor
C/O Department for Employment Services
275 East Main Street
Frankfort, KY 40621-2339
(502) 564-7062

DVET Angelo Terrell
Veterans' Employment and Training Service
Department of Labor
1520 West Capitol Street
P.O. Box 1699
Jackson, MS 39215-1699
(601) 965-4204

DVET William C. Plowden, Jr.
Veterans' Employment and Training Service
Department of Labor
P.O. Box 1755
Columbia, SC 29202-1755
(803) 765-5195

DVET Steven W. Guess
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Department of Labor
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Raleigh, NC 27611-7625
(919) 733-7402, 733-7407

Region IX

DVET Rosendo A. Cuevas
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Department of Labor
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(Arizona, California, Hawaii, and Nevada)
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71 Stevenson Street, Suite 705
San Francisco, CA 94105
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DVET Michael Espinosa
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Appendix IV: Agency Regional and Local Office Addresses

Department of Labor
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DVET Judy A. Carlisle
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Department of Labor
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DVET Gilbert N. Hough
Veterans' Employment and Training Service
Department of Labor
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Honolulu, HI 96811
(808) 522-8216

Region V

DVET Michael D. Graham
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Department of Labor
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St. Paul, MN 55101
(651) 296-3665

DVET Kim Fulton
Veterans' Employment and Training Service
Department of Labor
7310 Woodward Avenue, Suite 407
Detroit, MI 48202
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DVET D. Bruce Redman
Veterans' Employment and Training Service
Department of Labor Richard A. Mock
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Indianapolis, IN 46204
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DVET Samuel L. Parks
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Department of Labor
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Chicago, IL 60605
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Columbus, OH 43216
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(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

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Veterans' Employment and Training Service
Department of Labor
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Department of Labor
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Madison, WI 53708-8310
(608) 266-3110

Region VI

DVET Billy R. Threlkeld
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Department of Labor
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Little Rock, AK 72203
(501) 682-3786

DVET Lester L. Parmenter
Veterans' Employment and Training Service
Department of Labor
P.O. Box 94094, Room 184
Baton Rouge, LA 70804-9094
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DVET John D. McKinny
Veterans' Employment and Training Service
Department of Labor
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Austin, TX 78767
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DVET Darrell H. Hill
Veterans' Employment and Training Service
Department of Labor
201 North Lincoln Boulevard
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Department of Labor
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Albuquerque, NM 87125-5085
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(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)

RAVET Lester L. Williams, Jr.
Veterans' Employment and Training Service
Department of Labor
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Region VII

DVET Mickey J. Jones
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Department of Labor
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Department of Labor
401 Topeka Boulevard
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Des Moines, IA 50309-5563
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Department of Labor
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(Iowa, Kansas, Missouri, and Nebraska)
RAVET Lester L. Williams, Jr.
Veterans' Employment and Training Service
Department of Labor
City Center Square Building
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Region VIII

DVET David McNulty
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Department of Labor
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(Colorado, Montana, North Dakota, South Dakota,
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Department of Labor
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Department of Labor
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DVET Earl R. Schultz
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Department of Labor
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DVET Mark McGinty
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Department of Labor
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DVET Jerry Meske
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Department of Labor
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DVET Polly Latray-Halmes

Appendix IV: Agency Regional and Local Office Addresses

Veterans' Employment and Training
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Region X

DVET Ron Cannon
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Department of Labor
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DVET Daniel Travis
Veterans' Employment and Training Service
Department of Labor
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Juneau, AK 99802-5509
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DVET Vacant
Veterans' Employment and Training Service
Department of Labor
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Boise, ID 83701
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(Alaska, Idaho, Oregon and Washington)
RAVET Rex A. Newell
Veterans' Employment and Training Services
Department of Labor
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Thomas Pearson
Veterans' Employment and Training Service
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Contact any Veterans' Employment and Training
Service Office concerning veterans' reemployment
rights.

WAGE AND HOUR DIVISION

Alabama

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Paramount Center Bldg., Suite 1008,
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Arizona

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Arkansas

Robert Darling, District Director,
Danville Building #2, Suite 220,
10810 Executive Center Drive,
Little Rock, AR 72211 United States
(501) 223-9114

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61 Forsyth Street, S.W., Suite 17T85
Atlanta, GA 30303-3104
(404) 562-3620

Hawaii

Wendy Burke
Prince Jonah Kuhio
Kalaniana'ole Federal Building
300 Ala Moana Boulevard, Room 3-243
P.O. Box 50206
Honolulu, HI 96850-5000
(808) 541-2700

Idaho

John Francis
3050 Lakeharbor Lane, Suite 126
Boise, ID 83703-6243
(208) 334-1842

Illinois

John Mulcare
3250 Executive Park Drive
Springfield, IL 62703-4514
(217) 492-4608

Indiana

Kenneth Stickland
575 North Pennsylvania Street, Room 261
Indianapolis, IN 46204-1570
(317) 226-7474

Iowa

Kent Fleming
105 6th Street
Ames, IA 50010-6337
(515) 233-7400

Kansas

Teri Graham
3300 South Topeka Boulevard, Suite 1
Topeka, KS 66611-2237
(785) 267-7288

Kentucky

Buddy Yount
330 West Broadway
Frankfort, KY 40601-1922
(502) 223-6779

Louisiana

Sterlin Williams
5304 Flanders Drive, Suite A
Baton Rouge, LA 70808-4348
(225) 757-7640

Maine

Gerald Amato, Acting

Appendix IV: Agency Regional and Local Office Addresses

Edmund S. Muskie Federal Building
40 Western Avenue, Room 601
Augusta, ME 04330-6394
(207) 622-8358

Maryland

Terry Runge-Erle
711 West 40th Street, Suite 220
Baltimore, MD 21211-2100
(410) 962-2889

Massachusetts

Richard Bates
55 Broadway, Room I-35
Cambridge, MA 02142-1093
(617) 494-2770

Michigan

Patrick Muinich
315 West Allegan Street, Room 205
Lansing, MI 48933-1528
(517) 377-1866

Minnesota

Daniel Drexler
Galtier Plaza, Box 75
175 E. 5th Street, Suite 500
St. Paul, MN 55101-2904
(651) 291-6150

Mississippi

Benny Wood
666 North Street, Suite 103
Jackson, MS 39202-3199
(601) 965-4219

Missouri

Joseph Boyd
209 Adams Street
Jefferson City, MO 65101-3203
(573) 636-3246

Montana

Kristin Phillipps
2880 Skyway Drive
Helena, MT 59602-1230
(406) 449-5304

Nebraska

Elyse Mueller
100 Centennial Mall North, Room 220
Lincoln, NE 68508-3851
(402) 437-5986

Nevada

Bill Bensmiller
705 North Plaza Street, Suite 220
Carson City, NV 89701-0602
(775) 687-5335

New Hampshire

Timothy Cotter
279 Pleasant Street, Room 202
Federal Building
Concord, NH 03301-7502
(603) 228-3112

New Jersey

Christopher Rotondo
840 Bear Tavern Road, Suite 310
West Trenton, NJ 08628-1019
(609) 637-4222

New Mexico

Martha Brooks
2400 Louisiana Boulevard N.E.
AFC-5, Suite 520
Albuquerque, NM 87110
(505) 346-7858

New York

Brian Temperine
Leo W. O'Brien Federal Building, Room 719
Clinton Avenue and N. Pearl Street
Albany, NY 12207
(518) 431-4145

North Carolina

Christopher Harley
310 New Bern Avenue, Suite 468
Raleigh, NC 27601-1441
(919) 856-4378

North Dakota

Jeffrey Jensen
1471 Interstate Loop
Bismarck, ND 58503-0567
(701) 250-4346

Ohio

Steven Mattioli
200 North High Street, Room 328
Columbus, OH 43215
(614) 280-5657

Oklahoma

Mac Kirk
300 N. Meridan, Suite 106-S
Oklahoma City, OK 73107-6560
(405) 605-6047

Oregon

Andrew Eno
530 Center Street, N.E., Suite 100
Salem, OR 97301-3740
(503) 399-5775

Pennsylvania

Patrick Quigley
228 Walnut Street, Room 536
Harrisburg, PA 17101-1720
(717) 221-4443

Puerto Rico

Enid Martinez
US Courthouse & Federal Building
Carlos Chardon Street, Room 329
Hato Rey, PR 00918
(787) 766-5985

Rhode Island

Robert Molla
380 Westminster Mall, Room 547

Providence, RI 02903-3246
(401) 528-4578

South Carolina

Curtis Thomas
1835 Assembly Street, Suite 1253
Columbia, SC 29201-2430
(803) 765-5414

South Dakota

Mark Gilmore
116 East Dakota Street
Pierre, SD 57501-3110
(605) 224-8202

Tennessee

Richard Gobbell
640 Grassmere Park Road, Suite 111
Nashville, TN 37211
(615) 781-5781

Texas

David Martin
Federal Office Building
300 East 8th Street, Room 826
Austin, TX 78701-3233
(512) 536-5980

Utah

Robert Kelleher
2520 West 4700 South, Suite 9B
Salt Lake City, UT 84118-1847
(801) 963-0096

Vermont

Gerard Amato
87 State Street, Room 216
Montpelier, VT 05602-2954
(802) 828-4480

Virginia

Craig Feister
400 North 8th Street, Room 750
Richmond, VA 23240
(804) 775-3322

Washington

Roger Kraft
Evergreen Plaza
711 South Capitol Way, Suite 501
Olympia, WA 98501-1284
(360) 753-9875

West Virginia

Michael Myers
700 Washington Street East, Suite 205
Charleston, WV 25301-1604
(304) 347-5935

Wisconsin

William Vickery
567 D'Onofrio Drive, Suite 101
Madison, WI 53719-2814
(608) 829-7534

Wyoming

Appendix IV: Agency Regional and Local Office Addresses

Gary Lowe
1916 Evans Avenue
Cheyenne, WY 82001-3764
(307) 772-2305

FEDERAL RAILROAD ADMINISTRATION (FRA)

Region I (Northeastern)

(Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Rhode Island, Vermont)

Mark H. McKeon, Regional Administrator
55 Broadway, Room 1077
Cambridge, MA 02142
(617) 494-2302

Use the same number for FTS

Region II (Eastern)

(Delaware, District of Columbia, Pennsylvania, Maryland, Virginia, West Virginia, Ohio)

David R. Myers, Regional Administrator
2 International Plaza, Suite 550
Philadelphia, PA 19113
(610) 521-8200

Use the same number for FTS

Region III (Southern)

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee)

L.F. Dennin, II, Regional Administrator
Atlanta Federal Center, Suite 16T20
61 Forsyth Street, S.W.
Atlanta, GA 30303-3104
(404) 562-3800

Use the same number for FTS

Region IV (Central)

(Illinois, Indiana, Michigan, Minnesota, Wisconsin)

Hiram J. Walker, Regional Administrator
111 North Canal Street, Suite 655
Chicago, IL 60606
(312) 353-6203

Use the same number for FTS

Region V (Southwestern)

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)

John F. Megary, Regional Administrator
8701 Bedford Eules Road, Suite 425
Hurst, TX 76053
(817) 284-8142

Use the same number for FTS

Region VI (Midwestern)

(Iowa, Kansas, Missouri, Nebraska, Colorado)

Darrell J. Tisor, Regional Administrator
901 Locust Street, Suite 464
Kansas City, MO 64106-2095
(816) 329-3840

Use the same number for FTS

Region VII (Western)

(Arizona, California, Nevada, Utah)

Alvin Settje, Regional Administrator

801 I Street, Suite 466
Sacramento, CA 95814-2559
(916) 498-6540

Region VIII (Northwestern)

(Alaska, Idaho, Montana, North Dakota, Oregon, South Dakota, Washington, Wyoming)

Dick Clairmont, Regional Administrator
Murdock Executive Plaza, Suite 650

703 Broadway
Vancouver, WA 98660
(360) 696-7536

Use the same number for FTS

FEDERAL TRANSIT ADMINISTRATION (FTA)

Region I

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)

Richard Doyle, Regional Administrator
C/O Volpe National, Transportation Systems Center, Kendall Square
55 Broadway, Suite 920
Cambridge, MA 02142-1093
(617) 494-2055

Region II

(New Jersey, New York, Virgin Islands)

Letitia A. Thompson, Regional Administrator
Metropolitan Office
One Bowling Green, Suite 429
New York, NY 10004-1415
(212) 668-2170
Use the same number for FTS

Region III

(Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia)

Vacant
1760 Market Street, Suite 500
Philadelphia, PA 19103-4124
(215) 656-7100

Use the same number for FTS

Region IV

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)

Jerry Franklin, Regional Administrator
61 Forsyth Street, S.W., Suite 17T50
Atlanta, GA 30303-8917
(404) 562-3000

Region IX

(Arizona, California, Hawaii, Nevada, Guam, American Samoa)

Leslie Rogers, Regional Administrator
201 Mission Street, Suite 2210
San Francisco, CA 94105-1926
(415) 744-3133

Use the same number for FTS

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)

Joel P. Ettinger, Regional Administrator

200 West Adams Street
24th Floor, Suite 2410
Chicago, IL 60606-5232
(312) 353-2789

Use the same number for FTS

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma, Texas)

Robert C. Patrick, Regional Administrator
Fritz Lanham Federal Building
819 Taylor Street, Suite 8A36
Fort Worth, TX 76102
(817) 978-0550

Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)

Mokhtee Ahmad, Regional Administrator
901 Locust Street, Room 404
Kansas City, MO 64106
(816) 329-3920
Use the same number for FTS

Region VIII

Note grant making activity for Arizona and Nevada falls under Region VIII
(Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)

Lee Waddleton, Regional Administrator
Columbine Place
216 Sixteenth Street, Suite 650
Denver, CO 80202-5120
(303) 844-3242

Use the same number for FTS

Region X

(Alaska, Idaho, Oregon, Washington)

Helen M. Knoll, Regional Administrator
Jackson Federal Building, Suite 3142
915 Second Avenue
Seattle, WA 98174-1002
(206) 220-7954
Use the same number for FTS

MARITIME ADMINISTRATION (MARAD)

California

NORTHERN CALIFORNIA GATEWAY (San Francisco/Oakland): (Hawaii; Guam; Nevada; Northern California, North of Point Conception; Utah)

JOHN HUMMER

US Department of Transportation
Maritime Administration
Northern California Gateway Office
201 Mission Street, Suite 1800
San Francisco, CA 94105 United States
(415) 744-2924 Use the same number for FTS

Florida

SOUTH ATLANTIC GATEWAY (Miami):
(South Carolina, Georgia, Florida (except the Panhandle), Puerto Rico and the U.S. Virgin Islands)

Appendix IV: Agency Regional and Local Office Addresses

LAUREN BRAND, DIRECTOR
US Department of Transportation
Maritime Administration
51 SW 1st Avenue, Suite 1305
Miami, FL 33130 United States
(305) 530-6420

Illinois

GREAT LAKES GATEWAY (Chicago):
(Indiana; Michigan; Northern Illinois; Northern
Minnesota north of the North and South Dakota
Borders; Northern Iowa/Missouri Border; Ohio;
areas surrounding the St Lawrence Seaway;
Wisconsin)
Floyd Miras
US Department of Transportation
Maritime Administration
Great Lakes Gateway Office
1701 E. Woodfield Rd. Suite 203
Schaumburg, IL 60173 United States
(847) 995-0122 Use the same number for FTS

Louisiana

EASTERN GULF/LOWER MISSISSIPPI
GATEWAY (New Orleans): (Alabama; Arkansas;
Louisiana; Mississippi; Tennessee; Western
Panhandle of Florida) WESTERN GULF
GATEWAY (Houston): (Colorado; New Mexico;
Oklahoma; Texas)
James Murphy
US Department of Transportation
Maritime Administration
Eastern Gulf/Lower Mississippi Gateway Office
500 Poydras Street, Room 1223
New Orleans, LA 70130-3394 United States
(504) 589-2000 ext. 229 Use the same number for
FTS

Missouri

INLAND WATERWAYS GATEWAY (St Louis):
(Iowa; Kansas; Kentucky; Missouri; Montana;
Nebraska; North Dakota; South Dakota;
Pennsylvania all areas west of Pittsburgh;
Southern Illinois; Southern Minnesota; Wyoming)
ROBERT GOODWIN
US Department of Transportation
Maritime Administration
Upper Mississippi Office
1222 Spruce St. Suite 2.202F
St Louis, MO 63103-2818 United States
314-539-6783

New York

Shashi N. Kumar
United States Merchant Marine Academy
Kings Point, NY 11024-1699 United States
(516) 773-5000 Use the same number for FTS

(NORTH ATLANTIC GATEWAY (New York):
Maine, New Hampshire, Vermont, Massachusetts,
Rhode Island, and Connecticut, New York, New
Jersey, and Pennsylvania)
Maritime Administration
One Bowling Green, Room 418
New York, NY 10004-1415 United States
(212) 668-3330 Use the same number for FTS

Southern California

(SOUTHERN CALIFORNIA GATEWAY (Long
Beach): (Arizona, Southern California (south of
Point Conception), and the west coast of Mexico)
ALAN HICKS
US Department of Transportation
Maritime Administration
Southern California Gateway Office
Glenn M. Anderson Federal Bldg
501 West Ocean Blvd, Room 5190
Long Beach, CA 90802 United States
562-628-0246

Virginia

MID ATLANTIC GATEWAY (Norfolk):
(Maryland; North Carolina; Virginia; West
Virginia)
FRANK MACH
US Department of Transportation
Maritime Administration
Mid Atlantic Gateway Office
7737 Hampton Blvd Bldg 19 Suite 300
Norfolk, VA 23505-1204 United States
(757) 322-5800 Use the same number for FTS

Washington

PACIFIC NORTHWEST/ALASKA GATEWAY
(Seattle): Alaska; Oregon; Idaho; Washington)
RANDY ROGERS
US Department of Transportation
Maritime Administration
Pacific Northwest Gateway Office
Henry M. Jackson Federal Building.
915 2nd Avenue
31st Floor, Room 3196
Seattle, WA 98174 United States
206-220-7717

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION (NHTSA)

Region I

(Connecticut, Maine, Massachusetts, New
Hampshire, Rhode Island, Vermont)
George A. Luciano, Regional Administrator
Transportation System Center
Kendall Square-Code 903
Cambridge, MA 02142
(617) 494-3427

Region II

(New Jersey, New York, Puerto Rico, Virgin
Islands)
Thomas M. Louizou, Regional Administrator
222 Mamaroneck Avenue, Suite 204
White Plains, NY 10605
(914) 682-6162

Region III

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)
Elizabeth A. Baker, Regional Administrator
The Crescent Building
10 South Howard Street, Suite 4000
Baltimore, MD 21201

(410) 962-0077

Region IV

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
Troy Ayers, Regional Administrator
61 Forsyth Street, S.W., Suite 17T30
Atlanta, GA 30303-3104
(404) 562-3739

Region IX

(Arizona, California, Hawaii, Nevada, Mariana
Islands, Guam, American Samoa)
David Manning, Acting Regional Administrator
201 Mission Street, Suite 2230
San Francisco, CA 94105
(415) 744-3089

Region V

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)
Donald J. McNamara, Regional Administrator
19900 Governors Drive, Suite 201
Olympia Fields, IL 60461
(708) 503-8822

Region VI

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas, Indian Nations)
Georgia S. Chakiris, Regional Administrator
819 Taylor Street, Room 8A38
Fort Worth, TX 76102-6177
(817) 978-3653

Region VII

(Iowa, Kansas, Missouri, Nebraska)
Romell W. Cook, Regional Administrator
P.O. Box 412515
Kansas City, MO 64141
(816) 822-7233
Use the same number for FTS

Region VIII

(Colorado, Montana, North Dakota, South Dakota,
Utah, Wyoming)
Louis R. DeCarolis, Ph.D., Regional Administrator
555 Zang Street, Room 430
Lakewood, CO 80228
(303) 969-6917

Region X

(Alaska, Idaho, Oregon, Washington)
Curtis A. Winston, Regional Administrator
3140 Jackson Federal Building
915 Second Avenue
Seattle, WA 98174
(206) 220-7640

RESEARCH AND SPECIAL PROGRAMS ADMINISTRATION (RSPA)

Central Region

Illinois, Indiana, Iowa, Kansas, Michigan,
Minnesota, Missouri, Nebraska, North Dakota,
South Dakota, Ohio, Wisconsin)

Appendix IV: Agency Regional and Local Office Addresses

Ivan A. Huntoon
1900 Locust Street, Room 462
Kansas City, MO 64106
(816) 329-3800
(816) 329-3831 FAX
Use same 10-digit number for F

Eastern Region

(Connecticut, Delaware, District of Columbia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia)

William H. Gute
400 7th Street, S.W., Room 7130
Washington, DC 20590
(202) 366-4580
(202) 366-3274 FAX
Use same 10-digit number for F

Southern Region

(Alabama, Arkansas, Florida, Georgia, Kentucky, Mississippi, North Carolina, Puerto Rico, South Carolina, Tennessee)

Frederick A. Joyner
61 Forsyth Street, Suite 16T15
Atlanta, GA 30303
(404) 562-3530
(404) 562-3569 FAX
Use same 10-digit number for F

Southwest Region

(Arizona, Louisiana, New Mexico, Oklahoma, Texas)

Rodrick M. Seeley
2320 LaBranch, Room 2100
Houston, TX 77004
(713) 718-3746
(713) 718-3724 FAX
Use same 10-digit number for F

Western Region

(California, Colorado, Idaho, Montana, Nevada, Oregon, Utah, Washington, Wyoming, Alaska, Hawaii)

Chris Hoidal
Golden Hills Centre, Suite A-250
12600 W. Colfax Avenue
Lakewood, CO 80215
(303) 231-5701
(303) 231-5711 FAX

APPALACHIAN REGIONAL COMMISSION

Alabama

Director
Department of Economic and Community Affairs
Box 5690, 401 Adams Avenue
Montgomery, AL 36103-5690
(334) 242-8672

Georgia

Director, Intergovernmental Relations
Office of the Governor
State Capital

Room 131
Atlanta, GA 30334
(404) 463-7775

Kentucky

Community Holding Company
220 Main Street
PO Box 331
Inez, KY 41224
(606) 298-3510

Maryland

Director, Maryland Office of Planning
301 West Preston Street, Room 1101
Baltimore, MD 21201
(410) 767-4510

Mississippi

Community Assistance
Mississippi Development Authority
PO Box 849
Jackson, MS 39205-0849
(601) 359-6622

New York

Secretary of State
Department of State
41 State Street
Albany, NY 12231
(518) 486-9844

North Carolina

Director
North Carolina Washington Office
444 North Capitol Street, Suite 332
Washington, DC 20001-1512
(202) 624-5833

Ohio

Director
Governor's Office of Appalachia
77 South High Street, 28th Floor, Box 1001
Columbus, OH 43266-1001
(614) 644-9228

Pennsylvania

Deputy Secretary, Community Affairs and Development
Department of Community and Economic Development
400 North Street
4th Floor
Commonwealth Keystone Bldg.
Harrisburg, PA 17120
(717) 787-3003

South Carolina

Policy Advisor to the Governor
Office of the Governor
PO Box 12267
Columbia, SC 29211
(803) 734-5166

Tennessee

Commissioner
Department of Economic & Community

Development
William R. Snodgrass Tennessee
312 Eighth Avenue, North
11th Floor
Nashville, TN 37243-0405
(615) 741-1888

Virginia

Virginia Department of Housing and Community Development
Jackson Center
501 North 2nd Street
Richmond, VA 23219
(804) 371-7002

West Virginia

Director, Community Development Division
West Virginia Development Office
Building 6, Room 553
State Capitol Complex
Charleston, WV 25305
(304) 558-4010

OFFICE OF PERSONNEL MANAGEMENT

Puerto Rico

San Juan
Plaza Laz Americans Tower
525 Roosevelt Avenue, Room 1100
Hato Rey, PR 00918
(809) 766-5620

Region II

Alabama/Tennessee/Mississippi/Arkansas
Huntsville
520 Wynn Drive, N.W., 35816-3426
(205) 837-1271

Washington, Oregon, Idaho
Seattle
700 5th Avenue, Suite 5950
Seattle, WA 98104-5012
(206) 553-0870

Colorado, Montana, Utah, Wyoming, New Mexico, Arizona
Denver
12345 West Alameda Parkway, Room 316
P.O. Box 25167
Denver, CO 80225-0001
(303) 969-6931

Kansas, Missouri, Nebraska, Iowa, Illinois
Kansas City
Federal Building, Room 131
601 East 12th Street
Kansas City, MO 64106-2826
(816) 426-5706

California, Nevada
San Francisco
20 Howard Street, Room 735
San Francisco, CA 94105-0001

Appendix IV: Agency Regional and Local Office Addresses

(415) 281-7074

Minnesota, North Dakota, South Dakota,
Wisconsin
Twin Cities
Bishop Henry Whipple Federal Building, Room
503
One Federal Drive
Ft. Snelling, MN 55111-4007
(612) 725-3437

Ohio, Indiana, Kentucky, West Virginia
Dayton
U.S. Courthouse and Federal Building
200 West 2nd Street, Room 507
Dayton, OH 45402-0001
(513) 225-2576

Pennsylvania, New York, Maryland,
Massachusetts, New Hampshire, Connecticut,
Delaware, Maine, New Jersey, Rhode Island,
Vermont
Philadelphia
William J. Green, Jr. Federal Building
Federal Building, Room 3400
600 Arch Street
Philadelphia, PA 19106-1596
(215) 597-7670

Georgia, Florida
Atlanta
Richard B. Russell Federal Building, Suite 956
75 Spring Street, S.W.
Atlanta, GA 30303-3109
(404) 331-4588

Virginia
Norfolk
Federal Building, Room 500
200 Granby Street
Norfolk, VA 23510-1886
(804) 441-3373

North Carolina, South Carolina
Raleigh
4407 Bland Road, Suite 200, Somerset Park
Raleigh, NC 27609-6296
(919) 790-2817

Michigan, Indiana, Kentucky, Northern Ohio
Detroit
477 Michigan Avenue, Room 594
Detroit, MI 48226-2574
(313) 226-2095

Texas, Arkansas, Louisiana, Oklahoma, Nevada
San Antonio
8610 North Broadway, Room 305
San Antonio, TX 78217-0001
(210) 805-2423

Illinois
Chicago
John C. Kluzynski Federal Building-DPN 30-3
230 South Dearborn Street
Chicago, IL 60604-1687
(312) 353-6234

Hawaii, Alaska, Pacific Overseas
Honolulu (and Island of Oahu)

Federal Building
P.O. Box 50028
300 Ala Moana Boulevard
Honolulu, HI 96850-0001
(808) 541-2795

U.S. COMMISSION ON CIVIL RIGHTS

Central Regional Office

U.S. Commission on Civil Rights
Melvin L. Jenkins, Regional Director
Gateway Tower II
400 State Avenue, Suite 908
Kansas City, KS 66101
(913) 551-1400
(913) 551-1414 TDD

Eastern Regional Office

U.S. Commission on Civil Rights
Ki-Taek Chun, Regional Director
624 9th Street NW, Suite 500
Washington, DC 20425
(202) 376-7533
(202) 376-8116 TDD

Midwestern Regional Office

U.S. Commission on Civil Rights
Constance D. Davis, Regional Director
55 West Monroe Street, Suite 410
Chicago, IL 60603
(312) 353-8311
(312) 353-8362 TDD

Rocky Mountain Regional Office

U.S. Commission on Civil Rights
John F. Dulles, III
1700 Broadway, Suite 710
Denver, CO 80290
(303) 866-1040
(303) 866-1049 TDD

Southern Regional Office

U.S. Commission on Civil Rights
Bobby Doctor, Regional Director
61 Alabama Street, SW, Suite 1840T
Atlanta, GA 30303
(404) 562-7000
(404) 562-7004 TDD

Western Regional Office

U.S. Commission on Civil Rights
Philip Montez, Regional Director
3660 Wilshire Boulevard, Room 810
Los Angeles, CA 90010
(213) 894-3437
(213) 894-3435 TDD

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Alabama

Delner Franklin-Thomas, Director
1130 22nd Street, South, Suite 200
Birmingham, AL 35205 United States
(205) 7212-2089 Use the same number for FTS

Arizona

Chester Bailey, Director
Norwest Tower
3300 N. Central Avenue, Suite 690
Phoenix, AZ 85012-2504 United States
(602) 640-5011 Use the same number for FTS

Arkansas

Wanda Milton, Director
820 Louisiana Street, Suite 200
Little Rock, AR 72201 United States
(501) 324-6372 Use the same number for FTS

California

Michelle Nardella, Enforcement Manager
1301 Clay Street, Suite 1170-N
Oakland, CA 94612-5217 United States
(510) 637-3239 Use the same number for FTS

Michael Baldonado, Director
350 Embarcadero, Suite 500
San Francisco, CA 94105-1687 United States
(415) 625-5611 Use the same number for FTS

Thomas McCammon, Director
555 West Beech Street, Ste. 504
San Diego, CA 92101 United States
(619) 557-7277

Melissa Barrios, Director
2300 Tulare Street
Fresno, CA 93721 United States
(209) 487-5793 Use the same number for FTS

Hae Jung Atkins, Director
96 North 3rd Street, Suite 200
San Jose, CA 95112 United States
(408) 291-7352 Use the same number for FTS

Olophius Perry, Acting Director
255 E. Temple Avenue, 4th Floor
Los Angeles, CA 90012 United States
(213) 894-1112 Use the same number for FTS

Colorado

Nancy Sienko, Director
303 East 17th Avenue, Suite 510
Denver, CO 80203-9634 United States
(303) 866-1369 Use the same number for FTS

District of Columbia

Mindy weinstein, Acting Director
131 M Street, N.E.
Washington, DC 20507 United States
(202) 419-0770 Use the same number for FTS

Florida

Manuel Zurita, Director
501 East Polk Street, Room 1000
Tampa, FL 33602 United States
(813) 228-2310 Use the same number for FTS

Jacqueline McNair, Director

One Biscayne Tower
2 South Biscayne Boulevard, Suite 2700
Miami, FL 33131 United States
(305) 808-1740 Use the same number for FTS

Georgia

Appendix IV: Agency Regional and Local Office Addresses

Mason Barrett, Director
410 Mall Boulevard, Suite G
Savannah, GA 31406-4821 United States
(912) 652-4234 Use the same number for FTS

Bernice Williams-Kimbrough, Director
100 Alabama Street, Suite 4R30
Atlanta, GA 30303
(404) 562-6930
Use the same number for FTS

Hawaii

Timothy A. Riera, Director
300 Ala Moana Boulevard, Room 7-127
P.O. Box 50082
Honolulu, HI 96850-0051 United States
(808) 541-3722 Use the same number for FTS

Illinois

John P. Rowe, Director
500 West Madison Street, Suite 2800
Chicago, IL 60661 United States
(312) 353-8550 Use the same number for FTS

Indiana

Danny Harter, Director
Federal Building
101 West Ohio Street, Suite 1900
Indianapolis, IN 46204-4203 United States
(317) 226-6418 Use the same number for FTS

Kansas

Billie Ashton, Director
400 State Avenue, Suite 905
Kansas City, KS 66101 United States
(913) 551-5655 Use the same number for FTS

Kentucky

Marcia Hall Craig, Director
U.S. Post Office and Courthouse
600 Martin Luther King Jr. Place, Room 268
Louisville, KY 40202 United States
(502) 582-6744 Use the same number for FTS

Louisiana

Keith Hill, Director
1555 Poydras Street, Ste. 1900
New Orleans, LA 70112 United States
(504) 595-2835 Use the same number for FTS

Maryland

Gerald Kiel, Director
City Crescent Building
10 South Howard Street, 3rd Floor
Baltimore, MD 21201 United States
(410) 962-5634 use the same number for FTS

Massachusetts

Robert L. Sanders, Director
John F. Kennedy Federal Building
1 Congress Street, Room 475
Boston, MA 02203-0506 United States
(617) 565-3191 Use the same number for FTS

Michigan

Gail Cober, Director

McNamara Federal Building
477 Michigan Avenue, Suite 865
Detroit, MI 48226-9704 United States
(313) 226-3347 Use the same number for FTS

Minnesota

Julie Schmid, Acting Director
330 South Second Avenue, Suite 430
Minneapolis, MN 55401-2224 United States
(612) 335-4054 Use the same number for FTS

Mississippi

Wilma Scott, Director
100 W. Capitol Street, Suite 207
Jackson, MS 39269 United States
(601) 948-8400 Use the same number for FTS

Missouri

Webster Smith, Director
1222 Spruce Street, Room 8100
St. Louis, MO 63103 United States
(314) 539-7833 Use the same number for FTS

New Jersey

Corrado Gigante, Director
One Newark Center, 21st Floor
Newark, NJ 07102-5233 United States
(973) 645-5978 Use the same number for FTS

New Mexico

Georgia Marchbanks, Acting Director
505 Marquette Avenue, N.W., Suite 900
Albuquerque, NM 87102-2189
(505) 248-5201 Use the same number for FTS

New York

Elizabeth Cadle, Director
6 Fountain Plaza, Suite 350
Buffalo, NY 14202 United States
(716) 551-4443 Use the same number for FTS

Spencer H. Lewis, Jr., Director
33 Whitehall Street, 5th Floor
New York, NY 10004-2112 United States
(212) 336-3660 Use the same number for FTS

North Carolina

Jose Rosenberg, Director
2303 West Meadowview Road, Suite 201
Greensboro, NC 27407 United States
(336) 547-4080 Use the same number for FTS

Rueben Daniels, Director
129 West Trade Street, Suite 400
Charlotte, NC 28202 United States
(704) 344-6744 Use the same number for FTS

Thomas Colclough, Director
1309 Annapolis Drive
Raleigh, NC 27608-2129 United States
(919) 856-4085 Use the same number for FTS

Ohio

Wilma L. Javey, Director
550 Main Street, Suite 10-019
Cincinnati, OH 45202-5202 United States
(513) 684-2379 Use the same number for FTS

Daniel Cabot, Director
Anthony J Celebrezze Federal Bldg.
1240 E. 9th Street, Ste. 3001
Cleveland, OH 44199 United States
(216) 522-2001 Use the same number for FTS

Oklahoma

Jack Vasquea, Acting Director
215 Dean A. McGee Avenue, Suite 524
Oklahoma City, OK 73102-2265 United States
(405) 231-4356 Use the same number for FTS

Pennsylvania

Joseph Hardiman, Director
Federal Building
1001 Liberty Avenue, Suite 300
Pittsburgh, PA 15222-4187 United States
(412) 644-2760 Use the same number for FTS

Marie M. Tomasso, Director
801 Market Street, 13th Floor
Philadelphia, PA 19103 United States
(215) 440-2620 Use the same number for FTS

Puerto Rico

William Sanchez, Director
Plaza Las Americas
525 F.D. Roosevelt Avenue, Suite 1202
San Juan 00918-8001 United States
(787) 771-1464 Use the same number for FTS

South Carolina

Patricia B. Fuller, Director
301 N. Main Street, Suite 1402
Greenville, SC 29601 United States
(864) 241-4410 Use the same number for FTS

Tennessee

Sarah Smith, Acting Director
50 Vantage Way, Suite 202
Nashville, TN 37228 United States
(615) 736-7481 Use the same number for FTS

Katharine Kores, Director
1407 Union Avenue, Suite 621
Memphis, TN 38104 United States
(901) 544-0091 Use the same number for FTS

Texas

Pedro Esquivel, Director
Mockingbird Plaza, Plaza II
5410 Fredericksburg Road, Suite 200
San Antonio, TX 78229-3555 United States
(210) 281-7642 Use the same number for FTS

Roy Ruff, Director
Mickey Leland Building
1919 Smith Street, 7th Floor
Houston, TX 77002 United States
(713) 209-3379 Use the same number for FTS

Michael Fetzer, Director
207 South Houston Street, 3rd Floor
Dallas, TX 75202-4726 United States
(214) 655-3300 Use the same number for FTS

Teresa Anchondo, Director
300 East Main Street, Suite 500

Appendix IV: Agency Regional and Local Office Addresses

El Paso, TX 79901 United States
(915) 534-6685 Use the same number for FTS

Virginia

Herbert Brown, Director
Federal Building
200 Granby Street, Suite 739
Norfolk, VA 23510 United States
(757) 441-3470 Use the same number for FTS

Patricia Glisson, Director
830 E. Main Street, Suite 600
Richmond, VA 23219 United States
(804) 771-2164 Use the same number for FTS

Washington

Luis Lucero, Director
Federal Office Building
909 First Avenue, Suite 400
Seattle, WA 98104-1061 United States
(206) 220-6870 Use the same number for FTS

Wisconsin

Rosemary Fox, Acting Director
Henry S. Reuss Federal Plaza
310 West Wisconsin Avenue, Suite 800
Milwaukee, WI 53203-2292 United States
(414) 297-4131 Use the same number for FTS

FEDERAL COMMUNICATIONS COMMISSION

Beverly Baker, Chief

Field Operations Bureau
Federal Communications Commission
1919 M Street, N.W., Room 734
Washington, DC 20554-0001
(202) 418-1100
Use the same number for FTS

P.O. Box 1588

Gary P. Soulsby, EIC
Federal Communications Commission
11410 NE 122nd Way, Suite 312
Kirkland, WA 98034-6927
(206) 821-9037

Oliver K. Long, EIC
Federal Communications Commission
P.O. Box 632
Kingsville, TX 78363-0632
(512) 592-2531

James H. Berrie, Jr., EIC
Federal Communications Commission
Grand Islands, NE 68802-1588
(308) 382-4296 (recorded information at nights)

William C. Berry
Federal Communications Commission
U.S. Federal Building, Room 747
San Juan Field Office
747 Federal Building
Hato Rey, PR 00918-1731
(809) 766-5567

Watch Officer

Signal Analysis Branch

1919 M Street, N.W., Room 749
Enforcement Division
Washington, DC 20554
(202) 418-1180
Use the same number for FTS

William L. Kilpatrick, Chief

Equipment Construction and Installation Branch
Federal Communications Commission
3600 Hiram-Lithia Spring Road, S.W.
P.O. Box 65
Powder Springs, GA 30073
(404) 943-6425

Russell D. Monie
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460
(708) 298-5405
Use the same number for FTS

David Viglione, EIC
Federal Communications Commission
1307 Federal Building
111 West Huron Street
Buffalo, NY 14202-2398
(716) 551-4511 (recorded information)

Vacant
Federal Communications Commission
P.O. Box 89
Allegan, MI 49010-9437
(616) 673-2063

George M. Moffitt, EIC
Federal Communications Commission
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, IL 60068-1460
(708) 298-5401/02
Use the same number for FTS

Charles W. Craig, EIC
Federal Communications Commission
1782 Federal Building
1220 S.W. Third Avenue
Portland, OR 97204-2898
(503) 326-4114/5

Vincent Kajunski, EIC
Federal Communications Commission
1 Battery March Park
Quincy, MA 02169-7495
(617) 770-4023

James A. Bridgewater
Federal Communications Commission
24897 Hathaway Street
Farmington Hills, MI 48335-1552
(810) 471-5605
Use the same number for FTS
(810) 471-0052 (for r)

Jack Shedletsy, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318

James R. Zoulek, EIC
Federal Communications Commission
18000 Studebaker Road, Room 660
Cerritos, CA 90701-3684
(310) 809-2096
(310) 865-0598

Serge Marti-Volkoff
Federal Communications Commission
3777 Depot Road, Room 420
Hayward, CA 94545-2756
(510) 732-9046

Dennis P. Carlton
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-9035
Use the same number for FTS

Donald E. Taylor, EIC
Federal Communications Commission
P.O. Box 85
Powder Springs, GA 30073-0085
(770) 943-5420
(770) 242-0165

James C. Hawkins
Federal Communications Commission
800 West Commerce Road, Room 505
New Orleans, LA 70123-3333
(504) 589-2095

Marlene S. Windel
Federal Communications Commission
6721 Raspberry Road
Anchorage, AK 99502-1896
(907) 243-2153

Ralph M. Barlow, EIC
Federal Communications Commission
2203 North Lois Avenue, Room 1215
Tampa, FL 33607-2356
(813) 348-1502

Albert S. Jarratt, Jr., EIC
Federal Communications Commission
2025 Sloan Place, Suite 31
Maplewood, MN 55117-2058
(612) 774-5175

Leo Cirbo, EIC
Federal Communications Commission
165 South Union Boulevard, Suite 860
Lakewood, CO 80228-2213
(303) 969-6497
(303) 776-8026

Jack Shedletsy, EIC
Federal Communications Commission
P.O. Box 1030
Waipahu, HI 96797-1030
(808) 677-3318
Use the same number for FTS

Barry A. Bohac, EIC
Federal Communications Commission
P.O. Box 470
Belfast, ME 04915-0470

Appendix IV: Agency Regional and Local Office Addresses

(207) 338-4088

Fred L. Broce, EIC
Federal Communications Commission
Koger Center-Gwinnett
3575 Koger Boulevard, Room 320
Duluth, GA 30136-4958
(404) 279-4621

James A. Dailey, EIC
Federal Communications Commission
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, MO 64133-4895
(816) 353-3773
Use the same number for FTS

William H. Grigsby, EIC
Federal Communications Commission
Interstate Office Park
4542 Ruffner Street, Room 370
San Diego, CA 92111-2216
(619) 467-0549

Alexander J. Zimny, EIC
Federal Communications Commission
201 Varick Street
New York, NY 10014-4870
(212) 620-3437/8, 3435 (recorded information)
(212) 660-3437, 34

Phil Kane, EIC
Federal Communications Commission
3777 Depot Road, Room 420
Hayward, CA 94545-2725
(510) 732-9046
Use the same number for FTS

John L. Theimer, EIC
Federal Communications Commission
Rochester Building, Room 310
8390 N.W. 53rd Street
Miami, FL 33166-4668
(305) 526-7420

John Hudak, EIC
Federal Communications Commission
P.O. Box 250
Columbia, MD 21045-9998
(301) 725-3474

FEDERAL MEDIATION AND CONCILIATION SERVICE

Eastern

Cleveland, Ohio Regional Office
Mr. Robert Ditillo,
Director of Mediation Services,
6161 Oak Tree Boulevard,
Independence, OH 44131 United States
(216) 520-4811 Fax: (216) 520-4819

Eastern Region

Pittsburgh, Pennsylvania Field Office
Mr. John W. Pinto, Director of Mediation
Services, One Oxford Centre, Suite 2570, 301
Grant Street,
Pittsburgh, PA 15219-1408 United States
(412) 235-7440 Fax: (412) 644-4149

Cleveland, Ohio Field Office
Mr. John Buettner, Director of Field Operations,
6161 Oak Tree Boulevard, Suite 120,
Independence, OH 44131 United States
(216) 520-4805 Fax: (216) 520-4815

Chicago, Illinois Field Office
Mr. Thomas Summers, Director of Mediation
Services, Elm Plaza, Suite 203, 908 North Elm
Street
Hinsdale, IL 60521 United States
(630) 887-4750 Fax: (630) 887-7183

Orlando, Florida Field Office
Mr. Sergio Delgado, Director of Mediation
Service, 3452 Lake Lynda Drive, Suite 122,
Orlando, FL 32817-1472 United States
(407) 382-6598 Fax: (407) 382-3727

Philadelphia, Pennsylvania Field Office
Mr. Scott Blake, Director of Mediation Services,
William J. Green, Jr. Federal Building, Room
3456, 600 Arch Street,
Philadelphia, PA 19106 United States
(215) 717-7500 Fax: (215) 717-7508

Woodbridge, New Jersey Regional Office
Mr. John Sweeney, Director of Mediation
Services, Woodbridge Place Building, Suite 3020,
517 US Highway 1 South,
Iselin, NJ 08830 United States
(732) 726-3121 Fax: (732) 726-3124

Western Region

Los Angeles, California Field Office
Ms. Linda Gonzalez, Director of Mediation
Services, Glendale Financial Square, Suite 410,
225 West Broadway,
Los Angeles, CA 91204 United States
(818) 409-1324 Fax: (818) 409-1321

Seattle, Washington Field Office
Ms. Beth Schindler, Director of Mediation
Services, Westin Building, Suite 2500, 2001 Sixth
Avenue,
Seattle, WA 98121 United States
(206) 553-5800 Fax: (206) 553-6653

St. Louis, Missouri Field Office
Mr. Eugene Bralley, Jr., Director of Mediation
Services, 12140 Woodcrest Executive Drive, Suite
325,
St. Louis, MO 63141-5013 United States
(816) 426-2032 Fax: (816) 426-2033

Minneapolis, Minnesota Field Office
Mr. Glenn Tarkowski, Director of Mediation
Services,
Broadway Place West, Suite 3950
1300 Godward Street
Minneapolis, MN 55413 United States
(612) 331-6667 Fax: (612) 331-5272

GENERAL SERVICES ADMINISTRATION

Central Office

General Services Administration

2200 Crystal Drive, Crystal Plaza 4, Room 706
Arlington, VA 22202 United States
(703) 605-5610 (703) 605-9833 FAX

Great Lakes Region

(Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin)
230 South Dearborn Street
MS 34-6, Room 3430
Chicago, IL 60604-1696
(312) 886-8996

Greater Southwest Region

(Arkansas, Louisiana, New Mexico, Oklahoma, and Texas)
819 Taylor Street, Room 7A07
Fort Worth, TX 76102-6105
(817) 978-3794

Heartland Region

(Iowa, Kansas, Missouri, and Nebraska)
1500 East Bannister Road, Room 1102
Kansas City, MO 64131
(816) 823-3700

Mid Atlantic (National Capital)

(National Capital Region - DC and Metro MD, VA)
490 L'Enfant Plaza East SW, Suite 8214
Washington, DC 20407 United States
(202) 619-8986 (202) 619-8985 FAX

Mid Atlantic Region (National Capital)

(District of Columbia and the DC, Metropolitan area: In Maryland, Montgomery and Prince George's Counties. In Virginia: Arlington, Fairfax, Loudoun, and Prince Williams)

7th & Dst. SW
Washington, DC 20407
(202) 260-6438

Mid-Atlantic Region

(Delaware, Maryland, Pennsylvania, Virginia and West Virginia (except those areas in the National Capital Area)
Strawbridge's Building
20 North 8th St., 10th Floor
Philadelphia, PA 19107-3191
(215) 446-5065

National Capital Region (NCR)

(District of Columbia and the DC, Metropolitan area: In Maryland, Montgomery and Prince George's Counties. In Virginia: Arlington, Fairfax, Loudoun, and Prince Williams Counties and the cities of Alexandria, Fairfax, and Falls Church)
Business Service Center (WCAB)
7th & D Streets, SW, Room 1050
Washington, DC 20407
(202) 708-5804
(202) 205-2872 FAX

New England Region

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont)
Thomas P. O'Neill, Jr. Federal Building

Appendix IV: Agency Regional and Local Office Addresses

10 Causeway Street, 3rd Floor, Room 347
Boston, MA 02222
(617) 565-7319

Northeast and Caribbean Region

(New Jersey, New York, Puerto Rico, and U.S. Virgin Islands)
26 Federal Plaza, Room 20-112
New York, NY 10278
(212) 264-3300

Northwest/Arctic Region

(Alaska, Idaho, Oregon, and Washington)
400 15th Street, S.W.
Auburn, WA 98001-6599
(253) 931-7934

Pacific Rim Region

(American Samoa, Arizona, California, Commonwealth of the Northern Mariana Islands, Guam, Hawaii, and Nevada)
450 Golden Gate Avenue
San Francisco, CA 94102-3434
(415) 522-3029

Region 1

(New England Region- Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont) O'Neill Federal Building, 10 Causeway Street, Room 1085
Boston, MA 02222-1047 United States
(617) 565-6573 (617) 565-5767 FAX

Region 10

(Northwest Arctic Region - Alaska, Idaho, Oregon, Washington) 400 15th Street SW
Auburn, WA 98001-6599 United States
(253) 931-7021(253) 931-7824 FAX

Region 2

(Northeast and Caribbean Region - New Jersey, New York, Puerto Rico, U.S. Virgin Islands) 26 Federal Plaza, Room 20-112
New York, NY 10278 United States
(212) 264-2626 (212) 264-9806FAX

Region 3

(Mid-Atlantic Region - Delaware, Maryland and Virginia (except District of Columbia Metropolitan area), Pennsylvania, West Virginia) The Strawbridge Building, 20 North Eighth Street, 10th Floor
Philadelphia, PA 19107 United States
(215) 446-5060 (215) 829-2760 FAX

Region 4

(Southeast Sunbelt Region - Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee) 401 West Peachtree Street, Suite 2600
Atlanta, GA 30308 United States
(404) 332-3323(404) 331-1877 FAX

Region 5

(Great Lakes Region - Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin)

230 South Dearborn Street, MS 34-6, Room 3430
Chicago, IL 60604-1696 United States
(312) 886-8194(312) 886-8901 FAX

Region 6

(Heartland Region - Iowa, Kansas, Missouri, Nebraska)
1500 East Bannister Road, Building 6, 1st Floor
Kansas City, MO 64131 United States
(816) 823-3719 (816) 823-3711FAX

Region 7

(Greater Southwest Region - Arkansas, Louisiana, New Mexico, Oklahoma, Texas)
819 Taylor Street
Room 13A05
Fort Worth, TX 76102 United States
(817) 850-8353 (817) 574-2366FAX

Region 8

(Rocky Mountain Region - Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Business Service Center (8AB)
Denver Federal Center
Building 41, Room 240
P.O. Box 25006
Denver, CO 80225
(303) 236-7408
(303) 236-7403 FAX

(Rocky Mountain Region - Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming)
Denver Federal Center Building 41
P.O. Box 25506
Denver, CO 80225-0506 United States
(303) 236-7700 (303) 236-7544 FAX

Region 9

(Pacific Rim Region - Arizona, California, Nevada, Hawaii, Guam, American Samoa, Northern Mariana Islands)
450 Golden Gate Avenue
San Francisco, CA 94102-3434 United States
(415) 522-3030 415) (415) 522-3033 FAX

Region I

(Illinois, Indiana, Michigan, Wisconsin, Ohio, Minnesota, Maine, Vermont, New Hampshire, Rhode Island, Massachusetts, Connecticut, New York, New Jersey, Puerto Rico, and the Virgin Islands)
10 Causeway Street
Boston, MA 02222
(617) 565-5700

Region IV

(Maryland, Delaware, Virginia, Pennsylvania, West Virginia, North Carolina, Mississippi, South Carolina, Tennessee, Georgia, Alabama, Florida, Kentucky and the District of Columbia)
401 W. Peachtree Street
Atlanta, GA 30365-2550
(404) 331-5133

Region IX

(California, Nevada, Arizona, Hawaii, Guam,

American Samoa, the Trust Territory of the Pacific Islands, Alaska, Idaho, Oregon, and Washington)
450 Golden Gate Avenue, 4th Floor East
San Francisco, CA 94102-3429
(415) 522-3429

Region VII

(Kansas, Missouri, Iowa, Nebraska, Arkansas, Louisiana, New Mexico, Oklahoma, Texas, Colorado, North Dakota, South Dakota, Montana, and Utah)
819 Taylor Street
Fort Worth, TX 76102
(817) 978-2331

Southeast Sunbelt Region

(Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, and Tennessee)
401 West Peachtree Street, Room 2600
Atlanta, GA 30365
(404) 331-0040
Satellite Office
General Services Administration
Small Business Center (9ADB-L)

NATIONAL LABOR RELATIONS BOARD

Alabama

Information Officer
Ridge Park Place
1130 South Street, Suite 3400
Birmingham, AL 35205-2870 United States
(205) 933-3018 Use the same number for FTS

Alaska

Information Officer
Anchorage Federal Office Building
605 West 4th Avenue, Suite 210
Anchorage, AK 99501-3170 United States
(907) 271-5015 Use the same number for FTS

Arizona

Information Officer
2600 North Central Avenue, Suite 1800
Phoenix, AZ 85004-3099 United States
(602) 640-2160 Use the same number for FTS

Arkansas

Information Officer
Metropolitan Bank Building
425 West Capitol Avenue, Suite 1615
Little Rock, AR 72201-3489 United States
(501) 324-6311 Use the same number for FTS

California

Information Officer
Ronald V. Dellums Federal Building and Courthouse
1301 Clay Street, Suite 300N
Oakland, CA 94612-5224 United States
(510) 637-3300 Use the same number for FTS
Information Officer
901 Market Street, Suite 400

Appendix IV: Agency Regional and Local Office Addresses

San Francisco, CA 94103-1735 United States
(415) 356-5130 Use the same number for FTS

Information Officer
888 Figueroa Street, 9th Floor
Los Angeles, CA 90017-5499 United States
(213) 894-5200 Use the same number for FTS

Information Officer
555 West Beech Street, Suite 418
San Diego, CA 92101-2939 United States
(619) 557-6184 Use the same number for FTS

Information Officer
11150 W. Olympic Boulevard, Suite 700
Los Angeles, CA 90064-1824 United States
(310) 235-7351 Use the same number for FTS

Colorado

Information Officer
600 17th Street, 7th Floor, North Tower
Denver, CO 80202-5433
(303) 844-3551
Use the same number for FTS

Connecticut

Information Officer
280 Trumbull Street - 21st Floor
Hartford, CT 06103-3503 United States
(860) 240-3522 Use the same number for FTS

District of Columbia

Information Officer
1099 14th Street, N.W., Suite 5530
Washington, DC 20570-0001
(202) 208-3000
Use the same number for FTS

Florida

Information Officer
550 Water Street, Room 340
Jacksonville, FL 32202-5177 United States
(904) 232-3768 Use the same number for FTS

Information Officer
201 E. Kennedy Boulevard, Suite 530
Tampa, FL 33602-5824 United States
(813) 228-2641 Use the same number for FTS

Information Officer
Federal Building
51 Southwest 1st Avenue, Room 1320
Miami, FL 33130-1623 United States
(305) 536-5391 Use the same number for FTS

Georgia

Information Officer
233 Peachtree Street, N.E., Suite 1000
Atlanta, GA 30303-1531 United States
(404) 331-2896 Use the same number for FTS

Hawaii

Information Officer
300 Ala Moana Boulevard - Room 7-245
Honolulu, HI 96850-4980 United States
(808) 541-2814 Use the same number for FTS

Illinois

Information Officer

300 Hamilton Boulevard, Suite 200
Peoria, IL 61602-1246 United States
(309) 671-7080 Use the same number for FTS

Information Officer
The Rookery Building
209 South LaSalle Street, Suite 900
Chicago, IL 60604-1219 United States
(312) 353-7570 Use the same number for FTS

Indiana

Information Officer
575 North Pennsylvania Street, Room 238
Indianapolis, IN 46204-1577 United States
(317) 226-7381 Use the same number for FTS

Iowa

Information Officer
210 Walnut Street, Suite 439
Des Moines, IA 50309-2103
(515) 284-4391 Use the same number for FTS

Kansas

Information Officer
8600 Farley Street, Suite 100
Overland Park, KS 66212-4677
(913) 967-3000
Use the same number for FTS

Louisiana

Information Officer
600 South Maestri Place - 7th Floor
New Orleans, LA 70130-3408 United States
(504) 589-6361 Use the same number for FTS

Maryland

Information Officer
103 South Gay Street, 8th Floor
Baltimore, MD 21202-4061
(410) 962-2822 Use the same number for FTS

Massachusetts

Information Officer
10 Causeway Street, 6th Floor
Boston, MA 02222-1072
(617) 565-6700
Use the same number for FTS

Michigan

Information Officer
477 Michigan Avenue, Room 300
Detroit, MI 48226-2569
(313) 226-3200
Use the same number for FTS

Information Officer
Gerald R. Ford Federal Building
110 Michigan Street NW
Room 299
Grand Rapids, MI 49503-2363 United States
(616) 456-2679 Use the same number for FTS

Minnesota

Information Officer
330 South Second Avenue, Suite 790
Minneapolis, MN 55401-2221
(612) 348-1757 Use the same number for FTS

Missouri

Information Officer
1222 Spruce Street, Room 8.302
St. Louis, MO 63103-2829 United States
(314) 539-7770 Use the same number for FTS

Nevada

Information Officer
600 Las Vegas Boulevard South, Suite 400
Las Vegas, NV 89101-6637
(702) 388-6416
Use the same number for FTS

New Jersey

Information Officer
20 Washington Place-5th Floor
Newark, NJ 07102-3110
(973) 645-2100 Use the same number for FTS

New Mexico

Information Officer
421 Gold Avenue SW, Suite 310
Albuquerque, NM 87102 United States
(505) 248-5125 Use the same number for FTS

New York

Information Officer
Clinton Avenue at N. Pearl Street, Room 342
Albany, NY 12207-2350
(518) 431-4156
Use the same number for FTS

Information Officer
26 Federal Plaza, Room 3614
New York, NY 10278-0104 United States
(212) 264-0300 Use the same number for FTS

Information Officer
Niagra Center Building
130 South Elmwood Avenue, Suite 630
Buffalo, NY 14202-2465 United States
(716) 551-4931 Use the same number for FTS

Information Officer
Two Metro Tech Center
Suite 5100
Brooklyn, NY 11201-3838 United States
(718) 330-7713 Use the same number for FTS

North Carolina

Information Officer
4035 University Parkway, Suite 200
Winston-Salem, NC 27106-3325 United States
(336) 631-5201 Use the same number for FTS

Ohio

Information Officer
550 Main Street, Room 3003
Cincinnati, OH 45202-3271
(513) 684-3686
Use the same number for FTS

Information Officer
1240 East 9th Street, Room 1695
Cleveland, OH 44199-2086 United States
(216) 522-3715 Use the same number for FTS

Oklahoma

Appendix IV: Agency Regional and Local Office Addresses

Information Officer
224 South Boulder Avenue, Room 318
Tulsa, OK 74103-3027 United States
(918) 581-7951 Use the same number for FTS

Oregon

Information Officer
601 S.W. Second Avenue, Suite 1910
Portland, OR 97204-3170 United States
(503) 326-3085 Use the same number for FTS

Pennsylvania

Information Officer
615 Chestnut Street, 7th Floor
Philadelphia, PA 19016-4404
(215) 597-7601
Use the same number for FTS

Information Officer
William S. Moorehead Federal Building
1000 Liberty Avenue, Room 904
Pittsburgh, PA 15222-4111 United States
(412) 395-4400 Use the same number for FTS

Puerto Rico

Information Officer
525 F.D. Roosevelt Avenue, Suite 1002
San Juan, PR 00918-1002 United States
(787) 766-5347 Use the same number for FTS

Tennessee

Information Officer
810 Broadway, Suite 302
Nashville, TN 37203-3859 United States
(615) 736-5921 Use the same number for FTS

Information Officer
The Brinkley Plaza Building
80 Monroe Avenue
Memphis, TN 38103-2416 United States
(901) 544-0018 Use the same number for FTS

Texas

Information Officer
Garacia Federal Building
615 East Houston Street, Suite 401
San Antonio, TX 78205-2039 United States
(210) 472-6140 Use the same number for FTS

Information Officer
Mickey Leland Federal Building
1919 Smith Street, Suite 1545
Houston, TX 77002-2649 United States
(713) 209-4888 Use the same number for FTS

Information Officer
P.O. Box 971187
El Paso, TX 79997-1187 United States
(915) 592-6571 Use the same number for FTS

Information Officer
819 Taylor Street, Room 8A24
Fort Worth, TX 76102-6178 United States
(817) 978-2921 Use the same number for FTS

Washington

Information Officer
915 2nd Avenue, Room 2948

Seattle, WA 98174-1078
(206) 220-6300
Use the same number for FTS

Wisconsin

Information Officer
310 West Wisconsin Avenue, Suite 700W
Milwaukee, WI 53203-2211 United States
(414) 297-3861 Use the same number for FTS

SMALL BUSINESS ADMINISTRATION

Disaster Area 1 Office (Covers Regions I and II)

360 Rainbow Boulevard S., 3rd Floor
Niagara Falls, NY 14303-1192
(716) 282-4612
Use the same number for FTS

Disaster Area 2 Office (Covers Regions III, IV and V)

One Baltimore Place, Suite 300
Atlanta, GA 30308
(404) 347-3771
Use the same number for FTS

Disaster Area 3 Office (Covers Regions VI and VII)

4400 Amon Carter Boulevard, Suite 102
Ft. Worth, TX 76155
(817) 885-7600
Use the same number for FTS

Disaster Area 4 Office (Covers Regions VIII, IX and X)

1825 Bell Street, Suite 208
Sacramento, CA 95825
(916) 566-7240
Use the same number for FTS

Region I

New Hampshire District Office
143 North Main Street, Suite 202
Concord, NH 03301-1257
(603) 225-1400
Use the same number for FTS

Connecticut District Office
Federal Building
330 Main Street, 2nd Floor
Hartford, CT 06106
(203) 240-4700
Use the same number for FTS

Maine District Office
Federal Building
40 Western Avenue, Room 512
Augusta, ME 04330
(207) 622-8378
Use the same number for FTS

Springfield Branch Office
1441 Main Street, Suite 410
Springfield, MA 01103
(413) 785-0268

(Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont)

10 Causeway Street, Suite 812
Boston, MA 02222
(617) 565-8415
Use the same number for FTS

Massachusetts District Office
10 Causeway Street, Room 265
Boston, MA 02222-1093
(617) 565-5590
Use the same number for FTS

Vermont District Office
Federal Building
87 State Street, Room 205
Montpelier, VT 05602
(802) 828-4422

Use the same number for FTS

Rhode Island District Office
380 Westminister Mall, 5th Floor
Providence, RI 02903
(401) 528-4561
Use the same number for FTS

Region II

New Jersey District Office
Two Gateway Center, 15th Floor
Newark, NJ 07102
(973) 645-2434
Use the same number for FTS

Puerto Rico and USVI District Office
Federico Degetau Federal Building
252 Ponce DeLeon Boulevard, Suite 201
San Juan, PR 00918
(787) 766-5272
Use the same number for FTS

Melville Branch Office
35 Pinelawn Road, Suite 207W
Melville, NY 11747
(516) 454-0750

Rochester Branch Office
100 State Street, Suite 410
Rochester, NY 14614
(716) 263-6700

New York District Office
26 Federal Plaza, Room 31-00
New York, NY 10278
(212) 264-2454
Use the same number for FTS

Buffalo District Office
Federal Building
111 West Huron Street, Room 1311
Buffalo, NY 14202
(716) 551-4301
Use the same number for FTS

(New Jersey, New York, Puerto Rico, Virgin Islands)

26 Federal Plaza, Suite 3108
New York, NY 10278
(212) 264-1450
Use the same number for FTS

Elmira Branch Office
333 E. Water Street, 4th Floor

Appendix IV: Agency Regional and Local Office Addresses

Elmira, NY 14901
(607) 734-8130

Syracuse District Office
401 South Salina Street, 5th Floor
Syracuse, NY 13202
(315) 471-9393
Use the same number for FTS

Region III

West Virginia District Office
West Pike Street, Suite 330
Clarksburg, WV 26301
(304) 623-5631
Use the same number for FTS

Wilkes-Barre Branch Office
7 North Wilkes-Barre Boulevard
Wilkes-Barre, PA 18702
(570) 826-6497

Harrisburgh Branch Office
100 Chestnut Street, Room 108
Harrisburg, PA 17101
(717) 782-3840

(Delaware, District of Columbia, Maryland,
Pennsylvania, Virginia, West Virginia)
900 Market Street, 5th Floor
Philadelphia, PA 19107
(215) 580-2SBA
Use the same number for FTS

Philadelphia District Office
900 Market Street, 5th Floor
Philadelphia, PA 19406
(215) 580-2SBA
Use the same number for FTS

Delaware District Office
824 N. Market Street, Suite 610
Wilmington, DE 19801
(302) 573-6294

Washington District Office
1110 Vermont Avenue, N.W., Suite 900
Washington, DC 20005
(202) 606-4000
Use the same number for FTS

Richmond District Office
400 North 8th Street, Suite 1150
Richmond, VA 23240-0126
(804) 771-2400
Use the same number for FTS

Baltimore District Office
10 South Howard Street, Suite 6220
Baltimore, MD 21201-2565
(410) 962-4392
Use the same number for FTS

Pittsburgh District Office
1000 Liberty Avenue, Room 1128
Pittsburgh, PA 15222
(412) 395-6560
Use the same number for FTS

Region IV

Georgia District Office
1720 Peachtree Road, N.W., 6th Floor

Atlanta, GA 30309
(404) 347-4147
Use the same number for FTS

North Florida District Office
7825 Baymeadows Way, Suite 100-B
Jacksonville, FL 32256-7504
(904) 443-1900
Use the same number for FTS

South Florida District Office
100 S. Biscayne Boulevard, 7th Floor
Miami, FL 33131
(305) 536-5521
Use the same number for FTS

Gulfport Branch Office
2909 13th Street, Suite 203
Gulfport, MS 39501
(228) 863-4449

North Carolina District Office
200 North College Street, Suite A2015
Charlotte, NC 28202-2137
(704) 344-6563
Use the same number for FTS

Alabama District Office
2121 8th Avenue North, Suite 200
Birmingham, AL 35203-2398
(205) 731-1344
Use the same number for FTS

South Carolina District Office
1835 Assembly Street, Room 358
Columbia, SC 29201
(803) 765-5377
Use the same number for FTS

Kentucky District Office
Federal Building
600 Martin Luther King, Jr. Place, Room 188
Louisville, KY 40202
(502) 582-5971
Use the same number for FTS

(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina, South Carolina,
Tennessee)
1720 Peachtree Road, N.W., Suite 496
Atlanta, GA 30309-2482
(404) 347-4999
Use the same number for FTS

Tennessee District Office
50 Vantage Way, Suite 201
Nashville, TN 37228-1500
(615) 736-5881
Use the same number for FTS

Mississippi District Office
101 West Capitol Street, Suite 400
Jackson, MS 39201
(601) 965-4378
Use the same number for FTS

Region IX

Guama Branch Office
400 Route 8
Mongmong, GU 96927

(671) 472-7419
Use the same number for FTS
(Arizona, California, Hawaii, Nevada, Pacific
Islands)
455 Market Street, Suite 2200
San Francisco, CA 94105
(415) 744-2118
Use the same number for FTS

Sacramento District Office
660 J Street, Suite 215
Sacramento, CA 95814
(916) 498-6410
Use the same number for FTS

San Diego District Office
550 West "C" Street, Suite 550
San Diego, CA 92101-3540
(619) 557-7250
Use the same number for FTS

Santa Ana District Office
200 West Santa Ana Boulevard, Suite 700
Santa Ana, CA 92701
(714) 550-7420
Use the same number for FTS

San Francisco District Office
455 Market Street, 6th Floor
San Francisco, CA 94105
(415) 744-6820
Use the same number for FTS

Arizona District Office
2828 North Central Avenue, Suite 800
Phoenix, AZ 85004-1093
(602) 745-7200
Use the same number for FTS

Nevada District Office
300 Las Vegas Boulevard South, Suite 1100
Las Vegas, NV 89101
(702) 388-6611
Use the same number for FTS

Fresno District Office
2719 North Air Fresno Drive, Suite 200
Fresno, CA 93727-1547
(559) 487-5791
Use the same number for FTS

Hawaii District Office
300 Ala Moana Boulevard, Room 2-235
Honolulu, HI 96850-4981
(808) 541-2990
Use the same number for FTS

Los Angeles District Office
330 North Brand Boulevard, Suite 200
Glendale, CA 91203-2304
(818) 552-3210
Use the same number for FTS

Region V

Minnesota District Office
100 North 6th Street, Suite 610
Minneapolis, MN 55403-1563
(612) 370-2324
Use the same number for FTS

Appendix IV: Agency Regional and Local Office Addresses

Indiana District Office
429 North Pennsylvania Street, Suite 100
Indianapolis, IN 46204-1873
(317) 226-7272

Use the same number for FTS

Columbus District Office
2 Nationwide Plaza, Suite 1400
Columbus, OH 43215-2592
(614) 469-6860

Use the same number for FTS

Illinois District Office
500 West Madison Street, Room 1250
Chicago, IL 60661-2511
(312) 353-4528

Use the same number for FTS

Cincinnati Branch Office
525 Vine Street, Suite 870
Cincinnati, OH 45202
(513) 684-2814

Wisconsin District-Milwaukee Office
310 W. Wisconsin Avenue, Suite 400
Milwaukee, WI 53202
(414) 297-3941

Marquette Branch Office
501 South Front Street
Marquette, MI 49855
(906) 225-1108

Springfield Branch Office
511 W. Capitol Avenue, Suite 302
Springfield, IL 62704
(217) 492-4416

Michigan District Office
477 Michigan Avenue, Room 515
Detroit, MI 48226
(313) 226-6075

Use the same number for FTS

Cleveland District Office
1111 Superior Avenue, Suite 630
Cleveland, OH 44114-2507
(216) 522-4180

Use the same number for FTS

Wisconsin District-Madison Office
740 Regent Street, Suite 100
Madison, WI 53715
(608) 264-5263

Use the same number for FTS

(Illinois, Indiana, Michigan, Minnesota, Ohio,
Wisconsin)

Federal Building
500 West Madison Street, Suite 1240
Chicago, IL 60661-2511
(312) 353-0357

Use the same number for FTS

Region VI

Wichita District Office
100 East English Street, Suite 510
Wichita, KS 67202
(316) 269-6616

Use the same number for FTS

Corpus Christi Branch Office
606 N. Carancahua, Suite 1200
Corpus Christi, TX 78476
(361) 888-3331

Arkansas District Office
2120 Riverfront Drive, Suite 100
Little Rock, AR 72202
(501) 324-5871

Use the same number for FTS

Springfield Branch Office
620 S. Glenstone Street, Suite 110
Springfield, MO 65802
(417) 864-7670

Region VII

Des Moines District Office
New Federal Building
210 Walnut Street, Room 749
Des Moines, IA 50309
(515) 284-4422

Use the same number for FTS

San Antonio District Office
727 East Durango, Room A-527
San Antonio, TX 78206
(210) 472-5900

Use the same number for FTS

Cedar Rapids District Office
215 4th Avenue, S.E., Suite 200
Cedar Rapids, IA 52401-1806
(319) 362-6405

Use the same number for FTS

New Mexico District Office
625 Silver Avenue, S.W., Suite 320
Albuquerque, NM 87102
(505) 346-7909

Use the same number for FTS

Houston District Office
9301 Southwest Freeway, Suite 550
Houston, TX 77074-1591
(713) 773-6500

Use the same number for FTS

Louisiana District Office
365 Canal Street, Suite 2250
New Orleans, LA 70130
(504) 589-6685

Use the same number for FTS

El Paso District Office
10737 Gateway West, Suite 320
El Paso, TX 79935
(915) 633-7001

Use the same number for FTS

(Arkansas, Louisiana, New Mexico, Oklahoma,
Texas)
4300 Amon Carter Boulevard, Suite 108
Ft. Worth, TX 76155
(817) 885-6581

Use the same number for FTS

Oklahoma District Office
210 Park Avenue, Suite 1300
Oklahoma City, OK 73102
(405) 231-5521

Use the same number for FTS

Dallas/Fort Worth District Office
4300 Amon Center Boulevard, Suite 114
Ft. Worth, TX 76155
(817) 885-6500

Use the same number for FTS

Lower Rio Grande Valley District Office
222 East Van Buren Street, Room 500
Harlingen, TX 78550
(956) 427-8533

Use the same number for FTS

Nebraska District Office
11145 Mill Valley Road
Omaha, NE 68154
(402) 221-4691

Use the same number for FTS

Kansas City District Office
323 West 8th Street, Suite 501
Kansas City, MO 64105
(816) 374-6708

Use the same number for FTS

Lubbock District Office
1205 Texas Avenue
Lubbock, TX 79401-2693
(806) 472-7462

Use the same number for FTS

St. Louis District Office
815 Olive Street, Room 242
St. Louis, MO 63101
(314) 539-6600

Use the same number for FTS

Region VII

(Iowa, Kansas, Missouri, Nebraska)
323 West 8th Street, Suite 307
Kansas City, MO 64105-1500
(816) 374-6380

Use the same number for FTS

Region VIII

Montana District Office
301 South Park, Room 334
Helena, MT 59626
(406) 441-1081

Use the same number for FTS

Utah District Office
Federal Building
125 South State Street, Room 2231
Salt Lake City, UT 84138-1195
(801) 524-5804

Use the same number for FTS

(Colorado, Montana, North Dakota, South Dakota,
Utah, Wyoming)
721 19th Street, Suite 400
Denver, CO 80202-2599

(303) 844-0500

Use the same number for FTS

Wyoming District Office
Federal Building
100 East B Street, Room 4001
Casper, WY 82602-2839

Appendix IV: Agency Regional and Local Office Addresses

(307) 261-6500
Use the same number for FTS

North Dakota District Office
Federal Building
657 2nd Avenue, North, Room 219
Fargo, ND 58108-3086
(701) 239-5131

Use the same number for FTS

South Dakota District Office
110 South Phillips Avenue, Suite 200
Sioux Falls, SD 57104-6727
(605) 330-4243

Use the same number for FTS

Coloradom District Office
721 19th Street, Room 426
Denver, CO 80202-2599
(303) 844-2607

Use the same number for FTS

Region X

Boise District Office
1020 Main Street, Suite 290
Boise, ID 83702-5745
(208) 334-1696

Use the same number for FTS

(Alaska, Idaho, Oregon, Washington)
1200 6th Avenue, Suite 1805
Seattle, WA 98101-1128
(206) 553-5676

Use the same number for FTS

Spokane District Office
801 West Riverside Avenue, Suite 200
Spokane, WA 99201-0901
(509) 353-2809

Use the same number for FTS

Alaska District Office
222 West 8th Avenue, Room A36
Anchorage, AK 99513-7559
(907) 271-4022

Use the same number for FTS

Portland District Office
1515 S.W. Fifth Avenue, Suite 1050
Portland, OR 97201-5494
(503) 326-2682

Use the same number for FTS

Seattle District Office
1200 6th Avenue, Suite 1700
Seattle, WA 98101-1128
(206) 553-7310

Use the same number for FTS

DEPARTMENT OF VETERANS AFFAIRS

NATIONAL CEMETERY SYSTEM

Robert A. Wilk, Director
National Cemetery Area Office
730 Peachtree Street, N.E.
Atlanta, GA 30365
(404) 347-2121

Mr. Dan Banz, Supervisor
Memorail Programs Processing Site
Fort Leavenworth National Cemetery
395 Biddle Boulevard
Ft. Leavenworth, KS 66077-2307

Ms. Gina White, Supervisor
Memorial Programs Processing Site
Department of Veterans Affairs (403A2)
National Cemetery Administration
810 Vermont Avenue, N.W.
Washington, DC 20420
(202) 565-4257

Mr. Don Murphy, Supervisor
Memorial Programs Processing Site
Nashville National Cemetery
1420 Gallatin Road
Madison, TN 37115-4619
(615) 736-2840

Patrick J. Gartland, Director
National Cemetery Area Office
P.O. Box 11720
Philadelphia, PA 19101
(215) 381-3787

Thomas G. Balsanek, Director
National Cemetery Area Office
P.O. Box 25126
Denver, CO 80225
(303) 914-5700

Mr. Wayne A. Simpson, Chief
Centralized Contracting Division
5101 Russell Road
Quantico, VA 22134-3903
(703) 441-3088

Mr. James Flanagan, Supervisor
Memorial Programs Processing Site
Indiantown Gap National Cemetery
R.R. #2
P.O. Box 484A
Annville, PA 17003-9618

VA HEALTH ADMINISTRATION CENTER

VETERANS MEDICAL FACILITIES

Alabama

VA Central Alabama Health Care System
215 Perry Hill Road
Montgomery, AL 36109-3798
(334) 272-4670
(700) 228-4115 FTS

VA Central Alabama Health Care System
Kenneth Rufe, Acting Director
Tuskegee, AL 36083
(304) 727-0550
FTS (700) 534-3550

Kenneth Ruyle, Director Acting
Tuscaloosa, AL 35404
(205) 554-2000
(700) 228-2760 FTS

Y.C. Paris, Director
700 South 19th Street

Birmingham, AL 35233
(205) 933-8101
(700) 534-6581 FTS

Alaska

Alex Spector
VA Medical/Regional Office Center
235 East 8th Avenue
Anchorage, AK 99508-2989
(907) 257-4700

Arizona

Patricia McKlem, Director
Prescott, AZ 86313-5000
(520) 445-4860
(700) 768-7000 FTS

Jonathan Gardner, Director
Tucson, AZ 85723-0001
(520) 792-1450
(700) 765-1450 FTS

John R. Fears, Director
7th Street at Indian School Road
Phoenix, AZ 85012
(602) 277-5551
(700) 761-7000 FTS

Arkansas

Richard Robinson
Fayetteville, AR 72703
(501) 443-4301
(700) 742-5555 FTS

George "Buzz" Gray, Jr., Director
300 East Roosevelt Road
Little Rock, AR 72205
(501) 660-1202
FTS (700) 742-2100

California

Brian O'Neill, MD., Acting
Northern CA Health Care System
2800 Contra Costa Boulevard
Pleasant Hill, CA 94523-3961
(510) 372-2000
(700) 454-2000 FTS

Vacant

VA Southern California System of Clinics West
Los Angeles HCS
Sepulveda, CA 91343-2099
(818) 891-7711
(818) 891-7711 FTS

Vacant

VA Southern California System of Clinics West
Los Angeles HCS
11301 Wilshire Boulevard
West Los Angeles, CA 90073-1002
(310) 478-3711
(700) 748-3711 FTS

Lawrence Stewart, Director
5901 East Seventh Street
Long Beach, CA 90822-5201
(310) 494-2611
(700) 966-2611 FTS

Appendix IV: Agency Regional and Local Office Addresses

James Goff, Director
VA Palo Alto Health Care system
Livermore Division
Palo Alto Division
Livermore, CA 94550
(510) 447-2560
(700) 467-6489 FTS

Alan S. Perry
2615 East Clinton Avenue
Fresno, CA 93703-2223
(209) 225-6100
FTS (700) 466-5000

Dean R. Stordahl, Director
11201 Benton Street
Loma Linda, CA 90822-5201
(909) 825-7084
(700) 996-2811 FTS

Sheila M. Cullen
4150 Clement Street
San Francisco, CA 94121-1598
(415) 221-4810
(415) 221-4810 FTS

James Goff, Director
VA Palo Alto Health Care System
Livermore Division
Palo Alto Division
3801 Miranda Avenue
Palo Alto, CA 94304-1207
(415) 493-5000
FTS (700) 463-5000

Gary Rossio
3350 La Jolla Village Drive
San Diego, CA 92161-0001
(619) 552-8585
(700) 897-3100 FTS

Colorado

Stuart Collyer, Director
Fort Lyon, CO 81038-5000
(719) 384-3100

Edgar Thorsland, Jr., Director
1055 Clermont Street
Denver, CO 80220-0166
(303) 399-8020
(700) 322-3020 FTS

Kurt Schlegelmich, MD, Director
Grand Junction, CO 81501-6499
(303) 242-0731
(700) 322-0031 FTS

Connecticut

Paul McCool
VA Connecticut Health Care System
Newington Division
West Haven Division
West Spring Street
West Haven, CT 06516
(203) 932-5711
(700) 428-3811 FTS

Paul McCool
VA Connecticut Health Care System

Newington Division
West Haven Division
55 Willard Avenue
Newington, CT 06111
(860) 666-6951
(700) 643-1631 FTS

Delaware

Dexter Dix, Director
1601 Kirkwood Highway
Wilmington, DE 19805
(302) 994-2511
(700) 487-5598 FTS

District of Columbia

Sanford M. Garfunkel
50 Irving Street, N.W.
Washington, DC 20422
(202) 745-8000
(700) 921-8000 FTS

Florida

ZEdward H. Seiler
West Palm Beach, FL 33420-3207
(561) 882-6700
(561) 882-6700 FTS

Thomas N. Weaver, Director
Bay Pines, FL 33744
(813) 398-6661
(700) 826-4011 FTS

VA North Florida and South Georgia HCS
Elwood Headley, MD., Director
Archer Road
Gainesville, FL 32608-1197
(325) 376-1611
(700) 947-6113 FTS

Eldwood Headley, MD
Lake City, FL 32055-5898
(904) 755-3016
(700) 947-2400 FTS

Thomas C. Doherty, Director
1201 N.W. 16th Street
Miami, FL 33125
(305) 324-4455
(700) 351-3111 FTS

Richard A. Silver
Bruce B. Downs Boulevard
Tampa, FL 33612
(813) 972-2000
(700) 822-6011 FTS

Georgia

Ellen DeGeorge-Smith
2460 Wrightsboro Road
Augusta, GA 30904-6285
(706) 733-0188
(700) 251-7000 FTS

James Trusley
2460 Wrightsboro Road
Dublin, GA 31021
(912) 272-1210
(700) 258-2000

Robert Perrault, Director
1670 Clairmont Road
Atlanta, GA 30033
(404) 321-6111
FTS (700) 248-6000

Idaho

Wayne C. Tippetts
Fifth and Fort Streets
Boise, ID 83702-4598
(208) 336-5100
(700) 442-1000 FTS

Illinois

Indianapolis
Robert H. Sasin, Acting Director
1481 West 10th Street
Indianapolis, IN 46202-2884
(317) 635-7401
(700) 332-2901 FTS

VA Chicago Health Care System
Vacant
333 East Huron Street
Chicago, IL 60611
(312) 943-6600
(312) 943-6600 FTS

James Jones, Director
Danville, IL 61832-5198
(217) 442-8000
(700) 951-4000 FTS

John J. DeNardo, Director
Hines, IL 60141-5000
(708) 343-7200
(700) 381-2500 FTS

VA Chicago Health Care System
Vacant
820 South Damen Avenue
Chicago, IL 60612
(312) 666-6500
(700) 388-2000 FTS

Alfred S. Pate, Director
North Chicago, IL 60064
(847) 688-1900
(700) 384-4700 FTS

Michael W. Murphy, Ph.D., Director
VA Northern Indiana Health Care System
1600 Randallia Drive
Fort Wayne, IN 46805-5100
(219) 426-5431
(700) 333-5300 FTS

Earl Falast, Director
2401 West Main Street
Marion, IL 62959
(618) 997-5311
(700) 276-0000 FTS

Kansas

Kent Hill
5500 East Kellogg
Wichita, KS 67218
(316) 685-2221
(700) 752-2221 FTS

Appendix IV: Agency Regional and Local Office Addresses

Kentucky

Helen K. Cornish, Director
Leestown Road
Lexington, KY 40511-1093
(606) 233-4511
(700) 352-4000 FTS

Larry Sander
800 Zorn Avenue
Louisville, KY 40206-1499
(502) 895-3401
(700) 548-5000 FTS

Louisiana

Allen S. Goss, Director
Shreveport Highway
Alexandria, LA 71301
(318) 473-0010
(700) 497-0251 FTS

John D. Church, Jr.
1601 Perdido Street
New Orleans, LA 70146
(504) 568-0811
(700) 682-5811 FTS

Billy Valentine
510 East Stoner Avenue
Shreveport, LA 71101-4295
(318) 221-8411
(700) 493-6411 FTS

Maine

John "Jack" Sims, Director
Route 17 East
Togus, ME 04330
(207) 623-8411
(700) 833-5000 FTS

Maryland

Dennis Smith, Director
VA Maryland Health Care System
Baltimore Division, Ft. Howard Division, Perry
Point, Division
3900 Lock Raven Boulevard
Baltimore, MD 21201
(410) 605-7000
(700) 580-5261 FTS

Massachusetts

Michael Lawson
1400 Veterans of Foreign Wars Parkway
West Roxbury, MA 02401
(508) 583-4500
(700) 885-1000 FTS

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(425) 487-4600

APPENDIX V: SOURCES OF ADDITIONAL INFORMATION

Additional information concerning Federal programs and services is available from the sources listed below. The information in this Appendix is in addition to that supplied in Appendix IV (Regional and Local Office Addresses) however; they are not the offices to which an applicant applies for an assistance program. If a potential applicant or information seeker is not certain which agency to contact, there are two types of organizations set up to help: The Federal Information Center Program and the Federal Executive Boards.

FEDERAL CITIZEN INFORMATION CENTER PROGRAM

The Federal Citizen Information Center Program (FCIC) is a focal point for information about the Federal government. The FCIC assists people who have questions about Federal agencies, services, and programs, but do not know where to turn for an answer. FCIC information specialists either answer an inquirer's question directly, or perform the necessary research to locate and refer the inquirer to the expert best able to help. Telephone users may call the FCIC toll-free on (800) 688-9889 workdays between 9 a.m. and 8 p.m., Eastern Time. TTY users may call toll-free to the FCIC from anywhere in the U.S. by dialing (800) 326-2996.

FEDERAL EXECUTIVE BOARDS

Federal Executive Boards (FEBs) are composed of heads of Federal field offices in the metropolitan area. The FEBs were established to improve internal Federal management practices, provide a central focus for Federal participation in civic affairs in major metropolitan centers of Federal activity, serve as a means for disseminating information within the Federal government and for promoting discussion of Federal policies and activities of importance to all Federal executives in the field. The FEBs carry out their functions under the supervision of the Office of Personnel Management (OPM). Federal Executive Associations, and Councils, have been locally organized in over 80 other metropolitan areas to perform functions similar to the Federal Executive Boards but on a lesser scale of organization and activity. For further information, contact the Assistant for Field Operations, Office of Personnel Management, Room 5524, 1900 E Street, NW., Washington, DC 20415-0001. Telephone: (202) 6061001.

Albuquerque-Santa Fe, NM

(505) 262-6113

Atlanta, GA

(404) 331-4400

Baltimore, MD

(410) 962-4047

Boston, MA

(617) 565-6769

Buffalo, NY

(716) 551-5655

Chicago, IL

(312) 353-6790

Cincinnati, OH

(513) 684-2102

Cleveland, OH

(216) 433-9460

Dallas-Ft. Worth, TX

(214) 767-0767

Denver, CO

(303) 676-7009

Detroit, MI

(313) 226-3534

Honolulu, HI

(808) 541-2637

Houston, TX

(713) 209-4524

Kansas City, MO

(913) 551-7100

Los Angeles, CA

(562) 980-3445

Miami, FL

(305) 536-4344

New Orleans, LA

(504) 862-2066

New York, NY

(212) 264-1890

Newark, NJ

(973) 645-6217

Oklahoma City, OK

(405) 231-4167

Philadelphia, PA

(215) 597-2766

Pittsburgh, PA

(412) 395-6607

Portland, OR

(503) 326-2060

St. Louis, MO

(314) 539-6312

San Antonio, TX

(210) 229-4520

San Francisco, CA

(510) 637-1103

Seattle, WA

(206) 220-6171

Twin Cities (Minneapolis-St. Paul), MN

(612) 725-3687

U.S. GOVERNMENT BOOKSTORES

The Catalog of Federal Domestic Assistance may be purchased at any of the following 24 U.S. Government Bookstores. The price is \$80.00 per domestic subscription. An order for the Catalog must be accompanied by a check or money order made payable to the Superintendent of Documents. Payments may also be made using VISA, MasterCard, and Superintendent of Documents deposit account orders. All stores (except in Kansas City) are open Monday through Friday. The Kansas City location is open 7 days a week. (See Subscription Order Form in the back of the Catalog.) Contact the U.S. Government Bookstore nearest to you for more information.

ATLANTA, GA
First Union Plaza
999 Peachtree Street, NE
Suite 120
Atlanta, GA 30309-3964
(404) 347-1900
FAX (404) 347-1897

BIRMINGHAM, AL
O'Neill Building
2021 Third Avenue North
Birmingham, AL 35203
(205) 731-1056
FAX (205) 731-3444

BOSTON, MA
Thomas P. O'Neill Building
10 Causeway Street
Room 169
Boston, MA 02222
(617) 720-4180
FAX (617) 720-5753

CHICAGO, IL
One Congress Center
401 South State Street
Suite 124
Chicago, IL 60605
(312) 353-5133
FAX (312) 353-1590

CLEVELAND, OH
1240 E. 9th Street
Room 1653, Federal Building
Cleveland, OH 44199
(216) 522-4922
FAX (216) 522-4714

COLUMBUS, OH
200 N. High Street
Room 207, Federal Building
Columbus, OH 43215
(614) 469-6956
FAX (614) 469-5374

DALLAS, TX
1100 Commerce Street
Room 1C50, Federal Building
Dallas, TX 75242
(214) 767-0076
FAX (214) 767-3239

DENVER, CO
1660 Wynkoop Street
Suite 130
Denver, CO 80202
(303) 844-3964
FAX (303) 844-4000

DETROIT, MI
477 Michigan Avenue
Suite 160, Federal Building
Detroit, MI 48226
(313) 226-7816
FAX (313) 226-4698

HOUSTON, TX
Texas Crude Building
801 Travis Street

Suite 120
Houston, TX 77002
(713) 228-1187
FAX (713) 228-1186

JACKSONVILLE, FL
100 West Bay Street
Suite 100
Jacksonville, FL 32202
(904) 353-0569
FAX (904) 353-1280

KANSAS CITY, MO
120 Bannister Mall
5600 E. Bannister Road
Kansas City, MO 64137
(816) 765-2256
FAX (816) 767-8233

LAUREL, MD
Warehouse Sales Outlet
8660 Cherry Lane
Laurel, MD 20707
(301) 953-7974 or (301) 792-0262
FAX (301) 498-9109

LOS ANGELES, CA
ARCO Plaza, C-Level
505 S. Flower Street
Los Angeles, CA 90071
(213) 239-9844
FAX (213) 239-9848

MILWAUKEE, WI
310 W. Wisconsin Avenue
Suite 150, Reuss Federal Building
Milwaukee, WI 53203
(414) 297-1304
FAX (414) 297-1300

NEW YORK, NY
26 Federal Plaza
Room 1-120, Federal Building
New York, NY 10278
(212) 264-3825
FAX (212) 264-9318

PHILADELPHIA, PA
Robert Morris Building
100 North 17th Street
Philadelphia, PA 19103
(215) 636-1900
FAX (215) 636-1903

PITTSBURGH, PA
1000 Liberty Avenue
Room 118, Federal Building
Pittsburgh, PA 15222
(412) 395-5021
FAX (412) 395-4547

PORTLAND, OR
1305 SW First Avenue
Portland, OR 97201-5801
(503) 221-6217
FAX (503) 225-0563

PUEBLO, CO
Norwest Bank Building

201 West 8th Street
Pueblo, CO 81003
(719) 544-3142
FAX (719) 544-6719

SAN FRANCISCO, CA

303 2nd Street
Marathon Plaza, Room 141-S
San Francisco, CA 94107
(415) 512-2270
FAX (415) 512-2276

SEATTLE, WA

915 Second Avenue
Room 194, Federal Building
Seattle, WA 98174
(206) 553-4270
FAX (206) 553-6717

WASHINGTON, DC

U.S. Government Printing Office
710 North Capitol Street, NW
Washington, DC 20401
(202) 512-0132
FAX (202) 512-1355

510 H Street, NW
Washington, DC 20005
(202) 653-5075
FAX (202) 376-5055

REFERENCE SOURCES

The Budget of the United States Government

The Budget of the United States Government sets forth the President's comprehensive financial plan and indicates the President's priorities for the Federal government.

Information on programs by function, agency, and account, and detailed budget estimates is located in the Budget. Federal programs listed in the Catalog may be identified by the 11-digit budget account identification code listed in the Budget. These codes also appear in the Financial Information section of each Catalog program. A complete explanation of the identification code is provided in Appendix III of the Catalog (the Budget Functional Code Appendix). The Budget may be purchased from the Government Printing Office in the Washington, DC area and the U.S. Government bookstores listed in this Appendix.

The Code of Federal Regulations

The Code of Federal Regulations (CFR) is a codification of the general and permanent rules published in the Federal Register by executive departments and agencies of the Federal government. It includes all statutory regulations of Federal agencies, and is divided into 50 titles, which represent broad subject areas of Federal regulations (e.g., Housing and Urban Development, Public Health, Public Welfare, or Transportation). Each title is divided into chapters, which usually bear the name of the issuing agency. Each chapter is further subdivided into parts covering specific regulatory areas. The number preceding the CFR citation is the title or volume number. The CFR is kept up-to-date by the individual issues of the Federal Register; these two publications must be used together to determine the latest version of any given rule. Individual volumes of the CFR are revised at least once each calendar year and issued on a staggered quarterly basis. The CFR thus serves as a convenient reference for the citizen desiring a comprehensive source for general and permanent Federal regulations.

A General index to the entire Code of Federal Regulations, the CFR Index, is revised as of July 1 each year. The numerical Finding Aids, a cumulative list of CFR Sections affected is published monthly and is cumulated for 12 months, keyed to the revision dates of the various CFR volumes.

The Code of Federal Regulations is available in Federal depository libraries and most major libraries or Federal bookstores. Individual copies may be purchased from the Government Printing Office.

The Federal Register

The Federal Register, published daily, is the medium for making available to the public Federal agency regulations and other legal documents of the Executive Branch. These documents cover a wide range of government activities. Here, government requirements are published which involve environmental protection, consumer product safety, food and drug standards, occupational health and safety, and many more areas of concern to the public.

The Federal Register includes proposed changes in regulated areas. Each proposed change published carries an invitation for any citizen or group to participate in the consideration of the proposed regulation through the submission of written data, views, or arguments, and sometimes by oral presentations. Through the publication of proposed rules and notices of public meetings, citizens are given the opportunity to be informed about and participate in the workings of their government.

The Federal Register is also a vehicle for the announcement of newly authorized and/or funded programs, notifications of funding availability, (NOFAs), program application deadlines, and other important program information. The Federal Register Index is based on a consolidation of entries appearing in the issues of the Federal Register together with broad references. It is published monthly and is cumulative for 12 months. The Federal Register and the Federal Register Index are available in Federal depository libraries and most major libraries or Federal bookstores. Additional Federal Register resources include, the Statistical Abstract of the United States; Weekly Compilation of Presidential Documents; The Federal Register: What It Is and How To Use It. All Federal Register publications may be purchased from: Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

Information Resources for Legislative Research and Inquiry

Senate Document Room: (202) 224-7701
House Document Room: (202) 225-3456
Government Printing Office Order Desk: (202) 512-1800
Federal Register Inquiry Desk: (202) 523-5215

The following World Wide Web Sites provide valuable additional information:

Senate: <http://www.senate.gov/>
House of Representatives: <http://www.house.gov/>
Library of Congress: <http://thomas.loc.gov/>
Government Printing Office: <http://www.gpo.gov/>
Office of Management and Budget: <http://www.whitehouse.gov/omb/>

Additional resources available from GPO are: House and Senate Calendars (Monday edition only), How Our Laws Are Made, Digest of Public General Bills and Resolutions, United States Government Manual, Monthly Catalog of United States Government Publications. Stock numbers and prices of these publications can be obtained by calling or writing the GPO. To order or inquire about congressional documents from GPO, call: (202) 512-2465. To order or inquire about any other government publications, call the Order Desk: (202) 512-1800.

APPENDIX VI: DEVELOPING AND WRITING GRANT PROPOSALS

PART ONE: DEVELOPING A GRANT PROPOSAL

Preparation

A successful grant proposal is one that is well-prepared, thoughtfully planned, and concisely packaged. The potential applicant should become familiar with all of the pertinent program criteria related to the Catalog program from which assistance is sought. Refer to the information contact person listed in the Catalog program description before developing a proposal to obtain information such as whether funding is available, when applicable deadlines occur, and the process used by the grantor agency for accepting applications. Applicants should remember that the basic requirements, application forms, information and procedures vary with the Federal agency making the grant award. Individuals without prior grant proposal writing experience may find it useful to attend a grantsmanship workshop. A workshop can amplify the basic information presented here. Applicants interested in additional readings on grantsmanship and proposal development should consult the references listed at the end of this section and explore other library resources.

INITIAL PROPOSAL DEVELOPMENT

Developing Ideas for the Proposal

When developing an idea for a proposal it is important to determine if the idea has been considered in the applicant's locality or State. A careful check should be made with legislators and area government agencies and related public and private agencies which may currently have grant awards or contracts to do similar work. If a similar program already exists, the applicant may need to reconsider submitting the proposed project, particularly if duplication of effort is perceived. If significant differences or improvements in the proposed project's goals can be clearly established, it may be worthwhile to pursue Federal assistance.

Community Support

Community support for most proposals is essential. Once proposal summary is developed, look for individuals or groups representing academic, political, professional, and lay organizations which may be willing to support the proposal in writing. The type and caliber of community support is critical in the initial and subsequent review phases. Numerous letters of support can be persuasive to a grantor agency. Do not overlook support from local government agencies and public officials. Letters of endorsement detailing exact areas of project sanction and commitment are often requested as part of a proposal to a Federal agency. Several months may be required to develop letters of endorsement since something of value (e.g., buildings, staff, services) is sometimes negotiated between the parties involved.

Many agencies require, in writing, affiliation agreements (a mutual agreement to share services between agencies) and building space commitments prior to either grant approval or award. A useful method of generating community support may be to hold meetings with the top decision makers in the community who would be concerned with the subject matter of the proposal. The forum for discussion may include a query into the merits of the proposal, development of a contract of support for the proposal, to generate data in support of the proposal, or development of a strategy to create proposal support from a large number of community groups.

Identification of a Funding Resource

A review of the Objectives and Uses and Use Restrictions sections of the Catalog program description can point out which programs might provide funding for an idea. Do not overlook the related programs as potential resources. Both the applicant and the grantor agency should have the same interests, intentions, and needs if a proposal is to be considered an acceptable candidate for funding.

Once a potential grantor agency is identified, call the contact telephone number identified in Information Contacts and ask for a grant application kit. Later, get to know some of the grantor agency personnel. Ask for suggestions, criticisms, and advice about the proposed project. In many cases, the more agency personnel know about the proposal, the better the chance of support and of an eventual favorable decision. Sometimes it is useful to send the proposal summary to a specific agency official in a separate cover letter, and ask for review and comment at the earliest possible convenience. Always check with the Federal agency to determine its preference if this approach is under consideration. If the review is unfavorable and differences cannot be resolved, ask the examining agency (official) to suggest another department or agency which may be interested in the proposal. A personal visit to the agency's regional office or headquarters is also important. A visit not only establishes face-to-face contact, but also may bring out some essential details about the proposal or help secure literature and references from the agency's library.

Federal agencies are required to report funding information as funds are approved, increased or decreased among projects within a given State depending on the type of required reporting. Also, consider reviewing the Federal Budget for the current and budget fiscal years to determine proposed dollar amounts for particular budget functions.

The applicant should carefully study the eligibility requirements for each Federal program under consideration (see the Applicant Eligibility section of the Catalog program description). The applicant may learn that he or she is required to provide services otherwise unintended such as a service to particular client groups, or involvement of specific institutions. It may necessitate the modification of the original concept in order for the project to be eligible for funding. Questions about eligibility should be discussed with the appropriate program officer.

Deadlines for submitting applications are often not negotiable. They are usually associated with strict timetables for agency review. Some programs have more than one application deadline during the fiscal year. Applicants should plan proposal development around the established deadlines.

Getting Organized to Write the Proposal

Throughout the proposal writing stage keep a notebook handy to write down ideas. Periodically, try to connect ideas by reviewing the notebook. Never throw away written ideas during the grant writing stage. Maintain a file labeled "Ideas" or by some other convenient title and review the ideas from time to time. The file should be easily accessible. The gathering of documents such as articles of incorporation, tax exemption certificates, and bylaws should be completed, if possible, before the writing begins.

REVIEW

Criticism

At some point, perhaps after the first or second draft is completed, seek out a neutral third party to review the proposal working draft for continuity, clarity and reasoning. Ask for constructive criticism at this point, rather than wait for the Federal grantor agency to volunteer this information during the review cycle. For example, has the writer made unsupported assumptions or used jargon or excessive language in the proposal?

Signature

Most proposals are made to institutions rather than individuals. Often signatures of chief administrative officials are required. Check to make sure they are included in the proposal where appropriate.

Neatness

Proposals should be typed, collated, copied, and packaged correctly and neatly (according to agency instructions, if any). Each package should be inspected to ensure uniformity from cover to cover. Binding may require either clamps or

hard covers. Check with the Federal agency to determine its preference. A neat, organized, and attractive proposal package can leave a positive impression with the reader about the proposal contents.

Mailing

A cover letter should always accompany a proposal. Standard U.S. Postal Service requirements apply unless otherwise indicated by the Federal agency. Make sure there is enough time for the proposals to reach their destinations. Otherwise, special arrangements may be necessary. Always coordinate such arrangements with the Federal grantor agency project office (the agency which will ultimately have the responsibility for the project), the grant office (the agency which will coordinate the grant review), and the contract office (the agency responsible for disbursement and grant award notices), if necessary.

PART TWO: WRITING THE GRANT PROPOSAL

The Basic Components of a Proposal

There are eight basic components to creating a solid proposal package: (1) the proposal summary; (2) introduction of organization; (3) the problem statement (or needs assessment); (4) project objectives; (5) project methods or design; (6) project evaluation; (7) future funding; and (8) the project budget. The following will provide an overview of these components.

The Proposal Summary: Outline of Project Goals

The proposal summary outlines the proposed project and should appear at the beginning of the proposal. It could be in the form of a cover letter or a separate page, but should definitely be brief --no longer than two or three paragraphs. The summary would be most useful if it were prepared after the proposal has been developed in order to encompass all the key summary points necessary to communicate the objectives of the project. It is this document that becomes the cornerstone of your proposal, and the initial impression it gives will be critical to the success of your venture. In many cases, the summary will be the first part of the proposal package seen by agency officials and very possibly could be the only part of the package that is carefully reviewed before the decision is made to consider the project any further.

The applicant must select a fundable project which can be supported in view of the local need. Alternatives, in the absence of Federal support, should be pointed out. The influence of the project both during and after the project period should be explained. The consequences of the project as a result of funding should be highlighted.

Introduction: Presenting a Credible Applicant or Organization

The applicant should gather data about its organization from all available sources. Most proposals require a description of an applicant's organization to describe its past and present operations. Some features to consider are:

- A brief biography of board members and key staff members.
- The organization's goals, philosophy, track record with other grantors, and any success stories.
- The data should be relevant to the goals of the Federal grantor agency and should establish the applicant's credibility.

The Problem Statement: Stating the Purpose at Hand

The problem statement (or needs assessment) is a key element of a proposal that makes a clear, concise, and well-supported statement of the problem to be addressed. The best way to collect information about the problem is to conduct and document both a formal and informal needs assessment for a program in the target or service area. The information provided should be both factual and directly related to the problem addressed by the proposal. Areas to document are:

- The purpose for developing the proposal.
- The beneficiaries -- who are they and how will they benefit.
- The social and economic costs to be affected.
- The nature of the problem (provide as much hard evidence as possible).

- How the applicant organization came to realize the problem exists, and what is currently being done about the problem.
- The remaining alternatives available when funding has been exhausted. Explain what will happen to the project and the impending implications.
- Most importantly, the specific manner through which problems might be solved. Review the resources needed, considering how they will be used and to what end.

There is a considerable body of literature on the exact assessment techniques to be used. Any local, regional, or State government planning office, or local university offering course work in planning and evaluation techniques should be able to provide excellent background references. Types of data that may be collected include: historical, geographic, quantitative, factual, statistical, and philosophical information, as well as studies completed by colleges, and literature searches from public or university libraries. Local colleges or universities which have a department or section related to the proposal topic may help determine if there is interest in developing a student or faculty project to conduct a needs assessment. It may be helpful to include examples of the findings for highlighting in the proposal.

Project Objectives: Goals and Desired Outcome

Program objectives refer to specific activities in a proposal. It is necessary to identify all objectives related to the goals to be reached, and the methods to be employed to achieve the stated objectives. Consider quantities or things measurable and refer to a problem statement and the outcome of proposed activities when developing a well-stated objective. The figures used should be verifiable. Remember, if the proposal is funded, the stated objectives will probably be used to evaluate program progress, so be realistic. There is literature available to help identify and write program objectives.

Program Methods and Program Design: A Plan of Action

The program design refers to how the project is expected to work and solve the stated problem. Sketch out the following:

- The activities to occur along with the related resources and staff needed to operate the project (inputs).
- A flow chart of the organizational features of the project. Describe how the parts interrelate, where personnel will be needed, and what they are expected to do. Identify the kinds of facilities, transportation, and support services required (throughputs).
- Explain what will be achieved through 1 and 2 above (outputs); i.e., plan for measurable results. Project staff may be required to produce evidence of program performance through an examination of stated objectives during either a site visit by the Federal grantor agency and or grant reviews which may involve peer review committees.
- It may be useful to devise a diagram of the program design. For example, draw a three column block. Each column is headed by one of the parts (inputs, throughputs and outputs), and on the left (next to the first column) specific program features should be identified (i.e., implementation, staffing, procurement, and systems development). In the grid, specify something about the program design, for example, assume the first column is labeled inputs and the first row is labeled staff. On the grid one might specify under inputs five nurses to operate a child care unit. The throughput might be to maintain charts, counsel the children, and set up a daily routine; outputs might be to discharge 25 healthy children per week. This type of procedure will help to conceptualize both the scope and detail of the project.
- Wherever possible, justify in the narrative the course of action taken. The most economical method should be used that does not compromise or sacrifice project quality. The financial expenses associated with performance of the project will later become points of negotiation with the Federal program staff. If everything is not carefully justified in writing in the proposal, after negotiation with the Federal grantor agencies, the approved project may resemble less of the original concept. Carefully consider the pressures of the proposed implementation, that is, the time and money needed to acquire each part of the plan. A Program Evaluation and Review Technique (PERT) chart could be useful and supportive in justifying some proposals.
- Highlight the innovative features of the proposal which could be considered distinct from other proposals under consideration.

- Whenever possible, use appendices to provide details, supplementary data, references, and information requiring in-depth analysis. These types of data, although supportive of the proposal, if included in the body of the design, could detract from its readability. Appendices provide the proposal reader with immediate access to details if and when clarification of an idea, sequence or conclusion is required. Time tables, work plans, schedules, activities, methodologies, legal papers, personal vitae, letters of support, and endorsements are examples of appendices.

Evaluation: Product and Process Analysis

The evaluation component is two-fold: (1) product evaluation; and (2) process evaluation. Product evaluation addresses results that can be attributed to the project, as well as the extent to which the project has satisfied its desired objectives. Process evaluation addresses how the project was conducted, in terms of consistency with the stated plan of action and the effectiveness of the various activities within the plan.

Most Federal agencies now require some form of program evaluation among grantees. The requirements of the proposed project should be explored carefully. Evaluations may be conducted by an internal staff member, an evaluation firm or both. The applicant should state the amount of time needed to evaluate, how the feedback will be distributed among the proposed staff, and a schedule for review and comment for this type of communication. Evaluation designs may start at the beginning, middle or end of a project, but the applicant should specify a start-up time. It is practical to submit an evaluation design at the start of a project for two reasons:

- Convincing evaluations require the collection of appropriate data before and during program operations; and,
- If the evaluation design cannot be prepared at the outset then a critical review of the program design may be advisable.

Even if the evaluation design has to be revised as the project progresses, it is much easier and cheaper to modify a good design. If the problem is not well defined and carefully analyzed for cause and effect relationships then a good evaluation design may be difficult to achieve. Sometimes a pilot study is needed to begin the identification of facts and relationships. Often a thorough literature search may be sufficient.

Evaluation requires both coordination and agreement among program decision makers (if known). Above all, the Federal grantor agency's requirements should be highlighted in the evaluation design. Also, Federal grantor agencies may require specific evaluation techniques such as designated data formats (an existing information collection system) or they may offer financial inducements for voluntary participation in a national evaluation study. The applicant should ask specifically about these points. Also, consult the Criteria For Selecting Proposals section of the Catalog program description to determine the exact evaluation methods to be required for the program if funded.

Future Funding: Long-Term Project Planning

Describe a plan for continuation beyond the grant period, and/or the availability of other resources necessary to implement the grant. Discuss maintenance and future program funding if program is for construction activity. Account for other needed expenditures if program includes purchase of equipment.

The Proposal Budget: Planning the Budget

Funding levels in Federal assistance programs change yearly. It is useful to review the appropriations over the past several years to try to project future funding levels (see Financial Information section of the Catalog program description).

However, it is safer to never anticipate that the income from the grant will be the sole support for the project. This consideration should be given to the overall budget requirements, and in particular, to budget line items most subject to inflationary pressures. Restraint is important in determining inflationary cost projections (avoid padding budget line items), but attempt to anticipate possible future increases.

Some vulnerable budget areas are: utilities, rental of buildings and equipment, salary increases, food, telephones, insurance, and transportation. Budget adjustments are sometimes made after the grant award, but this can be a lengthy process. Be certain that implementation, continuation and phase-down costs can be met. Consider costs associated with leases, evaluation systems, hard/soft match requirements, audits, development, implementation and maintenance of information and accounting systems, and other long-term financial commitments.

A well-prepared budget justifies all expenses and is consistent with the proposal narrative. Some areas in need of an evaluation for consistency are: (1) the salaries in the proposal in relation to those of the applicant organization should be similar; (2) if new staff persons are being hired, additional space and equipment should be considered, as necessary; (3) if the budget calls for an equipment purchase, it should be the type allowed by the grantor agency; (4) if additional space is rented, the increase in insurance should be supported; (5) if an indirect cost rate applies to the proposal, the division between direct and indirect costs should not be in conflict, and the aggregate budget totals should refer directly to the approved formula; and (6) if matching costs are required, the contributions to the matching fund should be taken out of the budget unless otherwise specified in the application instructions.

It is very important to become familiar with Government-wide circular requirements. The Catalog identifies in the program description section (as information is provided from the agencies) the particular circulars applicable to a Federal program, and summarizes coordination of Executive Order 12372, "Intergovernmental Review of Programs" requirements in Appendix I. The applicant should thoroughly review the appropriate circulars since they are essential in determining items such as cost principles and conforming with Government guidelines for Federal domestic assistance.

GUIDELINES AND LITERATURE

United States Government Manual
Superintendent of Documents
U.S. Government Printing Office
Washington, DC 20402

OMB Circular Nos. A-87, A-102, A-110, and A-133, and Executive Order 12372:
Publications Office
Office of Administration
Room 2200, 725 Seventeenth Street, NW.
Washington, DC 20503

Government Printing Office (GPO) Resources

The government documents identified above as available from the GPO can be requested (supply the necessary identifying information) by writing to:

Superintendent of Documents
Government Printing Office
Washington, DC 20402

Regional and Federal Depository Libraries

Regional libraries can arrange for copies of Government documents through an interlibrary loan. All Federal Depository Libraries will receive copies of the Catalog directly. A list of depository and regional libraries is available by writing: Chief, Library Division, Superintendent of Documents, Stop SLL, Washington, DC 20402.

Appendix VII: Historical Profile of Catalog Programs

The following list shows all programs printed in the Catalog of Federal Domestic Assistance beginning with the 1965 edition and the subsequent action taken related to that program. For the years 1965, 1967, and 1969 the programs did not have numbers. They were identified only by their titles. Therefore, the first part of each agency listing shows programs by their title if they were in existence before 1970. The program numbering system was instituted in 1970. The first line shows the number and title of the program when it was added to the Catalog. The second and subsequent lines show the year the program was added and any additional transactions, e.g., title changes, transfers, deletes, consolidations, agency reorganizations, number changes, etc., that may have followed. The alphabet B or U that follows a given year, indicates the transaction was made either in the basic (B) or the update (U) publication of the Catalog. In some instances, there is also a U1 and U2 behind the year. The U1 and U2 are the first and second updates respectively, published that year.

DEPARTMENT OF AGRICULTURE

Agricultural Stabilization Programs (1965B)
 Agricultural Production Stabilization (1969B)
 Archived (1970B)

Cooperative Agriculture and Forestry Research Program (1965B)
 Cooperative Forestry Research (1969B)
 Archived (1970B)

Cooperative Extension Service (1965B)
 Split into 10.500, 10.501, 10.502, 10.503, 10.504, 10.505, 10.506, 10.507, 10.508, 10.509 (1970B)

Economic Research Service (1965B)
 Archived (1970B)

Farmers Home Administration_Financial Assistance to Small Towns and Rural Groups (1965B)
 Archived (1969B)

Farmers Home Administration_Housing for Senior Citizens (1965B)
 Archived (1969B)

Farmers Home Administration_Loans for Forestry Purposes (1965B)
 Farm Forestry Loans (1969B)
 Archived (1970B)

Farmers Home Administration_Loans for Recreational Purposes (1965B)
 Archived (1969B)

Farmers Home Administration_Rural Renewal Loans to Public Agencies (1965B)
 Archived (1969B)

Forestry Research (1965B)
 Archived (1969B)

Land Stabilization, Conservation, and Erosion Control in Appalachia (1965B)
 Archived (1969B)

Timber Development Organizations in Appalachia (1965B)
 Archived (1967B)

Administration and Research in Economic Development Programs in Appalachia (1965B)

Transferred to the Department of Commerce (1967B)

Cropland Adjustment Program (1967B)
 Archived (1969B)

Payments to Counties, National Grasslands, and Land Utilization Projects (1967B)
 Archived (1969B)

Payments to States with National Forests (1967B)
 Archived (1969B)

Appalachian Region Conservation (1969B)
 Archived (1970B)

Agricultural Market Supervision and Regulation (1969B)
 Archived (1970B)

Agricultural Experiment Stations (1969B)
 Archived (1970B)

National Forests_Shared Revenues (1969B)
 Archived (1970B)

Timber Management (1969B)
 Archived (1970B)

10.001
 Agricultural Research Service (1965B)
 Agricultural Research_Basic and Applied Research (1969B)
 Number changed to 10.875 (1978B)
 Number changed from 10.875 (1981U)

10.002
 Plant and Animal Disease and Plant Control (1969B)
 Number changed to 10.025 (1972B)

10.003
 Morrill-Nelson Funds for Food and Agricultural Higher Education (1983U)
 Transferred from 84.135 (1983U)
 Transferred to 10.210 (1985U)

10.025
 Plant and Animal Disease and Pest Control (1972B)
 Number changed from 10.002 (1972B)
 Plant and Animal Disease, Pest Control, and Animal Care (1990B)

10.026

Assistance to States for Intrastate Meat and Poultry Inspection (1972U)
 Number changed from 10.151 (1972U)
 Number changed to 10.475 (1977U)

10.027

Meat and Poultry Inspection (1972U)
 Number changed from 10.157 (1972U)
 Number changed to 10.477 (1977U)

10.028

Animal Damage Control (1986B)
 Transferred from 15.601 (1986B)
 Wildlife Services (1997U)

10.029

Avian Influenza Indemnity Program (2003B)
 Archived (2010)

10.050

Agricultural Conservation Program (1965B)
 Agricultural Conservation (1970B)
 Rural Environmental Assistance (1971B)
 Archived (1973B)

10.051

Price-Support Programs for the Agricultural Stabilization and Conservation Service (1965B)
 Agricultural Price-Support (1969B)
 Commodity Loans and Purchases (1970B)
 Commodity Loans and Loan Deficiency Payments (1999B)

10.052

Cotton Production Stabilization (1970B)
 Split from 10.055 (1970B)
 Incorporated into 10.055 (1997B)

10.053

Dairy Farmer Indemnity Payments (1969B)
 Dairy Indemnity Payments (1970B)
 Dairy Indemnity Program (1984B)

10.054

Emergency Conservation Measures (1970B)
 Emergency Conservation Program (1978U)

10.055

Feed Grain Direct Payments (1970B)
 Split into 10.052, 10.055, 10.058 (1970B)

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Feed Grain Production Stabilization (1971B)
Production Flexibility Payments for Contract
Commodities (1997B)
Incorporated from 10.052, 10.058, 10.065 (1997B)
Direct and Counter-cyclical Payments Program
(2005)
Direct and Counter-cyclical Payments Program
(2005)
Direct and Counter-cyclical Payments Program
(2005)

10.056
Storage Facilities and Equipment Loans (1970B)
Archived (1989B)
Farm Storage Facility Loans, Reinstated (2001B)

10.057
Sugar Program (1969B)
Sugar Production Stabilization (1971B)
Archived (1975B)

10.058
Wheat Direct Payments (1970B)
Split from 10.055 (1970B)
Wheat Production Stabilization (1971B)
Incorporated into 10.055 (1997B)

10.059
Wool and Mohair Payments (1970B)
Shorn Wool and Unshorn Lambs (Pulled Wool) and
Mohair Payments (1971B)
National Wool Act Payments (1973B)
Archived (1997B)

10.060
Beekeeper Indemnity Payments (1971U)
Archived (1982B)

10.061
Emergency Livestock Feed Program (1972B)
Archived (1977B)

10.062
Water Bank Program (1972B)
Archived (1973B)
Reinstated (1974B)
Deleted (2006)

10.063
Rural Environmental Conservation Program
(1974B)
Agricultural Conservation Program (1975B)
Archived (1997B)

10.064
Forestry Incentives Program (1975B)

10.065
Rice Production Stabilization (1976U)
Incorporated into 10.055 (1997B)

10.066
Emergency Hay and Cattle Transportation
Assistance and Livestock Feed (1977B)
Emergency Feed Program (1978B)
Archived (1983B)
Emergency Livestock Assistance (1989B)
Archived (1997B)
Livestock Assistance Program, Reinstated (2000U)
Livestock Assistance Program (2006)
Archived (2010)

10.067
Grain Reserve Program (1978B)
Farmer Owned Reserve Program (1994U)
Archived (1997B)

10.068
Rural Clean Water Program (1980B)
Archived (1995B)

10.069
Conservation Reserve Program (1986B)

10.070
Colorado River Salinity Control (1987B)
Colorado River Basin Salinity Control Program
(1991B)
Archived (2010)

10.071
Federal-State Cooperation in Warehouse
Examination Agreement (1987U)
Archived (2000B)

10.072
Wetlands Reserve Program (1992B)

10.073
Crop Disaster Program (2000U)
Archived (2010)

10.075
Special Apple Program (2001B)

10.076
Emergency Loan for Seed Producers (2001B)

10.077
Livestock Compensation Program (2003B)
Archived (2010)

10.078
Bioenergy Program (2003B)
Archived (2009)

10.079
Bill Emerson Humanitarian Trust (2003B)
Archived (2010)

10.080

Milk Income Loss Contract Program (2004)

10.081
Lamb Meat Adjustment Assistance Program
(2004)
Archived (2009)

10.082
Tree Assistance Program (2004)
Archived (2010)

10.083
Tobacco Loss Assistance Program (2004)

10.084
Dairy Market Loss Assistance Program (2008)
Archived (2010)

10.085
Tobacco Transition Payment Program (2005)

10.086
Aquaculture Grants Program (AGP) (2009)

10.088
Livestock Indemnity Program (2010)

10.090
Supplemental Revenue Assistance Program (2010)

10.092
Tree Assistance Program (2010)

10.093
Voluntary Public Access and Habitat Incentive
Program (2010)

10.099
Conservation Loans (2010)

10.100
Agricultural Futures Markets Supervision (1971B)
Transferred to 78.001 (1975U)

10.140
Minority Research and Teaching Grants (1986B)
Minority Research and Teaching Program Grants
(1990B)
Minority Research and Teaching Programs Grants
(1990U)
Special Emphasis Outreach Programs Grants
(1991B)
Archived (1997B)

10.150
Agricultural Product Grading (1969B)
Consolidated into 10.162 (1982B)

10.151
Meat and Poultry Inspection (1969B)

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Assistance to States for Intrastate Meat and Poultry Inspection (1970B)

Number changed to 10.026 (1972U)

10.152

Export Payments on Agricultural Products (1970B)

Split from 10.157 (1970B)

Archived (1973B)

10.153

Market News Service for Agricultural Products (1969B)

Market News (1970B)

10.154

Market Supervision (1970B)

Market Supervision and Assistance (1982B)

Market Development and Assistance (1983B)

Incorporated into 10.163 (1984B)

10.155

Agricultural Marketing Agreements and Orders (1969B)

Marketing Agreements and Orders (1970B)

10.156

Agricultural Marketing Studies and Services (1969B)

Marketing Services Matching_Fund Grants (1970B)

Federal_State Marketing Improvement Program (1973B)

10.157

Agricultural Futures Market Supervision (1969B)

Meat and Poultry Inspection (1970B)

Number changed to 10.027 (1972U)

10.158

Plentiful Foods Program (1969B)

Plentiful Foods (1970B)

Archived (1973U)

10.159

Livestock and Poultry Market Supervision (1978B)

Number changed from 10.800 (1978B)

Number changed to 10.800 (1981U)

10.160

Voluntary Commodity Inspection and Grading Programs (1981U)

Number changed from 10.478 (1981U)

Archived (1982B)

10.161

Egg Products Inspection (1981U)

Number changed from 10.476 (1981U)

Archived (1982B)

10.162

Inspection Grading and Standardization (1982B)

10.163

Market Protection and Promotion (1982B)

10.164

Wholesale Market Development (1982B)

Wholesale Farmers and Alternative Market Development (2005)

Wholesale Farmers and Alternative Market Development (2005)

Wholesale Farmers and Alternative Market Development (2005)

10.165

Perishable Agricultural Commodities Act (1982B)

10.166

Commodity Purchase Services (1985B)

Archived (1987B)

10.167

Transportation Services (1991U)

10.168

Farmers' Market Promotion Program (2006)

10.169

Specialty Crop Block Grant Program (2006)

10.170

Specialty Crop Block Grant Program - Farm Bill (2008)

10.200

Scientific Research in Support of Agriculture (1969B)

Contracts and Grants for Scientific Research (1970B)

Grants for Scientific Research (1973B)

Split into 10.200, 10.880 (1978B)

Number changed to 10.876 (1978B)

Number changed from 10.876 (1981U)

Grants for Agricultural Research, Special Research Grants (1981U)

10.201

Agricultural Research Facilities (1969B)

Research Facilities (1970B)

Archived (1971B)

10.202

Grants for Cooperative Forestry Research (1970U)

Cooperative Forestry Research (1971B)

Number changed to 10.877 (1978B)

Number changed from 10.877 (1981U)

10.203

Payments to Agricultural Experiment Stations Under Hatch Act (1970U)

Number changed to 10.878 (1978B)

Number changed from 10.878 (1981U)

Payments to Agricultural Experiment Stations Under the Hatch Act (1997U)

10.204

Rural Development Research (1974B)

Number changed to 10.879 (1978B)

Number changed from 10.879 (1981U)

Archived (1982B)

10.205

Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1981U)

Number changed from 10.880 (1981U)

Payments to 1890 Land-Grant Colleges and Tuskegee University (1986B)

10.206

Grants for Agricultural Research_Competitive Research_Grants (1981U)

Number changed from 10.884 (1981U)

10.207

Animal Health and Disease Research (1981U)

Number changed from 10.885 (1981U)

10.208

Alcohol Fuels Research (1982B)

Archived (1985B)

10.209

1890 Research Facilities (1983B)

Archived (1989B)

10.210

Food and Agricultural Sciences National Needs Graduate Fellowship Grants

Transferred from 10.003 (1985U)

10.211

Higher Education Strengthening Grants (1985U)

Archived (1993B)

10.212

Small Business Innovation Research (1986B)

10.213

Competitive Research Grants for Forest and Rangeland Renewable Resources

Transferred from 15.601 (1986B)

Archived (1990B)

10.214

Morrill-Nelson Funds for Food and Agricultural Higher Education

Split from 10.210 (1986B)

Archived (1996B)

10.215

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Low Input Farming Systems_Research and Education (1988B)

Sustainable Agriculture Research and Education (1991B)

10.216

1890 Institution Capacity Building Grants (1990B)

10.217

Higher Education Challenge Grants (1990B)

10.218

Buildings and Facilities Program (1992B)

10.219

Biotechnology Risk Assessment Research (1993B)

10.220

Food and Agricultural Sciences-All Americans Undergraduate Scholars Program (1994B)

Higher Education Multicultural Scholars Program (1995B)

10.221

Tribal Colleges Education Equity Grants (1997B)

10.222

Tribal Colleges Endowment Program (1997B)

10.223

Hispanic Serving Institutions Education Grants (1997B)

10.224

Fund for Rural America_Research, Education, and Extension Activities (1997U)

Archived (2009)

10.225

Community Food Projects (1997B)

10.226

Secondary Agriculture Education Grants (1999B)

Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants (2003B)

10.227

1994 Institutions Research Program (2000U)

10.228

Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants (2001B)

10.240

Alternative Agricultural Research and Commercialization Program (1993B)

Archived (2000B)

10.250

Agricultural Economic Research (1969B)

Agricultural and Rural Economic Research (1970B)

10.251

Technical Assistance to Cooperatives (1978B)

Number changed from 10.350 (1978B)

Number changed to 10.350 (1981U)

10.252

Agricultural Statistical Reports (1978B)

Number changed from 10.950 (1978B)

Agriculture Statistical Reports (1980B)

Number changed to 10.950 (1981U)

10.253

Food Assistance and Nutrition Research Programs (FANRP) (2008)

10.254

Program of Research on the Economic of Invasive Species Management (PREISM) (2008)

10.255

Research Innovation and Development Grants in Economic (RIDGE) (2008)

10.256

Consumer Data Initiative (CDI) (2007)

Archived (2010)

Reinstated (2010)

10.290

AGRICULTURAL MARKET AND ECONOMIC RESEARCH (2008)

Agricultural Market and Economic Research (2008)

10.300

CCC Export Credit Sales (1970B)

Archived (1973B)

10.301

Export Payments (1970B)

Archived (1973U)

10.302

Initiative for Future Agriculture and Food Systems (2000U)

10.303

Integrated Programs (2000U)

10.304

Homeland Security_Agricultural (2004)

10.305

International Science and Education Grants (2005)

10.306

BIODIESEL (2005)

10.307

Organic Agriculture Research and Extension Initiative (2005)

10.308

Resident Instruction Grants for Insular Area Activities (2005)

10.309

Specialty Crop Research Initiative (2008)

10.310

AGRICULTURE AND FOOD RESEARCH INITIATIVE (AFRI) (2009)

10.311

BEGINNING FARMER AND RANCHER DEVELOPMENT PROGRAM (2009)

10.312

BIOMASS RESEARCH AND DEVELOPMENT INITIATIVE (2009)

10.313

Veterinary Medicine Loan Repayment Program (2010)

10.314

NEW ERA RURAL TECHNOLOGY COMPETITIVE GRANTS PROGRAM (2009)

10.315

Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) (2009)

10.316

Healthy Urban Food Enterprise Development Center (HUFED) (2009)

10.317

Food Aid Nutrition Enhancement Program (2010)

10.318

Women and Minorities in Science, Technology, Engineering, and (2010)

10.319

Farm Business Management and Benchmarking Competitive Grants Program (2010)

10.320

Sun Grant Program (2010)

10.350

Farmers Cooperative Service (1965B)

Technical Assistance to Cooperatives (1970B)

Number changed to 10.251 (1978B)

Number changed from 10.251 (1981U)

10.352

Value-Added Producer Grants (2005)

10.353

National Rural Development Partnership (2003B)

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10.375 Human Nutrition Information Service (1982B) Archived (1997B)	10.409 Irrigation and Drainage System Loans (1969B) Irrigation, Drainage and Other Soil and Water Conservation Loans (1970B) Archived (1983B)	Split from 10.410 (1970B) Very Low-Income Housing Repair Loans and Grants (1977B)
10.400 Comprehensive Areawide Water and Sewer Planning Grants (1970B) Archived (1973B)	10.410 Farmers Home Administration_Rural Housing Loans (1965B) Rural Housing Loans (1967B) Rural Renewal Loans (1967B) Low-to Moderate-Income Housing Loans (1970B) Low Income Housing Loans (1983B) Very Low and Low Income Housing Loans (1984B) Very Low to Moderate Income Housing Loans (1991U)	10.418 Water and Waste Disposal Systems for Rural Communities (1967B) Number changed to 10.760 (1993B)
10.401 Farmers Home Administration_Loans to Cooperatives (1965B) Economic Opportunity Loans to Cooperatives (1969B) Economic Opportunity Farm Operating Loans to Cooperatives (1970B) Archived (1971B)	10.411 Rural Self-Help Housing Loans (1969B) Mutual and Self-Help Housing Loans (1970B) Rural Housing Site Loans (1970U) Rural Housing Site Loans and Self_Help Housing Land Development Loans (1996B)	10.419 Multiple-Purpose Watershed Projects (1965B) Watershed Development and Flood Prevention (1969B) Watershed Protection and Flood Prevention Loans (1970B) Split into 10.419, 10.904 (1970B) Number changed to 10.765 (1993B)
10.402 Farmers Home Administration_Loans to Rural Families with Small Incomes (1965B) Economic Opportunity Loans to Rural Families with Low Incomes (1969B) Economic Opportunity Farm Resource Loans (1970B) Archived (1971B)	10.412 Rural Recreation Enterprises (1969B) Recreation Association Loans (1970B) Split into 10.412, 10.413 (1970B) Archived (1971B)	10.420 Rural Self-Help Housing Technical Assistance (1970U)
10.403 Economic Opportunity Nonfarm Enterprise Loans (1970B) Archived (1971B)	10.413 Recreation Facility Loans (1970B) Split from 10.412 (1970B) Archived (1983B)	10.421 Indian Tribes and Tribal Corporation Loans (1971B)
10.404 Farmers Home Administration_Emergency Loans (1965B) Farm Emergency Loans (1969B) Emergency Loans (1970B)	10.414 Soil Conservation Service_Resource Conservation Development Projects (1965B) Resource Conservation Development Projects (1967B) Resource Conservation and Development Loans (1970B) Split into 10.414, 10.901 (1970B) Number changed to 10.764 (1993B)	10.422 Business and Industrial Development Loans (1974B) Business and Industrial Loans (1976B) Number changed to 10.768 (1993B)
10.405 Farmers Home Administration_Loans for Farm Labor Housing (1965B) Loans and Grants for Farm Labor Housing (1967B) Farm Labor Housing (1969B) Farm Labor Housing Loans and Grants (1970B) Archived (1973B) Reinstated (1975B)	10.415 Rental Housing (1967B) Rental and Cooperative Housing for Rural People (1969B) Rural Rental Housing Loans (1970B)	10.423 Community Facilities Loans (1974B) Number changed to 10.766 (1993B)
10.406 Farmers Home Administration_Operating Loans (1965B) Farm Operating Loans (1969B)	10.416 Soil and Water Conservation (1969B) Soil and Water Loans (1970B) Split into 10.416, 10.902 (1970B) Archived (1997B)	10.424 Industrial Development Grants (1974B) Archived (1982B) Reinstated (1988B) Rural Development Grants (1992B) Number changed to 10.769 (1993B)
10.407 Farmers Home Administration_Ownership Loans (1965B) Farm Ownership Loans (1969B)	10.417 Very Low-Income Housing Repair Loans (1970B)	10.425 Emergency Livestock Loans (1974U) Archived (1979U2)
10.408 Grazing Association Loans (1969B) Archived (1983B)		10.426 Area Development Assistance Planning Grants (1978B) Archived (1982B)

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10.429 Above Moderate Income Housing Loans (1979B) Archived (1980U2) Guaranteed Rural Housing Loans_Demonstration Program (1989B) Archived (1990B)	10.441 Technical and Supervisory Assistance Grants (1993U)	10.455 Community Outreach and Assistance Partnership Program (2004)
10.430 Energy Impacted Area Development Assistance Program (1979U2) Archived (1983B)	10.442 Housing Application Packaging Grants (1994B)	10.456 Partnership Agreements to Develop Non-Insurance Risk Management Tools for Producers (Farmers) (2004)
10.431 Technical and Supervisory Assistance Grants (1979U2) Archived (1983B)	10.443 Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers (1994B) Small Farmer Outreach Training or Technical Assistance Program_ "Outreach and Assistance Grants for Socially Disadvantaged Farmers and Ranchers" (1995B) Small Farmer Outreach Training and Technical Assistance Program (1995U) Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers (2001U)	10.457 Commodity Partnerships for Risk Management Education (2004) Archived (2010)
10.432 Biomass Energy and Alcohol Fuels Loan and Loan Guarantees (1981B) Archived (1982B)	10.444 Direct Housing_Natural Disaster Loans and Grants (1998B)	10.458 Crop Insurance Education in Targeted States (2004)
10.433 Rural Housing Preservation Grants Transferred from 13.664 (1986B)	10.445 Direct Housing_Natural Disaster (1998B)	10.459 Commodity Partnerships for Small Agricultural Risk Management Education Sessions (2005)
10.434 Nonprofit National Corporations Loan and Grant Program (1987B) Archived (1993U)	10.446 Rural Community Development Initiative (2001U)	10.475 Assistance to States for Intrastate Meat and Poultry Inspection (1977U) Number changed from 10.026 (1977U) Cooperative Agreements with States for Intrastate Meat and Poultry Inspection (1980U2)
10.435 Agricultural Loan Mediation Program (1988B) Certified Mediation Program (1995B) State Mediation Grants (1997U)	10.447 The Rural Development (RD) Multi-Family Housing Preservation and Revitalization Restructuring Program (MPR) (2007)	10.476 Egg Products Inspection (1977U) Number changed to 10.161 (1981U)
10.436 Technical Assistance and Training Grants (1988U) Number changed to 10.761 (1993B)	10.448 Rural Development Multi-Family Housing Rural Housing Voucher Demonstration Program (2009)	10.477 Meat and Poultry Inspection (1977U) Number changed from 10.027 (1977U) Meat, Poultry, and Egg Inspection (1995B) Meat, Poultry, and Egg Products Inspection (1995U)
10.437 Interest Rate Reduction Program (1989B) Interest Assistance Program (1991U)	10.449 Boll Weevil Eradication Loan Program (2000U)	10.478 Voluntary Commodity Inspection and Grading Programs (1977U) Number changed to 10.160 (1981U)
10.438 Farm Credit System Farm Land Acquisition Program (1989B) Archived (1992B) Section 538 Rural Rental Housing Guaranteed Loans (1997B)	10.450 Crop Insurance (1969B)	10.479 Food Safety Cooperative Agreements (2006)
10.439 Intermediary Relending Program (1989B) Number changed to 10.767 (1993B)	10.451 Noninsured Crop Disaster Assistance (1997U) Noninsured Assistance (2001B)	10.500 Extension Programs for Assisting in Community Development (1970B) Extension Programs for Community Development (1971B) Cooperative Extension Service (1972B) Number changed to 10.881 (1978B) Number changed from 10.881 (1981U)
10.440 Emergency Community Water Assistance Grants (1989U) Number changed to 10.763 (1993B)	10.452 Disaster Reserve Assistance (1997U) Archived (2009)	10.501 Extension Programs for Forestry Production and Marketing (1970B) Incorporated into 10.500 (1972B)
	10.453 Fund for Rural America_Farm Ownership Loans (1997U) Archived (2000B)	
	10.454 Dairy Options Pilot Program (1998U) Archived (2010)	

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Agricultural Telecommunications Program (1994U)
Archived (2000B)

10.502

Extension Programs for Improved Family Living (1970B)
Incorporated into 10.500 (1972B)

10.503

Extension Programs for Improved Nutrition (1970B)
Incorporated into 10.500 (1972B)

10.504

Extension Programs for Improving Farm Income (1970B)
Incorporated into 10.500 (1972B)

10.505

Extension Programs for Marketing and Distribution (1970B)
Incorporated into 10.500 (1972B)

10.506

Extension Programs for Pesticides Safety and Rural Civil Defense (1970B)
Incorporated into 10.500 (1972B)

10.507

Extension Programs for Recreation, Wildlife, and Natural Beauty (1970B)
Incorporated into 10.500 (1972B)

10.508

Extension Programs for Soil and Water Conservation (1970B)
Incorporated into 10.500 (1972B)

10.509

4-H Youth Development Programs (1970B)
Incorporated into 10.500 (1972B)

10.510

Extension Programs for Environmental Improvement (1971B)
Incorporated into 10.500 (1972B)

10.550

Commodity Distribution Program (1965B)
Commodity Distribution (1970B)
Food Distribution (1971U)
Split into 10.550, 10.565 (1979U1)
Deleted (2008)

10.551

Food Stamp Program (1965B)
Food Stamps (1970B)
Supplemental Nutrition Assistance Program (2008)

10.552

Special Food Service Program for Children (1969B)

Nonschool Food Program (1970B)
Special Food Service Program for Children (1971B)
Split into 10.558, 10.559 (1976B)

10.553

Pilot School Breakfast Program (1967B)
School Breakfasts (1969B)
School Breakfast Program (1972B)

10.554

Equipment Program to Initiate or Expand School Food Service (1967B)
School Lunch Program_Nonfood Assistance (1970B)
Nonfood Assistance for School Food Service Programs (1972B)
Equipment Assistance for School Food Service Programs (1978B)
Archived (1982B)

10.555

National School Lunch Program (1965B)
School Lunches (1969B)
National School Lunch Program (1971B)

10.556

Special Milk Program for Children (1965B)
School Milk (1969B)
Special Milk Program (1970B)
Special Milk Program for Children (1971B)

10.557

Special Supplemental Food Program for Women, Infants, and Children (1974B)
Special Supplemental Nutrition Program for Women, Infants, and Children (1995U)

10.558

Child Care Food Program (1976B)
Split from 10.552 (1976B)
Child and Adult Care Food Program (1990B)
Incorporated from 10.573 (2000B)

10.559

Summer Food Service Program for Children (1976B)
Split from 10.552 (1976B)

10.560

State Administrative Expenses for Child Nutrition (1977U)

10.561

State Administrative Matching Grants for Food Stamp Program (1977U)
State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (2008)

10.562

Nutritional Training and Education (1977U)
Archived (1979B)

10.563

Nutrition Education Experimental or Demonstration Projects (1977U)
Archived (1980B)

10.564

Nutrition Education and Training Program (1978U)
Archived (2001U)

10.565

Commodity Supplemental Food Program (1979U1)
Split from 10.550 (1979U1)

10.566

Nutrition Assistance for Puerto Rico (1983B)

10.567

Food Distribution Program Commodities in Lieu of Food Stamps (Indian Reservations) (1983B)
Food Distribution Program Commodities on Indian Reservations (1983U)
Needy Family Program (1985B)
Food Distribution Program on Indian Reservations (1988B)

10.568

Temporary Emergency Food Assistance (Administrative Costs) (1984B)
Emergency Food Assistance Program (Administrative Costs) (1993U)

10.569

Temporary Emergency Food Assistance (Food Commodities) (1989B)
Emergency Food Assistance Program (Food Commodities) (1993U)

10.570

Nutrition Program for the Elderly (Commodities) (1989B)
Nutrition Program for the Elderly (2001U)
Nutrition Services Incentive (2002B)
Deleted (2005)

10.571

Food Commodities for Soup Kitchens (1989B)
Archived (1996U)

10.572

WIC Farmers' Market Nutrition Program (FMNP) (1993U)

10.573

Homeless Children Nutrition Program (1995U)
Incorporated into 10.558 (2000B)
Deleted Program no longer funded. (2000)

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10.574 Team Nutrition Grants (1997U)	10.604 Technical Assistance for Specialty Crops Program (2004)	10.657 Cooperation in Forest Management and Processing (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.576 Senior Farmers Market Nutrition Program (2004)	10.605 Quality Samples Program (2004)	10.658 Cooperative Forest Insect and Disease Control (1974B) Split from 10.650 (1974B) Cooperative Forest Insect and Disease Management (1976B) Consolidated into 10.664 (1978U)
10.578 WIC Grants To States(WGS) (2004)	10.606 Food for Progress (2003B)	10.659 Cooperative Production and Distribution of Forest Tree Planting Stock (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.579 Child Nutrition Discretionary Grants Limited Availability (2004)	10.607 Section 416(b) (2003B) Archived (2010)	10.660 General Forestry Assistance (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)
10.580 Food Stamp Program Research Grants to Improve Access Through New Technology and Partnerships (2004) Food Stamp Program , Outreach Grants (2005) Food Stamp Program Outreach Grants (2005) Food Stamp Program Outreach/Participation Program (2008) Special Nutrition Assistance Program Outreach/Participation Program (2008) Supplemental Nutrition Assistance Program, Outreach/Participation Program (2009)	10.608 Food for Education (2003B)	10.661 Youth Conservation Corps_Grants to States (1974B) Archived (1982B)
10.581 WIC Discretionary Grant Funds (2004) Deleted (2004)	10.609 Trade Adjustment Assistance (2004)	10.662 Rural Community Fire Protection (1975U) Consolidated into 10.664 (1978U)
10.582 Fresh Fruit and Vegetable Program (2005)	10.611 Regional Centers for the Transfer of Manufacturing Technology (1989B)	10.663 Young Adult Conservation Corps (1978B) Young Adult Conservation Corps_Grants to States (1978U) Archived (1983B)
10.583 Hunger Free Communities (2010)	10.650 Forest Service Cooperative State and Private Programs (1965B) Forestry Cooperative Programs (1969B) State and Private Forestry Cooperation (1970B) Split into 10.655, 10.656, 10.657, 10.658, 10.659, 10.660 (1974B)	10.664 Cooperative Forestry Assistance (1978U) Consolidated from 10.655, 10.656, 10.657, 10.658, 10.659, 10.660, 10.662 (1978U)
10.585 FNS Food Safety Grants (2010)	10.651 Forestry Cooperative Research (1970U) Archived (1978U)	10.665 Schools and Roads_Grants to States (1980U2) Secure Payments for States and Counties Containing Federal Land (2008) Schools and Roads - Grants to States (2009)
10.600 Export Market Development (1967B) Agricultural Export Services (1969B) Foreign Market Development and Promotion (1970B) Foreign Agricultural Market Development and Promotion (1972B) Archived (1975B) Reinstated (1985U) Foreign Market Development Cooperation Program (1997B) Foreign Market Development Cooperator Program (1999B)	10.652 Forestry Research (1970U)	10.666 Schools and Roads_Grants to Counties (1980U2)
10.601 Targeted Export Assistance (1987B) Market Promotion Program (1991B) Market Access Program (1997B)	10.653 Land Adjustments (1970U) Land Exchange in the National Forests (1971B) Archived (1973B)	10.667 School Funds_Grants to Arizona and New Mexico (1980U2) School Funds_Grants to Arizona (1981B) Archived (1984B)
10.603 Emerging Markets Program (2002B)	10.654 Forestry Cooperative Law Enforcement (1973U) Cooperative Law Enforcement (1975B) Archived (1977B)	
	10.655 Assistance to States for Tree Planting and Reforestation (1974B) Split from 10.650 (1974B) Assistance to States for Tree Improvement (1977B) Consolidated into 10.664 (1978U)	
	10.656 Cooperative Forest Fire Control (1974B) Split from 10.650 (1974B) Consolidated into 10.664 (1978U)	

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10.668 Additional Lands_Grants to Minnesota (1980U2) Archived (1995B)	(2008)	PART 1774 Special Evaluation Assistance for Rural Communities and Households Program (SEARCH) (2009)
10.669 Accelerated Cooperative Assistance for Forest Programs on Certain Lands Adjacent to the Boundary Waters Canoe Area Archived (1992B)	10.682 National Forest Foundation (2009)	10.760 Water and Waste Disposal Systems for Rural Communities Number changed from 10.418 (1993B)
10.670 National Forest_Dependent Rural Communities (1993B) Archived (2010)	10.683 National Fish and Wildlife Foundation (2009)	10.761 Technical Assistance and Training Grants Number changed from 10.436 (1993B)
10.671 Southeast Alaska Economic Disaster Fund (1997U) Archived (2010)	10.684 International Forestry Programs (2008)	10.762 Solid Waste Management Grants (1993B)
10.672 Rural Development, Forestry and Communities (2000B) Rural Development, Forestry, and Communities (2000U) Archived (2009) Reinstated (2009)	10.685 Community Wood Energy Program (2009) Archived (2010)	10.763 Emergency Community Water Assistance Grants Number changed from 10.440 (1993B)
10.673 Wood In Transportation Program (2003B)	10.686 Forest Biomass for Energy (2009) Archived (2010)	10.764 Resource Conservation and Development Loans Number changed from 10.414 (1993B) Archived (1998U)
10.674 Technology Marketing Unit (2003B) Forest Products Lab: Technology Marketing Unit (TMU) (2005) Forest Products Lab: Technology Marketing Unit (TMU) (2005)	10.687 RECOVERY ACT OF 2009: CAPITAL IMPROVEMENT AND MAINTENANCE (2009)	10.765 Watershed Protection and Flood Prevention Loans Number changed from 10.419 (1993B) Archived (1998U)
10.675 Urban and Community Forestry Program (2003B)	10.688 Recovery Act of 2009: Wildland Fire Management (2009)	10.766 Community Facilities Loans Number changed from 10.423 (1993B) Community Facilities Loans (1997U) Community Facilities Loans and Grants (1998B)
10.676 Forest Legacy Program (2003B)	10.689 Community Forest and Open Space Conservation Program (CFP) (2009)	10.767 Intermediary Relending Program Number changed from 10.439 (1993B)
10.677 Forest Land Enhancement Program (2003B) Archived (2010)	10.690 Lake Tahoe Erosion Control Grant Program (2009)	10.768 Business and Industrial Loans Number changed from 10.422 (1993B) Business and Industry Loans (1998B)
10.678 Forest Stewardship Program (2003B)	10.691 Good Neighbor Authority (2009)	10.769 Rural Development Grants Number changed from 10.424 (1993B) Rural Business Enterprise Grants (2001B)
10.679 Collaborative Forest Restoration (2005)	10.693 Watershed Restoration and Enhancement Agreement Authority (2009)	10.770 Water and Waste Disposal Loans and Grants (Section 306C) (1993B)
10.680 Forest Health Protection (2005)	10.694 Southwest Forest Health and Wildfire Prevention (2010)	10.771 Rural Technology Development Grants (1994U) Rural Cooperative Development Grants (1997B)
10.681 WOOD EDUCATION AND RESOURCE CENTER (WERC) (2008) Wood Education and Resource Center (WERC)	10.695 EASTERN NEVADA LANDSCAPE RESTORATION PROJECT (ENLRP) (2010)	10.772 Empowerment Zones Program (1994U)
	10.700 National Agricultural Library Services (1969B) Number changed to 10.883 (1978B) Number changed from 10.883 (1981U) National Agricultural Library (1982B)	
	10.750 Information on Agricultural Activities (1969B) Archived (1978B)	
	10.759	

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Archived (2010)

10.773

Rural Business Opportunity Grants (1999B)

10.774

National Sheep Industry Improvement Center (1998U)

Archived (2010)

10.775

Renewable Energy Systems and Energy Efficiency Improvements Program (2003B)

Archived (2010)

10.777

Norman E. Borlaug International Agricultural Science and Technology Fellowship (2010)

10.778

Research on the Economic Impact of Cooperatives (2006)

10.780

Community Facilities Loans and Grants (2009)

10.781

Water and Waste Disposal Systems for Rural Communities - ARRA (2009)

10.783

Rural Business Enterprise Grants - ARRA (2009)

10.787

Broadband Initiatives Program (2009)

10.788

Very Low to Moderate Income Housing Loans - Direct (2009)

10.789

Very Low to Moderate Income Housing Loans - Guaranteed (2009)

10.800

Livestock and Poultry Market Supervision (1969B)

Number changed to 10.159 (1978B)

Number changed from 10.159 (1981U)

Livestock, Meat and Poultry Market Supervision (1984B)

Deleted (2004)

10.825

Technical Assistance to Rural Areas (1975B)

Archived (1977U)

10.850

Rural Electrification Program (1965B)

Rural Electrification Loans (1970B)

Rural Electrification Loans and Loan Guarantees (1977B)

10.851

Rural Telephone Service (1969B)

Rural Telephone Loans (1970B)

Rural Telephone Loans and Loan Guarantees (1977B)

10.852

Rural Telephone Bank Loans (1972B)

10.853

Community Antenna Television Loans and Loan Guarantees (1980B)

Archived (2007)

10.854

Rural Economic Development Loans and Grants (1988U)

Number changed from 10.853 (1989B)

10.855

Distant Learning and Medical Link Grants (1992B)

Distance Learning and Medical Link Grants (1993B)

Distance Learning and Telemedicine Loans and Grants (1997B)

10.856

1890 Land Grant Institutions Rural Entrepreneurial Outreach Program (2004)

10.857

State Bulk Fuel Revolving Fund Grants (2001U)

10.858

RUS Denali Commission Grants and Loans (2002B)

Denali Commission Grants and Loans (2007)

10.859

Assistance to High Energy Cost

Rural_Communities (2001U)

Assistance to High Energy Cost_Rural Communities (2002B)

Assistance to High Energy Cost Rural Communities (2007)

10.860

Rural Business Investment Program (2004)

Archived (2010)

10.861

Public Television Station Digital Transition Grant Program (2005)

10.862

Household Water Well System Grant Program (2004)

10.863

Community Connect Grant Program (2004)

10.864

Grant Program to Establish a Fund for Financing Water and Wastewater Projects (2005)

10.865

Biorefinery Assistance (2009)

10.868

Rural Energy for America Program (2009)

10.870

Rural Microentrepreneur Assistance Program (2009)

10.875

Agricultural Research_Basic and Applied Research (1978B)

Number changed from 10.001 (1978B)

Number changed to 10.001 (1981U)

10.876

Grants for Agricultural Research, Special Research Grants (1978B)

Number changed from 10.200 (1978B)

Number changed to 10.200 (1981U)

10.877

Cooperative Forestry Research (1978B)

Number changed from 10.202 (1978B)

Number changed to 10.202 (1981U)

10.878

Payments to Agricultural Experiment Stations Under Hatch Act (1978B)

Number changed from 10.203 (1978B)

Number changed to 10.203 (1981U)

10.879

Rural Development Research (1978B)

Number changed from 10.204 (1978B)

Number changed to 10.204 (1981U)

10.880

Payments to 1890 Land-Grant Colleges and Tuskegee Institute (1978B)

Split from 10.200 (1978B)

Number changed to 10.205 (1981U)

10.881

Cooperative Extension Service (1978B)

Number changed from 10.500 (1978B)

Number changed to 10.500 (1981U)

10.882

Higher Education_Land-Grant Colleges and Universities (1978B)

Transferred from 13.453 (1978B)

Number changed to 10.501 (1981U)

10.883

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Technical Information Systems (1978B)

Number changed from 10.700 (1978B)

Number changed to 10.700 (1981U)

10.884

Grants for Agricultural Research_Competitive Research_Grants (1978B)

Number changed to 10.206 (1981U)

10.885

Animal Health and Disease Research (1980B)

Number changed to 10.207 (1981U)

10.886

Rural Broadband Access Loans and Loan Guarantees (2005)

10.900

Great Plains Conservation (1969B)

10.901

Resource Conservation and Development (1970B)

10.902

Soil and Water Conservation (1970B)

Split into 10.902, 10.905, 10.906, 10.907 (1971B)

Consolidated from 10.908 (1990B)

10.903

National Cooperative Soil Survey (1969B)

Soil Survey (1970B)

10.904

Watershed Protection and Flood Prevention (1970B)

Consolidated into 10.911 (1996B)

10.905

Plant Materials for Conservation (1971B)

Split from 10.902 (1971B)

10.906

River Basin Surveys and Investigations (1971B)

Split from 10.902 (1971B)

Consolidated into 10.911 (1996B)

Watershed Surveys and Planning (1997B)

Archived (2010)

10.907

Snow Survey and Water Supply Forecasting (1971B)

Split from 10.902 (1971B)

10.908

Land Inventory and Monitoring (1977B)

Inventory and Monitoring (1978B)

Resources Inventory (1983U)

Consolidated into 10.902 (1990B)

10.909

Resource Appraisal and Program Development (1979B)

Archived (1983U)

10.910

Rural Abandoned Mine Program (1979U1)

Archived (2010)

10.911

Watershed Surveys and Planning

Consolidated from 10.904, 10.906 (1996B)

Incorporated into 10.906 (1997B)

10.912

Environmental Quality Incentives Program (1997B)

10.913

Farm Land Protection Program (1997B)

Farmland Protection Program (1997U)

10.914

Wildlife Habitat Incentive Program (1997B)

10.915

Fund for Rural America_Small Farmer Outreach

Training and Technical Assistance (1998B)

Archived (1999B)

10.916

Watershed Rehabilitation Program (2003B)

10.917

Agricultural Management Assistance (2004)

10.918

Ground and Surface Water Conservation_

Environmental Quality Incentives Program (2004)

Archived (2010)

10.919

Klamath Basin _ Environmental Quality Incentives Program (2004)

Archived (2010)

10.920

Grassland Reserve Program (2004)

10.921

Conservation Security Program (2004)

10.922

Healthy Forests Reserve Program (HFRP) (2007)

10.923

Emergency Watershed Protection Program (2007)

10.950

Agricultural Statistical Reports (1969B)

Number changed to 10.252 (1978B)

Agriculture Statistical Reports (1981U)

Agricultural Statistics Reports (1986U)

10.960

Technical Agricultural Assistance (1986B)

10.961

International Agricultural Research_Collaborative Program (1986B)

International Agricultural Research Program (1991U)

Scientific Cooperation Program, Incorporated from 10.963 (1999B)

Scientific Cooperation and Research (2001B)

10.962

International Training_Foreign Participant (1986B)

Cochran Fellowship Program-International

Training-Foreign Participant (2006)

10.963

Scientific and Technical Cooperation (1990B)

Incorporated into 10.961 (1999B)

10.994

Peanut Quota Buyout Program (2005)

Archived (2009)

10.995

Hard White Wheat Incentive Program (2005)

Archived (2009)

10.999

LONG TERM STANDING AGREEMENTS FOR STORAGE, TRANSPORTATION AND LEASE (2008)

DEPARTMENT OF COMMERCE

Development Highways and Access Roads for the Appalachian Region (1965B)

Administration and Research in Economic

Development Programs in Appalachia (1967B)

Archived (1969B)

Community Relations Service (1965B)

Archived (1967B)

Development of Ports (1967B)

Archived (1969B)

Economic Development Districts (1967B)

Consolidated into 11.300 (1969B)

Grants and Loans for Public Works and

Development Facilities (1967B)

Consolidated into 11.300 (1969B)

Gross National Product Estimates (1967B)

Archived (1969B)

High Speed Ground Transportation (1965B)

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Highway Beautification (1965B)
Transferred/Split into 20.202, 20.203, 20.204
(1970B)

Highway Planning and Construction (1965B)
Transferred to 20.205 (1970B)

Industry and Commodity Information (1965B)
Archived (1967B)

Regional Action Planning Commissions (1967B)
Consolidated into 11.300 (1969B)

State Technical Services Program (1967B)
Archived (1970B)

Supplements to Federal Grant-in-Aid Programs in
Appalachia (1965B)
Supplemental Grants-in-Aid for Appalachia
(1967B)
Archived (1969B)

11.001
Statistical Data for Small Areas (1965B)
Census Data (1967B)
Census and Statistical Reports (1969B)
Census Bureau Data Products (1980U1)

11.002
Census Data Access and Use Laboratory (1970B)
Census Data User Services (1972B)
Census Customer Services (1995U)

11.003
Census Mapping and Statistical Areas (1969B)
Census Geography (1970B)

11.004
Census Intergovernmental Services (1970B)

11.005
Census Special Tabulations and Services (1969B)

11.006
Personal Census Age Search (1967B)
Personal Census Search (1969B)

11.007
Measures and Analyses of U.S. Economy (1972B)
Number changed from 11.700 (1972B)
Number changed to 11.025 (1976U)

11.008
NOAA Mission-Related Education Awards (2009)

11.009
TV Converter Box Coupon Program Consumer
Education and Technical Assistance (2009)
Archived (2010)

11.010
Community Trade Adjustment Assistance (2009)

11.011
Ocean Exploration (2009)

11.012
Integrated Ocean Observing System (IOOS) (2009)

11.013
Education Quality Award Ambassadorship (2009)

11.025
Measures and Analyses of the U.S. Economy
(1976U)
Number changed from 11.007 (1976U)

11.026
National Trade Data Bank (NTDB) (1994B)
STAT-USA: Key Business, Economic, and
International Trade Information (2006)

11.027
Business Assistance, Services, and Information
(1980B)
Number changed from 11.104 (1980B)
Number changed to 11.050 (1980U2)
Productivity and Economic Growth (1982B)
Number changed to 11.980 (1989B)
Economic Bulletin Board (EBB) (1994B)
State of the Nation (2002B)
Deleted (2006)

11.050
Business Assistance, Services, and Information
(1980U2)
Number changed from 11.027 (1980U2)
Number changed to 11.110 (1984B)

11.100
Export Licensing Service and Information (1969B)
Number changed to 11.150 (1988B)

11.101
International Trade Promotion (1967B)
Export Trade Promotion (1969B)
Consolidated into 11.108 (1980B)

11.102
Foreign Investment in the United States (1967B)
Foreign Investment Development in the United
States (1969B)
Archived (1977U)

11.103
Commercial Intelligence Service (1967B)
International Commercial Information (1969B)
Consolidated into 11.108 (1980B)

11.104

Business Assistance, Services, and Information
(1973B)
Number changed from 11.200 (1973B)
Number changed to 11.027 (1980B)

11.105
Importation of Duty-Free Educational and
Scientific Materials (1973B)
Number changed from 11.201 (1973B)
Archived (1986B)

11.106
Remedies for Unfair Foreign Trade
Practices_Antidumping and Countervailing Duty
Investigations (2004)

11.106A
Trade Adjustment Assistance (1973B)
Number changed from 11.202 (1973B)
Number changed to 11.309 (1975U)

11.106B
Antidumping Duties (1980B)
Transferred from 21.200 (1980B)
Remedies for Unfair Foreign Trade
Practices_Antidumping Duty Investigations
(1991B)
Remedies for Unfair Foreign Trade
Practices_Antidumping and Countervailing Duty
Investigations (1996U)

11.107
Countervailing Duty on Imports (1980B)
Transferred from 21.201 (1980B)
Remedies for Unfair Foreign Trade
Practices_Countervailing Duty Investigations
(1991B)
Archived (1997B)

11.108
International Trade Promotion (1980B)
Consolidated from 11.101, 11.103 (1980B)
International Trade (1984B)
Export Promotion Services (1985B)
Commercial Service (1995B)

11.109
Trade Adjustment Assistance (1980B)
Archived (1986B)
Reinstated (1988U)
Number changed to 11.313 (1991B)

11.110
Business Assistance, Services, and Information
(1984B)
Number changed from 11.050 (1984B)
Trade Development (1985B)
Manufacturing and Services (2005)
Manufacturing and Services (2005)

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11.111 Foreign-Trade Zones in the United States (1986U)	11.301 Economic Development_Loans for Businesses and Development Companies (1969B) Split from 11.300 (1969B) Archived (1973B) Reinstated (1973U) Economic Development_Business Development Assistance (1975B) Archived (1993U)	Assistance Program_Sudden and Severe or Long-Term Economic Deterioration (1983B) Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation or Long-Term Economic Deterioration (1984B) Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1986U) Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation (SSED) and Long-Term Economic Deterioration (LTED) (1997B) Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation and Long-Term Economic Deterioration (1997U) Economic Adjustment Assistance (1999B)
11.112 Export Promotion_Market Development Cooperator (1994B) Export Promotion Market Development Cooperation (2002B) Market Development Cooperator Program (2007)	11.302 Economic Development_Assistance for Distressed Areas (1969B) Split from 11.300 (1969B) Economic Development_Planning Assistance (1970B) Archived (1973B) Reinstated (1973U) Economic Development_Support for Planning Organizations (1975B) Archived (1999B) Reinstated/Incorporated from 11.305 (2000B)	11.308 Grants to States for Supplemental and Basic Funding of Titles I, II, and IV Activities (1975U) Grants to States for Supplemental and Basic Funding of Titles I, II, III, IV and IX Activities (1977B) Archived (1981U)
11.113 ITA Special Projects (1995B)	11.303 Economic Development_Technical Assistance Research, and Information (1969B) Split from 11.300 (1969B) Economic Development_Technical Assistance (1970B) Archived (1973B) Reinstated (1973U)	11.309 Trade Adjustment Assistance (1975U) Number changed from 11.106 (1975U) Archived (1981U)
11.114 Special American Business Internship Training Program (1997B)	11.304 Economic Development_Public Works Impact Projects (1972B) Archived (1973B) Reinstated (1973U) Economic Development_Public Works Impact Program (1990U) Archived (1999B)	11.310 Redevelopment Area Loan Program (Section 204) (1977U) Incorporated into 11.307 (1978B)
11.115 American Business Center (1998U) Archived (2001B)	11.305 Economic Development_State and Local Economic Development Planning (1975B) Incorporated into 11.302 (2000B)	11.311 Special Economic Development and Adjustment Assistance Program_Sudden and Severe Economic Dislocation (1979B) Split from 11.307 (1979B) Archived (1981U)
11.150 Export Licensing Service and Information (1988B) Number changed from 11.100 (1988B)	11.306 Economic Development_District Operational Assistance (1975B) Archived (1986B)	11.312 Research and Evaluation Program (1984B)
11.200 Information and Services to Industrial Community (1967B) Business Assistance, Services and Information (1969B) Number changed to 11.104 (1973B)	11.307 Economic Development_Special Economic Development and Adjustment Assistance Program (1975B) Special Economic Development and Adjustment Assistance Program_Long-Term Economic Deterioration (1979B) Split into 11.307, 11.311 (1979B) Special Economic Development and Adjustment	11.313 Trade Adjustment Assistance Number changed from 11.109 (1991B) Trade Adjustment Assistance for Firms (2007)
11.201 Importation of Duty_Free Educational and Scientific Materials (1969B) Number changed to 11.105 (1973B)		11.400 Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System) (2004)
11.202 Trade Adjustment Assistance (1971U) Number changed to 11.106 (1973B)		11.400A 6Geodetic Control Surveys and Tideland Studies (1965B)
11.300 Public Works and Economic Development (1965B) Economic Development_Grants and Loans for Public Works and Development Facilities (1969B) Split into 11.300, 11.301, 11.302, 11.303 (1969B) Archived (1973B) Reinstated (1973U) Economic Development_Grants and Loans for Public Works and Development Facilities (1973U) Economic Development_Grants for Public Works and Development Facilities (1981U) Economic Development_Grants for Public Works and Infrastructure Development (1995B) Grants for Public Works and Economic Development (1999B) Grants for Public Works and Economic Development Facilities (2002B) Investments for Public Works and Economic Development Facilities (2007)		

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Geodesy (1970B)
Geodetic Control Surveys (1971B)

11.400B

6Geodetic Surveys and Services (1974U)
Archived (1989B)
Reinstated (1991B)

11.401

Nautical Charts and Related Data (1965B)
Archived (1986B)

11.402A

6Weather Bureau River and Flood Forecasting Service (1965B)
River and Flood Forecasting (1967B)
River and Flood Forecasts (1969B)
River and Flood Forecast and Warning Services (1974U)
Archived (1977U)

11.402B

6Marine Boundary Program (1981B)
Archived (1986B)

11.403

Seismological Investigations (1970B)
Archived (1973B)

11.404

Weather and Climate Guidance (1965B)
Weather and Marine Forecasts and Climate Guidance (1969B)
Weather Forecasts and Warnings (1970B)
Weather and River Forecasts and Warnings (1977U)
Archived (1979B)

11.405

Anadromous and Great Lakes Fisheries Conservation (1971B)
Transferred from 15.001 (1971B)
Anadromous Fish Conservation Act Program (1991U)
Archived (2009)

11.406

Commercial Fisheries Disaster Assistance (1971B)
Transferred from 15.002 (1971B)
Archived (1981B)

11.407

Commercial Fisheries Research and Development (1971B)
Transferred from 15.003 (1971B)
Interjurisdictional Fisheries Act of 1986 (1987U)

11.408

Current Economic Analysis of Food Fish, Shellfish, and Industrial Fishery Projects (1971B)

Archived (1973B)
Reinstated (1980B)
Fishermen's Contingency Fund (1980B)

11.409

Fisheries Loan Fund (1971B)
Transferred from 15.005 (1971B)
Incorporated into 11.415 (1974B)
Reinstated/Split from 11.415 (1980B)
Fishing Vessel and Gear Damage Compensation Fund (1980B)
Archived (1998B)

11.410

Fishermen_Reimbursement of Losses (1971B)
Transferred from 15.004 (1971B)
Fishermen's Guaranty Fund (1981B)
Number changed to 19.204 (1987B)

11.411

Fishery Cooperative Services (1971B)
Archived (1986B)

11.412

Fishery Marketing Assistance (1971B)
Transferred from 15.006 (1971B)
Archived (1973B)

11.413

Fishery Products Inspection and Certification (1971B)
Transferred from 15.007 (1971B)

11.414

Fishing Statistics and Market News (1971B)
Transferred from 15.008 (1971B)
Archived (1973B)

11.415

Fishing Vessel Mortgage and Loan Insurance (1971B)
Transferred from 15.009 (1971B)
Fishing Vessel Obligation Guarantees (1973B)
Federal Ship Financing Fund Liquidating Account (1992B)
Fisheries Obligation Guarantee Program (1994U)
Fisheries Finance Program (1998B)

11.416

Jellyfish Control (1971B)
Transferred from 15.010 (1971B)
Archived (1973B)

11.417

Sea Grant Institutional Support (1971B)
Transferred from 47.022 (1971B)
Sea Grant Support (1972B)

11.418

Sea Grant Project Support (1971B)

Transferred from 47.023 (1971B)
Incorporated into 11.417 (1972B)
Coastal Zone Management Program Development (1974B)
Reinstated/Split from 11.417 (1974B)
Archived (1980B)

11.419

Coastal Zone Management Program Administration (1974U)
Coastal Zone Management Program Administration Grants (1985B)
Coastal Zone Management Program Implementation and Enhancement Awards (1991B)
Coastal Zone Management Administration Awards (1992B)

11.420

Coastal Zone Management Estuarine Sanctuaries (1975B)
Coastal Zone Management Estuarine Research Reserves (1986U)

11.421

Coastal Energy Impact Program_Formula Grants (1977U)
Energy Impact_Formula Grants (1984B)
Archived (1986B)

11.422

Coastal Energy Impact Program_Planning Grants (1977U)
Coastal Energy Impact Grants (1985B)
Archived (1986B)

11.423

Coastal Energy Impact Program_Loans and Guarantees (1977U)
Archived (1985U)

11.424

Coastal Energy Impact Program_Environmental Grants (1977U)
Archived (1983B)

11.425

Coastal Energy Impact Program_Outer Continental Shelf State Participation Grants (1980B)
Archived (1985B)

11.426

Ocean Dumping and Monitoring Program (1980B)
Financial Assistance for Marine Pollution Research (1981B)
Archived (1990B)
Reinstated (1991B)
Financial Assistance for National Status and Trends Programs (1991B)
Financial Assistance for Ocean Resources Conservation and Assessment Program (1991U)

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Financial Assistance for National Centers for Central Coastal Ocean Science (1999B)

Financial Assistance for National Centers for Coastal Ocean Science (1999U)

11.427

Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (1980B)

11.428

Intergovernmental Climate_Demonstration Project (1980U1)

Intergovernmental Climate_Programs (1983U)

Intergovernmental Climate_Program (1995U)

Intergovernmental Climate_Program (NESDIS) (1999B)

Deleted (2007)

11.429

Marine Sanctuary Program (1985B)

11.430

Undersea Research (1987B)

11.431

Climate and Atmospheric Research (1987B)

Climate and Research (1992B)

Split into 11.459 (1992B)

Climate and Atmospheric Research (1992U)

11.432

Environmental Research Laboratories Joint Institutes (1990B)

Environmental Research Laboratories Cooperative Institutes (1992B)

Office of Oceanic and Atmospheric Research (OAR) Cooperative Institutes (1999U)

Office of Oceanic and Atmospheric Research (OAR) Joint and Cooperative Institutes (2001B)

National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes (2010)

11.433

Marine Fisheries Initiative (1990U)

11.434

Cooperative Fishery Statistics (1991B)

11.435

Southeast Area Monitoring and Assessment Program (1991B)

11.436

Columbia River Fisheries Development Program (1991B)

11.437

West Coast Fisheries Data Program (1991B)

Pacific Fisheries Data Program (1995B)

11.438

Pacific Salmon Treaty Program (1991B)

Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program (2000B)

11.439

Marine Mammal Data Program (1991B)

11.440

Research in Remote Sensing of the Earth and Environment (1991B)

Environmental Sciences, Applications, Data, and Education (2002B)

11.441

Regional Fishery Management Councils (1991U)

11.442

Research in Oceanographic Data Base Management (1991U)

Archived (1999B)

11.443

Short-Term Climate Fluctuations (1992U)

Short_Term Climate Fluctuations (1995B)

Short Term Climate Fluctuations (1995U)

11.444

Mahimahi Aquaculture (1991U)

Aquaculture Program (1994U)

Direct Housing_Natural Disaster Loans and Grants (1999B)

Aquaculture Program (1999U)

Hawaii Sustainable Fisheries Development (Aquaculture Program) (2004)

11.445

Stock Enhancement of Marine Fish in the State of Hawaii (1991U)

Hawaii Stock Management (2001B)

Hawaii Stock Management Program (2002B)

11.446

Antarctic Marine Living Resources (1991U)

Archived (1999B)

11.448

Federal/State Cooperative Program in Atmospheric Modification Research (1992B)

Federal/State Cooperative Program in Atmospheric Research (1995B)

Archived (1997B)

Reinstated (1998B)

Archived (1998U)

11.449

Independent Education and Science Projects and Programs (1992B)

11.450

Integrated Flood Observing and Warning System (IFLOWS) (1993B)

11.452

Unallied Industry Projects (1993U)

11.454

Unallied Management Projects (1993B)

11.455

Cooperative Science and Education Program (1993U)

11.457

Chesapeake Bay Studies (1992B)

11.458

Alaska Salmon Enhancement (1993B)

Deleted (2004)

Consolidated into 11.438 (2004)

11.459

Climate and Air Quality Research (1992B)

Split from 11.431 (1992B)

Weather and Air Quality Research (2002B)

11.460

Congressionally Identified Program Needs (1992B)

Special Oceanic and Atmospheric Projects (1992U)

11.461

Cooperative Institute (1992B)

Archived (1994U)

11.462

Hydrologic Research (1994B)

11.463

Habitat Conservation (1993B)

11.464

Marine Research_Regional Programs (1993B)

Archived (1997B)

11.466

Marine Instrumentation Special Projects (1993B)

Archived (1994B)

11.467

Meteorologic and Hydrologic Modernization Development (1993U)

11.468

Applied Meteorology Research (1993B)

Collaborative Applied Hydrometeorological Research Activities (1993U)

Cooperative Institute for Applied Meteorological Studies (CIAMS) and Cooperative Institute for Tropical Meteorology (CITM) (1994B)

Applied Meteorological Research (2002B)

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11.469 Congressionally Identified Construction Projects (1993B) Congressionally Identified Awards and Projects (2004)	11.503 Maritime War Risk Insurance (1970B) Number changed to 20.803 (1981U)	Archived (1997B)
11.470 Office of Administration Special Programs (1993U) Deleted (2004) Consolidated into 11.481 (2004)	11.504 Operating_Differential Subsidies (1970B) Number changed to 20.804 (1981U)	11.552 Telecommunications and Information Infrastructure Assistance Program (1994B) Technology Opportunities (2000B) Technology Opportunities Program (2004) Deleted (2006)
11.472 Unallied Science Program (1994U)	11.505 Ship Exchange Program (1967B) Ships Exchange and Sales (1969B) Ship Sales (1972U) Number changed to 20.805 (1981U)	11.553 NYC 9/11 Digital Television Program (2008)
11.473 Coastal Ecosystem Health (1995U) Coastal Services Center (1997B)	11.506 State Marine Schools (1967B) Number changed to 20.806 (1981U)	11.554 Low-Power Television and Translator Digital-to-Analog Conversion (2007) Archived (2010)
11.474 Atlantic Coastal Fisheries Cooperative Management Act (1995B)	11.507 Maritime Manpower Training (1969B) U.S. Merchant Marine Academy (1970B) Number changed to 20.807 (1981U)	11.555 Public Safety Interoperable Communications Grant Program (2008) Archived (2010)
11.477 Fisheries Disaster Relief (1999B)	11.508 Capital Construction Fund (1973U) Number changed to 20.808 (1981U)	11.556 TV Converter Box Coupon Program (2008) Archived (2010)
11.478 Coastal Ocean Program (1998B) Center for Sponsored Coastal Ocean Research_Costal Ocean Program (2000B)	11.509 Development and Promotion of Domestic Waterborne Transport Systems (1974U) Number changed to 20.809 (1981U)	11.557 Broadband Technology Opportunities Program (BTOP) (2009)
11.480 National Ocean Service Intern Program (1999B) Archived (2009)	11.510 Supplementary Training (1980U2) Number changed to 20.810 (1981U)	11.558 State Broadband Data and Development Grant Program (2009)
11.481 Educational Partnership Program (2001U)	11.511 Research and Development Assistance (1981B) Number changed to 20.811 (1981U)	11.559 Low-Power Television and Translator Upgrade Program (2009)
11.482 Coral Reef Conservation Program (2010)	11.512 Construction Reserve Fund (1981B) Number changed to 20.812 (1981U)	11.600 Building Code (Regulations) Assistance to States (1970B) Archived (1978U)
11.500 Construction_Differential Subsidies (1970B) Number changed to 20.800 (1981U)	11.550 Public Telecommunications Facilities (1979B) Transferred from 13.413 (1979B) Public Telecommunications Facilities_Construction and Planning (1986B) Public Telecommunications Facilities_Planning and Construction (1994B) Public Telecommunications Facilities Planning and Construction (2004)	11.601 Calibration and Testing Services (1970B) Physical Measurement Services Program (1991U) Calibration Program (1992B)
11.501 Marine Development and Promotion Activities (1969B) Development and Promotion of Ports and Intermodal Transport Systems (1970B) Development and Promotion of Ports and Intermodal Transportation (1975B) Number changed to 20.801 (1981U)	11.551 Public Telecommunications Services Program (1980U1) Archived (1983B) National Endowment for Children's Educational Television (1993U)	11.602 Clearinghouse for Scientific and Technical Information (1967B) Clearinghouse for Federal Scientific and Technical Information (1970B) Number changed to 11.650 (1971B)
11.502 Aids to Shipping and Shipbuilding (1967B) Shipping and Shipbuilding Assistance (1969B) Federal Ship Mortgage Insurance (1970B) Federal Ship Financing Guarantees (1973B) Number changed to 20.802 (1981U)		11.603 Standard Reference Data (1969B) National Standard Reference Data System (1970B)
		11.604

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Standard Reference Materials (1969B)

11.605

State Invention Program (1970B)
Archived (1975U)

11.606

Weights, Measures, and Other Standards (1967B)
Weights and Measures Service (1969B)

11.607

Experimental Technology Incentives Program (1973U)
Archived (1975B)

11.608

Fire Research and Safety Program (1974U)
Archived (1978B)

11.609

Measurement and Engineering Research and Standards (1983B)

11.610

National Center for Standards and Certification Information (1986U)

11.611

Manufacturing Technology Centers Program (1992U)
Manufacturing Extension Program (1993B)
Manufacturing Technology Centers (1993U)
Manufacturing Extension Partnership (1994B)
Consolidated from 11.613 (1995B)

11.612

Advanced Technology Program (1990B)
Archived (2009)

11.613

State Technology Extension Program (1990B)
Consolidated into 11.611 (1995B)

11.614

Experimental Program to Stimulate Competitive Technology (1999B)
Archived (2002B)

11.616

Technology Innovation Program (TIP) (2008)

11.617

Congressionally-Identified Projects (2002U)

11.618

National Institute of Standards and Technology Construction Grant Program (2008)

11.650

National Technical Information Service (1971B)

Number changed from 11.602 (1971B)

11.651

Commerce Summary Statistical Data (1972B)
Incorporated into 11.650 (1973B)
Reinstated/Split from 11.650 (1980U1)
Science Information Exchange, Inc. (1980U1)
Archived (1983B)

11.700A

6Measures and Analyses of the U.S. Economy (1969B)
Measures and Analyses of the United States Economy (1971B)
Number changed to 11.007 (1972B)

11.700B

6Academy Planning Assistance (1977B)
Transferred to 83.001 (1979B)

11.701

Importation of Duty-Free Education and Scientific Materials (1969B)
State Fire Incident Reporting Assistance (1977B)
Transferred to 83.002 (1979B)

11.702

Internship Program for Postsecondary Students (1999B)

11.750

Cooperative Generic Technology Program (1980U2)
Archived (1982B)

11.800

Minority Business Enterprise (1970B)
Minority Business Enterprise_Coordination and Technical Assistance (1971B)
Minority Business Development_Coordination, Management, and Technical Assistance (1973B)
Minority Business Development_Management and Technical Assistance (1978U)
Minority Business Development Centers (1986U)
Split into 11.801, 11.802, 11.803 (1986U)
Basic Minority Business Development Centers (2000B)
Minority Business Development Centers (2001B)
Minority Business Enterprise Centers (2006)

11.801

American Indian Program (1986U)
Native American Program (1993U)
Native American Business Development Centers (2004)
Naataive American Business Enterprise Centers (2006)

11.802

Minority Business and Industry

Association_Minority Chambers of Commerce (1986U)

Minority Business Resource Development (1991U)
Minority Business Development (1998B)
Deleted (2004)

11.803

Minority Business Development_State and Local Government Program (1986U)
Archived (1988B)
Reinstated (2002B)

11.805

MBDA Business Center (2010)

11.806

Minority Business Opportunity Committee Development (2000B)
Minority Business Opportunity Committee (2002B)
Archived (2003B)

11.900

Patent and Trademark Assistance (1969B)
Patent and Trademark Technical Information Dissemination (1970B)

11.950

Visitor Services (1969B)
Community Visitor Services (1972B)
Archived (1972U)

11.951

Promotion of Travel from Abroad (1972B)
Archived (1973U)
Matching Funds (1974B)
Reinstated (1974B)
Matching Funds (1974B)
Archived (1980U1)

11.975

Telecommunications Management and Analysis (1971U)
Archived (1973B)

11.980

Productivity and Economic Growth
Changed from 11.027 (1989B)
Archived (1993B)

DEPARTMENT OF DEFENSE

Drainage Improvement (1965B)
Archived (1969B)

Flood, Hurricane or Abnormal Tide Protection (1967B)
Archived (1969B)

Health Benefits for Uniformed Military Servicemen and Dependents (1967B)
Archived (1969B)

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Hydroelectric Power Development (1965B)
Archived (1969B)

Information and Guidance on Flood Hazards
(1967B)
Archived (1969B)

Military Support of Community Projects (1967B)
Archived (1969B)

Navigation in Rivers and Harbors (1967B)
Archived (1969B)

Nuclear Disaster Planning and Survival (1967B)
Archived (1969B)

Recreation Facilities at Federal Water Resources
Projects (1967B)
Archived (1969B)

Water Quality Control (1967B)
Archived (1969B)

Water Resources Development Program (1965B)
Archived (1967B)

Water Resources Investigation (1967B)
Archived (1969B)

Water Supply (1967B)
Archived (1969B)

12.000
Issue of Department Of Defense excess equipment
(2005)

12.001
Machine Tool Loans to Vocational Schools.
(1965B)
Machine Tool Loan to Educational Institutions
(1970B)
Archived (1973B)
Reinstated (1974B)
Industrial Equipment Loans to Educational
Institutions (1974B)
Archived (1994B)

12.002
Procurement Technical Assistance for Business
Firms (1988B)

12.100
Aquatic Plant Control (1967B)

12.101
Beach Erosion Control (1967B)
Beach Erosion Control Projects (1971B)

12.102
Flood Control Works and Federally Authorized
Coastal Protection Works, Rehabilitation (1984U)

Emergency Rehabilitation of Flood Control Works
and Federally Authorized Coastal Protection Works
(1985U)

Emergency Rehabilitation of Flood Control Works
or Federally Authorized Coastal Protection Works
(1986U)

12.103
Emergency Operations (1965B)
Emergency Flood and Hurricane Operations
(1969B)
Flood Fighting and Rescue Operations, and
Emergency Protection of Coastal Protective Works
Federally Authorized (1970B)
Flood Fighting and Rescue Operations (1986U)
Emergency Operations Flood Response and Post
Flood Response (1987U)

12.104
Flood Control (1965B)
Flood Plain Management Services (1969B)

12.105
Protection of Essential Highways, Highway Bridge
Approaches, and Public Works (1970B)

12.106
Small Flood Control Projects (1970B)
Flood Control Projects (1971B)

12.107
Limited Water Resources Development Projects
(1967B)
Small Navigation Projects (1969B)
Navigation Projects (1971B)

12.108
Snagging and Clearing for Flood Control (1970B)

12.109
Snagging and Clearing for Navigation (1970U)
Protection, Clearing and Straightening Channels
(1978B)

12.110
Planning Assistance to States (1975U)

12.111
Flood Prevention (1983U)
Emergency Advance Measures for Flood
Prevention (1985U)

12.112
Payments to States (1986B)
Payments to States in Lieu of Real Estate Taxes
(1987B)

12.113
State Memorandum of Agreement Program for the
Reimbursement of Technical Services (1991B)

12.114
Collaborative Research and Development (1994U)

12.116
DEPARTMENT OF DEFENSE
APPROPRIATION ACT 2003 (2003B)

12.117
ARRA Cooperative Agreements, New Mexico
(2010)

12.118
North Dakota Environmental Infrastructure
(Section 594) - ARRA (2010)

12.119
Northern Wisconsin Environmental Infrastructure
(Section 154) - ARRA (2010)

12.120
Northeastern Minnesota Environmental
Infrastructure (Section 569) - ARRA (2010)

12.121
Montana, Nevada, New Mexico, Utah, Idaho, &
Wyoming Environmental Infrastructure (Section
595) - ARRA (2010)

12.122
Title VI - Cheyenne River Sioux Tribe, Lower
Brule Sioux Tribe, and Terrestrial Wildlife Habitat
Restoration, South Dakota - ARRA (2010)

12.123
South Central Pennsylvania Environmental
Infrastructure (Section 313) - ARRA (2010)

12.124
Mississippi Environmental Infrastructure (Section
592) - ARRA (2010)

12.125
Southern WV Environmental Infrastructure
(Section 340) - ARRA (2010)

12.126
Central WV Environmental Infrastructure (Section
571) - ARRA (2010)

12.127
Southern and Eastern KY Environmental
Infrastructure (Section 531) - ARRA (2010)

12.128
Florida Keys Water Quality Improvement Program
(Section 109) - ARRA (2010)

12.129
Title VI - Cheyenne River Sioux Tribe, Lower
Brule Sioux Tribe, and Terrestrial Wildlife Habitat
Restoration, South Dakota (2010)

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12.200 Memorial Plots in National Cemeteries (1970B) Transferred to 64.200 (1973U)	Archived (1975B)	12.318 Civil Defense_Shelter Information (1970B) Archived (1973B)
12.201 National Cemeteries (1969B) Transferred to 64.201 (1973U)	12.308 Civil Defense_Federal Surplus Personal Property Donations (1970B) Archived (1977U)	12.319 Civil Defense_Survival Supplies, Equipment and Training (1970B) Civil Defense_System Maintenance Services (1972B) Civil Defense_State and Local Maintenance and Services (1977B) Transferred to 83.201 (1980B)
12.202 Procurement of Headstones and Markers (1970B) Transferred to 64.202 (1973U)	12.309 Civil Defense_Industrial Participation (1970B) Transferred to 83.205 (1980B)	12.320 Civil Defense_University Extension (1970B) Consolidated into 12.325 (1974B)
12.234 Civil Defense_Architect/Engineer Student Development (1972U)	12.310 Inspection, Maintenance, and Calibration of Civil Defense Radiological Equipment (1970B) Civil Defense_Radiological Systems Maintenance and Calibration of Civil Defense Radiological Equipment (1974B) Civil Defense_Radiological Systems Maintenance and Training of Radiological Personnel (1976U) Transferred to 83.206 (1980B)	12.321 Civil Defense_State and Local Supporting Systems Equipment (1971B) Civil Defense_State and local Supporting Materials (1977B) Archived (1980B)
12.300 Natural Disasters_Warning, Survival and Rehabilitation (1967B) Civil Defense (1969B) Civil Defense_Architect/Engineer Faculty Development (1970B) Archived (1975B)	12.311 Civil Defense_Marking and Stocking of Public Shelters (1970B) Archived (1971U)	12.322 Civil Defense_Loans of Excess Federal Personal Property (1971B) Civil Defense_Contributions Project Loan Program (1973B) Archived (1980B)
12.301 Civil Defense_Architect/Engineer Professional Development (1970B) Archived (1977B) Basic and Applied Scientific Research, Reinstated (1999B) Archived (2002B)	12.312 Civil Defense_National Fallout Shelter Survey (1970B) Civil Defense_National Shelter Survey (1975B) Transferred to 83.207 (1980B)	12.323 Civil Defense_Education (1971) Consolidated into 12.325 (1974B)
12.302 Civil Defense_Community Action (1970B) Civil Defense_Community Preparedness (1974B) Archived (1977B)	12.313 Civil Defense_National Organizations Liaison (1970B) Archived (1973B)	12.324 Civil Defense_Architect/Engineer Student Development (1972U) Transferred to 83.401 (1980B)
12.303 Civil Defense_Community Involvement (1970B) Incorporated into 12.302 (1971B)	12.314 Civil Defense_Staff College (1970B) Transferred to 83.400 (1980B)	12.325 Civil Defense_State and Local Civil Preparedness Instruction Program (1974B) Consolidated from 12.320, 12.323 (1974B) Archived (1977B)
12.304 Civil Defense_Community Shelter Planning (1970B) Archived (1973B)	12.315 Civil Defense_Personnel and Administrative Expenses (1970B) Civil Defense_State and Local Management (1977B) Transferred to 83.200 (1980B)	12.350 Department of Defense HIV/AIDS Prevention Program (2006)
12.305 Civil Defense_Emergency Operating Centers (1970B) Civil Defense_State and Local Emergency Operating Centers (1977B) Archived (1979B)	12.316 Civil Defense_Radiation Shielding Design and Advisory Services (1970B) Civil Defense_Radiation Shielding Design (1978B) Deleted, Transferred to 83.208 (1980B)	12.351 Basic Scientific Research - Combating Weapons of Mass Destruction (2007)
12.306 Civil Defense_Emergency Water Supply Equipment (1970B) Archived (1976U)	12.317 Civil Defense_Radiological Equipment Donation (1970B) Split from 12.300 (1970B) Archived (1974U)	12.352 Scientific Research - Combating Weapons of Mass Destruction (2007)
12.307 Civil Defense_Exhibits (1970B)		12.357 ROTC Language and Culture Training Grants (2010)

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- 12.360**
Research on Chemical and Biological Defense (2007)
- 12.400**
National Guard Armories (1967B)
Construction Program, Army National Guard (1970B)
Construction, Army National Guard (1971B)
Military Construction, Army National Guard (1974B)
Military Construction, National Guard (1994B)
- 12.401**
National Guard Military Operations and Maintenance (O&M) Projects (1996B)
- 12.404**
National Guard Civilian Youth Opportunities (2001B)
National Guard ChalleNGe Program (2010)
- 12.420**
Military Medical Research and Development (1991B)
- 12.431**
Basic Scientific Research (1992U)
- 12.500**
U.S. Soldiers Home (1970B)
U.S. Soldiers' and Airmen's Home (1972U)
U.S. Soldiers' and Airmen's Home (USSAH) and U.S. Naval Home (USNH) (1992B)
Archived (1994B)
- 12.550**
International Education_U.S. Colleges and Universities (1996U)
National Flagship Language Program Grants To U.S. Institutions Of Higher Education (2006)
- 12.551**
National Security Education_Scholarships (1998B)
National Security Education Program David L. Boren Scholarships (2006)
- 12.552**
National Security Education_Fellowships (1998B)
National Security Education Program David L. Boren Fellowships (2006)
National Security Education Program David L. Boren Fellowships (2006)
- 12.553**
National Flagship Language Program (NFLP) Fellowships (2006)
National Flagship Language Program Fellowships (2006)
- 12.554**
English for Heritage Language Speakers Grants to U.S. Institutions of Higher Education (2006)
- 12.555**
English for Heritage Language Speakers Scholarships (2006)
- 12.556**
Competitive Grants: Promoting K-12 Student Achievement at Military-Connected Schools (2009)
- 12.557**
Invitational Grants for Military-Connected Schools (2009)
- 12.558**
Department of Defense Impact Aid (Supplement, CWSD, BRAC) (2009)
- 12.560**
DOD, NDEP, DOTC-STEM Education Outreach Implementation (2010)
- 12.599**
Congressionally Directed Assistance (2010)
- 12.600**
Community Economic Adjustment Program (1965B)
Community Economic Adjustment (1969B)
Deleted (2007)
- 12.601**
Procurement in Labor Surplus Areas (1965B)
Military Procurement from Labor Surplus Areas (1967B)
Contracting With Labor Surplus Area Concerns Program (1970B)
Contracting with Labor Surplus Area Concerns (1971B)
Archived (1973U)
- 12.602**
Small Business Prime Contracting (1970B)
Archived (1973U)
- 12.603**
Small Business Subcontracting Program (1970B)
Small Business Subcontracting (1971B)
Archived (1973U)
- 12.605**
Small Business Subcontracting (1973B)
Archived (1973U)
- 12.606**
Selected Reserve Educational Assistance Program (1980U1)
Archived (1985U)
- 12.607**
Military Base Reuse Studies and Community Planning Assistance (1985B)
Community Economic Adjustment Planning Assistance (1994B)
COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ESTABLISHMENT, EXPANSION, REALIGNMENT, OR CLOSURE OF A MILITARY INSTALLATION (2007)
- 12.608**
Impact Assistance for Areas Affected by the East Coast Trident Program (1985B)
Archived (1994B)
- 12.609**
Selected Reserve Educational Assistance Program (1985U)
Archived (1994B)
- 12.610**
Joint Military/Community Comprehensive Land Use Plans (1989B)
Joint Land Use Studies (1991U)
Community Economic Adjustment Planning Assistance for Joint Land Use Studies (2004)
- 12.611**
Community Planning Assistance for Defense Industry Adjustments (1992B)
Community Economic Adjustment Planning Assistance Reduction in Defense Industry Employment (1994B)
- 12.612**
Community Base Reuse Plans (1994B)
- 12.613**
Growth Management Planning Assistance (1994B)
- 12.614**
Community Economic Adjustment Assistance for Advance Planning (2005)
COMMUNITY ECONOMIC ADJUSTMENT DIVERSIFICATION PLANNING (2007)
- 12.615**
RESEARCH AND TECHNICAL ASSISTANCE (2008)
- 12.630**
Basic, Applied, and Advanced Research in Science and Engineering (1994U)
- 12.631**
Science, Technology, Engineering and Mathematics (STEM) Educational Program: Science, Mathematics And Research for Transformation (SMART) (2010)
- 12.640**
Integrated Helicopter Design Tools (1998B)

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12.700 Donations/Loans of DOD Surplus Property (1971B) Donations/Loans of Obsolete DOD Property (1981U)	Urban Mass Transportation (1965B) Transferred to the Department of Transportation (1969B)	Housing for Elderly or Handicapped (1964B) Housing Loans_Rental Housing for the Elderly and the Handicapped (1970B) Incorporated into 14.103 (1970U)
12.800 Air Force Defense Research Sciences Program (1994B)	Urban Mass Transportation Demonstration Grants (1967B) Transferred to the Department of Transportation (1969B)	14.103 Interest Supplements on Mortgages for Rental Housing for Lower Income Families (1969B) Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (1970B) Archived (1994B) Reinstated (1999B) Interest Reduction Payments_Rental and Cooperative Housing for Lower Income Families (2005)
12.900 Language Grant Program (1992U)	Urban Renewal Rehabilitation and Code Enforcement (1965B) Archived (1969B)	14.104 Interest Reduction_Acquisition and Rehabilitation of Homes for Resale to Lower Income Families (1970U) Archived (1978U)
12.901 Mathematical Sciences Grants Program (1992U)	Mortgage Insurance for Armed Services Housing_Civilian Employees (1969B) Archived (1970B)	14.105 Interest Supplements on Mortgages for Home Ownership for Lower Income Families (1969B) Interest Subsidy_Homes for Lower Income Families (1970B) Archived (1983U)
12.902 Information Security Grant Program (1995U)	Mortgage Insurance for Armed Services Housing_Impacted Areas (1969B) Archived (1970B)	14.106 Interest Reduction_Purchase of Rehabilitated Homes by Lower Income Families (1970B) Archived (1978U)
12.910 Research and Technology Development (1992B)	Mortgage Insurance for Servicemen's Housing (1969B) Archived (1970B)	14.107 Major Home Improvement Loan Insurance_Homes in Urban Renewal Areas (1970B) Archived (1972B)
12.911 Defense Technology Conversion, Reinvestment, and Transition Assistance (1994B) Archived (2002B)	Alaska Housing (1970B) Archived (1970B)	14.108 Major Home Improvement Loan Insurance_Housing Outside Urban Renewal Areas (1970B) Rehabilitation Mortgage Insurance (1980B) Rehabilitation Mortgage Insurance (2005) Rehabilitation Mortgage Insurance (2005) Rehabilitation Mortgage Insurance (2006)
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT Advance Acquisition of Land (1965B) Archived (1969B)	14.001 Flood Insurance (1969B) Transferred to 83.100 (1980B)	14.109 Major Home Improvement Loan Insurance_Rental Housing in Urban Renewal Areas (1970B) Archived (1972B)
Advances for Public Works Planning (1965B) Public Works Planning Advances (1969B) Archived (1970B)	14.002 Urban Property Protection and Reinsurance Program (1969B) Urban Property Insurance (1975B) Transferred to 83.101 (1980B)	14.110 Mobile Home Loan Insurance_Financing Purchase of Mobile Homes as Principal Residence (1970B) Mobile Home Loan Insurance_Financing Purchase of Mobile Homes as Principal Residences of Borrowers (1976B) Manufactured (Mobile) Home Insurance_Financing
American Indians_Public Housing Program (1965B) Public Housing Program for American Indians (1967B) Archived (1969B)	14.003 Crime Insurance (1971U) Transferred to 83.102 (1980B)	
Homes Association Residential Subdivision (1967B) Archived (1969B)	14.004 Land Sales_Undeveloped Plots in Interstate Commerce (1972U) Land Sales_Parcels of Subdivided Land (1976B) Number changed to 14.801 (1980B)	
Low-Rent Public Housing (1965B) Incorporated into 14.146 (1970B)	14.100 College Housing Program (1965B) College Housing Debt Service (1970B) Split into 14.100, 14.101 (1970B) Archived (1973B)	
Metropolitan Expeditors to Assist Local Officials (1965B) Archived (1969B)	14.101 College Housing Direct Loans (1970B) Split from 14.100 (1970B) Incorporated into 14.100 (1971B)	
Supplementary Grants for Planned Metropolitan Development (1967B) Archived (1969B)	14.102	
Urban Information and Technical Assistance Services (1967B) Archived (1969B)		

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Purchase of Mobile Homes as Principal Residences of Borrowers (1981B)

Manufactured (Mobile) Home Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1984B)

Manufactured Home Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (1985B)

Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2005)

Manufactured Home Loan Insurance_Financing Purchase of Manufactured Homes as Principal Residences of Borrowers (2006)

14.111

Mortgage Insurance for Purchase and Rehabilitation of Homes for Resale to Low-Income Purchasers (1967B)

Mortgage Insurance_Acquisition and Rehabilitation of Homes for Resale to Low Income Families (1970B)

Incorporated into 14.103 (1971B)

14.112

Mortgage Insurance_Construction or Rehabilitation of Condominium Projects (1970B)

Mortgage Insurance_Construction or Substantial Rehabilitation of Condominium Projects (1980B)

Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (1989U)

Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2005)

Mortgage Insurance for Construction or Substantial Rehabilitation of Condominium Projects (2006)

14.113

Mortgage Insurance_Conversion of Below Market Rate Rental Housing to Condominium Ownership (1970B)

Archived (1973B)

14.114

Mortgage Insurance_Conversion of Below Market Rate Rental Housing to Cooperative Housing (1970B)

Archived (1971U)

14.115

Mortgage Insurance_Development of Sales Type Cooperative Projects (1970B)

Incorporated into 14.126 (1989B)

14.116

Mortgage Insurance for Group Practice Facilities (1969B)

Mortgage Insurance_Group Practice Facilities (1970B)

Consolidated into 14.128 (2002B)

14.117

Mortgage and Credit Insurance for Residential Rehabilitation and Home Purchase and Improvement (1967B)

Major Home Improvement (1969B)

Mortgage Insurance_Homes (1970B)

Split into 14.118, 14.119, 14.121 (1970B)

Mortgage Insurance_Homes (2005)

Mortgage Insurance_Homes (2006)

14.118

Mortgage Insurance_Homes for Certified Veterans (1970B)

Split from 14.117 (1970B)

Incorporated into 14.117 (1983U)

14.119

Mortgage Insurance for Replacement Housing for Victims of Natural Disasters (1967B)

Mortgage Insurance_Homes for Disaster Victims (1970B)

Split from 14.117 (1970B)

Mortgage Insurance_Homes for Disaster Victims (2005)

Mortgage Insurance_Homes for Disaster Victims (2006)

14.120

Mortgage Insurance for Housing for Families of Low and Moderate Income (1967B)

Mortgage Insurance for Moderate Income Homes (1969B)

Mortgage Insurance_Homes for Low and Moderate Income Families (1970B)

Archived (2001U)

14.121

Mortgage Insurance_Homes in Outlying Areas (1970B)

Split from 14.117 (1970B)

14.122

Mortgage Insurance_Homes in Urban Renewal Areas (1970B)

Mortgage Insurance_Homes in Urban Renewal Areas (2005)

Mortgage Insurance_Homes in Urban Renewal Areas (2006)

14.123

Mortgage Insurance in Older, Declining Neighborhoods (1969B)

Mortgage Insurance_Housing in Older, Declining Areas (1970B)

Mortgage Insurance_Housing in Older, Declining Areas (2005)

Mortgage Insurance_Housing in Older, Declining Areas (2006)

14.124

Mortgage Insurance_Investor Sponsored Cooperative Housing (1970B)

Incorporated into 14.126 (1989B)

14.125

Mortgage Insurance for Land Development and New Communities (1967B)

Mortgage Insurance_Land Development and New Communities (1970B)

Mortgage Insurance_Land Development (1983U)

Archived (1990B)

14.126

Mortgage Insurance_Management Type Cooperative Projects (1970B)

Mortgage Insurance_Cooperative Projects (1989B)

14.127

Mortgage Insurance_Mobile Home Courts (1970B)

Mortgage Insurance_Manufactured (Mobile) Home Parks (1981B)

14.128

Mortgage Insurance_Nonprofit Hospitals (1965B)

Mortgage Insurance_Hospitals (1971B)

14.129

FHA Mortgage Insurance for Nursing Homes (1965B)

Mortgage Insurance_Nursing Home and Intermediate Care Facilities (1970B)

Mortgage Insurance Nursing Homes, Intermediate Care Facilities and Board and Care Homes (1985U)

Mortgage Insurance_Nursing Homes, Intermediate Care Facilities, Board and Care Homes and Assisted Living Facilities (1995B)

14.130

Mortgage Insurance for Purchase of Fee Simple Title (1969B)

Mortgage Insurance Purchased by Homeowners of Fee Simple Title From Lessors (1970B)

Consolidated into 14.11 (2002B)

14.131

Mortgage Insurance_Purchase of Rehabilitated Homes by Low Income Families (1970B)

Archived (1971B)

14.132

FHA Mortgage Insurance for Cooperative Housing (1965B)

Mortgage Insurance_Purchase of Sales Type Cooperative Housing Units (1970B)

Mortgage Insurance_Purchase of Sales-Type Cooperative Housing Units (1989U)

Deleted (2008)

14.133

FHA Mortgage Insurance for Condominiums (1965B)

Mortgage Insurance for Condominiums (1967B)

Mortgage Insurance for Condominium Housing

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(1969B) Mortgage Insurance_Purchase of Units in Condominiums (1970B)	14.141 Low and Moderate Income Housing_Financial Assistance for Non-Profit Sponsors (1969B) Nonprofit Housing Sponsor Loans_Planning Projects for Low and Moderate Income Families (1970B) Nonprofit Sponsor Assistance Program (1980B) Section 106(b) Nonprofit Sponsor Assistance Program (1987B) Archived (1994B)	Income Families (1970B) Archived (1994B) Reinstated (1999B)
14.134 Mortgage Insurance_Rental Housing (1970B)		14.150 Supplemental Loan Insurance_Management Type Cooperative Projects (1970B) Archived (1971B)
14.135 Mortgage Insurance_Rental Housing for Low and Moderate Income Families (1970B) Mortgage Insurance_Rental Housing for Moderate Income Families (1971B) Mortgage Insurance_Rental Housing for Moderate Income Families and Elderly, Market Interest Rate (1989B) Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families and Elderly, Market Interest Rate (1989U)	14.142 Property Improvement Loan Insurance_All Existing Structures (1970B) Property Improvement Loan Insurance for Improving all Existing Structures and Building of New Nonresidential Structures (1976B)	14.151 FHA Mortgage Insurance for Multifamily Rental Housing (1969B) Multifamily Housing Projects Supplemental Loans (1969B) Supplemental Loan Insurance_Multifamily Rental Housing (1970B)
14.136 Mortgage Insurance for Rental Housing for Families of Low or Moderate Income (1967B) Mortgage Insurance_Rental Housing for Low and Moderate In come Families, Below-Market Interest Rate (1970B) Archived (1971B)	14.143 Property Improvement Loan Insurance_Construction of Nonresidential Farm Structures (1970B) Archived (1976B)	14.152 Mortgage Insurance_Experimental Homes (1972B) Number changed to 14.507 (1984B)
14.137 Mortgage Insurance for Low and Moderate Income Housing_Market Rate (1969B) Mortgage Insurance_Rental Housing for Low and Moderate Income Families, Market Interest Rate (1970B) Mortgage Insurance_Rental and Cooperative Housing for Low and Moderate Income Families, Market Interest Rate (1979U1) Mortgage Insurance_Rental and Cooperative Housing for Moderate Income Families, Market Interest Rate (1984U) Incorporated into 14.126 (1989B)	14.144 Property Improvement Loan Insurance_Construction of Nonresidential or Nonfarm Structures (1970B) Archived (1976B)	14.153 Mortgage Insurance_Experimental Projects Other Than Housing (1972B) Number changed to 14.508 (1984B)
14.138 FHA Mortgage Insurance Rental Housing for the Elderly (1965B) Mortgage Insurance for Senior Citizens Housing (1969B) Mortgage Insurance_Rental Housing for the Elderly (1970B)	14.145 Property Improvement Loan Insurance_Existing Multifamily Dwellings (1970B) Archived (1976B)	14.154 Mortgage Insurance_Experimental Rental Housing (1972B) Number changed to 14.509 (1985B)
14.139 Mortgage Insurance for Urban Renewal (1965B) Mortgage Insurance for Urban Renewal Housing (1969B) Mortgage Insurance_Rental Housing in Urban Renewal Areas (1970B)	14.146 Public Housing_Acquisition, Construction, Rehabilitation (1970B) Low Income Housing_Assistance Program (Public Housing) (1977B) Public Housing_Acquisition (Turnkey and Conventional Production Methods) (1980U) Public Housing (1983B) Number changed to 14.850 (1983U)	14.155 Mortgage Insurance for the Purchase or Refinancing of Existing Multifamily Housing Projects (1975B)
14.140 Mortgage Insurance for Assistance to Special Credit Risk Families (1969B) Mortgage Insurance_Special Credit Risks (1970B) Consolidated into 14.11 (2002B)	14.147 Public Housing_Home Ownership (1970B) Low Income Housing_Homeownership Opportunities for Low Income Families (1980U) Number changed to 14.851 (1983U)	14.156 Lower_Income Housing Assistance Program (1975B) Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation (1990U) Number changed to 14.856 (1992B)
	14.148 Low-Rent Public Housing_Leasing (1969B) Public Housing_Leased (1970B) Archived (1976B)	14.157 Housing for the Elderly or Handicapped (1977B) Housing for the Elderly or Disabled (1991B) Supportive Housing for the Elderly (1992U)
	14.149 Rent Supplements for Disadvantaged Persons (1965B) Rent Supplements (1969B) Rent Supplements_Rental Housing for Lower	14.158 Public Housing_Modernization of Projects (1977B) Number changed from 14.607 (1977B) Public Housing_Comprehensive Improvement Assistance Program (1977B) Number changed to 14.852 (1983U)
		14.159 Section 245 Graduated Payment Mortgage Program (1978U)
		14.161

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Single_Family Home Mortgage Coinsurance (1978U) Archived (1990B)	Standards (1986U)	14.183 Home Equity Conversion Mortgages (1991U)
14.162 Mortgage Insurance_Combination and Mobile Home Lot Loans (1979U1) Mortgage Insurance_Combination and Manufactured (Mobile) Home Lot Loans (1984B) Mortgage Insurance_Combination and Manufactured Home Lot Loans (1985B)	14.172 Mortgage Insurance_Growing Equity Mortgages (1983B)	14.184 Mortgages Insurance for Single Room Occupancy (SRO) Projects (1991U)
14.163 Mortgage Insurance_Cooperative Financing (1979U2) Mortgage Insurance_Single Family Cooperative Housing (1990U)	14.173 Section 223(f)_Coinsurance for Private Lenders (1983B) Section 223(f)_Coinsurance for the Purchase or Refinancing of Existing Multifamily Projects (1983U) Multifamily Coinsurance (1987U) Archived (1993B)	14.185 Homeownership and Opportunity for People Everywhere (1992B) Archived (1997B)
14.164 Operating Assistance for Troubled Multifamily Housing Projects (1980B) Archived (2009)	14.174 Housing Development Grants (1984B) Archived (1994B)	14.186 Mortgage Insurance_Equity Loans (1992U) Archived (1997B)
14.165 Mortgage Insurance_Homes_Military Impacted Areas (1980B)	14.175 Adjustable Rate Mortgages (1984U)	14.187 Preservation of Affordable Housing (1993B) Consolidated into 14.194 (1998B)
14.166 Mortgage Insurance_Homes for Members of the Armed Services (1981B) Archived (2000B)	14.176 Section 221(d) Coinsurance for the Construction or Substantial Rehabilitation of Multifamily Housing Projects (1984U) Consolidated into 14.173 (1987U)	14.188 HFA Risk Sharing Pilot Program (1994B) Housing Finance Agencies (HFA) Risk Sharing Pilot Program (1994U) Housing Finance Agencies (HFA) Risk Sharing (2002B)
14.167 Mortgage Insurance_Two Year Operating Loss Loans, Section 223(d)(f) (1981U)	14.177 Housing Voucher Program (1986U) Section 8 Housing Voucher Program (1989U) Section 8 Rental Voucher Program (1991B) Number changed to 14.855 (1992B)	14.189 Qualified Participating Entities (QPE) Risk Sharing Pilot Program (1994U) Qualified Participating Entities (QPE) Risk Sharing (2002B)
14.168 Land Sales_Parcels of Subdivided Land (1982B) Number changed from 14.801 (1982B) Land Sales_Certain Subdivided Land (1989B) Land Sales-Certain Subdivided Land (Interstate Land Sales Registration) and Real Estate Settlement Procedures Act (2009)	14.178 Transitional Housing Demonstration Program (1987B) Supportive Housing Demonstration Program (1988B) Number changed to 14.235 (1990B)	14.190 Section 8 Community Investment (Pension Fund) Demonstration (1995B) Archived (1997U)
14.169 Housing Counseling Program (1982B) Number changed from 14.802 (1982B) Housing Counseling Assistance Program (1983B)	14.179 Nehemiah Housing Opportunity Grant Program (1988U) Archived (1997B)	14.191 Multifamily Housing Service Coordinators (1997U)
14.170 Congregate Housing Services Program (1982B) Number changed from 14.803 (1982B) Archived (1998B)	14.180 Lower Income Housing Assistance_Section 8 Existing Housing Certificate Program (1990U) Section 8 Rental Certificate Program (1991B) Number changed to 14.857 (1992B)	14.192 Safe Neighborhood Grants (1997U) Consolidated into 14.868 (1998B)
14.171 Manufactured Housing_Mobile Home Construction (1982B) Number changed from 14.804 (1982B) Manufactured Housing Construction and Safety Standards (1983B) Manufactured Home Construction and Safety	14.181 Supportive Housing for Persons with Disabilities (1991B)	14.193 Federally Assisted Low-Income Housing Drug Elimination (1997U)
	14.182 Lower Income Housing Assistance Program_Section 8 New Construction/Substantial Rehabilitation (1991B) Archived (1997B)	14.194 Portfolio Reengineering Demonstration Consolidated from 14.187 (1998B) Archived (1999B)

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14.196 Secondary Market for Non-Conforming Loans to Low-Wealth Borrowers Demonstration Program (1999B) Archived (2000B)	Archived (1971B)	Grants/Entitlement Grants (1975B)
14.197 Multifamily Assisted Housing Reform and Affordability Act (1999B) Multifamily Assisted Housing Reform and Affordability Act (2005) Multifamily Assisted Housing Reform and Affordability Act (2006) Archived (2010)	14.207 New Communities_Loan Guarantees (1970B) Archived (1983B)	14.219 Community Development Block Grants/Discretionary Grants (1975B) Community Development Block Grants/Small Cities Program (1979B) Deleted (2008) Deleted (2008) Deleted (2008)
14.198 Officer Next Door Sales Program (1999U) Good Neighbor Next Door Sales Program (2007)	14.208 New Communities (1969B) New Communities_Supplementary Grants (1970B) Archived (1973B)	14.220 Section 312 Rehabilitation Loans (1975B) Number changed from 14.308 (1975B) Archived (1992B)
14.199 Multifamily Property Disposition (2000B) Multifamily Property Disposition (2005) Multifamily Property Disposition (2006)	14.209 Open Space Land Program (1965B) Open Space Land Acquisition and Development Grants (1970B) Archived (1971B)	14.221 Urban Development Action Grants (1978B) Archived (1989U)
14.200 Grants for Basic Water and Sewer Facilities (1965B) Water and Sewer Facilities Grants (1967B) Basic Water and Sewer Facilities (1969B) Basic Water and Sewer Facilities_Grants (1970B) Archived (1971B)	14.210 Public Facility Loans (1965B) Archived (1971B)	14.222 Urban Homesteading (1978U) Archived (1992B)
14.201 Fellowships for City Planning and Urban Studies (1967B) City Planning and Urban Studies (1969B) Archived (1971B)	14.211 Surplus Land for Community Development (1969B) Surplus Land for Low and Moderate Income Housing (1980B) Archived (1983U)	14.223 Indian Community Development Block Grant Program (1979U1) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Number changed to 14.862 (1994B)
14.202 Community Development Training and Research Grants (1967B) Community Development Training (1969B) Archived (1973B)	14.212 Urban Beautification Program (1965B) Urban Beautification and Improvement Grants (1969B) Archived (1971B)	14.224 Secretary's Discretionary Fund/Community Development Disaster Assistance (1980U) Archived (1981U)
14.203 Urban Planning Assistance Program (1965B) Comprehensive Planning Assistance (1969B) Archived (1981U)	14.213 Urban Parks and Open Space Land in Build_Up Urban Areas (1970B) Archived (1970U)	14.225 Secretary's Discretionary Fund/Territories Program (1980U) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Community Development Block Grants/Secretary's Discretionary Fund/Insular Areas (1983U) Community Development Block Grants/Special Purpose Grants/Insular Area (1990B)
14.204 Historic Preservation Grants (1967B) Transferred to the Department of Interior (1970U) Archived (1971B)	14.214 Urban Systems Engineering Demonstration Grants (1970B) Archived (1973U)	14.226 Secretary's Discretionary Fund/Innovative Grants Program (1980U) Archived (1981U)
14.205 HUD Clearinghouse Service (1970B)	14.215 Workable Program for Community Improvement (1969B) Archived (1971B)	14.227 Secretary's Discretionary Fund/Community Development Technical (1981U) Consolidated into 14.229 (1982B) Reinstated/Split from 14.229 (1983U) Community Development Block Grants/Secretary's Discretionary Fund/Technical Assistance Program (1983U)
14.206 Neighborhood Facilities Program (1965B) Neighborhood Facilities Grants (1970B)	14.216 Governmental Management_Technical Assistance and Information Services (1971B) Archived (1976B)	14.228 Community Development Block Grants/Special
	14.217 Urban Studies Fellowships (1972B) Archived (1973B)	
	14.218 Community Development Block	

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Purpose Grants/Insular Area (1990B)

14.228

Community Development Block Grants/State's Program (1981U)

Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (2007)

14.229

Community Development Block Grants/Secretary's Discretionary Fund (1982B)

Split into 14.223, 14.225, 14.227 (1983U)

14.230

Rental Housing Rehabilitation (1984B)
Archived (1992B)

14.231

Emergency Shelter Grants Program (1987B)

14.232

Community Development Block Grant/Secretary's Discretionary Fund/Special Projects (1987U)
Archived (1990B)

14.234

Community Development Work-Study Program (1988U)
Number changed to 14.512 (1995B)

14.235

Supportive Housing Demonstration Program (1990B)
Number changed from 14.178 (1990B)
Supportive Housing Program (1993B)
Consolidated from 14.236 (1993U)

14.236

Supplemental Assistance for Facilities to Assist the Homeless (1990B)
Number changed from 14.510 (1990B)
Consolidated into 14.235 (1993U)

14.237

Historically Black Colleges and Universities Program (1991B)
Number changed to 14.520 (2002B)

14.238

Shelter Plus Care (1992B)

14.239

HOME Investment in Affordable Housing (1992B)
HOME Investment Partnerships Program (1993U)

14.240

HOPE for Homeownership of Single Family Homes (1992B)
Archived (1997B)

14.241

Housing Opportunities for Persons with AIDS (1992U)

14.242

John Heinz Neighborhood Development Program (1993B)
Number changed to 14.510 (1995B)

14.243

Opportunities for Youth_Youthbuild Program (1993U)
Number changed to 17.274 (2007)

14.244

Empowerment Zones Program (1994B)
Renewal Community and Empowerment Zone Programs (2009)
Archived (2009)

14.245

Innovative Homeless Initiative Demonstration Program (1995U)
Archived (1997B)

14.246

Community Development Block Grants/Economic Development Initiative (1996B)
Community Development Block Grants/Brownfields Economic Development Initiative (2003B)

14.247

Self-Help Homeownership Opportunity Program (1997B)

14.248

Community Development Block Grants_Section 108 Loan Guarantees (1997U)

14.249

Section 8 Moderate Rehabilitation Single Room Occupancy (1998B)

14.250

Rural Housing and Economic Development (1999B)
Archived (2010)

14.251

Economic Development Initiative-Special Project, Neighborhood Initiative and Miscellaneous Grants (2008)

14.252

Section 4 Capacity Building for Affordable Housing and Community Development Grants (2008)
Section 4 Capacity Building for Community Development and Affordable Housing (2009)

14.253

Community Development Block Grant ARRA Entitlement Grants (CDBG-R)(Recovery Act Funded) (2009)
Archived (2010)

14.254

Community Development Block Grants/Special Purpose Grants/Insular Areas (Recovery Act Funded) (2009)
Archived (2010)

14.255

Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii (Recovery Act Funded) (2009)
Archived (2010)

14.256

Neighborhood Stabilization Program (Recovery Act Funded) (2009)
Archived (2010)

14.257

Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded) (2009)
Archived (2010)

14.258

Tax Credit Assistance Program (Recovery Act Funded) (2009)
Archived (2010)

14.259

CPD's Transformation Initiative Technical Assistance (2010)

14.260

Veterans Homelessness Prevention Demonstration Program (2010)

14.261

Homeless Management Information Systems Technical Assistance (2010)

14.262

Homeless Prevention and Rapid Re-Housing Program Technical Assistance (2010)

14.263

Rural Innovation Fund (2010)

14.300

Model Neighborhoods in Demonstration Cities (1967B)
Model Cities Supplementary Grants (1970B)
Archived (1973B)

14.301

Basic Water and Sewer Facilities_Grants (1971B)
Archived (1973B)

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14.302 Neighborhood Facilities Grants (1971B) Archived (1973B)	Special Allocations (Recovery Act Funded) (2009)	14.407 Architectural Barriers Act Enforcement (1988B) Archived (2009)
14.303 Open Space Land Programs (1971B) Archived (1973B)	14.318 Assisted Housing Stability and Energy and Green Retrofit Investments Program (Recovery Act Funded) (2009)	14.408 Fair Housing Initiative Program (FHIP) Administrative Enforcement Initiative (1988U) Fair Housing Initiatives and Administrative Enforcement Initiative Program (1996B) Fair Housing Initiatives Program (2003B)
14.304 Public Facility Loans (1971B) Archived (1973B)	14.321 FHA Technical Assistance Training Transformation Initiative (2010)	14.409 Fair Housing Initiative Program (FHIP) Education and Outreach Initiative (1988U)
14.305 Housing Rehabilitation Loans and Grants (1971B) Archived (1973B)	14.400 Equal Opportunity in Housing (1969B)	14.410 Fair Housing Initiative Program (FHIP) Private Enforcement Initiative (1988U)
14.306 Neighborhood Development (1971B) Archived (1973B)	14.401 Fair Housing Assistance Program (1980B) Fair Housing Assistance Program_State and Local (1983B)	14.411 Public Housing Affirmative Compliance Actions Program (1990B) Archived (1997B)
14.307 Urban Renewal Projects (1971B) Archived (1973U)	14.402 Non-Discrimination in Federally-Assisted Programs (On the Basis of Age) (1982) Archived (2009)	14.412 Employment Opportunities for Lower Income Persons and Businesses (1992U) Archived (2009)
14.308 Housing Rehabilitation Grants (1971U) Number changed to 14.220 (1975B)	14.403 Community Housing Resource Board Program (1982) Archived (1992B)	14.413 Fair Housing Initiatives Program (FHIP) Fair Housing Organization Initiatives (1993B) Fair Housing Initiatives Program (FHIP) Fair Housing Organizations Initiative (1994U) Fair Housing Initiatives and Fair Housing Organizations Initiative Program (1996B)
14.309 Code Enforcement Grants (1971B) Archived (1973B)	14.404 Non-Discrimination in Federally Assisted Programs (On the Basis of Handicap) (1983U) Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Handicap) (1988B) Non-Discrimination in Federally Assisted and Conducted Programs (On the Basis of Disability) (1993U) Archived (2009)	14.414 Non-Discrimination on the Basis of Disability by Public Entities (1993U) Archived (2009)
14.310 Teacher Next Door Initiative (2000B)	14.405 Non-Discrimination in Federally Assisted Programs (On the Basis of Race, Color or National Origin) (1983U) Archived (2009)	14.415 Non-Discrimination on the Basis of Sex in Education Programs and Activities Receiving Federal Financial Assistance (2001B) Archived (2009)
14.311 Single Family Property Disposition (2000B)	14.406 Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Sex, Handicap or Age) (1983U) Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, Sex, Disability or Age) (1993U) Non-Discrimination in the Community Development Block Grant Program (On the Basis of Race, Color, National Origin, Religion, or Sex) (1996B) Archived (2009)	14.416 Education and Outreach Initiatives (2009)
14.312 New Approach Anti-Drug Grants Consolidated from 14.868 (2000B)		14.417 Fair Housing Organizaiton Initiatives (2009)
14.313 Dollar Home Sales (2000U)		14.418 Private Enforcement Initiatives (2009)
14.314 Section 202 Assisted Living Conversion Program (2000U) Assisted Living Conversion for Eligible Multifamily Housing Projects (2002B)		14.419 Section 3 Technical Assistance (2010)
14.315 Emergency Capital Repair Grants for Multifamily Housing Projects Designated for Occupancy (2006)		14.420
14.316 Housing Counseling Training Program (2006)		
14.317 Section 8 Housing Assistance Payments Program		

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Fair Housing Initiatives Program Enforcement Testing Technical Assistance (2010)

14.500

Low Income Housing Demonstration Program (1965B)

Archived (1970U)

14.501

Mortgage Insurance_Experimental Homes (1970B)

Archived (1971B)

14.502

Mortgage Insurance_Experimental Projects Other Than Housing (1970B)

Archived (1971B)

14.503

Mortgage Insurance for Experimental Housing (1969B)

Mortgage Insurance_Experimental Rental Housing (1970B)

Archived (1971B)

14.504

Urban Planning Research and Demonstration (1970B)

Archived (1973B)

14.505

Urban Renewal Demonstration Grant Program (1965B)

Archived (1971B)

14.506

General Research and Technology Activity (1972B)

14.507

Mortgage Insurance_Experimental Homes (1984B)

Number changed from 14.152 (1984B)

Archived (1997B)

14.508

Mortgage Insurance_Experimental Projects Other Than Housing (1984B)

Number changed from 14.153 (1984B)

Archived (1997B)

14.509

Mortgage Insurance_Experimental Rental Housing (1985B)

Number changed from 14.154 (1985B)

Archived (1997B)

14.510

Supplemental Assistance for Facilities to Assist the Homeless (1988B)

Number changed to 14.236 (1990B)

Joint Community Development Program, Number changed from 14.242 (1995B)

Archived (1997B)

14.511

Community Outreach Partnership Center Program (1995B)

14.512

Community Development Work-Study Program

Number changed from 14.234 (1995B)

Deleted (2007)

14.513

Hispanic-Serving Institutions Work-Study Program

(1996U)

Archived (2000U)

14.514

Hispanic-Serving Institutions Assisting

Communities (1999B)

Archived (2000B)

Reinstated (2000U)

14.515

Alaska Native/Native Hawaiian Institutions

Assisting Communities (2000B)

14.516

Doctoral Dissertation Research Grants (2001B)

14.517

Early Doctoral Student Research Grants (2001B)

Archived (2010)

14.518

HUD Urban Scholars Fellowship Grants (2001B)

14.519

Tribal Colleges and Universities Program (2001B)

14.520

Historically Black Colleges and Universities

Program (2002B)

14.521

Universities Rebuilding America Program -

Community Design (2005)

14.523

Transformation Initiative Research Grants:

Sustainable Community Research Grant Program

(2010)

14.524

Transformation Initiative Research Grants: Natural

Experiments (2010)

14.525

Transformation Initiative Research Grants:

Demonstration and Related Small Grants (2010)

14.550

Solar Energy and Energy Conservation Bank (1983U)

Incorporated into 14.126 (1989B)

14.600

Certified Areas Grants (1970B)

Archived (1971B)

14.601

Code Enforcement (1965B)

Code Enforcement Grants (1970B)

Archived (1971B)

14.602

Community Renewal Program (1965B)

Community Renewal Planning Grants (1970B)

Archived (1971B)

14.603

Demolition of Unsound Structures (1967B)

Demolition Grants (1969B)

Archived (1971B)

14.604

Housing Rehabilitation Grants (1969B)

Housing Rehabilitation Loans and Grants (1970B)

Archived (1971B)

14.605

Interim Assistance for Blighted Areas (1969B)

Interim Assistance for Blighted Areas Grants

(1970B)

Archived (1971B)

14.606

Neighborhood Development Program (1969B)

Archived (1971B)

14.607

Low-Rent Public Housing_Modernization of Projects (1969B)

Public Housing_Modernization of Projects (1970B)

Number changed to 14.158 (1977B)

14.608

Relocation Assistance and Payments (1969B)

Archived (1971B)

14.609

Urban Renewal Projects (1965B)

Archived (1971B)

14.701

Disaster Assistance (1973U)

Transferred to 83.300 (1980B)

14.702

State Disaster Preparedness Grants (1973U)

Transferred to 83.203 (1980B)

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14.703 Sustainable Communities Regional Planning Grant Program (2010)	Public Housing_Tenant Opportunities Program (1998B) Consolidated into 14.870 (2001B)	14.864 Economic Development and Supportive Services Program (1997B) Consolidated into 14.870 (2001B)
14.704 Community Challenge Planning Grants and the Department of Transportation's TIGER II Planning Grants (2010)	14.854 Public Housing Drug Elimination Program (1989U) Public and Indian Housing Drug Elimination Program (1992B) Portion incorporated from 14.863 (1997B)	14.865 Public and Indian Housing_Indian Loan Guarantee Program (1997B)
14.800 Neighborhood Self-Help Development (1980B) Archived (1981U)	14.855 Section 8 Rental Voucher Program Number changed from 14.177 (1992B) Consolidated into 14.871 (2000B)	14.866 Revitalization of Severely Distressed Public Housing (1997U) Demolition and Revitalization of Severely Distressed Public Housing (1999U)
14.801 Land Sales_Parcels of Subdivided Land (1980B) Number changed from 14.004 (1980B) Number changed to 14.168 (1982B)	14.856 Lower Income Housing Assistance Program_Section 8 Moderate Rehabilitation Number changed from 14.156 (1992B) Archived (1998B) Reinstated (1999B)	14.867 Indian Housing Block Grants Consolidated from 14.851 (1998B)
14.802 Housing Counseling Service (1969B) Archived (1970B) Reinstated (1980U) Housing Counseling Program (1980U) Number changed to 14.169 (1982B)	14.857 Section 8 Rental Certificate Program Number changed from 14.180 (1992B) Consolidated into 14.871 (2000B)	14.868 New Approach Anti-Drug Grants Consolidated from 14.192 (1998B) Consolidated into 14.312 (2000B)
14.803 Congregate Housing Services Program (1980U) Number changed to 14.170 (1982B)	14.858 HOPE for Public and Indian Housing Homeownership (1992B) Archived (1997B)	14.869 Title VI Federal Guarantees for Financing Tribal Housing Activities (1999B)
14.804 Manufactured Housing_Mobile Home Construction (1981U) Number changed to 14.171 (1982B)	14.859 Public and Indian Housing_Comprehensive Grant Program (1993U) Public Housing_Comprehensive Grant Program (1998B) Consolidated into 14.872 (2000B)	14.870 Resident Opportunity and Supportive Services (1999B) Consolidated from 14.853, 14.864 (2001B) Resident Opportunity and Supportive Services (2006) Resident Opportunity and Supportive Services - Homeownership and Family (2006) Resident Opportunity and Supportive Services - Service Coordinators (2008)
14.850 Public Housing (1983U) Number changed from 14.146 (1983U) Public and Indian Housing (1984B)	14.860 Public and Indian Housing Early Childhood Development (1994U) Head Start Public Housing Early Childhood/Development Demonstration (1995B) Archived (1996U)	14.871 Section 8 Housing Choice Vouchers Consolidated from 14.855, 14.857 (2000B)
14.851 Low Income Housing_Homeownership Opportunities for Low Income Families (1983U) Number changed from 14.147 (1983U) Consolidated into 14.867 (1998B)	14.861 Public and Indian Housing Family Investment Centers Program (1994B) Archived (1996U)	14.872 Public Housing Capital Fund Consolidated from 14.852, 14.859 (2000B)
14.852 Public Housing_Comprehensive Improvement Assistance Program (1983U) Number changed from 14.158 (1983U) Public and Indian Housing_Comprehensive Improvement Assistance Program (1984B) Public Housing_Comprehensive Improvement Assistance Program (1998B) Consolidated into 14.872 (2000B)	14.862 Indian Community Development Block Grant Program Number changed from 14.223 (1994B)	14.873 Native Hawaiian Housing Block Grants (2003B)
14.853 Public Housing Resident Management Program (1989B) Public and Indian Housing Tenant Opportunity Program (1995B)	14.863 Public and Indian Housing Youth Sports Program (1995B) Portion incorporated into 14.854 (1997B)	14.874 Loan Guarantees for Native Hawaiian Housing (2004)

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Resident Opportunity and Supportive Services - Elderly and Persons with Disabilities (2006)
Archived (2010)

14.877

Public Housing Family Self-Sufficiency under Resident Opportunity and Supportive Services (2006)

14.878

Affordable Housing Development in Main Street Rejuvenation Projects (2006)

14.879

Mainstream Vouchers (2006)

14.880

Family Unification Program (FUP) (2008)

14.881

Moving to Work Demonstration Program (2008)

14.882

Native American Housing Block Grants (Formula) Recovery Act Funded (2009)

14.883

Native Hawaiian Housing Block Grants (Recovery Act Funded) (2009)
Archived (2010)

14.884

Public Housing Capital Fund Competitive (Recovery Act Funded) (2009)

14.885

Public Housing Capital Fund Stimulus (Formula) Recovery Act Funded (2009)
Archived (2010)

14.886

Indian Community Development Block Grant Program (Recovery Act Funded) (2009)

14.887

Native American Housing Block Grants (Competitive) Recovery Act Funded (2009)
Archived (2010)
Reinstated (2010)

14.889

Choice Neighborhoods (2010)

14.890

Capital Fund Education and Training Community Facilities (2010)

14.891

Public and Indian Housing Transformation Initiative (TI) Technical Assistance (TA) (2010)

14.900

Lead-Based Paint Hazard Reduction Grant Program (1993U)
Lead-Based Paint Hazard Control Program (1994B)
Lead-Based Paint Hazard Control in Priority Housing (1996B)
Lead-Based Paint Hazard Control in Privately-Owned Housing (1997B)

14.901

Healthy Homes Initiative Grants (2000B)
Healthy Homes Demonstration Grants (2003B)
Healthy Homes Implementation Grant Program (2010)
Healthy Homes Demonstration Grants (2010)
Archived (2010)

14.902

Lead Technical Studies Grants (2003B)
Archived (2009)
Reinstated (2010)

14.903

Operation Lead Elimination Action Program (2003B)
Archived (2009)

14.904

Lead Outreach Grants (2003B)
Archived (2009)

14.905

Lead Hazard Reduction Demonstration Grant Program (2003B)

14.906

Healthy Homes Technical Studies Grants (2004)
Lead and Healthy Homes Technical Studies Grants (2010)
Healthy Homes Technical Studies Grants (2010)

14.907

Lead-Based Paint Hazard Control in Privately-Owned Housing (Recovery Act Funded) (2009)
Archived (2010)

14.908

Healthy Homes Demonstration Grants (Recovery Act Funded) (2009)
Archived (2010)

14.909

Lead Hazard Reduction Demonstration Grant Program (Recovery Act Funded) (2009)
Archived (2010)

14.910

Healthy Homes Technical Studies Grants (Recovery Act Funded) (2009)

Archived (2010)

14.911

Green and Healthy Housing Technical Studies Grants (2009)
Archived (2010)

14.912

Lead Hazard Control Capacity Building (2009)
Archived (2010)

14.913

Healthy Homes Implementation Grant Program (2010)
Healthy Homes Production Grant Program (2010)

14.914

Asthma Intervention in Multi-Family Housing Grant Program (2010)
Asthma Interventions in Public and Assisted Multifamily Housing (2010)

DEPARTMENT OF THE INTERIOR

Appalachian Region_Sewage Treatment Works Grants (1967B)
Archived (1969B)

Solid Waste Disposal (1967B)
Archived (1969B)

Identification of Mentally Retarded American Indian Children (1965B)
Archived (1970B)

Mining Area Restoration in the Appalachian Region (1965B)
Appalachian Region_Mining Area Restoration (1967B)
Archived (1970B)

American Indian Credit and Financing Program (1965B)
Archived (1967B)

American Indian Education Financial Assistance (1965B)
Archived (1967B)

On-Reservation Adult Education Program for American Indians (1965B)
Archived (1967B)

Post High School Training for American Indian Youth (1965B)
Archived (1967B)

Summer Programs for American Indian Students (1965B)
Archived (1967B)

Programs for American Indians (1969B)

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Split into "Indian Programs" (1970B)

15.001

Anadromous and Great Lakes Fisheries Conservation (1970B)
Transferred to 11.405 (1971B)

15.002

Commercial Fisheries Disaster Assistance (1970B)
Transferred to 11.406 (1971B)

15.003

Commercial Fisheries Research and Development (1969B)
Transferred to 11.407 (1971B)

15.004

Fishermen_Reimbursement of Losses (1970B)
Transferred to 11.410 (1971B)

15.005

Fisheries Assistance (1965B)
Fisheries Loan Fund (1970B)
Transferred to 11.409 (1971B)

15.006

Fishery Marketing Assistance (1970B)
Transferred to 11.412 (1971B)

15.007

Fishery Products Inspection and Certification (1970B)
Transferred to 11.413 (1971B)

15.008

Fishing Statistics and Market News (1970B)
Transferred to 11.414 (1971B)

15.009

Fishing Vessel Mortgage and Loan Insurance (1970B)
Transferred to 11.415 (1971B)

15.010

Jellyfish Control (1969B)
Transferred to 11.416 (1971B)

15.020

Aid to Tribal Governments (1997B)

15.021

Consolidated Tribal Government Program (1997B)
Archived (1999B)
Reinstated (2002B)
Archived (2010)
Reinstated (2010)

15.022

Tribal Self-Governance (1997B)
Archived (2010)

Reinstated (2010)

15.023

Tribal Self-Governance Grants (1997B)
Archived (2001B)

15.024

Indian Self-Determination Contract Support (1997B)
Archived (2010)
Reinstated (2010)

15.025

Services to Indian Children, Elderly and Families (1997B)
Archived (2010)
Reinstated (2010)

15.026

Indian Adult Education (1997B)
Archived (2010)
Reinstated (2010)

15.027

Assistance to Tribally Controlled Community Colleges and Universities (1997B)
Archived (2010)
Reinstated (2010)
Archived (2010)

15.028

Tribally Controlled Community College Endowments (1997B)
Archived (2010)
Reinstated (2010)

15.029

Tribal Courts (1997B)
Archived (2010)
Reinstated (2010)

15.030

Indian Law Enforcement (1997B)
Archived (2010)
Reinstated (2010)

15.031

Indian Community Fire Protection (1997B)
Archived (2010)
Reinstated (2010)

15.032

Indian Economic Development (1997B)
Archived (2010)
Reinstated (2010)

15.033

Road Maintenance_Indian Roads (1997B)

15.034

Agriculture on Indian Lands (1997B)

15.035

Forestry on Indian Lands (1997B)
Archived (2010)
Reinstated (2010)

15.036

Indian Rights Protection (1997B)
Archived (2010)
Reinstated (2010)

15.037

Water Resources on Indian Lands (1997B)
Archived (2010)
Reinstated (2010)

15.038

Minerals and Mining on Indian Lands (1997B)
Archived (2010)
Reinstated (2010)

15.039

Fish, Wildlife, and Parks Programs on Indian Lands (1997B)

15.040

Real Estate Programs_Indian Lands (1997B)
Archived (2010)
Reinstated (2010)

15.041

Environmental Quality Services_Indian Programs (1997B)
Environmental Management_Indian Programs, Consolidated from 15.056 (1999B)

15.042

Indian School Equalization Program (1997B)
Archived (2010)
Reinstated (2010)

15.043

Indian Child and Family Education (1997B)
Archived (2010)
Reinstated (2010)

15.044

Indian Schools_Student Transportation (1997B)

15.045

Assistance for Indian Children with Severe Disabilities (1997B)
Archived (2010)
Reinstated (2010)

15.046

Administrative Cost Grants for Indian Schools (1997B)

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15.047 Indian Education Facilities, Operations, and Maintenance (1997B) Archived (2010) Reinstated (2010)	Reinstated (2010)	Indian_Contracts with Indian School Boards (1970B)
15.048 Bureau of Indian Affairs Facilities_Operations and Maintenance (1997B)	15.061 Indian Job Placement_United Sioux Tribes Development Corporation (1997B) Archived (2001B) Reinstated (2002B)	Indian Education_Contracts with Indian School Boards (1972B) Indian Education_Contracts with Indian Tribal Organizations (1976B) Archived (1978B)
15.049 Irrigation Operations and Maintenance on Indian Lands (1997B)	15.062 Replacement and Repair of Indian Schools (1997B)	15.106 Indian_Construction, Maintenance, and Operation of Irrigation Projects and Related Power Systems (1970B) Indian Lands_Irrigation Construction, Maintenance, Operation, and Related Power Systems (1972B) Archived (1978B)
15.050 Unresolved Indian Hunting and Fishing Rights (1997B)	15.063 Construction and Repair of Indian Detention Facilities (1997B) Improvement and Repair of Indian Detention Facilities (1999B) Archived (2010) Reinstated (2010)	15.107 Indian_Engineering Technical Assistance (1970B) Indian Engineering Technical Assistance (1972B) Indian Technical Assistance (1973U) Indian Action Team Program (1976B) Archived (1981U)
15.051 Endangered Species on Indian Lands (1997B) Archived (2010) Reinstated (2010)	15.064 Structural Fire Protection_Bureau of Indian Affairs Facilities (1997B) Archived (2010) Reinstated (2010) Archived (2010)	15.108 Direct Employment Assistance_American Indians (1965B) American Indians_Direct Employment Assistance (1967B) Indian_Employment Assistance (1970B) Indian Employment Assistance (1972B)
15.052 Litigation Support for Indian Rights (1997B)	15.065 Safety of Dams on Indian Lands (1997B)	15.109 Indian_Federal School Facilities_Dormitory Operations (1970B) Indian Education_Dormitory Operations (1972B) Archived (1978B)
15.053 Attorney Fees_Indian Rights (1997B)	15.100 Adult Vocational Training_American Indians (1965B) Indian_Adult Education (1970B) Indian Education_Adults (1972B) Archived (1978B)	15.110 Federal Schools for American Indians (1965B) Indian_Federal Schools (1970B) Indian Education_Federal Schools (1972B) Archived (1978B)
15.054 Unresolved Indian Rights Issues (1997B) Archived (1999B)	15.101 Indian_Agricultural Extension (1970B) Indian Agricultural Extension (1972B) Archived (1978B)	15.111 Indian_Forest Fire Suppression and Emergency Rehabilitation (1970B) Indian Forests_Fire Suppression and Emergency Rehabilitation (1972B) Archived (1978B)
15.055 Alaskan Indian Allotments and Subsistence Preference_Alaska National Interest Lands Conservation Act (1997B) Archived (2009)	15.102 Indian_Aid to Tribal Governments (1970B) Indian Tribal Government Operations (1972B) Archived (1978B)	15.112 Indian_Forestry Development (1970B) Indian_Forest Management, Protection and Development (1971B) Indian Forests_Management, Protection, and Development (1972B) Archived (1978B)
15.056 Waste Management_Indian Lands (1997B) Consolidated into 15.041 (1999B)	15.103 Social Services to American Indian Children (1965B) Indian_Child Welfare Assistance (1970B) Indian Social Services_Child Welfare Assistance (1972B) Consolidated into 15.113 (1999B)	15.113 General Assistance and Social Services to
15.057 Navajo-Hopi Indian Settlement Program (1997B) Archived (2010) Reinstated (2010)	15.104 Indian_Community Development (1970B) Indian Community Development (1972B) Archived (1974B)	
15.058 Indian Post Secondary Schools (1997B) Archived (2010) Reinstated (2010)	15.105	
15.059 Indian Graduate Student Scholarships (1997B)		
15.060 Indian Vocational Training_United Tribes Technical College (1997B) Archived (2010)		

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American Indians (1965B)

Indian_General Assistance (1970B)

Indian Social Services_General Assistance (1972B)
Consolidated from 15.103 (1999B)

Indian Social Services_Welfare Assistance (2001B)

15.114

Scholarship Aid for American Indian Students in
Higher Education (1965B)

Indian_Higher Education (1970B)

Indian Education_Colleges and Universities
(1972B)

Indian Education_Higher Education Grant Program
(1982B)

15.115

Indian_Housing Development (1970B)

Indian Housing_Development (1972B)

Consolidated into 15.141 (1978B)

15.116

Indian_Housing Improvement (1970B)

Indian Housing_Improvement (1972B)

Consolidated into 15.141 (1978B)

15.117

American Indian Industrial Development Program
(1965B)

Indian_Industrial and Tourism Development
(1970B)

Indian_Industrial and Tourism Development and
On-the-Job Training (1971B)

Indian Industrial and Tourism Development and
On-the-Job Training (1972B)

Indian Business Enterprise Development (1975B)
Archived (1979U1)

15.118

Indian_Investment of Tribal Trust Funds and
Individual Indian Monies (1970B)

Indian Investments_Tribal Trust Funds and
Individual Indian Monies (1972B)

Archived (1978B)

15.119

Indian_Range Management (1970B)

Indian Lands_Range Management (1972B)

Archived (1978B)

15.120

Indian_Real Estate Appraisal (1970B)

Indian Lands_Real Estate Appraisal (1972B)

Archived (1978B)

15.121

Indian_Real Property Management (1970B)

Indian Lands_Real Estate Services (1972B)

Archived (1978B)

15.122

American Indians_Construction of Reservation
Roads and Bridges (1967B)

Indian_Reservation Roads and Bridges (1970B)

Indian Roads_Reservation Roads and Bridges
(1972B)

Archived (1978B)

15.123

Indian_Revolving Fund for Expert Assistance
Loans (1970B)

Indian Loans_Claims Assistance (1972B)

Archived (1993B)

15.124

Indian_Revolving Fund for Loans (1970B)

Indian Loans_Economic and Social Development
(1972B)

Indian Loans_Economic Development (1975B)

15.125

Indian_Roads Maintenance (1970B)

Indian Roads_Maintenance (1972B)

Archived (1978B)

15.126

Indian_Soil and Moisture Conservation (1970B)

Indian Lands_Soil and Moisture Conservation
(1972B)

Archived (1978B)

15.127

Indian_Transfer of Federally-Owned Buildings,
Improvements, and/or Facilities (1970B)

Indian Property Acquisition_Transfer of Federally
Owned Buildings, Improvements, and/or Facilities
(1972B)

Archived (1978B)

15.128

Indian_Transfer of Indian School Properties
(1970B)

Indian Property Acquisition_Transfer of Indian
School Properties (1972B)

Archived (1978B)

15.129

Indian_Tribal Accounting Services (1970B)

Indian Accounting Services for Tribes (1972B)

Archived (1978B)

15.130

Financial Assistance to School Districts for
American Indian Education (1965B)

American Indians_Financial Assistance to School
Districts (1967B)

Indians_Assistance to Non-Federal Schools
(1970B)

Indian Education_Assistance to Non-Federal
Schools (1972B)

Indian Education_Assistance to Schools (1976B)

15.131

Indians_Law and Order (1970B)

Indian_Judicial, Crime Prevention, and
Enforcement Services (1971B)

Indian Judicial, Prevention, and Enforcement
Services (1972B)

Indian Law Enforcement Services (1974B)

Split into 15.131, 15.139 (1977B)

Archived (1978B)

15.132

Indian Social Services_Counseling (1972B)

Archived (1978B)

15.133

Native American Business Development Institute
(2008)

Archived (2010)

15.134

Indian Tribal Affairs Management (1972B)

Incorporated into 15.102 (1974B)

15.135

Indian Water Rights (1973U)

Indian Rights Protection (1974B)

Archived (1978B)

15.136

Indian Lands_Environmental Quality Services
(1975B)

Archived (1978B)

15.137

Facility School Construction Planning and
Equipping (1976B)

Archived (1978B)

15.138

Indian Lands_Minerals and Mining (1976B)

Archived (1978B)

15.139

Indian Tribal Judicial Services_Contracts with
Indian Tribal Organizations (1977B)

Split from 15.131 (1977B)

Archived (1978B)

15.140

Indian Lands_Wildlife and Parks (1977B)

Archived (1978B)

15.141

Indian Housing Assistance (1978B)

Consolidated from 15.115, 15.116 (1978B)

15.142

Self Determination Grants_Indian Tribal
Governments (1978B)

Archived (1996U)

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- 15.143**
Training and Technical Assistance_Indian Tribal Governments (1978B)
Archived (1996U)
- 15.144**
Indian Child Welfare Act_Title II Grants (1980B)
- 15.145**
Indian Grants_Economic Development (Indian Grant Program) (1986B)
Archived (1997B)
- 15.146**
Ironworker Training Program (1993U)
- 15.147**
Tribal Courts_Trust Reform Initiative (2003B)
- 15.148**
Tribal Energy Development Capacity Grants (2008)
- 15.200**
Land Lease for Airports (1970B)
Archived (1979B)
- 15.201**
Leases, Permits, and Easements for Public Works (1970B)
Archived (1977U)
- 15.202**
Real Property for Public Parks, Public Recreational Areas, and Public Purposes (1965B)
Public Land for Recreation, Public Purposes, and Historic Monuments (1970B)
Archived (1979B)
- 15.203**
Public Land for Rights-of-Way (1970B)
Archived (1979B)
- 15.204**
Real Property Sales for Residential, Commercial, Agricultural, Industrial, or Public Uses or Development (1965B)
Split into 15.209, 15.211 (1971B)
- 15.205**
Exchange of Public Lands (1971B)
Archived (1977B)
- 15.206**
Grazing Privileges (1971B)
Grazing Preference (1977U)
Archived (1979B)
Reinstated (1981U)
Mineral Privileges (1982B)
Archived (1983B)
- 15.207**
Mineral Leasing (1971B)
Archived (1979B)
Reinstated (1981U)
Archived (1983B)
- 15.208**
Non-Sale Disposals From Public Lands (1971B)
Non-Sale Disposals of Material on Public Lands (1973B)
Archived (1979B)
- 15.209**
Sale of Contiguous Public Lands (1971B)
Split from 15.204 (1971B)
Archived (1972B)
- 15.210**
Sale of Forest Products (1971B)
Archived (1973B)
- 15.211**
Sale of Isolated Public Lands (1971B)
Split from 15.204 (1971B)
Archived (1977B)
- 15.212**
Sale of Public Lands Thought to be Private (1971B)
Archived (1979B)
- 15.213**
Special Land Use Permits (1971B)
Archived (1977B)
- 15.214**
Non-Sale Disposals of Mineral Material (1972B)
Archived (1979B)
Reinstated (1981U)
- 15.215**
Sale of Mineral Material (1972B)
Archived (1979B)
Reinstated (1981U)
Archived (1983B)
- 15.217**
Cooperative Agreements with Interpretive Associations (1981U)
Cooperative Agreements with Cooperating Associations (1983B)
Archived (1985B)
- 15.218**
Special Recreation Permits (1981U)
Archived (1985B)
- 15.219**
Wildlife Habitat Management Technical Assistance (1981U)
Archived (1995B)
- 15.220**
Law Enforcement (1981U)
Archived (1983B)
- 15.221**
Cooperative Agreements for Research to Public Lands Management (1983U)
Cooperative Agreements for Research in Public Lands Management (1985U)
Archived (1994B)
- 15.222**
Cooperative Inspection Agreements with States and Tribes (1990B)
- 15.223**
Recreation and Cultural Resource Management (1992B)
Split into 15.224, 15.225 (1994B)
- 15.224**
Cultural Resource Management
Split from 15.223 (1994B)
- 15.225**
Recreation Resource Management
Split from 15.223 (1994B)
- 15.226**
Payments in Lieu of Taxes (1999B)
- 15.227**
Distribution of Receipts to State and Local Governments (2001B)
- 15.228**
Wildland Urban Interface Community and Rural Fire Assistance (2001B)
National Fire Plan - Wildland Urban Interface Community Fire Assistance (2005)
National Fire Plan - Wildland Urban Interface Community Fire Assistance (2006)
- 15.229**
Wild Horse and Burro Resource Management (2005)
- 15.230**
Invasive and Noxious Plant Management (2008)
- 15.231**
Fish, Wildlife and Plant Conservation Resource Management (2005)
- 15.232**
Wildland Fire Research and Studies Program (2008)
- 15.233**
Forests and Woodlands Resource Management (2008)

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- 15.234**
Secure Rural Schools and Community Self-Determination (2008)
- 15.235**
Southern Nevada Public Land Management (2008)
- 15.236**
Environmental Quality and Protection Resource Management (2008)
- 15.237**
Rangeland Resource Management (2008)
- 15.238**
Challenge Cost Share (2008)
- 15.239**
Management Initiatives (2008)
- 15.240**
Helium Resource Management (2008)
- 15.241**
Indian Self-Determination Act Contracts, Grants and Cooperative Agreements (2009)
- 15.242**
National Fire Plan - Rural Fire Assistance (2005)
- 15.250**
Regulation of Surface Mining and Surface Effects of Underground Coal Mining (1978U)
Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining (1980U1)
- 15.251**
Grants for Mining and Mineral Resources, Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1978U)
Grants for Mining and Mineral Resources and Research Institutes, Mineral Research Projects, Scholarships and Fellowships (1980B)
Archived (1981U)
- 15.252**
Abandoned Mine Land Reclamation Program (1979U2)
Abandoned Mine Land Reclamation (AMLR) Program (1982B)
- 15.253**
Not-for-Profit AMD Reclamation (1999B)
- 15.254**
Summer Watershed Intern (2002B)
- 15.255**
Applied Science Program Cooperative Agreements Related to Coal Mining and Reclamation (2007)
- 15.300**
Coal Mine Health and Safety (1970B)
Coal Mine Health and Safety Grants (1971B)
Number changed to 15.350 (1973U)
- 15.301**
Control of Fires in Inactive Coal Deposits (1970B)
Archived (1979B)
- 15.302**
Mine Health and Safety Counseling (1970B)
Mine Health and Safety Counseling and Technical Assistance (1971B)
Number changed to 15.351 (1973U)
- 15.303**
Mineral Research_Grants and Contracts (1970B)
Mineral Resources and Environmental Development (1972B)
Mineral and Materials Research and Development (1974U)
Archived (1975U)
- 15.304**
Mineral Research and Resource Information (1970B)
Mineral Research and Resource Information and Technical Assistance (1971B)
Archived (1979B)
- 15.305**
Mine Health and Safety Education and Training (1971B)
Number changed to 15.352 (1973U)
- 15.306**
Mine Health and Safety_Grants and Contracts (1971B)
Archived (1975U)
- 15.307**
Energy Research_Grants and Contracts (1974U)
Transferred to 24.034 (1975B)
- 15.308**
Grants for Mining and Mineral Resources and Research Institutes (1983B)
Archived (1995B)
- 15.350**
Coal Mine Health and Safety Grants (1973U)
Number changed from 15.300 (1973U)
Transferred to 17.600 (1978B)
- 15.351**
Mine Health and Safety Counseling and Technical Assistance (1973U)
Number changed from 15.302 (1973U)
Transferred to 17.601 (1978B)
- 15.352**
Mine Health and Safety Education and Training (1973U)
Number changed from 15.305 (1973U)
Transferred to 17.602 (1978B)
- 15.400**
Outdoor Recreation Program (1965B)
Outdoor Recreation_Financial Assistance (1969B)
Outdoor Recreation_Acquisition and Development (1971B)
Outdoor Recreation_Acquisition, Development and Planning (1974B)
Number changed to 15.916 (1981B)
- 15.401**
Outdoor Recreation Assistance (1967B)
Outdoor Recreation Financial Assistance (1969B)
Outdoor Recreation Planning_Financial Assistance (1970B)
Outdoor Recreation State Planning_Financial Assistance (1971B)
Incorporated into 15.400 (1974B)
- 15.402**
Outdoor Recreation Technical Assistance (1967B)
Recreation_Technical Assistance (1980U1)
Number changed to 15.917 (1981B)
- 15.403**
Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments (1977B)
Number changed to 15.918 (1981B)
- 15.405**
National Registry of Natural Landmarks (1978B)
Number changed from 15.910 (1978B)
National Natural Landmarks Program (1980B)
Number changed to 15.910 (1981B)
- 15.406**
National Park Service Centennial Challenge. (2008)
- 15.407**
Keweenaw National Historical Park (NHP) and Keweenaw NHP Advisory Commission Partner Enhancement Grants (2008)
- 15.410**
Historic American Buildings Survey (1978B)
Number changed from 15.903 (1978B)
National Architectural and Engineering Record (1980U1)
Number changed to 15.909 (1981B)
Historic American Buildings Survey/Historic American Engineering Record (1982B)
- 15.411**
Historic Preservation Grants-In-Aid (1978B)

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Number changed from 15.904 (1978B)

Number changed to 15.904 (1981B)

15.412

Archeological Investigations and Salvage (1978B)

Number changed from 15.908 (1978B)

Archived (1980B)

15.413

Historic American Engineering Record (1978B)

Number changed from 15.909 (1978B)

Incorporated into 15.410 (1980U1)

15.414

National Historic Landmark (1978B)

Number changed from 15.912 (1978B)

Number changed to 15.912 (1981B)

15.415

Technical Preservation Services (1978B)

Number changed from 15.913 (1978B)

Number changed to 15.915 (1981B)

15.416

National Register of Historic Places (1978B)

Number changed from 15.914 (1978B)

Number changed to 15.914 (1981B)

15.417

Urban Park and Recreation Recovery Program

(1979U2)

Number changed to 15.919 (1981B)

15.418

Park and Recreation Technical Assistance (1980B)

Number changed from 15.906 (1980B)

Incorporated into 15.402 (1980U1)

15.419

HCRS/NPRA Park Practice Program (1980B)

Number changed from 15.907 (1980B)

Incorporated into 15.402 (1980U1)

15.420

Training Institute for Park and Recreation
Management (1980B)

Number changed from 15.911 (1980B)

Incorporated into 15.402 (1980U1)

15.421

Alaska Coastal Marine Institute (2006)

15.422

Louisiana State University (LSU) Coastal Marine
Institute (CMI) (2006)

15.423

Minerals Management Service (MMS)

Environmental Studies Program (ESP) (2006)

15.424

Marine Minerals Activities (2006)

15.425

Offshore Research Technology Center (OTRC)

Texas Engineering Experiment Station (TEES)

(2006)

15.426

Coastal Impact Assistance Program (CIAP) (2007)

15.427

Federal Oil and Gas Royalty Management (2008)

15.428

Marine Gas Hydrate Research Activities (2008)

15.500

Atmospheric Water Resources Research (1970B)

Archived (1971B)

15.501

Irrigation and Drainage Systems Loans (1970B)

Irrigation Systems Loans (1970U)

Irrigation Distribution System Loans (1971B)

Distribution System Loans (1984B)

Archived (1994U)

15.502

Irrigation Systems Rehabilitation and Betterment

(1970B)

Archived (1994U)

15.503

Reclamation Projects (1965B)

Water Resources Investigation and Small

Reclamation Projects Loans (1967B)

Small Reclamation Project Loans for Water

Resource Projects (1969B)

Small Reclamation Projects (1970B)

Archived (1994U)

15.504

Federal Reclamation Projects (1971B)

Archived (1979B)

Reclamation and Water Reuse Program, Reinstated

(1998B)

Water Reclamation and Reuse Program (2001B)

15.505

National Water Research and Development
Program (1983B)

Number changed from 15.950 (1983B)

National Water Research Program (1984B)

Archived (1984U)

15.506

Water Desalination Research and Development
Program (1999B)

15.507

Water 2025 (2004)

15.508

Providing Water to At-Risk Natural Desert

Terminal Lakes (2004)

15.509

Colorado River Basin Salinity Control Program

(2007)

15.510

Colorado Ute Indian Water Rights Settlement Act

(2007)

15.511

Cultural Resources Management (2007)

15.512

Central Valley Project Improvement Act, Title

XXXIV (2007)

15.513

Dutch John Federal Property and Disposition

Assistance Act (2007)

15.514

Reclamation States Emergency Drought Relief

(2007)

15.515

Federal Resource Protection (2008)

Archived (2010)

15.516

Fort Peck Reservation Rural Water System (2007)

15.517

Fish and Wildlife Coordination Act (2007)

15.518

Garrison Diversion Unit (2007)

15.519

Indian Tribal Water Resources Development,

Management, and Protection (2007)

15.520

Lewis and Clark Rural Water System (2007)

15.521

Lower Rio Grande Valley Water Resources

Conservation and Improvement (2007)

15.522

Mni Wiconi Rural Water Supply Project (2007)

15.523

Perkins County Rural Water System (2007)

15.524

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Recreation Resources Management (2008)

15.525

Rocky Boy's/North Central Montana Regional Water System (2008)

15.526

San Gabriel Basin Restoration Project (2007)

15.527

San Luis Unit, Central Valley Project (2007)

15.528

St. Mary Storage Unit Facilities Rehabilitation Project (2007)

15.529

Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs (2007)

15.530

Water Conservation Field Services Program (WCFSF) (2007)

15.531

Yakima River Basin Water Enhancement Project (YRBWEP) (2007)

15.532

Central Valley Project, Trinity River Division, Trinity River Fish and Wildlife Management (2007)

15.533

California Water Security and Environmental Enhancement (2007)

15.534

Miscellaneous Public Law 93-638 Contracts, Grants, and Cooperative Agreements (2008)

15.535

Upper Colorado River Basin Fish and Wildlife Mitigation Program. (2009)

15.536

Deschutes River Conservancy (DRC) (2009)

15.537

Middle Rio Grande Endangered Species Collaborative Program (2009)

15.538

Lower Colorado River Multi-Species Conservation Program. (2009)

15.539

Equus Beds Division Acquirer Storage Recharge Project (2009)

15.540

Lake Mead/Las Vegas Wash Program (2009)

15.541

Colorado River Basin Projects Act of 1968 (2009)

15.542

Arizona Water Settlement Act of 2004 (2010)

15.543

Lake Tahoe Regional Wetlands Development Program (2010)

15.544

Platte River Recovery Implementation Program (2010)

15.545

Bunker Hill Groundwater Basin, Riverside-Corona Feeder Project (2010)

15.546

Youth Conservation Program (2010)

15.600

Anadromous Fish Management (1967B)

Anadromous Fish Conservation (1970B)

Archived (1996B)

15.601

Animal Control (1965B)

Animal Damage Control (1967B)

Transferred to 10.213 (1986B)

15.602

Conservation Law Enforcement Training Assistance (1970B)

Archived (2009)

15.603

Farm Fish Pond Management (1965B)

Archived (1986U)

15.604

Fish Research (1970B)

Fishery Research_Information (1971B)

Consolidated into 15.975, 15.976 (1994B)

15.605

Sport Fish Restoration (1970B)

Sport Fish Restoration Program (2007)

15.606

Migratory Bird Banding and Data Analysis (1970B)

Consolidated into 15.975, 15.976 (1994B)

15.607

Pesticide Appraisal and Monitoring (1970B)

Environmental Contaminant Evaluation (1978B)

Resource Contaminant Assessment (1983U)

Environmental Contaminant (1989B)

Environmental Contaminants (1993U)

Archived (1995B)

15.608

Sport Fish Management (1965B)

Sport Fish Technical Assistance (1974U)

Fishery Resources Technical Assistance (1984U)

Fish and Wildlife Management Assistance (1986U)

15.609

Wildlife Management (1967B)

Wildlife Enhancement (1970B)

Wildlife Technical Assistance (1974U)

Archived (1983U)

15.610

Wildlife Research (1970B)

Wildlife Research Information (1971B)

Wildlife Ecology Research Information (1979B)

Wildlife Research Information (1983B)

Consolidated into 15.975, 15.976 (1994B)

15.611

Wildlife Restoration (1970B)

15.612

Rare and Endangered Species Conservation (1976B)

Endangered Species Conservation (1977B)

Archived (1995B)

15.613

Marine Mammal Grant Program (1979B)

Archived (1983U)

15.614

Coastal Wetlands Planning, Protection and Restoration Act (1992B)

15.615

Cooperative Endangered Species Conservation Fund (1992B)

15.616

Clean Vessel Act (1993U)

15.617

Wildlife Conservation and Appreciation (1994B)

15.618

Administrative Grants for Federal Aid in Sport Fish and Wildlife Restoration (1995B)

Archived (2001B)

15.619

Rhinoceros and Tiger Conservation (1996U)

Rhinoceros and Tiger Conservation Fund (2005)

Rhinoceros and Tiger Conservation Fund (2006)

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15.620 African Elephant Conservation (1996U) African Elephant Conservation Fund (2005) African Elephant Conservation Fund (2006)	15.636 Alaska Subsistence Management (2004)	Visitor Facility Enhancements - Vehicle Pullouts, Interpretive Trails, and Kiosks (2007)
15.621 Asian Elephant Conservation (1999B) Asian Elephant Conservation Fund (2005) Asian Elephant Conservation Fund (2006)	15.637 Migratory Bird Joint Ventures (2004)	15.655 Migratory Bird Monitoring, Assessment and Conservation (2008)
15.622 Sportfishing and Boating Safety Act (1999B)	15.638 Tribal Landowner Incentive Program (2004) Archived (2009)	15.656 Recovery Act Funds - Habitat Enhancement, Restoration and Improvement. (2009)
15.623 North American Wetlands Conservation Fund (1999B)	15.639 Tribal Wildlife Grants Program (2004)	15.657 Endangered Species Conservation Recovery Implementation Funds (2009)
15.625 Wildlife Conservation and Restoration (2001B) Archived (2009)	15.640 Wildlife Without Borders- Latin America and the Caribbean (2004)	15.658 Natural Resource Damage Assessment, Restoration and Implementation (2009)
15.626 Hunter Education and Safety Program (2001B) Firearm and Bow Hunter Education and Safety Program (2009)	15.641 Wildlife Without Borders-Mexico (2004)	15.659 National Wildlife Refuge Fund (2009)
15.628 Multi-State Conservation Grants (2001B) Multi-State Conservation Grant Program (2007) Multistate Conservation Grant Program (2008)	15.642 Challenge Cost Share (2004)	15.660 Endangered Species - Candidate Conservation Action Funds (2009)
15.629 Great Apes Conservation (2001B) Great Apes Conservation Fund (2005) Great Apes Conservation Fund (2006)	15.643 Alaska Migratory Bird Co-Management Council (2004)	15.661 Lower Snake River Compensation Plan (2009)
15.630 Coastal Program (2002B)	15.644 Federal Junior Duck Stamp Conservation and Design (2004)	15.700 Water Pollution Control_Waste Treatment Works Construction Grants (1967B) Construction Grants for Wastewater Treatment Works (1970B) Transferred to 66.400 (1971B)
15.631 Partners for Fish and Wildlife (2002B)	15.645 Marine Turtle Conservation Fund (2005)	15.701 Water Pollution Control_Grants for Comprehensive Basin Planning (1967B) Water Pollution Control_Comprehensive Basin Planning Grants (1970B) Transferred to 66.401 (1971B)
15.632 Conservation Grants Private Stewardship for Imperiled Species (2002B) Archived (2009)	15.647 Migratory Bird Conservation (2005)	15.702 Water Pollution Control_Data Publications Services (1970B) Transferred to 66.402 (1971B)
15.633 Landowner Incentive (2002B) Landowner Incentive Program (2007) Archived (2009) Reinstated (2010) Archived (2010)	15.648 Central Valley Project Improvement (CVPI) Anadromous Fish Restoration Program (AFRP) (2006)	15.703 Water Pollution Control_Direct Training (1970B) Transferred to 66.403 (1971B)
15.634 State Wildlife Grants (2002B)	15.649 Service Training and Technical Assistance (Generic Training) (2007)	15.704 Water Pollution Control_Interstate Cooperation and Uniform Laws (1970B) Transferred to 66.404 (1971B)
15.635 Neotropical Migratory Bird Conservation (2003B)	15.650 Research Grants (Generic) (2007) Research Grants (Generic) (2008)	15.705 Water Pollution Control_Research, Development, and Demonstration Grants and Contracts (1965B) Water Pollution Control_Research, Development
	15.651 Wildlife Without Borders-Africa Program (2007)	
	15.652 Undesirable/Noxious Plant Species (2008)	
	15.653 National Outreach and Communication Program (2007)	
	15.654	

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and Demonstration Program (1970)

Transferred to 66.405 (1971B)

15.706

Water Pollution Control_Training Grants, Contracts, and Research Fellowships (1967B)

Water Pollution Control_Training Grants and Research Training Fellowships (1970B)

Split into 15.706, 15.710 (1970)

Transferred to 66.406 (1971B)

15.707

Water Pollution Control_State and Interstate Agency Support (1969B)

Water Pollution Control_State and Interstate Program Grants (1970B)

Transferred to 66.407 (1971B)

15.708

Water Pollution Control_State and Local Manpower Development (1970B)

Transferred to 66.408 (1971B)

15.709

Water Pollution Control_Technical Assistance and Pollution Surveillance (1970B)

Transferred to 66.409 (1971B)

15.710

Water Pollution Control_Training Grants (1970B)

Split from 15.706 (1970B)

Transferred to 66.410 (1971B)

15.711

Water Quality and Pollution Control Data (1970B)

Transferred to 66.411 (1971B)

15.712

Water Quality and Pollution Control_Orientation and Training Seminars (1970B)

Transferred to 66.412 (1971B)

15.713

Water Quality Management Technical Planning Assistance (1970B)

Transferred to 66.413 (1971B)

15.800

Resources Surveys, Water, Mineral, Fuels, Topographic Maps (1965B)

Geologic, Mineral, Water Resource, and Topographic Surveys, Investigations, and Research (1967B)

Split into 15.800, 15.801, 15.802, 15.803, 15.804 (1970B)

Geologic and Mineral Resource Surveys and Mapping (1970B)

Consolidated into 15.808 (1986B)

15.801

Map Information (1970B)

Split from 15.800 (1970B)

Cartographic Information (1974U)

Cartographic Information, Geologic Information, Hydrologic Information (1989B)

Archived (1990B)

15.802

Minerals Discovery Loan Program (1970B)

Split from 15.800 (1970B)

Archived (1982B)

15.803

Topographic Surveys and Mapping (1970B)

Split from 15.800 (1970B)

National Mapping, Geography and Surveys (1980U1)

Consolidated into 15.808 (1986B)

15.804

Water Resources Investigations (1970B)

Split from 15.800 (1970B)

Consolidated into 15.808 (1986B)

15.805

Assistance to State Water Resources Research Institutes (1984B)

Number changed from 15.951 (1984B)

15.806

National Water Resources Research Program (1985B)

Archived (1996B)

15.807

Earthquake Hazards Reduction Program (1986B)

Consolidated from 15.800 (1986B)

15.808

Geological Survey_Research and Data Acquisition (1986B)

Consolidated from 15.800, 15.803, 15.804 (1986B)

U.S. Geological Survey_Research and Data Acquisition, Consolidated from 15.977 (1998B)

15.809

National Spatial Data Infrastructure Competitive Cooperative Agreements Program (1997B)

National Spatial Data Infrastructure Cooperative Agreements Program (1998U)

15.810

National Cooperative Geologic Mapping Program (1998B)

15.811

Gap Analysis Program (2003B)

15.812

Cooperative Research Units Program (2003B)

15.813

COOPERATIVE RESEARCH UNITS TRAINING PROGRAM (2007)

15.814

National Geological and Geophysical Data Preservation Program (2008)

15.815

National Land Remote Sensing_Education Outreach and Research (2008)

15.816

Minerals Resources External Research Program (2008)

15.817

National Geospatial Program: Building The National Map (2009)

15.818

Volcano Hazards Program Research and Monitoring. (2009)

15.819

Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) (2009)

15.820

National Climate Change and Wildlife Service Center (2009)

15.850

Indian Arts and Crafts Development (1970B)

15.875

Economic and Political Development of the Territories and the Trust Territory of the Pacific Islands (1982)

Economic, Social, and Political Development of the Territories and the Freely Associated States (1995U)

Economic, Social, and Political Development of the Territories (2005)

Economic, Social, and Political Development of the Territories (2006)

15.900

Disposal of Surplus Wildlife (1970B)

Archived (1986B)

15.901

Domestic Travel Promotion (1970B)

Transferred/Incorporated into 11.950 (1971B)

15.902

Environmental Study Areas (1970B)

National Environmental Study Areas (1970U)

National Environmental Study Areas and National Environmental Education Development Programs (1975U)

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National Environmental Study Areas (1980B)
Archived (1981B)

15.903

Historic American Buildings Survey (1969B)
Historic American Building Survey_Historic
American Engineering Record (1970U)
Historic American Buildings Survey (1971B)
Split into 15.903, 15.909 (1971B)
Number changed to 15.410 (1978B)

15.904

Historic Preservation (1969B)
Number changed to 15.411 (1978B)
Historic Preservation Grants-in-Aid (1978B)
Number changed from 15.411 (1981B)
Historic Preservation Fund Grants-In-Aid (1985U)

15.905

National Environmental Education Development
(1970B)
Archived (1971B)

15.906

Park Planning_Technical Assistance (1969B)
Park and Recreation Technical Assistance (1970B)
Park Technical Assistance (1979U1)
Number changed to 15.418 (1980B)

15.907

Park Practice Program (1970B)
Number changed to 15.419 (1980B)

15.908

Archeological Investigations and Salvage (1971B)
Number changed to 15.412 (1978B)

15.909

Historic American Engineering Record (1971B)
Split from 15.903 (1971B)
Number changed to 15.413 (1978B)
Number changed from 15.410 (1981B)
Historic American Buildings Survey/Historic
American Engineering Record (1982B)
Archived (1996B)

15.910

National Registry of Natural Landmarks (1971B)
Number changed to 15.405 (1978B)
Number changed from 15.405 (1981B)
National Natural Landmarks Program (1981B)
Deleted (2008)

15.911

Training Institute for Park and Recreation
Management (1974U)
Number changed to 15.420 (1980B)

15.912

National Historic Landmark (1972U)

Number changed to 15.414 (1978B)
Number changed from 15.414 (1981B)

15.913

Interagency Historic Architectural Services
(1976U)
Technical Preservation Services (1977U)
Number changed to 15.415 (1978B)

15.914

National Register of Historic Places (1976U)
Number changed to 15.416 (1978B)
Number changed from 15.416 (1981B)

15.915

Technical Preservation Services (1981B)
Number changed from 15.415 (1981B)

15.916

Outdoor Recreation_Acquisition, Development and
Planning (1981B)
Number changed from 15.400 (1981B)

15.917

Recreation_Technical Assistance (1981B)
Number changed from 15.402 (1981B)
Archived (1984U)

15.918

Disposal of Federal Surplus Real Property for
Parks, Recreation, and Historic Monuments
(1981B)
Number changed from 15.403 (1981B)

15.919

Urban Park and Recreation Recovery Program
(1981B)
Number changed from 15.417 (1981B)
Archived (1989B)
Reinstated (1991B)
Deleted (2005)

15.920

Land Acquisition and Development of
Comprehensive Management Plan (CMP)_State of
New Jersey_Pinelands National Reserve (1984B)
Archived (1987B)

15.921

Rivers, Trails and Conservation Assistance (1994B)

15.922

Native American Graves Protection and
Repatriation Act (1997U)

15.923

National Center for Preservation Technology and
Training (1997B)

15.924

Historically Black Colleges and Universities
Preservation Initiative (1997U)

15.925

National Maritime Heritage Grants (1997U)

15.926

American Battlefield Protection (1997U)

15.927

Hydropower Recreation Assistance (2002B)

15.928

Civil War Battlefield Land Acquisition Grants
(2003B)

15.929

Save America's Treasures (2004)

15.930

Chesapeake Bay Gateways Network (2007)

15.931

Conservation Activities by Youth Service
Organizations (2007)

15.932

Preservation of Historic Structures on the
Campuses of Historically Black Colleges and
Universities (HBCUs). (2009)

15.933

Preservation of Japanese American Confinement
Sites (2009)

15.934

Abandoned Mine Hazard Mitigation (2009)

15.935

National Trails System Projects (2009)

15.936

Shenandoah Valley Battlefields National Historic
District Battlefield Acquisition and Protection
(2009)

15.937

Redwood National Park Cooperative Management
with the State of California (2010)

15.938

Boston African-American National Historic Site
Cooperative Agreement with the Museum of
African American History (2010)

15.950

Additional Water Resources Research (1970B)
National Water Research and Development
Program (1979B)
Number changed to 15.505 (1983B)

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15.951 Water Resource Research_Assistance to States for Institutes (1970B) Water Resources Research_Assistance to State Institutes (1975U) Water Resources Research and Technology_Assistance to State Institutes (1979B) Water Research Assistance to State Institutes (1983B) Number changed to 15.805 (1984B)	Dangerous Drugs_Laboratory Analysis (1970B)	Number changed from 21.052 (2004)
15.952 Water Resource Research_Matching Grants to State Institutes (1970B) Water Research and Technology_Matching Funds to State Institutes (1979B) Archived (1983B)	16.002 Law Enforcement Assistance_Narcotics and Dangerous Drugs_State Legislation (1970B) Archived (1991U)	16.013 Violence Against Women Act Court Training and Improvement Grants (2008)
15.953 Water Resources Scientific Information Center (1972B) Archived (1983B)	16.003 Law Enforcement Assistance_Narcotics and Dangerous Drugs Technical Laboratory Publications (1970B)	16.014 Engaging Men and Youth in Preventing Domestic Violence, Dating Violence, Sexual Assault, and Stalking (2008)
15.975 Research Information Consolidated from 15.604, 15.610 (1994B) Incorporated into 15.977 (1996B)	16.004 Law Enforcement Assistance_Narcotics and Dangerous Drugs_ Training (1969B)	16.015 Missing Alzheimer's Disease Patient Assistance Program (2009)
15.976 Migratory Bird Banding and Data Analysis (1994B)	16.005 Narcotics and Dangerous Drugs_Public Education (1969B) Public Education on Drug Abuse_Technical Assistance (1970B) Public Education on Drug Abuse_Information (1979B) Deleted (2008)	16.016 Culturally and Linguistically Specific Services Program (2008)
15.977 State Partnerships (1995B) Incorporated from 15.975 (1996B) Consolidated into 15.808 (1998B)	16.006 Municipal Fire and Emergency Services Domestic Preparedness Equipment Support Program (1999B) County and Municipal Agency Domestic Preparedness Equipment Support Program (1999U) Archived (2001B)	16.017 Sexual Assault Services Program (2008) Sexual Assault Services Formula Program (2009)
15.978 Upper Mississippi River System Long Term Resource Monitoring Program (1998B)	16.007 State and Local Domestic Preparedness Equipment Support Program (1999B) State Domestic Preparedness Equipment Support Program (1999U) Transferred to 97.004 (2003B)	16.018 Services to Advocate for and Respond to Youth (2010)
DEPARTMENT OF JUSTICE Criminal Justice Act of 1964 (1965B) Archived (1969B)	16.008 State and Local Domestic Preparedness Training Program (2001B) Transferred to 97.005 (2003B)	16.019 Tribal Registry (2008)
Federal Prisoners Rehabilitation Program (1965B) Archived (1969B)	16.009 State and Local Domestic Preparedness Exercise Support (2001B) Transferred to 97.006 (2003B)	16.020 Children and Youth Exposed (2010)
Law Enforcement Assistance (1965B) Split into all of the Law Enforcement Assistance Programs (1969B)	16.010 State and Local Domestic Preparedness Technical Assistance (2001B) Transferred to 97.007 (2003B)	16.100 Desegregation of Public Education_Civil Rights Act of 1964 (1965B) Desegregation of Public Education (1970B)
National Conference on Bail and Criminal Justice and Follow-Up Programs (1965B) Archived (1969B)	16.011 Urban Areas Security Initiative (2003B)	16.101 Equal Employment Opportunity_Civil Rights Act of 1964 (1965B) Equal Employment Opportunity (1970B)
16.001 Law Enforcement Assistance_Narcotics and Dangerous Drugs_Laboratory Support (1969B) Law Enforcement Assistance_Narcotics and	16.012 Alcohol, Tobacco, and Firearms_Training Assistance (2004) Number changed from 21.052 (2004)	16.102 Equal Enjoyment of Public Accommodations_Civil Rights Acts of 1964 (1965B) Equal Employment of Public Accommodations (1970B) Incorporated into 16.105 (1979B)

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Desegregation of Public Facilities (1972B)
Civil Rights of Institutionalized Persons (1981B)

16.106
Indian Civil Rights (1974B)
Archived (1981B)

16.107
Special Civil Rights Litigation (1975U)
Incorporated into 16.105 (1979B)

16.108
Americans With Disabilities Act Technical Assistance Program (1991U)
Archived (2010)

16.109
Civil Rights Prosecution (1992U)

16.110
Education and Enforcement of the Antidiscrimination Provision of the Immigration and Nationality Act (1995U)

16.123
Community-Based Violence Prevention Program (2010)

16.200
Community Relations Service (1967B)

16.201
Cuban and Haitian Entrant Resettlement Program (1983U)
Transferred to 97.009 (2003B)

16.202
Offender Reentry Program (2001U)
Prisoner Reentry Initiative Demonstration (Offender Reentry) (2006)
Archived (2010)

16.203
Sex Offender Management Discretionary Grant (2002B)
Comprehensive Approaches to Sex Offender Management Discretionary Grant (CASOM) (2006)

16.220
Law Enforcement Assistance_Narcotics and Dangerous Drugs_Registration of Manufacturers, Distributors, and Dispensers of Controlled Substances (2004)

16.300
Police Training Programs (1967B)
Law Enforcement Assistance_Advanced Police Training (1969B)
Law Enforcement Assistance_FBI Advanced Police Training (1970B)

16.301
Services of Federal Bureau of Investigation (1965B)
Law Enforcement Assistance_Crime Laboratory Support (1969B)
Law Enforcement Assistance_FBI Crime Laboratory Support (1970B)

16.302
Law Enforcement Assistance_Field Police Training (1969B)
Law Enforcement Assistance_FBI Field Police Training (1970B)

16.303
Law Enforcement Assistance_Fingerprint Identification (1969B)
Law Enforcement Assistance_FBI Fingerprint Identification (1970B)

16.304
Law Enforcement Assistance_National Crime Information Center (1969B)

16.305
Law Enforcement Assistance_Uniform Crime Reports (1969B)

16.306
DRUGFIRE (1996U)
Archived (1998B)

16.307
Combined DNA Index System (1996U)

16.308
Indian County Investigations (1996U)
Indian Country Investigations (1997B)

16.309
Law Enforcement Assistance_National Instant Criminal Background Check System (2000B)

16.320
Services for Trafficking Victims (2004)

16.321
Antiterrorism and Emergency Assistance Program (2002U)
Antiterrorism Emergency Reserve (2005)
Antiterrorism Emergency Reserve (2006)

16.400
Citizenship Training (1965B)
Citizenship Training and Information (1967B)
Naturalization (1969B)
Citizenship Education and Training (1970B)
Transferred to 97.010 (2003B)

16.471

FORENSIC CASEWORK DNA BACKLOG REDUCTION PROGRAM (2005)

16.500
Law Enforcement Assistance_State Planning (1969B)
Law Enforcement Assistance_Comprehensive Planning (1970B)
Law Enforcement Assistance_Comprehensive Planning Grants (1971B)
Consolidated into 16.530 (1980B)

16.501
Law Enforcement Assistance_Discretionary Grants (1970B)
Consolidated into 16.531 (1980B)

16.502
Law Enforcement Assistance_Improving and Strengthening Law Enforcement (1969B)
Law Enforcement Assistance_Improving and Strengthening Law Enforcement and Criminal Justice (1974B)
Split into 16.530, 16.532 (1980B)

16.503
Law Enforcement Assistance_Technical Assistance (1970B)
Consolidated into 16.531 (1980B)

16.504
Law Enforcement Assistance_Students Loans and Tuition Aids (1969B)
Law Enforcement Education Program_Student Financial Aid (1970B)
Transferred to the Department of Education (1980B)

16.505
Law Enforcement Assistance_Research Demonstrations and Special Projects (1969B)
Law Enforcement Research and Development_Graduate Research Fellowships (1970B)
Number changed to 16.562 (1980B)

16.506
Law Enforcement Research and Development_Pilot Grants (1970B)
Incorporated into 16.507 (1972B)

16.507
Law Enforcement Research and Development_Project Grants (1970B)
Number changed to 16.560 (1980B)

16.508
Law Enforcement Research and Development_Visiting Fellowships (1970B)
Incorporated into 16.507 (1973B)
Reinstated/Split from 16.507 (1975U)

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Number changed to 16.561 (1980B)

16.509

Law Enforcement Assistance_Statistics and Information Development_Project Grants (1971B)
Law Enforcement Assistance_Statistics Development (1972B)
Criminal Justice_Statistics Development (1973B)
Number changed to 16.550 (1980B)

16.510

Statistics on Crime and Criminal Justice (1971B)
Number changed to 16.551 (1980B)

16.511

Law Enforcement Assistance_Educational Development (1971U)
Number changed to 16.533 (1980B)

16.512

Law Enforcement Assistance_Internships (1972B)
Archived (1980B)

16.513

Law Enforcement Assistance_Training (1972B)
Number changed to 16.534 (1980B)

16.514

Organized Crime Prosecutorial Training (1973U)
Consolidated into 16.531 (1980B)

16.515

Criminal Justice Systems Development (1973U)
Criminal Justice Systems and Telecommunications Development (1974B)
Criminal Justice Systems Development (1975B)
Number changed to 16.552 (1980B)

16.516

Law Enforcement Assistance_Juvenile Justice and Delinquency Prevention_Allocation to States (1975U)
Law Enforcement Assistance_Juvenile Justice and Delinquency Prevention Act Allocation to States (1978U)
Juvenile Justice and Delinquency Prevention_Allocation to States (1979B)
Number changed to 16.540 (1980B)

16.517

Law Enforcement Assistance Administration_JJDP Special Emphasis Prevention and Treatment (1975U)
Law Enforcement Assistance Administration_OJJDP Special Emphasis Prevention and Treatment Programs (1977U)
Juvenile Justice and Delinquency Prevention_Special Emphasis Prevention and Treatment Programs (1979B)
Number changed to 16.541 (1980B)

16.518

Law Enforcement Assistance Administration_JJDP National Institute (1975U)
National Institute for Juvenile Justice and Delinquency Prevention (1979B)
Number changed to 16.542 (1980B)

16.519

Law Enforcement Assistance_Office of Community Anti-Crime Programs (1978B)
Number changed to 16.535 (1980B)

16.520

Public Safety Officers' Benefits Program (1978B)
Number changed to 16.538 (1980B)

16.521

Crime Prevention_Mobilization of Public and Non-Public Resources (1978U)
Number changed to 16.536 (1980B)

16.522

Privacy and Security of Criminal Justice Systems (1979B)
Number changed to 16.553 (1980B)

16.523

Juvenile Accountability Incentive Block Grants (1998B)
Juvenile Accountability Block Grants (2007)

16.524

Domestic Violence Victims' Civil Legal Assistance Program (1999B)
Legal Assistance for Victims (2001B)

16.525

Grants to Combat Violent Crimes Against Women on Campuses (1999B)
Grants to Reduce Violent Crimes Against Women on Campus (2001B)
Grants to Combat Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2007)
Grants to Reduce Domestic Violence, Dating Violence, Sexual Assault, and Stalking on Campus (2008)

16.526

Technical Assistance and Training Initiative (2002B)
OVW Technical Assistance Initiative (2006)

16.527

Supervised Visitation, Safe Havens for Children (2002B)

16.528

Training Grants to Stop Abuse and Sexual Assault of Older Individuals or Individuals with Disabilities (2002B)

Enhanced Training and Services to End Violence and Abuse of Women Later in Life (2006)

16.529

Education and Training to End Violence Against and Abuse of Women with Disabilities (2002B)
Education, Training, and Enhanced Services to End Violence Against Women with Disabilities (2007)
Education, Training, and Enhanced Services to End Violence Against and Abuse of Women with Disabilities (2008)

16.530

Criminal Justice_Part D Formula Grants (1980B)
Consolidated from 16.500, 16.502 (1980B)
Archived (1981B)

16.531

Part F_Discretionary Grants (1980B)
Consolidated from 16.501, 16.503, 16.514 (1980B)
Archived (1981B)

16.532

Part E_National Priority Program Grants (1980B)
Split from 16.502 (1980B)
Archived (1981B)

16.533

Law Enforcement Assistance_Educational Development (1980B)
Number changed from 16.511 (1980B)
Archived (1981B)

16.534

Law Enforcement Assistance_Training (1980B)
Number changed from 16.513 (1980B)
Archived (1981B)

16.535

Law Enforcement Assistance Administration_Office of Community Anti-Crime Programs (1980B)
Number changed from 16.519 (1980B)
Archived (1981B)

16.536

Crime Prevention_Mobilization of Public and Non-Public Resources (1980B)
Number changed from 16.521 (1980B)
Archived (1981B)

16.537

Urban Crime Prevention (1980B)
Archived (1981B)

16.538

Public Safety Officers' Benefits Program (1980B)
Number changed from 16.520 (1980B)
Number changed to 26.571 (1982B)

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16.540 Juvenile Justice and Delinquency Prevention_Allocation to States (1980B) Number changed from 16.516 (1980B)	16.551 Statistics on Crime and Criminal Justice (1980B) Number changed from 16.510 (1980B) Archived (1983U)	16.563 Law Enforcement Family Support (1997B) Corrections and Law Enforcement Family Support (1999B) Deleted (2007)
16.541 Juvenile Justice and Delinquency Prevention_Special Emphasis and Technical Assistance (1980B) Number changed from 16.517 (1980B) Juvenile Justice and Delinquency Prevention_Special Emphasis (1989B) Developing, Testing and Demonstrating Promising New Programs (2004) Part E - Developing, Testing and Demonstrating Promising New Programs (2006)	16.552 Criminal Justice Systems Development (1980B) Number changed from 16.515 (1980B) Archived (1981U)	16.564 National Institute of Justice Forensic DNA Laboratory Improvement Program (1999U) National Institute of Justice Crime Laboratory Improvement Program (2000B) Crime Laboratory Improvement_Combined Offender DNA Index System Backlog Reduction (2001B) Deleted (2006)
16.542 National Institute for Juvenile Justice and Delinquency Prevention (1980B) Number changed from 16.518 (1980B) Part D - Research, Evaluation, Technical Assitance and Training (2004) Deleted (2007)	16.553 Privacy and Security of Criminal Justice Systems (1980B) Number changed from 16.522 (1980B) Federal Statistics and Information Policy (1981U) Archived (1983U)	16.565 National Institute of Justice Domestic Anti-Terrorism Technology Development Program (1999U) National Institute of Justice Domestic Anti-Terrorism Technology Development Program (Counterterrorism Research and Development) (2004) Deleted (2006)
16.543 Missing Children's Assistance (1986B)	16.554 National Criminal History Improvement Program (NCHIP) (1995B)	16.566 National Institute of Justice W.E.B. DuBois Post-Doctoral Fellowship Program (1999U) National Institute of Justice W.E.B. DuBois Fellowship Program (2000B)
16.544 Part D_Juvenile Gangs and Drug Abuse and Drug Trafficking (1990B) Gang-Free Schools and Communities_Community-Based Gang Intervention (1996U) Youth Gang Prevention (2010)	16.555 National Sex Offender Registry Assistance (1998B) Archived (1999B)	16.570 Civil Rights Compliance Activities (1980B) Archived (1985U)
16.545 Judicial Child Abuse Training (1992B) Combined into 16.547 (1994B)	16.556 Grants to State Sexual Assault and Domestic Violence Coalitions (2008) State Domestic Violence and Sexual Assault Coalitions (2009)	16.571 Public Safety Officers' Benefits Program (1982B) Number changed from 16.538 (1982B) Public Safety Officers' Death Benefits Program (1985U) Public Safety Officers' Benefit Program (1991U) Public Safety Officers' Benefits Program (1995U)
16.546 Investigation and Prosecution of Child Abuse Through the Criminal Justice System Number changed from 16.584 (1993U) Combined into 16.547 (1994B)	16.557 Tribal Domestic Violence and Sexual Assault Coalitions Program (2008) Tribal Domestic Violence and Sexual Assault Coalitions Grant Program (2009)	16.572 Mariel-Cubans (1984U) Archived (1996B) State Criminal Alien Assistance Program, Reinstated (1996U) Archived (1998B)
16.547 Victims of Child Abuse (1994B) Archived (2010)	16.560 Justice Research and Development Project Grants (1980B) Number changed from 16.507 (1980B) Justice Research, Development, and Evaluation Project Grants (1991U) National Institute of Justice Research, Evaluation, and Development Project Grants (2000B)	16.573 Criminal Justice Block Grants (1985B) Incorporated into 16.579 (1990B)
16.548 Title V_Delinquency Prevention Program (1994B)	16.561 National Institute of Justice Visiting Fellowships (1980B) Number changed from 16.508 (1980B) Deleted (2006)	16.574 Criminal Justice Discretionary Grants (1985B) Criminal Justice Discretionary Grant Program (1989B) Archived (1996B)
16.549 Part E_State Challenge Activities (1995B)	16.562 Law Enforcement Research and Development_Graduate Research Fellowships (1980B) Number changed from 16.505 (1980B) Criminal Justice Research and Development_Graduate Research Fellowships (1980U2)	
16.550 Criminal Justice Statistics Development (1980B) Number changed from 16.509 (1980B) State Justice Statistics Program for Statistical Analysis Centers (1997B)		

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16.575 Crime Victim Assistance (1985B)	Violent Offender Incarceration and Truth in Sentencing Incentive Grants (1995B) Archived (2010)	16.598 State Identification Systems Grant Program (1997B)
16.576 Crime Victim Compensation (1985B)	16.587 Violence Against Women Discretionary Grants for Indian Tribal Governments (1995B)	16.599 Local Firefighting and Emergency Services Training (1997U) Archived (1999B)
16.577 Emergency Federal Law Enforcement Assistance (1986B)	16.588 Violence Against Women Formula Grants (1995B)	16.600 Correctional Services_Technical Assistance (1970U) Number changed to 16.603 (1976U)
16.578 Federal Surplus Property Transfer Program (1986B)	16.589 Rural Domestic Violence and Child Victimization Enforcement Grant Program (1996B) Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Grant Program (2007) Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Assistance Program (2008)	16.601 Corrections_Training and Staff Development (1976U)
16.579 State and Local Narcotics Control Assistance Anti-Drug Abuse Act of 1986 (1987B) Drug Control and System Improvement_Formula Grant (1989U) Byrne Formula Grant Program (1996U) Edward Byrne Memorial Formula Grant Program (2006)	16.590 Grants to Encourage Arrest Policies (1996B) Grants to Encourage Arrest Policies and Enforcement of Protection Orders (2001B) The Community-Defined Solutions to Violence Against Women Grant Program (2010)	16.602 Corrections_Research and Evaluation (1976U) Corrections_Research and Evaluation and Policy Formulation (1981U)
16.580 Narcotics Control Discretionary Grant Program (1987U) Drug Control and System Improvement_Discretionary Grant (1989U) Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program (1995U)	16.591 Managing Released Sex Offenders (1996B) Archived (1998B)	16.603 Corrections_Technical Assistance (1976U) Number changed from 16.600 (1976U) Corrections_Technical Assistance/Clearinghouse (1988B)
16.581 Drug Law Enforcement Program_Prison Capacity (1988B) Archived (1995U)	16.592 Local Law Enforcement Block Grants Program (1996U) Local Law Enforcement Block Grant Program (2006) Deleted (2007) Deleted (2007)	16.604 Corrections_Policy Formulation (1976U) Archived (1981U)
16.582 Crime Victim Assistance/Discretionary Grants (1988B)	16.593 Residential Substance Abuse Treatment for State Prisoners (1996U)	16.605 Corrections_Clearinghouse (1976U) Archived (1982B)
16.583 Children's Justice Act Discretionary Grants for Native American Indian Tribes (1989B) Children's Justice Act Discretionary Grants for Native Americans (1995U) Children's Justice Act Partnerships for Indian Communities (1998B)	16.594 Prevention, Diagnosis, and Treatment of Tuberculosis in Correctional Institutions (1996U) Archived (1999B)	16.606 State Criminal Alien Assistance Program (1998B)
16.584 Investigation and Prosecution of Child Abuse Through the Federal Criminal Justice System (1992B) Investigation and Prosecution of Child Abuse Through the Criminal Justice System (1993B) Number changed to 16.546 (1993U)	16.595 Executive Office for Weed and Seed Number changed from 16.725 (1995B) Community Capacity Development Office (2005) Community Capacity Development Office (2006)	16.607 Bulletproof Vest Partnership Program (1999B)
16.585 Drug Court Discretionary Grant Program (1995B)	16.596 Correctional Grant Program for Indian Tribes (1996U)	16.608 Tribal Court Assistance Program (1999B)
16.586	16.597 Motor Vehicle Theft Protection Act Program (1997B) Archived (2009)	16.609 Planning, Implementing, and Enhancing Strategies in Community Prosecution (1999U) Planning, Implementing, and Enhancing Strategies in Community Prosecution_Gun Violence Prosecution (2001U) Community Prosecution and Project Safe Neighborhoods (2002B) Project Safe Neighborhoods (2010)

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Closed-Circuit Televising of Child Victims of Abuse (1999U)

16.612

National White Collar Crime Center (1999U)
Archived (2009)

16.613

Scams Targeting the Elderly (1999U)

16.614

State and Local Anti-Terrorism Training (1999U)

16.615

Public Safety Officers' Educational Assistance (1999U)

16.616

Indian Country Alcohol and Drug Prevention (2001U)

16.684

Supporting Teens through Education and Protection Act of 2005 (STEP Act) (2010)

16.700

Antitrust State Enforcement (1978U)
Archived (1981B)

16.710

Public Safety and Community Policing Grants (1995B)
Public Safety Partnership and Community Policing Grants (1996B)

16.711

Troops to COPS (1995B)
Deleted (2004)

16.712

Police Corps (1996U)

16.725

Weed and Seed Program Fund (1993U)
Number changed to 16.595 (1995B)

16.726

Juvenile Mentoring Program (1996B)

16.727

Combating Underage Drinking (1998B)
Enforcing Underage Drinking Laws Program (1999U)

16.728

Drug Prevention Program (1998B)

16.729

Drug-Free Communities Support Program Grants (1998B)

Number changed to 93.276 (2004)

16.730

Reduction and Prevention of Children's Exposure to Violence (1999B)

16.731

Tribal Youth Program (1999B)

16.732

National Evaluation of the Safe Schools/Healthy Students Initiative (1999U)
National Evaluation of the Safe Schools_Healthy Students Initiative (2001B)
Deleted (2007)

16.733

National Incident Based Reporting System (2000B)

16.734

Special Data Collections and Statistical Studies (2003B)

16.735

PROTECTING INMATES AND SAFEGUARDING COMMUNITIES (2004)

16.736

Transitional Housing Assistance for Victims of Domestic Violence, Stalking, or Sexual Assault (2004)
Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking, or Sexual Assault (2008)

16.737

Gang Resistance Education and Training (2004)
Number changed from 21.053 (2004)

16.738

Edward Byrne Memorial Justice Assistance Grant Program (2005)

16.739

National Prison Rape Statistics Program (2005)

16.740

Statewide Automated Victim Information Notification (SAVIN) Program (2005)

16.741

FORENSIC DNA CAPACITY ENHANCEMENT PROGRAM (2005)
Forensic DNA Backlog Reduction Program (2008)

16.742

PAUL COVERDELL FORENSIC SCIENCES IMPROVEMENT GRANT PROGRAM (2005)

16.743

FORENSIC CASEWORK DNA BACKLOG

REDUCTION PROGRAM (2005)

16.744

Anti-Gang Initiative (2006)
Archived (2010)

16.745

Criminal and Juvenile Justice and Mental Health Collaboration Program (2006)

16.746

Capital Case Litigation (2006)

16.747

Crime Victims' Rights Act (2006)
Archived (2009)

16.748

Convicted Offender and/or Arrestee DNA Backlog Reduction Program (In-House Analysis and Data Review) (2007)
Convicted Offender and/or Arrestee DNA Backlog Reduction Program (2010)

16.749

Radiation Exposure Compensation Program (2007)

16.750

SUPPORT FOR ADAM WALSH ACT IMPLEMENTATION GRANT PROGRAM (2008)

16.751

Edward Byrne Memorial Competitive Grant Program (2008)

16.752

Economic High-Tech and Cyber Crime Prevention (2008)

16.753

Congressionally Recommended Awards (2008)

16.754

Harold Rogers Prescription Drug Monitoring Program (2008)

16.755

Southwest Border Prosecution Initiative (2008)

16.756

Court Appointed Special Advocates Program (2009)

16.757

Judicial Training on Child Maltreatment for Court Personnel (2009)

16.758

Improving the Investigation and Prosecution of Child Abuse and the Regional and Local Children's Advocacy Centers (2009)

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16.800 Recovery Act - Internet Crimes against Children Task Force Program (ICAC) (2009)	Northern Border Prosecution Initiative Program (2009)	Archived (1969B)
16.801 Recovery Act - State Victim Assistance Formula Grant Program (2009)	16.815 Tribal Civil and Criminal Legal Assistance Grants, Training and Technical Assistance (2010)	General Employment Services (1965B) U.S. Employment Services (1967B) Employment Service (1969B) Archived (1970B)
16.802 Recovery Act - State Victim Compensation Formula Grant Program (2009)	16.816 John R. Justice Prosecutors and Defenders Incentive Act (2010)	Veterans Employment Priority Service (1967B) Archived (1969B)
16.803 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories (2009)	DEPARTMENT OF LABOR Community Employment Development (1965B) Smaller Communities Program_Rural Areas (1969B) Archived (1970B)	Youth Opportunity Centers (1965B) Archived (1970B) American Indian Vocational Job Training (1965B) Archived (1967B)
16.804 Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program / Grants To Units Of Local Government (2009)	Experimental and Demonstration Projects for the Mentally Retarded (1965B) Archived (1967B)	Employment Assistance and Training for Inmates of Correctional Institutions (1969B) Archived (1970B)
16.805 Recovery Act - Transitional Housing (2009)	Experimental and Demonstration Projects for Rural Persons (1965B) Archived (1967B)	Apprenticeship Information Centers (1969B) Archived (1970B)
16.806 Recovery Act - Violence Against Women Discretionary Grants For Indian Tribal Governments (2009)	Experimental and Demonstration Projects under MDTA (1965B) Archived (1967B)	Manpower Recruitment Services (1969B) Archived (1970B)
16.807 Recovery Act - VOCA Crime Victim Assistance Discretionary Grant Program (2009)	Experimental and Demonstration Projects for Youth and Adults with Limited Ability to Read and Write (1965B) Archived (1967B)	Older Worker Employment Assistance (1969B) Archived (1970B)
16.808 Recovery Act - Edward Byrne Memorial Competitive Grant Program (2009)	Farm Labor Services (1965B) Employment Services to Rural Areas (1969B) Archived (1970B)	Selective Service Rejectee Rehabilitation (1969B) Archived (1970B)
16.809 Recovery Act - State and Local Law Enforcement Assistance Program: Combating Criminal Narcotics Activity Stemming from the Southern Border of the United States Competitive Grant Program (2009)	Job Market Information (1965B) Archived (1970B)	17.001 Foreign Labor Data (1969B) Archived (1972B)
16.810 Recovery Act Assistance to Rural Law Enforcement to Combat Crime and Drugs Competitive Grant Program (2009)	Job Training for Disadvantaged Persons (1965B) Archived (1969B)	17.002 Manpower and Employment Data (1969B) Labor Force Statistics (1977B)
16.811 Recovery Act - Correctional Facilities On Tribal Lands (2009)	New Careers Program (1967B) Archived (1969B)	17.003 Price and Cost of Living Data (1969B)
16.812 Second Chance Act Prisoner Reentry Initiative (2009)	Occupational Training in Development Areas (1965B) Archived (1969B)	17.004 Productivity, Technology, and Growth Data (1969B) Productivity and Technology Data (1971B) Split into 17.004, 17.006 (1971B)
16.813 NICS Act Record Improvement Program (2009)	Physically and Mentally Handicapped_Employment Service (1965B) Archived (1969B)	17.005 Wages and Industrial Relations Data (1969B) Compensation and Working Conditions Data (1988B) Compensation and Working Conditions (2000B)
16.814	Special Impact Program (1967B) Special Impact (1969B) Archived (1970B)	17.006 Economic Growth Data (1971B) Split from 17.004 (1971B) Economic Research Data (1972B) Economic Growth Data (1977B)
	Trade Adjustment Allowances for Workers (1965B)	

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Economic Growth and Employment Projections Data (1983B)	(2000B)	(1970B)
Employment Projections Data (1989U)	17.202	Incorporated into 17.232 (1974B)
Deleted (2004)	Certification for Foreign Workers for Seasonal Agricultural Employment (1970B)	Reinstated/Split from 17.232 (1975B)
Incorporated into 17.002 (2004)	Certification of Foreign Workers for Agricultural and Logging Employment (1971B)	Archived (1981U)
Incorporated into 17.002 (2004)	Certification of Foreign Workers for Temporary Agricultural and Logging Employment (1980U2)	17.212
17.100	Certification of Foreign Workers for Temporary Agricultural Employment (1991B)	Job Opportunities in the Business Sector (1969B)
Labor-Management Relations Services (1970B)	Deleted (2006)	Incorporated into 17.232 (1975B)
Number changed to 17.130 (1984U)	17.203	17.213
17.101	Certification for Immigrant Nonagricultural Workers (1970B)	Journeyman Training (1970B)
Labor Organization Reports (1969B)	Certification for Immigrant Workers (1979B)	Archived (1972U)
Number changed to 17.140 (1984U)	Labor Certification for Alien Workers (1983B)	17.214
17.102	Deleted (2006)	Labor Mobility-Relocation Assistance Allowances (1965B)
Reemployment Rights for Veterans (1969B)	17.204	Labor Mobility Demonstration Projects (1970B)
Veterans Reemployment Rights (1970B)	Concentrated Employment Program (1969B)	Archived (1970U)
Number changed to 17.803 (1984B)	Consolidated into 17.232 (1973B)	17.215
17.103	17.205	Manpower Development and Training_Institutional Training (1970B)
Welfare and Pension Plan Reports (1969B)	Cooperative Area Manpower Planning System (1969B)	Incorporated into 17.232 (1973B)
Employee Benefits Security (1975B)	Consolidated into 17.232 (1973B)	17.216
Pension and Welfare Benefit Programs (1977B)	17.206	Manpower Development and Training (1965B)
Number changed to 17.150 (1984U)	Bonding Assistance (1969B)	Manpower Development and Training Act_On-the-Job Training Program (1970B)
17.104	Employment Bonding Assistance (1970B)	Number changed to 17.227 (1970U)
Office of Construction Industry Services (1979U1)	Archived (1970U)	17.217
Archived (1982B)	Reinstated (1971B)	Manpower Experimental and Demonstration Projects (1969B)
17.130	Federal Bonding Program (1971B)	Consolidated into 17.233 (1973U)
Labor-Management Relations and Cooperative Programs (1984U)	Archived (1972U)	17.218
Number changed from 17.100 (1984U)	17.207	Manpower Research Programs (1965B)
Archived (1992U)	Employment Service_Industrial Services (1965B)	Manpower Research_Doctoral Dissertation Grants (1970B)
17.140	Employment Services_Grants to States (1970B)	Employment and Training Research_Doctoral Dissertation Grants (1976B)
Labor Organization Reports (1984U)	Employment Service (1972B)	Doctoral Dissertation and Small Grant Research Projects Programs (1977B)
Number changed from 17.101 (1984B)	Employment Service/Wagner-Peyser Funded Activities (2006)	Doctoral Dissertation Program (1979U1)
Incorporated into 17.309 (2001U)	17.208	Archived (1980U1)
17.150	Exemplary Rehabilitation Certificates (1967B)	17.219
Welfare and Pension Plan Reports (1984U)	Number changed to 17.800 (1982B)	Manpower Research_Institutional Grants (1970B)
Number changed from 17.103 (1984U)	17.209	Manpower Institutional Grants (1974B)
Employee Benefits Security Administration (2003B)	Farm Labor Contractor Registration (1965B)	Employment and Training Institutional Grants (1976B)
17.151	Number changed to 17.308 (1972U)	Institutional Grant Program (1978B)
Employee Benefits Security Administration (EBSA) (2009)	17.210	Archived (1982B)
17.200	Job Bank (1970B)	17.220
Apprenticeship Outreach (1970B)	Computerized Job Placement (1972B)	Manpower Research and Evaluation (1970B)
Consolidated into 17.243 (1979B)	Incorporated into 17.207 (1973B)	Manpower Research_Contracts (1971B)
17.201	17.211	Manpower Research_Projects (1973B)
Apprenticeship and Training (1965B)	Job Corps (1970B)	Consolidated into 17.233 (1973U)
Apprenticeship Training (1970B)	Transferred from Office of Economic Opportunity	
Registered Apprenticeship Training (1997U)		
Registered Apprenticeship and Other Training		

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17.221 Manpower_Research_Project Grants (1970B) Manpower_Research_Small Grant Projects (1973B) Manpower_Research_Small Grant Research Projects (1975B) Employment and Training_Research_Small Grant Research Projects (1976B) Incorporated into 17.218 (1977B)	Number changed to 17.247 (1983U)	17.243 Special Programs and Activities for the Disadvantaged (1979B) Consolidated from 17.200, 17.228 (1979B) Special National Programs and Activities for the Disadvantaged (1980U2) Special National Lever Programs (1983B) Number changed to 17.249 (1983U)
17.222 Neighborhood Youth Corps (1965B) Consolidated into 17.232 (1973B)	17.231 Technology Mobilization and Reemployment (1972B) Archived (1973B)	17.244 Disabled Veterans Outreach Program (1981B) Number changed to 17.801 (1982B)
17.223 Operation Mainstream (1967B) National Older Workers Program_Operation Mainstream (1975B) Incorporated into 17.235 (1975U)	17.232 Manpower Revenue Sharing (1973B) Consolidated from 17.204, 17.205, 17.222, 17.227 (1973B) Comprehensive Manpower Programs (1973U) Comprehensive Employment and Training Programs (1974B) Archived (1983U)	17.245 Trade Adjustment Assistance_Workers (1981U) Number changed from 17.400 (1981U) Trade Adjustment Assistance (2006)
17.224 Public Service Careers (1970B) Archived (1973U)	17.233 Manpower Research and Development Projects (1973U) Consolidated from 17.217, 17.220 (1973U) Employment and Training Research and Development Projects (1976B) Number changed to 17.248 (1983U)	17.246 Employment and Training Assistance_Dislocated Workers (1983B) Consolidated into 17.260 (2001B)
17.225 Unemployment Insurance_Grants to States (1965B) Unemployment Insurance (1979B)	17.234 Indian Manpower Programs (1975B) Indian Employment and Training Programs (1976B) Indian and Native American Employment and Training Programs (1977B) Employment and Training_Indians and Native Americans (1978B) Archived (1983U)	17.247 Migrant and Seasonal Farmworkers (1983U) Number changed from 17.230 (1983U)
17.226 Work Incentives Program (1969B) Work Incentive Program_Training and Allowances (1970B) Work Incentives Program_Training and Allowances (1973B) Work Incentives Program and Incentives (1974B) Work Incentives Program (1975B) Transferred to 13.646 (1978B)	17.235 Senior Community Service Employment Program (1975B)	17.248 Employment and Training Research and Development Projects (1983U) Number changed from 17.233 (1983U) Employment and Training Evaluation Projects (2000B) Consolidated into 17.261 (2001U)
17.227 Job Opportunities in the Business Sector_Low Support (1970U) Number changed from 17.216 (1970U) Job Opportunities in the Business Sector_Optional Program (1971B) Consolidated into 17.232 (1973B)	17.236 New Initiatives in Apprenticeship (1978B) Archived (1979B)	17.249 Employment Services and Job Training_Pilot and Demonstration Programs (1983U) Number changed from 17.243 (1983U) Employment Services and Job Training Pilots_Demonstrations and Research (2000B) Consolidated into 17.261, 17.263 (2001U)
17.228 National On-The-Job Training (1971B) Consolidated into 17.243 (1979B)	17.237 Youth Community Conservation Improvement Program (1978B) Incorporated into 17.232 (1979U1)	17.250 Job Training Partnership Act (1983U) Consolidated into 17.258, 17.259 (2001B)
17.229 Emergency Employment Assistance (1971U) Public Employment Program (1972B) Archived (1973B)	17.240 Youth Employment and Training (1978B) Consolidated into 17.232 (1979U1)	17.251 Native American Employment and Training Programs (1984U) Consolidated into 17.26 (2002B)
17.230 Migrant Workers (1972B) Transferred from (1972B) Farm Workers (1975B) Migrant and Seasonal Farm Workers (1977B) Migrant and Seasonal Farmworkers (1981U)	17.241 Youth Incentive Entitlement Pilot Projects (1978B) Archived (1981B)	17.252 Attestations by Employers Using Alien Crewmembers for Longshore Activities in U.S. Ports (1993B)
	17.242 Summer Programs for Economically Disadvantaged Youth (1978B) Consolidated into 17.232 (1979U1)	17.253 Welfare-to-Work Grants to States and Localities (1998B)

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17.254 Welfare-to-Work Grants to Federally Recognized Tribes and Alaska Natives (1998U)	(2004) Incentive Grants - WIA Section 503 (2006)	17.282 Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants (2010)
17.255 Workforce Investment Act (1999B) Consolidated into 17.258, 17.259 (2001B)	17.268 H-1B High Growth Job Training Grants (2006) H-1B Job Training Grants (2007)	17.300 Age Discrimination in Employment (1969B) Transferred to 30.008 (1979U1)
17.257 One-Stop Career Initiative (1999B) Deleted (2004) Reinstated (2004) Deleted (2005) Reinstated (2005) Deleted (2006)	17.269 Community Based Job Training Grants (2006)	17.301 Equal Employment Opportunity by Federal Contractors (1969B) Equal Employment Opportunity by Federal Contractors and Federally Assisted Construction Contractors (1980B) Non-Discrimination and Affirmative Action by Federal Contractors and Federally Assisted Construction Contractors (1983U)
17.258 WIA Adult Program Consolidated from 17.250, 17.255 (2001B)	17.270 Prisoner Reentry (2006) Reintegration of Ex-Offenders (2008)	17.302 Workmen's Compensation for Federal Employees and Others (1969B) Longshoremen's and Harbor Workers' Compensation (1970B) Longshore and Harbor Workers' Compensation (1984U)
17.259 WIA Youth Activities Consolidated from 17.250, 17.255 (2001B)	17.271 Work Opportunity Tax Credit Program (WOTC) and Welfare-to-Work Tax Credit (WtWTC) (2006) Work Opportunity Tax Credit Program (WOTC) (2007)	17.303 Federal Minimum Wage and Hour Standards (1967B) Minimum Wage and Hour Standards (1969B) Split into 17.303, 30.010 (1979U2) Wage and Hour Standards (1996B)
17.260 WIA Dislocated Workers Consolidated from 17.246 (2001B)	17.272 Permanent Labor Certification for Foreign Workers (2006)	17.304 Labor Standards (1965B) Safety and Labor Standards (1969B) Workers Safety and Labor Standards (1970B) Number changed to 17.307A (1971B)
17.261 Employment and Training Administration Pilots, Demonstrations, and Research Projects Consolidated from 17.248, 17.249 (2001B) WIA Pilots, Demonstrations, and Research Projects (2006)	17.273 Temporary Labor Certification for Foreign Workers (2006)	17.305 Women_Special Programs and Assistance (1967B) Women's Special Employment Assistance (1970B) Number changed to 17.700 (1978B)
17.262 Employment and Training Administration Evaluations (2001B)	17.274 YOUTHBUILD (2007) Number changed from 14.243 (2007)	17.306 Consumer Credit Protection (1970U)
17.263 Youth Opportunity Grants Consolidated from 17.249 (2001B) Deleted (2006)	17.275 PROGRAM OF COMPETITIVE GRANTS FOR WORKER TRAINING AND PLACEMENT IN HIGH GROWTH AND EMERGING INDUSTRY SECTORS (2009)	17.307 Coal Mine Workers' Compensation (2004)
17.264 Migrant and Seasonal Farmworkers (2002B) Consolidated from 17.247 (2002B) National Farmworker Jobs Program (2006)	17.276 Health Coverage Tax Credit (HCTC) (2009)	17.307A 6Occupational Safety and Health (1971B) Number changed from 17.304 (1971B) Number changed to 17.500 (1971U)
17.265 Native American Employment and Training (2002B) Consolidated from 17.251 (2002B)	17.277 Workforce Investment Act (WIA) National Emergency Grants (2009)	17.307B 6Coal Mine Workers' Compensation (1973B)
17.266 Work Incentives Grant (2002B) Work Incentive Grants (2006)	17.278 WIA Dislocated Worker Formula Grants (2009)	17.308 Farm Labor Contractor Registration (1972U)
17.267 WIA Incentive Grants_Section 503 Grants to States	17.279 Green Jobs Innovation Fund Grants (2010)	
	17.280 Workforce Investment Act (WIA) Dislocated Worker National Reserve Demonstration Grants (2010)	
	17.281 Workforce Investment Act (WIA) Dislocated Worker National Reserve Technical Assistance and Training (2010)	

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Number changed from 17.209 (1972U)

17.309

Labor Organization Reports (1999B)
Incorporated from 17.140 (2001U)

17.310

Energy Employees Occupational Illness
Compensation (2006)

17.311

Federal Transit Employee Protection Certifications:
Office of Labor-Management Standards (2009)

17.400

Trade Adjustment Assistance_Workers (1971B)
Transferred from 61.003 (1971B)
Number changed to 17.245 (1981U)

17.500

Occupational Safety and Health (1971U)
Number changed from 17.307A (1971U)
Number changed to 17.504 (1995B)

17.501

Employee Assistance Programs_Drug, Alcohol
Abuse (1990B)
Archived (1991U)

17.502

Occupational Safety and Health_Training and
Education (1991U)
Occupational Safety and Health_Susan Harwood
Training Grants (1999B)

17.503

Occupational Safety and Health_State Program
(1994B)

17.504

Consultation Agreements
Number changed from 17.500 (1995B)

17.505

OSHA Data Initiative (2003B)

17.600

Mine Health and Safety Grants (1978B)
Transferred from 15.350 (1978B)

17.601

Mine Health and Safety Counseling and Technical
Assistance (1978B)
Transferred from 15.351 (1978B)

17.602

Mine Health and Safety Education and Training
(1978B)
Transferred from 15.352 (1978B)

17.603

Brookwood-Sago Grant (2007)

17.604

Safety and Health Grants (2008)

17.700

Women's Special Employment Assistance (1978B)
Number changed from 17.305 (1978B)
Women's Bureau (2003B)

17.720

Employment Programs for People with Disabilities
(2002B)
Transferred from 53.001 (2002B)
Disability Employment Policy Development (2005)
Disability Employment Policy Development (2005)

17.800

Exemplary Rehabilitation Certificates (1982B)
Number changed from 17.208 (1982B)
Archived (1983B)

17.801

Disabled Veterans Outreach Program (1982B)
Number changed from 17.244 (1982B)
Disabled Veterans Outreach Program (DVOP)
(1992B)
Disabled Veterans' Outreach Program (DVOP)
(1995B)

17.802

Veterans Employment Program (1984B)
Veterans' Employment Program (1995B)

17.803

Reemployment Rights for Veterans (1984B)
Number changed from 17.102 (1984B)
Veterans' Employment and Reemployment Rights
(1995B)
Uniformed Services Employment and
Reemployment Rights (1996B)

17.804

Local Veterans Employment Representative
Program (1984B)
Local Veterans' Employment Representative
Program (1995B)

17.805

Homeless Veterans Reintegration Project (1991U)
Archived (1996B)
Reinstated (1998B)

17.806

Veteran's Preference in Federal Employment
(2000B)

U.S. POSTAL SERVICE

Lease Construction Program (1967B)

Post Office Lease Construction (1969B)
Archived (1970B)

18.001

Postal Academy Program (1970B)
Archived (1972U)

U.S. DEPARTMENT OF STATE

19.001

Foreign Currency Loans (1970B)
Transferred to 70.001 (1971B)

19.002

Foreign Housing Investment Guaranties (1970B)
Consolidated into 19.008 (1970U)

19.003

Foreign Housing Investment Guaranty
Program_Latin America (1970B)
Consolidated into 19.008 (1970U)

19.004

Foreign Investment Guaranties (1970B)
Transferred to 70.002 (1971B)

19.005

Foreign Investment Insurance (1970B)
Transferred to 70.003 (1971B)

19.006

Institutional Centers to Aid Foreign Development
(1969B)
Archived (1977U)

19.007

Technology Applications for Less-Developed
Countries (1969B)
Archived (1978B)

19.008

Foreign Housing Investment Guaranty_Worldwide
(1970U)
Consolidated from 19.002, 19.003 (1970U)
Housing Investment Guaranty_Worldwide (1971B)
Housing Guaranty_Worldwide (1973U)
Archived (1978B)

19.009

Academic Exchange Programs - Undergraduate
Programs (2009)

19.012

Professional and Cultural Exchange Programs -
Special Professional and Cultural Programs (2009)

19.013

Thomas R. Pickering Foreign Affairs Fellowship
Program (2009)

19.014

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One-Time International Exchange Grant Program (2009)

19.015

Cultural, Technical and Educational Centers (2009)

19.016

Iraq Assistance Programs (2009)

19.017

Environmental and Scientific Partnerships and Programs (2009)

19.018

Overseas Processing Entities (OPEs) for U.S. Refugee Resettlement (2009)

19.019

International Programs to Combat Human Trafficking (2009)

19.020

Charles B. Rangel International Affairs Program (2010)

19.021

Investing in People in The Middle East and North Africa (2010)

19.022

Educational and Cultural Exchange Programs Appropriation Overseas Grants (2010)

19.025

U.S. Ambassadors Fund for Cultural Preservation (2010)

19.100

Cultural Presentations_Foreign Tours (1969B)
Split into 19.100, 19.102 (1970B)
Archived (1971B)

19.101

Exchange of Persons_Academic Grants (1969B)
Educational Exchange_Graduate Students (1970B)
Transferred to 82.001 (1978B)

19.102

Educational Exchange_University Lecturers (Professors) and Research Scholars (1970B)
Split from 19.100 (1970B)
Transferred to 82.002 (1978B)

19.200

Claims Against Foreign Governments (1970B)

19.201

Protection of Ships from Foreign Seizure (1970B)

19.202

Special Domestic Assignments (1981B)

19.203

Claims Against Foreign Missions (1984B)
Archived (1990B)

19.204

Fishermen's Guranty Fund
Number changed from 11.410 (1987B)

19.300

Russian, Eurasian, and East European Research and Training (1992B)
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union (1995U)

19.345

International Programs to Support Democracy, Human Rights and Labor (2009)

19.400

Educational Exchange_Graduate Students
Number changed from 82.001 (2000B)
Academic Exchange Programs - Graduate Students (2009)

19.401

Educational Exchange_University Lecturers (Professors) and Research Scholars
Number changed from 82.002 (2000B)
Academic Exchange Programs - Scholars (2009)

19.402

International Visitors Program
Number changed from 82.004 (2000B)
Professional and Cultural Exchange Programs - International Visitor Leadership Program (2009)

19.403

American Council of Young Political Leaders
Number changed from 82.006 (2000B)
Archived (2009)

19.404

Professional Development_International Educators/Administrators
Number changed from 82.009 (2000B)
Deleted (2004)
Consolidated into 19.424 (2004)

19.405

College and University Partnerships Program
Number changed from 82.010 (2000B)
Educational Partnerships Program (2003B)
Deleted (2004)
Consolidated into 19.424 (2004)

19.406

College and University Affiliations Program
Number changed from 82.011 (2000B)
Educational Partnerships Program (2001U)

Consolidated into 19.42 (2002B)

19.407

Teacher Exchange_New Independent States (NIS)
Number changed from 82.012 (2000B)
Deleted (2005)

19.408

Educational Exchange_Teachers from Secondary and Postsecondary Levels
Number changed from 82.013 (2000B)
Educational Exchange_Teachers from Secondary and Postsecondary Levels and School Administrators (2001B)
Academic Exchange Programs - Teachers (2009)

19.409

Creative Arts Grants
Number changed from 82.015 (2000B)
Arts Exchanges on International Issues (2005)
Arts Exchanges on International Issues (2005)
Archived (2009)

19.410

Educational Exchange_Congress-Bundestag Youth Exchange
Number changed from 82.016 (2000B)
Archived (2009)

19.411

Educational Exchange_NIS Secondary School Initiative
Number changed from 82.018 (2000B)
Deleted (2004)

19.412

Educational Exchange_Postgraduates and Faculty
Number changed from 82.026 (2000B)
Archived (2002B)

19.413

Cultural Exchange (Performing Arts)
Number changed from 82.030 (2000B)
Deleted (2006)

19.414

Cultural Exchange (Visual Arts)
Number changed from 82.032 (2000B)
Deleted (2005)

19.415

Professional Exchange_Annual Open Grant
Number changed from 82.033 (2000B)
Professional Exchanges_Annual Open Grant (2001B)
Professional and Cultural Exchange Programs - Citizen Exchanges (2009)

19.416

Exchange_English Teaching Fellows

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Number changed from 82.034 (2000B)
Consolidated into 19.421 (2001B)

19.417

Exchange_English as a Foreign Language (EFL)
Fellows
Number changed from 82.035 (2000B)
Consolidated into 19.421 (2001B)

19.418

Educational Exchange_Fulbright American Studies
Institutes
Number changed from 82.038 (2000B)
Educational Exchange, American Studies Institute
(2006)
Archived (2009)

19.419

Professional Development_Teacher Training
(2000B)
Deleted (2004)

19.420

Cooperative Grants (2000B)
Deleted (2004)

19.421

Exchange_English Language Fellow Program
Consolidated from 19.416, 19.417 (2001B)
Academic Exchange Programs - English Language
Programs (2009)

19.422

Educational Exchange_Teaching Excellence
Awards Program (2001B)
Deleted (2004)

19.423

Exchange_English Language Specialist/Speaker
Program (2001B)
Archived (2009)

19.424

Educational Partnerships Program (2002B)
Consolidated from 19.406 (2002B)
Deleted (2005)

19.425

Benjamin Gilman International Scholarship
(2002B)
Archived (2009)

19.430

International Education Training and Research
(2008)
Archived (2009)

19.431

Educational Exchange_Scholar-in-Residence (U.S.
Institutions of Higher Education Host Lecturing

Faculty From Abroad) (2005)
Archived (2009)

19.432

Overseas Educational Advising (2005)
Academic Exchange Programs - Educational
Advising and Student Services (2009)

19.500

Middle East Partnership Initiative (MEPI) (2004)

19.501

Public Diplomacy Programs for Afghanistan and
Pakistan (2009)

19.510

U.S. Refugee Admissions Program (2004)

19.511

Overseas Refugee Assistance Programs for East
Asia (2005)

19.517

Overseas Refugee Assistance Programs for Africa
(2005)

19.518

Overseas Refugee Assistance Programs for Western
Hemisphere (2005)

19.519

Overseas Refugee Assistance Program for Near
East and South Asia (2005)

19.520

Overseas Refugee Assistance Programs for Europe
(2005)

19.522

Overseas Refugee Assistance Programs for
Strategic Global Priorities (2005)

DEPARTMENT OF TRANSPORTATION

Coast Guard Marine, Harbor, and Waterfront
Services (1967B)
Marine, Harbor, and Waterfront Services (1969B)
Archived (1970B)

Development Highways and Access Roads for the
Appalachian Region (1967B)
Archived (1969B)

Urban Mass Transportation Capital Assistance
(1969B)
Incorporated into 20.500, 20.501 (1970B)

20.001

Boating Safety (1970B)
Transferred to 97.011 (2003B)

20.002

Coast Guard Cooperative Marine Sciences Program
(1970B)
Archived (1986B)

20.003

Student Shipboard Opportunities Program (1970B)
Incorporated into 20.002 (1971B)

20.004

Boating Safety_Financial Assistance (1972U)
Archived (1980B)

20.005

Boating Safety Financial Assistance (1983U)
Transferred to 97.012 (2003B)

20.006

State Access to the Oil Spill Liability Trust Fund
(1993B)
Transferred to 97.013 (2003B)

20.007

Bridge Alteration (1993U)
Transferred to 97.014 (2003B)

20.008

E-911 Grant Program (2009)

20.100

Aviation Education (1969B)
Archived (2009)

20.101

Airport Development Program (1965B)
Airport Development (1969B)
Federal-Aid Airport Program (1970B)
Number changed to 20.102 (1970U)

20.102

Airport Development Aid Program (1970U)
Number changed from 20.101 (1970U)
Archived (1983B)

20.103

Airport Planning Grant Program (1971B)
Archived (1983B)

20.104

Aviation War Risk Insurance (1971B)
Archived (1977U)

20.105

Loan Guarantees for Purchase of Aircraft and Spare
Parts (1980B)
Archived (1983U)

20.106

Airport Improvement Program (1983B)

20.107

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Airway Science (1987B)

20.108

Aviation Research Grants (1993B)

20.109

Air Transportation Centers of Excellence (1993B)

20.200

Federal-Aid Highways_Emergency Relief (1970B)
Incorporated into 20.205 (1974B)

20.201

Forest Highways (1970B)
Incorporated into 20.205 (1974B)

20.202

Highway Beautification (1967B)
Highway Beautification_Control of Junkyards (1970B)
Transferred from the Department of Commerce (1970B)
Split into 20.202, 20.203, 20.204 (1970B)
Consolidated into 20.214 (1974B)

20.203

Highway Beautification_Control of Outdoor Advertising (1970B)
Split from 20.202 (1970B)
Consolidated into 20.214 (1974B)

20.204

Highway Beautification_Landscaping and Scenic Enhancement (1970B)
Split from 20.202 (1970B)
Consolidated into 20.214 (1974B)

20.205

Highway Planning and Construction (1967B)
Transferred from the Department of Commerce (1970B)
Highway Research, Planning, and Construction (1972B)
Highway Planning and Construction (1981U)
Revised (1998U)

20.206

Highway Studies (1967B)
Highway Planning and Research Studies (1970B)
Highway Planning and Research (1971B)
Incorporated into 20.205 (1972B)

20.207

National Highway Safety Program (1965B)
Split into 20.207, 20.208, 20.210 (1970B)
Highway Safety Demonstration Projects (1970B)
Consolidated into 20.600 (1971B)

20.208

Highway Safety Research Fellowships (1970B)

Split from 20.207 (1970B)
Consolidated into 20.600 (1971B)

20.209

Public Lands Highways (1970B)
Incorporated into 20.205 (1974B)

20.210

State and Community Highway Safety (1970B)
Split from 20.207 (1970B)
Consolidated into 20.600 (1971B)

20.211

Traffic Operations Program to Increase Capacity and Safety (1970B)
Incorporated into 20.205 (1972B)

20.212

Economic Growth Centers and Development Highway Demonstration Projects (1971U)
Economic Growth Centers Development_Highways (1972B)
Archived (1972U)

20.213

Special Bridge Replacement (1971U)
Federal-Aid Highways_Special Bridge Replacement (1973B)
Incorporated into 20.205 (1974B)

20.214

Highway Beautification_Control of Outdoor Advertising, Control of Junkyards, Landscaping and Scenic Enhancement (1974B)
Consolidated from 20.202, 20.203, 20.204 (1974B)
Highway Beautification_Control of Outdoor Advertising, and Control of Junkyards (1977B)
Archived (1989B)

20.215

Highway Training and Education (1980B)

20.216

Highway Educational Grants (1980B)
Archived (1985U)

20.217

Motor Carrier Safety (1980B)

20.218

Motor Carrier Safety Assistance Program (1983U)
National Motor Carrier Safety (1999B)

20.219

National Recreational Trails Program (1993U)
National Recreational Trails Funding Program (1995B)
Recreational Trails Program, Revised (1998U)

20.222

Highways for LIFE (2006)

20.223

Transportation Infrastructure Finance and Innovation Act (TIFIA) Program (2007)

20.230

Crash Data Improvement Program (2005)

20.231

Performance and Registration Information Systems Management (2006)

20.232

Commercial Driver License State Programs (2005)
Commercial Driver's License Program Improvement Grant (2010)

20.233

Border Enforcement Grants (2005)

20.234

Safety Data Improvement Program (2006)

20.235

Commercial Motor Vehicle Operator Training Grants (2006)

20.236

Thermal Imaging Inspection System Demonstration Project (2006)
Archived (2009)

20.237

Commercial Vehicle Information Systems and Networks (2006)
Safety Data Improvement Program (2008)
Commercial Vehicle Information Systems and Networks (2009)

20.238

Commercial Drivers License Information System (2007)
Commercial Drivers License Information System (CDLIS) Modernization Grant (2010)

20.239

Motor Carrier Research and Technology Programs (2009)

20.240

Fuel Tax Evasion-Intergovernmental Enforcement Effort (2006)

20.241

Federal Motor Carrier Safety Administration National Training Center (2009)

20.242

Medical Programs (2010)

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20.300 High-Speed Ground Transportation (1967B) Transferred from the Department of Commerce (1967B) High-Speed Ground Transportation Research and Development (1970B) Consolidated into 20.304 (1974U)	Archived (1986B)	Urban Mass Transportation Capital Improvement Loans (1970B) Archived (1981B)
20.301 Railroad Safety (1971B)	20.311 Railroad Labor/Management Cooperation Program (1987U) Archived (1988B)	20.502 Urban Mass Transportation Grants for University Research and Training (1970B) Incorporated into 20.512 (1984B) Federal Transit Grants for University Research and Training (1992B) Archived (2000B) Reinstated (2000U) Deleted (2005)
20.302 Railroad Research (1973B) Consolidated into 20.304 (1974U)	20.312 High Speed Ground Transportation (1995B) High Speed Ground Transportation_Next Generation High Speed Rail Program (1998B) Archived (2009)	20.503 Urban Mass Transportation Managerial Training Grants (1969B) Urban Mass Transportation Managerial Training (1970B) Urban Mass Transportation Managerial Training Grants (1971B) Federal Transit Managerial Training Grants (1992B) Archived (2000B) Reinstated (2000U) Deleted (2005)
20.303 Grants-in-Aid for Railroad Safety (1974U) Grants-in-Aid for Railroad Safety_State Participation (1975B) Archived (2009)	20.313 Railroad Research and Development (2000U)	20.504 Urban Mass Transportation Research, Development, and Demonstrations (1969B) Urban Mass Transportation Research, Development, and Demonstration Grants (1971B) Mass Transportation Technology (1974U) Split into 20.504, 20.506 (1974U) Consolidated into 20.512 (1984B)
20.304 Railroad Research and Development (1974U) Consolidated from 20.300, 20.302 (1974U) Archived (1977U)	20.314 Railroad Development (2006)	20.505 Urban Mass Transportation Technical Studies Grants (1969B) Federal Transit Technical Studies Grants (1992B) Federal Transit_Metropolitan Planning Grants (1999B) Metropolitan Transportation Planning (2010)
20.305 Local Rail Service Continuation Payments (1976B) Local Rail Service Continuation Payments_Regional Program (1977B) Incorporated into 20.308 (1978B)	20.315 National Railroad Passenger Corporation Grants (2006)	20.506 Urban Mass Transportation Demonstration Grants (1974U) Split from 20.504 (1974U) Consolidated into 20.512 (1984B)
20.306 Rail Property Acquisition and Modernization Grant Assistance (1976B) Incorporated into 20.305 (1977B)	20.316 Railroad Rehabilitation and Improvement Financing Program (2007)	20.507 Urban Mass Transportation Capital and Operating Assistance Formula Grants (1975B) Federal Transit Capital and Operating Assistance Formula Grants (1992B) Federal Transit_Formula Grants (1999B)
20.307 Transportation Test Center (1975U) Archived (1983B)	20.317 Capital Assistance to States - Intercity Passenger Rail Service (2008)	20.508 Urban Mass Transportation Rail Passenger Service Assistance (1977B)
20.308 Local Rail Service Assistance_National Program (1977B) Local Rail Service Assistance (1978U) Local Rail Freight Assistance (1991U) Archived (2000B)	20.318 Maglev Project Selection Program - SAFETEA-LU (2008)	
20.309 Railroad Rehabilitation and Improvement_Guarantee of Obligations (1977B) Archived (1987B)	20.319 High-Speed Rail Corridors and Intercity Passenger Rail Service Capital Assistance Grants (2009)	
20.310 Railroad Rehabilitation and Improvement_Redeemable Preference Shares (1977B) Railroad Rehabilitation and Improvement_Redeemable Preference Shares or Notes (1983U)	20.320 Rail Line Relocation and Improvement (2009)	
	20.321 RAILROAD SAFETY TECHNOLOGY GRANTS (2010)	
	20.400 Transportation Planning Information_Environment and Urban Systems (1970B) Archived (1971B) Reinstated (1971U) Archived (1972B)	

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Archived (1979B)

20.509

Public Transportation for Rural and Small Urban Areas (1979U2)

Public Transportation for Nonurbanized Areas (1980U1)

Formula Grants for Other Than Urbanized Areas (1999B)

20.510

Urban Mass Transportation Planning Methods Research and Development (1980B)

Consolidated into 20.512 (1984B)

20.511

Human Resource Program (1984B)

20.512

Urban Mass Transportation Technical Assistance (1984B)

Consolidated from 20.504, 20.506, 20.510 (1984B)

Federal Transit Technical Assistance (1992B)

Deleted (2005)

20.513

Capital Assistance Program for Elderly and Handicapped Persons (1987U)

Capital Assistance Program for Elderly Persons and Persons with Disabilities (1992B)

20.514

Transit Planning and Research (1993B)

Public Transportation Research (2006)

20.515

State Planning and Research (1994B)

Split from 20.514 (1994B)

20.516

Job Access_Reverse Commute (2000U)

20.518

Capital and Training Assistance Program for Over-the-Road Bus Accessibility (2000U)

20.519

Clean Fuels (2006)

20.520

Paul S. Sarbanes Transit in the Parks (2009)

20.521

New Freedom Program (2006)

20.522

Alternatives Analysis (2006)

20.523

CAPITAL ASSISTANCE PROGRAM FOR REDUCING ENERGY CONSUMPTION AND

GREENHOUSE GAS EMISSIONS (2009)

20.600

State and Community Highway Safety (1971B)

Consolidated from 20.207, 20.208, 20.210 (1971B)

20.601

Alcohol Traffic Safety and Drunk Driving Prevention Incentive Grants (1991U)

Alcohol Impaired Driving Countermeasures Incentive Grants I (2009)

20.602

Motorcycle Helmets and Safety Belt Incentive Grants (1992B)

Archived (1995B)

Occupant Protection, Reinstated (2000U)

Occupant Protection Incentive Grants (2009)

20.603

Federal Highway Safety Data Improvements Incentive Grants (2000U)

20.604

Safety Incentive Grants for Use of Seatbelts (2000U)

20.605

Safety Incentives to Prevent Operation of Motor Vehicles by Intoxicated Persons (2000U)

Archived (2009)

20.607

Alcohol Open Container Requirements (2004)

20.608

Minimum Penalties for Repeat Offenders for Driving While Intoxicated (2004)

20.609

Safety Belt Performance Grants (2006)

20.610

State Traffic Safety Information System Improvement Grants (2008)

20.611

Incentive Grant Program to Prohibit Racial Profiling (2006)

20.612

Incentive Grant Program to Increase Motorcyclist Safety (2006)

20.613

Child Safety and Child Booster Seats Incentive Grants (2006)

20.614

National Highway Transportation Safety Administration (NHTSA) Discretionary Safety

Grants (2006)

National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants (2010)

20.615

E-911 Grant Program (2009)

Archived (2010)

20.700

Gas Pipeline Safety (1971U)

Pipeline Safety (1987B)

Pipeline Safety Program Base Grants (2008)

20.701

University Transportation Centers Program (1992B)

Number changed from 20.902 (1992B)

20.702

University Research Institutes Program (1993B)

Archived (1999B)

20.703

Interagency Hazardous Materials Public Sector Training and Planning Grants (1993B)

20.704

RITA Hydrogen (2006)

Archived (2010)

20.710

Technical Assistance Grants (2009)

20.714

National Pipeline Mapping System (2000U)

20.720

State Damage Prevention Program Grants (2007)

20.721

PHMSA Pipeline Safety Program One Call Grant (2008)

20.722

Technology Development Grant (2009)

20.750

Program of University Research (1973U)

Archived (1975U)

20.760

University Transportation Centers (2006)

Archived (2009)

20.761

Biobased Transportation Research (2008)

20.762

Research Grants (2006)

Appendix VII: Historical Profile of Catalog Programs

20.763 Research and Innovative Technology (RIT) Hydrogen Alternative Fuel Life Cycle (2008) Archived (2010)	Transferred from 11.510 (1981U) Archived (2009)	Split into 20.908 (2000U) Deleted (2004) Consolidated into 20.907 (2006)
20.764 Hydrogen Storage Research and Development (2007)	20.811 Research and Development Assistance (1981U) Transferred from 11.511 (1981U) Archived (1988B)	20.907 Entrepreneurial Training and Technical Assistance Program Consolidated from 20.902 (1995B) Historically Black Colleges and Universities_Entrepreneurial Training and Technical Assistance (1999B) Split into 20.908 (2000U) Deleted (2006) Entrepreneurial Training and Technical Assistance Women and Girls Program (2010)
20.800 Construction_Differential Subsidies (1981U) Transferred from 11.500 (1981U) Archived (1990B)	20.812 Construction Reserve Fund (1981U) Transferred from 11.512 (1981U)	20.908 Tribal Colleges_Entrepreneurial Training and Technical Assistance Split from 20.906, 20.907 (2000U) Deleted (2004)
20.801 Development and Promotion of Ports and Intermodal Transportation (1981U) Transferred from 11.501 (1981U) Deleted (2008)	20.813 Maritime Security Fleet Program (1997B)	20.910 Assistance to small and disadvantaged businesses (2007)
20.802 Federal Ship Financing Guarantees (1981U) Transferred from 11.502 (1981U)	20.814 Assistance to Small Shipyards (2008)	20.920 Transportation Statistics Research Grants (2000U)
20.803 Maritime War Risk Insurance (1981U) Transferred from 11.503 (1981U) Archived (1985B)	20.815 Fireboat Construction (2008) Archived (2009)	20.930 Payments for Small community Air Service Development (2004)
20.804 Operating_Differential Subsidies (1981U) Transferred from 11.504 (1981U) Deleted (2004)	20.816 Americas Marine Highway Grants (2010)	20.931 Transportation Planning, Research and Education (2006) Archived (2009) Reinstated (2010)
20.805 Ship Sales (1981U) Transferred from 11.505 (1981U) Deleted (2005)	20.900 Air Transportation_Consumer Affairs (1985B) Transferred from 26.002 (1985B) Transportation_Consumer Affairs (1993U)	20.932 Surface Transportation _ Discretionary Grants for Capital Investment. (2009) Archived (2010)
20.806 State Marine Schools (1981U) Transferred from 11.506 (1981U) State Maritime Schools (2006)	20.901 Payments for Essential Air Services (1985B) Transferred from 26.003 (1985B)	20.933 Surface Transportation Infrastructure _ Discretionary Grants for Capital Investments II (2010)
20.807 U.S. Merchant Marine Academy (1981U) Transferred from 11.507 (1981U)	20.902 University Transportation Centers Program (1987U) Number changed to 20.701 (1992B) Student Training and Education Program (1993B) Consolidated into 20.907 (1995B)	64.129 Monthly Allowance for Certain Children of Vietnam Veterans (2004)
20.808 Capital Construction Fund (1981U) Transferred from 11.508 (1981U)	20.903 Support Mechanisms for Disadvantaged Businesses (1993B)	DEPARTMENT OF THE TREASURY Narcotics Law Enforcement Training (1967B) Archived (1969B)
20.809 Development and Promotion of Domestic Waterborne Transport Systems (1981U) Transferred from 11.509 (1981U) Archived (1985B)	20.904 Disadvantaged Business Enterprises_Bonding Assistance Program (1993U) Bonding Assistance Program (1998U) Deleted (2005)	21.001 Alcohol, Tobacco, and Firearms_Laboratory Analysis (1970B)
20.810 Supplementary Training (1981U)	20.905 Disadvantaged Business Enterprises_Short Term Lending Program (1993U)	
	20.906 Hispanic Serving Institutions_Student Training and Education (1994B) Hispanic Serving Institutions_Entrepreneurial Training and Technical Assistance (1998U)	

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Number changed to 21.051 (1972U)

21.002

Assistance in Law Enforcement (1967B)
Law Enforcement Training Assistance (1969B)
Alcohol, Tobacco, and Firearms_Training Assistance (1970B)
Number changed to 21.052 (1972U)

21.003

Taxpayer Assistance (1969B)
Tax Information and Training (1970B)
Tax Information and Education (1972B)
Taxpayer Service (1981B)

21.004

Federal Tax Information for State Tax Officials (1972B)
Incorporated from 21.005 (1987B)
Exchange of Federal Tax Information With State Tax Agencies (1987B)

21.005

State Tax Model (1973U)
Individual Tax Model (1978U)
Incorporated into 21.004 (1987B)

21.006

Tax Counseling for the Elderly (1980U1)

21.007

Availability of Tax Information to Certain Welfare Agencies (1987B)
Availability of Tax Information to Certain Agencies Administering Needs-Based Programs (1991B)
Archived (1999B)

21.008

Low-Income Taxpayer Clinics (1999B)
Low Income Taxpayer Clinics (2009)

21.009

Volunteer Income Tax Assistance (VITA) Matching Grant Program (2009)

21.010

Financial Education and Counseling Pilot Program (2009)

21.011

Capital Magnet Fund (2010)

21.012

Native Initiatives (2010)

21.013

Qualifying Therapeutic Discovery Project Credit (2010)

21.020

Community Development Financial Institutions Program (1998B)

21.021

Bank Enterprise Award Program (1998B)

21.051

Alcohol, Tobacco, and Firearms_Laboratory Analysis (1972U)
Number changed from 21.001 (1972U)
Archived (1990B)

21.052

Alcohol, Tobacco, and Firearms_Training Assistance (1972U)
Number changed from 21.002 (1972U)
Number changed to 16.012 (2004)

21.053

Gang Resistance Education and Training (2000B)
Number changed to 16.737 (2004)

21.100

Secret Service_Training Activities (1970B)
Transferred to 97.015 (2003B)

21.200

Antidumping_Countervailing Duties (1971B)
Antidumping Duties (1975B)
Split into 21.200, 21.201 (1975B)
Transferred to 11.106 (1980B)

21.201

Countervailing Duty on Imports (1975B)
Split from 21.200 (1975B)
Transferred to 11.107 (1980B)

21.300

State and Local Government Fiscal Assistance_General Revenue Sharing (1980B)
State and Local Government Fiscal Assistance_Revenue Sharing (1985B)
Archived (1987B)

ADVISORY COMMISSION ON INTERGOVERNMENTAL RELATIONS

22.001

Intergovernmental Relations Advisory Service (1967B)
Archived (1974B)

APPALACHIAN REGIONAL COMMISSION

Regional Economic Development Commissions (1969B)
Split into individual Commissions (1970B)

17.807

Transition Assistance Program (2004)

23.001

Appalachian Regional Development (1969B)
Split into 23.001, 23.002, 23.003, 23.004, 23.005, 23.006, 23.007, 23.008, 23.009, 23.010, 23.011, 23.012 (1970B)

23.002

Appalachia_Supplements to Federal Grant-in-Aid (1970B)
Split from 23.001 (1970B)
Appalachian Supplements to Federal Grant-in-Aid (Community Development) (1978B)
Appalachian Supplements to Federal Grant-in-Aid (Area Development), Consolidated from 23.004, 23.005, 23.012, 23.013 (1996B)
Appalachian Area Development (1999B)

23.003

Appalachian Development Highway System (1970B)
Split from 23.001 (1970B)

23.004

Appalachian Health Demonstrations (1970B)
Split from 23.001 (1970B)
Appalachian Health Programs (1976B)
Consolidated into 23.002 (1996B)

23.005

Appalachian Housing Fund (1970B)
Split from 23.001 (1970B)
Appalachian Housing Planning Loan (1975B)
Appalachian Housing Planning Loan Fund (1975U)
Appalachian Housing Project Planning Loan and Site Development and Off-Site Improvement Grant: State Appalachian Housing Programs (1977B)
Appalachian Housing Project Planning Loan, Technical Assistance Grant and Site Development and Off-Site Improvement Grant: State Appalachian Housing Programs (1979B)
Consolidated into 23.002 (1996B)

23.006

Appalachian Housing Technical Assistance (1970B)
Split from 23.001 (1970B)
Appalachian State Housing Technical Assistance (1975B)
Appalachian State Housing Technical Assistance Grants (1976U)
Incorporated into 23.005 (1979B)

23.007

Appalachian Land Stabilization, Conservation, and Erosion Control (1970B)
Split from 23.001 (1970B)
Archived (1970U)

23.008

Appalachian Local Access Roads (1970B)

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Split from 23.001 (1970B)
Incorporated into 23.002 (2006)

23.009

Appalachian Local Development District
Assistance (1970B)
Split from 23.001 (1970B)

23.010

Appalachian Mine Area Restoration (1970B)
Split from 23.001 (1970B)
Archived (1996B)

23.011

Appalachian State Research, Technical Assistance
and Demonstration Projects (1970B)
Split from 23.001 (1970B)
Appalachian State Research, Technical Assistance,
and Demonstration Projects (1971B)
Appalachian Research, Technical Assistance, and
Demonstration Projects (2005)
Appalachian Research, Technical Assistance, and
Demonstration Projects (2006)

23.012

Appalachian Vocational Education Facilities
(1970B)
Split from 23.001 (1970B)
Appalachian Vocational Education Facilities and
Operations (1972B)
Appalachian Vocational and Other Education
Facilities and Operations (1976B)
Consolidated into 23.002 (1996B)

23.013

Appalachian Child Development (1970U)
Consolidated into 23.002 (1996B)

23.014

Appalachian Housing Site Development (1972B)
Appalachian Housing Site Development and Off
Site Improvement Grants (1975B)
Incorporated into 23.005 (1977B)

23.016

Appalachian Vocational and Technical Education
Demonstration Grants (1972B)
Incorporated into 23.012 (1976B)

23.017

Appalachian Special Transportation Related
Planning, Research and Demonstration Program
(1975B)
Archived (1989B)

ATOMIC ENERGY COMMISSION

Construction of Nuclear Power Stations (1967B)
Archived (1970B)

Licensing of Nuclear Power Stations (1967B)

Archived (1970B)

Nuclear Education and Training (1967B)
Split into "Nuclear Education, Training" Programs
(1970B)

Research Support in the Biological, Medical, and
Health Sciences (1967B)
Archived (1970B)

Research Support in the Physical Sciences (1967B)
Archived (1970B)

Surveys and Evaluation of Environmental
Radiation Hazards (1967B)
Archived (1970B)

Training of State Personnel in AEC Regulatory
Functions (1967B)
Archived (1970B)

Transferred to Industry of AEC Generated
Technology (1967B)

Deleted (1970B)

24.001

Access Permits (1970B)
Transferred to 81.001 (1978B)

24.002

Atomic Energy Motion Picture Film Libraries
(1970B)
Nuclear Science and Technology_Motion Picture
Film Libraries (1974B)
Motion Picture Film Libraries (1975B)
Transferred to 81.002 (1978B)

24.003

Granting of Patent Licenses (1970B)
Transferred to 81.003 (1978B)

24.004

Nuclear Education and Training_Faculty Research
Participation (1970B)
Nuclear Education and Training_Faculty Research
Participation_Laboratory Cooperative Program
(1973U)
Nuclear Science and Technology_Faculty and
Student Participation_Laboratory Cooperative
Program (1974B)
Faculty and Student Participation_Laboratory
Cooperative Program (1975B)
Transferred to 81.004 (1978B)

24.005

Nuclear Education and Training_Faculty-Student
Conferences (1970B)
Archived (1973U)

24.006

Nuclear Education and Training_Faculty-Student
Experiments (1970B)
Consolidated into 24.032 (1973B)

24.007

Nuclear Education and Training_Faculty Training
Institutes (1970B)
Nuclear Science and Technology_Faculty Training
Institutes and Workshops (1974B)
Faculty Training Institutes, Short Courses, and
Workshops on Energy and Environmental Subjects
(1975B)
Transferred to 81.007 (1978B)

24.008

Nuclear Education and Training_Faculty
Workshops (1970B)
Consolidated into 24.032 (1973B)

24.009

Nuclear Education and Training_Honors Program
for Senior Science Majors (1970B)
Incorporated into 24.019 (1973B)

24.010

Nuclear Education and Training_Laboratory
Graduate Fellowships (1970B)
Nuclear Education and Training_Laboratory
Graduate Participants (1971B)
Nuclear Education and Training_Laboratory
Graduate Participants_Laboratory Cooperative
Program (1973U)
Incorporated into 24.004 (1974B)

24.011

Nuclear Education and Training_Loan of Material
to Nonprofit Institutions (1970B)
Nuclear Education and Training_Material for
Nonprofit Institutions (1972B)
Nuclear Science and Technology_Research Reactor
Materials Assistance (1974B)
Research Reactor and Materials Assistance (1975B)
Transferred to 81.011 (1978B)

24.012

Nuclear Education and Training_Medical
Radioisotope Course (1970B)
Archived (1973B)

24.013

Nuclear Education and Training_Mobile
Radioisotope Laboratory (1970B)
Archived (1974U)

24.014

Nuclear Education and Training_Nuclear Medical
Technology Course (1970B)
Archived (1973B)

24.015

Nuclear Education and Training_Radioisotope

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Techniques Training Courses (1970B)
Archived (1973B)

24.016

Nuclear Education and Training_Reactor Fuel
Cycle Assistance (1970B)
Incorporated into 24.011 (1974B)

24.017

Nuclear Education and Training_Special
Fellowships in Nuclear Science and Engineering
(1970B)
Archived (1973B)

24.018

Nuclear Education and Training_Special
Fellowships in Radiation Science and Protection
(1970B)
Nuclear Education and Training_Special
Fellowships in Radiation Protection (1971B)
Archived (1973B)

24.019

Nuclear Education and Training_Summer Student
Training (1970B)
Nuclear Education and Training_Student Research
Participation (1972B)
Nuclear Education and Training_Student Research
Participation_Laboratory Cooperative Program
(1973U)
Incorporated into 24.004 (1974B)

24.020

Nuclear Education and Training_Traineeships for
Graduate Students in Nuclear Engineering (1970B)
Archived (1973B)
Reinstated (1974B)
Nuclear Science and Technology_Traineeships for
Graduate Students in Nuclear Engineering,
Radiation Protection, and Environmental
Engineering (1974B)
Nuclear Science and Technology_Traineeships for
Graduate Students in Nuclear Engineering,
Radiation Protection, and Environmental Sciences
and Engineering (1974U)
Traineeships for Graduate Students in Nuclear
Engineering, Radiation Protection, and
Environmental Sciences and Engineering (1975B)
Traineeships for Graduate Students in Engineering
Studies Related to Energy Conversion, Health and
Safety, and Environmental Sciences and
Engineering (1976B)
Transferred to 81.020 (1978B)

24.021

Nuclear Education and Training_University
Reactor Sharing (1970B)
Incorporated into 24.011 (1974B)

24.022

Nuclear Education and Training_Used

Nuclear-Type Equipment Grants (1970B)
Nuclear Science and Technology_Used
Nuclear-Type Equipment Grants (1974B)
Used Nuclear-Type Equipment Grants (1975B)
Transferred to 81.022 (1978B)

24.023

Nuclear Science Information (1967B)
Nuclear Science and Technology_Literature and
Information Services (1970B)
Nuclear Science and Technology_Literature,
Exhibits, and Other Information Services (1974B)
Information Services_Exhibits, Publications,
Reference, and Analysis (1975B)
Information Services_Exhibits, Public Speakers,
Publications, Reference, and Analysis (1975U)
Transferred to 81.023 (1978B)

24.024

Nuclear Science and Technology_Research and
Development (1969B)
Research and Development in Nuclear, Solar,
Geothermal, and Advanced Energy Systems, and
Physical, Biomedical, and Environmental Sciences
(1975B)
Split into 24.024, 24.035 (1975B)
Transferred/Incorporated into 81.037, 81.046,
81.049 (1978B)

24.025

Nuclear Science Lecture Demonstration for
Secondary Schools (1970B)
Archived (1973B)

24.026

Payments in Lieu of Property Taxes (1970B)
Transferred to 81.026 (1978B)

24.027

Radiation Control Training Assistance (1969B)
Radiation Control_Training Assistance and
Advisory Counseling (1970B)
Transferred to 77.001 (1975B)

24.028

Radiological Safety Assistance (1967B)
Radiological Emergency Assistance (1971B)
Transferred to 81.028 (1978B)

24.029

Uranium Industry Workshops (1970B)
Nuclear Industry Seminars (1972U)
Transferred to 81.029 (1978B)

24.030

Work Experience (1970B)
Transferred to 81.030 (1978B)

24.031

Nuclear Materials Safeguards Training (1970U)

Incorporated into 24.007 (1973B)

24.032

Nuclear Education and Training_Instructional
Activities (1973B)
Consolidated from 24.006, 24.008 (1973B)
Archived (1973U)

24.033

Nuclear Science and Technology_Citizens'
Workshops (1974B)
Citizens' Workshops (1975B)
Transferred to 81.033 (1978B)

24.034

Research and Development in Fossil Energy
(1975B)
Transferred from 15.307 (1975B)
Transferred/Split into 81.037, 81.046 (1978B)

24.035

Research and Development in Energy Conservation
(1975B)
Split from 24.024 (1975B)
Transferred to 81.035 (1978B)

24.036

Energy-Related Inventions (1976B)
Transferred to 81.036 (1978B)

CABINET COMMITTEE ON OPPORTUNITIES FOR SPANISH-SPEAKING PEOPLE

25.001

Spanish Surnamed Communities Assistance
(1969B)
Spanish Surnamed Americans Assistance (1970B)
Spanish-Speaking Americans Assistance (1970U)
Archived (1975B)

CIVIL AERONAUTICS BOARD

Air Transport_Community and Consumer Services
(1969B)
Archived (1970B)

26.001

Air Carrier Payments (1970B)
Archived (1981B)

26.002

Air Transportation_Consumer Complaints (1970U)
Air Transportation_Consumer Affairs (1972B)
Transferred to 20.900 (1985B)

26.003

Payments for Essential Air Services (1979B)
Transferred to 20.901 (1985B)

26.004

Public Participation (1979B)

Appendix VII: Historical Profile of Catalog Programs

Archived (1980B)

OFFICE OF PERSONNEL MANAGEMENT

27.001

Opportunities for Federal Civil Service
Employment (1967B)
Federal Civil Service Employment (1970B)

27.002

Employment Assistance for Veterans (1969B)
Federal Employment Assistance for Veterans
(1970B)

27.003

Stay in School Campaign_Youth Opportunity
(1967B)
Youth Employment (1969B)
Federal Employment for Disadvantaged
Youth_Part-Time (1970B)
Consolidated from 27.004 (1995B)
Federal Student Temporary Employment Program
(1996B)

27.004

Youth Summer Employment (1969B)
Federal Employment for Disadvantaged
Youth_Summer (1970B)
Consolidated into 27.003 (1995B)

27.005

Federal Employment for the Handicapped (1970B)

27.006

Federal Summer Employment (1970B)

27.007

Personnel Management Assistance to State and
Local Governments (1970B)
Archived (1971U)

27.008

Recruiting and Staffing Assistance to State and
Local Governments (1970B)
Intergovernmental Cooperation in Recruiting and
Examining (1971U)
Archived (1984U)

27.009

Training Assistance to State and Local
Governments (1970B)
Archived (1996U)

27.010

State Personnel Merit Systems_Technical
Assistance (1971B)
Transferred from 13.605 (1971B)
State and Local Personnel Merit Systems and Other
Technical Assistance (1978U)
State and Local Merit Personnel Systems and Other

Technical Assistance (1979U2)

Archived (1981U)

27.011

Intergovernmental Mobility of Federal, State, and
Local Employees (1971U)

27.012

Intergovernmental Personnel Grants (1971U)
Archived (1981U)

27.013

Presidential Management Intern Program (1978B)

COASTAL PLAINS REGIONAL COMMISSION

28.001

Coastal Plains Regional Economic Development
(1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

28.002

Coastal Plains Technical and Planning Assistance
(1975B)
Archived (1981U)

28.003

Coastal Plains Supplements to Federal Grant-in-Aid
(1975B)
Archived (1981U)

28.004

Coastal Plains Regional Transportation (1977U)
Archived (1981U)

28.005

Coastal Plains Energy Demonstration Projects and
Programs (1977U)
Archived (1981U)

28.007

Coastal Plains Health and Nutrition Demonstration
Projects (1977U)
Archived (1981U)

28.008

Coastal Plains Education Demonstration Projects
(1977U)
Archived (1981U)

U.S. COMMISSION ON CIVIL RIGHTS

Job Discrimination_Reports (1969B)

Archived (1970B)

29.001

Civil Rights (1967B)
Civil Rights Compliance and Information (1969B)
Clearinghouse Services and Civil Rights

Complaints (1970B)

Clearinghouse Services, Civil Rights and Sex
Discrimination Complaints (1973B)

Clearinghouse Services, Civil Rights
Discrimination Complaints (1981B)

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Affirmative Action Program_Equal Employment
Opportunity (1967B)
Archived (1970B)

Equal Employment Opportunity Grants (1967B)
Archived (1970B)

Job Discrimination_Reports (1969B)

Archived (1970B)

30.001

Job Discrimination_Investigation and Conciliation
of Complaints (1969B)
Employment Discrimination_Investigation,
Conciliation and Litigation of Unlawful
Employment Practices (1975B)
Employment Discrimination_Settlement,
Investigation, Conciliation and Litigation of
Unlawful Employment Practices (1978B)
Employment Discrimination_Title VII of the Civil
Rights Act of 1964 (1986B)

30.002

Job Discrimination_Special Project Grants (1969B)
Employment Discrimination_Special Project Grants
and Contracts (1975B)
Employment Discrimination_Special Project
Contracts (1976B)
Employment Discrimination_State and Local
Anti-Discrimination Agency Contracts (1976U)
Employment Discrimination_State and Local Fair
Employment Practices Agency Contracts (1992B)
Archived (2010)

30.003

Job Discrimination_Technical Assistance to
Employers, Unions, and Employment Agencies
(1969B)
Job Discrimination_Technical Assistance (1973B)
Employment Discrimination_Technical Assistance
(1975B)
Archived (1978B)

30.004

Employment Discrimination_Program for the
Development of the Private Bar (1975U)
Archived (1977B)

30.005

Employment Discrimination_Project Grants and
Demonstration Grants (1978B)
Employment Discrimination_Private Bar Project
and Demonstration Contracts (1979U2)

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Employment Discrimination_Private Bar Program (1981U)

30.006

Employment Discrimination_Voluntary Compliance by Public Employers (1978U)

Employment Discrimination_Voluntary Compliance by Non-Federal Public Employers (1979U2)

Employment Discrimination_Compliance Program for Non-Federal Public Employers (1980U1) Archived (1982B)

30.007

Employment Discrimination Project Grants_Tribal Native Americans (1978U) Archived (1979U2)

30.008

Employment Discrimination_Age Discrimination in Employment (1979U1)

Transferred from 17.300 (1979U1)

Consolidated from 30.012 (1995U)

30.009

Employment Discrimination Project Contracts_Indian Tribes (1979U2) Archived (2010)

30.010

Employment Discrimination_Equal Pay Act (1979U2)

Transferred/Split from 17.303 (1979U2)

Consolidated from 30.012 (1995U)

Women's Bureau (2004)

Employment Discrimination Equal Pay Act (2005)

Employment Discrimination Equal Pay Act (2005)

30.011

Employment Discrimination_Title I of The Americans with Disabilities Act, Investigations (1992B)

Employment Discrimination_Title I of The Americans with Disabilities Act (1995B)

Consolidated from 30.012 (1995U)

30.012

Employment Discrimination_Title I of The Americans with Disabilities Act, Technical Assistance (1992B)

Consolidated into 30.008, 30.010, 30.011 (1995U)

EXPORT - IMPORT BANK OF THE UNITED STATES

31.001

Medium-Term Guarantees to Commercial Banks (1970B)

Split into 31.002, 31.004, 31.005 (1971B)

31.002

Commerical Bank Export Credit Loans (1971B)

Split from 31.001 (1971B)

Archived (1973B)

31.003

Export Credit Information Service for U.S.

Commercial Banks (1971B)

Archived (1973B)

31.004

Export Financing_Direct Loans and Participation Financing (1971B)

Split from 31.001 (1971B)

Archived (1973B)

31.005

Export Financing_Guarantees and Insurance (1971B)

Split from 31.001 (1971B)

Archived (1973B)

31.006

Export Financing_Preliminary Commitments (1971B)

Archived (1973B)

31.007

Export - Loan Guarantee/Insured Loans (2009)

FEDERAL COMMUNICATIONS COMMISSION

32.001

Communications_Information, Regulation, and Investigation of Complaints (1969B)

Communications_Information and Investigation of Complaints (1972B)

Communications Information and Assistance and Investigation of Complaints (1983U)

FEDERAL MARITIME COMMISSION

33.001

Shipping_Investigation of Complaints (1969B)

Shipping Investigation of Complaints (1997B)

Shipping_Dispute Resolution and Investigation of Complaints (2006)

FEDERAL MEDIATION AND CONCILIATION SERVICE

34.001

Labor Mediation and Conciliation (1969B)

34.002

Labor-Management Cooperation (1982B)

Archived (1992B)

Labor Management Cooperation (1993B)

Deleted Program no longer funded (2008)

FEDERAL POWER COMMISSION

Fish Protection (1967B)

Archived (1969B)

Flood Control (1967B)

Archived (1969B)

Forestry, Tree Planting (1967B)

Archived (1969B)

Recreation Resource Development (1967B)

Archived (1969B)

Water Conservation Assistance (1967B)

Archived (1969B)

Water Pollution Assistance (1967B)

Archived (1969B)

35.001

Water Resources Development (1967B)

Archived (1973B)

35.002

Beautification of Hydroelectric Projects (1967B)

Wholesale Electric Power Service (1969B)

Archived (1973B)

35.003

Wholesale Natural Gas Service (1967B)

Archived (1973B)

FEDERAL TRADE COMMISSION

36.001

Consumer and Small Business Protection (1967B)

Consumer and Business Protection (1970B)

Fair Competition Counseling and Investigation of Complaints (1972B)

36.002

Public Rulemaking Participation (1976B)

Archived (1981U)

FOREIGN CLAIMS SETTLEMENT COMMISSION OF THE UNITED STATES

37.001

Claims Against the Government of Bulgaria (1970B)

Archived (1971B)

37.002

Claims Against the Government of Italy (1970B)

Archived (1971B)

37.003

Claims Against the Government of Rumania (1970B)

Archived (1971B)

37.004

Claims of Civilian American Citizens Interned in Southeast Asia (1970U)

Archived (1972B)

Appendix VII: Historical Profile of Catalog Programs

37.005

Claims of Prisoners of War in Vietnam (1970U)
Archived (1982B)

37.006

Claims of Civilian Internees in Vietnam (1973U)
Archived (1978B)

37.007

Second Hungarian Claims Program (1975B)
Archived (1977U)

37.008

German Democratic Republic Claims Program
(1977U)
Archived (1981B)

37.009

Second China Claims Program (1979U1)
Archived (1981U)

37.010

Vietnam Claims Program (1981B)
Archived (1986B)

37.011

Second Czechoslovakian Claims Program (1982B)
Archived (1985B)

37.012

Ethiopian Claims Program (1986U)
Archived (1987U)

FOUR CORNERS REGIONAL COMMISSION

38.001

Four Corners Regional Economic Development
(1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

38.002

Four Corners Technical and Planning Assistance
(1975B)
Archived (1981U)

38.003

Four Corners Supplements to Federal Grant-in-Aid
(1975B)
Archived (1981U)

38.004

Four Corners Regional Transportation (1977U)
Archived (1981U)

38.005

Four Corners Energy Demonstration Projects and
Programs (1977U)
Archived (1981U)

38.007

Four Corners Health and Nutrition Demonstration
Projects (1977U)
Archived (1981U)

GENERAL SERVICES ADMINISTRATION

Payments to Small Lead and Zinc Mines (1967B)
Archived (1969B)

39.001

Non-Military Procurement from Labor Surplus and
Redevelopment Areas (1967B)
Counseling on Doing Business with the
Government (1969B)
Business Services (1970B)

39.002

Disposal of Federal Surplus Real Property (1965B)

39.003

Donation of Federal Surplus Personal Property
(1965B)

39.004

National Archives Reference Services (1969B)
Transferred to 89.001 (1985B)

39.005

Audiovisual Support Services (1969B)
National Audiovisual Center (1970B)
Transferred to 89.002 (1985B)

39.006

National Historical Sources Grants (1969B)
National Historical Publications Grants (1971B)
National Historical Publications and Records
Grants (1976B)
Transferred to 89.003 (1985B)

39.007

Sale of Federal Surplus Personal Property (1965B)

39.008

Federal Information Center (1971B)
Consolidated into 39.009 (2000B)

39.009

Consumer Product Information Index (1972B)
Consumer Product Information Center (1973B)
Consumer Information Center (1974B)
Federal Consumer Information Center,
Consolidated from 39.008 (2000B)
Federal Citizen Information Center (2003B)
Archived (2010)

39.010

Continuity of Government and Management of
Resources in Emergencies (1973U)
Transferred from 50.001 (1973U)

Archived (1976B)

Reinstated (1980B)

State Participation in Federal Telpaks (1980B)

Archived (1980U2)

39.012

Public Buildings Service (2008)

GOVERNMENT PRINTING OFFICE

40.001

Government Publications_Depository Library
Distribution (1969B)
Depository Libraries for Government Publications
(1970B)
Depository Libraries for Government Publications
(2004)

40.002

Government Publications_Sales to the Public
(1969B)
Government Publications_Sales and Distribution
(1970B)
Government Publications Sales and Distribution
(1992B)
Government Publications Sales and Distribution
(2004)

INTERSTATE COMMERCE COMMISSION

41.001

Federal-State Highway Transportation Cooperative
Economic Law Enforcement Agreements (1967B)
Interstate Commerce_Cooperative Enforcement
Agreements with States (1969B)
Archived (1995B)

41.002

Interstate Commerce_Investigation of Complaints
(1969B)
Archived (1996B)

LIBRARY OF CONGRESS

42.001

Talking Book Services Program (1965B)
Books and Talking Books for the Blind and the
Physically Handicapped (1967B)
Books for the Blind and Physically Handicapped
(1969B)
Books for the Blind and Physically Handicapped
(2005)

42.002

Copyright Service (1969B)

42.003

Distribution of Library of Congress Catalog Cards
(1969B)
Distribution of Library of Congress Cataloging
(1976B)
Deleted (2005)

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42.004 Library of Congress Photoduplication Service (1969B) Archived (1978U)	Technology Transfer (1993U)	45.305 Professional Services Program Split from 45.301 (1998B) Consolidated into 45.312 (2001B)
42.005 Library of Congress Publications (1967B)	43.004 Aeronautics, Recovery Act (2009)	45.306 Museum Leadership Initiatives Split from 45.301 (1998B) Consolidated into 45.312 (2001B)
42.006 Library of Congress Reference and Bibliographic Services (1969B) Library of Congress Research and Reader Services (1977B) Library of Congress Research Services (1979U2) Library of Congress Constituent and Collection Services (1990B) Library of Congress_Library Services (1996B) Deleted (2005)	43.005 Exploration, Recovery Act (2009)	45.307 21st Century Museum Professionals (2005)
42.007 Research and Referral Services in Science and Technology (1969B) Reference and Referral Services in Science and Technology (1977B) Reference Services in Science and Technology (1981B) Reference Services in Science, Technology and Business (2000B) Deleted (2005)	43.006 Science, Recovery Act (2009)	45.308 Native American/Native Hawaiian Museum Services Program (2005)
42.008 Reference and Consultation Services in Preservation, Restoration, and Protection of Library Materials (1971B) Archived (1978U) Semiconductor Chip Protection Service (1987B)	NATIONAL CREDIT UNION ADMINISTRATION <hr/> 44.001 Federal Credit Union Charter, Examination, and Supervision (1970B) Credit Union Charter, Examination, Supervision, and Insurance (1971B)	45.309 Museum Grants for African American History and Culture (2006)
42.009 Vessel Hull Design Protection Service (2004)	44.002 Community Development Credit Union Revolving Loan Fund Number changed from 13.794 (1987B) Community Development Revolving Loan Program for Credit Unions (1988B) Community Development Revolving Loan Fund Program for Credit Unions (2005) Community Development Revolving Loan Fund Program for Credit Unions (2005)	45.310 State Library Program (1998B) Grants to States (2006)
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION <hr/> Assistance for University Research and Training Activities (1969B) Archived (1970B)	FEDERAL COUNCIL ON THE ARTS AND THE HUMANITIES <hr/> 45.201 Arts and Artifacts Idemnity (1976U)	45.311 Native American Library Services (1998B) Native American and Native Hawaiian Library Services (2004)
43.001 Space and Aeronautics Education and Information Services (1969B) Space Science Education Project (1970B) Aerospace Education Services Project (1977B) Aerospace Education Services Program (1991U)	INSTITUTE OF MUSEUM AND LIBRARY SERVICES <hr/> 45.301 Institute of Museum Services (1982B) Transferred from 84.115 (1982B) Institute of Museum and Library Services (1997B) Split into 45.302, 45.303, 45.304, 45.305, 45.306 (1998B) Institute of Museum and Library Services_General Operating Support (1998B) Institute of Museum and Library Services (2000B) Museum for America Grants (2004) Museums for America (2006)	45.312 Institute of Museum and Library Services_National Leadership Grants (1998B) National Leadership Grants (2004)
43.002 Technology Utilization (1967B) Transferred to Private Sector of Aerospace Technology (1969B) Technology Utilization (1970B) Technology Transfer (1978U) Technology Utilization (1984B)	45.302 Museum Assessment Program Split from 45.301 (1998B)	45.313 Institute of Museum and Library Services_Recruiting and Educating Librarians for the 21st Century (2003B) Librarians for the 21st Century (2004) Librarians for the 21st Century (2004) Laura Bush 21st Century Librarian Program (2006)
	45.303 Conservation Project Support Split from 45.301 (1998B)	NATIONAL ENDOWMENT FOR THE ARTS <hr/> Grants for Progress in the Arts (1967B) Split into 45.001 - 45.009 (1970B) Progress in the Humanities (1969B) Split into 45.100 - 45.106 (1970B)
	45.304 Conservation Assessment Program Split from 45.301 (1998B)	45.001 Promotion of the Arts_Architecture and Design (1970B) Promotion of the Arts_Architecture and Environmental Arts (1971U) Promotion of the Arts_Architecture, Planning, and Design (1978B) Promotion of the Arts_Design Arts (1979U2) Consolidated into 45.024 (1996B)

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- 45.002**
Promotion of the Arts_Dance (1970B)
Consolidated into 45.024 (1996B)
- 45.003**
Promotion of the Arts_Education (1970B)
Promotion of the Arts_Artists-in-Schools (1979U2)
Promotion of the Arts_Artists-in-Education (1981B)
Promotion of the Arts_Arts in Education (1986U)
Consolidated into 45.024, 45.025 (1996B)
- 45.004**
Promotion of the Arts_Literature (1970B)
Consolidated into 45.024 (1996B)
- 45.005**
Promotion of the Arts_Music (1970B)
Split into 45.005, 45.014 (1978U)
Consolidated into 45.024 (1996B)
- 45.006**
Promotion of the Arts_Public Media (1970B)
Promotion of the Arts_Media Arts:
Film/Radio/Television (1977B)
Consolidated into 45.024 (1996B)
- 45.007**
Promotion of the Arts_State and Community Operations (1970B)
Promotion of the Arts_Federal-State Partnership (1973B)
Promotion of the Arts_State Program/Partnership Coordination (1980B)
Promotion of the Arts_State Programs/Partnership Coordination (1982B)
Promotion of the Arts_Public Partnership (1983B)
Promotion of the Arts_State Programs (1984B)
Promotion of the Arts_States Program (1989U)
Promotion of the Arts_State and Regional Program (1992B)
Consolidated into 45.025 (1996B)
- 45.008**
Promotion of the Arts_Theatre (1970B)
Promotion of the Arts_Theater (1984B)
Consolidated into 45.024 (1996B)
- 45.009**
Promotion of the Arts_Visual Arts (1970B)
Consolidated into 45.024 (1996B)
- 45.010**
Promotion of the Arts_Expansion Arts (1971U)
Consolidated into 45.024, 45.025 (1996B)
- 45.011**
Promotion of the Arts_Special Projects (1972B)
Split into 45.011, 45.015 (1978U)
Promotion of the Arts_Internship (Special Projects) (1978U)
Promotion of the Arts_Special Projects (1979B)
Split into 45.011, 45.020 (1980B)
Promotion of the Arts_Inter-Arts (1980U1)
Promotion of the Arts_Presenting and Commissioning (1992B)
Promotion of the Arts_Presenting (1995B)
Consolidated into 45.024, 45.025 (1996B)
- 45.012**
Promotion of the Arts_Museums (1972B)
Consolidated into 45.024 (1996B)
- 45.013**
Promotion of the Arts_Challenge Grants (1977U)
Split into 45.013, 45.022 (1983B)
Consolidated into 45.024 (1996B)
- 45.014**
Promotion of the Arts_Opera and Musical Theatre (1978U)
Split from 45.005 (1978U)
Number changed to 45.015 (1979U2)
Promotion of the Arts_Folk Arts (1980B)
Promotion of the Arts_Opera and Musical Theatre (1980U1)
Promotion of the Arts_Opera and Musical Theater (1984B)
Promotion of the Arts_Opera-Musical Theater (1987B)
Consolidated into 45.024 (1996B)
- 45.015**
Promotion of the Arts_Folk Arts (1978U)
Split from 45.011 (1978U)
Number changed to 45.014 (1979U2)
Promotion of the Arts_Opera and Musical Theatre (1980B)
Promotion of the Arts_Folk Arts (1980U1)
Promotion of the Arts_Folk and Traditional Arts (1995B)
Consolidated into 45.024, 45.025 (1996B)
- 45.020**
Promotion of the Arts_National Endowment Fellowship Program (1980B)
Split from 45.011 (1980B)
Number changed to 45.021 (1980B)
- 45.021**
Promotion of the Arts_Policy, Planning and Research (1983B)
Number changed from 45.020 (1983B)
Promotion of the Arts_Fellowship Program for Arts Management (1984B)
Promotion of the Arts_Arts Management Fellowship Program (1985)
Promotion of the Arts_Arts Administration Fellows Program (1987U)
Archived (1996B)
- 45.022**
Promotion of the Arts_Advancement Grants
Split from 45.013 (1983B)
Consolidated into 45.024 (1996B)
- 45.023**
Promotion of the Arts_Test Program of Support for Local Arts Agencies (1983U)
Promotion of the Arts_Locals Program (1989U)
Promotion of the Arts_Local Arts Agencies Program (1992U)
Consolidated into 45.024, 45.025 (1996B)
- 45.024**
Promotion of the Arts_Grants to Organizations and Individuals
Consolidated from 45.001 - 45.006, 45.008 - 45.015, 45.022, 45.023 (1996B)
- 45.025**
Promotion of the Arts_Partnership Agreements
Consolidated from 45.003, 45.007, 45.010, 45.011, 45.015, 45.023 (1996B)
- 45.026**
Promotion of the Arts_Leadership Initiatives (1996B)
Deleted (2004)
- 45.027**
Promotion of the Arts_Challenge America Grants (2001B)
Deleted (2003)
- NATIONAL ENDOWMENT FOR THE HUMANITIES**
Consolidated into program 45.024 (2003B)
- 45.100**
Promotion of the Humanities_Afro-American Fellowships (1970B)
Number changed to 45.107 (1970U)
- 45.101**
Promotion of the Humanities_Education (1970B)
Archived (1971U)
- 45.102**
Promotion of the Humanities_Fellowships and Summer Stipends for Younger Humanists (1970B)
Consolidated into 45.118, 45.121 (1974U)
- 45.103**
Promotion of the Humanities_Fellowships_Faculty Development Grants (1970B)
Incorporated into 45.101 (1971B)
- 45.104**
Promotion of the Humanities_Public Programs (1970B)

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Promotion of the Humanities_Film/TV Grants (1973B)

Promotion of the Humanities_Media Grants (1974B)

Promotion of the Humanities_Media Humanities Projects (1980B)

Promotion of the Humanities_Humanities Projects in Media (1983U)

Consolidated into 45.164 (1996B)

45.105

Promotion of the Humanities_Research and Publication (1970B)

Promotion of the Humanities_Research Grants (1971U)

Promotion of the Humanities_Research Grants for Junior College Teachers (1974U)

Promotion of the Humanities_General Research Program (1976B)

Split into 45.140, 45.141 (1979U1)

45.106

Promotion of the Humanities_Senior Fellowships (1970B)

Consolidated into 45.118 (1974U)

45.107

Promotion of the Humanities_Fellowships for Guided Study in Selected Fields (1970U)

Number changed from 45.100 (1970U)

Promotion of the Humanities_Fellowships in Selected Fields (1973B)

Consolidated into 45.118, 45.119 (1974U)

45.108

Promotion of the Humanities_Fellowships for Junior College Teachers (1970U)

Promotion of the Humanities_Fellowships and Summer Stipends for Junior College Teachers (1973B)

Consolidated into 45.118, 45.119, 45.121 (1974U)

45.109

Promotion of the Humanities_Fellowships for the Professions (1971U)

Promotion of the Humanities_Fellowships and Stipends for the Professions (1976B)

Split into 45.109, 45.134 (1978B)

Promotion of the Humanities_Fellowships for Journalists (1982B)

Archived (1983B)

45.110

Promotion of the Humanities_Education Planning and Development (1971U)

Promotion of the Humanities_Education Planning, Program, and Development (1973B)

Promotion of the Humanities_Education Pilot, Program, and Development (1976B)

Promotion of the Humanities_Education Pilot and Development Grants (1976U)

Split into 45.138, 45.139 (1979B)

45.111

Promotion of the Humanities_Education Projects (1971U)

Promotion of the Humanities_Higher Education Projects (1976U)

Split into 45.111, 45.127 (1976U)

Promotion of the Humanities_Higher Education/Regional and National Grants (1979U2)

Promotion of the Humanities_Exemplary Projects, Nontraditional Programs, and Teaching Materials (1983B)

Promotion of the Humanities_Exemplary Projects in Undergraduate and Graduate Education and Nontraditional Programs and Teaching Materials (1983U)

Promotion of the Humanities_Exemplary Projects and Humanities Programs for Nontraditional Learners (1984B)

Consolidated into 45.150 (1987U)

45.112

Promotion of the Humanities_Museum Personnel Development (1971U)

Consolidated into 45.125 (1976B)

45.113

Promotion of the Humanities_Regional Projects (1971U)

Promotion of the Humanities_Special Projects (1973B)

Promotion of the Humanities_Program Development (1974U)

Promotion of the Humanities_Public Program Development (1975U)

Promotion of the Humanities_Program Development (1979B)

Promotion of the Humanities_Special Projects: Program Development (1983U)

Promotion of the Humanities_Special Projects: Humanities Programs for Adults (1984B)

Promotion of the Humanities_Humanities Projects for Adults (1985B)

Promotion of the Humanities_Public Humanities Projects (1986B)

Promotion of the Humanities_Special Projects (1994U)

Consolidated into 45.164 (1996B)

45.114

Promotion of the Humanities_Interpretive Museum Exhibitions (1972U)

Promotion of the Humanities_Interpretive Museum Exhibitions and Community Education Programs (1974B)

Promotion of the Humanities_Interpretive Exhibitions and Community Education Programs (1974U)

Consolidated into 45.125 (1976B)

45.115

Promotion of the Humanities_Youth Grants in the Humanities (1972U)

Promotion of the Humanities_Youth Programs (1976U)

Promotion of the Humanities_Youthgrants (1978B)

Split into 45.115, 45.135 (1978B)

Promotion of the Humanities_Youth Grants (1983B)

Promotion of the Humanities_Special Projects: Younger Scholars (1983U)

Promotion of the Humanities_Special Projects: Humanities Programs for Youth/Younger Scholars (1984B)

Promotion of the Humanities_Humanities Projects for Youth/Younger Scholars (1985B)

Promotion of the Humanities_Younger Scholars (1985U)

Archived (1995B)

45.116

Promotion of the Humanities_Summer Seminars for College Teachers (1973U)

Consolidated into 45.163 (1996B)

45.117

Promotion of the Humanities_Cultural Institutions (1974U)

Incorporated/Split into 45.125, 45.137 (1979B)

Reinstated/Split from 45.125, 45.137 (1979U1)

Incorporated/Split into 45.125, 45.137 (1980B)

45.118

Promotion of the Humanities_Fellowships for Independent Study and Research (1974U)

Consolidated from 45.102, 45.106, 45.107, 45.108 (1974U)

Consolidated into 45.136 (1978U)

45.119

Promotion of the Humanities_Fellowships in Residence for College Teachers (1974U)

Consolidated from 45.107, 45.108 (1974U)

Consolidated into 45.136 (1978U)

45.120

Promotion of the Humanities_National Humanities Institutes (1974U)

Promotion of the Humanities_Humanities Institutes (1976U)

Incorporated into 45.111 (1979B)

45.121

Promotion of the Humanities_Summer Stipends (1974U)

Consolidated from 45.102, 45.108 (1974U)

Consolidated from 45.152 (1992U)

Consolidated into 45.160 (1996B)

45.122

Appendix VII: Historical Profile of Catalog Programs

Promotion of the Humanities_Fellowships at Centers for Advanced Study (1975B)

Promotion of the Humanities_Regrants/Centers for Advanced Study (1985U)

Promotion of the Humanities_Centers for Advanced Study (1990B)

Promotion of the Humanities_Institutional Programs and Resources (1994U)

Promotion of the Humanities_Institutional Programs (1995U)

Consolidated into 45.161 (1996B)

45.123

Promotion of the Humanities_National Board of Consultants (1975B)

Promotion of the Humanities_Consultant Grant Program (1978U)

Consolidated into 45.150 (1983B)

45.124

Promotion of the Humanities_Centers of Research (1976B)

Promotion of the Humanities_Research Collections: Development, Access and Use (1977B)

Promotion of the Humanities_Research Collections (1978U)

Promotion of the Humanities_Research Resources (1979U2)

Promotion of the Humanities_Research Resource, Organization and Improvement Grants (1980U2)

Promotion of the Humanities_Research Resources (1981B)

Promotion of the Humanities_Research Resources_Organization and Improvement Grants (1982B)

Promotion of the Humanities_Research Resources/Access Grants (1983U)

Promotion of the Humanities_Reference Works/Access Grants (1985B)

Promotion of the Humanities_Reference Materials/Access Grants (1985U)

Promotion of the Humanities_Reference Materials/Guides (1991U)

Consolidated into 45.145 (1992B)

45.125

Promotion of the Humanities_Interpretive Museum Exhibitions and Interpretive Programs (1976B)

Consolidated from 45.112, 45.114 (1976B)

Promotion of the Humanities_Museums and Historical Organizations Program (1977B)

Promotion of the Humanities_Museums and Historical Organizations Humanities Projects (1980B)

Promotion of the Humanities_Humanities Projects in Museums and Historical Organizations (1981B)

Consolidated into 45.164 (1996B)

45.126

Promotion of the Humanities_Research Materials

(1976B)

Split into 45.145, 45.146, 45.147 (1980B)

45.127

Promotion of the Humanities_Elementary and Secondary Education Program (1976U)

Split from 45.111 (1976U)

Promotion of the Humanities_Instruction in Elementary and Secondary Schools (1983B)

Promotion of the Humanities_Humanities Instruction in Elementary and Secondary Schools (1984B)

Consolidated from 45.155 (1994U)

Consolidated into 45.162, 45.163 (1996B)

45.128

Promotion of the Humanities_Planning and Analysis (1977B)

Promotion of the Humanities_Planning and Assessment Studies (1977U)

Split into 45.128, 45.131, 45.133 (1977U)

Promotion of the Humanities_Planning and Assessment Studies Program (1980B)

Promotion of the Humanities_Humanities Studies Program (1984U)

Archived (1985U)

45.129

Promotion of the Humanities_State-Based Program (1977B)

Promotion of the Humanities_State Programs (1977U)

Promotion of the Humanities_Federal-State Partnership (1995B)

Promotion of the Humanities_Federal/State Partnership (1997B)

45.130

Promotion of the Humanities_Challenge Grant Program (1977U)

Promotion of the Humanities_Challenge Grants Program (1980U2)

Promotion of the Humanities_Challenge Grants (1987B)

45.131

Promotion of the Humanities_Special Projects (1977U)

Split from 45.128 (1977U)

Archived (1981U)

45.132

Promotion of the Humanities_Research Publications (1977U)

Promotion of the Humanities_Research Materials: Publications (1980B)

Promotion of the Humanities_Research Resources/Publications (1983U)

Promotion of the Humanities_Basic Research/Publications (1985B)

Promotion of the Humanities_Texts/Publication

Subvention (1985U)

Promotion of the Humanities_Publication Subvention (1992B)

Promotion of the Humanities_Subventions (1992U)

Promotion of the Humanities_Scholarly Publications (1993U)

Consolidated from 45.146, 45.147 (1993U)

Consolidated into 45.161 (1996B)

45.133

Promotion of the Humanities_Science, Technology and Human Values (1977U)

Split from 45.128 (1977U)

Promotion of the Humanities_Humanities, Science, and Technology (1983B)

Promotion of the Humanities_Basic Research/Humanities, Science and Technology (1984B)

Promotion of the Humanities_Interpretive Research/Humanities, Science and Technology (1985U)

Promotion of the Humanities_Interpretive Research/Humanities, Science, and Technology (1992B)

Consolidated into 45.140 (1993U)

45.134

Promotion of the Humanities_Research Development (1978B)

Split from 45.109 (1978B)

Promotion of the Humanities_Research Conferences (1979B)

Promotion of the Humanities_Basic Research/Research Conferences (1984B)

Promotion of the Humanities_Regrants/Research Conferences (1985U)

Promotion of the Humanities_Regrants Conferences (1986U)

Promotion of the Humanities_Regrants/Conferences (1987B)

Promotion of the Humanities_Conferences (1990B)

Consolidated into 45.140 (1993U)

45.135

Promotion of the Humanities_NEH Youth Projects (1978B)

Split from 45.115 (1978B)

Promotion of the Humanities_Youth Projects (1979U1)

Promotion of the Humanities_Special Projects: Humanities Programs for Youth/Youth Projects (1984B)

Promotion of the Humanities_Humanities Projects for Youth/Youth Projects (1985B)

Promotion of the Humanities_Humanities Projects for Youth (1985U)

Promotion of the Humanities_Projects for Youth, Incorporated into 45.104, 45.125, 45.133, 45.137 (1986B)

45.136

Appendix VII: Historical Profile of Catalog Programs

Promotion of the Humanities_NEH Fellowships, Categories A, B, and C (1978U)
Consolidated from 45.118, 45.119 (1978U)
Promotion of the Humanities_Category A, B, and C (1979U1)
Split into 45.142, 45.143, 45.144 (1980B)

45.137

Promotion of the Humanities_Public Library Program (1979B)
Split from 45.117 (1979B)
Promotion of the Humanities_Division of Public Programs, Libraries Humanities Projects (1979U2)
Promotion of the Humanities_Division of Public Programs, Humanities Projects in Libraries (1982B)
Promotion of the Humanities_Humanities Projects in Libraries (1983B)
Promotion of the Humanities_Special Projects: Humanities Projects in Libraries (1984B)
Promotion of the Humanities_Humanities Projects in Libraries (1985B)
Promotion of the Humanities_Humanities Projects in Libraries and Archives (1988B)
Consolidated into 45.164 (1996B)

45.138

Promotion of the Humanities_Higher Education Pilot Grants (1979B)
Split from 45.110 (1979B)
Promotion of the Humanities_Education Pilot Grants (1979U1)
Consolidated into 45.150 (1983B)

45.139

Promotion of the Humanities_Higher Education Development Grants (1979B)
Split from 45.110 (1979B)
Promotion of the Humanities_Education Development Grants (1979U1)
Promotion of the Humanities_Education Implementation Grants (1979U2)
Consolidated into 45.150 (1983B)

45.140

Promotion of the Humanities_Basic Research (1979U1)
Split from 45.105 (1979U1)
Split into 45.140, 45.148 (1980U2)
Promotion of the Humanities_Project Research (1983U)
Promotion of the Humanities_Basic Research/Project Research (1984B)
Promotion of the Humanities_Interpretive Research/Projects (1985U)
Promotion of the Humanities_Interpretive Research/Collaborative Projects (1990U)
Promotion of the Humanities_Interpretive Research Program (1993B)
Consolidated from 45.133, 45.134 (1993U)
Consolidated into 45.161 (1996B)

45.141

Promotion of the Humanities_Regional and Local History (1979U1)
Split from 45.105 (1979U1)
Promotion of the Humanities_State, Local, and Regional Studies (1979U2)
Promotion of the Humanities_Regional Studies (1983B)
Incorporated into 45.140 (1983U)

45.142

Promotion of the Humanities_Fellowships for Independent Study and Research (1980B)
Split from 45.136 (1980B)
Promotion of the Humanities_Fellowships for University Teachers (1986B)
Consolidated into 45.160 (1996B)

45.143

Promotion of the Humanities_Fellowships for College Teachers (1980B)
Split from 45.136 (1980B)
Promotion of the Humanities_Fellowships for College Teachers (1985U)
Promotion of the Humanities_Fellowships for College Teachers and Independent Scholars (1986B)
Consolidated into 45.160 (1996B)

45.144

Promotion of the Humanities_Residential Fellowships for College Teachers (1980B)
Split from 45.136 (1980B)
Archived (1982B)

45.145

Promotion of the Humanities_Research Materials: Tools and Reference Works (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Tools and Reference Works (1980U2)
Promotion of the Humanities_Reference Works/Tools (1983U)
Promotion of the Humanities_Reference Materials/Tools (1985U)
Promotion of the Humanities_Reference Materials (1992B)
Consolidated from 45.124 (1992B)
Consolidated into 45.149 (1996B)

45.146

Promotion of the Humanities_Research Materials: Editions (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Editions (1980U1)
Promotion of the Humanities_Reference Works/Editions (1983U)
Promotion of the Humanities_Texts/Editions (1985U)
Promotion of the Humanities_Editions (1992B)

Consolidated into 45.132 (1993U)

45.147

Promotion of the Humanities_Research Materials: Translations (1980B)
Split from 45.126 (1980B)
Promotion of the Humanities_Research Materials/Translations (1980U2)
Promotion of the Humanities_Reference Works/Translations (1983U)
Promotion of the Humanities_Texts/Translations (1985U)
Promotion of the Humanities_Translations (1992B)
Consolidated into 45.132 (1993U)

45.148

Promotion of the Humanities_Intercultural Research (1980U2)
Split from 45.140 (1980U2)
Promotion of the Humanities_Intercultural Research Program (1981U)
Promotion of the Humanities_Basic Research/Intercultural Research Program (1984B)
Promotion of the Humanities_Research in Selected Areas/ Intercultural Research Program (1985B)
Promotion of the Humanities_Regrants Program/International Research (1985U)
Promotion of the Humanities_International Research (1990B)
Archived (1994B)

45.149

Promotion of the Humanities_Research Resources Conservation and Preservation Grants (1980U2)
Promotion of the Humanities_Research Resources/Preservation Grants (1983U)
Promotion of the Humanities_Office of Preservation (1985B)
Promotion of the Humanities_Division of Preservation and Access (1992B)

45.150

Promotion of the Humanities_Central Disciplines in Undergraduate Education (1983B)
Promotion of the Humanities_Higher Education in the Humanities (1987U)
Consolidated from 45.155 (1994U)
Consolidated into 45.162, 45.163 (1996B)

45.151

Promotion of the Humanities_Summer Seminars for Secondary School Teachers (1983B)
Consolidated into 45.163 (1996B)

45.152

Promotion of the Humanities_Travel to Collections (1983U)
Promotion of the Humanities_Basic Research/Travel to Collections (1984B)
Promotion of the Humanities_Travel to Collections (1985U)

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Consolidated into 45.121 (1992U)

45.153

Promotion of the Humanities_Regrants Program/Selected Areas (1986B)
Promotion of the Humanities_Selected Areas (1990B)
Archived (1992B)

45.154

NEH/Reader's Digest Teacher-Scholar Program (1988B)
NEH Teacher-Scholar Program (1991B)
Promotion of the Humanities_NEH Teacher-Scholar Program (1991U)
Promotion of the Humanities_NEH/Reader's Digest Teacher-Scholar Program (1992B)
Promotion of the Humanities_NEH/Teacher-Scholar Program (1993U)
Archived (1994U)

45.155

Foreign Language Education (1991B)
Promotion of the Humanities_Foreign Language Education (1991U)
Consolidated into 45.127, 45.150 (1994U)

45.156

Promotion of the Humanities_Study Grants for College and University Teachers (1992B)
Promotion of the Humanities_Study Grants for College Teachers (1993U)
Archived (1995B)

45.157

Promotion of the Humanities_Dissertation Grants (1992U)
Archived (1996B)

45.158

Leadership Opportunity in Science and Humanities Education (1993B)
Promotion of the Humanities_Leadership Opportunity in Science and Humanities Education (1994U)
Promotion of the Humanities_Integrating Undergraduate Education (1995B)
Promotion of the Humanities_Integrating Undergraduate Education (1995U)
Consolidated into 45.162 (1996B)

45.160

Promotion of the Humanities_Fellowships and Stipends
Consolidated from 45.121, 45.142, 45.143 (1996B)

45.161

Promotion of the Humanities_Collaborative Research
Consolidated from 45.122, 45.132, 45.140 (1996B)

Promotion of the Humanities_Research (1997B)

45.162

Promotion of the Humanities_Education Development and Demonstration
Consolidated from 45.127, 45.150, 45.158 (1996B)
Promotion of the Humanities_Teaching and Learning Resources and Curriculum Development (2004)

45.163

Promotion of the Humanities_Seminars and Institutes
Consolidated from 45.116, 45.127, 45.150, 45.151 (1996B)
Promotion of the Humanities_Professional Development (2004)

45.164

Promotion of the Humanities_Public Programs
Consolidated from 45.104, 45.113, 45.125, 45.137 (1996B)

45.166

Promotion of the Humanities_Extending the Reach Grants to Underserved Areas (2000B)
Consolidated into programs 45.164 and 45.149 (2003B)
Deleted (2003)

45.167

Promotion of the Humanities_Extending the Reach Grants to Presidentially-Designated Minority Institutions (2000B)
Deleted (2004)

45.168

Promotion of the Humanities_We the People (2004)

45.169

Promotion of the Humanities_Digital Humanities Initiative (2006)
Promotion of the Humanities_Office of Digital Humanities (2008)

NATIONAL LABOR RELATIONS BOARD

46.001

Labor-Management Relations (1969B)

NATIONAL SCIENCE FOUNDATION

Science Research Support Activities (1969B)
Archived (1970B)

47.001

Antarctic Research (1970B)
Polar Research (1970U)
Polar Research Programs (1971B)
Consolidated into 47.050 (1976B)

47.002

Biological Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)

47.003

Computers Activities in Education and Research (1969B)
Computers_Research and Educational Activities (1970B)
Computing Activities in Education and Research (1971B)
Computing Activities (1973B)
Computer Research (1974B)
Consolidated into 47.047 (1975B)

47.004

Departmental Science Development (1970B)
Archived (1971B)

47.005

Graduate Education in Science (1967B)
Doctoral Dissertation Research in the Social Sciences (1970B)
Doctoral Dissertation Research (1971B)
Consolidated into 47.047 (1975B)

47.006

Engineering Sciences Research Initiation Grants (1970B)
Engineering Research Initiation Grants (1973B)
Consolidated into 47.047 (1975B)

47.007

Engineering Sciences Research Project Support (1970B)
Engineering Research Project Support (1973B)
Consolidated into 47.047 (1975B)

47.008

Environmental Sciences Research Project Support (1970B)
Consolidated into 47.047 (1975B)

47.009

Fellowships and Traineeship Program (1970B)
Graduate Fellowship Programs (1973B)
Graduate Student Support (1974B)
Science Manpower Improvement (1976B)
Scientific Personnel Improvement (1978B)
Graduate Research Fellowships (1983B)
Consolidated into 47.076 (1992B)

47.010

Graduate Instructional Program Development (1970B)
Incorporated into 47.032, 47.033 (1973B)

47.011

Graduate Science Facilities (1970B)

Appendix VII: Historical Profile of Catalog Programs

Archived (1971B)

47.012

Institutional Support in Science (1967B)

Institutional Grants for Science (1970B)

Archived (1975U)

47.013

Interdisciplinary Research Relevant to Problems of Our Society (1970B)

Archived (1972B)

47.014

International Cooperative Science Activities (1969B)

Consolidated into 47.053 (1977B)

47.015

International Travel (1970B)

Incorporated into 47.014 (1975B)

47.016

Scientific Research Grants (1967B)

Science Research Support Activities (1969B)

Mathematical and Physical Sciences Research

Project Support (1970B)

Split into 47.016, 47.017 (1970B)

Consolidated into 47.047 (1975B)

47.017

National and Special Research Programs (1970B)

Split from 47.016 (1970B)

Global Atmospheric Research Program (1974B)

Consolidated into 47.050 (1976B)

47.018

Oceanography_Basic Research Grants (1970B)

Oceanography_Scientific Research Project Support (1971B)

Incorporated into 47.008 (1972B)

47.019

Pre-College Education in Science (1967B)

Pre-College Instructional Personnel Development (1970B)

Instructional Improvement

Implementation_Pre-College (1973B)

Consolidated into 47.048 (1975B)

47.020

Pre-College Instructional Program Development (1970B)

Science Education Materials and Methods

Development Pre-College (1973B)

Science Education Materials and Instruction

Development (Pre-College) (1973U)

Science Education Materials and Instruction

Development_Pre-College (1974B)

Consolidated into 47.048 (1975B)

47.021

Pre-College Student Development (1970B)

Archived (1971B)

47.022

Sea Grant Program (1969B)

Sea Grant Institutional Support (1970B)

Split into 47.022, 47.023 (1970B)

Transferred to 11.417 (1971B)

47.023

Sea Grant Project Support (1970B)

Split from 47.022 (1970B)

Transferred to 11.418 (1971B)

47.024

Science Information Activities_System Development and Improvement (1970B)

Science Information Activities_Information

Systems; Data Systems (1973B)

Science Information Activities_National

Information Systems; User Support (1974B)

Science Information Activities (1975B)

Consolidated into 47.053 (1977B)

47.025

Science Information Research (1970B)

Incorporated into 47.024 (1975B)

47.026

Science Information Activities (1969B)

Science Information Services and Publications (1970B)

Science Information Activities_Publications

(1973B)

Incorporated into 47.024 (1974B)

47.027

Science Planning and Policy Studies (1969B)

University Science Planning and Policy Program (1971B)

Science Policy Research (1972B)

Incorporated into 47.029 (1973B)

47.028

Scientific Conference Grants (1970B)

Consolidated into 47.047 (1975B)

47.029

Social Sciences Research Project Support (1970B)

Consolidated into 47.047 (1975B)

47.030

Special Computer Services for Research and

Science Education (1970B)

Archived (1971B)

47.031

Specialized Research Facilities and Equipment

(1970B)

Archived (1972B)

47.032

Undergraduate Education in Science (1967B)

Undergraduate Instructional Personnel

Development (1970B)

Split into 47.032, 47.033, 47.034 (1970B)

Instructional Improvement Implementation_Higher Education (1973B)

Consolidated into 47.048 (1975B)

47.033

Undergraduate Instructional Program Development (1970B)

Split from 47.032 (1970B)

Science Education Materials and Methods

Development_Higher Education (1973B)

Science Education Materials and Instruction

Development (Higher Education) (1973U)

Science Education Materials and Instruction

Development_Higher Education (1974B)

Consolidated into 47.048 (1975B)

47.034

Undergraduate Student Development (1970B)

Split from 47.032 (1970B)

Student Oriented Programs (1973B)

Consolidated into 47.048 (1975B)

47.035

University Science Development (1970B)

Archived (1971B)

47.036

Intergovernmental Science Programs (1970U)

Intergovernmental Science and Research

Utilization (1973B)

Intergovernmental Science (1975B)

Intergovernmental Program (1976B)

Intergovernmental Programs (1978B)

Intergovernmental Program (1979B)

Intergovernmental Science and Technology Grants

(1981B)

Intergovernmental Science and Technology

Program (1981U)

Intergovernmental and Public Service Science and

Technology Program (1982B)

Intergovernmental Science and Technology

Programs (1983B)

Archived (1985U)

47.037

International Decade of Ocean Exploration (1970U)

Consolidated into 47.050 (1976B)

47.038

Public Understanding of Science Program (1971B)

Science and Society Program (1976B)

Science Education Communication Grants (1981B)

Consolidated/Split into 47.038, 47.058 (1981B)

Appendix VII: Historical Profile of Catalog Programs

Archived (1982B)

47.039

Scientific Activities (Special Foreign Currency Program) (1971B)
Archived (1975B)

47.040

Materials Research Project Support (1972B)
Consolidated into 47.047 (1975B)

47.041

Research Applied to National Needs (1973U)
Applied Science and Research Applications (1978B)
Engineering and Applied Science (1979U2)
Engineering Grants (1981B)
Consolidated/Split into 47.041, 47.058 (1981B)

47.042

Experimental Research and Development Incentives Program (1973U)
Incorporated into 47.041 (1976B)

47.043

National Research and Development Assessment Program (1973U)
Number changed to 47.052 (1976B)

47.044

Institutional Grants for Research Management Improvement (1973U)
Archived (1975B)

47.045

Technological Innovation in Education (1973U)
Consolidated into 47.048 (1975B)

47.046

Science Education_Problem Assessment and Experimental Projects (1974B)
Consolidated into 47.048 (1975B)

47.047

Scientific Research Project Support (1975B)
Consolidated from 47.002, 47.003, 47.005, 47.006, 47.007, 47.008, 47.016, 47.028, 47.029, 47.040 (1975B)
Split into 47.049, 47.050, 47.051 (1976B)

47.048

Science Education Improvement (1975B)
Consolidated from 47.019, 47.020, 47.032, 47.033, 47.034, 47.045, 47.046 (1975B)
Science Education Research and Development and Resources Improvement (1977B)
Science Education Development and Research and Resources Improvement (1980B)
Archived (1982B)

47.049

Mathematical and Physical Sciences and Engineering (1976B)
Split from 47.047 (1976B)
Mathematical and Physical Sciences (1979U2)

47.050

Astronomical, Atmospheric, Earth and Ocean Sciences (1976B)
Consolidated/Split from 47.001, 47.017, 47.037, 47.047 (1976B)

47.051

Biological, Behavioral, and Social Sciences (1976B)
Split from 47.047 (1976B)
Split into 47.074, 47.075 (1992B)

47.052

Science and Technology Policy Research and Analysis (1976B)
Number changed from 47.043 (1976B)
Consolidated into 47.053 (1977B)

47.053

Scientific, Technological, and International Affairs (1977B)
Consolidated from 47.014, 47.024, 47.052 (1977B)
Consolidated into 47.075 (1992B)

47.054

Industry/University Cooperative Research (1980B)
Incorporated into 47.053 (1981B)

47.055

Two-Year and Four-Year College Research Instrumentation (1980B)
Archived (1984B)

47.056

Research Initiation in Minority Institutions (1980B)
Research Improvement in Minority Institutions (1981B)
Number changed to 47.060 (1982B)

47.057

Minority Research Initiation (1981B)
Archived (1985B)

47.058

Public Service Science and Technology (1981B)
Consolidated from 47.038, 47.041 (1981B)
Archived (1982B)

47.059

Visiting Professorships for Women in Science and Engineering (1982B)
Visiting Professorships for Women (1983B)
Archived (1985B)

47.060

Research Improvement in Minority Institutions (1982B)
Number changed from 47.056 (1982B)
Archived (1985B)

47.061

Precollege Science and Mathematics Teacher Development and Incentives (1983U)
Consolidated into 47.063 (1984B)

47.062

Precollege Science and Mathematics Materials Development (1983U)
Consolidated into 47.063 (1984B)

47.063

Precollege Science and Mathematics Education (1984B)
Consolidated from 47.061, 47.062 (1984B)
Archived (1985B)

47.064

College Science Instrumentation Program (1984U)
Incorporated into 47.071 (1987U)

47.065

Advanced Scientific Computing Resources (1984U)
Archived (1986U)

47.066

Teacher Enhancement and Informal Science Education (1985B)
Teacher Preparation and Enhancement (1986B)
Consolidated into 47.076 (1992B)

47.067

Materials Development and Research (1985B)
Materials Development, Research, and Informal Science Education (1986B)
Materials Development and Informal Science Education (1987B)
Materials Development, Research, and Informal Science Education (1988B)
Consolidated into 47.076 (1992B)

47.068

Studies and Program Assessment (1985B)
Research, Studies, and Program Assessment (1987B)
Studies and Program Assessment (1988B)
Studies, Evaluation and Dissemination (1991B)
Consolidated into 47.076 (1992B)

47.069

Research Initiation and Improvement (1985B)
Human Resource Development (1991B)
Consolidated into 47.076 (1992B)

Appendix VII: Historical Profile of Catalog Programs

47.070 Computer and Information Science and Engineering (2004)	New England Regional Economic Development (1970B) Archived (1973B) Reinstated (1973U) Archived (1981U)	Drug Rehabilitation (1970B) Archived (1973B)
47.071 Undergraduate Science, Mathematics, and Engineering Education (1987U) Undergraduate Science, Engineering, and Mathematics Education (1988B) Consolidated into 47.076 (1992B)	48.002 New England Technical and Planning Assistance (1975B) Archived (1981U)	49.005 Emergency Food and Medical Services (1969B) Archived (1971U) Reinstated (1972B) Archived (1973B) Reinstated (1975U) Community Food and Nutrition (1975U) Archived (1981U)
47.072 Young Scholars (1987U) Consolidated into 47.076 (1992B)	48.003 New England Supplements to Federal Grant-in-Aid (1975B) Archived (1981U)	49.006 Family Planning (1969B) Archived (1973B)
47.073 Science and Technology Centers (1989B) Archived (1996B)	48.004 New England Regional Transportation (1977U) Archived (1981U)	49.007 Federal Information Exchange System (1969B) Archived (1972B)
47.074 Biological Sciences (1992B) Split from 47.051 (1992B)	48.005 New England Energy Demonstration Projects and Programs (1977U) Archived (1981U)	49.008 Legal Services (1967B) Archived (1973B) Reinstated (1975B) Archived (1976B)
47.075 Social, Behavioral, and Economic Sciences (1992B) Consolidated from 47.051, 47.053 (1992B)	COMMUNITY SERVICES ADMINISTRATION <hr/>	49.009 Community Action Program_Assistance for Migrant and Seasonal Farm Workers (1965B) Migrant and Seasonal Farmworkers Assistance (1969B) Transferred to (1972B)
47.076 Education and Human Resources (1992B) Consolidated from 47.009, 47.066, 47.067, 47.068, 47.069, 47.071, 47.072 (1992B)	Head Start (1967B) Child Development_Head Start (1970B) Transferred to the Department of Health Education, Welfare (1970B)	49.010 Senior Opportunities and Services (1969B) Older Persons Opportunities and Services (1970B) Archived (1973B) Reinstated (1975B) Archived (1981U)
47.077 Academic Research Facilities and Instrumentation (1993B) Academic Research Infrastructure (1997B) Archived (1999B)	Establishment of Job Corps Centers (1965B) Job Corps (1967B) Transferred to the Department of Labor (1970B)	49.011 Special Impact (1970B) Community Economic Development (1972U) Archived (1973B) Reinstated (1975B) Archived (1981U)
47.078 Polar Programs (1997B)	Upward Bound (1967B) Transferred to the Department of Health Education, Welfare (1970B)	49.012 Vista_Volunteers in Service to America_Opportunities for Individuals to Assist in the War on Poverty (1965B) Volunteers in Service to America (1970B) Archived (1971U)
47.079 International Science and Engineering (OISE) (2005)	49.001 Alcoholic Counseling and Recovery (1970B) Archived (1971U)	49.013 Economic Opportunity_Technical Assistance and Demonstrations (1972U) Archived (1973B) Reinstated (1975B) State Economic Opportunity Offices (1975B)
47.080 Office of Cyberinfrastructure (2008)	49.002 Community Action Program (1965B) Community Action Operations (1970B) Community Action (1971B) Archived (1973B) Reinstated (1975B) Split into 49.002, 49.016, 49.018 (1980U1) Archived (1981U)	
47.081 Office of Experimental Program to Stimulate Competitive Research (2008)	49.003 Neighborhood Health Centers (1967B) Comprehensive Health Centers (1969B) Comprehensive Health Services (1970B) Archived (1973B)	
47.082 Trans-NSF Recovery Act Research Support (2009)	49.004	
NEW ENGLAND REGIONAL COMMISSION <hr/>		
48.001		

Appendix VII: Historical Profile of Catalog Programs

Archived (1981U)

49.014

Emergency Energy Conservation Services (1975U)
Archived (1981B)

49.015

Summer Youth Recreation Program (1976U)
Summer Youth Recreation (1977B)
Archived (1981B)

49.016

National Youth Sports Program (1980U1)
Split from 49.002 (1980U1)
Archived (1981U)

49.017

Rural Development Loan Fund (1980U1)
Reinstated, previously under the Department of
Agriculture (1980U1)
Archived (1981U)

49.018

Housing and Community Development (1980U1)
Split from 49.002 (1980U1)
Archived (1981U)

OFFICE OF EMERGENCY PREPAREDNESS

Emergency Management of Resources (1967B)
Incorporated into 50.001 (1970B)

50.001

Continuity of Government (1969B)
Continuity of Government and Management of
Resources in Emergencies (1970B)
Continuity of Government in Emergencies (1970U)
Continuity of Government and Management of
Resources in Emergencies (1971B)
Transferred to 39.010 (1973U)

50.002

Federal Disaster Relief (1967B)
Disaster Relief to States (1970B)
Disaster Assistance (1971B)
Transferred to 14.701 (1973U)

50.003

State Plans for Disaster Assistance to Individuals
(1970B)
State Disaster Plans and Programs (1971B)
Transferred to 14.702 (1973U)

50.004

Federal-State Relations (1969B)
Telecommunications Management (1970B)
Archived (1970U)

OFFICE OF INTERGOVERNMENTAL RELATIONS

51.001

Intergovernmental Relations and Liaison (1970B)
Archived (1973B)

OZARKS REGIONAL ACTION PLANNING COMMISSION

52.001

Ozarks Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

52.002

Ozarks Technical and Planning Assistance (1975B)
Archived (1981U)

52.003

Ozarks Supplements to Federal Grant-in-Aid
(1975B)
Archived (1981U)

52.004

Ozarks Regional Transportation (1977U)
Archived (1981U)

52.005

Ozarks Energy Demonstration Projects and
Programs (1977U)
Archived (1981U)

52.007

Ozarks Health and Nutrition Demonstration
Projects (1977U)
Archived (1981U)

PRESIDENT'S COMMITTEE ON CONSUMER INTERESTS

52.500

Consumer Affairs (1969B)
Transferred to 69.001 (1971B)

PRESIDENT'S COMMITTEE ON EMPLOYMENT OF PEOPLE WITH DISABILITIES

53.001

Handicapped Employment Promotion (1969B)
Transferred to 17.72 (2002B)

PRESIDENT'S COMMITTEE ON MENTAL RETARDATION

54.001

Mental Retardation Evaluation (1970B)
Transferred to 13.613 (1974B)

PRESIDENT'S COUNCIL ON PHYSICAL FITNESS AND SPORTS

55.001

Governors' Councils on Physical Fitness (1970B)
Transferred to 13.615 (1974B)

55.002

National Summer Youth Sports Program (1970B)
Archived (1972B)

55.003

Physical Fitness Programs (1967B)
Physical Fitness and Sports (1969B)
Physical Fitness and Sports Information (1970B)
Transferred to 13.616 (1974B)

55.004

Physical Fitness Clinics (1970B)
Transferred to 13.617 (1974B)

55.005

Physical Fitness Demonstration Center Schools
(1970B)
Transferred to 13.618 (1974B)

55.006

Physical Fitness Program Development (1970B)
Transferred to 13.619 (1974B)

55.007

Presidential Physical Fitness Award (1970B)
Transferred to 13.620 (1974B)

55.008

Health-Exercise Symposia (1971B)
Transferred to 13.621 (1974B)

55.009

Presidential Sports Award (1973U)
Transferred to 13.622 (1974B)

PRESIDENT'S COUNCIL ON YOUTH OPPORTUNITY

56.001

Youth Opportunity (1969B)
Transferred/Split into HHS, Labor programs
(1971B)

RAILROAD RETIREMENT BOARD

57.001

Social Insurance Program for Railroad Workers
(1965B)
Social Insurance for Railroad Workers (1970B)

57.002

Benefits for Milwaukee Railroad Workers
(1980U2)
Archived (1985U)

57.003

Benefits for Conrail Employees (1983B)
Archived (1988B)

57.004

Benefits for Rock Island Railroad Employees
(1983U)

Appendix VII: Historical Profile of Catalog Programs

Archived (1984U)

57.005

Economic Recovery Payments (2009)

SECURITIES AND EXCHANGE COMMISSION

58.001

Investor Protection (1969B)

Securities_Investigation of Complaints and SEC Information (1972B)

SMALL BUSINESS ADMINISTRATION

59.001

Displaced Small Business Loans (1969B)

Displaced Business Loans (1970B)

Archived (1981U)

59.002

Economic Injury Disaster Loans (1970B)

Reinstated (1984B)

Consolidated into 59.008 (1989B)

Reinstated (1989U)

Deleted (2008)

59.003

Economic Opportunity Loans for Small Businesses (1965B)

Loans for Small Businesses (1983B)

Archived (1997U)

59.004

Lease Guarantees for Small Businesses (1969B)

Archived (1977B)

59.005

Management Assistance to Small Businesses (1970B)

Business Development Assistance to Small Business (1970B)

Internet-Based Technical Assistance (2005)

Internet-Based Technical Assistance (2005)

Deleted (2008)

59.006

Minority Business Development (1970B)

Minority Business Development_Procurement Assistance (1971B)

Minority Business Development (1991B)

8(a) Business Development (1999B)

8(a) Business Development Program (2006)

59.007

Minority Enterprise_Technical Assistance (1970B)

Management and Technical Assistance for Disadvantaged Businessmen_Research and Demonstration Grants (1971B)

Management and Technical Assistance for Disadvantaged Businessmen (1973B)

Management and Technical Assistance for

Disadvantaged Businesses (1983B)

Management and Technical Assistance for Socially and Economically Disadvantaged Businesses (1988B)

Management and Technical Assistance (2001B)

7(i) Technical Assistance (2004)

7(j) Technical Assistance (2005)

7(j) Technical Assistance (2005)

7(j) Technical Assistance (2005)

59.008

Disaster Loans (1967B)

Physical Disaster Loans (1970B)

Consolidated from 59.002 (1989B)

Disaster Assistance Loans (2007)

59.009

Management and Technical Assistance (1965B)

Procurement and Management Assistance to Small Businesses (1967B)

Procurement Assistance to Small Businesses (1970B)

Procurement and Technical Assistance to Small Businesses (1976U)

Procurement Assistance to Small Businesses (1985B)

59.010

Product Disaster Loans (1970B)

Archived (1982B)

59.011

Small Business Investment Company Program (1965B)

Small Business Investment Companies (1970B)

59.012

Small Business Financial Assistance Program (1965B)

Small Business Loans (1970B)

7(a) Loan Guarantee (2007)

7(a) Loan Guarantees (2008)

59.013

Loans to State and Local Development Companies (1965B)

State and Local Development Company Loans (1969B)

Local Development Company Loans (1991B)

Archived (1998U)

59.014

Coal Mine Health and Safety Loans (1971B)

Mine Safety and Health Loans (1978B)

Archived (1981U)

59.015

Trade Adjustment Loans (1971B)

Archived (1971U)

59.016

Bond Guarantees for Surety Companies (1971U)

Surety Bond Guarantees (2008)

59.017

Meat and Poultry Inspection Loans (1972B)

Consumer Protection Loans (1978B)

Archived (1981U)

59.018

Occupational Safety and Health Loans (1972B)

Archived (1981U)

59.019

Minority Vendors Program (1974B)

Incorporated into 59.035 (1980U1)

59.020

Base Closing Economic Injury Loans (1975B)

Archived (1981U)

59.021

Handicapped Assistance Loans (1975B)

Archived (1997U)

59.022

Emergency Energy Shortage Economic Injury Loans (1975B)

Archived (1981U)

59.023

Strategic Arms Economic Injury Loans (1975B)

Archived (1981U)

59.024

Water Pollution Control Loans (1975B)

Archived (1981U)

59.025

Air Pollution Control Loans (1975B)

Archived (1981U)

59.026

Service Corps of Retired Executives and Active Corps of Executives (1976B)

Transferred from 72.006 (1976B)

Service Corps of Retired Executives Association (1988B)

Service Corps of Retired Executives (2008)

59.027

Economic Dislocation Loans (1978B)

Archived (1981U)

59.028

Regulatory Loans (1979B)

Archived (1981U)

59.029

Disaster Assistance to Nonagricultural Businesses

Appendix VII: Historical Profile of Catalog Programs

(Major Source of Employment) (1979U1)
Incorporated into 59.008 (1982B)

59.030

Small Business Energy Loans (1979B)
Consolidated from 59.031 (1989B)
Incorporated into 59.012 (1990B)

59.031

Small Business Pollution Control Financing
Guarantee (1979B)
Consolidated into 59.030 (1989B)

59.032

Office of Women's Business Enterprise (1980U1)
Archived (1968B)
Office of Women's Business Ownership (1983B)

59.033

Small Business and Capital Ownership
Development (1980U1)
Minority Small Business and Capital Ownership
Development (1981B)
Incorporated into 59.007 (1987B)

59.034

Small Business Economic Research (1980U1)
Archived (1983B)

59.035

Procurement Automated Source System (1980U1)
Incorporated from 59.019 (1980U1)
Archived (1998B)

59.036

Certified Development Company Loans (1981U)
Certified Development Company Loans (503
Loans) (1986U)
Archived (1989B)

59.037

Small Business Development Center (1981U)
Small Business Development Centers (2008)

59.038

Veterans Loan Program (1984B)
Archived (1997U)

59.039

Currency Devaluation Disaster Loans (1984U)
Currency Fluctuation Economic Injury Loans
(1985B)
Archived (1986U)

59.040

Federal Action Disaster Loans (1984U)
Federal Action Economic Injury Loans (1985B)
Archived (1986U)

59.041

Certified Development Company Loans (504
Loans) (1986U)
504 Certified Development Loans (2008)

59.042

Business Loans for 8(a) Program Participants
(1990B)
Archived (1997U)

59.043

Women's Business Ownership Assistance (1990B)

59.044

Veterans Entrepreneurial Training Assistance
(1990B)
Veterans Entrepreneurial Training and Counseling
(1991U)
Veterans Business Development (2008)

59.045

Natural Resource Development (1991B)
Archived (1995U)

59.046

Microloan Demonstration Program (1992U)
Microloan Program (2004)

59.047

Pre Disaster Mitigation Loan Program (2004)

59.048

Military Reserve Economic Injury Loan (2004)

59.049

Office of Small Disadvantaged Business
Certification and Eligibility (2000B)
Small Disadvantaged Businesses (2007)

59.050

Microenterprise Developments Grant (2001U)
PRIME Technical Assistance (2007)

59.051

New Markets Venture Capital (2001U)
NEW MARKETS VENTURE CAPITAL
PROGRAM, OPERATIONAL ASSISTANCE
(OA) GRANTS (2005)
New Markets Venture Capital Program,
Operational Assistance (OA) Grants (2005)
New Markets Venture Capital Program,
Operational Assistance (OA) Grants (2005)
New Markets Venture Capital Program (2007)

59.052

Native American Economic Development
Assistance (2005)
Native American Outreach (2008)

59.053

Small Business and Agriculture Regulatory
Enforcement Ombudsman and Small Business

Regulatory Fairness Boards (2005)
Ombudsman and Regulatory Fairness Boards
(2007)

59.054

7(a) Loans (Export Loans) (2005)
7(a)Export Loan Guarantees (2008)

59.055

HUBZone Program (2008)

59.056

Secondary Market Lending Authority (2009)

59.057

America's Recovery Capital Loans (2009)

59.058

Federal and State Technology Partnership Program
(2010)

59.070

Drug-Free Workplace Program (2008)

SMITHSONIAN INSTITUTION

Smithsonian Institution_Visiting Research
Appointments (1969B)
Archived (1970B)

60.001

Smithsonian Institution Programs in Basic Research
and Public Education (1970B)
Smithsonian Institution Programs in Basic Research
in Collaboration with Smithsonian Institution Staff
(1978B)
Archived (1987B)

60.002

Academic Appointments (1970)
Incorporated into 60.001 (1978B)

60.003

Authentication and/or Repair of Oriental Objects
and Translation of Related Inscriptions (1970B)
Incorporated into 60.001 (1972B)

60.004

Chesapeake Bay Center for Environmental Studies
(1970B)
Incorporated into 60.001 (1978B)

60.005

Smithsonian Institution_Elementary and Secondary
Educational Services (1969B)
Educational Services, Elementary and Secondary
Education (1970B)
Archived (1978B)

60.006

International Exchange of Scientific and Literary
Publications and Governmental Documents

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(1970B) Archived (1978B)	60.018 Smithsonian Tropical Research Institute (1970B) Incorporated into 60.001 (1978B)	Recreation Development_Tennessee River Basin (1967B) Archived (1969B)
60.007 Museum Assistance (1969B) Museums_Assistance and Advice (1970B) Archived (1986U)	60.019 Visiting Research Appointments in Astrophysics, Geodesy, Meteoritics, and Space Sciences (1970B) Incorporated into 60.001 (1978B)	Topographic and Navigation Maps_Tennessee Valley Region (1967B) Archived (1969B)
60.008 Registral Programs (1970B) Incorporated into 60.001 (1972B)	60.020 Woodrow Wilson International Center for Scholars Fellowship and Guest Scholar Programs (1970U) Woodrow Wilson International Center for Scholars_Fellowships and Guest Scholar Programs (1971B) Archived (1987B)	Tributary Area Development_Tennessee River Basin (1967B) Archived (1969B)
60.009 Science Information Exchange (1969B) Smithsonian Science Information Exchange, Inc. (1973B) Transferred to 11.651 (1980U1)	INTERNATIONAL TRADE COMMISSION <hr/> 61.001 Tariff Adjustment (Industry) (1970B) Import Relief (Industry) (1979B) Archived (2000B)	Unified Resource Development_Tennessee Valley Authority (1967B) Archived (1969B)
60.010 Smithsonian Associates (1970B) Incorporated into 60.001 (1972B)	61.002 Trade Adjustment Assistance_Firms (1970B) Archived (1971U)	Water Quality Control_Tennessee River Basin (1967B) Archived (1969B)
60.011 Smithsonian Center for Short-Lived Phenomena (1970B) Center for Short-Lived Phenomena (1973B) Archived (1976B)	61.003 Trade Adjustment Assistance_Workers (1970B) Transferred/Incorporated into 17.400 (1971U)	62.001 Agricultural and Chemical Development_TVA (1967B) Fertilizer and Munitions Development (1969B) Fertilizer Development (1970B) National Fertilizer Development (1977U) National Fertilizer and Environmental Research Center (1992B) TVA Environmental Research Center (1994B) TVA Environmental Research and Services (1998B) TVA Energy Research and Technology Applications (1999U) Archived (2001B)
60.012 Smithsonian Institution Libraries (1970B) Incorporated into 60.001 (1972B)	TENNESSEE VALLEY AUTHORITY <hr/> Adjustment to Local Flood Hazard_Tennessee River Basin (1967B) Archived (1969B)	62.002 Tennessee Valley Region_General Resources Development (1969B) Consolidated into 62.004, 62.005, 62.006 (1980U1)
60.013 Smithsonian Institution Traveling Exhibition Service (1970B) Archived (1987B)	Electricity Supply and Utilization_Tennessee Valley Region (1967B) Archived (1969B)	62.003 Multiple-Purpose Water Resource Development_Tennessee River Basin (1967B) Tennessee Valley Region_Water Resources Development (1969B) Consolidated into 62.005 (1980U1)
60.014 Smithsonian Oceanographic Sorting Centers (1970B) Archived (1978B)	Forestry, Fish and Wildlife_Tennessee Valley Region (1967B) Archived (1969B)	62.004 Tennessee Valley Region_Community Development (1980U1) Consolidated from 62.002 (1980U1) Tennessee Valley Region_Economic and Community Development (1982B) Tennessee Valley Region Economic Development (1985U) Tennessee Valley Region_Rural Development (1992B) Tennessee Valley Region_Economic Development (1994U) Archived (1998U)
60.015 Smithsonian Publications (1970B) Incorporated into 60.001 (1972B)	Industrial Development_Tennessee Valley Region (1967B) Archived (1969B)	
60.016 Museum and Related Research_Special Foreign Currency Program (1969B) Smithsonian Special Foreign Currency Grants for Museum Programs and Related Research (1970B) Smithsonian Special Foreign Currency Grants for Museum Programs, Scientific and Cultural Research and Related Educational Activities (1976B) Archived (1986U)	Local Planning Assistance_Tennessee Valley Region (1967B) Archived (1969B)	
60.017 Smithsonian Special Public Affairs (1970B) Incorporated into 60.001 (1972B)	Mineral Resources Development_Tennessee Valley Region (1967B) Archived (1969B)	
	Navigation Development and Waterway Transportation_Tennessee River Basin (1967B) Archived (1969B)	

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62.005

Tennessee Valley Region_Natural Resources Development (1980U1)
Consolidated from 62.002, 62.003 (1980U1)
Tennessee Valley Region_Water and Land Resources (1992B)
Archived (1994U)

62.006

Tennessee Valley Region_Valley Agricultural Resources Development (1980U1)
Consolidated from 62.002 (1980U1)
Tennessee Valley Region_Valley Agricultural Institute (1988B)
Archived (1992B)

UPPER GREAT LAKES REGIONAL COMMISSION

63.001

Upper Great Lakes Regional Economic Development (1970B)
Archived (1973B)
Reinstated (1973U)
Archived (1981U)

63.002

Upper Great Lakes Technical and Planning Assistance (1975B)
Archived (1981U)

63.003

Upper Great Lakes Supplements to Federal Grant-in-Aid (1975B)
Archived (1981U)

63.004

Upper Great Lakes Regional Transportation (1977U)
Archived (1981U)

63.005

Upper Great Lakes Energy Demonstration Projects and Programs (1977U)
Archived (1981U)

63.006

Upper Great Lakes Indigenous Arts and Crafts Demonstration Projects (1977U)
Archived (1981U)

63.007

Upper Great Lakes Health and Nutrition Demonstration Projects (1977U)
Archived (1981U)

63.008

Upper Great Lakes Education Demonstration Projects (1977U)
Archived (1981U)

DEPARTMENT OF VETERANS AFFAIRS

Educational Assistance for Veterans' Children (1967B)
Archived (1969B)

Guardianship Services for Veterans' Beneficiaries (1967B)
Archived (1969B)

Relocation Housing for Aged or Families Displaced by Urban Renewal (1967B)
Archived (1969B)

Veterans Restoration Centers (1967B)
Archived (1969B)

64.001

Biomedical Research (1967B)
Medical Research Support (1986B)
Archived (1991U)

64.002

Veterans' Community Nursing Home Care Program (1967B)
Community Contract Nursing Home Care (1970B)
Community Nursing Home Care (1971B)
Veterans Community Nursing Home Care (1979B)
Archived (1981U)

64.003

Education and Training of Health Service Personnel (1969B)
Archived (1979B)

64.004

Exchange of Medical Information (1970B)
Archived (1982B)

64.005

Grant to States for Construction of State Nursing Home Care Facilities (1970B)
Grants to States for Construction of State Home Facilities (1978B)

64.006

Prosthetics Research (1969B)
Rehabilitative Engineering Research (Prosthetics) (1977B)
Rehabilitative Research (Prosthetics) (1977U)
Rehabilitative Research (1981B)
Archived (1981U)

64.007

Rehabilitation of Blind Veterans (1967B)
Blind Veterans Rehabilitation Centers (1971B)
Blind Veterans Rehabilitation Centers and Clinics (1987U)
Blind Rehabilitation Centers and Clinics (1995U)
Blind Rehabilitation Centers (1998U)

64.008

Veterans' Domiciliary Program (1965B)
Veterans Domiciliary Care and Restoration (1970B)
Veterans Domiciliary Care (1973B)

64.009

Veterans Hospitalization (1965B)
Revised
Veterans Medical Care Benefits (1997U)

64.010

Veterans Nursing Home Care Program (1967B)
Veterans Nursing Home Care (1970B)

64.011

Veterans' Outpatient Services (1967B)
Veterans Outpatient Care (1970B)
Veterans Dental Care (1999B)

64.012

Veterans Prescription Service (1967B)

64.013

Veterans Prosthetic Appliances (1967B)

64.014

Veterans State Home Program (1970B)
Veterans State Domiciliary Care (1971B)

64.015

Veterans State Nursing Home Care (1970B)

64.016

Veterans State Home Hospital Care (1970U)
Veterans State Hospital Care (1971B)

64.017

Grants to States for Remodeling of State Home Hospital/Domiciliary Facilities (1971B)
Incorporated into 64.005 (1978B)

64.018

Sharing Specialized Medical Resources (1971B)
Sharing Medical Facilities, Equipment, and Information (1975B)
Sharing Specialized Medical Resources (1978B)

64.019

Veterans Rehabilitation_Alcohol and Drug Dependency (1971U)
Veterans Rehabilitation_Alcohol and Drug Dependence (1976B)

64.020

Assistance in the Establishment of New State Medical Schools (1974U)
Archived (1979B)

64.021

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Grants to Affiliated Medical Schools_Assistance to Health Manpower Training Institutions (1974U)
Archived (1979B)

64.022

Hospital Based Home Care (1974U)
Veterans Hospital Based Home Care (1979B)
Veterans Home Based Primary Care (1997B)

64.023

Health Professional Scholarships (1982B)
Health Professional Scholarships_Nursing (1984B)
Archived (1986B)

64.024

VA Homeless Providers Grant and Per Diem Program (1995B)

64.025

Transitional Housing Loan Program (1995B)
Archived (2001B)

64.026

Veterans State Adult Day Health Care (2008)

64.027

Post-9/11 Veterans Educational Assistance (2010)

64.028

Post-9/11 Veterans Educational Assistance (2010)

64.029

Purchase Care Program (2010)

64.100

Veterans' Automobile Grants (1967B)
Automobile Grants for Disabled Veterans (1970B)
Automobiles and Adaptive Equipment for Certain Disabled Veterans and Members of the Armed Forces (1971B)

64.101

Burial Benefits for Veterans (1967B)
Burial Allowance for Veterans (1969B)
Burial Expenses Allowance for Veterans (1981U)

64.102

Compensation for Service-Connected Deaths for Veterans' Dependents (1969B)

64.103

Life Insurance for Veterans and Servicemen (1967B)
Life Insurance for Veterans (1970B)

64.104

Veterans Disability Pension (1965B)
Pension for Nonservice-Connected Disability for Veterans (1969B)

64.105

Veterans' Death Pension (1965B)
Pension for Veterans Widows and Children (1969B)
Pension for Veterans Widows or Widowers and Children (1976B)
Pension to Veterans Surviving Spouses, and Children (1980U1)

64.106

Specially Adapted Veterans Housing (1967B)
Specially Adapted Housing for Disabled Veterans (1970B)

64.107

Veterans Assistance Centers (1969B)
Archived (1977U)

64.108

Veterans' Business Loans (1967B)
Veterans Business Loans_Guaranteed and Insured Loans (1970B)
Archived (1975B)

64.109

Veterans' Disability Compensation (1965B)
Veterans Compensation for Service-Connected Disability (1970B)

64.110

Veterans' Dependency and Indemnity Compensation (1965B)
Dependency, Indemnity, and Death Compensation to Veterans' Dependents (1967B)
Veterans Dependency and Indemnity Compensation for Service-Connected Death (1970B)

64.111

Educational Assistance for Veterans (1969B)
Veterans Educational Assistance (1970B)
Archived (1990B)

64.112

Veterans Farm Loans (1969B)
Archived (1975B)

64.113

Veterans Housing_Direct Loans and Advances (1970B)
Archived (1981B)

64.114

Veterans' Home Loans (1965B)
Veterans Housing_Guaranteed and Insured Loans (1970B)

64.115

Veterans Information and Assistance (1967B)

64.116

Vocational Rehabilitation Training for Disabled Veterans (1965B)
Vocational Rehabilitation for Disabled Veterans (1970B)

64.117

War Orphans Education Program (1965B)
War Orphans and Widows Educational Assistance (1970B)
Dependents Educational Assistance (1974B)

64.118

Veterans Housing_Direct Loans for Disabled Veterans (1971B)
Veterans Housing_Direct Loans for Certain Disabled Veterans (1998B)

64.119

Veterans Housing_Mobile Home Loans (1971B)
Veterans Housing_Manufactured Home Loans (1983B)

64.120

Post-Vietnam Era Veterans' Educational Assistance (1977B)

64.121

Emergency Veterans Job Training (1984B)
Veterans' Job Training Act (1986B)
Archived (1990U)

64.122

Vocational Rehabilitation for Service-Disabled Veterans Receiving Unemployability VA Compensation (1985B)
Archived (1991U)

64.123

Vocational Training for Certain Veterans Receiving VA Pension (1985B)

64.124

All-Volunteer Force Educational Assistance (1986B)

64.125

Vocational and Educational Counseling for Servicemembers and Veterans (1992B)

64.126

Native American Veteran Direct Loan Program (1993B)

64.127

Monthly Allowance Under 38 U.S.C. 1805 for a Child Born with Spina Bifida Who Is a Child of a Vietnam Veteran (1997B)
Monthly Allowance for Children of Vietnam Veterans Born with Spina Bifida (1997U)

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64.128

Vocational Training and Rehabilitation for Vietnam Veterans' Children with Spina Bifida (1997U)
Vocational Training and Rehabilitation for Vietnam Veteran's Children with Spina Bifida or Other Covered Birth Defects (2002B)

64.200

Memorial Plots in National Cemeteries (1973U)
Transferred from 12.200 (1973U)
Memorial Plots and Markers in National Cemeteries (1974B)
Memorial Plots and Memorial Markers (1979B)
Consolidated into 64.201, 64.202 (1990B)

64.201

National Cemeteries (1973U)
Transferred from 12.201 (1973U)

64.202

Procurement of Headstones and Markers (1973U)
Transferred from 12.202 (1973U)
Procurement of Headstones and Markers and/or Presidential Memorial Certificates (1991U)

64.203

State Cemetery Grants (1979U2)

WATER RESOURCES COUNCIL

65.001

Water Resources Planning (1967B)
Archived (1983B)

ENVIRONMENTAL PROTECTION AGENCY

66.001

Air Pollution Control Program Grants (1971B)
Transferred from 13.001 (1971B)
Air Pollution Control Program Support (1985U)

66.002

Air Pollution Fellowships (1971B)
Transferred from 13.002 (1971B)
Air Pollution Control Fellowships (1976B)
Archived (1979B)

66.003

Air Pollution Manpower Training Grants (1971B)
Transferred from 13.003 (1971B)
Air Pollution Control Manpower Training Grants (1976B)
Air Pollution Control Manpower Training (1985U)
Archived (1999U)

66.004

Air Pollution Research Grants (1971B)
Transferred from 13.004 (1971B)
Air Pollution Control Research Grants (1972B)
Number changed to 66.501 (1973B)

66.005

Air Pollution Survey and Demonstration Grants (1971B)
Transferred from 13.005 (1971B)
Air Pollution Control Survey and Demonstration Grants (1976B)
Archived (1979B)

66.006

Air Pollution Control Technical Training (1971U)
Air Pollution Control_Technical Training (1973B)
Archived (1998B)

66.007

Air Pollution Control National Aerometric Data Information (1971U)
Air Pollution Control_National Ambient Air and Source Emission Data (1972U)
Archived (1996U)

66.008

Air Pollution Control Technical Assistance (1971U)
Air Pollution Control_Technical Assistance (1973B)
Archived (1985B)

66.009

Air Pollution Control Technical Information (1971U)
Air Pollution Control_Technical Information Services (1973B)
Air Pollution_Technical Information Services (1986B)
Air Information Center (1987U)
Protection of Children and the Aging as a Fundamental Goal of Public Health and Environmental Protection (2003)
Protection of Children and Older Adults (Elderly) from Environmental Health Risks (2004)
Deleted (2005)

66.010

Air Pollution Control_Interstate Cooperation and Uniform Laws (1972B)
Archived (1973U)

66.011

Solid Waste Technical Assistance, Training, and Information Services (1974B)
Number changed from 66.304 (1974B)
Solid Waste Technical Assistance, and Information Services (1975B)
Solid Waste Disposal Technical Assistance, and Information Services (1976B)
Solid Waste Management Technical Assistance, and Information Services (1976U)
Number changed to 66.450 (1977U)

66.012

Water Pollution Control Data Publications Services

(1973B)

Number changed from 66.402 (1973B)
Number changed to 66.416 (1974B)

66.013

Water Pollution Control_Direct Training (1973B)
Number changed from 66.403 (1973B)
Number changed to 66.417 (1974B)

66.014

Water Pollution Control_Interstate Cooperation and Uniform Laws (1973B)
Number changed from 66.404 (1973B)
Incorporated into 66.650 (1973U)

66.015

Construction Grants for Wastewater Treatment Works (1973B)
Number changed from 66.400 (1973B)
Number changed to 66.418 (1974B)

66.017

Water Pollution Control_State and Interstate Program Grants (1973B)
Number changed from 66.407 (1973B)
Number changed to 66.419 (1974B)

66.018

Water Pollution Control_State and Local Manpower Development (1973B)
Number changed from 66.408 (1973B)
Number changed to 66.420 (1974B)

66.019

Water Pollution Control_Technical Assistance and Criteria Development (1973B)
Number changed from 66.409 (1973B)
Archived (1974B)

66.020

Water Pollution Control_Training Grants (1973B)
Number changed from 66.410 (1973B)
Number changed to 66.421 (1974B)

66.021

Water Quality Control_Monitoring Assistance (1973B)
Number changed from 66.411 (1973B)
Number changed to 66.422 (1974B)

66.022

Water Quality Control Information System_Orientation and Training Seminars (1973B)
Number changed from 66.412 (1973B)
Number changed to 66.423 (1974B)

66.023

Water Quality Management Technical Planning Assistance (1973B)

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Number changed from 66.413 (1973B)
Archived (1974B)

66.025

Drinking Water Supply_Technical Assistance (1973B)
Number changed from 66.415 (1973B)
Number changed to 66.425 (1974B)

66.026

Solid Waste Training Grants (1975B)
Solid Waste Disposal Training Grants (1976B)
Number changed to 66.453 (1977U)

66.027

Solid Waste Demonstration and Planning Grants (1975B)
Solid Waste Planning Grants (1975U)
Split into 66.027, 66.028 (1975U)
Solid Waste Disposal Planning Grants (1976B)
Number changed to 66.451 (1977U)

66.028

Solid Waste Demonstration Grants (1975U)
Split from 66.027 (1975U)
Solid Waste Disposal Demonstration Grants (1976B)
Number changed to 66.452 (1977U)

66.030

Noise Pollution Control_Technical Assistance (1976B)
Archived (1981U)

66.031

Quiet Communities_State and Local Capacity Building Assistance (1979B)
Archived (1981U)

66.032

State Indoor Radon Grants (1989U)

66.033

Ozone Transport (1997U)
Ozone Transport Commission (2006)

66.034

Surveys, Studies Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2002B)
Surveys Studies, Investigations Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2003B)
Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)
Surveys, Studies, Investigations, Demonstrations and Special Purpose Activities Relating to the Clean Air Act (2005)
Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities

Relating to the Clean Air Act (2008)

66.035

Community Action for a Renewed Environment (CARE) Program (2005)

66.036

Clean School Bus USA (2005)

66.037

Internships, Training, Workshops and Fellowships for the Office of Air and Radiation (2006)
Internships, Training and Workshops for the Office of Air and Radiation (2008)

66.038

Training, Investigations, and Special Purpose Activities of Federally-Recognized Indian Tribes Consistent With the Clean Air Act (CAA), Tribal Sovereignty and the Protection and Management of Air Quality (2008)

66.039

National Clean Diesel Funding Assistance Program (2008)
National Clean Diesel Emissions Reduction Program (2010)

66.040

State Clean Diesel Grant Program (2008)

66.041

Climate Showcase Communities Grant Program (2009)

66.042

Temporally Integrated Monitoring of Ecosystems (TIME) and Long-Term Monitoring (LTM) Program (2010)

66.050

Potomac Highlands Implementation Grants (2010)

66.100

Pesticides Research Grants (1971B)
Transferred from 13.102 (1971B)
Number changed to 66.502 (1973B)

66.101

Pesticides Science and Technology_Technical Information (1971U)
Number changed to 66.427 (1974B)

66.110

Healthy Communities Grant Program (2005)

66.111

Regional Environmental Priority Projects (2005)

66.112

Surveys, Studies, Investigations, Training,

Demonstrations, and Special Purpose Grants for Regional Geographic Initiatives (2008)
Archived (2009)

66.113

Region 3 Environmental Priority Projects (2006)

66.114

Environmental Protection Consolidated Grants-Program Support (2006)

66.115

Surveys, Studies, Investigations, Training, Demonstrations, and Special Purpose Grants for EPA Region 10 Regional Geographic Initiative (2006)

66.116

Regional Geographic Initiative/Environmental Priority Projects (2006)
Archived (2009)

66.117

Region 9 multi-media and geographic priority projects (2007)
Region 9 Multi-Media and Geographic Priority Projects (2009)

66.119

West Coast Estuaries Initiative (2008)

66.120

Puget Sound Watershed Management Assistance (2009)

66.121

Puget Sound Protection and Restoration: Tribal Implementation Assistance Program (2009)

66.122

Puget Sound Action Agenda Outreach, Education and Stewardship Support Program (2009)

66.123

Puget Sound Action Agenda: Technical Investigations and Implementation Assistance Program (2009)

66.124

Coastal Wetlands Planning Protection and Restoration Act (2010)

66.125

Lake Pontchartrain Basin Restoration Program (PRP) (2010)

66.200

Radiation Research Grants (1971B)
Split/Transferred from 13.012 (1971B)
Number changed to 66.503 (1973B)

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66.201 Radiation Training Grants (1971B) Split/Transferred from 13.013 (1971B) Archived (1973U)	(2005) Archived (2009)	66.405 Water Pollution Control_Research, Development, and Demonstration Grants (1971B) Transferred from 15.705 (1971B) Water Pollution Control_Research, Development, and Demonstration (1972B) Number changed to 66.505 (1973B)
66.202 Congressionally Mandated Projects (2006)	66.307 Environmental Justice Training and Fellowship Assistance (2005)	66.406 Water Pollution Control Research Fellowships (1971B) Transferred from 15.706 (1971B) Archived (1973B)
66.203 Environmental Finance Center Grants (2008)	66.308 Environmental Justice Research Assistance (2005)	66.407 Water Pollution Control_State and Interstate Program Grants (1971B) Transferred from 15.707 (1971B) Number changed to 66.017 (1973B)
66.300 Solid Waste Demonstration and Resource Recovery System Grants (1971B) Transferred from 13.014 (1971B) Archived (1973B)	66.309 Surveys, Studies, Investigations and Special Purpose Activities Relating to Environmental Justice (2005)	66.408 Water Pollution Control_State and Local Manpower Development (1971B) Transferred from 15.708 (1971B) Number changed to 66.018 (1973B)
66.301 Solid Waste Planning Grants (1971B) Transferred from 13.015 (1971B) Archived (1973B)	66.310 Capacity Building Grants and Cooperative Agreements for Compliance Assurance and Enforcement Activities in Indian Country and Other Tribal Areas (2005)	66.409 Water Pollution Control_Technical Assistance and Pollution Surveillance (1971B) Transferred from 15.709 (1971B) Number changed to 66.019 (1973B)
66.302 Solid Waste Research Grants (1971B) Transferred from 13.016 (1971B) Number changed to 66.504 (1973B)	66.311 Assistance to Non-Profit Organizations with Activities Relating to Environmental Justice (2006)	66.410 Water Pollution Control Training Grants (1971B) Transferred from 15.710 (1971B) Number changed to 66.020 (1973B)
66.303 Solid Waste Training Grants (1971B) Transferred from 13.017 (1971B) Archived (1973B)	66.312 State Environmental Justice Cooperative Agreement Program (2008)	66.411 Water Quality Control Information System (1971B) Transferred from 15.711 (1971B) Number changed to 66.021 (1973B)
66.304 Solid Waste Technical Assistance, Training, and Information Services (1971U) Number changed to 66.011 (1974B)	66.401 Water Pollution Control Comprehensive Basin Planning Grants (1971B) Transferred from 15.701 (1971B) Water Pollution Control_Comprehensive Basin Planning Grants (1972B) Archived (1973B)	66.412 Water Quality and Pollution Control_Orientation and Training Seminars (1971B) Transferred from 15.712 (1971B) Number changed to 66.022 (1973B)
66.305 Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2002U) 66.476 Security Planning Grants for Large Drinking Water Utilities (2002U) Reinstated - Compliance Assistance_Support for Services to the Regulated Community and Other Assistance Providers (2003) Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005) Compliance Assistance Support for Services to the Regulated Community and Other Assistance Providers (2005)	66.402 Water Pollution Control Data Publications Services (1971B) Transferred from 15.702 (1971B) Number changed to 66.012 (1973B)	66.413 Water Quality Management Technical Planning Assistance (1971B) Transferred from 15.713 (1971B) Number changed to 66.023 (1973B)
66.306 Environmental Justice Collaborative Problem-Solving Grants Program (2004) Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program (2005) Environmental Justice Collaborative Problem-Solving Cooperative Agreement Program	66.403 Water Pollution Control_Direct Training (1971B) Transferred from 15.703 (1971B) Number changed to 66.013 (1973B)	66.414 Water Hygiene Research Grants (1971B) Transferred from 13.018 (1971B) Incorporated into 66.405 (1972B)
	66.404 Water Pollution Control_Interstate Cooperation and Uniform Laws (1971B) Transferred from 15.704 (1971B) Number changed to 66.014 (1973B)	66.415

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Drinking Water Supply_Technical Assistance (1972B)

Number changed to 66.025 (1973B)

66.416

Water Pollution Control_Data Publications Services (1974B)

Number changed from 66.012 (1974B)

Incorporated into 66.423 (1977B)

66.417

Water Pollution Control_Direct Training (1974B)

Number changed from 66.013 (1974B)

Archived (1982B)

66.418

Construction Grants for Wastewater Treatment Works (1974B)

Number changed from 66.015 (1974B)

Archived (1992B)

Reinstated (2000U)

66.419

Water Pollution Control_State and Interstate Program Grants (1974B)

Number changed from 66.017 (1974B)

Water Pollution Control State and Interstate Program Support (1985U)

Water Pollution Control State and Interstate Program Support (2004)

Water Pollution Control State and Interstate Program Support (2004)

Water Pollution Control State, Interstate, and Tribal Program Support (2006)

66.420

Water Pollution Control_State and Local Manpower Development (1974B)

Number changed from 66.018 (1974B)

Water Pollution Control_State and Local Manpower Program Development (1976B)

Archived (1981U)

66.421

Water Pollution Control_Training Grants (1974B)

Number changed from 66.020 (1974B)

Split into 66.428, 66.429 (1974U)

66.422

Water Quality Control_Monitoring Assistance (1974B)

Number changed from 66.021 (1974B)

Incorporated into 66.423 (1977B)

66.423

Water Quality Control Information System_Orientation and Training Seminars (1974B)

Number changed from 66.022 (1974B)

Water Quality Control Information

System_Orientation/Training Seminars, Data and Monitoring Publications (1977B)

Archived (1997B)

66.424

Surveys, Studies, Demonstrations and Special Purpose_Section 1442 of the Safe Drinking Water Act (2003B)

Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)

Surveys, Studies, Investigations, Demonstrations, and Training Grants - Section 1442 of the Safe Drinking Water Act (2008)

66.425

Drinking Water Supply_Technical Assistance (1974B)

Number changed from 66.025 (1974B)

Archived (1983U)

66.426

Water Pollution Control_Area Waste Treatment Management Planning Grants (1974B)

Water Pollution Control_State and Areawide Waste Treatment Management Planning Grants (1976U)

Water Pollution Control_State and Areawide Water Quality Management Planning Agency (1977B)

Archived (1983B)

66.427

Pesticides Science and Technology_Technical Information (1974B)

Number changed from 66.101 (1974B)

Archived (1979B)

66.428

Water Pollution Control_Professional Training Grants (1974U)

Split from 66.421 (1974U)

Archived (1982B)

66.429

Water Pollution Control_Technical Training Grants (1974U)

Split from 66.421 (1974U)

Archived (1981B)

66.430

Water Pollution Control Fellowships (1974U)

Archived (1981U)

66.431

Water Pollution Control Loans (1974U)

Transferred/Incorporated into 59.024 (1979B)

66.432

Grants for State Public Water System Supervision Programs (1975U)

Public Water System Supervision_State Program Grants (1976B)

State Public Water System Supervision Program Grants (1976U)

State Public Water System Supervision (1985U)

66.433

Grants for Underground Injection Control Programs (1975U)

Underground Water Source Protection_State Program Grants (1976B)

State Underground Water Source Protection Program Grants (1976U)

State Underground Water Source Protection_Program Grants (1981B)

State Underground Water Source Protection (1985U)

66.434

Safe Drinking Water_State and Local Program Development Grants (1976U)

Archived (1979B)

66.435

Water Pollution Control_Lake Restoration Demonstration Grants (1976U)

Water Pollution Control_Lake Restoration Cooperative Agreements (1980U1)

Archived (1981U)

Reinstated (1987U)

Archived (1998B)

66.436

Surveys, Studies, Investigations, Demonstrations and Training Grants and Cooperative Agreements_Section 1442 of the Clean Water Act (2003B)

Surveys, Studies, Demonstrations and Special Purpose Grants - Section 1442 of the Safe Drinking Water Act (2004)

66.437

Long Island Sound Program (2004)

66.438

Construction Management Assistance Grants (1978U)

Construction Management Assistance (1985U)

Archived (1998B)

66.439

Targeted Watershed Initiative (2003B)

Targeted Watershed Grants (2004)

Targeted Watersheds Grants (2006)

66.450

Solid Waste Management Technical Assistance and Information Services (1977U)

Number changed from 66.011 (1977U)

Archived (1981U)

66.451

Solid and Hazardous Waste Management Program

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Support Grants (1977U) Number changed from 66.027 (1977U) Hazardous Waste Management Program Support Grants (1981U) Hazardous Waste Management Financial Assistance to States (1982B) Number changed to 66.801 (1983B)	Regional Wetland Program Development Grants (2005) Regional Wetland Program Development Grants (2005)	Agreements (2001U)
66.452 Solid Waste Management Demonstration Grants (1977U) Number changed from 66.028 (1977U) Archived (1981B)	66.462 National Wetland Program Development Grants (2005) National Wetland Program Development Grants and Five-Star Restoration Training Grant (2008)	66.474 Water Protection Coordination Grants to States (2002B) Water Protection Grants to the States (2003B)
66.453 Solid Waste Management Training Grants (1977U) Number changed from 66.026 (1977U) Archived (1981U)	66.463 National Pollutant Discharge Elimination System Related State Program Grants (1991U) Water Quality Cooperative Agreements (2000U)	66.475 Gulf of Mexico Program (2002B)
66.454 Water Quality Management Planning (1983B)	66.464 Near Coastal Waters (1991U) Archived (1994B)	66.476 Vulnerability Assessments and Related Security Improvements at Large Drinking Water Utilities (2003B) Security Planning Grants for Large Drinking Water Utilities (2004) Deleted (2005)
66.455 Marine Combined Sewer Overflow Special Fund (1984B) Construction Grants for Wastewater Treatment Works for Combined Sewer Overflows (1985U) Archived (1986B) Construction Grants for Abatement of Combined Sewer Overflow Pollution in Marine Bays and Estuaries, Reinstated (1988U) Archived (1989U)	66.465 Wellhead Protection Demonstration Projects (1994B) Archived (1995B)	66.477 Security Grants for Privately-Owned Water Utilities (2002U)
66.456 Comprehensive Estuarine Management (1985U) National Estuary Program (1987U)	66.466 Chesapeake Bay Program (1994B) Chesapeake Bay Program (2005) Chesapeake Bay Program (2005)	66.478 Water Security Training and Technical Assistance Grant Program (2003B) Water Security Training and Technical Assistance (2004) Water Security Training and Technical Assistance and Water Security Initiative Contamination Warning System Pilots (2007)
66.458 Capitalization Grants for State Revolving Funds (1988B) Capitalization Grants for Clean Water State Revolving Funds (2003B)	66.467 Wastewater Operator Training Grant Program (Technical Assistance) (1994U) Wastewater Operator Training Grant Program (2009)	66.479 Wetland Program Grants - State/Tribal Environmental Outcome Wetland Demonstration Program (2005)
66.459 Nonpoint Source Reservation (1988B) Archived (1991B)	66.468 Capitalization Grants for Drinking Water State Revolving Fund (1997B)	66.480 Assessment and Watershed Protection Program Grants (2005)
66.460 Nonpoint Source Implementation (1990B) Archived (1991B) Nonpoint Source Implementation Grants (1993B)	66.469 Great Lakes Program (1997B)	66.481 Lake Champlain Basin Program (2005)
66.461 Wetlands Protection_State Development Grants (1989U) Wetlands Protection_State and Tribal Development Grants (1995U) Wetlands Protection_Development Grants (1997B) Wetlands Grants (2000U) Wetland Program Development Grants (2003B)	66.470 Hardship Grants Program for Rural Communities (1997B) Archived (1998B)	66.500 Environmental Protection_Comprehensive Research Grants (1972U) Environmental Protection_Consolidated Research Grants (1974U) Environmental Protection_Consolidated Research (1985U) Consolidated from 66.501, 66.502, 66.504, 66.505, 66.506, 66.507 (1997B) Environmental Protection Consolidated Research (2002B) Environmental Protection_Consolidated Research (2003B) Deleted (2005) Deleted (2005)
	66.471 State Grants to Reimburse Operators of Small Water Systems for Training and Certification Costs (2001B)	66.501 Air Pollution Control Research Grants (1973B)
	66.472 Beach Monitoring and Notification Program Development Grants (2001B) Beach Monitoring and Notification Program Implementation Grants (2003B)	
	66.473 Direct Implementation Tribal Cooperative	

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Number changed from 66.004 (1973B)
Air Pollution Control Research (1985U)
Consolidated into 66.500 (1997B)

66.502

Pesticides Research Grants (1973B)
Number changed from 66.100 (1973B)
Pesticides Control Research Grants (1976B)
Pesticides Control Research (1985U)
Consolidated into 66.500 (1997B)

66.503

Radiation Research Grants (1973B)
Number changed from 66.200 (1973B)
Archived (1977B)

66.504

Solid Waste Research Grants (1973B)
Number changed from 66.302 (1973B)
Solid Waste Disposal Research Grants (1976B)
Solid Waste Disposal Research (1985U)
Consolidated into 66.500 (1997B)

66.505

Water Pollution Control_Research, Development,
and Demonstration (1973B)
Number changed from 66.405 (1973B)
Water Pollution Control_Research, Development,
and Demonstration Grants (1975B)
Wastewater Pollution Control_Research,
Development, and Demonstration (1985U)
Consolidated into 66.500 (1997B)

66.506

Water Supply Research Grants (1973B)
Water Research and Demonstration Grants (1975U)
Safe Drinking Water Research and Demonstration
(1985U)
Consolidated into 66.500 (1997B)

66.507

Toxic Substances Research Grants (1978B)
Toxic Substances Research (1985U)
Consolidated into 66.500 (1997B)

66.508

Senior Environmental Employment Program
(1986B)

66.509

Science To Achieve Results (STAR) Program
(2002U)
Science To Achieve Results (STAR) Research
Program (2005)
Science To Achieve Results (STAR) Research
Program (2005)

66.510

Surveys, Studies, Investigations and Special
Purpose Grants within the Office of Research and

Development (2003B)

66.511

Consolidated Research (2002U)
66.511, 66.610 Surveys, Studies, Investigations
and Special Purpose Grants (2002U)
Office of Research and Development Consolidated
Research (2003)
Office of Research and Development Consolidated
Research/Training (2005)
Office of Research and Development Consolidated
Research/Training (2005)
Office of Research and Development Consolidated
Research/Training/Fellowships (2008)

66.512

Regional Environmental Monitoring and
Assessment Program (REMAP) Research Projects
(2005)

66.513

Greater Research Opportunities Fellowship
Program (2004)
Greater Research Opportunities (GRO) Fellowships
For Undergraduate/Graduate Environmental Study
(2006)
Greater Research Opportunities (GRO) Fellowships
For Undergraduate Environmental Study (2009)

66.514

Science To Achieve Results (STAR) Fellowship
Program (2004)

66.515

Greater Opportunities Research Program (2004)
Greater Opportunities: Research Program (2005)
Greater Research Opportunities (GRO) Research
Program (2008)
Archived (2009)

66.516

National Student Design Competition for
Sustainability (2004)
P3 Award: National Student Design Competition
for Sustainability (2004)

66.517

Regional Applied Research Efforts (RARE) (2007)

66.518

State Senior Environmental Employment Program
(2005)

66.600

Environmental Protection_Consolidated Program
Grants (1973B)
Environmental Protection_Consolidated Program
Support Grants (1975U)
Environmental Protection Consolidated
Grants_Program Support (1976B)
Environmental Protection Consolidated

Grants-Program Support (2004)

Environmental Protection Consolidated Grants for
the Insular Areas - Program Support (2008)

66.601

Environmental Financing Authority (1974U)
Archived (1975U)

66.602

Environmental Protection_Consolidated Special
Purpose Grants (1975U)
Environmental Protection Consolidated
Grants_Special Purpose (1976B)
Incorporated into 66.600 (1982B)

66.603

Loan Guarantees for Construction of Treatment
Works (1978U)

66.604

Environmental Justice Grants to Small Community
Groups (1994B)
Environmental Justice Small Grant Program
(2005)
Environmental Justice Small Grant Program
(2005)

66.605

Performance Partnership Grants (1997B)

66.606

Surveys, Studies, Investigations and Special
Purpose Grants (1997B)

Incorporated into 66.202 (2006)

66.607

Training and Fellowships for the Environmental
Protection Agency (1997B)

66.608

One Stop Reporting (1997U)
State Information Grants (2002B)
Environmental Information Exchange Network
Grant Program and Related Assistance (2005)

66.609

Protection of Children And The Aging (Or Edlerly
Population) (2003B)
Protection of Children and the Aging as a
Fundamental Goal of Public Health and
Environmental Protection (2003B)

66.610

Surveys, Studies, Investigations and Special
Purpose Grants within the Office of the
Administrator (2003B)

66.611

Environmental Policy and Innovation Grants
(2002U)

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66.612 Surveys, Studies, Investigations, Training Demonstrations and Educational Outreach Related to Environmental Information and the Release of Toxic Chemicals (2007)	Archived (1994B)	Risks Associated With Lead (2008)
66.650 Environmental Protection Interstate Cooperation and Uniform Laws (1973U) Number changed from 66.014 (1973U) Archived (1978U)	66.707 TSCA Title IV State Lead Grants_Certification of Lead- Based Paint Professionals (1994B) TSCA Title IV State Lead Grants Certification of Lead_Based Paint Professionals (2003B) TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005) TSCA Title IV State Lead Grants Certification of Lead-Based Paint Professionals (2005)	66.716 Surveys, Studies, Investigations, Training and Demonstrations and Educational Outreach (2003B) Research, Development, Monitoring, Public Education, Training, Demonstrations, and Studies (2008)
66.651 Sustainable Development Challenge Grants (1997B) Innovative Community Partnership (2000B) Archived (2000U)	66.708 Pollution Prevention Grants Program Number changed from 66.900 (1994B) Revised (1997U)	66.717 Source Reduction Assistance (2002U)
66.700 Pesticides Enforcement Program Grants (1977U) Pesticides Enforcement Program (1985U) Consolidated Pesticide Compliance Monitoring and Program Cooperative Agreements (1990B) Incorporated from 66.704 (1990B) Consolidated Pesticide Enforcement Cooperative Agreements (1997B)	66.709 Capacity Building Grants and Cooperative Agreements for States and Tribes (2001B) Multi-Media Capacity Building Grants for States and Tribes (2005) Multi-Media Capacity Building Grants for States and Tribes (2005)	66.718 National Community-Based Lead Outreach and Training Grant Program (2007)
66.701 Toxic Substances Compliance Monitoring Cooperative Agreements (1984U) Toxic Substances Compliance Monitoring Program (1989U) Toxic Substances Compliance Monitoring Cooperative Agreements (1995B)	66.710 Environmental Justice Community/University Partnership Grants Program (1997B) Archived (2001B)	66.800 State Toxic Substances Control Projects (1979B) Archived (1981B)
66.702 Asbestos Hazard Abatement School Assistance Program (1984U) Asbestos Hazards Abatement (Schools) Assistance (1985U) Archived (1994B)	66.711 Environmental Justice through Pollution Prevention Grants (1999B) Archived (2002B)	66.801 Hazardous Waste Management Financial Assistance to States (1983B) Number changed from 66.451 (1983B) Hazardous Waste Management State Program Support (1985U)
66.703 Asbestos Inspection and Management Plan Assistance (1987U) Archived (1989B)	66.713 State and Tribal Environmental Justice (1998B) Archived (2001B)	66.802 Hazardous Substance Response Trust Fund (1983B) Superfund State Site_Specific Cooperative Agreements (1994U) Superfund State, Political Subdivision, and Indian Tribe Site_Specific Cooperative Agreements (2002B) Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements (2009)
66.704 Pesticides Certification Program (1988U) Incorporated into 66.700 (1990B)	66.714 Pesticide Environmental Stewardship_Regional Grants (1999U) Pesticide Environmental Stewardship Regional Grants (2003B) Pesticide Environmental Stewardship_Regional Grants (2004) Pesticide Environmental Stewardship Regional Grants (2005) Pesticide Environmental Stewardship Regional Grants (2005)	66.803 Hazardous Waste Management Financial Assistance (1984U) Archived (1985B)
66.705 Toxic Release Inventory Data Quality Assurance Program (1990B) Toxic Release Inventory Data Quality Capabilities Program (1991B) Archived (1992B)	66.715 Childhood Blood-Lead Screening and Lead Awareness (Educational) Outreach for Indian Tribes (2001B) Tribal Educational Outreach on Lead Poisoning and Baseline Assessment of Tribal Children's Existing and Potential Exposure and Risks Associated With Lead (2006) Lead Educational Outreach and Baseline Assessment of Tribal Children's Exposure and	66.804 State Underground Storage Tanks Program (1985U) State and Tribal Underground Storage Tanks Program (2000B) Underground Storage Tank Prevention, Detection and Compliance Program (2009)
66.706 Enhancement Grants for State Asbestos Programs (1991B)		66.805 Underground Storage Tank Trust Fund Program (1987B) Leaking Underground Storage Tank Trust Fund Program (1995B) Leaking Underground Storage Tank_Trust Fund (2002B) Leaking Underground Storage Tank Trust Fund Program (2003B)

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Leaking Underground Storage Tank Trust Fund
Corrective Action Program (2009)

66.806

Superfund Technical Assistance Grants for Citizen
Groups at Priority Sites (1988B)

Superfund Technical Assistance Grants (Tag) for
Citizen Groups at Priority Sites (2002B)

Superfund Technical Assistance Grants for Citizen
Groups at Priority Sites (2003B)

Superfund Technical Assistance Grants (TAG) for
Community Groups at National Priority List (NPL)
Sites (2004)

66.807

Superfund Innovative Technology Evaluation
Program (1988B)

Deleted (2004)

66.808

Solid Waste Management Assistance (1989U)

Solid Waste Management Assistance Grants (2005)

Solid Waste Management Assistance Grants (2005)

66.809

Core Program Cooperative Agreements (1991B)

Superfund State Core Program Cooperative
Agreements (1994U)

Superfund State and Indian Tribe Core
Program Cooperative Agreements (2002B)

Superfund State and Indian Tribe Core Program
Cooperative Agreements (2003B)

66.810

Emergency Planning and Community
Right-to-Know Technical Assistance Grants
(1993U)

Technical Assistance Grants Program (1994U)

CEPP Technical Assistance Grants Program
(1995U)

Chemical Emergency Preparedness and Prevention
(CEPP) Technical Assistance Grants Program
(2003B)

66.811

Brownfield Pilots Cooperative Agreements
(1997B)

Revised (1998U)

66.812

Hazardous Waste Management Grants for Tribes
(2002B)

Tribal Hazardous Waste Grants (2003B)

Hazardous Waste Management Grant Program for
Tribes (2005)

Hazardous Waste Management Grant Program for
Tribes (2005)

66.813

Alternative/Innovative Treatment Technology
Research, Demonstration, Training, and Hazardous

Substance Research Grants (2002U)

66.814

Brownfields Training, Research, and Technical
Assistance Grants and Cooperative Agreements
(2003B)

66.815

Brownfield Job Training Cooperative Agreements
(2003B)

66.816

Headquarter and Regional Underground Storage
Tanks (2002U)

Headquarters and Regional Underground Storage
Tanks Program (2005)

Headquarters and Regional Underground Storage
Tanks Program (2005)

Headquarters and Regional Underground Storage
Tanks Program (2005)

66.817

State and Tribal Response Program Grants (2002U)

66.818

Brownfield Assessment and Cleanup Cooperative
Agreements (2002U)

66.900

Pollution Prevention Incentives for States (1989U)

Pollution Prevention Grants Program (1991U)

Number changed to 66.708 (1994B)

66.925

State/EPA Data Management Financial Assistance
Program (1991B)

Archived (1993U)

66.926

Indian Environmental General Assistance Program
(1993U)

Indian Environmental General Assistance Program
(GAP) (2003B)

66.930

U.S.-Mexico Border Grants Program (1997B)

Archived (2002B)

66.931

International Financial Assistance Projects (2002U)
Agency Request (2009)

International Financial Assistance Projects
Sponsored by the Office of International Affairs
(2009)

International Financial Assistance Projects
Sponsored by the Office of International and Tribal
Affairs (2010)

66.940

Environmental Policy and State Innovation Grants
(2005)

Environmental Policy and State Sustainability
Grants (2010)

66.950

Environmental Education and Training Program
(1992B)

Environmental Education Training Program (2009)

National Environmental Education Training
Program (2010)

66.951

Environmental Education Grants (1992B)

66.952

National Network for Environmental Management
Studies Fellowship Program (2005)

NATIONAL COUNCIL ON INDIAN OPPORTUNITY

67.001

Indian Information and Counseling (1971B)

Indian Advice and Special Services (1973B)

Archived (1974B)

NATIONAL GALLERY OF ART

68.001

National Gallery of Art Extension Service (1971B)

OFFICE OF CONSUMER AFFAIRS

69.001

Consumer Affairs (1971B)

Transferred from 52.500 (1971B)

Archived (1973B)

OVERSEAS PRIVATE INVESTMENT CORPORATION

70.001

Foreign Currency Loans (1971B)

Transferred from 19.001 (1971B)

Archived (1979B)

70.002

Foreign Investment Guaranties (1971B)

Transferred from 19.004 (1971B)

Foreign Investment Financing (1994B)

70.003

Foreign Investment Insurance (1971B)

Transferred from 19.005 (1971B)

70.004

Pre-Investment Assistance (1971B)

Archived (1986U)

70.005

Direct Investment Loans (1971B)

Consolidated into 70.002 (1994B)

FEDERAL HOME LOAN BANK BOARD

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71.001

Housing Opportunity Allowance Program (1971B)
Archived (1972B)

ACTION

72.001

Foster Grandparents (1971U)
The Foster Grandparents Program (1973B)
The Foster Grandparent Program (1977B)
Foster Grandparent Program (1984B)
Number changed to 94.011 (1995B)

72.002

Retired Senior Volunteers (1971U)
Retired Senior Volunteer Program (1973B)
Number changed to 94.002 (1995B)

72.003

Volunteers in Service to America (1971U)
Number changed to 94.013 (1995B)

72.004

University Year for ACTION (1973B)
Archived (1981U)

72.005

National Student Volunteer Program (1973B)
National Center for Service-Learning (1979U1)
Service-Learning Programs (1983B)
Student Community Service Program (1989U)
Archived (1994B)

72.006

Service Corps of Retired Executives/Active Corps
of Executives (1972U)
Service Corps of Retired Executives and Active
Corps of Executives (1973B)
Transferred to 59.026 (1976B)

72.007

ACTION Cooperative Volunteer Program (1974B)
Incorporated into 72.003 (1976U)

72.008

The Senior Companion Program (1974B)
Senior Companion Program (1984B)
Number changed to 94.016 (1995B)

72.009

The Youth Challenge Program (1975B)
Archived (1979B)

72.010

Mini-Grant Program (1976B)
Archived (1992B)

72.011

State Volunteer Services Coordinator Program
(1977B)
State Office of Voluntary Citizen Participation

(1979U1)

State Office of Voluntarism (1986B)
Archived (1989U)

72.012

Special Volunteer Programs (1979U1)
Volunteer Demonstration Program (1981U)
Archived (1991B)

72.013

Support Services Assistance (1980U1)
Technical Assistance Program (1981U)
Archived (1991B)

72.014

Drug Alliance (1989B)
Archived (1994B)

72.015

Literacy Corps (1989B)
Number changed to 94.010 (1995B)

SPECIAL ACTION OFFICE FOR DRUG ABUSE PREVENTION

73.001

Drug Abuse Prevention_Pharmacological Research
(1973U)
Archived (1975B)

73.002

Drug Abuse Prevention_Planning and Coordinating
Functions (1973U)
Archived (1975B)

73.003

Drug Abuse Prevention_Incentives Program
(1973U)
Archived (1975B)

AMERICAN REVOLUTION BICENTENNIAL ADMINISTRATION

74.001

Bicentennial Administration_Matching Project
Grants (Non-Appropriated) (1974B)
Archived (1976B)

74.002

Bicentennial Administration_Matching Project
Grants (Appropriated) (1974B)
Archived (1976B)

74.003

Bicentennial Administration_Direct Grants (1974B)
Archived (1976B)

OLD WEST REGIONAL COMMISSION

75.001

Old West Regional Economic Development
(1975B)
Archived (1981U)

75.002

Old West Technical and Planning Assistance
(1975B)
Archived (1981U)

75.003

Old West Supplements to Federal Grant-in-Aid
(1977B)
Archived (1981U)

75.007

Old West Health and Nutrition Demonstration
Projects (1977U)
Archived (1981U)

PACIFIC NORTHWEST REGIONAL COMMISSION

76.001

Pacific Northwest Economic Development (1975B)
Pacific Northwest Regional Economic
Development (1975U)
Archived (1981U)

76.002

Pacific Northwest Technical and Planning
Assistance (1975B)
Archived (1981U)

76.004

Pacific Northwest Regional Transportation (1977U)
Archived (1981U)

76.005

Pacific Northwest Energy Demonstration Projects
and Programs (1977U)
Archived (1981U)

76.008

Pacific Northwest Education Demonstration
Projects (1977U)
Archived (1981U)

NUCLEAR REGULATORY COMMISSION

77.001

Radiation Control_Training Assistance and
Advisory Counseling (1975B)
Transferred from 24.027 (1975B)
Deleted (2004)

77.002

Radiological Emergency Response_Training
Assistance (1979B)
Transferred to 83.402 (1980B)

77.003

Enhance Technology Transfer and Dissemination
of Nuclear Energy Process and Safety Information
(1980U2)
Archived (1995U)

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Reinstated (1997B)
Archived (1998B)

77.004

Enhance Technology Advancement of Nuclear Energy Safety (1980U2)
Archived (1982B)

77.005

Financial Assistance for NRC Local Public Document Rooms (LPDRs) (1990B)
Deleted (2004)

77.006

U. S. Nuclear Regulatory Commission Nuclear Education Grant Program (2006)

77.007

U.S. Nuclear Regulatory Commission Minority Serving Institutions Program (MSIP) (2008)

77.008

U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program (2007)

77.009

Office of Research Program (2009)
U.S. Nuclear Regulatory Commission Office of Research Financial Assistance Program (2009)

COMMODITY FUTURES TRADING COMMISSION

78.001

Agricultural Futures Markets Supervision (1975U)
Transferred from 10.100 (1975U)
Split into 78.002, 78.004 (1977B)

78.002

Commodity Futures Market Reports (1977B)
Split from 78.001 (1977B)
Archived (1985U)

78.003

Commodity Futures Consumer Information (1977B)
Archived (1981B)

78.004

Commodity Futures Customer Inquiries, Complaints, and Reparation Claims (1977B)
Split from 78.001 (1977B)
Commodity Futures Reparations Claims (1979B)

SOUTHWEST BORDER REGIONAL COMMISSION

79.001

Southwest Border Regional Economic Development (1977U)
Archived (1981U)

79.002

Southwest Border Technical and Planning Assistance (1977U)
Archived (1981U)

FEDERAL ENERGY ADMINISTRATION

80.001

State Energy Conservation Program (1976U)
Transferred to 81.041 (1978B)

80.002

Weatherization Assistance Program for Low-Income Persons (1977U)
Transferred to 81.042 (1978B)

80.003

Supplemental State Energy Conservation Program (1977U)
Transferred to 81.043 (1978B)

DEPARTMENT OF ENERGY

81.001

Access Permits (1978B)
Transferred from 24.001 (1978B)
Archived (1979U1)

81.002

Motion Picture Film Libraries (1978B)
Transferred from 24.002 (1978B)
Archived (1983B)

81.003

Granting of Patent Licenses (1978B)
Transferred from 24.003 (1978B)

81.004

University-Laboratory Cooperative Program (1978B)
Transferred from 24.004 (1978B)
Consolidated from 81.097 (1997B)
Archived (1998B)

81.007

Faculty Training Institutes, Short Courses, and Workshops on Energy and Environmental Subjects (1978B)
Transferred from 24.007 (1978B)
Faculty Development Projects in Energy (1978U)
Teacher Development Projects in Energy (1979B)
Archived (1983U)

81.011

Reactor Sharing and Fuel Assistance (1978B)
Transferred from 24.011 (1978B)
University Reactor Fuel Assistance (1980B)
University Reactor Sharing and Fuel Assistance (1980U1)
Consolidated into 81.049 (1992B)

81.020

Traineeships for Graduate Students in Energy Related Fields (1978B)
Transferred from 24.020 (1978B)
Archived (1979B)

81.022

Energy-Related Laboratory Equipment Grants (1978B)
Transferred from 24.022 (1978B)
Used Energy-Related Laboratory Equipment Grants (1981U)

81.023

Information Services, Exhibits, Public Speakers, Publications, Reference, and Analysis (1978B)
Transferred from 24.023 (1978B)
Information Services, Exhibits, Public Speakers, and Publications (1979B)
Archived (1983B)

81.026

Payments in Lieu of Property Taxes (1978B)
Transferred from 24.026 (1978B)
Archived (1979U1)

81.028

Radiological Emergency Assistance (1978B)
Transferred from 24.028 (1978B)
Archived (1991B)

81.029

Nuclear Industry Seminars (1978B)
Transferred from 24.029 (1978B)
Uranium Industry Seminars (1980B)
Archived (1983U)

81.030

Work Experience (1978B)
Transferred from 24.030 (1978B)
Archived (1980B)

81.033

Citizens' Workshops (1978B)
Transferred from 24.033 (1978B)
Archived (1980U1)

81.035

Research and Development in Energy Conservation (1978B)
Transferred from 24.035 (1978B)
Archived (1980U1)

81.036

Energy-Related Inventions (1978B)
Transferred from 24.036 (1978B)
Inventions and Innovations (2001U)

81.037

Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems,

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Magnetic Fusion (1978B)

Transferred/Consolidated from 24.024, 24.034 (1978B)

Research and Development_Fission, Fossil, Solar, Geothermal, Electric and Storage Systems (1980B)

Archived (1980U1)

81.038

Energy Information Administration_Clearinghouse (EIAC) (1978B)

Energy Information Administration_Clearinghouse (1980B)

Archived (1982B)

81.039

National Energy Information Center (NEIC) (1978B)

National Energy Information Center (1980B)

Deleted (2007)

81.040

Grants for Offices of Consumer Services (1978B)

Archived (1982B)

81.041

State Energy Conservation Program (1978B)

Transferred from 80.001 (1978B)

State Energy Conservation (1980B)

Consolidated from 81.052 (1995U)

State Energy Program (1997B)

81.042

Weatherization Assistance Program for Low-Income Persons (1978B)

Transferred from 80.002 (1978B)

Weatherization Assistance for Low-Income Persons (1980B)

81.043

Supplemental State Energy Conservation Program (1978B)

Transferred from 80.003 (1978B)

Supplemental State Energy Conservation (1980B)

Archived (1983B)

81.044

Public Education in Energy (1978B)

Archived (1980B)

81.045

Special Studies and Projects in Energy Education and Training (1978B)

Archived (1980B)

81.046

Research and Development in Biomedical and Environmental Sciences (1978B)

Transferred/Split from 24.034, 24.034 (1978B)

Environmental Research and Impact Assessments (1978U)

Archived (1980B)

81.047

PREFACE (Pre-Freshman and Cooperative Education for Minorities in Engineering) (1978B)

PREFACE (Pre-Freshman and Cooperative Education for Minorities and Women in Engineering) (1978U)

Pre-Freshman and Cooperative Education for Minorities and Women in Engineering (1980B)

Pre-Freshman Education for Minorities and Women in Engineering (1980U1)

Pre-Freshman Engineering for Minorities and Women (1980U2)

Archived (1981B)

Reinstated (1983U)

Pre-Freshman Engineering (1983U)

Pre-Freshman Enrichment (1990B)

Consolidated into 81.049 (1992B)

81.048

Materials Allocation (1978B)

Priorities and Allocations for Energy Programs and Projects (1980B)

Archived (1996B)

81.049

Basic Energy Sciences, High Energy and Nuclear Physics, and Advanced Technology and Assessment Projects (1978B)

Transferred/Split from 24.024 (1978B)

Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, and Program Analysis (1980B)

Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Program Analysis and Field Operations Management (1980U2)

Basic Energy Sciences, High Energy/Nuclear Physics, Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1981B)

Incorporated into 81.049, 81.073, 81.074 (1981U)

Basic Energy Sciences, High Energy/Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1983U)

Basic Energy Services, High Energy and Nuclear Physics, Magnetic Fusion Energy, Health and Environmental Research, Program Analysis and Field Operations Management (1985B)

Basic Energy Sciences_University and Science Education (1991B)

Consolidated from 81.011, 81.047 (1992B)

Office of Energy Research Financial Assistance Program (1997B)

Office of Science Financial Assistance Program (1999B)

81.050

Energy Extension Service (1978B)

Archived (1994B)

81.051

Appropriate Energy Technology (1979U1)

Archived (1985U)

81.052

Energy Conservation for Institutional Buildings (1979U1)

Consolidated into 81.041 (1995U)

81.053

Public Utility Regulatory Innovative Rates Support (1979U1)

Archived (1982B)

81.054

Public Utility Regulatory Support (1979U1)

Archived (1981U)

81.055

Small Hydroelectric Power Project Feasibility Studies (1980B)

Archived (1981U)

81.056

Coal Loan Guarantees (1980B)

Archived (1987U)

81.057

University Coal Research (1980B)

81.058

Geothermal Loan Guarantees (1980B)

Archived (1983B)

81.059

Clearinghouse for Community Energy Efficiency (1980B)

Archived (1982B)

81.060

Electric and Hybrid Vehicle Loan Guaranties (1980B)

Archived (1983B)

81.061

Oil Shale State Grants (1980U1)

Archived (1981U)

81.062

National Clubs, Organizations and Associations Energy Conservation Projects (1980U1)

National Clubs, Organizations and Associations Energy Awareness Projects (1981B)

Archived (1983B)

81.063

Office of Minority Economic Impact Loans (1980U2)

Archived (1993U)

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81.064 DOE Technical Information Center (1980U2) Office of Scientific and Technical Information (1984B)	Indian Energy Resources (1983B) Archived (1986U)	Consolidated from 81.078 (1992U)
81.065 Research and Development Nuclear Energy Programs (1980U2) Nuclear Waste Disposal Siting (1983B)	81.077 University Research Instrumentation (1983U) Archived (1996B)	81.087 Renewable Energy Research and Development (1984U)
81.066 American Indian Energy Production and Efficiency (1980U2) Archived (1982B)	81.078 Industrial Energy Conservation (1983U) Consolidated into 81.086 (1992U)	81.088 International Affairs and Energy Emergencies (1984U) Consolidated into 81.080 (1992B)
81.067 Technical Assistance for Local and Tribal Governments (1980U2) Archived (1982B)	81.079 Biomass Energy Technology (1983U) Biofuels and Municipal Waste Technology (1985U) Regional Biomass Programs (1990U) Regional Biomass Energy Programs (1992U)	81.089 Fossil Energy Research and Development (1984U)
81.068 Biomass Loan Guarantees (1980U2) Archived (1982B)	81.080 Energy Policy, Planning and Development (1983U) Domestic and International Energy Policy Development (1992B) Consolidated from 81.088 (1992B) Policy, Planning and Program Development (1993U) Office of Policy and Financial Assistance (1995B) Office of Policy Financial Assistance (1996B) Archived (1998B)	81.090 State Heating Oil Grants (1985B) Archived (1988B)
81.069 Gasoline Rationing_State Preimplementation Activities (1980U2) Archived (1982B)	81.081 Energy Task Force for the Urban Consortium (1983U) Archived (2001B)	81.091 Socioeconomic and Demographic Research, Data and Other Information (1985U) Socioeconomic and Demographic Research, Data Analytic Model Development and Information (1993U) Archived (1997B)
81.070 Energy Auditor Training and Certification Grants (1980U2) Archived (1982B)	81.082 Management and Technical Assistance for Minority Business Enterprises (1984B) Deleted (2004)	81.092 Remedial Action and Nuclear Waste Technology (1986B) Remedial Action and Waste Technology (1987U) Environmental Restoration (1990B) Archived (1995B)
81.071 Emergency Energy Conservation Act Plans (1980U2) Archived (1982B)	81.083 Minority Educational Institution Research Travel Fund (1984B) Archived (1995B)	81.093 Nuclear Energy Policy, Planning and Development (1986U) Archived (1991B)
81.072 Conservation Retrofit Delivery_Research and Development (1981U) Archived (1982B)	81.084 Minority Honors Vocational Training (1984B) Minority Honors Training and Industrial Assistance Program (1988B) Archived (1994B)	81.094 Minority Educational Institution Assistance (1986U) Archived (1991U)
81.073 Geothermal Technologies_Research and Development (1981U) Split from 81.049 (1981U) Archived (1982B)	81.085 National Energy Information Clearinghouse (1984B) National Minority Energy Information Clearinghouse (1985B) Minority Energy Information Clearinghouse (1992B) Archived (1997B)	81.095 Nuclear Energy, Reactor Systems, Development, and Technology (1987B) Archived (1995B)
81.074 Alcohol Fuels Loan Guarantees (1981U) Split from 81.049 (1981U) Archived (1983B)	81.086 Conservation Research and Development (1984U)	81.096 Innovative Clean Coal Technology (1988B) Clean Coal Technology Program (1990B) Archived (1994B)
81.075 Energy Graduate Traineeship Program (1981U) Archived (1983U)		81.097 Science and Engineering Research Semester (1989B) Consolidated into 81.004 (1997B)
81.076		81.098 Minority Undergraduate Training for Energy Related Careers (1991B)

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Archived (1995B)

81.099

Minority Math/Science Leadership Development Recognition (1991B)
Archived (1993B)

81.102

Academic Partnerships (1991B)
Archived (1998B)

81.103

Technology Integration (1991B)
Consolidated into 81.104 (1993U)

81.104

Technology Development for Environmental Restoration and Waste Management (1992U)
Consolidated from 81.103 (1993U)
Technology Development for Environmental Management (1994B)
Office of Science and Technology for Environmental Management (2000B)
Office of Technology Development and Deployment for Environmental Management (2003B)
81.104 OFFICE OF ENVIRONMENTAL WASTE PROCESSING (2008)
Office of Environmental Waste Processing (2008)

81.105

National Industrial Competitiveness through Energy, Environment, and Economics (1993B)

81.106

Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns and Proposed Solutions (1993B)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions, and Environmental Monitoring (1994U)
Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions (1995B)
States and Tribal Concerns, Proposed Solutions (2003B)

81.107

Oil Recovery Demonstration (1993U)
Archived (1996B)

81.108

Epidemiology and Other Health Studies Financial Assistance (1994B)
Archived (1996B)
Epidemiology and Other Health Studies Financial Assistance Program, Reinstated (1999B)

81.109

Financial Assistance Program_Science Education

and Technical Information (1994U)
Archived (1997B)

81.110

National Resource Center for Plutonium (1995B)
Archived (2003B)

81.111

Alternative Fuel Transportation Program (1996B)
Archived (1997B)

81.112

Inertial Fusion Science_Support of Stockpile Stewardship (1997U)
Stewardship Science Grant Program (1999B)

81.113

Nonproliferation and National Security Research (1998B)
Defense Nuclear Nonproliferation Research (2000B)

81.114

University Nuclear Science and Reactor Support (1998B)
Archived (2009)

81.116

Science and Engineering Training to Support Diversity- Related Programs (1998U)
Deleted (2004)

81.117

Energy Efficiency and Renewable Energy Information Dissemination, Outreach, Training and Technical Analysis/Assistance (1999B)

81.118

Solar Energy Partnership Support and Barrier Elimination (1999B)
Archived (2001B)

81.119

State Energy Program Special Projects (2000B)

81.120

Arms Control and Nonproliferations Policy Analysis (2001B)
Rescinded (2003B)
Deleted (2003)

81.121

Nuclear Energy Research Initiative (2001U)
Nuclear Energy Research, Development and Demonstration (2003B)

81.122

Electricity Delivery and Energy Reliability, Research, Development and Analysis (2005)

81.123

National Nuclear Security Administration (NNSA) Historically Black Colleges and Universities (HBCU) Program (2005)

National Nuclear Security Administration (NNSA) Minority Serving Institutions (MSI) Program (2006)

81.124

PREDICTIVE SCIENCE ACADEMIC ALLIANCE PROGRAM (PSAAP) (2007)
Predictive Science Academic Alliance Program (2008)

81.126

Federal Loan Guarantees for Innovative Energy Technologies (2006)

81.127

Energy Efficient Appliance Rebate Program (EEARP) (2009)

81.128

Energy Efficiency and Conservation Block Grant Program (EECBG) (2009)

81.129

Energy Efficiency and Renewable Energy Technology Deployment, Demonstration and Commercialization (2009)

81.130

Carbon Capture and Storage-FutureGen 2.0 (2010)

81.131

Expand and Extend Clean Coal Power Initiative (2009)

81.132

Geologic Sequestration Site Characterization (2009)

81.133

Geologic Sequestration Training and Research Grant Program (2009)

81.134

Industrial Carbon Capture and Storage (CCS) Application (2009)

81.135

Advanced Research and Projects Agency Energy Financial Assistance Program (2009)

81.136

Long-Term Surveillance and Maintenance (2009)

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82.001

Educational Exchange_Graduate Students
Transferred from 19.101 (1978B)

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Number changed to 19.400 (2000B)

82.002

Educational Exchange_University Lecturers (Professors) and Research Scholars
Transferred from 19.102 (1978B)
Number changed to 19.401 (2000B)

82.003

Private Sector Programs (1980B)
Archived (1981B)

82.004

International Visitors Program (1998B)
Number changed to 19.402 (2000B)

82.005

Near and Middle East Research and Training Act (NMERTA) (1997U)
Foreign Language and Area Studies_U.S. Students and Scholars (1998B)
Archived (1999B)

82.006

American Council of Young Political Leaders (1999B)
Number changed to 19.403 (2000B)

82.009

Professional Development_International Educators/Administrators (1997U)
Number changed to 19.404 (2000B)

82.010

College and University Partnerships Program (1998U)
Number changed to 19.405 (2000B)

82.011

College and University Affiliations Program (1998B)
Number changed to 19.406 (2000B)

82.012

Teacher Exchange_New Independent States (NIS) (1998B)
Number changed to 19.407 (2000B)

82.013

Educational Exchange_Teachers from Secondary and Postsecondary Levels (1997U)
Number changed to 19.408 (2000B)

82.015

Creative Arts Grants (1998B)
Number changed to 19.409 (2000B)

82.016

Educational Exchange_Congress-Bundestag Youth Exchange (1998B)

Number changed to 19.410 (2000B)

82.018

Educational Exchange_NIS Secondary School Initiative (1998B)
Number changed to 19.411 (2000B)

82.021

Educational Exchange_Faculty (1997U)
Archived (1998B)

82.026

Educational Exchange_Postgraduates and Faculty (1997U)
Number changed to 19.412 (2000B)

82.030

Cultural Exchange (Performing Arts) (1998U)
Number changed to 19.413 (2000B)

82.032

Cultural Exchange (1997U)
Cultural Exchange (Visual Arts) (1998U)
Number changed to 19.414 (2000B)

82.033

Professional Exchange_Annual Open Grant (1997U)
Number changed to 19.415 (2000B)

82.034

Exchange_English Teaching Fellows (1997U)
Number changed to 19.416 (2000B)

82.035

Exchange_English as a Foreign Language (EFL) Fellows (1997U)
Number changed to 19.417 (2000B)

82.038

Educational Exchange_American Studies Institutes (1997U)
Number changed to 19.418 (2000B)

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83.001

Academy Planning Assistance (1975B)
Transferred from 11.700 (1979B)
Number changed to 83.404 (1982B)

83.002

State Fire Incident Reporting Assistance (1977B)
Transferred from 11.701 (1979B)
Number changed to 83.407 (1983B)

83.003

Public Education Assistance Program (1979B)
Archived (1983B)

83.004

Technical Support Services (1980B)
Number changed to 83.408 (1983B)

83.005

Arson Task Force Assistance Program (1980U)
Archived (1983B)

83.006

Student Stipend Program (1980U2)
Number changed to 83.405 (1982B)

83.007

Reimbursement for Firefighting on Federal Property (1980U2)
Number changed to 83.409 (1983B)
Number changed from 83.409 (1989B)
Transferred to 97.016 (2003B)

83.008

Community-Based Anti-Arson Program (1984U)
Number changed from 83.410 (1989B)
Archived (1996B)

83.009

National Fire Academy_Training Assistance
Number changed from 83.405 (1991B)
National Fire Academy Training Assistance (1993B)
Archived (1996B)
Reinstated (1996U)
Transferred to 97.018 (2003B)

83.010

National Fire Academy Educational Program
Number changed from 83.411 (1991B)
Transferred to 97.019 (2003B)

83.011

Hazardous Materials Training Program for Implementation of the Superfund Amendment and Reauthorization Act (SARA) of 1986 (1993B)
Transferred/Split into 83.552 (2000B)
Transferred to 97.020 (2003B)

83.012

Hazardous Materials Exercise Assistance Program (1993B)
Hazardous Materials Assistance Program (1994B)
Consolidated into 83.534 (1996B)
Reinstated (2000B)
Transferred to 97.021 (2003B)

83.013

National Fire Academy Fire Executive Fellowship Program (1994B)
Archived (1995B)

83.100

Flood Insurance (1980B)

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Transferred from 14.001 (1980B)
Transferred to 97.022 (2003B)

83.101

Urban Property Insurance (1980B)
Transferred from 14.002 (1980B)
Archived (1984U)

83.102

Crime Insurance (1980B)
Transferred from 14.003 (1980B)
Archived (1984U)

83.103

State Assistance Program_National Flood
Insurance Program (1980U1)
Number changed to 83.501 (1982B)

83.104

Acquisition of Flood-Damaged Structures (1981B)
Number changed to 83.502 (1982B)
Number changed from 83.502 (1990U)
Archived (1995B)

83.105

Community Assistance Program_State Support
Services Element (CAP-SSSE) (1993B)
Transferred to 97.023 (2003B)

83.200

Civil Defense_State and Local Management
(1980B)
Transferred from 12.315 (1980B)
Emergency Management Assistance (1980U2)
Number changed to 83.503 (1982B)

83.201

Civil Defense_State and Local Maintenance and
Services (1980B)
Transferred from 12.319 (1980B)
State and Local Maintenance and Services
(1980U2)
Number changed to 83.504 (1982B)

83.203

State Disaster Preparedness Grants (1980B)
Transferred from 14.702 (1980B)
Number changed to 83.505 (1982B)

83.204

Earthquake and Hurricane Loss Study and
Contingency Planning Grants (1980B)
Number changed to 83.506 (1982B)

83.205

Civil Defense_Industrial Preparedness Planning
(1980B)
Transferred from 12.309 (1980B)
Industrial Preparedness Planning (1980U2)
Number changed to 83.507 (1982B)

83.206

Civil Defense_Radiological Instrument
Maintenance and Calibration (1980B)
Transferred from 12.310 (1980B)
Radiological Instruments Maintenance and
Calibration (1980U2)
Radiological Systems Maintenance (1981U)
Number changed to 83.508 (1982B)

83.207

Civil Defense_National Shelter Survey (1980B)
Transferred from 12.312 (1980B)
National Shelter Survey (1980U2)
Number changed to 83.509 (1982B)

83.208

Civil Defense_Radiation Shielding Design (1980B)
Transferred from 12.316 (1980B)
Radiation Shielding Design (1980U2)
Number changed to 83.510 (1982B)

83.209

State Radiological Defense Officers (1981B)
Number changed to 83.511 (1982B)

83.210

State and Local Emergency Operating Centers
(1981B)
Number changed to 83.512 (1982B)

83.211

Civil Defense_State and Local Supporting
Materials (1981B)
Number changed to 83.513 (1982B)

83.212

Nuclear Civil Protection Planning (1981U)
Number changed to 83.514 (1982B)

83.300

Disaster Assistance (1980B)
Transferred from 14.701 (1980B)
Number changed to 83.516 (1982B)

83.400

Emergency Management Institute_Student Expense
Program (1980B)
Transferred from 12.314 (1980B)
Emergency Management Institute_Training
Assistance (1983U)
Number changed to 83.527 (1991B)

83.401

Emergency Management_Architect/Engineer
Student Development (1980B)
Transferred from 12.324 (1980B)
Emergency Management
Institute_Architect/Engineer Student Program
(1983U)
Incorporated into 83.525 (1990B)

83.402

Radiological Emergency Response_Training
Assistance (1980B)
Transferred from 77.002 (1980B)
Emergency Management Institute Radiological
Emergency Response_Training (1983U)
Incorporated into 83.400 (1989U)

83.403

Training and Education Field Deployment (1981B)
National Emergency Training Center_Field
Training Program (1983U)
Emergency Management Institute_Field Studies
Program (1984U)
Number changed to 83.528 (1991B)

83.404

Academy Planning Assistance (1982B)
Number changed from 83.001 (1982B)
Archived (1983B)

83.405

Student Stipend Program (1982B)
Number changed from 83.006 (1982B)
National Fire Academy Student Stipend Program
(1983U)
Number changed to 83.009 (1991B)

83.406

Training and Fire Programs Educational Program
(1983B)
National Emergency Training Center_Training
Program (1983U)
Archived (1986B)

83.407

State Fire Incident Reporting Assistance (1977B)
Number changed from 83.002 (1983B)
Archived (1984U)

83.408

Technical Support Services (1980B)
Number changed from 83.004 (1983B)
Archived (1984B)

83.409

Reimbursement for Firefighting on Federal
Property (1980U2)
Number changed from 83.007 (1983B)
Number changed to 83.007 (1989B)

83.410

Community-Based Anti-Arson Program (1984U)
Number changed to 83.008 (1989B)

83.411

National Fire Academy Educational Program
(1989U)
Number changed to 83.010 (1991B)

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83.412 Emergency Management Institute (EMI)_Home Study Program (1989U) Number changed to 83.529 (1991B)	Number changed from 83.206 (1982B) Radiological Instrumentation (1984U) Archived (1988B)	Electromagnetic Pulse (EMP) Technical Support (1983B) Consolidated into 83.532 (1993B)
83.413 Emergency Management Institute (EMI)_Resident Educational Program (1989U) Number changed to 83.530 (1991B)	83.509 National Shelter Survey (1982B) Number changed from 83.207 (1982B) Facility Survey, Engineering and Development (1984B) Archived (1993B)	83.518 Emergency Public Information Competitive Challenge Grants (1984U) Archived (1988B)
83.500 General Research, Development, and Demonstration Activity (1981U) Archived (1984U)	83.510 Radiation Shielding Design (1982B) Number changed from 83.208 (1982B) Shelter Development (1983B) Facility Survey Development (1986B) Archived (1993B)	83.519 Hazard Mitigation Assistance (1985U) Archived (1995B)
83.501 State Assistance Program (1982B) Number changed from 83.103 (1982B) Archived (1985U)	83.511 State Radiological Defense Officers (1982B) Number changed from 83.209 (1982B) Radiological Protection Program (1985B) Radiological Protection Planning and Development (1986B) Radiological Defense Planning and Development (1987U) Archived (1988B)	83.520 Hurricane Preparedness Grants (1988B) Hurricane Program (1994B) Consolidated into 83.535 (1997U)
83.502 Acquisition of Flood-Damaged Structures (1982B) Number changed to 83.104 (1990U)	83.512 State and local Emergency Operating Centers (1982B) Number changed from 83.210 (1982B) Consolidated into 83.532 (1993B)	83.521 Earthquake Hazards Reduction Grants (1988B) Consolidated into 83.535 (1997U)
83.503 Emergency Management Assistance (1982B) Number changed from 83.200 (1982B) Civil Defense_State and Local Emergency Mangement Assistance (1987B) Consolidated into 83.534 (1996B)	83.513 State and Local Supporting Materials (1982B) Number changed from 83.211 (1982B) State and Local Warning and Communication Systems (1983B) Consolidated into 83.532 (1993B)	83.522 Radiological Defense (1988B) Consolidated into 83.531 (1993B)
83.504 State and Local Maintenance and Services (1982B) Number changed from 83.201 (1982B) Other State and Local Direction, Control and Warning (1984U) Consolidated into 83.532 (1993B)	83.514 Nuclear Civil Protection Planning (1982B) Number changed from 83.212 (1982B) Population Protection Planning (1983B) Consolidated into 83.531 (1993B)	83.523 Federal Emergency Management Food and Shelter Program (1989B) Emergency Food and Shelter National Board Program (1996U) Transferred to 97.024 (2003B)
83.505 State Disaster Preparedness Grants (1982B) Number changed from 83.203 (1982B) Consolidated into 83.552 (2000B)	83.515 Broadcast Station Protection Program (1982B) Emergency Broadcast System Guidance and Assistance (1983B) Consolidated into 83.532 (1993B)	83.524 Superfund Permanent Relocation Assistance Program (1989U) Archived (1992U)
83.506 Earthquake and Hurricane Loss Study and Contingency Planning Grants (1982B) Number changed from 83.204 (1982B) Earthquake and Hurricane Preparedness Planning Grants (1983B) Earthquake and Hurricane Preparedness Grants (1984U) Archived (1988B)	83.516 Disaster Assistance (1982B) Number changed from 83.300 (1982B) Split into 83.537, 83.538, 83.539, 83.540, 83.541, 83.542, 83.543, 83.544, 83.545, 83.548 (1997U)	83.525 Shelter Survey Technician Program_Job Qualification Training (1990B) Archived (1993B)
83.507 Industrial Preparedness Planning (1982B) Number changed from 83.205 (1982B) Archived (1983B)	83.517	83.526 National Urban Search and Rescue (US&R) Program (1991B) National Urban Search and Rescue (US&R) Response System (1995B) Transferred to 97.025 (2003B)
83.508 Radiological Systems Maintenance (1982B)		83.527 Emergency Management Institute_Training Assistance Number changed from 83.400 (1991B) Transferred to 97.026 (2003B)
		83.528 Emergency Management Institute_Field Training Program Number changed from 83.403 (1991B)

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Consolidated into 83.534 (1996B)

83.529

Emergency Management Institute (EMI)_Home Study Program

Number changed from 83.412 (1991B)

Emergency Management Institute (EMI)_Independent Study Program (1993U)

Transferred to 97.027 (2003B)

83.530

Emergency Management Institute (EMI)_Resident Educational Program

Number changed from 83.413 (1991B)

Transferred to 97.028 (2003B)

83.531

State and Local Emergency Management Assistance_Other Assistance

Consolidated from 83.514, 83.522 (1993B)

Consolidated into 83.534 (1996B)

83.532

Facilities and Equipment

Consolidated from 83.504, 83.512, 83.513, 83.515, 83.517 (1993B)

Consolidated into 83.534 (1996B)

83.533

COOPs for Digitization of Flood Maps (1995B)

Archived (1996B)

83.534

Emergency Management_State and Local Assistance

Consolidated from 83.012, 83.503, 83.528, 83.531, 83.532 (1996B)

Consolidated into 83.552 (2000B)

83.535

Mitigation Assistance

Consolidated from 83.520, 83.521 (1997U)

Consolidated into 83.552 (2000B)

83.536

Flood Mitigation Assistance (1997U)

Transferred to 97.029 (2003B)

83.537

Community Disaster Loans

Incorporated from 83.516 (1997U)

Transferred to 97.030 (2003B)

83.538

Cora Brown Fund

Incorporated from 83.516 (1997U)

Transferred to 97.031 (2003B)

83.539

Crisis Counseling

Incorporated from 83.516 (1997U)

Transferred to 97.032 (2003B)

83.540

Disaster Legal Services

Incorporated from 83.516 (1997U)

Transferred to 97.033 (2003B)

83.541

Disaster Unemployment Assistance

Incorporated from 83.516 (1997U)

Transferred to 97.034 (2003B)

83.542

Fire Suppression Assistance

Incorporated from 83.516 (1997U)

Transferred to 83.55 (2002B)

83.543

Individual and Family Grants

Incorporated from 83.516 (1997U)

Transferred to 97.035 (2003B)

83.544

Public Assistance Grants

Incorporated from 83.516 (1997U)

Transferred to 97.036 (2003B)

83.545

Disaster Housing Program

Incorporated from 83.516 (1997U)

Transferred to 97.037 (2003B)

83.546

National Arson Prevention Initiative (1997U)

Archived (1998U)

83.547

First Responder Anti-Terrorism Training Assistance (1997U)

First Responder Counter-Terrorism Training Assistance (1999B)

Transferred to 97.038 (2003B)

83.548

Hazard Mitigation Grant

Incorporated from 83.516 (1997U)

Transferred to 97.039 (2003B)

83.549

Chemical Stockpile Emergency Preparedness Program (1998U)

Transferred to 97.040 (2003B)

83.550

National Dam Safety Program (1998U)

Transferred to 97.041 (2003B)

83.551

Project Impact_Building Disaster Resistant

Communities (1998U)

Archived (2002B)

83.552

Emergency Management Performance Grants (1999U)

Consolidated from 83.011, 83.505, 83.534, 83.535 (2000B)

Transferred to 97.042 (2003B)

83.553

State Fire Training Systems Grants (2001B)

Transferred to 97.043 (2003B)

83.554

Assistance to Firefighters Grant (2002B)

Transferred to 97.044 (2003B)

83.555

Cooperating Technical Partners (2002B)

Transferred to 97.045 (2003B)

83.556

Fire Management Assistance Grant (2002B)

Transferred to 97.046 (2003B)

83.557

Pre-Disaster Mitigation (2002B)

Transferred to 97.047 (2003B)

83.558

Federal Assistance to Individuals and Households_Housing (2002B)

Transferred to 97.048 (2003B)

83.559

Federal Assistance to Individuals and Households_Disaster Housing Operations (2002B)

Transferred to 97.049 (2003B)

83.560

Federal Assistance to Individuals and Households_Other Needs (2002B)

Transferred to 97.050 (2003B)

83.562

State and Local All Hazards Emergency Operations Planning (2000B)

Transferred to 97.051 (2003B)

83.563

Emergency Operations Centers (2000B)

Transferred to 97.052 (2003B)

83.564

Citizen Corps (2000B)

Transferred to 97.053 (2003B)

83.565

Community Emergency Response Teams (2000B)

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Transferred to 97.054 (2003B)

83.566

Interoperable Communications Equipment (2000B)
Transferred to 97.055 (2003B)

DEPARTMENT OF EDUCATION

84.001

Academic Facilities Reconstruction and Renovation
for Removal of Architectural Barriers (1980U1)
Archived (1981U)

84.002

Adult Education_State-Administered Program
(1980U1)
Transferred from 13.400 (1980U1)
Adult Education_State Administered Basic Grant
Program (1988U)
Adult Education_State Grant Program (1995U)
Adult Education - Basic Grants to States (2008)

84.003

Bilingual Education (1980U1)
Transferred from 13.403 (1980U1)
Archived (1998B)

84.004

Civil Rights Technical Assistance and Training
(1980U1)
Transferred from 13.405 (1980U1)
Desegregation Assistance, Civil Rights Training,
and Advisory Services (1989U)
Civil Rights Training and Advisory Services
(1997B)

84.005

College Library Resources (1980U1)
Transferred from 13.406 (1980U1)
Archived (1985B)

84.006

Teacher Centers (1980U1)
Transferred from 13.416 (1980U1)
Archived (1982B)

84.007

Supplemental Educational Opportunity Grants
(1980U1)
Transferred from 13.418 (1980U1)
Federal Supplemental Education Opportunity
Grants (1992U)

84.008

Alcohol and Drug Abuse Education Program
(1980U1)
Transferred from 13.420 (1980U1)
Archived (1982B)
Reinstated (1983U)
Alcohol and Drug Abuse Education (1983U)
Archived (1987B)

84.009

Program for Education of Handicapped Children in
State Operated or Supported Schools (1980U1)
Transferred from 13.427 (1980U1)
Education of Handicapped Children in State
Operated or Supported Schools (1990U)
Education of Children with Disabilities in State
Operated or Supported Schools (1994B)
Archived (1995U)

84.010

Educationally Deprived Children_Local
Educational Agencies (1980U1)
Transferred from 13.428 (1980U1)
Chapter 1 Programs_Local Educational Agencies
(1990U)
Chapter 1 Grants to Local Educational Agencies
(1994U)
Title I Grants to Local Educational Agencies
(1995B)

84.011

Educationally Deprived Children_Migrants
(1980U1)
Transferred from 13.429 (1980U1)
Migrant Education Program_State Formula Grant
Program (1980U2)
Migrant Education_Basic State Formula Grant
Program (1981U)
Migrant Education_Basic State Grant Program
(1994U)
Migrant Education_State Grant Program (2002B)

84.012

Educationally Deprived Children_State
Administration (1980U1)
Transferred from 13.430 (1980U1)
Archived (1995U)

84.013

Educationally Deprived Children in State
Administered Institutions Serving Neglected or
Delinquent Children (1980U1)
Transferred from 13.431 (1980U1)
Neglected and Delinquent Children (1984B)
Chapter 1 Program for Neglected and Delinquent
Children (1990U)
Title I Program for Neglected and Delinquent
Children (1995B)
Title I State Agency Program for Neglected and
Delinquent Children (2010)

84.014

Follow Through (1980U1)
Transferred from 13.433 (1980U1)
Archived (1995U)

84.015

International Studies Centers and Foreign Language
Area (1980U1)
Transferred from 13.434 (1980U1)

National Resource Centers and Fellowships in
International Studies (1981B)
National Resource Centers and Fellowships
Program for Language and Area or Language and
International Studies (1983B)
National Resource Centers Program for Foreign
Language and Area Studies or Foreign Language
and International Studies Program and Foreign
Language and Area Studies Fellowship Program
(2008)

84.016

International Studies Programs (1980U1)
Transferred from 13.435 (1980U1)
International Studies Program (1981B)
Undergraduate International Studies and Foreign
Language Programs (1981U)

84.017

Foreign Language and Area Studies_Research
(1980U1)
Transferred from 13.436 (1980U1)
International Research and Studies (1981B)

84.018

Teacher Exchange (1980U1)
Transferred from 13.437 (1980U1)
Summer Seminars Aboard (1984U)
Fulbright-Hays Training Grants_Seminars Abroad
(1986B)
Fulbright-Hays Seminar Abroad_Special Bilateral
Projects (1986U)
Fulbright-Hays Seminars Abroad_Bilateral Projects
(1989U)
International: Overseas Seminars Abroad_Bilateral
Projects (1994U)
Overseas Seminars Abroad_Bilateral Projects
(2003B)
Overseas Programs_Special Bilateral Projects
(2004)

84.019

Fulbright-Hays Training Grants_Faculty Research
Abroad (1980U1)
Transferred from 13.438 (1980U1)
International: Overseas_Faculty Research Abroad
(1994U)
Overseas_Faculty Research Abroad (2003B)
Overseas Programs - Faculty Research Abroad
(2008)

84.020

Fulbright-Hays Training Grants_Foreign
Curriculum Consultants (1980U1)
Transferred from 13.439 (1980U1)
Archived (1988U)

84.021

Fulbright-Hays Training Grants_Group Projects
Abroad (1980U1)
Transferred from 13.440 (1980U1)

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International: Overseas_Group Projects Abroad (1994U)
Overseas_Group Projects Abroad (2003B)
Overseas Programs - Group Projects Abroad (2008)

84.022

Fulbright-Hays Training Grants_Doctoral Dissertation Research Abroad (1980U1)
Transferred from 13.441 (1980U1)
International: Overseas_Doctoral Dissertation (1994U)
Overseas_Doctoral Dissertation (2003B)
Overseas Programs - Doctoral Dissertation Research Abroad (2008)

84.023

Handicapped_Research and Demonstration (1980U1)
Transferred from 13.443 (1980U1)
Research in the Education of the Handicapped (1981B)
Handicapped_Research and Demonstration (1981U)
Handicapped_Innovation and Development (1985B)
Disabled_Innovation and Development (1991B)
Special Education_Innovation and Development (1993B)
Archived (1998B)

84.024

Handicapped Early Childhood Assistance (1980U1)
Transferred from 13.444 (1980U1)
Handicapped Early Childhood Education (1985B)
Early Education for Children with Disabilities (1991B)
Archived (1998B)

84.025

Handicapped Innovative Programs_Deaf-Blind Centers (1980U1)
Transferred from 13.445 (1980U1)
Handicapped Innovative Programs_Deaf-Blind (1984B)
Deaf-Blind Centers (1985B)
Handicapped Education_Deaf-Blind Centers (1987U)
Services for Deaf-Blind Children and Youth (1991B)
Services for Children with Deaf-Blindness (1992B)
Archived (1998B)

84.026

Handicapped Media Services and Captioned Films (1980U1)
Transferred from 13.446 (1980U1)
Media and Captioning for Individuals with Disabilities (1991B)
Media and Captioning Services for Individuals with Disabilities (1994B)

Archived (1998B)

84.027

Handicapped Preschool and School Programs (1980U1)
Transferred from 13.449 (1980U1)
Handicapped_State Grants (1985U)
Special Education_State Grants (1991B)
Special Education_Grants to States (1994U)

84.028

Handicapped Regional Resource Centers (1980U1)
Transferred from 13.450 (1980U1)
Handicapped Regional Resource and Federal Centers (1987U)
Disabled_Regional Resource and Federal Centers (1991B)
Special Education_Regional Resource and Federal Centers (1993B)
Special Education Resource Centers (1994B)
Special Education_Regional Resource Centers (1994B)
Archived (1998B)

84.029

Handicapped Personnel Preparation (1980U1)
Transferred from 13.451 (1980U1)
Training Personnel for the Education of the Handicapped (1983B)
Special Education Personnel Development (1985B)
Handicapped Education_Special Education Personnel Development (1987U)
Special Education_Special Education Personnel Development (1991B)
Special Education_Special Education Personnel Development and Parent Training (1992B)
Special Education Personnel Development and Parent Training (1994B)
Special Education_Personnel Development and Parent Training (1994B)
Archived (1998B)

84.030

Handicapped Teacher Recruitment and Information (1980U1)
Transferred from 13.452 (1980U1)
Handicapped Teacher Recruitment and Information Dissemination (1984B)
Handicapped Teacher Recruitment and Information (1985B)
Clearinghouses for the Handicapped Program (1987U)
Clearinghouses for the Disabled (1991B)
Clearinghouses for Individuals with Disabilities (1992B)
Archived (1998B)

84.031

Higher Education_Strengthening Developing Institutions (1980U1)

Transferred from 13.454 (1980U1)
Higher Education_Institution Aid (1985B)

84.032

Higher Education Act Insured Loans (1980U1)
Transferred from 13.460 (1980U1)
Guaranteed Student Loans (1989B)
Federal Family Education Loans (1993U)

84.033

College Work-Study Program (1980U1)
Transferred from 13.463 (1980U1)
Federal Work-Study Program (1992U)

84.034

Public Library Services (1980U1)
Transferred from 13.464 (1980U1)
Library Services (1985U)
Public Library Services (1990U)
Archived (1997B)

84.035

Interlibrary Cooperation (1980U1)
Transferred from 13.465 (1980U1)
Interlibrary Cooperation and Resource Sharing (1986U)
Interlibrary Cooperation (1990U)
Interlibrary Cooperation and Resource Sharing (1991B)
Archived (1997B)

84.036

Library Training (1980U1)
Transferred from 13.468 (1980U1)
Library Career Training (1981U)
Library Education and Human Resource Development (1992U)
Library Education and Training (1993U)
Archived (1997B)

84.037

National Defense/Direct Student Loan Cancellations (1980U1)
Transferred from 13.470 (1980U1)
National Defense/National Direct/Perkins Loan Cancellations (1988B)
National Defense/National Direct/Federal Perkins Loan Cancellations (1992U)
Federal Perkins Loan Cancellations (1994B)
Loan Cancellations (2001B)
Perkins Loan Cancellations (2003B)

84.038

National Defense/Direct Student Loans (1980U1)
Transferred from 13.471 (1980U1)
Perkins Loans (1987B)
Perkins Loan Program (1990B)
Perkins Loan Program_Federal Capital Contributions (1990U)
Federal Perkins Loan Program_Federal Capital

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Contributions (1992U)
Archived (2010)

84.039
Library Research and Demonstration (1980U1)
Transferred from 13.475 (1980U1)
Archived (1997B)

84.040
School Assistance in Federally Affected Areas_Construction (1980U1)
Transferred from 13.477 (1980U1)
Impact Aid_Construction (1987U)
Impact Aid_Facilities Maintenance (1995U)

84.041
School Assistance in Federally Affected Areas_Maintenance and Operation (1980U1)
Transferred from 13.478 (1980U1)
Impact Aid_Maintenance and Operation (1987U)
Impact Aid_Maintenance and Operations (1990U)
Impact Aid (1995U)

84.042
Special Services for Disadvantaged Students (1980U1)
Transferred from 13.482 (1980U1)
Student Support Services (1987B)
TRIO_Student Support Services (1995U)

84.043
Strengthening State Educational Agency Management (1980U1)
Transferred from 13.486 (1980U1)
Archived (1982B)

84.044
Talent Search (1980U1)
Transferred from 13.488 (1980U1)
TRIO_Talent Search (1995U)

84.045
Teacher Corps_Operations and Training (1980U1)
Transferred from 13.489 (1980U1)
Archived (1982B)

84.046
Community Service and Continuing Education_State Grants (1980U1)
Transferred from 13.491 (1980U1)
Continuing Education Outreach_State Administered Program (1981B)
Archived (1983B)

84.047
Upward Bound (1980U1)
Transferred from 13.492 (1980U1)
TRIO_Upward Bound (1995U)

84.048

Vocational Education_Basic Grants to States (1980U1)
Transferred from 13.493 (1980U1)
Career and Technical Education -- Basic Grants to States (2008)

84.049
Vocational Education_Consumer and Homemaking Education (1980U1)
Transferred from 13.494 (1980U1)
Vocational Education_Consumer and Homemaking Education (1985B)
Archived (1996B)

84.050
Vocational Education_Program Improvement and Supportive Service (1980U1)
Transferred from 13.495 (1980U1)
Archived (1985B)

84.051
Vocational Education_Program Improvement Projects (1980U1)
Transferred from 13.498 (1980U1)
National Vocational Education Research (1985B)
Vocational Education_National Centers for career and Technical Education (2002B)
Vocational Education_National Programs (2004)
Career and Technical Education -- National Programs (2008)

84.052
Vocational Education_Special Programs for the Disadvantaged (1980U1)
Transferred from 13.499 (1980U1)
Archived (1985B)

84.053
Vocational Education_State Advisory Councils (1980U1)
Transferred from 13.500 (1980U1)
Vocational Education_State Councils (1985B)
Archived (1997B)

84.054
Vocational Education_Leadership Development Awards Program (1980U1)
Transferred from 13.503 (1980U1)
Archived (1981B)

84.055
Higher Education_Cooperative Education (1980U1)
Transferred from 13.510 (1980U1)
Archived (1997B)

84.056
Emergency School Aid Act_Basic Grants to Local Educational Agencies (1980U1)
Transferred from 13.525 (1980U1)

Archived (1982B)

84.057
Emergency School Aid Act_Grants to Nonprofit Organizations (1980U1)
Transferred from 13.529 (1980U1)
Archived (1982B)

84.058
Emergency School Aid Act_Educational Television and Radio (1980U1)
Transferred from 13.530 (1980U1)
Archived (1982B)

84.059
Emergency School Aid Act_Special Programs and Projects (1980U1)
Transferred from 13.532 (1980U1)
Split/Incorporated into 84.106, 84.107, 84.108, 84.109, 84.110 (1981B)

84.060
Development Awards Program_Indian Education_Local Educational Agencies and Tribal Schools (1980U1)
Transferred from 13.534 (1980U1)
Indian Education_Entitlement Grants to Local Educational Agencies and Tribal Schools (1981U)
Indian Education_Formula Grants to Local Educational Agencies and Tribal Schools (1984U)
Indian Education_Formula Grants to Local Educational Agencies (1988U)
Indian Education_Grants to Local Educational Agencies (1994U)

84.061
Indian Education_Special Programs and Projects (1980U1)
Transferred from 13.535 (1980U1)
Archived (1995U)

84.062
Indian Education_Adult Indian Education (1980U1)
Transferred from 13.536 (1980U1)
Indian Education_Adult Education (1990U)
Archived (1997B)

84.063
Basic Educational Opportunity Grant Program (1980U1)
Transferred from 13.539 (1980U1)
Pell Grant Program (1981B)
Federal Pell Grant Program (1992U)

84.064
Higher Education_Veterans' Cost of Instruction Program (1980U1)
Transferred from 13.540 (1980U1)
Higher Education_Veterans Education Outreach Program (1987B)

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Archived (1994B)

84.065

Educational Television and Radio (Programming) (1980U1)

Transferred from 13.541 (1980U1)

Educational Television and Radio Programming (1981B)

Archived (1983B)

84.066

Educational Opportunity Centers (1980U1)

Transferred from 13.543 (1980U1)

TRIO_Educational Opportunity Centers (1995U)

84.067

Use of Technology in Basic Skills Instruction (1980U1)

Transferred from 13.544 (1980U1)

Archived (1983B)

84.068

Indochina Refugee Children Assistance (1980U1)

Transferred from 13.545 (1980U1)

Archived (1982B)

84.069

Grants to States for State Student Incentives (1980U1)

Transferred from 13.548 (1980U1)

State Student Incentives Grants (1994U)

Leveraging Educational Assistance Partnership (1999B)

84.070

Ethnic Heritage Studies Program (1980U1)

Transferred from 13.549 (1980U1)

Archived (1982B)

84.071

State Postsecondary Education Commissions Program_Intrastate Planning (1980U1)

Transferred from 13.550 (1980U1)

Incorporated into 84.046 (1981B)

84.072

Indian Education_Grants to Indian-Controlled Schools (1980U1)

Transferred from 13.551 (1980U1)

Archived (1996B)

84.073

National Diffusion Program (National Diffusion Network) (1980U1)

Transferred from 13.553 (1980U1)

National Diffusion Program (1981B)

National Diffusion Network (1981U)

Archived (1997B)

84.074

Career Education (1980U1)

Transferred from 13.554 (1980U1)

Archived (1982B)

84.075

Public Service Education_Institutional Grants and Fellowships (1980U1)

Transferred from 13.555 (1980U1)

Incorporated into 84.094 (1981B)

84.076

Community Service and Continuing Education_Special Projects (1980U1)

Transferred from 13.557 (1980U1)

Archived (1980U2)

84.077

Bilingual Vocational Training (1980U1)

Transferred from 13.558 (1980U1)

Archived (1996B)

84.078

Regional Education Programs for Deaf and Other Handicapped Persons (1980U1)

Transferred from 13.560 (1980U1)

Postsecondary Education Programs for Handicapped Persons (1984B)

Postsecondary Education Programs for Persons with Disabilities (1991B)

Special Education_Postsecondary Education Programs for Persons with Disabilities (1994U)

Archived (1998B)

84.079

Education for the Use of the Metric System of Measurement (1980U1)

Transferred from 13.561 (1980U1)

Archived (1982B)

84.080

Education for Gifted and Talented Children and Youth (1980U1)

Transferred from 13.562 (1980U1)

Archived (1982B)

84.081

Community Education (1980U1)

Transferred from 13.563 (1980U1)

Archived (1982B)

84.082

Consumers' Education (1980U1)

Transferred from 13.564 (1980U1)

Archived (1982B)

84.083

Women's Educational Equity (1980U1)

Transferred from 13.565 (1980U1)

Women's Educational Equity Act Program (1994U)

Women's Educational Equity Program (2010)

84.084

Elementary and Secondary School Education in the Arts (1980U1)

Transferred from 13.566 (1980U1)

Archived (1982B)

84.085

Domestic Mining and Mineral and Mineral Fuel Conservation Fellow (1980U1)

Transferred from 13.567 (1980U1)

Incorporated into 84.094 (1981B)

84.086

Innovative Programs for Severely Handicapped Children (1980U1)

Transferred from 13.568 (1980U1)

Handicapped Education_Severely Handicapped Program (1987U)

Special Education_Severely Disabled Program (1991B)

Special Education_Program for Severely Disabled Children (1994U)

Archived (1998B)

84.087

Indian Education_Fellowships for Indian Students (1980U1)

Transferred from 13.569 (1980U1)

Archived (1997B)

84.088

Instructional Materials and School Library Resources (1980U1)

Transferred from 13.570 (1980U1)

Archived (1982B)

84.089

Improvement in Local Educational Practice (1980U1)

Transferred from 13.571 (1980U1)

Archived (1982B)

84.090

Health Education Assistance Loans (1980U1)

Transferred from 13.574 (1980U1)

Transferred to 13.108 (1981B)

84.091

Strengthening Research Library Resources (1980U1)

Transferred from 13.576 (1980U1)

Improving Access to Research Library Resources (1992U)

Archived (1996B)

84.092

Guidance, Counseling, and Testing in Elementary/Secondary Schools (1980U1)

Transferred from 13.577 (1980U1)

Archived (1981B)

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84.093 Emergency Adult Education Program for Indochina Refugees (1980U1) Transferred from 13.579 (1980U1) Archived (1982B)	Career and Technical Education - Grants to Native Americans and Alaska Natives (2010)	Transferred from 13.689 (1980U1) Archived (1982B)
84.094 Graduate and Professional Opportunities (1980U1) Transferred from 13.580 (1980U1) Graduate and Professional Study (1981B) Patricia Roberts Harris Fellowships (1987B) Harris Fellowships (1993U) Archived (1997B)	84.102 Emergency School Aid Act_Magnet Schools, University/Business Cooperation and Neutral Site Planning (1980U1) Transferred from 13.589 (1980U1) Archived (1982B)	84.111 Emergency School Aid Act_Grants for the Arts (1980U1) Transferred from 13.690 (1980U1) Archived (1982B)
84.095 Citizen Educational for Cultural Understanding Program (1980U1) Transferred from 13.581 (1980U1) International Understanding Program (1981B) Archived (1982B)	84.103 Training for Special Programs Staff and Leadership Personnel (1980U1) Transferred from 13.592 (1980U1) Training Program for Federal TRIO Programs (1993B) Higher Education_TRIO Staff Training Program (1994U) TRIO Staff Training Program (2005) TRIO Staff Training Program (2005)	84.112 Biomedical Sciences for Talented Disadvantaged Secondary Students (1980U1) Transferred from 13.691 (1980U1) Archived (1982B)
84.096 Incentive Grants for State Student Financial Assistance Training (1980U1) Transferred from 13.582 (1980U1) Archived (1981U)	84.104 Career Education State Allotment Program (1980U1) Transferred from 13.596 (1980U1) Archived (1982B)	84.113 Adult Education Program for Adult Immigrants (1980U1) Transferred from 13.692 (1980U1) Archived (1982B)
84.097 Law School Clinical Experience Program (1980U1) Transferred from 13.584 (1980U1) Archived (1997B)	84.105 Basic Skills Improvements (1980U1) Transferred from 13.599 (1980U1) Basic Skills Improvement (1981B) Archived (1982B)	84.114 Capacity Building for Statistical Activities (1980U1) Transferred from 13.922 (1980U1) Capacity-Building Grants for Data Systems in State Agencies (1981U) Archived (1982B)
84.098 Educational Information Centers (1980U1) Transferred from 13.585 (1980U1) Incorporated into 84.046 (1981B)	84.106 Emergency School Aid Act_Planning Grants (1980U1) Transferred from 13.685 (1980U1) Archived (1982B)	84.115 Institute of Museum Services (1980U1) Transferred from 13.923 (1980U1) Transferred to 45.301 (1982B)
84.099 Bilingual Vocational Instructor Training (1980U1) Transferred from 13.586 (1980U1) Archived (1996B)	84.107 Emergency School Aid Act_Pre-Implementation Assistance Grants (1980U1) Transferred from 13.686 (1980U1) Archived (1982B)	84.116 Fund for the Improvement of Postsecondary Education (1980U1) Transferred from 13.925 (1980U1)
84.100 Bilingual Vocational Instructional Materials, Methods, and Techniques (1980U1) Transferred from 13.587 (1980U1) Bilingual Vocational Materials, Methods, and Techniques (1985B) Archived (1996B)	84.108 Emergency School Aid Act_Out-of-Cycle Grants (1980U1) Transferred from 13.687 (1980U1) Archived (1982B)	84.117 Educational Research and Development (1980U1) Transferred from 13.950 (1980U1) Archived (1996B)
84.101 Vocational Education_Program for Indian Tribes and Indian Organizations (1980U1) Transferred from 13.588 (1980U1) Vocational Education_Indian and Hawaiian Natives (1985B) Vocational Education_Indians (1992U) Vocational Education_Indians Set-aside (1994U) Career and Technical Education - Indian Set-aside (2008)	84.109 Emergency School Aid Act_Special Discretionary Assistance Grants (1980U1) Transferred from 13.688 (1980U1) Archived (1982B)	84.118 National Adult Education Development and Dissemination Program and Planning Grants (1980U1) Archived (1981B)
	84.110 Emergency School Aid Act_State Agency Grants (1980U1)	84.119 Pre-College Teacher Development In Science Program (1980U1) Archived (1982B)

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- (1999B)
- 84.121**
Vocational Education_State Planning and Evaluation (1980U1)
Archived (1985B)
- 84.122**
Secretary's Discretionary Program (1980U1)
Archived (1982B)
Reinstated (1983U)
Secretary's Discretionary (1983U)
Archived (1990B)
- 84.123**
Law-Related Education (1980U1)
Archived (1982B)
Reinstated (1983U)
Instruction in Civics, Government, and Law (1995B)
Archived (1997B)
- 84.124**
Territorial Teacher Training Assistance Program (1980U1)
Archived (1995U)
- 84.125**
Office for Handicapped Individuals (1980U1)
Transferred from 13.603 (1980U1)
Office of Information and Resources for the Handicapped (1980U2)
Clearinghouse on the Handicapped (1981U)
Clearinghouse on Disability Information (1989B)
Archived (1996B)
- 84.126**
Rehabilitation Services_Basic Support (1980U1)
Transferred from 13.624 (1980U1)
Rehabilitation Services_Vocational Rehabilitation of State Grants (1994B)
Rehabilitation Services_Vocational Rehabilitation Grants to States (1994U)
- 84.127**
Vocational Rehabilitation Services for Social Security Disability Beneficiaries (1980U1)
Transferred from 13.625 (1980U1)
Archived (1981B)
- 84.128**
Rehabilitation Services_Special Projects (1980U1)
Transferred from 13.626 (1980U1)
Rehabilitation Services_Service Projects (1984B)
- 84.129**
Rehabilitation Training (1980U1)
Transferred from 13.629 (1980U1)
Rehabilitation Long-Term Training (1993U)
- 84.130**
Rehabilitation Services_Innovation and Expansion (1980U1)
Transferred from 13.649 (1980U1)
Archived (1981U)
- 84.131**
Vocational Rehabilitation Services for Supplemental Security Income Beneficiaries (1980U1)
Transferred from 13.651 (1980U1)
Archived (1981B)
- 84.132**
Centers for Independent Living (1980U1)
Transferred from 13.653 (1980U1)
- 84.133**
National Institute of Handicapped Research (1980U1)
Transferred from 13.654 (1980U1)
National Institute on Disability and Rehabilitation Research (1987B)
- 84.134**
Telecommunications Demonstrations for Health, Education and Other Social Services (1980U1)
Transferred from 13.680 (1980U1)
Archived (1981U)
- 84.135**
Aid to Land-Grant Colleges (1980U2)
Transferred to 10.003 (1983U)
- 84.136**
Legal Training for the Disadvantaged (1980U2)
Archived (1997B)
- 84.138**
Educational Services to Cuban and Haitian Entrant Children (1980U2)
Archived (1981U)
- 84.139**
Cities in Schools (1980U2)
Archived (1982B)
- 84.140**
Push for Excellence (1980U2)
Archived (1982B)
- 84.141**
High School Equivalency and College Assistance Migrant Program (1980U2)
Split into 84.141, 84.149 (1981B)
Migrant Education Program_Part A_High School Equivalency Program (1981B)
Migrant Education_High School Equivalency Program (1981U)
- 84.142**
Housing for Educational Institutions (1980U2)
Housing and Other Educational Facilities Loans (1987B)
College Housing and other Educational Facilities Loans (1989B)
College Facilities Loans (1990B)
College Housing and Academic Facilities Loans (1991B)
Archived (1998B)
- 84.143**
Emergency School Aid Act_Evaluation Contracts (1980U2)
Archived (1983B)
- 84.144**
Migrant Education_Discretionary Interstate/Intrastate Coordination Program (1980U2)
Migrant Education Program_Discretionary Interstate and Intrastate Coordination (1981B)
Migrant Education_Interstate and Intrastate Coordination Program (1981U)
Migrant Education_Coordination Program (1990B)
- 84.145**
Federal Real Property Assistance Program (1980U2)
- 84.146**
Transition Program for Refugee Children (1981B)
Archived (1991B)
- 84.148**
Allen J. Ellender Fellowship Program (1981B)
Archived (1988B)
- 84.149**
Migrant Education Program_Part B_College Assistance Program (1981B)
Split from 84.141 (1981B)
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- 84.151**
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Improving Schools Programs_State Block Grants (1983B)
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- 84.152**
Neglected or Delinquent Transition Services (1982B)
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- 84.153**

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84.154

Library Services and Construction
Act_Construction (1983B)
Public Library Construction (1985U)
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Enhancement (1991B)
Archived (1997B)

84.155

Removal of Architectural Barriers to the
Handicapped (1983U)
Archived (1990B)

84.157

Secretary's Initiative to Improve the Quality of
Chapter 1, ECIA Projects (1983U)
Archived (1984B)

84.158

Secondary Education and Transitional Services for
Handicapped Youth (1984B)
Secondary Education and Transitional Services for
Youth with Disabilities (1991B)
Archived (1998B)

84.159

Handicapped-Special Studies (1984B)
Disabled_Special Studies and Evaluation (1991B)
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(1994B)
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Program (1994B)
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Disabilities (1994U)
Archived (1998B)

84.160

Training Interpreters for Deaf Individuals (1984B)
Training Interpreters for Individuals who are Deaf
and Individuals who are Deaf-Blind (1993B)

84.161

Client Assistance for Handicapped Individuals
(1984B)
Client Assistance for Individuals with Handicaps
(1990U)
Client Assistance for Individuals with Disabilities
(1991B)
Rehabilitation_Services_Client Assistance Program
(1991U)

84.162

Emergency Immigrant Education Assistance
(1984U)
Emergency Immigrant Education (1987U)
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Archived (2002B)

84.163

Library Services for Indian Tribes and Hawaiian
Natives (1985B)
Archived (1997B)

84.164

State Grants for Strengthening the Skills of
Teachers and Instruction in Mathematics, Science,
Foreign Languages, and Computer Learning
(1985B)
State Grants for Strengthening the Skills of
Teachers and Instruction in Mathematics and
Science (1989B)
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Eisenhower Mathematics and Science
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Archived (1995U)

84.165

Magnet Schools Assistance (1985B)
Magnet Schools Assistance in Desegregating
Districts (1991B)
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84.167

Library Literacy (1986B)
Archived (1996U)

84.168

Secretary's Discretionary Program for Mathematics,
Science Computer Learning, and Critical Foreign
Languages (1985B)
National Programs for Strengthening, Teaching,
and Administration in Mathematics and Science
Programs (1989B)
National Program for Mathematics and Science
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Eisenhower National Program for Mathematics and
Science Education (1991U)
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Mathematics and Science Education (1992B)
Eisenhower Mathematics and Science
Education_National Program (1994U)
Eisenhower Professional Development_Federal
Activities (1995B)
Eisenhower Professional Development_National
Activities (1995U)
Eisenhower Professional Development_Federal
Activities (1997U)
Deleted (2004)

84.169

Comprehensive Services for Independent Living
(1985B)
Independent Living Services (1993B)
Independent Living_State Grants (1993U)

84.170

National Graduate Fellows (1985B)

Jacob K. Javits Fellowships (1987B)
Javits Fellowships (1993U)

84.171

Excellence in Education (1985B)
Archived (1988B)

84.172

Construction, Reconstruction, and Renovation of
Academic Facilities (1985B)
Archived (1990B)

84.173

Handicapped_Preschool Incentive Grants (1985U)
Handicapped_Preschool Grants (1987U)
Special Education_Preschool Grants (1991B)

84.174

Vocational Education_Community Based
Organizations (1986B)
Archived (1996B)

84.175

Rehabilitation_Services_Innovation and Expansion
(1986B)
Archived (1986U)

84.176

Carl D. Perkins Scholarships (1986U)
Paul Douglas Teacher Scholarships (1987U)
Douglas Teacher Scholarships (1992B)
Archived (1997B)

84.177

Rehabilitation_Services_Independent Living for
Older Blind Individuals (1986B)
Rehabilitation_Services_Independent Living
Services for Older Blind Individuals (1987U)
Rehabilitation_Services_Independent Living
Services for Older Individuals Who are Blind
(1993B)

84.178

Leadership in Educational Administration
Development (1986U)
Archived (1995B)

84.180

Technology, Educational Media and Materials for
the Handicapped (1987B)
Technology, Educational Media and Materials for
Individuals with Disabilities (1991B)
Technology Applications for Individuals with
Disabilities (1993B)
Technology, Educational Media and Materials for
Individuals with Disabilities (1994B)
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Disabilities (1995U)
Archived (1998B)

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- 84.181**
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Grants for Infants and Families with Disabilities (1993U)
Special Education_Grants for Infants and Families with Disabilities (1994U)
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- 84.184**
National Program for Drug-Free Schools and Communities (1987B)
Drug-Free Schools and Communities_National Programs (1990U)
Safe and Drug-Free Schools and Communities_National Programs (1996U)
- 84.185**
Robert C. Byrd Honors Scholarships (1987B)
Byrd Honors Scholarships (1993U)
- 84.186**
Drug-Free Schools and Communities_State Grants (1987B)
Safe and Drug-Free Schools_State Grants (1995B)
Safe and Drug-Free Schools and Communities_State Grants (1996U)
- 84.187**
Supported Employment Services for Individuals with Severe Handicaps (1987B)
Supported Employment Services for Individuals with Severe Disabilities (1993B)
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- 84.188**
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Archived (1995U)
- 84.190**
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Archived (1997B)
- 84.191**
National Adult Education Discretionary Program (1987U)
National Adult Education Research (1989B)
National Adult Education Discretionary Program (1991B)
Adult Education_National Programs (1994U)
Adult Education_Evaluation and Technical Assistance (1997B)
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- 84.192**
Adult Education for the Homeless (1987U)
Adult Education_Literacy Training for Homeless Adults (1994U)
Archived (1997B)
- 84.193**
Demonstration Centers for the Retraining of Dislocated Workers (1987U)
Demonstration Centers for the Training of Dislocated Workers (1991B)
Archived (1994B)
- 84.194**
Bilingual Education Support Services (1987U)
Archived (2002B)
- 84.195**
Bilingual Education Training Grants (1987U)
Bilingual Education_Professional Development (1996B)
Deleted (2004)
- 84.196**
State Activities_Education of Homeless Children and Youth (1987U)
State Activities_Education for Homeless Children and Youth (1990B)
Education for Homeless Children and Youth_Grants for State and Local Activities (1992B)
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- 84.197**
College Library Technology and Cooperation Grants (1988B)
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Archived (1996B)
- 84.198**
Workplace Literacy Partnership (1988B)
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Workplace Literacy Partnerships (1990U)
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National Workplace Literacy Program (1991U)
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Archived (1998B)
- 84.199**
Vocational Education_Cooperative Demonstration (1988B)
Archived (1996B)
- 84.200**
Graduate Assistance in Areas of National Need (1988B)
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Graduate Assistance in Areas of National Need (2005)
- 84.201**
School Dropout Demonstration Assistance (1988B)
Dropout Demonstration Assistance (1994U)
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Archived (1997B)
- 84.202**
Grants to Institutions to Encourage Minority Participation in Graduate Education (1988B)
Grants to Institutions to Encourage Women and Minority Participation in Graduate Education (1992U)
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Archived (1996B)
- 84.203**
Star Schools Program (1988B)
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Archived (2009)
- 84.204**
School, College, and University Partnership (1988U)
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Archived (1997B)
- 84.206**
Jacob K. Javits Gifted and Talented Students (1988U)
Jacob K. Javits Gifted and Talented Students Education Grant Program (1991U)
Javits Gifted and Talented Students Education Grant Program (1994U)
- 84.207**
Educational Personnel Training (1989B)
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Drug-Free Schools and Communities_School Personnel Training (1990U)
Archived (1995U)
- 84.208**
Native Hawaiian Model Curriculum Implementation Project (1988U)
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Archived (1995U)
- 84.209**
Native Hawaiian Family Based Education Centers (1988U)
Deleted (2004)

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- 84.210**
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Deleted (2004)
- 84.211**
FIRST Schools and Teachers (1988U)
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- 84.212**
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- 84.213**
Even Start_Local Education (1988U)
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- 84.214**
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- 84.215**
Innovation in Education (1988U)
The Secretary's Fund for Innovation in Education (1989B)
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- 84.216**
Private School_Capital Expenses (1988U)
Archived (2003B)
- 84.217**
Ronald E. McNair Post-Baccalaureate Achievement (1989B)
McNair Post-Baccalaureate Achievement (1993U)
TRIO_McNair Post-Baccalaureate Achievement (2002B)
- 84.218**
State Improvement (1989B)
State Program Improvement Grants (1990B)
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Archived (1998B)
- 84.219**
Student Literacy Corps (1989B)
Student Literacy Corps and Student Mentoring Corps Programs (1992U)
Student Literacy Corps and Student Mentoring Corps (1994B)
Archived (1995B)
- 84.220**
Center for International Business Education (1989B)
- 84.221**
Native Hawaiian Special Education (1989B)
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- 84.222**
National School Volunteer Program (1989B)
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- 84.223**
State-Administered English Literacy (1989B)
English Literacy Program (1989U)
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- 84.224**
State Grants for Technology-Related Assistance to Individuals with Disabilities (1989B)
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- 84.225**
Education for Homeless Children and Youth_Exemplary Grants (1989U)
Archived (1992B)
- 84.226**
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- 84.227**
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Archived (1992B)
- 84.228**
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Archived (1995B)
- 84.229**
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- 84.230**
Technology Education Demonstration (1990B)
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- 84.231**
Demonstration and Innovation Projects of National Significance in Technology-Related Assistance for Individuals with Disabilities (1990B)
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- 84.232**
Mid-Career Teacher Training (1990B)
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- 84.233**
Emergency Grants (1991B)
Drug-Free Schools and Communities_Emergency Grants (1992B)
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- 84.234**
Projects with Industry (1991B)
- 84.235**
Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Handicaps (1991B)
Special Projects and Demonstrations for Providing Vocational Rehabilitation Services to Individuals with Severe Disabilities (1992B)
Rehabilitation Services Demonstration and Training_Special Demonstration Programs (1999B)
Rehabilitation Services Demonstration and Training Programs (2003B)
- 84.236**
Training and Public Awareness Projects in Technology Related Assistance for Individuals with Disabilities (1991B)
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Archived (1995U)
- 84.237**
Children and Youth with Serious Emotional Disturbance (1991B)
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Archived (1998B)
- 84.238**
Training Programs for Educators_Alcohol Abuse (1991B)
Archived (1995B)
- 84.239**
Foreign Language Materials Acquisition (1991B)
Archived (1995B)
- 84.240**
Program of Protection and Advocacy (1991B)
Program of Protection and Advocacy of Individual Rights (1992B)
- 84.241**
Counselor Training (1991B)
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- 84.242**
National Science Scholars (1991B)
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- 84.243**
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- 84.244**
Business and Education Standards (1991B)
Archived (1997B)

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84.245 Tribspally Controlled Postsecondary Vocational Institutions (1991B) Tribspally Controlled Postsecondary Vocational and Technical Institutions (1999B) Tribspally Controlled Postsecondary Career and Technical Institutions (2007)	(1997U) Territories and Freely Associated States Education Grant Program (2010)	Institute for International Public Policy (1994B)
84.246 Rehabilitation Short-Term Training (1991B)	84.257 National Institute for Literacy (1995B) Archived (2010)	84.270 Teacher Corps (1994B) Archived (1995U)
84.247 Commercial Drivers Education (1991U) Archived (1994B)	84.258 Even Start_Indian Tribes and Tribal Organizations (1992U)	84.271 Faculty Development Fellowship (1994B) Archived (1996B)
84.248 Demonstration Projects for the Integration of Vocational and Academic Learning (1991U) Archived (1997B)	84.259 Native Hawaiian Vocational Education (1992U) Native Hawaiian Career and Technical Education (2007)	84.272 National Early Intervention Scholarship and Partnership (1994B) National Early Intervention Scholarship and Partnership (NEISP) (1994U) National Early Intervention Scholarship and Partnership (1995U) Archived (1999B)
84.249 Foreign Languages Assistance (1991U) Archived (1995U)	84.260 National Academy of Science, Space, and Technology (1993B) Archived (1995U)	84.273 Demonstration Grants for Critical Language and Area Studies (1994B) Archived (1996U)
84.250 Rehabilitation Services_American Indians with Disabilities (1991U)	84.261 Dwight D. Eisenhower Leadership Development (1993B) Eisenhower Leadership (1994B) Eisenhower Leadership Program (1994U) Archived (1996B)	84.274 American Overseas Research Centers (1994B)
84.251 Periodicals Published Outside the United States (1992B) Foreign Periodicals (1993U) Archived (1996B)	84.262 Minority Teacher Recruitment (1993U) Archived (1999B)	84.275 Rehabilitation Training_General Training (1995U)
84.252 Urban Community Services (1992B) Urban Community Service (1994B) Archived (2001B)	84.263 Rehabilitation Training_Experimental and Innovative Training (1993B)	84.276 Goals 2000_State and Local Education Systemic Improvement Grants (1995B) Archived (2002B)
84.253 Supplementary State Grants for Facilities, Equipment, and Other Program Improvement Activities (1992B) Archived (1995B)	84.264 Rehabilitation Training_Continuing Education (1993B)	84.277 Safe Schools Discretionary Grants to Local Education Agencies (1995B) Archived (1995U)
84.254 State Literacy Resource Centers (1992B) Archived (1997B)	84.265 Rehabilitation Training_State Vocational Rehabilitation Unit In-Service Training (1993B)	84.278 School to Work Opportunities (2001U) Archived (2002B)
84.255 Literacy for Incarcerated Adults (1992B) Literacy Programs for Prisoners (1994U) Deleted (2008)	84.266 Training in Early Childhood Education and Violence Counseling (1993B) Archived (1996B)	84.279 Goals 2000_Assessment Development and Evaluation Grants (1995B) Archived (1996U)
84.256 Territories_Freely Associated States Education Grant Program (1992B) Education Grant Program for the Freely Associated States (1994U) Freely Associated States_Education Grant Program	84.267 State Postsecondary Review (1993U) Archived (1996B)	84.280 Goals 2000_Opportunity-To-Learn Development Grants (1995B) Archived (1996U)
	84.268 Federal Direct Student Loan (1994B) Federal Direct Loan (1997U) Federal Direct Student Loans (2002B)	84.281 Eisenhower Professional Development State Grants (1995B) Deleted (2004)
	84.269	84.282

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84.283

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Comprehensive Centers (2005)

84.284

School Facilities Infrastructure Improvement (1995B)
Archived (1995U)

84.285

Family and Community Endeavor Schools Grant Program (1995B)
Archived (1995U)

84.286

Telecommunications Demonstration Project for Mathematics (1995B)
Ready to Teach (2003B)
Ready to Teach (2005)
Ready to Teach (2005)

84.287

21st Century Community Learning Centers Program (1995B)
After School Learning Centers (1997B)
Twenty-First Century Community Learning Centers (1998B)

84.288

Bilingual Education_Program Development and Implementation Grants (1995B)
Archived (2002B)

84.289

Bilingual Education_Program Enhancement Grants (1995B)
Archived (2002B)

84.290

Bilingual Education_Comprehensive School Grants (1996U)
Archived (2002B)

84.291

Bilingual Education_Systemwide Improvement Grants (1995B)
Archived (2002B)

84.292

Bilingual Education_Research Programs (1995B)
Archived (2002B)

84.293

Foreign Languages Assistance (1995B)
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84.294

Foreign Languages Assistance_Incentive Grants (1995B)
Archived (1998B)
Foreign Language Incentive Program (2002B)
Reinstated (2002B)
Deleted (2004)

84.295

Ready-To-Learn Television (1995B)

84.296

Native Hawaiian Community-Based Education Learning Centers (1995B)
Deleted (2004)

84.297

Native Hawaiian Curriculum Development, Teacher Training and Recruitment (1995B)
Deleted (2004)

84.298

Innovative Education Program Strategies (1995B)
State Grants for Innovative Programs (2004)
Archived (2009)

84.299

Indian Education_Special Programs (1995B)
Archived (1997B)

84.300

Alaska and Native Hawaiian Cultural and Arts Development (1995B)
Native Hawaiian and Alaska Native Culture and Arts Development (1996U)
Archived (1997B)

84.301

Olympic Scholarships (1995B)
Archived (1995U)

84.302

Technical Support and Professional Development Consortia for Technology (1995B)
Eisenhower Regional Mathematics and Science Education Consortia (1996B)
Regional Technical Support and Professional Development Consortia (1996U)
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84.303

Challenge Grants for Technology in Education (1995B)
Local Innovation_Challenge Grants for Technology in Education (1996B)
Technology Challenge Grants (1997B)
Technology Innovation Challenge Grants (1997U)
Archived (2002B)

84.304

International Education Exchange (1995B)
Cooperative Education Exchange Program (2002B)
Civic Education_Cooperative Education Exchange Program (2003B)
Civic Education - Cooperative Education Exchange Program (2004)
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84.305

National Institute on Student Achievement, Curriculum, and Assessment (1995U)
Education Research, Development and Dissemination (2003B)

84.306

National Institute on the Education of At-Risk Students (1995U)
Deleted (2004)

84.307

National Institute on Early Childhood Development and Education (1995U)
Deleted (2004)

84.308

National Institute on Educational Governance, Finance, Policymaking, and Management (1995U)
Deleted (2004)

84.309

National Institute on Postsecondary Education, Libraries, and Lifelong Learning (1995U)
Deleted (2004)

84.310

Goals 2000: Parental Assistance Program (1995U)
Goals 2000: Parental Information Resource Centers (2000B)
Goals 2000: Parental Assistance (2001B)
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84.311

Goals 2000: Tribal Divisions of Education School Reform Program (1995U)
Archived (1996U)

84.312

Goals 2000: Urban/Rural Local Reform Initiative (1995U)
Archived (1996U)

84.313

Even Start_Family Literacy in Women's Prisons Program (1995U)
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84.314

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84.315
Capacity Building for Traditionally Underserved Populations (1995U)

84.316
Native Hawaiian Higher Education Program (1995U)
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84.318
Technology Literacy Challenge Fund Grants (1998B)
Education Technology State Grants (2003B)
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Education Technology State Grants (2005)
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84.319
Eisenhower Regional Mathematics and Science Education Consortia (1997B)
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Eisenhower Regional Math and Science Consortia (2005)
Eisenhower Regional Math and Science Consortia (2005)
Eisenhower Regional Math and Science Consortia (2005)
Deleted (2006)

84.320
Alaska Native Educational Planning, Curriculum Development, Teacher Training, and Recruitment Program (1997B)
Deleted (2004)

84.321
Alaska Native Home Based Education for Preschool Children (1997B)
Deleted (2004)

84.322
Alaska Native Student Enrichment Program (1997B)
Deleted (2004)

84.323
Special Education_State Program Improvement Grants for Children with Disabilities (1998B)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)
Special Education - State Personnel Development (2005)

84.324
Special Education_Research and Innovation to Improve Services and Results for Children with Disabilities (1998B)
Research in Special Education (2005)
Research in Special Education (2005)

84.325
Special Education_Personnel Preparation to Improve Services and Results for Children with Disabilities (1998B)
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities (2006)

84.326
Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities (1998B)

84.327
Special Education_Technology and Media Services for Individuals with Disabilities (1998B)

84.328
Special Education_Training and Information for Parents of Children with Disabilities (1998B)
Special Education_Parent Information Centers (2000B)

84.329
Special Education_Studies and Evaluations (1998B)

84.330
Advanced Placement Test Fee Payment Program (1998B)
Advanced Placement Incentive Program (1999B)
Advanced Placement Program (2002B)
Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants) (2008)

84.331
Grants to States for Incarcerated Youth Offenders (1998B)
Grants to States for Workplace and Community Transition Training for Incarcerated Individuals (2009)

84.332
Comprehensive School Reform Demonstration (1999B)

84.333
Demonstration Projects to Ensure Students with Disabilities Receive a Higher Education (1999B)
Demonstration Projects to Support Postsecondary Faculty, Staff, and Administrations in Educating Students with Disabilities (2009)

84.334
Gaining Early Awareness and Readiness for Undergraduate Programs (1999B)

84.335
Child Care Access Means Parents in School (1999B)

84.336
Teacher Quality Enhancement Grants for States and Partnerships (1999B)
Teacher Quality Enhancement Grants (2000B)
Teacher Quality Partnership Grants (2009)

84.337
Technological Innovation and Cooperation for Foreign Information Access (1999B)
International Education_Technological Innovation and Cooperation for Foreign Information Access (2003B)

84.338
Reading Excellence (1999B)
Archived (2003B)

84.339
Learning Anytime Anywhere Partnerships (1999B)
Deleted (2004)

84.340
Class Size Reduction (1999B)
Archived (2003B)

84.341
Community-Based Technology Centers (1999B)
Community Technology Centers (2000B)
Deleted (2008)

84.342
Preparing Tomorrow's Teachers to Use Technology (1999B)

84.343
Assistive Technology_State Grants for Protection and Advocacy (1999B)

84.344
TRIO_Dissemination (2000U)
TRIO_Dissemination Partnership Grants (2001B)
Deleted (2008)

84.345
Underground Railroad Educational and Cultural Program (2000U)

84.346
Occupational and Employment Information State Grants (2000U)
Vocational Education_Occupational and Employment Information State Grants (2003B)

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84.347

Community Scholarship Mobilization (2000U)
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84.348

Title I Accountability Grants (2000U)
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84.349

Early Childhood Educator Professional
Development (2001U)
Archived (2009)

84.350

Transition to Teaching (2001U)

84.351

Arts in Education (2001U)

84.352

Grants for School Repair and Renovation (2001U)
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Archived (2003B)

84.353

Tech-Prep Demonstration Grants (2001U)

84.354

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(2002B)
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(2005)
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(2005)

84.355

Child Care Provider Loan Forgiveness
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84.356

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84.357

Reading First State Grants (2002B)
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84.358

Rural Education Achievement Program (2002B)
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84.359

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84.360

Dropout Prevention Programs (2002B)
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High School Graduation Initiative (2010)

84.361

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84.362

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84.363

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84.364

Literacy through School Libraries (2002B)
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(2010)

84.365

English Language Acquisition Grants (2004)

84.366

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84.367

Improving Teacher Quality State Grants (2004)

84.368

Grants for Enhanced Assessment Instruments
(2003B)
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Grants for Enhanced Assessment Instruments
(2010)

84.369

Grants for State Assessments and Related Activities
(2004)

84.370

DC School Choice Incentive Program (2005)

84.371

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84.372

Statewide Data Systems (2006)

84.373

Special Education_Technical Assistance on State
Data Collection (2006)

84.374

Teacher Incentive Fund (2006)

84.375

Academic Competitiveness Grants (2006)

84.376

National Science and Mathematics Access to
Retain Talent (SMART) Grants (2006)

84.377

School Improvement Grants (2008)

84.378

College Access Challenge Grant Program (2008)

84.379

Teacher Education Assistance for College and
Higher Education Grants (TEACH Grants) (2008)

84.380

Special Education -- Olympic Education Programs
(2008)

84.381

Baccalaureate Degrees in Science, Technology,
Engineering, Mathematics, and Critical Foreign
Languages and Master's Degrees in Science,
Technology, Engineering, Mathematics, and
Critical Foreign Languages (2008)

84.382

Strengthening Minority-Serving Institutions (2008)

84.383

Homeless Education Disaster Assistance Program
(2009)

84.384

Statewide Data Systems, Recovery Act (2009)

84.385

Teacher Incentive Fund, Recovery Act (2009)

84.386

Education Technology State Grants, Recovery Act
(2009)

84.387

Education for Homeless Children and Youth,
Recovery Act (2009)

84.388

School Improvement Grants, Recovery Act (2009)

84.389

Title I Grants to Local Educational Agencies,
Recovery Act (2009)

84.390

Rehabilitation Services-Vocational Rehabilitation
Grants to States, Recovery Act (2009)

84.391

Special Education Grants to States, Recovery Act
(2009)

84.392

Special Education - Preschool Grants, Recovery
Act (2009)

84.393

Special Education - Grants for Infants and Families,
Recovery Act (2009)

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84.394 State Fiscal Stabilization Fund (SFSF) - Education State Grants, Recovery Act (2009)	Dependents (2010)	85.003 MCC Domestic Assistance for Overseas Programs (2010)
84.395 State Fiscal Stabilization Fund (SFSF) - Race-to-the-Top Incentive Grants, Recovery Act (2009)	84.409 Civil Legal Assistance Attorney Student Loan Repayment Program (2010)	85.111 Rural Water Investment Evaluations (MOZMBIQUE) (2009)
84.396 State Fiscal Stabilization Fund (SFSF) - What Works and Innovation Fund, Recovery Act (2009) State Fiscal Stabilization Fund (SFSF) - Investing in Innovation (i3) Fund, Recovery Act (2010)	84.410 Education Jobs Fund (2010)	MORRIS K. UDALL FOUNDATION <hr/> 85.400 Morris K. Udall Scholarship Program (1997B)
84.397 State Fiscal Stabilization Fund (SFSF) - Government Services, Recovery Act (2009)	84.938 Hurricane Education Recovery (2008) Archived (2009)	85.401 Morris K. Udall Fellowship Program (1997B)
84.398 Independent Living State Grants, Recovery Act (2009)	BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION <hr/> 85.200 Barry M. Goldwater Scholarship Program (1997B)	85.402 Morris K. Udall Native American Congressional Internship Program (1997B)
84.399 Independent Living Services for Older Individuals Who are Blind, Recovery Act (2009)	CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION <hr/> 85.100 Christopher Columbus Fellowship Program (1997B) Archived (2009)	SMITHSONIAN INSTITUTION <hr/> 85.601 Smithsonian Institution Fellowship Program (2008)
84.400 Centers for Independent Living, Recovery Act. (2009)	85.101 Homeland Security Award (2009)	WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS <hr/> 85.300 Woodrow Wilson Center Fellowships in the Humanities and Social Sciences (1997B)
84.401 Impact Aid School Construction, Recovery Act (2009)	85.102 Christopher Columbus Awards (2009)	PENSION BENEFIT GUARANTY CORPORATION <hr/> 86.001 Pension Plan Termination Insurance (1980U2)
84.402 Consolidated Grants to the Outlying Areas, Recovery Act (2009)	85.103 Freida J. Riley Teacher Award (2009) Archived (2010)	CONSUMER PRODUCT SAFETY COMMISSION <hr/> 87.001 Voluntary Standards Assistance (1980U2) Archived (1986U)
84.403 Consolidated Grant to the Outlying Areas (2009)	85.104 Life Sciences Awards (2009)	ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD <hr/> 88.001 Architectural and Transportation Barriers Compliance (1984U)
84.404 Impact Aid -- School Construction Formula Grants, Recovery Act (2009)	85.105 Agriscience Awards (2010)	NATIONAL ARCHIVES AND RECORDS ADMINISTRATION <hr/> 89.001 National Archives Reference Services (1985B) Transferred from 39.004 (1985B) National Archives Reference Services -- Historical Research (1988B)
84.405 Teacher Quality Partnerships, Recovery Act (2009)	HARRY S TRUMAN SCHOLARSHIP FOUNDATION <hr/> 85.001 Harry S Truman Scholarship Program (1980U1)	89.002 National Audiovisual Center (1985B) Transferred from 39.005 (1985B) Multimedia and Publications Distribution (1993B)
84.406 Capacity Building for Traditionally Underserved Populations, Recovery Act. (2009)	JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION <hr/> 85.500 James Madison Memorial Fellowship Program (1997B)	
84.407 Transition Programs for Students with Intellectual Disabilities into Higher Education (2010)	MILLENNIUM CHALLENGE CORPORATION <hr/> 85.002 MCC Foreign Assistance for Overseas Programs (2010)	
84.408 Postsecondary Education Scholarships for Veteran's Dependents (2010) Postsecondary Education Scholarships for Veteran's		

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Archived (1995B)

89.003

National Historical Publications and Records Grants (1985B)
Transferred from 39.006 (1985B)

89.005

Cooperative Agreements to Support the Programs of the National Archives and Records Administration (NARA) (2006)

BROADCASTING BOARD OF GOVERNORS

90.500

International Broadcasting Independent Grantee Organizations (2008)

COMMISSION ON THE BICENTENNIAL OF THE U.S. CONSTITUTION

90.001

Bicentennial Educational Grant Program (1987U)
Archived (1991B)

90.002

The U.S. Constitution_College-Community Forums (1990B)
Archived (1991B)

DELTA REGIONAL AUTHORITY

90.200

Delta Regional Development (2005)

90.201

Enter new title here!Delta Area Economic Development (2005)

90.202

Enter new title here!Delta Local Development District Assistance (2005)

DENALI COMMISSION

90.100

Denali Commission Program (2000U)

JAPAN U.S. FRIENDSHIP COMMISSION

90.300

Japan-US Friendship Commission Grants (2004)

U.S. ELECTION ASSISTANCE COMMISSION

90.400

Help American Vote College Pollworker Program (2004)
Help America Vote College Pollworker Program (2006)
Help America Vote College Program (2008)

90.401

Help America Vote Act Requirements Payments (2005)

90.402

Help America Vote Mock Election Program (2008)

90.403

U.S. Election Assistance Commission Research Grants (2010)

UNITED STATES INSTITUTE OF PEACE

91.001

International Peace and Conflict Management_Research and Education (1990B)
Unsolicited Grant Program (2000B)
Annual Grant Competition (2009)

91.002

International Peace and Conflict Management_Articles and Manuscripts (1990B)
Solicited Grant Program (2000B)
Priority Grant Competition (2009)

91.003

91.003 Annual Senior Fellowship Competition (2010)

NATIONAL COUNCIL ON DISABILITY

92.001

National Council on Disability (1992B)

DEPARTMENT OF HEALTH AND HUMAN SERVICES

93.001

Civil Rights Compliance Activities (1976B)
Number changed from 13.675 (1990U)
Civil Rights and Privacy Rule Compliance Activities (2004)

93.002

Consumer Affairs (1976B)
Number changed from 13.678 (1990U)
Archived (1999B)

93.003

Public Health and Social Services Emergency Fund (1992U)
Archived (1994B)
Public Health and Social Services Emergency Fund -- Reinstated (1995U)

93.004

Cooperative Agreements to Improve the Health Status of Minority Populations (1997U)

93.005

Project Grants for Facilities to Improve the Health Status of Minority Populations (1997U)

93.006

State and Territorial and Technical Assistance Capacity Development Minority HIV/AIDS Demonstration Program (2000B)

93.007

Public Awareness Campaigns on Embryo Adoption (2002B)

93.008

Medical Reserve Corps Small Grant Program (2004)

93.009

Compassion Capital Fund (2004)

93.010

Community-Based Abstinence Education (CBAE) (2005)

93.012

Improving, Enhancing, and Evaluating Outcomes of Comprehensive Heart Health Care Programs for High-Risk Women (2005)

93.013

Ambassadors for Change Program (2006)
Archived (2010)

93.014

Steps to Healthier Girls Program (2006)

93.015

HIV Prevention Programs for Women (2006)

93.017

Strengthening the Management and Services of the Women's and Children's Hospitals in Kabul (2006)

93.018

Strengthening Public Health Services at the Outreach Offices of the U.S.-Mexico Border Health Commission (2006)

93.019

Technical Assistance and Provision for Foreign Hospitals and Health Organizations (2008)

93.020

Family Support Payments to States_Assistance Payments
Number changed from 13.780 (1990U)
Number changed to 93.560 (1992U)

93.021

Job Opportunities and Basic Skills Training
Number changed from 13.781 (1990U)
Number changed to 93.561 (1992U)

93.022

Assistance Payments_Research

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Number changed from 13.782 (1990U)
Number changed to 93.562 (1992U)

93.023

Child Support Enforcement
Number changed from 13.783 (1990U)
Number changed to 93.563 (1992U)

93.024

Child Support Enforcement Research
Number changed from 13.784 (1990U)
Number changed to 93.564 (1992U)

93.025

State Legalization Impact Assistance Grants
Number changed from 13.786 (1990U)
Number changed to 93.565 (1992U)

93.026

Refugee and Entrant Assistance_State Administered Programs
Number changed from 13.787 (1990U)
Number changed to 93.566 (1992U)

93.027

Refugee Assistance_Voluntary Agency Programs
Number changed from 13.788 (1990U)
Number changed to 93.567 (1992U)

93.028

Low-Income Home Energy Assistance
Number changed from 13.789 (1990U)
Number changed to 93.568 (1992U)

93.029

Work Incentive Program/WIN Demonstration Program
Number changed from 13.790 (1990U)
Archived (1991B)

93.030

Emergency Services and Shelter AFDC Transitional Housing Demonstration Program
Number changed from 13.791 (1990U)
Archived (1991B)

93.031

Community Services Block Grant
Number changed from 13.792 (1990U)
Number changed to 93.569 (1992U)

93.032

Community Services Block Grant_Discretionary Awards
Number changed from 13.793 (1990U)
Number changed to 93.570 (1992U)

93.033

Community Block Grant Discretionary Awards_Community Food and Nutrition

Number changed from 13.795 (1990U)
Number changed to 93.571 (1992U)

93.034

Emergency Community Services for the Homeless
Number changed from 13.796 (1990U)
Number changed to 93.572 (1992U)

93.035

Community Services Block Grant Discretionary Awards_Demonstration Partnerships
Number changed from 13.797 (1990U)
Number changed to 93.573 (1992U)

93.036

Child Care for Families At-Risk of Welfare Dependency (1991B)
Number changed to 93.574 (1992U)

93.037

Payments to States for Day Care Assistance (1991B)
Payments to States for Child Care Assistance (1992B)
Number changed to 93.575 (1992U)

93.038

Refugee and Entrant Assistance_Discretionary Grants (1991U)
Number changed to 93.576 (1992U)

93.039

Child Care Improvement Grants (1991B)
Archived (1992B)

93.040

Family Support Centers Demonstration Program (1992B)
Number changed to 93.578 (1992U)

93.041

Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older Individuals
Number changed from 93.552 (1992U)
Special Programs for the Aging_Title VII, Chapter 3, Programs for Prevention of Elder Abuse, Neglect, and Exploitation (1993U)

93.042

Special Programs for the Aging_Title III, Part A_Long-Term Care Ombudsman Services for Older Individuals
Number changed from 93.553 (1992U)
Special Programs for the Aging_Title VII, Chapter 2_Long Term Care Ombudsman Services for Older Individuals (1993U)

93.043

Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion

Services

Number changed from 93.555 (1992U)
Special Programs for the Aging_Title III, Part F_Disease Prevention and Health Promotion Services (1994B)
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services (2002B)

93.044

Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers
Number changed from 93.633 (1992U)
Consolidated from 93.046 (2001B)

93.045

Special Programs for the Aging_Title III, Part C_Nutrition Services
Number changed from 93.635 (1992U)

93.046

Special Programs for the Aging_Title III, Part D_In-Home Services for Frail Older Individuals
Number changed from 93.641 (1992U)
Consolidated into 93.044 (2001B)

93.047

Special Programs for the Aging_Title VI, Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native Hawaiians
Number changed from 93.655 (1992U)
Special Programs for the Aging_Title VI, Part A, Grants to Indian Tribes_Part B, Grants to Native Hawaiians (2002B)

93.048

Special Programs for the Aging_Title IV_Training, Research and Discretionary Projects and Programs
Number changed from 93.668 (1992U)
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects (2002B)

93.049

Special Programs for the Aging_Title VII, Chapter 6_Allotments for Vulnerable Elder Rights Protection Programs (1994B)
Archived (2000B)

93.050

Special Programs for the Aging_Title II_Preconference Programs for the White House Conference on Aging (1994U)
Archived (1995B)
Geosciences (2003)

93.051

New Demonstration Grants to States with Respect to Alzheimer's Disease (1999B)
Alzheimer's Disease Demonstration Grants to States (2002B)

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93.052 Nation Family Caregiver Support Program (2001B) National Family Caregiver Support (2002B) National Family Caregiver Support, Title III, Part E (2007)	Birth Defects and Developmental Disabilities - Prevention and Surveillance (2010)	Bilingual/Biculture Service Demonstration Grants (2002B)
93.053 Nutrition Services Incentive Program (2003B)	93.086 Healthy Marriage Promotion and Responsible Fatherhood Grants (2006)	93.106 Minority International Research Training Grant in the Biomedical and Behavioral Sciences (1993U) Consolidated into 93.989 (2006)
93.054 National Family Caregiver Support, Title VI, Part C, Grants To Indian Tribes And Native Hawaiians (2007)	93.087 Enhance the Safety of Children Affected by Parental Methamphetamine or Other Substance Abuse (2007)	93.107 Area Health Education Centers_Model Programs (1993U) Model State-Supported Area Health Education Centers (1999U) Area Health Education Centers Point of Service Maintenance and Enhancement Awards (2010)
93.061 Innovations in Applied Public Health Research (2004)	93.088 Advancing System Improvements to Support Targets for Healthy People 2010 (2008)	93.108 Health Education Assistance Loans Number changed from 13.108 (1990U) Deleted (2005)
93.062 Biomonitoring Programs for State Public Health Laboratories (2008)	93.090 Guardianship Assistance (2009)	93.109 Linking Community-Based Primary Care, Substance Abuse, HIV/AIDS, and Mental Health Treatment Services (1993U) Archived (1997B)
93.063 Centers for Genomics and Public Health (2004)	93.091 Social Services and Income Maintenance Benefits Enrollment Coordination Grants (2010)	93.110 Maternal and Child Health Federal Consolidated Programs Number changed from 13.110 (1990U)
93.064 Laboratory Training, Evaluation, and Quality Assurance Programs (2004)	93.092 Personal Responsibility Education Program (2010)	93.111 Adolescent Family Life Research Grants Number changed from 13.111 (1990U)
93.065 Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure (2005)	93.093 Demonstration Projects to Address Health Professions Workforce Needs (2010)	93.112 Characterization of Environmental Health Hazards Number changed from 13.112 (1990U) Archived (1991B)
93.066 Vital Statistics Re-engineering Program (2004) State Vital Statistics Improvement Program (2007)	93.100 Field-Initiated Small Grants in Minority Health (1993B) Archived (1995B) Health Disparities Grants in Minority Health, Reinstated (2001B) Health Disparities in Minority Health (2002B) Archived (2010)	93.113 Biological Response to Environmental Health Hazards Number changed from 13.113 (1990U) Environmental Health (2006)
93.067 Global AIDS (2005)	93.101 Grants for Residential Treatment Programs for Pregnant and Postpartum Women (1993U) Archived (2002B)	93.114 Applied Toxicological Research and Testing Number changed from 13.114 (1990U) Deleted (2006)
93.068 Chronic Diseases: Research, Control, and Prevention (2005) Chronic Diseases: Research, Control, and Prevention (2008)	93.102 Demonstration Grants for Residential Treatment for Women and Their Children (1993U) Archived (2001B)	93.115 Biometry and Risk Estimation_Health Risks from Environmental Exposures Number changed from 13.115 (1990U) Deleted (2006)
93.069 Public Health Emergency Preparedness (2008)	93.103 Food and Drug Administration_Research Number changed from 13.103 (1990U)	93.116 Project Grants and Cooperative Agreements for
93.070 Environmental Public Health and Emergency Response (2008)	93.104 Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED) (1993U)	
93.071 Medicare Enrollment Assistance Program (2008)	93.105 Bilingual/Bicultural Service Demonstration Projects in Minority Health (1993U)	
93.072 Lifespan Respite Care Program (2009)		
93.073		

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Tuberculosis Control Programs
Number changed from 13.116 (1990U)

93.117

Grants for Preventive Medicine Residency Training
Number changed from 13.117 (1990U)
Grants for Preventive Medicine and Dental Public Health (1993B)
Grants for Preventive Medicine (1998B)
Preventive Medicine Residency Program (2010)

93.118

Acquired Immunodeficiency Syndrome (AIDS) Activity
Number changed from 13.118 (1990U)
Incorporated from 93.160 (1993B)

93.119

Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services_ Technical Assistance Centers for Evaluation (1993U)
Archived (1997B)

93.120

Mental Health Services for Cuban Entrants
Number changed from 13.120 (1990U)
Archived (1996B)

93.121

Disorders of Craniofacial Structure and Function, and Behavioral Aspects of Dentistry
Number changed from 13.121 (1990U)
Oral Diseases and Disorders Research (1990U)

93.122

Cooperative Agreements for Substance Abuse Treatment and Recovery Systems for Rural, Remote and Culturally Distinct Populations (1993U)
Archived (2000U)

93.123

Health Professions Pregraduate Scholarship Program for Indians
Number changed from 13.123 (1990U)

93.124

Nurse Anesthetist Traineeships
Number changed from 13.124 (1990U)

93.125

Mental Health Planning and Demonstration Projects
Number changed from 13.125 (1990U)
Archived (2002B)

93.126

Small Business Innovation Research
Number changed from 13.126 (1990U)

Small Business Innovation Research (NIAAA, NIDA, NIMH) (1992U)
Incorporated into 93.242, 93.273, 93.279 (1993B)

93.127

Emergency Medical Services for Children
Number changed from 13.127 (1990U)

93.128

Grants for Technical Assistance Activities Related to the Block Grant for Community Mental Health Services_Mental Health Statistics Improvement Program (1993U)
Archived (1999B)

93.129

Technical and Non-Financial Assistance to Community Health Centers
Number changed from 13.129 (1990U)
Technical and Non-Financial Assistance to Health Centers (1997B)
Technical and Non-Financial Assistance to Health Centers and National Health Service Corps (NHSC) Delivery Sites (1999U)
Technical and Non-Financial Assistance to Health Centers (2005)

93.130

Primary Care Services Planning and Development Cooperative Agreements
Number changed from 13.130 (1990U)
Primary Care Services_Resource Coordination and Development Primary Care Offices (1995B)
Primary Care Services_Resource Coordination and Development_Primary Care Offices (1999U)
Primary Care Services_Resource Coordination and Development (2002B)
Primary Care Services Resource Coordination and Development (2005)
Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices (2007)

93.131

Cooperative Agreements for Addiction Treatment Training Centers (1993U)
Consolidated into 93.230 (1999B)

93.132

Managed Care Demonstration Models for SSI Beneficiaries Disabled Due to Addiction to Alcohol and Other Drugs (1993U)
Archived (1997B)

93.133

Health Services Delivery to Persons with AIDS_Demonstration Grants
Number changed from 13.133 (1990U)
Archived (1992B)

93.134

Assistance for Organ Procurement Organizations
Number changed from 13.134 (1990U)
Grants to Increase Organ Donations (1991B)

93.135

Centers for Research and Demonstration for Health Promotion and Disease Prevention
Number changed from 13.135 (1990U)

93.136

Injury Prevention and Control Research Projects, Injury Prevention Research Centers, Applied Methods in Surveillance Projects, and State and Community-Based Injury Control Projects
Number changed from 13.136 (1990U)
Injury Prevention and Control Research Projects (1990U)
Injury Prevention and Control Research and State Grants Projects (1992U)
Injury Prevention and Control Research and State and Community Based Programs (1996B)

93.137

Minority Community Health Coalition Demonstration
Number changed from 13.137 (1990U)
Community Programs to Improve Minority Health Grant Program (2001B)

93.138

Protection and Advocacy for Mentally Ill Individuals
Number changed from 13.138 (1990U)
Protection and Advocacy for Individuals with Mental Illness (1993B)

93.139

Financial Assistance for Disadvantaged Health Professions Students (FADHPS)
Number changed from 13.139 (1990U)
Financial Assistance for Disadvantaged Health Professions Students (1997B)
Consolidated into 93.925 (2001B)

93.140

Intramural Research Training Award
Number changed from 13.140 (1990U)

93.141

Special State Grant Program for Increasing the Availability of the 9-1-1 Emergency Telephone Number (1993U)
Special Initiatives Trauma Grant Program (1994U)
Archived (1997B)

93.142

NIEHS Hazardous Waste Worker Health and Safety Training
Number changed from 13.142 (1990U)

93.143

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NIEHS Superfund Hazardous Substances_Basic Research and Education

Number changed from 13.143 (1990U)

93.144

Drug and Alcohol Abuse Prevention_High-Risk Youth Demonstration Grants

Number changed from 13.144 (1990U)

Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (1991U)

Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Youth (1992U)

Demonstration Grants for the Prevention of Alcohol and Other Drug Abuse Among High-Risk Populations (1995B)

Demonstration Grants for the Prevention of Alcohol and Drug Abuse Among High-Risk Populations (1996B)

Archived (2002B)

93.145

AIDS Education and Training Centers

Number changed from 13.145 (1990U)

National AIDS Education and Training Centers (1994U)

AIDS Education and Training Centers (1998B)

93.146

Temporary AIDS Drug Reimbursements

Number changed from 13.146 (1990U)

Archived (1992B)

93.147

Post-Baccalaureate Faculty Fellowships

Number changed from 13.147 (1990U)

Archived (1991B)

93.148

Cooperative Agreements for Collaborative Demonstration Program for Homeless Individuals (1993U)

Archived (1997B)

93.149

Grants for Two-Year Programs of Schools of Medicine or Osteopathic Medicine

Number changed from 13.149 (1990U)

Archived (1993U)

93.150

Mental Health Services for the Homeless Block Grant

Number changed from 13.150 (1990U)

Projects for Assistance in Transition from Homelessness (PATH) (1991B)

93.151

Project Grants for Health Services to the Homeless

Number changed from 13.151 (1990U)

Health Center Grants for Homeless Populations (1997B)

Consolidated into 93.224 (2006)

93.152

Community Demonstration Grant Projects for Alcohol and Drug Abuse Treatment of Homeless Individuals

Number changed from 13.152 (1990U)

Archived (1994)

93.153

Pediatric AIDS Health Care Demonstration Program

Number changed from 13.153 (1990U)

Pediatric Family AIDS Health Care Demonstration Program (1993U)

HIV Demonstration Program for Children, Adolescents, and Women (1994B)

Coordinated HIV Services and Access to Research for Children, Youth, Women, and Families (2000B)

Coordinated Services and Access to Research for Women, Infants, Children, and You (2002B)

93.154

Special International Postdoctoral Research Program in Acquired Immunodeficiency Syndrome

Number changed from 13.154 (1990U)

Archived (2001B)

93.155

Rural Health Research Centers

Number changed from 13.155 (1990U)

93.156

Grants for Faculty Training Projects in Geriatric Medicine and Dentistry

Number changed from 13.156 (1990U)

Geriatric Training Regarding Physicians and Dentists (1999U)

Geriatric Training for Physicians, Dentists, and Behavioral/Mental Health Professionals (2002B)

Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2005)

Geriatric Training for Physicians, Dentists and Behavioral/Mental Health Professionals (2006)

93.157

Excellence in Minority Health Education and Care

Number changed from 13.157 (1990U)

Programs for Excellence in Health Professions Education for Minorities (1991B)

Programs of Excellence in Health Professions Education for Minorities (1991U)

Centers of Excellence (1999U)

93.158

Adolescent Health Centers for American Indians/Alaska Natives (1993U)

Archived (1999B)

93.159

Health Care Services in the Home

Number changed from 13.159 (1990U)

Archived (1994B)

93.160

Minority AIDS Education/Prevention Grants

Number changed from 13.160 (1990U)

Minority AIDS and Related Risk Factors Education/Prevention Grants (1991B)

Incorporated into 93.118 (1993B)

93.161

Health Program for Toxic Substances and Disease Registry

Number changed from 13.161 (1990U)

Split into 93.200, 93.201, 93.203, 93.204, 93.205, 93.206, 93.207, 93.208 (1994B)

93.162

National Health Service Corps Loan Repayment

Number changed from 13.162 (1990U)

National Health Service Corps Loan Repayment Program (1993U)

93.163

Health Services in the Pacific Basin

Number changed from 13.163 (1990U)

Archived (1997B)

93.164

Indian Health Service Educational Loan Repayment

Number changed from 13.164 (1990U)

93.165

Grants for State Loan Repayment

Number changed from 13.165 (1990U)

Grants To States for Loan Repayment Program (2005)

93.166

Indian Health Service Health Promotion and Disease Prevention Demonstration Projects

Number changed from 13.166 (1990U)

Archived (1991B)

93.167

Research Facilities Improvement

Number changed from 13.167 (1990U)

Archived (1991U)

93.168

International Cooperative Biodiversity Groups Program (1995U)

Consolidated into 93.989 (2006)

93.169

Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse)

Number changed from 13.169 (1990U)

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Demonstration Grants on Model Projects for Pregnant and Postpartum Women and Their Infants (Substance Abuse) (1991U)
Archived (2000U)

93.170

Community Youth Activity Demonstration Grants
Number changed from 13.170 (1990U)
Archived (1993B)

93.171

Community Youth Activity Block Grants
Number changed from 13.171 (1990U)
Archived (1993B)

93.172

Human Genome Research
Number changed from 13.172 (1990U)

93.173

Biological Research Related to Deafness and Communicative Disorders
Number changed from 13.173 (1990U)
Research Related to Deafness and Communication Disorders (1990U)

93.174

Conference Grant (Substance Abuse)
Number changed from 13.174 (1990U)
Knowledge Dissemination Grants (Substance Abuse) (1996B)
Archived (1999B)

93.175

Drug Abuse Treatment Waiting List Reduction Grants
Number changed from 13.175 (1990U)
Archived (1992B)

93.176

ADAMHA Small Instrumentation Program Grants
Number changed from 13.176 (1990U)
NIAAA, NIDA, and NIMH Small Instrumentation Program Grants (1992U)
Incorporated into 93.242, 93.273, 93.279 (1993B)

93.177

Integrated Community-Based Primary Care and Drug Abuse Treatment Services
Number changed from 13.177 (1990U)
Archived (1997B)

93.178

Nursing Education Opportunities for Individuals from Disadvantaged Backgrounds
Number changed from 13.178 (1990U)
Nursing Workforce Diversity (2000B)

93.179

State Data Collection_Uniform Alcohol and Drug

Abuse Data
Number changed from 13.179 (1990U)
Archived (1993U)

93.180

Medical Treatment Effectiveness Research
Number changed from 13.180 (1990U)
Research on Health Care Outcomes and Quality (1997U)
Consolidated into 93.226 (1999B)

93.181

Podiatric Primary Care Residency Training
Number changed from 13.181 (1990U)
Grants for Podiatric Primary Care Residency Training (1993B)
Podiatric Residency in Primary Care (1999U)
Podiatric Residency Training in Primary Care (2002B)
Archived (2009)

93.182

Scholarships for the Undergraduate Education of Professional Nurses
Number changed from 13.182 (1990U)
Archived (1993B)

93.183

Federal Assistance for Rural Hospitals for the Advancement and Improvement of Health Care Services and the Enhancement of Quality Care
Number changed from 13.183 (1990U)
Archived (1991B)

93.184

Disabilities Prevention
Number changed from 13.184 (1990U)

93.185

Immunization Research, Demonstration, Public Information and Education_Education, Training, and Clinical Skills Improvement Projects
Number changed from 13.185 (1990U)
Immunization Research, Demonstration, Public Information and Education_Training and Clinical Skills Improvement Projects (1994U)

93.186

National Research Services Awards
Number changed from 13.186 (1990U)
National Research Service Award in Primary Care Medicine (2005)
National Research Service Award in Primary Care Medicine (2006)

93.187

Undergraduate Scholarship Program for Individuals from Disadvantaged Backgrounds (1995U)

93.188

Special Project Grants to Schools of Public Health
Number changed from 13.188 (1990U)
Public Health Training Centers (1999U)
Archived (2002B)

93.189

Health Education and Training Centers
Number changed from 13.189 (1990U)
Health Education Training Centers Continuing Educational Support for Health Professionals Serving in Underserved Communities (2010)

93.190

Model Education Projects for Health Professions
Number changed from 13.190 (1990U)
Archived (1993B)

93.191

Allied Health Project Grants
Number changed from 13.191 (1990U)
Allied Health Projects (1999U)
Allied Health Special Projects (2002B)
Patient Navigator and Chronic Disease Prevention Program (2010)

93.192

Interdisciplinary Training for Health Care for Rural Areas
Number changed from 13.192 (1990U)
Quentin N. Burdick Programs for Rural Interdisciplinary Training (1999U)
Quentin N. Burdick Program for Rural Interdisciplinary Training (2005)
Archived (2010)

93.193

Urban Indian Alcohol and Substance Abuse Prevention, Treatment, Rehabilitation and Education
Number changed from 13.193 (1990U)
Urban Indian Health Services (1991U)

93.194

Community Partnership Demonstration Grant
Number changed from 13.194 (1990U)
Community Partnership Study Demonstration Grant (1991U)
Community Partnership Demonstration Grant (1993U)
Community Prevention Coalitions (Partnership) Demonstration Grant (1996B)
Archived (2002B)

93.195

Disaster Relief Assistance Grants for Drug Abuse Treatment
Number changed from 13.195 (1990U)
Archived (1991B)

93.196

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Cooperative Agreements for Drug Abuse Treatment Improvement Projects in Target Cities
Number changed from 13.196 (1990U)
Archived (2000U)

93.197

Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention
Number changed from 13.197 (1990U)
Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention Program (1991B)
Childhood Lead Poisoning Prevention Projects_State and Community-Based Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (1995B)
Childhood Lead Poisoning Prevention Projects_State and Local Childhood Lead Poisoning Prevention and Surveillance of Blood Lead Levels in Children (2000B)

93.198

Biological Models and Materials Resources
Number changed from 13.198 (1990U)
Biological Models and Materials Research (1991B)
Consolidated into 93.306 (1996B)

93.199

HIV Home and Community-Based Health Services
Number changed from 13.199 (1990U)
Archived (1992B)

93.200

Educating Health Professionals Regarding Environmentally Hazardous Substances
Split from 93.161 (1994B)
Environmental Health Education Activities for Health Professionals and Communities (1996B)
Archived (1997B)

93.201

Public Health Assessments and Related Site-Specific Biological Testing
Split from 93.161 (1994B)
Archived (1997B)

93.202

Capacity Building Among American Indian Tribes (2002B)

93.203

Health Activities Recommendation Panel Health Activities
Split from 93.161 (1994B)
Archived (1997B)

93.204

Surveillance of Hazardous Substance Emergency Events
Split from 93.161 (1994B)

93.205

Health Outcome Studies to Hazardous Substances and Adverse Health Effects
Split from 93.161 (1994B)
Archived (1996B)

93.206

Health Studies Initiative of Priority Health Conditions
Split from 93.161 (1994B)
Human Health Studies_Applied Research and Development (1997B)
Archived (2009)
Reinstated (2010)

93.207

Surveillance of the Relationship Between Hazardous Substances Exposure and Adverse Health Outcomes
Split from 93.161 (1994B)
Archived (1996B)

93.208

Great Lakes Human Health Effects Research
Split from 93.161 (1994B)
Archived (2009)

93.209

Contraception and Infertility Loan Repayment Program (1995U)
Contraception and Infertility Research Loan Repayment Program (2000B)

93.210

Tribal Self-Governance Demonstration Program: Planning and Negotiation Cooperative Agreements and IHS Compacts (1994B)
Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreements and IHS Compacts/Funding Agreements (2004)
Tribal Self-Governance Program: IHS Compacts/Funding Agreements (2008)

93.211

Rural Telemedicine Grants (1994B)
Telehealth Network Grants (2004)
Telehealth Programs (2010)

93.212

Chiropractic Demonstration Projects (1994B)
Chiropractic Demonstration Project Grants (2002B)

93.213

Research and Training in Alternative Medicine (1994B)
Research and Training in Complementary and Alternative Medicine (2001B)

93.214

Extramural Research Facilities Construction Projects (1994B)

Consolidated into 93.389 (1996B)

93.215

Hansen's Disease National Ambulatory Care Program (1995U)

93.216

HIV/AIDS Mental Health Services Demonstration Program (1994U)
Archived (2000U)

93.217

Family Planning_Services
Number changed from 13.217 (1990U)

93.218

Substance Abuse Treatment Conference Grants (1994U)
Archived (1999B)

93.219

Matching Grants for Health Professions Scholarships to Indian Tribes (1994U)

93.220

Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1994U)
Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds (1995B)

93.221

Junior National Health Service Corps/Junior Health Careers Opportunity Program (1994U)
Split from 93.258, 93.822 (1994U)
Archived (1997B)

93.222

Centers for Medical Education Research (1994U)
Archived (2000B)

93.223

Development and Coordination of Rural Health Services (1994U)
Split from 93.913 (1994U)

93.224

Community Health Centers
Number changed from 13.224 (1990U)
Consolidated Health Centers (Community Health Centers, Migrant Health Centers, Health Care for the Homeless, Public Housing Primary Care, and School Based Health Centers) (2005)

93.225

National Research Service Awards_Health Services Research Training
Number changed from 13.225 (1990U)

93.226

Health Services Research and Development Grants

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Number changed from 13.226 (1990U)

Health Care Systems Cost and Access Research and Development Grants (1998B)

Consolidated from 93.180 (1999B)

Research on Healthcare Costs, Quality and Outcomes (2000B)

93.227

Surveillance of the Complications of Hemophilia (1995U)

Archived (2001B)

93.228

Indian Health Services_Health Management Development Program

Number changed from 13.228 (1990U)

93.229

Demonstration Cooperative Agreements for Development and Implementation of Criminal Justice Treatment Networks (1995B)

Archived (2009)

93.230

Consolidated Knowledge Development and Application Program (1996B)

Consolidated from 93.131 (1999B)

Consolidated Knowledge Development and Application (KD&A) Program (1999U)

Archived (2009)

93.231

Epidemiology Cooperative Agreements (1996U)

93.232

Educational Loan Repayment Program for Research Professionals (1996U)

Loan Repayment Program for Research (1997B)

Loan Repayment Program for General Research (1997U)

93.233

National Center on Sleep Disorders Research (1997B)

93.234

Traumatic Brain Injury (1997U)

Traumatic Brain Injury_State Demonstration Grant Program (2000B)

Traumatic Brain Injury State Demonstration Grant Program (2005)

93.235

Abstinence Education (1997U)

Abstinence Education Program (2005)

Abstinence Education Program (2006)

93.236

Grants for Dental Public Health (1998B)

Grants for Dental Public Health Residency Training (2000B)

93.237

Special Diabetes Program for Indians-Prevention and Treatment Projects (1998B)

Special Diabetes Program for Indians_Diabetes Prevention and Treatment Projects (2001B)

93.238

Cooperative Agreements for State Treatment Outcomes and Performance Pilot Studies Enhancement (1998B)

Archived (2009)

93.239

Policy Research and Evaluation Grants (1998B)

93.240

State Capacity Building (1999B)

93.241

State Rural Hospital Flexibility Program (1999B)

93.242

Mental Health Research Grants

Number changed from 13.242 (1990U)

Incorporated from 93.126, 93.176 (1993B)

93.243

Substance Abuse and Mental Health Services_Projects of Regional and National Significance (2002B)

93.244

Mental Health Clinical or Service Related Training Grants

Number changed from 13.244 (1990U)

Mental Health Clinical and AIDS Service-Related Training Grants (1995U)

Archived (2009)

93.245

New Pilot Innovative Food Safety Projects (1999B)

Innovative Food Safety Projects (2000B)

Deleted (2005)

93.246

Migrant Health Centers Grants

Number changed from 13.246 (1990U)

Health Centers Grants for Migratory and Seasonal Farmworkers (1997B)

Health Centers Grants for Migrant and Seasonal Farmworkers (1999U)

Consolidated into 93.224 (2006)

93.247

Advanced Education Nursing Grant Program (2000B)

Consolidated from 93.298, 93.299, 93.916 (2001B)

Advanced Nursing Education Grant Program (2010)

93.248

Residencies in the Practice of Pediatric Dentistry (2000B)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.249

Public Health Training Centers Grant Program (2000B)

93.250

Geriatric Academic Career Award (2000B)

Geriatric Academic Career Awards (2002B)

93.251

Universal Newborn Hearing Screening (2000B)

93.252

Community Access Program (2000B)

Healthy Community Access Program (2004)

Healthy Communities Access Program (2005)

Archived (2010)

93.253

National Poison Control Systems Stabilization and Support (2000U)

Poison Control Stabilization and Enhancement Grants (2002B)

Poison Center Support and Enhancement Grant Program (2010)

93.254

Infant Adoption Awareness Training (2001B)

93.255

Children's Hospitals Graduate Medical Education Payment (2001U)

93.256

State Planning Grant_Health Care Access for the Uninsured (2001U)

State Planning Grants Health Care Access for the Uninsured (2005)

State Planning Grants Health Care Access for the Uninsured (2006)

State Health Access Program (2010)

93.257

Grants for Education, Prevention, and Early Detection of Radiogenic Cancers and Diseases (2002B)

93.258

National Health Service Corps

Number changed from 13.258 (1990U)

Split into 93.221 (1990U)

Archived (1997B)

93.259

Rural Access to Emergency Devices Grant (2002B)

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93.260 Family Planning_Personnel Training Number changed from 13.260 (1990U)	Archived (2010)	Number changed from 13.281 (1990U) Scientist Development Award (SDA), Research Scientist Development Award (RSDA), Scientist Development Award for Clinicians (SDAC), and Research Scientist Award (RSA) (1992U) Mental Health Research Career/Scientist Development Awards (1995U)
93.262 Occupational Safety and Health Research Grants Number changed from 13.262 (1990U) Occupational Safety and Health Research Projects (2004) Occupational Safety and Health Program (2005)	93.273 Alcohol Research Programs Number changed from 13.273 (1990U) Incorporated from 93.126, 93.176 (1993B)	93.282 Mental Health National Research Service Awards for Research Training Number changed from 13.282 (1990U)
93.263 Occupational Safety and Health_Training Grants Number changed from 13.263 (1990U) Deleted (2005)	93.274 Alcohol and Drug Abuse Clinical or Service-Related Training Number changed from 13.274 (1990U) Clinical Training Grant for Faculty Development in Alcohol and Other Drug Abuses (1994B) Clinical Training Grant for Faculty Development in Alcohol and Drug Abuses (1996B) Archived (2002B)	93.283 Centers for Disease Control_Investigations and Technical Assistance Number changed from 13.283 (1990U) Centers for Disease Control and Prevention_Investigations and Technical Assistance (1993B)
93.264 Nurse Faculty Loan Program (NFLP) (2003B)	93.275 Substance Abuse and Mental Health Services-Access to Recovery (2004)	93.284 Injury Prevention Program for American Indians and Alaskan Natives_Cooperative Agreements (2000B)
93.265 Comprehensive Geriatric Education Program (CGEP) (2003B)	93.276 Drug-Free Communities Support Program Grants (2004)	93.285 National Institutes of Health Pediatric Research Loan Repayment Program (2004)
93.266 Rapid Expansion of Antiretroviral Therapy Programs for HIV-Infected Persons in Selected Countries in Africa and the Caribbean Under the President's Emergency Plan for AIDS Relief (2004)	93.277 Drug Abuse Scientist Development Award for Clinicians_Scientist Development Awards and Research Scientist Awards Number changed from 13.277 (1990U) Drug Abuse Scientist Development Awards, Research Scientist Development Awards, and Research Scientist Awards (1994B) Career Developmmt Awards (2002B) Consolidated into 93.279 (2003B) Deleted (2003)	93.286 Biomedical Imaging Research (2002B) Discovery and Applied Research for Technological Innovations to Improve Human Health (2005) Discovery and Applied Research for Technological Innovations to Improve Human Health (2006)
93.267 State Grants for Protection and Advocacy Services (2004)	93.278 Drug Abuse National Research Service Awards for Research Training Number changed from 13.278 (1990U) Consolidated into 93.279 (2003B) Deleted (2003)	93.287 Bioengineering Research (2002B) Deleted (2004)
93.268 Childhood Immunization Grants Number changed from 13.268 (1990U) Immunization Grants (1997U)	93.279 Drug Abuse Research Programs Number changed from 13.279 (1990U) Split into 93.949 (1992B) Incorporated from 93.126, 93.176 (1993B) Drug Abuse and Addiction Research Programs (2005)	93.288 National Health Service Corps Scholarship Program Number changed from 13.288 (1990U)
93.269 Complex Humanitarian Emergency and War-Related Injury Public Health Activities (2008)	93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers (2003B)	93.289 President's Council on Physical Fitness and Sports Number changed from 13.289 (1990U) President's Council on Fitness, Sports, and Nutrition (2010)
93.270 Adult Viral Hepatitis Prevention and Control (2008)	93.281 Mental Research Scientist Development Award and Research Scientist Development Award for Clinicians	93.290 National Community Centers of Excellence in Women's Health (2000U)
93.271 Alcohol Scientist Development Award and Research Scientist Development Award for Clinicians Number changed from 13.271 (1990U) Alcohol Research and Development Awards for Scientists and Clinicians (1993B) Alcohol Research Career Development Awards for Scientists and Clinicians (1993U) Archived (2010)		93.291 Surplus Property Utilization Number changed from 13.291 (1990U)
93.272 Alcohol National Research Service Awards for Research Training Number changed from 13.272 (1990U)		93.294

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Mentoring Partnership Program - Protege (2006)

93.295

Intergenerational Approaches to HIV/AIDS Prevention Education with Women across The Lifespan Pilot Program (2006)

93.296

State Partnership Grant Program to Improve Minority Health (2006)

93.297

Teenage Pregnancy Prevention Program (2010)

93.298

Nurse Practitioner and Nurse Midwife Education and Traineeships
Number changed from 13.298 (1990U)
Nurse Practitioner and Nurse-Midwifery Education Programs (1993U)
Consolidated into 93.247 (2001B)

93.299

Advanced Nurse Education
Number changed from 13.299 (1990U)
Consolidated into 93.247 (2001B)

93.300

National Center for Health Workforce Analysis (2002B)

93.301

Small Rural Hospital Improvement Grants (2002B)
Small Rural Hospital Improvement Grant Program (2005)

93.302

Healthy Schools, Healthy Communities (2004)

Incorporated into 93.224 (2006)

93.303

Nursing Scholarship Program (2007)

93.306

Laboratory Animal Sciences and Primate Research
Number changed from 13.306 (1990U)
Comparative Medicine Program (1991U)
Comparative Medicine, Consolidated from 93.198 (1996B)
Consolidated into 93.389 (2003B)
Deleted (2003)

93.307

Loan Repayment for Health Disparities Research (2002B)

93.308

Extramural Loan Repayment for individuals from

Disadvantaged Backgrounds Conducting Clinical Research (2002B)

93.309

Bioinformatics and Computational Biology Research (2002B)
Consolidated into 93.859 (2003B)
Deleted (2003)

93.310

Trans-NIH Research Support (2006)

93.333

General Clinical Research Centers
Number changed from 13.333 (1990U)
Clinical Research (1996B)

93.337

Biomedical Research Support
Number changed from 13.337 (1990U)
Consolidated into 93.371, 93.389 (1996B)

93.338

Foreign Public Health Construction (2010)

93.342

Health Professions Student Loans
Number changed from 13.342 (1990U)
Health Professions Student Loans/Loans for Disadvantaged Students (1991B)
Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students (1993B)

93.358

Professional Nurse Traineeships
Number changed from 13.358 (1990U)
Advanced Education Nursing Traineeships (2000B)
Advanced Nursing Education Traineeships (2010)

93.359

Nurse Training Improvement_Special Projects
Number changed from 13.359 (1990U)
Nursing_Special Projects (1998B)
Basic Nurse Education and Practice Grants (2000B)
Nurse Education, Practice and Retention Grants (2003B)

93.360

Biomedical Advanced Research and Development Authority (BARDA), Biodefense Medical Countermeasure Development (2010)

93.361

Nursing Research
Number changed from 13.361 (1990U)

93.364

Nursing Student Loans
Number changed from 13.364 (1990U)

93.365

Sickle Cell Treatment Demonstration Program (2006)

93.371

Biomedical Research Technology
Number changed from 13.371 (1990U)
Biomedical Technology, Consolidated from 93.337 (1996B)
Consolidated into 93.389 (2003B)
Deleted (2003)

93.375

Minority Biomedical Research Support
Number changed from 13.375 (1990U)
Consolidated into 93.859 (2003B)
Deleted (2003)

93.379

Grants for Graduate Training in Family Medicine
Number changed from 13.379 (1990U)
Consolidated into 93.884 (2001B)

93.385

National Institutes of Health Pediatric Research Loan Repayment Program (2003B)

93.389

Research Centers in Minority Institutions
Number changed from 13.389 (1990U)
Research Infrastructure (1996B)
Consolidated from 93.337, 93.922 (2003)

93.390

Academic Research Enhancement Award
Number changed from 13.390 (1990U)
Deleted (2006)

93.392

Cancer Construction (1990U)
Archived (2009)

93.393

Cancer Cause and Prevention Research
Number changed from 13.393 (1990U)

93.394

Cancer Detection and Diagnosis Research
Number changed from 13.394 (1990U)

93.395

Cancer Treatment Research
Number changed from 13.395 (1990U)

93.396

Cancer Biology Research
Number changed from 13.396 (1990U)

93.397

Cancer Centers Support

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Number changed from 13.397 (1990U)

93.398

Cancer Research Manpower

Number changed from 13.398 (1990U)

93.399

Cancer Control

Number changed from 13.399 (1990U)

93.400

National Health Service Corps Scholarship Program (2009)

93.401

ARRA - National Health Service Corps Loan Repayment (2009)

93.402

ARRA - State Loan Repayment Program (2009)

93.403

ARRA - Training in Primary Care Medicine and Dentistry (2009)

ARRA Grants for Training in Primary Care Medicine and Dentistry Training and Enhancement (2010)

93.404

ARRA Dental Public Health Residency Training Grants (2009)

93.405

ARRA - Public Health Traineeship Program (2009)

93.406

Nursing Education Loan Repayment Program (NELRP) (2009)

93.407

ARRA - Scholarships for Disadvantaged Students (2009)

93.408

ARRA - Nurse Faculty Loan Program (2009)

93.409

Faculty Loan Repayment Programs (2009)

93.410

ARRA Licensure Portability Grant Program (2009)

93.411

ARRA Equipment to Enhance Training for Health Professionals (2009)

93.412

ARRA - Preventive Medicine Residency Program (2009)

93.414

ARRA - State Primary Care Offices (2009)

93.415

ARRA - Centers of Excellence (2009)

93.416

ARRA - Health Careers Opportunity Program (2009)

93.417

ARRA - Nursing Workforce Diversity (2009)

93.418

ARRA - Emergency Medical Services for Children (2009)

93.419

ARRA - Maternal and Child Health (MCH) Pediatric Research Network Program (2009)

93.420

ARRA - Community Health Applied Research Network (2009)

93.440

National Project Management of the Healthcare Communities Knowledge Gateway (2010)

93.441

Indian Self-Determination (2000U)

93.442

Special Diabetes Program for Indians (SDPI) Competitive Grant Program (2004)

93.443

Health Promotion/Disease Prevention Program for American Indians and Alaska Natives (2008)

93.444

Tribal Self-Governance Program: Planning and Negotiation Cooperative Agreement (2008)

93.445

Indian Health Service Sanitation Facilities Construction Program (2010)

93.447

State Health Fraud Task Force Grants (2004)

93.448

Food Safety and Security Monitoring Project (2005)

93.449

Ruminant Feed Ban Support Project (2005)

93.451

The Linkage to Life Program: Rebuilding Broken Bridges for Minority Families Impacted by

HIV/AIDS. (2010)

93.452

Health Improvement for Re-entering Ex-offenders Initiative (HIRE) HIV/AIDS (2010)

93.453

Coalition for a Healthier Community (2010)

93.500

Pregnancy Assistance Fund Program (2010)

93.501

Affordable Care Act (ACA) Grants for School-Based Health Center Capital Expenditures (2010)

93.502

Affordable Care Act (ACA) Infrastructure to Expand Access to Care (2010)

93.503

Affordable Care Act (ACA) Nursing and Home Health Aides Training Program (2010)

93.504

Affordable Care Act (ACA) Family to Family Health Information Centers (2010)

93.505

Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (2010)

93.506

ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers (2010)

93.507

Strengthening Public Health Infrastructure for Improved Health Outcomes (2010)

93.508

Affordable Care Act (ACA) Tribal Maternal, Infant, and Early Childhood Home Visiting Program (2010)

93.509

Affordable Care Act (ACA) State Health Care Workforce Development Grants (2010)

93.510

Affordable Care Act (ACA) Primary Care Residency Expansion Program (2010)

93.511

Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review (2010)

93.512

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Affordable Care Act (ACA) Personal and Home Care Aide State Training Program (PHCAST) (2010)

93.513

Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative (2010)

93.514

Affordable Care Act (ACA) Expansion of Physician Assistant Training Program (2010)

93.515

Affordable Care Act (ACA) Nurse-Managed Health Clinics (2010)

93.516

Affordable Care Act (ACA) Public Health Training Centers Program (2010)

93.517

Affordable Care Act Aging and Disability Resource Center (2010)

93.518

93.518 - Affordable Care Act - Medicare Improvements for Patients and Providers (2010)

93.519

Affordable Care Act (ACA) Consumer Assistance Program Grants (2010)

93.520

Centers for Disease Control and Prevention Affordable Care Act (ACA) Communities Putting Prevention to Work (2010)

93.521

The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements (2010)

93.522

Affordable Care Act (ACA) Prevention Center for Healthy Weight (2010)

93.523

The Affordable Care Act: Human Immunodeficiency Virus (HIV) Prevention and Public Health Fund Activities (2010)

93.524

The Affordable Care Act (ACA): Capacity Building Assistance to Strengthen Public Health Infrastructure and Performance (2010)

93.525

State Planning and Establishment Grants for the Affordable Care Act (ACA)s Exchanges (2010)

93.526

Affordable Care Act (ACA) Grants for Capital Development in Health Centers (2010)

93.527

Affordable Care Act (ACA) Grants for New and Expanded Services under the Health Center Program (2010)

93.550

Administration for Children, Youth and Families_Transitional Living for Runaway and Homeless Youth
Number changed from 13.550 (1990U)
Transitional Living for Runaway and Homeless Youth (1991U)
Transitional Living for Homeless Youth (1992U)

93.551

Administration for Children, Youth and Families_Abandoned Infants
Number changed from 13.551 (1990U)
Abandoned Infants (1991U)

93.552

Special Programs for the Aging_Title III, Part G_Prevention of Abuse, Neglect, and Exploitation of Older Individuals (1991B)
Number changed to 93.041 (1992U)

93.553

Special Programs for the Aging_Title III, Part A_Long-Term Care Ombudsman Services for Older Individuals (1991B)
Number changed to 93.042 (1992U)

93.554

Emergency Protection Grants_Substance Abuse (1991B)
Archived (1995B)

93.555

Special Programs for the Aging_Title III, Part F_Preventive Health Services (1992B)
Number changed to 93.043 (1992U)

93.556

Family Preservation and Support Services (1993U)
Promoting Safe and Stable Families (2000B)

93.557

Education and Prevention to Reduce Sexual Abuse of Runaway, Homeless and Street Youth (1999B)

93.558

Temporary Assistance for Needy Families (1997B)

93.559

Federal Loans for State Welfare Programs (1997B)
Archived (2000B)

93.560

Family Support Payments to States_Assistance Payments
Number changed from 93.020 (1992U)

93.561

Job Opportunities and Basic Skills Training
Number changed from 93.021 (1992U)
Archived (1998B)

93.562

Assistance Payments_Research
Number changed from 93.022 (1992U)
Archived (1997B)
Reinstated (1997U)
Consolidated into 93.647 (1998B)

93.563

Child Support Enforcement
Number changed from 93.023 (1992U)

93.564

Child Support Enforcement Research
Number changed from 93.024 (1992U)
Archived (1997B)
Reinstated (1997U)

93.565

State Legalization Impact Assistance Grants
Number changed from 93.025 (1992U)
Archived (1997B)

93.566

Refugee and Entrant Assistance_State Administered Programs
Number changed from 93.026 (1992U)
Split into 93.584 (1994U)

93.567

Refugee Assistance_Voluntary Agency Programs
Number changed from 93.027 (1992U)
Refugee and Entrant Assistance_Voluntary Agency Programs (2000B)

93.568

Low-Income Home Energy Assistance
Number changed from 93.028 (1992U)

93.569

Community Services Block Grant
Number changed from 93.031 (1992U)

93.570

Community Services Block Grant_Discretionary Awards
Number changed from 93.032 (1992U)

93.571

Community Services Block Grant Discretionary Awards_Community Food and Nutrition

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Number changed from 93.033 (1992U)
Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2005)
Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs (2006)
Deleted (2008)

93.572
Emergency Community Services for the Homeless
Number changed from 93.034 (1992U)
Archived (1997B)

93.573
Community Services Block Grant Discretionary Awards_Demonstration Partnerships
Number changed from 93.035 (1992U)
Archived (1997U)

93.574
Child Care for Families At-Risk of Welfare Dependency
Number changed from 93.036 (1992U)
Archived (1998B)

93.575
Payments to States for Child Care Assistance
Number changed from 93.037 (1992U)
Child Care and Development Block Grant (1995B)

93.576
Refugee and Entrant Assistance_Discretionary Grants
Number changed from 93.038 (1992U)
Consolidated from 93.987 (1997B)

93.577
Early Learning Fund (2001U)

93.578
Family Support Centers Demonstration Program
Number changed from 93.040 (1992U)
Homeless Families Support Services Demonstration Program (1992U)
Family Support Center and Gateway Demonstration Program (1993U)
Archived (1997B)

93.579
U.S. Repatriate Program (1992U)
U.S. Repatriation Program (1999U)

93.580
Family Resource and Support Program (1993B)
Family Resource Centers (1994B)
Archived (1995B)

93.581
Improving the Capability of Indian Tribal

Governments to Regulate Environmental Quality (1994U)

93.582
Mitigation of Environmental Impacts to Indian Lands Due to Department of Defense Activities (1994U)

93.583
Refugee and Entrant Assistance_Wilson/Fish Program (1994U)

93.584
Refugee and Entrant Assistance_Targeted Assistance (1994U)
Split from 93.566 (1994U)
Refugee and Entrant Assistance_Targeted Assistance Grants (2004)

93.585
Empowerment Zones Program (1994U)
Archived (1998B)

93.586
State Court Improvement Program (1994U)

93.587
Promote the Survival and Vitality of Native American Languages (1994U)
Promote the Survival and Continuing Vitality of Native American Languages (1995B)

93.588
Community Schools Program (1995U)
Community Schools Youth Services and Supervision Program (1996U)
Archived (1999B)

93.589
Refugee Assistance_Naturalization and Citizenship Activities (1995U)
Archived (1999B)

93.590
Community-Based Resource Centers (1995U)
Community-Based Family Resource and Support Grants (1998B)
COMMUNITY-BASED CHILD ABUSE PREVENTION GRANTS (2005)
Community-Based Child Abuse Prevention Grants (2006)

93.591
Family Violence Prevention and Services: Grants to State Domestic Violence Coalitions (1995U)
Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to State Domestic Violence Coalitions (1997U)

93.592
Family Violence Prevention and Services:

Discretionary Grants (1995U)
Family Violence Prevention and Services/Grants for Battered Women's Shelters_Discretionary Grants (1997U)

93.593
Job Opportunities for Low-Income Individuals (1995U)

93.594
Tribal Work Grants (1997B)

93.595
Welfare Reform Research, Evaluations and National Studies (1997B)

93.596
Child Care Mandatory and Matching Funds of the Child Care and Development Fund (1997B)

93.597
Grants to States for Access and Visitation Programs (1997B)

93.598
Assistance for Victims of Trafficking (2001U)
Services to Victims of a Severe Form of Trafficking (2002B)

93.599
Chafee Education and Training Vouchers Program (ETV) (2003B)

93.600
Administration for Children, Youth and Families_Head Start
Number changed from 13.600 (1990U)
Head Start (1991U)

93.601
Child Support Enforcement Demonstration and Special Projects (1999B)

93.602
New Assets for Independence Demonstration Program (1999B)
Assets for Independence Demonstration Program (2005)
Assets for Independence Demonstration Program (2006)

93.603
Adoption Incentive Payments (1999B)

93.604
Assistance to Torture Victims (2000B)
Assistance for Torture Victims (2005)

93.605
Family Connection Grants (2008)

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93.608 Administration for Children, Youth and Families_Child Welfare Research and Demonstration Number changed from 13.608 (1990U) Child Welfare Research and Demonstration (1991U) Archived (1998B)	Developmental Disabilities Projects of National Significance (1991U)	Number changed from 13.655 (1990U) Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes and Part B, Grants to Native Hawaiians (1991B) Number changed to 93.047 (1992U)
93.612 Native American Programs Number changed from 13.612 (1990U)	93.632 Administration on Developmental Disabilities_University Affiliated Programs Number changed from 13.632 (1990U) Developmental Disabilities University Affiliated Programs (1991U) University Centers for Excellence in Developmental Disabilities Education, Research, and Service (2002B)	93.656 Temporary Child Care and Crisis Nurseries Number changed from 13.656 (1990U) Archived (1998B)
93.613 Mental Retardation_President's Committee on Mental Retardation Number changed from 13.613 (1990U)	93.633 Special Programs for the Aging_Title III, Part B_Grants for Supportive Services and Senior Centers Number changed from 13.633 (1990U) Number changed to 93.044 (1992U)	93.657 Drug Abuse Prevention and Education for Runaway and Homeless Youth Number changed from 13.657 (1990U) Drug Abuse Prevention Program for Runaway and Homeless Youth (1991B) Drug Education and Prevention for Homeless Youth (1993U) Archived (1997B)
93.614 Child Development Associate Scholarships Number changed from 13.614 (1990U) Archived (1997B)	93.635 Special Programs for the Aging_Title III, Part C_Nutrition Services Number changed from 13.635 (1990U) Number changed to 93.045 (1992U)	93.658 Foster Care_Title IV-E Number changed from 13.658 (1990U)
93.616 Mentoring Children of Prisoners (2003B)	93.641 Special Programs for the Aging_Title III, Part D_In-Home Services for Frail Older Individuals Number changed from 13.641 (1990U) Number changed to 93.046 (1992U)	93.659 Adoption Assistance Number changed from 13.659 (1990U)
93.617 Voting Access for Individuals with Disabilities_Grants to States and Local Government (2003B) Voting Access for Individuals with Disabilities_Grants to States (2004)	93.643 Children's Justice Grants to States Number changed from 13.643 (1990U)	93.660 Drug Abuse Prevention and Education Relating to Youth Gangs Number changed from 13.660 (1990U) Drug Education and Prevention Programs for Youth Gangs (1993U) Youth Initiative/Youth Gangs (1994B) Archived (1997B)
93.618 Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (2003B) Voting Access for Individuals with Disabilities_Grants for Protection and Advocacy Systems (2004)	93.645 Child Welfare Services_State Grants Number changed from 13.645 (1990U)	93.666 Comprehensive Child Development Centers Number changed from 13.666 (1990U) Archived (1996B)
93.623 Administration for Children, Youth and Families_Runaway and Homeless Youth Number changed from 13.623 (1990U) Runaway and Homeless Youth (1991U) Comprehensive Runaway and Homeless Youth (1995U) Runaway and Homeless Youth (1996B)	93.647 Social Services Research and Demonstration Number changed from 13.647 (1990U) Consolidated from 93.562 (1998B)	93.667 Social Services Block Grant Number changed from 13.667 (1990U)
93.630 Administration on Developmental Disabilities_Basic Support and Advocacy Grants Number changed from 13.630 (1990U) Developmental Disabilities Basic Support and Advocacy Grants (1991U)	93.648 Child Welfare Services Training Grants Number changed from 13.648 (1990U) Child Welfare Research Training or Demonstration (2009)	93.668 Special Programs for the Aging_Title IV_Training, Research and Discretionary Projects and Programs Number changed from 13.668 (1990U) Number changed to 93.048 (1992U)
93.631 Administration on Developmental Disabilities_Projects of National Significance Number changed from 13.631 (1990U)	93.652 Administration for Children, Youth and Families_Adoption Opportunities Number changed from 13.652 (1990U) Adoption Opportunities (1991U)	93.669 Administration for Children, Youth and Families_Child Abuse and Neglect State Grants Number changed from 13.669 (1990U) Child Abuse and Neglect State Grants (1991U)
	93.655 Special Programs for the Aging, Title VI_Part A, Indian Programs_Grants to Indian Tribes_Part B, Grants to Native Hawaiians	93.670

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Administration for Children, Youth and Families_Child Abuse and Neglect Discretionary Activities

Number changed from 13.670 (1990U)

Child Abuse and Neglect Discretionary Activities (1991U)

93.671

Family Violence and Prevention Services

Number changed from 13.671 (1990U)

Family Violence Prevention and Services_Grants to States and Indian Tribes (1995B)

Family Violence Prevention and Services/Grants for Battered Women's Shelters_Grants to States and Indian Tribes (1997U)

93.672

Child Abuse Challenge Grants

Number changed from 13.672 (1990U)

Child Abuse and Neglect State Prevention Grants (1992U)

Community-Based Prevention Program (1993U)

Archived (1995B)

93.673

Grants to States for Planning and Development of Dependent Care Programs

Number changed from 13.673 (1990U)

Archived (1997B)

93.674

Independent Living

Number changed from 13.674 (1990U)

Chafee Foster Care Independent Living (2002B)

Chafee Foster Care Independence Program (2005)

Chafee Foster Care Independence Program (2006)

93.676

Unaccompanied Alien Children Program (2003B)

93.701

Trans-NIH Recovery Act Research Support (2009)

93.702

National Center for Research Resources, Recovery Act Construction Support (2009)

93.703

ARRA Health Center Integrated Services Development Initiative[g1] (2009)

ARRA Grants to Health Center Programs (2010)

93.704

Trans-NIH Recovery Act Loan Repayment Support (2009)

93.705

Aging Home-Delivered Nutrition Services for States (2009)

93.706

Aging Nutrition Services for Native Americans (2009)

93.707

Aging Congregate Nutrition Services for States (2009)

93.708

ARRA - HEAD START (2009)

93.709

ARRA EARLY HEAD START (2009)

93.710

ARRA - COMMUNITY SERVICES BLOCK GRANT (2009)

93.711

ARRA STRENGTHENING COMMUNITIES FUND (2009)

93.712

ARRA - Immunization (2009)

93.713

ARRA CHILD CARE AND DEVELOPMENT BLOCK GRANT (2009)

93.714

ARRA EMERGENCY CONTINGENCY FUND FOR TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) STATE PROGRAMS (2009)

93.715

Recovery Act Comparative Effectiveness Research - AHRQ (2009)

93.716

ARRA TEMPORARY ASSISTANCE FOR NEEDY FAMILIES (TANF) SUPPLEMENTAL GRANTS (2009)

93.717

ARRA - Preventing Healthcare-Associated Infections (2009)

93.718

Health Information Technology Regional Extension Centers Program (2009)

93.719

ARRA State Grants to Promote Health Information Technology (2009)

93.720

ARRA - Survey and Certification Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative (2009)

93.721

ARRA - Health Information Technology Professionals in Health Care (2009)

93.722

IHS ARRA Tribal Agreements (2009)

ARRA - IHS Tribal Agreements (2009)

93.723

ARRA - Prevention and Wellness-State, Territories and Pacific Islands (2009)

93.724

ARRA - Prevention and Wellness -Communities Putting Prevention to Work Funding Opportunities Announcement (FOA) (2009)

93.725

ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program (2009)

93.726

ARRA Accelerating Adoption of Comparative Effectiveness Research (CER) (2010)

93.727

ARRA - Health Information Technology - Beacon Communities (2009)

93.728

ARRA - Strategic Health IT Advanced Research Projects (SHARP) (2009)

93.729

ARRA Health Information Technology and Public Health (2010)

93.730

ARRA Prevention Research Centers Comparative Effectiveness Research Program (2010)

93.731

ARRA - Prevention and Wellness - Leveraging National Organizations (2010)

93.760

Rural PACE (Program of All-Inclusive Care for the Elderly) Provider Grant Program (2006)

93.766

Health Care Financing Research, Demonstrations and Evaluations

Number changed from 13.779 (1990U)

93.767

State Children's Insurance Program (1998B)

Children's Health Insurance Program (2009)

93.768

Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities (2000U)

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93.769 Demonstration to Maintain Independence and Employment (2000U)	Federal Reimbursement of Emergency Health Services Furnished to Undocumented Aliens (2004)	Supplemental Security Income Number changed from 13.807 (1990U) Number changed to 96.006 (1995B)
93.770 Medicare_Prescription Drug Coverage (2005)	93.785 Pilot Program for National and State Background Checks--Direct Patient Access for Long-Term Care (2004) Archived (2009)	93.812 Public Assistance Research Number changed from 13.812 (1990U) Number changed to 96.007 (1995B)
93.773 Medicare_Supplementary Medical Insurance Number changed from 13.773 (1990U)	93.786 State Pharmaceutical Assistance Programs (2004) Archived (2009)	93.820 Scholarships for Students of Exceptional Financial Need Number changed from 13.820 (1990U) Archived (2002B)
93.774 Health Insurance for the Aged_Supplementary Medical Insurance Number changed from 13.774 (1990U)	93.789 Alternatives to Psychiatric Residential Treatment Facilities for Children (2006)	93.821 Biophysics and Physiological Sciences Number changed from 13.821 (1990U) Incorporated from 93.863 (1995B) Cell Biology and Biophysics Research (1995B) Consolidated into 93.859 (2003B) Deleted (2003)
93.775 State Medicaid Fraud Control Units Number changed from 13.775 (1990U)	93.790 Alternate Non-Emergency Service Providers or Networks (2006)	93.822 Health Careers Opportunity Program Number changed from 13.822 (1990U) Split into 93.221 (1994U)
93.776 Hurricane Katrina Relief (2006) Archived (2009)	93.791 Money Follows the Person Rebalancing Demonstration (2006)	93.824 Area Health Education Centers Number changed from 13.824 (1990U) Basic/Core Area Health Education Centers (1999U) Area Health Education Centers Infrastructure Development Awards (2010)
93.777 State Survey and Certification of Health Care Providers and Suppliers Number changed from 13.777 (1990U)	93.793 Medicaid Transformation Grants (2006)	93.837 Heart and Vascular Diseases Research Number changed from 13.837 (1990U) Cardiovascular Diseases Research (2008)
93.778 Medical Assistance Program Number changed from 13.714 (1990U)	93.794 Reimbursement of State Costs for Provision of Part D Drugs (2006) Archived (2009)	93.838 Lung Diseases Research Number changed from 13.838 (1990U)
93.779 Health Care Financing Research, Demonstrations and Evaluations Number changed from 13.766 (1990U) Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations (2002B)	93.802 Social Security_Disability Insurance Number changed from 13.802 (1990U) Number changed to 96.001 (1995B)	93.839 Blood Diseases and Resources Research Number changed from 13.839 (1990U)
93.780 Grants to States for Operation of Qualified High-Risk Pools (2003B)	93.803 Social Security_Retirement Insurance Number changed from 13.803 (1990U) Number changed to 96.002 (1995B)	93.846 Arthritis, Musculoskeletal and Skin Diseases Research Number changed from 13.846 (1990U)
93.781 Seed Grants to States for Qualified High-Risk Pools (2003B) Archived (2009)	93.804 Social Security_Special Benefits for Persons Aged 72 and Over Number changed from 13.804 (1990U) Number changed to 96.003 (1995B)	93.847 Diabetes, Endocrinology and Metabolism Research Number changed from 13.847 (1990U) Diabetes, Digestive, and Kidney Diseases Extramural Research (2008)
93.782 Medicare Transitional Drug Assistance Program for Territories (2004)	93.805 Social Security_Survivors Insurance Number changed from 13.805 (1990U) Number changed to 96.004 (1995B)	93.848
93.783 Medicare Transitional Drug Assistance Program for States (2004) Archived (2009)	93.806 Special Benefits for Disabled Coal Miners Number changed from 13.806 (1990U) Number changed to 96.005 (1995B)	
93.784	93.807	

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Digestive Diseases and Nutrition Research

Number changed from 13.848 (1990U)

Deleted (2008)

93.849

Kidney Diseases, Urology and Hematology Research

Number changed from 13.849 (1990U)

Deleted (2008)

93.853

Clinical Research Related to Neurological Disorders

Number changed from 13.853 (1990U)

Consolidated from 93.854 (1999B)

Extramural Research Programs in the Neurosciences and Neurological Disorders (2000B)

93.854

Biological Basis Research in the Neurosciences

Number changed from 13.854 (1990U)

Consolidated into 93.853 (1999B)

93.855

Allergy, Immunology and Transplantation Research

Number changed from 13.855 (1990U)

93.856

Microbiology and Infectious Diseases Research

Number changed from 13.856 (1990U)

93.859

Pharmacological Sciences

Number changed from 13.859 (1990U)

Pharmacology and Biorelated Chemistry (1993U)

Incorporated from 93.863 (1995B)

Pharmacology, Physiology, and Biorelated Chemistry (1995B)

Pharmacology, Physiology, and Biological Chemistry Research (1995U)

93.862

Genetics Research

Number changed from 13.862 (1990U)

Genetics and Developmental Biology Research (1995B)

Genetics and Developmental Biology Research and Research Training (2000B)

Consolidated into 93.859 (2003B)

Archived (2003)

93.863

Cellular and Molecular Basis of Disease Research

Number changed from 13.863 (1990U)

Incorporated into 93.821, 93.859 (1995B)

93.864

Population Research

Number changed from 13.864 (1990U)

Consolidated into 93.865 (2003B)

Deleted (2003)

93.865

Research for Mothers and Children

Number changed from 13.865 (1990U)

Center for Research for Mothers and Children (1998B)

Child Health and Human Development Extramural Research (2004)

93.866

Aging Research

Number changed from 13.866 (1990U)

93.867

Retinal and Choroidal Diseases Research

Number changed from 13.867 (1990U)

Incorporated from 93.868, 93.871 (1993B)

Vision Research (1993B)

93.868

Anterior Segment Diseases Research

Number changed from 13.868 (1990U)

Incorporated into 93.867 (1993B)

93.871

Strabismus, Amblyopia and Visual Processing

Number changed from 13.871 (1990U)

Incorporated into 93.867 (1993B)

93.879

Medical Library Assistance

Number changed from 13.879 (1990U)

93.880

Minority Access to Research Careers

Number changed from 13.880 (1990U)

Consolidated into 93.859 (2003B)

Deleted (2003)

93.884

Grants for Residency Training in General Internal Medicine and/or General Pediatrics

Number changed from 13.884 (1990U)

Consolidated from 93.379 (2001B)

Grants for Residency Training in Primary Care Medicine and Dentistry (2004)

Grants for Training in Primary Care Medicine and Dentistry (2005)

Grants for Training in Primary Care Medicine and Dentistry (2006)

93.886

Grants for Physician Assistant Training Program

Number changed from 13.886 (1990U)

Physician Assistant Training in Primary Care (1999U)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.887

Project Grants for Non-Acute Care Intermediate and Long-Term Care Facilities for Patients with AIDS

Number changed from 13.887 (1990U)

Project Grants for Renovation or Construction of Non-Acute Health Care Facilities (1995U)

Project Grants for Renovation or Construction of Non-Acute Health Care Facilities and Other Facilities (1999U)

Health Care and Other Facilities (2002B)

93.888

Specially Selected Health Projects (2004)

93.889

National Bioterrorism Hospital Preparedness Program (2004)

93.890

Healthy Communities Access Program (HCAP) Demonstration Authority (2004)

Archived (2010)

93.891

Alcohol Research Center Grants

Number changed from 13.891 (1990U)

Archived (2010)

93.894

Resource and Manpower Development in the Environmental Health Services

Number changed from 13.894 (1990U)

Deleted (2006)

93.895

Grants for Faculty Development in Family Medicine

Number changed from 13.895 (1990U)

Consolidated from 93.900 (2001B)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.896

Grants for Predoctoral Training in Family Medicine

Number changed from 13.896 (1990U)

Predoctoral Training in Primary Care (Family Medicine, General Internal Medicine/General Pediatrics) (2000B)

Consolidated into 93.884 (2003B)

Deleted (2003)

93.897

Residency Training and Advanced Education in the General Practice of Dentistry

Number changed from 13.897 (1990U)

Residencies and Advanced Education in the Practice of General Dentistry (1999U)

Consolidated into 93.884 (2003B)

Deleted (2003)

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- 93.900**
Grants for Faculty Development in General Internal Medicine and/or General Pediatrics
Number changed from 13.900 (1990U)
Consolidated into 93.895 (2001B)
- 93.901**
Communications Programs Aimed Toward the Prevention of Alcohol and Other Drug Problems
Number changed from 13.901 (1990U)
Communications Programs Aimed Toward the Prevention of Alcohol, Tobacco, and Other Drug Problems (1992U)
Communications Programs for Demonstrating the Prevention of Alcohol, Tobacco, and Other Drug Problems (1995B)
Communications Programs for Demonstrating the Prevention of Alcohol, and Drug Problems (1996B)
Archived (1999B)
- 93.902**
Model Comprehensive Treatment Programs for Critical Populations (1990U)
Model Comprehensive Drug Abuse Treatment Programs for Critical Populations (1991B)
Archived (1999B)
- 93.903**
Model Criminal Justice Drug Abuse Treatment_Incarcerated
Populations_Non-Incarcerated
Populations_Treatment Prison (1990U)
Model Criminal Justice Drug Abuse Treatment_Incarcerated
Populations_Non-Incarcerated
Populations_Juvenile Justice Populations (1991B)
Model Criminal Justice Drug Abuse Treatment for Incarcerated Populations, Non-Incarcerated Populations and Juvenile Justice Populations (1992U)
Archived (1997B)
- 93.904**
Prevention and Primary Health Care Services to Persons with HIV Infection and/or AIDS in Community Health Facilities (1990U)
Archived (1991U)
- 93.905**
Indian Health Service Research (1990U)
Archived (2001B)
- 93.906**
Rural Health Medical Education Demonstration Projects (1990U)
Archived (2000B)
- 93.907**
Grants for Nurse Anesthetist Faculty Fellowships (1990U)
Archived (2000B)
- 93.908**
Nursing Education Loan Repayment Agreements for Registered Nurses Entering Employment at Eligible Health Facilities (1990U)
Nursing Education Loan Repayment Program for Registered Nurses Entering Employment at Eligible Health Facilities (1993U)
Nursing Education Loan Repayment Program (2005)
- 93.909**
Subacute Care Demonstration Grants (1990U)
Archived (1992B)
- 93.910**
Community Coalition Projects to Support Health and Human Services Needs for Minority Males (1990U)
Community Coalition Intervention Demonstration Projects to Support Health and Human Services Needs for Minority Males (1991B)
Community Coalition Demonstration Projects to Support Health and Human Services Needs for Minority Males (1992B)
Family and Community Violence Prevention Program (1995B)
- 93.911**
Cooperative Agreements for Drug Abuse Campus Treatment Demonstration Projects (1991B)
Archived (1995B)
- 93.912**
Rural Health Services Outreach (1991B)
Rural Outreach_Rural Network Development Program (1997B)
Rural Health Outreach and Rural Network Development Program (2000B)
Rural Health Care Services Outreach and Rural Health Network Development Program (2005)
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program (2010)
- 93.913**
Grants to States for Operation of Offices of Rural Health (1991B)
Split into 93.223 (1994U)
- 93.914**
HIV Emergency Relief Project Grants (1991B)
Consolidated into 93.915 (1999B)
Reinstated (2000B)
- 93.915**
HIV Emergency Relief Formula Grants (1991B)
Consolidated from 93.914 (1999B)
Archived (2000B)
- 93.916**
Nurse Anesthetist Education Programs (1991B)
- Consolidated into 93.247 (2001B)
- 93.917**
HIV Care Formula Grants (1991B)
- 93.918**
Grants to Provide Outpatient Early Prevention Services with Respect to HIV Disease (1991B)
- 93.919**
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Control Programs (1991B)
Cooperative Agreements for State-Based Comprehensive Breast and Cervical Cancer Early Detection Programs (1993B)
- 93.920**
Facilities Construction Projects to Support Centers for Treatment and Research on Substance Abuse (1991B)
Archived (1992B)
- 93.921**
ADAMHA Science Education Partnership Award (1991B)
NIAAA, NIDA and NIMH Science Education Partnership Awards (1992U)
Incorporated into 93.922 (1993B)
- 93.922**
NIH Science Education Partnership Award (1991B)
Incorporated from 93.921 (1993B)
NCCR Science Education Award (1994B)
Consolidated into 93.389 (1996B)
- 93.923**
Disadvantaged Health Professions Faculty Loan Repayments (1991B)
Disadvantaged Health Professions Faculty Loan Repayment and Fellowship Program (1993B)
Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2005)
Disadvantaged Health Professions Faculty Loan Repayment (FLRP) and Minority Faculty Fellowship Program (MFFP) (2006)
- 93.924**
HIV/AIDS Dental Reimbursements (1991B)
Ryan White HIV/AIDS Dental Reimbursements (1996U)
Ryan White HIV/AIDS Dental Reimbursements/Community Based Dental Partnership (2005)
- 93.925**
Scholarships for Health Professions Students from Disadvantaged Backgrounds (1991B)
Consolidated from 93.139 (2001B)

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- 93.926**
Maternal and Child Health-Targeted Infant Mortality Initiative (1991U)
Healthy Start Initiative (1992B)
- 93.927**
Health Services to Residents of Public Housing (1991U)
Residents of Public Housing Primary Care Program (1992U)
Health Centers Grants for Residents of Public Housing (1997B)
Incorporated into 93.224 (2006)
- 93.928**
Special Projects of National Significance (1991U)
- 93.929**
Center for Medical Rehabilitation Research (1991U)
Consolidated into 93.865 (2003B)
Deleted (2003)
- 93.930**
Nursing Education Loan Demonstration (1991U)
Archived (1992B)
- 93.931**
Demonstration Grants to States for Community Scholarship (1991U)
Archived (2000B)
- 93.932**
Native Hawaiian Health Centers (1991U)
Native Hawaiian Health Systems (1993B)
- 93.933**
Research and Demonstration Projects for Indian Health (1991U)
Demonstration Projects for Indian Health (2000B)
- 93.934**
Fogarty International Research Collaboration Award (1992B)
Consolidated into 93.989 (2006)
- 93.935**
Project Grants for Renovation or Construction at Tertiary Perinatal Facilities (1992B)
Archived (1993U)
- 93.936**
National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program (1992B)
- 93.937**
Comprehensive Residential Drug Prevention and Treatment Projects for Substance-Using Women and Their Children (1992B)
Archived (1999B)
- 93.938**
Cooperative Agreements to Support School Health Education to Prevent the Spread of Acquired Immunodeficiency Syndrome (1992U)
Split from 93.118 (1992U)
Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems (1994B)
- 93.939**
HIV Prevention Activities_Non-Governmental Organization Based (1992U)
Split from 93.118 (1992U)
- 93.940**
HIV Prevention Activities_Health Department Based (1992U)
Split from 93.118 (1992U)
- 93.941**
HIV Demonstration, Research, Public and Professional Education Projects (1992U)
Split from 93.118 (1992U)
- 93.942**
Research, Treatment and Education Programs on Lyme Disease in the United States (1992B)
- 93.943**
Epidemiologic Research Studies of Acquired Immunodeficiency Syndrome (AIDS) and Human Immunodeficiency Virus (HIV) Infection in Selected Population Groups (1992U)
Split from 93.118 (1992U)
- 93.944**
Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance (1992U)
Split from 93.283 (1992U)
- 93.945**
Assistance Program for Chronic Disease Prevention and Control (1992U)
Split from 93.283 (1992U)
Archived (1994U)
Reinstated (2001B)
Assistance Programs for Chronic Disease Prevention and Control (2006)
- 93.946**
Cooperative Agreements to Support State-Based Pregnancy Risk Assessment Monitoring System (1992U)
Split from 93.283 (1992U)
Cooperative Agreements to Support State-Based Infant Health Initiative Programs (1993B)
Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs (2002B)
- 93.947**
Tuberculosis Demonstration, Research, Public and Professional Education (1992U)
Split from 93.116 (1992U)
- 93.948**
Pilot Clinical Pharmacology Training (1992B)
Archived (2003B)
- 93.949**
HIV/AIDS and Related Diseases Among Substance Abusers: Community-Based Outreach and Intervention Demonstration Program (1992B)
Split from 93.279 (1992B)
Community-Based Comprehensive HIV/STD/TB Outreach Services for High Risk Substance Abusers Demonstration Program (1996U)
Archived (1999B)
- 93.950**
Capacity Expansion Program (1992B)
Archived (1997B)
- 93.951**
Demonstration Grants to States with Respect to Alzheimer's Disease (1992U)
Archived (2000B)
- 93.952**
Improving EMS/Trauma Care in Rural Areas (1992U)
Archived (1997B)
Reinstated (2001U)
Trauma Care Systems Planning and Development (2005)
Archived (2010)
- 93.953**
Modification of Trauma Care Component of State EMS Plan (1992U)
Archived (1997B)
Reinstated (2001U)
Trauma Care Systems Planning and Development (2004)
Consolidated into 93.952 (2005)
- 93.954**
Tribal Recruitment and Retention of Health Professionals into Indian Health Programs (1992U)
- 93.955**
Health and Safety Programs for Construction Work and Model Construction Safety and Health (1992U)
Split from 93.263, 93.962 (1992U)
Health and Safety Programs for Construction Work (1995B)
Deleted (2005)
- 93.956**
Centers for Agricultural Research, Education and Disease and Injury Prevention and Occupational

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Respiratory Disease and Musculoskeletal Disorders
Evaluation and Rehabilitation (1992U)

Split from 93.262, 93.283 (1992U)
Agricultural Health and Safety Programs (1996B)
Deleted (2005)

93.957

Occupational Health and Surveillance (1992U)
Split from 93.262, 93.283 (1992U)
Occupational Health and Surveillance_Fatality
Assessment and Control Evaluation (1996B)
Occupational Health and Surveillance Fatality
Assessment and Control Evaluation (1998B)
Occupational Health and Safety Surveillance
(2004)
Deleted (2005)

93.958

Block Grants for Community Mental Health
Services (1993B)

93.959

Block Grants for Prevention and Treatment of
Substance Abuse (1993B)

93.960

Special Minority Initiatives (1993B)

Consolidated into 93.859 (2003B)

93.962

Health Administration Graduate Traineeships
Number changed from 13.962 (1990U)
Health Administration Traineeships and Special
Projects Program (1993B)
Health Administration Traineeships Program
(2005)
Archived (2010)

93.963

Graduate Programs in Health Administration
Number changed from 13.963 (1990U)
Archived (1993B)

93.964

Traineeships for Students in Schools of Public
Health and Other Graduate Public Health Programs
Number changed from 13.964 (1990U)
Traineeships for Students in Schools of Public
Health (1990U)
Public Health Traineeships (1993B)

93.965

Coal Miners Respiratory Impairment Treatment
Clinics and Services
Number changed from 13.965 (1990U)

93.969

Grants for the Training of Health Professions in

Geriatrics

Number changed from 13.969 (1990U)
Grants for Geriatric Education Centers (1993B)
Geriatric Education Centers (2002B)

93.970

Health Professions Recruitment Program for
Indians
Number changed from 13.970 (1990U)

93.971

Health Professions Preparatory Scholarship
Program for Indians
Number changed from 13.971 (1990U)

93.972

Health Professions Scholarship Program
Number changed from 13.972 (1990U)

93.973

Special Loans for National Health Service Corps
Members to Enter Private Practice
Number changed from 13.973 (1990U)
Archived (1997B)

93.974

Family Planning_Service Delivery Improvement
Research Grants
Number changed from 13.974 (1990U)

93.975

National All Schedules Prescription Electronic
Reporting Grant (2009)

93.977

Preventive Health Services_Sexually Transmitted
Diseases Control Grants
Number changed from 13.977 (1990U)

93.978

Preventive Health Services_Sexually Transmitted
Diseases Research, Demonstrations and Public
Education Grants
Number changed from 13.978 (1990U)

93.982

Mental Health Disaster Assistance and Emergency
Mental Health
Number changed from 13.982 (1990U)

93.984

Grants for the Establishment of Departments of
Family Medicine
Number changed from 13.984 (1990U)
Academic Administrative Units in Primary Care
(1999U)
Consolidated into 93.884 (2003B)
Deleted (2003)

93.987

Health Programs for Refugees
Number changed from 13.987 (1990U)
Consolidated into 93.576 (1997B)

93.988

Cooperative Agreements for State-Based Diabetes
Control Programs and Evaluation of Surveillance
Systems
Number changed from 13.988 (1990U)

93.989

Senior International Fellowships
Number changed from 13.989 (1990U)
International Research and Research Training
(2004)

93.990

National Health Promotion
Number changed from 13.990 (1990U)

93.991

Preventive Health and Health Services Block Grant
Number changed from 13.991 (1990U)

93.992

Alcohol and Drug Abuse and Mental Health
Services Block Grant
Number changed from 13.992 (1990U)
Archived (1993B)

93.993

Public Health Research Accreditation Project
(2004)

93.994

Maternal and Child Health Services Block Grant
Number changed from 13.994 (1990U)
Maternal and Child Health Services Block Grant to
the States (1993B)

93.995

Adolescent Family Life_Demonstration Projects
Number changed from 13.995 (1990U)

93.996

Bioterrorism Training and Curriculum
Development Program (2003B)
Archived (2010)

93.999

Test for Suppression Effects of Advanced Energy
(2005)

97.074

Law Enforcement Terrorism Prevention Program
(LETPP) (2004)
Archived (2010)

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94.002 Retired Senior Volunteer Program Number changed from 72.002 (1995B) Retired and Senior Volunteer Program (1996B)	94.021 Volunteer Generation Fund (2010)	Special Benefits for Certain World War II Veterans (2000U)
94.003 State Commission (1995B) State Commissions (1996B)	94.022 Nonprofit Capacity Building (2009)	96.021 SOCIAL SECURITY Economic Recovery Act Payments (2009)
94.004 Learn and Serve America_School and Community Based Programs (1995B)	EXECUTIVE OFFICE OF THE PRESIDENT	DEPARTMENT OF HOMELAND SECURITY
94.005 Learn and Serve America_Higher Education (1995B)	95.001 High Intensity Drug Trafficking Program (1993U) Archived (1994B)	97.001 Special Projects (2003B) Pilot Demonstration or Earmarked Projects (2005) Deleted (2008)
94.006 AmeriCorps (1995B)	95.002 Crime Prevention_Ounce of Prevention Grants (1996B) Archived (1996U)	97.002 Research Proejcts (2003B)
94.007 Planning and Program Development Grants (1995B) Program Development and Innovation Grants (2009)	SOCIAL SECURITY ADMINISTRATION	97.003 Agriculture Inspection (2003B)
94.009 Training and Technical Assistance (1995B)	96.001 Social Security_Disability Insurance Number changed from 93.802 (1995B)	97.004 State Domestic Preparedness Equipment Support Program (2003B) Transferred from 16.007 (2003B) Homeland Security Grant Program (2004) Archived (2010)
94.010 Literacy Corps Number changed from 72.015 (1995B) Archived (1997B)	96.002 Social Security_Retirement Insurance Number changed from 93.803 (1995B)	97.005 State and Local Domestic Preparedness Training Program (2003B) Transferred from 16.008 (2003B) State and Local Homeland Security Training Program (2004) State and Local Homeland Security National Training Program (2010)
94.011 Foster Grandparent Program Number changed from 72.001 (1995B)	96.003 Social Security_Special Benefits for Persons Aged 72 and Over Number changed from 93.804 (1995B) Deleted (2006)	97.006 State and Local Domestic Preparedness Exercise Support (2003B) Transferred from 16.009 (2003B) State and Local Homeland Security Exercise Support (2004) Archived (2009)
94.013 Volunteers in Service to America Number changed from 72.003 (1995B)	96.004 Social Security_Survivors Insurance Number changed from 93.805 (1995B)	97.007 State and Local Domestic Preparedness Technical Assistance (2003B) Transferred from 16.010 (2003B) Homeland Security Preparedness Technical Assistance (2004) Homeland Security Preparedness Technical Assistance Program (2006)
94.016 Senior Companion Program Number changed from 72.008 (1995B)	96.005 Special Benefits for Disabled Coal Miners Number changed from 93.806 (1995B) Deleted (2004)	97.008 Urban Areas Security Initiative (2003B) Transferred from 16.011 (2003B) Non-Profit Security Program (2010)
94.017 Senior Demonstration Program (2009)	96.006 Supplemental Security Income Number changed from 93.807 (1995B)	97.009
94.018 Learn and Serve America Innovative Community-Based Service-Learning Programs (2009)	96.007 Social Security_Research and Demonstration Number changed from 93.812 (1995B)	
94.019 Social Innovation Fund (2010)	96.008 Social Security_Benefits Planning, Assistance, and Outreach Program (2000U) Social Security - Work Incentives Planning and Assistance Program (2006)	
94.020 CNCS Disaster Response Cooperative Agreement (2009)	96.009 Social Security State Grants for Work Incentives Assistance to Disabled Beneficiaries (2002B)	
	96.020	

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Cuban and Haitian Entrant Resettlement Program (2003B)

Transferred from 16.201 (2003B)

Cuban/Haitian Entrant Program (2004)

97.010

Citizenship Education and Training (2003B)

Transferred from 16.400 (2003B)

97.011

Boating Safety (2003B)

Transferred from 20.001 (2003B)

Archived (2010)

97.012

Boating Safety Financial Assistance (2003B)

Transferred from 20.005 (2003B)

97.013

State Access to the Oil Spill Liability Trust Fund (2003B)

Transferred from 20.006 (2003B)

97.014

Bridge Alteration (2003B)

Transferred from 20.007 (2003B)

Archived (2009)

97.015

Secret Service_Training Activities (2003B)

Transferred from 21.100 (2003B)

Archived (2010)

97.016

Reimbursement for Firefighting on Federal Property (2003B)

Transferred from 83.007 (2003B)

97.017

Pre-Disaster Mitigation (PDM) Competitive Grants (2004)

97.018

National Fire Academy Training Assistance (2003B)

Transferred from 83.009 (2003B)

97.019

National Fire Academy Educational Program (2003B)

Transferred from 83.010 (2003B)

National Fire Academy Fellowship Program (2010)

97.020

Hazardous Materials Training Program (2003B)

Transferred from 83.011 (2003B)

Archived (2010)

97.021

Hazardous Materials Assistance Program (2003B)

Transferred from 83.012 (2003B)

Archived (2010)

97.022

Flood Insurance (2003B)

Transferred from 83.100 (2003B)

97.023

Community Assistance Program_State Support Services Element (CAP-SSSE) (2003B)

Transferred from 83.105 (2003B)

97.024

Emergency Food and Shelter National Board Program (2003B)

Transferred from 83.523 (2003B)

97.025

National Urban Search and Rescue (US&R) Response System (2003B)

Transferred from 83.526 (2003B)

97.026

Emergency Management Institute_Training Assistance (2003B)

Transferred from 83.527 (2003B)

97.027

Emergency Management Institute (EMI)_Independent Study Program (2003B)

Transferred from 83.529 (2003B)

97.028

Emergency Management Institute (EMI)_Resident Educational Program (2003B)

Transferred from 83.530 (2003B)

97.029

Flood Mitigation Assistance (2003B)

Transferred from 83.536 (2003B)

97.030

Community Disaster Loans (2003B)

Transferred from 83.537 (2003B)

97.031

Cora Brown Fund (2003B)

Transferred from 83.538 (2003B)

97.032

Crisis Counseling (2003B)

Transferred from 83.539 (2003B)

97.033

Disaster Legal Services (2003B)

Transferred from 83.540 (2003B)

97.034

Disaster Unemployment Assistance (2003B)

Transferred from 83.541 (2003B)

97.035

Individual and Family Grants (2003B)

Transferred from 83.543 (2003B)

Deleted (2004)

97.036

Public Assistance Grants (2003B)

Transferred from 83.544 (2003B)

Disaster Grants - Public Assistance (Presidentially Declared Disasters) (2006)

97.037

Disaster Housing Program (2003B)

Transferred from 83.545 (2003B)

Deleted (2004)

97.038

First Responder Counter-Terrorism Training Assistance (2003B)

Transferred from 83.547 (2003B)

Deleted (2005)

97.039

Hazard Mitigation Grant (2003B)

Transferred from 83.548 (2003B)

97.040

Chemical Stockpile Emergency Preparedness Program (2003B)

Transferred from 83.549 (2003B)

97.041

National Dam Safety Program (2003B)

Transferred from 83.550 (2003B)

97.042

Emergency Management Performance Grants (2003B)

Transferred from 83.552 (2003B)

97.043

State Fire Training Systems Grants (2003B)

Transferred from 83.553 (2003B)

97.044

Assistance to Firefighters Grant (2003B)

Transferred from 83.554 (2003B)

97.045

Cooperating Technical Partners (2003B)

Transferred from 83.555 (2003B)

97.046

Fire Management Assistance Grant (2003B)

Transferred from 83.556 (2003B)

97.047

Pre-Disaster Mitigation (2003B)

Transferred from 83.557 (2003B)

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97.048 Federal Assistance to Individuals and Households_Housing (2003B) Transferred from 83.558 (2003B) Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Zones (2006) Disaster Housing Assistance to Individuals and Households in Presidential Declared Disaster Areas (2007) Federal Disaster Assistance to Individuals and Households in Presidential Declared Disaster Areas (2010)	Port Security Grant Program (2005)	97.071 Metropolitan Medical Response System (2004)
97.049 Federal Assistance to Individuals and Households_Disaster Housing Operations (2003B) Transferred from 83.559 (2003B) Presidential Declared Disaster Assistance - Disaster Housing Operations for Individuals and Households (2006)	97.057 Intercity Bus Security Grants (2003B)	97.072 National Explosives Detection Canine Team Program (2004)
97.050 Federal Assistance to Individuals and Households_Other Needs (2003B) Transferred from 83.560 (2003B) Presidential Declared Disaster Assistance to Individuals and Households - Other Needs (2006)	97.058 Operation Safe Commerce (OSC) (2003B) Operation Safe Commerce (OSC) Cooperative Agreement Program. (2004)	97.073 State Homeland Security Program (SHSP) (2004)
97.051 State and Local All Hazards Emergency Operations Planning (2003B) Transferred from 83.562 (2003B) Deleted (2005)	97.059 Truck Security Program (2003B)	97.075 RAIL AND TRANSIT SECURITY GRANT PROGRAM (2004)
97.052 Emergency Operations Centers (2003B) Transferred from 83.563 (2003B)	97.060 Port Security Research and Development Grant (2004) Archived (2010)	97.076 National Center for Missing and Exploited Children (NCMEC) (2005)
97.053 Citizen Corps (2003B) Transferred from 83.564 (2003B)	97.061 Centers for Homeland Security (2004)	97.077 Homeland Security Testing, Evaluation, and Demonstration of Technologies (2005) Homeland Security Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2007) Homeland Security Research Testing, Evaluation, and Demonstration of Technologies Related to Nuclear Detection (2008)
97.054 Community Emergency Response Teams (2003B) Incorporated into 97.053 (2006) Transferred from 83.565 (2003B)	97.062 Scholars and Fellows (2004) Scholars and Fellows, and Educational Programs (2007)	97.078 Buffer Zone Protection Plan (BZPP) (2005) Buffer Zone Protection Program (BZPP) (2007)
97.055 Interoperable Communications Equipment (2003B) Transferred from 83.566 (2003B) Interoperable Emergency Communications (2010)	97.063 97.063 Pre-Disaster Mitigation Disaster Resistant Universities (2004)	97.079 Public Alert Radios for Schools (2005) Archived (2010)
97.056 Port Security Grants for Critical National Seaports (2003B) Port Security Grant Program for Critical National Seaports (2004)	97.064 Debris Removal Insurance (2004) Archived (2010)	97.080 97.080 Information Analysis Infrastructure Protection (IAIP) Pilot Projects (2005) Information Analysis Infrastructure Protection (IAIP) and Critical Infrastructure Monitoring and Protection (2009)
	97.065 Homeland Security Advanced Research Projects Agency (2004)	97.081 Law Enforcement Training and Technical Assistance (2005) Archived (2010)
	97.066 Homeland Security Information Technology and Evaluation Program (2004) Homeland Security Information Technology Research, Testing, Evaluation and Demonstration Program (2008)	97.082 Earthquake Consortium (2005)
	97.067 Homeland Security Grant Program (2004)	97.083 Staffing for Adequate Fire and Emergency Response (SAFER) (2005)
	97.068 Competitive Training Grants (2004) Competitive Training Grant (2010) Competitive Training Grant (2010) Archived (2010)	97.084 Hurricane Katrina Case Management Initiative Program (2005)
	97.069 Aviation Research Grants (2004)	97.085 9-11 Heros Stamp Program (2005)
	97.070 Map Modernization Management Support (2004)	

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97.086

Homeland Security Outreach, Education, and Technical Assistance (2005)

97.087

Alternative Housing Pilot Program (2006)
Archived (2010)

97.088

Disaster Assistance Projects (2005)

97.089

Real ID Program (2005)
Driver's License Security Grant Program (2010)

97.090

Law Enforcement Officer Reimbursement Agreement Program (2006)

97.091

Homeland Security Biowatch Program (2006)

97.092

Repetitive Flood Claims (2008)

97.093

Fire Service Hazardous Materials Preparedness and Response (2006)

97.094

Prevention Advocacy Resources and Data Exchange Program (2006)

97.095

Safe Kids Worldwide (2006)

97.096

Commercial Equipment Direct Assistance Program (CEDAP) (2006)
Archived (2010)

97.097

Training Resource and Data Exchange (2006)

97.098

Disaster Donations Management Program (2006)

97.099

Residential Fire Safety & Fire Sprinkler Initiatives (2007)

97.100

Airport Checked Baggage Screening Program (2007)
Archived (2010)

97.103

Degrees at a Distance Program (2007)

97.104

Homeland Security-related Science, Technology, Engineering and Mathematics (HS STEM) Career Development Program (2007)

97.105

Firefighter/Emergency Medical Services (EMS) Outreach, Technology Transfer, Information Dissemination, and Technical Assistance (2007)
Firefighter Health and Safety (2010)

97.106

Securing the Cities (2007)

97.107

National Incident Management System (NIMS) (2007)

97.108

Homeland Security, Research, Testing, Evaluation, and Demonstration of Technologies (2007)

97.109

Disaster Housing Assistance Grant (2007)

97.110

Severe Loss Repetitive Program (2008)

97.111

Regional Catastrophic Preparedness Grant Program (RCPGP) (2008)

97.112

Border Infrastructure Improvement Projects (2008)

97.113

Rail and Transit Security Grant Program (ARRA) (2009)

97.114

Emergency Food and Shelter National Board Program (ARRA) (2009)

97.115

Assistance to Firefighters Grant (ARRA) (2009)

97.116

Port Security Grant Program (ARRA) (2009)

97.117

TSA Airport Checked Baggage Inspection System Program (ARRA) (2009)

97.118

Advanced Surveillance Program (ASP) (2009)

97.119

2010 Olympics First Responder Training (2009)

97.120

Border Interoperability Demonstration Project

(2010)

97.121

Radiological/Nuclear Detection Pilot Evaluations Program (2010)

97.122

Bio-Preparedness Collaboratory (2010)

97.123

Multi-State Information Sharing and Analysis Center (2010)

97.124

Interoperable Communications and Training Project (2010)

97.125

Virginia Operational Integration Cyber Center of Excellence (2010)

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98.001

USAID Foreign Assistance for Programs Overseas (2004)

98.002

Cooperative Development Program (CDP) (2004)

98.003

Ocean Freight Reimbursement Program (OFR) (2004)

98.004

Non-Governmental Organization Strengthening (NGO) (2004)

98.005

Institutional Support Assistance (ISA) (2004)
Institutional Capacity Building (ICB) (2005)
Institutional Capacity Building (ICB) (2005)

98.006

Foreign Assistance to American Schools and Hospitals Abroad (ASHA) (2004)

98.007

Food for Peace Development Assistance Program (DAP) (2004)

98.008

USAID Food for Peace Emergency Program (EP) (2004)

98.009

The John Ogonowski Farmer-to-Farmer Program (2004)

98.010

Denton Program (2004)

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98.011

Global Development Alliance (2004)

98.012

USAID Development Partnerships for University
Cooperation and Development (2005)